

COMMISSION STATEMENT

July 31, 2018

 BRYAN CLEMMONS-D369
 212 South Palestine

 BROKER #: D369
 BRANCH: D12

Athens, TX 75751

COMMISSION STATEMENT for BRYAN CLEMMONS-D369

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/23/2018	153011405	BROCKWAY, DANIEL	MF	AMCAP FUND-A	S	20,002.45	850.00	5.00	845.00	87.5	739.38
07/23/2018	153011405	BROCKWAY, DANIEL	MF	FRANKLIN FEDERAL TAX FREE CLASS	S	-32,226.64	0.00	5.00	-5.00	87.5	-4.38
07/23/2018	153011405	BROCKWAY, DANIEL	MF	FRANKLIN HIGH-YIELD TAX-FREE	S	-65,785.08	0.00	5.00	-5.00	87.5	-4.38
07/23/2018	153011405	BROCKWAY, DANIEL	MF	NEW PERSPECTIVE FUND-A	S	20,002.45	850.00	5.00	845.00	87.5	739.38
07/23/2018	153011405	BROCKWAY, DANIEL	MF	THE HARTFORD BALANCED INCOME	S	-92,759.04	0.00	5.00	-5.00	87.5	-4.38
07/23/2018	153011405	BROCKWAY, DANIEL	MF	THE HARTFORD INTL OPPORTUNITIES	S	-50,304.63	0.00	5.00	-5.00	87.5	-4.38
07/23/2018	184288696	CLEMMONS CUST, JACK	MF	NEW WORLD FUND-A	B	-147.55	0.00	5.00	-5.00	87.5	-4.38
07/23/2018	184294088	CLEMMONS CUST, JACK	MF	NEW WORLD FUND-A	B	-147.55	0.00	5.00	-5.00	87.5	-4.38
07/23/2018	184301033	CUST, JACK CLEMMONS	MF	NEW WORLD FUND-A	B	-147.55	0.00	5.00	-5.00	87.5	-4.38
07/20/2018	276043724	FRIEDRICH, ROBYN	MF	NEW PERSPECTIVE FUND-A	S	3,202.45	160.00	5.00	155.00	87.5	135.63
07/20/2018	276053954	FRIEDRICH, ROBYN	MF	AMCAP FUND-A	S	10,002.45	500.00	5.00	495.00	87.5	433.13
07/20/2018	276053954	FRIEDRICH, ROBYN	MF	FUNDAMENTAL INVESTORS-A	S	9,002.45	450.00	5.00	445.00	87.5	389.38
07/23/2018	307779569	GEATCHES, ROBERT	MF	MFS MUNICIPAL INCOME FUND-C	B	-72.55	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *						\$-179,378.34	\$2,810.00	\$65.00	\$2,745.00		\$2,401.86
PRODUCT CATEGORY: BONDS											
07/23/2018	153006262	BROCKWAY, DANIEL	B	HARLEY DAVIDSON INC SR	B	198,500.00	4,000.00	15.00	3,985.00	87.5	3,486.88
07/25/2018	153011405	BROCKWAY, DANIEL	B	FOREST LAKE MINN INDPT SCH DIS	B	-197,924.23	-6,000.00	-15.00	-5,985.00	87.5	-5,236.88
07/25/2018	153011405	BROCKWAY, DANIEL	B	FOREST LAKE MINN INDPT SCH DIS	B	197,924.23	6,000.00	15.00	5,985.00	87.5	5,236.88
07/25/2018	153011405	BROCKWAY, DANIEL	B	FOREST LAKE MINN INDPT SCH DIS	B	195,924.23	4,000.00	15.00	3,985.00	87.5	3,486.88
* BONDS SUBTOTAL *						\$394,424.23	\$8,000.00	\$30.00	\$7,970.00		\$6,973.76
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
07/23/2018	mfc113001	VARIOUS,	MFT	FRANKLIN FEDERAL TAX FREE CLASS	B	0.00	2.86	0.00	2.86	87.5	2.50
07/23/2018	mfc113001	VARIOUS,	MFT	FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	5.85	0.00	5.85	87.5	5.12
07/23/2018	mfc113001	VARIOUS,	MFT	MFS MUNI HIGH INCOME FD CL C	B	0.00	4.63	0.00	4.63	87.5	4.05
07/23/2018	mfc113001	VARIOUS,	MFT	MFS MUNICIPAL INCOME FUND-C	B	0.00	4.47	0.00	4.47	87.5	3.91
07/23/2018	mfc113001	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.05	0.00	0.05	87.5	0.04
07/23/2018	mfc113001	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.05	0.00	0.05	87.5	0.04
07/23/2018	mfc113001	VARIOUS,	MFT	NEW WORLD FUND-A	B	0.00	0.05	0.00	0.05	87.5	0.04
07/23/2018	mfc113001	VARIOUS,	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05
07/23/2018	mfc113001	VARIOUS,	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05

COMMISSION STATEMENT for BRYAN CLEMMONS-D369

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc113001	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05
07/23/2018	mfc113001	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	87.5	0.11
07/23/2018	mfc113001	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	87.5	0.11
07/23/2018	mfc113001	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.12	0.00	0.12	87.5	0.11
07/23/2018	mfc113001	VARIOUS, MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	3.56	0.00	3.56	87.5	3.12
07/23/2018	mfc113001	VARIOUS, MFT THE HARTFORD BALANCED INCOME	B	0.00	3.13	0.00	3.13	87.5	2.74
07/23/2018	mfc113001	VARIOUS, MFT THE HARTFORD INTL OPPORTUNITIES	B	0.00	1.69	0.00	1.69	87.5	1.48
07/23/2018	mfc113001	VARIOUS, MFT THE NEW ECONOMY FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05
07/23/2018	mfc113001	VARIOUS, MFT THE NEW ECONOMY FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05
07/23/2018	mfc113001	VARIOUS, MFT THE NEW ECONOMY FUND-A	B	0.00	0.06	0.00	0.06	87.5	0.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$27.06	\$0.00	\$27.06		\$23.67
*** CLEARING TRANSACTIONS TOTAL ***				\$215,045.89	\$10,837.06	\$95.00	\$10,742.06		\$9,399.29

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-1,482.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-1,537.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-1,537.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,399.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,537.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-161.94
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$7,700.35
Year-to-date Commissions	\$7,700.35