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STATEMENT January 20, 2018

GLENN GERSON

11190 SW Springtree Terrace SUITE 205 Port St. Lucie, FL 34987 BROKER #: U01

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COMMISSION	STATEMENT	for	GLENN	GERSON	

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE POST	NET FAGE COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT	CATEGORY: STOCKS							
11/29/201	7 # GRABEL, GARY	STARWOOD PROPERTY TRUST INC	9,000.00	213.12	92.0 20	216.07	19.95	196.12
12/04/201	7 # TRAINOR, BRIAN	EMERSON ELECTRIC CO	7,126.92	132.74	92.0 20	142.12	19.95	122.17
12/05/201	7 # FANG, MING	***BARRICK GOLD CORP	13,650.00	338.82	92.0 20	331.71	19.95	311.76
12/05/201	7 # TRAINOR, BRIAN	DISCOVER FINANCIAL SERVICES	7,301.00	105.00	92.0 20	116.60	19.95	96.65
12/15/201	7 # GRABEL, GARY	BRISTOL MYERS SQUIBB CO	300,000.00	13,450.00	92.0 20	12,394.00	19.95	12,374.05
12/15/201	7 # GRABEL, GARY	EBIX INC FORMERLY EBIX.COM INC NEW	420,000.00	18,850.00	92.0 20	17,362.00	19.95	17,342.05
12/15/201	7 # FANG, MING	FIRST SOLAR INC	25,000.00	1,075.00	92.0 20	1,009.00	19.95	989.05
12/15/201	7 # GRABEL, GARY	STARWOOD PROPERTY TRUST INC	103,500.00	1,227.34	92.0 20	1,149.15	19.95	1,129.20
12/18/201	7 # GRABEL, GARY	MANNKIND CORPORATION COMMON STOCK	16,389.00	701.62	92.0 20	0.00 665.49	19.95	645.54
12/21/201	7 # GRABEL, GARY	BED BATH & BEYOND INC	3,250.00	85.33	92.0 20	98.50	19.95	78.55
12/26/201	7 # GRABEL, GARY	AMERICAN REALTY CAPITAL TRUST INC	11,509.68	348.46	92.0 20	340.58	19.95	320.63
12/27/201	7 # GRABEL, GARY	BED BATH & BEYOND INC	113,750.00	1,222.50	92.0 20	1,144.70	19.95	1,124.75
		* STOCKS SUBTOTAL	\$1,030,476.60	\$37,749.93	\$24	0.00 \$34,969.92	\$239.40	\$34,730.52
PRODUCT	CATEGORY: OPTIONS							
12/06/201	7 # GRABEL, GARY	PUT ABX 04/20/18 13	3,000.00	150.00	92.0 20	158.00	64.95	93.05
12/06/201	7 # GRABEL, GARY	PUT ZIOP 04/20/18 4	3,750.00	187.50	92.0 20	192.50	64.95	127.55
12/19/201	7 # GRABEL, GARY	PUT NRZ 05/18/18 17	3,000.00	150.00	92.0 20	158.00	64.95	93.05
12/21/201	7 # GRABEL, GARY	PUT VTR 05/18/18 52.50	3,750.00	187.50	92.0 20	192.50	64.95	127.55
12/22/201	7 # GRABEL, GARY	PUT UAA 04/20/18 12.50	3,250.00	162.50	92.0 20	169.50	64.95	104.55
		* OPTIONS SUBTOTAL	\$16,750.00	\$837.50	\$10	0.00 \$870.50	\$324.75	\$545.75
PRODUCT	CATEGORY: MUTUAL FUN	D 12B1 TRAILS						
12/11/201	7 # LIANG, YUN PING	DAIN TRAILER	0.00	0.64	92.0	0.00 0.59	0.00	0.59
12/11/201	7 # PAN, CHIN YI	DAIN TRAILER	0.00	0.41	92.0	0.00 0.38	0.00	0.38
12/11/201	7 # WEI, HONG SHENG	DAIN TRAILER	0.00	13.69	92.0	12.59	0.00	12.59
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL	\$0.00	\$14.74	\$	0.00 \$13.56	\$0.00	\$13.56
		*** BROKER TRANSACTIONS TOTAL	*** \$1,047,226.60	\$38,602.17	\$34	0.00 \$35,853.98	\$564.15	\$35,289.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		OVERRIDE TRA	NSACTIONS						
		PARKIH, DHRUMIL		3,062.47					306.30
		PRASAD, ABHISHEK		12,603.38					1,260.39
		*** OVERRIDE TRANSACTIONS TOTAL ***	-	\$15,665.85				-	\$1,566.69
ADJUSTMENTS									
01/20/2018	8	BETA BACK OFFICE: ADMIN FEE							-326.00
01/20/2018	8	E & O INSURANCE: E & O INSURANCE							-249.00
01/20/2018	8	CHARGE BACK: CHARGE BACK GRABEL							-5,950.00
01/20/2018	8	CHARGE BACK: CHARGE BACK GRABEL							-8,850.00
		*** ADJUSTMENTS TOTAL ***						-	\$-15,375.00

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Broker Transactions	\$35,289.83
Broker Transactions	\$35,269.63
Split Transactions	\$0.00
Override Transactions	\$1,566.69
Adjustments	\$-15,375.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
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Check Amount

\$21,481.52