Page No.



CARL WORDEN III

San Jose, CA 95128

3031 Tisch Way

Suite 901

COMMISSION STATEMENT

January 31, 2017

BROKER #: W134 Terminated 04/22/2016

BRANCH: CARL WORDEN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|--------|----------------------|
| | | ADJUSTN | <u>MENTS</u> | | | | | |
| 01/31/2017 | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | | | | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 |) | \$0.00 |
| | | | | | <u> </u> | BROKER COMM | ISSION | TOTALS |
| | | | | | Broke | r Transaction | s | \$0.00 |
| | | | | | Spli | t Transaction | s | \$0.00 |
| | | | | | | e Transaction | | \$0.00 |
| | | | | | | Adjustment | s | \$0.00 |
| | | | | | | Payroll Draw | W | \$0.00 |
| | | | | | | Base Salar | У | \$0.00 |
| | | | | | Prior | Period Balance | е | \$0.00 |
| | | | | | FI | NRA Assessmen | t | \$0.00 |
| | | | | | S | IPC Assessmen | t | \$0.00 |
| | | Please Retain f THERE WILL BE NO | |) |] | Balance Forwar | rd | \$0.00 |
| | | | | | Year | r-to-date Gros | ss | \$1.99 |
| | | | | | Year-to | o-date Earning | gs | \$0.00 |