All Companies

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# COMMISSION STATEMENT

March 01, 2018

BROKER #: 4854

BRANCH: HOME OFFICE

6336 WARWICK HILLS DR

CHRISTOPHER SNOW

FORT WORTH, TX 76132-

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TD A MC	A CITTONIC					
		BROKER	IRANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
02/12/2018 00	073317978 WRIGHT, MIMI H	THE INVESTMENT COMPANY OF AMERI	CA-5 B	190.00	3.04	0.00	3.04	70.0	2.13
02/12/2018 00	075616859 WRIGHT, MIMI H	THE INVESTMENT COMPANY OF AMERI	CA-5 B	190.00	3.04	0.00	3.04	70.0	2.13
02/12/2018 00	075616980 WRIGHT, MIMI H	THE INVESTMENT COMPANY OF AMERI	CA-5 B	190.00	3.04	0.00	3.04	70.0	2.13
02/12/2018 00	0082880064 WRIGHT, MIMI H	CAPITAL INCOME BUILDER-529A	В	190.00	3.04	0.00	3.04	70.0	2.13
02/12/2018 00	0086538903 WRIGHT, MIMI H	CAPITAL INCOME BUILDER-529A	В	190.00	3.04	0.00	3.04	70.0	2.13
02/15/2018 00 W.	0089517055 MCKIMMEY, KENDALL	AMCAP FUND-529A	В	115.00	5.75	0.00	5.75	70.0	4.03
02/15/2018 04 W.		CAPITAL INCOME BUILDER-529A	В	115.00	5.75	0.00	5.75	70.0	4.03
	1000793969 WRIGHT/MCKIMMEY, MI/SINCLAIR	CAPITAL INCOME BUILDER-529A	В	250.00	4.00	0.00	4.00	70.0	2.80
		* MUTUAL FUNDS SUBTO	TAL *	\$1,430.00	\$30.70	\$0.00	\$30.70		\$21.51
PRODUCT CAT	EGORY: STOCKS								
02/27/2018 S	SECURITIES, HILLTOP	-HILLTOP SECURITIES	В	709.25	709.25	0.00	709.25	70.0	496.48
		* STOCKS SUBTO	TAL *	\$709.25	\$709.25	\$0.00	\$709.25		\$496.48
PRODUCT CAT	EGORY: VARIABLE ANNUITIES	3							
02/09/2018 T	TRAILS	TRANSAMERICA LIFE INSURANCE	В	376.26	376.26	0.00	376.26	70.0	263.38
02/14/2018 T	TRAILS	VOYA INSURANCE AND ANNUITY	В	375.52	375.52	0.00	375.52	70.0	262.86
		* VARIABLE ANNUITIES SUBTO	TAL *	\$751.78	\$751.78	\$0.00	\$751.78		\$526.24
PRODUCT CAT	EGORY: RIA								
01/12/2018 11		SIGNAL SECURITIES RIA	В	0.00	280.00	0.00	280.00	70.0	196.00
01/12/2018 12	2390799 SINGLETON, MARION B &	SIGNAL SECURITIES RIA	В	0.00	40.00	0.00	40.00	70.0	28.00
ST	TEV								
01/12/2018 14	179247 RITTBY, KRISTI E	SIGNAL SECURITIES RIA	В	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018 18	3300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	В	0.00	134.00	0.00	134.00	70.0	93.80
	3987768 TREADWELL, TONEY LLEN	SIGNAL SECURITIES RIA	В	0.00	30.67	0.00	30.67	70.0	21.47
	2527639 ZEIGLER, MICHAEL ATRICK	SIGNAL SECURITIES RIA	В	0.00	4.67	0.00	4.67	70.0	3.27

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MD3DE D3.55	CI TINIM	TARTE CONTENTS	B / G	INVESTMENT	GROSS	CLEARING	NET	D.3.00.00	BROKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			BROKER TRANS	ă <i>C</i> TT∩NG					
			DRORDR TRANS	1101101110					
PRODUCT CATE									
	525617 NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	В	0.00	335.67	0.00	335.67		234.97
	562425 MCKINNEY, HAROLD	SIGNAL SECURITIES RIA	В	0.00	18.00	0.00	18.00	70.0	12.60
LOU									
	142209 GUTH, ERIC C.	SIGNAL SECURITIES RIA	В	0.00	496.33	0.00	496.33	70.0	347.43
	294822 UHR, ERNEST S	SIGNAL SECURITIES RIA	В	0.00	23.67	0.00	23.67	70.0	16.57
	917351 SCHEIDEMAN, SUMMER ARIE	SIGNAL SECURITIES RIA	В	0.00	2.00	0.00	2.00	70.0	1.40
01/12/2018 320	062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	В	0.00	199.33	0.00	199.33	70.0	139.53
01/12/2018 374	106476 WALES, CHRIS & ANDREA	A SIGNAL SECURITIES RIA	В	0.00	19.33	0.00	19.33	70.0	13.53
01/12/2018 391	194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	В	0.00	422.67	0.00	422.67	70.0	295.87
01/12/2018 399	923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	В	0.00	7.33	0.00	7.33	70.0	5.13
01/12/2018 407	772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	В	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018 412	231228 HAGAN, SHERYL	SIGNAL SECURITIES RIA	В	0.00	1,293.33	0.00	1,293.33	70.0	905.33
01/12/2018 449	998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	В	0.00	217.00	0.00	217.00	70.0	151.90
	505681 D'ONOFRIO, JUN & DOKE	SIGNAL SECURITIES RIA	В	0.00	161.67	0.00	161.67	70.0	113.17
	503849 HOWARD REV. TRUST, ROLYN COX	SIGNAL SECURITIES RIA	В	0.00	13.33	0.00	13.33	70.0	9.33
01/12/2018 504 STE	129988 SINGLETON, MARION B &	SIGNAL SECURITIES RIA	В	0.00	1,884.33	0.00	1,884.33	70.0	1,319.03
01/12/2018 506	576897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	В	0.00	2.33	0.00	2.33	70.0	1.63
01/12/2018 508	369265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	В	0.00	6.00	0.00	6.00	70.0	4.20
01/12/2018 525	520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	В	0.00	10.67	0.00	10.67	70.0	7.47
	510132 SIMONE, GARY & NELOPE	SIGNAL SECURITIES RIA	В	0.00	137.67	0.00	137.67	70.0	96.37
	345160 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	В	0.00	13.33	0.00	13.33	70.0	9.33
01/12/2018 572	264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	В	0.00	11.67	0.00	11.67	70.0	8.17
01/12/2018 606	584203 UHR, ERNEST S	SIGNAL SECURITIES RIA	В	0.00	3.33	0.00	3.33	70.0	2.33
01/12/2018 613	324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	В	0.00	38.00	0.00	38.00	70.0	26.60
	179593 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	В	0.00	17.67	0.00	17.67	70.0	12.37
01/12/2018 630 OW	085125 SIMONE, PENELOPE ANN	SIGNAL SECURITIES RIA	В	0.00	310.67	0.00	310.67	70.0	217.47
	324279 TREADWELL, TONEY LEN	SIGNAL SECURITIES RIA	В	0.00	575.67	0.00	575.67	70.0	402.97
01/12/2018 731	189180 WIMBERLY, MELISSA DWNIN	SIGNAL SECURITIES RIA	В	0.00	90.00	0.00	90.00	70.0	63.00
01/12/2018 743	389400 ZEIGLER, MICHAEL FRICK	SIGNAL SECURITIES RIA	В	0.00	9.33	0.00	9.33	70.0	6.53

TRADE DATE CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER I	TRANSA	ACTIONS					
PRODUCT CATEGORY: RIA									
01/12/2018 74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA		В	0.00	9.67	0.00	9.67	70.0	6.77
01/12/2018 74799834 RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA		В	0.00	680.33	0.00	680.33	70.0	476.23
01/12/2018 76521383 HENDERSON, CLIFFORD 8 KATH	SIGNAL SECURITIES RIA		В	0.00	114.00	0.00	114.00	70.0	79.80
01/12/2018 77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA		В	0.00	129.33	0.00	129.33	70.0	90.53
01/12/2018 77901571 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA		В	0.00	48.33	0.00	48.33	70.0	33.83
01/12/2018 78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA		В	0.00	63.33	0.00	63.33	70.0	44.33
01/12/2018 78797347 WALES, CHRIS & ANDREA	A SIGNAL SECURITIES RIA		В	0.00	70.00	0.00	70.00	70.0	49.00
01/12/2018 78947702 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA		В	0.00	236.67	0.00	236.67	70.0	165.67
01/12/2018 80337453 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA		В	0.00	110.67	0.00	110.67	70.0	77.47
01/12/2018 82350222 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA		В	0.00	222.00	0.00	222.00	70.0	155.40
01/12/2018 82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA		В	0.00	184.67	0.00	184.67	70.0	129.27
01/12/2018 83025761 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA		В	0.00	133.33	0.00	133.33	70.0	93.33
01/12/2018 83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA		В	0.00	76.00	0.00	76.00	70.0	53.20
01/12/2018 87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA		В	0.00	585.67	0.00	585.67	70.0	409.97
01/12/2018 92989374 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA		В	0.00	0.67	0.00	0.67	70.0	0.47
01/12/2018 93302124 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA		В	0.00	65.00	0.00	65.00	70.0	45.50
01/12/2018 95235681 STARE INVESTMENTS LP	SIGNAL SECURITIES RIA		В	0.00	486.00	0.00	486.00	70.0	340.20
01/12/2018 97573277 GARZA, RICARDO C	SIGNAL SECURITIES RIA		В	0.00	446.67	0.00	446.67	70.0	312.67
01/12/2018 98761332 LOPEZ, VICTOR	SIGNAL SECURITIES RIA		В	0.00	4.67	0.00	4.67	70.0	3.27
01/12/2018 99462551 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA		В	0.00	221.67	0.00	221.67	70.0	155.17
	*	RIA SUBTOTA	L *	\$0.00	\$10,722.35	\$0.00	\$10,722.35		\$7,505.65
	*** BROKER TRANSACT	TIONS TOTAL	***	\$2,891.03	\$12,214.08	\$0.00	\$12,214.08		\$8,549.88

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	SPLI	T TRANSA	CTIONS					
BROKER: SNOW/MOYNIHAN #9543								
01/12/2018 47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	В	0.00	265.40	0.00	265.40	70.0	185.78
01/12/2018 75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	В	0.00	158.00	0.00	158.00	70.0	110.60
01/12/2018 83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	В	0.00	23.40	0.00	23.40	70.0	16.38
01/12/2018 91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	В	0.00	11.00	0.00	11.00	70.0	7.70
	* SNOW/MOYNIHAN SU	BTOTAL *	\$0.00	\$457.80	\$0.00	\$457.80		\$320.46
BROKER: SINGLETON/SNOW #9575								
01/12/2018 57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	В	0.00	115.84	0.00	115.84	70.0	81.09
01/12/2018 97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	В	0.00	167.17	0.00	167.17	70.0	117.02
	* SINGLETON/SNOW SU	BTOTAL *	\$0.00	\$283.01	\$0.00	\$283.01		\$198.11
BROKER: PALMERSHEIM/SNOW #9613								
01/12/2018 15447982 PEARSON, DIANNE H	SIGNAL SECURITIES RIA	В	0.00	112.00	0.00	112.00	70.0	78.40
01/12/2018 16695746 QUANBECK, REA DENISE		В	0.00	30.00	0.00	30.00		21.00
01/12/2018 18091956 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	В	0.00	23.73	0.00	23.73	70.0	16.61
01/12/2018 20770029 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	В	0.00	187.33	0.00	187.33	70.0	131.13
01/12/2018 27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	В	0.00	19.33	0.00	19.33	70.0	13.53
01/12/2018 29192217 JOHNSON, TONYA	SIGNAL SECURITIES RIA	В	0.00	62.00	0.00	62.00		43.40
WAYNETTE								
01/12/2018 29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	В	0.00	240.13	0.00	240.13	70.0	168.09
01/12/2018 31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	76.67	0.00	76.67	70.0	53.67
01/12/2018 31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	В	0.00	217.87	0.00	217.87	70.0	152.51
01/12/2018 33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	В	0.00	12.93	0.00	12.93	70.0	9.05
01/12/2018 35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	21.87	0.00	21.87	70.0	15.31
01/12/2018 35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	В	0.00	4.93	0.00	4.93	70.0	3.45
01/12/2018 39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	В	0.00	104.80	0.00	104.80	70.0	73.36
01/12/2018 39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	В	0.00	73.07	0.00	73.07	70.0	51.15
02/15/2018 401K OPTIMIZER PUKIS, BARBARA	SIGNAL SECURITIES RIA	В	0.00	171.73	0.00	171.73	70.0	120.21
ANN								
01/12/2018 41540562 LOCKER, PAMELA LAYTO	N SIGNAL SECURITIES RIA	В	0.00	148.67	0.00	148.67		104.07
01/12/2018 42458316 WARREN, WILLIAM W	SIGNAL SECURITIES RIA	В	0.00	42.13	0.00	42.13		29.49
01/12/2018 49635286 BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	В	0.00	18.00	0.00	18.00	70.0	12.60
01/12/2018 56512595 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	В	0.00	7.07	0.00	7.07	70.0	4.95

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			SPLIT TRANS	ACTIONS					
BROKER: PAI	LMERSHEIM/SNOW #9613								
	EAN								
01/12/2018 5	8196619 STELLY, TIMOTHY & ALEY	SIGNAL SECURITIES RIA	В	0.00	1.07	0.00	1.07	70.0	0.75
01/12/2018 5	9968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	В	0.00	90.40	0.00	90.40	70.0	63.28
01/12/2018 6	2652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	103.20	0.00	103.20	70.0	72.24
	4024640 QUANBECK, GORDON HAL)	SIGNAL SECURITIES RIA	В	0.00	26.00	0.00	26.00	70.0	18.20
01/12/2018 6	7104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	В	0.00	126.27	0.00	126.27	70.0	88.39
01/12/2018 6	8428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	В	0.00	235.07	0.00	235.07	70.0	164.55
01/12/2018 6	8892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	В	0.00	180.80	0.00	180.80	70.0	126.56
01/12/2018 7	0196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	32.00	0.00	32.00	70.0	22.40
01/12/2018 7	2490138 PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	В	0.00	85.87	0.00	85.87	70.0	60.11
01/12/2018 7: J	2540730 BLALOCK III, WILLIAM	SIGNAL SECURITIES RIA	В	0.00	158.67	0.00	158.67	70.0	111.07
01/12/2018 7	3959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	В	0.00	21.47	0.00	21.47	70.0	15.03
01/12/2018 7	4609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	В	0.00	8.93	0.00	8.93	70.0	6.25
01/12/2018 7	4970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	В	0.00	18.00	0.00	18.00	70.0	12.60
01/12/2018 7	5970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	20.93	0.00	20.93	70.0	14.65
01/12/2018 8	1184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	195.33	0.00	195.33	70.0	136.73
01/12/2018 8	1184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	30.93	0.00	30.93	70.0	21.65
	1944763 RENDER, YLVIA/RICHARD	SIGNAL SECURITIES RIA	В	0.00	156.13	0.00	156.13	70.0	109.29
	5616643 STELLY, TIMOTHY & ALEY	SIGNAL SECURITIES RIA	В	0.00	20.00	0.00	20.00	70.0	14.00
01/12/2018 8	7940275 HART, CHARLOTT/ROBERT	rsignal securities ria	В	0.00	80.13	0.00	80.13	70.0	56.09
01/12/2018 9	1118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	В	0.00	7.60	0.00	7.60	70.0	5.32
01/12/2018 9	6915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	В	0.00	8.93	0.00	8.93	70.0	6.25
01/12/2018 9	7397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	В	0.00	73.20	0.00	73.20	70.0	51.24
		* PALMERSHEIM/S	NOW SUBTOTAL *	\$0.00	\$3,255.19	\$0.00	\$3,255.19		\$2,278.63
BROKER: ER	IC CHARLES GUTH #9617								
01/12/2018 1	1999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	В	0.00	252.16	0.00	252.16	70.0	176.51
01/12/2018 1	3081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	17.28	0.00	17.28	70.0	12.10
01/12/2018 1	7017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	В	0.00	1.12	0.00	1.12	70.0	0.78
01/12/2018 2	4551097 HOBAN, THOMAS WILLIAM	M SIGNAL SECURITIES RIA	В	0.00	65.12	0.00	65.12	70.0	45.58
	7613913 RAINES, GEORGE EFFREY	SIGNAL SECURITIES RIA	В	0.00	73.12	0.00	73.12	70.0	51.18
	9396124 COSTAS, PETER J & AMMY M.	SIGNAL SECURITIES RIA	В	0.00	16.56	0.00	16.56	70.0	11.59
01/12/2018 3	2611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	19.44	0.00	19.44	70.0	13.61

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
					-			
	SPL	IT TRANSA	CTIONS					
BROKER: ERIC CHARLES GUTH #9617								
01/12/2018 34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	В	0.00	209.92	0.00	209.92	70.0	146.94
01/12/2018 37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	187.04	0.00	187.04	70.0	130.93
01/12/2018 39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	23.68	0.00	23.68	70.0	16.58
01/12/2018 52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	В	0.00	26.80	0.00	26.80	70.0	18.76
01/12/2018 58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	В	0.00	13.92	0.00	13.92	70.0	9.74
01/12/2018 68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	В	0.00	121.28	0.00	121.28	70.0	84.90
01/12/2018 84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	В	0.00	56.48	0.00	56.48	70.0	39.54
01/12/2018 86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	16.80	0.00	16.80	70.0	11.76
01/12/2018 89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	В	0.00	0.96	0.00	0.96	70.0	0.67
01/12/2018 90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	В	0.00	94.88	0.00	94.88	70.0	66.42
01/12/2018 92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	В	0.00	8.40	0.00	8.40	70.0	5.88
01/12/2018 94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	В	0.00	5.84	0.00	5.84	70.0	4.09
01/12/2018 98008502 RAY, PATSY & CHARLES	S SIGNAL SECURITIES RIA	В	0.00	2.16	0.00	2.16	70.0	1.51
01/12/2018 98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	219.28	0.00	219.28	70.0	153.50
	* ERIC CHARLES GUTH ST	UBTOTAL *	\$0.00	\$1,432.24	\$0.00	\$1,432.24		\$1,002.57
	*** SPLIT TRANSACTIONS	FOTAL ***	\$0.00	\$5,428.24	\$0.00	\$5,428.24		\$3,799.77
	OVERR	RIDE TRANS	SACTIONS					
BROKER: KELLERMAN PALMERSHEIM/ #9	9534							
02/16/2018 BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	В	0.00	214.66	0.00	214.66		53.67
01/12/2018 10556019 GILLILAND, JULIE DEANN	SIGNAL SECURITIES RIA	В	0.00	72.00	0.00	72.00		18.00
01/12/2018 10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	В	0.00	222.67	0.00	222.67		55.67
01/12/2018 20872135 SLAUGHTER, JUSTINE MARIE	SIGNAL SECURITIES RIA	В	0.00	179.67	0.00	179.67		44.92
01/12/2018 22163519 ABBOTT, JAMES ADDIS	ON SIGNAL SECURITIES RIA	В	0.00	35.33	0.00	35.33		8.83
01/12/2018 30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	В	0.00	249.33	0.00	249.33		62.33
01/12/2018 31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	В	0.00	31.33	0.00	31.33		7.83
01/12/2018 41540562 LOCKER, PAMELA LAYTO	ON SIGNAL SECURITIES RIA	В	0.00	22.33	0.00	22.33		5.58
01/12/2018 51260395 SUTHERLAND, MIKE DEA	AN SIGNAL SECURITIES RIA	В	0.00	704.33	0.00	704.33		176.08
01/12/2018 51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	В	0.00	343.67	0.00	343.67		85.92
01/12/2018 52007712 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	В	0.00	6.67	0.00	6.67		1.67

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	OVERRI	IDE TRAN	SACTIONS					
BROKER: KELLERMAN PALMERS	HEIM/ #9534							
	SUSAN HUDSON SIGNAL SECURITIES RIA	В	0.00	41.33	0.00	41.33		10.33
01/12/2018 79512456 BRUCE, G		В	0.00	140.33	0.00	140.33		35.08
	RANCIS JOSEPH SIGNAL SECURITIES RIA	В	0.00	373.33	0.00	373.33		93.33
01/12/2018 89721188 HARRIS,		В	0.00	1.33	0.00	1.33		0.33
01/12/2018 90768067 ELLIOTT,	SUSAN HUDSON SIGNAL SECURITIES RIA	В	0.00	268.00	0.00	268.00		67.00
01/12/2018 91789542 FRIEDMAN	N, LINDA L SIGNAL SECURITIES RIA	В	0.00	76.00	0.00	76.00		19.00
01/12/2018 91886872 ABBOTT,	NANCYE/JAMES SIGNAL SECURITIES RIA	В	0.00	674.33	0.00	674.33		168.58
01/12/2018 95761721 KEOGH, F	RANCIS JOSEPH SIGNAL SECURITIES RIA	В	0.00	10.00	0.00	10.00		2.50
01/12/2018 99442454 CHRISTY,	MARYLOU B SIGNAL SECURITIES RIA	В	0.00	43.00	0.00	43.00		10.75
	* KELLERMAN PALMERSHEIM/ SUR	BTOTAL *	\$0.00	\$3,709.64	\$0.00	\$3,709.64		\$927.40
BROKER: THOMPSON/SIGNAL	#9622							
01/12/2018 35296442 DAUM (SE JERRY F	PARATE PROP), SIGNAL SECURITIES RIA	В	0.00	5,603.67	0.00	5,603.67		308.20
	* THOMPSON/SIGNAL SUR	BTOTAL *	\$0.00	\$5,603.67	\$0.00	\$5,603.67		\$308.20
	*** OVERRIDE TRANSACTIONS TO	OTAL ***	\$0.00	\$9,313.31	\$0.00	\$9,313.31		\$1,235.60
	<u>.</u>	ADJUSTME	NTS					
03/01/2018	MISC: 10% OVERRIDE 9533		0.00	0.00	0.00	0.00		147.90
	*** ADJUSTMENTS TO	OTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$147.90

## BROKER COMMISSION TOTALS

	Check Amount	\$13,733.15
Prior Pe	eriod Balance	\$0.00
	Base Salary	\$0.00
	Payroll Draw	\$0.00
	Adjustments	\$147.90
Override	Transactions	\$1,235.60
Split	Transactions	\$3,799.77
Broker	Transactions	\$8,549.88

\$60,817.92

Year-to-date Earnings