



# COMMISSION STATEMENT

March 19, 2018

EDWARD FATLA

10301 West Lincoln Highway  
Frankfort, IL 60423

BROKER #: 131

BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT                        | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|--|-------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |                               |                                   |                      |                     |                    |                   |       |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                               |                                   |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-1221 - REYNOLDS, EDDIE | AMCAP FUND-A                      | 11.38                | 0.23                | 0.00               | 0.23              | 50.0  | 0.12                 |
|  | ACCOUNT #: 04000021340        | BUY 0 Units @34.2200              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-1221 - REYNOLDS, EDDIE | AMCAP FUND-A                      | 9.00                 | 0.18                | 0.00               | 0.18              | 50.0  | 0.09                 |
|  | ACCOUNT #: 04000021340        | BUY 0 Units @34.2200              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-275P - SLUSI, VICTORIA | AMCAP FUND-A                      | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|  | ACCOUNT #: 00087654215        | BUY 2 Units @34.2200              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-275P - SLUSI, VICTORIA | AMCAP FUND-A                      | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|  | ACCOUNT #: 00087654215        | BUY 1 Units @34.2200              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-590I - SLUSI, PAUL     | AMCAP FUND-A                      | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|  | ACCOUNT #: 00087654256        | BUY 2 Units @34.2200              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-590I - SLUSI, PAUL     | AMCAP FUND-A                      | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|  | ACCOUNT #: 00087654256        | BUY 1 Units @34.2200              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-275P - SLUSI, VICTORIA | AMERICAN BALANCED FUND-A          | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|  | ACCOUNT #: 00087654215        | BUY 1 Units @27.8300              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-275P - SLUSI, VICTORIA | AMERICAN BALANCED FUND-A          | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|  | ACCOUNT #: 00087654215        | BUY 2 Units @27.8300              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-92SI - SPARKS, DAVID   | AMERICAN BALANCED FUND-A          | 12.63                | 0.25                | 0.00               | 0.25              | 50.0  | 0.13                 |
|  | ACCOUNT #: 00087654239        | BUY 0 Units @27.8300              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-92SI - SPARKS, DAVID   | AMERICAN BALANCED FUND-A          | 12.63                | 0.25                | 0.00               | 0.25              | 50.0  | 0.13                 |
|  | ACCOUNT #: 00087654239        | BUY 0 Units @27.8300              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-590I - SLUSI, PAUL     | AMERICAN BALANCED FUND-A          | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|  | ACCOUNT #: 00087654256        | BUY 2 Units @27.8300              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-590I - SLUSI, PAUL     | AMERICAN BALANCED FUND-A          | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|  | ACCOUNT #: 00087654256        | BUY 1 Units @27.8300              |                      |                     |                    |                   |       |                      |
| 03/15/2018                                   | ***-**-848I - RUSSO, JOHANNA  | AMERICAN BALANCED FUND-A          | 74.99                | 2.81                | 3.00               | -0.19             | 100.0 | -0.19                |
|  | ACCOUNT #: LSR011325          | BUY 3 Units @28.4700              |                      |                     |                    |                   |       |                      |
| 03/15/2018                                   | ***-**-559I - RUSSO, JOSEPH   | AMERICAN BALANCED FUND-A          | 74.99                | 2.06                | 3.00               | -0.94             | 100.0 | -0.94                |
|  | ACCOUNT #: LSR011326          | BUY 3 Units @28.1800              |                      |                     |                    |                   |       |                      |
| 03/15/2018                                   | ***-**-956I - RUSSO, ANTHONY  | AMERICAN BALANCED FUND-A          | 51.99                | 2.60                | 3.00               | -0.40             | 100.0 | -0.40                |
|  | ACCOUNT #: LSR011643          | BUY 2 Units @28.8500              |                      |                     |                    |                   |       |                      |
| 03/05/2018                                   | ***-**-590I - SLUSI, PAUL     | AMERICAN FUNDS 2020 TARGET DATE-A | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|  | ACCOUNT #: 00087654256        | BUY 5 Units @12.9100              |                      |                     |                    |                   |       |                      |



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|---|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |   |   |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |   |   |                      |                     |                    |                   |      |                      |
| 03/05/2018  | ***-**-590I - SLUSI, PAUL<br>ACCOUNT #: 00087654256     | AMERICAN FUNDS 2020 TARGET DATE-A<br>BUY 2 Units @12.9100 | 27.00                | 0.54                | 0.00               | 0.54              | 50.0 | 0.27                 |
| 03/05/2018  | ***-**-275P - SLUSI, VICTORIA<br>ACCOUNT #: 00087654215 | AMERICAN FUNDS 2025 TARGET DATE-A<br>BUY 4 Units @13.8900 | 60.00                | 1.20                | 0.00               | 1.20              | 50.0 | 0.60                 |
| 03/05/2018  | ***-**-275P - SLUSI, VICTORIA<br>ACCOUNT #: 00087654215 | AMERICAN FUNDS 2025 TARGET DATE-A<br>BUY 2 Units @13.8900 | 27.00                | 0.54                | 0.00               | 0.54              | 50.0 | 0.27                 |
| 03/05/2018  | ***-**-8658 - WADE, CHAD<br>ACCOUNT #: 00089799621      | AMERICAN FUNDS 2035 TARGET DATE-A<br>BUY 4 Units @15.5000 | 60.85                | 1.22                | 0.00               | 1.22              | 50.0 | 0.61                 |
| 03/05/2018  | ***-**-8658 - WADE, CHAD<br>ACCOUNT #: 00089799621      | AMERICAN FUNDS 2035 TARGET DATE-A<br>BUY 4 Units @15.5000 | 60.85                | 1.22                | 0.00               | 1.22              | 50.0 | 0.61                 |
| 03/05/2018  | ***-**-5873 - VANDERMULL,<br>ACCOUNT #: 04000722155     | AMERICAN FUNDS 2050 TARGET DATE-A<br>BUY 1 Units @15.8500 | 14.49                | 0.29                | 0.00               | 0.29              | 50.0 | 0.15                 |
| 03/05/2018  | ***-**-5873 - VANDERMULL,<br>ACCOUNT #: 04000722155     | AMERICAN FUNDS 2050 TARGET DATE-A<br>BUY 1 Units @15.8500 | 14.49                | 0.29                | 0.00               | 0.29              | 50.0 | 0.15                 |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID<br>ACCOUNT #: 00087654239   | EUROPACIFIC GROWTH FUND-A<br>BUY 0 Units @58.3600         | 6.31                 | 0.13                | 0.00               | 0.13              | 50.0 | 0.07                 |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID<br>ACCOUNT #: 00087654239   | EUROPACIFIC GROWTH FUND-A<br>BUY 0 Units @58.3600         | 6.31                 | 0.13                | 0.00               | 0.13              | 50.0 | 0.07                 |
| 03/05/2018  | ***-**-1221 - REYNOLDS, EDDIE<br>ACCOUNT #: 04000021340 | FUNDAMENTAL INVESTORS-A<br>BUY 0 Units @64.9800           | 7.59                 | 0.15                | 0.00               | 0.15              | 50.0 | 0.08                 |
| 03/05/2018  | ***-**-1221 - REYNOLDS, EDDIE<br>ACCOUNT #: 04000021340 | FUNDAMENTAL INVESTORS-A<br>BUY 0 Units @64.9800           | 6.00                 | 0.12                | 0.00               | 0.12              | 50.0 | 0.06                 |
| 03/15/2018  | ***-**-848I - RUSSO, JOHANNA<br>ACCOUNT #: LSR011325    | FUNDAMENTAL INVESTORS-A<br>BUY 2 Units @67.0900           | 124.99               | 4.69                | 3.00               | 1.69              | 50.0 | 0.85                 |
| 03/15/2018  | ***-**-559I - RUSSO, JOSEPH<br>ACCOUNT #: LSR011326     | FUNDAMENTAL INVESTORS-A<br>BUY 2 Units @66.3900           | 125.01               | 3.44                | 3.00               | 0.44              | 50.0 | 0.22                 |
| 03/15/2018  | ***-**-956I - RUSSO, ANTHONY<br>ACCOUNT #: LSR011643    | FUNDAMENTAL INVESTORS-A<br>BUY 1 Units @67.9800           | 64.99                | 3.25                | 3.00               | 0.25              | 50.0 | 0.13                 |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID<br>ACCOUNT #: 00087654239   | SMALLCAP WORLD FUND-A<br>BUY 0 Units @58.4300             | 6.31                 | 0.13                | 0.00               | 0.13              | 50.0 | 0.07                 |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID<br>ACCOUNT #: 00087654239   | SMALLCAP WORLD FUND-A<br>BUY 0 Units @58.4300             | 6.31                 | 0.13                | 0.00               | 0.13              | 50.0 | 0.07                 |



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|---|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |                               |                              |                      |                     |                    |                   |       |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |                               |                              |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-848I - RUSSO, JOHANNA  | SMALLCAP WORLD FUND-A        | 99.99                | 3.75                | 3.00               | 0.75              | 50.0  | 0.38                 |
|   | ACCOUNT #: LSR011325          | BUY 2 Units @60.7100         |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-559I - RUSSO, JOSEPH   | SMALLCAP WORLD FUND-A        | 99.97                | 2.75                | 3.00               | -0.25             | 100.0 | -0.25                |
|   | ACCOUNT #: LSR011326          | BUY 2 Units @60.0800         |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-956I - RUSSO, ANTHONY  | SMALLCAP WORLD FUND-A        | 65.03                | 3.25                | 3.00               | 0.25              | 50.0  | 0.13                 |
|   | ACCOUNT #: LSR011643          | BUY 1 Units @61.5200         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-5873 - VANDERMULL,     | THE GROWTH FUND OF AMERICA-A | 14.49                | 0.29                | 0.00               | 0.29              | 50.0  | 0.15                 |
|   | ACCOUNT #: 04000722155        | BUY 0 Units @53.7900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-5873 - VANDERMULL,     | THE GROWTH FUND OF AMERICA-A | 14.49                | 0.29                | 0.00               | 0.29              | 50.0  | 0.15                 |
|   | ACCOUNT #: 04000722155        | BUY 0 Units @53.7900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID   | THE GROWTH FUND OF AMERICA-A | 12.63                | 0.25                | 0.00               | 0.25              | 50.0  | 0.13                 |
|   | ACCOUNT #: 00087654239        | BUY 0 Units @53.7900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID   | THE GROWTH FUND OF AMERICA-A | 12.63                | 0.25                | 0.00               | 0.25              | 50.0  | 0.13                 |
|   | ACCOUNT #: 00087654239        | BUY 0 Units @53.7900         |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-848I - RUSSO, JOHANNA  | THE GROWTH FUND OF AMERICA-A | 149.98               | 5.62                | 3.00               | 2.62              | 50.0  | 1.31                 |
|   | ACCOUNT #: LSR011325          | BUY 3 Units @55.8600         |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-559I - RUSSO, JOSEPH   | THE GROWTH FUND OF AMERICA-A | 149.97               | 4.12                | 3.00               | 1.12              | 50.0  | 0.56                 |
|   | ACCOUNT #: LSR011326          | BUY 3 Units @55.2800         |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-956I - RUSSO, ANTHONY  | THE GROWTH FUND OF AMERICA-A | 77.99                | 3.90                | 3.00               | 0.90              | 50.0  | 0.45                 |
|   | ACCOUNT #: LSR011643          | BUY 1 Units @56.6000         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-1221 - REYNOLDS, EDDIE | THE INCOME FUND OF AMERICA-A | 6.00                 | 0.12                | 0.00               | 0.12              | 50.0  | 0.06                 |
|   | ACCOUNT #: 04000021340        | BUY 0 Units @23.6900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-1221 - REYNOLDS, EDDIE | THE INCOME FUND OF AMERICA-A | 7.59                 | 0.15                | 0.00               | 0.15              | 50.0  | 0.08                 |
|   | ACCOUNT #: 04000021340        | BUY 0 Units @23.6900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-275P - SLUSI, VICTORIA | THE INCOME FUND OF AMERICA-A | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|   | ACCOUNT #: 00087654215        | BUY 1 Units @23.6900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-275P - SLUSI, VICTORIA | THE INCOME FUND OF AMERICA-A | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|   | ACCOUNT #: 00087654215        | BUY 3 Units @23.6900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-590I - SLUSI, PAUL     | THE INCOME FUND OF AMERICA-A | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|   | ACCOUNT #: 00087654256        | BUY 3 Units @23.6900         |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-590I - SLUSI, PAUL     | THE INCOME FUND OF AMERICA-A | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|   | ACCOUNT #: 00087654256        | BUY 1 Units @23.6900         |                      |                     |                    |                   |       |                      |



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| DATE  | CLIENT                        | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>            |                               |                                     |                      |                     |                    |                   |       |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b> |                               |                                     |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-1221 - REYNOLDS, EDDIE | THE INVESTMENT COMPANY OF AMERICA-A | 11.39                | 0.23                | 0.00               | 0.23              | 50.0  | 0.12                 |
|   | ACCOUNT #: 04000021340        | BUY 0 Units @42.3700                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-1221 - REYNOLDS, EDDIE | THE INVESTMENT COMPANY OF AMERICA-A | 9.00                 | 0.18                | 0.00               | 0.18              | 50.0  | 0.09                 |
|   | ACCOUNT #: 04000021340        | BUY 0 Units @42.3700                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-275P - SLUSI, VICTORIA | THE INVESTMENT COMPANY OF AMERICA-A | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|   | ACCOUNT #: 00087654215        | BUY 1 Units @42.3700                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-275P - SLUSI, VICTORIA | THE INVESTMENT COMPANY OF AMERICA-A | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|   | ACCOUNT #: 00087654215        | BUY 1 Units @42.3700                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-590I - SLUSI, PAUL     | THE INVESTMENT COMPANY OF AMERICA-A | 60.00                | 1.20                | 0.00               | 1.20              | 50.0  | 0.60                 |
|   | ACCOUNT #: 00087654256        | BUY 1 Units @42.3700                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-590I - SLUSI, PAUL     | THE INVESTMENT COMPANY OF AMERICA-A | 27.00                | 0.54                | 0.00               | 0.54              | 50.0  | 0.27                 |
|   | ACCOUNT #: 00087654256        | BUY 1 Units @42.3700                |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-848I - RUSSO, JOHANNA  | U.S. GOVERNMENT SECURITIES FUND CLA | 50.00                | 1.50                | 3.00               | -1.50             | 100.0 | -1.50                |
|   | ACCOUNT #: LSR011325          | BUY 4 Units @13.9200                |                      |                     |                    |                   |       |                      |
| 03/15/2018  | ***-**-559I - RUSSO, JOSEPH   | U.S. GOVERNMENT SECURITIES FUND CLA | 50.00                | 1.38                | 3.00               | -1.62             | 100.0 | -1.62                |
|   | ACCOUNT #: LSR011326          | BUY 4 Units @13.8900                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID   | WASHINGTON MUTUAL INVESTORS FUND-A  | 12.63                | 0.25                | 0.00               | 0.25              | 50.0  | 0.13                 |
|   | ACCOUNT #: 00087654239        | BUY 0 Units @47.3100                |                      |                     |                    |                   |       |                      |
| 03/05/2018  | ***-**-92SI - SPARKS, DAVID   | WASHINGTON MUTUAL INVESTORS FUND-A  | 12.63                | 0.25                | 0.00               | 0.25              | 50.0  | 0.13                 |
|   | ACCOUNT #: 00087654239        | BUY 0 Units @47.3100                |                      |                     |                    |                   |       |                      |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>                  |                               |                                     | <b>\$2,478.52</b>    | <b>\$69.50</b>      | <b>\$42.00</b>     | <b>\$27.50</b>    |       | <b>\$11.41</b>       |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>  |                               |                                     |                      |                     |                    |                   |       |                      |
| 03/19/2018  | ***-**-848I - RUSSO, JOHANNA  | AMERICAN BALANCED FUND-A            | 0.00                 | 2.38                | 0.00               | 2.38              | 50.0  | 1.19                 |
|   | ACCOUNT #: LSR011325          | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 03/19/2018  | ***-**-559I - RUSSO, JOSEPH   | AMERICAN BALANCED FUND-A            | 0.00                 | 3.05                | 0.00               | 3.05              | 50.0  | 1.53                 |
|   | ACCOUNT #: LSR011326          | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 03/19/2018  | ***-**-956I - RUSSO, ANTHONY  | AMERICAN BALANCED FUND-A            | 0.00                 | 0.11                | 0.00               | 0.11              | 50.0  | 0.06                 |
|   | ACCOUNT #: LSR011643          | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 03/19/2018  | ***-**-848I - RUSSO, JOHANNA  | FUNDAMENTAL INVESTORS-A             | 0.00                 | 4.57                | 0.00               | 4.57              | 50.0  | 2.29                 |
|   | ACCOUNT #: LSR011325          | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 03/19/2018  | ***-**-559I - RUSSO, JOSEPH   | FUNDAMENTAL INVESTORS-A             | 0.00                 | 5.84                | 0.00               | 5.84              | 50.0  | 2.92                 |
|   | ACCOUNT #: LSR011326          | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |



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|--|------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>                       |                              |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b> |                              |                                     |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-956I - RUSSO, ANTHONY | FUNDAMENTAL INVESTORS-A             | 0.00                 | 0.14                | 0.00               | 0.14              | 50.0 | 0.07                 |
|  | ACCOUNT #: LSR011643         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-848I - RUSSO, JOHANNA | SMALLCAP WORLD FUND-A               | 0.00                 | 3.64                | 0.00               | 3.64              | 50.0 | 1.82                 |
|  | ACCOUNT #: LSR011325         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-559I - RUSSO, JOSEPH  | SMALLCAP WORLD FUND-A               | 0.00                 | 4.52                | 0.00               | 4.52              | 50.0 | 2.26                 |
|  | ACCOUNT #: LSR011326         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-956I - RUSSO, ANTHONY | SMALLCAP WORLD FUND-A               | 0.00                 | 0.15                | 0.00               | 0.15              | 50.0 | 0.08                 |
|  | ACCOUNT #: LSR011643         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-848I - RUSSO, JOHANNA | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 5.59                | 0.00               | 5.59              | 50.0 | 2.80                 |
|  | ACCOUNT #: LSR011325         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-559I - RUSSO, JOSEPH  | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 7.42                | 0.00               | 7.42              | 50.0 | 3.71                 |
|  | ACCOUNT #: LSR011326         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-956I - RUSSO, ANTHONY | THE GROWTH FUND OF AMERICA-A        | 0.00                 | 0.18                | 0.00               | 0.18              | 50.0 | 0.09                 |
|  | ACCOUNT #: LSR011643         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-848I - RUSSO, JOHANNA | U.S. GOVERNMENT SECURITIES FUND CLA | 0.00                 | 1.36                | 0.00               | 1.36              | 50.0 | 0.68                 |
|  | ACCOUNT #: LSR011325         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| 03/19/2018   | ***-**-559I - RUSSO, JOSEPH  | U.S. GOVERNMENT SECURITIES FUND CLA | 0.00                 | 1.90                | 0.00               | 1.90              | 50.0 | 0.95                 |
|  | ACCOUNT #: LSR011326         | BUY 1 Units @0.0000                 |                      |                     |                    |                   |      |                      |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>                  |                              |                                     | <b>\$0.00</b>        | <b>\$40.85</b>      | <b>\$0.00</b>      | <b>\$40.85</b>    |      | <b>\$20.45</b>       |
| <b>*** BROKER TRANSACTIONS TOTAL ***</b>                     |                              |                                     | <b>\$2,478.52</b>    | <b>\$110.35</b>     | <b>\$42.00</b>     | <b>\$68.35</b>    |      | <b>\$31.86</b>       |



**BROKER #:** 131  
**BRANCH #**01001 - LifeMark Home Office

| DATE   | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE    | NET<br>COMMISSION | RATE    | BROKER<br>COMMISSION |
|--|--------|------------|----------------------|---------------------|-----------------------|-------------------|---------|----------------------|
| <div>BROKER COMMISSION TOTALS</div>                                    |        |            |                      |                     |                       |                   |         |                      |
|  |        |            |                      |                     | Broker Transactions   |                   | \$31.86 |                      |
|  |        |            |                      |                     | Split Transactions    |                   | \$0.00  |                      |
|  |        |            |                      |                     | Override Transactions |                   | \$0.00  |                      |
|  |        |            |                      |                     | Adjustments           |                   | \$0.00  |                      |
|  |        |            |                      |                     | Payroll Draw          |                   | \$0.00  |                      |
|  |        |            |                      |                     | Base Salary           |                   | \$0.00  |                      |
|  |        |            |                      |                     | Prior Period Balance  |                   | \$0.00  |                      |
|  |        |            |                      |                     | FINRA Assessment      |                   | \$0.00  |                      |
|  |        |            |                      |                     | SIPC Assessment       |                   | \$0.00  |                      |
| Please Retain for Your Records<br>THERE WILL BE NO PAYMENT THIS PERIOD |        |            |                      |                     | Balance Forward       |                   | \$0.00  |                      |
|  |        |            |                      |                     | Year-to-date Earnings |                   | \$0.00  |                      |