



COMMISSION STATEMENT

March 19, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	***-**-8090 - RICH, JOSEPH	JANUS HENDERSON GBL EQUITY INC CL	20,000.00	1,000.00	18.00	982.00	70.0	687.40
	ACCOUNT #: LSR012491	BUY 2401 Units @8.3300						
03/13/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	22.85	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @12.7000						
03/13/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	62.45	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 3 Units @24.8600						
03/14/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	1,199.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011807	SELL 49 Units @24.3100						
03/13/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	140.06	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 24 Units @5.8500						
03/09/2018	***-**-09IR - RICH, JOSEPH	RESOURCE REAL ESTATES-NFS	538.44	29.60	18.00	11.60	70.0	8.12
	ACCOUNT #: LSR010679	BUY 51 Units @10.5300						
03/09/2018	***-**-69IR - RICH, PATRICIA	RESOURCE REAL ESTATES-NFS	489.29	26.91	18.00	8.91	70.0	6.24
	ACCOUNT #: LSR010683	BUY 46 Units @10.5300						
* MUTUAL FUNDS SUBTOTAL *			\$22,453.08	\$1,056.51	\$72.00	\$984.51		\$683.76
<u>PRODUCT CATEGORY: 529 PLAN</u>								
03/19/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	2.87	0.00	2.87	70.0	2.01
		BUY 0 Units @0.0000						
03/19/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.64	0.00	2.64	70.0	1.85
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						
03/19/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	1.86	0.00	1.86	70.0	1.30
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
03/19/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.12	0.00	3.12	70.0	2.18
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$10.49	\$0.00	\$10.49		\$7.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/19/2018	***-**-752I - BRODER, RUTH	FIDELITY ADV BALANCED CL A	0.00	1.80	0.00	1.80	70.0	1.26
	ACCOUNT #: LSR010670	BUY 1 Units @0.0000						
03/19/2018	***-**-2870 - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: LSR010473	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-26IN - SANTOS, FATIMA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.48	0.00	0.48	70.0	0.34
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
03/19/2018	***-**-340J - PERRONE,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	11.02	0.00	11.02	70.0	7.71
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						
03/19/2018	***-**-287K - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: LSR011868	BUY 1 Units @0.0000						
03/19/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.33	0.00	0.33	70.0	0.23
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
03/19/2018	***-**-732J - FARQUHAR,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.20	0.00	1.20	70.0	0.84
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
03/19/2018	***-**-43IN - RISKALLA,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	4.39	0.00	4.39	70.0	3.07
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
03/19/2018	***-**-521I - SCHRYNEMAKERS,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	7.02	0.00	7.02	70.0	4.91
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						
03/19/2018	***-**-809I - RICH, JOSEPH	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	3.73	0.00	3.73	70.0	2.61
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
03/19/2018	***-**-069I - RICH, PATRICIA	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	3.45	0.00	3.45	70.0	2.42
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
03/19/2018	***-**-76TR - STEELE	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	10.51	0.00	10.51	70.0	7.36
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
03/19/2018	***-**-76TR - STEELE	FIDELITY ADV CONSUMER STAPLES-CL T	0.00	8.45	0.00	8.45	70.0	5.92
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
03/19/2018	***-**-809I - RICH, JOSEPH	FIDELITY ADV HEALTH CARE CL T	0.00	11.17	0.00	11.17	70.0	7.82
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
03/19/2018	***-**-069I - RICH, PATRICIA	FIDELITY ADV HEALTH CARE CL T	0.00	5.40	0.00	5.40	70.0	3.78
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
03/19/2018	***-**-226O - MAYERSON, MS	FIDELITY ADV HEALTH CARE CL T	0.00	2.70	0.00	2.70	70.0	1.89
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
03/19/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
03/19/2018	***-**-732J - FARQUHAR,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.29	0.00	2.29	70.0	1.60
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADV STRATEGIC DIV & INCOME BUY 1 Units @0.0000	0.00	19.96	0.00	19.96	70.0	13.97
03/19/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	FIDELITY ADVISOR EQUITY INCOME CL T BUY 1 Units @0.0000	0.00	13.68	0.00	13.68	70.0	9.58
03/19/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADVISOR REAL ESTATE INCOME BUY 1 Units @0.0000	0.00	6.08	0.00	6.08	70.0	4.26
03/19/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	JANUS HENDERSON GLBL EQUITY INC CL BUY 1 Units @0.0000	0.00	3.96	0.00	3.96	70.0	2.77
03/14/2018	***-**-57IR - SOCHA, CYNTHIA ACCOUNT #: 00002019641	JOHN HANCOCK TECHNICAL OPPS CL A BUY 0 Units @0.0000	0.00	2.07	0.00	2.07	70.0	1.45
03/19/2018	***-**-674I - RAMSEY, MARION ACCOUNT #: LSR012275	JOHN HANCOCK TECHNICAL OPPS CL A BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	70.0	0.41
03/19/2018	***-**-809I - RICH, JOSEPH ACCOUNT #: LSR010668	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	70.0	2.07
03/19/2018	***-**-09IR - RICH, JOSEPH ACCOUNT #: LSR010679	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	70.0	0.99
03/19/2018	***-**-69IR - RICH, PATRICIA ACCOUNT #: LSR010683	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	70.0	0.99
03/19/2018	***-**-069I - RICH, PATRICIA ACCOUNT #: LSR010684	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	2.95	0.00	2.95	70.0	2.07
03/19/2018	***-**-674I - RAMSEY, MARION ACCOUNT #: LSR012275	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	2.65	0.00	2.65	70.0	1.86
03/19/2018	***-**-84IR - FARQUHAR, TARA ACCOUNT #: LSR012312	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	70.0	0.34
03/19/2018	***-**-732J - FARQUHAR, ACCOUNT #: LSR012313	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	70.0	1.25
03/19/2018	***-**-721I - GUARNA, CATERINA ACCOUNT #: LSR012322	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	70.0	0.55
03/19/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	7.81	0.00	7.81	70.0	5.47
03/14/2018	***-**-118I - PIRULLI, DEBORAH ACCOUNT #: 00002034826	STRATEGIC INCOME A BUY 0 Units @0.0000	0.00	4.66	0.00	4.66	70.0	3.26



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<div>ADJUSTMENTS (continued)</div>								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$150.84	\$0.00	\$150.84		\$105.61
*** BROKER TRANSACTIONS TOTAL ***			\$22,453.08	\$1,217.84	\$72.00	\$1,145.84		\$796.71
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$796.71
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00