

All Companies  
**STATEMENT**  
June 20, 2018

RON BARTSCH  
S12 W29085 Summitt Ave  
SUITE 620  
Waukesha, WI 53188

BROKER #: 037  
BRANCH: LM BRANCH

COMMISSION STATEMENT for RON BARTSCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/02/2018	#02500080766 HERVE, BRAND	INVESTCO ASIA PACIFIC GROWTH	60.00	0.60	95.0	0.00	0.57	0.00	0.57
05/08/2018	#01001229265 HERVEY UTMA/WI,	INVESCO ENERGY FUND CLASS C	60.00	0.60	95.0	0.00	0.57	0.00	0.57
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$120.00</b>	<b>\$1.20</b>		<b>\$0.00</b>	<b>\$1.14</b>	<b>\$0.00</b>	<b>\$1.14</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
05/07/2018	# BARTSCH, MICHAEL	OROPLATA RES INC COM	1,800.00	25.00	95.0	0.00	23.75	18.00	5.75
05/18/2018	# REV TRUST, THOMAS & DORIS	AT&T INC	33,000.00	539.35	95.0	0.00	512.38	18.00	494.38
05/18/2018	# BLE, BALDWIN GROSSE	AT&T INC	33,000.00	539.35	95.0	0.00	512.38	18.00	494.38
05/25/2018	# WALTER, EARL	AT&T INC	32,500.00	536.12	95.0	0.00	509.31	18.00	491.31
05/25/2018	# OLSON, MARTHA	AT&T INC	16,250.00	328.15	95.0	0.00	311.74	18.00	293.74
<b>* STOCKS SUBTOTAL *</b>			<b>\$116,550.00</b>	<b>\$1,967.97</b>		<b>\$0.00</b>	<b>\$1,869.56</b>	<b>\$90.00</b>	<b>\$1,779.56</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
05/01/2018	# BLE, BALDWIN GROSSE	PUT T 05/18/18 33	700.00	50.00	95.0	0.00	47.50	30.50	17.00
05/02/2018	# REV TRUST, THOMAS & DORIS	PUT T 05/18/18 33	1,000.00	50.00	95.0	0.00	47.50	30.50	17.00
05/08/2018	# STIEHL, EDITH	PUT T 06/15/18 32	630.00	50.00	95.0	0.00	47.50	25.50	22.00
05/11/2018	# BLE, BALDWIN GROSSE	CALL BIDU 01/18/19 320	9,180.00	256.12	95.0	0.00	243.31	29.25	214.06
05/24/2018	# PRICE, THOMAS	CALL CARA 06/15/18 17.50	4,000.00	200.00	95.0	0.00	190.00	80.50	109.50
05/24/2018	# BARTSCH, MICHAEL	CALL CARA 06/15/18 17.50	640.00	50.00	95.0	0.00	47.50	28.00	19.50
05/25/2018	# REV TRUST, THOMAS & DORIS	CALL CARA 08/17/18 20	16,000.00	800.00	95.0	0.00	760.00	143.00	617.00
<b>* OPTIONS SUBTOTAL *</b>			<b>\$32,150.00</b>	<b>\$1,456.12</b>		<b>\$0.00</b>	<b>\$1,383.31</b>	<b>\$367.25</b>	<b>\$1,016.06</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/30/2018	#0000000000026625 HERVEY,	FRANKLIN 353533730	0.00	5.83	95.0	0.00	5.54	0.00	5.54
04/30/2018	#000050117552942 KALTENBACH,	FRANKLIN HIGH INCOME FUND A	0.00	1.68	95.0	0.00	1.60	0.00	1.60
04/30/2018	#000090222084217 KALTENBACH,	FRANKLIN INCOME SERIES CL A	0.00	22.89	95.0	0.00	21.75	0.00	21.75
04/30/2018	#0000000000054623 HERVEY,	FRANKLIN INDIA GROWTH FUND - CLASS	0.00	1.52	95.0	0.00	1.44	0.00	1.44
04/30/2018	#000028212312792 HERVEY,	FRANKLIN SMALL CAP VALUE FUND CLASS	0.00	4.99	95.0	0.00	4.74	0.00	4.74
04/30/2018	#0000000007505884 HERVEY,	MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	2.00	95.0	0.00	1.90	0.00	1.90

COMMISSION STATEMENT for RON BARTSCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/09/2018 #	WIED, DEBRA	DAIN TRAILER	0.00	6.57	95.0	0.00	6.24	0.00	6.24
05/09/2018 #	GUEX, GREGORY	DAIN TRAILER	0.00	5.51	95.0	0.00	5.23	0.00	5.23
05/09/2018 #	GUEX, GREGORY	DAIN TRAILER	0.00	11.63	95.0	0.00	11.05	0.00	11.05
05/09/2018 #	DAVIS, KAREN	DAIN TRAILER	0.00	3.71	95.0	0.00	3.52	0.00	3.52
05/09/2018 #	WALTER, EARL	DAIN TRAILER	0.00	12.30	95.0	0.00	11.69	0.00	11.69
05/09/2018 #	WIED, DENNIS	DAIN TRAILER	0.00	2.01	95.0	0.00	1.91	0.00	1.91
05/09/2018 #	WIED, DENNIS	DAIN TRAILER	0.00	5.06	95.0	0.00	4.81	0.00	4.81
05/09/2018 #	WIED, MICHAEL	DAIN TRAILER	0.00	2.01	95.0	0.00	1.91	0.00	1.91
05/09/2018 #	WIED, MICHAEL	DAIN TRAILER	0.00	5.06	95.0	0.00	4.81	0.00	4.81
05/09/2018 #	STIEHL, EDITH	DAIN TRAILER	0.00	9.64	95.0	0.00	9.16	0.00	9.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$102.41		\$0.00	\$97.30	\$0.00	\$97.30
*** BROKER TRANSACTIONS TOTAL ***			\$148,820.00	\$3,527.70		\$0.00	\$3,351.31	\$457.25	\$2,894.06

ADJUSTMENTS

06/20/2018	ADMIN FEE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
06/20/2018	FEDEX: FEDEX IRS 4/30	-6.59
*** ADJUSTMENTS TOTAL ***		\$-575.59

BROKER COMMISSION TOTALS

Broker Transactions	\$2,894.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,318.47
--------------	------------