

STATEMENT

January 20, 2018

MICHAEL GORMAN
3030 River Birch

BROKER #: 278
BRANCH: LA BRANCH

Pearland, TX 77584-

COMMISSION STATEMENT for MICHAEL GORMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/01/2017 #01448531648	TRINHCUNO	FA 529 MID CAP PORT-CL A	B	200.00	5.50	0.00	0.00	5.50	92.0	5.06
12/01/2017 #01448561397	TRINHCUNO	FA 529 MID CAP PORT-CL A	B	100.00	2.75	0.00	0.00	2.75	92.0	2.53
12/01/2017 #01448531648	TRINHCUNO	FA 529 NEW INSIGHTS PORT-CL A	B	100.00	2.75	0.00	0.00	2.75	92.0	2.53
12/01/2017 #01448561397	TRINHCUNO	FA 529 NEW INSIGHTS PORT-CL A	B	300.00	8.25	0.00	0.00	8.25	92.0	7.59
12/01/2017 #01448561397	TRINHCUNO	FA 529 SMALL CAP PORT-CL A	B	100.00	2.75	0.00	0.00	2.75	92.0	2.53
12/01/2017 #01448531648	TRINHCUNO	FIDELITY STRATIGIC	B	200.00	6.00	0.00	0.00	6.00	92.0	5.52
* MUTUAL FUNDS SUBTOTAL *				\$1,000.00	\$28.00	\$0.00	\$0.00	\$28.00		\$25.76

PRODUCT CATEGORY: STOCKS

11/29/2017 #	DALTON, DAVID	EXCHANGE TRADED CONCEPTS TR EMQQ EM S		11,796.75	0.00	0.00	0.00	0.00	92.0	0.00
12/05/2017 #	HANSEN, ROBERT	EXCHANGE TRADED CONCEPTS TR EMQQ EM S		23,766.00	0.00	0.00	0.00	0.00	92.0	0.00
12/06/2017 #	REMOND, MARIA DE LOURDE	EXCHANGE TRADED CONCEPTS TR EMQQ EM S		5,189.55	0.00	0.00	0.00	0.00	92.0	0.00
12/06/2017 #	REMOND, SANJAY	EXCHANGE TRADED CONCEPTS TR EMQQ EM S		16,102.54	0.00	0.00	0.00	0.00	92.0	0.00
12/06/2017 #	NGUYEN, BINH	EXCHANGE TRADED CONCEPTS TR EMQQ EM S		4,294.16	60.00	19.95	0.00	40.05	92.0	36.85
12/07/2017 #	DURHAM, PATRICIA	GUGGENHEIM CHINA TECHNOLOGY ETF	S	2,320.40	0.00	0.00	0.00	0.00	92.0	0.00
12/15/2017 #	DURHAM, PATRICIA	SPDR SERIES TRUST SPDR RUSSELL 1000 S		24,192.00	0.00	0.00	0.00	0.00	92.0	0.00
12/22/2017 #	GORMAN, MICHAEL	***EMERALD HEALTH THERAPEUTICS	B	1,755.00	0.00	19.95	0.00	-19.95	92.0	-18.35
12/22/2017 #	GORMAN, MICHAEL	AUSCANN GROUP HLDGS LTD ORDINARY SH B		1,147.50	0.00	19.95	0.00	-19.95	92.0	-18.35
12/22/2017 #	GORMAN, MICHAEL	NAMASTE TECHNOLOGIES INC COM	S	2,050.00	0.00	19.95	0.00	-19.95	92.0	-18.35
12/22/2017 #	GORMAN, MICHAEL	OWC PHARMACEUTICAL RESEARCH CORP CO S		1,095.00	0.00	19.95	0.00	-19.95	92.0	-18.35
12/27/2017 #	NGUYEN, BINH	ARK ETF TRUST ARK INNOVATION ETF	B	4,886.27	60.00	19.95	0.00	40.05	92.0	36.85
* STOCKS SUBTOTAL *				\$98,595.17	\$120.00	\$119.70	\$0.00	\$0.30		\$0.30

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/07/2017 #UL10022466	NGUYEN	LINCOLN G	B	0.00	4.19	0.00	0.00	4.19	92.0	3.85
11/30/2017 #1087490000	LA DENTAL II, `	JOHN HANCOCK ARA	B	0.00	206.61	0.00	0.00	206.61	92.0	190.08
12/07/2017 #UL10022466	NGUYEN	LINCOLN G	B	0.00	4.19	0.00	0.00	4.19	92.0	3.85
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$214.99	\$0.00	\$0.00	\$214.99		\$197.78

BROKER COMMISSION TOTALS

Broker Transactions	\$400.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-820.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-534.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-953.77
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