

**COMMISSION STATEMENT****April 15, 2018**

ROY, P123 PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 04/11/2018 | ABELLA, CARMELA | SEI | 142,318.85 | 0.00 | 0.00 | 0.00 | 75.0 | 0.00 |
| 04/11/2018 | ABRAHAM, BLESSY | SEI | 12,831.81 | 32.08 | 0.00 | 32.08 | 75.0 | 24.06 |
| 04/11/2018 | CIPRIANO, CARA | SEI | 17,194.66 | 42.99 | 0.00 | 42.99 | 75.0 | 32.24 |
| 04/11/2018 | DAVIS, BRIDGET | SEI | 107,071.62 | 267.68 | 0.00 | 267.68 | 75.0 | 200.76 |
| 04/11/2018 | O'HARA, LORRAINE | SEI | 21,540.51 | 53.85 | 0.00 | 53.85 | 75.0 | 40.39 |
| 04/11/2018 | LAURO, MICHAEL | SEI | 3,013.23 | 7.53 | 0.00 | 7.53 | 75.0 | 5.65 |
| 04/11/2018 | LOCASTRO, DONNA | SEI | 5,460.51 | 13.65 | 0.00 | 13.65 | 75.0 | 10.24 |
| 04/11/2018 | MASTROSERIO, MICHELLE | SEI | 64,676.85 | 161.69 | 0.00 | 161.69 | 75.0 | 121.27 |
| 04/11/2018 | O'HARA, LORRAINE | SEI | 128,177.26 | 320.44 | 0.00 | 320.44 | 75.0 | 240.33 |
| 04/11/2018 | O'HARA, LORRAINE | SEI | 1,854.98 | 4.64 | 0.00 | 4.64 | 75.0 | 3.48 |
| 04/11/2018 | RASMUSSEN JR, HARRY | SEI | 4,324.48 | 10.81 | 0.00 | 10.81 | 75.0 | 8.11 |
| 04/11/2018 | RASMUSSEN, MELANIE | SEI | 16,309.56 | 40.78 | 0.00 | 40.78 | 75.0 | 30.59 |
| * RIA SUBTOTAL * | | | \$524,774.32 | \$956.14 | \$0.00 | \$956.14 | | \$717.12 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$524,774.32 | \$956.14 | \$0.00 | \$956.14 | | \$717.12 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$717.12 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$5.11 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$722.23 |
| Year-to-date Gross | \$1,919.38 |
| Year-to-date Earnings | \$1,439.56 |