



# COMMISSION STATEMENT

## July 02, 2018

DAVID GRIFFITH  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/18/2018	***-**-817I - WADSWORTH, ACCOUNT #: 04715332428	ALLIANCE BERNSTEIN LARGE-CAP GROWTH BUY 1 Units @39.5800	50.00	2.00	0.00	2.00	88.0	1.76
06/20/2018	***-**-686I - STRUMPFLE, MARK ACCOUNT #: 00060352385	MFS GLOBAL TOTAL RETURN FUND-B BUY 4 Units @17.9800	75.00	3.00	0.00	3.00	88.0	2.64
* MUTUAL FUNDS SUBTOTAL *			<b>\$125.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$4.40</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/25/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	COVANTA HLDG CORP COM SELL 300 Units @17.0500	5,115.00	77.49	21.00	56.49	88.0	49.71
06/25/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	COVANTA HLDG CORP COM SELL 250 Units @17.1000	4,275.00	77.49	20.00	57.49	88.0	50.59
06/27/2018	***-**-58IN - SCHRECK, RYAN ACCOUNT #: LSR010873	DENBURY RES INC DEL COM USD0.001 SELL 600 Units @4.8800	2,928.00	59.99	27.00	32.99	88.0	29.03
06/27/2018	***-**-361J - ALOISIO, ACCOUNT #: LSR010886	DENBURY RES INC DEL COM USD0.001 SELL 1000 Units @4.9200	4,920.00	97.49	35.00	62.49	88.0	54.99
06/27/2018	***-**-034J - NIKODEM, JOSEPH ACCOUNT #: LSR010887	DENBURY RES INC DEL COM USD0.001 SELL 1000 Units @4.9000	4,900.00	97.49	35.00	62.49	88.0	54.99
06/27/2018	***-**-60IN - SCHRADER, KEVIN ACCOUNT #: LSR010897	DENBURY RES INC DEL COM USD0.001 SELL 1000 Units @4.8900	4,890.00	97.59	35.00	62.59	88.0	55.08
06/27/2018	***-**-69IR - PERRITANO, SUSAN ACCOUNT #: LSR010925	DENBURY RES INC DEL COM USD0.001 SELL 1000 Units @4.8900	4,890.00	97.49	35.00	62.49	88.0	54.99
06/27/2018	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	DENBURY RES INC DEL COM USD0.001 SELL 911 Units @4.8900	4,454.79	77.49	33.22	44.27	88.0	38.96
06/28/2018	***-**-804I - RIEBEN, ANNE ACCOUNT #: LSR010877	DENBURY RES INC DEL COM USD0.001 SELL 600 Units @4.9400	2,964.00	57.49	27.00	30.49	88.0	26.83
06/28/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	DENBURY RES INC DEL COM USD0.001 SELL 700 Units @4.8200	3,374.00	67.49	29.00	38.49	88.0	33.87
06/28/2018	***-**-01IN - HAGAN III, ACCOUNT #: LSR011014	HESS CORP COM SELL 84 Units @65.5800	5,508.72	87.49	16.68	70.81	88.0	62.31
06/28/2018	***-**-05IN - HULL, JEFFERY ACCOUNT #: LSR011187	NORDIC AMERICAN TANKERS LIMITE COM BUY 1200 Units @2.6200	3,144.00	59.99	39.00	20.99	88.0	18.47
06/28/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	NORDIC AMERICAN TANKERS LIMITE COM BUY 1000 Units @2.6200	2,620.00	49.99	35.00	14.99	88.0	13.19



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
06/28/2018	***-**-80IN - GRIFFITH, DAVID	STEIN MART INC COM	7,470.00	9.99	8.99	1.00	88.0	0.88
	ACCOUNT #: LSF000286	BUY 3000 Units @2.4900						
06/28/2018	***-**-656I - SMOLA, STANLEY	STEIN MART INC COM	2,510.00	57.49	18.00	39.49	88.0	34.75
	ACCOUNT #: LSR010868	BUY 1000 Units @2.5100						
06/28/2018	***-**-60IN - SCHRADER, KEVIN	STEIN MART INC COM	7,500.00	137.49	18.00	119.49	88.0	105.15
	ACCOUNT #: LSR010897	BUY 3000 Units @2.5000						
06/28/2018	***-**-01IN - HAGAN III,	STEIN MART INC COM	3,000.00	49.99	18.00	31.99	88.0	28.15
	ACCOUNT #: LSR011014	BUY 1200 Units @2.5000						
06/28/2018	***-**-05IN - HULL, JEFFERY	STEIN MART INC COM	7,440.00	147.49	18.00	129.49	88.0	113.95
	ACCOUNT #: LSR011187	BUY 3000 Units @2.4800						
06/28/2018	***-**-510I - PEREZ, STACEY	STEIN MART INC COM	4,880.00	57.49	18.00	39.49	88.0	34.75
	ACCOUNT #: LSR011827	BUY 2000 Units @2.4400						
06/28/2018	***-**-76IN - BOSHART-LOWE,	STEIN MART INC COM	3,705.00	77.49	18.00	59.49	88.0	52.35
	ACCOUNT #: LSR011840	BUY 1500 Units @2.4700						
* STOCKS SUBTOTAL *			\$90,488.51	\$1,542.40	\$504.89	\$1,037.51		\$912.99
<b>PRODUCT CATEGORY: 529 PLAN</b>								
06/29/2018	***-**-0862 - REDMOND, EILEEN	NY 529 ADDITIONAL DEPOSIT	75.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: 77172702501	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$75.00	\$0.75	\$0.00	\$0.75		\$0.66
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/02/2018	***-**-32IN - CROYLE, MICAH	FIDELITY CASH MM	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR010894	BUY 1 Units @0.0000						
07/02/2018	***-**-580I - GRIFFITH, DAVID	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSF000292	BUY 1 Units @0.0000						
07/02/2018	***-**-0040 - GRIFFITH,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSF000293	BUY 1 Units @0.0000						
07/02/2018	***-**-580I - GRIFFITH, DAVID	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSF000295	BUY 1 Units @0.0000						
07/02/2018	***-**-54IN - THIBAUT, MS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011080	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/02/2018	***-**-29IN - THIBAUT,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011094	BUY 1 Units @0.0000						
07/02/2018	***-**-698J - BAILEY, MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011097	BUY 1 Units @0.0000						
07/02/2018	***-**-77IR - MARRONE, FBO MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011158	BUY 1 Units @0.0000						
06/28/2018	***-**-05IR - HULL, JEFF	MANNING & NAPIER PRO NFS	5,293.20	19.99	0.00	19.99	88.0	17.59
	ACCOUNT #: LSR011534	SELL 523 Units @10.1300						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$5,293.20</b>	<b>\$19.99</b>	<b>\$0.00</b>	<b>\$19.99</b>		<b>\$17.59</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$95,981.71</b>	<b>\$1,568.14</b>	<b>\$504.89</b>	<b>\$1,063.25</b>		<b>\$935.64</b>

### OVERRIDE TRANSACTIONS

#### BROKER: KURT GRUENEWALD

06/29/2018	***-**-86IN - LEE, KEVIN	ALLIANZ TRAILS 018812727	0.00	67.81	0.00	67.81	88.0	59.67
	ACCOUNT #: GAX00761	BUY 0 Units @0.0000						
06/22/2018	***-**-726I - YADDAW, DEBRA	AMERICAN GLOBAL BALANCED CL C	20,000.00	200.00	0.00	200.00	88.0	176.00
	ACCOUNT #: LSR012338	BUY 623 Units @32.0900						
06/26/2018	***-**-726I - YADDAW, DEBRA	BERKSHIRE HATHAWAY INC DEL CL B NEW	9,362.50	93.62	0.00	93.62	88.0	82.39
	ACCOUNT #: LSR012338	BUY 50 Units @187.2500						
07/02/2018	***-**-6604 - REYNOLDS, DIANE	BLACKROCK TRAILS	0.00	4.72	0.00	4.72	88.0	4.15
	ACCOUNT #: 5290810273	BUY 0 Units @0.0000						
07/02/2018	***-**-959I - FRANZ, PAUL	BLACKROCK US OPPORT CL A	0.00	0.39	0.00	0.39	88.0	0.34
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
06/28/2018	***-**-930J - SANGER, KEVIN	BLOCK H & R INC	4,554.00	58.49	0.00	58.49	88.0	51.47
	ACCOUNT #: LSR010940	BUY 200 Units @22.7700						
06/25/2018	***-**-726I - YADDAW, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN	12,500.00	125.00	0.00	125.00	88.0	110.00
	ACCOUNT #: LSR012338	BUY 250 Units @50.0000						
06/25/2018	***-**-960J - MASTRANGELO,	CREDIT SUISSE GROUP SPON ADR-REP 1	3,494.07	50.33	0.00	50.33	88.0	44.29
	ACCOUNT #: LSR010966	SELL 233 Units @14.9960						
06/27/2018	***-**-15IN - PENDRAK, JOHN	DENBURY RES INC DEL COM USD0.001	2,450.00	32.49	0.00	32.49	88.0	28.59
	ACCOUNT #: LSR010948	SELL 500 Units @4.9000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> BROKER: KURT GRUENEWALD (continued)</b>								
06/26/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	EATON VANCE FLOATING RATE ADVANTAGE BUY 689 Units @10.8900	7,500.00	75.00	0.00	75.00	88.0	66.00
07/02/2018	***-**-32IO - WITT, DEBORAH ACCOUNT #: LSR012285	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	88.0	0.50
06/25/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	FUNDAMENTAL INVESTORS-C BUY 202 Units @61.8700	12,500.03	125.00	0.00	125.00	88.0	110.00
06/22/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	GLOBAL OPPORTUNITIES FUND C BUY 158 Units @63.4800	10,000.00	100.00	0.00	100.00	88.0	88.00
06/27/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	GLOBAL OPPORTUNITIES FUND C BUY 164 Units @61.1400	10,000.00	100.00	0.00	100.00	88.0	88.00
06/27/2018	***-**-35IN - RUGGIERO, LAURIE ACCOUNT #: LSR012314	GLOBAL OPPORTUNITIES FUND C BUY 164 Units @61.1400	10,000.00	100.00	0.00	100.00	88.0	88.00
06/25/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	INCOME FUND OF AMERICA CLASS C BUY 671 Units @22.3400	14,999.99	150.00	0.00	150.00	88.0	132.00
06/28/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	INTEL CORP SOLICITED ORDER BUY 100 Units @49.2530	4,925.30	30.75	0.00	30.75	88.0	27.06
06/28/2018	***-**-930J - SANGER, KEVIN ACCOUNT #: LSR010940	INTEL CORP SOLICITED ORDER BUY 100 Units @49.2600	4,926.00	48.75	0.00	48.75	88.0	42.90
06/26/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	LORD ABBETT SHORT DURATION INCOME C BUY 2375 Units @4.2100	10,000.00	100.00	0.00	100.00	88.0	88.00
06/28/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	LOXO ONCOLOGY INC SELL 50 Units @169.0000	8,450.00	86.50	0.00	86.50	88.0	76.12
06/22/2018	***-**-06IN - SATTERLY, ACCOUNT #: 08191336006	MFS 08191336006 BUY 3 Units @31.5600	100.00	1.00	0.00	1.00	88.0	0.88
06/22/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	MFS CONSERVATIVE ALLOCATION CL C BUY 978 Units @15.3400	15,000.00	150.00	0.00	150.00	88.0	132.00
06/15/2018	***-**-213I - GRUENEWALD, ACCOUNT #: 08190131132	MFS GLOBAL NEW DISCOVERY FUND CL B BUY 5 Units @20.0200	100.00	4.00	0.00	4.00	88.0	3.52
06/18/2018	***-**-53RI - FALCHI, VALERIE ACCOUNT #: 08191172002	MFS GLOBAL TOTAL RETURN FUND-B BUY 4 Units @18.0400	75.00	3.00	0.00	3.00	88.0	2.64
06/20/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS GLOBAL TOTAL RETURN FUND-B BUY 3 Units @17.9800	50.00	2.00	0.00	2.00	88.0	1.76



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
06/25/2018	***-**-094I - KATRENA L ACCOUNT #: 08191140345	MFS GLOBAL TOTAL RETURN FUND-B BUY 3 Units @17.9000	50.00	2.00	0.00	2.00	88.0	1.76
06/20/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @34.3400	50.00	2.00	0.00	2.00	88.0	1.76
06/15/2018	***-**-213I - GRUENEWALD, ACCOUNT #: 08190131132	MFS NEW DISCOVERY FUND-B BUY 4 Units @25.9300	100.00	4.00	0.00	4.00	88.0	3.52
06/22/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	MFS TECHNOLOGY CLASS C BUY 223 Units @38.0500	8,499.99	85.00	0.00	85.00	88.0	74.80
06/15/2018	***-**-213I - GRUENEWALD, ACCOUNT #: 08190131132	MFS TECHNOLOGY FUND-B BUY 3 Units @38.5900	100.00	4.00	0.00	4.00	88.0	3.52
06/20/2018	***-**-06IN - SATTERLY, ACCOUNT #: 08191173302	MFS TECHNOLOGY FUND-B BUY 1 Units @38.8400	50.00	2.00	0.00	2.00	88.0	1.76
06/15/2018	***-**-094I - CIRILLO, CARL ACCOUNT #: 08190127967	MFS UTILITIES FUND-B BUY 2 Units @19.9500	35.00	1.40	0.00	1.40	88.0	1.23
06/15/2018	***-**-606I - LEWIS, TAMARA ACCOUNT #: 08191352846	MFS UTILITIES FUND-B BUY 3 Units @19.9500	50.00	2.00	0.00	2.00	88.0	1.76
06/20/2018	***-**-7617 - ESCHE, BEVERLY ACCOUNT #: 08191154979	MFS UTILITIES FUND-B BUY 2 Units @20.0300	50.00	2.00	0.00	2.00	88.0	1.76
06/22/2018	***-**-726I - YADDAW, DEBRA ACCOUNT #: LSR012338	MFS UTILITIES FUND-C BUY 372 Units @20.1400	7,500.00	75.00	0.00	75.00	88.0	66.00
06/22/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	NETFLIX COM INC COM UNSOLICITED ORD BUY 20 Units @416.0000	8,320.00	83.20	0.00	83.20	88.0	73.22
06/22/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	NETFLIX COM INC COM UNSOLICITED ORD BUY 25 Units @415.0000	10,375.00	103.86	0.00	103.86	88.0	91.40
06/28/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	NORDIC AMERICAN TANKERS LIMITE COM BUY 2500 Units @2.6200	6,550.00	32.49	0.00	32.49	88.0	28.59
06/28/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	NORDIC AMERICAN TANKERS LIMITE COM BUY 1000 Units @2.6200	2,620.00	14.99	0.00	14.99	88.0	13.19
06/28/2018	***-**-653I - KOCHEM, CLINTON ACCOUNT #: LSR011300	NORDIC AMERICAN TANKERS LIMITE COM BUY 1000 Units @2.6200	2,620.00	14.99	0.00	14.99	88.0	13.19
06/28/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	NORDIC AMERICAN TANKERS LIMITE COM BUY 1500 Units @2.6200	3,930.00	22.49	0.00	22.49	88.0	19.79



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
06/29/2018	***-**-20IR - DEMETRI, AMELIA	NY 529 ADDITIONAL DEPOSIT	50.00	2.38	0.00	2.38	88.0	2.09
	ACCOUNT #: 77854436501	BUY 0 Units @0.0000						
06/26/2018	***-**-726I - YADDAW, DEBRA	PUTNAM GLOBAL CONSUMER FUND CL C	8,499.99	85.00	0.00	85.00	88.0	74.80
	ACCOUNT #: LSR012338	BUY 393 Units @21.6100						
06/27/2018	***-**-930J - SANGER, KEVIN	PUTNAM GLOBAL CONSUMER FUND CL C	8,500.00	85.00	0.00	85.00	88.0	74.80
	ACCOUNT #: LSR010940	BUY 399 Units @21.3100						
06/27/2018	***-**-513I - RHYMESTINE,	PUTNAM GROWTH OPPORTUNITIES FUND CL	8,500.01	85.00	0.00	85.00	88.0	74.80
	ACCOUNT #: LSR012457	BUY 290 Units @29.2800						
06/28/2018	***-**-726I - YADDAW, DEBRA	PUTNAM GROWTH OPPORTUNITIES FUND CL	8,499.99	85.00	0.00	85.00	88.0	74.80
	ACCOUNT #: LSR012338	BUY 287 Units @29.6200						
06/25/2018	***-**-726I - YADDAW, DEBRA	RESOURCE CREDIT INCOME FUND C	15,000.00	150.00	0.00	150.00	88.0	132.00
	ACCOUNT #: LSR012338	BUY 1340 Units @11.1900						
06/28/2018	***-**-805X - GATES, ROGER	RESOURCE CREDIT INCOME FUND C	15,000.00	150.00	0.00	150.00	88.0	132.00
	ACCOUNT #: LSR012690	BUY 1343 Units @11.1700						
06/28/2018	***-**-653I - KOCHER, CLINTON	SECTOR SPDR TR SHS BEN INT UTILITIE	5,189.50	60.49	0.00	60.49	88.0	53.23
	ACCOUNT #: LSR011300	SELL 100 Units @51.8950						
06/25/2018	***-**-960J - MASTRANGELO,	STEIN MART INC COM	2,740.00	41.99	0.00	41.99	88.0	36.95
	ACCOUNT #: LSR010966	SELL 1000 Units @2.7400						
06/28/2018	***-**-48IO - CLARK, PATRICK	STEIN MART INC COM	6,200.00	59.49	0.00	59.49	88.0	52.35
	ACCOUNT #: LSR010911	BUY 2500 Units @2.4800						
06/28/2018	***-**-930J - SANGER, KEVIN	STEIN MART INC COM	5,080.00	59.49	0.00	59.49	88.0	52.35
	ACCOUNT #: LSR010940	BUY 2000 Units @2.5400						
06/28/2018	***-**-960J - MASTRANGELO,	STEIN MART INC COM	3,660.00	994.35	0.00	994.35	88.0	875.03
	ACCOUNT #: LSR010966	BUY 1500 Units @2.4400						
06/28/2018	***-**-653I - KOCHER, CLINTON	STEIN MART INC COM	3,645.00	39.49	0.00	39.49	88.0	34.75
	ACCOUNT #: LSR011300	BUY 1500 Units @2.4300						
06/28/2018	***-**-31IO - JOSEPH, ANN	STEIN MART INC COM	3,720.00	49.49	0.00	49.49	88.0	43.55
	ACCOUNT #: LSR012337	BUY 1500 Units @2.4800						
06/29/2018	***-**-376I - BERBEN, GAIL	UNDERWRITER COMM	0.00	87.75	0.00	87.75	88.0	77.22
	ACCOUNT #: 020001194	BUY 0 Units @0.0000						
06/29/2018	***-**-21IO - RADELL, SANDRA	UNDERWRITER COMM	0.00	87.75	0.00	87.75	88.0	77.22
		BUY 0 Units @0.0000						



# COMMISSION STATEMENT

## July 02, 2018

DAVID GRIFFITH  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: KURT GRUENEWALD (continued)</u></b>								
06/29/2018	***-**-614J - BUSHEY, MATTHEW	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	87.75	0.00	87.75	88.0	77.22
06/29/2018	***-**-111O - LYNN G. ACCOUNT #: 20001164	UNDERWRITER COMM BUY 0 Units @0.0000	0.00	146.25	0.00	146.25	88.0	128.70
* KURT GRUENEWALD SUBTOTAL *			<u>\$306,171.37</u>	<u>\$4,517.51</u>	<u>\$0.00</u>	<u>\$4,517.51</u>		<u>\$3,975.39</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$306,171.37</u>	<u>\$4,517.51</u>	<u>\$0.00</u>	<u>\$4,517.51</u>		<u>\$3,975.39</u>

### BROKER COMMISSION TOTALS

Broker Transactions	\$935.64
Split Transactions	\$0.00
Override Transactions	\$3,975.39
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$4,911.03
Year-to-date Earnings	\$136,956.72