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All Companies

LIBERTY PARTNERS

STATEMENT July 20, 2018

JOHN DAVENPORT

800 Connecticut Ave Suite E401 Norwalk, CT 06854

## BROKER #: 030

BRANCH: LA BRANCH

## COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
			BROKER TRANS	a CTTONS					
		_	DROKER TRIME	ACTIONE					
	EGORY: VARIABLE ANNUITIES	_							
	54023288 HAFFNER, SAUL	LINCOLN	В	0.00	19.15	0.00	0.00	19.15 89.	
	59151917 SCHENA, JAMES	LINCOLN	В	0.00	280.93	0.00	0.00	280.93 89.	
	22326161 SMITH, DONALD	LINCOLN	В	0.00	5,524.41	0.00	0.00	5,524.41 89.	
	24107080 CANTINE, EDWARD	LINCOLN	В	0.00	79.95	0.00	0.00	79.95 89.	
06/30/0201 #9	24107213 MENDLESON, BARRY	LINCOLN	В	0.00	819.44	0.00	0.00	819.44 89.	
06/30/0201 #9	24114054 GOLDSMITH, PETER	LINCOLN	В	0.00	463.89	0.00	0.00	463.89 89.	412.86
06/30/0201 #9	24137413 MENDLESON, BARRY	LINCOLN	В	0.00	392.28	0.00	0.00	392.28 89.	349.13
06/30/0201 #9	24137913 STARR, DENNIS	LINCOLN	В	0.00	380.77	0.00	0.00	380.77 89.	338.89
06/30/0201 #9	24141947 CANTINE, EDWARD	LINCOLN	В	0.00	139.89	0.00	0.00	139.89 89.	124.50
06/30/0201 #9	24144568 SABLE, JESSE	LINCOLN	В	0.00	233.07	0.00	0.00	233.07 89.	207.43
06/30/0201 #9	24174104 MENDLESON, BARRY	LINCOLN	В	0.00	757.75	0.00	0.00	757.75 89.	674.40
06/30/0201 #9	24174736 GERSON, ARNOLD	LINCOLN	В	0.00	285.42	0.00	0.00	285.42 89.	254.02
06/30/0201 #9	24180700 MANNUCCIA,	LINCOLN	В	0.00	182.63	0.00	0.00	182.63 89.	162.54
06/30/0201 #9	24301789 MANNUCCIA,	LINCOLN	В	0.00	281.61	0.00	0.00	281.61 89.	250.63
06/30/0201 #9	24442681 WALSH, JOAN	LINCOLN	В	0.00	542.41	0.00	0.00	542.41 89.	482.74
06/30/0201 #9	24457513 PEARLMAN, PENNY	LINCOLN	В	0.00	1,393.75	0.00	0.00	1,393.75 89.	1,240.44
06/30/0201 #9	24457808 MINICUCCI, ROBERT	LINCOLN	В	0.00	342.31	0.00	0.00	342.31 89.	304.66
06/30/0201 #9	24479958 MARX, GEORGE	LINCOLN	В	0.00	569.37	0.00	0.00	569.37 89.	506.74
06/30/0201 #9	24521967 CARDUCCI, ANN-MARII	E LINCOLN	В	0.00	254.42	0.00	0.00	254.42 89.	226.43
06/30/0201 #9	24523382 HANDWERGER, HAROLD	LINCOLN	В	0.00	142.18	0.00	0.00	142.18 89.	126.54
06/30/0201 #9	24529277 LOW, RICHARD	LINCOLN	В	0.00	886.39	0.00	0.00	886.39 89.	788.89
06/30/0201 #9	24529278 LOW, RICHARD	LINCOLN	В	0.00	829.28	0.00	0.00	829.28 89.	738.06
05/31/2018 #1	200275344 CASSELS,	PERSP REWARDS	В	561,055.89	116.89	0.00	0.00	116.89 89.	104.03
05/31/2018 #1	200434590 GOLDSMITH, PETER	PERSP REWARDS	В	233,750.03	48.70	0.00	0.00	48.70 89.	43.34
05/31/2018 #1	200286079 SIEGEL, NURIT	PERSP REWARDS	В	326,525.59	68.03	0.00	0.00	68.03 89.	60.55
	29017881A FRAIR, JULE	PRPS II (0505)	В	137,414.34	57.26	0.00	0.00	57.26 89.	
05/31/2018 #0	29017936A FRAIR, JULE	PRPS II 0505 RP	В	15,029.77	6.26	0.00	0.00	6.26 89.	
	021182610 CROWE, CHRIS	PERSP II 01/16	В	0.02	0.00	0.00	0.00	0.00 89.	
	017311642 ANTON, KLEANTHES	PERSP L 08/11	В	235,962.92	98.32	0.00	0.00	98.32 89.	
	017320242 ANTON, KLEANTHES	PERSP L 08/11	В	511,118.83	212.97	0.00	0.00	212.97 89.	
	010814975 ARTMAN HODGE,	PERSP REWARDS	В	533,497.38	155.60	0.00	0.00	155.60 89.	

## COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	<u> </u>	BROKER TRANS	SACTIONS					
PRODUCT CATEGORY: VARIABLE	ANNUITIES							
06/30/2018 #1011996341 CARDUCC		В	464,797.29	135.57	0.00	0.00	135.57 89.0	120.66
06/30/2018 #1009751552 HARMAN,		В	1,225,693.20	357.49	0.00	0.00	357.49 89.0	318.17
06/30/2018 #1010820258 HODGE,	JAMES PERSP REWARDS	В	331,695.75	96.74	0.00	0.00	96.74 89.0	86.10
06/30/2018 #1010209702 SANDBER	G, STUART PERSP REWARDS	В	214,683.16	62.62	0.00	0.00	62.62 89.0	55.73
06/30/2018 #1011235413 STARR,	MICHELE PERSP REWARDS	В	148,006.11	43.17	0.00	0.00	43.17 89.0	38.42
06/30/2018 #1011501482 YOUNG,	ROGER PERSP REWARDS	В	213,775.79	62.35	0.00	0.00	62.35 89.0	55.49
	* VARIABLE ANNUITI	ES SUBTOTAL *	\$5,153,006.07	\$16,323.27	\$0.00	\$0.00	\$16,323.27	\$14,527.69
PRODUCT CATEGORY: MUTUAL F	UND 12B1 TRAILS							
06/11/2018 # ANTON, KLEANTHES	DAIN TRAILER	В	0.00	0.64	0.00	0.00	0.64 89.0	0.57
06/11/2018 # DAVENPORT, ANDEW	DAIN TRAILER	В	0.00	2.69	0.00	0.00	2.69 89.0	2.39
06/11/2018 # CAROLE R ARTMAN	DAIN TRAILER	S	5,512.00	0.00	0.00	0.00	0.00 89.0	0.00
06/19/2018 # CAROLE R ARTMAN	DAIN TRAILER	В	0.00	6.86	0.00	0.00	6.86 89.0	6.11
06/26/2018 #VM18004560 LOW, RI	CHARD 69448B191	В	0.00	38,337.63	0.00	0.00	38,337.63 89.0	34,120.49
	* MUTUAL FUND 12B1 TRAI	LS SUBTOTAL *	\$5,512.00	\$38,347.82	\$0.00	\$0.00	\$38,347.82	\$34,129.56
	*** BROKER TRANSACTION	ONS TOTAL ***	\$5,158,518.07	\$54,671.09	\$0.00	\$0.00	\$54,671.09	\$48,657.25
		ADJUSTME	<u>NTS</u>					
07/20/2018	CIRCLEBLACK: CIRCLEBLAC	K						-175.00
07/20/2018	E & O INSURANCE: E & O	INSURANCE						-179.00
07/20/2018	ADMIN FEE: ADMIN FEE							-390.00
07/20/2018	FEDEX: FEDEX - JESSE SA	BLE						-10.89
07/20/2018	FEDEX: FEDEX - FIRST NA	TIONAL BANK						-11.13
07/20/2018	FEDEX: FEDEX - CHRISTIN	E ROSSETTI						-8.17
07/20/2018	FEDEX: FEDEX - KAREN AR	MS						-15.41
07/20/2018	FEDEX: FEDEX - GARY CAS	ELLA						-6.84
07/20/2018	MWWOD:MISCELLANEOUS: WR	ITE-OFF						-200.00
	DEBIT BALANCE							
07/20/2018	FEDEX: FEDEX - JOSEPH R	AVELLI						-15.57
07/20/2018	FEDEX: FEDEX							-6.84
07/20/2018	FEDEX: FEDEX - JESSE SA	BLE						-10.89
07/20/2018	FEDEX: FEDEX - JOSEPH R	AVELLI						-11.63
07/20/2018	FEDEX: FEDEX - LAURA JE	NSEN						-10.89
07/20/2018	FEDEX: FEDEX - STEVE TH	OMAS						-10.97
07/20/2018	ASSISTANT FEE: ASSISTAN	T FEE						-59.00
07/20/2018	ASSISTANT FEE: ASSISTAN	T FEE						-59.00
07/20/2018	ADVANCE: ADVANCE - ESCR							-18,000.00
	LOW							
07/20/2018	ADVANCE: ADVANCE - RICH	ARD LOW						-18,000.00

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COMMISSION STATEMENT for JOHN DAVENPORT

INVESTMENT

GROSS

CLEARING

NET

BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION	RATE	COMMISSION
		*** ADJUSTMENTS	TOTAL ***						•	\$-37,181.2
							BROKER	COMMISSIO	N TOT	ALS
						Brol	ker Transa	ctions	\$48,	657.25
						Sp	lit Transa	ctions		\$0.00
						Overr	ide Transa	ctions		\$0.00
							Adjus	stments	\$-37,	181.23
							Payrol	.l Draw		\$0.00
							Base	Salary		\$0.00
						Prio	r Period E	Balance		\$0.00
						1	FINRA Asse	essment		\$0.00
							SIPC Asse	essment		\$0.00
							Check	Amount	\$11,	476.02