



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 30, 2017

06/29/2017
Page No. 1

JOSEPH MICHALETZ
41474 Judson Bottom Road

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

North Mankato, MI 56003-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u> | | | | | | | | |
| 05/24/2017 | DIVENTURES LLC | BOURNE SENIORS HOUSING III | 500,000.00 | 35,000.00 | 0.00 | 35,000.00 | 93.0 | 32,550.00 |
| 06/20/2017 | STAFFEN, ROBERT | GPB AUTOMOTIVE PORTFOLIO LP | 150,000.00 | 10,500.00 | 0.00 | 10,500.00 | 93.0 | 9,765.00 |
| * PRIVATE PLACEMENT SUBTOTAL * | | | \$650,000.00 | \$45,500.00 | \$0.00 | \$45,500.00 | | \$42,315.00 |
| <u>PRODUCT CATEGORY: OIL & GAS</u> | | | | | | | | |
| 06/09/2017 | DEMERRITT, JOY | RESOURCE ROYALTY KING WOODS | 100,000.00 | 6,000.00 | 0.00 | 6,000.00 | 93.0 | 5,580.00 |
| 06/19/2017 | SEVERSON, LEVI | RESOURCE ROYALTY KING WOODS | 150,000.00 | 9,000.00 | 0.00 | 9,000.00 | 93.0 | 8,370.00 |
| 06/19/2017 | WERKMEISTER, DAVID | RESOURCE ROYALTY KING WOODS | 75,000.00 | 4,500.00 | 0.00 | 4,500.00 | 93.0 | 4,185.00 |
| 06/20/2017 | STAFFEN, ROBERT | RESOURCE ROYALTY KING WOODS | 100,000.00 | 6,000.00 | 0.00 | 6,000.00 | 93.0 | 5,580.00 |
| 06/20/2017 | SANGSTER, TOMMY | RESOURCE ROYALTY KING WOODS | 100,000.00 | 6,000.00 | 0.00 | 6,000.00 | 93.0 | 5,580.00 |
| 06/23/2017 | WOLTMAN SEP PROPERTY TR D, | RESOURCE ROYALTY KING WOODS | 200,000.00 | 12,000.00 | 0.00 | 12,000.00 | 93.0 | 11,160.00 |
| 06/23/2017 | DIETRICH IRREV TRUST, RAYMOND | RESOURCE ROYALTY KING WOODS | 250,000.00 | 15,000.00 | 0.00 | 15,000.00 | 93.0 | 13,950.00 |
| * OIL & GAS SUBTOTAL * | | | \$975,000.00 | \$58,500.00 | \$0.00 | \$58,500.00 | | \$54,405.00 |
| <u>PRODUCT CATEGORY: 1031 EXCHANGE</u> | | | | | | | | |
| 06/21/2017 | BLAKE TRUST, JASON & SANDRA | INLAND HEALTHCARE PF II DST | 300,000.00 | 15,000.00 | 0.00 | 15,000.00 | 93.0 | 13,950.00 |
| 06/09/2017 | DEMERRITT, JOY | PASSCO BLOCKS DST | 100,000.00 | 6,000.00 | 0.00 | 6,000.00 | 93.0 | 5,580.00 |
| 06/01/2017 | BLAKE TRUST, JASON & SANDRA | STARBOARD LEMON GROVE DST | 200,000.00 | 12,000.00 | 0.00 | 12,000.00 | 93.0 | 11,160.00 |
| 06/09/2017 | TK LEGACY LLC | STARBOARD LEMON GROVE DST | 375,000.00 | 22,500.00 | 0.00 | 22,500.00 | 93.0 | 20,925.00 |
| 06/20/2017 | SANGSTER, TOMMY | STARBOARD LEMON GROVE DST | 100,000.00 | 6,000.00 | 0.00 | 6,000.00 | 93.0 | 5,580.00 |
| * 1031 EXCHANGE SUBTOTAL * | | | \$1,075,000.00 | \$61,500.00 | \$0.00 | \$61,500.00 | | \$57,195.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 05/31/2017 | SMITH-GROVER, SUSAN | BLK MOD 13-16 AGE BASED C | 0.00 | 3.22 | 0.00 | 3.22 | 93.0 | 2.99 |
| 05/31/2017 | SMITH-GROVER, SUSAN | BLK MOD 13-16 AGE BASED C | 0.00 | 1.07 | 0.00 | 1.07 | 93.0 | 1.00 |
| 06/13/2017 | STAFFEN, ROBERT | GPB AUTOMOTIVE PORTFOLIO LP | 150,000.00 | 0.00 | 0.00 | 0.00 | 93.0 | 0.00 |
| 06/20/2017 | MANSKE, ANDREW | GPB AUTOMOTIVE PORTFOLIO LP | 60,000.00 | 0.00 | 0.00 | 0.00 | 93.0 | 0.00 |
| 06/20/2017 | MANSKE, ANDREW | HPI REAL ESTATE FUND V LLC | 60,000.00 | 0.00 | 0.00 | 0.00 | 93.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

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|---|------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/14/2017 | STEFFEN, MARVIN | JH STRATEGIC GROWTH A | 0.00 | 7.93 | 0.00 | 7.93 | 93.0 | 7.37 |
| 06/14/2017 | STEFFEN, MARVIN | JH STRATEGIC INCOME A | 0.00 | 11.55 | 0.00 | 11.55 | 93.0 | 10.74 |
| 06/14/2017 | WILKERSON, LAURA | JH STRATEGIC INCOME A | 0.00 | 8.20 | 0.00 | 8.20 | 93.0 | 7.63 |
| 06/05/2017 | ROSE, REBECCA | TRANSAMERICA AA GROWTH C | 250.00 | 2.50 | 0.00 | 2.50 | 93.0 | 2.33 |
| 06/05/2017 | ROSE, ERIC | TRANSAMERICA AA GROWTH C | 250.00 | 2.50 | 0.00 | 2.50 | 93.0 | 2.33 |
| 06/15/2017 | ORTIZ, LAURA | TRANSAMERICA AA GROWTH C | 100.00 | 1.00 | 0.00 | 1.00 | 93.0 | 0.93 |
| 06/15/2017 | VETTER, JAYCE | TRANSAMERICA AA GROWTH C | 50.00 | 0.50 | 0.00 | 0.50 | 93.0 | 0.47 |
| 06/26/2017 | HINTON, ALYSSA | TRANSAMERICA AA GROWTH C | 200.00 | 2.00 | 12.00 | -10.00 | 93.0 | -9.30 |
| 06/27/2017 | HINTON, ALYSSA | TRANSAMERICA AA GROWTH C | -497.77 | 22.00 | 22.00 | 0.00 | 93.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$270,352.23 | \$62.47 | \$34.00 | \$28.47 | | \$26.49 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 06/19/2017 | ANDERSEN, DAVID | JNL PERSPECTIVE L | 550.00 | 22.00 | 0.00 | 22.00 | 93.0 | 20.46 |
| 06/05/2017 | MILLER, KAREN | PAC LIFE VALUE | 0.00 | 254.17 | 0.00 | 254.17 | 93.0 | 236.38 |
| 06/07/2017 | SOHRE, DENNIS | PACIFIC LIFE STEP UP VA | 0.00 | 127.84 | 0.00 | 127.84 | 93.0 | 118.89 |
| 06/20/2017 | BERGLING, PAUL | TRANSAMERICA LANDMARK | 280.00 | 14.00 | 0.00 | 14.00 | 93.0 | 13.02 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$830.00 | \$418.01 | \$0.00 | \$418.01 | | \$388.75 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 06/16/2017 | FOELS, TAMMY | TRANSAMERICA LIFE | 0.00 | 1.00 | 0.00 | 1.00 | 93.0 | 0.93 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$0.00 | \$1.00 | \$0.00 | \$1.00 | | \$0.93 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$2,971,182.23 | \$165,981.48 | \$34.00 | \$165,947.48 | | \$154,331.17 |

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

| | | | | | | | | |
|-------------------------------------|--------------------|------------------------------------|----------|--------|--------|--------|------|--------|
| 06/09/2017 | KUEHLWEIN, RICHARD | JH STRATEGIC INCOME C | 132.00 | 1.32 | 0.00 | 1.32 | 93.0 | 1.23 |
| 06/09/2017 | KUEHLWEIN, RICHARD | JOHN HANCOCK CLASSIC VALUE C | 105.60 | 1.06 | 0.00 | 1.06 | 93.0 | 0.99 |
| 06/09/2017 | KUEHLWEIN, RICHARD | JOHN HANCOCK FOCUSED HIGH YIELD C | 105.60 | 1.06 | 0.00 | 1.06 | 93.0 | 0.99 |
| 06/09/2017 | KUEHLWEIN, RICHARD | JOHN HANCOCK US GLOBAL LEADERS GRW | 79.20 | 0.79 | 0.00 | 0.79 | 93.0 | 0.73 |
| * 46G-JOE/JACK MICHALETZ SUBTOTAL * | | | \$422.40 | \$4.23 | \$0.00 | \$4.23 | | \$3.94 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$422.40 | \$4.23 | \$0.00 | \$4.23 | | \$3.94 |

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|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: JACK MICHALETZ #287</u> | | | | | | | | |
| 06/22/2017 | HART, KEVIN | GPB AUTOMOTIVE PORTFOLIO LP | 65,000.00 | 4,550.00 | 0.00 | 4,550.00 | 0.0 | 4,231.50 |
| 06/21/2017 | GOERGER, KIMBERLY | HPI FUND V | 50,000.00 | 3,000.00 | 0.00 | 3,000.00 | 0.0 | 2,790.00 |
| 06/14/2017 | BLOCK, EUGENE | JH DISCIPLINED VALUE INT'L C | 0.00 | 1.26 | 0.00 | 1.26 | 0.0 | 1.17 |
| 06/14/2017 | ROBERTS, MICHELLE | JH DISCIPLINED VALUE INT'L C | 0.00 | 0.21 | 0.00 | 0.21 | 0.0 | 0.20 |
| 06/14/2017 | WALLEM, HARRY | JH FINANCIAL INDUSTRIES A | 0.00 | 2.32 | 0.00 | 2.32 | 0.0 | 2.16 |
| 06/14/2017 | BLOCK, EUGENE | JH INCOME B | 0.00 | 1.42 | 0.00 | 1.42 | 0.0 | 1.32 |
| 06/14/2017 | WALLEM, HARRY | JH LARGE CAP EQUITY A | 0.00 | 3.78 | 0.00 | 3.78 | 0.0 | 3.52 |
| 06/14/2017 | BLOCK, EUGENE | JH LARGE CAP EQUITY A | 0.00 | 1.61 | 0.00 | 1.61 | 0.0 | 1.50 |
| 06/14/2017 | BLOCK, EUGENE | JH LARGE CAP EQUITY B | 0.00 | 0.99 | 0.00 | 0.99 | 0.0 | 0.92 |
| 06/14/2017 | ANDERSON, RAYNOLD | JH LARGE CAP EQUITY B | 0.00 | 0.21 | 0.00 | 0.21 | 0.0 | 0.20 |
| 06/12/2017 | ANDERSON, RAYNOLD | JH LARGE CAP EQUITY C | 6.52 | 0.07 | 0.00 | 0.07 | 0.0 | 0.07 |
| 06/12/2017 | ANDERSON, RAYNOLD | JH LARGE CAP EQUITY C | 9.77 | 0.10 | 0.00 | 0.10 | 0.0 | 0.09 |
| 06/14/2017 | BLOCK, EUGENE | JH LARGE CAP EQUITY C | 0.00 | 1.75 | 0.00 | 1.75 | 0.0 | 1.63 |
| 06/14/2017 | ANDERSON, RAYNOLD | JH LARGE CAP EQUITY C | 0.00 | 1.68 | 0.00 | 1.68 | 0.0 | 1.56 |
| 06/14/2017 | ROBERTS, MICHELLE | JH LARGE CAP EQUITY C | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.05 |
| 06/14/2017 | TOLZMANN, RICHARD | JH LIFESTYLE AGGRESSIVE PORTFOLIO C | 0.00 | 6.79 | 0.00 | 6.79 | 0.0 | 6.31 |
| 06/14/2017 | SCHARMER, KEVIN | JH LIFESTYLE CONSERVATIVE PORT A | 0.00 | 5.30 | 0.00 | 5.30 | 0.0 | 4.93 |
| 06/14/2017 | SCHARMER, KEVIN | JH LIFESTYLE CONSERVATIVE PORT B | 0.00 | 2.07 | 0.00 | 2.07 | 0.0 | 1.93 |
| 06/14/2017 | OLSON, HARLAN | JH LIFESTYLE GROWTH PORTFOLIO C | 0.00 | 2.98 | 0.00 | 2.98 | 0.0 | 2.77 |
| 06/14/2017 | HERMEL, ROBERT | JH LIFESTYLE GROWTH PORTFOLIO C | 0.00 | 4.65 | 0.00 | 4.65 | 0.0 | 4.32 |
| 06/14/2017 | WALLEM, HARRY | JH NEW OPPORTUNITIES A | 0.00 | 2.05 | 0.00 | 2.05 | 0.0 | 1.91 |
| 06/14/2017 | BLOCK, EUGENE | JH NEW OPPORTUNITIES A | 0.00 | 0.61 | 0.00 | 0.61 | 0.0 | 0.57 |
| 06/14/2017 | GROMMERSCH, STACI | JH NEW OPPORTUNITIES A | 0.00 | 0.06 | 0.00 | 0.06 | 0.0 | 0.06 |
| 06/14/2017 | BLOCK, EUGENE | JH NEW OPPORTUNITIES C | 0.00 | 1.30 | 0.00 | 1.30 | 0.0 | 1.21 |
| 06/14/2017 | ROBERTS, MICHELLE | JH SMALL COMPANY FUND A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.07 |
| 06/14/2017 | ANDERSON, RAYNOLD | JH STRATEGIC GROWTH A | 0.00 | 0.29 | 0.00 | 0.29 | 0.0 | 0.27 |
| 06/14/2017 | BLOCK, EUGENE | JH STRATEGIC GROWTH A | 0.00 | 2.39 | 0.00 | 2.39 | 0.0 | 2.22 |
| 06/12/2017 | ANDERSON, RAYNOLD | JH STRATEGIC GROWTH C | 6.51 | 0.07 | 0.00 | 0.07 | 0.0 | 0.07 |
| 06/12/2017 | ANDERSON, RAYNOLD | JH STRATEGIC GROWTH C | 9.77 | 0.10 | 0.00 | 0.10 | 0.0 | 0.09 |
| 06/14/2017 | BLOCK, EUGENE | JH STRATEGIC GROWTH C | 0.00 | 1.65 | 0.00 | 1.65 | 0.0 | 1.53 |
| 06/14/2017 | ANDERSON, RAYNOLD | JH STRATEGIC GROWTH C | 0.00 | 1.66 | 0.00 | 1.66 | 0.0 | 1.54 |
| 06/14/2017 | WALLEM, HARRY | JH STRATEGIC INCOME A | 0.00 | 2.64 | 0.00 | 2.64 | 0.0 | 2.46 |
| 06/14/2017 | BLOCK, EUGENE | JH STRATEGIC INCOME A | 0.00 | 1.23 | 0.00 | 1.23 | 0.0 | 1.14 |

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|---|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: JACK MICHALETZ #287 </u> | | | | | | | | |
| 06/14/2017 | BLOCK, EUGENE | JH STRATEGIC INCOME C | 0.00 | 1.32 | 0.00 | 1.32 | 0.0 | 1.23 |
| 06/14/2017 | ROBERTS, MICHELLE | JH STRATEGIC INCOME C | 0.00 | 0.84 | 0.00 | 0.84 | 0.0 | 0.78 |
| 06/14/2017 | KUEHLWEIN, RICHARD | JH STRATEGIC INCOME C | 0.00 | 6.11 | 0.00 | 6.11 | 0.0 | 5.68 |
| 06/14/2017 | WALLEM, HARRY | JN DISCIPLINED VALUE INT'L A | 0.00 | 1.80 | 0.00 | 1.80 | 0.0 | 1.67 |
| 06/14/2017 | BLOCK, EUGENE | JN DISCIPLINED VALUE INT'L A | 0.00 | 1.55 | 0.00 | 1.55 | 0.0 | 1.44 |
| 06/14/2017 | KUEHLWEIN, RICHARD | JOHN HANCOCK CLASSIC VALUE C | 0.00 | 6.07 | 0.00 | 6.07 | 0.0 | 5.65 |
| 06/14/2017 | ROBERTS, MICHELLE | JOHN HANCOCK FOCUSED HIGH YIELD C | 0.00 | 0.11 | 0.00 | 0.11 | 0.0 | 0.10 |
| 06/14/2017 | KUEHLWEIN, RICHARD | JOHN HANCOCK FOCUSED HIGH YIELD C | 0.00 | 5.42 | 0.00 | 5.42 | 0.0 | 5.04 |
| 06/14/2017 | HENZE, DUANE | JOHN HANCOCK LIFESTYLE AGGR PORTFOL | 0.00 | 0.02 | 0.00 | 0.02 | 0.0 | 0.02 |
| 06/14/2017 | KUEHLWEIN, RICHARD | JOHN HANCOCK US GLOBAL LEADERS GRW | 0.00 | 4.73 | 0.00 | 4.73 | 0.0 | 4.40 |
| 06/15/2017 | WOLFF, PETER | POWERSHARES QQQ TR UNIT SER 1 | 5,116.18 | 0.00 | 22.50 | -22.50 | 0.0 | -20.93 |
| 06/27/2017 | LORENTZ, ANDREW | POWERSHARES QQQ TR UNIT SER 1 | 7,930.24 | 100.00 | 22.50 | 77.50 | 0.0 | 72.08 |
| 06/14/2017 | ZIEGLER, KRISTINE | PUTNAM ASSET ALLOC GROWTH A | 50.00 | 2.50 | 12.00 | -9.50 | 0.0 | -8.84 |
| 06/19/2017 | FLO, PAUL | PUTNAM CAPITAL SPECTRUM C | 150.00 | 1.50 | 12.00 | -10.50 | 0.0 | -9.77 |
| 06/15/2017 | SIEBERG, KYLE | PUTNAM EQUITY SPECTRUM C | 100.00 | 1.00 | 12.00 | -11.00 | 0.0 | -10.23 |
| 06/19/2017 | FLO, PAUL | PUTNAM EQUITY SPECTRUM C | 150.00 | 1.50 | 12.00 | -10.50 | 0.0 | -9.77 |
| 06/21/2017 | GOERGER, KIMBERLY | SEALEY STRATEGIC EQUITY PARTNERS LP | 50,000.00 | 3,500.00 | 0.00 | 3,500.00 | 0.0 | 3,255.00 |
| 06/05/2017 | PROKOP, XAVIER | TRANSAMERICA AA GROWTH A | 100.00 | 4.75 | 0.00 | 4.75 | 0.0 | 4.42 |
| 06/05/2017 | PROKOP, MAKENNA | TRANSAMERICA AA GROWTH A | 100.00 | 4.75 | 0.00 | 4.75 | 0.0 | 4.42 |
| 06/05/2017 | DUMMER, NICOLE | TRANSAMERICA AA GROWTH C | 50.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.47 |
| 06/05/2017 | PEMBLE, JOCELYN | TRANSAMERICA AA GROWTH C | 50.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.47 |
| 06/05/2017 | EARLS, RUTH | TRANSAMERICA AA GROWTH C | 48.65 | 0.49 | 0.00 | 0.49 | 0.0 | 0.46 |
| 06/05/2017 | EARLS, RUTH | TRANSAMERICA AA GROWTH C | 48.65 | 0.49 | 0.00 | 0.49 | 0.0 | 0.46 |
| 06/15/2017 | WINTER, JANEAN | TRANSAMERICA AA GROWTH C | 200.00 | 2.00 | 0.00 | 2.00 | 0.0 | 1.86 |
| 06/15/2017 | WINTER, JANEAN | TRANSAMERICA AA GROWTH C | 200.00 | 2.00 | 0.00 | 2.00 | 0.0 | 1.86 |
| 06/15/2017 | GRETCHEN L WENDT, SIM | TRANSAMERICA AA GROWTH C | 47.99 | 0.48 | 0.00 | 0.48 | 0.0 | 0.45 |
| 06/15/2017 | GRETCHEN L WENDT, SIM | TRANSAMERICA AA GROWTH C | 47.99 | 0.48 | 0.00 | 0.48 | 0.0 | 0.45 |
| 06/15/2017 | CONRAD, GARY | TRANSAMERICA AA GROWTH C | 40.63 | 0.41 | 0.00 | 0.41 | 0.0 | 0.38 |
| 06/15/2017 | CONRAD, GARY | TRANSAMERICA AA GROWTH C | 40.63 | 0.41 | 0.00 | 0.41 | 0.0 | 0.38 |
| 06/15/2017 | JENSEN, MICHAEL | TRANSAMERICA AA GROWTH C | 62.68 | 0.63 | 0.00 | 0.63 | 0.0 | 0.59 |
| 06/15/2017 | JENSEN, MICHAEL | TRANSAMERICA AA GROWTH C | 62.68 | 0.63 | 0.00 | 0.63 | 0.0 | 0.59 |
| 06/15/2017 | HANSON, MICHAEL | TRANSAMERICA AA GROWTH C | 50.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.47 |
| 06/15/2017 | FURST, KENNETH | TRANSAMERICA AA GROWTH C | 141.85 | 1.42 | 0.00 | 1.42 | 0.0 | 1.32 |

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|--|-----------------------|------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: JACK MICHALETZ #287</u> | | | | | | | | |
| 06/15/2017 | FURST, KENNETH | TRANSAMERICA AA GROWTH C | 141.85 | 1.42 | 0.00 | 1.42 | 0.0 | 1.32 |
| 06/05/2017 | VAN TOL, KATHERINE | TRANSAMERICA AA MOD GROWTH C | 988.71 | 9.89 | 0.00 | 9.89 | 0.0 | 9.20 |
| 06/05/2017 | VAN TOL, KATHERINE | TRANSAMERICA AA MOD GROWTH C | 329.58 | 3.30 | 0.00 | 3.30 | 0.0 | 3.07 |
| 06/05/2017 | ROELOFS, JODI | TRANSAMERICA AA MOD GROWTH C | 1,606.73 | 16.07 | 0.00 | 16.07 | 0.0 | 14.95 |
| 06/05/2017 | ROELOFS, JODI | TRANSAMERICA AA MOD GROWTH C | 53.56 | 0.54 | 0.00 | 0.54 | 0.0 | 0.50 |
| 06/05/2017 | JUDITH M ABDO, SIM | TRANSAMERICA AA MOD GROWTH C | 107.81 | 1.08 | 0.00 | 1.08 | 0.0 | 1.00 |
| 06/05/2017 | JUDITH M ABDO, SIM | TRANSAMERICA AA MOD GROWTH C | 107.81 | 1.08 | 0.00 | 1.08 | 0.0 | 1.00 |
| 06/05/2017 | SARAH M GILBERTO, SIM | TRANSAMERICA AA MOD GROWTH C | 42.69 | 0.43 | 0.00 | 0.43 | 0.0 | 0.40 |
| 06/05/2017 | SARAH M GILBERTO, SIM | TRANSAMERICA AA MOD GROWTH C | 42.69 | 0.43 | 0.00 | 0.43 | 0.0 | 0.40 |
| 06/15/2017 | ESLINGER, OWEN | TRANSAMERICA AA MOD GROWTH C | 150.00 | 1.50 | 0.00 | 1.50 | 0.0 | 1.40 |
| 06/15/2017 | ESLINGER, MADELINE | TRANSAMERICA AA MOD GROWTH C | 250.00 | 2.50 | 0.00 | 2.50 | 0.0 | 2.33 |
| 06/15/2017 | ESLINGER, ELEANOR | TRANSAMERICA AA MOD GROWTH C | 150.00 | 1.50 | 0.00 | 1.50 | 0.0 | 1.40 |
| * JACK MICHALETZ SUBTOTAL * | | | \$183,792.17 | \$11,296.01 | \$93.00 | \$11,203.01 | | \$10,418.86 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$183,792.17 | \$11,296.01 | \$93.00 | \$11,203.01 | | \$10,418.86 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------------|
| Broker Transactions | \$154,331.17 |
| Split Transactions | \$3.94 |
| Override Transactions | \$10,418.86 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|----------------|
| Check Amount | \$164,753.97 |
| Year-to-date Gross | \$2,950,884.50 |
| Year-to-date Earnings | \$2,855,842.02 |

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