

## COMMISSION STATEMENT

November 30, 2017

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
11/16/2017 75597014	PAMELA J EDWARDS	TTEE BLACKROCK HEALTH SCIENCES FUND CLAS B		50,000.00	1,875.00	0.00	1,875.00	100.0	1,875.00
11/16/2017 75597014	PAMELA J EDWARDS	TTEE BOND-DEBENTURE FUND CLASS A	S	50,556.35	0.00	0.00	0.00	100.0	0.00
11/09/2017 75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU S		5,000.00	0.00	0.00	0.00	100.0	0.00
11/10/2017 75597029	MOSES, GAIL	NEW PERSPECTIVE FUND-A	S	8,440.59	0.00	0.00	0.00	100.0	0.00
11/10/2017 75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES S		1,500.00	0.00	0.00	0.00	100.0	0.00
11/06/2017 75597042	ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F S		2,640.00	0.00	0.00	0.00	100.0	0.00
11/10/2017 75597074	WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND S		2,386.00	0.00	0.00	0.00	100.0	0.00
11/13/2017 75597089	BORKHOVA, ALLA	AIM ENERGY FUND - CLASS C	S	8,426.17	0.00	0.00	0.00	100.0	0.00
11/13/2017 75597089	BORKHOVA, ALLA	MAINSTAY FDS LARGE CAP GROWTH FD CL S		10,598.35	0.00	0.00	0.00	100.0	0.00
11/14/2017 75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FUNDS 315807834	B	6,000.00	225.00	0.00	225.00	100.0	225.00
11/14/2017 75597089	BORKHOVA, ALLA	FIDELITY ADVISOR SER VII BIOTECHNOL B		8,000.00	300.00	0.00	300.00	100.0	300.00
11/14/2017 75597089	BORKHOVA, ALLA	FIDELITY ADVISOR INTL SMALL CAP FUN B		5,000.00	187.50	0.00	187.50	100.0	187.50
11/03/2017 75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	S	1,370.00	0.00	0.00	0.00	100.0	0.00
11/20/2017 75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	S	344.00	0.00	0.00	0.00	100.0	0.00
11/01/2017 75597114	SPALDING, MARY	FRANKLIN INCOME FUND CLASS A	S	18,864.00	0.00	0.00	0.00	100.0	0.00
11/20/2017 75597127	WALDMAN, ALVIN	DEUTSCHE GNMA FUND A	S	905.00	0.00	0.00	0.00	100.0	0.00
11/22/2017 75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS S		800.00	0.00	0.00	0.00	100.0	0.00
11/20/2017 75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$181,061.46</b>	<b>\$2,587.50</b>	<b>\$0.00</b>	<b>\$2,587.50</b>		<b>\$2,587.50</b>

**PRODUCT CATEGORY: STOCKS**

11/01/2017 75597023	ANBIL, DILHARA	ISHARES S&P GLOBAL CLEAN ENERGY IND B		18,700.00	476.80	12.00	464.80	100.0	464.80
11/10/2017 75597029	MOSES, GAIL	CAPITAL SR LIVING CORP COM	S	4,693.86	50.00	12.00	38.00	100.0	38.00
11/10/2017 75597029	MOSES, GAIL	GREENLIGHT CAPITAL RE LTD CLASS A	S	4,797.50	50.00	12.00	38.00	100.0	38.00
11/10/2017 75597029	MOSES, GAIL	MYLAN N V SHS EURO	S	7,514.84	75.00	12.00	63.00	100.0	63.00
11/10/2017 75597029	MOSES, GAIL	UNITI GROUP INC COMMON STOCK	S	984.20	22.00	12.00	10.00	100.0	10.00
11/10/2017 75597029	MOSES, GAIL	WINDSTREAM HOLDINGS INC COM	S	106.13	20.00	12.00	8.00	100.0	8.00
11/01/2017 75597040	ANBIL, JOSH	SPDR SER TR S&P 600 SMALL CAP GROWT B		11,180.64	105.00	12.00	93.00	100.0	93.00
11/28/2017 75597046	MENZ, SUSAN	CNO FINANCIAL GROUP INC	B	24,327.50	300.00	12.00	288.00	100.0	288.00
11/28/2017 75597046	MENZ, SUSAN	CORBUS PHARMACEUTICALS HOLDINGS INC S		11,034.30	200.00	12.00	188.00	100.0	188.00
11/28/2017 75597072	JAMES R MENZ	TTEE BLACKROCK CAPITAL INVESTMENT CORPOR B		14,871.38	399.00	12.00	387.00	100.0	387.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/28/2017	75597144	MIKLAVCIC, EDWARD	EATON VANCE TAX MANAGD GLBL DIVERSI B	7,919.45	175.00	12.00	163.00	100.0	163.00
11/27/2017	75597146	HARRER, LAWRENCE	APPLE INC B	5,224.20	50.00	12.00	38.00	100.0	38.00
11/28/2017	75597162	STOTTS, ALLISON	ILLINOIS TOOL WORKS INC S	5,697.30	65.00	12.00	53.00	100.0	53.00
11/28/2017	75597162	STOTTS, ALLISON	LOCKHEED MARTIN CORP S	5,728.68	65.00	12.00	53.00	100.0	53.00
11/22/2017	75597163	D&R PARTNERS LLC	INVIVO THERAPEUTICS HOLDINGS CORP C S	3,452.40	25.00	12.00	13.00	100.0	13.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	GENERAL MOTORS COMPANY S	8,620.68	45.00	12.00	33.00	100.0	33.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	WTS EAGLE BULK SHIPPING INC WT EXP S	1.76	0.00	12.00	-12.00	100.0	-12.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	WTS GENERAL MOTORS COMPANY S	2,420.60	25.00	12.00	13.00	100.0	13.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$137,275.42</b>	<b>\$2,147.80</b>	<b>\$216.00</b>	<b>\$1,931.80</b>		<b>\$1,931.80</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
11/02/2017	75597031	MORGAN, STEVEN	UIT GUGGENHEIM DEFINED PORTFOL SER B	10,004.80	200.13	12.00	188.13	100.0	188.13
11/20/2017	75597126	WALDMAN, ALVIN	UIT GUGG DEFINED PORTFOLIOS SER 44 B	10,645.16	212.90	12.00	200.90	100.0	200.90
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>				<b>\$20,649.96</b>	<b>\$413.03</b>	<b>\$24.00</b>	<b>\$389.03</b>		<b>\$389.03</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/09/2017	75597002	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND B	0.00	3.78	0.00	3.78	100.0	3.78
11/09/2017	75597002	WEINER, MARK	VIRTUS SMALL CAP SUSTAINABLE GROWTH B	0.00	2.98	0.00	2.98	100.0	2.98
11/09/2017	75597002	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	2.65	0.00	2.65	100.0	2.65
11/09/2017	75597002	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	2.52	0.00	2.52	100.0	2.52
11/09/2017	75597003	WEINER, MARK	**VOYA EQUITY TR B	0.00	4.48	0.00	4.48	100.0	4.48
11/09/2017	75597003	WEINER, MARK	IVY INTERNATIONAL CORE EQUITY FUND B	0.00	7.21	0.00	7.21	100.0	7.21
11/09/2017	75597003	WEINER, MARK	JANUS INVT FD HENDERSON INTL OPRTN B	0.00	6.90	0.00	6.90	100.0	6.90
11/09/2017	75597003	WEINER, MARK	NEW WORLD FUND-A B	0.00	4.56	0.00	4.56	100.0	4.56
11/09/2017	75597003	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	6.11	0.00	6.11	100.0	6.11
11/09/2017	75597003	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	10.23	0.00	10.23	100.0	10.23
11/24/2017	75597003	WEINER, MARK	VICTORY SYCAMORE B	0.00	10.39	0.00	10.39	100.0	10.39
11/24/2017	75597003	WEINER, MARK	VICTORY SYCAMORE SMALL B	0.00	6.42	0.00	6.42	100.0	6.42
11/09/2017	75597005	MOUGHAN, PATRICK	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	18.42	0.00	18.42	100.0	18.42
11/09/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A B	0.00	22.56	0.00	22.56	100.0	22.56
11/09/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A B	0.00	22.22	0.00	22.22	100.0	22.22
11/09/2017	75597007	BRENT, NANCY	CAPITAL INCOME A B	0.00	20.56	0.00	20.56	100.0	20.56
11/09/2017	75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	11.48	0.00	11.48	100.0	11.48
11/09/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A B	0.00	13.76	0.00	13.76	100.0	13.76
11/09/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A B	0.00	13.64	0.00	13.64	100.0	13.64
11/09/2017	75597009	ARNOLD, GENE	TEMP GLOBAL SM CO FND - CL A B	0.00	12.31	0.00	12.31	100.0	12.31
11/09/2017	75597009	ARNOLD, GENE	TEMP WORLD FND - CL A B	0.00	11.32	0.00	11.32	100.0	11.32
11/09/2017	75597013	DANTONIO, JUSTIN	FIDELITY ADVISOR EMERGING MARKETS F B	0.00	0.65	0.00	0.65	100.0	0.65
11/09/2017	75597013	DANTONIO, JUSTIN	FIDELITY COMWLTH TR FIDELITY ADV EV B	0.00	0.84	0.00	0.84	100.0	0.84
11/09/2017	75597014	PAMELA J EDWARDS	TTEE BOND-DEBENTURE FUND CLASS A B	0.00	6.44	0.00	6.44	100.0	6.44

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017 75597017	ANDRE, BRUCE	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	10.98	0.00	10.98	100.0	10.98
11/09/2017 75597024	PRESKILL, RICHARD	EATON VANCE HIGH YIELD MUNICIPAL IN B		0.00	10.82	0.00	10.82	100.0	10.82
11/09/2017 75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	8.31	0.00	8.31	100.0	8.31
11/09/2017 75597024	PRESKILL, RICHARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	3.04	0.00	3.04	100.0	3.04
11/09/2017 75597024	PRESKILL, RICHARD	FRK AGE HIGH INC FND - CL A	B	0.00	5.06	0.00	5.06	100.0	5.06
11/09/2017 75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	B	0.00	13.23	0.00	13.23	100.0	13.23
11/09/2017 75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	B	0.00	36.99	0.00	36.99	100.0	36.99
11/09/2017 75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU B		0.00	3.54	0.00	3.54	100.0	3.54
11/09/2017 75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL B B		0.00	0.62	0.00	0.62	100.0	0.62
11/09/2017 75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL A B		0.00	2.40	0.00	2.40	100.0	2.40
11/09/2017 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR LARGE CAP FUND-CL B		0.00	2.58	0.00	2.58	100.0	2.58
11/09/2017 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326	B	0.00	12.13	0.00	12.13	100.0	12.13
11/09/2017 75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	10.36	0.00	10.36	100.0	10.36
11/09/2017 75597028	DUBINSKY, ALEKSANDER	FIDELITY INVESTMENT TRUST ADVISOR J B		0.00	8.29	0.00	8.29	100.0	8.29
11/09/2017 75597028	DUBINSKY, ALEKSANDER	MAINSTAY FDS LARGE CAP GROWTH FD CL B		0.00	7.35	0.00	7.35	100.0	7.35
11/09/2017 75597029	MOSES, GAIL	FRANKLIN GROWTH FUND CLASS A	B	0.00	13.58	0.00	13.58	100.0	13.58
11/09/2017 75597029	MOSES, GAIL	FRANKLIN INDIA GROWTH FD FD CL A	B	0.00	3.38	0.00	3.38	100.0	3.38
11/09/2017 75597029	MOSES, GAIL	GLOBAL FUND A	B	0.00	13.04	0.00	13.04	100.0	13.04
11/09/2017 75597029	MOSES, GAIL	MAIN STREET FUND A	B	0.00	6.96	0.00	6.96	100.0	6.96
11/09/2017 75597029	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	1.77	0.00	1.77	100.0	1.77
11/09/2017 75597029	MOSES, GAIL	TEMP DEV MRKTS TR FND - CL A	B	0.00	2.31	0.00	2.31	100.0	2.31
11/09/2017 75597030	MOSES, GAIL	FUNDAMENTAL INVESTORS-A	B	0.00	17.80	0.00	17.80	100.0	17.80
11/09/2017 75597030	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	0.87	0.00	0.87	100.0	0.87
11/09/2017 75597030	MOSES, GAIL	NEW WORLD FUND-A	B	0.00	11.36	0.00	11.36	100.0	11.36
11/09/2017 75597030	MOSES, GAIL	THE GROWTH FUND OF AMERICA-A	B	0.00	14.38	0.00	14.38	100.0	14.38
11/09/2017 75597030	MOSES, GAIL	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	15.09	0.00	15.09	100.0	15.09
11/09/2017 75597031	MORGAN, STEVEN	FRANKLIN INCOME FUND CLASS A	B	0.00	2.48	0.00	2.48	100.0	2.48
11/09/2017 75597032	SCHIPFER, RYAN	AMCAP FUND-C	B	0.00	8.97	0.00	8.97	100.0	8.97
11/09/2017 75597032	SCHIPFER, RYAN	GLOBAL BALANCED FUND-C	B	0.00	6.49	0.00	6.49	100.0	6.49
11/09/2017 75597032	SCHIPFER, RYAN	INVESTMENT COMPANY OF AMERICA CLASS B		0.00	9.02	0.00	9.02	100.0	9.02
11/09/2017 75597032	SCHIPFER, RYAN	WASHINGTON MUTUAL INVESTORS FUND-C B		0.00	9.05	0.00	9.05	100.0	9.05
11/09/2017 75597034	PERKINSON, DOLORES	MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	3.52	0.00	3.52	100.0	3.52
11/09/2017 75597035	PERKINSON, DOLORES	BLACKROCK FUNDS-LOW DURATION BOND P B		0.00	0.12	0.00	0.12	100.0	0.12
11/09/2017 75597035	PERKINSON, DOLORES	BLACKROCK ENERGY & RESOURCES PORTFO B		0.00	3.71	0.00	3.71	100.0	3.71
11/09/2017 75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC B		0.00	9.57	0.00	9.57	100.0	9.57
11/09/2017 75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	1.59	0.00	1.59	100.0	1.59
11/09/2017 75597036	ROBERTSON, DANIEL	BOND-DEBENTURE FUND CLASS A	B	0.00	2.89	0.00	2.89	100.0	2.89
11/09/2017 75597036	ROBERTSON, DANIEL	LORD ABBETT CALIBRATED DIVIDEND GRO B		0.00	3.08	0.00	3.08	100.0	3.08
11/09/2017 75597036	ROBERTSON, DANIEL	LORD ABBETT ALPHA STRATEGY FUND CL B		0.00	5.75	0.00	5.75	100.0	5.75
11/09/2017 75597036	ROBERTSON, DANIEL	LORD ABBETT INVT TR FLTG RATE FD CL B		0.00	3.13	0.00	3.13	100.0	3.13

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017 75597041	ZIMBALIST, NAOMI	FINANCIAL INDUSTRIES A	B	0.00	10.66	0.00	10.66	100.0	10.66
11/09/2017 75597041	ZIMBALIST, NAOMI	GOLD & SPECIAL MINERALS A	B	0.00	1.14	0.00	1.14	100.0	1.14
11/09/2017 75597041	ZIMBALIST, NAOMI	JOHN HANCOCK FDS III GLOBAL SHAREHO	B	0.00	3.43	0.00	3.43	100.0	3.43
11/09/2017 75597041	ZIMBALIST, NAOMI	OPPENHEIMER GLOBAL VALUE FD SHS CL	B	0.00	4.74	0.00	4.74	100.0	4.74
11/09/2017 75597041	ZIMBALIST, NAOMI	OPPENHEIMER AMT FREE MUNICIPALS FUN	B	0.00	64.56	0.00	64.56	100.0	64.56
11/09/2017 75597041	ZIMBALIST, NAOMI	REGIONAL BANK A	B	0.00	16.98	0.00	16.98	100.0	16.98
11/09/2017 75597042	ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F	B	0.00	4.69	0.00	4.69	100.0	4.69
11/09/2017 75597044	RIZZO, MICHAEL	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	0.57	0.00	0.57	100.0	0.57
11/09/2017 75597044	RIZZO, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.32	0.00	1.32	100.0	1.32
11/09/2017 75597044	RIZZO, MICHAEL	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.78	0.00	0.78	100.0	0.78
11/09/2017 75597044	RIZZO, MICHAEL	NEW PERSPECTIVE FUND INC CL F1	B	0.00	0.64	0.00	0.64	100.0	0.64
11/09/2017 75597044	RIZZO, MICHAEL	NEW WORLD FUND-C	B	0.00	2.16	0.00	2.16	100.0	2.16
11/09/2017 75597044	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	2.85	0.00	2.85	100.0	2.85
11/09/2017 75597044	RIZZO, MICHAEL	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.27	0.00	1.27	100.0	1.27
11/09/2017 75597045	MATEGRANO, ALBERT	MAINSTAY HIGH-YIELD CORPORATE BOND	B	0.00	0.51	0.00	0.51	100.0	0.51
11/09/2017 75597046	MENZ, SUSAN	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	3.60	0.00	3.60	100.0	3.60
11/09/2017 75597046	MENZ, SUSAN	BOND-DEBENTURE FUND CLASS A	B	0.00	3.62	0.00	3.62	100.0	3.62
11/09/2017 75597048	HESSELBERG, SHIRLEY	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	1.42	0.00	1.42	100.0	1.42
11/09/2017 75597048	HESSELBERG, SHIRLEY	GUGGENHEIM FDS TRUST	B	0.00	9.05	0.00	9.05	100.0	9.05
11/09/2017 75597048	HESSELBERG, SHIRLEY	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	17.52	0.00	17.52	100.0	17.52
11/09/2017 75597048	HESSELBERG, SHIRLEY	IVY FDS INC LARGE CAP GROWTH FD CL	B	0.00	18.42	0.00	18.42	100.0	18.42
11/09/2017 75597048	HESSELBERG, SHIRLEY	SENTINEL GROUP FDS INC SENTINEL SMA	B	0.00	5.57	0.00	5.57	100.0	5.57
11/09/2017 75597048	HESSELBERG, SHIRLEY	THORNBURG INVT TR INTL VALUE FD CL	B	0.00	3.59	0.00	3.59	100.0	3.59
11/09/2017 75597048	HESSELBERG, SHIRLEY	TOUCHSTONE STRATEGIC TR SMALL CO FD	B	0.00	1.08	0.00	1.08	100.0	1.08
11/09/2017 75597049	ELLIS, SHARON	INTERMEDIATE BOND FUND AMER CL F1	B	0.00	2.80	0.00	2.80	100.0	2.80
11/09/2017 75597049	ELLIS, SHARON	PUTNAM 746745108	B	0.00	6.66	0.00	6.66	100.0	6.66
11/09/2017 75597049	ELLIS, SHARON	PUTNAM 746802206	B	0.00	2.63	0.00	2.63	100.0	2.63
11/09/2017 75597049	ELLIS, SHARON	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	3.36	0.00	3.36	100.0	3.36
11/09/2017 75597054	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	2.61	0.00	2.61	100.0	2.61
11/09/2017 75597054	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	3.75	0.00	3.75	100.0	3.75
11/09/2017 75597054	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	4.09	0.00	4.09	100.0	4.09
11/09/2017 75597054	HARRIS, REBECCA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	1.48	0.00	1.48	100.0	1.48
11/09/2017 75597054	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	3.82	0.00	3.82	100.0	3.82
11/09/2017 75597054	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.61	0.00	2.61	100.0	2.61
11/09/2017 75597054	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	3.98	0.00	3.98	100.0	3.98
11/09/2017 75597055	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	0.39	0.00	0.39	100.0	0.39
11/09/2017 75597055	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	0.53	0.00	0.53	100.0	0.53
11/09/2017 75597055	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	0.55	0.00	0.55	100.0	0.55
11/09/2017 75597055	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	0.61	0.00	0.61	100.0	0.61
11/09/2017 75597055	HARRIS, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	1.61	0.00	1.61	100.0	1.61

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017	75597055	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	0.40	0.00	0.40	100.0	0.40
11/09/2017	75597055	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	0.54	0.00	0.54	100.0	0.54
11/09/2017	75597059	LUKASIK, CAROLINE	FRANKLIN GROWTH FUND CLASS A B	0.00	16.82	0.00	16.82	100.0	16.82
11/09/2017	75597059	LUKASIK, CAROLINE	TEMP GLOBAL BOND FND - CL A B	0.00	6.35	0.00	6.35	100.0	6.35
11/09/2017	75597060	BROOKS, JOYCE	PIONEER VALUE FUND A B	0.00	1.59	0.00	1.59	100.0	1.59
11/09/2017	75597061	LOIACANO, LAURETTE	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	2.00	0.00	2.00	100.0	2.00
11/09/2017	75597062	RAGHIAN, PARISA	AMERICAN BALANCED FUND-A B	0.00	1.46	0.00	1.46	100.0	1.46
11/09/2017	75597062	RAGHIAN, PARISA	AMERICAN FUNDS 02629W106 B	0.00	1.18	0.00	1.18	100.0	1.18
11/09/2017	75597062	RAGHIAN, PARISA	FUNDAMENTAL INVESTORS-A B	0.00	1.67	0.00	1.67	100.0	1.67
11/09/2017	75597062	RAGHIAN, PARISA	NEW PERSPECTIVE FUND-A B	0.00	1.50	0.00	1.50	100.0	1.50
11/09/2017	75597062	RAGHIAN, PARISA	THE GROWTH FUND OF AMERICA-A B	0.00	1.74	0.00	1.74	100.0	1.74
11/09/2017	75597062	RAGHIAN, PARISA	U.S. GOVERNMENT SECURITIES FUND CLA B	0.00	0.70	0.00	0.70	100.0	0.70
11/09/2017	75597063	PASCUA, MICHAEL	FUNDAMENTAL INVESTORS-C B	0.00	0.52	0.00	0.52	100.0	0.52
11/09/2017	75597063	PASCUA, MICHAEL	NEW PERSPECTIVE FUND-C B	0.00	0.52	0.00	0.52	100.0	0.52
11/09/2017	75597067	REZNICEK, DELWYN	MAIN STREET FUND C B	0.00	77.25	0.00	77.25	100.0	77.25
11/09/2017	75597068	SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C B	0.00	6.69	0.00	6.69	100.0	6.69
11/09/2017	75597068	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C B	0.00	11.85	0.00	11.85	100.0	11.85
11/09/2017	75597068	SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL B	0.00	7.82	0.00	7.82	100.0	7.82
11/09/2017	75597069	SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	7.24	0.00	7.24	100.0	7.24
11/09/2017	75597069	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C B	0.00	3.27	0.00	3.27	100.0	3.27
11/09/2017	75597072	JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	11.78	0.00	11.78	100.0	11.78
11/09/2017	75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A B	0.00	5.88	0.00	5.88	100.0	5.88
11/09/2017	75597074	WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND B	0.00	3.33	0.00	3.33	100.0	3.33
11/09/2017	75597074	WILKOS, STANLEY	GOLDMAN SACHS CAPITAL GROWTH FUND C B	0.00	5.94	0.00	5.94	100.0	5.94
11/09/2017	75597075	VONEY, SUSAN	AMERICAN BALANCED FUND INC CL F1 B	0.00	6.97	0.00	6.97	100.0	6.97
11/09/2017	75597075	VONEY, SUSAN	FRANKLIN CONVERTIBLE SECS FUND CLAS B	0.00	6.99	0.00	6.99	100.0	6.99
11/09/2017	75597075	VONEY, SUSAN	FRANKLIN INCOME FUND CLASS A B	0.00	4.72	0.00	4.72	100.0	4.72
11/09/2017	75597075	VONEY, SUSAN	FRANKLIN TEMPLETON FUNDS CUST FDS U B	0.00	6.13	0.00	6.13	100.0	6.13
11/09/2017	75597075	VONEY, SUSAN	FRK UTIL FND - CL A B	0.00	3.64	0.00	3.64	100.0	3.64
11/09/2017	75597075	VONEY, SUSAN	INVESTMENT CO AMER CL F1 B	0.00	8.09	0.00	8.09	100.0	8.09
11/09/2017	75597075	VONEY, SUSAN	WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	12.88	0.00	12.88	100.0	12.88
11/09/2017	75597076	DAVIS, MARGARET	MID-CAP VALUE FUND CLASS A B	0.00	3.37	0.00	3.37	100.0	3.37
11/09/2017	75597077	DAVIS, MARGARET	AMERICAN MUTUAL FUND-A B	0.00	4.45	0.00	4.45	100.0	4.45
11/09/2017	75597077	DAVIS, MARGARET	FRANKLIN/TEMPLETON FUNDS 88020E100 B	0.00	1.90	0.00	1.90	100.0	1.90
11/09/2017	75597077	DAVIS, MARGARET	PUTNAM 746745108 B	0.00	7.42	0.00	7.42	100.0	7.42
11/09/2017	75597077	DAVIS, MARGARET	THE GROWTH FUND OF AMERICA-A B	0.00	3.50	0.00	3.50	100.0	3.50
11/09/2017	75597078	DAVIS, MARGARET	AMERICAN BALANCED FUND-A B	0.00	6.37	0.00	6.37	100.0	6.37
11/09/2017	75597078	DAVIS, MARGARET	GOLD & SPECIAL MINERALS A B	0.00	0.60	0.00	0.60	100.0	0.60
11/09/2017	75597078	DAVIS, MARGARET	OPPENHEIMER SMALL & MID CAP VALUE F B	0.00	2.10	0.00	2.10	100.0	2.10
11/09/2017	75597078	DAVIS, MARGARET	THE INCOME FUND OF AMERICA-A B	0.00	4.96	0.00	4.96	100.0	4.96

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017 75597079	OWERKO, JOSEPH	AMERICAN MUTUAL FUND-C	B	0.00	1.26	0.00	1.26	100.0	1.26
11/09/2017 75597079	OWERKO, JOSEPH	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	2.16	0.00	2.16	100.0	2.16
11/09/2017 75597082	LAYSTROM, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	4.24	0.00	4.24	100.0	4.24
11/09/2017 75597082	LAYSTROM, JAMES	LORD ABBETT MULTI ASSET GLOBAL OPPO	B	0.00	2.58	0.00	2.58	100.0	2.58
11/09/2017 75597084	MAYBERRY, DWIGHT	AMERICAN BALANCED FUND-A	B	0.00	3.26	0.00	3.26	100.0	3.26
11/09/2017 75597087	FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	53.50	0.00	53.50	100.0	53.50
11/09/2017 75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR FUNDS 315807834	B	0.00	35.02	0.00	35.02	100.0	35.02
11/09/2017 75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR SER VII BIOTECHNOL	B	0.00	38.53	0.00	38.53	100.0	38.53
11/09/2017 75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C	B	0.00	27.00	0.00	27.00	100.0	27.00
11/09/2017 75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU	B	0.00	2.01	0.00	2.01	100.0	2.01
11/09/2017 75597089	BORKHOVA, ALLA	EATON VANCE GREATER INDIA FUND-CL A	B	0.00	1.66	0.00	1.66	100.0	1.66
11/09/2017 75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	2.05	0.00	2.05	100.0	2.05
11/09/2017 75597089	BORKHOVA, ALLA	MAINSTAY FDS LARGE CAP GROWTH FD CL	B	0.00	7.66	0.00	7.66	100.0	7.66
11/09/2017 75597090	MONAGHAN, JOYCE	CAPITAL INCOME A	B	0.00	12.16	0.00	12.16	100.0	12.16
11/09/2017 75597090	MONAGHAN, JOYCE	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	6.46	0.00	6.46	100.0	6.46
11/09/2017 75597090	MONAGHAN, JOYCE	NEW PERSPECTIVE FUND-A	B	0.00	17.75	0.00	17.75	100.0	17.75
11/09/2017 75597090	MONAGHAN, JOYCE	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	19.92	0.00	19.92	100.0	19.92
11/09/2017 75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	B	0.00	8.32	0.00	8.32	100.0	8.32
11/09/2017 75597091	BOL, BEVERLY	MAIN STREET FUND A	B	0.00	8.87	0.00	8.87	100.0	8.87
11/09/2017 75597092	CLARK JR, PHILLIP	FRK STRAT INC FND - CL A	B	0.00	2.42	0.00	2.42	100.0	2.42
11/09/2017 75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA	B	0.00	1.43	0.00	1.43	100.0	1.43
11/09/2017 75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL	B	0.00	1.36	0.00	1.36	100.0	1.36
11/09/2017 75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	1.47	0.00	1.47	100.0	1.47
11/09/2017 75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F	B	0.00	1.85	0.00	1.85	100.0	1.85
11/09/2017 75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA	B	0.00	2.81	0.00	2.81	100.0	2.81
11/09/2017 75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL	B	0.00	2.96	0.00	2.96	100.0	2.96
11/09/2017 75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	3.23	0.00	3.23	100.0	3.23
11/09/2017 75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F	B	0.00	2.47	0.00	2.47	100.0	2.47
11/09/2017 75597095	BRENT, GEORGE	CAPITAL INCOME A	B	0.00	11.17	0.00	11.17	100.0	11.17
11/09/2017 75597097	ZILZ, SUSAN	JPMORGAN INVESTOR GROWTH FD CL A	B	0.00	7.87	0.00	7.87	100.0	7.87
11/09/2017 75597098	SODERBERG, VIRGINIA	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	1.82	0.00	1.82	100.0	1.82
11/09/2017 75597098	SODERBERG, VIRGINIA	TOUCHSTONE INVT TRUST ACTIVE BOND F	B	0.00	6.42	0.00	6.42	100.0	6.42
11/09/2017 75597099	VERENSKI, DANIEL	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	17.73	0.00	17.73	100.0	17.73
11/09/2017 75597100	BRUNO, CAROL	FRANKLIN INCOME FUND CLASS A	B	0.00	8.29	0.00	8.29	100.0	8.29
11/09/2017 75597101	STEINBORN, DEBRA	AMCAP FUND-A	B	0.00	4.71	0.00	4.71	100.0	4.71
11/09/2017 75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A	B	0.00	7.37	0.00	7.37	100.0	7.37
11/09/2017 75597101	STEINBORN, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	3.11	0.00	3.11	100.0	3.11
11/09/2017 75597102	COHEN, MITCHELL	JOHN HANCOCK FDS III STRATEGIC GROW	B	0.00	1.49	0.00	1.49	100.0	1.49
11/09/2017 75597103	LUKASIK, ALEXANDER	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	58.85	0.00	58.85	100.0	58.85
11/09/2017 75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A	B	0.00	15.41	0.00	15.41	100.0	15.41

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017 75597104	WILKOS, JEANETTE	REGIONAL BANK A	B	0.00	1.71	0.00	1.71	100.0	1.71
11/09/2017 75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	37.71	0.00	37.71	100.0	37.71
11/09/2017 75597104	WILKOS, JEANETTE	U.S. GOVERNMENT SECURITIES FUND CL A	B	0.00	8.62	0.00	8.62	100.0	8.62
11/09/2017 75597104	WILKOS, JEANETTE	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	27.58	0.00	27.58	100.0	27.58
11/09/2017 75597105	DAVIS, DONALD	FRK GOLD FUND - CLASS A	B	0.00	1.65	0.00	1.65	100.0	1.65
11/09/2017 75597105	DAVIS, DONALD	FUNDAMENTAL INVESTORS-A	B	0.00	60.71	0.00	60.71	100.0	60.71
11/09/2017 75597105	DAVIS, DONALD	NEW PERSPECTIVE FUND-A	B	0.00	61.89	0.00	61.89	100.0	61.89
11/09/2017 75597105	DAVIS, DONALD	REGIONAL BANK A	B	0.00	27.40	0.00	27.40	100.0	27.40
11/09/2017 75597105	DAVIS, DONALD	STRATEGIC INCOME A	B	0.00	11.21	0.00	11.21	100.0	11.21
11/09/2017 75597105	DAVIS, DONALD	THE NEW ECONOMY FUND-A	B	0.00	58.82	0.00	58.82	100.0	58.82
11/09/2017 75597109	KROL, DANIELLE	WELLS FARGO ENTERPRISE FUND CL A	B	0.00	44.29	0.00	44.29	100.0	44.29
11/09/2017 75597110	KROL, DANIELLE	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	5.43	0.00	5.43	100.0	5.43
11/07/2017 75597111	HOWELL, DONALD	BARON SELECT FDS	B	0.00	31.37	0.00	31.37	100.0	31.37
11/07/2017 75597111	HOWELL, DONALD	BARON SMALL-CAP FUND	B	0.00	36.09	0.00	36.09	100.0	36.09
11/09/2017 75597112	HOWELL, DONALD	FRANKLIN GROWTH FUND CLASS A	B	0.00	10.81	0.00	10.81	100.0	10.81
11/09/2017 75597112	HOWELL, DONALD	FRK VAL FND - CL A	B	0.00	6.10	0.00	6.10	100.0	6.10
11/09/2017 75597112	HOWELL, DONALD	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	27.76	0.00	27.76	100.0	27.76
11/09/2017 75597112	HOWELL, DONALD	MFS EMERGING GROWTH FUND CLASS A	B	0.00	2.43	0.00	2.43	100.0	2.43
11/09/2017 75597112	HOWELL, DONALD	MFS NEW DISCOVERY FUND-A	B	0.00	49.14	0.00	49.14	100.0	49.14
11/09/2017 75597114	SPALDING, MARY	FRANKLIN INCOME FUND CLASS A	B	0.00	40.22	0.00	40.22	100.0	40.22
11/09/2017 75597118	GREENE, M HOWARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	22.70	0.00	22.70	100.0	22.70
11/09/2017 75597118	GREENE, M HOWARD	FRANKLIN/TEMPLETON FUNDS 353612690	B	0.00	7.14	0.00	7.14	100.0	7.14
11/09/2017 75597118	GREENE, M HOWARD	LORD ABBETT SHORT DURATION INCOME F	B	0.00	7.34	0.00	7.34	100.0	7.34
11/09/2017 75597119	GREENE, M HOWARD	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	2.15	0.00	2.15	100.0	2.15
11/09/2017 75597120	KINGERY, DEBORAH	**PRUDENTIAL GLOBAL REAL	B	0.00	0.33	0.00	0.33	100.0	0.33
11/09/2017 75597120	KINGERY, DEBORAH	**PRUDENTIAL JENNISON NATURAL	B	0.00	0.32	0.00	0.32	100.0	0.32
11/09/2017 75597120	KINGERY, DEBORAH	CAPITAL INCOME BUILDER-A	B	0.00	0.37	0.00	0.37	100.0	0.37
11/09/2017 75597120	KINGERY, DEBORAH	FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	1.11	0.00	1.11	100.0	1.11
11/09/2017 75597120	KINGERY, DEBORAH	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	1.09	0.00	1.09	100.0	1.09
11/09/2017 75597120	KINGERY, DEBORAH	LORD ABBETT SECS TR VALUE OPPTYS FD	B	0.00	0.74	0.00	0.74	100.0	0.74
11/09/2017 75597120	KINGERY, DEBORAH	OPPENHEIMER SMALL & MID CAP VALUE F	B	0.00	0.96	0.00	0.96	100.0	0.96
11/09/2017 75597120	KINGERY, DEBORAH	THE GROWTH FUND OF AMERICA-A	B	0.00	0.76	0.00	0.76	100.0	0.76
11/09/2017 75597123	AMBERG, JOHN	ALLIANZ NFJ SMALL CAP VALUE FUND CL	B	0.00	0.51	0.00	0.51	100.0	0.51
11/09/2017 75597123	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	0.00	1.15	0.00	1.15	100.0	1.15
11/09/2017 75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-A	B	0.00	1.17	0.00	1.17	100.0	1.17
11/09/2017 75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	0.00	1.29	0.00	1.29	100.0	1.29
11/09/2017 75597123	AMBERG, JOHN	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	2.53	0.00	2.53	100.0	2.53
11/09/2017 75597123	AMBERG, JOHN	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	1.48	0.00	1.48	100.0	1.48
11/09/2017 75597123	AMBERG, JOHN	JOHN HANCOCK FINANCIAL INDS FUND CL	B	0.00	1.18	0.00	1.18	100.0	1.18
11/09/2017 75597123	AMBERG, JOHN	JOHN HANCOCK FDS II MLTMNGR LFSTYL	B	0.00	2.15	0.00	2.15	100.0	2.15

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017 75597123	AMBERG, JOHN	JOHN HANCOCK FDS II MLTMNGR LFSTYL	B	0.00	4.45	0.00	4.45	100.0	4.45
11/09/2017 75597123	AMBERG, JOHN	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.61	0.00	2.61	100.0	2.61
11/09/2017 75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-A	B	0.00	1.66	0.00	1.66	100.0	1.66
11/09/2017 75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	0.00	1.19	0.00	1.19	100.0	1.19
11/09/2017 75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.17	0.00	1.17	100.0	1.17
11/09/2017 75597123	AMBERG, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	1.31	0.00	1.31	100.0	1.31
11/09/2017 75597123	AMBERG, JOHN	THE NEW ECONOMY FUND-A	B	0.00	0.58	0.00	0.58	100.0	0.58
11/09/2017 75597123	AMBERG, JOHN	VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.75	0.00	0.75	100.0	0.75
11/09/2017 75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.96	0.00	1.96	100.0	1.96
11/09/2017 75597123	AMBERG, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	4.94	0.00	4.94	100.0	4.94
11/09/2017 75597124	BROOKS, JOYCE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	2.70	0.00	2.70	100.0	2.70
11/09/2017 75597129	ELLIS, HARRY	FRK GOLD FUND - CLASS A	B	0.00	1.01	0.00	1.01	100.0	1.01
11/09/2017 75597129	ELLIS, HARRY	JOHN HANCOCK REGIONAL BANK FUND CL	B	0.00	134.19	0.00	134.19	100.0	134.19
11/09/2017 75597129	ELLIS, HARRY	OPPENHEIMER SENIOR FLOATING RATE FU	B	0.00	30.75	0.00	30.75	100.0	30.75
11/09/2017 75597129	ELLIS, HARRY	TEMP DEV MRKTS TR FND - CL A	B	0.00	4.22	0.00	4.22	100.0	4.22
11/09/2017 75597131	MICHAEL RIZZO TTEE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	14.72	0.00	14.72	100.0	14.72
11/09/2017 75597131	MICHAEL RIZZO TTEE	FUNDAMENTAL INVESTORS-A	B	0.00	19.01	0.00	19.01	100.0	19.01
11/09/2017 75597131	MICHAEL RIZZO TTEE	NEW PERSPECTIVE FUND-A	B	0.00	30.12	0.00	30.12	100.0	30.12
11/09/2017 75597131	MICHAEL RIZZO TTEE	THE GROWTH FUND OF AMERICA-A	B	0.00	43.82	0.00	43.82	100.0	43.82
11/09/2017 75597131	MICHAEL RIZZO TTEE	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	12.78	0.00	12.78	100.0	12.78
11/09/2017 75597132	SHAVITZ, EVELYN	FRANKLIN TAX FREE TR FEDERAL INTER	B	0.00	90.12	0.00	90.12	100.0	90.12
11/09/2017 75597135	JOHN W STEINBORN TTEE	AMCAP FUND-A	B	0.00	7.95	0.00	7.95	100.0	7.95
11/09/2017 75597135	JOHN W STEINBORN TTEE	AMERICAN BALANCED FUND-A	B	0.00	17.41	0.00	17.41	100.0	17.41
11/09/2017 75597135	JOHN W STEINBORN TTEE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	10.54	0.00	10.54	100.0	10.54
11/09/2017 75597135	JOHN W STEINBORN TTEE	FINANCIAL INDUSTRIES A	B	0.00	6.00	0.00	6.00	100.0	6.00
11/09/2017 75597135	JOHN W STEINBORN TTEE	JOHN HANCOCK INVT TR DISCIPLINED VA	B	0.00	6.83	0.00	6.83	100.0	6.83
11/09/2017 75597135	JOHN W STEINBORN TTEE	LARGE CAP EQUITY A	B	0.00	21.83	0.00	21.83	100.0	21.83
11/09/2017 75597135	JOHN W STEINBORN TTEE	SMALLCAP WORLD FUND-A	B	0.00	21.33	0.00	21.33	100.0	21.33
11/09/2017 75597135	JOHN W STEINBORN TTEE	THE INCOME FUND OF AMERICA-A	B	0.00	6.62	0.00	6.62	100.0	6.62
11/09/2017 75597136	JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	23.76	0.00	23.76	100.0	23.76
11/09/2017 75597136	JAMES WEBSTER PLAN	BOND-DEBENTURE FUND CLASS A	B	0.00	2.90	0.00	2.90	100.0	2.90
11/09/2017 75597136	JAMES WEBSTER PLAN	LORD ABBETT ALPHA STRATEGY FUND CL	B	0.00	22.72	0.00	22.72	100.0	22.72
11/09/2017 75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	B	0.00	28.52	0.00	28.52	100.0	28.52
11/09/2017 75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	28.30	0.00	28.30	100.0	28.30
11/09/2017 75597138	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	0.50	0.00	0.50	100.0	0.50
11/09/2017 75597138	WEINER, PATRICIA	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.20	0.00	0.20	100.0	0.20
11/09/2017 75597138	WEINER, PATRICIA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.51	0.00	0.51	100.0	0.51
11/09/2017 75597139	WEINER, PATRICIA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	8.52	0.00	8.52	100.0	8.52
11/09/2017 75597139	WEINER, PATRICIA	EMERGING GROWTH FUND A	B	0.00	2.68	0.00	2.68	100.0	2.68
11/09/2017 75597139	WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	3.84	0.00	3.84	100.0	3.84



COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
11/09/2017	75597139	WEINER, PATRICIA		LORD ABBETT SHORT DURATION INCOME F B	0.00	1.31	0.00	1.31	100.0	1.31	
11/09/2017	75597139	WEINER, PATRICIA		NEW WORLD FUND-A	B	0.00	1.06	0.00	1.06	100.0	1.06
11/09/2017	75597139	WEINER, PATRICIA		THE HARTFORD DIVIDEND & GROWTH FUND B	0.00	7.75	0.00	7.75	100.0	7.75	
11/09/2017	75597139	WEINER, PATRICIA		VIRTUS SMALL CAP SUSTAINABLE GROWTH B	0.00	1.49	0.00	1.49	100.0	1.49	
11/09/2017	75597139	WEINER, PATRICIA		WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	2.65	0.00	2.65	100.0	2.65	
11/09/2017	75597141	ADLER, JULIE		LIFESTYLE GROWTH PORTFOLIO A	B	0.00	1.15	0.00	1.15	100.0	1.15
11/09/2017	75597141	ADLER, JULIE		LIFESTYLE BALANCED PORTFOLIO A	B	0.00	1.09	0.00	1.09	100.0	1.09
11/09/2017	75597142	ADLER, JULIE		AMERICAN BALANCED FUND-C	B	0.00	1.15	0.00	1.15	100.0	1.15
11/09/2017	75597142	ADLER, JULIE		AMERICAN MUTUAL FUND-C	B	0.00	3.64	0.00	3.64	100.0	3.64
11/09/2017	75597142	ADLER, JULIE		FUNDAMENTAL INVESTORS-C	B	0.00	5.16	0.00	5.16	100.0	5.16
11/09/2017	75597142	ADLER, JULIE		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	5.03	0.00	5.03	100.0	5.03	
11/09/2017	75597142	ADLER, JULIE		NEW PERSPECTIVE FUND-C	B	0.00	3.58	0.00	3.58	100.0	3.58
11/09/2017	75597142	ADLER, JULIE		WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	5.01	0.00	5.01	100.0	5.01
11/09/2017	75597143	ADLER, JULIE		FUNDAMENTAL INVESTORS-A	B	0.00	0.67	0.00	0.67	100.0	0.67
11/09/2017	75597143	ADLER, JULIE		NEW PERSPECTIVE FUND-A	B	0.00	0.72	0.00	0.72	100.0	0.72
11/09/2017	75597143	ADLER, JULIE		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.63	0.00	0.63	100.0	0.63
11/09/2017	75597145	WEBSTER, JAMES		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	7.34	0.00	7.34	100.0	7.34	
11/09/2017	75597148	PARRY, CHARLENE		FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	18.47	0.00	18.47	100.0	18.47	
11/09/2017	75597149	PARRY, CHARLENE		FRANKLIN GROWTH FUND CLASS A	B	0.00	10.45	0.00	10.45	100.0	10.45
11/09/2017	75597151	BLITZ, EDWIN		GOLD & SPECIAL MINERALS A	B	0.00	2.51	0.00	2.51	100.0	2.51
11/09/2017	75597152	LEE, MICHELLE		CAPITAL INCOME BUILDER-A	B	0.00	3.37	0.00	3.37	100.0	3.37
11/09/2017	75597152	LEE, MICHELLE		CAPITAL WORLD BOND FUND-A	B	0.00	1.00	0.00	1.00	100.0	1.00
11/09/2017	75597152	LEE, MICHELLE		FUNDAMENTAL INVESTORS-A	B	0.00	4.36	0.00	4.36	100.0	4.36
11/09/2017	75597152	LEE, MICHELLE		NEW WORLD FUND-A	B	0.00	3.61	0.00	3.61	100.0	3.61
11/09/2017	75597152	LEE, MICHELLE		SMALLCAP WORLD FUND-A	B	0.00	4.98	0.00	4.98	100.0	4.98
11/09/2017	75597152	LEE, MICHELLE		THE GROWTH FUND OF AMERICA-A	B	0.00	2.07	0.00	2.07	100.0	2.07
11/09/2017	75597153	LEE, MICHELLE		FIDELITY ADVISOR FUNDS 315910661	B	0.00	1.32	0.00	1.32	100.0	1.32
11/24/2017	75597153	LEE, MICHELLE		VICTORY PORTF	B	0.00	1.17	0.00	1.17	100.0	1.17
11/09/2017	75597160	MILES, GARY		BOND-DEBENTURE FUND CLASS A	B	0.00	2.46	0.00	2.46	100.0	2.46
11/09/2017	75597161	STOTTS, ALLISON		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	10.32	0.00	10.32	100.0	10.32	
11/09/2017	75597161	STOTTS, ALLISON		BOND-DEBENTURE FUND CLASS A	B	0.00	4.08	0.00	4.08	100.0	4.08
11/09/2017	75597161	STOTTS, ALLISON		LORD ABBETT EQUITY TR CALIBRTD MID B	0.00	5.20	0.00	5.20	100.0	5.20	
11/09/2017	75597167	SCHNEPF, CONSTANCE		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	14.16	0.00	14.16	100.0	14.16	
11/09/2017	75597167	SCHNEPF, CONSTANCE		BOND-DEBENTURE FUND CLASS A	B	0.00	6.46	0.00	6.46	100.0	6.46
11/09/2017	75597170	BROOKS, JOYCE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.60	0.00	2.60	100.0	2.60	
11/09/2017	75597173	NELSON, CAROLE		MAINSTAY LARGE CAP GROWTH FUND CLAS B	0.00	6.24	0.00	6.24	100.0	6.24	
11/09/2017	75597175	DEBORAH A IRANI TTEE		FINANCIAL INDUSTRIES A	B	0.00	10.50	0.00	10.50	100.0	10.50
11/09/2017	75597175	DEBORAH A IRANI TTEE		FRANKLIN GROWTH FUND CLASS A	B	0.00	83.45	0.00	83.45	100.0	83.45
11/09/2017	75597175	DEBORAH A IRANI TTEE		FRANKLIN RISING DIVIDENDS FD CLASS B	0.00	25.04	0.00	25.04	100.0	25.04	
11/09/2017	75597175	DEBORAH A IRANI TTEE		FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	16.77	0.00	16.77	100.0	16.77	

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/09/2017	75597175	DEBORAH A IRANI TTEE	FRK UTIL FND - CL A	B	0.00	15.00	0.00	15.00	100.0	15.00
11/09/2017	75597176	TARNOW, BRENT	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.21	0.00	1.21	100.0	1.21
11/09/2017	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A	B	0.00	0.61	0.00	0.61	100.0	0.61
11/09/2017	75597181	BOL, BEVERLY	HANCOCK JOHN CAP SER GRTR CHINA OPP	B	0.00	6.77	0.00	6.77	100.0	6.77
11/09/2017	75597185	BOL, BEVERLY	PIONEER FUND A	B	0.00	4.66	0.00	4.66	100.0	4.66
11/09/2017	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	B	0.00	64.04	0.00	64.04	100.0	64.04
11/09/2017	75597193	RIZZO, MICHAEL	MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	23.79	0.00	23.79	100.0	23.79
11/09/2017	75597193	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	9.13	0.00	9.13	100.0	9.13
11/09/2017	75597194	EDWIN C BLITZ TTEE	ALLIANCEBERNSTEIN GLO GOVT INC TRST	B	0.00	13.37	0.00	13.37	100.0	13.37
11/09/2017	75597194	EDWIN C BLITZ TTEE	ALLIANCEBERNSTEIN GLO GOVT INC TRST	B	0.00	27.07	0.00	27.07	100.0	27.07
11/09/2017	75597194	EDWIN C BLITZ TTEE	GOLD & SPECIAL MINERALS A	B	0.00	1.79	0.00	1.79	100.0	1.79
11/09/2017	75597194	EDWIN C BLITZ TTEE	LORD ABBETT INCOME FUND CL C	B	0.00	29.24	0.00	29.24	100.0	29.24
11/09/2017	75597194	EDWIN C BLITZ TTEE	LORD ABBETT SHORT DURATION INCOME F	B	0.00	69.50	0.00	69.50	100.0	69.50
11/09/2017	75597194	EDWIN C BLITZ TTEE	LORD ABBETT BOND-DEBENTURE FUND INC	B	0.00	63.44	0.00	63.44	100.0	63.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$3,282.54</u>	<u>\$0.00</u>	<u>\$3,282.54</u>		<u>\$3,282.54</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$338,986.84</u>	<u>\$8,430.87</u>	<u>\$240.00</u>	<u>\$8,190.87</u>		<u>\$8,190.87</u>

ADJUSTMENTS

11/30/2017		OTHER: OTHER		0.00	0.00	0.00	0.00			-1,800.00
11/30/2017		OTHER: OTHER-WEALTH MANAGEMENT STAT		0.00	0.00	0.00	0.00			-315.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-2,115.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,190.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,115.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.54
SIPC Assessment	\$-21.08

<b>Check Amount</b>	<b>\$6,044.25</b>
<b>Year-to-date Earnings</b>	<b>\$35,478.22</b>