

STATEMENT

August 20, 2018

BRYAN DUCKETT
11761 Connecticut Dr

BROKER #: 009
BRANCH: LA BRANCH

Rancho Cucamonga, CA 91730-

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/01/0018 #671895 WEST COAST	ADP 416649283	B	52,262.31	11.10	0.00	0.00	11.10	88.0	9.77
05/01/0018 #671895 WEST COAST	ADP 47103C167	B	148,126.82	31.45	0.00	0.00	31.45	88.0	27.68
05/01/0018 #671895 WEST COAST	ADP 56062X625	B	223,749.18	47.51	0.00	0.00	47.51	88.0	41.81
05/01/0018 #671895 WEST COAST	ADP 74149P606	B	94,537.70	20.07	0.00	0.00	20.07	88.0	17.66
05/01/0018 #671895 WEST COAST	ADP 74149P705	B	365,028.19	77.51	0.00	0.00	77.51	88.0	68.21
05/01/0018 #671895 WEST COAST	ADP 74149P721	B	54,279.82	11.53	0.00	0.00	11.53	88.0	10.15
05/01/0018 #671895 WEST COAST	ADP 74149P804	B	135,408.87	28.75	0.00	0.00	28.75	88.0	25.30
05/01/0018 #671895 WEST COAST	ADP 74149P887	B	647,417.40	137.47	0.00	0.00	137.47	88.0	120.97
05/01/0018 #671895 WEST COAST	ADP 85744A513	B	314,323.88	66.74	0.00	0.00	66.74	88.0	58.73
05/01/0018 #671895 WEST COAST	ADP 85744A638	B	691,008.33	146.72	0.00	0.00	146.72	88.0	129.11
05/01/0018 #671895 WEST COAST	ADP 885215269	B	144,648.57	30.71	0.00	0.00	30.71	88.0	27.02
05/01/0018 #671895 WEST COAST	BLACKROCK EQUITY DIVIDEND FUND CL A B		80,223.83	17.03	0.00	0.00	17.03	88.0	14.99
05/01/0018 #671895 WEST COAST	COLUMBIA SELIGMAN COMMUNICATIONS AN B		101,455.35	21.54	0.00	0.00	21.54	88.0	18.96
05/01/0018 #671895 WEST COAST	FIDELITY ADV SMALL CAP-CL T	B	145,055.95	30.80	0.00	0.00	30.80	88.0	27.10
05/01/0018 #671895 WEST COAST	INVESCO DEVELOPING MARKETS FUND CL B	B	321,450.81	68.25	0.00	0.00	68.25	88.0	60.06
05/01/0018 #671895 WEST COAST	INVESCO ENERGY FUND CLASS A	B	177,439.18	37.68	0.00	0.00	37.68	88.0	33.16
05/01/0018 #671895 WEST COAST	PIMCO LOW DURATION FUND-CL A	B	105,066.73	22.31	0.00	0.00	22.31	88.0	19.63
05/01/0018 #671895 WEST COAST	PIMCO TOTAL RETURN FUND-CL A	B	634.70	0.13	0.00	0.00	0.13	88.0	0.11
05/01/0018 #671895 WEST COAST	PRUDENTIAL HIGH YIELD CL A	B	202,077.43	42.91	0.00	0.00	42.91	88.0	37.76
07/07/0018 #81984090101 HOPSON, ERNEST	COLLEGE BOUND 76220Y679	B	50.00	0.20	0.00	0.00	0.20	88.0	0.18
04/30/0201 #671895 WEST COAST	ADP 416649283	B	51,452.48	10.57	0.00	0.00	10.57	88.0	9.30
04/30/0201 #671895 WEST COAST	ADP 47103C167	B	145,449.80	29.89	0.00	0.00	29.89	88.0	26.30
04/30/0201 #671895 WEST COAST	ADP 56062X625	B	214,335.40	44.04	0.00	0.00	44.04	88.0	38.76
04/30/0201 #671895 WEST COAST	ADP 74149P606	B	94,411.71	19.40	0.00	0.00	19.40	88.0	17.07
04/30/0201 #671895 WEST COAST	ADP 74149P705	B	361,738.88	74.33	0.00	0.00	74.33	88.0	65.41
04/30/0201 #671895 WEST COAST	ADP 74149P721	B	53,460.58	10.99	0.00	0.00	10.99	88.0	9.67
04/30/0201 #671895 WEST COAST	ADP 74149P804	B	134,058.97	27.55	0.00	0.00	27.55	88.0	24.24
04/30/0201 #671895 WEST COAST	ADP 74149P887	B	640,632.88	131.64	0.00	0.00	131.64	88.0	115.84
04/30/0201 #671895 WEST COAST	ADP 85744A513	B	306,885.41	63.06	0.00	0.00	63.06	88.0	55.49
04/30/0201 #671895 WEST COAST	ADP 85744A638	B	690,912.59	141.97	0.00	0.00	141.97	88.0	124.93
04/30/0201 #671895 WEST COAST	ADP 885215269	B	143,791.28	29.55	0.00	0.00	29.55	88.0	26.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
04/30/2021	#671895 WEST COAST	BLACKROCK EQUITY DIVIDEND FUND CL A B		79,395.88	16.31	0.00	0.00	16.31	88.0	14.35
04/30/2021	#671895 WEST COAST	COLUMBIA SELIGMAN COMMUNICATIONS AN B		99,105.83	20.36	0.00	0.00	20.36	88.0	17.92
04/30/2021	#671895 WEST COAST	FIDELITY ADV SMALL CAP-CL T	B	143,314.68	29.45	0.00	0.00	29.45	88.0	25.92
04/30/2021	#671895 WEST COAST	INVESCO DEVELOPING MARKETS FUND CL B		338,232.91	69.50	0.00	0.00	69.50	88.0	61.16
04/30/2021	#671895 WEST COAST	INVESCO ENERGY FUND CLASS A	B	164,650.65	33.83	0.00	0.00	33.83	88.0	29.77
04/30/2021	#671895 WEST COAST	PIMCO LOW DURATION FUND-CL A	B	105,651.95	21.71	0.00	0.00	21.71	88.0	19.10
04/30/2021	#671895 WEST COAST	PIMCO TOTAL RETURN FUND-CL A	B	638.98	0.13	0.00	0.00	0.13	88.0	0.11
04/30/2021	#671895 WEST COAST	PRUDENTIAL HIGH YIELD CL A	B	202,680.67	41.65	0.00	0.00	41.65	88.0	36.65
06/30/2021	#671895 WEST COAST	ADP 416649283	B	52,797.18	10.85	0.00	0.00	10.85	88.0	9.55
06/30/2021	#671895 WEST COAST	ADP 47103C167	B	150,304.49	30.88	0.00	0.00	30.88	88.0	27.17
06/30/2021	#671895 WEST COAST	ADP 56062X625	B	227,732.44	46.79	0.00	0.00	46.79	88.0	41.18
06/30/2021	#671895 WEST COAST	ADP 74149P606	B	94,881.59	19.50	0.00	0.00	19.50	88.0	17.16
06/30/2021	#671895 WEST COAST	ADP 74149P705	B	368,381.76	75.69	0.00	0.00	75.69	88.0	66.61
06/30/2021	#671895 WEST COAST	ADP 74149P721	B	54,947.22	11.29	0.00	0.00	11.29	88.0	9.94
06/30/2021	#671895 WEST COAST	ADP 74149P804	B	136,811.89	28.11	0.00	0.00	28.11	88.0	24.74
06/30/2021	#671895 WEST COAST	ADP 74149P887	B	653,846.43	134.35	0.00	0.00	134.35	88.0	118.23
06/30/2021	#671895 WEST COAST	ADP 85744A513	B	319,175.56	65.58	0.00	0.00	65.58	88.0	57.71
06/30/2021	#671895 WEST COAST	ADP 85744A638	B	693,641.28	142.53	0.00	0.00	142.53	88.0	125.43
06/30/2021	#671895 WEST COAST	ADP 885215269	B	143,484.76	29.48	0.00	0.00	29.48	88.0	25.94
06/30/2021	#671895 WEST COAST	BLACKROCK EQUITY DIVIDEND FUND CL A B		80,633.38	16.57	0.00	0.00	16.57	88.0	14.58
06/30/2021	#81984090101 HOPSON, ERNEST	COLLEGE BOUND 76220Y679	B	0.00	3.08	0.00	0.00	3.08	88.0	2.71
06/30/2021	#81984090102 HOPSON, ERNEST	COLLEGE BOUND 76220Y877	B	0.00	3.78	0.00	0.00	3.78	88.0	3.33
06/30/2021	#671895 WEST COAST	COLUMBIA SELIGMAN COMMUNICATIONS AN B		105,081.86	21.59	0.00	0.00	21.59	88.0	19.00
06/30/2021	#671895 WEST COAST	FIDELITY ADV SMALL CAP-CL T	B	148,627.48	30.54	0.00	0.00	30.54	88.0	26.88
06/30/2021	#671895 WEST COAST	INVESCO DEVELOPING MARKETS FUND CL B		319,027.49	65.55	0.00	0.00	65.55	88.0	57.68
06/30/2021	#671895 WEST COAST	INVESCO ENERGY FUND CLASS A	B	174,839.40	35.93	0.00	0.00	35.93	88.0	31.62
06/30/2021	#671895 WEST COAST	PIMCO LOW DURATION FUND-CL A	B	105,375.00	21.65	0.00	0.00	21.65	88.0	19.05
06/30/2021	#671895 WEST COAST	PIMCO TOTAL RETURN FUND-CL A	B	635.93	0.13	0.00	0.00	0.13	88.0	0.11
06/30/2021	#671895 WEST COAST	PRUDENTIAL HIGH YIELD CL A	B	203,308.40	41.78	0.00	0.00	41.78	88.0	36.77
07/17/2018	#00089506143 UHLSSCOTT	AMERICAN FUNDS 02629M58	B	100.00	3.50	0.00	0.00	3.50	88.0	3.08
* MUTUAL FUNDS SUBTOTAL *				\$12,008,680.12	\$2,505.49	\$0.00	\$0.00	\$2,505.49		\$2,204.82
<u>PRODUCT CATEGORY: STOCKS</u>										
06/28/2018	# HOLCOMB, TIM	ABBOTT LABORATORIES	S	3,062.51	0.00	9.95	0.00	-9.95	88.0	-8.76
06/28/2018	# HOLCOMB, TIM	ABBVIE INC	S	2,300.06	0.00	9.95	0.00	-9.95	88.0	-8.76
06/29/2018	#	SPDR INDEX SHARES FUNDS	B	141.26	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018	#	SPDR INDEX SHARES FUNDS	B	152.05	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018	#	SPDR SERIES TRUST	B	83.61	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018	#	SPDR SERIES TRUST	B	27.30	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018	#	SPDR SERIES TRUST	B	27.30	0.00	19.95	0.00	-19.95	88.0	-17.56

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
06/29/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO LA S		160.00	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO LA S		32.00	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO SM S		129.21	0.00	19.95	0.00	-19.95	88.0	-17.56
06/29/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO SM S		32.30	0.00	19.95	0.00	-19.95	88.0	-17.56
07/06/2018 #	NEIMAN, JOHN	ISHARES TRUST IBOXX \$ INVESTOP INVE S		2,303.80	54.30	19.95	0.00	34.35	88.0	30.23
07/06/2018 #	NEIMAN, JOHN	POWERSHARES QQQ TRUST	S	12,284.30	84.00	19.95	0.00	64.05	88.0	56.36
07/06/2018 #	NEIMAN, JOHN	SECTOR SPDR TRUST	S	4,329.60	77.79	19.95	0.00	57.84	88.0	50.90
07/06/2018 #	NEIMAN, JOHN	SELECT SECTOR SPDR FUND SHS BEN INT S		2,492.84	57.34	19.95	0.00	37.39	88.0	32.90
07/06/2018 #	NEIMAN, JOHN	VANGUARD INDEX FUNDS VANGUARD MID-C S		4,384.40	78.40	19.95	0.00	58.45	88.0	51.44
07/12/2018 #	TREVIZO, JAN-MICHAEL	ISHARES TRUST DOW JONES US AEROSPAC B		1,975.04	50.00	19.95	0.00	30.05	88.0	26.44
07/12/2018 #	TREVIZO, JAN-MICHAEL	MGM RESORTS INTERNATIONAL	B	909.53	50.00	19.95	0.00	30.05	88.0	26.44
07/12/2018 #	TREVIZO, JAN-MICHAEL	PAPA JOHNS INTERNATIONAL INC	B	543.60	50.00	19.95	0.00	30.05	88.0	26.44
07/13/2018 #	MILLER, DAVID	ISHARES MORNINGSTAR SMALL CAP ETF	S	12,784.11	0.00	9.95	0.00	-9.95	88.0	-8.76
07/13/2018 #	MILLER, DAVID	VANGUARD INTERMEDIATE TERM BOND ETF S		10,227.43	0.00	9.95	0.00	-9.95	88.0	-8.76
07/18/2018 #	TREVIZO, JAN-MICHAEL	MGM RESORTS INTERNATIONAL	S	155.36	50.00	19.95	0.00	30.05	88.0	26.44
07/18/2018 #	TREVIZO, JAN-MICHAEL	PAPA JOHNS INTERNATIONAL INC	S	538.30	50.00	19.95	0.00	30.05	88.0	26.44
* STOCKS SUBTOTAL *				\$59,075.91	\$601.83	\$418.85	\$0.00	\$182.98		\$160.95
<u>PRODUCT CATEGORY: OPTIONS</u>										
07/16/2018 #		CALL VHC 12/22/12 32	B	1,100.00	43.00	23.85	0.00	19.15	88.0	16.85
07/17/2018 #		PUT QQQ 08/17/18 180	B	1,450.00	36.25	29.70	0.00	6.55	88.0	5.76
07/19/2018 #		CALL VHC 12/22/12 32	B	1,000.00	40.00	23.85	0.00	16.15	88.0	14.21
07/26/2018 #	SCAFFIDE, SAM	CALL FB 01/18/19 175	B	5,100.00	0.00	12.95	0.00	-12.95	88.0	-11.40
07/26/2018 #		PUT EA 08/03/18 140	B	380.00	0.00	22.95	0.00	-22.95	88.0	-20.20
07/27/2018 #		PUT EA 08/03/18 140	S	730.00	0.00	22.95	0.00	-22.95	88.0	-20.20
07/27/2018 #		PUT QQQ 08/17/18 180	S	1,970.00	50.00	29.70	0.00	20.30	88.0	17.86
* OPTIONS SUBTOTAL *				\$11,730.00	\$169.25	\$165.95	\$0.00	\$3.30		\$2.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
07/06/2018 #304706276	MUOLO, RICHARD	AXA	B	369,106.58	1,153.46	0.00	0.00	1,153.46	88.0	1,015.04
07/06/2018 #304706500	MCLAIN, DALE	AXA	B	252,690.25	789.66	0.00	0.00	789.66	88.0	694.90
07/06/2018 #304707110	CAGANAP, DANIEL	AXA	B	120,304.30	375.95	0.00	0.00	375.95	88.0	330.84
07/06/2018 #304718797	MCLAIN, TERRI	AXA	B	33,243.74	103.89	0.00	0.00	103.89	88.0	91.42
07/06/2018 #305612120	NEIMAN, JOHN	AXA	B	180,451.00	563.91	0.00	0.00	563.91	88.0	496.24
07/06/2018 #305651082	GIRARD, KENNETH	AXA	B	403,746.92	1,261.71	0.00	0.00	1,261.71	88.0	1,110.30
07/06/2018 #307682538	ALLEN, ROBERT	AXA	B	45,797.77	114.95	0.00	0.00	114.95	88.0	101.16
07/06/2018 #307683780	CANIGILA, KENNITH	AXA	B	85,892.56	214.73	0.00	0.00	214.73	88.0	188.96
07/06/2018 #104570LB8	GUTIERREZ, LOUIS	TRANSAMERICA LIFE	B	0.00	42.07	0.00	0.00	42.07	88.0	37.02
07/06/2018 #104571LB8	GUTIERREZ, LOUIS	TRANSAMERICA LIFE	B	0.00	33.17	0.00	0.00	33.17	88.0	29.19
07/06/2018 #129617LB8	EVANS, PAM	TRANSAMERICA LIFE	B	0.00	38.86	0.00	0.00	38.86	88.0	34.20

BROKER COMMISSION TOTALS

Broker Transactions	\$9,531.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-795.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,736.26
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