



All Companies

07/12/2018
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COMMISSION STATEMENT July 16, 2018

RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	39.27	39.27	0.00	39.27	85.0	33.38
06/25/2018	00002196237	PARKS, EILEEN/EMMA	US	25.00	1.06	0.00	1.06	85.0	0.90
06/25/2018	00002196244	PARKS, EILEEN/KATHERIN	US	25.00	1.06	0.00	1.06	85.0	0.90
06/20/2018	01489907152	BARGAS, FA 529 100% EQUITY PORT-CL C	B	200.00	2.00	0.00	2.00	85.0	1.70
06/18/2018	03900222321	BEGNOCHE, KELLEN	FIDELITY ADVISORS TECHNOLOGY	FD CL B	200.00	10.00	0.00	10.00	8.50
06/25/2018	5450900284	BALLAS, MELISSA L	MODERATE INVESTOR FUND A	B	100.00	4.75	0.00	4.75	4.04
* MUTUAL FUNDS SUBTOTAL *				\$589.27	\$58.14	\$0.00	\$58.14		\$49.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/2018	TRAILS	-HARTFORD LIFE	B	52.83	52.83	0.00	52.83	85.0	44.91
* VARIABLE ANNUITIES SUBTOTAL *				\$52.83	\$52.83	\$0.00	\$52.83		\$44.91
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	229.07	0.00	229.07	85.0	194.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$229.07	\$0.00	\$229.07		\$194.72
*** BROKER TRANSACTIONS TOTAL ***				\$642.10	\$340.04	\$0.00	\$340.04		\$289.05
<u>ADJUSTMENTS</u>									
07/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$289.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$161.55
Year-to-date Earnings	\$33,457.19