

COMMISSION STATEMENT

April 30, 2017

KINGSBURY INC.

BROKER #:

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/18/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	30,000.00	1,200.00	15.00	1,185.00	0.0	0.00
04/18/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	30,000.00	1,200.00	15.00	1,185.00	0.0	0.00
04/17/2017	75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS	B	20,000.00	900.00	15.00	885.00	0.0	0.00
04/17/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	B	20,000.00	800.00	15.00	785.00	0.0	0.00
04/17/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	B	20,000.00	800.00	15.00	785.00	0.0	0.00
04/17/2017	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	S	1,000.00	0.00	809.40	-809.40	0.0	0.00
04/17/2017	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES	S	1,500.00	0.00	3,649.80	-3,649.80	0.0	0.00
04/26/2017	75597105	DAVIS, DONALD	FUNDAMENTAL INVESTORS-A	S	1,800.00	0.00	475.05	-475.05	0.0	0.00
04/18/2017	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	B	30,000.00	900.00	15.00	885.00	0.0	0.00
04/18/2017	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	B	30,000.00	900.00	15.00	885.00	0.0	0.00
04/21/2017	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	1,352.70	-1,352.70	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$185,100.00	\$6,700.00	\$6,391.95	\$308.05		\$0.00

PRODUCT CATEGORY: STOCKS

04/07/2017	75597043	RIZZO, MICHAEL	INMED PHARMACEUTICALS INC COM	B	5,720.00	55.00	12.00	43.00	0.0	0.00
04/25/2017	75597046	MENZ, SUSAN	CNO FINANCIAL GROUP INC	S	21,291.20	185.00	12.00	173.00	0.0	0.00
04/25/2017	75597046	MENZ, SUSAN	ILLINOIS TOOL WORKS INC	B	17,363.35	345.00	12.00	333.00	0.0	0.00
04/25/2017	75597046	MENZ, SUSAN	LOCKHEED MARTIN CORP	B	17,477.59	345.00	12.00	333.00	0.0	0.00
04/25/2017	75597047	MENZ, SUSAN	CNO FINANCIAL GROUP INC	S	21,261.30	150.00	12.00	138.00	0.0	0.00
04/25/2017	75597047	MENZ, SUSAN	ILLINOIS TOOL WORKS INC	B	9,712.49	200.00	12.00	188.00	0.0	0.00
04/25/2017	75597047	MENZ, SUSAN	LOCKHEED MARTIN CORP	B	9,414.13	200.00	12.00	188.00	0.0	0.00
04/06/2017	75597051	KOE, IAN	ILLINOIS TOOL WORKS INC	S	7,645.56	25.00	12.00	13.00	0.0	0.00
04/25/2017	75597070	MENZ, JAMES	ILLINOIS TOOL WORKS INC	B	6,948.00	140.00	12.00	128.00	0.0	0.00
04/25/2017	75597070	MENZ, JAMES	LOCKHEED MARTIN CORP	B	6,717.00	140.00	12.00	128.00	0.0	0.00
04/25/2017	75597072	JAMES R MENZ TTEE	ILLINOIS TOOL WORKS INC	B	19,441.79	425.00	12.00	413.00	0.0	0.00
04/25/2017	75597072	JAMES R MENZ TTEE	LOCKHEED MARTIN CORP	B	20,181.00	425.00	12.00	413.00	0.0	0.00
04/25/2017	75597072	JAMES R MENZ TTEE	VEREIT INC COM	S	17,244.00	399.00	12.00	387.00	0.0	0.00
04/07/2017	75597150	BLITZ, EDWIN	INMED PHARMACEUTICALS INC COM	B	5,730.00	20.00	12.00	8.00	0.0	0.00
04/25/2017	75597156	STOTTS, SARA	LOCKHEED MARTIN CORP	B	5,373.84	25.00	12.00	13.00	0.0	0.00
04/25/2017	75597161	STOTTS, ALLISON	VEREIT INC COM	S	16,280.22	299.00	12.00	287.00	0.0	0.00
04/25/2017	75597162	STOTTS, ALLISON	ILLINOIS TOOL WORKS INC	B	4,867.80	50.00	12.00	38.00	0.0	0.00

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
04/25/2017	75597162	STOTTS, ALLISON		LOCKHEED MARTIN CORP	B	4,836.22	50.00	12.00	38.00	0.0	0.00
04/07/2017	75599831	RF LAFFERTY & CO		INMED PHARMACEUTICALS INC COM	B	3,294.75	0.00	12.00	-12.00	0.0	0.00
04/07/2017	75599831	RF LAFFERTY & CO		INMED PHARMACEUTICALS INC COM	B	3,289.00	0.00	12.00	-12.00	0.0	0.00
04/07/2017	75599831	RF LAFFERTY & CO		INMED PHARMACEUTICALS INC COM	S	2,975.00	0.00	12.00	-12.00	0.0	0.00
04/07/2017	75599831	RF LAFFERTY & CO		INMED PHARMACEUTICALS INC COM	S	900.00	0.00	12.00	-12.00	0.0	0.00
04/07/2017	75599831	RF LAFFERTY & CO		INMED PHARMACEUTICALS INC COM	S	2,975.00	0.00	12.00	-12.00	0.0	0.00
* STOCKS SUBTOTAL *						\$230,939.24	\$3,478.00	\$276.00	\$3,202.00		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS											
04/19/2017	75597033	SCHIPFER, RYAN		UIT GUGGENHEIM DEFINED PORTFOL SER	B	3,501.89	108.55	12.00	96.55	0.0	0.00
04/19/2017	75597033	SCHIPFER, RYAN		UIT GUGGENHEIM DEFINED PORTFOL SER	B	2,000.68	45.02	12.00	33.02	0.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *						\$5,502.57	\$153.57	\$24.00	\$129.57		\$0.00
*** BROKER TRANSACTIONS TOTAL ***						\$421,541.81	\$10,331.57	\$6,691.95	\$3,639.62		\$0.00
ADJUSTMENTS											
04/30/2017		OTHER: OTHER				0.00	0.00	0.00	0.00		0.00
04/30/2017		OTHER: OTHER-360 OFFICE				0.00	0.00	0.00	0.00		-25.00
04/30/2017		:				0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***						\$0.00	\$0.00	\$0.00	\$0.00		\$-25.00
BROKER COMMISSION TOTALS											
Broker Transactions										\$0.00	
Split Transactions										\$0.00	
Override Transactions										\$0.00	
Adjustments										\$-25.00	
Payroll Draw										\$0.00	
Base Salary										\$0.00	
Prior Period Balance										\$0.00	
FINRA Assessment										\$-12.91	
SIPC Assessment										\$-193.72	
Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD											
Balance Forward										\$-231.63	
Year-to-date Earnings										\$-231.63	