

COMMISSION STATEMENT

July 31, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/11/2017 (None)	VARIOUS, WINNICK	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	5,000.00	0.00	5,000.00	55.0	2,750.00
		* MUTUAL FUNDS SUBTOTAL *		\$0.00	\$5,000.00	\$0.00	\$5,000.00		\$2,750.00
<u>PRODUCT CATEGORY: STOCKS</u>									
07/18/2017 75501342	WINNICK, HILLARY	FIRST RESOURCE BANK PA	B	17,997.80	20.00	0.00	20.00	55.0	0.00
07/26/2017 75501342	WINNICK, HILLARY	TROPICANA ENTMT INC COM	B	4,281.75	20.00	0.00	20.00	55.0	0.00
07/18/2017 75501345	WINNICK, JOELY	FIRST RESOURCE BANK PA	B	17,997.80	20.00	0.00	20.00	55.0	0.00
07/26/2017 75501345	WINNICK, JOELY	TROPICANA ENTMT INC COM	B	4,281.75	20.00	0.00	20.00	55.0	0.00
07/05/2017 QGN006058	HUNTINGTON NATIONAL	GREEN BRICK PARTNERS INC COM	B	100,536.30	850.00	0.00	850.00	55.0	467.50
07/05/2017 QGN006058	HUNTINGTON NATIONAL	GREEN BRICK PARTNERS INC COM	B	-100,536.30	-850.00	0.00	-850.00	55.0	-467.50 C
07/05/2017 QGN006058	HUNTINGTON NATIONAL	GREEN BRICK PARTNERS INC COM	B	100,536.30	170.00	0.00	170.00	55.0	93.50
07/05/2017 QGV006075	PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0	B	12,500.00	60.00	0.00	60.00	55.0	33.00
07/19/2017 QGV006075	PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0	B	12,200.00	80.00	0.00	80.00	55.0	44.00
07/03/2017 QGV006208	HEARTLAND VALUE FUND	NCI INC CL A	S	-1,990,000.00	5,000.00	0.00	5,000.00	55.0	2,750.00
07/25/2017 QGW031072	KRAFF REVOCABLE	INTREXON CORP COM	B	232,730.00	2,500.00	0.00	2,500.00	55.0	1,375.00
07/14/2017 QGW034852	HOLMES, MEGAN	PROSHARES TR II ULTA BLOOMBERG CRUD S		-70,000.00	51.00	0.00	51.00	55.0	28.05
		* STOCKS SUBTOTAL *		\$-1,657,474.60	\$7,941.00	\$0.00	\$7,941.00		\$4,323.55
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/10/2017 QGW034852	HOLMES, MEGAN	CALL 100 PROSHARES TR II ULTA BLOOM S		-1,450.00	51.00	0.00	51.00	55.0	28.05
		* OPTIONS SUBTOTAL *		\$-1,450.00	\$51.00	\$0.00	\$51.00		\$28.05
		*** BROKER TRANSACTIONS TOTAL ***		\$-1,658,924.60	\$12,992.00	\$0.00	\$12,992.00		\$7,101.60

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ADJUSTMENTS

07/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-5,319.25
07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-5,339.25

BROKER COMMISSION TOTALS

Broker Transactions	\$7,101.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,339.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.24
SIPC Assessment	\$-32.48

Check Amount	\$1,713.63
Year-to-date Earnings	\$2,585.69