

COMMISSION STATEMENT

August 31, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/28/2018	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	S	11,500.00	0.00	0.00	0.00 100.0	0.00
08/28/2018	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	S	11,500.00	0.00	0.00	0.00 100.0	0.00
08/03/2018	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	S	10,000.00	0.00	0.00	0.00 100.0	0.00
08/20/2018	75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	S	4,000.00	0.00	0.00	0.00 100.0	0.00
08/10/2018	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC	S	1,500.00	0.00	0.00	0.00 100.0	0.00
08/28/2018	75597136	JAMES WEBSTER PLAN	LORD ABBETT ALPHA STRATEGY FUND CL	S	12,000.00	0.00	0.00	0.00 100.0	0.00
08/28/2018	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	S	15,000.00	0.00	0.00	0.00 100.0	0.00
08/28/2018	75597136	JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	S	15,000.00	0.00	0.00	0.00 100.0	0.00
08/22/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00 100.0	0.00
08/09/2018	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	S	151,977.00	0.00	0.00	0.00 100.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$233,277.00	\$0.00	\$0.00	\$0.00	\$0.00

PRODUCT CATEGORY: STOCKS

08/28/2018	75597072	JAMES R MENZ TTEE	ARES CAPITAL CORPORATION	S	34,890.00	399.00	12.00	387.00 100.0	387.00
08/28/2018	75597072	JAMES R MENZ TTEE	CNO FINANCIAL GROUP INC	S	32,717.55	399.00	12.00	387.00 100.0	387.00
08/29/2018	75597072	JAMES R MENZ TTEE	EATON VANCE TAX MANAGD GLBL DIVERSI	S	12,051.62	299.00	12.00	287.00 100.0	287.00
08/29/2018	75597072	JAMES R MENZ TTEE	HERCULES CAPITAL INC	B	13,488.60	336.48	12.00	324.48 100.0	324.48
08/23/2018	75597073	WILLIS, CYNTHIA	MEDICAL MARIJUANA INC	B	101.50	20.00	12.00	8.00 100.0	8.00
08/28/2018	75597136	JAMES WEBSTER PLAN	ROYAL DUTCH SHELL PLC SPONSORED ADR	B	12,512.75	299.00	12.00	287.00 100.0	287.00
08/28/2018	75597136	JAMES WEBSTER PLAN	VOYA PRIME RATE TR SH BEN INT	B	12,521.00	350.00	12.00	338.00 100.0	338.00
08/29/2018	75597136	JAMES WEBSTER PLAN	BLACKROCK CAPITAL INVESTMENT CORPOR	B	14,084.78	390.00	12.00	378.00 100.0	378.00
08/29/2018	75597136	JAMES WEBSTER PLAN	NESTLE SA-SPONSORED ADR REPSTG REGD	B	16,759.00	210.00	12.00	198.00 100.0	198.00
* STOCKS SUBTOTAL *					\$149,126.80	\$2,702.48	\$108.00	\$2,594.48	\$2,594.48

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018			FEE COUNT - 301		0.00	2,591.49	0.00	2,591.49 100.0	2,591.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$2,591.49	\$0.00	\$2,591.49	\$2,591.49
*** BROKER TRANSACTIONS TOTAL ***					\$382,403.80	\$5,293.97	\$108.00	\$5,185.97	\$5,185.97

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ADJUSTMENTS

08/31/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
08/31/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
08/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
08/31/2018		OTHER: RBC - WEALTH STATIONS		0.00	0.00	0.00	0.00		-365.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,185.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.62
SIPC Assessment	\$-13.23

Check Amount	\$2,981.12
Year-to-date Earnings	\$34,214.02