



# COMMISSION STATEMENT

June 25, 2018

ALAN FISHMAN  
3 Buckley Place  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/11/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 05066338392	BUY 1 Units @44.3400						
		* MUTUAL FUNDS SUBTOTAL *	\$25.00	\$1.25	\$0.00	\$1.25		\$0.94
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/18/2018	***-**-2171 - LIEPOLD, GERHARD	GIAC VA	100,000.00	7,000.00	0.00	7,000.00	75.0	5,250.00
		BUY 1 Units @100000.000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$5,250.00
		*** BROKER TRANSACTIONS TOTAL ***	\$100,025.00	\$7,001.25	\$0.00	\$7,001.25		\$5,250.94

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$5,250.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$56.12
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$5,307.06
Year-to-date Earnings	\$12,260.92