



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: G0C
BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^01/06/2017	CAVEGN, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/10/2017	FORRAI, GERALDINE	NORTHSTAR RLTY FIN CORP COM PAR	-63,193.65	1,000.00	27.00	973.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-63,193.65	\$1,000.00	\$27.00	\$973.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-13,193.65	\$4,500.00	\$27.00	\$4,473.00		\$0.00
<u>ADJUSTMENTS</u>								
01/17/2017		*PERSHING: MARK UPS	0.00					0.00
01/17/2017		*PERSHING: MF PROSPECTUS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.02
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00