



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
July 15, 2017

07/12/2017  
Page No. 1

1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: 1AF  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^06/19/2017	SULLIVAN, MICHAEL	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	-500.00	0.00	-500.00	100.0	0.00
^06/22/2017	SULLIVAN, MICHAEL	TEI DIVERSIFIED INC & OPP FD 3	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$3,000.00	\$0.00	\$3,000.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^06/08/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.84	0.00	7.84	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.84	\$0.00	\$7.84		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$100,000.00	\$3,007.84	\$0.00	\$3,007.84		\$0.00
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>