



All Companies

04/27/2018
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COMMISSION STATEMENT May 01, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	COLLEGEBOUND 529	B	2.96	2.96	0.00	2.96	90.0	2.66
04/13/2018	BEELER/CARPENTER, JASON/KELLIE	COLLEGEBOUND 529	B	150.00	5.25	0.00	5.25	90.0	4.73
04/10/2018	00062563099 BURKETT, JAMES	AMERICAN FUNDS WA MUTUAL	INVEST B	150.00	7.50	0.00	7.50	90.0	6.75
04/10/2018	00082796933 YODER, DENNIS	AMERICAN BALANCED FUND-C	B	152.78	1.53	0.00	1.53	90.0	1.38
04/10/2018	00082796933 YODER, DENNIS	CAPITAL INCOME BUILDER-C	B	152.78	1.53	0.00	1.53	90.0	1.38
04/10/2018	00082796933 YODER, DENNIS	CAPITAL WORLD GROWTH AND INCOME FUN B	B	152.77	1.53	0.00	1.53	90.0	1.38
04/16/2018	00084996825 HOLUB, BRANDI L.	CAPITAL WORLD GROWTH AND INCOME FUN B	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00084996825 HOLUB, BRANDI L.	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00084996825 HOLUB, BRANDI L.	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00084996825 HOLUB, BRANDI L.	THE INVESTMENT COMPANY OF AMERICA-C B	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00085786312 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	150.00	1.50	0.00	1.50	90.0	1.35
04/16/2018	00085786341 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	200.00	2.00	0.00	2.00	90.0	1.80
04/20/2018	00086029369 CA/TOD	CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00086625581 HARRIS/BAKER, CONNIE/GRACE	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	0.50	0.00	0.50	90.0	0.45
04/10/2018	00087474076 SHAFFER, TRACY	AMERICAN BALANCED FUND-C	B	179.00	1.79	0.00	1.79	90.0	1.61
04/10/2018	00087474076 SHAFFER, TRACY	CAPITAL INCOME BUILDER-C	B	179.00	1.79	0.00	1.79	90.0	1.61
04/10/2018	00087474076 SHAFFER, TRACY	CAPITAL WORLD GROWTH AND INCOME FUN B	B	180.00	1.80	0.00	1.80	90.0	1.62
04/16/2018	00088427132 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00088435772 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00088682857 ROASA, MICHAEL	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00088682879 ROASA, MICHAEL	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00089364522 CALLEN, DEAN	CAPITAL WORLD GROWTH AND INCOME-529 B	B	200.00	2.00	0.00	2.00	90.0	1.80
04/16/2018	00089364522 CALLEN, DEAN	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
04/16/2018	00089544673 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B	B	100.00	1.00	0.00	1.00	90.0	0.90
04/10/2018	04000167042 LONG/ECHAVEZ, MICHAEL/MIMI	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	04000893867 KOCHMAN, MACY M.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	04000922959 HARRIS, CONNIE/EMILY	AMERICAN BALANCED FUND-529A	B	2,500.00	106.25	0.00	106.25	90.0	95.63

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/16/2018	04000922959 HARRIS, CONNIE/EMILY	CAPITAL WORLD GROWTH AND INCOME-529 B		2,500.00	106.25	0.00	106.25	90.0	95.63
04/16/2018	04000922959 HARRIS, CONNIE/EMILY	NEW PERSPECTIVE FUND-529A	B	2,500.00	106.25	0.00	106.25	90.0	95.63
04/16/2018	04000922959 HARRIS, CONNIE/EMILY	THE INVESTMENT COMPANY OF AMERICA-5 B		2,500.00	106.25	0.00	106.25	90.0	95.63
* MUTUAL FUNDS SUBTOTAL *				\$13,099.29	\$468.68	\$0.00	\$468.68		\$421.84
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/08/2018	TRAILS	-HARTFORD LIFE	B	158.49	158.49	0.00	158.49	90.0	142.64
* VARIABLE ANNUITIES SUBTOTAL *				\$158.49	\$158.49	\$0.00	\$158.49		\$142.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	58.93	0.00	58.93	90.0	53.03
05/01/2018	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	81.90	0.00	81.90	90.0	73.72
05/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	2.03	0.00	2.03	90.0	1.83
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	1.64	0.00	1.64	90.0	1.48
05/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	71.96	0.00	71.96	90.0	64.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$216.46	\$0.00	\$216.46		\$194.82
*** BROKER TRANSACTIONS TOTAL ***				\$13,257.78	\$843.63	\$0.00	\$843.63		\$759.30
<u>ADJUSTMENTS</u>									
05/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$759.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$751.80
Year-to-date Earnings	\$18,651.50