

# STATEMENT

## February 20, 2018

JAMES FULTON  
11276 Rt 322  
SUITE 620  
Shipperville, PA 16254

BROKER #: 183  
BRANCH: LA BRANCH

### COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/03/2018	#66196689901	JOHNSON, PATTY HARTFORD SMART529 STATIC GROWTH A	0.00	0.91	93.0	0.00	0.85	0.00	0.85
01/03/2018	#66752257501	BELLIS, KATHRYN HARTFORD SMART529 STATIC GROWTH A	0.00	0.91	93.0	0.00	0.85	0.00	0.85
01/03/2018	#66752257502	BELLIS, KATHRYN HARTFORD SMART529 STATIC GROWTH A	0.00	0.91	93.0	0.00	0.85	0.00	0.85
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.73		\$0.00	\$2.55	\$0.00	\$2.55
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
01/25/2018	# LAZICH, NATLIJA	ACADIA PHARMACEUTICALS INC	2,765.69	75.50	85.0	0.00	64.18	19.95	44.23
01/25/2018	# KRULL, LEE	ACADIA PHARMACEUTICALS INC	3,066.52	75.00	85.0	0.00	63.75	19.95	43.80
01/25/2018	# JAMES, DELORES	CISCO SYSTEMS INC	5,027.71	136.84	85.0	0.00	116.31	19.95	96.36
01/25/2018	# LAZICH, NATLIJA	IONIS PHARMACEUTICALS INC COM	5,198.51	105.00	85.0	0.00	89.25	19.95	69.30
01/25/2018	# KRULL, LEE	VEREIT INC COM	3,268.59	75.00	85.0	0.00	63.75	19.95	43.80
* STOCKS SUBTOTAL *			\$19,327.02	\$467.34		\$0.00	\$397.24	\$99.75	\$297.49
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/05/0017	#191424871	POWNEY, JAMES SECURITY BEENFIT SBGTL	0.00	2.00	93.0	0.00	1.86	0.00	1.86
12/28/2017	#169683976	FRAZIER, ROBERT SECURITY BEENFIT SBGTL	0.00	1.06	93.0	0.00	0.99	0.00	0.99
12/28/2017	#191424871	POWNEY, JAMES SECURITY BEENFIT SBGTL	0.00	0.78	93.0	0.00	0.73	0.00	0.73
12/31/2017	#1012667791	MAGNESS, DEAN ELITE ACCESS	44,421.85	14.81	93.0	0.00	13.77	0.00	13.77
12/31/2017	#1012690181	MARCONE, GAYLE ELITE ACCESS	33,988.04	11.33	93.0	0.00	10.54	0.00	10.54
12/31/2017	#1012671012	CALDWELL, BRIAN ELITE ACCESS	26,310.14	8.77	93.0	0.00	8.16	0.00	8.16
12/31/2017	#1012670598	MCANINCH, DEBORA ELITE ACCESS	36,359.06	12.12	93.0	0.00	11.27	0.00	11.27
01/16/2018	#1010686900	HOOK, LINDA PERSPECTIVE II	50.00	3.75	93.0	0.00	3.49	0.00	3.49
01/22/2018	#1016965398	MCANINCH, DEBORA ELITE ACCESS	300.00	15.75	93.0	0.00	14.65	0.00	14.65
01/31/2018	#1012671012	CALDWELL, BRIAN ELITE ACCESS	27,248.58	9.08	93.0	0.00	8.44	0.00	8.44
01/31/2018	#1012667791	MAGNESS, DEAN ELITE ACCESS	45,787.38	15.26	93.0	0.00	14.19	0.00	14.19
01/31/2018	#1012690181	MARCONE, GAYLE ELITE ACCESS	35,200.25	11.73	93.0	0.00	10.91	0.00	10.91
01/31/2018	#1012670598	MCANINCH, DEBORA ELITE ACCESS	37,611.14	12.54	93.0	0.00	11.66	0.00	11.66
* VARIABLE ANNUITIES SUBTOTAL *			\$287,276.44	\$118.98		\$0.00	\$110.66	\$0.00	\$110.66

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018 # POWNEY, SUSAN	DAIN TRAILER	0.00	1.06	93.0	0.00	0.99	0.00	0.99
01/10/2018 # POWNEY, SUSAN	DAIN TRAILER	0.00	7.99	93.0	0.00	7.43	0.00	7.43
01/10/2018 # BELLIS, KATHRYN	DAIN TRAILER	0.00	0.13	93.0	0.00	0.12	0.00	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$9.18		\$0.00	\$8.54	\$0.00	\$8.54
*** BROKER TRANSACTIONS TOTAL ***		\$306,603.46	\$598.23		\$0.00	\$518.99	\$99.75	\$419.24

ADJUSTMENTS

02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
02/20/2018	ADMIN FEE: ADMIN FEE	-320.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$419.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-473.20
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-552.96
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