



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 30, 2017

09/28/2017
Page No. 1

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/13/2017	STUCKY, JULIA	CARTER VALIDUS MC REIT II CLASS A	5,100.00	357.00	0.00	357.00	90.0	321.30
09/14/2017	ZEHR, PAUL	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
* REIT SUBTOTAL *			\$55,100.00	\$3,857.00	\$0.00	\$3,857.00		\$3,471.30
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	ROBERTSON, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.26	0.00	1.26	90.0	1.13
09/01/2017	LARKINS, TROY	AM FUNDS ICA C	0.00	100.65	0.00	100.65	90.0	90.59
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.48	0.00	0.48	90.0	0.43
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.07	0.00	1.07	90.0	0.96
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.79	0.00	0.79	90.0	0.71
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.27	0.00	0.27	90.0	0.24
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.62	0.00	0.62	90.0	0.56
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.84	0.00	0.84	90.0	0.76
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$106.51	\$0.00	\$106.51		\$95.86
<u>PRODUCT CATEGORY: STOCKS</u>								
09/13/2017	HOLLE, DONELLE	COTTONWOOD MULTIFAMILY REIT II, INC	19,000.00	0.00	0.00	0.00	90.0	0.00
09/19/2017	MIDDLETON, SETH	COTTONWOOD RESIDENTIAL INC (REIT)	29,300.00	0.00	0.00	0.00	90.0	0.00
09/14/2017	WATSON, STEVEN	EPR PPTYS COM SH BEN INT	-3,219.30	45.00	22.50	22.50	90.0	20.25
* STOCKS SUBTOTAL *			\$45,080.70	\$45.00	\$22.50	\$22.50		\$20.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/13/2017	HEYNEMAN, ALEXA	NWL SOLOIST 01	174.70	6.99	0.00	6.99	90.0	6.29
09/13/2017	HEYNEMAN, ALEXA	NWL SOLOIST 01	-174.70	-6.99	0.00	-6.99	90.0	-6.29
09/05/2017	WHITNEY, DAVID	PAC LIFE INNOVATIVE SELECT	0.00	823.24	0.00	823.24	90.0	740.92
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$823.24	\$0.00	\$823.24		\$740.92
*** BROKER TRANSACTIONS TOTAL ***			\$100,180.70	\$4,831.75	\$22.50	\$4,809.25		\$4,328.33

ADJUSTMENTS

09/30/2017		*FINRA: FINRA CE TRACKED WEB FEE S2	0.00					-55.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,328.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,273.33
Year-to-date Gross	\$104,881.95
Year-to-date Earnings	\$93,537.72

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