



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2017**

01/27/2017  
 Page No. 1

JOHN ROSS  
 7261 W. Central Ave.  
  
 Toledo, OH 43617

BROKER #: R119  
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/18/2017	SANDERSON, STEPHEN	GRIFFIN CAPITAL ESST'L ASSET II T	10,000.00	300.00	0.00	300.00	92.0	276.00
01/19/2017	YOUNG, KEITH	GRIFFIN CAPITAL ESST'L ASSET II T	5,000.00	150.00	0.00	150.00	92.0	138.00
* REIT SUBTOTAL *			<b>\$15,000.00</b>	<b>\$450.00</b>	<b>\$0.00</b>	<b>\$450.00</b>		<b>\$414.00</b>
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
01/18/2017	SANDERSON, STEPHEN	GRIFFIN INST ACCESS R E FUND C	10,000.00	100.00	0.00	100.00	92.0	92.00
* INTERVAL FUNDS SUBTOTAL *			<b>\$10,000.00</b>	<b>\$100.00</b>	<b>\$0.00</b>	<b>\$100.00</b>		<b>\$92.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/09/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	92.0	2.30
01/12/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	92.0	2.30
01/19/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	92.0	2.30
01/10/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	92.0	4.60
01/09/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	300.00	4.80	0.00	4.80	92.0	4.42
01/09/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
01/09/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	300.00	4.80	0.00	4.80	92.0	4.42
01/09/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
01/12/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	250.00	2.50	0.00	2.50	92.0	2.30
01/17/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
01/17/2017	ROSS, MICHELLE L HUDS	AM FUNDS SHORT TERM BND FUND OF AM	1,500.00	30.00	0.00	30.00	92.0	27.60
01/17/2017	MOLNAR, COURTNEY	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	92.0	0.92
01/10/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	92.0	2.30
01/10/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	250.00	2.50	0.00	2.50	92.0	2.30
01/17/2017	MOLNAR, JOSEPH	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,778.79	48.92	0.00	48.92	92.0	45.01
01/17/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
01/18/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
01/12/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	92.0	2.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/19/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	92.0	2.30
01/10/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	250.00	2.50	0.00	2.50	92.0	2.30
01/03/2017	ZUCARELL, MARGARET	MFS HIGH INCOME A	0.00	6.76	0.00	6.76	92.0	6.22
01/03/2017	SLAMAN, BRIAN	PUTNAM CAPITAL OPPORTUNITIES C	0.00	2.16	0.00	2.16	92.0	1.99
01/03/2017	SLAMAN, BRIAN	PUTNAM EQUITY INCOME C	0.00	2.52	0.00	2.52	92.0	2.32
01/03/2017	DRAKE, ERIC	PUTNAM GROWTH OPP A	0.00	4.55	0.00	4.55	92.0	4.19
01/03/2017	SLAMAN, BRIAN	PUTNAM INTL CAPITAL OPPS-C	0.00	2.22	0.00	2.22	92.0	2.04
01/03/2017	WAGONER JR, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	3.88	0.00	3.88	92.0	3.57
01/03/2017	DRAKE, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	4.20	0.00	4.20	92.0	3.86
* MUTUAL FUNDS SUBTOTAL *			\$6,623.79	\$158.48	\$0.00	\$158.48		\$145.81
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/20/2017	YOUNG, KEITH	CENTRAL FD CDA LTD CL A	2,453.60	35.00	22.50	12.50	92.0	11.50
01/19/2017	ZENK, NICHOLAS	DRYSHIPS INC COM PAR \$0.01 NEW ISIN	201.00	25.00	22.50	2.50	92.0	2.30
01/18/2017	YOUNG, KEITH	GRIFFIN CAPITAL ESSENTIAL ASSET REI	5,000.00	0.00	0.00	0.00	92.0	0.00
01/20/2017	YOUNG, KEITH	SPROTT PHYSICAL GOLD TR UNIT ISIN#C	3,946.00	50.00	22.60	27.40	92.0	25.21
01/20/2017	YOUNG, KEITH	SPROTT PHYSICAL SILVER TR TR UNIT I	6,508.20	50.00	23.50	26.50	92.0	24.38
01/20/2017	YOUNG, KEITH	VANECK VECTORS ETF TR GOLD MINERS E	2,301.80	40.00	22.50	17.50	92.0	16.10
01/20/2017	YOUNG, KEITH	VANECK VECTORS ETF TR JR GOLD MINER	3,683.94	40.00	22.50	17.50	92.0	16.10
* STOCKS SUBTOTAL *			\$24,094.54	\$240.00	\$136.10	\$103.90		\$95.59
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/03/2017	KOZIARSKI, RICHARD	METLIFE SERIES L	55,739.93	139.35	0.00	139.35	92.0	128.20
01/03/2017	MOORE, GARY	METLIFE SERIES L	112,253.30	280.63	0.00	280.63	92.0	258.18
01/03/2017	DRUCKENMILLER, CHRISTINA	METLIFE SERIES L	177,775.64	444.44	0.00	444.44	92.0	408.88
01/03/2017	CURSON, BEVERLY	METLIFE SERIES L	64,526.79	161.32	0.00	161.32	92.0	148.41
01/03/2017	ROSS, DANIEL	METLIFE SERIES L	21,716.42	54.29	0.00	54.29	92.0	49.95
01/03/2017	WAGONER JR, JOHN	METLIFE SERIES L	48,204.61	120.51	0.00	120.51	92.0	110.87
01/03/2017	GERMAN, MARIANNE	METLIFE SERIES L	9,717.99	24.29	0.00	24.29	92.0	22.35
01/03/2017	SCHNITKEY, KAREN	METLIFE SERIES L	56,765.64	141.91	0.00	141.91	92.0	130.56
01/03/2017	MOORE, LINDA	METLIFE SERIES L	11,852.80	29.63	0.00	29.63	92.0	27.26
01/03/2017	GARDNER, SHARYL	METLIFE SERIES L	116,384.65	290.96	0.00	290.96	92.0	267.68
01/03/2017	AUBRY, PATRICIA	METLIFE SERIES L	43,403.43	108.51	0.00	108.51	92.0	99.83
01/03/2017	KRALL, GARY	METLIFE SERIES L	20,989.58	52.47	0.00	52.47	92.0	48.27

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/03/2017	HOLDINSKY, PATRICIA	METLIFE SERIES L	98,682.60	246.71	0.00	246.71	92.0	226.97
01/03/2017	WASSERMAN, RICHARD	METLIFE SERIES L	10,149.29	25.37	0.00	25.37	92.0	23.34
01/03/2017	KRAUS, JUDITH	METLIFE SERIES L	62,761.08	156.90	0.00	156.90	92.0	144.35
01/03/2017	VALIQUETTE, MYRNA	METLIFE SERIES L	19,920.22	49.80	0.00	49.80	92.0	45.82
01/03/2017	VALIQUETTE, PAUL	METLIFE SERIES L	21,729.22	54.32	0.00	54.32	92.0	49.97
01/03/2017	VALADE, JANET	METLIFE SERIES L	54,430.37	136.08	0.00	136.08	92.0	125.19
01/03/2017	HAMAKER, SCOTT	METLIFE SERIES L	56,200.96	140.50	0.00	140.50	92.0	129.26
01/03/2017	CURSON, FRANK	METLIFE SERIES L	115,730.77	289.33	0.00	289.33	92.0	266.18
01/03/2017	KOZLOWSKI, KENNETH	METLIFE SERIES L	29,809.25	74.52	0.00	74.52	92.0	68.56
01/03/2017	SWINDLE, CYNTHIA	METLIFE SERIES L	11,604.57	29.01	0.00	29.01	92.0	26.69
01/03/2017	SCHLIEVERT, LYLE	METLIFE SERIES L	67,404.24	168.51	0.00	168.51	92.0	155.03
01/03/2017	IRWIN, JOHN	METLIFE SERIES L	119,961.87	299.90	0.00	299.90	92.0	275.91
01/03/2017	COLEMAN, PARISS	METLIFE SERIES L	119,226.06	298.07	0.00	298.07	92.0	274.22
01/03/2017	HELMINIAK, CAROLYN	METLIFE SERIES L	53,314.12	133.29	0.00	133.29	92.0	122.63
01/03/2017	VALIQUETTE, MYRNA	METLIFE SERIES L	22,595.88	56.49	0.00	56.49	92.0	51.97
01/03/2017	AUBRY, PATRICIA	METLIFE SERIES L	55,068.32	137.67	0.00	137.67	92.0	126.66
01/03/2017	SMITH, SUZANNE	METLIFE SERIES L	233,983.76	584.96	0.00	584.96	92.0	538.16
01/03/2017	WOODRUFF, STEPHANIE	METLIFE SERIES L	47,898.12	119.75	0.00	119.75	92.0	110.17
01/03/2017	GARDNER, WM	METLIFE SERIES L	11,604.57	29.01	0.00	29.01	92.0	26.69
01/03/2017	BOWSER, DANIEL	METLIFE SERIES L	52,331.44	130.83	0.00	130.83	92.0	120.36
01/17/2017	REEVES, TERRENCE	MML ARTISTRY	70.00	3.85	0.00	3.85	92.0	3.54
01/25/2017	BECK, JOHN	MML ARTISTRY	200.00	11.00	0.00	11.00	92.0	10.12
01/25/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,004,057.49</b>	<b>\$5,026.93</b>	<b>\$0.00</b>	<b>\$5,026.93</b>		<b>\$4,624.76</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/11/2017	STEDCKE, EILEEN	ING PREMIUM PLUS 2005	0.00	13.46	0.00	13.46	92.0	12.38
01/17/2017	HAUSFELD, DAVID	ING PREMIUM PLUS 2005	0.00	9.35	0.00	9.35	92.0	8.60
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$22.81</b>	<b>\$0.00</b>	<b>\$22.81</b>		<b>\$20.98</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,059,775.82</b>	<b>\$5,998.22</b>	<b>\$136.10</b>	<b>\$5,862.12</b>		<b>\$5,393.14</b>

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b>BROKER: THOMAS HALSEY #82</b>								
12/31/2016	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.38	0.00	0.38	85.0	0.03
12/31/2016	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.38	0.00	0.38	85.0	0.03
01/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
01/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
12/31/2016	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.18	0.00	7.18	85.0	0.50
12/31/2016	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	0.89	0.00	0.89	85.0	0.06
01/17/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
12/31/2016	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	0.89	0.00	0.89	85.0	0.06
12/31/2016	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	10.64	0.00	10.64	85.0	0.74
01/17/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
01/12/2017	DESELMs, RAYMOND	FRANKLIN MI TAX FR INCOME A	4,000.00	80.00	0.00	80.00	85.0	5.60
01/05/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.49	0.00	0.49	85.0	0.03
01/05/2017	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.47	0.00	0.47	85.0	0.03
01/05/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	2.69	0.00	2.69	85.0	0.19
01/05/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.58	0.00	0.58	85.0	0.04
01/05/2017	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.58	0.00	0.58	85.0	0.04
01/05/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	4.54	0.00	4.54	85.0	0.32
01/03/2017	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	7.11	0.00	7.11	85.0	0.50
12/29/2016	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
01/17/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
01/23/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
01/06/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPUS C	0.00	4.10	0.00	4.10	85.0	0.29
01/06/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPUS C	0.00	7.39	0.00	7.39	85.0	0.52
01/04/2017	FRANTZ, JUDITH	PIONEER CULLEN VALUE FUND A	0.00	14.74	0.00	14.74	85.0	1.03
01/04/2017	FRANTZ, JUDITH	PIONEER EMERGING MARKETS A	0.00	3.58	0.00	3.58	85.0	0.25
01/04/2017	FRANTZ, JUDITH	PIONEER EQUITY INCOME A	0.00	29.34	0.00	29.34	85.0	2.05
01/04/2017	FRANTZ, JUDITH	PIONEER FUND A	0.00	3.46	0.00	3.46	85.0	0.24
01/04/2017	FRANTZ, JUDITH	PIONEER GROWTH OPPORTUNITIES A	0.00	3.63	0.00	3.63	85.0	0.25
01/04/2017	FRANTZ, JUDITH	PIONEER VALUE A	0.00	28.05	0.00	28.05	85.0	1.96
12/31/2016	HALSEY, VARIOUS	TOUCHSTONE SECURITIES VA	0.00	24.36	0.00	24.36	85.0	1.71
<b>* THOMAS HALSEY SUBTOTAL *</b>			<b>\$4,900.00</b>	<b>\$274.22</b>	<b>\$0.00</b>	<b>\$274.22</b>		<b>\$19.20</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
12/31/2016	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.75	0.00	4.75	90.0	0.10
01/17/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
12/31/2016	SCHMIDBAUER, JOSEPH	PHOENIX IND EDGE	1,215.60	3.03	0.00	3.03	90.0	0.06
* ROBERT THOMPSON SUBTOTAL *			\$1,365.60	\$17.91	\$0.00	\$17.91		\$0.36
*** OVERRIDE TRANSACTIONS TOTAL ***			\$6,265.60	\$292.13	\$0.00	\$292.13		\$19.56

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,393.14
Split Transactions	\$0.00
Override Transactions	\$19.56
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,412.70
Year-to-date Gross	\$16,086.95
Year-to-date Earnings	\$15,462.72

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