



Concorde Investment Services, LLC

07/27/2017
Page No. 1

COMMISSION STATEMENT July 31, 2017

DANIEL RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/11/2017	ANFUSO, JOSEPH	NEW YORK REIT INC COM	-4,352.24	0.00	22.76	-22.76	100.0	-22.76
		* STOCKS SUBTOTAL *	\$-4,352.24	\$0.00	\$22.76	\$-22.76		\$-22.76
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/30/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.48	0.00	2.48	100.0	2.48
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2.48	\$0.00	\$2.48		\$2.48
		*** BROKER TRANSACTIONS TOTAL ***	\$-4,352.24	\$2.48	\$22.76	\$-20.28		\$-20.28
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
06/30/2017	BELL	ASCENSUS 529 TRAILS	0.00	23.66	0.00	23.66	100.0	23.66
06/30/2017	BELL	ASCENSUS 529 TRAILS	0.00	25.35	0.00	25.35	100.0	25.35
		* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *	\$0.00	\$49.01	\$0.00	\$49.01		\$49.01
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
07/11/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	49.00	0.00	5.76	-5.76	100.0	-5.76
07/12/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	12.50	0.00	5.63	-5.63	100.0	-5.63
07/20/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	25.00	0.00	5.65	-5.65	100.0	-5.65
		* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *	\$86.50	\$0.00	\$17.04	\$-17.04		\$-17.04
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
06/30/2017	SHEA III, JOHN	GRIFFIN AMERICAN HEALTHCARE REIT IV	0.00	2.62	0.00	2.62	100.0	2.62
		* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *	\$0.00	\$2.62	\$0.00	\$2.62		\$2.62
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
06/30/2017	BROOKHAVEN 401K	LFG TRAILS	0.00	155.48	0.00	155.48	100.0	155.48
06/30/2017	PALM BEACH	ADP TRAILS	0.00	315.57	0.00	315.57	100.0	315.57

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
06/30/2017	ENDOCRINOLGY & DIABE	ADP TRAILS	0.00	6.16	0.00	6.16	100.0	6.16
06/30/2017	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	218.61	0.00	218.61	100.0	218.61
07/13/2017	TRINKLEIN, JASON	GLOBAL NET LEASE INC COM	5,024.35	0.00	11.25	-11.25	100.0	-11.25
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$5,024.35	\$695.82	\$11.25	\$684.57		\$684.57
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
07/12/2017	ELIAU, STEVEN	NEW YORK REIT INC COM	-35,064.38	0.00	13.50	-13.50	100.0	-13.50
07/12/2017	SLAMA, BETTY	NEW YORK REIT INC COM	-2,685.54	0.00	11.24	-11.24	100.0	-11.24
07/12/2017	SLAMA, BETTY	NEW YORK REIT INC COM	-84,912.15	0.00	13.50	-13.50	100.0	-13.50
07/18/2017	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	52.67	0.00	52.67	100.0	52.67
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$-122,662.07	\$52.67	\$38.24	\$14.43		\$14.43
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
07/11/2017	BERARDI, JAMES	COLONY NORTHSTAR INC CL A COM	-19,854.58	0.00	6.01	-6.01	100.0	-6.01
07/19/2017		LIBERATED SYNDICATION INC COM	-2,100.00	12.50	6.25	6.25	100.0	6.25
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$-21,954.58	\$12.50	\$12.26	\$0.24		\$0.24
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
07/07/2017	SEITZ & CO INC, DONALD	COTTONWOOD RESIDENTIAL 2016 PREFR'D	60,000.00	1,428.00	0.00	1,428.00	100.0	1,428.00
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$60,000.00	\$1,428.00	\$0.00	\$1,428.00		\$1,428.00
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
07/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,008.00	6.05	3.30	2.75	100.0	2.75
06/30/2017	MORONEY JR, JOHN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	16.64	0.00	16.64	100.0	16.64
06/30/2017	FLAHERTY, PATRICIA	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	14.96	0.00	14.96	100.0	14.96
06/30/2017	MORONEY JR, JOHN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	0.93	0.00	0.93	100.0	0.93
06/30/2017	FLAHERTY, STEPHEN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	37.40	0.00	37.40	100.0	37.40
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$1,008.00	\$75.98	\$3.30	\$72.68		\$72.68
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
06/30/2017	AJAKIE, ANTHONY	CANTOR FITZGERALD NET LEASE 8 DST	200,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
06/30/2017	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	0.00	1.27	0.00	1.27	0.0	0.00
06/30/2017	AJAKIE, ANTHONY	PASSCO LONGITUDE DST	200,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$400,000.00	\$3,001.27	\$0.00	\$3,001.27		\$3,000.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u>								
07/14/2017	KRESLAVSKAYA, YEVGENIYA	TOTAL SYSTEMS SERVICES INC	-90,022.31	0.00	6.06	-6.06	100.0	-6.06
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			\$-90,022.31	\$0.00	\$6.06	\$-6.06		\$-6.06
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
06/23/2017	ACCARDI, KAREN	INLAND SELF STORAGE PF IV DST	41,160.36	1,029.02	0.00	1,029.02	100.0	1,029.02
06/28/2017	ACCARDI, KAREN	PASSCO LONGITUDE DST	25,000.00	750.00	0.00	750.00	100.0	750.00
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$66,160.36	\$1,779.02	\$0.00	\$1,779.02		\$1,779.02
*** SPLIT TRANSACTIONS TOTAL ***			\$297,640.25	\$7,096.89	\$88.15	\$7,008.74		\$7,007.47

VERRIDE TRANSACTIONS

<u>BROKER: JON PAUL CIRELLI #246</u>								
06/30/2017	BARREDO DMD PLAN, GLORIA	ADP TRAILS	0.00	22.93	0.00	22.93	95.0	1.15
06/30/2017	KURITZKY ARCHITECTUR, PASQUALE	ADP TRAILS	0.00	616.12	0.00	616.12	95.0	30.81
07/03/2017	CIRELLI, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	3.03	0.00	3.03	95.0	0.15
06/30/2017	JARDIN, DEAN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	249.32	0.00	249.32	95.0	12.47
* JON PAUL CIRELLI SUBTOTAL *			\$0.00	\$891.40	\$0.00	\$891.40		\$44.58

<u>BROKER: MARC LINSKY #263</u>								
07/03/2017	PISANI, CARLA	LFG TRAILS	0.00	25.70	0.00	25.70	90.0	0.44
07/03/2017	PISANI, NICHOLAS	LFG TRAILS	0.00	10.00	0.00	10.00	90.0	0.17
07/17/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	394.40	19.72	22.00	-2.28	90.0	-0.04
07/17/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
07/17/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	102.74	5.14	22.00	-16.86	90.0	-0.29
07/17/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	418.70	20.94	22.00	-1.06	90.0	-0.02
07/17/2017	LINSKY, MARC	AM FUNDS ICA A	2,024.68	75.93	22.00	53.93	90.0	0.92
07/17/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	409.77	20.49	22.00	-1.51	90.0	-0.03
07/17/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,021.85	75.82	22.00	53.82	90.0	0.91
07/26/2017	MORETTI, MARGARET	APPLE INC COM	1,535.80	30.00	22.50	7.50	90.0	0.13
07/18/2017	YOURGALITE, CAROL	CHENIERE ENERGY INC COM NEW	-40,523.67	30.00	23.30	6.70	90.0	0.11
07/13/2017	KRAMER, LARRY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	275,000.00	19,250.00	0.00	19,250.00	90.0	327.25
06/30/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	0.00	131.80	0.00	131.80	0.0	2.24
07/12/2017	KRAMER, LARRY	GRIFFIN INST'L ACCESS R E FUND C	24,001.64	240.02	22.00	218.02	90.0	3.71
07/20/2017	KRAMER, LARRY	GRIFFIN INST'L ACCESS R E FUND C	48,009.70	480.10	22.00	458.10	90.0	7.79

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
07/17/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	229.81	2.30	22.00	-19.70	90.0	-0.33
07/10/2017	KRAMER, LARRY	METLIFE SHEILD LEVEL SELECTOR EQTY	200,000.00	12,000.00	0.00	12,000.00	90.0	204.00
07/18/2017	YOURGALITE, CAROL	NABORS INDS LTD SHS ISIN#BMG6359F10	-558.03	30.00	22.50	7.50	90.0	0.13
07/11/2017	PRATOLA, PRESTON	NOBLE CORP PLC SHS USD ISIN#GB00BFG	3,496.50	30.00	23.50	6.50	90.0	0.11
07/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	81.92	0.00	81.92	90.0	1.39
07/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	54.17	0.00	54.17	90.0	0.92
07/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	217.35	0.00	217.35	90.0	3.69
07/19/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-24,120.10	30.00	23.50	6.50	90.0	0.11
07/19/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-24,090.00	30.00	23.50	6.50	90.0	0.11
07/26/2017	SPECTER TRUST, STEPHANIE	SELECT INCOME REIT COM SH BEN INT	-26,771.22	30.00	23.71	6.29	90.0	0.11
07/18/2017	YOURGALITE, CAROL	SUBURBAN PROPANE PARTNERS LP UNITS	-12,099.70	30.00	22.75	7.25	90.0	0.12
07/18/2017	YOURGALITE, CAROL	WILLIAMS COS INC COM	-24,252.39	30.00	23.16	6.84	90.0	0.12
07/11/2017	PRATOLA, PRESTON	WINDSTREAM HLDGS INC COM NEW	3,777.20	30.00	23.50	6.50	90.0	0.11
* MARC LINSKY SUBTOTAL *			\$409,232.68	\$33,022.65	\$429.92	\$32,592.73		\$554.07
*** OVERRIDE TRANSACTIONS TOTAL ***			\$409,232.68	\$33,914.05	\$429.92	\$33,484.13		\$598.65

ADJUSTMENTS

07/31/2017	*FEDERAL EXPRESS: 5-862-52204 7/12	0.00	-25.14
07/31/2017	*FEDERAL EXPRESS: 5-870-27408 7/19	0.00	-5.04
07/31/2017	*FEDERAL EXPRESS: 5-870-27409 7/19	0.00	-8.87
07/31/2017	*FEDERAL EXPRESS: MONTHLY ALLOW 55%	0.00	550.00
07/31/2017	*MISC: C FULMER, A LEEDS K141	0.00	540.00
07/31/2017	*MISC: C FULMER, A LEEDS C141	0.00	540.00
07/31/2017	*MISC: ROY AFLAC 1031DST	0.00	1,126.00
07/31/2017	*MISC: ROY, AFLAC, 1031DST	0.00	1,326.00
07/31/2017	*OVERRIDE: BRANCH-CIRELLI	0.00	103.22
07/31/2017	*OVERRIDE: BRANCH-KIENSOSKI	0.00	57.68
07/31/2017	*OVERRIDE: OVERRIDE-CIRELLI	0.00	438.95
07/31/2017	*OVERRIDE: OVERRIDE-KIESNOSKI	0.00	300.02
07/31/2017	*OVERRIDE: OVERRIDE-LINSKY	0.00	542.36
07/31/2017	*PERSHING: MARK UPS	0.00	30.00

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<u>ADJUSTMENTS</u>								
07/31/2017		*PERSHING: MARK UPS	0.00					22.50
07/31/2017		*PERSHING: MARK UPS	0.00					15.00
07/31/2017		*PERSHING: MARK UPS	0.00					45.00
07/31/2017		*PERSHING: MARK UPS	0.00					15.00
07/31/2017		*PERSHING: MARK UPS	0.00					4.50
07/31/2017		*PERSHING: MARK UPS	0.00					7.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$5,624.68

BROKER COMMISSION TOTALS

Broker Transactions	\$-20.28
Split Transactions	\$7,007.47
Override Transactions	\$598.65
Adjustments	\$5,624.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,210.52
Year-to-date Gross	\$621,721.91
Year-to-date Earnings	\$635,129.12

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