



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT **September 30, 2017**

ROBERT THOMPSON
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: T118
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	RINGGER, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	18.38	0.00	18.38	90.0	16.54
09/01/2017	MOORE, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	11.56	0.00	11.56	90.0	10.40
09/01/2017	SCHUMACHER, ROSEMARY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.79	0.00	4.79	90.0	4.31
09/01/2017	MAXFIELD, RICHARD	AM FUNDS BOND FUND OF AMERICA A	0.00	18.80	0.00	18.80	90.0	16.92
09/01/2017	PENNINGTON, STEVE	AM FUNDS BOND FUND OF AMERICA A	0.00	12.57	0.00	12.57	90.0	11.31
09/01/2017	SHAFFER TRUST, EMILY	AM FUNDS BOND FUND OF AMERICA A	0.00	14.73	0.00	14.73	90.0	13.26
09/01/2017	GRAHAM, JUDY	AM FUNDS BOND FUND OF AMERICA A	0.00	7.17	0.00	7.17	90.0	6.45
09/01/2017	DAVIS, HOWARD	AM FUNDS BOND FUND OF AMERICA A	0.00	6.69	0.00	6.69	90.0	6.02
09/01/2017	MICHALSKI, PAIGE	AM FUNDS BOND FUND OF AMERICA A	0.00	7.88	0.00	7.88	90.0	7.09
09/01/2017	HILEMAN, RONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	30.14	0.00	30.14	90.0	27.13
09/01/2017	MOORE CHARIT TRUST, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	16.71	0.00	16.71	90.0	15.04
09/01/2017	BLADEL, JACQUELYN	AM FUNDS BOND FUND OF AMERICA A	0.00	35.50	0.00	35.50	90.0	31.95
09/01/2017	FURNEY, DANNY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.60	0.00	4.60	90.0	4.14
09/01/2017	RICE, GREGG	AM FUNDS BOND FUND OF AMERICA A	0.00	64.01	0.00	64.01	90.0	57.61
09/01/2017	MITTEN, ETTA	AM FUNDS BOND FUND OF AMERICA A	0.00	40.87	0.00	40.87	90.0	36.78
09/01/2017	GRUBB, BEVERLY	AM FUNDS BOND FUND OF AMERICA A	0.00	29.38	0.00	29.38	90.0	26.44
09/01/2017	MICHALSKI, GERALDINE	AM FUNDS BOND FUND OF AMERICA A	0.00	6.46	0.00	6.46	90.0	5.81
09/01/2017	WALTER, JEFFREY	AM FUNDS BOND FUND OF AMERICA A	0.00	3.03	0.00	3.03	90.0	2.73
09/01/2017	MUIR, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	4.30
09/01/2017	JACOB TRUST, MARILYN	AM FUNDS BOND FUND OF AMERICA A	0.00	33.56	0.00	33.56	90.0	30.20
09/01/2017	RICE, PAMELA	AM FUNDS BOND FUND OF AMERICA A	0.00	11.52	0.00	11.52	90.0	10.37
09/01/2017	ROSSWURM, ELAINE	AM FUNDS BOND FUND OF AMERICA A	0.00	15.16	0.00	15.16	90.0	13.64
09/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.08	0.00	4.08	90.0	3.67
09/01/2017	JACOB TRUST, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.59	0.00	4.59	90.0	4.13
09/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD BOND A	0.00	6.87	0.00	6.87	90.0	6.18
09/01/2017	JEFFERS, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	5.00	0.00	5.00	90.0	4.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	GAJEWSKI, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	3.36	0.00	3.36	90.0	3.02
09/01/2017	JACOB, MARILYN	AM FUNDS CAPITAL WORLD BOND A	0.00	19.16	0.00	19.16	90.0	17.24
09/01/2017	BUEHLER, ARNOLD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.02	0.00	19.02	90.0	17.12
09/01/2017	SCHWEINHAGEN, RUPERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	34.63	0.00	34.63	90.0	31.17
09/01/2017	NEITZ, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.31	0.00	13.31	90.0	11.98
09/01/2017	PENNINGTON, SANDRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	25.92	0.00	25.92	90.0	23.33
09/01/2017	MAXFIELD, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	38.34	0.00	38.34	90.0	34.51
09/01/2017	BESTOR, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.16	0.00	17.16	90.0	15.44
09/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	58.69	0.00	58.69	90.0	52.82
09/01/2017	SCHUMACHER, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.37	0.00	30.37	90.0	27.33
09/01/2017	GRIM, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.04	0.00	1.04	90.0	0.94
09/01/2017	ROSSWURM, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.54	0.00	23.54	90.0	21.19
09/01/2017	RECKNER, GARTH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.80	0.00	1.80	90.0	1.62
09/01/2017	PITCHFORD ESTATE, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.18	0.00	15.18	90.0	13.66
09/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	48.46	0.00	48.46	90.0	43.61
09/01/2017	SHAFFER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	90.0	0.44
09/01/2017	SHAFFER, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	40.30	0.00	40.30	90.0	36.27
09/01/2017	RICE, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.64	0.00	3.64	90.0	3.28
09/01/2017	RICE JR, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.14	0.00	5.14	90.0	4.63
09/01/2017	SWEARINGEN, ERIC	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	31.26	0.00	31.26	90.0	28.13
09/01/2017	RINGGER, MARK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.09	0.00	14.09	90.0	12.68
09/01/2017	JEFFERS, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	10.05	0.00	10.05	90.0	9.05
09/01/2017	FLECK, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.04	0.00	14.04	90.0	12.64
09/01/2017	SHAFFER, JAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	20.75	0.00	20.75	90.0	18.68
09/01/2017	RICE JR, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.26	0.00	0.26	90.0	0.23
09/01/2017	RICE, GREGG	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.39	0.00	3.39	90.0	3.05
09/01/2017	PENNINGTON, STEVE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	28.02	0.00	28.02	90.0	25.22
09/01/2017	RICE, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.23	0.00	4.23	90.0	3.81
09/01/2017	GRIM, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
09/01/2017	RICE JR, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.76	0.00	0.76	90.0	0.68
09/01/2017	SEITZINGER, MARK	AM FUNDS ICA A	0.00	26.48	0.00	26.48	90.0	23.83
09/01/2017	MOORE, EILEEN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	56.50	0.00	56.50	90.0	50.85
09/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	13.95	0.00	13.95	90.0	12.56

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	PITCHFORD ESTATE, DIANE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	9.63	0.00	9.63	90.0	8.67
09/01/2017	DAVIS, HOWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	12.13	0.00	12.13	90.0	10.92
09/01/2017	SWEARINGEN, ERIC	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.25	0.00	2.25	90.0	2.03
09/01/2017	LYKES, EMMANUEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.68	0.00	1.68	90.0	1.51
09/01/2017	NEITZ, CHRISTINA	AM FUNDS NEW WORLD A	0.00	4.81	0.00	4.81	90.0	4.33
09/01/2017	GRIM, SUSAN	AM FUNDS NEW WORLD A	0.00	0.31	0.00	0.31	90.0	0.28
09/01/2017	PENNINGTON, SANDRA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	37.35	0.00	37.35	90.0	33.62
09/01/2017	MAXFIELD, RICHARD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	17.69	0.00	17.69	90.0	15.92
09/01/2017	PENNINGTON, STEVE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	11.07	0.00	11.07	90.0	9.96
09/01/2017	WODA, HELENE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	7.48	0.00	7.48	90.0	6.73
09/01/2017	MOORE, EILEEN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	22.54	0.00	22.54	90.0	20.29
09/01/2017	JACOB, MARILYN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	8.77	0.00	8.77	90.0	7.89
09/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS SHORT TERM BND FUND OF AM	0.00	9.62	0.00	9.62	90.0	8.66
09/01/2017	SHAFFER, JAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	27.82	0.00	27.82	90.0	25.04
09/01/2017	JEFFERS, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	7.64	0.00	7.64	90.0	6.88
09/01/2017	FLECK, GERALD	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	9.13	0.00	9.13	90.0	8.22
09/01/2017	GRIM, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	90.0	0.29
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,232.78	\$0.00	\$1,232.78		\$1,109.51
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/07/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	9.12
* VARIABLE ANNUITIES SUBTOTAL *			\$150.00	\$10.13	\$0.00	\$10.13		\$9.12
*** BROKER TRANSACTIONS TOTAL ***			\$150.00	\$1,242.91	\$0.00	\$1,242.91		\$1,118.63

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,118.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,118.63
Year-to-date Gross	\$73,694.31
Year-to-date Earnings	\$66,384.23