

**COMMISSION STATEMENT****October 31, 2017****JOSEPH MICHALETZ**

41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138

BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
10/12/2017	OLSON, GREGG	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
10/17/2017	MICHALETZ, JOSEPH	ECOVEST MYRTLE COVE RESORT HOLDINGS	102,482.28	0.00	0.00	0.00	93.0	0.00
10/12/2017	OLSON, GREGG	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
10/17/2017	WENDLANDT, GENE	GPB AUTOMOTIVE PORTFOLIO LP	150,000.00	10,500.00	0.00	10,500.00	93.0	9,765.00
10/24/2017	GILOW, HENRY	GPB AUTOMOTIVE PORTFOLIO LP	89,000.00	6,230.00	0.00	6,230.00	93.0	5,793.90
10/12/2017	OLSON, GREGG	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
10/17/2017	WENDLANDT, GENE	HPI FUND V	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
10/25/2017	HANSON TRUST, DAVID	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
* PRIVATE PLACEMENT SUBTOTAL *			\$841,482.28	\$48,730.00	\$0.00	\$48,730.00		\$45,318.90
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	CHRISTENSON, AMY	COTTONWOOD MULTIFAMILY REIT II	27,000.00	1,620.00	0.00	1,620.00	93.0	1,506.60
09/27/2017	CHRISTENSON, AMY	COTTONWOOD MULTIFAMILY REIT II	-27,000.00	-1,620.00	0.00	-1,620.00	93.0	-1,506.60
09/27/2017	NAWROCKI TRUST, ALBIN	COTTONWOOD MULTIFAMILY REIT II	38,000.00	2,280.00	0.00	2,280.00	93.0	2,120.40
09/27/2017	NAWROCKI TRUST, ALBIN	COTTONWOOD MULTIFAMILY REIT II	-38,000.00	-2,280.00	0.00	-2,280.00	93.0	-2,120.40
10/05/2017	CHENEY, JAMES	COTTONWOOD MULTIFAMILY REIT II	32,000.00	1,920.00	0.00	1,920.00	93.0	1,785.60
* REIT SUBTOTAL *			\$32,000.00	\$1,920.00	\$0.00	\$1,920.00		\$1,785.60
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
10/04/2017	JONES, GEOFFREY	INLAND GULF COAST IND'L DST	60,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
10/04/2017	CYPRESS DESERT LLC	RRTY CANADIAN RIVER ROYALTIES LLC	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
10/04/2017	JONES, GEOFFREY	RRTY CANADIAN RIVER ROYALTIES LLC	160,272.71	9,616.36	0.00	9,616.36	93.0	8,943.21
10/12/2017	OLSON, GREGG	RRTY CANADIAN RIVER ROYALTIES LLC	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
10/18/2017	DIVENTURES LLC	RRTY CANADIAN RIVER ROYALTIES LLC	2,000,000.00	120,000.00	0.00	120,000.00	93.0	111,600.00
10/18/2017	LANGHORST, STEVE	RRTY CANADIAN RIVER ROYALTIES LLC	1,000,000.00	60,000.00	0.00	60,000.00	93.0	55,800.00
10/18/2017	MICHALETZ TRUST, CHRISTINE	RRTY CANADIAN RIVER ROYALTIES LLC	188,000.00	0.00	0.00	0.00	93.0	0.00
10/23/2017	VOIGT, KEVIN	RRTY CANADIAN RIVER ROYALTIES LLC	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
10/26/2017	MEAD TRUST, JAMES & SHARON	RRTY CANADIAN RIVER ROYALTIES LLC	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
10/26/2017	MOLL FAMILY TRUST	RRTY CANADIAN RIVER ROYALTIES LLC	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
* 1031 EXCHANGES SUBTOTAL *			\$3,858,272.71	\$219,616.36	\$0.00	\$219,616.36		\$204,243.21
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/06/2017	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	14,000.00	140.00	0.00	140.00	93.0	130.20
* INTERVAL FUNDS SUBTOTAL *			\$14,000.00	\$140.00	\$0.00	\$140.00		\$130.20
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/30/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	3.24	0.00	3.24	93.0	3.01
09/30/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	1.08	0.00	1.08	93.0	1.00
10/11/2017	GILOW, HENRY	GPB AUTOMOTIVE PORTFOLIO LP	89,000.00	0.00	0.00	0.00	93.0	0.00
10/25/2017	MEAD, SHARON	GPB WASTE MANAGEMENT LP CLASS A	50,000.00	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY ADVANTUS BOND FUND CLASS I	-12,268.48	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY ASSET STRATEGY FUND CLASS I	-6,439.01	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY GLOBAL BOND FUND CLASS I	-3,641.92	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY GLOBAL GROWTH FUND CLASS I	-3,794.72	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY GLOBAL INCOME ALLOCATION FUND C	-2,684.84	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY HIGH INCOME FUND CLASS I	-3,740.51	0.00	0.00	0.00	93.0	0.00
10/24/2017	GEAITHER, VALERIE	IVY NATURAL RESOURCES FUND CLASS I	-4,509.60	0.00	0.00	0.00	93.0	0.00
10/13/2017	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	8.06	0.00	8.06	93.0	7.50
10/01/2017	IRLBECK, CALEB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	0.76	0.00	0.76	93.0	0.71
10/01/2017	IRLBECK, AVA	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	1.32	0.00	1.32	93.0	1.23
10/01/2017	IRLBECK, KERSTEN	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	1.43	0.00	1.43	93.0	1.33
10/01/2017	IRLBECK, CALEB	MFS GROWTH ALLOCATION 529A	0.00	0.39	0.00	0.39	93.0	0.36
10/01/2017	IRLBECK, AVA	MFS GROWTH ALLOCATION 529A	0.00	0.79	0.00	0.79	93.0	0.73
10/01/2017	BOESCH, DEBRA	MFS RESEARCH A	0.00	63.81	0.00	63.81	93.0	59.34
10/01/2017	LEVIK, LADONNA	MFS RESEARCH A	0.00	9.85	0.00	9.85	93.0	9.16
10/01/2017	BURRUS, ALYSSA	MFS RESEARCH A	0.00	0.97	0.00	0.97	93.0	0.90
10/01/2017	BOESCH, DEBRA	MFS RESEARCH B	0.00	29.15	0.00	29.15	93.0	27.11
10/01/2017	BOESCH, DEBRA	MFS RESEARCH FUND-C	0.00	41.87	0.00	41.87	93.0	38.94
10/16/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93
10/16/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	93.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$102,070.92	\$164.22	\$0.00	\$164.22		\$152.72

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/11/2017	GILOW, HENRY	COTTONWOOD MULTIFAMILY REIT II, INC	30,000.00	0.00	0.00	0.00	93.0	0.00
10/24/2017	COHEN, KATHRYN	TWO HBRS INVT CORP COM	-24,434.41	22.00	25.66	-3.66	93.0	-3.40
10/24/2017	COHEN, KATHRYN	VEREIT INC COM	-21,826.36	22.00	26.09	-4.09	93.0	-3.80
* STOCKS SUBTOTAL *			\$-16,260.77	\$44.00	\$51.75	\$-7.75		\$-7.20
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/13/2017	SIRMANS, DEBBRA	JNL PERSPECTIVE II 04/14	66,672.01	1,333.44	0.00	1,333.44	93.0	1,240.10
10/13/2017	SIRMANS, DEBBRA	JNL PERSPECTIVE II 04/14	69,504.05	1,390.08	0.00	1,390.08	93.0	1,292.77
10/13/2017	SIRMANS, DEBBRA	JNL PERSPECTIVE II 04/14	28,826.77	576.54	0.00	576.54	93.0	536.18
10/13/2017	SIRMANS, DEBBRA	JNL PERSPECTIVE II 04/14	105,288.40	2,105.77	0.00	2,105.77	93.0	1,958.37
10/18/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	93.0	20.46
10/02/2017	WERKMEISTER, DAVID	METLIFE SERIES L	714,940.66	1,787.35	0.00	1,787.35	93.0	1,662.24
10/02/2017	HANSGEN, DIANE	METLIFE SERIES L	318,510.80	796.28	0.00	796.28	93.0	740.54
10/02/2017	BIRK, DAVID	METLIFE SERIES L	142,602.18	356.51	0.00	356.51	93.0	331.55
10/02/2017	OTTO, JOLENE	METLIFE SERIES L	145,047.87	362.62	0.00	362.62	93.0	337.24
10/02/2017	WEIBLE, DEAN	METLIFE SERIES L	407,212.24	1,018.03	0.00	1,018.03	93.0	946.77
10/02/2017	ARNOLD, RICHARD	METLIFE SERIES L	451,061.95	1,127.65	0.00	1,127.65	93.0	1,048.71
10/02/2017	AYERS, KATHRYN	METLIFE SERIES L	393,987.23	984.97	0.00	984.97	93.0	916.02
10/02/2017	ARNOLD, RICHARD	METLIFE SERIES L	135,957.53	339.89	0.00	339.89	93.0	316.10
10/02/2017	SWANSON, DARLA	METLIFE SERIES L	240,214.56	600.54	0.00	600.54	93.0	558.50
10/02/2017	MILLER, KAREN	METLIFE SERIES L	69,556.48	173.89	0.00	173.89	93.0	161.72
10/02/2017	HUSBYN, ROGER	METLIFE SERIES L	172,597.06	431.49	0.00	431.49	93.0	401.29
10/02/2017	KELLOGG, J EDWARD	METLIFE SERIES L	526,825.82	1,317.06	0.00	1,317.06	93.0	1,224.87
10/02/2017	FLOR, PATRICIA	METLIFE SERIES L	101,405.91	253.51	0.00	253.51	93.0	235.76
10/02/2017	FRANKLIN, WILLIAM	METLIFE SERIES L	299,462.11	748.66	0.00	748.66	93.0	696.25
10/02/2017	OLINGER, THOMAS	METLIFE SERIES L	62,248.00	155.62	0.00	155.62	93.0	144.73
10/02/2017	BERGLING, PAUL	METLIFE SERIES L	841,322.18	2,103.31	0.00	2,103.31	93.0	1,956.08
10/02/2017	LELAND, CRAIG	METLIFE SERIES L	338,731.62	846.83	0.00	846.83	93.0	787.55
10/02/2017	BIRK, MARILYN	METLIFE SERIES L	100,080.06	250.20	0.00	250.20	93.0	232.69
10/02/2017	STANTON, KAREN	METLIFE SERIES L	139,347.20	348.37	0.00	348.37	93.0	323.98
10/02/2017	OTTO, CHRISTOPHER	METLIFE SERIES L	95,106.79	237.77	0.00	237.77	93.0	221.13
10/02/2017	WOLFF, WALTER	METLIFE SERIES L	37,158.33	92.90	0.00	92.90	93.0	86.40
10/02/2017	FRANKLIN, WILLIAM	METLIFE SERIES L	265,158.82	662.90	0.00	662.90	93.0	616.50
10/02/2017	MILLER, KAREN	METLIFE SERIES L	357,167.15	892.92	0.00	892.92	93.0	830.42

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/02/2017	KIECKER, MARK	METLIFE SERIES L	163,453.48	408.63	0.00	408.63	93.0	380.03
10/02/2017	HEIDTMAN, JOYCE	METLIFE SERIES L	95,486.11	238.72	0.00	238.72	93.0	222.01
10/02/2017	ROSS TRUST, MARY JO	METLIFE SERIES L	65,693.08	164.23	0.00	164.23	93.0	152.73
10/02/2017	JONES, THOMAS	METLIFE SERIES L	169,988.76	424.97	0.00	424.97	93.0	395.22
10/02/2017	SANDER, GLORIAN	METLIFE SERIES L	319,334.12	798.34	0.00	798.34	93.0	742.46
10/02/2017	BERGLING, SHARON	METLIFE SERIES L	312,083.70	780.21	0.00	780.21	93.0	725.60
10/02/2017	JONES, LINDA	METLIFE SERIES L	41,940.63	104.85	0.00	104.85	93.0	97.51
10/02/2017	ROY, JAMES	METLIFE SERIES L	224,147.11	560.37	0.00	560.37	93.0	521.14
10/02/2017	KIECKER, DENISE	METLIFE SERIES L	110,759.00	276.90	0.00	276.90	93.0	257.52
10/02/2017	HINTON, TIMOTHY	METLIFE SERIES L	22,927.64	57.32	0.00	57.32	93.0	53.31
10/02/2017	HEIDTMAN, JOYCE	METLIFE SERIES L	66,124.85	165.31	0.00	165.31	93.0	153.74
10/02/2017	BIRK, DAVID	METLIFE SERIES L	1,444,262.65	3,610.66	0.00	3,610.66	93.0	3,357.91
10/02/2017	WOLFF, LINDA	METLIFE SERIES L	153,881.89	384.70	0.00	384.70	93.0	357.77
10/02/2017	WALLACE, DEANNE	METLIFE SERIES L	101,487.30	253.72	0.00	253.72	93.0	235.96
10/02/2017	ERICKSON, RONALD	METLIFE SERIES L	358,864.72	897.16	0.00	897.16	93.0	834.36
10/02/2017	ROSSING, PATRICIA	METLIFE SERIES L	409,300.44	1,023.25	0.00	1,023.25	93.0	951.62
10/02/2017	FRANKLIN, ROSALIE	METLIFE SERIES L	99,812.88	249.53	0.00	249.53	93.0	232.06
10/02/2017	TOMM, JODI	METLIFE SERIES L	137,349.01	343.37	0.00	343.37	93.0	319.33
10/02/2017	VETTER, BRIAN	METLIFE SERIES L	162,470.13	406.18	0.00	406.18	93.0	377.75
10/02/2017	WALLACE, LLOYD	METLIFE SERIES L	397,128.89	992.82	0.00	992.82	93.0	923.32
10/02/2017	MAINE, DOUGLAS	METLIFE SERIES L	257,606.73	644.02	0.00	644.02	93.0	598.94
10/02/2017	FLO, DAVID	METLIFE SERIES L	570,411.37	1,426.03	0.00	1,426.03	93.0	1,326.21
10/02/2017	BOUDREAU, DIANE	METLIFE USA SERIES VA	371,987.81	929.97	0.00	929.97	93.0	864.87
10/09/2017	SOUKUP, LAVERN	PAC LIFE PORTFOLIOS	0.00	13.35	0.00	13.35	93.0	12.42
10/12/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	34,000.00	1,700.00	0.00	1,700.00	93.0	1,581.00
10/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
* VARIABLE ANNUITIES SUBTOTAL *			\$12,717,326.08	\$38,185.71	\$0.00	\$38,185.71		\$35,512.73

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

10/02/2017	CHRISTOFFER, BYRON	LINCOLN LIFE	0.00	71.40	0.00	71.40	93.0	66.40
10/05/2017	OTTO, CHRISTOPHER	LINCOLN LIFE	0.00	4.05	0.00	4.05	93.0	3.77
10/16/2017	IRELAND, DAVID	LINCOLN LIFE	0.00	179.40	0.00	179.40	93.0	166.84
10/16/2017	CORDS, SCOTT	LINCOLN LIFE	0.00	276.90	0.00	276.90	93.0	257.52
10/13/2017	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	0.00	1,031.01	0.00	1,031.01	93.0	958.84

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/16/2017	FOELS, TAMMY	TRANSAMERICA LIFE	0.00	1.00	0.00	1.00	93.0	0.93
10/17/2017	JONES, THOMAS	TRANSAMERICA LIFE	0.00	43.95	0.00	43.95	93.0	40.87
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$1,607.71	\$0.00	\$1,607.71		\$1,495.17
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/06/2017	BOUDREAU, WILLIAM	JOHN HANCOCK FREEDOM 529	0.00	12.59	0.00	12.59	93.0	11.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.59	\$0.00	\$12.59		\$11.71
*** BROKER TRANSACTIONS TOTAL ***			\$17,548,891.22	\$310,420.59	\$51.75	\$310,368.84		\$288,643.04

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

10/02/2017	REINHART, RANDALL	ECOVEST MYRTLE COVE RESORT HOLDINGS	55,098.00	3,856.86	0.00	3,856.86	93.0	3,586.88
10/10/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
10/10/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
10/10/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
10/10/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$55,520.40	\$3,861.09	\$0.00	\$3,861.09		\$3,590.82
*** SPLIT TRANSACTIONS TOTAL ***			\$55,520.40	\$3,861.09	\$0.00	\$3,861.09		\$3,590.82

OVERRIDE TRANSACTIONS**BROKER: JACK MICHALETZ #287**

10/09/2017	ROSE, DUANE	AB MUNICIPAL INCOME MN A	0.00	37.39	0.00	37.39	0.0	34.77
09/27/2017	ESLINGER, JEREMY	COTTONWOOD MULTIFAMILY REIT II	35,000.00	2,100.00	0.00	2,100.00	0.0	1,953.00
09/27/2017	ESLINGER, JEREMY	COTTONWOOD MULTIFAMILY REIT II	-35,000.00	-2,100.00	0.00	-2,100.00	0.0	-1,953.00
10/13/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.27	0.00	1.27	0.0	1.18
10/13/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.22	0.00	0.22	0.0	0.20
10/13/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.31	0.00	2.31	0.0	2.15
10/13/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.24	0.00	1.24	0.0	1.15
10/13/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.85	0.00	3.85	0.0	3.58
10/13/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.65	0.00	1.65	0.0	1.53
10/13/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.01	0.00	1.01	0.0	0.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/13/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.22	0.00	0.22	0.0	0.20
10/10/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	30.60	0.31	0.00	0.31	0.0	0.29
10/10/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	20.40	0.20	0.00	0.20	0.0	0.19
10/13/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.78	0.00	1.78	0.0	1.66
10/13/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.97	0.00	1.97	0.0	1.83
10/13/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.05
10/13/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	6.92	0.00	6.92	0.0	6.44
10/13/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.47	0.00	5.47	0.0	5.09
10/13/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.80	0.00	1.80	0.0	1.67
10/13/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.01	0.00	3.01	0.0	2.80
10/13/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.70	0.00	4.70	0.0	4.37
10/13/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.03	0.00	2.03	0.0	1.89
10/13/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.60	0.00	0.60	0.0	0.56
10/13/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.28	0.00	1.28	0.0	1.19
10/13/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.07
10/13/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.30	0.00	0.30	0.0	0.28
10/13/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.47	0.00	2.47	0.0	2.30
10/10/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	30.60	0.31	0.00	0.31	0.0	0.29
10/10/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	20.40	0.20	0.00	0.20	0.0	0.19
10/13/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.71	0.00	1.71	0.0	1.59
10/13/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.97	0.00	1.97	0.0	1.83
10/13/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.59	0.00	2.59	0.0	2.41
10/13/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.36	0.00	1.36	0.0	1.26
10/13/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.29	0.00	1.29	0.0	1.20
10/13/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.82	0.00	0.82	0.0	0.76
10/13/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	6.95	0.00	6.95	0.0	6.46
10/13/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.83	0.00	1.83	0.0	1.70
10/13/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.58	0.00	1.58	0.0	1.47
10/13/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	6.95	0.00	6.95	0.0	6.46
10/13/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.10
10/13/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.14	0.00	6.14	0.0	5.71
10/13/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
10/13/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	5.31	0.00	5.31	0.0	4.94

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/02/2017	WOLFE JR, THEODORE	MET LIFE USA SERIES C SHARE	705,947.14	2,206.08	0.00	2,206.08	0.0	2,051.65
10/03/2017	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	4.00	0.00	4.00	0.0	3.72
10/01/2017	URBAN, CURTIS	MFS RESEARCH A	0.00	19.86	0.00	19.86	0.0	18.47
10/01/2017	URBAN, ANDREW	MFS RESEARCH A	0.00	2.20	0.00	2.20	0.0	2.05
10/01/2017	URBAN, CURTIS	MFS RESEARCH B	0.00	15.38	0.00	15.38	0.0	14.30
10/16/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-8.84
10/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
10/16/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-10.23
10/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
10/06/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
10/09/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
10/03/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
10/03/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
10/03/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
10/03/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
10/05/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.60	0.30	0.00	0.30	0.0	0.28
10/05/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.60	0.30	0.00	0.30	0.0	0.28
10/09/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	207.49	2.07	0.00	2.07	0.0	1.93
10/09/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	207.49	2.07	0.00	2.07	0.0	1.93
10/16/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
10/16/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
10/16/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/16/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/16/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/16/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
10/16/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	60.98	0.61	0.00	0.61	0.0	0.57
10/16/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	60.98	0.61	0.00	0.61	0.0	0.57
10/16/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
10/16/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	60.81	0.61	0.00	0.61	0.0	0.57
10/16/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	60.81	0.61	0.00	0.61	0.0	0.57
10/05/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	0.0	2.05
10/05/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	0.0	6.13
10/05/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	64.99	0.65	0.00	0.65	0.0	0.60

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
10/05/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	64.99	0.65	0.00	0.65	0.0	0.60
10/05/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.26
10/05/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.26
10/09/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	265.61	2.66	0.00	2.66	0.0	2.47
10/09/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	265.61	2.66	0.00	2.66	0.0	2.47
10/16/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
10/16/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
10/13/2017	MICHALETZ, VARIOUS-JACK	TRANSAMERICA LIFE	0.00	249.92	0.00	249.92	0.0	232.43
* JACK MICHALETZ SUBTOTAL *			\$710,726.40	\$2,672.99	\$48.00	\$2,624.99		\$2,441.27
*** OVERRIDE TRANSACTIONS TOTAL ***			\$710,726.40	\$2,672.99	\$48.00	\$2,624.99		\$2,441.27

ADJUSTMENTS

10/31/2017		*GLOBAL RELAY: DATA EXTRACTION - SF	0.00					-350.00
10/31/2017		*TECHNOLOGY: ADMIN SARAH REVERSAL	0.00					135.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-215.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$288,643.04
Split Transactions	\$3,590.82
Override Transactions	\$2,441.27
Adjustments	\$-215.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$294,460.13
Year-to-date Gross	\$5,381,892.94
Year-to-date Earnings	\$5,187,873.50