



COMMISSION STATEMENT

March 31, 2017

JENNIFER DONAIS
78955 Via Trieste

BROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
03/07/2017	HUGGINS, JAMES	RBC	0.00	92.76	0.00	92.76	90.0	83.48
03/07/2017	HUGGINS, JAMES	RBC	0.00	45.88	0.00	45.88	90.0	41.29
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$138.64	\$0.00	\$138.64		\$124.77
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
03/20/2017	KEMPER, JEROME	AMG GW&K ENHANCED CORE BOND FUND CL	-5,921.79	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	AMG GW&K ENHANCED CORE BOND FUND CL	-2,893.63	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	AMG GW&K ENHANCED CORE BOND FUND CL	-892.10	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	AMG GW&K ENHANCED CORE BOND FUND CL	-4,600.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	CAMBIAR INTERNATIONAL EQUITY FUND I	-41,719.12	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CAMBIAR INTERNATIONAL EQUITY FUND I	-53,162.21	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CAMBIAR INTERNATIONAL EQUITY FUND I	-10,470.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	CAMBIAR INTERNATIONAL EQUITY FUND I	-20,378.41	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CAMBIAR INTERNATIONAL EQUITY FUND I	-6,299.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	CAMBIAR INTERNATIONAL EQUITY FUND I	-10,293.63	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	CAMBIAR INTERNATIONAL EQUITY FUND I	-12,153.49	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	CAMBIAR INTERNATIONAL EQUITY FUND I	-33,685.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CAMBIAR INTERNATIONAL EQUITY FUND I	-31,462.43	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	CAMBIAR INTERNATIONAL EQUITY FUND I	-15,008.73	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	3,400.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	CATALYST HEDGED FUTURES STRATEGY FU	3,260.85	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	CATALYST HEDGED FUTURES STRATEGY FU	2,057.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	CATALYST HEDGED FUTURES STRATEGY FU	1,741.04	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	CATALYST HEDGED FUTURES STRATEGY FU	128.24	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	CLEARBRIDGE MID CAP	-3,455.93	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	CONGRESS MID CAP GROWTH FUND INSTIT	-7,113.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CONGRESS MID CAP GROWTH FUND INSTIT	-6,377.31	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/20/2017	MONETTE, PEGGY	CONGRESS MID CAP GROWTH FUND INSTIT	-1,255.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	CONGRESS MID CAP GROWTH FUND INSTIT	-3,476.96	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CONGRESS MID CAP GROWTH FUND INSTIT	-1,054.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	CONGRESS MID CAP GROWTH FUND INSTIT	-1,457.93	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	CONGRESS MID CAP GROWTH FUND INSTIT	-4,040.92	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CONGRESS MID CAP GROWTH FUND INSTIT	-3,773.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	CONGRESS MID CAP GROWTH FUND INSTIT	-1,708.84	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	CREDIT SUISSE COMMODITY RETURN STRA	-6,774.97	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CREDIT SUISSE COMMODITY RETURN STRA	-6,354.50	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	CREDIT SUISSE COMMODITY RETURN STRA	-1,251.44	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	CREDIT SUISSE COMMODITY RETURN STRA	-3,310.79	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CREDIT SUISSE COMMODITY RETURN STRA	-1,014.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	CREDIT SUISSE COMMODITY RETURN STRA	-2,101.66	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	CREDIT SUISSE COMMODITY RETURN STRA	-1,452.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	CREDIT SUISSE COMMODITY RETURN STRA	-4,026.00	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	CREDIT SUISSE COMMODITY RETURN STRA	-3,760.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	CREDIT SUISSE COMMODITY RETURN STRA	-1,817.24	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	HORIZON ACTIVE ASSET ALLOCATION FUN	-31,240.86	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	HORIZON ACTIVE ASSET ALLOCATION FUN	-35,148.21	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	HORIZON ACTIVE ASSET ALLOCATION FUN	-6,922.20	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	HORIZON ACTIVE ASSET ALLOCATION FUN	-15,267.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	HORIZON ACTIVE ASSET ALLOCATION FUN	-4,663.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	HORIZON ACTIVE ASSET ALLOCATION FUN	-7,756.41	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-8,035.36	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	HORIZON ACTIVE ASSET ALLOCATION FUN	-22,271.40	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	HORIZON ACTIVE ASSET ALLOCATION FUN	-20,568.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	HORIZON ACTIVE ASSET ALLOCATION FUN	-9,465.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	3,128.75	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	22,667.41	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	3,039.04	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	2,404.02	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR RUSSELL 2000 INDEX FD	2,537.57	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR RUSSELL 2000 INDEX FD	534.23	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/22/2017	RETZLAFF, SANDRA	ISHARES TR RUSSELL 2000 INDEX FD	2,804.69	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR RUSSELL 2000 INDEX FD	267.11	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	1,736.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	ISHARES TR RUSSELL 2000 INDEX FD	1,602.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR RUSSELL 2000 INDEX FD	6,410.71	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	ISHARES TR RUSSELL 2000 INDEX FD	801.34	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	ISHARES TR RUSSELL 2000 INDEX FD	676.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	8,870.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	40,013.14	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR S&P 500 INDEX FD	35,751.39	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	ISHARES TR S&P 500 INDEX FD	7,102.92	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	23,913.18	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	5,682.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	11,838.21	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	ISHARES TR S&P 500 INDEX FD	22,492.59	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	36,461.68	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	ISHARES TR S&P 500 INDEX FD	9,944.09	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	ISHARES TR S&P 500 INDEX FD	8,537.26	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	JH DISCIPLINED VAL CL 1	-16,789.87	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	JH DISCIPLINED VAL CL 1	-14,021.62	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	JH DISCIPLINED VAL CL 1	-2,761.35	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	JH DISCIPLINED VAL CL 1	-8,206.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	JH DISCIPLINED VAL CL 1	-2,497.73	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	JH DISCIPLINED VAL CL 1	-4,828.15	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	JH DISCIPLINED VAL CL 1	-3,205.51	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	JH DISCIPLINED VAL CL 1	-8,884.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	JH DISCIPLINED VAL CL 1	-8,297.20	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	JH DISCIPLINED VAL CL 1	-3,800.14	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	METROPOLITAN WEST TOTAL RETURN BOND	-5,921.05	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	METROPOLITAN WEST TOTAL RETURN BOND	-2,893.23	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	METROPOLITAN WEST TOTAL RETURN BOND	-893.66	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	METROPOLITAN WEST TOTAL RETURN BOND	-4,600.38	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	MFS INTL NEW DISCOVERY FUND I	-11,143.74	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/20/2017	MONETTE, PEGGY	MFS INTL NEW DISCOVERY FUND I	-13,798.87	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	MFS INTL NEW DISCOVERY FUND I	-2,717.76	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	MFS INTL NEW DISCOVERY FUND I	-5,446.07	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	MFS INTL NEW DISCOVERY FUND I	-1,681.38	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	MFS INTL NEW DISCOVERY FUND I	-2,087.82	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	MFS INTL NEW DISCOVERY FUND I	-3,154.58	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	MFS INTL NEW DISCOVERY FUND I	-8,743.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	MFS INTL NEW DISCOVERY FUND I	-8,166.30	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	MFS INTL NEW DISCOVERY FUND I	-3,745.95	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	NAVIGATOR TACTICAL FIXED INCOME FUN	-9,943.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NAVIGATOR TACTICAL FIXED INCOME FUN	-3,724.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NAVIGATOR TACTICAL FIXED INCOME FUN	-733.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	NAVIGATOR TACTICAL FIXED INCOME FUN	-4,859.37	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,481.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	NAVIGATOR TACTICAL FIXED INCOME FUN	-4,937.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	NAVIGATOR TACTICAL FIXED INCOME FUN	-851.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,359.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,204.18	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	NAVIGATOR TACTICAL FIXED INCOME FUN	-1,042.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	NORTHERN MULTI- MANAGER EMERGING MA	-6,926.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NORTHERN MULTI- MANAGER EMERGING MA	-8,248.96	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	NORTHERN MULTI- MANAGER EMERGING MA	-1,624.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	NORTHERN MULTI- MANAGER EMERGING MA	-3,385.68	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NORTHERN MULTI- MANAGER EMERGING MA	-1,042.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	NORTHERN MULTI- MANAGER EMERGING MA	-1,432.92	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	NORTHERN MULTI- MANAGER EMERGING MA	-1,885.82	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	NORTHERN MULTI- MANAGER EMERGING MA	-5,226.90	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	NORTHERN MULTI- MANAGER EMERGING MA	-4,881.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	NORTHERN MULTI- MANAGER EMERGING MA	-2,175.04	0.00	0.00	0.00	90.0	0.00
03/02/2017	KUHN, KENNETH	PERSHING	11,920.69	-2.45	0.00	-2.45	90.0	-2.21
03/02/2017	KUHN, KRISTINE	PERSHING	63,443.14	-13.04	0.00	-13.04	90.0	-11.74
03/02/2017	KUHN, KENNETH	PERSHING	44,500.47	-9.14	0.00	-9.14	90.0	-8.23
03/02/2017	KUHN, KRISTINE	PERSHING	10,509.03	-2.16	0.00	-2.16	90.0	-1.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/02/2017	KUHN, KENNETH	PERSHING	75,002.59	-15.41	0.00	-15.41	90.0	-13.87
03/02/2017	KUHN, KENNETH	PERSHING	9,480.63	-1.95	0.00	-1.95	90.0	-1.76
03/02/2017	FREIH, DIANE	PERSHING	21,287.39	-4.52	0.00	-4.52	90.0	-4.07
03/02/2017	FREIH, DIANE	PERSHING	170,804.03	-36.27	0.00	-36.27	90.0	-32.64
03/20/2017	FREIH, DIANE	POWERSHARES DB COMMODITY INDEX TRAC	1,542.58	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	6,907.55	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES DB COMMODITY INDEX TRAC	6,505.60	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES DB COMMODITY INDEX TRAC	1,295.17	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	POWERSHARES DB COMMODITY INDEX TRAC	4,346.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	1,042.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	2,158.61	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	POWERSHARES DB COMMODITY INDEX TRAC	4,108.80	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	6,639.58	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	POWERSHARES DB COMMODITY INDEX TRAC	1,831.10	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	POWERSHARES DB COMMODITY INDEX TRAC	1,560.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	1,884.30	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	8,885.41	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES EXCHANGE-TRADED FD TR I	4,639.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	POWERSHARES EXCHANGE-TRADED FD TR I	927.98	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	3,108.73	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	742.38	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	1,531.17	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	POWERSHARES EXCHANGE-TRADED FD TR I	2,946.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	4,732.70	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	POWERSHARES EXCHANGE-TRADED FD TR I	1,299.17	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	POWERSHARES EXCHANGE-TRADED FD TR I	1,112.06	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	RESEARCH MARKET NEUTRAL FUND-INST	-6,917.90	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RESEARCH MARKET NEUTRAL FUND-INST	-6,675.20	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RESEARCH MARKET NEUTRAL FUND-INST	-1,314.55	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	RESEARCH MARKET NEUTRAL FUND-INST	-3,381.53	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RESEARCH MARKET NEUTRAL FUND-INST	-1,033.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	RESEARCH MARKET NEUTRAL FUND-INST	-2,651.93	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	RESEARCH MARKET NEUTRAL FUND-INST	-1,526.05	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/20/2017	LEE, DONALD	RESEARCH MARKET NEUTRAL FUND-INST	-4,229.56	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RESEARCH MARKET NEUTRAL FUND-INST	-3,950.46	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	RESEARCH MARKET NEUTRAL FUND-INST	-1,820.83	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	RIDGEWORTH SEIX FLOATING RATE HIGH	-7,125.42	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIDGEWORTH SEIX FLOATING RATE HIGH	-5,581.48	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,099.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,475.69	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,067.12	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	RIDGEWORTH SEIX FLOATING RATE HIGH	-2,450.64	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,276.00	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,534.06	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIDGEWORTH SEIX FLOATING RATE HIGH	-3,303.13	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,555.11	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-17,011.15	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-15,768.60	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-3,105.28	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-8,315.86	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,537.39	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-4,891.51	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-3,604.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-9,991.63	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-9,330.50	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-4,235.62	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,759.74	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	16,558.99	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	15,025.75	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,759.83	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	10,119.38	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,453.18	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	4,906.37	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	SPDR S&P MIDCAP 400 ETF TR UNIT SER	9,506.09	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	15,332.40	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,986.42	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/23/2017	LEE, CONNIE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,401.29	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	SPDR SER TR BARCLAYS HIGH YIELD BD	439.29	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	2,945.71	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR SER TR BARCLAYS HIGH YIELD BD	13,019.33	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	SPDR SER TR BARCLAYS HIGH YIELD BD	2,582.05	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	SPDR SER TR BARCLAYS HIGH YIELD BD	8,691.67	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	4,327.65	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	SPDR SER TR BARCLAYS HIGH YIELD BD	8,218.91	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	SPDR SER TR BARCLAYS HIGH YIELD BD	13,273.90	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	SPDR SER TR BARCLAYS HIGH YIELD BD	3,099.75	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	STERLING CAP FUNDS	-6,995.85	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	STERLING CAP FUNDS	-6,271.66	0.00	0.00	0.00	90.0	0.00
03/20/2017	MONETTE, PEGGY	STERLING CAP FUNDS	-1,235.17	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	STERLING CAP FUNDS	-3,419.71	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	STERLING CAP FUNDS	-1,040.82	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, CONNIE	STERLING CAP FUNDS	-1,433.78	0.00	0.00	0.00	90.0	0.00
03/20/2017	LEE, DONALD	STERLING CAP FUNDS	-3,973.98	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	STERLING CAP FUNDS	-3,711.37	0.00	0.00	0.00	90.0	0.00
03/20/2017	KUHN, KENNETH	STERLING CAP FUNDS	-1,689.13	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANECK VECTORS ETF TR HIGH-YIELD MU	2,097.36	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	VANECK VECTORS ETF TR HIGH-YIELD MU	3,647.58	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	698.72	0.00	0.00	0.00	90.0	0.00
03/20/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	-2,956.44	0.00	0.00	0.00	90.0	0.00
03/20/2017	RETZLAFF, SANDRA	VANGUARD CHARLOTTE FDS TOTAL INTL B	-1,451.34	0.00	0.00	0.00	90.0	0.00
03/20/2017	SKAARE, LARRY	VANGUARD CHARLOTTE FDS TOTAL INTL B	-430.03	0.00	0.00	0.00	90.0	0.00
03/20/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	-1,397.59	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	3,940.39	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	VANGUARD INDEX FDS VANGUARD REIT ET	1,403.66	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	VANGUARD INDEX FDS VANGUARD REIT ET	1,300.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INDEX FDS VANGUARD REIT ET	1,056.98	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INDEX FDS VANGUARD REIT ET	243.92	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	VANGUARD INDEX FDS VANGUARD REIT ET	813.07	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INDEX FDS VANGUARD REIT ET	81.31	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/22/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	325.23	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	VANGUARD INDEX FDS VANGUARD REIT ET	650.45	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INDEX FDS VANGUARD REIT ET	2,601.81	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	VANGUARD INDEX FDS VANGUARD REIT ET	325.23	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	VANGUARD INDEX FDS VANGUARD REIT ET	247.19	0.00	0.00	0.00	90.0	0.00
03/20/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	17,222.13	0.00	0.00	0.00	90.0	0.00
03/22/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	73,207.22	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INTL EQUITY INDEX FD INC F	91,211.34	0.00	0.00	0.00	90.0	0.00
03/22/2017	MONETTE, PEGGY	VANGUARD INTL EQUITY INDEX FD INC F	18,194.64	0.00	0.00	0.00	90.0	0.00
03/22/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	60,918.69	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	14,765.28	0.00	0.00	0.00	90.0	0.00
03/22/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	30,340.27	0.00	0.00	0.00	90.0	0.00
03/22/2017	LEE, DONALD	VANGUARD INTL EQUITY INDEX FD INC F	57,727.49	0.00	0.00	0.00	90.0	0.00
03/22/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	93,068.90	0.00	0.00	0.00	90.0	0.00
03/22/2017	KUHN, KENNETH	VANGUARD INTL EQUITY INDEX FD INC F	25,624.91	0.00	0.00	0.00	90.0	0.00
03/23/2017	LEE, CONNIE	VANGUARD INTL EQUITY INDEX FD INC F	21,915.64	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$542,652.57	\$-84.94	\$0.00	\$-84.94		\$-76.46
*** SPLIT TRANSACTIONS TOTAL ***			\$542,652.57	\$53.70	\$0.00	\$53.70		\$48.31

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$48.31
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,394.78
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,346.47
Year-to-date Gross	\$11,778.00
Year-to-date Earnings	\$8,503.06