



Concorde Investment Services, LLC

01/12/2018

Page No. 1

COMMISSION STATEMENT**January 15, 2018****P124-JONP/MARC/DAN/J FT-FL JUNO CIRE/LINS**

14255 US Hwy One

Suite 232

Juno Beach, FL 33408

BROKER #: P124

BRANCH: FORTITUDE SPLITS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| ^01/08/2018 | WASSERMAN TRUST, NINA | APHRIA INC COM ISIN#CA03765K1049 | 5,102.70 | 0.00 | 22.50 | -22.50 | 100.0 | 0.00 |
| ^01/09/2018 | WASSERMAN TRUST, NINA | APHRIA INC COM ISIN#CA03765K1049 | -4,447.30 | 0.00 | 22.50 | -22.50 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | CANNABICS PHARMACEUTICALS INC COM | 3,495.00 | 0.00 | 24.25 | -24.25 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | CANNABIS SCIENCE INC COM | 5,332.50 | 0.00 | 27.00 | -27.00 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | CANNABIS SCIENCE INC COM | 595.00 | 0.00 | 27.00 | -27.00 | 100.0 | 0.00 |
| ^01/09/2018 | WASSERMAN TRUST, NINA | CANNABIS SCIENCE INC COM | -5,148.00 | 0.00 | 27.00 | -27.00 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | CANOPY GROWTH CORP SHS LKD TO S&P G | 6,005.80 | 0.00 | 19.80 | -19.80 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | CANOPY GROWTH CORP SHS LKD TO S&P G | 1,501.45 | 0.00 | 11.70 | -11.70 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | HEMP INC COM NEW | 1,805.00 | 0.00 | 27.00 | -27.00 | 100.0 | 0.00 |
| ^01/08/2018 | WASSERMAN TRUST, NINA | MEDRELEAF CORP REGISTERED SHS ISIN# | 6,903.00 | 0.00 | 22.50 | -22.50 | 100.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | \$21,145.15 | \$0.00 | \$231.25 | \$-231.25 | | \$0.00 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | \$21,145.15 | \$0.00 | \$231.25 | \$-231.25 | | \$0.00 |

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

| | | | | | | | | |
|-------------|----------------------|-------------------------------------|------|-------|------|-------|-------|------|
| ^12/15/2017 | FLAHERTY, STEPHEN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.18 | 0.00 | 0.18 | 100.0 | 0.00 |
| ^12/14/2017 | WILKINSKY, KARA-JANE | AMERICAN CENTURY STRATEGIC ALLOC AG | 0.00 | 5.62 | 0.00 | 5.62 | 100.0 | 0.00 |
| ^12/14/2017 | WILKINSKY, KARA-JANE | AMERICAN CENTURY STRATEGIC ALLOC AG | 0.00 | 14.29 | 0.00 | 14.29 | 100.0 | 0.00 |
| ^12/14/2017 | WILKINSKY, MATTHEW | AMERICAN CENTURY STRATEGIC ALLOC AG | 0.00 | 9.43 | 0.00 | 9.43 | 100.0 | 0.00 |
| ^12/14/2017 | LEEN, RANDY | AMERICAN CENTURY STRATEGIC ALLOC AG | 0.00 | 6.84 | 0.00 | 6.84 | 100.0 | 0.00 |
| ^12/14/2017 | WILKINSKY, KARA-JANE | AMERICAN CENTURY STRATEGIC ALLOC AG | 0.00 | 0.53 | 0.00 | 0.53 | 100.0 | 0.00 |
| ^12/14/2017 | WILKINSKY, MATTHEW | AMERICAN CENTURY STRATEGIC ALLOC AG | 0.00 | 0.53 | 0.00 | 0.53 | 100.0 | 0.00 |
| ^12/21/2017 | ROMAN, ROSA | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 1.03 | 0.00 | 1.03 | 100.0 | 0.00 |
| ^12/21/2017 | ABRAMS, RAFAEL | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 2.57 | 0.00 | 2.57 | 100.0 | 0.00 |
| ^12/21/2017 | JA, WILLIAM | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 9.42 | 0.00 | 9.42 | 100.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| ^12/21/2017 | JA, WILLIAM | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 28.25 | 0.00 | 28.25 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY, PHYLLIS | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 2.59 | 0.00 | 2.59 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY, PHYLLIS | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 7.77 | 0.00 | 7.77 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY, PHYLLIS | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 3.24 | 0.00 | 3.24 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY, PHYLLIS | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 9.72 | 0.00 | 9.72 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY JR, JOHN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 2.16 | 0.00 | 2.16 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY JR, JOHN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 6.48 | 0.00 | 6.48 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY JR, JOHN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 2.59 | 0.00 | 2.59 | 100.0 | 0.00 |
| ^12/18/2017 | MORONEY JR, JOHN | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 7.77 | 0.00 | 7.77 | 100.0 | 0.00 |
| ^12/18/2017 | JA, WILLIAM | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 9.19 | 0.00 | 9.19 | 100.0 | 0.00 |
| ^12/18/2017 | JA, WILLIAM | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 27.57 | 0.00 | 27.57 | 100.0 | 0.00 |
| ^12/18/2017 | ROMAN, ROSA | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 1.65 | 0.00 | 1.65 | 100.0 | 0.00 |
| ^12/18/2017 | ABRAMS, RAFAEL | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 2.56 | 0.00 | 2.56 | 100.0 | 0.00 |
| ^12/18/2017 | WILKINSKY, KARA-JANE | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 4.42 | 0.00 | 4.42 | 100.0 | 0.00 |
| ^12/18/2017 | WILKINSKY, MATTHEW | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 3.54 | 0.00 | 3.54 | 100.0 | 0.00 |
| ^12/18/2017 | FLAHERTY, CAITLIN | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 2.97 | 0.00 | 2.97 | 100.0 | 0.00 |
| ^12/18/2017 | KELLY, GORDON | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 4.22 | 0.00 | 4.22 | 100.0 | 0.00 |
| ^12/18/2017 | SCHENONE, LORI | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 12.72 | 0.00 | 12.72 | 100.0 | 0.00 |
| ^12/20/2017 | WILKINSKY, KARA-JANE | LORD ABBETT SHORT DURATION INCOME A | 0.00 | 0.33 | 0.00 | 0.33 | 100.0 | 0.00 |
| ^12/20/2017 | WILKINSKY, MATTHEW | LORD ABBETT SHORT DURATION INCOME A | 0.00 | 0.33 | 0.00 | 0.33 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$190.51 | \$0.00 | \$190.51 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$190.51 | \$0.00 | \$190.51 | | \$0.00 |

ADJUSTMENTS

| | | | | | | | | |
|----------------------------------|----------------------------|------|---------------|---------------|---------------|---------------|--|---------------|
| 01/15/2018 | *EO INS: CURRENT MONTH INS | 0.00 | | | | | | 0.00 |
| 01/15/2018 | *PERSHING: MARK UPS | 0.00 | | | | | | 0.00 |
| 01/15/2018 | *TECH: CURRENT MONTH TECH | 0.00 | | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.14 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.14 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |