



Concorde Investment Services, LLC

01/29/2018

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COMMISSION STATEMENT**January 31, 2018**BRION NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/04/2018	RETZLAFF, SANDRA	COTTONWOOD MULTIFAMILY REIT II	25,000.00	750.00	0.00	750.00	90.0	675.00
01/12/2018	EBEL, DWIGHT	COTTONWOOD MULTIFAMILY REIT II, INC	30,000.00	0.00	0.00	0.00	90.0	0.00
12/31/2017	BENSON, JEFFREY	GRIFFIN CAPITAL ESSENTIAL ASSET II	19,010.65	23.96	0.00	23.96	90.0	21.56
12/31/2017	KEMPER, JEROME	GRIFFIN CAPITAL ESSENTIAL ASSET II	57,031.96	71.88	0.00	71.88	90.0	64.69
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$131,042.61	\$845.84	\$0.00	\$845.84		\$761.25
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/31/2017	INFINITY ENTM'T 401K	PRINCIPAL FUNDS TRAILS	10,738.98	3.54	0.00	3.54	90.0	3.19
12/31/2017	INFINITY ENTM'T 401K	PRINCIPAL FUNDS TRAILS	4,411.12	1.46	0.00	1.46	90.0	1.31
01/24/2018	LEE-NORBY-DONAIS, VARIOUS-BBJ	AMERICAN FUNDS PERSHING	0.00	1.92	0.00	1.92	90.0	1.73
01/12/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	-2,000.00	0.00	0.00	0.00	90.0	0.00
12/19/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,893.74	2.08	0.00	2.08	90.0	1.87
12/19/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	738.49	0.81	0.00	0.81	90.0	0.73
12/19/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	601.93	0.66	0.00	0.66	90.0	0.59
12/19/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	553.32	0.61	0.00	0.61	90.0	0.55
12/19/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,893.74	-2.08	0.00	-2.08	90.0	-1.87
12/22/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	2,127.84	2.34	0.00	2.34	90.0	2.11
12/22/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	850.95	0.94	0.00	0.94	90.0	0.85
12/22/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	726.59	0.80	0.00	0.80	90.0	0.72
12/22/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	550.30	0.61	0.00	0.61	90.0	0.55
12/22/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-2,127.84	-2.34	0.00	-2.34	90.0	-2.11
12/31/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	405,786.29	33.48	0.00	33.48	90.0	30.13
12/31/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	318,047.29	26.24	0.00	26.24	90.0	23.62
12/31/2017	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	29,765.89	2.46	0.00	2.46	90.0	2.21
12/31/2017	ZAK MEDICAL CORP	PRINCIPAL LIFE FLEX INV VA	296,557.25	32.62	0.00	32.62	90.0	29.36
12/31/2017	ZAK MEDICAL CORP	PRINCIPAL LIFE FLEX INV VA	227,269.59	25.00	0.00	25.00	90.0	22.50
12/31/2017	ZAK MEDICAL CORP	PRINCIPAL LIFE FLEX INV VA	33,929.57	3.73	0.00	3.73	90.0	3.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
01/03/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,777.83	4.40	0.00	4.40	90.0	3.96
01/03/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	756.01	1.87	0.00	1.87	90.0	1.68
01/03/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	639.17	1.58	0.00	1.58	90.0	1.42
01/03/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	382.65	0.95	0.00	0.95	90.0	0.86
01/03/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,777.83	-4.40	0.00	-4.40	90.0	-3.96
11/30/2017	HATLESTAD, PATRICK	PRINCIPAL LIFE VUL	0.00	16.58	0.00	16.58	90.0	14.92
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$1,333,861.05	\$155.86	\$0.00	\$155.86		\$140.28
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
01/08/2018	SKAARE, LARRY	FS INVESTMENT CORPORATION IV (CLASS	0.00	13.82	0.00	13.82	90.0	12.44
12/31/2017	SKAARE, LARRY	GRIFFIN CAPITAL ESSENTIAL ASSET II	42,773.97	43.12	0.00	43.12	90.0	38.81
12/31/2017	MONETTE, PEGGY	GRIFFIN CAPITAL ESSENTIAL ASSET II	38,021.30	38.33	0.00	38.33	90.0	34.50
12/31/2017	RASMUSSEN, PAMELA	GRIFFIN CAPITAL ESSENTIAL ASSET II	25,664.38	25.88	0.00	25.88	90.0	23.29
01/15/2018	HAUGEN, STACI	HPI FUND V	85,925.00	2,062.20	0.00	2,062.20	90.0	1,855.98
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$192,384.65	\$2,183.35	\$0.00	\$2,183.35		\$1,965.02
*** SPLIT TRANSACTIONS TOTAL ***			\$1,657,288.31	\$3,185.05	\$0.00	\$3,185.05		\$2,866.55

ADJUSTMENTS

01/31/2018	*MISC: DUPLICATE PAYMENT KASMER	0.00						-1,268.75
01/31/2018	*MISC: DUPLICATE PAYMENT RUFFIE	0.00						-1,015.00
01/31/2018	*MISC: OVERPAYMENT OF DD KASMER	0.00						-218.75
01/31/2018	*MISC: OVERPAYMENT OF DD RUFFIE	0.00						-175.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,677.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$2,866.55
Override Transactions	\$0.00
Adjustments	\$-2,677.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$189.05
Year-to-date Gross	\$11,825.70
Year-to-date Earnings	\$10,632.58