



COMMISSION STATEMENT

April 30, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	***-**-440I - CLERKIN, PAUL	MFS EMERGING GROWTH FUND CLASS A	6,500.00	260.00	0.00	260.00	70.0	182.00
	ACCOUNT #: 06000600286	BUY 65 Units @100.2600						
04/16/2018	***-**-294I - CLERKIN, NANCY	MFS EMERGING GROWTH FUND CLASS A	5,500.00	275.00	0.00	275.00	70.0	192.50
	ACCOUNT #: 08191268727	BUY 54 Units @101.3300						
		* MUTUAL FUNDS SUBTOTAL *	\$12,000.00	\$535.00	\$0.00	\$535.00		\$374.50
<u>PRODUCT CATEGORY: RIA</u>								
04/30/2018	***-**-29FB - NORFLEET,	SYMMETRY RIA	14,096.61	35.24	0.00	35.24	70.0	24.67
	ACCOUNT #: 463641	BUY 0 Units @1.4096						
		* RIA SUBTOTAL *	\$14,096.61	\$35.24	\$0.00	\$35.24		\$24.67
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2018	***-**-10TR - SAUDER TRUST	DEUTSCHE COMMUNICATI FUND-A	0.00	24.47	0.00	24.47	70.0	17.13
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						
04/30/2018	***-**-37TR - SCHROEDER TRUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
04/30/2018	***-**-514I - BERGIN, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
04/30/2018	***-**-32IO - VASQUEZ, CHARLES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
04/30/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
04/30/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
04/30/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.77	0.00	0.77	70.0	0.54
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
04/30/2018	***-**-33IO - AUDY, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	4.79	0.00	4.79	70.0	3.35
	ACCOUNT #: LSR012379	BUY 1 Units @0.0000						
04/30/2018	***-**-654I - ROSTAD, BARBARA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
04/30/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
04/30/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	70.0	0.06
04/30/2018	***-**-0737 - SCHROEDER, JACK ACCOUNT #: LSR105112	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	70.0	0.30
04/30/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
04/30/2018	***-**-184I - SPORRER, SHIRLEY ACCOUNT #: LSR105252	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	70.0	0.00
04/30/2018	***-**-0400 - SPORRER, JERALD ACCOUNT #: LSR105287	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
04/30/2018	***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	70.0	0.04
04/30/2018	***-**-5594 - BISHOP, SHANNON ACCOUNT #: LSR105392	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.10	0.00	0.10	70.0	0.07
04/30/2018	***-**-0437 - ALLEN, WAYNE ACCOUNT #: LSR105414	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	70.0	0.00
04/30/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	70.0	0.06
04/30/2018	***-**-92IO - SAUDER ACCOUNT #: LSR105538	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
04/30/2018	***-**-0376 - ALLEN, MISTY ACCOUNT #: LSR105570	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	70.0	0.04
04/30/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	70.0	0.46
04/30/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.16	0.00	0.16	70.0	0.11
04/30/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	70.0	0.79
04/30/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	3.10	0.00	3.10	70.0	2.17



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
04/30/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
04/30/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
04/30/2018	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.89	0.00	6.89	70.0	4.82
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$43.78	\$0.00	\$43.78		\$30.66
*** BROKER TRANSACTIONS TOTAL ***			\$26,096.61	\$614.02	\$0.00	\$614.02		\$429.83

BROKER COMMISSION TOTALS

Broker Transactions	\$429.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$429.83
Year-to-date Earnings	\$29,556.54