



Concorde Investment Services, LLC

04/12/2017

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COMMISSION STATEMENT

April 15, 2017

THOMAS SCHNEIDER
3390 E. Smith Road

BROKER #: S116 Terminated 12/31/2015

BRANCH: THOMAS SCHNEIDER

Medina, OH 44256

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/03/2017 | O'CONNOR, TIMOTHY | PUTNAM GROWTH & INCOME A | 0.00 | 0.32 | 0.00 | 0.32 | 0.0 | 0.00 |
| 04/03/2017 | O'CONNOR, TIMOTHY | PUTNAM GROWTH & INCOME B | 0.00 | 1.26 | 0.00 | 1.26 | 0.0 | 0.00 |
| 04/03/2017 | O'CONNOR, TIMOTHY | PUTNAM INTERNATIONAL GROWTH-A | 0.00 | 2.45 | 0.00 | 2.45 | 0.0 | 0.00 |
| 04/03/2017 | O'CONNOR, TIMOTHY | PUTNAM MULTI CAP GROWTH A | 0.00 | 2.82 | 0.00 | 2.82 | 0.0 | 0.00 |
| 04/03/2017 | O'CONNOR, TIMOTHY | PUTNAM MULTI CAP GROWTH A | 0.00 | 2.52 | 0.00 | 2.52 | 0.0 | 0.00 |
| 04/03/2017 | O'CONNOR, TIMOTHY | PUTNAM MULTI-CAP GROWTH B | 0.00 | 1.32 | 0.00 | 1.32 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$10.69 | \$0.00 | \$10.69 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 03/30/2017 | SCHECK, VICTORIA | SBL ADVISOR PROGRAM | 13,223.29 | 2.75 | 0.00 | 2.75 | 0.0 | 0.00 |
| 03/30/2017 | KELLER, MICHELLE | SBL ADVISOR PROGRAM | 26,527.14 | 5.52 | 0.00 | 5.52 | 0.0 | 0.00 |
| 03/30/2017 | YOUNG, MARCIA | SBL ADVISOR PROGRAM | 23,253.03 | 4.84 | 0.00 | 4.84 | 0.0 | 0.00 |
| 03/30/2017 | HAWK, DUANE | SBL ADVISORDESIGNS VA | 3,688.83 | 0.76 | 0.00 | 0.76 | 0.0 | 0.00 |
| 03/30/2017 | DEFRANCESCO, STEVEN | SBL ADVISORDESIGNS VA | 7,639.53 | 1.59 | 0.00 | 1.59 | 0.0 | 0.00 |
| 03/30/2017 | FULTON, JOHN | SBL ADVISORDESIGNS VA | 8,288.94 | 1.73 | 0.00 | 1.73 | 0.0 | 0.00 |
| 03/30/2017 | BAKER, MARILYN | SBL ADVISORDESIGNS VA | 6,202.54 | 1.30 | 0.00 | 1.30 | 0.0 | 0.00 |
| 03/30/2017 | HARTONG, DEAN | SBL ADVISORDESIGNS VA | 12,552.15 | 2.62 | 0.00 | 2.62 | 0.0 | 0.00 |
| 03/30/2017 | BABIN, WILLIAM | SBL ADVISORDESIGNS VA | 131,238.75 | 27.35 | 0.00 | 27.35 | 0.0 | 0.00 |
| 03/30/2017 | LITTRELL, REX | SBL ADVISORDESIGNS VA | 6,806.82 | 1.42 | 0.00 | 1.42 | 0.0 | 0.00 |
| 03/30/2017 | MELLERT, ELLEN | SBL ADVISORDESIGNS VA | 3,793.59 | 3.16 | 0.00 | 3.16 | 0.0 | 0.00 |
| 03/30/2017 | HAWK, GLENN | SBL ADVISORDESIGNS VA | 5,876.91 | 1.22 | 0.00 | 1.22 | 0.0 | 0.00 |
| 03/30/2017 | GREGORY, CAROL | SBL ADVISORDESIGNS VA | 38,477.96 | 8.02 | 0.00 | 8.02 | 0.0 | 0.00 |
| 03/30/2017 | HAWK, MARYBELLE | SBL ADVISORDESIGNS VA | 7,684.26 | 1.60 | 0.00 | 1.60 | 0.0 | 0.00 |
| 03/30/2017 | DEFRANCESCO, MARY | SBL ADVISORDESIGNS VA | 8,139.51 | 1.69 | 0.00 | 1.69 | 0.0 | 0.00 |
| 03/30/2017 | MCCRANN, JAMES | SBL ADVISORDESIGNS VA | 5,945.34 | 1.24 | 0.00 | 1.24 | 0.0 | 0.00 |
| 03/30/2017 | KUBAN, JOANNE | SBL ADVISORDESIGNS VA | 21,803.26 | 4.54 | 0.00 | 4.54 | 0.0 | 0.00 |
| 03/30/2017 | BAKER, MARILYN | SBL ADVISORDESIGNS VA | 6,715.33 | 1.40 | 0.00 | 1.40 | 0.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-----------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 03/30/2017 | GREGORY, RICHARD | SBL ADVISORDESIGNS VA | 7,981.03 | 1.66 | 0.00 | 1.66 | 0.0 | 0.00 |
| 03/30/2017 | HAWK, MARYBELLE | SBL ADVISORDESIGNS VA | 7,902.71 | 1.65 | 0.00 | 1.65 | 0.0 | 0.00 |
| 03/30/2017 | HAWK, DUANE | SBL ADVISORDESIGNS VA | 3,328.02 | 0.70 | 0.00 | 0.70 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$357,068.94 | \$76.76 | \$0.00 | \$76.76 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$357,068.94 | \$87.45 | \$0.00 | \$87.45 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$1,892.93 |
| Year-to-date Earnings | \$0.00 |

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 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed