

## COMMISSION STATEMENT

October 31, 2017

ABRAHAM HELLER  
30 WOODSHIRE TERRACEBROKER #: 1  
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/28/2017	75500554 JOSEPH, BRUCE	MARKETAXESS HOLDINGS INC	B	18,310.00	30.00	7.00	23.00	80.0	17.00
09/29/2017	75500554 JOSEPH, BRUCE	ADOBE SYSTEMS INC	B	29,510.00	30.00	7.00	23.00	80.0	17.00
09/29/2017	75500554 JOSEPH, BRUCE	CHOICE HOTELS INTERNATIONAL INC	B	31,849.95	30.00	7.00	23.00	80.0	17.00
10/02/2017	75500554 JOSEPH, BRUCE	BEST BUY CO INC (MN)	B	34,553.94	30.00	7.00	23.00	80.0	17.00
10/02/2017	75500554 JOSEPH, BRUCE	BEST BUY CO INC (MN)	S	-34,553.90	-30.00	-7.00	-23.00	80.0	-17.00 C
10/02/2017	75500554 JOSEPH, BRUCE	BEST BUY CO INC (MN)	B	34,553.94	30.00	7.00	23.00	80.0	17.00
10/02/2017	75500554 JOSEPH, BRUCE	VEEVA SYSTEMS INC CL A COM	B	28,682.00	30.00	7.00	23.00	80.0	17.00
10/10/2017	75500554 JOSEPH, BRUCE	CARNIVAL CORP COMMON PAIRED STOCK	B	33,445.00	30.00	7.00	23.00	80.0	17.00
10/11/2017	75500554 JOSEPH, BRUCE	PAYPAL HOLDINGS INC COM	B	13,596.00	30.00	7.00	23.00	80.0	17.00
10/12/2017	75500554 JOSEPH, BRUCE	PINNACLE WEST CAP CORP COM	B	34,860.00	30.00	7.00	23.00	80.0	17.00
10/12/2017	75500554 JOSEPH, BRUCE	VEEVA SYSTEMS INC CL A COM	B	6,016.00	30.00	7.00	23.00	80.0	17.00
10/19/2017	75500554 JOSEPH, BRUCE	FIAT CHRYSLER AUTOMOBILES N V	S	50,310.00	42.00	7.00	35.00	80.0	26.60
10/25/2017	75500554 JOSEPH, BRUCE	PANDORA MEDIA INC	S	21,810.00	42.00	7.00	35.00	80.0	26.60
09/28/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	S	-24,600.00	32.00	7.00	25.00	80.0	18.60
09/28/2017	QGW001463 MERKIN VENTURE	RYB EDUCATION INC SHS AMERICAN DEPO	S	-15,480.00	32.00	7.00	25.00	80.0	18.60
09/28/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-9,150.00	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	B	13,872.40	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW001463 MERKIN VENTURE	ZOGENIX INC COM NEW	B	7,580.01	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	B	14,366.16	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW001463 MERKIN VENTURE	ZOGENIX INC COM NEW	S	-8,043.93	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	B	21,652.90	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	S	-102,204.45	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	S	-15,687.35	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW001463 MERKIN VENTURE	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	13,292.67	32.00	7.00	25.00	80.0	18.60
10/05/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	S	-5,497.80	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	S	-6,083.52	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	B	10,035.50	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	B	22,496.80	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	56,813.91	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW001463 MERKIN VENTURE	ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-18,091.19	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW001463 MERKIN VENTURE	ROKU INC CLASS A COMMON STOCK	S	-5,506.40	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/20/2017	QGW001463	MERKIN VENTURE							
		ROKU INC CLASS A COMMON STOCK	S	-9,914.99	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW001463	MERKIN VENTURE							
		TWILIO INC CL A	S	-34,911.59	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW001463	MERKIN VENTURE							
		AMAZON.COM INC	S	-29,340.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW001463	MERKIN VENTURE							
		AMAZON.COM INC	S	-51,958.60	36.00	7.00	29.00	80.0	21.80
10/27/2017	QGW001463	MERKIN VENTURE							
		AMAZON.COM INC	S	-32,647.33	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW001471	MERKIN, JONATHAN							
		ROKU INC CLASS A COMMON STOCK	B	6,495.87	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW001471	MERKIN, JONATHAN							
		U S SILICA HOLDINGS INC	S	-13,821.42	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW001471	MERKIN, JONATHAN							
		U S SILICA HOLDINGS INC	B	13,264.35	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	S	-50,059.32	32.00	7.00	25.00	80.0	18.60
10/09/2017	QGW001471	MERKIN, JONATHAN							
		ROKU INC CLASS A COMMON STOCK	S	-7,470.93	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW001471	MERKIN, JONATHAN							
		DYNAVAX TECHNOLOGIES CORP	B	10,264.63	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW001471	MERKIN, JONATHAN							
		DYNAVAX TECHNOLOGIES CORP	S	-10,785.38	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	5,651.69	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019598	MERKIN, SOLOMON							
		ZOGENIX INC COM NEW	B	7,580.01	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019598	MERKIN, SOLOMON							
		NORDSTROM INC	B	14,221.84	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019598	MERKIN, SOLOMON							
		ROKU INC CLASS A COMMON STOCK	B	8,692.13	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019598	MERKIN, SOLOMON							
		ZOGENIX INC COM NEW	S	-8,043.93	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019598	MERKIN, SOLOMON							
		NORDSTROM INC	S	-14,221.26	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	S	-64,659.96	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	9,120.04	32.00	7.00	25.00	80.0	18.60
10/09/2017	QGW019598	MERKIN, SOLOMON							
		ROKU INC CLASS A COMMON STOCK	S	-8,965.12	32.00	7.00	25.00	80.0	18.60
10/09/2017	QGW019598	MERKIN, SOLOMON							
		SWITCH INC CLASS A COMMON STOCK \$0. B		17,068.99	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	38,300.12	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	B	17,942.28	32.00	7.00	25.00	80.0	18.60
10/12/2017	QGW019598	MERKIN, SOLOMON							
		SWITCH INC CLASS A COMMON STOCK \$0. S		-17,199.00	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	4,199.60	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	B	37,875.94	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	S	-18,234.72	34.00	7.00	27.00	80.0	20.20
10/24/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	B	39,560.47	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW019598	MERKIN, SOLOMON							
		OVERSTOCK COM INC DEL COM	B	34,755.61	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	S	-73,342.87	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019598	MERKIN, SOLOMON							
		OVERSTOCK COM INC DEL COM	S	-36,647.93	33.00	7.00	26.00	80.0	19.40
10/27/2017	QGW019598	MERKIN, SOLOMON							
		AMAZON.COM INC	S	-21,764.89	32.00	7.00	25.00	80.0	18.60
09/28/2017	QGW019606	MERKIN FAMILY TRUST, EMERGE ENERGY SVCS LP COM UNIT REPS	B	49,688.77	61.00	7.00	54.00	80.0	41.80
09/28/2017	QGW019606	MERKIN FAMILY TRUST, IZEA INC COM PAR \$0.0001 SHS	B	1,349.76	32.00	7.00	25.00	80.0	18.60
09/28/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	21,872.31	34.00	7.00	27.00	80.0	20.20
09/28/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-22,820.50	34.00	7.00	27.00	80.0	20.20
09/28/2017	QGW019606	MERKIN FAMILY TRUST, RYB EDUCATION INC SHS AMERICAN DEPO	S	-10,880.00	36.00	7.00	29.00	80.0	21.80
09/28/2017	QGW019606	MERKIN FAMILY TRUST, RYB EDUCATION INC SHS AMERICAN DEPO	B	25,694.09	32.00	7.00	25.00	80.0	18.60
09/28/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	25,446.17	34.00	7.00	27.00	80.0	20.20

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/29/2017	QGW019606	MERKIN FAMILY TRUST, IZEA INC COM PAR \$0.0001 SHS	B	6,472.27	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	8,161.28	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	23,916.02	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-4,408.50	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, RYB EDUCATION INC SHS AMERICAN DEPO	S	-37,426.27	36.00	7.00	29.00	80.0	21.80
09/29/2017	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	55,100.00	55.00	7.00	48.00	80.0	37.00
09/29/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	15,684.85	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, ZOGENIX INC COM NEW	B	7,616.28	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, ZOGENIX INC COM NEW	B	23,669.87	32.00	7.00	25.00	80.0	18.60
09/29/2017	QGW019606	MERKIN FAMILY TRUST, ZOGENIX INC COM NEW	S	-24,523.88	34.00	7.00	27.00	80.0	20.20
10/02/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-63,116.01	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-48,473.86	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019606	MERKIN FAMILY TRUST, IZEA INC COM PAR \$0.0001 SHS	S	-11,187.74	36.00	7.00	29.00	80.0	21.80
10/02/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-17,135.13	34.00	7.00	27.00	80.0	20.20
10/02/2017	QGW019606	MERKIN FAMILY TRUST, ZOGENIX INC COM NEW	S	-8,082.42	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	41,247.78	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, EMERGE ENERGY SVCS LP COM UNIT REPS	S	-73,881.15	80.00	7.00	73.00	80.0	57.00
10/03/2017	QGW019606	MERKIN FAMILY TRUST, EMERGE ENERGY SVCS LP COM UNIT REPS	B	20,148.00	34.00	7.00	27.00	80.0	20.20
10/03/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	6,418.06	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	36,809.93	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	B	35,850.00	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-34,319.56	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	17,965.26	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-83,432.20	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	S	-20,897.24	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, REAL GOODS SOLAR INC CL A 2017	B	17,661.60	72.00	7.00	65.00	80.0	50.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, REAL GOODS SOLAR INC CL A 2017	S	-21,440.16	72.00	7.00	65.00	80.0	50.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	18,947.88	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, THE TRADE DESK INC COM CL A	S	-36,690.00	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-46,083.96	34.00	7.00	27.00	80.0	20.20
10/06/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	100,000.00	50.00	7.00	43.00	80.0	33.00
10/09/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-3,485.00	32.00	7.00	25.00	80.0	18.60
10/09/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-63,196.64	36.00	7.00	29.00	80.0	21.80
10/09/2017	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-9,753.10	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	23,664.74	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-27,260.59	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	52,801.56	34.00	7.00	27.00	80.0	20.20
10/10/2017	QGW019606	MERKIN FAMILY TRUST, SWITCH INC CLASS A COMMON STOCK \$0.	B	27,468.26	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-11,635.00	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	30,615.60	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/11/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	B	8,225.00	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		23,983.54	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-23,949.73	36.00	7.00	29.00	80.0	21.80
10/11/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-10,665.00	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		22,445.03	36.00	7.00	29.00	80.0	21.80
10/11/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM S		-6,534.00	36.00	7.00	29.00	80.0	21.80
10/11/2017	QGW019606	MERKIN FAMILY TRUST, REAL GOODS SOLAR INC CL A 2017	B	11,298.50	50.00	7.00	43.00	80.0	33.00
10/11/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-54,782.09	34.00	7.00	27.00	80.0	20.20
10/12/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-6,850.00	32.00	7.00	25.00	80.0	18.60
10/13/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	17,100.00	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-114,832.76	36.00	7.00	29.00	80.0	21.80
10/16/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	113,463.60	34.00	7.00	27.00	80.0	20.20
10/16/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-39,866.00	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019606	MERKIN FAMILY TRUST, DYNAX TECHNOLOGIES CORP	S	-23,171.72	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019606	MERKIN FAMILY TRUST, DYNAX TECHNOLOGIES CORP	B	22,052.91	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	16,532.70	34.00	7.00	27.00	80.0	20.20
10/16/2017	QGW019606	MERKIN FAMILY TRUST, SWITCH INC CLASS A COMMON STOCK \$0. S		-27,707.17	34.00	7.00	27.00	80.0	20.20
10/17/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-88,259.88	34.00	7.00	27.00	80.0	20.20
10/17/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	102,269.47	34.00	7.00	27.00	80.0	20.20
10/17/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	B	18,999.28	47.00	7.00	40.00	80.0	30.60
10/17/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		3,550.70	32.00	7.00	25.00	80.0	18.60
10/17/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	B	23,774.84	32.00	7.00	25.00	80.0	18.60
10/17/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	12,415.93	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	70,070.49	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	B	8,535.28	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	B	41,209.72	100.00	7.00	93.00	80.0	73.00
10/18/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		4,561.98	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	6,377.33	32.00	7.00	25.00	80.0	18.60
10/19/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-16,856.20	34.00	7.00	27.00	80.0	20.20
10/19/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B		5,211.23	32.00	7.00	25.00	80.0	18.60
10/19/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-7,920.00	32.00	7.00	25.00	80.0	18.60
10/19/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-41,243.60	36.00	7.00	29.00	80.0	21.80
10/19/2017	QGW019606	MERKIN FAMILY TRUST, REAL GOODS SOLAR INC CL A 2017	S	-9,907.64	40.00	7.00	33.00	80.0	25.00
10/19/2017	QGW019606	MERKIN FAMILY TRUST, SCHLUMBERGER LTD	B	35,580.05	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	94,632.25	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	B	14,461.28	36.00	7.00	29.00	80.0	21.80
10/20/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-7,570.00	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	43,581.61	36.00	7.00	29.00	80.0	21.80
10/20/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	8,934.64	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019606	MERKIN FAMILY TRUST, SCHLUMBERGER LTD	S	-89,408.40	36.00	7.00	29.00	80.0	21.80

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/20/2017	QGW019606	MERKIN FAMILY TRUST, SCHLUMBERGER LTD	B	70,801.49	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	S	-64,951.80	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	15,196.81	34.00	7.00	27.00	80.0	20.20
10/23/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-47,675.80	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-50,773.50	34.00	7.00	27.00	80.0	20.20
10/23/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-12,435.15	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019606	MERKIN FAMILY TRUST, DRYSHIPS INC COM PAR NEW \$0.01 JULY B	B	4,174.10	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	B	5,407.07	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B	B	10,331.03	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	10,710.61	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019606	MERKIN FAMILY TRUST, REAL GOODS SOLAR INC CL A 2017	S	-2,580.11	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019606	MERKIN FAMILY TRUST, DRYSHIPS INC COM PAR NEW \$0.01 JULY S	S	-3,940.00	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	S	-26,463.58	36.00	7.00	29.00	80.0	21.80
10/24/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-38,325.29	40.00	7.00	33.00	80.0	25.00
10/24/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-20,315.40	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	9,345.75	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-7,962.15	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019606	MERKIN FAMILY TRUST, SCHLUMBERGER LTD	S	-16,736.60	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	50,396.86	36.00	7.00	29.00	80.0	21.80
10/25/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B	B	19,321.58	40.00	7.00	33.00	80.0	25.00
10/25/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	40,507.70	33.00	7.00	26.00	80.0	19.40
10/25/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	S	-14,669.34	34.00	7.00	27.00	80.0	20.20
10/25/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	B	50,436.90	38.00	7.00	31.00	80.0	23.40
10/25/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	B	13,738.56	36.00	7.00	29.00	80.0	21.80
10/26/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-119,757.93	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	52,767.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-78,381.60	34.00	7.00	27.00	80.0	20.20
10/26/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-62,274.78	34.00	7.00	27.00	80.0	20.20
10/26/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	B	60,376.34	34.00	7.00	27.00	80.0	20.20
10/26/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	B	77,821.60	33.00	7.00	26.00	80.0	19.40
10/26/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	S	-43,547.50	36.00	7.00	29.00	80.0	21.80
10/26/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	B	11,095.61	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019606	MERKIN FAMILY TRUST, ROKU INC CLASS A COMMON STOCK	S	-13,887.39	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019606	MERKIN FAMILY TRUST, AMAZON.COM INC	S	-41,353.28	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019606	MERKIN FAMILY TRUST, GAIN CAP HLDGS INC COM	B	18,846.31	40.00	7.00	33.00	80.0	25.00
10/27/2017	QGW019606	MERKIN FAMILY TRUST, HELIOS & MATHESON ANALYTICS INC COM B	B	10,041.66	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019606	MERKIN FAMILY TRUST, OVERSTOCK COM INC DEL COM	B	22,347.60	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	B	28,654.83	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019614	MENDES, DINAH	S	-53,944.00	32.00	7.00	25.00	80.0	18.60
09/28/2017	QGW019622	MERKIN, SHARON	S	-5,435.04	56.00	7.00	49.00	80.0	37.80

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
09/28/2017	QGW019622	MERKIN, SHARON		EMERGE ENERGY SVCS LP COM UNIT REPS B	32,884.02	40.00	7.00	33.00	80.0 25.00
09/28/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A B	35,245.92	34.00	7.00	27.00	80.0 20.20
09/28/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK B	11,586.47	34.00	7.00	27.00	80.0 20.20
09/28/2017	QGW019622	MERKIN, SHARON		RYB EDUCATION INC SHS AMERICAN DEPO B	22,189.20	32.00	7.00	25.00	80.0 18.60
09/29/2017	QGW019622	MERKIN, SHARON		FLEXION THERAPEUTICS INC COM B	26,416.20	34.00	7.00	27.00	80.0 20.20
09/29/2017	QGW019622	MERKIN, SHARON		IZEA INC COM PAR \$0.0001 SHS B	6,472.27	32.00	7.00	25.00	80.0 18.60
09/29/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK S	-13,373.79	32.00	7.00	25.00	80.0 18.60
09/29/2017	QGW019622	MERKIN, SHARON		RYB EDUCATION INC SHS AMERICAN DEPO S	-23,525.67	34.00	7.00	27.00	80.0 20.20
09/29/2017	QGW019622	MERKIN, SHARON		RYB EDUCATION INC SHS AMERICAN DEPO S	-21,615.66	34.00	7.00	27.00	80.0 20.20
09/29/2017	QGW019622	MERKIN, SHARON		TWITTER INC B	52,700.00	55.00	7.00	48.00	80.0 37.00
09/29/2017	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC B	15,684.85	32.00	7.00	25.00	80.0 18.60
10/02/2017	QGW019622	MERKIN, SHARON		FLEXION THERAPEUTICS INC COM S	-28,906.72	36.00	7.00	29.00	80.0 21.80
10/02/2017	QGW019622	MERKIN, SHARON		IZEA INC COM PAR \$0.0001 SHS S	-6,557.87	32.00	7.00	25.00	80.0 18.60
10/02/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A B	27,441.49	34.00	7.00	27.00	80.0 20.20
10/02/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK B	65,190.96	32.00	7.00	25.00	80.0 18.60
10/02/2017	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC B	20,294.22	32.00	7.00	25.00	80.0 18.60
10/03/2017	QGW019622	MERKIN, SHARON		INTERCEPT PHARMACEUTICALS INC COM S	-48,471.45	36.00	7.00	29.00	80.0 21.80
10/03/2017	QGW019622	MERKIN, SHARON		MEDICAL TRANSCRIPTION BILLING CORP B	5,608.77	34.00	7.00	27.00	80.0 20.20
10/03/2017	QGW019622	MERKIN, SHARON		MEDICAL TRANSCRIPTION BILLING CORP B	4,260.00	32.00	7.00	25.00	80.0 18.60
10/03/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK B	31,591.58	32.00	7.00	25.00	80.0 18.60
10/03/2017	QGW019622	MERKIN, SHARON		TWITTER INC S	-59,207.94	34.00	7.00	27.00	80.0 20.20
10/03/2017	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC S	-47,236.19	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC S	-344,157.83	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		IZEA INC COM PAR \$0.0001 SHS S	-3,918.33	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		MEDICAL TRANSCRIPTION BILLING CORP S	-18,123.38	40.00	7.00	33.00	80.0 25.00
10/04/2017	QGW019622	MERKIN, SHARON		MEDICAL TRANSCRIPTION BILLING CORP B	14,421.67	38.00	7.00	31.00	80.0 23.40
10/04/2017	QGW019622	MERKIN, SHARON		MEDICAL TRANSCRIPTION BILLING CORP B	3,429.76	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		MEDICAL TRANSCRIPTION BILLING CORP S	-4,080.00	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		NEWLINK GENETICS CORP B	12,911.25	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A B	51,936.32	36.00	7.00	29.00	80.0 21.80
10/04/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK B	12,842.45	32.00	7.00	25.00	80.0 18.60
10/04/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK S	-24,136.11	32.00	7.00	25.00	80.0 18.60
10/06/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC B	80,000.00	50.00	7.00	43.00	80.0 33.00
10/09/2017	QGW019622	MERKIN, SHARON		CREDIT SUISSE AG NASSAU BRH VELOCIT B	9,057.59	33.00	7.00	26.00	80.0 19.40
10/09/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK S	-24,306.40	32.00	7.00	25.00	80.0 18.60
10/09/2017	QGW019622	MERKIN, SHARON		ROKU INC CLASS A COMMON STOCK S	-15,114.00	32.00	7.00	25.00	80.0 18.60
10/09/2017	QGW019622	MERKIN, SHARON		SWITCH INC CLASS A COMMON STOCK \$0. B	19,352.60	32.00	7.00	25.00	80.0 18.60
10/09/2017	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC B	32,819.30	36.00	7.00	29.00	80.0 21.80
10/10/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC B	45,203.40	32.00	7.00	25.00	80.0 18.60
10/10/2017	QGW019622	MERKIN, SHARON		CREDIT SUISSE AG NASSAU BRH VELOCIT B	3,298.00	32.00	7.00	25.00	80.0 18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/10/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		2,960.07	40.00	7.00	33.00	80.0	25.00
10/10/2017	QGW019622 MERKIN, SHARON	NEWLINK GENETICS CORP	B	11,832.37	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019622 MERKIN, SHARON	NUTANIX INC CL A	S	-121,925.98	48.00	7.00	41.00	80.0	31.40
10/10/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	S	-30,214.82	36.00	7.00	29.00	80.0	21.80
10/10/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	B	52,801.56	34.00	7.00	27.00	80.0	20.20
10/10/2017	QGW019622 MERKIN, SHARON	SWITCH INC CLASS A COMMON STOCK \$0. B		13,714.54	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019622 MERKIN, SHARON	TWILIO INC CL A	B	36,991.35	34.00	7.00	27.00	80.0	20.20
10/11/2017	QGW019622 MERKIN, SHARON	CREDIT SUISSE AG NASSAU BRH VELOCIT S		-13,382.08	34.00	7.00	27.00	80.0	20.20
10/11/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		2,123.80	40.00	7.00	33.00	80.0	25.00
10/11/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		10,278.66	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019622 MERKIN, SHARON	MEDICAL TRANSCRIPTION BILLING CORP S		-8,472.10	36.00	7.00	29.00	80.0	21.80
10/11/2017	QGW019622 MERKIN, SHARON	NUTANIX INC CL A	S	-15,170.69	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019622 MERKIN, SHARON	REAL GOODS SOLAR INC CL A 2017	B	14,333.28	63.00	7.00	56.00	80.0	43.40
10/11/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	S	-38,109.28	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	B	28,947.76	34.00	7.00	27.00	80.0	20.20
10/11/2017	QGW019622 MERKIN, SHARON	SWITCH INC CLASS A COMMON STOCK \$0. B		15,139.36	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	28,916.97	32.00	7.00	25.00	80.0	18.60
10/12/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	S	-20,070.39	32.00	7.00	25.00	80.0	18.60
10/13/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S		-7,380.16	75.00	7.00	68.00	80.0	53.00
10/13/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	17,219.94	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	B	49,468.56	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		8,777.77	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622 MERKIN, SHARON	NETFLIX COM INC	S	-12,548.18	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622 MERKIN, SHARON	NEWLINK GENETICS CORP	B	5,564.47	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	B	29,660.46	34.00	7.00	27.00	80.0	20.20
10/16/2017	QGW019622 MERKIN, SHARON	SWITCH INC CLASS A COMMON STOCK \$0. S		-49,388.34	36.00	7.00	29.00	80.0	21.80
10/16/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	44,993.60	32.00	7.00	25.00	80.0	18.60
10/17/2017	QGW019622 MERKIN, SHARON	NETFLIX COM INC	B	12,384.36	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B		1,197.90	34.00	7.00	27.00	80.0	20.20
10/18/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	29,074.33	36.00	7.00	29.00	80.0	21.80
10/19/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	B	8,324.12	33.00	7.00	26.00	80.0	19.40
10/19/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	S	-34,146.41	36.00	7.00	29.00	80.0	21.80
10/19/2017	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	B	35,580.05	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B		96,000.00	50.00	7.00	43.00	80.0	33.00
10/20/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	S	-76,500.99	36.00	7.00	29.00	80.0	21.80
10/20/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	B	77,030.65	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		7,489.90	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019622 MERKIN, SHARON	TWILIO INC CL A	S	-63,717.72	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019622 MERKIN, SHARON	TWILIO INC CL A	S	-28,966.56	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	B	59,800.00	70.00	7.00	63.00	80.0	49.00

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/23/2017	QGW019622 MERKIN, SHARON	DRYSHIPS INC COM PAR NEW \$0.01 JULY B		4,174.10	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		15,799.58	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	B	10,710.61	32.00	7.00	25.00	80.0	18.60
10/23/2017	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	B	23,061.54	35.00	7.00	28.00	80.0	21.00
10/23/2017	QGW019622 MERKIN, SHARON	TESLA MOTORS INC	S	-9,584.96	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S		-52,092.00	34.00	7.00	27.00	80.0	20.20
10/24/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	B	94,649.34	36.00	7.00	29.00	80.0	21.80
10/24/2017	QGW019622 MERKIN, SHARON	DRYSHIPS INC COM PAR NEW \$0.01 JULY S		-4,040.10	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019622 MERKIN, SHARON	FAIRMOUNT SANTROL HLDGS INC COM	B	12,174.43	34.00	7.00	27.00	80.0	20.20
10/24/2017	QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B		18,778.43	34.00	7.00	27.00	80.0	20.20
10/24/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	S	-11,753.36	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	S	-19,855.92	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW019622 MERKIN, SHARON	SCHLUMBERGER LTD	S	-38,086.37	34.00	7.00	27.00	80.0	20.20
10/25/2017	QGW019622 MERKIN, SHARON	TESLA MOTORS INC	S	-7,646.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S		-51,276.00	34.00	7.00	27.00	80.0	20.20
10/26/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	S	-141,725.36	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622 MERKIN, SHARON	ALLERGAN PLC	B	55,581.24	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622 MERKIN, SHARON	ROKU INC CLASS A COMMON STOCK	S	-29,978.53	34.00	7.00	27.00	80.0	20.20
10/26/2017	QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC	S	-82,179.04	38.00	7.00	31.00	80.0	23.40
10/27/2017	QGW019622 MERKIN, SHARON	AMAZON.COM INC	S	-41,353.28	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM	B	30,348.04	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019622 MERKIN, SHARON	TWILIO INC CL A	B	20,822.51	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW019655 MERKIN, DAPHNE	U S SILICA HOLDINGS INC	S	-7,174.01	34.00	7.00	27.00	80.0	20.20
10/04/2017	QGW019663 MERKIN, DAVID	ALLERGAN PLC	S	-20,858.05	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019671 GERBER, DEBRA	ALLERGAN PLC	S	-20,858.05	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019697 KIFFEL, ELI	ROKU INC CLASS A COMMON STOCK	B	5,794.75	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019697 KIFFEL, ELI	NORDSTROM INC	B	11,102.67	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019697 KIFFEL, ELI	NORDSTROM INC	S	-11,262.18	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019697 KIFFEL, ELI	ALLERGAN PLC	S	-43,801.91	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019697 KIFFEL, ELI	REAL GOODS SOLAR INC CL A 2017	S	-4,523.28	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019697 KIFFEL, ELI	REAL GOODS SOLAR INC CL A 2017	B	3,726.11	32.00	7.00	25.00	80.0	18.60
10/09/2017	QGW019697 KIFFEL, ELI	ROKU INC CLASS A COMMON STOCK	S	-6,048.00	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019697 KIFFEL, ELI	ALLERGAN PLC	B	24,808.74	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019697 KIFFEL, ELI	ALLERGAN PLC	B	16,267.86	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019713 MERKIN TRUST, SAMUEL	ALLERGAN PLC	S	-6,297.20	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019713 MERKIN TRUST, SAMUEL	ROKU INC CLASS A COMMON STOCK	B	6,495.87	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019713 MERKIN TRUST, SAMUEL	U S SILICA HOLDINGS INC	S	-7,799.90	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019713 MERKIN TRUST, SAMUEL	ALLERGAN PLC	S	-45,887.71	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019713 MERKIN TRUST, SAMUEL	REAL GOODS SOLAR INC CL A 2017	S	-2,382.24	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW019713 MERKIN TRUST, SAMUEL	REAL GOODS SOLAR INC CL A 2017	B	1,962.40	32.00	7.00	25.00	80.0	18.60



COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/09/2017	QGW019713	MERKIN TRUST, SAMUEL ROKU INC CLASS A COMMON STOCK	S	-7,470.93	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019713	MERKIN TRUST, SAMUEL FAIRMOUNT SANTROL HLDGS INC COM	B	6,879.07	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019713	MERKIN TRUST, SAMUEL U S SILICA HOLDINGS INC	B	7,845.76	32.00	7.00	25.00	80.0	18.60
10/17/2017	QGW019713	MERKIN TRUST, SAMUEL FAIRMOUNT SANTROL HLDGS INC COM	B	1,463.35	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC	B	28,406.96	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019713	MERKIN TRUST, SAMUEL FAIRMOUNT SANTROL HLDGS INC COM	B	2,690.56	32.00	7.00	25.00	80.0	18.60
10/24/2017	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC	B	18,486.20	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW019796	MERKIN TRUST, JENNY CARLYLE GROUP L P COM UNITS REPSTG	S	-19,062.05	34.00	7.00	27.00	80.0	20.20
10/25/2017	QGW019796	MERKIN TRUST, JENNY FREEPORT MCMORAN INC	B	4,398.00	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW019804	MERKIN TRUST, Yael CARLYLE GROUP L P COM UNITS REPSTG	S	-22,665.24	34.00	7.00	27.00	80.0	20.20
10/25/2017	QGW019804	MERKIN TRUST, Yael FREEPORT MCMORAN INC	B	4,398.00	32.00	7.00	25.00	80.0	18.60
10/25/2017	QGW019812	GERBER TRUST, CARLYLE GROUP L P COM UNITS REPSTG	S	-18,597.12	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	B	157,040.00	55.00	7.00	48.00	80.0	37.00
10/20/2017	QGW019887	LIEBERMAN, ROBERT PROCTER & GAMBLE CO	S	-177,274.80	75.00	7.00	68.00	80.0	53.00
10/27/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	B	160,930.00	55.00	7.00	48.00	80.0	37.00
10/02/2017	QGW028763	MERKIN, SOLOMON EMERGE ENERGY SVCS LP COM UNIT REPS	B	5,667.20	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW028763	MERKIN, SOLOMON EMERGE ENERGY SVCS LP COM UNIT REPS	S	-6,084.33	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW028763	MERKIN, SOLOMON MEDICAL TRANSCRIPTION BILLING CORP	S	-2,459.99	32.00	7.00	25.00	80.0	18.60
10/04/2017	QGW028763	MERKIN, SOLOMON MEDICAL TRANSCRIPTION BILLING CORP	B	1,640.32	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW028763	MERKIN, SOLOMON FAIRMOUNT SANTROL HLDGS INC COM	B	8,259.40	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW028771	MERKIN, SOLOMON NUTANIX INC CL A	S	-12,625.05	32.00	7.00	25.00	80.0	18.60
10/11/2017	QGW028771	MERKIN, SOLOMON SWITCH INC CLASS A COMMON STOCK \$0.	B	11,542.08	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW028771	MERKIN, SOLOMON FAIRMOUNT SANTROL HLDGS INC COM	B	12,576.00	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW028771	MERKIN, SOLOMON SWITCH INC CLASS A COMMON STOCK \$0.	S	-11,915.16	32.00	7.00	25.00	80.0	18.60
10/17/2017	QGW028771	MERKIN, SOLOMON FAIRMOUNT SANTROL HLDGS INC COM	B	404.24	30.00	7.00	23.00	80.0	17.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$29,493.06</b>	<b>\$11,589.00</b>	<b>\$2,338.00</b>	<b>\$9,251.00</b>		<b>\$6,933.20</b>

**PRODUCT CATEGORY: OPTIONS**

10/09/2017	QGW001463	MERKIN VENTURE	CALL 100 ROKU INC COM CL A EXP 10-2	S	-900.00	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW001463	MERKIN VENTURE	PUT 100 TESLA INC EXP 11-10-17 @340	B	7,655.01	33.00	7.00	26.00	80.0	19.40
09/29/2017	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-20-	B	3,538.94	34.00	7.00	27.00	80.0	20.20
10/11/2017	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-20-	S	-3,864.00	32.00	7.00	25.00	80.0	18.60
10/12/2017	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-20-	S	-3,600.00	32.00	7.00	25.00	80.0	18.60
10/12/2017	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-20-	S	-1,804.26	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-20-	S	-3,848.00	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019598	MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 10-20-	S	-3,675.00	34.00	7.00	27.00	80.0	20.20
10/30/2017	QGW019598	MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO	B	5,130.00	32.00	7.00	25.00	80.0	18.60
10/03/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 10-20-	B	3,371.95	32.00	7.00	25.00	80.0	18.60	
10/05/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 10-06-17	S	-3,150.00	32.00	7.00	25.00	80.0	18.60	
10/10/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 11-10-17	B	10,820.00	34.00	7.00	27.00	80.0	20.20	

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: OPTIONS</b>									
10/12/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 10-20- S		-2,405.68	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 NUTANIX INC COM EXP 10-20- B		4,200.00	32.00	7.00	25.00	80.0	18.60
10/17/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO B		6,588.00	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO S		-7,630.00	32.00	7.00	25.00	80.0	18.60
10/19/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 APPLE INC COM EXP 10-27-17 B		10,041.92	36.00	7.00	29.00	80.0	21.80
10/19/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO B		4,230.00	34.00	7.00	27.00	80.0	20.20
10/20/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 APPLE INC COM EXP 10-27-17 S		-7,500.00	36.00	7.00	29.00	80.0	21.80
10/20/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO S		-990.00	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 10-20- S		-5,589.00	34.00	7.00	27.00	80.0	20.20
10/23/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 APPLE INC COM EXP 10-27-17 S		-4,470.00	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO S		-2,520.00	34.00	7.00	27.00	80.0	20.20
10/27/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E S		-980.00	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALIBABA GROUP HLDG LTD SPO B		10,625.00	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019606	MERKIN FAMILY TRUST, PUT 100 TESLA INC EXP 11-03-17 @340 B		14,179.98	36.00	7.00	29.00	80.0	21.80
09/29/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-4,590.00	32.00	7.00	25.00	80.0	18.60
10/02/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 10-06-17 S		-1,150.00	32.00	7.00	25.00	80.0	18.60
10/09/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		8,540.00	34.00	7.00	27.00	80.0	20.20
10/09/2017	QGW019622	MERKIN, SHARON CALL 100 ROKU INC COM CL A EXP 10-1 S		-855.00	32.00	7.00	25.00	80.0	18.60
10/10/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		7,019.01	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		13,825.00	34.00	7.00	27.00	80.0	20.20
10/16/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		7,742.00	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		6,020.01	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622	MERKIN, SHARON CALL 100 TWILIO INC CL A EXP 11-10- S		-603.00	32.00	7.00	25.00	80.0	18.60
10/16/2017	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E B		5,810.02	42.00	7.00	35.00	80.0	26.60
10/18/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-7,630.00	32.00	7.00	25.00	80.0	18.60
10/18/2017	QGW019622	MERKIN, SHARON CALL 100 U S SILICA HLDGS INC COM E S		-2,560.00	32.00	7.00	25.00	80.0	18.60
10/19/2017	QGW019622	MERKIN, SHARON CALL 100 APPLE INC COM EXP 11-03-17 B		3,880.00	32.00	7.00	25.00	80.0	18.60
10/19/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-27- B		1,736.00	32.00	7.00	25.00	80.0	18.60
10/20/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO S		-15,460.00	36.00	7.00	29.00	80.0	21.80
10/20/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-27- B		1,016.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622	MERKIN, SHARON CALL 100 APPLE INC COM EXP 11-03-17 S		-2,200.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		2,440.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622	MERKIN, SHARON CALL 100 MICROSOFT CORP COM EXP 10- B		2,112.00	32.00	7.00	25.00	80.0	18.60
10/26/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 10-27- S		-4,194.96	34.00	7.00	27.00	80.0	20.20
10/27/2017	QGW019622	MERKIN, SHARON CALL 100 APPLE INC COM EXP 11-03-17 S		-2,510.00	32.00	7.00	25.00	80.0	18.60
10/27/2017	QGW019622	MERKIN, SHARON CALL 100 MICROSOFT CORP COM EXP 10- S		-5,010.00	34.00	7.00	27.00	80.0	20.20
10/30/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		6,220.00	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON CALL 100 ALIBABA GROUP HLDG LTD SPO B		6,375.00	32.00	7.00	25.00	80.0	18.60
10/30/2017	QGW019622	MERKIN, SHARON CALL 100 RED HAT INC COM EXP 12-08- B		10,380.02	36.00	7.00	29.00	80.0	21.80
<b>* OPTIONS SUBTOTAL *</b>				<b>\$63,806.96</b>	<b>\$1,685.00</b>	<b>\$357.00</b>	<b>\$1,328.00</b>		<b>\$991.00</b>

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>ADJUSTMENTS</b>									
*** BROKER TRANSACTIONS TOTAL ***				\$93,300.02	\$13,274.00	\$2,695.00	\$10,579.00		\$7,924.20

<b>ADJUSTMENTS</b>									
10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		240.00
10/31/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-8.00
10/31/2017		OTHER: OTHER-REPAYMENT OF LOAN		0.00	0.00	0.00	0.00		-1,000.00
10/31/2017		OTHER: OTHER-PERSHING TRADING		0.00	0.00	0.00	0.00		84.68
10/31/2017		OTHER: OTHER-		0.00	0.00	0.00	0.00		-18.45
10/31/2017		OTHER: OTHER --PERSHING		0.00	0.00	0.00	0.00		156.60
10/31/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-500.00
10/31/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.44
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,119.61

BROKER COMMISSION TOTALS

Broker Transactions	\$7,924.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,119.61
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.59
SIPC Assessment	\$-33.19

Check Amount	\$6,754.81
Year-to-date Earnings	\$35,640.71