

## COMMISSION STATEMENT

August 31, 2017

STEPHEN MOSS  
1336 MARYLAND AVENUEBROKER #: 60  
BRANCH: HOME OFFICE

HVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
08/01/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	14.09	0.00	14.09	50.0	7.05
08/01/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	301.09	0.00	301.09	50.0	150.55
08/01/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	3.50	0.00	3.50	50.0	1.75
08/01/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	9.93	0.00	9.93	50.0	4.97
08/31/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	10.73	0.00	10.73	50.0	5.37
08/31/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	2,173.00	0.00	2,173.00	50.0	1,086.50
08/31/2017 (None)	VARIOUS, MOSS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	50.00	0.00	50.00	50.0	25.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$2,562.34</b>	<b>\$0.00</b>	<b>\$2,562.34</b>		<b>\$1,281.19</b>

PRODUCT CATEGORY: STOCKS

08/01/2017 75501796	MOSS, KATHLEEN	ISHARES U S INDUSTRIALS ETF	S	5,037.66	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501796	MOSS, KATHLEEN	ISHARES U S CONSUMER GOODS ETF	S	5,104.79	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501796	MOSS, KATHLEEN	ISHARES U S BASIC MATERIALS ETF	B	4,960.97	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501796	MOSS, KATHLEEN	ISHARES U S FINANCIALS ETF	B	4,961.14	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES MSCI EAFE ETF	S	471.45	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES MSCI EMERGING MARKETS ETF	S	1,099.00	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES TR S&P MIDCAP 400 GROWTH	B	4,971.50	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S HEALTHCARE ETF	B	10,365.78	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S CONSUMER GOODS ETF	S	19,574.41	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S BASIC MATERIALS ETF	B	4,777.23	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S TECHNOLOGY ETF	B	1,162.40	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S UTILITIES ETF	S	9,933.77	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S REAL ESTATE ETF	B	4,950.61	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	ISHARES U S FINANCIALS ETF	B	10,360.45	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	POWERSHARES QQQ TRUST SERIES 1	S	1,003.61	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	SPDR S&P 500 ETF TRUST	S	741.80	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA B		7,025.18	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501801	JOHN W SCHULTZ TTEE	VANECK VECTORS ETF TR HIGH YIELD MU S		6,355.80	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501802	MCELREAVEY, DANIEL	ISHARES U S INDUSTRIALS ETF	S	6,232.20	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501802	MCELREAVEY, DANIEL	ISHARES U S CONSUMER GOODS ETF	S	6,199.18	0.00	0.00	0.00	50.0	0.00
08/01/2017 75501802	MCELREAVEY, DANIEL	ISHARES U S BASIC MATERIALS ETF	B	6,155.95	0.00	0.00	0.00	50.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/01/2017	75501802	MCELREAVEY, DANIEL	ISHARES U S FINANCIALS ETF	B	6,176.10	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501875	RALPH G BARTO TRUST	ISHARES U S INDUSTRIALS ETF	S	16,961.29	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501875	RALPH G BARTO TRUST	ISHARES U S CONSUMER GOODS ETF	S	17,142.33	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501875	RALPH G BARTO TRUST	ISHARES U S BASIC MATERIALS ETF	B	17,084.08	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501875	RALPH G BARTO TRUST	ISHARES U S FINANCIALS ETF	B	16,870.27	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	S	27,425.45	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER GOODS ETF	S	27,107.92	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	B	27,273.50	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	B	27,228.44	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	S	6,625.01	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER GOODS ETF	S	6,927.35	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	B	6,796.15	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	B	6,725.10	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES FLOATING RATE BOND ETF	S	10,680.62	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES IBOX \$ HIGH YIELD CORPORAT S		11,166.55	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES MSCI EAFE ETF	B	5,186.71	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES MSCI EMERGING MARKETS ETF	B	4,790.04	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES RUSSELL 2000 ETF	S	7,792.96	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES S&P SMALL CAP 600 VALUE ETF S		7,622.65	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES S&P SMALL CAP 600 GROWTH ET S		7,911.50	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES S&P MID CAP 400 VALUE ETF	S	7,648.60	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES S&P500 GROWTH INDEX FD	B	5,204.42	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES TR S&P MIDCAP 400 GROWTH	B	4,772.40	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S HEALTHCARE ETF	B	10,023.60	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S CONSUMER GOODS ETF	S	9,597.91	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S CONSUMER SERVICES ETF	S	9,882.65	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S BASIC MATERIALS ETF	B	10,019.37	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S UTILITIES ETF	S	9,921.19	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S REAL ESTATE ETF	B	4,782.39	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	ISHARES U S FINANCIALS ETF	B	10,028.88	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	POWERSHARES PREFERRED PORTFOLIO	B	11,375.40	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	POWERSHARES QQQ TRUST SERIES 1	B	5,163.75	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	SPDR DOW JONES INDL AVERAGE ETF TR	B	5,046.66	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	SPDR S&P 500 ETF TRUST	B	4,697.94	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	SPDR SER TR BLOOMBERG BARCLAYS INTL B		11,382.88	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	SPDR SERIES TRUST SPDR BLOOMBERG BA B		11,713.26	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	SPDR SERIES TRUST SPDR BLOOMBERG BA S		10,649.13	0.00	0.00	0.00	50.0	0.00
08/01/2017	75501907	HESTON, EUGENE	STANDARD & POORS MIDCAP 400 SPDR S& S		7,707.18	0.00	0.00	0.00	50.0	0.00
08/25/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		58.00	0.00	0.00	0.00	50.0	0.00
08/25/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		56.60	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/25/2017	75501994	MCCOACH, MARY		SPDR SERIES TRUST SPDR BLOOMBERG BA B	37.07	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES MSCI EMERGING MARKETS ETF S	792.18	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES TR S&P MIDCAP 400 GROWTH B	8,944.20	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S HEALTHCARE ETF B	18,738.27	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S CONSUMER GOODS ETF S	32,237.30	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S BASIC MATERIALS ETF B	9,723.38	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S TECHNOLOGY ETF B	1,890.20	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S UTILITIES ETF S	16,494.31	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S REAL ESTATE ETF B	8,986.18	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		ISHARES U S FINANCIALS ETF B	18,742.47	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		POWERSHARES PREFERRED PORTFOLIO S	6,212.89	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		SPDR SER TR BLOOMBERG BARCLAYS INTL S	6,577.24	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		SPDR SERIES TRUST SPDR BLOOMBERG BA B	12,780.19	0.00	0.00	0.00	50.0	0.00
08/01/2017	75502095	VERBITSKI, THOMAS		VANECK VECTORS ETF TR HIGH YIELD MU S	17,987.30	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$696,814.29	\$0.00	\$0.00	\$0.00		\$0.00	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/09/2017	75501795	SPILLANE, TIMOTHY		FRANKLIN MODERATE ALLOCATION FD CL B	0.00	0.68	0.00	0.68	50.0	0.00
08/09/2017	75501815	TAMBURRO, ALBERT		**JPMORGAN TR I B	0.00	1.22	0.00	1.22	50.0	0.00
08/09/2017	75501874	ULIRCUIS, WILLIAM		MFS PENNSYLVANIA MUNICIPAL BOND FUN B	0.00	0.31	0.00	0.31	50.0	0.00
08/09/2017	75502016	WEST, JEFFREY		**GABELLI EQUITY SERIES FUNDS B	0.00	0.48	0.00	0.48	50.0	0.00
08/09/2017	75502016	WEST, JEFFREY		TEMP GLOBAL BOND FND - CL A B	0.00	0.16	0.00	0.16	50.0	0.00
08/09/2017	75502017	WEST, KELLY		**GABELLI EQUITY SERIES FUNDS B	0.00	0.48	0.00	0.48	50.0	0.00
08/09/2017	75502017	WEST, KELLY		TEMP GLOBAL BOND FND - CL A B	0.00	0.16	0.00	0.16	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3.49	\$0.00	\$3.49		\$0.00	
*** BROKER TRANSACTIONS TOTAL ***				\$696,814.29	\$2,565.83	\$0.00	\$2,565.83		\$1,281.19	
ADJUSTMENTS										
08/31/2017		OTHER: OTHER-RBC CHARGES ON ADVISOR		0.00	0.00	0.00	0.00		-222.83	
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-222.83	

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,281.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-222.83
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.21
SIPC Assessment	\$-6.41

<b>Check Amount</b>	<b>\$1,048.74</b>
<b>Year-to-date Earnings</b>	<b>\$4,287.57</b>