



COMMISSION STATEMENT

April 23, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/19/2018	***-**-825J - BARR, JANET	PACIFIC FUNDS HIGH INCOME A	50,000.00	1,625.00	18.00	1,607.00	85.0	1,365.95
	ACCOUNT #: LSR012627	BUY 4660 Units @10.7300						
04/19/2018	***-**-825J - BARR, JANET	PACIFIC FUNDS STRATEGIC INC FD A	50,000.00	1,625.00	18.00	1,607.00	85.0	1,365.95
	ACCOUNT #: LSR012627	BUY 4476 Units @11.1700						
04/18/2018	***-**-47IN - HEINZ-OTTE,	RESOURCE CREDIT INCOME FUND A	75,000.00	3,375.00	18.00	3,357.00	85.0	2,853.45
	ACCOUNT #: LSR012535	BUY 6449 Units @11.6300						
04/18/2018	***-**-47IN - HEINZ-OTTE,	RESOURCE REAL ESTATES-NFS	75,000.00	3,375.00	18.00	3,357.00	85.0	2,853.45
	ACCOUNT #: LSR012535	BUY 7191 Units @10.4300						
* MUTUAL FUNDS SUBTOTAL *			\$250,000.00	\$10,000.00	\$72.00	\$9,928.00		\$8,438.80
<u>PRODUCT CATEGORY: STOCKS</u>								
04/18/2018	***-**-3709 - TILLER, EDWIN	APPLE INC UNSOLICITED ORDER	17,809.50	175.00	18.00	157.00	85.0	133.45
	ACCOUNT #: LSR012570	SELL 100 Units @178.0950						
04/19/2018	***-**-447I - HEINZ-OTTE,	APPLE INC UNSOLICITED ORDER	61,005.00	850.00	18.00	832.00	85.0	707.20
	ACCOUNT #: LSR012465	SELL 350 Units @174.3000						
04/19/2018	***-**-141I - ROHMAN, ARTHUR	APPLE INC UNSOLICITED ORDER	17,424.00	175.00	18.00	157.00	85.0	133.45
	ACCOUNT #: LSR012574	SELL 100 Units @174.2400						
04/17/2018	***-**-825I - BARR, JANET	APPLIED MATERIALS INC SOLICITED ORD	11,546.00	200.00	18.00	182.00	85.0	154.70
	ACCOUNT #: LSR012624	SELL 200 Units @57.7300						
04/17/2018	***-**-2185 - BARR, RON	APPLIED MATERIALS INC SOLICITED ORD	5,774.00	54.00	18.00	36.00	85.0	30.60
	ACCOUNT #: LSR012625	SELL 100 Units @57.7400						
04/17/2018	***-**-825J - BARR, JANET	APPLIED MATERIALS INC SOLICITED ORD	11,591.00	144.40	18.00	126.40	85.0	107.44
	ACCOUNT #: LSR012627	SELL 200 Units @57.9550						
04/17/2018	***-**-825J - BARR, JANET	APPLIED MATERIALS INC SOLICITED ORD	17,385.00	216.60	0.00	216.60	85.0	184.11
	ACCOUNT #: LSR012627	SELL 300 Units @57.9500						
04/17/2018	***-**-825I - BARR, JANET	HOME DEPOT INC COM	17,462.30	300.00	17.00	283.00	85.0	240.55
	ACCOUNT #: LSR012624	SELL 100 Units @174.6230						
04/17/2018	***-**-2185 - BARR, RON	HOME DEPOT INC COM	33,156.90	500.00	18.80	481.20	85.0	409.02
	ACCOUNT #: LSR012625	SELL 190 Units @174.5100						
04/17/2018	***-**-825J - BARR, JANET	HOME DEPOT INC COM	524,772.00	6,555.00	75.00	6,480.00	85.0	5,508.00
	ACCOUNT #: LSR012627	SELL 3000 Units @174.9240						
04/18/2018	***-**-3709 - TILLER, EDWIN	SECTOR SPDR TR SHS BEN INT FINANCIA	16,491.00	170.00	27.00	143.00	85.0	121.55
	ACCOUNT #: LSR012570	BUY 600 Units @27.4850						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
04/19/2018	***-**-141I - ROHMAN, ARTHUR	VISTRA ENERGY CORP COM	2,215.90	54.00	17.00	37.00	85.0	31.45
	ACCOUNT #: LSR012574	BUY 100 Units @22.1590						
04/19/2018	***-**-141I - ROHMAN, ARTHUR	VISTRA ENERGY CORP COM	2,215.90	13.35	2.00	11.35	85.0	9.65
	ACCOUNT #: LSR012574	BUY 100 Units @22.1590						
04/19/2018	***-**-141I - ROHMAN, ARTHUR	VISTRA ENERGY CORP COM	2,215.50	30.75	2.00	28.75	85.0	24.44
	ACCOUNT #: LSR012574	BUY 100 Units @22.1550						
* STOCKS SUBTOTAL *			\$741,064.00	\$9,438.10	\$266.80	\$9,171.30		\$7,795.61
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
04/18/2018	***-**-434I - DVIZAC, ZACK	AMERIGAS PARTNERS LP UTS RPSTG COM	3,548.58	54.00	24.00	30.00	85.0	25.50
	ACCOUNT #: LSR012474	SELL 83 Units @42.7540						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$3,548.58	\$54.00	\$24.00	\$30.00		\$25.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/23/2018	***-**-361J - COOK, ALAN	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	3.97	0.00	3.97	85.0	3.37
	ACCOUNT #: LSR012544	BUY 1 Units @0.0000						
04/23/2018	***-**-447I - HEINZ-OTTE,	CARILLON CLARIVEST CAPITAL APPREC C	0.00	10.20	0.00	10.20	85.0	8.67
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
04/23/2018	***-**-558I - CRANFORD,	CARILLON CLARIVEST CAPITAL APPREC C	0.00	4.54	0.00	4.54	85.0	3.86
	ACCOUNT #: LSR012466	BUY 1 Units @0.0000						
04/23/2018	***-**-496I - MCCOY, SHERIANNE	CARILLON CLARIVEST CAPITAL APPREC C	0.00	4.82	0.00	4.82	85.0	4.10
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
04/23/2018	***-**-042I - HEINZ, CHERI	CARILLON CLARIVEST CAPITAL APPREC C	0.00	2.94	0.00	2.94	85.0	2.50
	ACCOUNT #: LSR012514	BUY 1 Units @0.0000						
04/23/2018	***-**-141I - ROHMAN, ARTHUR	CARILLON CLARIVEST CAPITAL APPREC C	0.00	2.31	0.00	2.31	85.0	1.96
	ACCOUNT #: LSR012574	BUY 1 Units @0.0000						
04/23/2018	***-**-54IO - FRIES, SHARON	FEDERATED MDT SMALL CAP CORE FUND	0.00	5.61	0.00	5.61	85.0	4.77
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
04/23/2018	***-**-691I - HEINZ, MARK	FEDERATED MDT SMALL CAP CORE FUND	0.00	6.09	0.00	6.09	85.0	5.18
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						
04/23/2018	***-**-703I - RHODE, SUSAN	FEDERATED MDT SMALL CAP GROWTH	0.00	5.27	0.00	5.27	85.0	4.48
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						



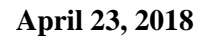
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	FEDERATED MDT SMALL CAP GROWTH BUY 1 Units @0.0000	0.00	10.54	0.00	10.54	85.0	8.96
04/23/2018	***-**-03IR - RHODE, SUSAN ACCOUNT #: LSR012464	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	85.0	0.99
04/23/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	5.30	0.00	5.30	85.0	4.51
04/23/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	8.07	0.00	8.07	85.0	6.86
04/23/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	5.26	0.00	5.26	85.0	4.47
04/23/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	5.23	0.00	5.23	85.0	4.45
04/23/2018	***-**-720X - COOK, TAMI ACCOUNT #: LSR012548	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	1.72	0.00	1.72	85.0	1.46
04/23/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	2.86	0.00	2.86	85.0	2.43
04/23/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012559	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	85.0	0.68
04/23/2018	***-**-3709 - TILLER, EDWIN ACCOUNT #: LSR012570	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	1.93	0.00	1.93	85.0	1.64
04/23/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	85.0	1.89
04/23/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	FIDELITY ADV CONSUMER DISCRETIONARY BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	85.0	0.19
04/23/2018	***-**-902I - WURMNEST, DIANE ACCOUNT #: LSR012517	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	4.62	0.00	4.62	85.0	3.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.68	\$0.00	\$95.68		\$81.35
*** BROKER TRANSACTIONS TOTAL ***			\$994,612.58	\$19,587.78	\$362.80	\$19,224.98		\$16,341.26



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