

## COMMISSION STATEMENT

September 29, 2017

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #: 47  
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/27/2017	75501671	CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	17,689.05	0.00	0.00	0.00	50.0	0.00
09/27/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F S		17,689.05	0.00	0.00	0.00	50.0	0.00
09/27/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR SER VII BIOTECHNOL B		10,163.61	0.00	0.00	0.00	50.0	0.00
09/27/2017	75501671	CARR, CHARLES	FIDELITY FINL TR ADVISOR CONV SECS S		10,163.61	0.00	0.00	0.00	50.0	0.00
09/08/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS S		11,000.00	0.00	0.00	0.00	50.0	0.00
09/15/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV EQUITY GROWTH CL A	S	10,851.81	0.00	0.00	0.00	50.0	0.00
09/15/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR (FOCUS) HEALTH CAR S		11,032.33	0.00	0.00	0.00	50.0	0.00
09/15/2017	75501746	CIAVARELLA, LOUIS	FRK SM CAP GRWTH FND II - CL A	S	4,083.27	0.00	0.00	0.00	50.0	0.00
09/21/2017	75501749	CIAVARELLA, MELISSA	CALAMOS INVT TR NEW PHINEUS LONG SH B		5,000.00	0.00	0.00	0.00	50.0	0.00
09/21/2017	75501749	CIAVARELLA, MELISSA	FIDELITY ADVISOR TELECOMMUNICATIONS S		4,943.59	0.00	0.00	0.00	50.0	0.00
09/21/2017	75501749	CIAVARELLA, MELISSA	FIDELITY ADVISOR EQUITY GROWTH FUND B		5,460.23	0.00	0.00	0.00	50.0	0.00
09/21/2017	75501749	CIAVARELLA, MELISSA	FIDELITY ADVISOR TECHNOLOGY FUND CL B		4,943.59	0.00	0.00	0.00	50.0	0.00
09/21/2017	75501749	CIAVARELLA, MELISSA	FIDELITY ADVISOR FINANCIAL SERVICES S		5,460.23	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$118,480.37	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

09/27/2017	75501670	CARR, MARGARET	ALIBABA GROUP HOLDING LTD SPONSORED B		5,140.13	105.00	0.00	105.00	50.0	52.50
09/27/2017	75501670	CARR, MARGARET	AMAZON.COM INC	B	4,748.95	103.07	0.00	103.07	50.0	51.54
09/27/2017	75501670	CARR, MARGARET	CENTURYTEL INC	S	7,130.15	50.06	0.00	50.06	50.0	25.03
09/27/2017	75501670	CARR, MARGARET	FACEBOOK INC CL A	B	5,021.70	105.00	0.00	105.00	50.0	52.50
09/27/2017	75501670	CARR, MARGARET	FOOT LOCKER INC	S	11,848.21	137.35	0.00	137.35	50.0	68.68
09/27/2017	75501670	CARR, MARGARET	PROSPECT CAPITAL CORPORATION	B	5,022.75	193.52	0.00	193.52	50.0	96.76
09/27/2017	75501670	CARR, MARGARET	TENCENT HOLDINGS LIMITED UNSPONSORE B		4,961.00	131.53	0.00	131.53	50.0	65.77
09/27/2017	75501670	CARR, MARGARET	UNDER ARMOUR INC CL A	S	1,942.99	50.65	0.00	50.65	50.0	25.33
09/27/2017	75501670	CARR, MARGARET	UNDER ARMOUR INC CLASS C COMMON STO S		1,783.32	50.16	0.00	50.16	50.0	25.08
09/27/2017	75501671	CARR, CHARLES	DOWDUPONT INC COMMON STOCK	B	4,793.59	103.69	0.00	103.69	50.0	51.85
09/27/2017	75501671	CARR, CHARLES	KROGER CO (THE)	S	2,636.84	50.65	0.00	50.65	50.0	25.33
09/27/2017	75501671	CARR, CHARLES	NVIDIA CORP	B	4,864.44	104.68	0.00	104.68	50.0	52.34
09/27/2017	75501671	CARR, CHARLES	TAKE-TWO INTERACTIVE SOFTWARE INC	B	4,977.50	105.00	0.00	105.00	50.0	52.50
08/30/2017	75501679	SOIGNOLI, ANTHONY	GENERAL ELECTRIC CO	S	7,261.50	50.84	0.00	50.84	50.0	25.42
08/30/2017	75501679	SOIGNOLI, ANTHONY	PACER FDS TR TRENDPILOT 750 ETF	B	2,642.07	69.18	0.00	69.18	50.0	34.59

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
08/30/2017	75501679	SOIGNOLI, ANTHONY	PACER FDS TR GLOBAL CASH COWS DIVID B	6,837.67	170.00	0.00	170.00	50.0	85.00
08/30/2017	75501681	SOIGNOLI, ANTHONY	GENERAL ELECTRIC CO	S	20,154.44	51.26	0.00	51.26	25.63
08/30/2017	75501681	SOIGNOLI, ANTHONY	PACER FDS TR TRENDPILOT 750 ETF	B	5,284.14	125.78	0.00	125.78	62.89
08/30/2017	75501681	SOIGNOLI, ANTHONY	PACER FDS TR GLOBAL CASH COWS DIVID B	14,867.50	277.25	0.00	277.25	50.0	138.63
08/30/2017	75501684	SOIGNOLI, LINDA	GENERAL ELECTRIC CO	S	7,258.50	50.86	0.00	50.86	25.43
08/30/2017	75501684	SOIGNOLI, LINDA	PACER FDS TR TRENDPILOT 750 ETF	B	1,983.74	61.45	0.00	61.45	30.73
08/30/2017	75501684	SOIGNOLI, LINDA	PACER FDS TR GLOBAL CASH COWS DIVID B	5,056.40	144.92	0.00	144.92	50.0	72.46
08/30/2017	75501742	CIAVARELLA, LOUIS	GENERAL ELECTRIC CO	S	6,171.26	0.00	0.00	0.00	0.00
09/08/2017	75501742	CIAVARELLA, LOUIS	ALLIANZGI CONV & INCOME FD II COM	B	3,052.50	0.00	0.00	0.00	0.00
09/08/2017	75501742	CIAVARELLA, LOUIS	FOOT LOCKER INC	S	1,781.75	0.00	0.00	0.00	0.00
09/25/2017	75501742	CIAVARELLA, LOUIS	WTS MYND ANALYTICS INC WARRANT	B	1,500.00	0.00	0.00	0.00	0.00
09/07/2017	75501745	CIAVARELLA, LOUIS	STARBUCKS CORP	S	3,198.00	15.00	0.00	15.00	0.00
09/08/2017	75501746	CIAVARELLA, LOUIS	AMN HEALTHCARE SVCS INC	S	11,092.50	50.10	0.00	50.10	25.05
09/08/2017	75501746	CIAVARELLA, LOUIS	LEVEL 3 COMMUNICATIONS INC COM	S	5,288.68	50.10	0.00	50.10	25.05
08/30/2017	75501749	CIAVARELLA, MELISSA	GENERAL ELECTRIC CO	S	4,022.35	0.00	0.00	0.00	0.00
09/27/2017	75501749	CIAVARELLA, MELISSA	ALIBABA GROUP HOLDING LTD SPONSORED B	2,576.21	0.00	0.00	0.00	50.0	0.00
08/30/2017	75501754	CIAVARELLA, JAMES	GENERAL ELECTRIC CO	S	2,423.10	0.00	0.00	0.00	0.00
09/18/2017	75501767	HALL, JAMES	FORD MOTOR CO PAR \$0.01	S	5,525.77	15.00	0.00	15.00	0.00
09/18/2017	75501767	HALL, JAMES	LOCKHEED MARTIN CORP	B	15,192.50	105.00	0.00	105.00	52.50
09/18/2017	75501767	HALL, JAMES	MERCK & CO INC	S	6,597.34	15.00	0.00	15.00	0.00
09/18/2017	75501768	HALL, JAMES	BERKSHIRE HATHAWAY INC DEL CL B	B	15,416.24	105.00	0.00	105.00	52.50
09/18/2017	75501768	HALL, JAMES	SPDR GOLD TR GOLD SHS	S	10,555.30	15.00	0.00	15.00	0.00
09/18/2017	75501768	HALL, JAMES	STRATASYS LTD	S	5,326.52	15.00	0.00	15.00	0.00
* STOCKS SUBTOTAL *				\$235,937.55	\$2,677.10	\$0.00	\$2,677.10		\$1,301.09
PRODUCT CATEGORY: VARIABLE ANNUITIES									
09/07/2017	(None)	VARIOUS, CIAVARELLA	OHIO NATIONAL FINANCIAL	B	0.00	137.96	0.00	137.96	68.98
09/13/2017	(None)	VARIOUS, CIAVARELLA	OHIO NATIONAL FINANCIAL	B	0.00	171.68	0.00	171.68	85.84
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$309.64	\$0.00	\$309.64		\$154.82
PRODUCT CATEGORY: MISCELLANEOUS									
09/29/2017	VARIOUS, CIAVARELLA	ADVISORY INCOME	B	0.00	1,418.33	0.00	1,418.33	50.0	709.17
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$1,418.33	\$0.00	\$1,418.33		\$709.17
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
09/12/2017	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.38	0.00	1.38	0.69
09/12/2017	75501549	ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.63	0.00	1.63	0.82
09/12/2017	75501549	ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	3.11	0.00	3.11	1.56
09/12/2017	75501549	ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	5.20	0.00	5.20	2.60
09/12/2017	75501665	PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	8.57	0.00	8.57	4.29
09/12/2017	75501665	PILKO, THOMAS	SENTINEL GROUP FDS INC SHORT DURATI B		0.00	15.30	0.00	15.30	7.65

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/12/2017	75501666	CARR, CHARLES		FIDELITY ADVISOR MID CAP II FUND CL B	0.00	0.58	0.00	0.58	50.0	0.00
09/12/2017	75501666	CARR, CHARLES		FIDELITY INVT TR ADVISOR LATIN AMER B	0.00	0.81	0.00	0.81	50.0	0.00
09/12/2017	75501670	CARR, MARGARET		MFS PENNSYLVANIA MUNICIPAL BOND FUN B	0.00	0.82	0.00	0.82	50.0	0.00
09/12/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	1.36	0.00	1.36	50.0	0.68
09/12/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR ENERGY CL A B	0.00	1.98	0.00	1.98	50.0	0.99
09/12/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	3.55	0.00	3.55	50.0	1.78
09/12/2017	75501671	CARR, CHARLES		FIDELITY FINL TR ADVISOR CONV SECS B	0.00	2.13	0.00	2.13	50.0	1.07
09/12/2017	75501671	CARR, CHARLES		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.29	0.00	1.29	50.0	0.65
09/12/2017	75501673	CARR, MARGARET		FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.74	0.00	0.74	50.0	0.00
09/12/2017	75501673	CARR, MARGARET		FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	0.43	0.00	0.43	50.0	0.00
09/12/2017	75501673	CARR, MARGARET		FIDELITY ADVISOR GOLD FUND CL A B	0.00	0.45	0.00	0.45	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		**FIDELITYADVISOR SER VII B	0.00	1.07	0.00	1.07	50.0	0.54
09/12/2017	75501674	CARR, MARGARET		BLACKROCK SCIENCE & TECH OPPORTUNIT B	0.00	0.96	0.00	0.96	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		BLACKROCK BALANCED CAP FD CL A B	0.00	0.45	0.00	0.45	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		EATON VANCE GROWTH FUND CLASS A B	0.00	0.83	0.00	0.83	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		EATON VANCE INCOME FUND OF BOSTON C B	0.00	5.77	0.00	5.77	50.0	2.89
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADV EQUITY GROWTH CL T B	0.00	3.62	0.00	3.62	50.0	1.81
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR LARGE CAP FUND-CL B	0.00	1.74	0.00	1.74	50.0	0.87
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADV MID CAP II - CL T B	0.00	4.97	0.00	4.97	50.0	2.49
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR INTL DISCOVERY FD B	0.00	2.22	0.00	2.22	50.0	1.11
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR SER VII FA SEMICON B	0.00	0.62	0.00	0.62	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR UTILITIES CL T B	0.00	0.78	0.00	0.78	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADV TECHNOLOGY CL T B	0.00	4.94	0.00	4.94	50.0	2.47
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	2.06	0.00	2.06	50.0	1.03
09/12/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR INDUSTRIALS CL T B	0.00	0.36	0.00	0.36	50.0	0.00
09/12/2017	75501674	CARR, MARGARET		FIDELITY FINL TR STRATEGIC DIVID & B	0.00	13.90	0.00	13.90	50.0	6.95
09/12/2017	75501676	REBER, WINCHELL		FIDELITY ADV STRATEGIC INCOME CL C B	0.00	1.15	0.00	1.15	50.0	0.58
09/12/2017	75501676	REBER, WINCHELL		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.07	0.00	1.07	50.0	0.54
09/12/2017	75501676	REBER, WINCHELL		FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	0.91	0.00	0.91	50.0	0.00
09/12/2017	75501676	REBER, WINCHELL		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.11	0.00	1.11	50.0	0.56
09/12/2017	75501676	REBER, WINCHELL		FRANKLIN INCOME FUND CLASS C B	0.00	1.39	0.00	1.39	50.0	0.70
09/12/2017	75501676	REBER, WINCHELL		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.94	0.00	0.94	50.0	0.00
09/08/2017	75501679	SOIGNOLI, ANTHONY		DELAWARE GROUP TAX FREE USA FUND-CL B	0.00	13.54	0.00	13.54	50.0	6.77
09/12/2017	75501679	SOIGNOLI, ANTHONY		FEDERATED STRATEGIC INCOME FUND B	0.00	0.94	0.00	0.94	50.0	0.00
09/12/2017	75501679	SOIGNOLI, ANTHONY		FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	6.53	0.00	6.53	50.0	3.27
09/12/2017	75501679	SOIGNOLI, ANTHONY		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	8.07	0.00	8.07	50.0	4.04
09/12/2017	75501679	SOIGNOLI, ANTHONY		FRANKLIN INCOME FUND CLASS C B	0.00	2.10	0.00	2.10	50.0	1.05
09/12/2017	75501680	REBER, WINCHELL		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.26	0.00	1.26	50.0	0.63
09/12/2017	75501680	REBER, WINCHELL		FRANKLIN INCOME FUND CLASS C B	0.00	0.84	0.00	0.84	50.0	0.00
09/12/2017	75501680	REBER, WINCHELL		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.32	0.00	0.32	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/12/2017	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND B	0.00	1.46	0.00	1.46	50.0	0.73
09/12/2017	75501681	SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.74	0.00	1.74	50.0	0.87
09/12/2017	75501681	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	3.77	0.00	3.77	50.0	1.89
09/12/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	14.03	0.00	14.03	50.0	7.02
09/12/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	4.76	0.00	4.76	50.0	2.38
09/12/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	3.50	0.00	3.50	50.0	1.75
09/12/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.57	0.00	3.57	50.0	1.79
09/12/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION B	0.00	5.25	0.00	5.25	50.0	2.63
09/12/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH B	0.00	4.71	0.00	4.71	50.0	2.36
09/12/2017	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	7.34	0.00	7.34	50.0	3.67
09/12/2017	75501682	REBER, WINCHELL	PUTNAM 746792100 B	0.00	0.76	0.00	0.76	50.0	0.00
09/12/2017	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.73	0.00	4.73	50.0	2.37
09/12/2017	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.69	0.00	1.69	50.0	0.85
09/12/2017	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	2.89	0.00	2.89	50.0	1.45
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.28	0.00	1.28	50.0	0.64
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C B	0.00	2.55	0.00	2.55	50.0	1.28
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A B	0.00	2.78	0.00	2.78	50.0	1.39
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.08	0.00	1.08	50.0	0.54
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A B	0.00	0.97	0.00	0.97	50.0	0.00
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408 B	0.00	2.08	0.00	2.08	50.0	1.04
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	1.11	0.00	1.11	50.0	0.56
09/12/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	2.53	0.00	2.53	50.0	1.27
09/12/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	0.96	0.00	0.96	50.0	0.00
09/12/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.07	0.00	1.07	50.0	0.54
09/12/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	0.97	0.00	0.97	50.0	0.00
09/12/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.23	0.00	1.23	50.0	0.62
09/12/2017	75501685	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B	0.00	1.39	0.00	1.39	50.0	0.70
09/12/2017	75501685	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.59	0.00	0.59	50.0	0.00
09/12/2017	75501686	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.72	0.00	1.72	50.0	0.86
09/12/2017	75501686	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B	0.00	0.98	0.00	0.98	50.0	0.00
09/12/2017	75501686	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.44	0.00	0.44	50.0	0.00
09/12/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367 B	0.00	0.96	0.00	0.96	50.0	0.00
09/12/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474 B	0.00	0.61	0.00	0.61	50.0	0.00
09/12/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.73	0.00	0.73	50.0	0.00
09/12/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505 B	0.00	0.06	0.00	0.06	50.0	0.00
09/12/2017	75501687	DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	0.01	0.00	0.01	50.0	0.00
09/12/2017	75501687	DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C B	0.00	0.72	0.00	0.72	50.0	0.00
09/12/2017	75501688	BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B	0.00	0.96	0.00	0.96	50.0	0.00
09/12/2017	75501689	BERRY, THOMAS	**GAMCO GROWTH FD INC B	0.00	1.85	0.00	1.85	50.0	0.93
09/12/2017	75501689	BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A B	0.00	1.08	0.00	1.08	50.0	0.54

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/12/2017	75501690	EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B	0.00	0.76	0.00	0.76	50.0	0.00
09/12/2017	75501691	BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND B	0.00	2.70	0.00	2.70	50.0	1.35
09/12/2017	75501691	BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR B	0.00	3.22	0.00	3.22	50.0	1.61
09/12/2017	75501691	BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	9.90	0.00	9.90	50.0	4.95
09/12/2017	75501691	BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC B	0.00	2.79	0.00	2.79	50.0	1.40
09/12/2017	75501691	BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	4.45	0.00	4.45	50.0	2.23
09/12/2017	75501691	BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C B	0.00	2.55	0.00	2.55	50.0	1.28
09/12/2017	75501691	BARGER, WILLIAM	THE NEW ECONOMY FUND-C B	0.00	9.25	0.00	9.25	50.0	4.63
09/12/2017	75501691	BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B	0.00	1.74	0.00	1.74	50.0	0.87
09/12/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B	0.00	2.05	0.00	2.05	50.0	1.03
09/12/2017	75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH B	0.00	0.50	0.00	0.50	50.0	0.00
09/12/2017	75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND B	0.00	0.38	0.00	0.38	50.0	0.00
09/12/2017	75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC B	0.00	0.29	0.00	0.29	50.0	0.00
09/12/2017	75501693	KRAUS, MICHELLE	GAMCO GOLD FD B	0.00	0.34	0.00	0.34	50.0	0.00
09/12/2017	75501694	WARD, MARY	TAX-FREE BOND A B	0.00	12.88	0.00	12.88	50.0	6.44
09/18/2017	75501695	WARD, EDMOND	TOCQUEVILLE GOLD FUND B	0.00	1.27	0.00	1.27	50.0	0.64
09/12/2017	75501697	WARD, EDMOND	AMERICAN MUTUAL FUND-A B	0.00	23.36	0.00	23.36	50.0	11.68
09/12/2017	75501698	GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.37	0.00	0.37	50.0	0.00
09/12/2017	75501698	GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.16	0.00	1.16	50.0	0.58
09/12/2017	75501698	GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A B	0.00	1.31	0.00	1.31	50.0	0.66
09/12/2017	75501698	GROSSO, BETH ANN	THE NEW ECONOMY FUND-A B	0.00	1.35	0.00	1.35	50.0	0.68
09/12/2017	75501699	WARD, EDMOND	AMERICAN MUTUAL FUND-C B	0.00	12.70	0.00	12.70	50.0	6.35
09/12/2017	75501699	WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL B	0.00	51.21	0.00	51.21	50.0	25.61
09/12/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850 B	0.00	1.26	0.00	1.26	50.0	0.63
09/12/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.71	0.00	1.71	50.0	0.86
09/12/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	2.15	0.00	2.15	50.0	1.08
09/12/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T B	0.00	2.32	0.00	2.32	50.0	1.16
09/12/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T B	0.00	0.15	0.00	0.15	50.0	0.00
09/12/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T B	0.00	2.90	0.00	2.90	50.0	1.45
09/12/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD B	0.00	1.70	0.00	1.70	50.0	0.85
09/12/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND B	0.00	0.98	0.00	0.98	50.0	0.00
09/12/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	0.00	0.50	0.00	0.50	50.0	0.00
09/12/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING B	0.00	0.25	0.00	0.25	50.0	0.00
09/12/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND B	0.00	0.50	0.00	0.50	50.0	0.00
09/12/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	5.90	0.00	5.90	50.0	2.95
09/12/2017	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	0.00	2.31	0.00	2.31	50.0	1.16
09/12/2017	75501746	CIAVARELLA, LOUIS	EATON VANCE GROWTH TR RICHRD BERNST B	0.00	2.09	0.00	2.09	50.0	1.05
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV EQUITY GROWTH CL A B	0.00	2.25	0.00	2.25	50.0	1.13
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	0.00	2.31	0.00	2.31	50.0	1.16
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834 B	0.00	0.80	0.00	0.80	50.0	0.00

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	3.02	0.00	3.02	50.0	1.51
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR (FOCUS) HEALTH CAR B		0.00	2.24	0.00	2.24	50.0	1.12
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING B		0.00	2.25	0.00	2.25	50.0	1.13
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	2.19	0.00	2.19	50.0	1.10
09/12/2017	75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP B		0.00	2.13	0.00	2.13	50.0	1.07
09/12/2017	75501746	CIAVARELLA, LOUIS	FRK SM CAP GRWTH FND II - CL A	B	0.00	0.83	0.00	0.83	50.0	0.00
09/12/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B		0.00	0.74	0.00	0.74	50.0	0.00
09/12/2017	75501746	CIAVARELLA, LOUIS	THORNBURG INVT TR BETTER WORLD INTL B		0.00	0.55	0.00	0.55	50.0	0.00
09/12/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.58	0.00	0.58	50.0	0.00
09/12/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.22	0.00	1.22	50.0	0.61
09/12/2017	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B		0.00	0.48	0.00	0.48	50.0	0.00
09/12/2017	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B		0.00	0.79	0.00	0.79	50.0	0.00
09/12/2017	75501758	BERGEN, MIMI	BLK 091928846	B	0.00	0.42	0.00	0.42	50.0	0.00
09/12/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B		0.00	2.99	0.00	2.99	50.0	1.50
09/12/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	5.38	0.00	5.38	50.0	2.69
09/12/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	9.66	0.00	9.66	50.0	4.83
09/12/2017	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	5.30	0.00	5.30	50.0	2.65
09/12/2017	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B		0.00	12.53	0.00	12.53	50.0	6.27
09/12/2017	75501976	WARD, BRANDON	JANUS INVT FD HENDERSON INTL OPPRTN B		0.00	8.71	0.00	8.71	50.0	4.36
09/12/2017	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601	B	0.00	4.44	0.00	4.44	50.0	2.22
09/12/2017	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B		0.00	19.51	0.00	19.51	50.0	9.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$487.27	\$0.00	\$487.27		\$228.04
*** BROKER TRANSACTIONS TOTAL ***					\$354,417.92	\$4,892.34	\$0.00	\$4,892.34		\$2,393.12

ADJUSTMENTS

09/29/2017		OTHER: OTHER-ADVISORY EXPENSES		0.00	0.00	0.00	0.00		-135.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-135.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,393.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.34
SIPC Assessment	\$-8.69

<b>Check Amount</b>	<b>\$2,245.09</b>
<b>Year-to-date Earnings</b>	<b>\$5,827.69</b>