



COMMISSION STATEMENT

February 05, 2018

GARRETT MORETZ
181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/30/2018	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C	736.73	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 128 Units @5.7400						
01/31/2018	***-**-496I - MERLO, JANE	IVY HIGH INCOME CL A	2,419.40	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012325	SELL 317 Units @7.6300						
01/30/2018	***-**-972I - GRIFFIN, OTIS	JANUS HENDERSON HIGH YIELD A-NFS	670.65	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR011960	SELL 79 Units @8.4600						
* MUTUAL FUNDS SUBTOTAL *			\$3,826.78	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
01/31/2018	***-**-496I - MERLO, JANE	VANGUARD BD INDEX FD INC TOTAL BD M	1,372.07	52.83	15.34	37.49	82.0	30.74
	ACCOUNT #: LSR012325	SELL 17 Units @80.7100						
01/31/2018	***-**-496I - MERLO, JANE	VANGUARD INDEX FDS VANGUARD LARGE C	16,510.00	68.85	17.54	51.31	82.0	42.07
	ACCOUNT #: LSR012325	SELL 127 Units @130.0000						
01/31/2018	***-**-496I - MERLO, JANE	VANGUARD INTL EQUITY INDEX FD INC F	2,323.60	54.00	15.80	38.20	82.0	31.32
	ACCOUNT #: LSR012325	SELL 40 Units @58.0900						
* STOCKS SUBTOTAL *			\$20,205.67	\$175.68	\$48.68	\$127.00		\$104.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2018	***-**-2039 - GRINDLE, ROBERT	JACKSON NATIONAL PERSPECTIVE II	0.00	32.56	0.00	32.56	82.0	26.70
	ACCOUNT #: 1007032060	BUY 0 Units @0.0000						
01/31/2018	***-**-9972 - GRINDLE, ANN	JACKSON NATIONAL PERSPECTIVE II	0.00	84.80	0.00	84.80	82.0	69.54
	ACCOUNT #: 1007032079	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$117.36	\$0.00	\$117.36		\$96.24
*** BROKER TRANSACTIONS TOTAL ***			\$24,032.45	\$293.04	\$66.68	\$226.36		\$182.37



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$182.37
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$2.30
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$184.67
						Year-to-date Earnings		\$182.37