



Concorde Investment Services, LLC

11/30/2017

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COMMISSION STATEMENT

November 30, 2017

MARC LINSKY

104 B. Centre Blvd

Marlton, NJ 08053

BROKER #: L134

BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
11/15/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	403.20	20.16	22.00	-1.84	90.0	-1.66
11/13/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	-7,000.00	22.00	22.00	0.00	90.0	0.00
11/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	54.22	2.71	22.00	-19.29	90.0	-17.36
11/15/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	432.50	21.63	22.00	-0.37	90.0	-0.33
11/15/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	429.48	21.47	22.00	-0.53	90.0	-0.48
11/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	-4,500.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	-2,000.00	0.00	0.00	0.00	90.0	0.00
11/16/2017	DAMICO, JOSEPH	FIDELITY FREEDOM TWENTY THIRTY FUND	-35,284.37	0.00	22.00	-22.00	90.0	-19.80
11/13/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	-9,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DAMICO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	200.46	2.00	22.00	-20.00	90.0	-18.00
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	1,406.50	45.71	22.00	23.71	90.0	21.34
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	1,044.00	33.93	22.00	11.93	90.0	10.74
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	377.00	12.25	22.00	-9.75	90.0	-8.78
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	1,401.82	21.03	22.00	-0.97	90.0	-0.87
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	1,041.60	15.62	22.00	-6.38	90.0	-5.74
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	368.90	5.53	22.00	-16.47	90.0	-14.82
11/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	377.58	5.66	22.00	-16.34	90.0	-14.71
11/14/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	-46,855.12	0.00	0.00	0.00	90.0	0.00
11/14/2017	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	46,855.12	0.00	0.00	0.00	90.0	0.00
11/13/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	-3,550.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	-3,550.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$42,652.89	\$229.70	\$308.00	\$-78.30		\$-70.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
11/22/2017	APPLEBAUM, RHEA	APPLE INC COM	-1,566.99	30.00	22.50	7.50	90.0	6.75
11/13/2017	APPLEBAUM, ALVIN	GENESIS ENERGY LP UNITS LTD PARTNER	-22,721.20	29.75	23.50	6.25	90.0	5.63
11/13/2017	GROTTOLA, FRANK	OBSIDIAN ENERGY LTD REGISTERED SHS	-1,767.18	30.00	24.19	5.81	90.0	5.23
11/13/2017	GROTTOLA, FRANK	PEMBINA PIPELINE CORP COM ISIN#CA70	-64,390.04	30.00	24.71	5.29	90.0	4.76
11/13/2017	GROTTOLA, FRANK	PERMIAN BASIN RTY TR UNIT BEN INT	-20,308.12	30.00	25.20	4.80	90.0	4.32
11/15/2017	GALERMAN, ALAN	PREFERRED APT CMNTYS INC COM	-43,603.00	30.00	25.00	5.00	90.0	4.50
11/15/2017	GALERMAN, LIORA	PREFERRED APT CMNTYS INC COM	-17,376.32	30.00	23.20	6.80	90.0	6.12
11/16/2017	BACHMAN, ALLEN	PREFERRED APT CMNTYS INC COM	-53,391.75	30.00	25.75	4.25	90.0	3.83
11/16/2017	NEUMAN, PHILLIP	PREFERRED APT CMNTYS INC COM	-31,879.35	30.00	24.25	5.75	90.0	5.18
11/17/2017	HOSID, IRA	PREFERRED APT CMNTYS INC COM	-41,913.80	30.00	25.00	5.00	90.0	4.50
11/27/2017	GARLICK, MILDRED	PREFERRED APT CMNTYS INC COM	-48,431.96	22.00	25.38	-3.38	90.0	-3.04
11/27/2017	NEUMAN, PHILLIP	PREFERRED APT CMNTYS INC COM	-21,632.30	22.00	23.50	-1.50	90.0	-1.35
11/27/2017	PERETTI, FRANCES	PREFERRED APT CMNTYS INC COM	-21,619.50	22.00	23.50	-1.50	90.0	-1.35
* STOCKS SUBTOTAL *			\$-390,601.51	\$365.75	\$315.68	\$50.07		\$45.08
*** CLEARING TRANSACTIONS TOTAL ***			\$-347,948.62	\$595.45	\$623.68	\$-28.23		\$-25.39
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	45.97	0.00	45.97	90.0	41.37
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$45.97	\$0.00	\$45.97		\$41.37
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/09/2017	CAMARDO, SHANE	AMERICAN FUNDS COLLEGE 2033 FUND-52	500.00	17.50	0.00	17.50	90.0	15.75
* 529 PLANS SUBTOTAL *			\$500.00	\$17.50	\$0.00	\$17.50		\$15.75
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
* MUTUAL FUNDS SUBTOTAL *			\$225.00	\$11.25	\$0.00	\$11.25		\$10.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/09/2017	O'DONNELL, ROSEMARY	METLIFE SHIELD LEVEL SELECT 6 YR	40,000.00	2,400.00	0.00	2,400.00	90.0	2,160.00
10/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	118,216.61	98.51	0.00	98.51	90.0	88.66
* VARIABLE ANNUITIES SUBTOTAL *			\$158,216.61	\$2,498.51	\$0.00	\$2,498.51		\$2,248.66

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/05/2017	MCFADDEN, ANDREW	LANDMARK 2002	0.00	916.82	0.00	916.82	90.0	825.14
11/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.59	0.00	55.59	90.0	50.03
11/13/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	219.90	0.00	219.90	90.0	197.91
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,192.31	\$0.00	\$1,192.31		\$1,073.08
*** DIRECT TRANSACTIONS TOTAL ***			\$158,941.61	\$3,765.54	\$0.00	\$3,765.54		\$3,388.99

<u>SPLIT TRANSACTIONS</u>								
BROKER: J30 MARC/MICHAEL LINSKY/FOX #266								
11/12/2017	LUCREZIO, VINCENT	LANDMARK 2002	0.00	128.47	0.00	128.47	90.0	115.62
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$0.00	\$128.47	\$0.00	\$128.47		\$115.62
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
11/15/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,006.56	14.09	7.70	6.39	90.0	5.75
11/14/2017	FLAHERTY, STEPHEN	ING PREMIUM PLUS	0.00	12.77	0.00	12.77	90.0	11.49
11/14/2017	FLAHERTY, PATRICIA	ING PREMIUM PLUS	0.00	6.52	0.00	6.52	90.0	5.87
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$1,006.56	\$33.38	\$7.70	\$25.68		\$23.11
*** SPLIT TRANSACTIONS TOTAL ***			\$1,006.56	\$161.85	\$7.70	\$154.15		\$138.73

<u>ADJUSTMENTS</u>								
11/30/2017		*FEDERAL EXPRESS: 5-987-00899 11/08	0.00					-70.55
11/30/2017		*FEDERAL EXPRESS: 5-995-22360 11/15	0.00					-40.30
11/30/2017		*FEDERAL EXPRESS: 6-002-32938 11/22	0.00					-40.30
11/30/2017		*PERSHING: MARKUPS	0.00					10.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-140.65

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,363.60
Split Transactions	\$138.73
Override Transactions	\$0.00
Adjustments	\$-140.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,361.68
Year-to-date Gross	\$601,660.25
Year-to-date Earnings	\$539,288.78