BROKER

All Companies

R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

**December 31, 2017** 

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

#### BROKER #: 48

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

#### COMMISSION STATEMENT for MARCO CASALE

INVESTMENT

RADE DATE   CLIENT INVESTMENT   INVESTMENT   B/S   AMOUNT   COMMISSION   CHARGE   COMMISSION   RATE   COMMISSION   COMMISSION   COMMISSION   RATE   COMMISSION					INVESTMENT	GROSS	CLEARING	NET		BROKER
PRODUCT CATEGORY: STOCKS   12/15/2017 OR0033474 PILLERA, CHARLES   NIC INC   B   18,000.00   100.00   6.00   94.00   80.0   12/18/2017 OR0033474 PILLERA, CHARLES   NIC INC   B   18,000.00   100.00   6.00   194.00   80.0   12/21/2017 OR0033474 PILLERA, CHARLES   MOMO INC ADR   B   16,541.79   200.00   6.00   194.00   80.0   12/21/2017 OR0033474 PILLERA, CHARLES   MOMO INC ADR   B   16,541.79   200.00   6.00   44.00   80.0   12/21/2017 OR0033474 PILLERA, CHARLES   MOMO INC ADR   B   16,541.79   200.00   6.00   44.00   80.0   12/21/2017 OR0033474 PILLERA, CHARLES   MYND ANALYTICS INC COMM   STOCK   S   -1,608.88   50.00   6.00   44.00   80.0   12/21/2017 OR0033474 PILLERA, CHARLES   MYND ANALYTICS INC COMMON STOCK   S   -1,608.88   50.00   6.00   194.00   80.0   12/18/2017 OR0033499 PILLERA, CHARLES   WTS MYND ANALYTICS INC WARRANT   S   -161.84   25.00   6.00   194.00   80.0   12/18/2017 OR0033599 PILLERA, CHARLES   FREEPORT MCMORAN INC   B   12,006.00   200.00   6.00   194.00   80.0   12/227/2017 OR0033599 PILLERA, CHARLES   FREEPORT MCMORAN INC   B   11,736.00   6.00   6.00   44.00   80.0   12/227/2017 OR0033599 PILLERA, CHARLES   TIM PARTICIPACOES S A SPONSORED ADR   B   11,736.00   6.00   6.00   49.00   80.0   12/14/2017 OR0033691 RANU, JULIO   GAMCO GLOBAL GOLD NATURAL RESOURCES   B   16,710.00   175.00   6.00   169.00   80.0   12/14/2017 OR0033694 PALENEN   FRANCIS,   NAZOR ROSOTICS LITS SPONSORED ADR   B   18,375.00   100.00   6.00   94.00   80.0   12/14/2017 OR0033694 PALENEN   FRANCIS,   NIC INC   ROSOTICS LITS SPONSORED ADR   B   18,375.00   100.00   6.00   94.00   80.0   12/18/2017 OR0033669 ARLENE   FRANCIS,   NIC INC   ROSOTICS LITS SPONSORED ADR   B   18,375.00   100.00   6.00   94.00   80.0   12/18/2017 OR0033669 ARLENE   FRANCIS,   TIM PARTICIPACOES S A SPONSORED ADR   B   18,375.00   100.00   6.00   94.00   80.0   12/18/2017 OR0033666 MOONEY, MARY   VIPSHOPH ILDES LITD SPONSORED ADR   B   18,405.00   6.00   194.00   80.0   12/18/2017 OR0033666 MOONEY, MARY   VIPSHOPH ILDES LITD SPONSORED ADR	TRADE DATE (	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: STOCKS   12/15/2017 ORNO33474 PILLERA, CHARLES   NIC INC   B   18,200.00   100.00   6.00   94.00   80.0   12/18/2017 ORNO33474 PILLERA, CHARLES   VIPSHOP HLDGS LTD SPONS ADR ISINHUS   1-12,257.30   200.00   6.00   194.00   80.0   12/22/2017 ORNO33474 PILLERA, CHARLES   MOMO INC ADR   B   16,541.79   200.00   6.00   194.00   80.0   12/27/2017 ORNO33474 PILLERA, CHARLES   MOMO INC ADR   B   16,541.79   200.00   6.00   194.00   80.0   12/27/2017 ORNO33474 PILLERA, CHARLES   MOMO INC ADR   B   16,541.79   200.00   6.00   44.00   80.0   12/27/2017 ORNO33474 PILLERA, CHARLES   MIND ANALYTICS INC COMMON STOCK   S   -1,688.88   50.00   6.00   44.00   80.0   12/27/2017 ORNO33474 PILLERA, CHARLES   WITS MYND ANALYTICS INC WARRANT   S   -161.84   25.00   6.00   194.00   80.0   12/18/2017 ORNO33497 PILLERA, CHARLES   WITS MYND ANALYTICS INC WARRANT   S   -12,182.90   200.00   6.00   194.00   80.0   12/18/2017 ORNO33499 PILLERA, CHARLES   FREEPORT MCMORAN INC   B   12,096.00   200.00   6.00   194.00   80.0   12/27/2017 ORNO33499 PILLERA, CHARLES   THE PARTICIPACOES S A SPONSORED ADR B   11,736.00   185.00   6.00   44.00   80.0   12/14/2017 ORNO33691 RAMU. JULIO   GAMCO GLOBAL GOLD NATURAL RESOURCES B   5,166.60   100.00   6.00   99.00   80.0   12/14/2017 ORNO33693 RAMU. JULIO   GAMCO GLOBAL GOLD NATURAL RESOURCES B   26,710.00   175.00   6.00   169.00   94.00   80.0   12/14/2017 ORNO33694 RALENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B   26,710.00   175.00   6.00   169.00   94.00   80.0   12/14/2017 ORNO33694 RALENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B   26,710.00   100.00   6.00   94.00   80.0   12/14/2017 ORNO33694 RALENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B   18,375.00   100.00   6.00   94.00   80.0   12/14/2017 ORNO33694 RALENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B   18,375.00   100.00   6.00   94.00   80.0   12/18/2017 ORNO33694 RALENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B   18,375.00   100.00   6.00   94.00   80.0   12/18/2017 ORNO33695 MOONEY, MARY   VIPSHOP										
12/15/2017 QGW033474 FILLERA, CHARLES NIC INC WISSION BE 18,200.00 100.00 6.00 194.00 80.0 12/18/2017 QGW033474 FILLERA, CHARLES WIDSION HILDS LTD SPONS ADR ISINEUS 5 -12,257.30 200.00 6.00 194.00 80.0 12/22/2017 QGW033474 FILLERA, CHARLES MOM ONC ADR B 16,541.79 200.00 6.00 194.00 80.0 12/27/2017 QGW033474 FILLERA, CHARLES CARA THERAPEUTICS INC COM S -6,240.00 50.00 6.00 44.00 80.0 12/27/2017 QGW033474 FILLERA, CHARLES WITS MIND ANALYTICS INC COMMON STOCK S -1,608.88 50.00 6.00 44.00 80.0 12/27/2017 QGW033479 FILLERA, CHARLES WITS MIND ANALYTICS INC WARRANT S -161.84 25.00 6.00 19.00 80.0 12/18/2017 QGW033599 FILLERA, CHARLES WITS MIND ANALYTICS INC WARRANT S -161.84 25.00 6.00 19.00 80.0 12/18/2017 QGW033599 FILLERA, CHARLES FREEPORT MCMCRAN INC B 12,096.00 200.00 6.00 194.00 80.0 12/22/2017 QGW033599 FILLERA, CHARLES FREEPORT MCMCRAN INC B 12,096.00 200.00 6.00 194.00 80.0 12/27/2017 QGW033599 FILLERA, CHARLES FREEPORT MCMCRAN INC S -12,664.40 50.00 6.00 44.00 80.0 12/27/2017 QGW033599 FILLERA, CHARLES FREEPORT MCMCRAN INC S -12,664.40 50.00 6.00 49.00 80.0 12/14/2017 QGW033599 FILLERA, CHARLES FREEPORT MCMCRAN INC S -12,664.40 50.00 6.00 49.00 80.0 12/14/2017 QGW033699 RILERA, CHARLES FREEPORT MCMCRAN INC S -12,664.40 50.00 6.00 49.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADR B 11,736.00 189.00 6.00 94.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADR B 26,710.00 175.00 6.00 94.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADR B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADR B 11,720.76 175.00 6.00 194.00 80.0 12/14/2017 QGW033666 MONEY, MARY NIC INC B 18,287.50 100.00 6.00 94.00 80.0 12/14/2017 QGW033666 MONEY, MARY NIC INC B 18,287.50 100.00 6.00 94.00 80.0 12/14/2017 QGW033666 MONEY, MARY NIC INC B 18,287.50 100.00 6.00 94.00 80.0 12/14/2017 QGW033666 MONEY, MARY WITH MIXID ANALYTICS INC WARRANT S -333.20 25.00 6.00 199.00 80.0 12/14/2017 QGW033666 MONEY			BROKER T	RANS	ACTIONS					
12/15/2017 QGN033474 FILLERA, CHARLES NIC INC WIFSHOP HLDGS LTD SPONS ADR ISIN#US -12,257.30 200.00 6.00 194.00 80.0 12/12/2017 QGN033474 FILLERA, CHARLES WOMO INC ADR B 16,541.79 200.00 6.00 194.00 80.0 12/22/2017 QGN033474 FILLERA, CHARLES CARA THERAPEUTICS INC COM S -6,240.00 50.00 6.00 44.00 80.0 12/27/2017 QGN033474 FILLERA, CHARLES CARA THERAPEUTICS INC COM S -6,240.00 50.00 6.00 44.00 80.0 12/27/2017 QGN033474 FILLERA, CHARLES WITH MIND ARALYTICS INC COMMON STOCK S -1,608.88 50.00 6.00 44.00 80.0 12/27/2017 QGN033479 FILLERA, CHARLES WITH MIND ARALYTICS INC WARRANT S -161.84 25.00 6.00 19.00 80.0 12/18/2017 QGN033499 FILLERA, CHARLES WITH MIND ARALYTICS INC WARRANT S -161.84 25.00 6.00 19.00 80.0 12/18/2017 QGN033599 FILLERA, CHARLES FREEPORT MCMORAN INC B 12,096.00 200.00 6.00 194.00 80.0 12/29/2017 QGN033599 FILLERA, CHARLES FREEPORT MCMORAN INC B 12,096.00 200.00 6.00 194.00 80.0 12/27/2017 QGN033599 FILLERA, CHARLES FREEPORT MCMORAN INC B 12,096.00 200.00 6.00 140.00 80.0 12/27/2017 QGN033599 FILLERA, CHARLES FREEPORT MCMORAN INC B 12,096.00 200.00 6.00 140.00 80.0 12/21/4/2017 QGN033599 PILLERA, CHARLES FREEPORT MCMORAN INC B 12,185.00 185.00 6.00 494.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADR B 11,736.00 185.00 6.00 194.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADR B 16,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, NIC INC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, NIC INC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, NIC INC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, NIC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN0336549 ARLENE M FRANCIS, NIC INC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN0336549 ARLENE M FRANCIS, NIC INC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN033649 ARLENE M FRANCIS, NIC INC INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGN0336549 ARLENE M FRANCIS, N	DDODICT CATEC	IODV. CTOCKC								
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12/22/2017 QSW033474 PILLERA, CHARLES MOMO INC ADR B 16,541.79 200.00 6.00 194.00 80.0 12/277/2017 QSW033474 PILLERA, CHARLES CARA THERAPEUTICS INC COM S -6.240.00 50.00 6.00 44.00 80.0 12/277/2017 QSW033474 PILLERA, CHARLES WIS MYND ANALYTICS INC COMMON STOCK S -1.608.88 50.00 6.00 19.00 80.0 12/27/2017 QSW033474 PILLERA, CHARLES WIS MYND ANALYTICS INC WARRANT S -161.84 25.00 6.00 194.00 80.0 12/18/2017 QSW033499 PILLERA, CHARLES WIS MYND ANALYTICS INC WARRANT S -12,182.90 200.00 6.00 194.00 80.0 12/19/2017 QSW033499 PILLERA, CHARLES PREPORT MCMORAN INC B 12,096.00 200.00 6.00 144.00 80.0 12/27/2017 QSW033599 PILLERA, CHARLES PREPORT MCMORAN INC S -12,664.40 50.00 6.00 44.00 80.0 12/27/2017 QSW033599 PILLERA, CHARLES TIM PARTICIPACOES S A SPONSORED ADR B 11,736.00 185.00 6.00 44.00 80.0 12/14/2017 QSW033691 RAMU, JULIO GAMCO GLOBAL GOLD NATURAL RESOURCES B 5,166.60 100.00 6.00 179.00 80.0 12/14/2017 QSW033694 PALENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 175.00 6.00 169.00 80.0 12/14/2017 QSW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 18,375.00 100.00 6.00 194.00 80.0 12/14/2017 QSW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 11,700.00 100.00 6.00 194.00 80.0 12/14/2017 QSW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 18,375.00 100.00 6.00 194.00 80.0 12/14/2017 QSW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 18,375.00 100.00 6.00 194.00 80.0 12/14/2017 QSW033669 MOONEY, MARY NIC INC B 11,720.70 B 11,720.70 100.00 6.00 194.00 80.0 12/14/2017 QSW033666 MOONEY, MARY NIC INC B 11,720.70 B 11,720.70 100.00 6.00 194.00 80.0 12/14/2017 QSW033666 MOONEY, MARY NIC INC B 11,720.70 B 11,720.70 100.00 6.00 194.00 80.0 12/14/2017 QSW033666 MOONEY, MARY NIC INC B 11,720.70 B 11,720.70 60.00 6.00 194.00 80.0 12/14/2017 QSW033666 MOONEY, MARY NIC INC B 11,720.70 100.70 100.70 6.00 6.00 194.00 80.0 12/14/2017 QSW033666 MOONEY, MARY NIC INC WARRANT S -333.20 15.00 6.00 190.0 80.0 12/14/2017 QSW033666 MOONEY, MARY NIC INC WARRANT S -333.20 15.00 6.00 1										154.00
12/27/2017 QSW033474 PILLERA, CHARLES	_									154.00
12/27/2017 QGW033474 PILLERA, CHARLES WTS MYND ANALYTICS INC COMMON STOCK S -1.608.88 50.00 6.00 44.00 80.0 12/27/2017 QGW033474 PILLERA, CHARLES WTS MYND ANALYTICS INC WARRANT S -1.61.84 25.00 6.00 19.00 80.0 12/18/2017 QGW033599 PILLERA, CHARLES VIPSHOP HLDGS LTD SPONS ADR ISINHUS S -1.21,82.90 200.00 6.00 194.00 80.0 12/19/2017 QGW033599 PILLERA, CHARLES FREEPORT MCMORAN INC B 12.096.00 200.00 6.00 194.00 80.0 12/22/2017 QGW033599 PILLERA, CHARLES FREEPORT MCMORAN INC S -12,664.40 50.00 6.00 44.00 80.0 12/27/2017 QGW033599 PILLERA, CHARLES TIM PARTICIPACOES S A SPONSORED ADR B 11,736.00 185.00 6.00 179.00 80.0 12/11/2017 QGW033699 PILLERA, CHARLES TIM PARTICIPACOES S A SPONSORED ADR B 11,736.00 185.00 6.00 179.00 80.0 12/11/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 175.00 6.00 169.00 80.0 12/11/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 175.00 6.00 94.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, MAZOR ROBOTICS LTD SPONSORED ADS B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, UNITED CONTINENTAL HLDGS INC B 18,375.00 100.00 6.00 94.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, UNITED CONTINENTAL HLDGS INC B 18,375.00 100.00 6.00 194.00 80.0 12/14/2017 QGW033649 ARLENE M FRANCIS, UNITED CONTINENTAL HLDGS INC B 12,897.50 100.00 6.00 194.00 80.0 12/14/2017 QGW033669 ARLENE M FRANCIS, UNITED CONTINENTAL HLDGS INC B 12,897.50 100.00 6.00 194.00 80.0 12/14/2017 QGW033665 MOONEY, MARY VIPSHOP HLDGS LTD SPONS ADR ISINHUSS -12,164.40 200.00 6.00 194.00 80.0 12/14/2017 QGW033665 MOONEY, MARY VIPSHOP HLDGS LTD SPONS ADR ISINHUSS -12,164.40 200.00 6.00 194.00 80.0 12/14/2017 QGW033665 MOONEY, MARY TIM PARTICIPACOES S A SPONSORED ADR B 9,753.45 165.00 6.00 199.00 80.0 12/14/2017 QGW033665 MOONEY, MARY TIM PARTICIPACOES S A SPONSORED ADR B 9,753.45 165.00 6.00 199.00 80.0 12/14/2017 QGW0333665 MOONEY, MARY TIM PARTICIPACOES S A SPONSORED ADR B 15,558.00 25.00 6.00 199.00 80.0 12/14/2017 QGW0333665 MOONEY, MARY TIM PARTICIPACO										34.00
12/27/2017 QGW033599 PILLERA, CHARLES VIPSHOP HLDGS LTD SPONS ADR ISIN#US S -12,182,90 200.00 6.00 194.00 80.0 12/18/2017 QGW033599 PILLERA, CHARLES FREEPORT MCMORAN INC B 12,000,00 0.00 0.00 0.00 0.00 0.00 0.00 0										34.00
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12/27/2017 QGW033656 MOONEY, MARY WTS MYND ANALYTICS INC WARRANT S -333.20 25.00 6.00 19.00 80.0 12/14/2017 QGW033730 KIM, IN NIC INC B 18,425.00 100.00 6.00 94.00 80.0 11/29/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 15,558.00 225.00 6.00 219.00 80.0 12/18/2017 QGW033763 LYONS, SALLY MYND ANALYTICS INC COMMON STOCK S -3,305.44 75.00 6.00 69.00 80.0 12/19/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC B 8,640.00 165.00 6.00 159.00 80.0 12/22/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC S -9,046.25 50.00 6.00 44.00 80.0 12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/19/2017 QGW0	33656 MOONEY, MARY	ADVANCED MICRO DEVICES INC	В	8,242.50	165.00	6.00	159.00	80.0	126.00
12/14/2017 QGW033730 KIM, IN NIC INC B 18,425.00 100.00 6.00 94.00 80.0 11/29/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 15,558.00 225.00 6.00 219.00 80.0 12/18/2017 QGW033763 LYONS, SALLY MYND ANALYTICS INC COMMON STOCK S -3,305.44 75.00 6.00 69.00 80.0 12/19/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC B 8,640.00 165.00 6.00 159.00 80.0 12/22/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC S -9,046.25 50.00 6.00 44.00 80.0 12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/27/2017 QGW0	33656 MOONEY, MARY	TIM PARTICIPACOES S A SPONSORED AI	DR B	9,753.45	165.00	6.00	159.00	80.0	126.00
11/29/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 15,558.00 225.00 6.00 219.00 80.0 12/18/2017 QGW033763 LYONS, SALLY MYND ANALYTICS INC COMMON STOCK S -3,305.44 75.00 6.00 69.00 80.0 12/19/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC B 8,640.00 165.00 6.00 159.00 80.0 12/22/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC S -9,046.25 50.00 6.00 44.00 80.0 12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/27/2017 QGW0	33656 MOONEY, MARY	WTS MYND ANALYTICS INC WARRANT	S	-333.20	25.00	6.00	19.00	80.0	14.00
12/18/2017 QGW033763 LYONS, SALLY MYND ANALYTICS INC COMMON STOCK S -3,305.44 75.00 6.00 69.00 80.0 12/19/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC B 8,640.00 165.00 6.00 159.00 80.0 12/22/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC S -9,046.25 50.00 6.00 44.00 80.0 12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/14/2017 QGW0	33730 KIM, IN	NIC INC	В	18,425.00	100.00	6.00	94.00	80.0	74.00
12/19/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC B 8,640.00 165.00 6.00 159.00 80.0 12/22/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC S -9,046.25 50.00 6.00 44.00 80.0 12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	11/29/2017 QGW0	33763 LYONS, SALLY	MOMO INC ADR	В	15,558.00	225.00	6.00	219.00	80.0	174.00
12/22/2017 QGW033763 LYONS, SALLY FREEPORT MCMORAN INC S -9,046.25 50.00 6.00 44.00 80.0 12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/18/2017 QGW0	33763 LYONS, SALLY	MYND ANALYTICS INC COMMON STOCK	S	-3,305.44	75.00	6.00	69.00	80.0	54.00
12/22/2017 QGW033763 LYONS, SALLY MOMO INC ADR B 7,634.10 125.00 6.00 119.00 80.0 12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/19/2017 QGW0	33763 LYONS, SALLY	FREEPORT MCMORAN INC	В	8,640.00	165.00	6.00	159.00	80.0	126.00
12/11/2017 QGW033805 LYONS, SALLY CELGENE CORP S -11,000.00 50.00 6.00 44.00 80.0 12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/22/2017 QGW0	33763 LYONS, SALLY	FREEPORT MCMORAN INC	S	-9,046.25	50.00	6.00	44.00	80.0	34.00
12/11/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS B 26,710.00 150.00 6.00 144.00 80.0	12/22/2017 QGW0	33763 LYONS, SALLY	MOMO INC ADR	В	7,634.10	125.00	6.00	119.00	80.0	94.00
	12/11/2017 QGW0	33805 LYONS, SALLY	CELGENE CORP	S	-11,000.00	50.00	6.00	44.00	80.0	34.00
12/14/2017 QGW033805 LYONS, SALLY MAZOR ROBOTICS LTD SPONSORED ADS S -27,750.00 100.00 6.00 94.00 80.0	12/11/2017 QGW0	33805 LYONS, SALLY	MAZOR ROBOTICS LTD SPONSORED ADS	В	26,710.00	150.00	6.00	144.00	80.0	114.00
	12/14/2017 QGW0	33805 LYONS, SALLY	MAZOR ROBOTICS LTD SPONSORED ADS	S	-27,750.00	100.00	6.00	94.00	80.0	74.00
12/14/2017 QGW033805 LYONS, SALLY NIC INC B 18,400.00 100.00 6.00 94.00 80.0	12/14/2017 QGW0	33805 LYONS, SALLY	NIC INC	В	18,400.00	100.00	6.00	94.00	80.0	74.00

## COMMISSION STATEMENT for MARCO CASALE

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/19/2017 QGW033805 LYONS, SALLY	FREEPORT MCMORAN INC B	8,640.00	165.00	6.00	159.00	80.0	126.00			
12/22/2017 QGW033805 LYONS, SALLY	FREEPORT MCMORAN INC S	-9,047.50	50.00	6.00	44.00	80.0	34.00			
12/27/2017 QGW033805 LYONS, SALLY	TIM PARTICIPACOES S A SPONSORED ADR B	9,733.75	165.00	6.00	159.00	80.0	126.00			
12/27/2017 QGW033805 LYONS, SALLY	WTS MYND ANALYTICS INC WARRANT S	-650.37	35.00	6.00	29.00	80.0	22.00			
11/30/2017 QGW033813 MILLIGAN, JOAN	GAMCO GLOBAL GOLD NATURAL RESOURCES S	-3,668.00	50.00	6.00	44.00	80.0	34.00			
12/27/2017 QGW033813 MILLIGAN, JOAN	SACHEM CAPITAL CORP COMMON SHARES B	2,557.43	50.00	6.00	44.00	80.0	34.00			
12/14/2017 QGW034761 SYMCZAK, STEPHEN	NIC INC B	18,500.00	100.00	6.00	94.00	80.0	74.00			
12/11/2017 QGW034894 MODDERNO, PATRICIA	WTS MYND ANALYTICS INC WARRANT S	-400.22	50.00	6.00	44.00	80.0	34.00			
12/14/2017 QGW035370 DHIRMALANI, MILDRED	NIC INC B	18,450.00	100.00	6.00	94.00	80.0	74.00			
12/19/2017 QGW035438 RIZZO, GIOVANNI	FREEPORT MCMORAN INC B	10,368.00	165.00	6.00	159.00	80.0	126.00			
12/22/2017 QGW035438 RIZZO, GIOVANNI	FREEPORT MCMORAN INC S	-10,848.90	50.00	6.00	44.00	80.0	34.00			
12/27/2017 QGW035438 RIZZO, GIOVANNI	TIM PARTICIPACOES S A SPONSORED ADR B	9,788.75	165.00	6.00	159.00	80.0	126.00			
12/22/2017 QGW036493 ARLENE M FRANCIS,	SELECT SECTOR SPDR TRUST THE FINANC B	23,281.50	180.00	6.00	174.00	80.0	138.00			
12/14/2017 QGW036592 TREIBER, NANCY	MYND ANALYTICS INC COMMON STOCK S	-6,312.22	65.00	6.00	59.00	80.0	46.00			
12/27/2017 QGW036592 TREIBER, NANCY	WTS MYND ANALYTICS INC WARRANT S	-628.65	35.00	6.00	29.00	80.0	22.00			
12/08/2017 QGW036915 CASSERINO, PAULA	WTS MYND ANALYTICS INC WARRANT S	-476.00	50.00	6.00	44.00	80.0	34.00			
12/14/2017 QGW036915 CASSERINO, PAULA	NIC INC B	11,115.00	100.00	6.00	94.00	80.0	74.00			
12/18/2017 QGW036915 CASSERINO, PAULA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US S	-24,500.40	200.00	6.00	194.00	80.0	154.00			
12/19/2017 QGW036915 CASSERINO, PAULA	FREEPORT MCMORAN INC B	8,640.00	165.00	6.00	159.00	80.0	126.00			
12/22/2017 QGW036915 CASSERINO, PAULA	FREEPORT MCMORAN INC S	-9,041.70	50.00	6.00	44.00	80.0	34.00			
12/22/2017 QGW036915 CASSERINO, PAULA	MOMO INC ADR B	25,447.90	250.00	6.00	244.00	80.0	194.00			
11/29/2017 QGW038705 SAPIO, ROBERTA	TERRA NITROGEN CO LP COMMON UNITS B	16,454.00	165.00	6.00	159.00	80.0	126.00			
12/19/2017 QGW038705 SAPIO, ROBERTA	TWO HARBORS INVESTMENT CORP COMMON B	16,640.00	165.00	6.00	159.00	80.0	126.00			
11/29/2017 QGW038796 LAPINSKI, CLAIRE	SACHEM CAPITAL CORP COMMON SHARES B	3,980.00	70.00	6.00	64.00	80.0	50.00			
12/14/2017 QGW038796 LAPINSKI, CLAIRE	DYNEX CAP INC COM NEW B	7,236.60	100.00	6.00	94.00	80.0	74.00			
12/19/2017 QGW038796 LAPINSKI, CLAIRE	ADVANCED MICRO DEVICES INC B	8,792.00	125.00	6.00	119.00	80.0	94.00			
11/29/2017 QGW038812 KOHN, ROBERT	NVIDIA CORP B	19,841.52	125.00	6.00	119.00	80.0	94.00			
12/14/2017 QGW038812 KOHN, ROBERT	NIC INC B	18,550.00	100.00	6.00	94.00	80.0	74.00			
12/15/2017 QGW038812 KOHN, ROBERT	CELGENE CORP S	-10,500.00	80.73	6.00	74.73	80.0	58.58			
12/15/2017 QGW038812 KOHN, ROBERT	CHIPOTLE MEXICAN GRILL INC COMMON S S	-29,000.00	80.73	6.00	74.73	80.0	58.58			
12/18/2017 QGW038812 KOHN, ROBERT	UNITED CONTINENTAL HLDGS INC B	31,962.35	200.00	6.00	194.00	80.0	154.00			
11/29/2017 QGW038895 DENISI, ANTHONY	SQUARE INC CLASS A COM B	13,011.00	150.00	6.00	144.00	80.0	114.00			
	* STOCKS SUBTOTAL *	\$320,235.93	\$7,506.46	\$378.00	\$7,128.46		\$5,627.16			
PRODUCT CATEGORY: OPTIONS										
12/21/2017 QGW033599 PILLERA, CHARLES	CALL 100 WHITING PETE CORP COM NEW S	-1,015.00	95.00	6.00	89.00	80.0	70.00			
12/27/2017 QGW033599 PILLERA, CHARLES	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,310.00	65.00	6.00	59.00		46.00			
12/08/2017 QGW033649 ARLENE M FRANCIS,	CALL 100 CHIPOTLE MEXICAN GRILL INC S	-4,100.00	75.00	6.00	69.00		54.00			
12/08/2017 QGW033649 ARLENE M FRANCIS,	CALL 100 CHIPOTLE MEXICAN GRILL INC B	2,810.00	50.00	6.00	44.00	80.0	34.00			
12/19/2017 QGW033649 ARLENE M FRANCIS,	CALL 100 UNITED CONTL HLDGS INC COM S	-430.00	50.00	6.00	44.00	80.0	34.00			

## COMMISSION STATEMENT for MARCO CASALE

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: OPTIONS							
12/18/2017 OGW033730 KIM, IN	CALL 100 COHERUS BIOSCIENCES INC CO S	-270.00	50.00	6.00	44.00	80.0	34.00
12/20/2017 QGW033805 LYONS, SALLY	CALL 100 WHITING PETE CORP COM NEW S	-1,212.00	100.00	6.00	94.00	80.0	74.00
12/14/2017 QGW034761 SYMCZAK, STEPHEN	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-267.00	50.00	6.00	44.00	80.0	34.00
12/14/2017 QGW034761 SYMCZAK, STEPHEN	CALL 100 MOMO INC ADR ISIN#US60879B S	-155.00	50.00	6.00	44.00	80.0	34.00
12/18/2017 QGW034761 SYMCZAK, STEPHEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-360.00	50.00	6.00	44.00	80.0	34.00
12/11/2017 QGW034894 MODDERNO, PATRICIA	CALL 100 WHITING PETE CORP COM NEW S	-612.00	65.00	6.00	59.00	80.0	46.00
12/18/2017 QGW034894 MODDERNO, PATRICIA	CALL 100 COHERUS BIOSCIENCES INC CO S	-270.00	50.00	6.00	44.00	80.0	34.00
12/14/2017 QGW035438 RIZZO, GIOVANNI	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-259.00	50.00	6.00	44.00	80.0	34.00
12/14/2017 QGW035438 RIZZO, GIOVANNI	CALL 100 BARCLAYS BK PLC IPATH S&P S	-530.00	50.00	6.00	44.00	80.0	34.00
12/14/2017 QGW035438 RIZZO, GIOVANNI	CALL 100 MOMO INC ADR ISIN#US60879B S	-140.00	50.00	6.00	44.00	80.0	34.00
12/18/2017 QGW035438 RIZZO, GIOVANNI	CALL 100 WHITING PETE CORP COM NEW S	-1,340.00	95.00	6.00	89.00	80.0	70.00
12/19/2017 QGW036493 ARLENE M FRANCIS,	CALL 100 WHITING PETE CORP COM NEW S	-633.00	50.00	6.00	44.00	80.0	34.00
12/08/2017 QGW036584 TREIBER, NANCY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-450.00	50.00	6.00	44.00	80.0	34.00
12/11/2017 QGW036584 TREIBER, NANCY	CALL 100 NVIDIA CORP COM EXP 01-19- B	5,780.00	150.00	6.00	144.00	80.0	114.00
12/11/2017 QGW036584 TREIBER, NANCY	CALL 100 NVIDIA CORP COM EXP 06-15- S	-6,180.00	150.00	6.00	144.00	80.0	114.00
12/19/2017 QGW036584 TREIBER, NANCY	CALL 100 WHITING PETE CORP COM NEW S	-1,275.00	65.00	6.00	59.00	80.0	46.00
12/08/2017 QGW036592 TREIBER, NANCY	CALL 100 COHERUS BIOSCIENCES INC CO S	-250.00	50.00	6.00	44.00	80.0	34.00
12/08/2017 QGW036592 TREIBER, NANCY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-450.00	50.00	6.00	44.00	80.0	34.00
12/08/2017 QGW036592 TREIBER, NANCY	CALL 100 WHITING PETE CORP COM NEW S	-975.00	75.00	6.00	69.00	80.0	54.00
12/14/2017 QGW038705 SAPIO, ROBERTA	CALL 100 MOMO INC ADR ISIN#US60879B S	-100.00	50.00	6.00	44.00	80.0	34.00
12/15/2017 QGW038705 SAPIO, ROBERTA	CALL 100 CELGENE CORP EXP 12-15-17@ B	395.00	50.00	6.00	44.00	80.0	34.00
12/15/2017 QGW038705 SAPIO, ROBERTA	CALL 100 CELGENE CORP EXP 03-16-18@ S	-395.00	50.00	6.00	44.00	80.0	34.00
12/08/2017 QGW038812 KOHN, ROBERT	CALL 100 ALIBABA GROUP HLDG LTD SPO S	-241.00	50.00	6.00	44.00	80.0	34.00
12/08/2017 QGW038812 KOHN, ROBERT	CALL 100 MOMO INC ADR ISIN#US60879B S	-350.00	50.00	6.00	44.00	80.0	34.00
12/08/2017 QGW038812 KOHN, ROBERT	CALL 100 NVIDIA CORP COM EXP 02-16- S	-1,110.00	65.00	6.00	59.00	80.0	46.00
12/18/2017 QGW038812 KOHN, ROBERT	CALL 100 UNITED CONTL HLDGS INC COM S	-295.00	50.00	6.00	44.00	80.0	34.00
	* OPTIONS SUBTOTAL *	\$-15,989.00	\$2,000.00	\$186.00	\$1,814.00		\$1,414.00
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
12/15/2017 QGW037061 ALONSO, ALICE	AMERICAN BALANCED FUND-A B	0.00	1.54	0.00	1.54	80.0	1.23
12/15/2017 QGW037061 ALONSO, ALICE	NEW PERSPECTIVE FUND-A B	0.00	1.55	0.00	1.55	80.0	1.24
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.09	\$0.00	\$3.09		\$2.47
	*** BROKER TRANSACTIONS TOTAL ***	\$304,246.93	\$9,509.55	\$564.00	\$8,945.55		\$7,043.63

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			ADJUSTME	NTS					
12/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00	ı	-6,682.97
12/31/2017		OTHER: COMPLIANCE AND TECH	NOLOGY	0.00	0.00	0.00	0.00	1	-150.00
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00	1	-8.00
12/31/2017		OTHER: OTHER-STATE REGIST		0.00	0.00	0.00	0.00	1	-317.00
		*** ADJUSTMENTS	TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	•	\$-7,157.97
						Ē	ROKER COMM	ISSION	TOTALS
						Broke:	r Transactions	3	\$7,043.63
						Spli	t Transactions	3	\$0.00
						Overrid	e Transactions	3	\$0.00
							Adjustments	3	\$-7,157.97
							Payroll Draw	Į.	\$0.00
							Base Salary	7	\$0.00
						Prior	Period Balance	2	\$0.00
						FI	NRA Assessment	:	\$-11.89
						S	IPC Assessment	:	\$-23.77
		Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD  Balance Forward					1	\$-150.00	
						Year-to	-date Earnings	5	\$35,288.47