



# COMMISSION STATEMENT

April 16, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/02/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @26.9300						
04/02/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @26.9300						
04/02/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @26.9300						
04/02/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @26.9300						
04/02/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @26.9300						
04/02/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @26.9300						
04/02/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @26.9300						
04/02/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @26.9300						
04/02/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.3500						
04/02/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.3500						
04/02/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.3500						
04/02/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.3500						
04/02/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.00	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @27.7800						
04/04/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	23.94	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000812765	BUY 1 Units @28.3900						
04/06/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.1400						
04/06/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.1400						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/06/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.1400						
04/06/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.1400						
04/06/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.1400						
04/06/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.1400						
04/06/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.1400						
04/06/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.1400						
04/02/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.8100						
04/02/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.41	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 00087513340	BUY 2 Units @12.8100						
04/04/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.2700						
04/02/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.1600						
04/02/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.1600						
04/04/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @15.7600						
04/04/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 19 Units @16.1600						
04/04/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.1600						
04/02/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.4500						
04/02/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.4500						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/02/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.4500	50.30	1.38	0.00	1.38	80.0	1.10
04/02/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @15.4500	100.00	2.75	0.00	2.75	80.0	2.20
04/02/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.4600	75.00	1.20	0.00	1.20	80.0	0.96
04/02/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.4600	75.00	1.20	0.00	1.20	80.0	0.96
04/06/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.5400	75.00	1.20	0.00	1.20	80.0	0.96
04/06/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.5400	75.00	1.20	0.00	1.20	80.0	0.96
04/02/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.6900	25.00	0.25	0.00	0.25	80.0	0.20
04/02/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.6900	25.00	0.25	0.00	0.25	80.0	0.20
04/02/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.6900	25.00	0.25	0.00	0.25	80.0	0.20
04/02/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.6900	25.00	0.25	0.00	0.25	80.0	0.20
04/02/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.6900	66.96	0.67	0.00	0.67	80.0	0.54
04/02/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.6900	240.50	2.41	0.00	2.41	80.0	1.93
04/02/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 5 Units @14.6900	66.96	0.67	0.00	0.67	80.0	0.54
04/02/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @14.6900	240.50	2.41	0.00	2.41	80.0	1.93
04/02/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.6900	45.00	0.45	0.00	0.45	80.0	0.36
04/02/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.6900	24.99	0.25	0.00	0.25	80.0	0.20



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/02/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @14.6900	45.00	0.45	0.00	0.45	80.0	0.36
04/02/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @14.6900	32.72	0.33	0.00	0.33	80.0	0.26
04/02/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @97.5300	75.00	2.10	0.00	2.10	80.0	1.68
04/02/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 32 Units @2.3300	75.00	2.10	0.00	2.10	80.0	1.68
04/02/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @31.5300	75.00	2.10	0.00	2.10	80.0	1.68
04/10/2018	***-**-527I - LAWLOR, RICHARD ACCOUNT #: LSR011410	T ROWE PRICE RET 2020 FUND BUY 88 Units @22.6000	2,000.01	3.00	3.00	0.00	80.0	0.00
04/02/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000006984020841	TEMP GLOBAL BOND FND - CL A BUY 6 Units @12.3700	75.00	2.10	0.00	2.10	80.0	1.68
04/02/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.7200	30.00	0.48	0.00	0.48	80.0	0.38
04/02/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.7200	30.00	0.48	0.00	0.48	80.0	0.38
04/06/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.7000	30.00	0.48	0.00	0.48	80.0	0.38
04/06/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.7000	30.00	0.48	0.00	0.48	80.0	0.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$7,673.40</b>	<b>\$142.13</b>	<b>\$3.00</b>	<b>\$139.13</b>		<b>\$111.29</b>

## PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018	***-**-601J - RAAD, PAMELA ACCOUNT #: 00431526031	37252M108 BUY 0 Units @0.0000	0.00	0.67	0.00	0.67	80.0	0.54
04/16/2018	***-**-90IN - GAW, PATRICIA ACCOUNT #: LSR011025	AIM CHARTER FUND - CLASS A BUY 1 Units @0.0000	0.00	3.20	0.00	3.20	80.0	2.56
03/31/2018	***-**-367I - RAMADANOVIC, ACCOUNT #: 03208406090	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	2.54	0.00	2.54	80.0	2.03
03/31/2018	***-**-139I - STRATTON, RANIE ACCOUNT #: 03209301380	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	117.45	0.00	117.45	80.0	93.96



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-833I - REYNOLDS, THOMAS	CAPITAL APPRECIATION A	0.00	23.27	0.00	23.27	80.0	18.62
	ACCOUNT #: 03210241880	BUY 0 Units @0.0000						
03/31/2018	***-**-966I - MASCARO, HOLLY	CAPITAL APPRECIATION A	0.00	5.78	0.00	5.78	80.0	4.62
	ACCOUNT #: 03210276288	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	CAPITAL APPRECIATION A	0.00	4.09	0.00	4.09	80.0	3.27
	ACCOUNT #: 03210716981	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	CAPITAL APPRECIATION A	0.00	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 03211940049	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	CAPITAL APPRECIATION A	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 03212086811	BUY 0 Units @0.0000						
03/31/2018	***-**-395P - STRATTON, RANIE	CAPITAL APPRECIATION B	0.00	5.31	0.00	5.31	80.0	4.25
	ACCOUNT #: 03214082309	BUY 0 Units @0.0000						
03/31/2018	***-**-6833 - REYNOLDS, THOMAS	CAPITAL APPRECIATION B	0.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 03214901567	BUY 0 Units @0.0000						
03/31/2018	***-**-1966 - MASCARO, HOLLY	CAPITAL APPRECIATION B	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 03214901787	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	CAPITAL APPRECIATION B	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 03215212878	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	CAPITAL APPRECIATION B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 03215212889	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	CAPITAL APPRECIATION B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03215822883	BUY 0 Units @0.0000						
03/31/2018	***-**-32SI - GAUDIOSI, JANE	CAPITAL APPRECIATION C	0.00	65.96	0.00	65.96	80.0	52.77
	ACCOUNT #: 03222239534	BUY 0 Units @0.0000						
03/31/2018	***-**-211I - POLLOCK, LINDA	CAPITAL INCOME A	0.00	5.43	0.00	5.43	80.0	4.34
	ACCOUNT #: 03008419994	BUY 0 Units @0.0000						
03/31/2018	***-**-632I - GAUDIOSI, JANE	CORE BOND FND C	0.00	74.50	0.00	74.50	80.0	59.60
	ACCOUNT #: 02870589061	BUY 0 Units @0.0000						
03/31/2018	***-**-4523 - SCHIBI, FRANK	DISCOVERY FUND B	0.00	1.35	0.00	1.35	80.0	1.08
	ACCOUNT #: 07460253627	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	EMERGING GROWTH FUND B	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 07220177144	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-674I - BORJA, FRANKLIN	EMERGING GROWTH FUND B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 07220177166	BUY 0 Units @0.0000						
03/31/2018	***-**-833I - REYNOLDS, THOMAS	GLOBAL FUND A	0.00	24.06	0.00	24.06	80.0	19.25
	ACCOUNT #: 03306082694	BUY 0 Units @0.0000						
03/31/2018	***-**-211I - POLLOCK, LINDA	GLOBAL FUND A	0.00	15.94	0.00	15.94	80.0	12.75
	ACCOUNT #: 03306082705	BUY 0 Units @0.0000						
03/31/2018	***-**-966I - MASCARO, HOLLY	GLOBAL FUND A	0.00	5.99	0.00	5.99	80.0	4.79
	ACCOUNT #: 03306082716	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL FUND A	0.00	2.74	0.00	2.74	80.0	2.19
	ACCOUNT #: 03306541526	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - TRAN, JOSEPH	GLOBAL FUND A	0.00	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 03306572557	BUY 0 Units @0.0000						
03/31/2018	***-**-6833 - REYNOLDS, THOMAS	GLOBAL FUND B	0.00	1.01	0.00	1.01	80.0	0.81
	ACCOUNT #: 03315466362	BUY 0 Units @0.0000						
03/31/2018	***-**-1966 - MASCARO, HOLLY	GLOBAL FUND B	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 03315466758	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL FUND B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 03315864309	BUY 0 Units @0.0000						
03/31/2018	***-**-523I - SCHIBI, FRANK	GLOBAL OPPORTUNITIES A	0.00	50.87	0.00	50.87	80.0	40.70
	ACCOUNT #: 02156130773	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL OPPORTUNITIES A	0.00	4.04	0.00	4.04	80.0	3.23
	ACCOUNT #: 02156318323	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	GLOBAL OPPORTUNITIES A	0.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 02157988211	BUY 0 Units @0.0000						
03/31/2018	***-**-4523 - SCHIBI, FRANK	GLOBAL OPPORTUNITIES B	0.00	1.77	0.00	1.77	80.0	1.42
	ACCOUNT #: 02160420772	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL OPPORTUNITIES B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 02162774332	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	GLOBAL OPPORTUNITIES B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02163238796	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL BOND A	0.00	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 08809205845	BUY 0 Units @0.0000						



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JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-292I - VON, NGET	INTERNATIONAL BOND A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 08809458174	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	INTERNATIONAL GROWTH FUND A	0.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 08256913841	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	INTERNATIONAL GROWTH FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 08261298418	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL SMALL COMPANY A	0.00	1.83	0.00	1.83	80.0	1.46
	ACCOUNT #: 08151787555	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL SMALL COMPANY FUND B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 08160310277	BUY 0 Units @0.0000						
04/16/2018	***-**-90IN - GAW, PATRICIA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	8.79	0.00	8.79	80.0	7.03
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
04/16/2018	***-**-90IN - GAW, PATRICIA	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	3.33	0.00	3.33	80.0	2.66
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
04/16/2018	***-**-552I - FAMIGLIETTI,	IVY BOND FUND CLASS A	0.00	4.74	0.00	4.74	80.0	3.79
	ACCOUNT #: LSR011127	BUY 1 Units @0.0000						
04/16/2018	***-**-552X - FAMIGLIETTI,	IVY GLOBAL EQUITY INCOME CLASS A	0.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
04/16/2018	***-**-552I - FAMIGLIETTI,	IVY GLOBAL EQUITY INCOME CLASS A	0.00	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: LSR011127	BUY 1 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	LIMITED TERM GOVERNMENT A	0.00	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 08553654519	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	LIMITED TERM GOVERNMENT B	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 08561836175	BUY 0 Units @0.0000						
03/31/2018	***-**-32SI - GAUDIOSI, JANE	LIMITED-TERM GOVERNMENT FUND C	0.00	73.44	0.00	73.44	80.0	58.75
	ACCOUNT #: 08571249215	BUY 0 Units @0.0000						
03/31/2018	***-**-367I - RAMADANOVIC,	MAIN STREET FUND A	0.00	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 04205702378	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	MAIN STREET FUND A	0.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 04206740943	BUY 0 Units @0.0000						
03/31/2018	***-**-019I - CALVANEZI,	MAIN STREET FUND A	0.00	55.76	0.00	55.76	80.0	44.61
	ACCOUNT #: 07002459680	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-245P - DUMAINE, ROBERT	MAIN STREET FUND A	0.00	3.47	0.00	3.47	80.0	2.78
	ACCOUNT #: 07003593678	BUY 0 Units @0.0000						
03/31/2018	***-**-6741 - TRAN, JOSEPH	MAIN STREET FUND A	0.00	1.62	0.00	1.62	80.0	1.30
	ACCOUNT #: 07004976909	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET FUND A	0.00	0.81	0.00	0.81	80.0	0.65
	ACCOUNT #: 07005950761	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	MAIN STREET FUND A	0.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 07006303773	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	MAIN STREET FUND B	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 04212518384	BUY 0 Units @0.0000						
03/31/2018	***-**-9019 - CALVANEZI,	MAIN STREET FUND B	0.00	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 07012659486	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET FUND B	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 07015326755	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	MAIN STREET FUND B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 07015999944	BUY 0 Units @0.0000						
03/31/2018	***-**-632I - GAUDIOSI, JANE	MAIN STREET FUND C	0.00	156.31	0.00	156.31	80.0	125.05
	ACCOUNT #: 07024390705	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET OPPORTUNITY FUND B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 07321090287	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET SMALL CAP FUND B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 08481466080	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	MAIN STREET SMALL CAP FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 08481466102	BUY 0 Units @0.0000						
03/31/2018	***-**-947I - LAMESA, MICHAEL	MAIN STREET SMALL CAP FUND B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 08481859220	BUY 0 Units @0.0000						
03/31/2018	***-**-615I - WANIK, MICHAEL	OPP PORT SERIES: ACTIVE ALLOC A	0.00	15.92	0.00	15.92	80.0	12.74
	ACCOUNT #: 05502613501	BUY 0 Units @0.0000						
03/31/2018	***-**-309I - GROODY, ASHLEY	OPP PORT SERIES: ACTIVE ALLOC A	0.00	4.83	0.00	4.83	80.0	3.86
	ACCOUNT #: 05502887731	BUY 0 Units @0.0000						
03/31/2018	***-**-1390 - MOHABIR,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 05502980461	BUY 0 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-378I - SZYMANSKI, ACCOUNT #: 05451083929	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	7.02	0.00	7.02	80.0	5.62
03/31/2018	***-**-469I - DAPONTE, ELVC ACCOUNT #: 05451083940	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	1.94	0.00	1.94	80.0	1.55
03/31/2018	***-**-1663 - MCNULTY, GARY ACCOUNT #: 05451526723	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	0.20	0.00	0.20	80.0	0.16
03/31/2018	***-**-378I - SZYMANSKI, ACCOUNT #: 04720001573	OPP PORT SERIES: MOD INV FD B BUY 0 Units @0.0000	0.00	0.37	0.00	0.37	80.0	0.30
03/31/2018	***-**-469I - DAPONTE, ELVC ACCOUNT #: 04720001584	OPP PORT SERIES: MOD INV FD B BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	80.0	0.36
03/31/2018	***-**-1663 - MCNULTY, GARY ACCOUNT #: 04720008228	OPP PORT SERIES: MOD INV FD B BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	80.0	0.34
03/31/2018	***-**-378I - SZYMANSKI, ACCOUNT #: 04730033374	OPP PORT SERIES: MOD INV FD C BUY 0 Units @0.0000	0.00	3.20	0.00	3.20	80.0	2.56
03/31/2018	***-**-139I - STRATTON, RANIE ACCOUNT #: 02851711479	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	20.34	0.00	20.34	80.0	16.27
03/31/2018	***-**-395P - STRATTON, RANIE ACCOUNT #: 02860398002	OPPENHEIMER CORE BOND FUND B BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	80.0	0.73
03/31/2018	***-**-523I - SCHIBI, FRANK ACCOUNT #: 05005268758	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	40.49	0.00	40.49	80.0	32.39
03/31/2018	***-**-367I - RAMADANOVIC, ACCOUNT #: 07453505403	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	1.44	0.00	1.44	80.0	1.15
03/31/2018	***-**-32SI - GAUDIOSI, JANE ACCOUNT #: 03322789446	OPPENHEIMER GLOBAL CLASS C BUY 0 Units @0.0000	0.00	71.35	0.00	71.35	80.0	57.08
03/31/2018	***-**-2332 - DROZDENKO, ROBIN ACCOUNT #: 08810639166	OPPENHEIMER INTL BOND CLASS B BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	80.0	0.02
03/31/2018	***-**-292I - VON, NGET ACCOUNT #: 08811124189	OPPENHEIMER INTL BOND CLASS B BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	80.0	0.01
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN ACCOUNT #: 07315560632	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	1.03	0.00	1.03	80.0	0.82
03/31/2018	***-**-211I - POLLOCK, LINDA ACCOUNT #: 08476614080	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	6.36	0.00	6.36	80.0	5.09



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	2.83	0.00	2.83	80.0	2.26
	ACCOUNT #: 08476873108	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	1.10	0.00	1.10	80.0	0.88
	ACCOUNT #: 08477445449	BUY 0 Units @0.0000						
03/31/2018	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	90.48	0.00	90.48	80.0	72.38
	ACCOUNT #: 02270965107	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 02514473502	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 02515003108	BUY 0 Units @0.0000						
03/31/2018	***-**-211I - POLLOCK, LINDA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	5.68	0.00	5.68	80.0	4.54
	ACCOUNT #: 01903469444	BUY 0 Units @0.0000						
03/31/2018	***-**-741I - TRAN, JOSEPH	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.74	0.00	1.74	80.0	1.39
	ACCOUNT #: 02305255671	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.36	0.00	1.36	80.0	1.09
	ACCOUNT #: 02305493172	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 02316426222	BUY 0 Units @0.0000						
03/31/2018	***-**-615I - WANIK, MICHAEL	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	1.54	0.00	1.54	80.0	1.23
	ACCOUNT #: 07170001177	BUY 0 Units @0.0000						
03/31/2018	***-**-309I - GROODY, ASHLEY	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	1.68	0.00	1.68	80.0	1.34
	ACCOUNT #: 08690004807	BUY 0 Units @0.0000						
03/31/2018	***-**-1390 - MOHABIR,	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 08690007194	BUY 0 Units @0.0000						
04/02/2018	***-**-24IN - INS, CHITTENDEN	PUTNAM EQUITY INCOME FUND-A	0.00	17.41	0.00	17.41	80.0	13.93
	ACCOUNT #: 00312906459	BUY 0 Units @0.0000						
04/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM GLOBAL EQUITY FUND CLASS A	0.00	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
04/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
04/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM RESEARCH FUND-A	0.00	0.81	0.00	0.81	80.0	0.65
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
04/16/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	376.57	0.00	376.57	80.0	301.24
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
03/31/2018	***-**-833I - REYNOLDS, THOMAS	QUEST BALANCED A	0.00	21.68	0.00	21.68	80.0	17.34
	ACCOUNT #: 02577013424	BUY 0 Units @0.0000						
03/31/2018	***-**-966I - MASCARO, HOLLY	QUEST BALANCED A	0.00	5.40	0.00	5.40	80.0	4.32
	ACCOUNT #: 02577013435	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST BALANCED A	0.00	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 02577297411	BUY 0 Units @0.0000						
03/31/2018	***-**-6833 - REYNOLDS, THOMAS	QUEST BALANCED B	0.00	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: 02584956182	BUY 0 Units @0.0000						
03/31/2018	***-**-1966 - MASCARO, HOLLY	QUEST BALANCED B	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 02584956435	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST BALANCED B	0.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 02585394224	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST INTERNATIONAL VALUE B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 02550443498	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST INTERNATIONAL VALUE FUND, INC	0.00	1.91	0.00	1.91	80.0	1.53
	ACCOUNT #: 02541058596	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST OPPORTUNITY VALUE A	0.00	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 02365226518	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST OPPORTUNITY VALUE FUND B	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 02373754025	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	REAL ESTATE FUND A	0.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 05900879373	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	REAL ESTATE FUND B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 05910190531	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	RISING DIVIDENDS FUND A	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: 02253493028	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	RISING DIVIDENDS FUND, INC. B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 02261439262	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/16/2018	***-**-963I - MORRO, JAMES	SAMMONS MF TRAILS	0.00	61.69	0.00	61.69	80.0	49.35
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						
04/16/2018	***-**-176I - RIOS, CARLOS	SAMMONS MF TRAILS	0.00	13.62	0.00	13.62	80.0	10.90
	ACCOUNT #: 7200020442	BUY 0 Units @0.0000						
04/16/2018	***-**-358I - KALLIPOLITES,	SAMMONS MF TRAILS	0.00	45.01	0.00	45.01	80.0	36.01
	ACCOUNT #: 7200022756	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	SELECT VALUE FUND A	0.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 06000810777	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	SELECT VALUE FUND B	0.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 06010116831	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	SMALL- & MID- CAP VALUE B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 02521472240	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	SMALL- & MID- CAP VALUE B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 02521472262	BUY 0 Units @0.0000						
03/31/2018	***-**-292I - VON, NGET	SMALL- & MID-CAP GROWTH FUND A	0.00	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 07210636713	BUY 0 Units @0.0000						
03/31/2018	***-**-674I - BORJA, FRANKLIN	SMALL- & MID-CAP GROWTH FUND A	0.00	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 07211048630	BUY 0 Units @0.0000						
03/31/2018	***-**-32SI - GAUDIOSI, JANE	STRATEGIC INCOME FUND C	0.00	53.50	0.00	53.50	80.0	42.80
	ACCOUNT #: 02322798092	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	VALUE B	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 03760515592	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	VALUE B	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 03760515603	BUY 0 Units @0.0000						
03/31/2018	***-**-2332 - DROZDENKO, ROBIN	VALUE FUND A	0.00	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 03752279541	BUY 0 Units @0.0000						
03/31/2018	***-**-4155 - KOSTRAB, JUSTIN	VALUE FUND A	0.00	0.97	0.00	0.97	80.0	0.78
	ACCOUNT #: 03752505206	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,634.31</b>	<b>\$0.00</b>	<b>\$1,634.31</b>		<b>\$1,307.43</b>



# COMMISSION STATEMENT

April 16, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
04/13/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	1,073.89	0.00	1,073.89	80.0	859.11
	ACCOUNT #: 715169	BUY 1 Units @0.0000						
04/13/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	2,005.13	0.00	2,005.13	80.0	1,604.10
	ACCOUNT #: 89314	BUY 1 Units @0.0000						
04/16/2018	***-**-7174 - COCCHIOLA, INC	QUALIFIED PLAN SUMMARY	0.00	1,045.69	0.00	1,045.69	80.0	836.55
	ACCOUNT #: 461859	BUY 1 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4,124.71</b>	<b>\$0.00</b>	<b>\$4,124.71</b>		<b>\$3,299.76</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$7,673.40</b>	<b>\$5,901.15</b>	<b>\$3.00</b>	<b>\$5,898.15</b>		<b>\$4,718.48</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$4,426.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$4,718.48</b>
<b>Year-to-date Earnings</b>	<b>\$25,873.05</b>