

STATEMENT

January 20, 2018

KEVIN BORAD
9560 Topanga Canyon Blvd Suite
SUITE 204
Chatsworth, CA 91311

BROKER #: 128
BRANCH:

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/15/2017	#000000000607349 DADHANIA,	FRANKLIN TEMPLETON FUNDS CALIF TAX	150.00	1.50	93.0	0.00	1.40	0.00	1.40
11/15/2017	#07050093000 PATELAUDRL	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
11/17/2017	#07050076150	PIMCO DIVIDEND AND INCOME BUILDER C	122.23	1.22	93.0	0.00	1.13	0.00	1.13
11/17/2017	#07050076154	PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
11/17/2017	#07050087106	PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	0.00	1.86
11/20/2017	#000000000017513 HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	55.00	0.55	93.0	0.00	0.51	0.00	0.51
11/20/2017	#000000000017537 HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	55.00	0.55	93.0	0.00	0.51	0.00	0.51
11/20/2017	#0000000000654107 HUSSAMARV	FRANKLIN MUTUAL EUROPEAN FUND CLASS	275.00	2.75	93.0	0.00	2.56	0.00	2.56
11/20/2017	#0000000000654133 HUSSAMARV	FRANKLIN MUTUAL EUROPEAN FUND CLASS	275.00	2.75	93.0	0.00	2.56	0.00	2.56
11/20/2017	#0000000001483674 HUSSAMARV	FRANKLIN RISING DIVS FD CL C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
11/20/2017	#0000000001483813 HUSSAMARV	FRANKLIN RISING DIVS FD CL C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
11/20/2017	#00082242341 SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	0.00	2.33
11/20/2017	#00082242287 SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	0.00	2.33
11/20/2017	#000000000104947 HUSSAMARV	TEM EMERGING MARKETS SMALL CAP FD C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
11/20/2017	#000000000104974 HUSSAMARV	TEM EMERGING MARKETS SMALL CAP FD C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
11/20/2017	#0000000001725100 HUSSAMARV	TEMPLETON DEV MRKTS TR FUND CLASS C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
11/20/2017	#0000000001725124 HUSSAMARV	TEMPLETON DEV MRKTS TR FUND CLASS C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
11/20/2017	#000000000134813 HUSSASEHA	TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	0.00	10.23
11/20/2017	#000000000153130 HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	440.00	4.40	93.0	0.00	4.09	0.00	4.09
11/20/2017	#000000000153142 HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	440.00	4.40	93.0	0.00	4.09	0.00	4.09
11/20/2017	#00082242341 SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	0.00	1.84
11/20/2017	#00082242287 SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	0.00	1.84
11/20/2017	#00082242341 SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	0.00	2.33
11/20/2017	#00082242287 SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	0.00	2.33
12/01/2017	#0000000000603163 SHINDE,	FRANKLIN TEMPLETON FUNDS CALIF TAX	2,000.00	20.00	93.0	0.00	18.60	0.00	18.60
12/01/2017	#07050089069 PATELANIKN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
12/01/2017	#07050089071 PATELAMARN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
12/04/2017	#5296157570 LUNAGBHARR	BLCKRCK	0.00	5.08	93.0	0.00	4.72	0.00	4.72

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/07/2017	#07050076150	PIMCO DIVIDEND AND INCOME BUILDER C	269.75	2.70	93.0	0.00	2.51	0.00	2.51
12/07/2017	#07050076154	PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
12/07/2017	#07050010158 PATEL, VISHNU	PIMCO INCOME FUND CLASS C	100,000.00	1,000.00	93.0	0.00	930.00	0.00	930.00
12/07/2017	#07050087106	PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	0.00	1.86
12/11/2017	#0000000000016117	FRANKLIN INDIA GROWTH FUND - CLASS	500.00	5.00	93.0	0.00	4.65	0.00	4.65
12/11/2017	#0000000000811745	FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	0.00	4.65
12/11/2017	#0000000000648788	MUTUAL FINANCIAL SERVICES FUND-CLAS	500.00	5.00	93.0	0.00	4.65	0.00	4.65
12/12/2017	#00035828101 KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	500.00	5.00	93.0	0.00	4.65	0.00	4.65
12/12/2017	#00035828101 KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	100.20	1.00	93.0	0.00	0.93	0.00	0.93
12/12/2017	#00035830927 BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/12/2017	#00035830927 BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.00	0.52
12/12/2017	#00035830928 BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/12/2017	#00035830928 BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.00	0.52
12/14/2017	#81154080603 HUSSAIN	COLLEGEBOUND	0.00	15.00	93.0	0.00	13.95	0.00	13.95
12/15/2017	#0000000000607349 DADHANIA,	FRANKLIN TEMPLETON FUNDS CALIF TAX	150.00	1.50	93.0	0.00	1.40	0.00	1.40
12/15/2017	#07050093000 PATELAUDRL	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
12/19/2017	#00082242287 SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	0.00	2.33
12/19/2017	#00082242341 SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	0.00	2.33
12/19/2017	#00082242287 SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	0.00	1.84
12/19/2017	#00082242341 SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	0.00	1.84
12/19/2017	#00082242287 SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	0.00	2.33
12/19/2017	#00082242341 SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	0.00	2.33
12/20/2017	#0000000000017513 HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	55.00	0.55	93.0	0.00	0.51	0.00	0.51
12/20/2017	#0000000000017537 HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	55.00	0.55	93.0	0.00	0.51	0.00	0.51
12/20/2017	#0000000000654107 HUSSAMARV	FRANKLIN MUTUAL EUROPEAN FUND CLASS	275.00	2.75	93.0	0.00	2.56	0.00	2.56
12/20/2017	#0000000000654133 HUSSAMARV	FRANKLIN MUTUAL EUROPEAN FUND CLASS	275.00	2.75	93.0	0.00	2.56	0.00	2.56
12/20/2017	#0000000001483674 HUSSAMARV	FRANKLIN RISING DIVS FD CL C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
12/20/2017	#0000000001483813 HUSSAMARV	FRANKLIN RISING DIVS FD CL C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
12/20/2017	#07050076150	PIMCO DIVIDEND AND INCOME BUILDER C	136.03	1.36	93.0	0.00	1.26	0.00	1.26
12/20/2017	#07050076154	PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
12/20/2017	#07050087106	PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	0.00	1.86
12/20/2017	#000000000104947 HUSSAMARV	TEM EMERGING MARKETS SMALL CAP FD C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
12/20/2017	#000000000104974 HUSSAMARV	TEM EMERGING MARKETS SMALL CAP FD C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
12/20/2017	#0000000001725100 HUSSAMARV	TEMPLETON DEV MRKTS TR FUND CLASS C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
12/20/2017	#0000000001725124 HUSSAMARV	TEMPLETON DEV MRKTS TR FUND CLASS C	110.00	1.10	93.0	0.00	1.02	0.00	1.02
12/20/2017	#000000000134813 HUSSASEHA	TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	0.00	10.23

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/20/2017	#000000000153130	HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	440.00	4.40	93.0	0.00	4.09	4.09
12/20/2017	#000000000153142	HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	440.00	4.40	93.0	0.00	4.09	4.09
12/22/2017	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	67.67	0.68	93.0	0.00	0.63	0.63
12/22/2017	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	155.04	2.71	93.0	0.00	2.52	2.52
12/22/2017	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	100.77	1.76	93.0	0.00	1.64	1.64
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	28.00	0.28	93.0	0.00	0.26	0.26
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	13.33	0.13	93.0	0.00	0.12	0.12
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	28.56	0.29	93.0	0.00	0.27	0.27
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	60.00	0.60	93.0	0.00	0.56	0.56
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	60.00	0.60	93.0	0.00	0.56	0.56
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	28.56	0.29	93.0	0.00	0.27	0.27
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	28.00	0.28	93.0	0.00	0.26	0.26
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	13.33	0.13	93.0	0.00	0.12	0.12
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	15.23	0.15	93.0	0.00	0.14	0.14
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	32.00	0.32	93.0	0.00	0.30	0.30
12/22/2017	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	173.08	1.73	93.0	0.00	1.61	1.61
12/22/2017	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	288.46	2.88	93.0	0.00	2.68	2.68
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	15.23	0.15	93.0	0.00	0.14	0.14
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	32.00	0.32	93.0	0.00	0.30	0.30
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	28.56	0.29	93.0	0.00	0.27	0.27
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	60.00	0.60	93.0	0.00	0.56	0.56
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	7.62	0.08	93.0	0.00	0.07	0.07
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	16.00	0.16	93.0	0.00	0.15	0.15
12/22/2017	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	155.05	2.71	93.0	0.00	2.52	2.52
12/22/2017	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	100.77	1.76	93.0	0.00	1.64	1.64
12/22/2017	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	155.05	2.71	93.0	0.00	2.52	2.52
12/22/2017	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	100.77	1.76	93.0	0.00	1.64	1.64
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	17.13	0.17	93.0	0.00	0.16	0.16
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	36.00	0.36	93.0	0.00	0.33	0.33
12/22/2017	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	155.05	2.71	93.0	0.00	2.52	2.52
12/22/2017	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	100.77	1.76	93.0	0.00	1.64	1.64
12/22/2017	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	65.68	0.66	93.0	0.00	0.61	0.61
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	28.00	0.28	93.0	0.00	0.26	0.26
12/22/2017	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	13.31	0.13	93.0	0.00	0.12	0.12
12/22/2017	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	65.69	0.66	93.0	0.00	0.61	0.61
12/22/2017	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	20.00	0.20	93.0	0.00	0.19	0.19

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/22/2017	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	9.52	0.10	93.0	0.00	0.09	0.00	0.09
12/26/2017	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	100.20	1.00	93.0	0.00	0.93	0.00	0.93
12/26/2017	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	500.00	5.00	93.0	0.00	4.65	0.00	4.65
12/26/2017	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.00	0.52
12/26/2017	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/26/2017	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/26/2017	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.00	0.52
			* MUTUAL FUNDS SUBTOTAL *	\$116,927.64	\$1,217.00		\$0.00	\$1,131.75	\$0.00	\$1,131.75
PRODUCT CATEGORY: STOCKS										
11/29/2017	#	SHARMA, NEELAM	GUGGENHEIM STRATEGIC OPPORTUNITIES	12,420.00	0.00	85.0	0.00	0.00	19.95	-19.95
12/18/2017	#	MORE, PRATAP	MFS INTERMEDIATE INCOME TRUST SH BE	3,105.00	0.00	85.0	0.00	0.00	19.95	-19.95
12/21/2017	#	SHINDE, ARUNA	FRANKLIN LIMITED DURATION INCOME TR	79,978.14	1,660.00	85.0	0.00	1,411.00	19.95	1,391.05
12/21/2017	#	SHINDE, ARUNA	PIMCO CORPORATE & INCOME OPPORTUNIT	79,426.60	1,660.00	85.0	0.00	1,411.00	19.95	1,391.05
12/21/2017	#	SHINDE, ARUNA	PIMCO CORPORATE & INCOME STRATEGY F	81,771.00	1,660.00	85.0	0.00	1,411.00	19.95	1,391.05
12/21/2017	#	SHINDE, ARUNA	VIRTUS GLOBAL MULTI SECTOR INCOME F	81,027.33	1,660.00	85.0	0.00	1,411.00	19.95	1,391.05
12/26/2017	#	SHINDE, ARUNA	GUGGENHEIM STRATEGIC OPPORTUNITIES	81,375.10	1,710.00	85.0	0.00	1,453.50	19.95	1,433.55
			* STOCKS SUBTOTAL *	\$419,103.17	\$8,350.00		\$0.00	\$7,097.50	\$139.65	\$6,957.85
PRODUCT CATEGORY: VARIABLE ANNUITIES										
11/27/2017	#C1660160X	OZA, IKSHWAKU	VOYA FINANCIAL	0.00	22.31	93.0	0.00	20.75	0.00	20.75
12/07/2017	#1014537690		PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	0.00	20.93
			* VARIABLE ANNUITIES SUBTOTAL *	\$300.00	\$44.81		\$0.00	\$41.68	\$0.00	\$41.68
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	#00068605818	ITURRIAGA,	CAPITAL INCOME BUILDER FD CL A	0.00	6.13	93.0	0.00	5.70	0.00	5.70
12/01/2017	#00082334433	PATEL, SUMIT	GROWTH AND INCOME PORTFOLIO-529C	0.00	20.97	93.0	0.00	19.50	0.00	19.50
12/01/2017	#00082334771	PATEL, SUMIT	GROWTH AND INCOME PORTFOLIO-529C	0.00	20.97	93.0	0.00	19.50	0.00	19.50
12/01/2017	#00082334433	PATEL, SUMIT	INCOME PORTFOLIO-529C	0.00	11.72	93.0	0.00	10.90	0.00	10.90
12/01/2017	#00082334771	PATEL, SUMIT	INCOME PORTFOLIO-529C	0.00	11.72	93.0	0.00	10.90	0.00	10.90
12/01/2017	#00082242287	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	0.00	9.03	93.0	0.00	8.40	0.00	8.40
12/01/2017	#00082242341	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	0.00	9.03	93.0	0.00	8.40	0.00	8.40
12/01/2017	#00082334433	PATEL, SUMIT	NEW PERSPECTIVE FUND-529A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/2017	#00082334771	PATEL, SUMIT	NEW PERSPECTIVE FUND-529A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/2017	#00082242287	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	0.00	5.80	93.0	0.00	5.39	0.00	5.39
12/01/2017	#00082242341	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	0.00	5.80	93.0	0.00	5.39	0.00	5.39
12/01/2017	#00082334433	PATEL, SUMIT	THE BOND FUND OF AMERICA-529A	0.00	0.12	93.0	0.00	0.11	0.00	0.11

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00082334771	PATEL, SUMIT	THE BOND FUND OF AMERICA-529A	0.00	0.12	93.0	0.00	0.11	0.11
12/01/2017	#00082242287	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	0.00	9.32	93.0	0.00	8.67	8.67
12/01/2017	#00082242341	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	0.00	9.32	93.0	0.00	8.67	8.67
12/01/2017	#00082334433	PATEL, SUMIT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.14	93.0	0.00	0.13	0.13
12/01/2017	#00082334771	PATEL, SUMIT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.14	93.0	0.00	0.13	0.13
12/11/2017	#	BHAKTA, CHHAGANBHAI	DAIN TRAILER	0.00	106.50	93.0	0.00	99.05	99.05
01/01/2018	#00060232989		MFS GROWTH FUND CL A	0.00	10.80	93.0	0.00	10.04	10.04
01/01/2018	#08191308832		MFS GROWTH FUND CL C	0.00	18.90	93.0	0.00	17.58	17.58
01/08/2018	#01482511647	VARIOUS BORAD	FA 529 INTERMED BOND PORT-CL A	0.00	0.06	93.0	0.00	0.06	0.06
01/08/2018	#01485867699	VARIOUS BORAD	FA MEGA CAP STOCK FUND	0.00	12.91	93.0	0.00	12.01	12.01
01/08/2018	#01485867731	VARIOUS BORAD	FA MEGA CAP STOCK FUND	0.00	13.21	93.0	0.00	12.29	12.29
01/08/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	0.00	25.98	93.0	0.00	24.16	24.16
01/08/2018	#01446368894	VARIOUS BORAD	FA TELECOMMUNICATIONS FUND	0.00	0.50	93.0	0.00	0.47	0.47
01/08/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	0.00	5.54	93.0	0.00	5.15	5.15
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	0.00	4.66	93.0	0.00	4.33	4.33
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	0.00	9.07	93.0	0.00	8.44	8.44
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	0.00	7.53	93.0	0.00	7.00	7.00
01/08/2018	#01488720515	VARIOUS BORAD	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.20	93.0	0.00	0.19	0.19
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	0.00	3.97	93.0	0.00	3.69	3.69
01/08/2018	#00625301718	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.01	93.0	0.00	0.01	0.01
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	3.84	93.0	0.00	3.57	3.57
01/08/2018	#01457114138	VARIOUS BORAD	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.22	93.0	0.00	0.20	0.20
01/08/2018	#01475089957	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	1.38	93.0	0.00	1.28	1.28
01/08/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	8.84	93.0	0.00	8.22	8.22
01/08/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	33.96	93.0	0.00	31.58	31.58
01/08/2018	#01446369207	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	16.20	93.0	0.00	15.07	15.07
01/08/2018	#01446369256	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	6.88	93.0	0.00	6.40	6.40
01/08/2018	#01446368852	VARIOUS BORAD	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	33.71	93.0	0.00	31.35	31.35
01/08/2018	#01467041008	VARIOUS BORAD	FIDELITY ADV GOLD-CL C	0.00	6.77	93.0	0.00	6.30	6.30
01/08/2018	#01477917494	VARIOUS BORAD	FIDELITY ADV GOLD-CL C	0.00	10.78	93.0	0.00	10.03	10.03
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	0.00	3.84	93.0	0.00	3.57	3.57
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	0.00	11.03	93.0	0.00	10.26	10.26
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	0.00	5.10	93.0	0.00	4.74	4.74
01/08/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.75	93.0	0.00	5.35	5.35
01/08/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	5.12	93.0	0.00	4.76	4.76
01/08/2018	#01439826940	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	8.04	93.0	0.00	7.48	7.48

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/08/2018	#01439826965	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.71	93.0	0.00	5.31	5.31
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.99	93.0	0.00	5.57	5.57
01/08/2018	#01457114138	VARIOUS BORAD	FIDELITY ADV TOTAL INTL EQUITY-CL C	0.00	3.81	93.0	0.00	3.54	3.54
01/08/2018	#01457114138	VARIOUS BORAD	FIDELITY ADV WORLDWIDE-CL C	0.00	11.94	93.0	0.00	11.10	11.10
01/08/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR DIVIDEND GROWTH	0.00	14.60	93.0	0.00	13.58	13.58
01/08/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	0.00	6.10	93.0	0.00	5.67	5.67
01/08/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKETS F	0.00	32.41	93.0	0.00	30.14	30.14
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	0.43	93.0	0.00	0.40	0.40
01/08/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	0.00	24.28	93.0	0.00	22.58	22.58
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	0.00	2.89	93.0	0.00	2.69	2.69
01/08/2018	#01488720515	VARIOUS BORAD	FIDELITY ADVISOR SER I LEVERAGED CO	0.00	0.19	93.0	0.00	0.18	0.18
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	0.36	93.0	0.00	0.33	0.33
01/08/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII TECHNOLOGY	0.00	0.71	93.0	0.00	0.66	0.66
01/08/2018	#01457786992	VARIOUS BORAD	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.31	93.0	0.00	0.29	0.29
01/08/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	0.00	22.69	93.0	0.00	21.10	21.10
01/08/2018	#01482544838	VARIOUS BORAD	FIDELITY TARGET DATE 2055	0.00	4.49	93.0	0.00	4.18	4.18
01/08/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	0.00	1.51	93.0	0.00	1.40	1.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$640.43	\$0.00	\$595.61	\$0.00	\$595.61	
*** BROKER TRANSACTIONS TOTAL ***			\$536,330.81	\$10,252.24	\$0.00	\$8,866.54	\$139.65	\$8,726.89	

ADJUSTMENTS

01/20/2018	ADMIN FEE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
01/20/2018	OTHER: COMMISSIONS MISSED \$8078.00	7,512.54
	GROSS 87705953 87705952 & 87705623	
*** ADJUSTMENTS TOTAL ***		\$7,013.54

BROKER COMMISSION TOTALS

Broker Transactions	\$8,726.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$7,013.54
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,740.43
---------------------	--------------------