

**COMMISSION STATEMENT****October 31, 2017**

**CHARLES LAWRENCE**  
10729 NORTHRIDGE COURT

**BROKER #: 13**  
**BRANCH: HOME OFFICE**

TRINITY, FL 34655

**COMMISSION STATEMENT for CHARLES LAWRENCE**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

10/02/2017	75501981	LAWRENCE, VICTORIA	VERTEX PHARMACEUTICALS INC	S	15,261.04	0.00	0.00	0.00	60.0	0.00
10/03/2017	75501981	LAWRENCE, VICTORIA	CONTINENTAL RESOURCES INC	B	11,427.63	0.00	0.00	0.00	60.0	0.00
10/04/2017	75501981	LAWRENCE, VICTORIA	REGENERON PHARMACEUTICALS INC	B	22,696.50	0.00	0.00	0.00	60.0	0.00
10/10/2017	75501981	LAWRENCE, VICTORIA	ALIGN TECHNOLOGY INC	S	18,903.50	0.00	0.00	0.00	60.0	0.00
10/10/2017	75501981	LAWRENCE, VICTORIA	MOMO INC ADR	B	16,175.50	0.00	0.00	0.00	60.0	0.00
10/10/2017	75501981	LAWRENCE, VICTORIA	NINTENDO CO LTD-ADR NEW	B	12,050.00	0.00	0.00	0.00	60.0	0.00
10/18/2017	75501981	LAWRENCE, VICTORIA	DIREXION SHS ETF TR DAILY FINL BULL B	B	20,548.47	0.00	0.00	0.00	60.0	0.00
10/20/2017	75501981	LAWRENCE, VICTORIA	ELBIT SYSTEMS LTD	S	22,597.50	0.00	0.00	0.00	60.0	0.00
10/24/2017	75501981	LAWRENCE, VICTORIA	INTERNATIONAL BUSINESS MACHINES COR B	B	23,640.00	0.00	0.00	0.00	60.0	0.00
10/25/2017	75501981	LAWRENCE, VICTORIA	TEVA PHARMACEUTICAL INDUSTRIES LTD	B	5,101.92	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501986	LAWRENCE, CHRISTOPHER	ALEXION PHARMACEUTICALS INC	B	12,153.94	0.00	0.00	0.00	60.0	0.00
10/16/2017	75501986	LAWRENCE, CHRISTOPHER	MOMO INC ADR	B	8,589.15	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501987	SCHWARTZ, MARILYN	ALIGN TECHNOLOGY INC	S	18,980.00	55.00	0.00	55.00	60.0	33.00
10/16/2017	75501987	SCHWARTZ, MARILYN	NUANCE COMMUNICATIONS INC	S	11,700.00	55.00	0.00	55.00	60.0	33.00
10/19/2017	75501987	SCHWARTZ, MARILYN	PAYPAL HOLDINGS INC COM	B	23,197.93	55.00	0.00	55.00	60.0	33.00
10/23/2017	75501987	SCHWARTZ, MARILYN	CELGENE CORP	S	18,305.61	55.00	0.00	55.00	60.0	33.00
10/26/2017	75501987	SCHWARTZ, MARILYN	NINTENDO CO LTD-ADR NEW	B	12,806.55	55.00	0.00	55.00	60.0	33.00
10/10/2017	75501988	LAWRENCE, CHRISTOPHER	ALIGN TECHNOLOGY INC	S	5,670.30	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501988	LAWRENCE, CHRISTOPHER	DIREXION SHS ETF TR DAILY FINL BULL B	B	10,317.07	0.00	0.00	0.00	60.0	0.00
10/12/2017	75501988	LAWRENCE, CHRISTOPHER	MOMO INC ADR	B	8,060.80	0.00	0.00	0.00	60.0	0.00
10/16/2017	75501988	LAWRENCE, CHRISTOPHER	AK STEEL HOLDING CORP	B	7,081.25	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501988	LAWRENCE, CHRISTOPHER	MOMO INC ADR	B	5,095.98	0.00	0.00	0.00	60.0	0.00
10/24/2017	75501988	LAWRENCE, CHRISTOPHER	INTERNATIONAL BUSINESS MACHINES COR B	B	11,895.00	0.00	0.00	0.00	60.0	0.00
10/24/2017	75501988	LAWRENCE, CHRISTOPHER	NETEASE INC SPONSORED ADR	S	14,025.63	0.00	0.00	0.00	60.0	0.00
10/26/2017	75501988	LAWRENCE, CHRISTOPHER	DIREXION SHARES ETF TRUST DIREXION	B	5,936.98	0.00	0.00	0.00	60.0	0.00
10/02/2017	75501989	BINES, BURTON	ALIGN TECHNOLOGY INC	S	9,308.50	0.00	0.00	0.00	60.0	0.00
10/02/2017	75501989	BINES, BURTON	NUANCE COMMUNICATIONS INC	S	11,887.50	0.00	0.00	0.00	60.0	0.00
10/03/2017	75501989	BINES, BURTON	BROADCOM LIMITED SHS	B	23,886.64	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501989	BINES, BURTON	ALIGN TECHNOLOGY INC	S	37,960.00	0.00	0.00	0.00	60.0	0.00
10/16/2017	75501989	BINES, BURTON	NIKE INC CL B	S	10,248.20	0.00	0.00	0.00	60.0	0.00
10/16/2017	75501989	BINES, BURTON	PAYPAL HOLDINGS INC COM	B	16,846.65	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/19/2017	75501989 BINES, BURTON	APPLE INC	B	54,406.13	0.00	0.00	0.00	60.0	0.00
10/23/2017	75501989 BINES, BURTON	CELGENE CORP	S	18,325.05	0.00	0.00	0.00	60.0	0.00
10/10/2017	75501990 LAWRENCE, JEFFREY	ALIGN TECHNOLOGY INC	S	3,779.60	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501990 LAWRENCE, JEFFREY	DIREXION SHS ETF TR DAILY FINL BULL B	B	5,896.47	0.00	0.00	0.00	60.0	0.00
10/13/2017	75501990 LAWRENCE, JEFFREY	MOMO INC ADR	B	6,593.96	0.00	0.00	0.00	60.0	0.00
10/20/2017	75501990 LAWRENCE, JEFFREY	GW PHARMACEUTICALS PLC ADS	S	4,373.70	0.00	0.00	0.00	60.0	0.00
10/20/2017	75501990 LAWRENCE, JEFFREY	NETEASE INC SPONSORED ADR	S	6,931.06	0.00	0.00	0.00	60.0	0.00
10/24/2017	75501990 LAWRENCE, JEFFREY	INTERNATIONAL BUSINESS MACHINES COR B	B	7,930.00	0.00	0.00	0.00	60.0	0.00
10/26/2017	75501990 LAWRENCE, JEFFREY	DIREXION SHARES ETF TRUST DIREXION	B	2,965.75	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501991 NSH 81 LLC	COHERUS BIOSCIENCES INC COM	B	7,481.25	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501991 NSH 81 LLC	COSTCO WHOLESALE CORP-NEW	S	31,550.80	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501991 NSH 81 LLC	JUNO THERAPEUTICS INC COM	B	7,490.00	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501991 NSH 81 LLC	LOXO ONCOLOGY INC COM	B	4,963.75	0.00	0.00	0.00	60.0	0.00
10/11/2017	75501991 NSH 81 LLC	NEKTAR THERAPEUTICS COM	B	5,049.00	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501991 NSH 81 LLC	BLUEBIRD BIO INC COM	B	5,672.00	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501991 NSH 81 LLC	BROADCOM LIMITED SHS	B	14,717.85	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501991 NSH 81 LLC	DIREXION SHS ETF TR DAILY FINL BULL B	B	19,106.73	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501991 NSH 81 LLC	LVMH MOET HENNESSY LOUIS VUITTON SA B	B	15,487.20	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501991 NSH 81 LLC	NINTENDO CO LTD-ADR NEW	B	4,864.50	0.00	0.00	0.00	60.0	0.00
10/17/2017	75501991 NSH 81 LLC	PAYPAL HOLDINGS INC COM	B	10,108.98	0.00	0.00	0.00	60.0	0.00
10/19/2017	75501991 NSH 81 LLC	ADIDAS AG SPONSORED ADR	B	16,615.50	0.00	0.00	0.00	60.0	0.00
10/19/2017	75501991 NSH 81 LLC	CELGENE CORP	B	6,787.38	0.00	0.00	0.00	60.0	0.00
10/23/2017	75501991 NSH 81 LLC	BIOGEN INC	B	16,430.00	0.00	0.00	0.00	60.0	0.00
10/26/2017	75501991 NSH 81 LLC	NINTENDO CO LTD-ADR NEW	B	11,640.00	0.00	0.00	0.00	60.0	0.00
10/06/2017	75501999 LAWRENCE, CHARLES	SWITCH INC CLASS A COMMON STOCK \$0. B	B	7,945.00	75.00	0.00	75.00	60.0	45.00
10/12/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	9,856.88	55.00	0.00	55.00	60.0	33.00
10/12/2017	75501999 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	B	5,714.31	55.00	0.00	55.00	60.0	33.00
10/12/2017	75501999 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	B	5,240.51	55.00	0.00	55.00	60.0	33.00
10/12/2017	75501999 LAWRENCE, CHARLES	SWITCH INC CLASS A COMMON STOCK \$0. S	S	6,699.11	51.00	0.00	51.00	60.0	30.60
10/13/2017	75501999 LAWRENCE, CHARLES	DIREXION SHARES ETF TRUST DIREXION	B	6,142.50	75.00	0.00	75.00	60.0	45.00
10/16/2017	75501999 LAWRENCE, CHARLES	PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	20,796.30	100.00	0.00	100.00	60.0	60.00
10/18/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	17,190.63	175.00	0.00	175.00	60.0	105.00
10/23/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	14,360.00	75.00	0.00	75.00	60.0	45.00
10/23/2017	75501999 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	S	10,649.00	75.00	0.00	75.00	60.0	45.00
10/25/2017	75501999 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	B	6,614.52	75.00	0.00	75.00	60.0	45.00
10/25/2017	75501999 LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	B	12,730.65	125.00	0.00	125.00	60.0	75.00
10/26/2017	75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	8,022.00	55.00	0.00	55.00	60.0	33.00
10/27/2017	75501999 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	S	5,328.00	55.00	0.00	55.00	60.0	33.00
10/27/2017	75501999 LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	S	8,013.75	75.00	0.00	75.00	60.0	45.00
10/03/2017	75502000 LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC	S	7,532.00	55.00	0.00	55.00	60.0	33.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/03/2017	75502000 LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	B	390.00	4.37	0.00	4.37	60.0	2.62
10/04/2017	75502000 LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	B	4,524.00	55.00	0.00	55.00	60.0	33.00
10/09/2017	75502000 LAWRENCE, WILLIAM	AKEBIA THERAPEUTICS INC COM	B	6,768.00	75.00	0.00	75.00	60.0	45.00
10/13/2017	75502000 LAWRENCE, WILLIAM	FAIRMOUNT SANTROL HLDGS INC COM	B	4,917.02	55.00	0.00	55.00	60.0	33.00
10/13/2017	75502000 LAWRENCE, WILLIAM	SNAP INC CLASS A COMMON STOCK	S	9,292.08	55.00	0.00	55.00	60.0	33.00
09/28/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	17,394.00	75.00	0.00	75.00	60.0	45.00
09/28/2017	75502001 LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK	B	5,265.00	55.00	0.00	55.00	60.0	33.00
09/28/2017	75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	14,121.33	55.00	0.00	55.00	60.0	33.00
09/29/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	S	10,207.30	55.00	0.00	55.00	60.0	33.00
09/29/2017	75502001 LAWRENCE, CHARLES	MARATHON OIL CORP	B	14,331.20	150.00	0.00	150.00	60.0	90.00
09/29/2017	75502001 LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK	S	8,802.78	100.00	0.00	100.00	60.0	60.00
10/02/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	6,685.25	55.00	0.00	55.00	60.0	33.00
10/02/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	9,622.50	100.00	0.00	100.00	60.0	60.00
10/02/2017	75502001 LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL S	S	20,268.00	100.00	0.00	100.00	60.0	60.00
10/02/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	B	9,764.40	55.00	0.00	55.00	60.0	33.00
10/02/2017	75502001 LAWRENCE, CHARLES	STRATASYS LTD	S	12,678.75	55.00	0.00	55.00	60.0	33.00
10/03/2017	75502001 LAWRENCE, CHARLES	APHRIA INC COM ISIN#CA03765K1049	B	6,062.14	55.00	0.00	55.00	60.0	33.00
10/06/2017	75502001 LAWRENCE, CHARLES	SWITCH INC CLASS A COMMON STOCK \$0. B	B	8,002.75	100.00	0.00	100.00	60.0	60.00
10/10/2017	75502001 LAWRENCE, CHARLES	APHRIA INC COM ISIN#CA03765K1049	S	6,445.51	55.00	0.00	55.00	60.0	33.00
10/10/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	14,470.50	75.00	0.00	75.00	60.0	45.00
10/11/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	15,384.00	150.00	0.00	150.00	60.0	90.00
10/11/2017	75502001 LAWRENCE, CHARLES	DIREXION SHARES ETF TRUST DIREXION	B	12,259.59	125.00	0.00	125.00	60.0	75.00
10/11/2017	75502001 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	S	8,123.33	75.00	0.00	75.00	60.0	45.00
10/12/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	S	10,052.80	55.00	0.00	55.00	60.0	33.00
10/12/2017	75502001 LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	B	7,501.42	75.00	0.00	75.00	60.0	45.00
10/12/2017	75502001 LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	B	8,913.52	100.00	0.00	100.00	60.0	60.00
10/12/2017	75502001 LAWRENCE, CHARLES	SWITCH INC CLASS A COMMON STOCK \$0. S	S	6,864.38	51.00	0.00	51.00	60.0	30.60
10/13/2017	75502001 LAWRENCE, CHARLES	MARATHON OIL CORP	S	14,691.60	75.00	0.00	75.00	60.0	45.00
10/16/2017	75502001 LAWRENCE, CHARLES	APHRIA INC COM ISIN#CA03765K1049	B	6,709.80	75.00	0.00	75.00	60.0	45.00
10/19/2017	75502001 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	B	9,277.43	100.00	0.00	100.00	60.0	60.00
10/19/2017	75502001 LAWRENCE, CHARLES	PROSHARES ULTRASHORT QQQ	S	15,871.38	51.00	0.00	51.00	60.0	30.60
10/19/2017	75502001 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	B	16,125.43	150.00	0.00	150.00	60.0	90.00
10/20/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	14,120.00	75.00	0.00	75.00	60.0	45.00
10/20/2017	75502001 LAWRENCE, CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	B	9,246.23	100.00	0.00	100.00	60.0	60.00
10/23/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	B	9,612.82	100.00	0.00	100.00	60.0	60.00
10/25/2017	75502001 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	17,581.25	125.00	0.00	125.00	60.0	75.00
10/25/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	S	9,765.81	55.00	0.00	55.00	60.0	33.00
10/26/2017	75502001 LAWRENCE, CHARLES	DYNEGY INC	S	10,013.00	100.00	0.00	100.00	60.0	60.00
10/27/2017	75502001 LAWRENCE, CHARLES	JUNO THERAPEUTICS INC COM	S	16,056.00	75.00	0.00	75.00	60.0	45.00
09/28/2017	75502002 LAWRENCE, WILLIAM	DEXCOM INC	B	16,056.00	150.00	0.00	150.00	60.0	90.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/29/2017	75502002	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	B	16,762.50	150.00	0.00	150.00	60.0	90.00
09/29/2017	75502002	LAWRENCE, WILLIAM							
		DEXCOM INC	S	16,848.00	55.00	0.00	55.00	60.0	33.00
10/02/2017	75502002	LAWRENCE, WILLIAM							
		CONTINENTAL RESOURCES INC	B	13,812.16	150.00	0.00	150.00	60.0	90.00
10/02/2017	75502002	LAWRENCE, WILLIAM							
		JUNO THERAPEUTICS INC COM	S	11,856.00	55.00	0.00	55.00	60.0	33.00
10/02/2017	75502002	LAWRENCE, WILLIAM							
		THE SCOTTS MIRACLE GRO CO HLDG CO	S	24,537.50	75.00	0.00	75.00	60.0	45.00
10/03/2017	75502002	LAWRENCE, WILLIAM							
		ADVANCED MICRO DEVICES INC	S	7,510.16	55.00	0.00	55.00	60.0	33.00
10/05/2017	75502002	LAWRENCE, WILLIAM							
		SHOPIFY INC CL A ISIN#CA82509L1076	B	15,360.00	150.00	0.00	150.00	60.0	90.00
10/09/2017	75502002	LAWRENCE, WILLIAM							
		AKEBIA THERAPEUTICS INC COM	B	9,964.00	100.00	0.00	100.00	60.0	60.00
10/10/2017	75502002	LAWRENCE, WILLIAM							
		ADVANCED MICRO DEVICES INC	S	10,344.76	55.00	0.00	55.00	60.0	33.00
10/16/2017	75502002	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	S	17,287.50	75.00	0.00	75.00	60.0	45.00
10/16/2017	75502002	LAWRENCE, WILLIAM							
		HERTZ GLOBAL HLDGS INC NEW COM	B	9,261.58	100.00	0.00	100.00	60.0	60.00
10/16/2017	75502002	LAWRENCE, WILLIAM							
		HERTZ GLOBAL HLDGS INC NEW COM	B	9,201.60	100.00	0.00	100.00	60.0	60.00
10/17/2017	75502002	LAWRENCE, WILLIAM							
		SHOPIFY INC CL A ISIN#CA82509L1076	B	9,592.60	105.00	0.00	105.00	60.0	63.00
10/18/2017	75502002	LAWRENCE, WILLIAM							
		SHOPIFY INC CL A ISIN#CA82509L1076	S	25,775.57	75.00	0.00	75.00	60.0	45.00
10/19/2017	75502002	LAWRENCE, WILLIAM							
		MOMO INC ADR	B	12,313.73	125.00	0.00	125.00	60.0	75.00
10/27/2017	75502002	LAWRENCE, WILLIAM							
		DIREXION SHARES ETF TRUST DIREXION	B	10,070.17	100.00	0.00	100.00	60.0	60.00
09/28/2017	75502003	LAWRENCE, SARAH							
		FAIRMOUNT SANTROL HLDGS INC COM	S	4,865.90	55.00	0.00	55.00	60.0	33.00
10/10/2017	75502003	LAWRENCE, SARAH							
		FAIRMOUNT SANTROL HLDGS INC COM	B	5,097.41	55.00	0.00	55.00	60.0	33.00
10/20/2017	75502003	LAWRENCE, SARAH							
		SNAP INC CLASS A COMMON STOCK	S	8,687.28	51.00	0.00	51.00	60.0	30.60
10/23/2017	75502003	LAWRENCE, SARAH							
		SNAP INC CLASS A COMMON STOCK	B	8,355.20	51.00	0.00	51.00	60.0	30.60
10/27/2017	75502003	LAWRENCE, SARAH							
		SNAP INC CLASS A COMMON STOCK	S	8,500.80	51.00	0.00	51.00	60.0	30.60
10/02/2017	75502005	SHEMIN, VICKI							
		FIRST DATA CORP NEW COM CL A	B	9,986.35	55.00	0.00	55.00	60.0	33.00
10/12/2017	75502005	SHEMIN, VICKI							
		JUNO THERAPEUTICS INC COM	B	6,518.55	55.00	0.00	55.00	60.0	33.00
10/19/2017	75502005	SHEMIN, VICKI							
		AMERICAN HOMES 4 RENT CUM RED PERP	S	10,431.06	55.00	0.00	55.00	60.0	33.00
10/19/2017	75502005	SHEMIN, VICKI							
		PAYPAL HOLDINGS INC COM	B	6,664.66	55.00	0.00	55.00	60.0	33.00
10/19/2017	75502005	SHEMIN, VICKI							
		ROYAL DUTCH SHELL PLC SPONSORED ADR	S	9,134.01	55.00	0.00	55.00	60.0	33.00
10/19/2017	75502005	SHEMIN, VICKI							
		SQUARE INC CLASS A COM	B	6,417.98	55.00	0.00	55.00	60.0	33.00
10/26/2017	75502005	SHEMIN, VICKI							
		CELGENE CORP	S	9,843.72	55.00	0.00	55.00	60.0	33.00
10/27/2017	75502005	SHEMIN, VICKI							
		MICROSOFT CORP	B	12,637.50	55.00	0.00	55.00	60.0	33.00
10/27/2017	75502005	SHEMIN, VICKI							
		SOUTHERN NATL BANCORP VA INC COM	B	8,370.50	55.00	0.00	55.00	60.0	33.00
10/03/2017	75502006	SHEMIN, VICKI							
		THE SCOTTS MIRACLE GRO CO HLDG CO	S	11,860.85	55.00	0.00	55.00	60.0	33.00
10/27/2017	75502006	SHEMIN, VICKI							
		SOUTHERN NATL BANCORP VA INC COM	B	8,370.50	55.00	0.00	55.00	60.0	33.00
10/02/2017	75502008	DEC, JOHN							
		ALIGN TECHNOLOGY INC	S	9,321.50	0.00	0.00	0.00	60.0	0.00
10/03/2017	75502008	DEC, JOHN							
		BROADCOM LIMITED SHS	B	17,920.31	0.00	0.00	0.00	60.0	0.00
10/13/2017	75502008	DEC, JOHN							
		NETEASE INC SPONSORED ADR	S	13,900.00	0.00	0.00	0.00	60.0	0.00
10/19/2017	75502008	DEC, JOHN							
		CELGENE CORP	B	13,565.32	0.00	0.00	0.00	60.0	0.00
10/24/2017	75502008	DEC, JOHN							
		AGRIUM INC	S	11,005.00	0.00	0.00	0.00	60.0	0.00
10/02/2017	75502010	KEETER, LANCE							
		ALIGN TECHNOLOGY INC	S	2,793.45	0.00	0.00	0.00	60.0	0.00
10/11/2017	75502010	KEETER, LANCE							
		ADVANCED MICRO DEVICES INC	S	6,930.00	0.00	0.00	0.00	60.0	0.00
10/12/2017	75502010	KEETER, LANCE							
		CONTINENTAL RESOURCES INC	B	1,860.50	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/13/2017	75502010	KEETER, LANCE		CELGENE CORP	B	3,404.69	0.00	0.00	0.00 60.0 0.00
10/13/2017	75502010	KEETER, LANCE		JUNO THERAPEUTICS INC COM	B	4,249.60	0.00	0.00	0.00 60.0 0.00
10/13/2017	75502010	KEETER, LANCE		NETEASE INC SPONSORED ADR	S	6,950.00	0.00	0.00	0.00 60.0 0.00
10/17/2017	75502010	KEETER, LANCE		NUANCE COMMUNICATIONS INC	S	5,468.19	0.00	0.00	0.00 60.0 0.00
10/19/2017	75502010	KEETER, LANCE		APPLE INC	B	7,793.50	0.00	0.00	0.00 60.0 0.00
10/02/2017	75502011	DEC, JANICE		ALIGN TECHNOLOGY INC	S	4,660.50	0.00	0.00	0.00 60.0 0.00
10/03/2017	75502011	DEC, JANICE		BROADCOM LIMITED SHS	B	9,559.10	0.00	0.00	0.00 60.0 0.00
10/13/2017	75502011	DEC, JANICE		NETEASE INC SPONSORED ADR	S	6,950.00	0.00	0.00	0.00 60.0 0.00
10/19/2017	75502011	DEC, JANICE		CELGENE CORP	B	8,139.45	0.00	0.00	0.00 60.0 0.00
10/24/2017	75502011	DEC, JANICE		AGRIUM INC	S	11,005.00	0.00	0.00	0.00 60.0 0.00
10/02/2017	75502012	DEC, JOHN		ALIBABA GROUP HOLDING LTD SPONSORED S	S	8,719.13	0.00	0.00	0.00 60.0 0.00
10/02/2017	75502012	DEC, JOHN		NUANCE COMMUNICATIONS INC	S	11,887.50	0.00	0.00	0.00 60.0 0.00
10/03/2017	75502012	DEC, JOHN		BROADCOM LIMITED SHS	B	17,914.31	0.00	0.00	0.00 60.0 0.00
10/11/2017	75502012	DEC, JOHN		APPLE INC	B	15,650.00	0.00	0.00	0.00 60.0 0.00
10/11/2017	75502012	DEC, JOHN		CONTINENTAL RESOURCES INC	B	18,419.50	0.00	0.00	0.00 60.0 0.00
10/11/2017	75502012	DEC, JOHN		NUANCE COMMUNICATIONS INC	S	11,927.25	0.00	0.00	0.00 60.0 0.00
10/11/2017	75502012	DEC, JOHN		TJX COMPANIES INC NEW	S	25,326.63	0.00	0.00	0.00 60.0 0.00
10/16/2017	75502012	DEC, JOHN		NIKE INC CL B	S	25,631.70	0.00	0.00	0.00 60.0 0.00
10/17/2017	75502012	DEC, JOHN		FAIRMOUNT SANTROL HLDGS INC COM	B	10,150.00	0.00	0.00	0.00 60.0 0.00
10/18/2017	75502012	DEC, JOHN		CELGENE CORP	B	41,280.00	0.00	0.00	0.00 60.0 0.00
10/19/2017	75502012	DEC, JOHN		APPLE INC	B	15,588.94	0.00	0.00	0.00 60.0 0.00
10/19/2017	75502012	DEC, JOHN		NXP SEMICONDUCTORS N V	S	14,383.35	0.00	0.00	0.00 60.0 0.00
10/27/2017	75502012	DEC, JOHN		NETEASE INC SPONSORED ADR	S	28,297.02	0.00	0.00	0.00 60.0 0.00
10/19/2017	75502013	DEC, JOHN		CELGENE CORP	B	6,782.38	0.00	0.00	0.00 60.0 0.00
10/20/2017	75502013	DEC, JOHN		NIKE INC CL B	S	2,656.00	0.00	0.00	0.00 60.0 0.00
10/27/2017	75502013	DEC, JOHN		ROYAL DUTCH SHELL PLC SPONSORED ADR S	S	6,130.00	0.00	0.00	0.00 60.0 0.00
10/19/2017	75502014	DEC, JOHN		CELGENE CORP	B	6,781.88	0.00	0.00	0.00 60.0 0.00
10/20/2017	75502014	DEC, JOHN		NIKE INC CL B	S	2,655.00	0.00	0.00	0.00 60.0 0.00
10/27/2017	75502014	DEC, JOHN		ROYAL DUTCH SHELL PLC SPONSORED ADR S	S	6,130.00	0.00	0.00	0.00 60.0 0.00
10/02/2017	75502021	ARCARO, DANIEL		ALIGN TECHNOLOGY INC	S	2,796.30	0.00	0.00	0.00 60.0 0.00
10/13/2017	75502021	ARCARO, DANIEL		CELGENE CORP	B	3,406.44	0.00	0.00	0.00 60.0 0.00
10/13/2017	75502021	ARCARO, DANIEL		JUNO THERAPEUTICS INC COM	B	3,192.00	0.00	0.00	0.00 60.0 0.00
10/13/2017	75502021	ARCARO, DANIEL		NETEASE INC SPONSORED ADR	S	5,560.00	0.00	0.00	0.00 60.0 0.00
10/24/2017	75502022	APPEL, CHARLES		DIREXION SHS ETF TR DAILY FINL BULL B	B	7,609.11	55.00	0.00	55.00 60.0 33.00
09/29/2017	75502023	APPEL, CHARLES		GW PHARMACEUTICALS PLC ADS	B	7,862.81	55.00	0.00	55.00 60.0 33.00
10/20/2017	75502023	APPEL, CHARLES		FAT BRANDS INC COMMON STOCK	B	9,600.00	0.00	0.00	0.00 60.0 0.00
10/20/2017	75502023	APPEL, CHARLES		FAT BRANDS INC COMMON STOCK	S	-9,600.00	0.00	0.00	0.00 60.0 0.00 C
10/20/2017	75502023	APPEL, CHARLES		FAT BRANDS INC COMMON STOCK	B	9,600.00	0.00	0.00	0.00 60.0 0.00
10/20/2017	75502023	APPEL, CHARLES		FAT BRANDS INC COMMON STOCK	S	-9,600.00	0.00	0.00	0.00 60.0 0.00 C
10/20/2017	75502023	APPEL, CHARLES		FAT BRANDS INC COMMON STOCK	B	9,600.00	0.00	0.00	0.00 60.0 0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/23/2017	75502023	APPEL, CHARLES							
		FAT BRANDS INC COMMON STOCK	S	10,128.48	55.00	0.00	55.00	60.0	33.00
10/25/2017	75502034	ROZECKI, KAYE							
		ETSY INC COM	S	8,934.68	51.00	0.00	51.00	60.0	30.60
10/02/2017	75502035	ROMANO, LISA							
		ALIGN TECHNOLOGY INC	S	1,861.80	0.00	0.00	0.00	60.0	0.00
10/11/2017	75502035	ROMANO, LISA							
		APPLE INC	B	1,564.70	0.00	0.00	0.00	60.0	0.00
10/12/2017	75502035	ROMANO, LISA							
		CONTINENTAL RESOURCES INC	B	929.25	0.00	0.00	0.00	60.0	0.00
10/02/2017	75502036	ROMANO, MARK							
		ALIGN TECHNOLOGY INC	S	2,794.50	0.00	0.00	0.00	60.0	0.00
10/11/2017	75502036	ROMANO, MARK							
		APPLE INC	B	2,347.20	0.00	0.00	0.00	60.0	0.00
10/12/2017	75502036	ROMANO, MARK							
		CONTINENTAL RESOURCES INC	B	1,858.50	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502041	MCCORD, ELIZABETH							
		NIKE INC CL B	S	5,212.10	0.00	0.00	0.00	60.0	0.00
09/28/2017	75502043	MCCORD, ELIZABETH							
		VISA INC CL A COMMON STOCK	S	26,350.05	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502043	MCCORD, ELIZABETH							
		ORACLE CORPORATION	B	11,758.36	0.00	0.00	0.00	60.0	0.00
10/09/2017	75502043	MCCORD, ELIZABETH							
		ALIGN TECHNOLOGY INC	S	7,851.67	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502044	MCCORD, ELIZABETH							
		CONSTELLATION BRANDS INC CL A	S	3,005.89	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502044	MCCORD, ELIZABETH							
		COSTCO WHOLESALE CORP-NEW	S	5,098.26	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502044	MCCORD, ELIZABETH							
		STARBUCKS CORP	S	2,697.50	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502044	MCCORD, ELIZABETH							
		VISA INC CL A COMMON STOCK	S	9,550.45	0.00	0.00	0.00	60.0	0.00
10/16/2017	75502060	MASSEY, GEORGE							
		ACCENTURE PLC IRELAND SHS CL A	B	4,161.00	0.00	0.00	0.00	60.0	0.00
10/16/2017	75502060	MASSEY, GEORGE							
		COSTCO WHOLESALE CORP-NEW	S	2,381.55	0.00	0.00	0.00	60.0	0.00
10/16/2017	75502060	MASSEY, GEORGE							
		ETSY INC COM	S	4,100.65	0.00	0.00	0.00	60.0	0.00
10/16/2017	75502060	MASSEY, GEORGE							
		NIKE INC CL B	S	2,256.32	0.00	0.00	0.00	60.0	0.00
10/16/2017	75502060	MASSEY, GEORGE							
		NINTENDO CO LTD-ADR NEW	B	2,480.00	0.00	0.00	0.00	60.0	0.00
10/16/2017	75502060	MASSEY, GEORGE							
		PAYPAL HOLDINGS INC COM	B	5,388.60	0.00	0.00	0.00	60.0	0.00
10/03/2017	75502104	LAWRENCE, CHARLES							
		DIREXION SHS ETF TR DAILY FINL BULL S	S	9,459.90	55.00	0.00	55.00	60.0	33.00
10/11/2017	75502104	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	B	5,521.60	55.00	0.00	55.00	60.0	33.00
10/17/2017	75502113	BEHAR, RAYMOND							
		NUANCE COMMUNICATIONS INC	S	3,130.68	0.00	0.00	0.00	60.0	0.00
10/27/2017	75502113	BEHAR, RAYMOND							
		BAIDU INC SPONSORED ADR REPSTG ORD	S	5,950.00	0.00	0.00	0.00	60.0	0.00
10/27/2017	75502113	BEHAR, RAYMOND							
		PAYPAL HOLDINGS INC COM	B	5,333.06	0.00	0.00	0.00	60.0	0.00
10/17/2017	75502114	BEHAR, RAYMOND							
		NUANCE COMMUNICATIONS INC	S	7,044.03	0.00	0.00	0.00	60.0	0.00
10/20/2017	75502114	BEHAR, RAYMOND							
		MICROSOFT CORP	S	7,500.00	0.00	0.00	0.00	60.0	0.00
10/27/2017	75502114	BEHAR, RAYMOND							
		DIREXION SHS ETF TR DAILY FINL BULL B	B	6,070.45	0.00	0.00	0.00	60.0	0.00
10/27/2017	75502114	BEHAR, RAYMOND							
		PAYPAL HOLDINGS INC COM	B	7,112.66	0.00	0.00	0.00	60.0	0.00
09/28/2017	75502115	BEHAR, RAYMOND							
		VISA INC CL A COMMON STOCK	S	3,032.35	0.00	0.00	0.00	60.0	0.00
09/29/2017	75502115	BEHAR, RAYMOND							
		ORACLE CORPORATION	B	1,445.70	0.00	0.00	0.00	60.0	0.00
10/09/2017	75502115	BEHAR, RAYMOND							
		ALIGN TECHNOLOGY INC	S	934.72	0.00	0.00	0.00	60.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$2,321,895.98</b>	<b>\$7,471.37</b>	<b>\$0.00</b>	<b>\$7,471.37</b>		<b>\$4,482.82</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
10/31/2017	VARIOUS, LAWRENCE								
		ADVISORY INCOME	B	0.00	-14,365.32	0.00	-14,365.32	60.0	-8,619.19
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$-14,365.32</b>	<b>\$0.00</b>	<b>\$-14,365.32</b>		<b>\$-8,619.19</b>

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
10/16/2017	75501981	LAWRENCE, VICTORIA	FAS FEES	B	-1,473.31	1,281.78	0.00	1,281.78	60.0	769.07
10/16/2017	75501985	LAWRENCE, CHRISTOPHER	FAS FEES	B	-108.28	70.38	0.00	70.38	60.0	42.23
10/16/2017	75501986	LAWRENCE, CHRISTOPHER	FAS FEES	B	-569.30	474.42	0.00	474.42	60.0	284.65
10/16/2017	75501988	LAWRENCE, CHRISTOPHER	FAS FEES	B	-398.37	298.78	0.00	298.78	60.0	179.27
10/16/2017	75501989	BINES, BURTON	FAS FEES	B	-4,264.39	3,795.31	0.00	3,795.31	60.0	2,277.19
10/16/2017	75501990	LAWRENCE, JEFFREY	FAS FEES	B	-200.15	130.10	0.00	130.10	60.0	78.06
10/16/2017	75501991	NSH 81 LLC	FAS FEES	B	-3,531.21	3,142.78	0.00	3,142.78	60.0	1,885.67
10/17/2017	75501991	NSH 81 LLC	FAS FEES	B	-316.44	281.63	0.00	281.63	60.0	168.98
10/16/2017	75501992	THE BARBARA & NEAL	FAS FEES	B	-1,359.97	1,183.17	0.00	1,183.17	60.0	709.90
10/16/2017	75502008	DEC, JOHN	FAS FEES	B	-829.55	680.23	0.00	680.23	60.0	408.14
10/16/2017	75502010	KEETER, LANCE	FAS FEES	B	-751.98	650.36	0.00	650.36	60.0	390.22
10/16/2017	75502011	DEC, JANICE	FAS FEES	B	-398.56	298.92	0.00	298.92	60.0	179.35
10/05/2017	75502012	DEC, JOHN	FAS FEES	B	121.92	-108.51	0.00	-108.51	60.0	-65.11
10/16/2017	75502012	DEC, JOHN	FAS FEES	B	-3,400.66	3,026.59	0.00	3,026.59	60.0	1,815.95
10/16/2017	75502013	DEC, JOHN	FAS FEES	B	-517.91	388.43	0.00	388.43	60.0	233.06
10/16/2017	75502014	DEC, JOHN	FAS FEES	B	-457.37	343.03	0.00	343.03	60.0	205.82
10/16/2017	75502021	ARCARO, DANIEL	FAS FEES	B	-368.64	298.90	0.00	298.90	60.0	179.34
10/16/2017	75502035	ROMANO, LISA	FAS FEES	B	-258.14	197.91	0.00	197.91	60.0	118.75
10/16/2017	75502036	ROMANO, MARK	FAS FEES	B	-421.64	351.37	0.00	351.37	60.0	210.82
10/16/2017	75502041	MCCORD, ELIZABETH	FAS FEES	B	-1,069.07	876.64	0.00	876.64	60.0	525.98
10/16/2017	75502043	MCCORD, ELIZABETH	FAS FEES	B	-2,896.38	1,493.92	0.00	1,493.92	60.0	896.35
10/16/2017	75502044	MCCORD, ELIZABETH	FAS FEES	B	-1,241.53	1,018.05	0.00	1,018.05	60.0	610.83
10/16/2017	75502060	MASSEY, GEORGE	FAS FEES	B	-330.45	264.36	0.00	264.36	60.0	158.62
10/16/2017	75502061	MASSEY, GEORGE	FAS FEES	B	-198.30	142.78	0.00	142.78	60.0	85.67
10/16/2017	75502113	BEHAR, RAYMOND	FAS FEES	B	-337.72	253.29	0.00	253.29	60.0	151.97
10/16/2017	75502114	BEHAR, RAYMOND	FAS FEES	B	-687.64	563.86	0.00	563.86	60.0	338.32
10/16/2017	75502115	BEHAR, RAYMOND	FAS FEES	B	-374.92	149.96	0.00	149.96	60.0	89.98
* RIA SUBTOTAL *					\$-26,639.96	\$21,548.44	\$0.00	\$21,548.44		\$12,929.08
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75502005	SHEMIN, VICKI	THE INCOME FUND OF AMERICA-A	B	0.00	2.36	0.00	2.36	60.0	1.42
10/10/2017	75502022	APPEL, CHARLES	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	5.07	0.00	5.07	60.0	3.04
10/10/2017	75502023	APPEL, CHARLES	**CLEARBRIDGE AGGRESSIVE	B	0.00	9.43	0.00	9.43	60.0	5.66
10/10/2017	75502023	APPEL, CHARLES	JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	10.53	0.00	10.53	60.0	6.32
10/10/2017	75502023	APPEL, CHARLES	JANUS INVT FD TRITON FD CL C	B	0.00	15.80	0.00	15.80	60.0	9.48
10/10/2017	75502023	APPEL, CHARLES	JOHN HANCOCK FDS III DISCIPLINED VA	B	0.00	10.12	0.00	10.12	60.0	6.07
10/10/2017	75502070	HAND, STEPHEN	LORD ABBETT SECS TR INTL DIVIDEND I	B	0.00	10.02	0.00	10.02	60.0	6.01
10/10/2017	75502070	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	9.57	0.00	9.57	60.0	5.74
10/10/2017	75502070	HAND, STEPHEN	LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	8.56	0.00	8.56	60.0	5.14
10/10/2017	75502070	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	10.70	0.00	10.70	60.0	6.42

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/19/2017	75502070	HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	16.65	0.00	16.65	60.0	9.99
10/19/2017	75502070	HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	5.55	0.00	5.55	60.0	3.33
10/19/2017	75502070	HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT B	B	0.00	27.32	0.00	27.32	60.0	16.39
10/19/2017	75502070	HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT B	B	0.00	9.11	0.00	9.11	60.0	5.47
10/10/2017	75502071	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND B	B	0.00	2.40	0.00	2.40	60.0	1.44
10/10/2017	75502071	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE B	B	0.00	2.68	0.00	2.68	60.0	1.61
10/19/2017	75502071	HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	4.16	0.00	4.16	60.0	2.50
10/19/2017	75502071	HAND, STEPHEN	**ALPS ALERIAN MLP	B	0.00	1.39	0.00	1.39	60.0	0.83
10/19/2017	75502071	HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT B	B	0.00	2.27	0.00	2.27	60.0	1.36
10/19/2017	75502071	HAND, STEPHEN	ALPS RED ROCKS LISTED PRIVATE EQUIT B	B	0.00	6.82	0.00	6.82	60.0	4.09
10/10/2017	75502073	HAND FAMILY LP	**AB HIGH INCOME MUNICIPAL	B	0.00	175.68	0.00	175.68	60.0	105.41
10/10/2017	75502073	HAND FAMILY LP	LORD ABBETT TAX-FREE INCOME TR HIGH B	B	0.00	125.62	0.00	125.62	60.0	75.37
10/19/2017	75502073	HAND FAMILY LP	**ALPS ALERIAN MLP	B	0.00	19.23	0.00	19.23	60.0	11.54
10/19/2017	75502073	HAND FAMILY LP	**ALPS ALERIAN MLP	B	0.00	57.69	0.00	57.69	60.0	34.61
10/19/2017	75502073	HAND FAMILY LP	ALPS RED ROCKS LISTED PRIVATE EQUIT B	B	0.00	101.41	0.00	101.41	60.0	60.85
10/19/2017	75502073	HAND FAMILY LP	ALPS RED ROCKS LISTED PRIVATE EQUIT B	B	0.00	33.80	0.00	33.80	60.0	20.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$683.94	\$0.00	\$683.94		\$410.37
*** BROKER TRANSACTIONS TOTAL ***					\$2,295,256.02	\$15,338.43	\$0.00	\$15,338.43		\$9,203.08

**ADJUSTMENTS**

10/31/2017	DETLA:OTHER: TRADE OVER LIMIT FEES	0.00	0.00	0.00	0.00	-208.80
10/31/2017	DETLA:OTHER: TRADE OVER LIMIT FEES	0.00	0.00	0.00	0.00	-57.60
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-266.40</b>



**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,203.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-266.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-37.13
SIPC Assessment	\$-74.26

<b>Check Amount</b>	<b>\$8,825.29</b>
<b>Year-to-date Earnings</b>	<b>\$42,165.59</b>