

COMMISSION STATEMENT

June 30, 2018

DALE HESTER-D346

BROKER #: D346

BRANCH: DMN

, TX

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/21/2018 mfc303097	HESTER DALE,	MFT BOND-DEBENTURE FUND CLASS A	B	0.00	10.65	0.00	10.65	90.0	9.59
06/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.74	0.00	1.74	90.0	1.57
06/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.25	0.00	2.25	90.0	2.03
06/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.02	0.00	2.02	90.0	1.82
06/21/2018 mfc303097	HESTER DALE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.61	0.00	2.61	90.0	2.35
06/21/2018 mfc303097	HESTER DALE,	MFT INTREPID ENDURANCE FD INV	B	0.00	1.36	0.00	1.36	90.0	1.22
06/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT MULTI-ASSET BAL	B	0.00	1.58	0.00	1.58	90.0	1.42
06/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	1.68	0.00	1.68	90.0	1.51
06/21/2018 mfc303097	HESTER DALE,	MFT LORD ABBETT AFFILIATED FUND	B	0.00	2.17	0.00	2.17	90.0	1.95
06/21/2018 mfc303097	HESTER DALE,	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.78	0.00	1.78	90.0	1.60
06/21/2018 mfc303097	HESTER DALE,	MFT NEW WORLD FUND-A	B	0.00	1.65	0.00	1.65	90.0	1.49
06/21/2018 mfc303097	HESTER DALE,	MFT PIMCO INVT GRD CORP BD FD CL A	B	0.00	2.37	0.00	2.37	90.0	2.13
06/21/2018 mfc303097	HESTER DALE,	MFT PUTNAM ASSET ALLOCATION	B	0.00	10.98	0.00	10.98	90.0	9.88
06/21/2018 mfc303097	HESTER DALE,	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	2.18	0.00	2.18	90.0	1.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$45.02	\$0.00	\$45.02		\$40.52
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$45.02	\$0.00	\$45.02		\$40.52

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018 (None) ARMSTRONG	MF	HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
06/15/2018 (None) ARMSTRONG	MF	HARTFORD SMART	B	500.00	12.50	0.00	12.50	90.0	11.25
06/15/2018 (None) HAILEY	MF	HARTFORD SMART	B	50.00	1.25	0.00	1.25	90.0	1.13
06/11/2018 00777116731 HILLIARD, KEITH	MF	INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
06/11/2018 00777116731 HILLIARD, KEITH	MF	INVESCO MODERATE ALLOCATION FD	B	120.00	1.20	0.00	1.20	90.0	1.08
06/11/2018 00777116733 HILLIARD, SHARON	MF	INVESCO MODERATE ALLOCATION FD	B	500.00	5.00	0.00	5.00	90.0	4.50
06/11/2018 00777116733 HILLIARD, SHARON	MF	INVESCO MODERATE ALLOCATION FD	B	62.40	0.62	0.00	0.62	90.0	0.56
06/11/2018 00777116734 RUST, MELISSA	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
06/11/2018 00777116734 RUST, MELISSA	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
06/11/2018 00777116736 HILLIARD, KEITH	MF	INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90

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06/11/2018	00777116736 HILLIARD, KEITH	MF INVESCO GROWTH ALLOCATION FUND	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$2,632.40	\$42.07	\$0.00	\$42.07		\$37.87

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/15/2018	(None) CLEMENTS, KEITH	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
06/15/2018	(None) CLEMENTS, JUDY	VA AMERICAN GENERAL	B	150.00	9.00	0.00	9.00	90.0	8.10
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$18.00	\$0.00	\$18.00		\$16.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018	(None) VARIOUS, HESTER DALE	MFT AIG	B	0.00	107.46	0.00	107.46	90.0	96.71
05/31/2018	(None) GORDON-C-&	MFT EATON VANCE	B	0.00	10.13	0.00	10.13	90.0	9.12
05/31/2018	(None) VARIOUS, HESTER DALE	MFT HARTFORD MUTUAL FUIND	B	0.00	64.69	0.00	64.69	90.0	58.22
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.41	0.00	0.41	90.0	0.37
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.40	0.00	0.40	90.0	0.36
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.39	0.00	0.39	90.0	0.35
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.39	0.00	0.39	90.0	0.35
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.38	0.00	0.38	90.0	0.34
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.16	0.00	0.16	90.0	0.14
05/31/2018	(None) ROSSON	MFT HARTFORD SMART	B	0.00	0.12	0.00	0.12	90.0	0.11
05/31/2018	(None) BRATCHER, BEN	MFT HARTFORD SMART	B	0.00	8.74	0.00	8.74	90.0	7.87
05/31/2018	(None) BRATCHER, BEN	MFT HARTFORD SMART	B	0.00	10.86	0.00	10.86	90.0	9.77
05/31/2018	(None) BRATCHER, BEN	MFT HARTFORD SMART	B	0.00	15.23	0.00	15.23	90.0	13.71
05/31/2018	(None) BRATCHER, BEN	MFT HARTFORD SMART	B	0.00	5.79	0.00	5.79	90.0	5.21
05/31/2018	(None) ARMSTRONG	MFT HARTFORD SMART	B	0.00	6.70	0.00	6.70	90.0	6.03
05/31/2018	(None) ARMSTRONG	MFT HARTFORD SMART	B	0.00	2.44	0.00	2.44	90.0	2.20
05/31/2018	(None) HAILEY	MFT HARTFORD SMART	B	0.00	1.82	0.00	1.82	90.0	1.64
05/31/2018	(None) HARRECONNM	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	10.77	0.00	10.77	90.0	9.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$246.88	\$0.00	\$246.88		\$222.19

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/12/2018	(None) OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	214.57	0.00	214.57	90.0	193.11
06/13/2018	(None) KNIGHT, SCOTT	VAT AMERICAN GENERAL	B	0.00	42.33	0.00	42.33	90.0	38.10
06/15/2018	(None) HATFIELD, DANNY	VAT AMERICAN GENERAL	B	0.00	567.11	0.00	567.11	90.0	510.40
06/16/2018	(None) TURNER, JALNA	VAT AMERICAN GENERAL	B	0.00	0.44	0.00	0.44	90.0	0.40
06/17/2018	(None) LEE, JOE	VAT AMERICAN GENERAL	B	0.00	462.36	0.00	462.36	90.0	416.12
06/19/2018	(None) FISHER, JAMES	VAT AMERICAN GENERAL	B	0.00	64.06	0.00	64.06	90.0	57.65
06/20/2018	(None) BLACK, NELTA	VAT AMERICAN GENERAL	B	0.00	2.07	0.00	2.07	90.0	1.86
06/20/2018	(None) BUCHANAN, NANCY	VAT AMERICAN GENERAL	B	0.00	3.48	0.00	3.48	90.0	3.13
06/21/2018	(None) TURNER, JALNA	VAT AMERICAN GENERAL	B	0.00	162.09	0.00	162.09	90.0	145.88
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,518.51	\$0.00	\$1,518.51		\$1,366.65
*** DIRECT TRANSACTIONS TOTAL ***				\$2,932.40	\$1,825.46	\$0.00	\$1,825.46		\$1,642.91

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ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
06/30/2018	:	E-MAIL ACCOUNT-DONNA HESTER		0.00	0.00	0.00	0.00		-25.00
06/30/2018	:	E-MAIL ACCOUNT-LAUREN JASON		0.00	0.00	0.00	0.00		-25.00
				* SUBTOTAL *	\$0.00	\$0.00	\$0.00		\$-225.00
				*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00		\$-225.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,683.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-225.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,458.43
Year-to-date Commissions	\$84,693.50