

COMMISSION STATEMENT

December 31, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: 23

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/27/2017	QGN005720	MARIO CAMARA		ENERGIOUS CORP COM	S	-21,839.10	339.11	17.00	322.11 75.0 237.33
12/18/2017	QGW031809	SABER, MARK		ENERGIOUS CORP COM	S	-9,250.00	120.00	17.00	103.00 75.0 73.00
12/18/2017	QGW031809	SABER, MARK		VUZIX CORP COM NEW	B	5,950.00	100.00	17.00	83.00 75.0 58.00
12/19/2017	QGW031809	SABER, MARK		ENERGIOUS CORP COM	S	-4,815.00	104.30	17.00	87.30 75.0 61.23
12/19/2017	QGW031809	SABER, MARK		ENERGIOUS CORP COM	S	-4,735.00	104.29	17.00	87.29 75.0 61.22
12/19/2017	QGW031809	SABER, MARK		ENERGIOUS CORP COM	S	4,735.00	-104.29	-17.00	-87.29 75.0 -61.22 C
12/11/2017	QGW031833	CASSADY REVOCABLE		VUZIX CORP COM NEW	B	13,450.00	200.00	17.00	183.00 75.0 133.00
12/27/2017	QGW031833	CASSADY REVOCABLE		ENERGIOUS CORP COM	S	-6,800.00	133.14	17.00	116.14 75.0 82.86
12/27/2017	QGW031874	LABOMBARD, JOYCE		ENERGIOUS CORP COM	S	-1,714.00	50.00	17.00	33.00 75.0 20.50
12/27/2017	QGW031874	LABOMBARD, JOYCE		VUZIX CORP COM NEW	B	1,872.51	75.00	17.00	58.00 75.0 39.25
12/11/2017	QGW031882	MICKES, THOMAS		VUZIX CORP COM NEW	B	16,812.50	250.00	17.00	233.00 75.0 170.50
12/14/2017	QGW031882	MICKES, THOMAS		ENERGIOUS CORP COM	S	-13,516.20	225.00	17.00	208.00 75.0 151.75
12/14/2017	QGW031882	MICKES, THOMAS		REGULUS THERAPEUTICS INC COM	S	-4,451.50	100.00	17.00	83.00 75.0 58.00
12/19/2017	QGW031882	MICKES, THOMAS		ENERGIOUS CORP COM	S	-19,360.00	295.90	17.00	278.90 75.0 204.93
12/19/2017	QGW031882	MICKES, THOMAS		ENERGIOUS CORP COM	S	-19,260.00	295.89	17.00	278.89 75.0 204.92
12/19/2017	QGW031882	MICKES, THOMAS		ENERGIOUS CORP COM	S	19,360.00	-295.90	-17.00	-278.90 75.0 -204.93 C
12/27/2017	QGW031882	MICKES, THOMAS		ENERGIOUS CORP COM	S	-42,213.00	500.00	17.00	483.00 75.0 358.00
12/04/2017	QGW031890	MICKES, THOMAS		NEONODE INC COM NEW	S	-2,005.00	100.00	17.00	83.00 75.0 58.00
12/11/2017	QGW031957	COLE, PHILLIP		VUZIX CORP COM NEW	B	8,406.25	125.00	17.00	108.00 75.0 76.75
12/14/2017	QGW031957	COLE, PHILLIP		ENERGIOUS CORP COM	S	-9,012.10	150.00	17.00	133.00 75.0 95.50
12/14/2017	QGW031957	COLE, PHILLIP		REGULUS THERAPEUTICS INC COM	S	-4,451.50	100.00	17.00	83.00 75.0 58.00
12/19/2017	QGW031957	COLE, PHILLIP		ENERGIOUS CORP COM	S	-9,630.00	209.49	17.00	192.49 75.0 140.12

* STOCKS SUBTOTAL *	\$-102,466.14	\$3,176.93	\$306.00	\$2,870.93	\$2,076.71
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PRODUCT CATEGORY: OPTIONS

12/18/2017	QGW031809	SABER, MARK		CALL 100 ENERGIOUS CORP COM EXP 08-1 B		2,500.00	114.95	25.00	89.95 75.0 61.21
12/27/2017	QGW031809	SABER, MARK		CALL 100 ENERGIOUS CORP COM EXP 01-1 S		-1,500.00	100.65	25.00	75.65 75.0 50.49
12/27/2017	QGW031809	SABER, MARK		CALL 100 ENERGIOUS CORP COM EXP 05-1 S		-6,500.00	174.50	25.00	149.50 75.0 105.88
12/27/2017	QGW031809	SABER, MARK		CALL 100 ENERGIOUS CORP COM EXP 08-1 S		-8,000.00	191.27	25.00	166.27 75.0 118.45
12/28/2017	QGW031809	SABER, MARK		CALL 100 ENERGIOUS CORP COM EXP 01-1 S		-6,000.00	131.65	22.50	109.15 75.0 76.24
12/28/2017	QGW031809	SABER, MARK		CALL 100 ENERGIOUS CORP COM EXP 01-1 S		-12,000.00	235.98	25.00	210.98 75.0 151.99

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
12/27/2017	QGW031833	CASSADY REVOCABLE	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-3,000.00	163.90	30.00	133.90	75.0	92.93
12/28/2017	QGW031833	CASSADY REVOCABLE	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-18,000.00	327.89	27.50	300.39	75.0	218.42
12/27/2017	QGW031882	MICKES, THOMAS	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-3,000.00	100.61	25.00	75.61	75.0	50.46
12/27/2017	QGW031882	MICKES, THOMAS	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-6,000.00	201.20	30.00	171.20	75.0	120.90
12/27/2017	QGW031882	MICKES, THOMAS	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-4,500.00	222.75	35.00	187.75	75.0	132.06
12/28/2017	QGW031882	MICKES, THOMAS	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-18,000.00	327.89	27.50	300.39	75.0	218.42
12/28/2017	QGW031882	MICKES, THOMAS	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-60,000.00	747.68	45.00	702.68	75.0	515.76
12/27/2017	QGW031908	FINNEGAN, MICHAEL	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-1,500.00	100.65	25.00	75.65	75.0	50.49
12/27/2017	QGW031957	COLE, PHILLIP	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-1,500.00	100.65	25.00	75.65	75.0	50.49
12/28/2017	QGW031957	COLE, PHILLIP	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-12,000.00	235.98	25.00	210.98	75.0	151.99
12/28/2017	QGW031957	COLE, PHILLIP	CALL 100	ENERGOUS CORP COM EXP 01-1 S	-25,000.00	437.18	32.50	404.68	75.0	295.39
* OPTIONS SUBTOTAL *					\$-184,000.00	\$3,915.38	\$475.00	\$3,440.38	\$2,461.57	
*** BROKER TRANSACTIONS TOTAL ***					\$-286,466.14	\$7,092.31	\$781.00	\$6,311.31	\$4,538.28	

ADJUSTMENTS

12/31/2017	ADVANCE: ADVANCE	0.00	0.00	0.00	0.00	-3,893.68
12/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
12/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
12/31/2017	OTHER: OTHER-STATE REGIST	0.00	0.00	0.00	0.00	-590.00
12/31/2017	OTHER: OTHER-FINRA CHARGES	0.00	0.00	0.00	0.00	-150.00
12/31/2017	:	0.00	0.00	0.00	0.00	0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$-4,661.68

BROKER COMMISSION TOTALS

Broker Transactions	\$4,538.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,661.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.87
SIPC Assessment	\$-17.73

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-150.00
Year-to-date Earnings	\$8,430.82