



All Companies

02/14/2018
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COMMISSION STATEMENT February 15, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/01/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/25/2018	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
01/25/2018	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
01/25/2018	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
01/25/2018	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
01/25/2018	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
01/25/2018	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
01/25/2018	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
01/25/2018	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66
01/25/2018	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
01/25/2018	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS AMCAP FD	B	110.00	2.20	0.00	2.20	90.0	1.98
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS BALANCED FD	B	110.00	2.20	0.00	2.20	90.0	1.98
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS FUNDAMENTAL INVES	B	110.00	2.20	0.00	2.20	90.0	1.98
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS HIGH INCOME TR FD	B	55.00	1.10	0.00	1.10	90.0	0.99
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	55.00	1.10	0.00	1.10	90.0	0.99
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS SMALL CAP WORLD FD	B	27.50	0.55	0.00	0.55	90.0	0.50
01/29/2018	00084567631	CUMMINGS, GRADY	AMERICAN FUNDS WA MUTUAL INVES	B	82.50	1.65	0.00	1.65	90.0	1.49
01/22/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	B	90.00	1.80	0.00	1.80	90.0	1.62
02/05/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS FUNDAMENTAL INVES	B	10,061.36	201.23	0.00	201.23	90.0	181.11
02/05/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS GROWTH FUND OF AMERI	B	39,938.64	798.77	0.00	798.77	90.0	718.89
01/22/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME MUNI	B	90.00	1.80	0.00	1.80	90.0	1.62
01/22/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A	B	60.00	1.20	0.00	1.20	90.0	1.08
01/22/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL INVES	B	60.00	1.20	0.00	1.20	90.0	1.08
* MUTUAL FUNDS SUBTOTAL *					\$51,708.33	\$1,025.58	\$0.00	\$1,025.58		\$923.03

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/31/2018	TRAILS	-JACKSON NATIONAL	B	41.89	41.89	0.00	41.89	90.0	37.70
01/26/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	26.87	26.87	0.00	26.87	90.0	24.18
02/02/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	553.66	553.66	0.00	553.66	90.0	498.29

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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/31/2018	TRAILS	DELAWARE LIFE	B	296.47	296.47	0.00	296.47	90.0	266.82
01/31/2018	TRAILS	GENWORTH LIFE ANNUITY	B	4,406.22	406.22	0.00	406.22	90.0	365.60
12/28/2017	TRAILS	METROPOLITAN LIFE AND AFFILIATED CO B		177.52	177.52	0.00	177.52	90.0	159.77
* VARIABLE ANNUITIES SUBTOTAL *				\$5,502.63	\$1,502.63	\$0.00	\$1,502.63		\$1,352.36

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	2,001.18	0.00	2,001.18	90.0	1,801.10
02/15/2018	TRAIL COMMISSIONS	GOLDMAN SACHS FEES		0.00	55.79	0.00	55.79	90.0	50.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,056.97	\$0.00	\$2,056.97		\$1,851.33
*** BROKER TRANSACTIONS TOTAL ***				\$57,210.96	\$4,585.18	\$0.00	\$4,585.18		\$4,126.72

ADJUSTMENTS

02/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,126.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,999.22
Year-to-date Earnings	\$28,984.43