



COMMISSION STATEMENT

March 19, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	***-**-94IR - HALL-SPLENDORIO,	PIONEER FUND A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @31.1600						
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$1.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/02/2018	***-**-1654 - ROSTAD, BARABARA	INVESTMENT EDGE OPTION 1	216,630.03	12,997.80	0.00	12,997.80	70.0	9,098.46
		BUY 1 Units @220000.000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$216,630.03	\$12,997.80	\$0.00	\$12,997.80		\$9,098.46
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/19/2018	***-**-1184 - SPORRER, SHIRLEY	AMCAP FUND-A	0.00	1.95	0.00	1.95	70.0	1.37
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
03/19/2018	***-**-0400 - SPORRER, JERALD	AMCAP FUND-A	0.00	3.54	0.00	3.54	70.0	2.48
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
03/19/2018	***-**-00IR - SPORRER, JERALD	AMCAP FUND-A	0.00	3.77	0.00	3.77	70.0	2.64
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
03/19/2018	***-**-0437 - ALLEN, WAYNE	AMCAP FUND-A	0.00	2.25	0.00	2.25	70.0	1.58
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
03/19/2018	***-**-0376 - ALLEN, MISTY	AMCAP FUND-A	0.00	4.14	0.00	4.14	70.0	2.90
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
03/19/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN BALANCED FUND-A	0.00	10.72	0.00	10.72	70.0	7.50
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
03/19/2018	***-**-579I - FREDERICK,	AMERICAN BALANCED FUND-A	0.00	18.44	0.00	18.44	70.0	12.91
	ACCOUNT #: LSR011570	BUY 1 Units @0.0000						
03/19/2018	***-**-0737 - SCHROEDER, JACK	AMERICAN BALANCED FUND-A	0.00	11.91	0.00	11.91	70.0	8.34
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
03/19/2018	***-**-654I - ROSTAD, BARBARA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	11.43	0.00	11.43	70.0	8.00
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
03/19/2018	***-**-755I - ROSTAD, HOWARD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	4.32	0.00	4.32	70.0	3.02
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
03/19/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	10.25	0.00	10.25	70.0	7.18
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						



COMMISSION STATEMENT

March 19, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	9.47	0.00	9.47	70.0	6.63
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
03/19/2018	***-**-5594 - BISHOP, SHANNON	EUROPACIFIC GROWTH FUND-A	0.00	0.96	0.00	0.96	70.0	0.67
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
03/19/2018	***-**-654I - ROSTAD, BARBARA	GLOBAL GROWTH PORTFOLIO-A	0.00	3.73	0.00	3.73	70.0	2.61
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
03/19/2018	***-**-755I - ROSTAD, HOWARD	GLOBAL GROWTH PORTFOLIO-A	0.00	2.26	0.00	2.26	70.0	1.58
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
03/19/2018	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.36	0.00	5.36	70.0	3.75
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
03/19/2018	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	6.99	0.00	6.99	70.0	4.89
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
03/19/2018	***-**-568I - MARSHALL, BRUCE	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
03/19/2018	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.55	0.00	3.55	70.0	2.49
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
03/19/2018	***-**-1184 - SPORRER, SHIRLEY	JOHN HANCOCK GL ABSO RETURN STRATS	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
03/19/2018	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.60	0.00	2.60	70.0	1.82
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
03/19/2018	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	1.75	0.00	1.75	70.0	1.23
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
03/14/2018	***-**-579I - FREDERICK,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.13	0.00	4.13	70.0	2.89
	ACCOUNT #: 00001676185	BUY 0 Units @0.0000						
03/14/2018	***-**-492I - SELL, MICHAEL	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.84	0.00	1.84	70.0	1.29
	ACCOUNT #: 00001722478	BUY 0 Units @0.0000						
03/14/2018	***-**-63IN - SYPHERS, ZACKARY	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.04	0.00	2.04	70.0	1.43
	ACCOUNT #: 00001827112	BUY 0 Units @0.0000						
03/14/2018	***-**-280I - CURD, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00001860711	BUY 0 Units @0.0000						
03/14/2018	***-**-837I - CURTIN, PAUL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.22	0.00	2.22	70.0	1.55
	ACCOUNT #: 00002116172	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 19, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/14/2018	***-**-180I - GOODMILLER, ACCOUNT #: 00002330736	LIFESTYLE BALANCED PORTFOLIO A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	70.0	3.95
03/19/2018	***-**-32IO - VASQUEZ, CHARLES ACCOUNT #: LSR006530	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	70.0	0.18
03/19/2018	***-**-24IO - DEWITT, TRACY ACCOUNT #: LSR008966	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	21.64	0.00	21.64	70.0	15.15
03/19/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	5.64	0.00	5.64	70.0	3.95
03/19/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.63	0.00	3.63	70.0	2.54
03/19/2018	***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	70.0	0.59
03/19/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	70.0	2.07
03/19/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	25.10	0.00	25.10	70.0	17.57
03/19/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	25.96	0.00	25.96	70.0	18.17
03/19/2018	***-**-9483 - WYCKOFF, ACCOUNT #: LSR106887	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	70.0	1.65
03/19/2018	***-**-29IO - NORFLEET, ACCOUNT #: LSR010116	LIFESTYLE CONSERVATIVE PORTFOLIO A BUY 1 Units @0.0000	0.00	9.77	0.00	9.77	70.0	6.84
03/19/2018	***-**-76IO - SMOAKE, DAVID ACCOUNT #: LSR011651	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	10.95	0.00	10.95	70.0	7.67
03/19/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	70.0	0.58
03/19/2018	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	70.0	0.83
03/19/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	6.84	0.00	6.84	70.0	4.79
03/14/2018	***-**-36IN - PISARIK, MICHAEL ACCOUNT #: 00001840495	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	4.58	0.00	4.58	70.0	3.21



COMMISSION STATEMENT

March 19, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/14/2018	***-**-9040 - WATSON, MARY	LIFESTYLE MODERATE PORTFOLIO A	0.00	8.70	0.00	8.70	70.0	6.09
	ACCOUNT #: 00002400791	BUY 0 Units @0.0000						
03/14/2018	***-**-4036 - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	1.97	0.00	1.97	70.0	1.38
	ACCOUNT #: 00002493848	BUY 0 Units @0.0000						
03/19/2018	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	8.99	0.00	8.99	70.0	6.29
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
03/19/2018	***-**-514I - BERGIN, JOSEPH	LIFESTYLE MODERATE PORTFOLIO A	0.00	6.08	0.00	6.08	70.0	4.26
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
03/19/2018	***-**-099X - JAMES L SMITH,	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR011494	BUY 1 Units @0.0000						
03/19/2018	***-**-087X - MACHRINA E	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR011495	BUY 1 Units @0.0000						
03/19/2018	***-**-703I - LEGROS, DAVID	LIFESTYLE MODERATE PORTFOLIO A	0.00	1.47	0.00	1.47	70.0	1.03
	ACCOUNT #: LSR011660	BUY 1 Units @0.0000						
03/19/2018	***-**-0737 - SCHROEDER, JACK	LIFESTYLE MODERATE PORTFOLIO A	0.00	4.88	0.00	4.88	70.0	3.42
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
03/19/2018	***-**-568I - MARSHALL, BRUCE	LIFESTYLE MODERATE PORTFOLIO A	0.00	41.52	0.00	41.52	70.0	29.06
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
03/19/2018	***-**-5712 - RAMSEY, GUSSIE	LIFESTYLE MODERATE PORTFOLIO A	0.00	22.57	0.00	22.57	70.0	15.80
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
03/19/2018	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	0.00	3.74	0.00	3.74	70.0	2.62
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
03/19/2018	***-**-0437 - ALLEN, WAYNE	NEW PERSPECTIVE FUND-A	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
03/19/2018	***-**-0376 - ALLEN, MISTY	NEW PERSPECTIVE FUND-A	0.00	4.19	0.00	4.19	70.0	2.93
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
03/19/2018	***-**-654I - ROSTAD, BARBARA	PRESERVATION PORTFOLIO-A	0.00	3.92	0.00	3.92	70.0	2.74
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
03/19/2018	***-**-755I - ROSTAD, HOWARD	PRESERVATION PORTFOLIO-A	0.00	1.56	0.00	1.56	70.0	1.09
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
03/19/2018	***-**-0437 - ALLEN, WAYNE	THE GROWTH FUND OF AMERICA-A	0.00	2.37	0.00	2.37	70.0	1.66
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						



COMMISSION STATEMENT

March 19, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-0376 - ALLEN, MISTY	THE GROWTH FUND OF AMERICA-A	0.00	4.36	0.00	4.36	70.0	3.05
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
03/19/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	3.85	0.00	3.85	70.0	2.70
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
03/19/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	0.98	0.00	0.98	70.0	0.69
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
03/19/2018	***-**-00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.01	0.00	7.01	70.0	4.91
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
03/19/2018	***-**-0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.68	0.00	1.68	70.0	1.18
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
03/19/2018	***-**-7347 - WYCKOFF, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
03/19/2018	***-**-0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.10	0.00	3.10	70.0	2.17
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
03/19/2018	***-**-184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
03/19/2018	***-**-0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.31	0.00	2.31	70.0	1.62
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
03/19/2018	***-**-00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.68	0.00	1.68	70.0	1.18
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
03/19/2018	***-**-0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.01	0.00	2.01	70.0	1.41
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
03/19/2018	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.70	0.00	3.70	70.0	2.59
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
03/19/2018	***-**-7347 - WYCKOFF, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$408.79	\$0.00	\$408.79		\$286.22
*** BROKER TRANSACTIONS TOTAL ***			\$216,680.03	\$13,409.09	\$0.00	\$13,409.09		\$9,386.43



BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$9,386.43
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00