



All Companies

03/29/2018
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COMMISSION STATEMENT

April 02, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/15/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,077.46	93.98	0.00	93.98	90.0	84.58
03/20/2018	000000001127897 KELSON, MELISSA I.	FRANKLIN MUTUAL FINCL SERVICES FD C B		50.00	2.50	0.00	2.50	90.0	2.25
03/20/2018	000000001632482 JOHNSEN, LACEY FRK SM CAP GRWTH FND II - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
03/15/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	3.08	0.00	3.08	90.0	2.77
03/20/2018	000000006924512 JOHNSEN, LACEY MUTUAL DISC FND - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
03/20/2018	000000006951519 PARKS, JUDY	MUTUAL DISC FND - CL A	B	200.00	5.60	0.00	5.60	90.0	5.04
03/20/2018	000000007699440 FOSSEN, NAOMI J.	FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
03/15/2018	000000008622413 KILEY, JACOB L.	MUTUAL DISC FND - CL A	B	114.50	4.29	0.00	4.29	90.0	3.86
03/20/2018	000000008776484 FOSSEN, NAOMI J.	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
03/15/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
03/05/2018	000004978615738 PARKS, DANIEL	TEMPLETON FOREIGN FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
03/15/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
03/20/2018	000015813753367 JOHNSEN, LACEY	FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18
03/15/2018	000015815190438 KILEY, JACOB L.	FRANKLIN RISING DIVIDEND FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
03/05/2018	000019818033829 PARKS, DANIEL	FRANKLIN SMALL CAP GROWTH FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
03/15/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
03/20/2018	000019818141653 FOSSEN, NAOMI J.	FRANKLIN SMALL CAP GROWTH FD CL I	B	114.00	5.70	0.00	5.70	90.0	5.13
03/06/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	99.00	0.99	0.00	0.99	90.0	0.89
03/06/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	133.31	1.33	0.00	1.33	90.0	1.20
03/06/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	155.21	1.55	0.00	1.55	90.0	1.40
03/06/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48
03/06/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/06/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	239.42	2.39	0.00	2.39	90.0	2.15
03/20/2018	000028212528031 FOSSEN, NAOMI	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
	J.								
03/20/2018	000028212612217 JOHNSEN, LACEY	FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13
	M.								
03/06/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	90.00	3.83	0.00	3.83	90.0	3.45
03/06/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	103.65	4.41	0.00	4.41	90.0	3.97
03/06/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	107.17	4.55	0.00	4.55	90.0	4.10
03/15/2018	000060177587736 KILEY, JACOB	FRANKLIN GROWTH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
	L.								
03/20/2018	000060177612639 KELSON, MELISSA I.	FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
03/05/2018	000080192852311 PARKS, DANIEL	FRANKLIN DYNATECH FD CL I	B	200.00	5.60	0.00	5.60	90.0	5.04
03/15/2018	000080193156393 KILEY, JACOB	FRANKLIN DYNATECH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
	L.								
03/05/2018	00035820046 HERMAN, BARRY	IVY GLOBAL EQUITY INCOME A	B	4,500.00	225.00	0.00	225.00	90.0	202.50
03/07/2018	00035825027 FOWLER, RANDY S.	IVY GLOBAL EQUITY INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
03/07/2018	00035825027 FOWLER, RANDY S.	IVY HIGH INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
03/07/2018	00035825032 FOWLER, MARY B.	IVY ASSET STRATEGY A	B	50.00	2.25	0.00	2.25	90.0	2.03
03/15/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY ASSET STRATEGY A	B	110.00	4.95	0.00	4.95	90.0	4.46
03/15/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY INTERNATIONAL CORE EQUITY A	B	110.00	4.95	0.00	4.95	90.0	4.46
03/15/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY VALUE A	B	110.00	4.95	0.00	4.95	90.0	4.46
03/12/2018	00035876969 STELLWAGEN, JAY	IVY BALANCED A	B	4,334.00	86.68	0.00	86.68	90.0	78.01
03/15/2018	00035888441 MITCHELL, RANI/BRIAN	IVY BALANCED C	B	300.00	3.00	0.00	3.00	90.0	2.70
03/12/2018	00035926510 ROSETTER, LUANN	IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
03/12/2018	00035926510 ROSETTER, LUANN	IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
03/12/2018	00035926510 ROSETTER, LUANN	IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
03/12/2018	00035926510 ROSETTER, LUANN	IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
03/15/2018	00035932391 KILEY, ELIZABETH	IVY LARGE CAP GROWTH A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	00036173189 TRANS MIN ACT NM, UNIF	IVY CORE EQUITY A	B	162.36	3.25	0.00	3.25	90.0	2.93
03/15/2018	00036182725 KILEY, EMILY/CHANDRA	IVY VALUE A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/01/2018	00036207246 SAWYER, ALEXANDER	IVY LARGE CAP GROWTH A	B	458.00	12.82	0.00	12.82	90.0	11.54
03/01/2018	00036207269 SAWYER, MADELYN E.	IVY CORE EQUITY A	B	458.00	12.82	0.00	12.82	90.0	11.54
03/15/2018	00036277542 STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0	3.01

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	00036277546	STELLWAGEN, JAY T. IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0	3.01
03/15/2018	00036338714	JOHNSON, JACOB F. IVY EUROPEAN OPPORTUNITIES A	B	229.00	11.45	0.00	11.45	90.0	10.31
03/01/2018	00036338714	JOHNSON, JACOB F. IVY LARGE CAP GROWTH A	B	227.21	11.36	0.00	11.36	90.0	10.22
03/01/2018	00036338714	JOHNSON, JACOB F. IVY MID CAP GROWTH A	B	229.00	11.45	0.00	11.45	90.0	10.31
03/15/2018	00036338714	JOHNSON, JACOB F. IVY SMALL CAP VALUE A	B	229.00	11.45	0.00	11.45	90.0	10.31
03/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
03/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
03/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS INCOME FD OF AMERI	B	114.00	2.28	0.00	2.28	90.0	2.05
03/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
03/15/2018	00069075893	BARBER, PEGGY AMERICAN FUNDS BD FD OF AMER	B	50.00	1.50	0.00	1.50	90.0	1.35
03/15/2018	00069075893	BARBER, PEGGY AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
03/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	2.06	0.00	2.06	90.0	1.85
	FLOTTIME								
03/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.75	0.00	2.75	90.0	2.48
	FLOTTIME								
03/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.06	0.00	2.06	90.0	1.85
	FLOTTIME								
03/20/2018	00073990739	KELLER, JANELLE AMERICAN FUNDS BALANCED FD	B	250.00	6.88	0.00	6.88	90.0	6.19
	FLOTTIME								
03/19/2018	00075013619	BECK, EMILY AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
03/19/2018	00075013619	BECK, EMILY AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.25	2.10	0.00	2.10	90.0	1.89
03/19/2018	00075013619	BECK, EMILY GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
03/22/2018	00075982164	ASHEIM, KENNETH AMERICAN FUNDS CAPITAL INCOME BUILD	B	207.90	4.16	0.00	4.16	90.0	3.74
03/22/2018	00075982164	ASHEIM, KENNETH AMERICAN FUNDS CAPITAL INCOME BUILD	B	1,980.00	39.60	0.00	39.60	90.0	35.64
03/22/2018	00075982164	ASHEIM, KENNETH AMERICAN FUNDS CAPITAL WORLD GROWT	B	207.90	4.16	0.00	4.16	90.0	3.74
03/22/2018	00075982164	ASHEIM, KENNETH AMERICAN FUNDS CAPITAL WORLD GROWT	B	1,980.00	39.60	0.00	39.60	90.0	35.64
03/22/2018	00075982164	ASHEIM, KENNETH AMERICAN FUNDS GROWTH FUND OF AMERI	B	214.20	4.28	0.00	4.28	90.0	3.85
03/22/2018	00075982164	ASHEIM, KENNETH AMERICAN FUNDS GROWTH FUND OF AMERI	B	2,040.00	40.80	0.00	40.80	90.0	36.72
03/14/2018	00081152428	LECY, ANN AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
03/14/2018	00081152428	LECY, ANN AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.00	2.28	0.00	2.28	90.0	2.05
03/14/2018	00081152428	LECY, ANN AMERICAN FUNDS INCOME FD OF AMERI	B	115.00	2.30	0.00	2.30	90.0	2.07
03/14/2018	00081152428	LECY, ANN NEW WORLD FUND-A	B	114.00	2.28	0.00	2.28	90.0	2.05
03/22/2018	00081201789	AKERS, DENICE NEW WORLD FUND-A	B	225.00	4.50	0.00	4.50	90.0	4.05
03/22/2018	00081201789	AKERS, DENICE NEW WORLD FUND-A	B	225.00	4.50	0.00	4.50	90.0	4.05
03/19/2018	00081536505	BECK, MICHAEL AMERICAN FUNDS FUNDAMENTAL INVES	B	76.25	2.10	0.00	2.10	90.0	1.89
03/19/2018	00081536505	BECK, MICHAEL AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.25	2.10	0.00	2.10	90.0	1.89
03/19/2018	00081536505	BECK, MICHAEL AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89
03/12/2018	00081638112	KETZNER, TODD AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	00081638112	KETZNER, TODD AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	00081638112	KETZNER, TODD AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.38	0.00	1.38	90.0	1.24

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/12/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.38	0.00	1.38	90.0	1.24
03/20/2018	00082638926	YAMASHITA, MARK/EMILY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	200.00	8.50	0.00	8.50	90.0	7.65
03/12/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
03/19/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
03/19/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	2.00	0.00	2.00	90.0	1.80
03/19/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.00	0.00	2.00	90.0	1.80
03/19/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	90.0	1.80
03/19/2018	00084775331	GESINGER, BLAINE	NEW WORLD FUND-A	B	100.00	2.00	0.00	2.00	90.0	1.80
03/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	135.25	2.71	0.00	2.71	90.0	2.44
03/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	135.25	2.71	0.00	2.71	90.0	2.44
03/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS INCOME SER US GOVT	B	135.25	2.71	0.00	2.71	90.0	2.44
03/20/2018	00085574568	MAKOWESKI, PETE	GLOBAL BALANCED FUND-A	B	135.25	2.71	0.00	2.71	90.0	2.44
03/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.39	2.86	0.00	2.86	90.0	2.57
03/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	76.39	2.86	0.00	2.86	90.0	2.57
03/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.39	2.86	0.00	2.86	90.0	2.57
03/12/2018	00086023883	PER, BRANDON	AMERICAN FUNDS AMCAP FD	B	500.00	18.75	0.00	18.75	90.0	16.88
03/12/2018	00086023903	PER, BRANDON	AMERICAN FUNDS AMCAP FD	B	500.00	18.75	0.00	18.75	90.0	16.88
03/15/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	200.00	5.50	0.00	5.50	90.0	4.95
03/15/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.75	0.00	2.75	90.0	2.48
03/20/2018	00086689358	YAMASHITA, SUZANNE	AMERICAN FUNDS WA MUTUAL INVES	B	150.00	6.38	0.00	6.38	90.0	5.74
03/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS FUNDAMENTAL INVES	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INCOME FD OF AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	3.15	0.00	3.15	90.0	2.84
03/15/2018	00086832145	MARCOV, SARAH F.	NEW WORLD FUND-A	B	114.58	3.15	0.00	3.15	90.0	2.84
03/20/2018	00086842890	BROWN, TAMI	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/22/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	695.95	29.58	0.00	29.58	90.0	26.62

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/22/2018	00087044454	PACHL, JESSICA		AMERICAN FUNDS AMCAP FD	B	695.95	29.58	0.00	29.58 90.0 26.62
03/20/2018	00087062366	KRANTZ/SHOWALTER, SANDRA L/KAMDEN		WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50 90.0 2.25
03/20/2018	00087062378	SHOWALTER, DELANIE		FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50 90.0 2.25
03/19/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34 90.0 2.11
03/19/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS CAPITAL WORLD	GROWT B	55.00	2.34	0.00	2.34 90.0 2.11
03/19/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	2.34	0.00	2.34 90.0 2.11
03/19/2018	00087108999	FLOTTMEYER, BRENDA		AMERICAN FUNDS WA MUTUAL	INVES B	55.00	2.34	0.00	2.34 90.0 2.11
03/15/2018	00087295220	DELES DERNIER, DOUGLAS		AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25 90.0 3.83
03/15/2018	00087295220	DELES DERNIER, DOUGLAS		AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25 90.0 3.83
03/15/2018	00087295220	DELES DERNIER, DOUGLAS		AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25 90.0 3.83
03/15/2018	00087295220	DELES DERNIER, DOUGLAS		GLOBAL BALANCED FUND-A	B	100.00	4.25	0.00	4.25 90.0 3.83
03/15/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50 90.0 2.25
03/15/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS CAPITAL WORLD	GROWT B	50.00	2.50	0.00	2.50 90.0 2.25
03/15/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50 90.0 2.25
03/15/2018	00087374237	KING, TIMOTHY		AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50 90.0 2.25
03/15/2018	00087391906	WILSON, DONNELLE		GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
03/15/2018	00087391906	WILSON, DONNELLE		THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50 90.0 0.45
03/12/2018	00087396195	DAHL, DAVID		AMERICAN FUNDS FUNDAMENTAL	INVES B	53.00	1.99	0.00	1.99 90.0 1.79
03/12/2018	00087396195	DAHL, DAVID		AMERICAN FUNDS GROWTH FUND OF AMERI	B	53.00	1.99	0.00	1.99 90.0 1.79
03/12/2018	00087396195	DAHL, DAVID		AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99 90.0 1.79
03/12/2018	00087396195	DAHL, DAVID		NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63 90.0 2.37
03/12/2018	00087396210	DAHL, AMANDA		AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88 90.0 1.69
03/12/2018	00087396210	DAHL, AMANDA		AMERICAN FUNDS CAPITAL WORLD	GROWT B	65.00	2.44	0.00	2.44 90.0 2.20
03/12/2018	00087396210	DAHL, AMANDA		AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40 90.0 2.16
03/12/2018	00087396210	DAHL, AMANDA		AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88 90.0 1.69
03/16/2018	00087436654	LYONS, ASHLEY		AMERICAN FUNDS AMCAP FD	B	200.00	10.00	0.00	10.00 90.0 9.00
03/16/2018	00087436654	LYONS, ASHLEY		AMERICAN FUNDS CAPITAL WORLD	GROWT B	200.00	10.00	0.00	10.00 90.0 9.00
03/22/2018	00087493891	ASHEIM, SHIRLEY		AMERICAN FUNDS BALANCED FD	B	413.70	8.27	0.00	8.27 90.0 7.44
03/22/2018	00087493891	ASHEIM, SHIRLEY		AMERICAN FUNDS BALANCED FD	B	6,000.00	120.00	0.00	120.00 90.0 108.00
03/15/2018	00087709550	GUNDERSON, SUSAN/DILLON		GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00 90.0 15.30
03/19/2018	00087793439	ROSENBAUM, BENJAMIN		AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50 90.0 2.25
03/19/2018	00087793439	ROSENBAUM, BENJAMIN		AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50 90.0 2.25

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS AMCAP FD	B	114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS INVESTMENT COMP OF A B		114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863239	RABELL, HANSEL		AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863239	RABELL, HANSEL		NEW WORLD FUND-A	B	114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863278	RABELL/AUTH PER, JUANITA		AMERICAN FUNDS GROWTH FUND OF AMERI B		83.33	3.12	0.00	3.12 90.0 2.81
03/23/2018	00087863278	RABELL/AUTH PER, JUANITA		AMERICAN FUNDS WA MUTUAL	INVES B	83.33	3.12	0.00	3.12 90.0 2.81
03/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS CAPITAL WORLD GROWT B		114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS GROWTH FUND OF AMERI B		114.58	4.30	0.00	4.30 90.0 3.87
03/23/2018	00087863341	RABELL, JUANITA		AMERICAN FUNDS INCOME FD OF AMERI B		114.58	4.30	0.00	4.30 90.0 3.87
03/19/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS EURO PACIFIC GROWT B		112.00	2.24	0.00	2.24 90.0 2.02
03/19/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS INCOME FD OF AMERI B		112.00	2.24	0.00	2.24 90.0 2.02
03/19/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS INVESTMENT COMP OF A B		113.00	2.26	0.00	2.26 90.0 2.03
03/19/2018	00087985974	MCGINLEY, CONSTANCE		AMERICAN FUNDS NEW ECONOMY FD	B	113.00	2.26	0.00	2.26 90.0 2.03
03/19/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24 90.0 2.02
03/19/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24 90.0 2.02
03/19/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS CAPITAL WORLD GROWT B		113.00	2.26	0.00	2.26 90.0 2.03
03/19/2018	00087989188	MCGINLEY, JOHN		AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26 90.0 2.03
03/12/2018	00088093369	FRERICH, CORA		AMERICAN FUNDS BALANCED FD	B	150.00	7.50	0.00	7.50 90.0 6.75
03/12/2018	00088267226	KEFFELE, TRACY L.		AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13 90.0 1.92
03/19/2018	00088298154	ALEXANDER, MARILYN		AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50 90.0 24.75
03/15/2018	00088320633	PACHL/AUTH PER, JESSICA L.		AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25 90.0 3.83
03/19/2018	00088397974	LEWIS, MICAH		AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50 90.0 2.25
03/20/2018	00088419853	SCHMIDT, ROSS L.		AMERICAN FUNDS BALANCED FD	B	200.00	10.00	0.00	10.00 90.0 9.00
03/15/2018	00088504226	SUTLIFF-GROSS, SABRINA		CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50 90.0 11.25
03/15/2018	00088565229	LIMKE, JESSICA		AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	8.50	0.00	8.50 90.0 7.65
03/15/2018	00088586617	O'BRIEN, EMILY R.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14 90.0 1.93
03/15/2018	00088586617	O'BRIEN, EMILY R.		AMERICAN FUNDS CAPITAL WORLD GROWT B		57.00	2.14	0.00	2.14 90.0 1.93
03/15/2018	00088586617	O'BRIEN, EMILY R.		AMERICAN FUNDS EURO PACIFIC GROWT B		57.00	2.14	0.00	2.14 90.0 1.93
03/15/2018	00088586617	O'BRIEN, EMILY R.		AMERICAN FUNDS GROWTH FUND OF AMERI B		57.00	2.14	0.00	2.14 90.0 1.93
03/16/2018	00088586636	O'BRIEN, RYAN A.		AMERICAN FUNDS BALANCED FD	B	166.00	6.23	0.00	6.23 90.0 5.61
03/15/2018	00088586646	O'BRIEN, RYAN A.		AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14 90.0 1.93

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/15/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
03/15/2018	00088586646	O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF	AMERI B	57.00	2.14	0.00	2.14	90.0	1.93
03/15/2018	00088586646	O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
03/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
03/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
03/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF	AMERI B	62.50	1.25	0.00	1.25	90.0	1.13
03/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
03/15/2018	00088605154	LYONS, CAMERON	WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	5.00	0.00	5.00	90.0	4.50
03/12/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618305	LORD, TIMOTHY R.	NEW WORLD FUND-A	B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF	AMERI B	114.50	4.29	0.00	4.29	90.0	3.86
03/12/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.50	4.29	0.00	4.29	90.0	3.86
03/15/2018	00088631279	FLOTTMEYER, KEVIN	AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	90.0	5.63
A.										
03/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
03/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
03/16/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
03/16/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/12/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/12/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
03/15/2018	00088828419	HOP, DANIEL J.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
03/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
03/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
03/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
03/15/2018	00088934030	HAFFNER, RONALD L.	AMERICAN MUTUAL FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934030	HAFFNER, RONALD L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934030	HAFFNER, RONALD L.	NEW PERSPECTIVE FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934030	HAFFNER, RONALD L.	THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934072	HAFFNER, ELLEN	AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934072	HAFFNER, ELLEN	AMERICAN MUTUAL FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934072	HAFFNER, ELLEN	CAPITAL INCOME BUILDER-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088934072	HAFFNER, ELLEN	SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00089019546	HOLLEARN, JAMES R.	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS AMCAP FD	B	57.00	2.85	0.00	2.85	90.0	2.57

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	AMERICAN MUTUAL FD	B	56.00	2.80	0.00	2.80 90.0 2.52
03/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	CAPITAL INCOME BUILD	B	56.00	2.80	0.00	2.80 90.0 2.52
03/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	SMALL CAP WORLD FD	B	56.00	2.80	0.00	2.80 90.0 2.52
03/15/2018	00089019699	HOLLEARN, CHRISTINA M.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75 90.0 3.38
03/15/2018	00089019699	HOLLEARN, CHRISTINA M.	AMERICAN FUNDS	INCOME FD OF	AMERI B	50.00	2.50	0.00	2.50 90.0 2.25
03/15/2018	00089049697	WARREN, BRIANNA M.	AMERICAN FUNDS	FUNDAMENTAL	INVES B	50.00	2.50	0.00	2.50 90.0 2.25
03/15/2018	00089123660	TEN EYCK, SHEILA T.	AMERICAN FUNDS	INCOME FD OF	AMERI B	75.00	2.81	0.00	2.81 90.0 2.53
03/15/2018	00089123704	TEN EYCK, JOHN C.	AMERICAN FUNDS	AMCAP FD	B	75.00	2.81	0.00	2.81 90.0 2.53
03/19/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS	CAPITAL WORLD	GROWT B	81.25	3.45	0.00	3.45 90.0 3.11
03/19/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	81.25	3.45	0.00	3.45 90.0 3.11
03/19/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS	INVESTMENT COMP OF A	B	81.25	3.45	0.00	3.45 90.0 3.11
03/19/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS	NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45 90.0 3.11
03/15/2018	00089163089	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51 90.0 1.36
03/15/2018	00089163089	CIOCARLAN, CHRISTOPHER J.	NEW WORLD FUND-A		B	55.00	1.51	0.00	1.51 90.0 1.36
03/15/2018	00089163184	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS	WA MUTUAL	INVES B	100.00	2.75	0.00	2.75 90.0 2.48
03/15/2018	00089163224	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS	AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75 90.0 2.48
03/15/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS	INCOME FD OF	AMERI B	1,291.64	35.52	0.00	35.52 90.0 31.97
03/15/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS	INCOME FD OF	AMERI B	315.00	8.66	0.00	8.66 90.0 7.79
03/15/2018	00089249498	WILLIAMS, GERALDINE	AMERICAN FUNDS	BALANCED FD	B	1,291.64	35.52	0.00	35.52 90.0 31.97
03/15/2018	00089249498	WILLIAMS, GERALDINE	AMERICAN FUNDS	BALANCED FD	B	165.00	4.54	0.00	4.54 90.0 4.09
03/15/2018	00089296173	WILLIAMS, KEVIN M.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	424.20	11.67	0.00	11.67 90.0 10.50
03/15/2018	00089296173	WILLIAMS, KEVIN M.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	127.26	3.50	0.00	3.50 90.0 3.15
03/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS	AMCAP FD	B	58.00	2.18	0.00	2.18 90.0 1.96
03/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS	SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14 90.0 1.93
03/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS	WA MUTUAL	INVES B	57.00	2.14	0.00	2.14 90.0 1.93
03/16/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A		B	57.00	2.14	0.00	2.14 90.0 1.93
03/15/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS	2020 TARGET DATE-A	B	191.80	5.27	0.00	5.27 90.0 4.74
03/15/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS	2020 TARGET DATE-A	B	82.20	2.26	0.00	2.26 90.0 2.03
03/20/2018	00089423246	YAMASHITA, MARK M.	AMERICAN FUNDS	WA MUTUAL	INVES B	75.00	3.19	0.00	3.19 90.0 2.87
03/14/2018	00089449545	ELLINGSON, KRISTEN	AMERICAN	BALANCED FUND-C	B	75.00	0.75	0.00	0.75 90.0 0.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/21/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
		K.							
03/12/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS SMALL CAP WORLD FD	B	44.22	2.21	0.00	2.21	90.0	1.99
03/12/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS SMALL CAP WORLD FD	B	44.22	2.21	0.00	2.21	90.0	1.99
03/12/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS WA MUTUAL	INVES B	44.23	2.21	0.00	2.21	90.0	1.99
03/12/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS WA MUTUAL	INVES B	44.23	2.21	0.00	2.21	90.0	1.99
03/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS AMERICAN MUTUAL FD	B	104.25	5.21	0.00	5.21	90.0	4.69
03/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS EURO PACIFIC	GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
03/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS GROWTH FUND OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
03/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS INCOME FD OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
03/12/2018	00089449610	THOURON, JEFFREY AMERICAN FUNDS FUNDAMENTAL	INVES B	77.55	3.88	0.00	3.88	90.0	3.49
		J.							
03/12/2018	00089449610	THOURON, JEFFREY AMERICAN FUNDS FUNDAMENTAL	INVES B	77.55	3.88	0.00	3.88	90.0	3.49
		J.							
03/12/2018	00089449610	THOURON, JEFFREY INTERNATIONAL GROWTH AND INCOME A	B	77.55	3.88	0.00	3.88	90.0	3.49
		J.							
03/12/2018	00089449610	THOURON, JEFFREY INTERNATIONAL GROWTH AND INCOME A	B	77.55	3.88	0.00	3.88	90.0	3.49
		J.							
03/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
03/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	1.88	0.00	1.88	90.0	1.69
03/15/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	220.00	11.00	0.00	11.00	90.0	9.90
		J.							
03/15/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	33.00	1.65	0.00	1.65	90.0	1.49
		J.							
03/15/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	480.00	24.00	0.00	24.00	90.0	21.60
03/15/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	180.00	9.00	0.00	9.00	90.0	8.10
03/15/2018	00089549237	HENRY, STEVEN L. AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00089549237	HENRY, STEVEN L. WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00089549281	HENRY, NICOLE M. FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00089549281	HENRY, NICOLE M. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00089606497	DELES DERNIER, AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	10.63	0.00	10.63	90.0	9.57
		CONNIE							
03/15/2018	00089606506	DELES DERNIER, AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	2.13	0.00	2.13	90.0	1.92
		DOUGLAS							
03/15/2018	00089606506	DELES DERNIER, AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
		DOUGLAS							
03/15/2018	00089621757	MORTON, BRET E. THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00089621765	MORTON, LINDA A. AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/19/2018	00089679500	KULESA, ADAM M. AMCAP FUND-C	B	109.00	1.09	0.00	1.09	90.0	0.98
03/19/2018	00089679500	KULESA, ADAM M. CAPITAL WORLD GROWTH AND INCOME FUN	B	108.00	1.08	0.00	1.08	90.0	0.97

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/19/2018	00089679500 KULESA, ADAM M.	WASHINGTON MUTUAL INVESTORS FUND-C	B	108.00	1.08	0.00	1.08	90.0	0.97
03/22/2018	00089859202 LABINE, JENNIFER N.	AMERICAN FUNDS AMCAP FD	B	180.00	3.60	0.00	3.60	90.0	3.24
03/22/2018	00089859202 LABINE, JENNIFER N.	AMERICAN FUNDS AMCAP FD	B	180.00	3.60	0.00	3.60	90.0	3.24
03/15/2018	00089961444 WEISS, CHRIS D.	AMCAP FUND-C	B	114.00	1.14	0.00	1.14	90.0	1.03
03/15/2018	00089961444 WEISS, CHRIS D.	AMERICAN MUTUAL FUND-C	B	114.00	1.14	0.00	1.14	90.0	1.03
03/15/2018	00089961444 WEISS, CHRIS D.	NEW WORLD FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
03/15/2018	00089961444 WEISS, CHRIS D.	SMALLCAP WORLD FUND-C	B	115.00	1.15	0.00	1.15	90.0	1.04
03/13/2018	00089979930 KLEINHEKSEL, NICOLE M.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	1,000.00	37.50	0.00	37.50	90.0	33.75
03/13/2018	00089979930 KLEINHEKSEL, NICOLE M.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	1,000.00	37.50	0.00	37.50	90.0	33.75
03/13/2018	00089979930 KLEINHEKSEL, NICOLE M.	AMERICAN FUNDS FUNDAMENTAL INVES	B	1,000.00	37.50	0.00	37.50	90.0	33.75
03/13/2018	00089979930 KLEINHEKSEL, NICOLE M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	1,000.00	37.50	0.00	37.50	90.0	33.75
03/15/2018	00201000577 GUNDERSON, SUSAN M.	COLUMBIA CONTRARIAN CORE FD A	B	114.00	4.28	0.00	4.28	90.0	3.85
03/15/2018	00201000577 GUNDERSON, SUSAN M.	COLUMBIA MARSICO GLOBAL FUND A	B	115.00	4.31	0.00	4.31	90.0	3.88
03/15/2018	00505047984 PETERSON, JEFF	-PUTNAM	B	35.37	4.28	0.00	4.28	90.0	3.85
03/15/2018	00505047984 PETERSON, JEFF	PUTNAM CAPITAL OPPORTUNITIES FUND	B	114.00	4.28	0.00	4.28	90.0	3.85
03/15/2018	00505047984 PETERSON, JEFF	PUTNAM GLOBAL GROWTH FD CL A	B	114.00	4.28	0.00	4.28	90.0	3.85
03/15/2018	00505047984 PETERSON, JEFF	PUTNAM GROWTH OPPORTUNITIES FD CL A	B	109.00	4.09	0.00	4.09	90.0	3.68
03/15/2018	00932927612 KENDALL, REBECCA	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.38	0.00	1.38	90.0	1.24
03/12/2018	01000170691 WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS	B	37.50	0.38	0.00	0.38	90.0	0.34
03/20/2018	01000170691 WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS	B	37.50	0.38	0.00	0.38	90.0	0.34
03/12/2018	01000170691 WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL	B	37.50	0.38	0.00	0.38	90.0	0.34
03/20/2018	01000170691 WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL	B	37.50	0.38	0.00	0.38	90.0	0.34
03/12/2018	01000170691 WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
03/20/2018	01000170691 WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
03/12/2018	01000170691 WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
03/20/2018	01000170691 WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
03/05/2018	0100035696 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	125.00	3.75	0.00	3.75	90.0	3.38
03/05/2018	0100035696 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	100.00	3.00	0.00	3.00	90.0	2.70
03/05/2018	0100035707 WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND	B	400.00	12.00	0.00	12.00	90.0	10.80
03/01/2018	0100071050 FISCHER, DACIA M.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	87.50	3.50	0.00	3.50	90.0	3.15
03/15/2018	01001342204 DERKSEN, NATHAN P.	IVK COMSTOCK FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	01001342204 DERKSEN, NATHAN P.	IVK SMALL CAP GROWTH FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/21/2018	01001362660 VAN ASMA, STEPHANIE R.	AIM ASIAN GROWTH FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
03/21/2018	01001362660 VAN ASMA, STEPHANIE R.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	115.00	5.75	0.00	5.75	90.0	5.18
03/21/2018	01001362660 VAN ASMA, STEPHANIE R.	IVK MID CAP GROWTH FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
03/21/2018	01001362660 VAN ASMA, STEPHANIE R.	IVK SMALL CAP GROWTH FUND - CLASS A B		114.00	5.70	0.00	5.70	90.0	5.13
03/21/2018	01001362691 VAN ASMA, NICHOLAS A.	AIM EUROPEAN DEVELOPMENT FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
03/21/2018	01001362691 VAN ASMA, NICHOLAS A.	AIM MID CAP GROWTH FD CL A	B	115.00	5.75	0.00	5.75	90.0	5.18
03/21/2018	01001362691 VAN ASMA, NICHOLAS A.	AIM SMALL CAP EQUITY FUND - CLASS A B		114.00	5.70	0.00	5.70	90.0	5.13
03/21/2018	01001362691 VAN ASMA, NICHOLAS A.	AIM UTILITIES FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
03/21/2018	01001381289 VAN ASMA, NICHOLAS A.	INVESCO S&P 500 INDEX FUND - CLASS	B	250.00	2.50	0.00	2.50	90.0	2.25
03/21/2018	01001381289 VAN ASMA, NICHOLAS A.	INVESCO S&P 500 INDEX FUND - CLASS	B	250.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	01001396396 BENTLEY, BRANDON	IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	01240555562 GUNDERSON, SUSAN M.	COLUMBIA MIDCAP GROWTH FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
03/15/2018	01390000410 GUNDERSON, KELLY M.	COLUMBIA TECHNOLOGY FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
03/15/2018	01793555613 GUNDERSON, KELLY M.	COLUMBIA ACORN INTERNATIONAL	B	114.00	4.28	0.00	4.28	90.0	3.85
03/15/2018	01981555565 GUNDERSON, KELLY M.	COLUMBIA MIDCAP VALUE	B	115.00	4.31	0.00	4.31	90.0	3.88
03/12/2018	03809167046 WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	25.00	0.75	0.00	0.75	90.0	0.68
03/20/2018	03809167046 WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	25.00	0.75	0.00	0.75	90.0	0.68
03/12/2018	03809167046 WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
03/20/2018	03809167046 WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
03/12/2018	03809167046 WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	25.00	0.25	0.00	0.25	90.0	0.23
03/20/2018	03809167046 WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	25.00	0.25	0.00	0.25	90.0	0.23
03/12/2018	03809167046 WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
03/20/2018	03809167046 WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	0.25	0.00	0.25	90.0	0.23
03/20/2018	04000100624 BUREMAN, JANA	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	5.00	0.00	5.00	90.0	4.50
03/21/2018	04000135679 JESSEN, JAMIE N.	AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
03/15/2018	04000166931 KAU, LISA M.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.19	0.00	3.19	90.0	2.87

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000166931 KAUK, LISA M.	AMERICAN FUNDS NEW PERSPECTIVE FD B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000167035 KAUK, TIMOTHY	AMERICAN FUNDS BALANCED FD B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000167035 KAUK, TIMOTHY	AMERICAN FUNDS FUNDAMENTAL INVES B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000167035 KAUK, TIMOTHY	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000167035 KAUK, TIMOTHY	NEW WORLD FUND-A B		75.00	3.19	0.00	3.19	90.0	2.87
03/15/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS AMCAP FD B		91.75	4.59	0.00	4.59	90.0	4.13
03/15/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS CAPITAL WORLD GROWT B		91.75	4.59	0.00	4.59	90.0	4.13
03/15/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS INCOME FD OF AMERI B		91.75	4.59	0.00	4.59	90.0	4.13
03/15/2018	04000211352 NEMEC, ERIN E.	AMERICAN FUNDS NEW PERSPECTIVE FD B		91.75	4.59	0.00	4.59	90.0	4.13
03/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS EURO PACIFIC GROWT B		114.50	5.73	0.00	5.73	90.0	5.16
03/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS FUNDAMENTAL INVES B		114.50	5.73	0.00	5.73	90.0	5.16
03/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS GROWTH FUND OF AMERI B		114.50	5.73	0.00	5.73	90.0	5.16
03/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS SMALL CAP WORLD FD B		114.50	5.73	0.00	5.73	90.0	5.16
03/23/2018	04000211973 SIMBURGER, SHANDA J.	FUNDAMENTAL INVESTORS-C B		114.58	1.15	0.00	1.15	90.0	1.04
03/23/2018	04000211973 SIMBURGER, SHANDA J.	NEW PERSPECTIVE FUND-C B		114.58	1.15	0.00	1.15	90.0	1.04
03/23/2018	04000211973 SIMBURGER, SHANDA J.	NEW WORLD FUND-C B		114.58	1.15	0.00	1.15	90.0	1.04
03/23/2018	04000211973 SIMBURGER, SHANDA J.	THE GROWTH FUND OF AMERICA-C B		114.58	1.15	0.00	1.15	90.0	1.04
03/13/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		222.36	11.12	0.00	11.12	90.0	10.01
03/20/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		72.50	3.63	0.00	3.63	90.0	3.27
03/13/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		222.35	11.12	0.00	11.12	90.0	10.01
03/20/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		72.49	3.62	0.00	3.62	90.0	3.26
03/13/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		229.09	11.45	0.00	11.45	90.0	10.31
03/20/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		74.69	3.73	0.00	3.73	90.0	3.36
03/23/2018	04000226966 KUNTZ, DWIGHT	AMERICAN BALANCED FUND-C B		99,142.94	991.43	0.00	991.43	90.0	892.29
03/12/2018	04000407504 FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A B		29.20	1.46	0.00	1.46	90.0	1.31
03/12/2018	04000407504 FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A B		29.20	1.46	0.00	1.46	90.0	1.31

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	04000452410	PACHL, JESSICA/EVE GROWTH PORTFOLIO-A	B	100.00	4.25	0.00	4.25	90.0	3.83
03/21/2018	04000486090	CRILE, JODI GROWTH PORTFOLIO-A	B	458.00	22.90	0.00	22.90	90.0	20.61
03/21/2018	04000489063	CRILE, JOHN M. AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73	90.0	5.16
03/21/2018	04000489063	CRILE, JOHN M. AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	5.73	0.00	5.73	90.0	5.16
03/21/2018	04000489063	CRILE, JOHN M. AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	5.73	0.00	5.73	90.0	5.16
03/21/2018	04000489063	CRILE, JOHN M. NEW WORLD FUND-A	B	114.50	5.73	0.00	5.73	90.0	5.16
03/15/2018	04000669878	KAUK, TIMOTHY GLOBAL GROWTH PORTFOLIO-C	B	167.00	1.67	0.00	1.67	90.0	1.50
03/23/2018	04000875239	KUNTZ, DWIGHT AMERICAN BALANCED FUND-C	B	40,000.00	400.00	0.00	400.00	90.0	360.00
03/15/2018	15375555562	GUNDERSON, SUSAN COLUMBIA SELECT SMALLER-CAP VALUE F	B	115.00	4.31	0.00	4.31	90.0	3.88
M.									
03/05/2018	1953048892	WOODYARD, LISA INTERNATIONAL DIVERSIFIED FUND A	B	125.00	3.75	0.00	3.75	90.0	3.38
03/05/2018	1953048892	WOODYARD, LISA INTERNATIONAL DIVERSIFIED FUND A	B	100.00	3.00	0.00	3.00	90.0	2.70
03/05/2018	1953048903	WOODYARD, LISA INTERNATIONAL DIVERSIFIED FUND A	B	400.00	12.00	0.00	12.00	90.0	10.80
03/01/2018	2254439479	NIDA, AARON R. OPPENHEIMER QUEST VALUE FD	CL A B	200.00	8.00	0.00	8.00	90.0	7.20
03/01/2018	2514890897	FISCHER, BRANDON S. OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.00	0.00	2.00	90.0	1.80
03/15/2018	4206310777	RUTSTEIN, ANDREA OPPENHEIMER MAIN STREET INCOME & GR	B	100.00	4.75	0.00	4.75	90.0	4.28
03/01/2018	4206645562	FISCHER, BRANDON S. OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.00	0.00	2.00	90.0	1.80
03/15/2018	51830000605	GUNDERSON, KELLY COLUMBIA LARGE CORE QUAN FUND A	B	115.00	4.31	0.00	4.31	90.0	3.88
M.									
03/01/2018	6870194370	FISCHER, BRANDON S. OPPENHEIMER GLOBAL VALUE FUND A	B	50.00	2.00	0.00	2.00	90.0	1.80
03/01/2018	7006154393	RUTSTEIN, ANDREA/XAVIEN G. OPPENHEIMER MAIN STREET INCOME & GR	B	75.00	3.56	0.00	3.56	90.0	3.20
03/05/2018	7210829081	WOODYARD, LISA SMALL- & MID-CAP GROWTH FUND A	B	125.00	3.75	0.00	3.75	90.0	3.38
03/05/2018	7210829081	WOODYARD, LISA SMALL- & MID-CAP GROWTH FUND A	B	100.00	3.00	0.00	3.00	90.0	2.70
03/05/2018	7210829092	WOODYARD, LISA SMALL- & MID-CAP GROWTH FUND A	B	400.00	12.00	0.00	12.00	90.0	10.80
03/01/2018	7210901109	FISCHER, DACIA M. SMALL- & MID-CAP GROWTH FUND A	B	87.50	3.50	0.00	3.50	90.0	3.15
03/15/2018	7211015300	RUTSTEIN, ROBERT SMALL- & MID-CAP GROWTH FUND A	B	100.00	4.75	0.00	4.75	90.0	4.28
03/01/2018	8259166608	FISCHER, DACIA M. OPPENHEIMER INTL GROWTH FD	CL A B	87.50	3.50	0.00	3.50	90.0	3.15
03/01/2018	8351505449	RUTSTEIN, MILES OPPENHEIMER QUEST CAPITAL	VALUE B	75.00	3.56	0.00	3.56	90.0	3.20
03/05/2018	8352833303	WOODYARD, LISA OPPENHEIMER QUEST CAPITAL	VALUE B	125.00	3.75	0.00	3.75	90.0	3.38
03/05/2018	8352833303	WOODYARD, LISA OPPENHEIMER QUEST CAPITAL	VALUE B	100.00	3.00	0.00	3.00	90.0	2.70
03/05/2018	8352833314	WOODYARD, LISA OPPENHEIMER QUEST CAPITAL	VALUE B	400.00	12.00	0.00	12.00	90.0	10.80
03/01/2018	8353044635	FISCHER, DACIA M. OPPENHEIMER QUEST CAPITAL	VALUE B	87.50	3.50	0.00	3.50	90.0	3.15
03/01/2018	8477461344	FISCHER, BRANDON S. MAIN STREET SMALL CAP FUND A	B	50.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				\$214,862.01	\$3,797.99	\$0.00	\$3,797.99		\$3,418.62
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	987.51	987.51	0.00	987.51	90.0	888.76
* STOCKS SUBTOTAL *				\$987.51	\$987.51	\$0.00	\$987.51		\$888.76

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
03/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
		* LIFE INSURANCE SUBTOTAL *		\$95.96	\$0.96	\$0.00	\$0.96		\$0.86
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	10597815 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	264.00	0.00	264.00	90.0	237.60
01/12/2018	10771324 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
01/12/2018	11760371 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	177.34	0.00	177.34	90.0	159.61
01/12/2018	12153707 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	90.0	6.61
01/12/2018	12445993 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	388.66	0.00	388.66	90.0	349.79
01/12/2018	12520657 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	125.66	0.00	125.66	90.0	113.09
01/12/2018	12976261 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	273.34	0.00	273.34	90.0	246.01
01/12/2018	13142828 GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	90.0	101.70
	PATRICIA P.								
01/12/2018	14348417 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	17.66	0.00	17.66	90.0	15.89
01/12/2018	14381829 WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	36.66	0.00	36.66	90.0	32.99
01/12/2018	14429709 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	26.66	0.00	26.66	90.0	23.99
01/12/2018	15089284 ANDERSON, BOB J &	SIGNAL SECURITIES RIA	B	0.00	176.66	0.00	176.66	90.0	158.99
	LILLIAN								
01/12/2018	15091367 HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	178.66	0.00	178.66	90.0	160.79
01/12/2018	15467725 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
03/16/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
03/16/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	71.66	0.00	71.66	90.0	64.49
03/16/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	11.34	0.00	11.34	90.0	10.21
01/12/2018	16270038 MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	157.00	0.00	157.00	90.0	141.30
01/12/2018	16412029 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	41.66	0.00	41.66	90.0	37.49
01/12/2018	17118785 HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
01/12/2018	17352141 CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
01/12/2018	17440148 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
01/12/2018	17456904 SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	92.34	0.00	92.34	90.0	83.11
01/12/2018	17662068 GLOECKLER, BEVERLY	SIGNAL SECURITIES RIA	B	0.00	165.66	0.00	165.66	90.0	149.09
	SUE								
01/12/2018	18212150 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	129.66	0.00	129.66	90.0	116.69
01/12/2018	19492576 CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
01/12/2018	20144207 BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	85.66	0.00	85.66	90.0	77.09
01/12/2018	20206228 YAMASHITA, MARK M	SIGNAL SECURITIES RIA	B	0.00	324.66	0.00	324.66	90.0	292.19
01/12/2018	20556395 HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
01/12/2018	22504665 WILLIAMS, MARIE	SIGNAL SECURITIES RIA	B	0.00	141.34	0.00	141.34	90.0	127.21
	CLAUDE								
01/12/2018	23584507 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	229.66	0.00	229.66	90.0	206.69
01/12/2018	23996577 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/12/2018	24030675	MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
01/12/2018	24184005	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	98.34	0.00	98.34	90.0	88.51
01/12/2018	25436755	NILLES, VICKIE	SIGNAL SECURITIES RIA	B	0.00	177.66	0.00	177.66	90.0	159.89
01/12/2018	26598701	STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	90.0	111.60
01/12/2018	28007804	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	28.34	0.00	28.34	90.0	25.51
01/12/2018	28346788	BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
01/12/2018	28373035	REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	28433693	ZITNAK, STEPHEN W	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	90.0	42.30
01/12/2018	28684378	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	203.66	0.00	203.66	90.0	183.29
01/12/2018	28705104	JOHNSON, JERRY D	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
01/12/2018	29556870	HRUSKA, CATHERINE E	SIGNAL SECURITIES RIA	B	0.00	113.66	0.00	113.66	90.0	102.29
01/12/2018	29589313	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
01/12/2018	29650218	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
01/12/2018	29746730	WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
01/12/2018	30072989	PYRIH, LUBA DARIA	SIGNAL SECURITIES RIA	B	0.00	159.00	0.00	159.00	90.0	143.10
01/12/2018	30322937	SWEENEY, MARY T	SIGNAL SECURITIES RIA	B	0.00	199.34	0.00	199.34	90.0	179.41
01/12/2018	30347787	BLACK HILLS CORP, FBO DAVID SAMUE	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
01/12/2018	30647698	MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
01/12/2018	30677407	PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	43.66	0.00	43.66	90.0	39.29
01/12/2018	30878907	QUINLISK, ERNIE J	SIGNAL SECURITIES RIA	B	0.00	295.34	0.00	295.34	90.0	265.81
01/12/2018	31781509	PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00	90.0	126.00
01/12/2018	32417594	QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
01/12/2018	32899427	WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	61.34	0.00	61.34	90.0	55.21
01/12/2018	32902956	CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	226.00	0.00	226.00	90.0	203.40
01/12/2018	32989023	TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	139.00	0.00	139.00	90.0	125.10
01/12/2018	33413216	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	90.0	0.31
01/12/2018	33603400	PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	45.66	0.00	45.66	90.0	41.09
01/12/2018	33769726	WOOD, HEATHER C	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
01/12/2018	34262259	JOHNSON, VICTORIA L	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
01/12/2018	34296234	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34	90.0	15.61
01/12/2018	34299521	HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	473.34	0.00	473.34	90.0	426.01
01/12/2018	35039653	LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	52.34	0.00	52.34	90.0	47.11
01/12/2018	35359393	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	189.66	0.00	189.66	90.0	170.69
01/12/2018	36133640	GARGIULO, TIMOTHY	SIGNAL SECURITIES RIA	B	0.00	410.34	0.00	410.34	90.0	369.31

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
FRANCIS									
01/12/2018	37594469 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
01/12/2018	37802064 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66	90.0	50.09
01/12/2018	38007046 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
01/12/2018	38167769 QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	114.66	0.00	114.66	90.0	103.19
01/12/2018	38488926 BEITELSPACHER REV. TRUST, NICOLE A.	SIGNAL SECURITIES RIA	B	0.00	416.34	0.00	416.34	90.0	374.71
01/12/2018	38489479 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	166.00	0.00	166.00	90.0	149.40
01/12/2018	38652695 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018	39033876 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
01/12/2018	39663311 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66	90.0	22.19
01/12/2018	39961103 WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	289.00	0.00	289.00	90.0	260.10
01/12/2018	40257767 HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	215.00	0.00	215.00	90.0	193.50
01/12/2018	40334505 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	30.66	0.00	30.66	90.0	27.59
01/12/2018	41168136 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	84.34	0.00	84.34	90.0	75.91
01/12/2018	41176823 SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	118.66	0.00	118.66	90.0	106.79
01/12/2018	41306013 REEVES, JAMES & MICHAELA	SIGNAL SECURITIES RIA	B	0.00	97.00	0.00	97.00	90.0	87.30
01/12/2018	41561872 9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	342.00	0.00	342.00	90.0	307.80
01/12/2018	42294828 HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	42576807 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00	90.0	50.40
01/12/2018	42754170 ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,064.00	0.00	1,064.00	90.0	957.60
01/12/2018	42758212 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	106.34	0.00	106.34	90.0	95.71
01/12/2018	42775183 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	144.66	0.00	144.66	90.0	130.19
01/12/2018	43202964 CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	35.66	0.00	35.66	90.0	32.09
01/12/2018	43438628 JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	81.00	0.00	81.00	90.0	72.90
01/12/2018	43795301 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	43835783 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
01/12/2018	43903697 LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.34	0.00	20.34	90.0	18.31
01/12/2018	43957902 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	26.34	0.00	26.34	90.0	23.71
01/12/2018	44129020 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	32.34	0.00	32.34	90.0	29.11
01/12/2018	45307716 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
01/12/2018	45460890 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	47.34	0.00	47.34	90.0	42.61

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	45973210 TENOLD, AMY FBO DACE T	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
01/12/2018	46518151 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	46614088 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
01/12/2018	46830951 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018	46838476 GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	383.66	0.00	383.66	90.0	345.29
01/12/2018	47144653 PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
01/12/2018	47378557 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
01/12/2018	47487556 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	81.34	0.00	81.34	90.0	73.21
01/12/2018	47601105 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	209.34	0.00	209.34	90.0	188.41
01/12/2018	47914778 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	89.00	0.00	89.00	90.0	80.10
01/12/2018	48682692 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	49346016 SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
01/12/2018	49831675 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	49985458 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	61.34	0.00	61.34	90.0	55.21
01/12/2018	49998746 MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
01/12/2018	50721833 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	53.34	0.00	53.34	90.0	48.01
01/12/2018	52829344 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	58.66	0.00	58.66	90.0	52.79
01/12/2018	53704449 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	90.34	0.00	90.34	90.0	81.31
01/12/2018	53709669 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	321.66	0.00	321.66	90.0	289.49
01/12/2018	54158431 PIERCE, JOHN FB ABIGAIL	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
01/12/2018	54865811 THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	440.66	0.00	440.66	90.0	396.59
01/12/2018	54941170 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	207.34	0.00	207.34	90.0	186.61
01/12/2018	55526612 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
01/12/2018	56096498 BUREMAN, MICHAEL& RITA	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	90.0	111.60
01/12/2018	56292092 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	104.34	0.00	104.34	90.0	93.91
01/12/2018	56595062 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
01/12/2018	56816203 JACKSON, LISA M	SIGNAL SECURITIES RIA	B	0.00	85.34	0.00	85.34	90.0	76.81
01/12/2018	58281647 ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	63.00	0.00	63.00	90.0	56.70
01/12/2018	59576874 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	242.66	0.00	242.66	90.0	218.39
01/12/2018	60169490 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	57.34	0.00	57.34	90.0	51.61

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	60197288 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018	60253386 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	100.34	0.00	100.34	90.0	90.31
01/12/2018	60791579 SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	425.34	0.00	425.34	90.0	382.81
01/12/2018	61099660 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	149.00	0.00	149.00	90.0	134.10
01/12/2018	61542054 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	213.00	0.00	213.00	90.0	191.70
01/12/2018	62004058 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00	90.0	53.10
01/12/2018	62382731 DIAMOND, NOAH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	62650715 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	38.66	0.00	38.66	90.0	34.79
01/12/2018	63023683 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
01/12/2018	63140775 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
01/12/2018	63781985 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	97.34	0.00	97.34	90.0	87.61
01/12/2018	64110138 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	116.66	0.00	116.66	90.0	104.99
01/12/2018	64404215 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
01/12/2018	64833041 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
01/12/2018	65226021 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	176.66	0.00	176.66	90.0	158.99
01/12/2018	65557704 MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	255.34	0.00	255.34	90.0	229.81
01/12/2018	66425418 MACOLINI, FRANK & RUTHANN	SIGNAL SECURITIES RIA	B	0.00	450.34	0.00	450.34	90.0	405.31
01/12/2018	66917531 BUREMAN, RITA L.	SIGNAL SECURITIES RIA	B	0.00	266.34	0.00	266.34	90.0	239.71
01/12/2018	68495356 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34	90.0	20.11
01/12/2018	68521713 ANDERSON, BOB J & LILLIAN	SIGNAL SECURITIES RIA	B	0.00	181.34	0.00	181.34	90.0	163.21
01/12/2018	68548550 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	91.66	0.00	91.66	90.0	82.49
01/12/2018	68732372 BERG, RONALD	SIGNAL SECURITIES RIA	B	0.00	700.34	0.00	700.34	90.0	630.31
01/12/2018	69503797 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
01/12/2018	69769351 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	260.34	0.00	260.34	90.0	234.31
01/12/2018	70053665 HOLLEARN, RITA	SIGNAL SECURITIES RIA	B	0.00	15.66	0.00	15.66	90.0	14.09
01/12/2018	70228215 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
01/12/2018	71751206 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	23.34	0.00	23.34	90.0	21.01
01/12/2018	71785581 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	77.66	0.00	77.66	90.0	69.89
01/12/2018	71981417 KAHLE, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	66.34	0.00	66.34	90.0	59.71
01/12/2018	72180753 RHODES, MARK L	SIGNAL SECURITIES RIA	B	0.00	387.66	0.00	387.66	90.0	348.89
01/12/2018	73270490 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
01/12/2018	73463753 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	34.34	0.00	34.34	90.0	30.91
01/12/2018	73606431 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	2,078.00	0.00	2,078.00	90.0	1,870.20
01/12/2018	73984739 ACKERMAN, LAVONNE L	SIGNAL SECURITIES RIA	B	0.00	239.66	0.00	239.66	90.0	215.69
01/12/2018	74090733 BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	74134537 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66	90.0	22.19
01/12/2018	74561646 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	42.66	0.00	42.66	90.0	38.39
01/12/2018	74832638 STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	72.66	0.00	72.66	90.0	65.39
01/12/2018	75152036 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	59.66	0.00	59.66	90.0	53.69
01/12/2018	75826019 WILLIAMS, GERALDINE	SIGNAL SECURITIES RIA	B	0.00	147.00	0.00	147.00	90.0	132.30
01/12/2018	76522415 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	162.34	0.00	162.34	90.0	146.11
01/12/2018	76748838 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	20.66	0.00	20.66	90.0	18.59
01/12/2018	76891257 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00	90.0	123.30
01/12/2018	78957791 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	359.66	0.00	359.66	90.0	323.69
01/12/2018	78986866 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	310.00	0.00	310.00	90.0	279.00
01/12/2018	79112732 DODGE, BRIAN D	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
01/12/2018	79301514 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	65.00	0.00	65.00	90.0	58.50
01/12/2018	79495850 RAPID CITY MEDICAL CTR	SIGNAL SECURITIES RIA	B	0.00	499.66	0.00	499.66	90.0	449.69
01/12/2018	79828155 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	90.0	9.59
01/12/2018	80391965 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	1.66	0.00	1.66	90.0	1.49
01/12/2018	80875540 ROMERO, DALE E.	SIGNAL SECURITIES RIA	B	0.00	251.66	0.00	251.66	90.0	226.49
01/12/2018	81096523 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
01/12/2018	81501198 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	382.66	0.00	382.66	90.0	344.39
01/12/2018	81836304 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	44.34	0.00	44.34	90.0	39.91
01/12/2018	82190461 TENOLD, AMY FBO DAWSON	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34	90.0	2.11
01/12/2018	82997248 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	163.00	0.00	163.00	90.0	146.70
01/12/2018	83018285 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	83255347 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	217.00	0.00	217.00	90.0	195.30
01/12/2018	83298368 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
01/12/2018	83344292 BORK, WALLACE D	SIGNAL SECURITIES RIA	B	0.00	496.00	0.00	496.00	90.0	446.40
01/12/2018	83355639 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	58.34	0.00	58.34	90.0	52.51
01/12/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
01/12/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	134.34	0.00	134.34	90.0	120.91
01/12/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
01/12/2018	84359588 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	199.34	0.00	199.34	90.0	179.41
01/12/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	164.34	0.00	164.34	90.0	147.91
01/12/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	80.34	0.00	80.34	90.0	72.31

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 85822210	KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
01/12/2018 85996569	PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	90.0	0.31
03/16/2018 86018805	TENOLD, AMY FBO DUALY O	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
01/12/2018 86057224	EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	12.66	0.00	12.66	90.0	11.39
01/12/2018 86469888	KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	139.34	0.00	139.34	90.0	125.41
01/12/2018 87210372	STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	18.66	0.00	18.66	90.0	16.79
01/12/2018 87411379	JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	172.00	0.00	172.00	90.0	154.80
01/12/2018 87992733	LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	57.66	0.00	57.66	90.0	51.89
01/12/2018 88251717	KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
01/12/2018 88260407	PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018 88353473	CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	159.34	0.00	159.34	90.0	143.41
01/12/2018 89139909	LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	53.34	0.00	53.34	90.0	48.01
01/12/2018 89348302	GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	603.00	0.00	603.00	90.0	542.70
01/12/2018 89790665	VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	853.66	0.00	853.66	90.0	768.29
01/12/2018 91013710	KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	28.34	0.00	28.34	90.0	25.51
01/12/2018 92186431	WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
01/12/2018 92202296	HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018 92327955	ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	33.66	0.00	33.66	90.0	30.29
01/12/2018 92545805	PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
01/12/2018 92927312	LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34	90.0	33.61
01/12/2018 93010997	KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	425.66	0.00	425.66	90.0	383.09
01/12/2018 93015086	STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	18.66	0.00	18.66	90.0	16.79
01/12/2018 93046418	WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	309.34	0.00	309.34	90.0	278.41
01/12/2018 94507995	KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
01/12/2018 94629096	GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	90.0	6.61
01/12/2018 94635069	PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	288.34	0.00	288.34	90.0	259.51
01/12/2018 95008736	LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	90.0	199.80
01/12/2018 95368200	REEVES, MICAELA S	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018 95392118	O'NEILL, WILLIAM C	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
01/12/2018 95605369	BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
01/12/2018 95863247	JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	35.34	0.00	35.34	90.0	31.81
01/12/2018 96072766	PROFFITT, SUSAN N.	SIGNAL SECURITIES RIA	B	0.00	143.34	0.00	143.34	90.0	129.01
01/12/2018 96167222	HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	129.66	0.00	129.66	90.0	116.69
01/12/2018 96706135	WOLF, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	134.34	0.00	134.34	90.0	120.91

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
01/12/2018	96806247	DIAMOND, KENNETH C.	SIGNAL SECURITIES RIA	B	0.00	274.00	0.00	274.00	90.0 246.60
01/12/2018	96937079	REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66	90.0 22.19
01/12/2018	96946210	HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	211.00	0.00	211.00	90.0 189.90
01/12/2018	97095581	SCHMIDT, GERALD & JUDITH	SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	90.0 52.20
01/12/2018	97641202	HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0 14.40
01/12/2018	97680079	STEVENS, STEPHANIE A	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	90.0 10.80
01/12/2018	97927074	GARGIULO, HELEN PATRICIA P.	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	90.0 9.59
01/12/2018	98361164	SANDER, RANDY L	SIGNAL SECURITIES RIA	B	0.00	154.66	0.00	154.66	90.0 139.19
01/12/2018	98617795	STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0 112.50
01/12/2018	98834954	KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0 33.30
01/12/2018	98954969	GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0 85.50
01/12/2018	99111980	WILSON, VICKY L	SIGNAL SECURITIES RIA	B	0.00	121.34	0.00	121.34	90.0 109.21
01/12/2018	99353116	DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	66.66	0.00	66.66	90.0 59.99
01/12/2018	99580715	BUREMAN, MICHAEL& RITA	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0 19.80
* RIA SUBTOTAL *					\$0.00	\$31,747.36	\$0.00	\$31,747.36	\$28,572.64
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	0.31	0.00	0.31	90.0 0.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$0.31	\$0.00	\$0.31	\$0.28
*** BROKER TRANSACTIONS TOTAL ***					\$215,945.48	\$36,534.13	\$0.00	\$36,534.13	\$32,881.16

VERRIDE TRANSACTIONS**BROKER: KELSEY MCDANIEL #9660**

03/15/2018	000001977715529	MILLER, VALERIE A.		TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50		0.50
03/21/2018	00067038919	MINOR, BRUCE		AMERICAN FUNDS INVESTMENT COMP OF A B		155.00	4.26	0.00	4.26		0.85
03/21/2018	00067038919	MINOR, BRUCE		AMERICAN FUNDS INVESTMENT COMP OF A B		93.00	2.56	0.00	2.56		0.51
03/23/2018	00089285262	KITTELMANN, TENADORE J.		AMERICAN FUNDS AMCAP FD	B	750.00	31.88	0.00	31.88		6.38
03/23/2018	00089285262	KITTELMANN, TENADORE J.		AMERICAN FUNDS FUNDAMENTAL	INVES B	750.00	31.88	0.00	31.88		6.38
03/23/2018	00089285262	KITTELMANN, TENADORE J.		AMERICAN FUNDS INCOME FD OF	AMERI B	750.00	31.88	0.00	31.88		6.38

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: KELSEY MCDANIEL #9660									
03/23/2018	00089285262 KITTELMANN, TENADORE J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	750.00	31.88	0.00	31.88		6.38
03/23/2018	00089285290 KITTELMANN, ELIN C.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	750.00	31.88	0.00	31.88		6.38
03/23/2018	00089285290 KITTELMANN, ELIN C.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	750.00	31.88	0.00	31.88		6.38
03/23/2018	00089285290 KITTELMANN, ELIN C.	AMERICAN FUNDS WA MUTUAL	INVES B	750.00	31.88	0.00	31.88		6.38
03/23/2018	00089285290 KITTELMANN, ELIN C.	NEW WORLD FUND-A	B	750.00	31.88	0.00	31.88		6.38
03/12/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	79.18	3.96	0.00	3.96		0.79
03/12/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	79.18	3.96	0.00	3.96		0.79
03/12/2018	04000828751 BROWN, DEANNE	AMERICAN FUNDS INCOME FD OF	AMERI B	400.00	15.00	0.00	15.00		3.00
03/14/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS BALANCED FD	B	13,624.26	510.91	0.00	510.91		102.18
03/19/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS BALANCED FD	B	8.26	0.31	0.00	0.31		0.06
03/14/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	13,624.25	510.91	0.00	510.91		102.18
03/19/2018	04000828904 BROWN, MARK K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	8.25	0.31	0.00	0.31		0.06
* KELSEY MCDANIEL SUBTOTAL *				\$34,121.38	\$1,309.72	\$0.00	\$1,309.72		\$261.96
*** OVERRIDE TRANSACTIONS TOTAL ***				\$34,121.38	\$1,309.72	\$0.00	\$1,309.72		\$261.96

ADJUSTMENTS

04/02/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
04/02/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-135.00
04/02/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-262.50

BROKER COMMISSION TOTALS

Broker Transactions	\$32,881.16
Split Transactions	\$0.00
Override Transactions	\$261.96
Adjustments	\$-262.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$32,880.62
Year-to-date Earnings	\$156,535.60