

All Companies
STATEMENT
July 20, 2018

RICHARD KLEIN
24 Aster Court

BROKER #: 292
BRANCH: LA BRANCH

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

06/11/2018 # SOLOUNIAS, MICHEL	APPLE INC	B	4,793.50	103.69	19.95	0.00	83.74	85.0	71.18
06/11/2018 # JOHNSON, JULIE	CORNERSTONE STRATEGIC VALUE FD COMM B		7,338.50	198.67	19.95	0.00	178.72	85.0	151.91
06/11/2018 # JOHNSON, JULIE	CORNERSTONE STRATEGIC VALUE FD COMM B		7,338.50	198.67	19.95	0.00	178.72	85.0	151.91
* STOCKS SUBTOTAL *			<u>\$19,470.50</u>	<u>\$501.03</u>	<u>\$59.85</u>	<u>\$0.00</u>	<u>\$441.18</u>		<u>\$375.00</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$19,470.50</u>	<u>\$501.03</u>	<u>\$59.85</u>	<u>\$0.00</u>	<u>\$441.18</u>		<u>\$375.00</u>

ADJUSTMENTS

07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	ADMIN FEE: ADMIN FEE	-110.00
07/20/2018	PAST DUE MARGIN: PAST DUE MARGIN	-100.00
07/20/2018	:	0.00
*** ADJUSTMENTS TOTAL ***		<u>\$-389.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$375.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-389.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-14.00
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