

**COMMISSION STATEMENT****May 15, 2018**ERNEST DALE, A122 ANDERSON
6484 Los Altos NEBROKER #: A122 Terminated 10/15/2014
BRANCH: ERNEST (DALE) ANDERS

Rockford, MI 49341-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.93	0.00	0.93	0.0	0.00
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.17	0.00	0.17	0.0	0.00
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.20	0.00	0.20	0.0	0.00
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.93	0.00	0.93	0.0	0.00
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.17	0.00	0.17	0.0	0.00
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.21	0.00	0.21	0.0	0.00
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	2.39	0.00	2.39	0.0	0.00
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.48	0.00	0.48	0.0	0.00
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.59	0.00	0.59	0.0	0.00
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	2.24	0.00	2.24	0.0	0.00
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.40	0.00	0.40	0.0	0.00
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.52	0.00	0.52	0.0	0.00
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	2.36	0.00	2.36	0.0	0.00
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.52	0.00	0.52	0.0	0.00
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.66	0.00	0.66	0.0	0.00
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	2.43	0.00	2.43	0.0	0.00
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.55	0.00	0.55	0.0	0.00
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.68	0.00	0.68	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.43	\$0.00	\$16.43		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$16.43	\$0.00	\$16.43		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$32.10
Year-to-date Earnings	\$0.00