

All Companies
STATEMENT
May 20, 2018

STEPHEN PLOUCHER

BROKER #: 038
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

04/20/2018 #	SOMMERS, LESTER	APPLE INC	B	33,387.26	10.00	0.00	0.00	10.00	90.0	9.00
* STOCKS SUBTOTAL *				\$33,387.26	\$10.00	\$0.00	\$0.00	\$10.00		\$9.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/06/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	12.89	0.00	0.00	12.89	90.0	11.60
04/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.03	0.00	0.00	2.03	90.0	1.83
04/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.48	0.00	0.00	2.48	90.0	2.23
04/10/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	1.73	0.00	0.00	1.73	90.0	1.56
04/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.03	0.00	0.00	2.03	90.0	1.83
04/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.48	0.00	0.00	2.48	90.0	2.23
04/10/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	1.73	0.00	0.00	1.73	90.0	1.56
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.69	0.00	0.00	4.69	90.0	4.22
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.62	0.00	0.00	4.62	90.0	4.16
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.48	0.00	0.00	4.48	90.0	4.03
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.48	0.00	0.00	2.48	90.0	2.23
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.69	0.00	0.00	4.69	90.0	4.22
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.49	0.00	0.00	5.49	90.0	4.94
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.48	0.00	0.00	4.48	90.0	4.03
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.57	0.00	0.00	4.57	90.0	4.11
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.69	0.00	0.00	4.69	90.0	4.22
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.49	0.00	0.00	5.49	90.0	4.94
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.48	0.00	0.00	4.48	90.0	4.03
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.57	0.00	0.00	4.57	90.0	4.11
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.28	0.00	0.00	2.28	90.0	2.05
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	90.0	1.78
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.21	0.00	0.00	2.21	90.0	1.99
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.20	0.00	0.00	2.20	90.0	1.98
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.02	0.00	0.00	2.02	90.0	1.82
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.28	0.00	0.00	2.28	90.0	2.05
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	90.0	1.78
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.21	0.00	0.00	2.21	90.0	1.99

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.20	0.00	0.00	2.20	90.0	1.98
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.02	0.00	0.00	2.02	90.0	1.82
04/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	3.80	0.00	0.00	3.80	90.0	3.42
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	11.12	0.00	0.00	11.12	90.0	10.01
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	26.40	0.00	0.00	26.40	90.0	23.76
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	5.99	0.00	0.00	5.99	90.0	5.39
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	13.31	0.00	0.00	13.31	90.0	11.98
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	3.53	0.00	0.00	3.53	90.0	3.18
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	6.66	0.00	0.00	6.66	90.0	5.99
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	0.93	0.00	0.00	0.93	90.0	0.84
04/10/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	1.11	0.00	0.00	1.11	90.0	1.00
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	90.0	0.86
04/10/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.09	0.00	0.00	1.09	90.0	0.98
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.57	0.00	0.00	0.57	90.0	0.51
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.09	0.00	0.00	0.09	90.0	0.08
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.77	0.00	0.00	0.77	90.0	0.69
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.29	0.00	0.00	1.29	90.0	1.16
04/10/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	90.0	0.93
04/10/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	2.84	0.00	0.00	2.84	90.0	2.56
04/10/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	3.55	0.00	0.00	3.55	90.0	3.20
04/10/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	0.41	0.00	0.00	0.41	90.0	0.37
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	17.70	0.00	0.00	17.70	90.0	15.93
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	10.94	0.00	0.00	10.94	90.0	9.85
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	8.14	0.00	0.00	8.14	90.0	7.33
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.12	0.00	0.00	4.12	90.0	3.71
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.53	0.00	0.00	5.53	90.0	4.98
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.60	0.00	0.00	2.60	90.0	2.34
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	3.57	0.00	0.00	3.57	90.0	3.21
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.68	0.00	0.00	5.68	90.0	5.11
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	25.41	0.00	0.00	25.41	90.0	22.87
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.40	0.00	0.00	9.40	90.0	8.46
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.63	0.00	0.00	9.63	90.0	8.67
04/10/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	8.08	0.00	0.00	8.08	90.0	7.27
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.11	0.00	0.00	5.11	90.0	4.60
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.81	0.00	0.00	4.81	90.0	4.33
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	0.23	0.00	0.00	0.23	90.0	0.21
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.46	0.00	0.00	4.46	90.0	4.01
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	11.95	0.00	0.00	11.95	90.0	10.76
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.38	0.00	0.00	5.38	90.0	4.84
04/10/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	8.59	0.00	0.00	8.59	90.0	7.73

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
04/10/2018 #	LIUDAH, LESLIE	DAIN TRAILER	B	0.00	4.69	0.00	0.00	4.69	90.0	4.22
04/10/2018 #	LIUDAH, LESLIE	DAIN TRAILER	B	0.00	6.34	0.00	0.00	6.34	90.0	5.71
04/10/2018 #	LIUDAH, LESLIE	DAIN TRAILER	B	0.00	8.44	0.00	0.00	8.44	90.0	7.60
04/10/2018 #	LIUDAH, JUDITH	DAIN TRAILER	B	0.00	0.87	0.00	0.00	0.87	90.0	0.78
04/10/2018 #	LIUDAH, JUDITH	DAIN TRAILER	B	0.00	0.84	0.00	0.00	0.84	90.0	0.76
04/10/2018 #	LIUDAH, JUDITH	DAIN TRAILER	B	0.00	0.87	0.00	0.00	0.87	90.0	0.78
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	7.36	0.00	0.00	7.36	90.0	6.62
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.63	0.00	0.00	2.63	90.0	2.37
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.56	0.00	0.00	4.56	90.0	4.10
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.88	0.00	0.00	1.88	90.0	1.69
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	5.09	0.00	0.00	5.09	90.0	4.58
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.82	0.00	0.00	2.82	90.0	2.54
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.92	0.00	0.00	1.92	90.0	1.73
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.56	0.00	0.00	4.56	90.0	4.10
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.58	0.00	0.00	2.58	90.0	2.32
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	8.39	0.00	0.00	8.39	90.0	7.55
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.51	0.00	0.00	2.51	90.0	2.26
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.80	0.00	0.00	2.80	90.0	2.52
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	42.73	0.00	0.00	42.73	90.0	38.46
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	6.40	0.00	0.00	6.40	90.0	5.76
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	25.02	0.00	0.00	25.02	90.0	22.52
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	20.85	0.00	0.00	20.85	90.0	18.77
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	9.62	0.00	0.00	9.62	90.0	8.66
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	14.87	0.00	0.00	14.87	90.0	13.38
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	12.25	0.00	0.00	12.25	90.0	11.03
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.38	0.00	0.00	2.38	90.0	2.14
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	19.87	0.00	0.00	19.87	90.0	17.88
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	0.83	0.00	0.00	0.83	90.0	0.75
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	0.83	0.00	0.00	0.83	90.0	0.75
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	28.51	0.00	0.00	28.51	90.0	25.66
04/10/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	3.83	0.00	0.00	3.83	90.0	3.45
04/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	4.55	0.00	0.00	4.55	90.0	4.10
04/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	3.58	0.00	0.00	3.58	90.0	3.22
04/10/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	6.59	0.00	0.00	6.59	90.0	5.93
04/20/2018 #	SOMMERS, LESTER	DAIN TRAILER	S	93,577.87	0.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$93,577.87</u>	<u>\$610.12</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$610.12</u>		<u>\$549.13</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$126,965.13</u>	<u>\$620.12</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$620.12</u>		<u>\$558.13</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/20/2018		ADMIN FEE: ADMIN FEE								-390.00
05/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$558.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-10.87</u>
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