



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT

September 15, 2017

BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: BUM
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^08/07/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.63	0.00	3.63	100.0	0.00
^08/07/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.59	0.00	1.59	100.0	0.00
^08/07/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.89	0.00	3.89	100.0	0.00
^08/17/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.13	0.00	2.13	100.0	0.00
^08/17/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.52	0.00	8.52	100.0	0.00
^08/14/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	5.07	0.00	5.07	100.0	0.00
^08/16/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	2.01	0.00	2.01	100.0	0.00
^08/16/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.32	0.00	3.32	100.0	0.00
^08/15/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.32	0.00	1.32	100.0	0.00
^08/17/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.88	0.00	1.88	100.0	0.00
^08/17/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.03	0.00	1.03	100.0	0.00
^08/17/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.82	0.00	2.82	100.0	0.00
^08/17/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.36	0.00	0.36	100.0	0.00
^08/17/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.19	0.00	2.19	100.0	0.00
^08/17/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.41	0.00	1.41	100.0	0.00
^08/17/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.79	0.00	0.79	100.0	0.00
^08/17/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.75	0.00	1.75	100.0	0.00
^08/17/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	21.26	0.00	21.26	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$64.97	\$0.00	\$64.97		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$64.97	\$0.00	\$64.97		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00