

**COMMISSION STATEMENT****November 15, 2017**

A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132

BRANCH: HERBERT ALSTON

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^10/13/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	7.35	0.00	7.35	100.0	0.00
^10/13/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	1.09	0.00	1.09	100.0	0.00
^10/13/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	4.31	0.00	4.31	100.0	0.00
^10/13/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.64	0.00	13.64	100.0	0.00
^10/13/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.76	0.00	6.76	100.0	0.00
^10/13/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.76	0.00	6.76	100.0	0.00
^10/13/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.83	0.00	3.83	100.0	0.00
^10/13/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.44	0.00	5.44	100.0	0.00
^10/13/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.82	0.00	1.82	100.0	0.00
^10/13/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.74	0.00	2.74	100.0	0.00
^10/24/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	1.17	0.00	1.17	100.0	0.00
^10/13/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	3.03	0.00	3.03	100.0	0.00
^10/13/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	9.99	0.00	9.99	100.0	0.00
^10/24/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	2.00	0.00	2.00	100.0	0.00
^10/19/2017	COHEN, JOEL	WILLISTON BASIN/MID- NORTH AMERICA	0.00	10.41	0.00	10.41	100.0	0.00
^10/19/2017	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	5.37	0.00	5.37	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$85.71	\$0.00	\$85.71		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$85.71	\$0.00	\$85.71		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00