

**COMMISSION STATEMENT****November 15, 2017**

DANIEL CHARLEY
6 Lexington Court

BROKER #: C142

BRANCH: E STREET FINANCIAL L

Shamong, NJ 08088-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	0.00	5.13	0.00	5.13	90.0	4.62
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$5.13	\$0.00	\$5.13		\$4.62
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$5.13	\$0.00	\$5.13		\$4.62

SPLIT TRANSACTIONS**BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315**

10/19/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
10/13/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.80	0.00	2.80	90.0	2.52
10/27/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.44	0.00	2.44	90.0	2.20
11/01/2017	NEELD, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	26,000.00	130.00	0.00	130.00	90.0	117.00
10/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.86	0.00	0.86	90.0	0.77
10/17/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.40	0.00	3.40	90.0	3.06
10/16/2017	MENZ, COLLEEN	COTTONWOOD RESIDENTIAL 2016 PREFR'D	200,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
10/16/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.15	0.00	2.15	90.0	1.94
10/20/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	5.92	0.00	5.92	90.0	5.33
10/23/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.95	0.00	0.95	90.0	0.86
10/18/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.04	0.00	3.04	90.0	2.74
10/18/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.13	0.00	9.13	90.0	8.22
10/18/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.52	0.00	3.52	90.0	3.17
10/18/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.57	0.00	10.57	90.0	9.51
10/30/2017	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	1.56	0.00	1.56	90.0	1.40
10/12/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.10	0.00	3.10	90.0	2.79
10/13/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
10/19/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.00	0.00	0.00	90.0	0.00
10/23/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.67	0.00	1.67	90.0	1.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
10/12/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.98	0.00	0.98	90.0	0.88
10/12/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.52	0.00	0.52	90.0	0.47
10/12/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.16	0.00	0.16	90.0	0.14
* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *			\$226,000.00	\$7,183.66	\$0.00	\$7,183.66		\$6,465.30
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
11/06/2017	ROULHAC, DEBRA	ALABAMA ST UNIV REV TAXABLE-GEN TUI	-58,237.85	9.24	8.91	0.33	90.0	0.30
10/19/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	1.08	0.00	1.08	90.0	0.97
11/06/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	-27,253.89	7.26	7.26	0.00	90.0	0.00
10/19/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	90.0	0.35
11/06/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	-9,686.58	7.26	7.26	0.00	90.0	0.00
11/06/2017	ROULHAC, JAMES	AMERICAN FUNDS BALANCED FUND F2	-5,671.51	0.00	0.00	0.00	90.0	0.00
11/06/2017	ROULHAC, JAMES	BLACKROCK MULTI-ASSET INCOME PORTFO	-7,114.35	0.00	0.00	0.00	90.0	0.00
10/23/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.20	0.00	0.20	90.0	0.18
11/06/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	-4,685.75	0.00	0.00	0.00	90.0	0.00
11/06/2017	ROULHAC, JAMES	CENTER COAST MLP FOCUS FUND CLASS I	-6,037.96	0.00	7.26	-7.26	90.0	-6.53
11/06/2017	ROULHAC, JAMES	FIDELITY ADVISOR LATIN AMERICA FUND	-2,055.73	0.00	7.26	-7.26	90.0	-6.53
10/18/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.62	0.00	1.62	90.0	1.46
10/18/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.15	0.00	1.15	90.0	1.04
11/06/2017	ROULHAC, DEBRA	LAKE CNTY ILL CMNTY UNIT SCH DIST N	-63,425.40	9.24	8.91	0.33	90.0	0.30
11/06/2017	ROULHAC, DEBRA	LORD ABBETT BOND DEBENTURE A	-8.30	0.00	0.00	0.00	90.0	0.00
11/06/2017	ROULHAC, DEBRA	NORTHERN ILL UNIV REVS TAXABLE-AUXI	-49,488.75	9.24	8.91	0.33	90.0	0.30
11/06/2017	ROULHAC, DEBRA	WOONSOCKET R I PENSION 6.290% 07/15	-45,474.00	9.24	8.91	0.33	90.0	0.30
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$-279,140.07	\$55.92	\$64.68	\$-8.76		\$-7.86
*** SPLIT TRANSACTIONS TOTAL ***			\$-53,140.07	\$7,239.58	\$64.68	\$7,174.90		\$6,457.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					577.25
11/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-2.25
11/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4.62
Split Transactions	\$6,457.44
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,462.06
Year-to-date Gross	\$33,302.85
Year-to-date Earnings	\$29,822.17

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