

COMMISSION STATEMENT

July 31, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/02/2018	75597002	WEINER, MARK	MUTUAL QUAL FND - CL Z	S	50,000.00	0.00	0.00	0.00	100.0	0.00
08/03/2018	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	S	10,000.00	0.00	0.00	0.00	100.0	0.00
07/11/2018	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC	S	1,500.00	0.00	0.00	0.00	100.0	0.00
08/10/2018	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC	S	1,500.00	0.00	0.00	0.00	100.0	0.00
07/26/2018	75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	S	21,535.90	0.00	0.00	0.00	100.0	0.00
07/23/2018	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C	S	132,978.52	0.00	0.00	0.00	100.0	0.00
07/26/2018	75597087	FLANNERY JR, ROBERT	GLOBAL OPPORTUNITIES A	B	133,000.00	3,990.00	0.00	3,990.00	100.0	3,990.00
07/26/2018	75597136	JAMES WEBSTER PLAN	BLACKROCK HEALTH SCIENCES FUND CLAS	S	29,000.00	0.00	0.00	0.00	100.0	0.00
07/26/2018	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	S	15,000.00	0.00	0.00	0.00	100.0	0.00
07/26/2018	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	S	15,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00	100.0	0.00
08/09/2018	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	S	151,977.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$562,291.42	\$3,990.00	\$0.00	\$3,990.00		\$3,990.00
PRODUCT CATEGORY: STOCKS										
07/26/2018	75597072	JAMES R MENZ TTEE	CNO FINANCIAL GROUP INC	B	10,089.95	238.66	12.00	226.66	100.0	226.66
07/26/2018	75597072	JAMES R MENZ TTEE	EATON VANCE TAX MANAGD GLBL DIVERSI	B	11,946.25	340.38	12.00	328.38	100.0	328.38
07/26/2018	75597072	JAMES R MENZ TTEE	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	11,846.91	210.00	12.00	198.00	100.0	198.00
07/26/2018	75597136	JAMES WEBSTER PLAN	NESTLE SA-SPONSORED ADR REPSTG REGD	B	12,299.10	199.06	12.00	187.06	100.0	187.06
07/26/2018	75597136	JAMES WEBSTER PLAN	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	11,543.54	208.12	12.00	196.12	100.0	196.12
07/26/2018	75597136	JAMES WEBSTER PLAN	SELECT SECTOR SPDR TRUST THE FINANC	B	28,217.00	399.00	12.00	387.00	100.0	387.00
07/26/2018	75597166	KOE, LINDA	WALT DISNEY CO	B	19,845.56	25.00	12.00	13.00	100.0	13.00
* STOCKS SUBTOTAL *					\$105,788.31	\$1,620.22	\$84.00	\$1,536.22		\$1,536.22
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/31/2018			FEE COUNT - 622		0.00	5,498.64	0.00	5,498.64	100.0	5,498.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$5,498.64	\$0.00	\$5,498.64		\$5,498.64
*** BROKER TRANSACTIONS TOTAL ***					\$668,079.73	\$11,108.86	\$84.00	\$11,024.86		\$11,024.86

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 7559705		0.00	0.00	0.00	0.00		-34.93
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597149		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597142		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597009		0.00	0.00	0.00	0.00		-17.25
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597119		0.00	0.00	0.00	0.00		-34.04
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597127		0.00	0.00	0.00	0.00		-19.96
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597095		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597094		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597020		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597019		0.00	0.00	0.00	0.00		-35.00
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597054		0.00	0.00	0.00	0.00		-34.84
07/31/2018		MWWOD:MISCELLANEOUS: WO DB 75597010		0.00	0.00	0.00	0.00		-35.00
07/31/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
07/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
07/31/2018		OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00		-365.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-2,561.02</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$11,024.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,561.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-13.89
SIPC Assessment	\$-27.77

Check Amount	\$8,422.18
Year-to-date Earnings	\$55,317.50