

COMMISSION STATEMENT

February 28, 2018

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: 20
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/12/2018	QGW001497	KLUGMAN, ROBERT		VALLEY NATIONAL BANCORP	B	4,883.96	117.00	0.00	117.00 80.0 93.60
02/13/2018	QGW001497	KLUGMAN, ROBERT		INVESCO LTD ORD SHS ISIN#BMG491BT11	B	9,744.57	143.00	0.00	143.00 80.0 114.40
02/20/2018	QGW001497	KLUGMAN, ROBERT		ABB LTD SPONSORED ADR	B	7,611.00	134.00	0.00	134.00 80.0 107.20
02/12/2018	QGW009805	KELLY, ROBERT		VALLEY NATIONAL BANCORP	B	4,883.96	98.95	0.00	98.95 80.0 79.16
02/12/2018	QGW009805	KELLY, ROBERT		VALLEY NATIONAL BANCORP	B	-4,883.96	-98.95	0.00	-98.95 80.0 -79.16 C
02/12/2018	QGW009805	KELLY, ROBERT		VALLEY NATIONAL BANCORP	B	4,883.96	117.00	0.00	117.00 80.0 93.60
02/13/2018	QGW009805	KELLY, ROBERT		INVESCO LTD ORD SHS ISIN#BMG491BT11	B	9,744.57	143.00	0.00	143.00 80.0 114.40
02/13/2018	QGW009896	GREENBURG, JON		INVESCO LTD ORD SHS ISIN#BMG491BT11	B	12,992.76	50.00	0.00	50.00 80.0 40.00
02/20/2018	QGW009896	GREENBURG, JON		ABB LTD SPONSORED ADR	B	10,148.00	50.00	0.00	50.00 80.0 40.00
02/20/2018	QGW020919	KELLY, ROBERT		COHEN & STEERS QUALITY INCOME RLTY	B	5,550.00	128.00	0.00	128.00 80.0 102.40
02/12/2018	QGW020935	KANTOR, SUSAN		VALLEY NATIONAL BANCORP	B	4,883.96	89.00	0.00	89.00 80.0 71.20
02/20/2018	QGW021271	KLUGMAN, ROBERT		COHEN & STEERS QUALITY INCOME RLTY	B	5,550.00	128.00	0.00	128.00 80.0 102.40
02/12/2018	QGW022246	SUGARMAN, GAIL		VALLEY NATIONAL BANCORP	B	4,883.96	117.00	0.00	117.00 80.0 93.60
02/20/2018	QGW022246	SUGARMAN, GAIL		COHEN & STEERS QUALITY INCOME RLTY	B	5,550.00	128.00	0.00	128.00 80.0 102.40
02/12/2018	QGW023772	PATRICK E OBRIEN,		VALLEY NATIONAL BANCORP	B	4,883.96	117.00	0.00	117.00 80.0 93.60
02/13/2018	QGW023772	PATRICK E OBRIEN,		INVESCO LTD ORD SHS ISIN#BMG491BT11	B	8,120.48	132.00	0.00	132.00 80.0 105.60
02/20/2018	QGW023772	PATRICK E OBRIEN,		COHEN & STEERS QUALITY INCOME RLTY	B	5,550.00	128.00	0.00	128.00 80.0 102.40
				* STOCKS SUBTOTAL *		\$104,981.18	\$1,721.00	\$0.00	\$1,721.00 \$1,376.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/16/2018	QGW009847	LEICHER, MARVIN		BOND A	B	0.00	5.76	0.00	5.76 80.0 4.61
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$5.76	\$0.00	\$5.76 \$4.61
				*** BROKER TRANSACTIONS TOTAL ***		\$104,981.18	\$1,726.76	\$0.00	\$1,726.76 \$1,381.41

BROKER COMMISSION TOTALS

Broker Transactions	\$1,381.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.16
SIPC Assessment	\$-4.32

Check Amount	\$1,374.93
Year-to-date Earnings	\$4,665.66