



All Companies

04/12/2018  
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# COMMISSION STATEMENT April 16, 2018

JUDE RAMON L. BARCENAS  
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013  
BRANCH: HOME OFFICE

Richardson, TX 75082-

## COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
03/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	161.22	161.22	0.00	161.22	60.0	96.73
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	388.67	388.67	0.00	388.67	60.0	233.20
03/22/2018	KYSER, DICK DWAYNE	-PUTNAM	B	220.00	11.00	0.00	11.00	60.0	6.60
03/31/2018	TRAILS	-PUTNAM	B	4.33	4.33	0.00	4.33	60.0	2.60
04/05/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.50	0.00	0.50	60.0	0.30
04/05/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.50	0.00	0.50	60.0	0.30
04/05/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B	B	50.00	0.50	0.00	0.50	60.0	0.30
04/05/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B	B	50.00	0.50	0.00	0.50	60.0	0.30
04/05/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B	B	50.00	0.50	0.00	0.50	60.0	0.30
04/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
04/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
04/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
04/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,524.22</b>	<b>\$587.76</b>	<b>\$0.00</b>	<b>\$587.76</b>		<b>\$352.67</b>

## PRODUCT CATEGORY: VARIABLE ANNUITIES

03/30/2018	TRAILS	-HARTFORD LIFE	B	50.02	50.02	0.00	50.02	60.0	30.01
03/31/2018	TRAILS	-NATIONWIDE LIFE	B	486.83	486.83	0.00	486.83	60.0	292.10
03/15/2018	DEVEZA, NOEMYLINA ONG	-PACIFIC LIFE INSURANCE	B	283.17	8.50	0.00	8.50	60.0	5.10
03/15/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44	60.0	0.86

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/15/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70	60.0	0.42
03/15/2018	ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	B	17.83	0.36	0.00	0.36	60.0	0.22
03/08/2018	ANGELO, CONCORDIA	-SECURITY LIFE OF DENVER	B	2,750.00	55.00	0.00	55.00	60.0	33.00
03/31/2018	TRAILS	-SECURITY LIFE OF DENVER	B	4.65	4.65	0.00	4.65	60.0	2.79
03/18/2018	MELLENGER, PAUL J.	AMERITAS VARIABLE ANNUITY	B	249.00	9.96	0.00	9.96	60.0	5.98
03/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64	60.0	1.58
03/31/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	494.47	494.47	0.00	494.47	60.0	296.68
* VARIABLE ANNUITIES SUBTOTAL *				\$4,486.79	\$1,114.57	\$0.00	\$1,114.57		\$668.74

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/16/2018	TRAIL COMMISSIONS	INVESCO FAMILY OF FUNDS FEES		0.00	0.04	0.00	0.04	60.0	0.02
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	934.31	0.00	934.31	60.0	560.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$934.35	\$0.00	\$934.35		\$560.60
*** BROKER TRANSACTIONS TOTAL ***				\$6,011.01	\$2,636.68	\$0.00	\$2,636.68		\$1,582.01

BROKER COMMISSION TOTALS

Broker Transactions	\$1,582.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,582.01
Year-to-date Earnings	\$5,978.58