

COMMISSION STATEMENT

January 31, 2018

LOST Z-ESCHEATMENT

BROKER #: 79

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for LOST Z-ESCHEATMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/10/2018 75501148	WARK, TIMOTHY	**VICTORY PORTFOLIOS MUNDER	S	35.00	0.00	0.00	0.00	80.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$35.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
01/11/2018 75500746	LAHANE, TERRY	CIENA CORPORATION	S	87.48	0.00	0.00	0.00	80.0	0.00
01/11/2018 75500748	LAHANE, TERRY	CIENA CORPORATION	S	87.48	0.00	0.00	0.00	80.0	0.00
01/11/2018 75500893	LIEBERMAN, TRACY	CISCO SYS INC	S	80.15	0.00	0.00	0.00	80.0	0.00
01/11/2018 75500894	LIEBERMAN, TRACY	CISCO SYS INC	S	80.15	0.00	0.00	0.00	80.0	0.00
				* STOCKS SUBTOTAL *	\$335.26	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/26/2018 75501148	WARK, TIMOTHY	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.06	0.00	0.06	80.0	0.05
01/10/2018 75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH	B	0.00	0.55	0.00	0.55	80.0	0.44
01/10/2018 75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND	B	0.00	0.37	0.00	0.37	80.0	0.30
01/10/2018 75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC	B	0.00	0.29	0.00	0.29	80.0	0.23
01/10/2018 75501693	KRAUS, MICHELLE	GAMCO GOLD FD	B	0.00	0.32	0.00	0.32	80.0	0.26
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1.59	\$0.00		\$1.28
				*** BROKER TRANSACTIONS TOTAL ***	\$370.26	\$1.59	\$0.00		\$1.28

BROKER COMMISSION TOTALS

Broker Transactions	\$1.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1.28
Year-to-date Earnings	\$1.28