

**COMMISSION STATEMENT****July 15, 2018**

JOHN HESS  
628 Oakshire Place  
  
Alamo, CA 94507-

BROKER #: H141  
BRANCH: JOHN HESS

| DATE   | CLIENT             | INVESTMENT   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>              |                    |  |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b> |                    |  |                      |                     |                    |                   |      |                      |
| 06/28/2018                                     | LUBOW FAMILY TRUST | HIGHLAND CAPITAL NREA MERITAGE DST                 | 200,000.00           | 12,000.00           | 0.00               | 12,000.00         | 80.0 | 9,600.00             |
|  |                    | * 1031 EXCHANGES SUBTOTAL *                        | \$200,000.00         | \$12,000.00         | \$0.00             | \$12,000.00       |      | \$9,600.00           |
|  |                    | *** DIRECT TRANSACTIONS TOTAL ***                  | \$200,000.00         | \$12,000.00         | \$0.00             | \$12,000.00       |      | \$9,600.00           |
| <b><u>ADJUSTMENTS</u></b>                      |                    |  |                      |                     |                    |                   |      |                      |
| 07/15/2018                                     |                    | *AI INSIGHT: AI INSIGHT - J. HESS                  | 0.00                 |                     |                    |                   |      | -7.00                |
| 07/15/2018                                     |                    | *EO INS: MONTHLY E&O INSURANCE -<br>HESS           | 0.00                 |                     |                    |                   |      | -300.00              |
| 07/15/2018                                     |                    | *FINRA: CA INDIVIDUAL REG.<br>FEE-HESS,JOHN,THOMAS | 0.00                 |                     |                    |                   |      | -25.00               |
| 07/15/2018                                     |                    | *FINRA: S63 EXAM-HESS,JOHN,THOMAS                  | 0.00                 |                     |                    |                   |      | -135.00              |
| 07/15/2018                                     |                    | *TECHNOLOGY: BASIC - J HESS                        | 0.00                 |                     |                    |                   |      | -180.00              |
|  |                    | *** ADJUSTMENTS TOTAL ***                          | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-647.00            |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$9,600.00 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$-647.00  |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$8,953.00  |
| Year-to-date Gross    | \$12,000.00 |
| Year-to-date Earnings | \$9,600.00  |