

COMMISSION STATEMENT
January 25, 2018

SYLVIA LUM
209 Broadway Avenue
Millbrae, CA 94030

BROKER #: 73881
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|------------------------------------|--------|------------|----------------------|---------------------|-------------------|--------------------|----------------------|
| <u>Company: Securities America</u> | | | | | | | |

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|--------|--------|---------|--------|---------|
| 20. SAI SIPC PE 1/12/18 | | | | | | | -3.83 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$-3.83 | \$0.00 | \$-3.83 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-3.83 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$-3.83 |
| Year-to-date Earnings | \$1,622.23 |