



Concorde Investment Services, LLC

01/13/2017  
Page No. 1

## COMMISSION STATEMENT

January 17, 2017

MICHELE BOYER

9561 Deerhorn Court  
see rep list for mailing addre  
Parker, CO 80134

BROKER #: B144 Terminated 09/15/2016

BRANCH: MICHELE BOYER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2016	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	22.19	0.22	0.00	0.22	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	22.54	0.23	0.00	0.23	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.74	0.64	0.00	0.64	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	11.02	0.19	0.00	0.19	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.80	0.64	0.00	0.64	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	11.04	0.19	0.00	0.19	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.22	0.22	0.00	0.22	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.22	0.22	0.00	0.22	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.16	0.22	0.00	0.22	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.16	0.22	0.00	0.22	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	458.14	4.58	0.00	4.58	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	137.44	1.37	0.00	1.37	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	443.35	4.43	0.00	4.43	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	133.01	1.33	0.00	1.33	0.0	0.00
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	0.00
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	0.00
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	0.00
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.00	0.35	0.00	0.35	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.00	0.35	0.00	0.35	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.83	0.36	0.00	0.36	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.83	0.36	0.00	0.36	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	0.00
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	0.00
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	0.00
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.23	0.22	0.00	0.22	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.23	0.22	0.00	0.22	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.17	0.22	0.00	0.22	0.0	0.00
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.17	0.22	0.00	0.22	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	196.34	1.96	0.00	1.96	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	58.91	0.59	0.00	0.59	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	190.01	1.90	0.00	1.90	0.0	0.00
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	57.00	0.57	0.00	0.57	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	36.76	0.64	0.00	0.64	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	11.04	0.19	0.00	0.19	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	36.80	0.64	0.00	0.64	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	11.03	0.19	0.00	0.19	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.01	0.35	0.00	0.35	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.01	0.35	0.00	0.35	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.84	0.36	0.00	0.36	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.84	0.36	0.00	0.36	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	46.24	0.46	0.00	0.46	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	23.12	0.23	0.00	0.23	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	52.93	0.53	0.00	0.53	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	26.46	0.26	0.00	0.26	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.39	0.51	0.00	0.51	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.82	0.15	0.00	0.15	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.44	0.52	0.00	0.52	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.83	0.15	0.00	0.15	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	33.07	0.58	0.00	0.58	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.92	0.17	0.00	0.17	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	33.12	0.58	0.00	0.58	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.94	0.17	0.00	0.17	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	202.39	2.02	0.00	2.02	0.0	0.00
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	202.39	2.02	0.00	2.02	0.0	0.00
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	185.11	1.85	0.00	1.85	0.0	0.00
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	185.11	1.85	0.00	1.85	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	11.08	0.11	0.00	0.11	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	11.28	0.11	0.00	0.11	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	34.68	0.35	0.00	0.35	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	17.34	0.17	0.00	0.17	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	39.69	0.40	0.00	0.40	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	19.85	0.20	0.00	0.20	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	46.25	0.46	0.00	0.46	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	23.12	0.23	0.00	0.23	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	52.94	0.53	0.00	0.53	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	26.45	0.26	0.00	0.26	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	55.11	0.96	0.00	0.96	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.53	0.29	0.00	0.29	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	55.20	0.97	0.00	0.97	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.56	0.29	0.00	0.29	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	9.00	0.16	0.00	0.16	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	9.00	0.16	0.00	0.16	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	34.68	0.35	0.00	0.35	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	17.34	0.17	0.00	0.17	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	39.69	0.40	0.00	0.40	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	19.85	0.20	0.00	0.20	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	22.19	0.22	0.00	0.22	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	22.54	0.23	0.00	0.23	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	33.28	0.33	0.00	0.33	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	33.81	0.34	0.00	0.34	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	9.00	0.16	0.00	0.16	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	9.00	0.16	0.00	0.16	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	34.68	0.35	0.00	0.35	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	17.34	0.17	0.00	0.17	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	39.69	0.40	0.00	0.40	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	19.85	0.20	0.00	0.20	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	22.19	0.22	0.00	0.22	0.0	0.00
12/27/2016	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	22.54	0.23	0.00	0.23	0.0	0.00
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	0.00
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	0.00
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	0.00
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	37.18	0.37	0.00	0.37	0.0	0.00
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	38.06	0.38	0.00	0.38	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	38.06	0.38	0.00	0.38	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	34.68	0.35	0.00	0.35	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	17.34	0.17	0.00	0.17	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	39.69	0.40	0.00	0.40	0.0	0.00
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	19.85	0.20	0.00	0.20	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.74	0.64	0.00	0.64	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	11.02	0.19	0.00	0.19	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.80	0.64	0.00	0.64	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	11.04	0.19	0.00	0.19	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	55.11	0.96	0.00	0.96	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.53	0.29	0.00	0.29	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	55.20	0.97	0.00	0.97	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.56	0.29	0.00	0.29	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	33.07	0.58	0.00	0.58	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.92	0.17	0.00	0.17	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	33.12	0.58	0.00	0.58	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.94	0.17	0.00	0.17	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	33.07	0.58	0.00	0.58	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	9.92	0.17	0.00	0.17	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	33.12	0.58	0.00	0.58	0.0	0.00
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	9.94	0.17	0.00	0.17	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	13.50	0.24	0.00	0.24	0.0	0.00
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	13.50	0.24	0.00	0.24	0.0	0.00
01/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	0.97	0.00	0.97	0.0	0.00
01/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.94	0.00	1.94	0.0	0.00
01/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.87	0.00	0.87	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$7,370.69</b>	<b>\$90.52</b>	<b>\$0.00</b>	<b>\$90.52</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$7,370.69	\$90.52	\$0.00	\$90.52		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$90.52</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed