

COMMISSION STATEMENT

August 31, 2017

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: 56

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/03/2017 75500225	CAPEN, ERNEST	WALT DISNEY CO	S	54,403.00	90.00	0.00	90.00	80.0	72.00
08/15/2017 75500237	POMFRET SCHOOL	CONSOLIDATED EDISON INC	S	10,099.33	44.64	0.00	44.64	80.0	35.71
08/28/2017 75500237	POMFRET SCHOOL	ALTRIA GROUP INC	S	24,653.21	76.20	0.00	76.20	80.0	60.96
08/28/2017 75500651	MULLEN, REBECCA	FRANKLIN RESOURCES INC (DEL)	S	2,142.00	35.00	0.00	35.00	80.0	28.00
07/28/2017 75501322	GILBERTSON, JAY	MOHAWK INDUSTRIES INC	S	499.86	0.00	0.00	0.00	80.0	0.00
08/01/2017 75501322	GILBERTSON, JAY	ABBVIE INC	S	848.19	0.00	0.00	0.00	80.0	0.00
08/01/2017 75501322	GILBERTSON, JAY	AIR PRODUCTS & CHEMICALS INC	B	743.79	0.00	0.00	0.00	80.0	0.00
08/01/2017 75501322	GILBERTSON, JAY	GLAXOSMITHKLINE PLC SPONSORED ADR(F B		285.11	0.00	0.00	0.00	80.0	0.00
08/01/2017 75501322	GILBERTSON, JAY	MC DONALDS CORP	S	618.96	0.00	0.00	0.00	80.0	0.00
08/01/2017 75501322	GILBERTSON, JAY	MERCK & CO INC	S	705.23	0.00	0.00	0.00	80.0	0.00
08/01/2017 75501322	GILBERTSON, JAY	PUBLIC STORAGE INC	B	2,031.60	0.00	0.00	0.00	80.0	0.00
08/02/2017 75501322	GILBERTSON, JAY	ABBVIE INC	S	1,550.44	0.00	0.00	0.00	80.0	0.00
08/02/2017 75501322	GILBERTSON, JAY	EMCOR GROUP INC	B	406.02	0.00	0.00	0.00	80.0	0.00
08/04/2017 75501322	GILBERTSON, JAY	ENVISION HEALTHCARE CORPORATION COM S		444.28	0.00	0.00	0.00	80.0	0.00
08/07/2017 75501322	GILBERTSON, JAY	ASTRAZENECA PLC EACH ADS REPRESENTI B		632.00	0.00	0.00	0.00	80.0	0.00
08/07/2017 75501322	GILBERTSON, JAY	MC DONALDS CORP	S	618.32	0.00	0.00	0.00	80.0	0.00
08/09/2017 75501322	GILBERTSON, JAY	ASTRAZENECA PLC EACH ADS REPRESENTI B		235.11	0.00	0.00	0.00	80.0	0.00
08/09/2017 75501322	GILBERTSON, JAY	CHEVRON CORPORATION	B	1,437.41	0.00	0.00	0.00	80.0	0.00
08/09/2017 75501322	GILBERTSON, JAY	MC DONALDS CORP	S	1,391.40	0.00	0.00	0.00	80.0	0.00
08/11/2017 75501322	GILBERTSON, JAY	CYRUSONE INC COM	B	1,388.74	0.00	0.00	0.00	80.0	0.00
08/14/2017 75501322	GILBERTSON, JAY	BLACKROCK INC	B	428.51	0.00	0.00	0.00	80.0	0.00
08/14/2017 75501322	GILBERTSON, JAY	BOEING CO	S	2,840.66	0.00	0.00	0.00	80.0	0.00
08/21/2017 75501322	GILBERTSON, JAY	CINEMARK HLDGS INC	S	443.17	0.00	0.00	0.00	80.0	0.00
08/21/2017 75501322	GILBERTSON, JAY	NORDSTROM INC	S	1,054.32	0.00	0.00	0.00	80.0	0.00
08/24/2017 75501322	GILBERTSON, JAY	DOLLAR TREE INC	B	476.60	0.00	0.00	0.00	80.0	0.00
08/24/2017 75501322	GILBERTSON, JAY	MEDTRONIC PLC COM	S	79.55	0.00	0.00	0.00	80.0	0.00
08/24/2017 75501322	GILBERTSON, JAY	MEDTRONIC PLC COM	S	397.76	0.00	0.00	0.00	80.0	0.00
08/24/2017 75501322	GILBERTSON, JAY	MEDTRONIC PLC COM	S	477.32	0.00	0.00	0.00	80.0	0.00
08/25/2017 75501322	GILBERTSON, JAY	EBAY INC	B	801.06	0.00	0.00	0.00	80.0	0.00
08/25/2017 75501322	GILBERTSON, JAY	FOOT LOCKER INC	S	1,143.05	0.00	0.00	0.00	80.0	0.00
07/27/2017 75501761	WRIGHTON, BRYAN	ALIGN TECHNOLOGY INC	S	471.33	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/27/2017	75501761	WRIGHTON, BRYAN		ALIGN TECHNOLOGY INC	S	628.44	0.00	0.00	0.00 80.0 0.00
08/01/2017	75501761	WRIGHTON, BRYAN		ABBVIE INC	S	636.14	0.00	0.00	0.00 80.0 0.00
08/01/2017	75501761	WRIGHTON, BRYAN		CANADIAN IMPERIAL BANK OF COMMERCE	B	1,209.08	0.00	0.00	0.00 80.0 0.00
08/01/2017	75501761	WRIGHTON, BRYAN		MC DONALDS CORP	S	309.48	0.00	0.00	0.00 80.0 0.00
08/01/2017	75501761	WRIGHTON, BRYAN		MERCK & CO INC	S	448.78	0.00	0.00	0.00 80.0 0.00
08/02/2017	75501761	WRIGHTON, BRYAN		SPS COMM INC	S	745.41	0.00	0.00	0.00 80.0 0.00
08/07/2017	75501761	WRIGHTON, BRYAN		ASTRAZENECA PLC EACH ADS REPRESENTI	B	270.86	0.00	0.00	0.00 80.0 0.00
08/07/2017	75501761	WRIGHTON, BRYAN		CHEVRON CORPORATION	B	329.70	0.00	0.00	0.00 80.0 0.00
08/09/2017	75501761	WRIGHTON, BRYAN		ASTRAZENECA PLC EACH ADS REPRESENTI	B	705.32	0.00	0.00	0.00 80.0 0.00
08/09/2017	75501761	WRIGHTON, BRYAN		MC DONALDS CORP	S	463.80	0.00	0.00	0.00 80.0 0.00
08/09/2017	75501761	WRIGHTON, BRYAN		MC DONALDS CORP	S	1,082.20	0.00	0.00	0.00 80.0 0.00
08/14/2017	75501761	WRIGHTON, BRYAN		BOEING CO	S	473.44	0.00	0.00	0.00 80.0 0.00
08/14/2017	75501761	WRIGHTON, BRYAN		BOEING CO	S	1,183.61	0.00	0.00	0.00 80.0 0.00
08/17/2017	75501761	WRIGHTON, BRYAN		COSTAR GROUP INC	S	563.48	0.00	0.00	0.00 80.0 0.00
08/17/2017	75501761	WRIGHTON, BRYAN		TYLER TECHNOLOGIES INC	S	856.56	0.00	0.00	0.00 80.0 0.00
08/18/2017	75501761	WRIGHTON, BRYAN		BLACKBAUD INC	S	838.76	0.00	0.00	0.00 80.0 0.00
08/18/2017	75501761	WRIGHTON, BRYAN		WAGeworks INC	B	528.51	0.00	0.00	0.00 80.0 0.00
08/21/2017	75501761	WRIGHTON, BRYAN		DESCARTES SYSTEMS GROUP INC	B	483.58	0.00	0.00	0.00 80.0 0.00
08/21/2017	75501761	WRIGHTON, BRYAN		ESCO TECHNOLOGIES INC	B	592.35	0.00	0.00	0.00 80.0 0.00
08/21/2017	75501761	WRIGHTON, BRYAN		VOCERA COMMUNICATIONS INC	B	467.08	0.00	0.00	0.00 80.0 0.00
07/27/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		5,525.00	18.00	0.00	18.00 80.0 14.40
07/27/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		-5,525.00	-18.00	0.00	-18.00 80.0 -14.40 C
07/27/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		5,525.00	40.00	0.00	40.00 80.0 32.00
07/28/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		2,762.50	9.00	0.00	9.00 80.0 7.20
07/28/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		-2,762.50	-9.00	0.00	-9.00 80.0 -7.20 C
07/28/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		2,762.50	25.00	0.00	25.00 80.0 20.00
07/28/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		-2,762.50	-25.00	0.00	-25.00 80.0 -20.00 C
07/28/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		2,762.50	25.00	0.00	25.00 80.0 20.00
07/31/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		-19,116.50	-62.28	0.00	-62.28 80.0 -49.82 C
07/31/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		19,116.50	62.28	0.00	62.28 80.0 49.82
07/31/2017	QGN005027	GOLDSMITH, ELY &		CONNECTICUT LT & PWR CO PFD SER G \$ B		19,116.50	62.28	0.00	62.28 80.0 49.82
08/01/2017	QGN005027	GOLDSMITH, ELY &		PARTNERRE LTD 5 7/8% NON-CUM RED PF B		78,306.90	300.00	0.00	300.00 80.0 240.00
08/18/2017	QGN005027	GOLDSMITH, ELY &		FARMLAND PARTNERS INC SER B PFD STK B		78,592.44	309.00	0.00	309.00 80.0 247.20
08/14/2017	QGN005076	BRENNAN, LUCY		GOLDMAN SACHS GROUP INC DEP SHS RES S		-70,064.70	300.00	0.00	300.00 80.0 240.00
08/14/2017	QGN005076	BRENNAN, LUCY		GOLDMAN SACHS GROUP INC DEP SHS RES S		70,064.70	-300.00	0.00	-300.00 80.0 -240.00 C
08/14/2017	QGN005076	BRENNAN, LUCY		GRAMERCY PPTY TR COM NEW	B	41,018.78	156.54	0.00	156.54 80.0 125.23
08/14/2017	QGN005076	BRENNAN, LUCY		GRAMERCY PPTY TR COM NEW	B	-41,018.78	-156.54	0.00	-156.54 80.0 -125.23 C
08/14/2017	QGN005076	BRENNAN, LUCY		MERCK & CO INC	S	-31,490.75	90.00	0.00	90.00 80.0 72.00
08/14/2017	QGN005076	BRENNAN, LUCY		MERCK & CO INC	S	31,490.75	-90.00	0.00	-90.00 80.0 -72.00 C
08/14/2017	QGN005076	BRENNAN, LUCY		SIMPSON MANUFACTURING CO INC	S	-87,663.00	210.00	0.00	210.00 80.0 168.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/14/2017	QGN005076	BRENNAN, LUCY	SIMPSON MANUFACTURING CO INC	S	87,663.00	-210.00	0.00	-210.00	80.0 -168.00 C
08/14/2017	QGN005076	BRENNAN, LUCY	THOR INDS INC	S	-105,815.00	120.00	0.00	120.00	80.0 96.00
08/14/2017	QGN005076	BRENNAN, LUCY	THOR INDS INC	S	105,815.00	-120.00	0.00	-120.00	80.0 -96.00 C
08/14/2017	QGN005092	FIDUCIARY TRUST CO	STAR GAS PARTNERS L.P.	S	-5,143.95	90.00	0.00	90.00	80.0 72.00
08/14/2017	QGN005100	FIDUCIARY TRUST CO	STAR GAS PARTNERS L.P.	S	-5,143.95	90.00	0.00	90.00	80.0 72.00
08/14/2017	QGN005100	FIDUCIARY TRUST CO	STAR GAS PARTNERS L.P.	S	5,143.95	-90.00	0.00	-90.00	80.0 -72.00 C
08/14/2017	QGN005118	BRENNAN, LUCY	GOLDMAN SACHS GROUP INC DEP SHS RES	S	-70,064.70	300.00	0.00	300.00	80.0 240.00
08/14/2017	QGN005118	BRENNAN, LUCY	GRAMERCY PPTY TR COM NEW	B	41,018.78	156.54	0.00	156.54	80.0 125.23
08/14/2017	QGN005118	BRENNAN, LUCY	MERCK & CO INC	S	-31,490.75	90.00	0.00	90.00	80.0 72.00
08/14/2017	QGN005118	BRENNAN, LUCY	SIMPSON MANUFACTURING CO INC	S	-87,663.00	210.00	0.00	210.00	80.0 168.00
08/14/2017	QGN005118	BRENNAN, LUCY	THOR INDS INC	S	-105,815.00	120.00	0.00	120.00	80.0 96.00
08/21/2017	QGN005118	BRENNAN, LUCY	SIMPSON MANUFACTURING CO INC	S	-42,100.00	120.00	0.00	120.00	80.0 96.00
08/24/2017	QGN005464	RF LAFFERTY & CO	APPLE INC	S	-151,154.50	144.00	0.00	144.00	80.0 115.20
08/24/2017	QGN005464	RF LAFFERTY & CO	BRIGHTHOUSE FINANCIAL INC COMMON ST	S	-26,375.36	86.64	0.00	86.64	80.0 69.31
08/24/2017	QGN005464	RF LAFFERTY & CO	CITIGROUP INC COM	S	-155,712.30	237.00	0.00	237.00	80.0 189.60
08/24/2017	QGN005464	RF LAFFERTY & CO	ORACLE CORPORATION	S	-152,210.00	309.00	0.00	309.00	80.0 247.20
08/09/2017	QGN005514	JONATHAN T BARKER	ROYAL GOLD INC	S	-1,705.80	40.00	0.00	40.00	80.0 32.00
08/28/2017	QGN005555	HENRY L THOMPSON	ECOLAB INC	S	-91,852.60	35.00	0.00	35.00	80.0 28.00
08/28/2017	QGN005555	HENRY L THOMPSON	JOHNSON & JOHNSON	S	-131,710.60	50.00	0.00	50.00	80.0 40.00
08/28/2017	QGN005555	HENRY L THOMPSON	MERCK & CO INC	B	253,436.00	200.00	0.00	200.00	80.0 160.00
08/28/2017	QGN005555	HENRY L THOMPSON	WALGREEN BOOTS ALLIANCE INC COM	S	-32,522.72	35.00	0.00	35.00	80.0 28.00
08/24/2017	QGN005605	CONSTANCE FRANK	BRIGHTHOUSE FINANCIAL INC COMMON ST	S	-4,195.30	20.00	0.00	20.00	80.0 16.00
08/24/2017	QGN005605	CONSTANCE FRANK	CHIPOTLE MEXICAN GRILL INC COMMON S	S	-18,010.35	20.00	0.00	20.00	80.0 16.00
08/11/2017	QGN005761	MOZAIC LLC	AMAZON.COM INC	B	959,855.83	20.00	0.00	20.00	80.0 16.00
08/11/2017	QGN005779	MOZAIC LLC	AMAZON.COM INC	B	479,927.91	20.00	0.00	20.00	80.0 16.00
08/16/2017	QGN005811	MARIAN RUSSEL LARKIN	AUTOMATIC DATA PROCESSING INC	S	-22,292.60	54.00	0.00	54.00	80.0 43.20
08/16/2017	QGN005811	MARIAN RUSSEL LARKIN	GENERAL ELECTRIC CO	S	-2,512.12	42.00	0.00	42.00	80.0 33.60
08/03/2017	QGN005829	CHRISTOPHER ZYDA	PSP ALPHABET INC CLASS C CAPITAL STOCK	B	115,779.54	20.00	0.00	20.00	80.0 16.00
08/03/2017	QGN005829	CHRISTOPHER ZYDA	PSP MICROSOFT CORP	B	69,700.70	20.00	0.00	20.00	80.0 16.00
08/03/2017	QGN005829	CHRISTOPHER ZYDA	PSP TWENTY FIRST CENTURY FOX INC CLASS	S	-23,218.40	20.00	0.00	20.00	80.0 16.00
08/04/2017	QGN006215	MOZAIC LLC F/B/O	ALTABA INC COM	B	5,819.50	20.00	0.00	20.00	80.0 16.00
08/04/2017	QGN006215	MOZAIC LLC F/B/O	ALTABA INC COM	B	-5,819.50	-20.00	0.00	-20.00	80.0 -16.00 C
08/04/2017	QGN006215	MOZAIC LLC F/B/O	ALTABA INC COM	B	5,819.50	20.00	0.00	20.00	80.0 16.00
08/04/2017	QGN006215	MOZAIC LLC F/B/O	TWITTER INC	B	4,874.97	20.00	0.00	20.00	80.0 16.00
08/04/2017	QGN006215	MOZAIC LLC F/B/O	TWITTER INC	B	-4,874.97	-20.00	0.00	-20.00	80.0 -16.00 C
08/04/2017	QGN006215	MOZAIC LLC F/B/O	TWITTER INC	B	4,874.97	20.00	0.00	20.00	80.0 16.00
07/28/2017	QGW031551	HEALY, TIMOTHY	AARONS INC COM PAR \$0 50	S	-22,899.00	90.00	0.00	90.00	80.0 72.00
08/04/2017	QGW031569	HEALY, TIMOTHY	RAMACO RES INC COM	B	14,291.00	210.00	0.00	210.00	80.0 168.00
08/14/2017	QGW031569	HEALY, TIMOTHY	FARMLAND PARTNERS INC COM	S	-17,546.40	210.00	0.00	210.00	80.0 168.00
07/27/2017	QGW035909	D'AMICO INSTITUTE OF	PFIZER INCORPORATED	S	-21,572.43	108.60	0.00	108.60	80.0 86.88

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/27/2017	QGW035909	D'AMICO INSTITUTE OF RAMACO RES INC COM	B	6,875.60	120.00	0.00	120.00	80.0	96.00
08/28/2017	QGW035909	D'AMICO INSTITUTE OF RAMACO RES INC COM	B	4,077.50	90.00	0.00	90.00	80.0	72.00
* STOCKS SUBTOTAL *				\$1,048,756.70	\$4,309.90	\$0.00	\$4,309.90		\$3,447.91
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/31/2017	QGW031551	HEALY, TIMOTHY PUT 100 AVIS BUDGET GROUP INC COM E B		1,050.00	40.00	0.00	40.00	80.0	32.00
08/01/2017	QGW031551	HEALY, TIMOTHY PUT 100 APPLE INC COM EXP 01-19-18@ B		1,759.00	40.00	0.00	40.00	80.0	32.00
08/17/2017	QGW031551	HEALY, TIMOTHY CALL 100 CBOE VOLATILITY INDEX - VX S		-1,400.00	40.00	0.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$1,409.00	\$120.00	\$0.00	\$120.00		\$96.00
<u>PRODUCT CATEGORY: RIA</u>									
08/15/2017	75502027	HACKEL, STUART FAS FEES	B	0.00	183.83	0.00	183.83	80.0	147.06
08/15/2017	75502029	HACKEL, STUART FAS FEES	B	0.00	218.45	0.00	218.45	80.0	174.76
08/15/2017	75502030	RYNN, SUSAN FAS FEES	B	0.00	1,500.32	0.00	1,500.32	80.0	1,200.26
08/15/2017	75502031	RYNN, SUSAN FAS FEES	B	0.00	33.10	0.00	33.10	80.0	26.48
08/15/2017	75502032	HACKEL, STUART FAS FEES	B	-956.94	741.63	0.00	741.63	80.0	593.30
08/15/2017	75502032	HACKEL, STUART FAS FEES	B	-267.39	0.00	0.00	0.00	80.0	0.00
08/15/2017	75502032	HACKEL, STUART FAS FEES	B	-58.85	0.00	0.00	0.00	80.0	0.00
08/15/2017	75502032	HACKEL, STUART FAS FEES	B	-1,791.43	0.00	0.00	0.00	80.0	0.00
08/15/2017	75502032	HACKEL, STUART FAS FEES	B	-317.75	0.00	0.00	0.00	80.0	0.00
* RIA SUBTOTAL *				\$-3,392.36	\$2,677.33	\$0.00	\$2,677.33		\$2,141.86
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/09/2017	75500245	BLUMENTHAL, MARK MASS INVESTORS GROWTH STOCK FUND-A B		0.00	1.21	0.00	1.21	80.0	0.97
08/09/2017	75500245	BLUMENTHAL, MARK PUTNAM 746802800	B	0.00	3.44	0.00	3.44	80.0	2.75
08/09/2017	75500245	BLUMENTHAL, MARK PUTNAM GLOBAL EQUITY FUND CLASS A B		0.00	0.68	0.00	0.68	80.0	0.54
08/09/2017	75500245	BLUMENTHAL, MARK PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	1.21	0.00	1.21	80.0	0.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$6.54	\$0.00	\$6.54		\$5.23
*** BROKER TRANSACTIONS TOTAL ***				\$1,046,773.34	\$7,113.77	\$0.00	\$7,113.77		\$5,691.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,691.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.89
SIPC Assessment	\$-17.78

Check Amount	\$5,664.33
Year-to-date Earnings	\$26,309.05