Page No.

Concorde 🙆

COMMISSION STATEMENT April 15, 2018

JOHN BEAN 6641 N. High Street Suite 203

Worthington, OH 43085

BROKER #: B117 Terminated 05/22/2015

BRANCH: THE FINANCIAL SOLUTI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | | | | |
|---|----------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|--|--|--|--|--|
| DIRECT TRANSACTIONS | | | | | | | | | | | | | |
| PRODUCT CA | TEGORY: VARIABLE ANNUITIES | | | | | | | | | | | | |
| 03/30/2018 | MAST, DEBORAH | NATIONWIDE DESTINATION L2 01 | 96,675.89 | 241.69 | 0.00 | 241.69 | 0.0 | 0.00 | | | | | |
| | | * VARIABLE ANNUITIES SUBTOTAL * | \$96,675.89 | \$241.69 | \$0.00 | \$241.69 | | \$0.00 | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | | | | |
| 03/15/2018 | HODGE, WENDY | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.32 | 0.00 | 0.32 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, GREGORY | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, MILLER | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, GREGORY | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | HODGE, WENDY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.25 | 0.00 | 0.25 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, GREGORY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, MILLER | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, GREGORY | AM FUNDS CAPITAL WORLD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | HODGE, WENDY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.82 | 0.00 | 0.82 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, GREGORY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, MILLER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | DANIEL, GREGORY | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.00 | | | | | |
| 03/22/2018 | HASENAUER, CAROL | CALAMOS EVOLVING WORLD GROWTH A | 0.00 | 0.23 | 0.00 | 0.23 | 0.0 | 0.00 | | | | | |
| 03/22/2018 | HASENAUER, CAROL | CALAMOS HIGH INCOME A | 0.00 | 0.11 | 0.00 | 0.11 | 0.0 | 0.00 | | | | | |
| 03/22/2018 | HODGE, WENDY | CALAMOS HIGH INCOME A | 0.00 | 0.16 | 0.00 | 0.16 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, TROY | CALVERT EQUITY PORTFOLIO FUND CLASS | 0.00 | 0.69 | 0.00 | 0.69 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, JODY | CALVERT EQUITY PORTFOLIO FUND CLASS | 0.00 | 0.58 | 0.00 | 0.58 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, TROY | CALVERT INCOME FUND CLASS A | 0.00 | 0.42 | 0.00 | 0.42 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, JODY | CALVERT INCOME FUND CLASS A | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, JODY | CALVERT INTERNATIONAL EQUITY FUND C | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, TROY | CALVERT SMALL CAP FUND CLASS A | 0.00 | 0.31 | 0.00 | 0.31 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | KING, JODY | CALVERT SMALL CAP FUND CLASS A | 0.00 | 0.15 | 0.00 | 0.15 | 0.0 | 0.00 | | | | | |
| 03/15/2018 | HASENAUER, CAROL | FRANKLIN INCOME A | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.00 | | | | | |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------------------|--------------------------------------|--|---------------------|-----------------------|-------------------|--------|----------------------|
| | | DIRECT TRANS | ACTIONS | | | | | |
| PRODUCT CA | TEGORY: MUTUAL FUN | ID 12B1 TRAILS | | | | | | |
| 03/20/2018 | THOMAS, MARILYN | OPPENHEIMER GLOBAL STRATEGIC INC A | 0.00 | 0.97 | 0.00 | 0.97 | 0.0 | 0.00 |
| 03/20/2018 | THOMAS, MARILYN | OPPENHEIMER MAIN STREET A | 0.00 | 4.85 | 0.00 | 4.85 | 0.0 | 0.00 |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$11.28 | \$0.00 | \$11.28 | | \$0.00 |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$96,675.89 | \$252.97 | \$0.00 | \$252.97 | | \$0.00 |
| | | | | | I | BROKER COMMI | SSION | TOTALS |
| | | | | | Broke | r Transactions | | \$0.00 |
| | | | | | Split Transactions | | | \$0.00 |
| | | | | | Override Transactions | | | \$0.00 |
| | | | | | Adjustments | | | \$0.00 |
| | | | | | Payroll Draw | | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | Prior | Period Balance | | \$0.00 |
| | | | | | FI | NRA Assessment | | \$0.00 |
| | | | | | S | IPC Assessment | | \$0.00 |
| | | | Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forward | | | 1 | \$0.00 | |
| | | | - | | Year | r-to-date Gross | 5 | \$1,806.16 |
| | | | | | Year-to | o-date Earnings | 5 | \$0.00 |