



All Companies

01/11/2018
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COMMISSION STATEMENT January 16, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	10.01	10.01	0.00	10.01	90.0	9.01	
01/02/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
12/26/2017	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
12/26/2017	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66
12/26/2017	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
12/26/2017	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
* MUTUAL FUNDS SUBTOTAL *					\$868.34	\$18.59	\$0.00	\$18.59		\$16.73

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	TRAILS	-HARTFORD LIFE	B	845.80	845.80	0.00	845.80	90.0	761.22
12/29/2017	TRAILS	AMERICAN GENERAL ANNUITY	B	40.05	40.05	0.00	40.05	90.0	36.05
01/05/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	682.57	682.57	0.00	682.57	90.0	614.31
12/31/2017	TRAILS	GENWORTH LIFE ANNUITY	B	396.64	396.64	0.00	396.64	90.0	356.98
12/31/2017	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM	B	272.64	272.64	0.00	272.64	90.0	245.38
12/29/2017	TRAILS	NEW YORK LIFE INSURANCE COMPANY	B	334.46	334.46	0.00	334.46	90.0	301.01
12/31/2017	TRAILS	PRUDENTIAL INSURANCE CO.	B	198.56	198.56	0.00	198.56	90.0	178.70
* VARIABLE ANNUITIES SUBTOTAL *				\$2,770.72	\$2,770.72	\$0.00	\$2,770.72		\$2,493.65

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

01/16/2018	TRAIL COMMISSIONS	SPONSOR #0 FEES		0.00	530.56	0.00	530.56	90.0	477.50
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$530.56	\$0.00	\$530.56		\$477.50
*** BROKER TRANSACTIONS TOTAL ***				\$3,639.06	\$3,319.87	\$0.00	\$3,319.87		\$2,987.88

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ADJUSTMENTS

01/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/16/2018		MISC: 2017 PAYOUT ADJUSTMENT		0.00	0.00	0.00	0.00		343.10
01/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$215.60</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,987.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$215.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	<u>\$0.00</u>

Check Amount	\$3,203.48
Year-to-date Earnings	\$4,155.21