



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**October 31, 2017**

10/30/2017  
Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ  
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^10/18/2017	KRINGEN, MARK	ARCTRUST FOLLOW ON #3	200,000.00	12,000.00	0.00	12,000.00	100.0	0.00
^10/25/2017	KRINGEN, MARK	HPI FUND V	200,000.00	12,000.00	0.00	12,000.00	100.0	0.00
^10/18/2017	KRINGEN, MARK	SEALEY STRATEGIC EQUITY PARTNERS LP	200,000.00	14,000.00	0.00	14,000.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$600,000.00	\$38,000.00	\$0.00	\$38,000.00		\$0.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
^09/30/2017	SKAARE, LARRY	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	106.43	0.00	106.43	100.0	0.00
^09/30/2017	MONETTE, PEGGY	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	94.61	0.00	94.61	100.0	0.00
^09/30/2017	RASMUSSEN, PAMELA	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	63.86	0.00	63.86	100.0	0.00
* REIT SUBTOTAL *			\$0.00	\$264.90	\$0.00	\$264.90		\$0.00
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
^10/06/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	34.54	0.00	34.54	100.0	0.00
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$34.54	\$0.00	\$34.54		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^10/12/2017	KRINGEN, MARK	HPI REAL ESTATE FUND V LLC	200,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$200,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^08/31/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA TRAILS	0.00	0.80	0.00	0.80	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.80	\$0.00	\$0.80		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$800,000.00	\$38,300.24	\$0.00	\$38,300.24		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-0.01</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>