



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2017**

01/27/2017  
 Page No. 1

KEITH LAYMAN  
 4321 Flagstaff

BROKER #: L128  
 BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
12/31/2016	LAYMAN, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.75	0.00	7.75	90.0	6.98
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$7.75</b>	<b>\$0.00</b>	<b>\$7.75</b>		<b>\$6.98</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
01/10/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
01/17/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
01/17/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
01/17/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	RATLIFF, MICHAEL	AM FUNDS AMERICAN BALANCED A	75.00	3.75	0.00	3.75	90.0	3.38
01/17/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	300.00	15.00	0.00	15.00	90.0	13.50
01/18/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	-2,600.00	0.00	22.00	-22.00	90.0	-19.80
01/20/2017	GALENTINE, STEPHANIE	AM FUNDS AMERICAN BALANCED A	416.00	15.60	0.00	15.60	90.0	14.04
01/11/2017	NEWBAUER, SARAH	AM FUNDS AMERICAN BALANCED C	2,670.36	26.70	0.00	26.70	90.0	24.03
01/10/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
01/09/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	90.0	4.50
01/10/2017	FORTE, ANTHONY	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	4.25	0.00	4.25	90.0	3.83
01/17/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	109.00	3.00	0.00	3.00	90.0	2.70
01/17/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
01/17/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
01/17/2017	RAABE, MARIANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	7.50	0.00	7.50	90.0	6.75
01/20/2017	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
01/20/2017	WILBANKS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	175.00	7.44	0.00	7.44	90.0	6.70
01/20/2017	GALENTINE, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	416.00	15.60	0.00	15.60	90.0	14.04

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/09/2017	BURNS, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	5.84	0.09	0.00	0.09	90.0	0.08
01/17/2017	BURNS, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	19.47	0.31	0.00	0.31	90.0	0.28
01/17/2017	BURNS, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	19.47	0.31	0.00	0.31	90.0	0.28
01/17/2017	BURNS, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	5.84	0.09	0.00	0.09	90.0	0.08
01/10/2017	WEAVER, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
01/09/2017	BURNS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	5.84	0.09	0.00	0.09	90.0	0.08
01/09/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
01/10/2017	WEAVER, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
01/10/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
01/10/2017	FORTE, ANTHONY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
01/17/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	89.00	2.45	0.00	2.45	90.0	2.21
01/17/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
01/17/2017	BURNS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.47	0.31	0.00	0.31	90.0	0.28
01/17/2017	BURNS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.47	0.31	0.00	0.31	90.0	0.28
01/17/2017	BURNS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	5.84	0.09	0.00	0.09	90.0	0.08
01/17/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
01/20/2017	WILBANKS, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	175.00	7.44	0.00	7.44	90.0	6.70
01/17/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
01/10/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2017	WEAVER, JEFFREY	AM FUNDS INCOME FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
01/09/2017	BURNS, MICHELLE	AM FUNDS NEW ECONOMY A	6.02	0.10	0.00	0.10	90.0	0.09
01/17/2017	BURNS, MICHELLE	AM FUNDS NEW ECONOMY A	20.06	0.32	0.00	0.32	90.0	0.29
01/17/2017	BURNS, MICHELLE	AM FUNDS NEW ECONOMY A	20.06	0.32	0.00	0.32	90.0	0.29
01/17/2017	BURNS, MICHELLE	AM FUNDS NEW ECONOMY A	6.02	0.10	0.00	0.10	90.0	0.09
01/10/2017	WEAVER, JEFFREY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2017	WEAVER, JEFFREY	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2017	GRIME, STEPHEN	AM FUNDS NEW WORLD A	100.00	3.75	0.00	3.75	90.0	3.38
01/10/2017	FORTE, ANTHONY	AM FUNDS NEW WORLD A	100.00	4.25	0.00	4.25	90.0	3.83
01/17/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
01/17/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2017	WEAVER, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
01/17/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.38	0.00	1.38	90.0	1.24
01/13/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
01/13/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
/ /	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	0.00	0.50	0.00	0.50	90.0	0.45
01/13/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75
01/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25
01/13/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
01/13/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
01/13/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	7.50	0.00	7.50	90.0	6.75
12/31/2016	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.13	0.00	0.13	90.0	0.12
12/31/2016	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.47	0.00	0.47	90.0	0.42
01/03/2017	JACKSON, BRENDA	DAVIS NEW YORK VENTURE A	0.00	3.33	0.00	3.33	90.0	3.00
01/12/2017	ELLIOTT, RANDALL	FIDELITY MAGELLAN FUND	-20,000.00	25.00	22.00	3.00	90.0	2.70
01/01/2017	WILBANKS, GREGORY	INVESCO AMERICAN FRANCHISE A	0.00	0.01	0.00	0.01	90.0	0.01
01/01/2017	MCBRIDE, KEITH	INVESCO AMERICAN FRANCHISE A	0.00	5.83	0.00	5.83	90.0	5.25
01/01/2017	DILLIE, KYLE	INVESCO AMERICAN FRANCHISE A	0.00	0.12	0.00	0.12	90.0	0.11

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/01/2017	DILLIE, KENNETH	INVESCO AMERICAN FRANCHISE A	0.00	48.98	0.00	48.98	90.0	44.08
01/01/2017	MOELLERING, STEVEN	INVESCO AMERICAN FRANCHISE A	0.00	3.29	0.00	3.29	90.0	2.96
01/01/2017	ELLIOTT, RANDALL	INVESCO AMERICAN FRANCHISE C	0.00	13.70	0.00	13.70	90.0	12.33
01/01/2017	WILBANKS, GREGORY	INVESCO AMERICAN FRANCHISE FUND CL-	0.00	2.16	0.00	2.16	90.0	1.94
01/01/2017	MOELLERING, STEVEN	INVESCO AMERICAN VALUE A	0.00	3.42	0.00	3.42	90.0	3.08
01/01/2017	ELLIOTT, RANDALL	INVESCO BALANCED-RISK RTRMNT 2020 C	0.00	12.97	0.00	12.97	90.0	11.67
01/01/2017	WILBANKS, GREGORY	INVESCO CHARTER FUND B	0.00	1.86	0.00	1.86	90.0	1.67
01/01/2017	WILBANKS, GREGORY	INVESCO DEIVERSIFIED DIVIDEND FD CL	0.00	2.16	0.00	2.16	90.0	1.94
01/01/2017	ELLIOTT, RANDALL	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	14.26	0.00	14.26	90.0	12.83
01/01/2017	WILBANKS, GREGORY	INVESCO DIVIDEND INCOME FUND B	0.00	1.32	0.00	1.32	90.0	1.19
01/01/2017	ELLIOTT, RANDALL	INVESCO EMERGING MARKETS EQTY FD CL	0.00	4.93	0.00	4.93	90.0	4.44
01/01/2017	WILBANKS, GREGORY	INVESCO ENERGY FUND B	0.00	0.78	0.00	0.78	90.0	0.70
01/01/2017	ELLIOTT, RANDALL	INVESCO FLOATING RATE FD-CL C	0.00	5.01	0.00	5.01	90.0	4.51
01/01/2017	ELLIOTT, RANDALL	INVESCO GBL LOW VOLATILITY EQTY YLD	0.00	7.15	0.00	7.15	90.0	6.44
01/01/2017	MCBRIDE, KEITH	INVESCO GBL SMALL & MID CAP GROWTH	0.00	8.78	0.00	8.78	90.0	7.90
01/01/2017	WILBANKS, GREGORY	INVESCO INTERNATIONAL ALLOCATION -	0.00	1.47	0.00	1.47	90.0	1.32
01/01/2017	ELLIOTT, RANDALL	INVESCO INTERNATIONAL TOTAL RETURN	0.00	5.66	0.00	5.66	90.0	5.09
01/01/2017	WILBANKS, GREGORY	INVESCO MODERATE ALLOCATION B	0.00	2.06	0.00	2.06	90.0	1.85
01/01/2017	ELLIOTT, RANDALL	INVESCO US GOVERNMENT FD CL C	0.00	5.75	0.00	5.75	90.0	5.18
01/05/2017	ALANZA, SARAH	MAINSTAY BALANCED FUND INVESTOR CLA	0.00	4.37	0.00	4.37	90.0	3.93
01/05/2017	WILLIAMS, BARBARA	MAINSTAY HIGH YIELD CORP BOND INVES	0.00	0.69	0.00	0.69	90.0	0.62
01/05/2017	ALANZA, SARAH	MAINSTAY ICAP SELECT EQ INVESTORS	0.00	4.22	0.00	4.22	90.0	3.80
01/05/2017	ALANZA, SARAH	MAINSTAY INTRNTL EQTY INVESTOR CLAS	0.00	3.46	0.00	3.46	90.0	3.11
01/05/2017	ALANZA, SARAH	MAINSTAY LRG CAP GROWTH INVESTOR CL	0.00	7.54	0.00	7.54	90.0	6.79
01/05/2017	ALANZA, SARAH	MAINSTAY MAP INVESTOR CLASS	0.00	2.39	0.00	2.39	90.0	2.15
01/05/2017	ALANZA, SARAH	MAINSTAY US SMALL CAP INV	0.00	0.92	0.00	0.92	90.0	0.83
01/11/2017	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	350.00	3.50	0.00	3.50	90.0	3.15
01/11/2017	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	150.00	1.50	0.00	1.50	90.0	1.35
01/11/2017	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	250.00	2.50	0.00	2.50	90.0	2.25
01/11/2017	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	450.00	4.50	0.00	4.50	90.0	4.05
01/12/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	-10,000.00	0.00	0.00	0.00	90.0	0.00
01/17/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
01/17/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
01/12/2017	ELLIOTT, RANDALL	VANGUARD BALANCED INDEX FUND ADMIRA	20,000.00	25.00	22.00	3.00	90.0	2.70
* MUTUAL FUNDS SUBTOTAL *			\$-3,065.24	\$494.88	\$90.00	\$404.88		\$364.48
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/12/2017	ELLIOTT, RANDALL	ANTHEM INC COM	-5,166.14	40.00	22.50	17.50	90.0	15.75
01/12/2017	ELLIOTT, RANDALL	PROCTER & GAMBLE CO COM	5,021.88	40.00	22.50	17.50	90.0	15.75
* STOCKS SUBTOTAL *			\$-144.26	\$80.00	\$45.00	\$35.00		\$31.50
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$1.00	\$0.00	\$1.00		\$0.90
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2016	LAYMAN, VARIOUS	DREYFUS	0.00	7.79	0.00	7.79	90.0	7.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.79	\$0.00	\$7.79		\$7.01
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/11/2017	SIEBER, PAUL	ING ESII	0.00	69.23	0.00	69.23	90.0	62.31
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$69.23	\$0.00	\$69.23		\$62.31
*** BROKER TRANSACTIONS TOTAL ***			\$-3,159.50	\$660.65	\$135.00	\$525.65		\$473.18

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

01/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
01/18/2017	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	325.00	5.68	7.70	-2.02	90.0	-1.82
01/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
01/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
01/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	3.06	0.00	3.06	90.0	2.75
01/13/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.84	0.00	0.84	90.0	0.76
01/13/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
01/13/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
01/13/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
01/13/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
01/13/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
01/13/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
01/13/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
01/13/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
12/31/2016	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.61	0.00	15.61	90.0	14.05
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,630.00	\$42.50	\$7.70	\$34.80		\$31.32
*** SPLIT TRANSACTIONS TOTAL ***			\$1,630.00	\$42.50	\$7.70	\$34.80		\$31.32

**BROKER COMMISSION TOTALS**

Broker Transactions	\$473.18
Split Transactions	\$31.32
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$504.50
Year-to-date Gross	\$2,820.50
Year-to-date Earnings	\$2,312.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed