

## COMMISSION STATEMENT

March 31, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: 23

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/22/2018	QGN005720	MARIO CAMARA		NEONODE INC COM NEW	S	-8,722.00	50.00	17.00	33.00 75.0 20.50
03/22/2018	QGN005720	MARIO CAMARA		VUZIX CORP COM NEW	B	30,288.50	300.00	17.00	283.00 75.0 208.00
03/01/2018	QGW031809	SABER, MARK		PROSHARES TR SHORT RUSSELL2000 NEW	S	-21,566.05	125.00	17.00	108.00 75.0 76.75
03/02/2018	QGW031809	SABER, MARK		INTEL CORP	B	19,180.00	60.00	17.00	43.00 75.0 28.00
03/06/2018	QGW031809	SABER, MARK		INTEL CORP	S	-20,134.00	30.00	17.00	13.00 75.0 5.50
03/06/2018	QGW031809	SABER, MARK		PROSHARES TRUST PROSHARES SHORT S&P	B	14,778.95	62.50	17.00	45.50 75.0 29.88
03/06/2018	QGW031809	SABER, MARK		REGULUS THERAPEUTICS INC COM	S	-6,050.50	100.00	17.00	83.00 75.0 58.00
03/08/2018	QGW031809	SABER, MARK		CATASYS INC COM PAR\$.0001 2017	B	9,533.60	120.00	17.00	103.00 75.0 73.00
03/21/2018	QGW031809	SABER, MARK		NEONODE INC COM NEW	S	-4,324.00	20.00	17.00	3.00 75.0 -2.00
03/21/2018	QGW031809	SABER, MARK		VUZIX CORP COM NEW	B	12,090.00	140.00	17.00	123.00 75.0 88.00
03/23/2018	QGW031809	SABER, MARK		PROSHARES TRUST PROSHARES SHORT S&P	S	-15,240.05	40.00	17.00	23.00 75.0 13.00
03/23/2018	QGW031809	SABER, MARK		VUZIX CORP COM NEW	B	12,800.00	120.00	17.00	103.00 75.0 73.00
03/23/2018	QGW031809	SABER, MARK		VUZIX CORP COM NEW	B	-12,800.00	-120.00	-17.00	-103.00 75.0 -73.00 C
03/23/2018	QGW031809	SABER, MARK		VUZIX CORP COM NEW	B	12,800.00	120.00	17.00	103.00 75.0 73.00
03/26/2018	QGW031809	SABER, MARK		CATASYS INC COM PAR\$.0001 2017	S	-9,247.20	50.00	17.00	33.00 75.0 20.50
02/28/2018	QGW031833	CASSADY REVOCABLE		CATASYS INC COM PAR\$.0001 2017	B	9,681.80	120.00	17.00	103.00 75.0 73.00
03/22/2018	QGW031833	CASSADY REVOCABLE		CATASYS INC COM PAR\$.0001 2017	S	-9,681.60	75.00	17.00	58.00 75.0 39.25
03/22/2018	QGW031833	CASSADY REVOCABLE		VUZIX CORP COM NEW	B	11,995.00	120.00	17.00	103.00 75.0 73.00
02/28/2018	QGW031882	MICKES, THOMAS		CATASYS INC COM PAR\$.0001 2017	B	24,505.50	300.00	17.00	283.00 75.0 208.00
03/01/2018	QGW031882	MICKES, THOMAS		PROSHARES TR SHORT RUSSELL2000 NEW	S	-43,202.10	250.00	17.00	233.00 75.0 170.50
03/02/2018	QGW031882	MICKES, THOMAS		INTEL CORP	B	95,735.20	200.00	17.00	183.00 75.0 133.00
03/06/2018	QGW031882	MICKES, THOMAS		INTEL CORP	S	-100,623.00	400.00	17.00	383.00 75.0 283.00
03/06/2018	QGW031882	MICKES, THOMAS		PROSHARES TRUST PROSHARES SHORT S&P	B	59,179.00	250.00	17.00	233.00 75.0 170.50
03/08/2018	QGW031882	MICKES, THOMAS		CATASYS INC COM PAR\$.0001 2017	B	33,096.00	350.00	17.00	333.00 75.0 245.50
03/15/2018	QGW031882	MICKES, THOMAS		CATASYS INC COM PAR\$.0001 2017	S	-23,197.00	175.00	17.00	158.00 75.0 114.25
03/15/2018	QGW031882	MICKES, THOMAS		VUZIX CORP COM NEW	S	-36,000.00	300.00	17.00	283.00 75.0 208.00
03/21/2018	QGW031882	MICKES, THOMAS		VUZIX CORP COM NEW	B	63,988.00	700.00	17.00	683.00 75.0 508.00
03/23/2018	QGW031882	MICKES, THOMAS		PROSHARES TRUST PROSHARES SHORT S&P	S	-60,960.20	160.00	17.00	143.00 75.0 103.00
03/27/2018	QGW031882	MICKES, THOMAS		PROSHARES TRUST PROSHARES SHORT S&P	B	89,850.00	300.00	17.00	283.00 75.0 208.00
03/01/2018	QGW031957	COLE, PHILLIP		PROSHARES TR SHORT RUSSELL2000 NEW	S	-21,581.05	125.00	17.00	108.00 75.0 76.75
03/02/2018	QGW031957	COLE, PHILLIP		INTEL CORP	B	72,025.65	150.00	17.00	133.00 75.0 95.50

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
03/06/2018	QGW031957	COLE, PHILLIP		INTEL CORP	S	-75,455.10	250.00	17.00	233.00 75.0 170.50
03/06/2018	QGW031957	COLE, PHILLIP		PROSHARES TRUST PROSHARES SHORT S&P B		44,336.85	187.50	17.00	170.50 75.0 123.63
03/21/2018	QGW031957	COLE, PHILLIP		VUZIX CORP COM NEW	B	31,625.00	350.00	17.00	333.00 75.0 245.50
03/23/2018	QGW031957	COLE, PHILLIP		PROSHARES TRUST PROSHARES SHORT S&P S		-45,720.15	120.00	17.00	103.00 75.0 73.00
03/27/2018	QGW031957	COLE, PHILLIP		PROSHARES TRUST PROSHARES SHORT S&P B		29,950.00	100.00	17.00	83.00 75.0 58.00
				* STOCKS SUBTOTAL *		\$162,935.05	\$6,200.00	\$578.00	\$5,622.00 \$4,072.01
PRODUCT CATEGORY: OPTIONS									
03/06/2018	QGW031809	SABER, MARK		PUT 100 GENERAL ELECTRIC CO COM EXP B		1,640.00	116.00	30.00	86.00 75.0 57.00
03/06/2018	QGW031809	SABER, MARK		PUT 100 GENERAL ELECTRIC CO COM EXP B		-1,640.00	-116.00	-30.00	-86.00 75.0 -57.00 C
03/06/2018	QGW031809	SABER, MARK		PUT 100 GENERAL ELECTRIC CO COM EXP B		1,640.00	90.00	30.00	60.00 75.0 37.50
03/22/2018	QGW031809	SABER, MARK		PUT 100 GENERAL ELECTRIC CO COM EXP S		-2,500.00	80.00	30.00	50.00 75.0 30.00
03/26/2018	QGW031809	SABER, MARK		PUT 100 CVS HEALTH CORP COM EXP 04- B		3,990.00	217.70	35.00	182.70 75.0 128.28
03/26/2018	QGW031809	SABER, MARK		PUT 100 CVS HEALTH CORP COM EXP 04- B		-3,990.00	-217.70	-35.00	-182.70 75.0 -128.28 C
03/26/2018	QGW031809	SABER, MARK		PUT 100 CVS HEALTH CORP COM EXP 04- B		3,990.00	190.00	35.00	155.00 75.0 107.50
03/06/2018	QGW031882	MICKES, THOMAS		PUT 100 GENERAL ELECTRIC CO COM EXP B		4,111.00	190.00	45.00	145.00 75.0 97.50
03/22/2018	QGW031882	MICKES, THOMAS		PUT 100 GENERAL ELECTRIC CO COM EXP S		-6,250.00	200.00	45.00	155.00 75.0 105.00
03/26/2018	QGW031882	MICKES, THOMAS		PUT 100 CVS HEALTH CORP COM EXP 04- B		6,950.00	378.25	45.00	333.25 75.0 238.69
03/26/2018	QGW031882	MICKES, THOMAS		PUT 100 CVS HEALTH CORP COM EXP 04- B		-6,950.00	-378.25	-45.00	-333.25 75.0 -238.69 C
03/26/2018	QGW031882	MICKES, THOMAS		PUT 100 CVS HEALTH CORP COM EXP 04- B		6,950.00	340.00	45.00	295.00 75.0 210.00
03/06/2018	QGW031957	COLE, PHILLIP		PUT 100 GENERAL ELECTRIC CO COM EXP B		2,430.00	110.00	35.00	75.00 75.0 47.50
03/22/2018	QGW031957	COLE, PHILLIP		PUT 100 GENERAL ELECTRIC CO COM EXP S		-3,750.00	125.00	35.00	90.00 75.0 58.75
03/26/2018	QGW031957	COLE, PHILLIP		PUT 100 CVS HEALTH CORP COM EXP 04- B		4,170.00	219.48	35.00	184.48 75.0 129.61
03/26/2018	QGW031957	COLE, PHILLIP		PUT 100 CVS HEALTH CORP COM EXP 04- B		-4,170.00	-219.48	-35.00	-184.48 75.0 -129.61 C
03/26/2018	QGW031957	COLE, PHILLIP		PUT 100 CVS HEALTH CORP COM EXP 04- B		4,170.00	200.00	35.00	165.00 75.0 115.00
				* OPTIONS SUBTOTAL *		\$10,791.00	\$1,525.00	\$335.00	\$1,190.00 \$808.75
				*** BROKER TRANSACTIONS TOTAL ***		\$173,726.05	\$7,725.00	\$913.00	\$6,812.00 \$4,880.76

**ADJUSTMENTS**

03/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
03/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-28.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,880.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.66
SIPC Assessment	\$-19.31

<b>Check Amount</b>	<b>\$4,823.79</b>
<b>Year-to-date Earnings</b>	<b>\$23,725.71</b>