

**COMMISSION STATEMENT****April 15, 2018**

**DAMIAN, D127 DERING**  
 165 Culver Blvd  
 Suite A  
 Playa Del Rey, CA 90293

**BROKER #: D127**  
**BRANCH: DAMIAN DERING**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
03/16/2018	SECRIST HOLDINGS LLC	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	92.0	6,440.00
03/09/2018	ALVES FAMILY TRUST	HPI FUND VI LLC	65,000.00	3,900.00	0.00	3,900.00	92.0	3,588.00
<b>* PRIVATE PLACEMENT SUBTOTAL *</b>			<b>\$165,000.00</b>	<b>\$10,900.00</b>	<b>\$0.00</b>	<b>\$10,900.00</b>		<b>\$10,028.00</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
03/26/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
03/26/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
03/26/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
03/26/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
03/26/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
03/26/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
04/02/2018	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	200.00	2.00	0.00	2.00	92.0	1.84
04/02/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
04/02/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
03/27/2018	MARTINEZ, ELSA	NEA AGE-BASED GROWTH 6-10 A	500.00	15.00	0.00	15.00	92.0	13.80
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$2,000.00</b>	<b>\$39.76</b>	<b>\$0.00</b>	<b>\$39.76</b>		<b>\$36.58</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/05/2018	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$500.00</b>	<b>\$18.75</b>	<b>\$0.00</b>	<b>\$18.75</b>		<b>\$17.25</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/29/2018	SCANE FAMILY TRUST	HARTFORD DIRECTOR OUTLOOK	911.18	2.28	0.00	2.28	92.0	2.10
03/31/2018	PUGH, CECILIA	JNL PERSPECTIVE L	42,392.22	35.33	0.00	35.33	92.0	32.50
03/31/2018	LAXER, JACK	JNL PERSPECTIVE L	53,177.36	44.31	0.00	44.31	92.0	40.77
03/31/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	61,265.70	51.05	0.00	51.05	92.0	46.97
03/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	75,502.37	62.92	0.00	62.92	92.0	57.89
03/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	74,676.72	62.23	0.00	62.23	92.0	57.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/11/2018	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	196,784.68	163.99	0.00	163.99	92.0	150.87
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$504,710.23</b>	<b>\$422.11</b>	<b>\$0.00</b>	<b>\$422.11</b>		<b>\$388.35</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/15/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.85	0.00	4.85	92.0	4.46
03/20/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.78	0.00	1.78	92.0	1.64
03/19/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.77	0.00	3.77	92.0	3.47
03/19/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.32	0.00	11.32	92.0	10.41
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.35	0.00	2.35	92.0	2.16
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.46	0.00	1.46	92.0	1.34
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.40	0.00	1.40	92.0	1.29
04/01/2018	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	9.28	0.00	9.28	92.0	8.54
04/01/2018	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	16.43	0.00	16.43	92.0	15.12
/ /	MARTINEZ, ELSA	NEA AGE-BASED GROWTH 6-10 A	0.00	13.55	0.00	13.55	92.0	12.47
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION A	0.00	5.80	0.00	5.80	92.0	5.34
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION C	0.00	5.71	0.00	5.71	92.0	5.25
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	20.43	0.00	20.43	92.0	18.80
/ /	MITSCH, JAY	OPPENHEIMER INTL GROWTH C	0.00	5.33	0.00	5.33	92.0	4.90
/ /	MITSCH, JAY	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	5.46	0.00	5.46	92.0	5.02
03/20/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.23	0.00	3.23	92.0	2.97
/ /	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	204.08	0.00	204.08	92.0	187.75
/ /	PON, AGNES	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	0.52	0.00	0.52	92.0	0.48
/ /	PON, AGNES	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	24.18	0.00	24.18	92.0	22.25
/ /	MITSCH, JAY	OPPENHEIMER RISING DIVIDENDS C	0.00	5.55	0.00	5.55	92.0	5.11
/ /	MITSCH, JAY	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	6.15	0.00	6.15	92.0	5.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$352.63</b>	<b>\$0.00</b>	<b>\$352.63</b>		<b>\$324.43</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/31/2018	PUGH, VERA	LINCOLN BENEFIT TRAIL RENEWALS	131,639.95	329.10	0.00	329.10	92.0	302.77
03/31/2018	AGUILUZ, LUCILLE	LINCOLN BENEFIT TRAIL RENEWALS	88,014.84	220.04	0.00	220.04	92.0	202.44
03/31/2018	FITZSIMONS, DOROTHY	LINCOLN BENEFIT TRAIL RENEWALS	127,573.53	318.93	0.00	318.93	92.0	293.42
03/31/2018	BEELEER, FRANCES	LINCOLN BENEFIT TRAIL RENEWALS	199,497.96	498.74	0.00	498.74	92.0	458.84
03/31/2018	LAXER, JACK	LINCOLN BENEFIT TRAIL RENEWALS	233,407.44	233.41	0.00	233.41	92.0	214.74
03/31/2018	SCANE, DONALD	LINCOLN BENEFIT TRAIL RENEWALS	197,704.44	494.26	0.00	494.26	92.0	454.72

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
03/31/2018	ENCINA, OPHELIA	LINCOLN BENEFIT TRAIL RENEWALS	163,944.43	409.86	0.00	409.86	92.0	377.07
03/31/2018	BAUTISTA, FEDERICO	LINCOLN BENEFIT TRAIL RENEWALS	190,586.89	476.47	0.00	476.47	92.0	438.35
03/31/2018	LAXER, JACK	LINCOLN BENEFIT TRAIL RENEWALS	167,524.47	418.81	0.00	418.81	92.0	385.31
03/31/2018	ENCINA, ANTONIO	LINCOLN BENEFIT TRAIL RENEWALS	158,379.32	395.95	0.00	395.95	92.0	364.27
03/31/2018	SUTZ, JACK	LINCOLN BENEFIT TRAIL RENEWALS	76,769.28	191.92	0.00	191.92	92.0	176.57
03/31/2018	SUTZ, JUNE	LINCOLN BENEFIT TRAIL RENEWALS	141,433.74	353.58	0.00	353.58	92.0	325.29
03/31/2018	ENTREKIN, GARY	LINCOLN BENEFIT TRAIL RENEWALS	294,039.03	735.10	0.00	735.10	92.0	676.29
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$2,170,515.32</b>	<b>\$5,076.17</b>	<b>\$0.00</b>	<b>\$5,076.17</b>		<b>\$4,670.08</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,842,725.55</b>	<b>\$16,809.42</b>	<b>\$0.00</b>	<b>\$16,809.42</b>		<b>\$15,464.69</b>

**ADJUSTMENTS**

04/15/2018	*AI INSIGHT: AI INSIGHT	0.00						-7.00
04/15/2018	*CREDIT CARD PYT: CREDIT CARD PYT	0.00						707.00
04/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - PON	0.00						-10.00
04/15/2018	*TECHNOLOGY: ADMIN - CHRYSANTHA COSBY	0.00						-135.00
04/15/2018	*TECHNOLOGY: ADMIN - ELIZABETH	0.00						-60.00
04/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$15,464.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,464.69
Year-to-date Gross	\$46,839.99
Year-to-date Earnings	\$42,943.78