



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/16/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @35.2400						
07/20/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @35.1800						
07/10/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	179.16	1.79	0.00	1.79	80.0	1.43
	ACCOUNT #: 04000939769	BUY 12 Units @15.5500						
07/09/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.5200						
07/09/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.5200						
07/09/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.5200						
07/09/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.5200						
07/09/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.57	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 04000627501	BUY 1 Units @28.8200						
07/09/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.57	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 04000627501	BUY 1 Units @28.8200						
07/10/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0100						
07/10/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0100						
07/10/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0100						
07/10/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0100						
07/10/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0100						
07/10/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0100						
07/10/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.0100						



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/10/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.0100						
07/11/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	25.46	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 04000812765	BUY 1 Units @29.1500						
07/13/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0300						
07/13/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0300						
07/13/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0300						
07/13/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0300						
07/13/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0300						
07/13/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0300						
07/13/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.0300						
07/13/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.0300						
07/16/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.5600						
07/16/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.5600						
07/16/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.5600						
07/16/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.5600						
07/18/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	19.57	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 04000812765	BUY 1 Units @29.3200						
07/20/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0400						



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/20/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0400						
07/20/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0400						
07/20/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0400						
07/20/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0400						
07/20/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0400						
07/20/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.0400						
07/20/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.0400						
07/10/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	249.28	2.49	0.00	2.49	80.0	1.99
	ACCOUNT #: 04000939776	BUY 9 Units @27.4100						
07/16/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @27.3800						
07/16/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	38.24	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 04001030342	BUY 1 Units @27.3800						
07/20/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @27.4400						
07/20/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	25.18	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @27.4400						
07/09/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1800						
07/09/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.1800						
07/16/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1800						
07/16/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.41	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 00087513340	BUY 2 Units @13.1800						



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/10/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	1,000.00	50.00	0.00	50.00	80.0	40.00
	ACCOUNT #: 04000939783	BUY 63 Units @15.7500						
07/11/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.6400						
07/16/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	31.94	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 04001030256	BUY 2 Units @15.7100						
07/16/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	31.94	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 04001030256	BUY 2 Units @15.7100						
07/18/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.7300						
07/20/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.79	1.64	0.00	1.64	80.0	1.31
	ACCOUNT #: 04001030256	BUY 2 Units @15.7200						
07/20/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	32.79	1.64	0.00	1.64	80.0	1.31
	ACCOUNT #: 04001030256	BUY 2 Units @15.7200						
07/10/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000939762	BUY 8 Units @14.5700						
07/10/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	197.64	1.98	0.00	1.98	80.0	1.58
	ACCOUNT #: 04000939806	BUY 14 Units @14.5700						
07/10/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	176.36	1.76	0.00	1.76	80.0	1.41
	ACCOUNT #: 04000939792	BUY 12 Units @14.5700						
07/09/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9500						
07/09/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9500						
07/11/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.2200						
07/16/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9500						
07/16/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9500						
07/18/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 22 Units @16.3600						



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/09/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	33.75	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 04000627489	BUY 2 Units @16.5500						
07/09/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.5500						
07/10/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	206.33	10.32	0.00	10.32	80.0	8.26
	ACCOUNT #: 04000939801	BUY 12 Units @16.8100						
07/11/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.6700						
07/11/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.6700						
07/18/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.8100						
07/18/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.8100						
07/09/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.3200						
07/09/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @16.3200						
07/09/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.3200						
07/09/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.3200						
07/16/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.3200						
07/16/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.3200						
07/16/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.3200						
07/16/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @16.3200						
07/10/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000827629	BUY 6 Units @13.1300						



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/10/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1300	75.00	0.90	0.00	0.90	80.0	0.72
07/13/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1200	75.00	0.90	0.00	0.90	80.0	0.72
07/13/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1200	75.00	0.90	0.00	0.90	80.0	0.72
07/20/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1100	75.00	0.90	0.00	0.90	80.0	0.72
07/20/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1100	75.00	0.90	0.00	0.90	80.0	0.72
07/09/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.4200	25.00	0.25	0.00	0.25	80.0	0.20
07/09/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.4200	240.50	2.41	0.00	2.41	80.0	1.93
07/09/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.4200	25.00	0.25	0.00	0.25	80.0	0.20
07/09/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.4200	45.00	0.45	0.00	0.45	80.0	0.36
07/09/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.4200	35.32	0.35	0.00	0.35	80.0	0.28
07/09/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.4200	66.96	0.67	0.00	0.67	80.0	0.54
07/16/2018	***-**-985P - PAPST, MEREDITH ACCOUNT #: 00067807144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.3900	125.00	3.44	0.00	3.44	80.0	2.75
07/20/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.3000	100.00	2.75	0.00	2.75	80.0	2.20
07/10/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5500	30.00	0.36	0.00	0.36	80.0	0.29
07/10/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5500	30.00	0.36	0.00	0.36	80.0	0.29
07/13/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5800	30.00	0.36	0.00	0.36	80.0	0.29



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/13/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5800						
07/20/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5500						
07/20/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5500						
07/20/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @13.8300						
07/16/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 3 Units @47.1800						
07/20/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @47.2800						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$12,059.35</b>	<b>\$315.14</b>	<b>\$0.00</b>	<b>\$315.14</b>		<b>\$252.06</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/23/2018	***-**-796O - CARVAJAL, JUDITH AF	MODERATE GROWTH AND INCOME-A	0.00	2.01	0.00	2.01	80.0	1.61
	ACCOUNT #: LSR011337	BUY 1 Units @0.0000						
07/23/2018	***-**-90IN - GAW, PATRICIA	AIM CHARTER FUND - CLASS A	0.00	3.13	0.00	3.13	80.0	2.50
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
07/23/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	0.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: LSR010802	BUY 1 Units @0.0000						
07/23/2018	***-**-404X - SCHROETER, LAURA	AMERICAN 2025 TARGET DATE RETIREMNT	0.00	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: LSR010799	BUY 1 Units @0.0000						
07/23/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.48	0.00	1.48	80.0	1.18
	ACCOUNT #: LSR010789	BUY 1 Units @0.0000						
07/23/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	29.71	0.00	29.71	80.0	23.77
	ACCOUNT #: LSR010800	BUY 1 Units @0.0000						
07/23/2018	***-**-032X - MONACO, SARAH	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	24.68	0.00	24.68	80.0	19.74
	ACCOUNT #: LSR010801	BUY 1 Units @0.0000						
07/23/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.46	0.00	1.46	80.0	1.17
	ACCOUNT #: LSR011310	BUY 1 Units @0.0000						
07/23/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	37.61	0.00	37.61	80.0	30.09
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## July 23, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	24.45	0.00	24.45	80.0	19.56
07/23/2018	***-**-558X - FAMIGLIETTI, ACCOUNT #: LSR010788	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	2.73	0.00	2.73	80.0	2.18
07/23/2018	***-**-990I - GAW, PATRICIA ACCOUNT #: LSR010840	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	16.53	0.00	16.53	80.0	13.22
07/23/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	14.49	0.00	14.49	80.0	11.59
07/23/2018	***-**-943K - MECHANICAL ACCOUNT #: 84336	FIDELITY ADVISOR 401K TRAILS BUY 0 Units @0.0000	0.00	6,556.55	0.00	6,556.55	80.0	5,245.24
07/23/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	INVESCO AMERICAN FRANCHISE FUND -CL BUY 1 Units @0.0000	0.00	8.89	0.00	8.89	80.0	7.11
07/23/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	80.0	2.55
07/23/2018	***-**-187I - HENEERY, KEVIN ACCOUNT #: 7200000440	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	33.27	0.00	33.27	80.0	26.62
07/23/2018	***-**-027I - ADAMAITIS, ACCOUNT #: 7200011626	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	12.07	0.00	12.07	80.0	9.66
07/23/2018	***-**-788I - LIPEIKA, RONALD ACCOUNT #: 7200016493	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	45.67	0.00	45.67	80.0	36.54
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6,818.61</b>	<b>\$0.00</b>	<b>\$6,818.61</b>		<b>\$5,454.88</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$12,059.35</b>	<b>\$7,133.75</b>	<b>\$0.00</b>	<b>\$7,133.75</b>		<b>\$5,706.94</b>





**BROKER #:** 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
						Broker Transactions		\$5,706.94	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$5,706.94	
						Year-to-date Earnings		\$61,445.18	