



Concorde Investment Services, LLC

04/17/2018

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**COMMISSION STATEMENT****April 15, 2018**

ROBERT, S117 SMITH  
5000 Meadows Road  
Suite 230  
Lake Oswego, OR 97035

BROKER #: S117  
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
04/06/2018	JAY CEE INC	MOODY DFW DST	977,251.94	58,635.12	0.00	58,635.12	92.0	53,944.31
* 1031 EXCHANGES SUBTOTAL *			\$977,251.94	\$58,635.12	\$0.00	\$58,635.12		\$53,944.31
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/15/2018	BENNETT, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.02	0.00	5.02	92.0	4.62
03/15/2018	BENNETT, GLENDA	FRANKLIN DYNATECH A	0.00	0.56	0.00	0.56	92.0	0.52
03/15/2018	BENNETT, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.41	0.00	7.41	92.0	6.82
03/15/2018	BENNETT, GLENDA	FRANKLIN GROWTH A	0.00	8.25	0.00	8.25	92.0	7.59
03/15/2018	BENNETT, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.05	0.00	2.05	92.0	1.89
03/15/2018	BENNETT, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.57	0.00	4.57	92.0	4.20
03/15/2018	BENNETT, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.36	0.00	3.36	92.0	3.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$31.22	\$0.00	\$31.22		\$28.73
*** DIRECT TRANSACTIONS TOTAL ***			\$977,251.94	\$58,666.34	\$0.00	\$58,666.34		\$53,973.04

**ADJUSTMENTS**

04/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
04/15/2018	*CONCIERGE SVC: 211150 LUQUE	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 211485 STEPHENSON AUTHORIZED SIGNER	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 211535 BENNETT TTEE	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 211650 PARMENTER	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 211651 PARMENTER	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 211857 FENIMORE	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 212894 RAMSTEN TTEE	0.00	-50.00
04/15/2018	*CONCIERGE SVC: 212913 HUNT	0.00	-50.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.98
04/15/2018		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-130.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,018.98

**BROKER COMMISSION TOTALS**

Broker Transactions	\$53,973.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,018.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$52,954.06
Year-to-date Gross	\$475,816.61
Year-to-date Earnings	\$437,572.54

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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