



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2017

05/11/2017
Page No. 1

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
05/05/2017	KRAMER, GERALD	INLAND SELF-STORAGE PF III DST	199,971.86	6,999.02	0.00	6,999.02	95.0	6,649.07
		* 1031 EXCHANGE SUBTOTAL *	\$199,971.86	\$6,999.02	\$0.00	\$6,999.02		\$6,649.07
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/02/2017	PUTZ TRUST, MARILYNN	GRIFFIN INST'L ACCESS R E FUND C	84,063.20	840.63	0.00	840.63	95.0	798.60
		* INTERVAL FUNDS SUBTOTAL *	\$84,063.20	\$840.63	\$0.00	\$840.63		\$798.60
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/20/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
04/25/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
04/26/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
^05/01/2017	LISIECKI, MARGARET	AM FUNDS AMERICAN MUTUAL A	500.00	21.25	0.00	21.25	95.0	0.00
05/01/2017	MAZZARA, SUSAN	AM FUNDS AMERICAN MUTUAL C	4,743.23	47.43	0.00	47.43	95.0	45.06
^05/01/2017	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	0.00
04/24/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
04/24/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
04/24/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
05/01/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
05/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
05/01/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
05/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/01/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
05/03/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
04/24/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
05/01/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA C	100.00	1.00	0.00	1.00	95.0	0.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/03/2017	WAGNER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA C	1,000.00	10.00	0.00	10.00	95.0	9.50
^04/25/2017	MIHELICH, JOHN	AM FUNDS ICA A	26,109.66	979.11	0.00	979.11	95.0	0.00
04/28/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
05/01/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
^05/02/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	0.00
^05/01/2017	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	0.00
04/24/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
04/24/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
^05/01/2017	MOWINSKI, VINCENZO	AM FUNDS NEW WORLD C	100.00	1.00	0.00	1.00	95.0	0.00
05/01/2017	MOWINSKI, SERGIO	AM FUNDS NEW WORLD C	100.00	1.00	0.00	1.00	95.0	0.95
04/24/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
03/31/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	2.19	0.00	2.19	95.0	2.08
03/31/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	4.50	0.00	4.50	95.0	4.28
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.52	0.00	2.52	95.0	2.39
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	7.56	0.00	7.56	95.0	7.18
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	2.05	0.00	2.05	95.0	1.95
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.25	0.00	6.25	95.0	5.94
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.08	0.00	2.08	95.0	1.98
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.30	0.00	6.30	95.0	5.99
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.10	0.00	2.10	95.0	2.00
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.02	0.00	6.02	95.0	5.72
03/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.38	0.00	6.38	95.0	6.06
03/31/2017	MODRYCKI, DONALD	BLK AGG 10-12 AGE BASED A	0.00	0.49	0.00	0.49	95.0	0.47
03/31/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	4.33	0.00	4.33	95.0	4.11
03/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.71	0.00	1.71	95.0	1.62
03/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.75	0.00	0.75	95.0	0.71
03/31/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.58	0.00	0.58	95.0	0.55
03/31/2017	YASCH, SANDY	BLK AGG 6-9 AGE BASED A	0.00	0.60	0.00	0.60	95.0	0.57
03/31/2017	WILLIT	BLK AGG GROWTH A	0.00	4.35	0.00	4.35	95.0	4.13
03/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.03	0.00	0.03	95.0	0.03
03/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.09	0.00	0.09	95.0	0.09
03/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.48	0.00	0.48	95.0	0.46
03/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.16	0.00	0.16	95.0	0.15

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	1.08	0.00	1.08	95.0	1.03
03/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.36	0.00	0.36	95.0	0.34
03/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.12	0.00	0.12	95.0	0.11
03/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.35	0.00	0.35	95.0	0.33
03/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.16	0.00	0.16	95.0	0.15
03/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.05	0.00	0.05	95.0	0.05
03/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.06	0.00	1.06	95.0	1.01
03/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.19	0.00	2.19	95.0	2.08
03/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.73	0.00	0.73	95.0	0.69
03/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.80	0.00	0.80	95.0	0.76
03/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.41	0.00	2.41	95.0	2.29
03/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	3.19	0.00	3.19	95.0	3.03
03/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	1.06	0.00	1.06	95.0	1.01
03/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.60	0.00	0.60	95.0	0.57
03/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.79	0.00	1.79	95.0	1.70
03/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	3.18	0.00	3.18	95.0	3.02
04/25/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
04/28/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
04/28/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
03/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.15	0.00	3.15	95.0	2.99
03/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.15	0.00	3.15	95.0	2.99
03/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED A	0.00	0.96	0.00	0.96	95.0	0.91
03/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED A	0.00	3.19	0.00	3.19	95.0	3.03
03/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	7.14	0.00	7.14	95.0	6.78
03/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.38	0.00	2.38	95.0	2.26
03/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	0.80	0.00	0.80	95.0	0.76
03/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	2.39	0.00	2.39	95.0	2.27
03/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.32	0.00	0.32	95.0	0.30
03/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
03/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	3.22	0.00	3.22	95.0	3.06
03/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	1.07	0.00	1.07	95.0	1.02
03/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	0.64	0.00	0.64	95.0	0.61
03/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	1.92	0.00	1.92	95.0	1.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.38	0.00	2.38	95.0	2.26
03/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	7.14	0.00	7.14	95.0	6.78
04/28/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
03/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED A	0.00	1.39	0.00	1.39	95.0	1.32
03/31/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.55	0.00	0.55	95.0	0.52
03/31/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	2.00	0.00	2.00	95.0	1.90
03/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.89	0.00	2.89	95.0	2.75
03/31/2017	MODRYCKI, DONALD	BLK MOD 13-16 AGE BASED A	0.00	1.09	0.00	1.09	95.0	1.04
03/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.32	0.00	2.32	95.0	2.20
03/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	4.88	0.00	4.88	95.0	4.64
03/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	4.16	0.00	4.16	95.0	3.95
03/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	2.76	0.00	2.76	95.0	2.62
03/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	1.94	0.00	1.94	95.0	1.84
03/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.92	0.00	0.92	95.0	0.87
03/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.48	0.00	0.48	95.0	0.46
03/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.59	0.00	0.59	95.0	0.56
03/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.44	0.00	1.44	95.0	1.37
03/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	0.65	0.00	0.65	95.0	0.62
03/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.76	0.00	1.76	95.0	1.67
03/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.35	0.00	2.35	95.0	2.23
03/31/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.72	0.00	0.72	95.0	0.68
03/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.84	0.00	3.84	95.0	3.65
03/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	2.18	0.00	2.18	95.0	2.07
03/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.87	0.00	0.87	95.0	0.83
03/31/2017	MODRYCKI, DONALD	BLK MOD 17+ AGE BASED A	0.00	0.56	0.00	0.56	95.0	0.53
03/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED A	0.00	0.37	0.00	0.37	95.0	0.35
03/31/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	3.86	0.00	3.86	95.0	3.67
03/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.17	0.00	3.17	95.0	3.01
03/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.06	0.00	1.06	95.0	1.01
03/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.79	0.00	0.79	95.0	0.75
03/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.38	0.00	2.38	95.0	2.26
03/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	3.30	0.00	3.30	95.0	3.14
03/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	6.49	0.00	6.49	95.0	6.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	1.10	0.00	1.10	95.0	1.05
03/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.41	0.00	2.41	95.0	2.29
03/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.80	0.00	0.80	95.0	0.76
03/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.06	0.00	0.06	95.0	0.06
03/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.19	0.00	0.19	95.0	0.18
03/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
03/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.04	0.00	0.04	95.0	0.04
03/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	2.16	0.00	2.16	95.0	2.05
04/28/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
04/28/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
05/03/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	8.70	0.00	8.70	95.0	8.27
05/03/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.38	0.00	5.38	95.0	5.11
05/03/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	6.04	0.00	6.04	95.0	5.74
05/03/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	0.87	0.00	0.87	95.0	0.83
05/03/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	6.48	0.00	6.48	95.0	6.16
05/03/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	8.83	0.00	8.83	95.0	8.39
05/03/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	2.97	0.00	2.97	95.0	2.82
05/03/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.39	0.00	8.39	95.0	7.97
05/03/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.57	0.00	2.57	95.0	2.44
05/03/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.21	0.00	5.21	95.0	4.95
05/03/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.37	0.00	5.37	95.0	5.10
05/03/2017	SHIELDS, GERALD	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.02	0.00	4.02	95.0	3.82
05/03/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.20	0.00	3.20	95.0	3.04
05/03/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	40.64	0.00	40.64	95.0	38.61
05/03/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	5.49	0.00	5.49	95.0	5.22
05/03/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	4.56	0.00	4.56	95.0	4.33
05/03/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	0.00	6.21	0.00	6.21	95.0	5.90
05/03/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
05/03/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
05/03/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
05/03/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
05/03/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.53	0.00	2.53	95.0	2.40
05/03/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/17/2017	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	400.00	16.00	0.00	16.00	95.0	0.00
04/17/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
04/17/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
04/17/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
04/28/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
04/27/2017	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	100.00	4.00	0.00	4.00	95.0	3.80
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.09	0.00	0.09	95.0	0.09
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.46	0.00	0.46	95.0	0.44
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.57	0.00	0.57	95.0	0.54
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.57	0.00	0.57	95.0	0.54
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	0.93	0.00	0.93	95.0	0.88
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.09	0.00	1.09	95.0	1.04
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	22.53	0.00	22.53	95.0	21.40
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	3.47	0.00	3.47	95.0	3.30
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.55	0.00	1.55	95.0	1.47
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	11.58	0.00	11.58	95.0	11.00
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	8.64	0.00	8.64	95.0	8.21
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	4.86	0.00	4.86	95.0	4.62
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.72	0.00	1.72	95.0	1.63
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.15	0.00	0.15	95.0	0.14
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.58	0.00	1.58	95.0	1.50
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	3.70	0.00	3.70	95.0	3.52
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.87	0.00	1.87	95.0	1.78
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	19.63	0.00	19.63	95.0	18.65
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.41	0.00	3.41	95.0	3.24
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.23	0.00	0.23	95.0	0.22
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.14	0.00	0.14	95.0	0.13
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.14	0.00	0.14	95.0	0.13
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	5.80	0.00	5.80	95.0	5.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	4.44	0.00	4.44	95.0	4.22
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.59	0.00	1.59	95.0	1.51
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.42	0.00	2.42	95.0	2.30
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.33	0.00	3.33	95.0	3.16
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.16	0.00	1.16	95.0	1.10
/ /	ZERILLI, ROSA	FIDELITY GROWTH & INCOME A	0.00	1.40	0.00	1.40	95.0	1.33
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.22	0.00	1.22	95.0	1.16
/ /	ZERILLI, ROSA	FIDELITY TECHNOLOGY A	0.00	1.47	0.00	1.47	95.0	1.40
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.51	0.00	0.51	95.0	0.48
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.02	0.00	0.02	95.0	0.02
04/30/2017	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.43	0.00	0.43	95.0	0.41
04/30/2017	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.21	0.00	0.21	95.0	0.20
04/30/2017	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	24.17	0.00	24.17	95.0	22.96
04/30/2017	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	1.95	0.00	1.95	95.0	1.85
04/30/2017	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	1.01	0.00	1.01	95.0	0.96
04/30/2017	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	5.17	0.00	5.17	95.0	4.91
04/30/2017	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	8.41	0.00	8.41	95.0	7.99
04/30/2017	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	17.41	0.00	17.41	95.0	16.54
04/30/2017	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	4.67	0.00	4.67	95.0	4.44
04/30/2017	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	36.29	0.00	36.29	95.0	34.48
04/30/2017	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.05	0.00	1.05	95.0	1.00
04/30/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	8.79	0.00	8.79	95.0	8.35
04/30/2017	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.09	0.00	2.09	95.0	1.99
04/30/2017	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.87	0.00	2.87	95.0	2.73
04/30/2017	MILLARD, PATRICIA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.74	0.00	0.74	95.0	0.70
04/30/2017	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.01	0.00	1.01	95.0	0.96
04/30/2017	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.27	0.00	1.27	95.0	1.21
04/30/2017	RYAN, ANDREW	FRANKLIN GOLD & PRECIOUS METALS A	0.00	9.13	0.00	9.13	95.0	8.67
04/30/2017	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.96	0.00	1.96	95.0	1.86
04/30/2017	MICHON, ERIK	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.20	0.00	2.20	95.0	2.09
04/30/2017	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.21	0.00	1.21	95.0	1.15
04/30/2017	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.33	0.00	0.33	95.0	0.31
04/30/2017	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.24	0.00	0.24	95.0	0.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2017	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.19	0.00	0.19	95.0	0.18
04/30/2017	OBIE, THOMAS	FRANKLIN GROWTH A	0.00	8.47	0.00	8.47	95.0	8.05
04/30/2017	DAVIS, JAMES	FRANKLIN GROWTH A	0.00	0.03	0.00	0.03	95.0	0.03
04/25/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
04/30/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	101.35	0.00	101.35	95.0	96.28
04/30/2017	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.52	0.00	0.52	95.0	0.49
04/30/2017	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	2.95	0.00	2.95	95.0	2.80
04/30/2017	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.51	0.00	0.51	95.0	0.48
04/30/2017	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	12.74	0.00	12.74	95.0	12.10
04/30/2017	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.47	0.00	4.47	95.0	4.25
04/30/2017	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	13.38	0.00	13.38	95.0	12.71
04/30/2017	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.57	0.00	0.57	95.0	0.54
04/30/2017	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	44.42	0.00	44.42	95.0	42.20
04/30/2017	OBIE, THOMAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	2.57	0.00	2.57	95.0	2.44
04/30/2017	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.56	0.00	0.56	95.0	0.53
04/30/2017	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.30	0.00	11.30	95.0	10.74
04/30/2017	FISK, RALPH	FRANKLIN HIGH YIELD TAX FREE A	0.00	33.41	0.00	33.41	95.0	31.74
04/30/2017	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.35	0.00	0.35	95.0	0.33
04/30/2017	KLEIN TRUST, NICHOLAS	FRANKLIN HIGH YIELD TAX FREE A	0.00	6.00	0.00	6.00	95.0	5.70
04/30/2017	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.37	0.00	4.37	95.0	4.15
04/30/2017	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	61.62	0.00	61.62	95.0	58.54
04/30/2017	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	27.42	0.00	27.42	95.0	26.05
04/30/2017	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	24.99	0.00	24.99	95.0	23.74
04/30/2017	WILSON, JANET	FRANKLIN INCOME A	0.00	0.70	0.00	0.70	95.0	0.67
04/30/2017	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.18	0.00	3.18	95.0	3.02
04/30/2017	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.36	0.00	7.36	95.0	6.99
04/30/2017	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	6.84	0.00	6.84	95.0	6.50
04/30/2017	MATHEY, ERIN	FRANKLIN INCOME A	0.00	1.85	0.00	1.85	95.0	1.76
04/30/2017	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.80	0.00	1.80	95.0	1.71
04/30/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	0.33	0.00	0.33	95.0	0.31
04/30/2017	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	2.93	0.00	2.93	95.0	2.78
04/30/2017	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	2.99	0.00	2.99	95.0	2.84
04/30/2017	NELLES, TROY	FRANKLIN INCOME A	0.00	0.47	0.00	0.47	95.0	0.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2017	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	7.16	0.00	7.16	95.0	6.80
04/30/2017	CHANDLER, CHARLES	FRANKLIN INCOME A	0.00	7.16	0.00	7.16	95.0	6.80
04/30/2017	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.52	0.00	3.52	95.0	3.34
04/30/2017	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	1.03	0.00	1.03	95.0	0.98
04/30/2017	DAVIS, JAMES	FRANKLIN INCOME A	0.00	17.23	0.00	17.23	95.0	16.37
04/30/2017	DAVIS, RITA	FRANKLIN INCOME A	0.00	19.36	0.00	19.36	95.0	18.39
04/30/2017	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	11.29	0.00	11.29	95.0	10.73
04/30/2017	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	26.17	0.00	26.17	95.0	24.86
04/30/2017	KLEIN, ANNA	FRANKLIN INCOME A	0.00	12.21	0.00	12.21	95.0	11.60
04/30/2017	WALKER, SUE	FRANKLIN INCOME A	0.00	43.62	0.00	43.62	95.0	41.44
04/30/2017	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	20.08	0.00	20.08	95.0	19.08
04/30/2017	MALLIA, JOSEPH	FRANKLIN MI TAX FR INCOME A	0.00	8.28	0.00	8.28	95.0	7.87
04/30/2017	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	20.77	0.00	20.77	95.0	19.73
04/30/2017	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	3.24	0.00	3.24	95.0	3.08
04/30/2017	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	5.36	0.00	5.36	95.0	5.09
04/30/2017	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.53	0.00	1.53	95.0	1.45
04/30/2017	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	5.90	0.00	5.90	95.0	5.61
04/30/2017	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.47	0.00	2.47	95.0	2.35
04/30/2017	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.33	0.00	5.33	95.0	5.06
04/30/2017	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	11.01	0.00	11.01	95.0	10.46
05/04/2017	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	500.00	20.00	0.00	20.00	95.0	19.00
05/04/2017	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	500.00	20.00	0.00	20.00	95.0	19.00
04/30/2017	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	0.25	0.00	0.25	95.0	0.24
04/30/2017	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	855.89	0.00	855.89	95.0	813.10
04/30/2017	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.41	0.00	7.41	95.0	7.04
04/30/2017	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.41	0.00	0.41	95.0	0.39
04/30/2017	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.82	0.00	3.82	95.0	3.63
04/30/2017	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.11	0.00	13.11	95.0	12.45
04/30/2017	WALKER TRUST, SUE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.62	0.00	9.62	95.0	9.14
04/30/2017	FOX UBER, ROBERT	FRANKLIN OH TAX FR INCOME FUND	0.00	2.61	0.00	2.61	95.0	2.48
04/30/2017	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.83	0.00	1.83	95.0	1.74
04/30/2017	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	6.07	0.00	6.07	95.0	5.77
04/30/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	12.72	0.00	12.72	95.0	12.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2017	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	7.60	0.00	7.60	95.0	7.22
04/30/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	13.26	0.00	13.26	95.0	12.60
04/30/2017	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	7.60	0.00	7.60	95.0	7.22
04/30/2017	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	79.18	0.00	79.18	95.0	75.22
04/30/2017	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.61	0.00	0.61	95.0	0.58
04/30/2017	OBIE, THOMAS	FRANKLIN SMALL CAP VALUE A	0.00	5.49	0.00	5.49	95.0	5.22
04/30/2017	OBIE, MARCIA	FRANKLIN SMALL CAP VALUE A	0.00	10.17	0.00	10.17	95.0	9.66
04/30/2017	DAIZA, PHILLIP	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.85	0.00	0.85	95.0	0.81
04/30/2017	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.51	0.00	4.51	95.0	4.28
04/30/2017	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	10.11	0.00	10.11	95.0	9.60
04/30/2017	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.23	0.00	0.23	95.0	0.22
04/30/2017	OBIE, THOMAS	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	6.13	0.00	6.13	95.0	5.82
04/30/2017	OBIE, MARCIA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	9.08	0.00	9.08	95.0	8.63
04/30/2017	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.32	0.00	10.32	95.0	9.80
04/30/2017	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	6.84	0.00	6.84	95.0	6.50
05/04/2017	BELSER, HELEN	FRANKLIN TN MUNI BOND A	1,500.00	60.00	0.00	60.00	95.0	57.00
04/30/2017	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.00	0.00	5.00	95.0	4.75
04/30/2017	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	5.14	0.00	5.14	95.0	4.88
04/30/2017	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.75	0.00	0.75	95.0	0.71
04/30/2017	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.71	0.00	10.71	95.0	10.17
04/30/2017	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	2.31	0.00	2.31	95.0	2.19
04/30/2017	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.56	0.00	4.56	95.0	4.33
04/30/2017	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.47	0.00	18.47	95.0	17.55
04/30/2017	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.41	0.00	0.41	95.0	0.39
04/30/2017	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.50	0.00	0.50	95.0	0.48
04/30/2017	OBIE, THOMAS	FRANKLIN US GOVT SEC FUND A	0.00	31.85	0.00	31.85	95.0	30.26
04/30/2017	OBIE, MARCIA	FRANKLIN US GOVT SEC FUND A	0.00	9.96	0.00	9.96	95.0	9.46
04/30/2017	OBIE, BRADFORD	FRANKLIN US GOVT SEC FUND A	0.00	6.63	0.00	6.63	95.0	6.30
04/30/2017	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.57	0.00	10.57	95.0	10.04
04/30/2017	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	34.81	0.00	34.81	95.0	33.07
04/30/2017	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	4.07	0.00	4.07	95.0	3.87
04/30/2017	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.09	0.00	1.09	95.0	1.04
04/30/2017	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	22.29	0.00	22.29	95.0	21.18

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2017	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.25	0.00	0.25	95.0	0.24
04/30/2017	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.92	0.00	0.92	95.0	0.87
04/30/2017	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.10	0.00	2.10	95.0	2.00
04/30/2017	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.34	0.00	3.34	95.0	3.17
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	7.45	0.00	7.45	95.0	7.08
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.59	0.00	0.59	95.0	0.56
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	0.79	0.00	0.79	95.0	0.75
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	4.91	0.00	4.91	95.0	4.66
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	21.25	0.00	21.25	95.0	20.19
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	12.07	0.00	12.07	95.0	11.47
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.75	0.00	0.75	95.0	0.71
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	36.89	0.00	36.89	95.0	35.05
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	18.93	0.00	18.93	95.0	17.98
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	4.59	0.00	4.59	95.0	4.36
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	1.85	0.00	1.85	95.0	1.76
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	23.12	0.00	23.12	95.0	21.96
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	4.13	0.00	4.13	95.0	3.92
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	1.09	0.00	1.09	95.0	1.04
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.21	0.00	1.21	95.0	1.15
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	3.61	0.00	3.61	95.0	3.43
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.76	0.00	1.76	95.0	1.67
05/05/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
04/25/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
04/24/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	255.00	2.55	0.00	2.55	95.0	2.42
04/24/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	40.80	0.41	0.00	0.41	95.0	0.39
04/24/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	83.12	0.83	0.00	0.83	95.0	0.79
04/24/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	49.87	0.50	0.00	0.50	95.0	0.48
04/24/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	247.50	2.48	0.00	2.48	95.0	2.36
04/24/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	39.60	0.40	0.00	0.40	95.0	0.38
04/24/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
04/24/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
04/24/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	83.13	0.83	0.00	0.83	95.0	0.79
04/24/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	49.88	0.50	0.00	0.50	95.0	0.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/24/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	83.12	0.83	0.00	0.83	95.0	0.79
04/24/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	49.87	0.50	0.00	0.50	95.0	0.48
04/17/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
04/24/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	37.50	0.38	0.00	0.38	95.0	0.36
04/24/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	37.50	0.38	0.00	0.38	95.0	0.36
04/24/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	75.00	0.75	0.00	0.75	95.0	0.71
04/24/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	75.00	0.75	0.00	0.75	95.0	0.71
04/24/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	83.12	0.83	0.00	0.83	95.0	0.79
04/24/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	49.87	0.50	0.00	0.50	95.0	0.48
04/24/2017	DITRI, ANN	OPPENHEIMER VALUE C	247.50	2.48	0.00	2.48	95.0	2.36
04/24/2017	DITRI, ANN	OPPENHEIMER VALUE C	39.60	0.40	0.00	0.40	95.0	0.38
04/30/2017	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.48	0.00	1.48	95.0	1.41
04/30/2017	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.19	0.00	0.19	95.0	0.18
04/30/2017	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2017	RYAN, ANDREW	TEMPLETON DEVELOPING MKTS A	0.00	14.64	0.00	14.64	95.0	13.91
04/30/2017	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.65	0.00	0.65	95.0	0.62
04/30/2017	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	1.97	0.00	1.97	95.0	1.87
04/30/2017	LEWIS, CHRISTIAN	TEMPLETON DEVELOPING MKTS A	0.00	0.00	0.00	0.00	95.0	0.00
04/30/2017	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.47	0.00	2.47	95.0	2.35
04/30/2017	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	7.81	0.00	7.81	95.0	7.42
04/30/2017	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	9.01	0.00	9.01	95.0	8.56
04/30/2017	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	11.91	0.00	11.91	95.0	11.31
04/30/2017	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	436.06	0.00	436.06	95.0	414.26
04/30/2017	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	3.96	0.00	3.96	95.0	3.76
04/30/2017	KELLEY, DAVID	TEMPLETON FOREIGN FUND A	0.00	0.91	0.00	0.91	95.0	0.86
04/30/2017	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	6.78	0.00	6.78	95.0	6.44
04/30/2017	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2017	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2017	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2017	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2017	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2017	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.53	0.00	0.53	95.0	0.50
04/30/2017	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.58	0.00	0.58	95.0	0.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2017	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.57	0.00	3.57	95.0	3.39
04/30/2017	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.20	0.00	0.20	95.0	0.19
04/30/2017	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	1.76	0.00	1.76	95.0	1.67
04/30/2017	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	66.41	0.00	66.41	95.0	63.09
04/30/2017	HANSEL, NANCY	TEMPLETON GLOBAL OPP A	0.00	0.20	0.00	0.20	95.0	0.19
04/30/2017	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.42	0.00	2.42	95.0	2.30
04/30/2017	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	113.53	0.00	113.53	95.0	107.85
04/30/2017	KEELER, DAVID	TEMPLETON GROWTH A	0.00	36.38	0.00	36.38	95.0	34.56
04/30/2017	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.30	0.00	3.30	95.0	3.14
04/30/2017	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.36	0.00	1.36	95.0	1.29
04/30/2017	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	7.40	0.00	7.40	95.0	7.03
04/30/2017	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	4.52	0.00	4.52	95.0	4.29
04/30/2017	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	12.60	0.00	12.60	95.0	11.97
04/30/2017	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.78	0.00	0.78	95.0	0.74
04/30/2017	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	30.84	0.00	30.84	95.0	29.30
04/30/2017	RIGGENBACH, ANNE	TEMPLETON WORLD A	0.00	76.25	0.00	76.25	95.0	72.44
04/30/2017	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	60.95	0.00	60.95	95.0	57.90
04/30/2017	RICHARD, GLENN	TEMPLETON WORLD A	0.00	51.87	0.00	51.87	95.0	49.28
04/30/2017	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	210.52	0.00	210.52	95.0	199.99
04/30/2017	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	85.02	0.00	85.02	95.0	80.77
04/30/2017	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	123.81	0.00	123.81	95.0	117.62
04/30/2017	MURRAY, ANN	TEMPLETON WORLD A	0.00	28.14	0.00	28.14	95.0	26.73
04/30/2017	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.67	0.00	1.67	95.0	1.59
04/30/2017	FLORIE, TERRENCE	TEMPLETON WORLD A	0.00	1.11	0.00	1.11	95.0	1.05
04/30/2017	FLORIE, CHRISTINE	TEMPLETON WORLD A	0.00	17.75	0.00	17.75	95.0	16.86
04/30/2017	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	29.98	0.00	29.98	95.0	28.48
04/30/2017	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	7.13	0.00	7.13	95.0	6.77
04/30/2017	GREENE, KARLI	TEMPLETON WORLD A	0.00	8.17	0.00	8.17	95.0	7.76
04/30/2017	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.37	0.00	0.37	95.0	0.35
04/30/2017	WAMPLER TRUST	TEMPLETON WORLD A	0.00	19.05	0.00	19.05	95.0	18.10
04/30/2017	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	3.30	0.00	3.30	95.0	3.14
04/30/2017	HANSEL, NANCY	TEMPLETON WORLD A	0.00	0.60	0.00	0.60	95.0	0.57
05/02/2017	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	32.32	0.00	32.32	95.0	30.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/02/2017	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	32.32	0.00	32.32	95.0	30.70
05/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.51	0.00	4.51	95.0	4.28
05/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	4.73	0.00	4.73	95.0	4.49
05/02/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	18.55	0.00	18.55	95.0	17.62
05/02/2017	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	19.10	0.00	19.10	95.0	18.15
05/02/2017	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.51	0.00	1.51	95.0	1.43
05/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	9.12	0.00	9.12	95.0	8.66
05/02/2017	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.43	0.00	0.43	95.0	0.41
05/02/2017	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	0.92	0.00	0.92	95.0	0.87
05/02/2017	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	79.03	0.00	79.03	95.0	75.08
05/02/2017	SCALF, MARSHA	TRANSAMERICA AA GROWTH C	0.00	31.78	0.00	31.78	95.0	30.19
05/02/2017	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	18.74	0.00	18.74	95.0	17.80
05/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	11.10	0.00	11.10	95.0	10.55
05/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	3.74	0.00	3.74	95.0	3.55
05/02/2017	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	25.41	0.00	25.41	95.0	24.14
05/02/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	7.86	0.00	7.86	95.0	7.47
05/02/2017	NEIRINCK, DONNA	TRANSAMERICA AA GROWTH C	0.00	15.93	0.00	15.93	95.0	15.13
05/02/2017	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	9.54	0.00	9.54	95.0	9.06
05/02/2017	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	37.25	0.00	37.25	95.0	35.39
05/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	10.85	0.00	10.85	95.0	10.31
05/02/2017	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	11.29	0.00	11.29	95.0	10.73
05/02/2017	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	17.43	0.00	17.43	95.0	16.56
05/02/2017	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.40	0.00	1.40	95.0	1.33
05/02/2017	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	53.44	0.00	53.44	95.0	50.77
05/02/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	34.54	0.00	34.54	95.0	32.81
05/02/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	36.84	0.00	36.84	95.0	35.00
05/02/2017	WATKINS, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	7.54	0.00	7.54	95.0	7.16
05/02/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	20.52	0.00	20.52	95.0	19.49
05/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	44.73	0.00	44.73	95.0	42.49
05/02/2017	WATKINS, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	5.32	0.00	5.32	95.0	5.05
05/02/2017	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	12.23	0.00	12.23	95.0	11.62
05/02/2017	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	45.12	0.00	45.12	95.0	42.86
05/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	25.21	0.00	25.21	95.0	23.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/02/2017	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	35.50	0.00	35.50	95.0	33.73
05/02/2017	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	21.75	0.00	21.75	95.0	20.66
05/02/2017	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	22.28	0.00	22.28	95.0	21.17
05/02/2017	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.50	0.00	4.50	95.0	4.28
05/02/2017	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	8.62	0.00	8.62	95.0	8.19
05/02/2017	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	12.22	0.00	12.22	95.0	11.61
05/02/2017	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	4.74	0.00	4.74	95.0	4.50
05/02/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	11.79	0.00	11.79	95.0	11.20
05/02/2017	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	12.00	0.00	12.00	95.0	11.40
05/02/2017	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	2.97	0.00	2.97	95.0	2.82
05/02/2017	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	13.05	0.00	13.05	95.0	12.40
05/02/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	1.72	0.00	1.72	95.0	1.63
05/02/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	1.77	0.00	1.77	95.0	1.68
05/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA GROWTH C	0.00	27.26	0.00	27.26	95.0	25.90
05/02/2017	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.87	0.00	0.87	95.0	0.83
05/02/2017	HOVIS, MARJORIE	TRANSAMERICA AA MOD GROWTH C	0.00	3.37	0.00	3.37	95.0	3.20
05/02/2017	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	33.22	0.00	33.22	95.0	31.56
05/02/2017	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	26.74	0.00	26.74	95.0	25.40
05/02/2017	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	8.64	0.00	8.64	95.0	8.21
05/02/2017	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.02	0.00	11.02	95.0	10.47
05/02/2017	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	194.52	0.00	194.52	95.0	184.79
05/02/2017	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	8.90	0.00	8.90	95.0	8.46
05/02/2017	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	20.40	0.00	20.40	95.0	19.38
05/02/2017	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	22.22	0.00	22.22	95.0	21.11
05/02/2017	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.53	0.00	11.53	95.0	10.95
05/02/2017	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	14.90	0.00	14.90	95.0	14.16
05/02/2017	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.11	0.00	0.11	95.0	0.10
05/02/2017	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	28.89	0.00	28.89	95.0	27.45
05/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	51.19	0.00	51.19	95.0	48.63
05/02/2017	SEISSER, JAMES	TRANSAMERICA AA MOD GROWTH C	0.00	1.87	0.00	1.87	95.0	1.78
05/02/2017	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	35.78	0.00	35.78	95.0	33.99
05/02/2017	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	30.14	0.00	30.14	95.0	28.63
05/02/2017	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	41.54	0.00	41.54	95.0	39.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/02/2017	FRANKS, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	3.56	0.00	3.56	95.0	3.38
05/02/2017	MODRYCKI, DONALD	TRANSAMERICA AA MOD GROWTH C	0.00	7.13	0.00	7.13	95.0	6.77
05/02/2017	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.47	0.00	11.47	95.0	10.90
05/02/2017	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.36	0.00	3.36	95.0	3.19
05/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.10	0.00	9.10	95.0	8.65
05/02/2017	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	15.51	0.00	15.51	95.0	14.73
05/02/2017	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	108.14	0.00	108.14	95.0	102.73
05/02/2017	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	2.63	0.00	2.63	95.0	2.50
05/02/2017	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.22	0.00	9.22	95.0	8.76
05/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	9.45	0.00	9.45	95.0	8.98
05/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	4.77	0.00	4.77	95.0	4.53
05/02/2017	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.55	0.00	2.55	95.0	2.42
05/02/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	41.70	0.00	41.70	95.0	39.62
05/02/2017	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.28	0.00	3.28	95.0	3.12
05/02/2017	RYAN, KAREN	TRANSAMERICA AA MOD GROWTH C	0.00	3.10	0.00	3.10	95.0	2.95
05/02/2017	TYSZKIEWICZ TRUST, RONALD &	TRANSAMERICA AA MOD GROWTH C	0.00	2.28	0.00	2.28	95.0	2.17
05/02/2017	THOMAS, DEBORAH	TRANSAMERICA AA MOD GROWTH C	0.00	9.07	0.00	9.07	95.0	8.62
05/02/2017	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	142.55	0.00	142.55	95.0	135.42
05/02/2017	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	50.85	0.00	50.85	95.0	48.31
05/02/2017	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	1.98	0.00	1.98	95.0	1.88
05/02/2017	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.10	0.00	3.10	95.0	2.95
05/02/2017	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	24.49	0.00	24.49	95.0	23.27
05/02/2017	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	3.45	0.00	3.45	95.0	3.28
05/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	28.33	0.00	28.33	95.0	26.91
05/02/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	105.61	0.00	105.61	95.0	100.33
05/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	21.25	0.00	21.25	95.0	20.19
05/02/2017	SIMONY, JOAN	TRANSAMERICA AA MODERATE C	0.00	66.68	0.00	66.68	95.0	63.35
05/02/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.73	0.00	0.73	95.0	0.69
05/02/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	81.47	0.00	81.47	95.0	77.40
05/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.39	0.00	9.39	95.0	8.92
05/02/2017	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.29	0.00	0.29	95.0	0.28
05/02/2017	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	11.27	0.00	11.27	95.0	10.71
05/02/2017	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	7.91	0.00	7.91	95.0	7.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/02/2017	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	30.40	0.00	30.40	95.0	28.88
05/02/2017	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	21.95	0.00	21.95	95.0	20.85
05/02/2017	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	9.21	0.00	9.21	95.0	8.75
05/02/2017	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	4.44	0.00	4.44	95.0	4.22
05/02/2017	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	12.32	0.00	12.32	95.0	11.70
05/02/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	10.67	0.00	10.67	95.0	10.14
05/02/2017	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	12.97	0.00	12.97	95.0	12.32
05/02/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI MGR INTL C	0.00	12.47	0.00	12.47	95.0	11.85
05/03/2016	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	-1,092.01	-10.92	0.00	-10.92	95.0	-10.37
05/03/2016	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	-4,049.77	-40.50	0.00	-40.50	95.0	-38.48
04/25/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	350.00	3.50	0.00	3.50	95.0	3.33
05/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.44	0.00	29.44	95.0	27.97
05/02/2017	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.83	0.00	2.83	95.0	2.69
05/02/2017	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	5.93	0.00	5.93	95.0	5.63
05/02/2017	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.11	0.00	7.11	95.0	6.75
05/02/2017	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.23	0.00	29.23	95.0	27.77
05/02/2017	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	105.55	0.00	105.55	95.0	100.27
05/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	39.80	0.00	39.80	95.0	37.81
05/02/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.59	0.00	6.59	95.0	6.26
05/02/2017	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.91	0.00	2.91	95.0	2.76
05/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.59	0.00	2.59	95.0	2.46
05/02/2017	ORTAGO, PAULA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.52	0.00	7.52	95.0	7.14
05/02/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	11.03	0.00	11.03	95.0	10.48
05/02/2017	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.15	0.00	1.15	95.0	1.09
05/02/2017	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.50	0.00	12.50	95.0	11.88
05/02/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.26	0.00	16.26	95.0	15.45
05/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	33.30	0.00	33.30	95.0	31.64
05/02/2017	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	5.82	0.00	5.82	95.0	5.53
05/02/2017	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.03	0.00	10.03	95.0	9.53
05/02/2017	JOHNSON, DONNA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.79	0.00	2.79	95.0	2.65
05/02/2017	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	62.97	0.00	62.97	95.0	59.82
05/02/2017	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	127.64	0.00	127.64	95.0	121.26
05/02/2017	JOHNSON, DONNA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	26.24	0.00	26.24	95.0	24.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/02/2017	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	74.52	0.00	74.52	95.0	70.79
05/02/2017	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.65	0.00	1.65	95.0	1.57
05/02/2017	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	95.93	0.00	95.93	95.0	91.13
05/02/2017	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	41.32	0.00	41.32	95.0	39.25
05/02/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	19.72	0.00	19.72	95.0	18.73
05/02/2017	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.70	0.00	27.70	95.0	26.32
05/02/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.70	0.00	27.70	95.0	26.32
05/02/2017	DOOTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	20.19	0.00	20.19	95.0	19.18
05/02/2017	DOOTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.06	0.00	6.06	95.0	5.76
05/02/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.03	0.00	7.03	95.0	6.68
05/02/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.04	0.00	7.04	95.0	6.69
05/02/2017	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	28.91	0.00	28.91	95.0	27.46
05/02/2017	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	21.87	0.00	21.87	95.0	20.78
05/02/2017	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.98	0.00	62.98	95.0	59.83
05/02/2017	CHRONOWSKI, THEODORA	TRANSAMERICA SHORT-TERM BOND C	0.00	14.96	0.00	14.96	95.0	14.21
05/02/2017	HOOTNER-JONES, ANN	TRANSAMERICA SHORT-TERM BOND C	0.00	7.86	0.00	7.86	95.0	7.47
05/02/2017	RYAN, JACK	TRANSAMERICA SHORT-TERM BOND C	0.00	8.41	0.00	8.41	95.0	7.99
05/02/2017	RYAN, KAREN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.06	0.00	8.06	95.0	7.66
05/02/2017	KNIGHT, SHARON	TRANSAMERICA SMALL/MID CAP VALUE C	0.00	11.37	0.00	11.37	95.0	10.80
05/02/2017	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.60	0.00	4.60	95.0	4.37
05/02/2017	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.52	0.00	5.52	95.0	5.24
05/02/2017	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	3.07	0.00	3.07	95.0	2.92
05/02/2017	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.83	0.00	1.83	95.0	1.74
* MUTUAL FUNDS SUBTOTAL *			\$34,758.09	\$8,730.24	\$0.00	\$8,730.24		\$7,315.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	BERTRAND, C JERRY	JNL ELITE ACCESS	58,122.87	31.48	0.00	31.48	95.0	29.91
04/30/2017	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	22,724.82	18.94	0.00	18.94	95.0	17.99
04/30/2017	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	93,082.72	38.78	0.00	38.78	95.0	36.84
04/30/2017	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	493.92	0.41	0.00	0.41	95.0	0.39
04/30/2017	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	26,554.32	11.06	0.00	11.06	95.0	10.51
04/30/2017	CROSS, LINDA	JNL PERSPECTIVE II 05/05	5,810.24	2.42	0.00	2.42	95.0	2.30
04/30/2017	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	44,568.95	18.57	0.00	18.57	95.0	17.64
04/30/2017	RANG, MARILYN	JNL PERSPECTIVE II 05/05	72,659.33	30.27	0.00	30.27	95.0	28.76

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	172,770.54	71.99	0.00	71.99	95.0	68.39
04/30/2017	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	126,174.38	52.57	0.00	52.57	95.0	49.94
04/30/2017	PIROZZO, PETER	JNL PERSPECTIVE L	50,170.78	54.35	0.00	54.35	95.0	51.63
04/30/2017	DIEM, LINDA	JOHN HANCOCK VENTURE	601.89	0.13	0.00	0.13	95.0	0.12
04/30/2017	SCERBAK, EILEEN	JOHN HANCOCK VENTURE	45,256.17	9.43	0.00	9.43	95.0	8.96
04/30/2017	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	6,928.25	1.44	0.00	1.44	95.0	1.37
04/30/2017	DANIEL, SABRINA	JOHN HANCOCK VENTURE	299.05	0.06	0.00	0.06	95.0	0.06
04/30/2017	MIKLEVICH, JOYTHUN	JOHN HANCOCK VENTURE	83,098.34	17.31	0.00	17.31	95.0	16.44
04/04/2017	SCHROETER, RICHARD	TRANSAMERICA EXTRA	35,246.26	29.37	0.00	29.37	95.0	27.90
04/14/2017	GRUSH, ERNEST	TRANSAMERICA LANDMARK	138,397.42	115.33	0.00	115.33	95.0	109.56
04/30/2017	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,570.73	3.81	0.00	3.81	95.0	3.62
* VARIABLE ANNUITIES SUBTOTAL *			\$987,530.98	\$507.72	\$0.00	\$507.72		\$482.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2017	BUSSELL, VARIOUS	DREYFUS	0.00	72.23	0.00	72.23	95.0	68.62
04/30/2017	CFGROUP, VARIOUS	DREYFUS	0.00	14.00	0.00	14.00	95.0	13.30
03/31/2017	ROCCA/VARTABEDIAN, VARIOUS	VOYA TRAILS	0.00	3.34	0.00	3.34	95.0	3.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$89.57	\$0.00	\$89.57		\$85.09
*** BROKER TRANSACTIONS TOTAL ***			\$1,306,324.13	\$17,167.18	\$0.00	\$17,167.18		\$15,330.56

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

04/11/2017	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.35	0.00	0.35	95.0	0.33
04/11/2017	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	95.0	0.19
04/11/2017	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	95.0	0.16
04/11/2017	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.33	0.00	2.33	95.0	2.21
04/11/2017	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	95.0	0.41
04/11/2017	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	11.68	0.00	11.68	95.0	11.10
04/11/2017	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.13	0.00	1.13	95.0	1.07
04/11/2017	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	5.99	0.00	5.99	95.0	5.69
04/11/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.50	0.00	1.50	95.0	1.43
04/11/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.50	0.00	1.50	95.0	1.43
04/11/2017	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.99	0.00	4.99	95.0	4.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK KOSANKE #3</u>								
04/11/2017	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.22	0.00	2.22	95.0	2.11
04/11/2017	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	15.26	0.00	15.26	95.0	14.50
04/11/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	9.49	0.00	9.49	95.0	9.02
04/11/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	0.00	0.61	0.00	0.61	95.0	0.58
04/11/2017	WERNER, DOROTHY	DREYFUS HIGH YIELD A	0.00	2.35	0.00	2.35	95.0	2.23
04/11/2017	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	24.57	0.00	24.57	95.0	23.34
04/11/2017	WERNER, DOROTHY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.73	0.00	5.73	95.0	5.44
04/11/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	0.00	0.85	0.00	0.85	95.0	0.81
04/11/2017	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.76	0.00	0.76	95.0	0.72
04/11/2017	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.39	0.00	2.39	95.0	2.27
04/11/2017	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	20.15	0.00	20.15	95.0	19.14
04/11/2017	MERTZ, LESLIE	FT FOUNDING FDS ALLOC C	0.00	3.96	0.00	3.96	95.0	3.76
04/30/2017	KRASS, DELORES	JNL PERSPECTIVE REWARDS	16,659.62	3.48	0.00	3.48	95.0	3.31
04/11/2017	ALEXOPOULOS, PAUL	LORD ABBETT BOND DEBENTURE A	0.00	3.54	0.00	3.54	95.0	3.36
04/11/2017	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.71	0.00	0.71	95.0	0.67
04/11/2017	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.25	0.00	0.25	95.0	0.24
04/26/2017	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.63	0.00	0.63	95.0	0.60
04/26/2017	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.02	0.00	1.02	95.0	0.97
04/11/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	2.15	0.00	2.15	95.0	2.04
* MARK KOSANKE SUBTOTAL *			\$16,659.62	\$130.39	\$0.00	\$130.39		\$123.87
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
05/01/2017	LISIECKI, MARGARET	AM FUNDS AMERICAN MUTUAL A	500.00	21.25	0.00	21.25	95.0	20.19
05/01/2017	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	2.38
04/25/2017	MIHELICH, JOHN	AM FUNDS ICA A	26,109.66	979.11	0.00	979.11	95.0	930.15
05/02/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	7.13
05/01/2017	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	2.38
05/01/2017	MOWINSKI, VINCENZO	AM FUNDS NEW WORLD C	100.00	1.00	0.00	1.00	95.0	0.95
04/17/2017	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	400.00	16.00	0.00	16.00	95.0	15.20
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$27,359.66	\$1,029.86	\$0.00	\$1,029.86		\$978.38
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.45	0.00	2.45	95.0	2.33
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.45	\$0.00	\$2.45		\$2.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: GROUP FLAM/BUSSELL #32</u>								
04/30/2017	M, OSIP	DELAWARE VALUE A	0.00	1.27	0.00	1.27	95.0	1.21
* GROUP FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.27	\$0.00	\$1.27		\$1.21
<u>BROKER: PETER JOHNSON #63</u>								
04/30/2017	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	25.72	0.00	25.72	95.0	24.43
04/30/2017	KAPELN, J	DELAWARE TAX FREE USA A	0.00	8.63	0.00	8.63	95.0	8.20
04/30/2017	PAPPAS, LARRY	DELAWARE VALUE A	0.00	31.63	0.00	31.63	95.0	30.05
04/30/2017	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.22	0.00	6.22	95.0	5.91
04/30/2017	JOHNSON, VARIOUS	DREYFUS	0.00	6.00	0.00	6.00	95.0	5.70
* PETER JOHNSON SUBTOTAL *			\$0.00	\$78.20	\$0.00	\$78.20		\$74.29
*** SPLIT TRANSACTIONS TOTAL ***			\$44,019.28	\$1,242.17	\$0.00	\$1,242.17		\$1,180.08
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
04/26/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	3.00
05/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.19
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$135.00	\$6.19	\$0.00	\$6.19		\$6.19
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
04/27/2017	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	13,438.66	2.80	0.00	2.80	0.0	2.80
04/27/2017	KELLER, MICHELLE	SBL ADVISOR PROGRAM	26,795.31	5.59	0.00	5.59	0.0	5.59
04/27/2017	YOUNG, MARCIA	SBL ADVISOR PROGRAM	23,488.10	4.90	0.00	4.90	0.0	4.90
04/27/2017	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,949.77	4.57	0.00	4.57	0.0	4.57
04/27/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,767.27	1.41	0.00	1.41	0.0	1.41
04/27/2017	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,157.96	1.70	0.00	1.70	0.0	1.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
04/27/2017	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	7,727.18	1.62	0.00	1.62	0.0	1.62
04/27/2017	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	8,109.16	1.68	0.00	1.68	0.0	1.68
04/27/2017	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,895.86	1.23	0.00	1.23	0.0	1.23
04/27/2017	MELLERT, ELLEN	SBL ADVISORDESIGNS VA	3,822.10	3.18	0.00	3.18	0.0	3.18
04/27/2017	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	132,167.71	27.53	0.00	27.53	0.0	27.53
04/27/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,349.45	0.70	0.00	0.70	0.0	0.70
04/27/2017	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,798.66	1.42	0.00	1.42	0.0	1.42
04/27/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,257.95	1.31	0.00	1.31	0.0	1.31
04/27/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,708.99	1.61	0.00	1.61	0.0	1.61
04/27/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,713.37	0.78	0.00	0.78	0.0	0.78
04/27/2017	HARTONG, DEAN	SBL ADVISORDESIGNS VA	12,677.57	2.65	0.00	2.65	0.0	2.65
04/27/2017	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	6,001.57	1.25	0.00	1.25	0.0	1.25
04/27/2017	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,353.95	1.73	0.00	1.73	0.0	1.73
04/27/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,928.15	1.65	0.00	1.65	0.0	1.65
04/27/2017	GREGORY, CAROL	SBL ADVISORDESIGNS VA	36,935.44	7.69	0.00	7.69	0.0	7.69
* THOMAS SCHNEIDER SUBTOTAL *			\$358,044.18	\$77.58	\$0.00	\$77.58		\$77.58
*** OVERRIDE TRANSACTIONS TOTAL ***			\$358,179.18	\$83.77	\$0.00	\$83.77		\$83.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$15,330.56
Split Transactions	\$1,180.08
Override Transactions	\$83.77
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,594.41
Year-to-date Gross	\$119,817.64
Year-to-date Earnings	\$116,585.09