



All Companies

06/28/2018

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COMMISSION STATEMENT

July 02, 2018

JERRY SINGLETON
700 THROCKMORTON STREET

BROKER #: 8373
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018	ALTIERI, KEVIN/TIERNI	-PUTNAM	B	50.00	2.50	0.00	2.50	90.0	2.25
05/31/2018	TRAILS	-VOYA	B	18.81	18.81	0.00	18.81	90.0	16.93
05/31/2018	TRAILS	BLACK ROCK	B	3.95	3.95	0.00	3.95	90.0	3.56
05/31/2018	TRAILS	BLACK ROCK	B	1.87	1.87	0.00	1.87	90.0	1.68
05/31/2018	TRAILS	BLACK ROCK	B	0.94	0.94	0.00	0.94	90.0	0.85
05/31/2018	TRAILS	DELAWARE - GENERAL	B	90.19	90.19	0.00	90.19	90.0	81.17
05/31/2018	TRAILS	DELAWARE - GENERAL	B	1.46	11.46	0.00	11.46	90.0	10.31
06/15/2018	DAVIS, LENORA MARIE	DELAWARE - GENERAL	B	25.00	1.25	0.00	1.25	90.0	1.13
05/31/2018	TRAILS	EATON VANCE - GENERAL	B	1.73	1.73	0.00	1.73	90.0	1.56
05/31/2018	TRAILS	EATON VANCE - GENERAL	B	5.37	5.37	0.00	5.37	90.0	4.83
06/15/2018	00001728334 SANDAGO, ALLYSON	JOHN HANCOCK GROWTH & INCOME	FD CL B	100.00	4.25	0.00	4.25	90.0	3.83
06/15/2018	00004968064 SHORTER, ANNIE	-VIRTUS FUNDS	B	30.00	1.50	0.00	1.50	90.0	1.35
	/ARLESTER								
06/15/2018	00004994783 SINEWAY, DANIEL C	-VIRTUS FUNDS	B	300.00	15.00	0.00	15.00	90.0	13.50
06/15/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
06/15/2018	00042508591 UTMA/TX	PUTNAM EQUITY INCOME FD CL A	B	200.00	10.00	0.00	10.00	90.0	9.00
06/11/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A B	B	950.00	26.13	0.00	26.13	90.0	23.52
06/15/2018	00059335645 MORGENSTERN, JUDY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A B	B	200.00	5.50	0.00	5.50	90.0	4.95
06/11/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT B	B	50.00	2.13	0.00	2.13	90.0	1.92
06/20/2018	00063718228 THOMPSON, JOHN	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A	B	125.00	3.44	0.00	3.44	90.0	3.10
06/15/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
06/18/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/18/2018	00081239091 PLUMBLEY, COLE	THE GROWTH FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75	90.0	3.38
06/15/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/15/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00083335257 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529C	B	125.00	1.25	0.00	1.25	90.0	1.13

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/22/2018	00084003260	GUILLORY, PEGGY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	259.61	9.74	0.00	9.74	90.0	8.77
06/22/2018	00084003260	GUILLORY, PEGGY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	259.61	9.74	0.00	9.74	90.0	8.77
06/18/2018	00085259047	PLUMBLEY, COLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/18/2018	00085259061	PLUMBLEY, COLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/11/2018	00085279516	ALTIERI, NICOLE	THE GROWTH FUND OF AMERICA-529A	B	75.00	2.81	0.00	2.81	90.0	2.53
06/15/2018	00086483945	SINGLETON, ELIZABETH	THE NEW ECONOMY FUND-C	B	350.00	3.50	0.00	3.50	90.0	3.15
06/15/2018	00086502248	RUEDEMAN, KOBIE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00086502248	RUEDEMAN, KOBIE	FUNDAMENTAL INVESTORS-529C	B	-50.00	-0.50	0.00	-0.50	90.0	-0.45
06/15/2018	00087833281	GARRISON, JAMES/KATE	GLOBAL GROWTH PORTFOLIO-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/11/2018	00089307555	JOBE, LISA GLENN	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/15/2018	00089704151	DELK, KYLIE/BAYLIE	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
06/04/2018	00431134561	MINGUS, TIM N	PUTNAM EQUITY INCOME FD CL A	B	100.00	2.75	0.00	2.75	90.0	2.48
06/04/2018	00511514163	ORENDER, KARI	PUTNAM NEW OPPORTUNITIES FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
06/04/2018	00551021580	UNIF TRANSFER TO M/A, U/TX	PUTNAM GROWTH OPPORTUNITIES FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
06/04/2018	00551021580	UNIF TRANSFER TO M/A, U/TX	PUTNAM INVESTORS FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
06/15/2018	00551326780	ALTIERI, KEVIN/VINCENT	-PUTNAM	B	25.00	1.25	0.00	1.25	90.0	1.13
06/11/2018	00671173702	ORENDER, KARI	INVESCO VAN KAMPEN G & I FD	B	25.00	1.25	0.00	1.25	90.0	1.13
06/11/2018	00671173702	ORENDER, KARI	IVK AMERICAN FRANCHISE FUND - CLASS	B	25.00	1.25	0.00	1.25	90.0	1.13
06/15/2018	00671187305	FISCHER JT TEN, WILLIAM	IVK AMERICAN FRANCHISE FUND - CLASS	B	100.00	4.00	0.00	4.00	90.0	3.60
06/01/2018	02000062628	TERRY, STACEY/GRIFFEN	-SCHOLARS EDGE 529 PLAN	B	25.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	02000062628	TERRY, STACEY/GRIFFEN	AGE BASED PORTFOLIO 30 A	B	25.00	0.75	0.00	0.75	90.0	0.68
06/20/2018	05051919255	THOMPSON, CATHY	INVESCO STRUCTURED CORE FUND -CLASS	B	50.00	2.50	0.00	2.50	90.0	2.25
06/20/2018	06910027819	CORNSTUBBLE, BARBARA	INVESCO VAN KAMPEN G & I FD	B	50.00	2.50	0.00	2.50	90.0	2.25
06/18/2018	08184753175	CARROLL, MELINDA	MFS EMERGING GROWTH FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/18/2018	08184753824	BROWN, DAVID P.	MFS TOTAL RETURN FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	08697809525	TEDDER, THOMAS V	COLUMBIA SELIGMAN COMM & INFO FD A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/05/2018	3301699247	LESSOR, RANDY	OPPENHEIMER GLOBAL FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
06/05/2018	7004732002	ORENDER, KARI A	OPPENHEIMER MAIN STREET INCOME & GR	B	25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *					\$5,048.54	\$298.03	\$0.00	\$298.03		\$268.30

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,267.07	5,267.07	0.00	5,267.07	90.0	4,740.36
		* STOCKS SUBTOTAL *		\$5,267.07	\$5,267.07	\$0.00	\$5,267.07		\$4,740.36
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/22/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	2.78	2.78	0.00	2.78	90.0	2.50
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	48.42	48.42	0.00	48.42	90.0	43.58
06/20/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	119.22	119.22	0.00	119.22	90.0	107.30
		* VARIABLE ANNUITIES SUBTOTAL *		\$170.42	\$170.42	\$0.00	\$170.42		\$153.38
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
06/10/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13
		* LIFE INSURANCE SUBTOTAL *		\$125.00	\$1.25	\$0.00	\$1.25		\$1.13
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	35117029 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	76.66	0.00	76.66	90.0	68.99
04/13/2018	50875792 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	213.66	0.00	213.66	90.0	192.29
04/13/2018	58959215 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
04/13/2018	61321609 WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	317.34	0.00	317.34	90.0	285.61
04/13/2018	69982324 PELIZZONI FAM REV	SIGNAL SECURITIES RIA	B	0.00	522.00	0.00	522.00	90.0	469.80
	TRST, EUGENE & BECKY								
04/13/2018	71160962 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	90.0	166.50
04/13/2018	90838267 KENNEDY TRUST, MARY	SIGNAL SECURITIES RIA	B	0.00	490.00	0.00	490.00	90.0	441.00
	RUTH								
		* RIA SUBTOTAL *		\$0.00	\$1,856.66	\$0.00	\$1,856.66		\$1,670.99
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	84.63	0.00	84.63	90.0	76.17
07/02/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	4.22	0.00	4.22	90.0	3.80
07/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	231.11	0.00	231.11	90.0	208.00
07/02/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	23.78	0.00	23.78	90.0	21.42
07/02/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	12.73	0.00	12.73	90.0	11.45
07/02/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	60.45	0.00	60.45	90.0	54.40
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$416.92	\$0.00	\$416.92		\$375.24
		*** BROKER TRANSACTIONS TOTAL ***		\$10,611.03	\$8,010.35	\$0.00	\$8,010.35		\$7,209.40

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/SNOW #9575</u>									
04/13/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	114.17	0.00	114.17	90.0	102.75
04/13/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
* SINGLETON/SNOW SUBTOTAL *				\$0.00	\$279.84	\$0.00	\$279.84		\$251.85
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
04/13/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	104.17	0.00	104.17	90.0	93.75
04/13/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.50	0.00	12.50	90.0	11.25
04/13/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
04/13/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.50	0.00	79.50	90.0	71.55
04/13/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50
04/13/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.50	0.00	93.50	90.0	84.15
04/13/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	241.83	0.00	241.83	90.0	217.65
04/13/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
04/13/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
04/13/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
04/13/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.50	0.00	63.50	90.0	57.15
04/13/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	57.50	0.00	57.50	90.0	51.75
* SINGLETON/MOYNIHAN SUBTOTAL *				\$0.00	\$1,046.67	\$0.00	\$1,046.67		\$942.00
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$1,326.51	\$0.00	\$1,326.51		\$1,193.85

ADJUSTMENTS

07/02/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-702.50
07/02/2018	HILLTOP: CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-2.00
07/02/2018	HILLTOP: FIXED INCOME TICKET CHARGE	0.00	0.00	0.00	0.00	-17.50
07/02/2018	HILLTOP: MISC TICKET FEES	0.00	0.00	0.00	0.00	53.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	-\$668.50

BROKER COMMISSION TOTALS

Broker Transactions	\$7,209.40
Split Transactions	\$1,193.85
Override Transactions	\$0.00
Adjustments	\$-668.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$7,734.75
Year-to-date Earnings	\$97,238.45