



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2018**

02/19/2018  
Page No. 1

TIMOTHY, S118 STAUFFER  
333 Fiddlers Cove

BROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/02/2018	SHERRILL, TIMOTHY	EDITAS MEDICINE INC COM	2,963.77	35.00	22.50	12.50	90.0	11.25
02/02/2018	SHERRILL, TIMOTHY	MULESOFT INC CL A	2,930.40	35.00	22.50	12.50	90.0	11.25
02/02/2018	SHERRILL, TIMOTHY	UNDER ARMOUR INC CL C	-3,625.50	35.00	22.50	12.50	90.0	11.25
* STOCKS SUBTOTAL *			<b>\$2,268.67</b>	<b>\$105.00</b>	<b>\$67.50</b>	<b>\$37.50</b>		<b>\$33.75</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$2,268.67</b>	<b>\$105.00</b>	<b>\$67.50</b>	<b>\$37.50</b>		<b>\$33.75</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/01/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			<b>\$215.00</b>	<b>\$2.15</b>	<b>\$0.00</b>	<b>\$2.15</b>		<b>\$1.94</b>

<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/31/2018	WILD, WILLIAM	JNL ELITE ACCESS	105,859.41	44.11	0.00	44.11	90.0	39.70
01/31/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	29,501.12	6.15	0.00	6.15	90.0	5.54
01/31/2018	MOHR, AMY	JNL ELITE ACCESS	48,807.82	10.17	0.00	10.17	90.0	9.15
01/31/2018	LARKINS, TROY	JNL ELITE ACCESS	51,530.71	10.74	0.00	10.74	90.0	9.67
01/31/2018	ZEHR, PAUL	JNL ELITE ACCESS	87,050.13	18.14	0.00	18.14	90.0	16.33
01/31/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	31,752.87	6.62	0.00	6.62	90.0	5.96
01/31/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	38,718.59	8.07	0.00	8.07	90.0	7.26
01/31/2018	FLETCHER, MONA	JNL PERSPECTIVE II	27,744.48	11.56	0.00	11.56	90.0	10.40
01/31/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	319,098.21	132.96	0.00	132.96	90.0	119.66
01/31/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,912.68	6.21	0.00	6.21	90.0	5.59
01/31/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	87,541.05	36.48	0.00	36.48	90.0	32.83
01/31/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	84,988.93	35.41	0.00	35.41	90.0	31.87
01/31/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	159,734.12	66.56	0.00	66.56	90.0	59.90
01/31/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	84,628.05	35.26	0.00	35.26	90.0	31.73

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/31/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	674,963.57	281.23	0.00	281.23	90.0	253.11
01/31/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	235,377.68	98.07	0.00	98.07	90.0	88.26
01/31/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	338,559.48	141.07	0.00	141.07	90.0	126.96
01/31/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	234,020.43	97.51	0.00	97.51	90.0	87.76
01/31/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	151,404.68	63.09	0.00	63.09	90.0	56.78
01/31/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	150,448.05	62.69	0.00	62.69	90.0	56.42
01/31/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	92,582.68	38.58	0.00	38.58	90.0	34.72
01/31/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	103,467.93	43.11	0.00	43.11	90.0	38.80
01/31/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	50,964.97	21.24	0.00	21.24	90.0	19.12
01/31/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	49,897.87	20.79	0.00	20.79	90.0	18.71
01/31/2018	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	165,323.36	137.77	0.00	137.77	90.0	123.99
01/31/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	72,138.32	60.12	0.00	60.12	90.0	54.11
01/31/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	112,645.98	93.87	0.00	93.87	90.0	84.48
01/31/2018	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	223,677.22	186.40	0.00	186.40	90.0	167.76
01/31/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	209,940.47	174.95	0.00	174.95	90.0	157.46
01/30/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,253.51	16.04	0.00	16.04	90.0	14.44
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,056,534.37</b>	<b>\$1,964.97</b>	<b>\$0.00</b>	<b>\$1,964.97</b>		<b>\$1,768.47</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

01/19/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.30	0.00	2.30	90.0	2.07
01/19/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.30	0.00	2.30	90.0	2.07
01/19/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.15	0.00	9.15	90.0	8.24
01/19/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.11	0.00	9.11	90.0	8.20
01/19/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.82	0.00	1.82	90.0	1.64
01/19/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.42	0.00	1.42	90.0	1.28
01/19/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.43	0.00	12.43	90.0	11.19
01/19/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.06	0.00	2.06	90.0	1.85
01/19/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.34	0.00	1.34	90.0	1.21
01/19/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.34	0.00	1.34	90.0	1.21
01/19/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.59	0.00	7.59	90.0	6.83
01/25/2018	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.41	0.00	0.41	90.0	0.37
01/25/2018	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	2.54	0.00	2.54	90.0	2.29
01/25/2018	MOHR, AMY	INVESCO UTILITIES A	0.00	2.03	0.00	2.03	90.0	1.83
01/22/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.62	0.00	5.62	90.0	5.06

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/22/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	5.20	0.00	5.20	90.0	4.68
01/22/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.48	0.00	5.48	90.0	4.93
01/16/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
01/22/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.27	0.00	1.27	90.0	1.14
01/22/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.34	0.00	2.34	90.0	2.11
01/22/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.41	0.00	8.41	90.0	7.57
01/22/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	9.11	0.00	9.11	90.0	8.20
01/22/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.93	0.00	8.93	90.0	8.04
01/22/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.70	0.00	10.70	90.0	9.63
01/22/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	7.06	0.00	7.06	90.0	6.35
01/22/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.89	0.00	6.89	90.0	6.20
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$126.94</b>	<b>\$0.00</b>	<b>\$126.94</b>		<b>\$114.27</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/19/2018	NUTTLE, JANNETTA	ING LANDMARK	15,336.60	9.59	0.00	9.59	90.0	8.63
01/16/2018	BLANCHARD, SUZETTE	PAC LIFE INNOVATIVE SELECT	0.00	648.00	0.00	648.00	90.0	583.20
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$15,336.60</b>	<b>\$657.59</b>	<b>\$0.00</b>	<b>\$657.59</b>		<b>\$591.83</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$4,072,085.97</b>	<b>\$2,751.65</b>	<b>\$0.00</b>	<b>\$2,751.65</b>		<b>\$2,476.51</b>

**ADJUSTMENTS**

02/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
02/15/2018	*ALBRIDGE: LIGHT USER	0.00	-76.00
02/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
02/15/2018	*GLOBAL RELAY: WEBSITE	0.00	-15.00
02/15/2018	*PERSHING: MANDITORY REORG - BYERLE	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - DELAGR	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - KIRKLA	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - KROUSE	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - LARKIN	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - MIDDLE	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - SMITH	0.00	-10.00
02/15/2018	*PERSHING: MANDITORY REORG - WAGLER	0.00	-10.00

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<b><u>ADJUSTMENTS</u></b>								
02/15/2018		*PERSHING: MANDITORY REORG - WATSON	0.00					-10.00
02/15/2018		*PERSHING: MANDITORY REORG - ZAHM	0.00					-10.00
02/15/2018		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
02/15/2018		*TECHNOLOGY: REG ASSISTANT - LEDERM	0.00					-135.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-943.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,510.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-943.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$1,567.26</b>
<b>Year-to-date Gross</b>	<b>\$23,563.85</b>
<b>Year-to-date Earnings</b>	<b>\$20,963.96</b>

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