



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
 Page No. 1

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	MITCHELL, DAVID	AM FUNDS AMCAP C	0.00	15.67	80.0	12.54	0.00	12.54
02/27/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
03/01/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	0.00	0.78	80.0	0.62	0.00	0.62
03/01/2017	MITCHELL, DAVID	AM FUNDS AMERICAN BALANCED C	0.00	20.04	80.0	16.03	0.00	16.03
03/01/2017	EVERETT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.85	80.0	3.08	0.00	3.08
03/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.53	80.0	2.02	0.00	2.02
03/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.52	80.0	3.62	0.00	3.62
03/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.43	80.0	1.94	0.00	1.94
03/01/2017	BENNETT, BROOKLYNN	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.96	80.0	0.77	0.00	0.77
03/01/2017	LAWTON, KATHY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.97	80.0	3.18	0.00	3.18
03/01/2017	BENNETT, BRIAH	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.80	80.0	3.04	0.00	3.04
03/01/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	3,000.00	150.00	80.0	120.00	0.00	120.00
03/01/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.74	80.0	1.39	0.00	1.39
03/01/2017	MITCHELL, DAVID	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	16.51	80.0	13.21	0.00	13.21
03/01/2017	FOX, JERALDINE	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	3.72	80.0	2.98	0.00	2.98
03/01/2017	NEWBERN, KAYLI	AM FUNDS GROWTH FUND OF AMERICA	0.00	39.93	80.0	31.94	0.00	31.94
03/01/2017	LAWTON, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.93	80.0	3.14	0.00	3.14
03/01/2017	NEWBERN, KAYLI	AM FUNDS ICA 529A	0.00	2.64	80.0	2.11	0.00	2.11
03/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
03/01/2017	BENNETT, MARLA	AM FUNDS NEW ECONOMY A	0.00	7.20	80.0	5.76	0.00	5.76
03/01/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	0.00	10.05	80.0	8.04	0.00	8.04
03/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW ECONOMY A	0.00	0.62	80.0	0.50	0.00	0.50
03/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	0.00	2.79	80.0	2.23	0.00	2.23
03/01/2017	LAWTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.29	80.0	0.23	0.00	0.23
03/01/2017	SIMS, SAMANTHA	AM FUNDS NEW ECONOMY A	0.00	5.06	80.0	4.05	0.00	4.05
03/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	80.0	10.00	0.00	10.00

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	BENNETT, BRIAH	AM FUNDS NEW ECONOMY C	0.00	2.45	80.0	1.96	0.00	1.96
03/01/2017	BENNETT, MARLA	AM FUNDS NEW PERSPECTIVE A	0.00	12.40	80.0	9.92	0.00	9.92
03/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW PERSPECTIVE A	0.00	0.92	80.0	0.74	0.00	0.74
03/01/2017	LAWTON, RONALD	AM FUNDS NEW PERSPECTIVE A	0.00	6.61	80.0	5.29	0.00	5.29
02/21/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
03/01/2017	BENNETT, BRIAH	AM FUNDS NEW PERSPECTIVE C	0.00	3.66	80.0	2.93	0.00	2.93
03/01/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	0.00	0.61	80.0	0.49	0.00	0.49
03/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW WORLD A	0.00	0.62	80.0	0.50	0.00	0.50
03/01/2017	MITCHELL, DAVID	AM FUNDS NEW WORLD C	0.00	11.12	80.0	8.90	0.00	8.90
03/01/2017	BENNETT, BRIAH	AM FUNDS NEW WORLD C	0.00	2.49	80.0	1.99	0.00	1.99
03/01/2017	BENNETT, MARLA	AM FUNDS SMALLCAP WORLD A	0.00	10.37	80.0	8.30	0.00	8.30
03/01/2017	LAWTON, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	1.38	80.0	1.10	0.00	1.10
03/01/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	0.00	1.52	80.0	1.22	0.00	1.22
03/01/2017	FOX, JERALDINE	AM FUNDS WASHINGTON MUTUAL	0.00	27.57	80.0	22.06	0.00	22.06
03/01/2017	FOX, JERALDINE	AM FUNDS WASHINGTON MUTUAL	0.00	0.45	80.0	0.36	0.00	0.36
/ /	MICHENER, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.48	80.0	0.38	0.00	0.38
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
02/15/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
02/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
02/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
02/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
02/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
02/27/2017	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
02/21/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	146.98	1.47	80.0	1.18	0.00	1.18
02/16/2017	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-B	100.00	4.00	80.0	3.20	0.00	3.20

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/21/2017	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
02/21/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/27/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/27/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/27/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/27/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/27/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/27/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/16/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
/ /	MICHENER, DAVID	RYDEX BIOTECHNOLOGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX BIOTECHNOLOGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, TOMMY	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX BIOTECHNOLOGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	VOCI, ROBERTO	RYDEX BIOTECHNOLOGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	WILLIAMS, JACKIE	RYDEX BIOTECHNOLOGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON, RICHARD	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	0.40	80.0	0.32	0.00	0.32
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	0.79	80.0	0.63	0.00	0.63
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	0.53	80.0	0.42	0.00	0.42
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	0.84	80.0	0.67	0.00	0.67

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX DOW 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX DOW 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	MICHENER, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	WHITETREE, THOMAS	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, ELIZABETH	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, DUSTIN	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUNTER, TIMOTHY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, TOMMY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	DOWNING, JOHN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ACOSTA, JF	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BENSON, KARLA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	WILLIAMS, JACKIE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	POTTER, G	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, RK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	RICK, JOSEPH	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ARRINGTON, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MICHENER, DAVID	RYDEX GUGG BANKING A	0.00	0.41	80.0	0.33	0.00	0.33
/ /	WHITETREE, THOMAS	RYDEX GUGG BANKING A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG BANKING A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG BANKING A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX GUGG BANKING A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG BANKING A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG BANKING A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG BANKING A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG BANKING A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG BANKING A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ROLLINS, LISA	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG BANKING C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	TREADWAY, TOMMY	RYDEX GUGG BANKING C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	DOWNING, JOHN	RYDEX GUGG BANKING C	0.00	0.65	80.0	0.52	0.00	0.52
/ /	ACOSTA, JF	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG BANKING C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG BANKING C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX GUGG BANKING C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG BANKING C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	VOCI, ROBERTO	RYDEX GUGG BANKING C	0.00	0.45	80.0	0.36	0.00	0.36
/ /	WILLIAMS, JACKIE	RYDEX GUGG BANKING C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	WRIGHT, MARK	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	RICK, JOSEPH	RYDEX GUGG BANKING C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG BANKING C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	ARRINGTON, NANCY	RYDEX GUGG BANKING C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG BANKING C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG BANKING C	0.00	0.11	80.0	0.09	0.00	0.09

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MICHENER, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	2.42	80.0	1.94	0.00	1.94
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.27	80.0	0.22	0.00	0.22
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	1.01	80.0	0.81	0.00	0.81
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.21	80.0	0.17	0.00	0.17
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.28	80.0	0.22	0.00	0.22
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.39	80.0	0.31	0.00	0.31
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.13	80.0	0.90	0.00	0.90
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.99	80.0	1.59	0.00	1.59
/ /	MORIELS, FELICIA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	3.93	80.0	3.14	0.00	3.14
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.74	80.0	0.59	0.00	0.59
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	POORE, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.99	80.0	0.79	0.00	0.79
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.20	80.0	0.96	0.00	0.96
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	2.67	80.0	2.14	0.00	2.14
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	3.39	80.0	2.71	0.00	2.71
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.36	80.0	0.29	0.00	0.29
/ /	RICK, JOSEPH	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BACON, RICHARD	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.04	80.0	0.83	0.00	0.83
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.69	80.0	0.55	0.00	0.55
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.20	80.0	0.16	0.00	0.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.99	80.0	0.79	0.00	0.79
/ /	TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.98	80.0	1.58	0.00	1.58
/ /	MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	4.01	80.0	3.21	0.00	3.21
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.35	80.0	0.28	0.00	0.28
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.73	80.0	0.58	0.00	0.58
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.28	80.0	0.22	0.00	0.22
/ /	DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.31	80.0	0.25	0.00	0.25
/ /	POORE, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.79	80.0	0.63	0.00	0.63
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.24	80.0	0.99	0.00	0.99
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.67	80.0	2.14	0.00	2.14
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.93	80.0	3.14	0.00	3.14
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.37	80.0	0.30	0.00	0.30
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.36	80.0	0.29	0.00	0.29
/ /	RICK, JOSEPH	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.07	80.0	0.86	0.00	0.86
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.22	80.0	0.18	0.00	0.18
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.70	80.0	0.56	0.00	0.56
/ /	MICHENER, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.50	80.0	0.40	0.00	0.40
/ /	WHITETREE, THOMAS	RYDEX GUGG PRECIOUS METALS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG PRECIOUS METALS A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG PRECIOUS METALS A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	LIVELY, ELIZABETH	RYDEX GUGG PRECIOUS METALS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG PRECIOUS METALS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG PRECIOUS METALS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG PRECIOUS METALS A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GUGG PRECIOUS METALS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG PRECIOUS METALS A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG PRECIOUS METALS C	0.00	0.23	80.0	0.18	0.00	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	TREADWAY, TOMMY	RYDEX GUGG PRECIOUS METALS C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	DOWNING, JOHN	RYDEX GUGG PRECIOUS METALS C	0.00	0.80	80.0	0.64	0.00	0.64
/ /	ACOSTA, JF	RYDEX GUGG PRECIOUS METALS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG PRECIOUS METALS C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BENSON, KARLA	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	MCFERRON, LINDA	RYDEX GUGG PRECIOUS METALS C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	VOCI, ROBERTO	RYDEX GUGG PRECIOUS METALS C	0.00	0.55	80.0	0.44	0.00	0.44
/ /	WILLIAMS, JACKIE	RYDEX GUGG PRECIOUS METALS C	0.00	0.86	80.0	0.69	0.00	0.69
/ /	WRIGHT, MARK	RYDEX GUGG PRECIOUS METALS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG PRECIOUS METALS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX GUGG PRECIOUS METALS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG PRECIOUS METALS C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	ARRINGTON, NANCY	RYDEX GUGG PRECIOUS METALS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG PRECIOUS METALS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	MICHENER, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	2.21	80.0	1.77	0.00	1.77
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.28	80.0	0.22	0.00	0.22
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	1.08	80.0	0.86	0.00	0.86
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.27	80.0	0.22	0.00	0.22
/ /	DOBELBOWER, JORGE LARRY	RYDEX GUGG VELOCITY 100 A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.39	80.0	0.31	0.00	0.31
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	MICHENER, DAVID	RYDEX HEALTH CARE-A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUFFMAN, KRISTOPHER	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	LIVELY, ELIZABETH	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, DUSTIN	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, TOMMY	RYDEX HEALTH CARE-C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DOWNING, JOHN	RYDEX HEALTH CARE-C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ACOSTA, JF	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MELTON, JOY	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BENSON, KARLA	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DESHIELDS, JAMES	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	VOCI, ROBERTO	RYDEX HEALTH CARE-C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	WILLIAMS, JACKIE	RYDEX HEALTH CARE-C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, G	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, RK	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
* MUTUAL FUNDS SUBTOTAL *			\$5,423.98	\$506.57		\$405.40	\$0.00	\$405.40
<u>PRODUCT CATEGORY: STOCKS</u>								
03/09/2017	STANFORD, DAVID	DIREXION SHS ETF TR DAILY FINL	-14,185.05	212.78	80.0	170.22	22.50	147.72
03/09/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DAILY FINL	-14,184.30	212.76	80.0	170.21	22.50	147.71
03/09/2017	TREADWAY, TOMMY	DIREXION SHS ETF TR DAILY FINL	-8,983.87	134.76	80.0	107.81	22.50	85.31
03/08/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	-13,203.00	49.00	80.0	39.20	22.50	16.70
03/09/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	-10,389.00	49.00	80.0	39.20	22.50	16.70
* STOCKS SUBTOTAL *			\$-60,945.22	\$658.30		\$526.64	\$112.50	\$414.14
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/21/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	100.00	5.25	80.0	4.20	0.00	4.20
02/28/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	5,590.42	1.16	80.0	0.93	0.00	0.93
02/28/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,032.57	0.42	80.0	0.34	0.00	0.34
02/28/2017	CASTLE, DAVID	JNL ELITE ACCESS	2,502.16	0.52	80.0	0.42	0.00	0.42

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,728.11	0.57	80.0	0.46	0.00	0.46
02/28/2017	PYLE JR, JUSTIN	JNL ELITE ACCESS	27,347.61	5.70	80.0	4.56	0.00	4.56
02/28/2017	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	8,858.18	1.85	80.0	1.48	0.00	1.48
02/28/2017	RAY, RANDY	JNL PERSPECTIVE II 04/14	25,908.71	10.80	80.0	8.64	0.00	8.64
02/28/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	53,119.91	11.07	80.0	8.86	0.00	8.86
02/28/2017	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,341.79	0.28	80.0	0.22	0.00	0.22
02/28/2017	HIPP, RONALD	JNL PERSPECTIVE II 05/05	9,493.20	1.98	80.0	1.58	0.00	1.58
02/28/2017	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	7,942.51	1.65	80.0	1.32	0.00	1.32
02/28/2017	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	81,630.74	17.01	80.0	13.61	0.00	13.61
02/24/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	441.84	26.29	80.0	21.03	0.00	21.03
02/28/2017	MANSFIELD, CORDELIA	JNL PERSPECTIVE L	61,773.57	66.92	80.0	53.54	0.00	53.54
02/28/2017	MCFERRON, LINDA	JNL PERSPECTIVE L	15,963.63	17.29	80.0	13.83	0.00	13.83
02/28/2017	WOODS III, JOHN	JNL PERSPECTIVE L	30,187.51	25.16	80.0	20.13	0.00	20.13
02/28/2017	WOODS III, JOHN	JNL PERSPECTIVE L	10,781.26	11.68	80.0	9.34	0.00	9.34
02/28/2017	DOWDY, LINDA	JNL PERSPECTIVE L	34,621.92	28.85	80.0	23.08	0.00	23.08
02/28/2017	DOWDY, LINDA	JNL PERSPECTIVE L	12,978.19	14.06	80.0	11.25	0.00	11.25
02/28/2017	RICK, JOSEPH	JNL PERSPECTIVE L	9,150.30	7.63	80.0	6.10	0.00	6.10
02/28/2017	RICK, JOSEPH	JNL PERSPECTIVE L	11,883.51	12.87	80.0	10.30	0.00	10.30
02/28/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	6,810.23	5.68	80.0	4.54	0.00	4.54
02/28/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,350.38	12.30	80.0	9.84	0.00	9.84
02/28/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,815.98	9.01	80.0	7.21	0.00	7.21
02/28/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	9,888.55	10.71	80.0	8.57	0.00	8.57
02/28/2017	WILSON, TIM	JNL PERSPECTIVE L	23,278.87	19.40	80.0	15.52	0.00	15.52
02/28/2017	WILSON, TIM	JNL PERSPECTIVE L	9,565.53	10.36	80.0	8.29	0.00	8.29
02/28/2017	HERNDON, RONALD	JNL PERSPECTIVE L	16,378.62	13.65	80.0	10.92	0.00	10.92
02/28/2017	HERNDON, RONALD	JNL PERSPECTIVE L	9,656.38	10.46	80.0	8.37	0.00	8.37
02/28/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	42,401.73	35.33	80.0	28.26	0.00	28.26
02/28/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	10,180.19	11.03	80.0	8.82	0.00	8.82
02/28/2017	MOORE, TONI	JNL PERSPECTIVE L	107,533.93	89.61	80.0	71.69	0.00	71.69
02/28/2017	MOORE, TONI	JNL PERSPECTIVE L	107,533.93	116.50	80.0	93.20	0.00	93.20
02/28/2017	MICHENER, DAVID	JNL PERSPECTIVE L	260,945.96	217.45	80.0	173.96	0.00	173.96
02/28/2017	LEMMOND, MARY	JNL PERSPECTIVE L	19,610.32	16.34	80.0	13.07	0.00	13.07
02/28/2017	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,375.98	1.98	80.0	1.58	0.00	1.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	BRANSTETTER, TODD	JNL PERSPECTIVE L	12,585.72	10.49	80.0	8.39	0.00	8.39
02/28/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	19,312.75	16.09	80.0	12.87	0.00	12.87
02/28/2017	MANSFIELD, PHILLIP	JNL PERSPECTIVE L	48,733.92	40.61	80.0	32.49	0.00	32.49
02/28/2017	POORE, NANCY	JNL PERSPECTIVE L	46,777.94	38.98	80.0	31.18	0.00	31.18
02/28/2017	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	55,529.52	46.27	80.0	37.02	0.00	37.02
02/28/2017	CASTLE, DAVID	JNL PERSPECTIVE L	112,419.85	93.68	80.0	74.94	0.00	74.94
02/28/2017	FARIS, THERESA ANN	JNL PERSPECTIVE L	33,449.16	27.87	80.0	22.30	0.00	22.30
02/28/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	93,747.90	78.12	80.0	62.50	0.00	62.50
02/28/2017	MCKIDDY, BOBBY	JNL PERSPECTIVE L	19,639.77	16.37	80.0	13.10	0.00	13.10
02/28/2017	TREADWAY, PAMELA	JNL PERSPECTIVE L	39,920.81	33.27	80.0	26.62	0.00	26.62
02/28/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	6,495.55	5.41	80.0	4.33	0.00	4.33
03/06/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	3,400.00	202.30	80.0	161.84	0.00	161.84
02/28/2017	STANFORD, DAVID	JOHN HANCOCK VENTURE	9,276.52	1.93	80.0	1.54	0.00	1.54
02/13/2017	NEWBERN, BRENDA	PAC LIFE INNOVATIVE SELECT	0.00	4.10	80.0	3.28	0.00	3.28
02/21/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	27.94	80.0	22.35	0.00	22.35
02/27/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	39.44	80.0	31.55	0.00	31.55
02/27/2017	GENTILE, PAULA	PAC LIFE INNOVATIVE SELECT	0.00	90.36	80.0	72.29	0.00	72.29
03/02/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	4.11	80.0	3.29	0.00	3.29
03/03/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
02/21/2017	SALLEE, MARY	PAC LIFE PACIFIC CHOICE	0.00	42.08	80.0	33.66	0.00	33.66
02/21/2017	JOHNSON, LAURENCE	PAC LIFE PACIFIC CHOICE	0.00	91.38	80.0	73.10	0.00	73.10
02/23/2017	SULLENS, WILLIAM	PAC LIFE PACIFIC CHOICE	0.00	5.85	80.0	4.68	0.00	4.68
02/24/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	7.56	80.0	6.05	0.00	6.05
03/02/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	2.78	80.0	2.22	0.00	2.22
02/14/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	418.16	80.0	334.53	0.00	334.53
02/14/2017	JOHNSON, LAURENCE	PAC LIFE VALUE	0.00	46.22	80.0	36.98	0.00	36.98
02/14/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	285.81	80.0	228.65	0.00	228.65
02/14/2017	WILLIAMS, MARVIN	PAC LIFE VALUE	0.00	147.49	80.0	117.99	0.00	117.99
02/27/2017	MCFERRON, LARRY	PAC LIFE VALUE	0.00	38.88	80.0	31.10	0.00	31.10
02/27/2017	FISHER, SARA	PAC LIFE VALUE	0.00	205.10	80.0	164.08	0.00	164.08
03/02/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	10.56	80.0	8.45	0.00	8.45
03/02/2017	HOLLAND, CANDACE	PAC LIFE VALUE	0.00	7.87	80.0	6.30	0.00	6.30
03/02/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	7.04	80.0	5.63	0.00	5.63

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/02/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	11.01	80.0	8.81	0.00	8.81
03/02/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	8.45	80.0	6.76	0.00	6.76
03/02/2017	FISHER, SARA	PAC LIFE VALUE	0.00	8.40	80.0	6.72	0.00	6.72
03/03/2017	BROMLOW, NITA	PAC LIFE VALUE	0.00	7.06	80.0	5.65	0.00	5.65
03/03/2017	JOHNSON, KATHRYN	PAC LIFE VALUE	0.00	6.70	80.0	5.36	0.00	5.36
03/03/2017	DALE RARICK, KIMBERLY	PAC LIFE VALUE	0.00	4.76	80.0	3.81	0.00	3.81
* VARIABLE ANNUITIES SUBTOTAL *			\$1,565,993.63	\$2,994.32		\$2,395.47	\$0.00	\$2,395.47
*** BROKER TRANSACTIONS TOTAL ***			\$1,510,472.39	\$4,159.19		\$3,327.51	\$112.50	\$3,215.01

ADJUSTMENTS

03/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00						-300.00
03/15/2017	*MARKETING LIBRARY: MARKETING	0.00						-20.00
03/15/2017	*PERSHING: ETF PROSPECTUS	0.00						-1.55
03/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-596.55	\$0.00	\$-596.55

BROKER COMMISSION TOTALS

Broker Transactions	\$3,215.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-596.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,618.46
Year-to-date Gross	\$10,205.40
Year-to-date Earnings	\$7,759.69

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