



# COMMISSION STATEMENT

## July 16, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.1200						
07/02/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.1200						
07/02/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.1200						
07/02/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.1200						
07/05/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.90	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.5300						
07/05/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	27.90	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.5300						
07/05/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	25.46	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 04000812765	BUY 1 Units @28.9100						
07/06/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.01	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @28.8200						
07/02/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.0200						
07/02/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0200						
07/02/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.6300						
07/02/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.6300						
07/05/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.2800						
07/05/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	31.48	1.18	0.00	1.18	80.0	0.94
	ACCOUNT #: 04000627489	BUY 2 Units @16.2800						
07/05/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.5000						
07/02/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.9800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/02/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @15.9800	187.00	5.14	0.00	5.14	80.0	4.11
07/02/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @15.9800	100.00	2.75	0.00	2.75	80.0	2.20
07/02/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.9800	50.30	1.38	0.00	1.38	80.0	1.10
07/05/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.2000	25.00	0.25	0.00	0.25	80.0	0.20
07/05/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.2000	25.00	0.25	0.00	0.25	80.0	0.20
07/05/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.2000	240.50	2.41	0.00	2.41	80.0	1.93
07/05/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.2000	66.96	0.67	0.00	0.67	80.0	0.54
07/05/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.2000	34.80	0.35	0.00	0.35	80.0	0.28
07/05/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.2000	45.00	0.45	0.00	0.45	80.0	0.36
07/02/2018	***-**-963I - MORRO, JAMES ACCOUNT #: 7200018502	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	81.26	0.00	81.26	80.0	65.01
07/02/2018	***-**-176I - RIOS, CARLOS ACCOUNT #: 7200020442	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	13.95	0.00	13.95	80.0	11.16
07/02/2018	***-**-358I - KALLIPOLITES, ACCOUNT #: 7200022756	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	46.11	0.00	46.11	80.0	36.89
07/10/2018	***-**-527I - LAWLOR, RICHARD ACCOUNT #: LSR011410	T ROWE PRICE RET 2020 FUND BUY 87 Units @22.9600	2,000.00	3.00	3.00	0.00	80.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,627.95</b>	<b>\$185.89</b>	<b>\$3.00</b>	<b>\$182.89</b>		<b>\$146.29</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/02/2018	***-**-601J - RAAD, PAMELA	37252M108	0.00	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
06/30/2018	***-**-367I - RAMADANOVIC,	CAPITAL APPRECIATION A	0.00	2.55	0.00	2.55	80.0	2.04
	ACCOUNT #: 03208406090	BUY 0 Units @0.0000						
06/30/2018	***-**-139I - STRATTON, RANIE	CAPITAL APPRECIATION A	0.00	122.13	0.00	122.13	80.0	97.70
	ACCOUNT #: 03209301380	BUY 0 Units @0.0000						
06/30/2018	***-**-833I - REYNOLDS, THOMAS	CAPITAL APPRECIATION A	0.00	24.23	0.00	24.23	80.0	19.38
	ACCOUNT #: 03210241880	BUY 0 Units @0.0000						
06/30/2018	***-**-966I - MASCARO, HOLLY	CAPITAL APPRECIATION A	0.00	5.96	0.00	5.96	80.0	4.77
	ACCOUNT #: 03210276288	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	CAPITAL APPRECIATION A	0.00	4.18	0.00	4.18	80.0	3.34
	ACCOUNT #: 03210716981	BUY 0 Units @0.0000						
06/30/2018	***-**-947I - LAMESA, MICHAEL	CAPITAL APPRECIATION A	0.00	0.70	0.00	0.70	80.0	0.56
	ACCOUNT #: 03211940049	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	CAPITAL APPRECIATION A	0.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 03212086811	BUY 0 Units @0.0000						
06/30/2018	***-**-395P - STRATTON, RANIE	CAPITAL APPRECIATION B	0.00	1.48	0.00	1.48	80.0	1.18
	ACCOUNT #: 03214082309	BUY 0 Units @0.0000						
06/30/2018	***-**-6833 - REYNOLDS, THOMAS	CAPITAL APPRECIATION B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 03214901567	BUY 0 Units @0.0000						
06/30/2018	***-**-1966 - MASCARO, HOLLY	CAPITAL APPRECIATION B	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 03214901787	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	CAPITAL APPRECIATION B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 03215212878	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	CAPITAL APPRECIATION B	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 03215212889	BUY 0 Units @0.0000						
06/30/2018	***-**-32SI - GAUDIOSI, JANE	CAPITAL APPRECIATION C	0.00	67.85	0.00	67.85	80.0	54.28
	ACCOUNT #: 0322239534	BUY 0 Units @0.0000						
06/30/2018	***-**-211I - POLLOCK, LINDA	CAPITAL INCOME A	0.00	5.44	0.00	5.44	80.0	4.35
	ACCOUNT #: 03008419994	BUY 0 Units @0.0000						
07/16/2018	***-**-235I - DASILVA, BRANDON	CHET ADVISOR 529 TRAILS	0.00	93.38	0.00	93.38	80.0	74.70
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-632I - GAUDIOSI, JANE	CORE BOND FND C	0.00	76.51	0.00	76.51	80.0	61.21
	ACCOUNT #: 02870589061	BUY 0 Units @0.0000						
06/30/2018	***-**-4523 - SCHIBI, FRANK	DISCOVERY FUND B	0.00	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 07460253627	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	EMERGING GROWTH FUND B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 07220177144	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	EMERGING GROWTH FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 07220177166	BUY 0 Units @0.0000						
06/30/2018	***-**-833I - REYNOLDS, THOMAS	GLOBAL FUND A	0.00	24.77	0.00	24.77	80.0	19.82
	ACCOUNT #: 03306082694	BUY 0 Units @0.0000						
06/30/2018	***-**-211I - POLLOCK, LINDA	GLOBAL FUND A	0.00	15.93	0.00	15.93	80.0	12.74
	ACCOUNT #: 03306082705	BUY 0 Units @0.0000						
06/30/2018	***-**-966I - MASCARO, HOLLY	GLOBAL FUND A	0.00	6.15	0.00	6.15	80.0	4.92
	ACCOUNT #: 03306082716	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL FUND A	0.00	2.80	0.00	2.80	80.0	2.24
	ACCOUNT #: 03306541526	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - TRAN, JOSEPH	GLOBAL FUND A	0.00	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 03306572557	BUY 0 Units @0.0000						
06/30/2018	***-**-6833 - REYNOLDS, THOMAS	GLOBAL FUND B	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 03315466362	BUY 0 Units @0.0000						
06/30/2018	***-**-1966 - MASCARO, HOLLY	GLOBAL FUND B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 03315466758	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL FUND B	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 03315864309	BUY 0 Units @0.0000						
06/30/2018	***-**-523I - SCHIBI, FRANK	GLOBAL OPPORTUNITIES A	0.00	51.84	0.00	51.84	80.0	41.47
	ACCOUNT #: 02156130773	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL OPPORTUNITIES A	0.00	4.11	0.00	4.11	80.0	3.29
	ACCOUNT #: 02156318323	BUY 0 Units @0.0000						
06/30/2018	***-**-947I - LAMESA, MICHAEL	GLOBAL OPPORTUNITIES A	0.00	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 02157988211	BUY 0 Units @0.0000						
06/30/2018	***-**-4523 - SCHIBI, FRANK	GLOBAL OPPORTUNITIES B	0.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02160420772	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	GLOBAL OPPORTUNITIES B	0.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 02162774332	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL BOND A	0.00	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 08809205845	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	INTERNATIONAL BOND A	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 08809458174	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	INTERNATIONAL GROWTH FUND A	0.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 08256913841	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	INTERNATIONAL GROWTH FUND B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 08261298418	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL SMALL COMPANY A	0.00	1.95	0.00	1.95	80.0	1.56
	ACCOUNT #: 08151787555	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	INTERNATIONAL SMALL COMPANY FUND B	0.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 08160310277	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	LIMITED TERM GOVERNMENT A	0.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 08553654519	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	LIMITED TERM GOVERNMENT B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 08561836175	BUY 0 Units @0.0000						
06/30/2018	***-**-32SI - GAUDIOSI, JANE	LIMITED-TERM GOVERNMENT FUND C	0.00	76.00	0.00	76.00	80.0	60.80
	ACCOUNT #: 08571249215	BUY 0 Units @0.0000						
06/30/2018	***-**-367I - RAMADANOVIC,	MAIN STREET FUND A	0.00	1.36	0.00	1.36	80.0	1.09
	ACCOUNT #: 04205702378	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	MAIN STREET FUND A	0.00	0.92	0.00	0.92	80.0	0.74
	ACCOUNT #: 04206740943	BUY 0 Units @0.0000						
06/30/2018	***-**-019I - CALVANEZI,	MAIN STREET FUND A	0.00	56.28	0.00	56.28	80.0	45.02
	ACCOUNT #: 07002459680	BUY 0 Units @0.0000						
06/30/2018	***-**-245P - DUMAINE, ROBERT	MAIN STREET FUND A	0.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 07003593678	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - TRAN, JOSEPH	MAIN STREET FUND A	0.00	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 07004976909	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET FUND A	0.00	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 07005950761	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-947I - LAMESA, MICHAEL	MAIN STREET FUND A	0.00	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 07006303773	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	MAIN STREET FUND B	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 04212518384	BUY 0 Units @0.0000						
06/30/2018	***-**-9019 - CALVANEZI,	MAIN STREET FUND B	0.00	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 07012659486	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET FUND B	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 07015326755	BUY 0 Units @0.0000						
06/30/2018	***-**-632I - GAUDIOSI, JANE	MAIN STREET FUND C	0.00	158.32	0.00	158.32	80.0	126.66
	ACCOUNT #: 07024390705	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET OPPORTUNITY FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 07321090287	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	MAIN STREET SMALL CAP FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 08481466080	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	MAIN STREET SMALL CAP FUND B	0.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 08481466102	BUY 0 Units @0.0000						
06/30/2018	***-**-615I - WANIK, MICHAEL	OPP PORT SERIES: ACTIVE ALLOC A	0.00	17.07	0.00	17.07	80.0	13.66
	ACCOUNT #: 05502613501	BUY 0 Units @0.0000						
06/30/2018	***-**-309I - GROODY, ASHLEY	OPP PORT SERIES: ACTIVE ALLOC A	0.00	6.06	0.00	6.06	80.0	4.85
	ACCOUNT #: 05502887731	BUY 0 Units @0.0000						
06/30/2018	***-**-1390 - MOHABIR,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 05502980461	BUY 0 Units @0.0000						
06/30/2018	***-**-378I - SZYMANSKI,	OPP PORT SERIES: MOD INV FD A	0.00	7.36	0.00	7.36	80.0	5.89
	ACCOUNT #: 05451083929	BUY 0 Units @0.0000						
06/30/2018	***-**-469I - DAPONTE, ELVC	OPP PORT SERIES: MOD INV FD A	0.00	2.27	0.00	2.27	80.0	1.82
	ACCOUNT #: 05451083940	BUY 0 Units @0.0000						
06/30/2018	***-**-1663 - MCNULTY, GARY	OPP PORT SERIES: MOD INV FD A	0.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05451526723	BUY 0 Units @0.0000						
06/30/2018	***-**-378I - SZYMANSKI,	OPP PORT SERIES: MOD INV FD B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 04720001573	BUY 0 Units @0.0000						
06/30/2018	***-**-469I - DAPONTE, ELVC	OPP PORT SERIES: MOD INV FD B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04720001584	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-1663 - MCNULTY, GARY	OPP PORT SERIES: MOD INV FD B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 04720008228	BUY 0 Units @0.0000						
06/30/2018	***-**-378I - SZYMANSKI,	OPP PORT SERIES: MOD INV FD C	0.00	3.20	0.00	3.20	80.0	2.56
	ACCOUNT #: 04730033374	BUY 0 Units @0.0000						
06/30/2018	***-**-139I - STRATTON, RANIE	OPPENHEIMER CORE BOND FUND A	0.00	21.05	0.00	21.05	80.0	16.84
	ACCOUNT #: 02851711479	BUY 0 Units @0.0000						
06/30/2018	***-**-395P - STRATTON, RANIE	OPPENHEIMER CORE BOND FUND B	0.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02860398002	BUY 0 Units @0.0000						
06/30/2018	***-**-523I - SCHIBI, FRANK	OPPENHEIMER DISCOVERY CLASS A	0.00	44.24	0.00	44.24	80.0	35.39
	ACCOUNT #: 05005268758	BUY 0 Units @0.0000						
06/30/2018	***-**-367I - RAMADANOVIC,	OPPENHEIMER DISCOVERY CLASS A	0.00	1.53	0.00	1.53	80.0	1.22
	ACCOUNT #: 07453505403	BUY 0 Units @0.0000						
06/30/2018	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER GLOBAL CLASS C	0.00	72.82	0.00	72.82	80.0	58.26
	ACCOUNT #: 03322789446	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER INTL BOND CLASS B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 08810639166	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	OPPENHEIMER INTL BOND CLASS B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 08811124189	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	1.22	0.00	1.22	80.0	0.98
	ACCOUNT #: 07315560632	BUY 0 Units @0.0000						
06/30/2018	***-**-211I - POLLOCK, LINDA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	6.49	0.00	6.49	80.0	5.19
	ACCOUNT #: 08476614080	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	2.94	0.00	2.94	80.0	2.35
	ACCOUNT #: 08476873108	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: 08477445449	BUY 0 Units @0.0000						
06/30/2018	***-**-32SI - GAUDIOSI, JANE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	93.46	0.00	93.46	80.0	74.77
	ACCOUNT #: 02270965107	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 02514473502	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.92	0.00	0.92	80.0	0.74
	ACCOUNT #: 02515003108	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## July 16, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-211I - POLLOCK, LINDA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	5.65	0.00	5.65	80.0	4.52
	ACCOUNT #: 01903469444	BUY 0 Units @0.0000						
06/30/2018	***-**-741I - TRAN, JOSEPH	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 02305255671	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 02305493172	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 02316426222	BUY 0 Units @0.0000						
06/30/2018	***-**-615I - WANIK, MICHAEL	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 07170001177	BUY 0 Units @0.0000						
06/30/2018	***-**-309I - GROODY, ASHLEY	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 08690004807	BUY 0 Units @0.0000						
06/30/2018	***-**-1390 - MOHABIR,	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 08690007194	BUY 0 Units @0.0000						
07/02/2018	***-**-24IN - INS, CHITTENDEN	PUTNAM EQUITY INCOME FUND-A	0.00	17.42	0.00	17.42	80.0	13.94
	ACCOUNT #: 00312906459	BUY 0 Units @0.0000						
07/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM GLOBAL EQUITY FUND CLASS A	0.00	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
07/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
07/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM RESEARCH FUND-A	0.00	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
07/02/2018	***-**-601J - RAAD, PAMELA	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00431526031	BUY 0 Units @0.0000						
07/16/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	365.72	0.00	365.72	80.0	292.58
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
06/30/2018	***-**-833I - REYNOLDS, THOMAS	QUEST BALANCED A	0.00	22.32	0.00	22.32	80.0	17.86
	ACCOUNT #: 02577013424	BUY 0 Units @0.0000						
06/30/2018	***-**-966I - MASCARO, HOLLY	QUEST BALANCED A	0.00	5.56	0.00	5.56	80.0	4.45
	ACCOUNT #: 02577013435	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST BALANCED A	0.00	0.91	0.00	0.91	80.0	0.73
	ACCOUNT #: 02577297411	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

## July 16, 2018

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BROKER #: 180  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-6833 - REYNOLDS, THOMAS	QUEST BALANCED B	0.00	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 02584956182	BUY 0 Units @0.0000						
06/30/2018	***-**-1966 - MASCARO, HOLLY	QUEST BALANCED B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 02584956435	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST BALANCED B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 02585394224	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST INTERNATIONAL VALUE B	0.00	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 02550443498	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST INTERNATIONAL VALUE FUND, INC	0.00	1.94	0.00	1.94	80.0	1.55
	ACCOUNT #: 02541058596	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST OPPORTUNITY VALUE A	0.00	0.73	0.00	0.73	80.0	0.58
	ACCOUNT #: 02365226518	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	QUEST OPPORTUNITY VALUE FUND B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 02373754025	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	REAL ESTATE FUND A	0.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 05900879373	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	REAL ESTATE FUND B	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 05910190531	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	RISING DIVIDENDS FUND A	0.00	2.59	0.00	2.59	80.0	2.07
	ACCOUNT #: 02253493028	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	RISING DIVIDENDS FUND, INC. B	0.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 02261439262	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	SELECT VALUE FUND A	0.00	0.81	0.00	0.81	80.0	0.65
	ACCOUNT #: 06000810777	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	SELECT VALUE FUND B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 06010116831	BUY 0 Units @0.0000						
06/30/2018	***-**-674I - BORJA, FRANKLIN	SMALL- & MID- CAP VALUE B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 02521472240	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	SMALL- & MID- CAP VALUE B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 02521472262	BUY 0 Units @0.0000						
06/30/2018	***-**-292I - VON, NGET	SMALL- & MID-CAP GROWTH FUND A	0.00	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 07210636713	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-674I - BORJA, FRANKLIN	SMALL- & MID-CAP GROWTH FUND A	0.00	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: 07211048630	BUY 0 Units @0.0000						
06/30/2018	***-**-32SI - GAUDIOSI, JANE	STRATEGIC INCOME FUND C	0.00	54.46	0.00	54.46	80.0	43.57
	ACCOUNT #: 02322798092	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	VALUE B	0.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 03760515592	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	VALUE B	0.00	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 03760515603	BUY 0 Units @0.0000						
06/30/2018	***-**-2332 - DROZDENKO, ROBIN	VALUE FUND A	0.00	1.24	0.00	1.24	80.0	0.99
	ACCOUNT #: 03752279541	BUY 0 Units @0.0000						
06/30/2018	***-**-4155 - KOSTRAB, JUSTIN	VALUE FUND A	0.00	1.14	0.00	1.14	80.0	0.91
	ACCOUNT #: 03752505206	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$1,592.12</b>	<b>\$0.00</b>	<b>\$1,592.12</b>		<b>\$1,273.75</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
07/16/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	590.50	0.00	590.50	80.0	472.40
		BUY 1 Units @0.0000						
07/16/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	46.64	0.00	46.64	80.0	37.31
		BUY 1 Units @0.0000						
07/16/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	1,171.30	0.00	1,171.30	80.0	937.04
		BUY 1 Units @0.0000						
07/16/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	2,033.32	0.00	2,033.32	80.0	1,626.66
	ACCOUNT #: 89314	BUY 1 Units @0.0000						
07/16/2018	***-**-7174 - COCCHIOLA, INC	QUALIFIED PLAN SUMMARY	0.00	1,016.47	0.00	1,016.47	80.0	813.18
	ACCOUNT #: 461859	BUY 1 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$4,858.23</b>	<b>\$0.00</b>	<b>\$4,858.23</b>		<b>\$3,886.59</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$3,627.95</b>	<b>\$6,636.24</b>	<b>\$3.00</b>	<b>\$6,633.24</b>		<b>\$5,306.63</b>



**BROKER #:** 180  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$5,020.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$5,306.63
						Year-to-date Earnings		\$55,738.24