



COMMISSION STATEMENT

March 26, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @37.9100						
03/15/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	90.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: 00088334300	BUY 3 Units @35.0900						
03/19/2018	***-**-2447 - FISCHER, MAX	AMERICAN HIGH-INCOME MUNI BOND FUND	14,993.00	412.30	18.00	394.30	70.0	276.01
	ACCOUNT #: LSR012557	BUY 922 Units @16.2600						
03/15/2018	***-**-3569 - ANDERSON,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @42.4900						
03/19/2018	***-**-2447 - FISCHER, MAX	AMERICAN TAX EXEMPT OF NEW YORK CL	34,984.01	962.06	18.00	944.06	70.0	660.84
	ACCOUNT #: LSR012557	BUY 3183 Units @10.9900						
03/15/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.2500						
03/15/2018	***-**-3987 - LINGE, MARY	EQUITY INCOME FUND, INC. A	100.00	4.75	0.00	4.75	70.0	3.33
	ACCOUNT #: 08351856019	BUY 0 Units @0.0000						
03/21/2018	***-**-47IN - NIELSEN, ANGA	FIDELITY REAL ESTATE INCOME	16,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011370	SELL 1389 Units @11.5200						
03/15/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @66.3900						
03/15/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 19 Units @10.3800						
03/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER CORP. BOND FUND A	50.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00100031714	BUY 0 Units @0.0000						
03/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	70.0	1.67
	ACCOUNT #: 01952249841	BUY 0 Units @0.0000						
03/19/2018	***-**-2447 - FISCHER, MAX	TAX-EXEMPT BOND FUND OF AMERICA	49,979.00	1,374.42	18.00	1,356.42	70.0	949.49
	ACCOUNT #: LSR012557	BUY 3772 Units @13.2500						
03/15/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	75.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 00088334300	BUY 6 Units @13.0600						
03/15/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	70.0	1.35
	ACCOUNT #: 00088334300	BUY 1 Units @55.2800						
03/15/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 2 Units @23.8200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/15/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE NEW ECONOMY FUND-A BUY 1 Units @51.1700	65.00	1.79	0.00	1.79	70.0	1.25
03/14/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: 01293376129	WASHINGTON MUTUAL INVESTORS FUND-A BUY 5 Units @47.1600	252.36	4.04	0.00	4.04	70.0	2.83
* MUTUAL FUNDS SUBTOTAL *			\$117,198.37	\$2,779.95	\$72.00	\$2,707.95		\$1,890.18
PRODUCT CATEGORY: FINANCIAL PLANNING								
03/26/2018	***-**-85FB - LAURICELLA, ADAM	FINANCIAL PLAN BUY 0 Units @0.0000	0.00	250.00	0.00	250.00	70.0	175.00
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$250.00	\$0.00	\$250.00		\$175.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/26/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	70.0	1.72
03/26/2018	***-**-17IO - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY EPOCH GLOBAL EQUITY YLD A BUY 1 Units @0.0000	0.00	5.21	0.00	5.21	70.0	3.65
03/26/2018	***-**-17IO - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY HIGH-YIELD CORPORATE BOND BUY 1 Units @0.0000	0.00	12.13	0.00	12.13	70.0	8.49
03/26/2018	***-**-17IO - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY SHORT DURATION HIGH YLD A BUY 1 Units @0.0000	0.00	5.83	0.00	5.83	70.0	4.08
03/26/2018	***-**-17IO - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY TOTAL RETURN FUND A BUY 1 Units @0.0000	0.00	5.32	0.00	5.32	70.0	3.72
03/26/2018	***-**-548I - MOLONEY, JAMES ACCOUNT #: LSR011545	MAINSTAY UNCONSTRND BOND OPPORTUNIT BUY 1 Units @0.0000	0.00	6.66	0.00	6.66	70.0	4.66
03/26/2018	***-**-548I - MOLONEY, JAMES ACCOUNT #: LSR011545	MAINSTAY US EQUITY OPPORTUNITIES CL BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	70.0	2.33
03/26/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	OPP PORT SERIES: MOD INV FD A BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	70.0	1.22
03/26/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER GLOBAL HIGH YIELD CL A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	70.0	1.34
03/26/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER SENIOR FLOATING RATE PL BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	70.0	1.39



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER STEELPATH MLP INC.FND C	0.00	0.33	0.00	0.33	70.0	0.23
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
03/26/2018	***-**-445J - NORTH, CHARLES	ROCHESTER FUND MUNICIPALS A	0.00	16.22	0.00	16.22	70.0	11.35
	ACCOUNT #: LSR011778	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$63.13	\$0.00	\$63.13		\$44.18
		*** BROKER TRANSACTIONS TOTAL ***	\$117,198.37	\$3,093.08	\$72.00	\$3,021.08		\$2,109.36

BROKER COMMISSION TOTALS

Broker Transactions	\$2,109.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00