



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 31, 2017**

08/29/2017  
 Page No. 1

MATT BRANSTETTER  
 PO Box 250  
 111 S. Main Street  
 Noble, OK 73068

BROKER #: B116  
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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**BROKER TRANSACTIONS**

**PRODUCT CATEGORY: MUTUAL FUNDS**

08/25/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
08/07/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
08/15/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
08/21/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
08/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	3.75	80.0	3.00	0.00	3.00
/ /	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	1.00	80.0	0.80	0.00	0.80
07/31/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.25	80.0	0.20	0.00	0.20
07/31/2017	ROWE-BRANF	BLK MOD 13-16 AGE BASED A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.41	80.0	0.33	0.00	0.33
/ /	DOWNING, JOHN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.89	80.0	0.71	0.00	0.71
/ /	ACOSTA, JF	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BENSON, KARLA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	POORE, NANCY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.25	80.0	0.20	0.00	0.20
/ /	VOCI, ROBERTO	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.59	80.0	0.47	0.00	0.47
/ /	WILLIAMS, JACKIE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.75	80.0	0.60	0.00	0.60
/ /	WRIGHT, MARK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.30	80.0	0.24	0.00	0.24
/ /	ARRINGTON, NANCY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.14	80.0	0.11	0.00	0.11
/ /	ROLLINS, LISA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.05	80.0	0.04	0.00	0.04
/ /	TREADWAY, PAMELA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.16	80.0	0.13	0.00	0.13
/ /	TREADWAY, TOMMY	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.32	80.0	0.26	0.00	0.26
/ /	DOWNING, JOHN	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.71	80.0	0.57	0.00	0.57
/ /	ACOSTA, JF	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.20	80.0	0.16	0.00	0.16
/ /	VOCI, ROBERTO	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.47	80.0	0.38	0.00	0.38
/ /	WILLIAMS, JACKIE	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.59	80.0	0.47	0.00	0.47
/ /	WRIGHT, MARK	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ARRINGTON, NANCY	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	GUGGENHEIM INVESTMENTS COMMODITIES	0.00	0.11	80.0	0.09	0.00	0.09
/ /	ROLLINS, LISA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.14	80.0	0.11	0.00	0.11
/ /	TREADWAY, TOMMY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.26	80.0	0.21	0.00	0.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DOWNING, JOHN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.58	80.0	0.46	0.00	0.46
/ /	ACOSTA, JF	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POORE, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MCFERRON, LINDA	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	VOCI, ROBERTO	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.38	80.0	0.30	0.00	0.30
/ /	WILLIAMS, JACKIE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.49	80.0	0.39	0.00	0.39
/ /	WRIGHT, MARK	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	WHITETREE, THOMAS	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, ELIZABETH	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	GUGGENHEIM WEAKENING DOLLAR 2X	0.00	0.05	80.0	0.04	0.00	0.04
08/15/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
08/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
08/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
08/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
08/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
08/16/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
08/01/2017	MOORE, TONI	PUTNAM GLOBAL TECH A	2,496.62	124.83	80.0	99.86	0.00	99.86
08/01/2017	PLAN	PUTNAM GLOBAL TECH A	5,000.00	250.00	80.0	200.00	0.00	200.00
08/01/2017	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	4,000.00	200.00	80.0	160.00	0.00	160.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/17/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	66.13	0.66	80.0	0.53	0.00	0.53
08/07/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
08/16/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
08/07/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
/ /	WHITETREE, THOMAS	RYDEX BIOTECHNOLOGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX BIOTECHNOLOGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX BIOTECHNOLOGY A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	LIVELY, ELIZABETH	RYDEX BIOTECHNOLOGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX BIOTECHNOLOGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX BIOTECHNOLOGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX BIOTECHNOLOGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX BIOTECHNOLOGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX BIOTECHNOLOGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX BIOTECHNOLOGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX BIOTECHNOLOGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	TREADWAY, TOMMY	RYDEX BIOTECHNOLOGY C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	DOWNING, JOHN	RYDEX BIOTECHNOLOGY C	0.00	1.06	80.0	0.85	0.00	0.85
/ /	ACOSTA, JF	RYDEX BIOTECHNOLOGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX BIOTECHNOLOGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX BIOTECHNOLOGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX BIOTECHNOLOGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX BIOTECHNOLOGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	MCFERRON, LINDA	RYDEX BIOTECHNOLOGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	VOCI, ROBERTO	RYDEX BIOTECHNOLOGY C	0.00	0.70	80.0	0.56	0.00	0.56
/ /	WILLIAMS, JACKIE	RYDEX BIOTECHNOLOGY C	0.00	0.89	80.0	0.71	0.00	0.71
/ /	WRIGHT, MARK	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX BIOTECHNOLOGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	RYDEX BIOTECHNOLOGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ARRINGTON, NANCY	RYDEX BIOTECHNOLOGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX BIOTECHNOLOGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX BIOTECHNOLOGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	0.81	80.0	0.65	0.00	0.65
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POORE, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	0.53	80.0	0.42	0.00	0.42
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	0.68	80.0	0.54	0.00	0.54
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	WHITETREE, THOMAS	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.21	80.0	0.17	0.00	0.17
/ /	LIVELY, ELIZABETH	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.08	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	RYDEX GUGG BANKING A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GUGG BANKING A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG BANKING A	0.00	0.30	80.0	0.24	0.00	0.24
/ /	LIVELY, ELIZABETH	RYDEX GUGG BANKING A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX GUGG BANKING A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX GUGG BANKING A	0.00	0.06	80.0	0.05	0.00	0.05

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	PUCKETT, CHERI	RYDEX GUGG BANKING A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HARRALD, DONALD	RYDEX GUGG BANKING A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG BANKING A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	ROLLINS, LISA	RYDEX GUGG BANKING C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG BANKING C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	RYDEX GUGG BANKING C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	TREADWAY, TOMMY	RYDEX GUGG BANKING C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	DOWNING, JOHN	RYDEX GUGG BANKING C	0.00	1.27	80.0	1.02	0.00	1.02
/ /	ACOSTA, JF	RYDEX GUGG BANKING C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	MELTON, JOY	RYDEX GUGG BANKING C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	BENSON, KARLA	RYDEX GUGG BANKING C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX GUGG BANKING C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG BANKING C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	MCFERRON, LINDA	RYDEX GUGG BANKING C	0.00	0.36	80.0	0.29	0.00	0.29
/ /	VOCI, ROBERTO	RYDEX GUGG BANKING C	0.00	0.84	80.0	0.67	0.00	0.67
/ /	WILLIAMS, JACKIE	RYDEX GUGG BANKING C	0.00	1.07	80.0	0.86	0.00	0.86
/ /	WRIGHT, MARK	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG BANKING C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	RYDEX GUGG BANKING C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ARRINGTON, NANCY	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, KENLEE	RYDEX GUGG BANKING C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX GUGG BANKING C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	WHITETREE, THOMAS	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	LIVELY, ELIZABETH	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.11	80.0	0.09	0.00	0.09

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	TREADWAY, TOMMY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.68	80.0	0.54	0.00	0.54
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.52	80.0	1.22	0.00	1.22
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POORE, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.00	80.0	0.80	0.00	0.80
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.27	80.0	1.02	0.00	1.02
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.44	80.0	0.35	0.00	0.35
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.44	80.0	0.35	0.00	0.35
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.85	80.0	0.68	0.00	0.68
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.92	80.0	1.54	0.00	1.54

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	POORE, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.53	80.0	0.42	0.00	0.42
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.26	80.0	1.01	0.00	1.01
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	1.59	80.0	1.27	0.00	1.27
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	WHITETREE, THOMAS	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, ELIZABETH	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.16	80.0	0.13	0.00	0.13
/ /	TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.32	80.0	0.26	0.00	0.26
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.71	80.0	0.57	0.00	0.57
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POORE, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	McFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.20	80.0	0.16	0.00	0.16
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.47	80.0	0.38	0.00	0.38
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.59	80.0	0.47	0.00	0.47
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.22	80.0	0.98	0.00	0.98
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.11	80.0	0.09	0.00	0.09
08/15/2017	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	300.00	3.00	80.0	2.40	0.00	2.40
08/21/2017	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	100.00	1.00	80.0	0.80	0.00	0.80
08/25/2017	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	20.00	0.20	80.0	0.16	0.00	0.16
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.35	80.0	0.28	0.00	0.28
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	9.57	80.0	7.66	0.00	7.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	WHITETREE, THOMAS	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX HEALTH CARE-A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX HEALTH CARE-A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, ELIZABETH	RYDEX HEALTH CARE-A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX HEALTH CARE-A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX HEALTH CARE-A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX HEALTH CARE-A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX HEALTH CARE-A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX HEALTH CARE-A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ROLLINS, LISA	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX HEALTH CARE-C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	TREADWAY, TOMMY	RYDEX HEALTH CARE-C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	DOWNING, JOHN	RYDEX HEALTH CARE-C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	ACOSTA, JF	RYDEX HEALTH CARE-C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX HEALTH CARE-C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BENSON, KARLA	RYDEX HEALTH CARE-C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	RYDEX HEALTH CARE-C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MCFERRON, LINDA	RYDEX HEALTH CARE-C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX HEALTH CARE-C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	WILLIAMS, JACKIE	RYDEX HEALTH CARE-C	0.00	0.40	80.0	0.32	0.00	0.32
/ /	WRIGHT, MARK	RYDEX HEALTH CARE-C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX HEALTH CARE-C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, RK	RYDEX HEALTH CARE-C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ARRINGTON, NANCY	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX HEALTH CARE-C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX HEALTH CARE-C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX INVERSE NASDAQ 100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX INVERSE NASDAQ 100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	TREADWAY, TOMMY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.26	80.0	0.21	0.00	0.21
/ /	DOWNING, JOHN	RYDEX INVERSE NASDAQ 100 2X	0.00	0.57	80.0	0.46	0.00	0.46

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	ACOSTA, JF	RYDEX INVERSE NASDAQ 100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX INVERSE NASDAQ 100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX INVERSE NASDAQ 100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POORE, NANCY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	MCFERRON, LINDA	RYDEX INVERSE NASDAQ 100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	VOCI, ROBERTO	RYDEX INVERSE NASDAQ 100 2X	0.00	0.38	80.0	0.30	0.00	0.30
/ /	WILLIAMS, JACKIE	RYDEX INVERSE NASDAQ 100 2X	0.00	0.48	80.0	0.38	0.00	0.38
/ /	WRIGHT, MARK	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX INVERSE NASDAQ 100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX INVERSE NASDAQ 100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX INVERSE NASDAQ 100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX INVERSE NASDAQ 100 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	TREADWAY, TOMMY	RYDEX JAPAN 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX JAPAN 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX JAPAN 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MCFERRON, LINDA	RYDEX JAPAN 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	WILLIAMS, JACKIE	RYDEX JAPAN 2X STRATEGY C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ARRINGTON, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX JAPAN 2X STRATEGY H	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.33	80.0	0.26	0.00	0.26
/ /	TREADWAY, TOMMY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.90	80.0	0.72	0.00	0.72
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	2.11	80.0	1.69	0.00	1.69
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MELTON, JOY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.36	80.0	0.29	0.00	0.29
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	DESHIELDS, JAMES	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POORE, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MCFERRON, LINDA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.59	80.0	0.47	0.00	0.47
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.39	80.0	1.11	0.00	1.11
/ /	WILLIAMS, JACKIE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.43	80.0	1.14	0.00	1.14
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.31	80.0	0.25	0.00	0.25
/ /	ARRINGTON, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.33	80.0	0.26	0.00	0.26
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.43	80.0	0.34	0.00	0.34
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.11	80.0	0.09	0.00	0.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.19	80.0	0.15	0.00	0.15
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$14,004.75</b>	<b>\$698.20</b>		<b>\$558.59</b>	<b>\$0.00</b>	<b>\$558.59</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/21/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	100.00	5.25	80.0	4.20	0.00	4.20
07/31/2017	FRANKS, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	58.02	80.0	46.42	0.00	46.42
07/31/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	5.14	80.0	4.11	0.00	4.11
08/03/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
07/31/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	3.75	80.0	3.00	0.00	3.00
07/31/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	12.68	80.0	10.14	0.00	10.14
07/31/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	8.80	80.0	7.04	0.00	7.04
07/31/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	13.76	80.0	11.01	0.00	11.01
07/31/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	10.56	80.0	8.45	0.00	8.45
07/31/2017	FISHER, SARA	PAC LIFE VALUE	0.00	10.50	80.0	8.40	0.00	8.40
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$133.46</b>		<b>\$106.77</b>	<b>\$0.00</b>	<b>\$106.77</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/13/2017	STANFORD, DAVID	ING VOYA MARATHON PLUS	0.00	1.06	80.0	0.85	0.00	0.85
08/09/2017	TREADWAY, TOMMY	PAC LIFE INNOVATIVE SELECT	0.00	32.15	80.0	25.72	0.00	25.72
08/14/2017	NEWBERN, BRENDA	PAC LIFE INNOVATIVE SELECT	0.00	4.29	80.0	3.43	0.00	3.43
08/07/2017	BRANCH, BILLY	PAC LIFE PORTFOLIOS	0.00	267.58	80.0	214.06	0.00	214.06
08/09/2017	WINKLER, CARLA	PAC LIFE VALUE	0.00	21.88	80.0	17.50	0.00	17.50
08/14/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	478.44	80.0	382.75	0.00	382.75
08/14/2017	JOHNSON, LAURENCE	PAC LIFE VALUE	0.00	47.56	80.0	38.05	0.00	38.05
08/14/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	327.83	80.0	262.26	0.00	262.26
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,180.79</b>		<b>\$944.62</b>	<b>\$0.00</b>	<b>\$944.62</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$14,104.75</b>	<b>\$2,012.45</b>		<b>\$1,609.98</b>	<b>\$0.00</b>	<b>\$1,609.98</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,609.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,609.98
Year-to-date Gross	\$40,840.94
Year-to-date Earnings	\$31,818.09