



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
 Page No. 1

ACCOUNT HOUSE
 1120 E. LONG LAKE ROAD
 SUITE 207
 TROY, MI 48085-

BROKER #: G999
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/07/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	-70,005.06	0.00	0.00	0.00	0.0	0.00
08/07/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	66,154.78	0.00	0.00	0.00	0.0	0.00
08/17/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	-19,000.00	0.00	0.00	0.00	0.0	0.00
08/17/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	17,955.00	0.00	0.00	0.00	0.0	0.00
08/18/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	33,075.00	0.00	0.00	0.00	0.0	0.00
08/18/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	-35,000.00	0.00	0.00	0.00	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-6,820.28	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-406.72	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	386.38	0.00	0.00	0.00	100.0	0.00
08/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	100.0	10.31
08/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	100.0	4.50
08/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/16/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/16/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
08/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
08/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	100.0	6.26
08/16/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	300.00	11.25	0.00	11.25	100.0	11.25
08/07/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
08/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
08/15/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
08/25/2017	CONCORDE, VARIOUS	AM FUNDS CAPITAL INCOME BUILDER A	17,235.00	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
08/25/2017	CONCORDE, VARIOUS	AM FUNDS CAPITAL INCOME BUILDER A	-18,000.00	0.00	0.00	0.00	100.0	0.00
08/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
08/17/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
08/17/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
08/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
08/21/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
08/24/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
08/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
08/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-102.36	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	97.24	0.00	0.00	0.00	100.0	0.00
08/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
08/15/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
08/18/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
08/25/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
08/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-415.60	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	1,934.61	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	394.82	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-2,009.98	0.00	0.00	0.00	100.0	0.00
08/07/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
08/15/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
08/07/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
08/24/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
08/15/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
08/07/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
08/07/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
08/07/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	380.00	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-2,000.68	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,925.65	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-400.00	0.00	0.00	0.00	100.0	0.00
08/15/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
08/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,006.88	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	966.60	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	-18,000.00	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	17,280.00	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-15,007.73	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	14,257.34	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	17,126.27	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-18,027.65	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-25,000.10	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	24,000.10	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-227.08	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	224.81	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	14,500.22	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	779.44	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-805.62	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-14,987.31	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	13,787.15	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-13,997.11	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-804.08	0.00	0.00	0.00	100.0	0.00
08/24/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	792.02	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-3,400.00	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	3,366.00	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-3,400.00	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	3,366.00	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	OPPENHEIMER GLOBAL A	-18,000.00	0.00	0.00	0.00	100.0	0.00
08/25/2017	CONCORDE, VARIOUS	OPPENHEIMER GLOBAL A	17,145.00	0.00	0.00	0.00	100.0	0.00
08/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
08/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
08/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
08/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
08/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
08/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
* MUTUAL FUNDS SUBTOTAL *			\$-364.75	\$210.16	\$0.00	\$210.16		\$210.16
<u>PRODUCT CATEGORY: STOCKS</u>								
08/22/2017	CONCORDE, VARIOUS	ABBOTT LABS COM	12,678.93	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	ABBOTT LABS COM	-149.18	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	ABBOTT LABS COM	-12,529.74	0.00	1.00	-1.00	100.0	-1.00
08/17/2017	CONCORDE, VARIOUS	AT&T INC COM	7,535.98	0.00	1.00	-1.00	100.0	-1.00
08/17/2017	CONCORDE, VARIOUS	AT&T INC COM	26,375.93	0.00	1.00	-1.00	100.0	-1.00
08/17/2017	CONCORDE, VARIOUS	AT&T INC COM	3,767.87	0.00	1.00	-1.00	100.0	-1.00
08/17/2017	CONCORDE, VARIOUS	AT&T INC COM	-37,679.80	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-24,057.07	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-13,648.04	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	32,047.61	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	36,979.95	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-28,638.54	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,409.08	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	37,705.14	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-30,163.90	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,408.09	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,408.00	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-13,649.40	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-31,221.36	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	44,870.82	0.00	0.00	0.00	100.0	0.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	10,120.00	0.00	0.00	0.00	100.0	0.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-539.70	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-1,280.00	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-256.00	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-204.80	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-115.20	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-261.12	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-256.00	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-256.00	0.00	1.00	-1.00	100.0	-1.00
08/11/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-6,935.13	0.00	1.00	-1.00	100.0	-1.00
08/28/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	1,450.00	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
08/28/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	4,350.00	0.00	1.00	-1.00	100.0	-1.00
08/28/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-5,800.00	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	26,333.21	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-5,198.69	0.00	1.00	-1.00	100.0	-1.00
08/15/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-19,021.50	0.00	1.00	-1.00	100.0	-1.00
08/15/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,113.01	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-76,938.48	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.50	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-4,275.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.01	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-1,175.35	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.00	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,137.09	0.00	1.00	-1.00	100.0	-1.00
08/18/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	103,759.60	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	28,586.94	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,054.50	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,054.50	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,054.50	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,054.00	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,054.00	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,054.01	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-10,261.47	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	INVESCO SR INCOME TR COM	7,535.59	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	INVESCO SR INCOME TR COM	-1,772.04	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	INVESCO SR INCOME TR COM	-5,763.55	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	47,396.26	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,197.50	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-8,382.50	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,035.75	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-14,370.00	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,395.00	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-826.28	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-826.28	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,568.73	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,568.73	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-826.28	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,568.73	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,592.50	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,395.00	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,448.80	0.00	1.00	-1.00	100.0	-1.00
08/21/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,394.20	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	8,260.24	0.00	0.00	0.00	100.0	0.00
08/22/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-6,164.36	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-2,095.88	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-14,537.78	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-4,956.66	0.00	1.00	-1.00	100.0	-1.00
08/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	19,494.42	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$16.18	\$0.00	\$69.00	\$-69.00		\$-69.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/11/2017	LUND, VARIOUS	ING RELIASTAR	0.00	559.45	0.00	559.45	100.0	559.45
08/03/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	345,359.66	287.80	0.00	287.80	100.0	287.80
08/03/2017	CICHEWICZ, RICHARD	GENWORTH CHOICE VA	41,141.58	34.28	0.00	34.28	100.0	34.28
08/03/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	150,470.75	125.39	0.00	125.39	100.0	125.39
08/03/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,922.79	19.10	0.00	19.10	100.0	19.10
08/03/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	485.85	0.08	0.00	0.08	100.0	0.08
08/03/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	26,477.95	4.41	0.00	4.41	100.0	4.41
07/17/2017	HORIBA, MASATAKE	GENWORTH INS	167,194.17	34.83	0.00	34.83	100.0	34.83
07/18/2017	FORMELLA, ANNETTE	GENWORTH INS	10,502.36	2.19	0.00	2.19	100.0	2.19
07/18/2017	FORMELLA, DAVID	GENWORTH INS	10,295.42	2.14	0.00	2.14	100.0	2.14
08/15/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
08/15/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
08/10/2017	RAYNUSH, MARTHA	OHIO NATL ONCORE PREMIER	33,065.84	82.66	0.00	82.66	100.0	82.66
08/01/2017	BRANDUZZI, CAITLIN	PAC LIFE PACIFIC CHOICE	0.00	5.75	0.00	5.75	100.0	5.75
07/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	99,317.80	82.76	0.00	82.76	100.0	82.76
07/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	111,126.70	92.61	0.00	92.61	100.0	92.61
07/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,885.62	40.74	0.00	40.74	100.0	40.74
07/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	68,101.31	56.75	0.00	56.75	100.0	56.75
07/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,882.94	8.24	0.00	8.24	100.0	8.24
07/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	78,994.38	65.83	0.00	65.83	100.0	65.83
* VARIABLE ANNUITIES SUBTOTAL *			\$1,224,285.12	\$1,505.61	\$0.00	\$1,505.61		\$1,505.61
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6730 EQUITY CLOSED END PORT	36,269.38	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6730 EQUITY CLOSED END PORT	-37,009.61	0.00	0.00	0.00	100.0	0.00
08/17/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	26,362.82	0.00	0.00	0.00	100.0	0.00
08/17/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	-26,900.84	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	36,262.23	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6770 MLP CLOSED END PORT SE	-37,002.17	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6786 GLOBAL EQUITY INCOME C	36,263.21	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6786 GLOBAL EQUITY INCOME C	-37,003.36	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6881 DIVID & INCOME SELECT	36,268.49	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	FT UNIT 6881 DIVID & INCOME SELECT	-37,008.83	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	SMART TR ARGUS MODERN INNOVATORS TR	-12,379.09	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	SMART TR ARGUS MODERN INNOVATORS TR	12,131.56	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	SMART TR E-COMM ECOSYSTEM TR SER 1	12,134.66	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	SMART TR E-COMM ECOSYSTEM TR SER 1	-12,382.27	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	SMART TR STRATEGIC GROWT & INCOME T	-37,006.34	0.00	0.00	0.00	100.0	0.00
08/18/2017	CONCORDE, VARIOUS	SMART TR STRATEGIC GROWT & INCOME T	36,266.25	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	SMART TR ZACKS GARP COMP 35 TR	27,601.55	0.00	0.00	0.00	100.0	0.00
08/15/2017	CONCORDE, VARIOUS	SMART TR ZACKS GARP COMP 35 TR	-27,950.97	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-5,083.33	\$0.00	\$0.00	\$0.00		\$0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/16/2017	ADAMS, VARIOUS	LBL TRAILS	0.00	1.09	0.00	1.09	100.0	1.09
08/09/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
08/11/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.32	0.00	5.32	100.0	5.32
08/18/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	10.71	0.00	10.71	100.0	10.71
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$700.00	\$31.12	\$0.00	\$31.12		\$31.12
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/30/2017	JAHNCKE, VARIOUS-T	DUNHAM & ASSOCIATES	0.00	213.34	0.00	213.34	100.0	213.34
08/21/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.57	0.00	2.57	100.0	2.57
08/21/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.35	0.00	0.35	100.0	0.35
06/30/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.95	0.00	2.95	100.0	2.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$219.21	\$0.00	\$219.21		\$219.21
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/17/2017	GRIBBLE, MARGARET	LANDMARK 2002	0.00	12.18	0.00	12.18	100.0	12.18
07/31/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.36	0.00	13.36	100.0	13.36
08/14/2017	WARREN, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	396.32	0.00	396.32	100.0	396.32
08/14/2017	GRAY, NEALEY	PAC LIFE PACIFIC CHOICE	0.00	1.70	0.00	1.70	100.0	1.70
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$423.56	\$0.00	\$423.56		\$423.56
*** BROKER TRANSACTIONS TOTAL ***			\$1,212,732.94	\$2,389.66	\$69.00	\$2,320.66		\$2,320.66

OVERRIDE TRANSACTIONS

<u>BROKER: ACCOUNT HOUSE #1</u>								
08/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	100.0	10.31
08/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	100.0	4.50
08/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/16/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/16/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
08/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
08/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	100.0	6.26

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
08/16/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	300.00	11.25	0.00	11.25	100.0	11.25
08/07/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
08/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
08/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
08/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
08/21/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
08/24/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
08/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
08/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
08/07/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
08/07/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
08/24/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
08/07/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
08/07/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
* ACCOUNT HOUSE SUBTOTAL *			\$5,146.00	\$187.50	\$0.00	\$187.50		\$187.50
<u> BROKER: ANTHONY GUGLIELME #8 </u>								
08/15/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
08/15/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
* ANTHONY GUGLIELME SUBTOTAL *			\$200.00	\$8.50	\$0.00	\$8.50		\$8.50
<u> BROKER: 101 CFGROUP/KOSANKE #9 </u>								
08/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u> BROKER: MATT BRANSTETTER #10 </u>								
08/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	80.0	3.75
* MATT BRANSTETTER SUBTOTAL *			\$100.00	\$3.75	\$0.00	\$3.75		\$3.75
<u> BROKER: DANIEL WOLF #11 </u>								
08/24/2017	CARIGNAN, NANCY	MML CM LIFE VUL	52,746.52	6.59	0.00	6.59	0.0	6.59
* DANIEL WOLF SUBTOTAL *			\$52,746.52	\$6.59	\$0.00	\$6.59		\$6.59

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ERIC HORNYAK #29</u>								
/ /	CROSSFIRE GROUP Q3AM	BLK HEALTH SCIENCES A	0.00	0.29	0.00	0.29	0.0	0.29
07/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.05	0.00	1.05	0.0	1.05
07/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.20	0.00	0.20	0.0	0.20
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$1.54	\$0.00	\$1.54		\$1.54
<u>BROKER: THOMAS BOAKS #33</u>								
07/31/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.33	0.00	0.33	0.0	0.33
07/31/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.17	0.00	2.17	0.0	2.17
07/31/2017	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.39	0.00	6.39	0.0	6.39
07/17/2017	PUWAL, MARVIN	METLIFE ACCT FOR KEEPS	23,332.28	466.65	0.00	466.65	0.0	466.65
08/16/2017	POHL, JEANNETTE	OHIO NATL ONCORE FLEX	23,060.30	57.65	0.00	57.65	0.0	57.65
* THOMAS BOAKS SUBTOTAL *			\$46,392.58	\$533.19	\$0.00	\$533.19		\$533.19
<u>BROKER: TIMOTHY BROWN #36</u>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.62	0.00	2.62	0.0	2.62
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.86	0.00	7.86	0.0	7.86
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$10.48	\$0.00	\$10.48		\$10.48
<u>BROKER: BRUCE BAXTER #38</u>								
08/01/2017	RIEGEL, DAVID	ING ACCESS	0.00	0.02	0.00	0.02	0.0	0.02
08/15/2017	LAMBERT, JOHN DOUGLAS	ING ACCESS	0.00	106.57	0.00	106.57	0.0	106.57
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS RISING RATES SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.15
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.15
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.45	0.00	0.45	0.0	0.45
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.12	0.00	7.12	0.0	7.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE BAXTER #38</u>								
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	21.35	0.00	21.35	0.0	21.35
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.22	0.00	0.22	0.0	0.22
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	BAXTER, MEGAN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.02	0.00	0.02	0.0	0.02
08/03/2017	BAXTER, VARIOUS	TRANSAMERICA LIFE	0.00	679.72	0.00	679.72	0.0	679.72
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$816.65	\$0.00	\$816.65		\$816.65
<u>BROKER: JOHN BEAN #45</u>								
08/10/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.33	0.00	1.33	0.0	1.33
* JOHN BEAN SUBTOTAL *			\$0.00	\$1.33	\$0.00	\$1.33		\$1.33
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
08/03/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.52	0.00	2.52	0.0	2.52
08/03/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.88	0.00	0.88	0.0	0.88
08/03/2017	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	6.73	0.00	6.73	0.0	6.73
08/03/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.52	0.00	5.52	0.0	5.52
08/14/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.17	0.00	2.17	0.0	2.17
08/14/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.15	0.00	2.15	0.0	2.15
08/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.11	0.00	1.11	0.0	1.11

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
08/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.82	0.00	1.82	0.0	1.82
08/14/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.00	0.00	2.00	0.0	2.00
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$24.90	\$0.00	\$24.90		\$24.90
<u>BROKER: JIM LUND #60</u>								
08/15/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
08/07/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
08/07/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
08/07/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	6.00
08/07/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
08/15/2017	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
08/07/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
08/10/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
* JIM LUND SUBTOTAL *			\$1,320.00	\$47.50	\$0.00	\$47.50		\$47.50
<u>BROKER: JAMES PANOS #61</u>								
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
08/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
08/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
08/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
08/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
08/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
08/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	100.00	1.00	0.00	1.00	0.0	1.00
* JAMES PANOS SUBTOTAL *			\$990.00	\$10.60	\$0.00	\$10.60		\$10.60
<u>BROKER: RENE CASTRO #92</u>								
08/14/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.40	0.00	2.40	0.0	2.40
08/14/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.36	0.00	9.36	0.0	9.36
* RENE CASTRO SUBTOTAL *			\$0.00	\$11.76	\$0.00	\$11.76		\$11.76

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: DANIEL COLLINS #106</u>								
08/03/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	70,309.37	11.72	0.00	11.72	0.0	11.72
08/03/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,840.92	0.81	0.00	0.81	0.0	0.81
08/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.74	0.00	1.74	0.0	1.74
08/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.06	0.00	0.06	0.0	0.06
08/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.78	0.00	2.78	0.0	2.78
08/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.05	0.00	0.05	0.0	0.05
08/14/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.94	0.00	1.94	0.0	1.94
08/14/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.01	0.00	2.01	0.0	2.01
* DANIEL COLLINS SUBTOTAL *			\$75,150.29	\$21.11	\$0.00	\$21.11		\$21.11
<u>BROKER: G118 GRECO #110</u>								
08/21/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
08/21/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
* G118 GRECO SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u>BROKER: JAY MCCLELLAN #120</u>								
08/03/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.00	0.00	3.00	0.0	3.00
08/15/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
07/31/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	0.00	2.32	0.00	2.32	0.0	2.32
07/31/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	0.00	4.10	0.00	4.10	0.0	4.10
08/14/2017	CRABTREE, GARY	METLIFE	11,247.94	2.34	0.00	2.34	0.0	2.34
08/14/2017	CRABTREE, GARY	METLIFE	7,617.81	1.58	0.00	1.58	0.0	1.58
08/14/2017	CRABTREE, GARY	METLIFE	6,595.87	1.37	0.00	1.37	0.0	1.37
08/14/2017	CRABTREE, GARY	METLIFE	7,780.00	1.62	0.00	1.62	0.0	1.62
08/14/2017	CRABTREE, GARY	METLIFE	8,390.36	1.75	0.00	1.75	0.0	1.75
08/14/2017	CRABTREE, GARY	METLIFE	36,137.97	7.52	0.00	7.52	0.0	7.52
07/31/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.55	0.00	4.55	0.0	4.55
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.57	0.00	1.57	0.0	1.57
* JAY MCCLELLAN SUBTOTAL *			\$77,819.95	\$34.22	\$0.00	\$34.22		\$34.22
<u>BROKER: TIMOTHY KULHANEK #145</u>								
08/18/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	38,034.32	23.77	0.00	23.77	0.0	23.77
08/14/2017	MUCHMORE PHELPS, SUSAN	AMERITAS OVERTURE APPLAUSE II	10,070.26	6.29	0.00	6.29	0.0	6.29
* TIMOTHY KULHANEK SUBTOTAL *			\$48,104.58	\$30.06	\$0.00	\$30.06		\$30.06

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: DAVID KOTZ #179</u>								
07/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	15.34	0.00	15.34	0.0	15.34
07/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	15.34	0.00	15.34	0.0	15.34
* DAVID KOTZ SUBTOTAL *			\$0.00	\$30.68	\$0.00	\$30.68		\$30.68
<u>BROKER: WILLIAM WALSH #187</u>								
07/31/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	19.11	0.00	19.11	0.0	19.11
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	1,008,163.37	207.16	0.00	207.16	0.0	207.16
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,132,895.12	116.39	0.00	116.39	0.0	116.39
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,176,039.35	223.57	0.00	223.57	0.0	223.57
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,907,087.81	597.35	0.00	597.35	0.0	597.35
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,717,273.83	352.86	0.00	352.86	0.0	352.86
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	590,932.06	121.42	0.00	121.42	0.0	121.42
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	48,085.21	9.88	0.00	9.88	0.0	9.88
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,384.72	2.96	0.00	2.96	0.0	2.96
/ /	COMMUNITY HOUSE	NWL POOLED FXD	325.83	0.07	0.00	0.07	0.0	0.07
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	483,207.41	119.15	0.00	119.15	0.0	119.15
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	49,437.92	12.19	0.00	12.19	0.0	12.19
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	94,210.05	19.36	0.00	19.36	0.0	19.36
* WILLIAM WALSH SUBTOTAL *			\$10,222,042.68	\$1,801.47	\$0.00	\$1,801.47		\$1,801.47
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
08/03/2017	TALBERT, ANN	COLUMBIA LARGECAP GROWTH C	0.00	8.74	0.00	8.74	0.0	8.74
08/03/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.72	0.00	7.72	0.0	7.72
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$16.46	\$0.00	\$16.46		\$16.46
<u>BROKER: MARIA BONGIOVANNI #237</u>								
08/25/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	0.0	1.50
08/25/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	0.0	1.50
* MARIA BONGIOVANNI SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$3.00
<u>BROKER: WILLIAM THOMAS #239</u>								
08/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
08/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
08/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
* WILLIAM THOMAS SUBTOTAL *			\$650.00	\$13.00	\$0.00	\$13.00		\$13.00

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
08/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.50
07/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	1.91	0.00	1.91	0.0	1.91
07/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
07/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.02
07/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.75	0.00	7.75	0.0	7.75
07/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	23.26	0.00	23.26	0.0	23.26
07/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.21	0.00	10.21	0.0	10.21
07/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	30.62	0.00	30.62	0.0	30.62
07/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	6.21	0.00	6.21	0.0	6.21
07/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.07	0.00	2.07	0.0	2.07
08/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
08/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
08/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
08/04/2017	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	2,000.00	85.00	0.00	85.00	0.0	85.00
08/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
08/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
08/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.84	0.00	2.84	0.0	2.84
08/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	2.88
08/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
08/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
08/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
08/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
08/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$4,719.78	\$197.75	\$0.00	\$197.75		\$197.75
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
08/02/2017	GORMAN, LORAIN	ING EMPIRE INNOVATIONS STD OPT A	0.00	229.09	0.00	229.09	0.0	22.91
08/10/2017	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	245.33	0.00	245.33	0.0	24.53
08/19/2017	MALIVA, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	47.50	0.00	47.50	0.0	4.75
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$0.00	\$521.92	\$0.00	\$521.92		\$52.19
*** OVERRIDE TRANSACTIONS TOTAL ***			\$10,535,882.38	\$4,343.96	\$0.00	\$4,343.96		\$3,874.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,320.66
Split Transactions	\$0.00
Override Transactions	\$3,874.23
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,194.89
Year-to-date Gross	\$57,535.35
Year-to-date Earnings	\$154,270.10