

COMMISSION STATEMENT

January 31, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/29/2017 QGW032013	STROPE, DALE	STARBUCKS CORP	S	-5,755.00	75.00	0.00	75.00	82.5	61.88
01/11/2018 QGW032013	STROPE, DALE	COMMERCIAL METALS CO	S	-12,890.00	250.00	0.00	250.00	82.5	206.25
01/24/2018 QGW032047	SHIER, ANDY	AMAZON.COM INC	B	16,320.00	420.00	0.00	420.00	82.5	346.50
01/10/2018 QGW032054	PAEGLOW, JOSEPH	SUBURBAN PROPANE PARTNERS LP UNIT L B	B	20,320.00	600.00	0.00	600.00	82.5	495.00
12/29/2017 QGW032104	DAVIDOV, WILLIAM	SQUARE INC CLASS A COM	S	-2,587.50	40.00	0.00	40.00	82.5	33.00
01/02/2018 QGW032104	DAVIDOV, WILLIAM	VERSO CORP CL A	B	1,036.19	20.00	0.00	20.00	82.5	16.50
01/09/2018 QGW032104	DAVIDOV, WILLIAM	MONGODB INC CL A	S	-3,291.30	20.00	0.00	20.00	82.5	16.50
01/11/2018 QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	B	3,215.00	20.00	0.00	20.00	82.5	16.50
01/19/2018 QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS	B	1,344.00	30.00	0.00	30.00	82.5	24.75
01/22/2018 QGW032104	DAVIDOV, WILLIAM	HORIZON PHARMA PLC SHS	B	3,089.40	30.00	0.00	30.00	82.5	24.75
01/22/2018 QGW032104	DAVIDOV, WILLIAM	VERSO CORP CL A	S	-3,356.00	30.00	0.00	30.00	82.5	24.75
01/24/2018 QGW032104	DAVIDOV, WILLIAM	APPLE INC	S	-10,138.40	40.00	0.00	40.00	82.5	33.00
01/24/2018 QGW032104	DAVIDOV, WILLIAM	SKYLINE CORP COM	B	3,246.33	30.00	0.00	30.00	82.5	24.75
01/24/2018 QGW032104	DAVIDOV, WILLIAM	VALEANT PHARMACEUTICALS INTERNATION S	S	-2,013.26	40.00	0.00	40.00	82.5	33.00
01/25/2018 QGW032104	DAVIDOV, WILLIAM	LIONS GATE ENTERTAINMENT CORP REGIS B	B	5,453.46	30.00	0.00	30.00	82.5	24.75
01/26/2018 QGW032104	DAVIDOV, WILLIAM	FLEX LTD ORDINARY SHARES	B	2,878.07	30.00	0.00	30.00	82.5	24.75
01/10/2018 QGW032112	CIARLO, ANTHONY	AMAZON.COM INC	B	9,904.00	300.00	0.00	300.00	82.5	247.50
01/04/2018 QGW032120	DAVIDOV, WILLIAM	KINDER MORGAN INC	B	2,842.50	40.00	0.00	40.00	82.5	33.00
01/04/2018 QGW032120	DAVIDOV, WILLIAM	MACYS INC	S	-3,250.00	40.00	0.00	40.00	82.5	33.00
01/17/2018 QGW032120	DAVIDOV, WILLIAM	SALESFORCE.COM INC	B	439.92	25.00	0.00	25.00	82.5	20.63
01/24/2018 QGW032120	DAVIDOV, WILLIAM	KINDER MORGAN INC	S	-2,895.00	40.00	0.00	40.00	82.5	33.00
01/29/2018 QGW032120	DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	B	2,660.00	20.00	0.00	20.00	82.5	16.50
01/12/2018 QGW032138	AUCHSTETTER, GERALD	KINDER MORGAN INC	S	-4,837.53	145.00	0.00	145.00	82.5	119.63
01/16/2018 QGW032138	AUCHSTETTER, GERALD	VODAFONE GROUP PLC SPONSORED ADR NO S	S	-5,652.50	150.00	0.00	150.00	82.5	123.75
01/19/2018 QGW032146	WINGO, CHARLES	KINDER MORGAN INC	S	-3,816.00	75.00	0.00	75.00	82.5	61.88
01/19/2018 QGW032146	WINGO, CHARLES	USA COMPRESSION PARTNERS LP COM UNI B	B	4,052.73	75.00	0.00	75.00	82.5	61.88
12/28/2017 QGW032153	LONGWORTH, RICHARD	QUALCOMM INC	B	9,660.00	289.00	0.00	289.00	82.5	238.43
01/19/2018 QGW032195	NERY, GENEROSO	AMAZON.COM INC	B	18,179.70	500.00	0.00	500.00	82.5	412.50
01/19/2018 QGW032195	NERY, GENEROSO	STARBUCKS CORP	S	-6,120.01	50.00	0.00	50.00	82.5	41.25
01/11/2018 QGW032229	WATSON, ROGER	SUBURBAN PROPANE PARTNERS LP UNIT L B	B	9,047.50	200.00	0.00	200.00	82.5	165.00
01/11/2018 QGW032229	WATSON, ROGER	VODAFONE GROUP PLC SPONSORED ADR NO S	S	-9,411.00	200.00	0.00	200.00	82.5	165.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/23/2018	QGW032229	WATSON, ROGER		AMAZON.COM INC	B	6,720.00	175.00	0.00	175.00 82.5 144.38
01/04/2018	QGW032237	PETERSON, SHAWN		HOME DEPOT INC	S	-19,011.00	50.00	0.00	50.00 82.5 41.25
01/08/2018	QGW032237	PETERSON, SHAWN		MAZOR ROBOTICS LTD SPONSORED ADS	S	-18,900.00	300.00	0.00	300.00 82.5 247.50
01/11/2018	QGW032237	PETERSON, SHAWN		VALE S A SPONSORED ADR	B	10,720.00	320.00	0.00	320.00 82.5 264.00
01/24/2018	QGW032237	PETERSON, SHAWN		JD.COM INC SPONSORED ADR REPSTG COM B		14,295.00	300.00	0.00	300.00 82.5 247.50
01/26/2018	QGW032237	PETERSON, SHAWN		MICROSOFT CORP	B	18,780.00	475.00	0.00	475.00 82.5 391.88
01/26/2018	QGW032237	PETERSON, SHAWN		MONGODB INC CL A	S	-7,702.78	75.00	0.00	75.00 82.5 61.88
01/16/2018	QGW032252	OMAR, ZEKI		AMAZON.COM INC	B	6,620.00	75.00	0.00	75.00 82.5 61.88
01/16/2018	QGW032252	OMAR, ZEKI		NETFLIX COM INC	B	3,348.30	75.00	0.00	75.00 82.5 61.88
01/08/2018	QGW032344	DYKSTRA, JAMES		AMAZON.COM INC	B	14,928.00	450.00	0.00	450.00 82.5 371.25
01/08/2018	QGW032344	DYKSTRA, JAMES		TELEFLEX INCORPORATED	S	-12,800.00	50.00	0.00	50.00 82.5 41.25
01/22/2018	QGW032344	DYKSTRA, JAMES		HOME DEPOT INC	S	-26,518.70	200.00	0.00	200.00 82.5 165.00
01/26/2018	QGW032344	DYKSTRA, JAMES		INTUITIVE SURGICAL INC COM	B	17,591.68	450.00	0.00	450.00 82.5 371.25
01/17/2018	QGW032351	COLLINS, DONNIE		TELEFLEX INCORPORATED	S	-9,380.00	100.00	0.00	100.00 82.5 82.50
01/29/2018	QGW032351	COLLINS, DONNIE		MICROSOFT CORP	B	10,323.50	300.00	0.00	300.00 82.5 247.50
01/29/2018	QGW032351	COLLINS, DONNIE		SACHEM CAPITAL CORP COMMON SHARES	S	-1,952.00	50.00	0.00	50.00 82.5 41.25
01/16/2018	QGW032401	DEFILIPO, STEVEN		QUALCOMM INC	S	-15,715.90	455.00	0.00	455.00 82.5 375.38
01/26/2018	QGW032401	DEFILIPO, STEVEN		JD.COM INC SPONSORED ADR REPSTG COM B		12,619.60	365.00	0.00	365.00 82.5 301.13
01/11/2018	QGW032468	HUNDLEY, MICHAEL		COMMERCIAL METALS CO	S	-7,767.00	200.00	0.00	200.00 82.5 165.00
12/28/2017	QGW032674	ONESTO, RICHARD		SQUARE INC CLASS A COM	S	-5,295.45	35.00	0.00	35.00 82.5 28.88
01/22/2018	QGW032674	ONESTO, RICHARD		INTUITIVE SURGICAL INC COM	B	8,596.00	45.00	0.00	45.00 82.5 37.13
01/23/2018	QGW032674	ONESTO, RICHARD		AMAZON.COM INC	B	8,092.02	65.00	0.00	65.00 82.5 53.63
01/24/2018	QGW032864	FEDYK, PAUL		GENERAL ELECTRIC CO	B	4,112.50	120.00	0.00	120.00 82.5 99.00
01/10/2018	QGW033102	SHIPLEY, CRAIG		TARGET CORP	S	-10,558.50	250.00	0.00	250.00 82.5 206.25
01/16/2018	QGW033102	SHIPLEY, CRAIG		VODAFONE GROUP PLC SPONSORED ADR NO S		-9,690.03	230.00	0.00	230.00 82.5 189.75
01/24/2018	QGW033128	STRAUGHTER, EDNA		GENERAL ELECTRIC CO	B	4,950.00	150.00	0.00	150.00 82.5 123.75
01/16/2018	QGW033151	DEROIN, JOHN		VODAFONE GROUP PLC SPONSORED ADR NO S		-9,669.03	270.00	0.00	270.00 82.5 222.75
01/04/2018	QGW033581	RYKEN, MICHAEL		FAT BRANDS INC COMMON STOCK	S	-3,087.00	50.00	0.00	50.00 82.5 41.25
01/08/2018	QGW033870	BROWN, KENNETH		SALESFORCE.COM INC	B	10,878.00	350.00	0.00	350.00 82.5 288.75
01/11/2018	QGW033870	BROWN, KENNETH		COMMERCIAL METALS CO	S	-25,880.00	650.00	0.00	650.00 82.5 536.25
01/26/2018	QGW033870	BROWN, KENNETH		INTERNATIONAL BUSINESS MACHINES COR B		25,073.25	550.00	0.00	550.00 82.5 453.75
01/17/2018	QGW034142	JANKLOW, DONALD		VODAFONE GROUP PLC SPONSORED ADR NO S		-6,731.67	130.00	0.00	130.00 82.5 107.25
01/24/2018	QGW035305	POORMAN, JEFFREY		GENERAL ELECTRIC CO	B	993.00	45.00	0.00	45.00 82.5 37.13
01/24/2018	QGW036501	FERRARO, SARAH		GENERAL ELECTRIC CO	B	3,795.00	100.00	0.00	100.00 82.5 82.50
01/24/2018	QGW036923	CHAPMAN, HERBERT		GENERAL ELECTRIC CO	B	905.85	40.00	0.00	40.00 82.5 33.00
01/23/2018	QGW037053	SULLIVAN, FRED		INTERNATIONAL BUSINESS MACHINES COR B		82,789.95	1,700.00	0.00	1,700.00 82.5 1,402.50
01/25/2018	QGW038333	DEROIN, KATHLEEN		GENERAL ELECTRIC CO	B	4,860.00	145.00	0.00	145.00 82.5 119.63
01/08/2018	QGW038879	MURDOCK, BRANDI		ADIDAS AG SPONSORED ADR	B	5,165.50	75.00	0.00	75.00 82.5 61.88
01/08/2018	QGW038879	MURDOCK, BRANDI		AMAZON.COM INC	B	6,229.50	75.00	0.00	75.00 82.5 61.88
01/08/2018	QGW038879	MURDOCK, BRANDI		APPLE INC	B	5,236.50	75.00	0.00	75.00 82.5 61.88

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/08/2018	QGW038879	MURDOCK, BRANDI		NETFLIX COM INC	B	4,237.60	75.00	0.00	75.00 82.5 61.88
01/08/2018	QGW038879	MURDOCK, BRANDI		WALT DISNEY CO	B	5,499.00	75.00	0.00	75.00 82.5 61.88
01/12/2018	QGW038879	MURDOCK, BRANDI		FACEBOOK INC CL A	B	5,340.00	75.00	0.00	75.00 82.5 61.88
01/18/2018	QGW038879	MURDOCK, BRANDI		INTERNATIONAL BUSINESS MACHINES COR B		3,240.00	75.00	0.00	75.00 82.5 61.88
01/17/2018	QGW039208	DEPARI, GARY		INTERNATIONAL BUSINESS MACHINES COR B		16,368.75	75.00	0.00	75.00 82.5 61.88
				* STOCKS SUBTOTAL *		\$169,324.74	\$13,844.00	\$0.00	\$13,844.00 \$11,421.43
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/25/2018	QGW032039	SANDERS, RALPH		CALL 100 STARBUCKS CORP COM EXP 02- S		-400.00	25.00	0.00	25.00 82.5 20.63
01/22/2018	QGW032047	SHIER, ANDY		CALL 100 HOME DEPOT INC COM EXP 02- S		-820.00	40.00	0.00	40.00 82.5 33.00
01/25/2018	QGW032237	PETERSON, SHAWN		CALL 100 STARBUCKS CORP COM EXP 02- S		-344.00	25.00	0.00	25.00 82.5 20.63
01/02/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR S		-7,800.00	45.00	0.00	45.00 82.5 37.13
01/03/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR B		2,500.00	35.00	0.00	35.00 82.5 28.88
01/12/2018	QGW032674	ONESTO, RICHARD		PUT 100 FACEBOOK INC CL A EXP 03-16 S		-600.00	30.00	0.00	30.00 82.5 24.75
01/12/2018	QGW032674	ONESTO, RICHARD		PUT 100 FACEBOOK INC CL A EXP 03-16 S		600.00	-30.00	0.00	-30.00 82.5 -24.75 C
01/12/2018	QGW032674	ONESTO, RICHARD		PUT 100 FACEBOOK INC CL A EXP 03-16 S		-600.00	30.00	0.00	30.00 82.5 24.75
01/22/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR S		-1,040.00	35.00	0.00	35.00 82.5 28.88
01/22/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR S		1,040.00	-35.00	0.00	-35.00 82.5 -28.88 C
01/22/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR S		-1,040.00	35.00	0.00	35.00 82.5 28.88
				* OPTIONS SUBTOTAL *		\$-8,504.00	\$235.00	\$0.00	\$235.00 \$193.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/12/2018	QGW033185	DEVORE, DOREEN		WELLS FARGO EMERGING MARKETS EQUITY B		0.00	0.55	0.00	0.55 82.5 0.45
01/18/2018	QGW033185	DEVORE, DOREEN		AIT ARROW DWA BALANCED FUND CLASS C B		0.00	6.32	0.00	6.32 82.5 5.21
01/22/2018	QGW033383	DEROIN, KATHLEEN		FRK AGE HIGH INC FND - CL A	B	0.00	0.70	0.00	0.70 82.5 0.58
01/22/2018	QGW035305	POORMAN, JEFFREY		FRANKLIN INCOME FUND CLASS A	B	0.00	0.25	0.00	0.25 82.5 0.21
01/22/2018	QGW035305	POORMAN, JEFFREY		MUTUAL SERIES SHARES CLASS A	B	0.00	0.47	0.00	0.47 82.5 0.39
01/18/2018	QGW036923	CHAPMAN, HERBERT		EUROPACIFIC GROWTH FUND-A	B	0.00	0.33	0.00	0.33 82.5 0.27
01/18/2018	QGW036923	CHAPMAN, HERBERT		FUNDAMENTAL INVESTORS-A	B	0.00	0.39	0.00	0.39 82.5 0.32
01/18/2018	QGW036923	CHAPMAN, HERBERT		SMALLCAP WORLD FUND-A	B	0.00	0.18	0.00	0.18 82.5 0.15
01/18/2018	QGW036923	CHAPMAN, HERBERT		THE BOND FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29 82.5 0.24
01/18/2018	QGW036923	CHAPMAN, HERBERT		THE GROWTH FUND OF AMERICA-A	B	0.00	0.40	0.00	0.40 82.5 0.33
01/18/2018	QGW036923	CHAPMAN, HERBERT		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.17	0.00	0.17 82.5 0.14
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$10.05	\$0.00	\$10.05 \$8.29
				*** BROKER TRANSACTIONS TOTAL ***		\$160,820.74	\$14,089.05	\$0.00	\$14,089.05 \$11,623.62

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-123.75
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
01/31/2018		OTHER: OTHER-POSTAGE		0.00	0.00	0.00	0.00		-97.76
01/31/2018		OTHER: OTHER -QGW003386		0.00	0.00	0.00	0.00		130.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-103.51

BROKER COMMISSION TOTALS

Broker Transactions	\$11,623.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-103.51
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-17.61
SIPC Assessment	\$-35.22

Check Amount	\$11,467.28
Year-to-date Earnings	\$11,467.28