

**COMMISSION STATEMENT****June 15, 2018**

HERBERT, A123 ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/06/2018	CHASALOW, FRED	FREEDOM OIL AND GAS LTD SHS ISIN#AU	2,295.00	22.00	27.00	-5.00	75.0	-3.75
		* STOCKS SUBTOTAL *	\$2,295.00	\$22.00	\$27.00	\$-5.00		\$-3.75
		*** CLEARING TRANSACTIONS TOTAL ***	\$2,295.00	\$22.00	\$27.00	\$-5.00		\$-3.75

**SPLIT TRANSACTIONS****BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413**

06/01/2018	BRADY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.43	0.00	1.43	75.0	1.07
06/01/2018	BRADY, JOHN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.54	0.00	8.54	75.0	6.41
06/01/2018	BRADY, JOHN	AM FUNDS HIGH INCOME TRUST 529A	0.00	1.87	0.00	1.87	75.0	1.40
06/01/2018	BRADY, JOHN	AM FUNDS ICA 529A	0.00	22.39	0.00	22.39	75.0	16.79
06/01/2018	BRADY, JOHN	AM FUNDS SMALLCAP WORLD-529A	0.00	2.53	0.00	2.53	75.0	1.90
05/16/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	5.18	0.00	5.18	75.0	3.89
05/16/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.77	0.00	0.77	75.0	0.58
05/16/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	3.27	0.00	3.27	75.0	2.45
05/23/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.48	0.00	9.48	75.0	7.11
05/23/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.70	0.00	4.70	75.0	3.53
05/23/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.70	0.00	4.70	75.0	3.53
05/23/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.67	0.00	2.67	75.0	2.00
05/23/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.78	0.00	3.78	75.0	2.84
05/23/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.26	0.00	1.26	75.0	0.95
05/23/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.90	0.00	1.90	75.0	1.43
05/15/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.83	0.00	0.83	75.0	0.62
05/11/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.88	0.00	1.88	75.0	1.41
05/11/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	7.40	0.00	7.40	75.0	5.55
05/31/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.37	0.00	1.37	75.0	1.03

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *	\$0.00	\$85.95	\$0.00	\$85.95	\$64.49
*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$85.95	\$0.00	\$85.95	\$64.49

ADJUSTMENTS

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00			
06/15/2018	*CREDIT CARD PYT: CREDIT CARD PYT	0.00	576.66			
06/15/2018	*DOCUSIGN: CURRENT MONTH	0.00	-18.00			
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00			
06/15/2018	*PERSHING: FOREIGN SAFEKEEPING PNW012003	0.00	-8.00			
06/15/2018	*PERSHING: FOREIGN SAFEKEEPING PNW012029	0.00	-8.00			
06/15/2018	*PERSHING: FOREIGN SAFEKEEPING PNW012037	0.00	-8.00			
06/15/2018	*PERSHING: FOREIGN SAFEKEEPING PNW012060	0.00	-8.00			
06/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-39.66			
06/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00	-180.00			
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$-3.75
Split Transactions	\$64.49
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$60.74</b>
<b>Year-to-date Gross</b>	<b>\$11,680.72</b>
<b>Year-to-date Earnings</b>	<b>\$8,598.85</b>