

**COMMISSION STATEMENT****November 15, 2017**

P124-JONP/MARC/DAN/J FT-FL JUNO CIRE/LINS

14255 US Hwy One

Suite 232

Juno Beach, FL 33408

BROKER #: P124

BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/27/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	2,708.42	135.42	22.00	113.42	100.0	0.00
^10/27/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	2,701.44	108.06	22.00	86.06	100.0	0.00
^10/27/2017	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	2,700.66	54.01	22.00	32.01	100.0	0.00
^10/27/2017	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	2,700.66	54.01	22.00	32.01	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$10,811.18	\$351.50	\$88.00	\$263.50		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
^11/06/2017	ROULHAC, JAMES	SACRAMENTO CNTY CALIF ARPT SYS REV	-51,149.00	28.00	27.00	1.00	100.0	0.00
* BONDS SUBTOTAL *			\$-51,149.00	\$28.00	\$27.00	\$1.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-40,337.82	\$379.50	\$115.00	\$264.50		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^10/19/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	100.0	0.00
^10/13/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.58	0.00	4.58	100.0	0.00
^10/13/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	13.87	0.00	13.87	100.0	0.00
^10/13/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	9.15	0.00	9.15	100.0	0.00
^10/13/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.64	0.00	6.64	100.0	0.00
^10/13/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.02	0.00	1.02	100.0	0.00
^10/13/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.54	0.00	2.54	100.0	0.00
^10/13/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.70	0.00	5.70	100.0	0.00
^10/13/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.11	0.00	17.11	100.0	0.00
^10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.55	0.00	2.55	100.0	0.00
^10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.66	0.00	7.66	100.0	0.00
^10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.19	0.00	3.19	100.0	0.00
^10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.57	0.00	9.57	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.13	0.00	2.13	100.0	0.00
^10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.38	0.00	6.38	100.0	0.00
^10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.55	0.00	2.55	100.0	0.00
^10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.66	0.00	7.66	100.0	0.00
^10/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.78	0.00	5.78	100.0	0.00
^10/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.33	0.00	17.33	100.0	0.00
^10/18/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.62	0.00	1.62	100.0	0.00
^10/18/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.52	0.00	2.52	100.0	0.00
^10/18/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.35	0.00	4.35	100.0	0.00
^10/18/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.48	0.00	3.48	100.0	0.00
^10/18/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.92	0.00	2.92	100.0	0.00
^10/18/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.15	0.00	4.15	100.0	0.00
^10/18/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.63	0.00	12.63	100.0	0.00
^10/30/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	2.03	0.00	2.03	100.0	0.00
^10/30/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	1.91	0.00	1.91	100.0	0.00
^10/30/2017	CAMPBELL, VICTORIA	INVESCO HIGH YIELD FUND A	0.00	0.56	0.00	0.56	100.0	0.00
^10/30/2017	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	0.00	0.08	0.00	0.08	100.0	0.00
^10/18/2017	CAMPBELL, CHARLES	NEUBERGER BERMAN HIGH INCOME BOND F	0.00	0.08	0.00	0.08	100.0	0.00
^10/30/2017	CAMPBELL, VICTORIA	PIMCO HIGH YIELD SPECTRUM FUND CLAS	0.00	0.08	0.00	0.08	100.0	0.00
^10/30/2017	CAMPBELL, CHARLES	PIMCO HIGH YIELD SPECTRUM FUND CLAS	0.00	0.01	0.00	0.01	100.0	0.00
^10/17/2017	CAMPBELL, VICTORIA	PRINCIPAL FUNDS HIGH YIELD II A	0.00	0.56	0.00	0.56	100.0	0.00
^10/17/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	0.00	0.08	0.00	0.08	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$162.65	\$0.00	\$162.65		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$162.65	\$0.00	\$162.65		\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/15/2017		*AI INSIGHT: AI INSIGHT - T THOMPSO	0.00					0.00
11/15/2017		*EO INS: CURRENT MONTH INS	0.00					0.00
11/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					0.00
11/15/2017		*PERSHING: MARK UPS	0.00					0.00
11/15/2017		*TECH: CURRENT MONTH TECH	0.00					0.00
11/15/2017		*TECHNOLOGY: BASIC - T THOMPSON	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.14
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.14
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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