All Companies

R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

October 31, 2017

ROBERT HACKEL 206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: 56

BRANCH: HOME OFFICE

### COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CA	TEGORY: STOCKS										
10/24/2017 7	5500225 CAPEN, ERNEST	GENERAL ELECTRIC CO	S	109,025.00	300.00	0.00	300.00	80.0	240.00		
10/25/2017 7	5500225 CAPEN, ERNEST	GENERAL ELECTRIC CO	S	213,420.00	600.00	0.00	600.00	80.0	480.00		
10/25/2017 7	5500225 CAPEN, ERNEST	JOHNSON & JOHNSON	В	56,837.84	78.00	0.00	78.00	80.0	62.40		
10/03/2017 7	5500234 MULLEN, GEORGE	FRANKLIN RESOURCES INC (DEL)	S	6,717.39	35.00	0.00	35.00	80.0	28.00		
10/02/2017 7	5500237 POMFRET SCHOOL	NUVEEN N Y DIVIDEND ADVANTAC	GE MUN FB	42,208.73	0.00	0.00	0.00	80.0	0.00		
10/02/2017 7	5500237 POMFRET SCHOOL	NUVEEN N Y DIVIDEND ADVANTAC	GE MUN FS	-42,208.70	0.00	0.00	0.00	80.0	0.00 C		
10/05/2017 7	5500658 MARCIA DIAMOND	TESLA MOTORS INC	В	98,612.50	20.00	0.00	20.00	80.0	16.00		
09/28/2017 7	5501322 GILBERTSON, JAY	VISA INC CL A COMMON STOCK	S	522.36	0.00	0.00	0.00	80.0	0.00		
09/28/2017 7	5501322 GILBERTSON, JAY	VISA INC CL A COMMON STOCK	S	3,343.08	0.00	0.00	0.00	80.0	0.00		
09/29/2017 7	5501322 GILBERTSON, JAY	ALBEMARLE CORPORATION	S	408.42	0.00	0.00	0.00	80.0	0.00		
09/29/2017 7	5501322 GILBERTSON, JAY	HILTON GRAND VACATIONS INC	COMMON S B	426.78	0.00	0.00	0.00	80.0	0.00		
09/29/2017 7	5501322 GILBERTSON, JAY	LIVE NATION ENTMT INC COM	В	610.23	0.00	0.00	0.00	80.0	0.00		
09/29/2017 7	5501322 GILBERTSON, JAY	ORACLE CORPORATION	В	1,788.21	0.00	0.00	0.00	80.0	0.00		
09/29/2017 7	5501322 GILBERTSON, JAY	WESTERN ALLIANCE BANCORPORAT	CION B	799.87	0.00	0.00	0.00	80.0	0.00		
10/02/2017 7	5501322 GILBERTSON, JAY	ENVISION HEALTHCARE CORPORAT	CION COM S	535.14	0.00	0.00	0.00	80.0	0.00		
10/03/2017 7	5501322 GILBERTSON, JAY	ALIGN TECHNOLOGY INC	S	1,306.38	0.00	0.00	0.00	80.0	0.00		
10/05/2017 7	5501322 GILBERTSON, JAY	ANALOG DEVICES INC	В	616.75	0.00	0.00	0.00	80.0	0.00		
10/05/2017 7	5501322 GILBERTSON, JAY	PRICELINE GROUP INC (THE)	В	1,910.49	0.00	0.00	0.00	80.0	0.00		
10/05/2017 7	5501322 GILBERTSON, JAY	WALGREEN BOOTS ALLIANCE INC	COM S	768.63	0.00	0.00	0.00	80.0	0.00		
10/09/2017 7	5501322 GILBERTSON, JAY	FLEETCOR TECHNOLOGIES INC	В	323.53	0.00	0.00	0.00	80.0	0.00		
10/10/2017 7	5501322 GILBERTSON, JAY	DEXCOM INC	S	184.83	0.00	0.00	0.00	80.0	0.00		
10/10/2017 7	5501322 GILBERTSON, JAY	DEXCOM INC	S	693.10	0.00	0.00	0.00	80.0	0.00		
10/12/2017 7	5501322 GILBERTSON, JAY	SANOFI SPONSORED ADR	S	99.26	0.00	0.00	0.00	80.0	0.00		
10/12/2017 7	5501322 GILBERTSON, JAY	SANOFI SPONSORED ADR	S	645.21	0.00	0.00	0.00	80.0	0.00		
10/12/2017 7	5501322 GILBERTSON, JAY	TOTAL S A 1 ADR REPRESENTING	1 ORD B	1,194.38	0.00	0.00	0.00	80.0	0.00		
10/16/2017 7	5501322 GILBERTSON, JAY	HEXCEL CORP NEW	S	712.82	0.00	0.00	0.00	80.0	0.00		
10/16/2017 7	5501322 GILBERTSON, JAY	WALGREEN BOOTS ALLIANCE INC	COM S	809.08	0.00	0.00	0.00	80.0	0.00		
10/17/2017 7	5501322 GILBERTSON, JAY	ABBVIE INC	S	275.35	0.00	0.00	0.00	80.0	0.00		
10/17/2017 7	5501322 GILBERTSON, JAY	ABBVIE INC	S	917.84	0.00	0.00	0.00	80.0	0.00		
10/17/2017 7	5501322 GILBERTSON, JAY	CHEVRON CORPORATION	В	240.48	0.00	0.00	0.00	80.0	0.00		
10/19/2017 7	5501322 GILBERTSON, JAY	ABBVIE INC	S	1,058.29	0.00	0.00	0.00	80.0	0.00		

TRADE DATE

CLIENT

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TRADE DATE	CLIENI		INVESIMENT	ם / ם	AMOUNT	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
			BROKER TR	ANSA	CTIONS					
PRODUCT CAT	EGORY: STOCKS									
10/19/2017 75	501322 GILBERTSON,	JAY	CANADIAN IMPERIAL BANK OF COMMERCE	В	1,354.26	0.00	0.00	0.00	80.0	0.00
10/19/2017 75	501322 GILBERTSON,	JAY	EBAY INC	В	593.41	0.00	0.00	0.00	80.0	0.00
10/19/2017 75	501322 GILBERTSON,	JAY	GENERAL MILLS INC	S	156.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	3M COMPANY	В	1,766.40	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ABBVIE INC	В	3,541.18	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ABBVIE INC	В	2,775.52	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ACCENTURE PLC IRELAND SHS CL A	В	4,594.91	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ACCENTURE PLC IRELAND SHS CL A	В	2,088.60	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ACI WORLDWIDE INC	В	435.87	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ADOBE SYSTEMS INC	В	7,536.39	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ADVANCED ENERGY INDUSTRIES INC	В	634.41	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AGILENT TECHNOLOGIES INC	В	1,478.84	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AIR PRODUCTS & CHEMICALS INC	В	462.74	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALBEMARLE CORPORATION	В	1,408.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALIGN TECHNOLOGY INC	В	1,002.65	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALIGN TECHNOLOGY INC	В	3,609.54	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALKERMES PLC	В	505.18	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALPHABET INC CLASS A COMMON STOCK	В	2,013.28	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALPHABET INC CLASS A COMMON STOCK	В	3,019.92	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALPHABET INC CLASS C CAPITAL STOCK	В	6,919.99	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALTRIA GROUP INC	В	3,960.58	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ALTRIA GROUP INC	В	2,337.39	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AMER ELECTRIC PWR INC	В	1,328.40	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ANALOG DEVICES INC	В	1,517.72	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	APPLE INC	В	1,254.64	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	APPLE INC	В	2,352.45	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ARISTA NETWORKS INC COM	В	960.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ASTRAZENECA PLC EACH ADS REPRESENT:	В	2,978.83	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ASTRAZENECA PLC EACH ADS REPRESENT:	В	2,459.26	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AT&T INC	В	4,365.91	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AT&T INC	В	4,046.46	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AT&T INC	В	2,165.21	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AUTOLIV INC	В	617.58	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AUTOMATIC DATA PROCESSING INC	В	6,140.22	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	AXALTA COATING SYSTEMS LTD SHS ISIN	ΙB	264.22	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	BANK OF THE OZARKS INC	В	376.22	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	BANKUNITED INC	В	840.72	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	BCE INC COM NEW	В	4,175.58	0.00	0.00	0.00	80.0	0.00
10 /00 /0015 55		T 73.77	DOE INC COM NEW	В	2,768.08	0.00	0.00	0 00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	BCE INC COM NEW	ъ	2,700.00	0.00	0.00	0.00	00.0	0.00

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE	CLIENI		INVESIMENI	Б/ 5	AMOUNT	COMMISSION	CHARGE	COMMISSION	RAIL	COMMISSION
			BROKER T	'RANS	ACTIONS					
PRODUCT CA	regory: stocks									
10/20/2017 7	5501322 GILBERTSON,	JAY	BECTON DICKINSON & CO	В	1,695.04	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BECTON DICKINSON & CO	В	2,118.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BERRY PLASTICS GROUP INC COM	В	778.05	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BLACKROCK INC	В	2,863.02	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BOEING CO	В	1,844.22	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BORG WARNER AUTOMOTIVE INC	В	731.64	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BP P L C SPONSORED ADR (FRM BP A	MOC B	1,590.19	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BROADRIDGE FINANCIAL SOLUTIONS IN	NC B	675.44	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	BROADSOFT INC	В	594.52	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CABLE ONE INC	В	745.68	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CAMBREX CORP	В	422.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CANADIAN IMPERIAL BANK OF COMMERC	CE B	1,159.70	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CARLISLE COMPANIES INC	В	725.18	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CARTER'S INC	В	1,124.40	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CBRE GROUP INC CL A	В	1,047.28	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CBRE GROUP INC CL A	В	1,691.76	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CELGENE CORP	В	1,220.33	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CELGENE CORP	В	4,515.23	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CHARLES SCHWAB CORP NEW	В	1,383.53	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CHENIERE ENERGY INC COM	В	464.50	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CHEVRON CORPORATION	В	3,432.00	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CHEVRON CORPORATION	В	2,366.90	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CHEVRON CORPORATION	В	1,420.14	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CHINA LODGING GROUP LTD SPONSORE	DAB	947.59	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CHUBB LTD COM	В	2,459.36	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CHUBB LTD COM	В	2,613.07	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	CISCO SYS INC	В	2,256.32	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CISCO SYS INC	В	2,256.32	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CIT GROUP INC COM	В	797.44	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	COCA COLA COMPANY (THE)	В	4,322.63	0.00	0.00	0.00	80.0	0.00
.0/20/2017 7	5501322 GILBERTSON,	JAY	COLGATE PALMOLIVE COMPANY	В	1,804.25	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	COMCAST CORP CL A	В	2,411.34	0.00	0.00	0.00	80.0	0.00
0/20/2017 7	5501322 GILBERTSON,	JAY	CONOCOPHILLIPS	В	2,313.69	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		CONSOLIDATED EDISON INC	В	1,271.70	0.00	0.00		80.0	0.00
	5501322 GILBERTSON,		COPART INC	В	765.40	0.00	0.00		80.0	0.00
	5501322 GILBERTSON,		CORNING INC	В	1,111.85	0.00	0.00		80.0	0.00
	5501322 GILBERTSON,		COVANTA HOLDING CORPORATION	В	643.65	0.00	0.00		80.0	0.00
	5501322 GILBERTSON,		CRANE CO	В	1,350.08	0.00	0.00		80.0	0.00
	5501322 GILBERTSON,		CROWN CASTLE INTERNATIONAL CORP	В	3,770.28	0.00	0.00		80.0	0.00
	5501322 GILBERTSON,		CSRA INC COM	В	792.00	0.00	0.00		80.0	0.00
10/20/2011 /	JJOIJZZ GIDDERIDON,	ONI	COLUMN TIME COLUMN	ם	192.00	0.00	0.00	0.00	00.0	0.00

INVESTMENT

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BROKER

Page No.

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER '	TDANG	a ctions					
			BROKER	11(71(1))	ACTIOND					
PRODUCT CAT	regory: stocks									
10/20/2017 75	5501322 GILBERTSON,	JAY	CVS HEALTH CORPORATION	В	1,749.78	0.00	0.00	0.00		0.00
	5501322 GILBERTSON,		CYRUSONE INC COM	В	2,594.34	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DAVE & BUSTERS ENTERTAINMENT INC		194.99	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DIAGEO PLC-SPONSORED ADR REPSTG		2,435.94	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DIAMONDBACK ENERGY INC COM	В	512.44	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DOLBY LABORATORIES INC CL A	В	778.15	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DOLLAR GENERAL CORPORATION	В	3,167.42	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DOLLAR TREE INC	В	2,112.95	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DOMINION RESOURCES INC VA NEW	В	3,982.38	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DOMINOS PIZZA INC	В	558.12	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DOWDUPONT INC COMMON STOCK	В	1,279.98	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DUKE ENERGY CORPORATION HOLDING		3,507.50	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		DUNKIN BRANDS GROUP INC	В	677.73	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EAGLE MATERIALS INC	В	663.42	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EAST WEST BANCORP INC	В	1,341.29	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EBAY INC	В	1,018.08	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EDWARDS LIFESCIENCES CRP	В	1,244.51	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EMCOR GROUP INC	В	559.84	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EMCOR GROUP INC	В	1,049.70	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		ENTEGRIS INC	В	880.88	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		ESTEE LAUDER COMPANIES INC CL A	В	1,853.17	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EURONET WORLDWIDE INC	В	1,576.80	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EVERCORE PARTNERS INC CL A	В	472.20	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EXTRA SPACE STORAGE INC	В	1,792.78	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EXXON MOBIL CORPORATION	В	3,070.08	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		EXXON MOBIL CORPORATION	В	2,489.25	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		FACEBOOK INC CL A	В	1,925.11	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		FACEBOOK INC CL A	В	7,350.42	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	FIDELITY NATIONAL INFORMATION SE		953.10	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON,		FIDELITY NATIONAL INFORMATION SE	RVI B	2,763.99	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	FIRST REPUBLIC BANK SAN FRANCISC	осв	1,394.68	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	FLEETCOR TECHNOLOGIES INC	В	822.70	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	FORTINET INC	В	685.74	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GARTNER INC	В	626.76	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GARTNER INC	В	3,259.18	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GENERAL ELECTRIC CO	В	4,969.69	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GENERAL MOTORS COMPANY	В	639.35	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GENPACT LIMITED	В	1,190.30	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GLAXOSMITHKLINE PLC SPONSORED AD	R(FB	3,637.21	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON,	JAY	GRUBHUB INC COM	В	572.63	0.00	0.00	0.00	80.0	0.00

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PRODUCT CATEGORY: STOCKS	DIORDIC TITLE	10110110110								
10/20/2017 75501322 GILBERTSON, JAY	GUIDEWIRE SOFTWARE INC B	622.96	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HANESBRANDS INC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HCP INC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HEXCEL CORP NEW B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HILTON GRAND VACATIONS INC COMMON S B	,	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HONEYWELL INTL INC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HONEYWELL INTL INC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HOST MARRIOTT CORP (NEW) B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	HSBC HOLDINGS PLC SPONSORED ADR B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	IAC INTERACTIVECORP COM B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	ICON PLC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	ICU MEDICAL INC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	IDEX CORP B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	INGERSOLL RAND PLC B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	INTEL CORP B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	INTERCONTINENTAL EXCHANGE INC B	1,325.20	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	INTERPUBLIC GRP CO INC B	1,231.32	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	IONIS PHARMACEUTICALS INC COM B	709.47	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	J P MORGAN CHASE & CO B	2,690.01	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	J P MORGAN CHASE & CO B	2,590.38	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	JACOBS ENGINEERING GROUP INC B	1,528.98	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	JOHNSON & JOHNSON B	2,273.44	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	JOHNSON & JOHNSON B	1,278.81	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	JOHNSON CONTROLS INTERNATIONAL PLC B	2,347.38	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	KEYSIGHT TECHNOLOGIES INC COM B	1,544.76	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	KIMBERLY CLARK CORP B	1,585.64	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	KIMBERLY CLARK CORP B	2,491.72	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	KRAFT HEINZ COMPANY (THE) COMMON ST B	1,302.50	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	LABORATORY CORP AMER HLDGS B	1,063.49	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	LILLY ELI & CO B	2,617.50	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	LITHIA MOTORS INC-CL A B	465.52	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	LIVE NATION ENTMT INC COM B	951.23	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	LOCKHEED MARTIN CORP B	2,235.24	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	LOWES COMPANIES INC	2,165.87	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	MARSH & MCLENNAN COMPANIES INC B	1,855.87	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	MASTERCARD INCORPORATED B	1,017.87	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	MASTERCARD INCORPORATED B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	MEDIDATA SOLUTIONS INC	730.89	0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	MEDTRONIC PLC COM B		0.00	0.00	0.00 80.0	0.00				
10/20/2017 75501322 GILBERTSON, JAY	MERCADOLIBRE INC B		0.00	0.00	0.00 80.0	0.00				

		BRO	KER TRANS	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS								
10/20/2017 75	5501322 GILBERTSON, JA	Y MERCK & CO INC	В	2,042.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MERCK & CO INC	В	3,128.04	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y METLIFE INC	В	2,661.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MICROSOFT CORP	В	2,049.19	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MICROSOFT CORP	В	2,049.19	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MICROSOFT CORP	В	3,861.94	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MICROSOFT CORP	В	4,019.57	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MID AMERICA APARTMENT COMM	UNITIES I B	1,360.06	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MIDDLEBY CORP	В	725.94	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MOHAWK INDUSTRIES INC	В	1,850.22	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MOMENTA PHARMACEUTICALS IN	С В	323.73	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MONDELEZ INTERNATIONAL INC	COM B	1,517.28	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MONDELEZ INTERNATIONAL INC	COM B	1,353.25	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MONSANTO CO NEW	В	1,952.92	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y MONSTER BEVERAGE CORPORATION	ON NEW B	1,240.75	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NATIONAL GRID PLC SPON ADR	NEW 2017 B	3,157.92	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NAVIENT CORPORATION COM	В	418.54	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NESTLE SA-SPONSORED ADR RE	PSTG REGD B	3,480.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NEXTERA ENERGY INC	В	2,303.25	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NEXTERA ENERGY INC	В	2,303.25	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NIELSEN HOLDINGS PLC SHS	В	1,630.98	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NIKE INC CL B	В	4,354.02	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NORDSON CORP	В	747.29	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y NOVARTIS AG AMERICAN DEPOS	ITARY SHA B	1,630.72	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y O REILLY AUTOMOTIVE INC	В	3,522.53	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y OCCIDENTAL PETE CORP	В	2,290.40	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y OLD DOMINION FREIGHT LINES	INC B	786.43	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y ORACLE CORPORATION	В	1,777.21	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y ORACLE CORPORATION	В	5,183.54	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y OWENS CORNING	В	481.73	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PACKAGING CORP AMER	В	1,537.35	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PEPSICO INC	В	1,228.92	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PEPSICO INC	В	1,005.48	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PEPSICO INC	В	2,010.96	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PFIZER INCORPORATED	В	2,611.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PFIZER INCORPORATED	В	1,995.13	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON, JA		L INC B	3,495.36	0.00	0.00	0.00	80.0	0.00
	5501322 GILBERTSON, JA		L INC B	2,512.29	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PHILLIPS 66 COM	В	2,634.36	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	5501322 GILBERTSON, JA	Y PIONEER NATURAL RESOURCES	COMPANY B	1,014.58	0.00	0.00	0.00	80.0	0.00

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			BROKER T	RANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS									
10/20/2017 7	5501322 GILBERTSON,	JAY	POWER INTEGRATIONS INC	В	593.58	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PPL CORPORATION	В	3,015.12	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PRAXAIR INC	В	2,572.74	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PRESTIGE BRANDS HLDGS INC	В	336.68	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PRICELINE GROUP INC (THE)	В	3,881.16	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PROCTER & GAMBLE CO	В	2,017.56	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PUBLIC SERVICE ENTERPRISE GROUP II	NC B	977.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PUBLIC STORAGE INC	В	1,930.32	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PULTEGROUP INC COM	В	950.90	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	PVH CORP	В	1,284.68	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	RAYTHEON CO	В	2,072.51	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	REALTY INCOME CORP	В	833.85	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	REGENERON PHARMACEUTICALS INC	В	4,720.98	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	REINSURANCE GROUP OF AMERICA INCO	RP B	2,181.45	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	RELIANCE STEEL & ALUMINUM CO	В	771.50	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	ROPER TECHNOLOGIES NEW	В	763.08	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	ROSS STORES INC	В	1,282.40	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	ROYAL DUTCH SHELL PLC SPONSORED A	DR B	1,699.85	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	RSP PERMIAN INC	В	370.67	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	S&P GLOBAL INC COM	В	645.39	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SANOFI SPONSORED ADR	В	2,327.91	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SCHLUMBERGER LTD	В	1,189.73	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SEI INVESTMENTS CO	В	1,404.04	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SHERWIN WILLIAMS COMPANY	В	1,559.12	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SIEMENS AG AMERICAN DEPOSITARY SHA	AR B	1,366.56	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SNAP-ON INC	В	1,116.01	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SOUTHERN CO	В	1,145.98	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SPLUNK INC COM	В	771.60	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	STARBUCKS CORP	В	1,467.86	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	STARBUCKS CORP	В	4,077.38	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SUPERIOR ENERGY SERVICES INC	В	151.43	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	SUPERNUS PHARMACEUTICALS INC COM	В	679.20	0.00	0.00	0.00	80.0	0.00
10/20/2017 7	5501322 GILBERTSON,	JAY	TERADATA CORP	В	487.31	0.00	0.00	0.00	80.0	0.00
	'5501322 GILBERTSON,		TESARO INC	В	348.60	0.00	0.00	0.00		0.00
	'5501322 GILBERTSON,		THE TRAVELERS COMPANIES INC	В	2,655.80	0.00	0.00	0.00		0.00
	75501322 GILBERTSON,		THERMO FISHER SCIENTIFIC INC	В	1,356.67	0.00	0.00	0.00		0.00
	'5501322 GILBERTSON,		TJX COMPANIES INC NEW	В	503.86	0.00	0.00	0.00		0.00
	5501322 GILBERTSON,		TOTAL S A 1 ADR REPRESENTING 1 OR		2,818.27	0.00	0.00	0.00		0.00
	5501322 GILBERTSON,		TOTAL SYSTEM SERVICES INC	В	814.56	0.00	0.00	0.00		0.00
	75501322 GILBERTSON,		TRIMBLE INC COM	В	984.48	0.00	0.00		80.0	0.00
10,20,2011	JULIAN GILDERIDON,	0.11	111111111111111111111111111111111111111	ב	501.10	0.00	0.00	0.00	00.0	0.0

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			BROKER T	ransa	CTIONS					
PRODUCT CAT	EGORY: STOCKS									
10/20/2017 75	501322 GILBERTSON,	JAY	ULTA SALON COSMETICS & FRAGRANCE	IN B	410.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	ULTIMATE SOFTWARE GROUP INC	В	387.42	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	UNILEVER N V YORK SHS ADR	В	2,252.80	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	UNION PACIFIC CORP	В	1,583.40	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	UNITED PARCEL SERVICE INC CL B	В	958.62	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	UNITED RENTALS INC	В	1,163.36	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	UNITEDHEALTH GROUP INC	В	2,077.60	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	UNITEDHEALTH GROUP INC	В	2,285.36	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VAIL RESORTS INC	В	684.78	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VEEVA SYSTEMS INC CL A COM	В	851.34	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VENTAS INC	В	1,632.93	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VERIZON COMMUNICATIONS	В	4,935.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VISA INC CL A COMMON STOCK	В	2,257.87	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VISA INC CL A COMMON STOCK	В	5,698.44	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	VODAFONE GROUP PLC SPONSORED ADR	NO B	4,319.06	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WABTEC CORP	В	537.65	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WABTEC CORP	В	307.23	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WAGEWORKS INC	В	259.00	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WALT DISNEY CO	В	1,389.61	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WEC ENERGY GROUP INC	В	1,533.32	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WELLS FARGO & CO	В	3,669.26	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WELLS FARGO & CO	В	2,081.08	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WELLTOWER INC COM	В	1,767.48	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WELLTOWER INC COM	В	3,263.04	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WESTERN ALLIANCE BANCORPORATION	В	946.35	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WHIRLPOOL CORP	В	1,098.06	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	WYNDHAM WORLDWIDE CORPORATION	В	762.84	0.00	0.00	0.00	80.0	0.00
10/20/2017 75	501322 GILBERTSON,	JAY	XYLEM INC COM	В	578.34	0.00	0.00	0.00	80.0	0.00
10/23/2017 75	501322 GILBERTSON,	JAY	GRACO INC	В	1,401.29	0.00	0.00	0.00	80.0	0.00
10/23/2017 75	501322 GILBERTSON,	JAY	XYLEM INC COM	S	573.04	0.00	0.00	0.00	80.0	0.00
10/23/2017 75	501322 GILBERTSON,	JAY	XYLEM INC COM	S	1,273.41	0.00	0.00	0.00	80.0	0.00
10/26/2017 75	501322 GILBERTSON,	JAY	ABBVIE INC	S	1,337.06	0.00	0.00	0.00	80.0	0.00
10/26/2017 75	501322 GILBERTSON,	JAY	CANADIAN IMPERIAL BANK OF COMMERC	CE B	1,320.72	0.00	0.00	0.00	80.0	0.00
10/26/2017 75	501322 GILBERTSON,	JAY	CVS HEALTH CORPORATION	В	1,293.00	0.00	0.00	0.00	80.0	0.00
	501322 GILBERTSON,		EXTRA SPACE STORAGE INC	S	1,720.62	0.00	0.00	0.00	80.0	0.00
10/26/2017 75	501322 GILBERTSON,	JAY	EXTRA SPACE STORAGE INC	S	1,955.25	0.00	0.00	0.00	80.0	0.00
10/26/2017 75	501322 GILBERTSON,	JAY	OCCIDENTAL PETE CORP	В	1,293.00	0.00	0.00	0.00	80.0	0.00
10/26/2017 75	501322 GILBERTSON,	JAY	SANOFI SPONSORED ADR	S	1,332.85	0.00	0.00	0.00	80.0	0.00
10/27/2017 75	501322 GILBERTSON,	JAY	CELGENE CORP	S	977.09	0.00	0.00	0.00	80.0	0.00
09/28/2017 75	501761 WRIGHTON, B	RYAN	VISA INC CL A COMMON STOCK	S	3,969.90	0.00	0.00		80.0	0.00

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INVESTMENT

GROSS

CLEARING

NET

BROKER

### PROMICE CATEGORY: STOCKS ### OBJECT CORPORATIONS #	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PRODUCT CATEGORY: STOCKS ### P379792017 19801701 NRIGHTON, BYANN ORACLE CORPORATION B 1,353.24 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0		0111111	11112011111111		11100111	COLLIEBTON	CIMMOD	COLLIDBION	14112	COLUMNICATION
			BROKER TR	RANS	ACTIONS					
0.003/2017 75501761 WILDETON, BYYAN   ALTEN TECHNOLOGY INC   S   5.112.75   0.00   0.01   0.00   0.01   0	PRODUCT CAT	regory: stocks								
0.1212/2017 TSS01761 MIGHTON, BYEAN   ALTRIA GROUP INC   B   653.28   0.00	09/29/2017 75	5501761 WRIGHTON, BRYAN	ORACLE CORPORATION	В	1,353.24	0.00	0.00	0.00	80.0	0.00
0.1012/2017 75501761 MRIGHTON, BRYAN   GENERAL MILLS INC	10/03/2017 75	5501761 WRIGHTON, BRYAN	ALIGN TECHNOLOGY INC	S	1,119.75	0.00	0.00	0.00	80.0	0.00
	10/12/2017 75	5501761 WRIGHTON, BRYAN	ALTRIA GROUP INC	В	653.28	0.00	0.00	0.00	80.0	0.00
0.012/2017 75501761 WRIGHTON, BYYAN ABBYLE INC S   694.84   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.00   0.01   0.00   0.01   0.0	10/12/2017 75	5501761 WRIGHTON, BRYAN	GENERAL MILLS INC	S	512.70	0.00	0.00	0.00	80.0	0.00
0.017/2017 75501761 MRIGHTON, BRYAN	10/12/2017 75	5501761 WRIGHTON, BRYAN	PUBLIC STORAGE INC	В	866.68	0.00	0.00	0.00	80.0	0.00
0.017/2017 75501761 WEIGHTON, BRYAN   ABBVIE LINC   S   642.49   0.00   0.00   0.00   0.00   80.0   0.00   0.07/7/2017 75501761 WEIGHTON, BRYAN CHEVRON CORPORATION   S   120.23   0.00   0.00   0.00   0.00   80.0   0.00   0.01/7/2017 75501761 WEIGHTON, BRYAN   DUBLIC STORAGE INC   S   215.35   0.00   0.00   0.00   0.00   80.0   0.00   0.01/7/2017 75501761 WEIGHTON, BRYAN   JOHN BEAN TECHNLOGIES CORP   S   865.87   0.00   0.00   0.00   0.00   80.0   0.00   0.01/7/2017 75501761 WEIGHTON, BRYAN   CHEVRON CORPORATION   B   1.183.50   0.00   0.00   0.00   0.00   80.0   0.00	10/12/2017 75	5501761 WRIGHTON, BRYAN	SANOFI SPONSORED ADR	S	694.84	0.00	0.00	0.00	80.0	0.00
0.171/2017 75501761 NRIGHTON, BEYAN	10/17/2017 75	5501761 WRIGHTON, BRYAN	ABBVIE INC	S	275.35	0.00	0.00	0.00	80.0	0.00
0.717/2017 75501761 WRIGHTON, BRYAN JUNN HEAR TECHNOLOGIES CORP S 823.78 0.00 0.00 0.00 80.0 0.00 0.00 0.00 80.0 0.00 0.019/2017 75501761 WRIGHTON, BRYAN ABBYLE INC S 865.87 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0	10/17/2017 75	5501761 WRIGHTON, BRYAN	ABBVIE INC	S	642.49	0.00	0.00	0.00	80.0	0.00
0.018/2017 75501761 WRIGHTON, BRYAN	10/17/2017 75	5501761 WRIGHTON, BRYAN	CHEVRON CORPORATION	S	120.23	0.00	0.00	0.00	80.0	0.00
0.019/2017 75501761 WRIGHTON, BRYAN ABBVIE INC S 865.87 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	10/17/2017 75	5501761 WRIGHTON, BRYAN	PUBLIC STORAGE INC	В	215.35	0.00	0.00	0.00	80.0	0.00
0.019/2017 75501761 WRIGHTON, BRYAN CHEVRON CORPORATION B 1,183.50 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	10/18/2017 75	5501761 WRIGHTON, BRYAN	JOHN BEAN TECHNOLOGIES CORP	S	823.78	0.00	0.00	0.00	80.0	0.00
10/26/2017 75501761 WRIGHTON, BRYAN   ABBVIE INC   S   623.96   0.00	10/19/2017 75	5501761 WRIGHTON, BRYAN	ABBVIE INC	S	865.87	0.00	0.00	0.00	80.0	0.00
0.726/2017 75501761 WRIGHTON, BRYAN CANADIAN IMPERIAL BANK OF COMMERCE B 792.43 0.00 0.00 0.00 0.00 80.0 0.00 0.026/2017 75501761 WRIGHTON, BRYAN COCIDENTAL PETE CORP B 452.55 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	10/19/2017 75	5501761 WRIGHTON, BRYAN	CHEVRON CORPORATION	В	1,183.50	0.00	0.00	0.00	80.0	0.00
10/26/2017 75501761 WRIGHTON, BRYAN   OCCIDENTAL PETE CORP   B   452.55   0.00   0.0	10/26/2017 75	5501761 WRIGHTON, BRYAN	ABBVIE INC	S	623.96	0.00	0.00	0.00	80.0	0.00
10/26/2017 75501761 WRIGHTON, BRYAN   SANOFI SPONSORED ADR   S   761.63   0.00   0.0	10/26/2017 75	5501761 WRIGHTON, BRYAN	CANADIAN IMPERIAL BANK OF COMMERCE	В	792.43	0.00	0.00	0.00	80.0	0.00
1.0/27/2017 75501761 WRIGHTON, BRYAN   EXA CORPORATION COMMON   S   2,129.81   0.00	10/26/2017 75	5501761 WRIGHTON, BRYAN	OCCIDENTAL PETE CORP	В	452.55	0.00	0.00	0.00	80.0	0.00
10.010/2017 75501974 MOZAIC LLC STARWOOD WAYPOINT HOMES COM S 35,578.82 20.00 0.00 20.00 80.0 16.00 10	10/26/2017 75	5501761 WRIGHTON, BRYAN	SANOFI SPONSORED ADR	S	761.63	0.00	0.00	0.00	80.0	0.00
10.006/2017 QGN005027 GOLDSMITH, ELY & ARCONIC INC COM S -209,530.62 470.94 0.00 470.94 80.0 376.75   10.09/2017 QGN005027 GOLDSMITH, ELY & ANNALY CAP MGMT INC PFD SER F FIXED B 77,408.40 300.00 0.00 300.00 80.0 240.00   10.023/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B 84,648.60 210.00 0.00 300.00 80.0 168.00   10.027/2017 QGN005027 GOLDSMITH, ELY & METLIFE INC PFD SER A FLIG RATE CPN S -75,938.70 300.00 0.00 300.00 80.0 240.00   10.027/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B 84,595.00 210.00 0.00 210.00 80.0 168.00   10.027/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B 84,595.00 210.00 0.00 210.00 80.0 174.60   10.006/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B 84,595.00 210.00 0.00 210.00 80.0 174.60   10.006/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -41,884.77 124.14 0.00 124.14 80.0 99.3   10.006/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -41,884.77 124.14 0.00 124.14 80.0 99.3   10.006/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -266,360.20 210.00 0.00 210.00 80.0 168.00   10.006/2017 QGN005076 BRENNAN, LUCY ANNALY CAP MGMT INC PFD SER F FIXED B 51,605.60 210.00 0.00 210.00 80.0 168.00   10.006/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -266,360.20 210.00 0.00 381.00 80.0 168.00   10.012/2017 QGN005548 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 304.80   10.012/2017 QGN005512 DRURY W COOPER TRUST NTT ENERGY SOLUTIONS INC COM ISINEC B 1,927.00 75.00 0.00 75.00 80.0 60.00   10.011/2017 QGN005513 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 120.00 80.0 60.00   10.011/2017 QGN005513 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 50.00 60.00 80.0 40.00   10.011/2017 QGN005619 HARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 50.00 80.0 40.00   10.01/2017 QGN005619 HARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 0.00 50.00 80.0 40.00   10.01/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CLA S -151,452.19 534.00 0.00 0.	10/27/2017 75	5501761 WRIGHTON, BRYAN	EXA CORPORATION COMMON	S	2,129.81	0.00	0.00	0.00	80.0	0.00
10.009/2017 QGN005027 GOLDSMITH, ELY & ANNALY CAP MGMT INC PFD SER F FIXED B   77,408.40   300.00   0.00   300.00   80.0   240.00   10.023/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B   84,648.60   210.00   0.00   300.00   80.0   240.00   10.027/2017 QGN005027 GOLDSMITH, ELY & METLIFE INC FFD SER A FLITG RATE CPN S   -75,938.70   300.00   0.00   300.00   80.0   240.00   10.027/2017 QGN005027 GOLDSMITH, ELY & METLIFE INC FFD SER A FLITG RATE CPN S   -75,938.70   300.00   0.00   300.00   80.0   240.00   10.027/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B   84,595.00   210.00   0.00   210.00   80.0   168.00   10.00	10/10/2017 75	5501974 MOZAIC LLC	STARWOOD WAYPOINT HOMES COM	S	35,578.82	20.00	0.00	20.00	80.0	16.00
0.0/23/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B 84,648.60 210.00 0.00 210.00 80.0 168.00 0.00 0.00 240.00 0.00 240.00 0.00 240.00 0.00	10/06/2017 QC	GN005027 GOLDSMITH, ELY &	ARCONIC INC COM	S	-209,530.62	470.94	0.00	470.94	80.0	376.75
0.0/27/2017 QGN005027 GOLDSMITH, ELY & METLIFE INC PFD SER A FLTG RATE CPN S -75,938.70 300.00 0.00 300.00 80.0 240.00 0.00 0.00 210.00 80.0 168.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	10/09/2017 QC	GN005027 GOLDSMITH, ELY &	ANNALY CAP MGMT INC PFD SER F FIXE	DВ	77,408.40	300.00	0.00	300.00	80.0	240.00
1.0/27/2017 QGN005027 GOLDSMITH, ELY & SPECTRA ENERGY PARTNERS LP B 84,595.00 210.00 0.00 210.00 80.0 168.00 1.0/06/2017 QGN005043 HEALY TR DTD ARCONIC INC COM S -83,796.23 218.34 0.00 218.34 80.0 174.60 1.0/06/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -41,884.77 124.14 0.00 124.14 80.0 99.31 1.0/09/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -41,884.77 124.14 0.00 210.00 80.0 168.00 1.0/05/2017 QGN005076 BRENNAN, LUCY ANNALY CAP MGMT INC PFD SER F FIXED B 51,605.60 210.00 0.00 210.00 80.0 168.00 1.0/05/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -266,360.20 210.00 0.00 210.00 80.0 168.00 1.0/25/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 168.00 1.0/16/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 28.00 1.0/16/2017 QGN00548 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 28.00 1.0/12/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00 1.0/11/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 1.0/13/2017 QGN005713 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 96.00 1.0/16/2017 QGN005913 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 60.00 80.0 40.00 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006049 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 1,200.00 80.0 480.0 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 1,200.00 80.0 1,440.00 1.0/16/2017 QGN006	10/23/2017 QC	GN005027 GOLDSMITH, ELY &	SPECTRA ENERGY PARTNERS LP	В	84,648.60	210.00	0.00	210.00	80.0	168.00
10.06/2017 QGN005043 HEALY TR DTD ARCONIC INC COM S -83,796.23 218.34 0.00 218.34 80.0 174.66   10.06/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -41,884.77 124.14 0.00 124.14 80.0 99.33   10.09/2017 QGN005076 BRENNAN, LUCY ANNALY CAP MGMT INC PFD SER F FIXED B 51,605.60 210.00 0.00 210.00 80.0 168.00   10.05/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -266,360.20 210.00 0.00 210.00 80.0 168.00   10.025/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 304.80   10.016/2017 QGN00548 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 28.00   10.012/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00   10.011/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60   10.016/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00   10.016/2017 QGN006099 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 534.00 80.0 40.00   10.016/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20   10.09/29/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,200.00 80.0 1,440.00   10.00/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   10.00/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   10.00/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   10.00/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   10.00/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   10.00/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800	10/27/2017 QC	GN005027 GOLDSMITH, ELY &	METLIFE INC PFD SER A FLTG RATE CP	N S	-75,938.70	300.00	0.00	300.00	80.0	240.00
10.06/2017 QGN005076 BRENNAN, LUCY ARCONIC INC COM S -41,884.77 124.14 0.00 124.14 80.0 99.33 10.009/2017 QGN005076 BRENNAN, LUCY ANNALY CAP MGMT INC PFD SER F FIXED B 51,605.60 210.00 0.00 210.00 80.0 168.00 169.00 10.005/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -266,360.20 210.00 0.00 210.00 80.0 168.00 10.005/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 304.80 10.005/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 304.80 10.005/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -30,601.50 35.00 0.00 35.00 80.0 28.00 10.005/2017 QGN005768 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 28.00 10.005/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00 10.011/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 10.012/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 10.012/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -40,950.08 120.00 0.00 120.00 80.0 33.60 10.012/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -40,950.08 120.00 0.00 120.00 80.0 36.00 10.016/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 10.016/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 534.00 80.0 427.20 10.009/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 10.000/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 1,200.00 80.0 480.00 10.000/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 1,440.00 1.000/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00 1.000/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0	10/27/2017 QC	GN005027 GOLDSMITH, ELY &	SPECTRA ENERGY PARTNERS LP	В	84,595.00	210.00	0.00	210.00	80.0	168.00
10.009/2017 QGN005076 BRENNAN, LUCY ANNALY CAP MGMT INC PFD SER F FIXED B 51,605.60 210.00 0.00 210.00 80.0 168.00 169.00 1.00/05/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -266,360.20 210.00 0.00 210.00 80.0 168.00 1.00/25/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 304.80 1.00/16/2017 QGN005548 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 28.00 1.00/12/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00 1.00/11/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 1.00/13/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00 1.00/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 1.00/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 50.00 80.0 48.00 1.00/28/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.00/29/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1,440.00 1.00/00/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.	10/06/2017 QC	GN005043 HEALY TR DTD	ARCONIC INC COM	S	-83,796.23	218.34	0.00	218.34	80.0	174.67
10/05/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -266,360.20 210.00 0.00 210.00 80.0 168.00 169.00 1.00 1.00 1.00 1.00 1.00 1.00 1.00	10/06/2017 QC	GN005076 BRENNAN, LUCY	ARCONIC INC COM	S	-41,884.77	124.14	0.00	124.14	80.0	99.31
1.0/25/2017 QGN005464 RF LAFFERTY & CO JOHNSON & JOHNSON S -556,530.39 381.00 0.00 381.00 80.0 304.80   1.0/16/2017 QGN005548 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 28.00   1.0/12/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00   1.0/11/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60   1.0/13/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00   1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00   1.0/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 60.00 80.0 48.00   1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20   1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00   1.0/10/2/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 1,440.00   1.0/10/2/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00   1.0/10/2/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00   1.0/10/2/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   1.0/10/10/10/10/10/10/10/10/10/10/10/10/10	10/09/2017 QC	GN005076 BRENNAN, LUCY	ANNALY CAP MGMT INC PFD SER F FIXE	DВ	51,605.60	210.00	0.00	210.00	80.0	168.00
1.0/16/2017 QGN005548 HENRY L THOMPSON UNILEVER N V YORK SHS ADR S -30,601.50 35.00 0.00 35.00 80.0 28.00 1.0/12/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00 1.0/11/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 1.0/13/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 1.0/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 60.00 80.0 48.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.0	10/05/2017 QC	GN005464 RF LAFFERTY & CO	JOHNSON & JOHNSON	S	-266,360.20	210.00	0.00	210.00	80.0	168.00
1.0/12/2017 QGN005712 DRURY W COOPER TRUST NXT ENERGY SOLUTIONS INC COM ISIN#C B 1,927.00 75.00 0.00 75.00 80.0 60.00 1.0/11/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 1.0/13/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 1.0/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 60.00 80.0 48.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HO	10/25/2017 QC	GN005464 RF LAFFERTY & CO	JOHNSON & JOHNSON	S	-556,530.39	381.00	0.00	381.00	80.0	304.80
LO/11/2017 QGN005753 PROCTOR, JOHN EMERSON ELECTRIC CO S -6,326.01 42.00 0.00 42.00 80.0 33.60 1.0/13/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 1.0/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 60.00 80.0 48.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1,440.00 1,440.00 1,440.00 1,440.00 1,440.00 1,440.00 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,440	10/16/2017 QC	GN005548 HENRY L THOMPSON	UNILEVER N V YORK SHS ADR	S	-30,601.50	35.00	0.00	35.00	80.0	28.00
1.0/13/2017 QGN005811 MARIAN RUSSEL LARKIN ABBOTT LABORATORIES S -40,950.08 120.00 0.00 120.00 80.0 96.00 1.0/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 1.0/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 60.00 80.0 48.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1,440.00 1.0/16/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 1,440.00 1,440.00 1,440.00 1,440.00	10/12/2017 QC	GN005712 DRURY W COOPER TRU	ST NXT ENERGY SOLUTIONS INC COM ISIN#	СВ	1,927.00	75.00	0.00	75.00	80.0	60.00
LO/16/2017 QGN006009 THOMPSON, MARY DOMINION RESOURCES INC VA NEW B 78,643.20 50.00 0.00 50.00 80.0 40.00 0.01 0.00 0.00 0.00 0.00 0.00	10/11/2017 QC	GN005753 PROCTOR, JOHN	EMERSON ELECTRIC CO	S	-6,326.01	42.00	0.00	42.00	80.0	33.60
1.0/16/2017 QGN006009 THOMPSON, MARY WALGREEN BOOTS ALLIANCE INC COM S -81,445.20 60.00 0.00 60.00 80.0 48.00 109/28/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20 109/29/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00 10/02/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00 10/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00 1,440.00 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 1,800.00 80.0 1,440.00 1,800.00 80.0 1,440.00 1,800.00 80.0 1,440.00 1,800.00 80.0 1,440.00 1,800.00 80.0 1,440.00 1,800.00 80	10/13/2017 QC	GN005811 MARIAN RUSSEL LARK	IN ABBOTT LABORATORIES	S	-40,950.08	120.00	0.00	120.00	80.0	96.00
09/28/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -151,452.19 534.00 0.00 534.00 80.0 427.20   09/29/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00   0.002/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -341,914.00 1,200.00 0.00 1,200.00 80.0 960.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00   0.003/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -51	10/16/2017 QC	GN006009 THOMPSON, MARY	DOMINION RESOURCES INC VA NEW	В	78,643.20	50.00	0.00	50.00	80.0	40.00
09/29/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -170,185.00 600.00 0.00 600.00 80.0 480.00 0.00 0.00 600.00 80.0 480.00 0.00 0.00 0.00 0.00 0.	10/16/2017 QC	GN006009 THOMPSON, MARY	WALGREEN BOOTS ALLIANCE INC COM	S	-81,445.20	60.00	0.00	60.00	80.0	48.00
L0/02/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A       S       -341,914.00       1,200.00       0.00       1,200.00       80.0       960.00         L0/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A       S       -517,857.00       1,800.00       0.00       1,800.00       80.0       1,440.00	09/28/2017 QC	GN006249 HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-151,452.19	534.00	0.00	534.00	80.0	427.20
0/03/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -517,857.00 1,800.00 0.00 1,800.00 80.0 1,440.00	09/29/2017 QC	GN006249 HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-170,185.00	600.00	0.00	600.00	80.0	480.00
	10/02/2017 QC	GN006249 HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-341,914.00	1,200.00	0.00	1,200.00	80.0	960.00
.0/04/2017 QGN006249 HAGGIAG FAMILY TR, INOVALON HOLDINGS INC COM CL A S -245,213.78 860.52 0.00 860.52 80.0 688.43	10/03/2017 QC	GN006249 HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-517,857.00	1,800.00	0.00	1,800.00	80.0	1,440.00
	10/04/2017 QC	GN006249 HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-245,213.78	860.52	0.00	860.52	80.0	688.42

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT  AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER	TRAN	SACTIONS					
PRODUCT CAT	regory•	STOCKS								
		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-481,275.30	1,692.00	0.00	1,692.00	80.0	1,353.60
		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-175,482.31	619.20	0.00	619.20	80.0	495.36
~		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-42,500.00	150.00	0.00	150.00	80.0	120.00
		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-54,400.00	192.00	0.00	192.00	80.0	153.60
		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-513,168.00	1,800.00	0.00	1,800.00	80.0	1,440.00
~		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-519,396.00	1,800.00	0.00	1,800.00	80.0	1,440.00
		HAGGIAG FAMILY TR,	INOVALON HOLDINGS INC COM CL A	S	-216,374.54	752.28	0.00	752.28	80.0	601.82
		RICHARDS TRUST, FTC	I UNION PACIFIC CORP	S	-8,708.25	40.00	0.00	40.00	80.0	32.00
10/25/2017 QC	GN006264	401K, JEREMY	ENERGOUS CORP COM	В	3,966.75	20.00	0.00	20.00	80.0	16.00
10/03/2017 QC	GW031544	HEALY, TIMOTHY	EQUIFAX INC	S	-55,248.95	90.00	0.00	90.00	80.0	72.00
10/05/2017 QC	GW031544	HEALY, TIMOTHY	MBIA INC	В	7,639.50	120.00	0.00	120.00	80.0	96.00
10/05/2017 QC	GW031544	HEALY, TIMOTHY	MBIA INC	В	-7,639.50	-120.00	0.00	-120.00	80.0	-96.00 C
10/05/2017 QC	GW031544	HEALY, TIMOTHY	MBIA INC	В	7,639.50	120.00	0.00	120.00	80.0	96.00
10/06/2017 QC	GW031544	HEALY, TIMOTHY	MBIA INC	В	7,737.80	120.00	0.00	120.00	80.0	96.00
10/11/2017 QC	GW031551	HEALY, TIMOTHY	ALLY FINL INC COM	В	12,182.50	90.00	0.00	90.00	80.0	72.00
10/03/2017 QC	GW038440	LEOPOLD, CLAUDIA	F5 NETWORKS INC	В	12,075.55	42.00	0.00	42.00	80.0	33.60
			* STOCKS SUBTO	TAL *	\$-3,406,904.17	\$16,591.42	\$0.00	\$16,591.42		\$13,273.13
PRODUCT CAT	regory:	OPTIONS								
		HEALY, TIMOTHY	CALL 100 CBOE VOLATILITY INDEX	- VX B	1,600.00	40.00	0.00	40.00	80.0	32.00
			* OPTIONS SUBTO	TAL *	\$1,600.00	\$40.00	\$0.00	\$40.00		\$32.00
PRODUCT CAI	regory:	RIA								
		GILBERTSON, JAY	FAS FEES	В	-4,009.75	2,430.91	0.00	2,430.91	80.0	1,944.73
		GILBERTSON, JAY	FAS FEES	В	-1,621.92	1,003.56	0.00	1,003.56	80.0	802.85
		WRIGHTON, BRYAN	FAS FEES	В	-2,669.13	1,650.01	0.00	1,650.01	80.0	1,320.01
10/25/2017 75			FAS FEES	В	-1,350.56	1,174.99	0.00	1,174.99	80.0	939.99
			* RIA SUBTO	TAL *	\$-9,651.36	\$6,259.47	\$0.00	\$6,259.47		\$5,007.58
PRODUCT CAT	regory:	MUTUAL FUND 12B1	TRAILS							
10/10/2017 75	5500245 1	BLUMENTHAL, MARK	**DEUTSCHE SCIENCE AND	В	0.00	4.42	0.00	4.42	80.0	3.54
		BLUMENTHAL, MARK	MASS INVESTORS GROWTH STOCK FUR		0.00	1.19	0.00	1.19	80.0	0.95
		BLUMENTHAL, MARK	PUTNAM 746802800	В	0.00	3.43	0.00	3.43	80.0	2.74
		BLUMENTHAL, MARK	PUTNAM GLOBAL EQUITY FUND CLASS	ва в	0.00	0.68	0.00	0.68	80.0	0.54
10/10/2017 75	5500245 1	BLUMENTHAL, MARK	PUTNAM NEW OPPORTUNITIES FUND (	CLASS B	0.00	1.20	0.00	1.20	80.0	0.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				TAL *	\$0.00	\$10.92	\$0.00	\$10.92		\$8.73
*** BROKER TRANSACTIONS TOTAL ***					\$-3,414,955.53	\$22,901.81	\$0.00	\$22,901.81		\$18,321.44

#### BROKER COMMISSION TOTALS \$18,321.44 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions \$0.00 Adjustments Payroll Draw \$0.00 \$0.00 Base Salary \$0.00 Prior Period Balance FINRA Assessment \$-28.63 \$-57.25 SIPC Assessment \$18,235.56 Check Amount \$46,474.37 Year-to-date Earnings