

**COMMISSION STATEMENT****November 15, 2017**

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/27/2017	RUSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.16	0.00	3.16	75.0	2.37
10/17/2017	RUSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.12	0.00	2.12	75.0	1.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5.28	\$0.00	\$5.28		\$3.96
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$5.28	\$0.00	\$5.28		\$3.96
<u>ADJUSTMENTS</u>								
11/15/2017		*AI INSIGHT: AI INSIGHT	0.00					-7.00
11/15/2017		*EO INS: EO INS - MONTHLY	0.00					-300.00
11/15/2017		*PERSHING: MANDITORY REORGANIZATION	0.00					-10.00
11/15/2017		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-497.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-497.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-84.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-577.53
Year-to-date Gross	\$48,337.89
Year-to-date Earnings	\$41,935.38