

STATEMENT
February 20, 2018

GREG TIRITA
3 Winesap Dr
SUITE 620
Oxford, CT 06478

BROKER #: 161
BRANCH:

COMMISSION STATEMENT for GREG TIRITA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/27/2017	#07002684852	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	100.00	4.75	90.0	0.00	4.28	4.28
11/27/2017	#07004775941	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	100.00	3.00	90.0	0.00	2.70	2.70
11/27/2017	#07004776271	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	100.00	3.00	90.0	0.00	2.70	2.70
12/07/2017	#07007329729	LETTIERI,	OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	1.35
12/07/2017	#02510638308	LETTIERI AZ,	SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	2.14
12/07/2017	#02510648406	LETTIERI 30,	SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	1.35
01/02/2018	#07007329729	LETTIERI,	OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	1.35
01/02/2018	#02510638308	LETTIERI AZ,	SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	2.14
01/02/2018	#02510648406	LETTIERI 30,	SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	1.35
01/17/2018	#00087188313	WILLIAMS, TI	NEW PERSPECTIVE FUND INC CL A	250.00	12.50	90.0	0.00	11.25	11.25
* MUTUAL FUNDS SUBTOTAL *			\$850.00	\$34.01		\$0.00	\$30.61	\$0.00	\$30.61
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#07002684852	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	0.00	2.65	90.0	0.00	2.39	2.39
12/31/2017	#07004775941	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	0.00	2.52	90.0	0.00	2.27	2.27
12/31/2017	#07004775963	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	0.00	24.06	90.0	0.00	21.65	21.65
12/31/2017	#07004776271	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	0.00	2.52	90.0	0.00	2.27	2.27
12/31/2017	#07007329729	LETTIERI,	OPPENHEIMER MAIN STREET FUND CL A	0.00	2.70	90.0	0.00	2.43	2.43
12/31/2017	#07008110712	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	0.00	6.40	90.0	0.00	5.76	5.76
12/31/2017	#07009577672	VARIOUS TIRITA	OPPENHEIMER MAIN STREET FUND CL A	0.00	29.24	90.0	0.00	26.32	26.32
12/31/2017	#02510638308	LETTIERI AZ,	SMALL- & MID- CAP VALUE FUND A	0.00	5.41	90.0	0.00	4.87	4.87
12/31/2017	#02510648406	LETTIERI 30,	SMALL- & MID- CAP VALUE FUND A	0.00	5.47	90.0	0.00	4.92	4.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$80.97		\$0.00	\$72.88	\$0.00	\$72.88
*** BROKER TRANSACTIONS TOTAL ***			\$850.00	\$114.98		\$0.00	\$103.49	\$0.00	\$103.49

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ADJUSTMENTS

02/20/2018		ADMIN FEE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$103.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-395.51</u>
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