

COMMISSION STATEMENT

June 15, 2018

RIX SMITH-D063

BROKER #: D063

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2018	00059313078	PATTERSON, LESLIE	MFT THE INVESTMENT COMPANY OF	B	0.00	25.91	0.00	25.91	48.0	12.44
06/01/2018	00060848331	JILL MCCARTHY,	MFT AMERICAN MUTUAL FUND-A	B	0.00	1.26	0.00	1.26	48.0	0.60
06/01/2018	00061789455	DURST, CAREY	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.70	0.00	0.70	48.0	0.34
06/01/2018	00063091880	PATTERSON, LESLIE	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.88	0.00	0.88	48.0	0.42
06/01/2018	00063091881	PATTERSON, THOMAS	MFT SMALLCAP WORLD FUND-A	B	0.00	0.94	0.00	0.94	48.0	0.45
06/01/2018	00063091882	PATTERSON, LESLIE	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	2.93	0.00	2.93	48.0	1.41
06/01/2018	00063817975	MOODY, JENNIFER	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.14	0.00	0.14	48.0	0.07
06/01/2018	00085601626	SMITH, CORINNE	MFT INTERMEDIATE BOND FUND OF	B	0.00	7.79	0.00	7.79	48.0	3.74
06/01/2018	00085601626	SMITH, CORINNE	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	39.87	0.00	39.87	48.0	19.14
06/01/2018	00086504672	SUSAN K LEOPOLD,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.87	0.00	0.87	48.0	0.42
06/01/2018	00086504691	SUSAN K LEOPOLD,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.87	0.00	0.87	48.0	0.42
06/01/2018	00086504702	SUSAN K LEOPOLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.86	0.00	0.86	48.0	0.41
06/01/2018	00086504711	SUSAN K LEOPOLD,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.86	0.00	0.86	48.0	0.41
06/01/2018	00086504717	PERRY J LEOPOLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.80	0.00	0.80	48.0	0.38
06/01/2018	00086504726	PERRY J LEOPOLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.20	0.00	1.20	48.0	0.58
06/01/2018	00086504733	PERRY J LEOPOLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.80	0.00	0.80	48.0	0.38
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$86.68	\$0.00	\$86.68	\$41.61	
*** DIRECT TRANSACTIONS TOTAL ***					\$0.00	\$86.68	\$0.00	\$86.68	\$41.61	

SPLIT TRANSACTIONS

BROKER: RIX/RANDY SMITH/SMITH-D081 #80

06/07/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,819.90	48.20	0.00	48.20		23.14
06/01/2018	00058301600	SMITH, LAURA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	10.04	0.00	10.04		4.82
06/01/2018	00059032323	SMITH, LAURA	MFT AMERICAN BALANCED FUND-A	B	0.00	84.87	0.00	84.87		40.74
06/01/2018	00059032323	SMITH, LAURA	MFT AMERICAN MUTUAL FUND-A	B	0.00	44.83	0.00	44.83		21.52
06/01/2018	00059032323	SMITH, LAURA	MFT THE INVESTMENT COMPANY OF	B	0.00	43.99	0.00	43.99		21.12
06/01/2018	00064630494	WARREN, JANET	MFT AMERICAN BALANCED FUND-A	B	0.00	8.44	0.00	8.44		4.05
06/01/2018	00064630494	WARREN, JANET	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.83	0.00	8.83		4.24
06/01/2018	00064630494	WARREN, JANET	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.66	0.00	9.66		4.64

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06/01/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN BALANCED FUND-A	B	0.00	1.68	0.00	1.68		0.81
06/01/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.30	0.00	3.30		1.58
06/01/2018 00064669115	CARTER, CHRISTY	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.88	0.00	6.88		3.30
06/01/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.85	0.00	2.85		1.37
06/01/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.45	0.00	4.45		2.14
06/01/2018 00064669115	CARTER, CHRISTY	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.38	0.00	6.38		3.06
06/01/2018 00064669117	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	1.71	0.00	1.71		0.82
06/01/2018 00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	3.30	0.00	3.30		1.58
06/01/2018 00064669117	CARTER, CRAIG	MFT AMERICAN HIGH-INCOME TRUST-C	B	0.00	6.88	0.00	6.88		3.30
06/01/2018 00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	2.99	0.00	2.99		1.44
06/01/2018 00064669117	CARTER, CRAIG	MFT CAPITAL INCOME BUILDER-F1	B	0.00	4.45	0.00	4.45		2.14
06/01/2018 00064669117	CARTER, CRAIG	MFT CAPITAL WORLD BOND FUND-C	B	0.00	6.38	0.00	6.38		3.06
06/01/2018 00073969963	SMITH, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	166.09	0.00	166.09		79.72
06/01/2018 00073969963	SMITH, RONALD	MFT AMERICAN MUTUAL FUND-A	B	0.00	26.74	0.00	26.74		12.84
06/01/2018 00073969963	SMITH, RONALD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	32.73	0.00	32.73		15.71
06/01/2018 00073969963	SMITH, RONALD	MFT NEW PERSPECTIVE FUND-A	B	0.00	7.56	0.00	7.56		3.63
06/01/2018 00075475697	WEBER, ERICA	MFT AMERICAN BALANCED FUND-529A	B	0.00	4.38	0.00	4.38		2.10
06/01/2018 00075475697	WEBER, ERICA	MFT AMERICAN MUTUAL FUND-529A	B	0.00	3.66	0.00	3.66		1.76
06/01/2018 00075691742	KIRKPATRICK,	MFT AMERICAN BALANCED FUND-529A	B	0.00	3.12	0.00	3.12		1.50
06/01/2018 04000703953	CARTER, CRAIG	MFT AMERICAN BALANCED FUND-A	B	0.00	10.51	0.00	10.51		5.04
06/01/2018 04000703953	CARTER, CRAIG	MFT AMERICAN FUNDS 2010 TARGET	B	0.00	10.51	0.00	10.51		5.04
06/01/2018 04000703953	CARTER, CRAIG	MFT RTMT INCOME PORTFOLIO	B	0.00	9.87	0.00	9.87		4.74
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$4,819.90	\$585.28	\$0.00	\$585.28		\$280.95
*** SPLIT TRANSACTIONS TOTAL ***				\$4,819.90	\$585.28	\$0.00	\$585.28		\$280.95

BROKER COMMISSION TOTALS

Broker Transactions	\$41.61
Split Transactions	\$280.95
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$322.56
Year-to-date Commissions	\$10,502.16