



Concorde Investment Services, LLC

02/27/2018

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# COMMISSION STATEMENT

February 28, 2018

ROGER, B156 BOWLIN  
507 SE Andrews Street

BROKER #: B156  
BRANCH: ROGER BOWLIN

Issaquah, WA 98027-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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## DIRECT TRANSACTIONS

### PRODUCT CATEGORY: 529 PLANS

02/12/2018	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	50.00	2.50	90.0	2.25	0.00	2.25
* 529 PLANS SUBTOTAL *			\$50.00	\$2.50		\$2.25	\$0.00	\$2.25

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/17/2016	HEARN, CAROL	AM FUNDS AMERICAN MUTUAL A	0.00	1.10	90.0	0.99	0.00	0.99
11/18/2016	NEIGEL, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	3.01	90.0	2.71	0.00	2.71
11/18/2016	HEARN, CAROL	AM FUNDS AMERICAN MUTUAL A	0.00	3.07	90.0	2.76	0.00	2.76
12/15/2016	NEIGEL, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	4.35	90.0	3.92	0.00	3.92
12/15/2016	HEARN, CAROL	AM FUNDS AMERICAN MUTUAL A	0.00	2.99	90.0	2.69	0.00	2.69
10/17/2016	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.13	90.0	2.82	0.00	2.82
11/18/2016	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.67	90.0	7.80	0.00	7.80
12/15/2016	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.22	90.0	7.40	0.00	7.40
10/17/2016	LEE, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	90.0	0.01	0.00	0.01
11/18/2016	NEIGEL, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.16	90.0	3.74	0.00	3.74
11/18/2016	LEE, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	90.0	0.02	0.00	0.02
12/15/2016	NEIGEL, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.96	90.0	5.36	0.00	5.36
12/15/2016	LEE, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	90.0	0.02	0.00	0.02
11/18/2016	NEIGEL, DAVID	AM FUNDS NEW ECONOMY A	0.00	1.78	90.0	1.60	0.00	1.60
12/15/2016	NEIGEL, DAVID	AM FUNDS NEW ECONOMY A	0.00	2.54	90.0	2.29	0.00	2.29
11/18/2016	NEIGEL, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	2.76	90.0	2.48	0.00	2.48
12/15/2016	NEIGEL, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	3.89	90.0	3.50	0.00	3.50
10/12/2016	HERITAGE LVG TRUST, RALPH	FORWARD SELECT INCOME FUND CLASS C	0.00	17.22	90.0	15.50	0.00	15.50
11/10/2016	HERITAGE LVG TRUST, RALPH	FORWARD SELECT INCOME FUND CLASS C	0.00	22.95	90.0	20.66	0.00	20.66
12/12/2016	HERITAGE LVG TRUST, RALPH	FORWARD SELECT INCOME FUND CLASS C	0.00	21.87	90.0	19.68	0.00	19.68
10/17/2016	MCCAUGHAN, DANIEL	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	8.76	90.0	7.88	0.00	7.88
10/17/2016	SHIELDS, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	0.99	90.0	0.89	0.00	0.89
10/11/2016	HERITAGE LVG TRUST, RALPH	PRUDENTIAL SHORT DURATION HIGH	0.00	18.30	90.0	16.47	0.00	16.47

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/11/2016	HERITAGE LVG TRUST, RALPH	PRUDENTIAL SHORT DURATION HIGH	0.00	24.83	90.0	22.35	0.00	22.35
12/12/2016	HERITAGE LVG TRUST, RALPH	PRUDENTIAL SHORT DURATION HIGH	0.00	23.77	90.0	21.39	0.00	21.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$194.37		\$174.93	\$0.00	\$174.93
*** DIRECT TRANSACTIONS TOTAL ***			\$50.00	\$196.87		\$177.18	\$0.00	\$177.18

**BROKER COMMISSION TOTALS**

Broker Transactions	\$177.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$177.18
Year-to-date Gross	\$36,682.51
Year-to-date Earnings	\$33,665.90

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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