

**COMMISSION STATEMENT****June 15, 2018**

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132

BRANCH: HERBERT ALSTON

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| ^06/01/2018 | BRADY, JOHN | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 2.15 | 0.00 | 2.15 | 100.0 | 0.00 |
| ^06/01/2018 | BRADY, JOHN | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 12.81 | 0.00 | 12.81 | 100.0 | 0.00 |
| ^06/01/2018 | BRADY, JOHN | AM FUNDS HIGH INCOME TRUST 529A | 0.00 | 2.80 | 0.00 | 2.80 | 100.0 | 0.00 |
| ^06/01/2018 | BRADY, JOHN | AM FUNDS ICA 529A | 0.00 | 33.57 | 0.00 | 33.57 | 100.0 | 0.00 |
| ^06/01/2018 | BRADY, JOHN | AM FUNDS SMALLCAP WORLD-529A | 0.00 | 3.80 | 0.00 | 3.80 | 100.0 | 0.00 |
| ^05/16/2018 | MISCHAK, RONALD | ASP ARROW DWA BALANCED A | 0.00 | 7.77 | 0.00 | 7.77 | 100.0 | 0.00 |
| ^05/16/2018 | GASPAR, NICHOLAS | ASP ARROW DWA BALANCED A | 0.00 | 1.15 | 0.00 | 1.15 | 100.0 | 0.00 |
| ^05/16/2018 | WEBER, PENNY | ASP ARROW MAANGED FUTURES STRATEGY | 0.00 | 4.91 | 0.00 | 4.91 | 100.0 | 0.00 |
| ^05/23/2018 | CHASALOW, SANDRA | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 14.22 | 0.00 | 14.22 | 100.0 | 0.00 |
| ^05/23/2018 | COHEN, JOYCE | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 7.05 | 0.00 | 7.05 | 100.0 | 0.00 |
| ^05/23/2018 | COHEN, JOEL | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 7.05 | 0.00 | 7.05 | 100.0 | 0.00 |
| ^05/23/2018 | KIM, SCARLET | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 4.00 | 0.00 | 4.00 | 100.0 | 0.00 |
| ^05/23/2018 | WEBER, PENNY | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 5.67 | 0.00 | 5.67 | 100.0 | 0.00 |
| ^05/23/2018 | FROST, CARRIE-ANN | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 1.89 | 0.00 | 1.89 | 100.0 | 0.00 |
| ^05/23/2018 | MCDONALD, MARY | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 2.85 | 0.00 | 2.85 | 100.0 | 0.00 |
| ^05/15/2018 | MCDONALD, MARY | COHEN & STEERS INTERNATIONAL REALTY | 0.00 | 1.25 | 0.00 | 1.25 | 100.0 | 0.00 |
| ^05/11/2018 | THEIN, CHRISTINE | JH GREATER CHINA OPP A | 0.00 | 2.82 | 0.00 | 2.82 | 100.0 | 0.00 |
| ^05/11/2018 | COHEN, JOYCE | JH GREATER CHINA OPP C | 0.00 | 11.09 | 0.00 | 11.09 | 100.0 | 0.00 |
| ^05/31/2018 | MCKEE, MARY L KLANCHNI | RYDEX GUGG U.S. LONG SHORT MOMENTUM | 0.00 | 2.06 | 0.00 | 2.06 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$128.91 | \$0.00 | \$128.91 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$128.91 | \$0.00 | \$128.91 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |