



Concorde Investment Services, LLC
COMMISSION STATEMENT
October 15, 2017

10/11/2017
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BLB BRENT/BRION TRU-LEE/NORBY

BROKER #: BLB
BRANCH: TRUWEALTH FINANCIAL

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^10/02/2017 | KLINE, STEWART | AM FUNDS COLLEGE 2030 FUND 529A | 225.00 | 7.88 | 0.00 | 7.88 | 100.0 | 0.00 |
| ^10/02/2017 | KLINE, STEWART | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 180.00 | 6.30 | 0.00 | 6.30 | 100.0 | 0.00 |
| ^10/02/2017 | KLINE, STEWART | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 160.00 | 5.60 | 0.00 | 5.60 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$565.00 | \$19.78 | \$0.00 | \$19.78 | | \$0.00 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| ^10/10/2017 | SIKOLE, ROBERT | COTTONWOOD MULTIFAMILY REIT II, INC | 86,500.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | \$86,500.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$87,065.00 | \$19.78 | \$0.00 | \$19.78 | | \$0.00 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 10/15/2017 | | *PERSHING: BOOKS & RECORDS | 0.00 | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.04 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.04 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |