

**COMMISSION STATEMENT****July 15, 2018**

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132

BRANCH: HERBERT ALSTON

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| ^06/15/2018 | MISCHAK, RONALD | ASP ARROW DWA BALANCED A | 0.00 | 8.10 | 0.00 | 8.10 | 100.0 | 0.00 |
| ^06/15/2018 | GASPAR, NICHOLAS | ASP ARROW DWA BALANCED A | 0.00 | 1.20 | 0.00 | 1.20 | 100.0 | 0.00 |
| ^06/15/2018 | WEBER, PENNY | ASP ARROW MAANGED FUTURES STRATEGY | 0.00 | 5.27 | 0.00 | 5.27 | 100.0 | 0.00 |
| ^06/18/2018 | MCDONALD, MARY | COHEN & STEERS INTERNATIONAL REALTY | 0.00 | 1.29 | 0.00 | 1.29 | 100.0 | 0.00 |
| ^06/15/2018 | COHEN, JOYCE | JH GREATER CHINA OPP A | 0.00 | 1.69 | 0.00 | 1.69 | 100.0 | 0.00 |
| ^06/15/2018 | THEIN, CHRISTINE | JH GREATER CHINA OPP A | 0.00 | 1.25 | 0.00 | 1.25 | 100.0 | 0.00 |
| ^06/15/2018 | COHEN, JOYCE | JH GREATER CHINA OPP C | 0.00 | 4.79 | 0.00 | 4.79 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$23.59 | \$0.00 | \$23.59 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| ^06/29/2018 | CHASALOW, FRED | ALLIANZ ALTERITY OPTIONS | 0.00 | 254.94 | 0.00 | 254.94 | 100.0 | 0.00 |
| ^06/29/2018 | CHASALOW, SANDRA | ALLIANZ ALTERITY OPTIONS | 0.00 | 534.40 | 0.00 | 534.40 | 100.0 | 0.00 |
| ^06/29/2018 | MCKEE, MARY | ALLIANZ ALTERITY OPTIONS | 0.00 | 631.15 | 0.00 | 631.15 | 100.0 | 0.00 |
| ^06/29/2018 | CHASALOW, SANDRA | ALLIANZ HIGH FIVE ENHANCED | 0.00 | 119.49 | 0.00 | 119.49 | 100.0 | 0.00 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$1,539.98 | \$0.00 | \$1,539.98 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$1,563.57 | \$0.00 | \$1,563.57 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |