

**COMMISSION STATEMENT****July 31, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^07/17/2018	BURLESON, CATHERINE	CLS INVESTMENTS	71,808.75	181.00	0.00	181.00	90.0	0.00
^07/17/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	42,684.83	107.59	0.00	107.59	90.0	0.00
^07/17/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,760.87	17.04	0.00	17.04	90.0	0.00
^07/17/2018	HAMMAN, RONALD	CLS INVESTMENTS	39,674.43	100.00	0.00	100.00	90.0	0.00
^07/17/2018	ADAMS, TERRY	CLS INVESTMENTS	11,282.78	35.55	0.00	35.55	90.0	0.00
^07/25/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,640.53	84.80	0.00	84.80	90.0	0.00
^07/25/2018	GATER, CAREY	ITS MANAGEMENT	43,399.30	82.05	0.00	82.05	90.0	0.00
^07/17/2018	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	2,165.15	0.00	2,165.15	90.0	0.00
^07/17/2018	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	2,134.89	0.00	2,134.89	90.0	0.00
^07/17/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	376.70	0.00	376.70	90.0	0.00
^07/17/2018	EISERLE, DAVID	TD AMERITRADE	0.00	681.73	0.00	681.73	90.0	0.00
^07/17/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	71.91	0.00	71.91	90.0	0.00
^07/17/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	120.95	0.00	120.95	90.0	0.00
^07/17/2018	JACOBS, BARRY	TD AMERITRADE	0.00	230.77	0.00	230.77	90.0	0.00
^07/17/2018	KLINE, THOMAS	TD AMERITRADE	0.00	104.24	0.00	104.24	90.0	0.00
^07/17/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	348.99	0.00	348.99	90.0	0.00
* RIA SUBTOTAL *			\$249,251.49	\$6,843.36	\$0.00	\$6,843.36		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$249,251.49	\$6,843.36	\$0.00	\$6,843.36		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/17/2018	BURLESON, CATHERINE	CLS INVESTMENTS	71,808.75	54.30	0.00	54.30	90.0	48.87
07/17/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	42,684.83	32.28	0.00	32.28	90.0	29.05
07/17/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,760.87	5.11	0.00	5.11	90.0	4.60
07/17/2018	HAMMAN, RONALD	CLS INVESTMENTS	39,674.43	30.00	0.00	30.00	90.0	27.00
07/17/2018	ADAMS, TERRY	CLS INVESTMENTS	11,282.78	10.67	0.00	10.67	90.0	9.60
07/25/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,640.53	25.44	0.00	25.44	90.0	22.90
07/25/2018	GATER, CAREY	ITS MANAGEMENT	43,399.30	24.62	0.00	24.62	90.0	22.16
07/17/2018	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	649.55	0.00	649.55	90.0	584.60
07/17/2018	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	640.47	0.00	640.47	90.0	576.42
07/17/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	113.01	0.00	113.01	90.0	101.71
07/17/2018	EISERLE, DAVID	TD AMERITRADE	0.00	204.52	0.00	204.52	90.0	184.07
07/17/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	21.57	0.00	21.57	90.0	19.41
07/17/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	36.29	0.00	36.29	90.0	32.66
07/17/2018	JACOBS, BARRY	TD AMERITRADE	0.00	69.23	0.00	69.23	90.0	62.31
07/17/2018	KLINE, THOMAS	TD AMERITRADE	0.00	31.27	0.00	31.27	90.0	28.14
07/17/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	104.70	0.00	104.70	90.0	94.23
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$249,251.49	\$2,053.03	\$0.00	\$2,053.03		\$1,847.73
*** SPLIT TRANSACTIONS TOTAL ***			\$249,251.49	\$2,053.03	\$0.00	\$2,053.03		\$1,847.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,847.73
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,847.73
Year-to-date Gross	\$13,555.72
Year-to-date Earnings	\$12,200.21