

**COMMISSION STATEMENT****February 28, 2018****BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: BUM

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/12/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.81	0.00	1.81	100.0	0.00
^02/12/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.25	0.00	7.25	100.0	0.00
^03/14/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.68	0.00	1.68	100.0	0.00
^03/14/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.74	0.00	6.74	100.0	0.00
^04/15/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.88	0.00	1.88	100.0	0.00
^04/15/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.52	0.00	7.52	100.0	0.00
^05/24/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.85	0.00	1.85	100.0	0.00
^05/24/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.39	0.00	7.39	100.0	0.00
^06/14/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.92	0.00	1.92	100.0	0.00
^06/14/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.69	0.00	7.69	100.0	0.00
^07/20/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.87	0.00	1.87	100.0	0.00
^07/20/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.46	0.00	7.46	100.0	0.00
^08/17/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.97	0.00	1.97	100.0	0.00
^08/17/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.89	0.00	7.89	100.0	0.00
^09/16/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.00	0.00	2.00	100.0	0.00
^09/16/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.00	0.00	8.00	100.0	0.00
^10/14/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.94	0.00	1.94	100.0	0.00
^10/14/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.77	0.00	7.77	100.0	0.00
^11/15/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.03	0.00	2.03	100.0	0.00
^11/15/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.10	0.00	8.10	100.0	0.00
^12/15/2016	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.93	0.00	1.93	100.0	0.00
^12/15/2016	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	7.71	0.00	7.71	100.0	0.00
^02/08/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.62	0.00	4.62	100.0	0.00
^03/15/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.27	0.00	4.27	100.0	0.00
^04/12/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.69	0.00	4.69	100.0	0.00
^05/11/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.57	0.00	4.57	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/13/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.73	0.00	4.73	100.0	0.00
^07/13/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.69	0.00	4.69	100.0	0.00
^08/11/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.99	0.00	4.99	100.0	0.00
^09/13/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	5.00	0.00	5.00	100.0	0.00
^10/12/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.80	0.00	4.80	100.0	0.00
^11/10/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.89	0.00	4.89	100.0	0.00
^12/12/2016	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.62	0.00	4.62	100.0	0.00
^02/19/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	3.38	0.00	3.38	100.0	0.00
^02/19/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.57	0.00	2.57	100.0	0.00
^03/22/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	3.04	0.00	3.04	100.0	0.00
^03/22/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.31	0.00	2.31	100.0	0.00
^04/18/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	3.49	0.00	3.49	100.0	0.00
^04/18/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.65	0.00	2.65	100.0	0.00
^05/24/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	3.48	0.00	3.48	100.0	0.00
^05/24/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.65	0.00	2.65	100.0	0.00
^06/21/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	3.57	0.00	3.57	100.0	0.00
^06/21/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.71	0.00	2.71	100.0	0.00
^07/18/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	2.98	0.00	2.98	100.0	0.00
^07/18/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.64	0.00	2.64	100.0	0.00
^08/16/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.70	0.00	1.70	100.0	0.00
^08/16/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.80	0.00	2.80	100.0	0.00
^09/19/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.72	0.00	1.72	100.0	0.00
^09/19/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.84	0.00	2.84	100.0	0.00
^10/17/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.66	0.00	1.66	100.0	0.00
^10/17/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.74	0.00	2.74	100.0	0.00
^11/16/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.72	0.00	1.72	100.0	0.00
^11/16/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.83	0.00	2.83	100.0	0.00
^12/19/2016	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.66	0.00	1.66	100.0	0.00
^12/19/2016	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.74	0.00	2.74	100.0	0.00
^03/17/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.36	0.00	0.36	100.0	0.00
^04/25/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.07	0.00	1.07	100.0	0.00
^05/16/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.06	0.00	1.06	100.0	0.00
^06/20/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.09	0.00	1.09	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/25/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.07	0.00	1.07	100.0	0.00
^08/15/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.13	0.00	1.13	100.0	0.00
^09/15/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.15	0.00	1.15	100.0	0.00
^10/14/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.11	0.00	1.11	100.0	0.00
^11/21/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.15	0.00	1.15	100.0	0.00
^12/16/2016	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.15	0.00	1.15	100.0	0.00
^12/16/2016	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.85	0.00	0.85	100.0	0.00
^02/25/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.14	0.00	0.14	100.0	0.00
^02/25/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.62	0.00	1.62	100.0	0.00
^02/25/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.16	0.00	1.16	100.0	0.00
^02/25/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.65	0.00	0.65	100.0	0.00
^02/25/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.45	0.00	1.45	100.0	0.00
^03/08/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.09	0.00	0.09	100.0	0.00
^03/08/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	100.0	0.00
^03/08/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.42	0.00	1.42	100.0	0.00
^03/08/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.02	0.00	1.02	100.0	0.00
^03/08/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	100.0	0.00
^03/08/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.27	0.00	1.27	100.0	0.00
^04/22/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.75	0.00	0.75	100.0	0.00
^04/22/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.97	0.00	1.97	100.0	0.00
^04/22/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.27	0.00	0.27	100.0	0.00
^04/22/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.69	0.00	1.69	100.0	0.00
^04/22/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.21	0.00	1.21	100.0	0.00
^04/22/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.68	0.00	0.68	100.0	0.00
^04/22/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.51	0.00	1.51	100.0	0.00
^05/17/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.79	0.00	0.79	100.0	0.00
^05/17/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.19	0.00	2.19	100.0	0.00
^05/17/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	100.0	0.00
^05/17/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.76	0.00	1.76	100.0	0.00
^05/17/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.27	0.00	1.27	100.0	0.00
^05/17/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	100.0	0.00
^05/17/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.57	0.00	1.57	100.0	0.00
^06/15/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.88	0.00	0.88	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/15/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.41	0.00	2.41	100.0	0.00
^06/15/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	100.0	0.00
^06/15/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.93	0.00	1.93	100.0	0.00
^06/15/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.39	0.00	1.39	100.0	0.00
^06/15/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.78	0.00	0.78	100.0	0.00
^06/15/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.73	0.00	1.73	100.0	0.00
^07/14/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.89	0.00	0.89	100.0	0.00
^07/14/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.42	0.00	2.42	100.0	0.00
^07/14/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	100.0	0.00
^07/14/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.94	0.00	1.94	100.0	0.00
^07/14/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.40	0.00	1.40	100.0	0.00
^07/14/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.78	0.00	0.78	100.0	0.00
^07/14/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.74	0.00	1.74	100.0	0.00
^08/18/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.94	0.00	0.94	100.0	0.00
^08/18/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.58	0.00	2.58	100.0	0.00
^08/18/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	100.0	0.00
^08/18/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.05	0.00	2.05	100.0	0.00
^08/18/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.48	0.00	1.48	100.0	0.00
^08/18/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.82	0.00	0.82	100.0	0.00
^08/18/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.83	0.00	1.83	100.0	0.00
^09/15/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.96	0.00	0.96	100.0	0.00
^09/15/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.62	0.00	2.62	100.0	0.00
^09/15/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	100.0	0.00
^09/15/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.04	0.00	2.04	100.0	0.00
^09/15/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.47	0.00	1.47	100.0	0.00
^09/15/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.82	0.00	0.82	100.0	0.00
^09/15/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.82	0.00	1.82	100.0	0.00
^10/21/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.93	0.00	0.93	100.0	0.00
^10/21/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.54	0.00	2.54	100.0	0.00
^10/21/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	100.0	0.00
^10/21/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.98	0.00	1.98	100.0	0.00
^10/21/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.43	0.00	1.43	100.0	0.00
^10/21/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.80	0.00	0.80	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^10/21/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.77	0.00	1.77	100.0	0.00
^11/09/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.00	0.00	1.00	100.0	0.00
^11/09/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.73	0.00	2.73	100.0	0.00
^11/09/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	100.0	0.00
^11/09/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.13	0.00	2.13	100.0	0.00
^11/09/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.51	0.00	1.51	100.0	0.00
^11/09/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.84	0.00	0.84	100.0	0.00
^11/09/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.88	0.00	1.88	100.0	0.00
^12/16/2016	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.97	0.00	0.97	100.0	0.00
^12/16/2016	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.65	0.00	2.65	100.0	0.00
^12/16/2016	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	100.0	0.00
^12/16/2016	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.07	0.00	2.07	100.0	0.00
^12/16/2016	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.44	0.00	1.44	100.0	0.00
^12/16/2016	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.80	0.00	0.80	100.0	0.00
^12/16/2016	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.79	0.00	1.79	100.0	0.00
^02/18/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.49	0.00	20.49	100.0	0.00
^03/14/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	19.11	0.00	19.11	100.0	0.00
^04/15/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.55	0.00	20.55	100.0	0.00
^05/16/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.02	0.00	20.02	100.0	0.00
^06/16/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.77	0.00	20.77	100.0	0.00
^07/18/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.17	0.00	20.17	100.0	0.00
^08/17/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.90	0.00	20.90	100.0	0.00
^09/15/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.95	0.00	20.95	100.0	0.00
^10/20/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.29	0.00	20.29	100.0	0.00
^11/16/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.98	0.00	20.98	100.0	0.00
^12/16/2016	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.25	0.00	20.25	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$545.38	\$0.00	\$545.38		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$545.38	\$0.00	\$545.38		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00