

**COMMISSION STATEMENT****October 15, 2017****DAVID ZUPEK**

6226 Bankers Rd.

Ste. 4

Racine, WI 53403

BROKER #: Z116

BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
10/06/2017	ALLEN, BRIAN	TD AMERITRADE	10,177.10	51.30	0.00	51.30	90.0	46.17
10/06/2017	ALLEN, SUSAN	TD AMERITRADE	27,303.08	137.64	0.00	137.64	90.0	123.88
10/06/2017	DURBANO, LAURA	TD AMERITRADE	48,449.35	244.24	0.00	244.24	90.0	219.82
10/06/2017	DURBANO, LAURA	TD AMERITRADE	6,845.33	34.51	0.00	34.51	90.0	31.06
10/06/2017	DURBANO, LAURA	TD AMERITRADE	36,828.92	185.66	0.00	185.66	90.0	167.09
10/06/2017	FRANK, DANIEL	TD AMERITRADE	6,859.09	16.54	0.00	16.54	90.0	14.89
10/06/2017	HALL, MICHAEL	TD AMERITRADE	106,267.43	2,535.70	0.00	2,535.70	90.0	2,282.13
10/06/2017	HALL, MICHAEL	TD AMERITRADE	386,978.36	1,950.80	0.00	1,950.80	90.0	1,755.72
10/06/2017	HALL, MICHAEL	TD AMERITRADE	106,840.42	538.59	0.00	538.59	90.0	484.73
10/06/2017	HOEY, BENJAMIN	TD AMERITRADE	84,376.67	212.68	0.00	212.68	90.0	191.41
10/06/2017	HOEY, SANDRA	TD AMERITRADE	101,414.78	200.05	0.00	200.05	90.0	180.05
10/06/2017	MAY, ROBERT	TD AMERITRADE	266,460.24	1,921.63	0.00	1,921.63	90.0	1,729.47
10/06/2017	MAY, KAREN	TD AMERITRADE	11,706.07	29.51	0.00	29.51	90.0	26.56
10/06/2017	SANDS, LOUIS	TD AMERITRADE	65,992.54	332.67	0.00	332.67	90.0	299.40
10/06/2017	SANDS, LOUIS	TD AMERITRADE	42,746.49	215.49	0.00	215.49	90.0	193.94
10/06/2017	ARNETT, DANIEL	TD AMERITRADE	33,751.26	1,129.46	0.00	1,129.46	90.0	1,016.51
10/06/2017	BROGAN, BARBARA	TD AMERITRADE	101,347.36	388.73	0.00	388.73	90.0	349.86
10/06/2017	CIPPARRONE, NANCY	TD AMERITRADE	178,823.62	901.47	0.00	901.47	90.0	811.32
10/06/2017	DANEK-SKOWRON, BEATA	TD AMERITRADE	401,995.17	1,519.87	0.00	1,519.87	90.0	1,367.88
10/06/2017	DANEK-SKOWRON, BEATA	TD AMERITRADE	77,002.50	291.13	0.00	291.13	90.0	262.02
10/06/2017	ELLIOTT, REBECCA	TD AMERITRADE	160,270.84	807.94	0.00	807.94	90.0	727.15
10/06/2017	FERN, SARAH	TD AMERITRADE	30,513.80	153.82	0.00	153.82	90.0	138.44
10/06/2017	FRASCH, BRUCE	TD AMERITRADE	214,039.81	1,079.00	0.00	1,079.00	90.0	971.10
10/06/2017	GALE, KEN	TD AMERITRADE	60,865.54	306.83	0.00	306.83	90.0	276.15
10/06/2017	GRANZYK, KATHLEEN	TD AMERITRADE	71,583.84	360.86	0.00	360.86	90.0	324.77
10/06/2017	KANE, SUSAN	TD AMERITRADE	63,236.90	318.78	0.00	318.78	90.0	286.90

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/06/2017	KEENON, CHARLES	TD AMERITRADE	421,791.88	2,126.29	0.00	2,126.29	90.0	1,913.66
10/06/2017	KOBER, GARY	TD AMERITRADE	110,444.16	556.76	0.00	556.76	90.0	501.08
10/06/2017	KOBER, GARY	TD AMERITRADE	1,592.75	8.03	0.00	8.03	90.0	7.23
10/06/2017	MARTIN, CHARMAINE	TD AMERITRADE	26,084.91	131.50	0.00	131.50	90.0	118.35
10/06/2017	MCCORKLE, JANET	TD AMERITRADE	62,981.79	193.26	0.00	193.26	90.0	173.93
10/06/2017	MELUCCI, JAMES	TD AMERITRADE	45,424.08	228.99	0.00	228.99	90.0	206.09
10/06/2017	MUELLER, THOMAS	TD AMERITRADE	35,465.13	178.78	0.00	178.78	90.0	160.90
10/06/2017	POVKOVICH, DOROTHY	TD AMERITRADE	38,934.74	19.20	0.00	19.20	90.0	17.28
10/06/2017	ROESKE, MICHAEL	TD AMERITRADE	189,189.24	953.72	0.00	953.72	90.0	858.35
10/06/2017	ROESKE, MICHAEL	TD AMERITRADE	98.35	0.50	0.00	0.50	90.0	0.45
10/06/2017	ROST, DAVID	TD AMERITRADE	269,574.83	679.48	0.00	679.48	90.0	611.53
10/06/2017	SHAYKIN, STEVEN	TD AMERITRADE	498.20	2.51	0.00	2.51	90.0	2.26
10/06/2017	SHAYKIN, STEVEN	TD AMERITRADE	58,117.57	292.98	0.00	292.98	90.0	263.68
10/06/2017	SKOWRON, ALEKSANDER	TD AMERITRADE	29,983.75	113.36	0.00	113.36	90.0	102.02
10/06/2017	SMITHANA, WILLIAM	TD AMERITRADE	116,880.68	691.91	0.00	691.91	90.0	622.72
10/06/2017	SWAFFORD, WILLIAM	TD AMERITRADE	58,154.24	293.16	0.00	293.16	90.0	263.84
10/06/2017	TRIPP, STEVEN	TD AMERITRADE	92,809.08	467.86	0.00	467.86	90.0	421.07
10/06/2017	TRIPP, STEVEN	TD AMERITRADE	65,197.44	328.67	0.00	328.67	90.0	295.80
10/06/2017	VAUGHN, MEREDITH	TD AMERITRADE	13,503.66	0.00	0.00	0.00	90.0	0.00
10/06/2017	VAUGHN, MEREDITH	TD AMERITRADE	80,392.44	44.05	0.00	44.05	90.0	39.65
10/06/2017	VOGL, NICOLE	TD AMERITRADE	150,560.24	758.99	0.00	758.99	90.0	683.09
10/06/2017	VOGL, NICOLE	TD AMERITRADE	73,319.68	369.61	0.00	369.61	90.0	332.65
10/06/2017	WELLMAN, LINDA	TD AMERITRADE	72,014.14	363.03	0.00	363.03	90.0	326.73
10/06/2017	WHEELER, MARSHA	TD AMERITRADE	86,909.17	438.12	0.00	438.12	90.0	394.31
10/06/2017	WOLF, CHRISTY	TD AMERITRADE	37,818.09	190.64	0.00	190.64	90.0	171.58
10/10/2017	ASHLEY, JONATHON	TD AMERITRADE	20,846.35	52.54	0.00	52.54	90.0	47.29
10/10/2017	BABICKY, SHERRY	TD AMERITRADE	185,848.30	936.88	0.00	936.88	90.0	843.19
10/10/2017	BABICKY, THOMAS	TD AMERITRADE	75,731.73	381.77	0.00	381.77	90.0	343.59
10/10/2017	BABICKY, THOMAS	TD AMERITRADE	56,348.67	584.06	0.00	584.06	90.0	525.65
10/10/2017	BACHAND, JERI	TD AMERITRADE	5,309.68	26.77	0.00	26.77	90.0	24.09
10/10/2017	BAHR, JEFFREY	TD AMERITRADE	39,494.33	99.55	0.00	99.55	90.0	89.60
10/10/2017	BARNES, JUDITH	TD AMERITRADE	100,758.23	507.93	0.00	507.93	90.0	457.14
10/10/2017	BARRETT, JOANNE	TD AMERITRADE	38,514.07	97.08	0.00	97.08	90.0	87.37

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	BARRETT, PERRY	TD AMERITRADE	1,983.23	5.00	0.00	5.00	90.0	4.50
10/10/2017	BECKER JR, JOHN	TD AMERITRADE	25,785.62	866.99	0.00	866.99	90.0	780.29
10/10/2017	BECKER JR, JOHN	TD AMERITRADE	29,481.34	133.76	0.00	133.76	90.0	120.38
10/10/2017	BERNHARDT, ROBERT	TD AMERITRADE	99,669.86	502.45	0.00	502.45	90.0	452.21
10/10/2017	BIRKHOLZ, WILLIAM	TD AMERITRADE	1.05	725.01	0.00	725.01	90.0	652.51
10/10/2017	BIRKHOLZ, WILLIAM	TD AMERITRADE	51,917.99	261.72	0.00	261.72	90.0	235.55
10/10/2017	BIRKHOLZ, GAY	TD AMERITRADE	70,477.61	355.28	0.00	355.28	90.0	319.75
10/10/2017	BODVEN, BRUCE	TD AMERITRADE	68,514.52	695.39	0.00	695.39	90.0	625.85
10/10/2017	BODVEN, BRUCE	TD AMERITRADE	19,600.70	98.81	0.00	98.81	90.0	88.93
10/10/2017	BODVEN, DEBRA	TD AMERITRADE	2,664.97	13.43	0.00	13.43	90.0	12.09
10/10/2017	BOHN, DONALD	TD AMERITRADE	43,093.23	392.24	0.00	392.24	90.0	353.02
10/10/2017	BOHN, DONALD	TD AMERITRADE	80,236.97	404.48	0.00	404.48	90.0	364.03
10/10/2017	BOHN, MARY	TD AMERITRADE	35.42	0.18	0.00	0.18	90.0	0.16
10/10/2017	BOHN, MARY	TD AMERITRADE	6,503.04	32.78	0.00	32.78	90.0	29.50
10/10/2017	BOHN, JAMES	TD AMERITRADE	88,529.91	506.29	0.00	506.29	90.0	455.66
10/10/2017	BOHN, JAMES	TD AMERITRADE	2,357.35	11.88	0.00	11.88	90.0	10.69
10/10/2017	BOHN, JAMES	TD AMERITRADE	362.36	1.83	0.00	1.83	90.0	1.65
10/10/2017	BOHN, JAMES	TD AMERITRADE	155,178.50	782.27	0.00	782.27	90.0	704.04
10/10/2017	BOHN, LISA	TD AMERITRADE	555.84	2.80	0.00	2.80	90.0	2.52
10/10/2017	BOLAND, TERRENCE	TD AMERITRADE	92,406.56	765.83	0.00	765.83	90.0	689.25
10/10/2017	BOLAND, TERRENCE	TD AMERITRADE	10,629.76	26.79	0.00	26.79	90.0	24.11
10/10/2017	BOLAND, TERRENCE	TD AMERITRADE	115,948.64	584.51	0.00	584.51	90.0	526.06
10/10/2017	BRUNNER, KENNETH	TD AMERITRADE	350.19	175.00	0.00	175.00	90.0	157.50
10/10/2017	CAPSTRAW, JAN	TD AMERITRADE	10,378.63	39.24	0.00	39.24	90.0	35.32
10/10/2017	CAPSTRAW, RONALD	TD AMERITRADE	10,393.35	39.30	0.00	39.30	90.0	35.37
10/10/2017	CAPSTRAW, RONALD	TD AMERITRADE	42,280.30	159.85	0.00	159.85	90.0	143.87
10/10/2017	CRAWFORD, JEANNE	TD AMERITRADE	7,501.04	28.36	0.00	28.36	90.0	25.52
10/10/2017	CRAWFORD, JEANNE	TD AMERITRADE	34,152.42	129.12	0.00	129.12	90.0	116.21
10/10/2017	CRAWFORD, JEANNE	TD AMERITRADE	6,633.26	25.08	0.00	25.08	90.0	22.57
10/10/2017	CRAWFORD, TIMOTHY	TD AMERITRADE	99,556.39	376.40	0.00	376.40	90.0	338.76
10/10/2017	CRAWFORD, TIMOTHY	TD AMERITRADE	37,985.77	143.62	0.00	143.62	90.0	129.26
10/10/2017	CRAWFORD, TIMOTHY	TD AMERITRADE	29,582.62	111.85	0.00	111.85	90.0	100.67
10/10/2017	DEHAHN, PHIL	TD AMERITRADE	13,964.71	70.40	0.00	70.40	90.0	63.36

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	DUCHESNEAU, PHYLLIS	TD AMERITRADE	359,156.26	1,674.75	0.00	1,674.75	90.0	1,507.28
10/10/2017	DUCHESNEAU, PHYLLIS	TD AMERITRADE	118,031.98	550.38	0.00	550.38	90.0	495.34
10/10/2017	DUCZAK, MICHAEL	TD AMERITRADE	2,155.48	10.87	0.00	10.87	90.0	9.78
10/10/2017	DUCZAK, MICHAEL	TD AMERITRADE	75,802.15	382.13	0.00	382.13	90.0	343.92
10/10/2017	DUCZAK, SUSAN	TD AMERITRADE	35,668.94	179.81	0.00	179.81	90.0	161.83
10/10/2017	EBERLE, LINDA	TD AMERITRADE	28,131.16	141.81	0.00	141.81	90.0	127.63
10/10/2017	EBERLE, PHILLIP	TD AMERITRADE	27,807.49	140.18	0.00	140.18	90.0	126.16
10/10/2017	EIFERT, BEVERLY	TD AMERITRADE	56,401.01	284.32	0.00	284.32	90.0	255.89
10/10/2017	ESCHENBAUCH, PAULA	TD AMERITRADE	23.78	0.00	0.00	0.00	90.0	0.00
10/10/2017	ESCHENBAUCH, PAULA	TD AMERITRADE	122,758.98	618.84	0.00	618.84	90.0	556.96
10/10/2017	ESCHENBAUCH, PAULA	TD AMERITRADE	6,692.99	33.74	0.00	33.74	90.0	30.37
10/10/2017	FALBO, DIANE	TD AMERITRADE	86,287.86	315.36	0.00	315.36	90.0	283.82
10/10/2017	FALBO, LEWIS	TD AMERITRADE	233.23	3,000.85	0.00	3,000.85	90.0	2,700.77
10/10/2017	FALBO, LEWIS	TD AMERITRADE	224,649.12	821.05	0.00	821.05	90.0	738.95
10/10/2017	FISHER, ARLENE	TD AMERITRADE	27,876.98	140.53	0.00	140.53	90.0	126.48
10/10/2017	FISHER, ARLENE	TD AMERITRADE	4,956.49	24.99	0.00	24.99	90.0	22.49
10/10/2017	FISHER, ARLENE	TD AMERITRADE	124,228.78	626.25	0.00	626.25	90.0	563.63
10/10/2017	FRAID, JEROME	TD AMERITRADE	24,299.44	122.50	0.00	122.50	90.0	110.25
10/10/2017	FRYE, DONNA	TD AMERITRADE	11,922.35	30.05	0.00	30.05	90.0	27.05
10/10/2017	FRYE, RONALD	TD AMERITRADE	10,917.53	27.52	0.00	27.52	90.0	24.77
10/10/2017	GAROFALO, MATTHEW	TD AMERITRADE	3,875.10	19.53	0.00	19.53	90.0	17.58
10/10/2017	GARSKI, JERRY	TD AMERITRADE	5,617.94	28.32	0.00	28.32	90.0	25.49
10/10/2017	GARSKI, JERRY	TD AMERITRADE	8,808.46	44.40	0.00	44.40	90.0	39.96
10/10/2017	GIBSON, ROBERT	TD AMERITRADE	40,767.45	1,352.76	0.00	1,352.76	90.0	1,217.48
10/10/2017	GILBERT, DENNIS	TD AMERITRADE	97,286.72	490.43	0.00	490.43	90.0	441.39
10/10/2017	GILBERT, DENNIS	TD AMERITRADE	2.54	125.01	0.00	125.01	90.0	112.51
10/10/2017	GILBERT, JUDY	TD AMERITRADE	31,751.92	160.06	0.00	160.06	90.0	144.05
10/10/2017	GRECO, ROSE	TD AMERITRADE	11,814.46	59.56	0.00	59.56	90.0	53.60
10/10/2017	GREIG, AMY	TD AMERITRADE	34,837.00	175.62	0.00	175.62	90.0	158.06
10/10/2017	GREIG, AMY	TD AMERITRADE	61,896.28	312.03	0.00	312.03	90.0	280.83
10/10/2017	GREIG, PETER	TD AMERITRADE	9,423.98	47.51	0.00	47.51	90.0	42.76
10/10/2017	GREIG, PETER	TD AMERITRADE	1,140.31	5.75	0.00	5.75	90.0	5.18
10/10/2017	GRIFFITH, SARA	TD AMERITRADE	8,825.40	144.49	0.00	144.49	90.0	130.04

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	GRIFFITH, SARA	TD AMERITRADE	19,383.00	97.71	0.00	97.71	90.0	87.94
10/10/2017	GRIFFITH, SARA	TD AMERITRADE	9,989.93	50.36	0.00	50.36	90.0	45.32
10/10/2017	GRIFFITH, CRAIG	TD AMERITRADE	70,454.88	355.17	0.00	355.17	90.0	319.65
10/10/2017	HALL, SUZANNE	TD AMERITRADE	637.03	2.41	0.00	2.41	90.0	2.17
10/10/2017	HALL, SUZANNE	TD AMERITRADE	64.67	0.24	0.00	0.24	90.0	0.22
10/10/2017	HAMILTON, RONALD	TD AMERITRADE	27.82	0.07	0.00	0.07	90.0	0.06
10/10/2017	HAMILTON, RONALD	TD AMERITRADE	243,559.46	613.90	0.00	613.90	90.0	552.51
10/10/2017	HAMILTON, RONALD	TD AMERITRADE	4,442.56	11.20	0.00	11.20	90.0	10.08
10/10/2017	HAMILTON, RONALD	TD AMERITRADE	18,611.16	721.91	0.00	721.91	90.0	649.72
10/10/2017	HARRIS, BILLY	TD AMERITRADE	0.00	400.00	0.00	400.00	90.0	360.00
10/10/2017	HARRIS, BILLY	TD AMERITRADE	6,572.58	33.13	0.00	33.13	90.0	29.82
10/10/2017	HARTGERINK, BRETT	TD AMERITRADE	82,824.71	592.53	0.00	592.53	90.0	533.28
10/10/2017	HARTGERINK, BRETT	TD AMERITRADE	18,248.68	91.99	0.00	91.99	90.0	82.79
10/10/2017	HARTGERINK, BRETT	TD AMERITRADE	84,161.76	424.27	0.00	424.27	90.0	381.84
10/10/2017	HARTGERINK, EDWARD	TD AMERITRADE	37,471.39	544.45	0.00	544.45	90.0	490.01
10/10/2017	HARTGERINK, EDWARD	TD AMERITRADE	132,422.03	333.78	0.00	333.78	90.0	300.40
10/10/2017	HARTGERINK, EDWARD	TD AMERITRADE	62,756.37	158.18	0.00	158.18	90.0	142.36
10/10/2017	HARTMAN, EDWARD	TD AMERITRADE	467.64	150.00	0.00	150.00	90.0	135.00
10/10/2017	HELD, LADON	TD AMERITRADE	23,439.42	103.39	0.00	103.39	90.0	93.05
10/10/2017	HELD, LADON	TD AMERITRADE	3,919.80	17.29	0.00	17.29	90.0	15.56
10/10/2017	HELD, RONALD	TD AMERITRADE	34,912.38	154.00	0.00	154.00	90.0	138.60
10/10/2017	HELD, RONALD	TD AMERITRADE	11,220.40	49.49	0.00	49.49	90.0	44.54
10/10/2017	HOOTEN, ROBERT	TD AMERITRADE	9,476.84	47.77	0.00	47.77	90.0	42.99
10/10/2017	HOOTEN, AUDREY	TD AMERITRADE	4,671.82	23.55	0.00	23.55	90.0	21.20
10/10/2017	HORNACEK, JOSEPH	TD AMERITRADE	7,848.78	681.65	0.00	681.65	90.0	613.49
10/10/2017	HORNACEK, JOSEPH	TD AMERITRADE	17,769.19	71.66	0.00	71.66	90.0	64.49
10/10/2017	HORNACEK, JOSEPH	TD AMERITRADE	47,900.54	193.18	0.00	193.18	90.0	173.86
10/10/2017	HOSS, GAIL	TD AMERITRADE	2.04	230.01	0.00	230.01	90.0	207.01
10/10/2017	HOSS, GAIL	TD AMERITRADE	42,373.06	213.61	0.00	213.61	90.0	192.25
10/10/2017	HUDEC, JOANNE	TD AMERITRADE	1.85	400.01	0.00	400.01	90.0	360.01
10/10/2017	HUDEC, JOANNE	TD AMERITRADE	8,774.41	44.23	0.00	44.23	90.0	39.81
10/10/2017	ISENBERG, PAUL	TD AMERITRADE	388,736.89	979.83	0.00	979.83	90.0	881.85
10/10/2017	ISENBERG, JANET	TD AMERITRADE	95,346.79	190.69	0.00	190.69	90.0	171.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	ISON, ELLEN	TD AMERITRADE	21,164.84	53.35	0.00	53.35	90.0	48.02
10/10/2017	ISON, ELLEN	TD AMERITRADE	1,252.07	3.16	0.00	3.16	90.0	2.84
10/10/2017	ISON, ELLEN	TD AMERITRADE	26,349.84	66.42	0.00	66.42	90.0	59.78
10/10/2017	JARVIS, RICHARD	TD AMERITRADE	33,259.98	525.90	0.00	525.90	90.0	473.31
10/10/2017	JARVIS, RICHARD	TD AMERITRADE	84,689.03	384.23	0.00	384.23	90.0	345.81
10/10/2017	JEANQUART, ROBERT	TD AMERITRADE	186,553.89	869.90	0.00	869.90	90.0	782.91
10/10/2017	JENSEN, ANITA	TD AMERITRADE	29,758.20	350.01	0.00	350.01	90.0	315.01
10/10/2017	JENSEN, ANITA	TD AMERITRADE	2,369.44	11.94	0.00	11.94	90.0	10.75
10/10/2017	KAEDING, HAROLD	TD AMERITRADE	61,764.95	311.36	0.00	311.36	90.0	280.22
10/10/2017	KAEDING, HAROLD	TD AMERITRADE	296,586.58	1,347.56	0.00	1,347.56	90.0	1,212.80
10/10/2017	KALINSKI, BARBARA	TD AMERITRADE	1,339.11	6.75	0.00	6.75	90.0	6.08
10/10/2017	KALINSKI, EDWARD	TD AMERITRADE	3,897.19	169.65	0.00	169.65	90.0	152.69
10/10/2017	KALINSKI, EDWARD	TD AMERITRADE	65,258.24	328.97	0.00	328.97	90.0	296.07
10/10/2017	KALINSKI, EDWARD	TD AMERITRADE	8,588.93	43.30	0.00	43.30	90.0	38.97
10/10/2017	KARKOW, THOMAS	TD AMERITRADE	91,002.48	458.75	0.00	458.75	90.0	412.88
10/10/2017	KASDORF, JOSEPH	TD AMERITRADE	42,493.81	389.22	0.00	389.22	90.0	350.30
10/10/2017	KASDORF, JOSEPH	TD AMERITRADE	17,482.42	88.13	0.00	88.13	90.0	79.32
10/10/2017	KELLOGG, MARIANN	TD AMERITRADE	43,607.03	219.83	0.00	219.83	90.0	197.85
10/10/2017	KELLOGG, MICHAEL	TD AMERITRADE	1.12	700.01	0.00	700.01	90.0	630.01
10/10/2017	KELLOGG, MICHAEL	TD AMERITRADE	8,853.64	44.63	0.00	44.63	90.0	40.17
10/10/2017	KELLOGG, MICHAEL	TD AMERITRADE	12,667.98	63.86	0.00	63.86	90.0	57.47
10/10/2017	KELM, MARY	TD AMERITRADE	139,002.67	700.73	0.00	700.73	90.0	630.66
10/10/2017	KIELPINSKI, ANTHONY	TD AMERITRADE	82,824.42	542.53	0.00	542.53	90.0	488.28
10/10/2017	KLUENDER, KURT	TD AMERITRADE	10,668.87	53.78	0.00	53.78	90.0	48.40
10/10/2017	KLUENDER, KURT	TD AMERITRADE	49,490.31	249.49	0.00	249.49	90.0	224.54
10/10/2017	KLUENDER, MARK	TD AMERITRADE	0.89	175.00	0.00	175.00	90.0	157.50
10/10/2017	KLUENDER, MARK	TD AMERITRADE	31,067.65	156.62	0.00	156.62	90.0	140.96
10/10/2017	KLUENDER, MARK	TD AMERITRADE	5,611.85	28.29	0.00	28.29	90.0	25.46
10/10/2017	KLUENDER, MARK	TD AMERITRADE	0.89	125.00	0.00	125.00	90.0	112.50
10/10/2017	KLUENDER, MARK	TD AMERITRADE	454.72	2.29	0.00	2.29	90.0	2.06
10/10/2017	KOBER, JUDITH	TD AMERITRADE	554.83	2.80	0.00	2.80	90.0	2.52
10/10/2017	KONARSKE, GARY	TD AMERITRADE	1.09	500.01	0.00	500.01	90.0	450.01
10/10/2017	KONARSKE, GARY	TD AMERITRADE	55,034.96	256.63	0.00	256.63	90.0	230.97

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	KONARSKE, GARY	TD AMERITRADE	50,570.64	58.95	0.00	58.95	90.0	53.06
10/10/2017	KONARSKE, LESLIE	TD AMERITRADE	13,818.60	64.44	0.00	64.44	90.0	58.00
10/10/2017	KRESCANKO, MARIE	TD AMERITRADE	0.70	300.00	0.00	300.00	90.0	270.00
10/10/2017	KRIEDERMANN, ROBERT	TD AMERITRADE	90,514.73	456.29	0.00	456.29	90.0	410.66
10/10/2017	LANDREMAN, THOMAS	TD AMERITRADE	128,514.88	647.86	0.00	647.86	90.0	583.07
10/10/2017	LUCAS, LINDA	TD AMERITRADE	23,676.27	119.35	0.00	119.35	90.0	107.42
10/10/2017	LUCAS, MICHAEL	TD AMERITRADE	15.90	0.08	0.00	0.08	90.0	0.07
10/10/2017	LUCAS, MICHAEL	TD AMERITRADE	26,833.04	135.27	0.00	135.27	90.0	121.74
10/10/2017	LUCAS, MICHAEL	TD AMERITRADE	66,919.07	337.35	0.00	337.35	90.0	303.62
10/10/2017	MARKSON, JEROME	TD AMERITRADE	340.22	201.50	0.00	201.50	90.0	181.35
10/10/2017	MARKSON, JEROME	TD AMERITRADE	3,280.09	14.47	0.00	14.47	90.0	13.02
10/10/2017	MARKSON, JEROME	TD AMERITRADE	65,758.78	290.06	0.00	290.06	90.0	261.05
10/10/2017	MARKSON, JEROME	TD AMERITRADE	16,112.16	71.07	0.00	71.07	90.0	63.96
10/10/2017	MAYER, DORIS	TD AMERITRADE	7,598.68	38.31	0.00	38.31	90.0	34.48
10/10/2017	MCFADDEN, CORRINE	TD AMERITRADE	99,386.30	501.02	0.00	501.02	90.0	450.92
10/10/2017	MCFADDEN, JAMES	TD AMERITRADE	4,183.54	21.09	0.00	21.09	90.0	18.98
10/10/2017	MCFADDEN, JAMES	TD AMERITRADE	800.56	404.04	0.00	404.04	90.0	363.64
10/10/2017	MILLER, JANICE	TD AMERITRADE	96,427.11	661.10	0.00	661.10	90.0	594.99
10/10/2017	MILLER, JANICE	TD AMERITRADE	29,032.26	146.35	0.00	146.35	90.0	131.72
10/10/2017	MORISON, JANICE	TD AMERITRADE	100,953.22	49.79	0.00	49.79	90.0	44.81
10/10/2017	MUELLER, ROMAYNE	TD AMERITRADE	8,736.26	44.04	0.00	44.04	90.0	39.64
10/10/2017	MUELLER, THOMAS	TD AMERITRADE	0.94	225.00	0.00	225.00	90.0	202.50
10/10/2017	MYERS, ELLEN	TD AMERITRADE	35,294.07	177.92	0.00	177.92	90.0	160.13
10/10/2017	MYERS, ELLEN	TD AMERITRADE	29,524.49	148.84	0.00	148.84	90.0	133.96
10/10/2017	MYERS, ELLEN	TD AMERITRADE	8,043.28	40.55	0.00	40.55	90.0	36.50
10/10/2017	NICOLAZZI, JOYCE	TD AMERITRADE	50,542.65	379.79	0.00	379.79	90.0	341.81
10/10/2017	NICOLAZZI, JOYCE	TD AMERITRADE	108,515.05	547.03	0.00	547.03	90.0	492.33
10/10/2017	NICOLAZZI, RICHARD	TD AMERITRADE	479.93	1.21	0.00	1.21	90.0	1.09
10/10/2017	NONDORF, DENNIS	TD AMERITRADE	39.18	0.20	0.00	0.20	90.0	0.18
10/10/2017	NONDORF, DENNIS	TD AMERITRADE	37,331.73	188.19	0.00	188.19	90.0	169.37
10/10/2017	OERTEL, CLIFFORD	TD AMERITRADE	3,755.97	18.93	0.00	18.93	90.0	17.04
10/10/2017	OERTEL, SUSAN	TD AMERITRADE	99,902.82	1,003.62	0.00	1,003.62	90.0	903.26
10/10/2017	PAULICK, BERNARD	TD AMERITRADE	1,412.40	307.12	0.00	307.12	90.0	276.41

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	PAULICK, BERNARD	TD AMERITRADE	57,619.70	290.47	0.00	290.47	90.0	261.42
10/10/2017	PAULICK, IRENE	TD AMERITRADE	11,490.75	57.93	0.00	57.93	90.0	52.14
10/10/2017	PAULICK, IRENE	TD AMERITRADE	3,615.14	18.22	0.00	18.22	90.0	16.40
10/10/2017	PECKMAN, MARCIA	TD AMERITRADE	51,373.26	258.98	0.00	258.98	90.0	233.08
10/10/2017	PECKMAN, ROGER	TD AMERITRADE	12,547.35	763.25	0.00	763.25	90.0	686.93
10/10/2017	PECKMAN, ROGER	TD AMERITRADE	14,665.12	73.93	0.00	73.93	90.0	66.54
10/10/2017	PERONE, PASQUALE	TD AMERITRADE	52,337.12	744.05	0.00	744.05	90.0	669.65
10/10/2017	PERONE, PASQUALE	TD AMERITRADE	10,667.48	49.74	0.00	49.74	90.0	44.77
10/10/2017	PETERMAN, RANDALL	TD AMERITRADE	8.91	0.04	0.00	0.04	90.0	0.04
10/10/2017	PETERMAN, RANDALL	TD AMERITRADE	158,258.67	737.96	0.00	737.96	90.0	664.16
10/10/2017	PETERMAN, RANDALL	TD AMERITRADE	8,931.96	41.65	0.00	41.65	90.0	37.49
10/10/2017	PETERMAN, THERESA	TD AMERITRADE	17,828.69	83.14	0.00	83.14	90.0	74.83
10/10/2017	PETERMAN, THERESA	TD AMERITRADE	322,463.02	1,503.65	0.00	1,503.65	90.0	1,353.29
10/10/2017	PETERSEN, THOMAS	TD AMERITRADE	0.32	0.00	0.00	0.00	90.0	0.00
10/10/2017	POVKOVICH, DOROTHY	TD AMERITRADE	21,283.13	257.29	0.00	257.29	90.0	231.56
10/10/2017	POVKOVICH, DOROTHY	TD AMERITRADE	42,358.38	213.53	0.00	213.53	90.0	192.18
10/10/2017	POVKOVICH, DOROTHY	TD AMERITRADE	174,097.55	877.64	0.00	877.64	90.0	789.88
10/10/2017	POVKOVICH, GLENN	TD AMERITRADE	271,152.02	1,366.90	0.00	1,366.90	90.0	1,230.21
10/10/2017	POVKOVICH, GLENN	TD AMERITRADE	4,145.86	20.90	0.00	20.90	90.0	18.81
10/10/2017	RACK, JOAN	TD AMERITRADE	209.31	0.26	0.00	0.26	90.0	0.23
10/10/2017	REDMOND, LOIS	TD AMERITRADE	6,947.69	35.02	0.00	35.02	90.0	31.52
10/10/2017	REDMOND, LOIS	TD AMERITRADE	50,601.00	255.08	0.00	255.08	90.0	229.57
10/10/2017	REDMOND, LOIS	TD AMERITRADE	18,799.02	94.77	0.00	94.77	90.0	85.29
10/10/2017	ROGERS, WILMA	TD AMERITRADE	1.71	250.01	0.00	250.01	90.0	225.01
10/10/2017	ROGERS, WILMA	TD AMERITRADE	74,231.23	374.21	0.00	374.21	90.0	336.79
10/10/2017	ROSE, PETER	TD AMERITRADE	334,089.07	1,684.18	0.00	1,684.18	90.0	1,515.76
10/10/2017	ROVERUD, JOAN	TD AMERITRADE	78,869.87	371.66	0.00	371.66	90.0	334.49
10/10/2017	ROVERUD, JOAN	TD AMERITRADE	88,163.38	444.44	0.00	444.44	90.0	400.00
10/10/2017	EYNARD, STEPHEN	TD AMERITRADE	397,123.30	261.12	0.00	261.12	90.0	235.01
10/10/2017	EYNARD, STEPHEN	TD AMERITRADE	93,992.64	77.25	0.00	77.25	90.0	69.53
10/10/2017	ROWLANDS, GAYLE	TD AMERITRADE	126,588.22	638.14	0.00	638.14	90.0	574.33
10/10/2017	ROWLANDS, GAYLE	TD AMERITRADE	39,055.49	196.88	0.00	196.88	90.0	177.19
10/10/2017	RUBIN, KENNETH	TD AMERITRADE	78,093.15	393.68	0.00	393.68	90.0	354.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	RUFFOLO, BARBARA	TD AMERITRADE	42,340.91	213.44	0.00	213.44	90.0	192.10
10/10/2017	RUFFOLO, BENNY	TD AMERITRADE	2,782.34	14.03	0.00	14.03	90.0	12.63
10/10/2017	RUFFOLO, BENNY	TD AMERITRADE	39,806.09	200.67	0.00	200.67	90.0	180.60
10/10/2017	RUGH, BARBARA	TD AMERITRADE	7,499.40	37.81	0.00	37.81	90.0	34.03
10/10/2017	RUGH, BARBARA	TD AMERITRADE	177,105.14	892.80	0.00	892.80	90.0	803.52
10/10/2017	RUGH, DENNIS	TD AMERITRADE	18,629.02	93.91	0.00	93.91	90.0	84.52
10/10/2017	RUSCH, ROGER	TD AMERITRADE	6,924.18	32.29	0.00	32.29	90.0	29.06
10/10/2017	RUSCH, ROGER	TD AMERITRADE	135,018.53	629.59	0.00	629.59	90.0	566.63
10/10/2017	RUSCH, ROGER	TD AMERITRADE	255,255.67	1,190.26	0.00	1,190.26	90.0	1,071.23
10/10/2017	RUSCH, JACOB	TD AMERITRADE	62,291.37	314.02	0.00	314.02	90.0	282.62
10/10/2017	RUSCH, ROGER	TD AMERITRADE	289.03	0.73	0.00	0.73	90.0	0.66
10/10/2017	RUSCH, JOSHUA	TD AMERITRADE	56,949.17	343.54	0.00	343.54	90.0	309.19
10/10/2017	SALVATORE, MARGUERITE	TD AMERITRADE	1,207.49	6.09	0.00	6.09	90.0	5.48
10/10/2017	SCHULDT, CATHERINE	TD AMERITRADE	93,645.66	472.08	0.00	472.08	90.0	424.87
10/10/2017	SCHULDT, JAMES	TD AMERITRADE	19,854.29	100.09	0.00	100.09	90.0	90.08
10/10/2017	SLYFIELD, DORIS	TD AMERITRADE	34,969.96	176.29	0.00	176.29	90.0	158.66
10/10/2017	SMITH, BETTY	TD AMERITRADE	40,651.39	204.93	0.00	204.93	90.0	184.44
10/10/2017	SMITH, BETTY	TD AMERITRADE	24,564.52	123.83	0.00	123.83	90.0	111.45
10/10/2017	SMITHANA, MARGARET	TD AMERITRADE	77,057.06	194.23	0.00	194.23	90.0	174.81
10/10/2017	SOENS, JAMES	TD AMERITRADE	774.36	3.90	0.00	3.90	90.0	3.51
10/10/2017	SOENS, JAMES	TD AMERITRADE	8,077.43	40.72	0.00	40.72	90.0	36.65
10/10/2017	SOENS, LYNNE	TD AMERITRADE	14,321.13	72.19	0.00	72.19	90.0	64.97
10/10/2017	SOENS, LYNNE	TD AMERITRADE	3,194.52	16.10	0.00	16.10	90.0	14.49
10/10/2017	SOENS, LYNNE	TD AMERITRADE	10,490.75	277.88	0.00	277.88	90.0	250.09
10/10/2017	SORENSEN SR, ROBERT	TD AMERITRADE	7.27	550.04	0.00	550.04	90.0	495.04
10/10/2017	SORENSEN SR, ROBERT	TD AMERITRADE	92,899.75	468.32	0.00	468.32	90.0	421.49
10/10/2017	SPERER, MARION	TD AMERITRADE	0.19	50.00	0.00	50.00	90.0	45.00
10/10/2017	SPERER, MARION	TD AMERITRADE	47,868.59	241.31	0.00	241.31	90.0	217.18
10/10/2017	SPERER, MARION	TD AMERITRADE	4,811.36	24.25	0.00	24.25	90.0	21.83
10/10/2017	SUS, SANDRA	TD AMERITRADE	28,283.29	267.58	0.00	267.58	90.0	240.82
10/10/2017	SUS, SANDRA	TD AMERITRADE	97,130.31	489.64	0.00	489.64	90.0	440.68
10/10/2017	SUS, SANDRA	TD AMERITRADE	15,911.69	80.21	0.00	80.21	90.0	72.19
10/10/2017	SUWALSKI, PATRICK	TD AMERITRADE	17,624.77	88.85	0.00	88.85	90.0	79.97

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/10/2017	TASKONIS, RICHARD	TD AMERITRADE	22,052.47	261.17	0.00	261.17	90.0	235.05
10/10/2017	TASKONIS, RICHARD	TD AMERITRADE	79,747.10	402.01	0.00	402.01	90.0	361.81
10/10/2017	THOMPSON, CAROL	TD AMERITRADE	30,089.25	151.68	0.00	151.68	90.0	136.51
10/10/2017	THOMPSON, CAROL	TD AMERITRADE	5,157.84	26.00	0.00	26.00	90.0	23.40
10/10/2017	THOMPSON, MICHAEL	TD AMERITRADE	51,714.23	460.70	0.00	460.70	90.0	414.63
10/10/2017	THOMPSON, MICHAEL	TD AMERITRADE	15,107.84	76.16	0.00	76.16	90.0	68.54
10/10/2017	THOMSON, LYNN	TD AMERITRADE	377.48	1.90	0.00	1.90	90.0	1.71
10/10/2017	THOMSON, RUTH	TD AMERITRADE	5,488.42	27.67	0.00	27.67	90.0	24.90
10/10/2017	THOMSON, RUTH	TD AMERITRADE	18,937.90	95.47	0.00	95.47	90.0	85.92
10/10/2017	TORNER, LYNN	TD AMERITRADE	7,477.94	37.70	0.00	37.70	90.0	33.93
10/10/2017	URBUSH, HELEN	TD AMERITRADE	28,591.59	72.07	0.00	72.07	90.0	64.86
10/10/2017	URBUSH, HELEN	TD AMERITRADE	16,468.12	41.51	0.00	41.51	90.0	37.36
10/10/2017	URBUSH, HELEN	TD AMERITRADE	2,157.85	5.44	0.00	5.44	90.0	4.90
10/10/2017	WATRING, MARGARET	TD AMERITRADE	750.14	376.89	0.00	376.89	90.0	339.20
10/10/2017	WAYO, DARLENE	TD AMERITRADE	5.81	750.03	0.00	750.03	90.0	675.03
10/10/2017	WAYO, DARLENE	TD AMERITRADE	49,919.89	251.65	0.00	251.65	90.0	226.49
10/10/2017	WELLMAN, JAMES	TD AMERITRADE	32,189.82	162.27	0.00	162.27	90.0	146.04
10/10/2017	WENDT, RENNE	TD AMERITRADE	14,701.61	74.11	0.00	74.11	90.0	66.70
10/10/2017	WENDT, WILLIAM	TD AMERITRADE	43,355.16	218.56	0.00	218.56	90.0	196.70
10/10/2017	WILLIAMS, WARNER	TD AMERITRADE	20.87	0.11	0.00	0.11	90.0	0.10
10/10/2017	ZARBOCK, CYNTHIA	TD AMERITRADE	57,885.47	291.81	0.00	291.81	90.0	262.63
10/10/2017	ZARBOCK, JEFFREY	TD AMERITRADE	9,908.39	49.95	0.00	49.95	90.0	44.96
10/10/2017	ZUPEK, STEVEN	TD AMERITRADE	166,465.96	419.59	0.00	419.59	90.0	377.63
* RIA SUBTOTAL *			\$17,114,907.43	\$97,956.85	\$0.00	\$97,956.85		\$88,161.35
*** BROKER TRANSACTIONS TOTAL ***			\$17,114,907.43	\$97,956.85	\$0.00	\$97,956.85		\$88,161.35

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BROKER COMMISSION TOTALS

Broker Transactions	\$88,161.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$88,161.35
Year-to-date Gross	\$356,292.84
Year-to-date Earnings	\$320,681.59