



Concorde Investment Services, LLC

09/28/2017
Page No. 1

COMMISSION STATEMENT **September 30, 2017**

JAMES MAHER
3082 Quail Ridge Circle

Rochester Hills, MI 48309-

BROKER #: M127
BRANCH: JAMES MAHER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 09/13/2017 | BROWN, MICHAEL | BR TOTAL INC & REAL ESTATE FUND C | 0.00 | 6.16 | 0.00 | 6.16 | 90.0 | 5.54 |
| | | * INTERVAL FUNDS SUBTOTAL * | \$0.00 | \$6.16 | \$0.00 | \$6.16 | | \$5.54 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS AMCAP 529C | 0.00 | 9.75 | 0.00 | 9.75 | 90.0 | 8.78 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS AMCAP 529C | 0.00 | 9.75 | 0.00 | 9.75 | 90.0 | 8.78 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS AMERICAN BALANCED C | 0.00 | 0.62 | 0.00 | 0.62 | 90.0 | 0.56 |
| 09/01/2017 | KALLEBERG, JUSTIN | AM FUNDS AMERICAN BALANCED C | 0.00 | 3.17 | 0.00 | 3.17 | 90.0 | 2.85 |
| 09/01/2017 | UNTIL AGE 18, MI/UTMA | AM FUNDS AMERICAN BALANCED C | 0.00 | 4.24 | 0.00 | 4.24 | 90.0 | 3.82 |
| 09/01/2017 | UNTIL AGE 18, MI/UTMA | AM FUNDS AMERICAN BALANCED C | 0.00 | 4.24 | 0.00 | 4.24 | 90.0 | 3.82 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS AMERICAN MUTUAL A | 0.00 | 10.62 | 0.00 | 10.62 | 90.0 | 9.56 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 4.74 | 0.00 | 4.74 | 90.0 | 4.27 |
| 09/01/2017 | BANNICK, ROBERT | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 6.81 | 0.00 | 6.81 | 90.0 | 6.13 |
| 09/01/2017 | BANNICK, ROBERT | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 6.81 | 0.00 | 6.81 | 90.0 | 6.13 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 19.13 | 0.00 | 19.13 | 90.0 | 17.22 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 22.56 | 0.00 | 22.56 | 90.0 | 20.30 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 22.56 | 0.00 | 22.56 | 90.0 | 20.30 |
| 09/01/2017 | HOLMES, KAYLA | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 11.59 | 0.00 | 11.59 | 90.0 | 10.43 |
| 09/01/2017 | PALMER, ROBERT | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 21.24 | 0.00 | 21.24 | 90.0 | 19.12 |
| 09/01/2017 | MARSHALL, DEMETRIA | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 14.47 | 0.00 | 14.47 | 90.0 | 13.02 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 5.51 | 0.00 | 5.51 | 90.0 | 4.96 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 5.51 | 0.00 | 5.51 | 90.0 | 4.96 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 22.54 | 0.00 | 22.54 | 90.0 | 20.29 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 20.10 | 0.00 | 20.10 | 90.0 | 18.09 |
| 09/01/2017 | HICKSON, MARK | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 18.35 | 0.00 | 18.35 | 90.0 | 16.52 |
| 09/01/2017 | PEVOS, DEBRA | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 1.48 | 0.00 | 1.48 | 90.0 | 1.33 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 18.41 | 0.00 | 18.41 | 90.0 | 16.57 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 18.41 | 0.00 | 18.41 | 90.0 | 16.57 |
| 09/01/2017 | WARNER, KRISTI | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 3.38 | 0.00 | 3.38 | 90.0 | 3.04 |
| 09/01/2017 | WARNER, KRISTI | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 3.38 | 0.00 | 3.38 | 90.0 | 3.04 |
| 09/01/2017 | MARKHAM, CLAIRE | AM FUNDS CAPITAL INCOME BUILDER 529 | 0.00 | 3.73 | 0.00 | 3.73 | 90.0 | 3.36 |
| 09/15/2017 | PALMER, ROBERT | AM FUNDS CAPITAL INCOME BUILDER 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 09/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/01/2017 | PALMERI, MARIA | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.52 | 0.00 | 1.52 | 90.0 | 1.37 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 2.77 | 0.00 | 2.77 | 90.0 | 2.49 |
| 09/01/2017 | KALLEBERG, JUSTIN | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 3.10 | 0.00 | 3.10 | 90.0 | 2.79 |
| 09/01/2017 | UNTIL AGE 18, MI/UTMA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 4.15 | 0.00 | 4.15 | 90.0 | 3.74 |
| 09/01/2017 | UNTIL AGE 18, MI/UTMA | AM FUNDS CAPITAL INCOME BUILDER C | 0.00 | 4.15 | 0.00 | 4.15 | 90.0 | 3.74 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 09/01/2017 | KALLEBERG, JUSTIN | AM FUNDS CAPITAL INCOME BUILDER F1 | 0.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 0.92 | 0.00 | 0.92 | 90.0 | 0.83 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 24.96 | 0.00 | 24.96 | 90.0 | 22.46 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 20.28 | 0.00 | 20.28 | 90.0 | 18.25 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 20.28 | 0.00 | 20.28 | 90.0 | 18.25 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 3.04 | 0.00 | 3.04 | 90.0 | 2.74 |
| 09/01/2017 | MARSHALL, DEMETRIA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 15.62 | 0.00 | 15.62 | 90.0 | 14.06 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 5.87 | 0.00 | 5.87 | 90.0 | 5.28 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 5.87 | 0.00 | 5.87 | 90.0 | 5.28 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 8.73 | 0.00 | 8.73 | 90.0 | 7.86 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 8.73 | 0.00 | 8.73 | 90.0 | 7.86 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 30.10 | 0.00 | 30.10 | 90.0 | 27.09 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 22.53 | 0.00 | 22.53 | 90.0 | 20.28 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 3.80 | 0.00 | 3.80 | 90.0 | 3.42 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 3.80 | 0.00 | 3.80 | 90.0 | 3.42 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 19.38 | 0.00 | 19.38 | 90.0 | 17.44 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00 | 19.38 | 0.00 | 19.38 | 90.0 | 17.44 |
| 09/15/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 100.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |

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|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/01/2017 | PALMERI, MARIA | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 1.30 | 0.00 | 1.30 | 90.0 | 1.17 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 2.36 | 0.00 | 2.36 | 90.0 | 2.12 |
| 09/01/2017 | KALLEBERG, JUSTIN | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 09/01/2017 | KALLEBERG, JUSTIN | AM FUNDS CAPITAL WORLD GRTH & INC C | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 18.25 | 0.00 | 18.25 | 90.0 | 16.43 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 18.25 | 0.00 | 18.25 | 90.0 | 16.43 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 2.92 | 0.00 | 2.92 | 90.0 | 2.63 |
| 09/01/2017 | PALMER, ROBERT | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 21.77 | 0.00 | 21.77 | 90.0 | 19.59 |
| 09/01/2017 | LUJAN, DEBORAH | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 11.64 | 0.00 | 11.64 | 90.0 | 10.48 |
| 09/01/2017 | MARSHALL, DEMETRIA | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 13.28 | 0.00 | 13.28 | 90.0 | 11.95 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 5.01 | 0.00 | 5.01 | 90.0 | 4.51 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 5.01 | 0.00 | 5.01 | 90.0 | 4.51 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 8.08 | 0.00 | 8.08 | 90.0 | 7.27 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 8.08 | 0.00 | 8.08 | 90.0 | 7.27 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 2.01 | 0.00 | 2.01 | 90.0 | 1.81 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 2.01 | 0.00 | 2.01 | 90.0 | 1.81 |
| 09/01/2017 | HICKSON, MARK | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 18.57 | 0.00 | 18.57 | 90.0 | 16.71 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 3.65 | 0.00 | 3.65 | 90.0 | 3.29 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 3.65 | 0.00 | 3.65 | 90.0 | 3.29 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 19.33 | 0.00 | 19.33 | 90.0 | 17.40 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 0.00 | 19.33 | 0.00 | 19.33 | 90.0 | 17.40 |
| 09/15/2017 | PALMER, ROBERT | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 09/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 2.66 | 0.00 | 2.66 | 90.0 | 2.39 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS EUROPACIFIC GROWTH FUND C | 0.00 | 2.08 | 0.00 | 2.08 | 90.0 | 1.87 |
| 09/01/2017 | MOTOR CITY COMPUTER | AM FUNDS EUROPACIFIC GROWTH FUND-R2 | 0.00 | 113.70 | 0.00 | 113.70 | 90.0 | 102.33 |
| 09/01/2017 | BANNICK, ROBERT | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 11.91 | 0.00 | 11.91 | 90.0 | 10.72 |
| 09/01/2017 | BANNICK, ROBERT | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 11.91 | 0.00 | 11.91 | 90.0 | 10.72 |

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|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 30.44 | 0.00 | 30.44 | 90.0 | 27.40 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 32.82 | 0.00 | 32.82 | 90.0 | 29.54 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 32.82 | 0.00 | 32.82 | 90.0 | 29.54 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 09/01/2017 | LUJAN, DEBORAH | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 16.63 | 0.00 | 16.63 | 90.0 | 14.97 |
| 09/01/2017 | MARSHALL, DEMETRIA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 20.88 | 0.00 | 20.88 | 90.0 | 18.79 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 35.31 | 0.00 | 35.31 | 90.0 | 31.78 |
| 09/01/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 35.31 | 0.00 | 35.31 | 90.0 | 31.78 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 10.49 | 0.00 | 10.49 | 90.0 | 9.44 |
| 09/01/2017 | O'CONNOR, AARON | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 10.49 | 0.00 | 10.49 | 90.0 | 9.44 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 2.57 | 0.00 | 2.57 | 90.0 | 2.31 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 2.57 | 0.00 | 2.57 | 90.0 | 2.31 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 30.94 | 0.00 | 30.94 | 90.0 | 27.85 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 27.45 | 0.00 | 27.45 | 90.0 | 24.71 |
| 09/01/2017 | HICKSON, MARK | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 20.58 | 0.00 | 20.58 | 90.0 | 18.52 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 4.27 | 0.00 | 4.27 | 90.0 | 3.84 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 4.27 | 0.00 | 4.27 | 90.0 | 3.84 |
| 09/01/2017 | PEVOS, DEBRA | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 1.76 | 0.00 | 1.76 | 90.0 | 1.58 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 21.82 | 0.00 | 21.82 | 90.0 | 19.64 |
| 09/01/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 21.82 | 0.00 | 21.82 | 90.0 | 19.64 |
| 09/01/2017 | MARKHAM, CLAIRE | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00 | 4.14 | 0.00 | 4.14 | 90.0 | 3.73 |
| 09/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/18/2017 | QUSSAR, JAMES | AM FUNDS GROWTH FUND OF AMERICA 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 09/01/2017 | PALMERI, MARIA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 1.27 | 0.00 | 1.27 | 90.0 | 1.14 |
| 09/01/2017 | CARROLL, EILEEN | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 4.68 | 0.00 | 4.68 | 90.0 | 4.21 |
| 09/01/2017 | UNTIL AGE 21, MI/UTMA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 3.18 | 0.00 | 3.18 | 90.0 | 2.86 |
| 09/01/2017 | UNTIL AGE 21, MI/UTMA | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 2.72 | 0.00 | 2.72 | 90.0 | 2.45 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 11.95 | 0.00 | 11.95 | 90.0 | 10.76 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS GROWTH FUND OF AMERICA C | 0.00 | 3.62 | 0.00 | 3.62 | 90.0 | 3.26 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS GROWTH FUND OF AMERICA F1 | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 09/01/2017 | MOTOR CITY COMPUTER | AM FUNDS GROWTH FUND OF AMERICA R2 | 0.00 | 223.28 | 0.00 | 223.28 | 90.0 | 200.95 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS ICA 529A | 0.00 | 0.52 | 0.00 | 0.52 | 90.0 | 0.47 |
| 09/01/2017 | BANNICK, ROBERT | AM FUNDS ICA 529C | 0.00 | 7.71 | 0.00 | 7.71 | 90.0 | 6.94 |
| 09/01/2017 | BANNICK, ROBERT | AM FUNDS ICA 529C | 0.00 | 7.71 | 0.00 | 7.71 | 90.0 | 6.94 |
| 09/18/2017 | QUSSAR, JAMES | AM FUNDS ICA 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 09/01/2017 | PALMERI, BRENT | AM FUNDS ICA A | 0.00 | 10.34 | 0.00 | 10.34 | 90.0 | 9.31 |
| 09/01/2017 | PALMERI, MARIA | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 1.71 | 0.00 | 1.71 | 90.0 | 1.54 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS INCOME FUND OF AMERICA C | 0.00 | 0.56 | 0.00 | 0.56 | 90.0 | 0.50 |
| 09/01/2017 | WALSH, KIMBERLY | AM FUNDS INCOME FUND OF AMERICA F-1 | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 09/01/2017 | HICKSON, MARK | AM FUNDS INCOME FUND OF AMERICA-529 | 0.00 | 18.78 | 0.00 | 18.78 | 90.0 | 16.90 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS NEW ECONOMY 529C | 0.00 | 3.19 | 0.00 | 3.19 | 90.0 | 2.87 |
| 09/01/2017 | HOLMES, KAYLA | AM FUNDS NEW ECONOMY 529C | 0.00 | 10.75 | 0.00 | 10.75 | 90.0 | 9.68 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS NEW ECONOMY 529C | 0.00 | 3.99 | 0.00 | 3.99 | 90.0 | 3.59 |
| 09/01/2017 | JAMES, ANGELA | AM FUNDS NEW ECONOMY 529C | 0.00 | 3.99 | 0.00 | 3.99 | 90.0 | 3.59 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS NEW PERSPECTIVE 529C | 0.00 | 28.12 | 0.00 | 28.12 | 90.0 | 25.31 |
| 09/01/2017 | SCACCIA, KELLY | AM FUNDS NEW PERSPECTIVE 529C | 0.00 | 24.10 | 0.00 | 24.10 | 90.0 | 21.69 |
| 09/15/2017 | SCACCIA, KELLY | AM FUNDS NEW PERSPECTIVE 529C | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/15/2017 | SCACCIA, KELLY | AM FUNDS NEW PERSPECTIVE 529C | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS NEW WORLD 529C | 0.00 | 16.02 | 0.00 | 16.02 | 90.0 | 14.42 |
| 09/01/2017 | CARLINO, GIANNI | AM FUNDS NEW WORLD 529C | 0.00 | 16.02 | 0.00 | 16.02 | 90.0 | 14.42 |
| 09/01/2017 | ANTHONY, NICHOLAS | AM FUNDS SMALLCAP WORLD A | 0.00 | 6.49 | 0.00 | 6.49 | 90.0 | 5.84 |
| 09/01/2017 | CARROLL, EILEEN | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 4.14 | 0.00 | 4.14 | 90.0 | 3.73 |
| 09/01/2017 | UNTIL AGE 21, MI/UTMA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 2.18 | 0.00 | 2.18 | 90.0 | 1.96 |
| 09/01/2017 | UNTIL AGE 21, MI/UTMA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 2.21 | 0.00 | 2.21 | 90.0 | 1.99 |
| 09/01/2017 | WALSH, KIMBERLY | AMERICAN FUNDS BALANCED FUNDS F-1 | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 09/01/2017 | KALLEBERG, JUSTIN | AMERICAN FUNDS BALANCED FUNDS F-1 | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 09/18/2017 | QUSSAR, JAMES | AMERICAN FUNDS GRWTH & INC PF 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 09/18/2017 | QUSSAR, JAMES | AMERICAN FUNDS INCOME PF 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 09/06/2017 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 0.00 | 1.11 | 0.00 | 1.11 | 90.0 | 1.00 |
| 09/07/2017 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 15.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 09/07/2017 | MOTOR CITY COMPUTER | PIONEER BOND FUND A | 92.50 | 0.93 | 0.00 | 0.93 | 90.0 | 0.84 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$1,557.50 | \$1,656.85 | \$0.00 | \$1,656.85 | | \$1,491.29 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 09/15/2017 | BUSSELL, JEFFREY | PRU PREMIER RETIREMENT L | 2,500.00 | 50.00 | 0.00 | 50.00 | 90.0 | 45.00 |
| | | * VARIABLE ANNUITIES SUBTOTAL * | \$2,500.00 | \$50.00 | \$0.00 | \$50.00 | | \$45.00 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$4,057.50 | \$1,713.01 | \$0.00 | \$1,713.01 | | \$1,541.83 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,541.83 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$1,541.83 |
| Year-to-date Gross | \$283,043.57 |
| Year-to-date Earnings | \$253,921.05 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed