



Concorde Investment Services, LLC

02/19/2018

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COMMISSION STATEMENT

February 15, 2018

JAMES CRAWFORD
3825 Cadet Court

BROKER #: C138 Terminated 07/01/2016
BRANCH: KEY FINANCIAL PARTNE

Penn Laird, VA 22846

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------|---------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 01/31/2018 | ROBERTS, KERMIT | JOHN HANCOCK VENTURE 2006 | 9,232.84 | 1.92 | 0.00 | 1.92 | 0.0 | 0.00 |
| 01/31/2018 | ROBERTS, ELLEN | JOHN HANCOCK VENTURE 2006 | 7,347.23 | 1.53 | 0.00 | 1.53 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$16,580.07 | \$3.45 | \$0.00 | \$3.45 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/22/2018 | CARRIKER, AARON | FRANKLIN MUTUAL BEACON C | 0.00 | 3.21 | 0.00 | 3.21 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$3.21 | \$0.00 | \$3.21 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$16,580.07 | \$6.66 | \$0.00 | \$6.66 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|---------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$13.14 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed