

All Companies
STATEMENT
August 20, 2018

DENNIS KREUSCHER

BROKER #: 230
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS KREUSCHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/30/2021	#3100006950	MOE-CLARM	DELAWARE FUNDS	8,649.57	1.78	90.0	0.00	1.60	1.60
06/30/2021	#6000308588	JOHNSDORIJ	DELAWARE FUNDS	53,800.30	11.05	90.0	0.00	9.95	9.95
06/30/2021	#6000828375	PERTERDAVIE	DELAWARE FUNDS	15,825.92	3.25	90.0	0.00	2.93	2.93
06/30/2021	#6000829020	MOE-CLARM	DELAWARE FUNDS	32,026.07	6.58	90.0	0.00	5.92	5.92
06/30/2021	#6000831510	LEVOR, JUDY	DELAWARE FUNDS	5,903.60	1.21	90.0	0.00	1.09	1.09
06/30/2021	#6000831225	HANSOCRAIA	DELAWARE FUNDS	10,558.52	8.68	90.0	0.00	7.81	7.81
07/16/2018	#00088369117	STUMOTERES	AMCAP FUND INC CLASS A	300.00	15.00	90.0	0.00	13.50	13.50
07/16/2018	#000000003230378	RUSTAROGED	FRANKLIN BIOTECHNOLOGY DISCOVERY FU	500.00	25.00	90.0	0.00	22.50	22.50
07/16/2018	#000060177537996	YEOMAHEATM	FRANKLIN GROWTH FUND - CLASS A	100.00	5.00	90.0	0.00	4.50	4.50
07/16/2018	#000060177538036	OLSONRACHA	FRANKLIN GROWTH FUND - CLASS A	100.00	5.00	90.0	0.00	4.50	4.50
07/16/2018	#00083340687	SCHIPULLKR	SMALLCAP WORLD FUND INC CLASS A	458.33	9.17	90.0	0.00	8.25	8.25
07/23/2018	#00083610743	SEVERSON, KA	BOND FUND OF AMERICA INC CL A	80.00	2.40	90.0	0.00	2.16	2.16
07/23/2018	#00083610743	SEVERSON, KA	CAPITAL INCOME BUILDER FD CL A	80.00	3.40	90.0	0.00	3.06	3.06
07/23/2018	#00083610743	SEVERSON, KA	INCOME FUND OF AMERICA INC CLASS A	80.00	3.40	90.0	0.00	3.06	3.06
			* MUTUAL FUNDS SUBTOTAL *	\$128,462.31	\$100.92	\$0.00	\$90.83	\$0.00	\$90.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/31/2018	#1013011210	COLBY, JANE	ELITE ACCESS	67,915.20	22.64	90.0	0.00	20.38	20.38
07/31/2018	#1012238173	TENOLD, GAYLIS	ELITE ACCESS	154,845.15	51.62	90.0	0.00	46.46	46.46
07/31/2018	#1013317565	TENOLD, JOHN	ELITE ACCESS	35,912.90	11.97	90.0	0.00	10.77	10.77
			* VARIABLE ANNUITIES SUBTOTAL *	\$258,673.25	\$86.23	\$0.00	\$77.61	\$0.00	\$77.61
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#08804539590	BRUNSV S L	OPPENHEIMER INTERNATIONAL BOND FUND	0.00	24.16	90.0	0.00	21.74	21.74
07/03/2018	#06208123817		PIONEER FUNDAMENTAL GROWTH	0.00	15.63	90.0	0.00	14.07	14.07
07/03/2018	#00621181124		PIONEER GLOBAL HIGH YIELD FD CL A	0.00	51.86	90.0	0.00	46.67	46.67
07/03/2018	#00621277091		PIONEER GLOBAL HIGH YIELD FD CL A	0.00	12.95	90.0	0.00	11.66	11.66
07/09/2018	#01425654058	VARIOUS	FA DIVERSIFIED INTERNATIONAL FUND	0.00	2.16	90.0	0.00	1.94	1.94
07/09/2018	#01484237159	VARIOUS	FA DIVERSIFIED INTERNATIONAL FUND	0.00	1.22	90.0	0.00	1.10	1.10

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/09/2018	#01460635897	VARIOUS	FA INTERNATIONAL SMALL CAP FUND	0.00	0.05	90.0	0.00	0.05	0.05
07/09/2018	#01484237159	VARIOUS	FA SMALL GROWTH	0.00	3.29	90.0	0.00	2.96	2.96
07/09/2018	#01474463500	VARIOUS	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	8.37	90.0	0.00	7.53	7.53
07/09/2018	#01486660911	VARIOUS	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.04	90.0	0.00	0.94	0.94
07/09/2018	#01485968596	VARIOUS	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	1.86	90.0	0.00	1.67	1.67
07/09/2018	#01486540923	VARIOUS	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.80	90.0	0.00	0.72	0.72
07/09/2018	#01448945798	VARIOUS	FIDELITY ADV FLOATING RATE HIGH INC	0.00	2.01	90.0	0.00	1.81	1.81
07/09/2018	#00701570335	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.58	90.0	0.00	0.52	0.52
07/09/2018	#00704959766	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	7.48	90.0	0.00	6.73	6.73
07/09/2018	#01425654058	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	3.78	90.0	0.00	3.40	3.40
07/09/2018	#01450822992	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.74	90.0	0.00	1.57	1.57
07/09/2018	#01460635897	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.58	90.0	0.00	2.32	2.32
07/09/2018	#01484237159	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.70	90.0	0.00	2.43	2.43
07/09/2018	#03900231356	KREUS, JOAN	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.15	90.0	0.00	1.94	1.94
07/09/2018	#00704910751	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	3.41	90.0	0.00	3.07	3.07
07/09/2018	#01450822992	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	3.04	90.0	0.00	2.74	2.74
07/09/2018	#01469115503	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	4.79	90.0	0.00	4.31	4.31
07/09/2018	#01481991832	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	3.17	90.0	0.00	2.85	2.85
07/09/2018	#01484237159	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	4.11	90.0	0.00	3.70	3.70
07/09/2018	#01485968596	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	1.89	90.0	0.00	1.70	1.70
07/09/2018	#01486540923	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	0.67	90.0	0.00	0.60	0.60
07/09/2018	#01486660911	VARIOUS	FIDELITY ADV HEALTH CARE-CL A	0.00	0.86	90.0	0.00	0.77	0.77
07/09/2018	#00704910751	VARIOUS	FIDELITY ADV INDUSTRIALS-CL A	0.00	2.92	90.0	0.00	2.63	2.63
07/09/2018	#01450822992	VARIOUS	FIDELITY ADV INDUSTRIALS-CL A	0.00	3.10	90.0	0.00	2.79	2.79
07/09/2018	#01469115503	VARIOUS	FIDELITY ADV INDUSTRIALS-CL A	0.00	3.26	90.0	0.00	2.93	2.93
07/09/2018	#01486660911	VARIOUS	FIDELITY ADV INDUSTRIALS-CL A	0.00	1.01	90.0	0.00	0.91	0.91
07/09/2018	#00704910751	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	4.49	90.0	0.00	4.04	4.04
07/09/2018	#00704959766	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	6.71	90.0	0.00	6.04	6.04
07/09/2018	#01425654058	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.61	90.0	0.00	2.35	2.35
07/09/2018	#01483525505	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	7.04	90.0	0.00	6.34	6.34
07/09/2018	#01469115503	VARIOUS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	4.35	90.0	0.00	3.92	3.92
07/09/2018	#01481998613	VARIOUS	FIDELITY ADV UTILITIES-CL A	0.00	1.26	90.0	0.00	1.13	1.13
07/09/2018	#01486660911	VARIOUS	FIDELITY ADV UTILITIES-CL A	0.00	0.97	90.0	0.00	0.87	0.87
07/09/2018	#01484237159	VARIOUS	FIDELITY ADVISOR ENERGY CL A	0.00	2.18	90.0	0.00	1.96	1.96
07/09/2018	#01484534381	VARIOUS	FIDELITY ADVISOR ENERGY CL A	0.00	0.98	90.0	0.00	0.88	0.88
07/09/2018	#01486660911	VARIOUS	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.98	90.0	0.00	0.88	0.88

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#01485968596	VARIOUS	FIDELITY ADVISOR MATERIALS FUND CL	0.00	1.86	90.0	0.00	1.67	1.67
07/09/2018	#01486540923	VARIOUS	FIDELITY ADVISOR MATERIALS FUND CL	0.00	0.65	90.0	0.00	0.59	0.59
07/09/2018	#00704910751	VARIOUS	FIDELITY ADVISORS BALANCED	0.00	2.05	90.0	0.00	1.85	1.85
07/09/2018	#00701570335	VARIOUS	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	4.37	90.0	0.00	3.93	3.93
07/09/2018	#01469115503	VARIOUS	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	3.12	90.0	0.00	2.81	2.81
07/09/2018	#01490208566	VARIOUS	FIDELITY INVT TR ADVISOR EMRGING MK	0.00	9.23	90.0	0.00	8.31	8.31
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	25.65	90.0	0.00	23.09	23.09
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	8.03	90.0	0.00	7.23	7.23
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	3.05	90.0	0.00	2.75	2.75
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	3.87	90.0	0.00	3.48	3.48
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	2.44	90.0	0.00	2.20	2.20
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	6.76	90.0	0.00	6.08	6.08
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	3.76	90.0	0.00	3.38	3.38
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	2.14	90.0	0.00	1.93	1.93
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	1.74	90.0	0.00	1.57	1.57
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	6.37	90.0	0.00	5.73	5.73
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	9.16	90.0	0.00	8.24	8.24
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	4.48	90.0	0.00	4.03	4.03
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	3.25	90.0	0.00	2.93	2.93
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	2.82	90.0	0.00	2.54	2.54
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	2.43	90.0	0.00	2.19	2.19
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	10.62	90.0	0.00	9.56	9.56
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	14.65	90.0	0.00	13.19	13.19
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	5.33	90.0	0.00	4.80	4.80
07/11/2018	# SCHIPULL, DENNIS	DAIN TRAILER		0.00	5.37	90.0	0.00	4.83	4.83
07/11/2018	#	DAIN TRAILER		0.00	46.64	90.0	0.00	41.98	41.98
07/11/2018	#	DAIN TRAILER		0.00	0.73	90.0	0.00	0.66	0.66
07/11/2018	#	DAIN TRAILER		0.00	2.79	90.0	0.00	2.51	2.51
07/11/2018	#	DAIN TRAILER		0.00	2.32	90.0	0.00	2.09	2.09
07/11/2018	#	DAIN TRAILER		0.00	8.14	90.0	0.00	7.33	7.33
07/11/2018	#	DAIN TRAILER		0.00	2.79	90.0	0.00	2.51	2.51
07/11/2018	# TUFTE, CHARLES	DAIN TRAILER		0.00	6.10	90.0	0.00	5.49	5.49
07/11/2018	# TUFTE, CHARLES	DAIN TRAILER		0.00	4.44	90.0	0.00	4.00	4.00
07/11/2018	# TUFTE, CHARLES	DAIN TRAILER		0.00	1.99	90.0	0.00	1.79	1.79
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	2.75	90.0	0.00	2.48	2.48
07/11/2018	# SCHIPULL, RAMONA	DAIN TRAILER		0.00	10.11	90.0	0.00	9.10	9.10

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/11/2018 #	SCHIPULL, RAMONA	DAIN TRAILER	0.00	2.32	90.0	0.00	2.09	0.00	2.09
07/11/2018 #	SCHIPULL, RAMONA	DAIN TRAILER	0.00	5.91	90.0	0.00	5.32	0.00	5.32
07/11/2018 #	SCHIPULL, RAMONA	DAIN TRAILER	0.00	7.23	90.0	0.00	6.51	0.00	6.51
07/11/2018 #	SCHIPULL, RAMONA	DAIN TRAILER	0.00	1.53	90.0	0.00	1.38	0.00	1.38
07/11/2018 #	SCHIPULL, RAMONA	DAIN TRAILER	0.00	3.36	90.0	0.00	3.02	0.00	3.02
07/11/2018 #	SCHIPULL, RAMONA	DAIN TRAILER	0.00	6.55	90.0	0.00	5.90	0.00	5.90
07/11/2018 #	PERKINS, DORTHY	DAIN TRAILER	0.00	3.47	90.0	0.00	3.12	0.00	3.12
07/11/2018 #	PERKINS, DORTHY	DAIN TRAILER	0.00	3.47	90.0	0.00	3.12	0.00	3.12
07/11/2018 #	PERKINS, DORTHY	DAIN TRAILER	0.00	2.90	90.0	0.00	2.61	0.00	2.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$478.95		\$0.00	\$431.10	\$0.00	\$431.10
*** BROKER TRANSACTIONS TOTAL ***			\$387,135.56	\$666.10		\$0.00	\$599.54	\$0.00	\$599.54

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-320.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$599.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$100.54
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