

COMMISSION STATEMENT

January 31, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | SQUARE INC CLASS A COM | S | -50,011.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | SQUARE INC CLASS A COM | B | 45,214.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 68,710.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 27,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 20,625.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 20,625.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 89,970.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,850.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,840.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 51,440.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,840.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | ALLERGAN PLC | S | 4,107.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | ALLERGAN PLC | S | 4,107.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | ALLERGAN PLC | S | 4,107.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | ALLERGAN PLC | B | 12,322.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | BUNGE LTD | S | 3,364.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | BUNGE LTD | S | 3,364.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | BUNGE LTD | B | 6,728.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | DEVON ENERGY CORPORATION NEW | B | 4,155.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | DEVON ENERGY CORPORATION NEW | B | 4,155.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | DEVON ENERGY CORPORATION NEW | B | 4,155.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | DEVON ENERGY CORPORATION NEW | S | 12,466.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | FORTERRA INC COM | S | 11,210.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | FORTERRA INC COM | S | 11,210.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | FORTERRA INC COM | B | 22,420.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 810.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 1,192.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 1,590.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 1,987.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 3,164.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 19,850.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 795.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | B | 114,698.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 397.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 397.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 397.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 3,970.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 3,970.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | HECLA MINING COMPANY | S | 3,970.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 137,650.63 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 27,530.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 11,006.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,758.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,758.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,758.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,760.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,777.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,777.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 16,499.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,759.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,775.67 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 27,548.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 64,286.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 19,285.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 19,285.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 19,285.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 6,430.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | RIBBON COMMUNICATIONS INC COMMON ST B | | 3,406.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | RIBBON COMMUNICATIONS INC COMMON ST B | | 3,716.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599821 RF LAFFERTY & CO | RIBBON COMMUNICATIONS INC COMMON ST S | | 7,123.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/02/2018 | 75599821 RF LAFFERTY & CO | AUTODESK INC | S | 10,672.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/02/2018 | 75599821 RF LAFFERTY & CO | AUTODESK INC | B | 4,482.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/02/2018 | 75599821 RF LAFFERTY & CO | AUTODESK INC | B | 6,189.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | INTIVA BIOPHARMA INC COMMON STOCK | B | 1,650.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

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|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | INTIVA BIOPHARMA INC COMMON STOCK | S | 1,650.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | ROYAL DUTCH SHELL PLC SPONSORED ADR B | | 2,057.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | ROYAL DUTCH SHELL PLC SPONSORED ADR B | | 1,157.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | ROYAL DUTCH SHELL PLC SPONSORED ADR S | | -1,157.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | ROYAL DUTCH SHELL PLC SPONSORED ADR B | | 2,057.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599821 RF LAFFERTY & CO | ROYAL DUTCH SHELL PLC SPONSORED ADR S | | 4,115.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | B | 30,816.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 6,218.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 6,218.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 1,116.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 5,987.77 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599821 RF LAFFERTY & CO | MATINAS BIOPHARMA HLDGS INC COM | S | 11,276.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 49,599.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 12,420.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 9,639.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 27,540.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | S | 64,800.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | S | 64,800.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | B | 2,592.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | B | 5,184.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | B | 57,024.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | B | 57,542.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | BROOKS AUTOMATION INC NEW COM | B | 7,257.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | S | 264,116.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 750.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 7,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 7,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 825.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 825.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 900.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 13,350.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 15,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 15,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 15,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 21,675.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 225.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 22,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 6,750.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | MINERALS TECHNOLOGIES INC | B | 136,316.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/12/2018 | 75599821 RF LAFFERTY & CO | NUTANIX INC CL A | S | 75,183.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

[illegible]

[illegible]

[illegible]

[illegible]

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 966.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 966.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 966.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 1,610.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 2,254.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 8,190.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 1,575.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 1,575.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | APTEVO THERAPEUTICS INC COM | B | 2,835.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | COMSCORE INC COM | S | 6,062.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599821 RF LAFFERTY & CO | COMSCORE INC COM | B | 6,062.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | M101 CORP COMMON STOCK | B | 845.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | M101 CORP COMMON STOCK | S | 845.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,629.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 1,635.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 11,994.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 81,728.41 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 21,794.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,628.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,628.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 16,332.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 17,693.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 6,809.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 8,171.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/18/2018 | 75599821 RF LAFFERTY & CO | MPLX LP COM UNIT REPSTG LTD PARTNER B | | 451,231.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/18/2018 | 75599821 RF LAFFERTY & CO | MPLX LP COM UNIT REPSTG LTD PARTNER S | | -451,231.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 01/18/2018 | 75599821 RF LAFFERTY & CO | MPLX LP COM UNIT REPSTG LTD PARTNER B | | 451,394.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/22/2018 | 75599821 RF LAFFERTY & CO | NVIDIA CORP | B | 233,268.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/22/2018 | 75599821 RF LAFFERTY & CO | NVIDIA CORP | B | 233,279.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/22/2018 | 75599821 RF LAFFERTY & CO | NVIDIA CORP | B | 14,229.31 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/22/2018 | 75599821 RF LAFFERTY & CO | NVIDIA CORP | B | 195,721.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/22/2018 | 75599821 RF LAFFERTY & CO | SNAP INC CLASS A COMMON STOCK | B | 27,660.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/22/2018 | 75599821 RF LAFFERTY & CO | SNAP INC CLASS A COMMON STOCK | S | 27,660.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/24/2018 | 75599821 RF LAFFERTY & CO | MACQUARIE INFRASTRUCTURE CORPORATIO S | | 66,431.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/24/2018 | 75599821 RF LAFFERTY & CO | MACQUARIE INFRASTRUCTURE CORPORATIO S | | 33,215.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/24/2018 | 75599821 RF LAFFERTY & CO | MACQUARIE INFRASTRUCTURE CORPORATIO S | | 33,074.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/24/2018 | 75599821 RF LAFFERTY & CO | MACQUARIE INFRASTRUCTURE CORPORATIO S | | 33,074.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/24/2018 | 75599821 RF LAFFERTY & CO | MACQUARIE INFRASTRUCTURE CORPORATIO B | | 99,647.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/24/2018 | 75599821 RF LAFFERTY & CO | MACQUARIE INFRASTRUCTURE CORPORATIO B | | 66,149.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | CENTURYTEL INC | S | 26,805.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | CENTURYTEL INC | B | 26,804.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | B | 357,219.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 980.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 1,960.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 1,960.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 1,960.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 23,520.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 24,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 26,460.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 2,940.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 3,920.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 4,900.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 6,732.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 882.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | COLONY NORTHSTAR INC CL A COM | S | 88,925.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | KINERJAPAY CORP COM | S | 2,708.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | KINERJAPAY CORP COM | B | 140.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | KINERJAPAY CORP COM | B | 1,189.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | KINERJAPAY CORP COM | B | 1,379.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | PACIFIC BIOSCIENCES OF CALIFORNIA I S | | 3,070.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/25/2018 | 75599821 RF LAFFERTY & CO | PACIFIC BIOSCIENCES OF CALIFORNIA I B | | 3,069.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | FIREEYE INC COM | S | 15,680.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | FIREEYE INC COM | B | 3,135.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | FIREEYE INC COM | B | 12,543.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | GENERAL ELECTRIC CO | B | 3,196.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | GENERAL ELECTRIC CO | B | 990.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | GENERAL ELECTRIC CO | B | 9,589.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | GENERAL ELECTRIC CO | S | 20,170.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | GENERAL ELECTRIC CO | B | 6,393.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | S | 18,632.43 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 10,649.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 1,060.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 1,065.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 1,065.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 1,065.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 1,065.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 170.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 2,066.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599821 RF LAFFERTY & CO | RED LION HOTELS CORP COM | B | 426.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT B | | 375,284.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI TELECOMM S | | 71,816.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI TELECOMM B | | 71,816.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 16,296.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 14,798.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 31,203.87 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 46,878.89 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 28,500.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 31,971.18 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 7,928.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 14,176.94 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL B | | 377,515.71 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 20,605.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 9,727.26 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 40,216.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 6,641.73 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 27,299.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 13,911.03 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 43,563.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 22,017.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 16,264.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 14,747.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 31,116.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 46,753.61 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 28,449.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 31,901.23 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 7,896.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 14,172.52 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 20,644.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 9,755.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 40,301.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 6,650.01 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 27,367.33 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 15,236.55 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 43,700.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 22,105.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 20,430.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 9,660.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 39,895.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 6,592.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 27,096.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 20,672.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 43,252.65 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 21,879.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 16,156.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 14,659.05 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 30,887.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 46,416.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 28,231.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 31,660.65 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 7,848.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 14,055.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M B | | 379,396.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 16,476.11 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 14,928.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 31,488.61 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 47,295.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 28,770.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 32,283.14 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 7,987.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 14,301.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF B | | 384,428.63 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 20,833.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 9,835.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 40,670.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 6,723.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 27,597.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 17,139.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 44,073.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 22,285.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 16,475.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 14,940.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 31,457.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 47,268.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 28,759.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 32,245.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 14,249.91 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF B | | 379,529.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 20,624.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 9,746.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 40,285.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 6,665.28 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 27,352.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 13,079.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 43,659.68 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 22,091.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 16,306.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 14,797.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 31,188.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 46,845.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 28,505.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 31,964.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 7,922.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF | S | 14,189.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF | B | 375,225.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 20,825.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 9,827.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 40,646.65 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 6,732.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 27,599.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 18,901.53 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 44,075.69 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF | S | 22,288.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 20,712.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 9,794.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 40,461.71 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 6,703.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 27,496.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 15,654.83 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 43,873.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 22,197.74 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 16,377.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 14,892.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 31,349.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 47,084.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 28,620.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 32,112.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF | S | 7,947.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 20,629.45 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 9,748.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 40,328.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 6,674.23 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 27,384.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 16,099.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 43,726.33 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 22,126.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 16,301.31 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 14,804.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 31,227.32 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 46,921.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 28,517.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 31,995.87 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR | S | 7,928.18 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 14,197.91 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR B | | 378,611.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 7,968.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 14,317.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF B | | 382,588.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI UTILITIE B | | 68,403.47 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI UTILITIE S | | 68,403.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 20,451.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 9,678.34 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 39,944.54 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 6,600.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 27,119.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 17,715.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 43,296.05 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 21,921.62 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 16,176.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 14,671.41 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 30,915.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 46,476.57 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 28,282.65 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 31,702.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 7,865.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 14,055.83 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 376,874.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 185,190.38 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 87,390.13 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 361,614.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 59,721.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 247,377.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 392,022.83 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 199,161.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 142,454.14 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 132,865.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 279,977.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 424,075.01 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 247,103.14 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 287,099.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 70,953.12 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | B | 127,386.87 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST | S | 3,244,392.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 7,365.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 3,682.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 25,780.29 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | B | 208,083.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 9,207.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 5,524.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 16,573.05 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 5,524.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 25,780.29 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 5,524.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 3,682.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 33,146.09 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 7,365.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 14,731.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 7,365.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 3,682.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 11,048.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 14,731.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | BIODIN INC | S | 7,365.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 8,631.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 5,394.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 14,026.97 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 5,394.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 22,119.46 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 5,394.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 3,776.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 29,672.45 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 5,934.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 13,487.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 6,473.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 3,776.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 9,710.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 12,408.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 5,934.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 5,934.49 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 2,697.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C B | | 22,658.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599823 RF LAFFERTY & CO | CHARLES RIV LABORATORIES INTL INC C S | | 183,429.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

| | | | | | |
|---------------------|-----------------|--------|--------|--------|--------|
| * STOCKS SUBTOTAL * | \$21,958,096.96 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
|---------------------|-----------------|--------|--------|--------|--------|

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|---------------------------------------|-----|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 12/28/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE | B | 100,229.91 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE | S | 100,429.91 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY BILL | B | 198,108.18 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY BILL | S | 198,358.18 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY BILL | S | 1,190,135.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY BILL | B | 1,188,635.64 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/08/2018 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE | S | 99,982.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/08/2018 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE | B | 99,682.16 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599820 RF LAFFERTY & CO | GAP INC/THE SR UNSECURED | B | 26,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/17/2018 | 75599820 RF LAFFERTY & CO | GAP INC/THE SR UNSECURED | S | 26,750.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/08/2018 | 75599822 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE | B | 99,893.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/08/2018 | 75599822 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE | S | 99,778.74 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/29/2017 | 75599826 RF LAFFERTY & CO | SOCIETE GENERALE | S | 11,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/02/2018 | 75599826 RF LAFFERTY & CO | ***INTL BANK RECON & DEVELOP | S | 15,625.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599826 RF LAFFERTY & CO | MORGAN STANLEY TGT CPN 10% LNKD TO B | B | 17,875.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599826 RF LAFFERTY & CO | GS FIN CORP | B | 24,975.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/09/2018 | 75599826 RF LAFFERTY & CO | GS FIN CORP | S | 25,177.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599826 RF LAFFERTY & CO | GS FIN CORP | B | 49,025.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/10/2018 | 75599826 RF LAFFERTY & CO | GS FIN CORP | S | 49,422.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/18/2018 | 75599826 RF LAFFERTY & CO | GENWORTH FINL INC SR NT | B | 14,625.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/18/2018 | 75599826 RF LAFFERTY & CO | GENWORTH FINL INC SR NT | S | 14,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599826 RF LAFFERTY & CO | MORGAN STANLEY TGT CPN 12 LINKED TO B | B | 35,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/26/2018 | 75599826 RF LAFFERTY & CO | MORGAN STANLEY TGT CPN 12 LINKED TO S | S | 36,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/29/2018 | 75599826 RF LAFFERTY & CO | MORGAN STANLEY TGT CPN 10 LINKED TO B | B | 17,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * BONDS SUBTOTAL * | | | | \$3,740,158.67 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u> | | | | | | | | | |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | GOLDMAN SACHS BK USA NY CD 2.8 C/D B | B | 15,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | GOLDMAN SACHS BK USA NY CD 2.8 C/D S | S | 15,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | HORIZON BK WAVERLY NEB CD 2.8% C/D B | B | 7,960.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/03/2018 | 75599820 RF LAFFERTY & CO | HORIZON BK WAVERLY NEB CD 2.8% C/D S | S | 7,960.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599820 RF LAFFERTY & CO | MORGAN STANLEY BK N A UTAH CD C/D F B | B | 29,854.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/16/2018 | 75599820 RF LAFFERTY & CO | MORGAN STANLEY BK N A UTAH CD C/D F S | S | 29,854.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * CERTIFICATES OF DEPOSIT SUBTOTAL * | | | | \$105,628.40 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | | |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | S | 11,049.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | S | 2,209.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | S | 2,209.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | S | 3,314.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | | |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 1,104.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 4,419.68 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 11,049.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO B | | 34,650.24 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 1,990.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN B | | 1,950.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 9,951.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 1,990.22 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 2,985.33 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 3,980.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 9,951.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 3,980.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/28/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN B | | 32,181.93 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FIRST TRUST SER SABRIENT BAKERS B | | 16,099.15 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FIRST TRUST SER SABRIENT BAKERS B | | 23,175.43 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FIRST TRUST SER SABRIENT BAKERS S | | 39,274.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FIRST TRUST SER SABRIENT BAKERS B | | 10,798.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FIRST TRUST SER SABRIENT BAKERS S | | 10,798.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 S | | 10,791.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 B | | 10,791.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 S | | 16,089.76 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 S | | 23,168.83 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 01/04/2018 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 B | | 38,767.74 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * UNIT INVESTMENT TRUSTS SUBTOTAL * | | | | \$338,723.45 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 01/10/2018 | 75500245 BLUMENTHAL, MARK | **DEUTSCHE SCIENCE AND | B | 0.00 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| 01/10/2018 | 75500961 HIRTH, ASHER | AIM MID-CAP EQUITY FUND CLASS A | B | 0.00 | 2.21 | 0.00 | 2.21 | 80.0 | 1.77 |
| 01/10/2018 | 75500961 HIRTH, ASHER | CALAMOS GROWTH FUND CLASS A | B | 0.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 01/10/2018 | 75500961 HIRTH, ASHER | INVESCO VALUE OPPORTUNITIES CLASS A B | | 0.00 | 2.79 | 0.00 | 2.79 | 80.0 | 2.23 |
| 01/08/2018 | 75501842 STRAUGHN, SARAH | PACIFIC FDS CORE INCOME CL A | B | 0.00 | 5.17 | 0.00 | 5.17 | 80.0 | 4.14 |
| 01/10/2018 | 75501842 STRAUGHN, SARAH | **DEUTSCHE MANAGED MUNICIPAL | B | 0.00 | 9.06 | 0.00 | 9.06 | 80.0 | 7.25 |
| 01/10/2018 | 75501844 STRAUGHN, SARAH | AIM INTERNATIONAL GROWTH FUND - CL B | | 0.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| 01/10/2018 | 75597077 DAVIS, MARGARET | AIM ASIA PACIFIC GROWTH FUND - CL A B | | 0.00 | 1.77 | 0.00 | 1.77 | 80.0 | 1.42 |
| 01/10/2018 | 75597077 DAVIS, MARGARET | AIM ENERGY FUND - CLASS A | B | 0.00 | 1.17 | 0.00 | 1.17 | 80.0 | 0.94 |
| 01/10/2018 | 75597077 DAVIS, MARGARET | INVESCO AMERICAN FRANCHISE FUND CLA B | | 0.00 | 3.76 | 0.00 | 3.76 | 80.0 | 3.01 |
| 01/10/2018 | 75597132 SHAVITZ, EVELYN | FRANKLIN TAX FREE TR FEDERAL INTER B | | 0.00 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| 01/10/2018 | 75597181 BOL, BEVERLY | HANCOCK JOHN CAP SER GRTR CHINA OPP B | | 0.00 | 2.44 | 0.00 | 2.44 | 80.0 | 1.95 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$31.54 | \$0.00 | \$31.54 | | \$25.25 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

| | | | | | | | | | |
|-----------------------------------|--|-----------------|--|---------|--|--------|---------|--|---------|
| *** BROKER TRANSACTIONS TOTAL *** | | \$26,142,607.48 | | \$31.54 | | \$0.00 | \$31.54 | | \$25.25 |
|-----------------------------------|--|-----------------|--|---------|--|--------|---------|--|---------|

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$25.25 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-0.04 |
| SIPC Assessment | \$-0.08 |

| | |
|-----------------------|---------|
| Check Amount | \$25.13 |
| Year-to-date Earnings | \$25.13 |