



COMMISSION STATEMENT

February 26, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/13/2018	***-**-761I - HUSTEK, PETER	AMCAP FUND-A	32.82	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 00087410644	BUY 1 Units @33.0500						
02/13/2018	***-**-761I - HUSTEK, PETER	AMCAP FUND-A	32.82	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 00087410644	BUY 1 Units @33.0500						
02/15/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @34.3200						
02/13/2018	***-**-0514 - SMITLEY, DONALD	AMERICAN BALANCED FUND-A	78.23	1.56	0.00	1.56	80.0	1.25
	ACCOUNT #: 00089895362	BUY 3 Units @27.6100						
02/13/2018	***-**-0514 - SMITLEY, DONALD	AMERICAN BALANCED FUND-A	130.39	2.61	0.00	2.61	80.0	2.09
	ACCOUNT #: 00089895362	BUY 5 Units @27.6100						
02/13/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	32.76	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00083064667	BUY 1 Units @27.9000						
02/13/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.9000						
02/13/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	154.64	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 04000563712	BUY 6 Units @27.9000						
02/13/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	46.39	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000563712	BUY 2 Units @27.9000						
02/14/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6400						
02/14/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.6400						
02/14/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6400						
02/14/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.6400						
02/14/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6400						
02/14/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.6400						
02/14/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.6400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/14/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.6400						
02/13/2018	***-**-089I - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	212.01	4.24	0.00	4.24	80.0	3.39
	ACCOUNT #: 00084671422	BUY 18 Units @11.7800						
02/13/2018	***-**-089I - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	106.01	2.12	0.00	2.12	80.0	1.70
	ACCOUNT #: 00084671422	BUY 9 Units @11.7800						
02/13/2018	***-**-852I - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	1,153.84	23.08	0.00	23.08	80.0	18.46
	ACCOUNT #: 00084671526	BUY 98 Units @11.7800						
02/13/2018	***-**-852I - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	346.16	6.92	0.00	6.92	80.0	5.54
	ACCOUNT #: 00084671526	BUY 29 Units @11.7800						
02/13/2018	***-**-640I - SILVA, VICTOR	AMERICAN FUNDS 2020 TARGET DATE-A	105.95	2.12	0.00	2.12	80.0	1.70
	ACCOUNT #: 00087410616	BUY 8 Units @12.8100						
02/13/2018	***-**-640I - SILVA, VICTOR	AMERICAN FUNDS 2020 TARGET DATE-A	63.56	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 00087410616	BUY 5 Units @12.8100						
02/13/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.78	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9400						
02/13/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.9400						
02/13/2018	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	92.58	1.85	0.00	1.85	80.0	1.48
	ACCOUNT #: 00085997645	BUY 7 Units @13.7400						
02/13/2018	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	92.58	1.85	0.00	1.85	80.0	1.48
	ACCOUNT #: 00085997645	BUY 7 Units @13.7400						
02/13/2018	***-**-323I - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	82.82	1.66	0.00	1.66	80.0	1.33
	ACCOUNT #: 00085997891	BUY 6 Units @13.7400						
02/13/2018	***-**-323I - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	110.43	2.21	0.00	2.21	80.0	1.77
	ACCOUNT #: 00085997891	BUY 8 Units @13.7400						
02/13/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	73.66	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 00087410497	BUY 5 Units @15.2400						
02/13/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	73.66	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 00087410497	BUY 5 Units @15.2400						
02/13/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	80.0	0.95
	ACCOUNT #: 04000563724	BUY 3 Units @15.4000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/13/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	115.38	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: 04000563724	BUY 7 Units @15.4000						
02/13/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	132.00	2.64	0.00	2.64	80.0	2.11
	ACCOUNT #: 00084671437	BUY 8 Units @15.6200						
02/13/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	264.00	5.28	0.00	5.28	80.0	4.22
	ACCOUNT #: 00084671437	BUY 17 Units @15.6200						
02/13/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	138.48	2.77	0.00	2.77	80.0	2.22
	ACCOUNT #: 00084670745	BUY 9 Units @15.8800						
02/13/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	138.48	2.77	0.00	2.77	80.0	2.22
	ACCOUNT #: 00084670745	BUY 9 Units @15.8800						
02/13/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	95.39	1.91	0.00	1.91	80.0	1.53
	ACCOUNT #: 00084671439	BUY 6 Units @15.8800						
02/13/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	95.39	1.91	0.00	1.91	80.0	1.53
	ACCOUNT #: 00084671439	BUY 6 Units @15.8800						
02/13/2018	***-**-6979 - ECKER, ASHLEY	AMERICAN FUNDS 2050 TARGET DATE-A	238.44	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00084671531	BUY 15 Units @15.5500						
02/13/2018	***-**-6979 - ECKER, ASHLEY	AMERICAN FUNDS 2050 TARGET DATE-A	238.44	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00084671531	BUY 15 Units @15.5500						
02/13/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	44.09	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 00081526588	BUY 3 Units @15.7100						
02/13/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.7100						
02/13/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.7100						
02/13/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	48.83	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 04000563702	BUY 3 Units @15.7100						
02/13/2018	***-**-838I - TYSZKA, SEAN	AMERICAN FUNDS 2060 TARGET DATE-A	49.34	0.99	0.00	0.99	80.0	0.79
	ACCOUNT #: 04000234338	BUY 4 Units @12.7300						
02/13/2018	***-**-838I - TYSZKA, SEAN	AMERICAN FUNDS 2060 TARGET DATE-A	49.34	0.99	0.00	0.99	80.0	0.79
	ACCOUNT #: 04000234338	BUY 4 Units @12.7300						
02/13/2018	***-**-1540 - FROSCENO, KAYLA	AMERICAN FUNDS 2060 TARGET DATE-A	91.29	1.83	0.00	1.83	80.0	1.46
	ACCOUNT #: 04000234351	BUY 7 Units @12.7300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/13/2018	***-**-1540 - FROSCENO, KAYLA	AMERICAN FUNDS 2060 TARGET DATE-A	68.47	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 04000234351	BUY 5 Units @12.7300						
02/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN HIGH-INCOME TRUST-A	30.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00086854812	BUY 3 Units @10.4900						
02/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN HIGH-INCOME TRUST-A	70.00	1.40	0.00	1.40	80.0	1.12
	ACCOUNT #: 00086854812	BUY 7 Units @10.4900						
02/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	140.00	2.80	0.00	2.80	80.0	2.24
	ACCOUNT #: 00086854812	BUY 3 Units @41.4800						
02/13/2018	***-**-88SI - CROSSMAN, ROBERT	AMERICAN MUTUAL FUND-A	60.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00086854812	BUY 1 Units @41.4800						
02/13/2018	***-**-761I - HUSTEK, PETER	AMERICAN MUTUAL FUND-A	24.61	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 00087410644	BUY 1 Units @41.4800						
02/13/2018	***-**-761I - HUSTEK, PETER	AMERICAN MUTUAL FUND-A	24.61	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 00087410644	BUY 1 Units @41.4800						
02/13/2018	***-**-88SI - CROSSMAN, ROBERT	CAPITAL INCOME BUILDER-A	70.00	1.40	0.00	1.40	80.0	1.12
	ACCOUNT #: 00086854812	BUY 1 Units @63.0600						
02/13/2018	***-**-88SI - CROSSMAN, ROBERT	CAPITAL INCOME BUILDER-A	30.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00086854812	BUY 0 Units @63.0600						
02/15/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 00067807144	BUY 2 Units @54.6600						
02/13/2018	***-**-761I - HUSTEK, PETER	EUROPACIFIC GROWTH FUND-A	24.62	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 00087410644	BUY 0 Units @57.6500						
02/13/2018	***-**-761I - HUSTEK, PETER	EUROPACIFIC GROWTH FUND-A	24.62	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 00087410644	BUY 0 Units @57.6500						
02/16/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000060177222593	BUY 1 Units @102.2400						
02/16/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000090236508494	BUY 31 Units @2.4200						
02/26/2018	***-**-078I - PELLETIER,	LIVEWELL MUTUAL FUND	0.00	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
02/26/2018	***-**-90SI - MACARON, SHADY	LIVEWELL MUTUAL FUND	0.00	68.68	0.00	68.68	80.0	54.94
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/26/2018	***-**-187I - HENEERY, KEVIN	LIVEWELL MUTUAL FUND	0.00	31.99	0.00	31.99	80.0	25.59
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
02/26/2018	***-**-027I - ADAMAITIS,	LIVEWELL MUTUAL FUND	0.00	8.97	0.00	8.97	80.0	7.18
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
02/26/2018	***-**-118I - MORRO, CHARLOTTE	LIVEWELL MUTUAL FUND	0.00	7.91	0.00	7.91	80.0	6.33
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
02/16/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000000007745197	BUY 2 Units @33.2100						
02/16/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000006984020841	BUY 6 Units @12.3400						
02/14/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6400						
02/14/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6400						
02/15/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 3 Units @48.2200						
* MUTUAL FUNDS SUBTOTAL *			\$7,517.42	\$280.03	\$0.00	\$280.03		\$224.04

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/20/2018	***-**-90IN - GAW, PATRICIA	AIM CHARTER FUND - CLASS A	0.00	3.31	0.00	3.31	80.0	2.65
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
02/20/2018	***-**-968X - GAW, LELAND	AMCAP FUND-A	0.00	6.93	0.00	6.93	80.0	5.54
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
02/20/2018	***-**-552X - FAMIGLIETTI,	AMCAP FUND-A	0.00	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
02/20/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: LSR010802	BUY 1 Units @0.0000						
02/20/2018	***-**-404X - SCHROETER, LAURA	AMERICAN 2025 TARGET DATE RETIREMNT	0.00	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: LSR010799	BUY 1 Units @0.0000						
02/20/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.55	0.00	1.55	80.0	1.24
	ACCOUNT #: LSR010789	BUY 1 Units @0.0000						
02/20/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	24.51	0.00	24.51	80.0	19.61
	ACCOUNT #: LSR010800	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/20/2018	***-**-032X - MONACO, SARAH ACCOUNT #: LSR010801	AMERICAN 2035 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	20.36	0.00	20.36	80.0	16.29
02/20/2018	***-**-310X - HARDWICK, TODD ACCOUNT #: LSR011310	AMERICAN 2035 TARGET DATE RETIREMNT BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	80.0	0.86
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	33.53	0.00	33.53	80.0	26.82
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	21.76	0.00	21.76	80.0	17.41
02/20/2018	***-**-558X - FAMIGLIETTI, ACCOUNT #: LSR010788	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	2.38	0.00	2.38	80.0	1.90
02/20/2018	***-**-990I - GAW, PATRICIA ACCOUNT #: LSR010840	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	17.33	0.00	17.33	80.0	13.86
02/20/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	15.18	0.00	15.18	80.0	12.14
02/20/2018	***-**-796O - CARVAJAL, JUDITH ACCOUNT #: LSR011337	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	80.0	1.30
02/20/2018	***-**-968I - GAW, LELAND ACCOUNT #: LSR011682	AMERICAN FUNDS INCOME PORT CL A BUY 1 Units @0.0000	0.00	42.83	0.00	42.83	80.0	34.26
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	80.0	1.02
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	80.0	0.89
02/26/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	4.46	0.00	4.46	80.0	3.57
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	80.0	0.82
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	80.0	0.71
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	5.48	0.00	5.48	80.0	4.38
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.55	0.00	2.55	80.0	2.04



COMMISSION STATEMENT

February 26, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/21/2018	***-**-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	5.61	0.00	5.61	80.0	4.49
02/26/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	7.05	0.00	7.05	80.0	5.64
02/26/2018	***-**-878I - MOSGROVE, KEITH ACCOUNT #: LSR011966	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	7.02	0.00	7.02	80.0	5.62
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRK LOW DURATION TOTAL RETURN FND - BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	80.0	0.77
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRK STRAT INC FND - CL A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	80.0	0.82
02/26/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	GLOBAL FUND A BUY 1 Units @0.0000	0.00	5.05	0.00	5.05	80.0	4.04
02/26/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	2.92	0.00	2.92	80.0	2.34
02/20/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	INVESCO AMERICAN FRANCHISE FUND -CL BUY 1 Units @0.0000	0.00	8.74	0.00	8.74	80.0	6.99
02/20/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 1 Units @0.0000	0.00	3.50	0.00	3.50	80.0	2.80
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	80.0	1.03
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	80.0	0.89
02/26/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	OPPENHEIMER CORE BOND FUND A BUY 1 Units @0.0000	0.00	5.18	0.00	5.18	80.0	4.14
02/26/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	OPPENHEIMER U.S. GOVT TR CLASS A BUY 1 Units @0.0000	0.00	5.05	0.00	5.05	80.0	4.04
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	TEMP GLOBAL BOND FND - CL A BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	80.0	0.86
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	80.0	0.89



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	80.0	0.77
02/20/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.77	0.00	6.77	80.0	5.42
02/20/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	3.08	0.00	3.08	80.0	2.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$280.59	\$0.00	\$280.59		\$224.46
*** BROKER TRANSACTIONS TOTAL ***			\$7,517.42	\$560.62	\$0.00	\$560.62		\$448.50

BROKER COMMISSION TOTALS

Broker Transactions	\$448.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$448.50
Year-to-date Earnings	\$0.00