



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
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FRANK DUNN
56328 Fireglass Loop
P.O. Box 3628
Bend, OR 97701

BROKER #: D116
BRANCH: FRANK DUNN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
10/24/2017	MCNICOL, JAMES	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$25,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$25,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
<u>ADJUSTMENTS</u>								
11/15/2017		*CONCIERGE SVC: 201427 202062	0.00					-100.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*TECH-BASIC: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,350.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$675.00
Year-to-date Gross	\$78,990.84
Year-to-date Earnings	\$70,969.74