

All Companies  
**STATEMENT**  
April 20, 2018

ANTHONY MASHINTONIO  
PO BOX 1000

BROKER #: 232  
BRANCH: LA BRANCH

FREDERICK, PA 19435

COMMISSION STATEMENT for ANTHONY MASHINTONIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
02/08/0018	#000001775538	DEMORRAYM	4812A1456	0.00	45.74	90.0	0.00	41.17	41.17
02/08/0018	#0000373670	STRAN, MICHAEL	BLCKRCK	0.00	3.62	90.0	0.00	3.26	3.26
02/08/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.09	90.0	0.00	0.08	0.08
02/08/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.33	90.0	0.00	0.30	0.30
02/08/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.54	90.0	0.00	0.49	0.49
02/08/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.07	90.0	0.00	0.06	0.06
02/08/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.47	90.0	0.00	0.42	0.42
02/08/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.62	90.0	0.00	0.56	0.56
02/08/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.06	90.0	0.00	0.05	0.05
02/08/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.24	90.0	0.00	0.22	0.22
02/08/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	2.10	90.0	0.00	1.89	1.89
03/02/0018	#2000054244	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	120.00	1.20	90.0	0.00	1.08	1.08
03/02/0018	#2000116110	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
03/02/0018	#2000116106	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
03/02/0018	#2000054244	RIST, STEPHAN	C - LIMITED TERM GOV PORT	100.00	1.00	90.0	0.00	0.90	0.90
03/02/0018	#2000054244	RIST, STEPHAN	LARGE CAP VALUE C	100.00	1.00	90.0	0.00	0.90	0.90
03/02/0018	#2000116106	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
03/02/0018	#2000116110	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
03/02/0018	#2000054244	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	80.00	0.80	90.0	0.00	0.72	0.72
03/02/0018	#2000116106	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
03/02/0018	#2000116110	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
01/17/2018	#02158155048	OTERI, STEVEN	OPPENHEIMER GLOBAL OPPORTUNITIES FD	6,500.00	130.00	90.0	0.00	117.00	117.00
01/17/2018	#02158155565	OTERI, WENDY	OPPENHEIMER GLOBAL OPPORTUNITIES FD	6,500.00	130.00	90.0	0.00	117.00	117.00
01/17/2018	#04201812536	VARIOUS MASHIN	OPPENHEIMER MAIN STREET FUND CL A	6,500.00	130.00	90.0	0.00	117.00	117.00
01/17/2018	#04201812536	VARIOUS MASHIN	OPPENHEIMER MAIN STREET FUND CL A	-6,500.00	-130.00	90.0	0.00	-117.00	-117.00
01/22/2018	#08353326081	RAUBJR, D	OPPENHEIMER EQUITY INCOME FUND INC-	375.00	17.81	90.0	0.00	16.03	16.03
01/22/2018	#04206698384	GUADAR, C	OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	90.0	0.00	2.14	2.14
01/22/2018	#04205123481	RAUBJR, D	OPPENHEIMER MAIN STREET FUND CL A	750.00	35.63	90.0	0.00	32.07	32.07

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/22/2018	#07211094588 RAUBJR, D	OPPENHEIMER SMALL & MIDCAP GROWTH F	375.00	17.81	90.0	0.00	16.03	0.00	16.03
01/24/2018	#00101028919 SUBJECT TO STA	STEELPATH MLP INCOME FUND	6,500.00	260.00	90.0	0.00	234.00	0.00	234.00
01/25/2018	#07400492415 SPERA, C	OPPENHEIMER ROCHEST PENNSYLVANIA MU	325.00	13.00	90.0	0.00	11.70	0.00	11.70
01/26/2018	#02150319561 VARIOUS MASHIN	OPPENHEIMER GLOBAL OPPORTUNITIES FD	5,000.00	100.00	90.0	0.00	90.00	0.00	90.00
02/01/2018	#02151000307 VARIOUS MASHIN	OPPENHEIMER GLOBAL OPPORTUNITIES FD	4,922.82	98.46	90.0	0.00	88.61	0.00	88.61
02/05/2018	#00101028919 SUBJECT TO STA	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	0.00	11.70
02/07/2018	#04206623595 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
02/07/2018	#04206623617 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
02/15/2018	#07400211794 REICHA, R	OPPENHEIMER ROCHEST PENNSYLVANIA MU	600.00	10.80	90.0	0.00	9.72	0.00	9.72
02/16/2018	#04202016179 VARIOUS MASHIN	OPPENHEIMER MAIN STREET FUND CL A	6,500.00	104.00	90.0	0.00	93.60	0.00	93.60
02/20/2018	#04206698384 GUADAR, C	OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	90.0	0.00	2.14	0.00	2.14
02/26/2018	#00101028919 SUBJECT TO STA	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	0.00	11.70
03/05/2018	#00101028919 SUBJECT TO STA	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	0.00	11.70
03/07/2018	#04206623595 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
03/07/2018	#04206623617 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
03/16/2018	#00704201797 FRANK X	FA 529 PORT 2022 CL A	2,000.00	75.00	90.0	0.00	67.50	0.00	67.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$43,222.82</b>	<b>\$1,145.67</b>		<b>\$0.00</b>	<b>\$1,031.10</b>	<b>\$0.00</b>	<b>\$1,031.10</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/04/0018	#P55D6536986 POZZESSERE	AMERICAN GEN LIFE	0.00	140.74	90.0	0.00	126.67	0.00	126.67
02/08/0018	#VR08045320 MILLER, THOMAS	PACIFIC LIFE TRAILS	0.00	112.29	90.0	0.00	101.06	0.00	101.06
03/03/0018	#VR10018810	PACIFIC LIFE	0.00	82.21	90.0	0.00	73.99	0.00	73.99
03/03/0018	#VR08067069 COLBY, DONNA	PACIFIC LIFE TRAILS	0.00	1,122.39	90.0	0.00	1,010.15	0.00	1,010.15
03/03/0018	#VR08067779 MASHINTONIO, A	PACIFIC LIFE TRAILS	0.00	34.58	90.0	0.00	31.12	0.00	31.12
03/06/0018	#P73A2024818 SAM, HOPE	AMERICAN GEN LIFE	0.00	77.39	90.0	0.00	69.65	0.00	69.65
03/06/0018	#P73A2024819 SAM, GLENDA	AMERICAN GEN LIFE	0.00	77.41	90.0	0.00	69.67	0.00	69.67
03/01/2018	#P73A2015469 SAM, PAULA	AMERICAN GEN LIFE	0.00	16.64	90.0	0.00	14.98	0.00	14.98
03/02/2018	#2557046 SPERA, CLARE	JOHN HANCOCK LIFE-TRAILS	0.00	55.21	90.0	0.00	49.69	0.00	49.69
03/02/2018	#2559009 SPERA, CLARE	JOHN HANCOCK LIFE-TRAILS	0.00	120.36	90.0	0.00	108.32	0.00	108.32
03/02/2018	#2570888 JONES, NANCY	JOHN HANCOCK LIFE-TRAILS	0.00	50.75	90.0	0.00	45.68	0.00	45.68
03/02/2018	#2570889 MOYER, JEAN	JOHN HANCOCK LIFE-TRAILS	0.00	50.75	90.0	0.00	45.68	0.00	45.68
03/02/2018	#2570890 TOTH, GARY	JOHN HANCOCK LIFE-TRAILS	0.00	50.75	90.0	0.00	45.68	0.00	45.68
03/02/2018	#2582122 MATTES, ERICH	JOHN HANCOCK LIFE-TRAILS	0.00	205.08	90.0	0.00	184.57	0.00	184.57
03/02/2018	#2621366 COLBY, DONNA	JOHN HANCOCK LIFE-TRAILS	0.00	97.81	90.0	0.00	88.03	0.00	88.03
03/02/2018	#2692327 COLBY, MILDRED	JOHN HANCOCK LIFE-TRAILS	0.00	83.08	90.0	0.00	74.77	0.00	74.77
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,377.44</b>		<b>\$0.00</b>	<b>\$2,139.71</b>	<b>\$0.00</b>	<b>\$2,139.71</b>

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/28/2018	#VR08045320 MILLER, THOMAS	PL	0.00	112.29	90.0	0.00	101.06	0.00	101.06
03/01/2018	#00064605208 GABEL R B	AMERICAN BALANCED FUND INC CLASS A	0.00	8.15	90.0	0.00	7.34	0.00	7.34
03/01/2018	#00059333141 DEMOTT R C	AMERICAN HIGH INCOME TR SH BEN INT	0.00	224.56	90.0	0.00	202.10	0.00	202.10
03/01/2018	#00059333141 DEMOTT R C	CAPITAL INCOME BUILDER FD CL A	0.00	64.44	90.0	0.00	58.00	0.00	58.00
03/01/2018	#00059333141 DEMOTT R C	CAPITAL WORLD GROWTH & INCOME FUND	0.00	107.36	90.0	0.00	96.62	0.00	96.62
03/01/2018	#00064605208 GABEL R B	FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.41	90.0	0.00	12.07	0.00	12.07
03/01/2018	#00059333141 DEMOTT R C	INCOME FUND OF AMERICA INC CLASS A	0.00	220.42	90.0	0.00	198.38	0.00	198.38
03/01/2018	#00059333141 DEMOTT R C	INVESTMENT CO AMER CLASS A	0.00	192.52	90.0	0.00	173.27	0.00	173.27
03/01/2018	#00063562625	INVESTMENT CO AMER CLASS A	0.00	12.31	90.0	0.00	11.08	0.00	11.08
03/01/2018	#00064605208 GABEL R B	NEW PERSPECTIVE FUND INC CL A	0.00	11.61	90.0	0.00	10.45	0.00	10.45
03/07/2018	#00704201797 FRANK X	FA 529 PORT 2022 CL A	0.00	14.37	90.0	0.00	12.93	0.00	12.93
03/09/2018	#04459206811	AIG 86691873	0.00	2.51	90.0	0.00	2.26	0.00	2.26
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.36	90.0	0.00	2.12	0.00	2.12
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	0.94	90.0	0.00	0.85	0.00	0.85
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	0.84	90.0	0.00	0.76	0.00	0.76
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	8.08	90.0	0.00	7.27	0.00	7.27
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	11.32	90.0	0.00	10.19	0.00	10.19
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.42	90.0	0.00	5.78	0.00	5.78
03/09/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.09	90.0	0.00	5.48	0.00	5.48
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.31	90.0	0.00	2.08	0.00	2.08
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	1.18	90.0	0.00	1.06	0.00	1.06
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	0.67	90.0	0.00	0.60	0.00	0.60
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	6.09	90.0	0.00	5.48	0.00	5.48
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.71	90.0	0.00	4.24	0.00	4.24
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.67	90.0	0.00	4.20	0.00	4.20
03/09/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.61	90.0	0.00	4.15	0.00	4.15
03/09/2018	# COLONA, DOLORES	DAIN TRAILER	0.00	14.97	90.0	0.00	13.47	0.00	13.47
03/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	4.51	90.0	0.00	4.06	0.00	4.06
03/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	2.28	90.0	0.00	2.05	0.00	2.05
03/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.72	90.0	0.00	1.55	0.00	1.55
03/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.65	90.0	0.00	1.49	0.00	1.49
03/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	3.89	90.0	0.00	3.50	0.00	3.50
03/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	6.20	90.0	0.00	5.58	0.00	5.58
03/09/2018	# CAMPANALE, LISA	DAIN TRAILER	0.00	9.40	90.0	0.00	8.46	0.00	8.46

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/09/2018	# COLONA, DOLORES	DAIN TRAILER	0.00	4.45	90.0	0.00	4.01	0.00	4.01
03/09/2018	#04459206613	FOCUSED ALPHA GROWTH FUND CLASS A	0.00	1.84	90.0	0.00	1.66	0.00	1.66
03/09/2018	#04459206811	FOCUSED ALPHA GROWTH FUND CLASS A	0.00	7.27	90.0	0.00	6.54	0.00	6.54
03/09/2018	#00009183300 VARIOUS MASHIN	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	1.89	90.0	0.00	1.70	0.00	1.70
03/09/2018	#00009417982	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	8.56	90.0	0.00	7.70	0.00	7.70
03/09/2018	#04459206613	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	2.76	90.0	0.00	2.48	0.00	2.48
03/13/2018	#VR10018810	PL	0.00	82.21	90.0	0.00	73.99	0.00	73.99
03/23/2018	#VR08067069 COLBY, DONNA	PACIFIC LIFE 694475625	0.00	1,122.39	90.0	0.00	1,010.15	0.00	1,010.15
03/23/2018	#VR08067779 MASHINTONIO, A	PACIFIC LIFE 694475625	0.00	34.58	90.0	0.00	31.12	0.00	31.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,359.87		\$0.00	\$2,123.89	\$0.00	\$2,123.89
*** BROKER TRANSACTIONS TOTAL ***			\$43,222.82	\$5,882.98		\$0.00	\$5,294.70	\$0.00	\$5,294.70

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,294.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,510.70
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