



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 17, 2017**

01/13/2017  
Page No. 1

HERBERT ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

| DATE                      | CLIENT | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b> |        |                                     |                      |                     |                    |                   |      |                      |
| 01/17/2017                |        | *E & O INSURANCE: CURRENT MONTH INS | 0.00                 |                     |                    |                   |      | -300.00              |
| 01/17/2017                |        | *PERSHING: BOOKS & RECORDS          | 0.00                 |                     |                    |                   |      | -1.98                |
| 01/17/2017                |        | *TECHNOLOGY: CURRENT MONTH TECH     | 0.00                 |                     |                    |                   |      | -275.00              |
| *** ADJUSTMENTS TOTAL *** |        |                                     | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-576.98            |

| <b><u>BROKER COMMISSION TOTALS</u></b> |             |
|--|-------------|
| Broker Transactions                    | \$0.00      |
| Split Transactions                     | \$0.00      |
| Override Transactions                  | \$0.00      |
| Adjustments                            | \$-576.98   |
| Payroll Draw                           | \$0.00      |
| Base Salary                            | \$0.00      |
| Prior Period Balance                   | \$-1,505.00 |
| FINRA Assessment                       | \$0.00      |
| SIPC Assessment                        | \$0.00      |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |             |
|-----------------------|-------------|
| Balance Forward       | \$-2,081.98 |
| Year-to-date Gross    | \$0.00      |
| Year-to-date Earnings | \$0.00      |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed