

**COMMISSION STATEMENT****November 15, 2017**WILLIAM WALSH
2565 West MapleBROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	29.45	0.00	29.45	0.0	0.00
10/10/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.12	0.00	3.12	0.0	0.00
10/10/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.36	0.00	6.36	0.0	0.00
10/10/2017	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.63	0.00	4.63	0.0	0.00
10/10/2017	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.56	0.00	2.56	0.0	0.00
10/10/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.00
10/10/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	0.0	0.00
10/10/2017	HANSZ, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	37.19	0.00	37.19	0.0	0.00
10/10/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.90	0.00	13.90	0.0	0.00
10/10/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.00
10/10/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.12	0.00	1.12	0.0	0.00
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.45	0.00	13.45	0.0	0.00
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.61	0.00	15.61	0.0	0.00
10/10/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.46	0.00	14.46	0.0	0.00
10/10/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.23	0.00	13.23	0.0	0.00
10/10/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.43	0.00	34.43	0.0	0.00
10/10/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	40.57	0.00	40.57	0.0	0.00
10/10/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.27	0.00	4.27	0.0	0.00
10/10/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.38	0.00	0.38	0.0	0.00
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.80	0.00	6.80	0.0	0.00
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.00
10/10/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	9.49	0.00	9.49	0.0	0.00
10/10/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.67	0.00	4.67	0.0	0.00
10/10/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.09	0.00	9.09	0.0	0.00
10/10/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.04	0.00	1.04	0.0	0.00
10/10/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.99	0.00	9.99	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.26	0.00	1.26	0.0	0.00
10/10/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.08	0.00	1.08	0.0	0.00
10/10/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	20.40	0.00	20.40	0.0	0.00
10/10/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.78	0.00	1.78	0.0	0.00
10/10/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	13.60	0.00	13.60	0.0	0.00
10/10/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.36	0.00	2.36	0.0	0.00
10/10/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	13.03	0.00	13.03	0.0	0.00
10/10/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.42	0.00	7.42	0.0	0.00
10/10/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	44.59	0.00	44.59	0.0	0.00
10/10/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.07	0.00	1.07	0.0	0.00
10/10/2017	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	1.42	0.00	1.42	0.0	0.00
10/10/2017	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	35.15	0.00	35.15	0.0	0.00
10/10/2017	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	33.58	0.00	33.58	0.0	0.00
10/10/2017	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	82.69	0.00	82.69	0.0	0.00
10/10/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.68	0.00	4.68	0.0	0.00
10/10/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.61	0.00	5.61	0.0	0.00
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
10/10/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	7.76	0.00	7.76	0.0	0.00
10/10/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
10/10/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	53.18	0.00	53.18	0.0	0.00
10/10/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.33	0.00	10.33	0.0	0.00
10/10/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.41	0.00	10.41	0.0	0.00
10/10/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	25.98	0.00	25.98	0.0	0.00
10/10/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.08	0.00	1.08	0.0	0.00
10/10/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.65	0.00	1.65	0.0	0.00
10/10/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.63	0.00	14.63	0.0	0.00
10/10/2017	APPLECON, LLC	PIMCO ALL ASSET A	0.00	446.56	0.00	446.56	0.0	0.00
10/10/2017	YOUNG PROFIT SHARING, RICK	PIMCO ALL ASSET A	0.00	33.21	0.00	33.21	0.0	0.00
10/10/2017	YOUNG-CASH BALANCE, RICK	PIMCO ALL ASSET A	0.00	166.07	0.00	166.07	0.0	0.00
10/10/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.31	0.00	0.31	0.0	0.00
10/10/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	13.95	0.00	13.95	0.0	0.00
10/10/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.50	0.00	0.50	0.0	0.00
10/10/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.38	0.00	7.38	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	9.01	0.00	9.01	0.0	0.00
10/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	0.0	0.00
10/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.48	0.00	0.48	0.0	0.00
10/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.16	0.00	1.16	0.0	0.00
10/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.23	0.00	0.23	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,350.57	\$0.00	\$1,350.57		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/02/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,358,166.88	576.76	0.00	576.76	0.0	0.00
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	62,035.93	13.60	0.00	13.60	0.0	0.00
/ /	COMMUNITY HOUSE	NWL POOLED FXD	404.55	0.09	0.00	0.09	0.0	0.00
10/25/2017	BROOKS, JAMES F & MARGE	PAC LIFE INNOVATIVE SELECT	0.00	642.55	0.00	642.55	0.0	0.00
10/16/2017	SENGSTOCK, FRANK	PAC LIFE PACIFIC CHOICE	0.00	850.52	0.00	850.52	0.0	0.00
10/31/2017	SENGSTOCK, KATHLEEN	PAC LIFE PACIFIC CHOICE	0.00	575.24	0.00	575.24	0.0	0.00
10/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	589.07	0.00	589.07	0.0	0.00
10/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	69.97	0.00	69.97	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$1,420,607.36	\$3,317.80	\$0.00	\$3,317.80		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	CHICAGO WICKER	ADP TRAILS	0.00	3,088.01	0.00	3,088.01	0.0	0.00
11/11/2017	DARGO, JILL	JOHN HANCOCK FREEDOM 529	0.00	0.01	0.00	0.01	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,088.02	\$0.00	\$3,088.02		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/30/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	20.58	0.00	20.58	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$20.58	\$0.00	\$20.58		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,420,607.36	\$7,776.97	\$0.00	\$7,776.97		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$124,447.50
Year-to-date Earnings	\$83,782.97