



Concorde Investment Services, LLC

04/18/2017  
Page No. 1

## COMMISSION STATEMENT

March 31, 2017

ALAN LICHTENSTEIN  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: L133  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/20/2017	WITKIN, CAROL ANN	ALTRIA GROUP INC COM	-7,017.97	0.00	22.50	-22.50	75.0	-16.88
03/17/2017	SHEPHERD FOUNDATION	COTTONWOOD MULTIFAMILY REIT I INC C	20,000.00	0.00	0.00	0.00	75.0	0.00
* STOCKS SUBTOTAL *			<b>\$12,982.03</b>	<b>\$0.00</b>	<b>\$22.50</b>	<b>\$-22.50</b>		<b>\$-16.88</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$12,982.03</b>	<b>\$0.00</b>	<b>\$22.50</b>	<b>\$-22.50</b>		<b>\$-16.88</b>
<b><u>ADJUSTMENTS</u></b>								
03/31/2017		*MISC: BUSINESS CARDS	0.00					-272.50
03/31/2017		*PERSHING: MARK UPS	0.00					30.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-242.50</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$-16.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-242.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-12.40
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-271.78</b>
<b>Year-to-date Gross</b>	<b>\$10,475.00</b>
<b>Year-to-date Earnings</b>	<b>\$13,706.62</b>