



All Companies

03/14/2018  
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# COMMISSION STATEMENT March 15, 2018

DANIEL WILLIAM ASHLEY  
10809 Pebblecreek Court

BROKER #: 5276  
BRANCH: HOME OFFICE

Benbrook, TX 76126

## COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	155.57	155.57	0.00	155.57	70.0	108.90
02/28/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	4.38	4.38	0.00	4.38	70.0	3.07
02/26/2018	00020304931	FRANKS, DWIGHT D. THORNBURG GLOBAL OPPORTUNITIES CL A	B	2,200.00	88.00	0.00	88.00	70.0	61.60
02/26/2018	00020304931	FRANKS, DWIGHT D. THORNBURG GLOBAL OPPORTUNITIES CL A	B	2,200.00	88.00	0.00	88.00	70.0	61.60
02/26/2018	00020304931	FRANKS, DWIGHT D. THORNBURG INCOME BUILDER CL A	B	2,200.00	88.00	0.00	88.00	70.0	61.60
02/26/2018	00020304931	FRANKS, DWIGHT D. THORNBURG INCOME BUILDER CL A	B	2,200.00	88.00	0.00	88.00	70.0	61.60
02/26/2018	00020304931	FRANKS, DWIGHT D. THORNBURG STRATEGIC INCOME FUND CL	B	1,100.00	44.00	0.00	44.00	70.0	30.80
02/26/2018	00020304931	FRANKS, DWIGHT D. THORNBURG STRATEGIC INCOME FUND CL	B	1,100.00	44.00	0.00	44.00	70.0	30.80
02/26/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
02/26/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
02/26/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
02/26/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
02/20/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/23/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/23/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/02/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
02/20/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/23/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/23/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/02/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
02/20/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/23/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/23/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/02/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
02/20/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/20/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/23/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/23/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/02/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
02/20/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/23/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/23/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/02/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
02/20/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/20/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/23/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/23/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/02/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/02/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/20/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/20/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/23/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/23/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/02/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/02/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	3.48	0.03	0.00	0.03	70.0	0.02
02/20/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	29.40	0.29	0.00	0.29	70.0	0.20
02/20/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	49.00	0.49	0.00	0.49	70.0	0.34
02/23/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	51.76	0.52	0.00	0.52	70.0	0.36
02/23/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	31.05	0.31	0.00	0.31	70.0	0.22
03/02/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	32.71	0.33	0.00	0.33	70.0	0.23
03/02/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	54.51	0.55	0.00	0.55	70.0	0.39
02/20/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
02/26/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/26/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
03/02/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
02/20/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	38.15	0.38	0.00	0.38	70.0	0.27
02/20/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
02/26/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	37.58	0.38	0.00	0.38	70.0	0.27
02/26/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
03/02/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	37.13	0.37	0.00	0.37	70.0	0.26

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
03/02/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
02/20/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/20/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/23/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/23/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	18.00	0.18	0.00	0.18	70.0	0.13
03/02/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/02/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	15.00	0.15	0.00	0.15	70.0	0.11
02/20/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	22.09	0.22	0.00	0.22	70.0	0.15
02/26/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	26.93	0.27	0.00	0.27	70.0	0.19
02/26/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/02/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	22.37	0.22	0.00	0.22	70.0	0.15
03/02/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/20/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/20/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	18.48	0.18	0.00	0.18	70.0	0.13
02/23/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/23/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	18.00	0.18	0.00	0.18	70.0	0.13
03/02/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/02/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	17.28	0.17	0.00	0.17	70.0	0.12
* MUTUAL FUNDS SUBTOTAL *						\$14,883.57	\$637.20	\$0.00	\$637.20		\$446.16
PRODUCT CATEGORY: VARIABLE ANNUITIES											
02/28/2018	TRAILS	-JOHN HANCOCK			B	491.66	491.66	0.00	491.66	70.0	344.16
03/02/2018	TRAILS	-JOHN HANCOCK			B	30.14	30.14	0.00	30.14	70.0	21.10
02/02/2018	SEXTON, THOMAS	-SECURITY BENEFIT			B	250.00	12.50	0.00	12.50	70.0	8.75
02/27/2018	TRAILS	-SECURITY BENEFIT			B	127.81	127.81	0.00	127.81	70.0	89.47
* VARIABLE ANNUITIES SUBTOTAL *						\$899.61	\$662.11	\$0.00	\$662.11		\$463.48
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES				0.00	1,025.61	0.00	1,025.61	70.0	717.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,025.61	\$0.00	\$1,025.61		\$717.94
*** BROKER TRANSACTIONS TOTAL ***						\$15,783.18	\$2,324.92	\$0.00	\$2,324.92		\$1,627.58

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,627.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,500.08
Year-to-date Earnings	\$4,665.67