



Concorde Investment Services, LLC

05/11/2018

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# COMMISSION STATEMENT

May 15, 2018

KEITH, L128 LAYMAN  
4321 Flagstaff

BROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
04/25/2018	DERBYSHIRE TRUST, KAREN	BR TOTAL INC & REAL ESTATE FUND C	28,262.64	24.00	0.00	24.00	80.0	19.20
04/25/2018	DERBYSHIRE, JAMES	BR TOTAL INC & REAL ESTATE FUND C	28,004.75	23.79	0.00	23.79	80.0	19.03
04/25/2018	HARMEYER, SHARYN	BR TOTAL INC & REAL ESTATE FUND C	44,800.93	38.05	0.00	38.05	80.0	30.44
04/25/2018	BYRUM, GERALD	BR TOTAL INC & REAL ESTATE FUND C	49,928.47	42.40	0.00	42.40	80.0	33.92
04/25/2018	BUSH, SANDRA	BR TOTAL INC & REAL ESTATE FUND C	38,799.13	32.95	0.00	32.95	80.0	26.36
04/25/2018	DIETSCH, RHONDA	BR TOTAL INC & REAL ESTATE FUND C	33,205.48	28.20	0.00	28.20	80.0	22.56
04/25/2018	PEPPLE, GLEN	BR TOTAL INC & REAL ESTATE FUND C	16,587.09	14.09	0.00	14.09	80.0	11.27
04/25/2018	WILLING, LEONARD	BR TOTAL INC & REAL ESTATE FUND C	42,607.73	36.19	0.00	36.19	80.0	28.95
04/25/2018	MURPHY, SUSAN	BR TOTAL INC & REAL ESTATE FUND C	55,561.94	45.49	0.00	45.49	80.0	36.39
04/25/2018	LOWDEN TRUST, KATHERINE	BR TOTAL INC & REAL ESTATE FUND C	44,843.28	38.08	0.00	38.08	80.0	30.46
04/25/2018	ZINN, JEANETTE	BR TOTAL INC & REAL ESTATE FUND C	76,534.96	65.00	0.00	65.00	80.0	52.00
* INTERVAL FUNDS SUBTOTAL *			<b>\$459,136.40</b>	<b>\$388.24</b>	<b>\$0.00</b>	<b>\$388.24</b>		<b>\$310.58</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
04/24/2018	GEVERINK, ANN	INA AMER FDS EUROPAC GROWT A	30.00	1.20	0.00	1.20	80.0	0.96
04/30/2018	SAYA, CHRISTINE	INA AMER FDS EUROPAC GROWT A	18.00	0.12	0.00	0.12	80.0	0.10
/ /	GEVERINK, ANN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.00	0.00	2.00	80.0	1.60
/ /	SAYA, CHRISTINE	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.42	0.00	0.42	80.0	0.34
05/02/2018	JACKSON, BRENDA	INA BOSTON COMPANY DYNAMIC LARGE CA	150.00	7.13	0.00	7.13	80.0	5.70
05/04/2018	GALENTINE, ASHLEY	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
/ /	FREEZE, CORTNEY	INA DIAMOND HILL SM-MID CAP PORTF A	0.00	0.86	0.00	0.86	80.0	0.69
04/24/2018	GEVERINK, ANN	INA DIAMOND HILL SM-MID CAP PORTF A	50.00	1.20	0.00	1.20	80.0	0.96
* 529 PLANS SUBTOTAL *			<b>\$298.00</b>	<b>\$15.31</b>	<b>\$0.00</b>	<b>\$15.31</b>		<b>\$12.25</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	80.0	3.40
04/23/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	80.0	1.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
04/30/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	80.0	4.00
05/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
04/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	80.0	1.43
05/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	80.0	1.50
04/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	80.0	1.65
04/30/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	80.0	1.70
05/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	80.0	1.50
05/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	80.0	11.00
05/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	80.0	1.50
04/23/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	80.0	1.65
04/24/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	80.0	1.70
04/30/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	80.0	3.40
05/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
04/23/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	80.0	1.21
05/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	4.00
04/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	80.0	1.43
04/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	80.0	1.43
05/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	80.0	1.50
05/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
05/01/2018	RAMUS, ERIC	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	80.0	2.00
05/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
04/30/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	80.0	3.40
04/24/2018	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.30	0.00	1.30	80.0	1.04
04/30/2018	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.16	0.00	0.16	80.0	0.13
04/24/2018	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	30.00	2.00	0.00	2.00	80.0	1.60
04/30/2018	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	30.00	0.42	0.00	0.42	80.0	0.34
05/04/2018	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	80.0	1.90
04/30/2018	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	70.00	0.33	0.00	0.33	80.0	0.26
/ /	FREEZE, CORTNEY	INA INTER EQTY INDEX PORTF A	0.00	1.19	0.00	1.19	80.0	0.95
/ /	FREEZE, CORTNEY	INA LG CAP INDEX PORT CLASS A	0.00	2.23	0.00	2.23	80.0	1.78
05/02/2018	JACKSON, BRENDA	INA LG CAP INDEX PORT CLASS A	150.00	7.13	0.00	7.13	80.0	5.70
04/16/2018	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	80.0	3.80
/ /	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	9.50	0.00	9.50	80.0	7.60

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	0.60	0.00	0.60	80.0	0.48
05/03/2018	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	80.0	3.20
* MUTUAL FUNDS SUBTOTAL *			\$3,550.00	\$131.03	\$0.00	\$131.03		\$104.78
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/19/2018	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.75	0.00	0.75	80.0	0.60
04/19/2018	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	80.0	0.70
04/19/2018	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.20	0.00	1.20	80.0	0.96
04/19/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	80.0	0.38
04/19/2018	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	12.38	0.00	12.38	80.0	9.90
04/19/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	80.0	0.42
04/19/2018	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	80.0	0.58
04/19/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.47	0.00	0.47	80.0	0.38
04/19/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.78	0.00	0.78	80.0	0.62
04/19/2018	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.60	0.00	0.60	80.0	0.48
04/19/2018	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.43	0.00	1.43	80.0	1.14
04/19/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	80.0	0.64
04/19/2018	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.17	0.00	9.17	80.0	7.34
04/19/2018	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.43	0.00	0.43	80.0	0.34
04/19/2018	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.21	0.00	2.21	80.0	1.77
04/19/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.86	0.00	0.86	80.0	0.69
04/19/2018	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.92	0.00	6.92	80.0	5.54
04/19/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	80.0	0.21
04/19/2018	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.58	0.00	5.58	80.0	4.46
04/19/2018	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	80.0	0.54
04/19/2018	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.43	0.00	7.43	80.0	5.94
04/19/2018	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	80.0	0.26
04/19/2018	ZINN, ROBERT	AM FUNDS ICA A	0.00	5.03	0.00	5.03	80.0	4.02
04/19/2018	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.55	0.00	0.55	80.0	0.44
04/19/2018	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.06	0.00	6.06	80.0	4.85
04/19/2018	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.52	0.00	0.52	80.0	0.42
04/19/2018	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.41	0.00	0.41	80.0	0.33
04/19/2018	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.61	0.00	0.61	80.0	0.49
04/19/2018	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.81	0.00	0.81	80.0	0.65

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/19/2018	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.09	0.00	2.09	80.0	1.67
04/19/2018	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.82	0.00	6.82	80.0	5.46
04/19/2018	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.96	0.00	5.96	80.0	4.77
04/19/2018	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.12	0.00	4.12	80.0	3.30
04/19/2018	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.28	0.00	4.28	80.0	3.42
04/30/2018	LAYMAN, VARIOUS	DREYFUS	42,909.57	8.82	0.00	8.82	80.0	7.06
04/19/2018	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.65	0.00	0.65	80.0	0.52
04/19/2018	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.65	0.00	0.65	80.0	0.52
04/19/2018	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.60	0.00	0.60	80.0	0.48
04/19/2018	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.60	0.00	0.60	80.0	0.48
04/19/2018	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.50	0.00	2.50	80.0	2.00
04/18/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.29	0.00	5.29	80.0	4.23
04/18/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.87	0.00	15.87	80.0	12.70
04/18/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.59	0.00	5.59	80.0	4.47
04/18/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.76	0.00	16.76	80.0	13.41
04/18/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.86	0.00	8.86	80.0	7.09
04/18/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.57	0.00	26.57	80.0	21.26
04/18/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.76	0.00	8.76	80.0	7.01
04/18/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.28	0.00	26.28	80.0	21.02
04/18/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.27	0.00	3.27	80.0	2.62
04/18/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.82	0.00	9.82	80.0	7.86
04/18/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.90	0.00	9.90	80.0	7.92
04/18/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.71	0.00	29.71	80.0	23.77
04/18/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.95	0.00	10.95	80.0	8.76
04/18/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.85	0.00	32.85	80.0	26.28
04/18/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.15	0.00	11.15	80.0	8.92
04/18/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	33.44	0.00	33.44	80.0	26.75
04/18/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.35	0.00	8.35	80.0	6.68
04/18/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.06	0.00	25.06	80.0	20.05
04/18/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.79	0.00	8.79	80.0	7.03
04/18/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.38	0.00	26.38	80.0	21.10
04/18/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.82	0.00	9.82	80.0	7.86
04/18/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.46	0.00	29.46	80.0	23.57

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/18/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.53	0.00	5.53	80.0	4.42
04/18/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.58	0.00	16.58	80.0	13.26
04/19/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.30	0.00	0.30	80.0	0.24
04/19/2018	KAUFFMAN, JAN	INFLATION LINKED BOND FUND A	0.00	1.24	0.00	1.24	80.0	0.99
04/19/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	1.05	0.00	1.05	80.0	0.84
04/19/2018	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.94	0.00	0.94	80.0	0.75
04/19/2018	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.53	0.00	0.53	80.0	0.42
04/19/2018	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.82	0.00	0.82	80.0	0.66
04/18/2018	FEDLER, KIMBERLY	INVESCO EQUITY AND INCOME A	0.00	0.50	0.00	0.50	80.0	0.40
04/12/2018	RANEY, GLEN	IVY ASSET STRATEGY FUND CLASS C	0.00	5.57	0.00	5.57	80.0	4.46
04/12/2018	MARTIN, GARY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.21	0.00	2.21	80.0	1.77
04/12/2018	MARTIN, DEBERAH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.21	0.00	2.21	80.0	1.77
04/23/2018	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.85	0.00	0.85	80.0	0.68
04/23/2018	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.45	0.00	5.45	80.0	4.36
04/23/2018	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.96	0.00	1.96	80.0	1.57
04/23/2018	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	31.68	0.00	31.68	80.0	25.34
04/30/2018	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	14.54	0.00	14.54	80.0	11.63
04/19/2018	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	8.15	0.00	8.15	80.0	6.52
04/23/2018	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.67	0.00	7.67	80.0	6.14
05/02/2018	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.47	0.00	2.47	80.0	1.98
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$42,909.57</b>	<b>\$579.11</b>	<b>\$0.00</b>	<b>\$579.11</b>		<b>\$463.33</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	POSTMA, THELMA	JNL PERSPECTIVE II	0.00	8.52	0.00	8.52	80.0	6.82
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$8.52</b>	<b>\$0.00</b>	<b>\$8.52</b>		<b>\$6.82</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$505,893.97</b>	<b>\$1,122.21</b>	<b>\$0.00</b>	<b>\$1,122.21</b>		<b>\$897.76</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u></b>								
04/24/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	40.00	1.13	0.00	1.13	80.0	0.90
04/30/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
04/30/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.10	0.00	0.10	80.0	0.08
05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
05/04/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.84	0.00	0.84	80.0	0.67
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	0.24	0.00	0.24	80.0	0.19
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$265.00</b>	<b>\$3.06</b>	<b>\$0.00</b>	<b>\$3.06</b>		<b>\$2.45</b>

<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
04/30/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	80.0	2.10
04/30/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
04/30/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	128.43	1.68	0.00	1.68	80.0	1.34
04/30/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	128.43	1.68	0.00	1.68	80.0	1.34
04/30/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
04/30/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.64	0.00	3.64	80.0	2.91
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	80.0	0.25
04/19/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	80.0	0.18
04/19/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	80.0	0.10
04/19/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
04/19/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	80.0	0.34
04/19/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	80.0	0.14
04/27/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	80.0	1.74
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.33	0.00	0.33	80.0	0.26
04/19/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.25	0.00	0.25	80.0	0.20
04/19/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	80.0	0.02
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	80.0	0.12
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	80.0	0.17
05/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	80.0	0.70
04/19/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
04/19/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	80.0	0.03
04/30/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	80.0	0.70
04/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	80.0	4.90
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	80.0	0.21
04/19/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	80.0	0.15
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.24	0.00	0.24	80.0	0.19
05/04/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	80.0	0.08
04/30/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	80.0	0.33
04/25/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,996.03	15.76	0.00	15.76	80.0	12.61
04/18/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.28	0.00	1.28	80.0	1.02
04/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	5.04	0.00	5.04	80.0	4.03
04/19/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.19	0.00	0.19	80.0	0.15
04/19/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.69	0.00	0.69	80.0	0.55
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.57	0.00	0.57	80.0	0.46
05/04/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.13	0.00	0.13	80.0	0.10
05/04/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	80.0	0.51
05/04/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
05/04/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
04/30/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.10	0.00	0.10	80.0	0.08
04/19/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	80.0	0.26
04/20/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.30	0.00	1.30	80.0	1.04
04/30/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.75	0.00	5.75	80.0	4.60
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$54,714.23	\$59.84	\$0.00	\$59.84		\$47.87
*** SPLIT TRANSACTIONS TOTAL ***			\$54,979.23	\$62.90	\$0.00	\$62.90		\$50.32

**VERRIDE TRANSACTIONS**

<b>BROKER: WILLIAM, L129 LAYMAN #207</b>								
04/30/2018	SCHULER, CINDY	MASS MUTUAL 575769484	132,890.24	110.74	0.00	110.74	45.0	49.83
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$132,890.24	\$110.74	\$0.00	\$110.74		\$49.83
*** OVERRIDE TRANSACTIONS TOTAL ***			\$132,890.24	\$110.74	\$0.00	\$110.74		\$49.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
05/15/2018		*ALBRIDGE: LIGH USER	0.00					-76.00
05/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*EO INSURANCE: CURRENT MONTH INS-BILL	0.00					-300.00
05/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
05/15/2018		*LASER APP: CURRENT MONTH - KEITH	0.00					-18.00
05/15/2018		*LASER APP: LASER APP - BILL	0.00					-18.00
05/15/2018		*MISC: NWL RENEWAL	0.00					-45.00
05/15/2018		*MISC: NWLA RENEWAL	0.00					-45.00
05/15/2018		*PERSHING: BLOCK TRADE REBALANCE	0.00					-42.00
05/15/2018		*PERSHING: COST BASIS TRACKING 130 ACCTS	0.00					-130.00
05/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-5.60
05/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.35
05/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-7.95
05/15/2018		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-130.00
05/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
05/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC - BILL	0.00					-180.00
05/15/2018		*TECHNOLOGY: LIMITED ADMIN - S BONANNON	0.00					-60.00
05/15/2018		*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00					-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,613.90

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$897.76
Split Transactions	\$50.32
Override Transactions	\$49.83
Adjustments	\$-1,613.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-615.99</b>
<b>Year-to-date Gross</b>	<b>\$19,536.54</b>
<b>Year-to-date Earnings</b>	<b>\$15,727.82</b>