

COMMISSION STATEMENT

July 31, 2018

MONROE PARKER-D334
 112 SW 8th Avenue
 Suite 932
 Amarillo, TX 79101

BROKER #: D334
 BRANCH: DMN

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/23/2018	mfc614631 PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.72	0.00	10.72	82.0	8.79
07/23/2018	mfc614631 PARKER 614631	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.10	0.00	4.10	82.0	3.36
07/23/2018	mfc614631 PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	3.26	0.00	3.26	82.0	2.67
07/23/2018	mfc614631 PARKER 614631	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.86	0.00	1.86	82.0	1.53
07/23/2018	mfc614631 PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	154.64	0.00	154.64	82.0	126.80
07/23/2018	mfc614631 PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	6.27	0.00	6.27	82.0	5.14
07/23/2018	mfc614631 PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	1.79	0.00	1.79	82.0	1.47
07/23/2018	mfc614631 PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	5.66	0.00	5.66	82.0	4.64
07/23/2018	mfc614631 PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	5.56	0.00	5.56	82.0	4.56
07/23/2018	mfc614631 PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	2.16	0.00	2.16	82.0	1.77
07/23/2018	mfc614631 PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	4.35	0.00	4.35	82.0	3.57
07/23/2018	mfc614631 PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	6.10	0.00	6.10	82.0	5.00
07/23/2018	mfc614631 PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	4.37	0.00	4.37	82.0	3.58
07/23/2018	mfc614631 PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	2.81	0.00	2.81	82.0	2.30
07/23/2018	mfc614631 PARKER 614631	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.04	0.00	6.04	82.0	4.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$219.69	\$0.00	\$219.69		\$180.13
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$219.69	\$0.00	\$219.69		\$180.13

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/16/2018	00089900405 LOVETT, PEG	MF BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
* MUTUAL FUNDS SUBTOTAL *				\$400.00	\$4.00	\$0.00	\$4.00		\$3.28

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None) VARIOUS, PARKER	MFT PIMCO 12B-1	B	0.00	46.65	0.00	46.65	82.0	38.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$46.65	\$0.00	\$46.65		\$38.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/30/2018 (None)	MCELROY, TONIE	VAT TRANSAMERICA LIFE	B	0.00	66.04	0.00	66.04	82.0	54.15
06/30/2018 (None)	HODGES, GERALD	VAT TRANSAMERICA LIFE	B	0.00	120.28	0.00	120.28	82.0	98.63
06/30/2018 (None)	CASEY, AUSTIN	VAT TRANSAMERICA LIFE	B	0.00	63.26	0.00	63.26	82.0	51.87
06/30/2018 (None)	KOTARA, ADELA	VAT TRANSAMERICA LIFE	B	0.00	34.81	0.00	34.81	82.0	28.54
06/30/2018 (None)	COFFELT, JANE	VAT TRANSAMERICA LIFE	B	0.00	61.72	0.00	61.72	82.0	50.61
06/30/2018 (None)	PARSONS, LEONARD	VAT TRANSAMERICA LIFE	B	0.00	221.01	0.00	221.01	82.0	181.23
06/30/2018 (None)	HODGES, GERALD	VAT TRANSAMERICA LIFE	B	0.00	91.51	0.00	91.51	82.0	75.04
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$658.63	\$0.00	\$658.63		\$540.07
*** DIRECT TRANSACTIONS TOTAL ***				\$400.00	\$709.28	\$0.00	\$709.28		\$581.60

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$761.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$576.73
Year-to-date Commissions	\$8,805.22