

**COMMISSION STATEMENT****October 31, 2017**

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|-------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 10/17/2017 | VENTEICHER, DEBRA | CLS INVESTMENTS | 1,633.23 | 4.12 | 0.00 | 4.12 | 90.0 | 3.71 |
| 10/17/2017 | VENTEICHER, DEBRA | CLS INVESTMENTS | 15,064.02 | 37.97 | 0.00 | 37.97 | 90.0 | 34.17 |
| 10/17/2017 | VENTEICHER, DEBRA | CLS INVESTMENTS | 3,787.73 | 8.25 | 0.00 | 8.25 | 90.0 | 7.43 |
| 10/17/2017 | VENTEICHER, DEBRA | CLS INVESTMENTS | 34,935.97 | 89.34 | 0.00 | 89.34 | 90.0 | 80.41 |
| 10/17/2017 | BUSS, KAREN | CLS INVESTMENTS | 8,700.68 | 21.93 | 0.00 | 21.93 | 90.0 | 19.74 |
| 10/17/2017 | BUSS, KAREN | CLS INVESTMENTS | 19,280.22 | 48.59 | 0.00 | 48.59 | 90.0 | 43.73 |
| 10/17/2017 | WILSON, NANCY | CLS INVESTMENTS | 8,642.08 | 21.78 | 0.00 | 21.78 | 90.0 | 19.60 |
| 10/17/2017 | WILSON, NANCY | CLS INVESTMENTS | 19,345.53 | 48.76 | 0.00 | 48.76 | 90.0 | 43.88 |
| 10/17/2017 | PRICE, TERESA | CLS INVESTMENTS | 8,545.13 | 21.54 | 0.00 | 21.54 | 90.0 | 19.39 |
| 10/17/2017 | PRICE, TERESA | CLS INVESTMENTS | 19,435.53 | 48.99 | 0.00 | 48.99 | 90.0 | 44.09 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 14,352.79 | 36.18 | 0.00 | 36.18 | 90.0 | 32.56 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 5,557.21 | 14.01 | 0.00 | 14.01 | 90.0 | 12.61 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 33,713.92 | 81.42 | 0.00 | 81.42 | 90.0 | 73.28 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 13,053.57 | 36.46 | 0.00 | 36.46 | 90.0 | 32.81 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 15,170.36 | 38.24 | 0.00 | 38.24 | 90.0 | 34.42 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 5,873.76 | 14.81 | 0.00 | 14.81 | 90.0 | 13.33 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 36,117.30 | 87.22 | 0.00 | 87.22 | 90.0 | 78.50 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 13,984.13 | 39.07 | 0.00 | 39.07 | 90.0 | 35.16 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 8,918.68 | 22.48 | 0.00 | 22.48 | 90.0 | 20.23 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 3,453.19 | 8.70 | 0.00 | 8.70 | 90.0 | 7.83 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 20,863.66 | 50.38 | 0.00 | 50.38 | 90.0 | 45.34 |
| 10/17/2017 | HAGEN, ALAN | CLS INVESTMENTS | 8,078.13 | 22.56 | 0.00 | 22.56 | 90.0 | 20.30 |
| 10/17/2017 | LEONARD, REA | CLS INVESTMENTS | 344,027.84 | 833.74 | 0.00 | 833.74 | 90.0 | 750.37 |
| 10/17/2017 | LEONARD, REA | CLS INVESTMENTS | 49,999.99 | 146.38 | 0.00 | 146.38 | 90.0 | 131.74 |
| 10/17/2017 | LEONARD, REA | CLS INVESTMENTS | 450,000.00 | 1,147.28 | 0.00 | 1,147.28 | 90.0 | 1,032.55 |
| 10/17/2017 | MICHEL, JOHN | CLS INVESTMENTS | 214,000.60 | 524.08 | 0.00 | 524.08 | 90.0 | 471.67 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-----------------|-----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 10/17/2017 | MICHEL, JOHN | CLS INVESTMENTS | 49,999.99 | 141.35 | 0.00 | 141.35 | 90.0 | 127.22 |
| 10/17/2017 | MICHEL, JOHN | CLS INVESTMENTS | 89,537.37 | 213.24 | 0.00 | 213.24 | 90.0 | 191.92 |
| 10/17/2017 | MICHEL, JOHN | CLS INVESTMENTS | 49,999.99 | 144.28 | 0.00 | 144.28 | 90.0 | 129.85 |
| 10/17/2017 | MICHEL, JOHN | CLS INVESTMENTS | 450,000.00 | 1,128.42 | 0.00 | 1,128.42 | 90.0 | 1,015.58 |
| 10/17/2017 | OBERMEYER, HEIDI | CLS INVESTMENTS | 34,718.65 | 87.51 | 0.00 | 87.51 | 90.0 | 78.76 |
| 10/17/2017 | OBERMEYER, HEIDI | CLS INVESTMENTS | 14,995.14 | 37.80 | 0.00 | 37.80 | 90.0 | 34.02 |
| 10/17/2017 | OBERMEYER, HEIDI | CLS INVESTMENTS | 81,047.64 | 195.04 | 0.00 | 195.04 | 90.0 | 175.54 |
| 10/17/2017 | OBERMEYER, HEIDI | CLS INVESTMENTS | 35,004.85 | 97.47 | 0.00 | 97.47 | 90.0 | 87.72 |
| 10/17/2017 | WILSON, NANCY | CLS INVESTMENTS | 7,500.02 | 24.80 | 0.00 | 24.80 | 90.0 | 22.32 |
| 10/17/2017 | WILSON, NANCY | CLS INVESTMENTS | 17,500.03 | 57.86 | 0.00 | 57.86 | 90.0 | 52.07 |
| 10/18/2017 | CAMPBELL, DENISE | SAWTOOTH | 0.00 | 152.38 | 0.00 | 152.38 | 90.0 | 137.14 |
| 10/18/2017 | ENGBRETSON, ROGER | SAWTOOTH | 0.00 | 281.65 | 0.00 | 281.65 | 90.0 | 253.49 |
| 10/18/2017 | GERZEMA, MARLENE | SAWTOOTH | 0.00 | 277.18 | 0.00 | 277.18 | 90.0 | 249.46 |
| 10/18/2017 | GROSS, ROBERT | SAWTOOTH | 0.00 | 612.40 | 0.00 | 612.40 | 90.0 | 551.16 |
| 10/18/2017 | JASS, ARLENE | SAWTOOTH | 0.00 | 438.73 | 0.00 | 438.73 | 90.0 | 394.86 |
| 10/18/2017 | KESSLER, ROBERT | SAWTOOTH | 0.00 | 1,108.60 | 0.00 | 1,108.60 | 90.0 | 997.74 |
| 10/18/2017 | KETTWICK, MICHAEL | SAWTOOTH | 0.00 | 215.27 | 0.00 | 215.27 | 90.0 | 193.74 |
| 10/18/2017 | LEONARD TRUST, REA | SAWTOOTH | 0.00 | 1,377.40 | 0.00 | 1,377.40 | 90.0 | 1,239.66 |
| 10/18/2017 | LOW, ANN | SAWTOOTH | 0.00 | 293.33 | 0.00 | 293.33 | 90.0 | 264.00 |
| 10/18/2017 | MICHEL, JOHN | SAWTOOTH | 0.00 | 1,959.01 | 0.00 | 1,959.01 | 90.0 | 1,763.11 |
| 10/18/2017 | O'CONNELL, RUSSELL | SAWTOOTH | 0.00 | 548.38 | 0.00 | 548.38 | 90.0 | 493.54 |
| 10/18/2017 | SCHLICHTING, LAURA | SAWTOOTH | 0.00 | 139.45 | 0.00 | 139.45 | 90.0 | 125.51 |
| 10/18/2017 | STRIPLING, KERRY | SAWTOOTH | 0.00 | 587.24 | 0.00 | 587.24 | 90.0 | 528.52 |
| 10/18/2017 | VANDER WILT, BRIAN | SAWTOOTH | 0.00 | 291.16 | 0.00 | 291.16 | 90.0 | 262.04 |
| 10/18/2017 | WEILAND, JANELLE | SAWTOOTH | 0.00 | 288.46 | 0.00 | 288.46 | 90.0 | 259.61 |
| 10/18/2017 | WILLMS, ROBERT | SAWTOOTH | 0.00 | 148.08 | 0.00 | 148.08 | 90.0 | 133.27 |
| * RIA SUBTOTAL * | | | \$2,206,838.93 | \$14,300.77 | \$0.00 | \$14,300.77 | | \$12,870.70 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$2,206,838.93 | \$14,300.77 | \$0.00 | \$14,300.77 | | \$12,870.70 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$12,870.70 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$12,870.70 |
| Year-to-date Gross | \$80,023.68 |
| Year-to-date Earnings | \$72,021.39 |