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COMMISSION STATEMENT March 15, 2018

ROBERT, L117 LUCHSINGER

600 Johnson Ave. Suite B5 Bohemia, NY 11716 BROKER #: L117

BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		CLEARING TRAN	SACTIONS					
PRODUCT CA	ATEGORY: STOCKS							
03/05/2018	GRANT, JOHN	AT&T INC COM	-11,949.43	60.00	22.50	37.50	95.0	35.63
03/05/2018	GRANT, JOHN	NCR CORP COM	-479.37	30.00	22.50	7.50	95.0	7.13
03/05/2018	GRANT, JOHN	TERADATA CORP DEL COM	-572.33	30.00	22.50	7.50	95.0	7.13
		* STOCKS SUBTOTAL *	\$-13,001.13	\$120.00	\$67.50	\$52.50		\$49.89
		*** CLEARING TRANSACTIONS TOTAL ***	\$-13,001.13	\$120.00	\$67.50	\$52.50		\$49.89
		DIRECT TRANS	ACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUNDS							
03/02/2018	FRANCIONI, ANNA	AM FUNDS ICA A	1,500.00	75.00	0.00	75.00	95.0	71.25
03/02/2018	KAMPMANN, MURIEL	AM FUNDS ICA C	250.00	2.50	0.00	2.50	95.0	2.38
02/26/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	297.65	12.65	0.00	12.65	95.0	12.02
03/02/2018	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	95.0	2.38
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUNDS							
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
02/16/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
02/20/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
02/26/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
02/13/2018	LUCHSINGER, VARIOUS	PACIFIC LIFE	1,000.00	47.50	0.00	47.50	95.0	45.13
		* MUTUAL FUNDS SUBTOTAL *	\$4,539.20	\$160.07	\$0.00	\$160.07		\$152.03
PRODUCT CA	ATEGORY: VARIABLE ANNUITIE	<u>s</u>						
02/26/2018	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	5,000.00	275.00	0.00	275.00	95.0	261.25
02/28/2018	MOLNAR, J S KIP	JNL 468511134	13,890.34	2.89	0.00	2.89	95.0	2.75
03/02/2018	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
02/28/2018	FLANAGAN, BARBARA	JNL 468511142	5,921.48	4.93	0.00	4.93	95.0	4.68
02/28/2018	NEVIN, NANCY	JNL NY PERSPECTIVE L	238,487.17	198.74	0.00	198.74	95.0	188.80
02/28/2018	TETA, JODI	JNL NY PERSPECTIVE L	238,286.59	198.57	0.00	198.57	95.0	188.64
02/28/2018	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	170,281.74	141.90	0.00	141.90	95.0	134.81
02/26/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
02/28/2018	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	105,121.17	21.90	0.00	21.90	95.0	20.81
02/28/2018	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	45,550.58	9.49	0.00	9.49	95.0	9.02
02/28/2018	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	456,102.06	95.02	0.00	95.02	95.0	90.27
02/28/2018	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	98,344.27	20.49	0.00	20.49	95.0	19.47
02/28/2018	GUARINO, CARMINE	JNL PERSPECTIVE II 04/14	208,057.59	43.35	0.00	43.35	95.0	41.18

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[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

PRODUCT CATEGORY: VARIABLE ANNUITIES	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
D2/28/2018 TANAGAN, BARBARA JNL PERSPECTIVE II 04/14 262,349,80 54.66 0.00 54.66 95.0 D2/28/2018 TETTER, DEBRA JNL PERSPECTIVE II 04/14 31,799.33 6.62 0.00 70.00 95.0 02/28/2018 SMITH, GARY JNL PERSPECTIVE II 04/14 1.000.00 70.00 0.00 2.750.00 95.0 02/28/2018 SMITH, GARY JNL PERSPECTIVE II 04/14 350,000.00 2.750.00 0.00 2.750.00 95.0 02/28/2018 EXAMPKANN, ROBERT JNL PERSPECTIVE II 04/14 350,000.00 2.750.00 0.00 2.750.00 95.0 02/28/2018 EXAMPKANN, ROBERT JNL PERSPECTIVE II 04/14 259.011.19 551.97 0.00 53.97 95.0 02/27/2018 EXAMPKANN, ROBERT JNL PERSPECTIVE II 04/14 259.011.19 551.97 0.00 53.97 95.0 02/27/2018 EXAMPKANN, ROBERT JNL PERSPECTIVE II 04/14 259.011.19 551.97 0.00 0.00 2.75 95.0 02/27/2018 EXAMPKANN, ROBERT JNL PERSPECTIVE II 04/14 259.011.19 551.97 0.00 0.00 2.75 95.0 02/27/2018 EXAMPKANN, ROBERT SEL SECURE DESIGNS VA 12/213/43 101.77 0.00 101.77 95.0 02/27/2018 EXAMPKANN, ROBERT SEL SECURE DESIGNS VA 12/213/43 101.77 0.00 101.77 95.0 02/27/2018 EXAMPKANN, ROBERT SEL SECURE DESIGNS VA 12/213/43 101.77 0.00 101.75 95.0 02/27/2018 SALANITRO, RISBEL TANSAMERICA LIBERTY 6.491.1 30.41 0.00 0.00 5.41 95.0 02/213/2018 PIRM, ANN TEMSAMERICA LIBERTY 6.491.1 30.41 0.00 30.41 95.0 02/28/2018 EXAMPKANN, ROBERT TANSAMERICA LIBERTY 15/140.85 12.62 0.00 12/28 95.0 03/01/2018 EXAMPKANN, PATRICIA TRANSAMERICA LIBERTY 15/140.85 12.62 0.00 30.41 95.0 03/01/2018 SALANITRO, RABEL AM FUNDS GROWTH FUND OF AMERICA 0.00 3.53 0.00 3.53 95.0 03/01/2018 SALANITRO, FABEL AM FUNDS GROWTH FUND OF AMERICA 0.00 3.53 0.00 3.53 95.0 03/01/2018 SALANITRO, FABEL AM FUNDS MASHINGTON MUTUAL INVESTOR 0.00 6.51 0.00 0.478 95.0 03/01/2018 ERAVY JT TEN, FLORENCE AM FUNDS MASHINGTON MUTUAL INVESTOR 0.00 6.55 0.00 0.478 95.0			DIRECT TRANS	ACTIONS					
O2/28/2018 WITTER, DEBERA	PRODUCT CA	TEGORY: VARIABLE ANNUITIE	s						
03/01/2018 SMITH, GARY	02/28/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	262,349.80	54.66	0.00	54.66	95.0	51.93
	02/28/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	31,799.33	6.62	0.00	6.62	95.0	6.29
	03/01/2018	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
02/28/2018 KAMPMANN, ROBERT JNL PERSPECTIVE II 04/14 259,071.19 53.97 0.00 53.97 95.00 02/27/2018 ROBERTO, ROSERT SEL SECURE DESIGNS VA 13.249.25 2.75 0.00 2.75 95.00 02/27/2018 KNAACK, JOHN SEL SECURE DESIGNS VA 122,131.43 101.77 0.00 101.77 95.00 02/27/2018 ROBERTO, RICHARD SEL SECURE DESIGNS VA 121,732.82 101.45 0.00 0.00 2.79 95.00 02/27/2018 KNAACK, JOHN SEL SECURE DESIGNS VA 121,732.82 101.45 0.00 0.01 14.5 95.00 02/07/2018 SALANITRO, ISABEL TRANSAMERICA LIBERTY 6.491.87 5.41 0.00 30.41 95.00 02/13/2018 FURM, AIN TRANSAMERICA LIBERTY 16.377.28 96.98 0.00 96.98 95.00 02/23/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 16.377.28 96.98 0.00 12.62 95.00 02/23/2018 LUCUSINGER, JANR TRANSAMERICA LIBERTY 15.140.85 12.62 0.00 12.62 95.00 02/23/2018 LUCUSINGER, JANR TRANSAMERICA LIBERTY 15.140.85 12.62 0.00 12.62 95.00 02/23/2018 LUCUSINGER, JANR TRANSAMERICA LIBERTY 15.140.85 12.62 0.00 12.62 95.00 02/23/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 15.140.85 12.62 0.00 12.62 95.00 02/23/2018 02/23/2018 MASZA, FATRICIA TRANSAMERICA LIBERTY 15.140.85 12.62 0.00 12.62 95.00 02/23/2018 02/23/2018 MASZA, FATRICIA TRANSAMERICA LIBERTY 15.140.85 12.60.91 164.85 0.00 02/23/2018 02/23/2018 MASZA, FATRICIA TRANSAMERICA LIBERTY 15.140.85 12.60.91 164.85 0.00 02/23/2018 02/23/2018 MASZA, FATRICIA MA FURDS AMCAP A 0.00 02/23/2018 02/23/2018 MASZA, FATRICIA MA FURDS AMCAP A 0.00 02/23/2018 02/23/2018 02/23/2018 MASZA, FELINDA AM FURDS GROWTH FURD OF AMERICA A 0.00 02/23/2018 02/23	02/26/2018	FOURNIER, DANIEL	JNL PERSPECTIVE II 04/14	50,000.00	2,750.00	0.00	2,750.00	95.0	2,612.50
02/27/2018 KAAACK, JOHN SBL SECURE DESIGNS VA 12,2131.43 101.77 0.00 101.77 95.00 02/27/2018 KAAACK, JOHN SBL SECURE DESIGNS VA 12,131.43 101.77 0.00 101.77 95.00 02/27/2018 KOBERTO, RICHARD SBL SECURE DESIGNS VA 13,394.97 2.79 0.00 101.77 95.00 02/27/2018 YBAY, ERLINDA SBL SECURE DESIGNS VA 121,733.82 101.45 0.00 101.45 95.00 02/27/2018 SALANITRO, ISABEL TRANSAMERICA LIBERTY 6,491.87 5.41 0.00 5.41 95.00 02/15/2018 PUNA, ANN TRANSAMERICA LIBERTY 16,491.87 130.41 0.00 30.41 95.00 02/28/2018 DUNA, ANN TRANSAMERICA LIBERTY 16,377.28 96.98 0.00 046.98 95.00 02/28/2018 DUNA, ENCROPE TARNSAMERICA LIBERTY 15,140.85 12.62 0.00 12.62 95.00 02/28/2018 DUNA, ENCROPE TARNSAMERICA LIBERTY 15,140.85 12.62 0.00 164.85 95.00 02/28/2018 EUCHSINGER, JANE TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 164.85 95.00 02/28/2018 EUCHSINGER, JANE TRANSAMERICA LIBERTY 89353J666 791,260.91 164.85 0.00 164.85 95.00 02/28/2018 EUCHSINGER, JANE TRANSAMERICA LIBERTY 89353J666 791,260.91 164.85 0.00 02/48/3014 02/28/2018 EUCHSINGER, JANE TRANSAMERICA LIBERTY 89353J666 791,260.91 164.85 0.00 02/48/3014 02/40 02	02/28/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	393,971.26	82.08	0.00	82.08	95.0	77.98
02/27/2018 RNAACK, JOHN SEL SECURE DESIGNS VA 122,131.43 101.77 0.00 101.77 95.00 02/27/2018 ROBERTO, RICHARD SEL SECURE DESIGNS VA 13,394.97 2.79 0.00 2.79 95.00 02/27/2018 YBAY, ERLINDA SEL SECURE DESIGNS VA 121,732.82 101.45 0.00 101.45 95.00 02/07/2018 SALANITRO, ISABEL TRANSAMERICA LIBERTY 6.491.87 5.41 0.00 5.41 95.00 02/13/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 30.41 95.00 02/15/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 30.00 36.98 95.00 02/25/2018 LUCHSINGER, JANE TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 12.62 95.00 02/217/2018 KNAACK, FAIRICIA TRANSAMERICA LIPERTY 791,260.91 164.85 0.00 12.62 95.00 02/217/2018 KNAACK, FAIRICIA TRANSAMERICA LIPERTY 89353J666 791,260.91 164.85 0.00 24.81 0.00 0.12.62 95.00 0.00	02/28/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	259,071.19	53.97	0.00	53.97	95.0	51.27
02/27/2018 YRAY, RELINDA SEL SECURE DESIGNS VA 13,394.97 2.79 0.00 2.79 95.00 02/27/2018 YRAY, RELINDA SEL SECURE DESIGNS VA 121,732.82 101.45 0.00 101.45 95.00 02/13/2018 YRAY, RELINDA SEL SECURE DESIGNS VA 121,732.82 101.45 0.00 101.45 95.00 02/13/2018 DUMA, ANN TRANSAMERICA LIBERTY 36,487.11 30.41 0.00 36.41 95.00 02/13/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 36,487.11 30.41 0.00 36.98 95.00 02/13/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 12.62 95.00 02/13/2018 KNAACK, PATRICIA TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 12.62 95.00 02/13/2018 KNAACK, PATRICIA TRANSAMERICA LIBERTY 15,140.85 0.00 0.0	02/27/2018	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	13,249.25	2.75	0.00	2.75	95.0	2.61
02/27/2018 YBAY, ERLINDA SEL SECURE DESIGNS VA 121,732.82 101.45 0.00 101.45 95.00 02/07/2018 SALANITEO, ISABEL TRANSAMERICA LIBERTY 6.491.87 5.41 0.00 5.41 95.00 02/15/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 96.98 95.00 02/15/2018 LUCHSINGER, JANE TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 12.62 95.00 02/15/2018 LUCHSINGER, JANE TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 12.62 95.00 02/17/2018 KNAACK, FATRICIA TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 164.85 95.00 02/17/2018 KNAACK, FATRICIA TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 164.85 95.00 02/17/2018 KNAACK, FATRICIA TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 164.85 95.00 02/17/2018 MAZZA, VARIBLE ANNUITIES SUBTOTAL ** ** ** ** ** ** ** ** ** ** ** ** **	02/27/2018	KNAACK, JOHN	SBL SECURE DESIGNS VA	122,131.43	101.77	0.00	101.77	95.0	96.68
	02/27/2018	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	13,394.97	2.79	0.00	2.79	95.0	2.65
02/13/2018 PUMA, ANN TRANSAMERICA LIBERTY 36,487.11 30.41 0.00 30.41 95.00 02/15/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 96.98 95.00 02/28/2018 LUCHSINGER, JANE TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 12.62 95.00 02/17/2018 KNAACK, PATRICIA TRANSAMERICA LIBERTY 791,260.91 164.85 0.00 164.85 95.00 02/17/2018 KNAACK, PATRICIA TRANSAMERICA LIBERTY 791,260.91 164.85 0.00 31.64 791.00 0.0	02/27/2018	YBAY, ERLINDA	SBL SECURE DESIGNS VA	121,732.82	101.45	0.00	101.45	95.0	96.38
02/15/2018 MAZZA, JOSEPH TRANSAMERICA LIBERTY 116,377.28 96.98 0.00 96.98 95.00 02/28/2018 LUCHSINGER, JANE TRANSAMERICA LIBERTY 15,140.85 12.62 0.00 12.62 95.00 02/17/2018 KNAACK, PATRICIA TRANSAMERICA LIFE 89353J666 791,260.91 164.85 0.00 164.85 95.00	02/07/2018	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	6,491.87	5.41	0.00	5.41	95.0	5.14
15,140.85 12.62 0.00 12.62 95.00 0.00	02/13/2018	PUMA, ANN	TRANSAMERICA LIBERTY	36,487.11	30.41	0.00	30.41	95.0	28.89
Name	02/15/2018	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	116,377.28	96.98	0.00	96.98	95.0	92.13
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILE	02/28/2018	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	15,140.85	12.62	0.00	12.62	95.0	11.99
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 03/01/2018 GRIFFIN, DOUGLAS AM FUNDS AMCAP A 0.00 214.97 0.00 214.97 95.0 03/01/2018 SALANITRO, ISABEL AM FUNDS BOND FUND OF AMERICA A 0.00 3.53 0.00 3.53 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS CAPITAL INCOME BUILDER A 0.00 8.40 0.00 8.40 95.0 03/01/2018 SALANITRO, ISABEL AM FUNDS GROWTH FUND OF AMERICA A 0.00 35.04 0.00 35.04 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS ICA A 0.00 2.23 0.00 2.23 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 2.40 0.00 5.09 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA A 0.00 16.71 0.00 16.71 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS	02/17/2018	KNAACK, PATRICIA	TRANSAMERICA LIFE 89353J666	791,260.91	164.85	0.00	164.85	95.0	156.61
03/01/2018 GRIFFIN, DOUGLAS AM FUNDS AMCAP A 0.00 214.97 0.00 214.97 95.0 03/01/2018 SALANITRO, ISABEL AM FUNDS BOND FUND OF AMERICA A 0.00 3.53 0.00 3.53 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS CAPITAL INCOME BUILDER A 0.00 8.40 0.00 8.40 95.0 03/01/2018 SALANITRO, ISABEL AM FUNDS GROWTH FUND OF AMERICA A 0.00 35.04 0.00 35.04 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS ICA A 0.00 2.23 0.00 2.23 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.71 0.00 16.71 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78			* VARIABLE ANNUITIES SUBTOTAL *	\$3,824,501.06	\$4,813.64	\$0.00	\$4,813.64		\$4,572.98
03/01/2018 SALANITRO, ISABEL AM FUNDS BOND FUND OF AMERICA A 0.00 3.53 0.00 3.53 9.00 03/01/2018 KAMPMANN, PATRICIA AM FUNDS CAPITAL INCOME BUILDER A 0.00 8.40 0.00 8.40 95.0 03/01/2018 SALANITRO, ISABEL AM FUNDS GROWTH FUND OF AMERICA A 0.00 35.04 0.00 35.04 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS ICA A 0.00 2.23 0.00 2.23 95.0 03/01/2018 DOUGLAS, ANDREA AM FUNDS ICA A 0.00 8.59 0.00 8.59 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 0.45 0.00 0.45 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 <td>PRODUCT CA</td> <td>TEGORY: MUTUAL FUND 12B1</td> <td>TRAILS</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	PRODUCT CA	TEGORY: MUTUAL FUND 12B1	TRAILS						
03/01/2018 KAMPMANN, PATRICIA AM FUNDS CAPITAL INCOME BUILDER A 0.00 8.40 0.00 8.40 95.0 03/01/2018 SALANITRO, ISABEL AM FUNDS GROWTH FUND OF AMERICA A 0.00 35.04 0.00 35.04 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS ICA A 0.00 8.59 0.00 8.59 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA A 0.00 16.71 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.71 0.00 0.45 0.00 0.45 0.00 0.45 0.00 0.45 0.00 0.45 0.00 0.45 0.00 0.45 0.00 0.45 0.00 0.47 95.0 <t< td=""><td>03/01/2018</td><td>GRIFFIN, DOUGLAS</td><td>AM FUNDS AMCAP A</td><td>0.00</td><td>214.97</td><td>0.00</td><td>214.97</td><td>95.0</td><td>204.22</td></t<>	03/01/2018	GRIFFIN, DOUGLAS	AM FUNDS AMCAP A	0.00	214.97	0.00	214.97	95.0	204.22
03/01/2018 SALANITRO, ISABEL AM FUNDS GROWTH FUND OF AMERICA A 0.00 35.04 0.00 35.04 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS ICA A 0.00 2.23 0.00 2.23 95.0 03/01/2018 DOUGLAS, ANDREA AM FUNDS ICA A 0.00 8.59 0.00 8.59 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.71 0.00 16.71 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 0.00 3.73 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR <	03/01/2018	SALANITRO, ISABEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.53	0.00	3.53	95.0	3.35
03/01/2018 YBAY, ERLINDA AM FUNDS ICA A 0.00 2.23 0.00 2.23 95.0 03/01/2018 DOUGLAS, ANDREA AM FUNDS ICA A 0.00 8.59 0.00 8.59 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 16.71 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.71 0.00 0.45 0.00 0.45 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR	03/01/2018	KAMPMANN, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.40	0.00	8.40	95.0	7.98
03/01/2018 DOUGLAS, ANDREA AM FUNDS ICA A 0.00 8.59 0.00 8.59 95.0 03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA C 0.00 16.71 0.00 16.71 95.0 03/01/2018 CHIAPPERINO, LORRAINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 0.45 0.00 0.45 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 4.78 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86	03/01/2018	SALANITRO, ISABEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.04	0.00	35.04	95.0	33.29
03/01/2018 LEAVY JT TEN, FLORENCE AM FUNDS ICA A 0.00 5.09 0.00 5.09 95.0 03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA C 0.00 16.71 0.00 16.71 95.0 03/01/2018 CHIAPPERINO, LORRAINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 0.45 0.00 0.45 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.85 95.0	03/01/2018	YBAY, ERLINDA	AM FUNDS ICA A	0.00	2.23	0.00	2.23	95.0	2.12
03/01/2018 FRANCIONI, ANNA AM FUNDS ICA A 0.00 2.40 0.00 2.40 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA C 0.00 16.71 0.00 16.71 95.0 03/01/2018 CHIAPPERINO, LORRAINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 0.45 0.00 0.45 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	DOUGLAS, ANDREA	AM FUNDS ICA A	0.00	8.59	0.00	8.59	95.0	8.16
03/01/2018 KAMPMANN, MURIEL AM FUNDS ICA C 0.00 16.71 0.00 16.71 95.0 03/01/2018 CHIAPPERINO, LORRAINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 0.45 0.00 0.45 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	LEAVY JT TEN, FLORENCE	AM FUNDS ICA A	0.00	5.09	0.00	5.09	95.0	4.84
03/01/2018 CHIAPPERINO, LORRAINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 0.45 0.00 0.45 95.0 03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	FRANCIONI, ANNA	AM FUNDS ICA A	0.00	2.40	0.00	2.40	95.0	2.28
03/01/2018 BECKWITH, CHRISTINE AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 4.78 0.00 4.78 95.0 03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	KAMPMANN, MURIEL	AM FUNDS ICA C	0.00	16.71	0.00	16.71	95.0	15.87
03/01/2018 YBAY, ERLINDA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 3.73 0.00 3.73 95.0 03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	CHIAPPERINO, LORRAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.45	0.00	0.45	95.0	0.43
03/01/2018 HANAK, EMMA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 10.16 0.00 10.16 95.0 03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	BECKWITH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.78	0.00	4.78	95.0	4.54
03/01/2018 KAMPMANN, PATRICIA AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 66.51 0.00 66.51 95.0 03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	YBAY, ERLINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.73	0.00	3.73	95.0	3.54
03/01/2018 KAMPMANN, MURIEL AM FUNDS WASHINGTON MUTUAL INVESTOR 0.00 16.86 0.00 16.86 95.0	03/01/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.16	0.00	10.16	95.0	9.65
	03/01/2018	KAMPMANN, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	66.51	0.00	66.51	95.0	63.18
03/05/2018 GREVE, DONNA COLUMBIA EQUITY VALUE A 0.00 4.41 0.00 4.41 95.0	03/01/2018	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.86	0.00	16.86	95.0	16.02
	03/05/2018	GREVE, DONNA	COLUMBIA EQUITY VALUE A	0.00	4.41	0.00	4.41	95.0	4.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUND 1	2B1 TRAILS	 -					
03/05/2018	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	5.14	0.00	5.14	95.0	4.88
03/05/2018	GREVE UTMA NY, PATRICK	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
03/05/2018	GREVE UTMA NY, GEORGE	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
03/05/2018	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
03/05/2018	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	3.91	0.00	3.91	95.0	3.71
03/05/2018	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.38	0.00	0.38	95.0	0.36
02/14/2018	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
02/28/2018	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	34.24	0.00	34.24	95.0	32.53
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$447.54	\$0.00	\$447.54		\$425.15
PRODUCT CA	TEGORY: VARIABLE ANNU	ITY 12B1 TRAILS						
02/20/2018	MAZZA, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	612,181.94	1,530.45	0.00	1,530.45	95.0	1,453.93
02/26/2018	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	1,900.00	0.00	1,900.00	95.0	1,805.00
02/12/2018	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	245.69	0.00	245.69	95.0	233.41
02/14/2018	HANDLER, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	75.16	0.00	75.16	95.0	71.40
02/20/2018	LUCHSINGER, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	623.40	0.00	623.40	95.0	592.23
02/20/2018	MOLNAR, J S KIP	PAC LIFE INNOVATIVE SELECT NY	0.00	300.26	0.00	300.26	95.0	285.25
02/23/2018	SMITH, ROSEMARY	PAC LIFE INNOVATIVE SELECT NY	0.00	706.64	0.00	706.64	95.0	671.31
02/26/2018	DEPTULA, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	606.55	0.00	606.55	95.0	576.22
02/26/2018	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	105.00	0.00	105.00	95.0	99.75
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$612,181.94	\$6,093.15	\$0.00	\$6,093.15		\$5,788.50
		*** DIRECT TRANSACTIONS TOTAL ***	\$4,441,222.20	\$11,514.40	\$0.00	\$11,514.40		\$10,938.66
		OVERRIDE TRAN	SACTIONS					
BROKER: L1	49-ROBERT LUCHSINGER	#388						
02/28/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	120,131.71	100.11	0.00	100.11	0.0	40.04
02/22/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.73	0.00	4.73	0.0	1.89
02/22/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.82	0.00	3.82	0.0	1.53
02/22/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.91	0.00	2.91	0.0	1.16
02/19/2018	MALIVA, JOAN	ING EMPIRE INNOVATIONS STD OPT A	16,415.98	41.04	0.00	41.04	0.0	16.42
02/22/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.01	0.00	6.01	0.0	2.40
02/21/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.08	0.00	1.08	0.0	0.43
02/21/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.36	0.00	1.36	0.0	0.54
MOTEC	· * - Indiantos adiustmo	nt is not insluded in 1000 Farnings						

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		OVERRIDE TRAN	SACTIONS					
BROKER: L1	49-ROBERT LUCHSINGER	#388						
02/21/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.64	0.00	0.64	0.0	0.26
02/21/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.43	0.00	5.43	0.0	2.17
02/21/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.12	0.00	5.12	0.0	2.05
02/21/2018	CAPASSO, DEBRA	PAC LIFE PORT OPT MOD AGGRESSIVE	3,250.00	130.00	0.00	130.00	0.0	52.00
02/21/2018	CAPASSO, MICHAEL	PAC LIFE PORT OPT MOD AGRESSIVE	3,250.00	130.00	0.00	130.00	0.0	52.00
02/21/2018	CAPASSO, DEBRA	PAC LIFE PORT OPT MOD CONSERVATIVE	3,250.00	130.00	0.00	130.00	0.0	52.00
02/21/2018	CAPASSO, MICHAEL	PAC LIFE PORT OPT MODERATE	3,250.00	130.00	0.00	130.00	0.0	52.00
02/21/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.54	0.00	9.54	0.0	3.82
02/21/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.88	0.00	1.88	0.0	0.75
02/22/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.87	0.00	4.87	0.0	1.95
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.63	0.00	4.63	0.0	1.85
02/13/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.06
02/13/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.63	0.00	0.63	0.0	0.25
02/13/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	5.43	0.00	5.43	0.0	2.17
02/13/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	2.26	0.00	2.26	0.0	0.90
02/13/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.74	0.00	0.74	0.0	0.30
02/13/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.14	0.00	1.14	0.0	0.46
		* L149-ROBERT LUCHSINGER SUBTOTAL *	\$149,547.69	\$723.53	\$0.00	\$723.53		\$289.40
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$149,547.69	\$723.53	\$0.00	\$723.53		\$289.40
		ADJUSTME	NTS					
			<u></u>					
03/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
03/15/2018		*CONCIERGE SVC: 210284 FOURNIER	0.00					-50.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*FINRA: CE TRACKED S201	0.00					-55.00
03/15/2018		*PERSHING: MANDITORY REORG - HANDLE	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - VIONE	0.00					-10.00
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.96
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.17
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-619.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS \$10,988.55 Broker Transactions Split Transactions \$0.00 \$289.40 Override Transactions Adjustments \$-619.13 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$10,658.82 Check Amount \$55,712.23 Year-to-date Gross Year-to-date Earnings \$54,349.54