



COMMISSION STATEMENT

July 23, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
07/23/2018	***-**-109I - PASCH, ROBERT	AMERICAN CENTURY TRAILS	0.00	1.05	0.00	1.05	15.0	0.16
	ACCOUNT #: 7107500645	BUY 0 Units @0.0000						
07/23/2018	***-**-67CL - MILGROOM,	AMERICAN CENTURY TRAILS	0.00	4.26	0.00	4.26	15.0	0.64
		BUY 0 Units @0.0000						
07/03/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.16	0.00	0.16	15.0	0.02
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
07/20/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @53.3000						
07/16/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @53.2000						
07/16/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @55.5000						
07/20/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @56.4100						
07/16/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	75.00	2.06	0.00	2.06	15.0	0.31
	ACCOUNT #: 00085796879	BUY 1 Units @66.0800						
07/02/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
07/16/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	14.22	0.00	14.22	15.0	2.13
	ACCOUNT #: R074824-ZS	BUY 0 Units @0.0000						
07/16/2018	***-**-3178 - IONESCU, MIHAELA	ING ADVANTAGE SELECT	0.00	4.14	0.00	4.14	15.0	0.62
	ACCOUNT #: R081736-ZS	BUY 0 Units @0.0000						
07/17/2018	***-**-843I - FANO, OKSANA	ING ADVANTAGE SELECT	0.00	7.92	0.00	7.92	15.0	1.19
	ACCOUNT #: R110836-ZS	BUY 0 Units @0.0000						
07/20/2018	***-**-894I - GARCIA, MARIANO	ING ADVANTAGE SELECT	0.00	5.91	0.00	5.91	15.0	0.89
	ACCOUNT #: R109394-ZS	BUY 0 Units @0.0000						
07/20/2018	***-**-337I - GARCIA, SHELLEY	ING ADVANTAGE SELECT	0.00	5.42	0.00	5.42	15.0	0.81
	ACCOUNT #: R109397-ZS	BUY 0 Units @0.0000						
07/02/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
07/09/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	132.48	0.99	0.00	0.99	15.0	0.15
	ACCOUNT #: 07123000494	BUY 10 Units @13.5200						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
07/02/2018	***-**-3173 - MASCIARELLI,	MIDLAND LIVEWELL VA BUY 1 Units @86000.0000	86,000.00	860.00	0.00	860.00	15.0	129.00
07/23/2018	***-**-9109 - PASCH, ROBERT ACCOUNT #: 9927000799	T ROWE TRAILS BUY 0 Units @0.0000	0.00	6.14	0.00	6.14	15.0	0.92
07/23/2018	***-**-8780 - PALM BEACH ACCOUNT #: 9927000872	T ROWE TRAILS BUY 0 Units @0.0000	0.00	26.80	0.00	26.80	15.0	4.02
07/23/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 9927001222	T ROWE TRAILS BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	15.0	0.10
07/23/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	29.58	0.00	29.58	15.0	4.44
07/23/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	0.54	0.00	0.54	15.0	0.08
07/23/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	0.54	0.00	0.54	15.0	0.08
07/23/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 9940003415	T ROWE TRAILS BUY 0 Units @0.0000	0.00	1.40	0.00	1.40	15.0	0.21
07/23/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	4.52	0.00	4.52	15.0	0.68
07/16/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @56.8800	75.00	2.06	0.00	2.06	15.0	0.31
07/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 3 Units @57.4300	180.00	4.95	0.00	4.95	15.0	0.74
07/05/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 08551051344	WELLS FARGO SPECIAL MID CAP CL C BUY 0 Units @0.0000	0.00	0.53	0.00	0.53	15.0	0.08
07/05/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 08551051344	WELLS FARGO SPECIAL MID CAP CL C BUY 0 Units @0.0000	0.00	1.59	0.00	1.59	15.0	0.24
* CHRISTOPHER MILGROOM SUBTOTAL *			\$87,072.48	\$1,003.86	\$0.00	\$1,003.86		\$150.58
*** OVERRIDE TRANSACTIONS TOTAL ***			\$87,072.48	\$1,003.86	\$0.00	\$1,003.86		\$150.58

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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$0.00
						Split Transactions	\$0.00
						Override Transactions	\$150.58
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
Net Commission Earned							\$150.58
Year-to-date Earnings							\$2,507.05