



All Companies

07/12/2018

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COMMISSION STATEMENT

July 16, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	499.80	499.80	0.00	499.80	90.0	449.82
06/25/2018	00063808760 DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	0.79	0.00	0.79	90.0	0.71
06/25/2018	00063808760 DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	2.64	0.00	2.64	90.0	2.38
06/25/2018	00064607901 LARSON JT TEN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
06/25/2018	00068487409 CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.38	0.00	1.38	90.0	1.24
06/25/2018	00068487409 CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.38	0.00	1.38	90.0	1.24
07/05/2018	00068899251 SHERTZER, LUKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2018	00074947376 MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0	4.78
07/05/2018	00082816231 SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
07/02/2018	00085609264 BERGER, PRIO	AMERICAN FUNDS BALANCED FD	B	250.00	12.50	0.00	12.50	90.0	11.25
07/02/2018	00085609327 NEAL, JYNETTE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	2.81	0.00	2.81	90.0	2.53
07/02/2018	00085609327 NEAL, JYNETTE	AMERICAN FUNDS WA MUTUAL	INVEST B	75.00	2.81	0.00	2.81	90.0	2.53
07/03/2018	00086561092 WISNIEWSKI, ANDREW	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/25/2018	00087104938 RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	0.98	0.00	0.98	90.0	0.88
06/25/2018	00087104938 RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	1.64	0.00	1.64	90.0	1.48
06/25/2018	00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.08	0.00	1.08	90.0	0.97
06/25/2018	00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.08	0.00	1.08	90.0	0.97
06/25/2018	00088535675 CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	0.96	0.00	0.96	90.0	0.86
06/25/2018	00088535675 CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	0.96	0.00	0.96	90.0	0.86
06/25/2018	00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	0.83	0.00	0.83	90.0	0.75
06/25/2018	00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	0.83	0.00	0.83	90.0	0.75
07/02/2018	00089423266 MONTIEL, MELISSA	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0	13.50
07/02/2018	00089423266 MONTIEL, MELISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0	4.50
06/25/2018	5501744996 LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	400.00	12.00	0.00	12.00	90.0	10.80
06/25/2018	5501745018 LARSON, DEBORAH	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	400.00	12.00	0.00	12.00	90.0	10.80

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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

				* MUTUAL FUNDS SUBTOTAL *	\$4,587.52	\$612.54	\$0.00	\$612.54	\$551.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/2018	TRAILS	-HARTFORD LIFE	B	468.75	468.75	0.00	468.75	90.0	421.88
07/02/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	2,000.00	0.00	0.00	0.00	90.0	0.00
07/02/2018	BROWN, PEGGY	BRIGHTHOUSE FINANCIAL	B	1,280.59	0.00	0.00	0.00	90.0	0.00
07/02/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	2,000.00	80.00	0.00	80.00	90.0	72.00
07/02/2018	BROWN, PEGGY	BRIGHTHOUSE FINANCIAL	B	1,280.59	38.41	0.00	38.41	90.0	34.57
				* VARIABLE ANNUITIES SUBTOTAL *	\$7,029.93	\$587.16	\$0.00	\$587.16	\$528.45

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	607.08	0.00	607.08	90.0	546.36
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$607.08	\$0.00	\$607.08	\$546.36
				*** BROKER TRANSACTIONS TOTAL ***	\$11,617.45	\$1,806.78	\$0.00	\$1,806.78	\$1,626.09

ADJUSTMENTS

07/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,626.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,598.59
Year-to-date Earnings	\$289,780.50