

COMMISSION STATEMENT

October 31, 2017

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
09/28/2017	QGN005274	OLSTEIN ALL CAP	AMERICAN EXPRESS COMPANY (N.Y)	S	-450,027.00	150.00	6.00	144.00	50.0	72.00
10/02/2017	QGN005274	OLSTEIN ALL CAP	AMERICAN EXPRESS COMPANY (N.Y)	S	-545,268.00	180.00	6.00	174.00	50.0	87.00
10/03/2017	QGN005274	OLSTEIN ALL CAP	BORG WARNER AUTOMOTIVE INC	S	-157,374.60	90.00	6.00	84.00	50.0	42.00
10/04/2017	QGN005274	OLSTEIN ALL CAP	CATERPILLAR INC	S	-378,194.10	90.00	6.00	84.00	50.0	42.00
10/05/2017	QGN005274	OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	S	-220,000.00	150.00	6.00	144.00	50.0	72.00
10/06/2017	QGN005274	OLSTEIN ALL CAP	BB&T CORP	S	-144,000.00	90.00	6.00	84.00	50.0	42.00
10/09/2017	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	B	431,400.00	180.00	6.00	174.00	50.0	87.00
10/10/2017	QGN005274	OLSTEIN ALL CAP	CATERPILLAR INC	S	-384,000.00	90.00	6.00	84.00	50.0	42.00
10/12/2017	QGN005274	OLSTEIN ALL CAP	HOLOGIC INC	B	109,800.00	90.00	6.00	84.00	50.0	42.00
10/13/2017	QGN005274	OLSTEIN ALL CAP	PROCTER & GAMBLE CO	S	-279,297.90	90.00	6.00	84.00	50.0	42.00
10/13/2017	QGN005274	OLSTEIN ALL CAP	WESCO INTL INC COM	S	-130,927.50	66.00	6.00	60.00	50.0	30.00
10/16/2017	QGN005274	OLSTEIN ALL CAP	HIL ROM HLDGS COM	S	-109,550.00	42.00	6.00	36.00	50.0	18.00
10/16/2017	QGN005274	OLSTEIN ALL CAP	VWR CORP COM	S	-86,112.00	78.00	6.00	72.00	50.0	36.00
10/17/2017	QGN005274	OLSTEIN ALL CAP	DISCOVERY COMMUNICATIONS INC COM SE B	B	179,459.00	300.00	6.00	294.00	50.0	147.00
10/17/2017	QGN005274	OLSTEIN ALL CAP	VWR CORP COM	S	-344,448.00	312.00	6.00	306.00	50.0	153.00
10/18/2017	QGN005274	OLSTEIN ALL CAP	HIL ROM HLDGS COM	S	-166,427.52	63.00	6.00	57.00	50.0	28.50
10/23/2017	QGN005274	OLSTEIN ALL CAP	SENSATA TECHNOLOGIES HLDG BV ALMELO S	S	-227,250.00	135.00	6.00	129.00	50.0	64.50
10/25/2017	QGN005274	OLSTEIN ALL CAP	INTERNATIONAL BUSINESS MACHINES COR B	B	460,650.00	90.00	6.00	84.00	50.0	42.00
10/27/2017	QGN005274	OLSTEIN ALL CAP	VIACOM INC CLASS B	B	244,020.00	300.00	6.00	294.00	50.0	147.00
10/13/2017	QGN005282	OPPORTUNITIES,	WESCO INTL INC COM	S	-67,249.13	33.90	6.00	27.90	50.0	13.95
10/16/2017	QGN005282	OPPORTUNITIES,	HIL ROM HLDGS COM	S	-50,706.00	19.44	6.00	13.44	50.0	6.72
10/16/2017	QGN005282	OPPORTUNITIES,	VWR CORP COM	S	-36,895.68	33.42	6.00	27.42	50.0	13.71
10/17/2017	QGN005282	OPPORTUNITIES,	VWR CORP COM	S	-142,416.00	129.00	6.00	123.00	50.0	61.50
10/18/2017	QGN005282	OPPORTUNITIES,	HIL ROM HLDGS COM	S	-71,326.08	27.00	6.00	21.00	50.0	10.50
10/23/2017	QGN005282	OPPORTUNITIES,	SENSATA TECHNOLOGIES HLDG BV ALMELO S	S	-75,750.00	45.00	6.00	39.00	50.0	19.50
10/25/2017	QGN005282	OPPORTUNITIES,	LEGG MASON INC	B	361,893.12	288.00	6.00	282.00	50.0	141.00
10/25/2017	QGN005308	DIOCESE OF ARLINGTON	LEGG MASON INC	B	45,236.64	36.00	6.00	30.00	50.0	15.00
10/03/2017	QGV005689	MERRILL LYNCH PROF	ANAPTYSBIO INC COM	S	-1,406,148.00	1,600.00	6.00	1,594.00	50.0	797.00
* STOCKS SUBTOTAL *					\$-3,640,908.75	\$4,797.76	\$168.00	\$4,629.76		\$2,314.88

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
10/26/2017	QGN001026	DEFINED CONTRIBUTION	UNITED STATES	TREAS NTS 1.500% 08/1 S	-9,282.81	50.00	5.00	45.00	50.0	22.50
10/24/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES	TREAS NTS 1.375% 04/3 S	-248,125.00	78.13	5.00	73.13	50.0	36.57
10/25/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES	TREAS NTS 2.000% 11/1 S	-250,468.75	78.13	5.00	73.13	50.0	36.57
10/25/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES	TREAS NTS 2.000% 11/1 S	-240,937.50	78.13	5.00	73.13	50.0	36.57
10/25/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES	TREAS NTS 2.250% 02/1 S	-196,500.00	62.50	5.00	57.50	50.0	28.75
10/25/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES	TREAS NTS 2.250% 02/1 S	-245,703.13	78.13	5.00	73.13	50.0	36.57
10/26/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES	TREAS NTS 1.500% 08/1 S	-92,828.13	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 1.500% 08/1 S	-46,414.06	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES	TREAS BDS 2.500% 02/1 S	-13,753.13	50.00	5.00	45.00	50.0	22.50
10/06/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES	TREAS BDS 2.500% 02/1 S	-184,000.00	62.50	5.00	57.50	50.0	28.75
10/26/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES	TREAS NTS 1.500% 08/1 S	-92,875.00	50.00	5.00	45.00	50.0	22.50
10/23/2017	QGN001273	PUBLIC EMPLOYEES	UNITED STATES	TREAS NTS 2.250% 02/1 B	99,093.75	50.00	5.00	45.00	50.0	22.50
10/04/2017	QGN001307	CLERKS, NY	UNITED STATES	TREAS NTS 1.750% 11/3 S	-59,775.00	50.00	5.00	45.00	50.0	22.50
10/04/2017	QGN001307	CLERKS, NY	UNITED STATES	TREAS NTS 2.250% 02/1 S	-24,828.13	50.00	5.00	45.00	50.0	22.50
10/12/2017	QGN001307	CLERKS, NY	UNITED STATES	TREAS NTS 2.000% 08/1 B	49,156.25	50.00	5.00	45.00	50.0	22.50
10/12/2017	QGN001307	CLERKS, NY	UNITED STATES	TREAS NTS 1.375% 02/1 B	49,781.25	50.00	5.00	45.00	50.0	22.50
10/12/2017	QGN001307	CLERKS, NY	UNITED STATES	TREAS NTS 2.125% 09/3 B	14,971.88	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001307	CLERKS, NY	UNITED STATES	TREAS NTS 1.500% 08/1 S	-9,282.81	50.00	5.00	45.00	50.0	22.50
10/12/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES	TREAS NTS 1.125% 09/3 B	34,081.25	50.00	5.00	45.00	50.0	22.50
10/12/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES	TREAS NTS 1.375% 02/1 B	34,846.88	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES	TREAS NTS 1.500% 08/1 S	-23,187.50	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES	TREAS NTS 1.500% 08/1 S	-9,282.81	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES	TREAS NTS 1.500% 08/1 S	-92,828.13	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES	TREAS BDS 2.500% 02/1 S	-45,609.38	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES	TREAS NTS 1.375% 09/3 S	-23,867.19	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001422	WESTCHESTER MODULAR	UNITED STATES	TREAS BDS 2.500% 02/1 S	-36,675.00	50.00	5.00	45.00	50.0	22.50
10/05/2017	QGN001430	WORKERS LOCAL 12,	UNITED STATES	TREAS NTS 2.250% 11/1 S	-24,976.56	50.00	5.00	45.00	50.0	22.50
10/05/2017	QGN001430	WORKERS LOCAL 12,	UNITED STATES	TREAS NTS 2.250% 02/1 S	-9,928.13	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001430	WORKERS LOCAL 12,	UNITED STATES	TREAS NTS 1.500% 08/1 S	-13,924.22	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN001505	OF, CARPENTERS &	UNITED STATES	TREAS BDS 2.875% 05/1 S	-74,214.84	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN001505	OF, CARPENTERS &	UNITED STATES	TREAS NTS 1.500% 08/1 S	-46,375.00	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001505	OF, CARPENTERS &	UNITED STATES	TREAS BDS 2.500% 02/1 S	-91,687.50	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001505	OF, CARPENTERS &	UNITED STATES	TREAS NTS 1.500% 08/1 S	-23,207.03	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001554	STACEY BRAUN	UNITED STATES	TREAS NTS 1.500% 08/1 S	-92,828.13	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001620	LOCAL, WESTCHESTER	UNITED STATES	TREAS NTS 1.500% 08/1 S	-23,218.75	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN001695	STACEY BRAUN	UNITED STATES	TREAS BDS 2.875% 05/1 S	-24,738.28	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES	TREAS NTS 1.500% 08/1 S	-185,656.25	62.50	5.00	57.50	50.0	28.75
09/29/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES	TREAS NTS 1.375% 04/3 B	98,812.50	50.00	5.00	45.00	50.0	22.50
09/29/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES	TREAS NTS 1.250% 04/3 B	149,718.75	50.00	5.00	45.00	50.0	22.50
09/29/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES	TREAS NTS 1.500% 05/1 B	149,812.50	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/26/2017	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS NTS 1.250% 03/3 S	-19,612.50	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN001968	NO1, BRICKLAYERS	UNITED STATES TREAS NTS 2.125% 11/3 S	-14,948.44	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN002024	SAVASTA, MR	UNITED STATES TREAS BDS 2.875% 05/1 S	-14,842.97	50.00	5.00	45.00	50.0	22.50
09/29/2017	QGN002032	COLLEGE, SUFFOLK	FEDERAL NATL MTG ASSN WITH 10-DAY C B	49,750.00	50.00	5.00	45.00	50.0	22.50
09/29/2017	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 1.125% 03/3 B	19,800.00	50.00	5.00	45.00	50.0	22.50
09/29/2017	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 1.250% 10/3 B	99,875.00	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 S	-68,414.06	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,593.75	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 S	-22,921.88	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 1.500% 08/1 S	-46,437.50	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 1.750% 02/2 S	-49,484.38	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 S	-197,906.25	62.50	5.00	57.50	50.0	28.75
10/25/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,750.00	50.00	5.00	45.00	50.0	22.50
10/06/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 1.500% 08/1 S	-23,289.06	50.00	5.00	45.00	50.0	22.50
10/24/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 B	24,917.97	50.00	5.00	45.00	50.0	22.50
10/24/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 1.250% 10/3 B	24,359.38	50.00	5.00	45.00	50.0	22.50
10/24/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.125% 05/1 B	24,695.31	50.00	5.00	45.00	50.0	22.50
10/30/2017	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS NTS 2.125% 05/1 B	34,671.88	50.00	5.00	45.00	50.0	22.50
10/23/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.875% 01/3 B	49,876.95	50.00	5.00	45.00	50.0	22.50
10/23/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.125% 07/3 B	49,734.38	50.00	5.00	45.00	50.0	22.50
10/23/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.875% 01/3 B	-49,876.95	-50.00	-5.00	-45.00	50.0	-22.50 C
10/23/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.875% 01/3 B	49,878.91	50.00	5.00	45.00	50.0	22.50
10/24/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.250% 11/1 B	74,578.13	50.00	5.00	45.00	50.0	22.50
10/24/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.875% 03/3 B	74,683.59	50.00	5.00	45.00	50.0	22.50
10/30/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.250% 10/3 B	24,398.44	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 1.500% 08/1 S	-23,187.50	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 1.500% 01/3 S	-24,507.81	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN004038	OPPENHEIMER & CO	3M CO FIXED RATE NOTES SER F 2.000% B	347,182.50	109.38	5.00	104.38	50.0	52.19
10/26/2017	QGN005241	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 1.500% 08/1 S	-18,565.63	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 1.500% 08/1 S	-37,150.00	50.00	5.00	45.00	50.0	22.50
10/12/2017	QGN026130	TEACHERS, PORT	UNITED STATES TREAS NTS 1.125% 02/2 S	-39,196.88	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS BDS 2.500% 02/1 S	-91,687.50	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN026239	PNC BANK	UNITED STATES TREAS BDS 2.875% 05/1 S	-98,953.13	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN026239	PNC BANK	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,750.00	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026239	PNC BANK	UNITED STATES TREAS BDS 2.500% 02/1 S	-45,843.75	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 S	-91,437.50	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 11/1 S	-130,275.00	50.00	5.00	45.00	50.0	22.50
09/29/2017	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 1.375% 12/3 B	199,968.75	62.50	5.00	57.50	50.0	28.75
09/29/2017	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 1.375% 12/3 B	-199,968.75	-62.50	-5.00	-57.50	50.0	-28.75 C
09/29/2017	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 1.375% 12/3 B	199,968.75	62.50	5.00	57.50	50.0	28.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
10/25/2017	QGN026536	DRIVERS LOCAL 550	UNITED STATES TREAS NTS 2.000% 02/1 S	-100,093.75	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026536	DRIVERS LOCAL 550	UNITED STATES TREAS NTS 1.500% 08/1 S	-60,368.75	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 1.500% 08/1 S	-23,207.03	50.00	5.00	45.00	50.0	22.50
10/19/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 04/3 S	-99,343.75	50.00	5.00	45.00	50.0	22.50
10/19/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 01/3 S	-49,742.19	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 2.875% 05/1 S	-49,476.56	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 1.500% 08/1 S	-46,375.00	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 1.875% 06/3 S	-100,414.06	50.00	5.00	45.00	50.0	22.50
10/30/2017	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.125% 05/1 B	74,296.88	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS 1.500% 08/1 S	-37,131.25	50.00	5.00	45.00	50.0	22.50
10/06/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 02/1 S	-46,046.88	50.00	5.00	45.00	50.0	22.50
10/06/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.250% 02/1 S	-49,437.50	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.875% 05/1 S	-98,953.13	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 1.500% 08/1 S	-278,250.00	93.75	5.00	88.75	50.0	44.38
10/26/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 1.500% 08/1 S	-139,312.50	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.250% 02/1 S	-34,442.19	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.500% 08/1 S	-116,035.16	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,828.13	50.00	5.00	45.00	50.0	22.50
10/13/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.000% 08/1 S	-24,617.19	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 S	-45,843.75	50.00	5.00	45.00	50.0	22.50
10/06/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS BDS 2.500% 02/1 S	-197,800.00	67.19	5.00	62.19	50.0	31.10
10/26/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,828.13	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 2.500% 02/1 S	-137,531.25	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 1.500% 08/1 S	-120,676.56	50.00	5.00	45.00	50.0	22.50
10/26/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,828.13	50.00	5.00	45.00	50.0	22.50
10/25/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.500% 08/1 S	-185,500.00	62.50	5.00	57.50	50.0	28.75
10/26/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.500% 08/1 S	-92,875.00	50.00	5.00	45.00	50.0	22.50
10/06/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 1.500% 08/1 S	-69,867.19	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$-4,522,492.54</u>	<u>\$5,507.84</u>	<u>\$520.00</u>	<u>\$4,987.84</u>		<u>\$2,493.95</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-8,163,401.29</u>	<u>\$10,305.60</u>	<u>\$688.00</u>	<u>\$9,617.60</u>		<u>\$4,808.83</u>

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
10/31/2017		OTHER: OTHER-QGN		0.00	0.00	0.00	0.00		-4.20
10/31/2017		OTHER: OTHER-STACEY B		0.00	0.00	0.00	0.00		-1,790.05
10/31/2017		OTHER: OTHER-MEDICAL		0.00	0.00	0.00	0.00		-13.42
10/31/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
10/31/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
10/31/2017		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
10/31/2017		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
10/31/2017		:		0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-3,229.32</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,808.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-3,229.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.88
SIPC Assessment	\$-25.76

Check Amount	\$1,540.87
Year-to-date Earnings	\$15,658.88