



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2017

05/11/2017
 Page No. 1

DANIEL RAUPP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: R132
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/02/2017	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	605,361.67	497.56	0.00	497.56	100.0	497.56
04/30/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	64,881.04	54.07	0.00	54.07	100.0	54.07
04/29/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	55,078.39	45.90	0.00	45.90	100.0	45.90
* VARIABLE ANNUITIES SUBTOTAL *			\$725,321.10	\$597.53	\$0.00	\$597.53		\$597.53
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.39	0.00	2.39	100.0	2.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.39	\$0.00	\$2.39		\$2.39
*** BROKER TRANSACTIONS TOTAL ***			\$725,321.10	\$599.92	\$0.00	\$599.92		\$599.92

SPLIT TRANSACTIONS

<u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u>								
03/30/2017	SULLIVAN, MICHAEL	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,190.00	0.00	1,190.00	100.0	1,190.00
04/26/2017	MCP REALTY GRP LLC	INLAND BRIGHTON MULTIFAMILY DST	85,000.00	1,445.00	0.00	1,445.00	100.0	1,445.00
04/26/2017	MCP REALTY GRP LLC	INLAND SELF STORAGE PF IV DST	84,268.52	1,146.06	0.00	1,146.06	100.0	1,146.06
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$219,268.52	\$3,781.06	\$0.00	\$3,781.06		\$3,781.06
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
04/30/2017	BELL	ASCENSUS 529 TRAILS	0.00	23.04	0.00	23.04	100.0	23.04
04/30/2017	BELL	ASCENSUS 529 TRAILS	0.00	24.83	0.00	24.83	100.0	24.83
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$47.87	\$0.00	\$47.87		\$47.87
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
05/05/2017	TROVATO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	65,000.00	1,137.50	0.00	1,137.50	100.0	1,137.50
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$65,000.00	\$1,137.50	\$0.00	\$1,137.50		\$1,137.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
05/08/2017	RAGLANI, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	100.0	0.00
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$50,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
04/26/2017	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	-168,992.62	0.00	11.24	-11.24	100.0	-11.24
04/26/2017	DOLCE, JENNIFER	ALPHABET INC CAP STK CL C	-31,288.00	0.00	11.24	-11.24	100.0	-11.24
04/26/2017	DOLCE, CHARLES	ALTRIA GROUP INC COM	-36,026.75	0.00	11.37	-11.37	100.0	-11.37
04/26/2017	DOLCE, JENNIFER	ALTRIA GROUP INC COM	-78,494.72	0.00	11.82	-11.82	100.0	-11.82
04/26/2017	DOLCE, JENNIFER	AMAZON COM INC	-140,160.47	0.00	11.24	-11.24	100.0	-11.24
04/26/2017	DOLCE, CHARLES	AMGEN INC COM	-16,439.40	0.00	11.24	-11.24	100.0	-11.24
04/27/2017	JOHNSON FAMILY TRUST	APPLE HOSPITALITY REIT INC COM NEW	-130,700.07	0.00	13.50	-13.50	100.0	-13.50
04/26/2017	DOLCE, CHARLES	APPLE INC COM	-28,789.40	0.00	11.24	-11.24	100.0	-11.24
04/26/2017	DOLCE, JENNIFER	APPLE INC COM	-34,299.01	0.00	11.24	-11.24	100.0	-11.24
03/31/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.98	0.00	8.98	100.0	8.98
04/26/2017	DOLCE, JENNIFER	CONSOLIDATED EDISON INC COM	-7,931.10	0.00	11.24	-11.24	100.0	-11.24
04/26/2017	DOLCE, JENNIFER	FACEBOOK INC CL A	-109,592.10	0.00	11.56	-11.56	100.0	-11.56
05/02/2017	BENJAMIN, WAYNE	GLOBAL NET LEASE INC COM	-3,587.76	0.00	11.24	-11.24	100.0	-11.24
05/04/2017	DOLCE, CHARLES	GPB AUTOMOTIVE PORTFOLIO LP	130,000.00	0.00	0.00	0.00	100.0	0.00
05/05/2017	DOLCE, JENNIFER	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	0.00	0.00	0.00	100.0	0.00
05/04/2017	DOLCE, CHARLES	GPB WASTE MANAGEMENT LP CLASS A	50,000.00	0.00	0.00	0.00	100.0	0.00
03/30/2017	DOLCE, CHARLES	HPI FUND V	50,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
05/04/2017	DOLCE, CHARLES	HPI REAL ESTATE FUND V LLC	37,000.00	0.00	0.00	0.00	100.0	0.00
04/26/2017	DOLCE, CHARLES	KIMBERLY CLARK CORP	-26,113.40	0.00	11.24	-11.24	100.0	-11.24
05/02/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	-9,754.50	11.00	11.00	0.00	100.0	0.00
04/26/2017	DOLCE, CHARLES	MASTERCARD INCORPORATED	-23,201.40	0.00	11.24	-11.24	100.0	-11.24
04/26/2017	DOLCE, CHARLES	NIKE INC CLASS B	-24,421.54	0.00	11.32	-11.32	100.0	-11.32
04/26/2017	DOLCE, CHARLES	PRICELINE COM INC COM NEW	-61,375.64	0.00	11.24	-11.24	100.0	-11.24
05/02/2017	BENJAMIN, WAYNE	SMART TR CEFA SELECT BDC TR SER UNI	-14,965.77	0.00	22.00	-22.00	100.0	-22.00
04/26/2017	DOLCE, CHARLES	TESLA MTRS INC COM	-7,795.11	0.00	11.24	-11.24	100.0	-11.24
04/28/2017	MAKRIDES, MARIE	VANECK VECTORS ETF TR JR GOLD MINER	19,985.16	100.00	11.46	88.54	100.0	88.54
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$-566,943.60	\$1,619.98	\$238.91	\$1,381.07		\$1,381.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
03/31/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	5.35	0.00	5.35	100.0	5.35
05/05/2017	FRICKER TRUST, HAROLD MAX	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	750.00	0.00	750.00	100.0	750.00
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$100,000.00	\$755.35	\$0.00	\$755.35		\$755.35
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
04/26/2017	SEITZ, CRAIG	BARINGS GLOBAL SHORT DURATION HIGH	-50,292.45	136.00	8.77	127.23	100.0	127.23
04/26/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	52,974.88	720.46	7.48	712.98	100.0	712.98
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$2,682.43	\$856.46	\$16.25	\$840.21		\$840.21
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
05/08/2017	REILLY, TERRENCE	GPB AUTOMOTIVE PORTFOLIO LP	150,000.00	0.00	0.00	0.00	100.0	0.00
05/08/2017	REILLY, TERRENCE	GPB WASTE MANAGEMENT LP CLASS A	40,000.00	0.00	0.00	0.00	100.0	0.00
05/08/2017	REILLY, TERRENCE	HPI REAL ESTATE FUND V LLC	50,000.00	0.00	0.00	0.00	100.0	0.00
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$240,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
04/28/2017	WASSERMAN TRUST, NINA	DODGE & COX INCOME FUND	-11,212.23	0.00	3.30	-3.30	100.0	-3.30
04/28/2017	WASSERMAN TRUST, NINA	EUROPACIFIC GROWTH FUND CLASS F-2	-10,989.96	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-65,028.07	0.00	-0.60	0.60	100.0	0.60
04/18/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	65,028.07	487.71	3.30	484.41	100.0	484.41
04/28/2017	WASSERMAN TRUST, NINA	PIMCO INCOME FUND INSTITUTIONAL CLA	-18,908.90	0.00	3.30	-3.30	100.0	-3.30
04/28/2017	WASSERMAN TRUST, NINA	RYDEX ETF TR GUGGENHEIM S&P 500 PUR	-12,888.84	0.00	3.38	-3.38	100.0	-3.38
04/28/2017	WASSERMAN TRUST, NINA	SELECT SECTOR SPDR TR FINANCIAL	-9,456.10	0.00	3.39	-3.39	100.0	-3.39
05/02/2017	SIMPSON TRUST, GERARD & CYNTHIS	SPDR GOLD TR GOLD SHS	51,728.86	4.50	3.40	1.10	100.0	1.10
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$-11,727.17	\$492.21	\$19.47	\$472.74		\$472.74
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
05/04/2017	LAROCHE, LAURIE	GRIFFIN INST'L ACCESS R E FUND C	-24,350.36	2.75	2.75	0.00	100.0	0.00
05/04/2017	LAROCHE, LAURIE	GRIFFIN INSTITUTIONAL ACCESS REAL E	-25,318.59	2.75	2.75	0.00	100.0	0.00
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$-49,668.95	\$5.50	\$5.50	\$0.00		\$0.00
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
04/21/2017	NELSON TRUST, VICTOR	GPB AUTOMOTIVE PORTFOLIO LP	250,000.00	13,125.00	0.00	13,125.00	100.0	13,125.00
04/28/2017	DEMPAT REALTY CORP	INLAND RIVERDALE MULITFAMILY DST	323,000.00	12,112.50	0.00	12,112.50	100.0	12,112.50
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$573,000.00	\$25,237.50	\$0.00	\$25,237.50		\$25,237.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$621,611.23	\$33,933.43	\$280.13	\$33,653.30		\$33,653.30
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROY PFLEGER II #244 </u>								
04/21/2017	FRENCH TRUST, DENNIS & SHARON	INLAND DALLAS MULTIFAMILY DST	475,000.00	23,750.00	0.00	23,750.00	75.0	2,968.75
* ROY PFLEGER II SUBTOTAL *			\$475,000.00	\$23,750.00	\$0.00	\$23,750.00		\$2,968.75
<u> BROKER: JON PAUL CIRELLI #246 </u>								
04/30/2017	PICCIRILLO, ALEXANDER	JNL 468511142	238,230.19	198.53	0.00	198.53	95.0	9.93
* JON PAUL CIRELLI SUBTOTAL *			\$238,230.19	\$198.53	\$0.00	\$198.53		\$9.93
<u> BROKER: ALAN LICHTENSTEIN #249 </u>								
05/05/2017	HECKER, THOMAS	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	75.0	437.50
* ALAN LICHTENSTEIN SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$437.50
<u> BROKER: MARC LINSKY #263 </u>								
05/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
05/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
04/28/2017	CAMARDO, SHANE	AMERICAN FUNDS COLLEGE 2033 FUND-52	1,000.00	35.00	0.00	35.00	90.0	0.60
04/27/2017	BOND, CARL	ISHARES S&P 500 VALUE ETF	2,917.82	10.00	22.50	-12.50	90.0	-0.21
04/27/2017	BOND, CARL	ISHARES TR CORE S&P MID-CAP ETF	2,962.49	10.00	22.50	-12.50	90.0	-0.21
04/27/2017	BOND, CARL	ISHARES TR S&P SMALL-CAP 600 GROWTH	2,982.93	10.00	22.50	-12.50	90.0	-0.21
05/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
04/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	264,193.39	220.16	0.00	220.16	90.0	3.74
04/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	261,842.10	218.20	0.00	218.20	90.0	3.71
04/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	406,935.95	339.11	0.00	339.11	90.0	5.76
04/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	518,838.50	432.37	0.00	432.37	90.0	7.35
04/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	105,980.57	88.32	0.00	88.32	90.0	1.50
04/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,072.59	2.93	0.00	2.93	90.0	0.05
04/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	203,006.34	169.17	0.00	169.17	90.0	2.88
05/05/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	2,500.96	25.01	22.00	3.01	90.0	0.05
05/01/2017	GANIEL, LAWRENCE	METLIFE SHEILD LEVEL SELECTOR EQTY	135,137.48	8,108.25	0.00	8,108.25	90.0	137.84
04/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	144.49	0.00	144.49	90.0	2.46
05/01/2017	KRAMER, LARRY	POWERSHARES DB MULTI SECTOR COMMODI	-10,485.88	30.00	22.79	7.21	90.0	0.12
04/28/2017	EHRET, WAYNE	PUBLIC SVC ENTERPRISE GROUP INC COM	1,766.40	10.00	22.50	-12.50	90.0	-0.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
04/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	108,704.05	90.59	0.00	90.59	90.0	1.54
05/08/2017	PERETTI, FRANCES	VANGUARD NJ LONG-TERM TAX-EXEMPT FU	2,800.00	0.00	22.00	-22.00	90.0	-0.37
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.40	0.00	8.40	90.0	0.14
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.30	0.00	0.30	90.0	0.01
04/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
04/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.34	0.00	6.34	90.0	0.11
04/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$2,027,330.69	\$10,017.14	\$156.79	\$9,860.35		\$167.65
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,790,560.88	\$37,465.67	\$156.79	\$37,308.88		\$3,583.83

ADJUSTMENTS

05/15/2017	*EO INS: CURRENT MONTH INS	0.00	-45.00
05/15/2017	*EO INS: CURRENT MONTH-INS	0.00	-75.00
05/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-45.00
05/15/2017	*EO INS: N ELIAS	0.00	-45.00
05/15/2017	*FEDERAL EXPRESS: 5-783-49583 4/26	0.00	-30.16
05/15/2017	*FEDERAL EXPRESS: 5-789-87350 5/3	0.00	-20.50
05/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
05/15/2017	*MISC: BONUS & CATHERINE	0.00	2,681.62
05/15/2017	*MISC: CATHERINE	0.00	500.00
05/15/2017	*MISC: OVERNIGHT FEE	0.00	-13.34
05/15/2017	*MISC: ROY AFLAC 1031DST	0.00	1,126.00
05/15/2017	*MISC: ROY, AFLAC, 1031DST	0.00	1,326.00
05/15/2017	*OVERRIDE: BRANCH-CIRELLI	0.00	168.56
05/15/2017	*OVERRIDE: BRANCH-KIESNOSKI	0.00	101.71
05/15/2017	*OVERRIDE: LINSKY	0.00	167.45
05/15/2017	*OVERRIDE: SPLITS-CIRELLI	0.00	810.10
05/15/2017	*OVERRIDE: SPLITS-KIESNOSKI	0.00	591.85
05/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-1.50
05/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-0.68

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.38
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-1.55
05/15/2017		*PERSHING: MARK UPS	0.00					300.00
05/15/2017		*PERSHING: MARK UPS	0.00					20.40
05/15/2017		*PERSHING: MARK UPS	0.00					31.50
05/15/2017		*PERSHING: MARK UPS	0.00					7.50
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-3.27
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.41
05/15/2017		*PERSHING: STREAMING QUOTES	0.00					-68.00
05/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
05/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
05/15/2017		*TECH: CURRENT MONTH-TECH	0.00					-68.75
05/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$7,244.62

BROKER COMMISSION TOTALS

Broker Transactions	\$599.92
Split Transactions	\$33,653.30
Override Transactions	\$3,583.83
Adjustments	\$7,244.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$45,081.67
Year-to-date Gross	\$493,760.29
Year-to-date Earnings	\$504,681.42

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed