

COMMISSION STATEMENT

January 15, 2018

JOHN BOYD-D008

BROKER #: D008

BRANCH: DMC

, TX

COMMISSION STATEMENT for JOHN BOYD-D008

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/26/2017	000000000700361	BARBOUR, LISA MF	FRANKLIN MODERATE ALLOCATION	B	250.00	12.50	0.00	12.50	90.0	11.25
01/02/2018	00064709157	HOPPER, REBECCA MF	AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017	00082221648	SKAGGS, ERIKA MF	CAPITAL INCOME BUILDER-A	B	75.00	3.75	0.00	3.75	90.0	3.38
12/26/2017	00082221648	SKAGGS, ERIKA MF	CAPITAL WORLD GROWTH AND INCOME	B	75.00	3.75	0.00	3.75	90.0	3.38
01/05/2018	00082719204	EDWARDS, JEANA MF	CAPITAL INCOME BUILDER-A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/05/2018	00082719204	EDWARDS, JEANA MF	CAPITAL WORLD GROWTH AND INCOME	B	25.00	1.25	0.00	1.25	90.0	1.13
01/05/2018	00082719204	EDWARDS, JEANA MF	NEW PERSPECTIVE FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/05/2018	00082719204	EDWARDS, JEANA MF	SMALLCAP WORLD FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
12/28/2017	04000449816	RANDALL, DELIA MF	FUNDAMENTAL INVESTORS-C	B	2,079.16	20.79	0.00	20.79	90.0	18.71
12/28/2017	04000466273	KINZER, ROSE MF	FUNDAMENTAL INVESTORS-C	B	12,500.00	125.00	0.00	125.00	90.0	112.50
12/28/2017	04000466273	KINZER, ROSE MF	NEW PERSPECTIVE FUND-C	B	12,401.36	124.01	0.00	124.01	90.0	111.61
* MUTUAL FUNDS SUBTOTAL *					\$27,530.52	\$295.30	\$0.00	\$295.30		\$265.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/31/2017	(None)	VARIOUS, BOYD J	MFT OPPENHEIMER	B	0.00	263.19	0.00	263.19	90.0	236.87
01/01/2018	06910149570	BROWNLEE, LOLA	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	0.70	0.00	0.70	90.0	0.63
01/01/2018	06910631604	BROWNLEE, LOLA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.41	0.00	1.41	90.0	1.27
01/01/2018	06910631604	BROWNLEE, LOLA	MFT INVESCO CORPORATE BOND FUND -	B	0.00	6.36	0.00	6.36	90.0	5.72
01/01/2018	06910631604	BROWNLEE, LOLA	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	17.26	0.00	17.26	90.0	15.53
01/01/2018	06910631604	BROWNLEE, LOLA	MFT INVESCO QUALITY INCOME FUND -	B	0.00	6.64	0.00	6.64	90.0	5.98
01/01/2018	08182447685	SMITH, CAROL	MFT MFS TOTAL RETURN FUND-A	B	0.00	14.53	0.00	14.53	90.0	13.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$310.09	\$0.00	\$310.09		\$279.08
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
12/29/2017	(None)	JENNINGS, HARRY	VAT ALLIANZ LIFE	B	0.00	27.69	0.00	27.69	90.0	24.92
12/29/2017	(None)	HOLLIS, KRISTY	VAT COMMONWEALTH	B	0.00	9.74	0.00	9.74	90.0	8.77
12/31/2017	(None)	CHENOWETH, BONNIE	VAT LINCOLN NATL LIFE	B	0.00	147.41	0.00	147.41	90.0	132.67
12/31/2017	(None)	BENTLEY, DAN	VAT LINCOLN NATL LIFE	B	0.00	159.81	0.00	159.81	90.0	143.83
12/31/2017	(None)	BENTLEY, DAN	VAT LINCOLN NATL LIFE	B	0.00	116.36	0.00	116.36	90.0	104.72
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$461.01	\$0.00	\$461.01		\$414.91
*** DIRECT TRANSACTIONS TOTAL ***					\$27,530.52	\$1,066.40	\$0.00	\$1,066.40		\$959.79

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OVERRIDE TRANSACTIONS

BROKER: SCOTT SICKLES-D060 #54

12/26/2017 000000000701021	BRANNON,	MF	FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	0.38
12/26/2017 000000012372684	GRIEGO,	MF	FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	0.38
12/26/2017 000019817879772	BRANNON,	MF	FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	0.37
12/26/2017 000060177110343	BRANNON,	MF	FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	0.37
12/26/2017 00084222411	VAUGHN, JOE	MF	CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	0.56
12/26/2017 00084222411	VAUGHN, JOE	MF	CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	0.56
01/02/2018 00085552496	MAXIE A SICKLES	MF	CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	0.45
01/04/2018 04000584689	OLGA HOUSAND	MF	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	0.15
12/31/2017 (None)	VARIOUS, SICKLES	MFT	OPPENHEIMER	B	0.00	26.23	0.00	26.23	3.93
* SCOTT SICKLES-D060 SUBTOTAL *					\$1,000.00	\$47.74	\$0.00	\$47.74	\$7.15
*** OVERRIDE TRANSACTIONS TOTAL ***					\$1,000.00	\$47.74	\$0.00	\$47.74	\$7.15

BROKER COMMISSION TOTALS

Broker Transactions	\$959.79
Split Transactions	\$0.00
Override Transactions	\$7.15
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$966.94
Year-to-date Commissions	\$2,860.65