

**COMMISSION STATEMENT****January 15, 2018**

ROY PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/10/2018	ABRAHAM, BLESSY	SEI	13,038.42	32.60	0.00	32.60	75.0	24.45
01/10/2018	CIPRIANO, CARA	SEI	17,407.07	43.52	0.00	43.52	75.0	32.64
01/10/2018	DAVIS, BRIDGET	SEI	108,682.76	271.71	0.00	271.71	75.0	203.78
01/10/2018	DIDERIKSEN, CHRISTIAN	SEI	1,021.96	2.56	0.00	2.56	75.0	1.92
01/10/2018	O'HARA, LORRAINE	SEI	21,877.42	54.69	0.00	54.69	75.0	41.02
01/10/2018	KUSHNER, CHRISTOPHER	SEI	2,338.96	5.85	0.00	5.85	75.0	4.39
01/10/2018	LAURO, MICHAEL	SEI	3,065.83	7.67	0.00	7.67	75.0	5.75
01/10/2018	MASTROSERIO, MICHELLE	SEI	65,652.64	164.13	0.00	164.13	75.0	123.10
01/10/2018	O'HARA, LORRAINE	SEI	130,113.34	325.28	0.00	325.28	75.0	243.96
01/10/2018	O'HARA, LORRAINE	SEI	1,897.53	4.75	0.00	4.75	75.0	3.56
01/10/2018	LOCASTRO, DONNA	SEI	6,236.49	4.58	0.00	4.58	75.0	3.44
01/10/2018	RASMUSSEN JR, HARRY	SEI	4,387.76	8.11	0.00	8.11	75.0	6.08
01/10/2018	RASMUSSEN, MELANIE	SEI	16,517.75	30.98	0.00	30.98	75.0	23.24
* RIA SUBTOTAL *			\$392,237.93	\$956.43	\$0.00	\$956.43		\$717.33
*** DIRECT TRANSACTIONS TOTAL ***			\$392,237.93	\$956.43	\$0.00	\$956.43		\$717.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$717.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$717.33
Year-to-date Gross	\$956.43
Year-to-date Earnings	\$717.33