

COMMISSION STATEMENT

June 09, 2017

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
04/27/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	245,000.00	0.00	7.00	-7.00 85.0 -5.95
05/17/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	122,500.00	0.00	7.00	-7.00 85.0 -5.95
05/17/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	4,900.00	0.00	7.00	-7.00 85.0 -5.95
05/18/2017	75500663	BOSGANG, ALVIN		BANK OF AMERICA CORP	B	166,600.00	0.00	7.00	-7.00 85.0 -5.95
05/16/2017	75500844	BRUCKSTEIN, ALEX		RITTER PHARMACEUTICALS INC COM	B	1,520.00	0.00	7.00	-7.00 85.0 -5.95
05/02/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,915.00	0.00	7.00	-7.00 85.0 -5.95
05/04/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		0.00	0.00	7.00	-7.00 85.0 -5.95
05/04/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		0.00	0.00	-7.00 7.00 85.0 5.95 C	
05/04/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,809.52	0.00	7.00	-7.00 85.0 -5.95
05/05/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		11,028.00	0.00	7.00	-7.00 85.0 -5.95
05/08/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		27,537.00	0.00	7.00	-7.00 85.0 -5.95
05/10/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		46,678.46	0.00	7.00	-7.00 85.0 -5.95
05/15/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		12,438.00	0.00	7.00	-7.00 85.0 -5.95
05/16/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		16,611.66	0.00	7.00	-7.00 85.0 -5.95
05/17/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,540.00	0.00	7.00	-7.00 85.0 -5.95
05/18/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		33,254.60	0.00	7.00	-7.00 85.0 -5.95
05/19/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,784.00	0.00	7.00	-7.00 85.0 -5.95
05/22/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		55.28	0.00	7.00	-7.00 85.0 -5.95
05/23/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,776.48	0.00	7.00	-7.00 85.0 -5.95
05/25/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		27,676.70	0.00	7.00	-7.00 85.0 -5.95
05/12/2017	75500933	LAWRENCE, IRA		COUPA SOFTWARE INCORPORATED COM	S	64,444.00	10.00	7.00	3.00 85.0 2.55
05/12/2017	75500933	LAWRENCE, IRA		COUPA SOFTWARE INCORPORATED COM	B	16,175.00	10.00	7.00	3.00 85.0 2.55
05/16/2017	75500933	LAWRENCE, IRA		ALTERYX INC COM CL A	S	27,029.90	7.50	7.00	0.50 85.0 0.43
05/16/2017	75500933	LAWRENCE, IRA		RITTER PHARMACEUTICALS INC COM	B	1,520.00	10.00	7.00	3.00 85.0 2.55
05/18/2017	75500933	LAWRENCE, IRA		AZUL S A SPONSORED ADR REPSTG PFD I B		43,620.00	10.00	7.00	3.00 85.0 2.55
05/18/2017	75500933	LAWRENCE, IRA		NIELSEN HOLDINGS PLC SHS	S	39,665.00	10.00	7.00	3.00 85.0 2.55
05/22/2017	75500933	LAWRENCE, IRA		ALTERYX INC COM CL A	S	9,180.00	10.00	7.00	3.00 85.0 2.55
05/22/2017	75500933	LAWRENCE, IRA		GOL LINHAS AEREAS INTELIGENTES SA S B		11,810.00	10.00	7.00	3.00 85.0 2.55
05/16/2017	75500934	LAWRENCE, IRA		RITTER PHARMACEUTICALS INC COM	B	1,520.00	10.00	7.00	3.00 85.0 2.55
05/02/2017	75500953	SUSLOW, STEPHEN		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		30,062.34	0.00	7.00	-7.00 85.0 -5.95
05/03/2017	75500953	SUSLOW, STEPHEN		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		28,074.04	0.00	7.00	-7.00 85.0 -5.95

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
05/15/2017	75500953	SUSLOW, STEPHEN		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S	80,330.00	0.00	7.00	-7.00	85.0	-5.95	
05/25/2017	75500953	SUSLOW, STEPHEN		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S	13,840.00	0.00	7.00	-7.00	85.0	-5.95	
05/03/2017	75501161	LAWRENCE, DAVID		OCLARO INC COM NEW	B	15,320.00	10.00	7.00	3.00	85.0	2.55
05/15/2017	75501161	LAWRENCE, DAVID		AVIS BUDGET GROUP INC COM	S	24,890.00	10.00	7.00	3.00	85.0	2.55
05/16/2017	75501161	LAWRENCE, DAVID		RITTER PHARMACEUTICALS INC COM	B	1,520.00	10.00	7.00	3.00	85.0	2.55
05/17/2017	75501161	LAWRENCE, DAVID		OCLARO INC COM NEW	S	17,144.00	10.00	7.00	3.00	85.0	2.55
05/17/2017	75501161	LAWRENCE, DAVID		PLANET FITNESS INC CLASS A COM	S	20,190.00	10.00	7.00	3.00	85.0	2.55
05/18/2017	75501161	LAWRENCE, DAVID		AZUL S A SPONSORED ADR REPSTG PFD I B		20,190.00	10.00	7.00	3.00	85.0	2.55
04/27/2017	75501189	IRA S LAWRENCE TTEE		ATLASSIAN CORPORATION PLC CLASS A	S	33,230.00	10.00	7.00	3.00	85.0	2.55
05/09/2017	75501189	IRA S LAWRENCE TTEE		NUTANIX INC CL A	B	32,820.00	10.00	7.00	3.00	85.0	2.55
05/15/2017	75501189	IRA S LAWRENCE TTEE		NEWMONT MINING CORP (NEW)	B	33,810.00	10.00	7.00	3.00	85.0	2.55
05/16/2017	75501189	IRA S LAWRENCE TTEE		RITTER PHARMACEUTICALS INC COM	B	1,520.00	10.00	7.00	3.00	85.0	2.55
05/18/2017	75501189	IRA S LAWRENCE TTEE		AZUL S A SPONSORED ADR REPSTG PFD I B		21,330.00	10.00	7.00	3.00	85.0	2.55
05/17/2017	75501196	LAWRENCE, ADELLE		GOLDCORP INC	B	28,540.00	10.00	7.00	3.00	85.0	2.55
05/18/2017	75501196	LAWRENCE, ADELLE		AZUL S A SPONSORED ADR REPSTG PFD I B		20,790.00	10.00	7.00	3.00	85.0	2.55
* STOCKS SUBTOTAL *					\$1,408,188.98	\$217.50	\$308.00	\$-90.50		\$-76.92	

PRODUCT CATEGORY: OPTIONS

04/28/2017	75500663	BOSGANG, ALVIN		CALL BAC	04/28/17	23.50	B	45,040.00	0.00	7.00	-7.00	85.0	-5.95
04/28/2017	75500663	BOSGANG, ALVIN		PUT BAC	05/19/17	24.50	S	48,820.00	189.00	7.00	182.00	85.0	154.70
04/28/2017	75500663	BOSGANG, ALVIN		PUT BAC	05/05/17	24.50	B	167,740.00	0.00	7.00	-7.00	85.0	-5.95
04/28/2017	75500663	BOSGANG, ALVIN		PUT BAC	05/26/17	24.50	S	186,152.00	920.00	7.00	913.00	85.0	776.05
04/28/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47.50	S	25,483.00	750.00	7.00	743.00	85.0	631.55
04/28/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47	S	18,565.00	750.00	7.00	743.00	85.0	631.55
04/28/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47.50	S	13,020.00	651.00	7.00	644.00	85.0	547.40
04/28/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/19/17	47.50	S	61,327.00	2,250.00	7.00	2,243.00	85.0	1,906.55
04/28/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/19/17	47	S	14,316.00	715.00	7.00	708.00	85.0	601.80
04/28/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/12/17	47.50	S	4,832.00	241.00	7.00	234.00	85.0	198.90
05/01/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47.50	S	38,483.00	1,500.00	7.00	1,493.00	85.0	1,269.05
05/01/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47	S	26,150.00	1,307.00	7.00	1,300.00	85.0	1,105.00
05/01/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	47	S	15,856.00	750.00	7.00	743.00	85.0	631.55
05/01/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	47.50	S	21,432.00	750.00	7.00	743.00	85.0	631.55
05/01/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/19/17	47.50	S	29,310.00	1,465.00	7.00	1,458.00	85.0	1,239.30
05/02/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47.50	S	31,094.00	1,554.00	7.00	1,547.00	85.0	1,314.95
05/02/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	47	S	21,096.92	1,054.00	7.00	1,047.00	85.0	889.95
05/02/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	47.50	S	37,261.00	1,800.00	7.00	1,793.00	85.0	1,524.05
05/04/2017	75500663	BOSGANG, ALVIN		PUT YHOO	05/26/17	47	S	2,800.00	140.00	7.00	133.00	85.0	113.05
05/04/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	46.50	S	1,880.00	94.00	7.00	87.00	85.0	73.95
05/04/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	47	S	1,740.00	75.00	7.00	68.00	85.0	57.80
05/04/2017	75500663	BOSGANG, ALVIN		PUT YHOO	06/02/17	47.50	S	8,560.00	300.00	7.00	293.00	85.0	249.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
05/05/2017	75500663 BOSGANG, ALVIN	CALL BAC	05/05/17	24	B	23,800.00	0.00	7.00	-7.00 85.0 -5.95
05/05/2017	75500663 BOSGANG, ALVIN	CALL BAC	05/26/17	24	S	33,800.00	500.00	7.00	493.00 85.0 419.05
05/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/02/17	47	S	8,430.00	375.00	7.00	368.00 85.0 312.80
05/05/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/02/17	47.50	S	32,712.00	1,080.00	7.00	1,073.00 85.0 912.05
05/08/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47	S	16,100.00	600.00	7.00	593.00 85.0 504.05
05/08/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	30,980.00	900.00	7.00	893.00 85.0 759.05
05/08/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/02/17	47.50	S	6,490.00	300.00	7.00	293.00 85.0 249.05
05/09/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	28,840.00	1,350.00	7.00	1,343.00 85.0 1,141.55
05/09/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	48	S	35,080.00	1,200.00	7.00	1,193.00 85.0 1,014.05
05/09/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/02/17	48	S	5,600.00	280.00	7.00	273.00 85.0 232.05
05/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	16,840.00	750.00	7.00	743.00 85.0 631.55
05/10/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	48	S	39,454.00	1,350.00	7.00	1,343.00 85.0 1,141.55
05/11/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	21,969.00	1,051.00	7.00	1,044.00 85.0 887.40
05/11/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	48	S	41,440.00	1,500.00	7.00	1,493.00 85.0 1,269.05
05/11/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	48	S	11,000.00	300.00	7.00	293.00 85.0 249.05
05/12/2017	75500663 BOSGANG, ALVIN	CALL BAC	05/12/17	23.50	B	46,970.00	0.00	7.00	-7.00 85.0 -5.95
05/12/2017	75500663 BOSGANG, ALVIN	PUT BAC	06/23/17	23.50	S	50,480.00	175.00	7.00	168.00 85.0 142.80
05/12/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47	S	9,580.00	479.00	7.00	472.00 85.0 401.20
05/12/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	16,060.00	750.00	7.00	743.00 85.0 631.55
05/12/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	48	S	21,666.00	750.00	7.00	743.00 85.0 631.55
05/12/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	47	S	11,527.00	450.00	7.00	443.00 85.0 376.55
05/12/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	48	S	19,069.00	450.00	7.00	443.00 85.0 376.55
05/15/2017	75500663 BOSGANG, ALVIN	PUT BAC	06/23/17	23.50	S	20,300.00	750.00	7.00	743.00 85.0 631.55
05/15/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	8,404.00	420.00	7.00	413.00 85.0 351.05
05/15/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	48	S	11,500.00	450.00	7.00	443.00 85.0 376.55
05/15/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	47	S	17,269.00	750.00	7.00	743.00 85.0 631.55
05/15/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	48	S	22,684.00	600.00	7.00	593.00 85.0 504.05
05/16/2017	75500663 BOSGANG, ALVIN	PUT BAC	06/23/17	23.50	S	16,000.00	600.00	7.00	593.00 85.0 504.05
05/16/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	48	S	2,740.00	137.00	7.00	130.00 85.0 110.50
05/16/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	47	S	11,296.00	564.00	7.00	557.00 85.0 473.45
05/16/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	48	S	9,100.00	300.00	7.00	293.00 85.0 249.05
05/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47	S	3,640.00	150.00	7.00	143.00 85.0 121.55
05/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47.50	S	4,120.00	150.00	7.00	143.00 85.0 121.55
05/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/02/17	47.50	S	2,340.00	117.00	7.00	110.00 85.0 93.50
05/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO	05/19/17	48	S	756.00	37.00	7.00	30.00 85.0 25.50
05/17/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	47	S	5,000.00	150.00	7.00	143.00 85.0 121.55
05/18/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/09/17	47	S	15,520.00	750.00	7.00	743.00 85.0 631.55
05/18/2017	75500663 BOSGANG, ALVIN	PUT YHOO	06/16/17	47	S	46,630.00	1,500.00	7.00	1,493.00 85.0 1,269.05
05/19/2017	75500663 BOSGANG, ALVIN	CALL BAC	05/26/17	24	S	11,928.00	596.00	7.00	589.00 85.0 500.65
05/19/2017	75500663 BOSGANG, ALVIN	PUT BAC	06/23/17	24.50	S	45,637.70	278.00	7.00	271.00 85.0 230.35

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TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
05/19/2017	75500663 BOSGANG, ALVIN	PUT	BAC	05/19/17	24.50	B	40,066.00	0.00	7.00	-7.00 85.0 -5.95
05/19/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	48	S	6,000.00	300.00	7.00	293.00 85.0 249.05
05/19/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	48.50	S	18,080.00	750.00	7.00	743.00 85.0 631.55
05/19/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	49	S	22,160.00	750.00	7.00	743.00 85.0 631.55
05/19/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/02/17	49	S	5,160.00	258.00	7.00	251.00 85.0 213.35
05/19/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48	S	22,260.00	750.00	7.00	743.00 85.0 631.55
05/19/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	35,495.00	750.00	7.00	743.00 85.0 631.55
05/22/2017	75500663 BOSGANG, ALVIN	PUT	BAC	06/23/17	24.50	S	245,399.00	1,085.00	7.00	1,078.00 85.0 916.30
05/22/2017	75500663 BOSGANG, ALVIN	PUT	BAC	05/26/17	24.50	B	223,694.00	0.00	7.00	-7.00 85.0 -5.95
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	48	S	2,022.00	101.00	7.00	94.00 85.0 79.90
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	48.50	S	5,223.00	261.00	7.00	254.00 85.0 215.90
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	49	S	13,710.00	600.00	7.00	593.00 85.0 504.05
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	47.50	S	6,040.00	302.00	7.00	295.00 85.0 250.75
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48.50	S	17,140.00	600.00	7.00	593.00 85.0 504.05
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	47	S	6,440.00	322.00	7.00	315.00 85.0 267.75
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48	S	12,963.00	600.00	7.00	593.00 85.0 504.05
05/22/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	21,900.00	600.00	7.00	593.00 85.0 504.05
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	48	S	2,200.00	110.00	7.00	103.00 85.0 87.55
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	48.50	S	6,040.00	300.00	7.00	293.00 85.0 249.05
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	49	S	16,250.00	600.00	7.00	593.00 85.0 504.05
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/02/17	49	S	2,020.00	101.00	7.00	94.00 85.0 79.90
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	47.50	S	2,900.00	145.00	7.00	138.00 85.0 117.30
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48.50	S	20,150.00	600.00	7.00	593.00 85.0 504.05
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	47	S	2,200.00	110.00	7.00	103.00 85.0 87.55
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48	S	7,880.00	300.00	7.00	293.00 85.0 249.05
05/23/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	25,918.00	600.00	7.00	593.00 85.0 504.05
05/24/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/09/17	49	S	9,360.00	300.00	7.00	293.00 85.0 249.05
05/24/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48.50	S	38,600.00	1,050.00	7.00	1,043.00 85.0 886.55
05/24/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	21,970.00	450.00	7.00	443.00 85.0 376.55
05/25/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	49	S	6,000.00	150.00	7.00	143.00 85.0 121.55
05/25/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	48.50	S	4,820.00	150.00	7.00	143.00 85.0 121.55
05/25/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	48	S	3,900.00	150.00	7.00	143.00 85.0 121.55
05/25/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	48.50	S	22,620.00	750.00	7.00	743.00 85.0 631.55
05/25/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	24,580.00	600.00	7.00	593.00 85.0 504.05
05/26/2017	75500663 BOSGANG, ALVIN	CALL	BAC	06/02/17	23.50	S	11,928.00	596.00	7.00	589.00 85.0 500.65
05/26/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	49	S	34,860.00	900.00	7.00	893.00 85.0 759.05
05/26/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	48.50	S	18,362.00	600.00	7.00	593.00 85.0 504.05
05/26/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	16,720.00	511.00	7.00	504.00 85.0 428.40
05/30/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	49	S	35,270.00	1,200.00	7.00	1,193.00 85.0 1,014.05
05/30/2017	75500663 BOSGANG, ALVIN	PUT	YHOO	06/23/17	48.50	S	20,262.00	900.00	7.00	893.00 85.0 759.05

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
05/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	06/30/17	49	S	6,220.00	150.00	7.00	143.00	121.55
05/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	06/30/17	48.50	S	4,880.00	150.00	7.00	143.00	121.55
05/30/2017	75500663	BOSGANG, ALVIN	PUT	YHOO	06/16/17	49	S	19,863.00	838.00	7.00	831.00	706.35
05/01/2017	75500791	SUSLOVICH, ESTHER	PUT	YHOO	05/12/17	47	S	200.00	0.00	7.00	-7.00	-5.95
05/08/2017	75500837	BELL, MARTIN	PUT	YHOO	06/09/17	46.50	S	1,630.00	0.00	7.00	-7.00	-5.95
05/09/2017	75500837	BELL, MARTIN	PUT	YHOO	06/02/17	47.50	S	1,000.00	0.00	7.00	-7.00	-5.95
05/18/2017	75500837	BELL, MARTIN	PUT	YHOO	06/02/17	47	S	1,020.00	0.00	7.00	-7.00	-5.95
05/25/2017	75500837	BELL, MARTIN	PUT	YHOO	06/23/17	47	S	2,500.00	0.00	7.00	-7.00	-5.95
05/02/2017	75500838	BELL, MARTIN	PUT	YHOO	05/19/17	47	S	305.00	0.00	7.00	-7.00	-5.95
05/02/2017	75500859	LABELLA, CHARLES	PUT	YHOO	06/02/17	46.50	S	1,480.00	0.00	7.00	-7.00	-5.95
04/28/2017	75500864	WINICK, JESSE	PUT	YHOO	05/19/17	46.50	S	1,680.00	168.00	7.00	161.00	136.85
05/01/2017	75500864	WINICK, JESSE	PUT	YHOO	05/19/17	47	S	2,023.00	202.00	7.00	195.00	165.75
05/02/2017	75500864	WINICK, JESSE	PUT	YHOO	06/02/17	47	S	2,109.69	210.00	7.00	203.00	172.55
05/02/2017	75500864	WINICK, JESSE	PUT	YHOO	05/19/17	47.50	S	3,470.33	347.00	7.00	340.00	289.00
05/04/2017	75500864	WINICK, JESSE	PUT	YHOO	05/26/17	47.50	S	1,170.00	117.00	7.00	110.00	93.50
05/04/2017	75500864	WINICK, JESSE	PUT	YHOO	05/19/17	46.50	S	952.00	95.00	7.00	88.00	74.80
05/05/2017	75500864	WINICK, JESSE	CALL	BAC	05/26/17	24.50	S	1,507.41	0.00	7.00	-7.00	-5.95
05/05/2017	75500864	WINICK, JESSE	CALL	BAC	05/12/17	24	S	2,600.00	0.00	7.00	-7.00	-5.95
05/05/2017	75500864	WINICK, JESSE	PUT	YHOO	06/02/17	46.50	S	1,219.00	121.00	7.00	114.00	96.90
05/08/2017	75500864	WINICK, JESSE	PUT	YHOO	06/09/17	46.50	S	1,630.00	150.00	7.00	143.00	121.55
05/08/2017	75500864	WINICK, JESSE	PUT	YHOO	06/02/17	47	S	2,190.00	219.00	7.00	212.00	180.20
05/09/2017	75500864	WINICK, JESSE	PUT	YHOO	05/26/17	48	S	1,820.00	182.00	7.00	175.00	148.75
05/09/2017	75500864	WINICK, JESSE	PUT	YHOO	06/09/17	46.50	S	2,000.00	200.00	7.00	193.00	164.05
05/18/2017	75500864	WINICK, JESSE	PUT	YHOO	04/21/17	46.50	S	3,689.29	300.00	7.00	293.00	249.05
05/19/2017	75500864	WINICK, JESSE	CALL	BAC	06/23/17	24.50	S	4,500.00	0.00	7.00	-7.00	-5.95
05/19/2017	75500864	WINICK, JESSE	PUT	YHOO	06/09/17	47.50	S	2,270.00	227.00	7.00	220.00	187.00
05/23/2017	75500864	WINICK, JESSE	CALL	BAC	06/23/17	24.50	S	1,000.00	0.00	7.00	-7.00	-5.95
05/24/2017	75500864	WINICK, JESSE	PUT	YHOO	06/09/17	48.50	S	2,030.00	180.00	7.00	173.00	147.05
05/24/2017	75500864	WINICK, JESSE	PUT	YHOO	06/16/17	48	S	4,550.00	300.00	7.00	293.00	249.05
05/25/2017	75500864	WINICK, JESSE	PUT	YHOO	06/23/17	48.50	S	3,230.00	322.00	7.00	315.00	267.75
05/25/2017	75500864	WINICK, JESSE	PUT	YHOO	06/16/17	48	S	3,860.00	300.00	7.00	293.00	249.05
05/26/2017	75500864	WINICK, JESSE	PUT	YHOO	06/23/17	48	S	3,540.00	300.00	7.00	293.00	249.05
05/26/2017	75500864	WINICK, JESSE	PUT	YHOO	06/16/17	48.50	S	3,500.00	300.00	7.00	293.00	249.05
05/30/2017	75500864	WINICK, JESSE	PUT	YHOO	06/23/17	48.50	S	2,300.00	230.00	7.00	223.00	189.55
05/30/2017	75500864	WINICK, JESSE	PUT	YHOO	06/30/17	48	S	3,750.00	375.00	7.00	368.00	312.80
05/05/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	05/26/17	47.50	S	1,140.00	114.00	7.00	107.00	90.95
05/09/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	06/09/17	47	S	1,620.00	162.00	7.00	155.00	131.75
05/09/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	06/02/17	47.50	S	1,000.00	100.00	7.00	93.00	79.05
05/18/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	04/21/17	46.50	S	2,951.43	240.00	7.00	233.00	198.05
05/19/2017	75500867	GREENSPAN, WILLIAM	PUT	YHOO	06/09/17	47	S	1,670.00	167.00	7.00	160.00	136.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
05/24/2017	75500867 GREENSPAN, WILLIAM	PUT	YHOO	06/09/17	48	S	980.00	98.00	7.00	91.00	85.0	77.35
05/25/2017	75500867 GREENSPAN, WILLIAM	PUT	YHOO	06/23/17	47	S	2,500.00	250.00	7.00	243.00	85.0	206.55
05/30/2017	75500867 GREENSPAN, WILLIAM	PUT	YHOO	06/30/17	47.50	S	2,720.00	272.00	7.00	265.00	85.0	225.25
04/28/2017	75500881 SUSLOW, RONNIE	PUT	HPQ	05/19/17	18	S	1,700.00	0.00	7.00	-7.00	85.0	-5.95
04/28/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/05/17	47.50	S	1,800.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/26/17	47.50	S	1,900.00	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/02/17	47	S	2,109.69	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/19/17	47.50	S	5,205.50	0.00	7.00	-7.00	85.0	-5.95
05/03/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/12/17	47.50	S	960.00	0.00	7.00	-7.00	85.0	-5.95
05/03/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/12/17	47	S	180.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/26/17	47.50	S	1,258.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/09/17	46.50	S	800.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/09/17	47	S	2,180.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/02/17	47	S	1,160.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/02/17	47.50	S	2,200.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/02/17	48	S	4,506.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/19/17	47.50	S	697.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/26/17	48	S	1,000.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/26/17	47.50	S	320.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/26/17	47	S	540.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/09/17	46.50	S	1,140.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/09/17	47	S	1,540.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/02/17	47.50	S	800.00	0.00	7.00	-7.00	85.0	-5.95
05/11/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/02/17	48	S	1,800.00	0.00	7.00	-7.00	85.0	-5.95
05/15/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/09/17	47	S	2,200.00	0.00	7.00	-7.00	85.0	-5.95
05/16/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	05/19/17	47.50	B	863.42	0.00	7.00	-7.00	85.0	-5.95
05/26/2017	75500881 SUSLOW, RONNIE	PUT	YHOO	06/16/17	48.50	S	7,000.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75500882 SUSLOW, RONNIE	PUT	YHOO	05/12/17	47.50	S	400.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500882 SUSLOW, RONNIE	PUT	YHOO	05/19/17	48	S	1,657.00	0.00	7.00	-7.00	85.0	-5.95
05/16/2017	75500882 SUSLOW, RONNIE	PUT	YHOO	05/19/17	48	B	382.00	0.00	7.00	-7.00	85.0	-5.95
05/26/2017	75500882 SUSLOW, RONNIE	PUT	YHOO	06/09/17	48.50	S	1,220.00	0.00	7.00	-7.00	85.0	-5.95
05/26/2017	75500882 SUSLOW, RONNIE	PUT	YHOO	06/09/17	49	S	2,360.00	0.00	7.00	-7.00	85.0	-5.95
05/30/2017	75500882 SUSLOW, RONNIE	PUT	YHOO	06/16/17	48.50	S	670.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75500918 SUSLOW, ELISSA	CALL	BAC	05/05/17	24.50	B	100.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75500918 SUSLOW, ELISSA	CALL	BAC	05/05/17	24	B	700.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75500918 SUSLOW, ELISSA	PUT	YHOO	05/19/17	47	S	4,046.00	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500918 SUSLOW, ELISSA	PUT	YHOO	06/02/17	47	S	2,109.69	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500918 SUSLOW, ELISSA	PUT	YHOO	05/19/17	47.50	S	5,205.50	0.00	7.00	-7.00	85.0	-5.95
05/04/2017	75500918 SUSLOW, ELISSA	PUT	YHOO	05/26/17	47.50	S	1,170.00	0.00	7.00	-7.00	85.0	-5.95
05/04/2017	75500918 SUSLOW, ELISSA	PUT	YHOO	05/19/17	47.50	S	600.00	0.00	7.00	-7.00	85.0	-5.95

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
05/04/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	47	S	380.00	0.00	7.00	-7.00	85.0	-5.95
05/05/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/26/17	24.50	S	2,110.37	0.00	7.00	-7.00	85.0	-5.95
05/05/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/12/17	24	S	1,300.00	0.00	7.00	-7.00	85.0	-5.95
05/08/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/26/17	47.50	S	2,720.00	0.00	7.00	-7.00	85.0	-5.95
05/08/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/26/17	47	S	1,600.00	0.00	7.00	-7.00	85.0	-5.95
05/09/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/26/17	48	S	1,820.00	0.00	7.00	-7.00	85.0	-5.95
05/12/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	47	S	720.00	0.00	7.00	-7.00	85.0	-5.95
05/12/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	47.50	S	700.00	0.00	7.00	-7.00	85.0	-5.95
05/12/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	48	S	3,420.00	0.00	7.00	-7.00	85.0	-5.95
05/15/2017	75500918	SUSLOW, ELISSA	CALL BAC	05/26/17	24.50	S	780.00	0.00	7.00	-7.00	85.0	-5.95
05/15/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	47.50	S	640.00	0.00	7.00	-7.00	85.0	-5.95
05/15/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	48	S	1,000.00	0.00	7.00	-7.00	85.0	-5.95
05/15/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	48	S	800.00	0.00	7.00	-7.00	85.0	-5.95
05/16/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	47.50	B	1,244.58	0.00	7.00	-7.00	85.0	-5.95
05/16/2017	75500918	SUSLOW, ELISSA	PUT YHOO	05/19/17	48	B	382.00	0.00	7.00	-7.00	85.0	-5.95
05/19/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/09/17	47.50	S	2,270.00	0.00	7.00	-7.00	85.0	-5.95
05/19/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	48	S	260.00	0.00	7.00	-7.00	85.0	-5.95
05/19/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/02/17	48.50	S	1,720.00	0.00	7.00	-7.00	85.0	-5.95
05/19/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/16/17	47	S	3,300.00	0.00	7.00	-7.00	85.0	-5.95
05/22/2017	75500918	SUSLOW, ELISSA	CALL BAC	06/23/17	24	S	1,800.00	0.00	7.00	-7.00	85.0	-5.95
05/23/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/09/17	47.50	S	360.00	0.00	7.00	-7.00	85.0	-5.95
05/24/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/09/17	48.50	S	2,030.00	0.00	7.00	-7.00	85.0	-5.95
05/24/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/16/17	48	S	4,550.00	0.00	7.00	-7.00	85.0	-5.95
05/25/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/16/17	48	S	3,860.00	0.00	7.00	-7.00	85.0	-5.95
05/26/2017	75500918	SUSLOW, ELISSA	CALL BAC	06/23/17	24.50	S	1,300.00	0.00	7.00	-7.00	85.0	-5.95
05/30/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/23/17	48	S	3,180.00	0.00	7.00	-7.00	85.0	-5.95
05/30/2017	75500918	SUSLOW, ELISSA	PUT YHOO	06/30/17	48	S	3,750.00	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500919	SUSLOW, ELISSA	PUT YHOO	05/19/17	47	S	183.00	0.00	7.00	-7.00	85.0	-5.95
04/28/2017	75500953	SUSLOW, STEPHEN	PUT HPQ	05/19/17	17.50	S	800.00	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500953	SUSLOW, STEPHEN	PUT YHOO	05/19/17	47.50	S	1,735.17	0.00	7.00	-7.00	85.0	-5.95
05/15/2017	75500953	SUSLOW, STEPHEN	PUT YHOO	05/26/17	47.50	S	100.00	0.00	7.00	-7.00	85.0	-5.95
05/26/2017	75500953	SUSLOW, STEPHEN	PUT YHOO	06/16/17	48	S	3,100.00	0.00	7.00	-7.00	85.0	-5.95
04/28/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	05/12/17	47	S	920.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	05/19/17	46.50	S	280.00	0.00	7.00	-7.00	85.0	-5.95
05/08/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	05/19/17	47.50	S	1,760.00	0.00	7.00	-7.00	85.0	-5.95
05/09/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	05/26/17	47.50	S	340.00	0.00	7.00	-7.00	85.0	-5.95
05/10/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	05/26/17	48	S	2,040.00	0.00	7.00	-7.00	85.0	-5.95
05/25/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	06/09/17	48.50	S	2,600.00	0.00	7.00	-7.00	85.0	-5.95
05/25/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	06/09/17	49	S	7,600.00	0.00	7.00	-7.00	85.0	-5.95
05/30/2017	75500954	SUSLOW, STEPHEN	PUT YHOO	06/09/17	48.50	S	400.00	0.00	7.00	-7.00	85.0	-5.95

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
05/30/2017	75500954	SUSLOW, STEPHEN	PUT	YHOO	06/09/17	49	S	1,640.00	0.00	7.00	-7.00	85.0	-5.95
05/02/2017	75500986	SUSLOVICH, JACOB	PUT	YHOO	05/19/17	47.50	S	1,735.17	0.00	7.00	-7.00	85.0	-5.95
05/25/2017	75500986	SUSLOVICH, JACOB	PUT	YHOO	06/09/17	48	S	740.00	0.00	7.00	-7.00	85.0	-5.95
05/25/2017	75500986	SUSLOVICH, JACOB	PUT	YHOO	06/16/17	47	S	780.00	0.00	7.00	-7.00	85.0	-5.95
05/01/2017	75501098	WINICK FAMILY	PUT	YHOO	05/19/17	47	S	2,023.00	202.00	7.00	195.00	85.0	165.75
05/02/2017	75501098	WINICK FAMILY	PUT	YHOO	05/19/17	47.50	S	3,470.33	347.00	7.00	340.00	85.0	289.00
05/05/2017	75501098	WINICK FAMILY	CALL	BAC	05/26/17	24.50	S	4,522.22	0.00	7.00	-7.00	85.0	-5.95
05/05/2017	75501098	WINICK FAMILY	PUT	YHOO	06/02/17	46.50	S	1,219.00	121.00	7.00	114.00	85.0	96.90
05/08/2017	75501098	WINICK FAMILY	PUT	YHOO	06/09/17	46.50	S	1,630.00	150.00	7.00	143.00	85.0	121.55
05/08/2017	75501098	WINICK FAMILY	PUT	YHOO	06/02/17	47	S	2,190.00	219.00	7.00	212.00	85.0	180.20
05/09/2017	75501098	WINICK FAMILY	PUT	YHOO	06/09/17	47	S	1,620.00	162.00	7.00	155.00	85.0	131.75
05/18/2017	75501098	WINICK FAMILY	PUT	YHOO	04/21/17	46.50	S	3,689.29	300.00	7.00	293.00	85.0	249.05
05/19/2017	75501098	WINICK FAMILY	PUT	YHOO	06/09/17	47	S	1,670.00	167.00	7.00	160.00	85.0	136.00
05/22/2017	75501098	WINICK FAMILY	CALL	BAC	06/23/17	24.50	S	3,000.00	0.00	7.00	-7.00	85.0	-5.95
05/24/2017	75501098	WINICK FAMILY	PUT	YHOO	06/09/17	48	S	980.00	98.00	7.00	91.00	85.0	77.35
05/25/2017	75501098	WINICK FAMILY	PUT	YHOO	06/23/17	48.50	S	3,230.00	322.00	7.00	315.00	85.0	267.75
05/25/2017	75501098	WINICK FAMILY	PUT	YHOO	06/16/17	47.50	S	3,740.00	374.00	7.00	367.00	85.0	311.95
05/26/2017	75501098	WINICK FAMILY	PUT	YHOO	06/23/17	48	S	3,540.00	300.00	7.00	293.00	85.0	249.05
05/30/2017	75501098	WINICK FAMILY	PUT	YHOO	06/23/17	47	S	1,680.00	168.00	7.00	161.00	85.0	136.85
05/30/2017	75501098	WINICK FAMILY	PUT	YHOO	06/30/17	47.50	S	2,720.00	272.00	7.00	265.00	85.0	225.25
04/28/2017	75501104	CHRISTOPHER C	PUT	YHOO	05/19/17	46	S	280.00	0.00	7.00	-7.00	85.0	-5.95
05/08/2017	75501215	SISTERS TWO LLC	PUT	YHOO	06/02/17	46.50	S	680.00	68.00	7.00	61.00	85.0	51.85
05/09/2017	75501215	SISTERS TWO LLC	PUT	YHOO	06/02/17	47.50	S	1,000.00	100.00	7.00	93.00	85.0	79.05
05/18/2017	75501215	SISTERS TWO LLC	PUT	YHOO	06/09/17	46.50	S	1,060.00	106.00	7.00	99.00	85.0	84.15
05/26/2017	75501215	SISTERS TWO LLC	PUT	YHOO	06/16/17	46.50	S	240.00	24.00	7.00	17.00	85.0	14.45
05/26/2017	75501215	SISTERS TWO LLC	PUT	YHOO	06/16/17	47.50	S	1,840.00	184.00	7.00	177.00	85.0	150.45
05/26/2017	75501215	SISTERS TWO LLC	PUT	YHOO	06/16/17	47	S	340.00	34.00	7.00	27.00	85.0	22.95
05/01/2017	75502037	WINICK, BARBARA	CALL	BAC	05/12/17	24	S	2,880.00	0.00	7.00	-7.00	85.0	-5.95
05/19/2017	75502037	WINICK, BARBARA	CALL	BAC	06/23/17	24.50	S	3,600.00	0.00	7.00	-7.00	85.0	-5.95
05/08/2017	75502072	ROTTERMANN, BLUMA	PUT	YHOO	06/09/17	46.50	S	1,630.00	150.00	7.00	143.00	85.0	121.55
05/09/2017	75502072	ROTTERMANN, BLUMA	PUT	YHOO	06/02/17	47.50	S	1,000.00	100.00	7.00	93.00	85.0	79.05
05/18/2017	75502072	ROTTERMANN, BLUMA	PUT	YHOO	06/02/17	47	S	1,020.00	102.00	7.00	95.00	85.0	80.75
05/25/2017	75502072	ROTTERMANN, BLUMA	PUT	YHOO	06/23/17	47	S	2,500.00	250.00	7.00	243.00	85.0	206.55
* OPTIONS SUBTOTAL *							\$2,976,765.70	\$71,052.00	\$1,785.00	\$69,267.00	\$58,876.95		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS													
05/10/2017	75500859	LABELLA, CHARLES	BARON SELECT FDS				B	0.00	6.79	0.00	6.79	85.0	5.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$6.79	\$0.00	\$6.79	\$5.77		
*** BROKER TRANSACTIONS TOTAL ***							\$4,384,954.68	\$71,276.29	\$2,093.00	\$69,183.29	\$58,805.80		

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-496.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-27.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-543.00

BROKER COMMISSION TOTALS

Broker Transactions	\$58,805.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-543.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-89.10
SIPC Assessment	\$-1,336.43

Check Amount	\$56,837.27
Year-to-date Earnings	\$267,217.12