

All Companies
STATEMENT
June 20, 2018

CYNTHIA HERRON - LPCM
2321 Travis Lane
#608
Overland Park, KS 66209-

BROKER #: 3227
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
06/01/2018	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,872.86	4.68	92.0	0.00	4.68	0.00	4.68
06/01/2018	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,872.86	-0.54	92.0	0.00	-0.54	0.00	-0.54
06/01/2018	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,443.72	28.59	92.0	0.00	28.59	0.00	28.59
06/01/2018	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,443.72	-13.72	92.0	0.00	-13.72	0.00	-13.72
06/01/2018	#617781 TCA HERRON VAR	HZI FOCUSED	49,430.36	51.49	92.0	0.00	51.49	0.00	51.49
06/01/2018	#654795 HOFFMANN, JASON	HZI FOCUSED	29,341.84	30.56	92.0	0.00	30.56	0.00	30.56
06/01/2018	#656031	HZI FOCUSED	37,696.29	39.27	92.0	0.00	39.27	0.00	39.27
06/01/2018	#617781 TCA HERRON VAR	HZI FOCUSED	49,430.36	-24.71	92.0	0.00	-24.71	0.00	-24.71
06/01/2018	#654795 HOFFMANN, JASON	HZI FOCUSED	29,341.84	-14.67	92.0	0.00	-14.67	0.00	-14.67
06/01/2018	#656031	HZI FOCUSED	37,696.29	-18.84	92.0	0.00	-18.84	0.00	-18.84
06/01/2018	#512318 BAUM, HARRY	HZI FOCUSED W RA	152,726.18	159.09	92.0	0.00	159.09	0.00	159.09
06/01/2018	#512491 BAUM, HARRY	HZI FOCUSED W RA	70,183.36	73.11	92.0	0.00	73.11	0.00	73.11
06/01/2018	#512318 BAUM, HARRY	HZI FOCUSED W RA	152,726.18	-76.36	92.0	0.00	-76.36	0.00	-76.36
06/01/2018	#512491 BAUM, HARRY	HZI FOCUSED W RA	70,183.36	-35.09	92.0	0.00	-35.09	0.00	-35.09
06/01/2018	#512459 BAUM, CONNIE	HZI GROWTH	47,546.62	49.53	92.0	0.00	49.53	0.00	49.53
06/01/2018	#513527 WARD-MARCUM,	HZI GROWTH	59,559.51	62.04	92.0	0.00	62.04	0.00	62.04
06/01/2018	#513535 MARCUM, MICHAEL	HZI GROWTH	30,169.43	31.43	92.0	0.00	31.43	0.00	31.43
06/01/2018	#516171 TAYLOR-WHEATON, R	HZI GROWTH	29,401.37	30.63	92.0	0.00	30.63	0.00	30.63
06/01/2018	#516173 WHEATON, RICK	HZI GROWTH	29,433.27	30.66	92.0	0.00	30.66	0.00	30.66
06/01/2018	#516178 CANTRELL, MARGIE	HZI GROWTH	86,262.59	89.86	92.0	0.00	89.86	0.00	89.86
06/01/2018	#525752 TCA HERRON VAR	HZI GROWTH	63,811.32	66.47	92.0	0.00	66.47	0.00	66.47
06/01/2018	#525989 TCA HERRON VAR	HZI GROWTH	29,118.46	30.33	92.0	0.00	30.33	0.00	30.33
06/01/2018	#534867 KELLY, STEVEN	HZI GROWTH	28,213.56	29.39	92.0	0.00	29.39	0.00	29.39
06/01/2018	#543979 RUSSELL, JOHN	HZI GROWTH	42,973.33	44.76	92.0	0.00	44.76	0.00	44.76
06/01/2018	#544680 MARCUM, MICHAEL	HZI GROWTH	36,561.31	38.09	92.0	0.00	38.09	0.00	38.09
06/01/2018	#552059 RARDIN, JOHN	HZI GROWTH	30,186.13	31.44	92.0	0.00	31.44	0.00	31.44
06/01/2018	#552100 STRACHAN, GRACE	HZI GROWTH	112,421.32	117.11	92.0	0.00	117.11	0.00	117.11
06/01/2018	#566198 TCA HERRON VAR	HZI GROWTH	43,081.50	107.71	92.0	0.00	107.71	0.00	107.71

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#638144 TCA HERRON VAR	HZI GROWTH	28,525.65	29.71	92.0	0.00	29.71	0.00	29.71
06/01/2018	#654603 STRACHAN, GRACE	HZI GROWTH	99,309.60	103.45	92.0	0.00	103.45	0.00	103.45
06/01/2018	#512459 BAUM, CONNIE	HZI GROWTH	47,546.62	-23.77	92.0	0.00	-23.77	0.00	-23.77
06/01/2018	#513527 WARD-MARCUM,	HZI GROWTH	59,559.51	-29.77	92.0	0.00	-29.77	0.00	-29.77
06/01/2018	#513535 MARCUM, MICHAEL	HZI GROWTH	30,169.43	-15.08	92.0	0.00	-15.08	0.00	-15.08
06/01/2018	#516171 TAYLOR-WHEATON, R	HZI GROWTH	29,401.37	-14.70	92.0	0.00	-14.70	0.00	-14.70
06/01/2018	#516173 WHEATON, RICK	HZI GROWTH	29,433.27	-14.71	92.0	0.00	-14.71	0.00	-14.71
06/01/2018	#516178 CANTRELL, MARGIE	HZI GROWTH	86,262.59	-43.13	92.0	0.00	-43.13	0.00	-43.13
06/01/2018	#525752 TCA HERRON VAR	HZI GROWTH	63,811.32	-31.90	92.0	0.00	-31.90	0.00	-31.90
06/01/2018	#525989 TCA HERRON VAR	HZI GROWTH	29,118.46	-14.55	92.0	0.00	-14.55	0.00	-14.55
06/01/2018	#534867 KELLY, STEVEN	HZI GROWTH	28,213.56	-14.10	92.0	0.00	-14.10	0.00	-14.10
06/01/2018	#543979 RUSSELL, JOHN	HZI GROWTH	42,973.33	-21.48	92.0	0.00	-21.48	0.00	-21.48
06/01/2018	#544680 MARCUM, MICHAEL	HZI GROWTH	36,561.31	-18.28	92.0	0.00	-18.28	0.00	-18.28
06/01/2018	#552059 RARDIN, JOHN	HZI GROWTH	30,186.13	-15.09	92.0	0.00	-15.09	0.00	-15.09
06/01/2018	#552100 STRACHAN, GRACE	HZI GROWTH	112,421.32	-56.21	92.0	0.00	-56.21	0.00	-56.21
06/01/2018	#566198 TCA HERRON VAR	HZI GROWTH	43,081.50	-21.54	92.0	0.00	-21.54	0.00	-21.54
06/01/2018	#638144 TCA HERRON VAR	HZI GROWTH	28,525.65	-14.26	92.0	0.00	-14.26	0.00	-14.26
06/01/2018	#654603 STRACHAN, GRACE	HZI GROWTH	99,309.60	-49.65	92.0	0.00	-49.65	0.00	-49.65
06/01/2018	#513538 MOOT, PEGGY	HZI MODERATE	30,513.34	25.43	92.0	0.00	25.43	0.00	25.43
06/01/2018	#516890 ALBIN, JANETTE	HZI MODERATE	28,271.08	29.45	92.0	0.00	29.45	0.00	29.45
06/01/2018	#543962 RUSSELL, JOHN	HZI MODERATE	31,533.83	32.85	92.0	0.00	32.85	0.00	32.85
06/01/2018	#556450 GALVAS, MARIE	HZI MODERATE	248,620.11	258.98	92.0	0.00	258.98	0.00	258.98
06/01/2018	#611065 TCA HERRON VAR	HZI MODERATE	42,006.20	43.76	92.0	0.00	43.76	0.00	43.76
06/01/2018	#654638 STRACHAN, GRACE	HZI MODERATE	392,747.05	409.11	92.0	0.00	409.11	0.00	409.11
06/01/2018	#513538 MOOT, PEGGY	HZI MODERATE	30,513.34	-15.25	92.0	0.00	-15.25	0.00	-15.25
06/01/2018	#516890 ALBIN, JANETTE	HZI MODERATE	28,271.08	-14.13	92.0	0.00	-14.13	0.00	-14.13
06/01/2018	#543962 RUSSELL, JOHN	HZI MODERATE	31,533.83	-15.76	92.0	0.00	-15.76	0.00	-15.76
06/01/2018	#556450 GALVAS, MARIE	HZI MODERATE	248,620.11	-124.31	92.0	0.00	-124.31	0.00	-124.31
06/01/2018	#611065 TCA HERRON VAR	HZI MODERATE	42,006.20	-21.00	92.0	0.00	-21.00	0.00	-21.00
06/01/2018	#654638 STRACHAN, GRACE	HZI MODERATE	392,747.05	-196.37	92.0	0.00	-196.37	0.00	-196.37
06/01/2018	#513542 SANTOS, MONICA	TCA NULL MODEL	103,788.03	-51.89	92.0	0.00	-51.89	0.00	-51.89
* RIA SUBTOTAL *			\$3,981,710.41	\$1,058.12		\$0.00	\$1,058.12	\$0.00	\$1,058.12
*** BROKER TRANSACTIONS TOTAL ***			\$3,981,710.41	\$1,058.12		\$0.00	\$1,058.12	\$0.00	\$1,058.12

BROKER COMMISSION TOTALS

Broker Transactions	\$1,058.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,058.12
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