

All Companies  
**STATEMENT**  
**May 20, 2018**

**NORM PIETTE**  
2911 Su Siempre Place  
SUITE 620  
Escondido, CA 92025

**BROKER #: 143**  
**BRANCH:**

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/05/2018	#01440268678	AZCONA, VALERIE	FA 529 PORT 2025-CL A	50.00	2.50	93.0	0.00	2.33	0.00	2.33
04/10/2018	#00088670069		AMCAP FUND INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
04/10/2018	#00089577414	PIETTE, SHARON	AMERICAN BALANCED FUND INC CLASS A	1,096.00	30.14	93.0	0.00	28.03	0.00	28.03
04/10/2018	#00089578265		AMERICAN BALANCED FUND INC CLASS A	800.00	40.00	93.0	0.00	37.20	0.00	37.20
04/10/2018	#04000908502	LOCATELLI,	FUNDAMENTAL INVESTORS INC CLASS A	3,000.00	127.50	93.0	0.00	118.58	0.00	118.58
04/10/2018	#00068725121	ANDOW-HEGLE,	GLOBAL BALANCED FUND-A	2,000.00	40.00	93.0	0.00	37.20	0.00	37.20
04/10/2018	#00074504463	HEGLE, RODNEY	GLOBAL BALANCED FUND-A	2,000.00	40.00	93.0	0.00	37.20	0.00	37.20
04/10/2018	#00067617189	MERRILL, TODD	GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
04/10/2018	#00082724941	LAKE, JOSHUA	GROWTH FUND AMERICA INC CLASS A	50.00	1.00	93.0	0.00	0.93	0.00	0.93
04/10/2018	#04000908502	LOCATELLI,	SHORT-TERM BOND FUND OF AMERICA-A	2,000.00	40.00	93.0	0.00	37.20	0.00	37.20
04/16/2018	#00086988893	S TRUST CO R/O	AMCAP FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
04/16/2018	#00086988893	S TRUST CO R/O	CAPITAL WORLD BOND FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
04/16/2018	#01479116327	JACOBS, DUSTIN	FIDELITY ADV NEW INSIGHTS-CL A	225.00	8.44	93.0	0.00	7.85	0.00	7.85
04/18/2018	#01475349922	SMITH, JOSH	FIDELITY ADV INDUSTRIALS-CL C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
04/19/2018	#01425568712	MERRILL, TODD	FIDELITY ADV NEW INSIGHTS-CL A	250.00	6.88	93.0	0.00	6.40	0.00	6.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$11,921.00</b>	<b>\$345.22</b>		<b>\$0.00</b>	<b>\$321.06</b>	<b>\$0.00</b>	<b>\$321.06</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/31/2018	#1014333661	WESTFALL, TERRI	PERSP II 09/12	58,844.31	24.52	93.0	0.00	22.80	0.00	22.80
04/30/2018	#1014333661	WESTFALL, TERRI	PERSP II 09/12	60,036.12	25.02	93.0	0.00	23.27	0.00	23.27
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$118,880.43</b>	<b>\$49.54</b>		<b>\$0.00</b>	<b>\$46.07</b>	<b>\$0.00</b>	<b>\$46.07</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018	#00483382457	GILMORE	PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	31.25	93.0	0.00	29.06	0.00	29.06
04/02/2018	#00483382457	GILMORE	PUTNAM INTERNATIONAL EQUITY CLASS A	0.00	26.88	93.0	0.00	25.00	0.00	25.00
04/02/2018	#00483382457	GILMORE	PUTNAM MULTI CAP GROWTH FUND CL A	0.00	40.07	93.0	0.00	37.27	0.00	37.27
04/06/2018	#01440268678	AZCONA, VALERIE	FA 529 PORT 2025-CL A	0.00	1.88	93.0	0.00	1.75	0.00	1.75
04/06/2018	#01468237324	VARIOUS PIETTE	FA EQUITY INCOME FUND	0.00	0.21	93.0	0.00	0.20	0.00	0.20
04/06/2018	#01430481000	VARIOUS PIETTE	FA FOREIGN LARGE GROWTH	0.00	8.64	93.0	0.00	8.04	0.00	8.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#01436951972	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	1.32	93.0	0.00	1.23	0.00	1.23
04/06/2018	#01436958837	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	0.68	93.0	0.00	0.63	0.00	0.63
04/06/2018	#01468200199	VARIOUS PIETTE FA INTERNATIONAL GROWTH FUND - CLAS	0.00	1.56	93.0	0.00	1.45	0.00	1.45
04/06/2018	#01430481000	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	5.79	93.0	0.00	5.38	0.00	5.38
04/06/2018	#01436951972	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	1.60	93.0	0.00	1.49	0.00	1.49
04/06/2018	#01436958837	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	0.80	93.0	0.00	0.74	0.00	0.74
04/06/2018	#01447744085	VARIOUS PIETTE FA SHORT TERM BOND FUND	0.00	0.37	93.0	0.00	0.34	0.00	0.34
04/06/2018	#01471524056	VARIOUS PIETTE FA SHORT TERM BOND FUND	0.00	0.37	93.0	0.00	0.34	0.00	0.34
04/06/2018	#01447744085	VARIOUS PIETTE FID INSTITUTIONAL ASSET MANAGEMENT	0.00	3.98	93.0	0.00	3.70	0.00	3.70
04/06/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 20 PERCE	0.00	7.18	93.0	0.00	6.68	0.00	6.68
04/06/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.92	93.0	0.00	0.86	0.00	0.86
04/06/2018	#01485567885	FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.92	93.0	0.00	0.86	0.00	0.86
04/06/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.88	93.0	0.00	0.82	0.00	0.82
04/06/2018	#01425568647	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	20.13	93.0	0.00	18.72	0.00	18.72
04/06/2018	#01425568712	MERRILL, TODD FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	9.20	93.0	0.00	8.56	0.00	8.56
04/06/2018	#01425568902	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.16	93.0	0.00	9.45	0.00	9.45
04/06/2018	#01425569215	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	5.28	93.0	0.00	4.91	0.00	4.91
04/06/2018	#01435869704	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	1.41	93.0	0.00	1.31	0.00	1.31
04/06/2018	#01435869704	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 85 PERCE	0.00	0.73	93.0	0.00	0.68	0.00	0.68
04/06/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	11.91	93.0	0.00	11.08	0.00	11.08
04/06/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	4.92	93.0	0.00	4.58	0.00	4.58
04/06/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	2.47	93.0	0.00	2.30	0.00	2.30
04/06/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.49	93.0	0.00	0.46	0.00	0.46
04/06/2018	#01485567885	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.49	93.0	0.00	0.46	0.00	0.46
04/06/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.47	93.0	0.00	0.44	0.00	0.44
04/06/2018	#01475316616	VARIOUS PIETTE FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.19	93.0	0.00	1.11	0.00	1.11
04/06/2018	#01475316640	PIETTE, SHARON FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.19	93.0	0.00	1.11	0.00	1.11
04/06/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	2.37	93.0	0.00	2.20	0.00	2.20
04/06/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV EMERGING ASIA-CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
04/06/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV EMERGING ASIA-CL A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
04/06/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV EMERGING MKTS-CL T	0.00	3.63	93.0	0.00	3.38	0.00	3.38
04/06/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV EQUITY INCOME-CL A	0.00	10.69	93.0	0.00	9.94	0.00	9.94
04/06/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.30	93.0	0.00	1.21	0.00	1.21
04/06/2018	#01485567885	FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.30	93.0	0.00	1.21	0.00	1.21
04/06/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.25	93.0	0.00	1.16	0.00	1.16
04/06/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	17.40	93.0	0.00	16.18	0.00	16.18

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	3.00	93.0	0.00	2.79	0.00	2.79
04/06/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV HEALTH CARE-CL A	0.00	1.51	93.0	0.00	1.40	0.00	1.40
04/06/2018	#01475349922	SMITH, JOSH FIDELITY ADV INDUSTRIALS-CL C	0.00	3.85	93.0	0.00	3.58	0.00	3.58
04/06/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	1.73	93.0	0.00	1.61	0.00	1.61
04/06/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	0.87	93.0	0.00	0.81	0.00	0.81
04/06/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	4.72	93.0	0.00	4.39	0.00	4.39
04/06/2018	#01485567885	FIDELITY ADV INFLATION PROTECTED BD	0.00	4.72	93.0	0.00	4.39	0.00	4.39
04/06/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV INFLATION PROTECTED BD	0.00	4.54	93.0	0.00	4.22	0.00	4.22
04/06/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.59	93.0	0.00	0.55	0.00	0.55
04/06/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV INTL DISCOVERY-CL A	0.00	3.58	93.0	0.00	3.33	0.00	3.33
04/06/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
04/06/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
04/06/2018	#01475316616	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.52	93.0	0.00	1.41	0.00	1.41
04/06/2018	#01475316640	PIETTE, SHARON FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.52	93.0	0.00	1.41	0.00	1.41
04/06/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.22	93.0	0.00	1.13	0.00	1.13
04/06/2018	#01471524056	VARIOUS PIETTE FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.80	93.0	0.00	1.67	0.00	1.67
04/06/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV MATERIALS-CL T	0.00	2.22	93.0	0.00	2.06	0.00	2.06
04/06/2018	#01468200199	VARIOUS PIETTE FIDELITY ADV MID CAP II-CL C	0.00	3.90	93.0	0.00	3.63	0.00	3.63
04/06/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV MID CAP-CL A	0.00	15.75	93.0	0.00	14.65	0.00	14.65
04/06/2018	#01468200199	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS CL C	0.00	4.24	93.0	0.00	3.94	0.00	3.94
04/06/2018	#01475366827	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS CL C	0.00	5.78	93.0	0.00	5.38	0.00	5.38
04/06/2018	#01475366850	DELONCANTHF FIDELITY ADV NEW INSIGHTS CL C	0.00	3.08	93.0	0.00	2.86	0.00	2.86
04/06/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.51	93.0	0.00	1.40	0.00	1.40
04/06/2018	#01447744085	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.77	93.0	0.00	1.65	0.00	1.65
04/06/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	9.55	93.0	0.00	8.88	0.00	8.88
04/06/2018	#01471524056	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	4.25	93.0	0.00	3.95	0.00	3.95
04/06/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.97	93.0	0.00	2.76	0.00	2.76
04/06/2018	#01425568712	MERRILL, TODD FIDELITY ADV NEW INSIGHTS-CL A	0.00	4.97	93.0	0.00	4.62	0.00	4.62
04/06/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	13.87	93.0	0.00	12.90	0.00	12.90
04/06/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.94	93.0	0.00	0.87	0.00	0.87
04/06/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	0.00	10.88	93.0	0.00	10.12	0.00	10.12
04/06/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV NEW INSIGHTS-CL A	0.00	18.51	93.0	0.00	17.21	0.00	17.21
04/06/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
04/06/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.61	93.0	0.00	0.57	0.00	0.57
04/06/2018	#01479116327	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
04/06/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.97	93.0	0.00	0.90	0.00	0.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	#01485567885	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.97	93.0	0.00	0.90	0.00	0.90
04/06/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.93	93.0	0.00	0.86	0.00	0.86
04/06/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.35	93.0	0.00	2.19	0.00	2.19
04/06/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV SMALL CAP-CL T	0.00	4.83	93.0	0.00	4.49	0.00	4.49
04/06/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	17.75	93.0	0.00	16.51	0.00	16.51
04/06/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	2.71	93.0	0.00	2.52	0.00	2.52
04/06/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
04/06/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV TOTAL BOND-CL A	0.00	8.09	93.0	0.00	7.52	0.00	7.52
04/06/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	0.14	93.0	0.00	0.13	0.00	0.13
04/06/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
04/06/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.03	93.0	0.00	0.96	0.00	0.96
04/06/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR EMERGING MARKET FU	0.00	1.54	93.0	0.00	1.43	0.00	1.43
04/06/2018	#01468200199	VARIOUS PIETTE FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.69	93.0	0.00	1.57	0.00	1.57
04/06/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	3.12	93.0	0.00	2.90	0.00	2.90
04/06/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	1.57	93.0	0.00	1.46	0.00	1.46
04/06/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR SER 1	0.00	5.43	93.0	0.00	5.05	0.00	5.05
04/06/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.11	93.0	0.00	0.10	0.00	0.10
04/06/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.19	93.0	0.00	0.18	0.00	0.18
04/06/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	12.59	93.0	0.00	11.71	0.00	11.71
04/06/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR SER II MID CAP II	0.00	3.16	93.0	0.00	2.94	0.00	2.94
04/06/2018	#01475316616	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	1.24	93.0	0.00	1.15	0.00	1.15
04/06/2018	#01475316640	PIETTE, SHARON FIDELITY ADVISOR SER II MID CAP II	0.00	1.24	93.0	0.00	1.15	0.00	1.15
04/06/2018	#01485567976	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	2.85	93.0	0.00	2.65	0.00	2.65
04/06/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	6.11	93.0	0.00	5.68	0.00	5.68
04/06/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	2.14	93.0	0.00	1.99	0.00	1.99
04/06/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.07	93.0	0.00	1.00	0.00	1.00
04/06/2018	#01442009617	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.43	93.0	0.00	0.40	0.00	0.40
04/06/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.01	93.0	0.00	3.73	0.00	3.73
04/06/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR STRATEGIC INCOME F	0.00	12.25	93.0	0.00	11.39	0.00	11.39
04/06/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.26	93.0	0.00	0.24	0.00	0.24
04/06/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.46	93.0	0.00	0.43	0.00	0.43
04/06/2018	#01485567794	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.07	93.0	0.00	3.79	0.00	3.79
04/06/2018	#01485567885	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.07	93.0	0.00	3.79	0.00	3.79
04/06/2018	#01485567935	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	3.92	93.0	0.00	3.65	0.00	3.65
04/06/2018	#01442009617	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.45	93.0	0.00	0.42	0.00	0.42
04/06/2018	#01450086176	VARIOUS PIETTE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.80	93.0	0.00	1.67	0.00	1.67

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/06/2018	#01430481000	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.87	93.0	0.00	10.11	10.11	
04/06/2018	#01485567794	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.96	93.0	0.00	1.82	1.82	
04/06/2018	#01485567885		FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.96	93.0	0.00	1.82	1.82	
04/06/2018	#01485567935	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.89	93.0	0.00	1.76	1.76	
04/06/2018	#01485567976	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	6.89	93.0	0.00	6.41	6.41	
04/06/2018	#01475316616	VARIOUS PIETTE	FIDELITY INVT TR ADVISOR EMRGING MK	0.00	1.58	93.0	0.00	1.47	1.47	
04/06/2018	#01475316640	PIETTE, SHARON	FIDELITY INVT TR ADVISOR EMRGING MK	0.00	1.58	93.0	0.00	1.47	1.47	
04/06/2018	#01485567794	VARIOUS PIETTE	FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.21	93.0	0.00	0.20	0.20	
04/06/2018	#01485567885		FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.21	93.0	0.00	0.20	0.20	
04/06/2018	#01485567935	VARIOUS PIETTE	FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.20	93.0	0.00	0.19	0.19	
04/06/2018	#01468598287	VARIOUS PIETTE	FIDELITY LEVERAGED COMPANY STOCK FU	0.00	0.27	93.0	0.00	0.25	0.25	
04/06/2018	#01468237324	VARIOUS PIETTE	FIDELITY SMALL CAP BLEND	0.00	0.15	93.0	0.00	0.14	0.14	
04/06/2018	#01436951972	VARIOUS PIETTE	TREASURY FUND - DAILY MONEY CLASS	0.00	3.18	93.0	0.00	2.96	2.96	
04/06/2018	#01436958837	VARIOUS PIETTE	TREASURY FUND - DAILY MONEY CLASS	0.00	1.74	93.0	0.00	1.62	1.62	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$535.02		\$0.00	\$497.60	\$0.00	\$497.60
*** BROKER TRANSACTIONS TOTAL ***				\$130,801.43	\$929.78		\$0.00	\$864.73	\$0.00	\$864.73

**ADJUSTMENTS**

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-569.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$864.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$295.73</b>
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