



Concorde Investment Services, LLC
COMMISSION STATEMENT
December 15, 2017

12/15/2017
Page No. 1

DAVID MIKE BREEDLOVE
1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/05/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	43,600.00	872.00	90.0	784.80	22.00	762.80
12/11/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	-1,950.00	22.00	90.0	19.80	22.00	-2.20
12/11/2017	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	30,000.00	0.00	90.0	0.00	0.00	0.00
* MUTUAL FUNDS SUBTOTAL *			\$71,650.00	\$894.00		\$804.60	\$44.00	\$760.60
*** CLEARING TRANSACTIONS TOTAL ***			\$71,650.00	\$894.00		\$804.60	\$44.00	\$760.60

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/30/2017	DJL FAMILY LLC	GPB WASTE MANAGEMENT LP	100,000.00	7,000.00	90.0	6,300.00	0.00	6,300.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00		\$6,300.00	\$0.00	\$6,300.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,177.11	3.48	90.0	3.13	0.00	3.13
11/30/2017	SPEED, LINDA	JNL PERSPECTIVE II 05/05	35,065.52	29.22	90.0	26.30	0.00	26.30
* VARIABLE ANNUITIES SUBTOTAL *			\$39,242.63	\$32.70		\$29.43	\$0.00	\$29.43

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	MCANINCH, LYNSEY	AM FUNDS AMCAP 529C	0.00	0.78	90.0	0.70	0.00	0.70
12/01/2017	MCANINCH, LYNSEY	AM FUNDS AMERICAN MUTUAL 529C	0.00	0.76	90.0	0.68	0.00	0.68
12/01/2017	MASONIC LODGE #785, BULLARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.45	90.0	6.71	0.00	6.71
12/01/2017	MCANINCH, LYNSEY	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.83	90.0	0.75	0.00	0.75
12/01/2017	HENDERSON, SUE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	42.69	90.0	38.42	0.00	38.42
12/01/2017	MILLER, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.44	90.0	7.60	0.00	7.60
12/01/2017	MILLER, FOSTER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.00	90.0	8.10	0.00	8.10
12/01/2017	SPERRY JR, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	90.0	0.19	0.00	0.19
12/01/2017	HENDERSON, SUE	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.89	90.0	10.70	0.00	10.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/01/2017	MCANINCH, LYNSEY	AM FUNDS WASHINGTON MUTUAL	0.00	0.79	90.0	0.71	0.00	0.71
11/16/2017	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.43	90.0	2.19	0.00	2.19
11/16/2017	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.92	90.0	0.83	0.00	0.83
11/16/2017	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.81	90.0	0.73	0.00	0.73
11/22/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.29	90.0	2.06	0.00	2.06
11/24/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.57	90.0	4.11	0.00	4.11
11/24/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.76	90.0	11.48	0.00	11.48
11/24/2017	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.82	90.0	1.64	0.00	1.64
11/24/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	15.18	90.0	13.66	0.00	13.66
11/24/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	64.61	90.0	58.15	0.00	58.15
11/24/2017	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	15.51	90.0	13.96	0.00	13.96
11/24/2017	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.77	90.0	9.69	0.00	9.69
11/24/2017	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	67.85	90.0	61.07	0.00	61.07
11/24/2017	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.12	90.0	1.91	0.00	1.91
11/24/2017	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.00	90.0	5.40	0.00	5.40
11/24/2017	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	44.65	90.0	40.19	0.00	40.19
11/24/2017	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.02	90.0	1.82	0.00	1.82
11/24/2017	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.75	90.0	5.18	0.00	5.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$342.90		\$308.63	\$0.00	\$308.63
*** DIRECT TRANSACTIONS TOTAL ***			\$139,242.63	\$7,375.60		\$6,638.06	\$0.00	\$6,638.06

ADJUSTMENTS

12/15/2017	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
12/15/2017	*ALBRIDGE: LIGHT USER	0.00						-76.00
12/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00						-300.00
12/15/2017	*GLOBAL RELAY: WEBSITE	0.00						-15.00
12/15/2017	*LASER APP: CURRENT MONTH	0.00						-18.00
12/15/2017	*TECHNOLOGY: ADMIN - K BREEDLOVE	0.00						-130.00
12/15/2017	*TECHNOLOGY: ADMIN - LESLIE DALY	0.00						-135.00
12/15/2017	*TECHNOLOGY: ADMIN - R WILLIAMS	0.00						-130.00
12/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$-991.00	\$0.00	\$-991.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$7,398.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-991.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,407.66
Year-to-date Gross	\$69,778.87
Year-to-date Earnings	\$62,470.65

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