

**COMMISSION STATEMENT****April 30, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^04/16/2018	ADAMS, TERRY	CLS INVESTMENTS	11,451.81	35.69	0.00	35.69	90.0	0.00
^04/16/2018	BURLESON, CATHERINE	CLS INVESTMENTS	72,187.68	179.97	0.00	179.97	90.0	0.00
^04/16/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,796.65	16.95	0.00	16.95	90.0	0.00
^04/16/2018	HAMMAN, RONALD	CLS INVESTMENTS	40,155.39	100.11	0.00	100.11	90.0	0.00
^04/16/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	43,295.99	107.94	0.00	107.94	90.0	0.00
^04/25/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,312.84	83.06	0.00	83.06	90.0	0.00
^04/25/2018	GATER, CAREY	ITS MANAGEMENT	46,194.25	86.38	0.00	86.38	90.0	0.00
^04/12/2018	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	2,051.94	0.00	2,051.94	90.0	0.00
^04/16/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	373.28	0.00	373.28	90.0	0.00
^04/16/2018	EISERLE, DAVID	TD AMERITRADE	0.00	673.94	0.00	673.94	90.0	0.00
^04/16/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	72.19	0.00	72.19	90.0	0.00
^04/16/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	120.70	0.00	120.70	90.0	0.00
^04/16/2018	JACOBS, BARRY	TD AMERITRADE	0.00	231.45	0.00	231.45	90.0	0.00
^04/16/2018	KLINE, THOMAS	TD AMERITRADE	0.00	104.60	0.00	104.60	90.0	0.00
^04/16/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	348.11	0.00	348.11	90.0	0.00
* RIA SUBTOTAL *			\$253,394.61	\$4,586.31	\$0.00	\$4,586.31		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$253,394.61	\$4,586.31	\$0.00	\$4,586.31		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/16/2018	ADAMS, TERRY	CLS INVESTMENTS	11,451.81	10.71	0.00	10.71	90.0	9.64
04/16/2018	BURLESON, CATHERINE	CLS INVESTMENTS	72,187.68	53.99	0.00	53.99	90.0	48.59
04/16/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,796.65	5.09	0.00	5.09	90.0	4.58
04/16/2018	HAMMAN, RONALD	CLS INVESTMENTS	40,155.39	30.03	0.00	30.03	90.0	27.03
04/16/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	43,295.99	32.38	0.00	32.38	90.0	29.14
04/25/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,312.84	24.92	0.00	24.92	90.0	22.43
04/25/2018	GATER, CAREY	ITS MANAGEMENT	46,194.25	25.91	0.00	25.91	90.0	23.32
04/12/2018	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	615.58	0.00	615.58	90.0	554.02
04/16/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	111.98	0.00	111.98	90.0	100.78
04/16/2018	EISERLE, DAVID	TD AMERITRADE	0.00	202.18	0.00	202.18	90.0	181.96
04/16/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	21.66	0.00	21.66	90.0	19.49
04/16/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	36.21	0.00	36.21	90.0	32.59
04/16/2018	JACOBS, BARRY	TD AMERITRADE	0.00	69.44	0.00	69.44	90.0	62.50
04/16/2018	KLINE, THOMAS	TD AMERITRADE	0.00	31.38	0.00	31.38	90.0	28.24
04/16/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	104.43	0.00	104.43	90.0	93.99
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$253,394.61	\$1,375.89	\$0.00	\$1,375.89		\$1,238.30
*** SPLIT TRANSACTIONS TOTAL ***			\$253,394.61	\$1,375.89	\$0.00	\$1,375.89		\$1,238.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,238.30
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,238.30
Year-to-date Gross	\$8,226.99
Year-to-date Earnings	\$7,404.34