

**COMMISSION STATEMENT****January 31, 2018**

MATT BRANSTETTER  
PO Box 250  
111 S. Main Street  
Noble, OK 73068

BROKER #: B116  
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/19/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	2,250.00	0.00	80.0	0.00	22.00	-22.00
		* MUTUAL FUNDS SUBTOTAL *	\$2,250.00	\$0.00		\$0.00	\$22.00	\$-22.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/19/2018	REEVES, KATHLEEN	APPLE INC COM	356.14	29.00	80.0	23.20	22.50	0.70
01/19/2018	REEVES, KATHLEEN	APPLE INC COM	-356.14	-29.00	80.0	-23.20	-22.50	-0.70
01/19/2018	REEVES, KATHLEEN	APPLE INC COM	356.14	22.00	80.0	17.60	22.50	-4.90
		* STOCKS SUBTOTAL *	\$356.14	\$22.00		\$17.60	\$22.50	\$-4.90
		*** CLEARING TRANSACTIONS TOTAL ***	\$2,606.14	\$22.00		\$17.60	\$44.50	\$-26.90
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
12/31/2017	MILLER, MARGA	GRIFFIN CAPITAL ESSENTIAL ASSET II	47,526.63	119.79	80.0	95.83	0.00	95.83
		* REIT SUBTOTAL *	\$47,526.63	\$119.79		\$95.83	\$0.00	\$95.83
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/16/2018	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
01/16/2018	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	4.25	80.0	3.40	0.00	3.40
01/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
01/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
01/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
01/11/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
01/02/2018	MOORE, TONI	PUTNAM GLOBAL CONSUMER A	5,000.00	250.00	80.0	200.00	0.00	200.00
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL CONSUMER A	3,000.00	150.00	80.0	120.00	0.00	120.00
01/02/2018	PLAN	PUTNAM GLOBAL TECH A	3,591.91	179.60	80.0	143.68	0.00	143.68
01/02/2018	PLAN	PUTNAM GLOBAL TECH A	244.47	12.22	80.0	9.78	0.00	9.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/10/2018	PLAN	PUTNAM GLOBAL TECH A	1,500.00	75.00	80.0	60.00	0.00	60.00
01/05/2018	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
01/09/2018	POORE, NANCY	PUTNAM MULTI-CAP GROWTH C	40.00	0.40	80.0	0.32	0.00	0.32
01/08/2018	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.20	80.0	0.16	0.00	0.16
01/16/2018	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	300.00	3.00	80.0	2.40	0.00	2.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$14,053.38</b>	<b>\$679.99</b>		<b>\$544.00</b>	<b>\$0.00</b>	<b>\$544.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/11/2018	STANFORD, DAVID	JNL ELITE ACCESS	7,200.00	378.00	80.0	302.40	0.00	302.40
01/16/2018	STANFORD, DAVID	JNL ELITE ACCESS	50.00	2.63	80.0	2.10	0.00	2.10
01/22/2018	ARRINGTON, NANCY	JNL ELITE ACCESS	200.00	10.50	80.0	8.40	0.00	8.40
01/16/2018	FRANKS, MARTHA	JNL PERSPECTIVE L	12.85	0.76	80.0	0.61	0.00	0.61
01/19/2018	FRANKS, MARTHA	JNL PERSPECTIVE L	25.30	1.51	80.0	1.21	0.00	1.21
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$7,488.15</b>	<b>\$393.40</b>		<b>\$314.72</b>	<b>\$0.00</b>	<b>\$314.72</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/27/2017	POMA, TAYLOR	*MAIRS AND POWER BALANCED FUND	0.00	496.04	80.0	396.83	0.00	396.83
12/27/2017	TAYLOR, PATTY	*MAIRS AND POWER BALANCED FUND	0.00	64.61	80.0	51.69	0.00	51.69
01/24/2018	BRANSTETTER, VARIOUS	AM FUNDS AMCAP C	0.00	239.84	80.0	191.87	0.00	191.87
/ /	WHITETREE, THOMAS	CONSUMER PRODUCTS - A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	CONSUMER PRODUCTS - A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, ELIZABETH	CONSUMER PRODUCTS - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	CONSUMER PRODUCTS - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	CONSUMER PRODUCTS - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	CONSUMER PRODUCTS - A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	CONSUMER PRODUCTS - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	CONSUMER PRODUCTS - A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.28	80.0	0.22	0.00	0.22
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.21	80.0	0.17	0.00	0.17
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.31	80.0	0.25	0.00	0.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.39	80.0	0.31	0.00	0.31
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.31	80.0	0.25	0.00	0.25
01/01/2018	MCKINNEY, E KIM	INVESCO AMERICAN FRANCHISE A	0.00	5.24	80.0	4.19	0.00	4.19
01/01/2018	WHITE, JOANNE	INVESCO AMERICAN FRANCHISE A	0.00	7.51	80.0	6.01	0.00	6.01
01/01/2018	CRETSINGER, DON	INVESCO AMERICAN FRANCHISE A	0.00	5.60	80.0	4.48	0.00	4.48
01/01/2018	MCKINNEY, E KIM	INVESCO HIGH YIELD FUND A	0.00	0.58	80.0	0.46	0.00	0.46
01/01/2018	MCKINNEY, E KIM	INVESCO INTERNATIONAL GROWTH A	0.00	2.18	80.0	1.74	0.00	1.74
01/01/2018	MCFERRON, LINDA	INVESCO SUMMIT FUND A	0.00	0.53	80.0	0.42	0.00	0.42
01/01/2018	MCFERRON, LINDA	INVESCO TECHNOLOGY A	0.00	0.70	80.0	0.56	0.00	0.56
01/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	0.00	0.45	80.0	0.36	0.00	0.36
01/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	0.00	0.46	80.0	0.37	0.00	0.37
01/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	0.00	0.65	80.0	0.52	0.00	0.52
01/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.63	80.0	0.50	0.00	0.50
01/02/2018	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	0.00	0.20	80.0	0.16	0.00	0.16
01/02/2018	CASTLE, DAVID	PUTNAM EMERGING MKTS EQ A	0.00	0.37	80.0	0.30	0.00	0.30
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM EMERGING MKTS EQ A	0.00	3.51	80.0	2.81	0.00	2.81
01/02/2018	YOSS, JAY	PUTNAM EMERGING MKTS EQ A	0.00	2.33	80.0	1.86	0.00	1.86
01/02/2018	HIPP, DEBRA	PUTNAM EMERGING MKTS EQ A	0.00	0.55	80.0	0.44	0.00	0.44
01/02/2018	HIPP, RONALD	PUTNAM EMERGING MKTS EQ A	0.00	1.71	80.0	1.37	0.00	1.37
01/02/2018	DOWNING, JOHN	PUTNAM EMERGING MKTS EQ A	0.00	1.39	80.0	1.11	0.00	1.11
01/02/2018	GREGORY, MANDY	PUTNAM EMERGING MKTS EQ A	0.00	1.54	80.0	1.23	0.00	1.23
01/02/2018	CASTLE, DAVID	PUTNAM EMERGING MKTS EQ A	0.00	0.20	80.0	0.16	0.00	0.16
01/02/2018	GREGORY, ERIC	PUTNAM EMERGING MKTS EQ A	0.00	0.69	80.0	0.55	0.00	0.55
01/02/2018	MELTON, JOY	PUTNAM EMERGING MKTS EQ A	0.00	0.86	80.0	0.69	0.00	0.69
01/02/2018	HIPP, DEBRA	PUTNAM EMERGING MKTS EQ B	0.00	0.91	80.0	0.73	0.00	0.73
01/02/2018	CASTLE, DAVID	PUTNAM EMERGING MKTS EQ B	0.00	0.92	80.0	0.74	0.00	0.74
01/02/2018	DOWDY, JIMMIE	PUTNAM EMERGING MKTS EQ C	0.00	4.71	80.0	3.77	0.00	3.77
01/02/2018	SMIT, CONRAD	PUTNAM EMERGING MKTS EQ C	0.00	3.25	80.0	2.60	0.00	2.60
01/02/2018	NEWTON, GEORGE	PUTNAM EQUITY INCOME A	0.00	8.43	80.0	6.74	0.00	6.74
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM EQUITY INCOME A	0.00	1.84	80.0	1.47	0.00	1.47
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM EQUITY SPECTRUM A	0.00	0.79	80.0	0.63	0.00	0.63
01/02/2018	DOWDY, LINDA	PUTNAM EQUITY SPECTRUM A	0.00	0.05	80.0	0.04	0.00	0.04

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/02/2018	MOORE, TONI	PUTNAM EQUITY SPECTRUM A	0.00	0.99	80.0	0.79	0.00	0.79
01/02/2018	PLAN	PUTNAM EQUITY SPECTRUM A	0.00	1.18	80.0	0.94	0.00	0.94
01/02/2018	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	0.00	0.11	80.0	0.09	0.00	0.09
01/02/2018	BACON-HILL, MICHELE	PUTNAM EUROPE EQUITY A	0.00	1.13	80.0	0.90	0.00	0.90
01/02/2018	BACON-HILL, MICHELE	PUTNAM GLOBAL CONSUMER A	0.00	1.50	80.0	1.20	0.00	1.20
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL CONSUMER A	0.00	0.29	80.0	0.23	0.00	0.23
01/02/2018	YOSS, JAY	PUTNAM GLOBAL CONSUMER A	0.00	0.19	80.0	0.15	0.00	0.15
01/02/2018	HIPP, DEBRA	PUTNAM GLOBAL CONSUMER A	0.00	0.08	80.0	0.06	0.00	0.06
01/02/2018	HIPP, RONALD	PUTNAM GLOBAL CONSUMER A	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	CASTLE, DAVID	PUTNAM GLOBAL CONSUMER A	0.00	0.08	80.0	0.06	0.00	0.06
01/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL CONSUMER A	0.00	0.11	80.0	0.09	0.00	0.09
01/02/2018	GREGORY, ERIC	PUTNAM GLOBAL CONSUMER B	0.00	0.08	80.0	0.06	0.00	0.06
01/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL CONSUMER C	0.00	0.36	80.0	0.29	0.00	0.29
01/02/2018	DOWNING, JOHN	PUTNAM GLOBAL CONSUMER M	0.00	0.34	80.0	0.27	0.00	0.27
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.36	80.0	0.29	0.00	0.29
01/02/2018	YOSS, JAY	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.26	80.0	0.21	0.00	0.21
01/02/2018	HIPP, RONALD	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.14	80.0	0.11	0.00	0.11
01/02/2018	GREGORY, MANDY	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.12	80.0	0.10	0.00	0.10
01/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.15	80.0	0.12	0.00	0.12
01/02/2018	HIPP, DEBRA	PUTNAM GLOBAL INDUSTRIALS-B	0.00	0.14	80.0	0.11	0.00	0.11
01/02/2018	CASTLE, DAVID	PUTNAM GLOBAL INDUSTRIALS-B	0.00	0.13	80.0	0.10	0.00	0.10
01/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL INDUSTRIALS-C	0.00	0.47	80.0	0.38	0.00	0.38
01/02/2018	DOWNING, JOHN	PUTNAM GLOBAL INDUSTRIALS-M	0.00	0.50	80.0	0.40	0.00	0.40
01/02/2018	BACON-HILL, MICHELE	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.51	80.0	0.41	0.00	0.41
01/02/2018	CASTLE, DAVID	PUTNAM GLOBAL TECH A	0.00	0.94	80.0	0.75	0.00	0.75
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	3.30	80.0	2.64	0.00	2.64
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	2.96	80.0	2.37	0.00	2.37
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	2.32	80.0	1.86	0.00	1.86
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL TECH A	0.00	5.00	80.0	4.00	0.00	4.00
01/02/2018	YOSS, JAY	PUTNAM GLOBAL TECH A	0.00	3.30	80.0	2.64	0.00	2.64
01/02/2018	HIPP, DEBRA	PUTNAM GLOBAL TECH A	0.00	0.18	80.0	0.14	0.00	0.14
01/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL TECH A	0.00	0.21	80.0	0.17	0.00	0.17
01/02/2018	DOWNING, JOHN	PUTNAM GLOBAL TECH A	0.00	0.21	80.0	0.17	0.00	0.17

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/02/2018	MOORE, TONI	PUTNAM GLOBAL TECH A	0.00	1.63	80.0	1.30	0.00	1.30
01/02/2018	MICHENER, DAVID	PUTNAM GLOBAL TECH A	0.00	1.98	80.0	1.58	0.00	1.58
01/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	6.58	80.0	5.26	0.00	5.26
01/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL TECH A	0.00	0.19	80.0	0.15	0.00	0.15
01/02/2018	GREGORY, ERIC	PUTNAM GLOBAL TECH A	0.00	0.17	80.0	0.14	0.00	0.14
01/02/2018	HIPP, RONALD	PUTNAM GLOBAL TECH B	0.00	0.18	80.0	0.14	0.00	0.14
01/02/2018	CASTLE, DAVID	PUTNAM GLOBAL TECH B	0.00	0.15	80.0	0.12	0.00	0.12
01/02/2018	GRIMMETT, JAMES	PUTNAM GLOBAL TECH C	0.00	13.11	80.0	10.49	0.00	10.49
01/02/2018	CANFIELD, LORI	PUTNAM GLOBAL TECH C	0.00	0.65	80.0	0.52	0.00	0.52
01/02/2018	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	1.03	80.0	0.82	0.00	0.82
01/02/2018	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	1.03	80.0	0.82	0.00	0.82
01/02/2018	BACON-HILL, MICHELE	PUTNAM GROWTH OPP A	0.00	1.21	80.0	0.97	0.00	0.97
01/02/2018	CASTLE, DAVID	PUTNAM GROWTH OPP A	0.00	0.33	80.0	0.26	0.00	0.26
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM GROWTH OPP A	0.00	0.55	80.0	0.44	0.00	0.44
01/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	0.05	80.0	0.04	0.00	0.04
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM GROWTH OPP A	0.00	1.04	80.0	0.83	0.00	0.83
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM GROWTH OPP A	0.00	4.25	80.0	3.40	0.00	3.40
01/02/2018	YOSS, JAY	PUTNAM GROWTH OPP A	0.00	2.66	80.0	2.13	0.00	2.13
01/02/2018	HIPP, DEBRA	PUTNAM GROWTH OPP A	0.00	1.42	80.0	1.14	0.00	1.14
01/02/2018	DOWDY, JIMMIE	PUTNAM GROWTH OPP A	0.00	1.26	80.0	1.01	0.00	1.01
01/02/2018	HERNDON, JAMES	PUTNAM GROWTH OPP A	0.00	0.96	80.0	0.77	0.00	0.77
01/02/2018	DOWNING, JOHN	PUTNAM GROWTH OPP A	0.00	0.02	80.0	0.02	0.00	0.02
01/02/2018	GREGORY, MANDY	PUTNAM GROWTH OPP A	0.00	0.12	80.0	0.10	0.00	0.10
01/02/2018	MOORE, TONI	PUTNAM GROWTH OPP A	0.00	0.99	80.0	0.79	0.00	0.79
01/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	1.98	80.0	1.58	0.00	1.58
01/02/2018	HOUSE, CONNIE	PUTNAM GROWTH OPP A	0.00	0.15	80.0	0.12	0.00	0.12
01/02/2018	MELTON, JOY	PUTNAM GROWTH OPP A	0.00	1.38	80.0	1.10	0.00	1.10
01/02/2018	UNIF TRANSFER TO M/A, U/OK	PUTNAM GROWTH OPP C	0.00	2.70	80.0	2.16	0.00	2.16
01/02/2018	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	0.00	2.68	80.0	2.14	0.00	2.14
01/02/2018	CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	0.00	0.02	80.0	0.02	0.00	0.02
01/02/2018	GRIMMETT, JAMES	PUTNAM GROWTH OPP C	0.00	5.09	80.0	4.07	0.00	4.07
01/02/2018	DOWDY, JIMMIE	PUTNAM GROWTH OPP C	0.00	0.42	80.0	0.34	0.00	0.34
01/02/2018	HYER, LISA	PUTNAM GROWTH OPP C	0.00	6.04	80.0	4.83	0.00	4.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/02/2018	HIPP, RONALD	PUTNAM GROWTH OPPORTUNITIES B	0.00	2.56	80.0	2.05	0.00	2.05
01/02/2018	CASTLE, DAVID	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.50	80.0	1.20	0.00	1.20
01/02/2018	DOWNING, JOHN	PUTNAM GROWTH OPPORTUNITIES-M	0.00	9.59	80.0	7.67	0.00	7.67
01/02/2018	NEWTON, GEORGE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	4.98	80.0	3.98	0.00	3.98
01/02/2018	BRANCH, MARY	PUTNAM INCOME A	0.00	0.02	80.0	0.02	0.00	0.02
01/02/2018	BACON-HILL, MICHELE	PUTNAM INTL CAPITAL OPPS-A	0.00	1.83	80.0	1.46	0.00	1.46
01/02/2018	NEWTON, MAX	PUTNAM INTL CAPITAL OPPS-A	0.00	0.34	80.0	0.27	0.00	0.27
01/02/2018	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-A	0.00	0.02	80.0	0.02	0.00	0.02
01/02/2018	BRANSTETTER, MATT	PUTNAM INTL CAPITAL OPPS-A	0.00	0.33	80.0	0.26	0.00	0.26
01/02/2018	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-A	0.00	0.06	80.0	0.05	0.00	0.05
01/02/2018	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-B	0.00	0.27	80.0	0.22	0.00	0.22
01/02/2018	WALL-POLLAN, LEAH	PUTNAM INTL CAPITAL OPPS-C	0.00	0.32	80.0	0.26	0.00	0.26
01/02/2018	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	0.00	48.95	80.0	39.16	0.00	39.16
01/02/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	0.00	56.70	80.0	45.36	0.00	45.36
01/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	5.08	80.0	4.06	0.00	4.06
01/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	5.01	80.0	4.01	0.00	4.01
01/02/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	0.00	1.90	80.0	1.52	0.00	1.52
01/02/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	0.00	1.90	80.0	1.52	0.00	1.52
01/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	8.61	80.0	6.89	0.00	6.89
01/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	8.61	80.0	6.89	0.00	6.89
01/02/2018	NOBLE PUBLIC SCHOOLS,	PUTNAM INTL CAPITAL OPPS-C	0.00	0.46	80.0	0.37	0.00	0.37
01/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	3.65	80.0	2.92	0.00	2.92
01/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	1.91	80.0	1.53	0.00	1.53
01/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	0.22	80.0	0.18	0.00	0.18
01/02/2018	MORIELS, FELICIA	PUTNAM INTL CAPITAL OPPS-M	0.00	0.02	80.0	0.02	0.00	0.02
01/02/2018	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-M	0.00	0.39	80.0	0.31	0.00	0.31
01/02/2018	LLTD INVESTMENTS LLC	PUTNAM MULTI CAP GROWTH A	0.00	0.49	80.0	0.39	0.00	0.39
01/02/2018	CASTLE, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	0.82	80.0	0.66	0.00	0.66
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM MULTI CAP GROWTH A	0.00	1.48	80.0	1.18	0.00	1.18
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM MULTI CAP GROWTH A	0.00	1.38	80.0	1.10	0.00	1.10
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM MULTI CAP GROWTH A	0.00	4.19	80.0	3.35	0.00	3.35
01/02/2018	YOSS, JAY	PUTNAM MULTI CAP GROWTH A	0.00	2.79	80.0	2.23	0.00	2.23
01/02/2018	HIPP, DEBRA	PUTNAM MULTI CAP GROWTH A	0.00	2.05	80.0	1.64	0.00	1.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/02/2018	HIPP, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	2.67	80.0	2.14	0.00	2.14
01/02/2018	DOWNING, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	2.67	80.0	2.14	0.00	2.14
01/02/2018	MOORE, TONI	PUTNAM MULTI CAP GROWTH A	0.00	1.82	80.0	1.46	0.00	1.46
01/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	3.34	80.0	2.67	0.00	2.67
01/02/2018	HOUSE, CONNIE	PUTNAM MULTI CAP GROWTH A	0.00	0.15	80.0	0.12	0.00	0.12
01/02/2018	BRANCH, MARY	PUTNAM MULTI CAP GROWTH A	0.00	0.92	80.0	0.74	0.00	0.74
01/02/2018	GREGORY, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	1.34	80.0	1.07	0.00	1.07
01/02/2018	MELTON, JOY	PUTNAM MULTI CAP GROWTH A	0.00	1.37	80.0	1.10	0.00	1.10
01/02/2018	CASTLE, DAVID	PUTNAM MULTI-CAP CORE	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM MULTI-CAP CORE FUND A	0.00	0.27	80.0	0.22	0.00	0.22
01/02/2018	YOSS, JAY	PUTNAM MULTI-CAP CORE FUND A	0.00	0.15	80.0	0.12	0.00	0.12
01/02/2018	HIPP, RONALD	PUTNAM MULTI-CAP CORE FUND A	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	MOORE, TONI	PUTNAM MULTI-CAP CORE FUND A	0.00	1.50	80.0	1.20	0.00	1.20
01/02/2018	GRIMMETT, JAMES	PUTNAM MULTI-CAP CORE FUND C	0.00	7.68	80.0	6.14	0.00	6.14
01/02/2018	DOWNING, JOHN	PUTNAM MULTI-CAP CORE FUND-M	0.00	0.25	80.0	0.20	0.00	0.20
01/02/2018	CASTLE, DAVID	PUTNAM MULTI-CAP GROWTH B	0.00	2.54	80.0	2.03	0.00	2.03
01/02/2018	GERHARDT, LEE	PUTNAM MULTI-CAP GROWTH C	0.00	42.10	80.0	33.68	0.00	33.68
01/02/2018	GRIMMETT, JAMES	PUTNAM MULTI-CAP GROWTH C	0.00	7.97	80.0	6.38	0.00	6.38
01/02/2018	DOWDY, JIMMIE	PUTNAM MULTI-CAP GROWTH C	0.00	6.13	80.0	4.90	0.00	4.90
01/02/2018	BRANCH, MARY	PUTNAM MULTI-CAP GROWTH C	0.00	2.14	80.0	1.71	0.00	1.71
01/02/2018	POORE, NANCY	PUTNAM MULTI-CAP GROWTH C	0.00	1.59	80.0	1.27	0.00	1.27
01/02/2018	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	0.00	1.43	80.0	1.14	0.00	1.14
01/02/2018	MCFERRON, LARRY	PUTNAM MULTI-CAP GROWTH M	0.00	1.78	80.0	1.42	0.00	1.42
01/02/2018	HUFFMAN, KRISTOPHER	PUTNAM MULTI-CAP GROWTH M	0.00	0.90	80.0	0.72	0.00	0.72
01/02/2018	STANFORD, DAVID	PUTNAM MULTI-CAP GROWTH M	0.00	3.18	80.0	2.54	0.00	2.54
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM RESEARCH A	0.00	0.27	80.0	0.22	0.00	0.22
01/02/2018	YOSS, JAY	PUTNAM RESEARCH A	0.00	0.17	80.0	0.14	0.00	0.14
01/02/2018	HIPP, RONALD	PUTNAM RESEARCH A	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	DOWNING, JOHN	PUTNAM RESEARCH A	0.00	0.13	80.0	0.10	0.00	0.10
01/02/2018	CASTLE, DAVID	PUTNAM RESEARCH B	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM SHORT DURATION INCOME A	0.00	0.13	80.0	0.10	0.00	0.10
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM SHORT DURATION INCOME A	0.00	8.20	80.0	6.56	0.00	6.56
01/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	16.12	80.0	12.90	0.00	12.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/02/2018	GRIMMETT, JAMES	PUTNAM SHORT DURATION INCOME A	0.00	1.94	80.0	1.55	0.00	1.55
01/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	1.19	80.0	0.95	0.00	0.95
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM SHORT DURATION INCOME A	0.00	5.69	80.0	4.55	0.00	4.55
01/02/2018	BRANSTETTER, MATT	PUTNAM SHORT DURATION INCOME A	0.00	0.80	80.0	0.64	0.00	0.64
01/02/2018	STANFORD, DAVID	PUTNAM SHORT DURATION INCOME A	0.00	0.56	80.0	0.45	0.00	0.45
01/02/2018	MOORE, TONI	PUTNAM SHORT DURATION INCOME A	0.00	18.76	80.0	15.01	0.00	15.01
01/02/2018	MICHENER, DAVID	PUTNAM SHORT DURATION INCOME A	0.00	0.40	80.0	0.32	0.00	0.32
01/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	16.93	80.0	13.54	0.00	13.54
01/02/2018	HOUSE, CONNIE	PUTNAM SHORT DURATION INCOME A	0.00	1.85	80.0	1.48	0.00	1.48
01/02/2018	RIPLEY, ANTHONY	PUTNAM SMALL CAP GROWTH-A	0.00	0.03	80.0	0.02	0.00	0.02
01/02/2018	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	0.00	59.69	80.0	47.75	0.00	47.75
01/02/2018	VANDERBURG, JENNY	PUTNAM SMALL CAP GROWTH-C	0.00	3.31	80.0	2.65	0.00	2.65
01/02/2018	BRANCH, MARY	PUTNAM SMALL CAP GROWTH-C	0.00	0.75	80.0	0.60	0.00	0.60
01/02/2018	HYER, LISA	PUTNAM SMALL CAP GROWTH-C	0.00	0.58	80.0	0.46	0.00	0.46
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	1.16	80.0	0.93	0.00	0.93
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	1.29	80.0	1.03	0.00	1.03
01/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	0.85	80.0	0.68	0.00	0.68
01/02/2018	FAIRLESS, BENJAMIN	PUTNAM SMALL CAP VALUE A	0.00	0.29	80.0	0.23	0.00	0.23
01/02/2018	YOSS, JAY	PUTNAM SMALL CAP VALUE A	0.00	0.20	80.0	0.16	0.00	0.16
01/02/2018	HIPP, RONALD	PUTNAM SMALL CAP VALUE A	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	DOWNING, JOHN	PUTNAM SMALL CAP VALUE A	0.00	0.13	80.0	0.10	0.00	0.10
01/02/2018	MOORE, TONI	PUTNAM SMALL CAP VALUE A	0.00	1.60	80.0	1.28	0.00	1.28
01/02/2018	PLAN	PUTNAM SMALL CAP VALUE A	0.00	2.67	80.0	2.14	0.00	2.14
01/02/2018	CASTLE, DAVID	PUTNAM SMALL CAP VALUE B	0.00	0.09	80.0	0.07	0.00	0.07
01/02/2018	GREGORY, ERIC	PUTNAM SMALL CAP VALUE B	0.00	0.54	80.0	0.43	0.00	0.43
01/02/2018	GRIMMETT, JAMES	PUTNAM SMALL CAP VALUE C	0.00	7.40	80.0	5.92	0.00	5.92
01/02/2018	CANFIELD, LORI	PUTNAM SMALL CAP VALUE C	0.00	3.91	80.0	3.13	0.00	3.13
/ /	WHITETREE, THOMAS	RETAILING - A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RETAILING - A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, ELIZABETH	RETAILING - A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, DUSTIN	RETAILING - A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	RETAILING - A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	RETAILING - A	0.00	0.11	80.0	0.09	0.00	0.09

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	HARRALD, DONALD	RETAILING - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RETAILING - A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	ROLLINS, LISA	RETAILING-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RETAILING-C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	TREADWAY, PAMELA	RETAILING-C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	DOWNING, JOHN	RETAILING-C	0.00	1.97	80.0	1.58	0.00	1.58
/ /	ACOSTA, JF	RETAILING-C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	BENSON, KARLA	RETAILING-C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	VOCI, ROBERTO	RETAILING-C	0.00	1.20	80.0	0.96	0.00	0.96
/ /	WRIGHT, MARK	RETAILING-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RETAILING-C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	POTTER, RK	RETAILING-C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HOLMAN, KENLEE	RETAILING-C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RETAILING-C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.70	80.0	0.56	0.00	0.56
/ /	MORIELS, FELICIA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	3.69	80.0	2.95	0.00	2.95
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	2.22	80.0	1.78	0.00	1.78
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.51	80.0	0.41	0.00	0.41
/ /	ROLLINS, LISA	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	DOWNING, JOHN	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.86	80.0	0.69	0.00	0.69
/ /	ACOSTA, JF	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BENSON, KARLA	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.05	80.0	0.04	0.00	0.04

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	VOCI, ROBERTO	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.53	80.0	0.42	0.00	0.42
/ /	WRIGHT, MARK	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, KENLEE	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG CONSUMER PRODUCTS C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	ARRINGTON, NANCY	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.28	80.0	0.22	0.00	0.22
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.88	80.0	0.70	0.00	0.70
/ /	MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	4.53	80.0	3.62	0.00	3.62
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.32	80.0	0.26	0.00	0.26
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.25	80.0	0.20	0.00	0.20
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.76	80.0	2.21	0.00	2.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.33	80.0	0.26	0.00	0.26
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.32	80.0	0.26	0.00	0.26
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.46	80.0	1.17	0.00	1.17
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.19	80.0	0.15	0.00	0.15
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.63	80.0	0.50	0.00	0.50
/ /	ROLLINS, LISA	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	DOWNING, JOHN	RYDEX GUGG TELECOMMUNICATIONS C	0.00	1.39	80.0	1.11	0.00	1.11
/ /	ACOSTA, JF	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.83	80.0	0.66	0.00	0.66
/ /	WRIGHT, MARK	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POTTER, RK	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, KENLEE	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX GUGG TELECOMMUNICATIONS C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.21	80.0	0.17	0.00	0.17
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.30	80.0	0.24	0.00	0.24
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.32	80.0	0.26	0.00	0.26
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.63	80.0	0.50	0.00	0.50
/ /	MORIELS, FELICIA	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	3.27	80.0	2.62	0.00	2.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	2.01	80.0	1.61	0.00	1.61
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	ARRINGTON, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.46	80.0	0.37	0.00	0.37
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.10	80.0	0.08	0.00	0.08
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.18	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.15	80.0	0.12	0.00	0.12
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.14	80.0	0.11	0.00	0.11
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.20	80.0	0.16	0.00	0.16
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.25	80.0	0.20	0.00	0.20
/ /	DOWNING, JOHN	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.38	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	WHITETREE, THOMAS	TELECOMMUNICATIONS - A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	TELECOMMUNICATIONS - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, ELIZABETH	TELECOMMUNICATIONS - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	TELECOMMUNICATIONS - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	TELECOMMUNICATIONS - A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	TELECOMMUNICATIONS - A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	TELECOMMUNICATIONS - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	TELECOMMUNICATIONS - A	0.00	0.09	80.0	0.07	0.00	0.07
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,426.32</b>		<b>\$1,140.99</b>	<b>\$0.00</b>	<b>\$1,140.99</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/03/2018	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
01/10/2018	STANFORD, ELAINE	PAC LIFE INNOVATIVE SELECT	0.00	76.39	80.0	61.11	0.00	61.11
01/11/2018	NEWBERN, BRENDA	PAC LIFE INNOVATIVE SELECT	0.00	5.31	80.0	4.25	0.00	4.25
01/12/2018	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	5.44	80.0	4.35	0.00	4.35
01/12/2018	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	3.63	80.0	2.90	0.00	2.90
01/08/2018	BRANCH, MARY	PAC LIFE PORTFOLIOS	0.00	232.26	80.0	185.81	0.00	185.81
01/04/2018	ROLLINS, LISA	PAC LIFE VALUE	0.00	51.77	80.0	41.42	0.00	41.42
01/08/2018	ROSENFELT, PHYLIS	PAC LIFE VALUE	0.00	6.27	80.0	5.02	0.00	5.02
01/09/2018	HAYES, GARY	PAC LIFE VALUE	0.00	7.88	80.0	6.30	0.00	6.30
01/12/2018	COLWELL, JEREMY	PAC LIFE VALUE	0.00	13.20	80.0	10.56	0.00	10.56
01/12/2018	PROCTOR, CHAD	PAC LIFE VALUE	0.00	8.80	80.0	7.04	0.00	7.04
01/12/2018	CRETSINGER, DON	PAC LIFE VALUE	0.00	13.76	80.0	11.01	0.00	11.01
01/12/2018	WHITE, JOANNE	PAC LIFE VALUE	0.00	10.56	80.0	8.45	0.00	8.45
01/12/2018	FISHER, SARA	PAC LIFE VALUE	0.00	10.50	80.0	8.40	0.00	8.40
01/13/2018	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	0.00	1.19	80.0	0.95	0.00	0.95
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$451.96</b>		<b>\$361.57</b>	<b>\$0.00</b>	<b>\$361.57</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$69,068.16</b>	<b>\$3,071.46</b>		<b>\$2,457.11</b>	<b>\$0.00</b>	<b>\$2,457.11</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,430.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,430.21
Year-to-date Gross	\$5,330.78
Year-to-date Earnings	\$4,220.06

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