



Concorde Investment Services, LLC
COMMISSION STATEMENT
 July 15, 2017

07/12/2017
 Page No. 1

BRION NORBY
 4905 Prairie Lane

BROKER #: N121
 BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
07/03/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
07/03/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
07/03/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$565.00	\$9.89	\$0.00	\$9.89		\$8.91
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- #333</u>								
06/20/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
06/27/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
06/16/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
06/19/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.01	0.00	0.01	90.0	0.01
06/19/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
06/19/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.55	0.00	0.55	90.0	0.50
* JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$0.98	\$0.00	\$0.98		\$0.89
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
07/03/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	2.36	0.00	2.36	90.0	2.12
07/03/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	4.07	0.00	4.07	90.0	3.66
07/03/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	2.70	0.00	2.70	90.0	2.43
07/03/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.09	0.00	2.09	90.0	1.88
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$11.22	\$0.00	\$11.22		\$10.09
*** SPLIT TRANSACTIONS TOTAL ***			\$1,585.00	\$22.09	\$0.00	\$22.09		\$19.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2017		*AUDIT FEE: BRANCH EXAM	0.00					-213.84
07/15/2017		*CONCIERGE SVC: 193607, 193621 NEWB	0.00					-11.00
07/15/2017		*CONCIERGE SVC: 193658 AGUIRRE	0.00					-8.00
07/15/2017		*CONCIERGE SVC: 194317, 344 SIKOLE	0.00					-50.00
07/15/2017		*CONCIERGE SVC: 194429, 454, 496 RU	0.00					-60.00
07/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*FINRA: WI IND. REG. FEE	0.00					-80.00
07/15/2017		*MISC: OVERNIGHT CK TO CLIENT	0.00					-7.00
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.75
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.24
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.80
07/15/2017		*PERSHING: PROSPECTUS	0.00					-4.62
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,012.25

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$19.89
Override Transactions	\$0.00
Adjustments	\$-1,012.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-32.64
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,025.00
Year-to-date Gross	\$34,670.67
Year-to-date Earnings	\$31,147.82

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