

STATEMENT

February 20, 2018

JEFF HAGYARD
5060 CALIFORNIA AVE
SUITE 620
BAKERSFIELD, CA 93309

BROKER #: 214
BRANCH: LA BRANCH

COMMISSION STATEMENT for JEFF HAGYARD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	#012470001601 HARY-MARG	487300840	0.00	2.40	85.0	0.00	2.04	0.00	2.04
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.40		\$0.00	\$2.04	\$0.00	\$2.04
<u>PRODUCT CATEGORY: STOCKS</u>									
01/02/2018	# HAGYARD, SHIRLEY	AMKOR TECHNOLOGY INC	5,075.00	165.78	85.0	0.00	140.91	19.95	120.96
01/03/2018	# HAGYARD, SHIRLEY	HIVE BLOCKCHAIN TECHNOLOGIS LTD COM	2,829.15	141.46	85.0	0.00	120.24	19.95	100.29
01/03/2018	# HAGYARD, SHIRLEY	LITHIUM X ENERGY CORP COM	9,408.97	470.45	85.0	0.00	399.88	19.95	379.93
01/04/2018	# HAGYARD, SHIRLEY	GENERAL CANNABIS CORP COM	6,791.40	210.10	85.0	0.00	178.59	19.95	158.64
01/04/2018	# HAGYARD, SHIRLEY	LITHIUM X ENERGY CORP COM	5,640.00	282.00	85.0	0.00	239.70	19.95	219.75
01/05/2018	# HAGYARD, SHIRLEY	ORGANIGRAM HOLDINGS INC COMMON	3,829.70	187.63	85.0	0.00	159.49	19.95	139.54
01/05/2018	# HAGYARD, SHIRLEY	ORGANIGRAM HOLDINGS INC COMMON	-3,829.70	-187.63	85.0	0.00	-159.49	29.95	-189.44
01/08/2018	# HAGYARD, SHIRLEY	8POINT3 ENERGY PARTNERS LP CL A REP	7,720.00	204.21	85.0	0.00	173.58	19.95	153.63
01/16/2018	# HAGYARD, SHIRLEY	CANNABIS SCIENCE INC	1,050.00	52.50	85.0	0.00	44.63	19.95	24.68
01/16/2018	# HAGYARD, SHIRLEY	CANNABIS SCIENCE INC	1,050.00	52.50	85.0	0.00	44.63	19.95	24.68
01/16/2018	# HAGYARD, SHIRLEY	GLOBAL BLOOD THERAPEUTICS INC	11,310.00	210.00	85.0	0.00	178.50	19.95	158.55
01/17/2018	# HAGYARD, SHIRLEY	BARCLAYS BANK PLC IPATH S&P 500 VIX	13,570.00	289.21	85.0	0.00	245.83	19.95	225.88
01/25/2018	# HAGYARD, SHIRLEY	ARK ETF TR	9,979.00	207.96	85.0	0.00	176.77	19.95	156.82
01/26/2018	# HAGYARD, SHIRLEY	***NATIONAL ACCESS CANNABIS	3,069.33	153.47	85.0	0.00	130.45	19.95	110.50
01/26/2018	# HAGYARD, SHIRLEY	ISHARES TRUST MSCI EMERGING	9,598.73	203.36	85.0	0.00	172.86	19.95	152.91
01/26/2018	# HAGYARD, SHIRLEY	NEWELL BRANDS INC	6,541.65	186.72	85.0	0.00	158.71	19.95	138.76
01/29/2018	# HAGYARD, SHIRLEY	***OROCOBRE LTD NPV	10,256.43	345.43	85.0	0.00	293.62	19.95	273.67
01/29/2018	# HAGYARD, SHIRLEY	***OROCOBRE LTD NPV	-10,256.40	-345.43	85.0	0.00	-293.62	29.95	-323.57
01/29/2018	# HAGYARD, SHIRLEY	CREDIT SUISSE AG VELOCITYSHARES 3X	10,234.00	288.25	85.0	0.00	245.01	19.95	225.06
01/29/2018	# HAGYARD, SHIRLEY	SNAP INC CLASS A COMMON STOCK	8,124.00	219.77	85.0	0.00	186.80	19.95	166.85
01/29/2018	# HAGYARD, SHIRLEY	SOLARWINDOW TECHNOLOGIES INC	7,490.00	249.32	85.0	0.00	211.92	19.95	191.97
01/29/2018	# HAGYARD, SHIRLEY	SOLARWINDOW TECHNOLOGIES INC	-7,490.00	-249.32	85.0	0.00	-211.92	29.95	-241.87
* STOCKS SUBTOTAL *			\$111,991.26	\$3,337.74		\$0.00	\$2,837.09	\$468.90	\$2,368.19

COMMISSION STATEMENT for JEFF HAGYARD

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

12/29/2017 # HAGYARD, SHIRLEY	CALL MU	04/20/18	44	3,100.00	155.00	85.0	0.00	131.75	39.45	92.30
01/04/2018 # HAGYARD, SHIRLEY	CALL KO	01/26/18	44	1,350.00	67.50	85.0	0.00	57.38	31.65	25.73
01/08/2018 # HAGYARD, SHIRLEY	PUT SQ	01/19/18	40	840.00	50.00	85.0	0.00	42.50	39.45	3.05
01/25/2018 # HAGYARD, SHIRLEY	CALL KO	01/26/18	44	2,304.00	115.20	85.0	0.00	97.92	31.65	66.27
* OPTIONS SUBTOTAL *				\$7,594.00	\$387.70		\$0.00	\$329.55	\$142.20	\$187.35

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/03/2018 #08900008280 BENEGARY	PRINCIPAL EQUITY INCOME FUND			0.00	17.32	85.0	0.00	14.72	0.00	14.72
01/03/2018 #06008444561 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)			0.00	20.25	85.0	0.00	17.21	0.00	17.21
01/03/2018 #08900008280 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)			0.00	7.05	85.0	0.00	5.99	0.00	5.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$44.62		\$0.00	\$37.92	\$0.00	\$37.92
*** BROKER TRANSACTIONS TOTAL ***				\$119,585.26	\$3,772.46		\$0.00	\$3,206.60	\$611.10	\$2,595.50

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE									-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE									-179.00
*** ADJUSTMENTS TOTAL ***										\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,595.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,096.50
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