



Concorde Investment Services, LLC

09/28/2017

Page No. 1

## COMMISSION STATEMENT

September 30, 2017

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	8.48	0.00	8.48	90.0	7.63
09/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	0.00	16.84	0.00	16.84	90.0	15.16
09/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	10.91	0.00	10.91	90.0	9.82
09/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	7.52	0.00	7.52	90.0	6.77
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$43.75	\$0.00	\$43.75		\$39.38
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$43.75	\$0.00	\$43.75		\$39.38

### SPLIT TRANSACTIONS

**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

09/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	4.05	0.00	4.05	90.0	3.65
09/01/2017	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.75	0.00	2.75	90.0	2.48
09/01/2017	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.86	0.00	0.86	90.0	0.77
09/01/2017	KLINE, STEWART	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	5.03	0.00	5.03	90.0	4.53
09/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	3.25	0.00	3.25	90.0	2.93
09/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	2.65	0.00	2.65	90.0	2.39
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$0.00	\$18.59	\$0.00	\$18.59		\$16.75

**BROKER: JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- #333**

09/15/2017	HATLESTAD, PATRICK	PRINCIPAL LIFE	0.00	49.24	0.00	49.24	90.0	44.32
* JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$49.24	\$0.00	\$49.24		\$44.32

**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335**

09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.10	0.00	0.10	90.0	0.09
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.45	0.00	0.45	90.0	0.41
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.39	0.00	0.39	90.0	0.35
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.21	0.00	0.21	90.0	0.19
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.20	0.00	0.20	90.0	0.18
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.11	0.00	0.11	90.0	0.10
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.67	0.00	0.67	90.0	0.60
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.55	0.00	0.55	90.0	0.50
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.07	0.00	0.07	90.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.32	0.00	0.32	90.0	0.29
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.24	0.00	0.24	90.0	0.22
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.22	0.00	0.22	90.0	0.20
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.22	0.00	0.22	90.0	0.20
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.12	0.00	0.12	90.0	0.11
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.63	0.00	0.63	90.0	0.57
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.55	0.00	0.55	90.0	0.50
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
09/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.31	0.00	0.31	90.0	0.28
09/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	0.85	0.00	0.85	90.0	0.77
09/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	RASMUSSEN, CLINTON	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.09	0.00	0.09	90.0	0.08
09/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	0.85	0.00	0.85	90.0	0.77
09/08/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	17.76	0.00	17.76	90.0	15.98
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$500.00</b>	<b>\$33.72</b>	<b>\$0.00</b>	<b>\$33.72</b>		<b>\$30.33</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$500.00</b>	<b>\$101.55</b>	<b>\$0.00</b>	<b>\$101.55</b>		<b>\$91.40</b>

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ADJUSTMENTS

09/30/2017		*FINRA: MT INDV. REG. FEE	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$39.38
Split Transactions	\$91.40
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$80.78
Year-to-date Gross	\$43,574.11
Year-to-date Earnings	\$39,158.36

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