

**COMMISSION STATEMENT****February 28, 2018**GREGORY FRIEL  
7500 NW 10th PlaceBROKER #: F123 Terminated 01/31/2016  
BRANCH: GREGORY FRIEL

Plantation, FL 33313-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
02/16/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.35	0.00	0.35	0.0	0.00
03/15/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.31	0.00	0.31	0.0	0.00
04/20/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.36	0.00	0.36	0.0	0.00
05/16/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.35	0.00	0.35	0.0	0.00
06/15/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.36	0.00	0.36	0.0	0.00
07/18/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.35	0.00	0.35	0.0	0.00
08/15/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.37	0.00	0.37	0.0	0.00
09/16/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.39	0.00	0.39	0.0	0.00
10/17/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.38	0.00	0.38	0.0	0.00
11/18/2016	BROWN, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.13	0.00	0.13	0.0	0.00
11/15/2016	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.06	0.00	0.06	0.0	0.00
11/15/2016	SAURMAN, PAMELA	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.12	0.00	0.12	0.0	0.00
12/15/2016	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.43	0.00	0.43	0.0	0.00
12/15/2016	SAURMAN, PAMELA	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.92	0.00	0.92	0.0	0.00
02/12/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.00
02/12/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.88	0.00	0.88	0.0	0.00
03/14/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.37	0.00	0.37	0.0	0.00
03/14/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.78	0.00	0.78	0.0	0.00
04/15/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.00
04/15/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.89	0.00	0.89	0.0	0.00
05/24/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.00
05/24/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.89	0.00	0.89	0.0	0.00
06/14/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.43	0.00	0.43	0.0	0.00
06/14/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.92	0.00	0.92	0.0	0.00
07/20/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.00
07/20/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.89	0.00	0.89	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/17/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.44	0.00	0.44	0.0	0.00
08/17/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.94	0.00	0.94	0.0	0.00
09/16/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.46	0.00	0.46	0.0	0.00
09/16/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.97	0.00	0.97	0.0	0.00
10/14/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.44	0.00	0.44	0.0	0.00
10/14/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.93	0.00	0.93	0.0	0.00
11/15/2016	GAWEL, IRENE	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.39	0.00	0.39	0.0	0.00
11/15/2016	SAURMAN, PAMELA	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	0.84	0.00	0.84	0.0	0.00
02/10/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.60	0.00	2.60	0.0	0.00
02/10/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.81	0.00	0.81	0.0	0.00
03/16/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.30	0.00	2.30	0.0	0.00
03/16/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.72	0.00	0.72	0.0	0.00
04/25/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.62	0.00	2.62	0.0	0.00
04/25/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.82	0.00	0.82	0.0	0.00
05/13/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.66	0.00	2.66	0.0	0.00
05/13/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.83	0.00	0.83	0.0	0.00
06/15/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.84	0.00	2.84	0.0	0.00
06/15/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.89	0.00	0.89	0.0	0.00
07/20/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.84	0.00	2.84	0.0	0.00
07/20/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.89	0.00	0.89	0.0	0.00
08/12/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.11	0.00	3.11	0.0	0.00
08/12/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	0.97	0.00	0.97	0.0	0.00
09/13/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.24	0.00	3.24	0.0	0.00
09/13/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.49	0.00	1.49	0.0	0.00
10/12/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.21	0.00	3.21	0.0	0.00
10/12/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.54	0.00	1.54	0.0	0.00
11/15/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.28	0.00	3.28	0.0	0.00
11/15/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.58	0.00	1.58	0.0	0.00
12/21/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	2.91	0.00	2.91	0.0	0.00
12/21/2016	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.40	0.00	1.40	0.0	0.00
02/12/2016	KNAPP, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	9.41	0.00	9.41	0.0	0.00
02/12/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.29	0.00	4.29	0.0	0.00
02/12/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.57	0.00	2.57	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/12/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.13	0.00	1.13	0.0	0.00
02/12/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.41	0.00	0.41	0.0	0.00
02/12/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.00	0.00	11.00	0.0	0.00
03/17/2016	KNAPP, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	8.78	0.00	8.78	0.0	0.00
03/17/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.01	0.00	4.01	0.0	0.00
03/17/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.40	0.00	2.40	0.0	0.00
03/17/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.05	0.00	1.05	0.0	0.00
03/17/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.38	0.00	0.38	0.0	0.00
03/17/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	10.27	0.00	10.27	0.0	0.00
04/19/2016	KNAPP, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	9.68	0.00	9.68	0.0	0.00
04/19/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.41	0.00	4.41	0.0	0.00
04/19/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.65	0.00	2.65	0.0	0.00
04/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.15	0.00	1.15	0.0	0.00
04/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
04/19/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.31	0.00	11.31	0.0	0.00
05/24/2016	KNAPP, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	6.34	0.00	6.34	0.0	0.00
05/24/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.35	0.00	4.35	0.0	0.00
05/24/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.61	0.00	2.61	0.0	0.00
05/24/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.13	0.00	1.13	0.0	0.00
05/24/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
05/24/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.15	0.00	11.15	0.0	0.00
06/15/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.53	0.00	4.53	0.0	0.00
06/15/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.71	0.00	2.71	0.0	0.00
06/15/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.18	0.00	1.18	0.0	0.00
06/15/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.43	0.00	0.43	0.0	0.00
06/15/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.61	0.00	11.61	0.0	0.00
07/19/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.43	0.00	4.43	0.0	0.00
07/19/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.65	0.00	2.65	0.0	0.00
07/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.15	0.00	1.15	0.0	0.00
07/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
07/19/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.35	0.00	11.35	0.0	0.00
08/16/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.66	0.00	4.66	0.0	0.00
08/16/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.79	0.00	2.79	0.0	0.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/16/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.20	0.00	1.20	0.0	0.00
08/16/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.45	0.00	0.45	0.0	0.00
08/16/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.93	0.00	11.93	0.0	0.00
09/19/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.72	0.00	4.72	0.0	0.00
09/19/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.83	0.00	2.83	0.0	0.00
09/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	0.00
09/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.45	0.00	0.45	0.0	0.00
09/19/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	12.10	0.00	12.10	0.0	0.00
10/19/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.58	0.00	4.58	0.0	0.00
10/19/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.75	0.00	2.75	0.0	0.00
10/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.18	0.00	1.18	0.0	0.00
10/19/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.44	0.00	0.44	0.0	0.00
10/19/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.75	0.00	11.75	0.0	0.00
11/18/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.75	0.00	4.75	0.0	0.00
11/18/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.85	0.00	2.85	0.0	0.00
11/18/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	0.00
11/18/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.45	0.00	0.45	0.0	0.00
11/18/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	12.17	0.00	12.17	0.0	0.00
12/16/2016	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.52	0.00	4.52	0.0	0.00
12/16/2016	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.71	0.00	2.71	0.0	0.00
12/16/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.16	0.00	1.16	0.0	0.00
12/16/2016	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.43	0.00	0.43	0.0	0.00
12/16/2016	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	11.58	0.00	11.58	0.0	0.00
02/16/2016	MCGUANE, JUDY	FT FOUNDING FDS ALLOC C	0.00	3.97	0.00	3.97	0.0	0.00
03/16/2016	MCGUANE, JUDY	FT FOUNDING FDS ALLOC C	0.00	3.64	0.00	3.64	0.0	0.00
04/15/2016	MCGUANE, JUDY	FT FOUNDING FDS ALLOC C	0.00	4.16	0.00	4.16	0.0	0.00
05/13/2016	MCGUANE, JUDY	FT FOUNDING FDS ALLOC C	0.00	4.13	0.00	4.13	0.0	0.00
06/14/2016	MCGUANE, JUDY	FT FOUNDING FDS ALLOC C	0.00	3.14	0.00	3.14	0.0	0.00
02/19/2016	KNAPP, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	17.36	0.00	17.36	0.0	0.00
03/18/2016	KNAPP, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	16.18	0.00	16.18	0.0	0.00
04/15/2016	KNAPP, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	19.33	0.00	19.33	0.0	0.00
05/23/2016	KNAPP, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	15.64	0.00	15.64	0.0	0.00
02/19/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	6.95	0.00	6.95	0.0	0.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/18/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	6.51	0.00	6.51	0.0	0.00
04/15/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	7.02	0.00	7.02	0.0	0.00
05/23/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	9.72	0.00	9.72	0.0	0.00
06/27/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	17.00	0.00	17.00	0.0	0.00
07/15/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	16.50	0.00	16.50	0.0	0.00
08/16/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	17.22	0.00	17.22	0.0	0.00
09/16/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	17.25	0.00	17.25	0.0	0.00
10/24/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	16.61	0.00	16.61	0.0	0.00
11/18/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	17.10	0.00	17.10	0.0	0.00
12/16/2016	BORDONARO, RICHARD	JH STRATEGIC INCOME A	0.00	16.30	0.00	16.30	0.0	0.00
02/19/2016	KNAPP, KEVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.82	0.00	0.82	0.0	0.00
02/19/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.88	0.00	5.88	0.0	0.00
02/19/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.45	0.00	0.45	0.0	0.00
03/18/2016	KNAPP, KEVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.76	0.00	0.76	0.0	0.00
03/18/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.45	0.00	5.45	0.0	0.00
03/18/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.41	0.00	0.41	0.0	0.00
04/15/2016	KNAPP, KEVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.84	0.00	0.84	0.0	0.00
04/15/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.04	0.00	6.04	0.0	0.00
04/15/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.46	0.00	0.46	0.0	0.00
05/23/2016	KNAPP, KEVIN	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.38	0.00	0.38	0.0	0.00
05/23/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	5.97	0.00	5.97	0.0	0.00
05/23/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.48	0.00	0.48	0.0	0.00
06/27/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.19	0.00	6.19	0.0	0.00
06/27/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.50	0.00	0.50	0.0	0.00
07/15/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.03	0.00	6.03	0.0	0.00
07/15/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.49	0.00	0.49	0.0	0.00
08/16/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.34	0.00	6.34	0.0	0.00
08/16/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.55	0.00	0.55	0.0	0.00
09/16/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.43	0.00	6.43	0.0	0.00
09/16/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.56	0.00	0.56	0.0	0.00
10/24/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.22	0.00	6.22	0.0	0.00
10/24/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.54	0.00	0.54	0.0	0.00
11/18/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.42	0.00	6.42	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/18/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	0.61	0.00	0.61	0.0	0.00
12/16/2016	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.16	0.00	6.16	0.0	0.00
12/16/2016	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	10.36	0.00	10.36	0.0	0.00
02/19/2016	KNAPP, KEVIN	JOHN HANCOCK TAX FREE BOND FUND CL	0.00	6.66	0.00	6.66	0.0	0.00
03/18/2016	KNAPP, KEVIN	JOHN HANCOCK TAX FREE BOND FUND CL	0.00	6.29	0.00	6.29	0.0	0.00
04/15/2016	KNAPP, KEVIN	JOHN HANCOCK TAX FREE BOND FUND CL	0.00	9.26	0.00	9.26	0.0	0.00
05/23/2016	KNAPP, KEVIN	JOHN HANCOCK TAX FREE BOND FUND CL	0.00	10.78	0.00	10.78	0.0	0.00
02/08/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.10	0.00	1.10	0.0	0.00
02/08/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.21	0.00	0.21	0.0	0.00
03/14/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.03	0.00	1.03	0.0	0.00
03/14/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.19	0.00	0.19	0.0	0.00
04/15/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.12	0.00	1.12	0.0	0.00
04/15/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.21	0.00	0.21	0.0	0.00
05/17/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.11	0.00	1.11	0.0	0.00
05/17/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.21	0.00	0.21	0.0	0.00
06/16/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.15	0.00	1.15	0.0	0.00
06/16/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.00
07/20/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.12	0.00	1.12	0.0	0.00
07/20/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.21	0.00	0.21	0.0	0.00
08/16/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.18	0.00	1.18	0.0	0.00
08/16/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.00
09/15/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.19	0.00	1.19	0.0	0.00
09/15/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.00
10/20/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.15	0.00	1.15	0.0	0.00
10/20/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.00
11/14/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.19	0.00	1.19	0.0	0.00
11/14/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.00
12/19/2016	FRIEL, JEREMY	MFS BOND A	0.00	1.12	0.00	1.12	0.0	0.00
12/19/2016	FRIEL, SHELLEY	MFS BOND A	0.00	0.21	0.00	0.21	0.0	0.00
02/08/2016	KNAPP, KEVIN	MFS CONSERVATIVE ALLOCATION A	0.00	6.58	0.00	6.58	0.0	0.00
03/14/2016	KNAPP, KEVIN	MFS CONSERVATIVE ALLOCATION A	0.00	6.14	0.00	6.14	0.0	0.00
04/15/2016	KNAPP, KEVIN	MFS CONSERVATIVE ALLOCATION A	0.00	6.77	0.00	6.77	0.0	0.00
05/17/2016	KNAPP, KEVIN	MFS CONSERVATIVE ALLOCATION A	0.00	4.44	0.00	4.44	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/08/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.70	0.00	0.70	0.0	0.00
02/08/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.79	0.00	0.79	0.0	0.00
03/14/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.65	0.00	0.65	0.0	0.00
03/14/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.74	0.00	0.74	0.0	0.00
04/15/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.72	0.00	0.72	0.0	0.00
04/15/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.82	0.00	0.82	0.0	0.00
05/17/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.71	0.00	0.71	0.0	0.00
05/17/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.81	0.00	0.81	0.0	0.00
06/16/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.74	0.00	0.74	0.0	0.00
06/16/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.85	0.00	0.85	0.0	0.00
07/20/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.73	0.00	0.73	0.0	0.00
07/20/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.83	0.00	0.83	0.0	0.00
08/16/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.77	0.00	0.77	0.0	0.00
08/16/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.88	0.00	0.88	0.0	0.00
09/15/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.78	0.00	0.78	0.0	0.00
09/15/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.89	0.00	0.89	0.0	0.00
10/20/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.75	0.00	0.75	0.0	0.00
10/20/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.86	0.00	0.86	0.0	0.00
11/14/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.77	0.00	0.77	0.0	0.00
11/14/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.88	0.00	0.88	0.0	0.00
12/19/2016	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.74	0.00	0.74	0.0	0.00
12/19/2016	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.84	0.00	0.84	0.0	0.00
02/08/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.76	0.00	0.76	0.0	0.00
03/14/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.72	0.00	0.72	0.0	0.00
04/15/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.79	0.00	0.79	0.0	0.00
05/17/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.78	0.00	0.78	0.0	0.00
06/16/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.82	0.00	0.82	0.0	0.00
07/20/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.80	0.00	0.80	0.0	0.00
08/16/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.86	0.00	0.86	0.0	0.00
09/15/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.87	0.00	0.87	0.0	0.00
10/20/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.84	0.00	0.84	0.0	0.00
11/14/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.87	0.00	0.87	0.0	0.00
12/19/2016	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.82	0.00	0.82	0.0	0.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/08/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.19	0.00	0.19	0.0	0.00
03/14/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.17	0.00	0.17	0.0	0.00
04/15/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.20	0.00	0.20	0.0	0.00
05/17/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.20	0.00	0.20	0.0	0.00
06/16/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.21	0.00	0.21	0.0	0.00
07/20/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.20	0.00	0.20	0.0	0.00
08/16/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.22	0.00	0.22	0.0	0.00
09/15/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.22	0.00	0.22	0.0	0.00
10/20/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.21	0.00	0.21	0.0	0.00
11/14/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.22	0.00	0.22	0.0	0.00
12/19/2016	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.21	0.00	0.21	0.0	0.00
02/08/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.20	0.00	0.20	0.0	0.00
02/08/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.11	0.00	1.11	0.0	0.00
02/08/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.85	0.00	0.85	0.0	0.00
02/08/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.86	0.00	3.86	0.0	0.00
03/14/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.18	0.00	0.18	0.0	0.00
03/14/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.03	0.00	1.03	0.0	0.00
03/14/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.79	0.00	0.79	0.0	0.00
03/14/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	3.57	0.00	3.57	0.0	0.00
04/15/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.20	0.00	0.20	0.0	0.00
04/15/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.16	0.00	1.16	0.0	0.00
04/15/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.89	0.00	0.89	0.0	0.00
04/15/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.04	0.00	4.04	0.0	0.00
05/17/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.20	0.00	0.20	0.0	0.00
05/17/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.15	0.00	1.15	0.0	0.00
05/17/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.88	0.00	0.88	0.0	0.00
05/17/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.01	0.00	4.01	0.0	0.00
06/16/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.21	0.00	0.21	0.0	0.00
06/16/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.19	0.00	1.19	0.0	0.00
06/16/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.91	0.00	0.91	0.0	0.00
06/16/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.13	0.00	4.13	0.0	0.00
07/20/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.20	0.00	0.20	0.0	0.00
07/20/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.15	0.00	1.15	0.0	0.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/20/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.88	0.00	0.88	0.0	0.00
07/20/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.01	0.00	4.01	0.0	0.00
08/16/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.21	0.00	0.21	0.0	0.00
08/16/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.22	0.00	1.22	0.0	0.00
08/16/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.93	0.00	0.93	0.0	0.00
08/16/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.22	0.00	4.22	0.0	0.00
09/15/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.22	0.00	0.22	0.0	0.00
09/15/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.26	0.00	1.26	0.0	0.00
09/15/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.96	0.00	0.96	0.0	0.00
09/15/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.37	0.00	4.37	0.0	0.00
10/20/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.21	0.00	0.21	0.0	0.00
10/20/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.22	0.00	1.22	0.0	0.00
10/20/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.93	0.00	0.93	0.0	0.00
10/20/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.24	0.00	4.24	0.0	0.00
11/14/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.22	0.00	0.22	0.0	0.00
11/14/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.24	0.00	1.24	0.0	0.00
11/14/2016	BROWN, JAMIE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.31	0.00	0.31	0.0	0.00
11/14/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.32	0.00	4.32	0.0	0.00
12/19/2016	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.20	0.00	0.20	0.0	0.00
12/19/2016	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.16	0.00	1.16	0.0	0.00
12/19/2016	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.02	0.00	4.02	0.0	0.00
02/08/2016	KNAPP, KEVIN	MFS LIMITED MATURITY A	0.00	1.54	0.00	1.54	0.0	0.00
02/08/2016	MCGUANE, JUDY	MFS LIMITED MATURITY A	0.00	1.92	0.00	1.92	0.0	0.00
03/14/2016	KNAPP, KEVIN	MFS LIMITED MATURITY A	0.00	1.45	0.00	1.45	0.0	0.00
03/14/2016	MCGUANE, JUDY	MFS LIMITED MATURITY A	0.00	1.80	0.00	1.80	0.0	0.00
04/15/2016	KNAPP, KEVIN	MFS LIMITED MATURITY A	0.00	1.55	0.00	1.55	0.0	0.00
04/15/2016	MCGUANE, JUDY	MFS LIMITED MATURITY A	0.00	1.93	0.00	1.93	0.0	0.00
05/17/2016	KNAPP, KEVIN	MFS LIMITED MATURITY A	0.00	1.00	0.00	1.00	0.0	0.00
05/17/2016	MCGUANE, JUDY	MFS LIMITED MATURITY A	0.00	1.88	0.00	1.88	0.0	0.00
06/16/2016	MCGUANE, JUDY	MFS LIMITED MATURITY A	0.00	1.44	0.00	1.44	0.0	0.00
02/08/2016	BROMMER, STEVEN	MFS MODERATE ALLOCATION A	0.00	20.18	0.00	20.18	0.0	0.00
02/08/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.24	0.00	7.24	0.0	0.00
02/08/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.20	0.00	0.20	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/08/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.19	0.00	9.19	0.0	0.00
02/08/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.75	0.00	2.75	0.0	0.00
02/08/2016	MCGUANE, JUDY	MFS MODERATE ALLOCATION A	0.00	0.77	0.00	0.77	0.0	0.00
02/08/2016	BAKER, RODNEY	MFS MODERATE ALLOCATION A	0.00	1.77	0.00	1.77	0.0	0.00
02/08/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	1.99	0.00	1.99	0.0	0.00
02/08/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	0.99	0.00	0.99	0.0	0.00
03/14/2016	BROMMER, STEVEN	MFS MODERATE ALLOCATION A	0.00	18.76	0.00	18.76	0.0	0.00
03/14/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	6.73	0.00	6.73	0.0	0.00
03/14/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.18	0.00	0.18	0.0	0.00
03/14/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	8.55	0.00	8.55	0.0	0.00
03/14/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.56	0.00	2.56	0.0	0.00
03/14/2016	MCGUANE, JUDY	MFS MODERATE ALLOCATION A	0.00	0.72	0.00	0.72	0.0	0.00
03/14/2016	BAKER, RODNEY	MFS MODERATE ALLOCATION A	0.00	1.65	0.00	1.65	0.0	0.00
03/14/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	1.85	0.00	1.85	0.0	0.00
03/14/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	0.92	0.00	0.92	0.0	0.00
04/15/2016	BROMMER, STEVEN	MFS MODERATE ALLOCATION A	0.00	18.20	0.00	18.20	0.0	0.00
04/15/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.51	0.00	7.51	0.0	0.00
04/15/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.20	0.00	0.20	0.0	0.00
04/15/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.54	0.00	9.54	0.0	0.00
04/15/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.85	0.00	2.85	0.0	0.00
04/15/2016	MCGUANE, JUDY	MFS MODERATE ALLOCATION A	0.00	0.80	0.00	0.80	0.0	0.00
04/15/2016	BAKER, RODNEY	MFS MODERATE ALLOCATION A	0.00	0.76	0.00	0.76	0.0	0.00
04/15/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.06	0.00	2.06	0.0	0.00
04/15/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.03	0.00	1.03	0.0	0.00
05/17/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.44	0.00	7.44	0.0	0.00
05/17/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.20	0.00	0.20	0.0	0.00
05/17/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.45	0.00	9.45	0.0	0.00
05/17/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.83	0.00	2.83	0.0	0.00
05/17/2016	MCGUANE, JUDY	MFS MODERATE ALLOCATION A	0.00	0.79	0.00	0.79	0.0	0.00
05/17/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.04	0.00	2.04	0.0	0.00
05/17/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.02	0.00	1.02	0.0	0.00
06/16/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.73	0.00	7.73	0.0	0.00
06/16/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.21	0.00	0.21	0.0	0.00

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/16/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.81	0.00	9.81	0.0	0.00
06/16/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.93	0.00	2.93	0.0	0.00
06/16/2016	MCGUANE, JUDY	MFS MODERATE ALLOCATION A	0.00	0.61	0.00	0.61	0.0	0.00
06/16/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.12	0.00	2.12	0.0	0.00
06/16/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.05	0.00	1.05	0.0	0.00
07/20/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.55	0.00	7.55	0.0	0.00
07/20/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.21	0.00	0.21	0.0	0.00
07/20/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.58	0.00	9.58	0.0	0.00
07/20/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.87	0.00	2.87	0.0	0.00
07/20/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.07	0.00	2.07	0.0	0.00
07/20/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.03	0.00	1.03	0.0	0.00
08/16/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.96	0.00	7.96	0.0	0.00
08/16/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.22	0.00	0.22	0.0	0.00
08/16/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	10.11	0.00	10.11	0.0	0.00
08/16/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.02	0.00	3.02	0.0	0.00
08/16/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.18	0.00	2.18	0.0	0.00
08/16/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.09	0.00	1.09	0.0	0.00
09/15/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	8.07	0.00	8.07	0.0	0.00
09/15/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.22	0.00	0.22	0.0	0.00
09/15/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	10.24	0.00	10.24	0.0	0.00
09/15/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.06	0.00	3.06	0.0	0.00
09/15/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.21	0.00	2.21	0.0	0.00
09/15/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.10	0.00	1.10	0.0	0.00
10/20/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.78	0.00	7.78	0.0	0.00
10/20/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.21	0.00	0.21	0.0	0.00
10/20/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.87	0.00	9.87	0.0	0.00
10/20/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.96	0.00	2.96	0.0	0.00
10/20/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.13	0.00	2.13	0.0	0.00
10/20/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.06	0.00	1.06	0.0	0.00
11/14/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.99	0.00	7.99	0.0	0.00
11/14/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.22	0.00	0.22	0.0	0.00
11/14/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	10.15	0.00	10.15	0.0	0.00
11/14/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.04	0.00	3.04	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
11/14/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.19	0.00	2.19	0.0	0.00
11/14/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.09	0.00	1.09	0.0	0.00
12/19/2016	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	7.64	0.00	7.64	0.0	0.00
12/19/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.21	0.00	0.21	0.0	0.00
12/19/2016	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	9.70	0.00	9.70	0.0	0.00
12/19/2016	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	2.90	0.00	2.90	0.0	0.00
12/19/2016	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.10	0.00	2.10	0.0	0.00
12/19/2016	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.04	0.00	1.04	0.0	0.00
02/08/2016	MCGUANE, JUDY	MFS TOTAL RETURN A	0.00	3.94	0.00	3.94	0.0	0.00
03/14/2016	MCGUANE, JUDY	MFS TOTAL RETURN A	0.00	3.69	0.00	3.69	0.0	0.00
04/15/2016	MCGUANE, JUDY	MFS TOTAL RETURN A	0.00	4.10	0.00	4.10	0.0	0.00
05/17/2016	MCGUANE, JUDY	MFS TOTAL RETURN A	0.00	4.06	0.00	4.06	0.0	0.00
06/16/2016	MCGUANE, JUDY	MFS TOTAL RETURN A	0.00	3.12	0.00	3.12	0.0	0.00
03/09/2016	SAURMAN, PAMELA	WILLIAM BLAIR INCOME FUND CLASS N	0.00	0.01	0.00	0.01	0.0	0.00
05/03/2016	SAURMAN, PAMELA	WILLIAM BLAIR INCOME FUND CLASS N	0.00	0.01	0.00	0.01	0.0	0.00
06/23/2016	SAURMAN, PAMELA	WILLIAM BLAIR INCOME FUND CLASS N	0.00	0.01	0.00	0.01	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,164.42</b>	<b>\$0.00</b>	<b>\$1,164.42</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$1,164.42</b>	<b>\$0.00</b>	<b>\$1,164.42</b>		<b>\$0.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$1,288.60</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>