

All Companies  
**STATEMENT**  
August 20, 2018

EUGENE MORGAN  
37 COBLESTONE DR

BROKER #: 104  
BRANCH: LA BRANCH

EASTON, PA 18045-

COMMISSION STATEMENT for EUGENE MORGAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/30/2018 #	EATON VANCE HIGH INCOME OPPORTUNITI S			18,697.39	0.00	20.00	0.00	-20.00	75.0	-15.00
07/30/2018 #	HARTFORD HEALTH CARE FUND CLASS A S			10,468.29	0.00	20.00	0.00	-20.00	75.0	-15.00
07/30/2018 #	HARTFORD INTL GROWTH FUND - C S			15,000.00	0.00	20.00	0.00	-20.00	75.0	-15.00
07/30/2018 #	WESTERN ASSEST GLOBAL 52469F440 S			26,281.60	0.00	20.00	0.00	-20.00	75.0	-15.00
* MUTUAL FUNDS SUBTOTAL *				<u>\$70,447.28</u>	<u>\$0.00</u>	<u>\$80.00</u>	<u>\$0.00</u>	<u>\$-80.00</u>		<u>\$-60.00</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/06/0018 #110174EV8 FALLON, MICHAEL	TRANS LIFE FCMN05		B	3,000.00	180.00	0.00	0.00	180.00	75.0	135.00
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$3,000.00</u>	<u>\$180.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$180.00</u>		<u>\$135.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/11/2018 #	DAIN TRAILER		B	0.00	23.01	0.00	0.00	23.01	75.0	17.26
07/11/2018 #	DAIN TRAILER		B	0.00	5.79	0.00	0.00	5.79	75.0	4.34
07/11/2018 #	DAIN TRAILER		B	0.00	0.10	0.00	0.00	0.10	75.0	0.08
07/11/2018 #	DAIN TRAILER		B	0.00	1.77	0.00	0.00	1.77	75.0	1.33
07/11/2018 #	DAIN TRAILER		B	0.00	0.16	0.00	0.00	0.16	75.0	0.12
07/11/2018 #	DAIN TRAILER		B	0.00	6.54	0.00	0.00	6.54	75.0	4.91
07/11/2018 #	DAIN TRAILER		B	0.00	1.51	0.00	0.00	1.51	75.0	1.13
07/11/2018 #	DAIN TRAILER		B	0.00	0.10	0.00	0.00	0.10	75.0	0.08
07/11/2018 #	DAIN TRAILER		B	0.00	2.10	0.00	0.00	2.10	75.0	1.58
07/11/2018 #	DAIN TRAILER		B	0.00	1.94	0.00	0.00	1.94	75.0	1.46
07/11/2018 #	DAIN TRAILER		B	0.00	19.70	0.00	0.00	19.70	75.0	14.78
07/11/2018 #	DAIN TRAILER		B	0.00	8.68	0.00	0.00	8.68	75.0	6.51
07/11/2018 #	DAIN TRAILER		B	0.00	7.81	0.00	0.00	7.81	75.0	5.86
07/11/2018 #	DAIN TRAILER		B	0.00	0.88	0.00	0.00	0.88	75.0	0.66
07/11/2018 #	DAIN TRAILER		B	0.00	18.16	0.00	0.00	18.16	75.0	13.62
07/11/2018 #	DAIN TRAILER		B	0.00	9.11	0.00	0.00	9.11	75.0	6.83
07/11/2018 #	DAIN TRAILER		B	0.00	1.60	0.00	0.00	1.60	75.0	1.20
07/11/2018 #	DAIN TRAILER		B	0.00	1.28	0.00	0.00	1.28	75.0	0.96
07/30/2018 #	DAIN TRAILER		S	16,822.91	0.00	0.00	0.00	0.00	75.0	0.00
07/30/2018 #	DAIN TRAILER		S	10,645.07	0.00	0.00	0.00	0.00	75.0	0.00

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$27,467.98	\$110.24	\$0.00	\$0.00	\$110.24		\$82.71
		*** BROKER TRANSACTIONS TOTAL ***		\$100,915.26	\$290.24	\$80.00	\$0.00	\$210.24		\$157.71

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$157.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-955.66
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,366.95
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