

**COMMISSION STATEMENT****May 31, 2018**

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/29/2018	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	0.00	45.93	0.00	45.93	100.0	45.93
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$45.93	\$0.00	\$45.93		\$45.93
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$45.93	\$0.00	\$45.93		\$45.93

SPLIT TRANSACTIONS**BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255**

05/15/2018	REED, TERRY	NEW YORK REIT INC COM NEW	-9,045.63	147.50	11.38	136.12	100.0	136.12
05/15/2018	REED, DIANE	NEW YORK REIT INC COM NEW	-3,702.97	70.00	11.25	58.75	100.0	58.75
* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *			\$-12,748.60	\$217.50	\$22.63	\$194.87		\$194.87

BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256

05/18/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.81	0.00	8.81	100.0	8.81
05/24/2018	MJM PROPERTIES INC	MOODY DFW DST	149,198.35	4,475.94	0.00	4,475.94	100.0	4,475.94
05/25/2018	DOLCE, CHARLES	NETFLIX INC COM	5,300.46	37.50	11.24	26.26	100.0	26.26
05/25/2018	DOLCE, CHARLES	NETFLIX INC COM	2,121.55	37.50	11.24	26.26	100.0	26.26
05/25/2018	DOLCE, JENNIFER	NETFLIX INC COM	4,557.19	37.50	11.24	26.26	100.0	26.26
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$161,177.55	\$4,597.25	\$33.72	\$4,563.53		\$4,563.53

BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257

05/17/2018	GALGANO, ROBERT	AMG YACHTMAN FOCUSED FUND SERVICE C	-20,000.00	0.00	0.00	0.00	100.0	0.00
05/17/2018	GALGANO, ROBERT	BLACKROCK EQUITY DIVIDEND FUND INST	-23,000.00	0.00	5.50	-5.50	100.0	-5.50
05/08/2018	FORRAI, MICHAEL	CW MULTIFAMILY OPPORTUNITY FUND	11,500.00	172.50	0.00	172.50	100.0	172.50
05/17/2018	GALGANO, ROBERT	FIDELITY ADVISOR NEW INSIGHTS FUND	-30,000.00	0.00	5.50	-5.50	100.0	-5.50
05/17/2018	GALGANO, ROBERT	ISHARES TR S&P U S PFD STK INDEX FD	-30,662.77	75.00	5.81	69.19	100.0	69.19
05/17/2018	GALGANO, ROBERT	JP MORGAN U.S. LARGE CAP CORE PLUS	-30,000.00	0.00	5.50	-5.50	100.0	-5.50
05/17/2018	GALGANO, ROBERT	LOOMIS SAYLES STRATEGIC INCOME FUND	-5,873.33	0.00	5.50	-5.50	100.0	-5.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
05/17/2018	GALGANO, ROBERT	NEUBERGER BERMAN SOCIALLY RESPONSIV	-30,000.00	0.00	5.50	-5.50	100.0	-5.50
05/17/2018	GALGANO, ROBERT	PIMCO FDS TOTAL RETURN FD CL P	-17,704.66	0.00	5.50	-5.50	100.0	-5.50
05/17/2018	GALGANO, ROBERT	SPDR SER TR BARCLAYS INTER TERM TRE	-6,086.22	7.50	5.63	1.87	100.0	1.87
05/17/2018	GALGANO, ROBERT	SPROTT PHYSICAL GOLD & SILVER TR TR	-4,186.88	0.00	5.63	-5.63	100.0	-5.63
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$-186,013.86	\$255.00	\$50.07	\$204.93		\$204.93
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
05/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,004.64	10.85	5.94	4.91	100.0	4.91
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$1,004.64	\$10.85	\$5.94	\$4.91		\$4.91
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
05/17/2018	EDGAR, RON	HIGHLAND CAP -NREA ADAIR DST	126,031.21	4,159.04	0.00	4,159.04	100.0	4,159.04
05/17/2018	EDGAR, RON	MOODY DFW DST	180,000.00	5,940.00	0.00	5,940.00	100.0	5,940.00
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$306,031.21	\$10,099.04	\$0.00	\$10,099.04		\$10,099.04
*** SPLIT TRANSACTIONS TOTAL ***			\$269,450.94	\$15,179.64	\$112.36	\$15,067.28		\$15,067.28

OVERRIDE TRANSACTIONS

<u>BROKER: JON PAUL, C141 CIRELLI #246</u>								
05/18/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.96	0.00	20.96	95.0	1.05
04/30/2018	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	16,240.14	3.34	0.00	3.34	95.0	0.17
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$16,240.14	\$24.30	\$0.00	\$24.30		\$1.22
<u>BROKER: MARC, L134 LINSKY #263</u>								
05/17/2018	LINSKY, VARIOUS	TRANSAMERICA	20,379.18	8.49	0.00	8.49	90.0	0.14
05/16/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	401.19	20.06	22.00	-1.94	90.0	-0.03
05/15/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
05/16/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	225.96	11.30	22.00	-10.70	90.0	-0.18
05/16/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,016.82	50.84	22.00	28.84	90.0	0.49
05/16/2018	BOND-HILTNER, LINDA	CRONOS GROUP INC COM ISIN#CA22717L1	878.24	30.00	22.50	7.50	90.0	0.13
04/30/2018	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	55,106.89	45.29	0.00	45.29	90.0	0.77
05/22/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	1,000.00	50.00	22.00	28.00	90.0	0.48
05/16/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	213.08	2.13	22.00	-19.87	90.0	-0.34
05/16/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	241.20	7.84	22.00	-14.16	90.0	-0.24
05/16/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	1,010.84	50.54	22.00	28.54	90.0	0.49

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
05/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	803.40	26.11	22.00	4.11	90.0	0.07
05/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	883.74	28.72	22.00	6.72	90.0	0.11
05/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	321.36	10.44	22.00	-11.56	90.0	-0.20
05/16/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	229.50	3.44	22.00	-18.56	90.0	-0.32
05/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	803.25	12.05	22.00	-9.95	90.0	-0.17
05/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	879.75	13.20	22.00	-8.80	90.0	-0.15
05/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	314.50	4.72	22.00	-17.28	90.0	-0.29
05/03/2018	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	82.10	0.00	82.10	90.0	1.40
05/10/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.83	0.00	55.83	90.0	0.95
05/14/2018	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	218.29	0.00	218.29	90.0	3.71
05/16/2018	SCOZZAFAVA, HENRY	RESOURCE REAL ESTATE DIVERSIFIED IN	1,000.00	10.00	22.00	-12.00	90.0	-0.20
04/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	0.00	104.10	0.00	104.10	90.0	1.77
* MARC, L134 LINSKY SUBTOTAL *			\$85,933.90	\$855.05	\$352.50	\$502.55		\$8.55
<u>BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282</u>								
05/16/2018	CHIANG, KUANG	ALIBABA GRP SHS ADR ISIN#US01609W10	-25,741.25	513.38	22.50	490.88	90.0	16.69
05/16/2018	CHIANG, KUANG	AVID TECHNOLOGY INC	-17,425.45	556.00	27.00	529.00	90.0	17.99
05/16/2018	CHIANG, KUANG	CHINA FUND INC	-22,201.30	454.00	23.50	430.50	90.0	14.64
05/16/2018	CHIANG, KUANG	EATON VANCE TAX ADVANTAGED GLO GLOB	-34,311.60	613.00	25.00	588.00	90.0	19.99
05/16/2018	CHIANG, KUANG	EBIX INC COM NEW	-37,911.25	523.00	22.75	500.25	90.0	17.01
05/16/2018	CHIANG, KUANG	FIREEYE INC COM	-11,446.25	274.00	22.99	251.01	90.0	8.53
05/16/2018	CHIANG, KUANG	QUALITY SYS INC	-8,940.00	233.00	22.90	210.10	90.0	7.14
05/16/2018	CHIANG, KUANG	VALEANT PHARMACEUTICALS INTL INC CD	-13,267.80	295.00	22.90	272.10	90.0	9.25
* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *			\$-171,244.90	\$3,461.38	\$189.54	\$3,271.84		\$111.24
*** OVERRIDE TRANSACTIONS TOTAL ***			\$-69,070.86	\$4,340.73	\$542.04	\$3,798.69		\$121.01

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<u>ADJUSTMENTS</u>								
05/31/2018		*FEDERAL EXPRESS: 6-176-40420 5/9/18	0.00					-14.29
05/31/2018		*FEDERAL EXPRESS: 6-184-25119 5/16/18	0.00					-31.43
05/31/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
05/31/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
05/31/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
05/31/2018		*MISC: OVERNIGHT FEE 5/15/18	0.00					-40.00
05/31/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
05/31/2018		*PERSHING: PERSHING MARKUP	0.00					30.00
05/31/2018		*PERSHING: PERSHING MARKUP	0.00					45.00
05/31/2018		*PERSHING: PERSHING MARKUP	0.00					45.00
05/31/2018		*PERSHING: PERSHING MARKUP	0.00					8.10
05/31/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROMSPLIT	0.00					49.87
05/31/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM KIENOSKI FROM SPL	0.00					49.93
05/31/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROMSPLITS	0.00					249.26
05/31/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM KIENOSKI FROM SPLITS	0.00					299.51
05/31/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY	0.00					8.54
05/31/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY FROM SPLITS	0.00					5.26
05/31/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM PFLEGER FROMSPLITS	0.00					459.05
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$4,695.80

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BROKER COMMISSION TOTALS

Broker Transactions	\$45.93
Split Transactions	\$15,067.28
Override Transactions	\$121.01
Adjustments	\$4,695.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$19,930.02
Year-to-date Gross	\$1,011,167.51
Year-to-date Earnings	\$1,016,955.77