



All Companies  
**COMMISSION STATEMENT**  
**February 15, 2018**

02/14/2018  
 Page No. 1

SIGNAL POOL

BROKER #: 9623  
 BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

**BROKER: SNOW/POOL #9627**

02/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES			0.00	835.33	0.00	835.33	100.0	835.33
	* SNOW/POOL SUBTOTAL *			<u>\$0.00</u>	<u>\$835.33</u>	<u>\$0.00</u>	<u>\$835.33</u>		<u>\$835.33</u>

**BROKER: ROTHSCHILD/POOL #9629**

01/31/2018 TRAILS	-FIDELITY ADVISOR FUNDS	B		118.73	47.49	0.00	47.49	100.0	47.49
01/25/2018 000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B			50.00	0.20	0.00	0.20	100.0	0.20
01/25/2018 000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B		100.00	0.40	0.00	0.40	100.0	0.40
01/25/2018 000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B		50.00	0.20	0.00	0.20	100.0	0.20
01/25/2018 000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B		50.00	0.20	0.00	0.20	100.0	0.20
01/30/2018 00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B		100.00	2.00	0.00	2.00	100.0	2.00
01/31/2018 00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B		900.00	18.00	0.00	18.00	100.0	18.00
02/01/2018 00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B			50.00	0.85	0.00	0.85	100.0	0.85
02/02/2018 00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B		250.00	1.60	0.00	1.60	100.0	1.60
01/22/2018 00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE 2018 FUND-529A	B		50.00	0.70	0.00	0.70	100.0	0.70
01/25/2018 04000758622 CHUA, SERGIO	AMERICAN FUNDS BALANCED FD	B		2,000.00	9.60	0.00	9.60	100.0	9.60
01/25/2018 04000758622 CHUA, SERGIO	AMERICAN FUNDS BD FD OF AMER FD	B		2,500.00	12.00	0.00	12.00	100.0	12.00
01/25/2018 04000758622 CHUA, SERGIO	AMERICAN FUNDS CAPITAL INCOME BUILD B			2,000.00	9.60	0.00	9.60	100.0	9.60
01/25/2018 04000758622 CHUA, SERGIO	AMERICAN FUNDS CAPITAL WORLD GROWT B			1,500.00	7.20	0.00	7.20	100.0	7.20
01/25/2018 04000758622 CHUA, SERGIO	AMERICAN FUNDS INCOME FD OF AMERI B			2,000.00	9.60	0.00	9.60	100.0	9.60
	* ROTHSCHILD/POOL SUBTOTAL *			<u>\$11,718.73</u>	<u>\$119.64</u>	<u>\$0.00</u>	<u>\$119.64</u>		<u>\$119.64</u>
	*** SPLIT TRANSACTIONS TOTAL ***			<u>\$11,718.73</u>	<u>\$954.97</u>	<u>\$0.00</u>	<u>\$954.97</u>		<u>\$954.97</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<b>BROKER: JUDE RAMON L. BARCENAS #9620</b>									
01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	162.39	162.39	0.00	162.39		1.62
01/26/2018	TRAILS	-HARTFORD LIFE	B	50.26	50.26	0.00	50.26		0.50
01/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
01/24/2018	DEVEZA, NOEMYLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
01/22/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64		0.03
01/31/2018	000000000003018 BARCENAS, RODOLFO L.	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	14.84	0.00	14.84		0.15
01/31/2018	000000000003121 BAUSKE, DIANA G.	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	3.84	0.00	3.84		0.04
01/31/2018	000000000003881 BLESSING, REBECCA D.	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	33.49	0.00	33.49		0.33
01/31/2018	0000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	19.87	0.00	19.87		0.20
01/31/2018	0000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	8.29	0.00	8.29		0.08
01/31/2018	0000000000027969 GARCIA, RODOLFO	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	14.92	0.00	14.92		0.15
01/31/2018	0000000000027971 GARCIA, MARIVIC	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	8.55	0.00	8.55		0.09
01/31/2018	0000000000028427 ROMERO, ADRIENNE	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	37.77	0.00	37.77		0.38
01/31/2018	0000000000028439 GARRIDO, FIDES	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	17.95	0.00	17.95		0.18
01/31/2018	0000000000028441 GARRIDO, RACHEL	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	19.42	0.00	19.42		0.19
01/31/2018	0000000000038339 BARCENAS, RODOLFO L.	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	4.22	0.00	4.22		0.04
01/31/2018	0000000000038341 HALL, ELIZABETH JEAN	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	1.80	0.00	1.80		0.02
01/31/2018	0000000000038366 BUSA, JOSEPHINE JANE	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	2.10	0.00	2.10		0.02
01/31/2018	0000000000039180 GARCIA, RODOLFO	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	5.29	0.00	5.29		0.05
01/31/2018	0000000000039192 GARCIA, MARIVIC	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	3.06	0.00	3.06		0.03
01/31/2018	0000000000039394 ROMERO, ADRIENNE	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	11.77	0.00	11.77		0.12
01/31/2018	0000000000039406 GARRIDO, FIDES	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	4.54	0.00	4.54		0.05
01/31/2018	0000000000039418 GARRIDO, RACHEL	FRK MIDCAP VALUE FUND - CLASS C	B	0.00	4.91	0.00	4.91		0.05
01/31/2018	0000000000041513 DEVEZA,	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	0.63	0.00	0.63		0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
	NOEMYLINA ONG								
01/31/2018	000000000138115 TRIMBLE, STEVEN	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	0.91	0.00	0.91		0.01
01/31/2018	000000000156606 BLESSING, REBECCA D.	FRANKLIN TOTAL RETURN FUND - CLASS B		0.00	14.08	0.00	14.08		0.14
01/31/2018	000000000178083 BLESSING, REBECCA D.	FRANKLIN NATURAL RESOURCES FND - CL B		0.00	13.57	0.00	13.57		0.14
01/31/2018	000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		0.00	8.86	0.00	8.86		0.09
01/31/2018	000000000206615 CABRERA, IVY/CHARLES	FRANKLIN NATURAL RESOURCES FND - CL B		0.00	2.61	0.00	2.61		0.03
01/31/2018	000000000210043 TANNER-SHIELDS, AMIE M.	FRANKLIN TOTAL RETURN FUND - CLASS B		0.00	1.50	0.00	1.50		0.02
01/31/2018	000000000425619 GARRIDO, FIDES FRANKLIN SMALL CAP GROWTH II - CL C B L.			0.00	21.67	0.00	21.67		0.22
01/31/2018	000000000425621 GARCIA, RODOLFO C.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	20.19	0.00	20.19		0.20
01/31/2018	000000000425633 GARCIA, MARIVIC D.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	11.55	0.00	11.55		0.12
01/31/2018	000000000425672 GARRIDO, RACHEL E.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	23.48	0.00	23.48		0.23
01/31/2018	000000000426787 TANNER-SHIELDS, AMIE M.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	4.81	0.00	4.81		0.05
01/31/2018	000000000435596 CHUA, MARIPAZ	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	43.37	0.00	43.37		0.43
01/31/2018	000000000450003 HALL, ELIZABETH	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	4.25	0.00	4.25		0.04
01/31/2018	000000000452348 BABAO, ROMMEL	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	3.36	0.00	3.36		0.03
01/31/2018	000000000452351 BABAO, IMELDA	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	0.51	0.00	0.51		0.01
01/31/2018	000000000718674 GARCIA, RODOLFO	FRANKLIN UTILITIES FD CL II	B	0.00	9.34	0.00	9.34		0.09
01/31/2018	000000000718686 GARCIA, MARIVIC	FRANKLIN UTILITIES FD CL II	B	0.00	5.39	0.00	5.39		0.05
01/31/2018	000000000718775 BABAO, ROMMEL	FRANKLIN UTILITIES FD CL II	B	0.00	3.51	0.00	3.51		0.04
01/31/2018	000000000720932 ROMERO, ADRIENNE	FRANKLIN UTILITIES FD CL II	B	0.00	17.74	0.00	17.74		0.18
01/31/2018	000000000720944 GARRIDO, FIDES FRANKLIN UTILITIES FD CL II		B	0.00	10.18	0.00	10.18		0.10
01/31/2018	000000000720957 GARRIDO, RACHEL	FRANKLIN UTILITIES FD CL II	B	0.00	10.97	0.00	10.97		0.11
01/31/2018	000000000773580 BAUSKE, DIANA G.	FRANKLIN STRATEGIC INC FD	CL II B	0.00	1.30	0.00	1.30		0.01

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
01/31/2018	000000000787539 BLESSING, REBECCA D.	FRANKLIN STRATEGIC INC FD	CL II B	0.00	14.98	0.00	14.98		0.15
01/31/2018	000000000803407 BABAO, IMELDA V.	FRANKLIN UTILITIES FD CL II	B	0.00	0.53	0.00	0.53		0.01
01/31/2018	000000000817650 BARCENAS, RODOLFO	FRANKLIN VALUE FD CL II	B	0.00	33.02	0.00	33.02		0.33
01/31/2018	000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD	CL II B	0.00	9.24	0.00	9.24		0.09
01/31/2018	000000000894117 HALL, ELIZABETH JEAN	FRANKLIN VALUE FD CL II	B	0.00	2.98	0.00	2.98		0.03
01/22/2018	000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50		0.01
01/31/2018	000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	0.00	4.75	0.00	4.75		0.05
01/31/2018	000000000894283 GARCIA, RODOLFO C.	FRANKLIN VALUE FD CL II	B	0.00	11.17	0.00	11.17		0.11
01/31/2018	000000000894295 GARCIA, MARIVIC	FRANKLIN VALUE FD CL II	B	0.00	6.45	0.00	6.45		0.06
01/31/2018	000000000894345 ROMERO, MARIPAZ C.	FRANKLIN VALUE FD CL II	B	0.00	24.85	0.00	24.85		0.25
01/31/2018	000000000894358 GARRIDO, FIDES L.	FRANKLIN VALUE FD CL II	B	0.00	9.59	0.00	9.59		0.10
01/31/2018	000000000894360 GARRIDO, RACHEL E.	FRANKLIN VALUE FD CL II	B	0.00	10.38	0.00	10.38		0.10
01/31/2018	000000000915126 TANNER-SHIELDS, AMIE M.	FRANKLIN STRATEGIC INC FD	CL II B	0.00	1.57	0.00	1.57		0.02
01/31/2018	000000001080112 GARRIDO, FIDES	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	7.36	0.00	7.36		0.07
01/31/2018	000000001080124 GARCIA, RODOLFO	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	6.95	0.00	6.95		0.07
01/31/2018	000000001080136 GARCIA, MARIVIC	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	4.01	0.00	4.01		0.04
01/31/2018	000000001080201 GARRIDO, RACHEL	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	8.00	0.00	8.00		0.08
01/31/2018	000000001088158 TANNER-SHIELDS, AMIE M.	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	1.84	0.00	1.84		0.02
01/31/2018	000000001132099 ROMERO, ADRIENNE	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	20.10	0.00	20.10		0.20
01/31/2018	000000001222571 BARCENAS, RODOLFO	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	9.42	0.00	9.42		0.09
01/31/2018	000000001224524 BUSA,	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	2.66	0.00	2.66		0.03

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
	JOSEPHINE JANE								
01/31/2018	000000001427929 GARCIA,	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	15.54	0.00	15.54		0.16
	RODOLFO								
01/31/2018	000000001427931 GARCIA,	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	8.98	0.00	8.98		0.09
	MARIVIC								
01/31/2018	000000001428123 BABAO, ROMMEL	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	12.36	0.00	12.36		0.12
01/31/2018	000000001428135 BABAO, IMELDA	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	1.88	0.00	1.88		0.02
01/31/2018	000000001433794 GARRIDO, FIDES	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	16.85	0.00	16.85		0.17
01/31/2018	000000001433818 GARRIDO,	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	18.24	0.00	18.24		0.18
	RACHEL								
01/31/2018	000000001566393 BAUSKE, DIANA	FRANKLIN TEMPLETON DEVELOPING MARKE B		0.00	0.66	0.00	0.66		0.01
	G.								
01/31/2018	000000001571557 BLESSING,	FRANKLIN TEMPLETON DEVELOPING MARKE B		0.00	21.00	0.00	21.00		0.21
	REBECCA D.								
01/31/2018	000000001603101 CABRERA,	FRANKLIN TEMPLETON DEVELOPING MARKE B		0.00	15.47	0.00	15.47		0.15
	IVY/CHARLES								
01/31/2018	000000002328348	TEMP GLOBAL BOND FND - CL C	B	0.00	1.54	0.00	1.54		0.02
	TANNER-SHIELDS, AMIE M.								
01/31/2018	000000002907499	FRANKLIN SMALL CAP GROWTH FD CL II B		0.00	3.99	0.00	3.99		0.04
	TANNER-SHIELDS, AMIE M.								
01/31/2018	000000003558398 BARCENAS,	TEMP GLOBAL BOND FND - CL C	B	0.00	8.83	0.00	8.83		0.09
	RODOLFO								
01/31/2018	000000003558475 BUSA,	TEMP GLOBAL BOND FND - CL C	B	0.00	2.61	0.00	2.61		0.03
	JOSEPHINE JANE								
01/31/2018	000000004544654 BABAO, ROMMEL	FRANKLIN MUTUAL DISCOVERY FD 2	B	0.00	11.50	0.00	11.50		0.12
	Y.								
01/31/2018	000000004544678 BABAO, IMELDA	FRANKLIN MUTUAL DISCOVERY FD 2	B	0.00	1.75	0.00	1.75		0.02
	V.								
01/31/2018	000000006329360 EVANGELISTA,	529 GROWTH & INCOME - C	B	0.00	12.95	0.00	12.95		0.13
	AURELIO/MONIQUE								
01/31/2018	000000006329360 EVANGELISTA,	529 INCOME C	B	0.00	5.38	0.00	5.38		0.05
	AURELIO/MONIQUE								
01/31/2018	000000006329360 EVANGELISTA,	529 SMALL-MID CAP GROWTH C	B	0.00	16.38	0.00	16.38		0.16
	AURELIO/MONIQUE								
01/31/2018	000000006330997 EVANGELISTA,	529 GROWTH & INCOME - C	B	0.00	14.55	0.00	14.55		0.15
	AURELIO/BEATRICE								
01/31/2018	000000006330997 EVANGELISTA,	529 INCOME C	B	0.00	4.12	0.00	4.12		0.04
	AURELIO/BEATRICE								
01/31/2018	000000006330997 EVANGELISTA,	529 SMALL-MID CAP GROWTH C	B	0.00	8.40	0.00	8.40		0.08
	AURELIO/BEATRICE								

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<u> OVERRIDE TRANSACTIONS </u>									
<b>BROKER: JUDE RAMON L. BARCENAS #9620</b>									
01/31/2018	0000000012716078	BABAO, ROMMEL FRANKLIN INCOME FD CL II	B	0.00	12.98	0.00	12.98		0.13
	Y.								
01/31/2018	0000000012716092	BABAO, IMELDA FRANKLIN INCOME FD CL II	B	0.00	1.94	0.00	1.94		0.02
	V.								
01/31/2018	000013011131413	BACANI, ARTURO FRANKLIN HIGH YLD TAX FREE	INCOM B	0.00	23.15	0.00	23.15		0.23
01/31/2018	000019818155638	BABAO, ROMMEL FRANKLIN SMALL CAP GROWTH FD	CL I B	0.00	1.47	0.00	1.47		0.01
	Y.								
01/31/2018	000028212417783	TRIMBLE, FRANKLIN VALUE FD CL 1	B	0.00	1.56	0.00	1.56		0.02
	STEVEN								
01/31/2018	000070187966911	TRIMBLE, FRANKLIN UTILITIES FD CL I	B	0.00	0.52	0.00	0.52		0.01
	STEVEN								
01/22/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
01/22/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD	BD FD B	40.00	1.20	0.00	1.20		0.01
01/22/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR	FD B	40.00	1.20	0.00	1.20		0.01
01/22/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF	AMERI B	40.00	1.50	0.00	1.50		0.02
01/22/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
01/22/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
01/22/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD	BD FD B	40.00	1.20	0.00	1.20		0.01
01/22/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR	FD B	40.00	1.20	0.00	1.20		0.01
01/22/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF	AMERI B	40.00	1.50	0.00	1.50		0.02
01/22/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
02/02/2018	00086483831	KIM, ANDREW AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483831	KIM, ANDREW AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
02/02/2018	00086483831	KIM, ANDREW AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483831	KIM, ANDREW NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483831	KIM, ANDREW THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483875	KIM, ANDREW AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483875	KIM, ANDREW AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
02/02/2018	00086483875	KIM, ANDREW AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483875	KIM, ANDREW NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02
02/02/2018	00086483875	KIM, ANDREW THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
01/22/2018	00089156141	KYSER, DICK/MARGIE PUTNAM NEW OPPORTUNITIES FD	CL A B	220.00	11.00	0.00	11.00		0.11
01/16/2018	2203006058	TACUYAN, OPPENHEIMER US GOVT TRUST FD	CL A B	100.00	2.00	0.00	2.00		0.02
	EVA/ANGELINE								
01/16/2018	2304545742	TACUYAN, OPPENHEIMER STRATEGIC INCOME	FD CL B	100.00	3.75	0.00	3.75		0.04
	EVA/ANGELINE								
* JUDE RAMON L. BARCENAS SUBTOTAL *				\$1,682.79	\$1,152.93	\$0.00	\$1,152.93		\$11.59

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>									
<b><u> BROKER: MATTHEW THOMAS KUNZ #9631 </u></b>									
01/31/2018	TRAILS	CHET ADVISOR 529 PLAN	B	1.05	1.05	0.00	1.05		0.01
02/01/2018	GIANNINO, MARY ELLEN	CHET ADVISOR 529 PLAN	B	50.00	1.26	0.00	1.26		0.01
		<b>* MATTHEW THOMAS KUNZ SUBTOTAL *</b>		<b>\$51.05</b>	<b>\$2.31</b>	<b>\$0.00</b>	<b>\$2.31</b>		<b>\$0.02</b>
<b><u> BROKER: JOHN HOWARD RUSSELL #9632 </u></b>									
02/02/2018	TRAILS	-JOHN HANCOCK	B	15.94	15.94	0.00	15.94		0.16
01/31/2018	000080192632036 SULLIVAN, EDWARD P	FRANKLIN DYNATECH FD CL I	B	0.00	3.00	0.00	3.00		0.03
02/07/2018	00067852430 SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
02/07/2018	00067852431 SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
02/07/2018	00067852432 SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
02/07/2018	00068646098 SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
01/22/2018	00083499515 SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	200.00	2.00	0.00	2.00		0.02
02/08/2018	00083499515 SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	200.00	2.00	0.00	2.00		0.02
02/01/2018	00083626829 SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	B	150.00	1.50	0.00	1.50		0.02
02/05/2018	00084635934 GIBSON/KIDD, ANTHONY/RYLAN	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00		0.02
01/22/2018	00085797272 RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.38	0.00	1.38		0.01
01/22/2018	00085797295 RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38		0.01
01/22/2018	00085797300 RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38		0.01
01/22/2018	00087000587 MORGAN, CHERIE/CHASE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
01/22/2018	00087000599 MORGAN, CHERIE/PIERCE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
02/02/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
02/02/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
02/07/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
02/07/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01
01/26/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
01/26/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
02/02/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
02/02/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
02/07/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERRIDE TRANSACTIONS									
BROKER: JOHN HOWARD RUSSELL #9632									
02/07/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
02/01/2018	00089514707	HAMILTON, JAMES	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	0.02
02/01/2018	00089985511	YOUNG, VICTORIA	FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00	0.01
JOBE									
02/05/2018	04000234902	OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	0.13
01/22/2018	04000273061	TORREZ, HEATHER	FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75	0.04
02/07/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.23	0.50	0.00	0.50	0.01
02/07/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.23	0.50	0.00	0.50	0.01
* JOHN HOWARD RUSSELL SUBTOTAL *				\$3,200.76	\$76.72	\$0.00	\$76.72		\$0.80
*** OVERRIDE TRANSACTIONS TOTAL ***				\$4,934.60	\$1,231.96	\$0.00	\$1,231.96		\$12.41

BROKER COMMISSION TOTALS

Broker Transactions	\$761.28
Split Transactions	\$193.69
Override Transactions	\$12.41
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$967.38
Year-to-date Earnings	\$3,912.02