

## COMMISSION STATEMENT

February 28, 2018

JOHN KILLEEN  
306 SCHUNNEMUNK STREETBROKER #: 43  
BRANCH: HOME OFFICE

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/01/2018	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
02/01/2018	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
02/01/2018	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
02/01/2018	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
02/01/2018	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
02/14/2018	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
02/14/2018	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
02/14/2018	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
02/14/2018	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/01/2018	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
02/01/2018	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
02/14/2018	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
02/14/2018	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
02/01/2018	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	72.00	0.36	0.00	0.36	86.0	0.31
02/01/2018	00061736608	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	72.00	0.36	0.00	0.36	86.0	0.31
02/01/2018	00061736608	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	96.00	0.48	0.00	0.48	86.0	0.41
02/14/2018	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
02/14/2018	00061736608	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
02/14/2018	00061736608	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
02/01/2018	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/01/2018	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/14/2018	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/14/2018	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/01/2018	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/01/2018	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
02/01/2018	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
02/14/2018	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/14/2018	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
02/14/2018	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
02/01/2018	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45	86.0	0.39

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/01/2018	00062829276	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
02/01/2018	00062829276	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
02/01/2018	00062829276	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
02/14/2018	00062829276	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
02/14/2018	00062829276	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
02/14/2018	00062829276	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
02/14/2018	00062829276	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
02/01/2018	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
02/01/2018	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
02/01/2018	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
02/14/2018	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
02/14/2018	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
02/14/2018	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
02/01/2018	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/01/2018	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
02/01/2018	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/01/2018	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/14/2018	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/14/2018	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/14/2018	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
02/14/2018	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/14/2018	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/01/2018	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
02/01/2018	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/01/2018	00063362311	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	00063362311	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/01/2018	00063362311	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
02/14/2018	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
02/14/2018	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/14/2018	00063362311	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/14/2018	00063362311	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/14/2018	00063362311	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
02/01/2018	00063795979	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
02/01/2018	00063795979	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
02/01/2018	00063795979	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
02/14/2018	00063795979	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
02/14/2018	00063795979	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
02/14/2018	00063795979	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
02/01/2018	00064217122	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41 86.0 0.35

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	00064217122	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17 86.0 0.15
02/01/2018	00064217122	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25 86.0 0.22
02/14/2018	00064217122	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41 86.0 0.35
02/14/2018	00064217122	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17 86.0 0.15
02/14/2018	00064217122	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25 86.0 0.22
02/01/2018	00064217123	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33 86.0 0.28
02/01/2018	00064217123	HEGARTY, EILEEN		NEW WORLD FUND-A	B	65.00	0.33	0.00	0.33 86.0 0.28
02/01/2018	00064217123	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33 86.0 0.28
02/01/2018	00064217123	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33 86.0 0.28
02/01/2018	00064217123	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33 86.0 0.28
02/14/2018	00064217123	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
02/14/2018	00064217123	HEGARTY, EILEEN		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
02/14/2018	00064217123	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
02/14/2018	00064217123	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
02/14/2018	00064217123	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
01/29/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
01/29/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
01/29/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
01/29/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
01/29/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
01/29/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
02/05/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
02/05/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
02/05/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
02/05/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
02/05/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
02/05/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
02/12/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37 86.0 0.32
02/12/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41 86.0 0.35
02/12/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49 86.0 0.42
02/12/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55 86.0 0.47
02/12/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37 86.0 0.32
02/12/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41 86.0 0.35
02/01/2018	00068080343	HEGARTY, EILEEN		AMCAP FUND-A	B	102.09	0.51	0.00	0.51 86.0 0.44
02/01/2018	00068080343	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	00068080343	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55 86.0 2.19
02/01/2018	00068080343	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	00068080343	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
02/14/2018	00068080343	HEGARTY, EILEEN		AMCAP FUND-A	B	102.09	0.51	0.00	0.51 86.0 0.44
02/14/2018	00068080343	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/14/2018	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
02/14/2018	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
02/01/2018	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	125.05	0.63	0.00	0.63	86.0	0.54
02/01/2018	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	125.05	0.63	0.00	0.63	86.0	0.54
02/14/2018	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62	86.0	0.53
02/14/2018	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62	86.0	0.53
02/01/2018	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.07
02/01/2018	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.15
02/01/2018	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
02/01/2018	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
02/01/2018	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.22
02/14/2018	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	19.48	0.10	0.00	0.10	86.0	0.09
02/14/2018	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19	86.0	0.16
02/14/2018	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
02/14/2018	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19	86.0	0.16
02/14/2018	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29	86.0	0.25
02/01/2018	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	31.97	0.16	0.00	0.16	86.0	0.14
02/01/2018	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14	86.0	0.12
02/01/2018	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37	86.0	0.32
02/01/2018	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27	86.0	0.23
02/01/2018	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.25
02/01/2018	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.29
02/14/2018	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	36.97	0.18	0.00	0.18	86.0	0.15
02/14/2018	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17	86.0	0.15
02/14/2018	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43	86.0	0.37
02/14/2018	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31	86.0	0.27
02/14/2018	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33	86.0	0.28
02/14/2018	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39	86.0	0.34
02/01/2018	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.32
02/01/2018	00070004361	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19	86.0	0.16
02/01/2018	00070004361	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18	86.0	0.15
02/01/2018	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
02/14/2018	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.32
02/14/2018	00070004361	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19	86.0	0.16
02/14/2018	00070004361	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18	86.0	0.15
02/14/2018	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
02/01/2018	00070005362	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	5.08	0.03	0.00	0.03	86.0	0.03
02/01/2018	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	10.17	0.05	0.00	0.05	86.0	0.04
02/01/2018	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	15.25	0.08	0.00	0.08	86.0	0.07

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/01/2018	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	20.33	0.10	0.00	0.10	86.0	0.09
02/01/2018	00070005362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	10.17	0.05	0.00	0.05	86.0	0.04
02/14/2018	00070005362	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	4.36	0.02	0.00	0.02	86.0	0.02
02/14/2018	00070005362	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	8.71	0.04	0.00	0.04	86.0	0.03
02/14/2018	00070005362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	13.07	0.07	0.00	0.07	86.0	0.06
02/14/2018	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	17.43	0.09	0.00	0.09	86.0	0.08
02/14/2018	00070005362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	8.71	0.04	0.00	0.04	86.0	0.03
02/01/2018	00070005678	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	90.00	0.45	0.00	0.45	86.0	0.39
02/01/2018	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.65
02/01/2018	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	1.29
02/01/2018	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.26
02/14/2018	00070005678	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	90.00	0.45	0.00	0.45	86.0	0.39
02/14/2018	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	150.00	0.75	0.00	0.75	86.0	0.65
02/14/2018	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A B	300.00	1.50	0.00	1.50	86.0	1.29
02/14/2018	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	60.00	0.30	0.00	0.30	86.0	0.26
01/29/2018	00075952291	ZOTTOLA, MONICA		NEW PERSPECTIVE FUND-A B	100.00	2.75	0.00	2.75	86.0	2.37
01/29/2018	00075952291	ZOTTOLA, MONICA		THE GROWTH FUND OF AMERICA-A B	100.00	2.75	0.00	2.75	86.0	2.37
02/01/2018	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	20.00	0.10	0.00	0.10	86.0	0.09
02/01/2018	00086545644	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	15.00	0.08	0.00	0.08	86.0	0.07
02/01/2018	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	15.00	0.08	0.00	0.08	86.0	0.07
02/01/2018	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	15.00	0.08	0.00	0.08	86.0	0.07
02/01/2018	00086545644	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	20.00	0.10	0.00	0.10	86.0	0.09
02/01/2018	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	15.00	0.08	0.00	0.08	86.0	0.07
02/14/2018	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	25.00	0.13	0.00	0.13	86.0	0.11
02/14/2018	00086545644	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	18.75	0.09	0.00	0.09	86.0	0.08
02/14/2018	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A B	18.75	0.09	0.00	0.09	86.0	0.08
02/14/2018	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	18.75	0.09	0.00	0.09	86.0	0.08
02/14/2018	00086545644	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A B	25.00	0.13	0.00	0.13	86.0	0.11
02/14/2018	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A B	18.75	0.09	0.00	0.09	86.0	0.08
02/01/2018	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	770.84	3.85	0.00	3.85	86.0	3.31
02/14/2018	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A B	770.84	3.85	0.00	3.85	86.0	3.31
02/01/2018	00087384433	HEGARTY, EILEEN		AMCAP FUND-A B	408.34	2.04	0.00	2.04	86.0	1.75
02/01/2018	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
02/01/2018	00087384433	HEGARTY, EILEEN		NEW WORLD FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
02/01/2018	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88
02/01/2018	00087384433	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88
02/14/2018	00087384433	HEGARTY, EILEEN		AMCAP FUND-A B	408.34	2.04	0.00	2.04	86.0	1.75
02/14/2018	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	00087384433	HEGARTY, EILEEN		NEW WORLD FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/14/2018	00087384433	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
02/01/2018	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.26
02/01/2018	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22	86.0	0.19
02/01/2018	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.19
02/14/2018	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.26
02/14/2018	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22	86.0	0.19
02/14/2018	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.19
02/01/2018	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
02/14/2018	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
02/01/2018	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
02/01/2018	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
02/14/2018	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
02/14/2018	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
02/01/2018	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
02/01/2018	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
02/01/2018	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
02/14/2018	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
02/14/2018	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
02/14/2018	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
02/01/2018	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.22
02/01/2018	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.22
02/14/2018	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.22
02/14/2018	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.22
02/01/2018	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	49.70	0.25	0.00	0.25	86.0	0.22
02/01/2018	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.70	0.25	0.00	0.25	86.0	0.22
02/14/2018	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	49.70	0.25	0.00	0.25	86.0	0.22
02/14/2018	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.70	0.25	0.00	0.25	86.0	0.22
02/01/2018	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
02/01/2018	00087842379	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	675.00	3.38	0.00	3.38	86.0	2.91
02/14/2018	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
02/14/2018	00087842379	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	675.00	3.38	0.00	3.38	86.0	2.91
02/01/2018	00088877759	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	45.98	0.23	0.00	0.23	86.0	0.20
02/01/2018	00088877759	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	137.93	0.69	0.00	0.69	86.0	0.59
02/14/2018	00088877759	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20
02/14/2018	00088877759	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
02/01/2018	00089378227	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	25.86	0.13	0.00	0.13	86.0	0.11
02/01/2018	00089378227	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	8.63	0.04	0.00	0.04	86.0	0.03
02/01/2018	00089378227	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	17.24	0.09	0.00	0.09	86.0	0.08
02/01/2018	00089378227	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	17.24	0.09	0.00	0.09	86.0	0.08
02/01/2018	00089378227	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	17.24	0.09	0.00	0.09	86.0	0.08

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/14/2018	00089378227	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	30.17	0.15	0.00	0.15 86.0 0.13
02/14/2018	00089378227	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	10.05	0.05	0.00	0.05 86.0 0.04
02/14/2018	00089378227	HEGARTY, EILEEN		NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10 86.0 0.09
02/14/2018	00089378227	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10 86.0 0.09
02/14/2018	00089378227	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10 86.0 0.09
02/01/2018	00089378259	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.20
02/01/2018	00089378259	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.20
02/01/2018	00089378259	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.13
02/01/2018	00089378259	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
02/01/2018	00089378259	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
02/14/2018	00089378259	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.20
02/14/2018	00089378259	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.20
02/14/2018	00089378259	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.13
02/14/2018	00089378259	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
02/14/2018	00089378259	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
02/01/2018	00089378412	HEGARTY, EILEEN		AMCAP FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
02/01/2018	00089378412	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22 86.0 0.19
02/01/2018	00089378412	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27 86.0 0.23
02/01/2018	00089378412	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22 86.0 0.19
02/01/2018	00089378412	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
02/14/2018	00089378412	HEGARTY, EILEEN		AMCAP FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
02/14/2018	00089378412	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22 86.0 0.19
02/14/2018	00089378412	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27 86.0 0.23
02/14/2018	00089378412	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22 86.0 0.19
02/14/2018	00089378412	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
02/15/2018	00089545290	RONGA, DANIEL		THE GROWTH FUND OF AMERICA-A	B	200.00	7.50	0.00	7.50 86.0 6.45
02/01/2018	04000113881	VARIOUS, KILLEEN		AMCAP FUND-A	B	100.61	0.50	0.00	0.50 86.0 0.43
02/01/2018	04000113881	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	30.19	0.15	0.00	0.15 86.0 0.13
02/01/2018	04000113881	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	30.18	0.15	0.00	0.15 86.0 0.13
02/01/2018	04000113881	VARIOUS, KILLEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	40.24	0.20	0.00	0.20 86.0 0.17
02/14/2018	04000113881	VARIOUS, KILLEEN		AMCAP FUND-A	B	102.29	0.51	0.00	0.51 86.0 0.44
02/14/2018	04000113881	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	30.68	0.15	0.00	0.15 86.0 0.13
02/14/2018	04000113881	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	30.69	0.15	0.00	0.15 86.0 0.13
02/14/2018	04000113881	VARIOUS, KILLEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	40.91	0.20	0.00	0.20 86.0 0.17
02/01/2018	04000113984	VARIOUS, KILLEEN		AMCAP FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	04000113984	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	04000113984	VARIOUS, KILLEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	04000113984	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	04000113984	VARIOUS, KILLEEN		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
02/01/2018	04000113984	VARIOUS, KILLEEN		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
02/01/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
02/01/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/01/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/01/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
02/14/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
01/30/2018	04000232730	CASTRONOVO,							
		THE GROWTH FUND OF AMERICA-A	B	2,750.00	137.50	0.00	137.50	86.0	118.25
01/30/2018	04000232730	CASTRONOVO,							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	2,750.00	137.50	0.00	137.50	86.0	118.25
02/15/2018	04000292411	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
02/01/2018	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
02/01/2018	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
02/01/2018	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
02/01/2018	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
02/01/2018	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
02/14/2018	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
02/14/2018	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
02/14/2018	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
02/14/2018	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
02/14/2018	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
02/01/2018	04000635709	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
02/01/2018	04000635709	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
02/01/2018	04000635709	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	225.00	1.13	0.00	1.13	86.0	0.97
02/01/2018	04000635709	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	127.50	0.64	0.00	0.64	86.0	0.55
02/01/2018	04000635709	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	135.00	0.68	0.00	0.68	86.0	0.58
02/01/2018	04000635709	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	112.50	0.56	0.00	0.56	86.0	0.48
02/14/2018	04000635709	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	77.09	0.39	0.00	0.39	86.0	0.34
02/14/2018	04000635709	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
02/14/2018	04000635709	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	231.25	1.16	0.00	1.16	86.0	1.00
02/14/2018	04000635709	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	131.04	0.66	0.00	0.66	86.0	0.57
02/14/2018	04000635709	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	138.75	0.69	0.00	0.69	86.0	0.59
02/14/2018	04000635709	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	115.63	0.58	0.00	0.58	86.0	0.50
02/14/2018	04000772673	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/14/2018	04000772673	VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
02/14/2018	04000772673	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
02/14/2018	04000772673	VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
02/14/2018	04000772673	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
* MUTUAL FUNDS SUBTOTAL *					<u>\$41,906.42</u>	<u>\$478.74</u>	<u>\$0.00</u>	<u>\$478.74</u>		<u>\$411.96</u>

PRODUCT CATEGORY: MISCELLANEOUS

02/28/2018	VARIOUS, KILLEEN	INTEGRITY	B	1,452.15	1,452.15	0.00	1,452.15	86.0	1,248.85
02/28/2018	VARIOUS, KILLEEN	INTEGRITY	B	0.00	1,500.00	0.00	1,500.00	86.0	1,290.00
02/28/2018	VARIOUS, KILLEEN	NATIONAL INTEGRITY	B	0.00	2,417.31	0.00	2,417.31	86.0	2,078.89
02/28/2018	VARIOUS, KILLEEN	NATIONAL INTEGRITY	B	0.00	1,380.00	0.00	1,380.00	86.0	1,186.80
02/28/2018	VARIOUS, KILLEEN	NATIONWIDE	B	0.00	78.61	0.00	78.61	86.0	67.60
* MISCELLANEOUS SUBTOTAL *				<u>\$1,452.15</u>	<u>\$6,828.07</u>	<u>\$0.00</u>	<u>\$6,828.07</u>		<u>\$5,872.14</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$43,358.57</u>	<u>\$7,306.81</u>	<u>\$0.00</u>	<u>\$7,306.81</u>		<u>\$6,284.10</u>

ADJUSTMENTS

02/28/2018	E & O INSURANCE: E & O INSURANCE	0.00	0.00	0.00	0.00	-200.00
02/28/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
02/28/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
02/28/2018	OTHER: OTHER -SIPC AND FINRA	0.00	0.00	0.00	0.00	-25.07
02/28/2018	OTHER: VIRTRU	0.00	0.00	0.00	0.00	-7.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-260.07</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,284.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-260.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.60
SIPC Assessment	\$-1.20

<b>Check Amount</b>	<b>\$6,022.23</b>
<b>Year-to-date Earnings</b>	<b>\$10,070.48</b>