

**COMMISSION STATEMENT****July 15, 2018**BRION, N121 NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10</u>								
07/02/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
07/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
07/02/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
07/02/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
07/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
07/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
07/02/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$1,585.00	\$24.17	\$0.00	\$24.17		\$21.76
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
06/15/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.24	0.00	0.24	90.0	0.22
06/25/2018	GRANT, KATHLEEN	MOODY NAT'L REIT II INC	50,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$50,000.00	\$1,500.24	\$0.00	\$1,500.24		\$1,350.22
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
06/15/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
06/15/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/25/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
06/21/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
06/29/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.13	0.00	0.13	90.0	0.12
06/18/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.07	0.00	0.07	90.0	0.06
06/18/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.57	0.00	0.57	90.0	0.51
06/11/2018	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.45	0.00	0.45	90.0	0.41
06/21/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.06	0.00	0.06	90.0	0.05
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$0.00	\$1.64	\$0.00	\$1.64		\$1.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335								
07/03/2018	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	14.14	0.00	14.14	90.0	12.73
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$0.00	\$14.14	\$0.00	\$14.14		\$12.73
BROKER: TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA #337								
06/18/2018	LIFFRIG, ZOANNI	FRANKLIN GROWTH OPP A	0.00	0.03	0.00	0.03	90.0	0.03
06/18/2018	LIFFRIG, ZOANNI	FRANKLIN INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
06/18/2018	LIFFRIG, ZOANNI	FRANKLIN RISING DIVIDENDS A	0.00	0.20	0.00	0.20	90.0	0.18
06/18/2018	LIFFRIG, ZOANNI	FT FOUNDING FUNDS ALLOC A	0.00	0.26	0.00	0.26	90.0	0.23
* TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA SUBTOTAL *			\$0.00	\$0.55	\$0.00	\$0.55		\$0.49
*** SPLIT TRANSACTIONS TOTAL ***			\$51,585.00	\$1,540.74	\$0.00	\$1,540.74		\$1,386.68

ADJUSTMENTS

07/15/2018	*CONCIERGE SVC: 218156 GRANT	0.00						-25.00
07/15/2018	*CONCIERGE SVC: 219631 JUMA	0.00						-25.00
07/15/2018	*EO INS: CURRENT MONTH INS	0.00						-300.00
07/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						-1.60
07/15/2018	*PERSHING: MANDATORY REVERSE SPLIT PNW120095	0.00						-1.60
07/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.75
07/15/2018	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-628.95

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,386.68
Override Transactions	\$0.00
Adjustments	\$-628.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$757.73
Year-to-date Gross	\$83,748.30
Year-to-date Earnings	\$74,773.66