



Concorde Investment Services, LLC

06/15/2018

Page No. 1

COMMISSION STATEMENT**June 15, 2018**

JEFF/JASON, K148 KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/21/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	36,490.56	1,550.85	0.00	1,550.85	100.0	0.00
^05/30/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.75	0.19	0.00	0.19	100.0	0.00
^05/30/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.75	0.19	0.00	0.19	100.0	0.00
^05/30/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.28	0.00	0.28	100.0	0.00
^05/30/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.28	0.00	0.28	100.0	0.00
^05/30/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.34	0.68	0.00	0.68	100.0	0.00
^05/30/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.34	0.68	0.00	0.68	100.0	0.00
^05/21/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	0.00
^05/21/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	3.00	0.00	3.00	100.0	0.00
^05/21/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	50.18	2.51	0.00	2.51	100.0	0.00
^05/21/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	50.18	2.51	0.00	2.51	100.0	0.00
^05/21/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^05/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	11.45	0.00	11.45	100.0	0.00
^05/29/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^05/29/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	25.65	1.28	0.00	1.28	100.0	0.00
^05/29/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	25.65	1.28	0.00	1.28	100.0	0.00
^05/30/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	58.26	0.58	0.00	0.58	100.0	0.00
^05/30/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	58.26	0.58	0.00	0.58	100.0	0.00
^05/30/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	100.0	0.00
^05/30/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.24	0.00	0.24	100.0	0.00
^05/30/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.10	0.00	0.10	100.0	0.00
^05/30/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	3.27	0.03	0.00	0.03	100.0	0.00
^05/30/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.47	0.00	0.47	100.0	0.00
^05/30/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.47	0.00	0.47	100.0	0.00
^05/30/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^05/30/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/30/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^05/30/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^05/30/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	10.28	0.10	0.00	0.10	100.0	0.00
^05/30/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	10.28	0.10	0.00	0.10	100.0	0.00
^05/30/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	58.18	0.58	0.00	0.58	100.0	0.00
^05/30/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	58.18	0.58	0.00	0.58	100.0	0.00
^05/30/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	56.46	0.56	0.00	0.56	100.0	0.00
^05/30/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	56.46	0.56	0.00	0.56	100.0	0.00
^05/30/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	34.82	0.35	0.00	0.35	100.0	0.00
^05/30/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	34.82	0.35	0.00	0.35	100.0	0.00
^05/30/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	35.68	0.36	0.00	0.36	100.0	0.00
^05/30/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	35.68	0.36	0.00	0.36	100.0	0.00
^05/30/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	70.73	0.71	0.00	0.71	100.0	0.00
^05/30/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	30.31	0.30	0.00	0.30	100.0	0.00
^05/30/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.77	0.08	0.00	0.08	100.0	0.00
^05/30/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.77	0.08	0.00	0.08	100.0	0.00
^05/30/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.88	0.34	0.00	0.34	100.0	0.00
^05/30/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.88	0.34	0.00	0.34	100.0	0.00
^05/30/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	54.30	0.54	0.00	0.54	100.0	0.00
^05/30/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	54.30	0.54	0.00	0.54	100.0	0.00
^05/30/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^05/30/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^05/30/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^05/30/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^05/30/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	47.82	0.48	0.00	0.48	100.0	0.00
^05/30/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	47.82	0.48	0.00	0.48	100.0	0.00
^05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.21	0.00	0.21	100.0	0.00
^05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.21	0.00	0.21	100.0	0.00
^06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	51.71	0.62	0.00	0.62	100.0	0.00
^06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	86.17	1.03	0.00	1.03	100.0	0.00
^06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	116.66	1.40	0.00	1.40	100.0	0.00
^06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	116.66	1.40	0.00	1.40	100.0	0.00
^06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	88.86	1.07	0.00	1.07	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	88.86	1.07	0.00	1.07	100.0	0.00
^05/30/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	135.61	1.36	0.00	1.36	100.0	0.00
^05/30/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	40.68	0.41	0.00	0.41	100.0	0.00
^05/21/2018	GUIER, BETHANY	AM FUNDS ICA A	50.00	2.50	0.00	2.50	100.0	0.00
^05/30/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.78	0.20	0.00	0.20	100.0	0.00
^05/30/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.78	0.20	0.00	0.20	100.0	0.00
^05/30/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.76	0.19	0.00	0.19	100.0	0.00
^05/30/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.76	0.19	0.00	0.19	100.0	0.00
^05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.36	0.19	0.00	0.19	100.0	0.00
^05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.35	0.19	0.00	0.19	100.0	0.00
^06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	71.76	0.86	0.00	0.86	100.0	0.00
^06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	12.40	0.00	12.40	100.0	0.00
^05/30/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.39	0.55	0.00	0.55	100.0	0.00
^05/30/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.39	0.55	0.00	0.55	100.0	0.00
^06/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	100.0	0.00
^05/30/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	3.13	0.00	3.13	100.0	0.00
^05/30/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^06/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	100.0	0.00
^05/30/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.18	0.00	0.18	100.0	0.00
^05/30/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.24	0.00	0.24	100.0	0.00
^05/30/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	58.76	0.59	0.00	0.59	100.0	0.00
^05/30/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.25	0.35	0.00	0.35	100.0	0.00
^05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.35	0.19	0.00	0.19	100.0	0.00
^05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.36	0.19	0.00	0.19	100.0	0.00
^05/30/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.28	0.00	0.28	100.0	0.00
^05/30/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.28	0.00	0.28	100.0	0.00
^06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.94	0.22	0.00	0.22	100.0	0.00
^06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	3.10	0.00	3.10	100.0	0.00
^06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	51.70	0.62	0.00	0.62	100.0	0.00
^06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	86.17	1.03	0.00	1.03	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$42,026.39	\$1,644.35	\$0.00	\$1,644.35		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
^05/29/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.55	0.00	0.55	100.0	0.00
^05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.08	0.00	0.08	100.0	0.00
^05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	14.96	0.00	14.96	100.0	0.00
^05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.08	0.00	0.08	100.0	0.00
^05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	14.96	0.00	14.96	100.0	0.00
^05/29/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.55	0.00	0.55	100.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$410.00	\$31.18	\$0.00	\$31.18		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

^06/01/2018	DOWNES, STEVEN	AM FUNDS 2025 TARGET DATE-A	0.00	0.27	0.00	0.27	100.0	0.00
^06/01/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.63	0.00	0.63	100.0	0.00
^06/01/2018	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	8.29	0.00	8.29	100.0	0.00
^06/01/2018	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	0.00	8.29	0.00	8.29	100.0	0.00
^06/01/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	0.00	10.19	0.00	10.19	100.0	0.00
^06/01/2018	ABEL, DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	31.04	0.00	31.04	100.0	0.00
^06/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	100.0	0.00
^06/01/2018	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	100.0	0.00
^06/01/2018	THOMPSON, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	204.73	0.00	204.73	100.0	0.00
^06/01/2018	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	11.18	0.00	11.18	100.0	0.00
^06/01/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	37.09	0.00	37.09	100.0	0.00
^06/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	10.91	0.00	10.91	100.0	0.00
^06/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	10.83	0.00	10.83	100.0	0.00
^06/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	18.88	0.00	18.88	100.0	0.00
^06/01/2018	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	31.92	0.00	31.92	100.0	0.00
^06/01/2018	MCKINNEY, MICHEL	AM FUNDS AMERICAN BALANCED A	0.00	31.12	0.00	31.12	100.0	0.00
^06/01/2018	JENNINGS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.63	0.00	0.63	100.0	0.00
^06/01/2018	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	0.00	2.47	0.00	2.47	100.0	0.00
^06/01/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	46.83	0.00	46.83	100.0	0.00
^06/01/2018	MCMILLION, CORENA	AM FUNDS AMERICAN BALANCED A	0.00	7.03	0.00	7.03	100.0	0.00
^06/01/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	100.0	0.00
^06/01/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.60	0.00	2.60	100.0	0.00
^06/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.08	0.00	0.08	100.0	0.00
^06/01/2018	VICTOR, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.72	0.00	0.72	100.0	0.00
^06/01/2018	BAILEY, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.17	0.00	2.17	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	100.0	0.00
^06/01/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.47	0.00	3.47	100.0	0.00
^06/01/2018	GUIER, LUCCA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.57	0.00	0.57	100.0	0.00
^06/01/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.18	0.00	8.18	100.0	0.00
^06/01/2018	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	100.0	0.00
^06/01/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.66	0.00	0.66	100.0	0.00
^06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	100.0	0.00
^06/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	100.0	0.00
^06/01/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	100.0	0.00
^06/01/2018	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	100.0	0.00
^06/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	100.0	0.00
^06/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^06/01/2018	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	100.0	0.00
^06/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	100.0	0.00
^06/01/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.51	0.00	2.51	100.0	0.00
^06/01/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	100.0	0.00
^06/01/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.97	0.00	1.97	100.0	0.00
^06/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.64	0.00	3.64	100.0	0.00
^06/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.75	0.00	0.75	100.0	0.00
^06/01/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	100.0	0.00
^06/01/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	100.0	0.00
^06/01/2018	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.34	0.00	0.34	100.0	0.00
^06/01/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.29	0.00	2.29	100.0	0.00
^06/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	0.00	0.21	100.0	0.00
^06/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	100.0	0.00
^06/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	100.0	0.00
^06/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00
^06/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00
^06/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	100.0	0.00
^06/01/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^06/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	100.0	0.00
^06/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^06/01/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00
^06/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	100.0	0.00
^06/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	100.0	0.00
^06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	100.0	0.00
^06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	100.0	0.00
^06/01/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	100.0	0.00
^06/01/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	100.0	0.00
^06/01/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	100.0	0.00
^06/01/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.23	0.00	1.23	100.0	0.00
^06/01/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	100.0	0.00
^06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.47	0.00	1.47	100.0	0.00
^06/01/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.46	0.00	3.46	100.0	0.00
^06/01/2018	SUMNER, J	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	100.0	0.00
^06/01/2018	HARKNESS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	100.0	0.00
^06/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	0.00	0.23	0.00	0.23	100.0	0.00
^06/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	0.00	0.15	0.00	0.15	100.0	0.00
^06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	0.00	2.36	0.00	2.36	100.0	0.00
^06/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	0.00	0.27	0.00	0.27	100.0	0.00
^06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	0.00	0.23	0.00	0.23	100.0	0.00
^06/01/2018	GUIER, BETHANY	AM FUNDS ICA A	0.00	0.54	0.00	0.54	100.0	0.00
^06/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.19	0.00	6.19	100.0	0.00
^06/01/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	0.00	4.47	0.00	4.47	100.0	0.00
^06/01/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	0.00	4.82	0.00	4.82	100.0	0.00
^06/01/2018	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	3.62	0.00	3.62	100.0	0.00
^06/01/2018	VICTOR, THOMAS	AM FUNDS NEW ECONOMY A	0.00	0.78	0.00	0.78	100.0	0.00
^06/01/2018	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	100.0	0.00
^06/01/2018	BAILEY, JUDITH	AM FUNDS NEW ECONOMY A	0.00	2.24	0.00	2.24	100.0	0.00
^06/01/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.24	0.00	0.24	100.0	0.00
^06/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.22	0.00	0.22	100.0	0.00
^06/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	0.00	0.25	0.00	0.25	100.0	0.00
^06/01/2018	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	0.00	0.33	0.00	0.33	100.0	0.00
^06/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	1.16	0.00	1.16	100.0	0.00
^06/01/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	0.00	0.15	0.00	0.15	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^06/01/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	0.00	3.45	0.00	3.45	100.0	0.00
^06/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	0.00	0.28	0.00	0.28	100.0	0.00
^06/01/2018	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	3.39	0.00	3.39	100.0	0.00
^06/01/2018	WHITE, PAYTON	AM FUNDS NEW WORLD A	0.00	0.32	0.00	0.32	100.0	0.00
^06/01/2018	BAILEY, JUDITH	AM FUNDS NEW WORLD A	0.00	2.03	0.00	2.03	100.0	0.00
^06/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	100.0	0.00
^06/01/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	0.00	2.44	0.00	2.44	100.0	0.00
^06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.61	0.00	0.61	100.0	0.00
^06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.46	0.00	0.46	100.0	0.00
^06/01/2018	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	0.00	0.11	0.00	0.11	100.0	0.00
^06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	0.26	0.00	0.26	100.0	0.00
^06/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	0.00	0.42	0.00	0.42	100.0	0.00
^06/01/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	3.51	0.00	3.51	100.0	0.00
^06/01/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.49	0.00	0.49	100.0	0.00
^06/01/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	0.00	3.50	0.00	3.50	100.0	0.00
^06/01/2018	GUIER, LUCCA	AM FUNDS SMALLCAP WORLD-529A	0.00	0.19	0.00	0.19	100.0	0.00
^06/01/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.14	0.00	0.14	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$566.42	\$0.00	\$566.42		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$42,436.39	\$2,241.95	\$0.00	\$2,241.95		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00