

All Companies
STATEMENT
March 20, 2018

CYNTHIA HERRON - LPCM
2321 Travis Lane
#608
Overland Park, KS 66209-

BROKER #: 3227
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
03/01/2018	#617781 TCA HERRON VAR	TCA FEES	50.00	52.12	92.0	0.00	47.95	0.00	47.95
03/01/2018	#512459 BAUM, CONNIE	TCA FEES	48.00	50.09	92.0	0.00	46.08	0.00	46.08
03/01/2018	#513527 WARD-MARCUM,	TCA FEES	60.00	62.74	92.0	0.00	57.72	0.00	57.72
03/01/2018	#513535 MARCUM, MICHAEL	TCA FEES	30.00	31.78	92.0	0.00	29.24	0.00	29.24
03/01/2018	#516171 TAYLOR-WHEATON, R	TCA FEES	29.00	30.97	92.0	0.00	28.49	0.00	28.49
03/01/2018	#516173 WHEATON, RICK	TCA FEES	29.00	31.01	92.0	0.00	28.53	0.00	28.53
03/01/2018	#516178 CANTRELL, MARGIE	TCA FEES	87.00	90.88	92.0	0.00	83.61	0.00	83.61
03/01/2018	#525752 TCA HERRON VAR	TCA FEES	64.00	67.23	92.0	0.00	61.85	0.00	61.85
03/01/2018	#525989 TCA HERRON VAR	TCA FEES	29.00	30.68	92.0	0.00	28.23	0.00	28.23
03/01/2018	#534867 KELLY, STEVEN	TCA FEES	28.00	29.73	92.0	0.00	27.35	0.00	27.35
03/01/2018	#543979 RUSSELL, JOHN	TCA FEES	43.00	45.12	92.0	0.00	41.51	0.00	41.51
03/01/2018	#544680 MARCUM, MICHAEL	TCA FEES	36.00	38.52	92.0	0.00	35.44	0.00	35.44
03/01/2018	#552059 RARDIN, JOHN	TCA FEES	30.00	31.81	92.0	0.00	29.27	0.00	29.27
03/01/2018	#552100 STRACHAN, GRACE	TCA FEES	112.00	116.87	92.0	0.00	107.52	0.00	107.52
03/01/2018	#566198 TCA HERRON VAR	TCA FEES	41.00	103.39	92.0	0.00	95.12	0.00	95.12
03/01/2018	#638144 TCA HERRON VAR	TCA FEES	28.00	30.06	92.0	0.00	27.66	0.00	27.66
03/01/2018	#513538 MOOT, PEGGY	TCA FEES	30.00	25.67	92.0	0.00	23.62	0.00	23.62
03/01/2018	#516890 ALBIN, JANETTE	TCA FEES	28.00	29.76	92.0	0.00	27.38	0.00	27.38
03/01/2018	#543962 RUSSELL, JOHN	TCA FEES	31.00	33.03	92.0	0.00	30.39	0.00	30.39
03/01/2018	#556450 GALVAS, MARIE	TCA FEES	251.00	261.65	92.0	0.00	240.72	0.00	240.72
03/01/2018	#611065 TCA HERRON VAR	TCA FEES	42.00	44.21	92.0	0.00	40.67	0.00	40.67
03/01/2018	#634435 TCA HERRON VAR	TCA FEES	27.00	28.81	92.0	0.00	26.51	0.00	26.51
03/01/2018	#512318 BAUM, HARRY	TCA FEES	154.00	160.56	92.0	0.00	147.72	0.00	147.72
03/01/2018	#512491 BAUM, HARRY	TCA FEES	70.00	73.80	92.0	0.00	67.90	0.00	67.90
03/01/2018	#566198 TCA HERRON VAR	TCA FEES	1.00	4.40	92.0	0.00	4.05	0.00	4.05
03/01/2018	#654603 STRACHAN, GRACE	TCA FEES	100.00	187.00	92.0	0.00	172.04	0.00	172.04
03/01/2018	#566198 TCA HERRON VAR	TCA PLATFORM FEES	1.00	-0.51	92.0	0.00	-0.51	0.00	-0.51
03/01/2018	#617781 TCA HERRON VAR	TCA PLATFORM FEES	50.00	-25.02	92.0	0.00	-25.02	0.00	-25.02

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
03/01/2018	#512459 BAUM, CONNIE	TCA PLATFORM FEES	48.00	-24.04	92.0	0.00	-24.04	0.00	-24.04
03/01/2018	#513527 WARD-MARCUM,	TCA PLATFORM FEES	60.00	-30.12	92.0	0.00	-30.12	0.00	-30.12
03/01/2018	#513535 MARCUM, MICHAEL	TCA PLATFORM FEES	30.00	-15.26	92.0	0.00	-15.26	0.00	-15.26
03/01/2018	#516171 TAYLOR-WHEATON, R	TCA PLATFORM FEES	29.00	-14.87	92.0	0.00	-14.87	0.00	-14.87
03/01/2018	#516173 WHEATON, RICK	TCA PLATFORM FEES	29.00	-14.88	92.0	0.00	-14.88	0.00	-14.88
03/01/2018	#516178 CANTRELL, MARGIE	TCA PLATFORM FEES	87.00	-43.62	92.0	0.00	-43.62	0.00	-43.62
03/01/2018	#525752 TCA HERRON VAR	TCA PLATFORM FEES	64.00	-32.27	92.0	0.00	-32.27	0.00	-32.27
03/01/2018	#525989 TCA HERRON VAR	TCA PLATFORM FEES	29.00	-14.73	92.0	0.00	-14.73	0.00	-14.73
03/01/2018	#534867 KELLY, STEVEN	TCA PLATFORM FEES	28.00	-14.27	92.0	0.00	-14.27	0.00	-14.27
03/01/2018	#543979 RUSSELL, JOHN	TCA PLATFORM FEES	43.00	-21.66	92.0	0.00	-21.66	0.00	-21.66
03/01/2018	#544680 MARCUM, MICHAEL	TCA PLATFORM FEES	36.00	-16.49	92.0	0.00	-16.49	0.00	-16.49
03/01/2018	#552059 RARDIN, JOHN	TCA PLATFORM FEES	30.00	-15.27	92.0	0.00	-15.27	0.00	-15.27
03/01/2018	#552100 STRACHAN, GRACE	TCA PLATFORM FEES	112.00	-56.10	92.0	0.00	-56.10	0.00	-56.10
03/01/2018	#566198 TCA HERRON VAR	TCA PLATFORM FEES	41.00	-20.68	92.0	0.00	-20.68	0.00	-20.68
03/01/2018	#638144 TCA HERRON VAR	TCA PLATFORM FEES	28.00	-14.43	92.0	0.00	-14.43	0.00	-14.43
03/01/2018	#654603 STRACHAN, GRACE	TCA PLATFORM FEES	100.00	-50.27	92.0	0.00	-50.27	0.00	-50.27
03/01/2018	#513538 MOOT, PEGGY	TCA PLATFORM FEES	30.00	-15.40	92.0	0.00	-15.40	0.00	-15.40
03/01/2018	#516890 ALBIN, JANETTE	TCA PLATFORM FEES	28.00	-14.28	92.0	0.00	-14.28	0.00	-14.28
03/01/2018	#543962 RUSSELL, JOHN	TCA PLATFORM FEES	31.00	-15.85	92.0	0.00	-15.85	0.00	-15.85
03/01/2018	#556450 GALVAS, MARIE	TCA PLATFORM FEES	251.00	-125.59	92.0	0.00	-125.59	0.00	-125.59
03/01/2018	#611065 TCA HERRON VAR	TCA PLATFORM FEES	42.00	-21.22	92.0	0.00	-21.22	0.00	-21.22
03/01/2018	#634435 TCA HERRON VAR	TCA PLATFORM FEES	27.00	-13.83	92.0	0.00	-13.83	0.00	-13.83
03/01/2018	#512318 BAUM, HARRY	TCA PLATFORM FEES	154.00	-77.07	92.0	0.00	-77.07	0.00	-77.07
03/01/2018	#512491 BAUM, HARRY	TCA PLATFORM FEES	70.00	-35.42	92.0	0.00	-35.42	0.00	-35.42
03/01/2018	#634567 TCA HERRON VAR	TCA PLATFORM FEES	11.32	0.00	92.0	0.00	0.00	0.00	0.00
03/01/2018	#651604	TCA PLATFORM FEES	8,446.41	-2.46	92.0	0.00	-2.46	0.00	-2.46
03/01/2018	#651588	TCA PLATFORM FEES	32,828.42	-9.57	92.0	0.00	-9.57	0.00	-9.57
03/01/2018	#638144 TCA HERRON VAR	TCA PLATFORM FEES	0.08	0.00	92.0	0.00	0.00	0.00	0.00
03/01/2018	#656031	TCA PLATFORM FEES	36,837.42	-10.74	92.0	0.00	-10.74	0.00	-10.74
03/01/2018	#654638 STRACHAN, GRACE	TCA PLATFORM FEES	396,556.45	-115.66	92.0	0.00	-115.66	0.00	-115.66
03/01/2018	#513542 SANTOS, MONICA	TCA PLATFORM FEES	127,182.71	-37.09	92.0	0.00	-37.09	0.00	-37.09
* RIA SUBTOTAL *			\$604,818.81	\$773.22		\$0.00	\$637.90	\$0.00	\$637.90
*** BROKER TRANSACTIONS TOTAL ***			\$604,818.81	\$773.22		\$0.00	\$637.90	\$0.00	\$637.90

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ADJUSTMENTS

03/20/2018		CRD FEES: CRD FEES							-50.00
03/20/2018		CRD FEES: CRD FEES							-50.00
*** ADJUSTMENTS TOTAL ***									<u>\$-100.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$637.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-100.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$537.90</u>
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