



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 30, 2018

07/05/2018
Page No. 1

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/15/2018	PIZZANO, GERALD	JPMORGAN EMERGING MARKETS FUND CLAS	27,000.00	270.00	22.00	248.00	100.0	248.00
		* MUTUAL FUNDS SUBTOTAL *	\$27,000.00	\$270.00	\$22.00	\$248.00		\$248.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$27,000.00	\$270.00	\$22.00	\$248.00		\$248.00
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252</u>								
06/26/2018	RENEGAR, ROBERT	HPI REAL ESTATE FUND VI LLC	50,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
		* DAN/JEFFREY FT-1JR NY RAUPP/KIES SUBTOTAL *	\$50,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,500.00
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
06/13/2018	LALOR, EDWARD	PREFERRED APT CMNTYS INC COM	-6,081.04	0.00	5.65	-5.65	100.0	-5.65
		* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *	\$-6,081.04	\$0.00	\$5.65	\$-5.65		\$-5.65
<u>BROKER: JON P/DAN/JEFF FT- G0D FL CIREL/RAU #258</u>								
06/18/2018	MCCAULEY JR REV TR, B BROOKE	HPI FUND VI LLC	-200,000.00	-3,000.00	0.00	-3,000.00	100.0	-3,000.00
06/18/2018	MCCAULEY JR REV TR, B BROOKE	HPI FUND VI LLC	200,000.00	2,000.00	0.00	2,000.00	100.0	2,000.00
06/26/2018	MCCAULEY JR REV TR, B BROOKE	HPI REAL ESTATE FUND VI LLC	200,000.00	3,000.00	0.00	3,000.00	100.0	3,000.00
06/22/2018	FRICKER TRUST, HAROLD MAX	RESOURCE ROYALTY MERAMEC RICH ROYAL	400,000.00	6,000.00	0.00	6,000.00	100.0	6,000.00
		* JON P/DAN/JEFF FT- G0D FL CIREL/RAU SUBTOTAL *	\$600,000.00	\$8,000.00	\$0.00	\$8,000.00		\$8,000.00
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
06/15/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	997.70	10.78	5.94	4.84	100.0	4.84
06/25/2018	WASSERMAN TRUST, NINA	FACEBOOK INC CL A	24,436.98	0.00	6.08	-6.08	100.0	-6.08
06/25/2018	WASSERMAN, DEANA	FACEBOOK INC CL A	14,659.31	0.00	6.08	-6.08	100.0	-6.08
06/25/2018	WASSERMAN, TAYLOR	FACEBOOK INC CL A	39,100.00	0.00	6.08	-6.08	100.0	-6.08
		* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *	\$79,193.99	\$10.78	\$24.18	\$-13.40		\$-13.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$723,112.95	\$9,510.78	\$29.83	\$9,480.95		\$9,480.95
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JON PAUL, C141 CIRELLI #246 </u>								
05/31/2018	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	16,354.40	3.47	0.00	3.47	95.0	0.17
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$16,354.40	\$3.47	\$0.00	\$3.47		\$0.17
<u> BROKER: ALAN, L133 LICHTENSTEIN #249 </u>								
06/13/2018	MOYKA, PAMELA	CARTER VALIDUS MC REIT II CLASS A	10,000.00	700.00	0.00	700.00	75.0	87.50
06/15/2018	HECKER, THOMAS	CARTER VALIDUS MC REIT II CLASS A	25,000.00	1,750.00	0.00	1,750.00	75.0	218.75
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$35,000.00	\$2,450.00	\$0.00	\$2,450.00		\$306.25
<u> BROKER: MARC, L134 LINSKY #263 </u>								
06/14/2018	LINSKY, VARIOUS	TRANSAMERICA	19,510.70	8.13	0.00	8.13	90.0	0.14
06/15/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	404.20	20.21	22.00	-1.79	90.0	-0.03
06/15/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
06/15/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	116.72	5.84	22.00	-16.16	90.0	-0.27
06/15/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,050.48	52.52	22.00	30.52	90.0	0.52
05/31/2018	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	55,458.17	47.11	0.00	47.11	90.0	0.80
06/15/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	220.43	2.20	22.00	-19.80	90.0	-0.34
06/15/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	813.74	26.45	22.00	4.45	90.0	0.08
06/15/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	240.12	7.80	22.00	-14.20	90.0	-0.24
06/15/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	253.46	8.24	22.00	-13.76	90.0	-0.23
06/15/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	1,003.20	10.03	22.00	-11.97	90.0	-0.20
06/15/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	816.00	12.24	22.00	-9.76	90.0	-0.17
06/15/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	229.50	3.44	22.00	-18.56	90.0	-0.32
06/15/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	250.75	3.76	22.00	-18.24	90.0	-0.31
06/04/2018	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	79.49	0.00	79.49	90.0	1.35
06/11/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.88	0.00	55.88	90.0	0.95
06/12/2018	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	217.76	0.00	217.76	90.0	3.70
06/15/2018	BOND-HILTNER, LINDA	QUALITY CARE PPTYS INC COM	821.33	30.00	22.50	7.50	90.0	0.13
* MARC, L134 LINSKY SUBTOTAL *			\$81,413.80	\$600.66	\$264.50	\$336.16		\$5.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282</u>								
06/04/2018	MAVRAKES, JUDITH	LINCOLN LIFE	-6,000.00	-420.00	0.00	-420.00	90.0	-14.28
06/04/2018	MAVRAKES, JUDITH	LINCOLN LIFE	-19,054.56	-1,333.82	0.00	-1,333.82	90.0	-45.35
* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *			\$-25,054.56	\$-1,753.82	\$0.00	\$-1,753.82		\$-59.63
*** OVERRIDE TRANSACTIONS TOTAL ***			\$107,713.64	\$1,300.31	\$264.50	\$1,035.81		\$252.51

ADJUSTMENTS

06/30/2018	*	:	0.00					0.00
06/30/2018	*	FEDERAL EXPRESS: 6-211-86932	0.00					-22.94
		6/13/18 - RAUPP						
06/30/2018	*	FEDERAL EXPRESS: 6-218-71569	0.00					-105.01
		6/20/18						
06/30/2018	*	FINRA: CRD REGISTRATION -	0.00					-25.00
		FRICKER; GOD						
06/30/2018	*	FINRA: CONCORDE PROCESSING AND	0.00					-13.75
		SERVICE - FRICKER; GOD						
06/30/2018	*	FINRA: FIRM ELEMENT CE - FRICKER;	0.00					-31.25
		GOD						
06/30/2018	*	FINRA: SERIES 7 EXAM FEE -	0.00					-76.25
		FRICKER; GOD						
06/30/2018	*	MISC: C FULMER, A LEEDS K141	0.00					540.00
06/30/2018	*	MISC: C FULMER, A LEEDS C141	0.00					540.00
06/30/2018	*	MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
06/30/2018	*	MISC: OVERNIGHT FEE 6/15/18 -	0.00					-20.00
		RAUPP						
06/30/2018	*	MISC: ROY AFLAC 1031DST	0.00					1,126.00
06/30/2018	*	MISC: TO CORRECT PMT MADE IN CIS	0.00					59.63
		FOR FIA ZIGOURAS						
06/30/2018	*	PERSHING: PERSHING MARKUP	0.00					30.00
06/30/2018	*	PERSHING: PERSHING MARKUP	0.00					7.50
06/30/2018	*	PERSHING: PERSHING MARKUP	0.00					32.40
06/30/2018	*	SPLIT PAYOUT/OVERRIDE: BRANCH	0.00					224.66
		OVERRIDES PAYABLE TO RAUPP FROM						

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/30/2018		CIRELLI FROM SPLI *SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLI	0.00					-25.00
06/30/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SP	0.00					127.22
06/30/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SP	0.00					-12.50
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SPLITS	0.00					-60.00
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CHARLEY FROM SPLITS	0.00					47.49
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLITS	0.00					898.58
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLITS	0.00					-191.00
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SPLITS	0.00					628.52
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY FROM SPLITS	0.00					6.81
06/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY FROM SPLITS	0.00					48.49
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$5,060.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$248.00
Split Transactions	\$9,480.95
Override Transactions	\$252.51
Adjustments	\$5,060.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,042.06
Year-to-date Gross	\$1,058,596.44
Year-to-date Earnings	\$1,064,548.19