

COMMISSION STATEMENT

June 15, 2018

DEBBIE MUEGGENBORG-D046

BROKER #: D046

BRANCH: DMB

, TX

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D046

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------|-------------|------------------|-----|--------------------------------|---------------------|--------------------|-------------------|---------|----------------------|
| DIRECT TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 06/04/2018 | 01465649570 | KETCHER, RACHEL | MF | FIDELITY ADV FREEDOM 2040 CL C | B | 103.15 | 1.03 | 0.00 | 1.03 90.0 0.93 |
| 06/04/2018 | 01465649570 | KETCHER, RACHEL | MF | FIDELITY ADV FREEDOM 2040 CL C | B | 103.15 | 1.03 | 0.00 | 1.03 90.0 0.93 |
| 06/06/2018 | 04000792230 | FAULKNER, LESLIE | MF | AMERICAN FUNDS 2050 TARGET | B | 100.00 | 5.00 | 0.00 | 5.00 90.0 4.50 |
| 06/06/2018 | 04000792230 | FAULKNER, LESLIE | MF | AMERICAN FUNDS 2050 TARGET | B | 100.00 | 5.00 | 0.00 | 5.00 90.0 4.50 |
| 06/06/2018 | 04000792239 | FAULKNER, DONALD | MF | AMERICAN FUNDS 2050 TARGET | B | 100.00 | 5.00 | 0.00 | 5.00 90.0 4.50 |
| 06/06/2018 | 04000792239 | FAULKNER, DONALD | MF | AMERICAN FUNDS 2050 TARGET | B | 100.00 | 5.00 | 0.00 | 5.00 90.0 4.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | \$606.30 | \$22.06 | \$0.00 | \$22.06 | \$19.86 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | | | |
|------------|-------------|-------------------|-----|---------------------------------|---|------|--------|------|--------|------|--------|
| 05/31/2018 | (None) | BRAUN, JENNIFER | MFT | JOHN HANCOCK FREEDOM | B | 0.00 | 3.74 | 0.00 | 3.74 | 90.0 | 3.37 |
| 06/01/2018 | 00075203463 | GILLILAND, JERRY | MFT | AMERICAN BALANCED FUND-C | B | 0.00 | 262.48 | 0.00 | 262.48 | 90.0 | 236.23 |
| 06/01/2018 | 00075203463 | GILLILAND, JERRY | MFT | AMERICAN BALANCED FUND-F1 | B | 0.00 | 44.21 | 0.00 | 44.21 | 90.0 | 39.79 |
| 06/01/2018 | 00075203532 | CATRON, MELINDA | MFT | AMERICAN BALANCED FUND-C | B | 0.00 | 60.90 | 0.00 | 60.90 | 90.0 | 54.81 |
| 06/01/2018 | 00075203532 | CATRON, MELINDA | MFT | AMERICAN BALANCED FUND-F1 | B | 0.00 | 7.21 | 0.00 | 7.21 | 90.0 | 6.49 |
| 06/07/2018 | 00509440798 | BARTO, CAROLYN | MFT | FIDELITY ADVISOR LEVERAGED CO | B | 0.00 | 1.23 | 0.00 | 1.23 | 90.0 | 1.11 |
| 06/07/2018 | 00509440798 | BARTO, CAROLYN | MFT | FIDELITY ADVISOR STRATEGIC | B | 0.00 | 1.02 | 0.00 | 1.02 | 90.0 | 0.92 |
| 06/07/2018 | 00509440798 | BARTO, CAROLYN | MFT | FIDELITY ADV DIVERSIFIED INTL | B | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| 06/07/2018 | 00509440798 | BARTO, CAROLYN | MFT | FIDELITY ADV NEW INSIGHTS-CL T | B | 0.00 | 2.24 | 0.00 | 2.24 | 90.0 | 2.02 |
| 06/07/2018 | 00509440798 | BARTO, CAROLYN | MFT | FIDELITY GOVERNMENT MKT DAILY | B | 0.00 | 1.69 | 0.00 | 1.69 | 90.0 | 1.52 |
| 06/07/2018 | 00529549313 | BAKER, COURTNEY | MFT | FIDELITY ADVISOR BALANCED CLASS | B | 0.00 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 06/07/2018 | 00529549313 | BAKER, COURTNEY | MFT | FIDELITY ADVISOR FUNDS | B | 0.00 | 2.19 | 0.00 | 2.19 | 90.0 | 1.97 |
| 06/07/2018 | 00529549313 | BAKER, COURTNEY | MFT | FIDELITY GOVERNMENT MKT DAILY | B | 0.00 | 0.58 | 0.00 | 0.58 | 90.0 | 0.52 |
| 06/07/2018 | 01465649570 | KETCHER, RACHEL | MFT | FIDELITY ADV FREEDOM 2040 CL C | B | 0.00 | 11.88 | 0.00 | 11.88 | 90.0 | 10.69 |
| 06/07/2018 | 01479899831 | TANNER, MICHAEL | MFT | FIDELITY ADV SMALL CAP GROWTH | B | 0.00 | 2.68 | 0.00 | 2.68 | 90.0 | 2.41 |
| 06/07/2018 | 01489197184 | LATHROP, JENNIFER | MFT | FIDELITY ADV FREEDOM 2045 CL A | B | 0.00 | 2.65 | 0.00 | 2.65 | 90.0 | 2.39 |
| 06/07/2018 | 01489197200 | LATHROP, JENNIFER | MFT | FIDELITY ADVISOR BALANCED FD CL | B | 0.00 | 3.32 | 0.00 | 3.32 | 90.0 | 2.99 |
| 06/07/2018 | 01489201606 | LATHROP, ANDREW | MFT | FIDELITY ADV FREEDOM 2050 CL A | B | 0.00 | 1.55 | 0.00 | 1.55 | 90.0 | 1.40 |
| 06/01/2018 | 04000484461 | JINKS, CHEYNE | MFT | GROWTH AND INCOME PORTFOLIO-A | B | 0.00 | 3.42 | 0.00 | 3.42 | 90.0 | 3.08 |
| 06/01/2018 | 04000703594 | FAULKNER, LESLIE | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 3.24 | 0.00 | 3.24 | 90.0 | 2.92 |
| 06/01/2018 | 04000704685 | FAULKNER, DONALD | MFT | AMERICAN BALANCED FUND-A | B | 0.00 | 3.24 | 0.00 | 3.24 | 90.0 | 2.92 |
| 06/01/2018 | 04000792230 | FAULKNER, LESLIE | MFT | AMERICAN FUNDS 2050 TARGET | B | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D046

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------|------------------|--------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 06/01/2018 04000792239 | FAULKNER, DONALD | MFT AMERICAN FUNDS 2050 TARGET | B | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 06/01/2018 04000871090 | VAN EMAN, JASON | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 23.83 | 0.00 | 23.83 | 90.0 | 21.45 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$445.48 | \$0.00 | \$445.48 | | \$400.97 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$606.30 | \$467.54 | \$0.00 | \$467.54 | | \$420.83 |

LINKED DIRE TRANSACTIONSBROKER: DEBBIE MUEGGENBORG-D146 #43

| | | | | | | | | | |
|--|-----------------|-----------------------------------|---|--------|---------|--------|---------|--|---------|
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT AMERICAN BALANCED FUND-529A | B | 0.00 | 0.73 | 0.00 | 0.73 | | 0.66 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT AMERICAN BALANCED FUND-529C | B | 0.00 | 21.44 | 0.00 | 21.44 | | 19.30 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 0.97 | 0.00 | 0.97 | | 0.87 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 3.51 | 0.00 | 3.51 | | 3.16 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT SMALLCAP WORLD FUND-529A | B | 0.00 | 0.58 | 0.00 | 0.58 | | 0.52 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT SMALLCAP WORLD FUND-529C | B | 0.00 | 3.69 | 0.00 | 3.69 | | 3.32 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT THE BOND FUND OF AMERICA-529A | B | 0.00 | 0.55 | 0.00 | 0.55 | | 0.50 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT THE BOND FUND OF AMERICA-529C | B | 0.00 | 2.48 | 0.00 | 2.48 | | 2.23 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 0.77 | 0.00 | 0.77 | | 0.69 |
| 06/01/2018 00069057320 | REYNOLDS, DEVYN | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 21.41 | 0.00 | 21.41 | | 19.27 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT AMERICAN BALANCED FUND-529C | B | 0.00 | 2.21 | 0.00 | 2.21 | | 1.99 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 0.24 | 0.00 | 0.24 | | 0.22 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 0.52 | 0.00 | 0.52 | | 0.47 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT SMALLCAP WORLD FUND-529C | B | 0.00 | 1.79 | 0.00 | 1.79 | | 1.61 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT THE BOND FUND OF AMERICA-529A | B | 0.00 | 0.24 | 0.00 | 0.24 | | 0.22 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT THE BOND FUND OF AMERICA-529C | B | 0.00 | 2.27 | 0.00 | 2.27 | | 2.04 |
| 06/01/2018 00069271657 | REYNOLDS, DEVYN | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 0.62 | 0.00 | 0.62 | | 0.56 |
| 06/07/2018 00704113489 | KATERI G WOODS, | MFT FA 529 PORT 2022 CL A | B | 0.00 | 3.68 | 0.00 | 3.68 | | 3.31 |
| * DEBBIE MUEGGENBORG-D146 SUBTOTAL * | | | | \$0.00 | \$67.70 | \$0.00 | \$67.70 | | \$60.94 |
| *** LINKED DIRE TRANSACTIONS TOTAL *** | | | | \$0.00 | \$67.70 | \$0.00 | \$67.70 | | \$60.94 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$481.77 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$481.77 |
| Year-to-date Commissions | \$11,387.10 |