

**COMMISSION STATEMENT****August 15, 2018**DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.09	0.00	1.09	0.0	0.00
07/11/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.24	0.00	0.24	0.0	0.00
07/11/2018	SWEADOR, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.86	0.00	4.86	0.0	0.00
07/11/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.69	0.00	10.69	0.0	0.00
07/11/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.23	0.00	3.23	0.0	0.00
07/11/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.97	0.00	0.97	0.0	0.00
07/11/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.76	0.00	1.76	0.0	0.00
07/11/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.42	0.00	2.42	0.0	0.00
07/11/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.28	0.00	2.28	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$27.54	\$0.00	\$27.54		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
07/06/2018	BROWNELL, STANLEY	HSBC USA INC NEW SR IDX ZRO	36,651.72	730.80	28.00	702.80	0.0	0.00
* BONDS SUBTOTAL *			\$36,651.72	\$730.80	\$28.00	\$702.80		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$36,651.72	\$758.34	\$28.00	\$730.34		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$7,620.03
Year-to-date Earnings	\$0.00