

**COMMISSION STATEMENT****November 15, 2017****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: G3G

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/30/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	-3,828.20	22.00	22.00	0.00	100.0	0.00
^11/10/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	2,500.00	0.00	0.00	0.00	100.0	0.00
^11/10/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	2,500.00	0.00	0.00	0.00	100.0	0.00
^11/06/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	-2,382.58	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-1,210.78	\$22.00	\$22.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^11/06/2017	DAVID, RUDOLPH	GLOBAL NET LEASE INC COM	-2,185.00	0.00	22.50	-22.50	100.0	0.00
* STOCKS SUBTOTAL *			\$-2,185.00	\$0.00	\$22.50	\$-22.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-3,395.78	\$22.00	\$44.50	\$-22.50		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^10/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.23	0.00	0.23	100.0	0.00
^10/18/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.60	0.00	0.60	100.0	0.00
^10/18/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.00	0.00	1.00	100.0	0.00
^10/26/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.76	0.00	1.76	100.0	0.00
^10/26/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.59	0.00	1.59	100.0	0.00
^10/26/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.69	0.00	0.69	100.0	0.00
^10/26/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.68	0.00	0.68	100.0	0.00
^10/26/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.34	0.00	1.34	100.0	0.00
^10/26/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.44	0.00	8.44	100.0	0.00
^10/26/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.49	0.00	7.49	100.0	0.00
^10/26/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.76	0.00	0.76	100.0	0.00
^10/26/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.81	0.00	0.81	100.0	0.00
^10/26/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.57	0.00	0.57	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^10/24/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.00
^10/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.98	0.00	0.98	100.0	0.00
^10/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.49	0.00	4.49	100.0	0.00
^10/25/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.11	0.00	2.11	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.99	\$0.00	\$33.99		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$33.99	\$0.00	\$33.99		\$0.00

ADJUSTMENTS

11/15/2017	*PERSHING: MARK UPS	0.00					0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.04
Year-to-date Gross	\$57.00
Year-to-date Earnings	\$57.00

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