

COMMISSION STATEMENT

April 03, 2017

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #:
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
02/24/2017	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	B	24,126.00	50.00	0.00	50.00 52.0 26.00
02/24/2017	QGW007700	SARNA, MARK		CISCO SYS INC	S	-34,163.50	50.00	0.00	50.00 52.0 26.00
02/24/2017	QGW007700	SARNA, MARK		GROW SOLUTIONS HLDGS INC COM	B	6,880.00	50.00	0.00	50.00 52.0 26.00
03/08/2017	QGW007700	SARNA, MARK		WHITING PETROLEUM CORPORATION	B	9,339.90	50.00	0.00	50.00 52.0 26.00
03/14/2017	QGW007700	SARNA, MARK		ARALEZ PHARMACEUTICALS INC COM ISIN B		6,237.90	150.00	0.00	150.00 52.0 78.00
03/28/2017	QGW007700	SARNA, MARK		ANTARES PHARMA INC COM	B	13,998.50	250.00	0.00	250.00 52.0 130.00
03/28/2017	QGW007700	SARNA, MARK		ARALEZ PHARMACEUTICALS INC COM ISIN B		11,699.50	250.00	0.00	250.00 52.0 130.00
02/24/2017	QGW007825	SARNA FAMILY LTD		APPLE INC	B	136,000.00	50.00	0.00	50.00 52.0 26.00
03/08/2017	QGW007825	SARNA FAMILY LTD		WHITING PETROLEUM CORPORATION	B	18,679.80	100.00	0.00	100.00 52.0 52.00
03/17/2017	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	105,000.00	250.00	0.00	250.00 52.0 130.00
03/17/2017	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	352,000.00	800.00	0.00	800.00 52.0 416.00
03/17/2017	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	115,000.00	250.00	0.00	250.00 52.0 130.00
03/17/2017	QGW007825	SARNA FAMILY LTD		FREEPORT MCMORAN INC	B	60,000.00	250.00	0.00	250.00 52.0 130.00
03/17/2017	QGW007825	SARNA FAMILY LTD		GENERAL ELECTRIC CO	B	290,000.00	500.00	0.00	500.00 52.0 260.00
03/21/2017	QGW007825	SARNA FAMILY LTD		ARALEZ PHARMACEUTICALS INC COM ISIN B		6,739.80	150.00	0.00	150.00 52.0 78.00
03/28/2017	QGW007825	SARNA FAMILY LTD		ARALEZ PHARMACEUTICALS INC COM ISIN B		11,699.50	250.00	0.00	250.00 52.0 130.00
				* STOCKS SUBTOTAL *		\$1,133,237.40	\$3,450.00	\$0.00	\$3,450.00 \$1,794.00

PRODUCT CATEGORY: OPTIONS

03/02/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 05- B		7,152.00	80.00	0.00	80.00 52.0 41.60
03/07/2017	QGW007700	SARNA, MARK		CALL 100 CHIPOTLE MEXICAN GRILL INC B		24,170.50	40.00	0.00	40.00 52.0 20.80
03/08/2017	QGW007700	SARNA, MARK		CALL 100 CHIPOTLE MEXICAN GRILL INC S		-27,000.00	40.00	0.00	40.00 52.0 20.80
03/08/2017	QGW007700	SARNA, MARK		CALL 100 EXXON MOBIL CORP COM EXP 0 B		4,250.00	40.00	0.00	40.00 52.0 20.80
03/08/2017	QGW007700	SARNA, MARK		CALL 100 OCCIDENTAL PETE CORP COM E B		3,100.00	40.00	0.00	40.00 52.0 20.80
03/09/2017	QGW007700	SARNA, MARK		CALL 100 ARCONIC INC COM EXP 04-21- B		13,260.00	120.00	0.00	120.00 52.0 62.40
03/09/2017	QGW007700	SARNA, MARK		CALL 100 CISCO SYSTEMS INC EXP 03-1 S		-18,150.00	120.00	0.00	120.00 52.0 62.40
03/09/2017	QGW007700	SARNA, MARK		CALL 100 GENERAL ELECTRIC CO COM EX B		14,100.00	150.00	0.00	150.00 52.0 78.00
03/10/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 03- B		6,678.60	90.00	0.00	90.00 52.0 46.80
03/15/2017	QGW007700	SARNA, MARK		CALL 100 OCCIDENTAL PETE CORP COM E S		-4,400.00	40.00	0.00	40.00 52.0 20.80
03/16/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 03- S		-16,050.00	200.00	0.00	200.00 52.0 104.00
03/16/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 03- S		-7,020.00	90.00	0.00	90.00 52.0 46.80

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/16/2017	QGW007700	SARNA, MARK	CALL 100	EXXON MOBIL CORP COM EXP 0 B	10,320.00	120.00	0.00	120.00	52.0 62.40
03/16/2017	QGW007700	SARNA, MARK	CALL 100	EXXON MOBIL CORP COM EXP 0 S	-4,000.00	60.00	0.00	60.00	52.0 31.20
03/16/2017	QGW007700	SARNA, MARK	CALL 100	EXXON MOBIL CORP COM EXP 0 S	-9,000.00	80.00	0.00	80.00	52.0 41.60
03/16/2017	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B B	23,760.00	360.00	0.00	360.00	52.0 187.20
03/16/2017	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX S	-2,800.00	80.00	0.00	80.00	52.0 41.60
03/16/2017	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	7,400.00	120.00	0.00	120.00	52.0 62.40
03/16/2017	QGW007700	SARNA, MARK	CALL 100	MARATHON OIL CORP COM EXP B	3,600.00	60.00	0.00	60.00	52.0 31.20
03/16/2017	QGW007700	SARNA, MARK	CALL 100	MARATHON OIL CORP COM EXP S	-3,200.00	60.00	0.00	60.00	52.0 31.20
03/17/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 04- B	21,100.00	300.00	0.00	300.00	52.0 156.00
03/17/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 04- B	10,650.00	150.00	0.00	150.00	52.0 78.00
03/17/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 03- S	-19,350.00	200.00	0.00	200.00	52.0 104.00
03/17/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 03- S	-26,100.00	270.00	0.00	270.00	52.0 140.40
03/17/2017	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B S	-2,283.00	60.00	0.00	60.00	52.0 31.20
03/21/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 04- B	9,240.00	120.00	0.00	120.00	52.0 62.40
03/21/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 04- B	9,250.00	150.00	0.00	150.00	52.0 78.00
03/21/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 04- B	-9,240.00	-120.00	0.00	-120.00	52.0 -62.40 C
03/21/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 04- B	6,160.00	80.00	0.00	80.00	52.0 41.60
03/02/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 05- B	10,728.00	120.00	0.00	120.00	52.0 62.40
03/07/2017	QGW007825	SARNA FAMILY LTD	CALL 100	CHIPOTLE MEXICAN GRILL INC B	24,170.50	40.00	0.00	40.00	52.0 20.80
03/08/2017	QGW007825	SARNA FAMILY LTD	CALL 100	CHIPOTLE MEXICAN GRILL INC S	-27,000.00	40.00	0.00	40.00	52.0 20.80
03/08/2017	QGW007825	SARNA FAMILY LTD	CALL 100	EXXON MOBIL CORP COM EXP 0 B	8,500.00	80.00	0.00	80.00	52.0 41.60
03/08/2017	QGW007825	SARNA FAMILY LTD	CALL 100	OCCIDENTAL PETE CORP COM E B	6,200.00	80.00	0.00	80.00	52.0 41.60
03/10/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 03- B	11,131.00	150.00	0.00	150.00	52.0 78.00
03/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100	CHIPOTLE MEXICAN GRILL INC B	24,992.00	40.00	0.00	40.00	52.0 20.80
03/15/2017	QGW007825	SARNA FAMILY LTD	CALL 100	OCCIDENTAL PETE CORP COM E S	-4,900.00	40.00	0.00	40.00	52.0 20.80
03/17/2017	QGW007825	SARNA FAMILY LTD	CALL 100	EXXON MOBIL CORP COM EXP 0 B	16,440.00	180.00	0.00	180.00	52.0 93.60
03/17/2017	QGW007825	SARNA FAMILY LTD	CALL 100	EXXON MOBIL CORP COM EXP 0 S	-6,339.90	90.00	0.00	90.00	52.0 46.80
03/17/2017	QGW007825	SARNA FAMILY LTD	CALL 100	EXXON MOBIL CORP COM EXP 0 S	-13,821.90	120.00	0.00	120.00	52.0 62.40
03/17/2017	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B B	23,134.80	360.00	0.00	360.00	52.0 187.20
03/17/2017	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	25,711.20	360.00	0.00	360.00	52.0 187.20
03/20/2017	QGW007825	SARNA FAMILY LTD	CALL 100	CHIPOTLE MEXICAN GRILL INC B	20,780.00	40.00	0.00	40.00	52.0 20.80
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 04-21- B	6,128.00	80.00	0.00	80.00	52.0 41.60
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 05-19-1 B	8,949.00	80.00	0.00	80.00	52.0 41.60
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 04- B	6,160.00	80.00	0.00	80.00	52.0 41.60
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 04- B	9,250.00	150.00	0.00	150.00	52.0 78.00
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 04- B	9,240.00	120.00	0.00	120.00	52.0 62.40
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 04- B	-6,160.00	-80.00	0.00	-80.00	52.0 -41.60 C
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	CHIPOTLE MEXICAN GRILL INC S	-30,530.00	40.00	0.00	40.00	52.0 20.80
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	CHIPOTLE MEXICAN GRILL INC S	-9,656.00	40.00	0.00	40.00	52.0 20.80
03/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO B	10,560.00	80.00	0.00	80.00	52.0 41.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

* OPTIONS SUBTOTAL *				\$149,264.80	\$5,530.00	\$0.00	\$5,530.00		\$2,875.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/20/2017	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED BALANCED B	0.00	6.75	0.00	6.75	52.0	3.51
03/16/2017	QGW028755	SARNA, MARK	THORNBURG INVESTMENT INCOME BUILDER B	0.00	14.01	0.00	14.01	52.0	7.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$20.76	\$0.00	\$20.76		\$10.80
*** BROKER TRANSACTIONS TOTAL ***				\$1,282,502.20	\$9,000.76	\$0.00	\$9,000.76		\$4,680.40

BROKER COMMISSION TOTALS

Broker Transactions	\$4,680.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.25
SIPC Assessment	\$-168.76

Check Amount	\$4,480.39
Year-to-date Earnings	\$13,372.85