



Concorde Investment Services, LLC

07/12/2017  
Page No. 1

# COMMISSION STATEMENT July 15, 2017

JOHN ROSS  
7261 W. Central Ave.  
  
Toledo, OH 43617

BROKER #: R119  
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	WAGONER JR, JOHN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	2.90	0.00	2.90	92.0	2.67
07/03/2017	WAGONER JR, JOHN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	2.67	0.00	2.67	92.0	2.46
06/26/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
07/03/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
07/03/2017	HUNTER, DEBORAH	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	92.0	1.96
06/29/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	92.0	2.30
07/03/2017	BRILLHART, SHANE	AM FUNDS BOND FUND OF AMERICA A	480.53	14.42	0.00	14.42	92.0	13.27
07/03/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
06/30/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
06/26/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
06/30/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
06/26/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
07/03/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
07/03/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
07/03/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
07/03/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
06/29/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	500.00	5.00	0.00	5.00	92.0	4.60
06/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
06/26/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
06/26/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
06/26/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	250.00	2.50	0.00	2.50	92.0	2.30
07/06/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
07/07/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
06/29/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	92.0	2.30
06/26/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	250.00	2.50	0.00	2.50	92.0	2.30
07/01/2017	ZUCARELL, MARGARET	MFS HIGH INCOME A	0.00	6.69	0.00	6.69	92.0	6.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DUBOW, ERIC	OPPENHEIMER INTL SMALL CO A	0.00	7.30	0.00	7.30	92.0	6.72
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	5.36	0.00	5.36	92.0	4.93
06/20/2017	MANN, JAMES	OPPENHEIMER MAIN STREET A	400.00	19.00	0.00	19.00	92.0	17.48
07/03/2017	DRAKE, ERIC	PUTNAM GROWTH OPP A	0.00	5.18	0.00	5.18	92.0	4.77
07/03/2017	WAGONER JR, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	4.40	0.00	4.40	92.0	4.05
07/03/2017	DRAKE, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	4.75	0.00	4.75	92.0	4.37
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,120.81</b>	<b>\$162.96</b>	<b>\$0.00</b>	<b>\$162.96</b>		<b>\$149.92</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	SMOOT, DOROTHY	ALLIANZ VALUEMARK II	128,754.85	80.47	0.00	80.47	92.0	74.03
06/30/2017	DULINSKY, SHERRY	ALLIANZ VALUEMARK III	3,914.15	2.45	0.00	2.45	92.0	2.25
06/30/2017	WALLS, DIANE	ALLIANZ VALUEMARK III	95,763.21	59.85	0.00	59.85	92.0	55.06
06/30/2017	BENSCH, ARLYN	ALLIANZ VALUEMARK III	831.56	0.52	0.00	0.52	92.0	0.48
06/30/2017	BENSCH, CANDACE	ALLIANZ VALUEMARK III	835.25	0.52	0.00	0.52	92.0	0.48
06/30/2017	KRALL, JERRY	ALLIANZ VALUEMARK IV	2,593.24	1.62	0.00	1.62	92.0	1.49
06/30/2017	BRAITHWAITE, MICHAEL	ALLIANZ VALUEMARK IV	8,431.39	5.27	0.00	5.27	92.0	4.85
06/30/2017	WASSERMAN, JULIE	ALLIANZ VALUEMARK IV	5,853.53	3.66	0.00	3.66	92.0	3.37
06/30/2017	DIXON, RANDALL	ALLIANZ VALUEMARK IV	36,151.89	22.59	0.00	22.59	92.0	20.78
06/30/2017	KRALL, GARY	ALLIANZ VALUEMARK IV	16,363.26	10.23	0.00	10.23	92.0	9.41
06/30/2017	AUBRY, PATRICIA	AXA ACCUM ELITE II (NQ)	20,112.91	50.28	0.00	50.28	92.0	46.26
06/30/2017	JANICKI, KATHERINE	HARTFORD LEADERS (ILA)	14,987.30	9.37	0.00	9.37	92.0	8.62
06/30/2017	BRAGG, TYLER	HARTFORD LEADERS (ILA)	28,603.51	17.88	0.00	17.88	92.0	16.45
06/30/2017	KOZIARSKI, RICHARD	HARTFORD LEADERS OUTLOOK (ILA)	841.17	2.10	0.00	2.10	92.0	1.93
06/30/2017	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	1,094.68	2.74	0.00	2.74	92.0	2.52
06/30/2017	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	1,114.99	2.79	0.00	2.79	92.0	2.57
06/30/2017	IRWIN, JOHN	HARTFORD LEADERS OUTLOOK (ILA)	2,458.64	6.15	0.00	6.15	92.0	5.66
06/30/2017	ROSS, DANIEL	HARTFORD LEADERS OUTLOOK 2	53,275.33	133.19	0.00	133.19	92.0	122.53
06/30/2017	KIEHL, VERNON	HARTFORD LEADERS OUTLOOK 2	26,658.49	66.65	0.00	66.65	92.0	61.32
06/30/2017	BARE, HELEN	HARTFORD LEADERS OUTLOOK 2	8,579.69	21.45	0.00	21.45	92.0	19.73
06/30/2017	BARE III, HARRY	HARTFORD LEADERS OUTLOOK 2	18,728.69	46.82	0.00	46.82	92.0	43.07
06/30/2017	MILLS, SARAH	HARTFORD LEADERS OUTLOOK 2	12,267.40	30.67	0.00	30.67	92.0	28.22
06/30/2017	IRWIN, JOHN	JNL ELITE ACCESS	143,227.91	29.84	0.00	29.84	92.0	27.45
06/30/2017	DEMKO, VERNA	JNL PERSPECTIVE	51,001.91	10.63	0.00	10.63	92.0	9.78
06/30/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,417.90	1.42	0.00	1.42	92.0	1.31

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	LONG, LORI	JNL PERSPECTIVE L	14,702.97	12.25	0.00	12.25	92.0	11.27
06/30/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	31,819.95	26.52	0.00	26.52	92.0	24.40
06/30/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	27,039.24	22.53	0.00	22.53	92.0	20.73
06/30/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,602.65	35.50	0.00	35.50	92.0	32.66
06/30/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,374.55	11.98	0.00	11.98	92.0	11.02
06/30/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	111,280.56	92.73	0.00	92.73	92.0	85.31
06/30/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	41,494.07	34.58	0.00	34.58	92.0	31.81
06/30/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	124,695.09	103.91	0.00	103.91	92.0	95.60
06/30/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	64,019.01	53.35	0.00	53.35	92.0	49.08
06/30/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	61,849.43	51.54	0.00	51.54	92.0	47.42
06/30/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	97,285.64	81.07	0.00	81.07	92.0	74.58
06/30/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	84,554.27	70.46	0.00	70.46	92.0	64.82
06/30/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	71,905.16	59.92	0.00	59.92	92.0	55.13
06/30/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	55,871.19	46.56	0.00	46.56	92.0	42.84
06/30/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	55,521.02	46.27	0.00	46.27	92.0	42.57
06/30/2017	AUBRY, PATRICIA	METLIFE SERIES L	57,035.09	142.59	0.00	142.59	92.0	131.18
06/30/2017	CURSON, FRANK	METLIFE SERIES L	116,240.24	290.60	0.00	290.60	92.0	267.35
06/30/2017	SCHLIEVERT, LYLE	METLIFE SERIES L	65,068.90	162.67	0.00	162.67	92.0	149.66
06/30/2017	GERMAN, MARIANNE	METLIFE SERIES L	10,289.12	25.72	0.00	25.72	92.0	23.66
06/30/2017	HOLDINSKY, PATRICIA	METLIFE SERIES L	104,109.84	260.27	0.00	260.27	92.0	239.45
06/30/2017	VALIQUETTE, MYRNA	METLIFE SERIES L	23,545.18	58.86	0.00	58.86	92.0	54.15
06/30/2017	CURSON, BEVERLY	METLIFE SERIES L	61,150.40	152.88	0.00	152.88	92.0	140.65
06/30/2017	VALIQUETTE, MYRNA	METLIFE SERIES L	20,757.11	51.89	0.00	51.89	92.0	47.74
06/30/2017	VALADE, JANET	METLIFE SERIES L	58,576.23	146.44	0.00	146.44	92.0	134.72
06/30/2017	IRWIN, JOHN	METLIFE SERIES L	125,001.72	312.50	0.00	312.50	92.0	287.50
06/30/2017	COLEMAN, PARISS	METLIFE SERIES L	127,537.52	318.84	0.00	318.84	92.0	293.33
06/30/2017	KRAUS, JUDITH	METLIFE SERIES L	60,887.10	152.22	0.00	152.22	92.0	140.04
06/30/2017	GARDNER, SHARYL	METLIFE SERIES L	118,631.92	296.58	0.00	296.58	92.0	272.85
06/30/2017	GARDNER, WM	METLIFE SERIES L	11,801.61	29.50	0.00	29.50	92.0	27.14
06/30/2017	HELMINIAK, CAROLYN	METLIFE SERIES L	54,886.04	137.22	0.00	137.22	92.0	126.24
06/30/2017	KRALL, GARY	METLIFE SERIES L	21,081.61	52.70	0.00	52.70	92.0	48.48
06/30/2017	SWINDLE, CYNTHIA	METLIFE SERIES L	12,020.41	30.05	0.00	30.05	92.0	27.65
06/30/2017	ROSS, DANIEL	METLIFE SERIES L	21,600.54	54.00	0.00	54.00	92.0	49.68

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
06/30/2017	KOZLOWSKI, KENNETH	METLIFE SERIES L	31,061.60	77.65	0.00	77.65	92.0	71.44
06/30/2017	MOORE, GARY	METLIFE SERIES L	120,803.42	302.01	0.00	302.01	92.0	277.85
06/30/2017	AUBRY, PATRICIA	METLIFE SERIES L	44,921.06	112.30	0.00	112.30	92.0	103.32
06/30/2017	VALIQUETTE, PAUL	METLIFE SERIES L	20,730.40	51.83	0.00	51.83	92.0	47.68
06/30/2017	SMITH, SUZANNE	METLIFE SERIES L	229,639.13	574.10	0.00	574.10	92.0	528.17
06/30/2017	BOWSER, DANIEL	METLIFE SERIES L	51,955.92	129.89	0.00	129.89	92.0	119.50
06/30/2017	WASSERMAN, RICHARD	METLIFE SERIES L	10,132.22	25.33	0.00	25.33	92.0	23.30
06/30/2017	KOZIARSKI, RICHARD	METLIFE SERIES L	53,117.47	132.79	0.00	132.79	92.0	122.17
06/30/2017	DRUCKENMILLER, CHRISTINA	METLIFE SERIES L	185,917.73	464.79	0.00	464.79	92.0	427.61
06/30/2017	MOORE, LINDA	METLIFE SERIES L	12,283.35	30.71	0.00	30.71	92.0	28.25
06/30/2017	SCHNITKEY, KAREN	METLIFE SERIES L	61,183.65	152.96	0.00	152.96	92.0	140.72
06/30/2017	HAMAKER, SCOTT	METLIFE SERIES L	57,207.99	143.02	0.00	143.02	92.0	131.58
06/30/2017	WAGONER JR, JOHN	METLIFE SERIES L	51,419.88	128.55	0.00	128.55	92.0	118.27
06/30/2017	WOODRUFF, STEPHANIE	METLIFE SERIES L	51,092.96	127.73	0.00	127.73	92.0	117.51
07/10/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
07/01/2017	FISCHER, DAVID	NATIONWIDE BOA FUTURE II 32	206,495.17	258.12	0.00	258.12	92.0	237.47
07/01/2017	DOUGHERTY, RICO	NATIONWIDE DESTINATION L2 01	93,297.32	233.24	0.00	233.24	92.0	214.58
07/01/2017	ZINK, ROBERT	NATIONWIDE DESTINATION L2 01	329,392.67	823.48	0.00	823.48	92.0	757.60
07/01/2017	SCHNEIDER, MARGARET	NATIONWIDE DESTINATION LS 96	163,120.05	407.80	0.00	407.80	92.0	375.18
07/01/2017	HAUSFELD, DAVID	NATIONWIDE DESTINATION LS 96	166,017.08	415.04	0.00	415.04	92.0	381.84
07/01/2017	GERMAN, MARILYN	NATIONWIDE DESTINATION LS 96	128,767.74	321.92	0.00	321.92	92.0	296.17
07/01/2017	COUSINO TRUST, SUSAN	NATIONWIDE DESTINATION LS 96	357,707.06	894.27	0.00	894.27	92.0	822.73
07/01/2017	PEAKE, CAROL	NATIONWIDE DESTINATION LS 96	120,157.67	300.39	0.00	300.39	92.0	276.36
07/01/2017	MOORE, GARY	NATIONWIDE DESTINATION LS 96	88,887.86	222.22	0.00	222.22	92.0	204.44
07/01/2017	HEINAMAN, ROBERT	NATIONWIDE DESTINATION LS 96	114,856.92	287.14	0.00	287.14	92.0	264.17
07/01/2017	STEDCKE III, CLYDE	NATIONWIDE DESTINATION LS 96	152,997.66	382.49	0.00	382.49	92.0	351.89
07/01/2017	BRAUN, CHARLENE	NATIONWIDE DESTINATION LS 96	158,108.63	395.27	0.00	395.27	92.0	363.65
07/01/2017	IRWIN, JOHN	NATIONWIDE DESTINATION LS 96	128,028.63	320.07	0.00	320.07	92.0	294.46
07/01/2017	DUBENDORFER, SUSAN	NATIONWIDE DESTINATION LS 96	128,473.51	321.18	0.00	321.18	92.0	295.49
07/01/2017	MCBROOM, DENNIS	NATIONWIDE DESTINATION LS 96	127,059.48	317.65	0.00	317.65	92.0	292.24
07/01/2017	BRAUN, WILLIAM	NATIONWIDE DESTINATION LS 96	189,144.11	472.86	0.00	472.86	92.0	435.03
07/01/2017	SZABO, BONITA	NATIONWIDE DESTINATION LS 96	24,220.46	60.55	0.00	60.55	92.0	55.71
06/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	148,386.19	123.66	0.00	123.66	92.0	113.77

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	103,275.86	86.06	0.00	86.06	92.0	79.18
06/21/2017	DEMKO, VERNA	TRANSAMERICA LIBERTY	80,705.84	67.25	0.00	67.25	92.0	61.87
06/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	195,763.04	163.14	0.00	163.14	92.0	150.09
06/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,340.94	3.62	0.00	3.62	92.0	3.33
06/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	27,129.30	22.61	0.00	22.61	92.0	20.80
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$6,872,948.20</b>	<b>\$13,400.30</b>	<b>\$0.00</b>	<b>\$13,400.30</b>		<b>\$12,328.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/20/2017	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.65	0.00	2.65	92.0	2.44
06/19/2017	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.62	0.00	4.62	92.0	4.25
06/13/2017	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.14	0.00	0.14	92.0	0.13
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.41</b>	<b>\$0.00</b>	<b>\$7.41</b>		<b>\$6.82</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/13/2017	HUNTER, DEBORAH	LFG TRAILS	22,622.50	14.14	0.00	14.14	92.0	13.01
06/30/2017	ROSS, VARIOUS	LFG TRAILS	0.00	99.74	0.00	99.74	92.0	91.76
06/30/2017	FOX, JOSEPH	LFG TRAILS	20,188.67	12.62	0.00	12.62	92.0	11.61
06/30/2017	SMOOT, C STEVEN	LFG TRAILS	257,660.30	161.04	0.00	161.04	92.0	148.16
06/30/2017	VALADE, JOHN	LFG TRAILS	12,568.46	7.86	0.00	7.86	92.0	7.23
06/30/2017	SWINDLE, CYNTHIA	LFG TRAILS	645.05	0.65	0.00	0.65	92.0	0.60
06/30/2017	KORAKAS, LARRY	LFG TRAILS	21,260.79	21.26	0.00	21.26	92.0	19.56
06/26/2017	HEINAMAN, ROBERT	ING PREMIUM PLUS	0.00	217.99	0.00	217.99	92.0	200.55
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$334,945.77</b>	<b>\$535.30</b>	<b>\$0.00</b>	<b>\$535.30</b>		<b>\$492.48</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$7,213,014.78</b>	<b>\$14,105.97</b>	<b>\$0.00</b>	<b>\$14,105.97</b>		<b>\$12,977.50</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
06/27/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	1,843.52	50.70	0.00	50.70	85.0	3.55
06/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
07/03/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	1.19
07/07/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	85.0	0.35
06/16/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
06/30/2017	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	926.40	2.32	0.00	2.32	85.0	0.16
06/30/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	179,492.27	149.58	0.00	149.58	85.0	10.47
06/30/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,360.19	15.30	0.00	15.30	85.0	1.07
06/30/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	52,193.93	43.49	0.00	43.49	85.0	3.04
06/30/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	41,271.61	34.39	0.00	34.39	85.0	2.41
07/01/2017	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	7.90	0.00	7.90	85.0	0.55
06/28/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
07/01/2017	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	95,252.95	238.13	0.00	238.13	85.0	16.67
07/05/2017	FRANTZ, JUDITH	PIIONEER CULLEN VALUE FUND A	0.00	16.34	0.00	16.34	85.0	1.14
07/05/2017	FRANTZ, JUDITH	PIIONEER EMERGING MARKETS A	0.00	4.24	0.00	4.24	85.0	0.30
07/05/2017	FRANTZ, JUDITH	PIIONEER EQUITY INCOME A	0.00	31.44	0.00	31.44	85.0	2.20
07/05/2017	FRANTZ, JUDITH	PIIONEER FUND A	0.00	3.79	0.00	3.79	85.0	0.27
07/05/2017	FRANTZ, JUDITH	PIIONEER GROWTH OPPORTUNITIES A	0.00	3.99	0.00	3.99	85.0	0.28
07/05/2017	FRANTZ, JUDITH	PIIONEER VALUE A	0.00	31.35	0.00	31.35	85.0	2.19
06/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	135,821.28	113.18	0.00	113.18	85.0	7.92
06/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	105,725.58	88.10	0.00	88.10	85.0	6.17
06/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	86,982.75	72.49	0.00	72.49	85.0	5.07
06/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	230,523.64	192.10	0.00	192.10	85.0	13.45
06/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	99,353.79	82.79	0.00	82.79	85.0	5.80
06/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	139,033.02	115.86	0.00	115.86	85.0	8.11
06/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	148,621.63	123.85	0.00	123.85	85.0	8.67
06/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	188,738.63	157.28	0.00	157.28	85.0	11.01
06/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	233,890.52	194.91	0.00	194.91	85.0	13.64
06/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	132,079.95	110.07	0.00	110.07	85.0	7.70
06/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	178,784.51	148.99	0.00	148.99	85.0	10.43
06/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	108,695.56	90.58	0.00	90.58	85.0	6.34
06/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	94,880.59	79.07	0.00	79.07	85.0	5.53
06/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	88,170.32	73.48	0.00	73.48	85.0	5.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
06/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	133,436.70	111.20	0.00	111.20	85.0	7.78
06/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	66,111.99	55.09	0.00	55.09	85.0	3.86
06/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,631.53	24.69	0.00	24.69	85.0	1.73
06/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	213,882.41	178.24	0.00	178.24	85.0	12.48
06/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,198.70	61.00	0.00	61.00	85.0	4.27
06/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,198.70	61.00	0.00	61.00	85.0	4.27
06/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,198.70	61.00	0.00	61.00	85.0	4.27
06/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	192,642.75	160.54	0.00	160.54	85.0	11.24
06/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	425,800.63	354.83	0.00	354.83	85.0	24.84
06/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	93,137.63	77.61	0.00	77.61	85.0	5.43
06/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	392,579.06	327.15	0.00	327.15	85.0	22.90
06/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	74,892.02	62.41	0.00	62.41	85.0	4.37
06/26/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	2,130.55	85.22	0.00	85.22	85.0	5.97
06/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	126,427.44	105.36	0.00	105.36	85.0	7.38
06/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	121,748.18	101.46	0.00	101.46	85.0	7.10
06/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,263.87	23.55	0.00	23.55	85.0	1.65
06/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	65,813.99	54.84	0.00	54.84	85.0	3.84
06/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,917.64	30.76	0.00	30.76	85.0	2.15
06/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	47,498.85	39.58	0.00	39.58	85.0	2.77
* THOMAS HALSEY SUBTOTAL *			<b>\$4,632,103.98</b>	<b>\$4,292.49</b>	<b>\$0.00</b>	<b>\$4,292.49</b>		<b>\$300.48</b>
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
06/30/2017	FLECK, MARTHA	ALLIANZ VALUEMARK II	26,244.82	16.40	0.00	16.40	90.0	0.33
06/30/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,537.47	8.03	0.00	8.03	90.0	0.16
06/30/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,144.66	1.34	0.00	1.34	90.0	0.03
06/30/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	41,326.68	34.44	0.00	34.44	90.0	0.69
06/30/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	102,414.95	85.35	0.00	85.35	90.0	1.71
06/30/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,555.16	22.13	0.00	22.13	90.0	0.44
06/30/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,965.70	31.64	0.00	31.64	90.0	0.63
06/30/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	129,456.86	107.88	0.00	107.88	90.0	2.16
06/30/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	136,862.12	114.05	0.00	114.05	90.0	2.28
06/30/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	172,903.92	144.09	0.00	144.09	90.0	2.88
06/30/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,194.92	21.00	0.00	21.00	90.0	0.42
06/30/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	46,082.61	38.40	0.00	38.40	90.0	0.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
06/30/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,112.61	15.09	0.00	15.09	90.0	0.30
06/30/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	25,161.78	20.97	0.00	20.97	90.0	0.42
06/30/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,394.68	140.33	0.00	140.33	90.0	2.81
06/30/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	160,155.90	133.46	0.00	133.46	90.0	2.67
06/30/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,218.61	128.52	0.00	128.52	90.0	2.57
06/30/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	219,314.97	182.76	0.00	182.76	90.0	3.66
06/30/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,561.51	35.47	0.00	35.47	90.0	0.71
06/30/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	118,493.12	98.74	0.00	98.74	90.0	1.97
06/30/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	110,647.04	92.21	0.00	92.21	90.0	1.84
06/30/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	120,323.27	100.27	0.00	100.27	90.0	2.01
06/30/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,909.47	19.92	0.00	19.92	90.0	0.40
06/30/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,854.17	24.05	0.00	24.05	90.0	0.48
07/01/2017	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	75,433.36	188.58	0.00	188.58	90.0	3.77
07/01/2017	OSTER, JOHN	NATIONWIDE DESTINATION L2 01	76,968.25	192.42	0.00	192.42	90.0	3.85
07/01/2017	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	33,975.05	84.94	0.00	84.94	90.0	1.70
07/01/2017	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	127,071.17	317.68	0.00	317.68	90.0	6.35
07/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	92,365.96	230.91	0.00	230.91	90.0	4.62
07/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	99,970.34	249.93	0.00	249.93	90.0	5.00
07/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	93,192.15	232.98	0.00	232.98	90.0	4.66
07/01/2017	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	213,329.69	533.32	0.00	533.32	90.0	10.67
07/01/2017	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	92,753.28	231.88	0.00	231.88	90.0	4.64
07/01/2017	COOPER, MARK	NATIONWIDE DESTINATION LS 96	106,971.38	267.43	0.00	267.43	90.0	5.35
07/01/2017	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	93,842.34	234.61	0.00	234.61	90.0	4.69
07/01/2017	GRIM, SUSAN	NW DESTINATION B2 05	8,182.20	15.34	0.00	15.34	90.0	0.31
07/01/2017	GRUBB, BEVERLY	NWL DESTINATION EV2 01	75,204.05	47.00	0.00	47.00	90.0	0.94
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.91	0.00	1.91	90.0	0.04
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.49	0.00	2.49	90.0	0.05
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.61	0.00	1.61	90.0	0.03
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	4.24	0.00	4.24	90.0	0.08
06/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	56,404.67	58.75	0.00	58.75	90.0	1.18
06/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	134,587.75	112.16	0.00	112.16	90.0	2.24
06/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,572.81	3.81	0.00	3.81	90.0	0.08
06/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	254,627.13	212.19	0.00	212.19	90.0	4.24

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
06/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,983.15	19.99	0.00	19.99	90.0	0.40
06/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,061.65	5.05	0.00	5.05	90.0	0.10
06/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	54,925.31	45.77	0.00	45.77	90.0	0.92
06/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,060.73	78.38	0.00	78.38	90.0	1.57
06/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,668.96	40.56	0.00	40.56	90.0	0.81
06/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	31,590.84	26.33	0.00	26.33	90.0	0.53
06/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,102.53	32.59	0.00	32.59	90.0	0.65
06/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,509.77	213.76	0.00	213.76	90.0	4.28
06/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,946.52	131.62	0.00	131.62	90.0	2.63
06/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	77,982.47	64.99	0.00	64.99	90.0	1.30
06/15/2017	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	505.65	0.42	0.00	0.42	90.0	0.01
06/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,575.56	2.15	0.00	2.15	90.0	0.04
06/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	233,101.26	194.25	0.00	194.25	90.0	3.89
06/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	115,618.83	96.35	0.00	96.35	90.0	1.93
06/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,619.08	2.18	0.00	2.18	90.0	0.04
06/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,508.31	102.09	0.00	102.09	90.0	2.04
06/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,862.85	22.39	0.00	22.39	90.0	0.45
06/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,781.66	83.15	0.00	83.15	90.0	1.66
06/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	83,315.24	69.43	0.00	69.43	90.0	1.39
06/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,744.26	33.12	0.00	33.12	90.0	0.66
06/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	110,974.65	92.48	0.00	92.48	90.0	1.85
06/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	69,647.47	58.04	0.00	58.04	90.0	1.16
06/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	98,881.53	82.40	0.00	82.40	90.0	1.65
06/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,945.27	144.95	0.00	144.95	90.0	2.90
06/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,117.52	179.26	0.00	179.26	90.0	3.59
06/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,885.74	22.40	0.00	22.40	90.0	0.45
06/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,381.85	64.48	0.00	64.48	90.0	1.29
06/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,741.41	125.62	0.00	125.62	90.0	2.51
06/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	59,913.31	49.93	0.00	49.93	90.0	1.00
06/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,557.59	26.30	0.00	26.30	90.0	0.53
06/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	112,651.55	93.88	0.00	93.88	90.0	1.88
06/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,186.20	140.16	0.00	140.16	90.0	2.80
<b>* ROBERT THOMPSON SUBTOTAL *</b>			<b>\$6,428,637.30</b>	<b>\$7,185.19</b>	<b>\$0.00</b>	<b>\$7,185.19</b>		<b>\$143.74</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$11,060,741.28	\$11,477.68	\$0.00	\$11,477.68		\$444.22
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*TECHNOLOGY: ADMIN - DEB RYAN	0.00					-100.00
07/15/2017		*TECHNOLOGY: ADMIN - J BOYERS	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-775.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$12,977.50
Split Transactions	\$0.00
Override Transactions	\$444.22
Adjustments	\$-775.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,646.72
Year-to-date Gross	\$72,983.35
Year-to-date Earnings	\$70,469.04

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