



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**November 15, 2017**

11/13/2017  
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R138 DAN/JON PAUL FT-RAUPP/CIRELLI

BROKER #: R138  
BRANCH: FORTITUDE SPLITS

, MI

| DATE                      | CLIENT | INVESTMENT                      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b> |        |                                 |                      |                     |                    |                   |      |                      |
| 11/15/2017                |        | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00                 |                     |                    |                   |      | 0.00                 |
| *** ADJUSTMENTS TOTAL *** |        |                                 | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$0.00               |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.01 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |               |
|------------------------------|---------------|
| <b>Balance Forward</b>       | <b>\$0.01</b> |
| <b>Year-to-date Gross</b>    | <b>\$0.00</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b> |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed