

All Companies
STATEMENT
 April 20, 2018

JAMES MALAFRONTTE

BROKER #: 040
 BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for JAMES MALAFRONTTE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

ADJUSTMENTS

| | | | | | | | | | | |
|---------------------------|--|-----------------------------------|--|--|--|--|--|--|--|--------------------|
| 04/20/2018 | | FINRA: FINRA ANNUAL ASSESMENT FEE | | | | | | | | -215.00 |
| 04/20/2018 | | MISCELLANEOUS: BACKPAY FOR ADMIN | | | | | | | | -2,276.00 |
| | | AND E&O NOT CHARGED FROM NOVEMBER | | | | | | | | |
| | | TO | | | | | | | | |
| 04/20/2018 | | ADMIN FEE: ADMIN FEE | | | | | | | | -390.00 |
| 04/20/2018 | | E & O INSURANCE: E & O INSURANCE | | | | | | | | -179.00 |
| *** ADJUSTMENTS TOTAL *** | | | | | | | | | | <u>\$-3,060.00</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-3,060.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
 THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------|--------------------|
| Balance Forward | \$-3,060.00 |
|------------------------|--------------------|