

STATEMENT

January 20, 2018

JEFF JONES
1601 Mier St

BROKER #: 016
BRANCH: LA BRANCH

Laredo, TX 78043-

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

11/16/2017 #00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A B		75.00	1.50	0.00	0.00	1.50	85.0	1.28
11/16/2017 #00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
11/16/2017 #00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
11/16/2017 #00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
11/16/2017 #00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
11/16/2017 #00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
11/16/2017 #00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0	0.09
11/16/2017 #00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0	0.13
11/16/2017 #00089778964	MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
11/16/2017 #00088848033	DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
11/17/2017 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	280.27	2.80	0.00	0.00	2.80	85.0	2.38
11/17/2017 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	278.16	2.78	0.00	0.00	2.78	85.0	2.36
11/17/2017 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
11/17/2017 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
11/17/2017 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
11/17/2017 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
11/17/2017 #00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	94.29	4.71	0.00	0.00	4.71	85.0	4.00
11/17/2017 #00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	37.71	1.89	0.00	0.00	1.89	85.0	1.61
11/17/2017 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
11/17/2017 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0	0.40
11/17/2017 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
11/17/2017 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
11/17/2017 #00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	37.71	1.89	0.00	0.00	1.89	85.0	1.61
11/17/2017 #00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
11/17/2017 #00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0	0.40
11/17/2017 #00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0	0.18
11/17/2017 #00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0	0.23
11/17/2017 #00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0	0.20
11/17/2017 #00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0	0.20
11/17/2017 #00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
11/17/2017 #00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
11/17/2017	#00089125404 KELLETTJULI	SMALLCAP WORLD FUND INC CLASS A	B	18.86	0.94	0.00	0.00	0.94	85.0	0.80
11/27/2017	#2000347795 PEREZ, SELINA	AGGRESSIVEC	B	0.00	0.50	0.00	0.00	0.50	85.0	0.43
11/27/2017	#2000347795 PEREZ, SELINA	CONSERVATIVE C	B	0.00	0.50	0.00	0.00	0.50	85.0	0.43
11/27/2017	#0000000000026714 RAMIRJESSB	FRK GLOBAL REAL ESTATE FUND CLASS A B		50.00	2.50	0.00	0.00	2.50	85.0	2.13
11/30/2017	#00088848033 DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A B		75.00	1.50	0.00	0.00	1.50	85.0	1.28
11/30/2017	#00089128773 VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
11/30/2017	#00089778964 MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
11/30/2017	#00089128773 VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
11/30/2017	#00089128773 VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
11/30/2017	#00088848033 DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
11/30/2017	#00089128773 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0	0.09
11/30/2017	#00088848033 DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0	0.13
11/30/2017	#00089778964 MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
11/30/2017	#00088848033 DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
12/01/2017	#00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	280.27	2.80	0.00	0.00	2.80	85.0	2.38
12/01/2017	#00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	278.16	2.78	0.00	0.00	2.78	85.0	2.36
12/01/2017	#00089051015 VELACESARE	INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
12/01/2017	#00089051015 VELACESARE	INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
12/01/2017	#00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
12/01/2017	#00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
12/01/2017	#00089125404 KELLETTJULI	INVESTMENT CO AMER CLASS A	B	90.65	4.53	0.00	0.00	4.53	85.0	3.85
12/01/2017	#00089125404 KELLETTJULI	NEW PERSPECTIVE FUND INC CL A	B	36.26	1.81	0.00	0.00	1.81	85.0	1.54
12/01/2017	#00089051015 VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
12/01/2017	#00089051015 VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0	0.40
12/01/2017	#00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
12/01/2017	#00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
12/01/2017	#00089125404 KELLETTJULI	NEW WORLD FUND INC NEW	B	36.26	1.81	0.00	0.00	1.81	85.0	1.54
12/01/2017	#00089051015 VELACESARE	NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
12/01/2017	#00089051015 VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0	0.40
12/01/2017	#00088859005 REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0	0.18
12/01/2017	#00088859005 REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0	0.23
12/01/2017	#00089051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0	0.20
12/01/2017	#00089051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0	0.20
12/01/2017	#00088859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
12/01/2017	#00088859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
12/01/2017	#00089125404 KELLETTJULI	SMALLCAP WORLD FUND INC CLASS A	B	18.13	0.91	0.00	0.00	0.91	85.0	0.77
12/15/2017	#00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	297.94	2.98	0.00	0.00	2.98	85.0	2.53
12/15/2017	#00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	300.20	3.00	0.00	0.00	3.00	85.0	2.55
12/15/2017	#00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
12/15/2017	#00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
12/15/2017	#00089051015	VELACESARE		INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17 85.0 0.99
12/15/2017	#00089051015	VELACESARE		INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18 85.0 1.00
12/15/2017	#00089125404	KELLETJULI		INVESTMENT CO AMER CLASS A	B	90.65	4.53	0.00	0.00	4.53 85.0 3.85
12/15/2017	#00089125404	KELLETJULI		NEW PERSPECTIVE FUND INC CL A	B	36.26	1.81	0.00	0.00	1.81 85.0 1.54
12/15/2017	#00088859005	REGALADO, JOSE		NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53 85.0 0.45
12/15/2017	#00088859005	REGALADO, JOSE		NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42 85.0 0.36
12/15/2017	#00089051015	VELACESARE		NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47 85.0 0.40
12/15/2017	#00089051015	VELACESARE		NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47 85.0 0.40
12/15/2017	#00089125404	KELLETJULI		NEW WORLD FUND INC NEW	B	36.26	1.81	0.00	0.00	1.81 85.0 1.54
12/15/2017	#00088859005	REGALADO, JOSE		NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27 85.0 0.23
12/15/2017	#00088859005	REGALADO, JOSE		NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21 85.0 0.18
12/15/2017	#00089051015	VELACESARE		NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47 85.0 0.40
12/15/2017	#00089051015	VELACESARE		NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47 85.0 0.40
12/15/2017	#00088859005	REGALADO, JOSE		SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53 85.0 0.45
12/15/2017	#00088859005	REGALADO, JOSE		SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42 85.0 0.36
12/15/2017	#00089051015	VELACESARE		SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23 85.0 0.20
12/15/2017	#00089051015	VELACESARE		SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24 85.0 0.20
12/15/2017	#00089125404	KELLETJULI		SMALLCAP WORLD FUND INC CLASS A	B	18.13	0.91	0.00	0.00	0.91 85.0 0.77
12/18/2017	#00088848033	DELTORRER		INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50 85.0 1.28
12/18/2017	#00089128773	VELACESARE		INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50 85.0 0.43
12/18/2017	#00089128773	VELACESARE		NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20 85.0 0.17
12/18/2017	#00089778964	MILLER, THOMAS		NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50 85.0 2.13
12/18/2017	#00088848033	DELTORRER		NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30 85.0 0.26
12/18/2017	#00089128773	VELACESARE		NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20 85.0 0.17
12/18/2017	#00088848033	DELTORRER		SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15 85.0 0.13
12/18/2017	#00089128773	VELACESARE		SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10 85.0 0.09
12/18/2017	#00088848033	DELTORRER		THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30 85.0 0.26
12/18/2017	#00089778964	MILLER, THOMAS		THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50 85.0 2.13
12/20/2017	#00074389206	HUMB, LAUREL		EURO PAC GROWTH FUND CL C	B	248.13	2.48	0.00	0.00	2.48 85.0 2.11
12/20/2017	#00074389206	HUMB, LAUREL		EURO PAC GROWTH FUND CL C	B	250.01	2.50	0.00	0.00	2.50 85.0 2.13
12/20/2017	#00088848033	DELTORRER		INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50 85.0 1.28
12/20/2017	#00088859005	REGALADO, JOSE		INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33 85.0 1.13
12/20/2017	#00088859005	REGALADO, JOSE		INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04 85.0 0.88
12/20/2017	#00089051015	VELACESARE		INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17 85.0 0.99
12/20/2017	#00089051015	VELACESARE		INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18 85.0 1.00
12/20/2017	#00089128773	VELACESARE		INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50 85.0 0.43
12/20/2017	#00089125404	KELLETJULI		INVESTMENT CO AMER CLASS A	B	90.65	4.53	0.00	0.00	4.53 85.0 3.85
12/20/2017	#00089125404	KELLETJULI		NEW PERSPECTIVE FUND INC CL A	B	36.26	1.81	0.00	0.00	1.81 85.0 1.54
12/20/2017	#00088859005	REGALADO, JOSE		NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53 85.0 0.45
12/20/2017	#00088859005	REGALADO, JOSE		NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42 85.0 0.36

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
12/20/2017	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0 0.40
12/20/2017	#00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0 0.40
12/20/2017	#00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
12/20/2017	#00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
12/20/2017	#00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	36.26	1.81	0.00	0.00	1.81	85.0 1.54
12/20/2017	#00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
12/20/2017	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0 0.23
12/20/2017	#00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0 0.18
12/20/2017	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0 0.40
12/20/2017	#00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0 0.40
12/20/2017	#00089128773	VELACESARE	NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0 0.17
12/20/2017	#00088848033	DELTORRER	SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0 0.13
12/20/2017	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0 0.45
12/20/2017	#00088859005	REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0 0.36
12/20/2017	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0 0.20
12/20/2017	#00089051015	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0 0.20
12/20/2017	#00089128773	VELACESARE	SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0 0.09
12/20/2017	#00089125404	KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	B	18.13	0.91	0.00	0.00	0.91	85.0 0.77
12/20/2017	#00088848033	DELTORRER	THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0 0.26
12/20/2017	#00089778964	MILLER, THOMAS	THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0 2.13
12/26/2017	#0000000000026714	RAMIRJESSB	FRK GLOBAL REAL ESTATE FUND CLASS A B	B	50.00	2.50	0.00	0.00	2.50	85.0 2.13
* MUTUAL FUNDS SUBTOTAL *					\$9,821.29	\$135.53	\$0.00	\$0.00	\$135.53	\$115.36
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
11/30/2017	#10980000000	DEL NORTE,	JOHN HANCOCK ARA	B	0.00	177.53	0.00	0.00	177.53	85.0 150.90
12/01/2017	#702155	BARRERA, ADRIANA	JOHN HANCOCK LIFE-TRAILS	B	0.00	55.92	0.00	0.00	55.92	85.0 47.53
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$233.45	\$0.00	\$0.00	\$233.45	\$198.43
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/01/2017	#00089114280		2025 TARGET DATE RETIRMENT	B	0.00	45.87	0.00	0.00	45.87	85.0 38.99
12/01/2017	#00089114571		AMCAP FUND INC CLASS A	B	0.00	6.84	0.00	0.00	6.84	85.0 5.81
12/01/2017	#00062954437		AMERICAN BALANCED FUND INC CLASS A	B	0.00	37.63	0.00	0.00	37.63	85.0 31.99
12/01/2017	#00089114280		AMERICAN BALANCED FUND INC CLASS A	B	0.00	11.09	0.00	0.00	11.09	85.0 9.43
12/01/2017	#00089114325		AMERICAN BALANCED FUND INC CLASS A	B	0.00	10.92	0.00	0.00	10.92	85.0 9.28
12/01/2017	#00074389206	HUMB, LAUREL	BOND FUND AMER INC CL C	B	0.00	17.10	0.00	0.00	17.10	85.0 14.54
12/01/2017	#00075088110		BOND FUND AMER INC CL C	B	0.00	90.33	0.00	0.00	90.33	85.0 76.78
12/01/2017	#00074389206	HUMB, LAUREL	BOND FUND AMER INC CL F1	B	0.00	4.56	0.00	0.00	4.56	85.0 3.88
12/01/2017	#00075088110		BOND FUND AMER INC CL F1	B	0.00	3.70	0.00	0.00	3.70	85.0 3.15
12/01/2017	#00089114280		BOND FUND OF AMERICA INC CL A	B	0.00	7.80	0.00	0.00	7.80	85.0 6.63
12/01/2017	#00089114571		BOND FUND OF AMERICA INC CL A	B	0.00	5.34	0.00	0.00	5.34	85.0 4.54

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/01/2017	#00058430593	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	7.76	0.00	0.00	7.76	85.0	6.60
12/01/2017	#00062624441	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	28.30	0.00	0.00	28.30	85.0	24.06
12/01/2017	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	0.00	311.17	0.00	311.17	85.0	264.49
12/01/2017	#00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL F1	B	0.00	1.14	0.00	1.14	85.0	0.97
12/01/2017	#00058337242	RAMIREZ, J	EURO PACIFIC GROWTH FUND CLASS A	B	0.00	10.46	0.00	10.46	85.0	8.89
12/01/2017	#00058698238		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	169.14	0.00	169.14	85.0	143.77
12/01/2017	#00059045508		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	14.58	0.00	14.58	85.0	12.39
12/01/2017	#00089114280		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	6.76	0.00	6.76	85.0	5.75
12/01/2017	#00089114571		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	10.61	0.00	10.61	85.0	9.02
12/01/2017	#00085834157		EURO PACIFIC GROWTH FUND CLASS A	B	0.00	4.96	0.00	4.96	85.0	4.22
12/01/2017	#00089114325		FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	6.68	0.00	6.68	85.0	5.68
12/01/2017	#00085937930		FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	16.45	0.00	16.45	85.0	13.98
12/01/2017	#00089813958		FUNDAMENTAL INVS INC CL C	B	0.00	10.22	0.00	10.22	85.0	8.69
12/01/2017	#04000592877	ZUNIGA, FERNANDO	GROWTH FUND AMERICA INC CLASS A	B	0.00	0.27	0.00	0.27	85.0	0.23
12/01/2017	#00058698238		GROWTH FUND AMERICA INC CLASS A	B	0.00	285.55	0.00	285.55	85.0	242.72
12/01/2017	#00089114280		GROWTH FUND AMERICA INC CLASS A	B	0.00	9.75	0.00	9.75	85.0	8.29
12/01/2017	#00089114571		GROWTH FUND AMERICA INC CLASS A	B	0.00	23.62	0.00	23.62	85.0	20.08
12/01/2017	#00089114325		INCOME FUND OF AMERICA INC CLASS A	B	0.00	7.61	0.00	7.61	85.0	6.47
12/01/2017	#00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	0.00	0.20	0.00	0.20	85.0	0.17
12/01/2017	#00084419230	MELENDEZ, JA	INTERNATIONAL GROWTH AND INCOME-A	B	0.00	3.34	0.00	3.34	85.0	2.84
12/01/2017	#00074389206	HUMB, LAUREL	INVESTMENT CO AMER CL C	B	0.00	33.42	0.00	33.42	85.0	28.41
12/01/2017	#00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	0.00	203.61	0.00	203.61	85.0	173.07
12/01/2017	#00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	0.00	132.37	0.00	132.37	85.0	112.51
12/01/2017	#00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	0.00	18.79	0.00	18.79	85.0	15.97
12/01/2017	#00075088110		INVESTMENT CO AMER CL C	B	0.00	142.27	0.00	142.27	85.0	120.93
12/01/2017	#00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	0.00	1.67	0.00	1.67	85.0	1.42
12/01/2017	#00058698238		INVESTMENT CO AMER CLASS A	B	0.00	206.03	0.00	206.03	85.0	175.13
12/01/2017	#00059045508		INVESTMENT CO AMER CLASS A	B	0.00	26.45	0.00	26.45	85.0	22.48
12/01/2017	#00089114280		INVESTMENT CO AMER CLASS A	B	0.00	29.08	0.00	29.08	85.0	24.72
12/01/2017	#00089114571		INVESTMENT CO AMER CLASS A	B	0.00	21.27	0.00	21.27	85.0	18.08
12/01/2017	#00085834157		INVESTMENT CO AMER CLASS A	B	0.00	11.69	0.00	11.69	85.0	9.94
12/01/2017	#04000073403	ALEXANDERC	NEW ECONOMY FUND SBI CLASS A	B	0.00	0.13	0.00	0.13	85.0	0.11
12/01/2017	#00067095603		NEW ECONOMY FUND SBI CLASS A	B	0.00	5.54	0.00	5.54	85.0	4.71
12/01/2017	#00087938001		NEW ECONOMY FUND SBI CLASS A	B	0.00	5.26	0.00	5.26	85.0	4.47
12/01/2017	#00085834157		NEW ECONOMY FUND SBI CLASS A	B	0.00	7.26	0.00	7.26	85.0	6.17
12/01/2017	#00074389206	HUMB, LAUREL	NEW PERSPECTIVE FUND -F1	B	0.00	9.40	0.00	9.40	85.0	7.99
12/01/2017	#00075088110		NEW PERSPECTIVE FUND -F1	B	0.00	6.02	0.00	6.02	85.0	5.12
12/01/2017	#00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	0.00	0.69	0.00	0.69	85.0	0.59
12/01/2017	#00058698238		NEW PERSPECTIVE FUND INC CL A	B	0.00	252.40	0.00	252.40	85.0	214.54
12/01/2017	#00067095603		NEW PERSPECTIVE FUND INC CL A	B	0.00	5.69	0.00	5.69	85.0	4.84

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/01/2017	#00089114325	NEW PERSPECTIVE FUND INC CL A	B	0.00	14.10	0.00	0.00	14.10	85.0	11.99
12/01/2017	#00089114571	NEW PERSPECTIVE FUND INC CL A	B	0.00	8.87	0.00	0.00	8.87	85.0	7.54
12/01/2017	#00085834157	NEW PERSPECTIVE FUND INC CL A	B	0.00	18.67	0.00	0.00	18.67	85.0	15.87
12/01/2017	#00082145523	NEW PERSPECTIVE FUND INC CL A	B	0.00	1.37	0.00	0.00	1.37	85.0	1.16
12/01/2017	#00074389206	HUMB, LAUREL	B	0.00	33.80	0.00	0.00	33.80	85.0	28.73
12/01/2017	#00088859005	REGALADO, JOSE	B	0.00	82.00	0.00	0.00	82.00	85.0	69.70
12/01/2017	#00089051015	VELACESARE	B	0.00	52.92	0.00	0.00	52.92	85.0	44.98
12/01/2017	#00089128773	VELACESARE	B	0.00	7.55	0.00	0.00	7.55	85.0	6.42
12/01/2017	#00089778964	MILLER, THOMAS	B	0.00	3.39	0.00	0.00	3.39	85.0	2.88
12/01/2017	#00075088110	NEW PERSPECTIVE FUND INC CL C	B	0.00	143.32	0.00	0.00	143.32	85.0	121.82
12/01/2017	#00086852003	ZUNIGA, FERNANDO	B	0.00	2.07	0.00	0.00	2.07	85.0	1.76
12/01/2017	#00089125404	KELLETJULI	B	0.00	0.70	0.00	0.00	0.70	85.0	0.60
12/01/2017	#00089114280	NEW WORLD FUND INC NEW	B	0.00	8.17	0.00	0.00	8.17	85.0	6.94
12/01/2017	#00089114571	NEW WORLD FUND INC NEW	B	0.00	7.45	0.00	0.00	7.45	85.0	6.33
12/01/2017	#00085834157	NEW WORLD FUND INC NEW	B	0.00	3.66	0.00	0.00	3.66	85.0	3.11
12/01/2017	#00088848033	DELTORRER	B	0.00	27.23	0.00	0.00	27.23	85.0	23.15
12/01/2017	#00088859005	REGALADO, JOSE	B	0.00	78.72	0.00	0.00	78.72	85.0	66.91
12/01/2017	#00089051015	VELACESARE	B	0.00	54.15	0.00	0.00	54.15	85.0	46.03
12/01/2017	#00089128773	VELACESARE	B	0.00	7.74	0.00	0.00	7.74	85.0	6.58
12/01/2017	#00088848033	DELTORRER	B	0.00	67.73	0.00	0.00	67.73	85.0	57.57
12/01/2017	#00088848033	DELTORRER	B	0.00	13.27	0.00	0.00	13.27	85.0	11.28
12/01/2017	#00088859005	REGALADO, JOSE	B	0.00	38.32	0.00	0.00	38.32	85.0	32.57
12/01/2017	#00089051015	VELACESARE	B	0.00	26.65	0.00	0.00	26.65	85.0	22.65
12/01/2017	#00089128773	VELACESARE	B	0.00	3.81	0.00	0.00	3.81	85.0	3.24
12/01/2017	#00089125404	KELLETJULI	B	0.00	0.34	0.00	0.00	0.34	85.0	0.29
12/01/2017	#00089114280	SMALLCAP WORLD FUND INC CLASS A	B	0.00	3.03	0.00	0.00	3.03	85.0	2.58
12/01/2017	#00089114571	SMALLCAP WORLD FUND INC CLASS A	B	0.00	4.52	0.00	0.00	4.52	85.0	3.84
12/01/2017	#00074389206	HUMB, LAUREL	B	0.00	8.78	0.00	0.00	8.78	85.0	7.46
12/01/2017	#00075088110	THE INVESTMENT COMPANY OF AMERICA F	B	0.00	5.59	0.00	0.00	5.59	85.0	4.75
12/01/2017	#00088848033	DELTORRER	B	0.00	28.47	0.00	0.00	28.47	85.0	24.20
12/01/2017	#00089778964	MILLER, THOMAS	B	0.00	3.58	0.00	0.00	3.58	85.0	3.04
12/01/2017	#00089813971	THE NEW ECONOMY FUND-C	B	0.00	6.84	0.00	0.00	6.84	85.0	5.81
12/01/2017	#00086722477	ZUNIGA, FERNANDO	B	0.00	3.63	0.00	0.00	3.63	85.0	3.09
12/01/2017	#00087948868	MARTINEZ, MARISSA	B	0.00	0.83	0.00	0.00	0.83	85.0	0.71
12/01/2017	#00088532798	MARTINEZ, MARISSA	B	0.00	0.84	0.00	0.00	0.84	85.0	0.71
12/01/2017	#00059045508	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	30.24	0.00	0.00	30.24	85.0	25.70
12/01/2017	#00065067787	RENNER, ERIC	B	0.00	1.64	0.00	0.00	1.64	85.0	1.39
12/01/2017	#00089114280	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	13.17	0.00	0.00	13.17	85.0	11.19
12/01/2017	#00089114325	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	6.87	0.00	0.00	6.87	85.0	5.84
12/01/2017	#00089114571	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	20.72	0.00	0.00	20.72	85.0	17.61

BROKER COMMISSION TOTALS

Broker Transactions	\$3,083.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,584.37
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