



COMMISSION STATEMENT

August 06, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
07/12/2018	***-**-376I - BERBEN, GAIL	GWG L BOND - 2YR BUY 10 Units @1000.0000	10,000.00	400.00	0.00	400.00	0.0	0.00
08/07/2018	***-**-726I - YADDAW, DEBRA	GWG L BOND - 2YR BUY 10 Units @1000.0000	10,000.00	400.00	0.00	400.00	0.0	0.00
07/12/2018	***-**-376I - BERBEN, GAIL	GWG L BOND - 3YR BUY 10 Units @1000.0000	10,000.00	500.00	0.00	500.00	0.0	0.00
07/18/2018	***-**-031O - MCVOY, ROBERT	GWG L BOND - 3YR BUY 10 Units @1000.0000	10,000.00	500.00	0.00	500.00	0.0	0.00
08/07/2018	***-**-726I - YADDAW, DEBRA	GWG L BOND - 3YR BUY 11 Units @1000.0000	11,000.00	550.00	0.00	550.00	0.0	0.00
08/07/2018	***-**-726I - YADDAW, DEBRA	GWG L BOND - 5 YR BUY 15 Units @1000.0000	15,000.00	885.00	0.00	885.00	0.0	0.00
08/06/2018	***-**-094I - CIRILLO, CARL	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	29.25	0.00	29.25	0.0	0.00
* ALTERNATIVE INVESTMENTS SUBTOTAL *			\$66,000.00	\$3,264.25	\$0.00	\$3,264.25		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	AMERICAN NEW WORLD FND CL F2-NFS SELL 50 Units @66.3700	3,333.70	19.99	18.00	1.99	0.0	0.00
08/02/2018	***-**-311O - JOSEPH, ANN ACCOUNT #: LSR012337	CIION ARES DIVERSIFIED CREDIT C BUY 331 Units @25.6600	8,500.00	103.00	18.00	85.00	0.0	0.00
08/02/2018	***-**-441O - DISCHIAVI, FBO ACCOUNT #: LSR011060	GRIFFIN CAPITAL ESS ASSET II TRAILS SELL 2016 Units @9.6100	19,370.59	0.00	18.00	-18.00	100.0	-18.00
07/31/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	HARBOR CAPITAL APPRECIAT INSTL SELL 47 Units @77.8700	3,648.99	19.99	18.00	1.99	0.0	0.00
07/23/2018	***-**-061N - SATTERLY, ACCOUNT #: 08191336006	MFS 08191336006 BUY 3 Units @31.8900	100.00	1.00	0.00	1.00	0.0	0.00
07/30/2018	***-**-131N - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MARKETS EQUITY FUND-B BUY 2 Units @31.9900	50.00	2.00	0.00	2.00	0.0	0.00
07/30/2018	***-**-131N - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MKT DEBT CL B BUY 4 Units @14.2700	50.00	2.00	0.00	2.00	0.0	0.00
07/20/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS GLOBAL TOTAL RETURN FUND-B BUY 3 Units @18.0500	50.00	2.00	0.00	2.00	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/25/2018	***-**-094I - KATRENA L	MFS GLOBAL TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191140345	BUY 3 Units @18.1500						
07/20/2018	***-**-7617 - ESCHE, BEVERLY	MFS INTERNATIONAL NEW DISCOVERY FUN	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 1 Units @34.2500						
07/30/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL VALUE FUND-B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 08191178476	BUY 2 Units @41.6600						
07/20/2018	***-**-06IN - SATTERLY,	MFS TECHNOLOGY FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191173302	BUY 1 Units @38.8900						
08/01/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	50.01	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @37.6300						
07/30/2018	***-**-13IN - RHYMESTINE,	MFS TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191178476	BUY 3 Units @18.9800						
07/20/2018	***-**-7617 - ESCHE, BEVERLY	MFS UTILITIES FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 2 Units @20.6000						
08/01/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: LSR011483	BUY 5 Units @20.6400						
07/17/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						
07/23/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	90.98	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
07/31/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$35,844.27	\$172.39	\$72.00	\$100.39		\$-18.00
PRODUCT CATEGORY: STOCKS								
08/02/2018	***-**-065I - LAZIO, THOMAS	CONOCOPHILLIPS UNSOLICITED ORDER	3,578.00	67.49	16.00	51.49	0.0	0.00
	ACCOUNT #: LSR010979	SELL 50 Units @71.5600						
06/28/2018	***-**-960J - MASTRANGELO,	STEIN MART INC COM	3,660.00	77.49	18.00	59.49	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1500 Units @2.4400						
06/28/2018	***-**-960J - MASTRANGELO,	STEIN MART INC COM	-3,660.00	-1,012.35	-18.00	-994.35	0.0	0.00
	ACCOUNT #: LSR010966	CANCEL 1500 Units @2.4400						
* STOCKS SUBTOTAL *			\$3,578.00	\$-867.37	\$16.00	\$-883.37		\$0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
08/01/2018	***-**-8126 - MCCARTHY, BRIANN	PACIFIC LIFE SE5-NYB DTCC	35.92	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: VP71028570	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$35.92	\$1.08	\$0.00	\$1.08		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/06/2018	***-**-48IO - CLARK, PATRICK	MAINSTAY CORNERSTONE GROWTH FD INV	0.00	3.52	0.00	3.52	0.0	0.00
	ACCOUNT #: 00079955487	BUY 0 Units @0.0000						
07/06/2018	***-**-48IO - CLARK, PATRICK	MAINSTAY HGH YLD CRP BD INVESTOR CL	0.00	3.49	0.00	3.49	0.0	0.00
	ACCOUNT #: 00079924809	BUY 0 Units @0.0000						
07/06/2018	***-**-48IO - CLARK, PATRICK	MAINSTAY MF TRAILS 56064B811	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: 00079955487	BUY 0 Units @0.0000						
08/06/2018	***-**-944O - MAYO, DEBORAH	NEW YORK 529 TRAILS	0.00	7.57	0.00	7.57	0.0	0.00
	ACCOUNT #: 77002217601	BUY 0 Units @0.0000						
08/06/2018	***-**-80IN - COBB, JAMES	NEW YORK 529 TRAILS	0.00	30.83	0.00	30.83	0.0	0.00
	ACCOUNT #: 77169961901	BUY 0 Units @0.0000						
08/06/2018	***-**-665P - MCCLUSKY, YVONNE	NEW YORK 529 TRAILS	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: 77237884701	BUY 0 Units @0.0000						
08/06/2018	***-**-0069 - KRECIDLO,	NEW YORK 529 TRAILS	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: 77308070501	BUY 0 Units @0.0000						
08/06/2018	***-**-614J - BUSHEY, MATTHEW	NEW YORK 529 TRAILS	0.00	3.22	0.00	3.22	0.0	0.00
		BUY 0 Units @0.0000						
08/06/2018	***-**-5384 - GURDO, JESSICA	NEW YORK 529 TRAILS	0.00	0.49	0.00	0.49	0.0	0.00
		BUY 0 Units @0.0000						
08/06/2018	***-**-0767 - DOVI,	NEW YORK 529 TRAILS	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: 77579193101	BUY 0 Units @0.0000						
08/06/2018	***-**-251I - SCALISE, MARY	NEW YORK 529 TRAILS	0.00	35.68	0.00	35.68	0.0	0.00
	ACCOUNT #: 77691153101	BUY 0 Units @0.0000						
08/06/2018	***-**-635P - LASCALA, DEBORAH	NEW YORK 529 TRAILS	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: 77699193301	BUY 0 Units @0.0000						
08/06/2018	***-**-065P - CAMPBELL, PAMELA	NEW YORK 529 TRAILS	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: 77830931201	BUY 0 Units @0.0000						
08/06/2018	***-**-473J - HUSTON,	NEW YORK 529 TRAILS	0.00	15.40	0.00	15.40	0.0	0.00
	ACCOUNT #: 77852732401	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
08/06/2018	***--20IR - DEMETRI, AMELIA	NEW YORK 529 TRAILS	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: 77854436501	BUY 0 Units @0.0000						
08/06/2018	***--205P - RHYMESTINE, ERIC	NEW YORK 529 TRAILS	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: 77885699801	BUY 0 Units @0.0000						
/ /	***--901I - SATTERLY, ANN	RESOURCE REAL ESTATE-NFS	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
/ /	***--31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	5.86	0.00	5.86	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***--72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	3.89	0.00	3.89	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***--930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	12.93	0.00	12.93	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***--628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
/ /	***--15IN - PENDRAK, JOHN	RESOURCE REAL ESTATE-NFS	0.00	6.34	0.00	6.34	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***--567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	6.45	0.00	6.45	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***--51IO - CAIN, TIMOTHY	RESOURCE REAL ESTATE-NFS	0.00	6.30	0.00	6.30	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***--761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	6.77	0.00	6.77	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***--63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	11.64	0.00	11.64	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***--44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE-NFS	0.00	25.28	0.00	25.28	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***--579I - RHYMESTINE,	RESOURCE REAL ESTATE-NFS	0.00	6.34	0.00	6.34	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***--861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	7.75	0.00	7.75	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***--09IO - BATES, FBO MRS	RESOURCE REAL ESTATE-NFS	0.00	6.03	0.00	6.03	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	14.52	0.00	14.52	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-664J - PFAFF, GEORGE	RESOURCE REAL ESTATE-NFS	0.00	4.73	0.00	4.73	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	6.44	0.00	6.44	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
/ /	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	6.46	0.00	6.46	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	RESOURCE REAL ESTATE-NFS	0.00	3.16	0.00	3.16	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	6.44	0.00	6.44	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	RESOURCE REAL ESTATE-NFS	0.00	2.81	0.00	2.81	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-448X - ANACKER, SUSAN	RESOURCE REAL ESTATE-NFS	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	3.25	0.00	3.25	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	6.41	0.00	6.41	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	12.69	0.00	12.69	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
/ /	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	5.10	0.00	5.10	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
/ /	***-**-901I - SATTERLY, ANN	RESOURCE REAL ESTATE-NFS	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	1.95	0.00	1.95	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	4.31	0.00	4.31	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	2.15	0.00	2.15	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	RESOURCE REAL ESTATE-NFS	0.00	2.10	0.00	2.10	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	2.26	0.00	2.26	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	3.88	0.00	3.88	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE-NFS	0.00	8.43	0.00	8.43	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	2.58	0.00	2.58	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	RESOURCE REAL ESTATE-NFS	0.00	2.01	0.00	2.01	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	4.84	0.00	4.84	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-664J - PFAFF, GEORGE	RESOURCE REAL ESTATE-NFS	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	2.15	0.00	2.15	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
/ /	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	2.15	0.00	2.15	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	RESOURCE REAL ESTATE-NFS	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	2.15	0.00	2.15	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-14IO - BUSHEY, MATTHEW	RESOURCE REAL ESTATE-NFS	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-448X - ANACKER, SUSAN	RESOURCE REAL ESTATE-NFS	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	4.23	0.00	4.23	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
/ /	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$357.66	\$0.00	\$357.66		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	***-**-89IN - LEE, ROGER	JACKSON 468511480	0.00	24.31	0.00	24.31	0.0	0.00
	ACCOUNT #: 1200175188	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$24.31	\$0.00	\$24.31		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$105,458.19	\$2,952.32	\$88.00	\$2,864.32		\$-18.00



BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$-18.00
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$-324.47
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$-342.47
					Year-to-date Earnings			\$0.00