



Concorde Investment Services, LLC

04/27/2017
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COMMISSION STATEMENT

April 30, 2017

THOMAS HALSEY
7261 W. Central Ave.

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
04/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
04/17/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	9.56
04/05/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.51	0.00	0.51	85.0	0.43
04/05/2017	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.48	0.00	0.48	85.0	0.41
04/05/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	2.77	0.00	2.77	85.0	2.35
04/05/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.61	0.00	0.61	85.0	0.52
04/05/2017	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.60	0.00	0.60	85.0	0.51
04/05/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	4.78	0.00	4.78	85.0	4.06
04/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPLUS C	0.00	4.30	0.00	4.30	85.0	3.66
04/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPLUS C	0.00	7.75	0.00	7.75	85.0	6.59
04/04/2017	FRANTZ, JUDITH	PIONEER CULLEN VALUE FUND A	0.00	15.72	0.00	15.72	85.0	13.36
04/04/2017	FRANTZ, JUDITH	PIONEER EMERGING MARKETS A	0.00	3.72	0.00	3.72	85.0	3.16
04/04/2017	FRANTZ, JUDITH	PIONEER EQUITY INCOME A	0.00	30.80	0.00	30.80	85.0	26.18
04/04/2017	FRANTZ, JUDITH	PIONEER FUND A	0.00	3.65	0.00	3.65	85.0	3.10
04/04/2017	FRANTZ, JUDITH	PIONEER GROWTH OPPORTUNITIES A	0.00	3.76	0.00	3.76	85.0	3.20
04/04/2017	FRANTZ, JUDITH	PIONEER VALUE A	0.00	29.78	0.00	29.78	85.0	25.31
* MUTUAL FUNDS SUBTOTAL *			\$350.00	\$134.23	\$0.00	\$134.23		\$114.08
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/12/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
04/17/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	6,500.00	260.00	0.00	260.00	85.0	221.00
* VARIABLE ANNUITIES SUBTOTAL *			\$6,550.00	\$262.75	\$0.00	\$262.75		\$223.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: LIFE INSURANCE

03/31/2017	ZORNOW TRUST, BETTY	AMERITAS LIFE TRAILS	0.00	94.62	0.00	94.62	85.0	80.43
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$94.62	\$0.00	\$94.62		\$80.43
*** BROKER TRANSACTIONS TOTAL ***			\$6,900.00	\$491.60	\$0.00	\$491.60		\$417.85

BROKER COMMISSION TOTALS

Broker Transactions	\$417.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$417.85
Year-to-date Gross	\$22,285.00
Year-to-date Earnings	\$19,103.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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