



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 July 31, 2017

07/27/2017  
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
 11617 Coldwater Road  
 Suite 103  
 Fort Wayne, IN 46845

BROKER #: L131  
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
^07/14/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & RE FUND	0.00	43.39	0.00	43.39	90.0	0.00
^06/30/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	132.31	0.00	132.31	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$175.70	\$0.00	\$175.70		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^07/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^07/20/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^07/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	18.75	0.00	18.75	90.0	0.00
^07/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	18.75	0.00	18.75	90.0	0.00
^07/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.12	0.00	0.12	90.0	0.00
^07/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.11	0.00	1.11	90.0	0.00
^ / /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.26	0.00	1.26	90.0	0.00
^07/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	2.11	0.00	2.11	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	22.22	0.00	22.22	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	16.30	0.00	16.30	90.0	0.00
^07/14/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^07/14/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^ / /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.03	0.00	0.03	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.27	0.00	0.27	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	4.85	0.00	4.85	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.18	0.00	3.18	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.25	0.00	3.25	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^ / /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.49	0.00	0.49	90.0	0.00
^07/14/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.46	0.00	4.46	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.47	0.00	0.47	90.0	0.00
^07/14/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	1.03	0.00	1.03	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	4.39	0.00	4.39	90.0	0.00
^ / /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.21	0.00	0.21	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.97	0.00	0.97	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.37	0.00	1.37	90.0	0.00
^07/14/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	2.48	0.00	2.48	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.45	0.00	1.45	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.45	0.00	1.45	90.0	0.00
^07/14/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^07/14/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^07/14/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.64	0.00	3.64	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	2.01	0.00	2.01	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.10	0.00	0.10	90.0	0.00
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	2.21	0.00	2.21	90.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,505.00</b>	<b>\$155.26</b>	<b>\$0.00</b>	<b>\$155.26</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,505.00</b>	<b>\$330.96</b>	<b>\$0.00</b>	<b>\$330.96</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
07/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
07/20/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
07/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	5.63	0.00	5.63	90.0	5.07
07/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	5.63	0.00	5.63	90.0	5.07
07/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
/ /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.04	0.00	0.04	90.0	0.04
07/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.71	0.00	0.71	90.0	0.64
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.38	0.00	0.38	90.0	0.34
07/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.63	0.00	0.63	90.0	0.57
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	6.67	0.00	6.67	90.0	6.00
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	4.89	0.00	4.89	90.0	4.40
07/14/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
07/14/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.08	0.00	0.08	90.0	0.07
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.46	0.00	1.46	90.0	1.31
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.95	0.00	0.95	90.0	0.86
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.98	0.00	0.98	90.0	0.88
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.15	0.00	0.15	90.0	0.14
07/14/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.34	0.00	1.34	90.0	1.21
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.14	0.00	0.14	90.0	0.13
07/14/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.31	0.00	0.31	90.0	0.28
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.32	0.00	1.32	90.0	1.19
/ /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.06	0.00	0.06	90.0	0.05
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.29	0.00	0.29	90.0	0.26
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.41	0.00	0.41	90.0	0.37
07/14/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.74	0.00	0.74	90.0	0.67
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.44	0.00	0.44	90.0	0.40
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.44	0.00	0.44	90.0	0.40
07/14/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
07/14/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
07/14/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
07/14/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & RE FUND	0.00	13.02	0.00	13.02	90.0	11.72
06/30/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	39.69	0.00	39.69	90.0	35.72
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.09	0.00	1.09	90.0	0.98
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.60	0.00	0.60	90.0	0.54
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.66	0.00	0.66	90.0	0.59
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$1,505.00</b>	<b>\$99.32</b>	<b>\$0.00</b>	<b>\$99.32</b>		<b>\$89.42</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$1,505.00</b>	<b>\$99.32</b>	<b>\$0.00</b>	<b>\$99.32</b>		<b>\$89.42</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$89.42
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$89.42</b>
<b>Year-to-date Gross</b>	<b>\$1,260.31</b>
<b>Year-to-date Earnings</b>	<b>\$1,010.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed