



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

DANIEL RAUPP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: R132
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/10/2017	MCLAUGHLIN, SHANE	NEW YORK REIT INC COM	-5,981.50	0.00	23.05	-23.05	100.0	-23.05
		* STOCKS SUBTOTAL *	\$-5,981.50	\$0.00	\$23.05	\$-23.05		\$-23.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	GARRETT, DIANA	AXA ACCUM 07 ELITE (RO IRA)	59,926.65	149.82	0.00	149.82	100.0	149.82
06/12/2017	EMPIRE ELECTRONICS	AXA STRUCT'D CAP STRATEGIES 16 SER	164,000.00	1,640.00	0.00	1,640.00	100.0	1,640.00
06/12/2017	EMPIRE ELECTRONICS	AXA STRUCT'D CAP STRATEGIES 16 SER	-164,000.00	-1,640.00	0.00	-1,640.00	100.0	-1,640.00
07/05/2017	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	626,970.45	515.32	0.00	515.32	100.0	515.32
06/30/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	65,044.35	54.20	0.00	54.20	100.0	54.20
06/30/2017	SPODEK	PRU PREMIER RETIREMENT L	207,551.79	518.88	0.00	518.88	100.0	518.88
06/30/2017	MAZZATTI, LEONARD	PRU PREMIER RETIREMENT L	91,667.63	229.17	0.00	229.17	100.0	229.17
06/29/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	55,444.93	46.20	0.00	46.20	100.0	46.20
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,106,605.80	\$1,513.59	\$0.00	\$1,513.59		\$1,513.59
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/21/2017	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.10	0.00	3.10	100.0	3.10
06/21/2017	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.02	0.00	5.02	100.0	5.02
06/15/2017	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.09	0.00	2.09	100.0	2.09
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$10.21	\$0.00	\$10.21		\$10.21
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2017	LOYE, ARTHUR	LFG TRAILS	0.00	98.69	0.00	98.69	100.0	98.69
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$98.69	\$0.00	\$98.69		\$98.69
		*** BROKER TRANSACTIONS TOTAL ***	\$1,100,624.30	\$1,622.49	\$23.05	\$1,599.44		\$1,599.44

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u>								
06/08/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.66	0.00	2.66	100.0	2.66
06/19/2017	SULLIVAN, MICHAEL	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	-170.00	0.00	-170.00	100.0	-170.00
06/22/2017	SULLIVAN, MICHAEL	TEI DIVERSIFIED INC & OPP FD 3	50,000.00	1,190.00	0.00	1,190.00	100.0	1,190.00
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$100,000.00	\$1,022.66	\$0.00	\$1,022.66		\$1,022.66
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
06/08/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.30	0.00	1.30	100.0	1.30
06/08/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.08	0.00	3.08	100.0	3.08
06/08/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.72	0.00	3.72	100.0	3.72
06/08/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.30	0.00	1.30	100.0	1.30
06/21/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.28	0.00	0.28	100.0	0.28
06/21/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	100.0	0.22
06/21/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.24	0.00	1.24	100.0	1.24
06/21/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	100.0	0.29
06/21/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	100.0	0.32
06/21/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	100.0	0.16
06/21/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	100.0	0.11
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$12.02	\$0.00	\$12.02		\$12.02
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
06/08/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.93	0.00	0.93	100.0	0.93
06/08/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.41	0.00	0.41	100.0	0.41
06/08/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.00	0.00	1.00	100.0	1.00
06/13/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.53	0.00	0.53	100.0	0.53
06/13/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.10	0.00	2.10	100.0	2.10
06/13/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.26	0.00	1.26	100.0	1.26
06/23/2017	RABETZ, WILLIAM	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	875.00	0.00	875.00	100.0	875.00
06/16/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.49	0.00	0.49	100.0	0.49
06/16/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.80	0.00	0.80	100.0	0.80
07/10/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	3.50	0.00	5.63	-5.63	100.0	-5.63
06/14/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.32	0.00	0.32	100.0	0.32
06/21/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	100.0	0.49
06/21/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.27	0.00	0.27	100.0	0.27
06/21/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.74	0.00	0.74	100.0	0.74

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
06/21/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.10	0.00	0.10	100.0	0.10
06/21/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.58	0.00	0.58	100.0	0.58
06/21/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	100.0	0.37
06/21/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	100.0	0.21
06/21/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.46	0.00	0.46	100.0	0.46
06/16/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.30	0.00	5.30	100.0	5.30
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$50,003.50	\$891.36	\$5.63	\$885.73		\$885.73
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
06/16/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
06/14/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	100.0	0.62
06/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.55	0.00	0.55	100.0	0.55
06/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.23	0.00	0.23	100.0	0.23
06/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	100.0	0.22
06/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.45	0.00	0.45	100.0	0.45
06/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	4.54	0.00	4.54	100.0	4.54
06/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.61	0.00	2.61	100.0	2.61
06/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.27	0.00	0.27	100.0	0.27
06/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.29	0.00	0.29	100.0	0.29
06/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	100.0	0.20
06/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	100.0	0.16
06/09/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.34	0.00	0.34	100.0	0.34
06/09/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.61	0.00	1.61	100.0	1.61
06/20/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	100.0	0.76
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$12.93	\$0.00	\$12.93		\$12.93
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
06/27/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.19	0.00	2.19	100.0	2.19
06/19/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.46	0.00	6.46	100.0	6.46
06/19/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.47	0.00	5.47	100.0	5.47
06/19/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.42	0.00	16.42	100.0	16.42
06/19/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.32	0.00	5.32	100.0	5.32
06/19/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.98	0.00	15.98	100.0	15.98
06/14/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.72	0.00	1.72	100.0	1.72

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
06/14/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.21	0.00	2.21	100.0	2.21
06/14/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.58	0.00	1.58	100.0	1.58
06/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.45
06/21/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.65	0.00	9.65	100.0	9.65
06/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	100.0	0.35
06/09/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	67.23	0.00	67.23	100.0	67.23
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$0.00	\$135.03	\$0.00	\$135.03		\$135.03
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
06/22/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.98	0.00	8.98	100.0	8.98
06/08/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.71	0.00	2.71	100.0	2.71
06/27/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	67,000.00	0.00	11.00	-11.00	100.0	-11.00
06/27/2017	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	135,000.00	0.00	11.00	-11.00	100.0	-11.00
07/11/2017	JOHNSON FAMILY TRUST	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	3,500.00	0.00	3,500.00	100.0	3,500.00
07/11/2017	JOHNSON FAMILY TRUST	GPB WASTE MANAGEMENT LP	130,000.00	4,550.00	0.00	4,550.00	100.0	4,550.00
06/21/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.82	0.00	6.82	100.0	6.82
06/21/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.77	0.00	2.77	100.0	2.77
06/21/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.47	0.00	1.47	100.0	1.47
06/21/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.78	0.00	4.78	100.0	4.78
06/21/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.23	0.00	1.23	100.0	1.23
06/21/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.61	0.00	5.61	100.0	5.61
06/21/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.75	0.00	1.75	100.0	1.75
06/21/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.03	0.00	0.03	100.0	0.03
06/21/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.21	0.00	4.21	100.0	4.21
06/21/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.49	0.00	4.49	100.0	4.49
06/21/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.93	0.00	0.93	100.0	0.93
06/21/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.88	0.00	0.88	100.0	0.88
06/09/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.18	0.00	4.18	100.0	4.18
06/19/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.18	0.00	5.18	100.0	5.18
06/14/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.16	0.00	0.16	100.0	0.16
06/14/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.41	0.00	0.41	100.0	0.41
06/20/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.41	0.00	0.41	100.0	0.41
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$432,000.00	\$8,107.00	\$22.00	\$8,085.00		\$8,085.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
06/20/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	100.0	0.07
06/08/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.22	0.00	2.22	100.0	2.22
06/08/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.36	0.00	0.36	100.0	0.36
06/08/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.18	0.00	1.18	100.0	1.18
06/08/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.64	0.00	1.64	100.0	1.64
06/19/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.84	0.00	0.84	100.0	0.84
06/23/2017	QUADROZZI, CATHERINE	INLAND RIVERDALE MULITFAMILY DST	500,000.00	6,250.00	0.00	6,250.00	100.0	6,250.00
06/14/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	1.92	0.00	1.92	100.0	1.92
06/14/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	2.79	0.00	2.79	100.0	2.79
06/14/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	1.82	0.00	1.82	100.0	1.82
06/14/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.73	0.00	1.73	100.0	1.73
06/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	100.0	0.46
06/21/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.45	0.00	2.45	100.0	2.45
06/21/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	100.0	0.14
06/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.41	0.00	1.41	100.0	1.41
06/21/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.97	0.00	0.97	100.0	0.97
06/09/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.35	0.00	2.35	100.0	2.35
06/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.75	0.00	0.75	100.0	0.75
06/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.69	0.00	0.69	100.0	0.69
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$500,000.00	\$6,273.79	\$0.00	\$6,273.79		\$6,273.79
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
06/08/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.62	0.00	3.62	100.0	3.62
06/21/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	100.0	0.71
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.33	\$0.00	\$4.33		\$4.33
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
06/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.50	0.00	0.50	100.0	0.50
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.50	\$0.00	\$0.50		\$0.50
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
06/20/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	100.0	0.03
06/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.57	0.00	0.57	100.0	0.57
06/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.06	0.00	2.06	100.0	2.06
06/21/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.36	0.00	1.36	100.0	1.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
06/21/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.99	0.00	0.99	100.0	0.99
06/19/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	100.0	0.15
06/19/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.38	0.00	0.38	100.0	0.38
06/19/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.02	0.00	0.02	100.0	0.02
06/19/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.06	0.00	0.06	100.0	0.06
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$5.62	\$0.00	\$5.62		\$5.62
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
06/19/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.63	0.00	0.63	100.0	0.63
06/19/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.32	0.00	1.32	100.0	1.32
06/19/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.97	0.00	3.97	100.0	3.97
06/26/2017	AJAKIE TRUST	EXCHANGERIGHT NET LEASED PF 16 DST	247,229.97	2,163.26	0.00	2,163.26	100.0	2,163.26
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$247,229.97	\$2,169.18	\$0.00	\$2,169.18		\$2,169.18
<u>BROKER: R142 - DAN/JON PAUL FT-RAUPP/CIRELL #387</u>								
06/20/2017	FLACCO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	2,000,000.00	49,000.00	0.00	49,000.00	100.0	49,000.00
* R142 - DAN/JON PAUL FT-RAUPP/CIRELL SUBTOTAL *			\$2,000,000.00	\$49,000.00	\$0.00	\$49,000.00		\$49,000.00
*** SPLIT TRANSACTIONS TOTAL ***			\$3,329,233.47	\$67,634.42	\$27.63	\$67,606.79		\$67,606.79

OVERRIDE TRANSACTIONS

<u>BROKER: JON PAUL CIRELLI #246</u>								
06/22/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	95.0	1.07
* JON PAUL CIRELLI SUBTOTAL *			\$0.00	\$21.40	\$0.00	\$21.40		\$1.07
<u>BROKER: MARC LINSKY #263</u>								
06/30/2017	LINSKY, VARIOUS	LFG TRAILS	0.00	26,945.17	0.00	26,945.17	90.0	458.07
07/03/2017	KROSNOWSKI, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.32	0.00	0.32	90.0	0.01
07/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.34	0.00	0.34	90.0	0.01
06/30/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	116,277.33	290.69	0.00	290.69	90.0	4.94
06/30/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,726.92	94.32	0.00	94.32	90.0	1.60
06/30/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	303,201.27	758.00	0.00	758.00	90.0	12.89
06/30/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	73,800.77	184.50	0.00	184.50	90.0	3.14
06/30/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	23,734.62	59.34	0.00	59.34	90.0	1.01
06/30/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	140,756.85	351.89	0.00	351.89	90.0	5.98

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: MARC LINSKY #263								
07/03/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.17
07/03/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
06/30/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	49,800.95	155.63	0.00	155.63	90.0	2.65
06/30/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	15,738.88	39.35	0.00	39.35	90.0	0.67
06/30/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	5,918.64	5.92	0.00	5.92	90.0	0.10
07/05/2017	KROSNOWSKI, LISA	ING PREMIUM PLUS	0.00	60.97	0.00	60.97	90.0	1.04
07/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
06/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	260,971.18	217.48	0.00	217.48	90.0	3.70
06/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	261,454.00	217.88	0.00	217.88	90.0	3.70
06/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	409,341.38	341.12	0.00	341.12	90.0	5.80
06/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	512,911.71	427.43	0.00	427.43	90.0	7.27
06/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	105,873.52	88.23	0.00	88.23	90.0	1.50
06/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,050.25	2.93	0.00	2.93	90.0	0.05
06/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,158.47	170.97	0.00	170.97	90.0	2.91
06/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.43	0.00	28.43	90.0	0.48
06/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.32	0.00	15.32	90.0	0.26
06/30/2017	LINSKY, MARC	METLIFE SERIES L	34,272.82	85.68	0.00	85.68	90.0	1.46
06/30/2017	FLYNN, JOHN	METLIFE SERIES L	109,142.71	272.86	0.00	272.86	90.0	4.64
06/30/2017	GARAFOLA, MICHAEL	METLIFE SERIES XC	276,352.54	345.44	0.00	345.44	90.0	5.87
06/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	145.90	0.00	145.90	90.0	2.48
06/30/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	11,084.68	27.71	0.00	27.71	90.0	0.47
06/30/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	11,084.68	6.93	0.00	6.93	90.0	0.12
07/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	4.04	0.00	4.04	90.0	0.07
07/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.22	0.00	6.22	90.0	0.11
06/30/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-24,006.30	30.00	23.50	6.50	90.0	0.11
06/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	113,614.76	94.68	0.00	94.68	90.0	1.61
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.56	0.00	8.56	90.0	0.15
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.36	0.00	0.36	90.0	0.01
06/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
06/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.60	0.00	6.60	90.0	0.11
06/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$3,070,437.63	\$31,549.71	\$23.50	\$31,526.21		\$535.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,070,437.63	\$31,571.11	\$23.50	\$31,547.61		\$537.06
ADJUSTMENTS								
07/15/2017		*EO INS: CURRENT MONTH INS	0.00					-75.00
07/15/2017		*EO INS: CURRENT MONTH INS	0.00					-45.00
07/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-45.00
07/15/2017		*EO INS: N ELIAS	0.00					-45.00
07/15/2017		*FEDERAL EXPRESS: 5-855-69205 7/5/1	0.00					-34.46
07/15/2017		*FINRA: CE TRACKED WEB FEE	0.00					-55.00
07/15/2017		*FINRA: FBI FINGERPRINT FEE LEEDS	0.00					-10.00
07/15/2017		*FINRA: FINGERPRINT FEE	0.00					-15.00
07/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
07/15/2017		*MISC: AJAKI DD	0.00					-997.50
07/15/2017		*MISC: AW/GOOGLE	0.00					-3,326.93
07/15/2017		*MISC: C FULMER, A LEEDS K141	0.00					540.00
07/15/2017		*MISC: C FULMER, A LEEDS C141	0.00					540.00
07/15/2017		*MISC: REF/PUG&NISS	0.00					3,250.00
07/15/2017		*MISC: REF/PUG&NISS	0.00					-1,625.00
07/15/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
07/15/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
07/15/2017		*MISC: VIB/CHARITY	0.00					16,500.00
07/15/2017		*MISC: VIB/CHARITY	0.00					-5,775.00
07/15/2017		*MISC: VIB/CHARITY	0.00					-5,775.00
07/15/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					1,127.05
07/15/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					326.06
07/15/2017		*OVERRIDE: LINSKY	0.00					577.71
07/15/2017		*OVERRIDE: SPLITS-CIRELLI	0.00					5,580.90
07/15/2017		*OVERRIDE: SPLITS-KIESNOSKI	0.00					1,923.71
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.99
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.38
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.99
07/15/2017		*PERSHING: MARK UPS	0.00					30.00
07/15/2017		*PERSHING: MARK UPS	0.00					7.50

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<u>ADJUSTMENTS</u>								
07/15/2017		*PERSHING: MARK UPS	0.00					30.00
07/15/2017		*PERSHING: PROSPECTUS	0.00					-0.78
07/15/2017		*PERSHING: PROSPECTUS	0.00					-0.33
07/15/2017		*PERSHING: STREAMING QUOTES	0.00					-68.00
07/15/2017		*TECH: ADMIN FULMER	0.00					-50.00
07/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-34.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-68.75
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
07/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
07/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$14,668.07

BROKER COMMISSION TOTALS

Broker Transactions	\$1,599.44
Split Transactions	\$67,606.79
Override Transactions	\$537.06
Adjustments	\$14,668.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$84,411.36
Year-to-date Gross	\$614,622.54
Year-to-date Earnings	\$627,543.28

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