



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2017**

02/14/2017  
 Page No. 1

JOHN ROSS  
 7261 W. Central Ave.  
  
 Toledo, OH 43617

BROKER #: R119  
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/26/2017	SANDERSON, STEPHEN	COTTONWOOD MULTIFAMILY REIT I-REG A	10,000.00	600.00	0.00	600.00	92.0	552.00
		* REIT SUBTOTAL *	\$10,000.00	\$600.00	\$0.00	\$600.00		\$552.00
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
12/31/2016	LONG, PAUL	GRIFFIN INST'L ACCESS R E FUND	0.00	3.24	0.00	3.24	92.0	2.98
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$3.24	\$0.00	\$3.24		\$2.98
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/09/2017	WAGONER JR, JOHN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	2.53	0.00	2.53	92.0	2.33
01/09/2017	WAGONER JR, JOHN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	2.36	0.00	2.36	92.0	2.17
02/01/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
01/30/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	92.0	2.30
01/23/2017	MOLNAR, JOSEPH	AM FUNDS BOND FUND OF AMERICA A	5,000.00	137.50	0.00	137.50	92.0	126.50
01/23/2017	ZARAGOZA, JULIE	AM FUNDS BOND FUND OF AMERICA A	250.00	7.50	0.00	7.50	92.0	6.90
02/01/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
01/30/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.91	0.00	2.91	92.0	2.68
01/30/2017	SHELNICK, CATHERINE	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	1.50	0.00	1.50	92.0	1.38
01/30/2017	SHELNICK, CATHERINE	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	1.50	0.00	1.50	92.0	1.38
01/30/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.91	0.00	2.91	92.0	2.68
01/30/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
01/30/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	250.00	2.50	0.00	2.50	92.0	2.30
01/25/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
01/25/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	250.00	2.50	0.00	2.50	92.0	2.30
02/03/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	2.00	0.00	2.00	92.0	1.84
01/31/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	500.00	5.00	0.00	5.00	92.0	4.60
02/01/2017	HUNTER, DEBORAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	92.0	1.96
01/25/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	250.00	2.50	0.00	2.50	92.0	2.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/03/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	300.00	3.00	0.00	3.00	92.0	2.76
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	1.86	0.00	1.86	92.0	1.71
02/01/2017	ZAREMBA, PATTY	FEDERATED PRIME CASH OBLIG FD CII	0.00	0.12	0.00	0.12	92.0	0.11
02/01/2017	ZAREMBA, PATTY	FEDERATED PRIME CASH OBLIG FD CII	0.00	0.05	0.00	0.05	92.0	0.05
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.23	0.00	1.23	92.0	1.13
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.83	0.00	0.83	92.0	0.76
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.26	0.00	1.26	92.0	1.16
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.05	0.00	1.05	92.0	0.97
01/31/2017	HENDERSON, BARBARA	FRANKLIN BALANCED C	0.00	13.98	0.00	13.98	92.0	12.86
01/31/2017	KRALL, GARY	FRANKLIN DYNATECH A	0.00	12.28	0.00	12.28	92.0	11.30
01/31/2017	REEVES, TERRENCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.17	0.00	0.17	92.0	0.16
01/31/2017	HENDERSON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS C	0.00	8.10	0.00	8.10	92.0	7.45
01/31/2017	HENDERSON, BARBARA	FRANKLIN INCOME C	0.00	8.66	0.00	8.66	92.0	7.97
01/31/2017	KRALL, GARY	FRANKLIN MICROCAP VALUE A	0.00	15.99	0.00	15.99	92.0	14.71
01/31/2017	KRALL, JERRY	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	1.03	0.00	1.03	92.0	0.95
01/31/2017	KRALL, GARY	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.17	0.00	3.17	92.0	2.92
01/31/2017	HENDERSON, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	13.24	0.00	13.24	92.0	12.18
01/31/2017	DRAGE, JENE	FRANKLIN US GOVT SEC FUND A	0.00	7.39	0.00	7.39	92.0	6.80
01/31/2017	HENDERSON, BARBARA	FRANKLIN US GOVT SEC FUND C	0.00	11.51	0.00	11.51	92.0	10.59
01/31/2017	HENDERSON, BARBARA	FT MUTUAL SHARES FUND C	0.00	23.58	0.00	23.58	92.0	21.69
01/31/2017	KRALL, GARY	TEMPLETON DEVELOPING MKTS A	0.00	2.51	0.00	2.51	92.0	2.31
01/31/2017	KRALL, GARY	TEMPLETON FOREIGN SMCO A	0.00	2.60	0.00	2.60	92.0	2.39
01/31/2017	SPRANGEL, CHERYL	TEMPLETON WORLD A	0.00	10.88	0.00	10.88	92.0	10.01
01/31/2017	REEVES, TERRENCE	TEMPLETON WORLD A	0.00	0.38	0.00	0.38	92.0	0.35
01/31/2017	KRALL, JERRY	TEMPLETON WORLD A	0.00	1.31	0.00	1.31	92.0	1.21
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$8,870.28</b>	<b>\$359.45</b>	<b>\$0.00</b>	<b>\$359.45</b>		<b>\$330.72</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/02/2017	NORRIS, JAMES	SPROTT PHYSICAL GOLD TR UNIT ISIN#C	-3,250.00	50.00	22.50	27.50	92.0	25.30
02/02/2017	NORRIS, JAMES	SPROTT PHYSICAL SILVER TR TR UNIT I	-3,235.20	40.00	22.72	17.28	92.0	15.90
02/02/2017	NORRIS, JAMES	VANECK VECTORS ETF TR GOLD MINERS E	-2,441.00	40.00	22.50	17.50	92.0	16.10
<b>* STOCKS SUBTOTAL *</b>			<b>\$-8,926.20</b>	<b>\$130.00</b>	<b>\$67.72</b>	<b>\$62.28</b>		<b>\$57.30</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/05/2017	SCHLIEVERT, KAREN	AM GEN SEASONS ELITE	40,713.96	101.78	0.00	101.78	92.0	93.64
01/31/2017	IRWIN, JOHN	JNL ELITE ACCESS	134,425.25	28.01	0.00	28.01	92.0	25.77
01/31/2017	DEMKO, VERNA	JNL PERSPECTIVE	49,319.66	10.27	0.00	10.27	92.0	9.45
01/31/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,454.05	1.44	0.00	1.44	92.0	1.32
01/31/2017	LONG, LORI	JNL PERSPECTIVE L	14,640.44	12.20	0.00	12.20	92.0	11.22
01/31/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	30,440.63	25.37	0.00	25.37	92.0	23.34
01/31/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	25,875.96	21.56	0.00	21.56	92.0	19.84
01/31/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,850.99	35.71	0.00	35.71	92.0	32.85
01/31/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,905.07	12.42	0.00	12.42	92.0	11.43
01/31/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	108,244.51	90.20	0.00	90.20	92.0	82.98
01/31/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	44,869.60	37.39	0.00	37.39	92.0	34.40
01/31/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	118,273.27	98.56	0.00	98.56	92.0	90.68
01/31/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	60,435.87	50.36	0.00	50.36	92.0	46.33
01/31/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	60,162.00	50.13	0.00	50.13	92.0	46.12
01/31/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	107,135.09	89.28	0.00	89.28	92.0	82.14
01/31/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	81,851.36	68.21	0.00	68.21	92.0	62.75
01/31/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	69,943.38	58.29	0.00	58.29	92.0	53.63
01/31/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	52,757.80	43.96	0.00	43.96	92.0	40.44
01/31/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	52,427.40	43.69	0.00	43.69	92.0	40.19
02/07/2017	REEVES, TERRENCE	MML ARTISTRY	70.00	3.85	0.00	3.85	92.0	3.54
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,112,796.29</b>	<b>\$882.68</b>	<b>\$0.00</b>	<b>\$882.68</b>		<b>\$812.06</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2016	ROSS, VARIOUS	STADION INVESTMENTS	0.00	13.65	0.00	13.65	92.0	12.56
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.65</b>	<b>\$0.00</b>	<b>\$13.65</b>		<b>\$12.56</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/29/2017	COUSINO, SUSAN	ING PREMIUM PLUS 2005	0.00	29.64	0.00	29.64	92.0	27.27
01/29/2017	SILLIMAN, GRACE	ING PREMIUM PLUS 2005	0.00	10.29	0.00	10.29	92.0	9.47
01/30/2017	WAGONER JR, JOHN	ING PREMIUM PLUS 2005	0.00	18.66	0.00	18.66	92.0	17.17
01/30/2017	WAGONER JR, JOHN	ING PREMIUM PLUS 2005	0.00	19.10	0.00	19.10	92.0	17.57
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$77.69</b>	<b>\$0.00</b>	<b>\$77.69</b>		<b>\$71.48</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,122,740.37</b>	<b>\$2,066.71</b>	<b>\$67.72</b>	<b>\$1,998.99</b>		<b>\$1,839.10</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
01/30/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
02/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	1.19
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.14	0.00	7.14	85.0	0.50
01/30/2017	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	2.91	0.00	2.91	85.0	0.20
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.09	0.00	4.09	85.0	0.29
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.04	0.00	1.04	85.0	0.07
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	5.26	0.00	5.26	85.0	0.37
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.60	0.00	3.60	85.0	0.25
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.61	0.00	3.61	85.0	0.25
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.50	0.00	5.50	85.0	0.39
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	5.65	0.00	5.65	85.0	0.40
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	7.49	0.00	7.49	85.0	0.52
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	2.82	0.00	2.82	85.0	0.20
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.03	0.00	5.03	85.0	0.35
/ /	VESEY, ROBERT	FIDELITY EQUITY INCOME	0.00	3.74	0.00	3.74	85.0	0.26
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.97	0.00	5.97	85.0	0.42
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.90	0.00	5.90	85.0	0.41
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.90	0.00	0.90	85.0	0.06
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.76	0.00	13.76	85.0	0.96
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.56	0.00	6.56	85.0	0.46
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	5.17	0.00	5.17	85.0	0.36
01/31/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	62.92	0.00	62.92	85.0	4.40
01/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	36.02	0.00	36.02	85.0	2.52
01/31/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.62	0.00	49.62	85.0	3.47
01/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.32	0.00	25.32	85.0	1.77
01/31/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	15.60	0.00	15.60	85.0	1.09
01/31/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	63.68	0.00	63.68	85.0	4.46
01/31/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.42	0.00	0.42	85.0	0.03
01/31/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	34.93	0.00	34.93	85.0	2.45
01/31/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	36.19	0.00	36.19	85.0	2.53
01/31/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.48	0.00	9.48	85.0	0.66
01/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	170,890.58	142.41	0.00	142.41	85.0	9.97
01/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	17,567.21	14.64	0.00	14.64	85.0	1.02

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
01/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	49,806.79	41.51	0.00	41.51	85.0	2.91
01/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	39,384.02	32.82	0.00	32.82	85.0	2.30
02/07/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
01/31/2017	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.86	0.00	0.86	85.0	0.06
* THOMAS HALSEY SUBTOTAL *			\$278,148.60	\$684.81	\$0.00	\$684.81		\$47.92
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
02/07/2017	DEMPSEY, CAMERON	AM FUNDS GROWTH FUND OF AMERICA A	-448.75	30.00	22.00	8.00	90.0	0.16
02/07/2017	DEMPSEY, GLENN	AM FUNDS GROWTH FUND OF AMERICA A	-448.75	30.00	22.00	8.00	90.0	0.16
12/31/2016	SHAFFER, CLARK	ICON BOND FUND C	0.00	13.08	0.00	13.08	90.0	0.26
12/31/2016	RICE, CHERYL	ICON BOND FUND C	0.00	2.00	0.00	2.00	90.0	0.04
12/31/2016	GECIK, STANLEY	ICON BOND FUND C	0.00	4.07	0.00	4.07	90.0	0.08
12/31/2016	RECKNER, GARTH	ICON BOND FUND C	0.00	1.03	0.00	1.03	90.0	0.02
01/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,106.81	7.94	0.00	7.94	90.0	0.16
02/01/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
01/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,098.32	1.31	0.00	1.31	90.0	0.03
01/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	42,158.53	35.13	0.00	35.13	90.0	0.70
01/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	99,702.67	83.09	0.00	83.09	90.0	1.66
01/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	27,082.03	22.57	0.00	22.57	90.0	0.45
01/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	38,895.48	32.41	0.00	32.41	90.0	0.65
01/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	127,104.09	105.92	0.00	105.92	90.0	2.12
01/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	138,415.99	115.35	0.00	115.35	90.0	2.31
01/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	169,805.08	141.50	0.00	141.50	90.0	2.83
01/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	23,753.37	19.79	0.00	19.79	90.0	0.40
01/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	47,077.19	39.23	0.00	39.23	90.0	0.78
01/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	16,567.35	13.81	0.00	13.81	90.0	0.28
01/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,475.29	20.40	0.00	20.40	90.0	0.41
01/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	167,156.98	139.30	0.00	139.30	90.0	2.79
01/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	157,368.22	131.14	0.00	131.14	90.0	2.62
01/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,299.21	128.58	0.00	128.58	90.0	2.57
01/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	213,506.79	177.92	0.00	177.92	90.0	3.56
01/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	43,099.89	35.92	0.00	35.92	90.0	0.72
01/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	116,589.80	97.16	0.00	97.16	90.0	1.94
01/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	108,761.62	90.63	0.00	90.63	90.0	1.81

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
01/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	118,396.13	98.66	0.00	98.66	90.0	1.97
01/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,524.95	19.60	0.00	19.60	90.0	0.39
01/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,748.49	23.96	0.00	23.96	90.0	0.48
02/07/2017	DEMPSEY, CAMERON	JOHNSON & JOHNSON COM	-12,369.64	35.00	22.50	12.50	90.0	0.25
02/07/2017	DEMPSEY, GLENN	JOHNSON & JOHNSON COM	-12,365.34	35.00	22.50	12.50	90.0	0.25
12/31/2016	THOMPSON, VARIOUS	STADION INVESTMENTS	0.00	41.69	0.00	41.69	90.0	0.83
* ROBERT THOMPSON SUBTOTAL *			<b>\$1,901,211.80</b>	<b>\$1,783.32</b>	<b>\$89.00</b>	<b>\$1,694.32</b>		<b>\$33.88</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$2,179,360.40</b>	<b>\$2,468.13</b>	<b>\$89.00</b>	<b>\$2,379.13</b>		<b>\$81.80</b>

**ADJUSTMENTS**

02/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
02/15/2017	*FINRA: FBI FINGERPRINT FEE	0.00						-10.00
02/15/2017	*FINRA: FINGERPRINT FEE	0.00						-15.00
02/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-3.96
02/15/2017	*TECHNOLOGY: ADMIN - DEB RYAN	0.00						-100.00
02/15/2017	*TECHNOLOGY: ADMIN - J BOYERS	0.00						-100.00
02/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-803.96</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,839.10
Split Transactions	\$0.00
Override Transactions	\$81.80
Adjustments	\$-803.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,116.94
Year-to-date Gross	\$18,153.66
Year-to-date Earnings	\$17,383.62