

**COMMISSION STATEMENT**

July 31, 2018

 CYNTHIA MICHAEL-D181  
 1900 NW Loop 410

 BROKER #: D181  
 BRANCH: DMR

San Antonio, TX 78213

**COMMISSION STATEMENT for CYNTHIA MICHAEL-D181**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/25/2018	306750878	DANIELS, GAY	MF	LORD ABBETT HIGH YIELD MUNI	S	7,002.45	70.00	2.50	67.50	80.0	54.00
07/05/2018	306823802	GORDON, JUDITH	MF	AMERICAN HIGH-INCOME TRUST-A	B	-19,997.55	0.00	5.00	-5.00	80.0	-4.00
07/25/2018	368364670	DANIELS, GAY	MF	BLACKROCK GLOBAL ALLOCATION	B	-6,997.55	0.00	5.00	-5.00	80.0	-4.00
07/06/2018	677043441	STEFFEN, AUBREY	MF	FRANKLIN INCOME FUND CLASS C	S	10,302.45	103.00	5.00	98.00	80.0	78.40
* MUTUAL FUNDS SUBTOTAL *						\$-9,690.20	\$173.00	\$17.50	\$155.50		\$124.40
PRODUCT CATEGORY: STOCKS											
07/02/2018	368301875	MCCANDLESS, BILL	S	BANK OF AMERICA CORPORATION	B	28,391.05	280.00	15.00	265.00	80.0	212.00
07/02/2018	368301875	MCCANDLESS, BILL	S	JPMORGAN CHASE & CO FORMERLY J	B	31,533.95	300.00	15.00	285.00	80.0	228.00
07/17/2018	368440829	CRIST MARITAL	S	AT&T INC	S	-17,672.18	150.00	15.00	135.00	80.0	108.00
06/29/2018	492311175	MEDINA, ALEJANDRO	S	CONOCOPHILLIPS	S	-540,369.90	380.31	0.00	380.31	80.0	304.25
06/29/2018	492311175	MEDINA, ALEJANDRO	S	CONOCOPHILLIPS	S	-15,950.84	11.23	0.00	11.23	80.0	8.98
06/29/2018	492311175	MEDINA, ALEJANDRO	S	CONOCOPHILLIPS	S	-6,995.99	4.92	0.00	4.92	80.0	3.94
06/29/2018	492311175	MEDINA, ALEJANDRO	S	CONOCOPHILLIPS	S	-5,034.65	3.55	15.00	-11.45	80.0	-9.16
07/05/2018	677043441	STEFFEN, AUBREY	S	IES HOLDINGS INC	S	-10,321.48	100.00	15.00	85.00	80.0	68.00
* STOCKS SUBTOTAL *						\$-536,420.04	\$1,230.01	\$75.00	\$1,155.01		\$924.01
PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT											
07/02/2018	646510880	RICHTER, KATHLEEN	CD	FIRST TECHNOLOGY FEDERAL	B	100,002.45	42.50	20.00	22.50	80.0	18.00
07/02/2018	646510880	RICHTER, KATHLEEN	CD	FIRST TECHNOLOGY FEDERAL	B	-100,002.45	-42.50	-20.00	-22.50	80.0	-18.00
07/16/2018	646510880	RICHTER, KATHLEEN	CD	FRANKLIN SYNERGY BANK FRANKLIN	B	100,002.45	21.25	20.00	1.25	80.0	1.00
07/02/2018	646524322	RICHTER, GLENN	CD	FIRST TECHNOLOGY FEDERAL	B	50,002.45	21.25	20.00	1.25	80.0	1.00
07/02/2018	646524322	RICHTER, GLENN	CD	FIRST TECHNOLOGY FEDERAL	B	-50,002.45	-21.25	-20.00	-1.25	80.0	-1.00
07/16/2018	646524322	RICHTER, GLENN	CD	FRANKLIN SYNERGY BANK FRANKLIN	B	50,002.45	10.62	20.00	-9.38	80.0	-7.50
* CERTIFICATES OF DEPOSIT SUBTOTAL *						\$150,004.90	\$31.87	\$40.00	\$-8.13		\$-6.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT	AIM GLOBAL VALUE FUND - CLASS A	B	0.00	13.04	0.00	13.04	80.0	10.43
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMCAP FUND-A	B	0.00	3.92	0.00	3.92	80.0	3.14
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN BALANCED FUND-F1	B	0.00	25.35	0.00	25.35	80.0	20.28
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN FDS ST TAX EXEMPT BOND	B	0.00	0.01	0.00	0.01	80.0	0.01
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	40.95	0.00	40.95	80.0	32.76

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

				INVESTMENT	GROSS	CLEARING	NET	BROKER			
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	0.10	0.00	0.10	80.0	0.08	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	7.60	0.00	7.60	80.0	6.08	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	15.77	0.00	15.77	80.0	12.62	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK 60/40 TARGET ALLOC FD	B	0.00	34.77	0.00	34.77	80.0	27.82	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK MULTI-ASSET INCOME FD	B	0.00	5.21	0.00	5.21	80.0	4.17	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION FD	B	0.00	13.89	0.00	13.89	80.0	11.11	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	4.30	0.00	4.30	80.0	3.44	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT BLACKROCK GLOBAL ALLOCATION	B	0.00	14.87	0.00	14.87	80.0	11.90	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.93	0.00	3.93	80.0	3.14	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.78	0.00	4.78	80.0	3.82	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.85	0.00	4.85	80.0	3.88	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT COLUMBIA TAX-EXEMPT FUND-A	B	0.00	0.53	0.00	0.53	80.0	0.42	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	19.30	0.00	19.30	80.0	15.44	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT DEUTSCHE FLOATING RATE FD C	B	0.00	21.23	0.00	21.23	80.0	16.98	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	8.28	0.00	8.28	80.0	6.62	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	11.35	0.00	11.35	80.0	9.08	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	17.33	0.00	17.33	80.0	13.86	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	22.72	0.00	22.72	80.0	18.18	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	51.88	0.00	51.88	80.0	41.50	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	65.10	0.00	65.10	80.0	52.08	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	68.88	0.00	68.88	80.0	55.10	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.71	0.00	7.71	80.0	6.17	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	16.66	0.00	16.66	80.0	13.33	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.00	0.00	4.00	80.0	3.20	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.46	0.00	4.46	80.0	3.57	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT LOOMIS SAYLES GROWTH FD CL C	B	0.00	16.19	0.00	16.19	80.0	12.95	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	24.03	0.00	24.03	80.0	19.22	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	50.29	0.00	50.29	80.0	40.23	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT MULTI-ASSET INCOME	B	0.00	4.00	0.00	4.00	80.0	3.20	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT LORD ABBETT SHORT DURATION	B	0.00	19.43	0.00	19.43	80.0	15.54	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT MAINSTAY HIGH YIELD MUNI BD C	B	0.00	45.05	0.00	45.05	80.0	36.04	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.26	0.00	2.26	80.0	1.81	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	0.03	0.00	0.03	80.0	0.02	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT MID-CAP VALUE FUND CLASS A	B	0.00	4.88	0.00	4.88	80.0	3.90	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.64	0.00	3.64	80.0	2.91	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT NUVEEN SHORT DUR HIGH YLD MUNI	B	0.00	14.66	0.00	14.66	80.0	11.73	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	0.07	0.00	0.07	80.0	0.06	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.21	0.00	9.21	80.0	7.37	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.08	0.00	13.08	80.0	10.46	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.03	0.00	16.03	80.0	12.82	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.77	0.00	8.77	80.0	7.02	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	90.03	0.00	90.03	80.0	72.02	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.75	0.00	3.75	80.0	3.00	
07/23/2018	mfc495845	MICHAEL CYNTHIA	MFT WELLS FARGO ASSET ALLOCATION FD	B	0.00	7.15	0.00	7.15	80.0	5.72	

COMMISSION STATEMENT for CYNTHIA MICHAEL-D181

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$845.32	\$0.00	\$845.32		\$676.23
		*** CLEARING TRANSACTIONS TOTAL ***		\$-396,105.34	\$2,280.20	\$132.50	\$2,147.70		\$1,718.14

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018 (None)	VARIOUS, MICHAEL	MFT COLLEGE BOUND	B	0.00	60.73	0.00	60.73	80.0	48.58
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$60.73	\$0.00	\$60.73		\$48.58

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

07/25/2018 VM13402652 CRIST	VAT PACIFIC LIFE VA 69448B175	B	0.00	58.88	0.00	58.88	80.0	47.10
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$58.88	\$0.00	\$58.88		\$47.10
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$119.61	\$0.00	\$119.61		\$95.68

ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
07/31/2018	:	QUODD CHARGES	0.00	0.00	0.00	0.00	-130.37
		* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.37
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-185.37

BROKER COMMISSION TOTALS

Broker Transactions	\$1,813.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,628.45
Year-to-date Commissions	\$10,975.41