

**COMMISSION STATEMENT****June 30, 2018**

JIM LUND  
2060 Huntington Drive  
Suite 1  
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015  
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.00	0.00	6.00	0.0	0.00
* 529 PLANS SUBTOTAL *			\$0.00	\$6.00	\$0.00	\$6.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/15/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
06/05/2018	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
06/15/2018	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	11.76	0.00	11.76	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	3.92	0.00	3.92	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$700.00	\$33.18	\$0.00	\$33.18		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$700.00	\$39.18	\$0.00	\$39.18		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$2,976.06</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>