



Concorde Investment Services, LLC

07/12/2017
Page No. 1

COMMISSION STATEMENT

July 15, 2017

JEFF/JASON KAUFMAN/KEMBLE

BROKER #: K148
BRANCH: JEFFREY KAUFMAN

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/29/2017 | SUMNER, CASEY | AM FUNDS 2045 TARGET DATE-A | 33.84 | 1.44 | 0.00 | 1.44 | 100.0 | 0.00 |
| ^06/29/2017 | SUMNER, CASEY | AM FUNDS 2045 TARGET DATE-A | 1,041.67 | 44.27 | 0.00 | 44.27 | 100.0 | 0.00 |
| ^06/26/2017 | CARSON, MATTHEW | AM FUNDS 2055 TARGET DATE-A | 47.51 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^06/26/2017 | CARSON, MATTHEW | AM FUNDS 2055 TARGET DATE-A | 47.51 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^06/26/2017 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 22.59 | 0.23 | 0.00 | 0.23 | 100.0 | 0.00 |
| ^06/26/2017 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 22.59 | 0.23 | 0.00 | 0.23 | 100.0 | 0.00 |
| ^06/28/2017 | GATTORNA, CHRISTINE | AM FUNDS AMERICAN BALANCED A | 24,000.00 | 1,020.00 | 0.00 | 1,020.00 | 100.0 | 0.00 |
| ^07/06/2017 | THOMPSON, TERRY | AM FUNDS AMERICAN BALANCED A | 161,226.00 | 2,579.62 | 0.00 | 2,579.62 | 100.0 | 0.00 |
| ^06/28/2017 | GATTORNA, CHRISTINE | AM FUNDS CAPITAL WORLD GRTH & INC A | 9,825.40 | 417.58 | 0.00 | 417.58 | 100.0 | 0.00 |
| ^07/06/2017 | THOMPSON, TERRY | AM FUNDS CAPITAL WORLD GRTH & INC A | 161,226.00 | 2,579.62 | 0.00 | 2,579.62 | 100.0 | 0.00 |
| ^06/26/2017 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 17.10 | 0.17 | 0.00 | 0.17 | 100.0 | 0.00 |
| ^06/26/2017 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 22.80 | 0.23 | 0.00 | 0.23 | 100.0 | 0.00 |
| ^06/26/2017 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 47.59 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^06/26/2017 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 47.59 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^06/26/2017 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.30 | 0.11 | 0.00 | 0.11 | 100.0 | 0.00 |
| ^06/26/2017 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.30 | 0.11 | 0.00 | 0.11 | 100.0 | 0.00 |
| ^06/26/2017 | SPEIGLE, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 42.15 | 0.42 | 0.00 | 0.42 | 100.0 | 0.00 |
| ^06/26/2017 | SPEIGLE, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 42.15 | 0.42 | 0.00 | 0.42 | 100.0 | 0.00 |
| ^06/26/2017 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 30.00 | 0.30 | 0.00 | 0.30 | 100.0 | 0.00 |
| ^06/26/2017 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 30.00 | 0.30 | 0.00 | 0.30 | 100.0 | 0.00 |
| ^06/28/2017 | BROWN, CODY | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^06/29/2017 | SUMNER, CALEB | AM FUNDS GROWTH FUND OF AMERICA A | 136.61 | 5.81 | 0.00 | 5.81 | 100.0 | 0.00 |
| ^06/29/2017 | SUMNER, CALEB | AM FUNDS GROWTH FUND OF AMERICA A | 833.34 | 35.42 | 0.00 | 35.42 | 100.0 | 0.00 |
| ^07/05/2017 | BARTLETT, STEVEN | AM FUNDS GROWTH FUND OF AMERICA A | 81.19 | 0.81 | 0.00 | 0.81 | 100.0 | 0.00 |
| ^07/05/2017 | BARTLETT, STEVEN | AM FUNDS GROWTH FUND OF AMERICA A | 48.72 | 0.49 | 0.00 | 0.49 | 100.0 | 0.00 |
| ^06/26/2017 | ZELLMER, RIANNON | AM FUNDS ICA A | 11.99 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^06/26/2017 | ZELLMER, RIANNON | AM FUNDS ICA A | 11.99 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |
| ^06/26/2017 | WAHLIG, KACEY | AM FUNDS ICA A | 22.60 | 0.23 | 0.00 | 0.23 | 100.0 | 0.00 |
| ^06/26/2017 | WAHLIG, KACEY | AM FUNDS ICA A | 22.60 | 0.23 | 0.00 | 0.23 | 100.0 | 0.00 |
| ^06/26/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.54 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^06/26/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.54 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^07/05/2017 | HAGUE, VICTORIA | AM FUNDS ICA A | 67.52 | 0.68 | 0.00 | 0.68 | 100.0 | 0.00 |
| ^07/05/2017 | HAGUE, VICTORIA | AM FUNDS ICA A | 400.00 | 4.00 | 0.00 | 4.00 | 100.0 | 0.00 |
| ^07/03/2017 | LEHMAN, COLBY | AM FUNDS NEW PERSPECTIVE A | 50.00 | 2.50 | 0.00 | 2.50 | 100.0 | 0.00 |
| ^06/26/2017 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 17.10 | 0.17 | 0.00 | 0.17 | 100.0 | 0.00 |
| ^06/26/2017 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 22.80 | 0.23 | 0.00 | 0.23 | 100.0 | 0.00 |
| ^06/26/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.54 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^06/26/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.54 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^06/26/2017 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 30.00 | 0.30 | 0.00 | 0.30 | 100.0 | 0.00 |
| ^06/26/2017 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 30.00 | 0.30 | 0.00 | 0.30 | 100.0 | 0.00 |
| ^07/05/2017 | HAGUE, VICTORIA | AM FUNDS SMALLCAP WORLD A | 16.88 | 0.17 | 0.00 | 0.17 | 100.0 | 0.00 |
| ^07/05/2017 | HAGUE, VICTORIA | AM FUNDS SMALLCAP WORLD A | 100.00 | 1.00 | 0.00 | 1.00 | 100.0 | 0.00 |
| ^07/05/2017 | BARTLETT, STEVEN | AM FUNDS SMALLCAP WORLD A | 48.72 | 0.49 | 0.00 | 0.49 | 100.0 | 0.00 |
| ^07/05/2017 | BARTLETT, STEVEN | AM FUNDS SMALLCAP WORLD A | 81.20 | 0.81 | 0.00 | 0.81 | 100.0 | 0.00 |
| ^06/29/2017 | SUMNER, CALEB | AM FUNDS WASHINGTON MUTUAL INVESTOR | 34.15 | 1.45 | 0.00 | 1.45 | 100.0 | 0.00 |
| ^06/29/2017 | SUMNER, CALEB | AM FUNDS WASHINGTON MUTUAL INVESTOR | 208.33 | 8.85 | 0.00 | 8.85 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$360,244.99 | \$6,716.91 | \$0.00 | \$6,716.91 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| ^06/28/2017 | CARSON, SEDEL | PAC LIFE VUL | 55.00 | 27.50 | 0.00 | 27.50 | 100.0 | 0.00 |
| ^06/28/2017 | CARSON, MATTHEW | PAC LIFE VUL | 7.57 | 3.79 | 0.00 | 3.79 | 100.0 | 0.00 |
| ^06/28/2017 | CARSON, MATTHEW | PAC LIFE VUL | 142.43 | 17.80 | 0.00 | 17.80 | 100.0 | 0.00 |
| ^06/28/2017 | CARSON, SEDEL | PAC LIFE VUL | 55.00 | 27.50 | 0.00 | 27.50 | 100.0 | 0.00 |
| ^06/28/2017 | CARSON, MATTHEW | PAC LIFE VUL | 7.57 | 3.79 | 0.00 | 3.79 | 100.0 | 0.00 |
| ^06/28/2017 | CARSON, MATTHEW | PAC LIFE VUL | 142.43 | 17.80 | 0.00 | 17.80 | 100.0 | 0.00 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$410.00 | \$98.18 | \$0.00 | \$98.18 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$360,654.99 | \$6,815.09 | \$0.00 | \$6,815.09 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |