

COMMISSION STATEMENT

August 31, 2018

MARY COSTA

6008 Lake Manor Drive

Baltimore, MD 21210-1019

BROKER #: A12 P7M

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARY COSTA

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

| | | | | | | | | | | |
|---------------------|----------|-------------|-----------------------------------|---|--------------------|----------------|----------------|----------------|------|---------------|
| 08/10/2018 | 75502193 | COSTA, GALE | SINCLAIR BROADCAST GROUP INC CL A | B | 14,099.95 | 20.00 | 10.00 | 10.00 | 70.0 | 4.00 |
| 08/10/2018 | 75502193 | COSTA, GALE | TRIBUNE MEDIA COMPANY CL A | B | 13,967.20 | 20.00 | 10.00 | 10.00 | 70.0 | 4.00 |
| * STOCKS SUBTOTAL * | | | | | <u>\$28,067.15</u> | <u>\$40.00</u> | <u>\$20.00</u> | <u>\$20.00</u> | | <u>\$8.00</u> |

PRODUCT CATEGORY: BONDS

| | | | | | | | | | | |
|--------------------|----------|-----------------|---------------------------------------|--|---------------------|-----------------|----------------|----------------|------|----------------|
| 08/06/2018 | 75502192 | COSTA, GALE | UNITED STATES TREAS BILLS 0.000% 06 B | | 195,972.01 | 100.00 | 10.00 | 90.00 | 70.0 | 60.00 |
| 08/06/2018 | 75502194 | COSTA, SHERIDAN | UNITED STATES TREAS BILLS 0.000% 06 B | | 195,972.01 | 0.00 | 10.00 | -10.00 | 70.0 | -10.00 |
| * BONDS SUBTOTAL * | | | | | <u>\$391,944.02</u> | <u>\$100.00</u> | <u>\$20.00</u> | <u>\$80.00</u> | | <u>\$50.00</u> |

PRODUCT CATEGORY: RIA

| | | | | | | | | | | |
|-----------------------------------|----------|-----------------|---------------------|---|---------------------|-------------------|-----------------|-------------------|------|-------------------|
| 07/01/2018 | 75502194 | COSTA, SHERIDAN | FAS FEES PT. II/III | B | -7,604.71 | 2,344.65 | 10.00 | 2,334.65 | 70.0 | 1,631.26 |
| 07/05/2018 | 75502194 | COSTA, SHERIDAN | FAS FEES PT. II/III | B | 1,704.57 | -537.62 | 10.00 | -547.62 | 70.0 | -386.33 |
| 08/02/2018 | 75502201 | COSTA, SHERIDAN | FAS FEES PT. I/II | B | -1,260.19 | 527.71 | 10.00 | 517.71 | 70.0 | 359.40 |
| 08/02/2018 | 75502201 | COSTA, SHERIDAN | FAS FEES PT. II/II | B | -1,260.19 | 527.71 | 10.00 | 517.71 | 70.0 | 359.40 |
| 08/03/2018 | 75502201 | COSTA, SHERIDAN | FAS FEES PT. I/II | B | -97.91 | 41.51 | 10.00 | 31.51 | 70.0 | 19.06 |
| 08/03/2018 | 75502201 | COSTA, SHERIDAN | FAS FEES PT. II/II | B | -97.91 | 42.94 | 10.00 | 32.94 | 70.0 | 20.06 |
| * RIA SUBTOTAL * | | | | | <u>\$-8,616.34</u> | <u>\$2,946.90</u> | <u>\$60.00</u> | <u>\$2,886.90</u> | | <u>\$2,002.85</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | | | <u>\$411,394.83</u> | <u>\$3,086.90</u> | <u>\$100.00</u> | <u>\$2,986.90</u> | | <u>\$2,060.85</u> |

ADJUSTMENTS

| | | | | | | | | | | |
|---------------------------|--|-----------------------------|--|--|---------------|---------------|---------------|---------------|--|------------------|
| 08/31/2018 | | OTHER: OCT ADV FEE 75502201 | | | 0.00 | 0.00 | 0.00 | 0.00 | | -527.71 |
| 08/31/2018 | | OTHER: OCT ADV 75502201 | | | 0.00 | 0.00 | 0.00 | 0.00 | | -41.51 |
| *** ADJUSTMENTS TOTAL *** | | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$-569.22</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,060.85 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-569.22 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-3.86 |
| SIPC Assessment | \$-7.72 |

| | |
|------------------------------|-------------------|
| Check Amount | \$1,480.05 |
| Year-to-date Earnings | \$7,737.72 |