

**COMMISSION STATEMENT****January 12, 2018**

**SYLVIA LUM**  
 209 Broadway Avenue  
 Millbrae, CA 94030

**BROKER #: 73896**  
**BRANCH: 04-#70A11 HOME OFFIC**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>Company: Securities America</u></b>							

**BROKER TRANSACTIONS****PRODUCT CATEGORY: TRAILERS**

01/11/2018	LUM (73896), SYLVIA	SAI.TRAILS	0.00	647.03	219.99	0.00	219.99
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$647.03</u>	<u>\$219.99</u>	<u>\$0.00</u>	<u>\$219.99</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$647.03</u>	<u>\$219.99</u>	<u>\$0.00</u>	<u>\$219.99</u>

**ADJUSTMENTS**

15. SAI SIPC PE 1/5/18							-0.21
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-0.21</u>	<u>\$0.00</u>	<u>\$-0.21</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$219.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.21
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$219.78
Year-to-date Earnings	\$271.89