

## COMMISSION STATEMENT

March 31, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/12/2018	QGV006075	PARTNERS, F/A/O:	STONEMOR PARTNERS L P COMMON UNIT R B	17,000.00	100.00	0.00	100.00	55.0	55.00
03/27/2018	QGV006430	JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S	-18,675.00	75.00	0.00	75.00	55.0	41.25
03/27/2018	QGV006448	MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S	-18,675.00	75.00	0.00	75.00	55.0	41.25
02/27/2018	QGW031106	TIMYAN, PHILIP	COMMUNITY WEST BANCSHARES COM B	16,020.00	14.52	0.00	14.52	55.0	0.00
02/27/2018	QGW031106	TIMYAN, PHILIP	COMMUNITY WEST BANCSHARES COM B	-16,020.00	-14.52	0.00	-14.52	55.0	0.00 C
02/27/2018	QGW031106	TIMYAN, PHILIP	COMMUNITY WEST BANCSHARES COM B	16,020.00	51.00	0.00	51.00	55.0	28.05
03/05/2018	QGW031106	TIMYAN, PHILIP	EXCHANGE BANKSHARES INC COM B	7,950.00	51.00	0.00	51.00	55.0	28.05
03/19/2018	QGW031106	TIMYAN, PHILIP	FMB EQUIBANC INC COM B	3,500.00	12.75	0.00	12.75	55.0	0.00
03/19/2018	QGW031106	TIMYAN, PHILIP	FMB EQUIBANC INC COM B	-3,500.00	-12.75	0.00	-12.75	55.0	0.00 C
03/19/2018	QGW031106	TIMYAN, PHILIP	FMB EQUIBANC INC COM B	3,500.00	51.00	0.00	51.00	55.0	28.05
* STOCKS SUBTOTAL *				<b>\$7,120.00</b>	<b>\$403.00</b>	<b>\$0.00</b>	<b>\$403.00</b>		<b>\$221.65</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
03/12/2018	QGW034852	HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-1,830.00	55.00	0.00	55.00	55.0	30.25
03/19/2018	QGW034852	HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-1,100.00	55.00	0.00	55.00	55.0	30.25
03/26/2018	QGW034852	HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-1,040.00	75.00	0.00	75.00	55.0	41.25
* OPTIONS SUBTOTAL *				<b>\$-3,970.00</b>	<b>\$185.00</b>	<b>\$0.00</b>	<b>\$185.00</b>		<b>\$101.75</b>
*** BROKER TRANSACTIONS TOTAL ***				<b>\$3,150.00</b>	<b>\$588.00</b>	<b>\$0.00</b>	<b>\$588.00</b>		<b>\$323.40</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$323.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.74
SIPC Assessment	\$-1.47

Check Amount	\$321.19
Year-to-date Earnings	\$13,543.97