

**COMMISSION STATEMENT****March 15, 2018**JAMES, M127 MAHER
3082 Quail Ridge CircleBROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/05/2018	HATHAWAY, RICHARD	ISHARES CHINA LARGE CAP ETF	54,692.47	0.00	22.00	-22.00	90.0	-19.80
02/05/2018	HATHAWAY, RICHARD	ISHARES TR	46,230.81	0.00	22.00	-22.00	90.0	-19.80
02/05/2018	HATHAWAY, RICHARD	VANGUARD INDEX FDS VANGUARD SMALL-C	47,641.25	0.00	22.00	-22.00	90.0	-19.80
* STOCKS SUBTOTAL *			\$148,564.53	\$0.00	\$66.00	\$-66.00		\$-59.40
*** DIRECT TRANSACTIONS TOTAL ***			\$148,564.53	\$0.00	\$66.00	\$-66.00		\$-59.40

BROKER COMMISSION TOTALS

Broker Transactions	\$-59.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-59.40
Year-to-date Gross	\$1,101.34
Year-to-date Earnings	\$931.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed