



COMMISSION STATEMENT

January 29, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/26/2018	***-**-0853 - BOYER, THOMAS	NYA JPM 529 AGGR AGE-BASE A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 77467864401	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$50.00	\$2.38	\$0.00	\$2.38		\$1.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-7110 - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	30.35	0.00	30.35	75.0	22.76
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/29/2018	***-**-6710 - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
01/29/2018	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	27.63	0.00	27.63	75.0	20.72
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/29/2018	***-**-6310 - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-6310 - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-6310 - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	31.37	0.00	31.37	75.0	23.53
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/29/2018	***-**-6710 - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	1.60	0.00	1.60	75.0	1.20
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	10.46	0.00	10.46	75.0	7.85
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/29/2018	***-**-6710 - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
01/29/2018	***-**-7110 - ALBERTO, MICHAEL	LARGE CAP GROWTH FUND - A	0.00	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
01/29/2018	***-**-2440 - RICHTER, DIANA	LORD ABBETT SHORT DURATION INCOME C	0.00	11.67	0.00	11.67	75.0	8.75
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	25.08	0.00	25.08	75.0	18.81
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						



COMMISSION STATEMENT

January 29, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-2440 - RICHTER, DIANA	PIMCO H/Y-NFS	0.00	24.77	0.00	24.77	75.0	18.58
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/29/2018	***-**-2440 - RICHTER, DIANA	PIMCO H/Y-NFS	0.00	9.53	0.00	9.53	75.0	7.15
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/29/2018	***-**-63IO - BARBER,	PIMCO INCOME FUND CL C	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-63IO - BARBER,	PIMCO INCOME FUND CL C	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	PIMCO LOW DURATION INCOME FUND CL C	0.00	8.44	0.00	8.44	75.0	6.33
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	PIMCO LOW DURATION INCOME FUND CL C	0.00	8.44	0.00	8.44	75.0	6.33
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/29/2018	***-**-63IO - BARBER,	PIMCO TOTAL RETURN C	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	0.00	11.16	0.00	11.16	75.0	8.37
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/29/2018	***-**-63IO - BARBER,	PIMCO TOTAL RETURN C	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	0.00	4.29	0.00	4.29	75.0	3.22
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
01/29/2018	***-**-63IO - BARBER,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
01/29/2018	***-**-7340 - RODESCHINI,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	4.61	0.00	4.61	75.0	3.46
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
01/29/2018	***-**-2440 - RICHTER, DIANA	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	8.75	0.00	8.75	75.0	6.56
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$222.18	\$0.00	\$222.18		\$166.66
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$224.56	\$0.00	\$224.56		\$168.45



BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$168.45
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$168.45
						Year-to-date Earnings		\$8,352.99