

# COMMISSION STATEMENT

June 30, 2018

CYNTHIA MICHAEL-D181

BROKER #: D181

BRANCH: DMR

, TX

## COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
06/11/2018	368440829	CRIST MARITAL	S	AVERY DENNISON CORP	S	-21,294.27	300.00	15.00	285.00	80.0	228.00
06/11/2018	368440829	CRIST MARITAL	S	WALGREENS BOOTS ALLIANCE INC	B	19,516.15	275.00	15.00	260.00	80.0	208.00
* STOCKS SUBTOTAL *					\$-1,778.12	\$575.00	\$30.00	\$545.00			\$436.00
PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT											
06/19/2018	368436142	STELTER, MERVYN	CD	DMB COMMUNITY BANK CERTIFICATE	B	100,002.45	42.50	20.00	22.50	80.0	18.00
06/19/2018	368436142	STELTER, MERVYN	CD	NEW YORK COMMUNITY BANK	B	105,002.45	66.94	20.00	46.94	80.0	37.55
* CERTIFICATES OF DEPOSIT SUBTOTAL *					\$205,004.90	\$109.44	\$40.00	\$69.44			\$55.55
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMCAP FUND-A	B	0.00	3.96	0.00	3.96	80.0	3.17
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	25.89	0.00	25.89	80.0	20.71
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	41.96	0.00	41.96	80.0	33.57
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.80	0.00	7.80	80.0	6.24
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	16.18	0.00	16.18	80.0	12.94
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	35.68	0.00	35.68	80.0	28.54
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.38	0.00	5.38	80.0	4.30
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION FD	B	0.00	14.38	0.00	14.38	80.0	11.50
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	4.45	0.00	4.45	80.0	3.56
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	BLACKROCK GLOBAL ALLOCATION	B	0.00	15.37	0.00	15.37	80.0	12.30
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.07	0.00	4.07	80.0	3.26
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	4.96	0.00	4.96	80.0	3.97
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	5.03	0.00	5.03	80.0	4.02
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.54	0.00	0.54	80.0	0.43
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	DEUTSCHE FLOATING RATE FD C	B	0.00	19.94	0.00	19.94	80.0	15.95
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	DEUTSCHE FLOATING RATE FD C	B	0.00	21.93	0.00	21.93	80.0	17.54
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	8.48	0.00	8.48	80.0	6.78
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	11.62	0.00	11.62	80.0	9.30
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	17.75	0.00	17.75	80.0	14.20
06/21/2018	mfc495845	MICHAEL CYNTHIA	MFT	FRANKLIN INCOME FUND CLASS C	B	0.00	23.27	0.00	23.27	80.0	18.62

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06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	53.14	0.00	53.14	80.0	42.51
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	66.67	0.00	66.67	80.0	53.34
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	70.54	0.00	70.54	80.0	56.43
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.90	0.00	7.90	80.0	6.32
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	17.05	0.00	17.05	80.0	13.64
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.08	0.00	4.08	80.0	3.26
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.48	0.00	4.48	80.0	3.58
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	16.30	0.00	16.30	80.0	13.04
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	24.66	0.00	24.66	80.0	19.73
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	51.45	0.00	51.45	80.0	41.16
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	4.10	0.00	4.10	80.0	3.28
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	20.04	0.00	20.04	80.0	16.03
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	46.29	0.00	46.29	80.0	37.03
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.16	0.00	2.16	80.0	1.73
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	0.62	0.00	0.62	80.0	0.50
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	5.03	0.00	5.03	80.0	4.02
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.71	0.00	3.71	80.0	2.97
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	15.05	0.00	15.05	80.0	12.04
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.08	0.00	0.08	80.0	0.06
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.26	0.00	9.26	80.0	7.41
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.15	0.00	13.15	80.0	10.52
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.11	0.00	16.11	80.0	12.89
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	9.02	0.00	9.02	80.0	7.22
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	92.58	0.00	92.58	80.0	74.06
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.83	0.00	3.83	80.0	3.06
06/21/2018	mfc495845 MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD	B	0.00	7.45	0.00	7.45	80.0	5.96
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$853.50</b>	<b>\$0.00</b>	<b>\$853.50</b>		<b>\$682.78</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$203,226.78</b>	<b>\$1,537.94</b>	<b>\$70.00</b>	<b>\$1,467.94</b>		<b>\$1,174.33</b>

ADJUSTMENTS TRANSACTIONS**BROKER: #0**

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: CUSTOMER WRITE OFF 461832225	0.00	0.00	0.00	0.00	-11.55
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
06/30/2018	: QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
<b>* SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-196.92</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-196.92</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,174.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-196.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$977.41</b>
<b>Year-to-date Commissions</b>	<b>\$9,198.09</b>