



COMMISSION STATEMENT

April 16, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u> | | | | | | | | |
| 04/13/2018 | ***-**-05IN - SREEKUMAR, | GRIFFIN AMERICAN HEALTH CARE REIT BUY 0 Units @0.0000 | 10,000.00 | 150.00 | 0.00 | 150.00 | 90.0 | 135.00 |
| | | * ALTERNATIVE INVESTMENTS SUBTOTAL * | \$10,000.00 | \$150.00 | \$0.00 | \$150.00 | | \$135.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/11/2018 | ***-**-1182 - WATSON ACCOUNT #: LSR106160 | EUROPACIFIC GROWTH FUND-A BUY 20 Units @60.1400 | 1,199.97 | 25.50 | 9.00 | 16.50 | 90.0 | 14.85 |
| 04/11/2018 | ***-**-2838 - WATSON ACCOUNT #: LSR106100 | GLOBAL FUND A BUY 10 Units @102.1900 | 1,000.03 | 23.75 | 9.00 | 14.75 | 90.0 | 13.28 |
| 04/11/2018 | ***-**-9481 - WATSON ACCOUNT #: LSR106135 | GLOBAL FUND A BUY 10 Units @102.1900 | 1,000.03 | 23.75 | 9.00 | 14.75 | 90.0 | 13.28 |
| 04/11/2018 | ***-**-1182 - WATSON ACCOUNT #: LSR106160 | NEW PERSPECTIVE FUND-A BUY 26 Units @46.6800 | 1,200.00 | 25.50 | 9.00 | 16.50 | 90.0 | 14.85 |
| 04/11/2018 | ***-**-18IN - WATSON ACCOUNT #: LSR010183 | RESOURCE CREDIT INCOME FUND C BUY 376 Units @11.1600 | 4,200.00 | 21.00 | 9.00 | 12.00 | 90.0 | 10.80 |
| 04/11/2018 | ***-**-75IN - WATSON, SANDRA ACCOUNT #: LSR011049 | RESOURCE CREDIT INCOME FUND C BUY 986 Units @11.1600 | 11,000.00 | 55.00 | 9.00 | 46.00 | 90.0 | 41.40 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$19,600.03 | \$174.50 | \$54.00 | \$120.50 | | \$108.46 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 04/11/2018 | ***-**-1623 - BECCE, MARIA ACCOUNT #: LSR101028 | CELGENE CORP SELL 19 Units @89.3000 | 1,696.70 | 27.00 | 9.00 | 18.00 | 90.0 | 16.20 |
| 04/11/2018 | ***-**-1623 - BECCE, MARIA ACCOUNT #: LSR101028 | ETF SER SOLUTIONS LONCAR CANCER BUY 100 Units @28.0720 | 2,807.20 | 27.00 | 8.50 | 18.50 | 90.0 | 16.65 |
| | | * STOCKS SUBTOTAL * | \$4,503.90 | \$54.00 | \$17.50 | \$36.50 | | \$32.85 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 04/10/2018 | ***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820 | LINCOLN FIN VA 533786166 BUY 0 Units @0.0000 | 1,611.99 | 80.60 | 0.00 | 80.60 | 90.0 | 72.54 |
| 04/10/2018 | ***-**-08TR - WEITMAN TTEE, ACCOUNT #: 4892820 | LINCOLN FIN VA 533786166 BUY 0 Units @0.0000 | 1,611.99 | 80.60 | 0.00 | 80.60 | 90.0 | 72.54 |
| 04/12/2018 | ***-**-809I - YANKILEVICH, ACCOUNT #: VP65924490 | PACIFIC LIFE EXCE 5A DTCC BUY 0 Units @0.0000 | 350.00 | 3.50 | 0.00 | 3.50 | 90.0 | 3.15 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITIES (continued) | | | | | | | | |
| 04/09/2018 | ***-**-8240 - CHOWDHURY, NAHID | PACIFIC LIFE EXEC II DTCC | 350.00 | 1.75 | 0.00 | 1.75 | 90.0 | 1.58 |
| | ACCOUNT #: VP65523640 | BUY 0 Units @0.0000 | | | | | | |
| 04/09/2018 | ***-**-8240 - CHOWDHURY, NAHID | PACIFIC LIFE EXEC II DTCC | 350.00 | 1.75 | 0.00 | 1.75 | 90.0 | 1.58 |
| | ACCOUNT #: VP65523640 | BUY 0 Units @0.0000 | | | | | | |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$4,273.98 | \$168.20 | \$0.00 | \$168.20 | | \$151.39 |
| PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS | | | | | | | | |
| 04/11/2018 | ***-**-018I - WATSON, BURTON | AGNC INVT CORP COM UNSOLICITED ORDE | 1,879.90 | 27.00 | 12.00 | 15.00 | 90.0 | 13.50 |
| | ACCOUNT #: LSR105902 | BUY 100 Units @18.7990 | | | | | | |
| * UNIT INVESTMENT TRUSTS SUBTOTAL * | | | \$1,879.90 | \$27.00 | \$12.00 | \$15.00 | | \$13.50 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 04/16/2018 | ***-**-4673 - PEPE, JOANN | ABERDEEN US EQUITY I FUND CLASS A | 0.00 | 3.54 | 0.00 | 3.54 | 90.0 | 3.19 |
| | ACCOUNT #: LSR105562 | BUY 1 Units @0.0000 | | | | | | |
| 04/16/2018 | ***-**-8826 - LAFRANCE, | ALLIANZ NFJ DIVIDEND VALUE FUND CLA | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| | ACCOUNT #: LSR100145 | BUY 1 Units @0.0000 | | | | | | |
| 04/01/2018 | ***-**-443P - SIEGEL, JILL | INVESCO STRUCTURED CORE FUND -CLASS | 0.00 | 2.19 | 0.00 | 2.19 | 90.0 | 1.97 |
| | ACCOUNT #: 05037382511 | BUY 0 Units @0.0000 | | | | | | |
| 03/31/2018 | ***-**-66IN - COHEN, ESTA | OPPENHEIMER STRATEGIC INCOME FD CL | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| | ACCOUNT #: 02802133395 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$6.62 | \$0.00 | \$6.62 | | \$5.96 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$40,257.81 | \$580.32 | \$83.50 | \$496.82 | | \$447.16 |

SPLIT TRANSACTIONS

BROKER: RICHARD PASCALE

| | | | | | | | | |
|------------|-------------------------------|-------------------------------------|------|------|------|------|------|------|
| 04/01/2018 | ***-**-728I - GOMES, FLORBELA | AIM MODERATE ALLOCATION - CLASS A | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| | ACCOUNT #: 02500057319 | BUY 0 Units @0.0000 | | | | | | |
| 04/16/2018 | ***-**-1593 - CUSTODIAN, JOHN | ALLIANZ NFJ DIVIDEND VALUE FUND CLA | 0.00 | 0.40 | 0.00 | 0.40 | 90.0 | 0.36 |
| | ACCOUNT #: LSR101435 | BUY 1 Units @0.0000 | | | | | | |
| 04/16/2018 | ***-**-0664 - CUSTODIAN, JOHN | ALLIANZ NFJ DIVIDEND VALUE FUND CLA | 0.00 | 0.35 | 0.00 | 0.35 | 90.0 | 0.32 |
| | ACCOUNT #: LSR101443 | BUY 1 Units @0.0000 | | | | | | |



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| <u>SPLIT TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RICHARD PASCALE (continued)</u> | | | | | | | | |
| 04/16/2018 | ***-**-8546 - KALANTZOPOULOS, ACCOUNT #: LSR101451 | ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000 | 0.00 | 2.00 | 0.00 | 2.00 | 90.0 | 1.80 |
| 04/16/2018 | ***-**-3854 - TAYLOR, KATHLEEN ACCOUNT #: LSR103144 | ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000 | 0.00 | 4.77 | 0.00 | 4.77 | 90.0 | 4.29 |
| 04/16/2018 | ***-**-9101 - PASCALE, DEBRA ACCOUNT #: LSR107220 | ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000 | 0.00 | 2.35 | 0.00 | 2.35 | 90.0 | 2.12 |
| 04/16/2018 | ***-**-64IN - KALANTZOPOULOS, ACCOUNT #: LSR012577 | ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000 | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 04/16/2018 | ***-**-93IN - KALANTZOPOULOS, ACCOUNT #: LSR012578 | ALLIANZ NFJ DIVIDEND VALUE FUND CLA BUY 1 Units @0.0000 | 0.00 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 04/16/2018 | ***-**-9101 - PASCALE, DEBRA ACCOUNT #: LSR107220 | ALLIANZ RCM GLOBAL SMALL-CAP FUND C BUY 1 Units @0.0000 | 0.00 | 2.73 | 0.00 | 2.73 | 90.0 | 2.46 |
| 03/31/2018 | ***-**-28RI - MORMINO, STEVEN ACCOUNT #: 03203227719 | CAPITAL APPRECIATION A BUY 0 Units @0.0000 | 0.00 | 4.78 | 0.00 | 4.78 | 90.0 | 4.30 |
| 04/05/2018 | ***-**-7975 - VAGLIO, JOSEPH ACCOUNT #: 00701694614 | FA 529 COLLEGE PORT-CL C BUY 16 Units @15.2900 | 250.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 04/01/2018 | ***-**-728I - GOMES, FLORBELA ACCOUNT #: 02500057319 | INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000 | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 04/01/2018 | ***-**-728I - GOMES, FLORBELA ACCOUNT #: 02500057319 | INVESCO INCOME ALLOCATION FUND - CL BUY 0 Units @0.0000 | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 03/31/2018 | ***-**-28RI - MORMINO, STEVEN ACCOUNT #: 07000187368 | MAIN STREET FUND A BUY 0 Units @0.0000 | 0.00 | 5.36 | 0.00 | 5.36 | 90.0 | 4.82 |
| 04/16/2018 | ***-**-1729 - PRICE, LOUIS | NATIXIS FUNDS TRAILS BUY 0 Units @0.0000 | 0.00 | 4.99 | 0.00 | 4.99 | 90.0 | 4.49 |
| 04/09/2018 | ***-**-0205 - RESK, 10 ACCOUNT #: VP71054550 | PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000 | 91.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 04/09/2018 | ***-**-0205 - RESK, 10 ACCOUNT #: VP71054550 | PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000 | 9.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 04/11/2018 | ***-**-285P - MORMINO, STEVE ACCOUNT #: VP71053490 | PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000 | 181.67 | 1.82 | 0.00 | 1.82 | 90.0 | 1.64 |
| 04/12/2018 | ***-**-1539 - SPADARO, VICTOR ACCOUNT #: VP71039250 | PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000 | 400.00 | 2.00 | 0.00 | 2.00 | 90.0 | 1.80 |



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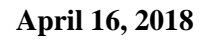
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| <u>SPLIT TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: RICHARD PASCALE (continued)</u> | | | | | | | | |
| 03/31/2018 | ***-**-285P - MORMINO, STEVE | REAL ESTATE FUND A | 0.00 | 3.41 | 0.00 | 3.41 | 90.0 | 3.07 |
| | ACCOUNT #: 05901086316 | BUY 0 Units @0.0000 | | | | | | |
| 04/16/2018 | ***-**-451I - LUCCHI, PAUL | SAMMONS MF TRAILS | 0.00 | 2.61 | 0.00 | 2.61 | 90.0 | 2.35 |
| | ACCOUNT #: 7200003233 | BUY 0 Units @0.0000 | | | | | | |
| 04/16/2018 | ***-**-971I - MEEHAN, JULIE | SAMMONS MF TRAILS | 0.00 | 5.08 | 0.00 | 5.08 | 90.0 | 4.57 |
| | ACCOUNT #: 7200009839 | BUY 0 Units @0.0000 | | | | | | |
| * RICHARD PASCALE SUBTOTAL * | | | \$931.67 | \$45.83 | \$0.00 | \$45.83 | | \$41.25 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$931.67 | \$45.83 | \$0.00 | \$45.83 | | \$41.25 |

| | | | | | | | | |
|---------------------------------------|------------------------------|-------------------------------------|--------|----------|--------|----------|-----|---------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: ALAN FISHMAN</u> | | | | | | | | |
| 04/01/2018 | ***-**-305P - BLUMEN, ROBERT | AIM SMALL CAP GROWTH FUND - CLASS A | 0.00 | 113.89 | 0.00 | 113.89 | 7.5 | 8.54 |
| | ACCOUNT #: 05056135477 | BUY 0 Units @0.0000 | | | | | | |
| 04/01/2018 | ***-**-19IN - BLUMEN, IAN | AIM SMALL CAP GROWTH FUND - CLASS A | 0.00 | 7.76 | 0.00 | 7.76 | 7.5 | 0.58 |
| | ACCOUNT #: 05066338392 | BUY 0 Units @0.0000 | | | | | | |
| 03/31/2018 | ***-**-427I - FISHMAN, ALAN | INTERNATIONAL SMALL COMPANY A | 0.00 | 62.14 | 0.00 | 62.14 | 7.5 | 4.66 |
| | ACCOUNT #: 08150696707 | BUY 0 Units @0.0000 | | | | | | |
| 04/01/2018 | ***-**-305P - BLUMEN, ROBERT | INVESCO AMERICAN FRANCHISE FUND -CL | 0.00 | 75.95 | 0.00 | 75.95 | 7.5 | 5.70 |
| | ACCOUNT #: 05056135477 | BUY 0 Units @0.0000 | | | | | | |
| 04/01/2018 | ***-**-305P - BLUMEN, ROBERT | INVESCO VAN KAMPEN MID CAP GROWTH A | 0.00 | 87.57 | 0.00 | 87.57 | 7.5 | 6.57 |
| | ACCOUNT #: 05056135477 | BUY 0 Units @0.0000 | | | | | | |
| 03/31/2018 | ***-**-427I - FISHMAN, ALAN | MAIN STREET FUND A | 0.00 | 25.79 | 0.00 | 25.79 | 7.5 | 1.93 |
| | ACCOUNT #: 07004434301 | BUY 0 Units @0.0000 | | | | | | |
| 03/31/2018 | ***-**-427I - FISHMAN, ALAN | OPPENHEIMER DEVELOPING MARKETS CLAS | 0.00 | 50.32 | 0.00 | 50.32 | 7.5 | 3.77 |
| | ACCOUNT #: 07852994145 | BUY 0 Units @0.0000 | | | | | | |
| 03/31/2018 | ***-**-427I - FISHMAN, ALAN | OPPENHEIMER SMALL & MID CAP VALUE F | 0.00 | 24.53 | 0.00 | 24.53 | 7.5 | 1.84 |
| | ACCOUNT #: 02512697684 | BUY 0 Units @0.0000 | | | | | | |
| * ALAN FISHMAN SUBTOTAL * | | | \$0.00 | \$447.95 | \$0.00 | \$447.95 | | \$33.59 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$0.00 | \$447.95 | \$0.00 | \$447.95 | | \$33.59 |



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| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$447.16 |
| | | | | | | Split Transactions | | \$41.25 |
| | | | | | | Override Transactions | | \$33.59 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$522.00 |
| | | | | | | Year-to-date Earnings | | \$17,689.95 |