

**COMMISSION STATEMENT****March 31, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|---------------------|-------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 02/27/2017 | PICCIANO, DEAN | PACIFIC FINANCIAL | 111,050.25 | 82.42 | 0.00 | 82.42 | 90.0 | 74.18 |
| 03/22/2017 | PICCIANO, DEAN | PACIFIC FINANCIAL | 113,513.19 | 76.09 | 0.00 | 76.09 | 90.0 | 68.48 |
| 03/08/2017 | FREISTROFFER, HENRY | TD AMERITRADE | 500,000.00 | 338.36 | 0.00 | 338.36 | 90.0 | 304.52 |
| * RIA SUBTOTAL * | | | \$724,563.44 | \$496.87 | \$0.00 | \$496.87 | | \$447.18 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$724,563.44 | \$496.87 | \$0.00 | \$496.87 | | \$447.18 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$447.18 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$447.18 |
| Year-to-date Gross | \$1,047.74 |
| Year-to-date Earnings | \$942.96 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed