

COMMISSION STATEMENT
March 30, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/30/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-350.00	0.00	-350.00	-127.93
03/30/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	662.34	0.00	662.34	242.09
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$312.34</u>	<u>\$0.00</u>	<u>\$312.34</u>	<u>\$114.16</u>

PRODUCT CATEGORY: STOCKS

03/30/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-51.06	0.00	-51.06	-18.66
03/30/2018	CUDA (73670), TRACY	SAI SECURITIES INCOME	0.00	100.00	0.00	100.00	36.55
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$48.94</u>	<u>\$0.00</u>	<u>\$48.94</u>	<u>\$17.89</u>

PRODUCT CATEGORY: RIA

03/30/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	880.18	0.00	880.18	321.71
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$880.18</u>	<u>\$0.00</u>	<u>\$880.18</u>	<u>\$321.71</u>

PRODUCT CATEGORY: TRAILERS

03/30/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	311.51	0.00	311.51	113.86
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$311.51</u>	<u>\$0.00</u>	<u>\$311.51</u>	<u>\$113.86</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$1,552.97</u>	<u>\$0.00</u>	<u>\$1,552.97</u>	<u>\$567.62</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

03/30/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	548.56	0.00	548.56	73.78
03/30/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	57.47	0.00	57.47	7.73
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$606.03</u>	<u>\$0.00</u>	<u>\$606.03</u>	<u>\$81.51</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$606.03</u>	<u>\$0.00</u>	<u>\$606.03</u>	<u>\$81.51</u>

COMMISSION STATEMENT for TRACY CUDA

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Company: Securities America (continued)

ADJUSTMENTS

16. SAI SIPC PE 3/23/18							-43.26
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-43.26

BROKER COMMISSION TOTALS

Broker Transactions	\$567.62
Split Transactions	\$0.00
Override Transactions	\$81.51
Adjustments	\$-43.26
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$605.87
Year-to-date Earnings	\$112,428.07