

**COMMISSION STATEMENT****November 30, 2017****DAMIAN DERING**

165 Culver Blvd

Suite A

Playa Del Rey, CA 90293

**BROKER #: D127**

BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
11/09/2017	CHUCK, LAURENCE	ASSETMARK	487.50	1.34	0.00	1.34	90.0	1.21
11/09/2017	CHUCK, LAURENCE	ASSETMARK	-487.50	-1.32	0.00	-1.32	90.0	-1.19
11/09/2017	CROCKETT, PATRICIA	ASSETMARK	7,452.53	19.49	0.00	19.49	90.0	17.54
11/09/2017	HAVENS, JACK	ASSETMARK	725.00	1.99	0.00	1.99	90.0	1.79
11/09/2017	HAVENS, JACK	ASSETMARK	-725.00	-1.96	0.00	-1.96	90.0	-1.76
11/09/2017	JOHNSON, MORGAN	ASSETMARK	1,000.00	2.85	0.00	2.85	90.0	2.57
11/09/2017	KUSUNOKI, MIKE	ASSETMARK	1,000.00	3.08	0.00	3.08	90.0	2.77
11/09/2017	KUSUNOKI, MIKE	ASSETMARK	-1,000.00	-3.05	0.00	-3.05	90.0	-2.75
11/09/2017	O'CONNELL, MAUREEN	ASSETMARK	-1,235.82	-2.61	0.00	-2.61	90.0	-2.35
11/09/2017	O'CONNELL, MAUREEN	ASSETMARK	-5,364.45	-11.17	0.00	-11.17	90.0	-10.05
11/09/2017	O'CONNELL, MAUREEN	ASSETMARK	9,497.05	20.16	0.00	20.16	90.0	18.14
11/09/2017	O'CONNELL, MAUREEN	ASSETMARK	-2,729.83	-5.76	0.00	-5.76	90.0	-5.18
11/09/2017	PEARSON, ROBERT	ASSETMARK	1,000.00	2.43	0.00	2.43	90.0	2.19
11/09/2017	SECRIST HOLDINGS LLC	ASSETMARK	4,166.21	9.12	0.00	9.12	90.0	8.21
11/17/2017	CASANOVA, JESSE	ASSETMARK	7,811.65	78.12	0.00	78.12	90.0	70.31
11/21/2017	SCANE, DARYL	PACIFIC FINANCIAL	110,198.81	81.34	0.00	81.34	90.0	73.21
<b>* RIA SUBTOTAL *</b>			<b>\$131,796.15</b>	<b>\$194.05</b>	<b>\$0.00</b>	<b>\$194.05</b>		<b>\$174.66</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$131,796.15</b>	<b>\$194.05</b>	<b>\$0.00</b>	<b>\$194.05</b>		<b>\$174.66</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$174.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$174.66
Year-to-date Gross	\$159,299.88
Year-to-date Earnings	\$143,370.08