

**COMMISSION STATEMENT**
**June 30, 2018**

DENNUS COOPER-D339

BROKER #: D339

BRANCH: DMN

, TX

**COMMISSION STATEMENT for DENNUS COOPER-D339**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/11/2018	368455956	GOODVIN, TONI	MF	LARGE CAP EQUITY A	B	-1,497.55	0.00	5.00	-5.00	85.0	-4.25
06/14/2018	368459084	SCHOENHOFER, TRACY	MF	THE HARTFORD MID CAP FUND-A	B	-1,797.55	0.00	5.00	-5.00	85.0	-4.25
06/11/2018	706847580	FAMILY TRUST, THE	MF	CAPITAL APPRECIATION - CLASS A	S	46,502.45	1,511.25	5.00	1,506.25	85.0	1,280.31
06/11/2018	706847580	FAMILY TRUST, THE	MF	NATIXIS US DIVERS PORTFOLIO A	S	46,502.45	1,395.00	2.50	1,392.50	85.0	1,183.63
* MUTUAL FUNDS SUBTOTAL *						\$89,709.80	\$2,906.25	\$17.50	\$2,888.75	\$2,455.44	

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/11/2018	706847580	FAMILY TRUST, THE	MFT	SENTINEL SMALL COMPANY FUND -	S	25,402.45	698.50	2.50	696.00	85.0	591.60
06/21/2018	mfc109920	COOPER 109920	MFT	ALLIANZ OCC RENAISSANCE FUND	B	0.00	10.21	0.00	10.21	85.0	8.68
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928788	B	0.00	1.58	0.00	1.58	85.0	1.34
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	1.48	0.00	1.48	85.0	1.26
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	3.42	0.00	3.42	85.0	2.91
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	8.53	0.00	8.53	85.0	7.25
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	10.24	0.00	10.24	85.0	8.70
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	16.24	0.00	16.24	85.0	13.80
06/21/2018	mfc109920	COOPER 109920	MFT	BLK 091928846	B	0.00	19.17	0.00	19.17	85.0	16.29
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	2.05	0.00	2.05	85.0	1.74
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	3.15	0.00	3.15	85.0	2.68
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	4.05	0.00	4.05	85.0	3.44
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	6.35	0.00	6.35	85.0	5.40
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	12.40	0.00	12.40	85.0	10.54
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL APPRECIATION - CLASS A	B	0.00	17.91	0.00	17.91	85.0	15.22
06/21/2018	mfc109920	COOPER 109920	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.10	0.00	1.10	85.0	0.94
06/21/2018	mfc109920	COOPER 109920	MFT	DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	4.85	0.00	4.85	85.0	4.12
06/21/2018	mfc109920	COOPER 109920	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.87	0.00	0.87	85.0	0.74
06/21/2018	mfc109920	COOPER 109920	MFT	FRANKLIN INCOME FUND CLASS A	B	0.00	1.83	0.00	1.83	85.0	1.56
06/21/2018	mfc109920	COOPER 109920	MFT	FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	2.27	0.00	2.27	85.0	1.93
06/21/2018	mfc109920	COOPER 109920	MFT	LARGE CAP EQUITY A	B	0.00	3.02	0.00	3.02	85.0	2.57
06/21/2018	mfc109920	COOPER 109920	MFT	LARGE CAP EQUITY A	B	0.00	3.23	0.00	3.23	85.0	2.75
06/21/2018	mfc109920	COOPER 109920	MFT	LARGE CAP EQUITY A	B	0.00	7.52	0.00	7.52	85.0	6.39
06/21/2018	mfc109920	COOPER 109920	MFT	LARGE CAP EQUITY A	B	0.00	11.47	0.00	11.47	85.0	9.75

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06/21/2018	mfc109920	COOPER 109920		MFT LARGE CAP EQUITY A	B	0.00	11.87	0.00	11.87	85.0	10.09
06/21/2018	mfc109920	COOPER 109920		MFT LARGE CAP EQUITY A	B	0.00	18.33	0.00	18.33	85.0	15.58
06/21/2018	mfc109920	COOPER 109920		MFT LARGE CAP EQUITY A	B	0.00	19.42	0.00	19.42	85.0	16.51
06/21/2018	mfc109920	COOPER 109920		MFT LARGE CAP EQUITY A	B	0.00	19.82	0.00	19.82	85.0	16.85
06/21/2018	mfc109920	COOPER 109920		MFT MFS CONSERVATIVE ALLOCATION	B	0.00	3.22	0.00	3.22	85.0	2.74
06/21/2018	mfc109920	COOPER 109920		MFT MUTUAL SERIES SHARES CLASS A	B	0.00	3.08	0.00	3.08	85.0	2.62
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.99	0.00	2.99	85.0	2.54
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.45	0.00	8.45	85.0	7.18
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.57	0.00	8.57	85.0	7.28
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.86	0.00	8.86	85.0	7.53
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	9.75	0.00	9.75	85.0	8.29
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.40	0.00	10.40	85.0	8.84
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	12.24	0.00	12.24	85.0	10.40
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	12.85	0.00	12.85	85.0	10.92
06/21/2018	mfc109920	COOPER 109920		MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	24.50	0.00	24.50	85.0	20.83
06/21/2018	mfc109920	COOPER 109920		MFT ROCHESTER NATIONAL MUNICIPALS A	B	0.00	1.05	0.00	1.05	85.0	0.89
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	2.00	0.00	2.00	85.0	1.70
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.98	0.00	4.98	85.0	4.23
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.09	0.00	7.09	85.0	6.03
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.62	0.00	7.62	85.0	6.48
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.96	0.00	7.96	85.0	6.77
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.44	0.00	8.44	85.0	7.17
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	8.56	0.00	8.56	85.0	7.28
06/21/2018	mfc109920	COOPER 109920		MFT SENTINEL SMALL COMPANY FUND -	B	0.00	14.40	0.00	14.40	85.0	12.24
06/21/2018	mfc109920	COOPER 109920		MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	2.68	0.00	2.68	85.0	2.28
06/21/2018	mfc109920	COOPER 109920		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.86	0.00	0.86	85.0	0.73
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD CHECKS AND	B	0.00	0.71	0.00	0.71	85.0	0.60
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	0.80	0.00	0.80	85.0	0.68
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.77	0.00	2.77	85.0	2.35
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.96	0.00	2.96	85.0	2.52
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	3.20	0.00	3.20	85.0	2.72
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	8.86	0.00	8.86	85.0	7.53
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	9.06	0.00	9.06	85.0	7.70
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.27	0.00	10.27	85.0	8.73
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	10.42	0.00	10.42	85.0	8.86
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	13.81	0.00	13.81	85.0	11.74
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	18.16	0.00	18.16	85.0	15.44
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD MID CAP FUND-A	B	0.00	19.81	0.00	19.81	85.0	16.84
06/21/2018	mfc109920	COOPER 109920		MFT THE HARTFORD CAPITAL	B	0.00	11.65	0.00	11.65	85.0	9.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$25,402.45	\$1,203.91	\$2.50	\$1,201.41		\$1,021.21		
*** CLEARING TRANSACTIONS TOTAL ***				\$115,112.25	\$4,110.16	\$20.00	\$4,090.16		\$3,476.65		

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/01/2018 (None)	GATES, JASON	MF	JOHN HANCOCK FREEDOM	B	1,000.00	37.50	0.00	37.50	85.0	31.88
* MUTUAL FUNDS SUBTOTAL *					\$1,000.00	\$37.50	\$0.00	\$37.50		\$31.88
*** DIRECT TRANSACTIONS TOTAL ***					\$1,000.00	\$37.50	\$0.00	\$37.50		\$31.88

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	:	E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-175.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-175.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,508.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,333.53
Year-to-date Commissions	\$18,103.91