



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

DANIEL B123 BUKOVCIK
101 N. Main Street
Box 376
Ovid, MI 48866

BROKER #: B123
BRANCH: DANIEL BUKOVCIK

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| 07/23/2018 | SCHAFER, CORACELYN | AM FUNDS GROWTH FUND OF AMERICA 529 | 1,000.00 | 50.00 | 85.0 | 42.50 | 0.00 | 42.50 |
| 07/25/2018 | SCHAFER, CORACELYN | AM FUNDS GROWTH FUND OF AMERICA 529 | 2,200.00 | 110.00 | 85.0 | 93.50 | 0.00 | 93.50 |
| 07/30/2018 | MUNRO, MALLORY | AM FUNDS GROWTH FUND OF AMERICA 529 | 250.00 | 12.50 | 85.0 | 10.63 | 0.00 | 10.63 |
| 07/30/2018 | MUNRO, MALLORY | AM FUNDS GROWTH FUND OF AMERICA 529 | 800.00 | 40.00 | 85.0 | 34.00 | 0.00 | 34.00 |
| 07/30/2018 | MUNRO, MALLORY | AM FUNDS GROWTH FUND OF AMERICA 529 | 1,000.00 | 50.00 | 85.0 | 42.50 | 0.00 | 42.50 |
| 07/30/2018 | MUNRO, MALLORY | AM FUNDS ICA 529A | 1,100.00 | 55.00 | 85.0 | 46.75 | 0.00 | 46.75 |
| 08/02/2018 | BUKOVCIK, RONALD | COLLEGE 2033 FUND-529A | 127.50 | 4.46 | 85.0 | 3.79 | 0.00 | 3.79 |
| 08/02/2018 | BUKOVCIK, RONALD | COLLEGE 2036 FUND-529A | 127.50 | 4.46 | 85.0 | 3.79 | 0.00 | 3.79 |
| * 529 PLANS SUBTOTAL * | | | \$6,605.00 | \$326.42 | | \$277.46 | \$0.00 | \$277.46 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/23/2018 | RUEHLE, GAYLA | AM FUNDS 2035 TARGET DATE-A | 3,337.06 | 166.85 | 85.0 | 141.82 | 0.00 | 141.82 |
| 07/30/2018 | HASSE, COURTNEY | AM FUNDS 2055 TARGET DATE-A | 100.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |
| 08/01/2018 | HASSE, LINDSAY | AM FUNDS 2055 TARGET DATE-A | 100.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |
| 07/25/2018 | LATZ, SONYA | AM FUNDS CAPITAL INCOME BUILDER A | 50.00 | 1.88 | 85.0 | 1.60 | 0.00 | 1.60 |
| 07/25/2018 | LATZ, JARRAH | AM FUNDS CAPITAL INCOME BUILDER A | 12.50 | 0.34 | 85.0 | 0.29 | 0.00 | 0.29 |
| 07/31/2018 | MARTIN, SCOTT | AM FUNDS CAPITAL INCOME BUILDER A | 86.06 | 2.37 | 85.0 | 2.01 | 0.00 | 2.01 |
| 07/25/2018 | LATZ, JOSHUA | AM FUNDS CAPITAL WORLD BOND A | 40.00 | 1.10 | 85.0 | 0.94 | 0.00 | 0.94 |
| 07/25/2018 | LATZ, SONYA | AM FUNDS CAPITAL WORLD GRTH & INC A | 50.00 | 1.88 | 85.0 | 1.60 | 0.00 | 1.60 |
| 07/25/2018 | LATZ, JOSHUA | AM FUNDS CAPITAL WORLD GRTH & INC A | 80.00 | 2.20 | 85.0 | 1.87 | 0.00 | 1.87 |
| 07/31/2018 | MAHONEY, KRISTEN | AM FUNDS CAPITAL WORLD GRTH & INC | 30.00 | 0.83 | 85.0 | 0.71 | 0.00 | 0.71 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| | | A | | | | | | |
| 07/25/2018 | HERBLET, AMANDA | AM FUNDS EUROPACIFIC GROWTH FUND A | 25.00 | 1.25 | 85.0 | 1.06 | 0.00 | 1.06 |
| 07/25/2018 | MULDER, JAYDEN | AM FUNDS GROWTH AND INCOME A | 50.00 | 2.50 | 85.0 | 2.13 | 0.00 | 2.13 |
| 07/30/2018 | REED, RICHARD | AM FUNDS GROWTH AND INCOME A | 8,000.00 | 400.00 | 85.0 | 340.00 | 0.00 | 340.00 |
| 07/25/2018 | HERBLET, AMANDA | AM FUNDS GROWTH FUND OF AMERICA A | 25.00 | 1.25 | 85.0 | 1.06 | 0.00 | 1.06 |
| 07/25/2018 | LATZ, JOSHUA | AM FUNDS GROWTH FUND OF AMERICA A | 80.00 | 2.20 | 85.0 | 1.87 | 0.00 | 1.87 |
| 07/25/2018 | LATZ, JARRAH | AM FUNDS GROWTH FUND OF AMERICA A | 37.50 | 1.03 | 85.0 | 0.88 | 0.00 | 0.88 |
| 07/25/2018 | ZALUD, ANN | AM FUNDS GROWTH FUND OF AMERICA A | 37.50 | 1.41 | 85.0 | 1.20 | 0.00 | 1.20 |
| 07/30/2018 | EKEL, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 2.50 | 85.0 | 2.13 | 0.00 | 2.13 |
| 07/30/2018 | SCHNEIDER, HANNAH | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |
| 07/31/2018 | MARTIN, SCOTT | AM FUNDS GROWTH FUND OF AMERICA A | 172.13 | 4.73 | 85.0 | 4.02 | 0.00 | 4.02 |
| 07/31/2018 | BYRNE, JAMIE | AM FUNDS GROWTH FUND OF AMERICA A | 15.00 | 0.41 | 85.0 | 0.35 | 0.00 | 0.35 |
| 07/31/2018 | MAHONEY, KRISTEN | AM FUNDS GROWTH FUND OF AMERICA A | 30.00 | 0.83 | 85.0 | 0.71 | 0.00 | 0.71 |
| 07/31/2018 | KIRBY, DAVID | AM FUNDS GROWTH FUND OF AMERICA A | 2,000.00 | 75.00 | 85.0 | 63.75 | 0.00 | 63.75 |
| 08/01/2018 | HAUK, KALMAN | AM FUNDS GROWTH FUND OF AMERICA A | 166.00 | 4.57 | 85.0 | 3.88 | 0.00 | 3.88 |
| 08/01/2018 | BESKO, BRADLEY | AM FUNDS GROWTH FUND OF AMERICA A | 25.00 | 1.25 | 85.0 | 1.06 | 0.00 | 1.06 |
| 08/01/2018 | LOYNES, AUSTIN | AM FUNDS GROWTH FUND OF AMERICA A | 60.00 | 3.00 | 85.0 | 2.55 | 0.00 | 2.55 |
| 08/01/2018 | BUKOVCIK, GAVIN | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |
| 08/02/2018 | BUTTARS, LISA | AM FUNDS GROWTH FUND OF AMERICA A | 200.00 | 7.50 | 85.0 | 6.38 | 0.00 | 6.38 |
| 07/24/2018 | WREGGELSWORTH, JOY | AM FUNDS ICA A | 275.00 | 11.69 | 85.0 | 9.94 | 0.00 | 9.94 |
| 07/25/2018 | ZALUD, ANN | AM FUNDS ICA A | 37.50 | 1.41 | 85.0 | 1.20 | 0.00 | 1.20 |
| 07/31/2018 | MARTIN, SCOTT | AM FUNDS ICA A | 172.12 | 4.73 | 85.0 | 4.02 | 0.00 | 4.02 |
| 07/31/2018 | BYRNE, JAMIE | AM FUNDS ICA A | 15.00 | 0.41 | 85.0 | 0.35 | 0.00 | 0.35 |
| 08/02/2018 | BUTTARS, LISA | AM FUNDS ICA A | 100.00 | 3.75 | 85.0 | 3.19 | 0.00 | 3.19 |
| 07/24/2018 | WREGGELSWORTH, JOY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 100.00 | 4.25 | 85.0 | 3.61 | 0.00 | 3.61 |
| 07/30/2018 | SCHNEIDER, HANNAH | AM FUNDS WASHINGTON MUTUAL INVESTOR | 100.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |
| 07/31/2018 | KIRBY, DAVID | AM FUNDS WASHINGTON MUTUAL INVESTOR | 3,000.00 | 112.50 | 85.0 | 95.63 | 0.00 | 95.63 |
| 08/01/2018 | BESKO, BRADLEY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 25.00 | 1.25 | 85.0 | 1.06 | 0.00 | 1.06 |
| 08/02/2018 | BUTTARS, LISA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 200.00 | 7.50 | 85.0 | 6.38 | 0.00 | 6.38 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---|----------------------|------------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| / / | THORNBURG, FREDERICK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 6.00 | 85.0 | 5.10 | 0.00 | 5.10 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$19,083.37 | \$865.37 | | \$735.60 | \$0.00 | \$735.60 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 07/27/2018 | MULDER, RICHARD | JNL PERSPECTIVE II 04/14 | 182,086.00 | 9,104.30 | 85.0 | 7,738.66 | 0.00 | 7,738.66 |
| 07/30/2018 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 15,000.00 | 787.50 | 85.0 | 669.38 | 0.00 | 669.38 |
| 08/01/2018 | COSCARIELLI, ARTHUR | JNL PERSPECTIVE II 05/05 | 50.00 | 2.63 | 85.0 | 2.24 | 0.00 | 2.24 |
| 08/01/2018 | HAZLE, JAYDEN | JNL PERSPECTIVE II 05/05 | 150.00 | 7.88 | 85.0 | 6.70 | 0.00 | 6.70 |
| 08/06/2018 | SCHNEIDER, DAWN | JNL PERSPECTIVE II 05/05 | 100.00 | 5.25 | 85.0 | 4.46 | 0.00 | 4.46 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$197,386.00 | \$9,907.56 | | \$8,421.44 | \$0.00 | \$8,421.44 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN FOCUSED CORE EQUITY A | 0.00 | 4.78 | 85.0 | 4.06 | 0.00 | 4.06 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN GROWTH A | 0.00 | 5.68 | 85.0 | 4.83 | 0.00 | 4.83 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN GROWTH OPP A | 0.00 | 5.24 | 85.0 | 4.45 | 0.00 | 4.45 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN MUTUAL GLOBAL DISCOVERY A | 0.00 | 1.94 | 85.0 | 1.65 | 0.00 | 1.65 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN MUTUAL INTERNATIONAL A | 0.00 | 2.62 | 85.0 | 2.23 | 0.00 | 2.23 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN MUTUAL QUEST A | 0.00 | 1.94 | 85.0 | 1.65 | 0.00 | 1.65 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN MUTUAL SHARES A | 0.00 | 2.11 | 85.0 | 1.79 | 0.00 | 1.79 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN REAL RETURN A | 0.00 | 3.56 | 85.0 | 3.03 | 0.00 | 3.03 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN RISING DIVIDENDS A | 0.00 | 5.11 | 85.0 | 4.34 | 0.00 | 4.34 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN SMALL CAP GROWTH A | 0.00 | 5.87 | 85.0 | 4.99 | 0.00 | 4.99 |
| 07/31/2018 | HUNT, BRUCE | FRANKLIN TOTAL RETURN A | 0.00 | 1.35 | 85.0 | 1.15 | 0.00 | 1.15 |
| / / | BAESE, MARY BETH | HARTFORD BALANCED A | 0.00 | 0.18 | 85.0 | 0.15 | 0.00 | 0.15 |
| / / | DARLING, KIMBERLY | HARTFORD BALANCED A | 0.00 | 0.18 | 85.0 | 0.15 | 0.00 | 0.15 |
| / / | FOX, KERRI | HARTFORD BALANCED A | 0.00 | 0.23 | 85.0 | 0.20 | 0.00 | 0.20 |
| / / | KIEFFER, DUSTIN | HARTFORD BALANCED ALLOCATION A | 0.00 | 8.36 | 85.0 | 7.11 | 0.00 | 7.11 |
| / / | KIEFFER, KIRA | HARTFORD BALANCED ALLOCATION A | 0.00 | 9.42 | 85.0 | 8.01 | 0.00 | 8.01 |
| / / | EVANS, ROGER | HARTFORD BALANCED ALLOCATION A | 0.00 | 9.59 | 85.0 | 8.15 | 0.00 | 8.15 |
| / / | RADEMACHER, RICKEY | HARTFORD BALANCED ALLOCATION A | 0.00 | 2.18 | 85.0 | 1.85 | 0.00 | 1.85 |
| / / | KIEFFER, PATRICIA | HARTFORD BALANCED ALLOCATION A | 0.00 | 40.02 | 85.0 | 34.02 | 0.00 | 34.02 |
| / / | HASSE, RONALD | HARTFORD CAPITAL APP II A | 0.00 | 2.28 | 85.0 | 1.94 | 0.00 | 1.94 |
| / / | HILTON, CYNTHIA | HARTFORD CAPITAL APP II A | 0.00 | 4.25 | 85.0 | 3.61 | 0.00 | 3.61 |
| / / | BUKOVCIK, DANIEL | HARTFORD CAPITAL APP II A | 0.00 | 8.49 | 85.0 | 7.22 | 0.00 | 7.22 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|-----------------------|---------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| / / | THELEN, TODD | HARTFORD CAPITAL APP II A | 0.00 | 0.48 | 85.0 | 0.41 | 0.00 | 0.41 |
| / / | THELEN, ROSANNE | HARTFORD CAPITAL APP II A | 0.00 | 0.90 | 85.0 | 0.77 | 0.00 | 0.77 |
| / / | HILTON, CYNTHIA | HARTFORD CAPITAL APPRECIATION A | 0.00 | 5.71 | 85.0 | 4.85 | 0.00 | 4.85 |
| / / | BUKOVCIK, DANIEL | HARTFORD CAPITAL APPRECIATION A | 0.00 | 29.63 | 85.0 | 25.19 | 0.00 | 25.19 |
| / / | BAESE, MARY BETH | HARTFORD CAPITAL APPRECIATION A | 0.00 | 2.43 | 85.0 | 2.07 | 0.00 | 2.07 |
| / / | PRICE, SARAH | HARTFORD CAPITAL APPRECIATION A | 0.00 | 1.05 | 85.0 | 0.89 | 0.00 | 0.89 |
| / / | ZUNIGA, AMANDA | HARTFORD CAPITAL APPRECIATION A | 0.00 | 16.57 | 85.0 | 14.08 | 0.00 | 14.08 |
| / / | HALFMAN, BRENDA | HARTFORD CAPITAL APPRECIATION A | 0.00 | 0.59 | 85.0 | 0.50 | 0.00 | 0.50 |
| / / | THORNBURG, FREDERICK | HARTFORD CAPITAL APPRECIATION A | 0.00 | 4.88 | 85.0 | 4.15 | 0.00 | 4.15 |
| / / | COOLEY, MARK | HARTFORD CAPITAL APPRECIATION A | 0.00 | 0.26 | 85.0 | 0.22 | 0.00 | 0.22 |
| / / | DOUBRAVA, THOMAS | HARTFORD CAPITAL APPRECIATION A | 0.00 | 1.25 | 85.0 | 1.06 | 0.00 | 1.06 |
| / / | RICHARDS, DEVIN | HARTFORD DISCIPLINED EQUITY A | 0.00 | 2.94 | 85.0 | 2.50 | 0.00 | 2.50 |
| / / | FEDEWA, MICHAEL | HARTFORD DISCIPLINED EQUITY A | 0.00 | 3.34 | 85.0 | 2.84 | 0.00 | 2.84 |
| / / | HILTON, CYNTHIA | HARTFORD DIVIDEND & GROWTH A | 0.00 | 6.58 | 85.0 | 5.59 | 0.00 | 5.59 |
| / / | RICHARDS, AMBER | HARTFORD DIVIDEND & GROWTH A | 0.00 | 1.28 | 85.0 | 1.09 | 0.00 | 1.09 |
| / / | RICHARDS, AMBER | HARTFORD DIVIDEND & GROWTH A | 0.00 | 0.93 | 85.0 | 0.79 | 0.00 | 0.79 |
| / / | WEISMILLER, MARK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 3.66 | 85.0 | 3.11 | 0.00 | 3.11 |
| / / | LADISKI, BROOKE | HARTFORD DIVIDEND & GROWTH A | 0.00 | 0.63 | 85.0 | 0.54 | 0.00 | 0.54 |
| / / | WEISMILLER, MARK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 1.94 | 85.0 | 1.65 | 0.00 | 1.65 |
| / / | WEISMILLER, KELSEY | HARTFORD DIVIDEND & GROWTH A | 0.00 | 0.54 | 85.0 | 0.46 | 0.00 | 0.46 |
| / / | THORNBURG, FREDERICK | HARTFORD DIVIDEND & GROWTH A | 0.00 | 7.43 | 85.0 | 6.32 | 0.00 | 6.32 |
| / / | FEDEWA, MICHAEL | HARTFORD DIVIDEND & GROWTH A | 0.00 | 2.81 | 85.0 | 2.39 | 0.00 | 2.39 |
| / / | THELEN, TODD | HARTFORD DIVIDEND & GROWTH A | 0.00 | 1.35 | 85.0 | 1.15 | 0.00 | 1.15 |
| / / | THELEN, ROSANNE | HARTFORD DIVIDEND & GROWTH A | 0.00 | 2.55 | 85.0 | 2.17 | 0.00 | 2.17 |
| / / | BUKOVCIK/SEP, WILLIAM | HARTFORD GROWTH OPP A | 0.00 | 2.24 | 85.0 | 1.90 | 0.00 | 1.90 |
| / / | SCHLIEF, MICHAEL | HARTFORD GROWTH OPP A | 0.00 | 7.57 | 85.0 | 6.43 | 0.00 | 6.43 |
| / / | WOODRUFF, RONALD | HARTFORD GROWTH OPP A | 0.00 | 3.90 | 85.0 | 3.32 | 0.00 | 3.32 |
| / / | SQUIRES, YVONNE | HARTFORD GROWTH OPP A | 0.00 | 16.35 | 85.0 | 13.90 | 0.00 | 13.90 |
| / / | SQUIRES, LARRY | HARTFORD GROWTH OPP A | 0.00 | 15.52 | 85.0 | 13.19 | 0.00 | 13.19 |
| / / | BUKOVCIK, DANIEL | HARTFORD GROWTH OPP A | 0.00 | 80.09 | 85.0 | 68.08 | 0.00 | 68.08 |
| / / | BAESE, MARY BETH | HARTFORD GROWTH OPP A | 0.00 | 0.48 | 85.0 | 0.41 | 0.00 | 0.41 |
| / / | LADISKI, BREANNA | HARTFORD GROWTH OPP A | 0.00 | 1.18 | 85.0 | 1.00 | 0.00 | 1.00 |
| / / | BROWN, JACK | HARTFORD GROWTH OPP A | 0.00 | 1.44 | 85.0 | 1.22 | 0.00 | 1.22 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---|----------------------|--|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| / / | SIEFFERT, DONALD | HARTFORD GROWTH OPP A | 0.00 | 11.44 | 85.0 | 9.72 | 0.00 | 9.72 |
| / / | FEDEWA, MICHAEL | HARTFORD GROWTH OPP A | 0.00 | 0.21 | 85.0 | 0.18 | 0.00 | 0.18 |
| / / | BROWN, TUCKER | HARTFORD GROWTH OPP A | 0.00 | 1.44 | 85.0 | 1.22 | 0.00 | 1.22 |
| / / | CASLER, SUSAN | HARTFORD GROWTH OPP A | 0.00 | 0.62 | 85.0 | 0.53 | 0.00 | 0.53 |
| / / | DARLING, KIMBERLY | HARTFORD GROWTH OPP A | 0.00 | 12.43 | 85.0 | 10.57 | 0.00 | 10.57 |
| / / | FOX, KERRI | HARTFORD GROWTH OPP A | 0.00 | 20.26 | 85.0 | 17.22 | 0.00 | 17.22 |
| / / | SIEFFERT, DONALD | HARTFORD INFLATION PLUS A | 0.00 | 9.28 | 85.0 | 7.89 | 0.00 | 7.89 |
| / / | HOLCOMB, BRUCE (DCD) | HARTFORD INFLATION PLUS A | 0.00 | 0.86 | 85.0 | 0.73 | 0.00 | 0.73 |
| / / | THORNBURG, FREDERICK | HARTFORD INFLATION PLUS A | 0.00 | 3.19 | 85.0 | 2.71 | 0.00 | 2.71 |
| / / | BATES, JAMES | HARTFORD INFLATION PLUS A | 0.00 | 6.15 | 85.0 | 5.23 | 0.00 | 5.23 |
| / / | SCOTT, FRANCES | HARTFORD INFLATION PLUS A | 0.00 | 1.12 | 85.0 | 0.95 | 0.00 | 0.95 |
| / / | WEISMILLER, MARK | HARTFORD INT'L GROWTH A | 0.00 | 2.36 | 85.0 | 2.01 | 0.00 | 2.01 |
| / / | WEISMILLER, MARK | HARTFORD INT'L GROWTH A | 0.00 | 1.29 | 85.0 | 1.10 | 0.00 | 1.10 |
| / / | WEISMILLER, KELSEY | HARTFORD INT'L GROWTH A | 0.00 | 0.40 | 85.0 | 0.34 | 0.00 | 0.34 |
| / / | RICHARDS, AMBER | HARTFORD MIDCAP VALUE A | 0.00 | 1.97 | 85.0 | 1.67 | 0.00 | 1.67 |
| / / | RICHARDS, AMBER | HARTFORD MIDCAP VALUE A | 0.00 | 1.51 | 85.0 | 1.28 | 0.00 | 1.28 |
| / / | BAESE, MARY BETH | HARTFORD STRATEGIC INCOME FUND A | 0.00 | 0.31 | 85.0 | 0.26 | 0.00 | 0.26 |
| / / | SIEFFERT, DONALD | HARTFORD TOTAL RETURN BOND A | 0.00 | 6.82 | 85.0 | 5.80 | 0.00 | 5.80 |
| 07/31/2018 | HUNT, BRUCE | TEMPLETON EMERGING MARKETS SMALLCAP | 0.00 | 1.46 | 85.0 | 1.24 | 0.00 | 1.24 |
| / / | LEE, EUSTAQUIA | WVA AGGR GROWTH PORTFOLIO A | 0.00 | 0.53 | 85.0 | 0.45 | 0.00 | 0.45 |
| / / | STUTZMAN, KAREN | WVA CHECKS AND BALS PORT A | 0.00 | 0.06 | 85.0 | 0.05 | 0.00 | 0.05 |
| / / | STUTZMAN, KAREN | WVA CHECKS AND BALS PORT A | 0.00 | 0.09 | 85.0 | 0.08 | 0.00 | 0.08 |
| / / | O'MALEY, SHIRLEY | WVA GROWTH PORTFOLIO A | 0.00 | 0.38 | 85.0 | 0.32 | 0.00 | 0.32 |
| / / | O'MALEY, SHIRLEY | WVA GROWTH PORTFOLIO A | 0.00 | 0.38 | 85.0 | 0.32 | 0.00 | 0.32 |
| / / | O'MALEY, SHIRLEY | WVA GROWTH PORTFOLIO A | 0.00 | 2.33 | 85.0 | 1.98 | 0.00 | 1.98 |
| / / | O'MALEY, SHIRLEY | WVA GROWTH PORTFOLIO A | 0.00 | 0.38 | 85.0 | 0.32 | 0.00 | 0.32 |
| / / | O'MALEY, SHIRLEY | WVA GROWTH PORTFOLIO A | 0.00 | 0.35 | 85.0 | 0.30 | 0.00 | 0.30 |
| / / | MCHALLAM, MYA | WVA HARTFORD DIV AND GROWTH 529 A | 0.00 | 13.78 | 85.0 | 11.71 | 0.00 | 11.71 |
| / / | STUTZMAN, KAREN | WVA HARTFORD EQTY INCOME 529 FD A | 0.00 | 1.44 | 85.0 | 1.22 | 0.00 | 1.22 |
| / / | STUTZMAN, KAREN | WVA HARTFORD EQTY INCOME 529 FD A | 0.00 | 0.37 | 85.0 | 0.31 | 0.00 | 0.31 |
| / / | STUTZMAN, KAREN | WVA HARTFORD EQTY INCOME 529 FD A | 0.00 | 2.75 | 85.0 | 2.34 | 0.00 | 2.34 |
| / / | STUTZMAN, KAREN | WVA HARTFORD EQTY INCOME 529 FD A | 0.00 | 0.53 | 85.0 | 0.45 | 0.00 | 0.45 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$460.37 | | \$391.32 | \$0.00 | \$391.32 |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 07/31/2018 | GOOSEN, JACQUELINE | JNL ELITE ACCESS | 0.00 | 5.90 | 85.0 | 5.02 | 0.00 | 5.02 |
| 07/31/2018 | STOCKING, ELAINE | JNL ELITE ACCESS | 0.00 | 2.06 | 85.0 | 1.75 | 0.00 | 1.75 |
| 07/31/2018 | SPITERI, JOHN | JNL ELITE ACCESS | 0.00 | 3.02 | 85.0 | 2.57 | 0.00 | 2.57 |
| 07/31/2018 | WAGGONER, SHEILA | JNL ELITE ACCESS | 0.00 | 4.21 | 85.0 | 3.58 | 0.00 | 3.58 |
| 07/31/2018 | PERRY, GREGORY | JNL ELITE ACCESS | 0.00 | 3.07 | 85.0 | 2.61 | 0.00 | 2.61 |
| 07/31/2018 | SPIESS, CHARLES | JNL PERSPECTIVE | 0.00 | 33.47 | 85.0 | 28.45 | 0.00 | 28.45 |
| 07/31/2018 | RICHARDS, ROBERT | JNL PERSPECTIVE II 04/14 | 0.00 | 16.85 | 85.0 | 14.32 | 0.00 | 14.32 |
| 07/31/2018 | ROY, JANICE | JNL PERSPECTIVE II 04/14 | 0.00 | 13.69 | 85.0 | 11.64 | 0.00 | 11.64 |
| 07/31/2018 | WINKLER, LORETTA | JNL PERSPECTIVE II 04/14 | 0.00 | 211.72 | 85.0 | 179.96 | 0.00 | 179.96 |
| 07/31/2018 | COOK, DONALD | JNL PERSPECTIVE II 04/14 | 0.00 | 69.66 | 85.0 | 59.21 | 0.00 | 59.21 |
| 07/31/2018 | VINCENT, LEROY | JNL PERSPECTIVE II 04/14 | 0.00 | 22.10 | 85.0 | 18.79 | 0.00 | 18.79 |
| 07/31/2018 | WOODEND, DAVID | JNL PERSPECTIVE II 04/14 | 0.00 | 140.55 | 85.0 | 119.47 | 0.00 | 119.47 |
| 07/31/2018 | HEHRER, SANDRA | JNL PERSPECTIVE II 04/14 | 0.00 | 16.37 | 85.0 | 13.91 | 0.00 | 13.91 |
| 07/31/2018 | BARBOUR, LARRY | JNL PERSPECTIVE II 04/14 | 0.00 | 23.31 | 85.0 | 19.81 | 0.00 | 19.81 |
| 07/31/2018 | SAVAGE, ELIZABETH | JNL PERSPECTIVE II 04/14 | 0.00 | 3.42 | 85.0 | 2.91 | 0.00 | 2.91 |
| 07/31/2018 | THELEN, NEIL | JNL PERSPECTIVE II 04/14 | 0.00 | 11.94 | 85.0 | 10.15 | 0.00 | 10.15 |
| 07/31/2018 | RICHARDS, AMBER | JNL PERSPECTIVE II 04/14 | 0.00 | 47.78 | 85.0 | 40.61 | 0.00 | 40.61 |
| 07/31/2018 | BESKO, DIRK | JNL PERSPECTIVE II 04/14 | 0.00 | 7.04 | 85.0 | 5.98 | 0.00 | 5.98 |
| 07/31/2018 | YOUNG, MARY | JNL PERSPECTIVE II 04/14 | 0.00 | 252.67 | 85.0 | 214.77 | 0.00 | 214.77 |
| 07/31/2018 | WAKEFIELD, RONALD | JNL PERSPECTIVE II 04/14 | 0.00 | 16.86 | 85.0 | 14.33 | 0.00 | 14.33 |
| 07/31/2018 | ADE, MARIE | JNL PERSPECTIVE II 04/14 | 0.00 | 4.93 | 85.0 | 4.19 | 0.00 | 4.19 |
| 07/31/2018 | PIGGOTT, PHILIP | JNL PERSPECTIVE II 04/14 | 0.00 | 152.21 | 85.0 | 129.38 | 0.00 | 129.38 |
| 07/31/2018 | SMITH, RICHARD | JNL PERSPECTIVE II 04/14 | 0.00 | 9.04 | 85.0 | 7.68 | 0.00 | 7.68 |
| 07/31/2018 | FEDEWA, LORETTA | JNL PERSPECTIVE II 04/14 | 0.00 | 22.01 | 85.0 | 18.71 | 0.00 | 18.71 |
| 07/31/2018 | STROMER, SCOTT | JNL PERSPECTIVE II 04/14 | 0.00 | 33.00 | 85.0 | 28.05 | 0.00 | 28.05 |
| 07/31/2018 | THELEN, ROSANNE | JNL PERSPECTIVE II 04/14 | 0.00 | 412.20 | 85.0 | 350.37 | 0.00 | 350.37 |
| 07/31/2018 | THOMA, CHRIS | JNL PERSPECTIVE II 04/14 | 0.00 | 36.30 | 85.0 | 30.86 | 0.00 | 30.86 |
| 07/31/2018 | NIXON, KIMBERLY | JNL PERSPECTIVE II 04/14 | 0.00 | 27.62 | 85.0 | 23.48 | 0.00 | 23.48 |
| 07/31/2018 | WAKEFIELD, RONALD | JNL PERSPECTIVE II 04/14 | 0.00 | 39.06 | 85.0 | 33.20 | 0.00 | 33.20 |
| 07/31/2018 | WAGGONER, RUSSELL | JNL PERSPECTIVE II 04/14 | 0.00 | 40.65 | 85.0 | 34.55 | 0.00 | 34.55 |
| 07/31/2018 | REED, JOAN | JNL PERSPECTIVE II 04/14 | 0.00 | 14.17 | 85.0 | 12.04 | 0.00 | 12.04 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | REED, RICHARD | JNL PERSPECTIVE II 04/14 | 0.00 | 182.43 | 85.0 | 155.07 | 0.00 | 155.07 |
| 07/31/2018 | PENROSE, GARY | JNL PERSPECTIVE II 04/14 | 0.00 | 35.69 | 85.0 | 30.34 | 0.00 | 30.34 |
| 07/31/2018 | BERNATH, THOMAS | JNL PERSPECTIVE II 04/14 | 0.00 | 8.91 | 85.0 | 7.57 | 0.00 | 7.57 |
| 07/31/2018 | TRANN, LISA | JNL PERSPECTIVE II 04/14 | 0.00 | 3.82 | 85.0 | 3.25 | 0.00 | 3.25 |
| 07/31/2018 | HUMPHREY, PATRICIA | JNL PERSPECTIVE II 04/14 | 0.00 | 4.59 | 85.0 | 3.90 | 0.00 | 3.90 |
| 07/31/2018 | STEVENS, PATRICIA | JNL PERSPECTIVE II 04/14 | 0.00 | 67.41 | 85.0 | 57.30 | 0.00 | 57.30 |
| 07/31/2018 | BERNATH, MARGARET | JNL PERSPECTIVE II 04/14 | 0.00 | 15.53 | 85.0 | 13.20 | 0.00 | 13.20 |
| 07/31/2018 | BERNATH, MARGARET | JNL PERSPECTIVE II 04/14 | 0.00 | 13.11 | 85.0 | 11.14 | 0.00 | 11.14 |
| 07/31/2018 | RICHARD, LORI | JNL PERSPECTIVE II 04/14 | 0.00 | 14.45 | 85.0 | 12.28 | 0.00 | 12.28 |
| 07/31/2018 | RECK, CHARLES | JNL PERSPECTIVE II 04/14 | 0.00 | 171.55 | 85.0 | 145.82 | 0.00 | 145.82 |
| 07/31/2018 | RECK, MAXINE | JNL PERSPECTIVE II 04/14 | 0.00 | 108.40 | 85.0 | 92.14 | 0.00 | 92.14 |
| 07/31/2018 | HANUS, PHILLIP | JNL PERSPECTIVE II 04/14 | 0.00 | 71.50 | 85.0 | 60.78 | 0.00 | 60.78 |
| 07/31/2018 | HANUS, SHEILA | JNL PERSPECTIVE II 04/14 | 0.00 | 14.03 | 85.0 | 11.93 | 0.00 | 11.93 |
| 07/31/2018 | HANUS, PHILLIP | JNL PERSPECTIVE II 04/14 | 0.00 | 18.13 | 85.0 | 15.41 | 0.00 | 15.41 |
| 07/31/2018 | LATZ, MARK | JNL PERSPECTIVE II 04/14 | 0.00 | 260.75 | 85.0 | 221.64 | 0.00 | 221.64 |
| 07/31/2018 | BALLANCE, SUSAN | JNL PERSPECTIVE II 04/14 | 0.00 | 9.60 | 85.0 | 8.16 | 0.00 | 8.16 |
| 07/31/2018 | ROOF, ANNA | JNL PERSPECTIVE II 04/14 | 0.00 | 18.05 | 85.0 | 15.34 | 0.00 | 15.34 |
| 07/31/2018 | PARKER, JEFFERY | JNL PERSPECTIVE II 04/14 | 0.00 | 7.81 | 85.0 | 6.64 | 0.00 | 6.64 |
| 07/31/2018 | HELDRETH, DIANE | JNL PERSPECTIVE II 04/14 | 0.00 | 32.38 | 85.0 | 27.52 | 0.00 | 27.52 |
| 07/31/2018 | WOODBURY, JUDY | JNL PERSPECTIVE II 04/14 | 0.00 | 46.20 | 85.0 | 39.27 | 0.00 | 39.27 |
| 07/31/2018 | FAHEY, SHANNON | JNL PERSPECTIVE II 04/14 | 0.00 | 77.93 | 85.0 | 66.24 | 0.00 | 66.24 |
| 07/31/2018 | PLOWMAN, DENISE | JNL PERSPECTIVE II 04/14 | 0.00 | 8.70 | 85.0 | 7.40 | 0.00 | 7.40 |
| 07/31/2018 | ZUSSMAN, GABRIEL | JNL PERSPECTIVE II 04/14 | 0.00 | 14.82 | 85.0 | 12.60 | 0.00 | 12.60 |
| 07/31/2018 | DUBAY, SHARON | JNL PERSPECTIVE II 04/14 | 0.00 | 37.46 | 85.0 | 31.84 | 0.00 | 31.84 |
| 07/31/2018 | TEREMI, SANDRA | JNL PERSPECTIVE II 04/14 | 0.00 | 13.10 | 85.0 | 11.14 | 0.00 | 11.14 |
| 07/31/2018 | GRANT, REVA | JNL PERSPECTIVE II 04/14 | 0.00 | 23.19 | 85.0 | 19.71 | 0.00 | 19.71 |
| 07/31/2018 | CRUM, AMY | JNL PERSPECTIVE II 04/14 | 0.00 | 13.30 | 85.0 | 11.31 | 0.00 | 11.31 |
| 07/31/2018 | CARR, THOMAS | JNL PERSPECTIVE II 04/14 | 0.00 | 3.03 | 85.0 | 2.58 | 0.00 | 2.58 |
| 07/31/2018 | CARROLL, DONNA | JNL PERSPECTIVE II 04/14 | 0.00 | 88.90 | 85.0 | 75.57 | 0.00 | 75.57 |
| 07/31/2018 | HULETT, DEBORAH | JNL PERSPECTIVE II 04/14 | 0.00 | 1.06 | 85.0 | 0.90 | 0.00 | 0.90 |
| 07/31/2018 | VANECEK, LYNNETTE | JNL PERSPECTIVE II 04/14 | 0.00 | 2.74 | 85.0 | 2.33 | 0.00 | 2.33 |
| 07/31/2018 | CARROLL, RICHARD | JNL PERSPECTIVE II 04/14 | 0.00 | 127.45 | 85.0 | 108.33 | 0.00 | 108.33 |
| 07/31/2018 | MARTIN, LISA | JNL PERSPECTIVE II 04/14 | 0.00 | 10.28 | 85.0 | 8.74 | 0.00 | 8.74 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|-------------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | KIEFFER, PATRICIA | JNL PERSPECTIVE II 04/14 | 0.00 | 41.77 | 85.0 | 35.50 | 0.00 | 35.50 |
| 07/31/2018 | KIEFFER, PATRICIA | JNL PERSPECTIVE II 04/14 | 0.00 | 6.28 | 85.0 | 5.34 | 0.00 | 5.34 |
| 07/31/2018 | HOCH, LYLE | JNL PERSPECTIVE II 04/14 | 0.00 | 14.19 | 85.0 | 12.06 | 0.00 | 12.06 |
| 07/31/2018 | PLESKO, CYNTHIA | JNL PERSPECTIVE II 04/14 | 0.00 | 16.69 | 85.0 | 14.19 | 0.00 | 14.19 |
| 07/31/2018 | DRAPER, KIMBERLY | JNL PERSPECTIVE II 04/14 | 0.00 | 24.37 | 85.0 | 20.71 | 0.00 | 20.71 |
| 07/31/2018 | FILLMORE, PAULA | JNL PERSPECTIVE II 04/14 | 0.00 | 33.58 | 85.0 | 28.54 | 0.00 | 28.54 |
| 07/31/2018 | ADE, JAMES | JNL PERSPECTIVE II 04/14 | 0.00 | 16.02 | 85.0 | 13.62 | 0.00 | 13.62 |
| 07/31/2018 | DRAPER, RUTH | JNL PERSPECTIVE II 04/14 | 0.00 | 5.57 | 85.0 | 4.73 | 0.00 | 4.73 |
| 07/31/2018 | FILLMORE, PAULA | JNL PERSPECTIVE II 04/14 | 0.00 | 59.96 | 85.0 | 50.97 | 0.00 | 50.97 |
| 07/31/2018 | MARCH, VICKIE | JNL PERSPECTIVE II 04/14 | 0.00 | 10.32 | 85.0 | 8.77 | 0.00 | 8.77 |
| 07/31/2018 | PARKER, MICHELLE | JNL PERSPECTIVE II 04/14 | 0.00 | 3.28 | 85.0 | 2.79 | 0.00 | 2.79 |
| 07/31/2018 | PARKER, KEVIN | JNL PERSPECTIVE II 04/14 | 0.00 | 3.28 | 85.0 | 2.79 | 0.00 | 2.79 |
| 07/31/2018 | FEDEWA, MICHAEL | JNL PERSPECTIVE II 04/14 | 0.00 | 52.63 | 85.0 | 44.74 | 0.00 | 44.74 |
| 07/31/2018 | STUTZMAN, RICHARD | JNL PERSPECTIVE II 04/14 | 0.00 | 15.96 | 85.0 | 13.57 | 0.00 | 13.57 |
| 07/31/2018 | FEDEWA, WANDA | JNL PERSPECTIVE II 04/14 | 0.00 | 79.86 | 85.0 | 67.88 | 0.00 | 67.88 |
| 07/31/2018 | SEIDEL, PATRICIA | JNL PERSPECTIVE II 04/14 | 0.00 | 7.09 | 85.0 | 6.03 | 0.00 | 6.03 |
| 07/31/2018 | STEVENS, GERALD | JNL PERSPECTIVE II 04/14 | 0.00 | 25.94 | 85.0 | 22.05 | 0.00 | 22.05 |
| 07/31/2018 | BUKOVICK, CHARLES | JNL PERSPECTIVE II 04/14 | 0.00 | 5.78 | 85.0 | 4.91 | 0.00 | 4.91 |
| 07/31/2018 | MUDGET, LAVIRN | JNL PERSPECTIVE II 04/14 | 0.00 | 24.71 | 85.0 | 21.00 | 0.00 | 21.00 |
| 07/31/2018 | FRENCH, JAMES | JNL PERSPECTIVE II 04/14 | 0.00 | 5.40 | 85.0 | 4.59 | 0.00 | 4.59 |
| 07/31/2018 | COON, KURT | JNL PERSPECTIVE II 04/14 | 0.00 | 15.36 | 85.0 | 13.06 | 0.00 | 13.06 |
| 07/31/2018 | RANSHAW, MARY | JNL PERSPECTIVE II 04/14 | 0.00 | 19.08 | 85.0 | 16.22 | 0.00 | 16.22 |
| 07/31/2018 | SMITH, KAREN | JNL PERSPECTIVE II 04/14 | 0.00 | 221.88 | 85.0 | 188.60 | 0.00 | 188.60 |
| 07/31/2018 | ROBERTSON, DAVID | JNL PERSPECTIVE II 04/14 | 0.00 | 190.47 | 85.0 | 161.90 | 0.00 | 161.90 |
| 07/31/2018 | SPIESS, KAREN | JNL PERSPECTIVE II 04/14 | 0.00 | 46.71 | 85.0 | 39.70 | 0.00 | 39.70 |
| 07/31/2018 | RUNYAN, THOMAS | JNL PERSPECTIVE II 04/14 | 0.00 | 39.31 | 85.0 | 33.41 | 0.00 | 33.41 |
| 07/31/2018 | ASHBAUGH, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 152.97 | 85.0 | 130.02 | 0.00 | 130.02 |
| 07/31/2018 | MILLER, ALAN | JNL PERSPECTIVE II 05/05 | 0.00 | 14.66 | 85.0 | 12.46 | 0.00 | 12.46 |
| 07/31/2018 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 0.00 | 3.63 | 85.0 | 3.09 | 0.00 | 3.09 |
| 07/31/2018 | PLESKO-BERNATH, CYNTHIA | JNL PERSPECTIVE II 05/05 | 0.00 | 3.90 | 85.0 | 3.32 | 0.00 | 3.32 |
| 07/31/2018 | SCHNEIDER, DAWN | JNL PERSPECTIVE II 05/05 | 0.00 | 12.86 | 85.0 | 10.93 | 0.00 | 10.93 |
| 07/31/2018 | DEBOER, DIANA | JNL PERSPECTIVE II 05/05 | 0.00 | 25.03 | 85.0 | 21.28 | 0.00 | 21.28 |
| 07/31/2018 | COZIK, MICHELLE | JNL PERSPECTIVE II 05/05 | 0.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | NETHAWAY, BARBARA | JNL PERSPECTIVE II 05/05 | 0.00 | 14.31 | 85.0 | 12.16 | 0.00 | 12.16 |
| 07/31/2018 | AUSTIN, CHARLENE | JNL PERSPECTIVE II 05/05 | 0.00 | 14.61 | 85.0 | 12.42 | 0.00 | 12.42 |
| 07/31/2018 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 0.00 | 83.54 | 85.0 | 71.01 | 0.00 | 71.01 |
| 07/31/2018 | KOERNER, NANCY | JNL PERSPECTIVE II 05/05 | 0.00 | 45.35 | 85.0 | 38.55 | 0.00 | 38.55 |
| 07/31/2018 | BUKOVCIK, GAVIN | JNL PERSPECTIVE II 05/05 | 0.00 | 25.35 | 85.0 | 21.55 | 0.00 | 21.55 |
| 07/31/2018 | BOETTCHER, BRETT | JNL PERSPECTIVE II 05/05 | 0.00 | 1.20 | 85.0 | 1.02 | 0.00 | 1.02 |
| 07/31/2018 | BOETTCHER, BRETT | JNL PERSPECTIVE II 05/05 | 0.00 | 8.50 | 85.0 | 7.23 | 0.00 | 7.23 |
| 07/31/2018 | BATORA, DELORES | JNL PERSPECTIVE II 05/05 | 0.00 | 13.41 | 85.0 | 11.40 | 0.00 | 11.40 |
| 07/31/2018 | STOCKING, RODNEY | JNL PERSPECTIVE II 05/05 | 0.00 | 116.21 | 85.0 | 98.78 | 0.00 | 98.78 |
| 07/31/2018 | MOORE, DONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 5.95 | 85.0 | 5.06 | 0.00 | 5.06 |
| 07/31/2018 | PALEN, LINDA | JNL PERSPECTIVE II 05/05 | 0.00 | 9.50 | 85.0 | 8.08 | 0.00 | 8.08 |
| 07/31/2018 | HERBLET, SCOTT | JNL PERSPECTIVE II 05/05 | 0.00 | 15.15 | 85.0 | 12.88 | 0.00 | 12.88 |
| 07/31/2018 | DEVEREAUX, DARLENE | JNL PERSPECTIVE II 05/05 | 0.00 | 21.83 | 85.0 | 18.56 | 0.00 | 18.56 |
| 07/31/2018 | MEDINA, CESAR | JNL PERSPECTIVE II 05/05 | 0.00 | 20.40 | 85.0 | 17.34 | 0.00 | 17.34 |
| 07/31/2018 | BESKO, DIRK | JNL PERSPECTIVE II 05/05 | 0.00 | 4.86 | 85.0 | 4.13 | 0.00 | 4.13 |
| 07/31/2018 | BESKO, DIRK | JNL PERSPECTIVE II 05/05 | 0.00 | 49.87 | 85.0 | 42.39 | 0.00 | 42.39 |
| 07/31/2018 | LATZ, SONYA | JNL PERSPECTIVE II 05/05 | 0.00 | 2.40 | 85.0 | 2.04 | 0.00 | 2.04 |
| 07/31/2018 | HARROW, WENDY | JNL PERSPECTIVE II 05/05 | 0.00 | 1.74 | 85.0 | 1.48 | 0.00 | 1.48 |
| 07/31/2018 | BISHOP, DAVID | JNL PERSPECTIVE II 05/05 | 0.00 | 30.57 | 85.0 | 25.98 | 0.00 | 25.98 |
| 07/31/2018 | O'BERRY, MICHAEL | JNL PERSPECTIVE II 05/05 | 0.00 | 78.27 | 85.0 | 66.53 | 0.00 | 66.53 |
| 07/31/2018 | WINKLER, JOHN | JNL PERSPECTIVE II 05/05 | 0.00 | 176.61 | 85.0 | 150.12 | 0.00 | 150.12 |
| 07/31/2018 | HOOKER, LINDA | JNL PERSPECTIVE II 05/05 | 0.00 | 56.77 | 85.0 | 48.25 | 0.00 | 48.25 |
| 07/31/2018 | BISHOP, DAVID | JNL PERSPECTIVE II 05/05 | 0.00 | 10.30 | 85.0 | 8.76 | 0.00 | 8.76 |
| 07/31/2018 | DARLING, GARY | JNL PERSPECTIVE II 05/05 | 0.00 | 62.07 | 85.0 | 52.76 | 0.00 | 52.76 |
| 07/31/2018 | GALECKA, CYRIL | JNL PERSPECTIVE II 05/05 | 0.00 | 58.74 | 85.0 | 49.93 | 0.00 | 49.93 |
| 07/31/2018 | BURNS, KAREN | JNL PERSPECTIVE II 05/05 | 0.00 | 69.88 | 85.0 | 59.40 | 0.00 | 59.40 |
| 07/31/2018 | COOK, DONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 1.88 | 85.0 | 1.60 | 0.00 | 1.60 |
| 07/31/2018 | GREEN, STEVE | JNL PERSPECTIVE II 05/05 | 0.00 | 25.61 | 85.0 | 21.77 | 0.00 | 21.77 |
| 07/31/2018 | HECKMAN, RUSSELL | JNL PERSPECTIVE II 05/05 | 0.00 | 39.21 | 85.0 | 33.33 | 0.00 | 33.33 |
| 07/31/2018 | HECKMAN, VICKI | JNL PERSPECTIVE II 05/05 | 0.00 | 2.42 | 85.0 | 2.06 | 0.00 | 2.06 |
| 07/31/2018 | HECKMAN, VICKI | JNL PERSPECTIVE II 05/05 | 0.00 | 92.79 | 85.0 | 78.87 | 0.00 | 78.87 |
| 07/31/2018 | VINCENT, DAVID | JNL PERSPECTIVE II 05/05 | 0.00 | 1.90 | 85.0 | 1.62 | 0.00 | 1.62 |
| 07/31/2018 | VINCENT, JAMIE | JNL PERSPECTIVE II 05/05 | 0.00 | 9.11 | 85.0 | 7.74 | 0.00 | 7.74 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|---------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 0.00 | 7.30 | 85.0 | 6.21 | 0.00 | 6.21 |
| 07/31/2018 | HAZLE, JOHN | JNL PERSPECTIVE II 05/05 | 0.00 | 3.41 | 85.0 | 2.90 | 0.00 | 2.90 |
| 07/31/2018 | KANTHE, LINDA | JNL PERSPECTIVE II 05/05 | 0.00 | 28.78 | 85.0 | 24.46 | 0.00 | 24.46 |
| 07/31/2018 | COOK, JANET | JNL PERSPECTIVE II 05/05 | 0.00 | 26.33 | 85.0 | 22.38 | 0.00 | 22.38 |
| 07/31/2018 | COOK, DONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 65.62 | 85.0 | 55.78 | 0.00 | 55.78 |
| 07/31/2018 | ADE, MARIE | JNL PERSPECTIVE II 05/05 | 0.00 | 22.90 | 85.0 | 19.47 | 0.00 | 19.47 |
| 07/31/2018 | COOK, DONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 46.11 | 85.0 | 39.19 | 0.00 | 39.19 |
| 07/31/2018 | BUKOVCIK, SUSAN | JNL PERSPECTIVE II 05/05 | 0.00 | 6.84 | 85.0 | 5.81 | 0.00 | 5.81 |
| 07/31/2018 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 0.00 | 7.08 | 85.0 | 6.02 | 0.00 | 6.02 |
| 07/31/2018 | ATKINS, RONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 26.56 | 85.0 | 22.58 | 0.00 | 22.58 |
| 07/31/2018 | MCCABE, DAVID | JNL PERSPECTIVE II 05/05 | 0.00 | 36.70 | 85.0 | 31.20 | 0.00 | 31.20 |
| 07/31/2018 | SMITH, DOUGLAS | JNL PERSPECTIVE II 05/05 | 0.00 | 3.60 | 85.0 | 3.06 | 0.00 | 3.06 |
| 07/31/2018 | BUSH, VICTORIA | JNL PERSPECTIVE II 05/05 | 0.00 | 12.64 | 85.0 | 10.74 | 0.00 | 10.74 |
| 07/31/2018 | COSCARELLI, ARTHUR | JNL PERSPECTIVE II 05/05 | 0.00 | 6.53 | 85.0 | 5.55 | 0.00 | 5.55 |
| 07/31/2018 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 0.00 | 61.84 | 85.0 | 52.56 | 0.00 | 52.56 |
| 07/31/2018 | FEDEWA, NEIL | JNL PERSPECTIVE II 05/05 | 0.00 | 5.32 | 85.0 | 4.52 | 0.00 | 4.52 |
| 07/31/2018 | PALEN, LINDA | JNL PERSPECTIVE II 05/05 | 0.00 | 77.01 | 85.0 | 65.46 | 0.00 | 65.46 |
| 07/31/2018 | CONKLIN, SANDRA | JNL PERSPECTIVE II 05/05 | 0.00 | 5.98 | 85.0 | 5.08 | 0.00 | 5.08 |
| 07/31/2018 | CONKLIN, DOUG | JNL PERSPECTIVE II 05/05 | 0.00 | 25.67 | 85.0 | 21.82 | 0.00 | 21.82 |
| 07/31/2018 | ATKINS, RONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 3.05 | 85.0 | 2.59 | 0.00 | 2.59 |
| 07/31/2018 | ATKINS, DEB | JNL PERSPECTIVE II 05/05 | 0.00 | 3.52 | 85.0 | 2.99 | 0.00 | 2.99 |
| 07/31/2018 | ATKINS, RONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 44.25 | 85.0 | 37.61 | 0.00 | 37.61 |
| 07/31/2018 | KURN CZ, KIMBERLY | JNL PERSPECTIVE II 05/05 | 0.00 | 0.38 | 85.0 | 0.32 | 0.00 | 0.32 |
| 07/31/2018 | MASSA, RODGER | JNL PERSPECTIVE II 05/05 | 0.00 | 40.76 | 85.0 | 34.65 | 0.00 | 34.65 |
| 07/31/2018 | WEISMILLER, SANDRA | JNL PERSPECTIVE II 05/05 | 0.00 | 404.89 | 85.0 | 344.16 | 0.00 | 344.16 |
| 07/31/2018 | BYWATER, SHEILA | JNL PERSPECTIVE II 05/05 | 0.00 | 134.10 | 85.0 | 113.99 | 0.00 | 113.99 |
| 07/31/2018 | PLOWMAN, DONNA | JNL PERSPECTIVE II 05/05 | 0.00 | 17.73 | 85.0 | 15.07 | 0.00 | 15.07 |
| 07/31/2018 | BUKOVCIK, SUSAN | JNL PERSPECTIVE II 05/05 | 0.00 | 39.20 | 85.0 | 33.32 | 0.00 | 33.32 |
| 07/31/2018 | BUKOVCIK, SUSAN | JNL PERSPECTIVE II 05/05 | 0.00 | 31.64 | 85.0 | 26.89 | 0.00 | 26.89 |
| 07/31/2018 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 0.00 | 31.05 | 85.0 | 26.39 | 0.00 | 26.39 |
| 07/31/2018 | RADEMACHER, SUZANNE | JNL PERSPECTIVE II 05/05 | 0.00 | 26.48 | 85.0 | 22.51 | 0.00 | 22.51 |
| 07/31/2018 | RADEMACHER, RICKEY | JNL PERSPECTIVE II 05/05 | 0.00 | 26.48 | 85.0 | 22.51 | 0.00 | 22.51 |
| 07/31/2018 | DARLING, KIMBERLY | JNL PERSPECTIVE II 05/05 | 0.00 | 20.28 | 85.0 | 17.24 | 0.00 | 17.24 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | EVANS, ROGER | JNL PERSPECTIVE II 05/05 | 0.00 | 18.66 | 85.0 | 15.86 | 0.00 | 15.86 |
| 07/31/2018 | BARKS, ERRON | JNL PERSPECTIVE II 05/05 | 0.00 | 13.94 | 85.0 | 11.85 | 0.00 | 11.85 |
| 07/31/2018 | BARKS, MARIE | JNL PERSPECTIVE II 05/05 | 0.00 | 17.94 | 85.0 | 15.25 | 0.00 | 15.25 |
| 07/31/2018 | BARKS, ERRON | JNL PERSPECTIVE II 05/05 | 0.00 | 22.36 | 85.0 | 19.01 | 0.00 | 19.01 |
| 07/31/2018 | BARKS, ERRON | JNL PERSPECTIVE II 05/05 | 0.00 | 141.58 | 85.0 | 120.34 | 0.00 | 120.34 |
| 07/31/2018 | BARKS, MARIE | JNL PERSPECTIVE II 05/05 | 0.00 | 22.36 | 85.0 | 19.01 | 0.00 | 19.01 |
| 07/31/2018 | FINCH, ARLENE | JNL PERSPECTIVE II 05/05 | 0.00 | 8.01 | 85.0 | 6.81 | 0.00 | 6.81 |
| 07/31/2018 | BUKOVCIK, DANIEL | JNL PERSPECTIVE II 05/05 | 0.00 | 39.84 | 85.0 | 33.86 | 0.00 | 33.86 |
| 07/31/2018 | O'BERRY, CHARLES | JNL PERSPECTIVE II 05/05 | 0.00 | 45.52 | 85.0 | 38.69 | 0.00 | 38.69 |
| 07/31/2018 | RAMIREZ, JOAN | JNL PERSPECTIVE II 05/05 | 0.00 | 25.06 | 85.0 | 21.30 | 0.00 | 21.30 |
| 07/31/2018 | SWENSON, AMY | JNL PERSPECTIVE II 05/05 | 0.00 | 5.00 | 85.0 | 4.25 | 0.00 | 4.25 |
| 07/31/2018 | SWENSON, ERIC | JNL PERSPECTIVE II 05/05 | 0.00 | 4.27 | 85.0 | 3.63 | 0.00 | 3.63 |
| 07/31/2018 | SWENSON, ERIC | JNL PERSPECTIVE II 05/05 | 0.00 | 2.58 | 85.0 | 2.19 | 0.00 | 2.19 |
| 07/31/2018 | FOLTZ, TIMOTHY | JNL PERSPECTIVE II 05/05 | 0.00 | 2.93 | 85.0 | 2.49 | 0.00 | 2.49 |
| 07/31/2018 | MOORE, MARC | JNL PERSPECTIVE II 05/05 | 0.00 | 0.17 | 85.0 | 0.14 | 0.00 | 0.14 |
| 07/31/2018 | BEALS, TIMOTHY | JNL PERSPECTIVE II 05/05 | 0.00 | 14.19 | 85.0 | 12.06 | 0.00 | 12.06 |
| 07/31/2018 | LEN, JURLINE | JNL PERSPECTIVE II 05/05 | 0.00 | 51.74 | 85.0 | 43.98 | 0.00 | 43.98 |
| 07/31/2018 | LEN, JURLINE | JNL PERSPECTIVE II 05/05 | 0.00 | 74.76 | 85.0 | 63.55 | 0.00 | 63.55 |
| 07/31/2018 | HAZLE, JOHN | JNL PERSPECTIVE II 05/05 | 0.00 | 5.87 | 85.0 | 4.99 | 0.00 | 4.99 |
| 07/31/2018 | HARMON, RICHARD | JNL PERSPECTIVE II 05/05 | 0.00 | 66.38 | 85.0 | 56.42 | 0.00 | 56.42 |
| 07/31/2018 | KUS, DONNA | JNL PERSPECTIVE II 05/05 | 0.00 | 46.54 | 85.0 | 39.56 | 0.00 | 39.56 |
| 07/31/2018 | DARLING, TARIN | JNL PERSPECTIVE II 05/05 | 0.00 | 2.32 | 85.0 | 1.97 | 0.00 | 1.97 |
| 07/31/2018 | MILLER, PHILLIP | JNL PERSPECTIVE II 05/05 | 0.00 | 0.49 | 85.0 | 0.42 | 0.00 | 0.42 |
| 07/31/2018 | MILLER, VIVIAN | JNL PERSPECTIVE II 05/05 | 0.00 | 2.44 | 85.0 | 2.07 | 0.00 | 2.07 |
| 07/31/2018 | UNTERBRINK, PAUL | JNL PERSPECTIVE II 05/05 | 0.00 | 52.36 | 85.0 | 44.51 | 0.00 | 44.51 |
| 07/31/2018 | BLAIN, VICKIE | JNL PERSPECTIVE II 05/05 | 0.00 | 34.63 | 85.0 | 29.44 | 0.00 | 29.44 |
| 07/31/2018 | BLAIN, VICKIE | JNL PERSPECTIVE II 05/05 | 0.00 | 32.46 | 85.0 | 27.59 | 0.00 | 27.59 |
| 07/31/2018 | SIEFFERT, JUDITH | JNL PERSPECTIVE II 05/05 | 0.00 | 214.59 | 85.0 | 182.40 | 0.00 | 182.40 |
| 07/31/2018 | PAKSI, JOYCE | JNL PERSPECTIVE II 05/05 | 0.00 | 6.37 | 85.0 | 5.41 | 0.00 | 5.41 |
| 07/31/2018 | PAKSI, JOYCE | JNL PERSPECTIVE II 05/05 | 0.00 | 42.19 | 85.0 | 35.86 | 0.00 | 35.86 |
| 07/31/2018 | PAKSI, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 6.66 | 85.0 | 5.66 | 0.00 | 5.66 |
| 07/31/2018 | PAKSI, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 17.69 | 85.0 | 15.04 | 0.00 | 15.04 |
| 07/31/2018 | STUTZMAN, KAREN | JNL PERSPECTIVE II 05/05 | 0.00 | 18.40 | 85.0 | 15.64 | 0.00 | 15.64 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | SWANCHARA, KIM | JNL PERSPECTIVE II 05/05 | 0.00 | 22.26 | 85.0 | 18.92 | 0.00 | 18.92 |
| 07/31/2018 | SWANCHARA, BEN | JNL PERSPECTIVE II 05/05 | 0.00 | 22.26 | 85.0 | 18.92 | 0.00 | 18.92 |
| 07/31/2018 | BATORA, DELORES | JNL PERSPECTIVE II 05/05 | 0.00 | 75.79 | 85.0 | 64.42 | 0.00 | 64.42 |
| 07/31/2018 | DUNSMORE, CAROL | JNL PERSPECTIVE II 05/05 | 0.00 | 49.11 | 85.0 | 41.74 | 0.00 | 41.74 |
| 07/31/2018 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 0.00 | 111.75 | 85.0 | 94.99 | 0.00 | 94.99 |
| 07/31/2018 | STEHLIK, FRANCES | JNL PERSPECTIVE II 05/05 | 0.00 | 47.45 | 85.0 | 40.33 | 0.00 | 40.33 |
| 07/31/2018 | STEHLIK, FRANCES | JNL PERSPECTIVE II 05/05 | 0.00 | 15.09 | 85.0 | 12.83 | 0.00 | 12.83 |
| 07/31/2018 | WHALEY JR, RICHARD | JNL PERSPECTIVE II 05/05 | 0.00 | 20.24 | 85.0 | 17.20 | 0.00 | 17.20 |
| 07/31/2018 | GOOSEN, JEROME | JNL PERSPECTIVE II 05/05 | 0.00 | 6.60 | 85.0 | 5.61 | 0.00 | 5.61 |
| 07/31/2018 | BAILEY, THOMAS | JNL PERSPECTIVE II 05/05 | 0.00 | 3.64 | 85.0 | 3.09 | 0.00 | 3.09 |
| 07/31/2018 | BAILEY, THOMAS | JNL PERSPECTIVE II 05/05 | 0.00 | 48.70 | 85.0 | 41.40 | 0.00 | 41.40 |
| 07/31/2018 | BAILEY, WALLACE | JNL PERSPECTIVE II 05/05 | 0.00 | 75.77 | 85.0 | 64.40 | 0.00 | 64.40 |
| 07/31/2018 | BAILEY, SUSAN | JNL PERSPECTIVE II 05/05 | 0.00 | 11.53 | 85.0 | 9.80 | 0.00 | 9.80 |
| 07/31/2018 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 0.00 | 18.53 | 85.0 | 15.75 | 0.00 | 15.75 |
| 07/31/2018 | WOODMAN, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 37.68 | 85.0 | 32.03 | 0.00 | 32.03 |
| 07/31/2018 | HOGAN, JOSEPH | JNL PERSPECTIVE II 05/05 | 0.00 | 65.00 | 85.0 | 55.25 | 0.00 | 55.25 |
| 07/31/2018 | FOX, JAMES | JNL PERSPECTIVE II 05/05 | 0.00 | 69.24 | 85.0 | 58.85 | 0.00 | 58.85 |
| 07/31/2018 | PERRY, GREGORY | JNL PERSPECTIVE II 05/05 | 0.00 | 21.42 | 85.0 | 18.21 | 0.00 | 18.21 |
| 07/31/2018 | HOUSE, MATTHEW | JNL PERSPECTIVE II 05/05 | 0.00 | 6.48 | 85.0 | 5.51 | 0.00 | 5.51 |
| 07/31/2018 | SMALLDON, JANET | JNL PERSPECTIVE II 05/05 | 0.00 | 22.53 | 85.0 | 19.15 | 0.00 | 19.15 |
| 07/31/2018 | FABER, KATHRYN | JNL PERSPECTIVE II 05/05 | 0.00 | 15.90 | 85.0 | 13.52 | 0.00 | 13.52 |
| 07/31/2018 | BUKOVCIK, RONALD | JNL PERSPECTIVE II 05/05 | 0.00 | 228.29 | 85.0 | 194.05 | 0.00 | 194.05 |
| 07/31/2018 | BARTON, EVA | JNL PERSPECTIVE II 05/05 | 0.00 | 8.39 | 85.0 | 7.13 | 0.00 | 7.13 |
| 07/31/2018 | THELEN, NEIL | JNL PERSPECTIVE II 05/05 | 0.00 | 90.11 | 85.0 | 76.59 | 0.00 | 76.59 |
| 07/31/2018 | CONRAD, DOUGLAS | JNL PERSPECTIVE II 05/05 | 0.00 | 15.06 | 85.0 | 12.80 | 0.00 | 12.80 |
| 07/31/2018 | MARTIN, SCOTT | JNL PERSPECTIVE II 05/05 | 0.00 | 28.98 | 85.0 | 24.63 | 0.00 | 24.63 |
| 07/31/2018 | ROOF, ANNA | JNL PERSPECTIVE II 05/05 | 0.00 | 81.55 | 85.0 | 69.32 | 0.00 | 69.32 |
| 07/31/2018 | ROBERTS, MONICA | JNL PERSPECTIVE II 05/05 | 0.00 | 3.02 | 85.0 | 2.57 | 0.00 | 2.57 |
| 07/31/2018 | DOYLE, DENNIS | JNL PERSPECTIVE II 05/05 | 0.00 | 21.94 | 85.0 | 18.65 | 0.00 | 18.65 |
| 07/31/2018 | SCHRAUBEN, HOLLY | JNL PERSPECTIVE II 05/05 | 0.00 | 1.90 | 85.0 | 1.62 | 0.00 | 1.62 |
| 07/31/2018 | BURNS, KAREN | JNL PERSPECTIVE II 05/05 | 0.00 | 12.63 | 85.0 | 10.74 | 0.00 | 10.74 |
| 07/31/2018 | PEASE, STEVEN | JNL PERSPECTIVE II 05/05 | 0.00 | 26.37 | 85.0 | 22.41 | 0.00 | 22.41 |
| 07/31/2018 | THORNBURG, JANET | JNL PERSPECTIVE II 05/05 | 0.00 | 36.25 | 85.0 | 30.81 | 0.00 | 30.81 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|---------------------|--------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | BAILEY, WALLACE | JNL PERSPECTIVE II 05/05 | 0.00 | 19.56 | 85.0 | 16.63 | 0.00 | 16.63 |
| 07/31/2018 | SCHULTZ, GARY | JNL PERSPECTIVE II 05/05 | 0.00 | 2.73 | 85.0 | 2.32 | 0.00 | 2.32 |
| 07/31/2018 | RITZ, VICKI | JNL PERSPECTIVE II 05/05 | 0.00 | 9.91 | 85.0 | 8.42 | 0.00 | 8.42 |
| 07/31/2018 | KIEFFER, DUSTIN | JNL PERSPECTIVE II 05/05 | 0.00 | 6.79 | 85.0 | 5.77 | 0.00 | 5.77 |
| 07/31/2018 | BAILEY, WALLACE | JNL PERSPECTIVE II 05/05 | 0.00 | 15.86 | 85.0 | 13.48 | 0.00 | 13.48 |
| 07/31/2018 | THORNBURG, RICHARD | JNL PERSPECTIVE II 05/05 | 0.00 | 120.95 | 85.0 | 102.81 | 0.00 | 102.81 |
| 07/31/2018 | MEADOWS, SANDRA | JNL PERSPECTIVE II 05/05 | 0.00 | 110.22 | 85.0 | 93.69 | 0.00 | 93.69 |
| 07/31/2018 | BERNATH, THOMAS | JNL PERSPECTIVE II 05/05 | 0.00 | 3.57 | 85.0 | 3.03 | 0.00 | 3.03 |
| 07/31/2018 | DANIEL, JOHN | JNL PERSPECTIVE II 05/05 | 0.00 | 20.63 | 85.0 | 17.54 | 0.00 | 17.54 |
| 07/31/2018 | WROBEL, GARY | JNL PERSPECTIVE II 05/05 | 0.00 | 177.69 | 85.0 | 151.04 | 0.00 | 151.04 |
| 07/31/2018 | ZUSSMAN, KELLI | JNL PERSPECTIVE II 05/05 | 0.00 | 200.89 | 85.0 | 170.76 | 0.00 | 170.76 |
| 07/31/2018 | EVANS, ROGER | JNL PERSPECTIVE II 05/05 | 0.00 | 3.93 | 85.0 | 3.34 | 0.00 | 3.34 |
| 07/31/2018 | EVANS, ROGER | JNL PERSPECTIVE II 05/05 | 0.00 | 22.29 | 85.0 | 18.95 | 0.00 | 18.95 |
| 07/31/2018 | LEIBY, PAMELA | JNL PERSPECTIVE II 05/05 | 0.00 | 38.80 | 85.0 | 32.98 | 0.00 | 32.98 |
| 07/31/2018 | LEIBY, PAMELA | JNL PERSPECTIVE II 05/05 | 0.00 | 12.31 | 85.0 | 10.46 | 0.00 | 10.46 |
| 07/31/2018 | SCHMITT, WILLIAM | JNL PERSPECTIVE II 05/05 | 0.00 | 13.03 | 85.0 | 11.08 | 0.00 | 11.08 |
| 07/31/2018 | SCHMITT, KELLEY | JNL PERSPECTIVE II 05/05 | 0.00 | 6.66 | 85.0 | 5.66 | 0.00 | 5.66 |
| 07/31/2018 | EKEL, MARY | JNL PERSPECTIVE II 05/05 | 0.00 | 4.56 | 85.0 | 3.88 | 0.00 | 3.88 |
| 07/31/2018 | SHETTLER, CARL | JNL PERSPECTIVE II 05/05 | 0.00 | 1.32 | 85.0 | 1.12 | 0.00 | 1.12 |
| 07/31/2018 | NUTTER, JACK | JNL PERSPECTIVE II 05/05 | 0.00 | 80.18 | 85.0 | 68.15 | 0.00 | 68.15 |
| 07/31/2018 | HUHN, JUDY | JNL PERSPECTIVE II 05/05 | 0.00 | 55.17 | 85.0 | 46.89 | 0.00 | 46.89 |
| 07/31/2018 | DOUBRAVA, STACY | JNL PERSPECTIVE II 05/05 | 0.00 | 114.75 | 85.0 | 97.54 | 0.00 | 97.54 |
| 07/31/2018 | CAIRNS, SCOTT | JNL PERSPECTIVE II 05/05 | 0.00 | 76.89 | 85.0 | 65.36 | 0.00 | 65.36 |
| 07/31/2018 | RADMACHER, ROGER | JNL PERSPECTIVE II 05/05 | 0.00 | 105.46 | 85.0 | 89.64 | 0.00 | 89.64 |
| 07/31/2018 | MASSA, RODGER | JNL PERSPECTIVE II 05/05 | 0.00 | 1.36 | 85.0 | 1.16 | 0.00 | 1.16 |
| 07/31/2018 | PAKSI, JAMES | JNL PERSPECTIVE II 05/05 | 0.00 | 91.62 | 85.0 | 77.88 | 0.00 | 77.88 |
| 07/31/2018 | MILLER, VIVIAN | JNL PERSPECTIVE II 05/05 | 0.00 | 28.87 | 85.0 | 24.54 | 0.00 | 24.54 |
| 07/31/2018 | STANLEY, REGINA | JNL PERSPECTIVE II 05/05 | 0.00 | 44.40 | 85.0 | 37.74 | 0.00 | 37.74 |
| 07/31/2018 | NORDER, MARSHA | JNL PERSPECTIVE II 05/05 | 0.00 | 10.83 | 85.0 | 9.21 | 0.00 | 9.21 |
| 07/31/2018 | COPESE, TAMERA | JNL PERSPECTIVE II 05/05 | 0.00 | 23.24 | 85.0 | 19.75 | 0.00 | 19.75 |
| 07/31/2018 | WINTERS, JODI | JNL PERSPECTIVE II 05/05 | 0.00 | 8.43 | 85.0 | 7.17 | 0.00 | 7.17 |
| 07/31/2018 | ASHBAUGH, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 9.03 | 85.0 | 7.68 | 0.00 | 7.68 |
| 07/31/2018 | BURKETT SR, RICHARD | JNL PERSPECTIVE II 05/05 | 0.00 | 1.57 | 85.0 | 1.33 | 0.00 | 1.33 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------|--------------------------|----------------------|---------------------|------|--------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/31/2018 | HAZLE, JAYDEN | JNL PERSPECTIVE II 05/05 | 0.00 | 4.21 | 85.0 | 3.58 | 0.00 | 3.58 |
| 07/31/2018 | STOLL, MARY | JNL PERSPECTIVE II 05/05 | 0.00 | 1.67 | 85.0 | 1.42 | 0.00 | 1.42 |
| 07/31/2018 | ARTHUR, LOYAL | JNL PERSPECTIVE II 05/05 | 0.00 | 106.89 | 85.0 | 90.86 | 0.00 | 90.86 |
| 07/31/2018 | FOX, JAMES | JNL PERSPECTIVE II 05/05 | 0.00 | 13.84 | 85.0 | 11.76 | 0.00 | 11.76 |
| 07/31/2018 | SMALEC, CARLA | JNL PERSPECTIVE II 05/05 | 0.00 | 52.54 | 85.0 | 44.66 | 0.00 | 44.66 |
| 07/31/2018 | HEATHMAN, DAWN | JNL PERSPECTIVE II 05/05 | 0.00 | 38.34 | 85.0 | 32.59 | 0.00 | 32.59 |
| 07/31/2018 | RAMIREZ, NICOLAS | JNL PERSPECTIVE II 05/05 | 0.00 | 124.94 | 85.0 | 106.20 | 0.00 | 106.20 |
| 07/31/2018 | WALTER, SHIRLEY | JNL PERSPECTIVE II 05/05 | 0.00 | 34.24 | 85.0 | 29.10 | 0.00 | 29.10 |
| 07/31/2018 | WALTER, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 19.25 | 85.0 | 16.36 | 0.00 | 16.36 |
| 07/31/2018 | WALTER, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 67.91 | 85.0 | 57.72 | 0.00 | 57.72 |
| 07/31/2018 | WALTER, SHIRLEY | JNL PERSPECTIVE II 05/05 | 0.00 | 1.70 | 85.0 | 1.45 | 0.00 | 1.45 |
| 07/31/2018 | WALTER, SHIRLEY | JNL PERSPECTIVE II 05/05 | 0.00 | 19.75 | 85.0 | 16.79 | 0.00 | 16.79 |
| 07/31/2018 | WALTER, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 1.71 | 85.0 | 1.45 | 0.00 | 1.45 |
| 07/31/2018 | LENON, DAWN | JNL PERSPECTIVE II 05/05 | 0.00 | 111.31 | 85.0 | 94.61 | 0.00 | 94.61 |
| 07/31/2018 | DEVEREAUX, ANDREW | JNL PERSPECTIVE II 05/05 | 0.00 | 10.16 | 85.0 | 8.64 | 0.00 | 8.64 |
| 07/31/2018 | MCCREERY, RODNEY | JNL PERSPECTIVE II 05/05 | 0.00 | 10.85 | 85.0 | 9.22 | 0.00 | 9.22 |
| 07/31/2018 | DEVEREAUX, DARLENE | JNL PERSPECTIVE II 05/05 | 0.00 | 1.58 | 85.0 | 1.34 | 0.00 | 1.34 |
| 07/31/2018 | CALVERLEY, ROBERT | JNL PERSPECTIVE II 05/05 | 0.00 | 61.67 | 85.0 | 52.42 | 0.00 | 52.42 |
| 07/31/2018 | WINKLER, DAVID | JNL PERSPECTIVE II 05/05 | 0.00 | 43.71 | 85.0 | 37.15 | 0.00 | 37.15 |
| 07/31/2018 | BATES, JAMES | JNL PERSPECTIVE II 05/05 | 0.00 | 99.72 | 85.0 | 84.76 | 0.00 | 84.76 |
| 07/31/2018 | BOETTCHER, DAWN | JNL PERSPECTIVE II 05/05 | 0.00 | 10.02 | 85.0 | 8.52 | 0.00 | 8.52 |
| 07/31/2018 | RITTER, DEVONA | JNL PERSPECTIVE II 05/05 | 0.00 | 12.75 | 85.0 | 10.84 | 0.00 | 10.84 |
| 07/31/2018 | MARTENS, KEVIN | JNL PERSPECTIVE II 10/04 | 0.00 | 17.12 | 85.0 | 14.55 | 0.00 | 14.55 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$11,588.20 | | \$9,850.07 | \$0.00 | \$9,850.07 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$223,074.37 | \$23,147.92 | | \$19,675.89 | \$0.00 | \$19,675.89 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---------------------------|--------|--|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 08/15/2018 | | *: TECHNOLOGY ALBRIDGE - LIGHT USER | 0.00 | | | | | -76.00 |
| 08/15/2018 | | *: TECHNOLOGY LASER APP | 0.00 | | | | | -18.00 |
| 08/15/2018 | | *: TECHNOLOGYAI INSIGHT | 0.00 | | | | | -7.00 |
| 08/15/2018 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 08/15/2018 | | *TECH: CURRENT MONTH TECH-GAVIN | 0.00 | | | | | -135.00 |
| 08/15/2018 | | *TECHNOLOGY: BASIC | 0.00 | | | | | -180.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | | \$-716.00 | \$0.00 | \$-716.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$19,675.89 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-716.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$18,959.89 |
| Year-to-date Gross | \$196,661.11 |
| Year-to-date Earnings | \$170,645.86 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed