

**COMMISSION STATEMENT****October 31, 2017**JOEL WOODS  
1412 Blue Quail RunBROKER #: W143  
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
10/24/2017	HIPKINS, MICHAEL	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,220.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
10/03/2017	RAYFIELD, MARY	COTTONWOOD MULTIFAMILY REIT II	23,000.00	1,380.00	0.00	1,380.00	92.0	1,269.60
10/03/2017	FISHER, EMMA	COTTONWOOD MULTIFAMILY REIT II	40,000.00	2,400.00	0.00	2,400.00	92.0	2,208.00
10/03/2017	RAYFIELD, MARY	COTTONWOOD MULTIFAMILY REIT II	23,000.00	1,380.00	0.00	1,380.00	92.0	1,269.60
10/03/2017	RAYFIELD, MARY	COTTONWOOD MULTIFAMILY REIT II	-23,000.00	-1,380.00	0.00	-1,380.00	92.0	-1,269.60
10/03/2017	FISHER, EMMA	COTTONWOOD MULTIFAMILY REIT II	40,000.00	2,400.00	0.00	2,400.00	92.0	2,208.00
10/03/2017	FISHER, EMMA	COTTONWOOD MULTIFAMILY REIT II	-40,000.00	-2,400.00	0.00	-2,400.00	92.0	-2,208.00
09/30/2017	SCOGGIN, HELEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	43.05	0.00	43.05	92.0	39.61
09/30/2017	SHERRIEB, HAROLD	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	177.39	0.00	177.39	92.0	163.20
		* REIT SUBTOTAL *	\$63,000.00	\$4,000.44	\$0.00	\$4,000.44		\$3,680.41
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
10/11/2017	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	0.00	12.52	0.00	12.52	92.0	11.52
10/02/2017	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	250.00	2.50	0.00	2.50	92.0	2.30
10/11/2017	WOODS, VARIOUS	BR TOTAL INC & REAL ESTATE FUND C	0.00	76.34	0.00	76.34	92.0	70.23
10/02/2017	UA DTD 03/13/2009, REV	GRIFFIN INST'L ACCESS R E FUND C	30,000.00	300.00	0.00	300.00	92.0	276.00
10/19/2017	SIMS, LINDA	GRIFFIN INST'L ACCESS R E FUND C	30,000.00	300.00	0.00	300.00	92.0	276.00
		* INTERVAL FUNDS SUBTOTAL *	\$60,250.00	\$691.36	\$0.00	\$691.36		\$636.05
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
10/05/2017	GORDON, JEFFREY	ALLIANZ FUND AGE-BASED 18+ YEAR CLA	50.00	0.50	0.00	0.50	90.0	0.45
10/05/2017	GORDON, JEFFREY	ALLIANZ FUND AGE-BASED 18+ YEAR CLA	50.00	0.50	0.00	0.50	90.0	0.45
		* 529 PLANS SUBTOTAL *	\$100.00	\$1.00	\$0.00	\$1.00		\$0.90

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/16/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
10/10/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
/ /	SOMMERS, MARY	CALAMOS GLOBAL GROWTH & INCOME C	0.00	2.13	0.00	2.13	90.0	1.92
/ /	SOMMERS, MARY	CALAMOS GROWTH C	0.00	28.61	0.00	28.61	90.0	25.75
10/02/2017	TMICO TRUST CO	INVESCO BALANCED RISK ALLOCATION A	0.00	5.82	0.00	5.82	90.0	5.24
10/02/2017	ROGERS, HELEN	INVESCO BALANCED RISK ALLOCATION A	0.00	28.25	0.00	28.25	90.0	25.43
10/02/2017	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	4.61	0.00	4.61	90.0	4.15
10/02/2017	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	3.16	0.00	3.16	90.0	2.84
10/02/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	33.46	0.00	33.46	90.0	30.11
10/02/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	0.00	1.09	0.00	1.09	90.0	0.98
10/02/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	2.18	0.00	2.18	90.0	1.96
10/02/2017	HEZEL, KATHLEEN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	88.57	0.00	88.57	90.0	79.71
10/02/2017	VECCHIO, JOSEPH	INVESCO BALANCED-RISK ALLOCATION-C	0.00	29.99	0.00	29.99	90.0	26.99
10/02/2017	KMAK, SHANNON	INVESCO BALANCED-RISK ALLOCATION-C	0.00	30.29	0.00	30.29	90.0	27.26
10/02/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	0.00	34.64	0.00	34.64	90.0	31.18
10/02/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	14.58	0.00	14.58	90.0	13.12
10/02/2017	HUGGETT, PAUL	INVESCO BALANCED-RISK ALLOCATION-C	0.00	89.22	0.00	89.22	90.0	80.30
10/02/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	3.37	0.00	3.37	90.0	3.03
10/02/2017	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	15.82	0.00	15.82	90.0	14.24
10/02/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	30.58	0.00	30.58	90.0	27.52
10/02/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	112.80	0.00	112.80	90.0	101.52
10/02/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	111.36	0.00	111.36	90.0	100.22
10/02/2017	WILSON, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	11.06	0.00	11.06	90.0	9.95
10/06/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
10/06/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
10/06/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
10/06/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
10/01/2017	THREADGILL, VICKY	MFS CORE EQUITY A	0.00	2.82	0.00	2.82	90.0	2.54

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/01/2017	THREADGILL, VICKY	MFS TOTAL RETURN A	0.00	5.45	0.00	5.45	90.0	4.91
		* MUTUAL FUNDS SUBTOTAL *	\$650.00	\$709.99	\$0.00	\$709.99		\$639.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/29/2017	WITKOWSKI, CHESTER	AXA ACCUM 07 (NQ)	44,613.63	27.88	0.00	27.88	90.0	25.09
		* VARIABLE ANNUITIES SUBTOTAL *	\$44,613.63	\$27.88	\$0.00	\$27.88		\$25.09
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
10/03/2017	HENSLEE, SHARON	LINCOLN LIFE	0.00	8,026.91	0.00	8,026.91	90.0	7,224.22
10/03/2017	HENSLEE, SHARON	LINCOLN LIFE	0.00	2,820.73	0.00	2,820.73	90.0	2,538.66
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$10,847.64	\$0.00	\$10,847.64		\$9,762.88
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/30/2017	GORDON, JEFFREY	ALLZ COLLEGE ACCESS 529 SD	0.00	17.82	0.00	17.82	90.0	16.04
09/30/2017	GORDON, JEFFREY	ALLZ COLLEGE ACCESS 529 SD	0.00	17.65	0.00	17.65	90.0	15.89
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.47	\$0.00	\$35.47		\$31.93
		*** BROKER TRANSACTIONS TOTAL ***	\$218,613.63	\$19,813.78	\$0.00	\$19,813.78		\$17,996.26

**VERRIDE TRANSACTIONS****BROKER: MARK-S141 REG SMITH #367**

10/04/2017	SMITH, ROGER	ALGER CAPITAL APPRECIATION A	0.00	3.70	0.00	3.70	48.0	1.55
10/16/2017	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
10/16/2017	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
10/16/2017	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
10/16/2017	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
10/16/2017	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
10/16/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	2.13	0.00	2.13	48.0	0.89
09/29/2017	WALRAVEN, VIRGINIA	AXA ACCUM 07 ELITE (RO IRA)	17,115.01	42.79	0.00	42.79	48.0	17.97
09/29/2017	BLEULER, SHIRLEY	AXA ACCUM 07 ELITE (RO IRA)	28,653.63	71.63	0.00	71.63	48.0	30.08
10/02/2017	BRIDGES, JANIS	INVESCO BALANCED RISK ALLOCATION A	0.00	13.63	0.00	13.63	48.0	5.72
10/02/2017	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	5.02	0.00	5.02	48.0	2.11
10/02/2017	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	14.59	0.00	14.59	48.0	6.13
10/02/2017	WALLACE, SHEILA	INVESCO BALANCED RISK ALLOCATION A	0.00	2.59	0.00	2.59	48.0	1.09
10/02/2017	GODWIN, SANDRA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	38.08	0.00	38.08	48.0	15.99

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-S141 REG SMITH #367</u></b>								
10/02/2017	TMICO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	42.76	0.00	42.76	48.0	17.96
10/02/2017	WALLACE, CHARLES	INVESCO BALANCED-RISK ALLOCATION-C	0.00	26.26	0.00	26.26	48.0	11.03
10/02/2017	DODD, AIMEE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	56.32	0.00	56.32	48.0	23.65
10/04/2017	WARD, SHERRY	PIMCO INCOME FUND C	0.00	2.53	0.00	2.53	48.0	1.06
10/04/2017	WARD, SHERRY	PIMCO INCOME FUND C	0.00	6.59	0.00	6.59	48.0	2.77
09/30/2017	SMITH, VARIOUS-MARK-RG	PRUDENTIAL TRAILS	0.00	1.73	0.00	1.73	48.0	0.73
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
<b>* MARK-S141 REG SMITH SUBTOTAL *</b>			<b>\$46,068.64</b>	<b>\$343.09</b>	<b>\$0.00</b>	<b>\$343.09</b>		<b>\$144.09</b>
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
10/11/2017	SMITH, VARIOUS-MARK-AL	BR TOTAL INC & REAL ESTATE FUND A	0.00	58.88	0.00	58.88	49.0	25.32
<b>* MARK-S142 ALTS SMITH SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$58.88</b>	<b>\$0.00</b>	<b>\$58.88</b>		<b>\$25.32</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$46,068.64</b>	<b>\$401.97</b>	<b>\$0.00</b>	<b>\$401.97</b>		<b>\$169.41</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$17,996.26
Split Transactions	\$0.00
Override Transactions	\$169.41
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$18,165.67</b>
<b>Year-to-date Gross</b>	<b>\$93,303.51</b>
<b>Year-to-date Earnings</b>	<b>\$125,587.49</b>

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