



All Companies
COMMISSION STATEMENT
July 02, 2018

06/28/2018
 Page No. 1

SIGNAL POOL

BROKER #: 9623
 BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

04/13/2018	15626417	VILBIG, MOLLYE S		SIGNAL SECURITIES RIA	B	0.00	340.00	0.00	340.00	100.0	340.00
				* RIA SUBTOTAL *		\$0.00	\$340.00	\$0.00	\$340.00		\$340.00
				*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$340.00	\$0.00	\$340.00		\$340.00

SPLIT TRANSACTIONS

BROKER: SNOW/POOL #9627

06/27/2018	TRAILS			MASS MUTUAL	B	20.97	8.39	0.00	8.39	100.0	8.39
				* SNOW/POOL SUBTOTAL *		\$20.97	\$8.39	\$0.00	\$8.39		\$8.39

BROKER: ROTHSCHILD/POOL #9629

06/20/2018	TRAILS			AMERICAN GENERAL ANNUITY	B	407.64	163.06	0.00	163.06	100.0	163.06
07/02/2018	TRAIL COMMISSIONS			JOHN HANCOCK FEES		0.00	5.53	0.00	5.53	100.0	5.53
06/18/2018	00061397698	RIVARD, JOHN M		AMERICAN FUNDS INVESTMENT COMP OF A	B	65.00	0.72	0.00	0.72	100.0	0.72
06/15/2018	00061957268	SMITH, MARK		AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	1.10	0.00	1.10	100.0	1.10
06/18/2018	00063348505	RIVARD, JOHN M		AMERICAN FUNDS BD FD OF AMER FD	B	62.50	0.69	0.00	0.69	100.0	0.69
06/18/2018	00063348505	RIVARD, JOHN M		AMERICAN FUNDS INCOME FD OF AMERI	B	62.50	0.69	0.00	0.69	100.0	0.69
06/18/2018	00063348505	RIVARD, JOHN M		AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	0.69	0.00	0.69	100.0	0.69
06/18/2018	00063348505	RIVARD, JOHN M		AMERICAN FUNDS WA MUTUAL INVES	B	62.50	0.69	0.00	0.69	100.0	0.69
06/15/2018	00063806455	ROBERTS, KEVIN		AMERICAN FUNDS INCOME FD OF AMERI	B	50.00	1.00	0.00	1.00	100.0	1.00
06/15/2018	00063806455	ROBERTS, KEVIN		AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.00	0.00	1.00	100.0	1.00
06/15/2018	00063806455	ROBERTS, KEVIN		AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	100.0	1.00
06/15/2018	00067724745	ANGELO, CONCORDIA/MILES		AMERICAN MUTUAL FUND-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
06/15/2018	00067724745	ANGELO, CONCORDIA/MILES		CAPITAL WORLD BOND FUND-529A	B	30.00	0.36	0.00	0.36	100.0	0.36
06/15/2018	00067724745	ANGELO, CONCORDIA/MILES		THE INCOME FUND OF AMERICA-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
06/15/2018	00067724745	ANGELO, CONCORDIA/MILES		THE NEW ECONOMY FUND-529A	B	110.00	1.87	0.00	1.87	100.0	1.87

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ROTHSCHILD/POOL #9629</u>									
06/15/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B	203.08	2.44	0.00	2.44	100.0	2.44
06/15/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B	203.08	2.44	0.00	2.44	100.0	2.44
06/15/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	4.18	0.00	4.18	100.0	4.18
06/15/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	4.18	0.00	4.18	100.0	4.18
06/15/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	4.06	0.00	4.06	100.0	4.06
06/15/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	4.06	0.00	4.06	100.0	4.06
06/15/2018	00082838137	HODGES, MICHAEL L	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	0.85	0.00	0.85	100.0	0.85
06/15/2018	00085777888	BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C B	100.00	0.40	0.00	0.40	100.0	0.40
06/15/2018	00085777888	BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C B	100.00	0.40	0.00	0.40	100.0	0.40
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C B	100.00	0.40	0.00	0.40	100.0	0.40
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL	CAPITAL INCOME BUILDER-529C B	100.00	0.40	0.00	0.40	100.0	0.40
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL	THE BOND FUND OF AMERICA-529C B	100.00	0.40	0.00	0.40	100.0	0.40
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL	THE INCOME FUND OF AMERICA-529C B	50.00	0.20	0.00	0.20	100.0	0.20
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL	U.S. GOVERNMENT SECURITIES FUND-529 B	100.00	0.40	0.00	0.40	100.0	0.40
06/20/2018	00087441316	DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE ENROLLMENT FUND-529A B	50.00	0.40	0.00	0.40	100.0	0.40
06/19/2018	00088056101	PYLE, DYLAN	AMERICAN FUNDS INVESTMENT COMP OF A B	45.00	0.76	0.00	0.76	100.0	0.76
* ROTHSCHILD/POOL SUBTOTAL *				\$3,198.44	\$205.39	\$0.00	\$205.39		\$205.39
<u>BROKER: WILLIAMS/HOUSE #9640</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3.42	1.37	0.00	1.37	100.0	1.37
* WILLIAMS/HOUSE SUBTOTAL *				\$3.42	\$1.37	\$0.00	\$1.37		\$1.37
*** SPLIT TRANSACTIONS TOTAL ***				\$3,222.83	\$215.15	\$0.00	\$215.15		\$215.15

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
06/15/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	283.17	8.50	0.00	8.50		0.09
06/15/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44		0.01
06/15/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70		0.01
05/19/2018	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	32.26	1.05	0.00	1.05		0.01
05/19/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	26.54	0.86	0.00	0.86		0.01
05/21/2018	VALDENOR, MARGARET O.	LINCOLN BENEFIT LIFE	B	222.00	8.33	0.00	8.33		0.08
05/22/2018	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13		0.04
05/24/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65		0.01
05/26/2018	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	43.00	1.40	0.00	1.40		0.01
06/07/2018	KABINGUE, VIRGINIA	LINCOLN BENEFIT LIFE	B	150.51	4.89	0.00	4.89		0.05
06/08/2018	TRAILS	LINCOLN BENEFIT LIFE	B	10.11	10.11	0.00	10.11		0.10
06/08/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57		0.02
06/08/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96		0.01
06/13/2018	TRAILS	LINCOLN BENEFIT LIFE	B	43.24	43.24	0.00	43.24		0.43
06/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02		0.01
06/11/2018	000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50		0.01
06/11/2018	000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50		0.01
06/11/2018	000000006330997 EVANGELISTA, AURELIO/BEATRIC	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75		0.01
06/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50		0.03
06/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50		0.03
06/11/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50		0.03
06/11/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88		0.02
06/11/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88		0.02
06/11/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
06/11/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20		0.01
06/11/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
06/11/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50		0.02
06/11/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
06/11/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	7.50	0.00	7.50		0.08
06/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88		0.02
06/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88		0.02
06/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50		0.02
06/15/2018	00062049074 CALUNGSUD, KELLY	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88		0.02

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<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
	M.								
06/15/2018	00066945809	BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C	B	100.00	1.00	0.00	1.00		0.01
	L.								
06/15/2018	00066945809	BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10		0.01
	L.								
06/15/2018	00066945809	BARCENAS, RODOLFO CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80		0.01
	L.								
06/15/2018	00066945809	BARCENAS, RODOLFO THE INCOME FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00		0.01
	L.								
06/15/2018	00066945809	BARCENAS, RODOLFO THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10		0.01
	L.								
06/12/2018	00073447265	JUMAO-AS, LAUREN AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60		0.01
06/12/2018	00073447265	JUMAO-AS, LAUREN AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00		0.01
06/12/2018	00073447265	JUMAO-AS, LAUREN CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60		0.01
06/12/2018	00073447265	JUMAO-AS, LAUREN THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00		0.01
06/12/2018	00073447265	JUMAO-AS, LAUREN THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00		0.01
06/15/2018	00073580088	FILOTEO-GONZALES, AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
06/15/2018	00073580088	FILOTEO-GONZALES, AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
06/15/2018	00073580088	FILOTEO-GONZALES, CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
06/15/2018	00073580088	FILOTEO-GONZALES, THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
06/15/2018	00073580088	FILOTEO-GONZALES, THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83		0.01
	JUDELIA/ISABEL								
06/15/2018	00075871895	SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	2.50	0.00	2.50		0.03
	LORENCE/DANIEL								
06/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
06/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20		0.01
06/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
06/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50		0.02
06/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
06/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
06/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20		0.01
06/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
06/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50		0.02
06/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
06/15/2018	00081127981	GARCIA, AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90		0.01
	RODOLFO/RODIVIC								

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
06/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13		0.01
06/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90		0.01
06/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13		0.01
06/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13		0.01
06/11/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50		0.02
06/11/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50		0.03
06/15/2018	00082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25		0.04
06/15/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00		0.03
06/15/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75		0.04
06/15/2018	00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00		0.03
06/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75		0.04
06/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75		0.04
06/11/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
06/11/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
06/11/2018	04038841757 JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50		0.03
06/05/2018	08695384489 KYSER, DICK DWAYNE	COLUMBIA SELIGMAN COMM & INFO FD A	B	0.00	5.59	0.00	5.59		0.06
06/15/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD CL A	B	100.00	2.00	0.00	2.00		0.02
06/01/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00		0.01
06/15/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME FD CL B	B	100.00	3.75	0.00	3.75		0.04
06/01/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88		0.02
* JUDE RAMON L. BARCENAS SUBTOTAL *				\$4,898.73	\$210.46	\$0.00	\$210.46		\$2.23

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
04/13/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,145.66	0.00	5,145.66		334.47
		* THOMPSON/SIGNAL SUBTOTAL *		\$0.00	\$5,145.66	\$0.00	\$5,145.66		\$334.47
<u>BROKER: MATTHEW THOMAS KUNZ #9631</u>									
04/13/2018	13999807 ROBINSON, DALE & SANDRA	SIGNAL SECURITIES RIA	B	0.00	82.66	0.00	82.66		0.83
04/13/2018	14454837 KUNZ, GEORGE JAMES	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
04/13/2018	18165138 GARCIA, ERICK	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34		0.24
04/13/2018	19423693 MASSEY, ROY	SIGNAL SECURITIES RIA	B	0.00	69.66	0.00	69.66		0.70
04/13/2018	23188870 HARRIS, BETTIE SUE	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66		0.11
04/13/2018	24243215 HARRIS, BETTIE SUE	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00		0.39
04/13/2018	30098457 BITTNER, DEBBIE	SIGNAL SECURITIES RIA	B	0.00	47.66	0.00	47.66		0.48
04/13/2018	34357806 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34		0.05
04/13/2018	35223174 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	145.34	0.00	145.34		1.45
04/13/2018	35861208 BRINEY, DAWN	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
04/13/2018	35873038 LARGE/OREO, LANCE C. & KARE	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00		0.32
04/13/2018	36130788 HABEGGER, TIM FBO RILEY	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66		0.07
04/13/2018	36635455 GIANNINO, RICHARD & ELLEN	SIGNAL SECURITIES RIA	B	0.00	182.34	0.00	182.34		1.82
04/13/2018	39035372 LAMMERS, JILL	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00		0.44
04/13/2018	41063658 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
04/13/2018	43007438 BRINEY, BYRON & DAWN	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34		0.02
04/13/2018	43666774 DOSTER, JIM & STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	199.34	0.00	199.34		1.99
04/13/2018	46130317 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00		0.10
04/13/2018	47094293 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00		0.37
04/13/2018	47342185 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	57.34	0.00	57.34		0.57
04/13/2018	50152262 MEYERS REV. LIV TRST, ROBERT S	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00		0.70
04/13/2018	56921472 GIANNINO, MARY ELLEN	SIGNAL SECURITIES RIA	B	0.00	112.00	0.00	112.00		1.12
04/13/2018	61467712 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	51.66	0.00	51.66		0.52
04/13/2018	62130162 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	29.34	0.00	29.34		0.29
04/13/2018	62263883 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	186.34	0.00	186.34		1.86

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: MATTHEW THOMAS KUNZ #9631</u>									
04/13/2018	63431955 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34		0.03
04/13/2018	63575586 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
04/13/2018	76118748 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00		0.56
04/13/2018	80576825 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34		0.09
04/13/2018	83507537 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
04/13/2018	85830018 WALLACE, RONALD G	SIGNAL SECURITIES RIA	B	0.00	889.34	0.00	889.34		8.89
04/13/2018	86727200 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34		0.09
04/13/2018	86846823 LAMMERS, ROBERT & JILL	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34		0.17
04/13/2018	88062363 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34		0.02
04/13/2018	89518307 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
04/13/2018	92937702 WELCH, ITASKA	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00		0.25
04/13/2018	93877838 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	179.34	0.00	179.34		1.79
04/13/2018	95921292 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	129.34	0.00	129.34		1.29
04/13/2018	98256346 LAMMERS, ROBERT & JILL	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
04/13/2018	98398336 GIANNINO, ELLEN	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66		0.04
04/13/2018	98523493 HABEGGER, DENISE M	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00		0.38
04/13/2018	98811946 CREWS-MORRIS, SHONDEANA	SIGNAL SECURITIES RIA	B	0.00	283.34	0.00	283.34		2.83
04/13/2018	99183964 HABEGGER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66		0.25
04/13/2018	99251079 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	17.34	0.00	17.34		0.17
* MATTHEW THOMAS KUNZ SUBTOTAL *				<u>\$0.00</u>	<u>\$3,220.74</u>	<u>\$0.00</u>	<u>\$3,220.74</u>		<u>\$32.17</u>
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
06/19/2018	TRAILS	VOYA INSURANCE AND ANNUITY	B	594.22	594.22	0.00	594.22		5.94
06/22/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	250.00	3.00	0.00	3.00		0.03
06/22/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD	GROWT B	105.00	1.26	0.00	1.26		0.01
06/22/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	3.00	0.00	3.00		0.03
06/22/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	105.00	1.26	0.00	1.26		0.01
06/22/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	124.12	1.49	0.00	1.49		0.01
06/22/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	124.11	1.49	0.00	1.49		0.01
06/22/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	124.12	1.49	0.00	1.49		0.01
06/22/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	124.12	1.49	0.00	1.49		0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
06/22/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	127.87	1.53	0.00	1.53	0.02
06/22/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	127.88	1.53	0.00	1.53	0.02
06/15/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	0.01
06/18/2018	00073404499	SULLIVAN/POTTS, SHERRY/MEGAN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
06/11/2018	00073552220	BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	2.81	0.00	2.81	0.03
06/11/2018	00073552220	BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B		75.00	2.81	0.00	2.81	0.03
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	145.87	1.75	0.00	1.75	0.02
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	145.86	1.75	0.00	1.75	0.02
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		58.34	0.70	0.00	0.70	0.01
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		58.35	0.70	0.00	0.70	0.01
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		87.52	1.05	0.00	1.05	0.01
06/22/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		87.52	1.05	0.00	1.05	0.01
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	340.26	4.08	0.00	4.08	0.04
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	102.08	1.22	0.00	1.22	0.01
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		255.19	3.06	0.00	3.06	0.03
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		76.55	0.92	0.00	0.92	0.01
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		255.19	3.06	0.00	3.06	0.03
06/22/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		76.56	0.92	0.00	0.92	0.01
06/14/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		403.36	8.07	0.00	8.07	0.08
06/14/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		201.68	4.03	0.00	4.03	0.04
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		149.62	2.99	0.00	2.99	0.03
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		49.87	1.00	0.00	1.00	0.01
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		269.31	5.39	0.00	5.39	0.05
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		89.77	1.80	0.00	1.80	0.02
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		179.54	3.59	0.00	3.59	0.04
06/14/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		59.85	1.20	0.00	1.20	0.01
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		80.00	1.60	0.00	1.60	0.02
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		31.90	0.64	0.00	0.64	0.01
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		80.00	1.60	0.00	1.60	0.02
06/14/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		31.91	0.64	0.00	0.64	0.01
06/14/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.12	1.00	0.00	1.00	0.01
06/14/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.12	1.00	0.00	1.00	0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC	GROWT B	77.33	1.55	0.00	1.55	0.02
	R								
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC	GROWT B	30.93	0.62	0.00	0.62	0.01
	R								
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI	B	92.80	1.86	0.00	1.86	0.02
	R								
06/14/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI	B	232.00	4.64	0.00	4.64	0.05
	R								
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	86.39	1.73	0.00	1.73	0.02
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	172.77	3.46	0.00	3.46	0.03
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	172.77	3.46	0.00	3.46	0.03
06/14/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	86.38	1.73	0.00	1.73	0.02
06/22/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00	0.02
06/18/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50	0.02
06/15/2018	00084758983	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/LAUREN.								
06/15/2018	00084759195	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/LONDON								
06/15/2018	00084759209	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/AUSTIN								
06/15/2018	00084759232	HOWARD/BEAUDOIN,	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/DAKOTA								
06/20/2018	00085797272	RUSSELL/PEEPLES,	AMERICAN BALANCED FUND-529A	B	50.00	1.38	0.00	1.38	0.01
	DAVID/HAVEN								
06/20/2018	00085797295	RUSSELL/PEEPLES,	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	0.01
	DAVID/KATE								
06/20/2018	00085797300	RUSSELL/PEEPLES,	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	0.01
	DAVID/ELLE								
06/15/2018	00087897269	ASHCRAFT OGDEN,	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	0.02
	ZOIE								
06/14/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
06/14/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
06/14/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/14/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/20/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/20/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/15/2018	00089433187	SULLIVAN/WATSON,	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
	SHERRY/ASHYR								
06/22/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	132.11	1.59	0.00	1.59	0.02
06/22/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	92.11	1.11	0.00	1.11	0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONS**BROKER: JOHN HOWARD RUSSELL #9632**

06/22/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	132.12	1.59	0.00	1.59		0.02
06/22/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	92.12	1.11	0.00	1.11		0.01
06/15/2018	00089986176	YOUNG, MARCUS FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00		0.01
06/08/2018	00203097761	MILAM, JOYCE H INTERNATIONAL VALUE A	B	0.00	70.71	0.00	70.71		0.71
06/08/2018	00203097761	MILAM, JOYCE H THORNBURG VALUE FD CL A	B	0.00	92.46	0.00	92.46		0.92
06/08/2018	00203148905	CLANTON, CHARLOTTE THORNBURG DEVELOPING WORLD FND CL C B		0.00	21.08	0.00	21.08		0.21
06/08/2018	00203148905	CLANTON, CHARLOTTE THORNBURG INCOME BUILDER CL C	B	0.00	79.76	0.00	79.76		0.80
06/08/2018	00203148905	CLANTON, CHARLOTTE THORNBURG INTERNATIONAL VALUE CL C	B	0.00	25.40	0.00	25.40		0.25
06/08/2018	00203148905	CLANTON, CHARLOTTE THORNBURG VALUE FD CL C	B	0.00	84.39	0.00	84.39		0.84
06/08/2018	00203704228	CLANTON, ARCHIE D INTERNATIONAL VALUE A	B	0.00	6.74	0.00	6.74		0.07
06/08/2018	00203704228	CLANTON, ARCHIE D THORNBURG VALUE FD CL A	B	0.00	56.66	0.00	56.66		0.57
06/08/2018	00203704228	CLANTON, ARCHIE D THORNBURG VALUE FD CL C	B	0.00	22.39	0.00	22.39		0.22
06/08/2018	00203706840	CLANTON, ARCHIE D THORNBURG INCOME BUILDER CL C	B	0.00	72.09	0.00	72.09		0.72
06/08/2018	00203706840	CLANTON, ARCHIE D THORNBURG INTERNATIONAL VALUE CL C	B	0.00	37.97	0.00	37.97		0.38
06/08/2018	00204000300	MULACEK, SPOUSAL INTERNATIONAL VALUE A	B	0.00	16.12	0.00	16.12		0.16
06/22/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53		0.02
06/22/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53		0.02
06/22/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32		0.01
06/22/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32		0.01
06/22/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVEST B	125.00	1.50	0.00	1.50		0.02
	D.								
06/22/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVEST B	96.00	1.15	0.00	1.15		0.01
	D.								
06/14/2018	04000752171	BOZEMAN, JENNIFER NEW WORLD FUND-A	B	42.66	0.85	0.00	0.85		0.01
06/14/2018	04000752171	BOZEMAN, JENNIFER NEW WORLD FUND-A	B	28.43	0.57	0.00	0.57		0.01
06/05/2018	07210129905	MCMILLIAN, MARILYN COLUMBIA CAPITAL ALLOC MOD CONS POR B		0.00	2.43	0.00	2.43		0.02

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*** JOHN HOWARD RUSSELL SUBTOTAL *****\$9,508.94****\$1,321.03****\$0.00****\$1,321.03****\$13.24******* OVERRIDE TRANSACTIONS TOTAL *******\$14,407.67****\$9,897.89****\$0.00****\$9,897.89****\$382.11**

BROKER COMMISSION TOTALS

Broker Transactions	\$342.59
Split Transactions	\$212.56
Override Transactions	\$382.11
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$937.26
Year-to-date Earnings	\$15,711.01