



Concorde Investment Services, LLC

08/22/2018

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**COMMISSION STATEMENT****August 31, 2018**JOEL, W143 WOODS  
1412 Blue Quail RunBROKER #: W143  
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
08/09/2018	WEAVER, GEORGE	PT HOTEL VALUE AND INCOME FUND II	50,000.00	3,000.00	0.00	3,000.00	92.0	2,760.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,000.00	\$0.00	\$3,000.00		\$2,760.00
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
08/06/2018	TMICO CUSTODIAN	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	13.81	0.00	13.81	92.0	12.71
08/06/2018	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND C	0.00	12.90	0.00	12.90	92.0	11.87
08/06/2018	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND C	0.00	4.30	0.00	4.30	92.0	3.96
08/06/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	0.00	8.67	0.00	8.67	92.0	7.98
08/06/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	0.00	2.89	0.00	2.89	92.0	2.66
08/06/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	10.20	0.00	10.20	92.0	9.38
08/06/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	3.40	0.00	3.40	92.0	3.13
08/06/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	16.13	0.00	16.13	92.0	14.84
08/06/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	5.38	0.00	5.38	92.0	4.95
08/06/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	0.00	47.21	0.00	47.21	92.0	43.43
08/06/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	0.00	15.74	0.00	15.74	92.0	14.48
08/06/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	0.00	16.10	0.00	16.10	92.0	14.81
08/06/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	0.00	5.37	0.00	5.37	92.0	4.94
08/06/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	0.00	12.87	0.00	12.87	92.0	11.84
08/06/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	0.00	4.29	0.00	4.29	92.0	3.95
08/06/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	0.00	17.49	0.00	17.49	92.0	16.09
08/06/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	0.00	5.83	0.00	5.83	92.0	5.36
08/06/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	0.00	19.25	0.00	19.25	92.0	17.71
08/06/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	0.00	6.42	0.00	6.42	92.0	5.91
08/06/2018	PERRY CHILDRENS TR, RUDOLPH	BR TOTAL INC & REAL ESTATE FUND C	0.00	8.00	0.00	8.00	92.0	7.36
08/06/2018	PERRY CHILDRENS TR, RUDOLPH	BR TOTAL INC & REAL ESTATE FUND C	0.00	2.67	0.00	2.67	92.0	2.46
08/06/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	0.00	9.98	0.00	9.98	92.0	9.18
08/06/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	0.00	3.33	0.00	3.33	92.0	3.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
08/06/2018	THREADGILL, VICKY	BR TOTAL INC & REAL ESTATE FUND C	0.00	9.97	0.00	9.97	92.0	9.17
08/06/2018	THREADGILL, VICKY	BR TOTAL INC & REAL ESTATE FUND C	0.00	3.32	0.00	3.32	92.0	3.05
08/06/2018	BARFIELD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	2.32	0.00	2.32	92.0	2.13
08/06/2018	BARFIELD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	0.77	0.00	0.77	92.0	0.71
08/06/2018	LYONS, DAVID	BR TOTAL INC & REAL ESTATE FUND C	0.00	1.98	0.00	1.98	92.0	1.82
08/06/2018	LYONS, DAVID	BR TOTAL INC & REAL ESTATE FUND C	0.00	0.66	0.00	0.66	92.0	0.61
08/06/2018	LYONS, LORA	BR TOTAL INC & REAL ESTATE FUND C	0.00	12.90	0.00	12.90	92.0	11.87
08/06/2018	LYONS, LORA	BR TOTAL INC & REAL ESTATE FUND C	0.00	4.30	0.00	4.30	92.0	3.96
08/06/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	0.00	2.90	0.00	2.90	92.0	2.67
08/06/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	0.00	0.97	0.00	0.97	92.0	0.89
07/31/2018	MCELROY, MARGARET	GRIFFIN INST'L ACCESS R E FUND	5,330.73	1.13	0.00	1.13	92.0	1.04
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$5,330.73</b>	<b>\$293.45</b>	<b>\$0.00</b>	<b>\$293.45</b>		<b>\$269.98</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
08/06/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	750.00	7.50	0.00	7.50	90.0	6.75
08/06/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	50.00	0.50	0.00	0.50	90.0	0.45
08/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	90.0	1.92
08/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	90.0	1.92
08/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
08/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
08/10/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
08/08/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
08/15/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$1,070.00</b>	<b>\$19.35</b>	<b>\$0.00</b>	<b>\$19.35</b>		<b>\$17.44</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	90.0	1.92
08/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
08/10/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	205.00	2.05	0.00	2.05	90.0	1.85
08/10/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
08/10/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
08/10/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$480.00</b>	<b>\$8.06</b>	<b>\$0.00</b>	<b>\$8.06</b>		<b>\$7.27</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
08/07/2018	HIPKINS, DAVID	JNL PERSPECTIVE II 04/14	1.03	0.07	0.00	0.07	90.0	0.06
08/13/2018	JONES, WILL	JNL PERSPECTIVE II 04/14	41,000.00	2,870.00	0.00	2,870.00	90.0	2,583.00
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$41,001.03</b>	<b>\$2,870.07</b>	<b>\$0.00</b>	<b>\$2,870.07</b>		<b>\$2,583.06</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$97,881.76</b>	<b>\$6,190.93</b>	<b>\$0.00</b>	<b>\$6,190.93</b>		<b>\$5,637.75</b>

**VERRIDE TRANSACTIONS****BROKER: MARK-S141 REG SMITH #367**

08/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	48.0	0.89
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	48.0	0.89
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	48.0	0.89
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	48.0	0.89
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	48.0	0.89
08/15/2018	VOORHEES, CLIFFORD	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
* MARK-S141 REG SMITH SUBTOTAL *			<b>\$300.00</b>	<b>\$12.53</b>	<b>\$0.00</b>	<b>\$12.53</b>		<b>\$5.24</b>

**BROKER: MARK-S142 ALTS SMITH #368**

08/06/2018	ORR POWER OF ATTORNEY,	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	17.41	0.00	17.41	49.0	7.49
08/06/2018	BONGIORNO, VALERIE	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	0.23	0.00	0.23	49.0	0.10
08/06/2018	ORR, PATRICIA	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	1.80	0.00	1.80	49.0	0.77
08/06/2018	ORR, RICHARD	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	4.13	0.00	4.13	49.0	1.78
08/06/2018	CORLEY, WILBUR	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	4.54	0.00	4.54	49.0	1.95
08/06/2018	SPENCER, HAROLD	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	5.01	0.00	5.01	49.0	2.15
08/06/2018	WILSON TRUST, WOODROW & JOANN	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	2.26	0.00	2.26	49.0	0.97
08/06/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	24.47	0.00	24.47	49.0	10.52
08/06/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	8.16	0.00	8.16	49.0	3.51
08/06/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	21.09	0.00	21.09	49.0	9.07
08/06/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	7.03	0.00	7.03	49.0	3.02
08/06/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	13.58	0.00	13.58	49.0	5.84
08/06/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	4.53	0.00	4.53	49.0	1.95
08/06/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	10.10	0.00	10.10	49.0	4.34
08/06/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	3.37	0.00	3.37	49.0	1.45
08/06/2018	WISE TRUST, LUCILE	BR TOTAL INC & REAL ESTATE FUND C	0.00	12.86	0.00	12.86	49.0	5.53
08/06/2018	WISE TRUST, LUCILE	BR TOTAL INC & REAL ESTATE FUND C	0.00	4.29	0.00	4.29	49.0	1.84

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ADJUSTMENTS

* MARK-S142 ALTS SMITH SUBTOTAL *	\$0.00	\$144.86	\$0.00	\$144.86	\$62.28
*** OVERRIDE TRANSACTIONS TOTAL ***	\$300.00	\$157.39	\$0.00	\$157.39	\$67.52

BROKER COMMISSION TOTALS

Broker Transactions	\$5,637.75
Split Transactions	\$0.00
Override Transactions	\$67.52
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,705.27
Year-to-date Gross	\$159,954.92
Year-to-date Earnings	\$186,863.47

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