

# Bill Payment Stub

Check Date:	4/25/2018
Check No.:	ACH
Check Amount:	1,728.20

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: TOM BRISTER  
32180 INVERNESS DR.  
EVERGREEN, CO 80439

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	1,998.20	1,998.20		1,998.20
4/5/2018	Credit	FINRA ASSESMNT- AL...	-135.00	-135.00		-135.00
4/5/2018	Credit	FINRA ASSESSMENT	-135.00	-135.00		-135.00

# COMMISSION STATEMENT

April 15, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

## COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/02/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
04/02/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
04/02/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
04/02/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
04/02/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: FIXED ANNUITIES											
04/09/2018	(None)	ROBERTS, JEFFREY	FA	MIDLAND NATL FIA	B	6,500.00	260.00	0.00	260.00	87.5	227.50
04/09/2018	(None)	ROBERTS, BONNIE	FA	MIDLAND NATL FIA	B	6,500.00	260.00	0.00	260.00	87.5	227.50
* FIXED ANNUITIES SUBTOTAL *						\$13,000.00	\$520.00	\$0.00	\$520.00		\$455.00
PRODUCT CATEGORY: MISCELLANEOUS											
03/31/2018	VARIOUS, BRISTER T	MI	PVG		B	0.00	454.12	0.00	454.12	87.5	397.36
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$454.12	\$0.00	\$454.12		\$397.36
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
03/31/2018	(None)	VARIOUS, BRISTER T	MFT	SCHOLARS CHOICE	B	0.00	77.64	0.00	77.64	87.5	67.94
04/01/2018	00002230696	OLSON, SCOT	MFT	AIM CHARTER FUND - CLASS A	B	0.00	39.08	0.00	39.08	87.5	34.20
04/01/2018	01001329129	DAVIS, MARGARET	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	51.11	0.00	51.11	87.5	44.72
04/01/2018	03810221121	BROWN, WILLIAM	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.69	0.00	6.69	87.5	5.85
04/01/2018	04030071965	GERONIMI,	MFT	AIM CASH RESERVE SHARES	B	0.00	9.78	0.00	9.78	87.5	8.56
04/01/2018	04030072013	GERONIMI, DANN	MFT	AIM CASH RESERVE SHARES	B	0.00	4.79	0.00	4.79	87.5	4.19
04/01/2018	04030310520	GERONIMI,	MFT	AIM CASH RESERVE SHARES	B	0.00	26.61	0.00	26.61	87.5	23.28
04/01/2018	04031349287	PETERSON, CYNTHIA	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.00	0.00	2.00	87.5	1.75
04/01/2018	08191344668	DAVIS, MARGARET	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	42.33	0.00	42.33	87.5	37.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$260.03	\$0.00	\$260.03		\$227.53
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
03/31/2018	(None)	VARIOUS, BRISTER T	VAT	PRUDENTIAL LIFE	B	0.00	826.01	0.00	826.01	87.5	722.76
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$826.01	\$0.00	\$826.01		\$722.76

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** DIRECT TRANSACTIONS TOTAL ***				\$13,900.00	\$2,093.93	\$0.00	\$2,093.93		\$1,832.21

OVERRIDE TRANSACTIONS

BROKER: ALEX BRISTER-D010 #14

04/03/2018 04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	750.00	20.63	0.00	20.63		7.74
03/31/2018 (None)	VARIOUS, BRISTER A	MFT SCHOLARS CHOICE	B	0.00	421.99	0.00	421.99		158.25
* ALEX BRISTER-D010 SUBTOTAL *				\$750.00	\$442.62	\$0.00	\$442.62		\$165.99
*** OVERRIDE TRANSACTIONS TOTAL ***				\$750.00	\$442.62	\$0.00	\$442.62		\$165.99

BROKER COMMISSION TOTALS

Broker Transactions	\$1,832.21
Split Transactions	\$0.00
Override Transactions	\$165.99
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,998.20
Year-to-date Commissions	\$10,530.51