



All Companies

03/29/2018  
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# COMMISSION STATEMENT April 02, 2018

JAMES ROBERT PALMERSHEIM  
601 S Main St. 202

BROKER #: 9530  
BRANCH: HOME OFFICE

Grapevine, TX 76051

## COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: RIA</b>									
01/12/2018 10848249	FANDLER, DEBORAH	SIGNAL SECURITIES RIA	B	0.00	29.34	0.00	29.34	90.0	26.41
01/12/2018 10918728	REL FORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	197.34	0.00	197.34	90.0	177.61
01/12/2018 52045658	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	484.00	0.00	484.00	90.0	435.60
RICHARD									
01/12/2018 52089632	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	183.00	0.00	183.00	90.0	164.70
01/12/2018 52130632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	11.66	0.00	11.66	90.0	10.49
01/12/2018 52147632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	28.66	0.00	28.66	90.0	25.79
01/12/2018 61056175	REL FORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	11.34	0.00	11.34	90.0	10.21
01/12/2018 61400902	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31
01/12/2018 80512276	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	230.34	0.00	230.34	90.0	207.31
RICHARD									
01/12/2018 81083146	FANDLER, FRED	SIGNAL SECURITIES RIA	B	0.00	586.00	0.00	586.00	90.0	527.40
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$1,772.02</u>	<u>\$0.00</u>	<u>\$1,772.02</u>		<u>\$1,594.83</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$1,772.02</u>	<u>\$0.00</u>	<u>\$1,772.02</u>		<u>\$1,594.83</u>

## **SPLIT TRANSACTIONS**

### **BROKER: KELLERMAN PALMERSHEIM/ #9534**

03/16/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	92.31	0.00	92.31	90.0	83.08
03/15/2018 00083950080	CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	3.76	0.00	3.76	90.0	3.38
01/12/2018 10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	30.96	0.00	30.96	90.0	27.86
DEANN									
01/12/2018 10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	95.74	0.00	95.74	90.0	86.17
01/12/2018 20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	77.25	0.00	77.25	90.0	69.53
MARIE									
01/12/2018 22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	15.20	0.00	15.20	90.0	13.68
01/12/2018 30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	107.22	0.00	107.22	90.0	96.50
01/12/2018 31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	13.48	0.00	13.48	90.0	12.13
01/12/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	9.61	0.00	9.61	90.0	8.65
01/12/2018 51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	302.87	0.00	302.87	90.0	272.58

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SPLIT TRANSACTIONS									
BROKER: KELLERMAN PALMERSHEIM/ #9534									
01/12/2018	51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	147.77	0.00	147.77	90.0 132.99
01/12/2018	52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	2.86	0.00	2.86	90.0 2.57
01/12/2018	71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	17.78	0.00	17.78	90.0 16.00
01/12/2018	79512456	BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	60.35	0.00	60.35	90.0 54.32
	WAYNE								
01/12/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	160.54	0.00	160.54	90.0 144.49
01/12/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.58	0.00	0.58	90.0 0.52
01/12/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	115.24	0.00	115.24	90.0 103.72
01/12/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	32.68	0.00	32.68	90.0 29.41
01/12/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	289.97	0.00	289.97	90.0 260.97
01/12/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.30	0.00	4.30	90.0 3.87
01/12/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	18.49	0.00	18.49	90.0 16.64
		* KELLERMAN PALMERSHEIM/ SUBTOTAL *			\$175.00	\$1,598.96	\$0.00	\$1,598.96	\$1,439.06
BROKER: PALMERSHEIM/SNOW #9613									
01/12/2018	15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	168.00	0.00	168.00	90.0 151.20
01/12/2018	16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	90.0 40.50
01/12/2018	18091956	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	35.60	0.00	35.60	90.0 32.04
	JEAN								
01/12/2018	20770029	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	90.0 252.90
	JEAN								
01/12/2018	27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0 26.10
01/12/2018	29192217	JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	93.00	0.00	93.00	90.0 83.70
	WAYNETTE								
01/12/2018	29329286	QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	360.20	0.00	360.20	90.0 324.18
	(HAL)								
01/12/2018	31207916	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	115.00	0.00	115.00	90.0 103.50
01/12/2018	31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	326.80	0.00	326.80	90.0 294.12
01/12/2018	33117987	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.40	0.00	19.40	90.0 17.46
01/12/2018	35080832	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.80	0.00	32.80	90.0 29.52
01/12/2018	35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.40	0.00	7.40	90.0 6.66
01/12/2018	39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	157.20	0.00	157.20	90.0 141.48
01/12/2018	39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	109.60	0.00	109.60	90.0 98.64
03/15/2018	401K	OPTIMIZER PUKIS, BARBARA	SIGNAL SECURITIES RIA	B	0.00	128.80	0.00	128.80	90.0 115.92
	ANN								
01/12/2018	41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	223.00	0.00	223.00	90.0 200.70
01/12/2018	42458316	WARREN, WILLIAM&	SIGNAL SECURITIES RIA	B	0.00	63.20	0.00	63.20	90.0 56.88
	GLORIA								
01/12/2018	49635286	BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0 24.30
	PANCA								

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<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: PALMERSHEIM/SNOW #9613</u></b>									
01/12/2018	56512595 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	10.60	0.00	10.60	90.0	9.54
01/12/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.60	0.00	1.60	90.0	1.44
01/12/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	135.60	0.00	135.60	90.0	122.04
01/12/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	154.80	0.00	154.80	90.0	139.32
01/12/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00	90.0	35.10
01/12/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	189.40	0.00	189.40	90.0	170.46
01/12/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	352.60	0.00	352.60	90.0	317.34
01/12/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	271.20	0.00	271.20	90.0	244.08
01/12/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	48.00	0.00	48.00	90.0	43.20
01/12/2018	72490138 PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	128.80	0.00	128.80	90.0	115.92
01/12/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	238.00	0.00	238.00	90.0	214.20
01/12/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	32.20	0.00	32.20	90.0	28.98
01/12/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
01/12/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.40	0.00	31.40	90.0	28.26
01/12/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	293.00	0.00	293.00	90.0	263.70
01/12/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	46.40	0.00	46.40	90.0	41.76
01/12/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	234.20	0.00	234.20	90.0	210.78
01/12/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	90.0	27.00
01/12/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	120.20	0.00	120.20	90.0	108.18
01/12/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.40	0.00	11.40	90.0	10.26
01/12/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	109.80	0.00	109.80	90.0	98.82
<b>* PALMERSHEIM/SNOW SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$4,754.00</b>	<b>\$0.00</b>	<b>\$4,754.00</b>		<b>\$4,278.60</b>
<b><u>BROKER: ERIC CHARLES GUTH #9617</u></b>									
01/12/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	378.24	0.00	378.24	90.0	340.42
01/12/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.92	0.00	25.92	90.0	23.33
01/12/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.68	0.00	1.68	90.0	1.51
01/12/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	97.68	0.00	97.68	90.0	87.91
01/12/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	109.68	0.00	109.68	90.0	98.71
01/12/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	24.84	0.00	24.84	90.0	22.36

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<b><u>SPLIT TRANSACTIONS</u></b>									
<b>BROKER: ERIC CHARLES GUTH #9617</b>									
01/12/2018 32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	29.16	0.00	29.16	90.0	26.24
01/12/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	314.88	0.00	314.88	90.0	283.39
01/12/2018 37351723	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	280.56	0.00	280.56	90.0	252.50
	DIANNE								
01/12/2018 39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	35.52	0.00	35.52	90.0	31.97
01/12/2018 52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	40.20	0.00	40.20	90.0	36.18
	JUDITH								
01/12/2018 58050029	RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	20.88	0.00	20.88	90.0	18.79
	SHANKLAND								
01/12/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	181.92	0.00	181.92	90.0	163.73
01/12/2018 84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	84.72	0.00	84.72	90.0	76.25
	GARBER								
01/12/2018 86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.20	0.00	25.20	90.0	22.68
01/12/2018 89069414	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	1.44	0.00	1.44	90.0	1.30
	TAMMY M.								
01/12/2018 90329067	RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	142.32	0.00	142.32	90.0	128.09
01/12/2018 92709834	RAY, CHARLES A	SIGNAL SECURITIES RIA	B	0.00	12.60	0.00	12.60	90.0	11.34
01/12/2018 94409837	RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	8.76	0.00	8.76	90.0	7.88
01/12/2018 98008502	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	3.24	0.00	3.24	90.0	2.92
01/12/2018 98257329	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	328.92	0.00	328.92	90.0	296.03
	DIANNE								
<b>* ERIC CHARLES GUTH SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$2,148.36</b>	<b>\$0.00</b>	<b>\$2,148.36</b>		<b>\$1,933.53</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>				<b>\$175.00</b>	<b>\$8,501.32</b>	<b>\$0.00</b>	<b>\$8,501.32</b>		<b>\$7,651.19</b>

**ADJUSTMENTS**

04/02/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
04/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-127.50</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,594.83
Split Transactions	\$7,651.19
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-112.49

Check Amount	\$9,006.03
Year-to-date Earnings	\$44,188.73