



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT

September 15, 2017

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/12/2017	DERN TRUST, GEORGE & MARY	GPB WASTE MANAGEMENT LP	25,000.00	1,750.00	0.00	1,750.00	92.0	1,610.00
* PRIVATE PLACEMENT SUBTOTAL *			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,610.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
09/01/2017	ROSS, ROBERT	BLUEROCK SONOMA POINTE DST	110,930.73	6,655.84	0.00	6,655.84	92.0	6,123.37
09/07/2017	PARKER INVEST PROP	BLUEROCK SONOMA POINTE DST	100,000.00	6,000.00	0.00	6,000.00	92.0	5,520.00
08/28/2017	DUSKY REV TRUST	INLAND TEXAS HEALTHCARE PF II DST	375,000.00	18,750.00	0.00	18,750.00	92.0	17,250.00
* 1031 EXCHANGES SUBTOTAL *			\$585,930.73	\$31,405.84	\$0.00	\$31,405.84		\$28,893.37
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/14/2017	WEBER, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.14	0.00	5.14	92.0	4.73
08/14/2017	WEBER, GLENDA	FRANKLIN DYNATECH A	0.00	0.53	0.00	0.53	92.0	0.49
08/14/2017	WEBER, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.54	0.00	7.54	92.0	6.94
08/14/2017	WEBER, GLENDA	FRANKLIN GROWTH A	0.00	8.07	0.00	8.07	92.0	7.42
08/14/2017	WEBER, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.24	0.00	2.24	92.0	2.06
08/14/2017	WEBER, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.96	0.00	4.96	92.0	4.56
08/14/2017	WEBER, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.71	0.00	3.71	92.0	3.41
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$32.19	\$0.00	\$32.19		\$29.61
*** BROKER TRANSACTIONS TOTAL ***			\$610,930.73	\$33,188.03	\$0.00	\$33,188.03		\$30,532.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
09/15/2017		*CONCIERGE SVC: 198077 SNOWLEY	0.00					-50.00
09/15/2017		*CONCIERGE SVC: 198150 DUSKY TTEE	0.00					-50.00
09/15/2017		*CONCIERGE SVC: 198423 CONNER - TTE	0.00					-50.00
09/15/2017		*CONCIERGE SVC: 198807 DERN, TTEES	0.00					-50.00
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-7.92
09/15/2017		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-100.00
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-882.92

BROKER COMMISSION TOTALS

Broker Transactions	\$30,532.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-882.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$29,650.06
Year-to-date Gross	\$796,916.91
Year-to-date Earnings	\$797,959.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed