

**COMMISSION STATEMENT****January 15, 2018**

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/08/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	-71,195.62	22.00	22.00	0.00	100.0	0.00
^01/08/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	-143,453.87	22.00	22.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-214,649.49	\$44.00	\$44.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/09/2018	DOLCE, CHARLES	VANGUARD ADMIRAL FDS INC S&P 500 GR	69,678.00	150.00	22.73	127.27	100.0	0.00
^01/09/2018	DOLCE, JENNIFER	VANGUARD ADMIRAL FDS INC S&P 500 GR	145,782.68	300.00	23.54	276.46	100.0	0.00
* STOCKS SUBTOTAL *			\$215,460.68	\$450.00	\$46.27	\$403.73		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$811.19	\$494.00	\$90.27	\$403.73		\$0.00

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/06/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	57.28	0.00	57.28	100.0	0.00
^12/06/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.54	0.00	5.54	100.0	0.00
^12/18/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.97	0.00	1.97	100.0	0.00
^12/19/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.72	0.00	11.72	100.0	0.00
^12/19/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.79	0.00	4.79	100.0	0.00
^12/19/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.89	0.00	7.89	100.0	0.00
^12/19/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.13	0.00	2.13	100.0	0.00
^12/19/2017	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.13	0.00	1.13	100.0	0.00
^12/19/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.68	0.00	9.68	100.0	0.00
^12/19/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.87	0.00	2.87	100.0	0.00
^12/19/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	15.38	0.00	15.38	100.0	0.00
^12/19/2017	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.68	0.00	8.68	100.0	0.00
^12/19/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.95	0.00	1.95	100.0	0.00
^12/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	9.72	0.00	9.72	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$140.73	\$0.00	\$140.73		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$140.73	\$0.00	\$140.73		\$0.00

<u>ADJUSTMENTS</u>								
01/15/2018		*PERSHING: MARK UPS	0.00					0.00
01/15/2018		*TECH: ADMIN FULMER	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.11
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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