



Concorde Investment Services, LLC

01/13/2017
Page No. 1

COMMISSION STATEMENT

January 17, 2017

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.55	0.00	2.55	0.0	0.00
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.37	0.00	1.37	0.0	0.00
01/03/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.83	0.00	0.83	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.83	0.00	0.83	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.83	0.00	0.83	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.12	0.00	1.12	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.12	0.00	1.12	0.0	0.00
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	0.94	0.00	0.94	0.0	0.00
01/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
01/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
01/06/2017	HERNANDEZ, MARIA	FA 529 PORT 2025 A	200.00	10.00	0.00	10.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	2.94	0.00	2.94	0.0	0.00
01/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	11.87	0.00	11.87	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.45	0.00	0.45	0.0	0.00
01/03/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	0.00
01/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
01/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
01/03/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
12/19/2016	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.63	0.00	14.63	0.0	0.00
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	25.96	0.00	25.96	0.0	0.00
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	6.81	0.00	6.81	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.01	0.00	16.01	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	0.25	0.00	0.25	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	7.40	0.00	7.40	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.08	0.00	0.08	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,515.00	\$141.94	\$0.00	\$141.94		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/30/2016	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	102,315.52	63.95	0.00	63.95	0.0	0.00
12/30/2016	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	86,953.17	54.35	0.00	54.35	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$189,268.69	\$118.30	\$0.00	\$118.30		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$190,783.69	\$260.24	\$0.00	\$260.24		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$260.24
Year-to-date Earnings	\$0.00

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 ** - Indicates adjustment is not included in Totals or Commissions
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