

COMMISSION STATEMENT

April 30, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/06/2018	75501342 WINNICK, HILLARY	LIBERTY MEDIA CORPORATION SERIES A B		34,296.83	20.00	0.00	20.00	55.0	0.00
04/10/2018	75501342 WINNICK, HILLARY	WHITE MOUNTAINS INSURANCE GROUP LTD B		68,986.39	20.00	0.00	20.00	55.0	0.00
04/06/2018	75501345 WINNICK, JOELY	LIBERTY MEDIA CORPORATION SERIES A B		34,316.82	19.96	0.00	19.96	55.0	0.00
04/10/2018	75501345 WINNICK, JOELY	ELLIS PERRY INTERNATIONAL INC S		39,415.50	20.00	0.00	20.00	55.0	0.00
04/10/2018	75501345 WINNICK, JOELY	WHITE MOUNTAINS INSURANCE GROUP LTD B		48,290.47	20.00	0.00	20.00	55.0	0.00
04/12/2018	QGV006075 PARTNERS, F/A/O:	STONEMOR PARTNERS L P COMMON UNIT R B		30,683.00	200.00	0.00	200.00	55.0	110.00
04/13/2018	QGV006075 PARTNERS, F/A/O:	STONEMOR PARTNERS L P COMMON UNIT R B		12,397.20	80.00	0.00	80.00	55.0	44.00
04/25/2018	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0 B		4,814.24	51.54	0.00	51.54	55.0	28.35
04/26/2018	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0 B		15,900.00	80.00	0.00	80.00	55.0	44.00
04/20/2018	QGV006430 JPMORGAN CLEARING	CANNAE HOLDINGS INC COMMON STOCK B		229,031.51	583.85	0.00	583.85	55.0	321.12
04/23/2018	QGV006430 JPMORGAN CLEARING	CANNAE HOLDINGS INC COMMON STOCK B		274,660.20	675.00	0.00	675.00	55.0	371.25
04/26/2018	QGV006430 JPMORGAN CLEARING	CANNAE HOLDINGS INC COMMON STOCK B		1,031,055.00	2,500.00	0.00	2,500.00	55.0	1,375.00
04/12/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-25,105.25	50.40	0.00	50.40	55.0	27.72
04/20/2018	QGV006448 MORGAN STANLEY	CANNAE HOLDINGS INC COMMON STOCK B		229,051.12	583.90	0.00	583.90	55.0	321.15
04/23/2018	QGV006448 MORGAN STANLEY	CANNAE HOLDINGS INC COMMON STOCK B		274,660.20	675.00	0.00	675.00	55.0	371.25
04/26/2018	QGV006448 MORGAN STANLEY	CANNAE HOLDINGS INC COMMON STOCK B		1,031,055.00	2,500.00	0.00	2,500.00	55.0	1,375.00
04/05/2018	QGW031106 TIMYAN, PHILIP	NORTHEAST CMNTY BANCORP INC COM B		17,958.60	18.50	0.00	18.50	55.0	0.00
04/16/2018	QGW031106 TIMYAN, PHILIP	WHITE MOUNTAINS INSURANCE GROUP LTD B		85,189.50	51.00	0.00	51.00	55.0	28.05
04/19/2018	QGW031106 TIMYAN, PHILIP	WILLAMETTE CMNTY BK ALBANY ORE COM B		17,999.60	51.00	0.00	51.00	55.0	28.05
* STOCKS SUBTOTAL *				\$3,454,655.93	\$8,200.15	\$0.00	\$8,200.15		\$4,444.94
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/29/2018	QGW034852 HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S		-1,090.00	75.00	0.00	75.00	55.0	41.25
04/11/2018	QGW034852 HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S		-1,470.00	75.00	0.00	75.00	55.0	41.25
* OPTIONS SUBTOTAL *				\$-2,560.00	\$150.00	\$0.00	\$150.00		\$82.50
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
04/13/2018	VARIOUS, WINNICK	RESEARCH- BARON 2Q 2018	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
04/25/2018	VARIOUS, WINNICK	STEADFAST FINANCIAL	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$5,000.00	\$0.00	\$5,000.00		\$2,750.00
*** BROKER TRANSACTIONS TOTAL ***				\$3,452,095.93	\$13,350.15	\$0.00	\$13,350.15		\$7,277.44

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ADJUSTMENTS

04/30/2018		FEDEX CHARGE: FEDEX CHARGE- OCHOA		0.00	0.00	0.00	0.00		-13.57
04/30/2018		OTHER: ADJ-HEWES SOFT DOLLAR		0.00	0.00	0.00	0.00		-2,497.80
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,511.37

BROKER COMMISSION TOTALS

Broker Transactions	\$7,277.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,511.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.44
SIPC Assessment	\$-20.88

Check Amount	\$4,734.75
Year-to-date Earnings	\$18,278.72