

**COMMISSION STATEMENT****August 15, 2018**DANIEL, C142 CHARLEY
6 Lexington Court

BROKER #: C142

BRANCH: E STREET FINANCIAL L

Shamong, NJ 08088-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	10,993.00	57.08	0.00	57.08	90.0	51.37
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$10,993.00	\$57.08	\$0.00	\$57.08		\$51.37
*** DIRECT TRANSACTIONS TOTAL ***			\$10,993.00	\$57.08	\$0.00	\$57.08		\$51.37

SPLIT TRANSACTIONS**BROKER: DAN/MARC FT-C148 CHARLEY/LINS #315**

07/17/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.41
07/17/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.30	0.00	1.30	90.0	1.17
07/17/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.43	0.00	3.43	90.0	3.09
07/12/2018	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.72	0.00	2.72	90.0	2.45
07/24/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.47	0.00	2.47	90.0	2.22
07/19/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.95	0.00	0.95	90.0	0.86
07/23/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	6.41	0.00	6.41	90.0	5.77
07/16/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.46	0.00	3.46	90.0	3.11
07/16/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.21	0.00	2.21	90.0	1.99
07/19/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.96	0.00	0.96	90.0	0.86
07/16/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.59	0.00	10.59	90.0	9.53
07/16/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.77	0.00	31.77	90.0	28.59
07/16/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.76	0.00	13.76	90.0	12.38
07/16/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.30	0.00	41.30	90.0	37.17
07/16/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.21	0.00	5.21	90.0	4.69
07/16/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.63	0.00	15.63	90.0	14.07
07/16/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.15	0.00	5.15	90.0	4.64
07/16/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.46	0.00	15.46	90.0	13.91
07/16/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.68	0.00	4.68	90.0	4.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/MARC FT-C148 CHARLEY/LINS #315</u>								
07/16/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.04	0.00	14.04	90.0	12.64
07/23/2018	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	0.01	0.00	0.01	90.0	0.01
07/16/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.35	0.00	3.35	90.0	3.02
07/13/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.42	0.00	0.42	90.0	0.38
07/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	0.74	0.00	0.74	90.0	0.67
07/23/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.00	0.00	0.00	90.0	0.00
07/20/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.66	0.00	1.66	90.0	1.49
07/13/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.03	0.00	1.03	90.0	0.93
07/16/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	-1.03	0.00	-1.03	90.0	-0.93
07/23/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.03	0.00	1.03	90.0	0.93
07/13/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.65	0.00	0.65	90.0	0.59
07/16/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	-0.65	0.00	-0.65	90.0	-0.59
07/23/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.65	0.00	0.65	90.0	0.59
* DAN/MARC FT-C148 CHARLEY/LINS SUBTOTAL *			\$0.00	\$189.81	\$0.00	\$189.81		\$170.85
<u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u>								
07/16/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.71	0.00	1.71	90.0	1.54
07/16/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.21	0.00	1.21	90.0	1.09
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$2.92	\$0.00	\$2.92		\$2.63
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$192.73	\$0.00	\$192.73		\$173.48
<u>ADJUSTMENTS</u>								
08/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					581.99
08/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.00
08/15/2018		*PERSHING: MANDATORY REORG MERGER PNW099711	0.00					-5.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.99
08/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$51.37
Split Transactions	\$173.48
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$224.85
Year-to-date Gross	\$7,340.17
Year-to-date Earnings	\$6,576.36