



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
August 15, 2017

08/14/2017  
Page No. 1

JOSEPH WIEGAND  
1220 N. 200 West  
Suite B  
Angola, IN 46703

BROKER #: W128  
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
07/30/2017	BAALS JT TEN, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	0.59	0.00	0.59	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$0.59	\$0.00	\$0.59		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/21/2017	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	34.06	0.00	34.06	90.0	30.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.06	\$0.00	\$34.06		\$30.65
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$34.65	\$0.00	\$34.65		\$30.65

**SPLIT TRANSACTIONS**

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.63	0.00	2.63	90.0	2.37
07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	1.70	0.00	1.70	90.0	1.53
07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	1.70	0.00	1.70	90.0	1.53
07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.63	0.00	3.63	90.0	3.27
07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.20
07/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
07/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
07/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.36
07/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
07/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.16
08/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.88	0.00	0.88	90.0	0.79

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
07/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
07/31/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
07/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
07/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.11	0.00	0.11	90.0	0.10
07/28/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
07/28/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
07/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
07/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
07/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.25	0.00	0.25	90.0	0.23
07/28/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
07/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
07/28/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
07/30/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	15.56	0.00	15.56	0.0	0.00
07/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.01	0.00	1.01	90.0	0.91
07/19/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.19	0.00	4.19	90.0	3.77
07/14/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
07/21/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.62	0.00	0.62	90.0	0.56
07/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
07/26/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.27	0.00	1.27	90.0	1.14
07/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.45	0.00	5.45	90.0	4.91
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$2,143.08</b>	<b>\$59.06</b>	<b>\$0.00</b>	<b>\$59.06</b>		<b>\$39.18</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$2,143.08</b>	<b>\$59.06</b>	<b>\$0.00</b>	<b>\$59.06</b>		<b>\$39.18</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-4.16
08/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.63
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-580.79

**BROKER COMMISSION TOTALS**

Broker Transactions	\$30.65
Split Transactions	\$39.18
Override Transactions	\$0.00
Adjustments	\$-580.79
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-308.48
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-819.44</b>
<b>Year-to-date Gross</b>	<b>\$2,563.61</b>
<b>Year-to-date Earnings</b>	<b>\$2,091.80</b>

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