

**COMMISSION STATEMENT****February 28, 2018**JOEL, W143 WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/30/2018	PENNOCK, BARBARA	FLEX PLAN FEES	42,206.63	105.52	0.00	105.52	90.0	94.97
01/30/2018	CARROLL, BETTY	FLEX PLAN FEES	22,948.12	57.37	0.00	57.37	90.0	51.63
01/30/2018	SENESE, CARMEN	FLEX PLAN FEES	51,775.38	129.44	0.00	129.44	90.0	116.50
01/30/2018	MORGAN IRREV TRUST, CAROLYN	FLEX PLAN FEES	66,560.20	166.40	0.00	166.40	90.0	149.76
01/30/2018	BOREN, GENE	FLEX PLAN FEES	135,252.80	338.13	0.00	338.13	90.0	304.32
01/30/2018	BOREN, GENE	FLEX PLAN FEES	106,068.29	265.17	0.00	265.17	90.0	238.65
01/30/2018	PHIPPS, LESLIE	FLEX PLAN FEES	25,046.39	62.62	0.00	62.62	90.0	56.36
01/30/2018	JOHNSON, LONNIE	FLEX PLAN FEES	13,669.95	34.17	0.00	34.17	90.0	30.75
01/30/2018	HIPKINS, MICHAEL	FLEX PLAN FEES	90,847.27	227.12	0.00	227.12	90.0	204.41
01/30/2018	THREADGILL, MICHELLE	FLEX PLAN FEES	44,159.76	110.40	0.00	110.40	90.0	99.36
01/30/2018	EUBANKS, MILDRED	FLEX PLAN FEES	25,910.61	64.78	0.00	64.78	90.0	58.30
01/30/2018	HARTMAN, SAMMYE	FLEX PLAN FEES	51,880.00	129.70	0.00	129.70	90.0	116.73
01/30/2018	THREADGILL, HEATHER	FLEX PLAN FEES	21,965.09	54.91	0.00	54.91	90.0	49.42
02/09/2018	MCFADDEN, PHILIP	FLEX PLAN FEES	94,686.83	757.49	0.00	757.49	90.0	681.74
02/09/2018	PARKIN, KELSIE	FLEX PLAN FEES	25,000.00	200.00	0.00	200.00	90.0	180.00
02/23/2018	MCFADDEN, PHILIP	FLEX PLAN FEES	79,000.00	632.00	0.00	632.00	90.0	568.80
02/23/2018	MCFADDEN, JOLAYNE	FLEX PLAN FEES	86,044.96	688.35	0.00	688.35	90.0	619.52
02/23/2018	PHILLIPS, LELAND	FLEX PLAN FEES	40,000.00	320.00	0.00	320.00	90.0	288.00
* RIA SUBTOTAL *			\$1,023,022.28	\$4,343.57	\$0.00	\$4,343.57		\$3,909.22
*** DIRECT TRANSACTIONS TOTAL ***			\$1,023,022.28	\$4,343.57	\$0.00	\$4,343.57		\$3,909.22

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3,909.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,909.22
Year-to-date Gross	\$4,343.57
Year-to-date Earnings	\$3,909.22