

All Companies
STATEMENT
March 20, 2018

ANTHONY MASHINTONIO
PO BOX 1000

BROKER #: 232
BRANCH: LA BRANCH

FREDERICK, PA 19435

COMMISSION STATEMENT for ANTHONY MASHINTONIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/01/0018	#000001775538	DEMORRAYM	4812A1456	0.00	52.38	90.0	0.00	47.14	47.14
01/01/0018	#044592068118	MASHINTONIO, A	AIG 86691873	0.00	2.79	90.0	0.00	2.51	2.51
01/01/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.10	90.0	0.00	0.09	0.09
01/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.37	90.0	0.00	0.33	0.33
01/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.61	90.0	0.00	0.55	0.55
01/01/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.08	90.0	0.00	0.07	0.07
01/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.55	90.0	0.00	0.50	0.50
01/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.70	90.0	0.00	0.63	0.63
01/01/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.07	90.0	0.00	0.06	0.06
01/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.27	90.0	0.00	0.24	0.24
01/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	2.38	90.0	0.00	2.14	2.14
01/01/0018	#044592066136		FOCUSED ALPHA GROWTH FUND CLASS A	0.00	2.04	90.0	0.00	1.84	1.84
01/01/0018	#044592068118	MASHINTONIO, A	FOCUSED ALPHA GROWTH FUND CLASS A	0.00	8.06	90.0	0.00	7.25	7.25
01/01/0018	#000091833008		FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	2.12	90.0	0.00	1.91	1.91
01/01/0018	#000094179824		FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	9.62	90.0	0.00	8.66	8.66
01/01/0018	#044592066136		FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	3.10	90.0	0.00	2.79	2.79
01/02/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	100.00	5.00	90.0	0.00	4.50	4.50
01/05/0018	#2000352127	TASSONI, MARY	A - DEVELOPING MARKETTTTTS PORTFOLI	30.00	0.60	90.0	0.00	0.54	0.54
01/05/0018	#2000352146	TASSONI, MARY	A - DEVELOPING MARKETTTTTS PORTFOLI	30.00	0.60	90.0	0.00	0.54	0.54
01/05/0018	#2000352127	TASSONI, MARY	A - DISCOVERY PORT	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352146	TASSONI, MARY	A - DISCOVERY PORT	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352127	TASSONI, MARY	A - DIVISION OPPERTUNITY PORT	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352146	TASSONI, MARY	A - DIVISION OPPERTUNITY PORT	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352127	TASSONI, MARY	A - GLOBAL PORTFOLIO	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352146	TASSONI, MARY	A - GLOBAL PORTFOLIO	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352146	TASSONI, MARY	A - INTERNATIONAL SMALL COMPANY POR	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352127	TASSONI, MARY	A - INTERNATIONAL SMALL COMPANY POR	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352127	TASSONI, MARY	A - MAIN STREET PORT	150.00	3.00	90.0	0.00	2.70	2.70

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/05/0018	#2000352146	TASSONI, MARY	A - MAIN STREET PORT	150.00	3.00	90.0	0.00	2.70	2.70
01/05/0018	#2000352127	TASSONI, MARY	A -MAIN STREET SMALL CAP PORT	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352146	TASSONI, MARY	A -MAIN STREET SMALL CAP PORT	60.00	1.20	90.0	0.00	1.08	1.08
01/05/0018	#2000352146	TASSONI, MARY	SMALL - MID CAP PORT A	120.00	2.40	90.0	0.00	2.16	2.16
01/05/0018	#2000352127	TASSONI, MARY	SMALL - MID CAP PORT A	120.00	2.40	90.0	0.00	2.16	2.16
02/02/0018	#2000054244	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	120.00	1.20	90.0	0.00	1.08	1.08
02/02/0018	#2000116106	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
02/02/0018	#2000116110	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
02/02/0018	#2000054244	RIST, STEPHAN	C - LIMITED TERM GOV PORT	100.00	1.00	90.0	0.00	0.90	0.90
02/02/0018	#2000054244	RIST, STEPHAN	LARGE CAP VALUE C	100.00	1.00	90.0	0.00	0.90	0.90
02/02/0018	#2000116106	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
02/02/0018	#2000116110	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
02/02/0018	#2000054244	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	80.00	0.80	90.0	0.00	0.72	0.72
02/02/0018	#2000116106	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
02/02/0018	#2000116110	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
02/20/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	100.00	5.00	90.0	0.00	4.50	4.50
12/31/2017	#373670	STRAN, MICHAEL	BLCKRCK	0.00	3.97	90.0	0.00	3.57	3.57
* MUTUAL FUNDS SUBTOTAL *			\$2,200.00	\$131.21		\$0.00	\$118.08	\$0.00	\$118.08

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/03/0018	#P79A3512884	SAME, JONATHAN	AMERICAN GEN TRAILS	0.00	53.73	90.0	0.00	48.36	48.36
01/03/0018	#P79A3512885	SAME, JONATHAN	AMERICAN GEN TRAILS	0.00	90.39	90.0	0.00	81.35	81.35
02/02/0018	#P55D6536989	POZZESSERE	AMERICAN GEN LIFE	0.00	69.14	90.0	0.00	62.23	62.23
02/09/0018	#P55D6536984	POZZESSERE	AMERICAN GEN LIFE	0.00	27.68	90.0	0.00	24.91	24.91
01/30/0201	#P73A2020132	SAM, PAULA	AMERICAN GEN TRAILS	0.00	3.28	90.0	0.00	2.95	2.95
02/20/0201	#P73A2013850	SAM, GLENDA	AMERICAN GEN LIFE	0.00	56.16	90.0	0.00	50.54	50.54
02/02/2018	#2557046	SPERA, CLARE	JOHN HANCOCK LIFE TRAILS	0.00	56.71	90.0	0.00	51.04	51.04
02/02/2018	#2559009	SPERA, CLARE	JOHN HANCOCK LIFE TRAILS	0.00	123.61	90.0	0.00	111.25	111.25
02/02/2018	#2570888	JONES, NANCY	JOHN HANCOCK LIFE TRAILS	0.00	52.12	90.0	0.00	46.91	46.91
02/02/2018	#2570889	MOYER, JEAN	JOHN HANCOCK LIFE TRAILS	0.00	52.12	90.0	0.00	46.91	46.91
02/02/2018	#2570890	TOTH, GARY	JOHN HANCOCK LIFE TRAILS	0.00	52.12	90.0	0.00	46.91	46.91
02/02/2018	#2582122	MATTES, ERICH	JOHN HANCOCK LIFE TRAILS	0.00	212.41	90.0	0.00	191.17	191.17
02/02/2018	#2621366	COLBY, DONNA	JOHN HANCOCK LIFE TRAILS	0.00	100.41	90.0	0.00	90.37	90.37
02/02/2018	#2692327	COLBY, MILDRED	JOHN HANCOCK LIFE TRAILS	0.00	86.10	90.0	0.00	77.49	77.49
02/06/2018	#P89A8513035	SAM, HOPE	AMERICAN GEN TRAILS	0.00	55.53	90.0	0.00	49.98	49.98
02/09/2018	#P55D6536493	OTERI, STEVEN	AMERICAN GEN TRAILS	0.00	13.55	90.0	0.00	12.20	12.20

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* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$1,105.06		\$0.00	\$994.57	\$0.00	\$994.57
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.36	90.0	0.00	4.82	0.00	4.82
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.27	90.0	0.00	4.74	0.00	4.74
02/09/2018 #	COLONA, DOLORES	DAIN TRAILER	0.00	16.48	90.0	0.00	14.83	0.00	14.83
02/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	5.08	90.0	0.00	4.57	0.00	4.57
02/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	2.57	90.0	0.00	2.31	0.00	2.31
02/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	1.97	90.0	0.00	1.77	0.00	1.77
02/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
02/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	4.46	90.0	0.00	4.01	0.00	4.01
02/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	7.05	90.0	0.00	6.35	0.00	6.35
02/09/2018 #	CAMPANALE, LISA	DAIN TRAILER	0.00	10.34	90.0	0.00	9.31	0.00	9.31
02/09/2018 #	COLONA, DOLORES	DAIN TRAILER	0.00	4.90	90.0	0.00	4.41	0.00	4.41
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	0.00	2.40
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	1.08	90.0	0.00	0.97	0.00	0.97
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	0.97	90.0	0.00	0.87	0.00	0.87
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	9.13	90.0	0.00	8.22	0.00	8.22
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	13.01	90.0	0.00	11.71	0.00	11.71
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	7.36	90.0	0.00	6.62	0.00	6.62
02/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.98	90.0	0.00	6.28	0.00	6.28
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.61	90.0	0.00	2.35	0.00	2.35
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	1.35	90.0	0.00	1.22	0.00	1.22
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	0.77	90.0	0.00	0.69	0.00	0.69
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	6.88	90.0	0.00	6.19	0.00	6.19
02/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.41	90.0	0.00	4.87	0.00	4.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$129.28		\$0.00	\$116.32	\$0.00	\$116.32
*** BROKER TRANSACTIONS TOTAL ***			\$2,200.00	\$1,365.55		\$0.00	\$1,228.97	\$0.00	\$1,228.97

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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE							-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-549.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,228.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-549.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$679.97
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