



COMMISSION STATEMENT

April 23, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/09/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.6300						
04/09/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.6300						
04/09/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.6300						
04/09/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.6300						
04/11/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	25.65	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000812765	BUY 1 Units @28.4700						
04/13/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4600						
04/13/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4600						
04/13/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4600						
04/13/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4600						
04/13/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4600						
04/13/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4600						
04/13/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.4600						
04/13/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.4600						
04/09/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9000						
04/09/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.9000						
04/11/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.3400						



COMMISSION STATEMENT

April 23, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/09/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.3300						
04/09/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.3300						
04/11/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @15.8500						
04/11/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.2500						
04/11/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.2500						
04/09/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.6300						
04/09/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.6300						
04/09/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.6300						
04/09/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.6300						
04/13/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.7800						
04/13/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.7800						
04/13/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000060177222593	BUY 1 Units @100.0500						
04/13/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000090236508494	BUY 32 Units @2.3800						
04/13/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000000007745197	BUY 2 Units @32.7700						
04/23/2018	***-**-078I - PELLETIER,	SAMMONS LIVEWELL MUTUAL FUND	350.02	3.50	0.00	3.50	80.0	2.80
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
04/23/2018	***-**-700I - NIHILL, TODD	SAMMONS LIVEWELL MUTUAL FUND	6,000.00	60.00	0.00	60.00	80.0	48.00
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/13/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	75.00	2.10	0.00	2.10	80.0	1.68
	ACCOUNT #: 000006984020841	BUY 6 Units @12.4600						
04/13/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6500						
04/13/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6500						
* MUTUAL FUNDS SUBTOTAL *			\$9,787.85	\$168.09	\$0.00	\$168.09		\$134.45
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/23/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	0.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: LSR010802	BUY 1 Units @0.0000						
04/23/2018	***-**-404X - SCHROETER, LAURA	AMERICAN 2025 TARGET DATE RETIREMNT	0.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: LSR010799	BUY 1 Units @0.0000						
04/23/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: LSR010789	BUY 1 Units @0.0000						
04/23/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	26.60	0.00	26.60	80.0	21.28
	ACCOUNT #: LSR010800	BUY 1 Units @0.0000						
04/23/2018	***-**-032X - MONACO, SARAH	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	22.10	0.00	22.10	80.0	17.68
	ACCOUNT #: LSR010801	BUY 1 Units @0.0000						
04/23/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: LSR011310	BUY 1 Units @0.0000						
04/23/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	35.17	0.00	35.17	80.0	28.14
	ACCOUNT #: LSR010777	BUY 1 Units @0.0000						
04/23/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	22.85	0.00	22.85	80.0	18.28
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
04/23/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	2.52	0.00	2.52	80.0	2.02
	ACCOUNT #: LSR010788	BUY 1 Units @0.0000						
04/23/2018	***-**-990I - GAW, PATRICIA	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	16.96	0.00	16.96	80.0	13.57
	ACCOUNT #: LSR010840	BUY 1 Units @0.0000						
04/23/2018	***-**-90IN - GAW, PATRICIA	AMERICAN FUNDS 2030 TARGET DATE-C	0.00	14.86	0.00	14.86	80.0	11.89
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
04/23/2018	***-**-796O - CARVAJAL, JUDITH	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	1.81	0.00	1.81	80.0	1.45
	ACCOUNT #: LSR011337	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-862I - CARBONE, WILLIAM CAPITAL APPRECIATION A		0.00	4.33	0.00	4.33	80.0	3.46
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
04/23/2018	***-**-862I - CARBONE, WILLIAM EQUITY INCOME FUND, INC. A		0.00	6.69	0.00	6.69	80.0	5.35
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
04/23/2018	***-**-943K - MECHANICAL	FIDELITY ADVISOR 401K TRAILS	0.00	6,508.78	0.00	6,508.78	80.0	5,207.02
	ACCOUNT #: 84336	BUY 0 Units @0.0000						
04/23/2018	***-**-862I - CARBONE, WILLIAM GLOBAL FUND A		0.00	4.88	0.00	4.88	80.0	3.90
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
04/23/2018	***-**-862I - CARBONE, WILLIAM INTERNATIONAL BOND A		0.00	2.94	0.00	2.94	80.0	2.35
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
04/23/2018	***-**-862I - CARBONE, WILLIAM OPPENHEIMER CORE BOND FUND A		0.00	5.09	0.00	5.09	80.0	4.07
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
04/23/2018	***-**-862I - CARBONE, WILLIAM OPPENHEIMER U.S. GOVT TR CLASS A		0.00	5.02	0.00	5.02	80.0	4.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
04/23/2018	***-**-187I - HENEERY, KEVIN	SAMMONS MF TRAILS	0.00	32.28	0.00	32.28	80.0	25.82
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
04/23/2018	***-**-027I - ADAMAITIS,	SAMMONS MF TRAILS	0.00	9.09	0.00	9.09	80.0	7.27
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
04/23/2018	***-**-788I - LIPEIKA, RONALD	SAMMONS MF TRAILS	0.00	45.12	0.00	45.12	80.0	36.10
	ACCOUNT #: 7200016493	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6,770.54	\$0.00	\$6,770.54		\$5,416.43
*** BROKER TRANSACTIONS TOTAL ***			\$9,787.85	\$6,938.63	\$0.00	\$6,938.63		\$5,550.88



BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
						BROKER COMMISSION TOTALS			
						Broker Transactions		\$5,550.88	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$5,550.88	
						Year-to-date Earnings		\$31,423.93	