

COMMISSION STATEMENT

August 31, 2018

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: A08 W15
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/22/2018	75502052	DIPENICE, WILLIAM	DREYFUS STRATEGIC VALUE FUND CL I	S	1,000.00	0.00	0.00	0.00	80.0	0.00
08/22/2018	75502052	DIPENICE, WILLIAM	VANGUARD WORLD FD US GROWTH FD ADMI	S	1,000.00	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$2,000.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

08/15/2018	75502049	MORRIS, MICHAEL	WESTERN DIGITAL CORP	B	634.84	0.00	0.00	0.00	80.0	0.00
08/02/2018	75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A	B	595.80	0.00	0.00	0.00	80.0	0.00
08/02/2018	75502050	DIPENICE, WILLIAM	MARTIN MARIETTA MATLS INC	B	810.33	0.00	0.00	0.00	80.0	0.00
08/02/2018	75502050	DIPENICE, WILLIAM	PFIZER INCORPORATED	S	1,519.31	0.00	0.00	0.00	80.0	0.00
08/02/2018	75502050	DIPENICE, WILLIAM	PHILLIPS 66 COM	S	852.14	0.00	0.00	0.00	80.0	0.00
08/02/2018	75502050	DIPENICE, WILLIAM	ZAYO GROUP HOLDINGS INC COM	B	672.47	0.00	0.00	0.00	80.0	0.00
08/10/2018	75502050	DIPENICE, WILLIAM	ANALOG DEVICES INC	S	1,796.80	0.00	0.00	0.00	80.0	0.00
08/10/2018	75502050	DIPENICE, WILLIAM	SUNRUN INC COM	B	1,288.98	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	APPLE INC	S	648.81	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	APTIV PLC SHS COM	S	283.05	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	BOSTON SCIENTIFIC CORP	S	275.84	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	EQUIFAX INC	S	662.35	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	IHS MARKIT LTD SHS	S	323.52	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	INTERCONTINENTAL EXCHANGE INC	S	297.08	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	MICROSOFT CORP	S	321.14	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	PFIZER INCORPORATED	S	254.76	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	QIAGEN NV SHS NEW	S	378.00	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	SEMPRA ENERGY	S	469.14	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	UNIVERSAL DISPLAY CORPORATION	S	352.58	0.00	0.00	0.00	80.0	0.00
08/20/2018	75502050	DIPENICE, WILLIAM	VERISK ANALYTICS INC COM	S	353.35	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502050	DIPENICE, WILLIAM	ALPHABET INC CLASS C CAPITAL STOCK	S	1,232.67	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502050	DIPENICE, WILLIAM	AUTODESK INC	S	1,101.13	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502050	DIPENICE, WILLIAM	BIOMARIN PHARMACEUTICAL INC COM	S	297.45	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502050	DIPENICE, WILLIAM	CHENIERE ENERGY INC COM	S	399.30	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502050	DIPENICE, WILLIAM	MARTIN MARIETTA MATLS INC	S	412.73	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502050	DIPENICE, WILLIAM	VISA INC CL A COMMON STOCK	S	436.35	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/29/2018	75502050	DIPENICE, WILLIAM		AUTODESK INC	S	155.97	0.00	0.00	0.00 80.0 0.00
08/29/2018	75502050	DIPENICE, WILLIAM		DOVER CORPORATION	B	521.38	0.00	0.00	0.00 80.0 0.00
08/29/2018	75502050	DIPENICE, WILLIAM		RED HAT INC	B	1,035.74	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		ABBVIE INC	S	98.50	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		AMETEK INC NEW	S	76.60	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		ANSYS INC	S	173.41	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		COCA COLA COMPANY (THE)	S	93.44	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		COGNIZANT TECHNOLOGY SOLUTIONS CORP	S	75.01	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		COMCAST CORP CL A	S	70.80	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		CORCEPT THERAPEUTICS INC COM	S	39.09	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		COSTCO WHOLESALE CORP-NEW	S	227.45	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		EPAM SYSTEMS INC	S	272.14	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		FIDELITY NATIONAL INFORMATION SERVI	S	107.26	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		FORTINET INC	S	153.59	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		FORTIVE CORPORATION COM	S	80.51	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		GLOBUS MEDICAL INC	S	51.54	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		INCYTE GENOMICS INC	S	69.02	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		INTERCONTINENTAL EXCHANGE INC	S	148.50	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		KLA-TENCOR CORP COM	S	111.64	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		LIGAND PHARMACEUTICALS INC	B	238.66	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		LILLY ELI & CO	S	105.95	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		LKQ CORPORATION	S	33.32	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		MICROSOFT CORP	S	321.50	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		PAYPAL HOLDINGS INC COM	S	171.58	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		ROSS STORES INC	S	184.34	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		SALESFORCE.COM INC	S	145.79	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		STRYKER CORP	S	169.25	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		ULTA SALON COSMETICS & FRAGRANCE IN	S	238.40	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		UNILEVER PLC SPONSORED ADR	S	56.73	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		UNITEDHEALTH GROUP INC	S	262.06	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		VERISK ANALYTICS INC COM	S	117.69	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		VF CORPORATION	S	185.94	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		VISA INC CL A COMMON STOCK	S	141.23	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		WASTE CONNECTIONS INC COM	S	159.92	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		XPO LOGISTICS INC COM	S	207.84	0.00	0.00	0.00 80.0 0.00
08/20/2018	75502051	DIPENICE, NINA		YUM BRANDS INC	S	84.05	0.00	0.00	0.00 80.0 0.00
08/28/2018	75502051	DIPENICE, NINA		ABIOMED INC	S	383.90	0.00	0.00	0.00 80.0 0.00
08/28/2018	75502051	DIPENICE, NINA		ACADIA HEALTHCARE CO INC COM	S	80.92	0.00	0.00	0.00 80.0 0.00
08/28/2018	75502051	DIPENICE, NINA		ALEXION PHARMACEUTICALS INC	S	120.12	0.00	0.00	0.00 80.0 0.00
08/28/2018	75502051	DIPENICE, NINA		ALPHABET INC CLASS A COMMON STOCK	S	1,248.30	0.00	0.00	0.00 80.0 0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/28/2018	75502051	DIPENICE, NINA	AMAZON.COM INC	S	1,937.45	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	AMETEK INC NEW	S	232.02	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	ANSYS INC	S	368.54	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	APPLE INC	S	1,101.10	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	COCA COLA COMPANY (THE)	S	45.48	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	COGNIZANT TECHNOLOGY SOLUTIONS CORP	S	153.04	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	COMCAST CORP CL A	S	109.35	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	CORCEPT THERAPEUTICS INC COM	S	55.16	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	DANAHER CORPORATION	S	205.10	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	ECOLAB INC	S	149.39	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	EPAM SYSTEMS INC	S	142.39	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	FACEBOOK INC CL A	S	354.08	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	FORTINET INC	S	80.91	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	FORTIVE CORPORATION COM	S	245.85	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	GLOBUS MEDICAL INC	S	156.27	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	ILLINOIS TOOL WORKS INC	S	276.90	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	INCYTE GENOMICS INC	S	73.71	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	INTERCONTINENTAL EXCHANGE INC	S	223.56	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	KLA-TENCOR CORP COM	S	117.07	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	LIGAND PHARMACEUTICALS INC	S	251.64	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	LILLY ELI & CO	S	105.27	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	LKQ CORPORATION	S	102.33	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	MICROSOFT CORP	S	771.40	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	PACIRA PHARMACEUTICALS INC	S	44.60	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	PAYPAL HOLDINGS INC COM	S	90.70	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	ROSS STORES INC	S	94.50	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	SAGE THERAPEUTICS INC COM	S	634.64	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	SALESFORCE.COM INC	S	153.11	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	STRYKER CORP	S	169.76	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	UNILEVER PLC SPONSORED ADR	S	57.70	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	UNITEDHEALTH GROUP INC	S	269.01	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	VF CORPORATION	S	186.98	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	VISA INC CL A COMMON STOCK	S	291.26	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	WASTE CONNECTIONS INC COM	S	235.96	0.00	0.00	0.00	80.0	0.00
08/28/2018	75502051	DIPENICE, NINA	YUM BRANDS INC	S	85.05					

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: RIA											
07/01/2018	75502048	FERRUZZI, KENNETH		FAS FEES PT. II/III	B	-576.18	95.51	0.00	95.51	80.0	76.41
07/01/2018	75502049	MORRIS, MICHAEL		FAS FEES PT. II/III	B	-730.43	134.53	0.00	134.53	80.0	107.62
07/01/2018	75502050	DIPENICE, WILLIAM		FAS FEES PT. II/III	B	-474.69	78.69	0.00	78.69	80.0	62.95
07/01/2018	75502051	DIPENICE, NINA		FAS FEES PT. II/III	B	-1,162.61	210.70	0.00	210.70	80.0	168.56
07/01/2018	75502052	DIPENICE, WILLIAM		FAS FEES PT. II/III	B	-118.17	29.38	0.00	29.38	80.0	23.50
07/01/2018	75502083	MAISELL, ADRIANE		FAS FEES PT. II/III	B	-516.96	85.69	0.00	85.69	80.0	68.55
				* RIA SUBTOTAL *		\$-3,579.04	\$634.50	\$0.00	\$634.50		\$507.59
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/31/2018		FEE COUNT - 1				0.00	0.07	0.00	0.07	80.0	0.00
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.07	\$0.00	\$0.07		\$0.00
				*** BROKER TRANSACTIONS TOTAL ***		\$32,881.24	\$634.57	\$0.00	\$634.57		\$507.59
ADJUSTMENTS											
08/31/2018		E & O INSURANCE: E & O INSURANCE				0.00	0.00	0.00	0.00		-250.00
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00		-20.00
08/31/2018		OTHER: OTHER-360 OFFICE				0.00	0.00	0.00	0.00		-8.00
08/31/2018		OTHER: RBC- WEALTH STATION				0.00	0.00	0.00	0.00		-25.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00
BROKER COMMISSION TOTALS											
Broker Transactions										\$507.59	
Split Transactions										\$0.00	
Override Transactions										\$0.00	
Adjustments										\$-303.00	
Payroll Draw										\$0.00	
Base Salary										\$0.00	
Prior Period Balance										\$0.00	
FINRA Assessment										\$-0.79	
SIPC Assessment										\$-1.59	
Check Amount										\$202.21	
Year-to-date Earnings										\$1,607.35	