



COMMISSION STATEMENT

January 15, 2018

RICHARD BIRNS
6800 Jericho Turnpike
Suite # 200AW
Syosset, NY 11791

BROKER #: 31
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	***-**-48IN - BABCOCK, ROBERT	FRANKLIN INCOME FUND CLASS C	291.46	2.91	0.00	2.91	75.0	2.18
	ACCOUNT #: 000000006719583	BUY 120 Units @2.4200						
		* MUTUAL FUNDS SUBTOTAL *	\$291.46	\$2.91	\$0.00	\$2.91		\$2.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-68IN - BIRNS, RICHARD	AMT-FREE NEW YORK MUNICIPALS A	0.00	8.89	0.00	8.89	75.0	6.67
	ACCOUNT #: 03600811899	BUY 0 Units @0.0000						
12/31/2017	***-**-7325 - BIRNS, EDWIN	ROCHESTER FUND MUNICIPALS A	0.00	69.29	0.00	69.29	75.0	51.97
	ACCOUNT #: 03653529251	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$78.18	\$0.00	\$78.18		\$58.64
		*** BROKER TRANSACTIONS TOTAL ***	\$291.46	\$81.09	\$0.00	\$81.09		\$60.82

BROKER COMMISSION TOTALS

Broker Transactions	\$60.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$60.82
Year-to-date Earnings	\$1,700.32