



Concorde Investment Services, LLC

07/27/2017
Page No. 1

COMMISSION STATEMENT

July 31, 2017

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/14/2017	CFGROUP, VARIOUS	BLUEROCK TOTAL INC & RE FUND	0.00	251.77	0.00	251.77	95.0	239.18
07/17/2017	CANNER, JULIE	BR TOTAL INC & REAL ESTATE FUND C	10,000.00	100.00	0.00	100.00	95.0	95.00
06/30/2017	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	493.63	0.00	493.63	95.0	468.95
06/30/2017	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1,981.23	0.00	1,981.23	95.0	1,882.17
* INTERVAL FUNDS SUBTOTAL *			\$10,000.00	\$2,826.63	\$0.00	\$2,826.63		\$2,685.30
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/06/2017	ZERILLI, SALVATORE	ALGER CAPITAL APPRECIATION A	0.00	6.39	0.00	6.39	95.0	6.07
07/06/2017	COOPER, IAN	ALGER CAPITAL APPRECIATION A	0.00	26.08	0.00	26.08	95.0	24.78
07/06/2017	RICHARDS, JAKOB	ALGER CAPITAL APPRECIATION C	0.00	2.91	0.00	2.91	95.0	2.76
07/06/2017	RICHARDS, JAKOB	ALGER CAPITAL APPRECIATION C	0.00	0.98	0.00	0.98	95.0	0.93
07/06/2017	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	0.00	2.84	0.00	2.84	95.0	2.70
07/06/2017	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	0.00	1.02	0.00	1.02	95.0	0.97
07/06/2017	ZERILLI, SALVATORE	ALGER LARGECAP GROWTH A	0.00	2.85	0.00	2.85	95.0	2.71
07/06/2017	SEKULA, MICHAEL	ALGER LARGECAP GROWTH A	0.00	0.97	0.00	0.97	95.0	0.92
07/06/2017	SEKULA, MICHAEL	ALGER LARGECAP GROWTH A	0.00	0.97	0.00	0.97	95.0	0.92
07/06/2017	SEKULA, KRISTINA	ALGER LARGECAP GROWTH A	0.00	0.97	0.00	0.97	95.0	0.92
07/06/2017	SEGARRA, AMY	ALGER LARGECAP GROWTH A	0.00	0.03	0.00	0.03	95.0	0.03
07/06/2017	NEUER-MIHALAK, LORIE	ALGER LARGECAP GROWTH A	0.00	3.65	0.00	3.65	95.0	3.47
07/06/2017	BRUGLIO, JULIA	ALGER LARGECAP GROWTH A	0.00	0.04	0.00	0.04	95.0	0.04
07/06/2017	RICHARDS, JAKOB	ALGER LARGECAP GROWTH C	0.00	2.49	0.00	2.49	95.0	2.37
07/06/2017	RICHARDS, JAKOB	ALGER LARGECAP GROWTH C	0.00	0.91	0.00	0.91	95.0	0.86
07/06/2017	NEUER-MIHALAK, LORIE	ALGER MIDCAP GROWTH A	0.00	5.31	0.00	5.31	95.0	5.04
07/06/2017	MUNTEANU, RADU	ALGER MIDCAP GROWTH A	0.00	19.95	0.00	19.95	95.0	18.95
07/06/2017	MORSE, TRACY	ALGER MIDCAP GROWTH A	0.00	0.15	0.00	0.15	95.0	0.14
07/06/2017	NEUER-MIHALAK, LORIE	ALGER MIDCAP GROWTH B	0.00	0.86	0.00	0.86	95.0	0.82
07/06/2017	RICHARDS, JAKOB	ALGER MIDCAP GROWTH C	0.00	3.12	0.00	3.12	95.0	2.96

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/06/2017	RICHARDS, JAKOB	ALGER MIDCAP GROWTH C	0.00	1.04	0.00	1.04	95.0	0.99
07/06/2017	HUANG, CONNIE LING	ALGER SMALLCAP GROWTH A	0.00	0.37	0.00	0.37	95.0	0.35
07/05/2017	MIKLEVICH, MICHAEL	ALLIANZ NFJ MIDCAP VALUE C	0.00	42.45	0.00	42.45	95.0	40.33
07/05/2017	MIKLEVICH, MICHAEL	ALLIANZ NFJ MIDCAP VALUE C	0.00	116.88	0.00	116.88	95.0	111.04
07/21/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
07/21/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
07/21/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
07/21/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
07/10/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
07/21/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
07/21/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
07/21/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
07/21/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
07/10/2017	ROEHR, MICHAEL	AM FUNDS AMERICAN MUTUAL C	9,879.94	98.80	0.00	98.80	95.0	93.86
07/10/2017	CANNER, JULIE	AM FUNDS AMERICAN MUTUAL C	10,919.65	109.20	0.00	109.20	95.0	103.74
07/13/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	56.97	2.42	0.00	2.42	95.0	2.30
07/13/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	68.35	2.90	0.00	2.90	95.0	2.76
07/13/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
07/13/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
07/21/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	79.20	3.37	0.00	3.37	95.0	3.20
07/21/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	95.03	4.04	0.00	4.04	95.0	3.84
07/21/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
07/21/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
07/10/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
07/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
07/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
07/10/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
07/21/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
07/21/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
07/17/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
07/17/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA C	200.00	2.00	0.00	2.00	95.0	1.90
07/21/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
07/21/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/17/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
07/21/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
07/21/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
07/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
07/21/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
07/21/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
07/13/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	68.36	2.91	0.00	2.91	95.0	2.76
07/13/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	170.91	7.26	0.00	7.26	95.0	6.90
07/13/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
07/13/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
07/21/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	237.59	10.10	0.00	10.10	95.0	9.60
07/21/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	95.04	4.04	0.00	4.04	95.0	3.84
07/21/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
07/21/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
07/21/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
07/21/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
07/10/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
07/17/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
07/17/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
07/17/2017	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
07/17/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
07/21/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
07/21/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
07/03/2017	CFGROUP, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	68.09	0.00	68.09	95.0	64.69
07/10/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	100.00	0.50	0.00	0.50	95.0	0.48
07/10/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	100.00	1.00	0.00	1.00	95.0	0.95
07/17/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
07/17/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
07/03/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/03/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/17/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
/ /	SOLDAN-, MATTHEW	CALAMOS GROWTH & INCOME A	0.00	2.85	0.00	2.85	95.0	2.71
/ /	GRAVES, ANNE	CALAMOS GROWTH A	0.00	11.26	0.00	11.26	95.0	10.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SOLDAN-, MATTHEW	CALAMOS GROWTH A	0.00	2.60	0.00	2.60	95.0	2.47
/ /	SOBIESKI, JOYCE	CALAMOS GROWTH C	0.00	29.76	0.00	29.76	95.0	28.27
07/06/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	9.08	0.00	9.08	95.0	8.63
07/06/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.45	0.00	5.45	95.0	5.18
07/06/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	6.36	0.00	6.36	95.0	6.04
07/06/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	0.91	0.00	0.91	95.0	0.86
07/06/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	7.14	0.00	7.14	95.0	6.78
07/06/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	8.97	0.00	8.97	95.0	8.52
07/06/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.19	0.00	3.19	95.0	3.03
07/06/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.56	0.00	8.56	95.0	8.13
07/06/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.75	0.00	2.75	95.0	2.61
07/06/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.56	0.00	5.56	95.0	5.28
07/06/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.74	0.00	5.74	95.0	5.45
07/06/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.42	0.00	3.42	95.0	3.25
07/06/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	43.35	0.00	43.35	95.0	41.18
07/06/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	5.59	0.00	5.59	95.0	5.31
07/06/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	4.65	0.00	4.65	95.0	4.42
07/05/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
07/06/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	0.00	6.51	0.00	6.51	95.0	6.18
07/06/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
07/06/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
07/06/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
07/06/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
07/06/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.64	0.00	2.64	95.0	2.51
07/06/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
07/03/2017	VARTABEDIAN, ROBERT	DAVIS FINANCIAL A	0.00	6.15	0.00	6.15	95.0	5.84
07/03/2017	ROY, JACKIE	DAVIS FINANCIAL A	0.00	6.74	0.00	6.74	95.0	6.40
07/03/2017	ROY, LORAIN	DAVIS FINANCIAL A	0.00	4.05	0.00	4.05	95.0	3.85
07/03/2017	SZETO, CHOWN	DAVIS FINANCIAL A	0.00	1.44	0.00	1.44	95.0	1.37
07/03/2017	MICHON, ERIK	DAVIS FINANCIAL A	0.00	1.79	0.00	1.79	95.0	1.70
07/03/2017	ROCCA, HELEN	DAVIS FINANCIAL A	0.00	5.72	0.00	5.72	95.0	5.43
07/03/2017	ROCCA, JOHN	DAVIS FINANCIAL A	0.00	9.77	0.00	9.77	95.0	9.28
07/03/2017	SMITH, DENNIS	DAVIS FINANCIAL A	0.00	4.05	0.00	4.05	95.0	3.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	DUDZIK, ELZBIETA	DAVIS FINANCIAL A	0.00	3.77	0.00	3.77	95.0	3.58
07/03/2017	ROCCA, HELEN	DAVIS FINANCIAL A	0.00	4.36	0.00	4.36	95.0	4.14
07/03/2017	ROCCA, JOHN	DAVIS FINANCIAL A	0.00	0.74	0.00	0.74	95.0	0.70
07/03/2017	TSANGANOS, OURANIA	DAVIS GLOBAL A	0.00	14.57	0.00	14.57	95.0	13.84
07/03/2017	TSANGANOS, NICHOLAOS	DAVIS GLOBAL A	0.00	14.57	0.00	14.57	95.0	13.84
07/03/2017	SELVAGGIO, VINCENZA	DAVIS GLOBAL A	0.00	4.26	0.00	4.26	95.0	4.05
07/03/2017	FRAZE, BILL	DAVIS GLOBAL A	0.00	4.70	0.00	4.70	95.0	4.47
07/03/2017	VANDERMEER, BRETT	DAVIS GLOBAL A	0.00	8.42	0.00	8.42	95.0	8.00
07/03/2017	VANDERMEER, PAIGE	DAVIS GLOBAL A	0.00	8.42	0.00	8.42	95.0	8.00
07/03/2017	VARTABEDIAN, ROBERT	DAVIS GLOBAL A	0.00	5.16	0.00	5.16	95.0	4.90
07/03/2017	TSANGANOS, LEONIDAS	DAVIS GLOBAL A	0.00	9.33	0.00	9.33	95.0	8.86
07/03/2017	MITSOPOULOS, GEORGIA	DAVIS GLOBAL A	0.00	1.62	0.00	1.62	95.0	1.54
07/03/2017	MITSOPOULOS, GEORGIA	DAVIS GLOBAL A	0.00	2.03	0.00	2.03	95.0	1.93
07/03/2017	DUDZIK, ELZBIETA	DAVIS GLOBAL A	0.00	1.95	0.00	1.95	95.0	1.85
07/03/2017	TSANGANOS, LEONIDAS	DAVIS GLOBAL A	0.00	5.49	0.00	5.49	95.0	5.22
07/03/2017	SCHEFFLER, NEAL	DAVIS GLOBAL A	0.00	4.46	0.00	4.46	95.0	4.24
07/03/2017	PISTOLESI, LEIGH	DAVIS GLOBAL A	0.00	2.45	0.00	2.45	95.0	2.33
07/03/2017	PISTOLESI, VAUGHN	DAVIS GLOBAL A	0.00	9.02	0.00	9.02	95.0	8.57
07/03/2017	SMITH, AMELIA	DAVIS GLOBAL A	0.00	1.05	0.00	1.05	95.0	1.00
07/03/2017	KIRSCHLING UTMA-MI, ANNA	DAVIS GLOBAL A	0.00	0.94	0.00	0.94	95.0	0.89
07/03/2017	ROCCA, HELEN	DAVIS GLOBAL A	0.00	2.18	0.00	2.18	95.0	2.07
07/03/2017	DANNIS, DIANE	DAVIS GLOBAL A	0.00	3.20	0.00	3.20	95.0	3.04
07/03/2017	SELVAGGIO, STEVE	DAVIS GLOBAL A	0.00	2.57	0.00	2.57	95.0	2.44
07/03/2017	VANDERMEER, SANDRA	DAVIS GLOBAL A	0.00	3.91	0.00	3.91	95.0	3.71
07/03/2017	VARTABEDIAN, ROBERT	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	95.0	0.00
07/03/2017	ROCCA, HELEN	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	95.0	0.00
07/03/2017	ROCCA, JOHN	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	95.0	0.00
07/03/2017	HARRIS TRUST, JACOB	DAVIS NEW YORK VENTURE A	0.00	2.64	0.00	2.64	95.0	2.51
07/03/2017	PAPPAS, LARRY	DAVIS NEW YORK VENTURE A	0.00	134.49	0.00	134.49	95.0	127.77
07/03/2017	POLYZOIS, ALEX	DAVIS NEW YORK VENTURE A	0.00	4.66	0.00	4.66	95.0	4.43
07/03/2017	MURPHY, SEAN	DAVIS NEW YORK VENTURE A	0.00	15.87	0.00	15.87	95.0	15.08
07/03/2017	LUSK, AMY	DAVIS NEW YORK VENTURE A	0.00	3.62	0.00	3.62	95.0	3.44
07/03/2017	COMAIANNI, KATHLEEN	DAVIS NEW YORK VENTURE A	0.00	16.18	0.00	16.18	95.0	15.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	PESSINA, GAIL	DAVIS NEW YORK VENTURE A	0.00	74.12	0.00	74.12	95.0	70.41
07/03/2017	VARTABEDIAN, MARGARET	DAVIS NEW YORK VENTURE A	0.00	10.80	0.00	10.80	95.0	10.26
07/03/2017	TSEREGOUNIS, SPYROS	DAVIS NEW YORK VENTURE A	0.00	55.02	0.00	55.02	95.0	52.27
07/03/2017	CIAGLO, BETTY	DAVIS NEW YORK VENTURE A	0.00	87.43	0.00	87.43	95.0	83.06
07/03/2017	ANASTASIOU, BASILE	DAVIS NEW YORK VENTURE A	0.00	8.86	0.00	8.86	95.0	8.42
07/03/2017	ARVANT, PETER	DAVIS NEW YORK VENTURE A	0.00	174.99	0.00	174.99	95.0	166.24
07/03/2017	VARTABEDIAN, ROBERT	DAVIS NEW YORK VENTURE A	0.00	6.16	0.00	6.16	95.0	5.85
07/03/2017	REYNOLDS, DONALD	DAVIS NEW YORK VENTURE A	0.00	62.35	0.00	62.35	95.0	59.23
07/03/2017	YET, CHARLES	DAVIS NEW YORK VENTURE A	0.00	4.13	0.00	4.13	95.0	3.92
07/03/2017	TSANGANOS, NICHOLAOS	DAVIS NEW YORK VENTURE A	0.00	55.02	0.00	55.02	95.0	52.27
07/03/2017	RUFFINO, ERNESTINE	DAVIS NEW YORK VENTURE A	0.00	41.91	0.00	41.91	95.0	39.81
07/03/2017	VARTABEDIAN, ARTHUR	DAVIS NEW YORK VENTURE A	0.00	4.13	0.00	4.13	95.0	3.92
07/03/2017	PESSINA, JAMES	DAVIS NEW YORK VENTURE A	0.00	0.43	0.00	0.43	95.0	0.41
07/03/2017	KNOWLES, SHANA	DAVIS NEW YORK VENTURE A	0.00	1.10	0.00	1.10	95.0	1.05
07/03/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE A	0.00	25.36	0.00	25.36	95.0	24.09
07/03/2017	PISTOLESI, LEIGH	DAVIS NEW YORK VENTURE A	0.00	2.66	0.00	2.66	95.0	2.53
07/03/2017	CISSELL, MICHELLE	DAVIS NEW YORK VENTURE A	0.00	19.04	0.00	19.04	95.0	18.09
07/03/2017	SUCHYTZ, DAVID	DAVIS NEW YORK VENTURE A	0.00	16.73	0.00	16.73	95.0	15.89
07/03/2017	ANDREAPOULOS, FOTINI	DAVIS NEW YORK VENTURE A	0.00	11.49	0.00	11.49	95.0	10.92
07/03/2017	ROY, JACKIE	DAVIS NEW YORK VENTURE A	0.00	5.00	0.00	5.00	95.0	4.75
07/03/2017	ROY, LORAINÉ	DAVIS NEW YORK VENTURE A	0.00	5.95	0.00	5.95	95.0	5.65
07/03/2017	SZETO, CHOWN	DAVIS NEW YORK VENTURE A	0.00	1.25	0.00	1.25	95.0	1.19
07/03/2017	DOUTSAS, FREIDA	DAVIS NEW YORK VENTURE A	0.00	51.26	0.00	51.26	95.0	48.70
07/03/2017	POTTS, JEFFERY	DAVIS NEW YORK VENTURE A	0.00	16.53	0.00	16.53	95.0	15.70
07/03/2017	SHERMAN, SCOTT	DAVIS NEW YORK VENTURE A	0.00	3.01	0.00	3.01	95.0	2.86
07/03/2017	MILLER-TAMM/AUTH PER, MARY	DAVIS NEW YORK VENTURE A	0.00	2.17	0.00	2.17	95.0	2.06
07/03/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	0.00	4.13	0.00	4.13	95.0	3.92
07/03/2017	CISSELL, EVAN	DAVIS NEW YORK VENTURE A	0.00	36.99	0.00	36.99	95.0	35.14
07/03/2017	GRUENBURG, ROY	DAVIS NEW YORK VENTURE A	0.00	29.15	0.00	29.15	95.0	27.69
07/03/2017	ROCCA, HELEN	DAVIS NEW YORK VENTURE A	0.00	19.02	0.00	19.02	95.0	18.07
07/03/2017	ROCCA, JOHN	DAVIS NEW YORK VENTURE A	0.00	24.38	0.00	24.38	95.0	23.16
07/03/2017	SELVAGGIO, VINCENZA	DAVIS NEW YORK VENTURE A	0.00	1.11	0.00	1.11	95.0	1.05
07/03/2017	TSANGANOS, LEONIDAS	DAVIS NEW YORK VENTURE A	0.00	10.78	0.00	10.78	95.0	10.24

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	SELVAGGIO, STEVE	DAVIS NEW YORK VENTURE A	0.00	1.17	0.00	1.17	95.0	1.11
07/03/2017	SMITH, DENNIS	DAVIS NEW YORK VENTURE A	0.00	4.15	0.00	4.15	95.0	3.94
07/03/2017	FRAZHO, JENNIFER	DAVIS NEW YORK VENTURE A	0.00	2.00	0.00	2.00	95.0	1.90
07/03/2017	TSOUKALAS, IOANNIS	DAVIS NEW YORK VENTURE A	0.00	3.72	0.00	3.72	95.0	3.53
07/03/2017	BECKER, ADRIANNA	DAVIS NEW YORK VENTURE A	0.00	0.97	0.00	0.97	95.0	0.92
07/03/2017	DUDZIK, ELZBIETA	DAVIS NEW YORK VENTURE A	0.00	24.28	0.00	24.28	95.0	23.07
07/03/2017	VARTABEDIAN, TED	DAVIS NEW YORK VENTURE A	0.00	35.39	0.00	35.39	95.0	33.62
07/03/2017	PAVLIK, SUZANNE	DAVIS NEW YORK VENTURE A	0.00	6.94	0.00	6.94	95.0	6.59
07/03/2017	KOECHIG, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.80	0.00	0.80	95.0	0.76
07/03/2017	RAPER, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	7.25	0.00	7.25	95.0	6.89
07/03/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE A	0.00	27.47	0.00	27.47	95.0	26.10
07/03/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE A	0.00	9.06	0.00	9.06	95.0	8.61
07/03/2017	SCHEFFLER, NEAL	DAVIS NEW YORK VENTURE A	0.00	29.59	0.00	29.59	95.0	28.11
07/03/2017	ZAWACKI, SANDY	DAVIS NEW YORK VENTURE A	0.00	71.72	0.00	71.72	95.0	68.13
07/03/2017	KEMPERMAN, MICHELLE	DAVIS NEW YORK VENTURE A	0.00	8.06	0.00	8.06	95.0	7.66
07/03/2017	SCULLY, KATHLEEN	DAVIS NEW YORK VENTURE A	0.00	12.72	0.00	12.72	95.0	12.08
07/03/2017	BROSHEAR, KARA	DAVIS NEW YORK VENTURE A	0.00	13.03	0.00	13.03	95.0	12.38
07/03/2017	BROSHEAR, MARK	DAVIS NEW YORK VENTURE A	0.00	14.58	0.00	14.58	95.0	13.85
07/03/2017	PETRO, PAUL	DAVIS NEW YORK VENTURE A	0.00	33.14	0.00	33.14	95.0	31.48
07/03/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE A	0.00	6.67	0.00	6.67	95.0	6.34
07/03/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE A	0.00	8.41	0.00	8.41	95.0	7.99
07/03/2017	KISH, VIVIAN	DAVIS NEW YORK VENTURE A	0.00	27.37	0.00	27.37	95.0	26.00
07/03/2017	KISH, VIVIAN	DAVIS NEW YORK VENTURE A	0.00	46.07	0.00	46.07	95.0	43.77
07/03/2017	LAPORTE, NATANIAL	DAVIS NEW YORK VENTURE A	0.00	2.21	0.00	2.21	95.0	2.10
07/03/2017	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	0.00	16.31	0.00	16.31	95.0	15.49
07/03/2017	GABRAIL, JALAL	DAVIS NEW YORK VENTURE A	0.00	2.68	0.00	2.68	95.0	2.55
07/03/2017	MANSOUR, EVA	DAVIS NEW YORK VENTURE A	0.00	1.28	0.00	1.28	95.0	1.22
07/03/2017	MOELLER, RICHARD	DAVIS NEW YORK VENTURE A	0.00	9.16	0.00	9.16	95.0	8.70
07/03/2017	NICOLOSI, ROSE	DAVIS NEW YORK VENTURE A	0.00	13.56	0.00	13.56	95.0	12.88
07/03/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE A	0.00	10.49	0.00	10.49	95.0	9.97
07/03/2017	MORELLI, MARY	DAVIS NEW YORK VENTURE A	0.00	114.99	0.00	114.99	95.0	109.24
07/03/2017	MORELLI, MARY	DAVIS NEW YORK VENTURE A	0.00	29.44	0.00	29.44	95.0	27.97
07/03/2017	ANDREOPOULOS, KOULA	DAVIS NEW YORK VENTURE A	0.00	22.68	0.00	22.68	95.0	21.55

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	BARBER, IAN	DAVIS NEW YORK VENTURE A	0.00	5.67	0.00	5.67	95.0	5.39
07/03/2017	BANKS, VICTORIA	DAVIS NEW YORK VENTURE A	0.00	17.01	0.00	17.01	95.0	16.16
07/03/2017	NIKOU, JIMMY	DAVIS NEW YORK VENTURE A	0.00	56.34	0.00	56.34	95.0	53.52
07/03/2017	ANASTASIOU, DESPINA	DAVIS NEW YORK VENTURE A	0.00	31.33	0.00	31.33	95.0	29.76
07/03/2017	ANASTASIOU, BASILE	DAVIS NEW YORK VENTURE A	0.00	64.96	0.00	64.96	95.0	61.71
07/03/2017	ALEXOPOULOS, PAUL	DAVIS NEW YORK VENTURE A	0.00	15.68	0.00	15.68	95.0	14.90
07/03/2017	NIKOU, JIMMY	DAVIS NEW YORK VENTURE A	0.00	67.31	0.00	67.31	95.0	63.94
07/03/2017	CISSELL, EVAN	DAVIS NEW YORK VENTURE A	0.00	17.00	0.00	17.00	95.0	16.15
07/03/2017	CISSELL, PATRICIA	DAVIS NEW YORK VENTURE A	0.00	86.51	0.00	86.51	95.0	82.18
07/03/2017	WALSH, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	2.44	0.00	2.44	95.0	2.32
07/03/2017	SUCHYTA, DENNIS	DAVIS NEW YORK VENTURE A	0.00	3.74	0.00	3.74	95.0	3.55
07/03/2017	TASCH, MARTIN	DAVIS NEW YORK VENTURE A	0.00	8.99	0.00	8.99	95.0	8.54
07/03/2017	ARVANT, PETER	DAVIS NEW YORK VENTURE A	0.00	145.14	0.00	145.14	95.0	137.88
07/03/2017	FRAZE, BILL	DAVIS NEW YORK VENTURE A	0.00	94.07	0.00	94.07	95.0	89.37
07/03/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	0.00	18.55	0.00	18.55	95.0	17.62
07/03/2017	HOWARD, LISA	DAVIS NEW YORK VENTURE A	0.00	6.67	0.00	6.67	95.0	6.34
07/03/2017	ROCCA, ALEX	DAVIS NEW YORK VENTURE A	0.00	2.38	0.00	2.38	95.0	2.26
07/03/2017	ROCCA, AARON	DAVIS NEW YORK VENTURE A	0.00	2.42	0.00	2.42	95.0	2.30
07/03/2017	ROCCA, JACQUELYN	DAVIS NEW YORK VENTURE A	0.00	2.37	0.00	2.37	95.0	2.25
07/03/2017	ROCCA, HELEN	DAVIS NEW YORK VENTURE A	0.00	9.20	0.00	9.20	95.0	8.74
07/03/2017	TSANGANOS, LABRENE	DAVIS NEW YORK VENTURE A	0.00	22.90	0.00	22.90	95.0	21.76
07/03/2017	PESSINA, GAIL	DAVIS NEW YORK VENTURE A	0.00	91.19	0.00	91.19	95.0	86.63
07/03/2017	MASTERSON, ANNA	DAVIS NEW YORK VENTURE A	0.00	0.78	0.00	0.78	95.0	0.74
07/03/2017	DOUTSAS, CHRIS	DAVIS NEW YORK VENTURE A	0.00	23.21	0.00	23.21	95.0	22.05
07/03/2017	REDDEN, TODD	DAVIS NEW YORK VENTURE A	0.00	3.68	0.00	3.68	95.0	3.50
07/03/2017	DOUMAS, IRENE	DAVIS NEW YORK VENTURE A	0.00	21.95	0.00	21.95	95.0	20.85
07/03/2017	DANNIS, DIANE	DAVIS NEW YORK VENTURE A	0.00	17.93	0.00	17.93	95.0	17.03
07/03/2017	OVERMYER, SUSAN	DAVIS NEW YORK VENTURE A	0.00	146.96	0.00	146.96	95.0	139.61
07/03/2017	GRUENER, ELEANOR	DAVIS NEW YORK VENTURE A	0.00	89.59	0.00	89.59	95.0	85.11
07/03/2017	DAVIS, JAMES	DAVIS NEW YORK VENTURE A	0.00	23.06	0.00	23.06	95.0	21.91
07/03/2017	KOPRINCE, RYAN	DAVIS NEW YORK VENTURE A	0.00	1.55	0.00	1.55	95.0	1.47
07/03/2017	COMAIANNI, KATHLEEN	DAVIS NEW YORK VENTURE A	0.00	19.59	0.00	19.59	95.0	18.61
07/03/2017	DOUTSAS, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	7.06	0.00	7.06	95.0	6.71

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	SUCHER, ADAM	DAVIS NEW YORK VENTURE A	0.00	3.14	0.00	3.14	95.0	2.98
07/03/2017	SCULLY, DOROTHY	DAVIS NEW YORK VENTURE A	0.00	181.70	0.00	181.70	95.0	172.62
07/03/2017	STUDAKER, RICHARD	DAVIS NEW YORK VENTURE A	0.00	63.02	0.00	63.02	95.0	59.87
07/03/2017	POLYZOIS, ELLIE	DAVIS NEW YORK VENTURE A	0.00	47.97	0.00	47.97	95.0	45.57
07/03/2017	PSIHOPEDAS, NICHOLAS	DAVIS NEW YORK VENTURE A	0.00	5.85	0.00	5.85	95.0	5.56
07/03/2017	DOUTSAS, DANNIE	DAVIS NEW YORK VENTURE A	0.00	7.61	0.00	7.61	95.0	7.23
07/03/2017	SELVAGGIO, ANTHONY	DAVIS NEW YORK VENTURE A	0.00	3.89	0.00	3.89	95.0	3.70
07/03/2017	SELVAGGIO, STEVE	DAVIS NEW YORK VENTURE A	0.00	1.48	0.00	1.48	95.0	1.41
07/03/2017	SELVAGGIO, VINCENZA	DAVIS NEW YORK VENTURE A	0.00	13.46	0.00	13.46	95.0	12.79
07/03/2017	PSIHOPEDAS, STAPHANIE	DAVIS NEW YORK VENTURE A	0.00	4.83	0.00	4.83	95.0	4.59
07/03/2017	HATSIOS, DEMETRIUS	DAVIS NEW YORK VENTURE A	0.00	4.31	0.00	4.31	95.0	4.09
07/03/2017	VANDERMEER, SANDRA	DAVIS NEW YORK VENTURE A	0.00	98.25	0.00	98.25	95.0	93.34
07/03/2017	FEINBERG, MARK	DAVIS NEW YORK VENTURE A	0.00	6.82	0.00	6.82	95.0	6.48
07/03/2017	LEMPINEN, DIANE	DAVIS NEW YORK VENTURE A	0.00	123.95	0.00	123.95	95.0	117.75
07/03/2017	SUCHER, ALLIE	DAVIS NEW YORK VENTURE A	0.00	2.95	0.00	2.95	95.0	2.80
07/03/2017	SUCHER, ERIK	DAVIS NEW YORK VENTURE A	0.00	2.95	0.00	2.95	95.0	2.80
07/03/2017	PAPPAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	8.31	0.00	8.31	95.0	7.89
07/03/2017	BOLOGNA, ADAM	DAVIS NEW YORK VENTURE A	0.00	14.23	0.00	14.23	95.0	13.52
07/03/2017	PRATER-MANOR, AMY	DAVIS NEW YORK VENTURE A	0.00	61.69	0.00	61.69	95.0	58.61
07/03/2017	PRATER-MANOR, SARAH	DAVIS NEW YORK VENTURE A	0.00	62.36	0.00	62.36	95.0	59.24
07/03/2017	PESSINA, JOHN	DAVIS NEW YORK VENTURE A	0.00	14.17	0.00	14.17	95.0	13.46
07/03/2017	RICCHIUTI, HERMAN	DAVIS NEW YORK VENTURE A	0.00	83.66	0.00	83.66	95.0	79.48
07/03/2017	OVERMYER, EMILY	DAVIS NEW YORK VENTURE A	0.00	15.24	0.00	15.24	95.0	14.48
07/03/2017	OVERMYER, STEVEN	DAVIS NEW YORK VENTURE A	0.00	50.26	0.00	50.26	95.0	47.75
07/03/2017	PAPPAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	32.80	0.00	32.80	95.0	31.16
07/03/2017	YET, BETTY	DAVIS NEW YORK VENTURE A	0.00	134.44	0.00	134.44	95.0	127.72
07/03/2017	OVERMYER, STEVEN	DAVIS NEW YORK VENTURE A	0.00	19.87	0.00	19.87	95.0	18.88
07/03/2017	GIARRATANO, LAUREN	DAVIS NEW YORK VENTURE A	0.00	1.38	0.00	1.38	95.0	1.31
07/03/2017	ROCCA, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.99	0.00	1.99	95.0	1.89
07/03/2017	POTEMPA TRUST	DAVIS NEW YORK VENTURE A	0.00	20.47	0.00	20.47	95.0	19.45
07/03/2017	KRAUSE, BARBARA	DAVIS NEW YORK VENTURE A	0.00	31.90	0.00	31.90	95.0	30.31
06/28/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
06/29/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/29/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
07/03/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	0.00	7.37	0.00	7.37	95.0	7.00
07/03/2017	PISTOLESI, LEIGH	DAVIS NEW YORK VENTURE B	0.00	1.21	0.00	1.21	95.0	1.15
07/03/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	0.00	2.36	0.00	2.36	95.0	2.24
07/03/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	0.00	1.17	0.00	1.17	95.0	1.11
07/03/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	0.00	1.41	0.00	1.41	95.0	1.34
07/03/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	0.00	5.66	0.00	5.66	95.0	5.38
07/03/2017	LIEN, CONNIE	DAVIS NEW YORK VENTURE C	0.00	32.94	0.00	32.94	95.0	31.29
07/03/2017	CISSELL, JOHN	DAVIS NEW YORK VENTURE C	0.00	385.51	0.00	385.51	95.0	366.23
07/03/2017	CISSELL, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	385.51	0.00	385.51	95.0	366.23
07/03/2017	SALES, THOMAS	DAVIS OPPORTUNITY A	0.00	9.45	0.00	9.45	95.0	8.98
07/03/2017	TSANGANOS, OURANIA	DAVIS OPPORTUNITY A	0.00	29.75	0.00	29.75	95.0	28.26
07/03/2017	TSANGANOS, NICHOLAOS	DAVIS OPPORTUNITY A	0.00	29.75	0.00	29.75	95.0	28.26
07/03/2017	CISSELL, JOHN	DAVIS OPPORTUNITY A	0.00	156.40	0.00	156.40	95.0	148.58
07/03/2017	SELVAGGIO, VINCENZA	DAVIS OPPORTUNITY A	0.00	14.61	0.00	14.61	95.0	13.88
07/03/2017	SELVAGGIO, STEVE	DAVIS OPPORTUNITY A	0.00	27.12	0.00	27.12	95.0	25.76
07/03/2017	CIAGLO, BETTY	DAVIS OPPORTUNITY A	0.00	23.54	0.00	23.54	95.0	22.36
07/03/2017	BANKS, VICTORIA	DAVIS OPPORTUNITY A	0.00	17.31	0.00	17.31	95.0	16.44
07/03/2017	PRATER-MANOR, SARAH	DAVIS OPPORTUNITY A	0.00	14.75	0.00	14.75	95.0	14.01
07/03/2017	PRATER-MANOR, AMY	DAVIS OPPORTUNITY A	0.00	15.96	0.00	15.96	95.0	15.16
07/03/2017	ROCCA, HELEN	DAVIS OPPORTUNITY A	0.00	4.03	0.00	4.03	95.0	3.83
07/03/2017	ROCCA, JOHN	DAVIS OPPORTUNITY A	0.00	8.58	0.00	8.58	95.0	8.15
07/03/2017	TSANGANOS, LEONIDAS	DAVIS OPPORTUNITY A	0.00	5.96	0.00	5.96	95.0	5.66
07/03/2017	TSANGANOS, LEONIDAS	DAVIS OPPORTUNITY A	0.00	20.25	0.00	20.25	95.0	19.24
07/03/2017	AMERMAN, LORI	DAVIS OPPORTUNITY A	0.00	3.21	0.00	3.21	95.0	3.05
07/03/2017	ROCCA, HELEN	DAVIS OPPORTUNITY A	0.00	0.92	0.00	0.92	95.0	0.87
07/03/2017	VANDERMEER, BRYON	DAVIS OPPORTUNITY A	0.00	58.95	0.00	58.95	95.0	56.00
07/03/2017	DOUSAS, DANNIE	DAVIS OPPORTUNITY A	0.00	10.95	0.00	10.95	95.0	10.40
07/03/2017	VANDERMEER, SANDRA	DAVIS OPPORTUNITY A	0.00	10.63	0.00	10.63	95.0	10.10
07/03/2017	SELVAGGIO, VINCENZA	DAVIS REAL ESTATE A	0.00	2.73	0.00	2.73	95.0	2.59
07/03/2017	SELVAGGIO, STEVE	DAVIS REAL ESTATE A	0.00	7.48	0.00	7.48	95.0	7.11
07/03/2017	VARTABEDIAN, ROBERT	DAVIS REAL ESTATE A	0.00	5.80	0.00	5.80	95.0	5.51
07/03/2017	ROY, JACKIE	DAVIS REAL ESTATE A	0.00	5.56	0.00	5.56	95.0	5.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	ROY, LORAINÉ	DAVIS REAL ESTATE A	0.00	5.04	0.00	5.04	95.0	4.79
07/03/2017	SZETO, CHOWN	DAVIS REAL ESTATE A	0.00	1.28	0.00	1.28	95.0	1.22
07/03/2017	MICHON, ERIK	DAVIS REAL ESTATE A	0.00	1.99	0.00	1.99	95.0	1.89
07/03/2017	ROCCA, HELEN	DAVIS REAL ESTATE A	0.00	0.30	0.00	0.30	95.0	0.29
07/03/2017	ROCCA, JOHN	DAVIS REAL ESTATE A	0.00	2.13	0.00	2.13	95.0	2.02
07/03/2017	SMITH, DENNIS	DAVIS REAL ESTATE A	0.00	4.69	0.00	4.69	95.0	4.46
07/03/2017	MITSOPOULOS, GEORGIA	DAVIS REAL ESTATE A	0.00	3.03	0.00	3.03	95.0	2.88
07/03/2017	DUDZIK, ELZBIETA	DAVIS REAL ESTATE A	0.00	4.50	0.00	4.50	95.0	4.28
07/03/2017	VANDERMEER, SANDRA	DAVIS REAL ESTATE A	0.00	4.55	0.00	4.55	95.0	4.32
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.50	0.00	0.50	95.0	0.48
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.62	0.00	0.62	95.0	0.59
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.62	0.00	0.62	95.0	0.59
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.00	0.00	1.00	95.0	0.95
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.17	0.00	1.17	95.0	1.11
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	24.18	0.00	24.18	95.0	22.97
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	3.73	0.00	3.73	95.0	3.54
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.66	0.00	1.66	95.0	1.58
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	12.23	0.00	12.23	95.0	11.62
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	8.83	0.00	8.83	95.0	8.39
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	4.97	0.00	4.97	95.0	4.72
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.76	0.00	1.76	95.0	1.67
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.14	0.00	0.14	95.0	0.13
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.59	0.00	1.59	95.0	1.51
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	3.86	0.00	3.86	95.0	3.67
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.95	0.00	1.95	95.0	1.85
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	20.18	0.00	20.18	95.0	19.17
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.44	0.00	3.44	95.0	3.27
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.25	0.00	0.25	95.0	0.24

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.15	0.00	0.15	95.0	0.14
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.15	0.00	0.15	95.0	0.14
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	6.26	0.00	6.26	95.0	5.95
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	4.78	0.00	4.78	95.0	4.54
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.72	0.00	1.72	95.0	1.63
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.61	0.00	2.61	95.0	2.48
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.38	0.00	3.38	95.0	3.21
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.18	0.00	1.18	95.0	1.12
/ /	ZERILLI, ROSA	FIDELITY GROWTH & INCOME A	0.00	1.44	0.00	1.44	95.0	1.37
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.35	0.00	1.35	95.0	1.28
/ /	ZERILLI, ROSA	FIDELITY TECHNOLOGY A	0.00	1.63	0.00	1.63	95.0	1.55
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.56	0.00	0.56	95.0	0.53
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
07/10/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
07/13/2017	CHADICK TRUST	FRANKLIN INCOME A	75.00	3.00	0.00	3.00	95.0	2.85
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	7.84	0.00	7.84	95.0	7.45
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.62	0.00	0.62	95.0	0.59
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	0.83	0.00	0.83	95.0	0.79
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.15	0.00	5.15	95.0	4.89
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	21.88	0.00	21.88	95.0	20.79
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	12.40	0.00	12.40	95.0	11.78
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.80	0.00	0.80	95.0	0.76
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	39.40	0.00	39.40	95.0	37.43
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	19.84	0.00	19.84	95.0	18.85
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	4.90	0.00	4.90	95.0	4.66
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	1.97	0.00	1.97	95.0	1.87
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	24.63	0.00	24.63	95.0	23.40
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	4.40	0.00	4.40	95.0	4.18
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	1.10	0.00	1.10	95.0	1.05
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.25	0.00	1.25	95.0	1.19
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	3.78	0.00	3.78	95.0	3.59
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.81	0.00	1.81	95.0	1.72
07/01/2017	SABOL, NICHOLAS	INVESCO AMERICAN FRANCHISE A	0.00	3.55	0.00	3.55	95.0	3.37

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/01/2017	WATSON, WILLIAM	INVESCO AMERICAN FRANCHISE A	0.00	12.80	0.00	12.80	95.0	12.16
07/01/2017	HENDERSON JT TEN, CAROLYN	INVESCO AMERICAN FRANCHISE A	0.00	2.54	0.00	2.54	95.0	2.41
07/01/2017	SABOL, MAXWELLA	INVESCO AMERICAN FRANCHISE A	0.00	13.81	0.00	13.81	95.0	13.12
07/01/2017	PELC TOD ACCOUNT, RODERICK	INVESCO AMERICAN FRANCHISE A	0.00	0.60	0.00	0.60	95.0	0.57
07/01/2017	DANDRON, JAY	INVESCO AMERICAN FRANCHISE A	0.00	5.29	0.00	5.29	95.0	5.03
07/01/2017	MOYER-GUSE, EMILY	INVESCO AMERICAN FRANCHISE A	0.00	5.98	0.00	5.98	95.0	5.68
07/01/2017	VANDAELE, JENNIFER	INVESCO AMERICAN FRANCHISE A	0.00	0.84	0.00	0.84	95.0	0.80
07/01/2017	VAN DAELE, JILLIAN	INVESCO AMERICAN FRANCHISE A	0.00	0.35	0.00	0.35	95.0	0.33
07/01/2017	VAN DAELE, JANE	INVESCO AMERICAN FRANCHISE A	0.00	0.14	0.00	0.14	95.0	0.13
07/01/2017	GRUBER, ALYSSA	INVESCO AMERICAN FRANCHISE A	0.00	0.41	0.00	0.41	95.0	0.39
07/01/2017	SCHROETER, RICHARD	INVESCO AMERICAN FRANCHISE A	0.00	0.90	0.00	0.90	95.0	0.86
07/01/2017	SALLEY, MATTHEW	INVESCO AMERICAN FRANCHISE A	0.00	0.41	0.00	0.41	95.0	0.39
07/01/2017	SALLEY, TERENCE	INVESCO AMERICAN FRANCHISE A	0.00	0.26	0.00	0.26	95.0	0.25
07/01/2017	ELBERT UTMA/MI, NICHOLAS	INVESCO AMERICAN FRANCHISE A	0.00	0.45	0.00	0.45	95.0	0.43
07/01/2017	MCINTYRE, SCOTT	INVESCO AMERICAN FRANCHISE A	0.00	0.16	0.00	0.16	95.0	0.15
07/01/2017	NOWACKI, MICHAEL	INVESCO AMERICAN FRANCHISE A	0.00	1.13	0.00	1.13	95.0	1.07
07/01/2017	WILLIAMS, PAUL	INVESCO AMERICAN FRANCHISE A	0.00	1.66	0.00	1.66	95.0	1.58
07/01/2017	SCHROETER, MARY	INVESCO AMERICAN FRANCHISE A	0.00	0.90	0.00	0.90	95.0	0.86
07/01/2017	MCINTYRE, SCOTT	INVESCO AMERICAN FRANCHISE A	0.00	0.13	0.00	0.13	95.0	0.12
07/01/2017	BUTLER, PAUL	INVESCO AMERICAN FRANCHISE A	0.00	1.57	0.00	1.57	95.0	1.49
07/01/2017	GILLESPIE, SCOTT	INVESCO AMERICAN FRANCHISE A	0.00	0.15	0.00	0.15	95.0	0.14
07/01/2017	HUNTER UGMA/MI, NICOLE	INVESCO AMERICAN FRANCHISE A	0.00	1.72	0.00	1.72	95.0	1.63
07/01/2017	KOWAL UGMA/MI, ALEXANDER	INVESCO AMERICAN FRANCHISE A	0.00	12.17	0.00	12.17	95.0	11.56
07/01/2017	MARSH/SEP, GARY	INVESCO AMERICAN FRANCHISE A	0.00	8.10	0.00	8.10	95.0	7.70
07/01/2017	JOHNSON, DANIEL	INVESCO AMERICAN FRANCHISE A	0.00	0.31	0.00	0.31	95.0	0.29
07/01/2017	O'BRIEN, AMY	INVESCO AMERICAN FRANCHISE A	0.00	4.00	0.00	4.00	95.0	3.80
07/01/2017	JARZEBOSKI, DIANE	INVESCO AMERICAN FRANCHISE A	0.00	2.01	0.00	2.01	95.0	1.91
07/01/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	0.00	2.12	0.00	2.12	95.0	2.01
07/05/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
07/01/2017	KOWAL UGMA/MI, ALEXANDER	INVESCO AMERICAN FRANCHISE FUND CL-	0.00	5.51	0.00	5.51	95.0	5.23
07/01/2017	SALLEY, TERENCE	INVESCO ASIA PACIFIC GROWTH A	0.00	0.61	0.00	0.61	95.0	0.58
07/01/2017	MCINTYRE, SCOTT	INVESCO ASIA PACIFIC GROWTH A	0.00	0.05	0.00	0.05	95.0	0.05
07/01/2017	ELBERT UTMA/MI, NATHANIEL	INVESCO ASIA PACIFIC GROWTH A	0.00	1.28	0.00	1.28	95.0	1.22

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/01/2017	PRZYBYLOWICZ, NORBERT	INVESCO ASIA PACIFIC GROWTH A	0.00	4.38	0.00	4.38	95.0	4.16
07/01/2017	HARRINGTON, KAY	INVESCO ASIA PACIFIC GROWTH A	0.00	4.31	0.00	4.31	95.0	4.09
07/01/2017	WOLAK SEP, SHARON	INVESCO ASIA PACIFIC GROWTH A	0.00	2.72	0.00	2.72	95.0	2.58
07/01/2017	SHEARIN, APRIL	INVESCO CHARTER FUND A	0.00	12.45	0.00	12.45	95.0	11.83
07/01/2017	PITRAGO, ALEX	INVESCO CHARTER FUND A	0.00	6.02	0.00	6.02	95.0	5.72
07/01/2017	KRENGEL, KATHERINE	INVESCO CHARTER FUND A	0.00	4.50	0.00	4.50	95.0	4.28
07/01/2017	NEIKO SFERRO UTMA/FL, DOMINIC	INVESCO CHARTER FUND A	0.00	11.94	0.00	11.94	95.0	11.34
07/01/2017	DANDRON, JAY	INVESCO CHARTER FUND A	0.00	18.10	0.00	18.10	95.0	17.20
07/01/2017	RAYMOND O'CONNOR UGMA/MI,	INVESCO CHARTER FUND A	0.00	2.22	0.00	2.22	95.0	2.11
07/01/2017	CRONENWETT, JULIE	INVESCO CHARTER FUND A	0.00	2.15	0.00	2.15	95.0	2.04
07/01/2017	SHERMAN, SCOTT	INVESCO CHARTER FUND A	0.00	2.83	0.00	2.83	95.0	2.69
07/01/2017	SMYTH, DAVID	INVESCO CHARTER FUND A	0.00	6.92	0.00	6.92	95.0	6.57
07/01/2017	SALLEY, TERENCE	INVESCO CHARTER FUND A	0.00	0.14	0.00	0.14	95.0	0.13
07/01/2017	ROCK ST PIERRE UGMA/MI,	INVESCO CHARTER FUND A	0.00	0.16	0.00	0.16	95.0	0.15
07/01/2017	JACKOVIK, GLORIA	INVESCO CHARTER FUND A	0.00	0.02	0.00	0.02	95.0	0.02
07/01/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND A	0.00	12.04	0.00	12.04	95.0	11.44
07/01/2017	MCINTYRE, SCOTT	INVESCO CHARTER FUND A	0.00	0.04	0.00	0.04	95.0	0.04
07/01/2017	ANN GERMAIN UGMA/MI, ALISON	INVESCO CHARTER FUND A	0.00	4.13	0.00	4.13	95.0	3.92
07/01/2017	WILLIAMS, PAUL	INVESCO CHARTER FUND A	0.00	0.78	0.00	0.78	95.0	0.74
07/01/2017	SALLEY UGMA/MI, NATHAN	INVESCO CHARTER FUND A	0.00	1.53	0.00	1.53	95.0	1.45
07/01/2017	LECIK, TONIA	INVESCO CHARTER FUND A	0.00	0.12	0.00	0.12	95.0	0.11
07/01/2017	MEWHINNEY, BARBARA	INVESCO CHARTER FUND A	0.00	7.46	0.00	7.46	95.0	7.09
07/01/2017	SANGMEISTER, GINA	INVESCO CHARTER FUND A	0.00	0.69	0.00	0.69	95.0	0.66
07/01/2017	WEAVER, TAMMY	INVESCO CHARTER FUND A	0.00	6.67	0.00	6.67	95.0	6.34
07/01/2017	BUCKINGHAM MIN, GEORGE	INVESCO CHARTER FUND A	0.00	0.14	0.00	0.14	95.0	0.13
07/01/2017	O'BRIEN, AMY	INVESCO CHARTER FUND A	0.00	5.67	0.00	5.67	95.0	5.39
07/01/2017	JOSEPH SUCHER UGMA/MI, ADAM	INVESCO CHARTER FUND A	0.00	1.43	0.00	1.43	95.0	1.36
07/01/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND B	0.00	1.16	0.00	1.16	95.0	1.10
07/01/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	0.00	10.80	0.00	10.80	95.0	10.26
07/01/2017	HENDERSON JT TEN, CAROLYN	INVESCO CONVERTIBLE SECURITIES A	0.00	2.44	0.00	2.44	95.0	2.32
07/01/2017	HENDERSON, OSCAR	INVESCO CONVERTIBLE SECURITIES A	0.00	18.01	0.00	18.01	95.0	17.11
07/01/2017	PELC TOD ACCOUNT, RODERICK	INVESCO CORPORATE BOND A	0.00	1.59	0.00	1.59	95.0	1.51
07/01/2017	VAN DAELE, JACOB	INVESCO DEVELOPING MARKETS A	0.00	0.60	0.00	0.60	95.0	0.57

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/01/2017	MUNTEANU, DACIA	INVESCO DEVELOPING MARKETS A	0.00	9.61	0.00	9.61	95.0	9.13
07/01/2017	PITRAGO, ALEX	INVESCO DEVELOPING MARKETS A	0.00	1.77	0.00	1.77	95.0	1.68
07/01/2017	MCINTYRE, SCOTT	INVESCO DEVELOPING MARKETS A	0.00	0.04	0.00	0.04	95.0	0.04
07/01/2017	MCINTYRE, SCOTT	INVESCO EUROPEAN GROWTH FUND A	0.00	0.05	0.00	0.05	95.0	0.05
07/01/2017	SOUTHWORTH, STEPHANIE	INVESCO EUROPEAN GROWTH FUND A	0.00	0.41	0.00	0.41	95.0	0.39
07/01/2017	LEE, LESLIE	INVESCO EUROPEAN GROWTH FUND A	0.00	1.21	0.00	1.21	95.0	1.15
07/01/2017	WASHINGTON, PAULA	INVESCO EUROPEAN GROWTH FUND A	0.00	2.87	0.00	2.87	95.0	2.73
07/01/2017	VANDAELE, JENNIFER	INVESCO EUROPEAN SMALL COMPANY A	0.00	0.69	0.00	0.69	95.0	0.66
07/01/2017	VAN DAELE, JILLIAN	INVESCO EUROPEAN SMALL COMPANY A	0.00	1.08	0.00	1.08	95.0	1.03
07/01/2017	VAN DAELE UGMA/MI, JANE	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.00	0.00	1.00	95.0	0.95
07/01/2017	VAN DAELE, JENNA	INVESCO GBL SMALL & MID CAP GROWTH	0.00	0.62	0.00	0.62	95.0	0.59
07/01/2017	ELBERT UTMA/MI, NICHOLAS	INVESCO GBL SMALL & MID CAP GROWTH	0.00	0.62	0.00	0.62	95.0	0.59
07/01/2017	FISHER, NATHAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	7.00	0.00	7.00	95.0	6.65
07/01/2017	JOHNSON, DANIEL	INVESCO GBL SMALL & MID CAP GROWTH	0.00	0.68	0.00	0.68	95.0	0.65
07/01/2017	PELC TOD ACCOUNT, RODERICK	INVESCO GLOBAL GROWTH A	0.00	1.25	0.00	1.25	95.0	1.19
07/01/2017	VANDAELE, JENNIFER	INVESCO GLOBAL HEALTH CARE A	0.00	0.54	0.00	0.54	95.0	0.51
07/01/2017	VAN DAELE, JANE	INVESCO GLOBAL HEALTH CARE A	0.00	0.43	0.00	0.43	95.0	0.41
07/01/2017	HARRINGTON, KAY	INVESCO GLOBAL HEALTH CARE A	0.00	2.61	0.00	2.61	95.0	2.48
07/01/2017	HAUMESSER, WILLIAM	INVESCO GROWTH AND INCOME A	0.00	14.85	0.00	14.85	95.0	14.11
07/01/2017	MANKO, MARGARET	INVESCO HIGH YIELD FUND A	0.00	5.80	0.00	5.80	95.0	5.51
07/01/2017	COOPER, BENNETT	INVESCO MIDCAP GROWTH A	0.00	1.61	0.00	1.61	95.0	1.53
07/01/2017	COOPER, MADISON	INVESCO MIDCAP GROWTH A	0.00	1.97	0.00	1.97	95.0	1.87
07/01/2017	SCHROETER, RICHARD	INVESCO MIDCAP GROWTH A	0.00	0.98	0.00	0.98	95.0	0.93
07/01/2017	PALAZZOLO UTMA/MI, JULIA	INVESCO MIDCAP GROWTH A	0.00	1.74	0.00	1.74	95.0	1.65
07/01/2017	ELBERT UTMA/MI, NATHANIEL	INVESCO MIDCAP GROWTH A	0.00	0.82	0.00	0.82	95.0	0.78
07/01/2017	SCHROETER, MARY	INVESCO MIDCAP GROWTH A	0.00	0.98	0.00	0.98	95.0	0.93
07/01/2017	DUDZIK, ELZBIETA	INVESCO SENIOR LOAN FUND IB	0.00	33.16	0.00	33.16	95.0	31.50
07/01/2017	CEBALT, THOMAS	INVESCO SMALL CAP EQUITY A	0.00	0.06	0.00	0.06	95.0	0.06
07/01/2017	PELC TOD ACCOUNT, RODERICK	INVESCO TECHNOLOGY A	0.00	1.16	0.00	1.16	95.0	1.10
07/01/2017	VAN DAELE, JACOB	INVESCO TECHNOLOGY A	0.00	0.27	0.00	0.27	95.0	0.26
07/01/2017	CRONENWETT, JULIE	INVESCO TECHNOLOGY A	0.00	0.31	0.00	0.31	95.0	0.29
07/01/2017	WILSON, GARRETT	INVESCO TECHNOLOGY A	0.00	9.99	0.00	9.99	95.0	9.49
07/01/2017	ELBERT UTMA/MI, NICHOLAS	INVESCO TECHNOLOGY A	0.00	0.11	0.00	0.11	95.0	0.10

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/01/2017	SEMKE, DEANNA	INVESCO TECHNOLOGY A	0.00	0.16	0.00	0.16	95.0	0.15
07/01/2017	ELBERT UTMA/MI, NATHANIEL	INVESCO TECHNOLOGY A	0.00	0.11	0.00	0.11	95.0	0.10
07/01/2017	JOHNSON, DANIEL	INVESCO TECHNOLOGY A	0.00	0.41	0.00	0.41	95.0	0.39
07/01/2017	NIXON, NORMA	INVESCO TECHNOLOGY A	0.00	3.65	0.00	3.65	95.0	3.47
07/01/2017	CELANI TRUST, VINCENZO	INVESCO US GOVT A	0.00	8.10	0.00	8.10	95.0	7.70
07/01/2017	MOORE, FRED	INVESCO US GOVT A	0.00	36.99	0.00	36.99	95.0	35.14
07/01/2017	WATSON, WILLIAM	INVESCO US GOVT A	0.00	5.02	0.00	5.02	95.0	4.77
07/01/2017	OBIE, THOMAS	INVESCO US GOVT A	0.00	17.87	0.00	17.87	95.0	16.98
07/17/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.14	0.00	1.14	95.0	1.08
07/17/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	6.84	0.00	6.84	95.0	6.50
07/17/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.49	0.00	5.49	95.0	5.22
07/17/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.50	0.00	5.50	95.0	5.23
07/17/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.55	0.00	4.55	95.0	4.32
07/17/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.76	0.00	1.76	95.0	1.67
07/17/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.81	0.00	1.81	95.0	1.72
07/17/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.27	0.00	4.27	95.0	4.06
07/17/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.36	0.00	6.36	95.0	6.04
07/17/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.42	0.00	0.42	95.0	0.40
07/17/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.48	0.00	0.48	95.0	0.46
07/17/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.68	0.00	0.68	95.0	0.65
07/17/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.68	0.00	0.68	95.0	0.65
07/17/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.58	0.00	1.58	95.0	1.50
07/17/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.58	0.00	1.58	95.0	1.50
07/03/2017	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
07/10/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	124.24	1.24	0.00	1.24	95.0	1.18
07/17/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
07/17/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
07/06/2017	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	977.91	9.78	0.00	9.78	95.0	9.29
07/17/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
07/17/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
07/05/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.15	0.00	7.15	95.0	6.79
07/05/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	58.56	0.00	58.56	95.0	55.63
07/05/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.33	0.00	3.33	95.0	3.16

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.60	0.00	2.60	95.0	2.47
07/05/2017	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.29	0.00	3.29	95.0	3.13
07/05/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
07/05/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
07/05/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	17.44	0.00	17.44	95.0	16.57
07/05/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.06	0.00	2.06	95.0	1.96
07/05/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.06	0.00	2.06	95.0	1.96
07/05/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	26.98	0.00	26.98	95.0	25.63
07/05/2017	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	28.44	0.00	28.44	95.0	27.02
07/05/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.59	0.00	0.59	95.0	0.56
07/05/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.88	0.00	0.88	95.0	0.84
07/05/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.09	0.00	1.09	95.0	1.04
07/05/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.76	0.00	0.76	95.0	0.72
07/05/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.14	0.00	3.14	95.0	2.98
07/05/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.95	0.00	0.95	95.0	0.90
07/05/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.63	0.00	2.63	95.0	2.50
07/05/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	24.29	0.00	24.29	95.0	23.08
07/05/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.18	0.00	0.18	95.0	0.17
07/05/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.08	0.00	5.08	95.0	4.83
07/05/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.57	0.00	5.57	95.0	5.29
07/05/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.01	0.00	9.01	95.0	8.56
07/05/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	11.78	0.00	11.78	95.0	11.19
07/05/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.61	0.00	1.61	95.0	1.53
07/05/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	27.25	0.00	27.25	95.0	25.89
07/05/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	36.14	0.00	36.14	95.0	34.33
07/05/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	53.05	0.00	53.05	95.0	50.40
07/05/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.12	0.00	1.12	95.0	1.06
07/05/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.06	0.00	1.06	95.0	1.01
07/05/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.57	0.00	0.57	95.0	0.54
07/05/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	11.06	0.00	11.06	95.0	10.51
* MUTUAL FUNDS SUBTOTAL *			\$27,362.19	\$7,396.99	\$0.00	\$7,396.99		\$7,027.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/15/2017	CFGROUP, VARIOUS	GENWORTH VA	0.00	105.02	0.00	105.02	95.0	99.77
07/20/2017	DUNN, RANDY	JNL PERSPECTIVE II 05/05	0.00	364.97	0.00	364.97	95.0	346.72
07/12/2017	ELLIOT, JAMES	PRU PREMIER RETIREMENT C	223,267.56	3,349.01	0.00	3,349.01	95.0	3,181.56
* VARIABLE ANNUITIES SUBTOTAL *			\$223,267.56	\$3,819.00	\$0.00	\$3,819.00		\$3,628.05
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/06/2017	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/30/2017	CFGROUP, VARIOUS	ASPIRE AM FUND TRAILS	0.00	477.07	0.00	477.07	95.0	453.22
07/14/2017	DAVIS, LYNN	DWS CORE EQUITY FUND A	0.00	1.02	0.00	1.02	95.0	0.97
07/14/2017	ENDLICH, RICHARD	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	103.25	0.00	103.25	95.0	98.09
07/14/2017	DAVIS, LYNN	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	0.92	0.00	0.92	95.0	0.87
07/14/2017	DAVENPORT, JOHN	DWS DEUTSCHE CORE PLUS INCOME FUND-	0.00	0.03	0.00	0.03	95.0	0.03
07/14/2017	HENDERSON, OSCAR	DWS DEUTSCHE GLOBAL GROWTH FUND-A	0.00	13.00	0.00	13.00	95.0	12.35
07/14/2017	REVITZER, SANDRA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	50.89	0.00	50.89	95.0	48.35
07/14/2017	ROSS, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	75.59	0.00	75.59	95.0	71.81
07/14/2017	WARMANN TRUST, WALTER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	28.02	0.00	28.02	95.0	26.62
07/14/2017	JAGGER, ALBERT	DWS DEUTSCHE HIGH INCOME FUND A	0.00	91.39	0.00	91.39	95.0	86.82
07/14/2017	ADRAGNA, NICOLE	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.37	0.00	2.37	95.0	2.25
07/14/2017	CIAGLO, BETTY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.95	0.00	6.95	95.0	6.60
07/14/2017	OLWEEAN, ADAM	DWS DEUTSCHE HIGH INCOME FUND A	0.00	34.33	0.00	34.33	95.0	32.61
07/14/2017	HOSLEY, KATHLEEN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	0.61	0.00	0.61	95.0	0.58
07/14/2017	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	0.00	11.03	0.00	11.03	95.0	10.48
07/14/2017	WARMANN TRUST, WALTER	DWS DEUTSCHE UNCONSTRAINED INCOME F	0.00	2.22	0.00	2.22	95.0	2.11
07/14/2017	WARMANN TRUST, RUTH	DWS DEUTSCHE UNCONSTRAINED INCOME F	0.00	2.22	0.00	2.22	95.0	2.11
07/14/2017	PATINOS, GLORIA	DWS GLOBAL INCOME BUILDER A	0.00	26.01	0.00	26.01	95.0	24.71
07/14/2017	DOBROTH, THERESA	DWS GLOBAL INCOME BUILDER A	0.00	16.88	0.00	16.88	95.0	16.04
07/14/2017	CISSELL, PATRICIA	DWSE HIGH INCOME FUND C	0.00	304.98	0.00	304.98	95.0	289.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,248.78	\$0.00	\$1,248.78		\$1,186.35
*** BROKER TRANSACTIONS TOTAL ***			\$263,129.75	\$15,341.40	\$0.00	\$15,341.40		\$14,574.46

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK KOSANKE #3</u>								
07/03/2017	STANDBERGH, JENNIFER	DAVIS NEW YORK VENTURE A	0.00	6.93	0.00	6.93	95.0	6.58
07/14/2017	KRAUSE, BARBARA	DWS SEUTSCHE MANAGED MUNICIPAL BOND	0.00	92.51	0.00	92.51	95.0	87.88
07/05/2017	MCCLAIN, DOROTHY	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	92,737.85	77.28	0.00	77.28	95.0	73.42
07/05/2017	EMBACH, KEVIN	GENWORTH CHOICE VA	74,533.78	62.11	0.00	62.11	95.0	59.00
07/05/2017	EMBACH, JAMES	GENWORTH CHOICE VA	74,533.79	62.11	0.00	62.11	95.0	59.00
07/05/2017	ZACK, MAUREEN	GENWORTH CHOICE VA	74,533.79	62.11	0.00	62.11	95.0	59.00
07/05/2017	HARTOUNIAN, HAGOB	GENWORTH CHOICE VA	11,032.65	9.19	0.00	9.19	95.0	8.73
07/05/2017	BYERS, SHARRON	GENWORTH COMMONWEALTH VA 1994 (NON-	100,537.27	16.76	0.00	16.76	95.0	15.92
07/18/2017	BENAGLIO, DEBORAH	GRIFFIN INST'L ACCESS R E FUND C	50,000.00	500.00	0.00	500.00	95.0	475.00
07/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
07/06/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	45.18	0.90	0.00	0.90	95.0	0.86
07/06/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	-200.00	-4.00	0.00	-4.00	95.0	-3.80
07/05/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.10	0.00	4.10	95.0	3.90
07/05/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.87	0.00	0.87	95.0	0.83
07/05/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
07/05/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.92	0.00	2.92	95.0	2.77
07/05/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.22	0.00	0.22	95.0	0.21
* MARK KOSANKE SUBTOTAL *			\$477,786.92	\$894.34	\$0.00	\$894.34		\$849.61
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
07/06/2017	FEINBERG, MARK	ALGER LARGECAP GROWTH A	0.00	7.33	0.00	7.33	95.0	6.96
07/06/2017	WILSON, EMILEE	ALGER LARGECAP GROWTH A	0.00	0.03	0.00	0.03	95.0	0.03
07/06/2017	DRABCZYK, FRANK	ALGER LARGECAP GROWTH A	0.00	0.53	0.00	0.53	95.0	0.50
07/06/2017	FEINBERG, JENNIFER	ALGER LARGECAP GROWTH A	0.00	3.46	0.00	3.46	95.0	3.29
07/06/2017	FEINBERG, JULIE	ALGER LARGECAP GROWTH A	0.00	3.96	0.00	3.96	95.0	3.76
07/06/2017	FEINBERG, MARK	ALGER LARGECAP GROWTH B	0.00	1.67	0.00	1.67	95.0	1.59
07/06/2017	FEINBERG, JULIE	ALGER MIDCAP GROWTH A	0.00	1.76	0.00	1.76	95.0	1.67
07/06/2017	FEINBERG, JENNIFER	ALGER SMALLCAP GROWTH A	0.00	6.00	0.00	6.00	95.0	5.70
07/06/2017	FEINBERG, JULIE	ALGER SMALLCAP GROWTH A	0.00	1.63	0.00	1.63	95.0	1.55
06/30/2017	HARNE, DEXTL	TOUCHSTONE GROWTH OPP A	0.00	0.05	0.00	0.05	95.0	0.05
06/30/2017	HARNE, DEXTL	TOUCHSTONE MID CAP GROWTH A	0.00	0.07	0.00	0.07	95.0	0.07
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$26.49	\$0.00	\$26.49		\$25.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: GROUP FLAM/BUSSELL #32</u>								
06/30/2017	M, OSIP	DELAWARE VALUE A	0.00	1.27	0.00	1.27	95.0	1.21
		* GROUP FLAM/BUSSELL SUBTOTAL *	\$0.00	\$1.27	\$0.00	\$1.27		\$1.21
<u>BROKER: MEGHA GROUP #41</u>								
07/06/2017	VARTANIAN, NICHOLAS	ALGER CAPITAL APPRECIATION A	0.00	1.97	0.00	1.97	95.0	1.87
07/06/2017	MEHLBERG, GREYSON	ALGER CAPITAL APPRECIATION A	0.00	2.23	0.00	2.23	95.0	2.12
07/06/2017	MATHEWS, DOUG	ALGER MIDCAP GROWTH A	0.00	6.15	0.00	6.15	95.0	5.84
		* MEGHA GROUP SUBTOTAL *	\$0.00	\$10.35	\$0.00	\$10.35		\$9.83
<u>BROKER: PETER JOHNSON #63</u>								
06/30/2017	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	25.88	0.00	25.88	95.0	24.59
06/30/2017	KAPELN, J	DELAWARE TAX FREE USA A	0.00	8.78	0.00	8.78	95.0	8.34
06/30/2017	PAPPAS, LARRY	DELAWARE VALUE A	0.00	31.74	0.00	31.74	95.0	30.15
06/30/2017	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.24	0.00	6.24	95.0	5.93
		* PETER JOHNSON SUBTOTAL *	\$0.00	\$72.64	\$0.00	\$72.64		\$69.01
		*** SPLIT TRANSACTIONS TOTAL ***	\$477,786.92	\$1,005.09	\$0.00	\$1,005.09		\$954.83
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: FRANK DUNN #7</u>								
06/30/2017	LABANCA, SOL	GRIFFIN AMERICAN HEALTHCARE REIT IV	0.00	249.32	0.00	249.32	90.0	12.47
06/30/2017	WETHINGTON JR, ROBERT	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	261.78	0.00	261.78	90.0	13.09
06/30/2017	REIFMAN FAMILY TRUST	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	199.45	0.00	199.45	90.0	9.97
06/30/2017	WETHINGTON, JUDITH	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	87.26	0.00	87.26	90.0	4.36
06/28/2017	DE LA CRUZ, MICHAEL	WAVELAND RESOURCE PARTNERS IV	42,500.00	2,975.00	0.00	2,975.00	90.0	148.75
		* FRANK DUNN SUBTOTAL *	\$42,500.00	\$3,772.81	\$0.00	\$3,772.81		\$188.64
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
07/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	5.00
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.18	0.00	0.18	0.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS SCHNEIDER #30 </u>								
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.18	0.00	0.18	0.0	0.18
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GREENE, DAVID	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$0.84	\$0.00	\$0.84		\$0.84
*** OVERRIDE TRANSACTIONS TOTAL ***			\$42,600.00	\$3,778.65	\$0.00	\$3,778.65		\$194.48

 BROKER COMMISSION TOTALS

Broker Transactions	\$14,574.46
Split Transactions	\$954.83
Override Transactions	\$194.48
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,723.77
Year-to-date Gross	\$231,780.86
Year-to-date Earnings	\$223,470.36

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