



All Companies
COMMISSION STATEMENT
July 16, 2018

07/12/2018
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SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: SINGLETON/POOL #9626

07/16/2018 TRAIL COMMISSIONS	INVESCO LTD FEES			0.00	382.71	0.00	382.71	100.0	382.71
	* SINGLETON/POOL SUBTOTAL *			<u>\$0.00</u>	<u>\$382.71</u>	<u>\$0.00</u>	<u>\$382.71</u>		<u>\$382.71</u>

BROKER: ROTHSCHILD/POOL #9629

06/18/2018 CAMAGANACAN, SIXTO	RELIASTAR LIFE INSURANCE	B		768.00	9.22	0.00	9.22	100.0	9.22
06/28/2018 ANGELO, CONCORDIA/ORLAN	RELIASTAR LIFE INSURANCE	B		206.82	2.06	0.00	2.06	100.0	2.06
06/25/2018 000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B			50.00	0.20	0.00	0.20	100.0	0.20
06/25/2018 000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B		100.00	0.40	0.00	0.40	100.0	0.40
06/25/2018 000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B		50.00	0.20	0.00	0.20	100.0	0.20
06/25/2018 000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B		50.00	0.20	0.00	0.20	100.0	0.20
07/02/2018 00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B		100.00	2.00	0.00	2.00	100.0	2.00
07/02/2018 00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B			50.00	0.85	0.00	0.85	100.0	0.85
06/29/2018 00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B			100.00	1.70	0.00	1.70	100.0	1.70
06/29/2018 00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B		100.00	1.70	0.00	1.70	100.0	1.70
06/29/2018 00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B		100.00	1.70	0.00	1.70	100.0	1.70
	* ROTHSCHILD/POOL SUBTOTAL *			<u>\$1,674.82</u>	<u>\$20.23</u>	<u>\$0.00</u>	<u>\$20.23</u>		<u>\$20.23</u>

BROKER: WILLIAMS/HOUSE #9640

06/30/2018 TRAILS	-OPPENHEIMER FUNDS	B		1.23	0.49	0.00	0.49	100.0	0.49
	* WILLIAMS/HOUSE SUBTOTAL *			<u>\$1.23</u>	<u>\$0.49</u>	<u>\$0.00</u>	<u>\$0.49</u>		<u>\$0.49</u>
	*** SPLIT TRANSACTIONS TOTAL ***			<u>\$1,676.05</u>	<u>\$403.43</u>	<u>\$0.00</u>	<u>\$403.43</u>		<u>\$403.43</u>

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
06/30/2018	TRAILS	-HARTFORD LIFE	B	51.41	51.41	0.00	51.41		0.51
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	500.16	500.16	0.00	500.16		5.00
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	500.16	500.16	0.00	500.16		5.00
06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	125.18	125.18	0.00	125.18		1.25
06/25/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
06/25/2018	DEVEZA, NOEMYLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
06/30/2018	TRAILS	-PUTNAM	B	0.88	0.88	0.00	0.88		0.01
06/18/2018	MELLENGER, PAUL J.	AMERITAS VARIABLE ANNUITY	B	249.00	9.96	0.00	9.96		0.10
06/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64		0.03
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	353.64	353.64	0.00	353.64		3.54
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	353.64	353.64	0.00	353.64		3.54
07/01/2005	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/10/2005	000000000026245 BUSA, JOSEPHINE JANE	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/01/2018	00001418805 RUPERT, ALLEN	AIM HIGH YIELD FUND - CLASS A	B	0.00	3.92	0.00	3.92		0.04
07/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
07/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
07/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88		0.02
07/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88		0.02
06/22/2018	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD CL A	B	220.00	11.00	0.00	11.00		0.11
07/01/2018	00115756356 OBRIEN, DAVID	AIM TECHNOLOGY FUND - CLASS A	B	0.00	4.20	0.00	4.20		0.04
07/01/2018	01000184916 MATSUMOTO, SACHIKO	AIM HIGH YIELD FUND - CLASS A	B	0.00	1.50	0.00	1.50		0.02
07/01/2018	01000227835 RYAN, JEFFREY	AIM ASIAN GROWTH FD CL C	B	0.00	40.01	0.00	40.01		0.40
07/01/2018	01000227835 RYAN, JEFFREY	AIM DEVELOPING MARKETS FUND - CLASS B	B	0.00	189.37	0.00	189.37		1.89
07/01/2018	01000227835 RYAN, JEFFREY	AIM ENERGY FUND - CLASS C	B	0.00	107.26	0.00	107.26		1.07
07/01/2018	01000227835 RYAN, JEFFREY	AIM EUROPEAN DEVELOPMENT FD CL C	B	0.00	202.47	0.00	202.47		2.02
07/01/2018	01000227835 RYAN, JEFFREY	AIM MID CAP CORE EQUITY FUND - CL C B	B	0.00	36.58	0.00	36.58		0.37

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
07/01/2018	01000227835	RYAN, JEFFREY	INVESCO HIGH YIELD FUND - CLASS C	B	0.00	212.17	0.00	212.17	2.12
07/01/2018	01001253631	CONSULTING, NEW	AIM ASIAN GROWTH FD CL C	B	0.00	5.75	0.00	5.75	0.06
07/01/2018	01001253631	CONSULTING, NEW	INVESCO ENDEAVOR FUND - CLASS C	B	0.00	17.32	0.00	17.32	0.17
07/01/2018	01001253631	CONSULTING, NEW	INVESCO HIGH YIELD FUND - CLASS C	B	0.00	4.43	0.00	4.43	0.04
07/01/2018	01001253631	CONSULTING, NEW	IVK AMERICAN VALUE FUND - CLASS C	B	0.00	19.37	0.00	19.37	0.19
07/01/2018	01001279023	KIM, ANDREW	AIM ASIAN GROWTH FD CL A	B	0.00	0.75	0.00	0.75	0.01
07/01/2018	01001279023	KIM, ANDREW	AIM HIGH YIELD FUND - CLASS A	B	0.00	0.69	0.00	0.69	0.01
07/01/2018	01001279023	KIM, ANDREW	INVESCO ENDEAVOR FUND - CLASS A	B	0.00	0.85	0.00	0.85	0.01
07/01/2018	01001279023	KIM, ANDREW	IVK AMERICAN VALUE FUND - CLASS A	B	0.00	0.89	0.00	0.89	0.01
07/01/2018	01001279023	KIM, ANDREW	IVK CORPORATE BOND FUND - CLASS A	B	0.00	0.71	0.00	0.71	0.01
07/01/2018	03810772545	PAGADUAN, JOY	IVK VALUE OPPORTUNITIES FUND -CLASS B	B	0.00	1.01	0.00	1.01	0.01
07/01/2018	03810919013	SOSING, CARMENCITA	AIM ASIAN GROWTH FD CL A	B	0.00	8.49	0.00	8.49	0.08
07/01/2018	03810919013	SOSING, CARMENCITA	AIM DEVELOPING MARKETS FD CL A	B	0.00	5.72	0.00	5.72	0.06
07/01/2018	03810919013	SOSING, CARMENCITA	AIM ENERGY FUND - CLASS A	B	0.00	3.48	0.00	3.48	0.03
07/01/2018	03810919013	SOSING, CARMENCITA	AIM HIGH YIELD FUND - CLASS A	B	0.00	6.85	0.00	6.85	0.07
07/01/2018	03810919013	SOSING, CARMENCITA	AIM MID CAP GROWTH FD CL A	B	0.00	7.67	0.00	7.67	0.08
07/01/2018	03811318249	RYAN, JEFFREY	AIM ASIAN GROWTH FD CL A	B	0.00	0.71	0.00	0.71	0.01
07/01/2018	03811318249	RYAN, JEFFREY	AIM HIGH YIELD FUND - CLASS A	B	0.00	0.56	0.00	0.56	0.01
07/01/2018	03811318249	RYAN, JEFFREY	AIM MID CAP GROWTH FD CL A	B	0.00	0.61	0.00	0.61	0.01
07/01/2018	03911131500	JAVELOSA, SANDRA	IVK VALUE OPPORTUNITIES FUND -CLASS B	B	0.00	2.12	0.00	2.12	0.02
07/01/2018	03911377848	GERARD M ROCELES, ERWIN	IVK VALUE OPPORTUNITIES FUND -CLASS B	B	0.00	1.34	0.00	1.34	0.01
07/01/2018	04038841757	JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	0.00	3.26	0.00	3.26	0.03
07/01/2018	04040054761	UY, LORNA	AIM ASIAN GROWTH FD CL A	B	0.00	1.30	0.00	1.30	0.01
* JUDE RAMON L. BARCENAS SUBTOTAL *					\$3,204.21	\$2,822.26	\$0.00	\$2,822.26	\$28.27
<u> BROKER: MATTHEW THOMAS KUNZ #9631 </u>									
06/29/2018	TRAILS	ALLIANZ - VARIABLE ANNUITY		B	171.36	171.36	0.00	171.36	1.71
06/30/2018	TRAILS	CHET ADVISOR 529 PLAN		B	1.03	1.03	0.00	1.03	0.01
07/03/2018	TRAILS	OHIO LIFE		B	86.45	86.45	0.00	86.45	0.86
* MATTHEW THOMAS KUNZ SUBTOTAL *					\$258.84	\$258.84	\$0.00	\$258.84	\$2.58
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
06/30/2018	TRAILS	-NATIONWIDE LIFE		B	449.94	449.94	0.00	449.94	4.50
06/30/2018	TRAILS	-NATIONWIDE LIFE		B	449.94	449.94	0.00	449.94	4.50
04/18/2018	MURRELL, JAMES LYNDELL	-PACIFIC LIFE INSURANCE		B	6,847.20	410.83	0.00	410.83	4.11
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM	B		179.62	179.62	0.00	179.62	1.80
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM	B		179.62	179.62	0.00	179.62	1.80
07/06/2018	00064112804	FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT	B	333.33	9.17	0.00	9.17	0.09
07/02/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50	0.02

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OVERRIDE TRANSACTIONS

BROKER: JOHN HOWARD RUSSELL #9632

06/27/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	80.30	0.80	0.00	0.80	0.01
06/27/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	80.30	0.80	0.00	0.80	0.01
07/03/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
07/03/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
06/27/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
06/27/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
07/03/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
07/03/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
07/05/2018	04000234902	OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	0.13
* JOHN HOWARD RUSSELL SUBTOTAL *					\$9,474.49	\$1,699.48	\$0.00	\$1,699.48	\$17.03
*** OVERRIDE TRANSACTIONS TOTAL ***					\$12,937.54	\$4,780.58	\$0.00	\$4,780.58	\$47.88

ADJUSTMENTS

07/16/2018	MISC: 2ND QTR BIRTHDAY LUNCH	0.00	0.00	0.00	0.00	-152.98
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-152.98</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$381.59
Split Transactions	\$21.84
Override Transactions	\$47.88
Adjustments	\$-152.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$298.33
Year-to-date Earnings	\$16,009.34