

All Companies  
**STATEMENT**  
June 20, 2018

LEE THOMAS  
530 WESTOVER DR

BROKER #: 056  
BRANCH: LA BRANCH

ROXBORO, NC 27573-

COMMISSION STATEMENT for LEE THOMAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
05/15/2018	#04000777216 KELLEY, KENLEY	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	0.00	2.50	75.0	1.88
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$2.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2.50</b>	<b>\$1.88</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
05/04/2018	#1021182806 CROWE, BARBARA	PERSP II 01/16	B	216,050.88	15,123.56	0.00	0.00	15,123.56	25.0	3,780.89
05/07/2018	#1021182806 CROWE, BARBARA	PERSP II 01/16	B	141,847.10	9,929.30	0.00	0.00	9,929.30	25.0	2,482.33
05/10/2018	#1021182806 CROWE, BARBARA	PERSP II 01/16	B	57.43	4.02	0.00	0.00	4.02	25.0	1.01
05/14/2018	#1021182610 CROWE, CHRIS	PERSP II 01/16	B	90.73	6.35	0.00	0.00	6.35	25.0	1.59
				<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$358,046.14</b>	<b>\$25,063.23</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$25,063.23</b>	<b>\$6,265.82</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
05/08/2018	# GLENN, JOHNATHAN	DAIN TRAILER	B	0.00	1.88	0.00	0.00	1.88	75.0	1.41
05/09/2018	#	DAIN TRAILER	B	0.00	2.96	0.00	0.00	2.96	75.0	2.22
05/09/2018	# GIBSON, JOHN	DAIN TRAILER	B	0.00	0.82	0.00	0.00	0.82	75.0	0.62
05/09/2018	# GIBSON, JOHN	DAIN TRAILER	B	0.00	2.04	0.00	0.00	2.04	75.0	1.53
05/09/2018	# KNIGHT GIBSON, NANCY	DAIN TRAILER	B	0.00	2.21	0.00	0.00	2.21	75.0	1.66
05/09/2018	# GRAY, SUSAN	DAIN TRAILER	B	0.00	0.90	0.00	0.00	0.90	75.0	0.68
05/09/2018	# GRAY, SUSAN	DAIN TRAILER	B	0.00	4.19	0.00	0.00	4.19	75.0	3.14
05/09/2018	# WICKER, KEVIN	DAIN TRAILER	B	0.00	1.26	0.00	0.00	1.26	75.0	0.95
05/09/2018	# HAWKINS, DORIS	DAIN TRAILER	B	0.00	3.50	0.00	0.00	3.50	75.0	2.63
05/09/2018	# NICHOLS, WILLIAM	DAIN TRAILER	B	0.00	7.78	0.00	0.00	7.78	75.0	5.84
05/09/2018	# NICHOLS, WILLIAM	DAIN TRAILER	B	0.00	4.13	0.00	0.00	4.13	75.0	3.10
05/09/2018	# THOMAS, BETTY	DAIN TRAILER	B	0.00	2.52	0.00	0.00	2.52	75.0	1.89
05/09/2018	# THOMAS, BETTY	DAIN TRAILER	B	0.00	1.66	0.00	0.00	1.66	75.0	1.25
05/09/2018	# GLENN, JOHNATHAN	DAIN TRAILER	B	0.00	2.78	0.00	0.00	2.78	75.0	2.09
05/09/2018	# JAMES, KELLY	DAIN TRAILER	B	0.00	1.72	0.00	0.00	1.72	75.0	1.29
				<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$40.35</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$40.35</b>	<b>\$30.30</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$358,096.14</b>	<b>\$25,106.08</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$25,106.08</b>	<b>\$6,298.00</b>

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ADJUSTMENTS

06/20/2018		ADMIN FEE: ADMIN FEE								-390.00
06/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
06/20/2018		ADVANCE: ADVANCE								-5,156.25
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF								-205.00
		DEBIT BALANCE								
06/20/2018		FEDEX: FEDEX BARBARA CROWE 5/2								-10.37
06/20/2018		CRD FEES: CRD FEES FINRA MEMBER								-110.00
		DISC PROC FEE								

\*\*\* ADJUSTMENTS TOTAL \*\*\*

\$-6,050.62

BROKER COMMISSION TOTALS

Broker Transactions	\$6,298.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-6,050.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-8,045.73
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward

\$-7,798.35