

All Companies
STATEMENT
August 20, 2018

JEFF JONES
1601 Mier St

BROKER #: 016
BRANCH: LA BRANCH

Laredo, TX 78043-

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/01/0018 #2000347795 PEREZ, SELINA	AGGRESSIVEC	B	0.00	2.64	0.00	0.00		2.64	85.0	2.24
05/01/0018 #2000347795 PEREZ, SELINA	CONSERVATIVE C	B	0.00	2.24	0.00	0.00		2.24	85.0	1.90
06/05/0018 #2000347795 PEREZ, SELINA	AGGRESSIVEC	B	50.00	0.50	0.00	0.00		0.50	85.0	0.43
06/05/0018 #2000347795 PEREZ, SELINA	CONSERVATIVE C	B	50.00	0.50	0.00	0.00		0.50	85.0	0.43
07/06/0018 #4403003 GARFAM LTD	DUNHAM AND ASSOCIATES	B	0.00	792.20	0.00	0.00		792.20	85.0	673.37
04/30/0201 #2000347795 PEREZ, SELINA	AGGRESSIVEC	B	0.00	2.46	0.00	0.00		2.46	85.0	2.09
04/30/0201 #2000347795 PEREZ, SELINA	CONSERVATIVE C	B	0.00	2.12	0.00	0.00		2.12	85.0	1.80
06/30/0201 #2000347795 PEREZ, SELINA	AGGRESSIVEC	B	0.00	2.64	0.00	0.00		2.64	85.0	2.24
06/30/0201 #2000347795 PEREZ, SELINA	CONSERVATIVE C	B	0.00	2.22	0.00	0.00		2.22	85.0	1.89
07/03/2018 #00074389206 HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	35.53	0.36	0.00	0.00		0.36	85.0	0.31
07/03/2018 #00074389206 HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	35.82	0.36	0.00	0.00		0.36	85.0	0.31
07/03/2018 #00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	17.77	0.18	0.00	0.00		0.18	85.0	0.15
07/03/2018 #00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	17.90	0.18	0.00	0.00		0.18	85.0	0.15
07/03/2018 #00074389206 HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	35.53	0.36	0.00	0.00		0.36	85.0	0.31
07/03/2018 #00074389206 HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	35.80	0.36	0.00	0.00		0.36	85.0	0.31
07/03/2018 #00074389206 HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	35.53	0.36	0.00	0.00		0.36	85.0	0.31
07/03/2018 #00074389206 HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	35.80	0.36	0.00	0.00		0.36	85.0	0.31
07/03/2018 #00088848033 DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00		1.50	85.0	1.28
07/03/2018 #00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.78	1.33	0.00	0.00		1.33	85.0	1.13
07/03/2018 #00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00		1.04	85.0	0.88
07/03/2018 #00089051015 VELACESARE	INVESTMENT CO AMER CL C	B	151.25	1.51	0.00	0.00		1.51	85.0	1.28
07/03/2018 #00089051015 VELACESARE	INVESTMENT CO AMER CL C	B	152.40	1.52	0.00	0.00		1.52	85.0	1.29
07/03/2018 #00089128773 VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00		0.50	85.0	0.43
07/03/2018 #00089125404 KELLETJULI	INVESTMENT CO AMER CLASS A	B	71.74	3.59	0.00	0.00		3.59	85.0	3.05
07/03/2018 #00089125404 KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	28.70	1.44	0.00	0.00		1.44	85.0	1.22
07/03/2018 #00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00		0.53	85.0	0.45
07/03/2018 #00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00		0.42	85.0	0.36
07/03/2018 #00089051015 VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	60.50	0.61	0.00	0.00		0.61	85.0	0.52
07/03/2018 #00089051015 VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	60.96	0.61	0.00	0.00		0.61	85.0	0.52
07/03/2018 #00089128773 VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00		0.20	85.0	0.17
07/03/2018 #00089778964 MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00		2.50	85.0	2.13

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
07/03/2018	#00089125404	KELLETJULI		NEW WORLD FUND INC NEW	B	28.69	1.43	0.00	0.00	1.43 85.0 1.22
07/03/2018	#00074389206	HUMB, LAUREL		NEW WORLD FUND INC NEW CL C	B	17.77	0.18	0.00	0.00	0.18 85.0 0.15
07/03/2018	#00074389206	HUMB, LAUREL		NEW WORLD FUND INC NEW CL C	B	17.90	0.18	0.00	0.00	0.18 85.0 0.15
07/03/2018	#00088848033	DELTORRER		NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30 85.0 0.26
07/03/2018	#00088859005	REGALADO, JOSE		NEW WORLD FUND INC NEW CL C	B	26.55	0.27	0.00	0.00	0.27 85.0 0.23
07/03/2018	#00088859005	REGALADO, JOSE		NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21 85.0 0.18
07/03/2018	#00089051015	VELACESARE		NEW WORLD FUND INC NEW CL C	B	60.50	0.61	0.00	0.00	0.61 85.0 0.52
07/03/2018	#00089051015	VELACESARE		NEW WORLD FUND INC NEW CL C	B	60.95	0.61	0.00	0.00	0.61 85.0 0.52
07/03/2018	#00089128773	VELACESARE		NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20 85.0 0.17
07/03/2018	#00074389206	HUMB, LAUREL		SMALLCAP WORLD FUND INC CL C	B	17.77	0.18	0.00	0.00	0.18 85.0 0.15
07/03/2018	#00074389206	HUMB, LAUREL		SMALLCAP WORLD FUND INC CL C	B	17.90	0.18	0.00	0.00	0.18 85.0 0.15
07/03/2018	#00088848033	DELTORRER		SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15 85.0 0.13
07/03/2018	#00088859005	REGALADO, JOSE		SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53 85.0 0.45
07/03/2018	#00088859005	REGALADO, JOSE		SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42 85.0 0.36
07/03/2018	#00089051015	VELACESARE		SMALLCAP WORLD FUND INC CL C	B	30.25	0.30	0.00	0.00	0.30 85.0 0.26
07/03/2018	#00089051015	VELACESARE		SMALLCAP WORLD FUND INC CL C	B	30.48	0.30	0.00	0.00	0.30 85.0 0.26
07/03/2018	#00089128773	VELACESARE		SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10 85.0 0.09
07/03/2018	#00089125404	KELLETJULI		SMALLCAP WORLD FUND INC CLASS A	B	14.35	0.72	0.00	0.00	0.72 85.0 0.61
07/03/2018	#00074389206	HUMB, LAUREL		THE NEW ECONOMY FUND-C	B	17.77	0.18	0.00	0.00	0.18 85.0 0.15
07/03/2018	#00074389206	HUMB, LAUREL		THE NEW ECONOMY FUND-C	B	17.90	0.18	0.00	0.00	0.18 85.0 0.15
07/03/2018	#00088848033	DELTORRER		THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30 85.0 0.26
07/03/2018	#00089778964	MILLER, THOMAS		THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50 85.0 2.13
07/10/2018	#00074389206	HUMB, LAUREL		DEVELOPING WORLD GROWTH & INCOME-C	B	52.62	0.53	0.00	0.00	0.53 85.0 0.45
07/10/2018	#00074389206	HUMB, LAUREL		DEVELOPING WORLD GROWTH & INCOME-C	B	53.03	0.53	0.00	0.00	0.53 85.0 0.45
07/10/2018	#00074389206	HUMB, LAUREL		EURO PAC GROWTH FUND CL C	B	26.32	0.26	0.00	0.00	0.26 85.0 0.22
07/10/2018	#00074389206	HUMB, LAUREL		EURO PAC GROWTH FUND CL C	B	26.52	0.27	0.00	0.00	0.27 85.0 0.23
07/10/2018	#00074389206	HUMB, LAUREL		FUNDAMENTAL INVS INC CL C	B	52.64	0.53	0.00	0.00	0.53 85.0 0.45
07/10/2018	#00074389206	HUMB, LAUREL		FUNDAMENTAL INVS INC CL C	B	53.03	0.53	0.00	0.00	0.53 85.0 0.45
07/10/2018	#00074389206	HUMB, LAUREL		GROWTH FUND AMER INC CL C	B	52.64	0.53	0.00	0.00	0.53 85.0 0.45
07/10/2018	#00074389206	HUMB, LAUREL		GROWTH FUND AMER INC CL C	B	53.03	0.53	0.00	0.00	0.53 85.0 0.45
07/10/2018	#00089125404	KELLETJULI		INVESTMENT CO AMER CLASS A	B	84.37	4.22	0.00	0.00	4.22 85.0 3.59
07/10/2018	#00089125404	KELLETJULI		NEW PERSPECTIVE FUND INC CL A	B	33.75	1.69	0.00	0.00	1.69 85.0 1.44
07/10/2018	#00089125404	KELLETJULI		NEW WORLD FUND INC NEW	B	33.75	1.69	0.00	0.00	1.69 85.0 1.44
07/10/2018	#00074389206	HUMB, LAUREL		NEW WORLD FUND INC NEW CL C	B	26.32	0.26	0.00	0.00	0.26 85.0 0.22
07/10/2018	#00074389206	HUMB, LAUREL		NEW WORLD FUND INC NEW CL C	B	26.52	0.27	0.00	0.00	0.27 85.0 0.23
07/10/2018	#00074389206	HUMB, LAUREL		SMALLCAP WORLD FUND INC CL C	B	26.32	0.26	0.00	0.00	0.26 85.0 0.22
07/10/2018	#00074389206	HUMB, LAUREL		SMALLCAP WORLD FUND INC CL C	B	26.52	0.27	0.00	0.00	0.27 85.0 0.23
07/10/2018	#00089125404	KELLETJULI		SMALLCAP WORLD FUND INC CLASS A	B	16.87	0.84	0.00	0.00	0.84 85.0 0.71
07/10/2018	#00074389206	HUMB, LAUREL		THE NEW ECONOMY FUND-C	B	26.32	0.26	0.00	0.00	0.26 85.0 0.22
07/10/2018	#00074389206	HUMB, LAUREL		THE NEW ECONOMY FUND-C	B	26.52	0.27	0.00	0.00	0.27 85.0 0.23

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
07/17/2018	#00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	34.38	0.34	0.00	0.00	0.34	85.0 0.29
07/17/2018	#00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	34.64	0.35	0.00	0.00	0.35	85.0 0.30
07/17/2018	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14
07/17/2018	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
07/17/2018	#00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	34.38	0.34	0.00	0.00	0.34	85.0 0.29
07/17/2018	#00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	34.64	0.35	0.00	0.00	0.35	85.0 0.30
07/17/2018	#00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	34.38	0.34	0.00	0.00	0.34	85.0 0.29
07/17/2018	#00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	34.64	0.35	0.00	0.00	0.35	85.0 0.30
07/17/2018	#00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50	85.0 1.28
07/17/2018	#00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.78	1.33	0.00	0.00	1.33	85.0 1.13
07/17/2018	#00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0 0.88
07/17/2018	#00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	151.25	1.51	0.00	0.00	1.51	85.0 1.28
07/17/2018	#00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	152.40	1.52	0.00	0.00	1.52	85.0 1.29
07/17/2018	#00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0 0.43
07/17/2018	#00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	71.74	3.59	0.00	0.00	3.59	85.0 3.05
07/17/2018	#00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	28.70	1.44	0.00	0.00	1.44	85.0 1.22
07/17/2018	#00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
07/17/2018	#00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
07/17/2018	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	60.50	0.61	0.00	0.00	0.61	85.0 0.52
07/17/2018	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	60.96	0.61	0.00	0.00	0.61	85.0 0.52
07/17/2018	#00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
07/17/2018	#00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
07/17/2018	#00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	28.69	1.43	0.00	0.00	1.43	85.0 1.22
07/17/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14
07/17/2018	#00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
07/17/2018	#00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
07/17/2018	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.55	0.27	0.00	0.00	0.27	85.0 0.23
07/17/2018	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0 0.18
07/17/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	60.50	0.61	0.00	0.00	0.61	85.0 0.52
07/17/2018	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	60.95	0.61	0.00	0.00	0.61	85.0 0.52
07/17/2018	#00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
07/17/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	17.19	0.17	0.00	0.00	0.17	85.0 0.14
07/17/2018	#00074389206	HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	B	17.32	0.17	0.00	0.00	0.17	85.0 0.14
07/17/2018	#00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0 0.13
07/17/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
07/17/2018	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
07/17/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	30.25	0.30	0.00	0.00	0.30	85.0 0.26
07/17/2018	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	30.48	0.30	0.00	0.00	0.30	85.0 0.26
07/17/2018	#00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0 0.09
07/17/2018	#00089125404	KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	14.35	0.72	0.00	0.00	0.72	85.0 0.61

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
07/17/2018	#00074389206	HUMB, LAUREL		THE NEW ECONOMY FUND-C	B	17.19	0.17	0.00	0.00	0.17 85.0 0.14
07/17/2018	#00074389206	HUMB, LAUREL		THE NEW ECONOMY FUND-C	B	17.32	0.17	0.00	0.00	0.17 85.0 0.14
07/17/2018	#00088848033	DELTORRER		THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30 85.0 0.26
07/17/2018	#00089778964	MILLER, THOMAS		THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50 85.0 2.13
07/25/2018	#000019212608867	RAMIREZ,		FRANKLIN TEMPLETON FUNDS REAL ESTAT B	B	25.00	1.25	0.00	0.00	1.25 85.0 1.06
07/25/2018	#000002974454306	JONESVAR		TEMPLETON FUNDS INC WORLD FUND CL A B	B	25.00	1.25	0.00	0.00	1.25 85.0 1.06
				* MUTUAL FUNDS SUBTOTAL *		\$5,497.02	\$883.29	\$0.00	\$0.00	\$883.29 \$750.86
<u>PRODUCT CATEGORY: STOCKS</u>										
07/26/2018	#			GAMCO GLOBAL GOLD NATURAL RESOURCES S		1,245.43	40.00	19.95	0.00	20.05 85.0 17.04
07/26/2018	#			INTERNATIONAL BANCSHARES CORP TEX	B	6,282.50	120.00	19.95	0.00	100.05 85.0 85.04
				* STOCKS SUBTOTAL *		\$7,527.93	\$160.00	\$39.90	\$0.00	\$120.10 \$102.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
06/30/0201	#1098000000	DEL NORTE,		JOHN HANCOCK ARA	B	556,489.29	185.15	0.00	0.00	185.15 85.0 157.38
07/06/2018	#702155	BARRERA, ADRIANA		JOHN HANCOCK LIFE TRAILS	B	0.00	55.17	0.00	0.00	55.17 85.0 46.89
				* VARIABLE ANNUITIES SUBTOTAL *		\$556,489.29	\$240.32	\$0.00	\$0.00	\$240.32 \$204.27
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>										
07/10/2018	#	ROSILLO, MARIA		UIT FT TARGET HIGH QUALITY	B	15,350.00	191.88	19.95	0.00	171.93 85.0 146.14
07/20/2018	#			UIT FT TARGET HIGH QUALITY	B	995.50	12.44	19.95	0.00	-7.51 85.0 -6.38
07/23/2018	#			UIT FT TARGET HIGH QUALITY	B	8,965.84	112.04	19.95	0.00	92.09 85.0 78.28
				* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$25,311.34	\$316.36	\$59.85	\$0.00	\$256.51 \$218.04
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/30/2018	#03322813525	VARIOUSJONES		GLOBAL FUND C	B	0.00	9.38	0.00	0.00	9.38 85.0 7.97
06/30/2018	#02330195305			GLOBAL STRATEGIC FUND	B	0.00	30.21	0.00	0.00	30.21 85.0 25.68
06/30/2018	#02330195305			GLOBAL STRATEGIC FUND	B	0.00	9.96	0.00	0.00	9.96 85.0 8.47
06/30/2018	#07862356377	VARIOUSJONES		OPPENHEIMER DEVELOPING MARKETS FUND B	B	0.00	0.96	0.00	0.00	0.96 85.0 0.82
06/30/2018	#07872207678	VARIOUSJONES		OPPENHEIMER DEVELOPING MARKETS FUND B	B	0.00	2.03	0.00	0.00	2.03 85.0 1.73
06/30/2018	#03307278900	VARIOUSJONES		OPPENHEIMER GLOBAL FUND CL A	B	0.00	4.83	0.00	0.00	4.83 85.0 4.11
06/30/2018	#02254230567	VARIOUSJONES		OPPENHEIMER RISING DIVIDENDS FUND C B	B	0.00	5.30	0.00	0.00	5.30 85.0 4.51
06/30/2018	#02271150930	VARIOUSJONES		RISING DIVIDENDS FUND C	B	0.00	10.22	0.00	0.00	10.22 85.0 8.69
07/01/2018	#07000331038	VARIOUSJONES		INVESCO GLOBAL GROWTH FUND - CLASS	B	0.00	78.73	0.00	0.00	78.73 85.0 66.92
07/01/2018	#07000331046	VARIOUSJONES		INVESCO GLOBAL GROWTH FUND - CLASS	B	0.00	87.08	0.00	0.00	87.08 85.0 74.02
07/01/2018	#07000331038	VARIOUSJONES		INVESCO GLOBAL HEALTH CARE FD-CL A	B	0.00	58.63	0.00	0.00	58.63 85.0 49.84
07/01/2018	#07000331046	VARIOUSJONES		INVESCO GLOBAL HEALTH CARE FD-CL A	B	0.00	47.56	0.00	0.00	47.56 85.0 40.43
07/11/2018	#	BENAVIDES, ENRIQUE		DAIN TRAILER	B	0.00	3.72	0.00	0.00	3.72 85.0 3.16
07/11/2018	#	BENAVIDES, ENRIQUE		DAIN TRAILER	B	0.00	22.07	0.00	0.00	22.07 85.0 18.76
07/11/2018	#	BENAVIDES, ENRIQUE		DAIN TRAILER	B	0.00	18.67	0.00	0.00	18.67 85.0 15.87
07/11/2018	#	PENA, DIANA		DAIN TRAILER	B	0.00	0.98	0.00	0.00	0.98 85.0 0.83

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	7.47	0.00	0.00	7.47	85.0	6.35
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	0.69	0.00	0.00	0.69	85.0	0.59
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	2.63	0.00	0.00	2.63	85.0	2.24
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	85.0	0.88
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	7.76	0.00	0.00	7.76	85.0	6.60
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	3.26	0.00	0.00	3.26	85.0	2.77
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	6.58	0.00	0.00	6.58	85.0	5.59
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	17.17	0.00	0.00	17.17	85.0	14.59
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	13.99	0.00	0.00	13.99	85.0	11.89
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	8.44	0.00	0.00	8.44	85.0	7.17
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	4.99	0.00	0.00	4.99	85.0	4.24
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	0.06	0.00	0.00	0.06	85.0	0.05
07/11/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	1.76	0.00	0.00	1.76	85.0	1.50
07/11/2018 #	GARCIA, YOLANDA	DAIN TRAILER	B	0.00	6.22	0.00	0.00	6.22	85.0	5.29
07/11/2018 #	RAMIREZ, J EDMUNDO	DAIN TRAILER	B	0.00	0.75	0.00	0.00	0.75	85.0	0.64
07/11/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	16.46	0.00	0.00	16.46	85.0	13.99
07/11/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	11.34	0.00	0.00	11.34	85.0	9.64
07/11/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	4.70	0.00	0.00	4.70	85.0	4.00
07/11/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	7.71	0.00	0.00	7.71	85.0	6.55
07/11/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	11.95	0.00	0.00	11.95	85.0	10.16
07/11/2018 #	CARLOS J VERGARA EXEC	DAIN TRAILER	B	0.00	14.12	0.00	0.00	14.12	85.0	12.00
07/11/2018 #	LAMKIN, DYANNE	DAIN TRAILER	B	0.00	0.91	0.00	0.00	0.91	85.0	0.77
07/11/2018 #	MARTINEZ, MARISSA	DAIN TRAILER	B	0.00	0.24	0.00	0.00	0.24	85.0	0.20
07/11/2018 #	ROSILLO, MARIA	DAIN TRAILER	B	0.00	14.06	0.00	0.00	14.06	85.0	11.95
07/11/2018 #	CANTU, VIDAL	DAIN TRAILER	B	0.00	7.78	0.00	0.00	7.78	85.0	6.61

\$478.07

\$1,753.32

08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
08/20/2018	MMWOD:MISCELLANEOUS: WRITE-OFF	-36.00
	DEBIT BALANCE	

\$-605.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,753.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-605.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,148.32
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