



COMMISSION STATEMENT

January 29, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	***-**-19IN - BLUMEN, IAN ACCOUNT #: 05066338392	AIM SMALL CAP GROWTH FUND - CLASS A BUY 1 Units @40.5900	25.00	1.25	0.00	1.25	75.0	0.94
* MUTUAL FUNDS SUBTOTAL *			<u>\$25.00</u>	<u>\$1.25</u>	<u>\$0.00</u>	<u>\$1.25</u>		<u>\$0.94</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	75.0	0.37
01/29/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	75.0	0.12
01/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.33	0.00	1.33	75.0	1.00
01/29/2018	***-**-955P - VICARI,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	75.0	0.92
01/29/2018	***-**-9623 - SELKIN, ARTHUR	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	75.0	0.59
01/29/2018	***-**-690I - LEIFER, JONATHAN	VICTORY TRAILS BUY 0 Units @0.0000	0.00	68.85	0.00	68.85	75.0	51.64
01/29/2018	***-**-17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	75.0	0.94
01/29/2018	***-**-067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$74.09</u>	<u>\$0.00</u>	<u>\$74.09</u>		<u>\$55.59</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$25.00</u>	<u>\$75.34</u>	<u>\$0.00</u>	<u>\$75.34</u>		<u>\$56.53</u>



COMMISSION STATEMENT

January 29, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$56.53
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$56.53
Year-to-date Earnings								\$3,186.02