



Concorde Investment Services, LLC

12/15/2017

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COMMISSION STATEMENT

December 15, 2017

ROGER BOWLIN
507 SE Andrews Street

BROKER #: B156
BRANCH: ROGER BOWLIN

Issaquah, WA 98027-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
11/17/2017	HENDRICKSON, MARY	PASSCO PARKER DST	80,000.00	4,800.00	92.0	4,416.00	0.00	4,416.00
12/04/2017	BRICKLEMYER, EUGENE	PASSCO PARKER DST	120,000.00	7,200.00	92.0	6,624.00	0.00	6,624.00
11/17/2017	HENDRICKSON, MARY	PASSCO WATERVUE DST	100,000.00	6,000.00	92.0	5,520.00	0.00	5,520.00
* 1031 EXCHANGES SUBTOTAL *			\$300,000.00	\$18,000.00		\$16,560.00	\$0.00	\$16,560.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP 529C	0.00	13.86	90.0	12.47	0.00	12.47
12/01/2017	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	19.58	90.0	17.62	0.00	17.62
12/01/2017	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	1.38	90.0	1.24	0.00	1.24
12/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	2.55	90.0	2.30	0.00	2.30
12/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	5.20	90.0	4.68	0.00	4.68
12/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.25	90.0	3.83	0.00	3.83
12/01/2017	DEDUCTIBLE, NON	AM FUNDS AMCAP F1	0.00	2.65	90.0	2.39	0.00	2.39
12/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.23	90.0	3.81	0.00	3.81
12/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	3.43	90.0	3.09	0.00	3.09
12/01/2017	WALLACE, CHARLES	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.08	90.0	0.97	0.00	0.97
12/01/2017	DEDUCTIBLE, NON	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.11	90.0	1.00	0.00	1.00
12/01/2017	WALLACE, CHARLES	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.47	90.0	1.32	0.00	1.32
11/20/2017	NEIGEL, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	5.04	90.0	4.54	0.00	4.54
11/20/2017	HEARN, CAROL	AM FUNDS AMERICAN MUTUAL A	0.00	3.46	90.0	3.11	0.00	3.11
12/01/2017	PHILLIPS, GREGORY	AM FUNDS AMERICAN MUTUAL A	0.00	18.40	90.0	16.56	0.00	16.56
11/20/2017	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.45	90.0	8.51	0.00	8.51
12/01/2017	NELSEN, CRAIG	AM FUNDS CAPITAL INCOME BUILDER C	0.00	61.50	90.0	55.35	0.00	55.35
12/01/2017	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.97	90.0	0.87	0.00	0.87
12/01/2017	HEARN, GEORGE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.70	90.0	0.63	0.00	0.63
12/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	11.39	90.0	10.25	0.00	10.25
12/01/2017	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	16.71	90.0	15.04	0.00	15.04

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.39	90.0	0.35	0.00	0.35
12/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.80	90.0	3.42	0.00	3.42
12/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	5.21	90.0	4.69	0.00	4.69
12/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.44	90.0	4.00	0.00	4.00
12/01/2017	DEDUCTIBLE, NON	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.96	90.0	3.56	0.00	3.56
12/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	6.40	90.0	5.76	0.00	5.76
12/01/2017	HEARN, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.89	90.0	1.70	0.00	1.70
12/01/2017	HEARN, GEORGE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.39	90.0	1.25	0.00	1.25
12/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.46	90.0	3.11	0.00	3.11
12/01/2017	PHILLIPS, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.39	90.0	0.35	0.00	0.35
12/01/2017	SUBERT, TODD	AM FUNDS FUNDAMENTAL INVESTORS	0.00	3.08	90.0	2.77	0.00	2.77
12/01/2017	PHILLIPS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.51	90.0	1.36	0.00	1.36
12/01/2017	SUBERT, TODD	AM FUNDS GROWTH FUND OF AMERICA	0.00	2.67	90.0	2.40	0.00	2.40
11/20/2017	NEIGEL, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.12	90.0	6.41	0.00	6.41
11/20/2017	LEE, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	90.0	0.05	0.00	0.05
12/01/2017	WALLACE, CHARLES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.81	90.0	2.53	0.00	2.53
12/01/2017	DEDUCTIBLE, NON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.92	90.0	2.63	0.00	2.63
12/01/2017	WALLACE, CHARLES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.67	90.0	4.20	0.00	4.20
12/01/2017	HEARN, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.59	90.0	2.33	0.00	2.33
12/01/2017	HEARN, GEORGE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.87	90.0	1.68	0.00	1.68
12/01/2017	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	0.00	2.54	90.0	2.29	0.00	2.29
12/01/2017	PHILLIPS, GREGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.11	90.0	7.30	0.00	7.30
11/20/2017	NEIGEL, DAVID	AM FUNDS NEW ECONOMY A	0.00	3.36	90.0	3.02	0.00	3.02
12/01/2017	PHILLIPS, GREGORY	AM FUNDS NEW ECONOMY A	0.00	15.70	90.0	14.13	0.00	14.13
12/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE 529C	0.00	11.59	90.0	10.43	0.00	10.43
11/20/2017	NEIGEL, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	4.93	90.0	4.44	0.00	4.44
12/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.69	90.0	1.52	0.00	1.52
12/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	5.66	90.0	5.09	0.00	5.09
12/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	4.73	90.0	4.26	0.00	4.26
12/01/2017	DEDUCTIBLE, NON	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.74	90.0	1.57	0.00	1.57
12/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.32	90.0	2.09	0.00	2.09
12/01/2017	HEARN, CAROL	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.31	90.0	2.98	0.00	2.98
12/01/2017	HEARN, GEORGE	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.40	90.0	2.16	0.00	2.16

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.83	90.0	3.45	0.00	3.45
12/01/2017	PHILLIPS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.39	90.0	0.35	0.00	0.35
12/01/2017	PHILLIPS, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	13.33	90.0	12.00	0.00	12.00
12/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	3.64	90.0	3.28	0.00	3.28
12/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	4.64	90.0	4.18	0.00	4.18
12/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	8.43	90.0	7.59	0.00	7.59
12/01/2017	DEDUCTIBLE, NON	AM FUNDS WASHINGTON MUTUAL	0.00	3.80	90.0	3.42	0.00	3.42
12/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	6.70	90.0	6.03	0.00	6.03
12/01/2017	HEARN, CAROL	AM FUNDS WASHINGTON MUTUAL	0.00	0.85	90.0	0.77	0.00	0.77
12/01/2017	HEARN, GEORGE	AM FUNDS WASHINGTON MUTUAL	0.00	0.53	90.0	0.48	0.00	0.48
12/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	2.98	90.0	2.68	0.00	2.68
12/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	9.43	90.0	8.49	0.00	8.49
12/01/2017	WALLACE, CHARLES	AMERICAN FUND SMALLCAP WORKD	0.00	1.99	90.0	1.79	0.00	1.79
12/01/2017	DEDUCTIBLE, NON	AMERICAN FUND SMALLCAP WORKD	0.00	2.06	90.0	1.85	0.00	1.85
12/01/2017	WALLACE, CHARLES	AMERICAN FUND SMALLCAP WORKD	0.00	2.93	90.0	2.64	0.00	2.64
12/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.81	90.0	1.63	0.00	1.63
12/01/2017	DEDUCTIBLE, NON	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.87	90.0	1.68	0.00	1.68
12/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.82	90.0	2.54	0.00	2.54
12/01/2017	HEARN, CAROL	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.04	90.0	1.84	0.00	1.84
12/01/2017	HEARN, GEORGE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.47	90.0	1.32	0.00	1.32
12/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.87	90.0	2.58	0.00	2.58
11/16/2017	MCCAUGHAN, DANIEL	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	4.11	90.0	3.70	0.00	3.70
11/16/2017	SHIELDS, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	10.30	90.0	9.27	0.00	9.27
11/16/2017	DURRANT, BRUCE	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	5.76	90.0	5.18	0.00	5.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$415.69		\$374.15	\$0.00	\$374.15
*** DIRECT TRANSACTIONS TOTAL ***			\$300,000.00	\$18,415.69		\$16,934.15	\$0.00	\$16,934.15

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<u>ADJUSTMENTS</u>								
12/15/2017		*: PERSHING:MAIL/COURIER G WILKE	0.00					-12.00
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
12/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
12/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
12/15/2017		*TECHNOLOGY: ADMIN - K FROHARDT	0.00					-60.00
12/15/2017		*TECHNOLOGY: ADMIN - L BOWLIN	0.00					-130.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-798.00	\$0.00	\$-798.00

BROKER COMMISSION TOTALS

Broker Transactions	\$16,934.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-798.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-32.95
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,103.20
Year-to-date Gross	\$295,061.78
Year-to-date Earnings	\$270,241.21

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