

COMMISSION STATEMENT

January 31, 2018

BILLY BEHRENS
28 Dell Street

BROKER #: 72
BRANCH: HOME OFFICE

Sleepy Hollow, NY 10591-

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/09/2018	75502158	GLENNON, MICHAEL	CRONOS GROUP INC COM ISIN#CA22717L1	B	2,269.87	85.00	0.00	85.00	50.0	42.50
01/11/2018	75502158	GLENNON, MICHAEL	CRONOS GROUP INC COM ISIN#CA22717L1	B	2,312.50	100.00	0.00	100.00	50.0	50.00
01/16/2018	75502158	GLENNON, MICHAEL	CRONOS GROUP INC COM ISIN#CA22717L1	B	1,630.00	75.00	0.00	75.00	50.0	37.50
01/23/2018	75502158	GLENNON, MICHAEL	KESSELRUN RESOURCES LTD COM	B	1,386.00	70.00	0.00	70.00	50.0	35.00
* STOCKS SUBTOTAL *					\$7,598.37	\$330.00	\$0.00	\$330.00		\$165.00
PRODUCT CATEGORY: OPTIONS										
01/11/2018	75501378	BANDLER, LIONEL	CALL AEM 02/16/18 48	S	1,040.00	55.00	0.00	55.00	50.0	27.50
* OPTIONS SUBTOTAL *					\$1,040.00	\$55.00	\$0.00	\$55.00		\$27.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75501457	CANIZARES, JORGE	MFS VALUE FUND-A	B	0.00	0.01	0.00	0.01	50.0	0.00
01/10/2018	75501489	CONLON, ITA	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	16.43	0.00	16.43	50.0	8.22
01/10/2018	75501489	CONLON, ITA	ROCHESTER FUND MUNICIPALS A	B	0.00	24.91	0.00	24.91	50.0	12.46
01/10/2018	75501492	VECKER, LAWRENCE	**VOYA SER FD INC MIDCAP	B	0.00	3.22	0.00	3.22	50.0	1.61
01/10/2018	75501530	GREENE, HADLEY	CALAMOS INVT TR NEW CONV FD CL C	B	0.00	80.06	0.00	80.06	50.0	40.03
01/10/2018	75501530	GREENE, HADLEY	LORD ABBETT SHORT DURATION INCOME F	B	0.00	21.55	0.00	21.55	50.0	10.78
01/10/2018	75501530	GREENE, HADLEY	LORD ABBETT SECS TR VALUE OPPTYS FD	B	0.00	6.25	0.00	6.25	50.0	3.13
01/10/2018	75501530	GREENE, HADLEY	PIM 72200Q273	B	0.00	15.85	0.00	15.85	50.0	7.93
01/10/2018	75501530	GREENE, HADLEY	PIMCO LOW DURATION FUND-CL C	B	0.00	21.62	0.00	21.62	50.0	10.81
01/10/2018	75501909	DERBY, ROSEMARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	0.92	0.00	0.92	50.0	0.00
01/10/2018	75501931	MELANSON, MARK	BOND-DEBENTURE FUND CLASS A	B	0.00	3.56	0.00	3.56	50.0	1.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$194.38	\$0.00	\$194.38		\$96.75
*** BROKER TRANSACTIONS TOTAL ***					\$8,638.37	\$579.38	\$0.00	\$579.38		\$289.25

BROKER COMMISSION TOTALS

Broker Transactions	\$289.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.72
SIPC Assessment	\$-1.45

Check Amount	\$287.08
Year-to-date Earnings	\$287.08