

**COMMISSION STATEMENT****August 15, 2018**

DANIEL, R132 RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
08/06/2018	PRIME INDUSTRIES	INLAND HEALTHCARE HOSPITALITY DST	286,817.87	14,340.89	0.00	14,340.89	97.0	13,910.66
08/06/2018	JD 32 REALTY LLC	INLAND HEALTHCARE HOSPITALITY DST	349,600.00	17,480.00	0.00	17,480.00	97.0	16,955.60
* 1031 EXCHANGES SUBTOTAL *			\$636,417.87	\$31,820.89	\$0.00	\$31,820.89		\$30,866.26
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/02/2018	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	729,214.82	619.33	0.00	619.33	97.0	600.75
* VARIABLE ANNUITIES SUBTOTAL *			\$729,214.82	\$619.33	\$0.00	\$619.33		\$600.75
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.89	0.00	13.89	97.0	13.47
07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.56	0.00	13.56	97.0	13.15
07/27/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	18.24	0.00	18.24	97.0	17.69
07/27/2018	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.84	0.00	2.84	97.0	2.75
07/13/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.12	0.00	2.12	97.0	2.06
07/16/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	-2.12	0.00	-2.12	97.0	-2.06
07/23/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.12	0.00	2.12	97.0	2.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$50.65	\$0.00	\$50.65		\$49.12
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	TRAINOR, EDWARD	JNL PERSPECTIVE L	0.00	56.59	0.00	56.59	97.0	54.89
07/31/2018	SPODEK	PRU PREMIER RETIREMENT L	0.00	182.20	0.00	182.20	97.0	176.73
07/31/2018	MAZZATTI, LEONARD	PRU PREMIER RETIREMENT L	0.00	81.08	0.00	81.08	97.0	78.65
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$319.87	\$0.00	\$319.87		\$310.27
*** DIRECT TRANSACTIONS TOTAL ***			\$1,365,632.69	\$32,810.74	\$0.00	\$32,810.74		\$31,826.40

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR #251</u></b>								
07/11/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.69	0.00	2.69	97.0	2.61
* DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR SUBTOTAL *			\$0.00	\$2.69	\$0.00	\$2.69		\$2.61
<b><u>BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252</u></b>								
07/11/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.30	0.00	1.30	97.0	1.26
07/11/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.10	0.00	3.10	97.0	3.01
07/11/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.30	0.00	1.30	97.0	1.26
07/16/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.84	0.00	3.84	97.0	3.72
07/19/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.97	0.00	1.97	97.0	1.91
07/16/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.01	0.00	3.01	97.0	2.92
07/27/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.37	0.00	2.37	97.0	2.30
07/27/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	97.0	0.24
07/27/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	97.0	0.22
07/27/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	97.0	0.33
07/27/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.17	0.00	0.17	97.0	0.16
07/20/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	97.0	0.11
* DAN/JEFFREY FT-1JR NY RAUPP/KIES SUBTOTAL *			\$0.00	\$17.99	\$0.00	\$17.99		\$17.44
<b><u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u></b>								
07/11/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.94	0.00	0.94	97.0	0.91
07/11/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.41	0.00	0.41	97.0	0.40
07/11/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.00	0.00	1.00	97.0	0.97
07/16/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.52	0.00	0.52	97.0	0.50
07/16/2018	CORPORATION PSP, PRECISION	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.41	0.00	0.41	97.0	0.40
07/13/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.29	0.00	1.29	97.0	1.25
07/17/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.55	0.00	0.55	97.0	0.53
07/17/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.91	0.00	0.91	97.0	0.88
07/19/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.13	0.00	0.13	97.0	0.13
07/27/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.20	0.00	0.20	97.0	0.19
07/27/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.42	0.00	0.42	97.0	0.41
07/27/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.78	0.00	0.78	97.0	0.76
07/27/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.10	0.00	0.10	97.0	0.10
07/27/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.61	0.00	0.61	97.0	0.59
07/27/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	97.0	0.37

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u></b>								
07/27/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	97.0	0.20
07/27/2018	CORPORATION PSP, PRECISION	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.09	0.00	0.09	97.0	0.09
07/16/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.13	0.00	5.13	97.0	4.98
<b>* JEFFREY/DAN FT- BUM NY KIESNOSKI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$14.08</b>	<b>\$0.00</b>	<b>\$14.08</b>		<b>\$13.66</b>
<b><u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u></b>								
07/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	259,079.78	37.68	0.00	37.68	97.0	36.55
07/16/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	97.0	0.08
07/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.21	0.00	0.21	97.0	0.20
07/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.61	0.00	0.61	97.0	0.59
07/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.54	0.00	0.54	97.0	0.52
07/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.95	0.00	2.95	97.0	2.86
07/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.62	0.00	2.62	97.0	2.54
07/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.22	0.00	0.22	97.0	0.21
07/27/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.15	0.00	0.15	97.0	0.15
07/13/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.37	0.00	0.37	97.0	0.36
07/13/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.56	0.00	1.56	97.0	1.51
<b>* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *</b>			<b>\$259,079.78</b>	<b>\$46.99</b>	<b>\$0.00</b>	<b>\$46.99</b>		<b>\$45.57</b>
<b><u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u></b>								
06/30/2018	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	22.92	0.00	22.92	97.0	22.23
07/24/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.12	0.00	2.12	97.0	2.06
07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.54	0.00	5.54	97.0	5.37
07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.61	0.00	16.61	97.0	16.11
07/02/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.39	0.00	5.39	97.0	5.23
07/02/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.17	0.00	16.17	97.0	15.68
07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.40	0.00	5.40	97.0	5.24
07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.21	0.00	16.21	97.0	15.72
07/16/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.25	0.00	5.25	97.0	5.09
07/16/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.76	0.00	15.76	97.0	15.29
07/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.32	0.00	5.32	97.0	5.16
07/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.96	0.00	15.96	97.0	15.48
07/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.40	0.00	3.40	97.0	3.30
07/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.21	0.00	10.21	97.0	9.90

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u></b>								
07/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.14	0.00	5.14	97.0	4.99
07/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.44	0.00	15.44	97.0	14.98
07/16/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.21	0.00	2.21	97.0	2.14
07/19/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	9.11	0.00	9.11	97.0	8.84
07/19/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	10.20	0.00	10.20	97.0	9.89
07/19/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.89	0.00	6.89	97.0	6.68
07/27/2018	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.16	0.00	3.16	97.0	3.07
07/27/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	97.0	0.40
07/27/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.83	0.00	8.83	97.0	8.57
07/27/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.95	0.00	7.95	97.0	7.71
07/27/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	97.0	0.36
07/13/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	69.09	0.00	69.09	97.0	67.02
07/25/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.22	0.00	2.22	97.0	2.15
<b>* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$287.28</b>	<b>\$0.00</b>	<b>\$287.28</b>		<b>\$278.66</b>
<b><u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u></b>								
07/13/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	10.57	0.00	10.57	97.0	10.25
07/16/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.19	0.00	1.19	97.0	1.15
07/27/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.39	0.00	7.39	97.0	7.17
07/27/2018	DOLCE, CHARLES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.97	0.00	3.97	97.0	3.85
07/27/2018	DOLCE, JENNIFER	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.67	0.00	2.67	97.0	2.59
07/27/2018	RYDER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	32.65	0.00	32.65	97.0	31.67
07/27/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.05	0.00	3.05	97.0	2.96
07/27/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.76	0.00	4.76	97.0	4.62
07/27/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.36	0.00	1.36	97.0	1.32
07/27/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.16	0.00	6.16	97.0	5.98
07/27/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.66	0.00	2.66	97.0	2.58
07/27/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.72	0.00	1.72	97.0	1.67
07/27/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.75	0.00	2.75	97.0	2.67
07/27/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.69	0.00	5.69	97.0	5.52
07/27/2018	STARK, LINDA	MAINSTAY MAP A	0.00	1.15	0.00	1.15	97.0	1.12
07/23/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.13	0.00	6.13	97.0	5.95
07/17/2018	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	67.45	0.00	67.45	97.0	65.43
<b>* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$161.32</b>	<b>\$0.00</b>	<b>\$161.32</b>		<b>\$156.50</b>

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u></b>								
07/17/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	97.0	0.06
07/11/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.84	0.00	2.84	97.0	2.75
07/11/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.29	0.00	0.29	97.0	0.28
07/11/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.95	0.00	0.95	97.0	0.92
07/11/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.32	0.00	1.32	97.0	1.28
07/19/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.60	0.00	0.60	97.0	0.58
07/27/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	97.0	0.79
07/27/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.79	0.00	1.79	97.0	1.74
07/27/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.27	0.00	0.27	97.0	0.26
07/27/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.10	0.00	0.10	97.0	0.10
07/27/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.14	0.00	1.14	97.0	1.11
07/27/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.66	0.00	0.66	97.0	0.64
07/13/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.94	0.00	1.94	97.0	1.88
07/13/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.58	0.00	0.58	97.0	0.56
07/16/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	-0.58	0.00	-0.58	97.0	-0.56
07/23/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.58	0.00	0.58	97.0	0.56
07/13/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.58	0.00	0.58	97.0	0.56
07/16/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	-0.58	0.00	-0.58	97.0	-0.56
07/23/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.58	0.00	0.58	97.0	0.56
<b>* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.93</b>	<b>\$0.00</b>	<b>\$13.93</b>		<b>\$13.51</b>
<b><u>BROKER: JON P/DAN/JEFF FT- G0D FL CIREL/RAU #258</u></b>								
06/30/2018	BARREDO, GLORIA	ADP TRAILS	0.00	7.24	0.00	7.24	97.0	7.02
<b>* JON P/DAN/JEFF FT- G0D FL CIREL/RAU SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.24</b>	<b>\$0.00</b>	<b>\$7.24</b>		<b>\$7.02</b>
<b><u>BROKER: DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE #259</u></b>								
07/11/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.64	0.00	3.64	97.0	3.53
07/27/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.75	0.00	0.75	97.0	0.73
<b>* DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4.39</b>	<b>\$0.00</b>	<b>\$4.39</b>		<b>\$4.26</b>
<b><u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u></b>								
07/19/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.53	0.00	0.53	97.0	0.51
<b>* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.53</b>	<b>\$0.00</b>	<b>\$0.53</b>		<b>\$0.51</b>

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u></b>								
06/30/2018	GOVERNMENT MGMT SVCS	ADP TRAILS	0.00	1,304.27	0.00	1,304.27	97.0	1,265.14
07/17/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	97.0	0.05
07/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.08	0.00	0.08	97.0	0.08
07/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.03	0.00	4.03	97.0	3.91
07/12/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.66	0.00	2.66	97.0	2.58
07/12/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.93	0.00	1.93	97.0	1.87
07/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.30	0.00	0.30	97.0	0.29
07/12/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.29	0.00	0.29	97.0	0.28
07/02/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.10	0.00	0.10	97.0	0.10
07/02/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.74	0.00	0.74	97.0	0.72
07/02/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.02	0.00	3.02	97.0	2.93
07/02/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.07	0.00	9.07	97.0	8.80
07/02/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.65	0.00	2.65	97.0	2.57
07/02/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.94	0.00	7.94	97.0	7.70
07/16/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.73	0.00	0.73	97.0	0.71
07/16/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.95	0.00	2.95	97.0	2.86
07/16/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.84	0.00	8.84	97.0	8.57
07/16/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.58	0.00	2.58	97.0	2.50
07/16/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.74	0.00	7.74	97.0	7.51
08/10/2018	WASSERMAN TRUST, NINA	DROPBOX INC CL A COM	15,665.00	5.94	6.14	-0.20	97.0	-0.19
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	97.0	0.70
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.15	0.00	2.15	97.0	2.09
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.90	0.00	0.90	97.0	0.87
07/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.69	0.00	2.69	97.0	2.61
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.60	0.00	0.60	97.0	0.58
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.80	0.00	1.80	97.0	1.75
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	97.0	0.70
07/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.15	0.00	2.15	97.0	2.09
07/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.47	0.00	2.47	97.0	2.40
07/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.42	0.00	7.42	97.0	7.20
07/16/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.23	0.00	1.23	97.0	1.19
07/16/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	97.0	0.96
07/16/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	97.0	0.81

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u></b>								
07/16/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.17	0.00	1.17	97.0	1.13
07/16/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.77	0.00	2.77	97.0	2.69
07/16/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.71	0.00	0.71	97.0	0.69
07/16/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.44	0.00	3.44	97.0	3.34
07/23/2018	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.58	0.00	0.58	97.0	0.56
07/23/2018	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.50	0.00	0.50	97.0	0.49
07/23/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.18	0.00	0.18	97.0	0.17
07/23/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.18	0.00	0.18	97.0	0.17
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$15,665.00	\$1,400.11	\$6.14	\$1,393.97		\$1,352.17
<b><u>BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350</u></b>								
07/25/2018	93 MAIN STREET ASSOC	BLUEROCK AXIS WEST DST	200,000.00	9,000.00	0.00	9,000.00	97.0	8,730.00
07/31/2018	93 MAIN STREET ASSOC	BLUEROCK AXIS WEST DST	-200,000.00	-9,000.00	0.00	-9,000.00	97.0	-8,730.00
07/24/2018	93 MAIN STREET ASSOC	INLAND ZERO COUPON CHICAGOLAND DST	-72,875.52	-2,732.83	0.00	-2,732.83	97.0	-2,650.85
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$-72,875.52	\$-2,732.83	\$0.00	\$-2,732.83		\$-2,650.85
<b><u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u></b>								
08/09/2018	SLEEPER, KEVIN	INLAND HEALTHCARE HOSPITALITY DST	157,642.68	4,729.28	0.00	4,729.28	97.0	4,587.40
07/27/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.85	0.00	1.85	97.0	1.79
07/13/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	5.16	0.00	5.16	97.0	5.01
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$157,642.68	\$4,736.29	\$0.00	\$4,736.29		\$4,594.20
<b><u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u></b>								
07/16/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	97.0	0.45
07/16/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.33	0.00	0.33	97.0	0.32
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$0.79	\$0.00	\$0.79		\$0.77
<b><u>BROKER: DAN/JEFF/JONPAU FT - R148 RAUP/KIES #446</u></b>								
08/09/2018	HURLEY, NANCY	AEI HEALTHCARE PORTFOLIO III DST	400,000.00	8,000.00	0.00	8,000.00	97.0	7,760.00
07/02/2018	HURLEY, NANCY	AEI NET LEASED PORTFOLIO IX DST	260,000.00	5,200.00	0.00	5,200.00	97.0	5,044.00
07/02/2018	HURLEY, NANCY	INLAND ARIZONA HEALTHCARE DST	400,000.00	8,000.00	0.00	8,000.00	97.0	7,760.00
08/09/2018	HURLEY, NANCY	INLAND FLORIDA MF PORTFOLIO II DST	140,000.00	3,360.00	0.00	3,360.00	97.0	3,259.20
08/09/2018	HURLEY, NANCY	INLAND HEALTHCARE HOSPITALITY DST	400,000.00	8,000.00	0.00	8,000.00	97.0	7,760.00
08/09/2018	HURLEY, NANCY	PASSCO LONGITUDE 82 SARASOTA DST	400,000.00	9,600.00	0.00	9,600.00	97.0	9,312.00
* DAN/JEFF/JONPAU FT - R148 RAUP/KIES SUBTOTAL *			\$2,000,000.00	\$42,160.00	\$0.00	\$42,160.00		\$40,895.20

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: S156-SMC/DAN/JON PAU SMC/RAUPP/CIRE #457</u></b>								
07/31/2018	93 MAIN STREET ASSOC	BLUEROCK AXIS WEST DST	200,000.00	4,500.00	0.00	4,500.00	97.0	4,365.00
08/10/2018	93 MAIN STREET ASSOC	INLAND HEALTHCARE HOSPITALITY DST	242,500.00	4,546.87	0.00	4,546.87	97.0	4,410.46
07/24/2018	93 MAIN STREET ASSOC	INLAND ZERO COUPON CHICAGOLAND DST	72,875.52	1,366.42	0.00	1,366.42	97.0	1,325.43
* S156-SMC/DAN/JON PAU SMC/RAUPP/CIRE SUBTOTAL *			\$515,375.52	\$10,413.29	\$0.00	\$10,413.29		\$10,100.89
*** SPLIT TRANSACTIONS TOTAL ***			\$2,874,887.46	\$56,534.09	\$6.14	\$56,527.95		\$54,832.12

<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC, L134 LINSKY #10</u></b>								
08/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
08/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
08/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	10.00	0.00	10.00	90.0	0.17
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.50	0.00	7.50	90.0	0.13
07/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$1,950.00	\$61.25	\$0.00	\$61.25		\$1.05

<b><u>BROKER: JEFFREY, K141 KIESNOSKI #243</u></b>								
07/11/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.00	0.00	3.00	97.0	0.18
07/23/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.28	0.00	0.28	97.0	0.02
07/19/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	1.09	0.00	1.09	97.0	0.07
07/19/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.29	0.00	0.29	97.0	0.02
07/27/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.39	0.00	0.39	97.0	0.02
07/19/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.30	0.00	2.30	97.0	0.14
07/12/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.25	0.00	1.25	97.0	0.08
07/23/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.08	0.00	1.08	97.0	0.06
07/23/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	97.0	0.01
* JEFFREY, K141 KIESNOSKI SUBTOTAL *			\$0.00	\$9.79	\$0.00	\$9.79		\$0.60

<b><u>BROKER: ROY, P123 PFLEGER II #244</u></b>								
07/16/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.37	0.00	1.37	75.0	0.17
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.37	\$0.00	\$1.37		\$0.17

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JON PAUL, C141 CIRELLI #246</u></b>								
07/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	638,318.04	265.35	0.00	265.35	97.0	13.27
06/30/2018	KURITZKY ARCHITECTUR, PASQUALEADP TRAILS		0.00	446.94	0.00	446.94	97.0	22.35
07/13/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.96	0.00	20.96	97.0	1.05
07/27/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.63	0.00	5.63	97.0	0.28
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$638,318.04	\$738.88	\$0.00	\$738.88		\$36.95
<b><u>BROKER: ALAN, L133 LICHTENSTEIN #249</u></b>								
07/24/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.14	0.00	3.14	75.0	0.39
07/27/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.55	0.00	1.55	75.0	0.19
07/27/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.49	0.00	1.49	75.0	0.19
07/27/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.48	0.00	0.48	75.0	0.06
07/27/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.77	0.00	0.77	75.0	0.10
07/27/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.19	0.00	2.19	75.0	0.27
07/27/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.92	0.00	2.92	75.0	0.37
07/27/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.09	0.00	3.09	75.0	0.39
07/27/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.92	0.00	2.92	75.0	0.37
07/27/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.65	0.00	3.65	75.0	0.46
07/25/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.06	0.00	2.06	75.0	0.26
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$0.00	\$24.26	\$0.00	\$24.26		\$3.05
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
07/17/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.95	0.00	0.95	90.0	0.02
07/17/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	15.44	0.00	15.44	90.0	0.26
07/12/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.77	0.00	4.77	90.0	0.08
07/17/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.31	0.00	4.31	90.0	0.07
07/17/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.14	0.00	7.14	90.0	0.12
07/17/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.47	0.00	0.47	90.0	0.01
07/17/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.51	0.00	1.51	90.0	0.03
07/17/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.01
07/17/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.01
07/17/2018	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.02	0.00	1.02	90.0	0.02
07/17/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.02
07/17/2018	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.01
07/17/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.98	0.00	3.98	90.0	0.07

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
07/17/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	0.05
07/17/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.32	0.00	1.32	90.0	0.02
07/17/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.01
07/17/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.89	0.00	2.89	90.0	0.05
07/17/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.34	0.00	13.34	90.0	0.23
07/17/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	0.03
08/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
07/17/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.02
07/17/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.15	0.00	5.15	90.0	0.09
07/17/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.71	0.00	1.71	90.0	0.03
07/17/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.05	0.00	7.05	90.0	0.12
07/17/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	0.08
07/17/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.02	0.00	2.02	90.0	0.03
07/17/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.01
07/17/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.02
07/17/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.29	0.00	2.29	90.0	0.04
07/17/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
07/17/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
07/17/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.19	0.00	1.19	90.0	0.02
07/17/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.03	0.00	3.03	90.0	0.05
07/17/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.98	0.00	2.98	90.0	0.05
07/17/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.11	0.00	6.11	90.0	0.10
07/17/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.21	0.00	6.21	90.0	0.11
07/17/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.58	0.00	1.58	90.0	0.03
07/17/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.45	0.00	9.45	90.0	0.16
07/17/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.02
07/17/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	2.53	0.00	2.53	90.0	0.04
07/17/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
07/17/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
07/17/2018	APPLEGATE, PAMAELE	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.02
07/17/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
07/17/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.66	0.00	5.66	90.0	0.10
07/17/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.27	0.00	13.27	90.0	0.23
07/17/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.15	0.00	5.15	90.0	0.09
07/17/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.93	0.00	4.93	90.0	0.08
07/17/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.11	0.00	3.11	90.0	0.05
07/17/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.82	0.00	0.82	90.0	0.01
07/17/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.82	0.00	0.82	90.0	0.01
07/17/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.16	0.00	1.16	90.0	0.02
07/17/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.69	0.00	1.69	90.0	0.03
07/17/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.08	0.00	6.08	90.0	0.10
07/17/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	90.0	0.02
07/17/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	0.03
07/17/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.55	0.00	2.55	90.0	0.04
07/17/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
07/17/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.02
07/12/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.30	0.00	2.30	90.0	0.04
07/31/2018	SPECTER, LINDSAY	APPLE INC COM	-95,851.70	22.00	22.75	-0.75	90.0	-0.01
07/24/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.56	0.00	18.56	90.0	0.32
07/16/2018	PETTI, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.09	0.00	5.09	90.0	0.09
07/16/2018	PETTI, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.28	0.00	15.28	90.0	0.26
07/19/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.61	0.00	1.61	90.0	0.03
07/19/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	5.06	0.00	5.06	90.0	0.09
07/16/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.23	0.00	1.23	90.0	0.02
07/16/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	16.69	0.00	16.69	90.0	0.28
07/16/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.54	0.00	8.54	90.0	0.15
07/16/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	3.26	0.00	3.26	90.0	0.06
07/16/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.09	0.00	1.09	90.0	0.02
07/16/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.30	0.00	0.30	90.0	0.01
07/16/2018	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.37	0.00	0.37	90.0	0.01
07/16/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.03	0.00	1.03	90.0	0.02
07/16/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.47	0.00	2.47	90.0	0.04
07/16/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.14	0.00	1.14	90.0	0.02

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
07/16/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	13.04	0.00	13.04	90.0	0.22
07/16/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.35	0.00	2.35	90.0	0.04
07/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.01
07/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.59	0.00	0.59	90.0	0.01
07/16/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.88	0.00	0.88	90.0	0.01
07/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.50	0.00	3.50	90.0	0.06
07/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.27	0.00	61.27	90.0	1.04
07/16/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.76	0.00	0.76	90.0	0.01
07/19/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.06	0.00	1.06	90.0	0.02
07/19/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.42	0.00	0.42	90.0	0.01
07/16/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.80	0.00	7.80	90.0	0.13
07/16/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.68	0.00	8.68	90.0	0.15
07/16/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.47	0.00	1.47	90.0	0.02
07/16/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.91	0.00	5.91	90.0	0.10
07/16/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.24	0.00	2.24	90.0	0.04
07/23/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	15.07	0.00	15.07	90.0	0.26
07/23/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.78	0.00	2.78	90.0	0.05
07/23/2018	HASTINGS TRUST, OSCAR	FRANKLIN INCOME A	0.00	1.11	0.00	1.11	90.0	0.02
07/23/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.17	0.00	5.17	90.0	0.09
07/23/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.76	0.00	0.76	90.0	0.01
07/23/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.57	0.00	0.57	90.0	0.01
07/23/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.80	0.00	2.80	90.0	0.05
07/24/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.76	0.00	17.76	90.0	0.30
07/24/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.69	0.00	0.69	90.0	0.01
07/16/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.54	0.00	13.54	90.0	0.23
07/16/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.61	0.00	40.61	90.0	0.69
07/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.14	0.00	3.14	90.0	0.05
07/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.42	0.00	9.42	90.0	0.16
07/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.86	0.00	4.86	90.0	0.08
07/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.59	0.00	14.59	90.0	0.25
07/16/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.62	0.00	7.62	90.0	0.13
07/16/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.87	0.00	22.87	90.0	0.39
07/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.49	0.00	10.49	90.0	0.18

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
07/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.48	0.00	31.48	90.0	0.54
07/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.33	0.00	2.33	90.0	0.04
07/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.99	0.00	6.99	90.0	0.12
07/16/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.79	0.00	13.79	90.0	0.23
07/16/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.38	0.00	41.38	90.0	0.70
07/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.87	0.00	14.87	90.0	0.25
07/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.60	0.00	44.60	90.0	0.76
07/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.30	0.00	6.30	90.0	0.11
07/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.89	0.00	18.89	90.0	0.32
07/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.05	0.00	45.05	90.0	0.77
07/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	135.15	0.00	135.15	90.0	2.30
07/16/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.59	0.00	4.59	90.0	0.08
07/16/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.77	0.00	13.77	90.0	0.23
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.45	0.00	1.45	90.0	0.02
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.35	0.00	4.35	90.0	0.07
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.36	0.00	11.36	90.0	0.19
07/16/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	34.09	0.00	34.09	90.0	0.58
07/16/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.89	0.00	2.89	90.0	0.05
07/16/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.68	0.00	8.68	90.0	0.15
07/16/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.07	0.00	2.07	90.0	0.04
07/16/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.22	0.00	6.22	90.0	0.11
07/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.99	0.00	20.99	90.0	0.36
07/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	62.97	0.00	62.97	90.0	1.07
07/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.41	0.00	7.41	90.0	0.13
07/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.24	0.00	22.24	90.0	0.38
07/16/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.15	0.00	4.15	90.0	0.07
07/16/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.45	0.00	12.45	90.0	0.21
07/16/2018	MCLEAN, WAYNE	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.78	0.00	3.78	90.0	0.06
07/16/2018	MCLEAN, WAYNE	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.35	0.00	11.35	90.0	0.19
07/16/2018	PETTI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.04	0.00	5.04	90.0	0.09
07/16/2018	PETTI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.12	0.00	15.12	90.0	0.26
07/16/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	10.29	0.00	10.29	90.0	0.17
07/16/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	30.87	0.00	30.87	90.0	0.52

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<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
07/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	0.08
07/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.72	0.00	14.72	90.0	0.25
07/16/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.56	0.00	10.56	90.0	0.18
07/16/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.67	0.00	31.67	90.0	0.54
07/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.39	0.00	4.39	90.0	0.07
07/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.41	0.00	6.41	90.0	0.11
07/16/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.19	0.00	4.19	90.0	0.07
07/16/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.22	0.00	5.22	90.0	0.09
07/09/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	13.06	0.00	13.06	90.0	0.22
07/09/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.44	0.00	4.44	90.0	0.08
07/20/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.41	0.00	12.41	90.0	0.21
07/20/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.22	0.00	4.22	90.0	0.07
07/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.09	0.00	4.09	90.0	0.07
07/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	92.80	0.00	92.80	90.0	1.58
07/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	44.64	0.00	44.64	90.0	0.76
07/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	41.00	0.00	41.00	90.0	0.70
07/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	487.74	0.00	487.74	90.0	8.29
07/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	12.27	0.00	12.27	90.0	0.21
07/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.99	0.00	7.99	90.0	0.14
07/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.52	0.00	8.52	90.0	0.14
07/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	36.09	0.00	36.09	90.0	0.61
07/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	33.69	0.00	33.69	90.0	0.57
07/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	12.27	0.00	12.27	90.0	0.21
07/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	25.81	0.00	25.81	90.0	0.44
07/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.55	0.00	11.55	90.0	0.20
07/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.86	0.00	2.86	90.0	0.05
07/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.86	0.00	2.86	90.0	0.05
07/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.30	0.00	4.30	90.0	0.07
07/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	76.88	0.00	76.88	90.0	1.31
07/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	47.94	0.00	47.94	90.0	0.81
07/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.06	0.00	22.06	90.0	0.38
07/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.16	0.00	15.16	90.0	0.26
07/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.07	0.00	22.07	90.0	0.38

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
07/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	23.15	0.00	23.15	90.0	0.39
07/17/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	4.64	0.00	4.64	90.0	0.08
07/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	45.48	0.00	45.48	90.0	0.77
07/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.36	0.00	20.36	90.0	0.35
07/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.71	0.00	10.71	90.0	0.18
07/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.10	0.00	4.10	90.0	0.07
07/21/2018	MCFADDEN, JOAN	ING LANDMARK	83,481.93	208.70	0.00	208.70	90.0	3.55
07/23/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	141.02	0.00	141.02	90.0	2.40
07/23/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.52	0.00	4.52	90.0	0.08
07/23/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	296.95	0.00	296.95	90.0	5.05
07/23/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	10.75	0.00	10.75	90.0	0.18
07/23/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.56	0.00	0.56	90.0	0.01
07/23/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	60.08	0.00	60.08	90.0	1.02
07/23/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.65	0.00	1.65	90.0	0.03
07/23/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.21	0.00	13.21	90.0	0.22
07/23/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	12.94	0.00	12.94	90.0	0.22
07/23/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	40.63	0.00	40.63	90.0	0.69
07/23/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.53	0.00	0.53	90.0	0.01
07/23/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.07	0.00	1.07	90.0	0.02
07/23/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.38	0.00	9.38	90.0	0.16
07/23/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.43	0.00	0.43	90.0	0.01
07/23/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	17.24	0.00	17.24	90.0	0.29
07/23/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.44	0.00	0.44	90.0	0.01
07/23/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.86	0.00	0.86	90.0	0.01
07/16/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.15	0.00	1.15	90.0	0.02
08/06/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
07/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	0.00	233.16	0.00	233.16	90.0	3.96
07/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	0.00	248.25	0.00	248.25	90.0	4.22
07/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	0.00	346.90	0.00	346.90	90.0	5.90
07/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	0.00	407.34	0.00	407.34	90.0	6.92
07/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	0.00	95.25	0.00	95.25	90.0	1.62
07/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	0.00	2.91	0.00	2.91	90.0	0.05
07/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	0.00	167.69	0.00	167.69	90.0	2.85

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
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07/19/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.65	0.00	0.65	90.0	0.01
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.00	0.00	3.00	90.0	0.05
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.10	0.00	2.10	90.0	0.04
07/23/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.79	0.00	2.79	90.0	0.05
07/23/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.74	0.00	7.74	90.0	0.13
07/23/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	1.91	0.00	1.91	90.0	0.03
07/23/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	117.36	0.00	117.36	90.0	2.00
07/23/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	120.24	0.00	120.24	90.0	2.04
07/23/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.35	0.00	19.35	90.0	0.33
07/23/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	30.45	0.00	30.45	90.0	0.52
07/23/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.91	0.00	0.91	90.0	0.02
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.32	0.00	7.32	90.0	0.12
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.65	0.00	1.65	90.0	0.03
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.34	0.00	8.34	90.0	0.14
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.80	0.00	1.80	90.0	0.03
07/23/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.58	0.00	0.58	90.0	0.01
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.44	0.00	1.44	90.0	0.02
07/23/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.43	0.00	3.43	90.0	0.06
07/23/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.72	0.00	5.72	90.0	0.10
07/23/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.97	0.00	5.97	90.0	0.10
07/23/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.01	0.00	14.01	90.0	0.24
07/23/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.55	0.00	2.55	90.0	0.04
07/23/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.52	0.00	10.52	90.0	0.18
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.83	0.00	3.83	90.0	0.07
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	1.00	0.00	1.00	90.0	0.02
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.10	0.00	4.10	90.0	0.07
07/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.88	0.00	0.88	90.0	0.01
07/23/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.05	0.00	2.05	90.0	0.03
07/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.04	0.00	20.04	90.0	0.34
07/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.68	0.00	1.68	90.0	0.03
07/23/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.71	0.00	2.71	90.0	0.05
07/23/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.07	0.00	2.07	90.0	0.04
07/23/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.74	0.00	10.74	90.0	0.18

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07/23/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	97.23	0.00	97.23	90.0	1.65
07/23/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.86	0.00	10.86	90.0	0.18
07/23/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	3.92	0.00	3.92	90.0	0.07
07/23/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.30	0.00	6.30	90.0	0.11
07/23/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.04	0.00	9.04	90.0	0.15
07/23/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.58	0.00	4.58	90.0	0.08
07/23/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.78	0.00	12.78	90.0	0.22
07/27/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.29	0.00	10.29	90.0	0.17
07/27/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.96	0.00	2.96	90.0	0.05
07/27/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.06	0.00	2.06	90.0	0.04
07/27/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.01
07/27/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.21	0.00	1.21	90.0	0.02
07/27/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.83	0.00	0.83	90.0	0.01
07/19/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY A	0.00	1.76	0.00	1.76	90.0	0.03
07/19/2018	KROSNOWSKI, THOMAS	MFS EMERGING MARKETS EQUITY A	0.00	4.10	0.00	4.10	90.0	0.07
07/19/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.38	0.00	7.38	90.0	0.13
07/19/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH A	0.00	4.50	0.00	4.50	90.0	0.08
07/19/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.70	0.00	0.70	90.0	0.01
07/19/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND CLASS	0.00	4.00	0.00	4.00	90.0	0.07
07/19/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS A	0.00	2.42	0.00	2.42	90.0	0.04
07/19/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS A	0.00	5.80	0.00	5.80	90.0	0.10
07/19/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH A	0.00	2.35	0.00	2.35	90.0	0.04
07/19/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH A	0.00	5.55	0.00	5.55	90.0	0.09
07/19/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH A	0.00	1.61	0.00	1.61	90.0	0.03
07/19/2018	KROSNOWSKI, THOMAS	MFS RESEARCH A	0.00	1.58	0.00	1.58	90.0	0.03
07/19/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL A	0.00	6.92	0.00	6.92	90.0	0.12
07/19/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND A	0.00	3.49	0.00	3.49	90.0	0.06
07/19/2018	SPECTER TRUST, BRADLEY	MFS VALUE A	0.00	2.33	0.00	2.33	90.0	0.04
07/19/2018	SPECTER TRUST, STEPHANIE	MFS VALUE A	0.00	3.63	0.00	3.63	90.0	0.06
08/06/2018	PRATOLA, PRESTON	NUSTAR ENERGY L P COM UNITS REPSTG	6,646.83	300.00	22.50	277.50	90.0	4.72
07/25/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	3.12	0.00	3.12	90.0	0.05
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.44	0.00	1.44	90.0	0.02
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.23	0.00	1.23	90.0	0.02

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07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.39	0.00	0.39	90.0	0.01
07/23/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.63	0.00	0.63	90.0	0.01
07/23/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.47	0.00	2.47	90.0	0.04
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.19	0.00	3.19	90.0	0.05
07/23/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.74	0.00	1.74	90.0	0.03
07/23/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.57	0.00	2.57	90.0	0.04
07/20/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	255.03	0.00	255.03	90.0	4.34
07/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	153.68	0.00	153.68	90.0	2.61
07/23/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	15.22	0.00	15.22	90.0	0.26
07/23/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.36	0.00	3.36	90.0	0.06
07/23/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	10.80	0.00	10.80	90.0	0.18
07/23/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.62	0.00	1.62	90.0	0.03
07/23/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.35	0.00	0.35	90.0	0.01
07/23/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.04	0.00	11.04	90.0	0.19
07/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.50	0.00	0.50	90.0	0.01
07/31/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	7.47	0.00	7.47	90.0	0.13
07/13/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.90	0.00	10.90	90.0	0.19
07/16/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	-10.90	0.00	-10.90	90.0	-0.19
07/23/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.90	0.00	10.90	90.0	0.19
07/13/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.41	0.00	22.41	90.0	0.38
07/13/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.62	0.00	10.62	90.0	0.18
07/13/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.57	0.00	18.57	90.0	0.32
07/16/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	-18.57	0.00	-18.57	90.0	-0.32
07/23/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.57	0.00	18.57	90.0	0.32
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	8.64	0.00	8.64	90.0	0.15
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	1.59	0.00	1.59	90.0	0.03
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.79	0.00	0.79	90.0	0.01
<b> * MARC, L134 LINSKY SUBTOTAL * </b>			<b>\$-5,197.94</b>	<b>\$6,308.54</b>	<b>\$45.25</b>	<b>\$6,263.29</b>		<b>\$106.56</b>
<b><u> BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282 </u></b>								
08/03/2018	MOSHOURIS, KALLIOPI	BIODELIVERY SCIENCES INTL INC COM	6,336.00	281.88	25.60	256.28	90.0	8.71
<b> * ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL * </b>			<b>\$6,336.00</b>	<b>\$281.88</b>	<b>\$25.60</b>	<b>\$256.28</b>		<b>\$8.71</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: DANIEL, C142 CHARLEY #338</u></b>								
07/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	10,993.00	57.08	0.00	57.08	90.0	0.97
		* DANIEL, C142 CHARLEY SUBTOTAL *	\$10,993.00	\$57.08	\$0.00	\$57.08		\$0.97
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$652,399.10	\$7,483.05	\$70.85	\$7,412.20		\$158.06

**ADJUSTMENTS**

08/15/2018		*AI INSIGHT: AI INSIGHT - PECHA P128	0.00					-1.40
08/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON GOD	0.00					-1.40
08/15/2018		*EO INS: CURRENT MONTH INS MILBERY P124	0.00					-81.00
08/15/2018		*EO INS: CURRENT MONTH PECHA P128	0.00					-60.00
08/15/2018		*EO INS: CURRENT MONTH PFLEGER R137	0.00					-150.00
08/15/2018		*EO INS: CURRENT MONTH RAUPP	0.00					-300.00
08/15/2018		*EO INS: CURRENT MONTH-T THOMPSON GOD	0.00					-60.00
08/15/2018		*EO INS: E&O WEATHERBIE GOD	0.00					-60.00
08/15/2018		*FEDERAL EXPRESS: 6-247-81587 7-18-18	0.00					18.21
08/15/2018		*FINRA: C. BENNETT CRD REG APP CHARGED TWICE GOD	0.00					20.00
08/15/2018		*FINRA: C. BENNETT FIRM ELEMENT CHARGED TWICE GOD	0.00					25.00
08/15/2018		*FINRA: C. BENNETT PROCESSING FEE CHARGED TWICE GOD	0.00					11.00
08/15/2018		*FINRA: C. BENNETT S7 EXAM CHARGED TWICE GOD	0.00					61.00
08/15/2018		*LASER APP: LASER APP - PECHA P128	0.00					-3.60
08/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
08/15/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
08/15/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
08/15/2018		*MISC: OVERNIGHT FEE 7-31/18	0.00					-10.00
08/15/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
08/15/2018		*MISC: S BURNS TO C141	0.00					-150.00
08/15/2018		*MISC: S BURNS, T THOMPSON, SCOTT TO C141	0.00					-465.00
08/15/2018		*MISC: T THOMPSON TO C141	0.00					-375.00
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					-1.02
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					-0.75
08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
08/15/2018		*PERSHING: PERSHING MARKUP	0.00					8.10
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-5.94
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-4.98
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-4.88
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.69
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-9.93
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-11.34
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.19
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.19
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.35
08/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLI	0.00					243.38
08/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SP	0.00					448.85
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CHARELY FROM SPLITS	0.00					6.36
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CHARLEY	0.00					0.97
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY	0.00					98.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY FROM SPLITS	0.00					7.29
08/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM STERLING MONROE	0.00					874.72
08/15/2018		*TECH: ADMIN FULMER G0B	0.00					-60.00
08/15/2018		*TECH: ADMIN-AMY LEEDS 1AF	0.00					-44.20
08/15/2018		*TECH: BASIC - PECHA P128	0.00					-36.00
08/15/2018		*TECH: CURRENT MONTH TECH MILBERY P124	0.00					-74.25
08/15/2018		*TECHNOLOGY: ADMIN - C. BENNETT TECH G0D	0.00					-13.00
08/15/2018		*TECHNOLOGY: ADMIN - S. FRICKER G0D	0.00					-20.00
08/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS G0D	0.00					-20.00
08/15/2018		*TECHNOLOGY: BASIC - T THOMPSON G0D	0.00					-36.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH PFLEGER R137	0.00					-137.50
08/15/2018		*TECHNOLOGY: CURRENT MONTH RAUPP	0.00					-275.00
08/15/2018		*TECHNOLOGY: MONTHLY - WEATHERBIE G0D	0.00					-55.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$2,778.33

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$31,826.40
Split Transactions	\$54,832.12
Override Transactions	\$158.06
Adjustments	\$2,778.33
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$89,594.91
Year-to-date Gross	\$1,326,978.42
Year-to-date Earnings	\$1,331,452.55