



Concorde Investment Services, LLC

04/12/2017
Page No. 1

COMMISSION STATEMENT

April 15, 2017

ROBERT LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/03/2017	KAMPMANN, MURIEL	AM FUNDS ICA C	250.00	2.50	0.00	2.50	95.0	2.38
04/03/2017	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	95.0	2.38
03/31/2017	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	31.85	0.00	31.85	95.0	30.26
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.25	0.00	3.25	95.0	3.09
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	12.77	0.00	12.77	95.0	12.13
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	56.71	0.00	56.71	95.0	53.87
03/17/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/17/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	30.00	0.48	0.00	0.48	95.0	0.46
03/20/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/20/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	30.00	0.48	0.00	0.48	95.0	0.46
03/28/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
03/28/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	30.00	0.48	0.00	0.48	95.0	0.46
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION B	0.00	1.26	0.00	1.26	95.0	1.20
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION B	0.00	6.42	0.00	6.42	95.0	6.10
/ /	ROBERTO, JOSEPH	OPPENHEIMER CAPITAL APPRECIATION C	0.00	10.89	0.00	10.89	95.0	10.35
/ /	ROBERTO, JOSEPH	OPPENHEIMER DISCOVERY C	0.00	17.28	0.00	17.28	95.0	16.42
/ /	GRECK, BRIAN	OPPENHEIMER EMERG MKTS INNOV	0.00	2.03	0.00	2.03	95.0	1.93
/ /	GRECK, BRIAN	OPPENHEIMER EQUITY A	0.00	10.03	0.00	10.03	95.0	9.53
/ /	SMITH, LUCILLE	OPPENHEIMER EQUITY A	0.00	4.53	0.00	4.53	95.0	4.30
/ /	GRECK, BRIAN	OPPENHEIMER EQUITY B	0.00	1.06	0.00	1.06	95.0	1.01
/ /	GUARINO, JOAN	OPPENHEIMER GLOBAL A	0.00	3.11	0.00	3.11	95.0	2.95
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL A	0.00	4.62	0.00	4.62	95.0	4.39
/ /	MCKENNA, STEPHANIE	OPPENHEIMER GLOBAL A	0.00	48.29	0.00	48.29	95.0	45.88
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	0.00	63.08	0.00	63.08	95.0	59.93
/ /	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	0.00	11.40	0.00	11.40	95.0	10.83
/ /	SMITH, DAVID	OPPENHEIMER GLOBAL A	0.00	40.45	0.00	40.45	95.0	38.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	30.00	0.48	0.00	0.48	95.0	0.46
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	30.00	0.48	0.00	0.48	95.0	0.46
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	30.00	0.48	0.00	0.48	95.0	0.46
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL ALLOCATION A	0.00	18.88	0.00	18.88	95.0	17.94
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	39.17	0.00	39.17	95.0	37.21
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	18.00	0.29	0.00	0.29	95.0	0.28
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	18.00	0.29	0.00	0.29	95.0	0.28
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	18.00	0.29	0.00	0.29	95.0	0.28
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION B	0.00	4.87	0.00	4.87	95.0	4.63
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL B	0.00	5.89	0.00	5.89	95.0	5.60
/ /	ROBERTO, JOSEPH	OPPENHEIMER GLOBAL C	0.00	34.91	0.00	34.91	95.0	33.16
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.40	0.00	2.40	95.0	2.28
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	10.86	0.00	10.86	95.0	10.32
/ /	GENNUSA, ANTHONY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.03	0.00	1.03	95.0	0.98
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC B	0.00	0.78	0.00	0.78	95.0	0.74
/ /	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	7.84	0.00	7.84	95.0	7.45
/ /	GRECK, BRIAN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	5.13	0.00	5.13	95.0	4.87
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	12.00	0.19	0.00	0.19	95.0	0.18
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	12.00	0.19	0.00	0.19	95.0	0.18
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	12.00	0.19	0.00	0.19	95.0	0.18
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	12.92	0.00	12.92	95.0	12.27
/ /	SALANITRO, ISABEL	OPPENHEIMER MAIN STREET A	0.00	4.87	0.00	4.87	95.0	4.63
/ /	SALANITRO, ALFRED	OPPENHEIMER MAIN STREET A	0.00	4.87	0.00	4.87	95.0	4.63
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET A	0.00	17.34	0.00	17.34	95.0	16.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SMITH, LUCILLE	OPPENHEIMER MAIN STREET A	0.00	5.42	0.00	5.42	95.0	5.15
/ /	ALBANESE, MICAH	OPPENHEIMER MAIN STREET A	0.00	14.78	0.00	14.78	95.0	14.04
/ /	SMITH, DAVID	OPPENHEIMER MAIN STREET A	0.00	58.71	0.00	58.71	95.0	55.77
/ /	SMITH, LUCILLE	OPPENHEIMER MAIN STREET A	0.00	0.38	0.00	0.38	95.0	0.36
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET B	0.00	1.61	0.00	1.61	95.0	1.53
/ /	ROBERTO, JOSEPH	OPPENHEIMER MAIN STREET C	0.00	29.56	0.00	29.56	95.0	28.08
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.83	0.00	2.83	95.0	2.69
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	16.43	0.00	16.43	95.0	15.61
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	73.32	0.00	73.32	95.0	69.65
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/17/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	30.00	0.48	0.00	0.48	95.0	0.46
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/20/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	30.00	0.48	0.00	0.48	95.0	0.46
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
03/28/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	30.00	0.48	0.00	0.48	95.0	0.46
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	1.31	0.00	1.31	95.0	1.24
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	6.81	0.00	6.81	95.0	6.47
/ /	FOURNIER, ELIZABETH	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	7.94	0.00	7.94	95.0	7.54
/ /	GUARINO, JOAN	OPPENHEIMER US GOVT TRUST A	0.00	3.26	0.00	3.26	95.0	3.10
/ /	ROBERTO, JOSEPH	OPPENHEIMER US GOVT TRUST C	0.00	165.06	0.00	165.06	95.0	156.81
* MUTUAL FUNDS SUBTOTAL *			\$1,696.55	\$912.41	\$0.00	\$912.41		\$866.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	HANSON, JOSEPH	ALLIANZ LIFE NY VISION	77,616.11	194.04	0.00	194.04	95.0	184.34
03/31/2017	GIOVANNIELLO, LARRY	ALLIANZ LIFE NY VISION	16,453.94	41.13	0.00	41.13	95.0	39.07
03/31/2017	PRISCO, CLARA	ALLIANZ LIFE NY VISION	85,070.33	212.68	0.00	212.68	95.0	202.05
03/31/2017	YBAY, ERLINDA	ALLIANZ LIFE NY VISION	254,831.54	637.08	0.00	637.08	95.0	605.23
03/31/2017	GENNUSA, ANTHONY	ALLIANZ NY VISION	25,035.21	31.29	0.00	31.29	95.0	29.73
03/31/2017	FOURNIER, PETER	ALLIANZ NY VISION	113,254.49	141.57	0.00	141.57	95.0	134.49
03/31/2017	NOONAN, WILLIAM	ALLIANZ NY VISION	24,653.56	30.82	0.00	30.82	95.0	29.28
03/31/2017	GRANT, JOHN	ALLIANZ NY VISION	37,666.16	47.08	0.00	47.08	95.0	44.73
03/31/2017	HANDLER, EMMA	ALLIANZ VISION	22,400.27	56.00	0.00	56.00	95.0	53.20
03/31/2017	PATTERSON, BARBARA	ALLIANZ VISION BASIC	127,882.37	159.85	0.00	159.85	95.0	151.86
03/31/2017	GUARINO, CARMINE	HARTFORD LEADERS	35,988.26	22.49	0.00	22.49	95.0	21.37

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	FAY, JUNE	HARTFORD LEADERS OUTLOOK	122,406.58	306.02	0.00	306.02	95.0	290.72
03/31/2017	SARACINO, CAROLINE	HARTFORD LEADERS OUTLOOK	101,788.56	254.47	0.00	254.47	95.0	241.75
03/31/2017	FAY, JUNE	HARTFORD LEADERS OUTLOOK	38,264.35	95.66	0.00	95.66	95.0	90.88
03/31/2017	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	57,071.86	142.68	0.00	142.68	95.0	135.55
03/31/2017	LENTINI, ANNA	HARTFORD LEADERS OUTLOOK (HL)	65,738.94	164.35	0.00	164.35	95.0	156.13
03/31/2017	ROBERTO, ROBERT	HARTFORD LEADERS OUTLOOK (HL)	8,053.44	20.13	0.00	20.13	95.0	19.12
03/31/2017	ROBERTO, RICHARD	HARTFORD LEADERS OUTLOOK (HL)	8,120.33	20.30	0.00	20.30	95.0	19.29
03/31/2017	FOURNIER, ELIZABETH	HARTFORD LEADERS OUTLOOK (HL)	60,535.23	151.34	0.00	151.34	95.0	143.77
03/31/2017	NOONAN, WILLIAM	HARTFORD LEADERS OUTLOOK (HL)	5,251.46	13.13	0.00	13.13	95.0	12.47
03/31/2017	HANDLER, EMMA	HARTFORD LEADERS OUTLOOK (HL)	120,107.40	300.27	0.00	300.27	95.0	285.26
03/31/2017	GRANT, JOHN	HARTFORD LEADERS OUTLOOK (HL)	72,526.30	181.32	0.00	181.32	95.0	172.25
03/31/2017	MCKENNA, STEPHANIE	HARTFORD LEADERS OUTLOOK 2	97,989.89	244.97	0.00	244.97	95.0	232.72
03/31/2017	GRANT, JOHN	HARTFORD LEADERS OUTLOOK 2	71,230.30	178.08	0.00	178.08	95.0	169.18
03/31/2017	FOURNIER, PETER	HARTFORD LEADERS OUTLOOK 2	32,752.07	81.88	0.00	81.88	95.0	77.79
03/31/2017	HANAK TRUST, MARGARET	HARTFORD LEADERS OUTLOOK 2	95,133.58	237.83	0.00	237.83	95.0	225.94
03/31/2017	PATTERSON, BARBARA	HARTFORD LEADERS OUTLOOK 2	17,304.39	43.26	0.00	43.26	95.0	41.10
03/31/2017	CHAPMAN, CLARENCE	HARTFORD LEADERS OUTLOOK 2	46,263.33	115.66	0.00	115.66	95.0	109.88
03/31/2017	SALANITRO, ISABEL	HARTFORD LEADERS OUTLOOK 4 - HL	52,160.19	130.40	0.00	130.40	95.0	123.88
03/31/2017	YBAY, ERLINDA	HARTFORD LEADERS OUTLOOK 4 - HL	20,426.26	51.07	0.00	51.07	95.0	48.52
03/31/2017	MOLNAR, J S KIP	HARTFORD LEADERS PLUS	169,389.71	105.87	0.00	105.87	95.0	100.58
03/31/2017	BELLAVITA, ANTOINETTE	HARTFORD LEADERS PLUS (HL)	32,116.41	20.07	0.00	20.07	95.0	19.07
03/31/2017	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	372,413.63	232.76	0.00	232.76	95.0	221.12
04/03/2017	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
03/31/2017	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	147,526.57	122.94	0.00	122.94	95.0	116.79
03/31/2017	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	87,654.83	18.26	0.00	18.26	95.0	17.35
03/31/2017	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	26,602.24	5.54	0.00	5.54	95.0	5.26
03/31/2017	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	383,108.46	79.81	0.00	79.81	95.0	75.82
03/31/2017	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	60,831.45	12.67	0.00	12.67	95.0	12.04
03/31/2017	GUARINO, CARMINE	JNL PERSPECTIVE II 04/14	189,008.92	39.38	0.00	39.38	95.0	37.41
03/31/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	187,422.65	39.05	0.00	39.05	95.0	37.10
03/31/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	20,553.05	4.28	0.00	4.28	95.0	4.07
04/03/2017	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	5,000.00	275.00	0.00	275.00	95.0	261.25
04/03/2017	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
04/10/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	750.00	41.25	0.00	41.25	95.0	39.19
03/31/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	336,313.25	70.07	0.00	70.07	95.0	66.57
03/31/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	214,380.80	44.66	0.00	44.66	95.0	42.43
03/27/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	318.44	0.00	318.44	95.0	302.52
03/27/2017	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	289.15	0.00	289.15	95.0	274.69
03/27/2017	GENNUSA, FRANCES	PAC LIFE INNOVATIVE SELECT NY	0.00	27.87	0.00	27.87	95.0	26.48
03/29/2017	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	168.21	0.00	168.21	95.0	159.80
03/31/2017	FRANCIONI, ANNA	PAC LIFE INNOVATIVE SELECT NY	0.00	627.97	0.00	627.97	95.0	596.57
04/03/2017	YBAY, ERLINDA	PAC LIFE INNOVATIVE SELECT NY	0.00	481.96	0.00	481.96	95.0	457.86
04/03/2017	LUCHSINGER, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	4,486.41	0.00	4,486.41	95.0	4,262.09
04/03/2017	GRANT, JOHN	PAC LIFE INNOVATIVE SELECT NY	0.00	125.86	0.00	125.86	95.0	119.57
04/04/2017	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	150.00	0.00	150.00	95.0	142.50
04/07/2017	ALBANESE, MICHAEL	PAC LIFE INNOVATIVE SELECT NY	0.00	578.06	0.00	578.06	95.0	549.16
03/27/2017	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	70.00	0.00	70.00	95.0	66.50
03/30/2017	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	12,439.22	2.59	0.00	2.59	95.0	2.46
03/30/2017	YBAY, ERLINDA	SBL SECURE DESIGNS VA	84,217.69	70.18	0.00	70.18	95.0	66.67
03/30/2017	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	12,351.94	2.57	0.00	2.57	95.0	2.44
03/07/2017	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	5,914.45	4.93	0.00	4.93	95.0	4.68
03/15/2017	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	104,327.54	86.94	0.00	86.94	95.0	82.59
03/30/2017	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	13,911.96	11.59	0.00	11.59	95.0	11.01
* VARIABLE ANNUITIES SUBTOTAL *			\$4,382,211.47	\$13,036.19	\$0.00	\$13,036.19		\$12,384.44

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2017	LUCHSINGER, VARIOUS	PACIFIC LIFE FUNDS	0.00	101.79	0.00	101.79	95.0	96.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$101.79	\$0.00	\$101.79		\$96.70

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

03/31/2017	FRANCIONI, ANNA	LFG TRAILS	0.00	51.37	0.00	51.37	95.0	48.80
03/31/2017	MCKENNA, STEPHANIE	LFG TRAILS	0.00	60.49	0.00	60.49	95.0	57.47
03/31/2017	MAZZA, JOSEPH	LFG TRAILS	0.00	1,465.73	0.00	1,465.73	95.0	1,392.44
03/31/2017	STOCCO, ROBERT	LFG TRAILS	0.00	204.27	0.00	204.27	95.0	194.06
03/31/2017	DEITSCH, PAUL	LFG TRAILS	0.00	801.89	0.00	801.89	95.0	761.80
03/31/2017	FOURNIER TRUST	LFG TRAILS	0.00	153.81	0.00	153.81	95.0	146.12
03/21/2017	LUCHSINGER, JANE	ING EMPIRE INNOVATIONS STD OPT A	0.00	24.81	0.00	24.81	95.0	23.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/24/2017	MINARDI, LOUIS	ING EMPIRE INNOVATIONS STD OPT A	0.00	506.54	0.00	506.54	95.0	481.21
03/31/2017	FOURNIER, PETER	ING EMPIRE INNOVATIONS STD OPT A	0.00	283.16	0.00	283.16	95.0	269.00
03/31/2017	DEITSCH, PAUL	ING EMPIRE INNOVATIONS STD OPT A	0.00	340.54	0.00	340.54	95.0	323.51
04/02/2017	HANSON, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	0.00	263.78	0.00	263.78	95.0	250.59
04/02/2017	LUCHSINGER, JANE	ING EMPIRE INNOVATIONS STD OPT B	0.00	328.74	0.00	328.74	95.0	312.30
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,485.13	\$0.00	\$4,485.13		\$4,260.87
*** BROKER TRANSACTIONS TOTAL ***			\$4,383,908.02	\$18,535.52	\$0.00	\$18,535.52		\$17,608.86

ADJUSTMENTS

04/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$17,608.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,033.86
Year-to-date Gross	\$67,896.77
Year-to-date Earnings	\$64,219.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed