



COMMISSION STATEMENT

February 12, 2018

WILLIAM ROBERTS
400 West Metro Park
Rochester, NY 14623

BROKER #: 16
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	***-**-42TR - RUES, JAMES	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	36.24	0.00	36.24	85.0	30.80
	ACCOUNT #: 000011516266163	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$36.24	\$0.00	\$36.24		\$30.80
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$36.24	\$0.00	\$36.24		\$30.80

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: FREDERIC SCARPULLA</u>								
01/29/2018	***-**-7989 - SMITH, MICHAEL	AMERICAN BALANCED FUND-529C	1,100.00	66.00	0.00	66.00	85.0	56.10
	ACCOUNT #: 00084869153	BUY 39 Units @28.0800						
		* FREDERIC SCARPULLA SUBTOTAL *	\$1,100.00	\$66.00	\$0.00	\$66.00		\$56.10
		*** SPLIT TRANSACTIONS TOTAL ***	\$1,100.00	\$66.00	\$0.00	\$66.00		\$56.10

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$30.80
Split Transactions	\$56.10
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$35.88
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$122.78
Year-to-date Earnings	\$0.00