

**COMMISSION STATEMENT****December 15, 2017**

FRANK DUNN
56328 Fireglass Loop
P.O. Box 3628
Bend, OR 97701

BROKER #: D116
BRANCH: FRANK DUNN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
10/24/2017	MCNICOL, JAMES	WAVELAND RESOURCE PARTNERS IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
		* OIL & GAS SUBTOTAL *	\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
<u>ADJUSTMENTS</u>								
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*FINRA: FINRA:TN IND REG FEE	0.00					-100.00
12/15/2017		*TECH-BASIC: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,575.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$18.14
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$918.14
Year-to-date Gross	\$87,411.00
Year-to-date Earnings	\$78,547.88