

**COMMISSION STATEMENT****March 31, 2018**JOSEPH (TERRY), M132 MANN
219 E. 31st StreetBROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	12,153.79	14.98	0.00	14.98	91.0	13.63
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	51,363.08	63.32	0.00	63.32	91.0	57.62
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	30,250.60	37.30	0.00	37.30	91.0	33.94
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	27,535.38	33.95	0.00	33.95	91.0	30.89
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	78,521.51	96.81	0.00	96.81	91.0	88.10
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	54,462.14	67.15	0.00	67.15	91.0	61.11
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	72,912.51	89.89	0.00	89.89	91.0	81.80
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	48,932.38	60.33	0.00	60.33	91.0	54.90
03/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	38,892.75	47.95	0.00	47.95	91.0	43.63
03/13/2018	COX, DENISE	AMERICAN FUNDS	12,099.53	14.92	0.00	14.92	91.0	13.58
03/13/2018	COX, DENISE	AMERICAN FUNDS	12,040.22	14.84	0.00	14.84	91.0	13.50
03/13/2018	COX, DENISE	AMERICAN FUNDS	10,929.79	13.48	0.00	13.48	91.0	12.27
03/13/2018	COX, DENISE	AMERICAN FUNDS	12,230.85	15.08	0.00	15.08	91.0	13.72
03/13/2018	COX, DENISE	AMERICAN FUNDS	11,972.87	14.76	0.00	14.76	91.0	13.43
03/13/2018	BAILEY, RICHARD	AMERICAN FUNDS	10,086.08	12.43	0.00	12.43	91.0	11.31
03/13/2018	BAILEY, RICHARD	AMERICAN FUNDS	12,566.71	15.49	0.00	15.49	91.0	14.10
03/13/2018	CHANDRAMOULI, YASH	AMERICAN FUNDS	4,536.94	5.59	0.00	5.59	91.0	5.09
03/13/2018	CHANDRAMOULI, YASH	AMERICAN FUNDS	3,864.58	4.76	0.00	4.76	91.0	4.33
03/13/2018	POHLMANN, STEVEN	AMERICAN FUNDS	43,416.02	53.53	0.00	53.53	91.0	48.71
03/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	11,832.29	14.59	0.00	14.59	91.0	13.28
03/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	8,638.09	10.65	0.00	10.65	91.0	9.69
03/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	7,703.52	9.50	0.00	9.50	91.0	8.65
03/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	12,242.86	15.09	0.00	15.09	91.0	13.73
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,068.09	3.78	0.00	3.78	91.0	3.44
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,434.27	4.23	0.00	4.23	91.0	3.85
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	21,929.74	27.04	0.00	27.04	91.0	24.61

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	11,086.02	13.67	0.00	13.67	91.0	12.44
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	22,812.98	28.13	0.00	28.13	91.0	25.60
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,501.06	4.32	0.00	4.32	91.0	3.93
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	11,353.03	14.00	0.00	14.00	91.0	12.74
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	8,358.40	10.30	0.00	10.30	91.0	9.37
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	692.78	0.85	0.00	0.85	91.0	0.77
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	7,800.38	9.62	0.00	9.62	91.0	8.75
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	8,751.43	10.79	0.00	10.79	91.0	9.82
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,606.88	1.98	0.00	1.98	91.0	1.80
03/13/2018	GIBBONS, HEATHER	AMERICAN FUNDS	32,842.44	40.49	0.00	40.49	91.0	36.85
03/13/2018	GIBBONS, HEATHER	AMERICAN FUNDS	18,949.33	23.36	0.00	23.36	91.0	21.26
03/13/2018	GIBBONS, HEATHER	AMERICAN FUNDS	12,797.49	15.78	0.00	15.78	91.0	14.36
03/13/2018	HEALEY, WENDY	AMERICAN FUNDS	3,372.55	4.16	0.00	4.16	91.0	3.79
03/13/2018	HEALEY, WENDY	AMERICAN FUNDS	4,657.04	5.74	0.00	5.74	91.0	5.22
03/13/2018	HEALEY, WENDY	AMERICAN FUNDS	5,591.81	6.89	0.00	6.89	91.0	6.27
03/13/2018	HEALEY, BRIAN	AMERICAN FUNDS	11,574.44	14.27	0.00	14.27	91.0	12.99
03/13/2018	HEALEY, BRIAN	AMERICAN FUNDS	10,089.52	12.44	0.00	12.44	91.0	11.32
03/13/2018	DABBS, LILY	AMERICAN FUNDS	2,179.49	2.69	0.00	2.69	91.0	2.45
03/13/2018	FUTTERMAN, ROBERT	AMERICAN FUNDS	21,610.92	26.64	0.00	26.64	91.0	24.24
03/13/2018	FUTTERMAN, ROBERT	AMERICAN FUNDS	17,334.97	21.37	0.00	21.37	91.0	19.45
03/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	27,866.73	34.36	0.00	34.36	91.0	31.27
03/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	5,459.96	6.73	0.00	6.73	91.0	6.12
03/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	10,249.87	12.64	0.00	12.64	91.0	11.50
03/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	21,828.89	26.91	0.00	26.91	91.0	24.49
03/13/2018	BLANCHARD, MALCOLM	AMERICAN FUNDS	5,897.24	7.27	0.00	7.27	91.0	6.62
03/13/2018	BLANCHARD, MALCOLM	AMERICAN FUNDS	5,491.04	6.77	0.00	6.77	91.0	6.16
03/13/2018	BLANCHARD, MALCOLM	AMERICAN FUNDS	1,313.14	1.62	0.00	1.62	91.0	1.47
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	1,573.10	1.94	0.00	1.94	91.0	1.77
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	10,453.32	12.89	0.00	12.89	91.0	11.73
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	12,155.85	14.99	0.00	14.99	91.0	13.64
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	12,171.10	15.01	0.00	15.01	91.0	13.66
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	23,042.00	28.41	0.00	28.41	91.0	25.85
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	1,724.07	2.13	0.00	2.13	91.0	1.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	10,448.99	12.88	0.00	12.88	91.0	11.72
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	12,150.86	14.98	0.00	14.98	91.0	13.63
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	12,166.43	15.00	0.00	15.00	91.0	13.65
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	23,042.39	28.41	0.00	28.41	91.0	25.85
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	1,724.07	2.13	0.00	2.13	91.0	1.94
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	4,957.61	6.11	0.00	6.11	91.0	5.56
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	6,580.43	8.11	0.00	8.11	91.0	7.38
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	6,698.33	8.26	0.00	8.26	91.0	7.52
03/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	762.94	0.94	0.00	0.94	91.0	0.86
03/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	27,702.80	34.15	0.00	34.15	91.0	31.08
03/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	11,179.05	13.78	0.00	13.78	91.0	12.54
03/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	22,332.10	27.53	0.00	27.53	91.0	25.05
03/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	5,948.40	7.33	0.00	7.33	91.0	6.67
03/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	5,451.27	6.72	0.00	6.72	91.0	6.12
03/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	2,431.33	3.00	0.00	3.00	91.0	2.73
03/13/2018	ARCHER, JACOB	AMERICAN FUNDS	37,199.79	45.86	0.00	45.86	91.0	41.73
03/13/2018	ARCHER, JACOB	AMERICAN FUNDS	33,786.05	41.65	0.00	41.65	91.0	37.90
03/13/2018	ARCHER, JACOB	AMERICAN FUNDS	2,431.33	3.00	0.00	3.00	91.0	2.73
03/13/2018	ARCHER, JACOB	AMERICAN FUNDS	1,466.47	1.81	0.00	1.81	91.0	1.65
03/13/2018	ARCHER, THOMAS	AMERICAN FUNDS	9,450.10	11.65	0.00	11.65	91.0	10.60
03/13/2018	ARCHER, THOMAS	AMERICAN FUNDS	10,201.37	12.58	0.00	12.58	91.0	11.45
03/13/2018	VERMA, ADI	AMERICAN FUNDS	2,654.07	3.27	0.00	3.27	91.0	2.98
03/13/2018	VERMA, ADI	AMERICAN FUNDS	1,517.55	1.87	0.00	1.87	91.0	1.70
03/13/2018	VERMA, ADI	AMERICAN FUNDS	805.40	0.99	0.00	0.99	91.0	0.90
03/13/2018	VERMA, ADI	AMERICAN FUNDS	8,114.22	10.00	0.00	10.00	91.0	9.10
03/13/2018	VERMA, ADI	AMERICAN FUNDS	1,806.96	2.23	0.00	2.23	91.0	2.03
03/13/2018	VERMA, ADI	AMERICAN FUNDS	2,654.07	3.27	0.00	3.27	91.0	2.98
03/13/2018	VERMA, ADI	AMERICAN FUNDS	1,517.55	1.87	0.00	1.87	91.0	1.70
03/13/2018	VERMA, ADI	AMERICAN FUNDS	805.40	0.99	0.00	0.99	91.0	0.90
03/13/2018	VERMA, ADI	AMERICAN FUNDS	8,114.22	10.00	0.00	10.00	91.0	9.10
03/13/2018	VERMA, ADI	AMERICAN FUNDS	1,806.96	2.23	0.00	2.23	91.0	2.03
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,379.69	5.40	0.00	5.40	91.0	4.91
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	6,570.84	8.10	0.00	8.10	91.0	7.37

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	11,857.20	14.62	0.00	14.62	91.0	13.30
03/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	11,643.57	14.36	0.00	14.36	91.0	13.07
03/13/2018	KASIAN, JUSTIN PHILLIP	AMERICAN FUNDS	2,189.67	2.70	0.00	2.70	91.0	2.46
03/13/2018	KASIAN, JUSTIN PHILLIP	AMERICAN FUNDS	1,382.75	1.70	0.00	1.70	91.0	1.55
03/13/2018	ARCHER, THOMAS	AMERICAN FUNDS	13,351.04	16.46	0.00	16.46	91.0	14.98
03/13/2018	NEUHAUSER, MITCHELL	AMERICAN FUNDS	4,117.66	5.08	0.00	5.08	91.0	4.62
03/13/2018	CHANDRAMOULI, SHRUTI	AMERICAN FUNDS	1,463.49	1.80	0.00	1.80	91.0	1.64
03/13/2018	MANI, CHANDRAMOULI	AMERICAN FUNDS	29,649.92	36.55	0.00	36.55	91.0	33.26
03/13/2018	GODLESKI, AUBRIEGH	AMERICAN FUNDS	2,199.24	2.71	0.00	2.71	91.0	2.47
03/13/2018	GODLESKI, AUBRIEGH	AMERICAN FUNDS	3,400.77	4.19	0.00	4.19	91.0	3.81
03/13/2018	GODLESKI, AUBRIEGH	AMERICAN FUNDS	1,915.42	2.36	0.00	2.36	91.0	2.15
03/13/2018	GODLESKI, COUPER	AMERICAN FUNDS	1,138.64	1.40	0.00	1.40	91.0	1.27
03/13/2018	GODLESKI, COUPER	AMERICAN FUNDS	1,515.02	1.87	0.00	1.87	91.0	1.70
03/13/2018	GODLESKI, COUPER	AMERICAN FUNDS	682.25	0.84	0.00	0.84	91.0	0.76
03/13/2018	HEALEY, VAUGHN	AMERICAN FUNDS	1,072.76	1.32	0.00	1.32	91.0	1.20
03/13/2018	HEALEY, VAUGHN	AMERICAN FUNDS	1,070.46	1.32	0.00	1.32	91.0	1.20
03/13/2018	HEALEY, ASHLEY	AMERICAN FUNDS	1,072.76	1.32	0.00	1.32	91.0	1.20
03/13/2018	HEALEY, ASHLEY	AMERICAN FUNDS	1,070.46	1.32	0.00	1.32	91.0	1.20
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,164.77	19.93	0.00	19.93	91.0	18.14
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,161.20	11.29	0.00	11.29	91.0	10.27
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	30,657.31	37.80	0.00	37.80	91.0	34.40
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,702.05	21.82	0.00	21.82	91.0	19.86
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,184.35	5.16	0.00	5.16	91.0	4.70
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	35,177.40	43.37	0.00	43.37	91.0	39.47
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,092.47	21.07	0.00	21.07	91.0	19.17
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,217.26	19.99	0.00	19.99	91.0	18.19
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,161.20	11.29	0.00	11.29	91.0	10.27
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	30,657.31	37.80	0.00	37.80	91.0	34.40
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,702.05	21.82	0.00	21.82	91.0	19.86
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,184.35	5.16	0.00	5.16	91.0	4.70
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	20,665.52	25.48	0.00	25.48	91.0	23.19
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,092.48	21.07	0.00	21.07	91.0	19.17
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,217.26	19.99	0.00	19.99	91.0	18.19

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,161.20	11.29	0.00	11.29	91.0	10.27
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	30,657.31	37.80	0.00	37.80	91.0	34.40
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,702.05	21.82	0.00	21.82	91.0	19.86
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,184.35	5.16	0.00	5.16	91.0	4.70
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	20,665.52	25.48	0.00	25.48	91.0	23.19
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,092.48	21.07	0.00	21.07	91.0	19.17
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,217.26	19.99	0.00	19.99	91.0	18.19
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,161.20	11.29	0.00	11.29	91.0	10.27
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	30,657.29	37.80	0.00	37.80	91.0	34.40
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,702.00	21.82	0.00	21.82	91.0	19.86
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,184.33	5.16	0.00	5.16	91.0	4.70
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	20,665.57	25.48	0.00	25.48	91.0	23.19
03/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,092.47	21.07	0.00	21.07	91.0	19.17
03/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	1,852.96	2.28	0.00	2.28	91.0	2.07
03/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	1,006.08	1.24	0.00	1.24	91.0	1.13
03/13/2018	CHANDRAMOULI, YASH	AMERICAN FUNDS	669.29	0.83	0.00	0.83	91.0	0.76
03/13/2018	KARP, ELIZABETH	AMERICAN FUNDS	4,355.21	5.37	0.00	5.37	91.0	4.89
03/13/2018	KARP, BENJAMIN	AMERICAN FUNDS	1,044.95	1.29	0.00	1.29	91.0	1.17
03/13/2018	KARP, BENJAMIN	AMERICAN FUNDS	1,038.30	1.28	0.00	1.28	91.0	1.16
03/13/2018	CUMMINGS, KRYSTA	AMERICAN FUNDS	1,368.97	1.69	0.00	1.69	91.0	1.54
03/13/2018	KASIAN, EVAN	AMERICAN FUNDS	2,161.36	2.66	0.00	2.66	91.0	2.42
03/13/2018	KASIAN, EVAN	AMERICAN FUNDS	1,382.86	1.70	0.00	1.70	91.0	1.55
03/13/2018	VOGEL, ROBERT	AMERICAN FUNDS	1,100.50	1.36	0.00	1.36	91.0	1.24
03/13/2018	COX, IRA	AMERICAN FUNDS	4,040.71	4.98	0.00	4.98	91.0	4.53
03/13/2018	COX, IRA	AMERICAN FUNDS	2,205.22	2.72	0.00	2.72	91.0	2.48
03/13/2018	COX, IRA	AMERICAN FUNDS	1,306.49	1.61	0.00	1.61	91.0	1.47
03/13/2018	COX, IRA	AMERICAN FUNDS	1,316.36	1.62	0.00	1.62	91.0	1.47
03/13/2018	BILKA, AMY	AMERICAN FUNDS	2,006.64	2.47	0.00	2.47	91.0	2.25
03/13/2018	BILKA, AMY	AMERICAN FUNDS	6,729.03	8.30	0.00	8.30	91.0	7.55
03/13/2018	BILKA, AMY	AMERICAN FUNDS	2,673.13	3.30	0.00	3.30	91.0	3.00
03/13/2018	BILKA, AMY	AMERICAN FUNDS	661.64	0.82	0.00	0.82	91.0	0.75
03/13/2018	BILKA, AMY	AMERICAN FUNDS	1,333.22	1.64	0.00	1.64	91.0	1.49
03/13/2018	BILKA, AMY	AMERICAN FUNDS	16.67	0.02	0.00	0.02	91.0	0.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
03/13/2018	BILKA, AMY	AMERICAN FUNDS	55.56	0.07	0.00	0.07	91.0	0.06
03/13/2018	BILKA, AMY	AMERICAN FUNDS	22.22	0.03	0.00	0.03	91.0	0.03
03/13/2018	BILKA, AMY	AMERICAN FUNDS	5.56	0.01	0.00	0.01	91.0	0.01
03/13/2018	BILKA, AMY	AMERICAN FUNDS	11.11	0.01	0.00	0.01	91.0	0.01
03/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	1,345.54	1.66	0.00	1.66	91.0	1.51
03/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	1,346.60	1.66	0.00	1.66	91.0	1.51
03/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	1,340.71	1.65	0.00	1.65	91.0	1.50
03/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	1,345.13	1.66	0.00	1.66	91.0	1.51
03/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	1,345.28	1.66	0.00	1.66	91.0	1.51
03/13/2018	VERMA, ADI	AMERICAN FUNDS	1,988.62	1.53	0.00	1.53	91.0	1.39
03/13/2018	VERMA, ADI	AMERICAN FUNDS	1,988.62	1.53	0.00	1.53	91.0	1.39
03/13/2018	HEALEY, VAUGHN	AMERICAN FUNDS	2,475.93	0.71	0.00	0.71	91.0	0.65
03/13/2018	HEALEY, ASHLEY	AMERICAN FUNDS	2,475.93	0.71	0.00	0.71	91.0	0.65
03/13/2018	GALLAGHER, EMILY	TD AMERITRADE	0.00	73.08	0.00	73.08	91.0	66.50
03/13/2018	PAI, YOGISH	TD AMERITRADE	0.00	1,395.11	0.00	1,395.11	91.0	1,269.55
03/13/2018	TROWBRIDGE, SCOTT	TD AMERITRADE	0.00	291.56	0.00	291.56	91.0	265.32
03/13/2018	TROWBRIDGE, SCOTT	TD AMERITRADE	0.00	471.36	0.00	471.36	91.0	428.94
03/13/2018	TROWBRIDGE, JANE	TD AMERITRADE	0.00	268.29	0.00	268.29	91.0	244.14
03/13/2018	TROWBRIDGE, SCOTT	TD AMERITRADE	0.00	169.78	0.00	169.78	91.0	154.50
03/13/2018	SINGH, RAGINIE	TD AMERITRADE	0.00	224.27	0.00	224.27	91.0	204.09
03/13/2018	VERMA, ANAND	TD AMERITRADE	0.00	1,113.75	0.00	1,113.75	91.0	1,013.51
* RIA SUBTOTAL *			\$1,884,654.39	\$6,324.16	\$0.00	\$6,324.16		\$5,755.02
*** DIRECT TRANSACTIONS TOTAL ***			\$1,884,654.39	\$6,324.16	\$0.00	\$6,324.16		\$5,755.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5,755.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,755.02
Year-to-date Gross	\$65,490.32
Year-to-date Earnings	\$59,596.24