

COMMISSION STATEMENT

June 09, 2017

BARRY POMP

21 HANCOCK COURT

EAST BRUNSWICK, NJ 08816

BROKER #: 8

BRANCH: HOME OFFICE

COMMISSION STATEMENT for BARRY POMP

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/19/2017 75500757	FODIMAN, SANFORD	BANK OF AMERICA CORP	B	46,000.00	75.00	10.00	65.00	50.0	32.50
05/22/2017 75500757	FODIMAN, SANFORD	EATON VANCE TAX MANAGD GLBL DIVERSI B		49,445.00	100.00	10.00	90.00	50.0	45.00
* STOCKS SUBTOTAL *				\$95,445.00	\$175.00	\$20.00	\$155.00		\$77.50

PRODUCT CATEGORY: BONDS

04/07/2017 75500356	LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE S		-36,864.60	0.00	-10.00	10.00	50.0	5.00 C
04/07/2017 75500356	LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE B		36,864.58	0.00	10.00	-10.00	50.0	-5.00
04/07/2017 75500356	LITTIG, LAWRENCE	RESIDENTIAL ASSET MTG PRODS SERIES S		-13,410.60	0.00	-10.00	10.00	50.0	5.00 C
04/07/2017 75500356	LITTIG, LAWRENCE	RESIDENTIAL ASSET MTG PRODS SERIES B		13,410.58	0.00	10.00	-10.00	50.0	-5.00
04/13/2017 75500356	LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE S		-25,643.90	0.00	-10.00	10.00	50.0	5.00 C
04/13/2017 75500356	LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,643.92	0.00	10.00	-10.00	50.0	-5.00
04/28/2017 75500356	LITTIG, LAWRENCE	CARRINGTON MTG LN TR SER 2005-NC3 C S		43,587.61	0.00	10.00	-10.00	50.0	-5.00
05/30/2017 75500356	LITTIG, LAWRENCE	EQUITY ONE MORTGAGE PASS-THROUGH TR B		9,907.62	0.00	10.00	-10.00	50.0	-5.00
04/28/2017 75501230	LITTIG, LAWRENCE	CARRINGTON MTG LN TR SER 2005-NC3 C B		43,762.02	0.00	10.00	-10.00	50.0	-5.00
05/19/2017 75501230	LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE B		5,789.79	0.00	10.00	-10.00	50.0	-5.00
05/19/2017 75501230	LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE S		-5,789.79	0.00	-10.00	10.00	50.0	5.00 C
05/19/2017 75501230	LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE B		5,789.79	0.00	10.00	-10.00	50.0	-5.00
05/19/2017 75501230	LITTIG, LAWRENCE	FREMONT HOME LOAN TRUST MTGPC/SERIE B		3,146.95	0.00	10.00	-10.00	50.0	-5.00
* BONDS SUBTOTAL *				\$106,193.97	\$0.00	\$50.00	\$-50.00		\$-25.00
*** BROKER TRANSACTIONS TOTAL ***				\$201,638.97	\$175.00	\$70.00	\$105.00		\$52.50

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ADJUSTMENTS

06/09/2017		:		0.00	0.00	0.00	0.00		0.00
06/09/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-952.50
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-980.50

BROKER COMMISSION TOTALS

Broker Transactions	\$52.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-980.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.22
SIPC Assessment	\$-3.28

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-931.50
Year-to-date Earnings	\$5,914.40