

**COMMISSION STATEMENT****January 31, 2018****DAMIAN DERING**

165 Culver Blvd

Suite A

Playa Del Rey, CA 90293

BROKER #: D127

BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/18/2018	RUSSELL TRUST, RONALD & JUNE	DOUBLELINE INCOME SOLUTIONS FD COM	10,198.25	101.98	22.75	79.23	92.0	72.89
01/19/2018	RUSSELL TRUST, RONALD & JUNE	EDISON INTERNATIONAL	15,447.50	154.48	22.50	131.98	92.0	121.42
01/18/2018	RUSSELL TRUST, RONALD & JUNE	NEUBERGER BERMAN REAL ESTATE SECS I	10,359.80	103.60	25.00	78.60	92.0	72.31
01/19/2018	RUSSELL TRUST, RONALD & JUNE	PG & E CORP	20,065.50	200.66	22.68	177.98	92.0	163.74
* STOCKS SUBTOTAL *			\$56,071.05	\$560.72	\$92.93	\$467.79		\$430.36
*** CLEARING TRANSACTIONS TOTAL ***			\$56,071.05	\$560.72	\$92.93	\$467.79		\$430.36
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
12/31/2017	ENCINA, OPHELIA	GRIFFIN CAPITAL ESSENTIAL ASSET II	23,763.32	59.90	0.00	59.90	92.0	55.11
* REIT SUBTOTAL *			\$23,763.32	\$59.90	\$0.00	\$59.90		\$55.11
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/17/2018	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	500.00	15.00	0.00	15.00	92.0	13.80
01/12/2018	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	200.00	2.00	0.00	2.00	92.0	1.84
01/12/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
01/12/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$1,000.00	\$29.76	\$0.00	\$29.76		\$27.38
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
01/09/2018	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
* MUTUAL FUNDS SUBTOTAL *			\$1,500.00	\$52.50	\$0.00	\$52.50		\$48.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/24/2018	DERING, VARIOUS	AMERICAN BEACON FUNDS	0.00	38.05	0.00	38.05	92.0	35.01
01/05/2018	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND CLASS C	0.00	21.13	0.00	21.13	92.0	19.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$59.18	\$0.00	\$59.18		\$54.45
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/01/2018	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	9.51	0.00	9.51	92.0	8.75
01/01/2018	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	16.84	0.00	16.84	92.0	15.49
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$26.35	\$0.00	\$26.35		\$24.24
*** DIRECT TRANSACTIONS TOTAL ***			\$26,263.32	\$227.69	\$0.00	\$227.69		\$209.48

BROKER COMMISSION TOTALS

Broker Transactions	\$639.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$639.84
Year-to-date Gross	\$6,840.71
Year-to-date Earnings	\$6,207.91

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