



COMMISSION STATEMENT

February 05, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/22/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	AMCAP FUND-A BUY 3 Units @34.8500	100.00	2.75	0.00	2.75	80.0	2.20
01/30/2018	***-**-593X - WOODY, ROBERT ACCOUNT #: LSR010802	AMERICAN 2020 TARGET DATE RETIREMNT BUY 24 Units @12.7000	305.00	3.05	3.00	0.05	80.0	0.04
01/30/2018	***-**-325X - WARD, JAMES ACCOUNT #: LSR010789	AMERICAN 2035 TARGET DATE RETIREMNT BUY 7 Units @15.3300	100.00	1.00	3.00	-2.00	100.0	-2.00
01/30/2018	***-**-007X - MONACO, CARMINE ACCOUNT #: LSR010800	AMERICAN 2035 TARGET DATE RETIREMNT BUY 86 Units @15.3300	1,325.00	13.25	3.00	10.25	80.0	8.20
01/30/2018	***-**-310X - HARDWICK, TODD ACCOUNT #: LSR011310	AMERICAN 2035 TARGET DATE RETIREMNT BUY 6 Units @15.3300	90.00	0.90	3.00	-2.10	100.0	-2.10
01/22/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 5 Units @29.0800	154.64	4.25	0.00	4.25	80.0	3.40
01/22/2018	***-**-7973 - ROOSA, JOHN ACCOUNT #: 04000563712	AMERICAN BALANCED FUND-A BUY 2 Units @29.0800	46.39	1.28	0.00	1.28	80.0	1.02
01/22/2018	***-**-6453 - BORDONARO, LINDA ACCOUNT #: 00083064667	AMERICAN BALANCED FUND-A BUY 1 Units @29.0800	32.76	0.90	0.00	0.90	80.0	0.72
01/22/2018	***-**-6453 - BORDONARO, LINDA ACCOUNT #: 00083064667	AMERICAN BALANCED FUND-A BUY 1 Units @29.0800	20.00	0.55	0.00	0.55	80.0	0.44
01/25/2018	***-**-689I - FAULKNER, SANDRA ACCOUNT #: 00081806027	AMERICAN BALANCED FUND-A BUY 10 Units @28.6700	298.08	4.77	0.00	4.77	80.0	3.82
01/25/2018	***-**-689I - FAULKNER, SANDRA ACCOUNT #: 00081806027	AMERICAN BALANCED FUND-A BUY 0 Units @28.6700	10.00	0.16	0.00	0.16	80.0	0.13
01/25/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 10 Units @28.6700	298.08	4.77	0.00	4.77	80.0	3.82
01/25/2018	***-**-228I - FAULKNER, ACCOUNT #: 00081805988	AMERICAN BALANCED FUND-A BUY 10 Units @28.6700	298.08	4.77	0.00	4.77	80.0	3.82
01/25/2018	***-**-707I - COLON, ANGEL ACCOUNT #: 00081805964	AMERICAN BALANCED FUND-A BUY 1 Units @28.6700	25.00	0.40	0.00	0.40	80.0	0.32
01/25/2018	***-**-707I - COLON, ANGEL ACCOUNT #: 00081805964	AMERICAN BALANCED FUND-A BUY 1 Units @28.6700	25.00	0.40	0.00	0.40	80.0	0.32
01/25/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	AMERICAN BALANCED FUND-A BUY 1 Units @28.6700	30.00	0.48	0.00	0.48	80.0	0.38



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/25/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @28.6700						
01/22/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 1 Units @13.3600						
01/22/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.78	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.3600						
01/30/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 83 Units @14.7800						
01/30/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 54 Units @14.7800						
01/30/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.7800						
01/22/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	43.27	1.19	0.00	1.19	80.0	0.95
	ACCOUNT #: 04000563724	BUY 3 Units @16.1500						
01/22/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	72.12	1.98	0.00	1.98	80.0	1.58
	ACCOUNT #: 04000563724	BUY 4 Units @16.1500						
01/22/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.5100						
01/22/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	48.83	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 04000563702	BUY 3 Units @16.5100						
01/22/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.5100						
01/22/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	44.09	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 00081526588	BUY 3 Units @16.5100						
01/22/2018	***-**-039I - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	35.00	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 00085621852	BUY 2 Units @15.7400						
01/22/2018	***-**-039I - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	25.49	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00085621852	BUY 2 Units @15.7400						
01/22/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 15 Units @15.7400						
01/22/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	65.58	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 00084434107	BUY 4 Units @15.7400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.7400						
01/22/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.7400						
01/22/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @56.0100						
01/26/2018	***-**-078I - PELLETIER,	FT 2015 RETIREMENT TARGET FUND - CL	500.00	18.75	0.00	18.75	80.0	15.00
	ACCOUNT #: 000000000017588	BUY 43 Units @11.7200						
02/01/2018	***-**-102I - DIPAOLO, EVELYN	T ROWE PRICE RET 2020 FUND	7,500.01	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011455	BUY 323 Units @23.2100						
01/22/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @14.0100						
01/25/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.8200						
01/25/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.8200						
01/22/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @49.9400						
* MUTUAL FUNDS SUBTOTAL *			\$14,620.70	\$115.22	\$24.00	\$91.22		\$71.75
PRODUCT CATEGORY: RIA								
01/31/2018	***-**-96FB - FOSTER, MELISSA	SYMMETRY RIA	36,349.82	90.88	0.00	90.88	80.0	72.70
	ACCOUNT #: 534689	BUY 0 Units @3.6349						
* RIA SUBTOTAL *			\$36,349.82	\$90.88	\$0.00	\$90.88		\$72.70
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/05/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	73.60	0.00	73.60	80.0	58.88
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
02/05/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	31.22	0.00	31.22	80.0	24.98
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
02/05/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	13.81	0.00	13.81	80.0	11.05
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$118.63	\$0.00	\$118.63		\$94.91



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	33.63	0.00	33.63	80.0	26.90
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
01/31/2018	***-**-3912 - LAFUENTE, CARLOS MASS	MUTUAL VA DTCC TRAILS	0.00	205.39	0.00	205.39	80.0	164.31
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$239.02	\$0.00	\$239.02		\$191.21
*** BROKER TRANSACTIONS TOTAL ***			\$50,970.52	\$563.75	\$24.00	\$539.75		\$430.57

BROKER COMMISSION TOTALS

Broker Transactions	\$430.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$430.57
Year-to-date Earnings	\$430.57