

All Companies
STATEMENT
April 20, 2018

JEFF HAGYARD
5060 CALIFORNIA AVE
SUITE 620
BAKERSFIELD, CA 93309

BROKER #: 214
BRANCH: LA BRANCH

COMMISSION STATEMENT for JEFF HAGYARD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/08/0018	#012470001601 HARY-MARG	487300840	0.00	2.13	85.0	0.00	1.81	0.00	1.81
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.13		\$0.00	\$1.81	\$0.00	\$1.81
<u>PRODUCT CATEGORY: STOCKS</u>									
03/08/2018	# HAGYARD, SHIRLEY	***CRISPR THERAPEUTICS AG	5,368.11	105.00	85.0	0.00	89.25	19.95	69.30
03/09/2018	# HAGYARD, SHIRLEY	3-D SYSTEMS CORP-DEL	12,149.00	317.01	85.0	0.00	269.46	19.95	249.51
03/09/2018	# HAGYARD, SHIRLEY	FIREEYE INC COM	35,556.00	620.46	85.0	0.00	527.39	19.95	507.44
03/09/2018	# HAGYARD, SHIRLEY	FIREEYE INC COM	26,635.50	529.39	85.0	0.00	449.98	19.95	430.03
03/14/2018	# HAGYARD, SHIRLEY	ENERGOUS CORPORATION	21,529.00	447.61	85.0	0.00	380.47	19.95	360.52
03/23/2018	# HAGYARD, SHIRLEY	8POINT3 ENERGY PARTNERS LP CL A REP	11,820.00	312.23	85.0	0.00	265.40	19.95	245.45
03/23/2018	# HAGYARD, SHIRLEY	CANNABIS SCIENCE INC	702.00	50.00	85.0	0.00	42.50	19.95	22.55
03/23/2018	# HAGYARD, SHIRLEY	GENERAL CANNABIS CORP COM	2,142.00	107.10	85.0	0.00	91.04	19.95	71.09
03/23/2018	# HAGYARD, SHIRLEY	GLOBAL X FUNDS GLOBAL X LITHIUM & B	16,865.00	337.09	85.0	0.00	286.53	19.95	266.58
* STOCKS SUBTOTAL *			\$132,766.61	\$2,825.89		\$0.00	\$2,402.02	\$179.55	\$2,222.47
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/08/2018	# HAGYARD, SHIRLEY	CALL MU 04/20/18 44	4,720.00	136.67	85.0	0.00	116.17	27.75	88.42
03/23/2018	# HAGYARD, SHIRLEY	CALL MU 04/20/18 44	8,310.00	214.40	85.0	0.00	182.24	31.65	150.59
* OPTIONS SUBTOTAL *			\$13,030.00	\$351.07		\$0.00	\$298.41	\$59.40	\$239.01
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00068036692	AMERICAN BALANCED FUND-529A	0.00	10.22	85.0	0.00	8.69	0.00	8.69
03/01/2018	#00073012450	AMERICAN BALANCED FUND-529A	0.00	0.59	85.0	0.00	0.50	0.00	0.50
03/01/2018	#00086893142	AMERICAN BALANCED FUND-529A	0.00	8.29	85.0	0.00	7.05	0.00	7.05
03/01/2018	#00086893161	AMERICAN BALANCED FUND-529A	0.00	8.29	85.0	0.00	7.05	0.00	7.05
03/01/2018	#00086893167	AMERICAN BALANCED FUND-529A	0.00	8.29	85.0	0.00	7.05	0.00	7.05
03/01/2018	#00082019249 MAZZEO, ROBERT	AMERICAN BALANCED FUND-F1	0.00	7.70	85.0	0.00	6.55	0.00	6.55
03/01/2018	#00082019249 MAZZEO, ROBERT	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.87	85.0	0.00	5.84	0.00	5.84
03/01/2018	#00068036692	CAPITAL WORLD GROWTH AND INCOME-529	0.00	10.36	85.0	0.00	8.81	0.00	8.81

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00073012450	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.59	85.0	0.00	0.50	0.00	0.50
03/01/2018	#00086893142	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.63	85.0	0.00	7.34	0.00	7.34
03/01/2018	#00086893161	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.63	85.0	0.00	7.34	0.00	7.34
03/01/2018	#00086893167	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.63	85.0	0.00	7.34	0.00	7.34
03/01/2018	#00082019249 MAZZEO, ROBERT	GROWTH FUND AMER INC CL F1	0.00	8.91	85.0	0.00	7.57	0.00	7.57
03/01/2018	#00081170825 BULLOCK,	GROWTH FUND AMERICA INC CLASS A	0.00	22.32	85.0	0.00	18.97	0.00	18.97
03/01/2018	#00068036692	NEW PERSPECTIVE FUND-529A	0.00	11.23	85.0	0.00	9.55	0.00	9.55
03/01/2018	#00068036694	NEW PERSPECTIVE FUND-529A	0.00	4.51	85.0	0.00	3.83	0.00	3.83
03/01/2018	#00073012450	NEW PERSPECTIVE FUND-529A	0.00	0.69	85.0	0.00	0.59	0.00	0.59
03/01/2018	#00086893142	NEW PERSPECTIVE FUND-529A	0.00	9.36	85.0	0.00	7.96	0.00	7.96
03/01/2018	#00086893161	NEW PERSPECTIVE FUND-529A	0.00	9.36	85.0	0.00	7.96	0.00	7.96
03/01/2018	#00086893167	NEW PERSPECTIVE FUND-529A	0.00	9.36	85.0	0.00	7.96	0.00	7.96
03/01/2018	#00068036692	THE GROWTH FUND OF AMERICA-529A	0.00	36.92	85.0	0.00	31.38	0.00	31.38
03/01/2018	#00068036694	THE GROWTH FUND OF AMERICA-529A	0.00	33.41	85.0	0.00	28.40	0.00	28.40
03/01/2018	#00073012450	THE GROWTH FUND OF AMERICA-529A	0.00	1.03	85.0	0.00	0.88	0.00	0.88
03/01/2018	#00086893142	THE GROWTH FUND OF AMERICA-529A	0.00	10.81	85.0	0.00	9.19	0.00	9.19
03/01/2018	#00086893161	THE GROWTH FUND OF AMERICA-529A	0.00	10.81	85.0	0.00	9.19	0.00	9.19
03/01/2018	#00086893167	THE GROWTH FUND OF AMERICA-529A	0.00	10.81	85.0	0.00	9.19	0.00	9.19
03/01/2018	#00082019249 MAZZEO, ROBERT	THE INVESTMENT COMPANY OF AMERICA F	0.00	7.78	85.0	0.00	6.61	0.00	6.61
03/01/2018	#00068036692	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	34.94	85.0	0.00	29.70	0.00	29.70
03/01/2018	#00068036694	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	18.77	85.0	0.00	15.95	0.00	15.95
03/01/2018	#00073012450	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.91	85.0	0.00	0.77	0.00	0.77
03/01/2018	#00086893142	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.68	85.0	0.00	8.23	0.00	8.23
03/01/2018	#00086893161	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.68	85.0	0.00	8.23	0.00	8.23
03/01/2018	#00086893167	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	9.68	85.0	0.00	8.23	0.00	8.23
03/02/2018	#08900008280 BENEGARY	PRINCIPAL EQUITY INCOME FUND	0.00	15.62	85.0	0.00	13.28	0.00	13.28
03/02/2018	#06008444561 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	0.00	18.49	85.0	0.00	15.72	0.00	15.72
03/02/2018	#08900008280 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	0.00	6.44	85.0	0.00	5.47	0.00	5.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$398.61		\$0.00	\$338.87	\$0.00	\$338.87
*** BROKER TRANSACTIONS TOTAL ***			\$145,796.61	\$3,577.70		\$0.00	\$3,041.11	\$238.95	\$2,802.16

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ADJUSTMENTS

04/20/2018		ADMIN FEE: ADMIN FEE							-390.00
04/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
*** ADJUSTMENTS TOTAL ***									<u>\$-784.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,802.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,018.16
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