

**COMMISSION STATEMENT****November 15, 2017**FRED CHASALOW
2523 Hastings Drive

BROKER #: C121 Terminated 12/31/2015

BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
10/13/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.45	0.00	2.45	72.0	1.76
10/13/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.36	0.00	0.36	72.0	0.26
10/13/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	1.44	0.00	1.44	72.0	1.04
10/13/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.54	0.00	4.54	72.0	3.27
10/13/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.25	0.00	2.25	72.0	1.62
10/13/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.25	0.00	2.25	72.0	1.62
10/13/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.28	0.00	1.28	72.0	0.92
10/13/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.81	0.00	1.81	72.0	1.30
10/13/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.61	0.00	0.61	72.0	0.44
10/13/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.91	0.00	0.91	72.0	0.66
10/24/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.39	0.00	0.39	72.0	0.28
10/13/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.01	0.00	1.01	72.0	0.73
10/13/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	3.33	0.00	3.33	72.0	2.40
10/24/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.67	0.00	0.67	72.0	0.48
10/19/2017	COHEN, JOEL	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.47	0.00	3.47	72.0	2.50
10/19/2017	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	1.79	0.00	1.79	72.0	1.29
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$28.56	\$0.00	\$28.56		\$20.57
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$28.56	\$0.00	\$28.56		\$20.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$20.57
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$20.57
Year-to-date Gross	\$7,608.48
Year-to-date Earnings	\$20.57