



COMMISSION STATEMENT

February 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/02/2018	***-**-02IO - FISCHER, ALLYSE ACCOUNT #: LSR012367	AMERICAN FUNDS GRWTH & INCOME PORT BUY 218 Units @16.4100	3,580.01	152.15	18.00	134.15	70.0	93.91
02/05/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 18 Units @13.9900	250.00	7.50	0.00	7.50	70.0	5.25
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @21.0700	29.53	0.89	0.00	0.89	70.0	0.62
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @21.0700	5.54	0.17	0.00	0.17	70.0	0.12
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @21.0700	5.54	0.17	0.00	0.17	70.0	0.12
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @21.0700	29.53	0.89	0.00	0.89	70.0	0.62
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @56.9700	132.92	5.65	0.00	5.65	70.0	3.96
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @56.9700	24.92	1.06	0.00	1.06	70.0	0.74
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @56.9700	24.92	1.06	0.00	1.06	70.0	0.74
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @56.9700	132.92	5.65	0.00	5.65	70.0	3.96
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 1 Units @62.5900	44.31	1.88	0.00	1.88	70.0	1.32
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @62.5900	8.31	0.35	0.00	0.35	70.0	0.25
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 1 Units @62.5900	44.31	1.88	0.00	1.88	70.0	1.32
01/30/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @62.5900	8.31	0.35	0.00	0.35	70.0	0.25
01/22/2018	***-**-0485 - WHALEN, STEPHEN ACCOUNT #: 06090486215	FEDERATED 31421R841 BUY 2 Units @20.5800	50.00	2.50	0.00	2.50	70.0	1.75
01/30/2018	***-**-8526 - O'LEARY, MARY ACCOUNT #: 04000234328	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.4400	7.50	0.08	0.00	0.08	70.0	0.06



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/30/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	2.25	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: 04000234328	BUY 0 Units @65.4400						
01/30/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	15.00	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 04000234328	BUY 0 Units @65.4400						
01/30/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	4.50	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 04000234328	BUY 0 Units @65.4400						
02/02/2018	***-**-02IO - FISCHER, ALLYSE	GLOBAL GROWTH PORTFOLIO-A	5,382.00	228.73	18.00	210.73	70.0	147.51
	ACCOUNT #: LSR012367	BUY 293 Units @18.3400						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @56.3200						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @56.3200						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @56.3200						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @56.3200						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @25.2900						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @25.2900						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @25.2900						
01/30/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @25.2900						
01/31/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 01293376129	BUY 5 Units @48.9700						
* MUTUAL FUNDS SUBTOTAL *			\$10,245.14	\$424.18	\$36.00	\$388.18		\$271.77
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/08/2018	***-**-9587 - FILTER COMPANY,	MASS MUTUAL VA	0.00	97.61	0.00	97.61	70.0	68.33
	ACCOUNT #: 836356	BUY 1 Units @0.0000						
02/12/2018	***-**-5233 - DUTCHESS,	MASS MUTUAL VA	0.00	47.38	0.00	47.38	70.0	33.17
	ACCOUNT #: 841406	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
02/12/2018	***-**-5233 - DUTCHESS, ACCOUNT #: 841406	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	47.38	0.00	47.38	70.0	33.17
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$192.37	\$0.00	\$192.37		\$134.67
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/05/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS T/E PRESERVATION POR BUY 76 Units @9.9300	750.00	15.00	0.00	15.00	70.0	10.50
01/31/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000060177044189	FRANKLIN GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	0.61	0.00	0.61	70.0	0.43
01/31/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000090221306726	FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000	0.00	10.89	0.00	10.89	70.0	7.62
01/31/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000090235302798	FRANKLIN INCOME FUND CLASS A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	70.0	0.17
01/31/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000015812472167	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	22.02	0.00	22.02	70.0	15.41
01/31/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000050118127094	FRK HIGH INC FND - CL A BUY 0 Units @0.0000	0.00	10.16	0.00	10.16	70.0	7.11
01/31/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000000007278373	MUTUAL SERIES SHARES CLASS A BUY 0 Units @0.0000	0.00	0.47	0.00	0.47	70.0	0.33
01/31/2018	***-**-5922 - HOTAILING, ACCOUNT #: 000006984984022	TEMP GLOBAL BOND FND - CL A BUY 0 Units @0.0000	0.00	15.78	0.00	15.78	70.0	11.05
01/31/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 000001980815969	TEMPLETON GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	70.0	0.29
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$750.00	\$75.58	\$0.00	\$75.58		\$52.91
		*** BROKER TRANSACTIONS TOTAL ***	\$10,995.14	\$692.13	\$36.00	\$656.13		\$459.35



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BROKER COMMISSION TOTALS									
Broker Transactions									\$459.35
Split Transactions									\$0.00
Override Transactions									\$0.00
Adjustments									\$0.00
Payroll Draw									\$0.00
Base Salary									\$0.00
Prior Period Balance									\$0.00
FINRA Assessment									\$0.00
SIPC Assessment									\$0.00
Net Commission Earned									\$459.35
Year-to-date Earnings									\$406.73