

# Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	1,113.64

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: GEORGE Q. OFFUTT  
P.O. BOX 3003  
MARBLE FALLS, TX 78654-3003

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	1,113.64	1,113.64		1,113.64

# COMMISSION STATEMENT March 31, 2018

GEORGE OFFUTT-D067

BROKER #: D067

BRANCH: DMT

, TX

## COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
03/09/2018 368435423	GUFFEE, CLARENCE	MF BLACKROCK HIGH YIELD BOND FUND	S	-43,076.38	0.00	5.00	-5.00	90.0	-4.50
03/09/2018 368435423	GUFFEE, CLARENCE	MF DAVIS NEW YORK VENTURE CLASS A	S	-54,033.69	0.00	5.00	-5.00	90.0	-4.50
03/09/2018 368435423	GUFFEE, CLARENCE	MF INVESCO AMERICAN FRANCHISE FUND	S	-32,585.89	0.00	5.00	-5.00	90.0	-4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-129,695.96</b>	<b>\$0.00</b>	<b>\$15.00</b>	<b>\$-15.00</b>		<b>\$-13.50</b>
<b>PRODUCT CATEGORY: STOCKS</b>									
03/06/2018 306847669	CORPORATION, GO	S REX ENERGY CORPORATION	S	-395.13	30.00	15.00	15.00	90.0	13.50
03/26/2018 462247453	LOVE, JOE	S AMERICAN ELECTRIC POWER CO	B	4,803.24	94.00	15.00	79.00	90.0	71.10
03/26/2018 462247453	LOVE, JOE	S BHP BILLITON LIMITED-SPON ADR	B	4,980.31	94.00	15.00	79.00	90.0	71.10
03/26/2018 462247453	LOVE, JOE	S BLACKROCK CORPORATE HIGH YIELD	S	-2,315.73	40.00	15.00	25.00	90.0	22.50
03/26/2018 462247453	LOVE, JOE	S CHEVRON CORPORATION	B	4,659.65	94.00	15.00	79.00	90.0	71.10
03/26/2018 462247453	LOVE, JOE	S DUKE ENERGY CORP NEW COM NEW	B	4,642.59	94.00	15.00	79.00	90.0	71.10
03/26/2018 462247453	LOVE, JOE	S HCP INC	S	-2,833.73	40.00	15.00	25.00	90.0	22.50
03/26/2018 462247453	LOVE, JOE	S INTL BUSINESS MACHINES INC	B	4,658.85	94.00	15.00	79.00	90.0	71.10
03/26/2018 462247453	LOVE, JOE	S MERCK & CO INC NEW	B	4,896.96	94.00	15.00	79.00	90.0	71.10
03/26/2018 462247453	LOVE, JOE	S PIONEER HIGH INCOME TRUST	S	-1,821.91	40.00	15.00	25.00	90.0	22.50
03/26/2018 462247453	LOVE, JOE	S QUALITY CARE PROPERTIES	S	-438.02	40.00	15.00	25.00	90.0	22.50
03/26/2018 462247453	LOVE, JOE	S VODAFONE GROUP PLC NEW - SPON	B	4,806.98	94.00	15.00	79.00	90.0	71.10
03/02/2018 554518343	OFFUTT, GEORGE	S HANNON ARMSTRONG SUSTAINABLE	S	-1,707.92	24.59	15.00	9.59	90.0	8.63
03/02/2018 554518343	OFFUTT, GEORGE	S HANNON ARMSTRONG SUSTAINABLE	S	-376.28	5.41	0.00	5.41	90.0	4.87
03/02/2018 554518343	OFFUTT, GEORGE	S MACYS INC	B	3,062.95	30.00	15.00	15.00	90.0	13.50
03/13/2018 554518343	OFFUTT, GEORGE	S ALLIANZGI DIVERSIFIED INCOME &	B	2,969.15	30.00	15.00	15.00	90.0	13.50
03/21/2018 677501997	OFFUTT TTEE, GEORGE	S AMERICAN ELECTRIC POWER CO	B	4,204.35	20.00	15.00	5.00	90.0	4.50
03/21/2018 677501997	OFFUTT TTEE, GEORGE	S CHEVRON CORPORATION	B	4,159.93	20.00	15.00	5.00	90.0	4.50
03/21/2018 677515638	TTEE, GEORGE OFFUTT	S AMERICAN ELECTRIC POWER CO	B	4,023.23	108.68	15.00	93.68	90.0	84.31
03/21/2018 677515638	TTEE, GEORGE OFFUTT	S SOUTHERN COMPANY	B	3,973.45	20.00	15.00	5.00	90.0	4.50
<b>* STOCKS SUBTOTAL *</b>				<b>\$45,952.92</b>	<b>\$1,106.68</b>	<b>\$285.00</b>	<b>\$821.68</b>		<b>\$739.51</b>
<b>PRODUCT CATEGORY: MISCELLANEOUS</b>									
03/12/2018 a03668438	OFFUTT-D067, GEORGE MI	MUTUAL FUND MISC FEE 368435423	S	0.00	20.00	0.00	20.00	90.0	18.00
03/12/2018 a03668438	OFFUTT-D067, GEORGE MI	MUTUAL FUND MISC FEE 368435423	S	0.00	20.00	0.00	20.00	90.0	18.00
03/12/2018 a03668438	OFFUTT-D067, GEORGE MI	MUTUAL FUND MISC FEE 368435423	S	0.00	20.00	0.00	20.00	90.0	18.00

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$60.00	\$0.00	\$60.00		\$54.00	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	1.80	0.00	1.80	90.0	1.62
03/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	3.00	0.00	3.00	90.0	2.70
03/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.40	0.00	2.40	90.0	2.16
03/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.82	0.00	2.82	90.0	2.54
03/21/2018	mfc668438	OFFUTT 668438	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.59	0.00	0.59	90.0	0.53
03/21/2018	mfc668438	OFFUTT 668438	MFT AMERICAN BALANCED FUND-A	B	0.00	0.09	0.00	0.09	90.0	0.08
03/21/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK HIGH YIELD BOND FUND	B	0.00	8.23	0.00	8.23	90.0	7.41
03/21/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.59	0.00	0.59	90.0	0.53
03/21/2018	mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.67	0.00	0.67	90.0	0.60
03/21/2018	mfc668438	OFFUTT 668438	MFT BLK 091928788	B	0.00	1.81	0.00	1.81	90.0	1.63
03/21/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	2.37	0.00	2.37	90.0	2.13
03/21/2018	mfc668438	OFFUTT 668438	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	2.16	0.00	2.16	90.0	1.94
03/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.32	0.00	0.32	90.0	0.29
03/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.25	0.00	1.25	90.0	1.13
03/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.26	0.00	2.26	90.0	2.03
03/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.06	0.00	3.06	90.0	2.75
03/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	5.86	0.00	5.86	90.0	5.27
03/21/2018	mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	10.10	0.00	10.10	90.0	9.09
03/21/2018	mfc668438	OFFUTT 668438	MFT DELAWARE DIVIDEND INCOME FUND A	B	0.00	2.30	0.00	2.30	90.0	2.07
03/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	2.95	0.00	2.95	90.0	2.66
03/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.00	0.00	4.00	90.0	3.60
03/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.35	0.00	4.35	90.0	3.92
03/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	3.39	0.00	3.39	90.0	3.05
03/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE REAL ESTATE SECURITIES	B	0.00	0.75	0.00	0.75	90.0	0.68
03/21/2018	mfc668438	OFFUTT 668438	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.45	0.00	1.45	90.0	1.31
03/21/2018	mfc668438	OFFUTT 668438	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	9.93	0.00	9.93	90.0	8.94
03/21/2018	mfc668438	OFFUTT 668438	MFT EHV 277902813	B	0.00	1.04	0.00	1.04	90.0	0.94
03/21/2018	mfc668438	OFFUTT 668438	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.72	0.00	0.72	90.0	0.65
03/21/2018	mfc668438	OFFUTT 668438	MFT IVY SCIENCE AND TECHNOLOGY FUND	B	0.00	1.09	0.00	1.09	90.0	0.98
03/21/2018	mfc668438	OFFUTT 668438	MFT OPPENHEIMERFUNDS 68380E304	B	0.00	1.09	0.00	1.09	90.0	0.98
03/21/2018	mfc668438	OFFUTT 668438	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	3.82	0.00	3.82	90.0	3.44
03/21/2018	mfc668438	OFFUTT 668438	MFT TEMP FRGN FND - CL A	B	0.00	0.84	0.00	0.84	90.0	0.76
03/21/2018	mfc668438	OFFUTT 668438	MFT TEMP WORLD FND - CL A	B	0.00	1.43	0.00	1.43	90.0	1.29
03/21/2018	mfc668438	OFFUTT 668438	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	1.08	0.00	1.08	90.0	0.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$89.61	\$0.00	\$89.61		\$80.67	
*** CLEARING TRANSACTIONS TOTAL ***				\$-83,743.04	\$1,256.29	\$300.00	\$956.29		\$860.68	

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/05/2018 (None)	JACKSTANYE	MF COLUMBIA THREADNEEDLE	B	0.00	0.75	0.00	0.75	90.0	0.68
03/05/2018 (None)	JACKSTANYE	MF COLUMBIA THREADNEEDLE	B	0.00	0.94	0.00	0.94	90.0	0.85
03/05/2018 (None)	JACKSTANYE	MF COLUMBIA THREADNEEDLE	B	0.00	0.53	0.00	0.53	90.0	0.48
03/05/2018 (None)	JACKSTANYE	MF COLUMBIA THREADNEEDLE	B	0.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *				<u>\$0.00</u>	<u>\$3.72</u>	<u>\$0.00</u>	<u>\$3.72</u>		<u>\$3.36</u>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/28/2018 (None)	VARIOUS, OFFUTT	MFT COLUMBIA THREADNEEDLE	B	0.00	14.12	0.00	14.12	90.0	12.71
02/28/2018 (None)	BLACK, JESSICA	MFT TEXAS 529 PLAN	B	0.00	1.30	0.00	1.30	90.0	1.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$15.42</u>	<u>\$0.00</u>	<u>\$15.42</u>		<u>\$13.88</u>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>									
03/18/2018 (None)	HILL, GERALD	VAT AMERITAS	B	0.00	14.71	0.00	14.71	90.0	13.24
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$14.71</u>	<u>\$0.00</u>	<u>\$14.71</u>		<u>\$13.24</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$33.85</u>	<u>\$0.00</u>	<u>\$33.85</u>		<u>\$30.48</u>

**ADJUSTMENTS**

03/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
03/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
03/31/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	277.48
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$222.48</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$891.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$222.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$1,113.64</b>
<b>Year-to-date Commissions</b>	<b>\$5,036.78</b>