

**COMMISSION STATEMENT****November 15, 2017**BARTHOLOMEW MACKLER  
1359 W. Fairview LaneBROKER #: M121  
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	33.57	0.00	33.57	90.0	30.21
10/10/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	0.00	6.07	0.00	6.07	90.0	5.46
10/11/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	7,497.45	50.00	0.00	50.00	90.0	45.00
10/10/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.07	0.00	13.07	90.0	11.76
10/10/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.32	0.00	18.32	90.0	16.49
10/10/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.26	0.00	10.26	90.0	9.23
10/10/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.92	0.00	5.92	90.0	5.33
10/10/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.42	0.00	5.42	90.0	4.88
10/23/2017	WHEELER, PAUL	AM FUNDS ICA C	60.00	0.60	0.00	0.60	90.0	0.54
10/23/2017	LOUDERMILK, SCOTT	AM FUNDS ICA C	320.00	3.20	0.00	3.20	90.0	2.88
10/23/2017	LOCKHART, JOSEPH	AM FUNDS ICA C	480.00	4.80	0.00	4.80	90.0	4.32
10/10/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.41	0.00	5.41	90.0	4.87
10/10/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	44.92	0.00	44.92	90.0	40.43
11/02/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
10/10/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.36	0.00	3.36	90.0	3.02
10/10/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.60	0.00	4.60	90.0	4.14
11/03/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	19.42	0.00	19.42	90.0	17.48
11/03/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.55	0.00	8.55	90.0	7.70
11/03/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.49	0.00	8.49	90.0	7.64
10/24/2017	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	400.00	16.00	0.00	16.00	90.0	14.40
10/10/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.21	0.00	2.21	90.0	1.99
10/10/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.78	0.00	1.78	90.0	1.60
10/10/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.33	0.00	1.33	90.0	1.20
10/10/2017	WATERMAN, SHARON	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	7.48	0.00	7.48	90.0	6.73
10/10/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.10	0.00	0.10	90.0	0.09
10/10/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.08	0.00	0.08	90.0	0.07

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
10/10/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.06	0.00	0.06	90.0	0.05
10/10/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.72	0.00	4.72	90.0	4.25
10/10/2017	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	3.98	0.00	3.98	90.0	3.58
10/13/2017	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	2.13	0.00	2.13	90.0	1.92
10/31/2017	SULEK, GLENN	FRANKLIN EQUITY INCOME A	0.00	4.68	0.00	4.68	90.0	4.21
10/31/2017	PARMELEE, LORI	FRANKLIN HIGH INCOME A	0.00	14.56	0.00	14.56	90.0	13.10
10/31/2017	SULEK, GLENN	FRANKLIN HIGH INCOME A	0.00	6.07	0.00	6.07	90.0	5.46
10/31/2017	FIGRELLO, SUSAN	FRANKLIN HIGH INCOME C	0.00	79.70	0.00	79.70	90.0	71.73
10/31/2017	DANGELO, ALEXCYIA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	2.47	0.00	2.47	90.0	2.22
10/31/2017	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.43	0.00	13.43	90.0	12.09
10/31/2017	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.50	0.00	13.50	90.0	12.15
10/31/2017	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	14.34	0.00	14.34	90.0	12.91
10/31/2017	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	16.97	0.00	16.97	90.0	15.27
10/31/2017	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	17.11	0.00	17.11	90.0	15.40
10/31/2017	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	14.34	0.00	14.34	90.0	12.91
10/31/2017	LA FOREST, MARGARET	FRANKLIN INCOME A	0.00	1.06	0.00	1.06	90.0	0.95
10/31/2017	ISBEQUE, RON	FRANKLIN INCOME C	0.00	527.36	0.00	527.36	90.0	474.62
10/31/2017	ISBEQUE, BRENDA	FRANKLIN INCOME C	0.00	44.68	0.00	44.68	90.0	40.21
10/31/2017	JERGOVICH, JOHN	FRANKLIN INCOME C	0.00	395.09	0.00	395.09	90.0	355.58
10/31/2017	BROWN, SYLVESTER	FRANKLIN INCOME C	0.00	13.93	0.00	13.93	90.0	12.54
10/31/2017	JAWORSKI, MITCHILL	FRANKLIN INCOME C	0.00	2.69	0.00	2.69	90.0	2.42
10/31/2017	PRICE, BONNIE	FRANKLIN INCOME C	0.00	88.49	0.00	88.49	90.0	79.64
10/31/2017	BERGIN, MARGARET	FRANKLIN LOW DURATION TOTAL RETURN	0.00	15.26	0.00	15.26	90.0	13.73
10/10/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.80	0.00	0.80	90.0	0.72
10/31/2017	DRAKE, AILEEN	FRANKLIN MI TAX FR INCOME A	0.00	3.04	0.00	3.04	90.0	2.74
10/31/2017	SILVER LAKE RD, 7141	FRANKLIN MI TAX FR INCOME A	0.00	5.65	0.00	5.65	90.0	5.09
10/31/2017	ELIZABETH A GEDIGIAN, AND	FRANKLIN MI TAX FR INCOME A	0.00	3.40	0.00	3.40	90.0	3.06
10/31/2017	PARMELEE, LORI	FRANKLIN MUTUAL SHARES A	0.00	21.57	0.00	21.57	90.0	19.41
10/31/2017	KARGULA, ANGELA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.34	0.00	1.34	90.0	1.21
10/31/2017	KARGULA, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.34	0.00	1.34	90.0	1.21
10/31/2017	MELDRUM, JOSEPH	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.41	0.00	1.41	90.0	1.27
10/31/2017	BERGIN, MARGARET	FRANKLIN TOTAL RETURN A	0.00	15.14	0.00	15.14	90.0	13.63
10/31/2017	BERGIN, MARGARET	FRANKLIN US GOVT SEC FUND A	0.00	66.31	0.00	66.31	90.0	59.68

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/31/2017	BERGIN, MARGARET	FRANKLIN UTILITIES A	0.00	9.23	0.00	9.23	90.0	8.31
10/31/2017	HERRADA, ROBERT	FRANKLIN UTILITIES C	0.00	43.61	0.00	43.61	90.0	39.25
10/31/2017	PRIGEL, PAUL	FRK FED LMT-TRM TAX-FREE INC FND -	0.00	2.36	0.00	2.36	90.0	2.12
10/31/2017	HERRADA, ROBERT	FT MUTUAL SHARES FUND C	0.00	62.57	0.00	62.57	90.0	56.31
10/10/2017	WATERMAN, SHARON	INVESCO CHARTER FUND A	0.00	7.52	0.00	7.52	90.0	6.77
10/10/2017	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.75	0.00	2.75	90.0	2.48
10/10/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.62	0.00	3.62	90.0	3.26
10/10/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.04	0.00	1.04	90.0	0.94
10/10/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.99	0.00	0.99	90.0	0.89
10/10/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.83	0.00	0.83	90.0	0.75
10/10/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.38	0.00	1.38	90.0	1.24
10/10/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.10	0.00	0.10	90.0	0.09
10/10/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.77	0.00	0.77	90.0	0.69
10/10/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.48	0.00	0.48	90.0	0.43
10/10/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.02	0.00	7.02	90.0	6.32
10/10/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.60	0.00	5.60	90.0	5.04
10/05/2017	REID, DAVID	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	6.32	0.00	6.32	90.0	5.69
10/05/2017	ANTON, CAROLE	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	8.60	0.00	8.60	90.0	7.74
10/10/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.37	0.00	6.37	90.0	5.73
10/10/2017	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.66	0.00	1.66	90.0	1.49
10/31/2017	ODOM, EDDIE	TEMPLETON GLOBAL BOND C	0.00	62.64	0.00	62.64	90.0	56.38
10/31/2017	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	3.88	0.00	3.88	90.0	3.49
10/31/2017	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.44	0.00	5.44	90.0	4.90
10/31/2017	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.44	0.00	5.44	90.0	4.90
10/31/2017	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	3.88	0.00	3.88	90.0	3.49
10/31/2017	WELSCH JR, ROBERT	TEMPLETON GROWTH A	0.00	1.09	0.00	1.09	90.0	0.98
10/31/2017	HIGGINS, FRAN	TEMPLETON GROWTH C	0.00	37.71	0.00	37.71	90.0	33.94
11/02/2017	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.69	0.00	9.69	90.0	8.72
11/02/2017	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.50	0.00	31.50	90.0	28.35
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$8,807.45</b>	<b>\$2,028.17</b>	<b>\$0.00</b>	<b>\$2,028.17</b>		<b>\$1,825.36</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
10/09/2017	BORR, RUDOLPH	***PRIMERO MINING CORP	204.00	22.00	14.00	8.00	90.0	7.20
10/09/2017	BORR, RUDOLPH	***YAMANA GOLD INC	4,009.50	50.00	14.00	36.00	90.0	32.40
10/18/2017	HORTON, RICHARD	BANK AMER CORP COM	15,849.00	122.00	14.00	108.00	90.0	97.20
10/20/2017	HERRADA, ROBERT	BANK AMER CORP COM	12,212.55	92.00	14.00	78.00	90.0	70.20
10/24/2017	LAMARRA, BEN	BANK AMER CORP COM	2,758.90	50.00	14.00	36.00	90.0	32.40
10/11/2017	ROGERS, J MARY	BIOPTIX INC	15,095.40	125.00	14.00	111.00	90.0	99.90
09/29/2017	BORR, RUDOLPH	CORNING INC COM	19,418.75	128.00	14.00	114.00	90.0	102.60
10/06/2017	MAZURKIEWICZ, JOHN	CURTISS WRIGHT CORP	18,063.61	223.00	14.00	209.00	90.0	188.10
10/09/2017	BORR, RUDOLPH	CURTISS WRIGHT CORP	19,308.28	92.00	14.00	78.00	90.0	70.20
10/11/2017	MAZURKIEWICZ, JOHN	CURTISS WRIGHT CORP	18,297.97	22.00	14.00	8.00	90.0	7.20
09/28/2017	GAGALIS, JUDITH	CYTOSORBENTS CORPORATION	1,173.14	35.00	14.00	21.00	90.0	18.90
09/29/2017	BORR, RUDOLPH	FITBIT INC CL A	6,861.00	50.00	14.00	36.00	90.0	32.40
10/24/2017	LAMARRA, BEN	GENERAL ELECTRIC CO COM	2,204.50	50.00	14.00	36.00	90.0	32.40
10/06/2017	MAZURKIEWICZ, JOHN	GRAHAM CORPORATION	18,224.00	132.00	14.00	118.00	90.0	106.20
10/09/2017	HERRADA, ROBERT	GRAHAM CORPORATION	26,098.23	292.00	14.00	278.00	90.0	250.20
09/29/2017	HERRADA, ROBERT	GROUPON INC	8,715.33	142.00	14.00	128.00	90.0	115.20
09/29/2017	HORTON, RICHARD	GROUPON INC	5,157.00	60.00	14.00	46.00	90.0	41.40
09/29/2017	QUENNEVILLE, JEROME	GROUPON INC	12,895.00	92.00	14.00	78.00	90.0	70.20
09/29/2017	ROGERS, PAUL	GROUPON INC	1,345.92	35.00	14.00	21.00	90.0	18.90
10/11/2017	HORTON, NANCY	HELIOS AND MATHESON ANALYTICS	20,100.00	150.00	14.00	136.00	90.0	122.40
10/11/2017	HORTON, NANCY	HELIOS AND MATHESON ANALYTICS	21,900.00	225.00	14.00	211.00	90.0	189.90
10/11/2017	MAZURKIEWICZ, JOHN	HELIOS AND MATHESON ANALYTICS	18,000.00	150.00	14.00	136.00	90.0	122.40
10/11/2017	QUENNEVILLE, JEROME	HELIOS AND MATHESON ANALYTICS	16,750.00	92.00	14.00	78.00	90.0	70.20
10/11/2017	JOHNSON, WILLIAM	HELIOS AND MATHESON ANALYTICS	14,870.00	192.00	14.00	178.00	90.0	160.20
10/11/2017	JOHNSON, WILLIAM	HELIOS AND MATHESON ANALYTICS	17,500.00	192.00	14.00	178.00	90.0	160.20
09/29/2017	BORR, RUDOLPH	JUNO THERAPEUTICS INC	7,571.29	50.00	14.00	36.00	90.0	32.40
10/03/2017	HERRADA, ROBERT	LENNAR CORP CL A	27,768.00	392.00	14.00	378.00	90.0	340.20
10/11/2017	HORTON, NANCY	MACYS INC	20,613.00	50.00	14.00	36.00	90.0	32.40
10/11/2017	JOHNSON, WILLIAM	MACYS INC	15,399.75	50.00	14.00	36.00	90.0	32.40
10/13/2017	ROGERS, J MARY	MACYS INC	7,945.63	30.00	14.00	16.00	90.0	14.40
10/18/2017	HORTON, RICHARD	PARK ELECTROCHEMICAL CORP	16,933.59	50.00	14.00	36.00	90.0	32.40
10/20/2017	HERRADA, ROBERT	PARK ELECTROCHEMICAL CORP	11,406.06	92.00	14.00	78.00	90.0	70.20
09/29/2017	BORR, RUDOLPH	PLUM CREEK TIMBER CO INC COM	34,219.88	192.00	14.00	178.00	90.0	160.20

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/26/2017	ROGERS, J MARY	RIOT BLOCKCHAIN INC	10,596.30	22.00	14.00	8.00	90.0	7.20
10/17/2017	HORTON, NANCY	VIACOM INC NEW CLASS B	23,979.87	192.00	14.00	178.00	90.0	160.20
10/17/2017	JOHNSON, WILLIAM	VIACOM INC NEW CLASS B	17,282.53	242.00	14.00	228.00	90.0	205.20
10/09/2017	BORR, RUDOLPH	VISTA GOLD CORP NEW	1,601.40	22.00	14.00	8.00	90.0	7.20
10/09/2017	BORR, RUDOLPH	WHEATON PRECIOUS METALS CORP ISIN#C	13,987.47	50.00	14.00	36.00	90.0	32.40
<b>* STOCKS SUBTOTAL *</b>			<b>\$526,316.85</b>	<b>\$4,249.00</b>	<b>\$532.00</b>	<b>\$3,717.00</b>		<b>\$3,345.30</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	DAMRON, ROBERT	JNL PERSPECTIVE	282,428.48	58.84	0.00	58.84	90.0	52.96
10/31/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,736.73	0.36	0.00	0.36	90.0	0.32
10/31/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,661.53	1.80	0.00	1.80	90.0	1.62
10/31/2017	ROHLOFF, CARL	JNL PERSPECTIVE	15,257.61	3.18	0.00	3.18	90.0	2.86
10/31/2017	SCHNELL, JAMES	JNL PERSPECTIVE	5,082.17	2.12	0.00	2.12	90.0	1.91
10/31/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	25,469.51	10.61	0.00	10.61	90.0	9.55
10/31/2017	RYAN, NANCY	JNL PERSPECTIVE L	63,415.66	52.85	0.00	52.85	90.0	47.57
10/31/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	50,450.45	42.04	0.00	42.04	90.0	37.84
10/31/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	38,788.72	32.32	0.00	32.32	90.0	29.09
10/26/2017	MACKLER, DANIEL	PAC LIFE PORTFOLIOS	0.00	76.45	0.00	76.45	90.0	68.81
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$491,290.86</b>	<b>\$280.57</b>	<b>\$0.00</b>	<b>\$280.57</b>		<b>\$252.53</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
10/16/2017	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	90.0	0.59
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$65.00</b>	<b>\$0.65</b>	<b>\$0.00</b>	<b>\$0.65</b>		<b>\$0.59</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,026,480.16</b>	<b>\$6,558.39</b>	<b>\$532.00</b>	<b>\$6,026.39</b>		<b>\$5,423.78</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*CONCIERGE SVC: 201366 201966 20215	0.00					-150.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-725.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,423.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-725.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$4,698.78</b>
<b>Year-to-date Gross</b>	<b>\$71,794.12</b>
<b>Year-to-date Earnings</b>	<b>\$69,058.73</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed