



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2018	***-**-663I - LASCALA, DEBORAH	AMERICAN GLOBAL BALANCED CL C	25,000.01	268.00	18.00	250.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 784 Units @31.8900						
08/15/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00083510637	BUY 2 Units @49.5100						
08/15/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00083510745	BUY 2 Units @49.5100						
08/13/2018	***-**-663I - LASCALA, DEBORAH	FUNDAMENTAL INVESTORS-C	15,000.01	168.00	18.00	150.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 237 Units @63.3500						
08/13/2018	***-**-376I - BERBEN, GAIL	GLOBAL OPPORTUNITIES FUND C	9,999.98	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR011380	BUY 159 Units @62.8400						
08/13/2018	***-**-663I - LASCALA, DEBORAH	GLOBAL OPPORTUNITIES FUND C	9,999.98	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 159 Units @62.8400						
08/10/2018	***-**-663I - LASCALA, DEBORAH	MFS CONSERVATIVE ALLOCATION CL C	20,000.00	218.00	18.00	200.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 1299 Units @15.4000						
08/13/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	10,000.00	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR011380	BUY 651 Units @15.3500						
08/10/2018	***-**-663I - LASCALA, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	15,000.00	168.00	18.00	150.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 1233 Units @12.1700						
08/13/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	7,500.00	93.00	18.00	75.00	0.0	0.00
	ACCOUNT #: LSR011380	BUY 618 Units @12.1300						
08/13/2018	***-**-663I - LASCALA, DEBORAH	MFS GLOBAL NEW DISCOVERY FUND CL C	10,000.01	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 510 Units @19.5900						
08/13/2018	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	499.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011107	SELL 28 Units @17.9100						
08/13/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011107	SELL 96 Units @10.4100						
08/10/2018	***-**-663I - LASCALA, DEBORAH	MFS TECHNOLOGY CLASS C	14,999.99	168.00	18.00	150.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 391 Units @38.4100						
08/13/2018	***-**-376I - BERBEN, GAIL	MFS TECHNOLOGY CLASS C	4,999.98	68.00	18.00	50.00	0.0	0.00
	ACCOUNT #: LSR011380	BUY 131 Units @38.2900						
08/13/2018	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011107	SELL 48 Units @20.6500						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/08/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	90.98	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
08/13/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						
08/13/2018	***-**-663I - LASCALA, DEBORAH	RESOURCE CREDIT INCOME FUND C	20,000.00	218.00	18.00	200.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 1768 Units @11.3100						
08/15/2018	***-**-851I - BLAKE, JAMES	RESOURCE CREDIT INCOME FUND C	5,750.00	75.50	18.00	57.50	0.0	0.00
	ACCOUNT #: LSR012390	BUY 508 Units @11.3300						
08/13/2018	***-**-663I - LASCALA, DEBORAH	RESOURCE REAL ESTATE-NFS	15,000.00	168.00	18.00	150.00	0.0	0.00
	ACCOUNT #: LSR012790	BUY 1442 Units @10.4000						
* MUTUAL FUNDS SUBTOTAL *			\$186,065.93	\$2,141.66	\$306.00	\$1,835.66		\$0.00
PRODUCT CATEGORY: STOCKS								
08/10/2018	***-**-376I - BERBEN, GAIL	BERKSHIRE HATHAWAY INC DEL CL B NEW	10,302.50	177.49	18.00	159.49	0.0	0.00
	ACCOUNT #: LSR011380	SELL 50 Units @206.0500						
08/10/2018	***-**-726I - YADDAW, DEBRA	BERKSHIRE HATHAWAY INC DEL CL B NEW	10,300.00	147.49	18.00	129.49	0.0	0.00
	ACCOUNT #: LSR012338	SELL 50 Units @206.0000						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	BLACKROCK ENHANCED DIVID ACHIEVERS	6,838.89	86.38	29.66	56.72	0.0	0.00
	ACCOUNT #: LSR011256	SELL 733 Units @9.3300						
08/13/2018	***-**-439J - MCCARTHY, JAMES	CHEVRON CORP NEW SOLICITED ORDER	30,695.00	347.49	20.00	327.49	0.0	0.00
	ACCOUNT #: LSR011205	SELL 250 Units @122.7800						
08/13/2018	***-**-579I - RHYMESTINE,	GENESIS HEALTHCARE INC CL A COM ISI	2,445.00	77.49	45.00	32.49	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1500 Units @1.6300						
08/14/2018	***-**-89IN - LEE, ROGER	GENESIS HEALTHCARE INC CL A COM ISI	4,025.00	77.49	65.00	12.49	0.0	0.00
	ACCOUNT #: LSR011166	BUY 2500 Units @1.6100						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	GENESIS HEALTHCARE INC CL A COM ISI	3,020.00	49.99	55.00	-5.01	100.0	-5.01
	ACCOUNT #: LSR012791	BUY 2000 Units @1.5100						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	NATIONAL GRID SPON ADR EACH REP 5 O	3,696.00	34.12	16.40	17.72	0.0	0.00
	ACCOUNT #: LSR012791	BUY 70 Units @52.8000						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	NATIONAL GRID SPON ADR EACH REP 5 O	6,864.00	63.37	2.60	60.77	0.0	0.00
	ACCOUNT #: LSR012791	BUY 130 Units @52.8000						
08/13/2018	***-**-79IR - RICHARD R.	NORDIC AMERICAN TANKERS LIMITE COM	3,480.00	57.49	45.00	12.49	0.0	0.00
	ACCOUNT #: LSR011240	BUY 1500 Units @2.3200						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/13/2018	***-**-43IN - FRANZ, WAYNE	PHILIP MORRIS INTL INC COM SOLICITE	8,196.00	87.49	17.00	70.49	0.0	0.00
	ACCOUNT #: LSR012636	SELL 100 Units @81.9600						
08/13/2018	***-**-43IN - FRANZ, WAYNE	PRUDENTIAL FINL INC UNSOLICITED ORD	4,441.76	77.49	15.92	61.57	0.0	0.00
	ACCOUNT #: LSR012636	SELL 46 Units @96.5600						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	STEIN MART INC COM	5,500.00	57.49	18.00	39.49	0.0	0.00
	ACCOUNT #: LSR012791	BUY 2000 Units @2.7500						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	1,058.68	17.43	15.38	2.05	0.0	0.00
	ACCOUNT #: LSR011256	SELL 19 Units @55.7200						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	1,058.68	17.43	0.38	17.05	0.0	0.00
	ACCOUNT #: LSR011256	SELL 19 Units @55.7200						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	668.64	11.01	0.24	10.77	0.0	0.00
	ACCOUNT #: LSR011256	SELL 12 Units @55.7200						
* STOCKS SUBTOTAL *			\$102,590.15	\$1,387.14	\$381.58	\$1,005.56		\$-5.01
PRODUCT CATEGORY: VARIABLE ANNUITIES								
08/16/2018	***-**-213I - GRUENEWALD,	PACIFIC LIFE SE5-NYB DTCC	170.02	5.10	0.00	5.10	0.0	0.00
	ACCOUNT #: VP71028580	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$170.02	\$5.10	\$0.00	\$5.10		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
08/15/2018	***-**-614J - BUSHEY, MATTHEW	CHIMERA INVT CORP COM NEW ISIN #US1	818.40	10.43	24.00	-13.57	100.0	-13.57
	ACCOUNT #: LSR011256	SELL 44 Units @18.6000						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	CHIMERA INVT CORP COM NEW ISIN #US1	4,650.00	59.25	0.00	59.25	0.0	0.00
	ACCOUNT #: LSR011256	SELL 250 Units @18.6000						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	CHIMERA INVT CORP COM NEW ISIN #US1	1,097.40	13.98	0.00	13.98	0.0	0.00
	ACCOUNT #: LSR011256	SELL 59 Units @18.6000						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$6,565.80	\$83.66	\$24.00	\$59.66		\$-13.57
PRODUCT CATEGORY: 529 PLAN								
08/15/2018	***-**-5375 - CARTER, LISA	AMERICAN BALANCED FUND-529C	500.00	5.00	0.00	5.00	0.0	0.00
	ACCOUNT #: 00083996363	BUY 18 Units @27.4600						
* 529 PLAN SUBTOTAL *			\$500.00	\$5.00	\$0.00	\$5.00		\$0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-702I - KOCHER, MICHAEL	37252M108	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	37252M108	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	37252M108	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	AF MODERATE GROWTH AND INCOME-A	0.00	4.23	0.00	4.23	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	AF MODERATE GROWTH AND INCOME-A	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	AF MODERATE GROWTH AND INCOME-A	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-808J - GORMAN, RANDY	AF MODERATE GROWTH AND INCOME-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	AF MODERATE GROWTH AND INCOME-A	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-71IO - FAMOLARO, JAMES	AIM GLOBAL VALUE FUND - CLASS A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	AIM GOLD & PRECIOUS METALS FD - A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-580X - COBB, JAMES	AIM GOLD & PRECIOUS METALS FD - A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***-**-837O - BASEMENT	AIM GOLD & PRECIOUS METALS FD - A	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	AMERICAN BALANCED FUND-A	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	AMERICAN BALANCED FUND-A	0.00	2.97	0.00	2.97	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	AMERICAN BALANCED FUND-C	0.00	15.64	0.00	15.64	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	AMERICAN BALANCED FUND-C	0.00	3.95	0.00	3.95	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-52IO - EUSEPI, SUSAN	AMERICAN BALANCED FUND-C	0.00	5.32	0.00	5.32	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	AMERICAN BALANCED FUND-C	0.00	15.87	0.00	15.87	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	AMERICAN FUNDS BALANCED PORT CL C	0.00	8.91	0.00	8.91	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	AMERICAN FUNDS BALANCED PORT CL C	0.00	3.71	0.00	3.71	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS BALANCED PORT CL C	0.00	22.91	0.00	22.91	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS BALANCED PORT CL C	0.00	6.25	0.00	6.25	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.77	0.00	4.77	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	AMERICAN FUNDS BALANCED PORT CL C	0.00	12.16	0.00	12.16	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	AMERICAN FUNDS BALANCED PORT CL C	0.00	10.69	0.00	10.69	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	AMERICAN FUNDS BALANCED PORT CL C	0.00	7.18	0.00	7.18	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	AMERICAN FUNDS BALANCED PORT CL C	0.00	12.05	0.00	12.05	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	AMERICAN FUNDS BALANCED PORT CL C	0.00	8.02	0.00	8.02	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	AMERICAN FUNDS BALANCED PORT CL C	0.00	12.01	0.00	12.01	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	AMERICAN FUNDS BALANCED PORT CL C	0.00	5.55	0.00	5.55	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-73UT - COBB, CHRSTIAN	AMERICAN FUNDS BALANCED PORT CL C	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: LSR011104	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-67UT - COBB, ACCOUNT #: LSR011105	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.52	0.00	5.52	0.0	0.00
/ /	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	0.0	0.00
/ /	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	7.57	0.00	7.57	0.0	0.00
/ /	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	16.22	0.00	16.22	0.0	0.00
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.09	0.00	5.09	0.0	0.00
/ /	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.26	0.00	5.26	0.0	0.00
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.71	0.00	3.71	0.0	0.00
/ /	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.73	0.00	3.73	0.0	0.00
/ /	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.77	0.00	3.77	0.0	0.00
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.83	0.00	11.83	0.0	0.00
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS GLBL GROWTH PORT CL BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	0.0	0.00
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	AMERICAN FUNDS GLBL GROWTH PORT CL BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	0.0	0.00
/ /	***-**-87IN - HINCKLEY, ACCOUNT #: LSR010834	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	6.50	0.00	6.50	0.0	0.00
/ /	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	8.20	0.00	8.20	0.0	0.00
/ /	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.33	0.00	7.33	0.0	0.00
/ /	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	8.53	0.00	8.53	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-980I - ACEE, ELIZABETH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.92	0.00	12.92	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.82	0.00	12.82	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	4.94	0.00	4.94	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.88	0.00	12.88	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	10.31	0.00	10.31	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.70	0.00	7.70	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.51	0.00	8.51	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.63	0.00	8.63	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	29.62	0.00	29.62	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.47	0.00	8.47	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	22.08	0.00	22.08	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.42	0.00	6.42	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	13.79	0.00	13.79	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.99	0.00	2.99	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-439J - MCCARTHY, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.97	0.00	2.97	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-473J - HUSTON, ACCOUNT #: LSR011228	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	8.59	0.00	8.59	0.0	0.00
/ /	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	6.80	0.00	6.80	0.0	0.00
/ /	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	6.71	0.00	6.71	0.0	0.00
/ /	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	8.33	0.00	8.33	0.0	0.00
/ /	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	16.67	0.00	16.67	0.0	0.00
/ /	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	AMERICAN FUNDS PRESERVATION PORT C BUY 1 Units @0.0000	0.00	4.22	0.00	4.22	0.0	0.00
/ /	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	9.52	0.00	9.52	0.0	0.00
/ /	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	11.53	0.00	11.53	0.0	0.00
/ /	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	4.26	0.00	4.26	0.0	0.00
/ /	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	14.41	0.00	14.41	0.0	0.00
/ /	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	11.33	0.00	11.33	0.0	0.00
/ /	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	11.01	0.00	11.01	0.0	0.00
/ /	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	0.0	0.00
/ /	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	6.25	0.00	6.25	0.0	0.00
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	10.80	0.00	10.80	0.0	0.00
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	25.02	0.00	25.02	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-80IN - COBB, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	8.06	0.00	8.06	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	AMERICAN GLOBAL BALANCED CL C	0.00	13.43	0.00	13.43	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	AMERICAN GLOBAL BALANCED CL C	0.00	33.62	0.00	33.62	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	AMERICAN GLOBAL BALANCED CL C	0.00	16.99	0.00	16.99	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	AMERICAN GLOBAL BALANCED CL C	0.00	7.62	0.00	7.62	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	AMERICAN GLOBAL BALANCED CL C	0.00	18.43	0.00	18.43	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-07IO - FAMOLARO, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	5.77	0.00	5.77	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	AMERICAN GLOBAL BALANCED CL C	0.00	14.83	0.00	14.83	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	AMERICAN GLOBAL BALANCED CL C	0.00	5.81	0.00	5.81	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C	0.00	10.64	0.00	10.64	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	AMERICAN GLOBAL BALANCED CL C	0.00	17.38	0.00	17.38	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	AMERICAN GLOBAL BALANCED CL C	0.00	9.49	0.00	9.49	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	AMERICAN GLOBAL BALANCED CL C	0.00	8.47	0.00	8.47	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-03IO - MASTRANGELO,	AMERICAN GLOBAL BALANCED CL C	0.00	9.59	0.00	9.59	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-51IO - SCALISE, MARY	AMERICAN GLOBAL BALANCED CL C	0.00	7.73	0.00	7.73	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHEM, MICHAEL	AMERICAN GLOBAL BALANCED CLASS A	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-63TR - EVANS, AUDREY J	AMERICAN GLOBAL BALANCED CLASS A	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-287I - HINCKLEY,	AMERICAN MUTUAL FUND-A	0.00	5.57	0.00	5.57	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
/ /	***-**-805J - GATES, ROGER	AMERICAN MUTUAL FUND-C	0.00	2.85	0.00	2.85	0.0	0.00
	ACCOUNT #: LSR012733	BUY 1 Units @0.0000						
/ /	***-**-13IN - GRUENEWALD,	AMERICAN WASHINGTON MUTUAL INVESTOR	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011210	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	CAPITAL INCOME BUILDER CLASS C	0.00	5.10	0.00	5.10	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	CAPITAL INCOME BUILDER CLASS C	0.00	4.88	0.00	4.88	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER CLASS C	0.00	14.63	0.00	14.63	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	CAPITAL INCOME BUILDER CLASS C	0.00	4.78	0.00	4.78	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	CAPITAL INCOME BUILDER CLASS C	0.00	5.37	0.00	5.37	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	CAPITAL INCOME BUILDER CLASS C	0.00	8.83	0.00	8.83	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	CAPITAL INCOME BUILDER CLASS C	0.00	11.83	0.00	11.83	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	CAPITAL INCOME BUILDER CLASS C	0.00	9.49	0.00	9.49	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	CAPITAL INCOME BUILDER CLASS C	0.00	6.71	0.00	6.71	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	CAPITAL INCOME BUILDER CLASS C	0.00	7.34	0.00	7.34	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	CAPITAL INCOME BUILDER CLASS C	0.00	5.23	0.00	5.23	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-861I - SANGER, LAWRENCE	CAPITAL INCOME BUILDER CLASS C	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	CAPITAL INCOME BUILDER CLASS C	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	CAPITAL INCOME BUILDER CLASS C	0.00	3.87	0.00	3.87	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	CAPITAL INCOME BUILDER CLASS C	0.00	14.61	0.00	14.61	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	CAPITAL INCOME BUILDER CLASS C	0.00	7.00	0.00	7.00	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	CAPITAL INCOME BUILDER CLASS C	0.00	2.87	0.00	2.87	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	CAPITAL INCOME BUILDER CLASS C	0.00	11.19	0.00	11.19	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
/ /	***-**-287I - HINCKLEY,	CAPITAL INCOME BUILDER-A	0.00	4.09	0.00	4.09	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	3.70	0.00	3.70	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	CAPITAL INCOME BUILDER-A	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-20IO - DEMETRI, AMELIA	CAPITAL INCOME BUILDER-A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	CAPITAL INCOME BUILDER-A	0.00	2.21	0.00	2.21	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	CAPITAL INCOME BUILDER-A	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	CAPITAL INCOME BUILDER-A	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.80	0.00	3.80	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-20IO - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	0.0	0.00
/ /	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	0.0	0.00
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.59	0.00	1.59	0.0	0.00
/ /	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	0.0	0.00
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	0.0	0.00
/ /	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	0.0	0.00
/ /	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.53	0.00	2.53	0.0	0.00
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	13.17	0.00	13.17	0.0	0.00
/ /	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	10.80	0.00	10.80	0.0	0.00
/ /	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	10.67	0.00	10.67	0.0	0.00
/ /	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	8.09	0.00	8.09	0.0	0.00
/ /	***-**-71IO - FAMOLARO, JAMES ACCOUNT #: LSR011279	CLEARBRIDGE AGGRESSIVE GROWTH A BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	0.0	0.00
/ /	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	COLUMBIA MARSICO FOCUSED EQ CL A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	0.0	0.00
/ /	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	0.0	0.00
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	0.0	0.00
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-111J - NESSIA, JAMES	FIDELITY ADV EMERGING MRKTS INC CL	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	FIDELITY ADV EMERGING MRKTS INC CL	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	FIDELITY ADV EQUITY INCOME CL A	0.00	2.20	0.00	2.20	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADV INDUSTRIALS-CL C	0.00	14.52	0.00	14.52	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADV SMALL CAP CL C	0.00	5.30	0.00	5.30	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	5.15	0.00	5.15	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR EQUITY INCOME CL C	0.00	20.74	0.00	20.74	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR INT'L DISCOVERY CL	0.00	15.95	0.00	15.95	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR INTERMEDIATE BOND	0.00	8.28	0.00	8.28	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	FIDELITY ADVISOR MORTGAGE SECS CL A	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	FIDELITY ADVISOR MORTGAGE SECS CL A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR MORTGAGE SECS CL C	0.00	5.73	0.00	5.73	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	2.29	0.00	2.29	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	FUNDAMENTAL INVESTORS-A	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	FUNDAMENTAL INVESTORS-A	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	FUNDAMENTAL INVESTORS-A	0.00	2.04	0.00	2.04	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-A	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-128I - BASHANT, THOMAS	FUNDAMENTAL INVESTORS-A	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	FUNDAMENTAL INVESTORS-A	0.00	1.96	0.00	1.96	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	FUNDAMENTAL INVESTORS-C	0.00	3.66	0.00	3.66	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-C	0.00	11.61	0.00	11.61	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	FUNDAMENTAL INVESTORS-C	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	FUNDAMENTAL INVESTORS-C	0.00	3.01	0.00	3.01	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	FUNDAMENTAL INVESTORS-C	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	FUNDAMENTAL INVESTORS-C	0.00	5.06	0.00	5.06	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	FUNDAMENTAL INVESTORS-C	0.00	12.00	0.00	12.00	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	FUNDAMENTAL INVESTORS-C	0.00	8.45	0.00	8.45	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	FUNDAMENTAL INVESTORS-C	0.00	5.94	0.00	5.94	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	FUNDAMENTAL INVESTORS-C	0.00	12.14	0.00	12.14	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	FUNDAMENTAL INVESTORS-C	0.00	5.96	0.00	5.96	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	FUNDAMENTAL INVESTORS-C	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	3.67	0.00	3.67	0.0	0.00
/ /	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	11.65	0.00	11.65	0.0	0.00
/ /	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.89	0.00	4.89	0.0	0.00
/ /	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	5.23	0.00	5.23	0.0	0.00
/ /	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	5.81	0.00	5.81	0.0	0.00
/ /	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	7.74	0.00	7.74	0.0	0.00
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	3.81	0.00	3.81	0.0	0.00
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	3.38	0.00	3.38	0.0	0.00
/ /	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	11.46	0.00	11.46	0.0	0.00
/ /	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	9.20	0.00	9.20	0.0	0.00
/ /	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	12.47	0.00	12.47	0.0	0.00
/ /	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.20	0.00	4.20	0.0	0.00
/ /	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	8.98	0.00	8.98	0.0	0.00
/ /	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	13.04	0.00	13.04	0.0	0.00
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	19.32	0.00	19.32	0.0	0.00
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	GLOBAL GROWTH PORTFOLIO-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	9.77	0.00	9.77	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.26	0.00	3.26	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	GROWTH FUND OF AMERICA CLASS F	0.00	3.54	0.00	3.54	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	HARTFORD CHECKS AND BALANCED FUND C	0.00	2.62	0.00	2.62	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	HARTFORD INFLATION PLUS FUND CLASS	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	HARTFORD INFLATION PLUS FUND CLASS	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-287I - HINCKLEY,	INCOME FUND OF AMERICA CLASS C	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	INCOME FUND OF AMERICA CLASS C	0.00	12.25	0.00	12.25	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	INCOME FUND OF AMERICA CLASS C	0.00	11.01	0.00	11.01	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	INCOME FUND OF AMERICA CLASS C	0.00	1.78	0.00	1.78	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	INCOME FUND OF AMERICA CLASS C	0.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	INCOME FUND OF AMERICA CLASS C	0.00	19.51	0.00	19.51	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	INCOME FUND OF AMERICA CLASS C	0.00	32.50	0.00	32.50	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	14.80	0.00	14.80	0.0	0.00
/ /	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	10.35	0.00	10.35	0.0	0.00
/ /	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	4.97	0.00	4.97	0.0	0.00
/ /	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	11.34	0.00	11.34	0.0	0.00
/ /	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	11.75	0.00	11.75	0.0	0.00
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	9.38	0.00	9.38	0.0	0.00
/ /	***-**-702I - KOHEM, MICHAEL ACCOUNT #: LSR010954	INTERMEDIATE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.14	0.00	0.14	0.0	0.00
/ /	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	INTERMEDIATE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	5.47	0.00	5.47	0.0	0.00
/ /	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	INTERNATIONAL GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	0.0	0.00
/ /	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	INTERNATIONAL GROWTH AND INCOME-C BUY 1 Units @0.0000	0.00	13.12	0.00	13.12	0.0	0.00
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	INTERNATIONAL GROWTH AND INCOME-C BUY 1 Units @0.0000	0.00	11.90	0.00	11.90	0.0	0.00
/ /	***-**-71IO - FAMOLARO, JAMES ACCOUNT #: LSR011279	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 1 Units @0.0000	0.00	0.47	0.00	0.47	0.0	0.00
/ /	***-**-71IO - FAMOLARO, JAMES ACCOUNT #: LSR011279	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.42	0.00	0.42	0.0	0.00
/ /	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS AGGRESSIVE GROWTH ALLOCATION FD BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00
/ /	***-**-13IN - ROTH, KAREN ACCOUNT #: LSR011954	MFS BLENDED RESEARCH CORE EQUITY FD BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	0.0	0.00
/ /	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.83	0.00	9.83	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.75	0.00	9.75	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.43	0.00	4.43	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.67	0.00	18.67	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.58	0.00	4.58	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.74	0.00	17.74	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.44	0.00	17.44	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.04	0.00	8.04	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	3.12	0.00	3.12	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.69	0.00	7.69	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	5.00	0.00	5.00	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	15.15	0.00	15.15	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.16	0.00	18.16	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.40	0.00	4.40	0.0	0.00
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						
/ /	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.59	0.00	6.59	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.39	0.00	14.39	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.84	0.00	9.84	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	11.08	0.00	11.08	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	11.59	0.00	11.59	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.54	0.00	9.54	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.91	0.00	7.91	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
/ /	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.14	0.00	0.14	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
/ /	***-**-35IN - RUGGIERO, LAURIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
/ /	***-**-31IO - JOSEPH, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
/ /	***-**-726I - YADDAW, DEBRA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012338	BUY 1 Units @0.0000						
/ /	***-**-52IN - ROBERTS, LILLIAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
/ /	***-**-851I - BLAKE, JAMES	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012390	BUY 1 Units @0.0000						
/ /	***-**-188I - BRADLEY, WAYNE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.21	0.00	2.21	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.66	0.00	5.66	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.67	0.00	2.67	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-448X - ANACKER, SUSAN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	MFS DIVERSIFIED INCOME FD CL C	0.00	4.66	0.00	4.66	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	MFS DIVERSIFIED INCOME FD CL C	0.00	9.37	0.00	9.37	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	MFS DIVERSIFIED INCOME FD CL C	0.00	17.01	0.00	17.01	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS DIVERSIFIED INCOME FD CL C	0.00	13.23	0.00	13.23	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
/ /	***-**-132O - EVANS, THOMAS	MFS DIVERSIFIED INCOME FD CL C	0.00	8.33	0.00	8.33	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	0.00	21.57	0.00	21.57	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	11.29	0.00	11.29	0.0	0.00
/ /	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	14.51	0.00	14.51	0.0	0.00
/ /	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.96	0.00	5.96	0.0	0.00
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.01	0.00	6.01	0.0	0.00
/ /	***-**-439J - MCCARTHY, JAMES ACCOUNT #: LSR011205	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	0.0	0.00
/ /	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.32	0.00	6.32	0.0	0.00
/ /	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.10	0.00	6.10	0.0	0.00
/ /	***-**-439I - MCCARTHY, JAMES ACCOUNT #: LSR011244	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	0.0	0.00
/ /	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	7.70	0.00	7.70	0.0	0.00
/ /	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	12.45	0.00	12.45	0.0	0.00
/ /	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	13.93	0.00	13.93	0.0	0.00
/ /	***-**-37IO - MCCLUSKY, MARK ACCOUNT #: LSR011579	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.13	0.00	5.13	0.0	0.00
/ /	***-**-35IN - RUGGIERO, LAURIE ACCOUNT #: LSR012314	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	0.0	0.00
/ /	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	0.0	0.00
/ /	***-**-52IN - ROBERTS, LILLIAN ACCOUNT #: LSR012352	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	0.0	0.00
/ /	***-**-513I - RHYMESTINE, ACCOUNT #: LSR012457	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-188I - BRADLEY, WAYNE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS EMERGING GROWTH FUND-B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-45IR - WILLIAMSON,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS EMERGING MKT DEBT CL B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS EMERGING MKT DEBT CL B	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-580X - COBB, JAMES	MFS EMERGING MKT DEBT CL B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	MFS EMERGING MKT DEBT CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS EMERGING MKT DEBT CL B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS EMERGING MKT DEBT CL B	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS EMERGING MKT DEBT CL B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	MFS EMERGING MKT DEBT CL B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-29IO - MASSOUD,	MFS EMERGING MKT DEBT CL B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS EMERGING MKTS DEBT CLASS C	0.00	10.23	0.00	10.23	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS EQUITY OPPORTUNITIES CL B	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS GLOBAL ALTERNATIVE STRAT A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS GLOBAL ALTERNATIVE STRAT C	0.00	6.58	0.00	6.58	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.81	0.00	14.81	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.26	0.00	14.26	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	MFS GLOBAL ALTERNATIVE STRAT C	0.00	7.63	0.00	7.63	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS GLOBAL ALTERNATIVE STRAT C	0.00	12.98	0.00	12.98	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-11IO - LYNN G.	MFS GLOBAL ALTERNATIVE STRAT C	0.00	17.66	0.00	17.66	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	MFS GLOBAL ALTERNATIVE STRAT C	0.00	10.86	0.00	10.86	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL ALTERNATIVE STRAT C	0.00	13.26	0.00	13.26	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	MFS GLOBAL BOND FUND CLASS C	0.00	3.43	0.00	3.43	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL EQUITY FUND-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS GLOBAL EQUITY FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS GLOBAL EQUITY FUND-B	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-45IR - WILLIAMSON,	MFS GLOBAL EQUITY FUND-B	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-45IN - WILLIAMSON,	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						
/ /	***-**-628I - SANGER, SANDRA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-653J - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.01	0.00	2.01	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.90	0.00	4.90	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.03	0.00	3.03	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.94	0.00	2.94	0.0	0.00
/ /	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	0.0	0.00
/ /	***-**-76IO - MCALLISTER, FBO ACCOUNT #: LSR011107	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	0.0	0.00
/ /	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	0.0	0.00
/ /	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	0.0	0.00
/ /	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.70	0.00	2.70	0.0	0.00
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.0	0.00
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00
/ /	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	0.0	0.00
/ /	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.23	0.00	2.23	0.0	0.00
/ /	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	0.0	0.00
/ /	***-**-241I - DINITTO, ALLISYN ACCOUNT #: LSR011444	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
/ /	***-**-51IN - GERACE, JOANNE ACCOUNT #: LSR011538	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.61	0.00	1.61	0.0	0.00
/ /	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	18.53	0.00	18.53	0.0	0.00
/ /	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	14.28	0.00	14.28	0.0	0.00
/ /	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	11.27	0.00	11.27	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	9.74	0.00	9.74	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	17.49	0.00	17.49	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-A	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	7.98	0.00	7.98	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.23	0.00	9.23	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS INFLATION ADJUSTED BOND CL B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS INFLATION ADJUSTED BOND CL B	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-580X - COBB, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-326J - GRANDE, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.85	0.00	9.85	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-0310 - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.79	0.00	2.79	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-4410 - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	21.47	0.00	21.47	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-531J - WILLIAMSON,	MFS INFLATION ADJUSTED BOND CL C	0.00	6.64	0.00	6.64	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.90	0.00	5.90	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	3.25	0.00	3.25	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-311O - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.20	0.00	2.20	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-031O - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.29	0.00	2.29	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	MFS MODERATE ALLOCATION CL C	0.00	14.66	0.00	14.66	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	MFS MODERATE ALLOCATION CL C	0.00	25.22	0.00	25.22	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS NEW DISCOVERY FUND-B	0.00	2.68	0.00	2.68	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS NEW DISCOVERY FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS NEW DISCOVERY FUND-B	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-45IO - WILLIAMSON,	MFS NEW DISCOVERY FUND-B	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-36IR - SANGER, BETH	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011757	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS NEW DISCOVERY VALUE FUND CL C	0.00	11.88	0.00	11.88	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	14.59	0.00	14.59	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND CLASS A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND CLASS A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND CLASS A	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND CLASS A	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-67IR - FRANZ, ALICE	MFS RESEARCH FUND-B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011872	BUY 1 Units @0.0000						
/ /	***-**-59IR - FRANZ, PAUL	MFS RESEARCH FUND-B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011873	BUY 1 Units @0.0000						
/ /	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	11.81	0.00	11.81	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	8.54	0.00	8.54	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	5.56	0.00	5.56	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	10.50	0.00	10.50	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	6.81	0.00	6.81	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	13.56	0.00	13.56	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	15.22	0.00	15.22	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	13.38	0.00	13.38	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	7.24	0.00	7.24	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	7.53	0.00	7.53	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	9.40	0.00	9.40	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	7.77	0.00	7.77	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	8.40	0.00	8.40	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.96	0.00	2.96	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	11.77	0.00	11.77	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND CLASS A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND CLASS A	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.66	0.00	2.66	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.94	0.00	1.94	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
/ /	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	2.55	0.00	2.55	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-274X - DOXTADER,	MFS TECHNOLOGY FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011580	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-45IR - WILLIAMSON,	MFS TECHNOLOGY FUND-B	0.00	2.35	0.00	2.35	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
/ /	***-**-51IO - SCALISE, MARY	MFS TECHNOLOGY FUND-B	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***-**-87IO - LASCALA, LAUREN	MFS TECHNOLOGY FUND-B	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011858	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.88	0.00	1.88	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	2.21	0.00	2.21	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-96IN - NESSIA, BONNIE	MFS UTILITIES FUND-A	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
/ /	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.94	0.00	1.94	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-61IN - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	MFS UTILITIES FUND-B	0.00	2.06	0.00	2.06	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.72	0.00	1.72	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-45IO - WILLIAMSON,	MFS UTILITIES FUND-B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						
/ /	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	9.37	0.00	9.37	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	11.17	0.00	11.17	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	9.45	0.00	9.45	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-03IO - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.76	0.00	4.76	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	11.11	0.00	11.11	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	11.09	0.00	11.09	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	11.65	0.00	11.65	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	6.95	0.00	6.95	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-81IR - CAMPBELL,	MFS UTILITIES FUND-C	0.00	7.25	0.00	7.25	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS UTILITIES FUND-C	0.00	9.71	0.00	9.71	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-37IR - HARTZ, RENEE	MFS UTILITIES FUND-C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	MFS UTILITIES FUND-C	0.00	7.73	0.00	7.73	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	MFS UTILITIES FUND-C	0.00	8.41	0.00	8.41	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS UTILITIES FUND-C	0.00	8.98	0.00	8.98	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-14IO - BUSHEY, MATTHEW	MFS UTILITIES FUND-C	0.00	9.86	0.00	9.86	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	MFS UTILITIES FUND-C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-714O - LINES, JUDITH	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
/ /	***-**-35IN - RUGGIERO, LAURIE	MFS UTILITIES FUND-C	0.00	0.04	0.00	0.04	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
/ /	***-**-31IO - JOSEPH, ANN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
/ /	***-**-726I - YADDAW, DEBRA	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012338	BUY 1 Units @0.0000						
/ /	***-**-452I - ROBERTS, LILLIAN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012350	BUY 1 Units @0.0000						
/ /	***-**-851J - BLAKE, JAMES	MFS UTILITIES FUND-C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012393	BUY 1 Units @0.0000						
/ /	***-**-188I - BRADLEY, WAYNE	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
/ /	***-**-555J - WHITTON, CHERYL	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012715	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-20IO - DEMETRI, AMELIA	NEW PERSPECTIVE FUND-A	0.00	1.92	0.00	1.92	0.0	0.00
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	NEW WORLD FUND-A	0.00	2.74	0.00	2.74	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	NEW WORLD FUND-A	0.00	2.49	0.00	2.49	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-A	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-128I - BASHANT, THOMAS	NEW WORLD FUND-A	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-30TR - AND SANDRA	NEW WORLD FUND-A	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	PUTNAM UTILITIES GROWTH AND INCOME	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	PUTNAM UTILITIES GROWTH AND INCOME	0.00	1.78	0.00	1.78	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-96IN - NESSIA, BONNIE	PUTNAM UTILITIES GROWTH AND INCOME	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM UTILITIES GROWTH AND INCOME	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	PUTNAM UTILITIES GROWTH AND INCOME	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	PUTNAM UTILITIES GROWTH AND INCOME	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM 746792100	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	PUTNAM ABSOLUTE RETURN 700 CL B	0.00	2.36	0.00	2.36	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	PUTNAM ABSOLUTE RETURN 700 CL B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM ABSOLUTE RETURN 700 CL B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	PUTNAM ABSOLUTE RETURN 700 CL B	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-51IN - GERACE, JOANNE	PUTNAM ABSOLUTE RETURN 700 CL B	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
/ /	***-**-235I - AUGUSTINE III,	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	4.34	0.00	4.34	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	14.62	0.00	14.62	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.35	0.00	9.35	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-72IN - GAVALO, JULIE	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.28	0.00	9.28	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	7.25	0.00	7.25	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.09	0.00	9.09	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	13.76	0.00	13.76	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	7.71	0.00	7.71	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.21	0.00	9.21	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-628I - SANGER, SANDRA	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	PUTNAM ABSOLUTE RETURN 700-A	0.00	1.79	0.00	1.79	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM ABSOLUTE RETURN 700-A	0.00	2.32	0.00	2.32	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	PUTNAM ABSOLUTE RETURN 700-A	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-653J - KOCHER, CLINTON	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM ASSET ALLOC BALANCED-C	0.00	4.86	0.00	4.86	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-73UT - COBB, CHRSTIAN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: LSR011104	BUY 1 Units @0.0000						
/ /	***-**-67UT - COBB,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: LSR011105	BUY 1 Units @0.0000						
/ /	***-**-992J - CORNACCHIA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
/ /	***-**-66IO - RUDD, MICHAEL	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR011268	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM CAPITAL SPECTRUM FUND CL A	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	7.43	0.00	7.43	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	11.82	0.00	11.82	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	7.93	0.00	7.93	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	16.93	0.00	16.93	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	6.64	0.00	6.64	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-11IO - LYNN G.	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	16.10	0.00	16.10	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	9.69	0.00	9.69	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-235I - AUGUSTINE III,	PUTNAM COMP	0.00	6.02	0.00	6.02	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	PUTNAM COMP	0.00	5.15	0.00	5.15	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	PUTNAM COMP	0.00	8.27	0.00	8.27	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	PUTNAM COMP	0.00	7.13	0.00	7.13	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	PUTNAM COMP	0.00	10.74	0.00	10.74	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	PUTNAM COMP	0.00	6.12	0.00	6.12	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-420T - DEMETRI, AMELIA	PUTNAM COMP	0.00	5.46	0.00	5.46	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	PUTNAM COMP	0.00	4.38	0.00	4.38	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	PUTNAM COMP	0.00	7.91	0.00	7.91	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	PUTNAM COMP	0.00	9.71	0.00	9.71	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM COMP	0.00	9.71	0.00	9.71	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	PUTNAM COMP	0.00	5.44	0.00	5.44	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	PUTNAM COMP	0.00	7.61	0.00	7.61	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM COMP	0.00	10.05	0.00	10.05	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-80IO - EVANS, RICHARD	PUTNAM COMP	0.00	4.97	0.00	4.97	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	PUTNAM COMP	0.00	8.15	0.00	8.15	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	PUTNAM COMP	0.00	13.12	0.00	13.12	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	PUTNAM COMP	0.00	9.90	0.00	9.90	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	PUTNAM COMP	0.00	7.29	0.00	7.29	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	PUTNAM COMP	0.00	8.25	0.00	8.25	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-51IO - SCALISE, MARY	PUTNAM COMP	0.00	4.62	0.00	4.62	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	PUTNAM CONV INCOME-GROWTH-B	0.00	2.35	0.00	2.35	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM CONV INCOME-GROWTH-B	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	PUTNAM CONV INCOME-GROWTH-B	0.00	3.04	0.00	3.04	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	PUTNAM CONV INCOME-GROWTH-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-580X - COBB, JAMES	PUTNAM CONV INCOME-GROWTH-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM CONV INCOME-GROWTH-B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	PUTNAM CONV INCOME-GROWTH-B	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	PUTNAM CONV INCOME-GROWTH-B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	PUTNAM CONV INCOME-GROWTH-B	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM CONV INCOME-GROWTH-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-653I - KOHEM, CLINTON	PUTNAM CONV INCOME-GROWTH-B	0.00	2.26	0.00	2.26	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	PUTNAM CONVERTIBLE SECURITIES CL C	0.00	14.43	0.00	14.43	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM CONVERTIBLE SECURITIES CL C	0.00	16.35	0.00	16.35	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-132O - EVANS, THOMAS	PUTNAM DIVERSIFIED INCOME CLASS C	0.00	7.47	0.00	7.47	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM DIVERSIFIED INCOME CLASS C	0.00	14.87	0.00	14.87	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	PUTNAM DIVERSIFIED INCOME-B	0.00	2.30	0.00	2.30	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	PUTNAM DIVERSIFIED INCOME-B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM DIVERSIFIED INCOME-B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-702I - KOHEM, MICHAEL	PUTNAM DIVERSIFIED INCOME-B	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	PUTNAM DIVERSIFIED INCOME-B	0.00	2.10	0.00	2.10	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM DIVERSIFIED INCOME-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	PUTNAM DIVERSIFIED INCOME-B	0.00	10.16	0.00	10.16	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM DIVERSIFIED INCOME-B	0.00	2.60	0.00	2.60	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM DIVERSIFIED INCOME-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	PUTNAM DIVERSIFIED INCOME-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-31IO - WILLIAMSON, FBO	PUTNAM DIVERSIFIED INCOME-B	0.00	6.46	0.00	6.46	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	PUTNAM DIVERSIFIED INCOME-B	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	PUTNAM EQUITY INCOME FUND-B	0.00	2.40	0.00	2.40	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-664J - PFAFF, GEORGE	PUTNAM EQUITY INCOME FUND-B	0.00	2.60	0.00	2.60	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	PUTNAM EQUITY SPECTRUM FD CL B	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	PUTNAM EQUITY SPECTRUM FD CL C	0.00	12.06	0.00	12.06	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	PUTNAM EQUITY SPECTRUM FD CL C	0.00	25.73	0.00	25.73	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	PUTNAM EQUITY SPECTRUM FD CL C	0.00	9.01	0.00	9.01	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	PUTNAM EQUITY SPECTRUM FD CL C	0.00	16.98	0.00	16.98	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	PUTNAM EQUITY SPECTRUM FD CL C	0.00	10.48	0.00	10.48	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	PUTNAM EQUITY SPECTRUM FD CL C	0.00	6.91	0.00	6.91	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	PUTNAM EQUITY SPECTRUM FD CL C	0.00	7.32	0.00	7.32	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	PUTNAM EQUITY SPECTRUM FD CL C	0.00	8.49	0.00	8.49	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	PUTNAM EQUITY SPECTRUM FD CL C	0.00	8.66	0.00	8.66	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM EQUITY SPECTRUM FD CL C	0.00	9.02	0.00	9.02	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	PUTNAM EQUITY SPECTRUM FD CL C	0.00	12.79	0.00	12.79	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-473J - HUSTON, ACCOUNT #: LSR011228	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	9.73	0.00	9.73	0.0	0.00
/ /	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	9.96	0.00	9.96	0.0	0.00
/ /	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	9.44	0.00	9.44	0.0	0.00
/ /	***-**-07IO - FAMOLARO, JAMES ACCOUNT #: LSR011280	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	5.85	0.00	5.85	0.0	0.00
/ /	***-**-094I - KATRENA L ACCOUNT #: LSR011188	PUTNAM EUROPE EQUITY CLASS C BUY 1 Units @0.0000	0.00	3.35	0.00	3.35	0.0	0.00
/ /	***-**-094I - KATRENA L ACCOUNT #: LSR011188	PUTNAM EUROPE EQUITY FUND-A BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
/ /	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	PUTNAM GLOBAL CONSUMER FD A BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
/ /	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.0	0.00
/ /	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	0.51	0.00	0.51	0.0	0.00
/ /	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	3.49	0.00	3.49	0.0	0.00
/ /	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	0.0	0.00
/ /	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	0.0	0.00
/ /	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.07	0.00	2.07	0.0	0.00
/ /	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.0	0.00
/ /	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.61	0.00	1.61	0.0	0.00
/ /	***-**-306X - COBB, CHERRY L ACCOUNT #: LSR011037	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.38	0.00	1.38	0.0	0.00
/ /	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	0.0	0.00
/ /	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.28	0.00	2.28	0.0	0.00
/ /	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	0.0	0.00
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	0.0	0.00
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
/ /	***-**-66IO - RUDD, MICHAEL ACCOUNT #: LSR011268	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	0.0	0.00
/ /	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.79	0.00	2.79	0.0	0.00
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	0.0	0.00
/ /	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	0.0	0.00
/ /	***-**-12IR - GRUENEWALD, ACCOUNT #: LSR011614	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	0.0	0.00
/ /	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.07	0.00	2.07	0.0	0.00
/ /	***-**-45IN - WILLIAMSON, ACCOUNT #: LSR011751	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	0.0	0.00
/ /	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	PUTNAM GLOBAL CONSUMER FUND CL C BUY 1 Units @0.0000	0.00	5.34	0.00	5.34	0.0	0.00
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	PUTNAM GLOBAL CONSUMER FUND CL C BUY 1 Units @0.0000	0.00	12.82	0.00	12.82	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-89IN - LEE, ROGER	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	7.89	0.00	7.89	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	10.75	0.00	10.75	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	7.62	0.00	7.62	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-51IO - SCALISE, MARY	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	4.79	0.00	4.79	0.0	0.00
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM GLOBAL NAT RESOURCES-A	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-664J - PFAFF, GEORGE	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM GLOBAL SECTOR FUND CL A	0.00	2.78	0.00	2.78	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	PUTNAM GLOBAL TECHNOLOGY CL B	0.00	2.44	0.00	2.44	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	PUTNAM GLOBAL TECHNOLOGY CL B	0.00	1.07	0.00	1.07	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM GLOBAL TELECOMMUNICATIONS B	0.00	2.43	0.00	2.43	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM GLOBAL TELECOMMUNICATIONS B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM GLOBAL UTILITIES CL C	0.00	5.62	0.00	5.62	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	10.18	0.00	10.18	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	10.27	0.00	10.27	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	7.21	0.00	7.21	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	9.21	0.00	9.21	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	9.96	0.00	9.96	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	2.70	0.00	2.70	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-653I - KOHEM, CLINTON	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	3.22	0.00	3.22	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-13IO - ROTH, KAREN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.68	0.00	1.68	0.0	0.00
	ACCOUNT #: LSR011484	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM HEALTH SCIENCES TR-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	PUTNAM HEALTH SCIENCES TR-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	PUTNAM HEALTH SCIENCES TR-B	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	PUTNAM HEALTH SCIENCES TR-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	PUTNAM HEALTH SCIENCES TR-B	0.00	2.18	0.00	2.18	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	PUTNAM HEALTH SCIENCES TR-B	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	2.38	0.00	2.38	0.0	0.00
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.0	0.00
/ /	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	0.0	0.00
/ /	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	0.0	0.00
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	0.0	0.00
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	0.0	0.00
/ /	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	0.0	0.00
/ /	***-**-480J - EVANS, RICHARD ACCOUNT #: LSR011274	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.11	0.00	1.11	0.0	0.00
/ /	***-**-653I - KOHEM, CLINTON ACCOUNT #: LSR011300	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	0.0	0.00
/ /	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	0.0	0.00
/ /	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.21	0.00	0.21	0.0	0.00
/ /	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	0.0	0.00
/ /	***-**-13IO - ROTH, KAREN ACCOUNT #: LSR011484	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	0.0	0.00
/ /	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	0.0	0.00
/ /	***-**-12IR - GRUENEWALD, ACCOUNT #: LSR011614	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	0.0	0.00
/ /	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-45IN - WILLIAMSON,	PUTNAM HEALTH SCIENCES TR-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM INTL GROWTH & INC-A	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	PUTNAM INVESTMENTS MULTI-CAP CORE C	0.00	4.66	0.00	4.66	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-653J - KOCHEM, CLINTON	PUTNAM INVESTMENTS MULTI-CAP CORE C	0.00	4.65	0.00	4.65	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM SMALL CAP VALUE FUND-A	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM U.S. GOVT INCOME TR-B	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	PUTNAM UTILITIES GR & INC-B	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	PUTNAM UTILITIES GR & INC-B	0.00	1.41	0.00	1.41	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	PUTNAM UTILITIES GR & INC-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM UTILITIES GR & INC-B	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	PUTNAM UTILITIES GR & INC-B	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	PUTNAM UTILITIES GR & INC-B	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM UTILITIES GR & INC-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	PUTNAM UTILITIES GR & INC-B	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	PUTNAM UTILITIES GR & INC-B	0.00	1.87	0.00	1.87	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-680I - HARRELL, DARYL	SMALLCAP WORLD FUND-A	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	SMALLCAP WORLD FUND-C	0.00	9.14	0.00	9.14	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	SMALLCAP WORLD FUND-C	0.00	7.51	0.00	7.51	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	SMALLCAP WORLD FUND-C	0.00	12.66	0.00	12.66	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	SMALLCAP WORLD FUND-C	0.00	12.30	0.00	12.30	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	SMALLCAP WORLD FUND-C	0.00	11.02	0.00	11.02	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	SMALLCAP WORLD FUND-C	0.00	8.37	0.00	8.37	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	SMALLCAP WORLD FUND-C	0.00	4.40	0.00	4.40	0.0	0.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
/ /	***-**-43IN - FRANZ, WAYNE	THE BOND FUND OF AMERICA-A	0.00	2.33	0.00	2.33	0.0	0.00
	ACCOUNT #: LSR012636	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	THE GROWTH FUND OF AMERICA-A	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	THE GROWTH FUND OF AMERICA-A	0.00	2.34	0.00	2.34	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	THE GROWTH FUND OF AMERICA-C	0.00	11.20	0.00	11.20	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-287I - HINCKLEY,	THE INCOME FUND OF AMERICA-A	0.00	4.56	0.00	4.56	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.61	0.00	3.61	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	THE INCOME FUND OF AMERICA-A	0.00	2.92	0.00	2.92	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	THE INCOME FUND OF AMERICA-A	0.00	1.80	0.00	1.80	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	0.0	0.00
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	0.0	0.00
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	0.0	0.00
/ /	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	0.0	0.00
/ /	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.69	0.00	2.69	0.0	0.00
/ /	***-**-43IN - FRANZ, WAYNE ACCOUNT #: LSR012636	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.60	0.00	3.60	0.0	0.00
/ /	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	THE NEW ECONOMY FUND-C BUY 1 Units @0.0000	0.00	12.20	0.00	12.20	0.0	0.00
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	THE NEW ECONOMY FUND-C BUY 1 Units @0.0000	0.00	2.62	0.00	2.62	0.0	0.00
/ /	***-**-235I - AUGUSTINE III, ACCOUNT #: LSR010845	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	6.43	0.00	6.43	0.0	0.00
/ /	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	7.60	0.00	7.60	0.0	0.00
/ /	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	5.54	0.00	5.54	0.0	0.00
/ /	***-**-0310 - MCVOY, ROBERT ACCOUNT #: LSR010916	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	7.14	0.00	7.14	0.0	0.00
/ /	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	12.77	0.00	12.77	0.0	0.00
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	6.19	0.00	6.19	0.0	0.00
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	5.97	0.00	5.97	0.0	0.00
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	7.35	0.00	7.35	0.0	0.00



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-09IO - BATES, FBO MRS	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.82	0.00	11.82	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	13.40	0.00	13.40	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.38	0.00	11.38	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.68	0.00	7.68	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	9.62	0.00	9.62	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.90	0.00	10.90	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-287J - HINCKLEY,	TRANSAMERICA SHORT TERM BOND CL C	0.00	10.37	0.00	10.37	0.0	0.00
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	TRANSAMERICA SHORT TERM BOND CL C	0.00	10.32	0.00	10.32	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	TRANSAMERICA SHORT TERM BOND CL C	0.00	15.37	0.00	15.37	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-43IN - FRANZ, WAYNE	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR012636	BUY 1 Units @0.0000						
/ /	***-**-71IO - FAMOLARO, JAMES	VAN KAMPEN9	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
/ /	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO ASSET ALLOCATION CL A	0.00	3.06	0.00	3.06	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO GLOBAL OPPT FD CL A	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	WELLS FARGO SPECIALIZED TECH A	0.00	2.08	0.00	2.08	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	WELLS FARGO UTILITY TELECOM CL A	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

TRANSACTIONS (continued)

BROKER: (continued)

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3,911.45	\$0.00	\$3,911.45	\$0.00
*** BROKER TRANSACTIONS TOTAL ***	\$295,891.90	\$7,534.01	\$711.58	\$6,822.43	\$-18.58

BROKER COMMISSION TOTALS

Broker Transactions	\$-18.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-342.47
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-361.05
Year-to-date Earnings	\$0.00