

STATEMENT

May 20, 2018

CYNTHIA HERRON - LPCM

2321 Travis Lane

#608

Overland Park, KS 66209-

BROKER #: 3227

BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

/	/	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,752.22	4.38	92.0	0.00	4.03	0.00	4.03
/	/	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,331.70	28.47	92.0	0.00	26.19	0.00	26.19
/	/	#617781 TCA HERRON VAR	HZI FOCUSED	48,904.89	50.94	92.0	0.00	46.86	0.00	46.86
/	/	#656031	HZI FOCUSED	37,294.10	38.85	92.0	0.00	35.74	0.00	35.74
/	/	#654795 HOFFMANN, JASON	HZI FOCUSED	29,052.08	52.45	92.0	0.00	48.25	0.00	48.25
/	/	#512318 BAUM, HARRY	HZI FOCUSED W RA	151,596.93	157.91	92.0	0.00	145.28	0.00	145.28
/	/	#512491 BAUM, HARRY	HZI FOCUSED W RA	69,657.18	72.56	92.0	0.00	66.76	0.00	66.76
/	/	#512459 BAUM, CONNIE	HZI GROWTH	47,097.49	49.06	92.0	0.00	45.14	0.00	45.14
/	/	#513527 WARD-MARCUM,	HZI GROWTH	58,997.05	61.46	92.0	0.00	56.54	0.00	56.54
/	/	#513535 MARCUM, MICHAEL	HZI GROWTH	29,886.57	31.13	92.0	0.00	28.64	0.00	28.64
/	/	#516171 TAYLOR-WHEATON, R	HZI GROWTH	29,113.93	30.33	92.0	0.00	27.90	0.00	27.90
/	/	#516173 WHEATON, RICK	HZI GROWTH	29,149.00	30.36	92.0	0.00	27.93	0.00	27.93
/	/	#516178 CANTRELL, MARGIE	HZI GROWTH	85,437.42	89.00	92.0	0.00	81.88	0.00	81.88
/	/	#525752 TCA HERRON VAR	HZI GROWTH	63,198.40	65.83	92.0	0.00	60.56	0.00	60.56
/	/	#525989 TCA HERRON VAR	HZI GROWTH	28,842.51	30.04	92.0	0.00	27.64	0.00	27.64
/	/	#534867 KELLY, STEVEN	HZI GROWTH	27,945.28	29.11	92.0	0.00	26.78	0.00	26.78
/	/	#543979 RUSSELL, JOHN	HZI GROWTH	42,511.92	44.28	92.0	0.00	40.74	0.00	40.74
/	/	#544680 MARCUM, MICHAEL	HZI GROWTH	36,206.16	37.72	92.0	0.00	34.70	0.00	34.70
/	/	#552059 RARDIN, JOHN	HZI GROWTH	29,902.07	31.15	92.0	0.00	28.66	0.00	28.66
/	/	#552100 STRACHAN, GRACE	HZI GROWTH	110,881.11	115.50	92.0	0.00	106.26	0.00	106.26
/	/	#566198 TCA HERRON VAR	HZI GROWTH	40,374.37	100.94	92.0	0.00	92.86	0.00	92.86
/	/	#638144 TCA HERRON VAR	HZI GROWTH	28,257.88	29.44	92.0	0.00	27.08	0.00	27.08
/	/	#654603 STRACHAN, GRACE	HZI GROWTH	98,372.76	102.47	92.0	0.00	94.27	0.00	94.27
/	/	#513538 MOOT, PEGGY	HZI MODERATE	30,296.90	25.25	92.0	0.00	23.23	0.00	23.23
/	/	#516890 ALBIN, JANETTE	HZI MODERATE	28,078.68	29.25	92.0	0.00	26.91	0.00	26.91
/	/	#543962 RUSSELL, JOHN	HZI MODERATE	31,264.33	32.57	92.0	0.00	29.96	0.00	29.96
/	/	#556450 GALVAS, MARIE	HZI MODERATE	246,891.58	257.18	92.0	0.00	236.61	0.00	236.61
/	/	#611065 TCA HERRON VAR	HZI MODERATE	41,717.90	43.46	92.0	0.00	39.98	0.00	39.98

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
/ /	#654638	STRACHAN, GRACE	HZI MODERATE	390,036.02	406.29	92.0	0.00	373.79	373.79
04/08/0018	#452034	JONES, ERIC	HORIZON	362.29	0.91	92.0	0.00	0.84	0.84
04/08/0018	#467653	KIRSE, JOHN &	HORIZON	27,732.91	6.17	92.0	0.00	5.68	5.68
05/01/2018	#566198	TCA HERRON VAR	ADDL CASH FOR ALLOC	1,752.22	-0.51	92.0	0.00	-0.51	-0.51
05/01/2018	#634435	TCA HERRON VAR	HZI CONSERVATIVE	27,331.70	-13.66	92.0	0.00	-13.66	-13.66
05/01/2018	#617781	TCA HERRON VAR	HZI FOCUSED	48,904.89	-24.45	92.0	0.00	-24.45	-24.45
05/01/2018	#654795	HOFFMANN, JASON	HZI FOCUSED	29,052.08	-14.52	92.0	0.00	-14.52	-14.52
05/01/2018	#656031		HZI FOCUSED	37,294.10	-18.64	92.0	0.00	-18.64	-18.64
05/01/2018	#512318	BAUM, HARRY	HZI FOCUSED W RA	151,596.93	-75.79	92.0	0.00	-75.79	-75.79
05/01/2018	#512491	BAUM, HARRY	HZI FOCUSED W RA	69,657.18	-34.82	92.0	0.00	-34.82	-34.82
05/01/2018	#512459	BAUM, CONNIE	HZI GROWTH	47,097.49	-23.54	92.0	0.00	-23.54	-23.54
05/01/2018	#513527	WARD-MARCUM,	HZI GROWTH	58,997.05	-29.49	92.0	0.00	-29.49	-29.49
05/01/2018	#513535	MARCUM, MICHAEL	HZI GROWTH	29,886.57	-14.94	92.0	0.00	-14.94	-14.94
05/01/2018	#516171	TAYLOR-WHEATON, R	HZI GROWTH	29,113.93	-14.55	92.0	0.00	-14.55	-14.55
05/01/2018	#516173	WHEATON, RICK	HZI GROWTH	29,149.00	-14.57	92.0	0.00	-14.57	-14.57
05/01/2018	#516178	CANTRELL, MARGIE	HZI GROWTH	85,437.42	-42.71	92.0	0.00	-42.71	-42.71
05/01/2018	#525752	TCA HERRON VAR	HZI GROWTH	63,198.40	-31.59	92.0	0.00	-31.59	-31.59
05/01/2018	#525989	TCA HERRON VAR	HZI GROWTH	28,842.51	-14.42	92.0	0.00	-14.42	-14.42
05/01/2018	#534867	KELLY, STEVEN	HZI GROWTH	27,945.28	-13.97	92.0	0.00	-13.97	-13.97
05/01/2018	#543979	RUSSELL, JOHN	HZI GROWTH	42,511.92	-21.25	92.0	0.00	-21.25	-21.25
05/01/2018	#544680	MARCUM, MICHAEL	HZI GROWTH	36,206.16	-18.10	92.0	0.00	-18.10	-18.10
05/01/2018	#552059	RARDIN, JOHN	HZI GROWTH	29,902.07	-14.95	92.0	0.00	-14.95	-14.95
05/01/2018	#552100	STRACHAN, GRACE	HZI GROWTH	110,881.11	-55.44	92.0	0.00	-55.44	-55.44
05/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	40,374.37	-20.18	92.0	0.00	-20.18	-20.18
05/01/2018	#638144	TCA HERRON VAR	HZI GROWTH	28,257.88	-14.12	92.0	0.00	-14.12	-14.12
05/01/2018	#654603	STRACHAN, GRACE	HZI GROWTH	98,372.76	-49.18	92.0	0.00	-49.18	-49.18
05/01/2018	#513538	MOOT, PEGGY	HZI MODERATE	30,296.90	-15.14	92.0	0.00	-15.14	-15.14
05/01/2018	#516890	ALBIN, JANETTE	HZI MODERATE	28,078.68	-14.03	92.0	0.00	-14.03	-14.03
05/01/2018	#543962	RUSSELL, JOHN	HZI MODERATE	31,264.33	-15.63	92.0	0.00	-15.63	-15.63
05/01/2018	#556450	GALVAS, MARIE	HZI MODERATE	246,891.58	-123.44	92.0	0.00	-123.44	-123.44
05/01/2018	#611065	TCA HERRON VAR	HZI MODERATE	41,717.90	-20.85	92.0	0.00	-20.85	-20.85
05/01/2018	#654638	STRACHAN, GRACE	HZI MODERATE	390,036.02	-195.01	92.0	0.00	-195.01	-195.01
* RIA SUBTOTAL *			<u>\$3,868,192.06</u>	<u>\$1,124.97</u>		<u>\$0.00</u>	<u>\$958.20</u>	<u>\$0.00</u>	<u>\$958.20</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$3,868,192.06</u>	<u>\$1,124.97</u>		<u>\$0.00</u>	<u>\$958.20</u>	<u>\$0.00</u>	<u>\$958.20</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$958.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$958.20
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