



# COMMISSION STATEMENT

March 26, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/12/2018	***-**-8489 - BARNICLE, ALANA	AMERICAN FUNDS 2050 TARGET DATE-A	4,500.00	225.00	0.00	225.00	90.0	202.50
	ACCOUNT #: 00089590415	BUY 269 Units @16.7300						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$4,500.00</b>	<b>\$225.00</b>	<b>\$0.00</b>	<b>\$225.00</b>		<b>\$202.50</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/19/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						
03/19/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	1,666.00	24.99	0.00	24.99	90.0	22.49
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
03/19/2018	***-**-655I - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						
03/19/2018	***-**-921I - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	1,546.50	30.93	0.00	30.93	90.0	27.84
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						
03/20/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	90.0	7.42
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
03/20/2018	***-**-5757 - DEGROOT, ROBERT	PACIFIC LIFE DTCC RENEWAL	950.00	19.00	0.00	19.00	90.0	17.10
	ACCOUNT #: VP60706860	BUY 0 Units @0.0000						
03/20/2018	***-**-209I - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	90.0	27.19
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$6,285.17</b>	<b>\$115.37</b>	<b>\$0.00</b>	<b>\$115.37</b>		<b>\$103.84</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
03/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @60.2400						
03/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584201	BUY 1 Units @60.2400						
03/15/2018	***-**-015P - GARCHA,	THE BOND FUND OF AMERICA-529A	50.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00087584124	BUY 4 Units @13.0900						
03/15/2018	***-**-015P - GARCHA,	THE BOND FUND OF AMERICA-529A	50.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00087584201	BUY 4 Units @13.0900						
03/15/2018	***-**-015P - GARCHA,	THE GROWTH FUND OF AMERICA-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @55.2800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN (continued)</b>								
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @55.2800	50.00	1.88	0.00	1.88	90.0	1.69
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3800	50.00	1.88	0.00	1.88	90.0	1.69
03/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3800	50.00	1.88	0.00	1.88	90.0	1.69
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$400.00</b>	<b>\$14.28</b>	<b>\$0.00</b>	<b>\$14.28</b>		<b>\$12.84</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/26/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	FEDERATED KAUFMAN SMALL-CAP FUND CL BUY 1 Units @0.0000	0.00	1.97	0.00	1.97	90.0	1.77
03/26/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	FEDERATED KAUFMAN SMALL-CAP FUND CL BUY 1 Units @0.0000	0.00	4.11	0.00	4.11	90.0	3.70
03/26/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	101.50	0.00	101.50	90.0	91.35
03/26/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	GLOBAL FUND A BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	90.0	1.09
03/26/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	GLOBAL FUND A BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	90.0	2.12
03/26/2018	***-**-83IN - BARNETT, ROBERT ACCOUNT #: LSR010053	INTERNATIONAL GROWTH FUND A BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	90.0	2.87
03/26/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	OPPENHEIMER SENIOR FLOATING RATE CL BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	90.0	1.03
03/26/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	OPPENHEIMER SENIOR FLOATING RATE CL BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	90.0	1.27
03/26/2018	***-**-409H - CERRETA, JEFFREY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	90.0	0.63
03/26/2018	***-**-761H - CERRETA,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	90.0	0.63
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$118.29</b>	<b>\$0.00</b>	<b>\$118.29</b>		<b>\$106.46</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
03/20/2018	***-**-03UG - KELLY, ALISON	PACIFIC LIFE DTCC RENEWAL	0.00	92.87	0.00	92.87	90.0	83.58
	ACCOUNT #: VP60330320	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$92.87</b>	<b>\$0.00</b>	<b>\$92.87</b>		<b>\$83.58</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$11,185.17</b>	<b>\$565.81</b>	<b>\$0.00</b>	<b>\$565.81</b>		<b>\$509.22</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$509.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>