



COMMISSION STATEMENT

April 16, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @33.1000						
04/05/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @33.1000						
04/02/2018	***-**-539I - JALLOH, ABDULAI	AMCAP FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00084394270	BUY 2 Units @28.0700						
04/06/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @28.1900						
04/02/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 2 Units @27.6300						
04/02/2018	***-**-05IN - RANDALL, ADAM	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00085941585	BUY 2 Units @27.6300						
04/02/2018	***-**-975P - COTTONE, MARIA	AMERICAN BALANCED FUND-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 00086785798	BUY 9 Units @27.6300						
04/02/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @26.2200						
04/02/2018	***-**-984I - DELUCA, SHARI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 2 Units @26.2200						
04/02/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.2200						
04/02/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.2200						
04/02/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.2200						
04/02/2018	***-**-255U - LIPPA, HARITINI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000385806	BUY 2 Units @26.2200						
04/03/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.4300						
04/03/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.4300						
04/03/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.4300						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/03/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	18.93	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00089583477	BUY 1 Units @26.4300						
04/03/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	67.20	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00089722785	BUY 3 Units @26.4300						
04/06/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @26.4300						
04/06/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.4300						
04/06/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.4300						
04/06/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.4300						
04/06/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.4300						
04/06/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.4300						
04/06/2018	***-**-1134 - BARTON, WILLIAM	AMERICAN BALANCED FUND-C	87.96	0.88	0.00	0.88	80.0	0.70
	ACCOUNT #: 00089244081	BUY 3 Units @26.4300						
04/06/2018	***-**-281S - FLUGEL, AMANDA	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089561651	BUY 2 Units @26.4300						
04/06/2018	***-**-035S - CAPIZZI, TRACIE	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089679504	BUY 1 Units @26.4300						
04/06/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 5 Units @26.4300						
04/02/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @38.4300						
04/03/2018	***-**-4392 - GARCIA, MICHELLE	CAPITAL INCOME BUILDER CLASS C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085764363	BUY 0 Units @60.2300						
04/02/2018	***-**-975P - COTTONE, MARIA	CAPITAL INCOME BUILDER-A	250.00	9.38	0.00	9.38	80.0	7.50
	ACCOUNT #: 00086785798	BUY 4 Units @62.6200						
04/05/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @20.5000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5000	22.25	0.27	0.00	0.27	80.0	0.22
04/02/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @53.3100	12.50	0.63	0.00	0.63	80.0	0.50
04/02/2018	***-**-048I - DEAN, MARY ACCOUNT #: 00065085393	CAPITAL WORLD GROWTH AND INCOME FUN BUY 10 Units @49.7000	500.00	5.00	0.00	5.00	80.0	4.00
04/02/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @49.7000	50.00	0.50	0.00	0.50	80.0	0.40
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @49.7000	15.00	0.15	0.00	0.15	80.0	0.12
04/03/2018	***-**-1209 - NATALE, KIMBERLY ACCOUNT #: 00085764285	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.2300	50.00	0.50	0.00	0.50	80.0	0.40
04/03/2018	***-**-4392 - GARCIA, MICHELLE ACCOUNT #: 00085764363	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.2300	25.00	0.25	0.00	0.25	80.0	0.20
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.2300	15.00	0.15	0.00	0.15	80.0	0.12
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.0900	15.00	0.15	0.00	0.15	80.0	0.12
04/06/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.0900	40.00	0.40	0.00	0.40	80.0	0.32
04/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.7100	66.75	0.80	0.00	0.80	80.0	0.64
04/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.7100	66.75	0.80	0.00	0.80	80.0	0.64
04/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.1600	133.50	1.60	0.00	1.60	80.0	1.28
04/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	FUNDAMENTAL INVESTORS-A BUY 2 Units @63.1600	133.50	1.60	0.00	1.60	80.0	1.28
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @60.0700	2.00	0.02	0.00	0.02	80.0	0.02
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @60.0700	20.40	0.20	0.00	0.20	80.0	0.16



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/02/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @60.0700						
04/03/2018	***-**-7625 - PRINCE, ADAM	FUNDAMENTAL INVESTORS-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085764342	BUY 1 Units @60.8600						
04/03/2018	***-**-4392 - GARCIA, MICHELLE	FUNDAMENTAL INVESTORS-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085764363	BUY 0 Units @60.8600						
04/03/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @60.8600						
04/03/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @60.8600						
04/06/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @60.6800						
04/06/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @60.6800						
04/06/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @60.6800						
04/06/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @60.6800						
04/02/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @38.4800						
04/03/2018	***-**-1209 - NATALE, KIMBERLY	INVESTMENT COMPANY OF AMERICA CLASS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085764285	BUY 1 Units @38.8800						
04/03/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	18.37	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @38.8800						
04/03/2018	***-**-96CL - SCARPULLA,	INVESTMENT COMPANY OF AMERICA CLASS	50.40	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089722785	BUY 1 Units @38.8800						
04/05/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @44.9700						
04/05/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @44.9700						
04/02/2018	***-**-05IN - RANDALL, ADAM	NEW PERSPECTIVE FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085941585	BUY 1 Units @41.4000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.4000	2.00	0.02	0.00	0.02	80.0	0.02
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.4000	20.40	0.20	0.00	0.20	80.0	0.16
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.8900	20.40	0.20	0.00	0.20	80.0	0.16
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.8900	2.00	0.02	0.00	0.02	80.0	0.02
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.7500	20.40	0.20	0.00	0.20	80.0	0.16
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.7500	2.00	0.02	0.00	0.02	80.0	0.02
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.7500	2.00	0.02	0.00	0.02	80.0	0.02
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @41.7500	20.40	0.20	0.00	0.20	80.0	0.16
04/09/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	ROCH. LIMITED TERM NEW YORK MUNICI BUY 3535 Units @2.8300	10,003.00	50.01	3.00	47.01	80.0	37.61
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @48.8500	2.00	0.02	0.00	0.02	80.0	0.02
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @48.8500	20.40	0.20	0.00	0.20	80.0	0.16
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.3800	2.00	0.02	0.00	0.02	80.0	0.02
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.3800	20.40	0.20	0.00	0.20	80.0	0.16
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.2700	20.40	0.20	0.00	0.20	80.0	0.16
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.2700	2.00	0.02	0.00	0.02	80.0	0.02
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.2700	2.00	0.02	0.00	0.02	80.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.2700	20.40	0.20	0.00	0.20	80.0	0.16
04/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7900	44.50	0.53	0.00	0.53	80.0	0.42
04/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7900	44.50	0.53	0.00	0.53	80.0	0.42
04/02/2018	***-**-42IR - ADAMS, GRACE ACCOUNT #: 00073505114	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.8700	50.00	2.50	0.00	2.50	80.0	2.00
04/02/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @52.8700	12.50	0.63	0.00	0.63	80.0	0.50
04/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.0000	66.75	0.80	0.00	0.80	80.0	0.64
04/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.0000	66.75	0.80	0.00	0.80	80.0	0.64
04/02/2018	***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315	THE GROWTH FUND OF AMERICA-C BUY 1 Units @46.1200	50.00	0.50	0.00	0.50	80.0	0.40
04/02/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	THE GROWTH FUND OF AMERICA-C BUY 2 Units @46.1200	100.00	1.00	0.00	1.00	80.0	0.80
04/02/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.1200	15.00	0.15	0.00	0.15	80.0	0.12
04/02/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.1200	10.00	0.10	0.00	0.10	80.0	0.08
04/03/2018	***-**-4392 - GARCIA, MICHELLE ACCOUNT #: 00085764363	THE GROWTH FUND OF AMERICA-C BUY 1 Units @46.6400	25.00	0.25	0.00	0.25	80.0	0.20
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.6400	15.00	0.15	0.00	0.15	80.0	0.12
04/03/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.6400	18.37	0.18	0.00	0.18	80.0	0.14
04/03/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089722785	THE GROWTH FUND OF AMERICA-C BUY 1 Units @46.6400	50.40	0.50	0.00	0.50	80.0	0.40
04/06/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.4300	15.00	0.15	0.00	0.15	80.0	0.12



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/06/2018	***-**-1134 - BARTON, WILLIAM	THE GROWTH FUND OF AMERICA-C	61.22	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00089319958	BUY 1 Units @46.4300						
04/06/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	86.54	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 04000650661	BUY 2 Units @46.4300						
04/02/2018	***-**-545P - VISCA, ANGELO	THE INVESTMENT COMPANY OF AMERICA-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00063056262	BUY 2 Units @40.2900						
04/02/2018	***-**-395P - DIVASTA, ANTHONY	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 1 Units @40.7100						
04/02/2018	***-**-9024 - HACKETT, ETHAN	THE NEW ECONOMY FUND-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00088123299	BUY 1 Units @41.2900						
04/02/2018	***-**-935J - RUSH, DONNA	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085296459	BUY 4 Units @12.8100						
04/02/2018	***-**-44UG - GUERRIERI,	THE TAX-EXEMPT BOND FUND OF AMERICA	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00085399145	BUY 20 Units @12.8100						
04/09/2018	***-**-20IN - GIANGRECO, TERRY	THE TAX-EXEMPT BOND FUND OF AMERICA	10,003.00	100.03	3.00	97.03	80.0	77.62
	ACCOUNT #: LSR010603	BUY 782 Units @12.7900						
04/02/2018	***-**-545P - VISCA, ANGELO	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 00063056262	BUY 1 Units @45.5300						
04/02/2018	***-**-984I - DELUCA, SHARI	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 1 Units @43.3800						
04/02/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @43.3800						
04/06/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @43.8300						
04/06/2018	***-**-7645 - SUSS, PATRICK	WASHINGTON MUTUAL INVESTORS FUND-C	2,000.00	20.00	0.00	20.00	80.0	16.00
	ACCOUNT #: 04000680953	BUY 46 Units @43.8300						
* MUTUAL FUNDS SUBTOTAL *			\$26,806.08	\$243.31	\$6.00	\$237.31		\$189.87



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
04/09/2018	***-**-2801 - DEBREE, DAWN	CHOICEPLUS ASSURANCE BONUS	9.39	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 921082404	BUY 0 Units @0.0000						
04/09/2018	***-**-4205 - DEBREE, ROBERT	CHOICEPLUS ASSURANCE BONUS	25.70	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 921082401	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$35.09	\$2.20	\$0.00	\$2.20		\$1.76
PRODUCT CATEGORY: 529 PLAN								
04/02/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198121	BUY 2 Units @26.3500						
04/02/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198137	BUY 2 Units @26.3500						
* 529 PLAN SUBTOTAL *			\$100.00	\$1.00	\$0.00	\$1.00		\$0.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/02/2018	***-**-3106 - LAMB, BERNICE	37252M108	0.00	0.95	0.00	0.95	80.0	0.76
	ACCOUNT #: 00551402351	BUY 0 Units @0.0000						
04/01/2018	***-**-397P - COTTONE, MARIA	AIM CHARTER FUND - CLASS A	0.00	5.38	0.00	5.38	80.0	4.30
	ACCOUNT #: 01000005000	BUY 0 Units @0.0000						
04/01/2018	***-**-88IR - KUBIAK, COLLEEN	AIM CHARTER FUND - CLASS A	0.00	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 01000005154	BUY 0 Units @0.0000						
04/05/2018	***-**-3043 - SCARPULLA,	AMERICAN FUNDS 401K TRAILS	0.00	367.17	0.00	367.17	80.0	293.74
	ACCOUNT #: IRK131856	BUY 0 Units @0.0000						
03/31/2018	***-**-765P - CRISPINO/, DAVID	AMT-FREE NEW YORK MUNICIPALS A	0.00	24.73	0.00	24.73	80.0	19.78
	ACCOUNT #: 03601040820	BUY 0 Units @0.0000						
03/31/2018	***-**-765P - CRISPINO/, DAVID	AMT-FREE NEW YORK MUNICIPALS A	0.00	373.90	0.00	373.90	80.0	299.12
	ACCOUNT #: 03601040820	BUY 0 Units @0.0000						
03/31/2018	***-**-225P - LILL, DONNA	CAPITAL APPRECIATION A	0.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 02704578761	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION A	0.00	21.59	0.00	21.59	80.0	17.27
	ACCOUNT #: 02705352545	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION A	0.00	14.58	0.00	14.58	80.0	11.66
	ACCOUNT #: 02705352556	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-3502 - CHUDYK, LUCIANA	CAPITAL APPRECIATION A	0.00	11.68	0.00	11.68	80.0	9.34
	ACCOUNT #: 02705763802	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	CAPITAL APPRECIATION A	0.00	6.23	0.00	6.23	80.0	4.98
	ACCOUNT #: 02705801521	BUY 0 Units @0.0000						
03/31/2018	***-**-225P - LILL, DONNA	CAPITAL APPRECIATION A	0.00	44.82	0.00	44.82	80.0	35.86
	ACCOUNT #: 03201808213	BUY 0 Units @0.0000						
03/31/2018	***-**-502I - CHUDYK, LUCIANA	CAPITAL APPRECIATION A	0.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 03205462138	BUY 0 Units @0.0000						
03/31/2018	***-**-8379 - ZLOTNICK, MAR	CAPITAL APPRECIATION A	0.00	17.92	0.00	17.92	80.0	14.34
	ACCOUNT #: 03207391131	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	CAPITAL APPRECIATION A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 03208239693	BUY 0 Units @0.0000						
03/31/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION A	0.00	10.87	0.00	10.87	80.0	8.70
	ACCOUNT #: 03208526705	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	CAPITAL APPRECIATION A	0.00	7.31	0.00	7.31	80.0	5.85
	ACCOUNT #: 03208526826	BUY 0 Units @0.0000						
03/31/2018	***-**-919I - WEST, HOLLY	CAPITAL APPRECIATION A	0.00	8.26	0.00	8.26	80.0	6.61
	ACCOUNT #: 03210593572	BUY 0 Units @0.0000						
03/31/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION A	0.00	6.77	0.00	6.77	80.0	5.42
	ACCOUNT #: 03210769528	BUY 0 Units @0.0000						
03/31/2018	***-**-7102 - FIGUEROA, CAM	CAPITAL APPRECIATION A	0.00	11.23	0.00	11.23	80.0	8.98
	ACCOUNT #: 03210829423	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION A	0.00	8.30	0.00	8.30	80.0	6.64
	ACCOUNT #: 03211530607	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION B	0.00	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 02710316008	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 02710316019	BUY 0 Units @0.0000						
03/31/2018	***-**-3502 - CHUDYK, LUCIANA	CAPITAL APPRECIATION B	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 02710694155	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	CAPITAL APPRECIATION B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 02712169299	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION B	0.00	0.91	0.00	0.91	80.0	0.73
	ACCOUNT #: 03210042801	BUY 0 Units @0.0000						
03/31/2018	***-**-225P - LILL, DONNA	CAPITAL APPRECIATION B	0.00	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 03212039323	BUY 0 Units @0.0000						
03/31/2018	***-**-195P - WEST, HOLLY	CAPITAL APPRECIATION B	0.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 03215115066	BUY 0 Units @0.0000						
03/31/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION B	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 03215557673	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	CAPITAL APPRECIATION B	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 03215557739	BUY 0 Units @0.0000						
03/31/2018	***-**-293I - LIPPA, PAUL	CAPITAL APPRECIATION C	0.00	51.64	0.00	51.64	80.0	41.31
	ACCOUNT #: 03220151525	BUY 0 Units @0.0000						
03/31/2018	***-**-69UG - ATWOOD, CULLEN K	CAPITAL APPRECIATION C	0.00	7.92	0.00	7.92	80.0	6.34
	ACCOUNT #: 03220369127	BUY 0 Units @0.0000						
03/31/2018	***-**-97UG - ATWOOD, AUSTIN M	CAPITAL APPRECIATION C	0.00	7.92	0.00	7.92	80.0	6.34
	ACCOUNT #: 03220369138	BUY 0 Units @0.0000						
03/31/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	0.00	25.42	0.00	25.42	80.0	20.34
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
03/31/2018	***-**-37IR - COTTONE, CHARLES	CAPITAL APPRECIATION C	0.00	41.54	0.00	41.54	80.0	33.23
	ACCOUNT #: 03220434863	BUY 0 Units @0.0000						
03/31/2018	***-**-157I - TROINA, ANTHONY	CAPITAL APPRECIATION C	0.00	156.01	0.00	156.01	80.0	124.81
	ACCOUNT #: 03221853368	BUY 0 Units @0.0000						
03/31/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION C	0.00	11.45	0.00	11.45	80.0	9.16
	ACCOUNT #: 03222178044	BUY 0 Units @0.0000						
03/31/2018	***-**-9206 - SANDER, DAVID	CAPITAL APPRECIATION C	0.00	12.16	0.00	12.16	80.0	9.73
	ACCOUNT #: 03222178055	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	0.00	22.94	0.00	22.94	80.0	18.35
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	0.00	14.43	0.00	14.43	80.0	11.54
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	0.00	11.66	0.00	11.66	80.0	9.33
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-1346 - WEEKS S R	CAPITAL APPRECIATION C	0.00	26.87	0.00	26.87	80.0	21.50
	ACCOUNT #: 03222329184	BUY 0 Units @0.0000						
03/31/2018	***-**-6056 - PRICE, DEBORAH	CAPITAL INCOME A	0.00	5.21	0.00	5.21	80.0	4.17
	ACCOUNT #: 03007578725	BUY 0 Units @0.0000						
04/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA FUNDS MF TRAILS	0.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 03020030772	BUY 0 Units @0.0000						
04/16/2018	***-**-07IN - SCARPULLA,	COLUMBIA FUNDS MF TRAILS	0.00	15.78	0.00	15.78	80.0	12.62
	ACCOUNT #: 07600010039	BUY 0 Units @0.0000						
04/04/2018	***-**-732J - ARBORE, MARIA	CORE BOND FUND - A	0.00	4.24	0.00	4.24	80.0	3.39
	ACCOUNT #: 00000108231	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	DISCOVERY FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 05011664641	BUY 0 Units @0.0000						
03/31/2018	***-**-432I - BORDEN, ROBERT	DISCOVERY FUND C	0.00	2.94	0.00	2.94	80.0	2.35
	ACCOUNT #: 05020305173	BUY 0 Units @0.0000						
03/31/2018	***-**-110I - SAENZ, KATIA	DISCOVERY FUND C	0.00	20.56	0.00	20.56	80.0	16.45
	ACCOUNT #: 07470796554	BUY 0 Units @0.0000						
04/11/2018	***-**-526I - CAVALLARO,	DREYFUS MF TRAILS	0.00	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 3360045601	BUY 0 Units @0.0000						
03/31/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
03/31/2018	***-**-01UG - DIGIOVANNI,	EQUITY INCOME FUND, INC. A	0.00	6.90	0.00	6.90	80.0	5.52
	ACCOUNT #: 00401973590	BUY 0 Units @0.0000						
03/31/2018	***-**-88UG - DIGIOVANNI,	EQUITY INCOME FUND, INC. A	0.00	6.90	0.00	6.90	80.0	5.52
	ACCOUNT #: 00401973660	BUY 0 Units @0.0000						
03/31/2018	***-**-450J - DIGIOVANNI,	EQUITY INCOME FUND, INC. A	0.00	8.22	0.00	8.22	80.0	6.58
	ACCOUNT #: 00402352440	BUY 0 Units @0.0000						
03/31/2018	***-**-264I - SIDOTI, LAURA	EQUITY INCOME FUND, INC. A	0.00	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 02400798182	BUY 0 Units @0.0000						
03/31/2018	***-**-11IN - ROBERTS NEEDS	EQUITY INCOME FUND, INC. A	0.00	26.65	0.00	26.65	80.0	21.32
	ACCOUNT #: 02401512863	BUY 0 Units @0.0000						
03/31/2018	***-**-509I - ARBORE,	EQUITY INCOME FUND, INC. A	0.00	26.66	0.00	26.66	80.0	21.33
	ACCOUNT #: 02401570261	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-919I - WEST, HOLLY	EQUITY INCOME FUND, INC. A	0.00	7.02	0.00	7.02	80.0	5.62
	ACCOUNT #: 02401588994	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. A	0.00	10.98	0.00	10.98	80.0	8.78
	ACCOUNT #: 08352628747	BUY 0 Units @0.0000						
03/31/2018	***-**-805P - CONNORS, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.22	0.00	2.22	80.0	1.78
	ACCOUNT #: 08353199856	BUY 0 Units @0.0000						
03/31/2018	***-**-85TR - CONNORS,	EQUITY INCOME FUND, INC. A	0.00	2.22	0.00	2.22	80.0	1.78
	ACCOUNT #: 08353199878	BUY 0 Units @0.0000						
03/31/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. A	0.00	0.94	0.00	0.94	80.0	0.75
	ACCOUNT #: 08353215333	BUY 0 Units @0.0000						
03/31/2018	***-**-195P - WEST, HOLLY	EQUITY INCOME FUND, INC. B	0.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 02410301037	BUY 0 Units @0.0000						
03/31/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 08360575982	BUY 0 Units @0.0000						
03/31/2018	***-**-3432 - BORDEN, ROBERT	EQUITY INCOME FUND, INC. C	0.00	1.58	0.00	1.58	80.0	1.26
	ACCOUNT #: 08370550550	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	0.00	13.27	0.00	13.27	80.0	10.62
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						
03/31/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. C	0.00	12.19	0.00	12.19	80.0	9.75
	ACCOUNT #: 08370627385	BUY 0 Units @0.0000						
04/16/2018	***-**-721I - TABER, FBO LISA	FRANKLIN INCOME FUND CLASS A	0.00	1.18	0.00	1.18	80.0	0.94
	ACCOUNT #: LSR010434	BUY 1 Units @0.0000						
04/16/2018	***-**-721I - TABER, FBO LISA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.44	0.00	2.44	80.0	1.95
	ACCOUNT #: LSR010434	BUY 1 Units @0.0000						
03/31/2018	***-**-502I - CHUDYK, LUCIANA	GLOBAL FUND A	0.00	8.67	0.00	8.67	80.0	6.94
	ACCOUNT #: 03300288917	BUY 0 Units @0.0000						
03/31/2018	***-**-8379 - ZLOTNICK, MAR	GLOBAL FUND A	0.00	22.83	0.00	22.83	80.0	18.26
	ACCOUNT #: 03302795025	BUY 0 Units @0.0000						
03/31/2018	***-**-8776 - LEVINE, TODD	GLOBAL FUND A	0.00	51.99	0.00	51.99	80.0	41.59
	ACCOUNT #: 03302846910	BUY 0 Units @0.0000						
03/31/2018	***-**-225P - LILL, DONNA	GLOBAL FUND A	0.00	18.18	0.00	18.18	80.0	14.54
	ACCOUNT #: 03305836226	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-7102 - FIGUEROA, CAM	GLOBAL FUND A	0.00	3.86	0.00	3.86	80.0	3.09
	ACCOUNT #: 03306670149	BUY 0 Units @0.0000						
03/31/2018	***-**-264I - SIDOTI, LAURA	GLOBAL FUND A	0.00	4.19	0.00	4.19	80.0	3.35
	ACCOUNT #: 03309004237	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	GLOBAL FUND A	0.00	37.40	0.00	37.40	80.0	29.92
	ACCOUNT #: 03309133960	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	GLOBAL FUND B	0.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03311085766	BUY 0 Units @0.0000						
03/31/2018	***-**-225P - LILL, DONNA	GLOBAL FUND B	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 03313024164	BUY 0 Units @0.0000						
03/31/2018	***-**-5378 - AGUILA, NANCY	GLOBAL OPPORTUNITIES A	0.00	109.61	0.00	109.61	80.0	87.69
	ACCOUNT #: 02152697871	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	GLOBAL OPPORTUNITIES A	0.00	7.65	0.00	7.65	80.0	6.12
	ACCOUNT #: 02155093319	BUY 0 Units @0.0000						
03/31/2018	***-**-5378 - AGUILA, NANCY	GLOBAL OPPORTUNITIES B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 02160066528	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	GLOBAL OPPORTUNITIES B	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 02163095642	BUY 0 Units @0.0000						
03/31/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
03/31/2018	***-**-110I - SAENZ, KATIA	GLOBAL OPPORTUNITIES FUND C	0.00	29.72	0.00	29.72	80.0	23.78
	ACCOUNT #: 02171397616	BUY 0 Units @0.0000						
03/31/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	0.00	17.18	0.00	17.18	80.0	13.74
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						
03/31/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	0.00	6.58	0.00	6.58	80.0	5.26
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
03/31/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	0.00	55.60	0.00	55.60	80.0	44.48
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
03/31/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	0.00	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
03/31/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
03/31/2018	***-**-509I - ARBORE,	GOLD & SPECIAL MINERALS A	0.00	3.55	0.00	3.55	80.0	2.84
	ACCOUNT #: 04103927770	BUY 0 Units @0.0000						
04/04/2018	***-**-39IN - ARBORE, MARIA	GROWTH AND INCOME FUND - A	0.00	2.95	0.00	2.95	80.0	2.36
	ACCOUNT #: 00000176375	BUY 0 Units @0.0000						
04/01/2018	***-**-290I - SAWDEY, MARILYN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	6.70	0.00	6.70	80.0	5.36
	ACCOUNT #: 04400053047	BUY 0 Units @0.0000						
04/01/2018	***-**-0008 - COZZO, LUIGI	INVESCO DIVERSIFIED DIVIDEND INVEST	0.00	23.16	0.00	23.16	80.0	18.53
	ACCOUNT #: 00009759201	BUY 0 Units @0.0000						
04/01/2018	***-**-39IN - ARBORE, MARIA	IVK GROWTH AND INCOME FUND - CLASS	0.00	36.57	0.00	36.57	80.0	29.26
	ACCOUNT #: 01001181454	BUY 0 Units @0.0000						
04/16/2018	***-**-63IR - DIAMOND, ANN	IVY SCIENCE AND TECHNOLOGY FUND CLA	0.00	2.39	0.00	2.39	80.0	1.91
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
04/16/2018	***-**-63IR - DIAMOND, ANN	IVY SMALL CAP GROWTH CL A	0.00	0.94	0.00	0.94	80.0	0.75
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
03/31/2018	***-**-37IN - COTTONE, CHARLES	LIMITED TERM GOVERNMENT A	0.00	1.96	0.00	1.96	80.0	1.57
	ACCOUNT #: 08551660824	BUY 0 Units @0.0000						
03/31/2018	***-**-502I - CHUDYK, LUCIANA	LIMITED TERM GOVERNMENT A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 08552066097	BUY 0 Units @0.0000						
03/31/2018	***-**-736I - TORNATORE,	MAIN STREET FUND A	0.00	80.89	0.00	80.89	80.0	64.71
	ACCOUNT #: 04204204530	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	MAIN STREET FUND A	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 07002254530	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	MAIN STREET FUND A	0.00	6.55	0.00	6.55	80.0	5.24
	ACCOUNT #: 07002573849	BUY 0 Units @0.0000						
03/31/2018	***-**-072I - SMITH, DEBORAH	MAIN STREET FUND A	0.00	57.93	0.00	57.93	80.0	46.34
	ACCOUNT #: 07002573882	BUY 0 Units @0.0000						
03/31/2018	***-**-446I - TROBIA, FRANK	MAIN STREET FUND A	0.00	31.50	0.00	31.50	80.0	25.20
	ACCOUNT #: 07005158191	BUY 0 Units @0.0000						
03/31/2018	***-**-264I - SIDOTI, LAURA	MAIN STREET FUND A	0.00	11.55	0.00	11.55	80.0	9.24
	ACCOUNT #: 07005274219	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-3526 - STONE, LINDA	MAIN STREET FUND A	0.00	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 07005481380	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND A	0.00	27.87	0.00	27.87	80.0	22.30
	ACCOUNT #: 07005548460	BUY 0 Units @0.0000						
03/31/2018	***-**-7072 - SMITH, DEBBIE	MAIN STREET FUND A	0.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07005555335	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND A	0.00	13.14	0.00	13.14	80.0	10.51
	ACCOUNT #: 07005773144	BUY 0 Units @0.0000						
03/31/2018	***-**-781I - NAVAS, MICHAEL	MAIN STREET FUND A	0.00	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 07006127212	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	MAIN STREET FUND A	0.00	8.18	0.00	8.18	80.0	6.54
	ACCOUNT #: 07007936483	BUY 0 Units @0.0000						
03/31/2018	***-**-175I - BLEIER, WESLEY	MAIN STREET FUND A	0.00	14.61	0.00	14.61	80.0	11.69
	ACCOUNT #: 07009521154	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND B	0.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 07012971958	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	MAIN STREET FUND B	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 07014822493	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	MAIN STREET FUND B	0.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 07015737231	BUY 0 Units @0.0000						
03/31/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	0.00	3.22	0.00	3.22	80.0	2.58
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
03/31/2018	***-**-435P - BOSCO, SOFIA	MAIN STREET FUND C	0.00	57.53	0.00	57.53	80.0	46.02
	ACCOUNT #: 07021348457	BUY 0 Units @0.0000						
03/31/2018	***-**-084I - ESPOSITO,	MAIN STREET FUND C	0.00	45.99	0.00	45.99	80.0	36.79
	ACCOUNT #: 07023120326	BUY 0 Units @0.0000						
03/31/2018	***-**-110I - SAENZ, KATIA	MAIN STREET FUND C	0.00	17.94	0.00	17.94	80.0	14.35
	ACCOUNT #: 07023933798	BUY 0 Units @0.0000						
03/31/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	0.00	12.08	0.00	12.08	80.0	9.66
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	0.00	21.97	0.00	21.97	80.0	17.58
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	0.00	11.44	0.00	11.44	80.0	9.15
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	MAIN STREET FUND C	0.00	27.03	0.00	27.03	80.0	21.62
	ACCOUNT #: 07024329974	BUY 0 Units @0.0000						
03/31/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	0.00	4.49	0.00	4.49	80.0	3.59
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
03/31/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	0.00	38.22	0.00	38.22	80.0	30.58
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
03/31/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	0.00	1.81	0.00	1.81	80.0	1.45
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
03/31/2018	***-**-446I - TROBIA, FRANK	MAIN STREET FUND C	0.00	36.05	0.00	36.05	80.0	28.84
	ACCOUNT #: 07024429095	BUY 0 Units @0.0000						
03/31/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
04/01/2018	***-**-290I - SAWDEY, MARILYN	MFS GOVERNMENT SECURITIES FUND-A	0.00	4.61	0.00	4.61	80.0	3.69
	ACCOUNT #: 09896338263	BUY 0 Units @0.0000						
04/01/2018	***-**-96CL - SCARPULLA,	MFS TOTAL RETURN FUND-A	0.00	11.61	0.00	11.61	80.0	9.29
	ACCOUNT #: 08191357165	BUY 0 Units @0.0000						
04/16/2018	***-**-63IR - DIAMOND, ANN	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.71	0.00	0.71	80.0	0.57
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
03/31/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD A	0.00	12.75	0.00	12.75	80.0	10.20
	ACCOUNT #: 05451118920	BUY 0 Units @0.0000						
03/31/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD A	0.00	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 05451503469	BUY 0 Units @0.0000						
03/31/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 05451509926	BUY 0 Units @0.0000						
03/31/2018	***-**-072I - SMITH, DEBORAH	OPP PORT SERIES: MOD INV FD B	0.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 06660004334	BUY 0 Units @0.0000						
03/31/2018	***-**-526I - STONE, LINDA	OPP PORT SERIES: MOD INV FD B	0.00	0.33	0.00	0.33	80.0	0.26
	ACCOUNT #: 06660004565	BUY 0 Units @0.0000						
03/31/2018	***-**-143I - STONE, GEORGE	OPP PORT SERIES: MOD INV FD B	0.00	0.33	0.00	0.33	80.0	0.26
	ACCOUNT #: 06660004576	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	0.00	27.59	0.00	27.59	80.0	22.07
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
03/31/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	0.00	35.21	0.00	35.21	80.0	28.17
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
03/31/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	0.00	64.27	0.00	64.27	80.0	51.42
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
03/31/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	0.00	28.99	0.00	28.99	80.0	23.19
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
03/31/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	0.00	29.11	0.00	29.11	80.0	23.29
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
03/31/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	OPPENHEIMER DISCOVERY CLASS A	0.00	9.64	0.00	9.64	80.0	7.71
	ACCOUNT #: 05004178284	BUY 0 Units @0.0000						
03/31/2018	***-**-781J - NAVAS, MICHAEL &	OPPENHEIMER DISCOVERY CLASS A	0.00	30.57	0.00	30.57	80.0	24.46
	ACCOUNT #: 07450878086	BUY 0 Units @0.0000						
03/31/2018	***-**-240J - MACCABEE, ALFRED	OPPENHEIMER DISCOVERY CLASS A	0.00	12.38	0.00	12.38	80.0	9.90
	ACCOUNT #: 07451411520	BUY 0 Units @0.0000						
03/31/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	0.00	22.95	0.00	22.95	80.0	18.36
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
03/31/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
03/31/2018	***-**-6056 - PRICE, DEBORAH	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	9.14	0.00	9.14	80.0	7.31
	ACCOUNT #: 07311461350	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	9.50	0.00	9.50	80.0	7.60
	ACCOUNT #: 08477407983	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	10.85	0.00	10.85	80.0	8.68
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
03/31/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	2.26	0.00	2.26	80.0	1.81
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
03/31/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	6.48	0.00	6.48	80.0	5.18
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-696J - ARBORE, JENNIFER	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	31.41	0.00	31.41	80.0	25.13
	ACCOUNT #: 02270749892	BUY 0 Units @0.0000						
03/31/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
03/31/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	21.37	0.00	21.37	80.0	17.10
	ACCOUNT #: 02510771804	BUY 0 Units @0.0000						
03/31/2018	***-**-5378 - AGUILA, NANCY	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	66.86	0.00	66.86	80.0	53.49
	ACCOUNT #: 02510954349	BUY 0 Units @0.0000						
03/31/2018	***-**-502I - CHUDYK, LUCIANA	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 02511356146	BUY 0 Units @0.0000						
03/31/2018	***-**-8379 - ZLOTNICK, MAR	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 01902486671	BUY 0 Units @0.0000						
03/31/2018	***-**-509I - ARBORE,	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	8.08	0.00	8.08	80.0	6.46
	ACCOUNT #: 01903509704	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 02301774457	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.67	0.00	1.67	80.0	1.34
	ACCOUNT #: 02302155970	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	2.17	0.00	2.17	80.0	1.74
	ACCOUNT #: 02803612158	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 01911387672	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 02316725532	BUY 0 Units @0.0000						
04/02/2018	***-**-642I - DELGAIZO, LISA	PUTNAM AMERICAN GOVERNMENT INCOME F	0.00	1.55	0.00	1.55	80.0	1.24
	ACCOUNT #: 00488983741	BUY 0 Units @0.0000						
04/02/2018	***-**-33IN - TESTA, BARBARA	PUTNAM EQUITY INCOME FUND-A	0.00	21.59	0.00	21.59	80.0	17.27
	ACCOUNT #: 00214281708	BUY 0 Units @0.0000						
04/02/2018	***-**-642J - DELGALZO,	PUTNAM EQUITY INCOME FUND-A	0.00	2.14	0.00	2.14	80.0	1.71
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-130I - CIRA, JOHN	PUTNAM EQUITY INCOME FUND-A	0.00	4.51	0.00	4.51	80.0	3.61
	ACCOUNT #: 00415148688	BUY 0 Units @0.0000						
04/02/2018	***-**-33UG - DELGAIZO, ALESHA	PUTNAM EQUITY INCOME FUND-A	0.00	6.49	0.00	6.49	80.0	5.19
	ACCOUNT #: 00437911872	BUY 0 Units @0.0000						
04/02/2018	***-**-73UG - DELGAIZO,	PUTNAM EQUITY INCOME FUND-A	0.00	4.03	0.00	4.03	80.0	3.22
	ACCOUNT #: 00481564658	BUY 0 Units @0.0000						
04/02/2018	***-**-642I - DELGAIZO, LISA	PUTNAM EQUITY INCOME FUND-A	0.00	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 00488983741	BUY 0 Units @0.0000						
04/02/2018	***-**-939I - ARBORE, MARIA	PUTNAM EQUITY INCOME FUND-A	0.00	30.62	0.00	30.62	80.0	24.50
	ACCOUNT #: 00506910960	BUY 0 Units @0.0000						
04/02/2018	***-**-707I - BARON, ROBIN	PUTNAM EQUITY INCOME FUND-A	0.00	7.92	0.00	7.92	80.0	6.34
	ACCOUNT #: 00551086624	BUY 0 Units @0.0000						
04/02/2018	***-**-3939 - ARBORE, MARIA	PUTNAM EQUITY INCOME FUND-C	0.00	140.78	0.00	140.78	80.0	112.62
	ACCOUNT #: 00551310434	BUY 0 Units @0.0000						
04/02/2018	***-**-642J - DELGALZO,	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.51	0.00	1.51	80.0	1.21
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						
04/02/2018	***-**-678I - BAKERINK, DIANE	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	6.39	0.00	6.39	80.0	5.11
	ACCOUNT #: 00273141121	BUY 0 Units @0.0000						
04/02/2018	***-**-178I - STAUB, KIRK	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 00455134356	BUY 0 Units @0.0000						
04/02/2018	***-**-43IR - BOSCO, GIULIO	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	10.19	0.00	10.19	80.0	8.15
	ACCOUNT #: 00494908627	BUY 0 Units @0.0000						
04/02/2018	***-**-293I - LIPPA, PAUL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	30.24	0.00	30.24	80.0	24.19
	ACCOUNT #: 00511940615	BUY 0 Units @0.0000						
04/02/2018	***-**-643I - BOSCO, GIULIO	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	2.18	0.00	2.18	80.0	1.74
	ACCOUNT #: 00514117077	BUY 0 Units @0.0000						
04/02/2018	***-**-73UG - DELGAIZO,	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	31.31	0.00	31.31	80.0	25.05
	ACCOUNT #: 00481564658	BUY 0 Units @0.0000						
04/02/2018	***-**-059I - JOHNSON, WILLIAM	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	33.23	0.00	33.23	80.0	26.58
	ACCOUNT #: 00020573802	BUY 0 Units @0.0000						
04/02/2018	***-**-59IN - SCARPULLA,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.49	0.00	4.49	80.0	3.59
	ACCOUNT #: 00066046582	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-37IR - MAMMANO, DAVID	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00235535776	BUY 0 Units @0.0000						
04/02/2018	***-**-642J - DELGALZO,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	1.68	0.00	1.68	80.0	1.34
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						
04/02/2018	***-**-33UG - DELGAIZO, ALESHA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	21.83	0.00	21.83	80.0	17.46
	ACCOUNT #: 00437911872	BUY 0 Units @0.0000						
04/02/2018	***-**-178I - STAUB, KIRK	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 00455134356	BUY 0 Units @0.0000						
04/02/2018	***-**-170J - CAMPOMIZZI, JOHN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 00475151691	BUY 0 Units @0.0000						
04/02/2018	***-**-73UG - DELGAIZO,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.13	0.00	3.13	80.0	2.50
	ACCOUNT #: 00481564658	BUY 0 Units @0.0000						
04/02/2018	***-**-642I - DELGAIZO, LISA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	4.67	0.00	4.67	80.0	3.74
	ACCOUNT #: 00488983741	BUY 0 Units @0.0000						
04/02/2018	***-**-37IR - MAMMANO, DAVID	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00494866228	BUY 0 Units @0.0000						
04/02/2018	***-**-643I - BOSCO, GIULIO	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	7.80	0.00	7.80	80.0	6.24
	ACCOUNT #: 00514117077	BUY 0 Units @0.0000						
04/02/2018	***-**-33UG - DELGAIZO, ALESHA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.10	0.00	3.10	80.0	2.48
	ACCOUNT #: 00519031484	BUY 0 Units @0.0000						
04/02/2018	***-**-170I - CAMPOMIZZI,	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 00550825937	BUY 0 Units @0.0000						
04/02/2018	***-**-06IN - BERNARD, CREGG	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00550826447	BUY 0 Units @0.0000						
04/02/2018	***-**-18IN - RIEDEL, JAMES	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	1.46	0.00	1.46	80.0	1.17
	ACCOUNT #: 00048939595	BUY 0 Units @0.0000						
04/02/2018	***-**-642J - DELGALZO,	PUTNAM NEW YORK TAX- EXEMPT INCOME	0.00	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 00364555089	BUY 0 Units @0.0000						
04/02/2018	***-**-33IN - TESTA, BARBARA	PUTNAM U.S. GOVT INCOME TR-A	0.00	18.22	0.00	18.22	80.0	14.58
	ACCOUNT #: 00214281708	BUY 0 Units @0.0000						
04/16/2018	***-**-1314 - BENEDETTO,	QUALIFIED PLAN SUMMARY	0.00	141.29	0.00	141.29	80.0	113.04
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						



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BROKER #: 417
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-278K - GUERRIERI DDS,	QUALIFIED PLAN SUMMARY	0.00	1,232.64	0.00	1,232.64	80.0	986.11
	ACCOUNT #: IRK101640	BUY 0 Units @0.0000						
03/31/2018	***-**-240J - MACCABEE, ALFRED	QUEST BALANCED A	0.00	2.88	0.00	2.88	80.0	2.30
	ACCOUNT #: 02571141525	BUY 0 Units @0.0000						
03/31/2018	***-**-502I - CHUDYK, LUCIANA	QUEST BALANCED A	0.00	1.75	0.00	1.75	80.0	1.40
	ACCOUNT #: 02573443913	BUY 0 Units @0.0000						
03/31/2018	***-**-772I - KERHAERT,	QUEST BALANCED A	0.00	7.69	0.00	7.69	80.0	6.15
	ACCOUNT #: 02575859953	BUY 0 Units @0.0000						
03/31/2018	***-**-772I - KERHAERT,	QUEST BALANCED B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 02585781831	BUY 0 Units @0.0000						
03/31/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	0.00	159.41	0.00	159.41	80.0	127.53
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						
03/31/2018	***-**-110I - SAENZ, KATIA	QUEST BALANCED FUND C	0.00	10.77	0.00	10.77	80.0	8.62
	ACCOUNT #: 02592093355	BUY 0 Units @0.0000						
03/31/2018	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	0.00	9.50	0.00	9.50	80.0	7.60
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
03/31/2018	***-**-264I - SIDOTI, LAURA	QUEST OPPORTUNITY VALUE A	0.00	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 02362932061	BUY 0 Units @0.0000						
03/31/2018	***-**-502I - CHUDYK, LUCIANA	QUEST OPPORTUNITY VALUE A	0.00	2.72	0.00	2.72	80.0	2.18
	ACCOUNT #: 02363615612	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	QUEST OPPORTUNITY VALUE A	0.00	4.26	0.00	4.26	80.0	3.41
	ACCOUNT #: 02363693492	BUY 0 Units @0.0000						
03/31/2018	***-**-4143 - STONE, GEORGE	QUEST OPPORTUNITY VALUE A	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 02365376635	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	QUEST OPPORTUNITY VALUE FUND B	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 02373694251	BUY 0 Units @0.0000						
03/31/2018	***-**-432I - BORDEN, ROBERT	QUEST OPPORTUNITY VALUE FUND C	0.00	5.10	0.00	5.10	80.0	4.08
	ACCOUNT #: 02380867812	BUY 0 Units @0.0000						
03/31/2018	***-**-1346 - WEEKS S R	QUEST OPPORTUNITY VALUE FUND C	0.00	20.00	0.00	20.00	80.0	16.00
	ACCOUNT #: 02381169938	BUY 0 Units @0.0000						
03/31/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	0.00	28.76	0.00	28.76	80.0	23.01
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	0.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
03/31/2018	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
03/31/2018	***-**-509I - ARBORE,	RISING DIVIDENDS FUND A	0.00	9.53	0.00	9.53	80.0	7.62
	ACCOUNT #: 02253202056	BUY 0 Units @0.0000						
03/31/2018	***-**-76IN - CRISPINO, JULIE	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	342.45	0.00	342.45	80.0	273.96
	ACCOUNT #: 03550283547	BUY 0 Units @0.0000						
03/31/2018	***-**-76IN - CRISPINO, JULIE	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	380.49	0.00	380.49	80.0	304.39
	ACCOUNT #: 03550283547	BUY 0 Units @0.0000						
03/31/2018	***-**-11IN - ROBERTS NEEDS	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	54.50	0.00	54.50	80.0	43.60
	ACCOUNT #: 03551129898	BUY 0 Units @0.0000						
03/31/2018	***-**-96CL - SCARPULLA,	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	321.99	0.00	321.99	80.0	257.59
	ACCOUNT #: 03551296515	BUY 0 Units @0.0000						
03/31/2018	***-**-450J - DIGIOVANNI,	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	20.45	0.00	20.45	80.0	16.36
	ACCOUNT #: 03570496793	BUY 0 Units @0.0000						
03/31/2018	***-**-696J - ARBORE, JENNIFER	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	26.18	0.00	26.18	80.0	20.94
	ACCOUNT #: 03570590128	BUY 0 Units @0.0000						
03/31/2018	***-**-6188 - ROBERTS,	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	19.24	0.00	19.24	80.0	15.39
	ACCOUNT #: 03570646371	BUY 0 Units @0.0000						
04/04/2018	***-**-33UG - DELGAIZO, ALESHA	SMALL CAP EQUITY FUND - A	0.00	1.67	0.00	1.67	80.0	1.34
	ACCOUNT #: 00000413901	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE B	0.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 02520033660	BUY 0 Units @0.0000						
03/31/2018	***-**-5378 - AGUILA, NANCY	SMALL- & MID- CAP VALUE B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02520118954	BUY 0 Units @0.0000						
03/31/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	0.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	0.00	13.80	0.00	13.80	80.0	11.04
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
03/31/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	0.00	5.18	0.00	5.18	80.0	4.14
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						



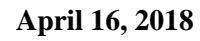
COMMISSION STATEMENT

April 16, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/31/2018	***-**-9206 - SANDER, DAVID	STRATEGIC INCOME FUND C	0.00	8.78	0.00	8.78	80.0	7.02
	ACCOUNT #: 02322653794	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	VALUE B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 03760634293	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	VALUE FUND A	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 03751582119	BUY 0 Units @0.0000						
03/31/2018	***-**-206I - SANDERS, DAVID	VALUE FUND A	0.00	7.15	0.00	7.15	80.0	5.72
	ACCOUNT #: 03751730652	BUY 0 Units @0.0000						
03/31/2018	***-**-9206 - SANDER, DAVID	VALUE FUND C	0.00	11.56	0.00	11.56	80.0	9.25
	ACCOUNT #: 03770488829	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$6,245.31	\$0.00	\$6,245.31		\$4,996.22
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/13/2018	***-**-5046 - GUERRIER, JOHN	PRINCIPAL VA TRAILS	0.00	734.36	0.00	734.36	80.0	587.49
	ACCOUNT #: 456938	BUY 1 Units @0.0000						
04/13/2018	***-**-4432 - WHITE HAVEN	PRINCIPAL VA TRAILS	0.00	1,329.44	0.00	1,329.44	80.0	1,063.55
	ACCOUNT #: 612565	BUY 1 Units @0.0000						
04/13/2018	***-**-96CL - SCARPULLA,	PRINCIPAL VA TRAILS	0.00	199.57	0.00	199.57	80.0	159.66
	ACCOUNT #: 618072	BUY 1 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,263.37	\$0.00	\$2,263.37		\$1,810.70
	*** BROKER TRANSACTIONS TOTAL ***		\$26,941.17	\$8,755.19	\$6.00	\$8,749.19		\$6,999.35



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$6,916.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$6,999.35
						Year-to-date Earnings		\$74,113.39