



COMMISSION STATEMENT March 31, 2017

TYLER LIFFRIG
1201 45th Ave NW
Lot 3
Garrison, ND 58540-

BROKER #: L138
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
03/20/2017	HENNEN, STUART	CAMBIAR INTERNATIONAL EQUITY FUND I	136,340.76	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	CAMBIAR INTERNATIONAL EQUITY FUND I	-135,085.43	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	CONGRESS MID CAP GROWTH FUND INSTIT	15,713.03	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	CONGRESS MID CAP GROWTH FUND INSTIT	-15,496.30	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	CREDIT SUISSE COMMODITY RETURN STRA	16,921.73	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	CREDIT SUISSE COMMODITY RETURN STRA	-16,785.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	HORIZON ACTIVE ASSET ALLOCATION FUN	87,026.02	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	HORIZON ACTIVE ASSET ALLOCATION FUN	-86,051.33	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	ISHARES TR RUSSELL 2000 INDEX FD	23,609.37	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	ISHARES TR RUSSELL 2000 INDEX FD	6,076.63	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	ISHARES TR S&P 500 INDEX FD	91,899.67	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	JH DISCIPLINED VAL CL 1	35,293.89	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	JH DISCIPLINED VAL CL 1	-34,563.67	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	MFS INTL NEW DISCOVERY FUND I	33,843.44	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	MFS INTL NEW DISCOVERY FUND I	-33,944.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	NAVIGATOR TACTICAL FIXED INCOME FUN	9,669.56	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	NAVIGATOR TACTICAL FIXED INCOME FUN	-9,650.91	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	NORTHERN MULTI- MANAGER EMERGING MA	19,339.12	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	NORTHERN MULTI- MANAGER EMERGING MA	-19,159.67	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	PERSHING	0.00	159.25	0.00	159.25	90.0	143.33
03/28/2017	HENNEN, ANN	POWERSHARES DB COMMODITY INDEX TRAC	16,709.85	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	POWERSHARES EXCHANGE-TRADED FD TR I	11,941.05	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	RESEARCH MARKET NEUTRAL FUND-INST	16,921.73	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	RESEARCH MARKET NEUTRAL FUND-INST	-16,910.52	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	RIDGEWORTH SEIX FLOATING RATE HIGH	14,504.34	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	RIDGEWORTH SEIX FLOATING RATE HIGH	-14,454.73	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336								
03/20/2017	HENNEN, STUART	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	39,161.71	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-38,583.27	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	SPDR S&P MIDCAP 400 ETF TR UNIT SER	38,894.16	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	SPDR SER TR BARCLAYS HIGH YIELD BD	33,440.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	STERLING CAP FUNDS	15,713.03	0.00	0.00	0.00	90.0	0.00
03/27/2017	HENNEN, ANN	STERLING CAP FUNDS	-15,435.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	HENNEN, STUART	VANGUARD INDEX FDS VANGUARD REIT ET	9,660.28	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	VANGUARD INDEX FDS VANGUARD REIT ET	2,437.14	0.00	0.00	0.00	90.0	0.00
03/28/2017	HENNEN, ANN	VANGUARD INTL EQUITY INDEX FD INC F	234,095.77	0.00	0.00	0.00	90.0	0.00
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$473,092.06	\$159.25	\$0.00	\$159.25		\$143.33
*** SPLIT TRANSACTIONS TOTAL ***			\$473,092.06	\$159.25	\$0.00	\$159.25		\$143.33

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$143.33
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$143.33
Year-to-date Gross	\$275.91
Year-to-date Earnings	\$248.32

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