



# COMMISSION STATEMENT

## May 07, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/27/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	512.83	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 22 Units @23.4200						
04/25/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: 00086895596	BUY 2 Units @28.3400						
04/23/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
04/23/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
05/02/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	1,000.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 73 Units @13.7300						
04/27/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	512.81	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 19 Units @27.0100						
04/27/2018	***-**-036I - CROWL, LINDA	INVESCO MULTI ASSET INCOME FD CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 168 Units @10.4200						
04/30/2018	***-**-322I - SHOCKEY, DEBORAH	INVESCO VAN KAMPEN EQUITY AND INCOM	6,400.00	72.00	18.00	54.00	85.0	45.90
	ACCOUNT #: LSR011848	BUY 604 Units @10.6000						
05/01/2018	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	999.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011529	SELL 13 Units @77.1600						
04/27/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 145 Units @12.1000						
04/23/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$13,167.63</b>	<b>\$86.41</b>	<b>\$36.00</b>	<b>\$50.41</b>		<b>\$40.16</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/04/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	0.57	0.00	0.57	85.0	0.48
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
05/07/2018	***-**-30IN - STRAUB, JANE	SAMMONS MF TRAILS	0.00	13.37	0.00	13.37	85.0	11.36
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.94</b>	<b>\$0.00</b>	<b>\$13.94</b>		<b>\$11.84</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
05/03/2018	***-**-859I - CAMPBELL, GERALD	ING ADVANTAGE SELECT	0.00	16.53	0.00	16.53	85.0	14.05
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
04/30/2018	***-**-36IR - HERDSON, JOHN	JACKSON ELITE ACCESS E	0.00	40.51	0.00	40.51	85.0	34.43
	ACCOUNT #: 1012521924	BUY 0 Units @0.0000						
04/30/2018	***-**-632I - BURG, THERESA	JACKSON ELITE ACCESS E	0.00	68.36	0.00	68.36	85.0	58.11
	ACCOUNT #: 1015747249	BUY 0 Units @0.0000						
04/30/2018	***-**-648I - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	20.09	0.00	20.09	85.0	17.08
	ACCOUNT #: 1015810986	BUY 0 Units @0.0000						
04/30/2018	***-**-305I - WAGNER, KEITH	JACKSON ELITE ACCESS E	0.00	47.63	0.00	47.63	85.0	40.49
	ACCOUNT #: 1015946705	BUY 0 Units @0.0000						
04/30/2018	***-**-48IN - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	36.06	0.00	36.06	85.0	30.65
	ACCOUNT #: 1019931044	BUY 0 Units @0.0000						
04/30/2018	***-**-597I - LEAR, DIANE	JACKSON ELITE ACCESS OPTION A	0.00	18.56	0.00	18.56	85.0	15.78
	ACCOUNT #: 1014504202	BUY 0 Units @0.0000						
04/30/2018	***-**-36IN - CROWL, LINDA	JACKSON ELITE ACCESS OPTION A	0.00	208.74	0.00	208.74	85.0	177.43
	ACCOUNT #: 1016264217	BUY 0 Units @0.0000						
04/30/2018	***-**-94IR - VITALE, LAURIE	JACKSON ELITE ACCESS OPTION A	0.00	15.55	0.00	15.55	85.0	13.22
	ACCOUNT #: 1016299231	BUY 0 Units @0.0000						
04/30/2018	***-**-20IN - GARMAN, KARL	JACKSON ELITE ACCESS OPTION A	0.00	7.52	0.00	7.52	85.0	6.39
	ACCOUNT #: 1017012386	BUY 0 Units @0.0000						
04/30/2018	***-**-53IN - SHOCKEY, JEFFREY	JACKSON NATIONAL PERSPECTIVE II	0.00	36.25	0.00	36.25	85.0	30.81
	ACCOUNT #: 1014308870	BUY 0 Units @0.0000						
04/30/2018	***-**-113I - PIERMANI, JOHN	JACKSON NATIONAL PERSPECTIVE II	0.00	48.91	0.00	48.91	85.0	41.57
	ACCOUNT #: 1014761337	BUY 0 Units @0.0000						
04/30/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	45.50	0.00	45.50	85.0	38.68
	ACCOUNT #: 1006011280	BUY 0 Units @0.0000						
04/30/2018	***-**-85IN - GRAHAM, BARBARA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	55.36	0.00	55.36	85.0	47.06
	ACCOUNT #: 1012657141	BUY 0 Units @0.0000						
04/30/2018	***-**-814I - MCKENNA, DONNA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	148.69	0.00	148.69	85.0	126.39
	ACCOUNT #: 1013003528	BUY 0 Units @0.0000						
04/30/2018	***-**-400I - ROMIG, MARK	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	59.97	0.00	59.97	85.0	50.97
	ACCOUNT #: 1013168853	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
04/30/2018	***-**-276I - GASSWINT, SUSAN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	78.98	0.00	78.98	85.0	67.13
	ACCOUNT #: 1013258658	BUY 0 Units @0.0000						
04/30/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	64.29	0.00	64.29	85.0	54.65
	ACCOUNT #: 1013442998	BUY 0 Units @0.0000						
04/30/2018	***-**-227I - ZELLERS,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	61.29	0.00	61.29	85.0	52.10
	ACCOUNT #: 1013578113	BUY 0 Units @0.0000						
04/30/2018	***-**-364J - SHIFFLET,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	56.02	0.00	56.02	85.0	47.62
	ACCOUNT #: 1013759851	BUY 0 Units @0.0000						
04/30/2018	***-**-697I - ENEDY, EMILY	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	20.25	0.00	20.25	85.0	17.21
	ACCOUNT #: 1014092982	BUY 0 Units @0.0000						
05/04/2018	***-**-52IN - KUNKEL, RAY	METLIFE VA TRAILS	0.00	9.71	0.00	9.71	85.0	8.25
	ACCOUNT #: 550128046	BUY 1 Units @0.0000						
04/30/2018	***-**-33IN - KNOBL, LISA	PERSPECTIVE II DTCC	0.00	31.06	0.00	31.06	85.0	26.40
	ACCOUNT #: 1018661027	BUY 0 Units @0.0000						
04/30/2018	***-**-136I - CONLON-SCOLTOCK,	PERSPECTIVE II DTCC	0.00	21.80	0.00	21.80	85.0	18.53
	ACCOUNT #: 1018710060	BUY 0 Units @0.0000						
04/30/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	215.02	0.00	215.02	85.0	182.77
	ACCOUNT #: 1018787969	BUY 0 Units @0.0000						
04/30/2018	***-**-52IN - KUNKEL, RAY	PERSPECTIVE II DTCC	0.00	109.75	0.00	109.75	85.0	93.29
	ACCOUNT #: 1018799355	BUY 0 Units @0.0000						
04/30/2018	***-**-99IR - BUCHANAN, STEVEN	PERSPECTIVE II DTCC	0.00	39.69	0.00	39.69	85.0	33.74
	ACCOUNT #: 1018892479	BUY 0 Units @0.0000						
04/30/2018	***-**-400I - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	53.54	0.00	53.54	85.0	45.51
	ACCOUNT #: 1019057603	BUY 0 Units @0.0000						
04/30/2018	***-**-949I - LICHT, FBO MS	PERSPECTIVE II DTCC	0.00	34.56	0.00	34.56	85.0	29.38
	ACCOUNT #: 1019390361	BUY 0 Units @0.0000						
04/30/2018	***-**-962I - KLOKIS, ROBERT	PERSPECTIVE II DTCC	0.00	34.38	0.00	34.38	85.0	29.22
	ACCOUNT #: 1019390434	BUY 0 Units @0.0000						
04/30/2018	***-**-74IN - MCLAUGHLIN, JOHN	PERSPECTIVE II DTCC	0.00	84.61	0.00	84.61	85.0	71.92
	ACCOUNT #: 1019656124	BUY 0 Units @0.0000						
04/30/2018	***-**-00IN - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	31.95	0.00	31.95	85.0	27.16
	ACCOUNT #: 1020004262	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
04/30/2018	***--14IN - MCKENNA, DONNA	PERSPECTIVE II DTCC	0.00	38.24	0.00	38.24	85.0	32.50
	ACCOUNT #: 1020006044	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,859.37	\$0.00	\$1,859.37		\$1,580.49
		*** BROKER TRANSACTIONS TOTAL ***	\$13,167.63	\$1,959.72	\$36.00	\$1,923.72		\$1,632.49

### BROKER COMMISSION TOTALS

Broker Transactions	\$1,632.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,632.49
Year-to-date Earnings	\$46,864.08