



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2018

07/16/2018
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JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.63	0.00	1.63	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.63	0.00	1.63	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	3.00	0.00	3.00	0.0	0.00
07/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	3.00	0.00	3.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.85	0.00	3.85	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.60	0.00	3.60	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	120.00	13.58	0.00	13.58	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.73	0.00	0.73	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	1.50	0.00	1.50	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.36	0.00	3.36	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.86	0.00	1.86	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.75	0.00	3.75	0.0	0.00
* 529 PLANS SUBTOTAL *			\$320.00	\$41.49	\$0.00	\$41.49		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/18/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$120.00	\$1.20	\$0.00	\$1.20		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	0.00	68.86	0.00	68.86	0.0	0.00
06/29/2018	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	0.00	58.38	0.00	58.38	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$127.24	\$0.00	\$127.24		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$440.00	\$169.93	\$0.00	\$169.93		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$3,145.99
Year-to-date Earnings	\$0.00