



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2018

02/19/2018
Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: G0B
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	10,483.38	75.00	22.50	52.50	100.0	0.00
^02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	8,154.68	75.00	22.50	52.50	100.0	0.00
^02/01/2018	DOLCE, CHARLES	APPLE INC COM	-3,523.22	75.00	22.50	52.50	100.0	0.00
* STOCKS SUBTOTAL *			\$15,114.84	\$225.00	\$67.50	\$157.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$15,114.84	\$225.00	\$67.50	\$157.50		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
^01/24/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	18.19	0.00	18.19	100.0	0.00
* REIT SUBTOTAL *			\$0.00	\$18.19	\$0.00	\$18.19		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^01/10/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	59.92	0.00	59.92	100.0	0.00
^01/10/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	120.73	0.00	120.73	100.0	0.00
^01/10/2018	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.56	0.00	0.56	100.0	0.00
^01/22/2018	PAVIS, NANCY	CORP PROPERTY ASSOC 18 GLOBAL INC C	0.00	111.51	0.00	111.51	100.0	0.00
^01/19/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.04	0.00	2.04	100.0	0.00
^01/24/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.50	0.00	12.50	100.0	0.00
^01/24/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.11	0.00	5.11	100.0	0.00
^01/24/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.35	0.00	8.35	100.0	0.00
^01/24/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.28	0.00	2.28	100.0	0.00
^01/24/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	18.37	0.00	18.37	100.0	0.00
^01/24/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.33	0.00	10.33	100.0	0.00
^01/24/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.04	0.00	3.04	100.0	0.00
^01/24/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	16.41	0.00	16.41	100.0	0.00
^01/24/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	9.14	0.00	9.14	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^01/24/2018	STARK, LINDA	MAINSTAY MAP A	0.00	1.93	0.00	1.93	100.0	0.00
^01/22/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	9.98	0.00	9.98	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$392.20	\$0.00	\$392.20		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$410.39	\$0.00	\$410.39		\$0.00

ADJUSTMENTS

02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					0.00
02/15/2018		*TECH: ADMIN FULMER	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.11
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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