

# STATEMENT

## January 20, 2018

**BEN REYNOSO**  
222 N Garden St #300  
SUITE 620  
Visalia, CA 93291

**BROKER #: 174**  
BRANCH:

### COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/15/2017	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/15/2017	#00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
11/16/2017	#00086840595 HICKAM, TRACY	AMCAP FUND INC CL C	300.00	3.00	94.0	0.00	2.82	0.00	2.82
11/30/2017	#0049157621	BLCKRCK	0.00	20.38	94.0	0.00	19.16	0.00	19.16
11/30/2017	#0001226331 YOUNG, MICH	BLCKRCK	0.00	4.94	94.0	0.00	4.64	0.00	4.64
11/30/2017	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	4.48	94.0	0.00	4.21	0.00	4.21
11/30/2017	#0049157621	BLCKRCK	0.00	22.78	94.0	0.00	21.41	0.00	21.41
11/30/2017	#0049157621	BLCKRCK	0.00	6.79	94.0	0.00	6.38	0.00	6.38
11/30/2017	#0047818448 COUNSEL, TR	BLCKRCK	0.00	2.70	94.0	0.00	2.54	0.00	2.54
11/30/2017	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	12.07	94.0	0.00	11.35	0.00	11.35
11/30/2017	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.65	94.0	0.00	1.55	0.00	1.55
11/30/2017	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.49	94.0	0.00	1.40	0.00	1.40
11/30/2017	#0049157621	BLCKRCK	0.00	7.59	94.0	0.00	7.13	0.00	7.13
11/30/2017	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.21	94.0	0.00	1.14	0.00	1.14
11/30/2017	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	4.06	94.0	0.00	3.82	0.00	3.82
11/30/2017	#074190005734 & TRUST, ARTIS	JP MORGAN	0.00	0.28	94.0	0.00	0.26	0.00	0.26
11/30/2017	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.18	94.0	0.00	0.17	0.00	0.17
12/04/2017	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/04/2017	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
12/05/2017	#0049157621	BLCKRCK	0.00	0.50	94.0	0.00	0.47	0.00	0.47
12/05/2017	#0049157621	BLCKRCK	0.00	0.50	94.0	0.00	0.47	0.00	0.47
12/11/2017	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
12/11/2017	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
12/15/2017	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00086840595 HICKAM, TRACY	GROWTH FUND AMER INC CL C	300.00	3.00	94.0	0.00	2.82	0.00	2.82
12/15/2017	#00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/15/2017	#00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
12/22/2017	#00085904895 REYNOSO, SEAN	AMERICAN BALANCED FUND-529C	1,500.00	15.00	94.0	0.00	14.10	0.00	14.10
12/22/2017	#00086857981 REYNOSO, SEAN	BALANCED PORTFOLIO-529C	1,500.00	15.00	94.0	0.00	14.10	0.00	14.10
* MUTUAL FUNDS SUBTOTAL *			\$5,870.00	\$194.53		\$0.00	\$182.90	\$0.00	\$182.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/05/2017	#104570LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	42.02	94.0	0.00	39.50	0.00	39.50
12/05/2017	#104571LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	33.37	94.0	0.00	31.37	0.00	31.37
12/05/2017	#129617LB8 EVANS, PAM	TRANSAMERICA LIFE	0.00	39.43	94.0	0.00	37.06	0.00	37.06
12/14/2017	#500002ABZ ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	106.07	94.0	0.00	99.71	0.00	99.71
12/14/2017	#500002ARB ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	13.51	94.0	0.00	12.70	0.00	12.70
12/14/2017	#500002BBI PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	6.47	94.0	0.00	6.08	0.00	6.08
12/14/2017	#500002BLH SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	6.70	94.0	0.00	6.30	0.00	6.30
12/14/2017	#500002BVT MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.04	94.0	0.00	2.86	0.00	2.86
12/14/2017	#500002E26 MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.35	94.0	0.00	7.85	0.00	7.85
12/14/2017	#500002M18 WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.47	94.0	0.00	0.44	0.00	0.44
12/14/2017	#500002P58 JANICES INC	TRANSAMERICA FIN LIFE	0.00	9.79	94.0	0.00	9.20	0.00	9.20
12/14/2017	#500002P59 LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	5.67	94.0	0.00	5.33	0.00	5.33
12/14/2017	#500002R30 , ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.38	94.0	0.00	3.18	0.00	3.18

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/14/2017	#500002S00	HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	42.28	94.0	0.00	39.74	39.74
12/14/2017	#500002S08	TRANSWEST	TRANSAMERICA FIN LIFE	0.00	81.66	94.0	0.00	76.76	76.76
12/14/2017	#500002S10	HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	72.84	94.0	0.00	68.47	68.47
12/14/2017	#500002S24	SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	208.05	94.0	0.00	195.57	195.57
12/14/2017	#500002U17	NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.04	94.0	0.00	0.04	0.04
12/14/2017	#500002V01	CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	8.02	94.0	0.00	7.54	7.54
12/14/2017	#500002W19	NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	113.45	94.0	0.00	106.64	106.64
12/14/2017	#500002Z47	CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.14	94.0	0.00	4.83	4.83
12/14/2017	#803625000	BIG PINE PAI	TRANSAMERICA FIN LIFE	0.00	119.58	94.0	0.00	112.41	112.41
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$929.33</b>		<b>\$0.00</b>	<b>\$873.58</b>	<b>\$0.00</b>	<b>\$873.58</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#01847000368	TRUST	02630V840	0.00	15.70	94.0	0.00	14.76	14.76
12/01/2017	#01847000759	TRUST	02630V840	0.00	0.29	94.0	0.00	0.27	0.27
12/01/2017	#01847000482	TRUST	02630V840	0.00	2.36	94.0	0.00	2.22	2.22
12/01/2017	#01847001172	TRUST	02630V840	0.00	0.11	94.0	0.00	0.10	0.10
12/01/2017	#00086840595	HICKAM, TRACY	AMCAP FUND INC CL C	0.00	3.52	94.0	0.00	3.31	3.31
12/01/2017	#00086840595	HICKAM, TRACY	AMCAP FUND INC CLASS A	0.00	7.48	94.0	0.00	7.03	7.03
12/01/2017	#01235729431	TRUST	AMCAP R3	0.00	1.92	94.0	0.00	1.80	1.80
12/01/2017	#01235729439	TRUST	AMCAP R3	0.00	24.86	94.0	0.00	23.37	23.37
12/01/2017	#01235729433	TRUST	AMCAP R3	0.00	0.68	94.0	0.00	0.64	0.64
12/01/2017	#01235729441	TRUST	AMCAP R3	0.00	14.39	94.0	0.00	13.53	13.53
12/01/2017	#00088457153	REYNOSO, MARTIN	AMERICAN BALANCED FUND INC CL C	0.00	19.93	94.0	0.00	18.73	18.73
12/01/2017	#00089121187		AMERICAN BALANCED FUND INC CL C	0.00	3.60	94.0	0.00	3.38	3.38
12/01/2017	#00075052101		AMERICAN BALANCED FUND INC CLASS A	0.00	8.25	94.0	0.00	7.76	7.76
12/01/2017	#00082476308		AMERICAN BALANCED FUND INC CLASS A	0.00	2.16	94.0	0.00	2.03	2.03
12/01/2017	#00084568467	ARTIS, SHEILA	AMERICAN BALANCED FUND INC CLASS A	0.00	10.10	94.0	0.00	9.49	9.49
12/01/2017	#00068787876	LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	0.00	10.38	94.0	0.00	9.76	9.76
12/01/2017	#00085514756	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	17.88	94.0	0.00	16.81	16.81
12/01/2017	#00085514794	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	12.36	94.0	0.00	11.62	11.62
12/01/2017	#00085514806	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	8.76	94.0	0.00	8.23	8.23
12/01/2017	#00086006772	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	3.24	94.0	0.00	3.05	3.05
12/01/2017	#00089704205	UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	4.83	94.0	0.00	4.54	4.54
12/01/2017	#00084199574		AMERICAN BALANCED FUND-529A	0.00	5.44	94.0	0.00	5.11	5.11
12/01/2017	#00084199618	UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	5.44	94.0	0.00	5.11	5.11
12/01/2017	#00084199643	UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	5.44	94.0	0.00	5.11	5.11

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00085904895 REYNOSO, SEAN	AMERICAN BALANCED FUND-529C	0.00	21.74	94.0	0.00	20.44	0.00	20.44
12/01/2017	#00086467381 MITTLESTEAD,	AMERICAN BALANCED FUND-529C	0.00	4.57	94.0	0.00	4.30	0.00	4.30
12/01/2017	#00086467436 MITTLESTEAD,	AMERICAN BALANCED FUND-529C	0.00	3.78	94.0	0.00	3.55	0.00	3.55
12/01/2017	#00067118530	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.12	94.0	0.00	1.05	0.00	1.05
12/01/2017	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	0.00	15.15	94.0	0.00	14.24	0.00	14.24
12/01/2017	#00075969272 ARTIS, SHEILA	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.63	94.0	0.00	2.47	0.00	2.47
12/01/2017	#00089704205 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	4.80	94.0	0.00	4.51	0.00	4.51
12/01/2017	#00084199574	AMERICAN MUTUAL FUND-529A	0.00	5.91	94.0	0.00	5.56	0.00	5.56
12/01/2017	#00084199618 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	5.91	94.0	0.00	5.56	0.00	5.56
12/01/2017	#00084199643 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	5.91	94.0	0.00	5.56	0.00	5.56
12/01/2017	#00086857981 REYNOSO, SEAN	BALANCED PORTFOLIO-529C	0.00	16.93	94.0	0.00	15.91	0.00	15.91
12/01/2017	#00075969272 ARTIS, SHEILA	BOND FUND OF AMERICA INC CL A	0.00	2.26	94.0	0.00	2.12	0.00	2.12
12/01/2017	#00084568467 ARTIS, SHEILA	CAPITAL INCOME BUILDER FD CL A	0.00	8.55	94.0	0.00	8.04	0.00	8.04
12/01/2017	#00075969272 ARTIS, SHEILA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.39	94.0	0.00	2.25	0.00	2.25
12/01/2017	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.24	94.0	0.00	5.87	0.00	5.87
12/01/2017	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	4.97	94.0	0.00	4.67	0.00	4.67
12/01/2017	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.53	94.0	0.00	3.32	0.00	3.32
12/01/2017	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.60	94.0	0.00	2.44	0.00	2.44
12/01/2017	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.19	94.0	0.00	1.12	0.00	1.12
12/01/2017	#00086467381 MITTLESTEAD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.53	94.0	0.00	2.38	0.00	2.38
12/01/2017	#00086467436 MITTLESTEAD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.18	94.0	0.00	2.99	0.00	2.99
12/01/2017	#00089704205 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.01	94.0	0.00	4.71	0.00	4.71
12/01/2017	#00084199574	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.14	94.0	0.00	4.83	0.00	4.83
12/01/2017	#00084199618 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.14	94.0	0.00	4.83	0.00	4.83
12/01/2017	#00084199643 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.14	94.0	0.00	4.83	0.00	4.83
12/01/2017	#00086416241 BOWMAN,	GLOBAL BALANCED FUND-529C	0.00	0.80	94.0	0.00	0.75	0.00	0.75
12/01/2017	#00086836039 JASSO, CORY	GLOBAL BALANCED FUND-C	0.00	2.66	94.0	0.00	2.50	0.00	2.50
12/01/2017	#00088415549 REYNOSO, MARTIN	GROWTH AND INCOME PORFOLIO	0.00	38.03	94.0	0.00	35.75	0.00	35.75
12/01/2017	#00086840595 HICKAM, TRACY	GROWTH FUND AMER INC CL C	0.00	3.41	94.0	0.00	3.21	0.00	3.21
12/01/2017	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	0.00	16.61	94.0	0.00	15.61	0.00	15.61
12/01/2017	#00086840595 HICKAM, TRACY	GROWTH FUND AMERICA INC CLASS A	0.00	7.48	94.0	0.00	7.03	0.00	7.03
12/01/2017	#00075483797 SMITH, AMBER	GROWTH FUND AMERICA INC CLASS A	0.00	1.74	94.0	0.00	1.64	0.00	1.64
12/01/2017	#00075969272 ARTIS, SHEILA	GROWTH FUND AMERICA INC CLASS A	0.00	2.85	94.0	0.00	2.68	0.00	2.68
12/01/2017	#00082432942 HARE, LYNNE	GROWTH FUND AMERICA INC CLASS A	0.00	0.19	94.0	0.00	0.18	0.00	0.18
12/01/2017	#00082476308	GROWTH FUND AMERICA INC CLASS A	0.00	1.70	94.0	0.00	1.60	0.00	1.60
12/01/2017	#00075969272 ARTIS, SHEILA	INCOME FUND OF AMERICA INC CLASS A	0.00	2.94	94.0	0.00	2.76	0.00	2.76

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00082476308	INCOME FUND OF AMERICA INC CLASS A	0.00	2.88	94.0	0.00	2.71	0.00	2.71
12/01/2017	#00084568467	ARTIS, SHEILA INTERMEDIATE BOND FUND OF AMERICA-A	0.00	7.19	94.0	0.00	6.76	0.00	6.76
12/01/2017	#00075969272	ARTIS, SHEILA INVESTMENT CO AMER CLASS A	0.00	3.44	94.0	0.00	3.23	0.00	3.23
12/01/2017	#00082476308	INVESTMENT CO AMER CLASS A	0.00	0.48	94.0	0.00	0.45	0.00	0.45
12/01/2017	#00067118530	NEW ECONOMY FUND SBI CLASS A	0.00	0.04	94.0	0.00	0.04	0.00	0.04
12/01/2017	#00086467381	MITTLESTEAD, NEW PERSPECTIVE FUND-529C	0.00	8.03	94.0	0.00	7.55	0.00	7.55
12/01/2017	#00086467436	MITTLESTEAD, NEW PERSPECTIVE FUND-529C	0.00	8.48	94.0	0.00	7.97	0.00	7.97
12/01/2017	#00067118530	NEW WORLD FUND INC NEW	0.00	0.05	94.0	0.00	0.05	0.00	0.05
12/01/2017	#00082524245	PAUSMA, JASON NEW WORLD FUND INC NEW	0.00	0.23	94.0	0.00	0.22	0.00	0.22
12/01/2017	#01241468383	TRUST NEW WORLD R3	0.00	43.72	94.0	0.00	41.10	0.00	41.10
12/01/2017	#01241471275	TRUST NEW WORLD R3	0.00	1.24	94.0	0.00	1.17	0.00	1.17
12/01/2017	#01241469440	TRUST NEW WORLD R3	0.00	2.78	94.0	0.00	2.61	0.00	2.61
12/01/2017	#01241468376	TRUST NEW WORLD R3	0.00	12.31	94.0	0.00	11.57	0.00	11.57
12/01/2017	#01241472946	TRUST NEW WORLD R3	0.00	0.45	94.0	0.00	0.42	0.00	0.42
12/01/2017	#00067118530	SMALLCAP WORLD FUND INC CLASS A	0.00	0.05	94.0	0.00	0.05	0.00	0.05
12/01/2017	#00085514756	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	2.11	94.0	0.00	1.98	0.00	1.98
12/01/2017	#00085514794	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	1.62	94.0	0.00	1.52	0.00	1.52
12/01/2017	#00085514806	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	1.30	94.0	0.00	1.22	0.00	1.22
12/01/2017	#00086006772	WILSON, ANDREW THE BOND FUND OF AMERICA-529A	0.00	0.76	94.0	0.00	0.71	0.00	0.71
12/01/2017	#00086467381	MITTLESTEAD, THE BOND FUND OF AMERICA-529C	0.00	1.40	94.0	0.00	1.32	0.00	1.32
12/01/2017	#00086467436	MITTLESTEAD, THE BOND FUND OF AMERICA-529C	0.00	1.40	94.0	0.00	1.32	0.00	1.32
12/01/2017	#00075969272	ARTIS, SHEILA THE TAX-EXEMPT FUND OF CALIFORNIA-A	0.00	1.39	94.0	0.00	1.31	0.00	1.31
12/01/2017	#00086467381	MITTLESTEAD, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	12.51	94.0	0.00	11.76	0.00	11.76
12/01/2017	#00086467436	MITTLESTEAD, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	14.60	94.0	0.00	13.72	0.00	13.72
12/01/2017	#01235428439	TRUST WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	1.88	94.0	0.00	1.77	0.00	1.77
12/01/2017	#01235428441	TRUST WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	0.67	94.0	0.00	0.63	0.00	0.63
12/01/2017	#01235428449	TRUST WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	7.01	94.0	0.00	6.59	0.00	6.59
12/01/2017	#01235428452	TRUST WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	42.67	94.0	0.00	40.11	0.00	40.11
12/01/2017	#00067118530	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.01	94.0	0.00	0.01	0.00	0.01
12/11/2017	# FUJIE OKINO TTEE	DAIN TRAILER	0.00	51.10	94.0	0.00	48.03	0.00	48.03
12/11/2017	# FUJIE OKINO TTEE	DAIN TRAILER	0.00	69.10	94.0	0.00	64.95	0.00	64.95
01/01/2018	#00090000527	TRUST BLENDED RESEARCH	0.00	153.65	94.0	0.00	144.43	0.00	144.43
01/01/2018	#00090001035	TRUST BLENDED RESEARCH	0.00	1.08	94.0	0.00	1.02	0.00	1.02
01/01/2018	#00090000528	TRUST BLENDED RESEARCH	0.00	8.14	94.0	0.00	7.65	0.00	7.65
01/01/2018	#00090011097	TRUST LIFETIME 2030	0.00	0.20	94.0	0.00	0.19	0.00	0.19
01/01/2018	#00090006445	TRUST MFS 55275E333	0.00	0.64	94.0	0.00	0.60	0.00	0.60

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/01/2018	#00090011005 TRUST	MFS 55275E705	0.00	0.33	94.0	0.00	0.31	0.00	0.31
01/01/2018	#00090011026 TRUST	MFS 55275E713	0.00	0.20	94.0	0.00	0.19	0.00	0.19
01/01/2018	#00090002805 TRUST	MFS 55279J569	0.00	0.20	94.0	0.00	0.19	0.00	0.19
01/01/2018	#00090002112 TRUST	MFS 55279J742	0.00	0.20	94.0	0.00	0.19	0.00	0.19
01/01/2018	#00090002115 TRUST	MFS 55279J833	0.00	0.20	94.0	0.00	0.19	0.00	0.19
01/01/2018	#00090001032 TRUST	MFS 552981490	0.00	10.63	94.0	0.00	9.99	0.00	9.99
01/01/2018	#00090004753 TRUST	MFS 552981565	0.00	0.42	94.0	0.00	0.39	0.00	0.39
01/08/2018	#01487226001 TRUST	FIDELITY ADV MID CAP-CL T	0.00	9.52	94.0	0.00	8.95	0.00	8.95
01/08/2018	#01464834835 TRUST	FIDELITY ADV SMALL CAP-CL T	0.00	15.80	94.0	0.00	14.85	0.00	14.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$915.96		\$0.00	\$861.01	\$0.00	\$861.01
*** BROKER TRANSACTIONS TOTAL ***			\$5,870.00	\$2,039.82		\$0.00	\$1,917.49	\$0.00	\$1,917.49

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,917.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,418.49
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