

COMMISSION STATEMENT

October 31, 2017

STEPHEN SUSLOW
14 WEST 12TH STREET
APT 6B
NEW YORK, NY 10011

BROKER #: 61
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/24/2017	75500663	BOSGANG, ALVIN	DELTA AIR LINES INC DEL COM	S	400,444.00	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500864	WINICK, JESSE	BANK OF AMERICA CORP	S	490,000.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500864	WINICK, JESSE	DELTA AIR LINES INC DEL COM	S	500,000.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500864	WINICK, JESSE	DELTA AIR LINES INC DEL COM	S	297,000.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2017	75500881	SUSLOW, RONNIE	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		42,208.73	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500918	SUSLOW, ELISSA	DELTA AIR LINES INC DEL COM	S	100,000.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500918	SUSLOW, ELISSA	DELTA AIR LINES INC DEL COM	S	148,500.00	0.00	7.00	-7.00	85.0	-7.00
10/09/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		18,390.48	0.00	7.00	-7.00	85.0	-7.00
10/10/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		2,097.60	0.00	7.00	-7.00	85.0	-7.00
10/11/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		1,337.60	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,724.92	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		18,783.92	0.00	7.00	-7.00	85.0	-7.00
10/13/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		32,892.50	0.00	7.00	-7.00	85.0	-7.00
10/20/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		30,087.30	0.00	7.00	-7.00	85.0	-7.00
10/23/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		434.00	0.00	7.00	-7.00	85.0	-7.00
10/23/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		26,145.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		3,598.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		9,170.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		7,000.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		15,648.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I S		13,053.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I S		2,608.68	0.00	7.00	-7.00	85.0	-7.00
10/19/2017	75500933	LAWRENCE, IRA	UNITED CONTINENTAL HLDGS INC	B	60,210.00	10.00	7.00	3.00	85.0	1.50
10/26/2017	75500933	LAWRENCE, IRA	UNITED CONTINENTAL HLDGS INC	S	60,980.00	10.00	7.00	3.00	85.0	1.50
09/29/2017	75501098	WINICK FAMILY	BANK OF AMERICA CORP	S	490,000.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75501098	WINICK FAMILY	DELTA AIR LINES INC DEL COM	S	490,000.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75501098	WINICK FAMILY	OCWEN FINANCIAL CORPORATION	B	370.80	0.21	7.00	-6.79	85.0	-6.82
10/13/2017	75501098	WINICK FAMILY	OCWEN FINANCIAL CORPORATION	B	35,629.20	19.80	7.00	12.80	85.0	9.83
10/20/2017	75501098	WINICK FAMILY	GENERAL ELECTRIC CO	B	45,600.00	20.00	7.00	13.00	85.0	10.00
09/28/2017	75501161	LAWRENCE, DAVID	ROKU INC CLASS A COMMON STOCK	B	15,810.00	10.00	7.00	3.00	85.0	1.50
09/28/2017	75501161	LAWRENCE, DAVID	ROKU INC CLASS A COMMON STOCK	S	16,870.00	10.00	7.00	3.00	85.0	1.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/23/2017	75501161	LAWRENCE, DAVID		AVIS BUDGET GROUP INC COM	B	20,482.00	10.00	7.00	3.00 85.0 1.50
10/04/2017	75501189	IRA S LAWRENCE TTEE		ACELRX PHARMACEUTICALS INC	S	19,900.00	10.00	7.00	3.00 85.0 1.50
10/27/2017	75501189	IRA S LAWRENCE TTEE		CVS HEALTH CORPORATION	B	34,955.00	10.00	7.00	3.00 85.0 1.50
10/02/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		42,208.73	0.00	7.00	-7.00 85.0 -7.00
10/03/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		14,050.00	0.00	7.00	-7.00 85.0 -7.00
10/04/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		14,040.00	0.00	7.00	-7.00 85.0 -7.00
10/06/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		28,100.00	0.00	7.00	-7.00 85.0 -7.00
10/10/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		28,090.00	0.00	7.00	-7.00 85.0 -7.00
10/17/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		4,206.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		9,814.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		4,200.00	0.00	7.00	-7.00 85.0 -7.00
10/19/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		16,824.00	0.00	7.00	-7.00 85.0 -7.00
10/20/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,995.00	0.00	7.00	-7.00 85.0 -7.00
* STOCKS SUBTOTAL *				\$3,631,458.46	\$110.01	\$308.00	\$-197.99	\$-214.49	

PRODUCT CATEGORY: OPTIONS

09/29/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 49	S	2,820.00	141.00	7.00	134.00 85.0 112.85
09/29/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 63	S	20,407.20	750.00	7.00	743.00 85.0 630.50
09/29/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 62.50	S	16,345.00	750.00	7.00	743.00 85.0 630.50
09/29/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/13/17 63	S	6,460.00	323.00	7.00	316.00 85.0 267.55
09/29/2017	75500663	BOSGANG, ALVIN		PUT C 07/21/17 64.50	S	41,726.00	1,500.00	7.00	1,493.00 85.0 1,268.00
09/29/2017	75500663	BOSGANG, ALVIN		PUT C 10/20/17 69.50	S	33,988.00	1,500.00	7.00	1,493.00 85.0 1,268.00
10/02/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 49	S	2,540.00	127.00	7.00	120.00 85.0 100.95
10/02/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 48.50	S	3,288.00	114.00	7.00	107.00 85.0 89.90
10/02/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 49.50	S	960.00	48.00	7.00	41.00 85.0 33.80
10/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 62	S	2,110.00	105.00	7.00	98.00 85.0 82.25
10/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 63	S	3,000.00	150.00	7.00	143.00 85.0 120.50
10/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 63.50	S	7,841.00	300.00	7.00	293.00 85.0 248.00
10/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 64	S	4,621.00	150.00	7.00	143.00 85.0 120.50
10/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 62.50	S	2,368.00	118.00	7.00	111.00 85.0 93.30
10/02/2017	75500663	BOSGANG, ALVIN		PUT C 10/20/17 71	S	13,340.00	450.00	7.00	443.00 85.0 375.50
10/02/2017	75500663	BOSGANG, ALVIN		PUT C 10/20/17 71.50	S	23,621.00	750.00	7.00	743.00 85.0 630.50
10/02/2017	75500663	BOSGANG, ALVIN		PUT C 10/20/17 71.50	S	29,066.00	750.00	7.00	743.00 85.0 630.50
10/03/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 49	B	36,250.00	0.00	7.00	-7.00 85.0 -7.00
10/03/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 48.50	B	20,028.00	0.00	7.00	-7.00 85.0 -7.00
10/03/2017	75500663	BOSGANG, ALVIN		CALL DAL 10/06/17 48.50	S	3,810.00	0.00	7.00	-7.00 85.0 -7.00
10/03/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 63	S	1,805.00	90.00	7.00	83.00 85.0 69.50
10/03/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 63.50	S	2,400.00	120.00	7.00	113.00 85.0 95.00
10/03/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 64	S	9,349.00	450.00	7.00	443.00 85.0 375.50
10/03/2017	75500663	BOSGANG, ALVIN		PUT AABA 10/20/17 62	S	11,903.00	450.00	7.00	443.00 85.0 375.50

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
10/03/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71.50	S	20,440.00	750.00	7.00	743.00	85.0	630.50
10/03/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71.50	S	25,671.00	750.00	7.00	743.00	85.0	630.50
10/03/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	72.50	S	27,646.00	750.00	7.00	743.00	85.0	630.50
10/04/2017	75500663 BOSGANG, ALVIN	CALL DAL 10/06/17	49	B	11,400.00	0.00	7.00	-7.00	85.0	-7.00
10/04/2017	75500663 BOSGANG, ALVIN	CALL DAL 10/06/17	49	B	4,072.00	0.00	7.00	-7.00	85.0	-7.00
10/04/2017	75500663 BOSGANG, ALVIN	CALL DAL 10/06/17	49.50	B	22,540.00	0.00	7.00	-7.00	85.0	-7.00
10/04/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/20/17	63.50	S	1,700.00	85.00	7.00	78.00	85.0	65.25
10/04/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/20/17	64	S	4,200.00	210.00	7.00	203.00	85.0	171.50
10/04/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/20/17	62	S	5,282.00	264.00	7.00	257.00	85.0	217.40
10/04/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/20/17	65	S	21,727.00	1,050.00	7.00	1,043.00	85.0	885.50
10/04/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71	S	12,380.00	619.00	7.00	612.00	85.0	519.15
10/04/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71.50	S	15,400.00	750.00	7.00	743.00	85.0	630.50
10/04/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71.50	S	18,520.00	750.00	7.00	743.00	85.0	630.50
10/04/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	72.50	S	23,580.00	750.00	7.00	743.00	85.0	630.50
10/06/2017	75500663 BOSGANG, ALVIN	CALL DAL 10/06/17	49	B	14,820.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500663 BOSGANG, ALVIN	CALL DAL 10/13/17	52	S	6,308.00	0.00	7.00	-7.00	85.0	-7.00
10/09/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	65	S	19,578.00	978.00	7.00	971.00	85.0	824.30
10/09/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	65.50	S	26,281.00	1,050.00	7.00	1,043.00	85.0	885.50
10/09/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	66	S	32,205.00	1,050.00	7.00	1,043.00	85.0	885.50
10/09/2017	75500663 BOSGANG, ALVIN	PUT C 10/27/17	72	S	13,820.00	600.00	7.00	593.00	85.0	503.00
10/09/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71.50	S	4,200.00	210.00	7.00	203.00	85.0	171.50
10/09/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	72.50	S	5,600.00	280.00	7.00	273.00	85.0	231.00
10/09/2017	75500663 BOSGANG, ALVIN	PUT C 10/27/17	72	S	14,000.00	700.00	7.00	693.00	85.0	588.00
10/10/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	66	S	22,920.00	1,050.00	7.00	1,043.00	85.0	885.50
10/10/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	66.50	S	29,000.00	1,050.00	7.00	1,043.00	85.0	885.50
10/10/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	67	S	36,955.00	1,050.00	7.00	1,043.00	85.0	885.50
10/10/2017	75500663 BOSGANG, ALVIN	PUT C 10/27/17	72	S	3,180.00	150.00	7.00	143.00	85.0	120.50
10/10/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	72.50	S	5,000.00	250.00	7.00	243.00	85.0	205.50
10/11/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	66.50	S	13,070.00	540.00	7.00	533.00	85.0	452.00
10/11/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	67	S	18,310.00	600.00	7.00	593.00	85.0	503.00
10/11/2017	75500663 BOSGANG, ALVIN	PUT C 10/27/17	72	S	21,890.00	1,050.00	7.00	1,043.00	85.0	885.50
10/11/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	71.50	S	2,000.00	100.00	7.00	93.00	85.0	78.00
10/11/2017	75500663 BOSGANG, ALVIN	PUT C 10/20/17	72.50	S	2,700.00	135.00	7.00	128.00	85.0	107.75
10/11/2017	75500663 BOSGANG, ALVIN	PUT C 10/27/17	72.50	S	28,160.00	1,050.00	7.00	1,043.00	85.0	885.50
10/13/2017	75500663 BOSGANG, ALVIN	CALL DAL 10/13/17	52	B	13,486.00	0.00	7.00	-7.00	85.0	-7.00
10/16/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	65.50	S	9,600.00	450.00	7.00	443.00	85.0	375.50
10/16/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	66	S	20,556.00	750.00	7.00	743.00	85.0	630.50
10/16/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	66.50	S	15,000.00	450.00	7.00	443.00	85.0	375.50
10/16/2017	75500663 BOSGANG, ALVIN	PUT C 10/27/17	70	S	7,798.00	389.00	7.00	382.00	85.0	323.65
10/17/2017	75500663 BOSGANG, ALVIN	PUT AABA 10/27/17	65	S	2,199.00	109.00	7.00	102.00	85.0	85.65

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/17/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	65.50	S	2,960.00	148.00	7.00	141.00	85.0	118.80
10/17/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	66	S	4,029.00	150.00	7.00	143.00	85.0	120.50
10/17/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	66.50	S	5,240.00	150.00	7.00	143.00	85.0	120.50
10/17/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	67	S	6,900.00	150.00	7.00	143.00	85.0	120.50
10/18/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	66	S	15,400.00	660.00	7.00	653.00	85.0	554.00
10/18/2017	75500663	BOSGANG, ALVIN	PUT C	10/27/17	72	S	13,634.00	681.00	7.00	674.00	85.0	571.85
10/18/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	20,520.00	900.00	7.00	893.00	85.0	758.00
10/19/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	65	S	9,460.00	473.00	7.00	466.00	85.0	395.05
10/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	66	S	4,600.00	230.00	7.00	223.00	85.0	188.50
10/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	66.50	S	12,112.00	600.00	7.00	593.00	85.0	503.00
10/20/2017	75500663	BOSGANG, ALVIN	PUT AABA	10/27/17	67	S	38,925.00	1,500.00	7.00	1,493.00	85.0	1,268.00
10/20/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	12,720.00	636.00	7.00	629.00	85.0	533.60
10/20/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	71.50	S	33,897.00	1,500.00	7.00	1,493.00	85.0	1,268.00
10/23/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	70.50	S	2,640.00	132.00	7.00	125.00	85.0	105.20
10/23/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	7,620.00	381.00	7.00	374.00	85.0	316.85
10/23/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	71.50	S	10,900.00	545.00	7.00	538.00	85.0	456.25
10/23/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	72	S	18,720.00	750.00	7.00	743.00	85.0	630.50
10/23/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	13,900.00	450.00	7.00	443.00	85.0	375.50
10/23/2017	75500663	BOSGANG, ALVIN	PUT C	10/27/17	72.50	S	5,060.00	253.00	7.00	246.00	85.0	208.05
10/24/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	72	S	8,380.00	419.00	7.00	412.00	85.0	349.15
10/24/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	11,860.00	450.00	7.00	443.00	85.0	375.50
10/24/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	71	S	12,100.00	605.00	7.00	598.00	85.0	507.25
10/24/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	71.50	S	22,796.00	1,139.00	7.00	1,132.00	85.0	961.15
10/24/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	72	S	29,646.00	1,200.00	7.00	1,193.00	85.0	1,013.00
10/24/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	72.50	S	38,900.00	1,200.00	7.00	1,193.00	85.0	1,013.00
10/25/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	1,860.00	93.00	7.00	86.00	85.0	72.05
10/25/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	71.50	S	2,620.00	131.00	7.00	124.00	85.0	104.35
10/25/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	72	S	3,610.00	150.00	7.00	143.00	85.0	120.50
10/25/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	70	S	880.00	44.00	7.00	37.00	85.0	30.40
10/25/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	70.50	S	2,860.00	143.00	7.00	136.00	85.0	114.55
10/25/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	71	S	3,670.00	150.00	7.00	143.00	85.0	120.50
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	71.50	S	3,230.00	161.00	7.00	154.00	85.0	129.85
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	72	S	4,700.00	235.00	7.00	228.00	85.0	192.75
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/03/17	69.50	S	7,121.00	300.00	7.00	293.00	85.0	248.00
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	70.50	S	4,140.00	207.00	7.00	200.00	85.0	168.95
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	71	S	5,460.00	273.00	7.00	266.00	85.0	225.05
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	71.50	S	7,000.00	300.00	7.00	293.00	85.0	248.00
10/26/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	72	S	12,990.00	450.00	7.00	443.00	85.0	375.50
10/27/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	71.50	S	10,600.00	450.00	7.00	443.00	85.0	375.50
10/27/2017	75500663	BOSGANG, ALVIN	PUT C	11/10/17	72	S	27,107.00	900.00	7.00	893.00	85.0	758.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/27/2017	75500663	BOSGANG, ALVIN	PUT	C	11/10/17	72.50	S	32,060.00	900.00	7.00	893.00	758.00
10/30/2017	75500663	BOSGANG, ALVIN	PUT	C	11/17/17	70.50	S	12,140.00	600.00	7.00	593.00	503.00
10/30/2017	75500663	BOSGANG, ALVIN	PUT	C	11/17/17	71	S	11,800.00	450.00	7.00	443.00	375.50
10/30/2017	75500663	BOSGANG, ALVIN	PUT	C	11/17/17	71.50	S	19,880.00	600.00	7.00	593.00	503.00
10/30/2017	75500663	BOSGANG, ALVIN	PUT	C	11/17/17	71	S	18,000.00	450.00	7.00	443.00	375.50
10/30/2017	75500663	BOSGANG, ALVIN	PUT	C	11/10/17	71.50	S	9,405.00	450.00	7.00	443.00	375.50
10/30/2017	75500663	BOSGANG, ALVIN	PUT	C	11/10/17	72	S	12,640.00	450.00	7.00	443.00	375.50
10/24/2017	75500837	BELL, MARTIN	PUT	C	11/03/17	69.50	S	745.00	0.00	7.00	-7.00	-7.00
10/02/2017	75500864	WINICK, JESSE	CALL	DAL	10/06/17	49	S	800.00	80.00	7.00	73.00	61.00
10/02/2017	75500864	WINICK, JESSE	PUT	C	10/20/17	70.50	S	6,060.00	510.00	7.00	503.00	426.50
10/02/2017	75500864	WINICK, JESSE	PUT	C	10/13/17	70	S	2,246.67	224.00	7.00	217.00	183.40
10/03/2017	75500864	WINICK, JESSE	CALL	DAL	10/06/17	49.50	B	5,560.00	0.00	7.00	-7.00	-7.00
10/03/2017	75500864	WINICK, JESSE	PUT	C	10/20/17	71	S	3,260.00	300.00	7.00	293.00	248.00
10/10/2017	75500864	WINICK, JESSE	PUT	AABA	10/27/17	65	S	2,000.00	200.00	7.00	193.00	163.00
10/10/2017	75500864	WINICK, JESSE	PUT	AABA	10/27/17	65.50	S	2,700.00	270.00	7.00	263.00	222.50
10/11/2017	75500864	WINICK, JESSE	PUT	AABA	10/27/17	66	S	3,370.91	337.00	7.00	330.00	279.45
10/16/2017	75500864	WINICK, JESSE	PUT	AABA	10/27/17	65	S	2,146.67	214.00	7.00	207.00	174.90
10/16/2017	75500864	WINICK, JESSE	PUT	C	10/20/17	71	B	2,300.00	0.00	7.00	-7.00	-7.00
10/16/2017	75500864	WINICK, JESSE	PUT	C	10/27/17	72	S	1,700.00	170.00	7.00	163.00	137.50
10/19/2017	75500864	WINICK, JESSE	PUT	C	11/03/17	69.50	S	1,574.50	157.00	7.00	150.00	126.45
10/20/2017	75500864	WINICK, JESSE	PUT	C	11/03/17	70.50	S	2,040.00	204.00	7.00	197.00	166.40
10/20/2017	75500864	WINICK, JESSE	PUT	C	10/27/17	72	S	1,020.00	102.00	7.00	95.00	79.70
10/24/2017	75500864	WINICK, JESSE	PUT	C	11/03/17	71.50	S	1,970.00	197.00	7.00	190.00	160.45
10/25/2017	75500864	WINICK, JESSE	PUT	AABA	10/27/17	65	B	2,452.00	0.00	7.00	-7.00	-7.00
10/25/2017	75500864	WINICK, JESSE	PUT	AABA	10/27/17	65.50	B	4,480.00	0.00	7.00	-7.00	-7.00
10/27/2017	75500864	WINICK, JESSE	PUT	C	11/10/17	71	S	2,740.00	274.00	7.00	267.00	225.90
10/30/2017	75500864	WINICK, JESSE	PUT	C	11/03/17	69.50	S	2,906.67	290.00	7.00	283.00	239.50
10/02/2017	75500867	GREENSPAN, WILLIAM	PUT	C	10/13/17	70	S	2,246.67	224.00	7.00	217.00	183.40
10/03/2017	75500867	GREENSPAN, WILLIAM	PUT	C	10/20/17	71	S	3,260.00	300.00	7.00	293.00	248.00
10/10/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	10/27/17	65	S	2,000.00	200.00	7.00	193.00	163.00
10/16/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	10/27/17	65	S	2,146.67	214.00	7.00	207.00	174.90
10/16/2017	75500867	GREENSPAN, WILLIAM	PUT	C	10/20/17	71	B	2,300.00	0.00	7.00	-7.00	-7.00
10/20/2017	75500867	GREENSPAN, WILLIAM	PUT	C	10/27/17	72	S	1,020.00	102.00	7.00	95.00	79.70
10/25/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	10/27/17	65	B	4,904.00	0.00	7.00	-7.00	-7.00
10/27/2017	75500867	GREENSPAN, WILLIAM	PUT	C	11/10/17	71	S	2,740.00	274.00	7.00	267.00	225.90
09/29/2017	75500881	SUSLOW, RONNIE	CALL	SPY	01/20/17	222	S	600.00	0.00	7.00	-7.00	-7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT	AABA	10/20/17	62	S	1,330.00	0.00	7.00	-7.00	-7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT	C	10/20/17	68.50	S	2,400.00	0.00	7.00	-7.00	-7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT	C	10/20/17	69	S	3,010.00	0.00	7.00	-7.00	-7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT	C	10/13/17	70	S	2,910.00	0.00	7.00	-7.00	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
09/29/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17 70	S	2,120.00	0.00	7.00	-7.00 85.0 -7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17 69	S	370.00	0.00	7.00	-7.00 85.0 -7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17 69	S	150.00	0.00	7.00	-7.00 85.0 -7.00
09/29/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17 70	S	440.00	0.00	7.00	-7.00 85.0 -7.00
10/02/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17 71	S	4,700.00	0.00	7.00	-7.00 85.0 -7.00
10/03/2017	75500881	SUSLOW, RONNIE	PUT C	10/20/17 71	S	3,260.00	0.00	7.00	-7.00 85.0 -7.00
10/05/2017	75500881	SUSLOW, RONNIE	CALL SPY	01/20/17 222	B	80.00	0.00	7.00	-7.00 85.0 -7.00
10/05/2017	75500881	SUSLOW, RONNIE	PUT AABA	10/06/17 62.50	B	100.00	0.00	7.00	-7.00 85.0 -7.00
10/05/2017	75500881	SUSLOW, RONNIE	PUT C	10/06/17 69	B	100.00	0.00	7.00	-7.00 85.0 -7.00
10/06/2017	75500881	SUSLOW, RONNIE	PUT BAC	10/20/17 25	S	2,000.00	0.00	7.00	-7.00 85.0 -7.00
10/10/2017	75500881	SUSLOW, RONNIE	PUT AABA	10/27/17 65.50	S	2,700.00	0.00	7.00	-7.00 85.0 -7.00
10/11/2017	75500881	SUSLOW, RONNIE	PUT AABA	10/20/17 66.50	S	3,176.00	0.00	7.00	-7.00 85.0 -7.00
10/12/2017	75500881	SUSLOW, RONNIE	PUT AABA	10/20/17 66.50	B	6,125.25	0.00	7.00	-7.00 85.0 -7.00
10/12/2017	75500881	SUSLOW, RONNIE	PUT BAC	10/20/17 25	B	2,800.00	0.00	7.00	-7.00 85.0 -7.00
10/13/2017	75500881	SUSLOW, RONNIE	PUT C	10/13/17 71	B	1,498.50	0.00	7.00	-7.00 85.0 -7.00
10/16/2017	75500881	SUSLOW, RONNIE	PUT C	10/20/17 71	B	2,633.33	0.00	7.00	-7.00 85.0 -7.00
10/04/2017	75500882	SUSLOW, RONNIE	CALL SPY	01/20/17 222	B	80.00	0.00	7.00	-7.00 85.0 -7.00
10/04/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/06/17 62.50	B	20.00	0.00	7.00	-7.00 85.0 -7.00
10/09/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/20/17 62	S	150.00	0.00	7.00	-7.00 85.0 -7.00
10/09/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/20/17 66	S	1,920.00	0.00	7.00	-7.00 85.0 -7.00
10/09/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 64	S	600.00	0.00	7.00	-7.00 85.0 -7.00
10/09/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 64.50	S	2,790.00	0.00	7.00	-7.00 85.0 -7.00
10/10/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/20/17 66	S	600.00	0.00	7.00	-7.00 85.0 -7.00
10/17/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/20/17 66	B	2,400.60	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 65	S	510.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 65.50	S	350.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75500882	SUSLOW, RONNIE	PUT C	11/03/17 69.50	S	240.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75500882	SUSLOW, RONNIE	PUT C	11/03/17 70.50	S	590.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75500882	SUSLOW, RONNIE	PUT C	10/27/17 72	S	400.00	0.00	7.00	-7.00 85.0 -7.00
10/18/2017	75500882	SUSLOW, RONNIE	PUT C	10/27/17 71	S	310.00	0.00	7.00	-7.00 85.0 -7.00
10/23/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 65	B	500.00	0.00	7.00	-7.00 85.0 -7.00
10/23/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 64	B	240.00	0.00	7.00	-7.00 85.0 -7.00
10/23/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 64.50	B	1,600.00	0.00	7.00	-7.00 85.0 -7.00
10/23/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 64.50	S	-1,600.00	0.00	-7.00	7.00 85.0 7.00 C
10/23/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 64.50	B	1,660.00	0.00	7.00	-7.00 85.0 -7.00
10/23/2017	75500882	SUSLOW, RONNIE	PUT AABA	10/27/17 65.50	B	370.00	0.00	7.00	-7.00 85.0 -7.00
10/24/2017	75500882	SUSLOW, RONNIE	PUT C	10/27/17 72	S	770.00	0.00	7.00	-7.00 85.0 -7.00
10/24/2017	75500882	SUSLOW, RONNIE	PUT C	10/27/17 72	B	4.00	0.00	7.00	-7.00 85.0 -7.00
10/25/2017	75500882	SUSLOW, RONNIE	CALL SPY	01/20/17 222	B	1,400.00	0.00	7.00	-7.00 85.0 -7.00
10/30/2017	75500882	SUSLOW, RONNIE	PUT C	11/03/17 72	S	2,220.00	0.00	7.00	-7.00 85.0 -7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
09/29/2017	75500918	SUSLOW, ELISSA	CALL DAL	10/06/17	49	S	310.00	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500918	SUSLOW, ELISSA	CALL DAL	10/06/17	49.50	S	2,390.00	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500918	SUSLOW, ELISSA	PUT BAC	10/13/17	24.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	69	S	3,010.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	70.50	S	6,060.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	71	S	4,700.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2017	75500918	SUSLOW, ELISSA	CALL DAL	10/06/17	49.50	B	8,450.00	0.00	7.00	-7.00	85.0	-7.00
10/03/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	71	S	3,260.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/20/17	66.50	S	1,590.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/27/17	65.50	S	3,780.00	0.00	7.00	-7.00	85.0	-7.00
10/11/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/20/17	66	S	4,452.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/20/17	66.50	B	2,041.75	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75500918	SUSLOW, ELISSA	PUT AABA	10/20/17	66	B	8,140.00	0.00	7.00	-7.00	85.0	-7.00
10/13/2017	75500918	SUSLOW, ELISSA	PUT C	10/13/17	71	B	1,498.50	0.00	7.00	-7.00	85.0	-7.00
10/13/2017	75500918	SUSLOW, ELISSA	PUT C	10/27/17	70	S	960.00	0.00	7.00	-7.00	85.0	-7.00
10/13/2017	75500918	SUSLOW, ELISSA	PUT C	10/27/17	72	S	1,360.00	0.00	7.00	-7.00	85.0	-7.00
10/16/2017	75500918	SUSLOW, ELISSA	PUT C	10/20/17	71	B	2,633.33	0.00	7.00	-7.00	85.0	-7.00
10/17/2017	75500918	SUSLOW, ELISSA	PUT C	10/27/17	72	S	1,340.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2017	75500918	SUSLOW, ELISSA	PUT C	11/03/17	69.50	S	3,576.00	0.00	7.00	-7.00	85.0	-7.00
10/20/2017	75500918	SUSLOW, ELISSA	PUT C	11/03/17	70.50	S	2,040.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2017	75500918	SUSLOW, ELISSA	PUT C	11/03/17	71.50	S	1,970.00	0.00	7.00	-7.00	85.0	-7.00
10/30/2017	75500918	SUSLOW, ELISSA	PUT C	11/03/17	69.50	S	2,906.67	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500953	SUSLOW, STEPHEN	CALL SPY	01/20/17	222	S	600.00	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500953	SUSLOW, STEPHEN	CALL SPY	01/20/17	222	B	-600.00	0.00	-7.00	7.00	85.0	7.00 C
10/05/2017	75500953	SUSLOW, STEPHEN	PUT BAC	10/20/17	25	S	400.00	0.00	7.00	-7.00	85.0	-7.00
10/05/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71	S	380.00	0.00	7.00	-7.00	85.0	-7.00
10/05/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500953	SUSLOW, STEPHEN	PUT BAC	10/20/17	25	S	600.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71	S	300.00	0.00	7.00	-7.00	85.0	-7.00
10/06/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71.50	B	920.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71.50	S	437.00	0.00	7.00	-7.00	85.0	-7.00
10/11/2017	75500953	SUSLOW, STEPHEN	PUT AABA	10/27/17	65.50	S	420.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75500953	SUSLOW, STEPHEN	PUT BAC	10/20/17	25	B	1,400.00	0.00	7.00	-7.00	85.0	-7.00
10/12/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71.50	B	483.00	0.00	7.00	-7.00	85.0	-7.00
10/16/2017	75500953	SUSLOW, STEPHEN	PUT C	10/20/17	71	B	1,053.33	0.00	7.00	-7.00	85.0	-7.00
10/23/2017	75500953	SUSLOW, STEPHEN	PUT AABA	10/27/17	65.50	B	740.00	0.00	7.00	-7.00	85.0	-7.00
10/04/2017	75500954	SUSLOW, STEPHEN	PUT C	10/06/17	68.50	B	60.00	0.00	7.00	-7.00	85.0	-7.00
10/10/2017	75500954	SUSLOW, STEPHEN	PUT AABA	10/20/17	66	S	1,215.00	0.00	7.00	-7.00	85.0	-7.00
10/17/2017	75500954	SUSLOW, STEPHEN	PUT AABA	10/20/17	66	B	1,620.40	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
10/18/2017	75500954	SUSLOW, STEPHEN	PUT C	10/27/17	72	S	2,150.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2017	75500954	SUSLOW, STEPHEN	PUT C	11/03/17	69.50	S	2,384.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2017	75500954	SUSLOW, STEPHEN	PUT C	11/03/17	70.50	S	4,040.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2017	75500954	SUSLOW, STEPHEN	PUT C	10/27/17	72	S	330.00	0.00	7.00	-7.00	85.0	-7.00
10/24/2017	75500954	SUSLOW, STEPHEN	PUT C	10/27/17	72.50	S	1,740.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2017	75500954	SUSLOW, STEPHEN	CALL SPY	01/20/17	222	B	600.00	0.00	7.00	-7.00	85.0	-7.00
10/25/2017	75500954	SUSLOW, STEPHEN	PUT C	10/27/17	72.50	B	3,060.00	0.00	7.00	-7.00	85.0	-7.00
10/27/2017	75500954	SUSLOW, STEPHEN	PUT C	11/03/17	71.50	S	1,725.00	0.00	7.00	-7.00	85.0	-7.00
10/27/2017	75500954	SUSLOW, STEPHEN	PUT C	11/03/17	72	S	2,860.00	0.00	7.00	-7.00	85.0	-7.00
10/30/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/03/17	63	S	500.00	0.00	7.00	-7.00	85.0	-7.00
10/30/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/03/17	63.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
10/30/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/03/17	64	S	760.00	0.00	7.00	-7.00	85.0	-7.00
09/29/2017	75500986	SUSLOVICH, JACOB	PUT C	10/20/17	68	S	1,160.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2017	75500986	SUSLOVICH, JACOB	CALL SPY	01/20/17	222	S	700.00	0.00	7.00	-7.00	85.0	-7.00
10/02/2017	75501098	WINICK FAMILY	PUT C	07/21/17	64.50	S	2,500.00	250.00	7.00	243.00	85.0	205.50
10/02/2017	75501098	WINICK FAMILY	PUT C	10/13/17	70	S	2,246.67	224.00	7.00	217.00	85.0	183.40
10/03/2017	75501098	WINICK FAMILY	PUT C	10/20/17	71	S	3,260.00	300.00	7.00	293.00	85.0	248.00
10/10/2017	75501098	WINICK FAMILY	PUT AABA	10/27/17	65	S	2,000.00	200.00	7.00	193.00	85.0	163.00
10/11/2017	75501098	WINICK FAMILY	PUT AABA	10/27/17	66	S	2,809.09	280.00	7.00	273.00	85.0	231.00
10/16/2017	75501098	WINICK FAMILY	PUT AABA	10/27/17	65	S	2,146.67	214.00	7.00	207.00	85.0	174.90
10/16/2017	75501098	WINICK FAMILY	PUT C	10/20/17	71	B	2,300.00	0.00	7.00	-7.00	85.0	-7.00
10/19/2017	75501098	WINICK FAMILY	PUT C	11/03/17	69.50	S	1,574.50	157.00	7.00	150.00	85.0	126.45
10/20/2017	75501098	WINICK FAMILY	PUT C	10/27/17	72	S	1,020.00	102.00	7.00	95.00	85.0	79.70
10/25/2017	75501098	WINICK FAMILY	PUT AABA	10/27/17	65	B	4,904.00	0.00	7.00	-7.00	85.0	-7.00
10/27/2017	75501098	WINICK FAMILY	PUT C	11/10/17	71	S	2,740.00	274.00	7.00	267.00	85.0	225.90
10/30/2017	75501098	WINICK FAMILY	PUT C	11/03/17	69.50	S	2,906.67	290.00	7.00	283.00	85.0	239.50
10/02/2017	75501215	SISTERS TWO LLC	PUT C	10/13/17	70	S	360.00	36.00	7.00	29.00	85.0	23.60
10/27/2017	75501215	SISTERS TWO LLC	PUT C	11/03/17	69.50	S	1,100.00	110.00	7.00	103.00	85.0	86.50
10/30/2017	75501215	SISTERS TWO LLC	PUT C	11/10/17	71	S	2,420.00	242.00	7.00	235.00	85.0	198.70
10/24/2017	75502117	BLUMA ROTTERMANN TTEE	PUT C	11/03/17	69.50	S	745.00	74.00	7.00	67.00	85.0	55.90
* OPTIONS SUBTOTAL *							\$1,749,068.22	\$60,690.00	\$1,750.00	\$58,940.00		\$49,836.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS												
10/10/2017	75501104	CHRISTOPHER C	INVESCO GLOBAL HEALTH CARE FD INV C B				0.00	20.91	0.00	20.91	85.0	17.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$20.91	\$0.00	\$20.91		\$17.77
*** BROKER TRANSACTIONS TOTAL ***							\$5,380,526.68	\$60,820.92	\$2,058.00	\$58,762.92		\$49,639.78

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2017		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-496.00
10/31/2017		OTHER: OTHER-SALARY		0.00	0.00	0.00	0.00		-42,725.00
10/31/2017		OTHER: OTHER-FICA		0.00	0.00	0.00	0.00		-773.32
10/31/2017		OTHER: OTHER--METRO TAX		0.00	0.00	0.00	0.00		-143.60
10/31/2017		OTHER: OTHER-WORKMAN COMP		0.00	0.00	0.00	0.00		-98.27
10/31/2017		OTHER: OTHER-CHASE		0.00	0.00	0.00	0.00		-1,345.42
10/31/2017		OTHER: OTHER-CAPITAL ONE		0.00	0.00	0.00	0.00		-2,499.49
10/31/2017		OTHER: OTHER-WARD TICKET CHARGES		0.00	0.00	0.00	0.00		-91.00
10/31/2017		OTHER: OTHER-WARD INCOME		0.00	0.00	0.00	0.00		195.53
10/31/2017		OTHER: OTHER-VICTOR INCOME		0.00	0.00	0.00	0.00		207.91
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-47,768.66</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$49,639.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-47,768.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$200,941.31
FINRA Assessment	\$-76.03
SIPC Assessment	\$-152.05

Check Amount	\$202,584.35
Year-to-date Earnings	\$125,303.05