



COMMISSION STATEMENT

July 16, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|-------------------------------|-------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: LINDA BLACK</u> | | | | | | | | |
| 07/17/2018 | ***-**-33FB - BONNER, RACHEL | MORNINGSTAR ADVISOR FEE | 325.72 | 325.72 | 0.00 | 325.72 | 0.5 | 1.63 |
| | ACCOUNT #: 676575554 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-99FB - TARKINGTON, | MORNINGSTAR ADVISOR FEE | 360.09 | 360.09 | 0.00 | 360.09 | 0.5 | 1.80 |
| | ACCOUNT #: 676589076 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-25IN - MONTGOMERY, | MORNINGSTAR ADVISOR FEE | 255.09 | 255.09 | 0.00 | 255.09 | 0.5 | 1.28 |
| | ACCOUNT #: 676610079 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-31FB - CANTATORE, | MORNINGSTAR ADVISOR FEE | 222.30 | 222.30 | 0.00 | 222.30 | 0.5 | 1.11 |
| | ACCOUNT #: 676618019 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-03FB - THOMAS, DYAN | MORNINGSTAR ADVISOR FEE | 296.34 | 296.34 | 0.00 | 296.34 | 0.5 | 1.48 |
| | ACCOUNT #: 676619921 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-71IN - DEAHL, JOHN | MORNINGSTAR ADVISOR FEE | 235.22 | 235.22 | 0.00 | 235.22 | 0.5 | 1.18 |
| | ACCOUNT #: 676672782 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-35FB - LONGERBEAM, | MORNINGSTAR ADVISOR FEE | 388.95 | 388.95 | 0.00 | 388.95 | 0.5 | 1.94 |
| | ACCOUNT #: 676682065 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-31FB - CANTATORE, | MORNINGSTAR ADVISOR FEE | 387.76 | 387.76 | 0.00 | 387.76 | 0.5 | 1.94 |
| | ACCOUNT #: 676693040 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-337J - SHELL, DAVID | MORNINGSTAR ADVISOR FEE | 226.18 | 226.18 | 0.00 | 226.18 | 0.5 | 1.13 |
| | ACCOUNT #: 676695675 | BUY 0 Units @0.0000 | | | | | | |
| * LINDA BLACK SUBTOTAL * | | | \$2,697.65 | \$2,697.65 | \$0.00 | \$2,697.65 | | \$13.49 |
| <u>BROKER: JON HEDDLESON</u> | | | | | | | | |
| 07/17/2018 | ***-**-14FB - KRASE, MELINDA | AMHERST SCHOOLS | 72.14 | 72.14 | 0.00 | 72.14 | 0.5 | 0.36 |
| | ACCOUNT #: 102892301 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-46FB - TAYLOR, KELLY | AMHERST SCHOOLS | 25.16 | 25.16 | 0.00 | 25.16 | 0.5 | 0.13 |
| | ACCOUNT #: 102892401 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-50FB - ANDERSON, DAVID | AMHERST SCHOOLS | 61.31 | 61.31 | 0.00 | 61.31 | 0.5 | 0.31 |
| | ACCOUNT #: 102897501 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-74FB - ANDERSON, | AMHERST SCHOOLS | 95.09 | 95.09 | 0.00 | 95.09 | 0.5 | 0.48 |
| | ACCOUNT #: 102897601 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-29FB - PECZE, SCOTT | AMHERST SCHOOLS | 39.63 | 39.63 | 0.00 | 39.63 | 0.5 | 0.20 |
| | ACCOUNT #: 102897701 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-06FB - WOLFE, MAUREEN | AMHERST SCHOOLS | 13.36 | 13.36 | 0.00 | 13.36 | 0.5 | 0.07 |
| | ACCOUNT #: 102897801 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: JON HEDDLESON (continued)</u> | | | | | | | | |
| 07/17/2018 | ***-**-60FB - SCHNEIDER, ACCOUNT #: 102940101 | AMHERST SCHOOLS BUY 0 Units @0.0000 | 36.92 | 36.92 | 0.00 | 36.92 | 0.5 | 0.18 |
| 07/17/2018 | ***-**-81FB - HARCULA, LISA ACCOUNT #: 103034101 | AMHERST SCHOOLS BUY 0 Units @0.0000 | 2.24 | 2.24 | 0.00 | 2.24 | 0.5 | 0.01 |
| 07/17/2018 | ***-**-74FB - HARCULA, ROBERT ACCOUNT #: 103034201 | AMHERST SCHOOLS BUY 0 Units @0.0000 | 22.74 | 22.74 | 0.00 | 22.74 | 0.5 | 0.11 |
| 07/17/2018 | ***-**-76FB - SCHENK, LISA ACCOUNT #: 103061801 | AMHERST SCHOOLS BUY 0 Units @0.0000 | 26.46 | 26.46 | 0.00 | 26.46 | 0.5 | 0.13 |
| 07/17/2018 | ***-**-14IN - HOBER, GIA ACCOUNT #: 103127901 | AMHERST SCHOOLS BUY 0 Units @0.0000 | 34.55 | 34.55 | 0.00 | 34.55 | 0.5 | 0.17 |
| 07/17/2018 | ***-**-1850 - JARMUSZ, MEGAN ACCOUNT #: 103297701 | AMHERST SCHOOLS BUY 0 Units @0.0000 | 1.26 | 1.26 | 0.00 | 1.26 | 0.5 | 0.01 |
| 07/17/2018 | ***-**-56FB - TOMER, ANDREA ACCOUNT #: 102874901 | BARBERTON CITY SCHOOL DISTRICT BUY 0 Units @0.0000 | 3.24 | 3.24 | 0.00 | 3.24 | 0.5 | 0.02 |
| 07/17/2018 | ***-**-51FB - GINLEY, ERIN ACCOUNT #: 102700001 | CLOVERLEAF LOCAL SCHOOLS BUY 0 Units @0.0000 | 20.24 | 20.24 | 0.00 | 20.24 | 0.5 | 0.10 |
| 07/17/2018 | ***-**-74FB - COLLUM, LEANNE ACCOUNT #: 102700101 | CLOVERLEAF LOCAL SCHOOLS BUY 0 Units @0.0000 | 78.56 | 78.56 | 0.00 | 78.56 | 0.5 | 0.39 |
| 07/17/2018 | ***-**-90FB - URSEM-FRUIT, MARK ACCOUNT #: 102798201 | CLOVERLEAF LOCAL SCHOOLS BUY 0 Units @0.0000 | 5.30 | 5.30 | 0.00 | 5.30 | 0.5 | 0.03 |
| 07/17/2018 | ***-**-25FB - ROCKHOLD, MARK ACCOUNT #: 102835401 | CLOVERLEAF LOCAL SCHOOLS BUY 0 Units @0.0000 | 20.35 | 20.35 | 0.00 | 20.35 | 0.5 | 0.10 |
| 07/17/2018 | ***-**-80FB - DALESSANDRO, ACCOUNT #: 103041501 | CLOVERLEAF LOCAL SCHOOLS BUY 0 Units @0.0000 | 39.31 | 39.31 | 0.00 | 39.31 | 0.5 | 0.20 |
| 07/17/2018 | ***-**-12FB - HEWIS, KRISTINA ACCOUNT #: 102814301 | EUCLID CITY SCHOOLS BUY 0 Units @0.0000 | 47.55 | 47.55 | 0.00 | 47.55 | 0.5 | 0.24 |
| 07/17/2018 | ***-**-81FB - SHAMBLIN, ACCOUNT #: 102827001 | EUCLID CITY SCHOOLS BUY 0 Units @0.0000 | 26.04 | 26.04 | 0.00 | 26.04 | 0.5 | 0.13 |
| 07/17/2018 | ***-**-76FB - MADENFORD, ACCOUNT #: 102866101 | GREEN LOCAL SCHOOL DISTRICT BUY 0 Units @0.0000 | 42.36 | 42.36 | 0.00 | 42.36 | 0.5 | 0.21 |
| 07/17/2018 | ***-**-10FB - RADDISH, CHARLEE ACCOUNT #: 102907801 | GREEN LOCAL SCHOOL DISTRICT BUY 0 Units @0.0000 | 31.86 | 31.86 | 0.00 | 31.86 | 0.5 | 0.16 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: JON HEDDLESON (continued)</u> | | | | | | | | |
| 07/17/2018 | ***-**-60FB - BINNS, THERESA | GREEN LOCAL SCHOOL DISTRICT | 29.39 | 29.39 | 0.00 | 29.39 | 0.5 | 0.15 |
| | ACCOUNT #: 102910201 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-24FB - MADENFORT, | GREEN LOCAL SCHOOL DISTRICT | 5.76 | 5.76 | 0.00 | 5.76 | 0.5 | 0.03 |
| | ACCOUNT #: 102989501 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-58FB - STECKER, LACEY | GREEN LOCAL SCHOOL DISTRICT | 2.09 | 2.09 | 0.00 | 2.09 | 0.5 | 0.01 |
| | ACCOUNT #: 103050801 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-47FB - DOLATA, TANYA | HIGHLAND LOCAL SCHOOLS | 35.09 | 35.09 | 0.00 | 35.09 | 0.5 | 0.18 |
| | ACCOUNT #: 102803501 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-49FB - VALENTASIS, | HIGHLAND LOCAL SCHOOLS | 62.26 | 62.26 | 0.00 | 62.26 | 0.5 | 0.31 |
| | ACCOUNT #: 102803701 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-54FB - BOND, LINDSAY | HIGHLAND LOCAL SCHOOLS | 9.48 | 9.48 | 0.00 | 9.48 | 0.5 | 0.05 |
| | ACCOUNT #: 102920601 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-31FB - WATERS, MONIQUE | HIGHLAND LOCAL SCHOOLS | 1.71 | 1.71 | 0.00 | 1.71 | 0.5 | 0.01 |
| | ACCOUNT #: 103182501 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-08FB - KISH, MAURA | MAYFIELD CITY SCHOOL DISTRICT | 62.95 | 62.95 | 0.00 | 62.95 | 0.5 | 0.31 |
| | ACCOUNT #: 102706601 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-83FB - LUBIN, MATTHEW | MAYFIELD CITY SCHOOL DISTRICT | 83.03 | 83.03 | 0.00 | 83.03 | 0.5 | 0.42 |
| | ACCOUNT #: 102707601 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-10FB - MARCIANO, KATE | MAYFIELD CITY SCHOOL DISTRICT | 17.45 | 17.45 | 0.00 | 17.45 | 0.5 | 0.09 |
| | ACCOUNT #: 102710901 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-79FB - SAUNDERS, | MAYFIELD CITY SCHOOL DISTRICT | 19.87 | 19.87 | 0.00 | 19.87 | 0.5 | 0.10 |
| | ACCOUNT #: 102712201 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-59FB - GOLEM, ALLISON | MAYFIELD CITY SCHOOL DISTRICT | 48.89 | 48.89 | 0.00 | 48.89 | 0.5 | 0.24 |
| | ACCOUNT #: 102802001 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-70FB - ELLIS, MICHAEL | MAYFIELD CITY SCHOOL DISTRICT | 8.78 | 8.78 | 0.00 | 8.78 | 0.5 | 0.04 |
| | ACCOUNT #: 102808201 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-70FB - FINI-SANSON, | MAYFIELD CITY SCHOOL DISTRICT | 29.10 | 29.10 | 0.00 | 29.10 | 0.5 | 0.15 |
| | ACCOUNT #: 102809101 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-67FB - BECERRA, BEN | MAYFIELD CITY SCHOOL DISTRICT | 2.95 | 2.95 | 0.00 | 2.95 | 0.5 | 0.01 |
| | ACCOUNT #: 102846601 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-14FB - WESTFALL, EMILY | MAYFIELD CITY SCHOOL DISTRICT | 1.17 | 1.17 | 0.00 | 1.17 | 0.5 | 0.01 |
| | ACCOUNT #: 103081701 | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: JON HEDDLESON (continued) </u> | | | | | | | | |
| 07/17/2018 | ***-**-18FB - EBERHARDT, TODD | OLMSTED FALLS CITY SCHOOLS | 86.64 | 86.64 | 0.00 | 86.64 | 0.5 | 0.43 |
| | ACCOUNT #: 102881801 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-92FB - TROMBA, HEATHER | PERRY LOCAL SD | 24.83 | 24.83 | 0.00 | 24.83 | 0.5 | 0.12 |
| | ACCOUNT #: 102700801 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-65FB - TRAINA, KATHLEEN | PERRY LOCAL SD | 45.75 | 45.75 | 0.00 | 45.75 | 0.5 | 0.23 |
| | ACCOUNT #: 102700901 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-88FB - WARD, PAIGE | PERRY LOCAL SD | 72.69 | 72.69 | 0.00 | 72.69 | 0.5 | 0.36 |
| | ACCOUNT #: 102701001 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-52FB - ROBERTS, TAMARA | PERRY LOCAL SD | 48.79 | 48.79 | 0.00 | 48.79 | 0.5 | 0.24 |
| | ACCOUNT #: 102722801 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-88FB - WEISBARTH, | SHAKER HEIGHTS CITY SD (BOE) | 44.74 | 44.74 | 0.00 | 44.74 | 0.5 | 0.22 |
| | ACCOUNT #: 102898001 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-00FB - DAWSON, DAWN | WESTLAKE CITY SD (BOE) | 114.83 | 114.83 | 0.00 | 114.83 | 0.5 | 0.57 |
| | ACCOUNT #: 102984201 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-07FB - PADO, KRISTEN | WESTLAKE CITY SD (BOE) | 62.18 | 62.18 | 0.00 | 62.18 | 0.5 | 0.31 |
| | ACCOUNT #: 102984301 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-52FB - CLARK, MINDY | WESTLAKE CITY SD (BOE) | 43.77 | 43.77 | 0.00 | 43.77 | 0.5 | 0.22 |
| | ACCOUNT #: 102984501 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-27FB - DIETERICH, DONNA | WESTLAKE CITY SD (BOE) | 85.71 | 85.71 | 0.00 | 85.71 | 0.5 | 0.43 |
| | ACCOUNT #: 102984901 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-93FB - ZUK, LISA | WESTLAKE CITY SD (BOE) | 85.94 | 85.94 | 0.00 | 85.94 | 0.5 | 0.43 |
| | ACCOUNT #: 102985001 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-0759 - ROYCE, BRIDGET | WESTLAKE CITY SD (BOE) | 5.70 | 5.70 | 0.00 | 5.70 | 0.5 | 0.03 |
| | ACCOUNT #: 103152801 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-58FB - MUSSELMAN, | WESTLAKE CITY SD (BOE) | 2.32 | 2.32 | 0.00 | 2.32 | 0.5 | 0.01 |
| | ACCOUNT #: 103303601 | BUY 0 Units @0.0000 | | | | | | |
| * JON HEDDLESON SUBTOTAL * | | | \$1,889.53 | \$1,889.53 | \$0.00 | \$1,889.53 | | \$9.45 |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u> BROKER: SAMUEL BARBER </u> | | | | | | | | |
| 07/17/2018 | ***-**-29FB - MOORE, ERIN | MORNINGSTAR ADVISOR FEE | 76.71 | 76.71 | 0.00 | 76.71 | 0.5 | 0.38 |
| | ACCOUNT #: 330350826 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-24FB - IMBRIALE, DONALD | MORNINGSTAR ADVISOR FEE | 840.15 | 840.15 | 0.00 | 840.15 | 0.5 | 4.20 |
| | ACCOUNT #: 676741062 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-57FB - LINDSEY, HEATHER | MORNINGSTAR ADVISOR FEE | 412.13 | 412.13 | 0.00 | 412.13 | 0.5 | 2.06 |
| | ACCOUNT #: 676743318 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-34FB - RODESCHINI, | MORNINGSTAR ADVISOR FEE | 1.00 | 1.00 | 0.00 | 1.00 | 0.5 | 0.01 |
| | ACCOUNT #: 676745675 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-04FB - WARD, DANIELLE | MORNINGSTAR ADVISOR FEE | 196.84 | 196.84 | 0.00 | 196.84 | 0.5 | 0.98 |
| | ACCOUNT #: 676746221 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-31FB - BARNARD, | MORNINGSTAR ADVISOR FEE | 512.99 | 512.99 | 0.00 | 512.99 | 0.5 | 2.56 |
| | ACCOUNT #: 676749188 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-45FB - RODESCHINI, LISA | MORNINGSTAR ADVISOR FEE | 129.62 | 129.62 | 0.00 | 129.62 | 0.5 | 0.65 |
| | ACCOUNT #: 676753759 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-74IO - BRENNAN, JOHN | MORNINGSTAR ADVISOR FEE | 615.41 | 615.41 | 0.00 | 615.41 | 0.5 | 3.08 |
| | ACCOUNT #: 676784503 | BUY 0 Units @0.0000 | | | | | | |
| * SAMUEL BARBER SUBTOTAL * | | | \$2,784.85 | \$2,784.85 | \$0.00 | \$2,784.85 | | \$13.92 |
| <u> BROKER: GARRETT MORETZ </u> | | | | | | | | |
| 07/16/2018 | ***-**-36CL - MORETZ, CLIENTS | EQIS ADVISOR FEES | 0.00 | 1,208.48 | 0.00 | 1,208.48 | 0.5 | 6.04 |
| | | BUY 1 Units @0.0000 | | | | | | |
| 07/16/2018 | ***-**-36CL - MORETZ, CLIENTS | EQIS ADVISOR FEES | 0.00 | 81.72 | 0.00 | 81.72 | 0.5 | 0.41 |
| | | BUY 1 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-86FB - ARRINGTON, ROGER | EQIS ADVISOR FEES | 85.89 | 85.89 | 0.00 | 85.89 | 0.5 | 0.43 |
| | ACCOUNT #: RH0813100D | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-86FB - ARRINGTON, ROGER | EQIS ADVISOR FEES | 68.39 | 68.39 | 0.00 | 68.39 | 0.5 | 0.34 |
| | ACCOUNT #: RH24513009 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-71FB - BEDDOW, DONALD | EQIS ADVISOR FEES | 107.83 | 107.83 | 0.00 | 107.83 | 0.5 | 0.54 |
| | ACCOUNT #: RE1889900Z | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-71FB - BEDDOW, DONALD | EQIS ADVISOR FEES | 40.84 | 40.84 | 0.00 | 40.84 | 0.5 | 0.20 |
| | ACCOUNT #: RE1910700J | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-40FB - BOHNAKER, ANGELA | EQIS ADVISOR FEES | 41.10 | 41.10 | 0.00 | 41.10 | 0.5 | 0.21 |
| | ACCOUNT #: RC6490700F | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 07/17/2018 | ***-**-95FB - BROWN, SUSAN | EQIS ADVISOR FEES | 81.57 | 81.57 | 0.00 | 81.57 | 0.5 | 0.41 |
| | ACCOUNT #: RD9347700L | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-57FB - CAMERY, DALE | EQIS ADVISOR FEES | 84.33 | 84.33 | 0.00 | 84.33 | 0.5 | 0.42 |
| | ACCOUNT #: RD90457005 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-57FB - CAMERY, DALE | EQIS ADVISOR FEES | 173.99 | 173.99 | 0.00 | 173.99 | 0.5 | 0.87 |
| | ACCOUNT #: RD9898400H | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-71FB - CARPENTIER, | EQIS ADVISOR FEES | 26.81 | 26.81 | 0.00 | 26.81 | 0.5 | 0.13 |
| | ACCOUNT #: RC9591500B | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-77FB - CLAYTON, HORACE | EQIS ADVISOR FEES | 179.46 | 179.46 | 0.00 | 179.46 | 0.5 | 0.90 |
| | ACCOUNT #: RD9998300X | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-68FB - CLAYTON, LINDA | EQIS ADVISOR FEES | 40.59 | 40.59 | 0.00 | 40.59 | 0.5 | 0.20 |
| | ACCOUNT #: RE0183300B | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-10FB - CLINGON, BILLY | EQIS ADVISOR FEES | 28.25 | 28.25 | 0.00 | 28.25 | 0.5 | 0.14 |
| | ACCOUNT #: RE18633003 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-10FB - CLINGON, BILLY | EQIS ADVISOR FEES | 37.72 | 37.72 | 0.00 | 37.72 | 0.5 | 0.19 |
| | ACCOUNT #: RE3069500F | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-10FB - CLINGON, BILLY | EQIS ADVISOR FEES | 42.19 | 42.19 | 0.00 | 42.19 | 0.5 | 0.21 |
| | ACCOUNT #: RH0640000T | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-36FB - CLINGON, KAREN | EQIS ADVISOR FEES | 36.23 | 36.23 | 0.00 | 36.23 | 0.5 | 0.18 |
| | ACCOUNT #: RE3070500V | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-58FB - CLYMER, DEFOREST | EQIS ADVISOR FEES | 9.28 | 9.28 | 0.00 | 9.28 | 0.5 | 0.05 |
| | ACCOUNT #: RB48104005 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-41FB - COOPER, JOHN | EQIS ADVISOR FEES | 32.98 | 32.98 | 0.00 | 32.98 | 0.5 | 0.16 |
| | ACCOUNT #: RD5958000D | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-01FB - DAGENHART, JAMES | EQIS ADVISOR FEES | 53.07 | 53.07 | 0.00 | 53.07 | 0.5 | 0.27 |
| | ACCOUNT #: RD06254009 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-01FB - DAGENHART, JAMES | EQIS ADVISOR FEES | 45.25 | 45.25 | 0.00 | 45.25 | 0.5 | 0.23 |
| | ACCOUNT #: RD0777800L | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-21FB - DAVIS, SIMONE | EQIS ADVISOR FEES | 236.34 | 236.34 | 0.00 | 236.34 | 0.5 | 1.18 |
| | ACCOUNT #: RD9797800H | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-26FB - FARZALO, STEVE | EQIS ADVISOR FEES | 14.85 | 14.85 | 0.00 | 14.85 | 0.5 | 0.07 |
| | ACCOUNT #: RG9748700J | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

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HOME OFFICE PASCALE

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BRANCH #01001 - LifeMark Home Office

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|---|--------------------------------|---------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 07/17/2018 | ***-**-34FB - FOWLER, MILTON | EQIS ADVISOR FEES | 137.97 | 137.97 | 0.00 | 137.97 | 0.5 | 0.69 |
| | ACCOUNT #: RH1017400L | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-32FB - FRAZIER, WILLIAM | EQIS ADVISOR FEES | 6.30 | 6.30 | 0.00 | 6.30 | 0.5 | 0.03 |
| | ACCOUNT #: RB33734009 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-32FB - FRAZIER, WILLIAM | EQIS ADVISOR FEES | 30.68 | 30.68 | 0.00 | 30.68 | 0.5 | 0.15 |
| | ACCOUNT #: RB3372800H | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-68FB - GLAUDIN, EDDY | EQIS ADVISOR FEES | 29.67 | 29.67 | 0.00 | 29.67 | 0.5 | 0.15 |
| | ACCOUNT #: RB8331200P | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-39FB - GRINDLE, ROBERT | EQIS ADVISOR FEES | 124.06 | 124.06 | 0.00 | 124.06 | 0.5 | 0.62 |
| | ACCOUNT #: RE0934000F | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-77FB - HARRIS, | EQIS ADVISOR FEES | 48.10 | 48.10 | 0.00 | 48.10 | 0.5 | 0.24 |
| | ACCOUNT #: RE25241003 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-77FB - HARRIS, | EQIS ADVISOR FEES | 130.22 | 130.22 | 0.00 | 130.22 | 0.5 | 0.65 |
| | ACCOUNT #: RE3069400N | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-48FB - HEFNER, EULA | EQIS ADVISOR FEES | 23.86 | 23.86 | 0.00 | 23.86 | 0.5 | 0.12 |
| | ACCOUNT #: RH0641100H | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-03FB - HINES, WALTER | EQIS ADVISOR FEES | 21.58 | 21.58 | 0.00 | 21.58 | 0.5 | 0.11 |
| | ACCOUNT #: RD57517005 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-84FB - HOLDER, DERRICK | EQIS ADVISOR FEES | 115.61 | 115.61 | 0.00 | 115.61 | 0.5 | 0.58 |
| | ACCOUNT #: RA4189700Z | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-84FB - HOLDER, DERRICK | EQIS ADVISOR FEES | 56.11 | 56.11 | 0.00 | 56.11 | 0.5 | 0.28 |
| | ACCOUNT #: RA41927007 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-45FB - HULL, DEBORAH | EQIS ADVISOR FEES | 14.91 | 14.91 | 0.00 | 14.91 | 0.5 | 0.07 |
| | ACCOUNT #: RG98804003 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-13FB - HULL, GARY | EQIS ADVISOR FEES | 67.88 | 67.88 | 0.00 | 67.88 | 0.5 | 0.34 |
| | ACCOUNT #: RE2367800J | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-98FB - HUNTER, JEFFREY | EQIS ADVISOR FEES | 17.82 | 17.82 | 0.00 | 17.82 | 0.5 | 0.09 |
| | ACCOUNT #: RH0076800D | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-26FB - KOMINEK, DAVID | EQIS ADVISOR FEES | 115.24 | 115.24 | 0.00 | 115.24 | 0.5 | 0.58 |
| | ACCOUNT #: RD9045800X | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-27FB - KULIKOWSKI, SARA | EQIS ADVISOR FEES | 11.51 | 11.51 | 0.00 | 11.51 | 0.5 | 0.06 |
| | ACCOUNT #: RC4594100B | BUY 0 Units @0.0000 | | | | | | |



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|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 07/17/2018 | ***-**-88FB - LITTLETON, ACCOUNT #: RB0358400H | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 41.48 | 41.48 | 0.00 | 41.48 | 0.5 | 0.21 |
| 07/17/2018 | ***-**-94FB - MARQUIS, ALAN ACCOUNT #: RE3062300N | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 41.30 | 41.30 | 0.00 | 41.30 | 0.5 | 0.21 |
| 07/17/2018 | ***-**-65FB - MCINTYRE, SHARON ACCOUNT #: RD90434001 | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 37.92 | 37.92 | 0.00 | 37.92 | 0.5 | 0.19 |
| 07/17/2018 | ***-**-65FB - MCINTYRE, SHARON ACCOUNT #: RD9897700X | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 88.79 | 88.79 | 0.00 | 88.79 | 0.5 | 0.44 |
| 07/17/2018 | ***-**-77FB - MCKAIG, MARK ACCOUNT #: RD5247000H | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 20.82 | 20.82 | 0.00 | 20.82 | 0.5 | 0.10 |
| 07/17/2018 | ***-**-77FB - MCKAIG, MARK ACCOUNT #: RD52495005 | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 19.78 | 19.78 | 0.00 | 19.78 | 0.5 | 0.10 |
| 07/17/2018 | ***-**-59FB - MCKAIG, PATRICIA ACCOUNT #: RD5092500P | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 62.02 | 62.02 | 0.00 | 62.02 | 0.5 | 0.31 |
| 07/17/2018 | ***-**-59FB - MCKAIG, PATRICIA ACCOUNT #: RD5249700P | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 14.26 | 14.26 | 0.00 | 14.26 | 0.5 | 0.07 |
| 07/17/2018 | ***-**-64FB - MERLO, RALPH ACCOUNT #: RB5869400H | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 44.03 | 44.03 | 0.00 | 44.03 | 0.5 | 0.22 |
| 07/17/2018 | ***-**-64FB - MERLO, RALPH ACCOUNT #: RB5866400T | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 10.67 | 10.67 | 0.00 | 10.67 | 0.5 | 0.05 |
| 07/17/2018 | ***-**-70FB - FERRELL, ACCOUNT #: RB50708009 | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 52.30 | 52.30 | 0.00 | 52.30 | 0.5 | 0.26 |
| 07/17/2018 | ***-**-759I - MERRILL, SARA ACCOUNT #: RB5875400D | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 24.54 | 24.54 | 0.00 | 24.54 | 0.5 | 0.12 |
| 07/17/2018 | ***-**-58FB - NELSON-GAINES, ACCOUNT #: RH0166600L | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 13.28 | 13.28 | 0.00 | 13.28 | 0.5 | 0.07 |
| 07/17/2018 | ***-**-06FB - PARSONS, CLARE ACCOUNT #: RG9534800V | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 69.53 | 69.53 | 0.00 | 69.53 | 0.5 | 0.35 |
| 07/17/2018 | ***-**-06FB - PARSONS, CLARE ACCOUNT #: RG9885700V | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 23.38 | 23.38 | 0.00 | 23.38 | 0.5 | 0.12 |
| 07/17/2018 | ***-**-77FB - PECK, GORDON ACCOUNT #: RE14731003 | EQIS ADVISOR FEES BUY 0 Units @0.0000 | 33.82 | 33.82 | 0.00 | 33.82 | 0.5 | 0.17 |



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|--|--------------------------------|---------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 07/17/2018 | ***-**-98FB - PFYFFER, ALBERT | EQIS ADVISOR FEES | 40.05 | 40.05 | 0.00 | 40.05 | 0.5 | 0.20 |
| | ACCOUNT #: RE1500600F | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-43FB - RODES, KENNETH | EQIS ADVISOR FEES | 88.39 | 88.39 | 0.00 | 88.39 | 0.5 | 0.44 |
| | ACCOUNT #: RE1686200F | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-13FB - RUSSELL, MARK | EQIS ADVISOR FEES | 32.79 | 32.79 | 0.00 | 32.79 | 0.5 | 0.16 |
| | ACCOUNT #: RG9722700B | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-51FB - SCHMITT, CAROL | EQIS ADVISOR FEES | 77.43 | 77.43 | 0.00 | 77.43 | 0.5 | 0.39 |
| | ACCOUNT #: RD9797400D | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-67FB - SCHMITT, EDWARD | EQIS ADVISOR FEES | 15.56 | 15.56 | 0.00 | 15.56 | 0.5 | 0.08 |
| | ACCOUNT #: RE0237700Z | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-52FB - SCHNABEL, | EQIS ADVISOR FEES | 71.19 | 71.19 | 0.00 | 71.19 | 0.5 | 0.36 |
| | ACCOUNT #: RH00769005 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-94FB - SWAN, ANDREW | EQIS ADVISOR FEES | 35.35 | 35.35 | 0.00 | 35.35 | 0.5 | 0.18 |
| | ACCOUNT #: RE0718400R | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-97FB - SWAN, MELANIE | EQIS ADVISOR FEES | 24.57 | 24.57 | 0.00 | 24.57 | 0.5 | 0.12 |
| | ACCOUNT #: RE0718300Z | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-38FB - TOLLIVER, GLORIA | EQIS ADVISOR FEES | 6.83 | 6.83 | 0.00 | 6.83 | 0.5 | 0.03 |
| | ACCOUNT #: RD5984200P | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-29FB - TOLLIVER, SHANE | EQIS ADVISOR FEES | 8.95 | 8.95 | 0.00 | 8.95 | 0.5 | 0.04 |
| | ACCOUNT #: RD95555005 | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-66FB - WHITE, BARBARA | EQIS ADVISOR FEES | 5.70 | 5.70 | 0.00 | 5.70 | 0.5 | 0.03 |
| | ACCOUNT #: RE0718500J | BUY 0 Units @0.0000 | | | | | | |
| 07/17/2018 | ***-**-72FB - YOUNG, TAMMY | EQIS ADVISOR FEES | 179.64 | 179.64 | 0.00 | 179.64 | 0.5 | 0.90 |
| | ACCOUNT #: RH03043009 | BUY 0 Units @0.0000 | | | | | | |
| * GARRETT MORETZ SUBTOTAL * | | | \$3,602.85 | \$4,893.05 | \$0.00 | \$4,893.05 | | \$24.46 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$10,974.88 | \$12,265.08 | \$0.00 | \$12,265.08 | | \$61.32 |



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|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$61.32 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$2.03 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$63.35 |
| Year-to-date Earnings | \$8,667.38 |