

# COMMISSION STATEMENT June 15, 2018

RON ROCCA FORTE-D055

 BROKER #: D055 Terminated 12/31/2017  
 BRANCH: DMK

, TX

**COMMISSION STATEMENT for RON ROCCA FORTE-D055**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/11/2018	08188197849	FORREST, DUANE	MF	MFS CORE EQUITY FUND-A	B	50.00	2.50	0.00	2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						\$50.00	\$2.50	\$0.00	\$2.50		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/07/2018	00655443885	ABALOS, PEDRO	MFT	FIDELITY ADV EQUITY GROWTH CL T B		0.00	1.86	0.00	1.86	0.0	0.00
06/07/2018	00655443893	ABALOS, NATHAN	MFT	FIDELITY ADV EQUITY GROWTH CL T B		0.00	1.86	0.00	1.86	0.0	0.00
06/07/2018	00689739571	MEZA, ALBERT	MFT	FIDELITY ADV EQUITY GROWTH CL A B		0.00	1.03	0.00	1.03	0.0	0.00
06/07/2018	00689857290	SMITH UTMA-TX,	MFT	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.89	0.00	0.89	0.0	0.00
06/07/2018	00704852615	JONATHAN Z	MFT	FA 529 PORT 2022 CL A	B	0.00	7.26	0.00	7.26	0.0	0.00
06/07/2018	00704852615	JONATHAN Z	MFT	FA 529 PORT 2022 CL C	B	0.00	1.67	0.00	1.67	0.0	0.00
06/07/2018	00704852623	CONNOR Z	MFT	FA 529 PORT 2022 CL A	B	0.00	7.24	0.00	7.24	0.0	0.00
06/07/2018	00704852623	CONNOR Z	MFT	FA 529 PORT 2022 CL C	B	0.00	1.67	0.00	1.67	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$23.48	\$0.00	\$23.48		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***						\$50.00	\$25.98	\$0.00	\$25.98		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$1,419.92</b>