

COMMISSION STATEMENT June 30, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/26/2018	368459945	SANDS, LILY	MF	RUSSELL LIFEPOINTS BAL STRATEGY B	-2,992.55	0.00	5.00	-5.00	87.5	-4.38
				* MUTUAL FUNDS SUBTOTAL *	\$-2,992.55	\$0.00	\$5.00	\$-5.00		\$-4.38

PRODUCT CATEGORY: STOCKS

06/08/2018	154105444	BAKER, CLAUDE	S	VENTAS INCORPORATED	B	4,677.16	69.00	15.00	54.00	87.5	47.25
06/08/2018	368331302	HILL, KAREN JOLLY	S	SPDR FD INDUSTRIAL	S	-6,644.83	60.00	15.00	45.00	87.5	39.38
06/08/2018	368331302	HILL, KAREN JOLLY	S	VENTAS INCORPORATED	B	3,081.94	60.00	15.00	45.00	87.5	39.38
05/31/2018	368434427	BRISTER, THOMAS	S	ADVENT CLAYMORE GLOBAL	S	-14,117.12	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	BLACKROCK ENHANCED EQUITY	S	-15,452.61	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	BLACKROCK CAP AND INCOME	S	-15,522.93	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	FIRST TRUST DYNAMIC EUROPE	S	-5,292.40	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	LIBERTY ALL STAR EQUITY FUND SH	S	-30,875.48	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	NUVEEN CREDIT STRATEGIES INCOME	S	-14,869.62	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	OVERSTOCK.COM INC	S	-2,495.40	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	SABRA HEALTH CARE REIT INC	S	-3,628.83	9.55	15.00	-5.45	87.5	-4.77
05/31/2018	368434427	BRISTER, THOMAS	S	SABRA HEALTH CARE REIT INC	S	-2,074.92	5.45	0.00	5.45	87.5	4.77
05/31/2018	368434427	BRISTER, THOMAS	S	TPG SPECIALTY LENDING INC	S	-5,400.84	15.00	15.00	0.00	87.5	0.00
05/31/2018	368434427	BRISTER, THOMAS	S	WESTERN ASSET GLOBAL HIGH	S	-5,434.61	15.00	15.00	0.00	87.5	0.00
06/08/2018	368434427	BRISTER, THOMAS	S	VENTAS INCORPORATED	B	10,005.61	15.00	15.00	0.00	87.5	0.00
06/14/2018	368434427	BRISTER, THOMAS	S	COHEN & STEERS REIT AND	B	16,019.38	15.00	15.00	0.00	87.5	0.00
06/08/2018	368434452	GREGENSEN, DONNA	S	VENTAS INCORPORATED	B	3,196.42	15.00	15.00	0.00	87.5	0.00
06/08/2018	368434462	GREGENSEN, JOHN	S	VENTAS INCORPORATED	B	3,197.00	15.00	15.00	0.00	87.5	0.00
06/08/2018	676424960	BRISTER, THOMAS	S	VENTAS INCORPORATED	B	10,005.61	15.00	15.00	0.00	87.5	0.00
				* STOCKS SUBTOTAL *		\$-71,626.47	\$414.00	\$270.00	\$144.00		\$126.01

PRODUCT CATEGORY: MISCELLANEOUS

06/27/2018	a03564136	BRISTER-D011, TOM	MI	MUTUAL FUND MISC FEE 368459945	S	0.00	5.00	0.00	5.00	87.5	4.38
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$5.00	\$0.00	\$5.00		\$4.38

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/21/2018	mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.63	0.00	0.63	87.5	0.55
06/21/2018	mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	13.18	0.00	13.18	87.5	11.53
06/21/2018	mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	52.86	0.00	52.86	87.5	46.25
06/21/2018	mfc564136	BRISTER T 564136	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.39	0.00	0.39	87.5	0.34
06/21/2018	mfc564136	BRISTER T 564136	MFT JP MORGAN INCOME BUILDER FD CL	B	0.00	6.82	0.00	6.82	87.5	5.97
06/21/2018	mfc564136	BRISTER T 564136	MFT PIMCO SHORT TERM FD CL C	B	0.00	7.96	0.00	7.96	87.5	6.97
06/21/2018	mfc564136	BRISTER T 564136	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	4.02	0.00	4.02	87.5	3.52
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.57	0.00	1.57	87.5	1.37
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.21	0.00	2.21	87.5	1.93
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.21	0.00	2.21	87.5	1.93
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	23.07	0.00	23.07	87.5	20.19
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	26.64	0.00	26.64	87.5	23.31
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	28.01	0.00	28.01	87.5	24.51
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	52.28	0.00	52.28	87.5	45.75
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.15	0.00	2.15	87.5	1.88
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.58	0.00	2.58	87.5	2.26
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	3.66	0.00	3.66	87.5	3.20
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	40.18	0.00	40.18	87.5	35.16
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	59.86	0.00	59.86	87.5	52.38
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	61.92	0.00	61.92	87.5	54.18
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	103.40	0.00	103.40	87.5	90.48
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	1.91	0.00	1.91	87.5	1.67
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	23.41	0.00	23.41	87.5	20.48
06/21/2018	mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	23.98	0.00	23.98	87.5	20.98
06/21/2018	mfc564136	BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	8.46	0.00	8.46	87.5	7.40
06/21/2018	mfc564136	BRISTER T 564136	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	2.53	0.00	2.53	87.5	2.21
06/21/2018	mfc564136	BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.16	0.00	21.16	87.5	18.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$577.05	\$0.00	\$577.05	\$504.92	
*** CLEARING TRANSACTIONS TOTAL ***					\$-74,619.02	\$996.05	\$275.00	\$721.05	\$630.93	

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018	(None) 0045801164	MF	SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	87.5	5.25
06/15/2018	00064805200 SCHMIDT,	MF	NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06
06/15/2018	00064805200 SCHMIDT,	MF	THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06
06/15/2018	00086110559 DRAUGHN, DUSTIN	MF	THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *					\$640.00	\$24.25	\$0.00	\$24.25		\$21.21

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/15/2018 (None)	WOODS	VA PHOENIX LIFE	B	0.00	6.66	0.00	6.66	87.5	5.83
06/15/2018 (None)	GREGERSON	VA PHOENIX LIFE	B	0.00	4.00	0.00	4.00	87.5	3.50
06/15/2018 (None)	BRISTER, LYDIA	VA PRUDENTIAL LIFE	B	200.00	10.00	0.00	10.00	87.5	8.75
* VARIABLE ANNUITIES SUBTOTAL *				\$200.00	\$20.66	\$0.00	\$20.66		\$18.08
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/05/2018 08699897631	MOINAT, IRIS	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	23.92	0.00	23.92	87.5	20.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$23.92	\$0.00	\$23.92		\$20.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/18/2018 (None)	BLACKWELL, JACQU	VAT AMERITAS	B	0.00	3.00	0.00	3.00	87.5	2.63
06/18/2018 (None)	BLACKWELL, JACQU	VAT AMERITAS	B	0.00	6.48	0.00	6.48	87.5	5.67
06/18/2018 (None)	POLLA, DONALD	VAT AMERITAS	B	0.00	16.00	0.00	16.00	87.5	14.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$25.48	\$0.00	\$25.48		\$22.30
*** DIRECT TRANSACTIONS TOTAL ***				\$840.00	\$94.31	\$0.00	\$94.31		\$82.52

VERRIDE TRANSACTIONS**BROKER: ALEX BRISTER-D010 #14**

06/04/2018 (None)	0045709342	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
06/04/2018 (None)	0045711389	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
06/04/2018 (None)	0045795127	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50		0.56
06/12/2018 00068781179	GERRIE, SARA	MF AMCAP FUND-A	B	300.00	8.25	0.00	8.25		3.09
06/15/2018 00083404474	BARNES, WENDY	MF AMCAP FUND-A	B	500.00	18.75	0.00	18.75		7.03
06/21/2018 mfc652653	BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	10.61	0.00	10.61		3.98
06/21/2018 mfc652653	BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.67	0.00	2.67		1.00
06/21/2018 mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	13.12	0.00	13.12		4.92
06/21/2018 mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	35.07	0.00	35.07		13.15
06/21/2018 mfc652653	BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	8.19	0.00	8.19		3.07
06/21/2018 mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.43	0.00	0.43		0.16
06/21/2018 mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	3.18	0.00	3.18		1.19
06/21/2018 mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	10.30	0.00	10.30		3.86
06/21/2018 mfc652653	BRISTER A 652653	MFT AMCAP FUND-C	B	0.00	2.40	0.00	2.40		0.90
06/21/2018 mfc652653	BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.29	0.00	0.29		0.11
06/21/2018 mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	1.15	0.00	1.15		0.43
06/21/2018 mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	0.19	0.00	0.19		0.07
06/21/2018 mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	2.26	0.00	2.26		0.85
06/21/2018 mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.71	0.00	0.71		0.27
06/21/2018 mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.93	0.00	3.93		1.47
06/21/2018 mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.18	0.00	0.18		0.07
06/21/2018 mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.33	0.00	0.33		0.12
06/21/2018 mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.15	0.00	3.15		1.18

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.54	0.00	3.54	1.33
06/21/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.26	0.00	2.26	0.85
06/21/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	5.94	0.00	5.94	2.23
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.13	0.00	0.13	0.05
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.28	0.00	1.28	0.48
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.36	0.00	1.36	0.51
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.88	0.00	1.88	0.71
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.87	0.00	2.87	1.08
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	23.05	0.00	23.05	8.64
06/21/2018	mfc652653	BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	1.57	0.00	1.57	0.59
06/21/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.35	0.00	4.35	1.63
06/21/2018	mfc652653	BRISTER A 652653	MFT PIM 018918664	B	0.00	4.86	0.00	4.86	1.82
06/21/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	2.59	0.00	2.59	0.97
06/21/2018	mfc652653	BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	8.11	0.00	8.11	3.04
06/21/2018	mfc652653	BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.27	0.00	1.27	0.48
06/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	0.06
06/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	1.75	0.00	1.75	0.66
06/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	4.92	0.00	4.92	1.85
06/21/2018	mfc652653	BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	4.92	0.00	4.92	1.85
06/21/2018	mfc652653	BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.80	0.00	5.80	2.18
06/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.75	0.00	1.75	0.66
06/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.19	0.00	2.19	0.82
06/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.85	0.00	3.85	1.44
06/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	11.92	0.00	11.92	4.47
06/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.25	0.00	0.25	0.09
06/21/2018	mfc652653	BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.72	0.00	1.72	0.65
06/21/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.31	0.00	0.31	0.12
06/21/2018	mfc652653	BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.40	0.00	2.40	0.90
* ALEX BRISTER-D010 SUBTOTAL *				\$950.00	\$236.66	\$0.00	\$236.66		\$88.76
*** OVERRIDE TRANSACTIONS TOTAL ***				\$950.00	\$236.66	\$0.00	\$236.66		\$88.76

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
06/30/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	75.17
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-219.83
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-219.83

BROKER COMMISSION TOTALS

Broker Transactions	\$713.45
Split Transactions	\$0.00
Override Transactions	\$88.76
Adjustments	\$-219.83
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$582.38
Year-to-date Commissions	\$14,947.18