



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
August 31, 2017

08/29/2017  
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DAVID KERN  
4321 Flagstaff Cove

BROKER #: K123  
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	PAYNE, MARTHA	GUGGENHEIM DIVERSIFIED INCOME C	0.00	2.14	0.00	2.14	90.0	1.93
/ /	PAYNE, MARTHA	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	3.18	0.00	3.18	90.0	2.86
/ /	PAYNE, MARTHA	GUGGENHEIM RBP LARGE CAP DEFENSIVE	0.00	1.07	0.00	1.07	90.0	0.96
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.08	0.00	0.08	90.0	0.07
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.25	0.00	0.25	90.0	0.23
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.05	0.00	0.05	90.0	0.05
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.32	0.00	0.32	90.0	0.29
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.06	0.00	0.06	90.0	0.05
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.13	0.00	0.13	90.0	0.12
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.03	0.00	0.03	90.0	0.03
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.39	0.00	0.39	90.0	0.35
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.08	0.00	0.08	90.0	0.07
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	0.76	0.00	0.76	90.0	0.68
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.15	0.00	0.15	90.0	0.14
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	2.28	0.00	2.28	90.0	2.05
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.45	0.00	0.45	90.0	0.41
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$11.57	\$0.00	\$11.57		\$10.43

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

08/15/2017	KERN, LEESA	AMERITAS LOWLOAD VUL	490.04	0.04	0.00	0.04	90.0	0.04
08/17/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	372.94	0.03	0.00	0.03	90.0	0.03
08/25/2017	KERN, DAVID	AMERITAS LOWLOAD VUL	9,693.09	0.80	0.00	0.80	90.0	0.72
08/15/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
08/15/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	285,232.16	58.61	0.00	58.61	90.0	52.75
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	830,196.24	204.71	0.00	204.71	90.0	184.24
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,888.81	10.66	0.00	10.66	90.0	9.59
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,096.59	0.76	0.00	0.76	90.0	0.68
* VARIABLE ANNUITIES SUBTOTAL *			\$1,184,369.87	\$400.61	\$0.00	\$400.61		\$360.55
*** BROKER TRANSACTIONS TOTAL ***			\$1,184,369.87	\$412.18	\$0.00	\$412.18		\$370.98

**BROKER COMMISSION TOTALS**

Broker Transactions	\$370.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$370.98
Year-to-date Gross	\$75,397.59
Year-to-date Earnings	\$67,895.64

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