

COMMISSION STATEMENT

August 31, 2017

BRENDAN O'BRIEN

22 VALLEY ROAD

GLEN COVE, NY 11542

BROKER #: 9

BRANCH: HOME OFFICE

| | COMMISSION STATEMENT for BRENDAN O'BRIEN | | | | | | | | | |
|--------------------|---|------------------------|----------------|----------------------|---------------------|--------------------|-------------------|--------|----------------------|--|
| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
| | | | | | | | | | | |
| <u>ADJUSTMENTS</u> | | | | | | | | | | |
| 08/31/2017 | | OTHER: COMPLIANCE AND | TECHNOLOGY | 0.00 | 0.00 | 0.00 | 0.00 | | -20.00 | |
| 08/31/2017 | | OTHER: OTHER-360 OFFIC | E | 0.00 | 0.00 | 0.00 | 0.00 | | -8.00 | |
| | | *** ADJUSTM | ENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | • | \$-28.00 | |
| | | | | | | Ē | ROKER COMM | ISSION | TOTALS | |
| | | | | | | Broke | r Transactions | 3 | \$0.00 | |
| | | | | | | Spli | t Transactions | 5 | \$0.00 | |
| | | | | | | Overrid | e Transactions | 3 | \$0.00 | |
| | | | | | | | Adjustments | 5 | \$-28.00 | |
| | | | | | | | Payroll Draw | I | \$0.00 | |
| | | | | | | | Base Salary | 7 | \$0.00 | |
| | | | | | | Prior | Period Balance | 2 | \$-109.00 | |
| | | | | | | FI | NRA Assessment | : | \$0.00 | |
| | | | | | | S | IPC Assessment | | \$0.00 | |
| | Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance For | | | | | | alance Forward | 1 | \$-137.00 | |
| | | | | | | Year-to | -date Earnings | 5 | \$-137.00 | |