

All Companies  
**STATEMENT**  
**June 20, 2018**

**KATIE PEPIN**  
1990 Main Street #750

**BROKER #: 150**  
**BRANCH:**

Sarasota, FL 34236

**COMMISSION STATEMENT for KATIE PEPIN**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u><b>BROKER TRANSACTIONS</b></u>									
<u><b>PRODUCT CATEGORY: MUTUAL FUNDS</b></u>									
04/16/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
04/25/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	300.00	3.00	93.0	0.00	2.79	0.00	2.79
05/01/2018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	50.00	0.50	93.0	0.00	0.47	0.00	0.47
05/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	250.61	2.51	93.0	0.00	2.33	0.00	2.33
05/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	292.25	2.92	93.0	0.00	2.72	0.00	2.72
05/01/2018	#04220427306 PENNIN, S	MAIN STREET FUND C	123.41	1.23	93.0	0.00	1.14	0.00	1.14
05/15/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,216.27</b>	<b>\$12.16</b>		<b>\$0.00</b>	<b>\$11.31</b>	<b>\$0.00</b>	<b>\$11.31</b>
<u><b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b></u>									
05/07/0018	#N101466130 FOUT, JEAN	NATIONWIDE RENEWAL	573.54	11.47	93.0	0.00	10.67	0.00	10.67
05/07/0018	#N101514030 FOUT, JEAN	NATIONWIDE RENEWAL	183.00	3.66	93.0	0.00	3.40	0.00	3.40
04/30/0201	#E1059724 GREEN	PRUDETIAL TRAILS	0.00	17.93	93.0	0.00	16.67	0.00	16.67
04/30/0201	#E1075717 KING, ROBERT	PRUDETIAL TRAILS	0.00	95.29	93.0	0.00	88.62	0.00	88.62
04/30/0201	#E1774734	PRUDETIAL TRAILS	0.00	8.16	93.0	0.00	7.59	0.00	7.59
04/30/0201	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	4.05	93.0	0.00	3.77	0.00	3.77
04/30/0201	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	16.22	93.0	0.00	15.08	0.00	15.08
04/30/0201	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	29.65	93.0	0.00	27.57	0.00	27.57
04/30/0201	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	118.58	93.0	0.00	110.28	0.00	110.28
04/30/0201	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	22.21	93.0	0.00	20.66	0.00	20.66
04/30/0201	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	5.55	93.0	0.00	5.16	0.00	5.16
04/30/0201	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	29.84	93.0	0.00	27.75	0.00	27.75
04/30/0201	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	119.36	93.0	0.00	111.00	0.00	111.00
04/30/0201	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	2.43	93.0	0.00	2.26	0.00	2.26
04/30/0201	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	7.30	93.0	0.00	6.79	0.00	6.79
05/04/2018	#2721574 FOUT, JEAN	JOHN HANCOCK LIFE TRAILS	0.00	34.36	93.0	0.00	31.95	0.00	31.95
05/31/2018	#1014526117 TONCOLA,	PERSP L 08/11	117,825.23	98.19	93.0	0.00	91.32	0.00	91.32
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$118,581.77</b>	<b>\$624.25</b>		<b>\$0.00</b>	<b>\$580.54</b>	<b>\$0.00</b>	<b>\$580.54</b>

COMMISSION STATEMENT for KATIE PEPIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***			\$119,798.04	\$636.41		\$0.00	\$591.85	\$0.00	\$591.85

ADJUSTMENTS

06/20/2018	BETA BACK OFFICE: ADMIN FEE								-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***									\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$591.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$22.85
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