



Concorde Investment Services, LLC
COMMISSION STATEMENT
 January 31, 2017

01/27/2017
 Page No. 1

DOUGLAS NEVIN
 600 Johnson Ave.
 Suite B5
 Bohemia, NY 11716

BROKER #: N118
 BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.17	0.00	1.17	90.0	1.05
01/10/2017	KNICHEL, JOHN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.11	0.00	0.11	90.0	0.10
01/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.00	0.00	11.00	90.0	9.90
01/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.56	0.00	3.56	90.0	3.20
01/10/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLIO	0.00	1.31	0.00	1.31	90.0	1.18
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$17.15	\$0.00	\$17.15		\$15.43
<u>PRODUCT CATEGORY: STOCKS</u>								
01/17/2017	RISO, CARMELITA	ALTRIA GROUP INC COM	-13,644.70	45.00	22.50	22.50	90.0	20.25
01/17/2017	RISO, CARMELITA	BLACKROCK NEW YORK MUN INCOME TR II	-14,890.10	65.00	23.50	41.50	90.0	37.35
01/17/2017	RISO, CARMELITA	KRAFT HEINZ CO COM STK	-4,008.90	35.00	22.50	12.50	90.0	11.25
01/13/2017	RISO, CARMELITA	MONDELEZ INTL INC CL A	-6,178.77	50.00	22.50	27.50	90.0	24.75
01/17/2017	RISO, CARMELITA	PHILIP MORRIS INTL INC COM	-18,306.74	65.00	22.50	42.50	90.0	38.25
01/13/2017	RISO, CARMELITA	RMR GROUP INC CL A	-158.40	22.00	22.50	-0.50	90.0	-0.45
* STOCKS SUBTOTAL *			\$-57,187.61	\$282.00	\$136.00	\$146.00		\$131.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/30/2016	NEVIN, VARIOUS	PACIFIC LIFE FUNDS	0.00	298.37	0.00	298.37	90.0	268.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$298.37	\$0.00	\$298.37		\$268.53
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/12/2017	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	0.00	34.36	0.00	34.36	90.0	30.92
01/14/2017	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	0.00	475.73	0.00	475.73	90.0	428.16
01/11/2017	GORMAN, THOMAS	ING LANDMARK	0.00	161.14	0.00	161.14	90.0	145.03
01/09/2017	LOITSCH, DIANE	PAC LIFE INNOVATIVE SELECT NY	0.00	226.66	0.00	226.66	90.0	203.99
01/12/2017	FORMANEK, CAROL	PACIFIC LIFE CHOICE	0.00	183.72	0.00	183.72	90.0	165.35
01/12/2017	CACASE, KERRI	PACIFIC LIFE CHOICE	0.00	73.35	0.00	73.35	90.0	66.02
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,154.96	\$0.00	\$1,154.96		\$1,039.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
		*** BROKER TRANSACTIONS TOTAL ***	\$-57,187.61	\$1,752.48	\$136.00	\$1,616.48		\$1,454.83

ADJUSTMENTS								
01/31/2017		*CONCIERGE SVC: 185362-DAWSON	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.00

BROKER COMMISSION TOTALS	
Broker Transactions	\$1,454.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,404.83
Year-to-date Gross	\$7,120.45
Year-to-date Earnings	\$6,286.03

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