



Concorde Investment Services, LLC

06/15/2018

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COMMISSION STATEMENT

June 15, 2018

JOSEPH (TERRY), M132 MANN
219 E. 31st Street

BROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/05/2018	HADAWAY, DEBORAH	AMERICAN HIGH-INCOME MUNI BOND FUND	100.00	1.00	0.00	1.00	91.0	0.91
05/21/2018	MOORE, THOMAS	FIDELITY ADV MATERIALS C	250.00	2.50	0.00	2.50	91.0	2.28
/ /	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	0.00	2.50	0.00	2.50	91.0	2.28
* MUTUAL FUNDS SUBTOTAL *			\$350.00	\$6.00	\$0.00	\$6.00		\$5.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	69,461.42	14.47	0.00	14.47	91.0	13.17
04/30/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	69,461.42	14.47	0.00	14.47	91.0	13.17
04/30/2018	TROWBRIDGE, JANE	PRU AS XTRA CREDIT SIX	77,471.60	16.14	0.00	16.14	91.0	14.69
04/30/2018	TROWBRIDGE, JANE	PRU AS XTRA CREDIT SIX	77,471.60	16.14	0.00	16.14	91.0	14.69
04/30/2018	STEPHENS, DEE	PRU AS XTRA CREDIT SIX	98,857.82	20.60	0.00	20.60	91.0	18.75
04/30/2018	STEPHENS, DEE	PRU AS XTRA CREDIT SIX	98,857.82	20.60	0.00	20.60	91.0	18.75
04/30/2018	STEPHENS, JAMES	PRU AS XTRA CREDIT SIX	139,300.40	29.02	0.00	29.02	91.0	26.41
04/30/2018	STEPHENS, JAMES	PRU AS XTRA CREDIT SIX	139,300.40	29.02	0.00	29.02	91.0	26.41
04/30/2018	KOENIG, STEVEN	PRU AS XTRA CREDIT SIX	238,318.24	49.65	0.00	49.65	91.0	45.18
04/30/2018	KOENIG, STEVEN	PRU AS XTRA CREDIT SIX	238,318.24	49.65	0.00	49.65	91.0	45.18
04/30/2018	JARRETT, LAURIE	PRU AS XTRA CREDIT SIX	96,465.97	20.10	0.00	20.10	91.0	18.29
04/30/2018	JARRETT, LAURIE	PRU AS XTRA CREDIT SIX	96,465.97	20.10	0.00	20.10	91.0	18.29
04/30/2018	FOSTER, MARGO	PRU AS XTRA CREDIT SIX	147,532.42	30.74	0.00	30.74	91.0	27.97
04/30/2018	FOSTER, MARGO	PRU AS XTRA CREDIT SIX	147,532.42	30.74	0.00	30.74	91.0	27.97
04/30/2018	LEHMAN, HILDA	PRU AS XTRA CREDIT SIX	115,235.72	24.01	0.00	24.01	91.0	21.85
04/30/2018	MANN, JOSEPH(TERRY)	PRU AS XTRA CREDIT SIX	34,941.95	7.28	0.00	7.28	91.0	6.62
04/30/2018	MANN, JOSEPH(TERRY)	PRU AS XTRA CREDIT SIX	34,941.95	7.28	0.00	7.28	91.0	6.62
04/30/2018	LEHMAN, LAVERN	PRU AS XTRA CREDIT SIX	115,016.56	23.96	0.00	23.96	91.0	21.80
04/30/2018	LEHMAN, LAVERN	PRU AS XTRA CREDIT SIX	115,016.56	23.96	0.00	23.96	91.0	21.80
04/30/2018	MANN, NANCY	PRU AS XTRA CREDIT SIX	131,084.29	27.31	0.00	27.31	91.0	24.85
04/30/2018	MANN, NANCY	PRU AS XTRA CREDIT SIX	131,084.29	27.31	0.00	27.31	91.0	24.85

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	LONG, SUSAN	PRU AS XTRA CREDIT SIX	389,689.14	81.19	0.00	81.19	91.0	73.88
04/30/2018	LONG, SUSAN	PRU AS XTRA CREDIT SIX	389,689.14	81.19	0.00	81.19	91.0	73.88
04/30/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	100,940.49	21.03	0.00	21.03	91.0	19.14
04/30/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	100,940.49	21.03	0.00	21.03	91.0	19.14
04/30/2018	KROUSE, DAWN	PRU AS XTRA CREDIT SIX	14,399.43	3.00	0.00	3.00	91.0	2.73
04/30/2018	KROUSE, DAWN	PRU AS XTRA CREDIT SIX	14,399.43	3.00	0.00	3.00	91.0	2.73
04/30/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	158,683.32	33.06	0.00	33.06	91.0	30.08
04/30/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	158,683.32	33.06	0.00	33.06	91.0	30.08
04/30/2018	KRAUS, JOHN	PRU AS XTRA CREDIT SIX	415,469.45	86.56	0.00	86.56	91.0	78.77
04/30/2018	KRAUS, JOHN	PRU AS XTRA CREDIT SIX	415,469.45	86.56	0.00	86.56	91.0	78.77
04/30/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	50,496.44	10.52	0.00	10.52	91.0	9.57
04/30/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	50,496.44	10.52	0.00	10.52	91.0	9.57
04/30/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	192,627.81	40.13	0.00	40.13	91.0	36.52
04/30/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	192,627.81	40.13	0.00	40.13	91.0	36.52
04/30/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	40,837.86	8.51	0.00	8.51	91.0	7.74
04/30/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	40,837.86	8.51	0.00	8.51	91.0	7.74
04/30/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	60,488.23	12.60	0.00	12.60	91.0	11.47
04/30/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	60,488.23	12.60	0.00	12.60	91.0	11.47
04/30/2018	FOSTER, JOHN	PRU AS XTRA CREDIT SIX	198,884.20	41.43	0.00	41.43	91.0	37.70
04/30/2018	FOSTER, JOHN	PRU AS XTRA CREDIT SIX	198,884.20	41.43	0.00	41.43	91.0	37.70
04/30/2018	ZARAPKAR, AMUL	PRU AS XTRA CREDIT SIX	381,839.60	79.55	0.00	79.55	91.0	72.39
04/30/2018	ZARAPKAR, AMUL	PRU AS XTRA CREDIT SIX	381,839.60	79.55	0.00	79.55	91.0	72.39
04/30/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	70,933.10	14.78	0.00	14.78	91.0	13.45
04/30/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	70,933.10	14.78	0.00	14.78	91.0	13.45
04/30/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	26,925.50	5.61	0.00	5.61	91.0	5.11
04/30/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	26,925.50	5.61	0.00	5.61	91.0	5.11
05/31/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	69,597.73	14.50	0.00	14.50	91.0	13.20
05/31/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	69,597.73	14.50	0.00	14.50	91.0	13.20
05/31/2018	TROWBRIDGE, JANE	PRU AS XTRA CREDIT SIX	78,115.79	16.27	0.00	16.27	91.0	14.81
05/31/2018	TROWBRIDGE, JANE	PRU AS XTRA CREDIT SIX	78,115.79	16.27	0.00	16.27	91.0	14.81
05/31/2018	STEPHENS, DEE	PRU AS XTRA CREDIT SIX	99,741.43	20.78	0.00	20.78	91.0	18.91
05/31/2018	STEPHENS, DEE	PRU AS XTRA CREDIT SIX	99,741.43	20.78	0.00	20.78	91.0	18.91
05/31/2018	STEPHENS, JAMES	PRU AS XTRA CREDIT SIX	140,546.34	29.28	0.00	29.28	91.0	26.64

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	STEPHENS, JAMES	PRU AS XTRA CREDIT SIX	140,546.34	29.28	0.00	29.28	91.0	26.64
05/31/2018	KOENIG, STEVEN	PRU AS XTRA CREDIT SIX	240,450.51	50.09	0.00	50.09	91.0	45.58
05/31/2018	KOENIG, STEVEN	PRU AS XTRA CREDIT SIX	240,450.51	50.09	0.00	50.09	91.0	45.58
05/31/2018	JARRETT, LAURIE	PRU AS XTRA CREDIT SIX	96,320.91	20.07	0.00	20.07	91.0	18.26
05/31/2018	JARRETT, LAURIE	PRU AS XTRA CREDIT SIX	96,320.91	20.07	0.00	20.07	91.0	18.26
05/31/2018	FOSTER, MARGO	PRU AS XTRA CREDIT SIX	147,584.73	30.75	0.00	30.75	91.0	27.98
05/31/2018	FOSTER, MARGO	PRU AS XTRA CREDIT SIX	147,584.73	30.75	0.00	30.75	91.0	27.98
05/31/2018	LEHMAN, HILDA	PRU AS XTRA CREDIT SIX	115,144.21	23.99	0.00	23.99	91.0	21.83
05/31/2018	MANN, JOSEPH(TERRY)	PRU AS XTRA CREDIT SIX	34,759.86	7.24	0.00	7.24	91.0	6.59
05/31/2018	MANN, JOSEPH(TERRY)	PRU AS XTRA CREDIT SIX	34,759.86	7.24	0.00	7.24	91.0	6.59
05/31/2018	LEHMAN, LAVERN	PRU AS XTRA CREDIT SIX	115,214.27	24.00	0.00	24.00	91.0	21.84
05/31/2018	LEHMAN, LAVERN	PRU AS XTRA CREDIT SIX	115,214.27	24.00	0.00	24.00	91.0	21.84
05/31/2018	MANN, NANCY	PRU AS XTRA CREDIT SIX	130,590.16	27.21	0.00	27.21	91.0	24.76
05/31/2018	MANN, NANCY	PRU AS XTRA CREDIT SIX	130,590.16	27.21	0.00	27.21	91.0	24.76
05/31/2018	LONG, SUSAN	PRU AS XTRA CREDIT SIX	392,796.08	81.83	0.00	81.83	91.0	74.47
05/31/2018	LONG, SUSAN	PRU AS XTRA CREDIT SIX	392,796.08	81.83	0.00	81.83	91.0	74.47
05/31/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	100,433.63	20.92	0.00	20.92	91.0	19.04
05/31/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	100,433.63	20.92	0.00	20.92	91.0	19.04
05/31/2018	KROUSE, DAWN	PRU AS XTRA CREDIT SIX	14,529.56	3.03	0.00	3.03	91.0	2.76
05/31/2018	KROUSE, DAWN	PRU AS XTRA CREDIT SIX	14,529.56	3.03	0.00	3.03	91.0	2.76
05/31/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	160,105.19	33.36	0.00	33.36	91.0	30.36
05/31/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	160,105.19	33.36	0.00	33.36	91.0	30.36
05/31/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	50,952.92	10.62	0.00	10.62	91.0	9.66
05/31/2018	MANI, CHANDRAMOULI	PRU AS XTRA CREDIT SIX	50,952.92	10.62	0.00	10.62	91.0	9.66
05/31/2018	KRAUS, JOHN	PRU AS XTRA CREDIT SIX	423,381.59	88.20	0.00	88.20	91.0	80.26
05/31/2018	KRAUS, JOHN	PRU AS XTRA CREDIT SIX	423,381.59	88.20	0.00	88.20	91.0	80.26
05/31/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	194,072.13	40.43	0.00	40.43	91.0	36.79
05/31/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	194,072.13	40.43	0.00	40.43	91.0	36.79
05/31/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	41,137.15	8.57	0.00	8.57	91.0	7.80
05/31/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	41,137.15	8.57	0.00	8.57	91.0	7.80
05/31/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	60,908.95	12.69	0.00	12.69	91.0	11.55
05/31/2018	COLLINS, JAMES	PRU AS XTRA CREDIT SIX	60,908.95	12.69	0.00	12.69	91.0	11.55
05/31/2018	ZARAPKAR, AMUL	PRU AS XTRA CREDIT SIX	388,527.02	80.94	0.00	80.94	91.0	73.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	FOSTER, JOHN	PRU AS XTRA CREDIT SIX	199,508.84	41.56	0.00	41.56	91.0	37.82
05/31/2018	FOSTER, JOHN	PRU AS XTRA CREDIT SIX	199,508.84	41.56	0.00	41.56	91.0	37.82
05/31/2018	ZARAPKAR, AMUL	PRU AS XTRA CREDIT SIX	388,527.02	80.94	0.00	80.94	91.0	73.66
05/31/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	70,716.32	14.73	0.00	14.73	91.0	13.40
05/31/2018	MCBRIDE, DOUGLAS	PRU AS XTRA CREDIT SIX	70,716.32	14.73	0.00	14.73	91.0	13.40
05/31/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	27,040.27	5.63	0.00	5.63	91.0	5.12
05/31/2018	SAMPLES, FAY	PRU AS XTRA CREDIT SIX	27,040.27	5.63	0.00	5.63	91.0	5.12
04/30/2018	GODLESKI, BARBARA	PRU PREMIER INVT VA C	683,721.45	569.77	0.00	569.77	91.0	518.49
05/31/2018	GODLESKI, BARBARA	PRU PREMIER INVT VA C	693,456.06	577.88	0.00	577.88	91.0	525.87
04/30/2018	VOGEL, ROBERT	PRU PREMIER RETIREMENT X	210,238.62	87.60	0.00	87.60	91.0	79.72
05/31/2018	VOGEL, ROBERT	PRU PREMIER RETIREMENT X	212,083.62	88.37	0.00	88.37	91.0	80.42
* VARIABLE ANNUITIES SUBTOTAL *			\$15,085,272.92	\$4,091.50	\$0.00	\$4,091.50		\$3,723.26

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

05/22/2018	BLACK, AMELIA	PAC LIFE VUL	175.60	1.76	0.00	1.76	91.0	1.60
05/22/2018	BLACK, RICHARD	PAC LIFE VUL	235.60	2.36	0.00	2.36	91.0	2.15
05/22/2018	JARRETT, LAURIE	PAC LIFE VUL	67.50	1.35	0.00	1.35	91.0	1.23
05/24/2018	GODLESKI, KEVIN	PAC LIFE VUL	153.45	3.07	0.00	3.07	91.0	2.79
05/24/2018	GODLESKI, KEVIN	PAC LIFE VUL	14.55	0.29	0.00	0.29	91.0	0.26
05/24/2018	GODLESKI, KIRSTEN	PAC LIFE VUL	132.00	2.64	0.00	2.64	91.0	2.40
05/29/2018	DABBS, STEVEN	PAC LIFE VUL	5,014.12	10.03	0.00	10.03	91.0	9.13
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$5,792.82	\$21.50	\$0.00	\$21.50		\$19.56

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	DUNLAP, TONY	AM FUNDS AMCAP A	0.00	1.35	0.00	1.35	91.0	1.23
06/01/2018	MOORE, MELISSA	AM FUNDS AMCAP A	0.00	3.64	0.00	3.64	91.0	3.31
06/01/2018	MOORE, THOMAS	AM FUNDS AMCAP A	0.00	4.57	0.00	4.57	91.0	4.16
06/01/2018	MOORE, MELISSA	AM FUNDS AMCAP A	0.00	5.12	0.00	5.12	91.0	4.66
06/01/2018	DEWICK, TRENTEN	AM FUNDS AMCAP A	0.00	0.99	0.00	0.99	91.0	0.90
06/01/2018	KRAUS, KATHLEEN	AM FUNDS AMCAP A	0.00	2.68	0.00	2.68	91.0	2.44
06/01/2018	HOFFMAN, LISA	AM FUNDS AMCAP A	0.00	0.48	0.00	0.48	91.0	0.44
06/01/2018	DEWICK, DYLAN	AM FUNDS AMCAP A	0.00	0.38	0.00	0.38	91.0	0.35
06/01/2018	COLLINS, REGENA	AM FUNDS AMCAP A	0.00	4.99	0.00	4.99	91.0	4.54
06/01/2018	DEWICK, JAMES	AM FUNDS AMCAP A	0.00	0.76	0.00	0.76	91.0	0.69

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	COLLINS, JAMES	AM FUNDS AMCAP A	0.00	2.75	0.00	2.75	91.0	2.50
06/01/2018	PAI, YOGISH	AM FUNDS AMCAP C	0.00	54.28	0.00	54.28	91.0	49.39
06/01/2018	EMBRY, JASON	AM FUNDS AMCAP C	0.00	2.78	0.00	2.78	91.0	2.53
06/01/2018	MOORE, MELISSA	AM FUNDS AMCAP C	0.00	94.20	0.00	94.20	91.0	85.72
06/01/2018	PAI, YOGISH	AM FUNDS AMCAP F1	0.00	31.04	0.00	31.04	91.0	28.25
06/01/2018	EMBRY, JASON	AM FUNDS AMCAP F1	0.00	0.62	0.00	0.62	91.0	0.56
06/01/2018	MOORE, MELISSA	AM FUNDS AMCAP F1	0.00	7.66	0.00	7.66	91.0	6.97
06/01/2018	WHELDON, ALYSON	AM FUNDS AMERICAN BALANCED A	0.00	2.18	0.00	2.18	91.0	1.98
06/01/2018	BOYD/BENE, DEVON	AM FUNDS AMERICAN BALANCED A	0.00	1.38	0.00	1.38	91.0	1.26
06/01/2018	FRAME/BENE, WADE	AM FUNDS AMERICAN BALANCED A	0.00	0.76	0.00	0.76	91.0	0.69
06/01/2018	MOORE, THOMAS	AM FUNDS AMERICAN BALANCED C	0.00	4.17	0.00	4.17	91.0	3.79
06/01/2018	DUNLAP, ANNA	AM FUNDS AMERICAN BALANCED C	0.00	5.56	0.00	5.56	91.0	5.06
06/01/2018	MOORE, THOMAS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.93	0.00	0.93	91.0	0.85
06/01/2018	DUNLAP, ANNA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.12	0.00	4.12	91.0	3.75
06/01/2018	KRAUS, JOHN	AM FUNDS AMERICAN MUTUAL C	0.00	12.95	0.00	12.95	91.0	11.78
06/01/2018	KRAUS, KATHLEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	2.34	0.00	2.34	91.0	2.13
06/01/2018	DUNLAP, ANNA	AM FUNDS BOND FUND OF AMERICA C	0.00	3.13	0.00	3.13	91.0	2.85
06/01/2018	BOYD/BENE, DEVON	AM FUNDS BOND FUND OF AMERICA C	0.00	4.67	0.00	4.67	91.0	4.25
06/01/2018	FRAME/BENE, WADE	AM FUNDS BOND FUND OF AMERICA C	0.00	2.55	0.00	2.55	91.0	2.32
06/01/2018	DUNLAP, TONY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.38	0.00	4.38	91.0	3.99
06/01/2018	BLANCHARD, TALIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	91.0	0.16
06/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	91.0	0.16
06/01/2018	KRAUS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.01	0.00	4.01	91.0	3.65
06/01/2018	MOORE, LUCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.75	0.00	4.75	91.0	4.32
06/01/2018	MOORE, MAX	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.69	0.00	4.69	91.0	4.27
06/01/2018	COLLINS, REGENA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.03	0.00	1.03	91.0	0.94
06/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.63	0.00	22.63	91.0	20.59
06/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	87.30	0.00	87.30	91.0	79.44
06/01/2018	MOORE, MELISSA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	60.76	0.00	60.76	91.0	55.29
06/01/2018	KRAUS, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	17.39	0.00	17.39	91.0	15.82
06/01/2018	KRAUS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.85	0.00	5.85	91.0	5.32
06/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.09	0.00	2.09	91.0	1.90
06/01/2018	MOORE, MELISSA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	4.53	0.00	4.53	91.0	4.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	BOYD/BENE, DEVON	AM FUNDS CAPITAL WORLD BOND A	0.00	0.62	0.00	0.62	91.0	0.56
06/01/2018	FRAME/BENE, WADE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.34	0.00	0.34	91.0	0.31
06/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL WORLD BOND C	0.00	4.73	0.00	4.73	91.0	4.30
06/01/2018	KRAUS, JOHN	AM FUNDS CAPITAL WORLD BOND C	0.00	5.98	0.00	5.98	91.0	5.44
06/01/2018	DUNLAP, ANNA	AM FUNDS CAPITAL WORLD BOND C	0.00	2.56	0.00	2.56	91.0	2.33
06/01/2018	DUNLAP, TONY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.32	0.00	4.32	91.0	3.93
06/01/2018	MOORE, MELISSA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.26	0.00	3.26	91.0	2.97
06/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.83	0.00	3.83	91.0	3.49
06/01/2018	BLANCHARD, TALIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.21	0.00	1.21	91.0	1.10
06/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.19	0.00	1.19	91.0	1.08
06/01/2018	HOFFMAN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.60	0.00	0.60	91.0	0.55
06/01/2018	COLLINS, REGENA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.75	0.00	3.75	91.0	3.41
06/01/2018	COLLINS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.02	0.00	1.02	91.0	0.93
06/01/2018	BOYD/BENE, DEVON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.08	0.00	1.08	91.0	0.98
06/01/2018	FRAME/BENE, WADE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.59	0.00	0.59	91.0	0.54
06/01/2018	SALOME, KIMBERLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.24	0.00	6.24	91.0	5.68
06/01/2018	KRAUS, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.23	0.00	8.23	91.0	7.49
06/01/2018	BLANCHARD, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.88	0.00	1.88	91.0	1.71
06/01/2018	MOORE, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.86	0.00	0.86	91.0	0.78
06/01/2018	DUNLAP, TONY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.32	0.00	3.32	91.0	3.02
06/01/2018	SAMPLES, FAY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	91.0	0.15
06/01/2018	DUNLAP, ANNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.97	0.00	4.97	91.0	4.52
06/01/2018	MOORE, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.71	0.00	3.71	91.0	3.38
06/01/2018	MOORE, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.65	0.00	4.65	91.0	4.23
06/01/2018	MOORE, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.76	0.00	4.76	91.0	4.33
06/01/2018	HOFFMAN, LANDON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.05	0.00	2.05	91.0	1.87
06/01/2018	DEWICK, TRENTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.45	0.00	0.45	91.0	0.41
06/01/2018	KRAUS, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.69	0.00	2.69	91.0	2.45
06/01/2018	HOFFMAN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.51	0.00	0.51	91.0	0.46
06/01/2018	DEWICK, DYLAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.47	0.00	2.47	91.0	2.25
06/01/2018	MOORE, LUCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.43	0.00	5.43	91.0	4.94
06/01/2018	MOORE, MAX	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.07	0.00	8.07	91.0	7.34
06/01/2018	COLLINS, REGENA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.25	0.00	1.25	91.0	1.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	COLLINS, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.25	0.00	1.25	91.0	1.14
06/01/2018	FRAME/BENE, WADE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.28	0.00	4.28	91.0	3.89
06/01/2018	BLANCHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	25.53	0.00	25.53	91.0	23.23
06/01/2018	MOORE, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.71	0.00	28.71	91.0	26.13
06/01/2018	EMBRY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.88	0.00	1.88	91.0	1.71
06/01/2018	MACQUARRIE, MILES	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.18	0.00	28.18	91.0	25.64
06/01/2018	KRAUS, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.35	0.00	7.35	91.0	6.69
06/01/2018	FRAME/BENE, WADE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	60.36	0.00	60.36	91.0	54.93
06/01/2018	EMBRY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.47	0.00	0.47	91.0	0.43
06/01/2018	MACQUARRIE, MILES	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.15	0.00	0.15	91.0	0.14
06/01/2018	MOORE, MELISSA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.42	0.00	4.42	91.0	4.02
06/01/2018	KRAUS, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	8.35	0.00	8.35	91.0	7.60
06/01/2018	KRAUS, KATHLEEN	AM FUNDS GLOBAL BALANCED A	0.00	5.75	0.00	5.75	91.0	5.23
06/01/2018	BOYD/BENE, DEVON	AM FUNDS GLOBAL BALANCED A	0.00	1.32	0.00	1.32	91.0	1.20
06/01/2018	FRAME/BENE, WADE	AM FUNDS GLOBAL BALANCED A	0.00	0.72	0.00	0.72	91.0	0.66
06/01/2018	GALLAGHER, RYAN	AM FUNDS GLOBAL BALANCED C	0.00	73.38	0.00	73.38	91.0	66.78
06/01/2018	BOYD/BENE, DEVON	AM FUNDS GLOBAL BALANCED C	0.00	4.03	0.00	4.03	91.0	3.67
06/01/2018	FRAME/BENE, WADE	AM FUNDS GLOBAL BALANCED C	0.00	2.21	0.00	2.21	91.0	2.01
06/01/2018	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.80	0.00	5.80	91.0	5.28
06/01/2018	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.17	0.00	6.17	91.0	5.61
06/01/2018	TITTLE, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	91.0	0.11
06/01/2018	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.97	0.00	4.97	91.0	4.52
06/01/2018	DUNLAP, TONY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.70	0.00	2.70	91.0	2.46
06/01/2018	DEWICK, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	91.0	0.07
06/01/2018	VOGEL, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.90	0.00	2.90	91.0	2.64
06/01/2018	FRAME/BENE, WADE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.83	0.00	10.83	91.0	9.86
06/01/2018	BLANCHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	47.20	0.00	47.20	91.0	42.95
06/01/2018	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	122.35	0.00	122.35	91.0	111.34
06/01/2018	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	94.23	0.00	94.23	91.0	85.75
06/01/2018	MACQUARRIE, MILES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	42.24	0.00	42.24	91.0	38.44
06/01/2018	KRAUS, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.98	0.00	13.98	91.0	12.72
06/01/2018	FRAME/BENE, WADE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.41	0.00	31.41	91.0	28.58
06/01/2018	MOORE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.40	0.00	3.40	91.0	3.09

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MOORE, MELISSA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.66	0.00	7.66	91.0	6.97
06/01/2018	MACQUARRIE, MILES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.20	0.00	0.20	91.0	0.18
06/01/2018	DUNLAP, TONY	AM FUNDS ICA A	0.00	3.95	0.00	3.95	91.0	3.59
06/01/2018	DUNLAP, ANNA	AM FUNDS ICA A	0.00	4.66	0.00	4.66	91.0	4.24
06/01/2018	KRAUS, KATHLEEN	AM FUNDS ICA A	0.00	5.01	0.00	5.01	91.0	4.56
06/01/2018	COLLINS, REGENA	AM FUNDS ICA A	0.00	1.09	0.00	1.09	91.0	0.99
06/01/2018	DUNLAP, TONY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.82	0.00	0.82	91.0	0.75
06/01/2018	GA/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.81	0.00	45.81	91.0	41.69
06/01/2018	KRAUS, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	6.79	0.00	6.79	91.0	6.18
06/01/2018	BOYD/BENE, DEVON	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.69	0.00	7.69	91.0	7.00
06/01/2018	FRAME/BENE, WADE	AM FUNDS INCOME FUND OF AMERICA C	0.00	4.21	0.00	4.21	91.0	3.83
06/01/2018	BOYD/BENE, DEVON	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.18	0.00	0.18	91.0	0.16
06/01/2018	FRAME/BENE, WADE	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.10	0.00	0.10	91.0	0.09
06/01/2018	DUNLAP, TONY	AM FUNDS NEW ECONOMY A	0.00	2.68	0.00	2.68	91.0	2.44
06/01/2018	MOORE, MELISSA	AM FUNDS NEW ECONOMY A	0.00	4.58	0.00	4.58	91.0	4.17
06/01/2018	FRAME/BENE, WADE	AM FUNDS NEW ECONOMY A	0.00	15.95	0.00	15.95	91.0	14.51
06/01/2018	PAI, YOGISH	AM FUNDS NEW ECONOMY C	0.00	21.38	0.00	21.38	91.0	19.46
06/01/2018	LUPO, ROBERT	AM FUNDS NEW ECONOMY C	0.00	53.16	0.00	53.16	91.0	48.38
06/01/2018	PAI, YOGISH	AM FUNDS NEW ECONOMY FUND	0.00	41.19	0.00	41.19	91.0	37.48
06/01/2018	DUNLAP, TONY	AM FUNDS NEW PERSPECTIVE A	0.00	1.53	0.00	1.53	91.0	1.39
06/01/2018	DUNLAP, ANNA	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	91.0	0.18
06/01/2018	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE A	0.00	5.82	0.00	5.82	91.0	5.30
06/01/2018	DEWICK, TRENTEN	AM FUNDS NEW PERSPECTIVE A	0.00	0.04	0.00	0.04	91.0	0.04
06/01/2018	BLANCHARD, TALIA	AM FUNDS NEW PERSPECTIVE A	0.00	0.72	0.00	0.72	91.0	0.66
06/01/2018	BLANCHARD, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.68	0.00	0.68	91.0	0.62
06/01/2018	HOFFMAN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	0.63	0.00	0.63	91.0	0.57
06/01/2018	DEWICK, DYLAN	AM FUNDS NEW PERSPECTIVE A	0.00	0.07	0.00	0.07	91.0	0.06
06/01/2018	COLLINS, REGENA	AM FUNDS NEW PERSPECTIVE A	0.00	6.89	0.00	6.89	91.0	6.27
06/01/2018	DEWICK, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	0.51	0.00	0.51	91.0	0.46
06/01/2018	COLLINS, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	5.43	0.00	5.43	91.0	4.94
06/01/2018	GODLESKI, MADISON	AM FUNDS NEW PERSPECTIVE A	0.00	0.72	0.00	0.72	91.0	0.66
06/01/2018	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE C	0.00	82.02	0.00	82.02	91.0	74.64
06/01/2018	DIMATTEO, LUCAS	AM FUNDS NEW PERSPECTIVE C	0.00	0.07	0.00	0.07	91.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	DEFEO, DAVID	AM FUNDS NEW PERSPECTIVE C	0.00	38.24	0.00	38.24	91.0	34.80
06/01/2018	SHERRILL, WILLIAM	AM FUNDS NEW PERSPECTIVE C	0.00	1.01	0.00	1.01	91.0	0.92
06/01/2018	KRAUS, JOHN	AM FUNDS NEW PERSPECTIVE C	0.00	10.26	0.00	10.26	91.0	9.34
06/01/2018	LUPO, ROBERT	AM FUNDS NEW PERSPECTIVE C	0.00	42.67	0.00	42.67	91.0	38.83
06/01/2018	MOORE, MELISSA	AM FUNDS NEW PERSPECTIVE F-1	0.00	6.33	0.00	6.33	91.0	5.76
06/01/2018	DEFEO, DAVID	AM FUNDS NEW PERSPECTIVE F-1	0.00	0.22	0.00	0.22	91.0	0.20
06/01/2018	SHERRILL, WILLIAM	AM FUNDS NEW PERSPECTIVE F-1	0.00	0.14	0.00	0.14	91.0	0.13
06/01/2018	DUNLAP, TONY	AM FUNDS NEW WORLD A	0.00	5.99	0.00	5.99	91.0	5.45
06/01/2018	MOORE, THOMAS	AM FUNDS NEW WORLD A	0.00	5.21	0.00	5.21	91.0	4.74
06/01/2018	DEWICK, TRENTEN	AM FUNDS NEW WORLD A	0.00	0.01	0.00	0.01	91.0	0.01
06/01/2018	COLLINS, REGENA	AM FUNDS NEW WORLD A	0.00	1.76	0.00	1.76	91.0	1.60
06/01/2018	DEWICK, JAMES	AM FUNDS NEW WORLD A	0.00	1.20	0.00	1.20	91.0	1.09
06/01/2018	COLLINS, JAMES	AM FUNDS NEW WORLD A	0.00	3.86	0.00	3.86	91.0	3.51
06/01/2018	BOYD/BENE, DEVON	AM FUNDS NEW WORLD A	0.00	0.78	0.00	0.78	91.0	0.71
06/01/2018	FRAME/BENE, WADE	AM FUNDS NEW WORLD A	0.00	6.65	0.00	6.65	91.0	6.05
06/01/2018	BLANCHARD, DAVID	AM FUNDS NEW WORLD C	0.00	25.49	0.00	25.49	91.0	23.20
06/01/2018	MOORE, THOMAS	AM FUNDS NEW WORLD C	0.00	5.79	0.00	5.79	91.0	5.27
06/01/2018	KRAUS, KATHLEEN	AM FUNDS NEW WORLD C	0.00	5.31	0.00	5.31	91.0	4.83
06/01/2018	FRAME/BENE, WADE	AM FUNDS NEW WORLD C	0.00	36.07	0.00	36.07	91.0	32.82
06/01/2018	HOFFMAN, LOGAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.61	0.00	0.61	91.0	0.56
06/01/2018	DUNLAP, TONY	AM FUNDS SMALLCAP WORLD A	0.00	5.76	0.00	5.76	91.0	5.24
06/01/2018	DUNLAP, ANNA	AM FUNDS SMALLCAP WORLD A	0.00	1.92	0.00	1.92	91.0	1.75
06/01/2018	MOORE, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	6.16	0.00	6.16	91.0	5.61
06/01/2018	DEWICK, TRENTEN	AM FUNDS SMALLCAP WORLD A	0.00	0.96	0.00	0.96	91.0	0.87
06/01/2018	GODLESKI, MADISON	AM FUNDS SMALLCAP WORLD A	0.00	0.73	0.00	0.73	91.0	0.66
06/01/2018	BOYD/BENE, DEVON	AM FUNDS SMALLCAP WORLD A	0.00	0.33	0.00	0.33	91.0	0.30
06/01/2018	FRAME/BENE, WADE	AM FUNDS SMALLCAP WORLD A	0.00	7.69	0.00	7.69	91.0	7.00
06/01/2018	PAI, YOGISH	AM FUNDS SMALLCAP WORLD C	0.00	19.44	0.00	19.44	91.0	17.69
06/01/2018	GALLAGHER, RYAN	AM FUNDS SMALLCAP WORLD C	0.00	7.32	0.00	7.32	91.0	6.66
06/01/2018	GASPAROTTI, ABIGAIL	AM FUNDS SMALLCAP WORLD C	0.00	0.25	0.00	0.25	91.0	0.23
06/01/2018	KRAUS, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.19	0.00	4.19	91.0	3.81
06/01/2018	COLLINS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.24	0.00	1.24	91.0	1.13
06/01/2018	BLANCHARD, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	81.14	0.00	81.14	91.0	73.84

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	MOORE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	116.78	0.00	116.78	91.0	106.27
06/01/2018	PAI, YOGISH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	43.52	0.00	43.52	91.0	39.60
06/01/2018	MOORE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.94	0.00	2.94	91.0	2.68
06/01/2018	PAI, YOGISH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	20.74	0.00	20.74	91.0	18.87
06/01/2018	BLANCHARD, VIVIA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.32	0.00	0.32	91.0	0.29
06/01/2018	BLANCHARD, RIKER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.36	0.00	0.36	91.0	0.33
06/01/2018	WHELDON, ALYSON	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.44	0.00	2.44	91.0	2.22
06/01/2018	BRANSON, BENJAMIN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.70	0.00	1.70	91.0	1.55
06/01/2018	PAI, YOGISH	AMERICAN FUND SMALLCAP WORKD FUND-F	0.00	34.93	0.00	34.93	91.0	31.79
06/01/2018	HADAWAY, DEBORAH	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	2.89	0.00	2.89	91.0	2.63
05/31/2018	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.21	0.00	0.21	91.0	0.19
05/31/2018	HEALEY, BRIAN	EATON VANCE NATL MUNI INCOME C	0.00	0.63	0.00	0.63	91.0	0.57
/ /	MOORE, THOMAS	FIDELITY ADV MATERIALS C	0.00	5.18	0.00	5.18	91.0	4.71
/ /	MOORE, THOMAS	FIDELITY ADV MEGA CAP STOCK	0.00	6.35	0.00	6.35	91.0	5.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,132.47	\$0.00	\$2,132.47		\$1,940.52
*** DIRECT TRANSACTIONS TOTAL ***			\$15,091,415.74	\$6,251.47	\$0.00	\$6,251.47		\$5,688.81

ADJUSTMENTS

06/15/2018	*DOCUSIGN: CURRENT MONTH	0.00						-18.00
06/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2018	*LASER APP: CURRENT MONTH	0.00						-18.00
06/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-516.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5,688.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-516.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-167.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,005.32
Year-to-date Gross	\$34,179.76
Year-to-date Earnings	\$31,109.22