

COMMISSION STATEMENT

July 31, 2018

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

BROKER #: A01 V01
BRANCH: FULCRUM GROUP

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/13/2018 (None)	VARIOUS, OLMSTEAD	JOHN HANCOCK VARIOUS	B	0.00	317.08	0.00	317.08	70.0	221.96
07/23/2018 75501809	WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	S	10,000.00	0.00	0.00	0.00	70.0	0.00
06/29/2018 75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
08/01/2018 75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	S	2,800.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$15,600.00	\$317.08	\$0.00	\$317.08		\$221.96

PRODUCT CATEGORY: STOCKS

07/05/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI INFORMAT S		44,765.24	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER DISCRETIONAR S		46,178.67	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI INDUSTRIALS INDEX ETF S		43,507.30	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI ENERGY INDEX ETF S		44,353.68	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501733	OLMSTEAD, CHRISTYN	SPDR S&P 500 ETF TRUST B		180,322.74	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI INFORMAT S		14,460.06	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER DISCRETIONAR S		14,910.09	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI INDUSTRIALS INDEX ETF S		14,046.54	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI ENERGY INDEX ETF S		14,315.76	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501772	OLMSTEAD, ELIZABETH	SPDR S&P 500 ETF TRUST B		58,203.72	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI INFORMAT S		59,391.51	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501774	SMITH, GLENN	FIDELITY MSCI CONSUMER DISCRETIONAR S		61,216.55	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501774	SMITH, GLENN	FIDELITY MSCI INDUSTRIALS INDEX ETF S		57,664.74	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501774	SMITH, GLENN	FIDELITY MSCI ENERGY INDEX ETF S		58,816.38	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501774	SMITH, GLENN	SPDR S&P 500 ETF TRUST B		239,070.42	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI INFORMAT S		30,803.80	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501780	OLMSTEAD, VAN	FIDELITY MSCI CONSUMER DISCRETIONAR S		31,737.18	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501780	OLMSTEAD, VAN	FIDELITY MSCI INDUSTRIALS INDEX ETF S		29,904.34	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501780	OLMSTEAD, VAN	FIDELITY MSCI ENERGY INDEX ETF S		30,478.72	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501780	OLMSTEAD, VAN	SPDR S&P 500 ETF TRUST B		123,750.90	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT S		21,163.76	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501782	HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR S		21,811.33	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501782	HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF S		20,515.34	0.00	0.00	0.00	70.0	0.00
07/05/2018 75501782	HALLAM, DONALD	FIDELITY MSCI ENERGY INDEX ETF S		20,927.88	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/05/2018	75501782 HALLAM, DONALD	SPDR S&P 500 ETF TRUST	B	85,129.74	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501784 HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT S		87,480.59	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501784 HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR S		90,142.12	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501784 HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF S		84,944.59	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501784 HALLAM, DONALD	FIDELITY MSCI ENERGY INDEX ETF S		86,608.27	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501784 HALLAM, DONALD	SPDR S&P 500 ETF TRUST	B	351,942.12	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT S		82,882.18	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501807 WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR S		85,413.50	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501807 WRIGHT, PAMELA	FIDELITY MSCI INDUSTRIALS INDEX ETF S		80,508.84	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501807 WRIGHT, PAMELA	FIDELITY MSCI ENERGY INDEX ETF S		82,074.25	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501807 WRIGHT, PAMELA	SPDR S&P 500 ETF TRUST	B	333,447.48	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501820 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT S		61,884.62	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501820 KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR S		63,772.57	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501820 KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF S		60,104.40	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501820 KLAHN, ROBERT	FIDELITY MSCI ENERGY INDEX ETF S		61,272.31	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501820 KLAHN, ROBERT	SPDR S&P 500 ETF TRUST	B	248,861.70	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501857 REMINGTON, THOMAS	FIDELITY COVINGTON TR MSCI INFORMAT S		17,174.78	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501857 REMINGTON, THOMAS	FIDELITY MSCI CONSUMER DISCRETIONAR S		17,721.70	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501857 REMINGTON, THOMAS	FIDELITY MSCI INDUSTRIALS INDEX ETF S		16,671.02	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501857 REMINGTON, THOMAS	FIDELITY MSCI ENERGY INDEX ETF S		17,002.59	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501857 REMINGTON, THOMAS	SPDR S&P 500 ETF TRUST	B	69,082.92	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501859 LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT S		32,133.47	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501859 LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR S		33,142.99	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501859 LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF S		31,235.07	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501859 LOVE, MARGARET	FIDELITY MSCI ENERGY INDEX ETF S		31,843.13	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501859 LOVE, MARGARET	SPDR S&P 500 ETF TRUST	B	129,462.48	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501860 LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT S		67,701.89	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501860 LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR S		69,821.80	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501860 LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF S		65,759.98	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501860 LOVE, MARGARET	FIDELITY MSCI ENERGY INDEX ETF S		67,065.78	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501860 LOVE, MARGARET	SPDR S&P 500 ETF TRUST	B	272,523.96	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501866 WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI INFORMAT S		94,793.72	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501866 WELAISH, JEFFREY	FIDELITY MSCI CONSUMER DISCRETIONAR S		97,724.97	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501866 WELAISH, JEFFREY	FIDELITY MSCI INDUSTRIALS INDEX ETF S		92,078.75	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501866 WELAISH, JEFFREY	FIDELITY MSCI ENERGY INDEX ETF S		93,892.10	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501866 WELAISH, JEFFREY	SPDR S&P 500 ETF TRUST	B	381,587.94	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883 MARTIN, KATHY	SPDR INDEX SHS FDS DOW JONES GLOBAL S		144.49	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883 MARTIN, KATHY	SPDR INDEX SHARES FUNDS SPDR S&P EM B		94.84	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883 MARTIN, KATHY	SPDR INDEX SHS FDS S&P INTERNATIONAL B		103.17	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883 MARTIN, KATHY	SPDR INDEX SHS FDS S&P EMERGING MAR B		1,130.07	0.00	0.00	0.00	70.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
06/29/2018	75501883	MARTIN, KATHY	SPDR INDEX SHS FDS S&P WORLD EX-US	B	1,064.35	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883	MARTIN, KATHY	SPDR RUSSELL 1000 ETF	S	1,152.00	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883	MARTIN, KATHY	SPDR RUSSELL 2000 ETF	S	872.15	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	390.18	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR PORTFOLIO IN	B	66.56	0.00	0.00	0.00	70.0	0.00
06/29/2018	75501883	MARTIN, KATHY	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	54.59	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501885	MARTIN, KATHY	FIDELITY COVINGTON TR MSCI INFORMAT	S	47,978.59	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501885	MARTIN, KATHY	FIDELITY MSCI CONSUMER DISCRETIONAR	S	49,458.89	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501885	MARTIN, KATHY	FIDELITY MSCI INDUSTRIALS INDEX ETF	S	46,575.36	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501885	MARTIN, KATHY	FIDELITY MSCI ENERGY INDEX ETF	S	47,502.31	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501885	MARTIN, KATHY	SPDR S&P 500 ETF TRUST	B	193,105.80	0.00	0.00	0.00	70.0	0.00
08/02/2018	75501887	KAYLOR, KEVIN	APPLE INC	S	20,812.68	105.00	0.00	105.00	70.0	73.50
07/05/2018	75501889	KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI INFORMAT	S	35,402.21	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI CONSUMER DISCRETIONAR	S	36,508.41	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI INDUSTRIALS INDEX ETF	S	34,377.05	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI ENERGY INDEX ETF	S	35,054.73	0.00	0.00	0.00	70.0	0.00
07/05/2018	75501889	KAYLOR, KEVIN	SPDR S&P 500 ETF TRUST	B	142,517.52	0.00	0.00	0.00	70.0	0.00
07/05/2018	75502088	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT	S	69,419.37	0.00	0.00	0.00	70.0	0.00
07/05/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR	S	71,568.41	0.00	0.00	0.00	70.0	0.00
07/05/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF	S	67,423.38	0.00	0.00	0.00	70.0	0.00
07/05/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI ENERGY INDEX ETF	S	68,745.05	0.00	0.00	0.00	70.0	0.00
07/05/2018	75502088	KLAHN, ROBERT	SPDR S&P 500 ETF TRUST	B	279,323.46	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *					\$6,178,052.59	\$105.00	\$0.00	\$105.00	\$73.50	
PRODUCT CATEGORY: OPTIONS										
07/02/2018	75501726	PENN, SHELDON	CALL PGR 08/17/18 60	S	420.00	37.50	0.00	37.50	70.0	26.25
07/02/2018	75501726	PENN, SHELDON	PUT TAP 10/19/18 75	B	2,520.00	72.62	0.00	72.62	70.0	50.83
07/09/2018	75501726	PENN, SHELDON	CALL RTN 11/16/18 200	S	2,160.00	67.28	0.00	67.28	70.0	47.10
07/09/2018	75501726	PENN, SHELDON	CALL SEDG 09/21/18 49	B	2,850.00	76.06	0.00	76.06	70.0	53.24
07/16/2018	75501726	PENN, SHELDON	CALL Z 11/16/18 55	B	3,420.00	82.00	0.00	82.00	70.0	57.40
07/23/2018	75501726	PENN, SHELDON	PUT WBA 10/19/18 67.50	B	1,470.00	55.13	0.00	55.13	70.0	38.59
07/30/2018	75501726	PENN, SHELDON	CALL NTAP 12/21/18 75	B	2,775.00	75.27	0.00	75.27	70.0	52.69
07/30/2018	75501726	PENN, SHELDON	CALL Z 11/16/18 55	S	2,040.00	65.48	0.00	65.48	70.0	45.84
08/01/2018	75501726	PENN, SHELDON	PUT HE 12/21/18 35	S	360.00	37.50	0.00	37.50	70.0	26.25
08/06/2018	75501726	PENN, SHELDON	CALL CTXS 12/21/18 105	B	3,069.00	78.34	0.00	78.34	70.0	54.84
08/06/2018	75501726	PENN, SHELDON	CALL SEDG 09/21/18 49	S	1,062.00	39.83	0.00	39.83	70.0	27.88
* OPTIONS SUBTOTAL *					\$22,146.00	\$687.01	\$0.00	\$687.01	\$480.91	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/10/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	119.50	0.00	119.50	70.0	83.65
07/18/2018	(None) VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	38.93	0.00	38.93	70.0	27.25
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$158.43	\$0.00	\$158.43		\$110.90
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
07/20/2018	VARIOUS, OLMSTEAD	STATE STREET PROGRAM FEE	B	0.00	373.60	0.00	373.60	70.0	261.52
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$373.60	\$0.00	\$373.60		\$261.52
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75501391 BORISH, MURIEL	FAS FEES PT. I/III	B	-561.89	189.33	0.00	189.33	70.0	132.53
07/01/2018	75501727 PENN, SHELDON	FAS FEES PT. I/III	B	-1,821.74	613.84	0.00	613.84	70.0	429.69
07/01/2018	75501733 OLMSTEAD, CHRISTYN	FAS FEES PT. I/III	B	-718.90	242.23	0.00	242.23	70.0	169.56
07/01/2018	75501772 OLMSTEAD, ELIZABETH	FAS FEES PT. I/III	B	-231.91	78.14	0.00	78.14	70.0	54.70
07/01/2018	75501773 SMITH, GLENN	FAS FEES PT. I/III	B	-1,096.04	369.31	0.00	369.31	70.0	258.52
07/01/2018	75501774 SMITH, GLENN	FAS FEES PT. I/III	B	-953.35	321.23	0.00	321.23	70.0	224.86
07/01/2018	75501778 VORMWALD, G ROBERT	FAS FEES PT. I/III	B	-2,843.35	958.08	0.00	958.08	70.0	670.66
07/01/2018	75501779 OLMSTEAD, VAN	FAS FEES PT. I/III	B	-1,084.02	365.26	0.00	365.26	70.0	255.68
07/01/2018	75501780 OLMSTEAD, VAN	FAS FEES PT. I/III	B	-494.13	166.50	0.00	166.50	70.0	116.55
07/01/2018	75501781 HALLAM, DONALD	FAS FEES PT. I/III	B	-420.36	141.64	0.00	141.64	70.0	99.15
07/01/2018	75501782 HALLAM, DONALD	FAS FEES PT. I/III	B	-339.33	114.33	0.00	114.33	70.0	80.03
07/01/2018	75501783 HALLAM, DONALD	FAS FEES PT. I/III	B	-4,230.43	1,425.47	0.00	1,425.47	70.0	997.83
07/01/2018	75501784 HALLAM, DONALD	FAS FEES PT. I/III	B	-1,403.72	472.99	0.00	472.99	70.0	331.09
07/01/2018	75501805 BARBARAS, GLEN	FAS FEES PT. I/III	B	-1,187.03	399.97	0.00	399.97	70.0	279.98
07/01/2018	75501807 WRIGHT, PAMELA	FAS FEES PT. I/III	B	-1,693.73	570.71	0.00	570.71	70.0	399.50
07/01/2018	75501808 WRIGHT, PAMELA	FAS FEES PT. I/III	B	-819.68	276.19	0.00	276.19	70.0	193.33
07/01/2018	75501817 GELLIS, HOWARD	FAS FEES PT. I/III	B	-5,120.80	1,725.48	0.00	1,725.48	70.0	1,207.84
07/01/2018	75501820 KLAHN, ROBERT	FAS FEES PT. I/III	B	-993.22	334.67	0.00	334.67	70.0	234.27
07/01/2018	75501822 KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,281.91	431.94	0.00	431.94	70.0	302.36
07/01/2018	75501857 REMINGTON, THOMAS	FAS FEES PT. I/III	B	-275.68	92.89	0.00	92.89	70.0	65.02
07/01/2018	75501858 REMINGTON, THOMAS	FAS FEES PT. I/III	B	-2,773.82	934.65	0.00	934.65	70.0	654.26
07/01/2018	75501859 LOVE, MARGARET	FAS FEES PT. I/III	B	-515.96	173.85	0.00	173.85	70.0	121.70
07/01/2018	75501860 LOVE, MARGARET	FAS FEES PT. I/III	B	-1,086.88	366.23	0.00	366.23	70.0	256.36
07/01/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. I/III	B	-1,381.89	465.63	0.00	465.63	70.0	325.94
07/27/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. I/III	B	0.00	-75.10	0.00	-75.10	70.0	-52.57
07/01/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. I/III	B	-342.05	115.25	0.00	115.25	70.0	80.68
07/27/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. I/III	B	0.00	-18.58	0.00	-18.58	70.0	-13.01
07/01/2018	75501866 WELAISH, JEFFREY	FAS FEES PT. I/III	B	-1,521.70	512.74	0.00	512.74	70.0	358.92
07/01/2018	75501870 ESKENAZI, PHOEBE	FAS FEES PT. I/III	B	-775.82	261.41	0.00	261.41	70.0	182.99
07/01/2018	75501884 MARTIN, KATHY	FAS FEES PT. I/III	B	-2,013.62	678.50	0.00	678.50	70.0	474.95
07/01/2018	75501885 MARTIN, KATHY	FAS FEES PT. I/III	B	-769.76	259.37	0.00	259.37	70.0	181.56

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75501888 KAYLOR, KEVIN	FAS FEES PT. I/III	B	-383.15	129.10	0.00	129.10	70.0	90.37
07/01/2018	75501889 KAYLOR, KEVIN	FAS FEES PT. I/III	B	-568.13	191.43	0.00	191.43	70.0	134.00
07/01/2018	75501890 KAYLOR, KEVIN	FAS FEES PT. I/III	B	-2,523.10	850.17	0.00	850.17	70.0	595.12
07/01/2018	75501914 NATASHA RAJ AHUJA	FAS FEES PT. I/III	B	-1,284.20	432.71	0.00	432.71	70.0	302.90
07/01/2018	75501925 HOWELL, WILLIAM	FAS FEES PT. I/III	B	-266.51	89.80	0.00	89.80	70.0	62.86
07/01/2018	75501926 KILPATRICK, CHARLES	FAS FEES PT. I/III	B	-1,043.23	351.52	0.00	351.52	70.0	246.06
07/01/2018	75501946 ANYA P AHUJA 1999	FAS FEES PT. I/III	B	-1,283.63	432.52	0.00	432.52	70.0	302.76
07/01/2018	75501952 HOWELL, WILLIAM	FAS FEES PT. I/III	B	-362.08	122.00	0.00	122.00	70.0	85.40
07/01/2018	75501953 MCCRAY, ROBIN	FAS FEES PT. I/III	B	-608.52	205.04	0.00	205.04	70.0	143.53
07/01/2018	75501954 MCCRAY, GAIL	FAS FEES PT. I/III	B	-272.03	91.66	0.00	91.66	70.0	64.16
07/01/2018	75501960 BLUE PELICAN LLC	FAS FEES PT. I/III	B	-4,335.11	1,460.74	0.00	1,460.74	70.0	1,022.52
07/01/2018	75502088 KLAHN, ROBERT	FAS FEES PT. I/III	B	-1,114.08	375.39	0.00	375.39	70.0	262.77
* RIA SUBTOTAL *				\$-52,796.49	\$17,696.23	\$0.00	\$17,696.23		\$12,387.38
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018		FEE COUNT - 184		0.00	1,839.08	0.00	1,839.08	70.0	1,279.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,839.08	\$0.00	\$1,839.08		\$1,279.48
*** BROKER TRANSACTIONS TOTAL ***				\$6,163,002.10	\$21,176.43	\$0.00	\$21,176.43		\$14,815.65
<u>ADJUSTMENTS</u>									
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-255.05
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-129.86
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-153.45
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-172.22
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-414.61
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-151.76
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-70.00
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-654.41
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-253.58
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-166.19
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-240.32
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-114.76
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-768.29

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-62.80
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-179.63
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-70.00
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-403.48
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-93.21
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-196.34
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-194.36
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-274.89
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-108.61
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-287.46
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-139.06
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-70.00
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-102.63
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-363.37
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-179.96
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-86.80
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-179.88
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-85.96
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-52.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-673.95
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-70.44
07/31/2018		MISCELLANEOUS: WRITE OFF 75501939		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MISCELLANEOUS: WRITE OFF 75501893		0.00	0.00	0.00	0.00		-34.49
07/31/2018		MISCELLANEOUS: WRITE OFF 75501915		0.00	0.00	0.00	0.00		-19.98
07/31/2018		OTHER: EMPIRICAL - BAL -\$825.08		0.00	0.00	0.00	0.00		-2,000.00
07/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)		0.00	0.00	0.00	0.00		141.55
07/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)		0.00	0.00	0.00	0.00		38.23
07/31/2018		OTHER: AAM - 3Q 1/3		0.00	0.00	0.00	0.00		-2,727.05
07/31/2018		ADD FEE: REV -UNBUNDLED FEE 2/3 3/3		0.00	0.00	0.00	0.00		5,191.52
07/31/2018		OTHER: AAM - 2Q 1/3		0.00	0.00	0.00	0.00		-2,746.36
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-9,978.91</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$14,815.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-9,978.91
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.00
SIPC Assessment	\$-52.01

Check Amount	\$4,758.73
Year-to-date Earnings	\$99,361.72