

**COMMISSION STATEMENT****August 15, 2018**

WILLIAM, C124 CASILLAS, JR
4120 Rio Bravo
Ste. 307
El Paso, TX 79902

BROKER #: C124
BRANCH: WILLIAM CASILLAS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
08/02/2018	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	300.00	12.75	0.00	12.75	82.0	10.46
08/06/2018	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	50.00	2.13	0.00	2.13	82.0	1.75
08/08/2018	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	100.00	2.13	0.00	2.13	82.0	1.75
* 529 PLANS SUBTOTAL *			\$450.00	\$17.01	\$0.00	\$17.01		\$13.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/02/2018	SPINE & REHAB, 401K	GWL GRP ANNUITY 401K	354,042.29	150.35	0.00	150.35	82.0	123.29
* VARIABLE ANNUITIES SUBTOTAL *			\$354,042.29	\$150.35	\$0.00	\$150.35		\$123.29
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/24/2018	BOCK, JOHN	BLACKROCK EMERGING MARKETS DIVIDEND	0.00	97.49	0.00	97.49	82.0	79.94
/ /	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	0.00	0.66	0.00	0.66	82.0	0.54
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	0.00	8.12	0.00	8.12	82.0	6.66
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 B	0.00	0.36	0.00	0.36	82.0	0.30
/ /	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	0.00	0.69	0.00	0.69	82.0	0.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$107.32	\$0.00	\$107.32		\$88.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	ORTEGA MDPA PENSION, ANTONIO	JNL PERSPECTIVE II 04/14	0.00	28.05	0.00	28.05	82.0	23.00
07/31/2018	ORTEGA MDPA PENSION, ANTONIO	JNL PERSPECTIVE II 04/14	0.00	98.57	0.00	98.57	82.0	80.83
07/31/2018	ZACOUR, PAUL	JNL PERSPECTIVE L	0.00	57.46	0.00	57.46	82.0	47.12
07/31/2018	CASTILLO, JOSE	JOHN HANCOCK VENTURE	0.00	419.68	0.00	419.68	82.0	344.14
07/31/2018	ALVARADO, JOSE	JOHN HANCOCK VENTURE	0.00	4.05	0.00	4.05	82.0	3.32
07/31/2018	CASTILLO, JOSE	JOHN HANCOCK VENTURE	0.00	46.49	0.00	46.49	82.0	38.12
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$654.30	\$0.00	\$654.30		\$536.53
*** DIRECT TRANSACTIONS TOTAL ***			\$354,492.29	\$928.98	\$0.00	\$928.98		\$761.79

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
08/15/2018		*DOCUSIGN: DOCUSIGN	0.00					-18.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
08/15/2018		*TECHNOLOGY: ADMIN - A TERRAZAS	0.00					-130.00
08/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-706.01

BROKER COMMISSION TOTALS

Broker Transactions	\$761.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-706.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$76.90
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$132.68
Year-to-date Gross	\$21,580.77
Year-to-date Earnings	\$17,624.21

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