



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT

September 15, 2017

C148 DAN/MARC FT-CHARLEY/LINSKY

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| ^08/15/2017 | ANDERSON, WILLIAM | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 0.95 | 0.00 | 0.95 | 100.0 | 0.00 |
| ^08/17/2017 | ANDERSON, WILLIAM | AMERICAN CENTURY CORE PLUS FUND CLA | 0.00 | 5.73 | 0.00 | 5.73 | 100.0 | 0.00 |
| ^08/25/2017 | ANDERSON, ELAINE | BLK GLOBAL ALLOCATION C | 0.00 | 4.96 | 0.00 | 4.96 | 100.0 | 0.00 |
| ^08/18/2017 | ANDERSON, ELAINE | CALAMOS GROWTH & INCOME A | 0.00 | 1.76 | 0.00 | 1.76 | 100.0 | 0.00 |
| ^08/17/2017 | ANDERSON, WILLIAM | COLUMBIA BALANCED FUND CLASS C | 0.00 | 6.94 | 0.00 | 6.94 | 100.0 | 0.00 |
| ^08/17/2017 | FIAMINGO, FRANK | COLUMBIA SELIGMAN COMM AND INFO A | 0.00 | 2.90 | 0.00 | 2.90 | 100.0 | 0.00 |
| ^08/14/2017 | FIAMINGO, FRANK | DAVIS REAL ESTATE A | 0.00 | 4.34 | 0.00 | 4.34 | 100.0 | 0.00 |
| ^08/14/2017 | ANDERSON, WILLIAM | EAGLE GROWTH AND INCOME FUND CLASS | 0.00 | 12.02 | 0.00 | 12.02 | 100.0 | 0.00 |
| ^08/21/2017 | FIAMINGO, FRANK | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 12.70 | 0.00 | 12.70 | 100.0 | 0.00 |
| ^08/21/2017 | FIAMINGO, FRANK | FIRST EAGLE OVERSEAS FUND CLASS A | 0.00 | 4.44 | 0.00 | 4.44 | 100.0 | 0.00 |
| ^08/21/2017 | ANDERSON, WILLIAM | FIRST EAGLE OVERSEAS FUND CLASS A | 0.00 | 1.94 | 0.00 | 1.94 | 100.0 | 0.00 |
| ^08/17/2017 | ANDERSON, ELAINE | JANUS BALANCED FUND CLASS C | 0.00 | 6.30 | 0.00 | 6.30 | 100.0 | 0.00 |
| ^08/18/2017 | ANDERSON, ELAINE | JH STRATEGIC INCOME A | 0.00 | 0.88 | 0.00 | 0.88 | 100.0 | 0.00 |
| ^08/21/2017 | FIAMINGO, FRANK | OPPENHEIMER CAPITAL INCOME A | 0.00 | 1.97 | 0.00 | 1.97 | 100.0 | 0.00 |
| ^08/21/2017 | ANDERSON, WILLIAM | PIMCO REAL RETURN C | 0.00 | 3.42 | 0.00 | 3.42 | 100.0 | 0.00 |
| ^08/14/2017 | RYNEX, CAROL | WELLS FARGO DOW JONES TARGET 2035 F | 0.00 | 1.99 | 0.00 | 1.99 | 100.0 | 0.00 |
| ^08/14/2017 | RYNEX, STEPHEN | WELLS FARGO PREMIER LG COMP GRWTH A | 0.00 | 1.05 | 0.00 | 1.05 | 100.0 | 0.00 |
| ^08/14/2017 | RYNEX, ANDREW | WELLS FARGO PREMIER LG COMP GRWTH A | 0.00 | 0.34 | 0.00 | 0.34 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$74.63 | \$0.00 | \$74.63 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$74.63 | \$0.00 | \$74.63 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |