

## COMMISSION STATEMENT

February 28, 2018

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MISCELLANEOUS</b>									
02/28/2018	VARIOUS, BELZ ERIC	OPPENHEIMER	B	0.00	8.00	0.00	8.00	90.0	7.20
		* MISCELLANEOUS SUBTOTAL *		\$0.00	\$8.00	\$0.00	\$8.00		\$7.20
		*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$8.00	\$0.00	\$8.00		\$7.20

SPLIT TRANSACTIONS**BROKER: RICHARD BELZ #77**

01/30/2018	0000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	11.88	0.45	0.00	0.45	0.41
02/06/2018	0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15	0.14
02/15/2018	0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15	0.14
01/30/2018	0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25	0.23
02/06/2018	0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51	0.46
02/15/2018	0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51	0.46
02/06/2018	0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20	0.18
02/15/2018	0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20	0.18
01/30/2018	0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31	0.28
02/16/2018	0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31	0.28
01/30/2018	000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	11.88	0.45	0.00	0.45	0.41
02/06/2018	000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15	0.14
02/15/2018	000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15	0.14
01/30/2018	000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25	0.23
02/16/2018	000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25	0.23
02/05/2018	00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	10.00	0.43	0.00	0.43	0.39
02/12/2018	00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23	0.21
02/05/2018	00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	1.45	0.05	0.00	0.05	0.05
02/05/2018	00064818808	SCHENK, ERIC	AMCAP FUND-A	B	1.00	0.04	0.00	0.04	0.04
02/06/2018	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31	0.28
02/06/2018	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31	0.28
02/15/2018	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	5.00	0.21	0.00	0.21	0.19
02/15/2018	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	5.00	0.21	0.00	0.21	0.19

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
02/15/2018	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	2.50	0.03	0.00	0.03	0.03
01/22/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	7.50	0.21	0.00	0.21	0.19
01/22/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		7.50	0.21	0.00	0.21	0.19
02/06/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	7.50	0.21	0.00	0.21	0.19
02/06/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		7.50	0.21	0.00	0.21	0.19
02/12/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	5.00	0.14	0.00	0.14	0.13
02/12/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	7.50	0.21	0.00	0.21	0.19
02/12/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B		7.50	0.21	0.00	0.21	0.19
02/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
01/29/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	1.25	0.06	0.00	0.06	0.05
01/29/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B		1.25	0.06	0.00	0.06	0.05
02/12/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	1.25	0.06	0.00	0.06	0.05
02/12/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B		1.25	0.06	0.00	0.06	0.05
01/22/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	5.00	0.19	0.00	0.19	0.17
01/22/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B		5.00	0.19	0.00	0.19	0.17
02/06/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	5.00	0.19	0.00	0.19	0.17
02/06/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B		5.00	0.19	0.00	0.19	0.17
01/29/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	12.90	0.36	0.00	0.36	0.32
01/29/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		8.70	0.24	0.00	0.24	0.22
01/29/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	8.40	0.23	0.00	0.23	0.21
02/12/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	12.90	0.36	0.00	0.36	0.32
02/12/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		8.70	0.24	0.00	0.24	0.22
02/12/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	8.40	0.23	0.00	0.23	0.21
02/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
01/22/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
01/22/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
01/22/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
02/06/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
02/06/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
02/06/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	2.50	0.13	0.00	0.13	0.12
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	2.00	0.10	0.00	0.10	0.09
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	2.50	0.13	0.00	0.13	0.12
01/29/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	3.00	0.15	0.00	0.15	0.14
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	2.50	0.13	0.00	0.13	0.12
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	2.00	0.10	0.00	0.10	0.09
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	2.50	0.13	0.00	0.13	0.12
02/12/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	3.00	0.15	0.00	0.15	0.14
01/22/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
01/22/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
01/22/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
02/06/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
02/06/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
02/06/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
02/02/2018	QGW034415	CHAIMOVITCH, BERNARD	SACHEM CAPITAL CORP COMMON SHARES	B	1,364.66	25.55	0.60	24.95	22.40
02/16/2018	QGW035214	BIANCO, BARBARA	KRATOS DEFENSE & SEC SOLUTIONS	S	-750.00	9.68	0.60	9.08	8.11
02/22/2018	QGW035214	BIANCO, BARBARA	SACHEM CAPITAL CORP COMMON SHARES	B	1,117.60	22.75	0.60	22.15	19.88
01/31/2018	QGW035230	SCIARRINO, RUTHELLEN	SACHEM CAPITAL CORP COMMON SHARES	B	219.65	6.33	0.60	5.73	5.10
01/31/2018	QGW035503	SIMPSON, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	448.85	12.93	0.60	12.33	11.04
01/31/2018	QGW035511	LYDEN, MARY	FAT BRANDS INC COMMON STOCK	S	-151.88	4.13	0.60	3.53	3.12
01/31/2018	QGW035552	KMIECIAK, ZBIGNIEW	PAYPAL HOLDINGS INC COM	B	1,271.33	9.25	0.60	8.65	7.73
02/16/2018	QGW035644	MONGELUZZI, LINDA	SNAP INC CLASS A COMMON STOCK	S	-800.00	10.32	0.60	9.72	8.69
01/31/2018	QGW035651	YONGE, DAVID	NINTENDO CO LTD-ADR NEW	S	-866.68	1.25	0.60	0.65	0.53
01/31/2018	QGW035651	YONGE, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	874.18	1.00	0.60	0.40	0.30
01/31/2018	QGW035677	KOLLAR, MAURA	SACHEM CAPITAL CORP COMMON SHARES	B	18.55	0.36	0.60	-0.24	-0.28
02/07/2018	QGW035719	FAMILY 2011	SNAP INC CLASS A COMMON STOCK	S	-766.00	9.75	0.60	9.15	8.18
02/20/2018	QGW035719	FAMILY 2011	BANK OF AMERICA CORP	S	-1,843.03	13.25	0.60	12.65	11.33
02/20/2018	QGW035719	FAMILY 2011	MANHATTAN BRDG CAP INC COM	S	-610.00	7.75	0.60	7.15	6.38
02/20/2018	QGW035719	FAMILY 2011	NABORS INDUSTRIES LTD	S	-660.24	5.50	0.60	4.90	4.35
02/20/2018	QGW035719	FAMILY 2011	NINTENDO CO LTD-ADR NEW	S	-2,741.50	14.75	0.60	14.15	12.68
02/20/2018	QGW035719	FAMILY 2011	STARWOOD PROPERTY TRUST INC	S	-628.51	9.25	0.60	8.65	7.73
02/20/2018	QGW035719	FAMILY 2011	SUNOCO LP COMMON UNITS REPRESENTING	S	-758.91	7.75	0.60	7.15	6.38
02/21/2018	QGW035719	FAMILY 2011	SACHEM CAPITAL CORP COMMON SHARES	S	-874.63	12.28	0.60	11.68	10.45
02/21/2018	QGW035719	FAMILY 2011	VUZIX CORP COM NEW	S	-820.00	8.40	0.60	7.80	6.96
02/22/2018	QGW035719	FAMILY 2011	SACHEM CAPITAL CORP COMMON SHARES	S	-631.49	8.97	0.60	8.37	7.47
01/31/2018	QGW035768	MASSA, PATRICIA	FAT BRANDS INC COMMON STOCK	S	-533.61	12.10	0.60	11.50	10.29
01/31/2018	QGW035768	MASSA, PATRICIA	PAYPAL HOLDINGS INC COM	B	1,291.05	10.50	0.60	9.90	8.85
01/31/2018	QGW035768	MASSA, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	708.42	19.04	0.60	18.44	16.54
01/31/2018	QGW035776	ZIMMERMAN, FRANCINE	FAT BRANDS INC COMMON STOCK	S	-277.40	2.50	0.60	1.90	1.65
02/05/2018	QGW035776	ZIMMERMAN, FRANCINE	SACHEM CAPITAL CORP COMMON SHARES	B	930.00	15.00	0.60	14.40	12.90
01/31/2018	QGW035834	CHAUDHRY, JAHANZEB	PAYPAL HOLDINGS INC COM	B	1,291.82	10.75	0.60	10.15	9.08
01/31/2018	QGW035842	SZABO, JOSEPH	FAT BRANDS INC COMMON STOCK	S	-203.08	2.75	0.60	2.15	1.88
02/01/2018	QGW035842	SZABO, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	B	209.63	3.52	0.60	2.92	2.57
02/05/2018	QGW035842	SZABO, JOSEPH	SACHEM CAPITAL CORP COMMON SHARES	B	372.00	12.00	0.60	11.40	10.20
01/31/2018	QGW035982	PANASUK, JOHN	FAT BRANDS INC COMMON STOCK	S	-609.91	13.15	0.60	12.55	11.24
02/16/2018	QGW035982	PANASUK, JOHN	SNAP INC CLASS A COMMON STOCK	S	-800.00	10.32	0.60	9.72	8.69
01/31/2018	QGW035990	HERDTER, RICHARD	PAYPAL HOLDINGS INC COM	B	1,291.37	10.75	0.60	10.15	9.08
02/16/2018	QGW036063	PICCININNI,	SNAP INC CLASS A COMMON STOCK	S	-387.50	5.62	0.60	5.02	4.46
01/31/2018	QGW036071	HENNEMANN, DENNIS	PAYPAL HOLDINGS INC COM	B	1,274.95	7.00	0.60	6.40	5.70
02/16/2018	QGW036089	HENNEMANN, PATRICIA	SNAP INC CLASS A COMMON STOCK	S	-800.00	10.32	0.60	9.72	8.69

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
01/31/2018	QGW036139 MCCARTHY, MARCIA	SACHEM CAPITAL CORP COMMON SHARES	B	1,623.50	46.75	0.60	46.15		41.48
01/31/2018	QGW036139 MCCARTHY, MARCIA	SACHEM CAPITAL CORP COMMON SHARES	B	-1,623.50	-46.75	-0.60	-46.15		-41.48 C
02/07/2018	QGW036311 FAST, KENNETH	SNAP INC CLASS A COMMON STOCK	S	-965.01	9.75	0.60	9.15		8.18
02/08/2018	QGW036311 FAST, KENNETH	TWITTER INC	S	-3,776.81	14.25	0.60	13.65		12.23
01/31/2018	QGW036899 SARLO, JESSICA	FAT BRANDS INC COMMON STOCK	S	-406.15	3.75	0.60	3.15		2.78
01/31/2018	QGW036899 SARLO, JESSICA	SACHEM CAPITAL CORP COMMON SHARES	B	120.64	0.80	0.60	0.20		0.12
02/16/2018	QGW036956 BROCHARD, THOMAS	SNAP INC CLASS A COMMON STOCK	S	-800.00	10.32	0.60	9.72		8.69
01/31/2018	QGW039216 MCCARTHY, MARCIA	SACHEM CAPITAL CORP COMMON SHARES	B	1,623.50	46.75	0.60	46.15		41.48
02/02/2018	QGW031783 JACKSON, FRANK	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-165.00	7.00	0.73	6.27		5.57
02/02/2018	QGW034415 CHAIMOVITCH, BERNARD	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-185.00	7.25	0.85	6.40		5.68
02/02/2018	QGW035172 O'NEILL, RORY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-120.25	4.95	0.76	4.19		3.70
02/23/2018	QGW035206 SKAHILL, ROBERT	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-42.00	3.60	0.68	2.92		2.56
02/14/2018	QGW035248 BELZ, ERIC	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-20.00	1.75	0.73	1.02		0.85
02/02/2018	QGW035255 BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-190.00	8.00	0.85	7.15		6.35
02/07/2018	QGW035396 MOYNIHAN, MICHAEL	CALL 100 SNAP INC CL A EXP 04-20-18 S		-89.00	4.95	0.73	4.22		3.73
02/26/2018	QGW035412 AMENITA, PATRICIA	CALL 100 KRATOS DEFENSE & SEC SOLUT S		-51.00	4.95	0.85	4.10		3.61
02/14/2018	QGW035511 LYDEN, MARY	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-30.00	3.25	0.79	2.46		2.14
02/02/2018	QGW035537 LYDEN, MARY	CALL 100 VUZIX CORP COM NEW EXP 07- S		-190.00	8.00	0.85	7.15		6.35
01/31/2018	QGW035545 KMIECIAK, INES	CALL 100 TWITTER INC COM EXP 06-15- S		-168.05	8.00	0.90	7.10		6.30
01/31/2018	QGW035545 KMIECIAK, INES	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-170.00	6.25	0.70	5.55		4.93
01/31/2018	QGW035545 KMIECIAK, INES	PUT 100 ENERGOUS CORP COM EXP 05-18 S		170.00	-6.25	-0.70	-5.55		-4.93 C
01/31/2018	QGW035545 KMIECIAK, INES	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-170.00	6.25	0.70	5.55		4.93
01/31/2018	QGW035552 KMIECIAK, ZBIGNIEW	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-200.00	9.25	0.73	8.52		7.60
02/01/2018	QGW035552 KMIECIAK, ZBIGNIEW	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-100.00	4.95	0.85	4.10		3.61
02/23/2018	QGW035594 DUSATKO, ANNE	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-108.75	4.95	0.79	4.16		3.67
02/20/2018	QGW035677 KOLLAR, MAURA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-140.00	5.75	0.73	5.02		4.45
01/31/2018	QGW035685 EICHENHOLZ, SONDR	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-200.00	9.25	0.73	8.52		7.60
01/31/2018	QGW035693 MASSA, WILLIAM	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-202.50	9.75	0.73	9.02		8.05
02/09/2018	QGW035693 MASSA, WILLIAM	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-270.00	9.25	0.79	8.46		7.54
02/01/2018	QGW035701 SZARKA, MICHAEL	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-180.00	8.00	0.85	7.15		6.35
02/14/2018	QGW035701 SZARKA, MICHAEL	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-22.50	2.15	0.73	1.42		1.21
02/26/2018	QGW035701 SZARKA, MICHAEL	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-95.00	4.95	0.73	4.22		3.73
02/21/2018	QGW035719 FAMILY 2011	CALL 100 VUZIX CORP COM NEW EXP 04- B		135.00	4.95	0.85	4.10		3.61
02/14/2018	QGW035735 STELLATO, MICHAEL	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-17.50	1.75	0.73	1.02		0.85
02/05/2018	QGW035776 ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-250.00	9.25	0.85	8.40		7.48
02/02/2018	QGW035800 SHEEHAN, MARY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-185.00	8.00	0.85	7.15		6.35
02/07/2018	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 04-20-18 S		-109.00	4.95	0.73	4.22		3.73
02/14/2018	QGW035859 SZABO, JOSEPH	CALL 100 ENERGOUS CORP COM EXP 02-1 S		-20.00	1.75	0.73	1.02		0.85
02/02/2018	QGW035883 BUDKEVICS, YANA	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-83.75	4.95	0.66	4.29		3.80
02/02/2018	QGW035883 BUDKEVICS, YANA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-110.00	5.50	0.85	4.65		4.10

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
02/02/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-115.00	4.95	0.85	4.10		3.61
02/06/2018	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-130.00	6.25	0.85	5.40		4.78
02/14/2018	QGW035982	PANASUK, JOHN	CALL 100 ENERGOUS CORP COM EXP 02-1 S	-40.00	3.00	0.85	2.15		1.85
01/31/2018	QGW035990	HERDTER, RICHARD	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-212.50	9.75	0.73	9.02		8.05
02/01/2018	QGW036030	BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-270.00	9.50	0.79	8.71		7.76
02/14/2018	QGW036030	BALLO, CATHERINE	CALL 100 ENERGOUS CORP COM EXP 02-1 S	-30.00	2.75	0.79	1.96		1.69
01/31/2018	QGW036048	FITZPATRICK, BARRY	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-200.00	9.75	0.73	9.02		8.05
02/05/2018	QGW036048	FITZPATRICK, BARRY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-195.00	8.00	0.85	7.15		6.35
02/07/2018	QGW036063	PICCININNI,	CALL 100 KRATOS DEFENSE & SEC SOLUT S	-105.00	4.95	0.85	4.10		3.61
02/15/2018	QGW036063	PICCININNI,	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-56.25	4.95	0.79	4.16		3.67
02/01/2018	QGW036071	HENNEMANN, DENNIS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-100.00	4.95	0.85	4.10		3.61
02/01/2018	QGW036071	HENNEMANN, DENNIS	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	100.00	-4.95	-0.85	-4.10		-3.61 C
02/01/2018	QGW036089	HENNEMANN, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-100.00	4.95	0.85	4.10		3.61
01/31/2018	QGW036105	ARDOLINO, SUSAN	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-135.00	5.75	0.73	5.02		4.45
02/02/2018	QGW036105	ARDOLINO, SUSAN	CALL 100 VUZIX CORP COM NEW EXP 07- S	-190.00	8.00	0.85	7.15		6.35
02/02/2018	QGW036105	ARDOLINO, SUSAN	CALL 100 VUZIX CORP COM NEW EXP 07- S	190.00	-8.00	-0.85	-7.15		-6.35 C
01/31/2018	QGW036121	CZACHOR, MICHAEL	CALL 100 NABORS INDS LTD SHS ISIN#B S	-20.00	1.90	0.73	1.17		0.98
01/31/2018	QGW036121	CZACHOR, MICHAEL	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-120.00	4.25	0.68	3.57		3.15
02/02/2018	QGW036154	CALLAHAN, PATRICK	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-190.00	8.00	0.85	7.15		6.35
02/08/2018	QGW036311	FAST, KENNETH	CALL 100 SNAP INC CL A EXP 04-20-18 B	216.50	2.00	0.73	1.27		1.07
01/31/2018	QGW036329	WOLF, KIM	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-98.75	4.95	0.66	4.29		3.80
01/31/2018	QGW036394	LIPANI, CHRISTINE	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-202.50	9.25	0.73	8.52		7.60
01/31/2018	QGW036956	BROCHARD, THOMAS	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-100.00	4.95	0.66	4.29		3.80
02/07/2018	QGW036956	BROCHARD, THOMAS	CALL 100 SNAP INC CL A EXP 04-20-18 S	-105.00	4.95	0.73	4.22		3.73
02/07/2018	QGW036956	BROCHARD, THOMAS	CALL 100 SNAP INC CL A EXP 04-20-18 S	105.00	-4.95	-0.73	-4.22		-3.73 C
02/02/2018	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-190.00	8.00	0.85	7.15		6.35
02/06/2018	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-100.00	4.95	0.73	4.22		3.73
02/14/2018	QGW037103	BURNS, KATHLEEN	CALL 100 ENERGOUS CORP COM EXP 02-1 S	-17.50	1.50	0.73	0.77		0.62
02/01/2018	QGW039117	CUPAILOLO, JOSEPH	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-99.75	5.10	0.84	4.26		3.75
02/28/2018	VARIOUS,	BELZ RICHARD	ADVISOR GUIDED	B	0.00	0.72	0.00	0.72	0.65
02/28/2018	VARIOUS,	BELZ RICHARD	GWG	B	0.00	798.00	0.00	798.00	718.20
02/28/2018	VARIOUS,	BELZ RICHARD	GWG	B	0.00	375.00	0.00	375.00	337.50
02/28/2018	VARIOUS,	BELZ RICHARD	HANCOCK	B	0.00	0.07	0.00	0.07	0.06
02/28/2018	VARIOUS,	BELZ RICHARD	OPPENHEIMER	B	0.00	65.66	0.00	65.66	59.09
02/28/2018	VARIOUS,	BELZ RICHARD	OPPENHEIMER	B	0.00	51.28	0.00	51.28	46.15
01/31/2018	000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763 B	0.00	0.78	0.00	0.78		0.70
01/31/2018	0000000000258208	HEUBISH, LAURA	FRK TEMP COREFOLIO ALLOC FND CL - C B	0.00	0.98	0.00	0.98		0.88
01/31/2018	0000000000277071	RICCA, MARIA	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	0.37	0.00	0.37		0.33
01/31/2018	0000000000361788	INVESTMENTS,	FRANKLIN/TEMPLETON FUNDS 88019R732 B	0.00	0.66	0.00	0.66		0.59
01/31/2018	0000000000426914	T/O/D	FRANKLIN NEW YORK TAX FREE CLASS C B	0.00	0.31	0.00	0.31		0.28

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
01/31/2018	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.80	0.00	0.80		0.72
01/31/2018	0000000002077360	LOEBER, MUTUAL DISC FND - CL A	B	0.00	0.42	0.00	0.42		0.38
01/31/2018	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	22.23	0.00	22.23		20.01
01/31/2018	0000000003359989	HEUBISH, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	0.00	0.87	0.00	0.87		0.78
01/31/2018	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	0.00	2.30	0.00	2.30		2.07
01/31/2018	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	0.00	0.92	0.00	0.92		0.83
01/31/2018	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	0.00	0.65	0.00	0.65		0.59
01/31/2018	0000000008022865	RICCA, MARIA TEMP DEV MRKTS TR FND - CL A	B	0.00	1.45	0.00	1.45		1.31
01/31/2018	0000000008954531	INVESTMENTS, MUTUAL DISC FND - CL A	B	0.00	0.81	0.00	0.81		0.73
01/31/2018	000003971304233	HEUBISH, TEMP GLOBAL SM CO FND - CL A	B	0.00	0.04	0.00	0.04		0.04
01/31/2018	000003971304245	HEUBISH, LAURA TEMP GLOBAL SM CO FND - CL A	B	0.00	0.04	0.00	0.04		0.04
01/31/2018	000004978738854	INVESTMENTS, TEMP FRGN FND - CL A	B	0.00	0.83	0.00	0.83		0.75
01/31/2018	000010151061192	KOWAL, WALTER FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.51	0.00	0.51		0.46
01/31/2018	000010162712627	JTTEN FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	2.07	0.00	2.07		1.86
01/31/2018	000010162808557	JTTEN FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.05	0.00	0.05		0.05
01/31/2018	000010165978787	CHAN, MARGIE FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.77	0.00	0.77		0.69
01/31/2018	000010166165101	TREZZA, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.83	0.00	0.83		0.75
01/31/2018	000010166317332	ROBBINS, JAMES FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.18	0.00	0.18		0.16
01/31/2018	000010166460031	LEICHNER, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.70	0.00	0.70		0.63
01/31/2018	000010166514456	VALENTI, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	3.04	0.00	3.04		2.74
01/31/2018	000010169430031	SILVERMAN, FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.43	0.00	0.43		0.39
01/31/2018	000010182629462	TREZZA, JOANN FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.47	0.00	0.47		0.42
01/31/2018	000010182882303	FUSCO, CAROL FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.07	0.00	0.07		0.06
01/31/2018	000010183914378	TEN, JT FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	0.16	0.00	0.16		0.14
01/31/2018	000011501729106	KOWAL, WALTER FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.35	0.00	0.35		0.32
01/31/2018	000011502696714	FERRADO, FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.05	0.00	0.05		0.05
01/31/2018	000011502716492	CHAN, MARGIE FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	2.73	0.00	2.73		2.46
01/31/2018	000011515879705	GRIFFIN, FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.02	0.00	0.02		0.02
01/31/2018	000011516945378	INVESTMENTS, FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.08	0.00	0.08		0.07
01/31/2018	000015812450173	LOEBER, FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	0.40	0.00	0.40		0.36
01/31/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	0.80	0.00	0.80		0.72
01/31/2018	000015815003585	RICCA, MARIA FRANKLIN RISING DIVIDENDS FD CLASS	B	0.00	0.37	0.00	0.37		0.33
01/31/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	0.00	0.58	0.00	0.58		0.52
01/31/2018	000019812558017	VERALDI, FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	0.15	0.00	0.15		0.14
01/31/2018	000019818164030	HEUBISH, FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	0.04	0.00	0.04		0.04
01/31/2018	000019818164067	HEUBISH, LAURA FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	0.04	0.00	0.04		0.04
01/31/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	0.00	0.38	0.00	0.38		0.34
02/22/2018	QGW031783	JACKSON, FRANK FRANKLIN GROWTH FUND CLASS A	B	0.00	0.22	0.00	0.22		0.20
02/21/2018	QGW034035	FAMILY 2012 IRREV PUTNAM 746745207	B	0.00	1.18	0.00	1.18		1.06
02/21/2018	QGW034035	FAMILY 2012 IRREV PUTNAM 746802511	B	0.00	0.55	0.00	0.55		0.50

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: RICHARD BELZ #77										
02/22/2018	QGW035503	SIMPSON, DAVID		GLOBAL OPPORTUNITIES A	B	0.00	0.07	0.00	0.07	0.06
02/12/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.22	0.00	0.22	0.20
02/12/2018	QGW036774	STOCKWELL, GLENN		ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	0.63	0.00	0.63	0.57
02/15/2018	QGW036774	STOCKWELL, GLENN		DREYFUS RESH GROWTH FD INC SHS CL A	B	0.00	0.52	0.00	0.52	0.47
02/22/2018	QGW036980	MARCOLINI, COREY		ROCHESTER FUND MUNICIPALS A	B	0.00	1.02	0.00	1.02	0.92
02/20/2018	QGW037129	GILMARTIN, MARGARET		THE HARTFORD CAPITAL APPRECIATION F	B	0.00	0.29	0.00	0.29	0.26
02/20/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR BALANCED CLASS A	B	0.00	0.15	0.00	0.15	0.14
02/20/2018	QGW038002	WICKERS, SCOTT		FIDELITY ADVISOR EQUITY INCOME CL A	B	0.00	0.16	0.00	0.16	0.14
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK HIGH YIELD BOND FUND CLAS	B	0.00	0.09	0.00	0.09	0.08
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK BASIC VALUE FUND INC CLAS	B	0.00	0.18	0.00	0.18	0.16
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK EMERGING MARKETS FUND, IN	B	0.00	0.19	0.00	0.19	0.17
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK CAP APPRECIATION FD INC C	B	0.00	0.21	0.00	0.21	0.19
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK GLOBAL ALLOCATION FUND IN	B	0.00	0.16	0.00	0.16	0.14
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK VALUE OPPORTUNITIES FUND	B	0.00	0.08	0.00	0.08	0.07
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK SMALL CAP GROWTH FUND II	B	0.00	0.08	0.00	0.08	0.07
02/22/2018	QGW038002	WICKERS, SCOTT		BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	0.07	0.00	0.07	0.06
02/22/2018	QGW038556	LAPINTA, LEONARD		GLOBAL FUND A	B	0.00	0.30	0.00	0.30	0.27
02/22/2018	QGW038556	LAPINTA, LEONARD		OPPENHEIMER DEVELOPING MARKETS CLAS	B	0.00	0.37	0.00	0.37	0.33
02/22/2018	QGW038556	LAPINTA, LEONARD		QUEST VALUE A	B	0.00	0.41	0.00	0.41	0.37
* RICHARD BELZ SUBTOTAL *					\$-13,734.21	\$2,099.20	\$66.38	\$2,032.82	\$1,823.27	
*** SPLIT TRANSACTIONS TOTAL ***					\$-13,734.21	\$2,099.20	\$66.38	\$2,032.82	\$1,823.27	
ADJUSTMENTS										
02/28/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-20.00	
02/28/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-3.25	
02/28/2018		OTHER: OTHER=C41		0.00	0.00	0.00	0.00		40.91	
02/28/2018		OTHER: OTHER-ERROR		0.00	0.00	0.00	0.00		-21.41	
02/28/2018		OTHER: OTHER--ADJUSTMENT TO INCOME		0.00	0.00	0.00	0.00		-7.67	
02/28/2018		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00	
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-161.42	

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7.20
Split Transactions	\$1,823.27
Override Transactions	\$0.00
Adjustments	\$-161.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.01
SIPC Assessment	\$-2.02

<b>Check Amount</b>	<b>\$1,666.02</b>
<b>Year-to-date Earnings</b>	<b>\$3,598.08</b>