

STATEMENT

March 20, 2018

JEFF HAGYARD
5060 CALIFORNIA AVE
SUITE 620
BAKERSFIELD, CA 93309

BROKER #: 214
BRANCH: LA BRANCH

COMMISSION STATEMENT for JEFF HAGYARD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/01/0018	#012470001601	HARY-MARG	487300840	0.00	2.47	85.0	0.00	2.10	2.10
02/06/2018	#00068036692	AMERICAN BALANCED FUND-529A	2,000.00	32.00	85.0	0.00	27.20	0.00	27.20
02/06/2018	#00068036692	CAPITAL WORLD GROWTH AND INCOME-529	2,000.00	32.00	85.0	0.00	27.20	0.00	27.20
02/06/2018	#00068036692	NEW PERSPECTIVE FUND-529A	2,000.00	32.00	85.0	0.00	27.20	0.00	27.20
02/06/2018	#00068036694	NEW PERSPECTIVE FUND-529A	833.34	13.33	85.0	0.00	11.33	0.00	11.33
02/06/2018	#00068036692	THE GROWTH FUND OF AMERICA-529A	2,000.00	32.00	85.0	0.00	27.20	0.00	27.20
02/06/2018	#00068036694	THE GROWTH FUND OF AMERICA-529A	833.33	13.33	85.0	0.00	11.33	0.00	11.33
02/06/2018	#00068036692	WASHINGTON MUTUAL INVESTORS FUND-52	2,000.00	32.00	85.0	0.00	27.20	0.00	27.20
02/06/2018	#00068036694	WASHINGTON MUTUAL INVESTORS FUND-52	833.33	13.33	85.0	0.00	11.33	0.00	11.33
* MUTUAL FUNDS SUBTOTAL *			\$12,500.00	\$202.46		\$0.00	\$172.09	\$0.00	\$172.09

PRODUCT CATEGORY: STOCKS

01/30/2018	# HAGYARD, SHIRLEY	CREDIT SUISSE NASSAU BRH VELOCITYSH	21,899.50	324.95	85.0	0.00	276.21	19.95	256.26
01/30/2018	# HAGYARD, SHIRLEY	GLOBAL BLOOD THERAPEUTICS INC	12,240.00	210.00	85.0	0.00	178.50	19.95	158.55
01/30/2018	# HAGYARD, SHIRLEY	QUALCOMM INC	16,857.50	293.92	85.0	0.00	249.83	19.95	229.88
02/02/2018	# HAGYARD, SHIRLEY	AZINCOURT ENERGY CORP COM	79.50	50.00	85.0	0.00	42.50	19.95	22.55
02/02/2018	# HAGYARD, SHIRLEY	AZINCOURT ENERGY CORP COM	19.88	0.00	85.0	0.00	0.00	19.95	-19.95
02/02/2018	# HAGYARD, SHIRLEY	ENDRA LIFE SCIENCES INC	8,275.00	357.63	85.0	0.00	303.99	19.95	284.04
02/02/2018	# HAGYARD, SHIRLEY	GLOBAL X FUNDS GLOBAL X LITHIUM & B	21,858.00	412.03	85.0	0.00	350.23	19.95	330.28
02/02/2018	# HAGYARD, SHIRLEY	ISHARES TRUST MSCI EMERGING	9,213.00	200.90	85.0	0.00	170.77	19.95	150.82
02/05/2018	# HAGYARD, SHIRLEY	***CRISPR THERAPEUTICS AG	17,900.00	352.13	85.0	0.00	299.31	19.95	279.36
02/05/2018	# HAGYARD, SHIRLEY	APPLE INC	23,877.00	210.00	85.0	0.00	178.50	19.95	158.55
02/08/2018	# HAGYARD, SHIRLEY	***CRISPR THERAPEUTICS AG	10,147.70	243.29	85.0	0.00	206.80	19.95	186.85
02/08/2018	# HAGYARD, SHIRLEY	***NATIONAL ACCESS CANNABIS	3,551.93	177.60	85.0	0.00	150.96	19.95	131.01
02/08/2018	# HAGYARD, SHIRLEY	MOLECULAR TEMPLATES INC COMMON STOC	9,505.00	278.60	85.0	0.00	236.81	19.95	216.86
02/08/2018	# HAGYARD, SHIRLEY	NEWELL BRANDS INC	7,189.20	197.02	85.0	0.00	167.47	19.95	147.52
02/08/2018	# HAGYARD, SHIRLEY	ROBO GLOBAL ROBOTICS AND AUTOMATION	12,549.00	255.00	85.0	0.00	216.75	19.95	196.80
02/09/2018	# HAGYARD, SHIRLEY	BARCLAYS BANK PLC IPATH S&P 500 VIX	25,911.50	441.62	85.0	0.00	375.38	19.95	355.43

COMMISSION STATEMENT for JEFF HAGYARD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* STOCKS SUBTOTAL *			\$201,073.71	\$4,004.69		\$0.00	\$3,404.01	\$319.20	\$3,084.81
PRODUCT CATEGORY: OPTIONS									
02/02/2018	# HAGYARD, SHIRLEY	CALL CBI 01/18/19 5	7,800.00	197.30	85.0	0.00	167.71	29.70	138.01
* OPTIONS SUBTOTAL *			\$7,800.00	\$197.30		\$0.00	\$167.71	\$29.70	\$138.01
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/02/2018	#08900008280 BENEGARY	PRINCIPAL EQUITY INCOME FUND	0.00	17.96	85.0	0.00	15.27	0.00	15.27
02/02/2018	#06008444561 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	0.00	21.04	85.0	0.00	17.88	0.00	17.88
02/02/2018	#08900008280 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	0.00	7.33	85.0	0.00	6.23	0.00	6.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$46.33		\$0.00	\$39.38	\$0.00	\$39.38
*** BROKER TRANSACTIONS TOTAL ***			\$221,373.71	\$4,450.78		\$0.00	\$3,783.19	\$348.90	\$3,434.29

ADJUSTMENTS

03/20/2018	ADMIN FEE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,434.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$2,935.29