



All Companies

07/30/2018

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COMMISSION STATEMENT

August 01, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	134.81	134.81	0.00	134.81	90.0	121.33
06/30/2018	TRAILS	-PRINCIPAL FUNDS	B	8.09	8.09	0.00	8.09	90.0	7.28
06/30/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	26.19	26.19	0.00	26.19	90.0	23.57
07/15/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,252.32	99.28	0.00	99.28	90.0	89.35
07/20/2018	000000000946626 KELSON, MELISSA I.	TEMPLETON CHINA WORLD FUND - A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	000000001127897 KELSON, MELISSA I.	FRANKLIN MUTUAL FINCL SERVICES FD C B		50.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	000000001632482 JOHNSEN, LACEY FRK SM CAP GRWTH FND II - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
07/16/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	3.08	0.00	3.08	90.0	2.77
07/20/2018	000000006924512 JOHNSEN, LACEY MUTUAL DISC FND - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
07/20/2018	000000006951519 PARKS, JUDY	MUTUAL DISC FND - CL A	B	200.00	5.60	0.00	5.60	90.0	5.04
07/20/2018	000000007699440 FOSSEN, NAOMI J.	FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
07/16/2018	000000008622413 KILEY, JACOB L.	MUTUAL DISC FND - CL A	B	114.50	4.29	0.00	4.29	90.0	3.86
07/20/2018	000000008776484 FOSSEN, NAOMI J.	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
07/16/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
07/16/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
07/20/2018	000015813753367 JOHNSEN, LACEY	FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18
07/16/2018	000015815190438 KILEY, JACOB L.	FRANKLIN RISING DIVIDEND FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
07/16/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD CL I B		110.00	3.08	0.00	3.08	90.0	2.77
07/20/2018	000019818141653 FOSSEN, NAOMI J.	FRANKLIN SMALL CAP GROWTH FD CL I B		114.00	5.70	0.00	5.70	90.0	5.13
07/13/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	136.73	1.37	0.00	1.37	90.0	1.23
07/13/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	298.73	2.99	0.00	2.99	90.0	2.69

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/20/2018	000028212528031 FOSSEN, NAOMI J.	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
07/20/2018	000028212612217 JOHNSON, LACEY M.	FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13
07/13/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	117.85	5.01	0.00	5.01	90.0	4.51
07/16/2018	000060177587736 KILEY, JACOB L.	FRANKLIN GROWTH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
07/20/2018	000060177612639 KELSON, MELISSA I.	FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	000080193156393 KILEY, JACOB L.	FRANKLIN DYNATECH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
07/20/2018	000080193171242 KELSON, MELISSA I.	FRANKLIN DYNATECH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
07/09/2018	00035825027 FOWLER, RANDY S.	IVY GLOBAL EQUITY INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
07/09/2018	00035825027 FOWLER, RANDY S.	IVY HIGH INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
07/09/2018	00035825032 FOWLER, MARY B.	IVY ASSET STRATEGY A	B	50.00	2.25	0.00	2.25	90.0	2.03
07/10/2018	00035926510 ROSETTER, LUANN	IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
07/10/2018	00035926510 ROSETTER, LUANN	IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
07/10/2018	00035926510 ROSETTER, LUANN	IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
07/10/2018	00035926510 ROSETTER, LUANN	IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
07/02/2018	00036207246 SAWYER, ALEXANDER	IVY LARGE CAP GROWTH A	B	458.00	12.82	0.00	12.82	90.0	11.54
07/02/2018	00036207269 SAWYER, MADELYN E.	IVY CORE EQUITY A	B	458.00	12.82	0.00	12.82	90.0	11.54
07/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
07/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
07/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS INCOME FD OF AMERI	B	114.00	2.28	0.00	2.28	90.0	2.05
07/16/2018	00063960504 LECY, DOUG	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
07/16/2018	00069075893 BARBER, PEGGY	AMERICAN FUNDS BD FD OF AMER FD	B	50.00	1.50	0.00	1.50	90.0	1.35
07/16/2018	00069075893 BARBER, PEGGY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
07/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	2.81	0.00	2.81	90.0	2.53
07/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.75	0.00	3.75	90.0	3.38
07/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.81	0.00	2.81	90.0	2.53
07/20/2018	00073990739 KELLER, JANELLE FLOTTME	AMERICAN FUNDS BALANCED FD	B	250.00	9.38	0.00	9.38	90.0	8.44
07/19/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS AMCAP FD	B	75.00	1.50	0.00	1.50	90.0	1.35
07/19/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	60.00	1.20	0.00	1.20	90.0	1.08
07/19/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	336.00	6.72	0.00	6.72	90.0	6.05
07/19/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES	B	45.00	0.90	0.00	0.90	90.0	0.81

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL	INVES B	288.00	5.76	0.00	5.76	90.0	5.18
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	144.00	2.88	0.00	2.88	90.0	2.59
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER	B	30.00	0.60	0.00	0.60	90.0	0.54
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER	B	144.00	2.88	0.00	2.88	90.0	2.59
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	45.00	0.90	0.00	0.90	90.0	0.81
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	144.00	2.88	0.00	2.88	90.0	2.59
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.00	0.90	0.00	0.90	90.0	0.81
07/19/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	144.00	2.88	0.00	2.88	90.0	2.59
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	45.57	0.91	0.00	0.91	90.0	0.82
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	45.57	0.91	0.00	0.91	90.0	0.82
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	25.32	0.51	0.00	0.51	90.0	0.46
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	25.32	0.51	0.00	0.51	90.0	0.46
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	15.19	0.30	0.00	0.30	90.0	0.27
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER	B	15.19	0.30	0.00	0.30	90.0	0.27
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	15.19	0.30	0.00	0.30	90.0	0.27
07/19/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	15.19	0.30	0.00	0.30	90.0	0.27
07/17/2018	00075013619	BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
07/17/2018	00075013619	BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.25	2.10	0.00	2.10	90.0	1.89
07/17/2018	00075013619	BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
07/12/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD	B	69.30	1.39	0.00	1.39	90.0	1.25
07/12/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD	B	660.00	13.20	0.00	13.20	90.0	11.88
07/12/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT	B	69.30	1.39	0.00	1.39	90.0	1.25
07/12/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT	B	660.00	13.20	0.00	13.20	90.0	11.88
07/12/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	71.40	1.43	0.00	1.43	90.0	1.29
07/12/2018	00075982164	ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	680.00	13.60	0.00	13.60	90.0	12.24
07/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
07/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.00	2.28	0.00	2.28	90.0	2.05
07/16/2018	00081152428	LECY, ANN	AMERICAN FUNDS INCOME FD OF AMERI	B	115.00	2.30	0.00	2.30	90.0	2.07
07/16/2018	00081152428	LECY, ANN	NEW WORLD FUND-A	B	114.00	2.28	0.00	2.28	90.0	2.05
07/12/2018	00081201789	AKERS, DENICE	NEW WORLD FUND-A	B	75.00	1.50	0.00	1.50	90.0	1.35
07/12/2018	00081201789	AKERS, DENICE	NEW WORLD FUND-A	B	75.00	1.50	0.00	1.50	90.0	1.35
07/17/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.25	2.10	0.00	2.10	90.0	1.89

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/17/2018	00081536505	BECK, MICHAEL		AMERICAN FUNDS GROWTH FUND OF AMERI B	76.25	2.10	0.00	2.10	90.0 1.89
07/17/2018	00081536505	BECK, MICHAEL		AMERICAN FUNDS SMALL CAP WORLD FD B	76.25	2.10	0.00	2.10	90.0 1.89
07/10/2018	00081638112	KETZNER, TODD		AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00081638112	KETZNER, TODD		AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00081638112	KETZNER, TODD		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00081638112	KETZNER, TODD		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00082366615	KETZNER, KARISSA		AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00082366615	KETZNER, KARISSA		AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00082366615	KETZNER, KARISSA		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0 1.24
07/10/2018	00082366615	KETZNER, KARISSA		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.38	0.00	1.38	90.0 1.24
07/20/2018	00082638926	YAMASHITA, MARK/EMILY		AMERICAN FUNDS GROWTH FUND OF AMERI B	200.00	8.50	0.00	8.50	90.0 7.65
07/10/2018	00082960130	REGIER, STEPHANIE		AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	2.13	0.00	2.13	90.0 1.92
07/10/2018	00082960130	REGIER, STEPHANIE		AMERICAN FUNDS EURO PACIFIC GROWT B	50.00	2.13	0.00	2.13	90.0 1.92
07/10/2018	00082960130	REGIER, STEPHANIE		AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	2.13	0.00	2.13	90.0 1.92
07/10/2018	00082960172	REGIER, DARYL		AMERICAN FUNDS AMCAP FD B	50.00	2.13	0.00	2.13	90.0 1.92
07/10/2018	00082960172	REGIER, DARYL		AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	2.13	0.00	2.13	90.0 1.92
07/10/2018	00082960172	REGIER, DARYL		AMERICAN FUNDS SMALL CAP WORLD FD B	50.00	2.13	0.00	2.13	90.0 1.92
07/09/2018	00084627739	GESINGER, BLAINE		AMERICAN FUNDS BALANCED FD B	50.00	1.00	0.00	1.00	90.0 0.90
07/09/2018	00084627739	GESINGER, BLAINE		AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	1.00	0.00	1.00	90.0 0.90
07/18/2018	00084775331	GESINGER, BLAINE		AMERICAN FUNDS AMERICAN MUTUAL FD B	100.00	2.00	0.00	2.00	90.0 1.80
07/18/2018	00084775331	GESINGER, BLAINE		AMERICAN FUNDS CAPITAL INCOME BUILD B	100.00	2.00	0.00	2.00	90.0 1.80
07/18/2018	00084775331	GESINGER, BLAINE		AMERICAN FUNDS CAPITAL WORLD GROWT B	100.00	2.00	0.00	2.00	90.0 1.80
07/18/2018	00084775331	GESINGER, BLAINE		AMERICAN FUNDS NEW PERSPECTIVE FD B	100.00	2.00	0.00	2.00	90.0 1.80
07/18/2018	00084775331	GESINGER, BLAINE		NEW WORLD FUND-A B	100.00	2.00	0.00	2.00	90.0 1.80
07/19/2018	00084818800	DIAMOND, KARI		AMERICAN FUNDS AMCAP FD B	26.40	0.53	0.00	0.53	90.0 0.48
07/19/2018	00084818800	DIAMOND, KARI		AMERICAN FUNDS AMCAP FD B	400.00	8.00	0.00	8.00	90.0 7.20
07/19/2018	00084818800	DIAMOND, KARI		AMERICAN FUNDS BD FD OF AMER FD B	13.20	0.26	0.00	0.26	90.0 0.23
07/19/2018	00084818800	DIAMOND, KARI		AMERICAN FUNDS BD FD OF AMER FD B	200.00	4.00	0.00	4.00	90.0 3.60
07/19/2018	00084818800	DIAMOND, KARI		NEW WORLD FUND-A B	26.40	0.53	0.00	0.53	90.0 0.48
07/19/2018	00084818800	DIAMOND, KARI		NEW WORLD FUND-A B	400.00	8.00	0.00	8.00	90.0 7.20
07/20/2018	00085574568	MAKOWESKI, PETE		AMERICAN FUNDS AMERICAN MUTUAL FD B	135.25	2.71	0.00	2.71	90.0 2.44
07/20/2018	00085574568	MAKOWESKI, PETE		AMERICAN FUNDS BD FD OF AMER FD B	135.25	2.71	0.00	2.71	90.0 2.44
07/20/2018	00085574568	MAKOWESKI, PETE		AMERICAN FUNDS INCOME SER US GOVT B	135.25	2.71	0.00	2.71	90.0 2.44
07/20/2018	00085574568	MAKOWESKI, PETE		GLOBAL BALANCED FUND-A B	135.25	2.71	0.00	2.71	90.0 2.44
07/20/2018	00085837449	CLARK, CHERYL		AMERICAN MUTUAL FUND-C B	250.00	2.50	0.00	2.50	90.0 2.25
07/20/2018	00085837449	CLARK, CHERYL		CAPITAL WORLD GROWTH AND INCOME FUN B	250.00	2.50	0.00	2.50	90.0 2.25
07/20/2018	00085837449	CLARK, CHERYL		THE GROWTH FUND OF AMERICA-C B	250.00	2.50	0.00	2.50	90.0 2.25
07/20/2018	00085837449	CLARK, CHERYL		WASHINGTON MUTUAL INVESTORS FUND-C B	250.00	2.50	0.00	2.50	90.0 2.25
07/20/2018	00085956994	MASSIE, CLAY		AMERICAN FUNDS CAPITAL INCOME BUILD B	76.39	2.86	0.00	2.86	90.0 2.57

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	76.39	2.86	0.00	2.86	90.0	2.57	
07/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.39	2.86	0.00	2.86	90.0	2.57	
07/16/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75	90.0	2.48	
07/16/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.75	0.00	2.75	90.0	2.48	
07/16/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	2.75	0.00	2.75	90.0	2.48	
07/19/2018	00086593760	DARROW, LINETTE	AMCAP FUND-C	B	98.53	0.99	0.00	0.99	90.0	0.89	
07/19/2018	00086593760	DARROW, LINETTE	AMCAP FUND-C	B	98.53	0.99	0.00	0.99	90.0	0.89	
07/20/2018	00086689358	YAMASHITA, SUZANNE	AMERICAN FUNDS WA MUTUAL	INVES	B	150.00	6.38	0.00	6.38	90.0	5.74
07/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS FUNDAMENTAL	INVES	B	114.58	3.15	0.00	3.15	90.0	2.84
07/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INCOME FD OF	AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84
07/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	3.15	0.00	3.15	90.0	2.84	
07/16/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.58	3.15	0.00	3.15	90.0	2.84	
07/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.58	3.15	0.00	3.15	90.0	2.84	
07/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	3.15	0.00	3.15	90.0	2.84	
07/16/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	3.15	0.00	3.15	90.0	2.84	
07/16/2018	00086832145	MARCOV, SARAH F.	NEW WORLD FUND-A	B	114.58	3.15	0.00	3.15	90.0	2.84	
07/13/2018	00086944252	VAHRENKAMP, JAMES/JANET	AMERICAN FUNDS INCOME FD OF	AMERI	B	16,000.00	320.00	0.00	320.00	90.0	288.00
07/12/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	246.29	10.47	0.00	10.47	90.0	9.42	
07/12/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	246.29	10.47	0.00	10.47	90.0	9.42	
07/20/2018	00087062366	KRANTZ/SHOWALTER, SANDRA L/KAMDEN	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25	
07/20/2018	00087062378	SHOWALTER, DELANIE	FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50	90.0	2.25	
07/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0	2.11	
07/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	55.00	2.34	0.00	2.34	90.0	2.11	
07/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	2.34	0.00	2.34	90.0	2.11	
07/17/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL	INVES	B	55.00	2.34	0.00	2.34	90.0	2.11
07/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0	3.83	
07/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25	90.0	3.83	
07/16/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS WA MUTUAL	INVES	B	100.00	4.25	0.00	4.25	90.0	3.83
07/16/2018	00087295220	DELES DERNIER, DOUGLAS	GLOBAL BALANCED FUND-A	B	100.00	4.25	0.00	4.25	90.0	3.83	
07/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25	
07/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	2.50	0.00	2.50	90.0	2.25	
07/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25	
07/16/2018	00087374237	KING, TIMOTHY	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25	
07/16/2018	00087391906	WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45	

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/16/2018	00087391906 WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/10/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL	INVES B	53.00	1.99	0.00	1.99	90.0	1.79
07/10/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI	B	53.00	1.99	0.00	1.99	90.0	1.79
07/10/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
07/10/2018	00087396195 DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
07/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
07/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	65.00	2.44	0.00	2.44	90.0	2.20
07/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
07/10/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	200.00	8.50	0.00	8.50	90.0	7.65
07/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	8.50	0.00	8.50	90.0	7.65
07/12/2018	00087493891 ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	150.00	3.00	0.00	3.00	90.0	2.70
07/12/2018	00087493891 ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	2,000.00	40.00	0.00	40.00	90.0	36.00
07/09/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	190.00	5.23	0.00	5.23	90.0	4.71
07/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	1.57	0.00	1.57	90.0	1.41
07/10/2018	00087574970 BANE, REBEKAH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	1.57	0.00	1.57	90.0	1.41
07/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.00	1.57	0.00	1.57	90.0	1.41
07/09/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	190.00	5.23	0.00	5.23	90.0	4.71
07/10/2018	00087578524 BANE, DANIEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	1.57	0.00	1.57	90.0	1.41
07/16/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00	90.0	15.30
07/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS EURO PACIFIC	GROWT B	112.00	2.24	0.00	2.24	90.0	2.02
07/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INCOME FD OF	AMERI B	112.00	2.24	0.00	2.24	90.0	2.02
07/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INVESTMENT COMP OF A	B	113.00	2.26	0.00	2.26	90.0	2.03
07/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS NEW ECONOMY FD	B	113.00	2.26	0.00	2.26	90.0	2.03
07/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24	90.0	2.02
07/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24	90.0	2.02
07/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS CAPITAL WORLD GROWT	B	113.00	2.26	0.00	2.26	90.0	2.03
07/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26	90.0	2.03
07/10/2018	00088093369 FRERICHs, CORA	AMERICAN FUNDS BALANCED FD	B	150.00	7.50	0.00	7.50	90.0	6.75
07/10/2018	00088267226 KEFFELER, TRACY L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/17/2018	00088298154 ALEXANDER, MARILYN	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
07/16/2018	00088320633 PACHL/AUTH PER, JESSICA L.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
07/17/2018	00088397974 LEWIS, MICAH	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	00088419853 SCHMIDT, ROSS L.	AMERICAN FUNDS BALANCED FD	B	200.00	10.00	0.00	10.00	90.0	9.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/09/2018	00088502628 SUTLIFF-GROSS, SABRINA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	150.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	00088504226 SUTLIFF-GROSS, SABRINA	CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
07/16/2018	00088565229 LIMKE, JESSICA	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	8.50	0.00	8.50	90.0	7.65
07/16/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
07/16/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL INVES	B	62.50	1.25	0.00	1.25	90.0	1.13
07/16/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF AMERI	B	62.50	1.25	0.00	1.25	90.0	1.13
07/16/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
07/16/2018	00088605154 LYONS, CAMERON	WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	4.25	0.00	4.25	90.0	3.83
07/10/2018	00088618305 LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618305 LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618305 LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL INVES	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618305 LORD, TIMOTHY R.	NEW WORLD FUND-A	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618538 LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618538 LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618538 LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
07/10/2018	00088618538 LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.50	4.29	0.00	4.29	90.0	3.86
07/16/2018	00088631279 FLOTTMEYER, KEVIN A.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	90.0	5.63
07/16/2018	00088648517 BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	00088648517 BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	00088648517 BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	00088648517 BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.13	0.00	2.13	90.0	1.92
07/10/2018	00088738575 SPITZER, BRUCE A.	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
07/10/2018	00088738575 SPITZER, BRUCE A.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
07/10/2018	00088738575 SPITZER, BRUCE A.	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	00088828419 HOP, DANIEL J.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25
07/09/2018	00088883631 LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL INVES	B	119.52	5.98	0.00	5.98	90.0	5.38
07/09/2018	00088883631 LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL INVES	B	119.52	5.98	0.00	5.98	90.0	5.38
07/16/2018	00088908568 ANDERSON, ANGELA M.	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
07/16/2018	00088908568 ANDERSON, ANGELA M.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
07/16/2018	00088908568 ANDERSON, ANGELA M.	AMERICAN FUNDS FUNDAMENTAL INVES	B	57.30	2.44	0.00	2.44	90.0	2.20
07/16/2018	00088908568 ANDERSON, ANGELA M.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
07/16/2018	00089019546 HOLLEARN, JAMES R.	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	00089019593 HOLLEARN, SEAN R.	AMERICAN FUNDS AMCAP FD	B	57.00	2.85	0.00	2.85	90.0	2.57

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/16/2018	00089019593 HOLLEARN, SEAN R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	56.00	2.80	0.00	2.80	90.0	2.52
07/16/2018	00089019593 HOLLEARN, SEAN R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	56.00	2.80	0.00	2.80	90.0	2.52
07/16/2018	00089019593 HOLLEARN, SEAN R.	AMERICAN FUNDS SMALL CAP WORLD FD	B	56.00	2.80	0.00	2.80	90.0	2.52
07/16/2018	00089019699 HOLLEARN, CHRISTINA M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
07/16/2018	00089019699 HOLLEARN, CHRISTINA M.	AMERICAN FUNDS INCOME FD OF	AMERI B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	00089049697 WARREN, BRIANNA M.	AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	00089123660 TEN EYCK, SHEILA T.	AMERICAN FUNDS INCOME FD OF	AMERI B	75.00	2.81	0.00	2.81	90.0	2.53
07/16/2018	00089123704 TEN EYCK, JOHN C.	AMERICAN FUNDS AMCAP FD	B	75.00	2.81	0.00	2.81	90.0	2.53
07/18/2018	00089123815 KULESA, CASSIDY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	81.25	3.45	0.00	3.45	90.0	3.11
07/18/2018	00089123815 KULESA, CASSIDY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	81.25	3.45	0.00	3.45	90.0	3.11
07/18/2018	00089123815 KULESA, CASSIDY R.	AMERICAN FUNDS INVESTMENT COMP OF A	B	81.25	3.45	0.00	3.45	90.0	3.11
07/18/2018	00089123815 KULESA, CASSIDY R.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45	90.0	3.11
07/16/2018	00089163089 CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51	90.0	1.36
07/16/2018	00089163089 CIOCARLAN, CHRISTOPHER J.	NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	90.0	1.36
07/16/2018	00089163184 CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	2.75	0.00	2.75	90.0	2.48
07/16/2018	00089163224 CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75	90.0	2.48
07/02/2018	00089187466 BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	-50.00	-2.50	0.00	-2.50	90.0	-2.25
07/16/2018	00089249484 WILLIAMS, DONALD	AMERICAN FUNDS INCOME FD OF	AMERI B	1,291.64	35.52	0.00	35.52	90.0	31.97
07/16/2018	00089249484 WILLIAMS, DONALD	AMERICAN FUNDS INCOME FD OF	AMERI B	300.00	8.25	0.00	8.25	90.0	7.43
07/16/2018	00089249498 WILLIAMS, GERALDINE	AMERICAN FUNDS BALANCED FD	B	1,291.64	35.52	0.00	35.52	90.0	31.97
07/16/2018	00089249498 WILLIAMS, GERALDINE	AMERICAN FUNDS BALANCED FD	B	225.00	6.19	0.00	6.19	90.0	5.57
07/16/2018	00089296173 WILLIAMS, KEVIN M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	474.60	13.05	0.00	13.05	90.0	11.75
07/16/2018	00089296173 WILLIAMS, KEVIN M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	142.38	3.92	0.00	3.92	90.0	3.53
07/16/2018	00089303112 MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
07/16/2018	00089303112 MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
07/16/2018	00089303112 MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
07/16/2018	00089303112 MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
07/16/2018	00089406954 KAUFMAN, EDWARD R.	AMERICAN FUNDS 2020 TARGET DATE-A	B	194.60	5.35	0.00	5.35	90.0	4.82
07/16/2018	00089406954 KAUFMAN, EDWARD R.	AMERICAN FUNDS 2020 TARGET DATE-A	B	83.40	2.29	0.00	2.29	90.0	2.06
07/20/2018	00089423246 YAMASHITA, MARK M.	AMERICAN FUNDS WA MUTUAL	INVES B	75.00	3.19	0.00	3.19	90.0	2.87
07/09/2018	00089449545 ELLINGSON, KRISTEN	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
K.									
07/16/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
K.									
07/09/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS SMALL CAP WORLD FD	B	45.70	2.29	0.00	2.29	90.0	2.06
07/09/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS SMALL CAP WORLD FD	B	45.70	2.29	0.00	2.29	90.0	2.06
07/09/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS WA MUTUAL	INVES B	45.70	2.29	0.00	2.29	90.0	2.06
07/09/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS WA MUTUAL	INVES B	45.70	2.29	0.00	2.29	90.0	2.06
07/16/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS AMERICAN MUTUAL FD	B	104.25	5.21	0.00	5.21	90.0	4.69
07/16/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS EURO PACIFIC	GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
07/16/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS GROWTH FUND OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
07/16/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS INCOME FD OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
07/09/2018	00089449610	THOURON, JEFFREY AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
J.									
07/09/2018	00089449610	THOURON, JEFFREY AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
J.									
07/09/2018	00089449610	THOURON, JEFFREY INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
J.									
07/09/2018	00089449610	THOURON, JEFFREY INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
J.									
07/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
07/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	220.00	9.35	0.00	9.35	90.0	8.42
J.									
07/16/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	33.00	1.40	0.00	1.40	90.0	1.26
J.									
07/16/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	480.00	20.40	0.00	20.40	90.0	18.36
07/16/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	180.00	7.65	0.00	7.65	90.0	6.89
07/16/2018	00089549237	HENRY, STEVEN L. AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089549237	HENRY, STEVEN L. WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089549281	HENRY, NICOLE M. FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089549281	HENRY, NICOLE M. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089606497	DELES DERNIER, AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	10.63	0.00	10.63	90.0	9.57
CONNIE									
07/16/2018	00089606506	DELES DERNIER, AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	2.13	0.00	2.13	90.0	1.92
DOUGLAS									
07/16/2018	00089606506	DELES DERNIER, AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
DOUGLAS									
07/16/2018	00089621757	MORTON, BRET E. THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/16/2018	00089621765	MORTON, LINDA A. AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/18/2018	00089679500	KULESA, ADAM M. AMCAP FUND-C	B	109.00	1.09	0.00	1.09	90.0	0.98

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/18/2018	00089679500	KULESA, ADAM M.	CAPITAL WORLD GROWTH AND INCOME FUN B	108.00	1.08	0.00	1.08	90.0	0.97
07/18/2018	00089679500	KULESA, ADAM M.	WASHINGTON MUTUAL INVESTORS FUND-C B	108.00	1.08	0.00	1.08	90.0	0.97
07/12/2018	00089859202	LABINE, JENNIFER N.	AMERICAN FUNDS AMCAP FD B	60.00	1.20	0.00	1.20	90.0	1.08
07/12/2018	00089859202	LABINE, JENNIFER N.	AMERICAN FUNDS AMCAP FD B	60.00	1.20	0.00	1.20	90.0	1.08
07/16/2018	00089961444	WEISS, CHRIS D.	AMCAP FUND-C B	114.00	1.14	0.00	1.14	90.0	1.03
07/16/2018	00089961444	WEISS, CHRIS D.	AMERICAN MUTUAL FUND-C B	114.00	1.14	0.00	1.14	90.0	1.03
07/16/2018	00089961444	WEISS, CHRIS D.	NEW WORLD FUND-C B	115.00	1.15	0.00	1.15	90.0	1.04
07/16/2018	00089961444	WEISS, CHRIS D.	SMALLCAP WORLD FUND-C B	115.00	1.15	0.00	1.15	90.0	1.04
07/16/2018	00201000577	GUNDERSON, SUSAN M.	COLUMBIA CONTRARIAN CORE FD A B	135.25	4.06	0.00	4.06	90.0	3.65
07/16/2018	00201000577	GUNDERSON, SUSAN M.	COLUMBIA MARSICO GLOBAL FUND A B	135.25	4.06	0.00	4.06	90.0	3.65
07/20/2018	00505047984	PETERSON, JEFF	-PUTNAM B	114.00	4.28	0.00	4.28	90.0	3.85
07/16/2018	00505047984	PETERSON, JEFF	PUTNAM CAPITAL OPPORTUNITIES FUND B	114.00	4.28	0.00	4.28	90.0	3.85
07/16/2018	00505047984	PETERSON, JEFF	PUTNAM GLOBAL GROWTH FD CL A B	114.00	4.28	0.00	4.28	90.0	3.85
07/16/2018	00505047984	PETERSON, JEFF	PUTNAM GROWTH OPPORTUNITIES FD CL A B	109.00	4.09	0.00	4.09	90.0	3.68
07/16/2018	00932927612	KENDALL, REBECCA	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0	1.24
07/10/2018	01000170691	WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B	37.50	0.38	0.00	0.38	90.0	0.34
07/20/2018	01000170691	WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B	37.50	0.38	0.00	0.38	90.0	0.34
07/10/2018	01000170691	WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B	37.50	0.38	0.00	0.38	90.0	0.34
07/20/2018	01000170691	WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B	37.50	0.38	0.00	0.38	90.0	0.34
07/10/2018	01000170691	WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C B	37.50	0.38	0.00	0.38	90.0	0.34
07/20/2018	01000170691	WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C B	37.50	0.38	0.00	0.38	90.0	0.34
07/10/2018	01000170691	WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C B	37.50	0.38	0.00	0.38	90.0	0.34
07/20/2018	01000170691	WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C B	37.50	0.38	0.00	0.38	90.0	0.34
07/02/2018	0100071050	FISCHER, DACIA M.	OPPENHEIMER MAIN ST SMALL CAP FUND B	87.50	3.50	0.00	3.50	90.0	3.15
07/16/2018	01001342204	DERKSEN, NATHAN P.	IVK COMSTOCK FUND - CLASS A B	100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	01001342204	DERKSEN, NATHAN P.	IVK SMALL CAP GROWTH FUND - CLASS A B	100.00	5.00	0.00	5.00	90.0	4.50
07/10/2018	01001396396	BENTLEY, BRANDON	IVK AMERICAN FRANCHISE FUND - CLASS B	100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	01240555562	GUNDERSON, SUSAN M.	COLUMBIA MIDCAP GROWTH FUND A B	135.25	4.06	0.00	4.06	90.0	3.65
07/16/2018	01390000410	GUNDERSON, KELLY M.	COLUMBIA TECHNOLOGY FUND A B	114.00	3.42	0.00	3.42	90.0	3.08
07/16/2018	01793555613	GUNDERSON, KELLY M.	COLUMBIA ACORN INTERNATIONAL B	114.00	3.42	0.00	3.42	90.0	3.08
07/16/2018	01981555565	GUNDERSON, KELLY M.	COLUMBIA MIDCAP VALUE B	115.00	3.45	0.00	3.45	90.0	3.11
07/10/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL B	25.00	0.75	0.00	0.75	90.0	0.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/20/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL B	25.00	0.75	0.00	0.75	90.0	0.68
07/10/2018	03809167046	WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
07/20/2018	03809167046	WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
07/10/2018	03809167046	WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C B	25.00	0.25	0.00	0.25	90.0	0.23
07/20/2018	03809167046	WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C B	25.00	0.25	0.00	0.25	90.0	0.23
07/10/2018	03809167046	WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
07/20/2018	03809167046	WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
07/20/2018	04000100624	BUREMAN, JANA	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	5.00	0.00	5.00	90.0	4.50
07/09/2018	04000128174	YOUNG-JOHNSON, TAMMY	AMERICAN FUNDS AMCAP FD B	100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS AMERICAN MUTUAL FD B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS CAPITAL WORLD GROWT B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000166931	KAUK, LISA M.	AMERICAN FUNDS NEW PERSPECTIVE FD B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000167035	KAUK, TIMOTHY	AMERICAN FUNDS BALANCED FD B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000167035	KAUK, TIMOTHY	AMERICAN FUNDS FUNDAMENTAL INVES B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000167035	KAUK, TIMOTHY	AMERICAN FUNDS GROWTH FUND OF AMERI B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000167035	KAUK, TIMOTHY	NEW WORLD FUND-A B	75.00	3.19	0.00	3.19	90.0	2.87
07/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS AMCAP FD B	91.75	4.59	0.00	4.59	90.0	4.13
07/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS CAPITAL WORLD GROWT B	91.75	4.59	0.00	4.59	90.0	4.13
07/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS INCOME FD OF AMERI B	91.75	4.59	0.00	4.59	90.0	4.13
07/16/2018	04000211352	NEMEC, ERIN E.	AMERICAN FUNDS NEW PERSPECTIVE FD B	91.75	4.59	0.00	4.59	90.0	4.13
07/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS EURO PACIFIC GROWT B	114.50	5.73	0.00	5.73	90.0	5.16
07/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS FUNDAMENTAL INVES B	114.50	5.73	0.00	5.73	90.0	5.16
07/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS GROWTH FUND OF AMERI B	114.50	5.73	0.00	5.73	90.0	5.16
07/16/2018	04000211366	NEMEC, LANCE L.	AMERICAN FUNDS SMALL CAP WORLD FD B	114.50	5.73	0.00	5.73	90.0	5.16
07/09/2018	04000407504	FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A B	9.30	0.47	0.00	0.47	90.0	0.42
07/09/2018	04000407504	FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A B	9.30	0.47	0.00	0.47	90.0	0.42
07/16/2018	04000452410	PACHL, JESSICA/EVE	GROWTH PORTFOLIO-A B	100.00	4.25	0.00	4.25	90.0	3.83
07/16/2018	04000669878	KAUK, TIMOTHY	GLOBAL GROWTH PORTFOLIO-C B	167.00	1.67	0.00	1.67	90.0	1.50
07/16/2018	04000906988	BRINK, LANDAN A.	THE GROWTH FUND OF AMERICA-C B	50.00	0.50	0.00	0.50	90.0	0.45
07/12/2018	04001067370	JORGENSEN, MATTHEW	EUROPACIFIC GROWTH FUND-C B	62.50	0.63	0.00	0.63	90.0	0.57
07/12/2018	04001067370	JORGENSEN, MATTHEW	FUNDAMENTAL INVESTORS-C B	62.50	0.63	0.00	0.63	90.0	0.57
07/12/2018	04001067370	JORGENSEN, MATTHEW	NEW PERSPECTIVE FUND-C B	62.50	0.63	0.00	0.63	90.0	0.57
07/12/2018	04001067370	JORGENSEN, MATTHEW	THE GROWTH FUND OF AMERICA-C B	62.50	0.63	0.00	0.63	90.0	0.57

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	E.								
07/12/2018	04001067505	JORGENSEN, MATTHEW AMCAP FUND-C	B	3,315.00	33.15	0.00	33.15	90.0	29.84
	E.								
07/12/2018	04001067505	JORGENSEN, MATTHEW CAPITAL WORLD GROWTH AND INCOME FUN B		3,217.50	32.18	0.00	32.18	90.0	28.96
	E.								
07/12/2018	04001067505	JORGENSEN, MATTHEW NEW WORLD FUND-C	B	3,217.50	32.18	0.00	32.18	90.0	28.96
	E.								
07/02/2018	08000006896	KENDALL, DOUGLAS PRINCIPAL INVESTORS SAM BALANCED (A B		107.64	5.11	0.00	5.11	90.0	4.60
07/16/2018	15375555562	GUNDERSON, SUSAN COLUMBIA SELECT SMALLER-CAP VALUE F B		135.25	4.06	0.00	4.06	90.0	3.65
	M.								
07/02/2018	2254439479	NIDA, AARON R. OPPENHEIMER QUEST VALUE FD CL A B		200.00	8.00	0.00	8.00	90.0	7.20
07/02/2018	2514890897	FISCHER, BRANDON S. OPPENHEIMER QUEST SMALL CAP VALUE B		50.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	4206645562	FISCHER, BRANDON S. OPPENHEIMER MAIN STREET INCOME & GR B		50.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	51830000605	GUNDERSON, KELLY COLUMBIA LARGE CORE QUAN FUND A B		115.00	3.45	0.00	3.45	90.0	3.11
	M.								
07/02/2018	6870194370	FISCHER, BRANDON S. OPPENHEIMER GLOBAL VALUE FUND A B		50.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	7006154393	RUTSTEIN, OPPENHEIMER MAIN STREET INCOME & GR B		75.00	3.56	0.00	3.56	90.0	3.20
	ANDREA/XAVIEN G.								
07/02/2018	7210901109	FISCHER, DACIA M. SMALL- & MID-CAP GROWTH FUND A B		87.50	3.50	0.00	3.50	90.0	3.15
07/02/2018	8259166608	FISCHER, DACIA M. OPPENHEIMER INTL GROWTH FD CL A B		87.50	3.50	0.00	3.50	90.0	3.15
07/02/2018	8351505449	RUTSTEIN, MILES OPPENHEIMER QUEST CAPITAL VALUE B		75.00	3.56	0.00	3.56	90.0	3.20
07/02/2018	8353044635	FISCHER, DACIA M. OPPENHEIMER QUEST CAPITAL VALUE B		87.50	3.50	0.00	3.50	90.0	3.15
07/02/2018	8477461344	FISCHER, BRANDON S. MAIN STREET SMALL CAP FUND A B		50.00	2.00	0.00	2.00	90.0	1.80
		* MUTUAL FUNDS SUBTOTAL *		\$71,251.95	\$1,971.22	\$0.00	\$1,971.22		\$1,774.36
<u>PRODUCT CATEGORY: STOCKS</u>									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	1,441.40	1,441.40	0.00	1,441.40	90.0	1,297.26
		* STOCKS SUBTOTAL *		\$1,441.40	\$1,441.40	\$0.00	\$1,441.40		\$1,297.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/09/2018	TRAILS	-JACKSON NATIONAL	B	23.58	23.58	0.00	23.58	90.0	21.22
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	1,229.03	1,229.03	0.00	1,229.03	90.0	1,106.13
07/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	47.95	47.95	0.00	47.95	90.0	43.16
07/06/2018	TRAILS	JOHN HANCOCK	B	66.75	66.75	0.00	66.75	90.0	60.08
		* VARIABLE ANNUITIES SUBTOTAL *		\$1,367.31	\$1,367.31	\$0.00	\$1,367.31		\$1,230.59
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
07/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
		* LIFE INSURANCE SUBTOTAL *		\$95.96	\$0.96	\$0.00	\$0.96		\$0.86

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018 10771324	GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
07/13/2018 11760371	LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
07/13/2018 12153707	STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
07/13/2018 12445993	PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	601.67	0.00	601.67	90.0	541.50
07/13/2018 12520657	ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	128.00	0.00	128.00	90.0	115.20
07/13/2018 12976261	HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	275.00	0.00	275.00	90.0	247.50
07/13/2018 13142828	GARGIULO, HELEN PATRICIA P.	SIGNAL SECURITIES RIA	B	0.00	113.67	0.00	113.67	90.0	102.30
07/13/2018 14348417	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	90.0	19.20
07/13/2018 14381829	WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
07/13/2018 14429709	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	90.0	17.40
07/13/2018 15091367	HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	127.67	0.00	127.67	90.0	114.90
07/13/2018 15467725	BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
07/19/2018 15820668	GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	166.33	0.00	166.33	90.0	149.70
07/13/2018 16270038	MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	161.67	0.00	161.67	90.0	145.50
07/13/2018 16412029	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	41.67	0.00	41.67	90.0	37.50
07/13/2018 17118785	HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
07/13/2018 17352141	CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	27.67	0.00	27.67	90.0	24.90
07/13/2018 17440148	EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
07/13/2018 17456904	SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	94.00	0.00	94.00	90.0	84.60
07/13/2018 17662068	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	166.33	0.00	166.33	90.0	149.70
07/13/2018 18212150	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	130.00	0.00	130.00	90.0	117.00
07/13/2018 19492576	CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
07/13/2018 19560631	BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	144.67	0.00	144.67	90.0	130.20
07/13/2018 20144207	BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	87.00	0.00	87.00	90.0	78.30
07/13/2018 20206228	YAMASHITA, MARK M	SIGNAL SECURITIES RIA	B	0.00	329.33	0.00	329.33	90.0	296.40
07/13/2018 20556395	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	73.67	0.00	73.67	90.0	66.30
07/13/2018 22504665	WILLIAMS, MARIE CLAUDE	SIGNAL SECURITIES RIA	B	0.00	141.33	0.00	141.33	90.0	127.20
07/13/2018 23584507	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	232.67	0.00	232.67	90.0	209.40
07/13/2018 23996577	TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	90.0	24.60
07/13/2018 24030675	MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
07/13/2018 24184005	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	104.00	0.00	104.00	90.0	93.60
07/13/2018 25436755	NILLES, VICKIE	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
07/13/2018 26598701	STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
07/13/2018 28007804	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
07/13/2018 28346788	BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	90.0	4.50
07/13/2018 28373035	REEVES, JAMES &	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
MICAELA									
07/13/2018	28433693	ZITNAK, STEPHEN W	SIGNAL SECURITIES RIA	B	0.00	47.67	0.00	47.67	90.0 42.90
07/13/2018	28684378	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	204.67	0.00	204.67	90.0 184.20
07/13/2018	28705104	JOHNSON, JERRY D	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0 2.70
07/13/2018	29556870	HRUSKA, CATHERINE E	SIGNAL SECURITIES RIA	B	0.00	114.33	0.00	114.33	90.0 102.90
07/13/2018	29589313	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	122.33	0.00	122.33	90.0 110.10
07/13/2018	29650218	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0 4.80
07/13/2018	29746730	WILLIAMS,	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0 20.40
DONALD/GERALDIN									
07/13/2018	30072989	PYRIH, LUBA DARIA	SIGNAL SECURITIES RIA	B	0.00	160.00	0.00	160.00	90.0 144.00
07/13/2018	30322937	SWEENEY, MARY T	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0 179.40
07/13/2018	30347787	BLACK HILLS CORP,	SIGNAL SECURITIES RIA	B	0.00	56.33	0.00	56.33	90.0 50.70
FBO DAVID SAMUE									
07/13/2018	30647698	MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0 11.10
07/13/2018	30677407	PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	49.67	0.00	49.67	90.0 44.70
07/13/2018	30878907	QUINLISK, ERNIE J	SIGNAL SECURITIES RIA	B	0.00	303.33	0.00	303.33	90.0 273.00
07/13/2018	31781509	PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	144.33	0.00	144.33	90.0 129.90
07/13/2018	32417594	QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0 3.00
07/13/2018	32899427	WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	62.00	0.00	62.00	90.0 55.80
07/13/2018	32902956	CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	231.33	0.00	231.33	90.0 208.20
07/13/2018	32989023	TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	141.67	0.00	141.67	90.0 127.50
07/13/2018	33413216	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0 3.00
07/13/2018	33603400	PEDERSEN/SCHMELTZER,	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0 41.10
SIGNE R & LOUIS									
07/13/2018	33769726	WOOD, HEATHER C	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0 11.10
07/13/2018	34262259	JOHNSON, VICTORIA L	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0 6.30
07/13/2018	34296234	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0 16.50
07/13/2018	34299521	HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	460.67	0.00	460.67	90.0 414.60
07/13/2018	35039653	LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	56.33	0.00	56.33	90.0 50.70
07/13/2018	35053536	BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0 18.90
07/13/2018	35359393	KRANTZ,	SIGNAL SECURITIES RIA	B	0.00	269.67	0.00	269.67	90.0 242.70
RICHARD/SANDRA									
07/13/2018	36133640	GARGIULO, TIMOTHY	SIGNAL SECURITIES RIA	B	0.00	428.00	0.00	428.00	90.0 385.20
FRANCIS									
07/13/2018	37594469	KAHLER, KIM E & JANET	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0 12.30
C									
07/13/2018	37802064	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33	90.0 55.20
07/13/2018	38007046	TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0 12.60
07/13/2018	38167769	QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	116.33	0.00	116.33	90.0 104.70
07/13/2018	38488926	BEITELSPACHER REV.	SIGNAL SECURITIES RIA	B	0.00	417.33	0.00	417.33	90.0 375.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
	TRUST, NICOLE A.								
07/13/2018	38489479 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	173.00	0.00	173.00	90.0	155.70
07/13/2018	38652695 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	110.33	0.00	110.33	90.0	99.30
07/13/2018	39033876 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
07/13/2018	39663311 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	25.67	0.00	25.67	90.0	23.10
07/13/2018	39961103 WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	279.67	0.00	279.67	90.0	251.70
07/13/2018	40257767 HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	207.33	0.00	207.33	90.0	186.60
07/13/2018	40334505 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	90.0	28.20
07/13/2018	41168136 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	91.33	0.00	91.33	90.0	82.20
07/13/2018	41176823 SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	109.33	0.00	109.33	90.0	98.40
07/13/2018	41306013 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	98.33	0.00	98.33	90.0	88.50
07/13/2018	41561872 9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	345.00	0.00	345.00	90.0	310.50
07/13/2018	41568893 JOHNSON, CINDY K	SIGNAL SECURITIES RIA	B	0.00	480.67	0.00	480.67	90.0	432.60
07/13/2018	42294828 HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	90.0	24.60
07/13/2018	42576807 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	56.67	0.00	56.67	90.0	51.00
07/13/2018	42754170 ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,078.33	0.00	1,078.33	90.0	970.50
07/13/2018	42758212 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	105.00	0.00	105.00	90.0	94.50
07/13/2018	42775183 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	148.67	0.00	148.67	90.0	133.80
07/13/2018	43202964 CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
07/13/2018	43438628 JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	85.67	0.00	85.67	90.0	77.10
07/13/2018	43795301 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0	23.70
07/13/2018	43835783 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67	90.0	69.00
07/13/2018	43903697 LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	90.0	18.30
07/13/2018	43957902 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0	23.70
07/13/2018	44129020 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	90.0	29.70
07/13/2018	45307716 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
07/13/2018	45460890 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	90.0	42.30
07/13/2018	45973210 TENOLD, AMY FBO DACE T	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
07/13/2018	46518151 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
07/13/2018	46614088 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	156.67	0.00	156.67	90.0	141.00
07/13/2018	46830951 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	46838476 GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	397.67	0.00	397.67	90.0	357.90
07/13/2018	47144653 PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	90.0	55.50
07/13/2018	47378557 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
07/13/2018	47487556 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	83.33	0.00	83.33	90.0	75.00
07/13/2018	47601105 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	210.00	0.00	210.00	90.0	189.00
07/13/2018	47914778 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	94.33	0.00	94.33	90.0	84.90
07/13/2018	48427060 HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
07/13/2018	48682692 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0	23.70
07/13/2018	49346016 SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	243.33	0.00	243.33	90.0	219.00
07/13/2018	49831675 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0	23.70
07/13/2018	49985458 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	62.00	0.00	62.00	90.0	55.80
07/13/2018	49998746 MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	341.67	0.00	341.67	90.0	307.50
07/13/2018	50721833 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
07/13/2018	52829344 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00	90.0	53.10
07/13/2018	53704449 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	92.33	0.00	92.33	90.0	83.10
07/13/2018	53709669 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	314.67	0.00	314.67	90.0	283.20
07/13/2018	54158431 PIERCE, JOHN FB ABIGAIL	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
07/13/2018	54865811 THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	442.33	0.00	442.33	90.0	398.10
07/13/2018	54941170 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	208.00	0.00	208.00	90.0	187.20
07/13/2018	55526612 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	179.67	0.00	179.67	90.0	161.70
07/13/2018	56096498 BUREMAN, MICHAEL & RITA	SIGNAL SECURITIES RIA	B	0.00	124.67	0.00	124.67	90.0	112.20
07/13/2018	56292092 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	109.67	0.00	109.67	90.0	98.70
07/13/2018	56595062 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
07/13/2018	56816203 JACKSON, LISA M	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33	90.0	8.40
07/13/2018	58281647 ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
07/13/2018	59576874 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	245.33	0.00	245.33	90.0	220.80
07/13/2018	60169490 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
07/13/2018	60197288 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	24.33	0.00	24.33	90.0	21.90
07/13/2018	60253386 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	105.33	0.00	105.33	90.0	94.80
07/13/2018	60791579 SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	420.33	0.00	420.33	90.0	378.30
07/13/2018	61099660 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	151.67	0.00	151.67	90.0	136.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	61542054	MAAG, MONICA A		SIGNAL SECURITIES RIA	B	0.00	215.33	0.00	215.33 90.0 193.80
07/13/2018	62004058	BAUMANN, PATRICK J		SIGNAL SECURITIES RIA	B	0.00	59.33	0.00	59.33 90.0 53.40
07/13/2018	62382731	DIAMOND, NOAH		SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33 90.0 5.70
07/13/2018	62650715	KOENIG, RUTH A		SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33 90.0 35.40
07/13/2018	63023683	JOHNSON, J DANIEL		SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67 90.0 65.40
07/13/2018	63140775	MILLER, FLOYD R		SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33 90.0 59.70
07/13/2018	63781985	CAMMACK, RYAN L		SIGNAL SECURITIES RIA	B	0.00	100.00	0.00	100.00 90.0 90.00
07/13/2018	64110138	KINSDALE, JANE		SIGNAL SECURITIES RIA	B	0.00	118.00	0.00	118.00 90.0 106.20
07/13/2018	64351301	PFISTERER, MICHELLE L		SIGNAL SECURITIES RIA	B	0.00	159.33	0.00	159.33 90.0 143.40
07/13/2018	64404215	STARK, KATHLEEN A		SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00 90.0 137.70
07/13/2018	64764636	KELLY, KOREY		SIGNAL SECURITIES RIA	B	0.00	32.33	0.00	32.33 90.0 29.10
07/13/2018	64833041	EIRING, JOHN & DEBORAH		SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33 90.0 20.10
07/13/2018	65226021	GARGIULO, KAITLIN		SIGNAL SECURITIES RIA	B	0.00	178.00	0.00	178.00 90.0 160.20
07/13/2018	65557704	MCGINLEY, RONALD B		SIGNAL SECURITIES RIA	B	0.00	257.33	0.00	257.33 90.0 231.60
07/13/2018	66425418	MACOLINI, FRANK & RUTHANN		SIGNAL SECURITIES RIA	B	0.00	452.67	0.00	452.67 90.0 407.40
07/13/2018	66917531	BUREMAN, RITA L.		SIGNAL SECURITIES RIA	B	0.00	269.33	0.00	269.33 90.0 242.40
07/13/2018	68100959	BRINK, CHANDELLE SKY B.		SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67 90.0 5.10
07/13/2018	68495356	JOHNSON, J DANIEL		SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67 90.0 17.70
07/13/2018	68548550	KRANTZ, SANDRA L		SIGNAL SECURITIES RIA	B	0.00	93.67	0.00	93.67 90.0 84.30
07/13/2018	68732372	BERG, RONALD		SIGNAL SECURITIES RIA	B	0.00	698.67	0.00	698.67 90.0 628.80
07/13/2018	69503797	PIERCE, JOHN & JULIE		SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33 90.0 30.90
07/13/2018	69769351	EIRING, DEBORAH F		SIGNAL SECURITIES RIA	B	0.00	261.67	0.00	261.67 90.0 235.50
07/13/2018	70053665	HOLLEARN, RITA		SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33 90.0 14.70
07/13/2018	70228215	ECHTER, SHELLY K		SIGNAL SECURITIES RIA	B	0.00	343.67	0.00	343.67 90.0 309.30
07/13/2018	71751206	KELLER, SUSAN K		SIGNAL SECURITIES RIA	B	0.00	23.67	0.00	23.67 90.0 21.30
07/13/2018	71785581	GREGG, JOHN A.		SIGNAL SECURITIES RIA	B	0.00	78.67	0.00	78.67 90.0 70.80
07/13/2018	71981417	KAHLER, KIM E & JANET C		SIGNAL SECURITIES RIA	B	0.00	65.67	0.00	65.67 90.0 59.10
07/13/2018	72180753	RHODES, MARK L		SIGNAL SECURITIES RIA	B	0.00	379.00	0.00	379.00 90.0 341.10
07/13/2018	73270490	CONNOLLY, BRIAN		SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00 90.0 2.70
07/13/2018	73463753	QUADE, WILLIAM & JEAN		SIGNAL SECURITIES RIA	B	0.00	35.33	0.00	35.33 90.0 31.80
07/13/2018	73606431	STEPHENS, VALERIE		SIGNAL SECURITIES RIA	B	0.00	2,071.33	0.00	2,071.33 90.0 1,864.20
07/13/2018	73984739	ACKERMAN, LAVONNE L		SIGNAL SECURITIES RIA	B	0.00	243.00	0.00	243.00 90.0 218.70
07/13/2018	74090733	BAUMANN, GINGER G		SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00 90.0 15.30
07/13/2018	74134537	JOHNSON, RUTHANNE		SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00 90.0 22.50
07/13/2018	74561646	JOHNSON, JEFFREY D		SIGNAL SECURITIES RIA	B	0.00	43.33	0.00	43.33 90.0 39.00
07/20/2018	74561646	JOHNSON, JEFFREY D		SIGNAL SECURITIES RIA	B	0.00	174.33	0.00	174.33 90.0 156.90

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	74832638 STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	81.67	0.00	81.67	90.0	73.50
07/13/2018	75152036 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
07/13/2018	75826019 WILLIAMS, GERALDINE	SIGNAL SECURITIES RIA	B	0.00	148.67	0.00	148.67	90.0	133.80
07/13/2018	76522415 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	163.67	0.00	163.67	90.0	147.30
07/13/2018	76748838 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
07/13/2018	76891257 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	140.67	0.00	140.67	90.0	126.60
07/13/2018	78957791 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	398.33	0.00	398.33	90.0	358.50
07/13/2018	78986866 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	311.33	0.00	311.33	90.0	280.20
07/13/2018	79301514 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	65.33	0.00	65.33	90.0	58.80
07/13/2018	79495850 RAPID CITY MEDICAL CTR	SIGNAL SECURITIES RIA	B	0.00	525.00	0.00	525.00	90.0	472.50
07/13/2018	79828155 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	90.0	9.90
07/13/2018	80391965 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
07/13/2018	80875540 ROMERO, DALE E.	SIGNAL SECURITIES RIA	B	0.00	225.00	0.00	225.00	90.0	202.50
07/13/2018	81096523 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
07/13/2018	81501198 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	388.00	0.00	388.00	90.0	349.20
07/13/2018	81836304 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	40.00	0.00	40.00	90.0	36.00
07/13/2018	82190461 TENOLD, AMY FBO DAWSON	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
07/13/2018	82997248 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	160.67	0.00	160.67	90.0	144.60
07/13/2018	83018285 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
07/13/2018	83255347 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	215.00	0.00	215.00	90.0	193.50
07/13/2018	83344292 BORK, WALLACE D	SIGNAL SECURITIES RIA	B	0.00	483.00	0.00	483.00	90.0	434.70
07/13/2018	83355639 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
07/13/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
07/13/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	137.33	0.00	137.33	90.0	123.60
07/13/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
07/13/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	167.67	0.00	167.67	90.0	150.90
07/13/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	81.00	0.00	81.00	90.0	72.90
07/13/2018	85822210 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
07/13/2018	85996569 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
07/19/2018	86018805 TENOLD, AMY FBO DUALY O	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
07/13/2018	86057224 EIRING, JOHN &	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
DEBORAH									
07/13/2018	86469888 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00	90.0	126.00
07/13/2018	87210372 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
07/13/2018	87992733 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90
07/13/2018	88251717 KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	93.00	0.00	93.00	90.0	83.70
07/13/2018	88260407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
07/13/2018	88353473 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	164.00	0.00	164.00	90.0	147.60
07/13/2018	89139909 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
07/13/2018	89348302 GARGIULO, TIMOTHY	SIGNAL SECURITIES RIA	B	0.00	612.67	0.00	612.67	90.0	551.40
FRANCIS									
07/13/2018	89790665 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	859.67	0.00	859.67	90.0	773.70
07/13/2018	91013710 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
07/13/2018	92186431 WILLIAMS,	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
DONALD/GERALDIN									
07/13/2018	92202296 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
07/13/2018	92327955 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
07/13/2018	92545805 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	92927312 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
07/13/2018	93010997 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	411.00	0.00	411.00	90.0	369.90
07/13/2018	93015086 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	19.00	0.00	19.00	90.0	17.10
07/13/2018	93046418 WILLIAMS,	SIGNAL SECURITIES RIA	B	0.00	312.33	0.00	312.33	90.0	281.10
DONALD/GERALDIN									
07/13/2018	94507995 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
07/13/2018	94629096 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
07/13/2018	94635069 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	304.67	0.00	304.67	90.0	274.20
07/13/2018	95008736 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	225.00	0.00	225.00	90.0	202.50
07/13/2018	95368200 REEVES, MICAELA S	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
07/13/2018	95392118 O'NEILL, WILLIAM C	SIGNAL SECURITIES RIA	B	0.00	259.00	0.00	259.00	90.0	233.10
07/13/2018	95605369 BARKER/STEVENS,	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00	90.0	64.80
KERRY/STEPHANIE									
07/13/2018	95863247 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	85.33	0.00	85.33	90.0	76.80
07/13/2018	96072766 PROFFITT, SUSAN N.	SIGNAL SECURITIES RIA	B	0.00	145.67	0.00	145.67	90.0	131.10
07/13/2018	96167222 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	120.67	0.00	120.67	90.0	108.60
07/13/2018	96706135 WOLF, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00	90.0	123.30
07/13/2018	96806247 DIAMOND, KENNETH C.	SIGNAL SECURITIES RIA	B	0.00	273.00	0.00	273.00	90.0	245.70
07/13/2018	96937079 REEVES, JAMES &	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
MICAELA									
07/13/2018	96946210 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	215.33	0.00	215.33	90.0	193.80
07/13/2018	97095581 SCHMIDT, GERALD &	SIGNAL SECURITIES RIA	B	0.00	59.33	0.00	59.33	90.0	53.40
JUDITH									

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	97641202 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
07/13/2018	97680079 STEVENS, STEPHANIE A	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	90.0	10.80
07/13/2018	97927074 GARGIULO, HELEN PATRICIA P.	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
07/13/2018	98361164 SANDER, RANDY L	SIGNAL SECURITIES RIA	B	0.00	155.67	0.00	155.67	90.0	140.10
07/13/2018	98617795 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	124.33	0.00	124.33	90.0	111.90
07/13/2018	98654266 DODGE, BRIAN D	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
07/13/2018	98834954 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	44.67	0.00	44.67	90.0	40.20
07/13/2018	98954969 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	97.33	0.00	97.33	90.0	87.60
07/13/2018	99111980 WILSON, VICKY L	SIGNAL SECURITIES RIA	B	0.00	124.67	0.00	124.67	90.0	112.20
07/13/2018	99353116 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	90.0	72.30
07/13/2018	99580715 BUREMAN, MICHAEL& RITA	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
* RIA SUBTOTAL *				\$0.00	\$32,303.61	\$0.00	\$32,303.61		\$29,073.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	2.05	0.00	2.05	90.0	1.84
08/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	22.33	0.00	22.33	90.0	20.11
08/01/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,032.85	0.00	1,032.85	90.0	929.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,057.23	\$0.00	\$1,057.23		\$951.52
*** BROKER TRANSACTIONS TOTAL ***				\$74,156.62	\$38,141.73	\$0.00	\$38,141.73		\$34,327.89
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: KELSEY MCDANIEL #9660</u>									
07/16/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50		0.50
07/20/2018	00086842890 BROWN, TAMI	THE GROWTH FUND OF AMERICA-C	B	125.00	1.25	0.00	1.25		0.25
07/19/2018	00087677954 RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A	B	552.99	27.65	0.00	27.65		5.53
07/19/2018	00087677954 RULAND, RICHARD	AMERICAN FUNDS 2035 TARGET DATE-A	B	552.99	27.65	0.00	27.65		5.53
07/09/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.89	3.84	0.00	3.84		0.77
07/09/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.89	3.84	0.00	3.84		0.77
07/20/2018	04000692266 FIESTER, JONATHAN D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50		0.50
07/12/2018	04000889219 BROWN, DEANNE	AMERICAN FUNDS INCOME FD OF AMERI	B	400.00	15.00	0.00	15.00		3.00
07/09/2018	04000958332 CONNORS, TARYN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	47.83	2.39	0.00	2.39		0.48
07/09/2018	04000958332 CONNORS, TARYN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	47.83	2.39	0.00	2.39		0.48
07/09/2018	04000958363 HUKILL, RYAN A.	AMERICAN FUNDS NEW ECONOMY FD	B	52.57	2.63	0.00	2.63		0.53

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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 OVERRIDE TRANSACTIONS **BROKER: KELSEY MCDANIEL #9660**

07/09/2018	04000958363	HUKILL, RYAN A.	AMERICAN FUNDS NEW ECONOMY FD	B	70.09	3.50	0.00	3.50	0.70
07/09/2018	04000961218	EDDINGTON, TORI A.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	1,646.38	82.32	0.00	82.32	16.46
07/20/2018	04000961286	EDDINGTON, TORI A.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	1.00
07/12/2018	04000993025	VARILEK, SARAH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	49.50	2.48	0.00	2.48	0.50
07/12/2018	04000993025	VARILEK, SARAH	AMERICAN FUNDS NEW ECONOMY FD	B	49.50	2.48	0.00	2.48	0.50
07/12/2018	04000993025	VARILEK, SARAH	AMERICAN FUNDS SMALL CAP WORLD FD	B	51.00	2.55	0.00	2.55	0.51
07/16/2018	04001022017	MENDEL, NAOMI J.	AMERICAN FUNDS BALANCED FD	B	15,370.86	576.41	0.00	576.41	115.28
07/16/2018	04001022017	MENDEL, NAOMI J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	15,836.65	593.87	0.00	593.87	118.77
07/16/2018	04001022017	MENDEL, NAOMI J.	AMERICAN FUNDS NEW ECONOMY FD	B	15,370.86	576.41	0.00	576.41	115.28
07/20/2018	04001035813	BENVENGA, TYLER J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	0.50
07/20/2018	04001035813	BENVENGA, TYLER J.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50	0.50
07/20/2018	04001035813	BENVENGA, TYLER J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	0.50
07/20/2018	04001035825	BENVENGA, EMILY L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	0.50
07/20/2018	04001035825	BENVENGA, EMILY L.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50	0.50
07/20/2018	04001035825	BENVENGA, EMILY L.	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	0.50
07/13/2018	57775487	VALLETTE, DAVID ROBERT	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	15.60
07/13/2018	98756097	MENDEL, SETH ALEXANDER	SIGNAL SECURITIES RIA	B	0.00	94.00	0.00	94.00	18.80
07/13/2018	99338479	DREXLER, FLOYD MICHAEL	SIGNAL SECURITIES RIA	B	0.00	226.00	0.00	226.00	45.20
* KELSEY MCDANIEL SUBTOTAL *					<u>\$50,877.83</u>	<u>\$2,349.66</u>	<u>\$0.00</u>	<u>\$2,349.66</u>	<u>\$469.94</u>
*** OVERRIDE TRANSACTIONS TOTAL ***					<u>\$50,877.83</u>	<u>\$2,349.66</u>	<u>\$0.00</u>	<u>\$2,349.66</u>	<u>\$469.94</u>

 ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
08/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00	-256.00
08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-383.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$34,327.89
Split Transactions	\$0.00
Override Transactions	\$469.94
Adjustments	\$-383.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$34,414.33
Year-to-date Earnings	\$338,282.45