

COMMISSION STATEMENT

October 31, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/27/2017	75501342 WINNICK, HILLARY	CBS CORP CLASS B	B	5,579.64	51.00	0.00	51.00	55.0	28.05
10/27/2017	75501345 WINNICK, JOELY	CBS CORP CLASS B	B	5,584.59	51.00	0.00	51.00	55.0	28.05
09/28/2017	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0	B	12,500.00	80.00	0.00	80.00	55.0	44.00
10/16/2017	QGV006075 PARTNERS, F/A/O:	LANNETT INC COM	B	59,750.00	100.00	0.00	100.00	55.0	55.00
10/20/2017	QGV006075 PARTNERS, F/A/O:	FRESHPET INC COM	S	-30,910.00	80.00	0.00	80.00	55.0	44.00
10/25/2017	QGV006075 PARTNERS, F/A/O:	GREIF INC CL A	S	-78,470.00	56.00	0.00	56.00	55.0	30.80
10/27/2017	QGW031098 KOPIN, BENJAMIN	CBS CORP CLASS B	B	5,568.70	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031106 TIMYAN, PHILIP	CBS CORP CLASS B	B	5,576.66	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031106 TIMYAN, PHILIP	EMBASSY BANCORP INC	B	14,990.00	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031106 TIMYAN, PHILIP	MAINSTREET BANCSHARES INC COM	B	16,500.00	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031130 KOPIN, BENJAMIN	CBS CORP CLASS B	B	5,569.32	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031148 KOPIN, ZACHARY	CBS CORP CLASS B	B	5,568.70	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031155 KOPIN, JOSHUA	CBS CORP CLASS B	B	5,568.70	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031163 KOPIN, JOSHUA	CBS CORP CLASS B	B	5,568.70	51.00	0.00	51.00	55.0	28.05
10/27/2017	QGW031171 KOPIN, ZACHARY	CBS CORP CLASS B	B	5,568.70	51.00	0.00	51.00	55.0	28.05
10/05/2017	QGW034852 HOLMES, MEGAN	MGT CAP INVTS INC COM NEW	B	12,349.00	150.00	0.00	150.00	55.0	82.50
10/13/2017	QGW034852 HOLMES, MEGAN	MGT CAP INVTS INC COM NEW	B	26,300.00	300.00	0.00	300.00	55.0	165.00
* STOCKS SUBTOTAL *				\$83,162.71	\$1,327.00	\$0.00	\$1,327.00		\$729.85
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/05/2017	QGW034852 HOLMES, MEGAN	PUT 100 SHOPIFY INC CL A ISIN#CA825 S		-1,300.00	75.00	0.00	75.00	55.0	41.25
10/12/2017	QGW034852 HOLMES, MEGAN	PUT 100 EQUIFAX INC EXP 10-13-17@10 S		-1,170.00	75.00	0.00	75.00	55.0	41.25
* OPTIONS SUBTOTAL *				\$-2,470.00	\$150.00	\$0.00	\$150.00		\$82.50
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
10/23/2017	VARIOUS, WINNICK	RESEARCH---BARON	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
10/31/2017	VARIOUS, WINNICK	STEADFAST	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$5,000.00	\$0.00	\$5,000.00		\$2,750.00
*** BROKER TRANSACTIONS TOTAL ***				\$80,692.71	\$6,477.00	\$0.00	\$6,477.00		\$3,562.35

BROKER COMMISSION TOTALS

Broker Transactions	\$3,562.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.85
SIPC Assessment	\$-3.69

Check Amount	\$3,556.81
Year-to-date Earnings	\$20,399.50