



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/20/2018	***-**-5142 - WASHINGTON ACCOUNT #: 08353172444	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	25.00	1.19	0.00	1.19	95.0	1.13
04/30/2018	***-**-5751 - PALELLA, CHARLES ACCOUNT #: 08350895037	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	250.00	10.00	0.00	10.00	95.0	9.50
04/30/2018	***-**-9281 - RUSSELL, AMY ACCOUNT #: 08370662827	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	95.0	0.24
04/27/2018	***-**-9061 - BROWN, WINSTON ACCOUNT #: 04104629482	GOLD & SPECIAL MINERALS A BUY 0 Units @0.0000	30.00	1.43	0.00	1.43	95.0	1.36
04/20/2018	***-**-5142 - WASHINGTON ACCOUNT #: 08810288553	INTERNATIONAL BOND A BUY 0 Units @0.0000	25.00	1.00	0.00	1.00	95.0	0.95
04/19/2018	***-**-095J - MORAN, MARY ACCOUNT #: 00476905514	PUTNAM NEW OPPORTUNITIES-C BUY 1 Units @80.4700	50.00	0.50	0.00	0.50	95.0	0.48
04/20/2018	***-**-9061 - BROWN, WINSTON ACCOUNT #: 02365535387	QUEST OPPORTUNITY VALUE A BUY 0 Units @0.0000	30.00	1.43	0.00	1.43	95.0	1.36
04/27/2018	***-**-6657 - THOMAS, ALEXIS ACCOUNT #: 02254036945	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	25.00	1.19	0.00	1.19	95.0	1.13
04/20/2018	***-**-3942 - HIBBERT, LEONA ACCOUNT #: 03670462660	ROCHESTER FUND MUNICIPALS C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	95.0	0.48
04/27/2018	***-**-6657 - THOMAS, ALEXIS ACCOUNT #: 03670742027	ROCHESTER FUND MUNICIPALS C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	***-**-9281 - RUSSELL, AMY ACCOUNT #: 02932131646	SENIOR FLOATING RATE FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	95.0	0.10
04/20/2018	***-**-5142 - WASHINGTON ACCOUNT #: 07211008854	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	25.00	1.19	0.00	1.19	95.0	1.13
04/30/2018	***-**-9281 - RUSSELL, AMY ACCOUNT #: 03770519816	VALUE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	95.0	0.24
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$595.00</b>	<b>\$19.28</b>	<b>\$0.00</b>	<b>\$19.28</b>		<b>\$18.34</b>



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
04/27/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 01429492687	BUY 2 Units @26.5000						
05/07/2018	***-**-1377 - MARCHESE, PAUL	NEW YORK 529 TRAILS	0.00	10.18	0.00	10.18	95.0	9.67
	ACCOUNT #: 77056237301	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<u>\$50.00</u>	<u>\$10.68</u>	<u>\$0.00</u>	<u>\$10.68</u>		<u>\$10.15</u>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/06/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 COLLEGE PORT-CL C	0.00	5.25	0.00	5.25	95.0	4.99
	ACCOUNT #: 00625374335	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.81	0.00	2.81	95.0	2.67
	ACCOUNT #: 00625264015	BUY 0 Units @0.0000						
04/06/2018	***-**-2326 - SILLS, MICHAEL	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.69	0.00	0.69	95.0	0.66
	ACCOUNT #: 00625388988	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	5.02	0.00	5.02	95.0	4.77
	ACCOUNT #: 01429492661	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	3.60	0.00	3.60	95.0	3.42
	ACCOUNT #: 01429492687	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.14	0.00	2.14	95.0	2.03
	ACCOUNT #: 01438811646	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.13	0.00	1.13	95.0	1.07
	ACCOUNT #: 01439435668	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.57	0.00	1.57	95.0	1.49
	ACCOUNT #: 00625264015	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.58	0.00	1.58	95.0	1.50
	ACCOUNT #: 01429492661	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.57	0.00	1.57	95.0	1.49
	ACCOUNT #: 01429492687	BUY 0 Units @0.0000						
04/06/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	0.00	1.57	0.00	1.57	95.0	1.49
	ACCOUNT #: 01438811646	BUY 0 Units @0.0000						
04/06/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL A	0.00	0.60	0.00	0.60	95.0	0.57
	ACCOUNT #: 00625374327	BUY 0 Units @0.0000						
04/06/2018	***-**-0054 - OSCHMANN, PAMELA	FA 529 PORT 2019-CL A	0.00	1.17	0.00	1.17	95.0	1.11
	ACCOUNT #: 00704575869	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-40CL - LUPIANI, CLIENTS	FA 529 PORT 2019-CL C	0.00	0.90	0.00	0.90	95.0	0.86
	ACCOUNT #: 00625374327	BUY 0 Units @0.0000						
04/06/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	2.07	0.00	2.07	95.0	1.97
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
04/06/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV EMERGING ASIA FUND CL	0.00	3.96	0.00	3.96	95.0	3.76
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
04/06/2018	***-**-015I - COLONEY, SHIRLEY	FIDELITY ADV EMERGING MKTS CL A	0.00	1.50	0.00	1.50	95.0	1.43
	ACCOUNT #: 01438826586	BUY 0 Units @0.0000						
04/06/2018	***-**-26IR - SILLS, MICHAEL	FIDELITY ADV EMERGING MKTS CL A	0.00	0.27	0.00	0.27	95.0	0.26
	ACCOUNT #: 01440241196	BUY 0 Units @0.0000						
04/06/2018	***-**-305P - DI MARCO,	FIDELITY ADV EMERGING MKTS-CL C	0.00	3.05	0.00	3.05	95.0	2.90
	ACCOUNT #: 00701854093	BUY 0 Units @0.0000						
04/06/2018	***-**-4567 - HOWIE, GORDON	FIDELITY ADV EMERGING MKTS-CL C	0.00	2.45	0.00	2.45	95.0	2.33
	ACCOUNT #: 00704021278	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV EMERGING MKTS-CL C	0.00	0.66	0.00	0.66	95.0	0.63
	ACCOUNT #: 00704021286	BUY 0 Units @0.0000						
04/06/2018	***-**-806I - MARYJANOWSKI,	FIDELITY ADV EMERGING MKTS-CL C	0.00	0.12	0.00	0.12	95.0	0.11
	ACCOUNT #: 00704164920	BUY 0 Units @0.0000						
04/06/2018	***-**-248I - STAAB, DONNA	FIDELITY ADV EMERGING MKTS-CL C	0.00	2.60	0.00	2.60	95.0	2.47
	ACCOUNT #: 00704192111	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV EMERGING MKTS-CL C	0.00	0.39	0.00	0.39	95.0	0.37
	ACCOUNT #: 00704224948	BUY 0 Units @0.0000						
04/06/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV EQUITY GROWTH CL A	0.00	1.99	0.00	1.99	95.0	1.89
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
04/06/2018	***-**-7153 - MENARD, MICHAEL	FIDELITY ADV EQUITY GROWTH CL T	0.00	96.17	0.00	96.17	95.0	91.36
	ACCOUNT #: 00009008138	BUY 0 Units @0.0000						
04/06/2018	***-**-591I - HEBBLETHWAITE,	FIDELITY ADV FREEDOM 2015-CL C	0.00	13.59	0.00	13.59	95.0	12.91
	ACCOUNT #: 00618187975	BUY 0 Units @0.0000						
04/06/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	4.53	0.00	4.53	95.0	4.30
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
04/06/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV GROWTH & INCOME CL C	0.00	9.81	0.00	9.81	95.0	9.32
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-215I - HILL, ROBERT	FIDELITY ADV HEALTH CARE CL C	0.00	10.30	0.00	10.30	95.0	9.79
	ACCOUNT #: 00655371573	BUY 0 Units @0.0000						
04/06/2018	***-**-540I - DEGNAN, SUSAN	FIDELITY ADV HEALTH CARE CL C	0.00	3.21	0.00	3.21	95.0	3.05
	ACCOUNT #: 01483840300	BUY 0 Units @0.0000						
04/06/2018	***-**-8490 - ALLYN, ANN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	0.03	0.00	0.03	95.0	0.03
	ACCOUNT #: 00009045530	BUY 0 Units @0.0000						
04/06/2018	***-**-6747 - LAKE, DAVID	FIDELITY ADV INTL CAPITAL APP CL C	0.00	1.58	0.00	1.58	95.0	1.50
	ACCOUNT #: 00655990968	BUY 0 Units @0.0000						
04/06/2018	***-**-015I - COLONEY, SHIRLEY	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	3.70	0.00	3.70	95.0	3.52
	ACCOUNT #: 01438826586	BUY 0 Units @0.0000						
04/06/2018	***-**-806I - MARYJANOWSKI,	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	0.58	0.00	0.58	95.0	0.55
	ACCOUNT #: 00704164920	BUY 0 Units @0.0000						
04/06/2018	***-**-248I - STAAB, DONNA	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	3.84	0.00	3.84	95.0	3.65
	ACCOUNT #: 00704192111	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	0.88	0.00	0.88	95.0	0.84
	ACCOUNT #: 00704224948	BUY 0 Units @0.0000						
04/06/2018	***-**-423I -	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.84	0.00	1.84	95.0	1.75
	ACCOUNT #: 00625454525	BUY 0 Units @0.0000						
04/06/2018	***-**-015I - COLONEY, SHIRLEY	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	2.36	0.00	2.36	95.0	2.24
	ACCOUNT #: 01438826586	BUY 0 Units @0.0000						
04/06/2018	***-**-586I - BACH, LINDA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	2.56	0.00	2.56	95.0	2.43
	ACCOUNT #: 01447589845	BUY 0 Units @0.0000						
04/06/2018	***-**-3750 - RAY, CHRISTIAN	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.18	0.00	1.18	95.0	1.12
	ACCOUNT #: 01459576656	BUY 0 Units @0.0000						
04/06/2018	***-**-0887 - RAY, JONATHAN	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.18	0.00	1.18	95.0	1.12
	ACCOUNT #: 01459576698	BUY 0 Units @0.0000						
04/06/2018	***-**-92CL - HAWRYLIAK,	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	10.68	0.00	10.68	95.0	10.15
	ACCOUNT #: 01477333049	BUY 0 Units @0.0000						
04/06/2018	***-**-677I - SADLER, MICHAEL	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	8.00	0.00	8.00	95.0	7.60
	ACCOUNT #: 01432592572	BUY 0 Units @0.0000						
04/06/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	16.97	0.00	16.97	95.0	16.12
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-9668 - TANCK, ALICIA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	6.19	0.00	6.19	95.0	5.88
	ACCOUNT #: 01468650880	BUY 0 Units @0.0000						
04/06/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	12.98	0.00	12.98	95.0	12.33
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
04/06/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	15.72	0.00	15.72	95.0	14.93
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						
04/06/2018	***-**-215I - HILL, ROBERT	FIDELITY ADV MID CAP CL C	0.00	0.05	0.00	0.05	95.0	0.05
	ACCOUNT #: 00655371573	BUY 0 Units @0.0000						
04/06/2018	***-**-9156 - KOLUPSKI, KIM	FIDELITY ADV MID CAP CL C	0.00	66.14	0.00	66.14	95.0	62.83
	ACCOUNT #: 00701046575	BUY 0 Units @0.0000						
04/06/2018	***-**-4938 - KNAPP, M JOETTE	FIDELITY ADV MID CAP II - CL C	0.00	3.94	0.00	3.94	95.0	3.74
	ACCOUNT #: 00700271000	BUY 0 Units @0.0000						
04/06/2018	***-**-4567 - HOWIE, GORDON	FIDELITY ADV MID CAP II - CL C	0.00	10.53	0.00	10.53	95.0	10.00
	ACCOUNT #: 00704021278	BUY 0 Units @0.0000						
04/06/2018	***-**-806I - MARYJANOWSKI,	FIDELITY ADV MID CAP II - CL C	0.00	0.93	0.00	0.93	95.0	0.88
	ACCOUNT #: 00704164920	BUY 0 Units @0.0000						
04/06/2018	***-**-248I - STAAB, DONNA	FIDELITY ADV MID CAP II - CL C	0.00	15.95	0.00	15.95	95.0	15.15
	ACCOUNT #: 00704192111	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV MID CAP II - CL C	0.00	0.55	0.00	0.55	95.0	0.52
	ACCOUNT #: 00704224948	BUY 0 Units @0.0000						
04/06/2018	***-**-403I - MILLER, DANIEL	FIDELITY ADV MID CAP II - CL C	0.00	4.44	0.00	4.44	95.0	4.22
	ACCOUNT #: 00704257047	BUY 0 Units @0.0000						
04/06/2018	***-**-9357 - MACKENZIE,	FIDELITY ADV MID CAP II - CL C	0.00	5.82	0.00	5.82	95.0	5.53
	ACCOUNT #: 00704417856	BUY 0 Units @0.0000						
04/06/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV MID CAP II - CL C	0.00	12.42	0.00	12.42	95.0	11.80
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
04/06/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV MID CAP II - CL C	0.00	22.73	0.00	22.73	95.0	21.59
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						
04/06/2018	***-**-31IN - O'DONNELL,	FIDELITY ADV MID CAP II - CL C	0.00	8.76	0.00	8.76	95.0	8.32
	ACCOUNT #: 00704828987	BUY 0 Units @0.0000						
04/06/2018	***-**-015I - COLONEY, SHIRLEY	FIDELITY ADV MID CAP II-CL A	0.00	2.95	0.00	2.95	95.0	2.80
	ACCOUNT #: 01438826586	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-586I - BACH, LINDA ACCOUNT #: 01447589845	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	8.10	0.00	8.10	95.0	7.70
04/06/2018	***-**-3750 - RAY, CHRISTIAN ACCOUNT #: 01459576656	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	95.0	1.14
04/06/2018	***-**-0887 - RAY, JONATHAN ACCOUNT #: 01459576698	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	95.0	1.14
04/06/2018	***-**-92CL - HAWRYLIAK, ACCOUNT #: 01477333049	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	10.70	0.00	10.70	95.0	10.17
04/06/2018	***-**-9357 - MACKENZIE, ACCOUNT #: 07044928914	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	2.90	0.00	2.90	95.0	2.76
04/06/2018	***-**-423I - ACCOUNT #: 00625454525	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	7.11	0.00	7.11	95.0	6.75
04/06/2018	***-**-661I - LACY, KIMBERLY ACCOUNT #: 00704518646	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	0.29	0.00	0.29	95.0	0.28
04/06/2018	***-**-586I - BACH, LINDA ACCOUNT #: 01447589845	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	19.82	0.00	19.82	95.0	18.83
04/06/2018	***-**-3750 - RAY, CHRISTIAN ACCOUNT #: 01459576656	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	95.0	1.24
04/06/2018	***-**-0887 - RAY, JONATHAN ACCOUNT #: 01459576698	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	1.73	0.00	1.73	95.0	1.64
04/06/2018	***-**-92CL - HAWRYLIAK, ACCOUNT #: 01477333049	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	12.18	0.00	12.18	95.0	11.57
04/06/2018	***-**-9357 - MACKENZIE, ACCOUNT #: 07044928914	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	5.79	0.00	5.79	95.0	5.50
04/06/2018	***-**-0054 - OSCHMANN, PAMELA ACCOUNT #: 00700197569	FIDELITY ADV NEW INSIGHTS-CL A BUY 0 Units @0.0000	0.00	12.77	0.00	12.77	95.0	12.13
04/06/2018	***-**-0178 - MILICH, SHELLEY ACCOUNT #: 00516849395	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	15.02	0.00	15.02	95.0	14.27
04/06/2018	***-**-4938 - KNAPP, M JOETTE ACCOUNT #: 00700271000	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	6.04	0.00	6.04	95.0	5.74
04/06/2018	***-**-9156 - KOLUPSKI, KIM ACCOUNT #: 00701046575	FIDELITY ADV NEW INSIGHTS-CL C BUY 0 Units @0.0000	0.00	83.15	0.00	83.15	95.0	78.99



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-996I - MARTIN, MICHAEL	FIDELITY ADV NEW INSIGHTS-CL C	0.00	2.77	0.00	2.77	95.0	2.63
	ACCOUNT #: 00701808818	BUY 0 Units @0.0000						
04/06/2018	***-**-305P - DI MARCO,	FIDELITY ADV NEW INSIGHTS-CL C	0.00	30.34	0.00	30.34	95.0	28.82
	ACCOUNT #: 00701854093	BUY 0 Units @0.0000						
04/06/2018	***-**-4567 - HOWIE, GORDON	FIDELITY ADV NEW INSIGHTS-CL C	0.00	23.15	0.00	23.15	95.0	21.99
	ACCOUNT #: 00704021278	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV NEW INSIGHTS-CL C	0.00	0.62	0.00	0.62	95.0	0.59
	ACCOUNT #: 00704021286	BUY 0 Units @0.0000						
04/06/2018	***-**-0300 - LOGORY, DOUGLAS	FIDELITY ADV NEW INSIGHTS-CL C	0.00	4.46	0.00	4.46	95.0	4.24
	ACCOUNT #: 00704131911	BUY 0 Units @0.0000						
04/06/2018	***-**-806I - MARYJANOWSKI,	FIDELITY ADV NEW INSIGHTS-CL C	0.00	0.48	0.00	0.48	95.0	0.46
	ACCOUNT #: 00704164920	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV NEW INSIGHTS-CL C	0.00	0.21	0.00	0.21	95.0	0.20
	ACCOUNT #: 00704224948	BUY 0 Units @0.0000						
04/06/2018	***-**-403I - MILLER, DANIEL	FIDELITY ADV NEW INSIGHTS-CL C	0.00	15.69	0.00	15.69	95.0	14.91
	ACCOUNT #: 00704257047	BUY 0 Units @0.0000						
04/06/2018	***-**-9357 - MACKENZIE,	FIDELITY ADV NEW INSIGHTS-CL C	0.00	6.66	0.00	6.66	95.0	6.33
	ACCOUNT #: 00704417856	BUY 0 Units @0.0000						
04/06/2018	***-**-792I - PUTNAM, CARL	FIDELITY ADV NEW INSIGHTS-CL C	0.00	0.18	0.00	0.18	95.0	0.17
	ACCOUNT #: 00704929348	BUY 0 Units @0.0000						
04/06/2018	***-**-677I - SADLER, MICHAEL	FIDELITY ADV NEW INSIGHTS-CL C	0.00	20.80	0.00	20.80	95.0	19.76
	ACCOUNT #: 01432592572	BUY 0 Units @0.0000						
04/06/2018	***-**-986I - MERLAU, SANDRA	FIDELITY ADV NEW INSIGHTS-CL C	0.00	23.73	0.00	23.73	95.0	22.54
	ACCOUNT #: 01448393544	BUY 0 Units @0.0000						
04/06/2018	***-**-1159 - WHITE, MICHELE	FIDELITY ADV NEW INSIGHTS-CL C	0.00	14.17	0.00	14.17	95.0	13.46
	ACCOUNT #: 01454801075	BUY 0 Units @0.0000						
04/06/2018	***-**-2338 - DEAN, WILLIAM	FIDELITY ADV NEW INSIGHTS-CL C	0.00	0.03	0.00	0.03	95.0	0.03
	ACCOUNT #: 01466906946	BUY 0 Units @0.0000						
04/06/2018	***-**-3936 - TANCK, AARON	FIDELITY ADV NEW INSIGHTS-CL C	0.00	4.47	0.00	4.47	95.0	4.25
	ACCOUNT #: 01468650799	BUY 0 Units @0.0000						
04/06/2018	***-**-9668 - TANCK, ALICIA	FIDELITY ADV NEW INSIGHTS-CL C	0.00	8.67	0.00	8.67	95.0	8.24
	ACCOUNT #: 01468650880	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV NEW INSIGHTS-CL C	0.00	15.96	0.00	15.96	95.0	15.16
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
04/06/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV NEW INSIGHTS-CL C	0.00	20.76	0.00	20.76	95.0	19.72
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						
04/06/2018	***-**-31IN - O'DONNELL,	FIDELITY ADV NEW INSIGHTS-CL C	0.00	9.18	0.00	9.18	95.0	8.72
	ACCOUNT #: 00704828987	BUY 0 Units @0.0000						
04/06/2018	***-**-7153 - MENARD, MICHAEL	FIDELITY ADV NEW INSIGHTS-CL T	0.00	62.20	0.00	62.20	95.0	59.09
	ACCOUNT #: 00009008138	BUY 0 Units @0.0000						
04/06/2018	***-**-6747 - LAKE, DAVID	FIDELITY ADV SMALL CAP CL C	0.00	0.07	0.00	0.07	95.0	0.07
	ACCOUNT #: 00655990968	BUY 0 Units @0.0000						
04/06/2018	***-**-305P - DI MARCO,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	7.09	0.00	7.09	95.0	6.74
	ACCOUNT #: 00701854093	BUY 0 Units @0.0000						
04/06/2018	***-**-0817 - PFENNINGER,	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.02	0.00	0.02	95.0	0.02
	ACCOUNT #: 00702891466	BUY 0 Units @0.0000						
04/06/2018	***-**-772J - HERTZEL, ROBERTA	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.49	0.00	0.49	95.0	0.47
	ACCOUNT #: 00704694777	BUY 0 Units @0.0000						
04/06/2018	***-**-31IN - O'DONNELL,	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.97	0.00	0.97	95.0	0.92
	ACCOUNT #: 00704828987	BUY 0 Units @0.0000						
04/06/2018	***-**-3750 - RAY, CHRISTIAN	FIDELITY ADV STRATEGIC INCOME CL A	0.00	0.87	0.00	0.87	95.0	0.83
	ACCOUNT #: 01459576656	BUY 0 Units @0.0000						
04/06/2018	***-**-0887 - RAY, JONATHAN	FIDELITY ADV STRATEGIC INCOME CL A	0.00	0.77	0.00	0.77	95.0	0.73
	ACCOUNT #: 01459576698	BUY 0 Units @0.0000						
04/06/2018	***-**-0054 - OSCHMANN, PAMELA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	7.72	0.00	7.72	95.0	7.33
	ACCOUNT #: 00700197569	BUY 0 Units @0.0000						
04/06/2018	***-**-9194 - MARTIN, BRIAN	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.12	0.00	0.12	95.0	0.11
	ACCOUNT #: 00704021286	BUY 0 Units @0.0000						
04/06/2018	***-**-1128 - COOK PC, E	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.02	0.00	0.02	95.0	0.02
	ACCOUNT #: 00704075456	BUY 0 Units @0.0000						
04/06/2018	***-**-0730 - TANCK, LISA	FIDELITY ADV STRATEGIC INCOME CL C	0.00	7.67	0.00	7.67	95.0	7.29
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
04/06/2018	***-**-72IN - HERTZEL, GERALD	FIDELITY ADV STRATEGIC INCOME CL C	0.00	19.63	0.00	19.63	95.0	18.65
	ACCOUNT #: 00704765932	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV TECHNOLOGY CL A	0.00	1.99	0.00	1.99	95.0	1.89
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
04/06/2018	***-**-215I - HILL, ROBERT	FIDELITY ADV TECHNOLOGY CLASS C	0.00	0.06	0.00	0.06	95.0	0.06
	ACCOUNT #: 00655371573	BUY 0 Units @0.0000						
04/06/2018	***-**-46IN - PICKETT, ANITA	FIDELITY ADV TECHNOLOGY CLASS C	0.00	0.06	0.00	0.06	95.0	0.06
	ACCOUNT #: 00655906360	BUY 0 Units @0.0000						
04/06/2018	***-**-8879 - FEIL, WILLIAM	FIDELITY ADV TECHNOLOGY CLASS C	0.00	0.90	0.00	0.90	95.0	0.86
	ACCOUNT #: 00656087202	BUY 0 Units @0.0000						
04/06/2018	***-**-9137 - RAUTENSTAUCH,	FIDELITY ADV TECHNOLOGY CLASS C	0.00	1.28	0.00	1.28	95.0	1.22
	ACCOUNT #: 00669891301	BUY 0 Units @0.0000						
04/06/2018	***-**-9156 - KOLUPSKI, KIM	FIDELITY ADV VALUE STRATEGIES CL C	0.00	36.74	0.00	36.74	95.0	34.90
	ACCOUNT #: 00701046575	BUY 0 Units @0.0000						
04/06/2018	***-**-082I - MEYER, DENNIS	FIDELITY ADV VALUE STRATEGIES-CL A	0.00	1.43	0.00	1.43	95.0	1.36
	ACCOUNT #: 00689728715	BUY 0 Units @0.0000						
04/06/2018	***-**-0054 - OSCHMANN, PAMELA	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	7.12	0.00	7.12	95.0	6.76
	ACCOUNT #: 00700197569	BUY 0 Units @0.0000						
04/06/2018	***-**-8879 - FEIL, WILLIAM	FIDELITY ADVISOR EQUITY GROWTH CL C	0.00	0.21	0.00	0.21	95.0	0.20
	ACCOUNT #: 00656087202	BUY 0 Units @0.0000						
04/06/2018	***-**-540I - DEGNAN, SUSAN	FIDELITY ADVISOR JAPAN CLASS C	0.00	3.81	0.00	3.81	95.0	3.62
	ACCOUNT #: 01483840300	BUY 0 Units @0.0000						
04/06/2018	***-**-7832 - GEISELHART,	FIDELITY ADVISOR MID CAP STOCK CL T	0.00	19.84	0.00	19.84	95.0	18.85
	ACCOUNT #: 00062724182	BUY 0 Units @0.0000						
04/06/2018	***-**-0730 - TANCK, LISA	FIDELITY ADVISORS	0.00	10.29	0.00	10.29	95.0	9.78
	ACCOUNT #: 01468853039	BUY 0 Units @0.0000						
04/06/2018	***-**-92CL - HAWRYLIAK,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	6.31	0.00	6.31	95.0	5.99
	ACCOUNT #: 01477333049	BUY 0 Units @0.0000						
05/04/2018	***-**-68IN - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.16	0.00	0.16	95.0	0.15
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
05/04/2018	***-**-6468 - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.08	0.00	0.08	95.0	0.08
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
05/04/2018	***-**-68IN - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.14	0.00	0.14	95.0	0.13
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
05/04/2018	***-**-6468 - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.07	0.00	0.07	95.0	0.07
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1,021.51</b>	<b>\$0.00</b>	<b>\$1,021.51</b>		<b>\$970.48</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	***-**-06IN - VANROO, PAT	JOHN HANCOCK 41013R406	0.00	73.28	0.00	73.28	95.0	69.62
	ACCOUNT #: 70043637	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$73.28</b>	<b>\$0.00</b>	<b>\$73.28</b>		<b>\$69.62</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$645.00</b>	<b>\$1,124.75</b>	<b>\$0.00</b>	<b>\$1,124.75</b>		<b>\$1,068.59</b>

### **OVERRIDE TRANSACTIONS**

#### **BROKER: RICHARD BIRNS**

04/30/2018	***-**-1071 - GERAKARIS,	JOHN HANCOCK 41014C143	0.00	1.98	0.00	1.98	20.0	0.40
	ACCOUNT #: 2419387	BUY 0 Units @0.0000						
		<b>* RICHARD BIRNS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1.98</b>	<b>\$0.00</b>	<b>\$1.98</b>		<b>\$0.40</b>

#### **BROKER: BARRY BRESIN**

04/30/2018	***-**-180I - HALM, MATILDA	JACKSON 468511654	0.00	39.11	0.00	39.11	15.0	5.87
	ACCOUNT #: 029010125A	BUY 0 Units @0.0000						
		<b>* BARRY BRESIN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$39.11</b>	<b>\$0.00</b>	<b>\$39.11</b>		<b>\$5.87</b>

#### **BROKER: FREDERIC SCARPULLA**

05/07/2018	***-**-335P - JULIAN, GIOVANA	AGE BASED INVESTMENT OPTION	138.52	1.39	0.00	1.39	10.0	0.14
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
04/23/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063412117	BUY 3 Units @33.6500						
04/23/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063451518	BUY 3 Units @33.6500						
04/26/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063412117	BUY 3 Units @33.5300						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	AMCAP FUND-A BUY 3 Units @33.5300	89.00	1.07	0.00	1.07	10.0	0.11
04/23/2018	***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661	AMCAP FUND-C BUY 2 Units @29.2700	57.69	0.58	0.00	0.58	10.0	0.06
04/26/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000409714	AMCAP FUND-C BUY 1 Units @29.1600	15.80	0.16	0.00	0.16	10.0	0.02
04/26/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000409714	AMCAP FUND-C BUY 16 Units @29.1600	480.00	4.80	0.00	4.80	10.0	0.48
04/27/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMCAP FUND-C BUY 1 Units @29.2100	38.43	0.38	0.00	0.38	10.0	0.04
04/27/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 1 Units @27.4800	16.10	0.26	0.00	0.26	10.0	0.03
04/27/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	AMERICAN BALANCED FUND-A BUY 2 Units @27.4800	56.00	0.90	0.00	0.90	10.0	0.09
04/23/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	AMERICAN BALANCED FUND-C BUY 7 Units @26.7200	187.00	1.87	0.00	1.87	10.0	0.19
04/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @26.7200	28.05	0.28	0.00	0.28	10.0	0.03
04/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @26.7200	6.00	0.06	0.00	0.06	10.0	0.01
04/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.7200	40.80	0.41	0.00	0.41	10.0	0.04
04/23/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089244081	AMERICAN BALANCED FUND-C BUY 3 Units @26.7200	87.96	0.88	0.00	0.88	10.0	0.09
04/23/2018	***-**-281S - FLUGEL, AMANDA ACCOUNT #: 00089561651	AMERICAN BALANCED FUND-C BUY 1 Units @26.7200	40.00	0.40	0.00	0.40	10.0	0.04
04/23/2018	***-**-035S - CAPIZZI, TRACIE ACCOUNT #: 00089679504	AMERICAN BALANCED FUND-C BUY 1 Units @26.7200	37.75	0.38	0.00	0.38	10.0	0.04
04/23/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @26.7200	120.00	1.20	0.00	1.20	10.0	0.12
04/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.5200	20.00	0.20	0.00	0.20	10.0	0.02



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: FREDERIC SCARPULLA (continued) </u></b>								
04/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.5200	40.80	0.41	0.00	0.41	10.0	0.04
04/24/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @26.5200	18.76	0.19	0.00	0.19	10.0	0.02
04/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	AMERICAN BALANCED FUND-C BUY 4 Units @26.5200	100.00	1.00	0.00	1.00	10.0	0.10
04/25/2018	***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000886858	AMERICAN BALANCED FUND-C BUY 38 Units @26.5200	1,000.00	10.00	0.00	10.00	10.0	1.00
04/26/2018	***-**-3834 - SWARTWOUT, ACCOUNT #: 00089957234	AMERICAN BALANCED FUND-C BUY 2 Units @26.7100	50.00	0.50	0.00	0.50	10.0	0.05
04/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	AMERICAN BALANCED FUND-C BUY 1 Units @26.7100	28.05	0.28	0.00	0.28	10.0	0.03
04/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN BALANCED FUND-C BUY 0 Units @26.7100	6.00	0.06	0.00	0.06	10.0	0.01
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	AMERICAN BALANCED FUND-C BUY 1 Units @26.7100	20.00	0.20	0.00	0.20	10.0	0.02
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 2 Units @26.7100	40.80	0.41	0.00	0.41	10.0	0.04
04/26/2018	***-**-1277 - ROBSON, BONNIE ACCOUNT #: 04000868901	AMERICAN BALANCED FUND-C BUY 335 Units @26.7100	8,944.87	89.45	0.00	89.45	10.0	8.95
04/27/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 2 Units @26.7500	42.50	0.43	0.00	0.43	10.0	0.04
04/27/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00086979418	AMERICAN BALANCED FUND-C BUY 1 Units @26.7500	15.00	0.15	0.00	0.15	10.0	0.02
04/27/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 1 Units @26.7500	21.00	0.21	0.00	0.21	10.0	0.02
04/27/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	AMERICAN BALANCED FUND-C BUY 4 Units @26.7500	94.98	0.95	0.00	0.95	10.0	0.10
04/23/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @18.7800	7.28	0.07	0.00	0.07	10.0	0.01
04/23/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @18.7800	43.65	0.44	0.00	0.44	10.0	0.04



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	9.98	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 04000820464	BUY 1 Units @18.7500						
04/26/2018	***-**-4478 - YACONO, JOSEPH	AMERICAN FUNDS 2055 TARGET DATE-C	59.86	0.60	0.00	0.60	10.0	0.06
	ACCOUNT #: 04000820464	BUY 3 Units @18.7500						
04/27/2018	***-**-511I - ROOD, SCOTT	AMERICAN GLOBAL BALANCED CL C	32.94	0.33	0.00	0.33	10.0	0.03
	ACCOUNT #: 00088607840	BUY 1 Units @32.5400						
04/23/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	10.0	0.11
	ACCOUNT #: 00087236607	BUY 10 Units @10.2100						
04/23/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00087236607	BUY 0 Units @10.2100						
04/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00087236607	BUY 0 Units @10.1800						
04/26/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	10.0	0.11
	ACCOUNT #: 00087236607	BUY 10 Units @10.1800						
04/23/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @39.6000						
04/23/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	6.25	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @39.6000						
04/26/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00085904181	BUY 0 Units @39.6600						
04/26/2018	***-**-678I - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	38.64	0.39	0.00	0.39	10.0	0.04
	ACCOUNT #: 04000409710	BUY 1 Units @39.6600						
04/27/2018	***-**-511I - ROOD, SCOTT	AMERICAN MUTUAL FUND-C	38.43	0.38	0.00	0.38	10.0	0.04
	ACCOUNT #: 00088607840	BUY 1 Units @39.7500						
04/18/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	61.61	0.62	0.00	0.62	10.0	0.06
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
04/27/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
04/27/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	10.0	0.07
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
04/27/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/23/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	10.0	0.30
	ACCOUNT #: 00087299599	BUY 5 Units @60.8700						
04/27/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	18.98	0.19	0.00	0.19	10.0	0.02
	ACCOUNT #: 00086494794	BUY 0 Units @61.0800						
04/27/2018	***-**-7171 - GIUDICE, JUSTIN	CAPITAL INCOME BUILDER CLASS C	57.75	0.58	0.00	0.58	10.0	0.06
	ACCOUNT #: 00086494794	BUY 1 Units @61.0800						
04/27/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00089399972	BUY 0 Units @61.0800						
04/27/2018	***-**-0962 - TRETYAK,	CAPITAL INCOME BUILDER CLASS C	94.99	0.95	0.00	0.95	10.0	0.10
	ACCOUNT #: 00089399972	BUY 2 Units @61.0800						
04/27/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	16.10	0.26	0.00	0.26	10.0	0.03
	ACCOUNT #: 00073550085	BUY 0 Units @62.2700						
04/27/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL INCOME BUILDER-A	56.00	0.90	0.00	0.90	10.0	0.09
	ACCOUNT #: 00073550085	BUY 1 Units @62.2700						
04/23/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 1 Units @20.2800						
04/23/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 1 Units @20.2800						
04/26/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 1 Units @20.2000						
04/26/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 1 Units @20.2000						
04/25/2018	***-**-398I - GUERRIERI, PAUL	CAPITAL WORLD BOND FUND-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00086040659	BUY 5 Units @19.5500						
04/23/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 00074210167	BUY 0 Units @54.9400						
04/23/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	10.0	0.10
	ACCOUNT #: 00087038420	BUY 2 Units @51.2000						
04/23/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @51.2000						
04/24/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @50.8000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @51.2400	96.40	0.96	0.00	0.96	10.0	0.10
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.2400	15.00	0.15	0.00	0.15	10.0	0.02
04/27/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @53.0200	16.10	0.26	0.00	0.26	10.0	0.03
04/27/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.0200	56.00	0.90	0.00	0.90	10.0	0.09
04/27/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.3800	24.00	0.24	0.00	0.24	10.0	0.02
04/27/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.3800	40.00	0.40	0.00	0.40	10.0	0.04
04/27/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.3800	21.15	0.21	0.00	0.21	10.0	0.02
04/27/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @51.3800	105.75	1.06	0.00	1.06	10.0	0.11
05/07/2018	***-**-526I - CAVALLARO, ACCOUNT #: 3360045601	DREYFUS MF TRAILS BUY 0 Units @0.0000	0.00	0.98	0.00	0.98	10.0	0.10
04/27/2018	***-**-85IN - MAZZOLA, BARBARA ACCOUNT #: 00100051019	EMERGING MARKETS INNOVATORS FUND C BUY 0 Units @0.0000	7.50	0.08	0.00	0.08	10.0	0.01
04/16/2018	***-**-6188 - ROBERTS, ACCOUNT #: 08370627385	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/18/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 08370596090	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	46.21	0.46	0.00	0.46	10.0	0.05
04/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.7700	66.75	0.80	0.00	0.80	10.0	0.08
04/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.7700	66.75	0.80	0.00	0.80	10.0	0.08
04/26/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.6600	66.75	0.80	0.00	0.80	10.0	0.08
04/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.6600	66.75	0.80	0.00	0.80	10.0	0.08



# COMMISSION STATEMENT

May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/06/2018	***-**-4550 - JONES, JEFFREY	FA 529 PORT 2019-CL P	0.00	7.84	0.00	7.84	10.0	0.78
	ACCOUNT #: 00529631491	BUY 0 Units @0.0000						
04/06/2018	***-**-7278 - GUERRIERI DDS,	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	0.72	0.00	0.72	10.0	0.07
	ACCOUNT #: 00655383206	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV FREEDOM 2020-CL C	0.00	1.78	0.00	1.78	10.0	0.18
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	1.71	0.00	1.71	10.0	0.17
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	3.70	0.00	3.70	10.0	0.37
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV MID CAP II-CL A	0.00	4.99	0.00	4.99	10.0	0.50
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV NEW INSIGHTS-CL A	0.00	4.84	0.00	4.84	10.0	0.48
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
04/06/2018	***-**-7352 - GEISELHART,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	31.42	0.00	31.42	10.0	3.14
	ACCOUNT #: 00618796254	BUY 0 Units @0.0000						
04/06/2018	***-**-5703 - DIODATO,	FIDELITY ADV STRATEGIC INCOME CL C	0.00	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 03900218260	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADV TECHNOLOGY CL A	0.00	4.88	0.00	4.88	10.0	0.49
	ACCOUNT #: 01475423313	BUY 0 Units @0.0000						
04/06/2018	***-**-0471 - BONACCI, LAURIE	FIDELITY ADVISOR FREEDOM 2020 CL A	0.00	0.93	0.00	0.93	10.0	0.09
	ACCOUNT #: 01475410302	BUY 0 Units @0.0000						
04/23/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063412117	BUY 2 Units @63.3500						
04/23/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063451518	BUY 2 Units @63.3500						
04/26/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063412117	BUY 2 Units @63.2100						
04/26/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063451518	BUY 2 Units @63.2100						
04/27/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	19.18	0.31	0.00	0.31	10.0	0.03
	ACCOUNT #: 00073550035	BUY 0 Units @63.5200						





# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/27/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	133.65	2.14	0.00	2.14	10.0	0.21
	ACCOUNT #: 00073550035	BUY 2 Units @63.5200						
04/23/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @62.0400						
04/23/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @62.0400						
04/23/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @62.0400						
04/23/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	6.25	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @62.0400						
04/24/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.2100						
04/25/2018	***-**-615P - LE, TU	FUNDAMENTAL INVESTORS-C	150.00	1.50	0.00	1.50	10.0	0.15
	ACCOUNT #: 00075107985	BUY 2 Units @61.2900						
04/26/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @61.9000						
04/26/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.9000						
04/26/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.9000						
04/26/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	53.00	0.53	0.00	0.53	10.0	0.05
	ACCOUNT #: 04000409801	BUY 1 Units @61.9000						
04/26/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	480.00	4.80	0.00	4.80	10.0	0.48
	ACCOUNT #: 04000409801	BUY 8 Units @61.9000						
04/27/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	18.98	0.19	0.00	0.19	10.0	0.02
	ACCOUNT #: 00086494794	BUY 0 Units @61.8900						
04/27/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	57.75	0.58	0.00	0.58	10.0	0.06
	ACCOUNT #: 00086494794	BUY 1 Units @61.8900						
04/16/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
04/16/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u> </b>								
04/16/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
04/18/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	77.02	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
04/27/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
04/27/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
04/30/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
04/30/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
04/23/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 00087236607	BUY 2 Units @22.6300						
04/26/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 00087236607	BUY 2 Units @22.5300						
04/23/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @39.8300						
04/24/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	18.20	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @39.3400						
04/26/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	10.0	0.03
	ACCOUNT #: 00085902249	BUY 1 Units @39.8800						
04/26/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 04000409699	BUY 1 Units @39.8800						
04/26/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	14.00	0.14	0.00	0.14	10.0	0.01
	ACCOUNT #: 04000409699	BUY 0 Units @39.8800						
04/26/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	38.64	0.39	0.00	0.39	10.0	0.04
	ACCOUNT #: 04000409710	BUY 1 Units @39.8800						
04/16/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
04/16/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/16/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
04/16/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
04/18/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
04/27/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
04/27/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
04/30/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
04/30/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
04/30/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
04/23/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 0 Units @45.2200						
04/23/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 0 Units @45.2200						
04/26/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 0 Units @45.1400						
04/26/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 0 Units @45.1400						
04/27/2018	***-**-955P - ROSE, JUSTIN	NEW PERSPECTIVE FUND-A	187.50	3.00	0.00	3.00	10.0	0.30
	ACCOUNT #: 00073550044	BUY 4 Units @45.4900						
04/23/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: 00085902226	BUY 0 Units @42.7000						
04/23/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @42.7000						
04/23/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.7000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.1600	20.40	0.20	0.00	0.20	10.0	0.02
04/26/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW PERSPECTIVE FUND-C BUY 0 Units @42.6200	10.50	0.11	0.00	0.11	10.0	0.01
04/26/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW PERSPECTIVE FUND-C BUY 0 Units @42.6200	21.00	0.21	0.00	0.21	10.0	0.02
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @42.6200	20.40	0.20	0.00	0.20	10.0	0.02
04/26/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @42.6200	23.08	0.23	0.00	0.23	10.0	0.02
04/26/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @42.6200	50.00	0.50	0.00	0.50	10.0	0.05
04/26/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 0 Units @42.6200	17.30	0.17	0.00	0.17	10.0	0.02
04/26/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 2 Units @42.6200	100.00	1.00	0.00	1.00	10.0	0.10
04/26/2018	***-**-1277 - ROBSON, BONNIE ACCOUNT #: 04000868901	NEW PERSPECTIVE FUND-C BUY 157 Units @42.6200	6,708.65	67.09	0.00	67.09	10.0	6.71
04/27/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	NEW PERSPECTIVE FUND-C BUY 0 Units @42.7300	18.00	0.18	0.00	0.18	10.0	0.02
04/27/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	NEW PERSPECTIVE FUND-C BUY 1 Units @42.7300	30.00	0.30	0.00	0.30	10.0	0.03
04/23/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @64.8700	10.50	0.11	0.00	0.11	10.0	0.01
04/23/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @64.8700	21.00	0.21	0.00	0.21	10.0	0.02
04/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @64.8700	48.20	0.48	0.00	0.48	10.0	0.05
04/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	NEW WORLD FUND-C BUY 2 Units @64.2000	150.00	1.50	0.00	1.50	10.0	0.15
04/26/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @64.6800	10.50	0.11	0.00	0.11	10.0	0.01



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/26/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	10.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @64.6800						
04/26/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @64.6800						
04/27/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	18.00	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00083414092	BUY 0 Units @64.9200						
04/27/2018	***-**-4036 - AMES, JOHN	NEW WORLD FUND-C	30.00	0.30	0.00	0.30	10.0	0.03
	ACCOUNT #: 00083414092	BUY 0 Units @64.9200						
05/07/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	4.35	0.00	4.35	10.0	0.44
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
05/07/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	6.01	0.00	6.01	10.0	0.60
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
05/07/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.42	0.00	13.42	10.0	1.34
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
04/27/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
04/27/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
04/27/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
04/27/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
04/27/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
04/18/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
04/16/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
04/16/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
04/16/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/18/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02270934318	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	10.0	0.13
04/27/2018	***-**-6836 - SMITH, ADRIENNE ACCOUNT #: 02271182830	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/30/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02271217095	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/16/2018	***-**-8706 - BOSCO, REN ACCOUNT #: 02590094105	QUEST BALANCED FUND C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	10.0	0.50
04/18/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02592292741	QUEST BALANCED FUND C BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	10.0	0.25
04/16/2018	***-**-2620 - CUSIMANO, MEGAN ACCOUNT #: 05920400103	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/16/2018	***-**-9530 - MILAM, IAN ACCOUNT #: 05920419496	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/27/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 05920398266	REAL ESTATE FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/30/2018	***-**-9530 - MILAM, IAN ACCOUNT #: 05920419496	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/16/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02531288969	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/27/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 02531162106	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
04/30/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02531288969	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/27/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	SMALLCAP WORLD FUND-A BUY 0 Units @58.0500	19.18	0.31	0.00	0.31	10.0	0.03
04/27/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	SMALLCAP WORLD FUND-A BUY 2 Units @58.0500	133.65	2.14	0.00	2.14	10.0	0.21
04/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @50.0600	48.20	0.48	0.00	0.48	10.0	0.05
04/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.0600	20.40	0.20	0.00	0.20	10.0	0.02



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.6400	20.40	0.20	0.00	0.20	10.0	0.02
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	SMALLCAP WORLD FUND-C BUY 1 Units @49.8100	48.20	0.48	0.00	0.48	10.0	0.05
04/26/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.8100	20.40	0.20	0.00	0.20	10.0	0.02
04/18/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02322751089	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	10.0	0.13
04/27/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	10.0	0.01
04/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 4 Units @12.6900	44.50	0.53	0.00	0.53	10.0	0.05
04/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 4 Units @12.6900	44.50	0.53	0.00	0.53	10.0	0.05
04/26/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 4 Units @12.6800	44.50	0.53	0.00	0.53	10.0	0.05
04/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 4 Units @12.6800	44.50	0.53	0.00	0.53	10.0	0.05
04/23/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5000	28.05	0.28	0.00	0.28	10.0	0.03
04/23/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5000	9.00	0.09	0.00	0.09	10.0	0.01
04/26/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.4900	28.05	0.28	0.00	0.28	10.0	0.03
04/26/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.4900	9.00	0.09	0.00	0.09	10.0	0.01
04/27/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5100	19.56	0.20	0.00	0.20	10.0	0.02
04/27/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 5 Units @12.5100	59.50	0.60	0.00	0.60	10.0	0.06
04/23/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.8600	66.75	0.80	0.00	0.80	10.0	0.08



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/23/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.8600	66.75	0.80	0.00	0.80	10.0	0.08
04/23/2018	***-**-385P - BONACCI, ACCOUNT #: 00063160662	THE GROWTH FUND OF AMERICA-A BUY 120 Units @53.9600	6,483.97	178.31	0.00	178.31	10.0	17.83
04/23/2018	***-**-00IN - DIVASTA, JANINE ACCOUNT #: 00063669925	THE GROWTH FUND OF AMERICA-A BUY 5 Units @54.5200	250.00	9.38	0.00	9.38	10.0	0.94
04/23/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @55.2500	12.50	0.63	0.00	0.63	10.0	0.06
04/26/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.7500	66.75	0.80	0.00	0.80	10.0	0.08
04/26/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.7500	66.75	0.80	0.00	0.80	10.0	0.08
04/27/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.0800	19.77	0.32	0.00	0.32	10.0	0.03
04/27/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.0800	137.70	2.20	0.00	2.20	10.0	0.22
04/27/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	THE GROWTH FUND OF AMERICA-A BUY 4 Units @53.0800	187.50	3.00	0.00	3.00	10.0	0.30
04/27/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0800	32.20	0.52	0.00	0.52	10.0	0.05
04/27/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.0800	112.00	1.79	0.00	1.79	10.0	0.18
04/27/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 2 Units @54.4700	103.68	3.89	0.00	3.89	10.0	0.39
04/27/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 7 Units @54.4700	400.00	15.00	0.00	15.00	10.0	1.50
04/23/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 4 Units @48.1800	176.00	1.76	0.00	1.76	10.0	0.18
04/23/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.1800	48.20	0.48	0.00	0.48	10.0	0.05
04/23/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.1800	53.17	0.53	0.00	0.53	10.0	0.05





# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
04/23/2018	***-**-482I - MARCONI, TODD	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @48.1800						
04/23/2018	***-**-0006 - FORSYTH, KATHRYN	THE GROWTH FUND OF AMERICA-C	86.54	0.87	0.00	0.87	10.0	0.09
	ACCOUNT #: 04000650661	BUY 2 Units @48.1800						
04/24/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @47.3800						
04/24/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	18.21	0.18	0.00	0.18	10.0	0.02
	ACCOUNT #: 00089583477	BUY 0 Units @47.3800						
04/25/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000248474	BUY 1 Units @47.3700						
04/25/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000248491	BUY 1 Units @47.3700						
04/26/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000598159	BUY 2 Units @48.0700						
04/26/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	24.00	0.24	0.00	0.24	10.0	0.02
	ACCOUNT #: 04000598159	BUY 0 Units @48.0700						
04/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	10.0	0.05
	ACCOUNT #: 00087038420	BUY 1 Units @48.0700						
04/26/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @48.0700						
04/26/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 04000409699	BUY 0 Units @48.0700						
04/26/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	14.00	0.14	0.00	0.14	10.0	0.01
	ACCOUNT #: 04000409699	BUY 0 Units @48.0700						
04/26/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	15.80	0.16	0.00	0.16	10.0	0.02
	ACCOUNT #: 04000409714	BUY 0 Units @48.0700						
04/26/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	10.0	0.48
	ACCOUNT #: 04000409714	BUY 10 Units @48.0700						
04/26/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	23.08	0.23	0.00	0.23	10.0	0.02
	ACCOUNT #: 04000409718	BUY 0 Units @48.0700						
04/26/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 04000409718	BUY 1 Units @48.0700						



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: FREDERIC SCARPULLA (continued) </u></b>								
04/26/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	THE GROWTH FUND OF AMERICA-C BUY 0 Units @48.0700	17.30	0.17	0.00	0.17	10.0	0.02
04/26/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	THE GROWTH FUND OF AMERICA-C BUY 2 Units @48.0700	100.00	1.00	0.00	1.00	10.0	0.10
04/26/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.0700	53.00	0.53	0.00	0.53	10.0	0.05
04/26/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	THE GROWTH FUND OF AMERICA-C BUY 10 Units @48.0700	480.00	4.80	0.00	4.80	10.0	0.48
04/26/2018	***-**-1277 - ROBSON, BONNIE ACCOUNT #: 04000868901	THE GROWTH FUND OF AMERICA-C BUY 140 Units @48.0700	6,708.65	67.09	0.00	67.09	10.0	6.71
04/27/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	THE GROWTH FUND OF AMERICA-C BUY 1 Units @48.1200	28.20	0.28	0.00	0.28	10.0	0.03
04/27/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	THE GROWTH FUND OF AMERICA-C BUY 3 Units @48.1200	141.00	1.41	0.00	1.41	10.0	0.14
04/23/2018	***-**-00IN - DIVASTA, JANINE ACCOUNT #: 00063669925	WASHINGTON MUTUAL INVESTORS FUND-A BUY 5 Units @47.8600	250.00	9.38	0.00	9.38	10.0	0.94
04/23/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @45.1100	187.00	1.87	0.00	1.87	10.0	0.19
04/23/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.1100	6.25	0.06	0.00	0.06	10.0	0.01
04/23/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.1100	40.00	0.40	0.00	0.40	10.0	0.04
04/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	WASHINGTON MUTUAL INVESTORS FUND-C BUY 3 Units @44.7000	150.00	1.50	0.00	1.50	10.0	0.15
04/27/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.0700	21.15	0.21	0.00	0.21	10.0	0.02
04/27/2018	***-**-4926 - HAUN, GARY ACCOUNT #: 04000492822	WASHINGTON MUTUAL INVESTORS FUND-C BUY 2 Units @45.0700	105.75	1.06	0.00	1.06	10.0	0.11
<b> * FREDERIC SCARPULLA SUBTOTAL * </b>			<b>\$44,778.61</b>	<b>\$688.31</b>	<b>\$0.00</b>	<b>\$688.31</b>		<b>\$69.11</b>



# COMMISSION STATEMENT

## May 07, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>Override Transactions (continued)</b>								
<b><u>BROKER: WILLIAM AGNELLO</u></b>								
05/01/2018	***-**-43IN - CUP, NICHOLAS	EASTMAN CHEMICAL CO	10,099.00	37.00	0.00	37.00	25.0	9.25
	ACCOUNT #: LSR006890	SELL 100 Units @100.9900						
05/07/2018	***-**-28IN - CUP, ANDREW	NEW YORK 529 TRAILS	0.00	9.84	0.00	9.84	25.0	2.46
	ACCOUNT #: 77133335101	BUY 0 Units @0.0000						
05/04/2018	***-**-87IN - IRWIN, IRENE	PIMCO EMERG MKTS BOND NFS	0.00	0.06	0.00	0.06	25.0	0.02
	ACCOUNT #: LSR010100	BUY 1 Units @0.0000						
05/04/2018	***-**-36IO - IRWIN, CHARLES	PIMCO FLOATING INCOME FD CL A	0.00	0.13	0.00	0.13	25.0	0.03
	ACCOUNT #: LSR007200	BUY 1 Units @0.0000						
05/04/2018	***-**-002I - NFS/FMTC IRA	PIMCO HIGH YIELD CLASS A	0.00	0.21	0.00	0.21	25.0	0.05
	ACCOUNT #: LSR007005	BUY 1 Units @0.0000						
05/04/2018	***-**-963I - GAMMARIELLO,	PIMCO HIGH YIELD CLASS A	0.00	0.24	0.00	0.24	25.0	0.06
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
05/04/2018	***-**-36IO - IRWIN, CHARLES	PIMCO HIGH YIELD CLASS A	0.00	0.53	0.00	0.53	25.0	0.13
	ACCOUNT #: LSR007200	BUY 1 Units @0.0000						
05/04/2018	***-**-43IN - CUP, NICHOLAS	PIMCO REAL RETURN FUND CLASS A	0.00	0.27	0.00	0.27	25.0	0.07
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
05/04/2018	***-**-28IN - CUP, ANDREW	PIMCO REAL RETURN FUND CLASS A	0.00	0.24	0.00	0.24	25.0	0.06
	ACCOUNT #: LSR007137	BUY 1 Units @0.0000						
	<b>* WILLIAM AGNELLO SUBTOTAL *</b>		<b>\$10,099.00</b>	<b>\$48.52</b>	<b>\$0.00</b>	<b>\$48.52</b>		<b>\$12.13</b>
<b><u>BROKER: JAMES LOMBARDO</u></b>								
05/07/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.27	0.00	0.27	15.0	0.04
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
04/16/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00461190200	BUY 2 Units @25.9600						
04/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	15.0	0.34
	ACCOUNT #: 00082729374	BUY 6 Units @13.0000						
04/23/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	100.00	5.00	0.00	5.00	15.0	0.75
	ACCOUNT #: 00083088053	BUY 2 Units @55.2500						
04/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00082729374	BUY 2 Units @47.8300						
	<b>* JAMES LOMBARDO SUBTOTAL *</b>		<b>\$300.00</b>	<b>\$12.83</b>	<b>\$0.00</b>	<b>\$12.83</b>		<b>\$1.93</b>



BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$55,177.61	\$790.75	\$0.00	\$790.75		\$89.44
BROKER COMMISSION TOTALS								
					Broker Transactions			\$1,068.59
					Split Transactions			\$0.00
					Override Transactions			\$89.44
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$1,158.03
					Year-to-date Earnings			\$43,951.90