



All Companies

06/14/2018

Page No. 1

COMMISSION STATEMENT

June 15, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	175.86	175.86	0.00	175.86	70.0	123.10
05/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	4.86	4.86	0.00	4.86	70.0	3.40
06/04/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
06/04/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
06/04/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
06/04/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
05/29/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/29/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/29/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/29/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/29/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/29/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/08/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/29/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/04/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/04/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/08/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/08/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/29/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/29/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/04/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/04/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/08/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/08/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/29/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	29.40	0.29	0.00	0.29	70.0	0.20
05/29/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	49.00	0.49	0.00	0.49	70.0	0.34
06/04/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	42.88	0.43	0.00	0.43	70.0	0.30
06/04/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	25.73	0.26	0.00	0.26	70.0	0.18
06/08/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	29.40	0.29	0.00	0.29	70.0	0.20
06/08/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	49.00	0.49	0.00	0.49	70.0	0.34
05/29/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
06/04/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/04/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
06/08/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/08/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
05/29/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	19.61	0.20	0.00	0.20	70.0	0.14
05/29/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	19.61	0.20	0.00	0.20	70.0	0.14
06/04/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	35.29	0.35	0.00	0.35	70.0	0.25
06/04/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	32.40	0.32	0.00	0.32	70.0	0.22
06/08/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	42.54	0.43	0.00	0.43	70.0	0.30
06/08/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	27.00	0.27	0.00	0.27	70.0	0.19
05/29/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/29/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	24.68	0.25	0.00	0.25	70.0	0.18
06/04/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/04/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/08/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	22.50	0.23	0.00	0.23	70.0	0.16

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/08/2018	000893330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/29/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	21.38	0.21	0.00	0.21	70.0	0.15
06/04/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	14.25	0.14	0.00	0.14	70.0	0.10
06/04/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/08/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	22.66	0.23	0.00	0.23	70.0	0.16
06/08/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/29/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/29/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/04/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/04/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/08/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/08/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *						\$4,023.25	\$219.16	\$0.00	\$219.16		\$153.50
PRODUCT CATEGORY: VARIABLE ANNUITIES											
05/31/2018	TRAILS	-JOHN HANCOCK			B	505.73	505.73	0.00	505.73	70.0	354.01
06/01/2018	TRAILS	-JOHN HANCOCK			B	29.65	29.65	0.00	29.65	70.0	20.76
05/16/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT			B	10,571.82	105.72	0.00	105.72	70.0	74.00
05/31/2018	TRAILS	-SECURITY BENEFIT			B	128.50	128.50	0.00	128.50	70.0	89.95
* VARIABLE ANNUITIES SUBTOTAL *						\$11,235.70	\$769.60	\$0.00	\$769.60		\$538.72
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES				0.00	1,127.62	0.00	1,127.62	70.0	789.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,127.62	\$0.00	\$1,127.62		\$789.36
*** BROKER TRANSACTIONS TOTAL ***						\$15,258.95	\$2,116.38	\$0.00	\$2,116.38		\$1,481.58
ADJUSTMENTS											
06/15/2018		E & O INSURANCE: 1/2 MONTHLY				0.00	0.00	0.00	0.00		-100.00
06/15/2018		TECH: MONTHLY TECH SERVICES				0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***						\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,481.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,354.08
Year-to-date Earnings	\$8,956.57