

COMMISSION STATEMENT

August 15, 2018

 PAM BOOTON-D006
 5955 Lomita Verde Circle

 BROKER #: D006
 BRANCH: DMN

Austin, TX 78749

COMMISSION STATEMENT for PAM BOOTON-D006

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

08/02/2018	00069317176	SHOEBROEK,	MF	CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/02/2018	00069317176	SHOEBROEK,	MF	CAPITAL WORLD GROWTH AND	B	50.00	1.88	0.00	1.88	90.0	1.69
08/02/2018	00074600405	SHOEBROEK,	MF	CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
08/02/2018	00074600405	SHOEBROEK,	MF	CAPITAL WORLD GROWTH AND	B	50.00	1.88	0.00	1.88	90.0	1.69
08/03/2018	00086072850	FRAIRE, ALICIA	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072850	FRAIRE, ALICIA	MF	THE INVESTMENT COMPANY OF	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072850	FRAIRE, ALICIA	MF	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072886	FRAIRE, ALICIA	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072886	FRAIRE, ALICIA	MF	THE INVESTMENT COMPANY OF	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072886	FRAIRE, ALICIA	MF	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072901	FRAIRE, ALICIA	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072901	FRAIRE, ALICIA	MF	THE INVESTMENT COMPANY OF	B	50.00	2.13	0.00	2.13	90.0	1.92
08/03/2018	00086072901	FRAIRE, ALICIA	MF	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/06/2018	04001106078	PETERS, ARMANDO	MF	AMCAP FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106078	PETERS, ARMANDO	MF	SMALLCAP WORLD FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106078	PETERS, ARMANDO	MF	THE GROWTH FUND OF AMERICA-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106078	PETERS, ARMANDO	MF	THE NEW ECONOMY FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106100	PETERS, MARISOL	MF	AMCAP FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106100	PETERS, MARISOL	MF	SMALLCAP WORLD FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106100	PETERS, MARISOL	MF	THE GROWTH FUND OF AMERICA-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
08/06/2018	04001106100	PETERS, MARISOL	MF	THE NEW ECONOMY FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
				* MUTUAL FUNDS SUBTOTAL *		\$2,650.00	\$126.69	\$0.00	\$126.69		\$114.04

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/02/2018	(None)	VARIOUS, BOOTON	VA	SECURITY BENEFIT LIFE	B	0.00	3,607.47	0.00	3,607.47	90.0	3,246.72
08/02/2018	(None)	SHOEBROEK, MICHAEL	VA	SECURITY BENEFIT LIFE	B	0.00	32.79	0.00	32.79	90.0	29.51
08/02/2018	(None)	SHOEBROEK, MICHAEL	VA	SECURITY BENEFIT LIFE	B	0.00	8.75	0.00	8.75	90.0	7.88
08/02/2018	(None)	KOHLIS, MARY	VA	SECURITY BENEFIT LIFE	B	0.00	17.62	0.00	17.62	90.0	15.86
08/02/2018	(None)	KOHLIS, MARY	VA	SECURITY BENEFIT LIFE	B	0.00	19.60	0.00	19.60	90.0	17.64
08/02/2018	(None)	ENGLE, CHERYL	VA	SECURITY BENEFIT LIFE	B	0.00	28.71	0.00	28.71	90.0	25.84
08/02/2018	(None)	ENGLE, CHERYL	VA	SECURITY BENEFIT LIFE	B	0.00	16.51	0.00	16.51	90.0	14.86

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
08/10/2018 (None)	PETERS, KENN A	VA SECURITY BENEFIT LIFE	B	86,955.20	4,347.76	0.00	4,347.76	90.0	3,912.98
* VARIABLE ANNUITIES SUBTOTAL *				\$86,955.20	\$8,079.21	\$0.00	\$8,079.21		\$7,271.29
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
07/30/2018 (None)	BURT, KICHEA	VAT SECURITY BENEFIT LIFE	B	0.00	0.63	0.00	0.63	90.0	0.57
07/30/2018 (None)	ENGLE, CHERYL	VAT SECURITY BENEFIT LIFE	B	0.00	29.23	0.00	29.23	90.0	26.31
07/30/2018 (None)	ENGLE, CHERYL	VAT SECURITY BENEFIT LIFE	B	0.00	67.84	0.00	67.84	90.0	61.06
07/30/2018 (None)	GINGRAS, TOMMY	VAT SECURITY BENEFIT LIFE	B	0.00	42.14	0.00	42.14	90.0	37.93
07/30/2018 (None)	KOHLs, MARY	VAT SECURITY BENEFIT LIFE	B	0.00	269.75	0.00	269.75	90.0	242.78
07/30/2018 (None)	KOHLs, MARY	VAT SECURITY BENEFIT LIFE	B	0.00	119.43	0.00	119.43	90.0	107.49
07/30/2018 (None)	MOORE, DAVID	VAT SECURITY BENEFIT LIFE	B	0.00	43.02	0.00	43.02	90.0	38.72
07/30/2018 (None)	SHOEBROEK, MICHAEL	VAT SECURITY BENEFIT LIFE	B	0.00	17.34	0.00	17.34	90.0	15.61
07/30/2018 (None)	SHOEBROEK, MICHAEL	VAT SECURITY BENEFIT LIFE	B	0.00	102.28	0.00	102.28	90.0	92.05
07/30/2018 (None)	VARIOUS, BOOTON	VAT SECURITY BENEFIT LIFE	B	0.00	490.39	0.00	490.39	90.0	441.35
07/31/2018 (None)	UNIVERSITY, OF B	VAT LINCOLN NATL LIFE	B	0.00	106.77	0.00	106.77	90.0	96.09
07/31/2018 (None)	UNIVERSITY, OF B	VAT LINCOLN NATL LIFE	B	0.00	5.31	0.00	5.31	90.0	4.78
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,294.13	\$0.00	\$1,294.13		\$1,164.74
*** DIRECT TRANSACTIONS TOTAL ***				\$89,605.20	\$9,500.03	\$0.00	\$9,500.03		\$8,550.07

BROKER COMMISSION TOTALS

Broker Transactions	\$8,550.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,550.07
Year-to-date Commissions	\$193,659.50