



# COMMISSION STATEMENT

## May 07, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/27/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 04000004425	BUY 4 Units @14.2700						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.48</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/06/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	0.80	0.00	0.80	95.0	0.76
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
04/06/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.66	0.00	1.66	95.0	1.58
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
04/06/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.21	0.00	1.21	95.0	1.15
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$3.67</b>	<b>\$0.00</b>	<b>\$3.67</b>		<b>\$3.49</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$50.00</b>	<b>\$4.17</b>	<b>\$0.00</b>	<b>\$4.17</b>		<b>\$3.97</b>

### VERRIDE TRANSACTIONS

#### **BROKER: RONALD DRAKE**

04/23/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @27.8800						
04/23/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 14 Units @27.8800						
04/24/2018	***-**-5423 - MIGNACCA, PHILIP	AMERICAN BALANCED FUND-A	1,950.00	82.88	0.00	82.88	20.0	16.58
	ACCOUNT #: 04000943937	BUY 69 Units @28.1100						
04/24/2018	***-**-5132 - MIGNACCA, DAWN	AMERICAN BALANCED FUND-A	1,950.00	82.88	0.00	82.88	20.0	16.58
	ACCOUNT #: 04000943969	BUY 69 Units @28.1100						
04/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	20.0	0.64
	ACCOUNT #: 00084492487	BUY 4 Units @27.6800						
04/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	20.0	0.86
	ACCOUNT #: 00087990221	BUY 4 Units @27.9700						
04/23/2018	***-**-4848 - DOGGETT, AMY	AMERICAN HIGH-INCOME TRUST-A	165.00	4.54	0.00	4.54	20.0	0.91
	ACCOUNT #: 00081620466	BUY 16 Units @10.5800						



# COMMISSION STATEMENT

## May 07, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
04/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5800	100.00	2.75	0.00	2.75	20.0	0.55
04/24/2018	***-**-5423 - MIGNACCA, PHILIP ACCOUNT #: 04000943937	AMERICAN HIGH-INCOME TRUST-A BUY 92 Units @10.6000	975.00	29.25	0.00	29.25	20.0	5.85
04/24/2018	***-**-5132 - MIGNACCA, DAWN ACCOUNT #: 04000943969	AMERICAN HIGH-INCOME TRUST-A BUY 92 Units @10.6000	975.00	29.25	0.00	29.25	20.0	5.85
04/16/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	20.0	0.36
04/23/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	20.0	0.36
04/16/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
04/23/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487	CAPITAL INCOME A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49
04/23/2018	***-**-030J - MERRILL, ACCOUNT #: 03009145719	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
04/30/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
04/23/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @63.0200	400.00	11.00	0.00	11.00	20.0	2.20
04/24/2018	***-**-5423 - MIGNACCA, PHILIP ACCOUNT #: 04000943937	CAPITAL INCOME BUILDER-A BUY 15 Units @63.7100	975.00	41.44	0.00	41.44	20.0	8.29
04/24/2018	***-**-5132 - MIGNACCA, DAWN ACCOUNT #: 04000943969	CAPITAL INCOME BUILDER-A BUY 15 Units @63.7100	975.00	41.44	0.00	41.44	20.0	8.29
04/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	CAPITAL INCOME BUILDER-A BUY 1 Units @63.3600	50.00	1.88	0.00	1.88	20.0	0.38
04/23/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @53.6600	165.00	4.54	0.00	4.54	20.0	0.91
04/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.6600	100.00	2.75	0.00	2.75	20.0	0.55
04/16/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08351548074	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46



# COMMISSION STATEMENT

## May 07, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD DRAKE (continued)</u></b>								
04/23/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
04/23/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
04/25/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	20.0	0.47
	ACCOUNT #: 00084492487	BUY 1 Units @58.4200						
05/08/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	123.74	123.74	0.00	123.74	15.0	18.56
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
05/08/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	58.95	58.95	0.00	58.95	15.0	8.84
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						
05/08/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	127.81	127.81	0.00	127.81	15.0	19.17
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						
04/16/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
04/23/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
04/16/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
04/23/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
04/16/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
04/23/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
04/16/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
04/23/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## May 07, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
04/16/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
04/23/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
04/16/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
04/23/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
04/23/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
04/16/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
04/16/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
04/23/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
04/23/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
04/23/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
04/16/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
04/23/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
04/25/2018	***-**-4427 - ZOGBY, MICHAEL	THE BOND FUND OF AMERICA-A	65.00	1.79	0.00	1.79	20.0	0.36
	ACCOUNT #: 00084492487	BUY 5 Units @12.9200						
04/23/2018	***-**-4848 - DOGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	4.68	0.00	4.68	20.0	0.94
	ACCOUNT #: 00081620466	BUY 3 Units @53.9600						
04/23/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	20.0	0.96
	ACCOUNT #: 00085154620	BUY 3 Units @53.9600						



# COMMISSION STATEMENT

## May 07, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: RONALD DRAKE (continued) </u></b>								
04/24/2018	***-**-5423 - MIGNACCA, PHILIP	THE GROWTH FUND OF AMERICA-A	1,300.00	55.25	0.00	55.25	20.0	11.05
	ACCOUNT #: 04000943937	BUY 24 Units @53.8900						
04/24/2018	***-**-5132 - MIGNACCA, DAWN	THE GROWTH FUND OF AMERICA-A	1,300.00	55.25	0.00	55.25	20.0	11.05
	ACCOUNT #: 04000943969	BUY 24 Units @53.8900						
04/25/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	20.0	0.83
	ACCOUNT #: 00084492487	BUY 3 Units @53.0600						
04/25/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @53.6100						
04/25/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	20.0	0.94
	ACCOUNT #: 00087990221	BUY 5 Units @23.8100						
04/24/2018	***-**-5423 - MIGNACCA, PHILIP	THE INVESTMENT COMPANY OF AMERICA-A	1,300.00	55.25	0.00	55.25	20.0	11.05
	ACCOUNT #: 04000943937	BUY 31 Units @41.8600						
04/24/2018	***-**-5132 - MIGNACCA, DAWN	THE INVESTMENT COMPANY OF AMERICA-A	1,300.00	55.25	0.00	55.25	20.0	11.05
	ACCOUNT #: 04000943969	BUY 31 Units @41.8600						
04/25/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	20.0	0.89
	ACCOUNT #: 00087990221	BUY 2 Units @47.4200						
<b>* RONALD DRAKE SUBTOTAL *</b>			<b>\$18,984.83</b>	<b>\$1,022.97</b>	<b>\$0.00</b>	<b>\$1,022.97</b>		<b>\$189.18</b>
<b><u> BROKER: RONALD CIOTOLI </u></b>								
04/16/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
04/30/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
04/30/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	0.00	44.78	0.00	44.78	35.0	15.67
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
04/27/2018	***-**-7556 - WALKER, RANDY	JOHN HANCOCK VENTURE VA MFC GL LS M	0.00	7.95	0.00	7.95	35.0	2.78
		BUY 0 Units @0.0000						
05/08/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	196.47	196.47	0.00	196.47	35.0	68.76
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
05/08/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	234.27	234.27	0.00	234.27	35.0	81.99
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
05/08/2018	***-**-1730 - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	239.13	239.13	0.00	239.13	35.0	83.70
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

May 07, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: RONALD CIOTOLI (continued)</u></b>								
05/07/2018	***-**-295P - MILLER, PAUL	NEW YORK 529 TRAILS	0.00	8.71	0.00	8.71	35.0	3.05
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
04/20/2018	***-**-354I - MASTRONARDI,	RISING DIVIDENDS FUND A	1,000.00	40.00	0.00	40.00	35.0	14.00
	ACCOUNT #: 02254007586	BUY 0 Units @0.0000						
04/27/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @23.4500						
04/27/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	35.0	0.40
	ACCOUNT #: 00067346436	BUY 2 Units @23.4500						
* RONALD CIOTOLI SUBTOTAL *			<u>\$2,016.87</u>	<u>\$781.01</u>	<u>\$0.00</u>	<u>\$781.01</u>		<u>\$273.34</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$21,001.70</u>	<u>\$1,803.98</u>	<u>\$0.00</u>	<u>\$1,803.98</u>		<u>\$462.52</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3.97
Split Transactions	\$0.00
Override Transactions	\$462.52
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	<b>\$466.49</b>
Year-to-date Earnings	<b>\$10,119.21</b>