



All Companies

03/14/2018
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COMMISSION STATEMENT March 15, 2018

EDWARD F. TATE
4620 CUMMINGS DRIVE E

Fort Worth, TX 76180-

BROKER #: 9526
BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	0.41	0.41	0.00	0.41	65.0	0.27	
02/28/2018	MATHEW, JOHN	COLLEGEBOUND 529	B	100.00	3.50	0.00	3.50	65.0	2.28	
02/26/2018	00081462698	PARSLEY, MATTHEW	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	3.75	0.00	3.75	65.0	2.44
03/08/2018	00084996018	ELSNER, AUSTIN/BECKETT	THE INVESTMENT COMPANY OF AMERICA-5	B	600.00	22.50	0.00	22.50	65.0	14.63
02/26/2018	00085223378	QUINTANA, ROMEL	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	5.00	0.00	5.00	65.0	3.25
02/20/2018	00088327158	HARNELL, LILIYA A.	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	65.0	3.25
02/26/2018	01001381232	BALLARD, ALEXANDRIA	IVK EQUITY AND INCOME FUND - CLASS	B	5,500.00	220.00	0.00	220.00	65.0	143.00
03/01/2018	07900035229	LUNDREN, TERRY/STEPHANIE	ALLIANCE PREMIER GROWTH FD CL	B B	100.00	4.00	0.00	4.00	65.0	2.60
* MUTUAL FUNDS SUBTOTAL *					\$6,600.41	\$264.16	\$0.00	\$264.16		\$171.72

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,629.38	0.00	1,629.38	65.0	1,059.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$1,629.38</u>	<u>\$0.00</u>	<u>\$1,629.38</u>		<u>\$1,059.09</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$6,600.41</u>	<u>\$1,893.54</u>	<u>\$0.00</u>	<u>\$1,893.54</u>		<u>\$1,230.81</u>

ADJUSTMENTS

03/15/2018	CHECK RECEIVED: 3/7/18			0.00	0.00	0.00	0.00		195.37
03/15/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
03/15/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$67.87</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,230.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$67.87
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-195.37

Check Amount	\$1,103.31
Year-to-date Earnings	\$1,249.30