

**COMMISSION STATEMENT****June 15, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/07/2018	BERNDT, TODD	HYPERMOLAR INC COM	496.80	0.00	27.00	-27.00	80.0	-21.60
* STOCKS SUBTOTAL *			\$496.80	\$0.00	\$27.00	\$-27.00		\$-21.60
*** CLEARING TRANSACTIONS TOTAL ***			\$496.80	\$0.00	\$27.00	\$-27.00		\$-21.60

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
05/24/2018	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.30	0.00	1.30	80.0	1.04
05/24/2018	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	30.00	2.00	0.00	2.00	80.0	1.60
05/24/2018	GEVERINK, ANN	INA AMER FDS EUROPAC GROWT A	30.00	1.20	0.00	1.20	80.0	0.96
05/31/2018	SAYA, CHRISTINE	INA AMER FDS EUROPAC GROWT A	18.00	0.12	0.00	0.12	80.0	0.10
/ /	GEVERINK, ANN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.00	0.00	2.00	80.0	1.60
/ /	SAYA, CHRISTINE	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.42	0.00	0.42	80.0	0.34
/ /	FREEZE, CORTNEY	INA DIAMOND HILL SM-MID CAP PORTF A	0.00	0.86	0.00	0.86	80.0	0.69
05/24/2018	GEVERINK, ANN	INA DIAMOND HILL SM-MID CAP PORTF A	50.00	1.20	0.00	1.20	80.0	0.96
* 529 PLANS SUBTOTAL *			\$178.00	\$9.10	\$0.00	\$9.10		\$7.29

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	80.0	3.40
05/23/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	80.0	1.10
05/29/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	80.0	4.00
06/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
05/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	80.0	1.43
06/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	80.0	1.50
05/21/2018	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	80.0	2.00
05/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	80.0	1.65
05/29/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	80.0	1.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	80.0	1.50
06/07/2018	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	80.0	1.10
06/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	80.0	11.00
06/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	80.0	1.50
05/23/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	80.0	1.65
05/24/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	80.0	1.70
05/29/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	80.0	3.40
06/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
06/07/2018	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	80.0	1.10
05/23/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	80.0	1.21
06/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	4.00
05/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	80.0	1.43
05/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	80.0	1.43
06/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	80.0	1.50
06/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
06/01/2018	RAMUS, ERIC	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	80.0	2.00
06/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
05/29/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	80.0	3.40
05/31/2018	FREEZE, CORTNEY	INA CORE BOND INDEX PORT CLASS A	70.00	0.33	0.00	0.33	80.0	0.26
/ /	FREEZE, CORTNEY	INA INTER EQTY INDEX PORTF A	0.00	1.19	0.00	1.19	80.0	0.95
/ /	FREEZE, CORTNEY	INA LG CAP INDEX PORT CLASS A	0.00	2.23	0.00	2.23	80.0	1.78
05/31/2018	SAYA, CHRISTINE	INA PIMCO TOT RET PORT CLA A	70.00	0.16	0.00	0.16	80.0	0.13
05/31/2018	SAYA, CHRISTINE	INA T ROWE PRICE LG CAP GROWTH PO A	30.00	0.42	0.00	0.42	80.0	0.34
* MUTUAL FUNDS SUBTOTAL *			\$3,220.00	\$104.63	\$0.00	\$104.63		\$83.66
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	POSTMA, THELMA	JNL PERSPECTIVE II	20,506.93	8.54	0.00	8.54	80.0	6.83
04/30/2018	SENGER, LYNDIA	PRU AS LIFEVEST II	110,986.19	23.12	0.00	23.12	80.0	18.50
04/30/2018	SENGER, LYNDIA	PRU AS LIFEVEST II	110,986.19	69.37	0.00	69.37	80.0	55.50
05/31/2018	SENGER, LYNDIA	PRU AS LIFEVEST II	112,766.13	23.49	0.00	23.49	80.0	18.79
05/31/2018	SENGER, LYNDIA	PRU AS LIFEVEST II	112,766.13	70.48	0.00	70.48	80.0	56.38
* VARIABLE ANNUITIES SUBTOTAL *			\$468,011.57	\$195.00	\$0.00	\$195.00		\$156.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	WALLACE, BARBARA	AM FUNDS AMCAP A	0.00	0.58	0.00	0.58	80.0	0.46
06/01/2018	CSUHTA, JULIE	AM FUNDS AMCAP A	0.00	7.14	0.00	7.14	80.0	5.71
06/01/2018	WIGGINS, CHRISTA	AM FUNDS AMCAP A	0.00	1.79	0.00	1.79	80.0	1.43
06/01/2018	SALON, WAYNE DALE	AM FUNDS AMCAP A	0.00	28.43	0.00	28.43	80.0	22.74
06/01/2018	LOWDEN, KATHERINE	AM FUNDS AMCAP A	0.00	9.81	0.00	9.81	80.0	7.85
06/01/2018	SAINSBURY, JAMES	AM FUNDS AMCAP A	0.00	1.85	0.00	1.85	80.0	1.48
06/01/2018	SAINSBURY, MAKAYLA	AM FUNDS AMCAP A	0.00	1.85	0.00	1.85	80.0	1.48
06/01/2018	SLATER, JUSTIN	AM FUNDS AMCAP F1	0.00	0.11	0.00	0.11	80.0	0.09
06/01/2018	GOODMAN, LAUREN	AM FUNDS AMERICAN BALANCED 529A	0.00	14.91	0.00	14.91	80.0	11.93
06/01/2018	GOODMAN, LAUREN	AM FUNDS AMERICAN BALANCED 529A	0.00	12.41	0.00	12.41	80.0	9.93
06/01/2018	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	0.00	1.26	0.00	1.26	80.0	1.01
05/15/2018	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.73	0.00	0.73	80.0	0.58
05/15/2018	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.84	0.00	0.84	80.0	0.67
05/15/2018	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.16	0.00	1.16	80.0	0.93
05/15/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	80.0	0.36
05/15/2018	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.94	0.00	11.94	80.0	9.55
05/15/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	80.0	0.41
05/15/2018	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.70	0.00	0.70	80.0	0.56
06/01/2018	PIERCE, MARILYN	AM FUNDS AMERICAN BALANCED A	0.00	5.95	0.00	5.95	80.0	4.76
06/01/2018	SHANK, DEAN	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	80.0	1.13
06/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.81	0.00	0.81	80.0	0.65
06/01/2018	BROCKMANO, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	1.43	0.00	1.43	80.0	1.14
06/01/2018	MARTZ, PHILLIS	AM FUNDS AMERICAN BALANCED A	0.00	12.12	0.00	12.12	80.0	9.70
06/01/2018	SEWARD, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	1.67	0.00	1.67	80.0	1.34
06/01/2018	MEIER, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	2.46	0.00	2.46	80.0	1.97
06/01/2018	BURNS, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	2.63	0.00	2.63	80.0	2.10
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS AMERICAN BALANCED A	0.00	10.18	0.00	10.18	80.0	8.14
06/01/2018	MILLER, JULIE	AM FUNDS AMERICAN BALANCED A	0.00	8.95	0.00	8.95	80.0	7.16
06/01/2018	ZINN, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	6.41	0.00	6.41	80.0	5.13
06/01/2018	ISLEY, CATHY	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	80.0	0.25
06/01/2018	KAGE, JENNIFER	AM FUNDS AMERICAN BALANCED A	0.00	7.34	0.00	7.34	80.0	5.87
06/01/2018	AMARO, SOPHIA	AM FUNDS AMERICAN BALANCED A	0.00	0.74	0.00	0.74	80.0	0.59
06/01/2018	THOMAS, BRADFORD	AM FUNDS AMERICAN BALANCED A	0.00	7.63	0.00	7.63	80.0	6.10

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MULDOON, MARGARET	AM FUNDS AMERICAN BALANCED A	0.00	1.54	0.00	1.54	80.0	1.23
06/01/2018	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	11.24	0.00	11.24	80.0	8.99
06/01/2018	DAVIS, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	0.88	0.00	0.88	80.0	0.70
06/01/2018	MURPHY, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	1.40	0.00	1.40	80.0	1.12
06/01/2018	ALLAN, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	4.69	0.00	4.69	80.0	3.75
06/01/2018	GOODMAN, STEPHANIE	AM FUNDS AMERICAN BALANCED A	0.00	3.76	0.00	3.76	80.0	3.01
06/01/2018	BUSH, SANDRA	AM FUNDS AMERICAN BALANCED A	0.00	0.97	0.00	0.97	80.0	0.78
06/01/2018	MARCH, MELODY	AM FUNDS AMERICAN BALANCED A	0.00	3.41	0.00	3.41	80.0	2.73
06/01/2018	FLEEGER, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	1.88	0.00	1.88	80.0	1.50
06/01/2018	CASEY, GISELA	AM FUNDS AMERICAN BALANCED A	0.00	7.42	0.00	7.42	80.0	5.94
06/01/2018	MCARDLE, DANIEL	AM FUNDS AMERICAN BALANCED A	0.00	19.07	0.00	19.07	80.0	15.26
06/01/2018	ALLAN, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	3.49	0.00	3.49	80.0	2.79
06/01/2018	WHEELER, MARY	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	80.0	2.33
06/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	2.03	0.00	2.03	80.0	1.62
06/01/2018	AMARO, ETHAN	AM FUNDS AMERICAN BALANCED A	0.00	1.46	0.00	1.46	80.0	1.17
06/01/2018	KUMFER, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	14.86	0.00	14.86	80.0	11.89
06/01/2018	MEIER, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	80.0	0.58
06/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.67	0.00	0.67	80.0	0.54
06/01/2018	BLAIR, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	7.08	0.00	7.08	80.0	5.66
06/01/2018	LAYMAN, VICKI	AM FUNDS AMERICAN BALANCED A	0.00	10.69	0.00	10.69	80.0	8.55
06/01/2018	SIEGER, RODNEY	AM FUNDS AMERICAN BALANCED A	0.00	5.75	0.00	5.75	80.0	4.60
06/01/2018	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	10.26	0.00	10.26	80.0	8.21
06/01/2018	KILLION, NICHOLAS	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	80.0	0.22
06/01/2018	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	0.00	7.55	0.00	7.55	80.0	6.04
06/01/2018	THURSTON, TIMOTHY	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	80.0	0.17
06/01/2018	FREEZE, MONTE	AM FUNDS AMERICAN BALANCED A	0.00	1.33	0.00	1.33	80.0	1.06
06/01/2018	BYRUM JR, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	2.48	0.00	2.48	80.0	1.98
06/01/2018	FEIPEL, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	80.0	0.38
06/01/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	0.00	5.18	0.00	5.18	80.0	4.14
06/01/2018	MCGUIRE, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	4.54	0.00	4.54	80.0	3.63
06/01/2018	VLOT, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	6.10	0.00	6.10	80.0	4.88
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	5.35	0.00	5.35	80.0	4.28
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	3.00	0.00	3.00	80.0	2.40

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	LOWDEN, KATHERINE	AM FUNDS AMERICAN BALANCED A	0.00	37.51	0.00	37.51	80.0	30.01
06/01/2018	RICKER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	3.49	0.00	3.49	80.0	2.79
06/01/2018	RICKER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.38	0.00	2.38	80.0	1.90
06/01/2018	RATTY, MINDY	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	80.0	0.49
06/01/2018	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	2.45	0.00	2.45	80.0	1.96
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	CAPPLEMAN, WADE	AM FUNDS AMERICAN BALANCED A	0.00	1.67	0.00	1.67	80.0	1.34
06/01/2018	SHERBURNE, STEVEN	AM FUNDS AMERICAN BALANCED A	0.00	46.25	0.00	46.25	80.0	37.00
06/01/2018	PEPPLE, EVELYN	AM FUNDS AMERICAN BALANCED A	0.00	2.73	0.00	2.73	80.0	2.18
06/01/2018	PEPPLE, GRADEN	AM FUNDS AMERICAN BALANCED A	0.00	1.59	0.00	1.59	80.0	1.27
06/01/2018	MCFEETERS, VALERIE	AM FUNDS AMERICAN BALANCED A	0.00	0.89	0.00	0.89	80.0	0.71
06/01/2018	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	1.85	0.00	1.85	80.0	1.48
06/01/2018	MATTHEWS, GAIL	AM FUNDS AMERICAN BALANCED A	0.00	2.45	0.00	2.45	80.0	1.96
06/01/2018	REMENSCHNEIDER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	25.32	0.00	25.32	80.0	20.26
06/01/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	80.0	0.39
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN BALANCED A	0.00	1.25	0.00	1.25	80.0	1.00
06/01/2018	LUDWIG, KENDRA	AM FUNDS AMERICAN BALANCED A	0.00	30.97	0.00	30.97	80.0	24.78
06/01/2018	PEARSON, JOAN	AM FUNDS AMERICAN BALANCED A	0.00	6.46	0.00	6.46	80.0	5.17
06/01/2018	PEARSON, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	15.56	0.00	15.56	80.0	12.45
06/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	3.20	0.00	3.20	80.0	2.56
06/01/2018	STEBING, ADDISON	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2018	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	6.27	0.00	6.27	80.0	5.02
06/01/2018	RHEN, JOLYN	AM FUNDS AMERICAN BALANCED A	0.00	0.58	0.00	0.58	80.0	0.46
06/01/2018	GREEN, KYLE	AM FUNDS AMERICAN BALANCED A	0.00	1.58	0.00	1.58	80.0	1.26
06/01/2018	HINTON, EMILY	AM FUNDS AMERICAN BALANCED A	0.00	4.41	0.00	4.41	80.0	3.53
06/01/2018	JINES, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	1.99	0.00	1.99	80.0	1.59
06/01/2018	WHITE, TAYLOR	AM FUNDS AMERICAN BALANCED A	0.00	1.18	0.00	1.18	80.0	0.94
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	3.08	0.00	3.08	80.0	2.46
06/01/2018	HOSKINS, AUSTIN	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	80.0	0.25
06/01/2018	ABERCROMBIE, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	80.0	0.74
06/01/2018	LOWDEN, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	3.28	0.00	3.28	80.0	2.62
06/01/2018	HERBER, MARK	AM FUNDS AMERICAN BALANCED A	0.00	12.68	0.00	12.68	80.0	10.14
06/01/2018	DRUHOT, LESLIE	AM FUNDS AMERICAN BALANCED A	0.00	1.82	0.00	1.82	80.0	1.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	WILSON, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.18	0.00	2.18	80.0	1.74
06/01/2018	HENRY, JEANNE	AM FUNDS AMERICAN BALANCED A	0.00	4.87	0.00	4.87	80.0	3.90
06/01/2018	KAUFFMAN, ALLISON	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	80.0	0.58
06/01/2018	CAPPLEMAN, WADE	AM FUNDS AMERICAN BALANCED A	0.00	0.75	0.00	0.75	80.0	0.60
06/01/2018	HERBER, MARK	AM FUNDS AMERICAN BALANCED A	0.00	3.17	0.00	3.17	80.0	2.54
06/01/2018	MURPHY, SHARI	AM FUNDS AMERICAN BALANCED A	0.00	69.06	0.00	69.06	80.0	55.25
06/01/2018	MURPHY, SHARI	AM FUNDS AMERICAN BALANCED A	0.00	4.13	0.00	4.13	80.0	3.30
06/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	1.09	0.00	1.09	80.0	0.87
06/01/2018	MURPHY, LOU	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	80.0	1.13
06/01/2018	MURPHY, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	7.12	0.00	7.12	80.0	5.70
06/01/2018	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	0.00	2.37	0.00	2.37	80.0	1.90
06/01/2018	ZEEDYK, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.02	0.00	2.02	80.0	1.62
06/01/2018	FOSNAUGH, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	2.90	0.00	2.90	80.0	2.32
06/01/2018	FOSNAUGH, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	2.58	0.00	2.58	80.0	2.06
06/01/2018	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	80.0	0.22
06/01/2018	PELFREY, KRISTEN	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
06/01/2018	PELFREY, TODD	AM FUNDS AMERICAN BALANCED A	0.00	1.22	0.00	1.22	80.0	0.98
06/01/2018	BENEFICIARY, ELLIOTT	AM FUNDS AMERICAN BALANCED A	0.00	9.01	0.00	9.01	80.0	7.21
06/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	80.0	0.37
06/01/2018	BURNS-PAITAN, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	0.90	0.00	0.90	80.0	0.72
06/01/2018	SAINSBURY, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.60	0.00	0.60	80.0	0.48
06/01/2018	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	80.0	0.34
06/01/2018	NORRIS, DANAE	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	80.0	0.24
06/01/2018	SAINSBURY, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.60	0.00	0.60	80.0	0.48
06/01/2018	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED C	0.00	9.39	0.00	9.39	80.0	7.51
05/15/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	80.0	0.37
05/15/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.76	0.00	0.76	80.0	0.61
06/01/2018	SHANK, DEAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.55	0.00	1.55	80.0	1.24
06/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.89	0.00	0.89	80.0	0.71
06/01/2018	BROCKMANO, EDWARD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	80.0	0.15
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.18	0.00	7.18	80.0	5.74
06/01/2018	BUSH, SANDRA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.78	0.00	4.78	80.0	3.82
06/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.84	0.00	3.84	80.0	3.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	KUMFER, JOSEPH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	9.65	0.00	9.65	80.0	7.72
06/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	80.0	0.59
06/01/2018	BLAIR, BRIAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.47	0.00	3.47	80.0	2.78
06/01/2018	LOWDEN, KATHERINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	22.41	0.00	22.41	80.0	17.93
06/01/2018	SHADY, SCOT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.03	0.00	0.03	80.0	0.02
06/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.34	0.00	1.34	80.0	1.07
06/01/2018	COGDELL, CARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.06	0.00	5.06	80.0	4.05
06/01/2018	LOWDEN, JAMES	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.93	0.00	3.93	80.0	3.14
06/01/2018	HERBER, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.82	0.00	6.82	80.0	5.46
06/01/2018	PELFREY, KRISTEN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.76	0.00	0.76	80.0	0.61
06/01/2018	PELFREY, TODD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.62	0.00	0.62	80.0	0.50
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	14.52	0.00	14.52	80.0	11.62
06/01/2018	SHADY, SCOT	AM FUNDS AMERICAN MUTUAL A	0.00	1.88	0.00	1.88	80.0	1.50
06/01/2018	BAILEY, RICHARD	AM FUNDS BALANCED PORT A	0.00	147.89	0.00	147.89	80.0	118.31
05/15/2018	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.58	0.00	0.58	80.0	0.46
06/01/2018	SHANK, DEAN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.11	0.00	1.11	80.0	0.89
06/01/2018	SHANK, CAROLE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.64	0.00	0.64	80.0	0.51
06/01/2018	NEACE, VICTORIA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.48	0.00	0.48	80.0	0.38
06/01/2018	SHANK, CAROLE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.53	0.00	0.53	80.0	0.42
06/01/2018	PEARSON, JOAN	AM FUNDS BOND FUND OF AMERICA A	0.00	4.20	0.00	4.20	80.0	3.36
06/01/2018	PEARSON, EDWIN	AM FUNDS BOND FUND OF AMERICA A	0.00	10.27	0.00	10.27	80.0	8.22
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.86	0.00	1.86	80.0	1.49
06/01/2018	COGDELL, CARY	AM FUNDS BOND FUND OF AMERICA A	0.00	3.58	0.00	3.58	80.0	2.86
06/01/2018	LOWDEN, JAMES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.65	0.00	2.65	80.0	2.12
06/01/2018	FOSNAUGH, KAREN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.47	0.00	1.47	80.0	1.18
06/01/2018	PELFREY, KRISTEN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.53	0.00	0.53	80.0	0.42
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS BOND FUND OF AMERICA C	0.00	16.05	0.00	16.05	80.0	12.84
06/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.42	0.00	2.42	80.0	1.94
06/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.01	0.00	2.01	80.0	1.61
06/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.10	0.00	1.10	80.0	0.88
06/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.04	0.00	0.04	80.0	0.03
05/15/2018	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	80.0	1.10
05/15/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.77	0.00	0.77	80.0	0.62

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2018	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.85	0.00	8.85	80.0	7.08
06/01/2018	PIERCE, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.41	0.00	4.41	80.0	3.53
06/01/2018	TIMMS, JOAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.62	0.00	22.62	80.0	18.10
06/01/2018	PINNELL, J MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.98	0.00	0.98	80.0	0.78
06/01/2018	SIMPSON, LYLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.01	0.00	5.01	80.0	4.01
06/01/2018	SIMPSON, KATHRYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.49	0.00	6.49	80.0	5.19
06/01/2018	BROCKMANO, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	80.0	0.63
06/01/2018	MARTZ, PHILLIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.87	0.00	11.87	80.0	9.50
06/01/2018	MURPHY, DANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.49	0.00	0.49	80.0	0.39
06/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.07	0.00	2.07	80.0	1.66
06/01/2018	BURNS, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.21	0.00	3.21	80.0	2.57
06/01/2018	BURNS, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.94	0.00	0.94	80.0	0.75
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.65	0.00	2.65	80.0	2.12
06/01/2018	MILLER, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.71	0.00	6.71	80.0	5.37
06/01/2018	BOKHART, GORDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.62	0.00	6.62	80.0	5.30
06/01/2018	MARCH, MELODY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	80.0	1.10
06/01/2018	OAKES, ERIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.05	0.00	1.05	80.0	0.84
06/01/2018	MILLER, DANIEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	0.00	1.86	80.0	1.49
06/01/2018	PATEL, GITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.52	0.00	8.52	80.0	6.82
06/01/2018	CLARK, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.45	0.00	6.45	80.0	5.16
06/01/2018	CSUHTA, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.13	0.00	2.13	80.0	1.70
06/01/2018	MCARDLE, SHAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	72.66	0.00	72.66	80.0	58.13
06/01/2018	MCARDLE, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.11	0.00	7.11	80.0	5.69
06/01/2018	GOODMAN, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.10	0.00	1.10	80.0	0.88
06/01/2018	URICK, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.34	0.00	3.34	80.0	2.67
06/01/2018	BUSH, SANDRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.73	0.00	0.73	80.0	0.58
06/01/2018	O'LAUGHLIN, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.02	0.00	5.02	80.0	4.02
06/01/2018	ALLAN, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.31	0.00	2.31	80.0	1.85
06/01/2018	LAWSON TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.91	0.00	20.91	80.0	16.73
06/01/2018	KUNKEL, AMELIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.15	0.00	2.15	80.0	1.72
06/01/2018	DEATRICK, AMANDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.67	0.00	13.67	80.0	10.94
06/01/2018	MUSKIN, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	80.0	0.22

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	OAKES, ERIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	80.0	0.32
06/01/2018	MULDOON, MARGARET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.45	0.00	1.45	80.0	1.16
06/01/2018	COGDELL, CARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.14	0.00	2.14	80.0	1.71
06/01/2018	COGDELL, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.25	0.00	1.25	80.0	1.00
06/01/2018	KUMFER, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.17	0.00	5.17	80.0	4.14
06/01/2018	HERBER, TRINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.09	0.00	3.09	80.0	2.47
06/01/2018	SAMELS, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	80.0	0.14
06/01/2018	STANSBERRY, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.59	0.00	1.59	80.0	1.27
06/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	OAKES, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	BLAIR, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.52	0.00	2.52	80.0	2.02
06/01/2018	ZINN, JEANNETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.75	0.00	7.75	80.0	6.20
06/01/2018	HEES, TAMERA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	80.0	0.98
06/01/2018	LAYMAN, VICKI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.30	0.00	3.30	80.0	2.64
06/01/2018	SWAGER, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.96	0.00	12.96	80.0	10.37
06/01/2018	SALON, WAYNE DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.79	0.00	18.79	80.0	15.03
06/01/2018	SIEGER, RODNEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.93	0.00	9.93	80.0	7.94
06/01/2018	HERRIMAN, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.67	0.00	0.67	80.0	0.54
06/01/2018	PATEL, JASWANT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.47	0.00	4.47	80.0	3.58
06/01/2018	JENTGEN, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.60	0.00	0.60	80.0	0.48
06/01/2018	BYRUM JR, GERALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.47	0.00	1.47	80.0	1.18
06/01/2018	FEIPEL, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	80.0	0.32
06/01/2018	EAGLESON, AMBER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	80.0	0.77
06/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.56	0.00	4.56	80.0	3.65
06/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.03	0.00	3.03	80.0	2.42
06/01/2018	MCGUIRE, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.60	0.00	3.60	80.0	2.88
06/01/2018	VLOT, KATHRYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.90	0.00	4.90	80.0	3.92
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.22	0.00	1.22	80.0	0.98
06/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	80.0	0.63
06/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.98	0.00	1.98	80.0	1.58
06/01/2018	EAGLESON, MYSTEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.78	0.00	0.78	80.0	0.62
06/01/2018	HOEFFEL, GREGGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	80.0	0.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.71	0.00	0.71	80.0	0.57
06/01/2018	CACCAMO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.08	0.00	2.08	80.0	1.66
06/01/2018	HINTON, EMILY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.70	0.00	1.70	80.0	1.36
06/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.08	0.00	0.08	80.0	0.06
06/01/2018	HERBER, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.63	0.00	4.63	80.0	3.70
06/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.92	0.00	0.92	80.0	0.74
06/01/2018	FOSNAUGH, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.64	0.00	1.64	80.0	1.31
06/01/2018	PELFREY, TODD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.37	0.00	0.37	80.0	0.30
06/01/2018	BENEFICIARY, ELLIOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.84	0.00	0.84	80.0	0.67
06/01/2018	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.72	0.00	1.72	80.0	1.38
06/01/2018	LAYMAN, VICKI	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.11	0.00	2.11	80.0	1.69
06/01/2018	DRUHOT, SCOTT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.20	0.00	0.20	80.0	0.16
05/15/2018	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.42	0.00	0.42	80.0	0.34
06/01/2018	SHANK, DEAN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.72	0.00	0.72	80.0	0.58
06/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	80.0	0.33
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS CAPITAL WORLD BOND A	0.00	2.20	0.00	2.20	80.0	1.76
06/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL WORLD BOND A	0.00	1.13	0.00	1.13	80.0	0.90
06/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.34	0.00	0.34	80.0	0.27
06/01/2018	SWAGER, LYNN	AM FUNDS CAPITAL WORLD BOND A	0.00	5.67	0.00	5.67	80.0	4.54
06/01/2018	COGDELL, CARY	AM FUNDS CAPITAL WORLD BOND A	0.00	2.77	0.00	2.77	80.0	2.22
06/01/2018	LOWDEN, JAMES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.81	0.00	1.81	80.0	1.45
06/01/2018	PELFREY, KRISTEN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.37	0.00	0.37	80.0	0.30
05/15/2018	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.14	0.00	2.14	80.0	1.71
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD BOND C	0.00	11.74	0.00	11.74	80.0	9.39
06/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.68	0.00	2.68	80.0	2.14
06/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.23	0.00	2.23	80.0	1.78
06/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.72	0.00	0.72	80.0	0.58
06/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.08	0.00	0.08	80.0	0.06
05/15/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	80.0	0.66
05/15/2018	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.66	0.00	6.66	80.0	5.33
05/15/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	PIERCE, MARILYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.99	0.00	2.99	80.0	2.39
06/01/2018	TIMMS, JOAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.79	0.00	24.79	80.0	19.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	PINNELL, J MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.15	0.00	1.15	80.0	0.92
06/01/2018	SIMPSON, LYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.41	0.00	8.41	80.0	6.73
06/01/2018	SIMPSON, KATHRYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.41	0.00	8.41	80.0	6.73
06/01/2018	SHANK, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	80.0	0.38
06/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	80.0	0.22
06/01/2018	BROCKMANO, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.89	0.00	0.89	80.0	0.71
06/01/2018	MURPHY, DANA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	80.0	0.38
06/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.94	0.00	0.94	80.0	0.75
06/01/2018	BURNS, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.62	0.00	2.62	80.0	2.10
06/01/2018	FRANKS, PATRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.44	0.00	1.44	80.0	1.15
06/01/2018	MILLER, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.74	0.00	3.74	80.0	2.99
06/01/2018	BOKHART, GORDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.91	0.00	7.91	80.0	6.33
06/01/2018	OAKES, ERIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.23	0.00	1.23	80.0	0.98
06/01/2018	PATEL, GITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.29	0.00	17.29	80.0	13.83
06/01/2018	CLARK, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	80.0	0.39
06/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.60	0.00	5.60	80.0	4.48
06/01/2018	CSUHTA, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.40	0.00	2.40	80.0	1.92
06/01/2018	THOMAS, BRADFORD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.27	0.00	7.27	80.0	5.82
06/01/2018	MURPHY, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.31	0.00	1.31	80.0	1.05
06/01/2018	MCARDLE, SHAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	37.62	0.00	37.62	80.0	30.10
06/01/2018	MCARDLE, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.76	0.00	5.76	80.0	4.61
06/01/2018	GOODMAN, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	80.0	0.98
06/01/2018	URICK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.36	0.00	1.36	80.0	1.09
06/01/2018	WIGGINS, CHRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.33	0.00	0.33	80.0	0.26
06/01/2018	BIDDLE, DENESE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.99	0.00	0.99	80.0	0.79
06/01/2018	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.33	0.00	7.33	80.0	5.86
06/01/2018	CASEY, GISELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.48	0.00	5.48	80.0	4.38
06/01/2018	O'LAUGHLIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.62	0.00	12.62	80.0	10.10
06/01/2018	LAWSON TRUST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.45	0.00	24.45	80.0	19.56
06/01/2018	ROEMER, SHERRI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.35	0.00	3.35	80.0	2.68
06/01/2018	ZINN, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.60	0.00	2.60	80.0	2.08
06/01/2018	KUNKEL, AMELIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.51	0.00	2.51	80.0	2.01
06/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.74	0.00	1.74	80.0	1.39

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	DEATRICK, AMANDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.41	0.00	16.41	80.0	13.13
06/01/2018	OAKES, ERIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	80.0	0.22
06/01/2018	MULDOON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.66	0.00	1.66	80.0	1.33
06/01/2018	COGDELL, CARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.92	0.00	6.92	80.0	5.54
06/01/2018	COGDELL, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.72	0.00	2.72	80.0	2.18
06/01/2018	BROOKS, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.38	0.00	0.38	80.0	0.30
06/01/2018	KUMFER, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.92	0.00	5.92	80.0	4.74
06/01/2018	HERBER, TRINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.63	0.00	5.63	80.0	4.50
06/01/2018	SAMELS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	80.0	0.15
06/01/2018	STANSBERRY, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.68	0.00	2.68	80.0	2.14
06/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	OAKES, ANDREW	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.30	0.00	0.30	80.0	0.24
06/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	BLAIR, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.79	0.00	2.79	80.0	2.23
06/01/2018	ZINN, JEANNETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.70	0.00	8.70	80.0	6.96
06/01/2018	BLAIR, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.22	0.00	5.22	80.0	4.18
06/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	80.0	0.22
06/01/2018	HEES, TAMERA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	80.0	1.12
06/01/2018	RHEN, DRAKE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.26	0.00	2.26	80.0	1.81
06/01/2018	DAVID, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	80.0	0.32
06/01/2018	SALON, WAYNE DALE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.24	0.00	26.24	80.0	20.99
06/01/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.85	0.00	0.85	80.0	0.68
06/01/2018	LEGAULT, RACHEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.93	0.00	5.93	80.0	4.74
06/01/2018	SIEGER, RODNEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.01	0.00	1.01	80.0	0.81
06/01/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.23	0.00	1.23	80.0	0.98
06/01/2018	PATEL, JASWANT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.78	0.00	6.78	80.0	5.42
06/01/2018	JENTGEN, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.73	0.00	0.73	80.0	0.58
06/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.71	0.00	0.71	80.0	0.57
06/01/2018	KILLION, NICHOLAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.22	0.00	6.22	80.0	4.98
06/01/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.43	0.00	5.43	80.0	4.34
06/01/2018	THURSTON, TIMOTHY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.83	0.00	3.83	80.0	3.06

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	FREEZE, MONTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.53	0.00	2.53	80.0	2.02
06/01/2018	BYRUM JR, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.69	0.00	1.69	80.0	1.35
06/01/2018	FEIPEL, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.01	0.00	1.01	80.0	0.81
06/01/2018	EAGLESON, AMBER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.14	0.00	6.14	80.0	4.91
06/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.65	0.00	3.65	80.0	2.92
06/01/2018	MCGUIRE, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.68	0.00	1.68	80.0	1.34
06/01/2018	VLOT, KATHRYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.84	0.00	2.84	80.0	2.27
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.86	0.00	3.86	80.0	3.09
06/01/2018	WEAVER, JACOB	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.88	0.00	0.88	80.0	0.70
06/01/2018	LOWDEN, KATHERINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.18	0.00	13.18	80.0	10.54
06/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.97	0.00	0.97	80.0	0.78
06/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.91	0.00	0.91	80.0	0.73
06/01/2018	EAGLESON, MYSTEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.38	0.00	1.38	80.0	1.10
06/01/2018	RATTY, MINDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.15	0.00	1.15	80.0	0.92
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.01	0.00	6.01	80.0	4.81
06/01/2018	GETTYS, JARRAD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.48	0.00	0.48	80.0	0.38
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	SHADY, SCOT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.71	0.00	3.71	80.0	2.97
06/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.81	0.00	0.81	80.0	0.65
06/01/2018	HINTON, EMILY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.88	0.00	1.88	80.0	1.50
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.85	0.00	0.85	80.0	0.68
06/01/2018	HOSKINS, AUSTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.62	0.00	0.62	80.0	0.50
06/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	80.0	0.06
06/01/2018	LOWDEN, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.12	0.00	1.12	80.0	0.90
06/01/2018	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.45	0.00	6.45	80.0	5.16
06/01/2018	PELFREY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	PELFREY, TODD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	BENEFICIARY, ELLIOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.26	0.00	1.26	80.0	1.01
06/01/2018	SULTAN, LYDIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.89	0.00	0.89	80.0	0.71
06/01/2018	LAYMAN, VICKI	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.14	0.00	1.14	80.0	0.91
06/01/2018	DRUHOT, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.94	0.00	0.94	80.0	0.75
06/01/2018	FREER, TYLER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.26	0.00	1.26	80.0	1.01

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	BURNS, MARCIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.48	0.00	1.48	80.0	1.18
06/01/2018	BAILEY, LOIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.32	0.00	16.32	80.0	13.06
06/01/2018	CASTLEMAN, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.92	0.00	5.92	80.0	4.74
06/01/2018	MILUM, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.35	0.00	3.35	80.0	2.68
06/01/2018	MCARDLE, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.94	0.00	16.94	80.0	13.55
06/01/2018	MCARDLE, LINDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.61	0.00	2.61	80.0	2.09
06/01/2018	NEACE, VICTORIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.65	0.00	0.65	80.0	0.52
06/01/2018	FLEEGER, JANICE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.74	0.00	1.74	80.0	1.39
06/01/2018	MCARDLE, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	10.32	0.00	10.32	80.0	8.26
06/01/2018	LAWSON TRUST	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	20.21	0.00	20.21	80.0	16.17
06/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.06	0.00	12.06	80.0	9.65
06/01/2018	ZINN, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.16	0.00	2.16	80.0	1.73
06/01/2018	KUNKEL, AMELIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.17	0.00	2.17	80.0	1.74
06/01/2018	DEATRICK, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.34	0.00	14.34	80.0	11.47
06/01/2018	BROOKS, SHARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.33	0.00	0.33	80.0	0.26
06/01/2018	SHERIDAN, BROOKE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	SIEGER, RODNEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.95	0.00	0.95	80.0	0.76
06/01/2018	PATEL, JASWANT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.11	0.00	6.11	80.0	4.89
06/01/2018	THURSTON, TIMOTHY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.46	0.00	1.46	80.0	1.17
06/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.97	0.00	0.97	80.0	0.78
06/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.49	0.00	1.49	80.0	1.19
06/01/2018	NEWBAUER, CAMERON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.52	0.00	0.52	80.0	0.42
05/15/2018	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.34	0.00	5.34	80.0	4.27
06/01/2018	PIERCE, MARILYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.85	0.00	3.85	80.0	3.08
06/01/2018	TIMMS, JOAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	32.31	0.00	32.31	80.0	25.85
06/01/2018	PINNELL, J MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.26	0.00	1.26	80.0	1.01
06/01/2018	SIMPSON, LYLE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.84	0.00	9.84	80.0	7.87
06/01/2018	SIMPSON, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.66	0.00	12.66	80.0	10.13
06/01/2018	MARTZ, PHILLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.90	0.00	11.90	80.0	9.52
06/01/2018	MURPHY, DANA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.56	0.00	0.56	80.0	0.45
06/01/2018	MEIER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.21	0.00	1.21	80.0	0.97
06/01/2018	BURNS, THOMAS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.82	0.00	0.82	80.0	0.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	BURNS, MARCIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.46	0.00	10.46	80.0	8.37
06/01/2018	MILLER, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.96	0.00	4.96	80.0	3.97
06/01/2018	BOKHART, GORDON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.87	0.00	9.87	80.0	7.90
06/01/2018	OAKES, ERIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	80.0	1.27
06/01/2018	MILLER, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.93	0.00	2.93	80.0	2.34
06/01/2018	PATEL, GITA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.66	0.00	8.66	80.0	6.93
06/01/2018	CLARK, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.20	0.00	1.20	80.0	0.96
06/01/2018	MURPHY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.75	0.00	0.75	80.0	0.60
06/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.70	0.00	6.70	80.0	5.36
06/01/2018	CSUHTA, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.14	0.00	3.14	80.0	2.51
06/01/2018	URICK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.58	0.00	1.58	80.0	1.26
06/01/2018	NEACE, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.01	0.00	2.01	80.0	1.61
06/01/2018	ROEMER, SHERRI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.59	0.00	3.59	80.0	2.87
06/01/2018	MUSKIN, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.38	0.00	0.38	80.0	0.30
06/01/2018	OAKES, ERIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.36	0.00	0.36	80.0	0.29
06/01/2018	MULDOON, MARGARET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.16	0.00	2.16	80.0	1.73
06/01/2018	COGDELL, CARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.96	0.00	4.96	80.0	3.97
06/01/2018	COGDELL, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.18	0.00	2.18	80.0	1.74
06/01/2018	HERBER, TRINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.75	0.00	6.75	80.0	5.40
06/01/2018	SAMELS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.23	0.00	0.23	80.0	0.18
06/01/2018	STANSBERRY, NANCY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.48	0.00	3.48	80.0	2.78
06/01/2018	MEIER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	80.0	0.26
06/01/2018	NEWBAUER, COLSTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	80.0	0.26
06/01/2018	OAKES, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.40	0.00	0.40	80.0	0.32
06/01/2018	ZINN, JEANNETTE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.00	0.00	10.00	80.0	8.00
06/01/2018	HEES, TAMERA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.86	0.00	1.86	80.0	1.49
06/01/2018	HERRIMAN, JANET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.61	0.00	1.61	80.0	1.29
06/01/2018	BYRUM JR, GERALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	80.0	1.20
06/01/2018	FEIPEL, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.37	0.00	1.37	80.0	1.10
06/01/2018	EAGLESON, AMBER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.59	0.00	0.59	80.0	0.47
06/01/2018	EAGLESON, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.71	0.00	4.71	80.0	3.77
06/01/2018	MCGUIRE, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.23	0.00	2.23	80.0	1.78
06/01/2018	VLOT, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.71	0.00	3.71	80.0	2.97

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	LOWDEN, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	23.67	0.00	23.67	80.0	18.94
06/01/2018	RICKER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.18	0.00	1.18	80.0	0.94
06/01/2018	RICKER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.12	0.00	1.12	80.0	0.90
06/01/2018	EAGLESON, MYSTEE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.74	0.00	1.74	80.0	1.39
06/01/2018	HOEFFEL, GREGGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.58	0.00	1.58	80.0	1.26
06/01/2018	MURPHY, SHARI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	73.42	0.00	73.42	80.0	58.74
06/01/2018	MURPHY, SHARI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.62	0.00	4.62	80.0	3.70
06/01/2018	MURPHY, LOU	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.58	0.00	2.58	80.0	2.06
06/01/2018	MURPHY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.11	0.00	9.11	80.0	7.29
06/01/2018	NEWBAUER, SARAH	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	2.01	0.00	2.01	80.0	1.61
06/01/2018	LAYMAN, VICKI	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	1.25	0.00	1.25	80.0	1.00
06/01/2018	SLATER, JUSTIN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.89	0.00	0.89	80.0	0.71
06/01/2018	GOODMAN, LAUREN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.77	0.00	2.77	80.0	2.22
06/01/2018	GOODMAN, LAUREN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.30	0.00	2.30	80.0	1.84
06/01/2018	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.08	0.00	0.08	80.0	0.06
05/15/2018	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	0.00	0.64	80.0	0.51
05/15/2018	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.07	0.00	7.07	80.0	5.66
05/15/2018	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	80.0	0.25
06/01/2018	TIMMS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.24	0.00	23.24	80.0	18.59
06/01/2018	PINNELL, J MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.31	0.00	4.31	80.0	3.45
06/01/2018	FISCHER, HELEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.13	0.00	5.13	80.0	4.10
06/01/2018	SIMPSON, LYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.17	0.00	12.17	80.0	9.74
06/01/2018	SIMPSON, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.94	0.00	10.94	80.0	8.75
06/01/2018	SHANK, DEAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	80.0	0.42
06/01/2018	SHANK, CAROLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	80.0	0.23
06/01/2018	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.31	0.00	3.31	80.0	2.65
06/01/2018	DISBRO, JON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.95	0.00	2.95	80.0	2.36
06/01/2018	MARTZ, PHILLIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.17	0.00	7.17	80.0	5.74
06/01/2018	MURPHY, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	0.00	0.52	80.0	0.42
06/01/2018	MORHART, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	80.0	1.79
06/01/2018	SWAIDNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	80.0	0.71
06/01/2018	MCDUGAL, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	80.0	1.79
06/01/2018	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.83	0.00	0.83	80.0	0.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MURPHY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.65	0.00	7.65	80.0	6.12
06/01/2018	BURNS, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.84	0.00	1.84	80.0	1.47
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.66	0.00	3.66	80.0	2.93
06/01/2018	FRANKS, PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.60	0.00	5.60	80.0	4.48
06/01/2018	MILLER, JULIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.65	0.00	2.65	80.0	2.12
06/01/2018	BOKHART, GORDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.17	0.00	7.17	80.0	5.74
06/01/2018	WALLACE, FREDERICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.67	0.00	1.67	80.0	1.34
06/01/2018	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	80.0	0.89
06/01/2018	BAILEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.07	0.00	21.07	80.0	16.86
06/01/2018	MAY, ZACHARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	80.0	0.26
06/01/2018	PEPPLE, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.26	0.00	1.26	80.0	1.01
06/01/2018	WUKASCH, ZACHARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.44	0.00	3.44	80.0	2.75
06/01/2018	PATEL, GITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.51	0.00	17.51	80.0	14.01
06/01/2018	SWAIDNER, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.84	0.00	5.84	80.0	4.67
06/01/2018	CLARK, KATHLEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.90	0.00	1.90	80.0	1.52
06/01/2018	MURPHY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	80.0	0.45
06/01/2018	WEEKS, VAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	80.0	0.23
06/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.08	0.00	9.08	80.0	7.26
06/01/2018	ZINN, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.32	0.00	5.32	80.0	4.26
06/01/2018	ISLEY, CATHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	80.0	0.24
06/01/2018	ZINN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.02	0.00	12.02	80.0	9.62
06/01/2018	KAGE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.18	0.00	4.18	80.0	3.34
06/01/2018	CASTLEMAN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.58	0.00	5.58	80.0	4.46
06/01/2018	WINFIELD, APRIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.39	0.00	4.39	80.0	3.51
06/01/2018	MAHONY, KAMI LYNN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.39	0.00	4.39	80.0	3.51
06/01/2018	MULDOON, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.93	0.00	1.93	80.0	1.54
06/01/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.16	0.00	10.16	80.0	8.13
06/01/2018	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.07	0.00	17.07	80.0	13.66
06/01/2018	PEARSON, SHIRLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.09	0.00	2.09	80.0	1.67
06/01/2018	MILUM, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.93	0.00	10.93	80.0	8.74
06/01/2018	MCARDLE, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	46.95	0.00	46.95	80.0	37.56
06/01/2018	MCARDLE, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.10	0.00	7.10	80.0	5.68
06/01/2018	GOODMAN, STEPHANIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.83	0.00	0.83	80.0	0.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	GOODMAN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	28.65	0.00	28.65	80.0	22.92
06/01/2018	URICK, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.98	0.00	3.98	80.0	3.18
06/01/2018	HECKLER, MARTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.90	0.00	3.90	80.0	3.12
06/01/2018	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.57	0.00	1.57	80.0	1.26
06/01/2018	FLEEGER, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.44	0.00	2.44	80.0	1.95
06/01/2018	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.15	0.00	9.15	80.0	7.32
06/01/2018	MCARDLE, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.93	0.00	31.93	80.0	25.54
06/01/2018	LAWSON TRUST	AM FUNDS GROWTH FUND OF AMERICA A	0.00	24.31	0.00	24.31	80.0	19.45
06/01/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.25	0.00	9.25	80.0	7.40
06/01/2018	WHEELER, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.43	0.00	3.43	80.0	2.74
06/01/2018	KUNKEL, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.00	0.00	3.00	80.0	2.40
06/01/2018	COCKROFT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.10	0.00	2.10	80.0	1.68
06/01/2018	MUSKIN, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	80.0	0.18
06/01/2018	HOEFFEL, GREGGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	80.0	1.36
06/01/2018	MULDOON, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.53	0.00	1.53	80.0	1.22
06/01/2018	COGDELL, CARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	80.0	0.83
06/01/2018	COGDELL, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.43	0.00	2.43	80.0	1.94
06/01/2018	KUMFER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.28	0.00	7.28	80.0	5.82
06/01/2018	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.94	0.00	8.94	80.0	7.15
06/01/2018	SAMELS, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	80.0	0.16
06/01/2018	WUKASCH, LILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.19	0.00	1.19	80.0	0.95
06/01/2018	STANSBERRY, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.65	0.00	3.65	80.0	2.92
06/01/2018	OAKES, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	80.0	0.34
06/01/2018	SHANK, CAROLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	80.0	0.22
06/01/2018	BLAIR, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.27	0.00	3.27	80.0	2.62
06/01/2018	ZINN, JEANNETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.97	0.00	6.97	80.0	5.58
06/01/2018	NEWBAUER, COLSTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	80.0	0.28
06/01/2018	HEES, TAMERA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.31	0.00	1.31	80.0	1.05
06/01/2018	RHEN, MASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.13	0.00	3.13	80.0	2.50
06/01/2018	SWAGER, LYNN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.30	0.00	13.30	80.0	10.64
06/01/2018	SIEGER, RODNEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.22	0.00	15.22	80.0	12.18
06/01/2018	LIPSCOMB, GRACE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.67	0.00	4.67	80.0	3.74

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HERRIMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.68	0.00	1.68	80.0	1.34
06/01/2018	PATEL, JASWANT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.31	0.00	10.31	80.0	8.25
06/01/2018	JENTGEN, STEPHANIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.99	0.00	0.99	80.0	0.79
06/01/2018	NEWBAUER, COLSTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	80.0	0.70
06/01/2018	HERRIMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.63	0.00	2.63	80.0	2.10
06/01/2018	THURSTON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2018	FREEZE, MONTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.30	0.00	3.30	80.0	2.64
06/01/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.37	0.00	7.37	80.0	5.90
06/01/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.33	0.00	3.33	80.0	2.66
06/01/2018	VLOT, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.96	0.00	1.96	80.0	1.57
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.10	0.00	5.10	80.0	4.08
06/01/2018	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.59	0.00	2.59	80.0	2.07
06/01/2018	EAGLESON, MYSTEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.90	0.00	1.90	80.0	1.52
06/01/2018	RATTY, MINDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.46	0.00	1.46	80.0	1.17
06/01/2018	LIPSCOMB, SOPHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.97	0.00	2.97	80.0	2.38
06/01/2018	GETTYS, JARRAD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.63	0.00	0.63	80.0	0.50
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.34	0.00	0.34	80.0	0.27
06/01/2018	SHADY, SCOT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.33	0.00	2.33	80.0	1.86
06/01/2018	MCARDLE, LAURA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.92	0.00	0.92	80.0	0.74
06/01/2018	COCKROFT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.92	0.00	0.92	80.0	0.74
06/01/2018	LAPHAM, DARRELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.34	0.00	2.34	80.0	1.87
06/01/2018	HINTON, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.20	0.00	2.20	80.0	1.76
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	80.0	0.83
06/01/2018	HOSKINS, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	80.0	0.72
06/01/2018	NEWBAUER, CHLOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	80.0	0.01
06/01/2018	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	80.0	0.06
06/01/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.42	0.00	8.42	80.0	6.74
06/01/2018	HAVERT, CHANDRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.15	0.00	3.15	80.0	2.52
06/01/2018	LOWDEN, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.30	0.00	1.30	80.0	1.04
06/01/2018	HERBER, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.85	0.00	5.85	80.0	4.68
06/01/2018	MURPHY, SHARI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	75.52	0.00	75.52	80.0	60.42
06/01/2018	MURPHY, SHARI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.74	0.00	4.74	80.0	3.79
06/01/2018	MURPHY, LOU	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.82	0.00	2.82	80.0	2.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MURPHY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.74	0.00	9.74	80.0	7.79
06/01/2018	LEGUALT, OLIVER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	PELFREY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	80.0	0.18
06/01/2018	PELFREY, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	80.0	0.18
06/01/2018	BENEFICIARY, ELLIOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
06/01/2018	BURNS-PAITAN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	80.0	0.04
06/01/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	80.0	0.72
06/01/2018	SULTAN, LYDIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.17	0.00	1.17	80.0	0.94
06/01/2018	DRUHOT, LESLIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.89	0.00	17.89	80.0	14.31
06/01/2018	DRUHOT, SCOTT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	DRUHOT, LESLIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.32	0.00	2.32	80.0	1.86
06/01/2018	BAILEY, RICHARD	AM FUNDS GROWTH PORT A	0.00	69.07	0.00	69.07	80.0	55.26
06/01/2018	GOODMAN, LAUREN	AM FUNDS ICA 529A	0.00	2.55	0.00	2.55	80.0	2.04
06/01/2018	NEWBAUER, CAMERON	AM FUNDS ICA 529A	0.00	0.73	0.00	0.73	80.0	0.58
05/15/2018	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.78	0.00	4.78	80.0	3.82
06/01/2018	TUCKER, CHARLOTTE	AM FUNDS ICA A	0.00	1.20	0.00	1.20	80.0	0.96
06/01/2018	TUCKER, SEAN	AM FUNDS ICA A	0.00	1.20	0.00	1.20	80.0	0.96
06/01/2018	FREER, TYLER	AM FUNDS ICA A	0.00	1.54	0.00	1.54	80.0	1.23
06/01/2018	TIMMS, JOAN	AM FUNDS ICA A	0.00	32.13	0.00	32.13	80.0	25.70
06/01/2018	DISBRO, JON	AM FUNDS ICA A	0.00	1.84	0.00	1.84	80.0	1.47
06/01/2018	NEWBAUER, JOSHUA	AM FUNDS ICA A	0.00	0.96	0.00	0.96	80.0	0.77
06/01/2018	BURNS, THOMAS	AM FUNDS ICA A	0.00	0.73	0.00	0.73	80.0	0.58
06/01/2018	MILLER, JULIE	AM FUNDS ICA A	0.00	3.12	0.00	3.12	80.0	2.50
06/01/2018	PATEL, GITA	AM FUNDS ICA A	0.00	15.18	0.00	15.18	80.0	12.14
06/01/2018	MIZNER, FREDRIC	AM FUNDS ICA A	0.00	1.90	0.00	1.90	80.0	1.52
06/01/2018	KAGE, JENNIFER	AM FUNDS ICA A	0.00	3.27	0.00	3.27	80.0	2.62
06/01/2018	THOMAS, BRADFORD	AM FUNDS ICA A	0.00	8.49	0.00	8.49	80.0	6.79
06/01/2018	SHIPLEY, SANDRA	AM FUNDS ICA A	0.00	10.12	0.00	10.12	80.0	8.10
06/01/2018	GRIME, STEPHEN	AM FUNDS ICA A	0.00	23.37	0.00	23.37	80.0	18.70
06/01/2018	PEARSON, SHIRLEY	AM FUNDS ICA A	0.00	2.40	0.00	2.40	80.0	1.92
06/01/2018	MILUM, JUDITH	AM FUNDS ICA A	0.00	4.52	0.00	4.52	80.0	3.62
06/01/2018	MCARDLE, SHAWN	AM FUNDS ICA A	0.00	41.66	0.00	41.66	80.0	33.33
06/01/2018	MCARDLE, LINDA	AM FUNDS ICA A	0.00	6.20	0.00	6.20	80.0	4.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MCARDLE, DANIEL	AM FUNDS ICA A	0.00	15.42	0.00	15.42	80.0	12.34
06/01/2018	LAWSON TRUST	AM FUNDS ICA A	0.00	27.50	0.00	27.50	80.0	22.00
06/01/2018	KUNKEL, AMELIA	AM FUNDS ICA A	0.00	2.34	0.00	2.34	80.0	1.87
06/01/2018	DEATRICK, AMANDA	AM FUNDS ICA A	0.00	18.56	0.00	18.56	80.0	14.85
06/01/2018	MULDOON, MARGARET	AM FUNDS ICA A	0.00	1.05	0.00	1.05	80.0	0.84
06/01/2018	COGDELL, JENNIFER	AM FUNDS ICA A	0.00	3.19	0.00	3.19	80.0	2.55
06/01/2018	BROOKS, SHARON	AM FUNDS ICA A	0.00	0.30	0.00	0.30	80.0	0.24
06/01/2018	SHERIDAN, BROOKE	AM FUNDS ICA A	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	GRIME, STEPHEN	AM FUNDS ICA A	0.00	5.72	0.00	5.72	80.0	4.58
06/01/2018	SALON, WAYNE DALE	AM FUNDS ICA A	0.00	23.70	0.00	23.70	80.0	18.96
06/01/2018	HERRIMAN, JANET	AM FUNDS ICA A	0.00	1.36	0.00	1.36	80.0	1.09
06/01/2018	PATEL, JASWANT	AM FUNDS ICA A	0.00	9.01	0.00	9.01	80.0	7.21
06/01/2018	JENTGEN, STEPHANIE	AM FUNDS ICA A	0.00	0.77	0.00	0.77	80.0	0.62
06/01/2018	THURSTON, TIMOTHY	AM FUNDS ICA A	0.00	0.21	0.00	0.21	80.0	0.17
06/01/2018	BYRUM JR, GERALD	AM FUNDS ICA A	0.00	1.38	0.00	1.38	80.0	1.10
06/01/2018	FEIPEL, EDWARD	AM FUNDS ICA A	0.00	1.50	0.00	1.50	80.0	1.20
06/01/2018	EAGLESON, AMBER	AM FUNDS ICA A	0.00	0.48	0.00	0.48	80.0	0.38
06/01/2018	EAGLESON, JOSEPH	AM FUNDS ICA A	0.00	4.33	0.00	4.33	80.0	3.46
06/01/2018	MCGUIRE, KATHLEEN	AM FUNDS ICA A	0.00	2.02	0.00	2.02	80.0	1.62
06/01/2018	VLOT, KATHRYN	AM FUNDS ICA A	0.00	3.53	0.00	3.53	80.0	2.82
06/01/2018	RICKER, REBECCA	AM FUNDS ICA A	0.00	1.07	0.00	1.07	80.0	0.86
06/01/2018	RICKER, REBECCA	AM FUNDS ICA A	0.00	1.01	0.00	1.01	80.0	0.81
06/01/2018	EAGLESON, MYSTEE	AM FUNDS ICA A	0.00	1.64	0.00	1.64	80.0	1.31
06/01/2018	DEJOHN, SHARLEEN	AM FUNDS ICA A	0.00	12.46	0.00	12.46	80.0	9.97
06/01/2018	LAYMAN, VICKI	AM FUNDS ICA F1	0.00	1.13	0.00	1.13	80.0	0.90
05/15/2018	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	80.0	0.42
05/15/2018	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.87	0.00	5.87	80.0	4.70
06/01/2018	PIERCE, MARILYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.99	0.00	3.99	80.0	3.19
06/01/2018	TIMMS, JOAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	17.72	0.00	17.72	80.0	14.18
06/01/2018	PINNELL, J MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.17	0.00	3.17	80.0	2.54
06/01/2018	BROCKMANO, EDWARD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	80.0	0.14
06/01/2018	MARTZ, PHILLIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.72	0.00	13.72	80.0	10.98
06/01/2018	DISBRO, JON	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.91	0.00	6.91	80.0	5.53

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MEIER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.85	0.00	1.85	80.0	1.48
06/01/2018	BURNS, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.34	0.00	1.34	80.0	1.07
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.57	0.00	3.57	80.0	2.86
06/01/2018	MILLER, JULIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.05	0.00	6.05	80.0	4.84
06/01/2018	BOKHART, GORDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.14	0.00	5.14	80.0	4.11
06/01/2018	OAKES, ERIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.80	0.00	0.80	80.0	0.64
06/01/2018	PATEL, GITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.90	0.00	6.90	80.0	5.52
06/01/2018	CLARK, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.50	0.00	1.50	80.0	1.20
06/01/2018	HUNTER, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.22	0.00	1.22	80.0	0.98
06/01/2018	CSUHTA, JULIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.56	0.00	1.56	80.0	1.25
06/01/2018	MULDOON, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.56	0.00	1.56	80.0	1.25
06/01/2018	URICK, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.54	0.00	2.54	80.0	2.03
06/01/2018	HARRIS, SHARON	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.30	0.00	1.30	80.0	1.04
06/01/2018	ALLAN, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.52	0.00	2.52	80.0	2.02
06/01/2018	MULDOON, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.15	0.00	1.15	80.0	0.92
06/01/2018	COGDELL, CARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.70	0.00	0.70	80.0	0.56
06/01/2018	COGDELL, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.71	0.00	1.71	80.0	1.37
06/01/2018	KUMFER, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.58	0.00	5.58	80.0	4.46
06/01/2018	MEIER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	80.0	0.40
06/01/2018	BLAIR, BRIAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.67	0.00	2.67	80.0	2.14
06/01/2018	ZINN, JEANNETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.62	0.00	5.62	80.0	4.50
06/01/2018	HEES, TAMERA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.99	0.00	0.99	80.0	0.79
06/01/2018	LAYMAN, VICKI	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.67	0.00	3.67	80.0	2.94
06/01/2018	HERRIMAN, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.95	0.00	1.95	80.0	1.56
06/01/2018	BYRUM JR, GERALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.18	0.00	1.18	80.0	0.94
06/01/2018	FEIPEL, EDWARD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.43	0.00	0.43	80.0	0.34
06/01/2018	EAGLESON, AMBER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.88	0.00	0.88	80.0	0.70
06/01/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.15	0.00	4.15	80.0	3.32
06/01/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.30	0.00	2.30	80.0	1.84
06/01/2018	MCGUIRE, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.30	0.00	3.30	80.0	2.64
06/01/2018	VLOT, KATHRYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.20	0.00	4.20	80.0	3.36
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.38	0.00	1.38	80.0	1.10
06/01/2018	LOWDEN, KATHERINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	24.02	0.00	24.02	80.0	19.22

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	RICKER, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.70	0.00	0.70	80.0	0.56
06/01/2018	RICKER, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.76	0.00	1.76	80.0	1.41
06/01/2018	HOEFFEL, GREGGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.88	0.00	0.88	80.0	0.70
06/01/2018	COCKROFT, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.75	0.00	0.75	80.0	0.60
06/01/2018	CACCAMO, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.05	0.00	2.05	80.0	1.64
06/01/2018	HERBER, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.85	0.00	4.85	80.0	3.88
06/01/2018	MURPHY, SHARI	AM FUNDS INCOME FUND OF AMERICA A	0.00	68.70	0.00	68.70	80.0	54.96
06/01/2018	MURPHY, SHARI	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.10	0.00	4.10	80.0	3.28
06/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.05	0.00	1.05	80.0	0.84
06/01/2018	PELFREY, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.39	0.00	0.39	80.0	0.31
06/01/2018	BENEFICIARY, ELLIOTT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.28	0.00	0.28	80.0	0.22
06/01/2018	BURNS-PAITAN, PATRICIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.07	0.00	0.07	80.0	0.06
06/01/2018	WALLACE, FREDERICK	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.48	0.00	0.48	80.0	0.38
06/01/2018	LAYMAN, VICKI	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.23	0.00	2.23	80.0	1.78
06/01/2018	GOODMAN, LAUREN	AM FUNDS INCOME FUND OF AMERICA-529	0.00	2.03	0.00	2.03	80.0	1.62
06/01/2018	NEWBAUER, CAMERON	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.91	0.00	0.91	80.0	0.73
05/15/2018	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.50	0.00	0.50	80.0	0.40
05/15/2018	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.40	0.00	0.40	80.0	0.32
05/15/2018	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.59	0.00	0.59	80.0	0.47
06/01/2018	SHANK, DEAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.06	0.00	1.06	80.0	0.85
06/01/2018	SHANK, CAROLE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.61	0.00	0.61	80.0	0.49
06/01/2018	BROCKMANO, EDWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.45	0.00	0.45	80.0	0.36
06/01/2018	COCKROFT, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.18	0.00	1.18	80.0	0.94
06/01/2018	KUMFER, JOSEPH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	6.27	0.00	6.27	80.0	5.02
06/01/2018	PER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.38	0.00	1.38	80.0	1.10
06/01/2018	SHANK, CAROLE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	80.0	0.41
06/01/2018	BLAIR, BRIAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.11	0.00	2.11	80.0	1.69
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.80	0.00	4.80	80.0	3.84
06/01/2018	COCKROFT, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.63	0.00	0.63	80.0	0.50
06/01/2018	CACCAMO, MICHAEL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.94	0.00	1.94	80.0	1.55
06/01/2018	HINTON, EMILY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.93	0.00	1.93	80.0	1.54
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.28	0.00	1.28	80.0	1.02
06/01/2018	COGDELL, CARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.69	0.00	2.69	80.0	2.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	LOWDEN, JAMES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.61	0.00	2.61	80.0	2.09
06/01/2018	HERBER, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.15	0.00	4.15	80.0	3.32
06/01/2018	FOSNAUGH, KAREN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.49	0.00	1.49	80.0	1.19
06/01/2018	PELFREY, KRISTEN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	PELFREY, TODD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.41	0.00	0.41	80.0	0.33
05/15/2018	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.78	0.00	0.78	80.0	0.62
06/01/2018	CACCAMO, MICHAEL	AM FUNDS MORTGAGE A	0.00	1.93	0.00	1.93	80.0	1.54
05/15/2018	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.02	0.00	2.02	80.0	1.62
06/01/2018	MARTZ, PHILLIS	AM FUNDS NEW ECONOMY A	0.00	11.67	0.00	11.67	80.0	9.34
06/01/2018	SWAIDNER, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	2.92	0.00	2.92	80.0	2.34
06/01/2018	SWAIDNER, DAVID	AM FUNDS NEW ECONOMY A	0.00	2.94	0.00	2.94	80.0	2.35
06/01/2018	MURPHY, DAVID	AM FUNDS NEW ECONOMY A	0.00	5.16	0.00	5.16	80.0	4.13
06/01/2018	MORHART, JAMES	AM FUNDS NEW ECONOMY A	0.00	4.61	0.00	4.61	80.0	3.69
06/01/2018	WOEHNKER, JOSEPH	AM FUNDS NEW ECONOMY A	0.00	1.77	0.00	1.77	80.0	1.42
06/01/2018	WALLACE, BARBARA	AM FUNDS NEW ECONOMY A	0.00	4.95	0.00	4.95	80.0	3.96
06/01/2018	FLEEGER, JANICE	AM FUNDS NEW ECONOMY A	0.00	1.92	0.00	1.92	80.0	1.54
06/01/2018	MCARDLE, DANIEL	AM FUNDS NEW ECONOMY A	0.00	27.18	0.00	27.18	80.0	21.74
06/01/2018	LAWSON TRUST	AM FUNDS NEW ECONOMY A	0.00	24.47	0.00	24.47	80.0	19.58
06/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	0.00	7.70	0.00	7.70	80.0	6.16
06/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	0.00	1.46	0.00	1.46	80.0	1.17
05/15/2018	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.51	0.00	6.51	80.0	5.21
06/01/2018	TIMMS, JOAN	AM FUNDS NEW PERSPECTIVE A	0.00	20.36	0.00	20.36	80.0	16.29
06/01/2018	PINNELL, J MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.98	0.00	3.98	80.0	3.18
06/01/2018	FISCHER, HELEN	AM FUNDS NEW PERSPECTIVE A	0.00	5.63	0.00	5.63	80.0	4.50
06/01/2018	O'LAUGHLIN, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	66.12	0.00	66.12	80.0	52.90
06/01/2018	SIMPSON, LYLE	AM FUNDS NEW PERSPECTIVE A	0.00	11.13	0.00	11.13	80.0	8.90
06/01/2018	SIMPSON, KATHRYN	AM FUNDS NEW PERSPECTIVE A	0.00	9.90	0.00	9.90	80.0	7.92
06/01/2018	MURPHY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	5.93	0.00	5.93	80.0	4.74
06/01/2018	AMARO, ALEXANDER	AM FUNDS NEW PERSPECTIVE A	0.00	3.76	0.00	3.76	80.0	3.01
06/01/2018	BURNS, MARCIA	AM FUNDS NEW PERSPECTIVE A	0.00	9.42	0.00	9.42	80.0	7.54
06/01/2018	WOEHNKER, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	2.19	0.00	2.19	80.0	1.75
06/01/2018	MILLER, JULIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.36	0.00	2.36	80.0	1.89
06/01/2018	BOKHART, GORDON	AM FUNDS NEW PERSPECTIVE A	0.00	6.36	0.00	6.36	80.0	5.09

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	OAKES, ERIN	AM FUNDS NEW PERSPECTIVE A	0.00	1.01	0.00	1.01	80.0	0.81
06/01/2018	PATEL, GITA	AM FUNDS NEW PERSPECTIVE A	0.00	11.52	0.00	11.52	80.0	9.22
06/01/2018	HUNTER, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	1.65	0.00	1.65	80.0	1.32
06/01/2018	URICK, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	3.35	0.00	3.35	80.0	2.68
06/01/2018	LAWSON TRUST	AM FUNDS NEW PERSPECTIVE A	0.00	20.89	0.00	20.89	80.0	16.71
06/01/2018	OAKES, ERIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.34	0.00	0.34	80.0	0.27
06/01/2018	MULDOON, MARGARET	AM FUNDS NEW PERSPECTIVE A	0.00	1.34	0.00	1.34	80.0	1.07
06/01/2018	COGDELL, CARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.78	0.00	0.78	80.0	0.62
06/01/2018	COGDELL, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	2.13	0.00	2.13	80.0	1.70
06/01/2018	HERBER, TRINA	AM FUNDS NEW PERSPECTIVE A	0.00	6.81	0.00	6.81	80.0	5.45
06/01/2018	SAMELS, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	80.0	0.15
06/01/2018	STANSBERRY, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	3.26	0.00	3.26	80.0	2.61
06/01/2018	OAKES, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	0.37	0.00	0.37	80.0	0.30
06/01/2018	ZINN, JEANNETTE	AM FUNDS NEW PERSPECTIVE A	0.00	6.42	0.00	6.42	80.0	5.14
06/01/2018	HEES, TAMERA	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	80.0	0.90
06/01/2018	HERRIMAN, JANET	AM FUNDS NEW PERSPECTIVE A	0.00	1.42	0.00	1.42	80.0	1.14
06/01/2018	PATEL, JASWANT	AM FUNDS NEW PERSPECTIVE A	0.00	7.31	0.00	7.31	80.0	5.85
06/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	5.75	0.00	5.75	80.0	4.60
06/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	2.76	0.00	2.76	80.0	2.21
06/01/2018	VLOT, KATHRYN	AM FUNDS NEW PERSPECTIVE A	0.00	1.60	0.00	1.60	80.0	1.28
06/01/2018	EAGLESON, MYSTEE	AM FUNDS NEW PERSPECTIVE A	0.00	1.55	0.00	1.55	80.0	1.24
06/01/2018	HOEFFEL, GREGGORY	AM FUNDS NEW PERSPECTIVE A	0.00	1.46	0.00	1.46	80.0	1.17
06/01/2018	NEWBAUER, CAMERON	AM FUNDS NEW PERSPECTIVE A	0.00	0.10	0.00	0.10	80.0	0.08
06/01/2018	SAINSBURY, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	2.18	0.00	2.18	80.0	1.74
06/01/2018	SAINSBURY, MAKAYLA	AM FUNDS NEW PERSPECTIVE A	0.00	2.18	0.00	2.18	80.0	1.74
06/01/2018	DRUHOT, SCOTT	AM FUNDS NEW PERSPECTIVE F-1	0.00	0.17	0.00	0.17	80.0	0.14
05/15/2018	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.70	0.00	5.70	80.0	4.56
06/01/2018	TUCKER, CHARLOTTE	AM FUNDS NEW WORLD A	0.00	1.45	0.00	1.45	80.0	1.16
06/01/2018	TUCKER, SEAN	AM FUNDS NEW WORLD A	0.00	1.45	0.00	1.45	80.0	1.16
06/01/2018	FREER, TYLER	AM FUNDS NEW WORLD A	0.00	1.20	0.00	1.20	80.0	0.96
06/01/2018	TIMMS, JOAN	AM FUNDS NEW WORLD A	0.00	13.44	0.00	13.44	80.0	10.75
06/01/2018	PINNELL, J MICHAEL	AM FUNDS NEW WORLD A	0.00	3.24	0.00	3.24	80.0	2.59
06/01/2018	SIMPSON, LYLE	AM FUNDS NEW WORLD A	0.00	8.12	0.00	8.12	80.0	6.50

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	SIMPSON, KATHRYN	AM FUNDS NEW WORLD A	0.00	7.80	0.00	7.80	80.0	6.24
06/01/2018	MILLER, JULIE	AM FUNDS NEW WORLD A	0.00	1.56	0.00	1.56	80.0	1.25
06/01/2018	BOKHART, GORDON	AM FUNDS NEW WORLD A	0.00	4.91	0.00	4.91	80.0	3.93
06/01/2018	OAKES, ERIN	AM FUNDS NEW WORLD A	0.00	0.76	0.00	0.76	80.0	0.61
06/01/2018	MUSSEY, LORI	AM FUNDS NEW WORLD A	0.00	2.63	0.00	2.63	80.0	2.10
06/01/2018	MURPHY, SUSAN	AM FUNDS NEW WORLD A	0.00	0.69	0.00	0.69	80.0	0.55
06/01/2018	PATEL, GITA	AM FUNDS NEW WORLD A	0.00	3.98	0.00	3.98	80.0	3.18
06/01/2018	CLARK, KATHLEEN	AM FUNDS NEW WORLD A	0.00	1.32	0.00	1.32	80.0	1.06
06/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	0.00	4.89	0.00	4.89	80.0	3.91
06/01/2018	RAMUS, ERIC	AM FUNDS NEW WORLD A	0.00	0.07	0.00	0.07	80.0	0.06
06/01/2018	RAMUS, CHAD	AM FUNDS NEW WORLD A	0.00	1.05	0.00	1.05	80.0	0.84
06/01/2018	RAMUS, MEGAN	AM FUNDS NEW WORLD A	0.00	1.52	0.00	1.52	80.0	1.22
06/01/2018	RAMUS, MICHELLE	AM FUNDS NEW WORLD A	0.00	1.75	0.00	1.75	80.0	1.40
06/01/2018	GRIME, STEPHEN	AM FUNDS NEW WORLD A	0.00	12.85	0.00	12.85	80.0	10.28
06/01/2018	MCARDLE, SHAWN	AM FUNDS NEW WORLD A	0.00	5.17	0.00	5.17	80.0	4.14
06/01/2018	MCARDLE, LINDA	AM FUNDS NEW WORLD A	0.00	4.98	0.00	4.98	80.0	3.98
06/01/2018	GOODMAN, STEPHANIE	AM FUNDS NEW WORLD A	0.00	0.85	0.00	0.85	80.0	0.68
06/01/2018	URICK, DAVID	AM FUNDS NEW WORLD A	0.00	2.30	0.00	2.30	80.0	1.84
06/01/2018	HECKLER, MARTHA	AM FUNDS NEW WORLD A	0.00	2.13	0.00	2.13	80.0	1.70
06/01/2018	LAWSON TRUST	AM FUNDS NEW WORLD A	0.00	23.37	0.00	23.37	80.0	18.70
06/01/2018	ROEMER, SHERRI	AM FUNDS NEW WORLD A	0.00	6.32	0.00	6.32	80.0	5.06
06/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	0.00	13.47	0.00	13.47	80.0	10.78
06/01/2018	KUNKEL, AMELIA	AM FUNDS NEW WORLD A	0.00	2.55	0.00	2.55	80.0	2.04
06/01/2018	AMARO, ETHAN	AM FUNDS NEW WORLD A	0.00	1.39	0.00	1.39	80.0	1.11
06/01/2018	DEATRICK, AMANDA	AM FUNDS NEW WORLD A	0.00	14.92	0.00	14.92	80.0	11.94
06/01/2018	MUSKIN, ANDREW	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
06/01/2018	OAKES, ERIN	AM FUNDS NEW WORLD A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	MULDOON, MARGARET	AM FUNDS NEW WORLD A	0.00	0.97	0.00	0.97	80.0	0.78
06/01/2018	COGDELL, CARY	AM FUNDS NEW WORLD A	0.00	1.36	0.00	1.36	80.0	1.09
06/01/2018	COGDELL, JENNIFER	AM FUNDS NEW WORLD A	0.00	2.48	0.00	2.48	80.0	1.98
06/01/2018	BROOKS, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
06/01/2018	BROOKS, COURTNEY	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
06/01/2018	BROOKS, ALLISON	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	BROOKS, SHARON	AM FUNDS NEW WORLD A	0.00	0.34	0.00	0.34	80.0	0.27
06/01/2018	HERBER, TRINA	AM FUNDS NEW WORLD A	0.00	5.13	0.00	5.13	80.0	4.10
06/01/2018	SAMELS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.28	0.00	0.28	80.0	0.22
06/01/2018	STANSBERRY, NANCY	AM FUNDS NEW WORLD A	0.00	2.32	0.00	2.32	80.0	1.86
06/01/2018	NEWBAUER, COLSTON	AM FUNDS NEW WORLD A	0.00	0.21	0.00	0.21	80.0	0.17
06/01/2018	OAKES, ANDREW	AM FUNDS NEW WORLD A	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	ZINN, JEANNETTE	AM FUNDS NEW WORLD A	0.00	5.61	0.00	5.61	80.0	4.49
06/01/2018	SHERIDAN, BROOKE	AM FUNDS NEW WORLD A	0.00	0.26	0.00	0.26	80.0	0.21
06/01/2018	NEWBAUER, COLSTON	AM FUNDS NEW WORLD A	0.00	0.62	0.00	0.62	80.0	0.50
06/01/2018	GRIME, STEPHEN	AM FUNDS NEW WORLD A	0.00	4.20	0.00	4.20	80.0	3.36
06/01/2018	HEES, TAMERA	AM FUNDS NEW WORLD A	0.00	0.79	0.00	0.79	80.0	0.63
06/01/2018	SIEGER, RODNEY	AM FUNDS NEW WORLD A	0.00	0.87	0.00	0.87	80.0	0.70
06/01/2018	HERRIMAN, JANET	AM FUNDS NEW WORLD A	0.00	1.03	0.00	1.03	80.0	0.82
06/01/2018	THURSTON, TIMOTHY	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
06/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	0.00	4.75	0.00	4.75	80.0	3.80
06/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	0.00	1.86	0.00	1.86	80.0	1.49
06/01/2018	VLOT, KATHRYN	AM FUNDS NEW WORLD A	0.00	1.06	0.00	1.06	80.0	0.85
06/01/2018	WEAVER, JACOB	AM FUNDS NEW WORLD A	0.00	0.74	0.00	0.74	80.0	0.59
06/01/2018	EAGLESON, MYSTEE	AM FUNDS NEW WORLD A	0.00	1.07	0.00	1.07	80.0	0.86
06/01/2018	NEWBAUER, CAMERON	AM FUNDS NEW WORLD A	0.00	0.07	0.00	0.07	80.0	0.06
06/01/2018	RAMUS, ERIC	AM FUNDS NEW WORLD A	0.00	1.16	0.00	1.16	80.0	0.93
06/01/2018	DRUHOT, SCOTT	AM FUNDS NEW WORLD FUND F1	0.00	0.73	0.00	0.73	80.0	0.58
06/01/2018	SHANK, DEAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.05	0.00	1.05	80.0	0.84
06/01/2018	SHANK, CAROLE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.60	0.00	0.60	80.0	0.48
06/01/2018	COCKROFT, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.88	0.00	1.88	80.0	1.50
06/01/2018	KUMFER, JOSEPH	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.30	0.00	6.30	80.0	5.04
06/01/2018	PER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.40	0.00	1.40	80.0	1.12
06/01/2018	SHANK, CAROLE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.50	0.00	0.50	80.0	0.40
06/01/2018	BLAIR, BRIAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.09	0.00	2.09	80.0	1.67
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.68	0.00	4.68	80.0	3.74
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.31	0.00	2.31	80.0	1.85
06/01/2018	COCKROFT, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.62	0.00	0.62	80.0	0.50
06/01/2018	CACCAMO, MICHAEL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.92	0.00	2.92	80.0	2.34

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.12	0.00	3.12	80.0	2.50
06/01/2018	COGDELL, CARY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.51	0.00	4.51	80.0	3.61
06/01/2018	LOWDEN, JAMES	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.63	0.00	2.63	80.0	2.10
06/01/2018	HERBER, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.16	0.00	4.16	80.0	3.33
06/01/2018	FOSNAUGH, KAREN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.51	0.00	1.51	80.0	1.21
06/01/2018	PELFREY, KRISTEN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.56	0.00	0.56	80.0	0.45
06/01/2018	PELFREY, TODD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.58	0.00	0.58	80.0	0.46
06/01/2018	PATEL, GITA	AM FUNDS SMALLCAP WORLD A	0.00	4.73	0.00	4.73	80.0	3.78
06/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	28.67	0.00	28.67	80.0	22.94
06/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	11.95	0.00	11.95	80.0	9.56
06/01/2018	PATEL, JASWANT	AM FUNDS SMALLCAP WORLD A	0.00	3.64	0.00	3.64	80.0	2.91
06/01/2018	MURPHY, SHARI	AM FUNDS SMALLCAP WORLD A	0.00	72.72	0.00	72.72	80.0	58.18
06/01/2018	MURPHY, SHARI	AM FUNDS SMALLCAP WORLD A	0.00	4.59	0.00	4.59	80.0	3.67
06/01/2018	MURPHY, LOU	AM FUNDS SMALLCAP WORLD A	0.00	2.63	0.00	2.63	80.0	2.10
06/01/2018	MURPHY, WILLIAM	AM FUNDS SMALLCAP WORLD A	0.00	9.59	0.00	9.59	80.0	7.67
06/01/2018	LOWDEN, KATHERINE	AM FUNDS TAX-EXEMPT BOND FUND OF AM	0.00	15.75	0.00	15.75	80.0	12.60
05/15/2018	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	3.98	0.00	3.98	80.0	3.18
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.22	0.00	4.22	80.0	3.38
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	2.56	0.00	2.56	80.0	2.05
06/01/2018	CACCAMO, MICHAEL	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.93	0.00	1.93	80.0	1.54
06/01/2018	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	3.13	0.00	3.13	80.0	2.50
06/01/2018	CAPPLEMAN, BETTIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	11.99	0.00	11.99	80.0	9.59
06/01/2018	PINNELL, J MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.14	0.00	1.14	80.0	0.91
06/01/2018	FISCHER, HELEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.01	0.00	0.01	80.0	0.01
06/01/2018	WILLING, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.99	0.00	3.99	80.0	3.19
06/01/2018	TULLOCH, CAROLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.78	0.00	0.78	80.0	0.62
06/01/2018	SIMPSON, LYLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.03	0.00	10.03	80.0	8.02
06/01/2018	SIMPSON, KATHRYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.23	0.00	10.23	80.0	8.18
06/01/2018	BROCKMANO, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.07	0.00	0.07	80.0	0.06
06/01/2018	CALKINS, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.91	0.00	1.91	80.0	1.53
06/01/2018	DILLIE, KERI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.76	0.00	7.76	80.0	6.21
06/01/2018	MORHART, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.25	0.00	2.25	80.0	1.80
06/01/2018	MORHART, KAY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.62	0.00	4.62	80.0	3.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MEIER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	80.0	0.83
06/01/2018	SWAIDNER, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.05	0.00	4.05	80.0	3.24
06/01/2018	MCDUGAL, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.61	0.00	1.61	80.0	1.29
06/01/2018	WIKTOROWICZ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.22	0.00	1.22	80.0	0.98
06/01/2018	MURPHY, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.10	0.00	4.10	80.0	3.28
06/01/2018	AMARO, ALEXANDER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.43	0.00	9.43	80.0	7.54
06/01/2018	BRUNTON, JOSEI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	BRUNTON, AKTSGA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	BURNS, MARCIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.12	0.00	1.12	80.0	0.90
06/01/2018	MORHART, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.77	0.00	3.77	80.0	3.02
06/01/2018	VIELKIND, LAWRENCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.26	0.00	3.26	80.0	2.61
06/01/2018	WOEHNKER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.30	0.00	1.30	80.0	1.04
06/01/2018	FRANKS, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.81	0.00	0.81	80.0	0.65
06/01/2018	MILLER, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.80	0.00	9.80	80.0	7.84
06/01/2018	BOKHART, GORDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.02	0.00	9.02	80.0	7.22
06/01/2018	OAKES, ERIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.29	0.00	1.29	80.0	1.03
06/01/2018	BRUNTON, GABRIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	WILLIAM, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	CLARK, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.14	0.00	1.14	80.0	0.91
06/01/2018	MURPHY, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.43	0.00	0.43	80.0	0.34
06/01/2018	ZINN, DIANE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.23	0.00	4.23	80.0	3.38
06/01/2018	SORG, RIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.75	0.00	2.75	80.0	2.20
06/01/2018	CSUHTA, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.27	0.00	3.27	80.0	2.62
06/01/2018	AMARO, SOPHIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.99	0.00	7.99	80.0	6.39
06/01/2018	WINFIELD, APRIL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.32	0.00	3.32	80.0	2.66
06/01/2018	MAHONY, KAMI LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.32	0.00	3.32	80.0	2.66
06/01/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.00	0.00	9.00	80.0	7.20
06/01/2018	URICK, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.04	0.00	6.04	80.0	4.83
06/01/2018	WIGGINS, CHRISTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.29	0.00	2.29	80.0	1.83
06/01/2018	AMARO, ETHAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.10	0.00	2.10	80.0	1.68
06/01/2018	MULDOON, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.86	0.00	0.86	80.0	0.69
06/01/2018	COGDELL, CARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.67	0.00	1.67	80.0	1.34
06/01/2018	HERBER, TRINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.05	0.00	6.05	80.0	4.84

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	SAMELS, GREGORY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	80.0	0.14
06/01/2018	STANSBERRY, NANCY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.29	0.00	3.29	80.0	2.63
06/01/2018	MEIER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	80.0	0.10
06/01/2018	OAKES, ANDREW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.33	0.00	0.33	80.0	0.26
06/01/2018	ZINN, JEANNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.45	0.00	9.45	80.0	7.56
06/01/2018	HEES, TAMERA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.76	0.00	1.76	80.0	1.41
06/01/2018	FLORENCE, SHIRLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.19	0.00	1.19	80.0	0.95
06/01/2018	LOWDEN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.39	0.00	13.39	80.0	10.71
06/01/2018	DEJOHN, SHARLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.37	0.00	12.37	80.0	9.90
06/01/2018	NEWBAUER, CAMERON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.05	0.00	0.05	80.0	0.04
06/01/2018	MURPHY, LOU	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.16	0.00	2.16	80.0	1.73
06/01/2018	MURPHY, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.43	0.00	8.43	80.0	6.74
06/01/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.87	0.00	0.87	80.0	0.70
06/01/2018	BRUNTON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.08	0.00	1.08	80.0	0.86
06/01/2018	ZINN, JEFFREY	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.99	0.00	2.99	80.0	2.39
06/01/2018	LAYMAN, VICKI	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.47	0.00	2.47	80.0	1.98
05/15/2018	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.16	0.00	4.16	80.0	3.33
05/31/2018	LAYMAN, VARIOUS	DREYFUS	43,908.22	9.33	0.00	9.33	80.0	7.46
05/17/2018	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.63	0.00	0.63	80.0	0.50
05/17/2018	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.62	0.00	0.62	80.0	0.50
05/17/2018	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	80.0	0.46
05/17/2018	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	80.0	0.46
05/17/2018	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.39	0.00	2.39	80.0	1.91
05/17/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.10	0.00	5.10	80.0	4.08
05/17/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.31	0.00	15.31	80.0	12.25
05/17/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.44	0.00	5.44	80.0	4.35
05/17/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.32	0.00	16.32	80.0	13.06
05/17/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.63	0.00	8.63	80.0	6.90
05/17/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.88	0.00	25.88	80.0	20.70
05/17/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.53	0.00	8.53	80.0	6.82
05/17/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.60	0.00	25.60	80.0	20.48
05/17/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.19	0.00	3.19	80.0	2.55
05/17/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.57	0.00	9.57	80.0	7.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/17/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.65	0.00	9.65	80.0	7.72
05/17/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.94	0.00	28.94	80.0	23.15
05/17/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.66	0.00	10.66	80.0	8.53
05/17/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.99	0.00	31.99	80.0	25.59
05/17/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.86	0.00	10.86	80.0	8.69
05/17/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.57	0.00	32.57	80.0	26.06
05/17/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.14	0.00	8.14	80.0	6.51
05/17/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.41	0.00	24.41	80.0	19.53
05/17/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.57	0.00	8.57	80.0	6.86
05/17/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.70	0.00	25.70	80.0	20.56
05/17/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.57	0.00	9.57	80.0	7.66
05/17/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.70	0.00	28.70	80.0	22.96
05/17/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.38	0.00	5.38	80.0	4.30
05/17/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.15	0.00	16.15	80.0	12.92
05/15/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.29	0.00	0.29	80.0	0.23
05/15/2018	KAUFFMAN, JAN	INFLATION LINKED BOND FUND A	0.00	1.20	0.00	1.20	80.0	0.96
05/15/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	1.02	0.00	1.02	80.0	0.82
05/15/2018	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.92	0.00	0.92	80.0	0.74
05/15/2018	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.51	0.00	0.51	80.0	0.41
05/15/2018	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.79	0.00	0.79	80.0	0.63
06/01/2018	PER, ADAM	INFLATION LINKED BOND FUND A	0.00	1.92	0.00	1.92	80.0	1.54
06/01/2018	CACCAMO, MICHAEL	INFLATION LINKED BOND FUND A	0.00	3.90	0.00	3.90	80.0	3.12
06/01/2018	FOSNAUGH, KAREN	INFLATION LINKED BOND FUND A	0.00	1.50	0.00	1.50	80.0	1.20
06/01/2018	BENEFICIARY, ELLIOTT	INFLATION LINKED BOND FUND A	0.00	3.23	0.00	3.23	80.0	2.58
05/18/2018	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.82	0.00	0.82	80.0	0.66
05/18/2018	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.26	0.00	5.26	80.0	4.21
05/18/2018	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.90	0.00	1.90	80.0	1.52
05/18/2018	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	30.76	0.00	30.76	80.0	24.61
05/18/2018	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.43	0.00	7.43	80.0	5.94
06/04/2018	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.57	0.00	2.57	80.0	2.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$43,908.22	\$5,000.66	\$0.00	\$5,000.66		\$4,000.62

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/25/2018	SHANK, DEAN	ING ACCESS	89,039.39	278.25	0.00	278.25	80.0	222.60
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$89,039.39	\$278.25	\$0.00	\$278.25		\$222.60
*** DIRECT TRANSACTIONS TOTAL ***			\$604,357.18	\$5,587.64	\$0.00	\$5,587.64		\$4,470.17

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

05/24/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	40.00	1.66	0.00	1.66	80.0	1.33
/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.10	0.00	0.10	80.0	0.08
05/31/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
06/01/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
06/01/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
06/01/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.84	0.00	0.84	80.0	0.67
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	0.24	0.00	0.24	80.0	0.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$215.00	\$3.59	\$0.00	\$3.59		\$2.88

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

05/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	80.0	2.10
05/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
06/01/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	2.76	0.00	2.76	80.0	2.21
05/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	147.87	1.94	0.00	1.94	80.0	1.55
05/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	147.87	1.94	0.00	1.94	80.0	1.55
06/01/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	2.03	0.00	2.03	80.0	1.62
05/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	384.92	5.05	0.00	5.05	80.0	4.04
05/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	192.46	2.52	0.00	2.52	80.0	2.02
06/01/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	3.69	0.00	3.69	80.0	2.95
06/01/2018	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.57	0.00	1.57	80.0	1.26
06/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	6.09	0.00	6.09	80.0	4.87
05/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	80.0	0.25
05/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	80.0	0.17
05/15/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	80.0	0.09
05/15/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	80.0	0.11
05/15/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	80.0	0.34
05/15/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	80.0	0.13

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/01/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	150.00	2.62	0.00	2.62	80.0	2.10
06/01/2018	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	80.0	0.29
06/01/2018	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.91	0.00	1.91	80.0	1.53
06/01/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	80.0	1.13
06/01/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
06/01/2018	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.58	0.00	0.58	80.0	0.46
06/01/2018	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	80.0	0.01
06/01/2018	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	80.0	0.30
06/01/2018	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
06/01/2018	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.46	0.00	1.46	80.0	1.17
06/01/2018	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	80.0	0.85
06/01/2018	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.34	0.00	2.34	80.0	1.87
06/01/2018	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	5.36	0.00	5.36	80.0	4.29
05/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.32	0.00	0.32	80.0	0.26
05/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.24	0.00	0.24	80.0	0.19
06/01/2018	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.54	0.00	0.54	80.0	0.43
06/01/2018	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.24	0.00	0.24	80.0	0.19
05/15/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	80.0	0.02
06/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.61	0.00	0.61	80.0	0.49
06/01/2018	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	80.0	0.22
05/15/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	80.0	0.11
06/01/2018	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	80.0	1.06
06/01/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	80.0	0.40
06/01/2018	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.74	0.00	0.74	80.0	0.59
06/01/2018	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.36	0.00	0.36	80.0	0.29
06/01/2018	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	80.0	0.02
06/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	80.0	0.23
05/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	80.0	0.17
06/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	80.0	0.70
06/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.56	0.00	0.56	80.0	0.45
05/15/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	0.00	0.18	80.0	0.14
05/15/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	80.0	0.03

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
05/29/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	80.0	0.70
05/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	80.0	4.90
06/01/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	80.0	0.34
06/01/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.46	0.00	2.46	80.0	1.97
06/01/2018	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	80.0	0.74
06/01/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.13	0.00	3.13	80.0	2.50
06/01/2018	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	80.0	0.37
06/01/2018	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	80.0	0.00
06/01/2018	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	80.0	0.12
06/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.63	0.00	0.63	80.0	0.50
06/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	0.00	0.64	80.0	0.51
06/01/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.71	0.00	0.71	80.0	0.57
05/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	80.0	0.14
06/01/2018	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.28	0.00	0.28	80.0	0.22
05/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	80.0	0.20
06/01/2018	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.33	0.00	0.33	80.0	0.26
05/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	80.0	0.15
06/01/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	80.0	0.08
05/31/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	80.0	0.33
05/21/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.22	0.00	1.22	80.0	0.98
05/18/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.80	0.00	4.80	80.0	3.84
05/21/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	80.0	0.14
05/22/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.67	0.00	0.67	80.0	0.54
06/01/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.13	0.00	0.13	80.0	0.10
06/01/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	80.0	0.51
06/01/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
06/01/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
/ /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	0.24	0.00	0.24	80.0	0.19
05/31/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.10	0.00	0.10	80.0	0.08
05/15/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	80.0	0.26
06/01/2018	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.36	0.00	0.36	80.0	0.29
06/01/2018	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.33	0.00	0.33	80.0	0.26

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
05/23/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.26	0.00	1.26	80.0	1.01
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,944.08	\$88.44	\$0.00	\$88.44		\$70.76
*** SPLIT TRANSACTIONS TOTAL ***			\$2,159.08	\$92.03	\$0.00	\$92.03		\$73.64

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: WILLIAM, L129 LAYMAN #207</u>								
06/01/2018	LAYMAN, GEOFFREY	AM FUNDS AMERICAN BALANCED A	0.00	1.79	0.00	1.79	45.0	0.81
06/01/2018	DIRIG, SARAH	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	45.0	0.32
06/01/2018	PALADINO, KAITLYN	AM FUNDS AMERICAN BALANCED A	0.00	3.83	0.00	3.83	45.0	1.72
06/01/2018	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	0.00	2.04	0.00	2.04	45.0	0.92
05/31/2018	SCHULER, CINDY	MASS MUTUAL 575769484	135,838.64	113.20	0.00	113.20	45.0	50.94
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$135,838.64	\$121.57	\$0.00	\$121.57		\$54.71
*** OVERRIDE TRANSACTIONS TOTAL ***			\$135,838.64	\$121.57	\$0.00	\$121.57		\$54.71

<u>ADJUSTMENTS</u>								
06/15/2018		*ALBRIDGE: LIGH USER	0.00					-76.00
06/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*EO INSURANCE: CURRENT MONTH INS-BILL	0.00					-300.00
06/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
06/15/2018		*LASER APP: CURRENT MONTH - KEITH	0.00					-18.00
06/15/2018		*LASER APP: LASER APP - BILL	0.00					-18.00
06/15/2018		*PERSHING: ADDRESS VERIFICATION LETTERS - PNW047199	0.00					-1.50
06/15/2018		*PERSHING: BLOCK TRADE REBALANCE	0.00					-42.00
06/15/2018		*PERSHING: COST BASIS TRACKING 130 ACCTS	0.00					-130.00
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.55
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-9.00
06/15/2018		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-130.00

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<u>ADJUSTMENTS</u>								
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC - BILL	0.00					-180.00
06/15/2018		*TECHNOLOGY: LIMITED ADMIN - S BONANNON	0.00					-60.00
06/15/2018		*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00					-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,521.05

BROKER COMMISSION TOTALS

Broker Transactions	\$4,448.57
Split Transactions	\$73.64
Override Transactions	\$54.71
Adjustments	\$-1,521.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,055.87
Year-to-date Gross	\$26,723.22
Year-to-date Earnings	\$24,559.21

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