



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2018

06/15/2018
Page No. 1

BARRY, L122 LE MAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
05/30/2018	FELDSTEIN, GARY	HPI REAL ESTATE FUND VI LLC	102,040.82	4,081.63	0.00	4,081.63	90.0	3,673.47
06/11/2018	LEE, ANDREW	HPI REAL ESTATE FUND VI LLC	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
* PRIVATE PLACEMENT SUBTOTAL *			\$202,040.82	\$10,081.63	\$0.00	\$10,081.63		\$9,073.47
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/24/2018	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP CL	9,789.54	16.34	0.00	16.34	90.0	14.71
05/24/2018	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP CL	48,947.73	81.72	0.00	81.72	90.0	73.55
05/24/2018	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP CL	11,976.79	19.99	0.00	19.99	90.0	17.99
05/23/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.67	0.00	10.67	90.0	9.60
05/23/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.08	0.00	1.08	90.0	0.97
05/17/2018	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	90.0	0.89
05/16/2018	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.64	0.00	1.64	90.0	1.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$70,714.06	\$132.43	\$0.00	\$132.43		\$119.19
*** DIRECT TRANSACTIONS TOTAL ***			\$272,754.88	\$10,214.06	\$0.00	\$10,214.06		\$9,192.66

ADJUSTMENTS

06/15/2018	*: TECHNOLOGY AI INSIGHT	0.00	-7.00
06/15/2018	*: TECHNOLOGY DOCUSIGN	0.00	-18.00
06/15/2018	*: TECHNOLOGY GLOBAL RELAY - WEBSITE	0.00	-15.00
06/15/2018	*: TECHNOLOGY LASER APP	0.00	-18.00
06/15/2018	*: TECHNOLOGYGLOBAL RELAY - FACEBOOK	0.00	-5.00
06/15/2018	*CONCIERGE SVC: 217271 SIU, TTEES	0.00	-50.00
06/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00	-300.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-8.04
06/15/2018		*TECHNOLOGY: ALBRIDGE LIGHT	0.00					-76.00
06/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-677.04</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,192.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-677.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,515.62
Year-to-date Gross	\$187,782.67
Year-to-date Earnings	\$168,862.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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