



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 30, 2017

09/28/2017
Page No. 1

ROGER BOWLIN
507 SE Andrews Street

Issaquah, WA 98027-

BROKER #: B156
BRANCH: ROGER BOWLIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
09/11/2017	NGUYEN, KHANH	PASSCO VOYAGER DST	171,506.00	10,290.36	92.0	9,467.13	0.00	9,467.13
* 1031 EXCHANGES SUBTOTAL *			\$171,506.00	\$10,290.36		\$9,467.13	\$0.00	\$9,467.13
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP 529C	0.00	13.27	90.0	11.94	0.00	11.94
09/01/2017	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	18.72	90.0	16.85	0.00	16.85
09/01/2017	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	1.32	90.0	1.19	0.00	1.19
09/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	2.44	90.0	2.20	0.00	2.20
09/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.97	90.0	4.47	0.00	4.47
09/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.06	90.0	3.65	0.00	3.65
09/01/2017	DEDUCTIBLE, NON	AM FUNDS AMCAP F1	0.00	2.53	90.0	2.28	0.00	2.28
09/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.04	90.0	3.64	0.00	3.64
09/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	3.28	90.0	2.95	0.00	2.95
09/01/2017	WALLACE, CHARLES	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.07	90.0	0.96	0.00	0.96
09/01/2017	DEDUCTIBLE, NON	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.11	90.0	1.00	0.00	1.00
09/01/2017	WALLACE, CHARLES	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.47	90.0	1.32	0.00	1.32
09/01/2017	PHILLIPS, GREGORY	AM FUNDS AMERICAN MUTUAL A	0.00	17.82	90.0	16.04	0.00	16.04
09/01/2017	NELSEN, CRAIG	AM FUNDS CAPITAL INCOME BUILDER C	0.00	52.20	90.0	46.98	0.00	46.98
09/01/2017	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.95	90.0	0.86	0.00	0.86
09/01/2017	HEARN, GEORGE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.69	90.0	0.62	0.00	0.62
09/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	11.02	90.0	9.92	0.00	9.92
09/01/2017	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	16.14	90.0	14.53	0.00	14.53
09/01/2017	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.38	90.0	0.34	0.00	0.34
09/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.67	90.0	3.30	0.00	3.30
09/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	5.03	90.0	4.53	0.00	4.53
09/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.29	90.0	3.86	0.00	3.86
09/01/2017	DEDUCTIBLE, NON	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.83	90.0	3.45	0.00	3.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	6.19	90.0	5.57	0.00	5.57
09/01/2017	HEARN, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.83	90.0	1.65	0.00	1.65
09/01/2017	HEARN, GEORGE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.34	90.0	1.21	0.00	1.21
09/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.34	90.0	3.01	0.00	3.01
09/01/2017	PHILLIPS, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.37	90.0	0.33	0.00	0.33
09/01/2017	SUBERT, TODD	AM FUNDS FUNDAMENTAL INVESTORS	0.00	2.35	90.0	2.12	0.00	2.12
09/01/2017	PHILLIPS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.46	90.0	1.31	0.00	1.31
09/01/2017	SUBERT, TODD	AM FUNDS GROWTH FUND OF AMERICA	0.00	2.57	90.0	2.31	0.00	2.31
09/01/2017	WALLACE, CHARLES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.70	90.0	2.43	0.00	2.43
09/01/2017	DEDUCTIBLE, NON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.81	90.0	2.53	0.00	2.53
09/01/2017	WALLACE, CHARLES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.49	90.0	4.04	0.00	4.04
09/01/2017	HEARN, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.50	90.0	2.25	0.00	2.25
09/01/2017	HEARN, GEORGE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.80	90.0	1.62	0.00	1.62
09/01/2017	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	0.00	2.29	90.0	2.06	0.00	2.06
09/11/2017	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	50.00	2.50	90.0	2.25	0.00	2.25
09/01/2017	PHILLIPS, GREGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.94	90.0	7.15	0.00	7.15
09/01/2017	PHILLIPS, GREGORY	AM FUNDS NEW ECONOMY A	0.00	14.61	90.0	13.15	0.00	13.15
09/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE 529C	0.00	11.15	90.0	10.04	0.00	10.04
09/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.62	90.0	1.46	0.00	1.46
09/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	5.44	90.0	4.90	0.00	4.90
09/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	4.54	90.0	4.09	0.00	4.09
09/01/2017	DEDUCTIBLE, NON	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.67	90.0	1.50	0.00	1.50
09/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.22	90.0	2.00	0.00	2.00
09/01/2017	HEARN, CAROL	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.18	90.0	2.86	0.00	2.86
09/01/2017	HEARN, GEORGE	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.30	90.0	2.07	0.00	2.07
09/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.68	90.0	3.31	0.00	3.31
09/01/2017	PHILLIPS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.37	90.0	0.33	0.00	0.33
09/01/2017	PHILLIPS, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	12.78	90.0	11.50	0.00	11.50
09/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	3.51	90.0	3.16	0.00	3.16
09/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	4.48	90.0	4.03	0.00	4.03
09/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	8.12	90.0	7.31	0.00	7.31
09/01/2017	DEDUCTIBLE, NON	AM FUNDS WASHINGTON MUTUAL	0.00	3.67	90.0	3.30	0.00	3.30
09/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	6.46	90.0	5.81	0.00	5.81

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	HEARN, CAROL	AM FUNDS WASHINGTON MUTUAL	0.00	0.82	90.0	0.74	0.00	0.74
09/01/2017	HEARN, GEORGE	AM FUNDS WASHINGTON MUTUAL	0.00	0.52	90.0	0.47	0.00	0.47
09/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	2.87	90.0	2.58	0.00	2.58
09/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	9.10	90.0	8.19	0.00	8.19
09/01/2017	WALLACE, CHARLES	AMERICAN FUND SMALLCAP WORKD	0.00	1.91	90.0	1.72	0.00	1.72
09/01/2017	DEDUCTIBLE, NON	AMERICAN FUND SMALLCAP WORKD	0.00	1.98	90.0	1.78	0.00	1.78
09/01/2017	WALLACE, CHARLES	AMERICAN FUND SMALLCAP WORKD	0.00	2.81	90.0	2.53	0.00	2.53
09/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.77	90.0	1.59	0.00	1.59
09/01/2017	DEDUCTIBLE, NON	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.83	90.0	1.65	0.00	1.65
09/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.76	90.0	2.48	0.00	2.48
09/01/2017	HEARN, CAROL	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.00	90.0	1.80	0.00	1.80
09/01/2017	HEARN, GEORGE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.44	90.0	1.30	0.00	1.30
09/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.81	90.0	2.53	0.00	2.53
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$343.20		\$308.90	\$0.00	\$308.90
*** BROKER TRANSACTIONS TOTAL ***			\$171,556.00	\$10,633.56		\$9,776.03	\$0.00	\$9,776.03

BROKER COMMISSION TOTALS

Broker Transactions	\$9,776.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-628.07
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,147.96
Year-to-date Gross	\$256,863.91
Year-to-date Earnings	\$235,205.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed