

**COMMISSION STATEMENT****October 31, 2017**

THOMAS HALSEY
7261 W. Central Ave.

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/17/2017	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	1,200.00	45.00	0.00	45.00	85.0	38.25
10/17/2017	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	1,200.00	45.00	0.00	45.00	85.0	38.25
10/17/2017	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	1,200.00	45.00	0.00	45.00	85.0	38.25
10/17/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	1,200.00	45.00	0.00	45.00	85.0	38.25
09/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	-50.00	-2.50	0.00	-2.50	85.0	-2.13
10/17/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,191.36	44.68	0.00	44.68	85.0	37.98
09/30/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.45	0.00	0.45	85.0	0.38
09/30/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.45	0.00	0.45	85.0	0.38
10/16/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	25.00	1.25	0.00	1.25	85.0	1.06
10/16/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	25.00	1.25	0.00	1.25	85.0	1.06
09/30/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.20	0.00	1.20	85.0	1.02
09/30/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	8.10	0.00	8.10	85.0	6.89
10/16/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
09/30/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.18	0.00	1.18	85.0	1.00
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	37.50	0.00	37.50	85.0	31.88
09/30/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.11	0.00	9.11	85.0	7.74
10/01/2017	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	8.27	0.00	8.27	85.0	7.03
10/04/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCK PLUS FUND A	0.00	4.71	0.00	4.71	85.0	4.00
* MUTUAL FUNDS SUBTOTAL *			\$6,291.36	\$306.90	\$0.00	\$306.90		\$260.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/18/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$2.75	\$0.00	\$2.75		\$2.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	JOHNSON, CHARLES	MAINSTAY	0.00	1.19	0.00	1.19	85.0	1.01
09/30/2017	JOHNSON, LINDA	MAINSTAY	0.00	1.16	0.00	1.16	85.0	0.99

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	JOHNSON, CHARLES	MAINSTAY	0.00	8.07	0.00	8.07	85.0	6.86
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$10.42	\$0.00	\$10.42		\$8.86
		*** BROKER TRANSACTIONS TOTAL ***	\$6,341.36	\$320.07	\$0.00	\$320.07		\$272.05

BROKER COMMISSION TOTALS

Broker Transactions	\$272.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$272.05
Year-to-date Gross	\$55,180.95
Year-to-date Earnings	\$47,065.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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