

**COMMISSION STATEMENT****May 15, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/02/2018	PETRYKOWSKI, KAZIMIERZ	HALYARD HEALTH INC COM	502.04	22.00	14.00	8.00	95.0	7.60
04/02/2018	PETRYKOWSKI, KAZIMIERZ	KIMBERLY CLARK CORP	9,648.33	22.00	14.00	8.00	95.0	7.60
04/02/2018	PETRYKOWSKI, KAZIMIERZ	RAYTHEON CO COM NEW	1,285.20	22.00	14.00	8.00	95.0	7.60
04/02/2018	PETRYKOWSKI, KAZIMIERZ	UNISYS CORPORATION	214.00	22.00	14.00	8.00	95.0	7.60
* STOCKS SUBTOTAL *			\$11,649.57	\$88.00	\$56.00	\$32.00		\$30.40
*** CLEARING TRANSACTIONS TOTAL ***			\$11,649.57	\$88.00	\$56.00	\$32.00		\$30.40

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/25/2018	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	42,959.18	36.48	0.00	36.48	95.0	34.66
04/25/2018	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	130,882.07	111.16	0.00	111.16	95.0	105.60
04/25/2018	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	50,477.17	42.87	0.00	42.87	95.0	40.73
04/25/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	83,207.94	70.67	0.00	70.67	95.0	67.14
04/25/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	76,087.83	64.63	0.00	64.63	95.0	61.40
04/25/2018	SUTTON, LYNETTE	BR TOTAL INC & REAL ESTATE FUND C	53,564.03	45.49	0.00	45.49	95.0	43.22
* INTERVAL FUNDS SUBTOTAL *			\$437,178.22	\$371.30	\$0.00	\$371.30		\$352.75

<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/23/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.88	0.00	1.88	95.0	1.79
05/04/2018	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
05/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/01/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
05/04/2018	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
04/30/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
04/30/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.50	0.00	0.50	95.0	0.48
04/30/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	50.00	0.50	0.00	0.50	95.0	0.48
/ /	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.50	0.00	0.50	95.0	0.48
04/30/2018	MOELLER, RICHARD	BLACKROCK 67776G477	50.00	0.50	0.00	0.50	95.0	0.48
* 529 PLANS SUBTOTAL *			\$500.00	\$14.78	\$0.00	\$14.78		\$14.08
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/20/2018	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
05/04/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.59	0.00	1.59	95.0	1.51
05/04/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.59	0.00	1.59	95.0	1.51
05/04/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.59	0.00	1.59	95.0	1.51
05/04/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.59	0.00	1.59	95.0	1.51
04/25/2018	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	95.0	1.79
05/02/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
05/04/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	0.96	0.00	0.96	95.0	0.91
05/04/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	0.96	0.00	0.96	95.0	0.91
05/04/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	0.96	0.00	0.96	95.0	0.91
05/04/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	0.96	0.00	0.96	95.0	0.91
05/04/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS AMERICAN MUTUAL C	880.00	8.80	0.00	8.80	95.0	8.36
05/04/2018	UNTIL AGE 18, MI/UTMA	AM FUNDS AMERICAN MUTUAL C	2,400.00	24.00	0.00	24.00	95.0	22.80
04/24/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
04/24/2018	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	35.00	1.75	0.00	1.75	95.0	1.66
04/23/2018	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	620.00	12.40	0.00	12.40	95.0	11.78
04/23/2018	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	187.50	3.75	0.00	3.75	95.0	3.56
05/01/2018	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
04/23/2018	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	620.00	12.40	0.00	12.40	95.0	11.78
04/23/2018	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	40.00	0.80	0.00	0.80	95.0	0.76
04/23/2018	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	600.00	12.00	0.00	12.00	95.0	11.40
04/23/2018	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	187.50	3.75	0.00	3.75	95.0	3.56
05/01/2018	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
05/03/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
05/04/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
05/04/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
04/23/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/04/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
05/04/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	60.00	0.60	0.00	0.60	95.0	0.57
05/04/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	60.00	0.60	0.00	0.60	95.0	0.57
04/23/2018	GINGELL, PAUL	AM FUNDS ICA A	620.00	12.40	0.00	12.40	95.0	11.78
04/23/2018	ALVEY, SHERRIE	AM FUNDS ICA A	187.50	3.75	0.00	3.75	95.0	3.56
04/30/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
05/01/2018	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
05/02/2018	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	6.38	0.00	6.38	95.0	6.06
05/04/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
05/04/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
04/23/2018	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	620.00	12.40	0.00	12.40	95.0	11.78
05/04/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
05/04/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
04/24/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
04/24/2018	BUTT, DENNIS	AM FUNDS NEW WORLD A	105.00	5.25	0.00	5.25	95.0	4.99
04/23/2018	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	187.50	3.75	0.00	3.75	95.0	3.56
05/04/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.64	0.00	0.64	95.0	0.61
05/04/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.64	0.00	0.64	95.0	0.61
04/23/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
05/04/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
05/04/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
05/04/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
04/16/2018	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	4,000.00	200.00	0.00	200.00	95.0	190.00
04/16/2018	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
04/16/2018	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
04/16/2018	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
04/30/2018	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
04/30/2018	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
04/30/2018	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
04/20/2018	CISSELL, JOHN	DAVIS OPPORTUNITY A	6,500.00	113.75	0.00	113.75	95.0	108.06
04/25/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.75	0.00	3.75	95.0	3.56
04/17/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
04/25/2018	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
/ /	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	0.00	2.55	0.00	2.55	95.0	2.42
04/16/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	45.90	0.46	0.00	0.46	95.0	0.44
04/16/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	80.99	0.81	0.00	0.81	95.0	0.77
04/16/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	53.88	0.54	0.00	0.54	95.0	0.51
04/30/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	23.19	0.23	0.00	0.23	95.0	0.22
04/30/2018	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	13.92	0.14	0.00	0.14	95.0	0.13
04/30/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	617.50	6.18	0.00	6.18	95.0	5.87
04/30/2018	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	150.00	1.50	0.00	1.50	95.0	1.43
04/30/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	110.00	1.10	0.00	1.10	95.0	1.05
04/30/2018	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	42.29	0.42	0.00	0.42	95.0	0.40
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	0.00	0.55	0.00	0.55	95.0	0.52
04/30/2018	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	21.15	0.21	0.00	0.21	95.0	0.20
/ /	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	0.00	2.33	0.00	2.33	95.0	2.21
04/30/2018	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	41.20	0.41	0.00	0.41	95.0	0.39
/ /	DITRI, ANN	OPPENHEIMER GLOBAL C	0.00	2.48	0.00	2.48	95.0	2.36
04/16/2018	DITRI, ANN	OPPENHEIMER GLOBAL C	44.55	0.45	0.00	0.45	95.0	0.43
04/30/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	22.51	0.23	0.00	0.23	95.0	0.22
04/30/2018	ELIAS, JANICE	OPPENHEIMER GLOBAL C	13.50	0.14	0.00	0.14	95.0	0.13
/ /	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	3.09	0.00	3.09	95.0	2.94
04/30/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	233.47	2.33	0.00	2.33	95.0	2.21
04/30/2018	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	41.20	0.41	0.00	0.41	95.0	0.39
04/30/2018	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	75.00	0.75	0.00	0.75	95.0	0.71
04/30/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	110.00	1.10	0.00	1.10	95.0	1.05
04/30/2018	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	42.29	0.42	0.00	0.42	95.0	0.40
04/30/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES C	200.00	2.00	0.00	2.00	95.0	1.90
04/30/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.00	0.30	0.00	0.30	95.0	0.29
04/30/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES C	225.00	2.25	0.00	2.25	95.0	2.14
04/30/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES C	33.75	0.34	0.00	0.34	95.0	0.32
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND C	0.00	0.81	0.00	0.81	95.0	0.77

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	BAKER, STEVEN	OPPENHEIMER INTL BOND C	53.89	0.54	0.00	0.54	95.0	0.51
04/16/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	200.00	0.81	0.00	0.81	95.0	0.77
04/16/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	53.88	0.54	0.00	0.54	95.0	0.51
/ /	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	0.00	2.41	0.00	2.41	95.0	2.29
04/30/2018	DAMORE, MONICA	OPPENHEIMER INTL SMALL CO C	42.45	0.42	0.00	0.42	95.0	0.40
/ /	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	0.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	22.51	0.23	0.00	0.23	95.0	0.22
04/30/2018	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	13.50	0.14	0.00	0.14	95.0	0.13
04/30/2018	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
/ /	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	0.00	0.50	0.00	0.50	95.0	0.48
04/30/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET C	200.00	2.00	0.00	2.00	95.0	1.90
04/30/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET C	30.00	0.30	0.00	0.30	95.0	0.29
04/30/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET C	225.00	2.25	0.00	2.25	95.0	2.14
04/30/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET C	33.75	0.34	0.00	0.34	95.0	0.32
/ /	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	1.50	0.00	1.50	95.0	1.43
04/30/2018	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	125.26	1.25	0.00	1.25	95.0	1.19
/ /	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	0.00	6.00	0.00	6.00	95.0	5.70
04/16/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	80.99	0.81	0.00	0.81	95.0	0.77
04/16/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	53.88	0.54	0.00	0.54	95.0	0.51
04/30/2018	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	85.19	0.85	0.00	0.85	95.0	0.81
04/30/2018	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
04/30/2018	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
04/16/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE C	60.00	2.00	0.00	2.00	95.0	1.90
04/30/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE C	30.00	0.30	0.00	0.30	95.0	0.29
04/30/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE C	225.00	2.25	0.00	2.25	95.0	2.14
04/30/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE C	33.75	0.34	0.00	0.34	95.0	0.32
/ /	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	1.00	0.00	1.00	95.0	0.95
04/30/2018	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	54.39	0.54	0.00	0.54	95.0	0.51
/ /	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	0.00	0.80	0.00	0.80	95.0	0.76
04/30/2018	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
/ /	DITRI, ANN	OPPENHEIMER VALUE C	0.00	2.48	0.00	2.48	95.0	2.36
04/16/2018	DITRI, ANN	OPPENHEIMER VALUE C	44.55	0.45	0.00	0.45	95.0	0.43
04/30/2018	DINKA, JOHN	OPPENHEIMER VALUE C	617.50	6.18	0.00	6.18	95.0	5.87

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2018	DINKA, JOHN	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
04/30/2018	TRBOVICH, NICK	OPPENHEIMER VALUE C	200.00	2.00	0.00	2.00	95.0	1.90
04/30/2018	TRBOVICH, NICK	OPPENHEIMER VALUE C	30.00	0.30	0.00	0.30	95.0	0.29
04/30/2018	TRBOVICH, MARY	OPPENHEIMER VALUE C	225.00	2.25	0.00	2.25	95.0	2.14
04/30/2018	TRBOVICH, MARY	OPPENHEIMER VALUE C	33.75	0.34	0.00	0.34	95.0	0.32
04/18/2018	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	100.00	2.50	0.00	2.50	95.0	2.38
* MUTUAL FUNDS SUBTOTAL *			\$25,990.53	\$607.12	\$0.00	\$607.12		\$576.84
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	DIEM, LINDA	JOHN HANCOCK VENTURE	681.63	0.14	0.00	0.14	95.0	0.13
04/30/2018	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	7,878.93	1.64	0.00	1.64	95.0	1.56
04/30/2018	DANIEL, SABRINA	JOHN HANCOCK VENTURE	302.28	0.06	0.00	0.06	95.0	0.06
* VARIABLE ANNUITIES SUBTOTAL *			\$8,862.84	\$1.84	\$0.00	\$1.84		\$1.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2018	FLAM, VARIOUS	DREYFUS	345,005.26	70.89	0.00	70.89	95.0	67.35
04/30/2018	JOHNSON, VARIOUS	DREYFUS	29,724.12	6.11	0.00	6.11	95.0	5.80
04/30/2018	CFGROUP, VARIOUS	DREYFUS	67,585.58	13.87	0.00	13.87	95.0	13.18
04/13/2018	DAVIS, LYNN	DWS CORE EQUITY FUND A	0.00	1.15	0.00	1.15	95.0	1.09
04/13/2018	ENDLICH, RICHARD	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	119.16	0.00	119.16	95.0	113.20
04/13/2018	DAVIS, LYNN	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	1.06	0.00	1.06	95.0	1.01
04/13/2018	DAVENPORT, JOHN	DWS DEUTSCHE CORE PLUS INCOME FUND-	0.00	0.02	0.00	0.02	95.0	0.02
04/13/2018	HENDERSON, OSCAR	DWS DEUTSCHE GLOBAL GROWTH FUND-A	0.00	13.92	0.00	13.92	95.0	13.22
04/13/2018	REVITZER, SANDRA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	51.82	0.00	51.82	95.0	49.23
04/13/2018	ROSS, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	74.02	0.00	74.02	95.0	70.32
04/13/2018	WARMANN TRUST, WALTER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	28.53	0.00	28.53	95.0	27.10
04/13/2018	JAGGER, ALBERT	DWS DEUTSCHE HIGH INCOME FUND A	0.00	86.90	0.00	86.90	95.0	82.56
04/13/2018	ADRAGNA, NICOLE	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.42	0.00	2.42	95.0	2.30
04/13/2018	CIAGLO, BETTY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.07	0.00	7.07	95.0	6.72
04/13/2018	OLWEEAN, ADAM	DWS DEUTSCHE HIGH INCOME FUND A	0.00	33.62	0.00	33.62	95.0	31.94
04/13/2018	HOSLEY, KATHLEEN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	0.61	0.00	0.61	95.0	0.58
04/13/2018	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	0.00	11.11	0.00	11.11	95.0	10.55
04/13/2018	WARMANN TRUST, WALTER	DWS DEUTSCHE UNCONSTRAINED INCOME F	0.00	2.26	0.00	2.26	95.0	2.15
04/13/2018	WARMANN TRUST, RUTH	DWS DEUTSCHE UNCONSTRAINED INCOME F	0.00	2.25	0.00	2.25	95.0	2.14

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/13/2018	PATINOS, GLORIA	DWS GLOBAL INCOME BUILDER A	0.00	23.42	0.00	23.42	95.0	22.25
04/13/2018	DOBROTH, THERESA	DWS GLOBAL INCOME BUILDER A	0.00	18.27	0.00	18.27	95.0	17.36
04/13/2018	CISSELL, PATRICIA	DWSE HIGH INCOME FUND C	0.00	308.72	0.00	308.72	95.0	293.28
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.07	0.00	0.07	95.0	0.07
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.58	0.00	0.58	95.0	0.55
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.71	0.00	0.71	95.0	0.67
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.71	0.00	0.71	95.0	0.67
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.15	0.00	1.15	95.0	1.09
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.35	0.00	1.35	95.0	1.28
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	26.03	0.00	26.03	95.0	24.73
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.92	0.00	1.92	95.0	1.82
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	13.61	0.00	13.61	95.0	12.93
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	9.63	0.00	9.63	95.0	9.15
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.92	0.00	1.92	95.0	1.82
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.57	0.00	1.57	95.0	1.49
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.20	0.00	2.20	95.0	2.09
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	20.29	0.00	20.29	95.0	19.28
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.31	0.00	3.31	95.0	3.14
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.29	0.00	0.29	95.0	0.28
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.31	0.00	7.31	95.0	6.94
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.99	0.00	1.99	95.0	1.89
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	3.99	0.00	3.99	95.0	3.79
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.54	0.00	3.54	95.0	3.36
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.24	0.00	1.24	95.0	1.18
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.33	0.00	3.33	95.0	3.16
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.63	0.00	1.63	95.0	1.55

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.68	0.00	0.68	95.0	0.65
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
04/18/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	93.06	0.00	93.06	95.0	88.41
04/18/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	279.19	0.00	279.19	95.0	265.23
04/18/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.36	0.00	11.36	95.0	10.79
04/18/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	34.09	0.00	34.09	95.0	32.39
/ /	WAGNER, SHARON	GUGGENHEIM NASDAQ 100-H	0.00	0.37	0.00	0.37	95.0	0.35
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.66	0.00	0.66	95.0	0.63
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	2.09	0.00	2.09	95.0	1.99
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.51	0.00	5.51	95.0	5.23
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	13.35	0.00	13.35	95.0	12.68
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.81	0.00	0.81	95.0	0.77
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	17.98	0.00	17.98	95.0	17.08
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	4.99	0.00	4.99	95.0	4.74
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.26	0.00	2.26	95.0	2.15
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	5.01	0.00	5.01	95.0	4.76
05/01/2018	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	0.01	0.00	0.01	95.0	0.01
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.30	0.00	1.30	95.0	1.24
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	4.24	0.00	4.24	95.0	4.03
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.92	0.00	1.92	95.0	1.82
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.19	0.00	0.19	95.0	0.18
05/02/2018	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	33.26	0.00	33.26	95.0	31.60
05/02/2018	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	33.26	0.00	33.26	95.0	31.60
05/02/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.93	0.00	4.93	95.0	4.68
05/02/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	6.48	0.00	6.48	95.0	6.16
05/02/2018	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	0.34	0.00	0.34	95.0	0.32
05/02/2018	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	20.88	0.00	20.88	95.0	19.84
05/02/2018	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	2.15	0.00	2.15	95.0	2.04
05/02/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	9.97	0.00	9.97	95.0	9.47
05/02/2018	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.46	0.00	0.46	95.0	0.44
05/02/2018	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.00	0.00	1.00	95.0	0.95
05/02/2018	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	86.43	0.00	86.43	95.0	82.11
05/02/2018	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	20.49	0.00	20.49	95.0	19.47

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.14	0.00	12.14	95.0	11.53
05/02/2018	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.08	0.00	4.08	95.0	3.88
05/02/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	27.78	0.00	27.78	95.0	26.39
05/02/2018	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	9.12	0.00	9.12	95.0	8.66
05/02/2018	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.42	0.00	10.42	95.0	9.90
05/02/2018	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	39.27	0.00	39.27	95.0	37.31
05/02/2018	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	11.85	0.00	11.85	95.0	11.26
05/02/2018	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.33	0.00	12.33	95.0	11.71
05/02/2018	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	20.20	0.00	20.20	95.0	19.19
05/02/2018	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	95.0	1.44
05/02/2018	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	58.45	0.00	58.45	95.0	55.53
05/02/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	37.78	0.00	37.78	95.0	35.89
05/02/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	41.64	0.00	41.64	95.0	39.56
05/02/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	22.45	0.00	22.45	95.0	21.33
05/02/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	48.92	0.00	48.92	95.0	46.47
05/02/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.37	0.00	13.37	95.0	12.70
05/02/2018	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	49.35	0.00	49.35	95.0	46.88
05/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	27.57	0.00	27.57	95.0	26.19
05/02/2018	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	38.82	0.00	38.82	95.0	36.88
05/02/2018	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	23.78	0.00	23.78	95.0	22.59
05/02/2018	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	24.35	0.00	24.35	95.0	23.13
05/02/2018	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.92	0.00	4.92	95.0	4.67
05/02/2018	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	6.63	0.00	6.63	95.0	6.30
05/02/2018	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.36	0.00	13.36	95.0	12.69
05/02/2018	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.17	0.00	5.17	95.0	4.91
05/02/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	13.95	0.00	13.95	95.0	13.25
05/02/2018	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	5.67	0.00	5.67	95.0	5.39
05/02/2018	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.24	0.00	1.24	95.0	1.18
05/02/2018	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	7.75	0.00	7.75	95.0	7.36
05/02/2018	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.26	0.00	14.26	95.0	13.55
05/02/2018	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	4.58	0.00	4.58	95.0	4.35
05/02/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.64	0.00	4.64	95.0	4.41
05/02/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	10.09	0.00	10.09	95.0	9.59

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/02/2018	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.92	0.00	0.92	95.0	0.87
05/02/2018	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	32.85	0.00	32.85	95.0	31.21
05/02/2018	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	26.53	0.00	26.53	95.0	25.20
05/02/2018	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.18	0.00	9.18	95.0	8.72
05/02/2018	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.28	0.00	11.28	95.0	10.72
05/02/2018	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	206.64	0.00	206.64	95.0	196.31
05/02/2018	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.44	0.00	9.44	95.0	8.97
05/02/2018	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	21.66	0.00	21.66	95.0	20.58
05/02/2018	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	23.59	0.00	23.59	95.0	22.41
05/02/2018	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.68	0.00	11.68	95.0	11.10
05/02/2018	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	15.81	0.00	15.81	95.0	15.02
05/02/2018	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.10	0.00	0.10	95.0	0.10
05/02/2018	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	25.38	0.00	25.38	95.0	24.11
05/02/2018	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	57.58	0.00	57.58	95.0	54.70
05/02/2018	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	37.99	0.00	37.99	95.0	36.09
05/02/2018	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	32.01	0.00	32.01	95.0	30.41
05/02/2018	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	44.13	0.00	44.13	95.0	41.92
05/02/2018	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.76	0.00	11.76	95.0	11.17
05/02/2018	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.56	0.00	3.56	95.0	3.38
05/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.67	0.00	9.67	95.0	9.19
05/02/2018	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	15.82	0.00	15.82	95.0	15.03
05/02/2018	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	113.36	0.00	113.36	95.0	107.69
05/02/2018	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	55.19	0.00	55.19	95.0	52.43
05/02/2018	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.80	0.00	9.80	95.0	9.31
05/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.04	0.00	10.04	95.0	9.54
05/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.07	0.00	5.07	95.0	4.82
05/02/2018	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.69	0.00	2.69	95.0	2.56
05/02/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	44.30	0.00	44.30	95.0	42.09
05/02/2018	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.48	0.00	3.48	95.0	3.31
05/02/2018	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	151.44	0.00	151.44	95.0	143.87
05/02/2018	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	54.02	0.00	54.02	95.0	51.32
05/02/2018	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.09	0.00	2.09	95.0	1.99
05/02/2018	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.29	0.00	3.29	95.0	3.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/02/2018	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	12.91	0.00	12.91	95.0	12.26
05/02/2018	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.09	0.00	13.09	95.0	12.44
05/02/2018	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	8.19	0.00	8.19	95.0	7.78
05/02/2018	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	112.19	0.00	112.19	95.0	106.58
05/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	27.45	0.00	27.45	95.0	26.08
05/02/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.76	0.00	0.76	95.0	0.72
05/02/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	85.03	0.00	85.03	95.0	80.78
05/02/2018	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	4.32	0.00	4.32	95.0	4.10
05/02/2018	MANOLATOS, STEVE	TRANSAMERICA AA MODERATE C	0.00	7.67	0.00	7.67	95.0	7.29
05/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.64	0.00	9.64	95.0	9.16
05/02/2018	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.33	0.00	0.33	95.0	0.31
05/02/2018	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	12.97	0.00	12.97	95.0	12.32
05/02/2018	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	9.09	0.00	9.09	95.0	8.64
05/02/2018	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	35.00	0.00	35.00	95.0	33.25
05/02/2018	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	25.29	0.00	25.29	95.0	24.03
05/02/2018	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	10.61	0.00	10.61	95.0	10.08
05/02/2018	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	5.12	0.00	5.12	95.0	4.86
05/02/2018	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	14.19	0.00	14.19	95.0	13.48
05/02/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	12.30	0.00	12.30	95.0	11.69
05/02/2018	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	14.93	0.00	14.93	95.0	14.18
05/02/2018	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.96	0.00	2.96	95.0	2.81
05/02/2018	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.20	0.00	6.20	95.0	5.89
05/02/2018	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.43	0.00	7.43	95.0	7.06
05/02/2018	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	33.30	0.00	33.30	95.0	31.64
05/02/2018	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	110.42	0.00	110.42	95.0	104.90
05/02/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	43.69	0.00	43.69	95.0	41.51
05/02/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.88	0.00	6.88	95.0	6.54
05/02/2018	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.91	0.00	2.91	95.0	2.76
05/02/2018	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.70	0.00	2.70	95.0	2.57
05/02/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.21	0.00	16.21	95.0	15.40
05/02/2018	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.20	0.00	1.20	95.0	1.14
05/02/2018	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.10	0.00	12.10	95.0	11.50
05/02/2018	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.00	0.00	17.00	95.0	16.15

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/02/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	39.16	0.00	39.16	95.0	37.20
05/02/2018	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	46.24	0.00	46.24	95.0	43.93
05/02/2018	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.48	0.00	10.48	95.0	9.96
05/02/2018	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	99.50	0.00	99.50	95.0	94.53
05/02/2018	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	133.43	0.00	133.43	95.0	126.76
05/02/2018	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	75.62	0.00	75.62	95.0	71.84
05/02/2018	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	15.90	0.00	15.90	95.0	15.11
05/02/2018	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	100.36	0.00	100.36	95.0	95.34
05/02/2018	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	43.23	0.00	43.23	95.0	41.07
05/02/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	20.63	0.00	20.63	95.0	19.60
05/02/2018	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.98	0.00	28.98	95.0	27.53
05/02/2018	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.05	0.00	6.05	95.0	5.75
05/02/2018	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	9.49	0.00	9.49	95.0	9.02
05/02/2018	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	9.49	0.00	9.49	95.0	9.02
05/02/2018	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	36.61	0.00	36.61	95.0	34.78
05/02/2018	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	21.93	0.00	21.93	95.0	20.83
05/02/2018	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	60.45	0.00	60.45	95.0	57.43
05/02/2018	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.60	0.00	4.60	95.0	4.37
05/02/2018	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.51	0.00	5.51	95.0	5.23
05/02/2018	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.90	0.00	1.90	95.0	1.81
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$442,314.96	\$4,828.59	\$0.00	\$4,828.59		\$4,587.27
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	BYERS, SHARRON	GENWORTH TRAILS	111,123.20	18.52	0.00	18.52	95.0	17.59
04/30/2018	EMBACH, KEVIN	GENWORTH TRAILS	82,813.01	69.01	0.00	69.01	95.0	65.56
04/30/2018	EMBACH, JAMES	GENWORTH TRAILS	82,813.01	69.01	0.00	69.01	95.0	65.56
04/30/2018	ZACK, MAUREEN	GENWORTH TRAILS	82,813.02	69.01	0.00	69.01	95.0	65.56
04/30/2018	HARTOUNIAN, HAGOB	GENWORTH TRAILS	11,839.62	9.87	0.00	9.87	95.0	9.38
04/30/2018	MCCLAIN, DOROTHY	GENWORTH TRAILS	100,926.19	84.11	0.00	84.11	95.0	79.90
04/30/2018	BERTRAND, C JERRY	JNL ELITE ACCESS	0.00	30.66	0.00	30.66	95.0	29.13
04/30/2018	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	0.00	21.23	0.00	21.23	95.0	20.17
04/30/2018	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	0.00	43.13	0.00	43.13	95.0	40.97
04/30/2018	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	0.00	0.44	0.00	0.44	95.0	0.42
04/30/2018	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	0.00	12.35	0.00	12.35	95.0	11.73

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/30/2018	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	0.00	19.26	0.00	19.26	95.0	18.30
04/30/2018	RANG, MARILYN	JNL PERSPECTIVE II 05/05	0.00	30.41	0.00	30.41	95.0	28.89
04/30/2018	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	0.00	73.25	0.00	73.25	95.0	69.59
04/30/2018	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	0.00	53.50	0.00	53.50	95.0	50.83
04/30/2018	PIROZZO, PETER	JNL PERSPECTIVE L	0.00	62.26	0.00	62.26	95.0	59.15
04/30/2018	LEGGE, GERALD	JNL PERSPECTIVE L	0.00	335.69	0.00	335.69	95.0	318.91
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$472,328.05	\$1,001.71	\$0.00	\$1,001.71		\$951.64
*** DIRECT TRANSACTIONS TOTAL ***			\$1,387,174.60	\$6,825.34	\$0.00	\$6,825.34		\$6,484.33

SPLIT TRANSACTIONS**BROKER: MARK, 103 KOSANKE #3**

04/10/2018	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.43	0.00	0.43	95.0	0.41
04/10/2018	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	95.0	0.21
04/10/2018	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.76	0.00	2.76	95.0	2.62
04/10/2018	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	95.0	0.19
04/10/2018	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	95.0	0.50
04/10/2018	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	13.38	0.00	13.38	95.0	12.71
04/10/2018	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	7.26	0.00	7.26	95.0	6.90
04/10/2018	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.37	0.00	1.37	95.0	1.30
04/10/2018	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.72	0.00	5.72	95.0	5.43
04/10/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.72	0.00	1.72	95.0	1.63
04/10/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.72	0.00	1.72	95.0	1.63
04/10/2018	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.89	0.00	2.89	95.0	2.75
04/10/2018	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	17.95	0.00	17.95	95.0	17.05
04/10/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	11.16	0.00	11.16	95.0	10.60
04/10/2018	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	21.22	0.00	21.22	95.0	20.16
04/13/2018	KRAUSE, BARBARA	DWS SEUTSCHE MANAGED MUNICIPAL BOND	0.00	90.59	0.00	90.59	95.0	86.06
04/10/2018	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.74	0.00	0.74	95.0	0.70
04/10/2018	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.49	0.00	2.49	95.0	2.37
04/10/2018	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.55	0.00	7.55	95.0	7.17
04/30/2018	WILLIAMSON, CHLOE	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	0.46	0.00	0.46	95.0	0.44
04/30/2018	TAYLOR, DAVID	FRANKLIN BALANCE SHEET INVST A	0.00	0.22	0.00	0.22	95.0	0.21

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
04/30/2018	EILLISON, BARBARA	FRANKLIN CA TF INCOME A	0.00	23.93	0.00	23.93	95.0	22.73
04/30/2018	CASTELLI, FRED	FRANKLIN CA TF INCOME A	0.00	2.00	0.00	2.00	95.0	1.90
04/30/2018	PRICE, PATRICIA	FRANKLIN CONV SEC A	0.00	1.18	0.00	1.18	95.0	1.12
04/30/2018	OSBORN, RICHARD	FRANKLIN DYNATECH A	0.00	6.93	0.00	6.93	95.0	6.58
04/30/2018	WATSON, ROBERT	FRANKLIN DYNATECH C	0.00	11.19	0.00	11.19	95.0	10.63
04/30/2018	SCHONER, ALAN	FRANKLIN EQUITY INCOME A	0.00	19.37	0.00	19.37	95.0	18.40
04/30/2018	PRICE, PATRICIA	FRANKLIN EQUITY INCOME A	0.00	5.20	0.00	5.20	95.0	4.94
04/30/2018	KRAUSE, BARBARA	FRANKLIN FED TAX FREE INCOME A	0.00	35.49	0.00	35.49	95.0	33.72
04/30/2018	KRAUSE, MICHAEL	FRANKLIN FED TAX FREE INCOME A	0.00	1.07	0.00	1.07	95.0	1.02
04/30/2018	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN FED TAX FREE INCOME A	0.00	8.89	0.00	8.89	95.0	8.45
04/30/2018	WILLIAMSON, CHLOE	FRANKLIN FOUNDING FUNDS 529 PORT C	0.00	2.20	0.00	2.20	95.0	2.09
04/30/2018	ROBINSON, BRUCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.31	0.00	2.31	95.0	2.19
04/30/2018	LOFTUS, SUSAN	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.80	0.00	0.80	95.0	0.76
04/30/2018	MICHON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.01	0.00	1.01	95.0	0.96
04/30/2018	AKERS, JAMES	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.56	0.00	1.56	95.0	1.48
04/30/2018	PATRICK, BEVERLY	FRANKLIN GROWTH A	0.00	1.47	0.00	1.47	95.0	1.40
04/30/2018	GREGORY J ELBERT, C/O	FRANKLIN GROWTH A	0.00	0.39	0.00	0.39	95.0	0.37
04/30/2018	SAAR, ALEXIS	FRANKLIN GROWTH A	0.00	0.29	0.00	0.29	95.0	0.28
04/30/2018	FORD, JOHN	FRANKLIN GROWTH A	0.00	0.24	0.00	0.24	95.0	0.23
04/30/2018	DAVIS, JAMES	FRANKLIN GROWTH A	0.00	1.14	0.00	1.14	95.0	1.08
04/30/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	0.00	132.82	0.00	132.82	95.0	126.18
04/30/2018	WILEY, MATTALYN	FRANKLIN GROWTH OPP A	0.00	0.65	0.00	0.65	95.0	0.62
04/30/2018	OSBORN, RICHARD	FRANKLIN GROWTH OPP A	0.00	3.68	0.00	3.68	95.0	3.50
04/30/2018	FORD, JOHN	FRANKLIN GROWTH OPP A	0.00	0.62	0.00	0.62	95.0	0.59
04/30/2018	HENKIN TRUST, RAYMOND & EDEN	FRANKLIN HIGH INCOME A	0.00	12.91	0.00	12.91	95.0	12.26
04/30/2018	CELANI, JOSEPH	FRANKLIN HIGH INCOME A	0.00	4.61	0.00	4.61	95.0	4.38
04/30/2018	CELANI, MAURIZIO	FRANKLIN HIGH INCOME A	0.00	13.88	0.00	13.88	95.0	13.19
04/30/2018	PRICE, PATRICIA	FRANKLIN HIGH INCOME A	0.00	0.59	0.00	0.59	95.0	0.56
04/30/2018	MANNING, JOHN	FRANKLIN HIGH INCOME C	0.00	45.59	0.00	45.59	95.0	43.31
04/30/2018	SCHARL, MICHAEL	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.56	0.00	0.56	95.0	0.53
04/30/2018	RUTKOWSKI, DONALD	FRANKLIN HIGH YIELD TAX FREE A	0.00	11.47	0.00	11.47	95.0	10.90
04/30/2018	DYER, TRACY	FRANKLIN HIGH YIELD TAX FREE A	0.00	0.35	0.00	0.35	95.0	0.33
04/30/2018	CHADICK TRUST	FRANKLIN INCOME A	0.00	4.41	0.00	4.41	95.0	4.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
04/30/2018	LAZARUS, CONSTANTINE	FRANKLIN INCOME A	0.00	59.81	0.00	59.81	95.0	56.82
04/30/2018	LAZARUS, HELEN	FRANKLIN INCOME A	0.00	28.50	0.00	28.50	95.0	27.08
04/30/2018	SMYKOWSKI, JAMES	FRANKLIN INCOME A	0.00	21.84	0.00	21.84	95.0	20.75
04/30/2018	WILSON, JANET	FRANKLIN INCOME A	0.00	0.72	0.00	0.72	95.0	0.68
04/30/2018	HATFIELD MCDUFFIE, JOYCE	FRANKLIN INCOME A	0.00	3.13	0.00	3.13	95.0	2.97
04/30/2018	MATSURA, MATTHEW	FRANKLIN INCOME A	0.00	7.56	0.00	7.56	95.0	7.18
04/30/2018	ALLEN, LAUREN	FRANKLIN INCOME A	0.00	7.09	0.00	7.09	95.0	6.74
04/30/2018	GOUDREAU, RACHEL	FRANKLIN INCOME A	0.00	1.86	0.00	1.86	95.0	1.77
04/30/2018	MATYASIC, JULIE	FRANKLIN INCOME A	0.00	3.04	0.00	3.04	95.0	2.89
04/30/2018	RICHARDSON, BLAKE	FRANKLIN INCOME A	0.00	3.10	0.00	3.10	95.0	2.95
04/30/2018	NELLES, TROY	FRANKLIN INCOME A	0.00	0.48	0.00	0.48	95.0	0.46
04/30/2018	CHANDLER, JOHN	FRANKLIN INCOME A	0.00	7.42	0.00	7.42	95.0	7.05
04/30/2018	PRICE, PATRICIA	FRANKLIN INCOME A	0.00	3.65	0.00	3.65	95.0	3.47
04/30/2018	PAUL, DARLEEN	FRANKLIN INCOME A	0.00	1.06	0.00	1.06	95.0	1.01
04/30/2018	DAVIS, JAMES	FRANKLIN INCOME A	0.00	16.55	0.00	16.55	95.0	15.72
04/30/2018	DAVIS, RITA	FRANKLIN INCOME A	0.00	19.00	0.00	19.00	95.0	18.05
04/30/2018	DENNIS L FUSINSKI, BENE	FRANKLIN INCOME A	0.00	11.09	0.00	11.09	95.0	10.54
04/30/2018	MANOLATOS, STEVE	FRANKLIN INCOME A	0.00	27.14	0.00	27.14	95.0	25.78
04/30/2018	WALKER, SUE	FRANKLIN INCOME A	0.00	39.62	0.00	39.62	95.0	37.64
04/30/2018	DAVIS, JAMES	FRANKLIN INT'L SMALL CAP GROWTH	0.00	23.46	0.00	23.46	95.0	22.29
04/30/2018	STROUSE, MARILYN	FRANKLIN MI TAX FR INCOME A	0.00	21.08	0.00	21.08	95.0	20.03
04/30/2018	BELSER, JOHN	FRANKLIN MI TAX FR INCOME A	0.00	1.54	0.00	1.54	95.0	1.46
04/30/2018	BROWN, KAY	FRANKLIN MI TAX FR INCOME A	0.00	3.06	0.00	3.06	95.0	2.91
04/30/2018	LAGA, LAURA	FRANKLIN MI TAX FR INCOME A	0.00	1.56	0.00	1.56	95.0	1.48
04/30/2018	WORTHY, MILDRED	FRANKLIN MI TAX FR INCOME A	0.00	5.99	0.00	5.99	95.0	5.69
04/30/2018	SMITH, JILL	FRANKLIN MI TAX FR INCOME A	0.00	2.50	0.00	2.50	95.0	2.38
04/30/2018	SZOCINSKI, CASIMER	FRANKLIN MI TAX FR INCOME A	0.00	5.40	0.00	5.40	95.0	5.13
04/30/2018	JENDRUSINA, ROGER	FRANKLIN MI TAX FR INCOME A	0.00	11.21	0.00	11.21	95.0	10.65
04/30/2018	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	0.85	0.00	0.85	95.0	0.81
04/30/2018	ULISSE, DONALD	FRANKLIN MUTUAL BEACON C	0.00	901.32	0.00	901.32	95.0	856.25
04/30/2018	PRICE, PATRICIA	FRANKLIN MUTUAL SHARES A	0.00	7.66	0.00	7.66	95.0	7.28
04/30/2018	LOFTUS, SUSAN	FRANKLIN NATURAL RESOURCES A	0.00	0.41	0.00	0.41	95.0	0.39
04/30/2018	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.80	0.00	3.80	95.0	3.61

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<u>SPLIT TRANSACTIONS</u>								
BROKER: MARK, 103 KOSANKE #3								
04/30/2018	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.42	0.00	13.42	95.0	12.75
04/30/2018	WALKER TRUST, SUE	FRANKLIN OH TAX FR INCOME FUND	0.00	8.64	0.00	8.64	95.0	8.21
04/30/2018	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.36	0.00	1.36	95.0	1.29
04/30/2018	PRICE, PATRICIA	FRANKLIN RISING DIVIDENDS A	0.00	6.94	0.00	6.94	95.0	6.59
04/30/2018	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	14.00	0.00	14.00	95.0	13.30
04/30/2018	RUSHLOW, JEAN	FRANKLIN RISING DIVIDENDS A	0.00	8.68	0.00	8.68	95.0	8.25
04/30/2018	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	14.64	0.00	14.64	95.0	13.91
04/30/2018	RUSHLOW, LAWRENCE	FRANKLIN RISING DIVIDENDS A	0.00	8.68	0.00	8.68	95.0	8.25
04/30/2018	MANOLATOS, STEVE	FRANKLIN RISING DIVIDENDS A	0.00	90.51	0.00	90.51	95.0	85.98
04/30/2018	SALLEY, PAMELA	FRANKLIN SMALL CAP GROWTH A	0.00	0.74	0.00	0.74	95.0	0.70
04/30/2018	MATYASIC, JULIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	5.30	0.00	5.30	95.0	5.04
04/30/2018	SWARTZ, ERIC	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	11.89	0.00	11.89	95.0	11.30
04/30/2018	ELBERT, NATHANIAL	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	0.26	0.00	0.26	95.0	0.25
04/30/2018	WULFF, STEVEN	FRANKLIN STRATEGIC INCOME C	0.00	10.46	0.00	10.46	95.0	9.94
04/30/2018	BELSER, HELEN	FRANKLIN TN MUNI BOND A	0.00	7.22	0.00	7.22	95.0	6.86
04/30/2018	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.00	0.00	5.00	95.0	4.75
04/30/2018	GIRTY, MABLE	FRANKLIN US GOVT SEC FUND A	0.00	4.78	0.00	4.78	95.0	4.54
04/30/2018	SCHORNAK-YEE, DEBRA	FRANKLIN US GOVT SEC FUND A	0.00	0.75	0.00	0.75	95.0	0.71
04/30/2018	MICHAELS, RONALD	FRANKLIN US GOVT SEC FUND A	0.00	10.12	0.00	10.12	95.0	9.61
04/30/2018	MICHAELS, RENEE	FRANKLIN US GOVT SEC FUND A	0.00	4.06	0.00	4.06	95.0	3.86
04/30/2018	WORTHY, MILDRED	FRANKLIN US GOVT SEC FUND A	0.00	4.20	0.00	4.20	95.0	3.99
04/30/2018	MICHAELS, RICK	FRANKLIN US GOVT SEC FUND A	0.00	18.32	0.00	18.32	95.0	17.40
04/30/2018	MEHLER, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.38	0.00	0.38	95.0	0.36
04/30/2018	LORI RUTH THOMASSY, BENE	FRANKLIN US GOVT SEC FUND A	0.00	0.47	0.00	0.47	95.0	0.45
04/30/2018	OBIE, BRADFORD	FRANKLIN US GOVT SEC FUND A	0.00	3.47	0.00	3.47	95.0	3.30
04/30/2018	WULFF, STEVEN	FRANKLIN US GOVT SEC FUND C	0.00	10.43	0.00	10.43	95.0	9.91
04/30/2018	BARTOLONE, DANA	FRANKLIN US GOVT SEC FUND C	0.00	34.33	0.00	34.33	95.0	32.61
04/30/2018	MCKENZIE TRUST, WALTER &	FRANKLIN UTILITIES A	0.00	3.88	0.00	3.88	95.0	3.69
04/30/2018	MAY, PEGGY	FRANKLIN UTILITIES A	0.00	1.09	0.00	1.09	95.0	1.04
04/30/2018	BAEKELANDT, KARL	FRANKLIN UTILITIES A	0.00	22.20	0.00	22.20	95.0	21.09
04/30/2018	WATTS, MARIA	FRANKLIN UTILITIES A	0.00	0.24	0.00	0.24	95.0	0.23
04/30/2018	SEVESTIAN JR, JOHN	FRANKLIN UTILITIES A	0.00	0.92	0.00	0.92	95.0	0.87
04/30/2018	MACCAGNONE, GARY	FT FOUNDING FDS ALLOC C	0.00	2.17	0.00	2.17	95.0	2.06

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
04/30/2018	DUDA, ANNA	FT FOUNDING FUNDS ALLOC A	0.00	3.54	0.00	3.54	95.0	3.36
04/30/2018	KRASS, DELORES	JNL PERSPECTIVE REWARDS	0.00	3.00	0.00	3.00	95.0	2.85
04/10/2018	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.29	0.00	0.29	95.0	0.28
04/30/2018	CEBALT, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	1.86	0.00	1.86	95.0	1.77
04/30/2018	ELBERT, NATHANIAL	TEMPLETON DEVELOPING MKTS A	0.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	WILEY, MATTALYN	TEMPLETON DEVELOPING MKTS A	0.00	0.68	0.00	0.68	95.0	0.65
04/30/2018	LOFTUS, SUSAN	TEMPLETON DEVELOPING MKTS A	0.00	0.82	0.00	0.82	95.0	0.78
04/30/2018	HENDERSON JT TEN, CAROLYN	TEMPLETON DEVELOPING MKTS A	0.00	2.49	0.00	2.49	95.0	2.37
04/30/2018	ROCCA, JOHN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.95	0.00	2.95	95.0	2.80
04/30/2018	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	6.82	0.00	6.82	95.0	6.48
04/30/2018	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	9.79	0.00	9.79	95.0	9.30
04/30/2018	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	12.89	0.00	12.89	95.0	12.25
04/30/2018	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	473.76	0.00	473.76	95.0	450.07
04/30/2018	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	4.31	0.00	4.31	95.0	4.09
04/30/2018	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	7.37	0.00	7.37	95.0	7.00
04/30/2018	HOVIS, RYAN	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	KOLLINGER, KELLY	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	KOLLINGER, ROBERT	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	KOLLINGER, CODY	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	HOVIS, FARREN	TEMPLETON FOREIGN FUND A	0.00	0.60	0.00	0.60	95.0	0.57
04/30/2018	HOVIS, BARBARA	TEMPLETON FOREIGN FUND A	0.00	0.57	0.00	0.57	95.0	0.54
04/30/2018	KOLLINGER, KAILYN	TEMPLETON FOREIGN FUND A	0.00	0.63	0.00	0.63	95.0	0.60
04/30/2018	MATYASIC, JULIE	TEMPLETON FOREIGN FUND A	0.00	3.88	0.00	3.88	95.0	3.69
04/30/2018	FORD, JOHN	TEMPLETON FOREIGN SMCO A	0.00	0.25	0.00	0.25	95.0	0.24
04/30/2018	ROCCA, JOHN	TEMPLETON FRONTIER MARKETS A	0.00	2.04	0.00	2.04	95.0	1.94
04/30/2018	MANOLATOS, STEVE	TEMPLETON GLOBAL BOND A	0.00	66.49	0.00	66.49	95.0	63.17
04/30/2018	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.68	0.00	2.68	95.0	2.55
04/30/2018	MARCUS, PAMELA	TEMPLETON GROWTH A	0.00	127.16	0.00	127.16	95.0	120.80
04/30/2018	KEELER, DAVID	TEMPLETON GROWTH A	0.00	39.95	0.00	39.95	95.0	37.95
04/30/2018	BITTIKER, STUART	TEMPLETON GROWTH A	0.00	3.69	0.00	3.69	95.0	3.51
04/30/2018	PAUL, DARLEEN	TEMPLETON GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44
04/30/2018	RUBIN, BRITTANY	TEMPLETON GROWTH A	0.00	8.28	0.00	8.28	95.0	7.87
04/30/2018	KILDAL, KATIE	TEMPLETON GROWTH A	0.00	5.05	0.00	5.05	95.0	4.80

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
04/30/2018	CHESNEY TRUST, CHRISTINE	TEMPLETON GROWTH C	0.00	13.98	0.00	13.98	95.0	13.28
04/30/2018	WALSH, MICHAEL	TEMPLETON WORLD A	0.00	0.82	0.00	0.82	95.0	0.78
04/30/2018	O'CONNOR, FRANK	TEMPLETON WORLD A	0.00	31.08	0.00	31.08	95.0	29.53
04/30/2018	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	134.03	0.00	134.03	95.0	127.33
04/30/2018	RICHARD, GLENN	TEMPLETON WORLD A	0.00	55.34	0.00	55.34	95.0	52.57
04/30/2018	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	225.02	0.00	225.02	95.0	213.77
04/30/2018	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	85.08	0.00	85.08	95.0	80.83
04/30/2018	JENDRUSINA, ROGER	TEMPLETON WORLD A	0.00	132.40	0.00	132.40	95.0	125.78
04/30/2018	MURRAY, ANN	TEMPLETON WORLD A	0.00	30.03	0.00	30.03	95.0	28.53
04/30/2018	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.66	0.00	1.66	95.0	1.58
04/30/2018	FLORIE, TERRENCE	TEMPLETON WORLD A	0.00	0.54	0.00	0.54	95.0	0.51
04/30/2018	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	32.00	0.00	32.00	95.0	30.40
04/30/2018	SCHWARZ, JAMES	TEMPLETON WORLD A	0.00	7.58	0.00	7.58	95.0	7.20
04/30/2018	GREENE, KARLI	TEMPLETON WORLD A	0.00	8.72	0.00	8.72	95.0	8.28
04/30/2018	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.39	0.00	0.39	95.0	0.37
04/30/2018	WAMPLER TRUST	TEMPLETON WORLD A	0.00	19.19	0.00	19.19	95.0	18.23
04/30/2018	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	2.77	0.00	2.77	95.0	2.63
05/02/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	28.88	0.00	28.88	95.0	27.44
04/24/2018	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.77	0.00	0.77	95.0	0.73
04/24/2018	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.23	0.00	1.23	95.0	1.17
* MARK, 103 KOSANKE SUBTOTAL *			\$0.00	\$3,738.95	\$0.00	\$3,738.95		\$3,552.09
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	1.88	0.00	1.88	95.0	1.79
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$1.88	\$0.00	\$1.88		\$1.79
<u>BROKER: GROUP, B119 FLAM/BUSSELL #32</u>								
04/30/2018	M, OSIP	DELAWARE VALUE A	0.00	1.29	0.00	1.29	95.0	1.23
* GROUP, B119 FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.29	\$0.00	\$1.29		\$1.23
<u>BROKER: PETER JOHNSON #63</u>								
04/30/2018	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	24.98	0.00	24.98	95.0	23.73
04/30/2018	KAPELN, J	DELAWARE TAX FREE USA A	0.00	8.93	0.00	8.93	95.0	8.48
04/30/2018	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.96	0.00	6.96	95.0	6.61
04/30/2018	PAPPAS, LARRY	DELAWARE VALUE A	0.00	33.56	0.00	33.56	95.0	31.88

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: FRANK DUNN #7 </u>								
		* PETER JOHNSON SUBTOTAL *	\$0.00	\$74.43	\$0.00	\$74.43		\$70.70
		*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$3,816.55	\$0.00	\$3,816.55		\$3,625.81

<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: FRANK DUNN #7 </u>								
03/31/2018	LABANCA, SOL	GRIFFIN-AMERICAN HC REIT IV T	100,000.00	246.58	0.00	246.58	90.0	12.33
03/31/2018	BRENT, MARY	GRIFFIN-AMERICAN HC REIT IV T	50,000.00	123.29	0.00	123.29	90.0	6.16
03/31/2018	SCARLATO, MARY	GRIFFIN-AMERICAN HC REIT IV T	50,000.00	123.29	0.00	123.29	90.0	6.16
03/31/2018	HOOGENBOOM, ROBERT	GRIFFIN-AMERICAN HC REIT IV T	100,000.00	246.58	0.00	246.58	90.0	12.33
		* FRANK DUNN SUBTOTAL *	\$300,000.00	\$739.74	\$0.00	\$739.74		\$36.98
<u> BROKER: ALEX KOWALSKI #17 </u>								
04/23/2018	AM MOKLER PROPERTIES	INLAND ZERO COUPON CHICAGOLAND DST	850,000.00	42,500.00	0.00	42,500.00	85.0	2,125.00
		* ALEX KOWALSKI SUBTOTAL *	\$850,000.00	\$42,500.00	\$0.00	\$42,500.00		\$2,125.00

<u> BROKER: THOMAS SCHNEIDER #30 </u>								
04/27/2018	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	14,794.79	3.08	0.00	3.08	0.0	2.93
04/27/2018	KELLER, MICHELLE	SBL ADVISOR PROGRAM	28,997.74	6.05	0.00	6.05	0.0	5.75
04/27/2018	YOUNG, MARCIA	SBL ADVISOR PROGRAM	25,414.20	5.29	0.00	5.29	0.0	5.03
04/27/2018	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	7,564.75	1.57	0.00	1.57	0.0	1.49
04/27/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	7,023.38	1.46	0.00	1.46	0.0	1.39
04/27/2018	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	8,744.85	1.83	0.00	1.83	0.0	1.74
04/27/2018	GREGORY, CAROL	SBL ADVISORDESIGNS VA	18,490.51	3.85	0.00	3.85	0.0	3.66
04/27/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,514.94	1.37	0.00	1.37	0.0	1.30
04/27/2018	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,787.26	1.20	0.00	1.20	0.0	1.14
04/27/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,279.38	0.68	0.00	0.68	0.0	0.65
04/27/2018	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,664.23	1.39	0.00	1.39	0.0	1.32
04/27/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,792.09	1.63	0.00	1.63	0.0	1.55
04/27/2018	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,280.14	1.72	0.00	1.72	0.0	1.63
04/27/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,575.89	1.58	0.00	1.58	0.0	1.50
04/27/2018	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	20,688.71	4.31	0.00	4.31	0.0	4.09
04/27/2018	HARTONG, DEAN	SBL ADVISORDESIGNS VA	10,794.87	2.25	0.00	2.25	0.0	2.14
04/27/2018	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	8,186.66	1.70	0.00	1.70	0.0	1.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS SCHNEIDER #30 </u>								
04/27/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,639.30	0.76	0.00	0.76	0.0	0.72
04/27/2018	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	132,186.75	27.54	0.00	27.54	0.0	26.16
04/27/2018	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	6,260.46	1.30	0.00	1.30	0.0	1.24
* THOMAS SCHNEIDER SUBTOTAL *			\$338,680.90	\$70.56	\$0.00	\$70.56		\$67.05
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,488,680.90	\$43,310.30	\$0.00	\$43,310.30		\$2,229.03

ADJUSTMENTS

05/15/2018	*EO INS: MONTHLY - HEATHER	0.00						-300.00
05/15/2018	*TECHNOLOGY: MONTHLY - HEATHER	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$6,514.73
Split Transactions	\$3,625.81
Override Transactions	\$2,229.03
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,794.57
Year-to-date Gross	\$142,503.49
Year-to-date Earnings	\$145,567.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed