



All Companies

08/14/2018

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# COMMISSION STATEMENT

August 15, 2018

KAREN CARTER BROOKMAN  
1840 Hurstview Drive

BROKER #: 9102  
BRANCH: HOME OFFICE

Hurst, TX 76054

## COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

08/01/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/25/2018	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/25/2018	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/25/2018	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/25/2018	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/25/2018	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/25/2018	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
07/25/2018	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
07/25/2018	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66
07/25/2018	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
07/25/2018	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
* MUTUAL FUNDS SUBTOTAL *					<u>\$858.33</u>	<u>\$8.58</u>	<u>\$0.00</u>	<u>\$8.58</u>		<u>\$7.72</u>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

07/31/2018	TRAILS	-JACKSON NATIONAL	B	42.01	42.01	0.00	42.01	90.0	37.81
07/01/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	516.38	516.38	0.00	516.38	90.0	464.74
07/30/2018	TRAILS	DELAWARE LIFE	B	294.22	294.22	0.00	294.22	90.0	264.80
07/31/2018	TRAILS	GENWORTH LIFE ANNUITY	B	409.08	409.08	0.00	409.08	90.0	368.17
* VARIABLE ANNUITIES SUBTOTAL *				\$1,261.69	\$1,261.69	\$0.00	\$1,261.69		\$1,135.52

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	1,951.59	0.00	1,951.59	90.0	1,756.46
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,951.59	\$0.00	\$1,951.59		\$1,756.46
	*** BROKER TRANSACTIONS TOTAL ***	\$2,120.02	\$3,221.86	\$0.00	\$3,221.86		\$2,899.70

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ADJUSTMENTS

08/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,899.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,772.20
Year-to-date Earnings	\$111,480.03