



Concorde Investment Services, LLC

06/13/2017

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# COMMISSION STATEMENT

June 15, 2017

DAMIAN DERING

165 Culver Blvd

Suite A

Playa Del Rey, CA 90293

BROKER #: D127

BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
06/07/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	20.53	0.00	20.53	92.0	18.89
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$20.53	\$0.00	\$20.53		\$18.89
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
05/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.20	0.00	10.20	92.0	9.38
06/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.12	0.00	10.12	92.0	9.31
06/01/2017	BERNARD, AVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.09	0.00	1.09	92.0	1.00
05/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
05/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/01/2017	BERNARD, AVA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.64	0.00	3.64	92.0	3.35
06/01/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	33.25	0.00	33.25	92.0	30.59
06/01/2017	BERNARD, AVA	AM FUNDS NEW WORLD 529A	0.00	2.90	0.00	2.90	92.0	2.67
06/01/2017	BERNARD, JASON	AM FUNDS SMALLCAP WORLD A	0.00	1.94	0.00	1.94	92.0	1.78
06/01/2017	BERNARD, AVA	AM FUNDS SMALLCAP WORLD-529A	0.00	1.98	0.00	1.98	92.0	1.82
05/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
05/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
06/01/2017	BERNARD, AVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.23	0.00	3.23	92.0	2.97
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.07	0.00	2.07	92.0	1.90
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.84	0.00	0.84	92.0	0.77
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.79	0.00	0.79	92.0	0.73
* MUTUAL FUNDS SUBTOTAL *			\$1,000.00	\$82.05	\$0.00	\$82.05		\$75.47
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/30/2017	FARFAN, FRANK	AM GEN SEASONS ELITE	84,766.93	211.92	0.00	211.92	92.0	194.97
05/31/2017	LAXER, JACK	JNL PERSPECTIVE L	54,854.06	45.71	0.00	45.71	92.0	42.05

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	60,772.41	50.64	0.00	50.64	92.0	46.59
05/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	70,972.45	59.14	0.00	59.14	92.0	54.41
05/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	69,784.32	58.15	0.00	58.15	92.0	53.50
05/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	189,797.61	158.16	0.00	158.16	92.0	145.51
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$530,947.78</b>	<b>\$583.72</b>	<b>\$0.00</b>	<b>\$583.72</b>		<b>\$537.03</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.57	0.00	4.57	92.0	4.20
05/18/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.93	0.00	1.93	92.0	1.78
05/22/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.65	0.00	3.65	92.0	3.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$10.15</b>	<b>\$0.00</b>	<b>\$10.15</b>		<b>\$9.34</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$531,947.78</b>	<b>\$696.45</b>	<b>\$0.00</b>	<b>\$696.45</b>		<b>\$640.73</b>
<b><u>ADJUSTMENTS</u></b>								
06/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					675.00
06/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*REIMBURSEMENT: NON CASH CT# 578576	0.00					350.68
06/15/2017		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$350.68</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$640.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$350.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$991.41
Year-to-date Gross	\$67,456.16
Year-to-date Earnings	\$61,727.93