



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT

April 15, 2017

BARRY LEMAY

901 Campisi Way
Suite 200
Campbell, CA 95008

BROKER #: L122

BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/06/2017	NG, EDWARD	CARE CAP PPTYS INC COM	-8,061.94	80.62	22.50	58.12	90.0	52.31
04/06/2017	NG, MARIA THERESA	KITE RLTY GROUP TR COM NEW	-59,853.00	598.53	26.33	572.20	90.0	514.98
04/06/2017	NG, EDWARD	VENTAS INC	-77,330.96	773.31	23.79	749.52	90.0	674.57
* STOCKS SUBTOTAL *			\$-145,245.90	\$1,452.46	\$72.62	\$1,379.84		\$1,241.86
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/27/2017	LEMAY, MONIQUE	ALTEGRIS WINSTON FUTURES	0.00	16.48	0.00	16.48	90.0	14.83
03/27/2017	VIZIR, VITALI	ALTEGRIS WINSTON FUTURES	0.00	82.42	0.00	82.42	90.0	74.18
03/27/2017	BARDELL, PAULA	ALTEGRIS WINSTON FUTURES	0.00	20.17	0.00	20.17	90.0	18.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$119.07	\$0.00	\$119.07		\$107.16
*** BROKER TRANSACTIONS TOTAL ***			\$-145,245.90	\$1,571.53	\$72.62	\$1,498.91		\$1,349.02
<u>ADJUSTMENTS</u>								
04/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-2.01
04/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-1.55
04/15/2017		*TECHNOLOGY: ADMIN - PAULA HALFORD	0.00					-100.00
04/15/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-778.56

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,349.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-778.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$10.15
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$580.61
Year-to-date Gross	\$104,299.53
Year-to-date Earnings	\$93,544.23