



All Companies

COMMISSION STATEMENT

February 01, 2018

JOHN HOWARD RUSSELL
4618 118TH STREET

BROKER #: 9632
BRANCH: HOME OFFICE

Lubbock, TX 79424-

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/16/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	87.27	1.05	0.00	1.05	85.0 0.89
01/16/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	87.27	1.05	0.00	1.05	85.0 0.89
01/16/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	37.40	0.45	0.00	0.45	85.0 0.38
01/16/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	37.40	0.45	0.00	0.45	85.0 0.38
01/16/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	62.33	0.75	0.00	0.75	85.0 0.64
01/16/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	62.33	0.75	0.00	0.75	85.0 0.64
01/16/2018	00062722452	POFF, RICKY L	GLOBAL BALANCED FUND-A	B	62.33	0.75	0.00	0.75	85.0 0.64
01/16/2018	00062722452	POFF, RICKY L	GLOBAL BALANCED FUND-A	B	62.33	0.75	0.00	0.75	85.0 0.64
01/16/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	2.40	0.00	2.40	85.0 2.04
01/16/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	129.00	1.55	0.00	1.55	85.0 1.32
01/16/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL INVES	B	200.00	2.40	0.00	2.40	85.0 2.04
01/16/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL INVES	B	129.00	1.55	0.00	1.55	85.0 1.32
01/08/2018	00064112804	FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT	B	333.33	9.17	0.00	9.17	85.0 7.79
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	186.90	2.24	0.00	2.24	85.0 1.90
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	186.90	2.24	0.00	2.24	85.0 1.90
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	186.90	2.24	0.00	2.24	85.0 1.90
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	186.90	2.24	0.00	2.24	85.0 1.90
01/16/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	192.56	2.31	0.00	2.31	85.0 1.96
01/16/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	192.56	2.31	0.00	2.31	85.0 1.96
01/08/2018	00067852430	SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0 3.19
01/08/2018	00067852431	SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0 3.19
01/08/2018	00067852432	SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0 3.19
01/08/2018	00068646098	SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	85.0 3.19
01/16/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	85.0 0.43
01/17/2018	00073404574	SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0 0.85

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/11/2018	00073552220 BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	2.81	0.00	2.81	85.0	2.39
01/11/2018	00073552220 BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B		75.00	2.81	0.00	2.81	85.0	2.39
01/17/2018	00074657443 SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
01/16/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	122.71	1.47	0.00	1.47	85.0	1.25
01/16/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	122.71	1.47	0.00	1.47	85.0	1.25
01/16/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		49.08	0.59	0.00	0.59	85.0	0.50
01/16/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		49.08	0.59	0.00	0.59	85.0	0.50
01/16/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		73.63	0.88	0.00	0.88	85.0	0.75
01/16/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		73.63	0.88	0.00	0.88	85.0	0.75
01/16/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	93.00	1.12	0.00	1.12	85.0	0.95
01/16/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	39.90	0.48	0.00	0.48	85.0	0.41
01/16/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		69.76	0.84	0.00	0.84	85.0	0.71
01/16/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		29.93	0.36	0.00	0.36	85.0	0.31
01/16/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		69.76	0.84	0.00	0.84	85.0	0.71
01/16/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		29.93	0.36	0.00	0.36	85.0	0.31
01/12/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		252.10	5.04	0.00	5.04	85.0	4.28
01/12/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		504.20	10.08	0.00	10.08	85.0	8.57
01/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	242.88	4.86	0.00	4.86	85.0	4.13
01/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	97.16	1.94	0.00	1.94	85.0	1.65
01/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		437.20	8.74	0.00	8.74	85.0	7.43
01/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		174.89	3.50	0.00	3.50	85.0	2.98
01/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		291.47	5.83	0.00	5.83	85.0	4.96
01/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		116.60	2.33	0.00	2.33	85.0	1.98
01/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		100.00	2.00	0.00	2.00	85.0	1.70
01/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		49.73	0.99	0.00	0.99	85.0	0.84
01/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		100.00	2.00	0.00	2.00	85.0	1.70
01/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		49.73	0.99	0.00	0.99	85.0	0.84
01/12/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		72.58	1.45	0.00	1.45	85.0	1.23
01/12/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		72.58	1.45	0.00	1.45	85.0	1.23
01/16/2018	00082474109 KUETHE, ALLAN	AMERICAN MUTUAL FUND-C	B	300.00	3.00	0.00	3.00	85.0	2.55
01/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS EURO PACIFIC GROWT B		151.67	3.03	0.00	3.03	85.0	2.58
01/12/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B		60.67	1.21	0.00	1.21	85.0	1.03

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
	R									
01/12/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B	455.01	9.10	0.00	9.10	85.0	7.74	
	R									
01/12/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B	182.00	3.64	0.00	3.64	85.0	3.09	
	R									
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	179.10	3.58	0.00	3.58	85.0	3.04	
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	179.10	3.58	0.00	3.58	85.0	3.04	
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	179.10	3.58	0.00	3.58	85.0	3.04	
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	179.10	3.58	0.00	3.58	85.0	3.04	
01/08/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	200.00	2.00	0.00	2.00	85.0	1.70	
01/16/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	150.00	1.50	0.00	1.50	85.0	1.28	
01/16/2018	00084758983	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
	IRENE/LAUREN.									
01/16/2018	00084759195	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
	IRENE/LANDON									
01/16/2018	00084759209	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
	IRENE/AUSTIN									
01/16/2018	00084759232	HOWARD/BEAUDOIN,	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
	IRENE/DAKOTA									
01/17/2018	00085209795	SULLIVAN/MCGRAFF,	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
	SHERRY/REECE									
01/08/2018	00087621936	MORRIS, JACKSON	FUNDAMENTAL INVESTORS-C	B	25.00	0.25	0.00	0.25	85.0	0.21
01/16/2018	00087897269	ASHCRAFT OGDEN,	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
	ZOIE									
01/12/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
01/12/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	87.70	0.88	0.00	0.88	85.0	0.75
01/19/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	87.70	0.88	0.00	0.88	85.0	0.75
01/12/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
01/12/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28	85.0	0.24
01/19/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	178.07	1.78	0.00	1.78	85.0	1.51
01/19/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	178.07	1.78	0.00	1.78	85.0	1.51
01/12/2018	00088263943	BISHOP, MASON W.	EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
01/12/2018	00088263943	BISHOP, MASON W.	EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
01/19/2018	00088263943	BISHOP, MASON W.	EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
01/19/2018	00088263943	BISHOP, MASON W.	EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13	85.0	0.11
01/12/2018	00088263943	BISHOP, MASON W.	NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
01/12/2018	00088263943	BISHOP, MASON W.	NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
01/19/2018	00088263943	BISHOP, MASON W.	NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11
01/19/2018	00088263943	BISHOP, MASON W.	NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13	85.0	0.11

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/12/2018	00088263961	GENTRY, ANGIE L.	EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/12/2018	00088263961	GENTRY, ANGIE L.	EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088263961	GENTRY, ANGIE L.	EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088263961	GENTRY, ANGIE L.	EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/12/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/12/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088263961	GENTRY, ANGIE L.	NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14	85.0	0.12
01/12/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
01/12/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
01/19/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
01/19/2018	00088263979	MESEY, BRIAN K.	FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13	85.0	0.11
01/12/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
01/12/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
01/19/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
01/19/2018	00088263979	MESEY, BRIAN K.	THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19	85.0	0.16
01/12/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/12/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/19/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/19/2018	00088270587	MILLER, CLIFFORD C.	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/12/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/12/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/19/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/19/2018	00088270587	MILLER, CLIFFORD C.	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
01/12/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
01/12/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
01/19/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
01/19/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
01/12/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14	85.0	0.12
01/12/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14	85.0	0.12
01/19/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14	85.0	0.12

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/12/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
01/12/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
01/19/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
01/19/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
01/12/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
01/12/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
01/19/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
01/19/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
01/12/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
01/12/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
01/19/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
01/19/2018	00089144953	RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
01/16/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	117.34	1.41	0.00	1.41	85.0	1.20
01/16/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	96.59	1.16	0.00	1.16	85.0	0.99
01/16/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	117.34	1.41	0.00	1.41	85.0	1.20
01/16/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	96.59	1.16	0.00	1.16	85.0	0.99
01/12/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
01/12/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
01/19/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
01/19/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
01/16/2018	00089986176	YOUNG, MARCUS	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
01/16/2018	04000108054	HAMILTON, JAMES	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
01/16/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
01/16/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
01/12/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
01/12/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
01/19/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
01/19/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
01/12/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	15.60	0.16	0.00	0.16	85.0	0.14
01/12/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	15.60	0.16	0.00	0.16	85.0	0.14
01/19/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	12.48	0.12	0.00	0.12	85.0	0.10
01/19/2018	04000365188	BISHOP, JILL R.		NEW PERSPECTIVE FUND-C	B	12.48	0.12	0.00	0.12	85.0	0.10
01/12/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
01/12/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
01/19/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
01/19/2018	04000531880	SOLIZ, OSCAR		CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
01/16/2018	04000623745	BLAKE, DAVID		THE GROWTH FUND OF AMERICA-C	B	144.00	1.44	0.00	1.44	85.0	1.22
01/16/2018	04000623745	BLAKE, DAVID		THE GROWTH FUND OF AMERICA-C	B	144.00	1.44	0.00	1.44	85.0	1.22
01/12/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.81	0.52	0.00	0.52	85.0	0.44
01/12/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.81	0.52	0.00	0.52	85.0	0.44
01/19/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.09	0.47	0.00	0.47	85.0	0.40
01/19/2018	04000660400	TAYLOR, ROBERT T.		AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.09	0.47	0.00	0.47	85.0	0.40
* MUTUAL FUNDS SUBTOTAL *						\$12,564.72	\$204.34	\$0.00	\$204.34		\$173.75
PRODUCT CATEGORY: VARIABLE ANNUITIES											
01/05/2018	TRAILS	-JOHN HANCOCK			B	15.33	15.33	0.00	15.33	85.0	13.03
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL			B	7,972.75	7,972.75	0.00	7,972.75	85.0	6,776.84
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL			B	2,535.89	2,535.89	0.00	2,535.89	85.0	2,155.51
* VARIABLE ANNUITIES SUBTOTAL *						\$10,523.97	\$10,523.97	\$0.00	\$10,523.97		\$8,945.38
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
02/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES				0.00	2.44	0.00	2.44	85.0	2.07
02/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES				0.00	1,035.99	0.00	1,035.99	85.0	880.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,038.43	\$0.00	\$1,038.43		\$882.66
*** BROKER TRANSACTIONS TOTAL ***						\$23,088.69	\$11,766.74	\$0.00	\$11,766.74		\$10,001.79
ADJUSTMENTS											
02/01/2018		E & O INSURANCE: 1/2 MONTHLY				0.00	0.00	0.00	0.00		-100.00
02/01/2018		TECH: MONTHLY TECH SERVICES				0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***						\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$10,001.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$9,874.29
Year-to-date Earnings	\$10,818.21