



Concorde Investment Services, LLC

02/19/2018

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COMMISSION STATEMENT**February 15, 2018**

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.92	0.00	3.92	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	14.32	0.00	14.32	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.71	0.00	0.71	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.30	0.00	3.30	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.82	0.00	1.82	0.0	0.00
02/01/2018	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	125.00	6.25	0.00	6.25	0.0	0.00
* 529 PLANS SUBTOTAL *			\$125.00	\$36.04	\$0.00	\$36.04		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$2.00	\$0.00	\$2.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	32.52	0.00	32.52	0.0	0.00
01/31/2018	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	42.10	0.00	42.10	0.0	0.00
01/31/2018	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	66.95	0.00	66.95	0.0	0.00
01/31/2018	LUND, JIM	FRANKLIN INCOME A	0.00	4.55	0.00	4.55	0.0	0.00
01/31/2018	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.88	0.00	0.88	0.0	0.00
01/31/2018	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	32.47	0.00	32.47	0.0	0.00
01/31/2018	LUND, ANDREA	FRANKLIN INCOME A	0.00	198.23	0.00	198.23	0.0	0.00
01/31/2018	WURLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.58	0.00	2.58	0.0	0.00
01/31/2018	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.28	0.00	0.28	0.0	0.00
01/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
01/31/2018	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	52.58	0.00	52.58	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.54	0.00	23.54	0.0	0.00
01/31/2018	HO, PAULINA	FRANKLIN INCOME C	0.00	70.98	0.00	70.98	0.0	0.00
01/31/2018	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	6.95	0.00	6.95	0.0	0.00
01/31/2018	STARK, TONI	FRANKLIN INCOME C	0.00	27.70	0.00	27.70	0.0	0.00
01/31/2018	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.76	0.00	3.76	0.0	0.00
01/31/2018	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.47	0.00	1.47	0.0	0.00
01/31/2018	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.55	0.00	2.55	0.0	0.00
01/31/2018	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.98	0.00	4.98	0.0	0.00
01/31/2018	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.94	0.00	22.94	0.0	0.00
01/31/2018	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	27.14	0.00	27.14	0.0	0.00
01/31/2018	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	84.24	0.00	84.24	0.0	0.00
01/31/2018	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.95	0.00	0.95	0.0	0.00
01/31/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	107.47	0.00	107.47	0.0	0.00
01/31/2018	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	67.62	0.00	67.62	0.0	0.00
01/31/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.88	0.00	20.88	0.0	0.00
01/31/2018	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.63	0.00	5.63	0.0	0.00
01/31/2018	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.17	0.00	4.17	0.0	0.00
01/31/2018	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.77	0.00	20.77	0.0	0.00
01/31/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	33.11	0.00	33.11	0.0	0.00
01/31/2018	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.33	0.00	1.33	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$971.74	\$0.00	\$971.74		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$325.00	\$1,009.78	\$0.00	\$1,009.78		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,323.88
Year-to-date Earnings	\$0.00