



All Companies

05/14/2018
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COMMISSION STATEMENT May 15, 2018

MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	75.22	75.22	0.00	75.22	90.0	67.70
04/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.63	6.63	0.00	6.63	90.0	5.97
04/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	15.42	15.42	0.00	15.42	90.0	13.88
04/16/2018	MARTIN, BECK/HEATHER	-SCHOLARS EDGE 529 PLAN	B	100.00	3.00	0.00	3.00	90.0	2.70
04/16/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
04/19/2018	MCEACHERN, JAMES M	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
04/19/2018	MCEACHERN, PAIGE A	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
04/30/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	123.00	4.61	0.00	4.61	90.0	4.15
04/30/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	360.00	13.50	0.00	13.50	90.0	12.15
04/30/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	79.20	3.96	0.00	3.96	90.0	3.56
04/30/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	132.80	6.64	0.00	6.64	90.0	5.98
04/30/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	91.20	4.56	0.00	4.56	90.0	4.10
04/30/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	91.20	4.56	0.00	4.56	90.0	4.10
04/30/2018	000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	88.00	4.40	0.00	4.40	90.0	3.96
04/30/2018	000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	61.20	3.06	0.00	3.06	90.0	2.75
05/03/2018	0000000006174104 COTE, PATTY S.	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	250.00	12.50	0.00	12.50	90.0	11.25
04/30/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	560.00	15.68	0.00	15.68	90.0	14.11
04/30/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	57.60	1.61	0.00	1.61	90.0	1.45
04/30/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	107.52	4.03	0.00	4.03	90.0	3.63
04/30/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	42.24	1.58	0.00	1.58	90.0	1.42

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/30/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	420.00	11.76	0.00	11.76	90.0	10.58
04/30/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	43.20	1.21	0.00	1.21	90.0	1.09
04/30/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	80.64	3.02	0.00	3.02	90.0	2.72
04/30/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	31.68	1.19	0.00	1.19	90.0	1.07
04/27/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
04/17/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	230.00	8.63	0.00	8.63	90.0	7.77
04/16/2018	00001827274 MARTIN, BECK/HEATHER	LIFESTYLE MODERATE PORTFOLIO A	B	100.00	3.75	0.00	3.75	90.0	3.38
04/30/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	43.20	1.21	0.00	1.21	90.0	1.09
04/30/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	420.00	11.76	0.00	11.76	90.0	10.58
04/30/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	31.68	1.19	0.00	1.19	90.0	1.07
04/30/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	80.64	3.02	0.00	3.02	90.0	2.72
04/16/2018	00002042873 MARTIN, BECK	US GLOBAL LEADERS GROWTH A	B	200.00	7.50	0.00	7.50	90.0	6.75
04/16/2018	00002108774 KIZA, EILEEN	LIFESTYLE BALANCED PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00002109067 KIZA, EILEEN	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
04/17/2018	00002119967 MATHIS, JANET	LIFESTYLE GROWTH PORTFOLIO A	B	149.99	6.37	0.00	6.37	90.0	5.73
04/23/2018	00031779996 DOYLE, BLAKE	GLOBAL OPPORTUNITIES (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
04/23/2018	00031779996 DOYLE, BLAKE	PRINCIPAL INVESTORS LARGE CAP GROWTH B	B	100.00	3.00	0.00	3.00	90.0	2.70
04/23/2018	00031779996 DOYLE, BLAKE	SMALL-MIDCAP DIVIDEND INCOME (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
04/23/2018	00031780010 DOYLE, BLAKE E.	EQUITY INCOME I (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
04/23/2018	00031780010 DOYLE, BLAKE E.	GLOBAL OPPORTUNITIES (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
04/16/2018	00052962457 BELCHER, JAMES W/JAMES C	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2018	00068047824 DE SMIT, DIANA D	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	541.66	10.83	0.00	10.83	90.0	9.75
04/26/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	26.77	0.74	0.00	0.74	90.0	0.67
04/26/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	26.77	0.74	0.00	0.74	90.0	0.67
05/04/2018	00073689293 DE SMIT, PAUL	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	541.66	10.83	0.00	10.83	90.0	9.75
05/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	100.00	2.00	0.00	2.00	90.0	1.80
05/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	100.00	2.00	0.00	2.00	90.0	1.80
05/01/2018	00074961084 MONTGOMERY,	AMERICAN FUNDS INCOME FD OF AMERI B	B	100.00	2.00	0.00	2.00	90.0	1.80

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
JERMAINE									
05/04/2018	00075036376	GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL INCOME BUILD B	150.00	5.63	0.00	5.63	90.0	5.07
05/04/2018	00075036376	GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL WORLD GROWT B	150.00	5.63	0.00	5.63	90.0	5.07
04/30/2018	00075979142	LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
05/01/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B	25.00	1.06	0.00	1.06	90.0	0.95
04/25/2018	00084917868	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0	3.83
04/25/2018	00086510197	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0	3.83
04/25/2018	00086510293	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0	3.83
04/27/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	74.40	2.79	0.00	2.79	90.0	2.51
04/27/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	148.81	5.58	0.00	5.58	90.0	5.02
04/27/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	74.41	2.79	0.00	2.79	90.0	2.51
04/27/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD B	148.80	5.58	0.00	5.58	90.0	5.02
05/01/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A B	150.00	7.50	0.00	7.50	90.0	6.75
04/25/2018	00088005709	GOTTWALD, KATY	GROWTH PORTFOLIO-A B	100.00	4.25	0.00	4.25	90.0	3.83
WEBER									
04/26/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	55.27	1.52	0.00	1.52	90.0	1.37
04/26/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A B	150.00	4.13	0.00	4.13	90.0	3.72
04/26/2018	00088628251	GRINDELE, RUSSELL	AMERICAN FUNDS 2025 TARGET DATE-A B	26.64	0.73	0.00	0.73	90.0	0.66
G.									
04/26/2018	00088628251	GRINDELE, RUSSELL	AMERICAN FUNDS 2025 TARGET DATE-A B	26.64	0.73	0.00	0.73	90.0	0.66
G.									
04/26/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
04/26/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0	0.25
04/26/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	70.00	1.93	0.00	1.93	90.0	1.74
04/26/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A B	30.18	0.83	0.00	0.83	90.0	0.75
04/25/2018	00089825255	SASSMAN, KATHLEEN/SARAH	COLLEGE 2033 FUND-529A B	100.00	3.50	0.00	3.50	90.0	3.15
04/16/2018	00207266994	INGRAM, MEGAN	PORTFOLIO 2029-2032 A B	50.00	2.13	0.00	2.13	90.0	1.92
L/MONDRAE									
05/01/2018	04000020963	BURK, SHANNON	AMERICAN FUNDS 2050 TARGET DATE-A B	458.33	19.48	0.00	19.48	90.0	17.53
KELLEY M.									
05/03/2018	04000037092	KELLEY, JENNIFER	AMERICAN FUNDS 2040 TARGET DATE-A B	458.33	17.19	0.00	17.19	90.0	15.47
F.									
05/01/2018	04000470737	MINTER, ETHAN M.	AMERICAN FUNDS 2050 TARGET DATE-A B	300.00	15.00	0.00	15.00	90.0	13.50
05/01/2018	04000476660	PARISH, WESLEY	COLLEGE 2033 FUND-529A B	50.00	1.75	0.00	1.75	90.0	1.58
05/01/2018	04000795732	BETTIS, ZACHERY	GROWTH PORTFOLIO-529A B	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2018	04000795807	BETTIS, ZACHERY	GROWTH PORTFOLIO-529A B	50.00	2.50	0.00	2.50	90.0	2.25
04/17/2018	04000928379	UNRUH, TIFFANY L.	AMERICAN FUNDS 2040 TARGET DATE-A B	-100.00	-5.00	0.00	-5.00	90.0	-4.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/16/2018	04718377567 MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A	B	458.33	18.33	0.00	18.33	90.0	16.50
04/16/2018	07004631807 INGRAM, MEGAN /MORGHAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
* MUTUAL FUNDS SUBTOTAL *				<u>\$10,582.34</u>	<u>\$457.89</u>	<u>\$0.00</u>	<u>\$457.89</u>		<u>\$412.15</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/29/2018	TRAILS	-HARTFORD LIFE	B	3.48	3.48	0.00	3.48	90.0	3.13
05/03/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	166.26	166.26	0.00	166.26	90.0	149.63
05/01/2018	HYDINGER, DANIEL	BRIGHTHOUSE FINANCIAL	B	200.00	4.00	0.00	4.00	90.0	3.60
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$369.74</u>	<u>\$173.74</u>	<u>\$0.00</u>	<u>\$173.74</u>		<u>\$156.36</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	1,957.45	0.00	1,957.45	90.0	1,761.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$1,957.45</u>	<u>\$0.00</u>	<u>\$1,957.45</u>		<u>\$1,761.79</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$10,952.08</u>	<u>\$2,589.08</u>	<u>\$0.00</u>	<u>\$2,589.08</u>		<u>\$2,330.30</u>
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	175.14	70.06	0.00	70.06	90.0	63.05
* SNOW/MOYNIHAN SUBTOTAL *				<u>\$175.14</u>	<u>\$70.06</u>	<u>\$0.00</u>	<u>\$70.06</u>		<u>\$63.05</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$175.14</u>	<u>\$70.06</u>	<u>\$0.00</u>	<u>\$70.06</u>		<u>\$63.05</u>
<u>ADJUSTMENTS</u>									
05/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/15/2018		POSTAGE: POSTAGE		0.00	0.00	0.00	0.00		-13.75
05/15/2018		RENT: 1/2 MONTH		0.00	0.00	0.00	0.00		-125.00
05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-266.25</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,330.30
Split Transactions	\$63.05
Override Transactions	\$0.00
Adjustments	\$-266.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,127.10
Year-to-date Earnings	\$117,868.19