



Concorde Investment Services, LLC

07/12/2017

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COMMISSION STATEMENT

July 15, 2017

JAMES MAHER
3082 Quail Ridge Circle

BROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
06/09/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.79	0.00	1.79	90.0	1.61
06/09/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.87	0.00	6.87	90.0	6.18
06/09/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.46	0.00	1.46	90.0	1.31
06/09/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	22.16	0.00	22.16	90.0	19.94
06/09/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.72	0.00	9.72	90.0	8.75
06/09/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.39	0.00	0.39	90.0	0.35
06/09/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.47	0.00	4.47	90.0	4.02
06/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.24	0.00	2.24	90.0	2.02
06/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.90	0.00	2.90	90.0	2.61
06/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
06/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
06/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.91	0.00	1.91	90.0	1.72
06/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.97	0.00	1.97	90.0	1.77
06/08/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	4,000.00	20.00	0.00	20.00	90.0	18.00
06/09/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.37	0.00	12.37	90.0	11.13
06/09/2017	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.22	0.00	12.22	90.0	11.00
06/09/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.72	0.00	9.72	90.0	8.75
06/09/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.37	0.00	9.37	90.0	8.43
06/09/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	137.08	0.00	137.08	90.0	123.37
06/09/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.66	0.00	5.66	90.0	5.09

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.88	0.00	11.88	90.0	10.69
06/09/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.31	0.00	6.31	90.0	5.68
06/09/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.71	0.00	8.71	90.0	7.84
06/09/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.10	0.00	6.10	90.0	5.49
06/09/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	37.70	0.00	37.70	90.0	33.93
06/09/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.08	0.00	7.08	90.0	6.37
06/09/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.47	0.00	3.47	90.0	3.12
06/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.30	0.00	7.30	90.0	6.57
06/09/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.58	0.00	1.58	90.0	1.42
06/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.64	0.00	11.64	90.0	10.48
06/09/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.55	0.00	2.55	90.0	2.30
06/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.14	0.00	24.14	90.0	21.73
06/09/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.18	0.00	9.18	90.0	8.26
06/09/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.60	0.00	6.60	90.0	5.94
06/09/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.00	0.00	5.00	90.0	4.50
06/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.37	0.00	1.37	90.0	1.23
06/09/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.52	0.00	11.52	90.0	10.37
06/09/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.98	0.00	10.98	90.0	9.88
06/09/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.84	0.00	1.84	90.0	1.66
06/09/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.47	0.00	1.47	90.0	1.32
06/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.80	0.00	8.80	90.0	7.92
06/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.12	0.00	1.12	90.0	1.01
06/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.26	0.00	1.26	90.0	1.13
06/09/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.86	0.00	1.86	90.0	1.67
06/09/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.39	0.00	1.39	90.0	1.25
06/09/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.61	0.00	2.61	90.0	2.35
06/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.23	0.00	0.23	90.0	0.21
06/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.18	0.00	1.18	90.0	1.06
07/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
06/09/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.68	0.00	0.68	90.0	0.61
06/09/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	90.0	0.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.74	0.00	1.74	90.0	1.57
06/09/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	18.55	0.00	18.55	90.0	16.70
06/09/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.04	0.00	2.04	90.0	1.84
06/09/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	20.62	0.00	20.62	90.0	18.56
06/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	20.82	0.00	20.82	90.0	18.74
06/09/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.32	0.00	7.32	90.0	6.59
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.98	0.00	3.98	90.0	3.58
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.14	0.00	1.14	90.0	1.03
06/09/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.33	0.00	5.33	90.0	4.80
06/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.00	0.00	9.00	90.0	8.10
06/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.68	0.00	4.68	90.0	4.21
06/09/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.51	0.00	1.51	90.0	1.36
06/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	55.31	0.00	55.31	90.0	49.78
06/09/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.91	0.00	7.91	90.0	7.12
06/09/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.19	0.00	5.19	90.0	4.67
07/03/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
06/09/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.61	0.00	0.61	90.0	0.55
06/09/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.32	0.00	1.32	90.0	1.19
06/09/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.02	0.00	9.02	90.0	8.12
06/09/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.32	0.00	20.32	90.0	18.29
06/09/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.99	0.00	7.99	90.0	7.19
06/09/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.00	0.00	4.00	90.0	3.60
06/09/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.67	0.00	2.67	90.0	2.40
06/09/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	32.46	0.00	32.46	90.0	29.21
06/09/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.95	0.00	10.95	90.0	9.86
06/09/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	26.85	0.00	26.85	90.0	24.17
06/09/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.63	0.00	9.63	90.0	8.67
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.11	0.00	20.11	90.0	18.10
06/09/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.01	0.00	10.01	90.0	9.01
06/09/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.83	0.00	6.83	90.0	6.15
06/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.32	0.00	4.32	90.0	3.89

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.89	0.00	7.89	90.0	7.10
06/09/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.15	0.00	6.15	90.0	5.54
06/09/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.24	0.00	7.24	90.0	6.52
06/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.99	0.00	3.99	90.0	3.59
06/09/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.00	0.00	1.00	90.0	0.90
06/09/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.02	0.00	1.02	90.0	0.92
06/09/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.00	0.00	1.00	90.0	0.90
06/09/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	21.47	0.00	21.47	90.0	19.32
06/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	49.46	0.00	49.46	90.0	44.51
06/09/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.05	0.00	8.05	90.0	7.25
06/09/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.24	0.00	1.24	90.0	1.12
06/09/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.13	0.00	1.13	90.0	1.02
06/09/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.44	0.00	5.44	90.0	4.90
06/09/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.06	0.00	4.06	90.0	3.65
06/09/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.20	0.00	9.20	90.0	8.28
06/09/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.19	0.00	2.19	90.0	1.97
06/09/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.60	0.00	1.60	90.0	1.44
06/09/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.18	0.00	9.18	90.0	8.26
06/09/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.76	0.00	10.76	90.0	9.68
06/09/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.58	0.00	1.58	90.0	1.42
06/09/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	4.75	0.00	4.75	90.0	4.28
06/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.84	0.00	0.84	90.0	0.76
06/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.78	0.00	1.78	90.0	1.60
06/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.04	0.00	2.04	90.0	1.84
06/09/2017	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	20.53	0.00	20.53	90.0	18.48
06/09/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	189.15	0.00	189.15	90.0	170.24
07/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
06/09/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.24	0.00	10.24	90.0	9.22
06/09/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.33	0.00	4.33	90.0	3.90
06/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.91	0.00	0.91	90.0	0.82
06/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.43	0.00	12.43	90.0	11.19
06/09/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.94	0.00	5.94	90.0	5.35
06/09/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.92	0.00	4.92	90.0	4.43
06/09/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.01	0.00	4.01	90.0	3.61
06/09/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.90	0.00	1.90	90.0	1.71
06/09/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.35	0.00	34.35	90.0	30.92
06/09/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.04	0.00	16.04	90.0	14.44
06/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.25	0.00	16.25	90.0	14.63
06/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.06	0.00	11.06	90.0	9.95
06/09/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.42	0.00	5.42	90.0	4.88
06/09/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.53	0.00	29.53	90.0	26.58
06/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	89.11	0.00	89.11	90.0	80.20
06/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.04	0.00	4.04	90.0	3.64
06/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	45.76	0.00	45.76	90.0	41.18
06/09/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.39	0.00	11.39	90.0	10.25
06/09/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.64	0.00	4.64	90.0	4.18
06/09/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.78	0.00	7.78	90.0	7.00
06/09/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.32	0.00	17.32	90.0	15.59
06/09/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.25	0.00	28.25	90.0	25.43
06/09/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.06	0.00	14.06	90.0	12.65
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.07	0.00	9.07	90.0	8.16
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.04	0.00	18.04	90.0	16.24
06/09/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.82	0.00	10.82	90.0	9.74
06/09/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.99	0.00	3.99	90.0	3.59
06/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.13	0.00	5.13	90.0	4.62
06/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.11	0.00	15.11	90.0	13.60
06/09/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.19	0.00	12.19	90.0	10.97
06/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.64	0.00	4.64	90.0	4.18
06/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	101.19	0.00	101.19	90.0	91.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.34	0.00	12.34	90.0	11.11
06/09/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.83	0.00	12.83	90.0	11.55
06/09/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.11	0.00	9.11	90.0	8.20
06/09/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.76	0.00	10.76	90.0	9.68
06/09/2017	WOLVERINE HUMAN SCV	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.19	0.00	20.19	90.0	18.17
06/09/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.16	0.00	13.16	90.0	11.84
06/09/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.34	0.00	4.34	90.0	3.91
06/09/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.91	0.00	12.91	90.0	11.62
06/09/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.18	0.00	10.18	90.0	9.16
06/09/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.57	0.00	17.57	90.0	15.81
06/09/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.94	0.00	25.94	90.0	23.35
06/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.29	0.00	1.29	90.0	1.16
06/09/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	24.09	0.00	24.09	90.0	21.68
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.69	0.00	4.69	90.0	4.22
06/09/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.09	0.00	3.09	90.0	2.78
06/09/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.07	0.00	3.07	90.0	2.76
06/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.80	0.00	1.80	90.0	1.62
06/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.21	0.00	4.21	90.0	3.79
06/09/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.91	0.00	0.91	90.0	0.82
06/09/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.16	0.00	0.16	90.0	0.14
06/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.75	0.00	1.75	90.0	1.58
06/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.23	0.00	2.23	90.0	2.01
06/09/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.40	0.00	1.40	90.0	1.26
06/09/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.83	0.00	4.83	90.0	4.35
06/09/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.98	0.00	3.98	90.0	3.58
06/09/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.85	0.00	2.85	90.0	2.57
07/03/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
07/03/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
06/09/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	10.01	0.00	10.01	90.0	9.01
06/09/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.81	0.00	0.81	90.0	0.73
06/09/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.33	0.00	1.33	90.0	1.20
06/09/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.38	0.00	7.38	90.0	6.64
06/09/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.84	0.00	8.84	90.0	7.96

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.44	0.00	1.44	90.0	1.30
06/09/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.91	0.00	13.91	90.0	12.52
06/09/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	158.63	0.00	158.63	90.0	142.77
06/09/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.16	0.00	16.16	90.0	14.54
06/09/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.80	0.00	10.80	90.0	9.72
06/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.72	0.00	38.72	90.0	34.85
06/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.60	0.00	21.60	90.0	19.44
06/09/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.15	0.00	19.15	90.0	17.24
06/09/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.29	0.00	38.29	90.0	34.46
06/09/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.46	0.00	7.46	90.0	6.71
06/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.60	0.00	1.60	90.0	1.44
06/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	57.01	0.00	57.01	90.0	51.31
06/09/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.00	0.00	8.00	90.0	7.20
06/09/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.16	0.00	10.16	90.0	9.14
06/09/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	9.11	0.00	9.11	90.0	8.20
06/09/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	6.80	0.00	6.80	90.0	6.12
06/09/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.95	0.00	0.95	90.0	0.86
06/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.70	0.00	0.70	90.0	0.63
06/09/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.10	0.00	0.10	90.0	0.09
06/09/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.45	0.00	3.45	90.0	3.11
07/03/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
06/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
06/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.28	0.00	1.28	90.0	1.15
06/09/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	28.84	0.00	28.84	90.0	25.96
06/09/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	7.16	0.00	7.16	90.0	6.44
06/09/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	18.23	0.00	18.23	90.0	16.41
06/21/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	45,000.00	20.00	0.00	20.00	90.0	18.00
06/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	14.36	0.00	14.36	90.0	12.92
06/09/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	4.95	0.00	4.95	90.0	4.46
06/09/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	16.23	0.00	16.23	90.0	14.61
06/09/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.34	0.00	3.34	90.0	3.01
06/09/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.29	0.00	2.29	90.0	2.06
06/09/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.51	0.00	1.51	90.0	1.36

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.46	0.00	1.46	90.0	1.31
06/09/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	35.68	0.00	35.68	90.0	32.11
06/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.41	0.00	2.41	90.0	2.17
06/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.10	0.00	1.10	90.0	0.99
07/03/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
06/09/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.88	0.00	0.88	90.0	0.79
06/09/2017	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.80	0.00	0.80	90.0	0.72
06/09/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.49	0.00	2.49	90.0	2.24
07/03/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
07/03/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
06/09/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.07	0.00	1.07	90.0	0.96
06/09/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.91	0.00	0.91	90.0	0.82
06/09/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.63	0.00	1.63	90.0	1.47
06/09/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.81	0.00	11.81	90.0	10.63
06/08/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	19.45	0.00	19.45	90.0	17.51
06/08/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	14.54	0.00	14.54	90.0	13.09
06/08/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	39.76	0.00	39.76	90.0	35.78
06/08/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	72.24	0.00	72.24	90.0	65.02
06/09/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	40.62	0.00	40.62	90.0	36.56
06/09/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.22	0.00	7.22	90.0	6.50
06/09/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.80	0.00	4.80	90.0	4.32
06/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.52	0.00	7.52	90.0	6.77
06/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.01	0.00	10.01	90.0	9.01
06/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	22.17	0.00	22.17	90.0	19.95
06/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.97	0.00	6.97	90.0	6.27
06/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.35	0.00	9.35	90.0	8.42
06/09/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.55	0.00	10.55	90.0	9.50
06/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	22.80	0.00	22.80	90.0	20.52
06/27/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
06/09/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.45	0.00	7.45	90.0	6.71
06/09/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	11.24	0.00	11.24	90.0	10.12
06/09/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	6.05	0.00	6.05	90.0	5.45
06/09/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	85.17	0.00	85.17	90.0	76.65

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	0.00	0.17	0.00	0.17	90.0	0.15
06/09/2017	ALBIN, SHELLEY	FRANKLIN BALANCED C	0.00	6.00	0.00	6.00	90.0	5.40
06/09/2017	ALBIN, FREDERICK	FRANKLIN BALANCED C	0.00	6.00	0.00	6.00	90.0	5.40
06/09/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.67	0.00	1.67	90.0	1.50
06/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.72	0.00	3.72	90.0	3.35
06/09/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.31	0.00	2.31	90.0	2.08
06/09/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.92	0.00	16.92	90.0	15.23
06/09/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.68	0.00	0.68	90.0	0.61
06/09/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.85	0.00	0.85	90.0	0.77
06/09/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.54	0.00	6.54	90.0	5.89
06/09/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	100.39	0.00	100.39	90.0	90.35
06/09/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.08	0.00	7.08	90.0	6.37
06/09/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.64	0.00	7.64	90.0	6.88
06/09/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.43	0.00	6.43	90.0	5.79
06/09/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.70	0.00	12.70	90.0	11.43
06/09/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.86	0.00	14.86	90.0	13.37
06/09/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.90	0.00	6.90	90.0	6.21
06/09/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.99	0.00	5.99	90.0	5.39
06/09/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.42	0.00	7.42	90.0	6.68
06/09/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	4.30	0.00	4.30	90.0	3.87
06/09/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	90.10	0.00	90.10	90.0	81.09
06/09/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.30	0.00	3.30	90.0	2.97
06/09/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.68	0.00	5.68	90.0	5.11
06/09/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.65	0.00	10.65	90.0	9.59
06/09/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	14.03	0.00	14.03	90.0	12.63
06/09/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.62	0.00	5.62	90.0	5.06
06/09/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	12.29	0.00	12.29	90.0	11.06
06/09/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.55	0.00	9.55	90.0	8.60
06/09/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.87	0.00	13.87	90.0	12.48
06/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.89	0.00	7.89	90.0	7.10
06/09/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.78	0.00	6.78	90.0	6.10
06/09/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.48	0.00	4.48	90.0	4.03
06/09/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.17	0.00	2.17	90.0	1.95

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	1.01	0.00	1.01	90.0	0.91
06/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.28	0.00	12.28	90.0	11.05
06/09/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.96	0.00	2.96	90.0	2.66
06/09/2017	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	73.71	0.00	73.71	90.0	66.34
06/09/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.81	0.00	4.81	90.0	4.33
06/09/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	14.64	0.00	14.64	90.0	13.18
06/09/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.94	0.00	8.94	90.0	8.05
06/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.80	0.00	8.80	90.0	7.92
06/09/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.03	0.00	3.03	90.0	2.73
06/09/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	5.03	0.00	5.03	90.0	4.53
06/09/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.58	0.00	4.58	90.0	4.12
06/09/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	10.74	0.00	10.74	90.0	9.67
06/09/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.14	0.00	6.14	90.0	5.53
06/09/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	7.22	0.00	7.22	90.0	6.50
06/09/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.59	0.00	7.59	90.0	6.83
06/09/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.95	0.00	6.95	90.0	6.26
06/09/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	8.03	0.00	8.03	90.0	7.23
06/09/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.07	0.00	6.07	90.0	5.46
06/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.60	0.00	10.60	90.0	9.54
06/09/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	8.89	0.00	8.89	90.0	8.00
06/09/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	16.12	0.00	16.12	90.0	14.51
06/09/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	21.00	0.00	21.00	90.0	18.90
06/13/2017	DAVIS, ALTON	FRANKLIN INCOME C	11,000.00	20.00	0.00	20.00	90.0	18.00
06/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	3.05	0.00	3.05	90.0	2.75
06/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	32.97	0.00	32.97	90.0	29.67
06/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	29.64	0.00	29.64	90.0	26.68
06/09/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.67	0.00	10.67	90.0	9.60
06/09/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.17	0.00	1.17	90.0	1.05
06/09/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.30	0.00	5.30	90.0	4.77
06/09/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.00	0.00	4.00	90.0	3.60
06/09/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.35	0.00	5.35	90.0	4.82
06/09/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.32	0.00	1.32	90.0	1.19
06/09/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.26	0.00	0.26	90.0	0.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.38	0.00	1.38	90.0	1.24
06/09/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.29	0.00	2.29	90.0	2.06
06/09/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.71	0.00	0.71	90.0	0.64
06/09/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	13.50	0.00	13.50	90.0	12.15
06/09/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	34.33	0.00	34.33	90.0	30.90
06/09/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.29	0.00	13.29	90.0	11.96
06/09/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.99	0.00	10.99	90.0	9.89
06/09/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.63	0.00	0.63	90.0	0.57
06/09/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	0.50	0.00	0.50	90.0	0.45
06/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.01	0.00	0.01	90.0	0.01
06/09/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.01	0.00	0.01	90.0	0.01
06/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	23.09	0.00	23.09	90.0	20.78
06/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	10.33	0.00	10.33	90.0	9.30
06/09/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	15.00	0.00	15.00	90.0	13.50
06/09/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.39	0.00	16.39	90.0	14.75
06/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	24.51	0.00	24.51	90.0	22.06
06/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	0.98	0.00	0.98	90.0	0.88
06/09/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	20.46	0.00	20.46	90.0	18.41
06/09/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.20	0.00	6.20	90.0	5.58
06/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.26	0.00	12.26	90.0	11.03
06/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	5.96	0.00	5.96	90.0	5.36
06/09/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	27.96	0.00	27.96	90.0	25.16
06/09/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	6.98	0.00	6.98	90.0	6.28
06/09/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.62	0.00	7.62	90.0	6.86
06/09/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.45	0.00	1.45	90.0	1.31
06/09/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.25	0.00	2.25	90.0	2.03
06/09/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	6.23	0.00	6.23	90.0	5.61
06/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.08	0.00	8.08	90.0	7.27
06/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	56.69	0.00	56.69	90.0	51.02
06/09/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.16	0.00	0.16	90.0	0.14
06/09/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	19.29	0.00	19.29	90.0	17.36
06/09/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	20.09	0.00	20.09	90.0	18.08
06/09/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	23.67	0.00	23.67	90.0	21.30

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.07	0.00	14.07	90.0	12.66
06/09/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	22.12	0.00	22.12	90.0	19.91
06/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	6.05	0.00	6.05	90.0	5.45
06/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	24.63	0.00	24.63	90.0	22.17
06/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	8.89	0.00	8.89	90.0	8.00
06/09/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.97	0.00	8.97	90.0	8.07
06/09/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.24	0.00	4.24	90.0	3.82
06/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	16.43	0.00	16.43	90.0	14.79
06/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	24.94	0.00	24.94	90.0	22.45
06/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	18.94	0.00	18.94	90.0	17.05
06/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	8.07	0.00	8.07	90.0	7.26
06/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	14.90	0.00	14.90	90.0	13.41
06/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	55.26	0.00	55.26	90.0	49.73
06/09/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.66	0.00	2.66	90.0	2.39
06/09/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.17	0.00	7.17	90.0	6.45
06/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.92	0.00	4.92	90.0	4.43
06/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.35	0.00	2.35	90.0	2.12
06/09/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	17.56	0.00	17.56	90.0	15.80
06/09/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.62	0.00	4.62	90.0	4.16
06/09/2017	NUNEZ TRUST, LYNDIA	FRANKLIN RISING DIVIDENDS C	0.00	40.01	0.00	40.01	90.0	36.01
06/09/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.50	0.00	6.50	90.0	5.85
06/09/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.28	0.00	10.28	90.0	9.25
06/09/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	12.57	0.00	12.57	90.0	11.31
06/09/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	13.59	0.00	13.59	90.0	12.23
06/09/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.78	0.00	1.78	90.0	1.60
06/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	25.98	0.00	25.98	90.0	23.38
06/09/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.12	0.00	4.12	90.0	3.71
06/09/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.16	0.00	4.16	90.0	3.74
06/09/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	22.86	0.00	22.86	90.0	20.57
06/09/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.04	0.00	8.04	90.0	7.24
06/09/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.20	0.00	5.20	90.0	4.68
06/09/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	185.43	0.00	185.43	90.0	166.89
06/09/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.78	0.00	10.78	90.0	9.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.79	0.00	6.79	90.0	6.11
06/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.82	0.00	12.82	90.0	11.54
06/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.32	0.00	11.32	90.0	10.19
06/09/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	13.41	0.00	13.41	90.0	12.07
06/09/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	13.31	0.00	13.31	90.0	11.98
06/09/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	8.34	0.00	8.34	90.0	7.51
06/09/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	10.52	0.00	10.52	90.0	9.47
06/09/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	14.71	0.00	14.71	90.0	13.24
06/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	10.93	0.00	10.93	90.0	9.84
06/09/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.60	0.00	6.60	90.0	5.94
06/09/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.89	0.00	2.89	90.0	2.60
06/09/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.09	0.00	14.09	90.0	12.68
06/09/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.84	0.00	9.84	90.0	8.86
06/09/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.30	0.00	12.30	90.0	11.07
06/09/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.46	0.00	5.46	90.0	4.91
06/09/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.72	0.00	7.72	90.0	6.95
06/09/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	31.56	0.00	31.56	90.0	28.40
06/09/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	20.42	0.00	20.42	90.0	18.38
06/09/2017	ALBIN, SHELLEY	FRANKLIN RISING DIVIDENDS C	0.00	6.19	0.00	6.19	90.0	5.57
06/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	6.19	0.00	6.19	90.0	5.57
06/09/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.18	0.00	10.18	90.0	9.16
06/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	26.23	0.00	26.23	90.0	23.61
06/28/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	15,000.00	170.00	0.00	170.00	90.0	153.00
06/09/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.96	0.00	4.96	90.0	4.46
06/09/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	79.08	0.00	79.08	90.0	71.17
06/09/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	21.94	0.00	21.94	90.0	19.75
06/09/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	12.65	0.00	12.65	90.0	11.39
06/09/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.79	0.00	3.79	90.0	3.41
06/09/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.90	0.00	3.90	90.0	3.51
06/09/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.50	0.00	5.50	90.0	4.95
06/09/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.95	0.00	2.95	90.0	2.66
06/09/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.29	0.00	8.29	90.0	7.46
06/09/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.32	0.00	0.32	90.0	0.29

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.51	0.00	6.51	90.0	5.86
06/09/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.27	0.00	0.27	90.0	0.24
06/09/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.29	0.00	0.29	90.0	0.26
06/09/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.28	0.00	0.28	90.0	0.25
06/09/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	1.05	0.00	1.05	90.0	0.95
06/09/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.36	0.00	1.36	90.0	1.22
06/09/2017	KORESKEY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	28.56	0.00	28.56	90.0	25.70
06/09/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.43	0.00	1.43	90.0	1.29
06/09/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	22.11	0.00	22.11	90.0	19.90
06/09/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	7.45	0.00	7.45	90.0	6.71
06/09/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.35	0.00	6.35	90.0	5.72
06/09/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	22.24	0.00	22.24	90.0	20.02
06/09/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	13.71	0.00	13.71	90.0	12.34
06/09/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.22	0.00	0.22	90.0	0.20
06/09/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.32	0.00	0.32	90.0	0.29
06/09/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	90.0	0.34
/ /	MOTOR CITY COMPUTER	OPPENHEIMER DEV MARKETS (N)	0.00	63.51	0.00	63.51	90.0	57.16
06/09/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	2.74	0.00	2.74	90.0	2.47
06/27/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
07/05/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	0.00	18.51	0.00	18.51	90.0	16.66
06/09/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.88	0.00	7.88	90.0	7.09
06/09/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.42	0.00	16.42	90.0	14.78
06/09/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.07	0.00	0.07	90.0	0.06
06/09/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	27.82	0.00	27.82	90.0	25.04
06/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	23.27	0.00	23.27	90.0	20.94
06/09/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.96	0.00	1.96	90.0	1.76
06/09/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	6.10	0.00	6.10	90.0	5.49
06/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.49	0.00	1.49	90.0	1.34
06/09/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.90	0.00	11.90	90.0	10.71
06/09/2017	NUNEZ TRUST, LYNDIA	TEMPLETON GLOBAL BOND C	0.00	6.30	0.00	6.30	90.0	5.67
06/09/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	7.15	0.00	7.15	90.0	6.44
06/09/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.73	0.00	5.73	90.0	5.16
06/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	16.04	0.00	16.04	90.0	14.44

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.22	0.00	3.22	90.0	2.90
06/09/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.93	0.00	4.93	90.0	4.44
06/09/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.68	0.00	9.68	90.0	8.71
06/09/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.73	0.00	1.73	90.0	1.56
06/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.31	0.00	2.31	90.0	2.08
06/09/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.13	0.00	5.13	90.0	4.62
06/09/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.74	0.00	11.74	90.0	10.57
06/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.39	0.00	13.39	90.0	12.05
06/09/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.49	0.00	8.49	90.0	7.64
06/09/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.75	0.00	5.75	90.0	5.18
06/09/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.95	0.00	12.95	90.0	11.66
06/09/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	36.78	0.00	36.78	90.0	33.10
06/09/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.18	0.00	16.18	90.0	14.56
06/09/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.19	0.00	11.19	90.0	10.07
06/09/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	12.08	0.00	12.08	90.0	10.87
06/09/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	8.05	0.00	8.05	90.0	7.25
06/09/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.69	0.00	7.69	90.0	6.92
06/09/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.04	0.00	8.04	90.0	7.24
06/09/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
06/09/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.26	0.00	8.26	90.0	7.43
06/09/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.43	0.00	2.43	90.0	2.19
06/09/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	9.98	0.00	9.98	90.0	8.98
* MUTUAL FUNDS SUBTOTAL *			\$76,207.50	\$5,857.57	\$0.00	\$5,857.57		\$5,272.16
<u>PRODUCT CATEGORY: STOCKS</u>								
06/13/2017	MAHER, JAMES	CIRRUS LOGIC INC	19,213.68	0.00	22.00	-22.00	90.0	-19.80
06/13/2017	DAVIS, ALTON	SELECT SECTOR SPDR TR FINANCIAL	11,015.37	50.00	22.00	28.00	90.0	25.20
06/13/2017	MAHER, JAMES	SPDR S&P 500 ETF TR TR UNIT	13,426.74	0.00	22.00	-22.00	90.0	-19.80
* STOCKS SUBTOTAL *			\$43,655.79	\$50.00	\$66.00	\$-16.00		\$-14.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/05/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	182,741.21	112.65	0.00	112.65	90.0	101.39
07/05/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	354,825.15	145.82	0.00	145.82	90.0	131.24
07/05/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,335,537.69	1,507.30	0.00	1,507.30	90.0	1,356.57

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/05/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	381,199.42	156.66	0.00	156.66	90.0	140.99
06/30/2017	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	33,450.50	27.88	0.00	27.88	90.0	25.09
06/30/2017	NUNEZ, LYNDIA	JNL ELITE ACCESS W/ LIQUIDITY	113,985.74	94.99	0.00	94.99	90.0	85.49
06/30/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	169,186.79	35.25	0.00	35.25	90.0	31.73
06/30/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	102,354.23	42.65	0.00	42.65	90.0	38.39
06/30/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	45,890.44	19.12	0.00	19.12	90.0	17.21
06/30/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	18,558.03	15.47	0.00	15.47	90.0	13.92
06/30/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	100,295.01	83.58	0.00	83.58	90.0	75.22
06/30/2017	FRASER, SHARON	JNL PERSPECTIVE L	41,384.96	34.49	0.00	34.49	90.0	31.04
06/30/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	43,291.58	36.08	0.00	36.08	90.0	32.47
06/30/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	142,902.85	119.09	0.00	119.09	90.0	107.18
06/30/2017	STOKES, RICHARD	JNL PERSPECTIVE L	77,832.02	64.86	0.00	64.86	90.0	58.37
06/30/2017	STONE, EDWARD	JNL PERSPECTIVE L	90,370.00	75.31	0.00	75.31	90.0	67.78
06/30/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	102,429.17	85.36	0.00	85.36	90.0	76.82
06/30/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	107,292.39	89.41	0.00	89.41	90.0	80.47
06/30/2017	VITALE, PETER	JNL PERSPECTIVE L	134,678.63	112.23	0.00	112.23	90.0	101.01
06/30/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	181,946.96	151.62	0.00	151.62	90.0	136.46
06/30/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	197,474.08	164.56	0.00	164.56	90.0	148.10
06/30/2017	KRETZ, JAMES	JNL PERSPECTIVE L	108,099.32	90.08	0.00	90.08	90.0	81.07
06/20/2017	ACHOMPI TRUST FBO, ANDREWS	LFG CHOICEPLUS ASSURANCE	200,000.00	11,000.00	0.00	11,000.00	90.0	9,900.00
06/30/2017	JACK, LINDA	METLIFE SERIES L	38,352.52	95.88	0.00	95.88	90.0	86.29
06/30/2017	FOSTER, ANTHONY	METLIFE SERIES L	42,318.28	105.80	0.00	105.80	90.0	95.22
06/30/2017	MARSACK, KAREN	METLIFE SERIES L	37,946.02	94.87	0.00	94.87	90.0	85.38
06/30/2017	TAYLOR, JAMIE	METLIFE SERIES L	130,843.61	327.11	0.00	327.11	90.0	294.40
06/30/2017	TAYLOR, JAMIE	METLIFE SERIES L	562,720.20	1,406.80	0.00	1,406.80	90.0	1,266.12
06/30/2017	FAIRLEY, KIMBERLEY	METLIFE SERIES L	238,423.78	596.06	0.00	596.06	90.0	536.45
06/30/2017	COURTER, THEODORE	METLIFE SERIES L	275,549.88	688.87	0.00	688.87	90.0	619.98
06/30/2017	TURNER, HAZEL	METLIFE SERIES L	112,627.28	281.57	0.00	281.57	90.0	253.41
06/30/2017	MARSHALL, CAROLYN	METLIFE USA SERIES VA	189,873.12	474.68	0.00	474.68	90.0	427.21
06/30/2017	KOTT, DIANE	METLIFE USA SERIES VA	391,405.44	244.63	0.00	244.63	90.0	220.17
06/30/2017	HARRIS, JANE	PRU 74429E487	272,991.49	784.85	0.00	784.85	90.0	706.37
06/30/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	36,245.67	22.65	0.00	22.65	90.0	20.39
06/30/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	36,245.67	22.65	0.00	22.65	90.0	20.39

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	29,553.82	73.88	0.00	73.88	90.0	66.49
06/30/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	29,553.82	18.47	0.00	18.47	90.0	16.62
06/30/2017	TROKIC, MEVLIDA	PRU AS APEX II	195,664.64	489.16	0.00	489.16	90.0	440.24
06/30/2017	TROKIC, MEVLIDA	PRU AS APEX II	195,664.64	122.29	0.00	122.29	90.0	110.06
06/30/2017	LUJAN, DEBORAH	PRU AS APEX II	81,358.16	203.40	0.00	203.40	90.0	183.06
06/30/2017	LUJAN, DEBORAH	PRU AS APEX II	81,358.16	50.85	0.00	50.85	90.0	45.77
06/30/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	130,923.33	327.31	0.00	327.31	90.0	294.58
06/30/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	130,923.33	81.83	0.00	81.83	90.0	73.65
06/30/2017	HATCHETT, AYANNA	PRU AS APEX II	4,414.43	11.04	0.00	11.04	90.0	9.94
06/30/2017	HATCHETT, AYANNA	PRU AS APEX II	4,414.43	2.76	0.00	2.76	90.0	2.48
06/30/2017	MAHER TRUST, MARY	PRU AS APEX II	157,980.94	394.95	0.00	394.95	90.0	355.46
06/30/2017	MAHER TRUST, MARY	PRU AS APEX II	157,980.94	98.74	0.00	98.74	90.0	88.87
06/30/2017	VITALE, PETER	PRU AS APEX II	133,141.49	332.85	0.00	332.85	90.0	299.57
06/30/2017	VITALE, PETER	PRU AS APEX II	133,141.49	83.21	0.00	83.21	90.0	74.89
06/30/2017	HARRISON, SHARI	PRU AS APEX II	28,971.82	72.43	0.00	72.43	90.0	65.19
06/30/2017	HARRISON, SHARI	PRU AS APEX II	28,971.82	18.11	0.00	18.11	90.0	16.30
06/30/2017	PALMERI, BEVERLY	PRU AS APEX II	309,670.56	774.18	0.00	774.18	90.0	696.76
06/30/2017	PALMERI, BEVERLY	PRU AS APEX II	309,670.56	193.54	0.00	193.54	90.0	174.19
06/30/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	769,006.86	1,922.52	0.00	1,922.52	90.0	1,730.27
06/30/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	769,006.86	480.63	0.00	480.63	90.0	432.57
06/30/2017	FLEURY, DAVID	PRU AS APEX II	109,924.70	274.81	0.00	274.81	90.0	247.33
06/30/2017	FLEURY, DAVID	PRU AS APEX II	109,924.70	68.70	0.00	68.70	90.0	61.83
06/30/2017	NUNEZ, LYNDA	PRU AS APEX II	631,254.46	1,183.60	0.00	1,183.60	90.0	1,065.24
06/30/2017	NUNEZ, LYNDA	PRU AS APEX II	631,254.46	394.53	0.00	394.53	90.0	355.08
06/30/2017	ELSE, KATHERINE	PRU AS APEX II	108,322.56	270.81	0.00	270.81	90.0	243.73
06/30/2017	ELSE, KATHERINE	PRU AS APEX II	108,322.56	67.70	0.00	67.70	90.0	60.93
06/30/2017	PIASCIK, SHAWN	PRU AS APEX II	104,052.19	260.13	0.00	260.13	90.0	234.12
06/30/2017	PIASCIK, SHAWN	PRU AS APEX II	104,052.19	65.03	0.00	65.03	90.0	58.53
06/30/2017	JACK, LINDA	PRU AS APEX II	60,179.58	150.45	0.00	150.45	90.0	135.41
06/30/2017	JACK, LINDA	PRU AS APEX II	60,179.58	37.61	0.00	37.61	90.0	33.85
06/30/2017	O'DONNELL, JUDITH	PRU AS APEX II	173,729.57	434.32	0.00	434.32	90.0	390.89
06/30/2017	O'DONNELL, JUDITH	PRU AS APEX II	173,729.57	108.58	0.00	108.58	90.0	97.72
06/30/2017	GILMORE, JOHNSON	PRU AS APEX II	1,610.38	4.03	0.00	4.03	90.0	3.63

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	GILMORE, JOHNSON	PRU AS APEX II	1,610.38	1.01	0.00	1.01	90.0	0.91
06/30/2017	FINLEY, RENEATHIA	PRU AS APEX II	6,114.04	15.29	0.00	15.29	90.0	13.76
06/30/2017	FINLEY, RENEATHIA	PRU AS APEX II	6,114.04	3.82	0.00	3.82	90.0	3.44
06/30/2017	GILLESPIE, HEATHER	PRU AS APEX II	131,470.47	328.68	0.00	328.68	90.0	295.81
06/30/2017	GILLESPIE, HEATHER	PRU AS APEX II	131,470.47	82.17	0.00	82.17	90.0	73.95
06/30/2017	BARNES, TAI	PRU AS APEX II	23,207.30	58.02	0.00	58.02	90.0	52.22
06/30/2017	BARNES, TAI	PRU AS APEX II	23,207.30	14.50	0.00	14.50	90.0	13.05
06/30/2017	FRASER, SHARON	PRU AS APEX II	37,398.68	93.50	0.00	93.50	90.0	84.15
06/30/2017	FRASER, SHARON	PRU AS APEX II	37,398.68	23.37	0.00	23.37	90.0	21.03
06/30/2017	QUEDNAU, KELLY	PRU AS APEX II	14,479.08	36.20	0.00	36.20	90.0	32.58
06/30/2017	QUEDNAU, KELLY	PRU AS APEX II	14,479.08	9.05	0.00	9.05	90.0	8.15
06/30/2017	ELIAS, PAUL	PRU AS APEX II	71,478.50	178.70	0.00	178.70	90.0	160.83
06/30/2017	ELIAS, PAUL	PRU AS APEX II	71,478.50	44.67	0.00	44.67	90.0	40.20
06/30/2017	YURIK, DEBRA	PRU AS APEX II	82,460.39	206.15	0.00	206.15	90.0	185.54
06/30/2017	YURIK, DEBRA	PRU AS APEX II	82,460.39	51.54	0.00	51.54	90.0	46.39
06/30/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	19,392.32	48.48	0.00	48.48	90.0	43.63
06/30/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	19,392.32	12.12	0.00	12.12	90.0	10.91
06/30/2017	MARSACK, KAREN	PRU AS APEX II	71,334.85	178.34	0.00	178.34	90.0	160.51
06/30/2017	MARSACK, KAREN	PRU AS APEX II	71,334.85	44.58	0.00	44.58	90.0	40.12
06/30/2017	MARSACK, KAREN	PRU AS APEX II	493,626.45	1,234.07	0.00	1,234.07	90.0	1,110.66
06/30/2017	MARSACK, KAREN	PRU AS APEX II	493,626.45	308.52	0.00	308.52	90.0	277.67
06/30/2017	TACCONELLI, JOHN	PRU AS APEX II	50,713.65	126.78	0.00	126.78	90.0	114.10
06/30/2017	TACCONELLI, JOHN	PRU AS APEX II	50,713.65	31.70	0.00	31.70	90.0	28.53
06/30/2017	TACCONELLI, JOHN	PRU AS APEX II	103,948.31	259.87	0.00	259.87	90.0	233.88
06/30/2017	TACCONELLI, JOHN	PRU AS APEX II	103,948.31	64.97	0.00	64.97	90.0	58.47
06/30/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	78,739.83	196.85	0.00	196.85	90.0	177.17
06/30/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	78,739.83	49.21	0.00	49.21	90.0	44.29
06/30/2017	MARSHALL, SANDRA	PRU AS APEX II	169,397.99	423.49	0.00	423.49	90.0	381.14
06/30/2017	MARSHALL, SANDRA	PRU AS APEX II	169,397.99	105.87	0.00	105.87	90.0	95.28
06/30/2017	STONE, ELAINE	PRU AS APEX II	222,055.73	555.14	0.00	555.14	90.0	499.63
06/30/2017	STONE, ELAINE	PRU AS APEX II	222,055.73	138.78	0.00	138.78	90.0	124.90
06/30/2017	FISCHER, SHIRLEY	PRU AS APEX II	48,444.56	121.11	0.00	121.11	90.0	109.00
06/30/2017	FISCHER, SHIRLEY	PRU AS APEX II	48,444.56	30.28	0.00	30.28	90.0	27.25

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
06/30/2017	BANNICK, ROBERT	PRU AS APEX II	125,320.41	313.30	0.00	313.30	90.0	281.97
06/30/2017	BANNICK, ROBERT	PRU AS APEX II	125,320.41	78.33	0.00	78.33	90.0	70.50
06/30/2017	BERARD, LISA	PRU AS APEX II	69,697.22	43.56	0.00	43.56	90.0	39.20
06/30/2017	BERARD, LISA	PRU AS APEX II	69,697.22	174.24	0.00	174.24	90.0	156.82
06/30/2017	VIAR, DAVID	PRU AS APEX II	19,405.08	48.51	0.00	48.51	90.0	43.66
06/30/2017	VIAR, DAVID	PRU AS APEX II	19,405.08	12.13	0.00	12.13	90.0	10.92
06/30/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	72,586.13	181.47	0.00	181.47	90.0	163.32
06/30/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	72,586.13	45.37	0.00	45.37	90.0	40.83
06/30/2017	ALLEN, MARY	PRU DEFINED INCOME	163,661.82	409.15	0.00	409.15	90.0	368.24
06/30/2017	WHEELER, WILLARD	PRU DEFINED INCOME	539,137.44	1,347.84	0.00	1,347.84	90.0	1,213.06
06/30/2017	ELSE, KATHERINE	PRU DEFINED INCOME	21,850.88	54.63	0.00	54.63	90.0	49.17
06/30/2017	MARKHAM, PATRICK	PRU DEFINED INCOME	118,324.62	295.81	0.00	295.81	90.0	266.23
06/30/2017	LEFKA, KAYE	PRU DEFINED INCOME	52,011.00	130.03	0.00	130.03	90.0	117.03
06/30/2017	WALSH, LORRAINE	PRU DEFINED INCOME	74,252.46	185.63	0.00	185.63	90.0	167.07
06/30/2017	TAYLOR, JAMIE	PRU DEFINED INCOME	158,601.00	396.50	0.00	396.50	90.0	356.85
06/30/2017	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	106,283.49	265.71	0.00	265.71	90.0	239.14
06/30/2017	LINDSEY, BARBARA	PRU DEFINED INCOME	65,959.03	164.90	0.00	164.90	90.0	148.41
06/30/2017	MARSACK, KAREN	PRU DEFINED INCOME	145,327.45	363.32	0.00	363.32	90.0	326.99
06/30/2017	BROWN, MICHAEL	PRU PREMIER RETIREMENT C	332,621.38	831.55	0.00	831.55	90.0	748.40
06/30/2017	DINON, MARY	PRU PREMIER RETIREMENT C	79,088.37	197.72	0.00	197.72	90.0	177.95
06/30/2017	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	515,476.30	1,288.69	0.00	1,288.69	90.0	1,159.82
06/30/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	95,453.17	238.63	0.00	238.63	90.0	214.77
06/30/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	187,669.71	469.17	0.00	469.17	90.0	422.25
06/30/2017	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	32,113.89	80.28	0.00	80.28	90.0	72.25
06/30/2017	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	77,806.76	194.52	0.00	194.52	90.0	175.07
06/30/2017	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	79,166.33	197.92	0.00	197.92	90.0	178.13
06/30/2017	KOTT, DIANE	PRU PREMIER RETIREMENT L	148,717.02	371.79	0.00	371.79	90.0	334.61
06/30/2017	DILAY, DENISE	PRU PREMIER RETIREMENT L	263,594.44	658.99	0.00	658.99	90.0	593.09
06/30/2017	DILAY, DANIEL	PRU PREMIER RETIREMENT L	12,055.58	30.14	0.00	30.14	90.0	27.13
06/30/2017	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	214,582.53	536.46	0.00	536.46	90.0	482.81
06/30/2017	STEINMETZ JR, JAMES	PRU PREMIER RETIREMENT L	159,254.96	398.14	0.00	398.14	90.0	358.33
06/30/2017	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	107,253.16	268.13	0.00	268.13	90.0	241.32
06/30/2017	STONE, EDWARD	PRU PREMIER RETIREMENT L	214,990.79	537.48	0.00	537.48	90.0	483.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	200,306.85	500.77	0.00	500.77	90.0	450.69
06/30/2017	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	23,125.20	57.81	0.00	57.81	90.0	52.03
06/30/2017	IBARRA, JAIME	PRU PREMIER RETIREMENT L	126,272.24	315.68	0.00	315.68	90.0	284.11
06/30/2017	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	94,941.51	237.35	0.00	237.35	90.0	213.62
06/30/2017	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	92,599.89	231.50	0.00	231.50	90.0	208.35
06/30/2017	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	50,673.53	126.68	0.00	126.68	90.0	114.01
06/30/2017	NUNEZ, LYNDA	PRU PREMIER RETIREMENT L	75,221.26	188.05	0.00	188.05	90.0	169.25
06/30/2017	MAHER, HENRY	PRU PREMIER RETIREMENT L	440,996.31	1,102.49	0.00	1,102.49	90.0	992.24
06/30/2017	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	54,312.65	135.78	0.00	135.78	90.0	122.20
06/30/2017	PALMERI, PAUL	PRU PREMIER RETIREMENT L	37,878.34	94.70	0.00	94.70	90.0	85.23
06/30/2017	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	189,163.42	472.91	0.00	472.91	90.0	425.62
06/30/2017	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	67,525.13	168.81	0.00	168.81	90.0	151.93
06/30/2017	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	91,420.21	228.55	0.00	228.55	90.0	205.70
06/30/2017	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	126,690.33	316.73	0.00	316.73	90.0	285.06
06/30/2017	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	106,074.83	265.19	0.00	265.19	90.0	238.67
06/30/2017	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	18,929.22	47.32	0.00	47.32	90.0	42.59
06/30/2017	MCMURRAN-AQUILERA, ROGELICA	PRU PREMIER RETIREMENT L	12,059.63	30.15	0.00	30.15	90.0	27.14
06/30/2017	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	279,286.48	698.22	0.00	698.22	90.0	628.40
06/30/2017	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	52,392.66	130.98	0.00	130.98	90.0	117.88
06/30/2017	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	223,102.99	557.76	0.00	557.76	90.0	501.98
06/30/2017	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	147,420.92	368.55	0.00	368.55	90.0	331.70
06/30/2017	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	716,016.38	1,790.04	0.00	1,790.04	90.0	1,611.04
06/30/2017	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	68,756.36	171.89	0.00	171.89	90.0	154.70
06/30/2017	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	140,863.49	352.16	0.00	352.16	90.0	316.94
06/30/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	36,685.53	22.93	0.00	22.93	90.0	20.64
06/30/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	36,685.53	68.79	0.00	68.79	90.0	61.91
06/30/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	337,425.00	210.89	0.00	210.89	90.0	189.80
06/30/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	337,425.00	632.67	0.00	632.67	90.0	569.40
06/30/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	257,886.83	322.36	0.00	322.36	90.0	290.12
06/30/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	257,886.83	161.18	0.00	161.18	90.0	145.06
* VARIABLE ANNUITIES SUBTOTAL *			\$31,178,608.22	\$54,379.09	\$0.00	\$54,379.09		\$48,941.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2021	MAHER, VARIOUS	LFG TRAILS	0.00	1,172.43	0.00	1,172.43	90.0	1,055.19
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,172.43	\$0.00	\$1,172.43		\$1,055.19
*** BROKER TRANSACTIONS TOTAL ***			\$31,298,471.51	\$61,459.09	\$66.00	\$61,393.09		\$55,254.22

ADJUSTMENTS

07/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2017	*MISC: W/O DB BAL 7A5023831	0.00						-55.31
07/15/2017	*MISC: W/O DB BAL 7A5026211	0.00						-5.00
07/15/2017	*RBC: ORDER ENTRY	0.00						-65.00
07/15/2017	*RBC: WEALTHSTATION ADMIN	0.00						-25.00
07/15/2017	*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00						-100.00
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-825.31

BROKER COMMISSION TOTALS

Broker Transactions	\$55,254.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-825.31
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$54,428.91
Year-to-date Gross	\$253,900.96
Year-to-date Earnings	\$228,031.25

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 ** - Indicates adjustment is not included in Totals or Commissions
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