

COMMISSION STATEMENT  
August 17, 2018

STEVEN COOK  
3200 N. Central Ave.  
Suite 200  
Phoenix, AZ 85012

BROKER #: 710  
BRANCH: #11-A CENTRAL-JIM

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: CUE Financial Group, Inc

ADJUSTMENTS

|   |  |  |        |        |        |        |          |
|---|--|--|--------|--------|--------|--------|----------|
| 11. E&O Q2 2018                             |  |  |        |        |        |        | -50.00   |
| 29. REIMBURSEMENT FOR E&O INSURANCE CHARGED |  |  |        |        |        |        | 350.00   |
| *** ADJUSTMENTS TOTAL ***                   |  |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$300.00 |

BROKER COMMISSION TOTALS

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$0.00    |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$300.00  |
| Prior Period Balance  | \$-100.00 |
| NASD Assessment       | \$0.00    |
| SIPC Assessment       | \$0.00    |

|                       |          |
|-----------------------|----------|
| Check Amount          | \$200.00 |
| Year-to-date Earnings | \$352.39 |

COMMISSION STATEMENT for STEVEN COOK

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Do Not Use

ADJUSTMENTS

|                                  |  |  |        |        |        |        |        |
|----------------------------------|--|--|--------|--------|--------|--------|--------|
| PRIOR PERIOD BALANCE (SEE BELOW) |  |  |        |        |        |        | 0.00   |
| *** ADJUSTMENTS TOTAL ***        |  |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

BROKER COMMISSION TOTALS

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$0.00  |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Prior Period Balance  | \$-2.90 |
| NASD Assessment       | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |         |
|-----------------------|---------|
| Balance Forward       | \$-2.90 |
| Year-to-date Earnings | \$0.00  |