



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT

July 15, 2017

BRENT LEE
13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	KUHN, KENNETH	JNL PERSPECTIVE L	246,717.27	205.60	0.00	205.60	90.0	185.04
06/30/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	133,022.31	110.85	0.00	110.85	90.0	99.77
06/30/2017	FREIH, DIANE	JNL PERSPECTIVE L	106,676.12	88.90	0.00	88.90	90.0	80.01
06/30/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	212,864.93	177.39	0.00	177.39	90.0	159.65
06/30/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	36,704.89	30.59	0.00	30.59	90.0	27.53
06/30/2017	GRANT, GREGORY	JNL PERSPECTIVE L	91,954.72	76.63	0.00	76.63	90.0	68.97
06/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	58,569.92	48.81	0.00	48.81	90.0	43.93
06/30/2017	LIESENER, MARTY	JNL PERSPECTIVE L	42,267.89	35.22	0.00	35.22	90.0	31.70
06/30/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	60,606.33	50.51	0.00	50.51	90.0	45.46
06/30/2017	MONETTE, RONALD	JNL PERSPECTIVE L	199,169.26	165.97	0.00	165.97	90.0	149.37
06/30/2017	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	34,429.95	21.52	0.00	21.52	90.0	19.37
06/30/2017	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	121,788.30	76.12	0.00	76.12	90.0	68.51
* VARIABLE ANNUITIES SUBTOTAL *			\$1,344,771.89	\$1,088.11	\$0.00	\$1,088.11		\$979.31
*** BROKER TRANSACTIONS TOTAL ***			\$1,344,771.89	\$1,088.11	\$0.00	\$1,088.11		\$979.31

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

07/03/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
07/03/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
07/03/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$565.00	\$9.89	\$0.00	\$9.89		\$8.91

BROKER: JBB JEN-BRENT-BRION TRU-DONALD-LEE- #333

06/20/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
06/27/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
06/16/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
06/19/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
06/19/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
06/19/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.55	0.00	0.55	90.0	0.50
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$0.98	\$0.00	\$0.98		\$0.89
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
07/03/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	2.37	0.00	2.37	90.0	2.13
07/03/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	4.07	0.00	4.07	90.0	3.66
07/03/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	2.69	0.00	2.69	90.0	2.42
07/03/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.09	0.00	2.09	90.0	1.88
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$11.22	\$0.00	\$11.22		\$10.09
*** SPLIT TRANSACTIONS TOTAL ***			\$1,585.00	\$22.09	\$0.00	\$22.09		\$19.89

ADJUSTMENTS

07/15/2017	*AUDIT FEE: BRANCH EXAM	0.00						-213.85
07/15/2017	*CONCIERGE SVC: 193607, 193621 NEWB	0.00						-11.00
07/15/2017	*CONCIERGE SVC: 193658 AGUIRRE	0.00						-8.50
07/15/2017	*CONCIERGE SVC: 194317, 344 SIKOLE	0.00						-50.00
07/15/2017	*CONCIERGE SVC: 194429, 454, 496 RU	0.00						-60.00
07/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
07/15/2017	*MISC: OVERNIGHT CK TO CLIENT	0.00						-7.00
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-0.75
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-0.26
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-1.80
07/15/2017	*PERSHING: PROSPECTUS	0.00						-4.62
07/15/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-932.78

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$979.31
Split Transactions	\$19.89
Override Transactions	\$0.00
Adjustments	\$-932.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-24.87
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$41.55
Year-to-date Gross	\$40,997.14
Year-to-date Earnings	\$36,839.02