

# STATEMENT

## January 20, 2018

RON RENSBERGER  
707 E 41st Street  
Suite 226  
Sioux Falls, SD 57105

BROKER #: 076  
BRANCH:

### COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/17/2017	#00036077197	LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	2.66
11/17/2017	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
11/17/2017	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
11/17/2017	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
11/17/2017	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
11/17/2017	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	2.66
11/17/2017	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	150.00	3.00	95.0	0.00	2.85	2.85
11/20/2017	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
11/20/2017	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85
11/20/2017	#00032396121	COOPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
11/20/2017	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
11/20/2017	#00032388137	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00035202865	GLOVER, JESSICA	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
11/20/2017	#00035436381	GLOVER, KEITH	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
11/20/2017	#00032396121	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	5.32
11/20/2017	#00032388137	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00032396121	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	5.32
11/20/2017	#00035202865	GLOVER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00035436381	GLOVER, KEITH	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	2.00
11/20/2017	#00032410232	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
11/20/2017	#00035633441	KOCOUREK,	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
11/20/2017	#00032396121	COOPER TRACY,	IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	2.66
11/20/2017	#00035202865	GLOVER, JESSICA	IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	4.20
11/20/2017	#00035436381	GLOVER, KEITH	IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	2.45

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/20/2017	#00032396121 COOPER TRACY,	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
11/20/2017	#00036130945 CHRIS, JANE	IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	0.00	2.13
11/21/2017	#00032408419 DEMPSEY, STEVEN	IVY CORE EQUITY FUND CLASS A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
11/21/2017	#00032408419 DEMPSEY, STEVEN	IVY CUNDILL GLOBAL VALUE A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
11/21/2017	#00032408419 DEMPSEY, STEVEN	IVY FDS INC HIGH INCOME FD CL A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
11/21/2017	#00032408419 DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
11/24/2017	#00036193565 EVANS, LARRL	IVY FDS INC SCIENCE & TECH FD CL A	90.00	4.50	95.0	0.00	4.28	0.00	4.28
11/24/2017	#00036247691 OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	90.00	4.50	95.0	0.00	4.28	0.00	4.28
11/24/2017	#00036247691 OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	90.00	4.50	95.0	0.00	4.28	0.00	4.28
11/24/2017	#00036253281 REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	138.48	6.92	95.0	0.00	6.57	0.00	6.57
11/24/2017	#00036253281 REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	138.48	6.92	95.0	0.00	6.57	0.00	6.57
11/27/2017	#00085194319 GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	0.00	2.02
11/27/2017	#00035578623 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
11/27/2017	#00035578629 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
11/27/2017	#00032397882 REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
11/29/2017	#00035572064 KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	0.00	4.75
11/29/2017	#00035572064 KEMP, DANIEL	IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
11/29/2017	#00035572064 KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	0.00	3.80
11/29/2017	#00035572064 KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
11/29/2017	#00035572064 KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	0.00	4.75
11/29/2017	#00035572064 KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
11/30/2017	#00035492588 EMERSON, BECKY	IVY CORE EQUITY FUND CLASS A	125.00	2.50	95.0	0.00	2.38	0.00	2.38
11/30/2017	#00035492588 EMERSON, BECKY	IVY EUROPEAN OPPORTUNITIES A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
11/30/2017	#00035492588 EMERSON, BECKY	IVY FDS INC ASSET STRATEGY FD CL A	110.00	2.20	95.0	0.00	2.09	0.00	2.09
11/30/2017	#00035492588 EMERSON, BECKY	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
11/30/2017	#00035492588 EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
11/30/2017	#00035492588 EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	2.50	95.0	0.00	2.38	0.00	2.38
11/30/2017	#00032370316 CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/01/2017	#00084595526 LETELLIER,	COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33
12/01/2017	#00036307156 METZJOAN	INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
12/01/2017	#00035543848 SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#00036218685 METZKELL	INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
12/01/2017	#00032423005 SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
12/01/2017	#00032423005 SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
12/01/2017	#00036143265 HOGUETRAC TL	IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	0.00	11.40
12/01/2017	#00035631725 WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38

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<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/01/2017	#00035746714	STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
12/01/2017	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
12/01/2017	#00032418293	SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
12/01/2017	#00032423005	SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
12/01/2017	#00036143265	HOGUETRACL	IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	4.75
12/01/2017	#00032374436	RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	2.38
12/01/2017	#00036187511	BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	2.14
12/01/2017	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
12/01/2017	#00032418293	SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
12/01/2017	#00032423005	SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
12/01/2017	#00036143265	HOGUETRACL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	16.15
12/01/2017	#00032374436	RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
12/01/2017	#00032375891	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
12/01/2017	#00032384266	SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
12/01/2017	#00035631725	WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
12/01/2017	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
12/01/2017	#00032423005	SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
12/01/2017	#00036143265	HOGUETRACL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	2.85
12/01/2017	#00036143265	HOGUETRACL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	2.85
12/04/2017	#00035485711	WANG, DAVID	INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	4.56
12/04/2017	#00035579219	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48
12/04/2017	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
12/04/2017	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
12/04/2017	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
12/04/2017	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
12/04/2017	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
12/04/2017	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
12/04/2017	#00036027743	TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
12/04/2017	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
12/04/2017	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
12/04/2017	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	111.58	3.12	95.0	0.00	2.96	2.96
12/04/2017	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.67
12/04/2017	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.25
12/04/2017	#00035578513	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	111.58	3.12	95.0	0.00	2.96	2.96
12/04/2017	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
12/04/2017	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/04/2017	#00035578513 CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	111.58	3.12	95.0	0.00	2.96	0.00	2.96
12/04/2017	#00035578513 CHRISTOPHER,	IVY GLOBAL NATURAL RESOURCES FUND-C	111.58	3.12	95.0	0.00	2.96	0.00	2.96
12/04/2017	#00032398031 KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.00	0.75
12/04/2017	#00032398032 KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.00	0.32
12/04/2017	#00032398032 KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.00	0.86
12/04/2017	#00035578513 CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	111.60	3.12	95.0	0.00	2.96	0.00	2.96
12/04/2017	#00032398031 KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
12/04/2017	#00032398032 KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
12/05/2017	#00086459796 GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	2.75	95.0	0.00	2.61	0.00	2.61
12/05/2017	#00086459796 GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.06	95.0	0.00	1.96	0.00	1.96
12/05/2017	#00086459796 GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	2.75	95.0	0.00	2.61	0.00	2.61
12/05/2017	#00086459796 GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.38	95.0	0.00	1.31	0.00	1.31
12/05/2017	#00035579226 BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
12/05/2017	#00036140009 BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
12/05/2017	#00035998467 GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	0.00	1.14
12/05/2017	#00036208319 TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00036208332 TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00036098588 LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/05/2017	#00032398033 ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/05/2017	#00032398033 ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/05/2017	#00035998467 GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	0.00	2.28
12/05/2017	#00036098588 LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	0.00	7.13
12/05/2017	#00036208319 TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	0.00	2.96
12/05/2017	#00036208332 TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	0.00	2.96
12/05/2017	#00036208319 TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00036208332 TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00035998467 GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	0.00	2.28
12/05/2017	#00032387551 ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
12/05/2017	#00036208319 TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00036208332 TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00032398033 ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/05/2017	#00036098588 LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/05/2017	#00036264275 WIPFCAROK	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
12/05/2017	#00032387551 ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	0.70	95.0	0.00	0.67	0.00	0.67
12/05/2017	#00036208319 TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00036208332 TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	0.00	2.89

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/05/2017	#00032398033 ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/05/2017	#00036208319 TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00036208332 TAYLOCALI	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
12/05/2017	#00086459796 GORHAM,	NEW WORLD FUND INC NEW	100.00	2.75	95.0	0.00	2.61	0.00	2.61
12/11/2017	#00032421732 BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	200.00	9.00	95.0	0.00	8.55	0.00	8.55
12/15/2017	#00036325146 TELLI, TERRL	IVY BALANCED A	1,000.00	20.00	95.0	0.00	19.00	0.00	19.00
12/15/2017	#00036325146 TELLI, TERRL	IVY ENERGY A	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
12/15/2017	#00036325146 TELLI, TERRL	IVY EUROPEAN OPPORTUNITIES A	1,000.00	20.00	95.0	0.00	19.00	0.00	19.00
12/15/2017	#00036325146 TELLI, TERRL	IVY FD BD FD CL A	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
12/15/2017	#00036150657 JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
12/15/2017	#00032411317 ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00035502928 ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	75.00	3.75	95.0	0.00	3.56	0.00	3.56
12/15/2017	#00036077173 BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00036150657 JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	79.00	3.56	95.0	0.00	3.38	0.00	3.38
12/15/2017	#00035492585 EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
12/15/2017	#00036325146 TELLI, TERRL	IVY FDS INC HIGH INCOME FD CL A	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
12/15/2017	#00036325146 TELLI, TERRL	IVY FDS INC LARGE CAP GROWTH FD CL	3,000.00	60.00	95.0	0.00	57.00	0.00	57.00
12/15/2017	#00036150657 JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
12/15/2017	#00035492585 EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
12/15/2017	#00036325146 TELLI, TERRL	IVY FDS INC SCIENCE & TECH FD CL A	2,924.81	58.50	95.0	0.00	55.58	0.00	55.58
12/15/2017	#00032408864 DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	0.00	2.00
12/15/2017	#00035864350 HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00036149962 SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00036276384	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00036306920 BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
12/15/2017	#00036306920 BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
12/15/2017	#00036326947 EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00035492585 EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.00	0.95
12/15/2017	#00036325146 TELLI, TERRL	IVY GLOBAL NATURAL RESOURCES FUND-C	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
12/15/2017	#00036325146 TELLI, TERRL	IVY INTERNATIONAL CORE EQUITY A	1,000.00	20.00	95.0	0.00	19.00	0.00	19.00
12/15/2017	#00036150657 JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
12/15/2017	#00036325146 TELLI, TERRL	IVY REAL ESTATE SECURITIES A	3,000.00	60.00	95.0	0.00	57.00	0.00	57.00
12/15/2017	#00035864350 HALL, JASON	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00036149954 SPENNIDA, L	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017	#00036150657 JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	79.00	3.56	95.0	0.00	3.38	0.00	3.38
12/18/2017	#00036077197 LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	0.00	2.66

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/18/2017	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
12/18/2017	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	2.66
12/18/2017	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
12/19/2017	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	23.08	1.15	95.0	0.00	1.09	1.09
12/19/2017	#00036253281	REEKERS, DONNA	IVY FDS INC ASSET STRATEGY FD CL A	23.08	1.15	95.0	0.00	1.09	1.09
12/19/2017	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	23.08	1.15	95.0	0.00	1.09	1.09
12/19/2017	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	23.08	1.15	95.0	0.00	1.09	1.09
12/19/2017	#00036193565	EVANS, LARRL	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
12/19/2017	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
12/19/2017	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
12/19/2017	#00036253281	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	46.16	2.31	95.0	0.00	2.19	2.19
12/19/2017	#00036253281	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	46.16	2.31	95.0	0.00	2.19	2.19
12/20/2017	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
12/20/2017	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85
12/20/2017	#00032396121	COOPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
12/20/2017	#00032388137	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00032396121	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	5.32
12/20/2017	#00032388137	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00032396121	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	5.32
12/20/2017	#00032410232	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
12/20/2017	#00035633441	KOCOUREK,	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
12/20/2017	#00032396121	COOPER TRACY,	IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00032396121	COOPER TRACY,	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
12/20/2017	#00036130945	CHRIS, JANE	IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	2.13
12/21/2017	#00032408419	DEMPSEY, STEVEN	IVY CORE EQUITY FUND CLASS A	75.00	2.10	95.0	0.00	2.00	2.00
12/21/2017	#00032408419	DEMPSEY, STEVEN	IVY CUNDILL GLOBAL VALUE A	75.00	2.10	95.0	0.00	2.00	2.00
12/21/2017	#00032408419	DEMPSEY, STEVEN	IVY FDS INC HIGH INCOME FD CL A	75.00	2.10	95.0	0.00	2.00	2.00
12/21/2017	#00032408419	DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	2.00
12/26/2017	#00085194319	GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	2.02
12/26/2017	#00035578623	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
12/26/2017	#00035578629	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
12/26/2017	#00032397882	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
12/27/2017	#00035649857	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/27/2017	#00036192778	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	3.80
12/28/2017	#00035572064	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	4.75
12/28/2017	#00035572064	KEMP, DANIEL	IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
12/28/2017	#00035572064	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	3.80
12/28/2017	#00035572064	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
12/28/2017	#00035572064	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	4.75
12/28/2017	#00035572064	KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
12/29/2017	#00035492588	EMERSON, BECKY	IVY CORE EQUITY FUND CLASS A	125.00	2.50	95.0	0.00	2.38	2.38
12/29/2017	#00035492588	EMERSON, BECKY	IVY EUROPEAN OPPORTUNITIES A	25.00	0.50	95.0	0.00	0.48	0.48
12/29/2017	#00035492588	EMERSON, BECKY	IVY FDS INC ASSET STRATEGY FD CL A	110.00	2.20	95.0	0.00	2.09	2.09
12/29/2017	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
12/29/2017	#00035492588	EMERSON, BECKY	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
12/29/2017	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
12/29/2017	#00035492588	EMERSON, BECKY	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
12/29/2017	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
12/29/2017	#00035492588	EMERSON, BECKY	IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	2.50	95.0	0.00	2.38	2.38
12/29/2017	#00032370316	CLINTON, PAUL	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	2.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$40,468.33</b>	<b>\$960.02</b>		<b>\$0.00</b>	<b>\$912.31</b>	<b>\$0.00</b>	<b>\$912.31</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00083134866	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	19.61	95.0	0.00	18.63	18.63
12/01/2017	#00067202325	WANG, YIPING	AMCAP FUND INC CLASS A	0.00	1.97	95.0	0.00	1.87	1.87
12/01/2017	#00073340855	CHRISTOPHER,	AMCAP FUND INC CLASS A	0.00	14.44	95.0	0.00	13.72	13.72
12/01/2017	#00074864213	SEVERY, KEVIN	AMCAP FUND INC CLASS A	0.00	2.17	95.0	0.00	2.06	2.06
12/01/2017	#00083333075	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	22.54	95.0	0.00	21.41	21.41
12/01/2017	#00083762655	JOHNSON,	AMCAP FUND INC CLASS A	0.00	57.77	95.0	0.00	54.88	54.88
12/01/2017	#00083133272	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	5.80	95.0	0.00	5.51	5.51
12/01/2017	#00084712825	JOHNSON,	AMCAP FUND INC CLASS A	0.00	4.19	95.0	0.00	3.98	3.98
12/01/2017	#00084595485	MEYERS, SETH	AMER FUNDS	0.00	14.64	95.0	0.00	13.91	13.91
12/01/2017	#00085184968	ERICKSON-CAREY,	AMERICAN BALANCED FUND INC CL C	0.00	18.34	95.0	0.00	17.42	17.42
12/01/2017	#00083134866	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	52.41	95.0	0.00	49.79	49.79
12/01/2017	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.01	95.0	0.00	1.91	1.91
12/01/2017	#00058662285	LODES, LORAE	AMERICAN BALANCED FUND INC CLASS A	0.00	9.49	95.0	0.00	9.02	9.02
12/01/2017	#00074864213	SEVERY, KEVIN	AMERICAN BALANCED FUND INC CLASS A	0.00	3.42	95.0	0.00	3.25	3.25
12/01/2017	#00074874449	SEVERY, GARRETT	AMERICAN BALANCED FUND INC CLASS A	0.00	3.42	95.0	0.00	3.25	3.25
12/01/2017	#00086699399	LODES, LORAE	AMERICAN BALANCED FUND INC CLASS A	0.00	0.93	95.0	0.00	0.88	0.88

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00083333075 NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	0.44	95.0	0.00	0.42	0.00	0.42
12/01/2017	#00083762655 JOHNSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	20.10	95.0	0.00	19.10	0.00	19.10
12/01/2017	#00083133272 NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	2.41	95.0	0.00	2.29	0.00	2.29
12/01/2017	#00084712825 JOHNSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	3.48	95.0	0.00	3.31	0.00	3.31
12/01/2017	#00084595435 LETELLIER,	AMERICAN FUNDS 02630Y745	0.00	8.40	95.0	0.00	7.98	0.00	7.98
12/01/2017	#00089424182 ELLIS, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	42.49	95.0	0.00	40.37	0.00	40.37
12/01/2017	#00089428643 ELLIS, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	17.84	95.0	0.00	16.95	0.00	16.95
12/01/2017	#00074864213 SEVERY, KEVIN	AMERICAN FUNDS 458809407	0.00	0.28	95.0	0.00	0.27	0.00	0.27
12/01/2017	#00074874449 SEVERY, GARRETT	AMERICAN FUNDS 458809407	0.00	0.28	95.0	0.00	0.27	0.00	0.27
12/01/2017	#00074864213 SEVERY, KEVIN	AMERICAN FUNDS 831681408	0.00	0.64	95.0	0.00	0.61	0.00	0.61
12/01/2017	#00074874449 SEVERY, GARRETT	AMERICAN FUNDS 831681408	0.00	0.63	95.0	0.00	0.60	0.00	0.60
12/01/2017	#00067911673 TOP, KRISTEN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	4.92	95.0	0.00	4.67	0.00	4.67
12/01/2017	#00073340855 CHRISTOPHER,	AMERICAN FUNDS INCOME SERIES U S GO	0.00	3.63	95.0	0.00	3.45	0.00	3.45
12/01/2017	#00085184968 ERICKSON-CAREY,	AMERICAN HIGH INCOME TR CL C	0.00	13.43	95.0	0.00	12.76	0.00	12.76
12/01/2017	#00083134866 NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	48.97	95.0	0.00	46.52	0.00	46.52
12/01/2017	#00085194319 GREGORY, NANCY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.85	95.0	0.00	2.71	0.00	2.71
12/01/2017	#00058662285 LODES, LORAE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	7.30	95.0	0.00	6.94	0.00	6.94
12/01/2017	#00073340855 CHRISTOPHER,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.32	95.0	0.00	5.05	0.00	5.05
12/01/2017	#00074864213 SEVERY, KEVIN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.89	95.0	0.00	2.75	0.00	2.75
12/01/2017	#00074874449 SEVERY, GARRETT	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.89	95.0	0.00	2.75	0.00	2.75
12/01/2017	#00086699399 LODES, LORAE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.72	95.0	0.00	0.68	0.00	0.68
12/01/2017	#00083333075 NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	14.07	95.0	0.00	13.37	0.00	13.37
12/01/2017	#00083762655 JOHNSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	14.80	95.0	0.00	14.06	0.00	14.06
12/01/2017	#00083133272 NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.58	95.0	0.00	4.35	0.00	4.35
12/01/2017	#00084712825 JOHNSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.69	95.0	0.00	2.56	0.00	2.56
12/01/2017	#00083134866 NELSON, GERALD	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	5.93	95.0	0.00	5.63	0.00	5.63
12/01/2017	#00083134866 NELSON, GERALD	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.88	95.0	0.00	1.79	0.00	1.79
12/01/2017	#00083762655 JOHNSON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	49.14	95.0	0.00	46.68	0.00	46.68
12/01/2017	#00083133272 NELSON, GERALD	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.41	95.0	0.00	1.34	0.00	1.34
12/01/2017	#00084712825 JOHNSON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.88	95.0	0.00	3.69	0.00	3.69
12/01/2017	#00084595510 MEYERS, SETH	AMERICAN FUNDS 02629M645	0.00	18.02	95.0	0.00	17.12	0.00	17.12
12/01/2017	#00085184945 CAREY, RUDY	BOND FUND AMER INC CL C	0.00	0.67	95.0	0.00	0.64	0.00	0.64
12/01/2017	#00085184968 ERICKSON-CAREY,	BOND FUND AMER INC CL C	0.00	10.91	95.0	0.00	10.36	0.00	10.36
12/01/2017	#00074864213 SEVERY, KEVIN	BOND FUND AMER INC CL F1	0.00	0.73	95.0	0.00	0.69	0.00	0.69
12/01/2017	#00074874449 SEVERY, GARRETT	BOND FUND AMER INC CL F1	0.00	0.73	95.0	0.00	0.69	0.00	0.69
12/01/2017	#00083134866 NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	40.92	95.0	0.00	38.87	0.00	38.87



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	0.00	1.28	95.0	0.00	1.22	1.22
12/01/2017	#00067202326	WANG, DAVID	BOND FUND OF AMERICA INC CL A	0.00	6.06	95.0	0.00	5.76	5.76
12/01/2017	#00074864213	SEVERY, KEVIN	BOND FUND OF AMERICA INC CL A	0.00	2.36	95.0	0.00	2.24	2.24
12/01/2017	#00074874449	SEVERY, GARRETT	BOND FUND OF AMERICA INC CL A	0.00	2.36	95.0	0.00	2.24	2.24
12/01/2017	#00083333075	NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	10.96	95.0	0.00	10.41	10.41
12/01/2017	#00083762655	JOHNSON,	BOND FUND OF AMERICA INC CL A	0.00	12.48	95.0	0.00	11.86	11.86
12/01/2017	#00083133272	NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	3.61	95.0	0.00	3.43	3.43
12/01/2017	#00084712825	JOHNSON,	BOND FUND OF AMERICA INC CL A	0.00	3.45	95.0	0.00	3.28	3.28
12/01/2017	#00085184945	CAREY, RUDY	CAPITAL INCOME BLDR FUND CL C	0.00	7.58	95.0	0.00	7.20	7.20
12/01/2017	#00067202326	WANG, DAVID	CAPITAL INCOME BUILDER FD CL A	0.00	15.59	95.0	0.00	14.81	14.81
12/01/2017	#00067911673	TOP, KRISTEN	CAPITAL INCOME BUILDER FD CL A	0.00	0.72	95.0	0.00	0.68	0.68
12/01/2017	#00074864213	SEVERY, KEVIN	CAPITAL INCOME BUILDER FD CL A	0.00	1.77	95.0	0.00	1.68	1.68
12/01/2017	#00083762655	JOHNSON,	CAPITAL INCOME BUILDER FD CL A	0.00	17.70	95.0	0.00	16.82	16.82
12/01/2017	#00084712825	JOHNSON,	CAPITAL INCOME BUILDER FD CL A	0.00	2.82	95.0	0.00	2.68	2.68
12/01/2017	#00085184968	ERICKSON-CAREY,	CAPITAL WORLD BOND FUND-C	0.00	10.25	95.0	0.00	9.74	9.74
12/01/2017	#00083134866	NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	33.31	95.0	0.00	31.64	31.64
12/01/2017	#00083333075	NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	10.02	95.0	0.00	9.52	9.52
12/01/2017	#00083762655	JOHNSON,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.45	95.0	0.00	5.18	5.18
12/01/2017	#00083133272	NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.57	95.0	0.00	2.44	2.44
12/01/2017	#00084712825	JOHNSON,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.85	95.0	0.00	1.76	1.76
12/01/2017	#00083134866	NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	30.38	95.0	0.00	28.86	28.86
12/01/2017	#00085194319	GREGORY, NANCY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.33	95.0	0.00	2.21	2.21
12/01/2017	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.88	95.0	0.00	1.79	1.79
12/01/2017	#00058662285	LODES, LORAE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	9.70	95.0	0.00	9.22	9.22
12/01/2017	#00067911673	TOP, KRISTEN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.18	95.0	0.00	1.12	1.12
12/01/2017	#00075380031		CAPITAL WORLD GROWTH & INCOME FUND	0.00	53.49	95.0	0.00	50.82	50.82
12/01/2017	#00086699399	LODES, LORAE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.95	95.0	0.00	0.90	0.90
12/01/2017	#00083333075	NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	16.30	95.0	0.00	15.49	15.49
12/01/2017	#00083762655	JOHNSON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	18.33	95.0	0.00	17.41	17.41
12/01/2017	#00083133272	NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.83	95.0	0.00	4.59	4.59
12/01/2017	#00084712825	JOHNSON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.59	95.0	0.00	1.51	1.51
12/01/2017	#00084595455	LETELLIER,	COLLEGE 2021 FUND-529A	0.00	9.71	95.0	0.00	9.22	9.22
12/01/2017	#00084595526	LETELLIER,	COLLEGE 2024 FUND 529A	0.00	9.70	95.0	0.00	9.22	9.22
12/01/2017	#00067911673	TOP, KRISTEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.70	95.0	0.00	0.67	0.67
12/01/2017	#00083762655	JOHNSON,	EURO PACIFIC GROWTH FUND CLASS A	0.00	13.60	95.0	0.00	12.92	12.92
12/01/2017	#00084712825	JOHNSON,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.38	95.0	0.00	1.31	1.31

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00067911673	TOP, KRISTEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.00	95.0	0.00	1.90	1.90
12/01/2017	#00083711943	SEVERY, GARRETT	FUNDAMENTAL INVESTORS-529A	0.00	3.68	95.0	0.00	3.50	3.50
12/01/2017	#00074864213	SEVERY, KEVIN	FUNDAMENTAL INVS INC CL F1	0.00	1.34	95.0	0.00	1.27	1.27
12/01/2017	#00074874449	SEVERY, GARRETT	FUNDAMENTAL INVS INC CL F1	0.00	1.33	95.0	0.00	1.26	1.26
12/01/2017	#00058662285	LODES, LORAE	GLOBAL BALANCED FUND-A	0.00	8.07	95.0	0.00	7.67	7.67
12/01/2017	#00086699399	LODES, LORAE	GLOBAL BALANCED FUND-A	0.00	0.79	95.0	0.00	0.75	0.75
12/01/2017	#00085184945	CAREY, RUDY	GROWTH FUND AMER INC CL C	0.00	16.94	95.0	0.00	16.09	16.09
12/01/2017	#00074864213	SEVERY, KEVIN	GROWTH FUND AMER INC CL F1	0.00	0.65	95.0	0.00	0.62	0.62
12/01/2017	#00074874449	SEVERY, GARRETT	GROWTH FUND AMER INC CL F1	0.00	0.60	95.0	0.00	0.57	0.57
12/01/2017	#00083134866	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	39.73	95.0	0.00	37.74	37.74
12/01/2017	#00085194319	GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	0.00	7.02	95.0	0.00	6.67	6.67
12/01/2017	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	0.00	2.15	95.0	0.00	2.04	2.04
12/01/2017	#00058662285	LODES, LORAE	GROWTH FUND AMERICA INC CLASS A	0.00	11.74	95.0	0.00	11.15	11.15
12/01/2017	#00067202325	WANG, YIPING	GROWTH FUND AMERICA INC CLASS A	0.00	2.03	95.0	0.00	1.93	1.93
12/01/2017	#00067202326	WANG, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	7.32	95.0	0.00	6.95	6.95
12/01/2017	#00067911673	TOP, KRISTEN	GROWTH FUND AMERICA INC CLASS A	0.00	1.91	95.0	0.00	1.81	1.81
12/01/2017	#00073340855	CHRISTOPHER,	GROWTH FUND AMERICA INC CLASS A	0.00	23.21	95.0	0.00	22.05	22.05
12/01/2017	#00074864213	SEVERY, KEVIN	GROWTH FUND AMERICA INC CLASS A	0.00	5.75	95.0	0.00	5.46	5.46
12/01/2017	#00074874449	SEVERY, GARRETT	GROWTH FUND AMERICA INC CLASS A	0.00	5.75	95.0	0.00	5.46	5.46
12/01/2017	#00086699399	LODES, LORAE	GROWTH FUND AMERICA INC CLASS A	0.00	1.15	95.0	0.00	1.09	1.09
12/01/2017	#00083293805	LEHMANN,	GROWTH FUND AMERICA INC CLASS A	0.00	1.01	95.0	0.00	0.96	0.96
12/01/2017	#00083333075	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	22.24	95.0	0.00	21.13	21.13
12/01/2017	#00083762655	JOHNSON,	GROWTH FUND AMERICA INC CLASS A	0.00	55.58	95.0	0.00	52.80	52.80
12/01/2017	#00083133272	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	3.52	95.0	0.00	3.34	3.34
12/01/2017	#00084712825	JOHNSON,	GROWTH FUND AMERICA INC CLASS A	0.00	4.21	95.0	0.00	4.00	4.00
12/01/2017	#00067202324	WANG, DAVID	INCOME FUND OF AMERICA INC CLASS A	0.00	3.52	95.0	0.00	3.34	3.34
12/01/2017	#00067911673	TOP, KRISTEN	INCOME FUND OF AMERICA INC CLASS A	0.00	0.82	95.0	0.00	0.78	0.78
12/01/2017	#00074864213	SEVERY, KEVIN	INCOME FUND OF AMERICA INC CLASS A	0.00	3.33	95.0	0.00	3.16	3.16
12/01/2017	#00074874449	SEVERY, GARRETT	INCOME FUND OF AMERICA INC CLASS A	0.00	3.33	95.0	0.00	3.16	3.16
12/01/2017	#00089678353	DONOVAN,	INCOME FUND OF AMERICA INC CLASS A	0.00	6.98	95.0	0.00	6.63	6.63
12/01/2017	#00081956353	WANG, YIPING	INCOME FUND OF AMERICA INC CLASS A	0.00	0.77	95.0	0.00	0.73	0.73
12/01/2017	#00083134866	NELSON, GERALD	INVESTMENT CO AMER CLASS A	0.00	25.73	95.0	0.00	24.44	24.44
12/01/2017	#00085194319	GREGORY, NANCY	INVESTMENT CO AMER CLASS A	0.00	2.62	95.0	0.00	2.49	2.49
12/01/2017	#00058662285	LODES, LORAE	INVESTMENT CO AMER CLASS A	0.00	11.06	95.0	0.00	10.51	10.51
12/01/2017	#00074864213	SEVERY, KEVIN	INVESTMENT CO AMER CLASS A	0.00	3.58	95.0	0.00	3.40	3.40
12/01/2017	#00074874449	SEVERY, GARRETT	INVESTMENT CO AMER CLASS A	0.00	3.56	95.0	0.00	3.38	3.38

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086699399	LODES, LORAE	INVESTMENT CO AMER CLASS A	0.00	1.02	95.0	0.00	0.97	0.97
12/01/2017	#00083333075	NELSON, GERALD	INVESTMENT CO AMER CLASS A	0.00	0.47	95.0	0.00	0.45	0.45
12/01/2017	#00083133272	NELSON, GERALD	INVESTMENT CO AMER CLASS A	0.00	2.00	95.0	0.00	1.90	1.90
12/01/2017	#00083134866	NELSON, GERALD	NEW ECONOMY FUND SBI CLASS A	0.00	16.73	95.0	0.00	15.89	15.89
12/01/2017	#00073340855	CHRISTOPHER,	NEW ECONOMY FUND SBI CLASS A	0.00	8.70	95.0	0.00	8.27	8.27
12/01/2017	#00083333075	NELSON, GERALD	NEW ECONOMY FUND SBI CLASS A	0.00	23.08	95.0	0.00	21.93	21.93
12/01/2017	#00083133272	NELSON, GERALD	NEW ECONOMY FUND SBI CLASS A	0.00	4.47	95.0	0.00	4.25	4.25
12/01/2017	#00074864213	SEVERY, KEVIN	NEW PERSPECTIVE FUND -F1	0.00	0.64	95.0	0.00	0.61	0.61
12/01/2017	#00074874449	SEVERY, GARRETT	NEW PERSPECTIVE FUND -F1	0.00	0.63	95.0	0.00	0.60	0.60
12/01/2017	#00083134866	NELSON, GERALD	NEW PERSPECTIVE FUND INC CL A	0.00	36.77	95.0	0.00	34.93	34.93
12/01/2017	#00067911673	TOP, KRISTEN	NEW PERSPECTIVE FUND INC CL A	0.00	1.42	95.0	0.00	1.35	1.35
12/01/2017	#00074864213	SEVERY, KEVIN	NEW PERSPECTIVE FUND INC CL A	0.00	2.80	95.0	0.00	2.66	2.66
12/01/2017	#00083333075	NELSON, GERALD	NEW PERSPECTIVE FUND INC CL A	0.00	19.48	95.0	0.00	18.51	18.51
12/01/2017	#00083133272	NELSON, GERALD	NEW PERSPECTIVE FUND INC CL A	0.00	4.19	95.0	0.00	3.98	3.98
12/01/2017	#00083711943	SEVERY, GARRETT	NEW PERSPECTIVE FUND-529A	0.00	3.27	95.0	0.00	3.11	3.11
12/01/2017	#00083711951	SEVERY, GARRETT	NEW PERSPECTIVE FUND-529A	0.00	2.86	95.0	0.00	2.72	2.72
12/01/2017	#00083134866	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	71.09	95.0	0.00	67.54	67.54
12/01/2017	#00085194319	GREGORY, NANCY	NEW WORLD FUND INC NEW	0.00	1.87	95.0	0.00	1.78	1.78
12/01/2017	#00086459796	GORHAM,	NEW WORLD FUND INC NEW	0.00	1.43	95.0	0.00	1.36	1.36
12/01/2017	#00058662285	LODES, LORAE	NEW WORLD FUND INC NEW	0.00	5.19	95.0	0.00	4.93	4.93
12/01/2017	#00067202324	WANG, DAVID	NEW WORLD FUND INC NEW	0.00	2.91	95.0	0.00	2.76	2.76
12/01/2017	#00067202325	WANG, YIPING	NEW WORLD FUND INC NEW	0.00	3.64	95.0	0.00	3.46	3.46
12/01/2017	#00067202326	WANG, DAVID	NEW WORLD FUND INC NEW	0.00	10.53	95.0	0.00	10.00	10.00
12/01/2017	#00074864213	SEVERY, KEVIN	NEW WORLD FUND INC NEW	0.00	0.80	95.0	0.00	0.76	0.76
12/01/2017	#00074874449	SEVERY, GARRETT	NEW WORLD FUND INC NEW	0.00	0.80	95.0	0.00	0.76	0.76
12/01/2017	#00086699399	LODES, LORAE	NEW WORLD FUND INC NEW	0.00	0.51	95.0	0.00	0.48	0.48
12/01/2017	#00081956353	WANG, YIPING	NEW WORLD FUND INC NEW	0.00	1.63	95.0	0.00	1.55	1.55
12/01/2017	#00083293805	LEHMANN,	NEW WORLD FUND INC NEW	0.00	0.75	95.0	0.00	0.71	0.71
12/01/2017	#00083333075	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	14.30	95.0	0.00	13.59	13.59
12/01/2017	#00083762655	JOHNSON,	NEW WORLD FUND INC NEW	0.00	25.07	95.0	0.00	23.82	23.82
12/01/2017	#00083133272	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	4.67	95.0	0.00	4.44	4.44
12/01/2017	#00084712825	JOHNSON,	NEW WORLD FUND INC NEW	0.00	1.32	95.0	0.00	1.25	1.25
12/01/2017	#00083711943	SEVERY, GARRETT	NEW WORLD FUND-529A	0.00	0.79	95.0	0.00	0.75	0.75
12/01/2017	#00083134866	NELSON, GERALD	SMALLCAP WORLD FUND INC CLASS A	0.00	31.50	95.0	0.00	29.93	29.93
12/01/2017	#00085194319	GREGORY, NANCY	SMALLCAP WORLD FUND INC CLASS A	0.00	2.46	95.0	0.00	2.34	2.34
12/01/2017	#00067202324	WANG, DAVID	SMALLCAP WORLD FUND INC CLASS A	0.00	3.32	95.0	0.00	3.15	3.15

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00067202325	WANG, YIPING	SMALLCAP WORLD FUND INC CLASS A	0.00	6.32	95.0	0.00	6.00	6.00
12/01/2017	#00067202326	WANG, DAVID	SMALLCAP WORLD FUND INC CLASS A	0.00	13.29	95.0	0.00	12.63	12.63
12/01/2017	#00074864213	SEVERY, KEVIN	SMALLCAP WORLD FUND INC CLASS A	0.00	1.07	95.0	0.00	1.02	1.02
12/01/2017	#00074874449	SEVERY, GARRETT	SMALLCAP WORLD FUND INC CLASS A	0.00	1.07	95.0	0.00	1.02	1.02
12/01/2017	#00081956353	WANG, YIPING	SMALLCAP WORLD FUND INC CLASS A	0.00	1.96	95.0	0.00	1.86	1.86
12/01/2017	#00083333075	NELSON, GERALD	SMALLCAP WORLD FUND INC CLASS A	0.00	19.25	95.0	0.00	18.29	18.29
12/01/2017	#00083762655	JOHNSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	28.59	95.0	0.00	27.16	27.16
12/01/2017	#00083133272	NELSON, GERALD	SMALLCAP WORLD FUND INC CLASS A	0.00	4.03	95.0	0.00	3.83	3.83
12/01/2017	#00084712825	JOHNSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.94	95.0	0.00	1.84	1.84
12/01/2017	#00083711943	SEVERY, GARRETT	SMALLCAP WORLD FUND-529A	0.00	1.14	95.0	0.00	1.08	1.08
12/01/2017	#00083711943	SEVERY, GARRETT	THE BOND FUND OF AMERICA-529A	0.00	2.88	95.0	0.00	2.74	2.74
12/01/2017	#00083711951	SEVERY, GARRETT	THE BOND FUND OF AMERICA-529A	0.00	3.08	95.0	0.00	2.93	2.93
12/01/2017	#00083711943	SEVERY, GARRETT	THE GROWTH FUND OF AMERICA-529A	0.00	5.06	95.0	0.00	4.81	4.81
12/01/2017	#00083711951	SEVERY, GARRETT	THE GROWTH FUND OF AMERICA-529A	0.00	1.36	95.0	0.00	1.29	1.29
12/01/2017	#00083711943	SEVERY, GARRETT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	1.14	95.0	0.00	1.08	1.08
12/01/2017	#00083711951	SEVERY, GARRETT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	1.14	95.0	0.00	1.08	1.08
12/01/2017	#00083134866	NELSON, GERALD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	69.34	95.0	0.00	65.87	65.87
12/01/2017	#00073340855	CHRISTOPHER,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.25	95.0	0.00	12.59	12.59
12/01/2017	#00074864213	SEVERY, KEVIN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.74	95.0	0.00	3.55	3.55
12/01/2017	#00074874449	SEVERY, GARRETT	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.74	95.0	0.00	3.55	3.55
12/01/2017	#00083333075	NELSON, GERALD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.93	95.0	0.00	0.88	0.88
12/01/2017	#00083133272	NELSON, GERALD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.70	95.0	0.00	1.62	1.62
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,715.81</b>	<b>\$0.00</b>	<b>\$1,630.07</b>	<b>\$0.00</b>	<b>\$1,630.07</b>	
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$40,468.33</b>	<b>\$2,675.83</b>	<b>\$0.00</b>	<b>\$2,542.38</b>	<b>\$0.00</b>	<b>\$2,542.38</b>	

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-499.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,542.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$2,043.38</b>
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