



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT **September 30, 2017**

MARC LINSKY
104 B. Centre Blvd

Marlton, NJ 08053

BROKER #: L134
BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: INTERVAL FUNDS								
09/13/2017	BUSLER, MARIANNE	GRIFFIN INST'L ACCESS R E FUND C	12,008.30	120.08	0.00	120.08	90.0	108.07
09/14/2017	KROSNOWSKI SR, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	5,006.61	50.07	0.00	50.07	90.0	45.06
* INTERVAL FUNDS SUBTOTAL *			\$17,014.91	\$170.15	\$0.00	\$170.15		\$153.13
PRODUCT CATEGORY: MUTUAL FUNDS								
09/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	2.89	0.00	2.89	90.0	2.60
09/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.26	0.00	1.26	90.0	1.13
09/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.56	0.00	0.56	90.0	0.50
09/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.26	0.00	0.26	90.0	0.23
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.31	0.00	1.31	90.0	1.18
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.31	0.00	1.31	90.0	1.18
09/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.88	0.00	0.88	90.0	0.79
09/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.88	0.00	0.88	90.0	0.79
09/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.03	0.00	10.03	90.0	9.03
09/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	112.81	0.00	112.81	90.0	101.53
09/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.55	0.00	3.55	90.0	3.20
09/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.55	0.00	3.55	90.0	3.20
09/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.40	0.00	3.40	90.0	3.06
09/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.36	0.00	3.36	90.0	3.02
09/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.54	0.00	0.54	90.0	0.49
09/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.23	0.00	0.23	90.0	0.21
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.37	0.00	1.37	90.0	1.23
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.37	0.00	1.37	90.0	1.23
09/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	116.75	0.00	116.75	90.0	105.08
09/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.83	0.00	0.83	90.0	0.75
09/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.76	0.00	1.76	90.0	1.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.83	0.00	1.83	90.0	1.65
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.05	0.00	6.05	90.0	5.45
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.01	0.00	1.01	90.0	0.91
09/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.03	0.00	2.03	90.0	1.83
09/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.25	0.00	2.25	90.0	2.03
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.96	0.00	6.96	90.0	6.26
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.15	0.00	1.15	90.0	1.04
09/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.49	0.00	7.49	90.0	6.74
09/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.79	0.00	7.79	90.0	7.01
09/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.60	0.00	3.60	90.0	3.24
09/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.71	0.00	0.71	90.0	0.64
09/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.42	0.00	0.42	90.0	0.38
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.29	0.00	1.29	90.0	1.16
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.29	0.00	1.29	90.0	1.16
09/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.56	0.00	12.56	90.0	11.30
09/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	110.83	0.00	110.83	90.0	99.75
09/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.72	0.00	3.72	90.0	3.35
09/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.72	0.00	3.72	90.0	3.35
09/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.39	0.00	7.39	90.0	6.65
09/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.42	0.00	8.42	90.0	7.58
09/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.72	0.00	0.72	90.0	0.65
09/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.66	0.00	8.66	90.0	7.79
09/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
09/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.00	0.00	8.00	90.0	7.20
09/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.29	0.00	3.29	90.0	2.96
09/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.04	0.00	3.04	90.0	2.74
09/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.87	0.00	0.87	90.0	0.78
09/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.87	0.00	0.87	90.0	0.78
09/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.93	0.00	3.93	90.0	3.54
09/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	90.0	3.56
09/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
09/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	52.34	2.62	22.00	-19.38	90.0	-17.44
09/21/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	425.60	21.28	22.00	-0.72	90.0	-0.65

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
09/25/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	-1,250.00	22.00	22.00	0.00	90.0	0.00
09/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.68	0.00	0.68	90.0	0.61
09/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.68	0.00	0.68	90.0	0.61
09/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.39	0.00	1.39	90.0	1.25
09/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.14	0.00	3.14	90.0	2.83
09/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.64	0.00	0.64	90.0	0.58
09/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.64	0.00	0.64	90.0	0.58
09/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	6.40	0.00	6.40	90.0	5.76
09/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.43	0.00	0.43	90.0	0.39
09/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.15	0.00	1.15	90.0	1.04
09/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	6.12	0.00	6.12	90.0	5.51
09/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.58	0.00	2.58	90.0	2.32
09/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.58	0.00	2.58	90.0	2.32
09/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.41	0.00	6.41	90.0	5.77
09/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.65	0.00	6.65	90.0	5.99
09/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	90.0	0.56
09/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	90.0	0.56
09/21/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	421.38	21.07	22.00	-0.93	90.0	-0.84
09/01/2017	CENTANNI, RICHARD	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	1.95	0.00	1.95	90.0	1.76
09/12/2017	CHERRY, ANDREW	BLACKROCK LATIN AMERICA FUND INST C	-4,140.26	0.00	22.00	-22.00	90.0	-19.80
09/13/2017	CHERRY, ANDREW	BLACKROCK LATIN AMERICA FUND INST C	-3,697.50	0.00	22.00	-22.00	90.0	-19.80
09/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	7.10	0.00	7.10	90.0	6.39
09/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.58	0.00	2.58	90.0	2.32
09/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.58	0.00	2.58	90.0	2.32
09/25/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	-960.00	22.00	22.00	0.00	90.0	0.00
09/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	230.58	2.31	22.00	-19.69	90.0	-17.72
09/15/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	-675.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	1,170.14	38.03	22.00	16.03	90.0	14.43
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	2,854.00	92.76	22.00	70.76	90.0	63.68
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	4,266.73	138.67	22.00	116.67	90.0	105.00
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	2,211.85	71.89	22.00	49.89	90.0	44.90
09/25/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	-200.00	22.00	22.00	0.00	90.0	0.00
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	1,164.12	17.46	22.00	-4.54	90.0	-4.09

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	2,847.08	42.71	22.00	20.71	90.0	18.64
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	2,201.80	33.03	22.00	11.03	90.0	9.93
09/14/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	-950.00	22.00	22.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$6,197.86	\$1,129.10	\$374.00	\$755.10		\$679.63
<u>PRODUCT CATEGORY: STOCKS</u>								
09/13/2017	BOND-HILTNER, LINDA	J JILL INC COM	5,038.60	30.00	22.75	7.25	90.0	6.53
09/25/2017	GARAFOLA, GRACE	TUPPERWARE BRANDS CORP COM	-889.91	30.00	22.50	7.50	90.0	6.75
* STOCKS SUBTOTAL *			\$4,148.69	\$60.00	\$45.25	\$14.75		\$13.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/21/2017	LINSKY, VARIOUS	TRANSAMERICA	735.00	3.68	0.00	3.68	90.0	3.31
09/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
09/18/2017	ROSENBLUM, LUCILLE	METLIFE SHIELD LEVEL SELECT 6 YR	200,345.10	12,020.71	0.00	12,020.71	90.0	10,818.64
09/05/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	82.82	0.00	82.82	90.0	74.54
* VARIABLE ANNUITIES SUBTOTAL *			\$201,380.10	\$12,128.21	\$0.00	\$12,128.21		\$10,915.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/11/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.08	0.00	55.08	90.0	49.57
09/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	219.68	0.00	219.68	90.0	197.71
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$274.76	\$0.00	\$274.76		\$247.28
*** BROKER TRANSACTIONS TOTAL ***			\$228,741.56	\$13,762.22	\$419.25	\$13,342.97		\$12,008.71

SPLIT TRANSACTIONS**BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272**

09/25/2017	DAMONE, DENNIS	COTTONWOOD MULTIFAMILY REIT II, INC	32,500.00	0.00	0.00	0.00	90.0	0.00
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$32,500.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273

09/21/2017	WASSERMAN TRUST, NINA	DELTA AIRLINES INC COM NEW	14,449.50	0.00	7.88	-7.88	90.0	-7.09
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$14,449.50	\$0.00	\$7.88	\$-7.88		\$-7.09
*** SPLIT TRANSACTIONS TOTAL ***			\$46,949.50	\$0.00	\$7.88	\$-7.88		\$-7.09

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<u>ADJUSTMENTS</u>								
09/30/2017		*FINRA: CRD REG APPLICATION FEE DAV	0.00					-100.00
09/30/2017		*FINRA: FBI FINGERPRINT FEE DAVID L	0.00					-10.00
09/30/2017		*FINRA: FINRA FINGERPRINTING PROCES	0.00					-29.50
09/30/2017		*FINRA: FINRA MEMB. ELEC. FINGERPRI	0.00					-15.00
09/30/2017		*FINRA: FIRM ELEMENT CONTINUING ED	0.00					-125.00
09/30/2017		*FINRA: SERIES 7 EXAM WINDOW DAVID	0.00					-305.00
09/30/2017		*MISC: PROCESSING AND SERVICE FEE D	0.00					-55.00
09/30/2017		*PERSHING: MARK UPS	0.00					10.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-629.00

BROKER COMMISSION TOTALS

Broker Transactions	\$12,008.71
Split Transactions	\$-7.09
Override Transactions	\$0.00
Adjustments	\$-629.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,372.62
Year-to-date Gross	\$538,800.35
Year-to-date Earnings	\$484,125.58

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