



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT

September 30, 2017

DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	SHELLEY, SUZANNE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	34.61	0.00	34.61	0.0	0.00
09/01/2017	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	197.78	0.00	197.78	0.0	0.00
09/01/2017	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	375.42	0.00	375.42	0.0	0.00
09/01/2017	MILESニック, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	108.86	0.00	108.86	0.0	0.00
09/01/2017	MILESニック, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	56.59	0.00	56.59	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$773.26	\$0.00	\$773.26		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	15.37	0.00	15.37	0.0	0.00
08/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	15.37	0.00	15.37	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$30.74	\$0.00	\$30.74		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$804.00	\$0.00	\$804.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$51.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$51.10
Year-to-date Gross	\$8,627.67
Year-to-date Earnings	\$3,866.34