

All Companies
STATEMENT
August 20, 2018

FRANK AUDITORE
19 WALDEN DR

BROKER #: 015
BRANCH: LA BRANCH

EASTON, MA 02375-

COMMISSION STATEMENT for FRANK AUDITORE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/02/2018	#04000967880	WOOSTER, ROB	WASHINGTON MUTUAL INVS FD CLASS A	B	50.00	2.50	0.00	0.00	2.50	85.0 2.13
07/05/2018	#	LORRAINE SILVIO TTEE	MFS MASSACHUSETTS MUNICIPAL BOND FU	B	100,000.00	3,000.00	20.00	0.00	2,980.00	85.0 2,533.00
07/09/2018	#00088451605	WOOSTER, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	B	125.00	6.25	0.00	0.00	6.25	85.0 5.31
07/09/2018	#00088451605	WOOSTER, TIMOTHY	WASHINGTON MUTUAL INVS FD CLASS A	B	125.00	6.25	0.00	0.00	6.25	85.0 5.31
* MUTUAL FUNDS SUBTOTAL *					\$100,300.00	\$3,015.00	\$20.00	\$0.00	\$2,995.00	\$2,545.75
PRODUCT CATEGORY: STOCKS										
06/28/2018	#	SKEIBER, STANLEY	***IQIYI INC	B	3,221.70	95.00	19.95	0.00	75.05	85.0 63.79
07/12/2018	#	SKEIBER, STANLEY	***IQIYI INC	B	8,411.78	395.00	19.95	0.00	375.05	85.0 318.79
07/12/2018	#	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	9,710.00	469.50	19.95	0.00	449.55	85.0 382.12
07/16/2018	#	CHO, SOOJA	GENERAL ELECTRIC CO	B	4,166.70	175.00	19.95	0.00	155.05	85.0 131.79
* STOCKS SUBTOTAL *					\$25,510.18	\$1,134.50	\$79.80	\$0.00	\$1,054.70	\$896.49
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/01/2018	#08191066942	JOHNSTON, WILLIAM	MASSACHUSETTS INVESTORS TRUST-CL A	B	0.00	288.93	0.00	0.00	288.93	85.0 245.59
07/03/2018	#00957868270		PIONEER 724010103	B	0.00	21.98	0.00	0.00	21.98	85.0 18.68
07/03/2018	#00959308513		PIONEER 724010103	B	0.00	60.71	0.00	0.00	60.71	85.0 51.60
07/03/2018	#00081003604		PIONEER GROWTH OPPORTUNITIES FUND A	B	0.00	9.43	0.00	0.00	9.43	85.0 8.02
07/03/2018	#00081003613		PIONEER GROWTH OPPORTUNITIES FUND A	B	0.00	9.43	0.00	0.00	9.43	85.0 8.02
07/09/2018	#00090974478	JOHNSTON, WILLIAM	DEUTSCHE CALIFORNIA TAX FREE	B	0.00	147.12	0.00	0.00	147.12	85.0 125.05
07/09/2018	#01485656068	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	0.88	0.00	0.00	0.88	85.0 0.75
07/09/2018	#01488943000	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	14.79	0.00	0.00	14.79	85.0 12.57
07/09/2018	#01485656068	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	0.91	0.00	0.00	0.91	85.0 0.77
07/09/2018	#01488943000	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	14.66	0.00	0.00	14.66	85.0 12.46
07/11/2018	#	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	18.87	0.00	0.00	18.87	85.0 16.04
07/11/2018	#	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	15.51	0.00	0.00	15.51	85.0 13.18
07/11/2018	#	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	5.99	0.00	0.00	5.99	85.0 5.09
07/11/2018	#	BLISS, JEFFREY	DAIN TRAILER	B	0.00	4.99	0.00	0.00	4.99	85.0 4.24
07/11/2018	#	MACDOUGALL, WILLIAM	DAIN TRAILER	B	0.00	4.05	0.00	0.00	4.05	85.0 3.44
07/11/2018	#	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.90	0.00	0.00	0.90	85.0 0.77
07/11/2018	#	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.97	0.00	0.00	0.97	85.0 0.82
07/11/2018	#	VARIES, CUSTOMER	DAIN TRAILER	B	0.00	0.01	0.00	0.00	0.01	85.0 0.01

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/11/2018 #	VALLARIO, DEBRA-ANN	DAIN TRAILER	B	0.00	4.51	0.00	0.00	4.51	85.0	3.83
07/11/2018 #	ROBERTS, FREDERICK	DAIN TRAILER	B	0.00	4.51	0.00	0.00	4.51	85.0	3.83
07/11/2018 #	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	8.31	0.00	0.00	8.31	85.0	7.06
07/11/2018 #	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	7.35	0.00	0.00	7.35	85.0	6.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$644.81	\$0.00	\$0.00	\$644.81		\$548.07
*** BROKER TRANSACTIONS TOTAL ***				\$125,810.18	\$4,794.31	\$99.80	\$0.00	\$4,694.51		\$3,990.31

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-320.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
08/20/2018	MISCELLANEOUS: MISCELLANEOUS -	499.00
	INSPECTION CREDIT	
*** ADJUSTMENTS TOTAL ***		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,990.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,990.31
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