

## COMMISSION STATEMENT

September 29, 2017

ABRAHAM HELLER  
30 WOODSHIRE TERRACEBROKER #: 1  
BRANCH: HOME OFFICE

TOWACO, NJ 07082

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/31/2017	75500548	MARK WACHTEL TTEE	BECTON DICKINSON & CO	B	9,902.00	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500548	MARK WACHTEL TTEE	HORMEL FOODS CORP	S	6,164.68	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500548	MARK WACHTEL TTEE	MASTERCARD INCORPORATED	B	10,687.99	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500548	MARK WACHTEL TTEE	VISA INC CL A COMMON STOCK	B	10,332.98	28.00	7.00	21.00	80.0	15.40
09/07/2017	75500548	MARK WACHTEL TTEE	PENTAIR PLC SHS	S	9,222.00	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500548	MARK WACHTEL TTEE	SILICON LABORATORIES INC	B	15,700.00	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500551	JOSEPH, ALAN	BECTON DICKINSON & CO	B	9,904.50	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500551	JOSEPH, ALAN	CONSTELLATION BRANDS INC CL A	B	9,997.38	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500551	JOSEPH, ALAN	HORMEL FOODS CORP	S	6,164.68	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500551	JOSEPH, ALAN	ROLLINS INC	B	8,790.64	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500551	JOSEPH, ALAN	SILICON LABORATORIES INC	B	15,700.00	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500552	WACHTEL, MARK	BECTON DICKINSON & CO	B	4,945.25	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500552	WACHTEL, MARK	VISA INC CL A COMMON STOCK	B	4,648.05	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500552	WACHTEL, MARK	MACQUARIE INFRASTRUCTURE CORPORATIO	S	7,345.00	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500552	WACHTEL, MARK	SILICON LABORATORIES INC	B	15,700.00	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500552	WACHTEL, MARK	SPECTRUM BRANDS HOLDINGS INC	S	10,325.00	28.00	7.00	21.00	80.0	15.40
08/30/2017	75500554	JOSEPH, BRUCE	GARTNER INC	B	30,025.75	28.00	7.00	21.00	80.0	15.40
08/30/2017	75500554	JOSEPH, BRUCE	SHERWIN WILLIAMS COMPANY	B	33,525.99	28.00	7.00	21.00	80.0	15.40
09/05/2017	75500554	JOSEPH, BRUCE	GARTNER INC	B	18,115.50	30.00	7.00	23.00	80.0	17.00
09/05/2017	75500554	JOSEPH, BRUCE	HELEN OF TROY LTD-NEW BERMUDA	S	18,185.00	30.00	7.00	23.00	80.0	17.00
09/06/2017	75500554	JOSEPH, BRUCE	VERISK ANALYTICS INC COM	B	32,383.96	30.00	7.00	23.00	80.0	17.00
09/07/2017	75500554	JOSEPH, BRUCE	INC RESEARCH HOLDINGS INC CLASS A C S	B	43,280.00	30.00	7.00	23.00	80.0	17.00
09/07/2017	75500554	JOSEPH, BRUCE	S&P GLOBAL INC COM	B	30,388.00	30.00	7.00	23.00	80.0	17.00
09/08/2017	75500554	JOSEPH, BRUCE	EQUIFAX INC	B	49,296.00	30.00	7.00	23.00	80.0	17.00
09/11/2017	75500554	JOSEPH, BRUCE	EQUIFAX INC	B	22,540.00	30.00	7.00	23.00	80.0	17.00
09/14/2017	75500554	JOSEPH, BRUCE	KLA-TENCOR CORP COM	B	29,205.00	30.00	7.00	23.00	80.0	17.00
09/15/2017	75500554	JOSEPH, BRUCE	STRYKER CORP	S	72,150.00	30.00	7.00	23.00	80.0	17.00
09/18/2017	75500554	JOSEPH, BRUCE	KLA-TENCOR CORP COM	B	20,102.00	30.00	7.00	23.00	80.0	17.00
09/22/2017	75500554	JOSEPH, BRUCE	BEST BUY CO INC (MN)	S	32,310.00	30.00	7.00	23.00	80.0	17.00
09/22/2017	75500554	JOSEPH, BRUCE	CARNIVAL CORP COMMON PAIRED STOCK	S	32,050.55	30.00	7.00	23.00	80.0	17.00
09/25/2017	75500554	JOSEPH, BRUCE	PINNACLE WEST CAP CORP COM	S	34,426.00	30.00	7.00	23.00	80.0	17.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/25/2017	75500554 JOSEPH, BRUCE	SONY CORPORATION ADR	S	29,843.36	30.00	7.00	23.00	80.0	17.00
09/26/2017	75500554 JOSEPH, BRUCE	SILICON LABORATORIES INC	B	39,250.00	30.00	7.00	23.00	80.0	17.00
09/26/2017	75500554 JOSEPH, BRUCE	SILICON LABORATORIES INC	B	15,670.00	30.00	7.00	23.00	80.0	17.00
08/31/2017	75500573 BRED, JEFFREY	BECTON DICKINSON & CO	B	9,893.00	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500573 BRED, JEFFREY	HORMEL FOODS CORP	S	3,081.34	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500573 BRED, JEFFREY	MASTERCARD INCORPORATED	B	8,014.19	28.00	7.00	21.00	80.0	15.40
08/31/2017	75500573 BRED, JEFFREY	VISA INC CL A COMMON STOCK	B	8,264.80	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500573 BRED, JEFFREY	MACQUARIE INFRASTRUCTURE CORPORATIO S	S	7,345.00	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500573 BRED, JEFFREY	SILICON LABORATORIES INC	B	15,700.00	28.00	7.00	21.00	80.0	15.40
09/26/2017	75500573 BRED, JEFFREY	SPECTRUM BRANDS HOLDINGS INC	S	10,325.00	28.00	7.00	21.00	80.0	15.40
08/30/2017	QGW001463 MERKIN VENTURE	TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	20,218.49	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-10,654.71	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	31,956.41	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-13,881.20	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-32,041.79	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	48,486.48	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	S	-83,417.48	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW001463 MERKIN VENTURE	EQUIFAX INC	B	46,734.04	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-17,466.06	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW001463 MERKIN VENTURE	EQUIFAX INC	B	45,684.58	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW001463 MERKIN VENTURE	EQUIFAX INC	S	-77,776.02	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-35,831.40	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	B	63,921.96	36.00	7.00	29.00	80.0	21.80
09/15/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	127,500.00	50.00	7.00	43.00	80.0	33.00
09/18/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	S	-134,697.00	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW001463 MERKIN VENTURE	FACEBOOK INC CL A	S	-10,212.00	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW001463 MERKIN VENTURE	SOCIAL CAP HEDOSOPHIA HLDGS CORP UN B	B	10,605.90	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	125,961.00	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW001463 MERKIN VENTURE	FACEBOOK INC CL A	S	-24,095.40	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-38,242.49	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	S	-22,000.94	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW001463 MERKIN VENTURE	AMAZON.COM INC	S	-17,438.40	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW001463 MERKIN VENTURE	SOCIAL CAP HEDOSOPHIA HLDGS CORP UN S	S	-10,540.00	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC	S	-6,636.44	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW001463 MERKIN VENTURE	ALLERGAN PLC	B	29,628.84	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW001463 MERKIN VENTURE	RYB EDUCATION INC SHS AMERICAN DEPO B	B	15,945.54	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW001471 MERKIN, JONATHAN	ALLERGAN PLC	B	12,669.39	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW001471 MERKIN, JONATHAN	FAIRMOUNT SANTROL HLDGS INC COM	S	-4,123.84	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW001471 MERKIN, JONATHAN	FAIRMOUNT SANTROL HLDGS INC COM	S	-4,291.28	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW001471 MERKIN, JONATHAN	BITCOIN INVT TR SHS	B	4,736.19	32.00	7.00	25.00	80.0	18.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/01/2017	QGW001471	MERKIN, JONATHAN							
		BITCOIN INVT TR SHS	S	-5,371.99	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW001471	MERKIN, JONATHAN							
		NUTANIX INC CL A	S	-6,502.50	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW001471	MERKIN, JONATHAN							
		NUTANIX INC CL A	B	6,138.87	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	15,640.80	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	S	-48,368.12	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW001471	MERKIN, JONATHAN							
		EQUIFAX INC	B	11,683.51	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	49,370.97	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW001471	MERKIN, JONATHAN							
		EQUIFAX INC	S	-9,259.05	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	28,833.99	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	B	25,649.81	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW001471	MERKIN, JONATHAN							
		DDR CORP COM	S	-9,380.10	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW001471	MERKIN, JONATHAN							
		U S SILICA HOLDINGS INC	S	-44,325.20	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW001471	MERKIN, JONATHAN							
		ALLERGAN PLC	S	-5,703.95	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019598	MERKIN, SOLOMON							
		NUTANIX INC CL A	S	-14,197.62	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019598	MERKIN, SOLOMON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT B		12,175.40	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019598	MERKIN, SOLOMON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT B		7,461.56	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	S	-8,375.20	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019598	MERKIN, SOLOMON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT B		4,145.10	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	12,659.63	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019598	MERKIN, SOLOMON							
		EQUIFAX INC	B	41,009.12	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019598	MERKIN, SOLOMON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT S		-24,279.26	33.00	7.00	26.00	80.0	19.40
09/12/2017	QGW019598	MERKIN, SOLOMON							
		EQUIFAX INC	S	-23,208.00	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	11,690.73	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019598	MERKIN, SOLOMON							
		EQUIFAX INC	B	47,761.16	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019598	MERKIN, SOLOMON							
		EQUIFAX INC	S	-56,572.80	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019598	MERKIN, SOLOMON							
		INTERCEPT PHARMACEUTICALS INC COM	B	46,020.64	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	4,241.79	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	B	127,500.00	50.00	7.00	43.00	80.0	33.00
09/15/2017	QGW019598	MERKIN, SOLOMON							
		INTERCEPT PHARMACEUTICALS INC COM	S	-50,056.91	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	S	-25,200.00	50.00	7.00	43.00	80.0	33.00
09/18/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	S	-135,300.00	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	16,223.78	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019598	MERKIN, SOLOMON							
		ALLERGAN PLC	B	66,521.16	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	S	-26,289.28	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019598	MERKIN, SOLOMON							
		INTERCEPT PHARMACEUTICALS INC COM	B	27,774.38	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019598	MERKIN, SOLOMON							
		NEWLINK GENETICS CORP	B	7,185.15	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019598	MERKIN, SOLOMON							
		U S SILICA HOLDINGS INC	S	-39,107.52	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019598	MERKIN, SOLOMON							
		INTERCEPT PHARMACEUTICALS INC COM	S	-24,531.32	32.00	7.00	25.00	80.0	18.60
08/29/2017	QGW019606	MERKIN FAMILY TRUST,							
		CITIGROUP GLOBAL MKTS HLDGS INC LEV B		16,828.87	34.00	7.00	27.00	80.0	20.20
08/29/2017	QGW019606	MERKIN FAMILY TRUST,							
		PIONEER NATURAL RESOURCES COMPANY	B	25,219.52	32.00	7.00	25.00	80.0	18.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/30/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV B		2,590.49	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN B		22,761.02	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019606	MERKIN FAMILY TRUST, REDFIN CORP COM	B	25,421.44	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-10,984.24	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-14,208.77	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST, PIONEER NATURAL RESOURCES COMPANY	S	-26,060.00	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	S	-6,082.22	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST, REDFIN CORP COM	B	17,053.70	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-22,383.31	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	B	19,734.11	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	55,470.52	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-41,528.04	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST, REDFIN CORP COM	S	-18,097.30	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	B	55,403.19	36.00	7.00	29.00	80.0	21.80
09/05/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-7,250.50	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN B		20,026.23	34.00	7.00	27.00	80.0	20.20
09/05/2017	QGW019606	MERKIN FAMILY TRUST, REDFIN CORP COM	S	-26,707.81	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	B	10,589.85	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC VEL B		15,480.04	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-28,522.93	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	B	35,304.15	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	B	19,954.89	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-7,016.00	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC VEL B		7,652.16	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-27,495.00	34.00	7.00	27.00	80.0	20.20
09/07/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	B	8,129.58	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	B	31,510.44	34.00	7.00	27.00	80.0	20.20
09/08/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV B		11,145.97	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC VEL S		-23,747.32	34.00	7.00	27.00	80.0	20.20
09/08/2017	QGW019606	MERKIN FAMILY TRUST, EQUIFAX INC	S	-46,945.20	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, EQUIFAX INC	B	46,054.52	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	14,293.13	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	26,217.36	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, PROSHARES TRUST II PROSHARES ULTRA	B	5,175.90	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	43,125.30	36.00	7.00	29.00	80.0	21.80
09/11/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	S	-34,039.05	34.00	7.00	27.00	80.0	20.20
09/11/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	S	-35,128.17	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	B	14,344.00	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-5,996.16	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC LEV S		-5,552.48	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/12/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	S	-44,490.33	34.00	7.00	27.00	80.0	20.20
09/12/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	B	9,477.70	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN S	S	-42,719.00	48.00	7.00	41.00	80.0	31.40
09/12/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	B	40,254.61	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	11,706.47	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019606	MERKIN FAMILY TRUST, ACACIA COMMUNICATIONS INC COM	S	-22,199.50	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019606	MERKIN FAMILY TRUST, EQUIFAX INC	B	55,029.16	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019606	MERKIN FAMILY TRUST, HI-CRUSH PARTNERS LP COM UNIT PARTN S	S	-28,193.89	36.00	7.00	29.00	80.0	21.80
09/13/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	B	17,990.46	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	S	-23,799.51	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019606	MERKIN FAMILY TRUST, EQUIFAX INC	S	-49,072.97	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	4,241.79	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019606	MERKIN FAMILY TRUST, PIONEER NATURAL RESOURCES COMPANY	B	32,988.00	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	90,749.56	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC VEL B	B	8,344.85	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	B	39,210.78	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	B	8,400.00	50.00	7.00	43.00	80.0	33.00
09/15/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-28,000.00	50.00	7.00	43.00	80.0	33.00
09/15/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	B	35,912.66	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	88,719.96	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-92,042.95	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, CITIGROUP GLOBAL MKTS HLDGS INC VEL S	S	-8,626.25	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	S	-32,705.40	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	15,101.92	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	B	40,479.88	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	71,621.74	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	108,962.80	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	S	-66,809.54	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	6,780.00	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, TWITTER INC	S	-10,650.00	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019606	MERKIN FAMILY TRUST, PIONEER NATURAL RESOURCES COMPANY	S	-33,828.00	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-47,416.67	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-18,477.14	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-26,512.25	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	B	23,574.99	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW019606	MERKIN FAMILY TRUST, NUTANIX INC CL A	S	-9,822.00	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-32,060.10	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	S	-83,087.30	34.00	7.00	27.00	80.0	20.20
09/25/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-39,045.60	34.00	7.00	27.00	80.0	20.20
09/26/2017	QGW019606	MERKIN FAMILY TRUST, ALLERGAN PLC	B	105,817.30	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/26/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	S	-10,290.00	34.00	7.00	27.00	80.0	20.20
09/26/2017	QGW019606	MERKIN FAMILY TRUST, NEWLINK GENETICS CORP	B	6,538.00	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019606	MERKIN FAMILY TRUST, TWILIO INC CL A	B	13,564.22	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019606	MERKIN FAMILY TRUST, U S SILICA HOLDINGS INC	S	-17,261.47	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019606	MERKIN FAMILY TRUST, BITCOIN INVT TR SHS	S	-12,287.00	34.00	7.00	27.00	80.0	20.20
09/27/2017	QGW019606	MERKIN FAMILY TRUST, FAIRMOUNT SANTROL HLDGS INC COM	B	9,039.03	34.00	7.00	27.00	80.0	20.20
09/27/2017	QGW019606	MERKIN FAMILY TRUST, INTERCEPT PHARMACEUTICALS INC COM	S	-19,513.55	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019606	MERKIN FAMILY TRUST, IZEA INC COM PAR \$0.0001 SHS	B	3,111.78	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019606	MERKIN FAMILY TRUST, RYB EDUCATION INC SHS AMERICAN DEPO B	B	21,260.72	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019614	MENDES, DINAH	B	4,730.60	32.00	7.00	25.00	80.0	18.60
08/29/2017	QGW019622	MERKIN, SHARON	B	31,586.85	32.00	7.00	25.00	80.0	18.60
08/29/2017	QGW019622	MERKIN, SHARON	S	-25,268.95	32.00	7.00	25.00	80.0	18.60
08/29/2017	QGW019622	MERKIN, SHARON	B	1,104.00	32.00	7.00	25.00	80.0	18.60
08/29/2017	QGW019622	MERKIN, SHARON	B	30,631.23	34.00	7.00	27.00	80.0	20.20
08/30/2017	QGW019622	MERKIN, SHARON	B	21,378.60	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019622	MERKIN, SHARON	B	1,260.00	56.00	7.00	49.00	80.0	37.80
08/30/2017	QGW019622	MERKIN, SHARON	S	-41,580.09	141.16	7.00	134.16	80.0	105.93
08/30/2017	QGW019622	MERKIN, SHARON	B	40,235.21	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON	B	1,045.95	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON	S	-4,058.32	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON	B	34,139.83	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON	S	-16,987.50	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON	S	-11,485.00	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019622	MERKIN, SHARON	B	56,151.98	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019622	MERKIN, SHARON	B	84,000.00	45.00	7.00	38.00	80.0	29.00
09/01/2017	QGW019622	MERKIN, SHARON	B	19,868.76	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019622	MERKIN, SHARON	B	880.00	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019622	MERKIN, SHARON	S	-22,361.25	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019622	MERKIN, SHARON	S	-17,767.94	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON	B	16,726.39	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON	B	24,825.90	34.00	7.00	27.00	80.0	20.20
09/05/2017	QGW019622	MERKIN, SHARON	B	57,296.12	34.00	7.00	27.00	80.0	20.20
09/05/2017	QGW019622	MERKIN, SHARON	S	-23,842.50	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON	S	-42,580.86	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON	S	-39,488.12	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019622	MERKIN, SHARON	B	71,500.80	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019622	MERKIN, SHARON	S	-14,991.92	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019622	MERKIN, SHARON	B	2,457.21	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019622	MERKIN, SHARON	S	-53,967.87	33.00	7.00	26.00	80.0	19.40
09/06/2017	QGW019622	MERKIN, SHARON	B	21,769.07	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/07/2017	QGW019622	MERKIN, SHARON							
		ACACIA COMMUNICATIONS INC COM	B	55,861.00	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019622	MERKIN, SHARON							
		ALLERGAN PLC	S	-45,554.00	32.00	7.00	25.00	80.0	18.60
09/07/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	B	9,323.05	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		ALLERGAN PLC	S	-178,985.40	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT B		8,553.07	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		DIGATRADE FINL CORP CL A ISIN#CA253 B		2,152.48	34.00	7.00	27.00	80.0	20.20
09/08/2017	QGW019622	MERKIN, SHARON							
		EQUIFAX INC	B	39,910.26	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		HI-CRUSH PARTNERS LP COM UNIT PARTN B		10,887.11	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		NEWLINK GENETICS CORP	B	14,293.13	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	B	28,358.44	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON							
		PIONEER NATURAL RESOURCES COMPANY B		34,659.17	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT S		-4,412.86	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT S		-2,579.66	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019622	MERKIN, SHARON							
		CREDIT SUISSE AG NASSAU BRH VELOCIT S		-1,769.30	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	S	-12,129.48	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	S	-16,492.88	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019622	MERKIN, SHARON							
		APPLE INC	S	-66,981.57	34.00	7.00	27.00	80.0	20.20
09/12/2017	QGW019622	MERKIN, SHARON							
		APPLE INC	B	66,095.83	34.00	7.00	27.00	80.0	20.20
09/12/2017	QGW019622	MERKIN, SHARON							
		INTERCEPT PHARMACEUTICALS INC COM	S	-81,482.53	36.00	7.00	29.00	80.0	21.80
09/12/2017	QGW019622	MERKIN, SHARON							
		INTERCEPT PHARMACEUTICALS INC COM	B	79,301.39	36.00	7.00	29.00	80.0	21.80
09/12/2017	QGW019622	MERKIN, SHARON							
		INTERCEPT PHARMACEUTICALS INC COM	B	40,254.61	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019622	MERKIN, SHARON							
		NEWLINK GENETICS CORP	B	11,706.47	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-23,288.08	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		ACACIA COMMUNICATIONS INC COM	S	-23,082.40	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		APPLIED OPTOELECTRONICS INC COM	S	-27,928.35	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		APPLIED OPTOELECTRONICS INC COM	B	27,102.14	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		BITCOIN INVT TR SHS	B	5,349.00	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		EMERGE ENERGY SVCS LP COM UNIT REPS S		-43,884.72	54.00	7.00	47.00	80.0	36.20
09/13/2017	QGW019622	MERKIN, SHARON							
		EQUIFAX INC	B	69,565.16	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		HI-CRUSH PARTNERS LP COM UNIT PARTN S		-42,277.27	46.00	7.00	39.00	80.0	29.80
09/13/2017	QGW019622	MERKIN, SHARON							
		INTERCEPT PHARMACEUTICALS INC COM	B	17,990.46	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	S	-36,114.00	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON							
		ACACIA COMMUNICATIONS INC COM	B	21,331.39	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON							
		APPLIED OPTOELECTRONICS INC COM	B	52,256.92	34.00	7.00	27.00	80.0	20.20
09/14/2017	QGW019622	MERKIN, SHARON							
		BITCOIN INVT TR SHS	B	21,894.80	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON							
		DIGATRADE FINL CORP CL A ISIN#CA253 B		3,124.80	36.00	7.00	29.00	80.0	21.80
09/14/2017	QGW019622	MERKIN, SHARON							
		EMERGE ENERGY SVCS LP COM UNIT REPS S		-10,211.47	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON							
		EMERGE ENERGY SVCS LP COM UNIT REPS B		5,273.83	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON							
		EQUIFAX INC	S	-92,127.55	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON							
		INTERCEPT PHARMACEUTICALS INC COM	B	49,407.07	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/14/2017	QGW019622	MERKIN, SHARON		NEWLINK GENETICS CORP	B	4,255.05	32.00	7.00	25.00 80.0 18.60
09/14/2017	QGW019622	MERKIN, SHARON		PIONEER NATURAL RESOURCES COMPANY	B	43,296.75	32.00	7.00	25.00 80.0 18.60
09/15/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B	255,000.00	50.00	7.00	43.00 80.0 33.00
09/15/2017	QGW019622	MERKIN, SHARON		APPLIED OPTOELECTRONICS INC COM	S	-18,395.01	32.00	7.00	25.00 80.0 18.60
09/15/2017	QGW019622	MERKIN, SHARON		EMERGE ENERGY SVCS LP COM UNIT REPS	B	5,439.00	32.00	7.00	25.00 80.0 18.60
09/15/2017	QGW019622	MERKIN, SHARON		INTERCEPT PHARMACEUTICALS INC COM	S	-34,945.39	32.00	7.00	25.00 80.0 18.60
09/15/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	B	64,000.00	50.00	7.00	43.00 80.0 33.00
09/18/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	S	-269,394.00	32.00	7.00	25.00 80.0 18.60
09/18/2017	QGW019622	MERKIN, SHARON		APPLIED OPTOELECTRONICS INC COM	S	-36,256.02	32.00	7.00	25.00 80.0 18.60
09/18/2017	QGW019622	MERKIN, SHARON		BITCOIN INVT TR SHS	B	9,685.00	32.00	7.00	25.00 80.0 18.60
09/18/2017	QGW019622	MERKIN, SHARON		BITCOIN INVT TR SHS	S	-31,224.21	34.00	7.00	27.00 80.0 20.20
09/18/2017	QGW019622	MERKIN, SHARON		INTERCEPT PHARMACEUTICALS INC COM	S	-48,668.75	32.00	7.00	25.00 80.0 18.60
09/18/2017	QGW019622	MERKIN, SHARON		NEWLINK GENETICS CORP	B	15,101.92	32.00	7.00	25.00 80.0 18.60
09/18/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	S	-49,415.08	34.00	7.00	27.00 80.0 20.20
09/19/2017	QGW019622	MERKIN, SHARON		ACACIA COMMUNICATIONS INC COM	B	48,279.46	34.00	7.00	27.00 80.0 20.20
09/19/2017	QGW019622	MERKIN, SHARON		ACACIA COMMUNICATIONS INC COM	S	-67,540.67	36.00	7.00	29.00 80.0 21.80
09/19/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B	165,841.38	32.00	7.00	25.00 80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		BITCOIN INVT TR SHS	B	5,108.39	32.00	7.00	25.00 80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		INTERCEPT PHARMACEUTICALS INC COM	S	-28,918.99	32.00	7.00	25.00 80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	B	30,153.58	34.00	7.00	27.00 80.0 20.20
09/19/2017	QGW019622	MERKIN, SHARON		PIONEER NATURAL RESOURCES COMPANY	S	-30,142.20	32.00	7.00	25.00 80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		TWILIO INC CL A	S	-48,916.82	34.00	7.00	27.00 80.0 20.20
09/20/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B	50,123.61	34.00	7.00	27.00 80.0 20.20
09/20/2017	QGW019622	MERKIN, SHARON		BITCOIN INVT TR SHS	S	-17,972.05	34.00	7.00	27.00 80.0 20.20
09/20/2017	QGW019622	MERKIN, SHARON		EMERGE ENERGY SVCS LP COM UNIT REPS	S	-6,420.31	32.00	7.00	25.00 80.0 18.60
09/20/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	S	-37,863.86	32.00	7.00	25.00 80.0 18.60
09/20/2017	QGW019622	MERKIN, SHARON		PIONEER NATURAL RESOURCES COMPANY	S	-22,953.15	32.00	7.00	25.00 80.0 18.60
09/20/2017	QGW019622	MERKIN, SHARON		U S SILICA HOLDINGS INC	S	-122,406.09	40.00	7.00	33.00 80.0 25.00
09/21/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B	61,110.00	32.00	7.00	25.00 80.0 18.60
09/21/2017	QGW019622	MERKIN, SHARON		BITCOIN INVT TR SHS	B	7,860.00	32.00	7.00	25.00 80.0 18.60
09/22/2017	QGW019622	MERKIN, SHARON		INTERCEPT PHARMACEUTICALS INC COM	B	23,574.99	32.00	7.00	25.00 80.0 18.60
09/22/2017	QGW019622	MERKIN, SHARON		NUTANIX INC CL A	S	-6,138.75	32.00	7.00	25.00 80.0 18.60
09/22/2017	QGW019622	MERKIN, SHARON		PIONEER NATURAL RESOURCES COMPANY	S	-53,200.00	50.00	7.00	43.00 80.0 33.00
09/25/2017	QGW019622	MERKIN, SHARON		ACACIA COMMUNICATIONS INC COM	B	44,355.67	36.00	7.00	29.00 80.0 21.80
09/25/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	B	151,653.63	34.00	7.00	27.00 80.0 20.20
09/25/2017	QGW019622	MERKIN, SHARON		ALLERGAN PLC	S	-78,494.10	34.00	7.00	27.00 80.0 20.20
09/25/2017	QGW019622	MERKIN, SHARON		BITCOIN INVT TR SHS	S	-7,844.00	32.00	7.00	25.00 80.0 18.60
09/25/2017	QGW019622	MERKIN, SHARON		DIGATRADE FINL CORP CL A ISIN#CA253	B	1,123.50	32.00	7.00	25.00 80.0 18.60
09/25/2017	QGW019622	MERKIN, SHARON		EMERGE ENERGY SVCS LP COM UNIT REPS	S	-6,828.01	32.00	7.00	25.00 80.0 18.60
09/25/2017	QGW019622	MERKIN, SHARON		INTERCEPT PHARMACEUTICALS INC COM	B	25,249.44	32.00	7.00	25.00 80.0 18.60



COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/25/2017	QGW019622	MERKIN, SHARON							
		NEWLINK GENETICS CORP	B	7,185.15	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	S	-11,016.00	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	B	27,256.50	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019622	MERKIN, SHARON							
		TWILIO INC CL A	B	26,647.02	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019622	MERKIN, SHARON							
		EMERGE ENERGY SVCS LP COM UNIT REPS	S	-6,307.65	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019622	MERKIN, SHARON							
		NUTANIX INC CL A	S	-20,010.79	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019622	MERKIN, SHARON							
		ACACIA COMMUNICATIONS INC COM	S	-135,269.86	36.00	7.00	29.00	80.0	21.80
09/27/2017	QGW019622	MERKIN, SHARON							
		EMERGE ENERGY SVCS LP COM UNIT REPS	B	28,299.48	36.00	7.00	29.00	80.0	21.80
09/27/2017	QGW019622	MERKIN, SHARON							
		IZEA INC COM PAR \$0.0001 SHS	B	4,469.04	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019622	MERKIN, SHARON							
		RYB EDUCATION INC SHS AMERICAN DEPO	B	21,260.72	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019622	MERKIN, SHARON							
		TWILIO INC CL A	B	34,691.69	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019622	MERKIN, SHARON							
		TWILIO INC CL A	S	-65,454.11	36.00	7.00	29.00	80.0	21.80
09/27/2017	QGW019622	MERKIN, SHARON							
		U S SILICA HOLDINGS INC	B	36,880.03	36.00	7.00	29.00	80.0	21.80
09/15/2017	QGW019630	MERKIN, JAMES							
		E L F BEAUTY INC COM	S	-9,231.04	36.00	7.00	29.00	80.0	21.80
09/14/2017	QGW019655	MERKIN, DAPHNE							
		ALLERGAN PLC	B	26,031.97	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019655	MERKIN, DAPHNE							
		FACEBOOK INC CL A	S	-8,169.60	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019655	MERKIN, DAPHNE							
		ALLERGAN PLC	B	13,947.24	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019655	MERKIN, DAPHNE							
		U S SILICA HOLDINGS INC	S	-5,429.74	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019663	MERKIN, DAVID							
		ALLERGAN PLC	B	25,807.55	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019663	MERKIN, DAVID							
		FACEBOOK INC CL A	S	-8,169.60	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019663	MERKIN, DAVID							
		ALLERGAN PLC	B	14,165.16	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019663	MERKIN, DAVID							
		U S SILICA HOLDINGS INC	S	-15,056.10	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019663	MERKIN, DAVID							
		ALLERGAN PLC	B	16,930.77	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019663	MERKIN, DAVID							
		U S SILICA HOLDINGS INC	S	-11,640.69	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019671	GERBER, DEBRA							
		ALLERGAN PLC	B	26,031.97	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019671	GERBER, DEBRA							
		ALLERGAN PLC	B	13,947.24	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019671	GERBER, DEBRA							
		U S SILICA HOLDINGS INC	S	-23,298.22	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019671	GERBER, DEBRA							
		ALLERGAN PLC	B	10,581.73	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	B	12,669.39	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	B	15,640.80	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	S	-48,368.12	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	B	49,370.97	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	S	-49,764.00	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	B	34,199.74	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019697	KIFFEL, ELI							
		U S SILICA HOLDINGS INC	S	-16,561.71	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW019697	KIFFEL, ELI							
		U S SILICA HOLDINGS INC	S	-3,847.21	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019697	KIFFEL, ELI							
		ALLERGAN PLC	B	10,581.73	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019697	KIFFEL, ELI							
		U S SILICA HOLDINGS INC	S	-5,986.64	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019713	MERKIN TRUST, SAMUEL FAIRMOUNT SANTROL HLDGS INC COM	S	-4,123.84	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019713	MERKIN TRUST, SAMUEL FAIRMOUNT SANTROL HLDGS INC COM	S	-4,291.28	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
09/11/2017	QGW019713	MERKIN TRUST, SAMUEL EQUIFAX INC	B	11,683.51	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019713	MERKIN TRUST, SAMUEL EQUIFAX INC	S	-9,259.05	32.00	7.00	25.00	80.0	18.60
09/15/2017	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC	B	44,268.08	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC	S	-44,899.00	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC	B	34,199.74	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019713	MERKIN TRUST, SAMUEL U S SILICA HOLDINGS INC	S	-28,004.35	32.00	7.00	25.00	80.0	18.60
09/22/2017	QGW019713	MERKIN TRUST, SAMUEL U S SILICA HOLDINGS INC	S	-8,015.03	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019713	MERKIN TRUST, SAMUEL ALLERGAN PLC	B	19,047.11	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019739	MERKIN TRUST, ESTHER INTERCEPT PHARMACEUTICALS INC COM	B	5,592.89	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019796	MERKIN TRUST, JENNY CARLYLE GROUP L P COM UNITS REPSTG	S	-13,543.00	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019796	MERKIN TRUST, JENNY ALLERGAN PLC	B	30,509.58	32.00	7.00	25.00	80.0	18.60
08/30/2017	QGW019812	GERBER TRUST, TEVA PHARMACEUTICAL INDUSTRIES LIM	B	1,693.86	32.00	7.00	25.00	80.0	18.60
08/29/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	B	162,970.00	55.00	7.00	48.00	80.0	37.00
08/29/2017	QGW019887	LIEBERMAN, ROBERT FACEBOOK INC CL A	S	-151,273.98	55.00	7.00	48.00	80.0	37.00
09/05/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	S	-490,119.90	120.00	7.00	113.00	80.0	89.00
09/05/2017	QGW019887	LIEBERMAN, ROBERT HOME DEPOT INC	B	152,189.60	55.00	7.00	48.00	80.0	37.00
09/05/2017	QGW019887	LIEBERMAN, ROBERT LOWES COMPANIES INC	B	150,400.00	75.00	7.00	68.00	80.0	53.00
09/06/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	S	-161,920.00	55.00	7.00	48.00	80.0	37.00
09/06/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	B	162,909.00	55.00	7.00	48.00	80.0	37.00
09/06/2017	QGW019887	LIEBERMAN, ROBERT HOME DEPOT INC	B	78,200.00	55.00	7.00	48.00	80.0	37.00
09/06/2017	QGW019887	LIEBERMAN, ROBERT LOWES COMPANIES INC	B	77,339.50	55.00	7.00	48.00	80.0	37.00
09/11/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	B	482,399.70	100.00	7.00	93.00	80.0	73.00
09/11/2017	QGW019887	LIEBERMAN, ROBERT HOME DEPOT INC	S	-234,795.00	65.00	7.00	58.00	80.0	45.00
09/11/2017	QGW019887	LIEBERMAN, ROBERT LOWES COMPANIES INC	S	-230,267.40	80.00	7.00	73.00	80.0	57.00
09/20/2017	QGW019887	LIEBERMAN, ROBERT APPLE INC	S	-463,830.30	110.00	7.00	103.00	80.0	81.00
09/20/2017	QGW019887	LIEBERMAN, ROBERT PROCTER & GAMBLE CO	B	188,776.80	75.00	7.00	68.00	80.0	53.00
09/01/2017	QGW028763	MERKIN, SOLOMON U S SILICA HOLDINGS INC	S	-8,412.01	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW028763	MERKIN, SOLOMON U S SILICA HOLDINGS INC	S	8,412.01	-32.00	-7.00	-25.00	80.0	-18.60 C
09/01/2017	QGW028763	MERKIN, SOLOMON U S SILICA HOLDINGS INC	S	-8,412.01	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW028763	MERKIN, SOLOMON HI-CRUSH PARTNERS LP COM UNIT PARTN	B	5,076.25	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW028763	MERKIN, SOLOMON HI-CRUSH PARTNERS LP COM UNIT PARTN	S	-5,665.75	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW028771	MERKIN, SOLOMON HI-CRUSH PARTNERS LP COM UNIT PARTN	S	-13,532.94	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW028771	MERKIN, SOLOMON HI-CRUSH PARTNERS LP COM UNIT PARTN	B	12,097.66	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW028771	MERKIN, SOLOMON HI-CRUSH PARTNERS LP COM UNIT PARTN	S	-30,134.90	38.00	7.00	31.00	80.0	23.40
09/14/2017	QGW028771	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC VEL	S	-22,002.50	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW028771	MERKIN, SOLOMON CITIGROUP GLOBAL MKTS HLDGS INC VEL	B	21,296.21	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW028771	MERKIN, SOLOMON NUTANIX INC CL A	B	11,578.80	32.00	7.00	25.00	80.0	18.60
* STOCKS SUBTOTAL *				\$987,956.55	\$13,252.16	\$2,702.00	\$10,550.16		\$7,899.73

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/11/2017	QGW001463	MERKIN VENTURE	CALL 100	TEVA PHARMACEUTICAL INDUST S	-366.00	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW001463	MERKIN VENTURE	CALL 100	ALLERGAN PLC EXP 09-15-17 B	7,493.28	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW019598	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 09-08-17 S	-5,670.00	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW019598	MERKIN, SOLOMON	CALL 100	U S SILICA HLDGS INC COM E S	-720.00	32.00	7.00	25.00	80.0 18.60
09/11/2017	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 09-15- S	-3,214.05	32.00	7.00	25.00	80.0 18.60
09/12/2017	QGW019598	MERKIN, SOLOMON	CALL 100	U S SILICA HLDGS INC COM E S	-800.00	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW019598	MERKIN, SOLOMON	CALL 100	ALLERGAN PLC EXP 09-15-17 B	7,493.28	32.00	7.00	25.00	80.0 18.60
09/25/2017	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-20- B	3,735.90	32.00	7.00	25.00	80.0 18.60
09/26/2017	QGW019598	MERKIN, SOLOMON	CALL 100	TWITTER INC COM EXP 10-20- B	3,096.00	32.00	7.00	25.00	80.0 18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-01-17 S	-17,525.97	32.00	7.00	25.00	80.0 18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	PIONEER NAT RES CO COM EXP S	-6,120.00	32.00	7.00	25.00	80.0 18.60
08/31/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-15- B	2,340.00	32.00	7.00	25.00	80.0 18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	U S SILICA HLDGS INC COM E S	-3,104.00	32.00	7.00	25.00	80.0 18.60
09/01/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	U S SILICA HLDGS INC COM E S	2,407.10	-32.00	-7.00	-25.00	80.0 -18.60 C
09/01/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	U S SILICA HLDGS INC COM E S	-900.00	32.00	7.00	25.00	80.0 18.60
09/05/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-15-17 B	5,877.52	32.00	7.00	25.00	80.0 18.60
09/05/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-22-17 B	7,620.00	34.00	7.00	27.00	80.0 20.20
09/05/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	NVIDIA CORP COM EXP 10-06- B	7,635.00	32.00	7.00	25.00	80.0 18.60
09/05/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-29- B	3,798.00	34.00	7.00	27.00	80.0 20.20
09/05/2017	QGW019606	MERKIN FAMILY TRUST,	PUT 100	APPLIED OPTOELECTRONICS INC S	-2,475.00	32.00	7.00	25.00	80.0 18.60
09/06/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWILIO INC CL A EXP 10-20- B	1,710.00	32.00	7.00	25.00	80.0 18.60
09/07/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-08-17 S	-7,280.00	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-15-17 S	-23,920.50	34.00	7.00	27.00	80.0 20.20
09/08/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-08-17 S	-16,470.00	36.00	7.00	29.00	80.0 21.80
09/11/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-22-17 B	4,545.00	32.00	7.00	25.00	80.0 18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-22-17 S	-8,070.00	32.00	7.00	25.00	80.0 18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	NVIDIA CORP COM EXP 10-06- S	-8,555.01	32.00	7.00	25.00	80.0 18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-15- S	-2,960.00	32.00	7.00	25.00	80.0 18.60
09/11/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWILIO INC CL A EXP 10-20- S	-5,988.96	32.00	7.00	25.00	80.0 18.60
09/12/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	ALLERGAN PLC EXP 09-22-17 B	6,257.01	32.00	7.00	25.00	80.0 18.60
09/12/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-15- S	-7,549.92	36.00	7.00	29.00	80.0 21.80
09/12/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	U S SILICA HLDGS INC COM E S	-2,450.00	32.00	7.00	25.00	80.0 18.60
09/12/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	UNITED TECHNOLOGIES CORP C B	6,161.52	32.00	7.00	25.00	80.0 18.60
09/12/2017	QGW019606	MERKIN FAMILY TRUST,	PUT 100	APPLIED OPTOELECTRONICS INC B	560.00	32.00	7.00	25.00	80.0 18.60
09/13/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-15- S	-3,400.00	32.00	7.00	25.00	80.0 18.60
09/13/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	U S SILICA HLDGS INC COM E S	-1,080.00	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-15- S	-3,809.16	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	UNITED TECHNOLOGIES CORP C S	-7,429.98	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWITTER INC COM EXP 09-15- S	-1,245.00	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW019606	MERKIN FAMILY TRUST,	CALL 100	TWILIO INC CL A EXP 10-20- S	-1,500.00	32.00	7.00	25.00	80.0 18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/15/2017	QGW019606	MERKIN FAMILY TRUST, PUT 100 APPLIED OPTOELECTRONICS INC B		70.00	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 09-29-17 B		4,750.00	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWILIO INC CL A EXP 10-20- S		-2,220.00	32.00	7.00	25.00	80.0	18.60
09/18/2017	QGW019606	MERKIN FAMILY TRUST, PUT 100 NVIDIA CORP COM EXP 09-29-1 B		2,805.00	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 09-22-17 B		6,344.37	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 09-29-17 B		10,569.57	32.00	7.00	25.00	80.0	18.60
09/19/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWITTER INC COM EXP 10-20- B		3,129.00	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 NUTANIX INC COM EXP 10-20- S		-1,300.00	32.00	7.00	25.00	80.0	18.60
09/20/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS INC COM E S		-720.00	32.00	7.00	25.00	80.0	18.60
09/21/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 10-06-17 B		1,980.00	32.00	7.00	25.00	80.0	18.60
09/25/2017	QGW019606	MERKIN FAMILY TRUST, PUT 100 NVIDIA CORP COM EXP 09-29-1 S		-6,228.75	32.00	7.00	25.00	80.0	18.60
09/26/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 ALLERGAN PLC EXP 10-06-17 B		5,390.00	32.00	7.00	25.00	80.0	18.60
09/27/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWILIO INC CL A EXP 10-06- S		-1,409.98	34.00	7.00	27.00	80.0	20.20
09/27/2017	QGW019606	MERKIN FAMILY TRUST, CALL 100 TWILIO INC CL A EXP 10-06- B		813.96	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-01-17 S		-11,683.98	32.00	7.00	25.00	80.0	18.60
08/31/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-08-17 S		-9,910.00	32.00	7.00	25.00	80.0	18.60
09/01/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-01-17 S		-4,120.00	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-15-17 B		5,877.52	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON CALL 100 NUTANIX INC COM EXP 09-15- B		3,180.00	36.00	7.00	29.00	80.0	21.80
09/05/2017	QGW019622	MERKIN, SHARON CALL 100 PIONEER NAT RES CO COM EXP S		-13,500.00	32.00	7.00	25.00	80.0	18.60
09/05/2017	QGW019622	MERKIN, SHARON PUT 100 APPLIED OPTOELECTRONICS INC S		-2,475.00	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-15-17 B		2,650.00	32.00	7.00	25.00	80.0	18.60
09/06/2017	QGW019622	MERKIN, SHARON CALL 100 NUTANIX INC COM EXP 09-15- S		-11,840.16	54.00	7.00	47.00	80.0	36.20
09/06/2017	QGW019622	MERKIN, SHARON CALL 100 PIONEER NAT RES CO COM EXP S		-11,460.00	34.00	7.00	27.00	80.0	20.20
09/07/2017	QGW019622	MERKIN, SHARON CALL 100 NUTANIX INC COM EXP 10-20- S		-3,390.00	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-15-17 S		-28,704.60	34.00	7.00	27.00	80.0	20.20
09/08/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-08-17 S		-9,530.00	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON CALL 100 NUTANIX INC COM EXP 09-15- B		6,415.04	36.00	7.00	29.00	80.0	21.80
09/08/2017	QGW019622	MERKIN, SHARON CALL 100 NVIDIA CORP COM EXP 10-06- B		7,209.99	32.00	7.00	25.00	80.0	18.60
09/08/2017	QGW019622	MERKIN, SHARON CALL 100 PIONEER NAT RES CO COM EXP B		13,190.03	32.00	7.00	25.00	80.0	18.60
09/11/2017	QGW019622	MERKIN, SHARON CALL 100 NVIDIA CORP COM EXP 10-06- S		-8,340.00	32.00	7.00	25.00	80.0	18.60
09/12/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 09-15- S		-8,999.98	36.00	7.00	29.00	80.0	21.80
09/12/2017	QGW019622	MERKIN, SHARON CALL 100 UNITED TECHNOLOGIES CORP C B		7,188.44	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON CALL 100 PIONEER NAT RES CO COM EXP S		-1,190.00	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON CALL 100 PIONEER NAT RES CO COM EXP S		-16,989.98	40.00	7.00	33.00	80.0	25.00
09/13/2017	QGW019622	MERKIN, SHARON PUT 100 APPLIED OPTOELECTRONICS INC B		240.00	32.00	7.00	25.00	80.0	18.60
09/13/2017	QGW019622	MERKIN, SHARON PUT 100 APPLIED OPTOELECTRONICS INC S		-2,640.00	34.00	7.00	27.00	80.0	20.20
09/14/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-22-17 B		13,519.98	34.00	7.00	27.00	80.0	20.20
09/14/2017	QGW019622	MERKIN, SHARON CALL 100 ALLERGAN PLC EXP 09-15-17 B		14,986.56	32.00	7.00	25.00	80.0	18.60
09/14/2017	QGW019622	MERKIN, SHARON CALL 100 TWITTER INC COM EXP 09-15- S		-3,385.92	32.00	7.00	25.00	80.0	18.60

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
09/14/2017	QGW019622	MERKIN, SHARON		CALL 100 UNITED TECHNOLOGIES CORP C S	-8,844.99	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW019622	MERKIN, SHARON		PUT 100 APPLIED OPTOELECTRONICS INC S	-960.00	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW019622	MERKIN, SHARON		CALL 100 ALLERGAN PLC EXP 09-22-17 B	6,769.98	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW019622	MERKIN, SHARON		PUT 100 APPLIED OPTOELECTRONICS INC B	70.00	32.00	7.00	25.00	80.0 18.60
09/18/2017	QGW019622	MERKIN, SHARON		CALL 100 ALLERGAN PLC EXP 09-22-17 S	-8,075.00	32.00	7.00	25.00	80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		CALL 100 ALLERGAN PLC EXP 09-22-17 B	3,524.65	32.00	7.00	25.00	80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		PUT 100 APPLIED OPTOELECTRONICS INC B	180.00	32.00	7.00	25.00	80.0 18.60
09/19/2017	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 09-29-1 B	7,240.00	32.00	7.00	25.00	80.0 18.60
09/20/2017	QGW019622	MERKIN, SHARON		CALL 100 ALLERGAN PLC EXP 10-06-17 B	5,650.00	32.00	7.00	25.00	80.0 18.60
09/21/2017	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 09-29-1 S	-4,800.00	32.00	7.00	25.00	80.0 18.60
09/25/2017	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO B	3,740.00	32.00	7.00	25.00	80.0 18.60
09/25/2017	QGW019622	MERKIN, SHARON		CALL 100 TWITTER INC COM EXP 09-29- B	5,015.00	36.00	7.00	29.00	80.0 21.80
09/25/2017	QGW019622	MERKIN, SHARON		PUT 100 NVIDIA CORP COM EXP 09-29-1 S	-10,381.25	32.00	7.00	25.00	80.0 18.60
09/26/2017	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO B	1,760.00	32.00	7.00	25.00	80.0 18.60
09/27/2017	QGW019622	MERKIN, SHARON		CALL 100 ALIBABA GROUP HLDG LTD SPO S	-2,220.00	32.00	7.00	25.00	80.0 18.60
09/11/2017	QGW019812	GERBER TRUST,		CALL 100 TEVA PHARMACEUTICAL INDUST S	-305.00	32.00	7.00	25.00	80.0 18.60
09/07/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-08-17 B	2,546.95	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-08-17 S	-6,589.96	36.00	7.00	29.00	80.0 21.80
09/08/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-08-17 S	-4,957.29	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-08-17 B	1,709.50	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	10,947.51	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	-3,560.01	-32.00	-7.00	-25.00	80.0 -18.60 C
09/15/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	3,560.01	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	3,560.01	32.00	7.00	25.00	80.0 18.60
09/18/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 S	-13,680.00	34.00	7.00	27.00	80.0 20.20
09/19/2017	QGW028763	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	7,686.96	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-08-17 S	-4,194.63	32.00	7.00	25.00	80.0 18.60
09/08/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-08-17 B	1,446.50	32.00	7.00	25.00	80.0 18.60
09/14/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	10,947.51	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	-3,560.01	-32.00	-7.00	-25.00	80.0 -18.60 C
09/15/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	3,560.01	32.00	7.00	25.00	80.0 18.60
09/15/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	3,560.01	32.00	7.00	25.00	80.0 18.60
09/18/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	4,750.00	32.00	7.00	25.00	80.0 18.60
09/19/2017	QGW028771	MERKIN, SOLOMON		CALL 100 ALLERGAN PLC EXP 09-29-17 B	3,843.48	32.00	7.00	25.00	80.0 18.60
* OPTIONS SUBTOTAL *				\$-101,662.89	\$3,532.00	\$756.00	\$2,776.00		\$2,069.60
*** BROKER TRANSACTIONS TOTAL ***				\$886,293.66	\$16,784.16	\$3,458.00	\$13,326.16		\$9,969.33

COMMISSION STATEMENT for ABRAHAM HELLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		240.00
09/29/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-8.00
09/29/2017		OTHER: OTHER-REPAYMENT OF LOAN		0.00	0.00	0.00	0.00		-1,000.00
09/29/2017		OTHER: OTHER-QGV 896756		0.00	0.00	0.00	0.00		-36.30
09/29/2017		OTHER: OTHER--TRADES NOT SHOWN (NET		0.00	0.00	0.00	0.00		55.80
09/29/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-500.00
09/29/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.44
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-1,322.94</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,969.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,322.94
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-20.98
SIPC Assessment	\$-41.96

Check Amount	\$8,583.45
Year-to-date Earnings	\$28,885.90