

**COMMISSION STATEMENT****October 31, 2017****DAMIAN DERING**

165 Culver Blvd

Suite A

Playa Del Rey, CA 90293

**BROKER #: D127**

BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/11/2017	AVONDO, ROSE	ASSETMARK	1,170.00	1.04	0.00	1.04	90.0	0.94
10/11/2017	AVONDO, ROSE	ASSETMARK	-1,170.00	-1.00	0.00	-1.00	90.0	-0.90
10/11/2017	CHUCK, LAURENCE	ASSETMARK	487.50	0.27	0.00	0.27	90.0	0.24
10/11/2017	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.25	0.00	-0.25	90.0	-0.23
10/11/2017	HAVENS, JACK	ASSETMARK	6,500.00	1.20	0.00	1.20	90.0	1.08
10/11/2017	HAVENS, JACK	ASSETMARK	-6,500.00	-0.89	0.00	-0.89	90.0	-0.80
10/11/2017	JOHNSON, MORGAN	ASSETMARK	1,000.00	0.59	0.00	0.59	90.0	0.53
10/11/2017	MORTIMER, RICHARD	ASSETMARK	6,168.35	1.94	0.00	1.94	90.0	1.75
10/11/2017	PEARSON, ROBERT	ASSETMARK	1,000.00	0.50	0.00	0.50	90.0	0.45
10/11/2017	SECRIST HOLDINGS LLC	ASSETMARK	4,279.27	1.98	0.00	1.98	90.0	1.78
10/17/2017	AGUILUZ, LUCILLE	ASSETMARK	135,127.12	430.99	0.00	430.99	90.0	387.89
10/17/2017	AGUILUZ, LUCILLE	ASSETMARK	124,214.26	396.18	0.00	396.18	90.0	356.56
10/17/2017	AMIN, CHIRAG	ASSETMARK	124,565.78	225.59	0.00	225.59	90.0	203.03
10/17/2017	AMIN, CHIRAG	ASSETMARK	2,733,664.80	4,408.49	0.00	4,408.49	90.0	3,967.64
10/17/2017	ARMAGANIAN, ANDREA	ASSETMARK	297,124.54	748.75	0.00	748.75	90.0	673.88
10/17/2017	ARMAGANIAN, ANDREA	ASSETMARK	46,430.40	146.26	0.00	146.26	90.0	131.63
10/17/2017	AVONDO, ROSE	ASSETMARK	102,152.37	321.78	0.00	321.78	90.0	289.60
10/17/2017	AVONDO, ROSE	ASSETMARK	217,515.47	685.17	0.00	685.17	90.0	616.65
10/17/2017	BAGAMASPAD, FELICITAS	ASSETMARK	100,290.47	315.91	0.00	315.91	90.0	284.32
10/17/2017	BAUTISTA, FEDERICO	ASSETMARK	154,660.51	487.18	0.00	487.18	90.0	438.46
10/17/2017	BAUTISTA, FEDERICO	ASSETMARK	72,335.91	227.86	0.00	227.86	90.0	205.07
10/17/2017	BEELE, FRANCES	ASSETMARK	8,357.13	26.32	0.00	26.32	90.0	23.69
10/17/2017	BOLENE, JAMES	ASSETMARK	77,351.80	243.66	0.00	243.66	90.0	219.29
10/17/2017	CASANOVA, JESSE	ASSETMARK	531,206.02	1,338.64	0.00	1,338.64	90.0	1,204.78
10/17/2017	CASANOVA, KENDRA	ASSETMARK	52,529.31	178.70	0.00	178.70	90.0	160.83
10/17/2017	CASANOVA, KENDRA	ASSETMARK	25,381.51	86.35	0.00	86.35	90.0	77.72

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	CHUCK, LAURENCE	ASSETMARK	28,559.21	89.96	0.00	89.96	90.0	80.96
10/17/2017	CHUCK, LAURENCE	ASSETMARK	48,252.70	152.00	0.00	152.00	90.0	136.80
10/17/2017	CHUCK, LAURENCE	ASSETMARK	335,819.48	1,057.83	0.00	1,057.83	90.0	952.05
10/17/2017	COHEN, JANET	ASSETMARK	7,140.52	23.28	0.00	23.28	90.0	20.95
10/17/2017	COHEN, JANET	ASSETMARK	87,159.08	109.82	0.00	109.82	90.0	98.84
10/17/2017	COHEN, JANET	ASSETMARK	114,526.07	144.30	0.00	144.30	90.0	129.87
10/17/2017	CROCKETT, PATRICIA	ASSETMARK	102,757.95	328.01	0.00	328.01	90.0	295.21
10/17/2017	CROCKETT, PATRICIA	ASSETMARK	97,482.19	311.17	0.00	311.17	90.0	280.05
10/17/2017	CROCKETT, PATRICIA	ASSETMARK	56,844.88	181.45	0.00	181.45	90.0	163.31
10/17/2017	DAINES, AUSTYN	ASSETMARK	20,660.57	70.29	0.00	70.29	90.0	63.26
10/17/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	15,434.76	52.51	0.00	52.51	90.0	47.26
10/17/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	12,357.97	38.72	0.00	38.72	90.0	34.85
10/17/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	281,974.65	883.40	0.00	883.40	90.0	795.06
10/17/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	14,308.62	44.83	0.00	44.83	90.0	40.35
10/17/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	13,178.03	41.29	0.00	41.29	90.0	37.16
10/17/2017	DERING, WILLIAM	ASSETMARK	3,804.99	12.94	0.00	12.94	90.0	11.65
10/17/2017	DERING, WILLIAM	ASSETMARK	49,245.25	167.53	0.00	167.53	90.0	150.78
10/17/2017	DERING, WILLIAM	ASSETMARK	73,492.89	250.02	0.00	250.02	90.0	225.02
10/17/2017	ENCINA, ANTONIO	ASSETMARK	8,084.26	25.47	0.00	25.47	90.0	22.92
10/17/2017	ENCINA, ANTONIO	ASSETMARK	42,624.98	134.27	0.00	134.27	90.0	120.84
10/17/2017	ENCINA, ANTONIO	ASSETMARK	214,263.04	674.93	0.00	674.93	90.0	607.44
10/17/2017	ERICKSON, KAY	ASSETMARK	53,420.42	168.27	0.00	168.27	90.0	151.44
10/17/2017	ERICKSON, KAY	ASSETMARK	124,787.47	314.46	0.00	314.46	90.0	283.01
10/17/2017	ESC INSURANCE CO	ASSETMARK	213,465.20	694.22	0.00	694.22	90.0	624.80
10/17/2017	FARFAN, FRANK	ASSETMARK	20,585.61	70.03	0.00	70.03	90.0	63.03
10/17/2017	FARFAN, FRANK	ASSETMARK	8,000.80	27.22	0.00	27.22	90.0	24.50
10/17/2017	FARFAN, FRANK	ASSETMARK	61,728.00	210.00	0.00	210.00	90.0	189.00
10/17/2017	FARFAN, FRANK	ASSETMARK	43,405.48	147.67	0.00	147.67	90.0	132.90
10/17/2017	GIBSON, PATRICK	ASSETMARK	165,022.05	415.86	0.00	415.86	90.0	374.27
10/17/2017	GIBSON, PATRICK	ASSETMARK	309,421.50	779.74	0.00	779.74	90.0	701.77
10/17/2017	GIBSON, PATRICK	ASSETMARK	132,561.13	334.05	0.00	334.05	90.0	300.65
10/17/2017	GIBSON, PATRICK	ASSETMARK	71,370.36	179.85	0.00	179.85	90.0	161.87
10/17/2017	GREEN, ROY	ASSETMARK	288,392.28	726.75	0.00	726.75	90.0	654.08

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	HAVENS, JACK	ASSETMARK	21,993.32	74.82	0.00	74.82	90.0	67.34
10/17/2017	HAVENS, JACK	ASSETMARK	87,529.39	275.72	0.00	275.72	90.0	248.15
10/17/2017	HAVENS, JACK	ASSETMARK	17,148.56	54.02	0.00	54.02	90.0	48.62
10/17/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	27,705.83	87.27	0.00	87.27	90.0	78.54
10/17/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	18,752.38	59.07	0.00	59.07	90.0	53.16
10/17/2017	JOHNSON, MORGAN	ASSETMARK	11,524.81	39.21	0.00	39.21	90.0	35.29
10/17/2017	JOHNSON, MORGAN	ASSETMARK	5,977.27	20.33	0.00	20.33	90.0	18.30
10/17/2017	JOHNSON, MORGAN	ASSETMARK	7,956.83	27.07	0.00	27.07	90.0	24.36
10/17/2017	KUSUNOKI, MIKE	ASSETMARK	12,924.21	40.71	0.00	40.71	90.0	36.64
10/17/2017	KUSUNOKI, MIKE	ASSETMARK	61,390.54	193.38	0.00	193.38	90.0	174.04
10/17/2017	KUSUNOKI, MIKE	ASSETMARK	9,406.34	29.63	0.00	29.63	90.0	26.67
10/17/2017	KUSUNOKI, MIKE	ASSETMARK	49,487.36	155.89	0.00	155.89	90.0	140.30
10/17/2017	LICHTENSTEIN, LOUISE	ASSETMARK	166,385.10	513.24	0.00	513.24	90.0	461.92
10/17/2017	LICHTENSTEIN, LOUISE	ASSETMARK	238,630.25	736.09	0.00	736.09	90.0	662.48
10/17/2017	MITSCH, JAY	ASSETMARK	11,735.98	39.93	0.00	39.93	90.0	35.94
10/17/2017	MITSCH, JAY	ASSETMARK	4,551.68	15.48	0.00	15.48	90.0	13.93
10/17/2017	MITSCH, JAY	ASSETMARK	6,811.41	23.17	0.00	23.17	90.0	20.85
10/17/2017	MOORE, JODIE	ASSETMARK	9,540.18	30.05	0.00	30.05	90.0	27.05
10/17/2017	MOORE, JODIE	ASSETMARK	17,360.49	54.69	0.00	54.69	90.0	49.22
10/17/2017	MOORE, JODIE	ASSETMARK	33,888.82	106.75	0.00	106.75	90.0	96.08
10/17/2017	MORTIMER, RICHARD	ASSETMARK	228,163.79	732.48	0.00	732.48	90.0	659.23
10/17/2017	MORTIMER, RICHARD	ASSETMARK	13,898.69	44.62	0.00	44.62	90.0	40.16
10/17/2017	O'CONNELL, MAUREEN	ASSETMARK	55,966.56	165.20	0.00	165.20	90.0	148.68
10/17/2017	O'CONNELL, MAUREEN	ASSETMARK	224,389.26	662.33	0.00	662.33	90.0	596.10
10/17/2017	O'CONNELL, MAUREEN	ASSETMARK	179,268.45	529.15	0.00	529.15	90.0	476.24
10/17/2017	O'CONNELL, MAUREEN	ASSETMARK	127,772.00	377.15	0.00	377.15	90.0	339.44
10/17/2017	PON, AGNES	ASSETMARK	26,606.52	83.81	0.00	83.81	90.0	75.43
10/17/2017	PRIETO, DANA	ASSETMARK	130,435.41	443.74	0.00	443.74	90.0	399.37
10/17/2017	RICHMOND, JACOB	ASSETMARK	1,864.26	6.34	0.00	6.34	90.0	5.71
10/17/2017	RICHMOND, JACOB	ASSETMARK	2,094.75	7.13	0.00	7.13	90.0	6.42
10/17/2017	ROBELO, FRANSISCO	ASSETMARK	121,455.45	382.58	0.00	382.58	90.0	344.32
10/17/2017	PEARSON, ROBERT	ASSETMARK	152,885.60	481.59	0.00	481.59	90.0	433.43
10/17/2017	PEARSON, ROBERT	ASSETMARK	172,369.02	542.96	0.00	542.96	90.0	488.66

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	PEARSON, ROBERT	ASSETMARK	123,686.24	389.61	0.00	389.61	90.0	350.65
10/17/2017	PEARSON, ROBERT	ASSETMARK	18,702.15	57.22	0.00	57.22	90.0	51.50
10/17/2017	RTJ MANAGEMENT	ASSETMARK	67,585.53	170.32	0.00	170.32	90.0	153.29
10/17/2017	RTJ MANAGEMENT	ASSETMARK	563,993.04	1,421.26	0.00	1,421.26	90.0	1,279.13
10/17/2017	RTJ MANAGEMENT	ASSETMARK	319,030.41	803.96	0.00	803.96	90.0	723.56
10/17/2017	RUSSELL, RONALD	ASSETMARK	27,110.12	68.32	0.00	68.32	90.0	61.49
10/17/2017	RUSSELL, RONALD	ASSETMARK	245,426.90	618.48	0.00	618.48	90.0	556.63
10/17/2017	RUSSELL, RYAN	ASSETMARK	31,307.27	98.62	0.00	98.62	90.0	88.76
10/17/2017	RUSSELL, RYAN	ASSETMARK	2,184.47	6.88	0.00	6.88	90.0	6.19
10/17/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	119,722.68	194.20	0.00	194.20	90.0	174.78
10/17/2017	SCANE, DONALD	ASSETMARK	36,293.15	106.01	0.00	106.01	90.0	95.41
10/17/2017	SCANE, DONALD	ASSETMARK	203,824.39	642.05	0.00	642.05	90.0	577.85
10/17/2017	SCANE, DONALD	ASSETMARK	353,193.27	1,112.56	0.00	1,112.56	90.0	1,001.30
10/17/2017	SECRIST HOLDINGS LLC	ASSETMARK	1,614,591.69	4,068.77	0.00	4,068.77	90.0	3,661.89
10/17/2017	SECRIST HOLDINGS LLC	ASSETMARK	955,513.48	2,407.89	0.00	2,407.89	90.0	2,167.10
10/17/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	94,384.26	297.31	0.00	297.31	90.0	267.58
10/17/2017	SIMMONS, SANDRA	ASSETMARK	122,919.48	309.76	0.00	309.76	90.0	278.78
10/17/2017	SIMMONS, SANDRA	ASSETMARK	108,664.10	273.83	0.00	273.83	90.0	246.45
10/17/2017	SIMMONS, SANDRA	ASSETMARK	23,812.37	60.01	0.00	60.01	90.0	54.01
10/17/2017	SIMMONS, SANDRA	ASSETMARK	191,670.25	483.01	0.00	483.01	90.0	434.71
10/17/2017	LILLARD FAMILY TRUST	ASSETMARK	488,846.74	1,539.87	0.00	1,539.87	90.0	1,385.88
10/17/2017	VENUS, FELY	ASSETMARK	33,532.02	114.08	0.00	114.08	90.0	102.67
10/19/2017	SCANE, DARYL	PACIFIC FINANCIAL	107,896.93	77.06	0.00	77.06	90.0	69.35
<b>* RIA SUBTOTAL *</b>			<b>\$16,047,248.86</b>	<b>\$41,492.03</b>	<b>\$0.00</b>	<b>\$41,492.03</b>		<b>\$37,342.86</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$16,047,248.86</b>	<b>\$41,492.03</b>	<b>\$0.00</b>	<b>\$41,492.03</b>		<b>\$37,342.86</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$37,342.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$37,342.86
Year-to-date Gross	\$159,105.83
Year-to-date Earnings	\$143,195.42