



Concorde Investment Services, LLC

05/02/2018

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**COMMISSION STATEMENT****April 30, 2018****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/17/2018	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-398.18	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	378.27	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	954.86	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,005.12	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,005.12	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	954.86	0.00	0.00	0.00	100.0	0.00
04/25/2018	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	24,960.00	0.00	0.00	0.00	100.0	0.00
04/25/2018	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-26,000.00	0.00	0.00	0.00	100.0	0.00
04/25/2018	LOHR, KIMBERLY	FRANKLIN INCOME A	-61.21	0.00	0.00	0.00	100.0	0.00
04/25/2018	LOHR, KIMBERLY	FRANKLIN US GOVT SEC FUND A	-21.06	0.00	0.00	0.00	100.0	0.00
04/18/2018	CONCORDE, VARIOUS	JPMORGAN LARGE-CAP GROWTH FUND CLAS	-10,000.00	0.00	0.00	0.00	100.0	0.00
04/18/2018	CONCORDE, VARIOUS	JPMORGAN LARGE-CAP GROWTH FUND CLAS	9,900.00	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	1,215.03	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	232.66	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-948.56	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	310.22	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-240.48	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	917.73	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-1,255.84	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-320.64	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY CL C	995.78	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY CL C	-1,005.84	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-1,251.11	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	937.93	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-230.58	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-315.98	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	311.24	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-952.21	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	227.12	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	1,232.34	0.00	0.00	0.00	100.0	0.00
04/18/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	19,350.00	0.00	0.00	0.00	100.0	0.00
04/18/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-20,000.00	0.00	0.00	0.00	100.0	0.00
04/16/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	3.00	-0.50	100.0	-0.50
04/16/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
04/16/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
04/16/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	3.00	-2.00	100.0	-2.00
04/16/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
04/16/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
04/23/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	2,974.21	0.00	0.00	0.00	100.0	0.00
04/23/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-3,004.25	0.00	0.00	0.00	100.0	0.00
04/23/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-2,974.21	0.00	0.00	0.00	100.0	0.00
04/23/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-3,000.48	0.00	0.00	0.00	100.0	0.00
04/23/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	2,970.48	0.00	0.00	0.00	100.0	0.00
04/23/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	3,004.25	0.00	0.00	0.00	100.0	0.00
04/20/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	-1,000.00	50.00	13.00	37.00	100.0	37.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-3,017.39</b>	<b>\$53.50</b>	<b>\$19.00</b>	<b>\$34.50</b>		<b>\$34.50</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/20/2018	CONCORDE, VARIOUS	CIM COML TR CORP PFD SER A DTD 04/2	-30,000.00	0.00	13.00	-13.00	100.0	-13.00
04/20/2018	CONCORDE, VARIOUS	CIM COML TR CORP PFD SER A DTD 04/2	28,125.00	0.00	8.00	-8.00	100.0	-8.00
04/20/2018	CONCORDE, VARIOUS	CIM COML TR CORP PFD SER A DTD 04/2	-28,125.00	0.00	-8.00	8.00	100.0	8.00
04/20/2018	CONCORDE, VARIOUS	CIM COML TR CORP PFD SER A DTD 04/2	28,113.00	0.00	8.00	-8.00	100.0	-8.00
04/18/2018	CONCORDE, VARIOUS	DOWDUPONT INC COM	6,993.70	0.00	0.00	0.00	100.0	0.00
04/18/2018	CONCORDE, VARIOUS	DOWDUPONT INC COM	-6,790.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2018	CONCORDE, VARIOUS	DOWDUPONT INC COM	-203.70	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,697.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,697.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,697.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,697.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,697.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,697.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,698.90	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,698.90	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,698.90	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	5,096.70	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	2,888.28	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-23,837.79	0.00	0.00	0.00	100.0	0.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,699.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,699.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,699.00	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	18,740.80	0.00	1.00	-1.00	100.0	-1.00
04/16/2018	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-23,263.70	0.00	0.00	0.00	100.0	0.00
04/25/2018	LOHR, KIMBERLY	NEW YORK REIT INC COM NEW	-4,695.03	100.00	13.50	86.50	100.0	86.50
04/25/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-2,164.00	0.00	1.00	-1.00	100.0	-1.00
04/25/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-2,531.03	0.00	1.00	-1.00	100.0	-1.00
04/25/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	4,695.03	0.00	0.00	0.00	100.0	0.00
04/19/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-3,991.26	0.00	0.00	0.00	100.0	0.00
04/19/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	2,314.26	0.00	1.00	-1.00	100.0	-1.00
04/19/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,677.00	0.00	1.00	-1.00	100.0	-1.00
04/12/2018	LORENTZ, ANDREW	POWERSHARES QQQ TR UNIT SER 1	-3,728.57	150.00	13.50	136.50	100.0	136.50
<b>* STOCKS SUBTOTAL *</b>			<b>\$-10,310.61</b>	<b>\$250.00</b>	<b>\$69.00</b>	<b>\$181.00</b>		<b>\$181.00</b>
<b>PRODUCT CATEGORY: OPTIONS</b>								
04/20/2018	CONCORDE, VARIOUS	CIM COML TR CORP WT EXP 04/24/2023	12.00	0.00	8.00	-8.00	100.0	-8.00
04/20/2018	CONCORDE, VARIOUS	CIM COML TR CORP WT EXP 04/24/2023	-12.00	0.00	13.00	-13.00	100.0	-13.00
<b>* OPTIONS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$21.00</b>	<b>\$-21.00</b>		<b>\$-21.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>			<b>\$-13,328.00</b>	<b>\$303.50</b>	<b>\$109.00</b>	<b>\$194.50</b>		<b>\$194.50</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/16/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
04/16/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
04/09/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
04/20/2018	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
04/16/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
04/16/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	100.0	7.50
04/16/2018	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
04/09/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	100.0	1.32
04/09/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	100.0	1.06
04/09/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	100.0	1.06
04/09/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	100.0	0.79
04/02/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	57.47	0.57	0.00	0.57	100.0	0.57
04/02/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	57.47	0.57	0.00	0.57	100.0	0.57
04/02/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	100.0	0.41
04/02/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	100.0	0.41
04/02/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	76.95	0.77	0.00	0.77	100.0	0.77
04/02/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	76.95	0.77	0.00	0.77	100.0	0.77
04/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	100.0	2.50
04/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	100.0	2.50
04/03/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	100.0	0.50
04/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	100.0	0.50
04/05/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.27	0.29	0.00	0.29	100.0	0.29
04/05/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.27	0.29	0.00	0.29	100.0	0.29
04/05/2018	SHOUTZ, PAIGE	TRANSAMERICA AA GROWTH C	15.81	0.16	0.00	0.16	100.0	0.16
04/05/2018	SHOUTZ, PAIGE	TRANSAMERICA AA GROWTH C	26.36	0.26	0.00	0.26	100.0	0.26
04/13/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	55.47	0.55	0.00	0.55	100.0	0.55
04/13/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	55.47	0.55	0.00	0.55	100.0	0.55
04/13/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	100.0	0.41
04/13/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	100.0	0.41
04/13/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	80.31	0.80	0.00	0.80	100.0	0.80
04/13/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	80.31	0.80	0.00	0.80	100.0	0.80
04/13/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	134.11	1.34	0.00	1.34	100.0	1.34
04/13/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	134.11	1.34	0.00	1.34	100.0	1.34

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/16/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	100.0	1.00
04/16/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	100.0	0.50
04/16/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	100.0	2.00
04/16/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	100.0	2.00
04/16/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	100.0	0.50
04/16/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	12,500.00	125.00	0.00	125.00	100.0	125.00
04/16/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	395.00	3.95	0.00	3.95	100.0	3.95
04/18/2018	STEVENS, GREGORY	TRANSAMERICA AA GROWTH C	5,500.00	55.00	0.00	55.00	100.0	55.00
04/18/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	500.00	5.00	0.00	5.00	100.0	5.00
04/20/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	100.0	0.50
04/05/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	100.0	2.20
04/05/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	100.0	6.59
04/05/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	111.22	1.11	0.00	1.11	100.0	1.11
04/05/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	66.74	0.67	0.00	0.67	100.0	0.67
04/05/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	100.0	0.28
04/05/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	100.0	0.28
04/16/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	100.0	1.50
04/16/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	100.0	1.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$23,602.99</b>	<b>\$255.51</b>	<b>\$0.00</b>	<b>\$255.51</b>		<b>\$255.51</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/02/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	150.00	3.00	0.00	3.00	100.0	3.00
04/12/2018	LUND, VARIOUS	ADV CENTURY	0.00	484.74	0.00	484.74	100.0	484.74
04/19/2018	LUND, VARIOUS	ADV CENTURY	0.00	108.00	0.00	108.00	100.0	108.00
04/02/2018	WALLACE, LLOYD	BRIGHTHOUSE	383,349.59	958.37	0.00	958.37	100.0	958.37
04/02/2018	HEIDTMAN, JOYCE	BRIGHTHOUSE	92,256.73	230.64	0.00	230.64	100.0	230.64
04/02/2018	JONES, THOMAS	BRIGHTHOUSE	169,604.54	424.01	0.00	424.01	100.0	424.01
04/02/2018	BERGLING, PAUL	BRIGHTHOUSE	839,666.31	2,099.17	0.00	2,099.17	100.0	2,099.17
04/02/2018	BERGLING, SHARON	BRIGHTHOUSE	311,631.94	779.08	0.00	779.08	100.0	779.08
04/02/2018	WEIBLE, DEAN	BRIGHTHOUSE	405,326.79	1,013.32	0.00	1,013.32	100.0	1,013.32
04/02/2018	HUSBYN, ROGER	BRIGHTHOUSE	167,799.20	419.50	0.00	419.50	100.0	419.50
04/02/2018	HANSGEN, DIANE	BRIGHTHOUSE	324,601.20	811.50	0.00	811.50	100.0	811.50
04/02/2018	WERKMEISTER, DAVID	BRIGHTHOUSE	712,669.05	1,781.67	0.00	1,781.67	100.0	1,781.67
04/02/2018	OTTO, JOLENE	BRIGHTHOUSE	144,703.50	361.76	0.00	361.76	100.0	361.76

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/02/2018	WALLACE, DEANNE	BRIGHTHOUSE	98,872.41	247.18	0.00	247.18	100.0	247.18
04/02/2018	OLINGER, THOMAS	BRIGHTHOUSE	62,111.33	155.28	0.00	155.28	100.0	155.28
04/02/2018	BIRK, DAVID	BRIGHTHOUSE	1,390,805.69	3,477.01	0.00	3,477.01	100.0	3,477.01
04/02/2018	HINTON, TIMOTHY	BRIGHTHOUSE	22,850.34	57.13	0.00	57.13	100.0	57.13
04/02/2018	OTTO, CHRISTOPHER	BRIGHTHOUSE	94,889.88	237.22	0.00	237.22	100.0	237.22
04/02/2018	FLOR, PATRICIA	BRIGHTHOUSE	104,272.04	260.68	0.00	260.68	100.0	260.68
04/02/2018	SANDER, GLORIAN	BRIGHTHOUSE	313,250.74	783.13	0.00	783.13	100.0	783.13
04/02/2018	FRANKLIN, ROSALIE	BRIGHTHOUSE	101,248.13	253.12	0.00	253.12	100.0	253.12
04/02/2018	FRANKLIN, WILLIAM	BRIGHTHOUSE	303,768.19	759.42	0.00	759.42	100.0	759.42
04/02/2018	JONES, LINDA	BRIGHTHOUSE	41,814.34	104.54	0.00	104.54	100.0	104.54
04/02/2018	MILLER, KAREN	BRIGHTHOUSE	69,433.35	173.58	0.00	173.58	100.0	173.58
04/02/2018	ROY, JAMES	BRIGHTHOUSE	221,589.52	553.97	0.00	553.97	100.0	553.97
04/02/2018	MILLER, KAREN	BRIGHTHOUSE	356,660.51	891.65	0.00	891.65	100.0	891.65
04/02/2018	BIRK, MARILYN	BRIGHTHOUSE	96,146.56	240.37	0.00	240.37	100.0	240.37
04/02/2018	ARNOLD, RICHARD	BRIGHTHOUSE	457,547.94	1,143.87	0.00	1,143.87	100.0	1,143.87
04/02/2018	AYERS, KATHRYN	BRIGHTHOUSE	399,652.52	999.13	0.00	999.13	100.0	999.13
04/02/2018	SWANSON, DARLA	BRIGHTHOUSE	243,668.69	609.17	0.00	609.17	100.0	609.17
04/02/2018	ROSSING, PATRICIA	BRIGHTHOUSE	408,154.62	1,020.39	0.00	1,020.39	100.0	1,020.39
04/02/2018	ERICKSON, RONALD	BRIGHTHOUSE	364,024.97	910.06	0.00	910.06	100.0	910.06
04/02/2018	FRANKLIN, WILLIAM	BRIGHTHOUSE	268,971.64	672.43	0.00	672.43	100.0	672.43
04/02/2018	TOMM, JODI	BRIGHTHOUSE	139,324.00	348.31	0.00	348.31	100.0	348.31
04/02/2018	BIRK, DAVID	BRIGHTHOUSE	144,652.71	361.63	0.00	361.63	100.0	361.63
04/02/2018	ARNOLD, RICHARD	BRIGHTHOUSE	137,912.51	344.78	0.00	344.78	100.0	344.78
04/02/2018	KELLOGG, J EDWARD	BRIGHTHOUSE	534,401.25	1,336.00	0.00	1,336.00	100.0	1,336.00
04/02/2018	HEIDTMAN, JOYCE	BRIGHTHOUSE	64,558.71	161.40	0.00	161.40	100.0	161.40
04/02/2018	STANTON, KAREN	BRIGHTHOUSE	139,108.72	347.77	0.00	347.77	100.0	347.77
04/06/2018	ROY, JAMES	BRIGHTHOUSE	3,390.00	67.80	0.00	67.80	100.0	67.80
04/16/2018	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
04/16/2018	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
05/04/2017	GREEN, STEVEN	JNL PERSPECTIVE L	-3,000.00	-120.00	0.00	-120.00	100.0	-120.00
05/04/2017	GREEN, STEVEN	JNL PERSPECTIVE L	1,000.00	40.00	0.00	40.00	100.0	40.00
07/24/2017	GREEN, STEVEN	JNL PERSPECTIVE L	-2,000.00	-80.00	0.00	-80.00	100.0	-80.00
09/13/2017	GREEN, STEVEN	JNL PERSPECTIVE L	-1,500.00	-60.00	0.00	-60.00	100.0	-60.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/10/2018	WOLFF, JEAN	JNL PERSPECTIVE L	8,771.00	350.84	0.00	350.84	100.0	350.84
04/13/2018	ZIEGLER, DARRELL	JNL PERSPECTIVE L	4,426.00	177.04	0.00	177.04	100.0	177.04
04/13/2018	ZIEGLER, DIANNE	JNL PERSPECTIVE L	6,500.00	260.00	0.00	260.00	100.0	260.00
04/16/2018	SNYDER, CYNTHIA	JNL PERSPECTIVE L	5,500.00	220.00	0.00	220.00	100.0	220.00
04/16/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	5,500.00	220.00	0.00	220.00	100.0	220.00
04/20/2018	SCHRIOCK, LINDA	JNL PERSPECTIVE L	6,500.00	260.00	0.00	260.00	100.0	260.00
04/02/2018	BOUDREAU, DIANE	MET LIFE 10922M105	364,416.89	911.04	0.00	911.04	100.0	911.04
04/02/2018	WOLFE JR, THEODORE	MET LIFE 10922M360	692,926.67	2,165.40	0.00	2,165.40	100.0	2,165.40
04/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	100.0	14.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$11,224,220.72</b>	<b>\$30,350.70</b>	<b>\$0.00</b>	<b>\$30,350.70</b>		<b>\$30,350.70</b>

**PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE**

04/05/2018	OTTO, CHRISTOPHER	LBL VUL	270.00	4.05	0.00	4.05	100.0	4.05
04/09/2018	BERGLING, PAUL	LBL VUL	13,692.00	342.30	0.00	342.30	100.0	342.30
04/20/2018	PROKOP, MATTHEW	LBL VUL	1,800.00	45.00	0.00	45.00	100.0	45.00
04/20/2018	PIERSON FIELDS LTD	LBL VUL	157,632.00	3,940.80	0.00	3,940.80	100.0	3,940.80
04/03/2018	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	1.00	0.00	1.00	100.0	1.00
04/02/2018	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
04/03/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	11.60	0.00	11.60	100.0	11.60
04/10/2018	BENNETT, CATHERINE	PAC LIFE VUL	66.00	1.32	0.00	1.32	100.0	1.32
04/13/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.55	0.00	5.55	100.0	5.55
04/20/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	7.23	0.00	7.23	100.0	7.23
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	113,142.15	70.71	0.00	70.71	100.0	70.71
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	117,581.53	73.49	0.00	73.49	100.0	73.49
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	342,006.90	0.00	0.00	0.00	100.0	0.00
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	190,948.84	119.34	0.00	119.34	100.0	119.34
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	83,213.53	52.01	0.00	52.01	100.0	52.01
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	85,314.64	53.32	0.00	53.32	100.0	53.32
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	88,992.04	55.62	0.00	55.62	100.0	55.62
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	13,141.73	8.21	0.00	8.21	100.0	8.21
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	30,623.02	19.14	0.00	19.14	100.0	19.14
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	104,953.51	65.60	0.00	65.60	100.0	65.60
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	24,804.80	15.50	0.00	15.50	100.0	15.50
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	100,914.59	63.07	0.00	63.07	100.0	63.07

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	144,540.41	90.34	0.00	90.34	100.0	90.34
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	16,943.28	10.59	0.00	10.59	100.0	10.59
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	3,296.17	2.06	0.00	2.06	100.0	2.06
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	38,346.56	23.97	0.00	23.97	100.0	23.97
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	16,537.01	10.34	0.00	10.34	100.0	10.34
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	16,196.42	10.12	0.00	10.12	100.0	10.12
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	22,665.01	14.17	0.00	14.17	100.0	14.17
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	42,598.82	26.62	0.00	26.62	100.0	26.62
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	10,643.15	6.65	0.00	6.65	100.0	6.65
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	13,407.84	8.38	0.00	8.38	100.0	8.38
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	6,924.27	4.33	0.00	4.33	100.0	4.33
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	7,428.64	4.64	0.00	4.64	100.0	4.64
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	13,175.82	8.23	0.00	8.23	100.0	8.23
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	2,866.40	1.79	0.00	1.79	100.0	1.79
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	2,525.62	1.58	0.00	1.58	100.0	1.58
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	3,104.81	1.94	0.00	1.94	100.0	1.94
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	5,623.18	3.51	0.00	3.51	100.0	3.51
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	25,239.63	15.77	0.00	15.77	100.0	15.77
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	30,087.00	18.80	0.00	18.80	100.0	18.80
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	17,074.36	10.67	0.00	10.67	100.0	10.67
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	17,380.78	4.61	0.00	4.61	100.0	4.61
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	6,285.55	3.93	0.00	3.93	100.0	3.93
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	46,623.98	291.40	0.00	291.40	100.0	291.40
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	190,490.27	119.06	0.00	119.06	100.0	119.06
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	27,530.84	17.21	0.00	17.21	100.0	17.21
04/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	52,585.82	32.87	0.00	32.87	100.0	32.87
04/16/2018	FOELS, TAMMY	TRANSAMERICA LIFE	54.13	0.68	0.00	0.68	100.0	0.68
04/16/2018	FOELS, TAMMY	TRANSAMERICA LIFE	26.04	0.33	0.00	0.33	100.0	0.33
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$2,249,722.92</b>	<b>\$5,703.93</b>	<b>\$0.00</b>	<b>\$5,703.93</b>		<b>\$5,703.93</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/09/2018	SCHNEIDER, VARIOUS	ASPIRE AM FUND TRAILS	0.00	249.46	0.00	249.46	100.0	249.46
04/09/2018	ROSE, DUANE	AB MUNICIPAL INCOME MN A	0.00	36.51	0.00	36.51	100.0	36.51
03/31/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	1.16	0.00	1.16	100.0	1.16

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/31/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	3.49	0.00	3.49	100.0	3.49
04/02/2018	TSEREGOUNIS, SPYROS	DAVIS GOVERNMENT BOND A	0.00	18.70	0.00	18.70	100.0	18.70
04/02/2018	KATEHI, PISTI	DAVIS GOVERNMENT BOND A	0.00	7.99	0.00	7.99	100.0	7.99
04/02/2018	WERNER, DOROTHY	DAVIS GOVERNMENT BOND A	0.00	31.28	0.00	31.28	100.0	31.28
04/02/2018	LEWIS, JOAN	DAVIS GOVERNMENT BOND A	0.00	1.41	0.00	1.41	100.0	1.41
04/02/2018	KNOCH JR, WALTER	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
04/02/2018	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
04/02/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	183.02	0.00	183.02	100.0	183.02
04/02/2018	COCKRILL, JUDITH	DAVIS NEW YORK VENTURE A	0.00	33.86	0.00	33.86	100.0	33.86
04/02/2018	POLANT, LOUIS	DAVIS NEW YORK VENTURE A	0.00	22.95	0.00	22.95	100.0	22.95
04/02/2018	GARCIA, ADELA	DAVIS NEW YORK VENTURE A	0.00	14.16	0.00	14.16	100.0	14.16
04/02/2018	TSEREGOUNIS, SPYROS	DAVIS OPPORTUNITY A	0.00	26.35	0.00	26.35	100.0	26.35
04/20/2018	TWEED, VARIOUS	JAMES ALPHA GLOBAL REAL ESTATE FUND	11,732.94	2.49	0.00	2.49	100.0	2.49
04/20/2018	RENEE TRUST (NEWTON), BEVERLY	JAMES ALPHA GLOBAL REAL ESTATE FUND	1,585.27	0.34	0.00	0.34	100.0	0.34
04/13/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.36	0.00	1.36	100.0	1.36
04/13/2018	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.23	0.00	0.23	100.0	0.23
04/13/2018	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.39	0.00	1.39	100.0	1.39
04/13/2018	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.83	0.00	0.83	100.0	0.83
04/13/2018	HABTE, BISRAT	JH FINANCIAL INDUSTRIES A	0.00	3.94	0.00	3.94	100.0	3.94
04/13/2018	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.64	0.00	2.64	100.0	2.64
04/13/2018	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.77	0.00	6.77	100.0	6.77
04/13/2018	BLOCK, EUGENE	JH INCOME B	0.00	1.11	0.00	1.11	100.0	1.11
04/13/2018	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	4.23	0.00	4.23	100.0	4.23
04/13/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.81	0.00	1.81	100.0	1.81
04/13/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.09	0.00	1.09	100.0	1.09
04/13/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.95	0.00	1.95	100.0	1.95
04/13/2018	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.06	0.00	0.06	100.0	0.06
04/13/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.93	0.00	5.93	100.0	5.93
04/13/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.59	0.00	1.59	100.0	1.59
04/13/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.25	0.00	3.25	100.0	3.25
04/13/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	5.09	0.00	5.09	100.0	5.09
04/13/2018	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.31	0.00	2.31	100.0	2.31
04/13/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.68	0.00	0.68	100.0	0.68

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<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/13/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.46	0.00	1.46	100.0	1.46
04/13/2018	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.64	0.00	3.64	100.0	3.64
04/13/2018	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.05	0.00	0.05	100.0	0.05
04/13/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	0.74	0.00	0.74	100.0	0.74
04/13/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.97	0.00	1.97	100.0	1.97
04/13/2018	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	7.77	0.00	7.77	100.0	7.77
04/13/2018	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.67	0.00	2.67	100.0	2.67
04/13/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.50	0.00	0.50	100.0	0.50
04/13/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.32	0.00	1.32	100.0	1.32
04/13/2018	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.84	0.00	0.84	100.0	0.84
04/13/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	8.35	0.00	8.35	100.0	8.35
04/13/2018	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.96	0.00	1.96	100.0	1.96
04/13/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.61	0.00	0.61	100.0	0.61
04/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	9.07	0.00	9.07	100.0	9.07
04/13/2018	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	100.0	0.11
04/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	7.35	0.00	7.35	100.0	7.35
04/13/2018	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	100.0	0.02
04/13/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	6.87	0.00	6.87	100.0	6.87
04/13/2018	ROBERTS, MICHELLE	SMALL CAP CORE A	0.00	0.02	0.00	0.02	100.0	0.02
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$13,318.21</b>	<b>\$734.75</b>	<b>\$0.00</b>	<b>\$734.75</b>		<b>\$734.75</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/17/2018	MCGINNIS, JAMES	ING LANDMARK	86,787.61	216.97	0.00	216.97	100.0	216.97
04/18/2018	VETERE, VICTORIA	ING PREMIUM PLUS	496.55	0.93	0.00	0.93	100.0	0.93
04/18/2018	BUCKEYE, JOAN	ING PREMIUM PLUS	38,874.03	24.30	0.00	24.30	100.0	24.30
04/12/2018	DURZO, ROSINA	PAC LIFE INNOVATIVE SELECT	0.00	70.06	0.00	70.06	100.0	70.06
04/09/2018	SOUKUP, LAVERN	PAC LIFE PORTFOLIOS	0.00	13.53	0.00	13.53	100.0	13.53
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$126,158.19</b>	<b>\$325.79</b>	<b>\$0.00</b>	<b>\$325.79</b>		<b>\$325.79</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$13,637,023.03</b>	<b>\$37,370.68</b>	<b>\$0.00</b>	<b>\$37,370.68</b>		<b>\$37,370.68</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: G118 GRECO #10 </u></b>								
04/20/2018	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
04/20/2018	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
04/16/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
04/16/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
04/16/2018	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
04/16/2018	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
04/16/2018	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
04/16/2018	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
04/16/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
04/16/2018	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	10.00	0.00	10.00	0.0	10.00
04/02/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
04/02/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
* DANIEL COLLINS SUBTOTAL *			\$1,437.50	\$33.88	\$0.00	\$33.88		\$33.88
<b><u> BROKER: DANIEL WOLF #11 </u></b>								
04/01/2018	SAVELA, RONALD	INVESCO ENERGY FUND A	0.00	0.88	0.00	0.88	0.0	0.88
04/24/2018	CARIGNAN, NANCY	MML CM LIFE VUL	55,205.19	6.90	0.00	6.90	0.0	6.90
* DANIEL WOLF SUBTOTAL *			\$55,205.19	\$7.78	\$0.00	\$7.78		\$7.78
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
03/31/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.21	0.00	0.21	0.0	0.21
03/31/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.11	0.00	1.11	0.0	1.11
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$1.32	\$0.00	\$1.32		\$1.32
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
04/04/2018	HAYES, REBECCA	ALGER CAPITAL APPRECIATION A	0.00	0.21	0.00	0.21	0.0	0.21
04/04/2018	MARTIN, DAVID	ALGER CAPITAL APPRECIATION A	0.00	0.44	0.00	0.44	0.0	0.44
04/04/2018	HAYES, REBECCA	ALGER GROWTH & INCOME A	0.00	0.16	0.00	0.16	0.0	0.16
04/04/2018	HAYES, REBECCA	ALGER LARGE CAP GROWTH A	0.00	0.07	0.00	0.07	0.0	0.07
04/04/2018	MARTIN, DAVID	ALGER LARGE CAP GROWTH A	0.00	0.19	0.00	0.19	0.0	0.19
04/04/2018	HAYES, REBECCA	ALGER MIDCAP GROWTH A	0.00	0.14	0.00	0.14	0.0	0.14
04/04/2018	MARTIN, DAVID	ALGER MIDCAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
04/04/2018	MARTIN, DAVID	ALGER SMALLCAP GROWTH A	0.00	0.25	0.00	0.25	0.0	0.25
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.69	0.00	6.69	0.0	6.69
03/31/2018	WITT, AMANDA	BLK GLOBAL ALLOCATION A	0.00	0.34	0.00	0.34	0.0	0.34
03/31/2018	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.27	0.00	2.27	0.0	2.27
04/02/2018	BOAKS, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.48	0.00	0.48	0.0	0.48
04/02/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
04/02/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	0.00	30.31	0.00	30.31	0.0	30.31
04/02/2018	KANDT, TRACY	DAVIS NEW YORK VENTURE C	0.00	97.82	0.00	97.82	0.0	97.82
04/02/2018	BURESS, KELLY	DAVIS NEW YORK VENTURE C	0.00	6.67	0.00	6.67	0.0	6.67
04/02/2018	HEAD, JOHN	DAVIS NEW YORK VENTURE C	0.00	8.34	0.00	8.34	0.0	8.34
04/01/2018	ROSS JT TEN, LAWRENCE	INVESCO US GOVT A	0.00	5.25	0.00	5.25	0.0	5.25
<b>* THOMAS BOAKS SUBTOTAL *</b>			<b>\$30.00</b>	<b>\$159.99</b>	<b>\$0.00</b>	<b>\$159.99</b>		<b>\$159.99</b>
<b><u>BROKER: BARTHOLOMEW MACKLER #35</u></b>								
04/01/2018	LAW, KEVIN	ALLIANZ VA TRAILS	104,003.93	130.00	0.00	130.00	0.0	130.00
04/03/2018	MARY A ROGERS, J	ALLIANZ GI SHORT DURATION HIGH INC	0.00	2.55	0.00	2.55	0.0	2.55
04/03/2018	MARY A ROGERS, J	ALLIANZ GI SHORT DURATION HIGH INC	0.00	2.55	0.00	2.55	0.0	2.55
04/02/2018	FOXWORTH, ROBERT	BRIGHTHOUSE	78,140.63	195.35	0.00	195.35	0.0	195.35
04/04/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	21.46	0.00	21.46	0.0	21.46
04/04/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	9.45	0.00	9.45	0.0	9.45
04/04/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	9.38	0.00	9.38	0.0	9.38
04/02/2018	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.47	0.00	4.47	0.0	4.47
04/02/2018	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	13.64	0.00	13.64	0.0	13.64
04/02/2018	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	11.58	0.00	11.58	0.0	11.58
04/02/2018	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND A	0.00	3.60	0.00	3.60	0.0	3.60
04/02/2018	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	2.30	0.00	2.30	0.0	2.30
04/02/2018	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	21.99	0.00	21.99	0.0	21.99
04/02/2018	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.75	0.00	1.75	0.0	1.75
04/02/2018	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	7.76	0.00	7.76	0.0	7.76
04/02/2018	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.97	0.00	0.97	0.0	0.97
04/02/2018	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.73	0.00	4.73	0.0	4.73
04/02/2018	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	16.70	0.00	16.70	0.0	16.70
04/02/2018	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	9.53	0.00	9.53	0.0	9.53
04/02/2018	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	16.03	0.00	16.03	0.0	16.03

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<b><u> BROKER: BARTHOLOMEW MACKLER #35 </u></b>								
04/02/2018	NICHOLS, THOMAS	DAVIS OPPORTUNITY A	0.00	10.01	0.00	10.01	0.0	10.01
03/31/2018	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	37,866.16	8.04	0.00	8.04	0.0	8.04
03/31/2018	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	16,191.19	2.80	0.00	2.80	0.0	2.80
03/31/2018	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	23,870.57	5.07	0.00	5.07	0.0	5.07
03/31/2018	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND C	18,349.12	15.59	0.00	15.59	0.0	15.59
03/31/2018	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	38,488.80	32.69	0.00	32.69	0.0	32.69
03/31/2018	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	53,487.50	45.43	0.00	45.43	0.0	45.43
03/31/2018	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	42,488.35	36.08	0.00	36.08	0.0	36.08
03/31/2018	PRICE, BONNIE	GRIFFIN INST'L ACCESS R E FUND C	39,734.29	33.75	0.00	33.75	0.0	33.75
04/16/2018	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	0.0	0.65
* BARTHOLOMEW MACKLER SUBTOTAL *			<b>\$452,685.54</b>	<b>\$675.90</b>	<b>\$0.00</b>	<b>\$675.90</b>		<b>\$675.90</b>
<b><u> BROKER: TIMOTHY BROWN #36 </u></b>								
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.44	0.00	0.44	0.0	0.44
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.64	0.00	0.64	0.0	0.64
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.17	0.00	0.17	0.0	0.17
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.26	0.00	0.26	0.0	0.26
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	3.51	0.00	3.51	0.0	3.51
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	10.52	0.00	10.52	0.0	10.52
* TIMOTHY BROWN SUBTOTAL *			<b>\$0.00</b>	<b>\$15.54</b>	<b>\$0.00</b>	<b>\$15.54</b>		<b>\$15.54</b>
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.15	0.00	0.15	0.0	0.15
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.28	0.00	0.28	0.0	0.28
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04

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<b><u>BROKER: BRUCE BAXTER #38</u></b>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	9.54	0.00	9.54	0.0	9.54
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	28.61	0.00	28.61	0.0	28.61
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$39.18	\$0.00	\$39.18		\$39.18
<b><u>BROKER: JOHN BEAN #45</u></b>								
04/04/2018	HENRY, REBECCA	PIMCO TOTAL RETURN D	0.00	1.06	0.00	1.06	0.0	1.06
* JOHN BEAN SUBTOTAL *			\$0.00	\$1.06	\$0.00	\$1.06		\$1.06
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
04/04/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.83	0.00	2.83	0.0	2.83
04/04/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.88	0.00	0.88	0.0	0.88
04/04/2018	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	7.57	0.00	7.57	0.0	7.57
04/04/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.60	0.00	6.60	0.0	6.60
04/01/2018	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	6.68	0.00	6.68	0.0	6.68
04/01/2018	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	6.16	0.00	6.16	0.0	6.16
04/01/2018	MCCUTCHAN, BARBARA	INVESCO MONEY MARKET C	0.00	2.64	0.00	2.64	0.0	2.64
04/01/2018	MCCUTCHAN, BARBARA	INVESCO SMALL CAP EQUITY FUND	0.00	9.02	0.00	9.02	0.0	9.02
04/01/2018	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	6.13	0.00	6.13	0.0	6.13
04/13/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.36	0.00	2.36	0.0	2.36
04/13/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.35	0.00	2.35	0.0	2.35
04/13/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.09	0.00	1.09	0.0	1.09
04/13/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.79	0.00	1.79	0.0	1.79
04/13/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.33	0.00	2.33	0.0	2.33
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$58.43	\$0.00	\$58.43		\$58.43
<b><u>BROKER: JIM LUND #60</u></b>								
04/16/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
04/05/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
04/09/2018	STARK, TONI	FRANKLIN INCOME C	1,000.00	10.00	0.00	10.00	0.0	10.00

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
04/02/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
04/19/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
04/10/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
04/10/2018	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
04/10/2018	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
<b>* JIM LUND SUBTOTAL *</b>			<b>\$2,350.00</b>	<b>\$46.38</b>	<b>\$0.00</b>	<b>\$46.38</b>		<b>\$46.38</b>
<b><u>BROKER: JAMES PANOS #61</u></b>								
04/02/2018	YFANTOPULOS, GEORGE	BRIGHTHOUSE	251,494.94	628.74	0.00	628.74	0.0	628.74
04/02/2018	KAVANAUGH, MARJORIE	BRIGHTHOUSE	51,570.53	128.93	0.00	128.93	0.0	128.93
04/02/2018	MONAGHAN, THOMAS	BRIGHTHOUSE	103,511.33	258.78	0.00	258.78	0.0	258.78
04/02/2018	CHIARELLA, LAWRENCE	BRIGHTHOUSE	285,051.28	712.63	0.00	712.63	0.0	712.63
04/02/2018	BROPHY, CHARLES	BRIGHTHOUSE	387,264.82	968.16	0.00	968.16	0.0	968.16
04/02/2018	BROPHY, VIRGINIA	BRIGHTHOUSE	159,513.28	398.78	0.00	398.78	0.0	398.78
04/02/2018	KAVANAUGH, MARJORIE	BRIGHTHOUSE	59,063.45	147.66	0.00	147.66	0.0	147.66
04/02/2018	VITUKEVICH, MICHAEL	BRIGHTHOUSE	169,540.16	423.85	0.00	423.85	0.0	423.85
<b>* JAMES PANOS SUBTOTAL *</b>			<b>\$1,467,009.79</b>	<b>\$3,667.53</b>	<b>\$0.00</b>	<b>\$3,667.53</b>		<b>\$3,667.53</b>
<b><u>BROKER: RENE CASTRO #92</u></b>								
04/06/2018	PIRIO, PAUL	ING PREMIUM PLUS	145,291.03	90.81	0.00	90.81	0.0	90.81
04/13/2018	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.34	0.00	2.34	0.0	2.34
04/13/2018	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.19	0.00	9.19	0.0	9.19
<b>* RENE CASTRO SUBTOTAL *</b>			<b>\$145,291.03</b>	<b>\$102.34</b>	<b>\$0.00</b>	<b>\$102.34</b>		<b>\$102.34</b>
<b><u>BROKER: JAMES AULENTI #101</u></b>								
04/09/2018	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	84.90	0.00	84.90	0.0	84.90
<b>* JAMES AULENTI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$84.90</b>	<b>\$0.00</b>	<b>\$84.90</b>		<b>\$84.90</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
04/01/2018	CHANDLER, MARY	ALLIANZ VA TRAILS	119,325.31	149.16	0.00	149.16	0.0	149.16
04/02/2018	PETITT, WILLIAM	BRIGHTHOUSE	32,580.54	81.45	0.00	81.45	0.0	81.45
04/02/2018	COOPER, CHARLES	BRIGHTHOUSE	46,681.16	116.70	0.00	116.70	0.0	116.70
04/02/2018	WILLIAMS, JESSIE	BRIGHTHOUSE	218,831.84	547.08	0.00	547.08	0.0	547.08
04/02/2018	DAUBERT, D	BRIGHTHOUSE	20,204.05	50.51	0.00	50.51	0.0	50.51
04/02/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
04/02/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
04/02/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
04/02/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
04/02/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
04/02/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
04/02/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
04/02/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
04/13/2018	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.97	0.00	1.97	0.0	1.97
04/13/2018	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	3.07	0.00	3.07	0.0	3.07
04/13/2018	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	2.13	0.00	2.13	0.0	2.13
04/13/2018	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.35	0.00	2.35	0.0	2.35
* DANIEL COLLINS SUBTOTAL *			<b>\$438,035.40</b>	<b>\$975.06</b>	<b>\$0.00</b>	<b>\$975.06</b>		<b>\$975.06</b>
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
04/01/2018	OVERHOLSER, DONALD	ALLIANZ VA TRAILS	106,724.90	133.41	0.00	133.41	0.0	133.41
04/04/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.34	0.00	3.34	0.0	3.34
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.96	0.00	2.96	0.0	2.96
03/31/2018	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	11,241.76	2.39	0.00	2.39	0.0	2.39
03/31/2018	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	19,866.79	4.22	0.00	4.22	0.0	4.22
04/01/2018	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.81	0.00	5.81	0.0	5.81
04/01/2018	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	24.59	0.00	24.59	0.0	24.59
04/01/2018	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	22.02	0.00	22.02	0.0	22.02
04/01/2018	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	19.87	0.00	19.87	0.0	19.87
04/01/2018	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	19.95	0.00	19.95	0.0	19.95
04/01/2018	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.31	0.00	1.31	0.0	1.31
04/01/2018	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.73	0.00	0.73	0.0	0.73
04/09/2018	CRABTREE, GARY	MET LIFE 10922P124	8,193.69	1.70	0.00	1.70	0.0	1.70
04/09/2018	CRABTREE, GARY	MET LIFE 10922P124	8,693.65	1.81	0.00	1.81	0.0	1.81
04/09/2018	CRABTREE, GARY	MET LIFE 10922P124	8,022.35	1.67	0.00	1.67	0.0	1.67
04/09/2018	CRABTREE, GARY	MET LIFE 10922P124	10,489.01	2.18	0.00	2.18	0.0	2.18

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
04/09/2018	CRABTREE, GARY	MET LIFE 10922P124	6,558.66	1.36	0.00	1.36	0.0	1.36
04/09/2018	CRABTREE, GARY	MET LIFE 10922P124	36,336.92	7.56	0.00	7.56	0.0	7.56
03/31/2018	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	21,162.93	4.49	0.00	4.49	0.0	4.49
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.51	0.00	1.51	0.0	1.51
04/02/2018	CURTIS, VIRGINIA	TEMPLETON GROWTH A	453.39	22.67	0.00	22.67	0.0	22.67
* JAY MCCLELLAN SUBTOTAL *			<b>\$237,744.05</b>	<b>\$285.55</b>	<b>\$0.00</b>	<b>\$285.55</b>		<b>\$285.55</b>
<b><u> BROKER: TIMOTHY KULHANEK #145 </u></b>								
04/10/2018	GOVE, VARIOUS	AMERITAS MEDLEY	25,154.74	15.72	0.00	15.72	0.0	15.72
04/05/2018	BESENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	150.00	7.13	0.00	7.13	0.0	7.13
* TIMOTHY KULHANEK SUBTOTAL *			<b>\$25,304.74</b>	<b>\$22.85</b>	<b>\$0.00</b>	<b>\$22.85</b>		<b>\$22.85</b>
<b><u> BROKER: CHARLIE ARRINGTON #174 </u></b>								
04/01/2018	MURASZENSKI, LAWRENCE	INVESCO AMERICAN VALUE A	0.00	9.77	0.00	9.77	0.0	9.77
04/01/2018	MATHEIS LIV TRUST, BERNICE	INVESCO ENERGY FUND A	0.00	2.49	0.00	2.49	0.0	2.49
04/01/2018	MURASZENSKI, LAWRENCE	INVESCO ENERGY FUND A	0.00	5.11	0.00	5.11	0.0	5.11
04/01/2018	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL ALLOCATION A	0.00	3.83	0.00	3.83	0.0	3.83
04/01/2018	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL TOTAL RETURN	0.00	2.93	0.00	2.93	0.0	2.93
04/01/2018	MURASZENSKI, LAWRENCE	INVESCO US GOVT A	0.00	8.22	0.00	8.22	0.0	8.22
* CHARLIE ARRINGTON SUBTOTAL *			<b>\$0.00</b>	<b>\$32.35</b>	<b>\$0.00</b>	<b>\$32.35</b>		<b>\$32.35</b>
<b><u> BROKER: BRUCE CARNICK #175 </u></b>								
04/02/2018	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	0.00	98.76	0.00	98.76	0.0	98.76
04/02/2018	WOLAK, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	55.00	0.00	55.00	0.0	55.00
* BRUCE CARNICK SUBTOTAL *			<b>\$0.00</b>	<b>\$153.76</b>	<b>\$0.00</b>	<b>\$153.76</b>		<b>\$153.76</b>
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
04/13/2018	WALSH, VARIOUS		117,324.84	24.38	0.00	24.38	0.0	24.38
* WILLIAM WALSH SUBTOTAL *			<b>\$117,324.84</b>	<b>\$24.38</b>	<b>\$0.00</b>	<b>\$24.38</b>		<b>\$24.38</b>
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
03/31/2018	MEDIGER, KYLE	GRIFFIN CAPITAL ESSENTIAL ASSET II	11,461.73	28.26	0.00	28.26	0.0	28.26
04/01/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	0.00	7.64	0.00	7.64	0.0	7.64
04/01/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	0.00	34.24	0.00	34.24	0.0	34.24
04/01/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	0.00	27.40	0.00	27.40	0.0	27.40
04/01/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	0.00	44.78	0.00	44.78	0.0	44.78

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MICHELE BOYER #189</u></b>								
04/01/2018	EVERY, CHARLES	INVESCO EQUITY AND INCOME C	0.00	162.40	0.00	162.40	0.0	162.40
04/01/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	0.00	4.05	0.00	4.05	0.0	4.05
04/01/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	0.00	35.95	0.00	35.95	0.0	35.95
04/01/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	0.00	57.85	0.00	57.85	0.0	57.85
04/01/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	0.00	35.71	0.00	35.71	0.0	35.71
04/01/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	0.00	10.18	0.00	10.18	0.0	10.18
04/01/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	0.00	5.21	0.00	5.21	0.0	5.21
04/01/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	0.00	38.22	0.00	38.22	0.0	38.22
04/01/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	0.00	6.39	0.00	6.39	0.0	6.39
04/01/2018	BARTELS, CHRIS	INVESCO MONEY MARKET C	0.00	3.62	0.00	3.62	0.0	3.62
04/01/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	0.00	38.04	0.00	38.04	0.0	38.04
04/04/2018	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.89	0.00	3.89	0.0	3.89
04/04/2018	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.38	0.00	8.38	0.0	8.38
* MICHELE BOYER SUBTOTAL *			\$11,461.73	\$552.21	\$0.00	\$552.21		\$552.21
<b><u>BROKER: MICHAEL PALMISANO #196</u></b>								
04/01/2018	STEGER, GENE	INVESCO AMER VAL 00143M828	0.00	9.03	0.00	9.03	0.0	9.03
04/01/2018	STEGER, GENE	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	9.59	0.00	9.59	0.0	9.59
04/01/2018	STEGER, GENE	INVESCO EQUITY AND INCOME C	0.00	9.87	0.00	9.87	0.0	9.87
04/01/2018	STEGER, GENE	INVESCO REAL ESTATE FUND CL C	0.00	1.97	0.00	1.97	0.0	1.97
04/01/2018	STEGER, GENE	INVESCO TECHNOLOGY C	0.00	8.66	0.00	8.66	0.0	8.66
04/01/2018	STEGER, GENE	INVESCO VALUE OPPORTUNITIES FUND CL	0.00	10.10	0.00	10.10	0.0	10.10
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$49.22	\$0.00	\$49.22		\$49.22
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
04/04/2018	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.85	0.00	8.85	0.0	8.85
04/04/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.60	0.00	7.60	0.0	7.60
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$16.45	\$0.00	\$16.45		\$16.45
<b><u>BROKER: MARIA BONGIOVANNI #237</u></b>								
04/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	35,446.84	88.62	0.00	88.62	0.0	88.62
04/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	40,216.91	100.54	0.00	100.54	0.0	100.54
04/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	41,960.50	104.90	0.00	104.90	0.0	104.90
* MARIA BONGIOVANNI SUBTOTAL *			\$117,624.25	\$294.06	\$0.00	\$294.06		\$294.06

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
04/19/2018	BROOKS, TAMMY	NWL BOA NG FP VUL	2,500.00	50.00	0.00	50.00	0.0	50.00
* WILLIAM THOMAS SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$50.00
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
03/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
03/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	3.18	0.00	3.18	0.0	3.18
03/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.07	0.00	0.07	0.0	0.07
03/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	32.71	0.00	32.71	0.0	32.71
03/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	26.65	0.00	26.65	0.0	26.65
03/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.81	0.00	2.81	0.0	2.81
03/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.90	0.00	10.90	0.0	10.90
03/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.88	0.00	8.88	0.0	8.88
03/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.44	0.00	8.44	0.0	8.44
04/01/2018	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.66	0.00	4.66	0.0	4.66
04/01/2018	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.54	0.00	2.54	0.0	2.54
04/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
04/15/2018	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.60	0.00	0.60	0.0	0.60
04/17/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$282.00	\$104.00	\$0.00	\$104.00		\$104.00
<b><u> BROKER: OVERRIDE REJECT #360 </u></b>								
04/02/2018	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	0.0	2.76
04/02/2018	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	0.0	1.74
04/09/2018	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	0.0	14.00
* OVERRIDE REJECT SUBTOTAL *			\$925.00	\$18.50	\$0.00	\$18.50		\$18.50
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
04/12/2018	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	14,663.72	36.66	0.00	36.66	0.0	3.67
04/14/2018	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	197,923.03	494.81	0.00	494.81	0.0	49.48
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.17	0.00	5.17	0.0	0.52
04/13/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.58	0.00	1.58	0.0	0.16
04/13/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.50	0.00	12.50	0.0	1.25
04/13/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	4.01	0.00	4.01	0.0	0.40
04/13/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.50	0.00	1.50	0.0	0.15
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$212,586.75	\$556.23	\$0.00	\$556.23		\$55.63

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

*** OVERRIDE TRANSACTIONS TOTAL ***	\$3,287,797.81	\$8,028.85	\$0.00	\$8,028.85	\$7,528.25
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BROKER COMMISSION TOTALS

Broker Transactions	\$37,565.18
Split Transactions	\$0.00
Override Transactions	\$7,528.25
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$45,093.43
Year-to-date Gross	\$66,322.96
Year-to-date Earnings	\$130,828.67

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed