

COMMISSION STATEMENT**January 12, 2018**

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
<u>Company: Securities America</u>							
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>							
01/11/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-46.00	0.00	-46.00	-23.37
01/11/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	30.00	0.00	30.00	15.24
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$-16.00</u>	<u>\$0.00</u>	<u>\$-16.00</u>	<u>\$-8.13</u>
<u>PRODUCT CATEGORY: STOCKS</u>							
01/11/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-7.83	0.00	-7.83	-3.98
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$-7.83</u>	<u>\$0.00</u>	<u>\$-7.83</u>	<u>\$-3.98</u>
<u>PRODUCT CATEGORY: TRAILERS</u>							
01/11/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	4,979.98	0.00	4,979.98	2,529.83
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$4,979.98</u>	<u>\$0.00</u>	<u>\$4,979.98</u>	<u>\$2,529.83</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$4,956.15</u>	<u>\$0.00</u>	<u>\$4,956.15</u>	<u>\$2,517.72</u>
<u>ADJUSTMENTS</u>							
8. MONTHLY SVC & TECHNOLOGY FEE							-227.50
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-227.50</u>

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$2,517.72
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-227.50
							Prior Period Balance \$-0.03
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							Check Amount \$2,290.19
							Year-to-date Earnings \$2,290.19