

# COMMISSION STATEMENT

June 15, 2018

JIM HUGHES-D349

BROKER #: D349

BRANCH: DMV

, TX

## COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/01/2018	000000001642697	RODGEKATH	MF	FRK TEMP FOUNDING FUNDS ALLOC	B	100.00	1.00	0.00	1.00	80.0	0.80
06/01/2018	000000007053662	DUFREMAXA	MF	CUSIP 645769696	B	100.00	5.00	0.00	5.00	80.0	4.00
06/01/2018	0000000012760498	POLLOSTEP	MF	FRANKLIN INCOME FUND CLASS C	B	458.33	4.58	0.00	4.58	80.0	3.66
06/05/2018	0000000012879742	HUFFMMICHR	MF	FRANKLIN INCOME FUND CLASS C	B	200.00	2.00	0.00	2.00	80.0	1.60
06/08/2018	000080193409135	BURNE, CLAUL	MF	FRANKLIN DYNATECH FUND CLASS A	B	40.00	2.00	0.00	2.00	80.0	1.60
06/08/2018	000080193409135	BURNE, CLAUL	MF	FRANKLIN DYNATECH FUND CLASS A	B	30.85	1.54	0.00	1.54	80.0	1.23
06/01/2018	000090237684460	ADAMSJOSCN	MF	FRANKLIN INCOME FUND CLASS A	B	300.00	12.00	0.00	12.00	80.0	9.60
* MUTUAL FUNDS SUBTOTAL *						\$1,229.18	\$28.12	\$0.00	\$28.12		\$22.49
PRODUCT CATEGORY: VARIABLE ANNUITIES											
06/01/2018	(None)	ELWELL, JOSEPH	VA	SECURITY BENEFIT LIFE	B	0.00	28.67	0.00	28.67	80.0	22.94
* VARIABLE ANNUITIES SUBTOTAL *						\$0.00	\$28.67	\$0.00	\$28.67		\$22.94
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2018	01076259519	MARY E GILLIS	MFT	THE INVESTMENT COMPANY OF	B	0.00	1.86	0.00	1.86	80.0	1.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$1.86	\$0.00	\$1.86		\$1.49
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
05/30/2018	(None)	BALDWIN, PATRICIA	VAT	SECURITY BENEFIT LIFE	B	0.00	0.56	0.00	0.56	80.0	0.45
05/30/2018	(None)	ELWELL, JOSEPH	VAT	SECURITY BENEFIT LIFE	B	0.00	29.75	0.00	29.75	80.0	23.80
05/30/2018	(None)	FISHBACK, CECILE	VAT	SECURITY BENEFIT LIFE	B	0.00	0.72	0.00	0.72	80.0	0.58
05/30/2018	(None)	POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	10.63	0.00	10.63	80.0	8.50
05/30/2018	(None)	POWELL, CANDACE	VAT	SECURITY BENEFIT LIFE	B	0.00	65.76	0.00	65.76	80.0	52.61
05/30/2018	(None)	TAYLOR, JEWELL	VAT	SECURITY BENEFIT LIFE	B	0.00	1.10	0.00	1.10	80.0	0.88
06/08/2018	(None)	ADVANTAGE	VAT	MASS MUTUAL	B	0.00	38.05	0.00	38.05	80.0	30.44
06/08/2018	(None)	ADVANTAGE	VAT	MASS MUTUAL	B	0.00	16.36	0.00	16.36	80.0	13.09
06/08/2018	(None)	ADVANTAGE	VAT	MASS MUTUAL	B	0.00	0.92	0.00	0.92	80.0	0.74
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$163.85	\$0.00	\$163.85		\$131.09
*** DIRECT TRANSACTIONS TOTAL ***						\$1,229.18	\$222.50	\$0.00	\$222.50		\$178.01

**BROKER COMMISSION TOTALS**

Broker Transactions	\$178.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$178.01</b>
<b>Year-to-date Commissions</b>	<b>\$20,273.37</b>