



COMMISSION STATEMENT

May 07, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	***-**-573K - THEODORIDIS, ACCOUNT #: LSR012532	FIDELITY ADV FINANCIAL SERVICES CL BUY 179 Units @22.8900	4,096.67	222.83	18.00	204.83	70.0	143.38
04/27/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS FLOAT RATE INCOME CL SELL 10 Units @10.1600	100.00	0.00	0.00	0.00	70.0	0.00
04/27/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A SELL 9 Units @10.7300	100.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$4,296.67	\$222.83	\$18.00	\$204.83		\$143.38
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/01/2018	***-**-26IR - CORDONE, EILEEN ACCOUNT #: 119193LK8	LANDMARK VARIABLE ANNUITY BUY 0 Units @0.0000	150.00	10.80	0.00	10.80	70.0	7.56
* VARIABLE ANNUITIES SUBTOTAL *			\$150.00	\$10.80	\$0.00	\$10.80		\$7.56
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/07/2018	***-**-26IN - SANTOS, FATIMA ACCOUNT #: 68526609201	CHET 529 BUY 0 Units @0.0000	200.00	5.00	0.00	5.00	70.0	3.50
05/07/2018	***-**-26IN - SANTOS, FATIMA ACCOUNT #: 68526609201	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	70.0	2.53
05/07/2018	***-**-925P - FENGLER, DANIEL ACCOUNT #: 68939901201	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	1.95	0.00	1.95	70.0	1.37
* 529 PLAN SUBTOTAL *			\$200.00	\$10.56	\$0.00	\$10.56		\$7.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/06/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781368	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	70.0	0.27
04/06/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781475	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	70.0	0.55
04/06/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781509	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	70.0	0.77
04/06/2018	***-**-7210 - GUARNA, CATERINA ACCOUNT #: 01488781533	FA 529 EQUITY INCOME PORT BUY 0 Units @0.0000	0.00	1.09	0.00	1.09	70.0	0.76
04/06/2018	***-**-49IN - TALLO, ROSE ACCOUNT #: 01444479446	FA 529 MID CAP PORT - CL A BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	70.0	0.30



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/06/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.04	0.00	1.04	70.0	0.73
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
04/06/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.73	0.00	1.73	70.0	1.21
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
04/06/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	2.29	0.00	2.29	70.0	1.60
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
04/06/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
04/06/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.96	0.00	2.96	70.0	2.07
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
04/06/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.87	0.00	2.87	70.0	2.01
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
04/06/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
05/04/2018	***-**-43IN - RISKALLA,	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	1.13	0.00	1.13	70.0	0.79
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
05/04/2018	***-**-57IO - SOCHA, CYNTHIA	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	0.77	0.00	0.77	70.0	0.54
	ACCOUNT #: LSR010500	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$18.02	\$0.00	\$18.02		\$12.60
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/04/2018	***-**-962I - MATERA, PATRICK	METLIFE VA TRAILS	0.00	0.72	0.00	0.72	70.0	0.50
	ACCOUNT #: 073137278	BUY 1 Units @0.0000						
05/03/2018	***-**-00IN - BRANT, LORI	PACIFIC LIFE VOYAGES	0.00	6.27	0.00	6.27	70.0	4.39
	ACCOUNT #: VR13005736	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$6.99	\$0.00	\$6.99		\$4.89
	*** BROKER TRANSACTIONS TOTAL ***		\$4,646.67	\$269.20	\$18.00	\$251.20		\$175.83



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
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BROKER COMMISSION TOTALS							
						Broker Transactions	\$175.83
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$175.83
						Year-to-date Earnings	\$16,773.87