

**COMMISSION STATEMENT****November 30, 2017**DELMAR (BO)-M143 MCKINNEY
5 Red Bud TrailBROKER #: M143
BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/17/2017	ROSENTHAL, LUCILLE	FEDERATED STRATEGIC VALUE DIVIDEND	-2,456.66	0.00	0.00	0.00	90.0	0.00
11/17/2017	ROSENTHAL, LUCILLE	OPPENHEIMER DEVELOPING MARKETS Y	-1,142.85	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-3,599.51	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
11/15/2017	NORMAN, ISAAC	AT&T INC COM	33,955.00	195.00	23.50	171.50	90.0	154.35
* STOCKS SUBTOTAL *			\$33,955.00	\$195.00	\$23.50	\$171.50		\$154.35
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
11/17/2017	GUNTER, JOHN	FT UNIT 6985 MLP CLOSED END PORTFOL	49,999.27	1,000.05	0.00	1,000.05	90.0	900.05
11/17/2017	GUNTER, JOHN	FT UNIT 7038 GLOBAL EQUITY INCOME C	50,000.00	1,000.00	0.00	1,000.00	90.0	900.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$99,999.27	\$2,000.05	\$0.00	\$2,000.05		\$1,800.05
*** CLEARING TRANSACTIONS TOTAL ***			\$130,354.76	\$2,195.05	\$23.50	\$2,171.55		\$1,954.40
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	2.40	0.00	2.40	90.0	2.16
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$2.40	\$0.00	\$2.40		\$2.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/12/2017	BARTOSH, PATRICIA	AM GEN POLIRIS II FLEXIBLE	26,742.30	26.74	0.00	26.74	90.0	24.07
11/12/2017	BARTOSH, PATRICIA	ANCHOR ADVISOR 11 FLEX	6,913.52	17.28	0.00	17.28	90.0	15.55
11/20/2017	LUEDTKE, LOIS	ANCHOR ADVISOR FLEX PYMT DEF ANN	281,416.66	703.54	0.00	703.54	90.0	633.19
11/21/2017	DAWSON, SIDNEY	ANCHOR ADVISOR FLEX PYMT DEF ANN	509.84	1.27	0.00	1.27	90.0	1.14
* VARIABLE ANNUITIES SUBTOTAL *			\$315,582.32	\$748.83	\$0.00	\$748.83		\$673.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	NAIZER, JOHNNIE	BLACKROCK 19452W490	0.00	1.95	0.00	1.95	90.0	1.76
10/31/2017	KORNEGAY, ALISA	BLACKROCK 19452W490	0.00	1.22	0.00	1.22	90.0	1.10
10/31/2017	SMITH, MARLO	BLACKROCK 19452W490	0.00	0.48	0.00	0.48	90.0	0.43
10/31/2017	SMITH, MARLO	BLK AGG GROWTH A	0.00	0.38	0.00	0.38	90.0	0.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.03	\$0.00	\$4.03		\$3.63
*** DIRECT TRANSACTIONS TOTAL ***			\$315,782.32	\$755.26	\$0.00	\$755.26		\$679.74

BROKER COMMISSION TOTALS

Broker Transactions	\$2,634.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,634.14
Year-to-date Gross	\$266,723.71
Year-to-date Earnings	\$236,866.22

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