

COMMISSION STATEMENT
June 30, 2018
SARAH BRAUNSHAUSEN-D062
BROKER #: D062
BRANCH: DMG

, TX

COMMISSION STATEMENT for SARAH BRAUNSHAUSEN-D062

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------|---------------------|-----|---------------------------------|---------------------|--------------------|-------------------|----------|----------------------|
| CLEARING TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 06/19/2018 | 152901006 | BRAUNSHAUSEN, | MF | AMERICAN BALANCED FUND-A | B | -5,987.55 | 0.00 | 5.00 | -5.00 60.0 -3.00 |
| 06/15/2018 | 646107186 | ROUSE, CHELSEY | MF | AMCAP FUND-F1 | S | 122.45 | 0.00 | 2.50 | -2.50 60.0 -1.50 |
| 06/15/2018 | 646107186 | ROUSE, CHELSEY | MF | FUNDAMENTAL INVESTORS FUND | S | 82.45 | 0.00 | 2.50 | -2.50 60.0 -1.50 |
| 06/01/2018 | 646230641 | CHRISTENSEN, | MF | AMCAP FUND-F1 | S | 102.45 | 0.00 | 2.50 | -2.50 60.0 -1.50 |
| 06/15/2018 | 646230641 | CHRISTENSEN, | MF | AMERICAN FDS WA MUTUAL FD CL F2 | S | 87.45 | 0.00 | 2.50 | -2.50 60.0 -1.50 |
| 06/15/2018 | 646230641 | CHRISTENSEN, | MF | THE GROWTH FUND OF AMERICA-F2 | S | 167.45 | 0.00 | 2.50 | -2.50 60.0 -1.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | \$-5,425.30 | \$0.00 | \$17.50 | \$-17.50 | \$-10.50 |
| PRODUCT CATEGORY: MISCELLANEOUS | | | | | | | | | |
| 06/20/2018 | a03076832 | BRAUNSHAUSEN-D062, | MI | MUTUAL FUND MISC FEE 152901006 | S | 0.00 | 10.00 | 0.00 | 10.00 60.0 6.00 |
| * MISCELLANEOUS SUBTOTAL * | | | | | \$0.00 | \$10.00 | \$0.00 | \$10.00 | \$6.00 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMCAP FUND-F1 | B | 0.00 | 0.78 | 0.00 | 0.78 60.0 0.47 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 3.33 | 0.00 | 3.33 60.0 2.00 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMERICAN BALANCED FUND-F1 | B | 0.00 | 1.10 | 0.00 | 1.10 60.0 0.66 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | AMERICAN MUTUAL FUND-F1 | B | 0.00 | 0.92 | 0.00 | 0.92 60.0 0.55 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | CAPITAL INCOME BUILDER-A | B | 0.00 | 8.51 | 0.00 | 8.51 60.0 5.11 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | COLUMBIA DIVIDEND OPPORTUNITY | B | 0.00 | 10.94 | 0.00 | 10.94 60.0 6.56 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | COLUMBIA GLOBAL EQUITY VALUE-A | B | 0.00 | 11.38 | 0.00 | 11.38 60.0 6.83 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | COLUMBIA LARGE CAP GROWTH FUND | B | 0.00 | 9.83 | 0.00 | 9.83 60.0 5.90 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | FUNDAMENTAL INVESTORS FUND | B | 0.00 | 0.50 | 0.00 | 0.50 60.0 0.30 |
| 06/21/2018 | mfc076832 | BRAUNSHAUSEN 076832 | MFT | GROWTH FUND OF AMERICA CLASS F | B | 0.00 | 1.17 | 0.00 | 1.17 60.0 0.70 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | | \$0.00 | \$48.46 | \$0.00 | \$48.46 | \$29.08 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | | \$-5,425.30 | \$58.46 | \$17.50 | \$40.96 | \$24.58 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

| | | | | | | | | | | |
|---|------------|---------------|-------------------------------|---|--------|----------|--------|----------|------|----------|
| 06/14/2018 | VM15006698 | BRAUNSHAUSEN, | VAT PACIFIC LIFE VA 69448B191 | B | 0.00 | 205.94 | 0.00 | 205.94 | 60.0 | 123.56 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | | \$0.00 | \$205.94 | \$0.00 | \$205.94 | | \$123.56 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | \$0.00 | \$205.94 | \$0.00 | \$205.94 | | \$123.56 |

ADJUSTMENTS TRANSACTIONS

BROKER: #0

| | | | | | | | | | | |
|--|--|---|--------------------|--|--------|--------|--------|--------|--|----------|
| 06/30/2018 | | : | BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 06/30/2018 | | : | E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| * SUBTOTAL * | | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-55.00 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-55.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$148.14 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-55.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|------------|
| Net Commissions | \$93.14 |
| Year-to-date Commissions | \$1,881.79 |