



COMMISSION STATEMENT

January 08, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/03/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CATERPILLAR INC BUY 35 Units @155.8020	5,453.07	54.00	15.70	38.30	75.0	28.73
01/03/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	FREEMPORT MCMORAN COPPER & GOLD INC. BUY 250 Units @19.2500	4,812.50	87.26	20.00	67.26	75.0	50.45
* STOCKS SUBTOTAL *			\$10,265.57	\$141.26	\$35.70	\$105.56		\$79.18
<u>PRODUCT CATEGORY: OPTIONS</u>								
01/03/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (AAPL) APPLE INC JAN 18 19 \$20 BUY 6 Units @805.0000	4,830.00	87.39	24.00	63.39	75.0	47.54
* OPTIONS SUBTOTAL *			\$4,830.00	\$87.39	\$24.00	\$63.39		\$47.54
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-1595 - ASMUS, BETTY ACCOUNT #: 1012151817	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	35.49	0.00	35.49	75.0	26.62
12/31/2017	***-**-8383 - WHISLER, PAUL ACCOUNT #: 1017712752	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	9.85	0.00	9.85	75.0	7.39
12/31/2017	***-**-8383 - WHISLER, PAUL ACCOUNT #: 1017712876	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	9.06	0.00	9.06	75.0	6.80
12/31/2017	***-**-5707 - WHISLER, KATHRYN ACCOUNT #: 1017722928	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	75.0	0.62
12/31/2017	***-**-5707 - WHISLER, KATHRYN ACCOUNT #: 1017723568	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	6.54	0.00	6.54	75.0	4.91
12/31/2017	***-**-5948 - OCHSNER, DOUGLAS ACCOUNT #: 1008693095	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	36.26	0.00	36.26	75.0	27.20
12/31/2017	***-**-1994 - LEMKE, LYDIA ACCOUNT #: 1010807561	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	43.55	0.00	43.55	75.0	32.66
12/31/2017	***-**-4660 - DEFFENBACHER, ACCOUNT #: 1011515386	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	581.14	0.00	581.14	75.0	435.86
12/31/2017	***-**-5252 - SMYTHE, MELANIE ACCOUNT #: 1012350526	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	22.79	0.00	22.79	75.0	17.09
12/31/2017	***-**-4660 - DEFFENBACHER, ACCOUNT #: 1012905625	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	173.89	0.00	173.89	75.0	130.42



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
12/31/2017	***-**-2522 - BRINKLEY, ROGER	JACKSON NATIONAL PERSPECTIVE II	0.00	323.51	0.00	323.51	75.0	242.63
	ACCOUNT #: 1014657890	BUY 0 Units @0.0000						
12/31/2017	***-**-9610 - MOORE, MARVIN	JACKSON NATIONAL PERSPECTIVE II	0.00	135.19	0.00	135.19	75.0	101.39
	ACCOUNT #: 1014819610	BUY 0 Units @0.0000						
12/31/2017	***-**-4314 - SMITH, GREGORY	JACKSON NATIONAL PERSPECTIVE II	0.00	46.18	0.00	46.18	75.0	34.64
	ACCOUNT #: 1015209794	BUY 0 Units @0.0000						
12/31/2017	***-**-0401 - PINEDA, MARY	LINCOLN FIN VA 53404B676	0.00	148.56	0.00	148.56	75.0	111.42
	ACCOUNT #: 922266590	BUY 0 Units @0.0000						
12/31/2017	***-**-2440 - BARNETT, ELAINE	PERSPECTIVE II DTCC	0.00	47.84	0.00	47.84	75.0	35.88
	ACCOUNT #: 1015587705	BUY 0 Units @0.0000						
12/31/2017	***-**-8383 - WHISLER, PAUL	PERSPECTIVE II DTCC	0.00	37.19	0.00	37.19	75.0	27.89
	ACCOUNT #: 1017712493	BUY 0 Units @0.0000						
12/31/2017	***-**-8557 - PANUSKA,	PERSPECTIVE II DTCC	0.00	98.57	0.00	98.57	75.0	73.93
	ACCOUNT #: 1017968838	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,756.43	\$0.00	\$1,756.43		\$1,317.35
*** BROKER TRANSACTIONS TOTAL ***			\$15,095.57	\$1,985.08	\$59.70	\$1,925.38		\$1,444.07



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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BROKER COMMISSION TOTALS								
					Broker Transactions			\$1,444.07
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$1,444.07
					Year-to-date Earnings			\$1,550.49