

# COMMISSION STATEMENT

April 30, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

## COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/29/2018	153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00 50.0 -2.50
03/29/2018	153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00 50.0 -2.50
04/06/2018	153222802	BAHAN, MARGARET	MF	NEW PERSPECTIVE FUND-A	S	30,002.45	1,275.00	5.00	1,270.00 50.0 635.00
04/17/2018	677002494	SHEWITZ, ZACHARY	MF	THE GROWTH FUND OF AMERICA-A	B	-977.55	0.00	5.00	-5.00 50.0 -2.50
				<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$27,824.90</b>	<b>\$1,275.00</b>	<b>\$20.00</b>	<b>\$1,255.00 \$627.50</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/05/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-51,411.76	35.00	15.00	20.00 50.0 10.00
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-10,224.56	6.90	15.00	-8.10 50.0 -4.05
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-9,240.66	6.23	0.00	6.23 50.0 3.12
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-6,541.14	4.41	0.00	4.41 50.0 2.21
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-5,191.38	3.50	0.00	3.50 50.0 1.75
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-5,191.38	3.50	0.00	3.50 50.0 1.75
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-5,191.38	3.50	0.00	3.50 50.0 1.75
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-5,139.47	3.46	0.00	3.46 50.0 1.73
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-2,595.69	1.75	0.00	1.75 50.0 0.88
04/16/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-2,595.69	1.75	0.00	1.75 50.0 0.88
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-20,592.67	13.62	15.00	-1.38 50.0 -0.69
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-18,530.32	12.25	0.00	12.25 50.0 6.13
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-8,365.12	5.53	0.00	5.53 50.0 2.77
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-5,294.38	3.50	0.00	3.50 50.0 1.75
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-52.94	0.04	0.00	0.04 50.0 0.02
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-52.94	0.04	0.00	0.04 50.0 0.02
04/17/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY AEROSPACE &	S	-52.94	0.04	0.00	0.04 50.0 0.02
04/18/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY 20+ YR TREASURY	S	-379,953.77	35.00	15.00	20.00 50.0 10.00
04/18/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY 20+ YR TREASURY	S	-119,965.78	35.00	15.00	20.00 50.0 10.00
04/13/2018	676362622	SMITH TTEE, RANDALL	S	SOUTHERN COMPANY	B	19,810.41	35.00	15.00	20.00 50.0 10.00
04/13/2018	676367088	SMITH, RANDALL	S	AT&T INC	B	10,569.97	35.00	15.00	20.00 50.0 10.00
04/24/2018	676367088	SMITH, RANDALL	S	SPECTRA ENERGY PARTNERS LP	B	29,607.17	35.00	15.00	20.00 50.0 10.00
				<b>* STOCKS SUBTOTAL *</b>		<b>\$-596,196.42</b>	<b>\$280.02</b>	<b>\$120.00</b>	<b>\$160.02 \$80.04</b>

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
<b><u>PRODUCT CATEGORY: BONDS</u></b>											
04/23/2018	214424906	DORER, RICHARD	B	MEMPHIS TENN GEN IMPT BDS 2018	B	50,000.00	275.00	15.00	260.00	50.0	130.00
04/26/2018	676362695	SMITH, RANDALL	B	NEW BRAUNFELS UTIL SYS	B	24,155.83	20.00	15.00	5.00	50.0	2.50
* BONDS SUBTOTAL *					\$74,155.83	\$295.00	\$30.00	\$265.00	\$132.50		
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>											
04/18/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677002494	S	0.00	20.00	0.00	20.00	50.0	10.00
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$20.00	\$0.00	\$20.00	\$10.00		
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>											
04/20/2018	mfc219642	SMITH RANDALL		MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	7.57	0.00	7.57	50.0	3.79
04/20/2018	mfc219642	SMITH RANDALL		MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	11.36	0.00	11.36	50.0	5.68
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	2.18	0.00	2.18	50.0	1.09
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	7.42	0.00	7.42	50.0	3.71
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	11.58	0.00	11.58	50.0	5.79
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	13.90	0.00	13.90	50.0	6.95
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	16.50	0.00	16.50	50.0	8.25
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	16.98	0.00	16.98	50.0	8.49
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	20.12	0.00	20.12	50.0	10.06
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	26.30	0.00	26.30	50.0	13.15
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	30.93	0.00	30.93	50.0	15.47
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	41.80	0.00	41.80	50.0	20.90
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	42.85	0.00	42.85	50.0	21.43
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	61.85	0.00	61.85	50.0	30.93
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-F1	B	0.00	11.46	0.00	11.46	50.0	5.73
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	17.08	0.00	17.08	50.0	8.54
04/20/2018	mfc219642	SMITH RANDALL		MFT AMERICAN MUTUAL FUND-A	B	0.00	5.22	0.00	5.22	50.0	2.61
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL APPRECIATION A	B	0.00	1.84	0.00	1.84	50.0	0.92
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.82	0.00	1.82	50.0	0.91
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.05	0.00	5.05	50.0	2.53
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-A	B	0.00	14.99	0.00	14.99	50.0	7.50
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-A	B	0.00	18.28	0.00	18.28	50.0	9.14
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-A	B	0.00	23.42	0.00	23.42	50.0	11.71
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-A	B	0.00	81.48	0.00	81.48	50.0	40.74
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.20	0.00	1.20	50.0	0.60
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.39	0.00	3.39	50.0	1.70
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL INCOME BUILDER-F1	B	0.00	16.34	0.00	16.34	50.0	8.17
04/20/2018	mfc219642	SMITH RANDALL		MFT CAPITAL WORLD BOND FUND-A	B	0.00	6.19	0.00	6.19	50.0	3.10
04/20/2018	mfc219642	SMITH RANDALL		MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.06	0.00	0.06	50.0	0.03
04/20/2018	mfc219642	SMITH RANDALL		MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	7.15	0.00	7.15	50.0	3.58
04/20/2018	mfc219642	SMITH RANDALL		MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	16.34	0.00	16.34	50.0	8.17
04/20/2018	mfc219642	SMITH RANDALL		MFT DREYFUS HIGH YIELD FD A	B	0.00	3.04	0.00	3.04	50.0	1.52
04/20/2018	mfc219642	SMITH RANDALL		MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	3.95	0.00	3.95	50.0	1.98
04/20/2018	mfc219642	SMITH RANDALL		MFT EATON VANCE UTILITIES FUND	B	0.00	2.56	0.00	2.56	50.0	1.28

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04/20/2018	mfc219642 SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.38	0.00	0.38	50.0	0.19
04/20/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	17.97	0.00	17.97	50.0	8.99
04/20/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	9.95	0.00	9.95	50.0	4.98
04/20/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.42	0.00	5.42	50.0	2.71
04/20/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.60	0.00	3.60	50.0	1.80
04/20/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	6.21	0.00	6.21	50.0	3.11
04/20/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.03	0.00	6.03	50.0	3.02
04/20/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	62.01	0.00	62.01	50.0	31.01
04/20/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.46	0.00	1.46	50.0	0.73
04/20/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.85	0.00	5.85	50.0	2.93
04/20/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.14	0.00	1.14	50.0	0.57
04/20/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.55	0.00	1.55	50.0	0.78
04/20/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.36	0.00	6.36	50.0	3.18
04/20/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.82	0.00	13.82	50.0	6.91
04/20/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	30.35	0.00	30.35	50.0	15.18
04/20/2018	mfc219642 SMITH RANDALL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.66	0.00	22.66	50.0	11.33
04/20/2018	mfc219642 SMITH RANDALL	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	9.78	0.00	9.78	50.0	4.89
04/20/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.44	0.00	0.44	50.0	0.22
04/20/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.47	0.00	0.47	50.0	0.24
04/20/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.18	0.00	0.18	50.0	0.09
04/20/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.24	0.00	0.24	50.0	0.12
04/20/2018	mfc219642 SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.04	0.00	0.04	50.0	0.02
04/20/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.08	0.00	5.08	50.0	2.54
04/20/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.79	0.00	5.79	50.0	2.90
04/20/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	29.41	0.00	29.41	50.0	14.71
04/20/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	66.36	0.00	66.36	50.0	33.18
04/20/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	12.10	0.00	12.10	50.0	6.05
04/20/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	13.88	0.00	13.88	50.0	6.94
04/20/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.43	0.00	15.43	50.0	7.72
04/20/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	38.04	0.00	38.04	50.0	19.02
04/20/2018	mfc219642 SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	18.24	0.00	18.24	50.0	9.12
04/20/2018	mfc219642 SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	0.31	0.00	0.31	50.0	0.16
04/20/2018	mfc219642 SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.77	0.00	8.77	50.0	4.39
04/20/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.38	0.00	1.38	50.0	0.69
04/20/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	11.01	0.00	11.01	50.0	5.51
04/20/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53	50.0	0.27
04/20/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.78	0.00	0.78	50.0	0.39
04/20/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.96	0.00	0.96	50.0	0.48
04/20/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.89	0.00	3.89	50.0	1.95
04/20/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.55	0.00	16.55	50.0	8.28
04/20/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.10	0.00	0.10	50.0	0.05
04/20/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.21	0.00	3.21	50.0	1.61
04/20/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.45	0.00	6.45	50.0	3.23
04/20/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.30	0.00	15.30	50.0	7.65

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04/20/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	93.30	0.00	93.30	50.0	46.65
04/20/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	7.40	0.00	7.40	50.0	3.70
04/20/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	15.13	0.00	15.13	50.0	7.57
04/20/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	37.58	0.00	37.58	50.0	18.79
04/20/2018	mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	1.95	0.00	1.95	50.0	0.98
04/20/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.08	0.00	1.08	50.0	0.54
04/20/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.35	0.00	1.35	50.0	0.68
04/20/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.07	0.00	5.07	50.0	2.54
04/20/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.55	0.00	5.55	50.0	2.78
04/20/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	57.04	0.00	57.04	50.0	28.52
04/20/2018	mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.67	0.00	1.67	50.0	0.84
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,258.80</b>	<b>\$0.00</b>	<b>\$1,258.80</b>		<b>\$629.58</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-494,215.69</b>	<b>\$3,128.82</b>	<b>\$170.00</b>	<b>\$2,958.82</b>		<b>\$1,479.62</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/05/2018 (None) SMITH, MAR G	MF OPPENHEIMER	B	0.00	2.38	0.00	2.38	50.0	1.19
04/16/2018 (None) 0030282465	MF SCHOLARS CHOICE	B	0.00	1.50	0.00	1.50	50.0	0.75
04/20/2018 00058011489 FOSTER, EMILIE	MF AMERICAN BALANCED FUND-A	B	10,000.00	200.00	0.00	200.00	50.0	100.00
04/16/2018 00083485924 SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	50.0	0.63
04/12/2018 00084512960 GIBBS, KENDRA	MF CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
04/12/2018 00084512960 GIBBS, KENDRA	MF NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
04/12/2018 00084512960 GIBBS, KENDRA	MF THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
04/20/2018 00085302677 JORGE ALEXANDER	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
04/16/2018 00088038123 PONCE, YOLANDA	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$10,675.00</b>	<b>\$231.39</b>	<b>\$0.00</b>	<b>\$231.39</b>		<b>\$115.71</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None) ALLEN, JOHN	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	3.93	0.00	3.93	50.0	1.97
03/31/2018 (None) COUVILLION, TARI	MFT VIRTUS	B	0.00	17.26	0.00	17.26	50.0	8.63
04/13/2018 00089349722 JOHNSON, DIANNE	MFT DEUTSCHE GLOBAL INCOME BUILDER	B	0.00	24.60	0.00	24.60	50.0	12.30
04/13/2018 00097370346 ALLISON, PAUL	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	4.36	0.00	4.36	50.0	2.18
04/09/2018 04000051587 GARY, ROY	MFT AB INTERMEDIATE BOND A	B	0.00	56.77	0.00	56.77	50.0	28.39
04/09/2018 04700178865 GARY, ROY	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	44.83	0.00	44.83	50.0	22.42
04/09/2018 04700178865 GARY, ROY	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	51.98	0.00	51.98	50.0	25.99
04/09/2018 04700229945 GARY, ROY	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.19	0.00	1.19	50.0	0.60
04/09/2018 04700229945 GARY, ROY	MFT ALLIANCEBERNSTEIN SMALL CAP	B	0.00	158.51	0.00	158.51	50.0	79.26
04/09/2018 04700353651 MCDONALD, STEVEN	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	11.12	0.00	11.12	50.0	5.56
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$374.55</b>	<b>\$0.00</b>	<b>\$374.55</b>		<b>\$187.30</b>

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>									
04/11/2018 (None)	DICKEY, G	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	48.63	0.00	48.63	50.0	24.32
04/11/2018 (None)	STEHLING, KAREN	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	126.24	0.00	126.24	50.0	63.12
04/11/2018 (None)	STEHLING, KAREN	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	126.24	0.00	126.24	50.0	63.12
04/11/2018 (None)	STEHLING, KAREN	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	133.83	0.00	133.83	50.0	66.92
04/11/2018 (None)	KACHEL, M	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	92.22	0.00	92.22	50.0	46.11
04/11/2018 (None)	HILL, J	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	634.57	0.00	634.57	50.0	317.29
04/11/2018 (None)	HILL, J	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	257.43	0.00	257.43	50.0	128.72
04/11/2018 (None)	KACHEL, M	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	692.98	0.00	692.98	50.0	346.49
04/11/2018 (None)	BRADSHAW, J	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	517.17	0.00	517.17	50.0	258.59
04/11/2018 (None)	FLAHIVE, M	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	176.31	0.00	176.31	50.0	88.16
04/11/2018 (None)	DICKEY, G	VAT BRIGHTHOUSE FKA METLIFE	B	0.00	3.09	0.00	3.09	50.0	1.55
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$2,808.71</b>	<b>\$0.00</b>	<b>\$2,808.71</b>		<b>\$1,404.39</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$10,675.00</b>	<b>\$3,414.65</b>	<b>\$0.00</b>	<b>\$3,414.65</b>		<b>\$1,707.40</b>

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

04/13/2018 676365887	SMITH, RANDALL	S SOUTHERN COMPANY	B	9,905.46	20.00	7.50	12.50		6.25
04/24/2018 676365887	SMITH, RANDALL	S SPECTRA ENERGY PARTNERS LP	B	12,212.43	20.00	7.50	12.50		6.25
03/28/2018 676356642	SMITH, RANDALL	B MESQUITE TEXAS GO & CTFS	B	12,554.27	125.00	7.50	117.50		58.75
04/26/2018 676365887	SMITH, RANDALL	B COLLIN CO TX CMNTY COLLEGE	B	12,389.85	125.00	7.50	117.50		58.75
04/26/2018 676365887	SMITH, RANDALL	B NEW BRAUNFELS UTIL SYS	B	12,077.92	10.00	7.50	2.50		1.25
04/26/2018 676365887	SMITH, RANDALL	B NEW BRAUNFELS UTIL SYS	B	-12,077.92	-10.00	-7.50	-2.50		-1.25
04/26/2018 676365887	SMITH, RANDALL	B NEW BRAUNFELS UTIL SYS	B	12,077.92	125.00	7.50	117.50		58.75
04/20/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.38	0.00	3.38		1.69
04/20/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.14	0.00	4.14		2.07
04/20/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.02	0.00	6.02		3.01
04/20/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	8.85	0.00	8.85		4.43
04/20/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	9.27	0.00	9.27		4.64
04/20/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.55	0.00	1.55		0.78
<b>* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *</b>				<b>\$59,139.93</b>	<b>\$448.21</b>	<b>\$37.50</b>	<b>\$410.71</b>		<b>\$205.37</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>				<b>\$59,139.93</b>	<b>\$448.21</b>	<b>\$37.50</b>	<b>\$410.71</b>		<b>\$205.37</b>

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>REP LINK TRANSACTIONS</u>									
<b>BROKER: RANDY SMITH-D061 #66</b>									
04/16/2018	00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	-50.00	-0.50	0.00	-0.50	-0.10
04/16/2018	00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50	0.10
04/16/2018	00084947364	FBO RYAN MICHAEL MF	THE NEW ECONOMY FUND-C	B	-50.00	-0.50	0.00	-0.50	-0.10
04/16/2018	00084947364	FBO RYAN MICHAEL MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50	0.10
04/16/2018	04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	-50.00	-1.00	0.00	-1.00	-0.20
04/16/2018	04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	50.00	1.00	0.00	1.00	0.20
04/16/2018	08190129109	FBO JULIETTE G MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
04/16/2018	08190129110	PEYTON O LEBLANC, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
04/16/2018	08190129112	SARA E CANCIENNE, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
04/16/2018	08190129113	LILLY M SUTTON MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
04/16/2018	08191029111	PRESLIE G LEBLANC MF	MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
04/16/2018	08191270658	BEAU OUTLAW, JOHN MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
03/31/2018	(None)	VARIOUS, RANDALL SMITH MFT	COLUMBIA THREADNEEDLE	B	0.00	255.92	0.00	255.92	51.18
04/06/2018	00060872312	KNIGHT, WILLIAM MFT	WELLS FARGO GLOBAL	B	0.00	6.20	0.00	6.20	1.24
04/06/2018	00060872312	KNIGHT, WILLIAM MFT	WELLS FARGO GLOBAL	B	0.00	18.59	0.00	18.59	3.72
04/06/2018	00060872312	KNIGHT, WILLIAM MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	6.24	0.00	6.24	1.25
04/06/2018	00060872312	KNIGHT, WILLIAM MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	18.72	0.00	18.72	3.74
04/06/2018	00060872312	KNIGHT, WILLIAM MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	7.01	0.00	7.01	1.40
04/06/2018	00060872312	KNIGHT, WILLIAM MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	21.04	0.00	21.04	4.21
04/06/2018	01004814720	FINN, JOHN MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	28.34	0.00	28.34	5.67
04/06/2018	01004814720	FINN, JOHN MFT	WELLS FARGO DIVERSIFIED INC	B	0.00	85.01	0.00	85.01	17.00
04/06/2018	01004814720	FINN, JOHN MFT	WELLS FARGO GLOBAL	B	0.00	2.66	0.00	2.66	0.53
04/06/2018	01004814720	FINN, JOHN MFT	WELLS FARGO GLOBAL	B	0.00	7.99	0.00	7.99	1.60
04/06/2018	01004814720	FINN, JOHN MFT	WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	30.29	0.00	30.29	6.06
04/06/2018	01004814720	FINN, JOHN MFT	WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	90.87	0.00	90.87	18.17
04/06/2018	01007612996	DELSA, ROBERT MFT	WELLS FARGO GLOBAL	B	0.00	0.43	0.00	0.43	0.09
04/06/2018	01007612996	DELSA, ROBERT MFT	WELLS FARGO GLOBAL	B	0.00	1.29	0.00	1.29	0.26
04/06/2018	01007612996	DELSA, ROBERT MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	0.38	0.00	0.38	0.08
04/06/2018	01007612996	DELSA, ROBERT MFT	WELLS FARGO INTRINSIC WORLD	B	0.00	1.15	0.00	1.15	0.23
04/06/2018	01007612996	DELSA, ROBERT MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	1.13	0.00	1.13	0.23
04/06/2018	01007612996	DELSA, ROBERT MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	3.40	0.00	3.40	0.68
04/06/2018	01007799205	WARNIE, BARBARA MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	10.31	0.00	10.31	2.06
04/06/2018	01007799205	WARNIE, BARBARA MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	30.93	0.00	30.93	6.19
04/06/2018	01007799205	WARNIE, BARBARA MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	6.17	0.00	6.17	1.23
04/06/2018	01007799205	WARNIE, BARBARA MFT	WELLS FARGO SPECIAL SM CAP	B	0.00	18.52	0.00	18.52	3.70
04/06/2018	01007837183	DI GRADO, JOSEPH MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	2.04	0.00	2.04	0.41
04/06/2018	01007837183	DI GRADO, JOSEPH MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	6.13	0.00	6.13	1.23
04/06/2018	01008522715	ST JULIEN, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	2.81	0.00	2.81	0.56
04/06/2018	01008522715	ST JULIEN, MFT	WELLS FARGO SPECIAL MID CAP	B	0.00	8.42	0.00	8.42	1.68
04/06/2018	01009200054	PROPERTY, MFT	WELLS FARGO GLOBAL	B	0.00	6.30	0.00	6.30	1.26

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/06/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	18.90	0.00	18.90		3.78
04/06/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.57	0.00	2.57		0.51
04/06/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.72	0.00	7.72		1.54
04/06/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	11.07	0.00	11.07		2.21
04/06/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	33.21	0.00	33.21		6.64
04/06/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	3.05	0.00	3.05		0.61
04/06/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	9.15	0.00	9.15		1.83
04/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.23	0.00	2.23		0.45
04/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.70	0.00	6.70		1.34
04/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.10	0.00	1.10		0.22
04/06/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.29	0.00	3.29		0.66
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.88	0.00	2.88		0.58
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.64	0.00	8.64		1.73
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.99	0.00	2.99		0.60
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	8.97	0.00	8.97		1.79
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.16	0.00	3.16		0.63
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.48	0.00	9.48		1.90
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.76	0.00	2.76		0.55
04/06/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.29	0.00	8.29		1.66
04/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.16	0.00	7.16		1.43
04/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	21.48	0.00	21.48		4.30
04/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.01	0.00	3.01		0.60
04/06/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.02	0.00	9.02		1.80
04/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.44	0.00	2.44		0.49
04/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.31	0.00	7.31		1.46
04/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.47	0.00	2.47		0.49
04/06/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.40	0.00	7.40		1.48
04/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.20	0.00	18.20		3.64
04/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	54.60	0.00	54.60		10.92
04/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	23.35	0.00	23.35		4.67
04/06/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	70.05	0.00	70.05		14.01
04/06/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	7.75	0.00	7.75		1.55
04/06/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	23.26	0.00	23.26		4.65
04/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.34	0.00	2.34		0.47
04/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	7.02	0.00	7.02		1.40
04/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.24	0.00	4.24		0.85
04/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	12.71	0.00	12.71		2.54
04/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.78	0.00	2.78		0.56
04/06/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.33	0.00	8.33		1.67
04/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.30	0.00	21.30		4.26
04/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	63.90	0.00	63.90		12.78
04/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.55	0.00	9.55		1.91
04/06/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	28.66	0.00	28.66		5.73
04/06/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	0.93	0.00	0.93		0.19

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/06/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.80	0.00	2.80		0.56
04/06/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.12	0.00	1.12		0.22
04/06/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.35	0.00	3.35		0.67
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.01	0.00	2.01		0.40
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.03	0.00	6.03		1.21
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.39	0.00	3.39		0.68
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.17	0.00	10.17		2.03
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	2.16	0.00	2.16		0.43
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	6.47	0.00	6.47		1.29
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.55	0.00	4.55		0.91
04/06/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.65	0.00	13.65		2.73
04/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.89	0.00	1.89		0.38
04/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.68	0.00	5.68		1.14
04/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.64	0.00	4.64		0.93
04/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.92	0.00	13.92		2.78
04/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.19	0.00	2.19		0.44
04/06/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.56	0.00	6.56		1.31
04/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	2.04	0.00	2.04		0.41
04/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	6.13	0.00	6.13		1.23
04/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.67	0.00	1.67		0.33
04/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.01	0.00	5.01		1.00
04/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.50	0.00	2.50		0.50
04/06/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.50	0.00	7.50		1.50
04/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.03	0.00	1.03		0.21
04/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.10	0.00	3.10		0.62
04/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.21	0.00	1.21		0.24
04/06/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.62	0.00	3.62		0.72
04/06/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.81	0.00	3.81		0.76
04/06/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.43	0.00	11.43		2.29
04/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.20	0.00	4.20		0.84
04/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.61	0.00	12.61		2.52
04/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.51	0.00	5.51		1.10
04/06/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.53	0.00	16.53		3.31
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.59	0.00	1.59		0.32
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.78	0.00	4.78		0.96
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.72	0.00	1.72		0.34
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.17	0.00	5.17		1.03
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.09	0.00	2.09		0.42
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.28	0.00	6.28		1.26
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.02	0.00	2.02		0.40
04/06/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.07	0.00	6.07		1.21
04/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.42	0.00	8.42		1.68
04/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	25.27	0.00	25.27		5.05
04/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.22	0.00	6.22		1.24



COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.66	0.00	18.66		3.73
04/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.66	0.00	5.66		1.13
04/06/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	16.97	0.00	16.97		3.39
* RANDY SMITH-D601 SUBTOTAL *				\$400.00	\$1,537.11	\$0.00	\$1,537.11		\$307.39
*** REP LINK TRANSACTIONS TOTAL ***				\$400.00	\$1,537.11	\$0.00	\$1,537.11		\$307.39

ADJUSTMENTS

04/30/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		584.32
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$584.32

BROKER COMMISSION TOTALS

Broker Transactions	\$3,494.41
Split Transactions	\$205.37
Override Transactions	\$0.00
Adjustments	\$584.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,284.10
Year-to-date Commissions	\$34,726.58