

COMMISSION STATEMENT July 15, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
06/20/2018	(None) NEWTON-TAINTER, DEBBIE	MF TOUCHSTONE FUNDS	B	500.00	11.25	0.00	11.25	0.0	0.00
06/30/2018	(None) VARIOUS, HOUSE	MF 8504AFRKD	B	0.00	2.50	0.00	2.50	0.0	0.00
07/02/2018	000001950778185 NJORGE,	MF TEMPLETON GROWTH FUND CLASS A	B	25.00	1.25	0.00	1.25	0.0	0.00
06/29/2018	00064738666 ROWLEY, GREGORY	MF FUNDAMENTAL INVESTORS-A	B	80.00	2.20	0.00	2.20	0.0	0.00
06/25/2018	00067001641 TAN-COLON, JOANA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	0.0	0.00
07/02/2018	00067292405 NEAL, GARIUS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
07/05/2018	00067884967 WEAVER, KEVIN	MF AMERICAN BALANCED FUND-A	B	500.00	21.25	0.00	21.25	0.0	0.00
07/05/2018	00068766456 COPE, MARTIN	MF AMERICAN BALANCED FUND-A	B	75.00	2.81	0.00	2.81	0.0	0.00
07/02/2018	00073079936 SHARP, CAMILLE	MF THE INCOME FUND OF AMERICA-529A	B	250.00	10.63	0.00	10.63	0.0	0.00
07/02/2018	00073674881 MAKENNA A	MF AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	0.0	0.00
07/02/2018	00073674881 MAKENNA A	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
07/05/2018	00075954308 ZARR, P	MF CAPITAL WORLD GROWTH AND	B	50.00	2.13	0.00	2.13	0.0	0.00
07/05/2018	00075954308 ZARR, P	MF THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF CAPITAL INCOME BUILDER-A	B	13.33	0.67	0.00	0.67	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF CAPITAL INCOME BUILDER-A	B	26.67	1.33	0.00	1.33	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF CAPITAL WORLD GROWTH AND INCOME	B	26.67	1.33	0.00	1.33	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF CAPITAL WORLD GROWTH AND INCOME	B	53.33	2.67	0.00	2.67	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF EUROPACIFIC GROWTH FUND-A	B	33.33	1.67	0.00	1.67	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF EUROPACIFIC GROWTH FUND-A	B	66.67	3.33	0.00	3.33	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF FUNDAMENTAL INVESTORS-A	B	26.67	1.33	0.00	1.33	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF FUNDAMENTAL INVESTORS-A	B	53.33	2.67	0.00	2.67	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF NEW PERSPECTIVE FUND-A	B	33.33	1.67	0.00	1.67	0.0	0.00
07/05/2018	00082022340 MOORE, TIM	MF NEW PERSPECTIVE FUND-A	B	66.67	3.33	0.00	3.33	0.0	0.00
06/27/2018	00083275074 GAYNOR, MICHELE	MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	0.0	0.00
07/02/2018	00084671701 NIBLOCK, LEANN	MF CAPITAL INCOME BUILDER-A	B	50.00	2.50	0.00	2.50	0.0	0.00
07/05/2018	06900197937 GOODWATER,	MF INVESCO AMERICAN FRANCHISE FUND	B	30.00	1.20	0.00	1.20	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$2,260.00	\$90.62	\$0.00	\$90.62		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/29/2018 (None)	VARIOUS, HOUSE	VA VOYA LIFE	B	0.00	367.84	0.00	367.84	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VA PACIIFIC LIFE	B	0.00	218.77	0.00	218.77	0.0	0.00
06/18/2018 00712821077	VARIOUS, HOUSE	VA HARTFORD LIFE	B	0.00	3.00	0.00	3.00	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$589.61	\$0.00	\$589.61		\$0.00
<u>PRODUCT CATEGORY: FIXED ANNUITIES</u>									
07/01/2018 (None)	NEWTON, HENRY	FA ALLIANZ FIA	B	0.00	34.31	0.00	34.31	0.0	0.00
* FIXED ANNUITIES SUBTOTAL *				\$0.00	\$34.31	\$0.00	\$34.31		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018 (None)	VARIOUS, HOUSE	MFT API	B	0.00	25.74	0.00	25.74	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	MFT OPPENHEIMER	B	0.00	234.52	0.00	234.52	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	MFT OPPENHEIMER	B	0.00	4.11	0.00	4.11	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	3.23	0.00	3.23	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	92.19	0.00	92.19	0.0	0.00
07/01/2018 00000515197	PEDERSEN UTMA/MO,	MFT AIM EUROPEAN SMALL COMPANY FUND	B	0.00	1.87	0.00	1.87	0.0	0.00
07/01/2018 00000621995	WALDROP, SUSAN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.23	0.00	0.23	0.0	0.00
07/09/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	5.21	0.00	5.21	0.0	0.00
07/09/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY ADVISOR FUNDS	B	0.00	9.80	0.00	9.80	0.0	0.00
07/09/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	24.97	0.00	24.97	0.0	0.00
07/09/2018 00006676996	FOURNIER, MICHAEL	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.08	0.00	0.08	0.0	0.00
07/09/2018 00006848293	GOODRICH, GARY	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	3.05	0.00	3.05	0.0	0.00
07/09/2018 00006848297	GRIFFIN, BRADLEY	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	1.85	0.00	1.85	0.0	0.00
07/09/2018 00007016914	HARRISON, NEKOLA	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	1.60	0.00	1.60	0.0	0.00
07/01/2018 00008013159	ROBERT ARMBRUST &	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	1.18	0.00	1.18	0.0	0.00
07/09/2018 00009044594	F ELAINE MORGAN,	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	1.87	0.00	1.87	0.0	0.00
07/02/2018 00014356942	JOHNSON, MARTHA	MFT PUTNAM CALIFORNIA TAX-EXEMPT	B	0.00	18.64	0.00	18.64	0.0	0.00
07/02/2018 00014356942	JOHNSON, MARTHA	MFT PUTNAM CALIFORNIA TAX-EXEMPT	B	0.00	4.92	0.00	4.92	0.0	0.00
07/02/2018 00022148888	MITCHELL K POLON	MFT PUTNAM 746476100	B	0.00	12.42	0.00	12.42	0.0	0.00
07/01/2018 00060408637	DEPAOLO, RINALDO	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	5.44	0.00	5.44	0.0	0.00
07/01/2018 00060417918	HEUP, MICHAEL	MFT MFS NEW DISCOVERY FUND-A	B	0.00	51.23	0.00	51.23	0.0	0.00
07/01/2018 00060428524	FULLEM, R	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	47.93	0.00	47.93	0.0	0.00
07/01/2018 00060436115	PUDNEY, CHERYL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	7.01	0.00	7.01	0.0	0.00
07/01/2018 00060475060	PINKHAM, TIMOTHY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	12.34	0.00	12.34	0.0	0.00
07/01/2018 00060475061	PINKHAM,	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	14.37	0.00	14.37	0.0	0.00
07/01/2018 00060499852	MOORE, SHELLY	MFT MFS GLOBAL EQUITY FUND-A	B	0.00	4.13	0.00	4.13	0.0	0.00
07/01/2018 00060509558	DELEON, MICHELE	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	2.36	0.00	2.36	0.0	0.00
07/01/2018 00060532225	RODRIGUEZ,	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.41	0.00	0.41	0.0	0.00
07/01/2018 00060532993	RODRIGUEZ,	MFT MASS INVESTORS GROWTH STOCK	B	0.00	3.12	0.00	3.12	0.0	0.00
07/01/2018 00060534871	RODRIGUEZ,	MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.07	0.00	1.07	0.0	0.00
07/01/2018 00060534983	RODRIGUEZ,	MFT MFS INTERNATIONAL GROWTH FUND-A	B	0.00	1.80	0.00	1.80	0.0	0.00
07/01/2018 00060535007	RODRIGUEZ,	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	0.51	0.00	0.51	0.0	0.00
07/01/2018 00060549692	FOSTER, BLAINE	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	0.65	0.00	0.65	0.0	0.00

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07/01/2018 00060550012	FOSTER, BLAINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.22	0.00	0.22	0.0	0.00
07/01/2018 00060577526	ALMQUIST, DIANA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	2.65	0.00	2.65	0.0	0.00
07/01/2018 00060577786	ALMQUIST, DIANA	MFT MFS VALUE FUND-A	B	0.00	2.72	0.00	2.72	0.0	0.00
07/01/2018 00060579121	ALMQUIST, KELLY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	1.16	0.00	1.16	0.0	0.00
07/01/2018 00060579273	ALMQUIST, KELLY	MFT MFS VALUE FUND-A	B	0.00	1.16	0.00	1.16	0.0	0.00
07/01/2018 00060651094	RODRIGUEZ,	MFT MFS TOTAL RETURN FUND-A	B	0.00	2.82	0.00	2.82	0.0	0.00
07/01/2018 00060656537	DEVINE, MARC	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.89	0.00	0.89	0.0	0.00
07/01/2018 00060661767	MORELAND,	MFT MFS TECHNOLOGY FUND-A	B	0.00	0.98	0.00	0.98	0.0	0.00
07/09/2018 00062732631	OLIVER, GEORGE	MFT FIDELITY ADV GROWTH OPPORT CL T B	B	0.00	8.13	0.00	8.13	0.0	0.00
07/09/2018 00063584528	PERKINS, THOMAS	MFT FIDELITY ADV GROWTH OPPORT CL T B	B	0.00	19.05	0.00	19.05	0.0	0.00
07/09/2018 00063619399	RALSTON, ROB	MFT FIDELITY ADV GROWTH OPPORT CL T B	B	0.00	5.33	0.00	5.33	0.0	0.00
07/01/2018 00090004347	CALDCLEUGH, JOHN	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	133.03	0.00	133.03	0.0	0.00
07/01/2018 00090006326	DENNIS, PAULA	MFT AIM INTERMEDIATE GOVERNMENT FD B	B	0.00	10.05	0.00	10.05	0.0	0.00
07/01/2018 00090046071	MESSINGER, GARY	MFT INVESCO AMERICAN FRANCHISE FUND B	B	0.00	33.52	0.00	33.52	0.0	0.00
07/02/2018 00093151042	CARLOS YAP CUST,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.76	0.00	1.76	0.0	0.00
07/02/2018 00093151279	CARLOS YAP CUST,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.68	0.00	1.68	0.0	0.00
07/02/2018 00094966506	WEATHERSPOON,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	4.18	0.00	4.18	0.0	0.00
07/02/2018 00291213411	GEORGE,	MFT PUTNAM 746802206	B	0.00	0.10	0.00	0.10	0.0	0.00
07/02/2018 00291213411	GEORGE,	MFT PUTNAM 746802859	B	0.00	0.11	0.00	0.11	0.0	0.00
07/02/2018 00291213411	GEORGE,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.11	0.00	0.11	0.0	0.00
07/02/2018 00336243323	BLAINE T FRENCH	MFT PUTNAM 746802800	B	0.00	0.10	0.00	0.10	0.0	0.00
07/02/2018 00343042632	ESA, COVERDELL	MFT PUTNAM 746802800	B	0.00	0.30	0.00	0.30	0.0	0.00
07/02/2018 00343042632	ESA, COVERDELL	MFT PUTNAM 746802859	B	0.00	0.84	0.00	0.84	0.0	0.00
07/02/2018 00371687209	JOHN C CHRIST	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	4.90	0.00	4.90	0.0	0.00
07/02/2018 00388809111	KATHLEEN E WILCOX	MFT PUTNAM 746444108	B	0.00	15.70	0.00	15.70	0.0	0.00
07/02/2018 00436535614	OLIVER H CONRAD	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	3.13	0.00	3.13	0.0	0.00
07/02/2018 00480896828	WYCKOFF, BARBARA	MFT PUTNAM 746870104	B	0.00	30.52	0.00	30.52	0.0	0.00
07/02/2018 00480896828	WYCKOFF, BARBARA	MFT PUTNAM 746870104	B	0.00	10.75	0.00	10.75	0.0	0.00
07/09/2018 00498739796	PARRETT, PAUL	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.83	0.00	0.83	0.0	0.00
07/09/2018 00498739796	PARRETT, PAUL	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.49	0.00	0.49	0.0	0.00
07/09/2018 00498943216	HOLDEN, DESIREE	MFT FIDELITY ADV EQUITY GROWTH CL A B	B	0.00	0.04	0.00	0.04	0.0	0.00
07/09/2018 00499980803	RANDALL, RAELENE	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.79	0.00	1.79	0.0	0.00
07/02/2018 00502014249	CAROLYN A	MFT PUTNAM 74680E101	B	0.00	2.62	0.00	2.62	0.0	0.00
07/02/2018 00502014249	CAROLYN A	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	3.55	0.00	3.55	0.0	0.00
07/02/2018 00503892870	SHILES, JULIE	MFT PUTNAM 746745108	B	0.00	4.14	0.00	4.14	0.0	0.00
07/02/2018 00503892870	SHILES, JULIE	MFT PUTNAM 746802800	B	0.00	3.09	0.00	3.09	0.0	0.00
07/02/2018 00503892870	SHILES, JULIE	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	5.53	0.00	5.53	0.0	0.00
07/09/2018 00522359421	GREEN, ROBYN	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.74	0.00	0.74	0.0	0.00
07/09/2018 00528356074	LONG, SHAWN	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	6.76	0.00	6.76	0.0	0.00
07/09/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	9.02	0.00	9.02	0.0	0.00
07/09/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADVISOR GROWTH &	B	0.00	32.74	0.00	32.74	0.0	0.00
07/09/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	58.49	0.00	58.49	0.0	0.00
07/09/2018 00537011470	SWANGO, BRENDA	MFT FIDELITY ADV EUROPE FUND	B	0.00	16.09	0.00	16.09	0.0	0.00
07/02/2018 00550992724	SHERRY WATKINS	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.16	0.00	0.16	0.0	0.00

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07/02/2018 00550994638	RAINEY, BENJAMIN	MFT PUTNAM 746476100	B	0.00	1.87	0.00	1.87	0.0	0.00
07/02/2018 00550994638	RAINEY, BENJAMIN	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.13	0.00	1.13	0.0	0.00
07/02/2018 00550999115	SHERRY WATKINS	MFT PUTNAM 746745108	B	0.00	0.17	0.00	0.17	0.0	0.00
07/01/2018 00660001401	ROBERT ARMBRUST &	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	7.29	0.00	7.29	0.0	0.00
07/01/2018 00660199086	BAKER, ISABEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	21.31	0.00	21.31	0.0	0.00
07/01/2018 00660279542	PROFIT SHARING	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.14	0.00	0.14	0.0	0.00
07/01/2018 00660379849	HAMMER, SUSAN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	23.67	0.00	23.67	0.0	0.00
07/01/2018 00660433734	MARTINSON, HELWIG	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.85	0.00	22.85	0.0	0.00
07/01/2018 00660433903	MYLER, JOSEPHINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	78.35	0.00	78.35	0.0	0.00
07/01/2018 00670120596	MARTINEZ, MELANIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	17.42	0.00	17.42	0.0	0.00
07/01/2018 00670131517	JONES, JOHN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	33.16	0.00	33.16	0.0	0.00
07/01/2018 00670561400	LOUIS JT TEN,	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	4.95	0.00	4.95	0.0	0.00
07/01/2018 00670572497	GWENDOLYN HERNDON	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.47	0.00	0.47	0.0	0.00
07/01/2018 00670631766	KESSARIS, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	34.60	0.00	34.60	0.0	0.00
07/01/2018 00671595505	RUDDICK, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	33.04	0.00	33.04	0.0	0.00
07/01/2018 00671980725	SAN JUAN, BENJIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.14	0.00	1.14	0.0	0.00
07/01/2018 00672008246	JIMERSON, RONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.83	0.00	0.83	0.0	0.00
07/01/2018 00672244859	SHARON D RHEA,	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	4.21	0.00	4.21	0.0	0.00
07/01/2018 00672244859	SHARON D RHEA,	MFT INVESCO SMALL CAP VALUE FUND	B	0.00	8.73	0.00	8.73	0.0	0.00
07/09/2018 00689570729	HARRIS, SUN	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	2.16	0.00	2.16	0.0	0.00
07/09/2018 00689570729	HARRIS, SUN	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.51	0.00	1.51	0.0	0.00
07/09/2018 00689576221	TRUMMERT, BRENT	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	13.04	0.00	13.04	0.0	0.00
07/09/2018 00689576221	TRUMMERT, BRENT	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	9.14	0.00	9.14	0.0	0.00
07/09/2018 00689576221	TRUMMERT, BRENT	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	6.81	0.00	6.81	0.0	0.00
07/09/2018 00689932366	DAVENPORT,	MFT FIDELITY ADV UTILITIES CL A	B	0.00	2.89	0.00	2.89	0.0	0.00
07/09/2018 00689932366	DAVENPORT,	MFT FIDELITY ADV EQUITY GROWTH CL A	B	0.00	6.34	0.00	6.34	0.0	0.00
07/09/2018 00689932366	DAVENPORT,	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	4.90	0.00	4.90	0.0	0.00
07/09/2018 00700369093	DONLEY, PATRICK	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	16.34	0.00	16.34	0.0	0.00
07/09/2018 00700369093	DONLEY, PATRICK	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	4.23	0.00	4.23	0.0	0.00
07/09/2018 00700497290	ESTEP, CHRISTINE	MFT FIDELITY ADV STOCK SEL ALL CAP	B	0.00	2.89	0.00	2.89	0.0	0.00
07/09/2018 00700950298	ROBERT B	MFT FA 529 COLLEGE PORT OLD CL A	B	0.00	6.34	0.00	6.34	0.0	0.00
07/03/2018 00902154485	COLEMAN JT TEN	MFT PIONEER FUND A	B	0.00	5.67	0.00	5.67	0.0	0.00
07/03/2018 00957551520	CATHERINE HATCHER	MFT PIONEER VALUE FUND A	B	0.00	12.25	0.00	12.25	0.0	0.00
07/03/2018 00958527106	ROBERTSON, MARVIN	MFT PIONEER VALUE FUND A	B	0.00	4.52	0.00	4.52	0.0	0.00
07/01/2018 01000160263	PEDERSEN, ANGELA	MFT AIM EUROPEAN SMALL COMPANY FUND	B	0.00	13.96	0.00	13.96	0.0	0.00
07/09/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.27	0.00	0.27	0.0	0.00
07/09/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.83	0.00	0.83	0.0	0.00
07/09/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	0.40	0.00	0.40	0.0	0.00
07/09/2018 01439697960	TAYLOR, KRISTEN	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.06	0.00	1.06	0.0	0.00
07/09/2018 01488423474	ROBERT B	MFT FA 529 COLLEGE PORT OLD CL A	B	0.00	0.09	0.00	0.09	0.0	0.00
07/02/2018 01700022483	MARK A PLANEY	MFT PUTNAM 746745108	B	0.00	5.24	0.00	5.24	0.0	0.00
07/02/2018 01700022483	MARK A PLANEY	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	12.61	0.00	12.61	0.0	0.00
07/02/2018 01700022483	MARK A PLANEY	MFT PUTNAM ABSOLUTE RETURN 100-A	B	0.00	9.14	0.00	9.14	0.0	0.00
07/02/2018 01700022483	MARK A PLANEY	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	5.94	0.00	5.94	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/01/2018 03910267768	PHILLIPS, MATTHEW	MFT AIM CHARTER FUND - CLASS A	B	0.00	31.38	0.00	31.38	0.0	0.00
07/01/2018 03910267776	PHILLIPS, MATTHEW	MFT AIM SMALL CAP GROWTH FUND -	B	0.00	53.04	0.00	53.04	0.0	0.00
07/01/2018 03910377153	MCCARTNEY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.22	0.00	3.22	0.0	0.00
07/01/2018 03910392665	GUERRA UTMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	15.76	0.00	15.76	0.0	0.00
07/01/2018 04027244005	PARKER, NICOLE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.56	0.00	2.56	0.0	0.00
07/01/2018 04029042472	WETHERELL, JACK	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.07	0.00	0.07	0.0	0.00
07/01/2018 04030150553	FRAZIER UGMA/OH,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.75	0.00	4.75	0.0	0.00
07/01/2018 04030271060	RIOS, ANTONIO	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.29	0.00	4.29	0.0	0.00
07/01/2018 04039223476	TRAPP, ELLEN	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	1.84	0.00	1.84	0.0	0.00
07/01/2018 04040672778	GUERRA, YVETTE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	15.76	0.00	15.76	0.0	0.00
07/01/2018 04400055004	NANCARROW, PEARL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.02	0.00	4.02	0.0	0.00
07/01/2018 05070731830	TRAPP, ELLEN	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	1.62	0.00	1.62	0.0	0.00
07/01/2018 06605551073	MONFILS JT TEN,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	113.85	0.00	113.85	0.0	0.00
07/01/2018 06605551582	AUNE, JAMES	MFT AIM GOLD & PRECIOUS METALS FD -	B	0.00	2.74	0.00	2.74	0.0	0.00
07/01/2018 06605551582	AUNE, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.91	0.00	4.91	0.0	0.00
07/01/2018 06605551582	AUNE, JAMES	MFT INVESCO GROWTH AND INCOME FUND	B	0.00	7.02	0.00	7.02	0.0	0.00
07/01/2018 06605551582	AUNE, JAMES	MFT INVESCO S&P 500 INDEX FUND -	B	0.00	7.83	0.00	7.83	0.0	0.00
07/01/2018 06700354795	GONZALEZ, ANA	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	0.26	0.00	0.26	0.0	0.00
07/01/2018 06900187019	LOUGH, MICHAEL	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	3.49	0.00	3.49	0.0	0.00
07/01/2018 06900190172	SUESS, DAVID	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.63	0.00	5.63	0.0	0.00
07/01/2018 06900193609	JIMERSON, RONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.02	0.00	1.02	0.0	0.00
07/01/2018 06900196025	RILEY, JENNIFER	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.05	0.00	6.05	0.0	0.00
07/01/2018 06900196117	DAVENPORT,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.47	0.00	8.47	0.0	0.00
07/01/2018 06900196118	DAVENPORT, RENZY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.92	0.00	4.92	0.0	0.00
07/01/2018 06900197937	GOODWATER,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	32.97	0.00	32.97	0.0	0.00
07/01/2018 06900198501	STEVEN R CAZALES,	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	2.65	0.00	2.65	0.0	0.00
07/01/2018 06900198598	STEVEN R CAZALES,	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.74	0.00	0.74	0.0	0.00
07/01/2018 06900201788	RUSTEBAKKE,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.12	0.00	1.12	0.0	0.00
07/01/2018 06900203494	CASE, SOPHIA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.78	0.00	0.78	0.0	0.00
07/01/2018 06900208080	HEISSENBUTTEL,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.82	0.00	3.82	0.0	0.00
07/01/2018 06900208392	FISSELL, SHAWN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.58	0.00	1.58	0.0	0.00
07/01/2018 07000115894	BROWN UGMA TX,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	11.73	0.00	11.73	0.0	0.00
07/01/2018 08182639204	ANDERSON, MARK	MFT MFS TOTAL RETURN FUND-A	B	0.00	32.54	0.00	32.54	0.0	0.00
07/01/2018 08187040701	MORELAND,	MFT MFS TECHNOLOGY FUND-C	B	0.00	1.17	0.00	1.17	0.0	0.00
07/01/2018 08187485136	HEUP, MICHAEL	MFT MFS NEW DISCOVERY FUND-B	B	0.00	1.43	0.00	1.43	0.0	0.00
07/01/2018 08187488863	DEPAOLO, RINALDO	MFT MASSACHUSETTS INVESTORS TRUST-B	B	0.00	0.78	0.00	0.78	0.0	0.00
07/01/2018 08188090075	BROWN DDS SARSEP	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	7.09	0.00	7.09	0.0	0.00
07/01/2018 08188411218	RODRIGUEZ,	MFT MFS TOTAL RETURN FUND-C	B	0.00	3.59	0.00	3.59	0.0	0.00
07/01/2018 08188661683	LITTLETON, CLOVER	MFT MFS VALUE FUND-A	B	0.00	2.64	0.00	2.64	0.0	0.00
07/01/2018 08188661687	LITTLETON, CLOVER	MFT MFS CORE EQUITY FUND-A	B	0.00	1.93	0.00	1.93	0.0	0.00
07/01/2018 08188661691	LITTLETON, CLOVER	MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.47	0.00	1.47	0.0	0.00
07/01/2018 08189572800	KEMP, BOB	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	4.42	0.00	4.42	0.0	0.00
07/01/2018 08189572804	KEMP, BOB	MFT MFS RESEARCH FUND-A	B	0.00	4.58	0.00	4.58	0.0	0.00
07/01/2018 08191086186	DEVINE, MARC	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	1.14	0.00	1.14	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/01/2018 08191219059	SOMERS, ELLEN	MFT MFS GROWTH FUND-C	B	0.00	47.69	0.00	47.69	0.0	0.00
07/01/2018 08191219059	SOMERS, ELLEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	46.64	0.00	46.64	0.0	0.00
07/01/2018 08191219059	SOMERS, ELLEN	MFT MFS UTILITIES FUND-C	B	0.00	30.48	0.00	30.48	0.0	0.00
07/01/2018 08191239885	GRIFFITH, EARL	MFT MFS GROWTH FUND-C	B	0.00	12.62	0.00	12.62	0.0	0.00
07/01/2018 08191239885	GRIFFITH, EARL	MFT MFS MID CAP VALUE FUND-C	B	0.00	10.14	0.00	10.14	0.0	0.00
07/01/2018 08191239885	GRIFFITH, EARL	MFT MFS TECHNOLOGY FUND-C	B	0.00	15.17	0.00	15.17	0.0	0.00
07/01/2018 08191239887	GRIFFITH,	MFT MFS GROWTH FUND-C	B	0.00	12.05	0.00	12.05	0.0	0.00
07/01/2018 08191239887	GRIFFITH,	MFT MFS MID CAP VALUE FUND-C	B	0.00	10.03	0.00	10.03	0.0	0.00
07/01/2018 08800124310	CARLMAN, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.40	0.00	22.40	0.0	0.00
07/01/2018 09890042052	DWYER, KATHI	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	15.71	0.00	15.71	0.0	0.00
07/01/2018 09890045666	STEWART, JAIME	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.56	0.00	0.56	0.0	0.00
07/01/2018 09890060920	SHEAD, CAROLYN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.68	0.00	0.68	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,132.56	\$0.00	\$2,132.56		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/23/2018 (None)	ANDRUS, ELAINE	VAT AMERICAN GENERAL	B	0.00	18.21	0.00	18.21	0.0	0.00
06/27/2018 (None)	VARIOUS, HOUSE	VAT TRANSAMERICA PREMIER	B	0.00	89.19	0.00	89.19	0.0	0.00
06/30/2018 (None)	EIGNUS, CATHERINE	VAT ALLIANZ LIFE	B	0.00	17.86	0.00	17.86	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT ALLSTATE	B	0.00	22.03	0.00	22.03	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT AXA LIFE	B	0.00	523.69	0.00	523.69	0.0	0.00
06/30/2018 (None)	VAN DER RAAY, JAMES	VAT HARTFORD LIFE	B	0.00	42.80	0.00	42.80	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT HARTFORD LIFE	B	0.00	66.33	0.00	66.33	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT HARTFORD LIFE	B	0.00	34.68	0.00	34.68	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT HARTFORD LIFE	B	0.00	1,092.02	0.00	1,092.02	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT HARTFORD LIFE	B	0.00	29.56	0.00	29.56	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	139.93	0.00	139.93	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	58.78	0.00	58.78	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	124.84	0.00	124.84	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	511.59	0.00	511.59	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	88.65	0.00	88.65	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT MANULIFE	B	0.00	552.55	0.00	552.55	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	455.60	0.00	455.60	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	14.99	0.00	14.99	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	6.69	0.00	6.69	0.0	0.00
06/30/2018 (None)	THOMPSON, CINDY	VAT PRUDENTIAL LIFE	B	0.00	608.02	0.00	608.02	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	623.90	0.00	623.90	0.0	0.00
06/30/2018 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	1,166.88	0.00	1,166.88	0.0	0.00
07/05/2018 (None)	ARROYO, YUN	VAT AMERICAN GENERAL	B	0.00	5.25	0.00	5.25	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$6,294.04	\$0.00	\$6,294.04		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$2,260.00	\$9,141.14	\$0.00	\$9,141.14		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00