



Concorde Investment Services, LLC

02/14/2017
Page No. 1

COMMISSION STATEMENT **February 15, 2017**

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/23/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
01/25/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
01/30/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
01/30/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
01/31/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
02/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	75.00	3.19	0.00	3.19	90.0	2.87
02/01/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
01/23/2017	BAILEY, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	30.14	1.13	0.00	1.13	90.0	1.02
01/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
01/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
02/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
02/01/2017	KEMERLY, JASON	AM FUNDS CAPITAL INCOME BUILDER A	98.40	4.18	0.00	4.18	90.0	3.76
02/01/2017	KEMERLY, JASON	AM FUNDS CAPITAL INCOME BUILDER A	9.84	0.42	0.00	0.42	90.0	0.38
01/23/2017	BAILEY, KEVIN	AM FUNDS CAPITAL WORLD GRTH & INC A	30.15	1.13	0.00	1.13	90.0	1.02
01/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
01/30/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	10.00	0.28	0.00	0.28	90.0	0.25
01/30/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	9.72	0.27	0.00	0.27	90.0	0.24
01/30/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	20.00	0.55	0.00	0.55	90.0	0.50
01/30/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	13.44	0.37	0.00	0.37	90.0	0.33
01/30/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
01/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
02/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
02/01/2017	KEMERLY, JASON	AM FUNDS CAPITAL WORLD GRTH & INC A	14.76	0.63	0.00	0.63	90.0	0.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/23/2017	BURNS, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	19.47	0.31	0.00	0.31	90.0	0.28
01/23/2017	BURNS, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	5.84	0.09	0.00	0.09	90.0	0.08
01/30/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	15.00	0.41	0.00	0.41	90.0	0.37
01/30/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	14.58	0.40	0.00	0.40	90.0	0.36
01/30/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	30.00	0.83	0.00	0.83	90.0	0.75
01/30/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	20.16	0.55	0.00	0.55	90.0	0.50
01/23/2017	BAILEY, KEVIN	AM FUNDS FUNDAMENTAL INVESTORS A	30.14	1.13	0.00	1.13	90.0	1.02
01/30/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	10.00	0.28	0.00	0.28	90.0	0.25
01/30/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	9.72	0.27	0.00	0.27	90.0	0.24
01/30/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	20.00	0.55	0.00	0.55	90.0	0.50
01/30/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	13.44	0.37	0.00	0.37	90.0	0.33
02/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2017	KEMERLY, JASON	AM FUNDS FUNDAMENTAL INVESTORS A	14.76	0.63	0.00	0.63	90.0	0.57
01/23/2017	BAILEY, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	30.14	1.13	0.00	1.13	90.0	1.02
01/23/2017	BURNS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.47	0.31	0.00	0.31	90.0	0.28
01/23/2017	BURNS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	5.84	0.09	0.00	0.09	90.0	0.08
01/23/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
01/23/2017	NEWBAUER, CHLOE	AM FUNDS GROWTH FUND OF AMERICA A	225.00	6.19	0.00	6.19	90.0	5.57
01/24/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
01/25/2017	JORDAN, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	90.0	3.38
01/25/2017	JORDAN, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	-3.75	0.00	-3.75	90.0	-3.38
01/30/2017	MULLINS, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/30/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
01/30/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.41	0.00	0.41	90.0	0.37
01/30/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	14.58	0.40	0.00	0.40	90.0	0.36
01/30/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.83	0.00	0.83	90.0	0.75
01/30/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	20.16	0.55	0.00	0.55	90.0	0.50
02/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2017	KEMERLY, JASON	AM FUNDS GROWTH FUND OF AMERICA A	14.76	0.63	0.00	0.63	90.0	0.57
02/01/2017	MCFEETERS, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.19	0.00	3.19	90.0	2.87
02/01/2017	KEMERLY, JASON	AM FUNDS ICA A	14.76	0.63	0.00	0.63	90.0	0.57
01/23/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/31/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
01/30/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	120.00	2.40	0.00	2.40	90.0	2.16
01/30/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	121.09	2.42	0.00	2.42	90.0	2.18
01/31/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
02/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
01/23/2017	BURNS, MICHELLE	AM FUNDS NEW ECONOMY A	20.06	0.32	0.00	0.32	90.0	0.29
01/23/2017	BURNS, MICHELLE	AM FUNDS NEW ECONOMY A	6.02	0.10	0.00	0.10	90.0	0.09
01/25/2017	JORDAN, NEAL	AM FUNDS NEW ECONOMY A	75.00	3.75	0.00	3.75	90.0	3.38
01/25/2017	JORDAN, NEAL	AM FUNDS NEW ECONOMY A	-75.00	-3.75	0.00	-3.75	90.0	-3.38
01/30/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
01/30/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	10.00	0.28	0.00	0.28	90.0	0.25
01/30/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	9.72	0.27	0.00	0.27	90.0	0.24
01/30/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	20.00	0.55	0.00	0.55	90.0	0.50
01/30/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	13.44	0.37	0.00	0.37	90.0	0.33
02/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
01/23/2017	BAILEY, KEVIN	AM FUNDS NEW PERSPECTIVE A	30.14	1.13	0.00	1.13	90.0	1.02
01/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
01/30/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	15.00	0.41	0.00	0.41	90.0	0.37
01/30/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	14.58	0.40	0.00	0.40	90.0	0.36
01/30/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	30.00	0.83	0.00	0.83	90.0	0.75
01/30/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	20.16	0.55	0.00	0.55	90.0	0.50
02/01/2017	KEMERLY, JASON	AM FUNDS NEW PERSPECTIVE A	14.76	0.63	0.00	0.63	90.0	0.57
01/23/2017	BAILEY, KEVIN	AM FUNDS NEW WORLD A	30.15	1.13	0.00	1.13	90.0	1.02
01/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
01/30/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	15.00	0.41	0.00	0.41	90.0	0.37
01/30/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	14.58	0.40	0.00	0.40	90.0	0.36
01/30/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	30.00	0.83	0.00	0.83	90.0	0.75
01/30/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	20.16	0.55	0.00	0.55	90.0	0.50
02/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2017	KEMERLY, JASON	AM FUNDS NEW WORLD A	14.76	0.63	0.00	0.63	90.0	0.57
01/31/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
02/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
02/03/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	300.00	12.75	0.00	12.75	90.0	11.48

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/23/2017	BAILEY, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.14	1.13	0.00	1.13	90.0	1.02
01/30/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87
01/30/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	90.0	4.50
01/30/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	10.00	0.28	0.00	0.28	90.0	0.25
01/30/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	9.72	0.27	0.00	0.27	90.0	0.24
01/30/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	20.00	0.55	0.00	0.55	90.0	0.50
01/30/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	13.44	0.37	0.00	0.37	90.0	0.33
/ /	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	0.00	1.07	0.00	1.07	90.0	0.96
01/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.43	0.00	1.43	90.0	1.29
01/31/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	90.0	0.77
01/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.43	0.00	1.43	90.0	1.29
01/24/2017	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	152.00	7.22	0.00	7.22	90.0	6.50
01/31/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
01/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
01/31/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
01/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
01/24/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	152.00	4.94	0.00	4.94	90.0	4.45
01/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
01/31/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
01/31/2017	LINSKY, MARCIA	ASCENSUS INA PIMCO TOTAL RETURN POR	500.00	16.25	0.00	16.25	90.0	14.63
01/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
01/31/2017	LINSKY, MARCIA	ASCENSUS INA SHORT TERM TREASURY IN	1,000.00	32.50	0.00	32.50	90.0	29.25
01/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
01/24/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	608.00	28.88	0.00	28.88	90.0	25.99
01/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96
01/31/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
02/03/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
01/31/2017	LINSKY, MARCIA	ASCENSUS INA TIPS PORTFOLIO CL A	500.00	16.25	0.00	16.25	90.0	14.63
01/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
01/24/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	608.00	28.88	0.00	28.88	90.0	25.99
01/27/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
01/31/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
02/03/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
01/31/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	5.00	0.00	5.00	90.0	4.50
02/08/2017	KAUFFMAN, JAN	DODGE & COX INCOME FUND	2,400.00	0.00	22.00	-22.00	90.0	-19.80
02/08/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	900.00	18.00	22.00	-4.00	90.0	-3.60
02/08/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	450.00	9.00	22.00	-13.00	90.0	-11.70
02/08/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	1,500.00	30.00	22.00	8.00	90.0	7.20
01/17/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
01/17/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
01/25/2017	MCDONALD, TINA	OPPENHEIMER SENIOR FLOATING RATE C	25.00	0.25	0.00	0.25	90.0	0.23
01/31/2017	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	4.78	0.00	4.78	90.0	4.30
01/31/2017	GRIME, LAURA	TEMPLETON FOREIGN FUND A	0.00	6.26	0.00	6.26	90.0	5.63
02/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	90.0	3.60
02/02/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.38	0.00	2.38	90.0	2.14
* MUTUAL FUNDS SUBTOTAL *			\$14,339.69	\$426.24	\$88.00	\$338.24		\$304.52
<u>PRODUCT CATEGORY: STOCKS</u>								
02/08/2017	KAUFFMAN, JOHN	MATTEL INC COM	2,400.14	0.00	22.50	-22.50	90.0	-20.25
* STOCKS SUBTOTAL *			\$2,400.14	\$0.00	\$22.50	\$-22.50		\$-20.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	POSTMA, THELMA	JNL PERSPECTIVE II	19,880.40	8.28	0.00	8.28	90.0	7.45
01/31/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,267.04	13.44	0.00	13.44	90.0	12.10
01/31/2017	SIEBER, PAUL	JNL PERSPECTIVE II	65,744.44	27.39	0.00	27.39	90.0	24.65
01/25/2017	ZINN, JEFFREY	NWL BOA NG SUR VUL	600.00	12.00	0.00	12.00	90.0	10.80
* VARIABLE ANNUITIES SUBTOTAL *			\$118,491.88	\$61.11	\$0.00	\$61.11		\$55.00
*** BROKER TRANSACTIONS TOTAL ***			\$135,231.71	\$487.35	\$110.50	\$376.85		\$339.27

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
01/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
01/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.97	0.00	2.97	90.0	2.67
01/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	100.80	1.50	0.00	1.50	90.0	1.35
01/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	117.81	1.76	0.00	1.76	90.0	1.58
01/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.71
01/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
01/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	120.00	1.78	0.00	1.78	90.0	1.60
01/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	200.00	2.97	0.00	2.97	90.0	2.67
01/27/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
01/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
02/08/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	100.00	1.75	7.70	-5.95	90.0	-5.36
02/08/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	150.00	2.62	7.70	-5.08	90.0	-4.57
02/08/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	5.25	7.70	-2.45	90.0	-2.21
02/08/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	1,500.00	15.75	7.70	8.05	90.0	7.25
01/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
01/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.84	0.00	0.84	90.0	0.76
01/27/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
01/27/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
01/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
01/27/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
01/27/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
01/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
01/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
01/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
01/27/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
01/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
01/27/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
01/27/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
01/27/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
12/31/2016	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND	0.00	46.72	0.00	46.72	90.0	42.05
01/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
01/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	4.93	0.00	4.93	90.0	4.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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 OVERRIDE TRANSACTIONS BROKER: WILLIAM LAYMAN #207

* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/	SUBTOTAL *	\$4,147.45	\$106.39	\$30.80	\$75.59	\$68.03
*** SPLIT TRANSACTIONS TOTAL ***		\$4,147.45	\$106.39	\$30.80	\$75.59	\$68.03

 OVERRIDE TRANSACTIONS BROKER: WILLIAM LAYMAN #207

01/25/2017	LAYMAN, GEOFFREY	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	1.13
		* WILLIAM LAYMAN SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$1.13
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$50.00	\$2.50	\$0.00	\$2.50		\$1.13

 ADJUSTMENTS

02/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*EO INSURANCE: CURRENT MONTH INS-BI	0.00					-300.00
02/15/2017		*MISC: BILL PAST DUE BAL	0.00					-384.46
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-4.50
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.05
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-5.28
02/15/2017		*REGISTRATION FEE: AZ REG FEE	0.00					-45.00
02/15/2017		*REGISTRATION FEE: CT REG FEE	0.00					-100.00
02/15/2017		*TECH: ADMIN J WRIGHT	0.00					-100.00
02/15/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
02/15/2017		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-100.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH (BI	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,990.29

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BROKER COMMISSION TOTALS

Broker Transactions	\$339.27
Split Transactions	\$68.03
Override Transactions	\$1.13
Adjustments	\$-1,990.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,581.86
Year-to-date Gross	\$3,414.24
Year-to-date Earnings	\$2,720.91