



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	COLLEGEBOUND 529	B	2.87	2.87	0.00	2.87	90.0	2.58	
06/30/2018	TRAILS	EATON VANCE - GENERAL	B	21.54	21.54	0.00	21.54	90.0	19.39	
06/29/2018	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	1.63	1.63	0.00	1.63	90.0	1.47	
07/16/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	B	90.00	1.80	0.00	1.80	90.0	1.62
07/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME MUNI	B	90.00	1.80	0.00	1.80	90.0	1.62
07/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A	B	60.00	1.20	0.00	1.20	90.0	1.08
07/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL	INVES B	60.00	1.20	0.00	1.20	90.0	1.08
* MUTUAL FUNDS SUBTOTAL *					\$426.04	\$33.04	\$0.00	\$33.04		\$29.74

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/09/2018	TRAILS	-JACKSON NATIONAL	B	41.10	41.10	0.00	41.10	90.0	36.99
07/21/2018	TRAILS	-JOHN HANCOCK	B	206.80	206.80	0.00	206.80	90.0	186.12
07/20/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	27.07	27.07	0.00	27.07	90.0	24.36
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,764.35	2,764.35	0.00	2,764.35	90.0	2,487.92
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	12,539.55	12,539.55	0.00	12,539.55	90.0	11,285.60
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	4,048.40	4,048.40	0.00	4,048.40	90.0	3,643.56
06/29/2018	TRAILS	GENWORTH LIFE ANNUITY	B	403.30	403.30	0.00	403.30	90.0	362.97
06/30/2018	TRAILS	INTEGRITY LIFE INSURANCE COMPANY	B	655.71	655.71	0.00	655.71	90.0	590.14
07/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	165.19	165.19	0.00	165.19	90.0	148.67
* VARIABLE ANNUITIES SUBTOTAL *				\$20,851.47	\$20,851.47	\$0.00	\$20,851.47		\$18,766.33

PRODUCT CATEGORY: RIA

07/13/2018	12706161	HALVORSON, DAVID E	SIGNAL SECURITIES RIA	B	0.00	393.33	0.00	393.33	90.0	354.00
07/13/2018	37791527	NORIS, SCOTT A	SIGNAL SECURITIES RIA	B	0.00	525.67	0.00	525.67	90.0	473.10
07/13/2018	82968618	BROWN, JACKIE L	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
* RIA SUBTOTAL *					\$0.00	\$1,008.33	\$0.00	\$1,008.33		\$907.50

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	61.13	0.00	61.13	90.0	55.01
08/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	54.72	0.00	54.72	90.0	49.26
08/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	183.32	0.00	183.32	90.0	164.98
08/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	26.82	0.00	26.82	90.0	24.14
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	53.33	0.00	53.33	90.0	47.99
08/01/2018	TRAIL COMMISSIONS	DWS INVESTMENTS SERVICE COMPANY FEE		0.00	4.08	0.00	4.08	90.0	3.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$383.40	\$0.00	\$383.40		\$345.05
*** BROKER TRANSACTIONS TOTAL ***				\$21,277.51	\$22,276.24	\$0.00	\$22,276.24		\$20,048.62

ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
08/01/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$20,048.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$19,921.12
Year-to-date Earnings	\$108,707.83