



Concorde Investment Services, LLC

04/27/2017
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COMMISSION STATEMENT **April 30, 2017**

BARTHOLOMEW MACKLER
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: M121
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/31/2017	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	0.00	7.70	0.00	7.70	90.0	6.93
03/31/2017	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	0.00	14.72	0.00	14.72	90.0	13.25
03/31/2017	RIDGE, JAMES	GRIFFIN INST'L ACCESS R E FUND	0.00	7.57	0.00	7.57	90.0	6.81
03/31/2017	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.35	0.00	43.35	90.0	39.02
03/31/2017	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	0.00	90.63	0.00	90.63	90.0	81.57
03/31/2017	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	0.00	125.95	0.00	125.95	90.0	113.36
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$289.92	\$0.00	\$289.92		\$260.94
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	4.40	0.00	4.40	90.0	3.96
04/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	14.67	0.00	14.67	90.0	13.20
04/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	4.40	0.00	4.40	90.0	3.96
04/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	14.67	0.00	14.67	90.0	13.20
04/04/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.48	0.00	2.48	90.0	2.23
04/04/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.48	0.00	2.48	90.0	2.23
04/18/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	0.80	0.00	0.80	90.0	0.72
04/10/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
04/10/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
04/17/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	0.80	0.00	0.80	90.0	0.72
04/19/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	0.80	0.00	0.80	90.0	0.72
04/17/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
04/18/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
04/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.60	0.00	1.60	90.0	1.44
04/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
04/19/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
04/17/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	90.0	6.75
04/18/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	0.80	0.00	0.80	90.0	0.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/18/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	0.80	0.00	0.80	90.0	0.72
04/10/2017	DEEB, DANUTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	90.0	2.48
04/05/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	16.48	0.00	16.48	90.0	14.83
04/05/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.26	0.00	7.26	90.0	6.53
04/05/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.48	0.00	7.48	90.0	6.73
04/01/2017	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.02	0.00	4.02	90.0	3.62
04/01/2017	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	11.82	0.00	11.82	90.0	10.64
04/01/2017	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	9.82	0.00	9.82	90.0	8.84
04/01/2017	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND B	0.00	3.64	0.00	3.64	90.0	3.28
04/01/2017	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	6.87	0.00	6.87	90.0	6.18
04/01/2017	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	18.16	0.00	18.16	90.0	16.34
04/01/2017	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	0.71	0.00	0.71	90.0	0.64
04/01/2017	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	6.41	0.00	6.41	90.0	5.77
04/01/2017	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.80	0.00	0.80	90.0	0.72
04/01/2017	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.06	0.00	4.06	90.0	3.65
04/01/2017	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	13.80	0.00	13.80	90.0	12.42
04/01/2017	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	7.95	0.00	7.95	90.0	7.16
04/01/2017	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	13.20	0.00	13.20	90.0	11.88
04/01/2017	NICHOLS, THOMAS	DAVIS OPPORTUNITY B	0.00	8.31	0.00	8.31	90.0	7.48
04/13/2017	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	12.20	0.00	12.20	90.0	10.98
04/13/2017	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	20.51	0.00	20.51	90.0	18.46
04/13/2017	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.79	0.00	5.79	90.0	5.21
04/13/2017	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	14.74	0.00	14.74	90.0	13.27
04/13/2017	BERRY, DONNA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.98	0.00	7.98	90.0	7.18
04/13/2017	BERRY, GARY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.98	0.00	7.98	90.0	7.18
04/13/2017	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.94	0.00	5.94	90.0	5.35
04/13/2017	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.59	0.00	2.59	90.0	2.33
04/13/2017	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	10.15	0.00	10.15	90.0	9.14
04/04/2017	HORTON, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	39,990.04	1,999.50	0.00	1,999.50	90.0	1,799.55
04/17/2017	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.25	0.00	1.25	90.0	1.13
04/17/2017	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.74	0.00	0.74	90.0	0.67
04/17/2017	HABTE, BISRAT	JH FINANCIAL INDUSTRIES A	0.00	3.52	0.00	3.52	90.0	3.17
04/17/2017	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.79	0.00	6.79	90.0	6.11

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.16	0.00	3.16	90.0	2.84
04/17/2017	ABERRA, KONJIT	JH REGIONAL BANK A	0.00	2.26	0.00	2.26	90.0	2.03
04/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	1.51	0.00	1.51	90.0	1.36
04/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	5.02	0.00	5.02	90.0	4.52
04/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	1.51	0.00	1.51	90.0	1.36
04/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	5.02	0.00	5.02	90.0	4.52
* MUTUAL FUNDS SUBTOTAL *			\$41,040.04	\$2,326.60	\$0.00	\$2,326.60		\$2,093.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/13/2017	SANDERS, OLIVER	AM GEN POLARIS CHOICE III	57,575.89	143.94	0.00	143.94	90.0	129.55
* VARIABLE ANNUITIES SUBTOTAL *			\$57,575.89	\$143.94	\$0.00	\$143.94		\$129.55
*** BROKER TRANSACTIONS TOTAL ***			\$98,615.93	\$2,760.46	\$0.00	\$2,760.46		\$2,484.45

BROKER COMMISSION TOTALS

Broker Transactions	\$2,484.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,484.45
Year-to-date Gross	\$30,992.50
Year-to-date Earnings	\$33,584.18

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