

**COMMISSION STATEMENT****July 31, 2018**

DAMIAN, D127 DERING
 165 Culver Blvd
 Suite A
 Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/16/2018	ALLAHJAH, AHKEYAH	ASSETMARK	11,500.00	7.18	0.00	7.18	90.0	6.46
07/16/2018	AMIN, CHIRAG	ASSETMARK	1,330.22	0.36	0.00	0.36	90.0	0.32
07/16/2018	ANDREWS, LISA	ASSETMARK	10,000.00	3.33	0.00	3.33	90.0	3.00
07/16/2018	BEELE, FRANCES	ASSETMARK	-5,064.52	-0.93	0.00	-0.93	90.0	-0.84
07/16/2018	CHUCK, LAURENCE	ASSETMARK	487.50	0.38	0.00	0.38	90.0	0.34
07/16/2018	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.37	0.00	-0.37	90.0	-0.33
07/16/2018	HAVENS, JACK	ASSETMARK	6,500.00	2.88	0.00	2.88	90.0	2.59
07/16/2018	HAVENS, JACK	ASSETMARK	-6,500.00	-2.45	0.00	-2.45	90.0	-2.21
07/16/2018	JOHNSON, MORGAN	ASSETMARK	1,000.00	0.59	0.00	0.59	90.0	0.53
07/16/2018	PEARSON, ROBERT	ASSETMARK	1,000.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	SCHACHTER CRD EQU TR	ASSETMARK	1,008.10	0.52	0.00	0.52	90.0	0.47
07/16/2018	SECRIST HOLDINGS LLC	ASSETMARK	3,161.42	1.41	0.00	1.41	90.0	1.27
07/18/2018	AGUILUZ, LUCILLE	ASSETMARK	139,146.50	446.33	0.00	446.33	90.0	401.70
07/18/2018	AGUILUZ, LUCILLE	ASSETMARK	105,007.82	336.83	0.00	336.83	90.0	303.15
07/18/2018	ALLAHJAH, AHKEYAH	ASSETMARK	11,315.15	38.49	0.00	38.49	90.0	34.64
07/18/2018	AMIN, CHIRAG	ASSETMARK	126,456.15	232.29	0.00	232.29	90.0	209.06
07/18/2018	AMIN, CHIRAG	ASSETMARK	2,603,546.80	4,241.78	0.00	4,241.78	90.0	3,817.60
07/18/2018	ANDREWS, LISA	ASSETMARK	10,018.38	34.08	0.00	34.08	90.0	30.67
07/18/2018	ARMAGANIAN, ANDREA	ASSETMARK	310,135.02	781.54	0.00	781.54	90.0	703.39
07/18/2018	ARMAGANIAN, ANDREA	ASSETMARK	76,283.45	240.29	0.00	240.29	90.0	216.26
07/18/2018	BAGAMASPAD, FELICITAS	ASSETMARK	99,268.85	312.70	0.00	312.70	90.0	281.43
07/18/2018	BAUTISTA, FEDERICO	ASSETMARK	140,240.72	441.76	0.00	441.76	90.0	397.58
07/18/2018	BAUTISTA, FEDERICO	ASSETMARK	65,544.83	206.47	0.00	206.47	90.0	185.82
07/18/2018	BOLENE, JAMES	ASSETMARK	80,757.99	254.39	0.00	254.39	90.0	228.95
07/18/2018	CASANOVA, JESSE	ASSETMARK	514,425.82	1,296.35	0.00	1,296.35	90.0	1,166.72
07/18/2018	CASANOVA, KENDRA	ASSETMARK	54,338.24	184.86	0.00	184.86	90.0	166.37

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2018	CASANOVA, KENDRA	ASSETMARK	25,020.04	85.12	0.00	85.12	90.0	76.61
07/18/2018	CHUCK, LAURENCE	ASSETMARK	24,087.06	75.87	0.00	75.87	90.0	68.28
07/18/2018	CHUCK, LAURENCE	ASSETMARK	50,377.48	158.69	0.00	158.69	90.0	142.82
07/18/2018	CHUCK, LAURENCE	ASSETMARK	343,760.97	1,082.85	0.00	1,082.85	90.0	974.57
07/18/2018	COHEN, JANET	ASSETMARK	7,352.43	23.09	0.00	23.09	90.0	20.78
07/18/2018	COHEN, JANET	ASSETMARK	87,901.17	110.76	0.00	110.76	90.0	99.68
07/18/2018	COHEN, JANET	ASSETMARK	217,091.54	273.54	0.00	273.54	90.0	246.19
07/18/2018	CROCKETT, PATRICIA	ASSETMARK	85,711.80	274.45	0.00	274.45	90.0	247.01
07/18/2018	CROCKETT, PATRICIA	ASSETMARK	96,884.28	310.22	0.00	310.22	90.0	279.20
07/18/2018	CROCKETT, PATRICIA	ASSETMARK	66,126.04	211.73	0.00	211.73	90.0	190.56
07/18/2018	DAINES, AUSTYN	ASSETMARK	20,946.08	71.26	0.00	71.26	90.0	64.13
07/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	16,314.00	51.16	0.00	51.16	90.0	46.04
07/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	15,966.28	54.32	0.00	54.32	90.0	48.89
07/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	272,799.51	855.52	0.00	855.52	90.0	769.97
07/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	14,813.18	46.45	0.00	46.45	90.0	41.81
07/18/2018	DENNIS-ABILLA, CHRISTINE	ASSETMARK	13,642.71	42.78	0.00	42.78	90.0	38.50
07/18/2018	DERING, WILLIAM	ASSETMARK	3,718.82	12.65	0.00	12.65	90.0	11.39
07/18/2018	DERING, WILLIAM	ASSETMARK	76,572.63	260.50	0.00	260.50	90.0	234.45
07/18/2018	ENCINA, ANTONIO	ASSETMARK	9,308.02	29.32	0.00	29.32	90.0	26.39
07/18/2018	ENCINA, ANTONIO	ASSETMARK	45,296.02	142.68	0.00	142.68	90.0	128.41
07/18/2018	ENCINA, ANTONIO	ASSETMARK	222,354.16	700.42	0.00	700.42	90.0	630.38
07/18/2018	ERICKSON, KAY	ASSETMARK	15,589.18	49.11	0.00	49.11	90.0	44.20
07/18/2018	ERICKSON, KAY	ASSETMARK	119,117.46	300.18	0.00	300.18	90.0	270.16
07/18/2018	ESC INSURANCE CO	ASSETMARK	219,242.19	710.96	0.00	710.96	90.0	639.86
07/18/2018	FARFAN, FRANK	ASSETMARK	21,295.02	71.11	0.00	71.11	90.0	64.00
07/18/2018	FARFAN, FRANK	ASSETMARK	8,276.51	27.64	0.00	27.64	90.0	24.88
07/18/2018	FARFAN, FRANK	ASSETMARK	81,613.85	272.52	0.00	272.52	90.0	245.27
07/18/2018	FARFAN, FRANK	ASSETMARK	44,901.23	149.93	0.00	149.93	90.0	134.94
07/18/2018	FARFAN, FRANK	ASSETMARK	15,310.27	51.12	0.00	51.12	90.0	46.01
07/18/2018	GIBSON, PATRICK	ASSETMARK	169,911.60	428.18	0.00	428.18	90.0	385.36
07/18/2018	GIBSON, PATRICK	ASSETMARK	325,901.99	821.27	0.00	821.27	90.0	739.14
07/18/2018	GIBSON, PATRICK	ASSETMARK	112,964.26	284.67	0.00	284.67	90.0	256.20
07/18/2018	GIBSON, PATRICK	ASSETMARK	69,220.08	174.43	0.00	174.43	90.0	156.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2018	GREEN, ROY	ASSETMARK	297,726.50	750.27	0.00	750.27	90.0	675.24
07/18/2018	HAVENS, JACK	ASSETMARK	29,055.85	98.85	0.00	98.85	90.0	88.97
07/18/2018	HAVENS, JACK	ASSETMARK	77,327.35	243.58	0.00	243.58	90.0	219.22
07/18/2018	HAVENS, JACK	ASSETMARK	16,887.82	53.20	0.00	53.20	90.0	47.88
07/18/2018	HOLLINGSWORTH, MELISSA	ASSETMARK	28,925.85	91.12	0.00	91.12	90.0	82.01
07/18/2018	HOLLINGSWORTH, MELISSA	ASSETMARK	19,578.18	61.67	0.00	61.67	90.0	55.50
07/18/2018	JOHNSON, MORGAN	ASSETMARK	15,248.45	51.88	0.00	51.88	90.0	46.69
07/18/2018	JOHNSON, MORGAN	ASSETMARK	6,206.69	21.12	0.00	21.12	90.0	19.01
07/18/2018	JOHNSON, MORGAN	ASSETMARK	13,304.28	45.26	0.00	45.26	90.0	40.73
07/18/2018	KUSUNOKI, MIKE	ASSETMARK	13,379.16	42.14	0.00	42.14	90.0	37.93
07/18/2018	KUSUNOKI, MIKE	ASSETMARK	54,728.98	172.40	0.00	172.40	90.0	155.16
07/18/2018	KUSUNOKI, MIKE	ASSETMARK	9,737.47	30.67	0.00	30.67	90.0	27.60
07/18/2018	KUSUNOKI, MIKE	ASSETMARK	47,885.12	150.84	0.00	150.84	90.0	135.76
07/18/2018	LICHTENSTEIN, LOUISE	ASSETMARK	171,381.83	536.15	0.00	536.15	90.0	482.54
07/18/2018	LICHTENSTEIN, LOUISE	ASSETMARK	156,752.85	490.38	0.00	490.38	90.0	441.34
07/18/2018	MITSCH, JAY	ASSETMARK	7,102.54	24.16	0.00	24.16	90.0	21.74
07/18/2018	MITSCH, JAY	ASSETMARK	9,992.71	34.00	0.00	34.00	90.0	30.60
07/18/2018	MITSCH, JAY	ASSETMARK	12,462.48	42.40	0.00	42.40	90.0	38.16
07/18/2018	MOORE, JODIE	ASSETMARK	9,960.30	31.37	0.00	31.37	90.0	28.23
07/18/2018	MOORE, JODIE	ASSETMARK	18,124.98	57.09	0.00	57.09	90.0	51.38
07/18/2018	MOORE, JODIE	ASSETMARK	35,494.46	111.81	0.00	111.81	90.0	100.63
07/18/2018	MORTIMER, RICHARD	ASSETMARK	236,229.49	755.85	0.00	755.85	90.0	680.27
07/18/2018	MORTIMER, RICHARD	ASSETMARK	14,385.72	46.03	0.00	46.03	90.0	41.43
07/18/2018	O'CONNELL, MAUREEN	ASSETMARK	51,171.64	153.61	0.00	153.61	90.0	138.25
07/18/2018	O'CONNELL, MAUREEN	ASSETMARK	200,127.34	600.77	0.00	600.77	90.0	540.69
07/18/2018	O'CONNELL, MAUREEN	ASSETMARK	164,046.18	492.45	0.00	492.45	90.0	443.21
07/18/2018	O'CONNELL, MAUREEN	ASSETMARK	123,539.54	370.86	0.00	370.86	90.0	333.77
07/18/2018	PON, AGNES	ASSETMARK	29,191.12	91.95	0.00	91.95	90.0	82.76
07/18/2018	PRIETO, DANA	ASSETMARK	2,197.35	7.48	0.00	7.48	90.0	6.73
07/18/2018	PRIETO, DANA	ASSETMARK	9,004.07	30.63	0.00	30.63	90.0	27.57
07/18/2018	PRIETO, DANA	ASSETMARK	136,078.88	462.94	0.00	462.94	90.0	416.65
07/18/2018	RICHMOND, JACOB	ASSETMARK	2,814.07	9.57	0.00	9.57	90.0	8.61
07/18/2018	RICHMOND, JACOB	ASSETMARK	2,185.35	7.43	0.00	7.43	90.0	6.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2018	ROBELO, FRANSISCO	ASSETMARK	76,442.08	240.79	0.00	240.79	90.0	216.71
07/18/2018	ROBELO, FRANSISCO	ASSETMARK	50,174.21	158.05	0.00	158.05	90.0	142.25
07/18/2018	PEARSON, ROBERT	ASSETMARK	159,268.26	501.13	0.00	501.13	90.0	451.02
07/18/2018	PEARSON, ROBERT	ASSETMARK	184,338.87	580.02	0.00	580.02	90.0	522.02
07/18/2018	PEARSON, ROBERT	ASSETMARK	130,760.72	411.43	0.00	411.43	90.0	370.29
07/18/2018	PEARSON, ROBERT	ASSETMARK	28,451.56	86.65	0.00	86.65	90.0	77.99
07/18/2018	RTJ MANAGEMENT	ASSETMARK	65,594.47	165.30	0.00	165.30	90.0	148.77
07/18/2018	RTJ MANAGEMENT	ASSETMARK	554,964.96	1,398.51	0.00	1,398.51	90.0	1,258.66
07/18/2018	RTJ MANAGEMENT	ASSETMARK	343,833.21	866.46	0.00	866.46	90.0	779.81
07/18/2018	RTJ MANAGEMENT	ASSETMARK	199,737.22	503.34	0.00	503.34	90.0	453.01
07/18/2018	RUSSELL, RONALD	ASSETMARK	28,116.47	70.85	0.00	70.85	90.0	63.77
07/18/2018	RUSSELL, RONALD	ASSETMARK	236,523.19	596.04	0.00	596.04	90.0	536.44
07/18/2018	RUSSELL, RYAN	ASSETMARK	37,187.83	117.14	0.00	117.14	90.0	105.43
07/18/2018	RUSSELL, RYAN	ASSETMARK	2,280.63	7.18	0.00	7.18	90.0	6.46
07/18/2018	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	125,260.28	203.88	0.00	203.88	90.0	183.49
07/18/2018	SCANE, DONALD	ASSETMARK	37,402.89	109.14	0.00	109.14	90.0	98.23
07/18/2018	SCANE, DONALD	ASSETMARK	204,029.87	642.69	0.00	642.69	90.0	578.42
07/18/2018	SCANE, DONALD	ASSETMARK	368,039.98	1,159.33	0.00	1,159.33	90.0	1,043.40
07/18/2018	SCHACHTER CRD EQU TR	ASSETMARK	238,599.75	601.27	0.00	601.27	90.0	541.14
07/18/2018	SECRIST HOLDINGS LLC	ASSETMARK	1,561,632.29	3,935.31	0.00	3,935.31	90.0	3,541.78
07/18/2018	SECRIST HOLDINGS LLC	ASSETMARK	955,503.28	2,407.87	0.00	2,407.87	90.0	2,167.08
07/18/2018	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	99,406.96	313.13	0.00	313.13	90.0	281.82
07/18/2018	SIMMONS, SANDRA	ASSETMARK	131,358.03	331.02	0.00	331.02	90.0	297.92
07/18/2018	SIMMONS, SANDRA	ASSETMARK	107,787.23	271.62	0.00	271.62	90.0	244.46
07/18/2018	SIMMONS, SANDRA	ASSETMARK	23,094.94	58.20	0.00	58.20	90.0	52.38
07/18/2018	SIMMONS, SANDRA	ASSETMARK	200,437.31	505.10	0.00	505.10	90.0	454.59
07/18/2018	LILLARD FAMILY TRUST	ASSETMARK	223,602.49	704.35	0.00	704.35	90.0	633.92
07/18/2018	WOODS, KEVIN	ASSETMARK	22,979.83	78.18	0.00	78.18	90.0	70.36
07/18/2018	WOODS, KEVIN	ASSETMARK	22,979.83	78.18	0.00	78.18	90.0	70.36
07/26/2018	MITSCH, BRUCE	PACIFIC FINANCIAL	220,380.01	135.87	0.00	135.87	90.0	122.28
07/26/2018	SCANE, DARYL	PACIFIC FINANCIAL	112,060.60	69.06	0.00	69.06	90.0	62.15
07/11/2018	FARFAN, VICTOR	SEI	44,557.59	139.24	0.00	139.24	90.0	125.32
07/11/2018	HENRY, CHUCK	SEI	175,096.62	547.18	0.00	547.18	90.0	492.46

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DIRECT TRANSACTIONS

PRODUCT CATEGORY: RIA

* RIA SUBTOTAL *	\$16,273,926.86	\$41,439.50	\$0.00	\$41,439.50	\$37,295.60
*** DIRECT TRANSACTIONS TOTAL ***	\$16,273,926.86	\$41,439.50	\$0.00	\$41,439.50	\$37,295.60

BROKER COMMISSION TOTALS

Broker Transactions	\$37,295.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$37,295.60
Year-to-date Gross	\$125,681.85
Year-to-date Earnings	\$113,113.86

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