

COMMISSION STATEMENT

December 31, 2017

DANIEL SIEGEL
99 WINGED FOOT DRIVE

BROKER #: 20
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/11/2017	QGW001497	KLUGMAN, ROBERT	DELTA AIR LINES INC DEL COM	B	10,749.30	151.00	0.00	151.00	120.80
12/20/2017	QGW001497	KLUGMAN, ROBERT	DELTA AIR LINES INC DEL COM	S	-11,294.02	152.00	0.00	152.00	121.60
12/11/2017	QGW009862	KANTOR MINOR, JAIME	ENERGY TRANSFER PARTNERS L P	B	3,303.98	74.00	0.00	74.00	59.20
12/04/2017	QGW009896	GREENBURG, JON	ENERGY TRANSFER PARTNERS L P	B	6,711.96	130.00	0.00	130.00	104.00
12/04/2017	QGW009896	GREENBURG, JON	ENERGY TRANSFER PARTNERS L P	B	-6,711.96	-130.00	0.00	-130.00	-104.00 C
12/04/2017	QGW009896	GREENBURG, JON	ENERGY TRANSFER PARTNERS L P	B	6,711.96	50.00	0.00	50.00	40.00
12/11/2017	QGW009896	GREENBURG, JON	DELTA AIR LINES INC DEL COM	B	16,123.95	50.00	0.00	50.00	40.00
12/20/2017	QGW009896	GREENBURG, JON	DELTA AIR LINES INC DEL COM	S	-16,941.03	50.00	0.00	50.00	40.00
12/04/2017	QGW020919	KELLY, ROBERT	ENERGY TRANSFER PARTNERS L P	B	6,711.96	130.00	0.00	130.00	104.00
12/11/2017	QGW020919	KELLY, ROBERT	DELTA AIR LINES INC DEL COM	B	10,749.30	151.00	0.00	151.00	120.80
12/20/2017	QGW020919	KELLY, ROBERT	DELTA AIR LINES INC DEL COM	S	-11,294.02	152.00	0.00	152.00	121.60
12/22/2017	QGW020919	KELLY, ROBERT	ENTERPRISE PRODUCTS PARTNERS LP	S	-10,557.04	149.00	0.00	149.00	119.20
12/04/2017	QGW021271	KLUGMAN, ROBERT	ENERGY TRANSFER PARTNERS L P	B	6,711.96	130.00	0.00	130.00	104.00
12/22/2017	QGW021271	KLUGMAN, ROBERT	ENTERPRISE PRODUCTS PARTNERS LP	S	-10,557.04	149.00	0.00	149.00	119.20
12/04/2017	QGW022246	SUGARMAN, GAIL	ENERGY TRANSFER PARTNERS L P	B	6,711.96	130.00	0.00	130.00	104.00
12/22/2017	QGW022246	SUGARMAN, GAIL	ENTERPRISE PRODUCTS PARTNERS LP	S	-7,917.78	135.00	0.00	135.00	108.00
12/04/2017	QGW023772	PATRICK E OBRIEN,	ENERGY TRANSFER PARTNERS L P	B	6,711.96	130.00	0.00	130.00	104.00
12/22/2017	QGW023772	PATRICK E OBRIEN,	ENTERPRISE PRODUCTS PARTNERS LP	S	-7,917.78	135.00	0.00	135.00	108.00
* STOCKS SUBTOTAL *					\$-1,992.38	\$1,918.00	\$0.00	\$1,918.00	\$1,534.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/15/2017	QGW009847	LEICHER, MARVIN	BOND A	B	0.00	5.62	0.00	5.62	4.50
12/21/2017	QGW009847	LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B		0.00	22.15	0.00	22.15	17.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$27.77	\$0.00	\$27.77	\$22.22
*** BROKER TRANSACTIONS TOTAL ***					\$-1,992.38	\$1,945.77	\$0.00	\$1,945.77	\$1,556.62

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,556.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.43
SIPC Assessment	\$-4.86

Check Amount	\$1,529.33
Year-to-date Earnings	\$15,860.80