



COMMISSION STATEMENT

February 12, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 02/06/2018 | ***-**-593X - WOODY, ROBERT | AMERICAN 2020 TARGET DATE RETIREMNT | 297.80 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| | ACCOUNT #: LSR010802 | SELL 24 Units @12.4000 | | | | | | |
| 01/29/2018 | ***-**-6453 - BORDONARO, LINDA | AMERICAN BALANCED FUND-A | 32.76 | 0.90 | 0.00 | 0.90 | 80.0 | 0.72 |
| | ACCOUNT #: 00083064667 | BUY 1 Units @29.1700 | | | | | | |
| 01/29/2018 | ***-**-6453 - BORDONARO, LINDA | AMERICAN BALANCED FUND-A | 20.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00083064667 | BUY 1 Units @29.1700 | | | | | | |
| 01/29/2018 | ***-**-7973 - ROOSA, JOHN | AMERICAN BALANCED FUND-A | 46.39 | 1.28 | 0.00 | 1.28 | 80.0 | 1.02 |
| | ACCOUNT #: 04000563712 | BUY 2 Units @29.1700 | | | | | | |
| 01/29/2018 | ***-**-7973 - ROOSA, JOHN | AMERICAN BALANCED FUND-A | 154.64 | 4.25 | 0.00 | 4.25 | 80.0 | 3.40 |
| | ACCOUNT #: 04000563712 | BUY 5 Units @29.1700 | | | | | | |
| 02/02/2018 | ***-**-875P - SHIMUNOV, ILICH | AMERICAN BALANCED FUND-A | 30.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00081805810 | BUY 1 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-875P - SHIMUNOV, ILICH | AMERICAN BALANCED FUND-A | 30.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00081805810 | BUY 1 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-707I - COLON, ANGEL | AMERICAN BALANCED FUND-A | 25.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00081805964 | BUY 1 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-707I - COLON, ANGEL | AMERICAN BALANCED FUND-A | 25.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00081805964 | BUY 1 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-228I - FAULKNER, | AMERICAN BALANCED FUND-A | 298.08 | 4.77 | 0.00 | 4.77 | 80.0 | 3.82 |
| | ACCOUNT #: 00081805988 | BUY 11 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-228I - FAULKNER, | AMERICAN BALANCED FUND-A | 298.08 | 4.77 | 0.00 | 4.77 | 80.0 | 3.82 |
| | ACCOUNT #: 00081805988 | BUY 11 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-689I - FAULKNER, SANDRA | AMERICAN BALANCED FUND-A | 10.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00081806027 | BUY 0 Units @28.0900 | | | | | | |
| 02/02/2018 | ***-**-689I - FAULKNER, SANDRA | AMERICAN BALANCED FUND-A | 298.08 | 4.77 | 0.00 | 4.77 | 80.0 | 3.82 |
| | ACCOUNT #: 00081806027 | BUY 11 Units @28.0900 | | | | | | |
| 01/29/2018 | ***-**-309I - PARENT, NANCY | AMERICAN FUNDS 2020 TARGET DATE-A | 20.00 | 0.55 | 0.00 | 0.55 | 80.0 | 0.44 |
| | ACCOUNT #: 00087513340 | BUY 1 Units @13.4300 | | | | | | |
| 01/29/2018 | ***-**-309I - PARENT, NANCY | AMERICAN FUNDS 2020 TARGET DATE-A | 21.55 | 0.59 | 0.00 | 0.59 | 80.0 | 0.47 |
| | ACCOUNT #: 00087513340 | BUY 2 Units @13.4300 | | | | | | |
| 01/29/2018 | ***-**-5975 - PAQUETTE, NICOLE | AMERICAN FUNDS 2035 TARGET DATE-A | 72.12 | 1.98 | 0.00 | 1.98 | 80.0 | 1.58 |
| | ACCOUNT #: 04000563724 | BUY 4 Units @16.2800 | | | | | | |



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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 01/29/2018 | ***-**-5975 - PAQUETTE, NICOLE | AMERICAN FUNDS 2035 TARGET DATE-A | 43.27 | 1.19 | 0.00 | 1.19 | 80.0 | 0.95 |
| | ACCOUNT #: 04000563724 | BUY 3 Units @16.2800 | | | | | | |
| 01/29/2018 | ***-**-945P - MORIARTY, | AMERICAN FUNDS 2050 TARGET DATE-A | 44.09 | 1.21 | 0.00 | 1.21 | 80.0 | 0.97 |
| | ACCOUNT #: 00081526588 | BUY 3 Units @16.6600 | | | | | | |
| 01/29/2018 | ***-**-945P - MORIARTY, | AMERICAN FUNDS 2050 TARGET DATE-A | 187.00 | 5.14 | 0.00 | 5.14 | 80.0 | 4.11 |
| | ACCOUNT #: 00081526588 | BUY 11 Units @16.6600 | | | | | | |
| 01/29/2018 | ***-**-6923 - MELIN-MILES, | AMERICAN FUNDS 2050 TARGET DATE-A | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| | ACCOUNT #: 04000563702 | BUY 6 Units @16.6600 | | | | | | |
| 01/29/2018 | ***-**-6923 - MELIN-MILES, | AMERICAN FUNDS 2050 TARGET DATE-A | 48.83 | 1.34 | 0.00 | 1.34 | 80.0 | 1.07 |
| | ACCOUNT #: 04000563702 | BUY 3 Units @16.6600 | | | | | | |
| 02/02/2018 | ***-**-2954 - RESTO, NORBERTO | AMERICAN FUNDS GRWTH & INCOME PORT | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00069029798 | BUY 2 Units @15.5000 | | | | | | |
| 02/02/2018 | ***-**-2954 - RESTO, NORBERTO | AMERICAN FUNDS GRWTH & INCOME PORT | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00069029798 | BUY 2 Units @15.5000 | | | | | | |
| 02/02/2018 | ***-**-3307 - COUGHLAN, HARRY | AMERICAN FUNDS GRWTH & INCOME PORT | 240.50 | 2.41 | 0.00 | 2.41 | 80.0 | 1.93 |
| | ACCOUNT #: 00084434107 | BUY 16 Units @15.5000 | | | | | | |
| 02/02/2018 | ***-**-3307 - COUGHLAN, HARRY | AMERICAN FUNDS GRWTH & INCOME PORT | 65.58 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| | ACCOUNT #: 00084434107 | BUY 4 Units @15.5000 | | | | | | |
| 02/02/2018 | ***-**-0391 - HERNANDEZ, | AMERICAN FUNDS GRWTH & INCOME PORT | 34.12 | 0.34 | 0.00 | 0.34 | 80.0 | 0.27 |
| | ACCOUNT #: 00085621852 | BUY 2 Units @15.5000 | | | | | | |
| 02/02/2018 | ***-**-0391 - HERNANDEZ, | AMERICAN FUNDS GRWTH & INCOME PORT | 35.00 | 0.35 | 0.00 | 0.35 | 80.0 | 0.28 |
| | ACCOUNT #: 00085621852 | BUY 2 Units @15.5000 | | | | | | |
| 02/09/2018 | ***-**-176I - RIOS, CARLOS | LIVEWELL MUTUAL FUND | 0.00 | 14.28 | 0.00 | 14.28 | 80.0 | 11.42 |
| | ACCOUNT #: 7200020442 | BUY 0 Units @0.0000 | | | | | | |
| 02/09/2018 | ***-**-358I - KALLIPOLITES, | LIVEWELL MUTUAL FUND | 0.00 | 47.28 | 0.00 | 47.28 | 80.0 | 37.82 |
| | ACCOUNT #: 7200022756 | BUY 0 Units @0.0000 | | | | | | |
| 02/02/2018 | ***-**-875P - SHIMUNOV, ILICH | U.S. GOVERNMENT SECURITIES FUND CLA | 30.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00081805810 | BUY 2 Units @13.6900 | | | | | | |
| 02/02/2018 | ***-**-875P - SHIMUNOV, ILICH | U.S. GOVERNMENT SECURITIES FUND CLA | 30.00 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00081805810 | BUY 2 Units @13.6900 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$2,587.89 | \$104.74 | \$18.00 | \$86.74 | | \$65.77 |



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| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 01/31/2018 | ***-**-01IN - PELLETIER, KELLY | FRANKLIN GROWTH FUND CLASS A | 0.00 | 36.70 | 0.00 | 36.70 | 80.0 | 29.36 |
| | ACCOUNT #: 000060177222593 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-01IN - PELLETIER, KELLY | FRANKLIN INCOME FUND CLASS A | 0.00 | 15.16 | 0.00 | 15.16 | 80.0 | 12.13 |
| | ACCOUNT #: 000090236508494 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-078I - PELLETIER, | FT 2015 RETIREMENT TARGET FUND - CL | 0.00 | 34.21 | 0.00 | 34.21 | 80.0 | 27.37 |
| | ACCOUNT #: 000000000017588 | BUY 0 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-968X - GAW, LELAND | MASSACHUSETTS INVESTORS TRUST-A | 0.00 | 7.04 | 0.00 | 7.04 | 80.0 | 5.63 |
| | ACCOUNT #: LSR010777 | BUY 1 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-552I - FAMIGLIETTI, | MASSACHUSETTS INVESTORS TRUST-A | 0.00 | 6.74 | 0.00 | 6.74 | 80.0 | 5.39 |
| | ACCOUNT #: LSR011127 | BUY 1 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-968X - GAW, LELAND | MFS LIMITED MATURITY FUND-A | 0.00 | 1.70 | 0.00 | 1.70 | 80.0 | 1.36 |
| | ACCOUNT #: LSR010777 | BUY 1 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-552I - FAMIGLIETTI, | MFS RESEARCH BOND FUND CLASS A | 0.00 | 1.52 | 0.00 | 1.52 | 80.0 | 1.22 |
| | ACCOUNT #: LSR011127 | BUY 1 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-968X - GAW, LELAND | MFS STRATEGIC INCOME FUND-A | 0.00 | 4.73 | 0.00 | 4.73 | 80.0 | 3.78 |
| | ACCOUNT #: LSR010777 | BUY 1 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-552X - FAMIGLIETTI, | MFS STRATEGIC INCOME FUND-A | 0.00 | 5.18 | 0.00 | 5.18 | 80.0 | 4.14 |
| | ACCOUNT #: LSR010780 | BUY 1 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-01IN - PELLETIER, KELLY | MUTUAL GLOBAL DISCOVERY FUND - CLAS | 0.00 | 28.09 | 0.00 | 28.09 | 80.0 | 22.47 |
| | ACCOUNT #: 000000007745197 | BUY 0 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-657Q - EDWARD SEGAL | QUALIFIED PLAN SUMMARY | 0.00 | 380.64 | 0.00 | 380.64 | 80.0 | 304.52 |
| | ACCOUNT #: 00670223965 | BUY 0 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-731I - GEARY, ALICE | SAMMONS MF TRAILS | 0.00 | 59.75 | 0.00 | 59.75 | 80.0 | 47.80 |
| | ACCOUNT #: 7200001261 | BUY 0 Units @0.0000 | | | | | | |
| 02/12/2018 | ***-**-700I - NIHILL, TODD | SAMMONS MF TRAILS | 0.00 | 33.75 | 0.00 | 33.75 | 80.0 | 27.00 |
| | ACCOUNT #: 7200019445 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-01IN - PELLETIER, KELLY | TEMP GLOBAL BOND FND - CL A | 0.00 | 20.83 | 0.00 | 20.83 | 80.0 | 16.66 |
| | ACCOUNT #: 000006984020841 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$636.04 | \$0.00 | \$636.04 | | \$508.83 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$2,587.89 | \$740.78 | \$18.00 | \$722.78 | | \$574.60 |



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|--------------------------------|--------|------------|--------|------------------|-----------------|----------------|------|-------------------|--|
| | | | | | | | | | |
| BROKER COMMISSION TOTALS | | | | | | | | | |
| | | | | | | | | | |
| Broker Transactions \$279.11 | | | | | | | | | |
| Split Transactions \$0.00 | | | | | | | | | |
| Override Transactions \$0.00 | | | | | | | | | |
| Adjustments \$0.00 | | | | | | | | | |
| Payroll Draw \$0.00 | | | | | | | | | |
| Base Salary \$0.00 | | | | | | | | | |
| Prior Period Balance \$0.00 | | | | | | | | | |
| FINRA Assessment \$0.00 | | | | | | | | | |
| SIPC Assessment \$0.00 | | | | | | | | | |
| | | | | | | | | | |
| Net Commission Earned \$574.60 | | | | | | | | | |
| Year-to-date Earnings \$123.92 | | | | | | | | | |