

STATEMENT

January 20, 2018

JEFF JONES - LPCM

BROKER #: 3016

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for JEFF JONES - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

01/01/2018 #550396	TCA JONES VAR	DO NOT SELL SECURITIES	B	280,741.89	-81.88	0.00	0.00	-81.88	85.0	-69.60
01/01/2018 #550305	TCA JONES VAR	DO NOT SELL SECURITIES	B	126,403.39	-36.87	0.00	0.00	-36.87	85.0	-31.34
01/01/2018 #555896	TCA JONES VAR	DO NOT SELL SECURITIES	B	16,875.61	-4.92	0.00	0.00	-4.92	85.0	-4.18
01/01/2018 #549008	TCA JONES VAR	DO NOT SELL SECURITIES	B	179,901.06	-52.47	0.00	0.00	-52.47	85.0	-44.60
01/01/2018 #548999	TCA JONES VAR	DO NOT SELL SECURITIES	B	580.32	-0.17	0.00	0.00	-0.17	85.0	-0.14
01/01/2018 #549002	TCA JONES VAR	DO NOT SELL SECURITIES	B	190.01	-0.06	0.00	0.00	-0.06	85.0	-0.05
01/01/2018 #557788	TCA JONES VAR	DO NOT SELL SECURITIES	B	4,335.59	-1.26	0.00	0.00	-1.26	85.0	-1.07
01/01/2018 #557459	TCA JONES VAR	DO NOT SELL SECURITIES	B	28,969.12	-8.45	0.00	0.00	-8.45	85.0	-7.18
01/01/2018 #552744	TCA JONES VAR	DO NOT SELL SECURITIES	B	16,708.43	-4.87	0.00	0.00	-4.87	85.0	-4.14
01/01/2018 #549008	TCA JONES VAR	LPCM AGGR 40/40/20	B	1,040,270.47	-390.10	0.00	0.00	-390.10	85.0	-331.59
01/01/2018 #547430	TCA JONES VAR	LPCM AGGR 40/40/20	B	164,343.35	-82.17	0.00	0.00	-82.17	85.0	-69.84
01/01/2018 #550396	TCA JONES VAR	LPCM AGGR 40/40/20	B	75,191.20	-37.60	0.00	0.00	-37.60	85.0	-31.96
01/01/2018 #552744	TCA JONES VAR	LPCM AGGR 40/40/20	B	156,486.19	-78.24	0.00	0.00	-78.24	85.0	-66.50
01/01/2018 #547430	TCA JONES VAR	LPCM AGGR 40/40/20	B	164,343.35	205.43	0.00	0.00	205.43	85.0	174.62
01/01/2018 #549008	TCA JONES VAR	LPCM AGGR 40/40/20	B	1,040,270.47	1,300.34	0.00	0.00	1,300.34	85.0	1,105.29
01/01/2018 #550396	TCA JONES VAR	LPCM AGGR 40/40/20	B	75,191.20	93.99	0.00	0.00	93.99	85.0	79.89
01/01/2018 #552744	TCA JONES VAR	LPCM AGGR 40/40/20	B	156,486.19	195.61	0.00	0.00	195.61	85.0	166.27
01/01/2018 #557465	TCA JONES VAR	LPCM CONS 20/20/60	B	216,629.87	-108.31	0.00	0.00	-108.31	85.0	-92.06
01/01/2018 #557465	TCA JONES VAR	LPCM CONS 20/20/60	B	216,629.87	270.79	0.00	0.00	270.79	85.0	230.17
01/01/2018 #547423	TCA JONES VAR	LPCM MOD 30/30/40	B	150,948.23	-56.61	0.00	0.00	-56.61	85.0	-48.12
01/01/2018 #550310	TCA JONES VAR	LPCM MOD 30/30/40	B	67,938.58	-25.48	0.00	0.00	-25.48	85.0	-21.66
01/01/2018 #547450	TCA JONES VAR	LPCM MOD 30/30/40	B	89,709.61	-44.85	0.00	0.00	-44.85	85.0	-38.12
01/01/2018 #547500	TCA JONES VAR	LPCM MOD 30/30/40	B	19,331.39	-9.67	0.00	0.00	-9.67	85.0	-8.22
01/01/2018 #548999	TCA JONES VAR	LPCM MOD 30/30/40	B	69,735.00	-34.87	0.00	0.00	-34.87	85.0	-29.64
01/01/2018 #549002	TCA JONES VAR	LPCM MOD 30/30/40	B	38,760.32	-19.38	0.00	0.00	-19.38	85.0	-16.47
01/01/2018 #550305	TCA JONES VAR	LPCM MOD 30/30/40	B	19,222.81	-9.61	0.00	0.00	-9.61	85.0	-8.17
01/01/2018 #552745	TCA JONES VAR	LPCM MOD 30/30/40	B	170,484.05	-85.24	0.00	0.00	-85.24	85.0	-72.45
01/01/2018 #554109	TCA JONES VAR	LPCM MOD 30/30/40	B	1,191.56	-0.60	0.00	0.00	-0.60	85.0	-0.51
01/01/2018 #557459	TCA JONES VAR	LPCM MOD 30/30/40	B	42,786.90	-21.39	0.00	0.00	-21.39	85.0	-18.18
01/01/2018 #564245	TCA JONES VAR	LPCM MOD 30/30/40	B	231,895.37	-115.95	0.00	0.00	-115.95	85.0	-98.56
01/01/2018 #547423	TCA JONES VAR	LPCM MOD 30/30/40	B	150,948.23	188.69	0.00	0.00	188.69	85.0	160.39

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
01/01/2018	#547450 TCA JONES VAR	LPCM MOD 30/30/40	B	89,709.61	112.14	0.00	0.00	112.14	85.0	95.32
01/01/2018	#547500 TCA JONES VAR	LPCM MOD 30/30/40	B	19,331.39	24.16	0.00	0.00	24.16	85.0	20.54
01/01/2018	#548999 TCA JONES VAR	LPCM MOD 30/30/40	B	69,735.00	87.17	0.00	0.00	87.17	85.0	74.09
01/01/2018	#549002 TCA JONES VAR	LPCM MOD 30/30/40	B	38,760.32	48.45	0.00	0.00	48.45	85.0	41.18
01/01/2018	#550305 TCA JONES VAR	LPCM MOD 30/30/40	B	19,222.81	24.03	0.00	0.00	24.03	85.0	20.43
01/01/2018	#550310 TCA JONES VAR	LPCM MOD 30/30/40	B	67,938.58	84.92	0.00	0.00	84.92	85.0	72.18
01/01/2018	#552745 TCA JONES VAR	LPCM MOD 30/30/40	B	170,484.05	213.11	0.00	0.00	213.11	85.0	181.14
01/01/2018	#554109 TCA JONES VAR	LPCM MOD 30/30/40	B	1,191.56	4.17	0.00	0.00	4.17	85.0	3.54
01/01/2018	#557459 TCA JONES VAR	LPCM MOD 30/30/40	B	42,786.90	53.48	0.00	0.00	53.48	85.0	45.46
01/01/2018	#564245 TCA JONES VAR	LPCM MOD 30/30/40	B	231,895.37	289.87	0.00	0.00	289.87	85.0	246.39
01/01/2018	#547493 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	54,236.32	-27.12	0.00	0.00	-27.12	85.0	-23.05
01/01/2018	#547497 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	16,527.14	-8.26	0.00	0.00	-8.26	85.0	-7.02
01/01/2018	#547498 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	97,322.01	-48.66	0.00	0.00	-48.66	85.0	-41.36
01/01/2018	#547493 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	54,236.32	67.80	0.00	0.00	67.80	85.0	57.63
01/01/2018	#547497 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	16,527.14	20.66	0.00	0.00	20.66	85.0	17.56
01/01/2018	#547498 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	97,322.01	121.65	0.00	0.00	121.65	85.0	103.40
01/01/2018	#550397 TCA JONES VAR	LPCM ULTRA CONS 10/10/80	B	89,938.45	-44.97	0.00	0.00	-44.97	85.0	-38.22
01/01/2018	#550402 TCA JONES VAR	LPCM ULTRA CONS 10/10/80	B	47,838.31	-23.92	0.00	0.00	-23.92	85.0	-20.33
01/01/2018	#550397 TCA JONES VAR	LPCM ULTRA CONS 10/10/80	B	89,938.45	112.42	0.00	0.00	112.42	85.0	95.56
01/01/2018	#550402 TCA JONES VAR	LPCM ULTRA CONS 10/10/80	B	47,838.31	59.80	0.00	0.00	59.80	85.0	50.83
01/01/2018	#555896 TCA JONES VAR	TCA PLATFORM FEE	B	1,775.03	-0.52	0.00	0.00	-0.52	85.0	-0.44
01/01/2018	#549008 TCA JONES VAR	TCA PLATFORM FEE	B	11,331.12	-3.30	0.00	0.00	-3.30	85.0	-2.81
01/01/2018	#557460 TCA JONES VAR	TCA PLATFORM FEE	B	45.04	-0.01	0.00	0.00	-0.01	85.0	-0.01
01/01/2018	#550402 TCA JONES VAR	TCA PLATFORM FEE	B	10,620.87	-3.10	0.00	0.00	-3.10	85.0	-2.64
01/01/2018	#554109 TCA JONES VAR	TCA PLATFORM FEE	B	23,262.83	-6.78	0.00	0.00	-6.78	85.0	-5.76
01/01/2018	#547493 TCA JONES VAR	TCA PLATFORM FEE	B	76,143.73	-22.21	0.00	0.00	-22.21	85.0	-18.88
01/01/2018	#557465 TCA JONES VAR	TCA PLATFORM FEE	B	6,561.06	-1.91	0.00	0.00	-1.91	85.0	-1.62
01/01/2018	#547430 TCA JONES VAR	TCA PLATFORM FEE	B	24,599.30	-7.17	0.00	0.00	-7.17	85.0	-6.09
01/01/2018	#557459 TCA JONES VAR	TCA PLATFORM FEE	B	206.56	-0.06	0.00	0.00	-0.06	85.0	-0.05
* RIA SUBTOTAL *				<u>\$6,530,825.22</u>	<u>\$2,069.67</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$2,069.67</u>		<u>\$1,759.25</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$6,530,825.22</u>	<u>\$2,069.67</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$2,069.67</u>		<u>\$1,759.25</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,759.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,759.25
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