

**COMMISSION STATEMENT****November 15, 2017**

JON PAUL CIRELLI
 14255 US Hwy One
 Suite 283
 Juno Beach, FL 33408

BROKER #: C141
BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
10/13/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.71	0.00	20.71	95.0	19.67
		* REIT SUBTOTAL *	\$0.00	\$20.71	\$0.00	\$20.71		\$19.67
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	BARREDO DMD PLAN, GLORIA	ADP TRAILS	0.00	26.74	0.00	26.74	95.0	25.40
09/30/2017	KURITZKY ARCHITECTUR, PASQUALE	ADP TRAILS	0.00	648.14	0.00	648.14	95.0	615.73
10/13/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.92	0.00	4.92	95.0	4.67
10/13/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.77	0.00	10.77	95.0	10.23
10/13/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.31	0.00	32.31	95.0	30.69
10/18/2017	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.41	0.00	5.41	95.0	5.14
10/18/2017	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.19	0.00	10.19	95.0	9.68
10/24/2017	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.55	0.00	5.55	95.0	5.27
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$744.03	\$0.00	\$744.03		\$706.81
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$764.74	\$0.00	\$764.74		\$726.48

SPLIT TRANSACTIONS**BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251**

10/11/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.56	0.00	2.56	95.0	2.43
		* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *	\$0.00	\$2.56	\$0.00	\$2.56		\$2.43

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

10/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	95.0	0.03
11/06/2017	DAVID, RUDOLPH	GLOBAL NET LEASE INC COM	-2,185.00	0.00	3.38	-3.38	95.0	-3.21
10/18/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	95.0	0.09
10/18/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.15	0.00	0.15	95.0	0.14
10/26/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	95.0	0.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
10/26/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	95.0	0.23
10/26/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.10	0.00	0.10	95.0	0.10
10/30/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	-3,828.20	3.30	3.30	0.00	95.0	0.00
11/10/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	2,500.00	0.00	0.00	0.00	95.0	0.00
10/26/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	95.0	0.10
10/26/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.20	0.00	0.20	95.0	0.19
10/26/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.27	0.00	1.27	95.0	1.21
10/26/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.12	0.00	1.12	95.0	1.06
10/26/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.11	0.00	0.11	95.0	0.10
11/10/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	2,500.00	0.00	0.00	0.00	95.0	0.00
10/26/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	95.0	0.11
10/26/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	95.0	0.09
10/24/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	95.0	0.07
11/06/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	-2,382.58	0.00	0.00	0.00	95.0	0.00
10/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	95.0	0.14
10/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.67	0.00	0.67	95.0	0.64
10/25/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	95.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$-3,395.78	\$8.39	\$6.68	\$1.71		\$1.64
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
09/30/2017	PICCIRILLO, ALEXANDER	ASCENSUS 529 TRAILS	0.00	2.60	0.00	2.60	95.0	2.47
09/30/2017	PALM BEACH	ADP TRAILS	0.00	319.52	0.00	319.52	95.0	303.54
09/30/2017	ENDOCRINOLGY & DIABE	ADP TRAILS	0.00	6.45	0.00	6.45	95.0	6.13
09/30/2017	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	227.76	0.00	227.76	95.0	216.37
10/27/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.17	0.00	2.17	95.0	2.06
10/13/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.39	0.00	6.39	95.0	6.07
10/13/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.40	0.00	5.40	95.0	5.13
10/13/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.21	0.00	16.21	95.0	15.40
10/13/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.19	0.00	5.19	95.0	4.93
10/13/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.55	0.00	15.55	95.0	14.77
11/06/2017	DMP SECURITIES LLC	GPB AUTOMOTIVE PORTFOLIO LP	500,000.00	17,500.00	0.00	17,500.00	95.0	16,625.00
11/10/2017	MALONEY, JOHN	GPB WASTE MANAGEMENT LP	100,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
11/02/2017	MALONEY, JOHN	GPB WASTE MANAGEMENT LP CLASS A	100,000.00	0.00	0.00	0.00	95.0	0.00
10/18/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.85	0.00	1.85	95.0	1.76

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
10/18/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.56	0.00	5.56	95.0	5.28
10/18/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.14	0.00	5.14	95.0	4.88
10/18/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.42	0.00	15.42	95.0	14.65
10/18/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.12	0.00	2.12	95.0	2.01
10/26/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.80	0.00	1.80	95.0	1.71
10/26/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.21	0.00	2.21	95.0	2.10
10/26/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.56	0.00	1.56	95.0	1.48
10/24/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	95.0	0.39
10/24/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.64	0.00	8.64	95.0	8.21
10/24/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	95.0	0.31
10/13/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	66.37	0.00	66.37	95.0	63.05
11/02/2017	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	28,750.00	531.88	11.00	520.88	95.0	494.84
11/10/2017	MALONEY, JOHN	PREFERRED APT CMNTYS INC COM	-101,292.96	482.50	13.50	469.00	95.0	445.55
11/02/2017	MALONEY, JOHN	TEI DIVERSIFIED INCOME AND OPPORTUN	100,000.00	0.00	0.00	0.00	95.0	0.00
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$727,457.04	\$22,733.03	\$24.50	\$22,708.53		\$21,573.09
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
10/13/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.35	0.00	4.35	95.0	4.13
10/11/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	13.88	0.00	13.88	95.0	13.19
10/11/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.34	0.00	1.34	95.0	1.27
10/23/2017	RASMUSSEN, MELANIE	COTTONWOOD MULTIFAMILY REIT II	12,000.00	180.00	0.00	180.00	95.0	171.00
10/18/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.49	0.00	0.49	95.0	0.47
10/30/2017	MARSH, LAURIE	JNL PERSPECTIVE II 04/14	99,209.74	496.05	0.00	496.05	95.0	471.25
10/24/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.04	0.00	3.04	95.0	2.89
10/24/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.24	0.00	1.24	95.0	1.18
10/24/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.66	0.00	0.66	95.0	0.63
10/24/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.10	0.00	2.10	95.0	2.00
10/24/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.55	0.00	0.55	95.0	0.52
10/24/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.51	0.00	2.51	95.0	2.38
10/24/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.76	0.00	0.76	95.0	0.72
10/24/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.64	0.00	3.64	95.0	3.46
10/24/2017	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.09	0.00	2.09	95.0	1.99
10/24/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.47	0.00	0.47	95.0	0.45
10/24/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.28	0.00	0.28	95.0	0.27

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
10/13/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.07	0.00	2.07	95.0	1.97
10/19/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.52	0.00	2.52	95.0	2.39
10/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.05	0.00	0.05	95.0	0.05
10/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.13	0.00	0.13	95.0	0.12
10/25/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.13	0.00	0.13	95.0	0.12
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$111,209.74	\$718.35	\$0.00	\$718.35		\$682.45
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
10/19/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	95.0	0.07
10/11/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.19	0.00	2.19	95.0	2.08
10/11/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.35	0.00	0.35	95.0	0.33
10/11/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.17	0.00	1.17	95.0	1.11
10/11/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.62	0.00	1.62	95.0	1.54
10/23/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.85	0.00	0.85	95.0	0.81
10/24/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.99	0.00	0.99	95.0	0.94
10/24/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.20	0.00	2.20	95.0	2.09
10/24/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	95.0	0.11
10/24/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.30	0.00	1.30	95.0	1.24
10/24/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.90	0.00	0.90	95.0	0.86
10/13/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.32	0.00	2.32	95.0	2.20
10/12/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.73	0.00	0.73	95.0	0.69
10/12/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.73	0.00	0.73	95.0	0.69
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$15.54	\$0.00	\$15.54		\$14.76
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
10/11/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.47	0.00	3.47	95.0	3.30
10/24/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.64	0.00	0.64	95.0	0.61
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.11	\$0.00	\$4.11		\$3.91
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
10/26/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	95.0	0.20
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.21	\$0.00	\$0.21		\$0.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
10/19/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	95.0	0.06
10/13/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.60	0.00	1.60	95.0	1.52
10/13/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.86	0.00	4.86	95.0	4.62
10/13/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.21	0.00	3.21	95.0	3.05
10/13/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.32	0.00	2.32	95.0	2.20
10/27/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	2,708.42	47.40	7.70	39.70	95.0	37.72
10/27/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	2,701.44	37.82	7.70	30.12	95.0	28.61
10/13/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.36	0.00	0.36	95.0	0.34
10/13/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.89	0.00	0.89	95.0	0.85
10/13/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.98	0.00	1.98	95.0	1.88
10/13/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.98	0.00	5.98	95.0	5.68
10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.90	0.00	0.90	95.0	0.86
10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.68	0.00	2.68	95.0	2.55
10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.11	0.00	1.11	95.0	1.05
10/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.34	0.00	3.34	95.0	3.17
10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.74	0.00	0.74	95.0	0.70
10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.23	0.00	2.23	95.0	2.12
10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.90	0.00	0.90	95.0	0.86
10/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.68	0.00	2.68	95.0	2.55
10/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.02	0.00	2.02	95.0	1.92
10/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.06	0.00	6.06	95.0	5.76
10/18/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.57	0.00	0.57	95.0	0.54
10/18/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.88	0.00	0.88	95.0	0.84
10/18/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.53	0.00	1.53	95.0	1.45
10/18/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.22	0.00	1.22	95.0	1.16
10/18/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.02	0.00	1.02	95.0	0.97
10/18/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.46	0.00	1.46	95.0	1.39
10/18/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.43	0.00	4.43	95.0	4.21
10/30/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.72	0.00	0.72	95.0	0.68
10/30/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.66	0.00	0.66	95.0	0.63
10/30/2017	CAMPBELL, VICTORIA	INVESCO HIGH YIELD FUND A	0.00	0.20	0.00	0.20	95.0	0.19
10/30/2017	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	0.00	0.03	0.00	0.03	95.0	0.03
10/27/2017	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	2,700.66	18.91	7.70	11.21	95.0	10.65

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
10/27/2017	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	2,700.66	18.91	7.70	11.21	95.0	10.65
10/18/2017	CAMPBELL, CHARLES	NEUBERGER BERMAN HIGH INCOME BOND F	0.00	0.03	0.00	0.03	95.0	0.03
10/30/2017	CAMPBELL, VICTORIA	PIMCO HIGH YIELD SPECTRUM FUND CLAS	0.00	0.03	0.00	0.03	95.0	0.03
10/30/2017	CAMPBELL, CHARLES	PIMCO HIGH YIELD SPECTRUM FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
10/17/2017	CAMPBELL, VICTORIA	PRINCIPAL FUNDS HIGH YIELD II A	0.00	0.20	0.00	0.20	95.0	0.19
10/17/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	0.00	0.03	0.00	0.03	95.0	0.03
11/06/2017	ROULHAC, JAMES	SACRAMENTO CNTY CALIF ARPT SYS REV	-51,149.00	9.80	9.45	0.35	95.0	0.33
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$-40,337.82	\$189.78	\$40.25	\$149.53		\$142.08
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
10/13/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.58	0.00	2.58	95.0	2.45
10/13/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.73	0.00	7.73	95.0	7.34
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$10.31	\$0.00	\$10.31		\$9.79
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
11/06/2017	ROULHAC, DEBRA	ALABAMA ST UNIV REV TAXABLE-GEN TUI	-58,237.85	4.48	4.32	0.16	95.0	0.15
10/19/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	95.0	0.49
11/06/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	-27,253.89	3.52	3.52	0.00	95.0	0.00
10/19/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	95.0	0.18
11/06/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	-9,686.58	3.52	3.52	0.00	95.0	0.00
11/06/2017	ROULHAC, JAMES	AMERICAN FUNDS BALANCED FUND F2	-5,671.51	0.00	0.00	0.00	95.0	0.00
11/06/2017	ROULHAC, JAMES	BLACKROCK MULTI-ASSET INCOME PORTFO	-7,114.35	0.00	0.00	0.00	95.0	0.00
10/23/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.10	0.00	0.10	95.0	0.10
11/06/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	-4,685.75	0.00	0.00	0.00	95.0	0.00
11/06/2017	ROULHAC, JAMES	CENTER COAST MLP FOCUS FUND CLASS I	-6,037.96	0.00	3.52	-3.52	95.0	-3.34
11/06/2017	ROULHAC, JAMES	FIDELITY ADVISOR LATIN AMERICA FUND	-2,055.73	0.00	3.52	-3.52	95.0	-3.34
10/18/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.79	0.00	0.79	95.0	0.75
10/18/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.56	0.00	0.56	95.0	0.53
11/06/2017	ROULHAC, DEBRA	LAKE CNTY ILL CMNTY UNIT SCH DIST N	-63,425.40	4.48	4.32	0.16	95.0	0.15
11/06/2017	ROULHAC, DEBRA	LORD ABBETT BOND DEBENTURE A	-8.30	0.00	0.00	0.00	95.0	0.00
11/06/2017	ROULHAC, DEBRA	NORTHERN ILL UNIV REVS TAXABLE-AUXI	-49,488.75	4.48	4.32	0.16	95.0	0.15
11/06/2017	ROULHAC, DEBRA	WOONSOCKET R I PENSION 6.290% 07/15	-45,474.00	4.48	4.32	0.16	95.0	0.15
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$-279,140.07	\$27.12	\$31.36	\$-4.24		\$-4.03
*** SPLIT TRANSACTIONS TOTAL ***			\$515,793.11	\$23,709.40	\$102.79	\$23,606.61		\$22,426.32

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
09/30/2017	CAMARDO, DONNA	ASCENSUS 529 TRAILS	0.00	17.01	0.00	17.01	90.0	0.27
11/01/2017	PISANI, CARLA	LFG TRAILS	0.00	25.70	0.00	25.70	90.0	0.41
11/01/2017	PISANI, NICHOLAS	LFG TRAILS	0.00	10.00	0.00	10.00	90.0	0.16
11/02/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	0.06
10/19/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.83	0.00	0.83	90.0	0.01
10/19/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	11.71	0.00	11.71	90.0	0.19
10/13/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	3.94	0.00	3.94	90.0	0.06
10/19/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.66	0.00	3.66	90.0	0.06
10/19/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.10	0.00	6.10	90.0	0.10
10/19/2017	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.40	0.00	0.40	90.0	0.01
11/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.16
10/19/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.73	0.00	1.73	90.0	0.03
10/19/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.01
10/19/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.01
10/19/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	0.95	0.00	0.95	90.0	0.02
10/19/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.02
10/19/2017	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.01
11/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.24
10/19/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.68	0.00	3.68	90.0	0.06
10/19/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.93	0.00	2.93	90.0	0.05
10/19/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.39	0.00	1.39	90.0	0.02
10/19/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.69	0.00	0.69	90.0	0.01
10/19/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.68	0.00	2.68	90.0	0.04
10/19/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.14	0.00	14.14	90.0	0.23
10/19/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.47	0.00	1.47	90.0	0.02
11/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.18
10/19/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.85	0.00	0.85	90.0	0.01
10/19/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.34	0.00	4.34	90.0	0.07
10/19/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	0.02
10/19/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.94	0.00	5.94	90.0	0.10
10/19/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.31	0.00	4.31	90.0	0.07
10/19/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	90.0	0.03
10/19/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
10/19/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	90.0	0.01
10/19/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.33	0.00	1.33	90.0	0.02
10/19/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.62	0.00	0.62	90.0	0.01
10/19/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.02
10/19/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	90.0	0.01
10/19/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.57	0.00	2.57	90.0	0.04
10/19/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.52	0.00	2.52	90.0	0.04
10/19/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.16	0.00	5.16	90.0	0.08
10/19/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.24	0.00	5.24	90.0	0.08
10/19/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.43	0.00	1.43	90.0	0.02
10/19/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.56	0.00	8.56	90.0	0.14
10/19/2017	LINSKY, MARC	AM FUNDS ICA A	0.00	0.40	0.00	0.40	90.0	0.01
10/19/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.01
10/19/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.88	0.00	0.88	90.0	0.01
10/19/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.01
10/19/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.01
10/19/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.01
10/19/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.96	0.00	0.96	90.0	0.02
10/19/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.15	0.00	5.15	90.0	0.08
10/19/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.02	0.00	12.02	90.0	0.19
10/19/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.66	0.00	4.66	90.0	0.07
10/19/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.47	0.00	4.47	90.0	0.07
10/19/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.99	0.00	4.99	90.0	0.08
10/19/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.20	0.00	4.20	90.0	0.07
10/19/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.26	0.00	20.26	90.0	0.32
10/19/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.00	0.00	3.00	90.0	0.05
10/19/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.73	0.00	0.73	90.0	0.01
10/19/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.73	0.00	0.73	90.0	0.01
10/19/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.04	0.00	1.04	90.0	0.02
10/19/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.49	0.00	1.49	90.0	0.02
10/19/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.48	0.00	5.48	90.0	0.09
10/19/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.38	0.00	1.38	90.0	0.02
10/19/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.12	0.00	3.12	90.0	0.05

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
10/19/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.40	0.00	0.40	90.0	0.01
10/19/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	90.0	0.01
10/19/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.88	0.00	0.88	90.0	0.01
10/19/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	90.0	0.01
11/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.24
10/13/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.63	0.00	3.63	90.0	0.06
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	7.26	0.00	7.26	90.0	0.12
10/30/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	400.00	10.00	0.00	10.00	90.0	0.16
10/27/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.31	0.00	17.31	90.0	0.28
10/20/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.38	0.00	1.38	90.0	0.02
10/20/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.35	0.00	4.35	90.0	0.07
10/17/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.01	0.00	1.01	90.0	0.02
10/17/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.50	0.00	14.50	90.0	0.23
10/17/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.49	0.00	7.49	90.0	0.12
10/17/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.63	0.00	2.63	90.0	0.04
10/17/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.11	0.00	1.11	90.0	0.02
10/17/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.32	0.00	0.32	90.0	0.01
10/17/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.90	0.00	0.90	90.0	0.01
10/17/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.30	0.00	2.30	90.0	0.04
10/17/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.06	0.00	1.06	90.0	0.02
10/17/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.81	0.00	10.81	90.0	0.17
10/17/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.36	0.00	2.36	90.0	0.04
10/16/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.39	0.00	0.39	90.0	0.01
10/16/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.68	0.00	0.68	90.0	0.01
10/13/2017	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.89	0.00	0.89	90.0	0.01
10/16/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.16	0.00	3.16	90.0	0.05
10/16/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.86	0.00	61.86	90.0	0.99
10/16/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.73	0.00	0.73	90.0	0.01
10/17/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.10	0.00	1.10	90.0	0.02
10/17/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.33	0.00	0.33	90.0	0.01
10/20/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.59	0.00	7.59	90.0	0.12
10/20/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.70	0.00	8.70	90.0	0.14
10/20/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.51	0.00	1.51	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
10/20/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.94	0.00	5.94	90.0	0.10
10/20/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.71	0.00	2.71	90.0	0.04
10/23/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.94	0.00	13.94	90.0	0.22
10/23/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.24	0.00	3.24	90.0	0.05
10/23/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.13	0.00	1.13	90.0	0.02
10/23/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.29	0.00	5.29	90.0	0.08
10/23/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.78	0.00	0.78	90.0	0.01
10/23/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.56	0.00	0.56	90.0	0.01
10/23/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.74	0.00	2.74	90.0	0.04
10/18/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	15.30	0.00	15.30	90.0	0.24
10/18/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	3.40	0.00	3.40	90.0	0.05
10/18/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	1.74	0.00	1.74	90.0	0.03
10/18/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.61	0.00	0.61	90.0	0.01
10/18/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.47	0.00	0.47	90.0	0.01
10/18/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.40	0.00	1.40	90.0	0.02
10/18/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.23	0.00	43.23	90.0	0.69
10/18/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	129.70	0.00	129.70	90.0	2.08
10/18/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.19	0.00	4.19	90.0	0.07
10/18/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.93	0.00	5.93	90.0	0.09
10/18/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.98	0.00	4.98	90.0	0.08
10/24/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.05	0.00	12.05	90.0	0.19
10/24/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.09	0.00	4.09	90.0	0.07
10/17/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.62	0.00	3.62	90.0	0.06
10/17/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	82.76	0.00	82.76	90.0	1.32
10/17/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	39.81	0.00	39.81	90.0	0.64
10/17/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	34.65	0.00	34.65	90.0	0.55
10/17/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	434.98	0.00	434.98	90.0	6.96
10/17/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	10.94	0.00	10.94	90.0	0.18
10/17/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.13	0.00	7.13	90.0	0.11
10/17/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.60	0.00	7.60	90.0	0.12
10/17/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	32.19	0.00	32.19	90.0	0.52
10/17/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	30.04	0.00	30.04	90.0	0.48
10/17/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	10.95	0.00	10.95	90.0	0.18

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
10/17/2017	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	23.02	0.00	23.02	90.0	0.37
10/17/2017	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.30	0.00	10.30	90.0	0.16
10/17/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.69	0.00	2.69	90.0	0.04
10/17/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.69	0.00	2.69	90.0	0.04
10/17/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.04	0.00	4.04	90.0	0.06
10/17/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	71.31	0.00	71.31	90.0	1.14
10/17/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	44.47	0.00	44.47	90.0	0.71
10/17/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.46	0.00	20.46	90.0	0.33
10/17/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.06	0.00	14.06	90.0	0.22
10/17/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.47	0.00	20.47	90.0	0.33
10/17/2017	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	42.18	0.00	42.18	90.0	0.67
10/17/2017	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	18.88	0.00	18.88	90.0	0.30
10/17/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.69	0.00	10.69	90.0	0.17
10/17/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.20	0.00	4.20	90.0	0.07
10/31/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	2.26	0.00	2.26	90.0	0.04
10/31/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	2.26	0.00	2.26	90.0	0.04
10/30/2017	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	126.54	0.00	126.54	90.0	2.02
10/30/2017	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.05	0.00	4.05	90.0	0.06
10/30/2017	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	266.46	0.00	266.46	90.0	4.26
10/30/2017	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.64	0.00	9.64	90.0	0.15
10/30/2017	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.50	0.00	0.50	90.0	0.01
10/30/2017	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	58.11	0.00	58.11	90.0	0.93
10/30/2017	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.48	0.00	1.48	90.0	0.02
10/30/2017	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.24	0.00	13.24	90.0	0.21
10/30/2017	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	12.79	0.00	12.79	90.0	0.20
10/30/2017	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	40.14	0.00	40.14	90.0	0.64
10/30/2017	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.51	0.00	0.51	90.0	0.01
10/30/2017	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.03	0.00	1.03	90.0	0.02
10/30/2017	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.38	0.00	9.38	90.0	0.15
10/30/2017	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.43	0.00	0.43	90.0	0.01
10/30/2017	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	16.21	0.00	16.21	90.0	0.26
10/30/2017	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.41	0.00	0.41	90.0	0.01
10/30/2017	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.80	0.00	0.80	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
10/12/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.04	0.00	1.04	90.0	0.02
11/06/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.34
10/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	268,353.82	223.63	0.00	223.63	90.0	3.58
10/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	279,881.78	233.23	0.00	233.23	90.0	3.73
10/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	411,371.43	342.81	0.00	342.81	90.0	5.48
10/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	503,831.15	419.86	0.00	419.86	90.0	6.72
10/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	109,587.81	91.32	0.00	91.32	90.0	1.46
10/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,019.45	2.92	0.00	2.92	90.0	0.05
10/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	213,428.97	177.86	0.00	177.86	90.0	2.85
10/26/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.61	0.00	0.61	90.0	0.01
10/21/2017	MCFADDEN, JOAN	LANDMARK 2002	0.00	212.09	0.00	212.09	90.0	3.39
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.95	0.00	2.95	90.0	0.05
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.06	0.00	2.06	90.0	0.03
10/20/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.74	0.00	2.74	90.0	0.04
10/20/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.85	0.00	7.85	90.0	0.13
10/20/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.60	0.00	2.60	90.0	0.04
10/20/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	114.86	0.00	114.86	90.0	1.84
10/20/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	117.67	0.00	117.67	90.0	1.88
10/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.27	0.00	28.27	90.0	0.45
10/20/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.75	0.00	19.75	90.0	0.32
10/20/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.86	0.00	0.86	90.0	0.01
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.35	0.00	5.35	90.0	0.09
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.17	0.00	7.17	90.0	0.11
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.13	0.00	1.13	90.0	0.02
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.75	0.00	0.75	90.0	0.01
10/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.80	0.00	10.80	90.0	0.17
10/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.87	0.00	5.87	90.0	0.09
10/20/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.13	0.00	6.13	90.0	0.10
10/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.11	0.00	15.11	90.0	0.24
10/20/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.04	0.00	3.04	90.0	0.05
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.81	0.00	2.81	90.0	0.04
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.64	0.00	3.64	90.0	0.06
10/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.45	0.00	0.45	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
10/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.47	0.00	1.47	90.0	0.02
10/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.84	0.00	19.84	90.0	0.32
10/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.66	0.00	1.66	90.0	0.03
10/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.68	0.00	2.68	90.0	0.04
10/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.05	0.00	2.05	90.0	0.03
10/20/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.01	0.00	11.01	90.0	0.18
10/20/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	96.24	0.00	96.24	90.0	1.54
10/20/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.28	0.00	11.28	90.0	0.18
10/20/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.55	0.00	5.55	90.0	0.09
10/20/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.24	0.00	6.24	90.0	0.10
10/20/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.65	0.00	12.65	90.0	0.20
10/20/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.94	0.00	8.94	90.0	0.14
10/20/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.54	0.00	4.54	90.0	0.07
10/24/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.15	0.00	10.15	90.0	0.16
10/24/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.70	0.00	2.70	90.0	0.04
10/24/2017	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.81	0.00	1.81	90.0	0.03
10/24/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.48	0.00	0.48	90.0	0.01
10/24/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.19	0.00	1.19	90.0	0.02
10/24/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.70	0.00	0.70	90.0	0.01
10/16/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.26	0.00	6.26	90.0	0.10
10/16/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	15.62	0.00	15.62	90.0	0.25
10/16/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.98	0.00	7.98	90.0	0.13
10/16/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	14.92	0.00	14.92	90.0	0.24
10/16/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	15.01	0.00	15.01	90.0	0.24
10/16/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.67	0.00	0.67	90.0	0.01
10/16/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.03	0.00	9.03	90.0	0.14
10/16/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.61	0.00	21.61	90.0	0.35
10/16/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	7.88	0.00	7.88	90.0	0.13
10/16/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	18.57	0.00	18.57	90.0	0.30
10/16/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.39	0.00	5.39	90.0	0.09
10/16/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.68	0.00	5.68	90.0	0.09
10/16/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	26.36	0.00	26.36	90.0	0.42
10/16/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	10.99	0.00	10.99	90.0	0.18

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
10/16/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.04	0.00	9.04	90.0	0.14
10/16/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.06	0.00	14.06	90.0	0.22
10/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.14	0.00	1.14	90.0	0.02
10/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.00	0.00	1.00	90.0	0.02
10/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.43	0.00	0.43	90.0	0.01
10/19/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.60	0.00	0.60	90.0	0.01
10/19/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.36	0.00	2.36	90.0	0.04
10/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.04	0.00	3.04	90.0	0.05
10/19/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.92	0.00	0.92	90.0	0.01
10/19/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	38.05	0.00	38.05	90.0	0.61
10/19/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.59	0.00	2.59	90.0	0.04
10/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.75	0.00	1.75	90.0	0.03
10/23/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	242.14	0.00	242.14	90.0	3.87
10/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.25	0.00	151.25	90.0	2.42
11/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	82.18	0.00	82.18	90.0	1.31
10/18/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	12.79	0.00	12.79	90.0	0.20
10/18/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.88	0.00	2.88	90.0	0.05
10/16/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.77	0.00	12.77	90.0	0.20
10/16/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.44	0.00	17.44	90.0	0.28
10/16/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.74	0.00	5.74	90.0	0.09
10/16/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.42	0.00	43.42	90.0	0.69
10/16/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	130.26	0.00	130.26	90.0	2.08
10/23/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.75	0.00	11.75	90.0	0.19
10/23/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.57	0.00	1.57	90.0	0.03
10/23/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.34	0.00	0.34	90.0	0.01
10/23/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.21	0.00	11.21	90.0	0.18
10/05/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.44	0.00	1.44	90.0	0.02
10/25/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.48	0.00	1.48	90.0	0.02
10/24/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.44	0.00	0.44	90.0	0.01
10/18/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.55	0.00	5.55	90.0	0.09
10/12/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	8.88	0.00	8.88	90.0	0.14
10/12/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.21	0.00	22.21	90.0	0.36
10/12/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.82	0.00	10.82	90.0	0.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
10/12/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.22	0.00	17.22	90.0	0.28
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.80	0.00	4.80	90.0	0.08
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.51	0.00	0.51	90.0	0.01
10/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.12
10/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC LINSKY SUBTOTAL *			\$1,803,649.41	\$5,624.97	\$0.00	\$5,624.97		\$89.98
<u>BROKER: DANIEL CHARLEY #338</u>								
10/31/2017	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	0.00	5.13	0.00	5.13	90.0	0.08
* DANIEL CHARLEY SUBTOTAL *			\$0.00	\$5.13	\$0.00	\$5.13		\$0.08
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,803,649.41	\$5,630.10	\$0.00	\$5,630.10		\$90.06

ADJUSTMENTS

11/15/2017	*AI INSIGHT: AI INSIGHT - PECHA	0.00	-1.40
11/15/2017	*AI INSIGHT: AI INSIGHT - T THOMPSO	0.00	-2.45
11/15/2017	*EO INS: CURRENT MONTH CIERELLI	0.00	-300.00
11/15/2017	*EO INS: CURRENT MONTH INS	0.00	-60.00
11/15/2017	*EO INS: CURRENT MONTH INS	0.00	-105.00
11/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-105.00
11/15/2017	*FEDERAL EXPRESS: 5-973-09991 10/25	0.00	-13.67
11/15/2017	*FEDERAL EXPRESS: 5-980-12441 11/01	0.00	-25.70
11/15/2017	*LASER APP: LASER APP - PECHA	0.00	-3.60
11/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
11/15/2017	*MISC: C FULMER, A LEEDS	0.00	-540.00
11/15/2017	*MISC: OVERNIGHT FEE 10/15/17	0.00	-40.00
11/15/2017	*MISC: OVERNIGHT FEE 10/31/17	0.00	-40.00
11/15/2017	*MISC: ROY AFLAC 1031DST	0.00	-1,126.00
11/15/2017	*MISC: SARAH, FT L, 1031DST	0.00	-205.00
11/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-0.75
11/15/2017	*PERSHING: MANDITORY REORGANIZATION	0.00	-2.50
11/15/2017	*PERSHING: MARK UPS	0.00	9.00
11/15/2017	*PERSHING: MARK UPS	0.00	30.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/15/2017		*PERSHING: MARK UPS	0.00					52.50
11/15/2017		*SPLIT PAYOUT/OVERRIDE: BRANCH-CIRE	0.00					-236.46
11/15/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-CHARL	0.00					271.60
11/15/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-LINSK	0.00					426.12
11/15/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-MCPHE	0.00					1.71
11/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
11/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
11/15/2017		*TECH: BASIC - PECHA	0.00					-36.00
11/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
11/15/2017		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-63.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH CIRELLI	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,589.85

BROKER COMMISSION TOTALS

Broker Transactions	\$726.48
Split Transactions	\$22,426.32
Override Transactions	\$90.06
Adjustments	\$-2,589.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,653.01
Year-to-date Gross	\$642,052.14
Year-to-date Earnings	\$616,524.40

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