

All Companies
STATEMENT
May 20, 2018

JEFF - TERMINATED HEADRICK
3214 Tazewell Pike Suite 1

BROKER #: 163
BRANCH:

Knoxville, TN 37918

COMMISSION STATEMENT for JEFF - TERMINATED HEADRICK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/09/2018	#00069217597 ROBERTS,	CAPITAL WORLD BOND FUND-529A	50.00	1.50	94.0	0.00	1.41	0.00	1.41
04/09/2018	#00069217595 ROBERTS,	CAPITAL WORLD BOND FUND-529A	50.00	1.50	94.0	0.00	1.41	0.00	1.41
04/16/2018	#00087004650 MERRIMAN,	CAPITAL INCOME BUILDER FD CL A	50.00	2.50	94.0	0.00	2.35	0.00	2.35
04/17/2018	#00075342200 ROBERTS,	CAPITAL WORLD BOND FUND-529A	50.00	1.50	94.0	0.00	1.41	0.00	1.41
04/25/2018	#00082027966 STANIFER, BRIAN	CAPITAL INCOME BUILDER FD CL A	50.00	2.50	94.0	0.00	2.35	0.00	2.35
04/25/2018	#00083113709 DISHNER, ALEXA	CAPITAL WORLD GROWTH AND INCOME-529	50.00	1.88	94.0	0.00	1.77	0.00	1.77
04/26/2018	#00086947739 ROBERTS,	AMERICAN BALANCED FUND-529A	50.00	2.13	94.0	0.00	2.00	0.00	2.00
04/26/2018	#00086947755 ROBERTS,	AMERICAN BALANCED FUND-529A	50.00	2.13	94.0	0.00	2.00	0.00	2.00
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$15.64		\$0.00	\$14.70	\$0.00	\$14.70
*** BROKER TRANSACTIONS TOTAL ***			\$400.00	\$15.64		\$0.00	\$14.70	\$0.00	\$14.70

BROKER COMMISSION TOTALS

Broker Transactions	\$14.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14.70
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