

**COMMISSION STATEMENT****November 15, 2017**

**ROBERT LUCHSINGER**  
 600 Johnson Ave.  
 Suite B5  
 Bohemia, NY 11716

**BROKER #: L117**  
**BRANCH: ROBERT LUCHSINGER**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/02/2017	KAMPMANN, MURIEL	AM FUNDS ICA C	250.00	2.50	0.00	2.50	95.0	2.38
11/02/2017	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	95.0	2.38
11/03/2017	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	5.02	0.00	5.02	95.0	4.77
11/03/2017	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
11/03/2017	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	4.18	0.00	4.18	95.0	3.97
11/03/2017	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.42	0.00	0.42	95.0	0.40
10/31/2017	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	32.45	0.00	32.45	95.0	30.83
10/31/2017	LEAVY, FLORENCE	FRANKLIN US GOVT SEC FUND A	0.00	6.04	0.00	6.04	95.0	5.74
10/16/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
10/16/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
10/23/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
10/23/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
10/24/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
10/24/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
10/30/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
10/30/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
10/16/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
10/16/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
10/23/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
10/23/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
10/24/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
10/24/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
10/30/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
10/30/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
10/16/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
10/16/2017	PRYDETOKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/23/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
10/23/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
10/24/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
10/24/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
10/30/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
10/30/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
10/16/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
10/16/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
10/23/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
10/23/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
10/24/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
10/24/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
10/30/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
10/30/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
10/16/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
10/16/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
10/23/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
10/23/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
10/24/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
10/24/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
10/30/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
10/30/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,155.40</b>	<b>\$79.67</b>	<b>\$0.00</b>	<b>\$79.67</b>		<b>\$75.63</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/26/2017	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	10,000.00	500.00	0.00	500.00	95.0	475.00
11/02/2017	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
10/31/2017	TETA, JODI	JNL NY PERSPECTIVE L	226,731.73	188.94	0.00	188.94	95.0	179.49
10/31/2017	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	160,617.56	133.85	0.00	133.85	95.0	127.16
10/25/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
10/31/2017	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	99,033.13	20.63	0.00	20.63	95.0	19.60
10/31/2017	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	42,479.24	8.85	0.00	8.85	95.0	8.41
10/31/2017	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	428,784.88	89.33	0.00	89.33	95.0	84.86
10/31/2017	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	91,019.96	18.96	0.00	18.96	95.0	18.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	GUARINO, CARMINE	JNL PERSPECTIVE II 04/14	196,388.45	40.91	0.00	40.91	95.0	38.86
10/31/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	234,640.39	48.88	0.00	48.88	95.0	46.44
10/31/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	28,862.20	6.01	0.00	6.01	95.0	5.71
11/01/2017	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
10/31/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	374,119.12	77.94	0.00	77.94	95.0	74.04
10/31/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	243,310.85	50.69	0.00	50.69	95.0	48.16
10/18/2017	LENTINI, ANNA	PAC LIFE INNOVATIVE SELECT	0.00	264.02	0.00	264.02	95.0	250.82
10/23/2017	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	947.73	0.00	947.73	95.0	900.34
10/16/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	185.01	0.00	185.01	95.0	175.76
10/16/2017	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	27.08	0.00	27.08	95.0	25.73
10/18/2017	DEITSCH, PAUL	PAC LIFE INNOVATIVE SELECT NY	0.00	158.74	0.00	158.74	95.0	150.80
10/20/2017	MAZZA, JOSEPH	PAC LIFE INNOVATIVE SELECT NY	0.00	414.48	0.00	414.48	95.0	393.76
10/23/2017	STOCCO, ROBERT	PAC LIFE INNOVATIVE SELECT NY	0.00	934.45	0.00	934.45	95.0	887.73
10/23/2017	GUARINO, JOAN	PAC LIFE INNOVATIVE SELECT NY	0.00	166.19	0.00	166.19	95.0	157.88
10/23/2017	GUARINO, CARMINE	PAC LIFE INNOVATIVE SELECT NY	0.00	320.89	0.00	320.89	95.0	304.85
10/24/2017	COSTELLO, KEVIN	PAC LIFE INNOVATIVE SELECT NY	0.00	101.56	0.00	101.56	95.0	96.48
10/25/2017	LACOMMARE, MICHAEL	PAC LIFE INNOVATIVE SELECT NY	0.00	54.23	0.00	54.23	95.0	51.52
10/27/2017	NEVIN, NANCY	PAC LIFE INNOVATIVE SELECT NY	0.00	557.64	0.00	557.64	95.0	529.76
10/30/2017	DEITSCH, PAUL	PAC LIFE INNOVATIVE SELECT NY	0.00	280.58	0.00	280.58	95.0	266.55
11/01/2017	KUDLEK, ARTHUR	PAC LIFE INNOVATIVE SELECT NY	0.00	537.90	0.00	537.90	95.0	511.01
10/25/2017	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	70.00	0.00	70.00	95.0	66.50
10/30/2017	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	13,261.52	2.77	0.00	2.77	95.0	2.63
10/30/2017	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	13,355.40	2.79	0.00	2.79	95.0	2.65
10/30/2017	YBAY, ERLINDA	SBL SECURE DESIGNS VA	118,299.37	98.58	0.00	98.58	95.0	93.65
10/30/2017	KNAACK, JOHN	SBL SECURE DESIGNS VA	124,470.76	103.73	0.00	103.73	95.0	98.54
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,411,374.56</b>	<b>\$6,748.36</b>	<b>\$0.00</b>	<b>\$6,748.36</b>		<b>\$6,410.95</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/16/2017	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.01</b>	<b>\$0.00</b>	<b>\$0.01</b>		<b>\$0.01</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,413,529.96</b>	<b>\$6,828.04</b>	<b>\$0.00</b>	<b>\$6,828.04</b>		<b>\$6,486.59</b>

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b>BROKER: L149-ROBERT LUCHSINGER #388</b>								
10/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	121,883.90	101.57	0.00	101.57	0.0	40.63
10/20/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.04	0.00	4.04	0.0	1.62
10/20/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.25	0.00	3.25	0.0	1.30
10/23/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.71	0.00	2.71	0.0	1.08
10/31/2017	TOOLEY, PATRICIA	FRANKLIN MA TAX FR INCOME A	0.00	26.17	0.00	26.17	0.0	10.47
10/31/2017	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.82	0.00	8.82	0.0	3.53
10/31/2017	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.56	0.00	12.56	0.0	5.02
10/31/2017	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	19.32	0.00	19.32	0.0	7.73
10/31/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	233,103.64	194.25	0.00	194.25	0.0	77.70
10/19/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.98	0.00	5.98	0.0	2.39
10/23/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.03	0.00	1.03	0.0	0.41
10/23/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.30	0.00	1.30	0.0	0.52
10/23/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.61	0.00	0.61	0.0	0.24
10/23/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.08	0.00	5.08	0.0	2.03
10/23/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.79	0.00	4.79	0.0	1.92
10/23/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.52	0.00	8.52	0.0	3.41
10/23/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.78	0.00	1.78	0.0	0.71
10/19/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.00	0.00	5.00	0.0	2.00
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.02	0.00	5.02	0.0	2.01
10/13/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.14	0.00	0.14	0.0	0.06
10/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.54	0.00	0.54	0.0	0.22
10/13/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.69	0.00	4.69	0.0	1.88
10/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.95	0.00	1.95	0.0	0.78
10/13/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.28
10/13/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.11	0.00	1.11	0.0	0.44
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$354,987.54</b>	<b>\$420.94</b>	<b>\$0.00</b>	<b>\$420.94</b>		<b>\$168.38</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$354,987.54</b>	<b>\$420.94</b>	<b>\$0.00</b>	<b>\$420.94</b>		<b>\$168.38</b>

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<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.98
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-11.88
11/15/2017		*PERSHING: MANDITORY REORGANIZATION	0.00					-10.00
11/15/2017		*PERSHING: MANDITORY REORGANIZATION	0.00					-10.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-520.86

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,486.59
Split Transactions	\$0.00
Override Transactions	\$168.38
Adjustments	\$-520.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,134.11
Year-to-date Gross	\$264,247.73
Year-to-date Earnings	\$252,867.65

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