

**COMMISSION STATEMENT****February 28, 2018**

BARRY, L122 LE MAY
 2242 Camden Ave
 Suite 102
 San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/12/2018	JAQUES, WARREN	CATERPILLAR INC	10,233.04	51.17	22.50	28.67	90.0	25.80
02/12/2018	JAQUES, WARREN	EXXON MOBIL CORP COM	10,043.64	50.22	22.50	27.72	90.0	24.95
02/12/2018	JAQUES, WARREN	GEO GROUP INC NEW COM	10,031.60	50.16	22.75	27.41	90.0	24.67
02/12/2018	JAQUES, WARREN	NUCOR CORP COM	10,027.56	50.14	22.50	27.64	90.0	24.88
02/12/2018	JAQUES, WARREN	WASTE MGMT INC DEL COM	10,138.08	50.69	22.50	28.19	90.0	25.37
* STOCKS SUBTOTAL *			\$50,473.92	\$252.38	\$112.75	\$139.63		\$125.67
*** CLEARING TRANSACTIONS TOTAL ***			\$50,473.92	\$252.38	\$112.75	\$139.63		\$125.67

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
02/20/2018	PRADHAN, FIROZA	PASSCO MARINER DST	76,000.00	4,560.00	0.00	4,560.00	90.0	4,104.00
* 1031 EXCHANGES SUBTOTAL *			\$76,000.00	\$4,560.00	\$0.00	\$4,560.00		\$4,104.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	5.20	0.00	5.20	90.0	4.68
01/31/2018	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	15.60	0.00	15.60	90.0	14.04
02/08/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.05	0.00	10.05	90.0	9.05
02/08/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.90	0.00	0.90	90.0	0.81
03/18/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.70	0.00	10.70	90.0	9.63
03/18/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.96	0.00	0.96	90.0	0.86
04/14/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.78	0.00	10.78	90.0	9.70
04/14/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.97	0.00	0.97	90.0	0.87
05/19/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.02	0.00	10.02	90.0	9.02
05/19/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.91	0.00	0.91	90.0	0.82
06/23/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.13	0.00	11.13	90.0	10.02
06/23/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.01	0.00	1.01	90.0	0.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/11/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.49	0.00	10.49	90.0	9.44
07/11/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.96	0.00	0.96	90.0	0.86
08/15/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.80	0.00	10.80	90.0	9.72
08/15/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.00	0.00	1.00	90.0	0.90
09/12/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.82	0.00	10.82	90.0	9.74
09/12/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.00	0.00	1.00	90.0	0.90
10/14/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.48	0.00	10.48	90.0	9.43
10/14/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.97	0.00	0.97	90.0	0.87
11/17/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.74	0.00	10.74	90.0	9.67
11/17/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.00	0.00	1.00	90.0	0.90
12/12/2016	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.47	0.00	10.47	90.0	9.42
12/12/2016	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.98	0.00	0.98	90.0	0.88
08/01/2016	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.57	0.00	2.57	90.0	2.31
10/17/2016	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.05	0.00	3.05	90.0	2.75
08/15/2016	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	0.21	0.00	0.21	90.0	0.19
09/15/2016	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.60	0.00	1.60	90.0	1.44
10/17/2016	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.55	0.00	1.55	90.0	1.40
11/17/2016	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.60	0.00	1.60	90.0	1.44
12/14/2016	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.53	0.00	1.53	90.0	1.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$160.05	\$0.00	\$160.05		\$144.05
*** DIRECT TRANSACTIONS TOTAL ***			\$76,000.00	\$4,720.05	\$0.00	\$4,720.05		\$4,248.05

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,373.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,373.72
Year-to-date Gross	\$90,468.50
Year-to-date Earnings	\$81,299.96