

COMMISSION STATEMENT

June 09, 2017

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: 74
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/16/2017	75502052	DIPENICE, WILLIAM	DREYFUS STRATEGIC VALUE FUND CL I	S	600.00	0.00	0.00	0.00	80.0	0.00
05/16/2017	75502052	DIPENICE, WILLIAM	VANGUARD WORLD FD US GROWTH FD ADMI	S	600.00	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$1,200.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

04/27/2017	75502048	FERRUZZI, KENNETH	SYNCHRONOSS TECHNOLOGIES INC	S	513.86	0.00	0.00	0.00	80.0	0.00
05/12/2017	75502049	MORRIS, MICHAEL	ALLERGAN PLC	S	2,073.96	0.00	0.00	0.00	80.0	0.00
05/12/2017	75502049	MORRIS, MICHAEL	COMCAST CORP CL A	S	1,208.15	0.00	0.00	0.00	80.0	0.00
05/12/2017	75502049	MORRIS, MICHAEL	UNITEDHEALTH GROUP INC	S	171.76	0.00	0.00	0.00	80.0	0.00
05/02/2017	75502050	DIPENICE, WILLIAM	ADOBE SYSTEMS INC	S	948.44	0.00	0.00	0.00	80.0	0.00
05/02/2017	75502050	DIPENICE, WILLIAM	ALPHABET INC CLASS C CAPITAL STOCK	S	1,835.47	0.00	0.00	0.00	80.0	0.00
05/02/2017	75502050	DIPENICE, WILLIAM	AUTODESK INC	B	1,836.77	0.00	0.00	0.00	80.0	0.00
05/02/2017	75502050	DIPENICE, WILLIAM	VISA INC CL A COMMON STOCK	S	1,938.83	0.00	0.00	0.00	80.0	0.00
05/03/2017	75502050	DIPENICE, WILLIAM	T MOBILE US INC	S	724.20	0.00	0.00	0.00	80.0	0.00
05/11/2017	75502050	DIPENICE, WILLIAM	ZAYO GROUP HOLDINGS INC COM	B	1,260.76	0.00	0.00	0.00	80.0	0.00
05/18/2017	75502050	DIPENICE, WILLIAM	CENTENE CORP DEL	S	2,498.95	0.00	0.00	0.00	80.0	0.00
05/24/2017	75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A B		1,987.27	0.00	0.00	0.00	80.0	0.00
05/24/2017	75502050	DIPENICE, WILLIAM	FIDELITY NATIONAL INFORMATION SERVI S		2,514.90	0.00	0.00	0.00	80.0	0.00
05/24/2017	75502050	DIPENICE, WILLIAM	J P MORGAN CHASE & CO	S	2,312.57	0.00	0.00	0.00	80.0	0.00
05/24/2017	75502050	DIPENICE, WILLIAM	VERISK ANALYTICS INC COM	B	3,040.03	0.00	0.00	0.00	80.0	0.00
05/25/2017	75502050	DIPENICE, WILLIAM	CHENIERE ENERGY INC COM	S	1,153.17	0.00	0.00	0.00	80.0	0.00
05/25/2017	75502050	DIPENICE, WILLIAM	IHS MARKIT LTD SHS	S	2,687.41	0.00	0.00	0.00	80.0	0.00
05/25/2017	75502050	DIPENICE, WILLIAM	ORACLE CORPORATION	B	3,618.40	0.00	0.00	0.00	80.0	0.00
05/25/2017	75502050	DIPENICE, WILLIAM	ROYAL DUTCH SHELL PLC SPONSORED ADR B		282.95	0.00	0.00	0.00	80.0	0.00
04/27/2017	75502051	DIPENICE, NINA	SYNCHRONOSS TECHNOLOGIES INC	S	886.42	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ACCELERON PHARMA INC	B	31.91	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ACUITY BRANDS INC	S	180.04	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	AKORN INC	S	66.27	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ALPHABET INC CLASS C CAPITAL STOCK	S	932.73	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ANSYS INC	S	236.85	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	CELGENE CORP	S	248.96	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
05/04/2017	75502051	DIPENICE, NINA	CHURCH & DWIGHT CO INC	S	101.47	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	COGNIZANT TECHNOLOGY SOLUTIONS CORP	S	61.06	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	COSTCO WHOLESALE CORP-NEW	S	182.47	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	CRITEO S A SPONSORED ADS	B	51.96	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	DANAHER CORPORATION	S	83.80	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	DEPOMED INC	S	22.36	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	DICKS SPORTING GOODS INC	S	50.85	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ECOLAB INC	S	126.09	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	EOG RES INC	B	177.46	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	EPAM SYSTEMS INC	S	79.81	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	FACEBOOK INC CL A	B	150.83	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	FORTINET INC	S	39.53	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	FORTIVE CORPORATION COM	S	63.00	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	LILLY ELI & CO	S	82.91	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	MAXIMUS INC	S	122.80	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	PEPSICO INC	S	112.86	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ROSS STORES INC	B	64.68	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	TRANSDIGM GROUP INCORPORATED	S	249.94	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	ULTA SALON COSMETICS & FRAGRANCE IN	S	290.79	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	UNITEDHEALTH GROUP INC	S	173.97	0.00	0.00	0.00	80.0	0.00
05/04/2017	75502051	DIPENICE, NINA	XPO LOGISTICS INC COM	S	157.14	0.00	0.00	0.00	80.0	0.00
04/27/2017	75502083	MAISELL, ADRIANE	SYNCHRONOSS TECHNOLOGIES INC	S	385.40	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *					\$38,022.21	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: RIA										
05/11/2017	75502048	FERRUZZI, KENNETH	FAS FEES	B	0.00	-141.26	0.00	-141.26	80.0	-113.01
05/11/2017	75502048	FERRUZZI, KENNETH	FAS FEES	B	0.00	141.26	0.00	141.26	80.0	113.01
05/11/2017	75502049	MORRIS, MICHAEL	FAS FEES	B	0.00	217.37	0.00	217.37	80.0	173.90
05/11/2017	75502049	MORRIS, MICHAEL	FAS FEES	B	0.00	-217.37	0.00	-217.37	80.0	-173.90
05/11/2017	75502050	DIPENICE, WILLIAM	FAS FEES	B	0.00	-124.05	0.00	-124.05	80.0	-99.24
05/11/2017	75502050	DIPENICE, WILLIAM	FAS FEES	B	0.00	124.05	0.00	124.05	80.0	99.24
05/15/2017	75502051	DIPENICE, NINA	FAS FEES	B	0.00	-286.40	0.00	-286.40	80.0	-229.12
05/15/2017	75502051	DIPENICE, NINA	FAS FEES	B	0.00	286.40	0.00	286.40	80.0	229.12
05/11/2017	75502052	DIPENICE, WILLIAM	FAS FEES	B	0.00	-48.34	0.00	-48.34	80.0	-38.67
05/11/2017	75502052	DIPENICE, WILLIAM	FAS FEES	B	0.00	48.34	0.00	48.34	80.0	38.67
05/11/2017	75502083	MAISELL, ADRIANE	FAS FEES	B	0.00	122.07	0.00	122.07	80.0	97.66
05/11/2017	75502083	MAISELL, ADRIANE	FAS FEES	B	0.00	-122.				

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
06/09/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		458.66
06/09/2017		OTHER: OTHER-ADVISORY EXPENSES		0.00	0.00	0.00	0.00		-91.74
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$313.92

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$313.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$313.92
Year-to-date Earnings	\$1,255.37