



Concorde Investment Services, LLC

04/27/2017

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COMMISSION STATEMENT

April 30, 2017

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
04/12/2017	REICHARD, THOMAS	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
04/14/2017	WHITNEY, DAVID	COTTONWOOD RESIDENTIAL 2016 PREFR'D	60,000.00	4,200.00	0.00	4,200.00	90.0	3,780.00
* PRIVATE PLACEMENT SUBTOTAL *			\$110,000.00	\$7,700.00	\$0.00	\$7,700.00		\$6,930.00
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
04/14/2017	WHITNEY, DAVID	INLAND BRIGHTON MULTIFAMILY DST	60,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
* 1031 EXCHANGE SUBTOTAL *			\$60,000.00	\$3,000.00	\$0.00	\$3,000.00		\$2,700.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SMITH, ERIC	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.80	0.00	0.80	90.0	0.72
/ /	SMITH, LANCE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.77	0.00	0.77	90.0	0.69
/ /	SMITH, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.83	0.00	0.83	90.0	0.75
/ /	SMITH, LANCE	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	SMITH, ERIC	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	SMITH, LANCE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.75	0.00	0.75	90.0	0.68
/ /	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.75	0.00	0.75	90.0	0.68
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$7.00	\$0.00	\$7.00		\$6.30
<u>PRODUCT CATEGORY: STOCKS</u>								
04/20/2017	WHITNEY, DIERDRE	SIERRA INCOME CORP	12,900.00	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$12,900.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/16/2017	REICHARD, THOMAS	ING ESII	0.00	35.58	0.00	35.58	90.0	32.02
04/19/2017	NUTTLE, JANNETTA	ING PREMIUM PLUS 2005	0.00	9.75	0.00	9.75	90.0	8.78
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$45.33	\$0.00	\$45.33		\$40.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$182,900.00	\$10,752.33	\$0.00	\$10,752.33		\$9,677.10

BROKER COMMISSION TOTALS

Broker Transactions	\$9,677.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,677.10
Year-to-date Gross	\$47,074.24
Year-to-date Earnings	\$41,961.41

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