

COMMISSION STATEMENT

April 30, 2017

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/03/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	318,500.00	0.00	7.00	-7.00	85.0	-5.95
04/06/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	122,500.00	0.00	7.00	-7.00	85.0	-5.95
04/07/2017	75500663	BOSGANG, ALVIN		YAHOO INC	B	930,000.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	1,129,450.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500663	BOSGANG, ALVIN		YAHOO INC	S	942,185.00	200.00	7.00	193.00	85.0	164.05
04/11/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	24,500.00	0.00	7.00	-7.00	85.0	-5.95
04/12/2017	75500864	WINICK, JESSE		BANK OF AMERICA CORP	B	176,250.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500864	WINICK, JESSE		BANK OF AMERICA CORP	B	293,750.00	0.00	7.00	-7.00	85.0	-5.95
04/03/2017	75500933	LAWRENCE, IRA		MGM RESORTS INTL COM	S	27,900.00	10.00	7.00	3.00	85.0	2.55
04/07/2017	75500933	LAWRENCE, IRA		OKTA INC CL A COMMON STOCK	S	23,510.00	10.00	7.00	3.00	85.0	2.55
04/07/2017	75500933	LAWRENCE, IRA		OKTA INC CL A COMMON STOCK	B	23,250.00	10.00	7.00	3.00	85.0	2.55
04/24/2017	75500933	LAWRENCE, IRA		ALTERYX INC COM CL A	B	30,040.00	10.00	7.00	3.00	85.0	2.55
03/31/2017	75501098	WINICK FAMILY		BANK OF AMERICA CORP	B	93,100.00	0.00	7.00	-7.00	85.0	-5.95
03/29/2017	75501161	LAWRENCE, DAVID		RITTER PHARMACEUTICALS INC COM	B	3,080.00	10.00	7.00	3.00	85.0	2.55
04/06/2017	75501189	IRA S LAWRENCE TTEE		MYRIAD GENETICS INC	S	18,200.00	10.00	7.00	3.00	85.0	2.55
04/05/2017	75501196	LAWRENCE, ADELLE		RITTER PHARMACEUTICALS INC COM	S	4,415.20	10.00	7.00	3.00	85.0	2.55
04/13/2017	75502037	WINICK, BARBARA		BANK OF AMERICA CORP	B	94,000.00	0.00	7.00	-7.00	85.0	-5.95

* STOCKS SUBTOTAL *	\$4,254,630.20	\$270.00	\$119.00	\$151.00	\$128.35
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PRODUCT CATEGORY: OPTIONS

03/31/2017	75500663	BOSGANG, ALVIN	PUT	BAC	04/13/17	24	S	47,967.00	396.00	7.00	389.00	85.0	330.65
03/31/2017	75500663	BOSGANG, ALVIN	PUT	BAC	03/31/17	24.50	B	40,040.17	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	44.50	S	4,280.00	214.00	7.00	207.00	85.0	175.95
03/31/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	45.50	S	25,200.00	900.00	7.00	893.00	85.0	759.05
03/31/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/07/17	46	S	1,580.00	79.00	7.00	72.00	85.0	61.20
03/31/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	45	S	18,000.00	900.00	7.00	893.00	85.0	759.05
03/31/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/13/17	45.50	S	3,363.00	168.00	7.00	161.00	85.0	136.85
03/31/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/13/17	46	S	5,700.00	285.00	7.00	278.00	85.0	236.30
04/03/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	45.50	S	23,980.00	900.00	7.00	893.00	85.0	759.05
04/03/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/21/17	45	S	13,609.00	680.00	7.00	673.00	85.0	572.05
04/04/2017	75500663	BOSGANG, ALVIN	PUT	BAC	04/13/17	24	S	5,100.00	255.00	7.00	248.00	85.0	210.80

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
04/04/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	44.50	S	2,660.00	133.00	7.00	126.00	85.0	107.10
04/04/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45.50	S	12,000.00	450.00	7.00	443.00	85.0	376.55
04/04/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45	S	8,600.00	430.00	7.00	423.00	85.0	359.55
04/05/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/21/17	24.50	S	2,800.00	140.00	7.00	133.00	85.0	113.05
04/05/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/21/17	24	S	9,600.00	480.00	7.00	473.00	85.0	402.05
04/05/2017	75500663 BOSGANG, ALVIN	PUT BAC 04/13/17	24	S	8,000.00	400.00	7.00	393.00	85.0	334.05
04/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45	S	15,217.00	750.00	7.00	743.00	85.0	631.55
04/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45.50	S	20,078.00	750.00	7.00	743.00	85.0	631.55
04/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45.50	S	26,406.00	1,320.00	7.00	1,313.00	85.0	1,116.05
04/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45	S	5,460.00	273.00	7.00	266.00	85.0	226.10
04/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	46	S	36,920.00	1,500.00	7.00	1,493.00	85.0	1,269.05
04/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/13/17	46	S	2,000.00	100.00	7.00	93.00	85.0	79.05
04/06/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/21/17	24.50	S	6,600.00	330.00	7.00	323.00	85.0	274.55
04/06/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/21/17	24	S	19,800.00	990.00	7.00	983.00	85.0	835.55
04/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	44	S	5,060.00	253.00	7.00	246.00	85.0	209.10
04/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	44.50	S	11,800.00	590.00	7.00	583.00	85.0	495.55
04/06/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45	S	17,780.00	750.00	7.00	743.00	85.0	631.55
04/07/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/21/17	24.50	S	9,800.00	490.00	7.00	483.00	85.0	410.55
04/07/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/28/17	23.50	S	78,270.00	338.00	7.00	331.00	85.0	281.35
04/07/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/21/17	24	S	12,600.00	630.00	7.00	623.00	85.0	529.55
04/07/2017	75500663 BOSGANG, ALVIN	PUT BAC 04/07/17	24.50	B	71,510.00	0.00	7.00	-7.00	85.0	-5.95
04/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	44.50	S	10,540.00	527.00	7.00	520.00	85.0	442.00
04/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45	S	15,100.00	750.00	7.00	743.00	85.0	631.55
04/07/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45.50	S	50,111.00	1,800.00	7.00	1,793.00	85.0	1,524.05
04/10/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/28/17	23.50	S	6,240.00	312.00	7.00	305.00	85.0	259.25
04/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45.50	S	3,420.00	171.00	7.00	164.00	85.0	139.40
04/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45	S	19,186.00	900.00	7.00	893.00	85.0	759.05
04/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	46.50	S	16,400.00	600.00	7.00	593.00	85.0	504.05
04/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	46.50	S	3,100.00	150.00	7.00	143.00	85.0	121.55
04/12/2017	75500663 BOSGANG, ALVIN	PUT BAC 05/05/17	24.50	S	262,857.00	839.00	7.00	832.00	85.0	707.20
04/12/2017	75500663 BOSGANG, ALVIN	PUT BAC 04/13/17	24	B	246,071.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500663 BOSGANG, ALVIN	CALL BAC 05/05/17	24	S	16,240.00	812.00	7.00	805.00	85.0	684.25
04/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45	S	2,100.00	105.00	7.00	98.00	85.0	83.30
04/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45.50	S	4,018.00	200.00	7.00	193.00	85.0	164.05
04/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/28/17	45	S	34,530.00	1,350.00	7.00	1,343.00	85.0	1,141.55
04/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	45.50	S	12,000.00	600.00	7.00	593.00	85.0	504.05
04/13/2017	75500663 BOSGANG, ALVIN	PUT YHOO 04/21/17	46	S	25,455.00	1,272.00	7.00	1,265.00	85.0	1,075.25
04/19/2017	75500663 BOSGANG, ALVIN	CALL BAC 05/05/17	24	S	15,600.00	780.00	7.00	773.00	85.0	657.05
04/19/2017	75500663 BOSGANG, ALVIN	CALL BAC 04/28/17	23.50	S	8,900.00	445.00	7.00	438.00	85.0	372.30
04/19/2017	75500663 BOSGANG, ALVIN	PUT YHOO 05/05/17	46	S	15,074.00	600.00	7.00	593.00	85.0	504.05

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
04/19/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/05/17	45.50	S	16,843.00	842.00	7.00	835.00	709.75
04/19/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/05/17	45	S	12,600.00	630.00	7.00	623.00	529.55
04/19/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/28/17	45.50	S	1,452.00	72.00	7.00	65.00	55.25
04/19/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	04/28/17	45	S	4,040.00	202.00	7.00	195.00	165.75
04/20/2017	75500663	BOSGANG, ALVIN	CALL	BAC	05/05/17	24	S	5,100.00	255.00	7.00	248.00	210.80
04/20/2017	75500663	BOSGANG, ALVIN	CALL	BAC	05/05/17	24	S	7,500.00	375.00	7.00	368.00	312.80
04/20/2017	75500663	BOSGANG, ALVIN	CALL	BAC	04/28/17	23.50	S	4,100.00	205.00	7.00	198.00	168.30
04/20/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	46.50	S	9,400.00	300.00	7.00	293.00	249.05
04/20/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47.50	S	58,360.00	2,250.00	7.00	2,243.00	1,906.55
04/20/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	45.50	S	6,377.78	300.00	7.00	293.00	249.05
04/21/2017	75500663	BOSGANG, ALVIN	CALL	BAC	05/12/17	24	S	19,140.00	957.00	7.00	950.00	807.50
04/21/2017	75500663	BOSGANG, ALVIN	CALL	BAC	05/12/17	23.50	S	32,026.00	1,500.00	7.00	1,493.00	1,269.05
04/21/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/05/17	46.50	S	6,300.00	300.00	7.00	293.00	249.05
04/21/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/05/17	46	S	6,040.00	302.00	7.00	295.00	250.75
04/21/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	46.50	S	10,280.00	300.00	7.00	293.00	249.05
04/21/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47.50	S	11,300.00	450.00	7.00	443.00	376.55
04/21/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	45.50	S	5,400.00	270.00	7.00	263.00	223.55
04/24/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	46.50	S	34,353.00	1,500.00	7.00	1,493.00	1,269.05
04/24/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47	S	31,716.00	1,470.00	7.00	1,463.00	1,243.55
04/24/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	46.50	S	31,360.00	1,568.00	7.00	1,561.00	1,326.85
04/24/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47	S	43,319.00	1,500.00	7.00	1,493.00	1,269.05
04/25/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47.50	S	15,583.00	450.00	7.00	443.00	376.55
04/25/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47.50	S	12,540.00	450.00	7.00	443.00	376.55
04/25/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47	S	17,014.00	810.00	7.00	803.00	682.55
04/25/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47	S	40,995.00	1,500.00	7.00	1,493.00	1,269.05
04/26/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/05/17	47.50	S	3,960.00	198.00	7.00	191.00	162.35
04/26/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	46.50	S	7,520.00	376.00	7.00	369.00	313.65
04/26/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47.50	S	29,328.00	900.00	7.00	893.00	759.05
04/26/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47.50	S	22,700.00	900.00	7.00	893.00	759.05
04/26/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47	S	12,880.00	644.00	7.00	637.00	541.45
04/26/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47	S	21,281.00	900.00	7.00	893.00	759.05
04/27/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47.50	S	53,072.00	1,650.00	7.00	1,643.00	1,396.55
04/27/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/12/17	47.50	S	11,100.00	555.00	7.00	548.00	465.80
04/27/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	05/19/17	47	S	30,155.00	1,350.00	7.00	1,343.00	1,141.55
03/31/2017	75500837	BELL, MARTIN	PUT	BAC	03/31/17	23.50	B	1,659.87	0.00	7.00	-7.00	-5.95
04/24/2017	75500837	BELL, MARTIN	PUT	YHOO	05/05/17	46.50	S	1,120.00	0.00	7.00	-7.00	-5.95
04/27/2017	75500837	BELL, MARTIN	PUT	YHOO	05/19/17	46.50	S	1,152.50	0.00	7.00	-7.00	-5.95
03/31/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	S	5,007.78	0.00	7.00	-7.00	-5.95
03/31/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	S	9,147.60	0.00	7.00	-7.00	-5.95
03/31/2017	75500864	WINICK, JESSE	PUT	BAC	03/31/17	23.50	B	6,639.48	0.00	7.00	-7.00	-5.95

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TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
04/03/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	S	1,100.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	B	43,800.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500864	WINICK, JESSE	PUT	BAC	04/13/17	24	B	69,801.76	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500864	WINICK, JESSE	PUT	YHOO	04/28/17	45	S	680.00	68.00	7.00	61.00	85.0	51.85
04/10/2017	75500864	WINICK, JESSE	PUT	YHOO	04/21/17	45.50	S	840.00	84.00	7.00	77.00	85.0	65.45
04/13/2017	75500864	WINICK, JESSE	CALL	BAC	05/05/17	24.50	S	3,300.00	0.00	7.00	-7.00	85.0	-5.95
04/17/2017	75500864	WINICK, JESSE	PUT	YHOO	04/21/17	45.50	S	1,260.00	126.00	7.00	119.00	85.0	101.15
04/20/2017	75500864	WINICK, JESSE	CALL	BAC	05/12/17	24.50	S	900.00	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500864	WINICK, JESSE	PUT	YHOO	05/12/17	47.50	S	2,500.00	250.00	7.00	243.00	85.0	206.55
04/26/2017	75500864	WINICK, JESSE	PUT	YHOO	05/12/17	47.50	S	1,820.00	182.00	7.00	175.00	85.0	148.75
04/27/2017	75500864	WINICK, JESSE	PUT	YHOO	05/19/17	46.50	S	2,305.00	230.00	7.00	223.00	85.0	189.55
04/27/2017	75500864	WINICK, JESSE	PUT	YHOO	05/12/17	47	S	2,250.00	225.00	7.00	218.00	85.0	185.30
03/31/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	04/13/17	24	S	2,503.89	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	03/31/17	23.50	B	1,659.87	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500867	GREENSPAN, WILLIAM	CALL	BAC	04/28/17	23.50	S	1,600.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	05/05/17	23.50	S	10,044.50	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500867	GREENSPAN, WILLIAM	PUT	BAC	04/13/17	24	B	6,511.80	0.00	7.00	-7.00	85.0	-5.95
04/19/2017	75500867	GREENSPAN, WILLIAM	CALL	BAC	05/05/17	24	S	1,633.33	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500867	GREENSPAN, WILLIAM	CALL	BAC	04/28/17	23.50	B	700.00	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	05/12/17	47.50	S	1,250.00	125.00	7.00	118.00	85.0	100.30
04/24/2017	75500867	GREENSPAN, WILLIAM	CALL	BAC	05/05/17	24	B	1,933.33	0.00	7.00	-7.00	85.0	-5.95
04/26/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	05/12/17	45.50	S	780.00	78.00	7.00	71.00	85.0	60.35
04/27/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	05/19/17	46.50	S	2,305.00	230.00	7.00	223.00	85.0	189.55
03/31/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	24	S	13,721.40	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75500881	SUSLOW, RONNIE	PUT	BAC	03/31/17	23.50	B	4,979.61	0.00	7.00	-7.00	85.0	-5.95
04/04/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	24	S	1,300.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	24	B	29,200.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	23	S	4,600.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	24	B	29,915.04	0.00	7.00	-7.00	85.0	-5.95
04/12/2017	75500881	SUSLOW, RONNIE	PUT	BAC	04/13/17	23	B	7,600.00	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	05/05/17	45.50	S	4,252.00	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	05/12/17	44.50	S	400.00	0.00	7.00	-7.00	85.0	-5.95
04/25/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	05/19/17	46.50	S	6,625.38	0.00	7.00	-7.00	85.0	-5.95
04/26/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	05/19/17	46	S	2,060.00	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	05/05/17	47	S	608.00	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75500881	SUSLOW, RONNIE	PUT	YHOO	05/12/17	47	S	2,250.00	0.00	7.00	-7.00	85.0	-5.95
04/18/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	04/21/17	46	S	2,640.00	0.00	7.00	-7.00	85.0	-5.95
04/24/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	05/19/17	46	S	5,900.00	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75500882	SUSLOW, RONNIE	PUT	YHOO	05/05/17	47.50	S	1,741.00	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75500918	SUSLOW, ELISSA	PUT	BAC	03/31/17	24.50	B	4,694.83	0.00	7.00	-7.00	85.0	-5.95

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
04/03/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17	24	S	1,100.00	0.00	7.00	-7.00 85.0 -5.95
04/03/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/13/17	45.50	S	400.00	0.00	7.00	-7.00 85.0 -5.95
04/05/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17	24	S	4,500.00	0.00	7.00	-7.00 85.0 -5.95
04/05/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17	24	B	4,700.00	0.00	7.00	-7.00 85.0 -5.95
04/07/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17	24	S	2,200.00	0.00	7.00	-7.00 85.0 -5.95
04/07/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/28/17	44	S	340.00	0.00	7.00	-7.00 85.0 -5.95
04/07/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/21/17	44.50	S	1,080.00	0.00	7.00	-7.00 85.0 -5.95
04/07/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/21/17	45.50	S	4,000.00	0.00	7.00	-7.00 85.0 -5.95
04/07/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/21/17	45	S	1,080.00	0.00	7.00	-7.00 85.0 -5.95
04/10/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/21/17	24	S	3,200.00	0.00	7.00	-7.00 85.0 -5.95
04/10/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17	24	B	21,900.00	0.00	7.00	-7.00 85.0 -5.95
04/10/2017	75500918	SUSLOW, ELISSA	PUT BAC	04/13/17	24	B	26,225.52	0.00	7.00	-7.00 85.0 -5.95
04/10/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/21/17	46	S	2,760.00	0.00	7.00	-7.00 85.0 -5.95
04/12/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/28/17	23.50	S	3,680.00	0.00	7.00	-7.00 85.0 -5.95
04/12/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/21/17	24	S	1,400.00	0.00	7.00	-7.00 85.0 -5.95
04/13/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/28/17	44.50	S	520.00	0.00	7.00	-7.00 85.0 -5.95
04/17/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/21/17	24	B	600.00	0.00	7.00	-7.00 85.0 -5.95
04/18/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/28/17	45.50	S	2,191.50	0.00	7.00	-7.00 85.0 -5.95
04/18/2017	75500918	SUSLOW, ELISSA	PUT YHOO	04/28/17	45	S	4,566.00	0.00	7.00	-7.00 85.0 -5.95
04/19/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/05/17	24.50	S	700.00	0.00	7.00	-7.00 85.0 -5.95
04/19/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/28/17	23.50	S	1,232.00	0.00	7.00	-7.00 85.0 -5.95
04/20/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/28/17	23.50	B	1,400.00	0.00	7.00	-7.00 85.0 -5.95
04/20/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/12/17	45.50	S	5,102.22	0.00	7.00	-7.00 85.0 -5.95
04/21/2017	75500918	SUSLOW, ELISSA	PUT HPQ	05/12/17	18	S	1,600.00	0.00	7.00	-7.00 85.0 -5.95
04/21/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/12/17	47.50	S	1,880.00	0.00	7.00	-7.00 85.0 -5.95
04/24/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/05/17	24.50	B	800.00	0.00	7.00	-7.00 85.0 -5.95
04/24/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/05/17	24	S	3,200.00	0.00	7.00	-7.00 85.0 -5.95
04/24/2017	75500918	SUSLOW, ELISSA	CALL BAC	04/28/17	23.50	B	3,180.51	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/05/17	24.50	S	2,240.00	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/05/17	24	B	4,680.00	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/05/17	46	S	240.00	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	45.50	S	1,800.00	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	46.50	S	9,034.62	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/12/17	46.50	S	980.00	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/12/17	47.50	S	600.00	0.00	7.00	-7.00 85.0 -5.95
04/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	46	S	2,080.00	0.00	7.00	-7.00 85.0 -5.95
04/26/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/12/17	46.50	S	3,400.00	0.00	7.00	-7.00 85.0 -5.95
04/27/2017	75500918	SUSLOW, ELISSA	PUT BAC	05/05/17	23.50	B	2,500.00	0.00	7.00	-7.00 85.0 -5.95
04/27/2017	75500918	SUSLOW, ELISSA	PUT BAC	05/05/17	23.50	S	2,000.00	0.00	7.00	-7.00 85.0 -5.95
04/27/2017	75500918	SUSLOW, ELISSA	PUT HPQ	05/12/17	18	S	900.00	0.00	7.00	-7.00 85.0 -5.95

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
04/27/2017	75500918	SUSLOW, ELISSA	PUT	HPQ	05/19/17	18	S	1,500.00	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500953	SUSLOW, STEPHEN	PUT	YHOO	05/05/17	45	S	400.00	0.00	7.00	-7.00	85.0	-5.95
04/17/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	04/28/17	45.50	S	2,300.00	0.00	7.00	-7.00	85.0	-5.95
04/17/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	04/21/17	45.50	S	1,908.00	0.00	7.00	-7.00	85.0	-5.95
04/17/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	04/21/17	46	S	3,160.00	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	05/05/17	46	S	6,000.00	0.00	7.00	-7.00	85.0	-5.95
04/24/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	05/12/17	47.50	S	9,720.00	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	04/13/17	24	S	2,503.89	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	03/31/17	23.50	B	1,659.87	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500986	SUSLOVICH, JACOB	CALL	BAC	04/28/17	23.50	S	1,600.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	05/05/17	23.50	S	10,044.50	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	04/13/17	24	B	6,511.80	0.00	7.00	-7.00	85.0	-5.95
04/19/2017	75500986	SUSLOVICH, JACOB	CALL	BAC	05/05/17	24	S	1,633.33	0.00	7.00	-7.00	85.0	-5.95
04/20/2017	75500986	SUSLOVICH, JACOB	CALL	BAC	04/28/17	23.50	B	700.00	0.00	7.00	-7.00	85.0	-5.95
04/24/2017	75500986	SUSLOVICH, JACOB	CALL	BAC	05/05/17	24	B	1,933.33	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75500986	SUSLOVICH, JACOB	PUT	BAC	05/05/17	23.50	B	2,500.00	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75500986	SUSLOVICH, JACOB	PUT	YHOO	05/19/17	46	S	1,600.00	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75501098	WINICK FAMILY	PUT	BAC	04/13/17	24	S	5,007.78	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75501098	WINICK FAMILY	PUT	BAC	03/31/17	23.50	B	3,319.74	0.00	7.00	-7.00	85.0	-5.95
04/03/2017	75501098	WINICK FAMILY	PUT	BAC	04/13/17	24	S	1,100.00	0.00	7.00	-7.00	85.0	-5.95
04/10/2017	75501098	WINICK FAMILY	PUT	BAC	04/13/17	24	B	9,971.68	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75501098	WINICK FAMILY	CALL	BAC	04/28/17	23.50	S	18,254.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75501098	WINICK FAMILY	CALL	BAC	04/28/17	23.50	S	2,900.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75501098	WINICK FAMILY	PUT	BAC	04/13/17	24	B	13,023.60	0.00	7.00	-7.00	85.0	-5.95
04/17/2017	75501098	WINICK FAMILY	PUT	YHOO	04/21/17	45.50	S	900.00	90.00	7.00	83.00	85.0	70.55
04/20/2017	75501098	WINICK FAMILY	PUT	YHOO	05/12/17	47.50	S	1,250.00	125.00	7.00	118.00	85.0	100.30
04/25/2017	75501098	WINICK FAMILY	CALL	BAC	04/28/17	23.50	B	600.00	0.00	7.00	-7.00	85.0	-5.95
04/26/2017	75501098	WINICK FAMILY	PUT	YHOO	05/19/17	45.50	S	1,920.00	192.00	7.00	185.00	85.0	157.25
04/27/2017	75501098	WINICK FAMILY	CALL	BAC	04/28/17	23.50	B	1,600.00	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75501098	WINICK FAMILY	PUT	YHOO	05/19/17	46.50	S	2,305.00	230.00	7.00	223.00	85.0	189.55
04/27/2017	75501098	WINICK FAMILY	PUT	YHOO	05/12/17	46.50	S	1,300.00	130.00	7.00	123.00	85.0	104.55
04/18/2017	75501104	CHRISTOPHER C	PUT	YHOO	04/21/17	45.50	S	676.50	0.00	7.00	-7.00	85.0	-5.95
04/18/2017	75501104	CHRISTOPHER C	PUT	YHOO	04/21/17	45	S	200.00	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75501215	SISTERS TWO LLC	PUT	BAC	03/31/17	23.50	B	1,659.87	0.00	7.00	-7.00	85.0	-5.95
04/18/2017	75501215	SISTERS TWO LLC	PUT	YHOO	04/28/17	45.50	S	730.50	73.00	7.00	66.00	85.0	56.10
04/18/2017	75501215	SISTERS TWO LLC	PUT	YHOO	04/21/17	45.50	S	676.50	67.00	7.00	60.00	85.0	51.00
04/24/2017	75501215	SISTERS TWO LLC	PUT	YHOO	05/19/17	45.50	S	1,800.00	180.00	7.00	173.00	85.0	147.05
04/27/2017	75501215	SISTERS TWO LLC	PUT	YHOO	05/19/17	45.50	S	960.00	96.00	7.00	89.00	85.0	75.65
03/31/2017	75502037	WINICK, BARBARA	PUT	BAC	04/13/17	24	S	3,505.45	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75502037	WINICK, BARBARA	PUT	BAC	03/31/17	23.50	B	2,323.82	0.00	7.00	-7.00	85.0	-5.95

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
04/03/2017	75502037	WINICK, BARBARA	PUT BAC	04/13/17	24	S	1,100.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75502037	WINICK, BARBARA	CALL BAC	04/28/17	23.50	S	9,127.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75502037	WINICK, BARBARA	CALL BAC	04/28/17	23.50	S	1,600.00	0.00	7.00	-7.00	85.0	-5.95
04/13/2017	75502037	WINICK, BARBARA	PUT BAC	04/13/17	24	B	6,511.80	0.00	7.00	-7.00	85.0	-5.95
04/19/2017	75502037	WINICK, BARBARA	CALL BAC	05/05/17	24	S	1,633.33	0.00	7.00	-7.00	85.0	-5.95
04/24/2017	75502037	WINICK, BARBARA	CALL BAC	05/05/17	24	B	1,933.33	0.00	7.00	-7.00	85.0	-5.95
04/24/2017	75502037	WINICK, BARBARA	CALL BAC	04/28/17	23.50	B	1,303.49	0.00	7.00	-7.00	85.0	-5.95
04/27/2017	75502037	WINICK, BARBARA	CALL BAC	04/28/17	23.50	B	800.00	0.00	7.00	-7.00	85.0	-5.95
03/31/2017	75502072	ROTTERMANN, BLUMA	PUT BAC	03/31/17	23.50	B	1,659.87	0.00	7.00	-7.00	85.0	-5.95
04/24/2017	75502072	ROTTERMANN, BLUMA	PUT YHOO	05/05/17	46.50	S	1,120.00	112.00	7.00	105.00	85.0	89.25
04/27/2017	75502072	ROTTERMANN, BLUMA	PUT YHOO	05/19/17	46.50	S	1,152.50	115.00	7.00	108.00	85.0	91.80
* OPTIONS SUBTOTAL *							\$2,578,142.77	\$57,326.00	\$1,554.00	\$55,772.00		\$47,406.20
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS												
04/11/2017	75501104	CHRISTOPHER C	INVESCO GLOBAL HEALTH CARE FD INV C B				0.00	18.84	0.00	18.84	85.0	16.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$18.84	\$0.00	\$18.84		\$16.01
*** BROKER TRANSACTIONS TOTAL ***							\$6,832,772.97	\$57,614.84	\$1,673.00	\$55,941.84		\$47,550.56
ADJUSTMENTS												
04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY					0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER: OTHER-WARD					0.00	0.00	0.00	0.00		154.11
04/30/2017		OTHER: OTHER-VICTOR					0.00	0.00	0.00	0.00		313.68
04/30/2017		OTHER: OTHER-TERRA					0.00	0.00	0.00	0.00		4,260.59
04/30/2017		OTHER: OTHER-INTEREST PART					0.00	0.00	0.00	0.00		5,460.65
04/30/2017		OTHER: OTHER-WARD'S TICKETS					0.00	0.00	0.00	0.00		-35.00
04/30/2017		OTHER: OTHER-CHASE					0.00	0.00	0.00	0.00		-1,122.00
04/30/2017		OTHER: OTHER-BEN BOSGANG					0.00	0.00	0.00	0.00		-1,000.00
04/30/2017		OTHER: OTHER-CAPITAL ONE					0.00	0.00	0.00	0.00		-1,585.87
04/30/2017		OTHER: OTHER-PAYROLL, TAXES					0.00	0.00	0.00	0.00		-24,265.12
04/30/2017		OTHER: OTHER-HEALTH INSURANCE					0.00	0.00	0.00	0.00		-496.00
04/30/2017		OTHER: OTHER-QUEST					0.00	0.00	0.00	0.00		-200.00
04/30/2017		OTHER: OTHER-360 OFFICE					0.00	0.00	0.00	0.00		-27.00
*** ADJUSTMENTS TOTAL ***							\$0.00	\$0.00	\$0.00	\$0.00		\$-18,561.96

BROKER COMMISSION TOTALS

Broker Transactions	\$47,550.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-18,561.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-72.02
SIPC Assessment	\$-1,080.28

Check Amount	\$27,836.30
Year-to-date Earnings	\$210,379.85