



Concorde Investment Services, LLC

10/30/2017

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**COMMISSION STATEMENT****October 31, 2017****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
10/06/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	13,860.00	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-14,000.00	0.00	0.00	0.00	100.0	0.00
10/11/2017	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	0.00	1.06	0.00	1.06	100.0	1.06
10/11/2017	TWEED, VARIOUS	BR TOTAL INC & REAL ESTATE FUND A	0.00	8.26	0.00	8.26	100.0	8.26
10/20/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-27,978.16	0.00	0.00	0.00	100.0	0.00
10/20/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	27,698.38	0.00	0.00	0.00	100.0	0.00
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$-419.78</b>	<b>\$9.32</b>	<b>\$0.00</b>	<b>\$9.32</b>		<b>\$9.32</b>

**PRODUCT CATEGORY: MUTUAL FUNDS**

10/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	386.84	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-407.20	0.00	0.00	0.00	100.0	0.00
10/16/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
10/16/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
10/09/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	2.75	0.00	2.75	100.0	2.75
10/09/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	4.59	0.00	4.59	100.0	4.59
10/24/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-300.00	0.00	0.00	0.00	100.0	0.00
10/24/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	285.00	0.00	0.00	0.00	100.0	0.00
10/24/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-200.00	0.00	0.00	0.00	100.0	0.00
10/24/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	190.00	0.00	0.00	0.00	100.0	0.00
10/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
10/16/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
10/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
10/17/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
10/17/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
10/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
10/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
10/16/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/16/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
10/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	101.84	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-107.20	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-434.40	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	412.68	0.00	0.00	0.00	100.0	0.00
10/16/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
10/16/2017	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
10/16/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
10/13/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
10/16/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
10/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-429.39	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	407.92	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	968.37	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,008.72	0.00	0.00	0.00	100.0	0.00
10/20/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-3,001.60	0.00	0.00	0.00	100.0	0.00
10/20/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	2,881.54	0.00	0.00	0.00	100.0	0.00
10/20/2017	CONCORDE, VARIOUS	BLACKROCK MULTI-ASSET INCOME PORTFO	-171,100.00	0.00	0.00	0.00	100.0	0.00
10/20/2017	CONCORDE, VARIOUS	BLACKROCK MULTI-ASSET INCOME PORTFO	169,389.00	0.00	0.00	0.00	100.0	0.00
10/01/2017	TSEREGOUNIS, SPYROS	DAVIS GOVERNMENT BOND A	0.00	19.30	0.00	19.30	100.0	19.30
10/01/2017	KATEHI, PISTI	DAVIS GOVERNMENT BOND A	0.00	8.25	0.00	8.25	100.0	8.25
10/01/2017	WERNER, DOROTHY	DAVIS GOVERNMENT BOND A	0.00	34.50	0.00	34.50	100.0	34.50
10/01/2017	LEWIS, JOAN	DAVIS GOVERNMENT BOND A	0.00	1.46	0.00	1.46	100.0	1.46
10/01/2017	KNOCH JR, WALTER	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
10/01/2017	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
10/01/2017	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET B	0.00	0.00	0.00	0.00	100.0	0.00
10/01/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	165.23	0.00	165.23	100.0	165.23
10/01/2017	COCKRILL, JUDITH	DAVIS NEW YORK VENTURE A	0.00	30.57	0.00	30.57	100.0	30.57
10/01/2017	POLANT, LOUIS	DAVIS NEW YORK VENTURE A	0.00	21.78	0.00	21.78	100.0	21.78
10/01/2017	GARCIA, ADELA	DAVIS NEW YORK VENTURE A	0.00	16.88	0.00	16.88	100.0	16.88
10/01/2017	TSEREGOUNIS, SPYROS	DAVIS OPPORTUNITY A	0.00	24.14	0.00	24.14	100.0	24.14
10/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	198.87	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-200.88	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	2,142.66	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	112.77	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	775.31	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-131.13	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-116.56	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	126.87	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-801.35	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-2,214.64	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	2,168.77	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-126.44	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	790.21	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-2,201.80	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	124.54	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-802.24	0.00	0.00	0.00	100.0	0.00
10/01/2017	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	28.73	0.00	28.73	100.0	28.73
10/16/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
10/16/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
10/16/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
10/16/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-1,059.86</b>	<b>\$393.35</b>	<b>\$0.00</b>	<b>\$393.35</b>		<b>\$393.35</b>

**PRODUCT CATEGORY: STOCKS**

10/13/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-4,459.04	0.00	1.00	-1.00	100.0	-1.00
10/13/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-1,710.58	0.00	1.00	-1.00	100.0	-1.00
10/13/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-1,441.50	0.00	1.00	-1.00	100.0	-1.00
10/13/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-7,736.60	0.00	1.00	-1.00	100.0	-1.00
10/13/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	15,344.00	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	CENTURYLINK INC COM	-385.40	0.00	1.00	-1.00	100.0	-1.00
10/17/2017	CONCORDE, VARIOUS	CENTURYLINK INC COM	-2,196.91	0.00	1.00	-1.00	100.0	-1.00
10/17/2017	CONCORDE, VARIOUS	CENTURYLINK INC COM	2,582.31	0.00	0.00	0.00	100.0	0.00
10/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,244.00	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
10/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,393.28	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,244.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,244.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,244.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	6,369.28	0.00	0.00	0.00	100.0	0.00
10/13/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	1,394.26	0.00	0.00	0.00	100.0	0.00
10/13/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-138.16	0.00	1.00	-1.00	100.0	-1.00
10/13/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,256.10	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC PERP PFD SER I	9,573.92	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC PERP PFD SER I	530.78	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC PERP PFD SER I	-10,104.72	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE, VARIOUS	FLAHERTY & CRUMRINE PFD SECS INCOME	-29,490.02	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE, VARIOUS	FLAHERTY & CRUMRINE PFD SECS INCOME	4,227.96	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	FLAHERTY & CRUMRINE PFD SECS INCOME	2,113.98	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	FLAHERTY & CRUMRINE PFD SECS INCOME	2,113.98	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	FLAHERTY & CRUMRINE PFD SECS INCOME	21,034.10	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	-7,206.68	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	4,988.70	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	GAMCO GLOBAL GOLD NAT RES & INCOME	2,217.96	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	30,719.40	0.00	0.00	0.00	100.0	0.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-22.20	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-177.60	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,219.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-1,619.87	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,219.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-4,438.90	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-44.40	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,220.00	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,219.45	0.00	1.00	-1.00	100.0	-1.00
10/12/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,219.00	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	KINDER MORGAN INC DEL DEPOSITARY SH	3,829.00	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	KINDER MORGAN INC DEL DEPOSITARY SH	3,825.00	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	KINDER MORGAN INC DEL DEPOSITARY SH	-7,654.00	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE, VARIOUS	MEDICAL PPTYS TR INC COM	-10,564.96	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE, VARIOUS	MEDICAL PPTYS TR INC COM	2,641.98	0.00	1.00	-1.00	100.0	-1.00
10/25/2017	CONCORDE, VARIOUS	MEDICAL PPTYS TR INC COM	7,923.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	24,087.31	0.00	0.00	0.00	100.0	0.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,108.04	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,108.28	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-777.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-777.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,331.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,554.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-777.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,554.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,108.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-5,439.00	0.00	1.00	-1.00	100.0	-1.00
10/16/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,554.00	0.00	1.00	-1.00	100.0	-1.00
10/17/2017	CONCORDE, VARIOUS	PPL CORPORATION	6,242.88	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	PPL CORPORATION	-3,738.24	0.00	1.00	-1.00	100.0	-1.00
10/17/2017	CONCORDE, VARIOUS	PPL CORPORATION	-2,504.63	0.00	1.00	-1.00	100.0	-1.00
10/24/2017	CONCORDE, VARIOUS	VEREIT INC COM	-4,002.50	0.00	1.00	-1.00	100.0	-1.00
10/24/2017	CONCORDE, VARIOUS	VEREIT INC COM	-17,823.79	0.00	1.00	-1.00	100.0	-1.00
10/24/2017	CONCORDE, VARIOUS	VEREIT INC COM	21,826.36	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-3.69	\$0.00	\$56.00	\$-56.00		\$-56.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/13/2017	LUND, VARIOUS	ADV CENTURY	0.00	789.81	0.00	789.81	100.0	789.81
10/20/2017	LUND, VARIOUS	ADV CENTURY	0.00	160.41	0.00	160.41	100.0	160.41
10/03/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	346,092.55	288.41	0.00	288.41	100.0	288.41
10/03/2017	REEDY, DAVID	GENWORTH CHOICE VA	23,258.95	19.38	0.00	19.38	100.0	19.38

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/03/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	152,228.66	126.86	0.00	126.86	100.0	126.86
10/03/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	489.05	0.08	0.00	0.08	100.0	0.08
10/03/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	26,843.82	4.47	0.00	4.47	100.0	4.47
09/18/2017	FORMELLA, ANNETTE	GENWORTH INS	10,765.84	2.24	0.00	2.24	100.0	2.24
09/18/2017	FORMELLA, DAVID	GENWORTH INS	10,576.63	2.20	0.00	2.20	100.0	2.20
09/18/2017	HORIBA, MASATAKE	GENWORTH INS	162,840.52	33.92	0.00	33.92	100.0	33.92
10/16/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
10/16/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
10/12/2017	DURZO, ROSINA	PAC LIFE INNOVATIVE SELECT	0.00	75.04	0.00	75.04	100.0	75.04
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$733,156.02</b>	<b>\$1,503.42</b>	<b>\$0.00</b>	<b>\$1,503.42</b>		<b>\$1,503.42</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
10/17/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	-21,408.13	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	19,557.42	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	-19,956.57	0.00	0.00	0.00	100.0	0.00
10/17/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	20,979.95	0.00	0.00	0.00	100.0	0.00
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$-827.33</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
10/04/2017	ADAMS, VARIOUS	LBL TRAILS	0.00	7.99	0.00	7.99	100.0	7.99
10/02/2017	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
10/02/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
10/02/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
10/06/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
10/13/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.55	0.00	3.55	100.0	3.55
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$448.83</b>	<b>\$23.28</b>	<b>\$0.00</b>	<b>\$23.28</b>		<b>\$23.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/30/2017	SCHNEIDER, VARIOUS	ASPIRE AM FUND TRAILS	0.00	225.29	0.00	225.29	100.0	225.29
10/07/2017	KORNITSKY, MARK	FIDELITY ADVISOR TRAILS	0.00	3.92	0.00	3.92	100.0	3.92
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$229.21</b>	<b>\$0.00</b>	<b>\$229.21</b>		<b>\$229.21</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/28/2017	SENIORS WELLNESS GRP	JOHN HANCOCK LIFE INS CO	0.00	-784.48	0.00	-784.48	100.0	-784.48
03/31/2017	SENIORS WELLNESS GRP	JOHN HANCOCK LIFE INS CO	0.00	-784.91	0.00	-784.91	100.0	-784.91

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
10/18/2017	VETERE, VICTORIA	ING PREMIUM PLUS	0.00	0.95	0.00	0.95	100.0	0.95
10/18/2017	BUCKEYE, JOAN	ING PREMIUM PLUS 2005	0.00	24.04	0.00	24.04	100.0	24.04
10/17/2017	MCGINNIS, JAMES	LANDMARK 2002	0.00	219.80	0.00	219.80	100.0	219.80
09/30/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.89	0.00	12.89	100.0	12.89
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$-1,311.71</b>	<b>\$0.00</b>	<b>\$-1,311.71</b>		<b>\$-1,311.71</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$731,294.19</b>	<b>\$846.87</b>	<b>\$56.00</b>	<b>\$790.87</b>		<b>\$790.87</b>
<b><u>OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ACCOUNT HOUSE #1</u></b>								
10/16/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
10/16/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
10/09/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	2.75	0.00	2.75	100.0	2.75
10/09/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	4.59	0.00	4.59	100.0	4.59
10/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
10/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
10/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
10/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
10/16/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
<b>* ACCOUNT HOUSE SUBTOTAL *</b>			<b>\$667.00</b>	<b>\$25.85</b>	<b>\$0.00</b>	<b>\$25.85</b>		<b>\$25.85</b>
<b><u>BROKER: ANTHONY GUGLIELME #8</u></b>								
10/16/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
10/16/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
<b>* ANTHONY GUGLIELME SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$8.50</b>	<b>\$0.00</b>	<b>\$8.50</b>		<b>\$8.50</b>
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
10/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
<b>* 101 CFGROUP/KOSANKE SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$5.00</b>
<b><u>BROKER: DANIEL WOLF #11</u></b>								
10/02/2017	SAVELA, RONALD	INVESCO ENERGY FUND A	0.00	0.82	0.00	0.82	0.0	0.82
10/24/2017	CARIGNAN, NANCY	MML CM LIFE VUL	54,151.80	6.77	0.00	6.77	0.0	6.77
<b>* DANIEL WOLF SUBTOTAL *</b>			<b>\$54,151.80</b>	<b>\$7.59</b>	<b>\$0.00</b>	<b>\$7.59</b>		<b>\$7.59</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
/ /	CROSSFIRE GROUP Q3AM	AM CENTURY HERITAGE	0.00	0.46	0.00	0.46	0.0	0.46
09/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.20	0.00	0.20	0.0	0.20
09/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.03	0.00	1.03	0.0	1.03
09/30/2017	BLINKE, RONALD	MAINSTAY	0.00	69.55	0.00	69.55	0.0	69.55
10/01/2017	CROSSFIRE GROUP Q3AM	MFS UTILITIES A	0.00	0.05	0.00	0.05	0.0	0.05
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$71.29	\$0.00	\$71.29		\$71.29
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
10/04/2017	HAYES, REBECCA	ALGER CAPITAL APPRECIATION A	0.00	0.18	0.00	0.18	0.0	0.18
10/04/2017	MARTIN, DAVID	ALGER CAPITAL APPRECIATION A	0.00	0.39	0.00	0.39	0.0	0.39
10/04/2017	HAYES, REBECCA	ALGER GROWTH & INCOME A	0.00	0.15	0.00	0.15	0.0	0.15
10/04/2017	HAYES, REBECCA	ALGER LARGECAP GROWTH A	0.00	0.07	0.00	0.07	0.0	0.07
10/04/2017	MARTIN, DAVID	ALGER LARGECAP GROWTH A	0.00	0.17	0.00	0.17	0.0	0.17
10/04/2017	HAYES, REBECCA	ALGER MIDCAP GROWTH A	0.00	0.13	0.00	0.13	0.0	0.13
10/04/2017	MARTIN, DAVID	ALGER MIDCAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
10/04/2017	MARTIN, DAVID	ALGER SMALLCAP GROWTH A	0.00	0.22	0.00	0.22	0.0	0.22
10/01/2017	BOAKS, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	0.0	0.44
10/01/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	0.00	26.95	0.00	26.95	0.0	26.95
10/01/2017	KANDT, TRACY	DAVIS NEW YORK VENTURE C	0.00	88.69	0.00	88.69	0.0	88.69
10/01/2017	BURESS, KELLY	DAVIS NEW YORK VENTURE C	0.00	6.08	0.00	6.08	0.0	6.08
10/01/2017	HEAD, JOHN	DAVIS NEW YORK VENTURE C	0.00	7.60	0.00	7.60	0.0	7.60
10/02/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
10/13/2017	BORDERS, BARBARA	DWSCUDDER DEUTSCHE GNMA FD A	0.00	2.20	0.00	2.20	0.0	2.20
10/02/2017	ROSS JT TEN, LAWRENCE	INVESCO US GOVT A	0.00	5.42	0.00	5.42	0.0	5.42
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$139.05	\$0.00	\$139.05		\$139.05
<b><u> BROKER: TIMOTHY BROWN #36 </u></b>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.65	0.00	2.65	0.0	2.65
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.96	0.00	7.96	0.0	7.96
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$10.61	\$0.00	\$10.61		\$10.61
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
10/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	15.26	0.00	15.26	0.0	15.26
10/01/2017	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	42.92	0.00	42.92	0.0	42.92
10/01/2017	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	6.85	0.00	6.85	0.0	6.85

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
10/01/2017	WARTHMAN, JODY	MFS GROWTH A	0.00	0.87	0.00	0.87	0.0	0.87
10/01/2017	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.36	0.00	5.36	0.0	5.36
10/01/2017	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	5.65	0.00	5.65	0.0	5.65
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.21	0.00	7.21	0.0	7.21
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	21.64	0.00	21.64	0.0	21.64
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.42	0.00	0.42	0.0	0.42
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.02	0.00	0.02	0.0	0.02
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$107.11	\$0.00	\$107.11		\$107.11
<b><u> BROKER: MELANIE DICENSO #43 </u></b>								
10/13/2017	VANDERKHOVE, HANNAH	DWS DEUTSCHE EQUITY DIVIDEND FUND-A	0.00	0.49	0.00	0.49	0.0	0.49
10/13/2017	NASH, SUZANNE	DWS GLOBAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.05
* MELANIE DICENSO SUBTOTAL *			\$0.00	\$0.54	\$0.00	\$0.54		\$0.54

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOHN BEAN #45 </u></b>								
10/10/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.29	0.00	1.29	0.0	1.29
10/04/2017	GOOD HOPE LUTHERAN	PIMCO LOW DURATION CLASS A	0.00	19.03	0.00	19.03	0.0	19.03
10/04/2017	STUMP, DAVID	PIMCO TOTAL RETURN D	0.00	1.40	0.00	1.40	0.0	1.40
10/04/2017	HENRY, REBECCA	PIMCO TOTAL RETURN D	0.00	1.25	0.00	1.25	0.0	1.25
* JOHN BEAN SUBTOTAL *			\$0.00	\$22.97	\$0.00	\$22.97		\$22.97
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
10/13/2017	JAGER FAMILY TRUST, EARL & ANH	COLONY NORTHSTAR INC CL A COM	-1,394.26	22.00	22.50	-0.50	0.0	-0.50
10/04/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.52	0.00	2.52	0.0	2.52
10/04/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.86	0.00	0.86	0.0	0.86
10/04/2017	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	6.64	0.00	6.64	0.0	6.64
10/04/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.52	0.00	5.52	0.0	5.52
10/02/2017	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	6.15	0.00	6.15	0.0	6.15
10/02/2017	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	5.95	0.00	5.95	0.0	5.95
10/02/2017	MCCUTCHAN, BARBARA	INVESCO MONEY MARKET C	0.00	2.77	0.00	2.77	0.0	2.77
10/02/2017	MCCUTCHAN, BARBARA	INVESCO SMALL CAP EQUITY FUND	0.00	17.03	0.00	17.03	0.0	17.03
10/02/2017	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	5.58	0.00	5.58	0.0	5.58
10/13/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.08	0.00	2.08	0.0	2.08
10/13/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.06	0.00	2.06	0.0	2.06
10/13/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.08	0.00	1.08	0.0	1.08
10/13/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.77	0.00	1.77	0.0	1.77
10/13/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.00	0.00	2.00	0.0	2.00
10/13/2017	JAGER FAMILY TRUST, EARL & ANH	NORTHSTAR RLTY EUROPE CORP COM	-452.46	22.00	22.50	-0.50	0.0	-0.50
* ROBERT (RUSTY) TWEED SUBTOTAL *			-\$1,846.72	\$106.01	\$45.00	\$61.01		\$61.01
<b><u> BROKER: JIM LUND #60 </u></b>								
10/16/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
10/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
10/16/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
10/02/2017	STARK, TONI	FRANKLIN INCOME C	1,000.00	10.00	0.00	10.00	0.0	10.00
10/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
10/02/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
10/18/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
10/11/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
10/10/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
10/10/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
* JIM LUND SUBTOTAL *			<b>\$2,650.00</b>	<b>\$60.38</b>	<b>\$0.00</b>	<b>\$60.38</b>		<b>\$60.38</b>
<b><u>BROKER: JAMES PANOS #61</u></b>								
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
10/16/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
10/16/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
10/16/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
10/16/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
10/16/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
10/16/2017	MACUCH, PAUL	FA 529 PORT 2028 C	100.00	1.00	0.00	1.00	0.0	1.00
10/02/2017	BROPHY, CHARLES	METLIFE SERIES L	382,610.33	956.53	0.00	956.53	0.0	956.53
10/02/2017	YFANTOPULOS, GEORGE	METLIFE SERIES L	248,472.25	621.18	0.00	621.18	0.0	621.18
10/02/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	52,996.37	132.49	0.00	132.49	0.0	132.49
10/02/2017	BROPHY, VIRGINIA	METLIFE SERIES L	169,054.39	422.64	0.00	422.64	0.0	422.64
10/02/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	58,781.61	146.95	0.00	146.95	0.0	146.95
10/02/2017	CHIARELLA, LAWRENCE	METLIFE SERIES L	315,473.19	788.68	0.00	788.68	0.0	788.68
10/02/2017	VITUKEVICH, MICHAEL	METLIFE SERIES L	168,993.38	422.48	0.00	422.48	0.0	422.48
10/02/2017	MONAGHAN, THOMAS	METLIFE SERIES L	128,481.41	321.20	0.00	321.20	0.0	321.20
* JAMES PANOS SUBTOTAL *			<b>\$1,525,852.93</b>	<b>\$3,822.75</b>	<b>\$0.00</b>	<b>\$3,822.75</b>		<b>\$3,822.75</b>
<b><u>BROKER: RENE CASTRO #92</u></b>								
10/06/2017	PIRIO, PAUL	ING PREMIUM PLUS	0.00	88.77	0.00	88.77	0.0	88.77
10/13/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.32	0.00	2.32	0.0	2.32
10/13/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.07	0.00	9.07	0.0	9.07
* RENE CASTRO SUBTOTAL *			<b>\$0.00</b>	<b>\$100.16</b>	<b>\$0.00</b>	<b>\$100.16</b>		<b>\$100.16</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES AULENTI #101 </u></b>								
10/09/2017	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	77.81	0.00	77.81	0.0	77.81
		<b>* JAMES AULENTI SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$77.81</b>	<b>\$0.00</b>	<b>\$77.81</b>		<b>\$77.81</b>
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
10/02/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
10/02/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
10/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	6.25	0.31	0.00	0.31	0.0	0.31
10/02/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
10/02/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
10/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	6.25	0.31	0.00	0.31	0.0	0.31
10/02/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
10/02/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
10/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	6.25	0.31	0.00	0.31	0.0	0.31
10/02/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
10/02/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
10/02/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
10/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	6.25	0.31	0.00	0.31	0.0	0.31
10/03/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	70,954.69	11.83	0.00	11.83	0.0	11.83
10/03/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,936.80	0.82	0.00	0.82	0.0	0.82
10/13/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.72	0.00	1.72	0.0	1.72
10/13/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.01	0.00	0.01	0.0	0.01
10/13/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.78	0.00	2.78	0.0	2.78
10/13/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.01	0.00	0.01	0.0	0.01
10/13/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.87	0.00	1.87	0.0	1.87
10/13/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.02	0.00	2.02	0.0	2.02
10/02/2017	PETITT, WILLIAM	METLIFE SERIES L	32,255.16	80.64	0.00	80.64	0.0	80.64
10/02/2017	COOPER, CHARLES	METLIFE SERIES L	46,214.37	115.54	0.00	115.54	0.0	115.54

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
10/02/2017	WILLIAMS, JESSIE	METLIFE SERIES L	218,921.46	547.30	0.00	547.30	0.0	547.30
10/02/2017	DAUBERT, D	METLIFE SERIES L	20,236.65	50.59	0.00	50.59	0.0	50.59
* DANIEL COLLINS SUBTOTAL *			\$394,069.13	\$842.65	\$0.00	\$842.65		\$842.65
<b><u> BROKER: G118 GRECO #110 </u></b>								
10/20/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
10/20/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
* G118 GRECO SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<b><u> BROKER: RANDOLPH REDMOND #118 </u></b>								
09/19/2017	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	-11.42	0.00	-11.42	0.0	-11.42
* RANDOLPH REDMOND SUBTOTAL *			\$0.00	\$-11.42	\$0.00	\$-11.42		\$-11.42
<b><u> BROKER: ERNEST DALE ANDERSON #119 </u></b>								
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.41	0.00	0.41	0.0	0.41
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.08	0.00	0.08	0.0	0.08
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.11	0.00	0.11	0.0	0.11
/ /	VAN BAAL, RUDOLF	NUVEEN STRATEGIC INC PORT-A	0.00	0.37	0.00	0.37	0.0	0.37
/ /	VAN BAAL, RUDOLF	NUVEEN STRATEGIC INC PORT-A	0.00	0.07	0.00	0.07	0.0	0.07
/ /	VAN BAAL, RUDOLF	NUVEEN STRATEGIC INC PORT-A	0.00	0.08	0.00	0.08	0.0	0.08
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.39	0.00	0.39	0.0	0.39
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.07	0.00	0.07	0.0	0.07
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.09	0.00	0.09	0.0	0.09
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.40	0.00	0.40	0.0	0.40
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.09	0.00	0.09	0.0	0.09
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.12	0.00	0.12	0.0	0.12
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.41	0.00	0.41	0.0	0.41
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.09	0.00	0.09	0.0	0.09
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.12	0.00	0.12	0.0	0.12
* ERNEST DALE ANDERSON SUBTOTAL *			\$0.00	\$2.90	\$0.00	\$2.90		\$2.90
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
10/04/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.99	0.00	2.99	0.0	2.99
09/30/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	0.00	2.26	0.00	2.26	0.0	2.26
09/30/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	0.00	4.00	0.00	4.00	0.0	4.00

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<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
10/02/2017	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.61	0.00	5.61	0.0	5.61
10/02/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	22.82	0.00	22.82	0.0	22.82
10/02/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	20.43	0.00	20.43	0.0	20.43
10/02/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	18.43	0.00	18.43	0.0	18.43
10/02/2017	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	18.51	0.00	18.51	0.0	18.51
10/02/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.22	0.00	1.22	0.0	1.22
10/02/2017	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.66	0.00	0.66	0.0	0.66
10/09/2017	CRABTREE, GARY	METLIFE	36,378.48	7.57	0.00	7.57	0.0	7.57
10/09/2017	CRABTREE, GARY	METLIFE	7,877.48	1.64	0.00	1.64	0.0	1.64
10/09/2017	CRABTREE, GARY	METLIFE	8,580.18	1.78	0.00	1.78	0.0	1.78
10/09/2017	CRABTREE, GARY	METLIFE	7,658.33	1.59	0.00	1.59	0.0	1.59
10/09/2017	CRABTREE, GARY	METLIFE	11,212.46	2.33	0.00	2.33	0.0	2.33
10/09/2017	CRABTREE, GARY	METLIFE	6,609.81	1.37	0.00	1.37	0.0	1.37
09/30/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.38	0.00	4.38	0.0	4.38
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.58	0.00	1.58	0.0	1.58
* JAY MCCLELLAN SUBTOTAL *			\$78,316.74	\$119.17	\$0.00	\$119.17		\$119.17
<b><u> BROKER: TIMOTHY KULHANEK #145 </u></b>								
10/10/2017	GOVE, VARIOUS	AMERITAS MEDLEY	25,117.69	15.70	0.00	15.70	0.0	15.70
10/05/2017	BEBENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	150.00	7.13	0.00	7.13	0.0	7.13
* TIMOTHY KULHANEK SUBTOTAL *			\$25,267.69	\$22.83	\$0.00	\$22.83		\$22.83
<b><u> BROKER: CHARLIE ARRINGTON #174 </u></b>								
10/02/2017	MURASZENSKI, LAWRENCE	INVESCO AMERICAN VALUE A	0.00	9.25	0.00	9.25	0.0	9.25
10/02/2017	MATHEIS LIV TRUST, BERNICE	INVESCO ENERGY FUND A	0.00	2.30	0.00	2.30	0.0	2.30
10/02/2017	MURASZENSKI, LAWRENCE	INVESCO ENERGY FUND A	0.00	4.97	0.00	4.97	0.0	4.97
10/02/2017	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL ALLOCATION A	0.00	3.74	0.00	3.74	0.0	3.74
10/02/2017	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL TOTAL RETURN	0.00	3.07	0.00	3.07	0.0	3.07
10/02/2017	MURASZENSKI, LAWRENCE	INVESCO US GOVT A	0.00	8.86	0.00	8.86	0.0	8.86
* CHARLIE ARRINGTON SUBTOTAL *			\$0.00	\$32.19	\$0.00	\$32.19		\$32.19
<b><u> BROKER: BRUCE CARNICK #175 </u></b>								
10/01/2017	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	0.00	89.16	0.00	89.16	0.0	89.16
10/01/2017	WOLAK, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	49.65	0.00	49.65	0.0	49.65
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$138.81	\$0.00	\$138.81		\$138.81

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVID KOTZ #179 </u></b>								
09/30/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	30.34	0.00	30.34	0.0	30.34
* DAVID KOTZ SUBTOTAL *			\$0.00	\$30.34	\$0.00	\$30.34		\$30.34
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
10/02/2017	HESSELL, LOUIS	INVESCO CHARTER FUND A	0.00	52.71	0.00	52.71	0.0	52.71
10/02/2017	HESSELL, LOUIS	INVESCO CHARTER FUND B	0.00	4.91	0.00	4.91	0.0	4.91
10/02/2017	HESSELL, LOUIS	INVESCO EQUITY AND INCOME A	0.00	29.24	0.00	29.24	0.0	29.24
10/02/2017	HESSELL, LOUIS	INVESCO EQUITY AND INCOME FUND CL B	0.00	5.26	0.00	5.26	0.0	5.26
09/30/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.89	0.00	12.89	0.0	12.89
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,790,509.53	367.91	0.00	367.91	0.0	367.91
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	621,034.28	127.61	0.00	127.61	0.0	127.61
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	58,796.26	12.08	0.00	12.08	0.0	12.08
/ /	COMMUNITY HOUSE	NWL POOLED FXD	390.47	0.08	0.00	0.08	0.0	0.08
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	95,306.10	19.58	0.00	19.58	0.0	19.58
* WILLIAM WALSH SUBTOTAL *			\$2,566,036.64	\$632.27	\$0.00	\$632.27		\$632.27
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
09/30/2017	MEDIGER, KYLE	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	28.38	0.00	28.38	0.0	28.38
10/02/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	0.00	7.53	0.00	7.53	0.0	7.53
10/02/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	0.00	30.71	0.00	30.71	0.0	30.71
10/02/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	0.00	25.73	0.00	25.73	0.0	25.73
10/02/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	0.00	44.97	0.00	44.97	0.0	44.97
10/02/2017	EVERY, CHARLES	INVESCO EQUITY AND INCOME C	0.00	161.46	0.00	161.46	0.0	161.46
10/02/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	0.00	4.00	0.00	4.00	0.0	4.00
10/02/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	0.00	35.16	0.00	35.16	0.0	35.16
10/02/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	0.00	52.28	0.00	52.28	0.0	52.28
10/02/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	0.00	33.31	0.00	33.31	0.0	33.31
10/02/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	0.00	8.40	0.00	8.40	0.0	8.40
10/02/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	0.00	4.09	0.00	4.09	0.0	4.09
10/02/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	0.00	35.36	0.00	35.36	0.0	35.36
10/02/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	0.00	6.22	0.00	6.22	0.0	6.22
10/02/2017	BARTELS, CHRIS	INVESCO MONEY MARKET C	0.00	1.94	0.00	1.94	0.0	1.94
10/02/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	0.00	34.36	0.00	34.36	0.0	34.36
10/04/2017	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.74	0.00	3.74	0.0	3.74

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
10/04/2017	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.64	0.00	8.64	0.0	8.64
		<b>* MICHELE BOYER SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$526.28</b>	<b>\$0.00</b>	<b>\$526.28</b>		<b>\$526.28</b>
<b><u> BROKER: MICHAEL PALMISANO #196 </u></b>								
10/02/2017	STEGEER, GENE	INVESCO AMER VAL 00143M828	0.00	8.25	0.00	8.25	0.0	8.25
10/02/2017	STEGEER, GENE	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	9.54	0.00	9.54	0.0	9.54
10/02/2017	STEGEER, GENE	INVESCO EQUITY AND INCOME C	0.00	9.48	0.00	9.48	0.0	9.48
10/02/2017	STEGEER, GENE	INVESCO REAL ESTATE FUND CL C	0.00	2.12	0.00	2.12	0.0	2.12
10/02/2017	STEGEER, GENE	INVESCO TECHNOLOGY C	0.00	7.86	0.00	7.86	0.0	7.86
10/02/2017	STEGEER, GENE	INVESCO VALUE OPPORTUNITIES FUND CL	0.00	9.23	0.00	9.23	0.0	9.23
		<b>* MICHAEL PALMISANO SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$46.48</b>	<b>\$0.00</b>	<b>\$46.48</b>		<b>\$46.48</b>
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
10/04/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.61	0.00	8.61	0.0	8.61
10/04/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.60	0.00	7.60	0.0	7.60
10/04/2017	AGEE, SAMUEL	PIMCO REAL RETURN A	0.00	0.08	0.00	0.08	0.0	0.08
		<b>* MEREDITH MCCUTCHEN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$16.29</b>	<b>\$0.00</b>	<b>\$16.29</b>		<b>\$16.29</b>
<b><u> BROKER: MARIA BONGIOVANNI #237 </u></b>								
10/02/2017	NORCROSS, NANCY	METLIFE SERIES L	35,673.95	89.18	0.00	89.18	0.0	89.18
10/02/2017	NORCROSS, NANCY	METLIFE SERIES L	36,239.10	90.60	0.00	90.60	0.0	90.60
10/02/2017	NORCROSS, NANCY	METLIFE SERIES L	40,423.71	101.06	0.00	101.06	0.0	101.06
		<b>* MARIA BONGIOVANNI SUBTOTAL *</b>	<b>\$112,336.76</b>	<b>\$280.84</b>	<b>\$0.00</b>	<b>\$280.84</b>		<b>\$280.84</b>
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
10/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
10/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
		<b>* WILLIAM THOMAS SUBTOTAL *</b>	<b>\$450.00</b>	<b>\$9.00</b>	<b>\$0.00</b>	<b>\$9.00</b>		<b>\$9.00</b>
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
09/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	1.85	0.00	1.85	0.0	1.85
09/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.02
09/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
09/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.73	0.00	7.73	0.0	7.73
09/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	6.51	0.00	6.51	0.0	6.51
09/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.98	0.00	29.98	0.0	29.98

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
09/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.99	0.00	9.99	0.0	9.99
09/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.17	0.00	2.17	0.0	2.17
09/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	23.20	0.00	23.20	0.0	23.20
10/16/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
10/16/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
10/16/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
10/02/2017	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.56	0.00	4.56	0.0	4.56
10/02/2017	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.49	0.00	2.49	0.0	2.49
10/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
10/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
10/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.84	0.00	2.84	0.0	2.84
10/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
10/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
10/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
10/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
10/11/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
10/11/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
10/02/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
10/17/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
<b> * B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL * </b>			<b>\$2,523.78</b>	<b>\$117.68</b>	<b>\$0.00</b>	<b>\$117.68</b>		<b>\$117.68</b>
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
09/30/2017	LUCHSIN-NEVIN, VARIOUS	PACIFIC LIFE FUNDS	0.00	347.51	0.00	347.51	0.0	34.75
10/12/2017	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	0.00	36.39	0.00	36.39	0.0	3.64
10/14/2017	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	0.00	500.49	0.00	500.49	0.0	50.05
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.70	0.00	4.70	0.0	0.47
10/10/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.40	0.00	1.40	0.0	0.14
10/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.50	0.00	11.50	0.0	1.15
10/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.70	0.00	3.70	0.0	0.37
10/10/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.35	0.00	1.35	0.0	0.14
<b> * L149-ROBERT LUCHSINGER SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$907.04</b>	<b>\$0.00</b>	<b>\$907.04</b>		<b>\$90.71</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-S148 SMITH #401</u></b>								
10/05/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	50.00	2.50	0.00	2.50	0.0	1.30
10/05/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.56
* MARK-S148 SMITH SUBTOTAL *			\$350.00	\$5.50	\$0.00	\$5.50		\$2.86
*** OVERRIDE TRANSACTIONS TOTAL ***			\$4,761,255.75	\$8,289.47	\$45.00	\$8,244.47		\$7,425.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$790.87
Split Transactions	\$0.00
Override Transactions	\$7,425.50
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,216.37
Year-to-date Gross	\$70,070.30
Year-to-date Earnings	\$205,129.84

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