

COMMISSION STATEMENT July 15, 2018

DALE HESTER-D346

BROKER #: D346

BRANCH: DMN

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COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
06/25/2018 (None)	VACA, CHARLES	VA AMERICAN GENERAL	B	100,000.00	5,000.00	0.00	5,000.00	90.0	4,500.00
06/25/2018 (None)	VACA, CHARLES	VA AMERICAN GENERAL	B	100,000.00	5,000.00	0.00	5,000.00	90.0	4,500.00
06/25/2018 (None)	VACA, CHARLES	VA AMERICAN GENERAL	B	100,000.00	5,000.00	0.00	5,000.00	90.0	4,500.00
* VARIABLE ANNUITIES SUBTOTAL *				\$300,000.00	\$15,000.00	\$0.00	\$15,000.00		\$13,500.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018 (None)	3360098133	MFT DREYFUS 12B-1	B	0.00	10.40	0.00	10.40	90.0	9.36
06/30/2018 (None)	VARIOUS, HESTER DALE	MFT OPPENHEIMER	B	0.00	3.41	0.00	3.41	90.0	3.07
07/02/2018 00483087881	GORDON C WOOD,	MFT PUTNAM TAX-FREE HIGH YIELD FUND	B	0.00	42.43	0.00	42.43	90.0	38.19
07/01/2018 00777113191	TAMMEN, MATHEW	MFT GROWTH ALLOCATION A	B	0.00	17.52	0.00	17.52	90.0	15.77
07/01/2018 00777116731	HILLIARD, KEITH	MFT INVESCO MODERATE ALLOCATION FD	B	0.00	269.62	0.00	269.62	90.0	242.66
07/01/2018 00777116733	HILLIARD, SHARON	MFT INVESCO MODERATE ALLOCATION FD	B	0.00	254.92	0.00	254.92	90.0	229.43
07/01/2018 00777116734	RUST, MELISSA	MFT INVESCO GROWTH ALLOCATION FUND	B	0.00	85.97	0.00	85.97	90.0	77.37
07/01/2018 00777116736	HILLIARD, KEITH	MFT INVESCO GROWTH ALLOCATION FUND	B	0.00	15.13	0.00	15.13	90.0	13.62
07/01/2018 00777138617	CZUPRYNA, ROBERT	MFT INVESCO BALANCED-RISK	B	0.00	5.97	0.00	5.97	90.0	5.37
07/01/2018 00777152978	STOLTZFUS, DEAN	MFT INVESCO BALANCED-RISK	B	0.00	23.30	0.00	23.30	90.0	20.97
07/01/2018 00777152979	STOLTZFUS, TONYA	MFT INVESCO BALANCED-RISK	B	0.00	19.17	0.00	19.17	90.0	17.25
07/01/2018 00777153252	TERRY, SHANE	MFT INVESCO BALANCED-RISK	B	0.00	100.67	0.00	100.67	90.0	90.60
07/01/2018 00777153255	TERRY, MELINDA	MFT INVESCO BALANCED-RISK	B	0.00	10.80	0.00	10.80	90.0	9.72
07/01/2018 01000156446	POWERS, MICHAEL	MFT GROWTH ALLOCATION A	B	0.00	11.78	0.00	11.78	90.0	10.60
07/01/2018 01000183770	OXFORD, KELLY	MFT INVESCO MUNICIPAL INCOME FUND -	B	0.00	354.87	0.00	354.87	90.0	319.38
07/01/2018 01001274435	STOLTZFUS, TONYA	MFT INVESCO BALANCED-RISK	B	0.00	6.90	0.00	6.90	90.0	6.21
07/01/2018 01001274441	STOLTZFUS, DEAN	MFT INVESCO BALANCED-RISK	B	0.00	16.39	0.00	16.39	90.0	14.75
07/01/2018 02500038008	SLAUGHTER, KERRY	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.49	0.00	0.49	90.0	0.44
07/01/2018 02500038008	SLAUGHTER, KERRY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.54	0.00	0.54	90.0	0.49
07/01/2018 02500038008	SLAUGHTER, KERRY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	0.36	0.00	0.36	90.0	0.32
07/01/2018 02500058317	MAYBERRY, JUDY	MFT AIM MODERATE ALLOCATION - CLASS	B	0.00	1.55	0.00	1.55	90.0	1.40
07/01/2018 02500076128	GEBERT, SHAUN	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	12.93	0.00	12.93	90.0	11.64
07/01/2018 02500076128	GEBERT, SHAUN	MFT AIM REAL ESTATE FUND - CLASS A	B	0.00	8.01	0.00	8.01	90.0	7.21
07/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	15.61	0.00	15.61	90.0	14.05
07/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO AMERICAN VALUE FUND -	B	0.00	14.53	0.00	14.53	90.0	13.08

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07/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO SMALL CAP DISCOVERY	B	0.00	18.13	0.00	18.13	90.0	16.32
07/01/2018 03870006974	GEBERT, JENNIFER	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	10.32	0.00	10.32	90.0	9.29
07/01/2018 03908934668	ALLEN, BRENDA	MFT AIM CHARTER FUND - CLASS A	B	0.00	2.29	0.00	2.29	90.0	2.06
07/01/2018 03908934668	ALLEN, BRENDA	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	1.63	0.00	1.63	90.0	1.47
07/01/2018 03908934668	ALLEN, BRENDA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.67	0.00	3.67	90.0	3.30
07/01/2018 03908934668	ALLEN, BRENDA	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	1.81	0.00	1.81	90.0	1.63
07/01/2018 03910366438	MOORE, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.38	0.00	0.38	90.0	0.34
07/01/2018 03910904568	MICHELS TIC,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.13	0.00	0.13	90.0	0.12
07/01/2018 03910904568	MICHELS TIC,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.38	0.00	0.38	90.0	0.34
07/01/2018 03913007054	MICHELS, PATTI	MFT AIM CHARTER FUND - CLASS A	B	0.00	13.57	0.00	13.57	90.0	12.21
07/01/2018 03913007054	MICHELS, PATTI	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	17.51	0.00	17.51	90.0	15.76
07/01/2018 03913007054	MICHELS, PATTI	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	23.98	0.00	23.98	90.0	21.58
07/01/2018 04039391992	RICHARDSON,	MFT GROWTH ALLOCATION A	B	0.00	8.39	0.00	8.39	90.0	7.55
07/01/2018 05036208709	WHITTON,	MFT AIM CHARTER FUND - CLASS A	B	0.00	20.52	0.00	20.52	90.0	18.47
07/01/2018 05041348235	MICHELS, TIMOTHY	MFT AIM CHARTER FUND - CLASS A	B	0.00	13.59	0.00	13.59	90.0	12.23
07/01/2018 05041348235	MICHELS, TIMOTHY	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	21.87	0.00	21.87	90.0	19.68
07/01/2018 05041348235	MICHELS, TIMOTHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	19.53	0.00	19.53	90.0	17.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,480.97	\$0.00	\$1,480.97		\$1,332.88

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/23/2018 (None)	MACY, SARA	VAT AMERICAN GENERAL	B	0.00	24.50	0.00	24.50	90.0	22.05
06/23/2018 (None)	EBERHART, TIM	VAT AMERICAN GENERAL	B	0.00	832.88	0.00	832.88	90.0	749.59
06/24/2018 (None)	DAVIS, GRETТА	VAT AMERICAN GENERAL	B	0.00	241.52	0.00	241.52	90.0	217.37
06/24/2018 (None)	BARTON, DANA	VAT AMERICAN GENERAL	B	0.00	97.87	0.00	97.87	90.0	88.08
06/24/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	419.97	0.00	419.97	90.0	377.97
06/24/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	377.01	0.00	377.01	90.0	339.31
06/24/2018 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	419.97	0.00	419.97	90.0	377.97
06/24/2018 (None)	MACY, DANIEL	VAT AMERICAN GENERAL	B	0.00	151.27	0.00	151.27	90.0	136.14
06/28/2018 (None)	HESTER, WILLIAM	VAT DELAWARE LIFE	B	0.00	650.91	0.00	650.91	90.0	585.82
06/28/2018 (None)	REYNOLDS, KEITHA	VAT DELAWARE LIFE	B	0.00	321.68	0.00	321.68	90.0	289.51
06/29/2018 (None)	MITCHELL, LARRY	VAT FORETHOUGHT LIFE	B	0.00	670.25	0.00	670.25	90.0	603.23
06/29/2018 (None)	GASSIOTT, GLENN	VAT FORETHOUGHT LIFE	B	0.00	717.65	0.00	717.65	90.0	645.89
06/29/2018 (None)	DAVIS, JUDY	VAT FORETHOUGHT LIFE	B	0.00	248.78	0.00	248.78	90.0	223.90
06/30/2018 (None)	VARIOUS, HESTER DALE	VAT AXA LIFE	B	0.00	144.41	0.00	144.41	90.0	129.97
06/30/2018 (None)	VARIOUS, HESTER DALE	VAT HARTFORD LIFE	B	0.00	6,363.40	0.00	6,363.40	90.0	5,727.06
06/30/2018 (None)	DAVIS, ELTON	VAT NATIONWIDE	B	0.00	1,789.12	0.00	1,789.12	90.0	1,610.21
06/30/2018 (None)	FORD, LORETTA	VAT NATIONWIDE	B	0.00	531.73	0.00	531.73	90.0	478.56
06/30/2018 (None)	MITCHELL, LARRY	VAT PRUDENTIAL LIFE	B	0.00	214.70	0.00	214.70	90.0	193.23
07/05/2018 (None)	VARIOUS, HESTER DALE	VAT AMERICAN GENERAL	B	0.00	1,458.82	0.00	1,458.82	90.0	1,312.94
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$15,676.44	\$0.00	\$15,676.44		\$14,108.80
*** DIRECT TRANSACTIONS TOTAL ***				\$300,000.00	\$32,157.41	\$0.00	\$32,157.41		\$28,941.68

BROKER COMMISSION TOTALS

Broker Transactions	\$28,941.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$28,941.68
Year-to-date Commissions	\$113,635.18