



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**April 15, 2018**

04/17/2018  
Page No. 1

JAY MCCLELLAN  
8801 N. Main Street  
Ste. 201  
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016  
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/04/2018	STARNES, EDDIE	ROYAL DUTCH SHELL PLC SPONSORED ADR	-3,307.20	22.00	22.50	-0.50	0.0	0.00
		* STOCKS SUBTOTAL *	\$-3,307.20	\$22.00	\$22.50	\$-0.50		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-3,307.20	\$22.00	\$22.50	\$-0.50		\$0.00
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/29/2018	MANOS, SCOTT	TEMPLETON GLOBAL BALANCED FUND	8,088.00	80.88	0.00	80.88	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$8,088.00	\$80.88	\$0.00	\$80.88		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,948.05	6.65	0.00	6.65	0.0	0.00
03/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	146,801.60	275.25	0.00	275.25	0.0	0.00
03/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	146,801.60	91.75	0.00	91.75	0.0	0.00
03/31/2018	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	46,333.79	28.96	0.00	28.96	0.0	0.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$359,885.04	\$402.61	\$0.00	\$402.61		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/19/2018	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.15	0.00	0.15	0.0	0.00
03/12/2018	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.14	0.00	0.14	0.0	0.00
03/19/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.80	0.00	2.80	0.0	0.00
03/19/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.78	0.00	2.78	0.0	0.00
03/19/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.10	0.00	2.10	0.0	0.00
03/19/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.21	0.00	3.21	0.0	0.00
03/19/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.89	0.00	8.89	0.0	0.00
03/13/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.68	0.00	0.68	0.0	0.00
03/13/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.22	0.00	0.22	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/13/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.60	0.00	0.60	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.56	0.00	1.56	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	6.79	0.00	6.79	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.82	0.00	1.82	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	3.21	0.00	3.21	0.0	0.00
04/03/2018	PEACOCK, RICHARD	PIIONEER BOND FUND A	0.00	4.85	0.00	4.85	0.0	0.00
04/03/2018	DEXTER, ROCHELLE	PIIONEER BOND FUND A	0.00	0.76	0.00	0.76	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	PIIONEER BOND FUND A	0.00	1.36	0.00	1.36	0.0	0.00
04/03/2018	MATHENEY, DONNA	PIIONEER CLASSIC BALANCED FUND A	0.00	5.17	0.00	5.17	0.0	0.00
04/03/2018	KEY, LARRY	PIIONEER CLASSIC BALANCED FUND A	0.00	2.10	0.00	2.10	0.0	0.00
04/03/2018	PRICE, JOYCE	PIIONEER CLASSIC BALANCED FUND A	0.00	4.08	0.00	4.08	0.0	0.00
04/03/2018	RATLIFF, DONNA	PIIONEER CULLEN VALUE FUND A	0.00	6.01	0.00	6.01	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	PIIONEER CULLEN VALUE FUND A	0.00	10.43	0.00	10.43	0.0	0.00
04/03/2018	STARNES, EDDIE	PIIONEER EQUITY INCOME A	0.00	0.41	0.00	0.41	0.0	0.00
04/03/2018	ZEHRINGER, SHARON	PIIONEER EQUITY INCOME A	0.00	7.09	0.00	7.09	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	PIIONEER EQUITY INCOME A	0.00	5.99	0.00	5.99	0.0	0.00
04/03/2018	JONES, JACQUELINE	PIIONEER EQUITY INCOME A	0.00	2.53	0.00	2.53	0.0	0.00
04/03/2018	MILLER, MYRNA	PIIONEER FLOATING RATE A	0.00	10.89	0.00	10.89	0.0	0.00
04/03/2018	MCCABE VA/UTMA, ELIZABETH	PIIONEER FUND A	0.00	1.74	0.00	1.74	0.0	0.00
04/03/2018	WATKINS OH/UTMA, ALEXI	PIIONEER FUND A	0.00	1.79	0.00	1.79	0.0	0.00
04/03/2018	HUTTON JT TEN, JANET	PIIONEER FUND A	0.00	7.44	0.00	7.44	0.0	0.00
04/03/2018	CURTIS, RAY	PIIONEER FUND A	0.00	3.51	0.00	3.51	0.0	0.00
04/03/2018	JONES, JACQUELINE	PIIONEER FUND A	0.00	1.86	0.00	1.86	0.0	0.00
04/03/2018	RUSH, KAREN	PIIONEER FUND A	0.00	14.20	0.00	14.20	0.0	0.00
04/03/2018	CRABTREE, GARY	PIIONEER FUND C	0.00	10.84	0.00	10.84	0.0	0.00
04/03/2018	GREGONCZA, RONALD	PIIONEER FUND C	0.00	32.10	0.00	32.10	0.0	0.00
04/03/2018	THOMPSON REYNOLDS JT TEN,	PIIONEER FUNDAMENTAL GROWTH A	0.00	8.53	0.00	8.53	0.0	0.00
04/03/2018	MATHENEY, DONNA	PIIONEER GLOBAL EQUITY A	0.00	0.77	0.00	0.77	0.0	0.00
04/03/2018	RATLIFF, DONNA	PIIONEER GLOBAL EQUITY A	0.00	2.32	0.00	2.32	0.0	0.00
04/03/2018	KEY, LARRY	PIIONEER GLOBAL EQUITY A	0.00	3.77	0.00	3.77	0.0	0.00
04/03/2018	MINIX, DEBORAH	PIIONEER GLOBAL EQUITY A	0.00	3.30	0.00	3.30	0.0	0.00
04/03/2018	THOMPSON REYNOLDS JT TEN,	PIIONEER GLOBAL EQUITY A	0.00	2.20	0.00	2.20	0.0	0.00
04/03/2018	PEACOCK, RICHARD	PIIONEER GLOBAL HIGH YIELD A	0.00	2.03	0.00	2.03	0.0	0.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/03/2018	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.30	0.00	1.30	0.0	0.00
04/03/2018	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.19	0.00	2.19	0.0	0.00
04/03/2018	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.03	0.00	1.03	0.0	0.00
04/03/2018	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.33	0.00	2.33	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.09	0.00	1.09	0.0	0.00
04/03/2018	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.27	0.00	1.27	0.0	0.00
04/03/2018	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	5.06	0.00	5.06	0.0	0.00
04/03/2018	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.43	0.00	1.43	0.0	0.00
04/03/2018	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.71	0.00	1.71	0.0	0.00
04/03/2018	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.19	0.00	3.19	0.0	0.00
04/03/2018	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.58	0.00	0.58	0.0	0.00
04/03/2018	O'NEAL, DEAN	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.45	0.00	0.45	0.0	0.00
04/03/2018	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	2.13	0.00	2.13	0.0	0.00
04/03/2018	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	5.11	0.00	5.11	0.0	0.00
04/03/2018	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	6.88	0.00	6.88	0.0	0.00
04/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	4.37	0.00	4.37	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.56	0.00	3.56	0.0	0.00
04/03/2018	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.24	0.00	1.24	0.0	0.00
04/03/2018	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.57	0.00	1.57	0.0	0.00
04/03/2018	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.07	0.00	6.07	0.0	0.00
04/03/2018	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.33	0.00	6.33	0.0	0.00
04/03/2018	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.94	0.00	3.94	0.0	0.00
04/03/2018	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.46	0.00	4.46	0.0	0.00
04/03/2018	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.55	0.00	1.55	0.0	0.00
04/03/2018	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.87	0.00	0.87	0.0	0.00
04/03/2018	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	0.40	0.00	0.40	0.0	0.00
04/03/2018	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.31	0.00	1.31	0.0	0.00
04/03/2018	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.18	0.00	0.18	0.0	0.00
03/05/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.30	0.00	2.30	0.0	0.00
03/05/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.03	0.00	3.03	0.0	0.00
03/05/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.44	0.00	3.44	0.0	0.00
03/15/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.03	0.00	2.03	0.0	0.00
03/15/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.68	0.00	2.68	0.0	0.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/15/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.04	0.00	3.04	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.53	0.00	13.53	0.0	0.00
04/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	8.26	0.00	8.26	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$302.93</b>	<b>\$0.00</b>	<b>\$302.93</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$367,973.04</b>	<b>\$786.42</b>	<b>\$0.00</b>	<b>\$786.42</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$2,530.86</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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