



Concorde Investment Services, LLC

06/15/2018

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**COMMISSION STATEMENT****June 15, 2018**JEFFREY, K119 KAUFMAN  
801 Gray Oak DriveBROKER #: K119  
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
05/30/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	593.06	5.93	0.00	5.93	90.0	5.34
05/30/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	145.17	1.45	0.00	1.45	90.0	1.31
05/30/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	87.10	0.87	0.00	0.87	90.0	0.78
05/30/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
05/30/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
05/30/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	22.49	0.22	0.00	0.22	90.0	0.20
05/30/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	22.49	0.22	0.00	0.22	90.0	0.20
05/30/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/30/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/30/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	44.13	0.44	0.00	0.44	90.0	0.40
05/30/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	44.13	0.44	0.00	0.44	90.0	0.40
05/30/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	316.92	3.17	0.00	3.17	90.0	2.85
05/30/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	135.82	1.36	0.00	1.36	90.0	1.22
05/30/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	85.29	0.85	0.00	0.85	90.0	0.77
05/30/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	85.29	0.85	0.00	0.85	90.0	0.77
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	8.21	0.10	0.00	0.10	90.0	0.09
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	52.00	0.62	0.00	0.62	90.0	0.56
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	8.21	0.10	0.00	0.10	90.0	0.09
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	52.00	0.62	0.00	0.62	90.0	0.56
05/30/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
05/30/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
05/30/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	214.58	2.15	0.00	2.15	90.0	1.94
05/30/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	214.58	2.15	0.00	2.15	90.0	1.94
05/30/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	43.58	0.44	0.00	0.44	90.0	0.40
05/30/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	43.58	0.44	0.00	0.44	90.0	0.40

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	41.43	0.41	0.00	0.41	90.0	0.37
05/30/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.72	0.21	0.00	0.21	90.0	0.19
05/29/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.77	0.00	3.77	90.0	3.39
05/30/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
05/30/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
06/01/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
06/05/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
05/30/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	65.92	0.66	0.00	0.66	90.0	0.59
05/30/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	65.92	0.66	0.00	0.66	90.0	0.59
05/30/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	69.19	0.69	0.00	0.69	90.0	0.62
05/30/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	69.19	0.69	0.00	0.69	90.0	0.62
05/21/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	90.0	3.38
05/21/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	90.0	3.38
05/21/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	50.97	2.55	0.00	2.55	90.0	2.30
05/21/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	67.96	3.40	0.00	3.40	90.0	3.06
05/21/2018	TAYLOR, BRANDY	AM FUNDS GROWTH FUND OF AMERICA A	3,927.90	62.85	0.00	62.85	90.0	56.57
05/29/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
05/29/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
05/29/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	30.19	1.51	0.00	1.51	90.0	1.36
05/29/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	22.64	1.13	0.00	1.13	90.0	1.02
05/29/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	7.54	0.00	7.54	90.0	6.79
05/30/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	82.87	0.83	0.00	0.83	90.0	0.75
05/30/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.43	0.41	0.00	0.41	90.0	0.37
05/30/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
05/30/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
05/30/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
05/30/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
05/30/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	51.85	0.52	0.00	0.52	90.0	0.47
05/30/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	51.85	0.52	0.00	0.52	90.0	0.47
05/30/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
05/30/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
05/30/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	62.45	0.62	0.00	0.62	90.0	0.56
05/30/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	62.45	0.62	0.00	0.62	90.0	0.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	41.12	0.41	0.00	0.41	90.0	0.37
05/30/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	41.12	0.41	0.00	0.41	90.0	0.37
06/01/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	8.21	0.10	0.00	0.10	90.0	0.09
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	52.00	0.62	0.00	0.62	90.0	0.56
06/01/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	46.27	0.56	0.00	0.56	90.0	0.50
06/01/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	105.00	1.26	0.00	1.26	90.0	1.13
06/01/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	3.12	0.00	3.12	90.0	2.81
06/01/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.50	0.00	0.50	90.0	0.45
06/01/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.99	0.00	0.99	90.0	0.89
06/01/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	645.83	7.75	0.00	7.75	90.0	6.98
06/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.58	0.33	0.00	0.33	90.0	0.30
06/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.58	0.33	0.00	0.33	90.0	0.30
06/01/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.50	0.00	0.50	90.0	0.45
06/01/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	3.12	0.00	3.12	90.0	2.81
06/01/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.81	0.00	0.81	90.0	0.73
06/01/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.81	0.00	0.81	90.0	0.73
06/05/2018	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
06/05/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	7.50	0.00	7.50	90.0	6.75
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	8.21	0.10	0.00	0.10	90.0	0.09
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	52.00	0.62	0.00	0.62	90.0	0.56
05/30/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
05/30/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
06/01/2018	EULTGEN, VICKI	AM FUNDS ICA A	46.27	0.56	0.00	0.56	90.0	0.50
06/01/2018	EULTGEN, VICKI	AM FUNDS ICA A	105.00	1.26	0.00	1.26	90.0	1.13
06/01/2018	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.50	0.00	2.50	90.0	2.25
06/01/2018	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.40	0.00	0.40	90.0	0.36
06/01/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	98.85	1.19	0.00	1.19	90.0	1.07
06/01/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	98.85	1.19	0.00	1.19	90.0	1.07
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	84.63	1.02	0.00	1.02	90.0	0.92
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.34	0.00	1.34	90.0	1.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
05/30/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	8.21	0.10	0.00	0.10	90.0	0.09
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	52.00	0.62	0.00	0.62	90.0	0.56
06/01/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.50	0.00	2.50	90.0	2.25
06/01/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.40	0.00	0.40	90.0	0.36
05/21/2018	TAYLOR, BRANDY	AM FUNDS NEW ECONOMY A	3,812.37	61.00	0.00	61.00	90.0	54.90
05/29/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.77	0.00	3.77	90.0	3.39
05/30/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
05/30/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
05/30/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
05/30/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
06/01/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	55.72	0.67	0.00	0.67	90.0	0.60
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	55.72	0.67	0.00	0.67	90.0	0.60
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	36.27	0.44	0.00	0.44	90.0	0.40
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.58	0.00	0.58	90.0	0.52
05/30/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	82.87	0.83	0.00	0.83	90.0	0.75
05/30/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.43	0.41	0.00	0.41	90.0	0.37
05/30/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
05/30/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
05/30/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
05/30/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
06/01/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	30.85	0.37	0.00	0.37	90.0	0.33
06/01/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	70.00	0.84	0.00	0.84	90.0	0.76
06/01/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.50	0.00	2.50	90.0	2.25
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.40	0.00	0.40	90.0	0.36
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	55.73	0.67	0.00	0.67	90.0	0.60
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	55.73	0.67	0.00	0.67	90.0	0.60
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.58	0.33	0.00	0.33	90.0	0.30
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.58	0.33	0.00	0.33	90.0	0.30

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.50	0.00	0.50	90.0	0.45
06/01/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	3.12	0.00	3.12	90.0	2.81
05/29/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.77	0.00	3.77	90.0	3.39
05/30/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
05/30/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	41.06	0.49	0.00	0.49	90.0	0.44
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	260.00	3.12	0.00	3.12	90.0	2.81
06/01/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	15.42	0.19	0.00	0.19	90.0	0.17
06/01/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	35.00	0.42	0.00	0.42	90.0	0.38
06/01/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.62	0.00	0.62	90.0	0.56
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.10	0.00	0.10	90.0	0.09
06/01/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.99	0.00	0.99	90.0	0.89
06/01/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	645.83	7.75	0.00	7.75	90.0	6.98
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.56	0.33	0.00	0.33	90.0	0.30
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.56	0.33	0.00	0.33	90.0	0.30
06/01/2018	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.50	0.00	0.50	90.0	0.45
06/01/2018	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	3.12	0.00	3.12	90.0	2.81
06/01/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.81	0.00	0.81	90.0	0.73
06/01/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.81	0.00	0.81	90.0	0.73
05/21/2018	TAYLOR, BRANDY	AM FUNDS SMALLCAP WORLD A	3,812.37	61.00	0.00	61.00	90.0	54.90
05/30/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	129.77	1.30	0.00	1.30	90.0	1.17
05/30/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	129.77	1.30	0.00	1.30	90.0	1.17
06/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	15.42	0.19	0.00	0.19	90.0	0.17
06/01/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	35.00	0.42	0.00	0.42	90.0	0.38
06/01/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	32.36	0.39	0.00	0.39	90.0	0.35
06/01/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.25	0.00	1.25	90.0	1.13
06/01/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.20	0.00	0.20	90.0	0.18
06/01/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.58	0.33	0.00	0.33	90.0	0.30
06/01/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.58	0.33	0.00	0.33	90.0	0.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.50	0.00	0.50	90.0	0.45
06/01/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	3.12	0.00	3.12	90.0	2.81
05/30/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	69.06	0.69	0.00	0.69	90.0	0.62
05/30/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.53	0.35	0.00	0.35	90.0	0.32
06/01/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	269.10	3.23	0.00	3.23	90.0	2.91
06/01/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	161.46	1.94	0.00	1.94	90.0	1.75
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	1.50	0.00	1.50	90.0	1.35
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$26,258.34</b>	<b>\$394.89</b>	<b>\$0.00</b>	<b>\$394.89</b>		<b>\$355.54</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	12,338.50	10.28	0.00	10.28	90.0	9.25
05/31/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	100,558.14	41.90	0.00	41.90	90.0	37.71
05/31/2018	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	253,877.39	105.78	0.00	105.78	90.0	95.20
05/31/2018	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	198,621.34	82.76	0.00	82.76	90.0	74.48
05/31/2018	GUIER, CARROL	JNL PERSPECTIVE II 04/14	25,902.32	10.79	0.00	10.79	90.0	9.71
05/31/2018	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	29,678.82	12.37	0.00	12.37	90.0	11.13
05/31/2018	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	143,394.30	59.75	0.00	59.75	90.0	53.78
05/31/2018	OSSMAN, ED	JNL PERSPECTIVE II 05/05	60,032.98	25.01	0.00	25.01	90.0	22.51
05/31/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	28,692.59	11.96	0.00	11.96	90.0	10.76
05/31/2018	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	159,654.85	66.52	0.00	66.52	90.0	59.87
05/31/2018	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	97,419.88	40.59	0.00	40.59	90.0	36.53
05/31/2018	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	123,816.45	51.59	0.00	51.59	90.0	46.43
05/31/2018	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	279,001.69	116.25	0.00	116.25	90.0	104.63
05/31/2018	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	221,576.60	92.32	0.00	92.32	90.0	83.09
05/30/2018	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	104,939.93	87.46	0.00	87.46	90.0	78.71
05/30/2018	DOWNS, JAMES	SBL ADVISORDESIGNS VA	38,871.39	32.38	0.00	32.38	90.0	29.14
05/30/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	107,566.22	89.64	0.00	89.64	90.0	80.68
05/30/2018	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	27,623.90	23.02	0.00	23.02	90.0	20.72
05/30/2018	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	93,679.62	78.07	0.00	78.07	90.0	70.26
05/30/2018	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	11,035.31	9.19	0.00	9.19	90.0	8.27
05/30/2018	LEE, HOWARD	SBL SECUREDESIGNS VA	269,139.19	56.07	0.00	56.07	90.0	50.46
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,387,421.41</b>	<b>\$1,103.70</b>	<b>\$0.00</b>	<b>\$1,103.70</b>		<b>\$993.32</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/21/2018	KILEY, CHRISTINE	PAC LIFE VUL	45.50	1.36	0.00	1.36	90.0	1.22
05/21/2018	KILEY, CHRISTINE	PAC LIFE VUL	154.50	4.63	0.00	4.63	90.0	4.17
05/21/2018	GAMM, SKYLAR	PAC LIFE VUL	7.37	0.15	0.00	0.15	90.0	0.14
05/21/2018	GAMM, SKYLAR	PAC LIFE VUL	417.63	87.70	0.00	87.70	90.0	78.93
05/22/2018	ELLIOTT, MITCHELL	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
05/23/2018	JONES, KAREN	PAC LIFE VUL	20.00	0.60	0.00	0.60	90.0	0.54
05/23/2018	JONES, KAREN	PAC LIFE VUL	30.00	0.90	0.00	0.90	90.0	0.81
05/24/2018	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
05/24/2018	RICHARDSON, DEBBIE	PAC LIFE VUL	1,526.60	45.80	0.00	45.80	90.0	41.22
05/24/2018	RICHARDSON, DEBBIE	PAC LIFE VUL	1,473.40	29.47	0.00	29.47	90.0	26.52
05/25/2018	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
05/25/2018	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/25/2018	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
05/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	1.36	0.00	1.36	90.0	1.22
05/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
05/25/2018	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/01/2018	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
06/01/2018	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/01/2018	RICHARDSON, DEBBIE	PAC LIFE VUL	24,408.97	48.82	0.00	48.82	90.0	43.94
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$28,824.89</b>	<b>\$234.85</b>	<b>\$0.00</b>	<b>\$234.85</b>		<b>\$211.36</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	WINFREY, LARRY	AM FUNDS 2025 TARGET DATE-A	0.00	6.12	0.00	6.12	90.0	5.51
06/01/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	0.00	98.56	0.00	98.56	90.0	88.70
06/01/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	0.00	4.46	0.00	4.46	90.0	4.01
06/01/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	TAYLOR, LOIS	AM FUNDS 2045 TARGET DATE-A	0.00	7.25	0.00	7.25	90.0	6.53
06/01/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2018	CATO, ANDREW	AM FUNDS 2050 TARGET DATE-A	0.00	2.54	0.00	2.54	90.0	2.29
06/01/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	0.00	3.26	0.00	3.26	90.0	2.93
06/01/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2018	REDDICK, RYAN	AM FUNDS AMERICAN BALANCED 529A	0.00	7.09	0.00	7.09	90.0	6.38

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	HOSTETTER MO/TOD, VICKI	AM FUNDS AMERICAN BALANCED A	0.00	8.15	0.00	8.15	90.0	7.34
06/01/2018	ANDING, MARY DIANE	AM FUNDS AMERICAN BALANCED A	0.00	205.25	0.00	205.25	90.0	184.73
06/01/2018	REDDICK, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2018	PRATER, RAMONA	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	9.53	0.00	9.53	90.0	8.58
06/01/2018	OSSMAN, ED	AM FUNDS AMERICAN BALANCED A	0.00	49.86	0.00	49.86	90.0	44.87
06/01/2018	FRIEDERICH, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	39.91	0.00	39.91	90.0	35.92
06/01/2018	ALLEN, MICHELLE	AM FUNDS AMERICAN BALANCED A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2018	GUIER, GREGORY	AM FUNDS AMERICAN BALANCED A	0.00	27.95	0.00	27.95	90.0	25.16
06/01/2018	KILEY, TERRANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.69	0.00	1.69	90.0	1.52
06/01/2018	OWEN, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	5.25	0.00	5.25	90.0	4.73
06/01/2018	GRIFFITH, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	37.66	0.00	37.66	90.0	33.89
06/01/2018	GRIFFITH, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	6.91	0.00	6.91	90.0	6.22
06/01/2018	GRIFFIN, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2018	BLODGETT, TAMMY	AM FUNDS AMERICAN BALANCED A	0.00	12.49	0.00	12.49	90.0	11.24
06/01/2018	WINFREY, LARRY	AM FUNDS AMERICAN BALANCED A	0.00	5.49	0.00	5.49	90.0	4.94
06/01/2018	BAUER, MATTHEW	AM FUNDS AMERICAN BALANCED A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS AMERICAN BALANCED A	0.00	8.88	0.00	8.88	90.0	7.99
06/01/2018	WINFREY, LARRY	AM FUNDS AMERICAN BALANCED A	0.00	2.09	0.00	2.09	90.0	1.88
06/01/2018	HALL, ELLEN	AM FUNDS AMERICAN BALANCED A	0.00	37.39	0.00	37.39	90.0	33.65
06/01/2018	GRIMSHAW, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	35.86	0.00	35.86	90.0	32.27
06/01/2018	DOWNS, SUZANNE	AM FUNDS AMERICAN BALANCED A	0.00	13.80	0.00	13.80	90.0	12.42
06/01/2018	MILES, JACK	AM FUNDS AMERICAN BALANCED A	0.00	10.34	0.00	10.34	90.0	9.31
06/01/2018	HALL, HAROLD	AM FUNDS AMERICAN BALANCED A	0.00	22.63	0.00	22.63	90.0	20.37
06/01/2018	WILMSMEYER, CHRISTA	AM FUNDS AMERICAN BALANCED A	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2018	BARROW, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	19.16	0.00	19.16	90.0	17.24
06/01/2018	JENNINGS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	88.26	0.00	88.26	90.0	79.43
06/01/2018	SAUSAMAN, URSULA	AM FUNDS AMERICAN BALANCED A	0.00	11.40	0.00	11.40	90.0	10.26
06/01/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2018	JORDENING, LYNN	AM FUNDS AMERICAN BALANCED A	0.00	40.53	0.00	40.53	90.0	36.48
06/01/2018	JORDENING TRUST, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	32.12	0.00	32.12	90.0	28.91
06/01/2018	FANGMAN, ROGER	AM FUNDS AMERICAN BALANCED A	0.00	6.32	0.00	6.32	90.0	5.69

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	STEWART, SHEILA	AM FUNDS AMERICAN BALANCED A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	13.41	0.00	13.41	90.0	12.07
06/01/2018	BLISS, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	15.69	0.00	15.69	90.0	14.12
06/01/2018	LEMON, GLEE	AM FUNDS AMERICAN BALANCED A	0.00	20.87	0.00	20.87	90.0	18.78
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2018	DEBRA, PRICE	AM FUNDS AMERICAN BALANCED A	0.00	21.43	0.00	21.43	90.0	19.29
06/01/2018	THOMAS, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	17.18	0.00	17.18	90.0	15.46
06/01/2018	THOMAS, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	42.55	0.00	42.55	90.0	38.30
06/01/2018	ANDREWS, SAMUEL	AM FUNDS AMERICAN BALANCED A	0.00	3.89	0.00	3.89	90.0	3.50
06/01/2018	HILDEBRAND, TERRANCE	AM FUNDS AMERICAN BALANCED A	0.00	38.95	0.00	38.95	90.0	35.06
06/01/2018	HILDABRAND, ALVENA	AM FUNDS AMERICAN BALANCED A	0.00	9.20	0.00	9.20	90.0	8.28
06/01/2018	STEVENSON, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	25.77	0.00	25.77	90.0	23.19
06/01/2018	STEVENSON, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	10.54	0.00	10.54	90.0	9.49
06/01/2018	ROBINETT, CHARISSA	AM FUNDS AMERICAN BALANCED A	0.00	7.35	0.00	7.35	90.0	6.62
06/01/2018	MOODY, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	24.47	0.00	24.47	90.0	22.02
06/01/2018	SCOGGINS, CLAIRE	AM FUNDS AMERICAN BALANCED A	0.00	34.25	0.00	34.25	90.0	30.83
06/01/2018	RICHARDSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	18.18	0.00	18.18	90.0	16.36
06/01/2018	VESTAL, SHARLEE	AM FUNDS AMERICAN BALANCED A	0.00	53.51	0.00	53.51	90.0	48.16
06/01/2018	GREEN, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	67.27	0.00	67.27	90.0	60.54
06/01/2018	LEWIS, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	28.80	0.00	28.80	90.0	25.92
06/01/2018	ELDRIDGE, DEE	AM FUNDS AMERICAN BALANCED A	0.00	126.03	0.00	126.03	90.0	113.43
06/01/2018	ELDER, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	35.74	0.00	35.74	90.0	32.17
06/01/2018	ARONSON TRUST, BURNELL	AM FUNDS AMERICAN BALANCED A	0.00	66.40	0.00	66.40	90.0	59.76
06/01/2018	LYTLE, DIANNA	AM FUNDS AMERICAN BALANCED A	0.00	16.58	0.00	16.58	90.0	14.92
06/01/2018	DAVIS, SALLY	AM FUNDS AMERICAN BALANCED A	0.00	180.10	0.00	180.10	90.0	162.09
06/01/2018	CALLIS, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	184.82	0.00	184.82	90.0	166.34
06/01/2018	BOWERSOX, ZONA	AM FUNDS AMERICAN BALANCED A	0.00	40.56	0.00	40.56	90.0	36.50
06/01/2018	BOWERSOX, ROVEY	AM FUNDS AMERICAN BALANCED A	0.00	38.84	0.00	38.84	90.0	34.96
06/01/2018	MATTMANN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	18.31	0.00	18.31	90.0	16.48
06/01/2018	CO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	32.09	0.00	32.09	90.0	28.88
06/01/2018	BRAMMER, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	2.23	0.00	2.23	90.0	2.01
06/01/2018	MCKENZIE, BYRON	AM FUNDS AMERICAN BALANCED A	0.00	12.91	0.00	12.91	90.0	11.62
06/01/2018	TAYLOR, BRANDY	AM FUNDS AMERICAN BALANCED A	0.00	28.18	0.00	28.18	90.0	25.36

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	WILMSMEYER, CHRISTA	AM FUNDS AMERICAN BALANCED C	0.00	89.62	0.00	89.62	90.0	80.66
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2018	KILEY, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	0.00	11.52	0.00	11.52	90.0	10.37
06/01/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2018	KEATON, LINDSY	AM FUNDS BALANCED PORT A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	0.00	2.59	0.00	2.59	90.0	2.33
06/01/2018	SMITH, KELLI	AM FUNDS BALANCED PORT A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2018	DANIELS, SCIPIO	AM FUNDS BALANCED PORT A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	JOHNSON, STANLEY	AM FUNDS BALANCED PORT A	0.00	18.43	0.00	18.43	90.0	16.59
06/01/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	GUIER, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	24.66	0.00	24.66	90.0	22.19
06/01/2018	GRIMSHAW, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	28.01	0.00	28.01	90.0	25.21
06/01/2018	DOWNS, SUZANNE	AM FUNDS BOND FUND OF AMERICA A	0.00	10.87	0.00	10.87	90.0	9.78
06/01/2018	FANGMAN, ROGER	AM FUNDS BOND FUND OF AMERICA A	0.00	3.01	0.00	3.01	90.0	2.71
06/01/2018	ELDRIDGE, DEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.74	0.00	21.74	90.0	19.57
06/01/2018	KILEY, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	OSSMAN, ED	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.75	0.00	12.75	90.0	11.48
06/01/2018	FRIEDERICH, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.01	0.00	6.01	90.0	5.41
06/01/2018	CALLIS, ANNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.92	0.00	6.92	90.0	6.23
06/01/2018	JORDENING, LYNN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	81.04	0.00	81.04	90.0	72.94
06/01/2018	WILMSMEYER, CHRISTA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.57	0.00	3.57	90.0	3.21
06/01/2018	HOSTETTER MO/TOD, VICKI	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.56	0.00	5.56	90.0	5.00
06/01/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.44	0.00	2.44	90.0	2.20
06/01/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.51	0.00	9.51	90.0	8.56
06/01/2018	GUIER, PAULA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.74	0.00	7.74	90.0	6.97
06/01/2018	WHEAT, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.93	0.00	6.93	90.0	6.24
06/01/2018	GRIFFITH, CHERYL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.69	0.00	2.69	90.0	2.42
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.69	0.00	2.69	90.0	2.42
06/01/2018	GRIFFIN, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	10.86	0.00	10.86	90.0	9.77
06/01/2018	MANGUS, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.36	0.00	4.36	90.0	3.92
06/01/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	34.03	0.00	34.03	90.0	30.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	CARSON, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	OPPLAND, ZACHARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2018	MURPHY, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.81	0.00	8.81	90.0	7.93
06/01/2018	TOMPKINS, ERIKA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.54	0.00	1.54	90.0	1.39
06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2018	MATTMANN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2018	REDDICK, CRYSTAL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2018	KREKLOW, RICK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2018	BRAMMER, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.21	0.00	2.21	90.0	1.99
06/01/2018	TWEHOUS, TREVOR	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.15	0.00	7.15	90.0	6.44
06/01/2018	GAMM, SKYLAR	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.26	0.00	10.26	90.0	9.23
06/01/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.54	0.00	0.54	90.0	0.49
06/01/2018	ELDRIDGE, DEE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	32.87	0.00	32.87	90.0	29.58
06/01/2018	REDDICK, RYAN	AM FUNDS GLOBAL BALANCED 529A	0.00	6.44	0.00	6.44	90.0	5.80
06/01/2018	HALL, ELLEN	AM FUNDS GLOBAL BALANCED A	0.00	18.07	0.00	18.07	90.0	16.26
06/01/2018	GRIMSHAW, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	31.27	0.00	31.27	90.0	28.14
06/01/2018	MILES, JACK	AM FUNDS GLOBAL BALANCED A	0.00	9.03	0.00	9.03	90.0	8.13
06/01/2018	HALL, HAROLD	AM FUNDS GLOBAL BALANCED A	0.00	19.83	0.00	19.83	90.0	17.85
06/01/2018	SAUSAMAN, URSULA	AM FUNDS GLOBAL BALANCED A	0.00	10.58	0.00	10.58	90.0	9.52
06/01/2018	JORDENING, POLLY	AM FUNDS GLOBAL BALANCED A	0.00	87.41	0.00	87.41	90.0	78.67
06/01/2018	JORDENING TRUST, PAUL	AM FUNDS GLOBAL BALANCED A	0.00	25.38	0.00	25.38	90.0	22.84
06/01/2018	STEWART, SHEILA	AM FUNDS GLOBAL BALANCED A	0.00	6.11	0.00	6.11	90.0	5.50
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS GLOBAL BALANCED A	0.00	12.98	0.00	12.98	90.0	11.68
06/01/2018	ROBINETT, CHARISSA	AM FUNDS GLOBAL BALANCED A	0.00	7.36	0.00	7.36	90.0	6.62
06/01/2018	LIGHT, LUELLA	AM FUNDS GLOBAL BALANCED A	0.00	21.31	0.00	21.31	90.0	19.18
06/01/2018	MOODY, DAVID	AM FUNDS GLOBAL BALANCED A	0.00	9.11	0.00	9.11	90.0	8.20
06/01/2018	ELDRIDGE, DEE	AM FUNDS GLOBAL BALANCED A	0.00	85.59	0.00	85.59	90.0	77.03
06/01/2018	ELDER, RONALD	AM FUNDS GLOBAL BALANCED A	0.00	34.73	0.00	34.73	90.0	31.26
06/01/2018	MCKENZIE, BYRON	AM FUNDS GLOBAL BALANCED A	0.00	12.97	0.00	12.97	90.0	11.67
06/01/2018	TAYLOR, BRANDY	AM FUNDS GLOBAL BALANCED A	0.00	21.00	0.00	21.00	90.0	18.90
06/01/2018	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	0.24	0.00	0.24	90.0	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2018	PRATER, RAMONA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.61	0.00	0.61	90.0	0.55
06/01/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.78	0.00	8.78	90.0	7.90
06/01/2018	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2018	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2018	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2018	MCNERNEY, DAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.98	0.00	8.98	90.0	8.08
06/01/2018	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	88.31	0.00	88.31	90.0	79.48
06/01/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	32.80	0.00	32.80	90.0	29.52
06/01/2018	GUIER, PAULA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	4.30
06/01/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	72.96	0.00	72.96	90.0	65.66
06/01/2018	KAUFMAN, ELLIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.63	0.00	7.63	90.0	6.87
06/01/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.71	0.00	11.71	90.0	10.54
06/01/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.54	0.00	7.54	90.0	6.79
06/01/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.11	0.00	10.11	90.0	9.10
06/01/2018	WHEAT, KATHLEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.45	0.00	9.45	90.0	8.51
06/01/2018	KEMBLE, CARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.85	0.00	2.85	90.0	2.57
06/01/2018	CALLIS, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.70	0.00	6.70	90.0	6.03
06/01/2018	CALLIS, CHAD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.83	0.00	5.83	90.0	5.25
06/01/2018	CRANE, MISTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.86	0.00	6.86	90.0	6.17
06/01/2018	GUIER, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.97	0.00	31.97	90.0	28.77
06/01/2018	TWEHOUS, NOLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.84	0.00	5.84	90.0	5.26
06/01/2018	KILEY, TERRANCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.04	0.00	2.04	90.0	1.84
06/01/2018	GUIER, GRACIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2018	GRIFFITH, CHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.95	0.00	8.95	90.0	8.06
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.95	0.00	8.95	90.0	8.06
06/01/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.58	0.00	12.58	90.0	11.32
06/01/2018	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.00	0.00	13.00	90.0	11.70
06/01/2018	TAYLOR, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.82	0.00	17.82	90.0	16.04
06/01/2018	BLODGETT, TAMMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.82	0.00	1.82	90.0	1.64
06/01/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	0.00	1.85	90.0	1.67

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.67	0.00	5.67	90.0	5.10
06/01/2018	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.45	0.00	5.45	90.0	4.91
06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.34	0.00	13.34	90.0	12.01
06/01/2018	MANGUS, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.35	0.00	4.35	90.0	3.92
06/01/2018	KAUFMAN, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.71	0.00	25.71	90.0	23.14
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.13	0.00	26.13	90.0	23.52
06/01/2018	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.32	0.00	4.32	90.0	3.89
06/01/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2018	AHERN, OLIVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2018	GRIMSHAW, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	43.36	0.00	43.36	90.0	39.02
06/01/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.06	0.00	8.06	90.0	7.25
06/01/2018	DELEON, JISEFREDO	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2018	WILMSMEYER, CHRISTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2018	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	126.38	0.00	126.38	90.0	113.74
06/01/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	90.0	1.45
06/01/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.40	0.00	2.40	90.0	2.16
06/01/2018	SAUSAMAN, URSULA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.18	0.00	8.18	90.0	7.36
06/01/2018	WIBBENMEYER, BRITTANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.61	0.00	2.61	90.0	2.35
06/01/2018	JORDENING, LYNN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	47.18	0.00	47.18	90.0	42.46
06/01/2018	FANGMAN, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.54	0.00	3.54	90.0	3.19
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.72	0.00	7.72	90.0	6.95
06/01/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.95	0.00	1.95	90.0	1.76
06/01/2018	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	90.0	1.53
06/01/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.55	0.00	2.55	90.0	2.30
06/01/2018	BURKHARDT, MORGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.34	0.00	19.34	90.0	17.41
06/01/2018	TURNER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.93	0.00	18.93	90.0	17.04
06/01/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	33.42	0.00	33.42	90.0	30.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.91	0.00	11.91	90.0	10.72
06/01/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2018	TOMPKINS, ERIKA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2018	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2018	ROBINETT, CHARISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.47	0.00	8.47	90.0	7.62
06/01/2018	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2018	ELLIOTT, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.85	0.00	5.85	90.0	5.27
06/01/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.35	0.00	1.35	90.0	1.22
06/01/2018	MOODY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.54	0.00	8.54	90.0	7.69
06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	GREEN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.64	0.00	19.64	90.0	17.68
06/01/2018	ELDRIDGE, DEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.96	0.00	10.96	90.0	9.86
06/01/2018	SCHAUMBURG, RENEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2018	RHODES, JESSICA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.23	0.00	3.23	90.0	2.91
06/01/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	REDDICK, CRYSTAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2018	KREKLOW, RICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2018	BRAMMER, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2018	TWEHOUS, TREVOR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	TWEHOUS, LOGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	TAYLOR, BRANDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.57	0.00	10.57	90.0	9.51
06/01/2018	TAYLOR, BRANDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2018	KAUFMAN, COOPER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2018	GAMM, SKYLAR	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.94	0.00	12.94	90.0	11.65
06/01/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018	KAUFMAN, COOPER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2018	WILMSMEYER, CHRISTA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.61	0.00	3.61	90.0	3.25
06/01/2018	ELLIOT, MITCHELL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.34	0.00	1.34	90.0	1.21
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	REDDICK, RYAN	AM FUNDS ICA 529A	0.00	7.94	0.00	7.94	90.0	7.15
06/01/2018	HOSTETTER MO/TOD, VICKI	AM FUNDS ICA A	0.00	18.27	0.00	18.27	90.0	16.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	PECK, THOMAS	AM FUNDS ICA A	0.00	5.21	0.00	5.21	90.0	4.69
06/01/2018	GUIER, PAULA	AM FUNDS ICA A	0.00	4.17	0.00	4.17	90.0	3.75
06/01/2018	NOWLIN, STACEY	AM FUNDS ICA A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2018	CRANE, MISTY	AM FUNDS ICA A	0.00	2.62	0.00	2.62	90.0	2.36
06/01/2018	JOE L KAUFMAN INC	AM FUNDS ICA A	0.00	93.41	0.00	93.41	90.0	84.07
06/01/2018	TWEHOUS, NOLAN	AM FUNDS ICA A	0.00	3.08	0.00	3.08	90.0	2.77
06/01/2018	WINFREY, LARRY	AM FUNDS ICA A	0.00	3.67	0.00	3.67	90.0	3.30
06/01/2018	BAUER, MATTHEW	AM FUNDS ICA A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2018	SUMMERS, AARON	AM FUNDS ICA A	0.00	5.17	0.00	5.17	90.0	4.65
06/01/2018	EICHHOLZ, JARED	AM FUNDS ICA A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2018	SAUSAMAN, URSULA	AM FUNDS ICA A	0.00	14.57	0.00	14.57	90.0	13.11
06/01/2018	JORDENING TRUST, PAUL	AM FUNDS ICA A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS ICA A	0.00	14.58	0.00	14.58	90.0	13.12
06/01/2018	DILLON, EMMA	AM FUNDS ICA A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2018	EULTGEN, VICKI	AM FUNDS ICA A	0.00	17.63	0.00	17.63	90.0	15.87
06/01/2018	MURPHY, MELISSA	AM FUNDS ICA A	0.00	14.43	0.00	14.43	90.0	12.99
06/01/2018	PETERSON, BAILY	AM FUNDS ICA A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	0.00	1.42	0.00	1.42	90.0	1.28
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	0.00	43.23	0.00	43.23	90.0	38.91
06/01/2018	ELMORE, STUART	AM FUNDS ICA A	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2018	HILDABRAND, ALVENA	AM FUNDS ICA A	0.00	8.59	0.00	8.59	90.0	7.73
06/01/2018	ELDRIDGE, DEE	AM FUNDS ICA A	0.00	46.89	0.00	46.89	90.0	42.20
06/01/2018	PRATER, RAMONA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	OSSMAN, ED	AM FUNDS INCOME FUND OF AMERICA A	0.00	67.23	0.00	67.23	90.0	60.51
06/01/2018	FRIEDERICH, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	34.08	0.00	34.08	90.0	30.67
06/01/2018	OWEN, ERNEST	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2018	JORDENING, POLLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	126.37	0.00	126.37	90.0	113.73
06/01/2018	BLISS, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.31	0.00	7.31	90.0	6.58
06/01/2018	WILMSMEYER, CHRISTA	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.74	0.00	2.74	90.0	2.47
06/01/2018	ANDING, MARY DIANE	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2018	OWEN, ERNEST	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.86	0.00	3.86	90.0	3.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	0.00	14.50	0.00	14.50	90.0	13.05
06/01/2018	ANDING, MARY DIANE	AM FUNDS NEW ECONOMY A	0.00	53.14	0.00	53.14	90.0	47.83
06/01/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	0.00	10.22	0.00	10.22	90.0	9.20
06/01/2018	GUIER, PAULA	AM FUNDS NEW ECONOMY A	0.00	5.08	0.00	5.08	90.0	4.57
06/01/2018	GRIFFIN, AMANDA	AM FUNDS NEW ECONOMY A	0.00	6.05	0.00	6.05	90.0	5.45
06/01/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	0.00	7.86	0.00	7.86	90.0	7.07
06/01/2018	GUIER, GREGORY	AM FUNDS NEW ECONOMY A	0.00	34.71	0.00	34.71	90.0	31.24
06/01/2018	TWEHOUS, NOLAN	AM FUNDS NEW ECONOMY A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2018	GUIER, GRACIE	AM FUNDS NEW ECONOMY A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS NEW ECONOMY A	0.00	11.56	0.00	11.56	90.0	10.40
06/01/2018	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2018	MANGUS, DANIEL	AM FUNDS NEW ECONOMY A	0.00	4.71	0.00	4.71	90.0	4.24
06/01/2018	MARK, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	5.42	0.00	5.42	90.0	4.88
06/01/2018	HALL, ELLEN	AM FUNDS NEW ECONOMY A	0.00	7.00	0.00	7.00	90.0	6.30
06/01/2018	AHERN, OLIVIA	AM FUNDS NEW ECONOMY A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	0.00	87.56	0.00	87.56	90.0	78.80
06/01/2018	CARSON, MATTHEW	AM FUNDS NEW ECONOMY A	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018	JORDENING, POLLY	AM FUNDS NEW ECONOMY A	0.00	56.03	0.00	56.03	90.0	50.43
06/01/2018	GUIER, CLINT	AM FUNDS NEW ECONOMY A	0.00	19.31	0.00	19.31	90.0	17.38
06/01/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	0.00	2.34	0.00	2.34	90.0	2.11
06/01/2018	OPPLAND, ZACHARY	AM FUNDS NEW ECONOMY A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2018	BURKHARDT, MORGAN	AM FUNDS NEW ECONOMY A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW ECONOMY A	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	0.00	1.54	0.00	1.54	90.0	1.39
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	17.25	0.00	17.25	90.0	15.53
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	0.00	9.22	0.00	9.22	90.0	8.30
06/01/2018	ELLIOTT, TIMOTHY	AM FUNDS NEW ECONOMY A	0.00	0.80	0.00	0.80	90.0	0.72
06/01/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2018	MOODY, DAVID	AM FUNDS NEW ECONOMY A	0.00	4.53	0.00	4.53	90.0	4.08
06/01/2018	SCHAUMBURG, RENEE	AM FUNDS NEW ECONOMY A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018	REDDICK, CRYSTAL	AM FUNDS NEW ECONOMY A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2018	KREKLOW, RICK	AM FUNDS NEW ECONOMY A	0.00	1.21	0.00	1.21	90.0	1.09

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BRAMMER, RACHEL	AM FUNDS NEW ECONOMY A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2018	TAYLOR, BRANDY	AM FUNDS NEW ECONOMY A	0.00	3.52	0.00	3.52	90.0	3.17
06/01/2018	TAYLOR, BRANDY	AM FUNDS NEW ECONOMY A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018	GAMM, SKYLAR	AM FUNDS NEW ECONOMY C	0.00	5.15	0.00	5.15	90.0	4.64
06/01/2018	ANDING, MARY DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	111.03	0.00	111.03	90.0	99.93
06/01/2018	REDDICK, RYAN	AM FUNDS NEW PERSPECTIVE A	0.00	7.03	0.00	7.03	90.0	6.33
06/01/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	0.00	6.17	0.00	6.17	90.0	5.55
06/01/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	0.00	6.60	0.00	6.60	90.0	5.94
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS NEW PERSPECTIVE A	0.00	10.75	0.00	10.75	90.0	9.68
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	14.49	0.00	14.49	90.0	13.04
06/01/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	MULLENIX, AMANDA	AM FUNDS NEW PERSPECTIVE A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	0.00	15.56	0.00	15.56	90.0	14.00
06/01/2018	TURNER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	0.00	2.03	0.00	2.03	90.0	1.83
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	0.00	14.79	0.00	14.79	90.0	13.31
06/01/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	0.00	11.38	0.00	11.38	90.0	10.24
06/01/2018	ELLIOTT, TIMOTHY	AM FUNDS NEW PERSPECTIVE A	0.00	5.44	0.00	5.44	90.0	4.90
06/01/2018	MOODY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	4.14	0.00	4.14	90.0	3.73
06/01/2018	TWEHOUS, TREVOR	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	TWEHOUS, LOGAN	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	KAUFMAN, COOPER	AM FUNDS NEW PERSPECTIVE C	0.00	2.10	0.00	2.10	90.0	1.89
06/01/2018	GAMM, SKYLAR	AM FUNDS NEW PERSPECTIVE C	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2018	ELLIOT, MITCHELL	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2018	REDDICK, RYAN	AM FUNDS NEW WORLD 529A	0.00	6.92	0.00	6.92	90.0	6.23
06/01/2018	GUIER, PAULA	AM FUNDS NEW WORLD A	0.00	3.75	0.00	3.75	90.0	3.38
06/01/2018	KEMBLE, CARA	AM FUNDS NEW WORLD A	0.00	2.83	0.00	2.83	90.0	2.55
06/01/2018	OSSMAN, ED	AM FUNDS NEW WORLD A	0.00	6.71	0.00	6.71	90.0	6.04
06/01/2018	FRIEDERICH, JOHN	AM FUNDS NEW WORLD A	0.00	4.77	0.00	4.77	90.0	4.29
06/01/2018	TWEHOUS, NOLAN	AM FUNDS NEW WORLD A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS NEW WORLD A	0.00	9.16	0.00	9.16	90.0	8.24

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	GRIFFITH, CHERYL	AM FUNDS NEW WORLD A	0.00	2.57	0.00	2.57	90.0	2.31
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS NEW WORLD A	0.00	2.57	0.00	2.57	90.0	2.31
06/01/2018	GUIER, CASH	AM FUNDS NEW WORLD A	0.00	1.52	0.00	1.52	90.0	1.37
06/01/2018	MANGUS, DANIEL	AM FUNDS NEW WORLD A	0.00	1.75	0.00	1.75	90.0	1.58
06/01/2018	MARK, CHRISTOPHER	AM FUNDS NEW WORLD A	0.00	3.46	0.00	3.46	90.0	3.11
06/01/2018	AHERN, OLIVIA	AM FUNDS NEW WORLD A	0.00	1.68	0.00	1.68	90.0	1.51
06/01/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	0.00	52.61	0.00	52.61	90.0	47.35
06/01/2018	MCKENZIE, JADA	AM FUNDS NEW WORLD A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2018	SAUSAMAN, URSULA	AM FUNDS NEW WORLD A	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS NEW WORLD A	0.00	3.70	0.00	3.70	90.0	3.33
06/01/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2018	MULLENIX, AMANDA	AM FUNDS NEW WORLD A	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	0.00	2.38	0.00	2.38	90.0	2.14
06/01/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	0.00	7.80	0.00	7.80	90.0	7.02
06/01/2018	TURNER, JOSHUA	AM FUNDS NEW WORLD A	0.00	2.05	0.00	2.05	90.0	1.85
06/01/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	0.00	9.88	0.00	9.88	90.0	8.89
06/01/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	0.00	33.85	0.00	33.85	90.0	30.47
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2018	TURNER, COREY	AM FUNDS NEW WORLD A	0.00	11.42	0.00	11.42	90.0	10.28
06/01/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2018	TOMPKINS, ERIKA	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	ELLIOTT, TIMOTHY	AM FUNDS NEW WORLD A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2018	MOODY, DAVID	AM FUNDS NEW WORLD A	0.00	4.04	0.00	4.04	90.0	3.64
06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS NEW WORLD A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2018	KREKLOW, RICK	AM FUNDS NEW WORLD A	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2018	TWEHOUS, LOGAN	AM FUNDS NEW WORLD A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	TAYLOR, BRANDY	AM FUNDS NEW WORLD A	0.00	3.49	0.00	3.49	90.0	3.14
06/01/2018	KAUFMAN, COOPER	AM FUNDS NEW WORLD C	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2018	JORDENING, LYNN	AM FUNDS NEW WORLD C	0.00	4.07	0.00	4.07	90.0	3.66
06/01/2018	JORDENING, POLLY	AM FUNDS NEW WORLD C	0.00	4.07	0.00	4.07	90.0	3.66
06/01/2018	GAMM, SKYLAR	AM FUNDS NEW WORLD C	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2018	KAUFMAN, ELLIE	AM FUNDS NEW WORLD FUND F1	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	KAUFMAN, COOPER	AM FUNDS NEW WORLD FUND F1	0.00	0.37	0.00	0.37	90.0	0.33

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ELLIOT, MITCHELL	AM FUNDS NEW WORLD FUND F1	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2018	HOSTETTER MO/TOD, VICKI	AM FUNDS SMALLCAP WORLD A	0.00	4.52	0.00	4.52	90.0	4.07
06/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	0.00	9.32	0.00	9.32	90.0	8.39
06/01/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	0.00	11.95	0.00	11.95	90.0	10.76
06/01/2018	GRIFFIN, AMANDA	AM FUNDS SMALLCAP WORLD A	0.00	5.46	0.00	5.46	90.0	4.91
06/01/2018	WHEAT, KATHLEEN	AM FUNDS SMALLCAP WORLD A	0.00	9.30	0.00	9.30	90.0	8.37
06/01/2018	KEMBLE, CARA	AM FUNDS SMALLCAP WORLD A	0.00	2.73	0.00	2.73	90.0	2.46
06/01/2018	OSSMAN, ED	AM FUNDS SMALLCAP WORLD A	0.00	7.31	0.00	7.31	90.0	6.58
06/01/2018	FRIEDERICH, JOHN	AM FUNDS SMALLCAP WORLD A	0.00	5.96	0.00	5.96	90.0	5.36
06/01/2018	GUIER, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	31.34	0.00	31.34	90.0	28.21
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	11.20	0.00	11.20	90.0	10.08
06/01/2018	KAUFMAN, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	3.04	0.00	3.04	90.0	2.74
06/01/2018	GRIFFIN, SHAWN	AM FUNDS SMALLCAP WORLD A	0.00	9.96	0.00	9.96	90.0	8.96
06/01/2018	MANGUS, DANIEL	AM FUNDS SMALLCAP WORLD A	0.00	2.58	0.00	2.58	90.0	2.32
06/01/2018	GUIER, KNOX	AM FUNDS SMALLCAP WORLD A	0.00	1.32	0.00	1.32	90.0	1.19
06/01/2018	MARK, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	3.48	0.00	3.48	90.0	3.13
06/01/2018	BIETSCH, MATTHEW	AM FUNDS SMALLCAP WORLD A	0.00	0.92	0.00	0.92	90.0	0.83
06/01/2018	AHERN, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2018	MCKENZIE, JADA	AM FUNDS SMALLCAP WORLD A	0.00	1.25	0.00	1.25	90.0	1.13
06/01/2018	CARSON, MATTHEW	AM FUNDS SMALLCAP WORLD A	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018	WIBBENMEYER, BRITTANY	AM FUNDS SMALLCAP WORLD A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2018	DEUSCHLE, KIMBERLY	AM FUNDS SMALLCAP WORLD A	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2018	OPPLAND, ZACHARY	AM FUNDS SMALLCAP WORLD A	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2018	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	0.00	1.66	0.00	1.66	90.0	1.49
06/01/2018	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	0.00	14.88	0.00	14.88	90.0	13.39
06/01/2018	TURNER, JOSHUA	AM FUNDS SMALLCAP WORLD A	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	14.40	0.00	14.40	90.0	12.96
06/01/2018	MURPHY, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	30.84	0.00	30.84	90.0	27.76
06/01/2018	PETERSON, BAILY	AM FUNDS SMALLCAP WORLD A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	0.00	11.65	0.00	11.65	90.0	10.49
06/01/2018	RYAN, MATTHEW	AM FUNDS SMALLCAP WORLD A	0.00	1.17	0.00	1.17	90.0	1.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	SUTTON, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	15.18	0.00	15.18	90.0	13.66
06/01/2018	TOMPKINS, ERIKA	AM FUNDS SMALLCAP WORLD A	0.00	1.34	0.00	1.34	90.0	1.21
06/01/2018	ROBINETT, CHARISSA	AM FUNDS SMALLCAP WORLD A	0.00	8.30	0.00	8.30	90.0	7.47
06/01/2018	ELLIOTT, MITCHELL	AM FUNDS SMALLCAP WORLD A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2018	ELLIOTT, TIMOTHY	AM FUNDS SMALLCAP WORLD A	0.00	5.49	0.00	5.49	90.0	4.94
06/01/2018	MOODY, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	4.06	0.00	4.06	90.0	3.65
06/01/2018	REDDICK, CRYSTAL	AM FUNDS SMALLCAP WORLD A	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2018	KREKLOW, RICK	AM FUNDS SMALLCAP WORLD A	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2018	TAYLOR, BRANDY	AM FUNDS SMALLCAP WORLD A	0.00	3.53	0.00	3.53	90.0	3.18
06/01/2018	TAYLOR, BRANDY	AM FUNDS SMALLCAP WORLD A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018	KAUFMAN, COOPER	AM FUNDS SMALLCAP WORLD C	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2018	STEVENSON, DAVID	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2018	OGLESBY, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	55.70	0.00	55.70	90.0	50.13
06/01/2018	HALL, JULIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	68.79	0.00	68.79	90.0	61.91
06/01/2018	GAMMONS, BOBBY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	6.68	0.00	6.68	90.0	6.01
06/01/2018	08/03/1989, UDT	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	178.58	0.00	178.58	90.0	160.72
06/01/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2018	KAUFMAN, COOPER	AMERICAN FUND SMALLCAP WORKD FUND-F	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2018	WILMSMEYER, CHRISTA	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	6.38	0.00	6.38	90.0	5.74
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.91	0.00	0.91	90.0	0.82
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	1.01	0.00	1.01	90.0	0.91
/ /	KAUFMAN, JEFFREY	FIDELITY ADV ENERGY CL M	0.00	0.90	0.00	0.90	90.0	0.81
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.41	0.00	2.41	90.0	2.17
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	1.00	0.00	1.00	90.0	0.90
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.78	0.00	0.78	90.0	0.70
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.64	0.00	0.64	90.0	0.58
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	12.19	0.00	12.19	90.0	10.97
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.46	0.00	1.46	90.0	1.31
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	9.43	0.00	9.43	90.0	8.49
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	5.13	0.00	5.13	90.0	4.62
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	7.29	0.00	7.29	90.0	6.56

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.95	0.00	8.95	90.0	8.06
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	3.05	0.00	3.05	90.0	2.75
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.92	0.00	4.92	90.0	4.43
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.31	0.00	1.31	90.0	1.18
/ /	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.35	0.00	1.35	90.0	1.22
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.82	0.00	0.82	90.0	0.74
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	12.22	0.00	12.22	90.0	11.00
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.61	0.00	2.61	90.0	2.35
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	10.12	0.00	10.12	90.0	9.11
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	2.89	0.00	2.89	90.0	2.60
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.91	0.00	1.91	90.0	1.72
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.17	0.00	1.17	90.0	1.05
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.58	0.00	6.58	90.0	5.92
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.38	0.00	1.38	90.0	1.24
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.59	0.00	0.59	90.0	0.53
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	15.68	0.00	15.68	90.0	14.11
/ /	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.99	0.00	1.99	90.0	1.79
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	10.44	0.00	10.44	90.0	9.40
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	1.00	0.00	1.00	90.0	0.90
/ /	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.57	0.00	0.57	90.0	0.51
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	10.00	0.00	10.00	90.0	9.00
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	1.07	0.00	1.07	90.0	0.96
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.69	0.00	2.69	90.0	2.42
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.30	0.00	2.30	90.0	2.07
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	17.23	0.00	17.23	90.0	15.51
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	19.37	0.00	19.37	90.0	17.43
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6,096.93</b>	<b>\$0.00</b>	<b>\$6,096.93</b>		<b>\$5,487.44</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/17/2018	CALHOUN, ALBERT	ING ESII	10,078.99	6.30	0.00	6.30	90.0	5.67
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$10,078.99</b>	<b>\$6.30</b>	<b>\$0.00</b>	<b>\$6.30</b>		<b>\$5.67</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,452,583.63</b>	<b>\$7,836.67</b>	<b>\$0.00</b>	<b>\$7,836.67</b>		<b>\$7,053.33</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
06/01/2018	DOWNES, STEVEN	AM FUNDS 2025 TARGET DATE-A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.35	0.00	0.35	90.0	0.32
05/21/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	36,490.56	852.97	0.00	852.97	90.0	767.67
05/30/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.75	0.10	0.00	0.10	90.0	0.09
05/30/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.75	0.10	0.00	0.10	90.0	0.09
06/01/2018	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	4.56	0.00	4.56	90.0	4.10
06/01/2018	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	0.00	4.56	0.00	4.56	90.0	4.10
06/01/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	0.00	5.60	0.00	5.60	90.0	5.04
06/01/2018	ABEL, DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	17.07	0.00	17.07	90.0	15.36
06/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	THOMPSON, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	112.60	0.00	112.60	90.0	101.34
06/01/2018	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	6.15	0.00	6.15	90.0	5.54
06/01/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	20.40	0.00	20.40	90.0	18.36
06/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	6.00	0.00	6.00	90.0	5.40
06/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	5.96	0.00	5.96	90.0	5.36
06/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	10.38	0.00	10.38	90.0	9.34
06/01/2018	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	17.56	0.00	17.56	90.0	15.80
06/01/2018	MCKINNEY, MICHEL	AM FUNDS AMERICAN BALANCED A	0.00	17.12	0.00	17.12	90.0	15.41
06/01/2018	JENNINGS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	25.76	0.00	25.76	90.0	23.18
06/01/2018	MCMILLION, CORENA	AM FUNDS AMERICAN BALANCED A	0.00	3.87	0.00	3.87	90.0	3.48
06/01/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	1.43	0.00	1.43	90.0	1.29
05/30/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
05/30/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
05/30/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.34	0.37	0.00	0.37	90.0	0.33
05/30/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.34	0.37	0.00	0.37	90.0	0.33
06/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	VICTOR, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2018	BAILEY, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.12	0.00	0.12	90.0	0.11

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
06/01/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2018	GUIER, LUCCA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.31	0.00	0.31	90.0	0.28
05/21/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
05/21/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
05/21/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	50.18	1.38	0.00	1.38	90.0	1.24
05/21/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	50.18	1.38	0.00	1.38	90.0	1.24
05/21/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
05/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
05/29/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
05/29/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	25.65	0.70	0.00	0.70	90.0	0.63
05/29/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	25.65	0.70	0.00	0.70	90.0	0.63
05/30/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	58.26	0.32	0.00	0.32	90.0	0.29
05/30/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	58.26	0.32	0.00	0.32	90.0	0.29
05/30/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.10	0.00	0.10	90.0	0.09
05/30/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.13	0.00	0.13	90.0	0.12
05/30/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/30/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	3.27	0.02	0.00	0.02	90.0	0.02
05/30/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
05/30/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
05/30/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
05/30/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
05/30/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
05/30/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
05/30/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	10.28	0.05	0.00	0.05	90.0	0.05
05/30/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	10.28	0.05	0.00	0.05	90.0	0.05
05/30/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	58.18	0.32	0.00	0.32	90.0	0.29
05/30/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	58.18	0.32	0.00	0.32	90.0	0.29
05/30/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	56.46	0.31	0.00	0.31	90.0	0.28
05/30/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	56.46	0.31	0.00	0.31	90.0	0.28
05/30/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	34.82	0.19	0.00	0.19	90.0	0.17
05/30/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	34.82	0.19	0.00	0.19	90.0	0.17
05/30/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	35.68	0.20	0.00	0.20	90.0	0.18
05/30/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	35.68	0.20	0.00	0.20	90.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
05/30/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	70.73	0.39	0.00	0.39	90.0	0.35
05/30/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	30.31	0.16	0.00	0.16	90.0	0.14
05/30/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.77	0.04	0.00	0.04	90.0	0.04
05/30/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.77	0.04	0.00	0.04	90.0	0.04
05/30/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.88	0.19	0.00	0.19	90.0	0.17
05/30/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.88	0.19	0.00	0.19	90.0	0.17
05/30/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	54.30	0.30	0.00	0.30	90.0	0.27
05/30/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	54.30	0.30	0.00	0.30	90.0	0.27
05/30/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
05/30/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
05/30/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
05/30/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
05/30/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	47.82	0.26	0.00	0.26	90.0	0.23
05/30/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	47.82	0.26	0.00	0.26	90.0	0.23
05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.12	0.00	0.12	90.0	0.11
05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.12	0.00	0.12	90.0	0.11
06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	51.71	0.34	0.00	0.34	90.0	0.31
06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	86.17	0.57	0.00	0.57	90.0	0.51
06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	116.66	0.77	0.00	0.77	90.0	0.69
06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	116.66	0.77	0.00	0.77	90.0	0.69
06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	88.86	0.59	0.00	0.59	90.0	0.53
06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	88.86	0.59	0.00	0.59	90.0	0.53
06/01/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
06/01/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.08	0.00	1.08	90.0	0.97
06/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	90.0	0.37
06/01/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.90	0.00	1.90	90.0	1.71
06/01/2018	SUMNER, J	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	HARKNESS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
05/30/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	135.61	0.75	0.00	0.75	90.0	0.68
05/30/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	40.68	0.23	0.00	0.23	90.0	0.21
06/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	0.00	0.13	0.00	0.13	90.0	0.12
05/21/2018	GUIER, BETHANY	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
05/30/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.78	0.11	0.00	0.11	90.0	0.10
05/30/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.78	0.11	0.00	0.11	90.0	0.10
05/30/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.76	0.10	0.00	0.10	90.0	0.09
05/30/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.76	0.10	0.00	0.10	90.0	0.09
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.36	0.10	0.00	0.10	90.0	0.09
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.35	0.10	0.00	0.10	90.0	0.09
06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	71.76	0.47	0.00	0.47	90.0	0.42
06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	6.82	0.00	6.82	90.0	6.14
06/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	0.00	1.30	0.00	1.30	90.0	1.17
06/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	GUIER, BETHANY	AM FUNDS ICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.40	0.00	3.40	90.0	3.06
05/30/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.39	0.30	0.00	0.30	90.0	0.27
05/30/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.39	0.30	0.00	0.30	90.0	0.27
06/01/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	0.00	2.46	0.00	2.46	90.0	2.21
06/01/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	0.00	2.65	0.00	2.65	90.0	2.39
06/01/2018	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW ECONOMY A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2018	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	BAILEY, JUDITH	AM FUNDS NEW ECONOMY A	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.12	0.00	0.12	90.0	0.11
06/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.65	0.00	1.65	90.0	1.49
05/30/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
05/30/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
06/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.37	0.00	1.37	90.0	1.23
06/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	90.0	0.13

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
06/01/2018	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	0.00	1.90	0.00	1.90	90.0	1.71
05/30/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.10	0.00	0.10	90.0	0.09
05/30/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.13	0.00	0.13	90.0	0.12
05/30/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	58.76	0.32	0.00	0.32	90.0	0.29
05/30/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.25	0.19	0.00	0.19	90.0	0.17
06/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	1.86	0.00	1.86	90.0	1.67
06/01/2018	WHITE, PAYTON	AM FUNDS NEW WORLD A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2018	BAILEY, JUDITH	AM FUNDS NEW WORLD A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.06	0.00	0.06	90.0	0.05
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.35	0.10	0.00	0.10	90.0	0.09
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.36	0.10	0.00	0.10	90.0	0.09
05/30/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
05/30/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.94	0.12	0.00	0.12	90.0	0.11
06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	1.70	0.00	1.70	90.0	1.53
06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	51.70	0.34	0.00	0.34	90.0	0.31
06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	86.17	0.57	0.00	0.57	90.0	0.51
06/01/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	0.00	1.34	0.00	1.34	90.0	1.21
06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2018	GUIER, LUCCA	AM FUNDS SMALLCAP WORLD-529A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.08	0.00	0.08	90.0	0.07
05/29/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.30	0.00	0.30	90.0	0.27

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.23	0.00	8.23	90.0	7.41
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.23	0.00	8.23	90.0	7.41
05/29/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.30	0.00	0.30	90.0	0.27
<b>* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *</b>			<b>\$42,436.39</b>	<b>\$1,232.99</b>	<b>\$0.00</b>	<b>\$1,232.99</b>		<b>\$1,109.84</b>
<b><u>BROKER: B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL #433</u></b>								
06/01/2018	LYONS, MARY	AM FUNDS BOND FUND OF AMERICA A	0.00	32.67	0.00	32.67	90.0	29.40
06/01/2018	LYONS, WILFRED	AM FUNDS BOND FUND OF AMERICA A	0.00	5.96	0.00	5.96	90.0	5.36
<b>* B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$38.63</b>	<b>\$0.00</b>	<b>\$38.63</b>		<b>\$34.76</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$42,436.39</b>	<b>\$1,271.62</b>	<b>\$0.00</b>	<b>\$1,271.62</b>		<b>\$1,144.60</b>

**OVERRIDE TRANSACTIONS**

<b><u>BROKER: K146-JASON KEMBLE #348</u></b>								
06/01/2018	HANSEL, NAOMI	AM FUNDS AMERICAN BALANCED A	0.00	17.84	0.00	17.84	90.0	7.14
06/01/2018	JACOBS, JANET	AM FUNDS AMERICAN BALANCED A	0.00	8.09	0.00	8.09	90.0	3.24
06/01/2018	DANIELS, CLINTON	AM FUNDS AMERICAN BALANCED A	0.00	3.42	0.00	3.42	90.0	1.37
06/01/2018	QUINN, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	8.85	0.00	8.85	90.0	3.54
06/01/2018	QUINN, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.19
06/01/2018	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	0.00	12.93	0.00	12.93	90.0	5.17
06/01/2018	HEDRICK, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	9.34	0.00	9.34	90.0	3.74
06/01/2018	ENDERS, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.02
06/01/2018	HOLMAN, D'NACIA	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.15
06/01/2018	HOLMAN, TYMBER	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.15
06/01/2018	RAKES, DWIGHT	AM FUNDS AMERICAN BALANCED A	0.00	1.04	0.00	1.04	90.0	0.42
06/01/2018	HOWARD, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	2.49	0.00	2.49	90.0	1.00
06/01/2018	DANIELS, AMY	AM FUNDS AMERICAN BALANCED A	0.00	2.94	0.00	2.94	90.0	1.18
06/01/2018	HANSEL, LARRY	AM FUNDS AMERICAN BALANCED A	0.00	10.68	0.00	10.68	90.0	4.27
06/01/2018	ALLEN, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	61.23	0.00	61.23	90.0	24.49
06/01/2018	ANDRUS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	1.27	0.00	1.27	90.0	0.51
06/01/2018	DANIELS, CLINTON	AM FUNDS AMERICAN BALANCED C	0.00	12.92	0.00	12.92	90.0	5.17
06/01/2018	RAKES, DWIGHT	AM FUNDS BOND FUND OF AMERICA A	0.00	0.82	0.00	0.82	90.0	0.33

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: K146-JASON KEMBLE #348 </u></b>								
06/01/2018	DANIELS, CLINTON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.78	0.00	0.78	90.0	0.31
06/01/2018	JACOBS, JANET	AM FUNDS GLOBAL BALANCED A	0.00	8.40	0.00	8.40	90.0	3.36
06/01/2018	RAKES, DWIGHT	AM FUNDS GLOBAL BALANCED A	0.00	1.03	0.00	1.03	90.0	0.41
05/24/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
05/24/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
06/01/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	0.00	1.85	90.0	0.74
06/01/2018	HOLMAN, D'NACIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.17
06/01/2018	HOLMAN, TYMBER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.17
06/01/2018	HOWARD, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.16
06/01/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.06
06/01/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.37
06/01/2018	HANSEL, LARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.89	0.00	1.89	90.0	0.76
06/01/2018	DANIELS, CLINTON	AM FUNDS NEW ECONOMY A	0.00	0.82	0.00	0.82	90.0	0.33
06/01/2018	HANSEL, LARRY	AM FUNDS NEW ECONOMY A	0.00	1.92	0.00	1.92	90.0	0.77
05/24/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
06/01/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	0.00	0.93	0.00	0.93	90.0	0.37
05/24/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
06/01/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	0.00	1.83	0.00	1.83	90.0	0.73
06/01/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	100.00	1.00	0.00	1.00	90.0	0.40
* K146-JASON KEMBLE SUBTOTAL *			\$400.00	\$190.66	\$0.00	\$190.66		\$76.29
*** OVERRIDE TRANSACTIONS TOTAL ***			\$400.00	\$190.66	\$0.00	\$190.66		\$76.29
<b><u> ADJUSTMENTS </u></b>								
06/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
06/15/2018		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
06/15/2018		*TECHNOLOGY: ADMIN C. KAUFMAN TECH	0.00					-65.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-785.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,053.33
Split Transactions	\$1,144.60
Override Transactions	\$76.29
Adjustments	\$-785.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,489.22
Year-to-date Gross	\$96,788.17
Year-to-date Earnings	\$93,318.05