



COMMISSION STATEMENT

May 14, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
05/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
05/11/2018	***-**-285P - MORMINO, STEVE	PACIFIC LIFE ABRB DTCC	181.67	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: VP71053490	BUY 0 Units @0.0000						
05/07/2018	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067620	BUY 0 Units @0.0000						
	* VARIABLE ANNUITIES SUBTOTAL *		\$531.67	\$6.57	\$0.00	\$6.57		\$5.92
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/14/2018	***-**-815P - WILSON, JOHN	NYA JPM 529 COLLEGE AGE-BASED P A	100.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: 77651113402	BUY 0 Units @0.0000						
	* 529 PLAN SUBTOTAL *		\$100.00	\$2.03	\$0.00	\$2.03		\$1.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/14/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.78	0.00	1.78	90.0	1.60
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
05/14/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	1.95	0.00	1.95	90.0	1.76
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
05/14/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN MID-CAP GRO A	0.00	1.19	0.00	1.19	90.0	1.07
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
05/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 COLLEGE PORT-CL A	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
05/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 PORT 2019-CL A	0.00	2.38	0.00	2.38	90.0	2.14
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
05/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 PORT 2019-CL C	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
05/07/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.77	0.00	0.77	90.0	0.69
	ACCOUNT #: 00701164063	BUY 0 Units @0.0000						
05/07/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.77	0.00	0.77	90.0	0.69
	ACCOUNT #: 00701164071	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY 31618H580	0.00	1.46	0.00	1.46	90.0	1.31
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
05/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.17	0.00	2.17	90.0	1.95
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
05/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV EQUITY INCOME CL A	0.00	0.18	0.00	0.18	90.0	0.16
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
05/07/2018	***-**-885I - TOMASIEWICZ,	FIDELITY ADV EQUITY VALUE CL A	0.00	1.53	0.00	1.53	90.0	1.38
	ACCOUNT #: 00700995913	BUY 0 Units @0.0000						
05/07/2018	***-**-04IR - DEVECCHIS, ROSE	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.51	0.00	0.51	90.0	0.46
	ACCOUNT #: 01438976696	BUY 0 Units @0.0000						
05/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	1.55	0.00	1.55	90.0	1.40
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
05/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.22	0.00	0.22	90.0	0.20
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
05/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.38	0.00	1.38	90.0	1.24
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
05/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	0.11	0.00	0.11	90.0	0.10
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
05/14/2018	***-**-01I2 - PASCALE, ROBERT	MFS UTILITIES FUND-A	0.00	3.65	0.00	3.65	90.0	3.29
	ACCOUNT #: LSR003794	BUY 1 Units @0.0000						
05/14/2018	***-**-71IN - PASCALE, LYNNE	MFS UTILITIES FUND-A	0.00	9.11	0.00	9.11	90.0	8.20
	ACCOUNT #: LSR003816	BUY 1 Units @0.0000						
05/10/2018	***-**-971I - MEEHAN, JULIE	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: 00009175998	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$31.48	\$0.00	\$31.48		\$28.33
*** BROKER TRANSACTIONS TOTAL ***			\$631.67	\$40.08	\$0.00	\$40.08		\$36.08



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
05/04/2018	***-**-1623 - BECCE, MARIA	AES CORP	2,479.00	35.82	9.50	26.32	90.0	23.69
	ACCOUNT #: LSR101028	SELL 200 Units @12.3950						
05/04/2018	***-**-1623 - BECCE, MARIA	AMERICAN TOWER CORPORATION COM USD0	6,947.90	27.00	8.00	19.00	90.0	17.10
	ACCOUNT #: LSR101028	SELL 50 Units @138.9580						
05/04/2018	***-**-1623 - BECCE, MARIA	APPLE INC UNSOLICITED ORDER	5,122.29	27.00	9.00	18.00	90.0	16.20
	ACCOUNT #: LSR101028	SELL 28 Units @182.9390						
05/04/2018	***-**-1623 - BECCE, MARIA	ARK ETF TR 3D PRINTING ETF	1,066.86	23.82	7.95	15.87	90.0	14.28
	ACCOUNT #: LSR101028	SELL 45 Units @23.7080						
05/04/2018	***-**-1623 - BECCE, MARIA	DOVER CORP	8,221.68	27.00	8.40	18.60	90.0	16.74
	ACCOUNT #: LSR101028	SELL 90 Units @91.3520						
05/04/2018	***-**-1623 - BECCE, MARIA	ETF SER SOLUTIONS LONCAR CANCER	2,644.00	27.00	8.50	18.50	90.0	16.65
	ACCOUNT #: LSR101028	SELL 100 Units @26.4400						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.62	0.00	1.62	90.0	1.46
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.76	0.00	1.76	90.0	1.58
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.87	0.00	1.87	90.0	1.68
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.02	0.00	2.02	90.0	1.82
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-915P - WOO, BENE	FA 529 PORT 2019-CL A	0.00	0.27	0.00	0.27	90.0	0.24
	ACCOUNT #: 01446854794	BUY 0 Units @0.0000						
05/07/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	0.00	10.12	0.00	10.12	90.0	9.11
	ACCOUNT #: 00702446014	BUY 0 Units @0.0000						
05/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	0.00	2.67	0.00	2.67	90.0	2.40
	ACCOUNT #: 00700773088	BUY 0 Units @0.0000						
05/07/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	0.00	5.35	0.00	5.35	90.0	4.82
	ACCOUNT #: 00704633189	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
05/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
05/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL C	0.00	2.63	0.00	2.63	90.0	2.37
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
05/07/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 01489789329	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.60	0.00	1.60	90.0	1.44
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.73	0.00	1.73	90.0	1.56
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FA SHORT TERM BOND A	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						
05/07/2018	***-**-809I - YANKILEVICH,	FIDELITY 31618H580	0.00	1.98	0.00	1.98	90.0	1.78
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
05/07/2018	***-**-485I - YANKILEVICH,	FIDELITY 31618H580	0.00	0.88	0.00	0.88	90.0	0.79
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
05/07/2018	***-**-095P - DHANDAPANI,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.22	0.00	2.22	90.0	2.00
	ACCOUNT #: 00536397284	BUY 0 Units @0.0000						
05/07/2018	***-**-809I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.97	0.00	2.97	90.0	2.67
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
05/07/2018	***-**-485I - YANKILEVICH,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.30	0.00	1.30	90.0	1.17
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
05/07/2018	***-**-311I -	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 01425465406	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	1.91	0.00	1.91	90.0	1.72
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
05/07/2018	***-**-727I - PELL, ANDREA	FIDELITY ADV EMERGING MKTS CL A	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV EMERGING MKTS CL A	0.00	1.77	0.00	1.77	90.0	1.59
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	0.00	1.41	0.00	1.41	90.0	1.27
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
05/07/2018	***-**-8048 - MAYER, INGE	FIDELITY ADV EQUITY INCOME CL A	0.00	0.45	0.00	0.45	90.0	0.41
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY ADV FREEDOM 2025-CL A	0.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00525061339	BUY 0 Units @0.0000						
05/07/2018	***-**-54IR - SAVARESE-DILL,	FIDELITY ADV FREEDOM 2025-CL A	0.00	8.54	0.00	8.54	90.0	7.69
	ACCOUNT #: 00525061420	BUY 0 Units @0.0000						
05/07/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	0.00	2.64	0.00	2.64	90.0	2.38
	ACCOUNT #: 01443905136	BUY 0 Units @0.0000						
05/07/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 01488339027	BUY 0 Units @0.0000						
05/07/2018	***-**-809I - YANKILEVICH,	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	2.11	0.00	2.11	90.0	1.90
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
05/07/2018	***-**-485I - YANKILEVICH,	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	0.94	0.00	0.94	90.0	0.85
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
05/07/2018	***-**-727I - PELL, ANDREA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
05/07/2018	***-**-5870 - BRUNO, KIM	FIDELITY ADV MID CAP II-CL A	0.00	1.52	0.00	1.52	90.0	1.37
	ACCOUNT #: 00510642531	BUY 0 Units @0.0000						
05/07/2018	***-**-727I - PELL, ANDREA	FIDELITY ADV MID CAP VALUE-CL A	0.00	0.74	0.00	0.74	90.0	0.67
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV SMALL CAP VALUE-CL A	0.00	1.98	0.00	1.98	90.0	1.78
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FIDELITY ADV SMALL CAP-CL A	0.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FIDELITY ADV STRATEGIC INCOME CL A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV STRATEGIC REAL RETURN-	0.00	1.39	0.00	1.39	90.0	1.25
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FIDELITY ADVISOR ASSET MANAGER 70%	0.00	0.48	0.00	0.48	90.0	0.43
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	0.36	0.00	0.36	90.0	0.32
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
05/07/2018	***-**-4464 - GAMINI, ARUNA	FIDELITY ADVISOR EMERGING ASIA FUND	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 01484913940	BUY 0 Units @0.0000						
05/07/2018	***-**-871I - MURPHY, DENISE	FIDELITY ADVISOR FREEDOM 2040	0.00	2.62	0.00	2.62	90.0	2.36
	ACCOUNT #: 01443905094	BUY 0 Units @0.0000						
05/07/2018	***-**-535I - RIOLO, DOROTHEA	FIDELITY ADVISOR FREEDOM 2040	0.00	1.18	0.00	1.18	90.0	1.06
	ACCOUNT #: 01443938483	BUY 0 Units @0.0000						
05/07/2018	***-**-4464 - GAMINI, ARUNA	FIDELITY ADVISOR FREEDOM 2040	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: 01484913940	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR GOLD FUND CLASS A	0.00	0.79	0.00	0.79	90.0	0.71
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
05/07/2018	***-**-809I - YANKILEVICH,	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
05/07/2018	***-**-485I - YANKILEVICH,	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
05/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
05/07/2018	***-**-727I - PELL, ANDREA	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	0.99	0.00	0.99	90.0	0.89
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FIDELITY DESTINY I - CLASS N	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						
05/07/2018	***-**-120I - SEARS, MARY	FIDELITY SPARTAN TOTAL MARKET INDEX	215.01	1.50	1.50	0.00	90.0	0.00
	ACCOUNT #: LSR010352	BUY 3 Units @76.7900						
05/09/2018	***-**-724J - PIZZA, MICHAEL	GABELLI UTILITIES FD CLASS A	34,861.86	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR012661	SELL 3975 Units @8.7700						
05/01/2018	***-**-18IR - VENA, JOANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	2,500.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR011132	BUY 249 Units @10.0500						
05/01/2018	***-**-18IR - VENA, JOANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	-2,500.00	0.00	-9.00	9.00	90.0	8.10
	ACCOUNT #: LSR011132	CANCEL 250 Units @10.0000						
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	13,800.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012659	BUY 1380 Units @10.0000						
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	13,800.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012659	BUY 1373 Units @10.0500						



COMMISSION STATEMENT

May 14, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	-13,800.00	0.00	-9.00	9.00	90.0	8.10
	ACCOUNT #: LSR012659	CANCEL 1380 Units @10.0000						
05/11/2018	***-**-724J - PIZZA, MICHAEL	GRIFFIN-AMERICAN HEALTHCARE REIT IV	66,832.50	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012661	BUY 6683 Units @10.0000						
05/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
05/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
05/14/2018	***-**-00IR - WHITFIELD, FBO	MASSACHUSETTS INVESTORS TRUST CLASS	0.00	2.39	0.00	2.39	90.0	2.15
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
05/14/2018	***-**-00IR - WHITFIELD, FBO	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.22	0.00	0.22	90.0	0.20
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
05/07/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	135.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
05/07/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	6.75	0.00	6.75	90.0	6.08
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
05/07/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	2.25	0.00	2.25	90.0	2.03
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
05/09/2018	***-**-724J - PIZZA, MICHAEL	SALIENT SELECT INCOME CLASS A	32,245.97	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR012661	SELL 1556 Units @20.7300						
05/04/2018	***-**-1623 - BECCE, MARIA	SECTOR SPDR TR SHS BEN INT INDUSTRI	14,426.00	54.50	9.50	45.00	90.0	40.50
	ACCOUNT #: LSR101028	SELL 200 Units @72.1300						
05/04/2018	***-**-1623 - BECCE, MARIA	SPDR GOLD TR GOLD SHS UNSOLICITED O	16,182.40	35.25	8.80	26.45	90.0	23.81
	ACCOUNT #: LSR101028	SELL 130 Units @124.4800						
05/04/2018	***-**-1623 - BECCE, MARIA	SPDR SER TR DJ WILSHIRE REIT ETF	8,885.70	27.00	8.50	18.50	90.0	16.65
	ACCOUNT #: LSR101028	SELL 100 Units @88.8570						
05/04/2018	***-**-1623 - BECCE, MARIA	V F CORP SOLICITED ORDER	5,487.34	27.00	8.22	18.78	90.0	16.90
	ACCOUNT #: LSR101028	SELL 72 Units @76.2130						



COMMISSION STATEMENT

May 14, 2018

RICHARD PASCALE
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BROKER #: 981
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
05/04/2018	***-**-1623 - BECCE, MARIA	VANGUARD INDEX FDS FORMERLY VANGUAR	2,439.53	27.00	7.60	19.40	90.0	17.46
	ACCOUNT #: LSR101028	SELL 10 Units @243.9530						
05/10/2018	***-**-91IN - MILLER SPINETTI,	WALMART STORES INC UNSOLICITED ORDE	1,583.40	5.23	7.69	-2.46	100.0	-2.46
	ACCOUNT #: LSR010224	BUY 19 Units @83.3370						
05/10/2018	***-**-91IN - MILLER SPINETTI,	WALMART STORES INC UNSOLICITED ORDE	8,333.50	27.00	1.00	26.00	90.0	23.40
	ACCOUNT #: LSR010224	BUY 100 Units @83.3350						
* JEFFREY MORRISON SUBTOTAL *			\$237,633.92	\$666.86	\$140.16	\$526.70		\$470.22
*** SPLIT TRANSACTIONS TOTAL ***			\$237,633.92	\$666.86	\$140.16	\$526.70		\$470.22

VERRIDE TRANSACTIONS

BROKER: ALAN FISHMAN

04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.57	0.00	15.57	7.5	1.17
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-619I - BLUMEN, IAN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	68.47	0.00	68.47	7.5	5.14
	ACCOUNT #: 000015013299403	BUY 0 Units @0.0000						
04/30/2018	***-**-619I - BLUMEN, IAN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	122.43	0.00	122.43	7.5	9.18
	ACCOUNT #: 000015811742741	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 529 645769787	0.00	17.04	0.00	17.04	7.5	1.28
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 645769480	0.00	89.65	0.00	89.65	7.5	6.72
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-71IN - GERHARD, LIEPOLD	FRK SMALL MID CAP GRWTH 529 PORTFL-	0.00	11.29	0.00	11.29	7.5	0.85
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
04/30/2018	***-**-619I - BLUMEN, IAN	FRK VAL FND - CL A	0.00	81.38	0.00	81.38	7.5	6.10
	ACCOUNT #: 000028210803424	BUY 0 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$405.83	\$0.00	\$405.83		\$30.44
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$405.83	\$0.00	\$405.83		\$30.44



BROKER #: 981
BRANCH #01033 - 33 - PASCALE

				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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