



Concorde Investment Services, LLC

04/18/2017  
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# COMMISSION STATEMENT

March 31, 2017

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016  
BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
03/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
03/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.00
03/15/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	7.00	0.00	7.00	0.0	0.00
03/15/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	8.75	0.00	8.75	0.0	0.00
02/28/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.00
02/28/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.43	0.00	0.43	0.0	0.00
02/28/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.00
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.31	0.00	7.31	0.0	0.00
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	21.93	0.00	21.93	0.0	0.00
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.39	0.00	2.39	0.0	0.00
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.84	0.00	8.84	0.0	0.00
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	26.53	0.00	26.53	0.0	0.00
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.17	0.00	7.17	0.0	0.00
03/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
03/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
03/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	0.00
03/13/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.00
03/13/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.00
03/06/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,925.00	\$106.06	\$0.00	\$106.06		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	0.00
03/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.00
03/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	0.00
03/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	0.00
03/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	0.00
03/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	0.00
03/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.00
02/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,056.30	15.05	0.00	15.05	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$19,374.08	\$34.55	\$0.00	\$34.55		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
03/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$152.00	\$1.52	\$0.00	\$1.52		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$21,451.08	\$142.13	\$0.00	\$142.13		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$768.08
Year-to-date Earnings	\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed