

COMMISSION STATEMENT

April 03, 2017

JAY SHARTSIS
54 BIRCHWOOD LANEBROKER #:
BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/22/2017	75501786 WRIGHTON, BRYAN	ENTERPRISE PRODUCTS PARTNERS LP	S	5,396.58	50.00	10.00	40.00	50.0	20.00
03/22/2017	75501786 WRIGHTON, BRYAN	HP INC COM	S	3,442.58	50.00	10.00	40.00	50.0	20.00
03/10/2017	QGW009151 KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	B	1,122.50	59.90	10.00	49.90	50.0	24.95
03/24/2017	QGW009151 KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	S	-1,911.31	105.53	10.00	95.53	50.0	47.77
03/17/2017	QGW009177 -, MICHAEL KRUEGER	MCDERMOTT INTL INC	B	8,000.00	50.00	10.00	40.00	50.0	20.00
03/17/2017	QGW009177 -, MICHAEL KRUEGER	TAHOE RES INC COM ISIN#CA8738681037	B	7,500.00	50.00	10.00	40.00	50.0	20.00
03/22/2017	QGW009540 CINQUEMANI, FRANK	SNAP INC CLASS A COMMON STOCK	B	21,789.80	80.00	10.00	70.00	50.0	35.00
03/03/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M B		24,000.00	120.00	10.00	110.00	50.0	55.00
03/03/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M S		-6,000.00	60.00	10.00	50.00	50.0	25.00
03/03/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M S		-12,000.00	120.00	10.00	110.00	50.0	55.00
03/17/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M B		14,000.00	120.00	10.00	110.00	50.0	55.00
03/17/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M B		-14,000.00	-120.00	-10.00	-110.00	50.0	-55.00 C
03/17/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M B		14,000.00	120.00	10.00	110.00	50.0	55.00
03/23/2017	QGW009581 PRINDLE DDS	DIREXION SHS ETF TR DAILY JR GOLD M S		-14,601.80	120.00	10.00	110.00	50.0	55.00
03/13/2017	QGW021461 BURNS, RHONDIE	DIREXION SHS ETF TR DAILY SILVER MI B		5,684.95	128.13	10.00	118.13	50.0	59.07
03/24/2017	QGW021461 BURNS, RHONDIE	EDITAS MEDICINE INC COM	B	3,891.80	75.93	10.00	65.93	50.0	32.97
03/28/2017	QGW021461 BURNS, RHONDIE	TUESDAY MORNING CORP COM NEW	B	1,840.00	72.51	10.00	62.51	50.0	31.26
03/17/2017	QGW029514 HUNT, GREGORY	MOBILEYE N V ORD SHS	B	50,000.00	499.28	10.00	489.28	50.0	244.64
03/17/2017	QGW029514 HUNT, GREGORY	MOBILEYE N V ORD SHS	S	-47,000.00	484.38	10.00	474.38	50.0	237.19
03/17/2017	QGW029514 HUNT, GREGORY	MOBILEYE N V ORD SHS	B	-50,000.00	-499.28	-10.00	-489.28	50.0	-244.64 C
03/17/2017	QGW029514 HUNT, GREGORY	MOBILEYE N V ORD SHS	B	50,000.00	80.00	10.00	70.00	50.0	35.00
03/17/2017	QGW029514 HUNT, GREGORY	MOBILEYE N V ORD SHS	S	47,000.00	-484.38	-10.00	-474.38	50.0	-237.19 C
03/17/2017	QGW029514 HUNT, GREGORY	MOBILEYE N V ORD SHS	S	-47,000.00	80.00	10.00	70.00	50.0	35.00
* STOCKS SUBTOTAL *				\$65,155.10	\$1,422.00	\$170.00	\$1,252.00		\$626.02

PRODUCT CATEGORY: OPTIONS

03/23/2017	QGW009094 KANTO, WILLIAM	CALL 100 NEW STANDARD & POORS 500 C B		6,670.00	80.73	10.00	70.73	50.0	35.37
03/30/2017	QGW009094 KANTO, WILLIAM	PUT 100 FORD MOTOR CO DEL COM PAR E S		-8,560.00	247.21	10.00	237.21	50.0	118.61
03/24/2017	QGW009151 KOBOLD, MICHAEL	PUT 100 ALPHABET INC CL C EXP 04-21 B		2,252.00	58.60	10.00	48.60	50.0	24.30
03/01/2017	QGW009177 -, MICHAEL KRUEGER	PUT 100 SIBANYE GOLD LTD SPONSORED S		-845.00	50.00	10.00	40.00	50.0	20.00
03/10/2017	QGW009177 -, MICHAEL KRUEGER	PUT 100 TAHOE RES INC COM ISIN#CA87 S		-250.00	50.00	10.00	40.00	50.0	20.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/21/2017	QGW009177 - , MICHAEL KRUEGER	PUT 100 ULTRA CLEAN HLDGS INC COM E S		-275.00	50.00	10.00	40.00	50.0	20.00
03/28/2017	QGW009177 - , MICHAEL KRUEGER	PUT 100 SIBANYE GOLD LTD SPONSORED S		-325.00	50.00	10.00	40.00	50.0	20.00
03/30/2017	QGW009177 - , MICHAEL KRUEGER	CALL 100 TAHOE RES INC COM ISIN#CA8 S		-400.00	50.00	10.00	40.00	50.0	20.00
02/28/2017	QGW009581 PRINDLE DDS	CALL 100 DIREXION SHS ETF TR DAILY S		-1,330.00	50.00	10.00	40.00	50.0	20.00
03/01/2017	QGW009581 PRINDLE DDS	CALL 100 DIREXION SHS ETF TR DAILY S		-240.00	50.00	10.00	40.00	50.0	20.00
03/03/2017	QGW009581 PRINDLE DDS	CALL 100 DIREXION SHS ETF TR DAILY S		-600.00	50.00	10.00	40.00	50.0	20.00
03/07/2017	QGW009581 PRINDLE DDS	CALL 100 DIREXION SHS ETF TR DAILY S		-975.00	50.00	10.00	40.00	50.0	20.00
03/10/2017	QGW009581 PRINDLE DDS	CALL 100 DIREXION SHS ETF TR DAILY S		-1,200.00	50.00	10.00	40.00	50.0	20.00
03/15/2017	QGW009581 PRINDLE DDS	PUT 100 DIREXION SHS ETF TR DAILY J S		-500.00	50.00	10.00	40.00	50.0	20.00
03/16/2017	QGW009581 PRINDLE DDS	PUT 100 DIREXION SHS ETF TR DAILY J S		-780.00	50.00	10.00	40.00	50.0	20.00
03/17/2017	QGW009581 PRINDLE DDS	PUT 100 DIREXION SHS ETF TR DAILY J B		1,900.00	50.00	10.00	40.00	50.0	20.00
03/22/2017	QGW009581 PRINDLE DDS	CALL 100 WASHINGTON PRIME GROUP NEW S		-500.00	50.00	10.00	40.00	50.0	20.00
03/22/2017	QGW009581 PRINDLE DDS	PUT 100 WASHINGTON PRIME GROUP EXP S		-500.00	50.00	10.00	40.00	50.0	20.00
03/02/2017	QGW021461 BURNS, RHONDIE	PUT 100 DIREXION SHS ETF TR DAILY J S		-1,660.00	50.00	10.00	40.00	50.0	20.00
03/17/2017	QGW029514 HUNT, GREGORY	CALL 100 BUFFALO WILD WINGS INC COM B		1,550.00	68.37	10.00	58.37	50.0	29.19
03/17/2017	QGW029514 HUNT, GREGORY	CALL 100 SODASTREAM INTERNATIONAL L B		2,000.00	107.80	10.00	97.80	50.0	48.90
03/17/2017	QGW029514 HUNT, GREGORY	PUT 100 SPDR SER TR S&P REGL BKG ET S		-3,140.00	165.29	10.00	155.29	50.0	77.65
03/27/2017	QGW029514 HUNT, GREGORY	CALL 100 PINNACLE WEST CAP CORP COM B		2,700.00	83.93	10.00	73.93	50.0	36.97
03/28/2017	QGW029514 HUNT, GREGORY	CALL 100 INTERNATIONAL BUSINESS MAC S		-6,500.00	224.18	10.00	214.18	50.0	107.09
03/28/2017	QGW029514 HUNT, GREGORY	CALL 100 INTERNATIONAL BUSINESS MAC B		4,200.00	175.78	10.00	165.78	50.0	82.89
* OPTIONS SUBTOTAL *				\$-7,308.00	\$2,011.89	\$250.00	\$1,761.89		\$880.97
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/10/2017	75501786 WRIGHTON, BRYAN	**CLEARBRIDGE LARGE CAP VALUE	B	0.00	38.37	0.00	38.37	50.0	19.19
03/29/2017	QGW030561 CERASO, ANTONIO	BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	0.24	0.00	0.24	50.0	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$38.61	\$0.00	\$38.61		\$19.31
*** BROKER TRANSACTIONS TOTAL ***				\$57,847.10	\$3,472.50	\$420.00	\$3,052.50		\$1,526.30
<u>ADJUSTMENTS</u>									
04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/03/2017		OTHER: CBOE		0.00	0.00	0.00	0.00		-500.00
04/03/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-192.72
04/03/2017		OTHER: QUODD		0.00	0.00	0.00	0.00		-121.25
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-833.97

BROKER COMMISSION TOTALS

Broker Transactions	\$1,526.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-833.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.34
SIPC Assessment	\$-65.11

Check Amount	\$622.88
Year-to-date Earnings	\$1,927.66