



COMMISSION STATEMENT

August 06, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/30/2018	***-**-7948 - AMICI, ROGER	DANAHER CORP UNSOLICITED ORDER	2,566.38	20.00	7.75	12.25	90.0	11.03
	ACCOUNT #: LSR100234	BUY 25 Units @102.6550						
07/30/2018	***-**-7948 - AMICI, ROGER	FORTIVE CORP COM	2,571.71	20.00	7.82	12.18	90.0	10.96
	ACCOUNT #: LSR100234	BUY 32 Units @80.3660						
* STOCKS SUBTOTAL *			\$5,138.09	\$40.00	\$15.57	\$24.43		\$21.99
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
08/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
08/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
08/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
08/02/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$750.00	\$3.77	\$0.00	\$3.77		\$3.39
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/06/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VUL	0.00	37.43	0.00	37.43	90.0	33.69
		BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$37.43	\$0.00	\$37.43		\$33.69
<u>PRODUCT CATEGORY: 529 PLAN</u>								
08/06/2018	***-**-5723 - PONTURO,	NYA JPM 529 EQUITY INCOME PORTF C	200.00	4.76	0.00	4.76	90.0	4.28
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$200.00	\$4.76	\$0.00	\$4.76		\$4.28



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
08/06/2018	***--5723 - PONTURO, ACCOUNT #: 77161355101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.91	0.00	3.91	90.0	3.52
08/06/2018	***--545P - FELLIN, DIANE ACCOUNT #: 77169971001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	90.0	0.10
08/06/2018	***--465P - KALANTZOPOULOS, ACCOUNT #: 77280070001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.19	0.00	1.19	90.0	1.07
08/06/2018	***--623I - LEIBOWITZ, IRWIN ACCOUNT #: 77287712601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	90.0	0.24
08/06/2018	***--895P - POWER, KATHLEEN ACCOUNT #: 77321173601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	90.0	0.37
08/06/2018	***--775P - RHODES, EDWARD ACCOUNT #: 77426669701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.30	0.00	0.30	90.0	0.27
08/06/2018	***--515P - PASSARO, MARY ACCOUNT #: 77507742202	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.53	0.00	0.53	90.0	0.48
08/06/2018	***--815P - WILSON, JOHN	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.12	0.00	1.12	90.0	1.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.84	\$0.00	\$7.84		\$7.06
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	***--01IN - PASCALE, ROBERT ACCOUNT #: 1200005320	JACKSON 468511480 BUY 0 Units @0.0000	0.00	118.89	0.00	118.89	90.0	107.00
07/31/2018	***--67IN - SOLA, MARIA ACCOUNT #: 1200291765	JACKSON 468511480 BUY 0 Units @0.0000	0.00	111.61	0.00	111.61	90.0	100.45
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$230.50	\$0.00	\$230.50		\$207.45
*** BROKER TRANSACTIONS TOTAL ***			\$6,088.09	\$324.30	\$15.57	\$308.73		\$277.86



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
07/23/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00702446014	BUY 24 Units @21.0400						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	187.50	2.58	0.00	2.58	90.0	2.32
	ACCOUNT #: 01443935083	BUY 10 Units @18.2900						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	45.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01443935083	BUY 2 Units @18.2900						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV EMERGING MKTS CL A	45.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01443935083	BUY 2 Units @29.8400						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV EMERGING MKTS CL A	187.50	2.58	0.00	2.58	90.0	2.32
	ACCOUNT #: 01443935083	BUY 6 Units @29.8400						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	187.50	2.58	0.00	2.58	90.0	2.32
	ACCOUNT #: 01443935083	BUY 5 Units @37.7200						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	45.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01443935083	BUY 1 Units @37.7200						
07/24/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	140.52	1.93	0.00	1.93	90.0	1.74
	ACCOUNT #: 01443905136	BUY 10 Units @14.0800						
07/24/2018	***-**-335I - BLASCO, LAURIE	FIDELITY ADV FREEDOM 2025-CL A	136.82	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 01443905136	BUY 10 Units @14.0800						
07/24/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	108.41	1.49	0.00	1.49	90.0	1.34
	ACCOUNT #: 01488339027	BUY 8 Units @13.8800						
07/24/2018	***-**-879I - DELAURA,	FIDELITY ADV FREEDOM 2055	103.40	1.42	0.00	1.42	90.0	1.28
	ACCOUNT #: 01488339027	BUY 7 Units @13.8800						
07/30/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV GROWTH & INCOME CL C	11,100.01	15.00	9.00	6.00	90.0	5.40
	ACCOUNT #: LSR010898	SELL 401 Units @27.6500						
07/31/2018	***-**-85IN - YANKILEVICH,	FIDELITY ADV HEALTH CARE CL C	8,099.98	15.00	9.00	6.00	90.0	5.40
	ACCOUNT #: LSR010898	SELL 201 Units @40.2100						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV SMALL CAP VALUE-CL A	45.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01443935083	BUY 2 Units @21.0900						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV SMALL CAP VALUE-CL A	187.50	2.58	0.00	2.58	90.0	2.32
	ACCOUNT #: 01443935083	BUY 9 Units @21.0900						
07/24/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV STRATEGIC REAL RETURN-	45.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01443935083	BUY 5 Units @9.0600						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
07/24/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 21 Units @9.0600	187.50	2.58	0.00	2.58	90.0	2.32
07/24/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 8 Units @16.1800	124.92	1.72	0.00	1.72	90.0	1.55
07/24/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 15 Units @16.1800	240.60	3.31	0.00	3.31	90.0	2.98
07/24/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 2 Units @18.7600	30.00	0.42	0.00	0.42	90.0	0.38
07/24/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 7 Units @18.7600	125.00	1.72	0.00	1.72	90.0	1.55
07/24/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 8 Units @22.5000	187.50	2.58	0.00	2.58	90.0	2.32
07/24/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 2 Units @22.5000	45.00	0.62	0.00	0.62	90.0	0.56
07/24/2018	***-**-157X - COHEN, LISA	GRIFFIN HEALTHCARE REIT IV BUY 20 Units @1000.0000	20,100.00	301.50	0.00	301.50	90.0	271.35
07/24/2018	***-**-485X - MAYER, RUSSELL ACCOUNT #: LSR012718	GRIFFIN-AMERICAN HEALTHCARE REIT IV BUY 2000 Units @10.0500	20,100.00	0.00	9.00	-9.00	100.0	-9.00
07/24/2018	***-**-485X - MAYER, RUSSELL ACCOUNT #: LSR012718	GRIFFIN-AMERICAN HEALTHCARE REIT IV CANCEL 2010 Units @10.0000	-20,100.00	0.00	-9.00	9.00	90.0	8.10
08/06/2018	***-**-9385 - JOSEPH, BRIAN ACCOUNT #: 77094508001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.94	0.00	2.94	90.0	2.65
08/06/2018	***-**-7673 - MORRISON, ACCOUNT #: 77152277401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	8.42	0.00	8.42	90.0	7.58
08/06/2018	***-**-446J - RUBIN ACCOUNT #: 77334416801	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.85	0.00	3.85	90.0	3.47
08/06/2018	***-**-7724 - NANDAA, NEIL ACCOUNT #: 77348040901	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.69	0.00	2.69	90.0	2.42
08/06/2018	***-**-18IN - WATSON ACCOUNT #: 77398834701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	90.0	0.46
08/06/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	14.07	0.00	14.07	90.0	12.66



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
08/06/2018	***-**-405P - KITOGRAJ, JAY	NEW YORK 529 TRAILS	0.00	1.67	0.00	1.67	90.0	1.50
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
07/30/2018	***-**-1437 - PALUSHAJ, GJETO	PACIFIC LIFE ABRB DTCC	956.56	14.35	0.00	14.35	90.0	12.92
	ACCOUNT #: VP71053410	BUY 0 Units @0.0000						
08/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
08/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
08/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
08/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
08/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
08/01/2018	***-**-485I - YANKILEVICH,	PACIFIC LIFE VA RENEWAL DTCC	250.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: VP65871480	BUY 0 Units @0.0000						
07/30/2018	***-**-85IN - YANKILEVICH,	PROSHARES TR MDCP 400 DIVID	7,460.10	36.63	8.85	27.78	90.0	25.00
	ACCOUNT #: LSR010898	SELL 135 Units @55.2600						
07/30/2018	***-**-85IN - YANKILEVICH,	PROSHARES TR RUSS 2000 DIVD	2,020.55	27.00	7.85	19.15	90.0	17.24
	ACCOUNT #: LSR010898	SELL 35 Units @57.7300						
07/30/2018	***-**-85IN - YANKILEVICH,	PROSHARES TR RUSS 2000 DIVD	5,773.00	9.63	1.00	8.63	90.0	7.77
	ACCOUNT #: LSR010898	SELL 100 Units @57.7300						
07/30/2018	***-**-85IN - YANKILEVICH,	PROSHARES TRUST S&P 500 DIVID ARIST	6,474.00	27.50	8.50	19.00	90.0	17.10
	ACCOUNT #: LSR010898	SELL 100 Units @64.7400						
07/30/2018	***-**-85IN - YANKILEVICH,	PROSHARES TRUST S&P 500 DIVID ARIST	6,474.10	27.00	1.00	26.00	90.0	23.40
	ACCOUNT #: LSR010898	SELL 100 Units @64.7410						
07/30/2018	***-**-85IN - YANKILEVICH,	PROSHARES TRUST S&P 500 DIVID ARIST	3,237.00	10.77	0.50	10.27	90.0	9.24
	ACCOUNT #: LSR010898	SELL 50 Units @64.7400						
/ /	***-**-640K - OLLECH, MARCEL	RESOURCE REAL ESTATES-NFS	0.00	0.96	0.00	0.96	90.0	0.86
	ACCOUNT #: LSR010819	BUY 1 Units @0.0000						
/ /	***-**-60TR - BRILL FAMILY	RESOURCE REAL ESTATES-NFS	0.00	9.76	0.00	9.76	90.0	8.78
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
/ /	***-**-485I - YANKILEVICH,	RESOURCE REAL ESTATES-NFS	0.00	0.72	0.00	0.72	90.0	0.65
	ACCOUNT #: LSR011632	BUY 1 Units @0.0000						
08/06/2018	***-**-36IR - KOUNOUKLOS,	SAMMONS MF TRAILS	0.00	2.49	0.00	2.49	90.0	2.24
	ACCOUNT #: 7200011202	BUY 0 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			<u>\$75,849.97</u>	<u>\$575.38</u>	<u>\$45.70</u>	<u>\$529.68</u>		<u>\$475.82</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$75,849.97</u>	<u>\$575.38</u>	<u>\$45.70</u>	<u>\$529.68</u>		<u>\$475.82</u>

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: ALAN FISHMAN</u>								
08/06/2018	***-**-5103 - VICARI, KEVIN	RELIASTAR RENEWALS	0.00	1.45	0.00	1.45	7.5	0.11
		BUY 1 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			<u>\$0.00</u>	<u>\$1.45</u>	<u>\$0.00</u>	<u>\$1.45</u>		<u>\$0.11</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$1.45</u>	<u>\$0.00</u>	<u>\$1.45</u>		<u>\$0.11</u>

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$277.86
Split Transactions	\$475.82
Override Transactions	\$0.11
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$753.79
Year-to-date Earnings	\$39,658.84