

**COMMISSION STATEMENT****March 31, 2018**JAMES PANOS
330 North StreetBROKER #: P116 Terminated 12/31/2015
BRANCH: JAMES PANOS

Boston, MA 02113-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------|--------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| 03/15/2018 | DORAN, PATRICK | FA 529 PORT 2019 C | 50.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.00 |
| 03/15/2018 | LORA, MARCOS | FA 529 PORT 2022 C | 150.00 | 1.50 | 0.00 | 1.50 | 0.0 | 0.00 |
| 03/15/2018 | LORA, CATALINA | FA 529 PORT 2022 C | 150.00 | 1.50 | 0.00 | 1.50 | 0.0 | 0.00 |
| 03/15/2018 | DORAN, PATRICK | FA 529 PORT 2022 C | 50.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.00 |
| 03/15/2018 | MACUCH, PAUL | FA 529 PORT 2022 C | 50.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.00 |
| 03/15/2018 | LORA, ROLANDO | FA 529 PORT 2022 C | 150.00 | 1.50 | 0.00 | 1.50 | 0.0 | 0.00 |
| * 529 PLANS SUBTOTAL * | | | \$600.00 | \$6.00 | \$0.00 | \$6.00 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$600.00 | \$6.00 | \$0.00 | \$6.00 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$5,004.26 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed