

# STATEMENT

## January 20, 2018

LPFS HOUSE

BROKER #: ZZQ  
BRANCH: LA BRANCH

, CA

### COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
09/30/2017	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	1.01	100.0	0.00	1.01	0.00	1.01
11/13/2017	#00064989411 CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
11/13/2017	#00064989411 CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
11/13/2017	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
11/13/2017	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
11/13/2017	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
11/13/2017	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
11/15/2017	#00074892517 THURMAN, JEREMY	AMER FUNDS	200.00	5.50	100.0	0.00	5.50	0.00	5.50
11/15/2017	#00689433449 ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
11/20/2017	#00086791991 SANTOS, MARILU	GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
11/20/2017	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	5.06	100.0	0.00	5.06	0.00	5.06
11/29/2017	#00067575889 REYES, JUAN	AMERICAN BALANCED FUND INC CL C	18.40	0.18	100.0	0.00	0.18	0.00	0.18
11/29/2017	#00067575889 REYES, JUAN	AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
11/30/2017	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.52	100.0	0.00	4.52	0.00	4.52
11/30/2017	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.87	100.0	0.00	0.87	0.00	0.87
11/30/2017	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.42	100.0	0.00	2.42	0.00	2.42
11/30/2017	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.64	100.0	0.00	2.64	0.00	2.64
11/30/2017	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	35.21	100.0	0.00	35.21	0.00	35.21
11/30/2017	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	19.39	100.0	0.00	19.39	0.00	19.39
11/30/2017	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.51	100.0	0.00	1.51	0.00	1.51
11/30/2017	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.29	100.0	0.00	0.29	0.00	0.29
11/30/2017	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.81	100.0	0.00	0.81	0.00	0.81
11/30/2017	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.88	100.0	0.00	0.88	0.00	0.88
11/30/2017	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	11.74	100.0	0.00	11.74	0.00	11.74
11/30/2017	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.46	100.0	0.00	6.46	0.00	6.46
11/30/2017	#00074892549 THURMAN, JEREMY	AM FUNDS 02630R732	100.00	2.75	100.0	0.00	2.75	0.00	2.75
11/30/2017	#00068168935 BHAT, ARJUN	AMER FUNDS	337.00	9.27	100.0	0.00	9.27	0.00	9.27
11/30/2017	#00068168935 BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	92.00	2.53	100.0	0.00	2.53	0.00	2.53

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#00068168936 BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	50.00	1.38	100.0	0.00	1.38	0.00	1.38
11/30/2017	#00068168936 BHAT, ARJUN	COLLEGE 2024 FUND 529A	214.00	5.89	100.0	0.00	5.89	0.00	5.89
11/30/2017	#00068168935 BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.75	100.0	0.00	2.75	0.00	2.75
11/30/2017	#00068168936 BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.75	100.0	0.00	2.75	0.00	2.75
11/30/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.41	100.0	0.00	1.41	0.00	1.41
11/30/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.21	100.0	0.00	1.21	0.00	1.21
11/30/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.72	100.0	0.00	0.72	0.00	0.72
11/30/2017	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.08	100.0	0.00	1.08	0.00	1.08
11/30/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.05	100.0	0.00	6.05	0.00	6.05
11/30/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	5.21	100.0	0.00	5.21	0.00	5.21
11/30/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.09	100.0	0.00	3.09	0.00	3.09
11/30/2017	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.66	100.0	0.00	4.66	0.00	4.66
11/30/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.58	100.0	0.00	0.58	0.00	0.58
11/30/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.50	100.0	0.00	0.50	0.00	0.50
11/30/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.30	100.0	0.00	0.30	0.00	0.30
11/30/2017	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.45	100.0	0.00	0.45	0.00	0.45
11/30/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.41	100.0	0.00	0.41	0.00	0.41
11/30/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.35	100.0	0.00	0.35	0.00	0.35
11/30/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.21	100.0	0.00	0.21	0.00	0.21
11/30/2017	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.32	100.0	0.00	0.32	0.00	0.32
11/30/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.24	100.0	0.00	0.24	0.00	0.24
11/30/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.20	100.0	0.00	0.20	0.00	0.20
11/30/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.12	100.0	0.00	0.12	0.00	0.12
11/30/2017	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.18	100.0	0.00	0.18	0.00	0.18
11/30/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.85	100.0	0.00	1.85	0.00	1.85
11/30/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.59	100.0	0.00	1.59	0.00	1.59
11/30/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	0.95	100.0	0.00	0.95	0.00	0.95
11/30/2017	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.43	100.0	0.00	1.43	0.00	1.43
11/30/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.38	100.0	0.00	2.38	0.00	2.38
11/30/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.05	100.0	0.00	2.05	0.00	2.05
11/30/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.21	100.0	0.00	1.21	0.00	1.21
11/30/2017	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.83	100.0	0.00	1.83	0.00	1.83
11/30/2017	#00032425931 STILLARS	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
11/30/2017	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
11/30/2017	#00068168935 BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	100.0	0.00	2.75	0.00	2.75
11/30/2017	#00068168936 BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	100.0	0.00	2.75	0.00	2.75

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#66286639101	CERVANTES	VVA AGE-BASED PORTFOLIO 18+ A	0.00	0.18	100.0	0.00	0.18	0.18
12/01/2017	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
12/01/2017	#07150233245	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	6.38	100.0	0.00	6.38	6.38
12/01/2017	#07150233248	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	6.38	100.0	0.00	6.38	6.38
12/06/2017	#002072166941	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.58	100.0	0.00	0.58	0.58
12/06/2017	#002072166983	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.27	100.0	0.00	0.27	0.27
12/06/2017	#002072167021	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.27	100.0	0.00	0.27	0.27
12/06/2017	#002072167063	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.27	100.0	0.00	0.27	0.27
12/06/2017	#071502332454	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.13	100.0	0.00	4.13	4.13
12/06/2017	#071502332483	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	3.71	100.0	0.00	3.71	3.71
12/08/2017	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	3.00
12/11/2017	#2000344105	SANCHEZ, REBECCA	A - DISCOVERY PORT	0.00	1.00	100.0	0.00	1.00	1.00
12/11/2017	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	6.88
12/11/2017	#00036257440	REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	269.55	3.23	100.0	0.00	3.23	3.23
12/12/2017	#00069124801	LASIERRA DEL	AMCAP FUND INC CLASS A	2,000.00	100.00	100.0	0.00	100.00	100.00
12/15/2017	#2000256393	MILKS, SEAN	AGES 12-14 YEARS A	0.00	1.31	100.0	0.00	1.31	1.31
12/15/2017	#2000256391	MILKS, SEAN	AGES 15-17 YEARS A	0.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#2000270551	SANCHEZ, REBECCA	AGES 18 AND OVER A	0.00	1.00	100.0	0.00	1.00	1.00
12/15/2017	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	10.00
12/15/2017	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#00089764435	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#00089764458	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#04000010563	GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	10.00
12/15/2017	#00074892517	THURMAN, JEREMY	AMER FUNDS	200.00	5.50	100.0	0.00	5.50	5.50
12/15/2017	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	7.00
12/15/2017	#00087188339	MCDOWAN, GEL	BOND FUND OF AMERICA INC CL A	50.00	1.50	100.0	0.00	1.50	1.50
12/15/2017	#2000256393	MILKS, SEAN	CAPITAL PERSEVATION A	0.00	0.56	100.0	0.00	0.56	0.56
12/15/2017	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	3.00
12/15/2017	#00089764435	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#00089764458	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/15/2017	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	10.00
12/15/2017	#00035777858	CARIOLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	1.25
12/15/2017	#2000256391	MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	1.88	100.0	0.00	1.88	1.88
12/15/2017	#2000256393	MILKS, SEAN	MODERATELY AGGRESSIVE A	0.00	1.31	100.0	0.00	1.31	1.31
12/15/2017	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	10.00
12/15/2017	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/15/2017	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
12/18/2017	#00073849715	CHICK, MADISON	AMCAP FUND-529A	50.00	2.13	100.0	0.00	2.13	2.13
12/18/2017	#00075746809	CHICK, SETH	AMCAP FUND-529A	50.00	2.13	100.0	0.00	2.13	2.13
12/20/2017	#00086791991	SANTOS, MARILU	GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
12/21/2017	#000000001908514		TEMPLETON GROWTH FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
12/21/2017	#000000002335418		TEMPLETON GROWTH FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
12/21/2017	#000000002335432		TEMPLETON GROWTH FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
12/21/2017	#000000002987156		TEMPLETON GROWTH FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
12/26/2017	#07500500576	EWELLGARYD	PIONEER BOND FUND A	4,500.00	45.00	100.0	0.00	45.00	45.00
12/26/2017	#07500500576	EWELLGARYD	PIONEER BOND FUND A	3,750.00	37.50	100.0	0.00	37.50	37.50
12/26/2017	#07523204262	EWELLGARYD	PIONEER GLOBAL EQUITY FUND A	4,500.00	45.00	100.0	0.00	45.00	45.00
12/26/2017	#07523204262	EWELLGARYD	PIONEER GLOBAL EQUITY FUND A	3,750.00	37.50	100.0	0.00	37.50	37.50
12/26/2017	#07517908892	EWELLGARYD	PIONEER GROWTH OPPORTUNITIES FUND A	4,500.00	45.00	100.0	0.00	45.00	45.00
12/26/2017	#07517908892	EWELLGARYD	PIONEER GROWTH OPPORTUNITIES FUND A	3,750.00	37.50	100.0	0.00	37.50	37.50
12/26/2017	#07501200670	EWELLGARYD	PIONEER REAL ESTATE SHARES A	4,500.00	45.00	100.0	0.00	45.00	45.00
12/26/2017	#07501200670	EWELLGARYD	PIONEER REAL ESTATE SHARES A	3,750.00	37.50	100.0	0.00	37.50	37.50
12/27/2017	#08185496308	HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
12/27/2017	#08185496304	HOLLE, RAYMOND	MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	5.43
12/27/2017	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
12/29/2017	#00074892549	THURMAN, JEREMY	AM FUNDS 02630R732	100.00	2.75	100.0	0.00	2.75	2.75
12/29/2017	#00068168935	BHAT, ARJUN	AMER FUNDS	337.00	6.74	100.0	0.00	6.74	6.74
12/29/2017	#00068168936	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	50.00	1.00	100.0	0.00	1.00	1.00
12/29/2017	#00068168935	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	92.00	1.84	100.0	0.00	1.84	1.84
12/29/2017	#00068168936	BHAT, ARJUN	COLLEGE 2024 FUND 529A	214.00	4.28	100.0	0.00	4.28	4.28
12/29/2017	#00068168935	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	2.00
12/29/2017	#00068168936	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	2.00
12/29/2017	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	5.00
12/29/2017	#00068168935	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	2.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/29/2017	#00068168936 BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
01/02/2018	#00060225535 STILEKRISL	MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	0.00	13.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$42,833.88</b>	<b>\$845.78</b>		<b>\$0.00</b>	<b>\$845.78</b>	<b>\$0.00</b>	<b>\$845.78</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/11/2017	# NCIAL SVCS LLC, LIBERTY	NUVEEN DIVERSIFIED DIVIDEND	2,470.96	0.00	100.0	0.00	0.00	0.00	0.00
12/12/2017	# NCIAL SVCS LLC, LIBERTY	NUVEEN DIVERSIFIED DIVIDEND	12,377.99	0.00	100.0	0.00	0.00	0.00	0.00
12/12/2017	# NCIAL SVCS LLC, LIBERTY	NUVEEN FLOATING RATE INCOME OPPORTU	6,779.40	0.00	100.0	0.00	0.00	0.00	0.00
12/13/2017	# SHAO, JINFU	UNDER ARMOUR INC CL A	3,944.99	20.00	100.0	0.00	20.00	0.00	20.00
12/13/2017	# SHAO, JINFU	UNDER ARMOUR INC CLASS C COMMON STO	3,610.11	20.00	100.0	0.00	20.00	0.00	20.00
12/15/2017	# AGUIAR, A GENE	IRON MOUNTAIN INCORPORATED	11,321.63	50.00	100.0	0.00	50.00	0.00	50.00
12/15/2017	# HAMMER, SLADE	PROSHARES TRUST II PROSHARES ULTRA	105.96	0.00	100.0	0.00	0.00	0.00	0.00
12/18/2017	# NCIAL SVCS LLC, LIBERTY	NUVEEN FLOATING RATE INCOME OPPORTU	6,775.80	0.00	100.0	0.00	0.00	0.00	0.00
12/19/2017	# NCIAL SVCS LLC, LIBERTY	NUVEEN DIVERSIFIED DIVIDEND	14,743.20	0.00	100.0	0.00	0.00	0.00	0.00
12/21/2017	# NCIAL SVCS LLC, LIBERTY	INTEL CORP	3,660.58	0.00	100.0	0.00	0.00	0.00	0.00
12/26/2017	# NCIAL SVCS LLC, LIBERTY	INTEL CORP	3,636.96	0.00	100.0	0.00	0.00	0.00	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$69,427.58</b>	<b>\$90.00</b>		<b>\$0.00</b>	<b>\$90.00</b>	<b>\$0.00</b>	<b>\$90.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
12/04/2017	# NCIAL SVCS LLC, LIBERTY	FEDERATED RETAIL HLDGS INC	102,025.00	0.00	100.0	0.00	0.00	0.00	0.00
12/04/2017	# NCIAL SVCS LLC, LIBERTY	FEDERATED RETAIL HLDGS INC	102,000.00	0.00	100.0	0.00	0.00	0.00	0.00
12/04/2017	# NCIAL SVCS LLC, LIBERTY	TRANSOCEAN OFFSHORE INC NOTE	91,575.00	0.00	100.0	0.00	0.00	0.00	0.00
12/04/2017	# NCIAL SVCS LLC, LIBERTY	TRANSOCEAN OFFSHORE INC NOTE	91,600.00	0.00	100.0	0.00	0.00	0.00	0.00
12/27/2017	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA4841M	299,038.37	0.00	100.0	0.00	0.00	0.00	0.00
12/27/2017	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL MA4841M	291,744.20	0.00	100.0	0.00	0.00	0.00	0.00
<b>* BONDS SUBTOTAL *</b>			<b>\$977,982.57</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
10/11/2017	#068705771 BARNES, JAMES	SECURITY BENEFIT	0.00	0.67	100.0	0.00	0.67	0.00	0.67
10/11/2017	#085585407 ARROYO, YOLANDA	SECURITY BENEFIT	0.00	0.30	100.0	0.00	0.30	0.00	0.30
10/11/2017	#106726917 MERCADO, WENDY	SECURITY BENEFIT	0.00	2.46	100.0	0.00	2.46	0.00	2.46
10/11/2017	#062661009 DEMASI, RICHARD	SECURITY BENEFIT	0.00	0.51	100.0	0.00	0.51	0.00	0.51
10/16/2017	#051722201 MCNAMEE, BRIGID	SECURITY BENEFIT	0.00	2.00	100.0	0.00	2.00	0.00	2.00
10/16/2017	#077700242 RIZZO	SECURITY BENEFIT	0.00	0.75	100.0	0.00	0.75	0.00	0.75
10/16/2017	#134807134 MILANA, MAURIZIO	SECURITY BENEFIT	0.00	1.00	100.0	0.00	1.00	0.00	1.00
10/23/2017	#068705771 BARNES, JAMES	SECURITY BENEFIT	0.00	0.67	100.0	0.00	0.67	0.00	0.67
10/23/2017	#085585407 ARROYO, YOLANDA	SECURITY BENEFIT	0.00	0.30	100.0	0.00	0.30	0.00	0.30

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
10/23/2017	#106726917	MERCADO, WENDY	SECURITY BENEFIT	0.00	2.46	100.0	0.00	2.46	0.00	2.46
10/23/2017	#062661009	DEMASI, RICHARD	SECURITY BENEFIT	0.00	0.51	100.0	0.00	0.51	0.00	0.51
10/30/2017	#085646439	BARNES, JAMES	SECURITY BENEFIT	0.00	5.12	100.0	0.00	5.12	0.00	5.12
10/30/2017	#099429268	BARNES, JAMES	SECURITY BENEFIT	0.00	13.17	100.0	0.00	13.17	0.00	13.17
10/30/2017	#068705771	BARNES, JAMES	SECURITY BENEFIT	0.00	1.22	100.0	0.00	1.22	0.00	1.22
10/30/2017	#085585407	ARROYO, YOLANDA	SECURITY BENEFIT	0.00	0.58	100.0	0.00	0.58	0.00	0.58
10/30/2017	#106726917	MERCADO, WENDY	SECURITY BENEFIT	0.00	17.46	100.0	0.00	17.46	0.00	17.46
10/30/2017	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	0.00	16.88	100.0	0.00	16.88	0.00	16.88
10/30/2017	#077700242	RIZZO	SECURITY BENEFIT	0.00	1.73	100.0	0.00	1.73	0.00	1.73
10/30/2017	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	2.32	100.0	0.00	2.32	0.00	2.32
10/30/2017	#096622808	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	0.85	100.0	0.00	0.85	0.00	0.85
10/30/2017	#062661009	DEMASI, RICHARD	SECURITY BENEFIT	0.00	0.67	100.0	0.00	0.67	0.00	0.67
10/30/2017	#069663772	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	0.95	100.0	0.00	0.95	0.00	0.95
10/30/2017	#133661824	FL, UTMA	SECURITY BENEFIT	0.00	0.12	100.0	0.00	0.12	0.00	0.12
10/31/2017	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	0.00	2.00	100.0	0.00	2.00	0.00	2.00
10/31/2017	#077700242	RIZZO	SECURITY BENEFIT	0.00	0.75	100.0	0.00	0.75	0.00	0.75
10/31/2017	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	1.00	100.0	0.00	1.00	0.00	1.00
11/07/2017	#068705771	BARNES, JAMES	SECURITY BENEFIT	0.00	0.67	100.0	0.00	0.67	0.00	0.67
11/07/2017	#085585407	ARROYO, YOLANDA	SECURITY BENEFIT	0.00	0.30	100.0	0.00	0.30	0.00	0.30
11/07/2017	#106726917	MERCADO, WENDY	SECURITY BENEFIT	0.00	2.46	100.0	0.00	2.46	0.00	2.46
11/07/2017	#062661009	DEMASI, RICHARD	SECURITY BENEFIT	0.00	0.51	100.0	0.00	0.51	0.00	0.51
11/13/2017	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	0.00	2.00	100.0	0.00	2.00	0.00	2.00
11/13/2017	#077700242	RIZZO	SECURITY BENEFIT	0.00	0.75	100.0	0.00	0.75	0.00	0.75
11/13/2017	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	1.00	100.0	0.00	1.00	0.00	1.00
11/20/2017	#068705771	BARNES, JAMES	SECURITY BENEFIT	0.00	0.67	100.0	0.00	0.67	0.00	0.67
11/20/2017	#085585407	ARROYO, YOLANDA	SECURITY BENEFIT	0.00	0.30	100.0	0.00	0.30	0.00	0.30
11/20/2017	#106726917	MERCADO, WENDY	SECURITY BENEFIT	0.00	2.46	100.0	0.00	2.46	0.00	2.46
11/20/2017	#062661009	DEMASI, RICHARD	SECURITY BENEFIT	0.00	0.51	100.0	0.00	0.51	0.00	0.51
11/24/2017	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	0.00	2.00	100.0	0.00	2.00	0.00	2.00
11/24/2017	#077700242	RIZZO	SECURITY BENEFIT	0.00	0.75	100.0	0.00	0.75	0.00	0.75
11/24/2017	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	1.00	100.0	0.00	1.00	0.00	1.00
11/29/2017	#507707700126339	MORGAN,	DELAWARE LIFE RGTGD12	0.00	96.47	100.0	0.00	96.47	0.00	96.47
11/29/2017	#507707700506551	MULLARKEY,	DELAWARE LIFE RGTGD12	0.00	15.91	100.0	0.00	15.91	0.00	15.91
11/29/2017	#085646439	BARNES, JAMES	SECURITY BENEFIT	0.00	5.28	100.0	0.00	5.28	0.00	5.28
11/29/2017	#099429268	BARNES, JAMES	SECURITY BENEFIT	0.00	13.88	100.0	0.00	13.88	0.00	13.88
11/29/2017	#068705771	BARNES, JAMES	SECURITY BENEFIT	0.00	1.29	100.0	0.00	1.29	0.00	1.29

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/29/2017	#085585407	ARROYO, YOLANDA	SECURITY BENEFIT	0.00	0.59	100.0	0.00	0.59	0.59
11/29/2017	#106726917	MERCADO, WENDY	SECURITY BENEFIT	0.00	17.96	100.0	0.00	17.96	17.96
11/29/2017	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	0.00	17.39	100.0	0.00	17.39	17.39
11/29/2017	#077700242	RIZZO	SECURITY BENEFIT	0.00	1.77	100.0	0.00	1.77	1.77
11/29/2017	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	2.40	100.0	0.00	2.40	2.40
11/29/2017	#096622808	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	0.91	100.0	0.00	0.91	0.91
11/29/2017	#062661009	DEMASI, RICHARD	SECURITY BENEFIT	0.00	0.70	100.0	0.00	0.70	0.70
11/29/2017	#069663772	MILANA, MAURIZIO	SECURITY BENEFIT	0.00	0.96	100.0	0.00	0.96	0.96
11/29/2017	#133661824	FL, UTMA	SECURITY BENEFIT	0.00	0.12	100.0	0.00	0.12	0.12
11/30/2017	#E1080714	CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	20.33	100.0	0.00	20.33	20.33
11/30/2017	#E1226791	ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.76	100.0	0.00	8.76	8.76
11/30/2017	#E1229810	ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.53	100.0	0.00	8.53	8.53
11/30/2017	#E0648375	SCHNEIDER,	PRUDETIAL TRAILS	0.00	9.84	100.0	0.00	9.84	9.84
11/30/2017	#E0648375	SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.28	100.0	0.00	3.28	3.28
11/30/2017	#E0840605	SCHNEIDER,	PRUDETIAL TRAILS	0.00	60.21	100.0	0.00	60.21	60.21
11/30/2017	#E0840605	SCHNEIDER,	PRUDETIAL TRAILS	0.00	20.07	100.0	0.00	20.07	20.07
11/30/2017	#E0557781	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	20.10	100.0	0.00	20.10	20.10
11/30/2017	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.29	100.0	0.00	33.29	33.29
11/30/2017	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.32	100.0	0.00	8.32	8.32
11/30/2017	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	18.32	100.0	0.00	18.32	18.32
11/30/2017	#E0892527	BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.02
11/30/2017	#E0892527	BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.02
11/30/2017	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	2.95	100.0	0.00	2.95	2.95
11/30/2017	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	11.80	100.0	0.00	11.80	11.80
11/30/2017	#000611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	46.41	100.0	0.00	46.41	46.41
11/30/2017	#000611043	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.00	100.0	0.00	14.00	14.00
11/30/2017	#000611043	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	41.99	100.0	0.00	41.99	41.99
11/30/2017	#000611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	15.47	100.0	0.00	15.47	15.47
11/30/2017	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	6.11	100.0	0.00	6.11	6.11
11/30/2017	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.60	100.0	0.00	7.60	7.60
11/30/2017	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.39	100.0	0.00	30.39	30.39
11/30/2017	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	2.08	100.0	0.00	2.08	2.08
11/30/2017	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	8.33	100.0	0.00	8.33	8.33
11/30/2017	#E0557781	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	80.39	100.0	0.00	80.39	80.39
12/01/2017	#70051358	THOMPSON, GEORGE	J H L TRAILS	0.00	68.53	100.0	0.00	68.53	68.53
12/01/2017	#2417793	JONES, NANCY	JOHN HANCOCK LIFE-TRAILS	0.00	3.45	100.0	0.00	3.45	3.45

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/01/2017	#2486143 WILLIAMS, KATRINA	JOHN HANCOCK LIFE-TRAILS	0.00	10.59	100.0	0.00	10.59	0.00	10.59
12/01/2017	#2486144 WILLIAMS, KELLY	JOHN HANCOCK LIFE-TRAILS	0.00	5.18	100.0	0.00	5.18	0.00	5.18
12/01/2017	#2557053 VILLALON, MITZI	JOHN HANCOCK LIFE-TRAILS	0.00	39.54	100.0	0.00	39.54	0.00	39.54
12/01/2017	#2557056 GREEN, JILL	JOHN HANCOCK LIFE-TRAILS	0.00	1.93	100.0	0.00	1.93	0.00	1.93
12/01/2017	#2580265 SHARMA, RAHUL	JOHN HANCOCK LIFE-TRAILS	0.00	71.47	100.0	0.00	71.47	0.00	71.47
12/01/2017	#2589636 LINDE, RYAN	JOHN HANCOCK LIFE-TRAILS	0.00	10.38	100.0	0.00	10.38	0.00	10.38
12/01/2017	#2790498 YUNGMAHN, WENDELIN	JOHN HANCOCK LIFE-TRAILS	0.00	18.53	100.0	0.00	18.53	0.00	18.53
12/01/2017	#95021964 YUNGMAHN, WENDELIN	JOHN HANCOCK LIFE-TRAILS	0.00	26.47	100.0	0.00	26.47	0.00	26.47
12/01/2017	#2504052 KOONCE, JANICE	JOHN HANCOCK LIFE-TRAILS	0.00	32.28	100.0	0.00	32.28	0.00	32.28
12/01/2017	#2538574 GRALA, MERIA	JOHN HANCOCK LIFE-TRAILS	0.00	29.65	100.0	0.00	29.65	0.00	29.65
12/01/2017	#2721796 ASHRAF, IRVING	JOHN HANCOCK LIFE-TRAILS	0.00	52.01	100.0	0.00	52.01	0.00	52.01
12/03/2017	#P55D2533100 STRONG, CHARLEY	AMERICAN GEN LIFE	0.00	71.87	100.0	0.00	71.87	0.00	71.87
12/04/2017	#B16557107085585407 ARROYO,	SECURITY BENEFIT SBGTRL	0.00	0.30	100.0	0.00	0.30	0.00	0.30
12/04/2017	#B16683107062661009 DEMASI,	SECURITY BENEFIT SBGTRL	0.00	0.51	100.0	0.00	0.51	0.00	0.51
12/04/2017	#B16557107106726917 MERCADO,	SECURITY BENEFIT SBGTRL	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/04/2017	#B16557107068705771 BARNES,	SECURITY BENEFIT SBGTRL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
12/05/2017	#101748ML2 VAIANELLA	FM2MAS	0.00	51.63	100.0	0.00	51.63	0.00	51.63
12/05/2017	#101519LK1 VAIANELLA	TRANS LIFE FE21LA	0.00	7.67	100.0	0.00	7.67	0.00	7.67
12/05/2017	#106245LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	87.52	100.0	0.00	87.52	0.00	87.52
12/05/2017	#110089LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	115.18	100.0	0.00	115.18	0.00	115.18
12/05/2017	#127463LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	7.33	100.0	0.00	7.33	0.00	7.33
12/05/2017	#0007096LK2 SULLIVAN,	TRANS LIFE FE22MA	0.00	19.13	100.0	0.00	19.13	0.00	19.13
12/05/2017	#0005742LK2 YUNGMAHN,	TRANS LIFE FE22MC	0.00	59.58	100.0	0.00	59.58	0.00	59.58
12/05/2017	#120696LK2 VAIANELLA	TRANS LIFE FE22PA	0.00	24.25	100.0	0.00	24.25	0.00	24.25
12/05/2017	#127462E2K VAIANELLA	TRANS LIFE FE2DR1	0.00	9.54	100.0	0.00	9.54	0.00	9.54
12/05/2017	#127893E2K VAIANELLA	TRANS LIFE FE2DR1	0.00	0.32	100.0	0.00	0.32	0.00	0.32
12/05/2017	#111099F97 VAIANELLA	TRANS LIFE FENDG3	0.00	0.63	100.0	0.00	0.63	0.00	0.63
12/05/2017	#123639LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.08	100.0	0.00	24.08	0.00	24.08
12/05/2017	#123640LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	22.01	100.0	0.00	22.01	0.00	22.01
12/05/2017	#123641LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	23.58	100.0	0.00	23.58	0.00	23.58
12/05/2017	#123973LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.68	100.0	0.00	24.68	0.00	24.68
12/05/2017	#123974LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	57.12	100.0	0.00	57.12	0.00	57.12
12/05/2017	#124709LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	80.27	100.0	0.00	80.27	0.00	80.27
12/05/2017	#151587LK8 VAIANELLA	TRANSA FIN LIFE FL27SP	0.00	94.20	100.0	0.00	94.20	0.00	94.20
12/05/2017	#124409LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	331.99	100.0	0.00	331.99	0.00	331.99
12/05/2017	#132926LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	74.06	100.0	0.00	74.06	0.00	74.06



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/12/2017	#B16632107077700242 RIZZO	SECURITY BENEFIT SBGTRL	0.00	0.75	100.0	0.00	0.75	0.00	0.75
12/12/2017	#B16632107051722201 MCNAMEE,	SECURITY BENEFIT SBGTRL	0.00	2.00	100.0	0.00	2.00	0.00	2.00
12/12/2017	#B16632107134807134 MILANA,	SECURITY BENEFIT SBGTRL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/13/2017	#P67D2517236 UPCHURCH CHRIS	AMERICAN GEN TRAILS	0.00	114.53	100.0	0.00	114.53	0.00	114.53
12/13/2017	#127479E2K FREEMAN, DEE	TRANS LIFE FE2DR1	0.00	413.20	100.0	0.00	413.20	0.00	413.20
12/14/2017	#500002AEO OILFIELD, TK	TRANSAMERICA FIN LIFE	0.00	0.04	100.0	0.00	0.04	0.00	0.04
12/14/2017	#500002BBB VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	0.00	18.00	100.0	0.00	18.00	0.00	18.00
12/14/2017	#500002BCO GONZA, AMPARO	TRANSAMERICA FIN LIFE	0.00	0.07	100.0	0.00	0.07	0.00	0.07
12/14/2017	#500002BKK HECKMA, DEVON	TRANSAMERICA FIN LIFE	0.00	1.92	100.0	0.00	1.92	0.00	1.92
12/14/2017	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	0.00	0.12	100.0	0.00	0.12	0.00	0.12
12/14/2017	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	0.00	7.03	100.0	0.00	7.03	0.00	7.03
12/14/2017	#500002T37 RI, GABRIEL	TRANSAMERICA FIN LIFE	0.00	10.28	100.0	0.00	10.28	0.00	10.28
12/14/2017	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	0.00	38.91	100.0	0.00	38.91	0.00	38.91
12/15/2017	#GAPC7AL2B INSTI,	NATIONWIDE 620	0.00	283.01	100.0	0.00	283.01	0.00	283.01
12/18/2017	#B16557107085585407 ARROYO,	SECURITY BENEFIT SBGTRL	0.00	0.30	100.0	0.00	0.30	0.00	0.30
12/18/2017	#B16683107062661009 DEMASI,	SECURITY BENEFIT SBGTRL	0.00	0.51	100.0	0.00	0.51	0.00	0.51
12/18/2017	#B16557107106726917 MERCADO,	SECURITY BENEFIT SBGTRL	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/18/2017	#B16557107068705771 BARNES,	SECURITY BENEFIT SBGTRL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
12/21/2017	#VM15008207	PACIFIC LIFE TRAILS	0.00	93.22	100.0	0.00	93.22	0.00	93.22
12/25/2017	#VR02013420 ADAIR, DAVID	PACIFIC LIFE TRAILS	0.00	8.42	100.0	0.00	8.42	0.00	8.42
12/27/2017	#VR01011472 TRUDELL, CAROLYN	PACIFIC LIFE TRAILS	0.00	22.00	100.0	0.00	22.00	0.00	22.00
12/27/2017	#B16632107077700242 RIZZO	SECURITY BENEFIT SBGTRL	0.00	0.75	100.0	0.00	0.75	0.00	0.75
12/27/2017	#B16632107051722201 MCNAMEE,	SECURITY BENEFIT SBGTRL	0.00	2.00	100.0	0.00	2.00	0.00	2.00
12/27/2017	#B16632107134807134 MILANA,	SECURITY BENEFIT SBGTRL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/28/2017	#B16683107069663772 MILANA,	SECURITY BENEFIT SBGTRL	0.00	5.76	100.0	0.00	5.76	0.00	5.76
12/28/2017	#B16632107077700242 RIZZO	SECURITY BENEFIT SBGTRL	0.00	10.97	100.0	0.00	10.97	0.00	10.97
12/28/2017	#B16632107051722201 MCNAMEE,	SECURITY BENEFIT SBGTRL	0.00	106.46	100.0	0.00	106.46	0.00	106.46
12/28/2017	#B16557107085585407 ARROYO,	SECURITY BENEFIT SBGTRL	0.00	3.51	100.0	0.00	3.51	0.00	3.51
12/28/2017	#B16557104099429268 BARNES,	SECURITY BENEFIT SBGTRL	0.00	83.73	100.0	0.00	83.73	0.00	83.73
12/28/2017	#B16557104085646439 BARNES,	SECURITY BENEFIT SBGTRL	0.00	32.66	100.0	0.00	32.66	0.00	32.66
12/28/2017	#B16650007102702501 KELLY,	SECURITY BENEFIT SBGTRL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/28/2017	#B16557107106726917 MERCADO,	SECURITY BENEFIT SBGTRL	0.00	110.04	100.0	0.00	110.04	0.00	110.04
12/28/2017	#B16632107134807134 MILANA,	SECURITY BENEFIT SBGTRL	0.00	15.05	100.0	0.00	15.05	0.00	15.05
12/28/2017	#B16557107068705771 BARNES,	SECURITY BENEFIT SBGTRL	0.00	8.00	100.0	0.00	8.00	0.00	8.00
12/28/2017	#B16683107133661824 FL, UTMA	SECURITY BENEFIT SBGTRL	0.00	0.12	100.0	0.00	0.12	0.00	0.12
12/28/2017	#B16683104096622808 MILANA,	SECURITY BENEFIT SBGTRL	0.00	0.92	100.0	0.00	0.92	0.00	0.92

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/28/2017	#B16683107069663772	MILANA, SECURITY BENEFIT SBGTRL	0.00	0.98	100.0	0.00	0.98	0.00	0.98
12/28/2017	#B16632107077700242	RIZZO SECURITY BENEFIT SBGTRL	0.00	1.82	100.0	0.00	1.82	0.00	1.82
12/28/2017	#B16557104099429268	BARNES, SECURITY BENEFIT SBGTRL	0.00	14.01	100.0	0.00	14.01	0.00	14.01
12/28/2017	#B16632107051722201	MCNAMEE, SECURITY BENEFIT SBGTRL	0.00	17.77	100.0	0.00	17.77	0.00	17.77
12/28/2017	#B16557104085646439	BARNES, SECURITY BENEFIT SBGTRL	0.00	5.43	100.0	0.00	5.43	0.00	5.43
12/28/2017	#B16683107062661009	DEMASI, SECURITY BENEFIT SBGTRL	0.00	0.72	100.0	0.00	0.72	0.00	0.72
12/28/2017	#B16557107085585407	ARROYO, SECURITY BENEFIT SBGTRL	0.00	0.60	100.0	0.00	0.60	0.00	0.60
12/28/2017	#B16632107134807134	MILANA, SECURITY BENEFIT SBGTRL	0.00	2.53	100.0	0.00	2.53	0.00	2.53
12/28/2017	#B16557107068705771	BARNES, SECURITY BENEFIT SBGTRL	0.00	1.32	100.0	0.00	1.32	0.00	1.32
12/28/2017	#B16557107106726917	MERCADO, SECURITY BENEFIT SBGTRL	0.00	18.38	100.0	0.00	18.38	0.00	18.38
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$3,770.63		\$0.00	\$3,770.63	\$0.00	\$3,770.63
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
12/22/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST	24,131.60	0.00	100.0	0.00	0.00	19.95	-19.95
12/22/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST	24,131.60	0.00	100.0	0.00	0.00	19.95	-19.95
12/26/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	45,401.35	0.00	100.0	0.00	0.00	19.95	-19.95
12/26/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	7,470.70	0.00	100.0	0.00	0.00	19.95	-19.95
12/26/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	52,872.05	0.00	100.0	0.00	0.00	19.95	-19.95
12/26/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	4,618.35	0.00	100.0	0.00	0.00	19.95	-19.95
12/26/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	4,618.35	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	102,892.08	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	102,892.08	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	115,242.13	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	115,242.13	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	106,310.36	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	106,310.36	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FT CORP TAX REFORM	150,003.90	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FT CORP TAX REFORM	147,003.28	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FT E-COMMERCE OPPORTUNITY	125,006.00	0.00	100.0	0.00	0.00	19.95	-19.95
12/27/2017	# NCIAL SVCS LLC, LIBERTY	UIT FT E-COMMERCE OPPORTUNITY	123,443.78	0.00	100.0	0.00	0.00	19.95	-19.95
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$1,357,590.10	\$0.00		\$0.00	\$0.00	\$339.15	\$-339.15
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/30/2017	# WILLIS, BARBARA	DAIN TRAILER	250.00	0.00	100.0	0.00	0.00	0.00	0.00
12/01/2017	#04000010563	GARRISONJU AM FUNDS	0.00	0.30	100.0	0.00	0.30	0.00	0.30
12/01/2017	#00074892549	THURMAN, JEREMY AM FUNDS 02630R732	0.00	6.22	100.0	0.00	6.22	0.00	6.22

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00069124801	LASIERRA DEL	AMCAP FUND INC CLASS A	0.00	4.69	100.0	0.00	4.69	4.69
12/01/2017	#00087771803	BHAT, ARJUN	AMCAP FUND INC CLASS A	0.00	9.92	100.0	0.00	9.92	9.92
12/01/2017	#00063740422	ORTOLI, COLLEEN	AMCAP FUND INC CLASS A	0.00	4.43	100.0	0.00	4.43	4.43
12/01/2017	#00073644294	WILKINSON,	AMCAP FUND INC CLASS A	0.00	7.57	100.0	0.00	7.57	7.57
12/01/2017	#00073644307	LOGAN, SANDRA	AMCAP FUND INC CLASS A	0.00	9.29	100.0	0.00	9.29	9.29
12/01/2017	#00073985169	STILES, EDWARD	AMCAP FUND INC CLASS A	0.00	4.59	100.0	0.00	4.59	4.59
12/01/2017	#00074105405	KUBIK, ROBERT	AMCAP FUND INC CLASS A	0.00	5.25	100.0	0.00	5.25	5.25
12/01/2017	#00074105500	BELLO, FERNANDO	AMCAP FUND INC CLASS A	0.00	5.52	100.0	0.00	5.52	5.52
12/01/2017	#00075351853	DUNCANSON,	AMCAP FUND INC CLASS A	0.00	65.01	100.0	0.00	65.01	65.01
12/01/2017	#00085366483	ELLEGAARD, ERIC	AMCAP FUND INC CLASS A	0.00	0.75	100.0	0.00	0.75	0.75
12/01/2017	#00081128279	OBLEAS, ALEX	AMCAP FUND INC CLASS A	0.00	2.25	100.0	0.00	2.25	2.25
12/01/2017	#00081177003	DUNCANSON,	AMCAP FUND INC CLASS A	0.00	57.82	100.0	0.00	57.82	57.82
12/01/2017	#00083665204	SMITH, MARGARET	AMCAP FUND INC CLASS A	0.00	4.90	100.0	0.00	4.90	4.90
12/01/2017	#00068782693	MANGUBAT,	AMCAP FUND-529A	0.00	9.02	100.0	0.00	9.02	9.02
12/01/2017	#00068782695	MANGUBAT,	AMCAP FUND-529A	0.00	36.35	100.0	0.00	36.35	36.35
12/01/2017	#00073849715	CHICK, MADISON	AMCAP FUND-529A	0.00	14.05	100.0	0.00	14.05	14.05
12/01/2017	#00075427348	MONTERO,	AMCAP FUND-529A	0.00	17.76	100.0	0.00	17.76	17.76
12/01/2017	#00075746809	CHICK, SETH	AMCAP FUND-529A	0.00	14.04	100.0	0.00	14.04	14.04
12/01/2017	#00082239930	MONTERO,	AMCAP FUND-529A	0.00	15.53	100.0	0.00	15.53	15.53
12/01/2017	#00083636886	KROOP, AIDEN	AMCAP FUND-529A	0.00	1.70	100.0	0.00	1.70	1.70
12/01/2017	#00083636892	KROOP, AIDEN	AMCAP FUND-529A	0.00	1.70	100.0	0.00	1.70	1.70
12/01/2017	#00085191928	MONTERO,	AMCAP FUND-529A	0.00	8.35	100.0	0.00	8.35	8.35
12/01/2017	#00089764435	CHALLASURE	AMCAP FUND-529A	0.00	0.40	100.0	0.00	0.40	0.40
12/01/2017	#00089764458	CHALLASURE	AMCAP FUND-529A	0.00	0.40	100.0	0.00	0.40	0.40
12/01/2017	#00089813030	PUNNAM,	AMCAP FUND-529A	0.00	0.69	100.0	0.00	0.69	0.69
12/01/2017	#00089813049	PUNNAM,	AMCAP FUND-529A	0.00	0.69	100.0	0.00	0.69	0.69
12/01/2017	#04000010563	GARRISONJU	AMCAP FUND-529A	0.00	0.31	100.0	0.00	0.31	0.31
12/01/2017	#00068168935	BHAT, ARJUN	AMER FUNDS	0.00	36.19	100.0	0.00	36.19	36.19
12/01/2017	#00068782693	MANGUBAT,	AMER FUNDS	0.00	60.22	100.0	0.00	60.22	60.22
12/01/2017	#00082585964	REID, MAGGIE	AMER FUNDS	0.00	33.20	100.0	0.00	33.20	33.20
12/01/2017	#00084075231	JOE, DAVID	AMER FUNDS	0.00	16.14	100.0	0.00	16.14	16.14
12/01/2017	#00087424427	SINGH, ARJUN	AMER FUNDS	0.00	30.60	100.0	0.00	30.60	30.60
12/01/2017	#00074892517	THURMAN, JEREMY	AMER FUNDS	0.00	7.00	100.0	0.00	7.00	7.00
12/01/2017	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	0.00	39.01	100.0	0.00	39.01	39.01
12/01/2017	#00082883581	PATEL, MILDRED	AMERICAN BALANCED FUND INC CLASS A	0.00	1.82	100.0	0.00	1.82	1.82
12/01/2017	#00074023647	WENTZ, KELLY	AMERICAN BALANCED FUND INC CLASS A	0.00	3.69	100.0	0.00	3.69	3.69

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00075351853	DUNCANSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	39.43	100.0	0.00	39.43	39.43
12/01/2017	#00087996032	ASHRAF, IRVING	AMERICAN BALANCED FUND INC CLASS A	0.00	3.92	100.0	0.00	3.92	3.92
12/01/2017	#00088004691		AMERICAN BALANCED FUND INC CLASS A	0.00	2.11	100.0	0.00	2.11	2.11
12/01/2017	#00086048248	GARCIA, JUAN	AMERICAN BALANCED FUND INC CLASS A	0.00	0.50	100.0	0.00	0.50	0.50
12/01/2017	#00085366483	ELLEGAARD, ERIC	AMERICAN BALANCED FUND INC CLASS A	0.00	0.67	100.0	0.00	0.67	0.67
12/01/2017	#00081177003	DUNCANSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	44.93	100.0	0.00	44.93	44.93
12/01/2017	#00083665204	SMITH, MARGARET	AMERICAN BALANCED FUND INC CLASS A	0.00	9.56	100.0	0.00	9.56	9.56
12/01/2017	#00075682479	VARDHAN, VISHNU	AMERICAN BALANCED FUND-529A	0.00	4.36	100.0	0.00	4.36	4.36
12/01/2017	#00083636886	KROOP, AIDEN	AMERICAN BALANCED FUND-529A	0.00	2.70	100.0	0.00	2.70	2.70
12/01/2017	#00083636892	KROOP, AIDEN	AMERICAN BALANCED FUND-529A	0.00	2.70	100.0	0.00	2.70	2.70
12/01/2017	#00084075231	JOE, DAVID	AMERICAN BALANCED FUND-529A	0.00	4.46	100.0	0.00	4.46	4.46
12/01/2017	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	0.00	0.39	100.0	0.00	0.39	0.39
12/01/2017	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	0.00	0.39	100.0	0.00	0.39	0.39
12/01/2017	#00073458940	DIVVER, ANDREW	AMERICAN BALANCED FUND-529A	0.00	1.36	100.0	0.00	1.36	1.36
12/01/2017	#00073459048	DIVVER, ANDREW	AMERICAN BALANCED FUND-529A	0.00	1.36	100.0	0.00	1.36	1.36
12/01/2017	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND-F1	0.00	9.35	100.0	0.00	9.35	9.35
12/01/2017	#00061887010	VINEY, LINDA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	8.24	100.0	0.00	8.24	8.24
12/01/2017	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	0.00	0.31	100.0	0.00	0.31	0.31
12/01/2017	#00074884010	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	7.12	100.0	0.00	7.12	7.12
12/01/2017	#00087996032	ASHRAF, IRVING	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	3.51	100.0	0.00	3.51	3.51
12/01/2017	#00081285134	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	5.03	100.0	0.00	5.03	5.03
12/01/2017	#00081285955	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	7.38	100.0	0.00	7.38	7.38
12/01/2017	#00075479261	HAMMANG, THOMAS	AMERICAN FUNDS 643822406	0.00	20.39	100.0	0.00	20.39	20.39
12/01/2017	#00075479261	HAMMANG, THOMAS	AMERICAN FUNDS 831681408	0.00	8.66	100.0	0.00	8.66	8.66
12/01/2017	#00087996032	ASHRAF, IRVING	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.61	100.0	0.00	0.61	0.61
12/01/2017	#00088004691		AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.72	100.0	0.00	0.72	0.72
12/01/2017	#00086048248	GARCIA, JUAN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.34	100.0	0.00	0.34	0.34
12/01/2017	#00067123725	SPECHT,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	34.26	100.0	0.00	34.26	34.26
12/01/2017	#00069124801	LASIERRA DEL	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.27	100.0	0.00	4.27	4.27
12/01/2017	#00067123727	SPECHT, RANDALL	AMERICAN HIGH INCOME TR SH BEN INT	0.00	34.68	100.0	0.00	34.68	34.68
12/01/2017	#00073614025	PLAN, SIMPLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.68	100.0	0.00	0.68	0.68
12/01/2017	#00088004691		AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.21	100.0	0.00	1.21	1.21
12/01/2017	#00086824800		AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.49	100.0	0.00	5.49	5.49
12/01/2017	#00081177003	DUNCANSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	11.57	100.0	0.00	11.57	11.57
12/01/2017	#00074567363	EHRlich,	AMERICAN HIGH-INCOME TRUST-529A	0.00	3.97	100.0	0.00	3.97	3.97
12/01/2017	#00082883581	PATEL, MILDRED	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.90	100.0	0.00	1.90	1.90

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00087424427	SINGH, ARJUN	AMERICAN MUTUAL FUND-529A	0.00	2.00	100.0	0.00	2.00	2.00
12/01/2017	#00087424448	SINGH, ARJUN	AMERICAN MUTUAL FUND-529A	0.00	3.87	100.0	0.00	3.87	3.87
12/01/2017	#00087424456	SINGH, ARJUN	AMERICAN MUTUAL FUND-529A	0.00	7.61	100.0	0.00	7.61	7.61
12/01/2017	#00087188339	MCDOWAN, GEL	BOND FUND OF AMERICA INC CL A	0.00	2.23	100.0	0.00	2.23	2.23
12/01/2017	#00073614025	PLAN, SIMPLE	BOND FUND OF AMERICA INC CL A	0.00	0.37	100.0	0.00	0.37	0.37
12/01/2017	#00068402893	KURZ, KERRY	BOND FUND OF AMERICA INC CL A	0.00	17.24	100.0	0.00	17.24	17.24
12/01/2017	#00087996032	ASHRAF, IRVING	BOND FUND OF AMERICA INC CL A	0.00	0.61	100.0	0.00	0.61	0.61
12/01/2017	#00088004691		BOND FUND OF AMERICA INC CL A	0.00	0.73	100.0	0.00	0.73	0.73
12/01/2017	#00086048248	GARCIA, JUAN	BOND FUND OF AMERICA INC CL A	0.00	0.35	100.0	0.00	0.35	0.35
12/01/2017	#00086530177		BOND FUND OF AMERICA INC CL A	0.00	1.62	100.0	0.00	1.62	1.62
12/01/2017	#00086530219		BOND FUND OF AMERICA INC CL A	0.00	1.59	100.0	0.00	1.59	1.59
12/01/2017	#00086530245		BOND FUND OF AMERICA INC CL A	0.00	1.68	100.0	0.00	1.68	1.68
12/01/2017	#00086530265		BOND FUND OF AMERICA INC CL A	0.00	1.57	100.0	0.00	1.57	1.57
12/01/2017	#00082811781	NEECE, GINGER	CAPITAL INCOME BUILDER FD CL A	0.00	3.08	100.0	0.00	3.08	3.08
12/01/2017	#00082883581	PATEL, MILDRED	CAPITAL INCOME BUILDER FD CL A	0.00	2.01	100.0	0.00	2.01	2.01
12/01/2017	#00083614513	SHARIFI-TAKIEH,	CAPITAL INCOME BUILDER FD CL A	0.00	5.40	100.0	0.00	5.40	5.40
12/01/2017	#00068402893	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	0.00	17.26	100.0	0.00	17.26	17.26
12/01/2017	#00087996032	ASHRAF, IRVING	CAPITAL INCOME BUILDER FD CL A	0.00	1.67	100.0	0.00	1.67	1.67
12/01/2017	#00086824800		CAPITAL INCOME BUILDER FD CL A	0.00	6.06	100.0	0.00	6.06	6.06
12/01/2017	#00087399223		CAPITAL INCOME BUILDER FD CL A	0.00	0.38	100.0	0.00	0.38	0.38
12/01/2017	#00085887214	HILLIUS,	CAPITAL INCOME BUILDER FD CL A	0.00	0.80	100.0	0.00	0.80	0.80
12/01/2017	#00085886363	GARCIA, JUAN	CAPITAL INCOME BUILDER FD CL A	0.00	1.60	100.0	0.00	1.60	1.60
12/01/2017	#00082530869	BUCCINO, MARY	CAPITAL INCOME BUILDER FD CL A	0.00	1.94	100.0	0.00	1.94	1.94
12/01/2017	#00084301400	SHEPPARD, JIMMY	CAPITAL INCOME BUILDER FD CL A	0.00	24.21	100.0	0.00	24.21	24.21
12/01/2017	#00075194331	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	0.00	3.97	100.0	0.00	3.97	3.97
12/01/2017	#00068168935	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	0.00	13.35	100.0	0.00	13.35	13.35
12/01/2017	#00068168936	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	0.00	9.26	100.0	0.00	9.26	9.26
12/01/2017	#00068782695	MANGUBAT,	CAPITAL INCOME BUILDER-529A	0.00	1.82	100.0	0.00	1.82	1.82
12/01/2017	#00082585964	REID, MAGGIE	CAPITAL INCOME BUILDER-529A	0.00	6.28	100.0	0.00	6.28	6.28
12/01/2017	#00083636886	KROOP, AIDEN	CAPITAL INCOME BUILDER-529A	0.00	2.68	100.0	0.00	2.68	2.68
12/01/2017	#00083636892	KROOP, AIDEN	CAPITAL INCOME BUILDER-529A	0.00	2.68	100.0	0.00	2.68	2.68
12/01/2017	#00083902726	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	2.38	100.0	0.00	2.38	2.38
12/01/2017	#00083902768	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	2.38	100.0	0.00	2.38	2.38
12/01/2017	#00083902778	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	3.85	100.0	0.00	3.85	3.85
12/01/2017	#00084075231	JOE, DAVID	CAPITAL INCOME BUILDER-529A	0.00	4.56	100.0	0.00	4.56	4.56
12/01/2017	#00074709359	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	6.68	100.0	0.00	6.68	6.68

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	#00074709423	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	8.10	100.0	0.00	8.10	0.00	8.10
12/01/2017	#00074710614	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	8.58	100.0	0.00	8.58	0.00	8.58
12/01/2017	#00073458940	DIVVER, ANDREW	CAPITAL INCOME BUILDER-529A	0.00	1.41	100.0	0.00	1.41	0.00	1.41
12/01/2017	#00073459048	DIVVER, ANDREW	CAPITAL INCOME BUILDER-529A	0.00	1.41	100.0	0.00	1.41	0.00	1.41
12/01/2017	#00082911748	DONNELLY, RONAN	CAPITAL INCOME BUILDER-529A	0.00	0.22	100.0	0.00	0.22	0.00	0.22
12/01/2017	#00073614025	PLAN, SIMPLE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.52	100.0	0.00	0.52	0.00	0.52
12/01/2017	#00073851390	DUVAL, LINDA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.73	100.0	0.00	4.73	0.00	4.73
12/01/2017	#00075554876	KOONCE, JANICE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.76	100.0	0.00	4.76	0.00	4.76
12/01/2017	#00086048248	GARCIA, JUAN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.34	100.0	0.00	0.34	0.00	0.34
12/01/2017	#00082811781	NEECE, GINGER	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.39	100.0	0.00	0.39	0.00	0.39
12/01/2017	#00074023647	WENTZ, KELLY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.83	100.0	0.00	8.83	0.00	8.83
12/01/2017	#00063740422	ORTOLI, COLLEEN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	10.52	100.0	0.00	10.52	0.00	10.52
12/01/2017	#00073851390	DUVAL, LINDA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.54	100.0	0.00	8.54	0.00	8.54
12/01/2017	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	10.42	100.0	0.00	10.42	0.00	10.42
12/01/2017	#00075554876	KOONCE, JANICE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.82	100.0	0.00	6.82	0.00	6.82
12/01/2017	#00087996032	ASHRAF, IRVING	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.62	100.0	0.00	1.62	0.00	1.62
12/01/2017	#00088004691		CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.16	100.0	0.00	1.16	0.00	1.16
12/01/2017	#00082530869	BUCCINO, MARY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.97	100.0	0.00	1.97	0.00	1.97
12/01/2017	#00083665204	SMITH, MARGARET	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.79	100.0	0.00	1.79	0.00	1.79
12/01/2017	#00081518553	HUMPHREYS, TORI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.26	100.0	0.00	8.26	0.00	8.26
12/01/2017	#00083636886	KROOP, AIDEN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.40	100.0	0.00	1.40	0.00	1.40
12/01/2017	#00083636892	KROOP, AIDEN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.40	100.0	0.00	1.40	0.00	1.40
12/01/2017	#00083902726	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/01/2017	#00083902768	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/01/2017	#00083902778	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.89	100.0	0.00	3.89	0.00	3.89
12/01/2017	#00085008254	NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.27	100.0	0.00	8.27	0.00	8.27
12/01/2017	#00074709359	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.51	100.0	0.00	7.51	0.00	7.51
12/01/2017	#00074709423	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.12	100.0	0.00	9.12	0.00	9.12
12/01/2017	#00074710614	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.66	100.0	0.00	9.66	0.00	9.66
12/01/2017	#00082911748	DONNELLY, RONAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.24	100.0	0.00	0.24	0.00	0.24
12/01/2017	#00068782695	MANGUBAT,	COLLEGE 2021 FUND-529A	0.00	18.31	100.0	0.00	18.31	0.00	18.31
12/01/2017	#00087424448	SINGH, ARJUN	COLLEGE 2021 FUND-529A	0.00	23.50	100.0	0.00	23.50	0.00	23.50
12/01/2017	#00087424456	SINGH, ARJUN	COLLEGE 2021 FUND-529A	0.00	7.83	100.0	0.00	7.83	0.00	7.83
12/01/2017	#00068168936	BHAT, ARJUN	COLLEGE 2024 FUND 529A	0.00	23.85	100.0	0.00	23.85	0.00	23.85
12/01/2017	#00089813030	PUNNAM,	COLLEGE 2024 FUND 529A	0.00	1.90	100.0	0.00	1.90	0.00	1.90
12/01/2017	#00089813049	PUNNAM,	COLLEGE 2027 FUND-529A	0.00	1.95	100.0	0.00	1.95	0.00	1.95

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	#00067123725	SPECHT,	EURO PACIFIC GROWTH FUND CLASS A	0.00	5.86	100.0	0.00	5.86	0.00	5.86
12/01/2017	#00063740421	ORTOLI, COLLEEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.78	100.0	0.00	6.78	0.00	6.78
12/01/2017	#00067123727	SPECHT, RANDALL	EURO PACIFIC GROWTH FUND CLASS A	0.00	14.95	100.0	0.00	14.95	0.00	14.95
12/01/2017	#00073644310	LOGAN, SANDRA	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.97	100.0	0.00	6.97	0.00	6.97
12/01/2017	#00074884010	THURMAN, JERRY	EURO PACIFIC GROWTH FUND CLASS A	0.00	7.91	100.0	0.00	7.91	0.00	7.91
12/01/2017	#00064344320	UNTIL AGE 21,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.48	100.0	0.00	1.48	0.00	1.48
12/01/2017	#00064344321	SCHORLE,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.48	100.0	0.00	1.48	0.00	1.48
12/01/2017	#00058252358	KELLY, RICHARD	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.68	100.0	0.00	3.68	0.00	3.68
12/01/2017	#00087996032	ASHRAF, IRVING	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.62	100.0	0.00	1.62	0.00	1.62
12/01/2017	#00088004691		EURO PACIFIC GROWTH FUND CLASS A	0.00	1.80	100.0	0.00	1.80	0.00	1.80
12/01/2017	#00085886363	GARCIA, JUAN	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.06	100.0	0.00	3.06	0.00	3.06
12/01/2017	#00081128317	OBLEAS, ALEX	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.17	100.0	0.00	2.17	0.00	2.17
12/01/2017	#00082421253	UNTIL AGE 18,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.05	100.0	0.00	1.05	0.00	1.05
12/01/2017	#00082530869	BUCCINO, MARY	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.79	100.0	0.00	1.79	0.00	1.79
12/01/2017	#00083975413	ALTADONNA,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.47	100.0	0.00	0.47	0.00	0.47
12/01/2017	#00084301400	SHEPPARD, JIMMY	EURO PACIFIC GROWTH FUND CLASS A	0.00	26.65	100.0	0.00	26.65	0.00	26.65
12/01/2017	#00081285134	THURMAN, JERRY	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.94	100.0	0.00	4.94	0.00	4.94
12/01/2017	#00081285955	THURMAN, JERRY	EURO PACIFIC GROWTH FUND CLASS A	0.00	13.18	100.0	0.00	13.18	0.00	13.18
12/01/2017	#00081518553	HUMPHREYS, TORI	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.21	100.0	0.00	2.21	0.00	2.21
12/01/2017	#00082335059	CADWALLADER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.53	100.0	0.00	0.53	0.00	0.53
12/01/2017	#00082335086	CADWALLADER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.65	100.0	0.00	0.65	0.00	0.65
12/01/2017	#00074892549	THURMAN, JEREMY	EUROPACIFIC GROWTH FUND-529A	0.00	6.33	100.0	0.00	6.33	0.00	6.33
12/01/2017	#00074567363	EHRLICH,	EUROPACIFIC GROWTH FUND-529A	0.00	7.98	100.0	0.00	7.98	0.00	7.98
12/01/2017	#00083403724	OGLESBY, KAILYN	EUROPACIFIC GROWTH FUND-529A	0.00	0.19	100.0	0.00	0.19	0.00	0.19
12/01/2017	#00067123725	SPECHT,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	7.54	100.0	0.00	7.54	0.00	7.54
12/01/2017	#00075686720	CARROLL, SUSAN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.40	100.0	0.00	1.40	0.00	1.40
12/01/2017	#00082811781	NEECE, GINGER	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.72	100.0	0.00	5.72	0.00	5.72
12/01/2017	#00083614513	SHARIFI-TAKIEH,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	14.80	100.0	0.00	14.80	0.00	14.80
12/01/2017	#00087771803	BHAT, ARJUN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	10.58	100.0	0.00	10.58	0.00	10.58
12/01/2017	#00067123727	SPECHT, RANDALL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	19.67	100.0	0.00	19.67	0.00	19.67
12/01/2017	#00087996032	ASHRAF, IRVING	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.44	100.0	0.00	4.44	0.00	4.44
12/01/2017	#00088004691		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.32	100.0	0.00	2.32	0.00	2.32
12/01/2017	#00086824800		FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.50	100.0	0.00	4.50	0.00	4.50
12/01/2017	#00085886363	GARCIA, JUAN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.63	100.0	0.00	3.63	0.00	3.63
12/01/2017	#00083665204	SMITH, MARGARET	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.08	100.0	0.00	5.08	0.00	5.08
12/01/2017	#00068168935	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	0.00	15.20	100.0	0.00	15.20	0.00	15.20

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00068168936 BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	0.00	15.20	100.0	0.00	15.20	0.00	15.20
12/01/2017	#00068440916 MOUKARZEL, ANNA	FUNDAMENTAL INVESTORS-529A	0.00	6.68	100.0	0.00	6.68	0.00	6.68
12/01/2017	#00068440918 MOUKARZEL, ANNA	FUNDAMENTAL INVESTORS-529A	0.00	6.68	100.0	0.00	6.68	0.00	6.68
12/01/2017	#00083636886 KROOP, AIDEN	FUNDAMENTAL INVESTORS-529A	0.00	3.61	100.0	0.00	3.61	0.00	3.61
12/01/2017	#00083636892 KROOP, AIDEN	FUNDAMENTAL INVESTORS-529A	0.00	3.61	100.0	0.00	3.61	0.00	3.61
12/01/2017	#00083902726 SINGH, MEHAR	FUNDAMENTAL INVESTORS-529A	0.00	3.33	100.0	0.00	3.33	0.00	3.33
12/01/2017	#00083902768 SINGH, MEHAR	FUNDAMENTAL INVESTORS-529A	0.00	3.33	100.0	0.00	3.33	0.00	3.33
12/01/2017	#00083902778 SINGH, MEHAR	FUNDAMENTAL INVESTORS-529A	0.00	5.43	100.0	0.00	5.43	0.00	5.43
12/01/2017	#00084075231 JOE, DAVID	FUNDAMENTAL INVESTORS-529A	0.00	5.88	100.0	0.00	5.88	0.00	5.88
12/01/2017	#00089764435 CHALLASURE	FUNDAMENTAL INVESTORS-529A	0.00	0.41	100.0	0.00	0.41	0.00	0.41
12/01/2017	#00089764458 CHALLASURE	FUNDAMENTAL INVESTORS-529A	0.00	0.41	100.0	0.00	0.41	0.00	0.41
12/01/2017	#00089813030 PUNNAM,	FUNDAMENTAL INVESTORS-529A	0.00	0.71	100.0	0.00	0.71	0.00	0.71
12/01/2017	#00089813049 PUNNAM,	FUNDAMENTAL INVESTORS-529A	0.00	0.71	100.0	0.00	0.71	0.00	0.71
12/01/2017	#04000010563 GARRISONJU	FUNDAMENTAL INVESTORS-529A	0.00	0.31	100.0	0.00	0.31	0.00	0.31
12/01/2017	#00086791991 SANTOS, MARILU	GROWTH FUND AMER INC CL C	0.00	5.43	100.0	0.00	5.43	0.00	5.43
12/01/2017	#00082011177 UNTIL AGE 21,	GROWTH FUND AMER INC CL C	0.00	1.43	100.0	0.00	1.43	0.00	1.43
12/01/2017	#00067123725 SPECHT,	GROWTH FUND AMERICA INC CLASS A	0.00	7.28	100.0	0.00	7.28	0.00	7.28
12/01/2017	#00069124801 LASIERRA DEL	GROWTH FUND AMERICA INC CLASS A	0.00	4.59	100.0	0.00	4.59	0.00	4.59
12/01/2017	#00074023647 WENTZ, KELLY	GROWTH FUND AMERICA INC CLASS A	0.00	8.09	100.0	0.00	8.09	0.00	8.09
12/01/2017	#00063740421 ORTOLI, COLLEEN	GROWTH FUND AMERICA INC CLASS A	0.00	28.16	100.0	0.00	28.16	0.00	28.16
12/01/2017	#00063740422 ORTOLI, COLLEEN	GROWTH FUND AMERICA INC CLASS A	0.00	3.11	100.0	0.00	3.11	0.00	3.11
12/01/2017	#00067123727 SPECHT, RANDALL	GROWTH FUND AMERICA INC CLASS A	0.00	19.19	100.0	0.00	19.19	0.00	19.19
12/01/2017	#00073117259 BOHN, CATHERINE	GROWTH FUND AMERICA INC CLASS A	0.00	6.65	100.0	0.00	6.65	0.00	6.65
12/01/2017	#00073644310 LOGAN, SANDRA	GROWTH FUND AMERICA INC CLASS A	0.00	8.93	100.0	0.00	8.93	0.00	8.93
12/01/2017	#00075351853 DUNCANSON,	GROWTH FUND AMERICA INC CLASS A	0.00	62.13	100.0	0.00	62.13	0.00	62.13
12/01/2017	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	0.00	11.84	100.0	0.00	11.84	0.00	11.84
12/01/2017	#00065032342 SHEPPARD/AUTH	GROWTH FUND AMERICA INC CLASS A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
12/01/2017	#00063623058 CHRISP/AUTH	GROWTH FUND AMERICA INC CLASS A	0.00	4.92	100.0	0.00	4.92	0.00	4.92
12/01/2017	#00067109799 FORESMAN,	GROWTH FUND AMERICA INC CLASS A	0.00	7.56	100.0	0.00	7.56	0.00	7.56
12/01/2017	#00064344320 UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	3.27	100.0	0.00	3.27	0.00	3.27
12/01/2017	#00064344321 SCHORLE,	GROWTH FUND AMERICA INC CLASS A	0.00	3.27	100.0	0.00	3.27	0.00	3.27
12/01/2017	#00088004691	GROWTH FUND AMERICA INC CLASS A	0.00	1.06	100.0	0.00	1.06	0.00	1.06
12/01/2017	#00087399223	GROWTH FUND AMERICA INC CLASS A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
12/01/2017	#00089627136	GROWTH FUND AMERICA INC CLASS A	0.00	0.57	100.0	0.00	0.57	0.00	0.57
12/01/2017	#00085366483 ELLEGAARD, ERIC	GROWTH FUND AMERICA INC CLASS A	0.00	0.30	100.0	0.00	0.30	0.00	0.30
12/01/2017	#00085729877	GROWTH FUND AMERICA INC CLASS A	0.00	0.65	100.0	0.00	0.65	0.00	0.65



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00081128279	OBLEAS, ALEX	GROWTH FUND AMERICA INC CLASS A	0.00	2.18	100.0	0.00	2.18	2.18
12/01/2017	#00081177003	DUNCANSON,	GROWTH FUND AMERICA INC CLASS A	0.00	52.50	100.0	0.00	52.50	52.50
12/01/2017	#00082421253	UNTIL AGE 18,	GROWTH FUND AMERICA INC CLASS A	0.00	0.45	100.0	0.00	0.45	0.45
12/01/2017	#00084997003		GROWTH FUND AMERICA INC CLASS A	0.00	0.75	100.0	0.00	0.75	0.75
12/01/2017	#00082011177	UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	1.64	100.0	0.00	1.64	1.64
12/01/2017	#00069124801	LASIERRA DEL	INCOME FUND OF AMERICA INC CLASS A	0.00	4.12	100.0	0.00	4.12	4.12
12/01/2017	#00075390298	BHAT, ANU	INCOME FUND OF AMERICA INC CLASS A	0.00	27.66	100.0	0.00	27.66	27.66
12/01/2017	#00088377464	BHAT, ARJUN	INCOME FUND OF AMERICA INC CLASS A	0.00	19.15	100.0	0.00	19.15	19.15
12/01/2017	#00073851390	DUVAL, LINDA	INCOME FUND OF AMERICA INC CLASS A	0.00	16.75	100.0	0.00	16.75	16.75
12/01/2017	#00075351853	DUNCANSON,	INCOME FUND OF AMERICA INC CLASS A	0.00	24.18	100.0	0.00	24.18	24.18
12/01/2017	#00074615300	SCHARP, JOYCE	INCOME FUND OF AMERICA INC CLASS A	0.00	4.62	100.0	0.00	4.62	4.62
12/01/2017	#00068402893	KURZ, KERRY	INCOME FUND OF AMERICA INC CLASS A	0.00	11.38	100.0	0.00	11.38	11.38
12/01/2017	#00075194307	MC NAAB, SHARON	INCOME FUND OF AMERICA INC CLASS A	0.00	14.80	100.0	0.00	14.80	14.80
12/01/2017	#00087996032	ASHRAF, IRVING	INCOME FUND OF AMERICA INC CLASS A	0.00	4.40	100.0	0.00	4.40	4.40
12/01/2017	#00088004691		INCOME FUND OF AMERICA INC CLASS A	0.00	1.12	100.0	0.00	1.12	1.12
12/01/2017	#00067588886	BROWN, VICKI	INCOME FUND OF AMERICA INC CLASS A	0.00	7.87	100.0	0.00	7.87	7.87
12/01/2017	#00081177003	DUNCANSON,	INCOME FUND OF AMERICA INC CLASS A	0.00	28.23	100.0	0.00	28.23	28.23
12/01/2017	#00083665204	SMITH, MARGARET	INCOME FUND OF AMERICA INC CLASS A	0.00	5.89	100.0	0.00	5.89	5.89
12/01/2017	#00087996032	ASHRAF, IRVING	INFLATION LINKED BOND FUND-A	0.00	0.51	100.0	0.00	0.51	0.51
12/01/2017	#00074709359	MOORE, JONATHAN	INTERMEDIATE BOND FUND OF AMERICA-5	0.00	4.82	100.0	0.00	4.82	4.82
12/01/2017	#00074709423	MOORE, JONATHAN	INTERMEDIATE BOND FUND OF AMERICA-5	0.00	5.85	100.0	0.00	5.85	5.85
12/01/2017	#00074710614	MOORE, JONATHAN	INTERMEDIATE BOND FUND OF AMERICA-5	0.00	6.19	100.0	0.00	6.19	6.19
12/01/2017	#00073614025	PLAN, SIMPLE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.41	100.0	0.00	0.41	0.41
12/01/2017	#00073851390	DUVAL, LINDA	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	4.04	100.0	0.00	4.04	4.04
12/01/2017	#00075554876	KOONCE, JANICE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	4.56	100.0	0.00	4.56	4.56
12/01/2017	#00083636886	KROOP, AIDEN	INTERNATIONAL GROWTH AND INCOME-529	0.00	1.13	100.0	0.00	1.13	1.13
12/01/2017	#00083636892	KROOP, AIDEN	INTERNATIONAL GROWTH AND INCOME-529	0.00	1.13	100.0	0.00	1.13	1.13
12/01/2017	#00067109799	FORESMAN,	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.83	100.0	0.00	0.83	0.83
12/01/2017	#00088004691		INTERNATIONAL GROWTH AND INCOME-A	0.00	1.04	100.0	0.00	1.04	1.04
12/01/2017	#00083665204	SMITH, MARGARET	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.83	100.0	0.00	0.83	0.83
12/01/2017	#00084301400	SHEPPARD, JIMMY	INTERNATIONAL GROWTH AND INCOME-A	0.00	24.00	100.0	0.00	24.00	24.00
12/01/2017	#00084760739		INTERNATIONAL GROWTH AND INCOME-A	0.00	1.03	100.0	0.00	1.03	1.03
12/01/2017	#00063740421	ORTOLI, COLLEEN	INVESTMENT CO AMER CLASS A	0.00	14.27	100.0	0.00	14.27	14.27
12/01/2017	#00063740422	ORTOLI, COLLEEN	INVESTMENT CO AMER CLASS A	0.00	24.27	100.0	0.00	24.27	24.27
12/01/2017	#00073985169	STILES, EDWARD	INVESTMENT CO AMER CLASS A	0.00	4.37	100.0	0.00	4.37	4.37
12/01/2017	#00075351803	DUNCANSON,	INVESTMENT CO AMER CLASS A	0.00	9.55	100.0	0.00	9.55	9.55

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00068402893	KURZ, KERRY	INVESTMENT CO AMER CLASS A	0.00	27.54	100.0	0.00	27.54	27.54
12/01/2017	#00087938875		INVESTMENT CO AMER CLASS A	0.00	0.83	100.0	0.00	0.83	0.83
12/01/2017	#00087996032	ASHRAF, IRVING	INVESTMENT CO AMER CLASS A	0.00	2.63	100.0	0.00	2.63	2.63
12/01/2017	#00073503410	STEVENS, EVELYN	INVESTMENT CO AMER CLASS A	0.00	19.00	100.0	0.00	19.00	19.00
12/01/2017	#00086048248	GARCIA, JUAN	INVESTMENT CO AMER CLASS A	0.00	0.57	100.0	0.00	0.57	0.57
12/01/2017	#00085366483	ELLEGAARD, ERIC	INVESTMENT CO AMER CLASS A	0.00	0.45	100.0	0.00	0.45	0.45
12/01/2017	#00085729877		INVESTMENT CO AMER CLASS A	0.00	0.61	100.0	0.00	0.61	0.61
12/01/2017	#00081128317	OBLEAS, ALEX	INVESTMENT CO AMER CLASS A	0.00	2.59	100.0	0.00	2.59	2.59
12/01/2017	#00084997003		INVESTMENT CO AMER CLASS A	0.00	0.69	100.0	0.00	0.69	0.69
12/01/2017	#00075686720	CARROLL, SUSAN	NEW ECONOMY FUND SBI CLASS A	0.00	2.01	100.0	0.00	2.01	2.01
12/01/2017	#00082883581	PATEL, MILDRED	NEW ECONOMY FUND SBI CLASS A	0.00	4.49	100.0	0.00	4.49	4.49
12/01/2017	#00087771803	BHAT, ARJUN	NEW ECONOMY FUND SBI CLASS A	0.00	10.17	100.0	0.00	10.17	10.17
12/01/2017	#00088028855	PATEL, YAGNESH	NEW ECONOMY FUND SBI CLASS A	0.00	6.24	100.0	0.00	6.24	6.24
12/01/2017	#00067123725	SPECHT,	NEW PERSPECTIVE FUND INC CL A	0.00	7.18	100.0	0.00	7.18	7.18
12/01/2017	#00082883581	PATEL, MILDRED	NEW PERSPECTIVE FUND INC CL A	0.00	4.08	100.0	0.00	4.08	4.08
12/01/2017	#00085498364	HADDAD, NASSIM	NEW PERSPECTIVE FUND INC CL A	0.00	2.23	100.0	0.00	2.23	2.23
12/01/2017	#00087771803	BHAT, ARJUN	NEW PERSPECTIVE FUND INC CL A	0.00	10.02	100.0	0.00	10.02	10.02
12/01/2017	#00088028855	PATEL, YAGNESH	NEW PERSPECTIVE FUND INC CL A	0.00	6.12	100.0	0.00	6.12	6.12
12/01/2017	#00063740421	ORTOLI, COLLEEN	NEW PERSPECTIVE FUND INC CL A	0.00	8.93	100.0	0.00	8.93	8.93
12/01/2017	#00063740422	ORTOLI, COLLEEN	NEW PERSPECTIVE FUND INC CL A	0.00	1.12	100.0	0.00	1.12	1.12
12/01/2017	#00067123727	SPECHT, RANDALL	NEW PERSPECTIVE FUND INC CL A	0.00	18.73	100.0	0.00	18.73	18.73
12/01/2017	#00067109799	FORESMAN,	NEW PERSPECTIVE FUND INC CL A	0.00	1.21	100.0	0.00	1.21	1.21
12/01/2017	#00088004691		NEW PERSPECTIVE FUND INC CL A	0.00	1.05	100.0	0.00	1.05	1.05
12/01/2017	#00085886363	GARCIA, JUAN	NEW PERSPECTIVE FUND INC CL A	0.00	3.83	100.0	0.00	3.83	3.83
12/01/2017	#00084301400	SHEPPARD, JIMMY	NEW PERSPECTIVE FUND INC CL A	0.00	34.78	100.0	0.00	34.78	34.78
12/01/2017	#00081518553	HUMPHREYS, TORI	NEW PERSPECTIVE FUND INC CL A	0.00	2.82	100.0	0.00	2.82	2.82
12/01/2017	#00087424427	SINGH, ARJUN	NEW PERSPECTIVE FUND-529A	0.00	2.00	100.0	0.00	2.00	2.00
12/01/2017	#00087424448	SINGH, ARJUN	NEW PERSPECTIVE FUND-529A	0.00	3.89	100.0	0.00	3.89	3.89
12/01/2017	#00087424456	SINGH, ARJUN	NEW PERSPECTIVE FUND-529A	0.00	7.68	100.0	0.00	7.68	7.68
12/01/2017	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	0.00	0.41	100.0	0.00	0.41	0.41
12/01/2017	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	0.00	0.41	100.0	0.00	0.41	0.41
12/01/2017	#00073923483	CHRISP, JARED	NEW PERSPECTIVE FUND-529A	0.00	5.47	100.0	0.00	5.47	5.47
12/01/2017	#00082911748	DONNELLY, RONAN	NEW PERSPECTIVE FUND-529A	0.00	0.27	100.0	0.00	0.27	0.27
12/01/2017	#00074023647	WENTZ, KELLY	NEW WORLD FUND INC NEW	0.00	6.50	100.0	0.00	6.50	6.50
12/01/2017	#00073644307	LOGAN, SANDRA	NEW WORLD FUND INC NEW	0.00	7.72	100.0	0.00	7.72	7.72
12/01/2017	#00085886363	GARCIA, JUAN	NEW WORLD FUND INC NEW	0.00	2.31	100.0	0.00	2.31	2.31

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00085384932	MARTINO, JOSEPH	NEW WORLD FUND INC NEW	0.00	76.28	100.0	0.00	76.28	76.28
12/01/2017	#00082530869	BUCCINO, MARY	NEW WORLD FUND INC NEW	0.00	1.11	100.0	0.00	1.11	1.11
12/01/2017	#00084301400	SHEPPARD, JIMMY	NEW WORLD FUND INC NEW	0.00	27.32	100.0	0.00	27.32	27.32
12/01/2017	#00068440916	MOUKARZEL, ANNA	NEW WORLD FUND-529A	0.00	5.16	100.0	0.00	5.16	5.16
12/01/2017	#00068440918	MOUKARZEL, ANNA	NEW WORLD FUND-529A	0.00	5.16	100.0	0.00	5.16	5.16
12/01/2017	#00083902726	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	2.04	100.0	0.00	2.04	2.04
12/01/2017	#00083902768	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	2.04	100.0	0.00	2.04	2.04
12/01/2017	#00083902778	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	3.23	100.0	0.00	3.23	3.23
12/01/2017	#00082911748	DONNELLY, RONAN	NEW WORLD FUND-529A	0.00	0.20	100.0	0.00	0.20	0.20
12/01/2017	#00075479261	HAMMANG, THOMAS	SMALLCAP WORLD FUND INC CL C	0.00	35.25	100.0	0.00	35.25	35.25
12/01/2017	#00082883581	PATEL, MILDRED	SMALLCAP WORLD FUND INC CLASS A	0.00	2.37	100.0	0.00	2.37	2.37
12/01/2017	#00085498364	HADDAD, NASSIM	SMALLCAP WORLD FUND INC CLASS A	0.00	2.12	100.0	0.00	2.12	2.12
12/01/2017	#00075351853	DUNCANSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	43.08	100.0	0.00	43.08	43.08
12/01/2017	#00063755323	EASTON, KENT	SMALLCAP WORLD FUND INC CLASS A	0.00	1.85	100.0	0.00	1.85	1.85
12/01/2017	#00067109799	FOESMAN,	SMALLCAP WORLD FUND INC CLASS A	0.00	0.71	100.0	0.00	0.71	0.71
12/01/2017	#00064344320	UNTIL AGE 21,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.66	100.0	0.00	1.66	1.66
12/01/2017	#00064344321	SCHORLE,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.66	100.0	0.00	1.66	1.66
12/01/2017	#00085366483	ELLEGAARD, ERIC	SMALLCAP WORLD FUND INC CLASS A	0.00	1.59	100.0	0.00	1.59	1.59
12/01/2017	#00081177003	DUNCANSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	28.76	100.0	0.00	28.76	28.76
12/01/2017	#00082421253	UNTIL AGE 18,	SMALLCAP WORLD FUND INC CLASS A	0.00	0.37	100.0	0.00	0.37	0.37
12/01/2017	#00073923483	CHRISP, JARED	SMALLCAP WORLD FUND-529A	0.00	0.46	100.0	0.00	0.46	0.46
12/01/2017	#00085961395	SAENZ, BRANDON	SMALLCAP WORLD FUND-529C	0.00	9.02	100.0	0.00	9.02	9.02
12/01/2017	#00068168935	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	0.00	15.36	100.0	0.00	15.36	15.36
12/01/2017	#00068168936	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	0.00	15.34	100.0	0.00	15.34	15.34
12/01/2017	#00068440916	MOUKARZEL, ANNA	THE GROWTH FUND OF AMERICA-529A	0.00	7.04	100.0	0.00	7.04	7.04
12/01/2017	#00068440918	MOUKARZEL, ANNA	THE GROWTH FUND OF AMERICA-529A	0.00	5.88	100.0	0.00	5.88	5.88
12/01/2017	#00083636886	KROOP, AIDEN	THE GROWTH FUND OF AMERICA-529A	0.00	1.83	100.0	0.00	1.83	1.83
12/01/2017	#00083636892	KROOP, AIDEN	THE GROWTH FUND OF AMERICA-529A	0.00	1.83	100.0	0.00	1.83	1.83
12/01/2017	#00084075231	JOE, DAVID	THE GROWTH FUND OF AMERICA-529A	0.00	5.60	100.0	0.00	5.60	5.60
12/01/2017	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	0.00	0.31	100.0	0.00	0.31	0.31
12/01/2017	#00074709359	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	9.21	100.0	0.00	9.21	9.21
12/01/2017	#00074709423	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	11.21	100.0	0.00	11.21	11.21
12/01/2017	#00074710614	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	11.88	100.0	0.00	11.88	11.88
12/01/2017	#00074567363	EHRlich,	THE GROWTH FUND OF AMERICA-529A	0.00	15.86	100.0	0.00	15.86	15.86
12/01/2017	#00087012194	JACKETTA,	THE GROWTH FUND OF AMERICA-529A	0.00	4.30	100.0	0.00	4.30	4.30
12/01/2017	#00085961395	SAENZ, BRANDON	THE GROWTH FUND OF AMERICA-529C	0.00	50.59	100.0	0.00	50.59	50.59

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	#00075682479	VARDHAN, VISHNU	THE INCOME FUND OF AMERICA-529A	0.00	3.63	100.0	0.00	3.63	0.00	3.63
12/01/2017	#00084075231	JOE, DAVID	THE INCOME FUND OF AMERICA-529A	0.00	4.26	100.0	0.00	4.26	0.00	4.26
12/01/2017	#00087424427	SINGH, ARJUN	THE INCOME FUND OF AMERICA-529A	0.00	1.77	100.0	0.00	1.77	0.00	1.77
12/01/2017	#00087424448	SINGH, ARJUN	THE INCOME FUND OF AMERICA-529A	0.00	3.43	100.0	0.00	3.43	0.00	3.43
12/01/2017	#00087424456	SINGH, ARJUN	THE INCOME FUND OF AMERICA-529A	0.00	6.77	100.0	0.00	6.77	0.00	6.77
12/01/2017	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	0.00	0.38	100.0	0.00	0.38	0.00	0.38
12/01/2017	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	0.00	0.38	100.0	0.00	0.38	0.00	0.38
12/01/2017	#00074709359	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	7.45	100.0	0.00	7.45	0.00	7.45
12/01/2017	#00074709423	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	9.04	100.0	0.00	9.04	0.00	9.04
12/01/2017	#00074710614	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	9.57	100.0	0.00	9.57	0.00	9.57
12/01/2017	#00082911748	DONNELLY, RONAN	THE INCOME FUND OF AMERICA-529A	0.00	0.17	100.0	0.00	0.17	0.00	0.17
12/01/2017	#00068440916	MOUKARZEL, ANNA	THE NEW ECONOMY FUND-529A	0.00	7.71	100.0	0.00	7.71	0.00	7.71
12/01/2017	#00068440918	MOUKARZEL, ANNA	THE NEW ECONOMY FUND-529A	0.00	7.71	100.0	0.00	7.71	0.00	7.71
12/01/2017	#00068782693	MANGUBAT,	THE NEW ECONOMY FUND-529A	0.00	73.40	100.0	0.00	73.40	0.00	73.40
12/01/2017	#00068782695	MANGUBAT,	THE NEW ECONOMY FUND-529A	0.00	36.96	100.0	0.00	36.96	0.00	36.96
12/01/2017	#00087424427	SINGH, ARJUN	THE NEW ECONOMY FUND-529A	0.00	2.20	100.0	0.00	2.20	0.00	2.20
12/01/2017	#00087424448	SINGH, ARJUN	THE NEW ECONOMY FUND-529A	0.00	4.30	100.0	0.00	4.30	0.00	4.30
12/01/2017	#00087424456	SINGH, ARJUN	THE NEW ECONOMY FUND-529A	0.00	8.48	100.0	0.00	8.48	0.00	8.48
12/01/2017	#00085961395	SAENZ, BRANDON	THE NEW ECONOMY FUND-529C	0.00	0.26	100.0	0.00	0.26	0.00	0.26
12/01/2017	#00068782693	MANGUBAT,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	64.54	100.0	0.00	64.54	0.00	64.54
12/01/2017	#00068782695	MANGUBAT,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	29.88	100.0	0.00	29.88	0.00	29.88
12/01/2017	#00087424427	SINGH, ARJUN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.06	100.0	0.00	2.06	0.00	2.06
12/01/2017	#00087424448	SINGH, ARJUN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	3.98	100.0	0.00	3.98	0.00	3.98
12/01/2017	#00087424456	SINGH, ARJUN	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	7.84	100.0	0.00	7.84	0.00	7.84
12/01/2017	#00085961395	SAENZ, BRANDON	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	45.55	100.0	0.00	45.55	0.00	45.55
12/01/2017	#00074567363	EHRlich,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	27.71	100.0	0.00	27.71	0.00	27.71
12/01/2017	#00081901404	GUTRIDGE, MYKA	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.21	100.0	0.00	2.21	0.00	2.21
12/01/2017	#00081904320	GUTRIDGE, MYKA	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	1.41	100.0	0.00	1.41	0.00	1.41
12/01/2017	#00067123725	SPECHT,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	6.10	100.0	0.00	6.10	0.00	6.10
12/01/2017	#00069124801	LASIERRA DEL	WASHINGTON MUTUAL INVS FD CLASS A	0.00	30.99	100.0	0.00	30.99	0.00	30.99
12/01/2017	#00074023647	WENTZ, KELLY	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.36	100.0	0.00	0.36	0.00	0.36
12/01/2017	#00067049717	SPECHT, RANDALL	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.52	100.0	0.00	2.52	0.00	2.52
12/01/2017	#00067123727	SPECHT, RANDALL	WASHINGTON MUTUAL INVS FD CLASS A	0.00	17.03	100.0	0.00	17.03	0.00	17.03
12/01/2017	#00073326787	STEVENS, EVELYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
12/01/2017	#00074105405	KUBIK, ROBERT	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.68	100.0	0.00	4.68	0.00	4.68
12/01/2017	#00074105500	BELLO, FERNANDO	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.92	100.0	0.00	4.92	0.00	4.92

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00074800445 EYRE, CYNTHIA	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.45	100.0	0.00	4.45	0.00	4.45
12/01/2017	#00075351853 DUNCANSON,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	41.68	100.0	0.00	41.68	0.00	41.68
12/01/2017	#00062669982 RADO, JENNIFER	WASHINGTON MUTUAL INVS FD CLASS A	0.00	22.38	100.0	0.00	22.38	0.00	22.38
12/01/2017	#00064989411 CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.30	100.0	0.00	11.30	0.00	11.30
12/01/2017	#00075554876 KOONCE, JANICE	WASHINGTON MUTUAL INVS FD CLASS A	0.00	7.97	100.0	0.00	7.97	0.00	7.97
12/01/2017	#00063623058 CHRISP/AUTH	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.87	100.0	0.00	4.87	0.00	4.87
12/01/2017	#00064681035 FORD/AUTH PER,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.26	100.0	0.00	5.26	0.00	5.26
12/01/2017	#00067109799 FORESMAN,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.92	100.0	0.00	1.92	0.00	1.92
12/01/2017	#00067480263 FORD/AUTH PER,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.84	100.0	0.00	3.84	0.00	3.84
12/01/2017	#00056527279 GERDING, MARY	WASHINGTON MUTUAL INVS FD CLASS A	0.00	36.15	100.0	0.00	36.15	0.00	36.15
12/01/2017	#00085366483 ELLEGAARD, ERIC	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
12/01/2017	#00081128279 OBLEAS, ALEX	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.97	100.0	0.00	1.97	0.00	1.97
12/01/2017	#00081177003 DUNCANSON,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	19.23	100.0	0.00	19.23	0.00	19.23
12/01/2017	#00082421253 UNTIL AGE 18,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.80	100.0	0.00	0.80	0.00	0.80
12/06/2017	#VR07036123 JONES, ALLEN	PL	0.00	45.71	100.0	0.00	45.71	0.00	45.71
12/07/2017	#VM15005623	69448B191	0.00	19.21	100.0	0.00	19.21	0.00	19.21
12/08/2017	# SHARMA, MADHU	DAIN TRAILER	0.00	4.91	100.0	0.00	4.91	0.00	4.91
12/08/2017	# LAKEY, KEVIN	DAIN TRAILER	0.00	1.30	100.0	0.00	1.30	0.00	1.30
12/11/2017	# MARTIN, JILL	DAIN TRAILER	0.00	4.21	100.0	0.00	4.21	0.00	4.21
12/11/2017	# MARTIN, JILL	DAIN TRAILER	0.00	0.34	100.0	0.00	0.34	0.00	0.34
12/11/2017	# MARTIN, JILL	DAIN TRAILER	0.00	1.45	100.0	0.00	1.45	0.00	1.45
12/11/2017	# SARGENT, DEBRA	DAIN TRAILER	0.00	2.52	100.0	0.00	2.52	0.00	2.52
12/11/2017	# KELLY, RICHARD	DAIN TRAILER	0.00	5.32	100.0	0.00	5.32	0.00	5.32
12/11/2017	# KELLY, RICHARD	DAIN TRAILER	0.00	2.95	100.0	0.00	2.95	0.00	2.95
12/11/2017	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.39	100.0	0.00	0.39	0.00	0.39
12/11/2017	# AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53
12/11/2017	# RUTTER, DAVID	DAIN TRAILER	0.00	0.94	100.0	0.00	0.94	0.00	0.94
12/11/2017	# SALES, JOSHUA	DAIN TRAILER	0.00	3.74	100.0	0.00	3.74	0.00	3.74
12/11/2017	# SALES, JOSHUA	DAIN TRAILER	0.00	0.61	100.0	0.00	0.61	0.00	0.61
12/11/2017	# SALES, JOSHUA	DAIN TRAILER	0.00	3.15	100.0	0.00	3.15	0.00	3.15
12/11/2017	# SALES, JOSHUA	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
12/11/2017	# SALES, JOSHUA	DAIN TRAILER	0.00	19.40	100.0	0.00	19.40	0.00	19.40
12/11/2017	# SALES, JOSHUA	DAIN TRAILER	0.00	2.95	100.0	0.00	2.95	0.00	2.95
12/11/2017	# SALES, MIRIAM	DAIN TRAILER	0.00	3.74	100.0	0.00	3.74	0.00	3.74
12/11/2017	# SALES, MIRIAM	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
12/11/2017	# SCOTT, JIM	DAIN TRAILER	0.00	12.57	100.0	0.00	12.57	0.00	12.57

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.14	100.0	0.00	2.14	0.00	2.14
12/11/2017	# THORPE, JANE	DAIN TRAILER	0.00	1.37	100.0	0.00	1.37	0.00	1.37
12/11/2017	# KELLY, RICHARD	DAIN TRAILER	0.00	4.36	100.0	0.00	4.36	0.00	4.36
12/11/2017	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.10	100.0	0.00	1.10	0.00	1.10
12/11/2017	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.16	100.0	0.00	2.16	0.00	2.16
12/11/2017	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	26.86	100.0	0.00	26.86	0.00	26.86
12/11/2017	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.38	100.0	0.00	1.38	0.00	1.38
12/11/2017	# ARASE, ANNABEL	DAIN TRAILER	0.00	0.78	100.0	0.00	0.78	0.00	0.78
12/11/2017	# MORRILL, DONALD	DAIN TRAILER	0.00	1.41	100.0	0.00	1.41	0.00	1.41
12/11/2017	# MORRILL, DONALD	DAIN TRAILER	0.00	1.24	100.0	0.00	1.24	0.00	1.24
12/11/2017	# MORRILL, DONALD	DAIN TRAILER	0.00	1.78	100.0	0.00	1.78	0.00	1.78
12/11/2017	# MORRILL, DONALD	DAIN TRAILER	0.00	1.88	100.0	0.00	1.88	0.00	1.88
12/11/2017	# MORRILL, DONALD	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
12/11/2017	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.92	100.0	0.00	0.92	0.00	0.92
12/11/2017	# VANDEVANter, SARAH	DAIN TRAILER	0.00	7.00	100.0	0.00	7.00	0.00	7.00
12/11/2017	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.73	100.0	0.00	0.73	0.00	0.73
12/11/2017	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.50	100.0	0.00	0.50	0.00	0.50
12/11/2017	# HERZICK, JERRY	DAIN TRAILER	0.00	4.06	100.0	0.00	4.06	0.00	4.06
12/11/2017	# HERZICK, JERRY	DAIN TRAILER	0.00	18.84	100.0	0.00	18.84	0.00	18.84
12/11/2017	# MAYER, LEOTA	DAIN TRAILER	0.00	12.17	100.0	0.00	12.17	0.00	12.17
12/11/2017	# CASTRO, NANCY	DAIN TRAILER	0.00	7.11	100.0	0.00	7.11	0.00	7.11
12/11/2017	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	3.70	100.0	0.00	3.70	0.00	3.70
12/11/2017	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	8.80	100.0	0.00	8.80	0.00	8.80
12/11/2017	# MCGARRAH, DENNIS	DAIN TRAILER	0.00	1.42	100.0	0.00	1.42	0.00	1.42
12/11/2017	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.46	100.0	0.00	0.46	0.00	0.46
12/11/2017	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.04	100.0	0.00	1.04	0.00	1.04
12/11/2017	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.71	100.0	0.00	0.71	0.00	0.71
12/11/2017	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.00	100.0	0.00	1.00	0.00	1.00
12/11/2017	# HORTON, KATHY	DAIN TRAILER	0.00	23.03	100.0	0.00	23.03	0.00	23.03
12/11/2017	# ALVES, ELMIRA	DAIN TRAILER	0.00	7.63	100.0	0.00	7.63	0.00	7.63
12/11/2017	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.34	100.0	0.00	0.34	0.00	0.34
12/11/2017	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.85	100.0	0.00	0.85	0.00	0.85
12/11/2017	# BOWLEY, KAREN	DAIN TRAILER	0.00	2.38	100.0	0.00	2.38	0.00	2.38
12/11/2017	# SARGENT, HARRY	DAIN TRAILER	0.00	9.39	100.0	0.00	9.39	0.00	9.39
12/11/2017	# MARTIN, JILL	DAIN TRAILER	0.00	4.94	100.0	0.00	4.94	0.00	4.94
12/11/2017	# MARTIN, JILL	DAIN TRAILER	0.00	6.20	100.0	0.00	6.20	0.00	6.20

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# BRYANT, MORGAN	DAIN TRAILER	0.00	4.63	100.0	0.00	4.63	0.00	4.63
12/11/2017	# GALLAGHER, JAMES	DAIN TRAILER	0.00	5.00	100.0	0.00	5.00	0.00	5.00
12/11/2017	# HENNING, JERRY	DAIN TRAILER	0.00	4.86	100.0	0.00	4.86	0.00	4.86
12/11/2017	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.62	100.0	0.00	2.62	0.00	2.62
12/11/2017	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.09	100.0	0.00	2.09	0.00	2.09
12/11/2017	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.29	100.0	0.00	2.29	0.00	2.29
12/11/2017	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.71	100.0	0.00	1.71	0.00	1.71
12/11/2017	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.59	100.0	0.00	1.59	0.00	1.59
12/11/2017	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.61	100.0	0.00	1.61	0.00	1.61
12/11/2017	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.66	100.0	0.00	0.66	0.00	0.66
12/11/2017	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.05	100.0	0.00	1.05	0.00	1.05
12/11/2017	# BRICKNER, DIANA	DAIN TRAILER	0.00	4.99	100.0	0.00	4.99	0.00	4.99
12/11/2017	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.35	100.0	0.00	0.35	0.00	0.35
12/11/2017	# WHITE, SHELBY	DAIN TRAILER	0.00	10.89	100.0	0.00	10.89	0.00	10.89
12/11/2017	# WHITE, SHELBY	DAIN TRAILER	0.00	3.05	100.0	0.00	3.05	0.00	3.05
12/11/2017	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.54	100.0	0.00	0.54	0.00	0.54
12/11/2017	# WILLIS, BARBARA	DAIN TRAILER	0.00	1.66	100.0	0.00	1.66	0.00	1.66
12/11/2017	# JACOBS, KARL	DAIN TRAILER	0.00	1.43	100.0	0.00	1.43	0.00	1.43
12/11/2017	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.41	100.0	0.00	2.41	0.00	2.41
12/11/2017	# MEDALEN, TERRY	DAIN TRAILER	0.00	2.51	100.0	0.00	2.51	0.00	2.51
12/11/2017	# MARTINO, JOSEPH	DAIN TRAILER	0.00	2.38	100.0	0.00	2.38	0.00	2.38
12/11/2017	# MARTINO, JOSEPH	DAIN TRAILER	0.00	25.45	100.0	0.00	25.45	0.00	25.45
12/11/2017	# MARTINO, JOSEPH	DAIN TRAILER	0.00	71.74	100.0	0.00	71.74	0.00	71.74
12/11/2017	# MARTINO, JOSEPH	DAIN TRAILER	0.00	51.68	100.0	0.00	51.68	0.00	51.68
12/11/2017	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.49	100.0	0.00	0.49	0.00	0.49
12/11/2017	# BECKLES, LIONEL	DAIN TRAILER	0.00	2.46	100.0	0.00	2.46	0.00	2.46
12/11/2017	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.26	100.0	0.00	0.26	0.00	0.26
12/11/2017	# MORRIS, JOHN	DAIN TRAILER	0.00	1.34	100.0	0.00	1.34	0.00	1.34
12/11/2017	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
12/11/2017	# BOCK, MARION	DAIN TRAILER	0.00	1.08	100.0	0.00	1.08	0.00	1.08
12/11/2017	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.69	100.0	0.00	3.69	0.00	3.69
12/11/2017	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.56	100.0	0.00	3.56	0.00	3.56
12/11/2017	# HAVENER, RANDAL	DAIN TRAILER	0.00	5.01	100.0	0.00	5.01	0.00	5.01
12/11/2017	# MYERS, STANLEY	DAIN TRAILER	0.00	13.51	100.0	0.00	13.51	0.00	13.51
12/11/2017	# MYERS, STANLEY	DAIN TRAILER	0.00	37.57	100.0	0.00	37.57	0.00	37.57
12/11/2017	# MYERS, STANLEY	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# BOHANAN, LINDA	DAIN TRAILER	0.00	4.22	100.0	0.00	4.22	0.00	4.22
12/11/2017	# SMITH, MARGARET	DAIN TRAILER	0.00	3.57	100.0	0.00	3.57	0.00	3.57
12/11/2017	# RUFFNER, LARRY	DAIN TRAILER	0.00	10.97	100.0	0.00	10.97	0.00	10.97
12/11/2017	# RUFFNER, LARRY	DAIN TRAILER	0.00	13.33	100.0	0.00	13.33	0.00	13.33
12/11/2017	# RUFFNER, LARRY	DAIN TRAILER	0.00	2.74	100.0	0.00	2.74	0.00	2.74
12/11/2017	# RUFFNER, LARRY	DAIN TRAILER	0.00	7.18	100.0	0.00	7.18	0.00	7.18
12/11/2017	# RUFFNER, LARRY	DAIN TRAILER	0.00	6.49	100.0	0.00	6.49	0.00	6.49
12/11/2017	# JARVIS, RACHEL	DAIN TRAILER	0.00	6.49	100.0	0.00	6.49	0.00	6.49
12/11/2017	# STOUT, RHONDA	DAIN TRAILER	0.00	2.13	100.0	0.00	2.13	0.00	2.13
12/11/2017	# STOUT, RHONDA	DAIN TRAILER	0.00	6.69	100.0	0.00	6.69	0.00	6.69
12/11/2017	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.46	100.0	0.00	3.46	0.00	3.46
12/11/2017	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.55	100.0	0.00	3.55	0.00	3.55
12/11/2017	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
12/11/2017	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.69	100.0	0.00	0.69	0.00	0.69
12/11/2017	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.80	100.0	0.00	0.80	0.00	0.80
12/12/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
12/12/2017	#VR01011472 TRUDELL, CAROLYN	PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
12/14/2017	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.58	100.0	0.00	0.58	0.00	0.58
12/14/2017	#00002132507 VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	6.40	100.0	0.00	6.40	0.00	6.40
12/14/2017	#00026023772 VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.51	100.0	0.00	3.51	0.00	3.51
12/21/2017	# WILLARD SYLVAN GROVE	DAIN TRAILER	0.00	22.80	100.0	0.00	22.80	0.00	22.80
12/21/2017	# JENSEN, MARGHERITA	DAIN TRAILER	0.00	4.79	100.0	0.00	4.79	0.00	4.79
12/22/2017	# SHARMA, MADHU	DAIN TRAILER	0.00	1.25	100.0	0.00	1.25	0.00	1.25
12/27/2017	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
01/01/2018	#00818790926 ORTOLI, COLLEEN	MASSACHUSETTS INVESTORS GROWTH STOC	0.00	6.35	100.0	0.00	6.35	0.00	6.35
01/01/2018	#08188423131 ELLEGAARD	MASSACHUSETTS INVESTORS TRUST-CL A	0.00	13.04	100.0	0.00	13.04	0.00	13.04
01/01/2018	#08188363436 GOETTSCHKE,	MFS CORE EQUITY FD CL A	0.00	5.17	100.0	0.00	5.17	0.00	5.17
01/01/2018	#00060460856 WILLIAMS,	MFS EMERGING MARKETS EQUITY CL A	0.00	11.64	100.0	0.00	11.64	0.00	11.64
01/01/2018	#08191201332 WILLIS, MELANIE	MFS EMERGING MARKETS EQUITY CL A	0.00	0.10	100.0	0.00	0.10	0.00	0.10
01/01/2018	#08185496308 HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	0.00	20.30	100.0	0.00	20.30	0.00	20.30
01/01/2018	#00060364285 ASSOCIATES SEP	MFS GROWTH FUND CL A	0.00	6.87	100.0	0.00	6.87	0.00	6.87
01/01/2018	#00818790927 ORTOLI, UMBERTO	MFS GROWTH FUND CL A	0.00	5.69	100.0	0.00	5.69	0.00	5.69
01/01/2018	#08185496304 HOLLE, RAYMOND	MFS GROWTH FUND CL A	0.00	29.66	100.0	0.00	29.66	0.00	29.66
01/01/2018	#00000951952 LANG, MARTHA	MFS MID CAP GROWTH FUND-A	0.00	2.21	100.0	0.00	2.21	0.00	2.21
01/01/2018	#08188363432 GOETTSCHKE,	MFS MID CAP GROWTH FUND-A	0.00	4.04	100.0	0.00	4.04	0.00	4.04
01/01/2018	#08191201332 WILLIS, MELANIE	MFS MID CAP VALUE FUND-A	0.00	0.48	100.0	0.00	0.48	0.00	0.48



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018	#00060118943	MATSON, JAMES	MFS MUNICIPAL INCOME FUND CL A	0.00	12.64	100.0	0.00	12.64	12.64
01/01/2018	#08188215322	PRECISION SEP	MFS NEW DISCOVERY FUND-A	0.00	2.15	100.0	0.00	2.15	2.15
01/01/2018	#08188363428	GOETTSCHKE,	MFS NEW DISCOVERY FUND-A	0.00	5.33	100.0	0.00	5.33	5.33
01/01/2018	#00060225535	STILEKRISL	MFS RESEARCH FUND-CL A	0.00	69.81	100.0	0.00	69.81	69.81
01/01/2018	#00060273077	MARCH, KATHLEEN	MFS RESEARCH FUND-CL A	0.00	13.02	100.0	0.00	13.02	13.02
01/01/2018	#00060459741	WILLIAMS,	MFS RESEARCH FUND-CL A	0.00	15.96	100.0	0.00	15.96	15.96
01/01/2018	#08188363424	GOETTSCHKE,	MFS RESEARCH INTERNATIONAL FUND-A	0.00	4.06	100.0	0.00	4.06	4.06
01/01/2018	#00060273786	MARCH, KATHLEEN	MFS TOTAL RETURN FUND-A	0.00	2.27	100.0	0.00	2.27	2.27
01/01/2018	#00060274030	MARCH, KATHLEEN	MFS TOTAL RETURN FUND-A	0.00	13.58	100.0	0.00	13.58	13.58
01/01/2018	#00060305487	SCHMITT, ROGER	MFS TOTAL RETURN FUND-A	0.00	40.97	100.0	0.00	40.97	40.97
01/01/2018	#00060612816	PLUMBING	MFS TOTAL RETURN FUND-A	0.00	5.69	100.0	0.00	5.69	5.69
01/01/2018	#00090147148	WILLIAMS,	MFS TOTAL RETURN FUND-A	0.00	0.15	100.0	0.00	0.15	0.15
01/01/2018	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	0.00	21.38	100.0	0.00	21.38	21.38
01/01/2018	#00060225535	STILEKRISL	MFS UTILITIES FUND-CL A	0.00	29.73	100.0	0.00	29.73	29.73
01/01/2018	#00060460370	WILLIAMS,	MFS UTILITIES FUND-CL A	0.00	18.73	100.0	0.00	18.73	18.73
01/01/2018	#00090051384	UGMA	MFS UTILITIES FUND-CL A	0.00	1.11	100.0	0.00	1.11	1.11
01/01/2018	#00090051391	FL, UTMA	MFS UTILITIES FUND-CL A	0.00	0.26	100.0	0.00	0.26	0.26
01/01/2018	#08191201332	WILLIS, MELANIE	MFS UTILITIES FUND-CL A	0.00	0.30	100.0	0.00	0.30	0.30
01/01/2018	#00060274220	MARCH, KATHLEEN	MFS VALUE FUND A	0.00	19.35	100.0	0.00	19.35	19.35
01/01/2018	#00060613023	PLUMBING	MFS VALUE FUND A	0.00	5.95	100.0	0.00	5.95	5.95
01/02/2018	#00551274736	PLAN	PUT RETIREMENTREADY 2030-A	0.00	0.20	100.0	0.00	0.20	0.20
01/02/2018	#00551274741	PLAN	PUT RETIREMENTREADY 2030-A	0.00	0.20	100.0	0.00	0.20	0.20
01/02/2018	#00471839998	GREENE, IRENE	PUTNAM CALIFORNIA TAX EXEMPT INCOME	0.00	25.17	100.0	0.00	25.17	25.17
01/02/2018	#00551234991	GOBELI, NATHAN	PUTNAM EMERGING MKTS EQ FUND-A	0.00	0.53	100.0	0.00	0.53	0.53
01/02/2018	#00551274736	PLAN	PUTNAM FDS TR ABSOLUTE RETURN 500 F	0.00	0.15	100.0	0.00	0.15	0.15
01/02/2018	#00551274741	PLAN	PUTNAM FDS TR ABSOLUTE RETURN 500 F	0.00	0.15	100.0	0.00	0.15	0.15
01/02/2018	#00551234991	GOBELI, NATHAN	PUTNAM GLOBAL NATURAL RESOURCES FUN	0.00	0.37	100.0	0.00	0.37	0.37
01/02/2018	#00551234991	GOBELI, NATHAN	PUTNAM GLOBAL TELECOMM FUND-A	0.00	0.90	100.0	0.00	0.90	0.90
01/02/2018	#00414619550	PLAN ERBS	PUTNAM MULTI CAP GROWTH FUND CL A	0.00	8.78	100.0	0.00	8.78	8.78
01/02/2018	#00494024069	PLAN ERBS	PUTNAM MULTI CAP GROWTH FUND CL A	0.00	1.78	100.0	0.00	1.78	1.78
01/02/2018	#00551234991	GOBELI, NATHAN	PUTNAM MULTI-CAP VALUE FUND-A	0.00	0.96	100.0	0.00	0.96	0.96
01/08/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME-CL A	0.00	25.20	100.0	0.00	25.20	25.20
01/08/2018	#00700876071	VARIOUS HOUSE	FA 529 COLLEGE PORT-CL D	0.00	2.06	100.0	0.00	2.06	2.06
01/08/2018	#00511602831	VARIOUS HOUSE	FA ADVISOR SHORT TERM BOND FUND	0.00	4.94	100.0	0.00	4.94	4.94
01/08/2018	#01468970379	VARIOUS HOUSE	FA MUNI INCOME A	0.00	26.70	100.0	0.00	26.70	26.70
01/08/2018	#01468970379	VARIOUS HOUSE	FA MUNI INCOME C	0.00	86.63	100.0	0.00	86.63	86.63

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/08/2018	#01468970379	VARIOUS HOUSE	FA REAL ESTATE INCOME FUND	0.00	94.30	100.0	0.00	94.30	94.30
01/08/2018	#00500331723	VARIOUS HOUSE	FA SHORT TERM BOND C	0.00	1.34	100.0	0.00	1.34	1.34
01/08/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV BALANCED-CL T	0.00	0.48	100.0	0.00	0.48	0.48
01/08/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.88	100.0	0.00	0.88	0.88
01/08/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.20	100.0	0.00	0.20	0.20
01/08/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.85	100.0	0.00	0.85	0.85
01/08/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.77	100.0	0.00	0.77	0.77
01/08/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	0.00	12.53	100.0	0.00	12.53	12.53
01/08/2018	#00689505493	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	18.38	100.0	0.00	18.38	18.38
01/08/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.46	100.0	0.00	0.46	0.46
01/08/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.52	100.0	0.00	0.52	0.52
01/08/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.45	100.0	0.00	3.45	3.45
01/08/2018	#00006803894	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	26.31	100.0	0.00	26.31	26.31
01/08/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.11
01/08/2018	#00689697233	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.20	100.0	0.00	1.20	1.20
01/08/2018	#00689698223	LORD, SUSAN	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.22	100.0	0.00	1.22	1.22
01/08/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL T	0.00	2.41	100.0	0.00	2.41	2.41
01/08/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	0.00	17.69	100.0	0.00	17.69	17.69
01/08/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.35	100.0	0.00	0.35	0.35
01/08/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.35	100.0	0.00	0.35	0.35
01/08/2018	#00689698223	LORD, SUSAN	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.07	100.0	0.00	0.07	0.07
01/08/2018	#00689848828		FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.16	100.0	0.00	0.16	0.16
01/08/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.31	100.0	0.00	0.31	0.31
01/08/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.15	100.0	0.00	0.15	0.15
01/08/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV GOLD-CL A	0.00	2.41	100.0	0.00	2.41	2.41
01/08/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.12	100.0	0.00	0.12	0.12
01/08/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.28	100.0	0.00	0.28	0.28
01/08/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.25	100.0	0.00	0.25	0.25
01/08/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.39	100.0	0.00	2.39	2.39
01/08/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.13	100.0	0.00	0.13	0.13
01/08/2018	#00065192643	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.43	100.0	0.00	1.43	1.43
01/08/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.39	100.0	0.00	1.39	1.39
01/08/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.21	100.0	0.00	0.21	0.21
01/08/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.06	100.0	0.00	0.06	0.06
01/08/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.13	100.0	0.00	0.13	0.13
01/08/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.71	100.0	0.00	1.71	1.71

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/08/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.71	100.0	0.00	1.71	0.00	1.71
01/08/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	3.16	100.0	0.00	3.16	0.00	3.16
01/08/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.56	100.0	0.00	0.56	0.00	0.56
01/08/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.55	100.0	0.00	0.55	0.00	0.55
01/08/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.16	100.0	0.00	0.16	0.00	0.16
01/08/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.33	100.0	0.00	0.33	0.00	0.33
01/08/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.99	100.0	0.00	0.99	0.00	0.99
01/08/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	85.31	100.0	0.00	85.31	0.00	85.31
01/08/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.30	100.0	0.00	1.30	0.00	1.30
01/08/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.20	100.0	0.00	0.20	0.00	0.20
01/08/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.13	100.0	0.00	1.13	0.00	1.13
01/08/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.48	100.0	0.00	0.48	0.00	0.48
01/08/2018	#00062778436	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL A	0.00	4.18	100.0	0.00	4.18	0.00	4.18
01/08/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL T	0.00	0.54	100.0	0.00	0.54	0.00	0.54
01/08/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.71	100.0	0.00	1.71	0.00	1.71
01/08/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.43	100.0	0.00	0.43	0.00	0.43
01/08/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.43	100.0	0.00	0.43	0.00	0.43
01/08/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
01/08/2018	#00618264410	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	2.05	100.0	0.00	2.05	0.00	2.05
01/08/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV MID CAP-CL A	0.00	8.99	100.0	0.00	8.99	0.00	8.99
01/08/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.63	100.0	0.00	0.63	0.00	0.63
01/08/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
01/08/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	19.11	100.0	0.00	19.11	0.00	19.11
01/08/2018	#01487227504	TRUST	FIDELITY ADV MID CAP-CL T	0.00	2.77	100.0	0.00	2.77	0.00	2.77
01/08/2018	#01487228155	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	0.06	100.0	0.00	0.06	0.00	0.06
01/08/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV OVERSEAS-CL A	0.00	0.30	100.0	0.00	0.30	0.00	0.30
01/08/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	89.18	100.0	0.00	89.18	0.00	89.18
01/08/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	1.36	100.0	0.00	1.36	0.00	1.36
01/08/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.87	100.0	0.00	0.87	0.00	0.87
01/08/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.67	100.0	0.00	0.67	0.00	0.67
01/08/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.53	100.0	0.00	0.53	0.00	0.53
01/08/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.35	100.0	0.00	0.35	0.00	0.35
01/08/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	34.57	100.0	0.00	34.57	0.00	34.57
01/08/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV TECHNOLOGY-CL A	0.00	6.95	100.0	0.00	6.95	0.00	6.95
01/08/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.16	100.0	0.00	0.16	0.00	0.16
01/08/2018	#00655711414	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.02	100.0	0.00	0.02	0.00	0.02

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/08/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.25	100.0	0.00	0.25	0.25
01/08/2018	#00689848828		FIDELITY ADV TECHNOLOGY-CL A	0.00	0.19	100.0	0.00	0.19	0.19
01/08/2018	#00701252348	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.46	100.0	0.00	0.46	0.46
01/08/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.41	100.0	0.00	0.41	0.41
01/08/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.57	100.0	0.00	1.57	1.57
01/08/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.69	100.0	0.00	1.69	1.69
01/08/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	10.67	100.0	0.00	10.67	10.67
01/08/2018	#00065192643	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	0.85	100.0	0.00	0.85	0.85
01/08/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	5.44	100.0	0.00	5.44	5.44
01/08/2018	#00689848828		FIDELITY ADV UTILITIES-CL A	0.00	0.24	100.0	0.00	0.24	0.24
01/08/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL T	0.00	0.90	100.0	0.00	0.90	0.90
01/08/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.39	100.0	0.00	0.39	0.39
01/08/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.77	100.0	0.00	1.77	1.77
01/08/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.62	100.0	0.00	3.62	3.62
01/08/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	8.51	100.0	0.00	8.51	8.51
01/08/2018	#01475366801	VARIOUS HOUSE	FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.48	100.0	0.00	1.48	1.48
01/08/2018	#00689960367	VARIOUS HOUSE	FIDELITY ADVISOR ENERGY CL A	0.00	0.93	100.0	0.00	0.93	0.93
01/08/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.40	100.0	0.00	0.40	0.40
01/08/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.35	100.0	0.00	0.35	0.35
01/08/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.52	100.0	0.00	1.52	1.52
01/08/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.25
01/08/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.27	100.0	0.00	0.27	0.27
01/08/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.70	100.0	0.00	1.70	1.70
01/08/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	11.06	100.0	0.00	11.06	11.06
01/08/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.26	100.0	0.00	0.26	0.26
01/08/2018	#00655610640	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.39	100.0	0.00	0.39	0.39
01/08/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.95	100.0	0.00	0.95	0.95
01/08/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.23	100.0	0.00	0.23	0.23
01/08/2018	#00065192643	VARIOUS HOUSE	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	2.91	100.0	0.00	2.91	2.91
01/08/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADVISOR SER II MID CAP II	0.00	7.08	100.0	0.00	7.08	7.08
01/08/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.19	100.0	0.00	0.19	0.19
01/08/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.11	100.0	0.00	0.11	0.11
01/08/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.31	100.0	0.00	1.31	1.31
01/08/2018	#00655071025	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.58	100.0	0.00	5.58	5.58
01/08/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.32	100.0	0.00	6.32	6.32
01/08/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	7.22	100.0	0.00	7.22	7.22

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/08/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.64	100.0	0.00	0.64	0.64
01/08/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.28	100.0	0.00	2.28	2.28
01/08/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.57	100.0	0.00	0.57	0.57
01/08/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	10.11	100.0	0.00	10.11	10.11
01/08/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	27.06	100.0	0.00	27.06	27.06
01/08/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.12	100.0	0.00	0.12	0.12
01/08/2018	#00064878069	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.48	100.0	0.00	0.48	0.48
01/08/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.60	100.0	0.00	3.60	3.60
01/08/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISORS VALUE STRATEGIES	0.00	1.01	100.0	0.00	1.01	1.01
01/08/2018	#00689848828		FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.73	100.0	0.00	4.73	4.73
01/08/2018	#00063113120	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.29	100.0	0.00	0.29	0.29
01/08/2018	#00064946619	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.22	100.0	0.00	10.22	10.22
01/08/2018	#00656147402	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.01
01/08/2018	#01445570219	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.35	100.0	0.00	0.35	0.35
01/08/2018	#00510641756	VARIOUS HOUSE	FIDELITY INVT TR	0.00	1.09	100.0	0.00	1.09	1.09
01/08/2018	#01468970379	VARIOUS HOUSE	FIDELITY SECS FD REAL ESTATE INCOME	0.00	28.43	100.0	0.00	28.43	28.43
01/08/2018	#00065427064	VARIOUS HOUSE	TREASURY FUND - DAILY MONEY CLASS	0.00	0.37	100.0	0.00	0.37	0.37
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$250.00	\$5,178.14	\$0.00	\$5,178.14	\$0.00	\$5,178.14
*** BROKER TRANSACTIONS TOTAL ***				\$2,448,084.13	\$9,884.55	\$0.00	\$9,884.55	\$339.15	\$9,545.40

OVERRIDE TRANSACTIONS

AITKEN, TODD - TERMINATED	9.74	9.74
GLEDHILL, MIKE - TERMINATED	428.23	428.23
HEADRICK, JEFF - TERMINATED	267.52	267.52
SANCHEZ, ISAAC - TERMINATED	15.09	15.09
SCHMITZ, BRIAN - TERMINATED	0.82	0.82
SUTTON, VIC - TERMINATED	21.36	21.36
TRESSLER, ANDREW - TERMINATED	9.80	9.80
BERENZ, BRIAN - TERMINATED	5.03	5.03

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

Override Transactions

MCTIER, MAHARI - TERMINATED	6.54	6.54
KOESTER, CHASE - TERMINATED	79.82	79.82
STROUD, JOSHUA - TERMINATED	53.15	53.15
GERSON - HOUSE, GLENN	8.47	8.47
*** OVERRIDE TRANSACTIONS TOTAL ***	\$905.57	\$905.57

ADJUSTMENTS

01/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-14.22
01/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-3.59
01/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF DEBIT BALANCE	-35.00
*** ADJUSTMENTS TOTAL ***		\$-52.81

BROKER COMMISSION TOTALS

Broker Transactions	\$9,545.40
Split Transactions	\$0.00
Override Transactions	\$905.57
Adjustments	\$-52.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,398.16
--------------	-------------