



COMMISSION STATEMENT

January 15, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.01	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @28.6800						
01/04/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.9700						
01/04/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.9700						
01/04/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.9700						
01/04/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.9700						
01/04/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.9700						
01/04/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.9700						
01/04/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.9700						
01/04/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.9700						
01/02/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.1700						
01/02/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.1700						
01/02/2018	***-**-039I - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	35.00	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 00085621852	BUY 2 Units @15.1700						
01/02/2018	***-**-039I - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	30.40	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00085621852	BUY 2 Units @15.1700						
01/10/2018	***-**-527I - LAWLOR, RICHARD	T ROWE PRICE RET 2020 FUND	1,999.99	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011410	BUY 87 Units @22.8900						
01/04/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.9100						
01/04/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.9100						
* MUTUAL FUNDS SUBTOTAL *			\$3,339.64	\$27.72	\$3.00	\$24.72		\$19.77



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-367I - RAMADANOVIC, ACCOUNT #: 03208406090	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	2.44	0.00	2.44	80.0	1.95
12/31/2017	***-**-139I - STRATTON, RANIE ACCOUNT #: 03209301380	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	108.75	0.00	108.75	80.0	87.00
12/31/2017	***-**-833I - REYNOLDS, THOMAS ACCOUNT #: 03210241880	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	21.43	0.00	21.43	80.0	17.14
12/31/2017	***-**-966I - MASCARO, HOLLY ACCOUNT #: 03210276288	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	5.33	0.00	5.33	80.0	4.26
12/31/2017	***-**-2332 - DROZDENKO, ROBIN ACCOUNT #: 03210716981	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	3.81	0.00	3.81	80.0	3.05
12/31/2017	***-**-947I - LAMESA, MICHAEL ACCOUNT #: 03211940049	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.58	0.00	0.58	80.0	0.46
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN ACCOUNT #: 03212086811	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	80.0	0.01
12/31/2017	***-**-395P - STRATTON, RANIE ACCOUNT #: 03214082309	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	9.55	0.00	9.55	80.0	7.64
12/31/2017	***-**-6833 - REYNOLDS, THOMAS ACCOUNT #: 03214901567	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	1.97	0.00	1.97	80.0	1.58
12/31/2017	***-**-1966 - MASCARO, HOLLY ACCOUNT #: 03214901787	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	80.0	0.34
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN ACCOUNT #: 03215212878	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	80.0	0.39
12/31/2017	***-**-2332 - DROZDENKO, ROBIN ACCOUNT #: 03215212889	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	0.21	0.00	0.21	80.0	0.17
12/31/2017	***-**-947I - LAMESA, MICHAEL ACCOUNT #: 03215822883	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	80.0	0.08
12/31/2017	***-**-32SI - GAUDIOSI, JANE ACCOUNT #: 03222239534	CAPITAL APPRECIATION C BUY 0 Units @0.0000	0.00	63.67	0.00	63.67	80.0	50.94
12/31/2017	***-**-211I - POLLOCK, LINDA ACCOUNT #: 03008419994	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	5.50	0.00	5.50	80.0	4.40
12/31/2017	***-**-632I - GAUDIOSI, JANE ACCOUNT #: 02870589061	CORE BOND FND C BUY 0 Units @0.0000	0.00	77.20	0.00	77.20	80.0	61.76



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-7902 - NELSON, GODFREY	DEVELOPING MARKETS FUND B	0.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 07860991144	BUY 0 Units @0.0000						
12/31/2017	***-**-4523 - SCHIBI, FRANK	DISCOVERY FUND B	0.00	3.34	0.00	3.34	80.0	2.67
	ACCOUNT #: 07460253627	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	EMERGING GROWTH FUND B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 07220177144	BUY 0 Units @0.0000						
12/31/2017	***-**-674I - BORJA, FRANKLIN	EMERGING GROWTH FUND B	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 07220177166	BUY 0 Units @0.0000						
12/31/2017	***-**-7902 - NELSON, GODFREY	EMERGING GROWTH FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 07220195096	BUY 0 Units @0.0000						
12/31/2017	***-**-833I - REYNOLDS, THOMAS	GLOBAL FUND A	0.00	22.07	0.00	22.07	80.0	17.66
	ACCOUNT #: 03306082694	BUY 0 Units @0.0000						
12/31/2017	***-**-211I - POLLOCK, LINDA	GLOBAL FUND A	0.00	15.34	0.00	15.34	80.0	12.27
	ACCOUNT #: 03306082705	BUY 0 Units @0.0000						
12/31/2017	***-**-966I - MASCARO, HOLLY	GLOBAL FUND A	0.00	5.55	0.00	5.55	80.0	4.44
	ACCOUNT #: 03306082716	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	GLOBAL FUND A	0.00	2.54	0.00	2.54	80.0	2.03
	ACCOUNT #: 03306541526	BUY 0 Units @0.0000						
12/31/2017	***-**-674I - TRAN, JOSEPH	GLOBAL FUND A	0.00	1.43	0.00	1.43	80.0	1.14
	ACCOUNT #: 03306572557	BUY 0 Units @0.0000						
12/31/2017	***-**-6833 - REYNOLDS, THOMAS	GLOBAL FUND B	0.00	2.05	0.00	2.05	80.0	1.64
	ACCOUNT #: 03315466362	BUY 0 Units @0.0000						
12/31/2017	***-**-1966 - MASCARO, HOLLY	GLOBAL FUND B	0.00	0.44	0.00	0.44	80.0	0.35
	ACCOUNT #: 03315466758	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	GLOBAL FUND B	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 03315864309	BUY 0 Units @0.0000						
12/31/2017	***-**-523I - SCHIBI, FRANK	GLOBAL OPPORTUNITIES A	0.00	42.65	0.00	42.65	80.0	34.12
	ACCOUNT #: 02156130773	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	GLOBAL OPPORTUNITIES A	0.00	3.47	0.00	3.47	80.0	2.78
	ACCOUNT #: 02156318323	BUY 0 Units @0.0000						
12/31/2017	***-**-947I - LAMESA, MICHAEL	GLOBAL OPPORTUNITIES A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 02157988211	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-4523 - SCHIBI, FRANK	GLOBAL OPPORTUNITIES B	0.00	3.99	0.00	3.99	80.0	3.19
	ACCOUNT #: 02160420772	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	GLOBAL OPPORTUNITIES B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 02162774332	BUY 0 Units @0.0000						
12/31/2017	***-**-947I - LAMESA, MICHAEL	GLOBAL OPPORTUNITIES B	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 02163238796	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL BOND A	0.00	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 08809205845	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	INTERNATIONAL BOND A	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 08809458174	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	INTERNATIONAL GROWTH FUND A	0.00	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 08256913841	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	INTERNATIONAL GROWTH FUND B	0.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 08261298418	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL SMALL COMPANY A	0.00	1.68	0.00	1.68	80.0	1.34
	ACCOUNT #: 08151787555	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL SMALL COMPANY FUND B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 08160310277	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	LIMITED TERM GOVERNMENT A	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 08553654519	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	LIMITED TERM GOVERNMENT B	0.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 08561836175	BUY 0 Units @0.0000						
12/31/2017	***-**-32SI - GAUDIOSI, JANE	LIMITED-TERM GOVERNMENT FUND C	0.00	75.50	0.00	75.50	80.0	60.40
	ACCOUNT #: 08571249215	BUY 0 Units @0.0000						
12/31/2017	***-**-367I - RAMADANOVIC,	MAIN STREET FUND A	0.00	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 04205702378	BUY 0 Units @0.0000						
12/31/2017	***-**-674I - BORJA, FRANKLIN	MAIN STREET FUND A	0.00	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04206740943	BUY 0 Units @0.0000						
12/31/2017	***-**-019I - CALVANEZI,	MAIN STREET FUND A	0.00	54.37	0.00	54.37	80.0	43.50
	ACCOUNT #: 07002459680	BUY 0 Units @0.0000						
12/31/2017	***-**-245P - DUMAINE, ROBERT	MAIN STREET FUND A	0.00	3.46	0.00	3.46	80.0	2.77
	ACCOUNT #: 07003593678	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-6741 - TRAN, JOSEPH	MAIN STREET FUND A	0.00	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 07004976909	BUY 0 Units @0.0000						
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET FUND A	0.00	0.81	0.00	0.81	80.0	0.65
	ACCOUNT #: 07005950761	BUY 0 Units @0.0000						
12/31/2017	***-**-947I - LAMESA, MICHAEL	MAIN STREET FUND A	0.00	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 07006303773	BUY 0 Units @0.0000						
12/31/2017	***-**-674I - BORJA, FRANKLIN	MAIN STREET FUND B	0.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 04212518384	BUY 0 Units @0.0000						
12/31/2017	***-**-9019 - CALVANEZI,	MAIN STREET FUND B	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: 07012659486	BUY 0 Units @0.0000						
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 07015326755	BUY 0 Units @0.0000						
12/31/2017	***-**-947I - LAMESA, MICHAEL	MAIN STREET FUND B	0.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 07015999944	BUY 0 Units @0.0000						
12/31/2017	***-**-7902 - NELSON, GODFREY	MAIN STREET FUND B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 07016057045	BUY 0 Units @0.0000						
12/31/2017	***-**-632I - GAUDIOSI, JANE	MAIN STREET FUND C	0.00	156.01	0.00	156.01	80.0	124.81
	ACCOUNT #: 07024390705	BUY 0 Units @0.0000						
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET OPPORTUNITY FUND B	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 07321090287	BUY 0 Units @0.0000						
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET SMALL CAP FUND B	0.00	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08481466080	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	MAIN STREET SMALL CAP FUND B	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 08481466102	BUY 0 Units @0.0000						
12/31/2017	***-**-947I - LAMESA, MICHAEL	MAIN STREET SMALL CAP FUND B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 08481859220	BUY 0 Units @0.0000						
12/31/2017	***-**-615I - WANIK, MICHAEL	OPP PORT SERIES: ACTIVE ALLOC A	0.00	14.30	0.00	14.30	80.0	11.44
	ACCOUNT #: 05502613501	BUY 0 Units @0.0000						
12/31/2017	***-**-309I - GROODY, ASHLEY	OPP PORT SERIES: ACTIVE ALLOC A	0.00	3.51	0.00	3.51	80.0	2.81
	ACCOUNT #: 05502887731	BUY 0 Units @0.0000						
12/31/2017	***-**-378I - SZYMANSKI,	OPP PORT SERIES: MOD INV FD A	0.00	6.77	0.00	6.77	80.0	5.42
	ACCOUNT #: 05451083929	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-469I - DAPONTE, ELVC	OPP PORT SERIES: MOD INV FD A	0.00	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 05451083940	BUY 0 Units @0.0000						
12/31/2017	***-**-378I - SZYMANSKI,	OPP PORT SERIES: MOD INV FD B	0.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 04720001573	BUY 0 Units @0.0000						
12/31/2017	***-**-469I - DAPONTE, ELVC	OPP PORT SERIES: MOD INV FD B	0.00	0.65	0.00	0.65	80.0	0.52
	ACCOUNT #: 04720001584	BUY 0 Units @0.0000						
12/31/2017	***-**-1663 - MCNULTY, GARY	OPP PORT SERIES: MOD INV FD B	0.00	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 04720008228	BUY 0 Units @0.0000						
12/31/2017	***-**-378I - SZYMANSKI,	OPP PORT SERIES: MOD INV FD C	0.00	3.25	0.00	3.25	80.0	2.60
	ACCOUNT #: 04730033374	BUY 0 Units @0.0000						
12/31/2017	***-**-139I - STRATTON, RANIE	OPPENHEIMER CORE BOND FUND A	0.00	20.17	0.00	20.17	80.0	16.14
	ACCOUNT #: 02851711479	BUY 0 Units @0.0000						
12/31/2017	***-**-395P - STRATTON, RANIE	OPPENHEIMER CORE BOND FUND B	0.00	1.80	0.00	1.80	80.0	1.44
	ACCOUNT #: 02860398002	BUY 0 Units @0.0000						
12/31/2017	***-**-523I - SCHIBI, FRANK	OPPENHEIMER DISCOVERY CLASS A	0.00	36.72	0.00	36.72	80.0	29.38
	ACCOUNT #: 05005268758	BUY 0 Units @0.0000						
12/31/2017	***-**-367I - RAMADANOVIC,	OPPENHEIMER DISCOVERY CLASS A	0.00	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 07453505403	BUY 0 Units @0.0000						
12/31/2017	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER GLOBAL CLASS C	0.00	68.80	0.00	68.80	80.0	55.04
	ACCOUNT #: 03322789446	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER INTL BOND CLASS B	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 08810639166	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	OPPENHEIMER INTL BOND CLASS B	0.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 08811124189	BUY 0 Units @0.0000						
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	0.78	0.00	0.78	80.0	0.62
	ACCOUNT #: 07315560632	BUY 0 Units @0.0000						
12/31/2017	***-**-211I - POLLOCK, LINDA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	6.21	0.00	6.21	80.0	4.97
	ACCOUNT #: 08476614080	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	2.65	0.00	2.65	80.0	2.12
	ACCOUNT #: 08476873108	BUY 0 Units @0.0000						
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	0.84	0.00	0.84	80.0	0.67
	ACCOUNT #: 08477445449	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	89.55	0.00	89.55	80.0	71.64
	ACCOUNT #: 02270965107	BUY 0 Units @0.0000						
12/31/2017	***-**-292I - VON, NGET	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 02514473502	BUY 0 Units @0.0000						
12/31/2017	***-**-674I - BORJA, FRANKLIN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.52	0.00	0.52	80.0	0.42
	ACCOUNT #: 02515003108	BUY 0 Units @0.0000						
12/31/2017	***-**-211I - POLLOCK, LINDA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	5.79	0.00	5.79	80.0	4.63
	ACCOUNT #: 01903469444	BUY 0 Units @0.0000						
12/31/2017	***-**-741I - TRAN, JOSEPH	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.77	0.00	1.77	80.0	1.42
	ACCOUNT #: 02305255671	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.34	0.00	1.34	80.0	1.07
	ACCOUNT #: 02305493172	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 02316426222	BUY 0 Units @0.0000						
12/31/2017	***-**-615I - WANIK, MICHAEL	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	2.88	0.00	2.88	80.0	2.30
	ACCOUNT #: 07170001177	BUY 0 Units @0.0000						
12/31/2017	***-**-309I - GROODY, ASHLEY	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	2.90	0.00	2.90	80.0	2.32
	ACCOUNT #: 08690004807	BUY 0 Units @0.0000						
12/31/2017	***-**-1390 - MOHABIR,	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: 08690007194	BUY 0 Units @0.0000						
12/31/2017	***-**-833I - REYNOLDS, THOMAS	QUEST BALANCED A	0.00	20.74	0.00	20.74	80.0	16.59
	ACCOUNT #: 02577013424	BUY 0 Units @0.0000						
12/31/2017	***-**-966I - MASCARO, HOLLY	QUEST BALANCED A	0.00	5.19	0.00	5.19	80.0	4.15
	ACCOUNT #: 02577013435	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	QUEST BALANCED A	0.00	0.86	0.00	0.86	80.0	0.69
	ACCOUNT #: 02577297411	BUY 0 Units @0.0000						
12/31/2017	***-**-6833 - REYNOLDS, THOMAS	QUEST BALANCED B	0.00	1.98	0.00	1.98	80.0	1.58
	ACCOUNT #: 02584956182	BUY 0 Units @0.0000						
12/31/2017	***-**-1966 - MASCARO, HOLLY	QUEST BALANCED B	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 02584956435	BUY 0 Units @0.0000						
12/31/2017	***-**-2332 - DROZDENKO, ROBIN	QUEST BALANCED B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 02585394224	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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BROKER #: 180
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-2332 - DROZDENKO, ROBIN QUEST INTERNATIONAL VALUE B	BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 02550443498							
12/31/2017	***-**-2332 - DROZDENKO, ROBIN QUEST INTERNATIONAL VALUE FUND, INC	BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	80.0	1.45
	ACCOUNT #: 02541058596							
12/31/2017	***-**-2332 - DROZDENKO, ROBIN QUEST OPPORTUNITY VALUE A	BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 02365226518							
12/31/2017	***-**-2332 - DROZDENKO, ROBIN QUEST OPPORTUNITY VALUE FUND B	BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 02373754025							
12/31/2017	***-**-292I - VON, NGET REAL ESTATE FUND A	BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 05900879373							
12/31/2017	***-**-292I - VON, NGET REAL ESTATE FUND B	BUY 0 Units @0.0000	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 05910190531							
12/31/2017	***-**-2332 - DROZDENKO, ROBIN RISING DIVIDENDS FUND A	BUY 0 Units @0.0000	0.00	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 02253493028							
12/31/2017	***-**-2332 - DROZDENKO, ROBIN RISING DIVIDENDS FUND, INC. B	BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 02261439262							
12/31/2017	***-**-674I - BORJA, FRANKLIN SELECT VALUE FUND A	BUY 0 Units @0.0000	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 06000810777							
12/31/2017	***-**-674I - BORJA, FRANKLIN SELECT VALUE FUND B	BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 06010116831							
12/31/2017	***-**-674I - BORJA, FRANKLIN SMALL- & MID- CAP VALUE B	BUY 0 Units @0.0000	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 02521472240							
12/31/2017	***-**-292I - VON, NGET SMALL- & MID- CAP VALUE B	BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 02521472262							
12/31/2017	***-**-292I - VON, NGET SMALL- & MID-CAP GROWTH FUND A	BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 07210636713							
12/31/2017	***-**-674I - BORJA, FRANKLIN SMALL- & MID-CAP GROWTH FUND A	BUY 0 Units @0.0000	0.00	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 07211048630							
12/31/2017	***-**-32SI - GAUDIOSI, JANE STRATEGIC INCOME FUND C	BUY 0 Units @0.0000	0.00	54.59	0.00	54.59	80.0	43.67
	ACCOUNT #: 02322798092							
12/31/2017	***-**-4155 - KOSTRAB, JUSTIN VALUE B	BUY 0 Units @0.0000	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 03760515592							



COMMISSION STATEMENT

January 15, 2018

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BROKER #: 180
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***--2332 - DROZDENKO, ROBIN	VALUE B	0.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 03760515603	BUY 0 Units @0.0000						
12/31/2017	***--2332 - DROZDENKO, ROBIN	VALUE FUND A	0.00	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 03752279541	BUY 0 Units @0.0000						
12/31/2017	***--4155 - KOSTRAB, JUSTIN	VALUE FUND A	0.00	0.71	0.00	0.71	80.0	0.57
	ACCOUNT #: 03752505206	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,079.96	\$0.00	\$1,079.96		\$863.99
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/15/2018	***--94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	1,005.92	0.00	1,005.92	80.0	804.74
	ACCOUNT #: 715169	BUY 1 Units @0.0000						
01/15/2018	***--94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	2,011.72	0.00	2,011.72	80.0	1,609.38
	ACCOUNT #: 715169	BUY 1 Units @0.0000						
01/15/2018	***--7174 - COCCHIOLA, INC	QUALIFIED PLAN SUMMARY	0.00	1,055.27	0.00	1,055.27	80.0	844.22
	ACCOUNT #: 461859	BUY 1 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$4,072.91	\$0.00	\$4,072.91		\$3,258.34
	*** BROKER TRANSACTIONS TOTAL ***		\$3,339.64	\$5,180.59	\$3.00	\$5,177.59		\$4,142.10



BROKER #: 180
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$4,142.10
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,142.10
						Year-to-date Earnings		\$9,017.70