



All Companies

01/11/2018
Page No. 1

COMMISSION STATEMENT January 16, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	388.53	388.53	0.00	388.53	60.0	233.12
01/05/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50	60.0	0.30
01/05/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50	60.0	0.30
01/05/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		50.00	0.50	0.00	0.50	60.0	0.30
01/05/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B		50.00	0.50	0.00	0.50	60.0	0.30
12/20/2017	0000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50	60.0	0.30
01/05/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B		50.00	0.50	0.00	0.50	60.0	0.30
01/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
01/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
01/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
12/22/2017	00089156141 KYSER, DICK/MARGIE PUTNAM	NEW OPPORTUNITIES FD CL A B		220.00	11.00	0.00	11.00	60.0	6.60
* MUTUAL FUNDS SUBTOTAL *				\$1,408.53	\$422.57	\$0.00	\$422.57		\$253.56

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/29/2017	TRAILS	-HARTFORD LIFE	B	49.14	49.14	0.00	49.14	60.0	29.48
12/30/2017	TRAILS	-NATIONWIDE LIFE	B	1,032.78	1,032.78	0.00	1,032.78	60.0	619.67
12/26/2017	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
12/26/2017	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
12/31/2017	TRAILS	-SECURITY LIFE OF DENVER	B	4.30	4.30	0.00	4.30	60.0	2.58
12/17/2017	MELLENGER, PAUL J.	AMERITAS VARIABLE ANNUITY	B	249.00	9.96	0.00	9.96	60.0	5.98
12/17/2017	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	32.26	1.05	0.00	1.05	60.0	0.63
12/18/2017	LABRADOR, THERESA O.	LINCOLN BENEFIT LIFE	B	46.52	1.74	0.00	1.74	60.0	1.04
12/18/2017	HONRALES, SHIRLEY A.	LINCOLN BENEFIT LIFE	B	38.50	1.25	0.00	1.25	60.0	0.75
12/18/2017	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	46.50	1.51	0.00	1.51	60.0	0.91
12/18/2017	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17	60.0	1.90
12/20/2017	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64	60.0	1.58
12/22/2017	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13	60.0	2.48
12/26/2017	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65	60.0	0.39
12/17/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	49.03	1.59	0.00	1.59	60.0	0.95
12/31/2017	TRAILS	PRUDENTIAL INSURANCE CO.	B	523.63	523.63	0.00	523.63	60.0	314.18
12/15/2017	SEGUNDO, NOLI	RELIASTAR LIFE INSURANCE	B	773.88	7.68	0.00	7.68	60.0	4.61
* VARIABLE ANNUITIES SUBTOTAL *				\$3,190.22	\$1,646.91	\$0.00	\$1,646.91		\$988.15
*** BROKER TRANSACTIONS TOTAL ***				\$4,598.75	\$2,069.48	\$0.00	\$2,069.48		\$1,241.71

BROKER COMMISSION TOTALS

Broker Transactions	\$1,241.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,241.71
Year-to-date Earnings	\$1,342.20