



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 April 15, 2017

04/12/2017  
 Page No. 1

MARK-S141 REG SMITH

BROKER #: S141  
 BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/10/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.33	0.00	3.33	48.0	1.60
03/10/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.07	0.00	0.07	48.0	0.03
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.07	0.00	0.07	48.0	0.03
03/10/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.25	0.00	5.25	48.0	2.52
03/10/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.84	0.00	2.84	48.0	1.36
03/10/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.26	0.00	1.26	48.0	0.60
03/10/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.22	0.00	3.22	48.0	1.55
03/10/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	48.0	0.06
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	48.0	0.06
03/10/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.13	0.00	2.13	48.0	1.02
03/10/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.82	0.00	2.82	48.0	1.35
03/10/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
03/10/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	48.0	0.08
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	48.0	0.08
03/10/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.86	0.00	0.86	48.0	0.41
03/10/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.73	0.00	0.73	48.0	0.35
04/04/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.27	0.00	4.27	48.0	2.05
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$27.55	\$0.00	\$27.55		\$13.21
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/31/2017	WISE, LUCILE	LFG TRAILS	0.00	229.06	0.00	229.06	48.0	109.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$229.06	\$0.00	\$229.06		\$109.95
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$256.61	\$0.00	\$256.61		\$123.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*RBC: 7A503010 DEBIT BAL	0.00					-30.00
04/15/2017		*RBC: 7A503012 DEBIT BAL	0.00					-119.50
04/15/2017		*RBC: 7A503013 DEBIT BAL	0.00					-119.50
04/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-844.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$123.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-844.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-416.27
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-1,137.11</b>
<b>Year-to-date Gross</b>	<b>\$1,816.49</b>
<b>Year-to-date Earnings</b>	<b>\$871.89</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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