

STATEMENT

February 20, 2018

ED MCCLOUD
2720 Bella Vista Dr

BROKER #: 151
BRANCH:

Davenport, FL 33897

COMMISSION STATEMENT for ED MCCLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/20/2017	#02560006765	TO STA TOD INTERNATIONAL VALUE FUND C	30.00	0.30	95.0	0.00	0.29	0.00	0.29
11/20/2017	#07650692505	WISSEL, RICHARD OPPENHEIMER CAPITAL APPRECIATION FU	25.00	1.00	95.0	0.00	0.95	0.00	0.95
11/20/2017	#08820012023	WISSEL, RICHARD OPPENHEIMER INTERNATIONAL BOND FUND	25.00	0.25	95.0	0.00	0.24	0.00	0.24
11/27/2017	#07651047508	WALDORF 30, OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	95.0	0.00	2.26	0.00	2.26
11/28/2017	#07002685510	ALLRED, CORBIN OPPENHEIMER MAIN STREET FUND CL A	458.00	13.74	95.0	0.00	13.05	0.00	13.05
12/01/2017	#02365509009	ALERD, M QUEST OPPORTUNITY VALUE FUND A	50.00	1.50	95.0	0.00	1.43	0.00	1.43
12/06/2017	#00100022056	PRICE B J GLOBAL MULTI ALTERNATIVES FUNDS	480.00	22.80	95.0	0.00	21.66	0.00	21.66
12/06/2017	#00100022056	PRICE B J GLOBAL MULTI ALTERNATIVES FUNDS	480.00	22.80	95.0	0.00	21.66	0.00	21.66
12/06/2017	#07452952169	GRAHAM, TAMMY OPPENHEIMER DISCOVERY FUND CL A	148.50	7.05	95.0	0.00	6.70	0.00	6.70
12/06/2017	#07452952169	GRAHAM, TAMMY OPPENHEIMER DISCOVERY FUND CL A	148.50	7.05	95.0	0.00	6.70	0.00	6.70
12/06/2017	#03302455664	GRAHAM, TAMMY OPPENHEIMER GLOBAL FUND CL A	153.00	7.27	95.0	0.00	6.91	0.00	6.91
12/06/2017	#03302455664	GRAHAM, TAMMY OPPENHEIMER GLOBAL FUND CL A	153.00	7.27	95.0	0.00	6.91	0.00	6.91
12/06/2017	#08472908873	GRAHAM, TAMMY OPPENHEIMER MAIN STR SMALL & MID CA	148.50	7.05	95.0	0.00	6.70	0.00	6.70
12/06/2017	#08472908873	GRAHAM, TAMMY OPPENHEIMER MAIN STR SMALL & MID CA	148.50	7.05	95.0	0.00	6.70	0.00	6.70
12/06/2017	#02912006939	VARIOUS MCCLOUD OPPENHEIMER SENIOR FLTG RATE FUND-C	150.00	4.50	95.0	0.00	4.28	0.00	4.28
12/06/2017	#02912006939	VARIOUS MCCLOUD OPPENHEIMER SENIOR FLTG RATE FUND-C	150.00	4.50	95.0	0.00	4.28	0.00	4.28
12/13/2017	#07970145585	VARIOUS MCCLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	111,303.00	1,001.73	95.0	0.00	951.64	0.00	951.64
12/15/2017	#07003376246	MCCLOUD, SAMUEL OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	95.0	0.00	2.26	0.00	2.26
12/20/2017	#02560006765	TO STA TOD INTERNATIONAL VALUE FUND C	30.00	0.30	95.0	0.00	0.29	0.00	0.29
12/20/2017	#07650692505	WISSEL, RICHARD OPPENHEIMER CAPITAL APPRECIATION FU	25.00	1.00	95.0	0.00	0.95	0.00	0.95
12/20/2017	#08820012023	WISSEL, RICHARD OPPENHEIMER INTERNATIONAL BOND FUND	25.00	0.25	95.0	0.00	0.24	0.00	0.24
12/26/2017	#07651047508	WALDORF 30, OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	95.0	0.00	2.26	0.00	2.26
12/28/2017	#07002685510	ALLRED, CORBIN OPPENHEIMER MAIN STREET FUND CL A	458.00	13.74	95.0	0.00	13.05	0.00	13.05
01/02/2018	#04000604491	MO/TOD CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	95.0	0.00	2.38	0.00	2.38
01/02/2018	#00085575331	LEIMKUEHLER, CAPITAL WORLD GROWTH & INCOME FUND	50.00	0.50	95.0	0.00	0.48	0.00	0.48
01/02/2018	#04000453987	SMITH, CAROL CAPITAL WORLD GROWTH & INCOME FUND	822.03	22.61	95.0	0.00	21.48	0.00	21.48
01/02/2018	#02365509009	ALERD, M QUEST OPPORTUNITY VALUE FUND A	50.00	1.50	95.0	0.00	1.43	0.00	1.43
01/03/2018	#00069082916	BOSLEY, ARCHIE INVESTMENT CO AMER CLASS A	270.00	5.40	95.0	0.00	5.13	0.00	5.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/03/2018	#00069082918	BUTLER, WILLIAM	INVESTMENT CO AMER CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
01/03/2018	#00069082924	MAUPIN, KAREN	INVESTMENT CO AMER CLASS A	1,219.92	24.40	95.0	0.00	23.18	23.18
01/03/2018	#00069082928	GRIGGS, RUSSELL	INVESTMENT CO AMER CLASS A	234.00	4.68	95.0	0.00	4.45	4.45
01/03/2018	#00086977497	HOWERY, WESLEY	INVESTMENT CO AMER CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
01/03/2018	#00088399187	DIEHJACOB	INVESTMENT CO AMER CLASS A	180.00	3.60	95.0	0.00	3.42	3.42
01/03/2018	#00086977487	HENSLEY, JAMES	NEW ECONOMY FUND SBI CLASS A	270.00	5.40	95.0	0.00	5.13	5.13
01/16/2018	#00085968220	KELLER, RACHEL	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	95.0	0.00	0.48	0.48
01/16/2018	#00089844148	HARRYELEAN	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	95.0	0.00	0.48	0.48
01/16/2018	#00087408983	UNTIL AGE 21,	NEW ECONOMY FUND SBI CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
01/16/2018	#00085968135	KELLER, RACHEL	NEW WORLD FUND INC NEW CL C	50.00	0.50	95.0	0.00	0.48	0.48
01/16/2018	#00088720593	UNTIL AGE 21,	NEW WORLD FUND INC NEW CL C	100.00	1.00	95.0	0.00	0.95	0.95
01/16/2018	#00085968135	KELLER, RACHEL	THE NEW ECONOMY FUND-C	50.00	0.50	95.0	0.00	0.48	0.48
02/01/2018	#04000604491	MO/TOD	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	95.0	0.00	2.38	2.38
02/01/2018	#00085575331	LEIMKUEHLER,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	0.50	95.0	0.00	0.48	0.48
* MUTUAL FUNDS SUBTOTAL *				\$118,874.95	\$1,228.18		\$0.00	\$1,166.86	\$0.00 \$1,166.86
PRODUCT CATEGORY: STOCKS									
01/18/2018	# CARTER, SANDRA	VEREIT INC COM	1,386.35	40.00	95.0	0.00	38.00	19.95	18.05
* STOCKS SUBTOTAL *				\$1,386.35	\$40.00		\$0.00	\$38.00	\$19.95 \$18.05
PRODUCT CATEGORY: BONDS									
01/02/2018	# CAMPBELL, HAROLD	ST LOUIS MO PKG REV PARKING FACILIT	30,679.80	300.00	95.0	0.00	285.00	25.00	260.00
* BONDS SUBTOTAL *				\$30,679.80	\$300.00		\$0.00	\$285.00	\$25.00 \$260.00
PRODUCT CATEGORY: VARIABLE ANNUITIES									
01/03/0018	#C2294210W	ELLIOTT, VICKI	VOYA FINANCIAL	0.00	14.88	95.0	0.00	14.14	14.14
01/04/0018	#C1387490W	POWER, DANIEL	VOYA FINANCIAL	0.00	4.72	95.0	0.00	4.48	4.48
01/05/0018	#C1460430W	SWENSON, JANETTE	VOYA FINANCIAL	0.00	127.31	95.0	0.00	120.94	120.94
01/06/0018	#C1739820W	SCHALK, HAROLD	VOYA FINANCIAL	0.00	16.33	95.0	0.00	15.51	15.51
01/09/0018	#E1470659	KELLY, KELLY	PRUDETIAL TRAILS	0.00	10.69	95.0	0.00	10.16	10.16
12/11/2017	#C2251340W	WELHOFF, TONY	VOYA FINANCIAL	0.00	31.13	95.0	0.00	29.57	29.57
12/22/2017	#C1387180W	POWER, LINDA	VOYA FINANCIAL	100.00	4.75	95.0	0.00	4.51	4.51
12/22/2017	#C1387490W	POWER, DANIEL	VOYA FINANCIAL	100.00	4.75	95.0	0.00	4.51	4.51
12/27/2017	#I0610220W	MCCLOUD, SAMUEL	VOYA FINANCIAL	0.00	16.36	95.0	0.00	15.54	15.54
12/31/2017	#959717367	ANDREWS, SANDRA	LINCOLN	0.00	109.89	95.0	0.00	104.40	104.40
12/31/2017	#E1305719	CHANDLER, STANLEY	PRUDETIAL TRAILS	0.00	24.65	95.0	0.00	23.42	23.42
12/31/2017	#E1370788	MCCUE, DANA	PRUDETIAL TRAILS	0.00	10.41	95.0	0.00	9.89	9.89

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#E1145757	LEIMKUEHLER, PRUDETIAL TRAILS	0.00	38.36	95.0	0.00	36.44	0.00	36.44
12/31/2017	#E1218307	NICKELS, JEANETTE PRUDETIAL TRAILS	0.00	22.98	95.0	0.00	21.83	0.00	21.83
12/31/2017	#E1136566	KELLY, KELLY PRUDETIAL TRAILS	0.00	8.92	95.0	0.00	8.47	0.00	8.47
12/31/2017	#E1216981	HOBBS, KAREN PRUDETIAL TRAILS	0.00	6.72	95.0	0.00	6.38	0.00	6.38
12/31/2017	#E1216132	SWITALA, DONALD PRUDETIAL TRAILS	0.00	8.69	95.0	0.00	8.26	0.00	8.26
12/31/2017	#E1219518	HOBBS, KAREN PRUDETIAL TRAILS	0.00	3.96	95.0	0.00	3.76	0.00	3.76
12/31/2017	#E1512167	SMITH, BETTY PRUDETIAL TRAILS	0.00	56.86	95.0	0.00	54.02	0.00	54.02
12/31/2017	#E1023703	RIFFLE, GAIL PRUDETIAL TRAILS	0.00	16.32	95.0	0.00	15.50	0.00	15.50
12/31/2017	#E1711242	BALLARD, BRUCE PRUDETIAL TRAILS	0.00	74.98	95.0	0.00	71.23	0.00	71.23
12/31/2017	#E1470659	KELLY, KELLY PRUDETIAL TRAILS	0.00	9.04	95.0	0.00	8.59	0.00	8.59
12/31/2017	#E1471589	MORGAN, CAROLYN PRUDETIAL TRAILS	0.00	3.81	95.0	0.00	3.62	0.00	3.62
12/31/2017	#E1453545	WHEELER, MICHAEL PRUDETIAL TRAILS	0.00	11.40	95.0	0.00	10.83	0.00	10.83
12/31/2017	#E1471617	MORGAN, LARRY PRUDETIAL TRAILS	0.00	11.52	95.0	0.00	10.94	0.00	10.94
12/31/2017	#E1398877	AHRENS, MARILYN PRUDETIAL TRAILS	0.00	6.80	95.0	0.00	6.46	0.00	6.46
12/31/2017	#E1391003	AHRENS, MARILYN PRUDETIAL TRAILS	0.00	6.05	95.0	0.00	5.75	0.00	5.75
12/31/2017	#E1434414	HINNAH, DALE PRUDETIAL TRAILS	0.00	22.32	95.0	0.00	21.20	0.00	21.20
12/31/2017	#E1478805	BURBEE, CAROLYNN PRUDETIAL TRAILS	0.00	3.25	95.0	0.00	3.09	0.00	3.09
12/31/2017	#E1432944	LATTIMER, MARILYN PRUDETIAL TRAILS	0.00	34.89	95.0	0.00	33.15	0.00	33.15
12/31/2017	#E1349206	CHANDLER, STANLEY PRUDETIAL TRAILS	0.00	28.12	95.0	0.00	26.71	0.00	26.71
12/31/2017	#E1023693	RIFFLE, DONALD PRUDETIAL TRAILS	0.00	7.74	95.0	0.00	7.35	0.00	7.35
12/31/2017	#E1288049	WHEELER, MICHAEL PRUDETIAL TRAILS	0.00	76.66	95.0	0.00	72.83	0.00	72.83
12/31/2017	#E1289481	SMITH, BETTY PRUDETIAL TRAILS	0.00	13.43	95.0	0.00	12.76	0.00	12.76
12/31/2017	#E0938227	MCCLOUD, JOHN PRUDETIAL TRAILS	0.00	5.38	95.0	0.00	5.11	0.00	5.11
12/31/2017	#E0937815	URBAN, JEFFREY PRUDETIAL TRAILS	0.00	13.02	95.0	0.00	12.37	0.00	12.37
12/31/2017	#E0937777	URBAN, CAROL PRUDETIAL TRAILS	0.00	34.15	95.0	0.00	32.44	0.00	32.44
12/31/2017	#000460739	TURNBOW DDS APC, PRUDETIAL TRAILS	0.00	0.99	95.0	0.00	0.94	0.00	0.94
12/31/2017	#E0895873	SWAIN, THOMAS PRUDETIAL TRAILS	0.00	80.68	95.0	0.00	76.65	0.00	76.65
12/31/2017	#E0901812	MCCLOUD, VICKIE PRUDETIAL TRAILS	0.00	7.29	95.0	0.00	6.93	0.00	6.93
12/31/2017	#E0886340	MCKINLEY, RICK PRUDETIAL TRAILS	0.00	11.86	95.0	0.00	11.27	0.00	11.27
12/31/2017	#E0902192	MCCLOUD, ED PRUDETIAL TRAILS	0.00	2.46	95.0	0.00	2.34	0.00	2.34
12/31/2017	#E0819400	KELLER, MARY PRUDETIAL TRAILS	0.00	4.78	95.0	0.00	4.54	0.00	4.54
12/31/2017	#E0669594	GENTRY, ELLIS PRUDETIAL TRAILS	0.00	41.91	95.0	0.00	39.81	0.00	39.81
12/31/2017	#E0669594	GENTRY, ELLIS PRUDETIAL TRAILS	0.00	13.97	95.0	0.00	13.27	0.00	13.27
12/31/2017	#E0788888	SWITALA, MYRA PRUDETIAL TRAILS	0.00	7.35	95.0	0.00	6.98	0.00	6.98
12/31/2017	#E0680847	ROBLES, ALICIA PRUDETIAL TRAILS	0.00	44.02	95.0	0.00	41.82	0.00	41.82
12/31/2017	#E0680847	ROBLES, ALICIA PRUDETIAL TRAILS	0.00	14.67	95.0	0.00	13.94	0.00	13.94

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#E0806197	KELLER, MARY	PRUDETIAL TRAILS	0.00	111.66	95.0	0.00	106.08	106.08
12/31/2017	#E0675180	LATTIMER, MARILYN	PRUDETIAL TRAILS	0.00	11.83	95.0	0.00	11.24	11.24
12/31/2017	#E0678003	SMITH, GARY	PRUDETIAL TRAILS	0.00	65.29	95.0	0.00	62.03	62.03
12/31/2017	#E0726196	RARDON, SHARON	PRUDETIAL TRAILS	0.00	27.42	95.0	0.00	26.05	26.05
12/31/2017	#E0905399	HARTLEY, WENDY	PRUDETIAL TRAILS	0.00	13.11	95.0	0.00	12.45	12.45
12/31/2017	#E0797192	MCMANNAMA, LINDA	PRUDETIAL TRAILS	0.00	19.51	95.0	0.00	18.53	18.53
12/31/2017	#E0691275	SMITH, SUSAN	PRUDETIAL TRAILS	0.00	7.02	95.0	0.00	6.67	6.67
12/31/2017	#E0691225	TURNBOW DDS APC,	PRUDETIAL TRAILS	0.00	5.93	95.0	0.00	5.63	5.63
12/31/2017	#E0751810	GROSSENBURG,	PRUDETIAL TRAILS	0.00	3.79	95.0	0.00	3.60	3.60
12/31/2017	#E0476729	NAYLOR, THOMAS	PRUDETIAL TRAILS	0.00	152.79	95.0	0.00	145.15	145.15
12/31/2017	#E0745755	CARTER, SANDRA	PRUDETIAL TRAILS	0.00	70.25	95.0	0.00	66.74	66.74
12/31/2017	#E0752215	GROSSENBURG, DAVID	PRUDETIAL TRAILS	0.00	6.01	95.0	0.00	5.71	5.71
12/31/2017	#E0547168	PETERS, ROBERT	PRUDETIAL TRAILS	0.00	17.22	95.0	0.00	16.36	16.36
12/31/2017	#E0476729	NAYLOR, THOMAS	PRUDETIAL TRAILS	0.00	50.93	95.0	0.00	48.38	48.38
12/31/2017	#E0539143	FLORA, JOHN	PRUDETIAL TRAILS	0.00	157.71	95.0	0.00	149.82	149.82
12/31/2017	#E0538766	MCMANNAMA, LINDA	PRUDETIAL TRAILS	0.00	4.71	95.0	0.00	4.47	4.47
12/31/2017	#E0681442	ROBLES, ALICIA	PRUDETIAL TRAILS	0.00	151.22	95.0	0.00	143.66	143.66
12/31/2017	#E0539143	FLORA, JOHN	PRUDETIAL TRAILS	0.00	52.57	95.0	0.00	49.94	49.94
12/31/2017	#E0905302	HARTLEY, TODD	PRUDETIAL TRAILS	0.00	20.07	95.0	0.00	19.07	19.07
12/31/2017	#E0595236	RIGGS, PAMELA	PRUDETIAL TRAILS	0.00	2.26	95.0	0.00	2.15	2.15
12/31/2017	#E0596667	LATTIMER, MARTIN	PRUDETIAL TRAILS	0.00	3.33	95.0	0.00	3.16	3.16
12/31/2017	#E0783016	JAMES, TODD	PRUDETIAL TRAILS	0.00	27.67	95.0	0.00	26.29	26.29
12/31/2017	#E0985978	RIGGS, KEVIN	PRUDETIAL TRAILS	0.00	6.50	95.0	0.00	6.18	6.18
12/31/2017	#E0561607	MCNABB, JAMES	PRUDETIAL TRAILS	0.00	21.91	95.0	0.00	20.81	20.81
12/31/2017	#E0585193	GROSSENBURG, DAVID	PRUDETIAL TRAILS	0.00	68.43	95.0	0.00	65.01	65.01
12/31/2017	#E0831799	CORN, KELLY	PRUDETIAL TRAILS	0.00	38.60	95.0	0.00	36.67	36.67
12/31/2017	#E0503759	BAKER, CAROL	PRUDETIAL TRAILS	0.00	36.05	95.0	0.00	34.25	34.25
12/31/2017	#E0503759	BAKER, CAROL	PRUDETIAL TRAILS	0.00	12.02	95.0	0.00	11.42	11.42
12/31/2017	#E0874940	HOOPER DECEA,	PRUDETIAL TRAILS	0.00	11.21	95.0	0.00	10.65	10.65
12/31/2017	#E0562383	MCNABB, CHARLA	PRUDETIAL TRAILS	0.00	10.12	95.0	0.00	9.61	9.61
12/31/2017	#E0525044	CAMPBELL, VIRGINIA	PRUDETIAL TRAILS	0.00	28.64	95.0	0.00	27.21	27.21
12/31/2017	#E0525044	CAMPBELL, VIRGINIA	PRUDETIAL TRAILS	0.00	9.55	95.0	0.00	9.07	9.07
12/31/2017	#E0525026	CAMPBELL, HAROLD	PRUDETIAL TRAILS	0.00	32.13	95.0	0.00	30.52	30.52
12/31/2017	#E0525026	CAMPBELL, HAROLD	PRUDETIAL TRAILS	0.00	10.71	95.0	0.00	10.17	10.17
12/31/2017	#C1387180W	POWER, LINDA	VOYA FINANCIAL	0.00	48.29	95.0	0.00	45.88	45.88
01/04/2018	#C0802380W	HAWLEY, MARLYN	VOYA FINANCIAL	0.00	13.65	95.0	0.00	12.97	12.97

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/07/2018	#C1141420W	SCHROER, MARK	VOYA FINANCIAL	0.00	25.99	95.0	0.00	24.69	24.69
01/07/2018	#C1398040W	SWAIN, ELIZABETH	VOYA FINANCIAL	0.00	85.08	95.0	0.00	80.83	80.83
01/07/2018	#C1398290W	SWAIN, THOMAS	VOYA FINANCIAL	0.00	78.67	95.0	0.00	74.74	74.74
01/09/2018	#C1587300W	SHULL, ROBERT	VOYA FINANCIAL	0.00	178.16	95.0	0.00	169.25	169.25
			* VARIABLE ANNUITIES SUBTOTAL *	\$200.00	\$2,829.23		\$0.00	\$2,687.76	\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#08670003300	VARIOUS MCCLLOUD	AMT-FREE MUNICIPALS C	0.00	23.70	95.0	0.00	22.52	22.52
12/31/2017	#07860009702	WISSEL, RICHARD	DEVELOPING MARKETS FUND B	0.00	0.14	95.0	0.00	0.13	0.13
12/31/2017	#07860049390	KELLY UTMA MO,	DEVELOPING MARKETS FUND B	0.00	0.20	95.0	0.00	0.19	0.19
12/31/2017	#07460820721	GRAHAM, TAMMY	DISCOVERY FUND B	0.00	1.02	95.0	0.00	0.97	0.97
12/31/2017	#02620010263	GRAHAM, TAMMY	GLOBAL FUND B	0.00	0.94	95.0	0.00	0.89	0.89
12/31/2017	#00100022056	PRICE B J	GLOBAL MULTI ALTERNATIVES FUNDS	0.00	4.42	95.0	0.00	4.20	4.20
12/31/2017	#02320348480	VARIOUS MCCLLOUD	GLOBAL STRATEGIC INCOME FUND C	0.00	69.53	95.0	0.00	66.05	66.05
12/31/2017	#02320399190	VARIOUS MCCLLOUD	GLOBAL STRATEGIC INCOME FUND C	0.00	36.04	95.0	0.00	34.24	34.24
12/31/2017	#02820104302	VARIOUS MCCLLOUD	GLOBAL STRATEGIC INCOME FUND C	0.00	0.49	95.0	0.00	0.47	0.47
12/31/2017	#08150305866	VARIOUS MCCLLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	13.53	95.0	0.00	12.85	12.85
12/31/2017	#08150499906	VARIOUS MCCLLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	57.25	95.0	0.00	54.39	54.39
12/31/2017	#08150821326	TRANS MIN ACT	INTERNATIONAL SMALL COMPANY FUND A	0.00	17.41	95.0	0.00	16.54	16.54
12/31/2017	#08151084897	VARIOUS MCCLLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	27.48	95.0	0.00	26.11	26.11
12/31/2017	#08152058562	VARIOUS MCCLLOUD	INTERNATIONAL SMALL COMPANY FUND A	0.00	1.50	95.0	0.00	1.43	1.43
12/31/2017	#08160052206	TRANS MIN ACT	INTERNATIONAL SMALL COMPANY FUND B	0.00	0.27	95.0	0.00	0.26	0.26
12/31/2017	#02540311608	VARIOUS MCCLLOUD	INTERNATIONAL VALUE FUND A	0.00	19.19	95.0	0.00	18.23	18.23
12/31/2017	#02560006765	TO STA TOD	INTERNATIONAL VALUE FUND C	0.00	9.71	95.0	0.00	9.22	9.22
12/31/2017	#07011431881	MCCLLOUD, SAMUEL	MAIN STREET FUND B	0.00	0.43	95.0	0.00	0.41	0.41
12/31/2017	#08480144936	GRAHAM, TAMMY	MAIN STREET SMALL- & MID-CAP FUND B	0.00	0.78	95.0	0.00	0.74	0.74
12/31/2017	#03101478466	VARIOUS MCCLLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	25.87	95.0	0.00	24.58	24.58
12/31/2017	#03101518858	VARIOUS MCCLLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	48.71	95.0	0.00	46.27	46.27
12/31/2017	#03101518891	VARIOUS MCCLLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	48.71	95.0	0.00	46.27	46.27
12/31/2017	#03101518957	VARIOUS MCCLLOUD	OPPENHEIMER AMT FREE MUNICIPALS CL	0.00	26.56	95.0	0.00	25.23	25.23
12/31/2017	#03200790515	VARIOUS MCCLLOUD	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	16.96	95.0	0.00	16.11	16.11
12/31/2017	#03205103868	VARIOUS MCCLLOUD	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	7.05	95.0	0.00	6.70	6.70
12/31/2017	#03209267401	VARIOUS MCCLLOUD	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	4.50	95.0	0.00	4.28	4.28
12/31/2017	#03212019106	SHANNON,	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	1.07	95.0	0.00	1.02	1.02
12/31/2017	#07650692505	WISSEL, RICHARD	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	5.81	95.0	0.00	5.52	5.52
12/31/2017	#07650846021	VARIOUS MCCLLOUD	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	29.87	95.0	0.00	28.38	28.38

COMMISSION STATEMENT for ED MCCLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#07651047508	WALDORF 30, OPPENHEIMER CAPITAL APPRECIATION FU	0.00	18.90	95.0	0.00	17.96	0.00	17.96
12/31/2017	#08851047123	VARIOUS MCCLOUD OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.00	95.0	0.00	0.00	0.00	0.00
12/31/2017	#02711804418	SHANNON, OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.85	95.0	0.00	0.81	0.00	0.81
12/31/2017	#07660564597	WALDORF 30, OPPENHEIMER CAPITAL APPRECIATION FU	0.00	0.27	95.0	0.00	0.26	0.00	0.26
12/31/2017	#02720132979	VARIOUS MCCLOUD OPPENHEIMER CAPITAL APPRECIATION FU	0.00	15.03	95.0	0.00	14.28	0.00	14.28
12/31/2017	#03007899925	VARIOUS MCCLOUD OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	3.51	95.0	0.00	3.33	0.00	3.33
12/31/2017	#03450421146	VARIOUS MCCLOUD OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	25.66	95.0	0.00	24.38	0.00	24.38
12/31/2017	#03020068453	VARIOUS MCCLOUD OPPENHEIMER CAPITAL INCOME FUND-CL	0.00	47.31	95.0	0.00	44.94	0.00	44.94
12/31/2017	#07850011528	VARIOUS MCCLOUD OPPENHEIMER DEVELOPING MARKETS FUND	0.00	33.41	95.0	0.00	31.74	0.00	31.74
12/31/2017	#07850049500	VARIOUS MCCLOUD OPPENHEIMER DEVELOPING MARKETS FUND	0.00	13.81	95.0	0.00	13.12	0.00	13.12
12/31/2017	#07850882200	WISSEL, RICHARD OPPENHEIMER DEVELOPING MARKETS FUND	0.00	27.56	95.0	0.00	26.18	0.00	26.18
12/31/2017	#07851198659	KELLY UTMA MO, OPPENHEIMER DEVELOPING MARKETS FUND	0.00	7.19	95.0	0.00	6.83	0.00	6.83
12/31/2017	#05003631606	VARIOUS MCCLOUD OPPENHEIMER DISCOVERY FUND CL A	0.00	14.67	95.0	0.00	13.94	0.00	13.94
12/31/2017	#05003633520	VARIOUS MCCLOUD OPPENHEIMER DISCOVERY FUND CL A	0.00	5.79	95.0	0.00	5.50	0.00	5.50
12/31/2017	#05003669600	VARIOUS MCCLOUD OPPENHEIMER DISCOVERY FUND CL A	0.00	1.59	95.0	0.00	1.51	0.00	1.51
12/31/2017	#07452864807	VARIOUS MCCLOUD OPPENHEIMER DISCOVERY FUND CL A	0.00	9.44	95.0	0.00	8.97	0.00	8.97
12/31/2017	#07452866798	VARIOUS MCCLOUD OPPENHEIMER DISCOVERY FUND CL A	0.00	4.15	95.0	0.00	3.94	0.00	3.94
12/31/2017	#07452952169	GRAHAM, TAMMY OPPENHEIMER DISCOVERY FUND CL A	0.00	11.36	95.0	0.00	10.79	0.00	10.79
12/31/2017	#07453474669	VARIOUS MCCLOUD OPPENHEIMER DISCOVERY FUND CL A	0.00	3.64	95.0	0.00	3.46	0.00	3.46
12/31/2017	#08352425676	VARIOUS MCCLOUD OPPENHEIMER EQUITY INCOME FUND INC-	0.00	39.71	95.0	0.00	37.72	0.00	37.72
12/31/2017	#03302232694	VARIOUS MCCLOUD OPPENHEIMER GLOBAL FUND CL A	0.00	4.74	95.0	0.00	4.50	0.00	4.50
12/31/2017	#03302455664	GRAHAM, TAMMY OPPENHEIMER GLOBAL FUND CL A	0.00	4.01	95.0	0.00	3.81	0.00	3.81
12/31/2017	#03303346939	NAYLOR, ALBERTA OPPENHEIMER GLOBAL FUND CL A	0.00	12.77	95.0	0.00	12.13	0.00	12.13
12/31/2017	#03304004858	VARIOUS MCCLOUD OPPENHEIMER GLOBAL FUND CL A	0.00	7.02	95.0	0.00	6.67	0.00	6.67
12/31/2017	#03304031093	VARIOUS MCCLOUD OPPENHEIMER GLOBAL FUND CL A	0.00	29.92	95.0	0.00	28.42	0.00	28.42
12/31/2017	#03304031104	VARIOUS MCCLOUD OPPENHEIMER GLOBAL FUND CL A	0.00	59.50	95.0	0.00	56.53	0.00	56.53
12/31/2017	#03304083453	VARIOUS MCCLOUD OPPENHEIMER GLOBAL FUND CL A	0.00	19.61	95.0	0.00	18.63	0.00	18.63
12/31/2017	#03308214107	VARIOUS MCCLOUD OPPENHEIMER GLOBAL FUND CL A	0.00	6.46	95.0	0.00	6.14	0.00	6.14
12/31/2017	#01902794275	BEQUEST, ADAMS OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.33	95.0	0.00	1.26	0.00	1.26
12/31/2017	#01903383765	CRUST, DON OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.85	95.0	0.00	0.81	0.00	0.81
12/31/2017	#02306404313	VARIOUS MCCLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.94	95.0	0.00	1.84	0.00	1.84
12/31/2017	#02803614611	VARIOUS MCCLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	0.68	95.0	0.00	0.65	0.00	0.65
12/31/2017	#02803636611	VARIOUS MCCLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	30.16	95.0	0.00	28.65	0.00	28.65
12/31/2017	#02803823061	VARIOUS MCCLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.33	95.0	0.00	1.26	0.00	1.26
12/31/2017	#02803921907	VARIOUS MCCLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	14.72	95.0	0.00	13.98	0.00	13.98
12/31/2017	#02803947262	VARIOUS MCCLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	1.09	95.0	0.00	1.04	0.00	1.04

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#02804048000	VARIOUS MCCLLOUD OPPENHEIMER GLOBAL STRATEGIC INCOME	0.00	4.26	95.0	0.00	4.05	0.00	4.05
12/31/2017	#08800178981	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	5.57	95.0	0.00	5.29	0.00	5.29
12/31/2017	#08800500984	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	29.25	95.0	0.00	27.79	0.00	27.79
12/31/2017	#08801070025	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	62.10	95.0	0.00	59.00	0.00	59.00
12/31/2017	#08801358269	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	31.91	95.0	0.00	30.31	0.00	30.31
12/31/2017	#08820012023	WISSEL, RICHARD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	4.98	95.0	0.00	4.73	0.00	4.73
12/31/2017	#08820026433	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	184.35	95.0	0.00	175.13	0.00	175.13
12/31/2017	#08820032109	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	173.96	95.0	0.00	165.26	0.00	165.26
12/31/2017	#08822035880	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL BOND FUND	0.00	53.68	95.0	0.00	51.00	0.00	51.00
12/31/2017	#08251074854	VARIOUS MCCLLOUD OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	33.36	95.0	0.00	31.69	0.00	31.69
12/31/2017	#08472312629	VARIOUS MCCLLOUD OPPENHEIMER MAIN STR SMALL & MID CA	0.00	0.01	95.0	0.00	0.01	0.00	0.01
12/31/2017	#08472908873	GRAHAM, TAMMY OPPENHEIMER MAIN STR SMALL & MID CA	0.00	4.23	95.0	0.00	4.02	0.00	4.02
12/31/2017	#08472908884	VARIOUS MCCLLOUD OPPENHEIMER MAIN STR SMALL & MID CA	0.00	3.63	95.0	0.00	3.45	0.00	3.45
12/31/2017	#04202748922	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	12.67	95.0	0.00	12.04	0.00	12.04
12/31/2017	#07002670649	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	4.38	95.0	0.00	4.16	0.00	4.16
12/31/2017	#07002685510	ALLRED, CORBIN OPPENHEIMER MAIN STREET FUND CL A	0.00	29.90	95.0	0.00	28.41	0.00	28.41
12/31/2017	#07003228643	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	6.19	95.0	0.00	5.88	0.00	5.88
12/31/2017	#07003317215	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	24.84	95.0	0.00	23.60	0.00	23.60
12/31/2017	#07003317237	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	40.78	95.0	0.00	38.74	0.00	38.74
12/31/2017	#07003376246	MCCLLOUD, SAMUEL OPPENHEIMER MAIN STREET FUND CL A	0.00	24.19	95.0	0.00	22.98	0.00	22.98
12/31/2017	#07003458741	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	18.83	95.0	0.00	17.89	0.00	17.89
12/31/2017	#07003478387	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	12.17	95.0	0.00	11.56	0.00	11.56
12/31/2017	#07003690758	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	13.86	95.0	0.00	13.17	0.00	13.17
12/31/2017	#07003712153	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	55.59	95.0	0.00	52.81	0.00	52.81
12/31/2017	#07003712164	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	24.59	95.0	0.00	23.36	0.00	23.36
12/31/2017	#07003735572	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	29.30	95.0	0.00	27.84	0.00	27.84
12/31/2017	#07003794824	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	7.24	95.0	0.00	6.88	0.00	6.88
12/31/2017	#07004285468	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	48.59	95.0	0.00	46.16	0.00	46.16
12/31/2017	#07005287573	NAYLOR, THOMAS OPPENHEIMER MAIN STREET FUND CL A	0.00	78.70	95.0	0.00	74.77	0.00	74.77
12/31/2017	#07006214125	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	37.56	95.0	0.00	35.68	0.00	35.68
12/31/2017	#07006214158	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	22.96	95.0	0.00	21.81	0.00	21.81
12/31/2017	#07006616054	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	13.74	95.0	0.00	13.05	0.00	13.05
12/31/2017	#07008900842	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	30.85	95.0	0.00	29.31	0.00	29.31
12/31/2017	#07008960869	VARIOUS MCCLLOUD OPPENHEIMER MAIN STREET FUND CL A	0.00	34.99	95.0	0.00	33.24	0.00	33.24
12/31/2017	#07950224180	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	123.07	95.0	0.00	116.92	0.00	116.92
12/31/2017	#07950619938	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	61.19	95.0	0.00	58.13	0.00	58.13

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	#07951989361	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	145.47	95.0	0.00	138.20	0.00	138.20
12/31/2017	#07952134737	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	16.02	95.0	0.00	15.22	0.00	15.22
12/31/2017	#07952313971	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	7.50	95.0	0.00	7.13	0.00	7.13
12/31/2017	#07952768359	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	12.68	95.0	0.00	12.05	0.00	12.05
12/31/2017	#07952800655	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	46.72	95.0	0.00	44.38	0.00	44.38
12/31/2017	#07970134706	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	47.09	95.0	0.00	44.74	0.00	44.74
12/31/2017	#07970145585	VARIOUS MCCLLOUD OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	504.03	95.0	0.00	478.83	0.00	478.83
12/31/2017	#02912006939	VARIOUS MCCLLOUD OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	5.00	95.0	0.00	4.75	0.00	4.75
12/31/2017	#02912460227	BROWN, KEITH OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	10.45	95.0	0.00	9.93	0.00	9.93
12/31/2017	#02920616814	BROWN, KEITH OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	1.48	95.0	0.00	1.41	0.00	1.41
12/31/2017	#02931834052	VARIOUS MCCLLOUD OPPENHEIMER SENIOR FLTG RATE FUND-C	0.00	73.19	95.0	0.00	69.53	0.00	69.53
12/31/2017	#00100024443	MCCLLOUD, ED OPPENHEIMER SR FLOATING RATE PLUS F	0.00	0.08	95.0	0.00	0.08	0.00	0.08
12/31/2017	#02363255142	VARIOUS MCCLLOUD QUEST OPPORTUNITY VALUE FUND A	0.00	26.17	95.0	0.00	24.86	0.00	24.86
12/31/2017	#02363480675	VARIOUS MCCLLOUD QUEST OPPORTUNITY VALUE FUND A	0.00	2.53	95.0	0.00	2.40	0.00	2.40
12/31/2017	#02364233251	VARIOUS MCCLLOUD QUEST OPPORTUNITY VALUE FUND A	0.00	0.80	95.0	0.00	0.76	0.00	0.76
12/31/2017	#02365509009	ALERD, M QUEST OPPORTUNITY VALUE FUND A	0.00	0.64	95.0	0.00	0.61	0.00	0.61
12/31/2017	#02270945791	VARIOUS MCCLLOUD RISING DIVIDENDS FUND C	0.00	114.83	95.0	0.00	109.09	0.00	109.09
12/31/2017	#02271219317	VARIOUS MCCLLOUD RISING DIVIDENDS FUND C	0.00	0.09	95.0	0.00	0.09	0.00	0.09
12/31/2017	#00100015720	TO STA TOD SENIOR FLOATING RATE FUND	0.00	64.52	95.0	0.00	61.29	0.00	61.29
12/31/2017	#00100026071	WINGERT, ETTA SENIOR FLOATING RATE FUND	0.00	20.37	95.0	0.00	19.35	0.00	19.35
12/31/2017	#00100026885	MCCLLOUD, GENE SENIOR FLOATING RATE FUND	0.00	8.91	95.0	0.00	8.46	0.00	8.46
12/31/2017	#00100026896	MCCLLOUD, SENIOR FLOATING RATE FUND	0.00	2.25	95.0	0.00	2.14	0.00	2.14
12/31/2017	#00100026907	HOBBS, LEONA SENIOR FLOATING RATE FUND	0.00	7.34	95.0	0.00	6.97	0.00	6.97
12/31/2017	#00100026918	LEONA HOBBS SENIOR FLOATING RATE FUND	0.00	10.85	95.0	0.00	10.31	0.00	10.31
12/31/2017	#00100026929	ALLRED G H SENIOR FLOATING RATE FUND	0.00	28.81	95.0	0.00	27.37	0.00	27.37
12/31/2017	#00100032946	SWENSON, SENIOR FLOATING RATE FUND	0.00	17.56	95.0	0.00	16.68	0.00	16.68
12/31/2017	#00100059192	HOBBS, CURT STEELPATH MLP ALPHA PLUS FUND	0.00	0.21	95.0	0.00	0.20	0.00	0.20
12/31/2017	#02220116699	VARIOUS MCCLLOUD U.S. GOVERNMENT TRUST C	0.00	26.48	95.0	0.00	25.16	0.00	25.16
01/01/2018	#03810383384	FORRESTER, INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	4.64	95.0	0.00	4.41	0.00	4.41
01/01/2018	#03810383384	FORRESTER, INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	0.93	95.0	0.00	0.88	0.00	0.88
01/10/2018	# AHMANN, ANNA	DAIN TRAILER	0.00	0.53	95.0	0.00	0.50	0.00	0.50
01/10/2018	# AHMANN, ANNA	DAIN TRAILER	0.00	1.08	95.0	0.00	1.03	0.00	1.03
01/10/2018	# HOEFlicker, SHARON	DAIN TRAILER	0.00	14.09	95.0	0.00	13.39	0.00	13.39
01/10/2018	# BURBEE, STEVE	DAIN TRAILER	0.00	34.09	95.0	0.00	32.39	0.00	32.39
01/10/2018	# BURBEE, STEVE	DAIN TRAILER	0.00	18.22	95.0	0.00	17.31	0.00	17.31
01/10/2018	# BURBEE, CAROLYNN	DAIN TRAILER	0.00	19.39	95.0	0.00	18.42	0.00	18.42

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	14.52	95.0	0.00	13.79	0.00	13.79
01/10/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	26.48	95.0	0.00	25.16	0.00	25.16
01/10/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	8.98	95.0	0.00	8.53	0.00	8.53
01/10/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	6.25	95.0	0.00	5.94	0.00	5.94
01/10/2018 #	BURBEE, CAROLYNN	DAIN TRAILER	0.00	5.62	95.0	0.00	5.34	0.00	5.34
01/10/2018 #	ELLIOTT, VICKI	DAIN TRAILER	0.00	1.59	95.0	0.00	1.51	0.00	1.51
01/10/2018 #	ELLIOTT, VICKI	DAIN TRAILER	0.00	1.97	95.0	0.00	1.87	0.00	1.87
01/10/2018 #	ELLIOTT, VICKI	DAIN TRAILER	0.00	0.83	95.0	0.00	0.79	0.00	0.79
01/10/2018 #	SHULL, ROBERT	DAIN TRAILER	0.00	12.59	95.0	0.00	11.96	0.00	11.96
01/10/2018 #	SHULL, ROBERT	DAIN TRAILER	0.00	17.09	95.0	0.00	16.24	0.00	16.24
01/10/2018 #	MCCLLOUD, JOHN	DAIN TRAILER	0.00	74.61	95.0	0.00	70.88	0.00	70.88
01/10/2018 #	RIGGS, KEVIN	DAIN TRAILER	0.00	17.80	95.0	0.00	16.91	0.00	16.91
01/10/2018 #	RIGGS, KEVIN	DAIN TRAILER	0.00	20.88	95.0	0.00	19.84	0.00	19.84
01/10/2018 #	RIGGS, KEVIN	DAIN TRAILER	0.00	86.72	95.0	0.00	82.38	0.00	82.38
01/10/2018 #	REDFORD, FRANCES	DAIN TRAILER	0.00	4.98	95.0	0.00	4.73	0.00	4.73
01/10/2018 #	REDFORD, FRANCES	DAIN TRAILER	0.00	8.08	95.0	0.00	7.68	0.00	7.68
01/10/2018 #	REDFORD, FRANCES	DAIN TRAILER	0.00	8.48	95.0	0.00	8.06	0.00	8.06
01/10/2018 #	REDFORD, FRANCES	DAIN TRAILER	0.00	284.36	95.0	0.00	270.14	0.00	270.14
01/10/2018 #	POINTER, ANDREW	DAIN TRAILER	0.00	5.27	95.0	0.00	5.01	0.00	5.01
01/10/2018 #	POINTER, ANDREW	DAIN TRAILER	0.00	1.44	95.0	0.00	1.37	0.00	1.37
01/10/2018 #	MCNABB, JAMES	DAIN TRAILER	0.00	0.70	95.0	0.00	0.67	0.00	0.67
01/10/2018 #	WHEELER, JEANIE	DAIN TRAILER	0.00	2.66	95.0	0.00	2.53	0.00	2.53
01/10/2018 #	AHRENS, DARRELL	DAIN TRAILER	0.00	3.55	95.0	0.00	3.37	0.00	3.37
01/10/2018 #	AHRENS, DARRELL	DAIN TRAILER	0.00	10.69	95.0	0.00	10.16	0.00	10.16
01/10/2018 #	AHRENS, DARRELL	DAIN TRAILER	0.00	5.65	95.0	0.00	5.37	0.00	5.37
01/10/2018 #	T CHURCH, FIRST UNITED ME	DAIN TRAILER	0.00	20.28	95.0	0.00	19.27	0.00	19.27
01/10/2018 #	T CHURCH, FIRST UNITED ME	DAIN TRAILER	0.00	5.99	95.0	0.00	5.69	0.00	5.69
01/10/2018 #	T CHURCH, FIRST UNITED ME	DAIN TRAILER	0.00	4.42	95.0	0.00	4.20	0.00	4.20
01/10/2018 #	CAMPBELL, VIRGINIA	DAIN TRAILER	0.00	65.61	95.0	0.00	62.33	0.00	62.33
01/10/2018 #	CAMPBELL, HAROLD	DAIN TRAILER	0.00	17.90	95.0	0.00	17.01	0.00	17.01
01/10/2018 #	CAMPBELL, HAROLD	DAIN TRAILER	0.00	50.52	95.0	0.00	47.99	0.00	47.99
01/10/2018 #	POINTER, JILLIAN	DAIN TRAILER	0.00	1.39	95.0	0.00	1.32	0.00	1.32
01/10/2018 #	POINTER, JILLIAN	DAIN TRAILER	0.00	2.00	95.0	0.00	1.90	0.00	1.90
01/10/2018 #	SWITALA, DONALD	DAIN TRAILER	0.00	41.61	95.0	0.00	39.53	0.00	39.53
01/10/2018 #	BARRETH, PAMELA	DAIN TRAILER	0.00	24.77	95.0	0.00	23.53	0.00	23.53
01/10/2018 #	BARRETH, PAMELA	DAIN TRAILER	0.00	46.82	95.0	0.00	44.48	0.00	44.48

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	18.69	95.0	0.00	17.76	0.00	17.76
01/10/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	2.08	95.0	0.00	1.98	0.00	1.98
01/10/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	5.70	95.0	0.00	5.42	0.00	5.42
01/10/2018 #	SCHROER, MARK	DAIN TRAILER	0.00	4.50	95.0	0.00	4.28	0.00	4.28
01/10/2018 #	HAWKINS, M FAYE	DAIN TRAILER	0.00	15.47	95.0	0.00	14.70	0.00	14.70
01/10/2018 #	HAWKINS, M FAYE	DAIN TRAILER	0.00	20.80	95.0	0.00	19.76	0.00	19.76
01/10/2018 #	MCGLAUGHLIN, TEMPE	DAIN TRAILER	0.00	10.23	95.0	0.00	9.72	0.00	9.72
01/10/2018 #	MCGLAUGHLIN, TEMPE	DAIN TRAILER	0.00	16.73	95.0	0.00	15.89	0.00	15.89
01/10/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/10/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	0.48	95.0	0.00	0.46	0.00	0.46
01/10/2018 #	BUSHEY, STEFAN	DAIN TRAILER	0.00	0.95	95.0	0.00	0.90	0.00	0.90
01/10/2018 #	FULKS, MARK	DAIN TRAILER	0.00	206.12	95.0	0.00	195.81	0.00	195.81
01/10/2018 #	RARDON, SHARON	DAIN TRAILER	0.00	206.12	95.0	0.00	195.81	0.00	195.81
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	3.89	95.0	0.00	3.70	0.00	3.70
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	6.51	95.0	0.00	6.18	0.00	6.18
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	18.54	95.0	0.00	17.61	0.00	17.61
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	11.84	95.0	0.00	11.25	0.00	11.25
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	8.65	95.0	0.00	8.22	0.00	8.22
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	7.84	95.0	0.00	7.45	0.00	7.45
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	1.52	95.0	0.00	1.44	0.00	1.44
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	11.97	95.0	0.00	11.37	0.00	11.37
01/10/2018 #	SHULL, ROSS	DAIN TRAILER	0.00	5.37	95.0	0.00	5.10	0.00	5.10
01/10/2018 #	SHULL, ROSS	DAIN TRAILER	0.00	6.00	95.0	0.00	5.70	0.00	5.70
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	20.59	95.0	0.00	19.56	0.00	19.56
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	16.68	95.0	0.00	15.85	0.00	15.85
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	27.90	95.0	0.00	26.51	0.00	26.51
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	16.85	95.0	0.00	16.01	0.00	16.01
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	0.57	95.0	0.00	0.54	0.00	0.54
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	0.78	95.0	0.00	0.74	0.00	0.74
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	0.92	95.0	0.00	0.87	0.00	0.87
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	6.24	95.0	0.00	5.93	0.00	5.93
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	17.37	95.0	0.00	16.50	0.00	16.50
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	13.43	95.0	0.00	12.76	0.00	12.76
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	17.42	95.0	0.00	16.55	0.00	16.55
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	17.59	95.0	0.00	16.71	0.00	16.71

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	SWAIN, THOMAS	DAIN TRAILER	0.00	24.63	95.0	0.00	23.40	0.00	23.40
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	4.12	95.0	0.00	3.91	0.00	3.91
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	83.52	95.0	0.00	79.34	0.00	79.34
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	4.26	95.0	0.00	4.05	0.00	4.05
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	5.27	95.0	0.00	5.01	0.00	5.01
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	6.59	95.0	0.00	6.26	0.00	6.26
01/10/2018 #	SWAIN, BETTY	DAIN TRAILER	0.00	4.16	95.0	0.00	3.95	0.00	3.95
01/10/2018 #	KELLER, MARY	DAIN TRAILER	0.00	40.08	95.0	0.00	38.08	0.00	38.08
01/10/2018 #	KELLER, MARY	DAIN TRAILER	0.00	36.64	95.0	0.00	34.81	0.00	34.81
01/10/2018 #	KELLER, MARY	DAIN TRAILER	0.00	38.99	95.0	0.00	37.04	0.00	37.04
01/10/2018 #	FULKS, MARK	DAIN TRAILER	0.00	2.98	95.0	0.00	2.83	0.00	2.83
01/10/2018 #	FULKS, MARK	DAIN TRAILER	0.00	3.21	95.0	0.00	3.05	0.00	3.05
01/10/2018 #	FULKS, MARK	DAIN TRAILER	0.00	3.78	95.0	0.00	3.59	0.00	3.59
01/10/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/10/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	4.42	95.0	0.00	4.20	0.00	4.20
01/10/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	1.39	95.0	0.00	1.32	0.00	1.32
01/10/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	5.12	95.0	0.00	4.86	0.00	4.86
01/10/2018 #	FULKS, CHAD	DAIN TRAILER	0.00	6.03	95.0	0.00	5.73	0.00	5.73
01/10/2018 #	FULKS, BLAKE	DAIN TRAILER	0.00	1.95	95.0	0.00	1.85	0.00	1.85
01/10/2018 #	FULKS, BLAKE	DAIN TRAILER	0.00	2.23	95.0	0.00	2.12	0.00	2.12
01/10/2018 #	FULKS, BLAKE	DAIN TRAILER	0.00	2.30	95.0	0.00	2.19	0.00	2.19
01/10/2018 #	BUSHEY, MICHELLE	DAIN TRAILER	0.00	2.35	95.0	0.00	2.23	0.00	2.23
01/10/2018 #	BUSHEY, MICHELLE	DAIN TRAILER	0.00	2.76	95.0	0.00	2.62	0.00	2.62
01/10/2018 #	BUSHEY, MICHELLE	DAIN TRAILER	0.00	3.27	95.0	0.00	3.11	0.00	3.11
01/10/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	13.52	95.0	0.00	12.84	0.00	12.84
01/10/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	13.69	95.0	0.00	13.01	0.00	13.01
01/10/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	14.23	95.0	0.00	13.52	0.00	13.52
01/10/2018 #	MCCUE, LARRY	DAIN TRAILER	0.00	14.62	95.0	0.00	13.89	0.00	13.89
01/10/2018 #	STOUT, OLIVE	DAIN TRAILER	0.00	0.19	95.0	0.00	0.18	0.00	0.18
01/16/2018 #	BUSHEY, STEFAN	DAIN TRAILER	166.00	7.89	95.0	0.00	7.50	0.00	7.50
01/16/2018 #	FULKS, BLAKE	DAIN TRAILER	6,800.00	0.00	95.0	0.00	0.00	0.00	0.00
01/16/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	8.35	95.0	0.00	7.93	0.00	7.93
01/16/2018 #	BUSHEY, STEFAN	DAIN TRAILER	167.00	8.35	95.0	0.00	7.93	0.00	7.93
01/16/2018 #	FULKS, BLAKE	DAIN TRAILER	7,200.00	0.00	95.0	0.00	0.00	0.00	0.00
01/16/2018 #	FULKS, BLAKE	DAIN TRAILER	6,000.00	0.00	95.0	0.00	0.00	0.00	0.00
01/18/2018 #	POINTER, ANDREW	DAIN TRAILER	200.00	8.50	95.0	0.00	8.08	0.00	8.08

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/18/2018	# POINTER, JILLIAN	DAIN TRAILER	200.00	10.00	95.0	0.00	9.50	0.00	9.50
01/18/2018	# POINTER, JILLIAN	DAIN TRAILER	200.00	10.00	95.0	0.00	9.50	0.00	9.50
01/19/2018	# SWAIN, BETTY	DAIN TRAILER	0.00	51.66	95.0	0.00	49.08	0.00	49.08
01/31/2018	#000016000021337 MCCL VAR	FRANKLIN TEMPLETON FUNDS MISSOURI T	0.00	22.25	95.0	0.00	21.14	0.00	21.14
01/31/2018	#000000000173880 SIMMOALLAL,	FRANKLIN TEMPLETON FUNDS MISSOURI T	0.00	168.39	95.0	0.00	159.97	0.00	159.97
01/31/2018	#000000000177598 FLORA, JOHN	FRANKLIN TEMPLETON FUNDS MISSOURI T	0.00	502.81	95.0	0.00	477.67	0.00	477.67
01/31/2018	#0000000001017755 SIMMOALLAL,	FRANKLIN TEMPLETON MONEY FUND - CLA	0.00	616.55	95.0	0.00	585.72	0.00	585.72
02/07/2018	#00006844764 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	10.09	95.0	0.00	9.59	0.00	9.59
02/07/2018	#00006844768 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	18.76	95.0	0.00	17.82	0.00	17.82
02/07/2018	#00006855896 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	2.24	95.0	0.00	2.13	0.00	2.13
02/07/2018	#00006986168 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY GROWTH-CL T	0.00	4.48	95.0	0.00	4.26	0.00	4.26
02/07/2018	#00006857424 VARIOUS MCCLLOUD	FIDELITY ADV EQUITY INCOME-CL T	0.00	8.39	95.0	0.00	7.97	0.00	7.97
02/07/2018	#00009042526 VARIOUS MCCLLOUD	FIDELITY ADV HEALTH CARE-CL A	0.00	9.84	95.0	0.00	9.35	0.00	9.35
02/07/2018	#00006999746 VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	9.03	95.0	0.00	8.58	0.00	8.58
02/07/2018	#00009029533 VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	2.19	95.0	0.00	2.08	0.00	2.08
02/07/2018	#00009042526 VARIOUS MCCLLOUD	FIDELITY ADV MID CAP-CL A	0.00	9.21	95.0	0.00	8.75	0.00	8.75
02/07/2018	#00006844764 VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL A	0.00	2.46	95.0	0.00	2.34	0.00	2.34
02/07/2018	#00009042526 VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL A	0.00	11.84	95.0	0.00	11.25	0.00	11.25
02/07/2018	#00006862129 VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.32	95.0	0.00	1.25	0.00	1.25
02/07/2018	#00006979604 VARIOUS MCCLLOUD	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.40	95.0	0.00	1.33	0.00	1.33
02/07/2018	#00006844764 VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	3.82	95.0	0.00	3.63	0.00	3.63
02/07/2018	#00006844768 VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	7.94	95.0	0.00	7.54	0.00	7.54
02/07/2018	#00009029533 VARIOUS MCCLLOUD	FIDELITY ADVISOR ENERGY CL A	0.00	1.18	95.0	0.00	1.12	0.00	1.12
02/07/2018	#00009034826 STOUT, JOHN	FIDELITY ADVISOR ENERGY CL A	0.00	10.00	95.0	0.00	9.50	0.00	9.50
02/07/2018	#00063304257 VARIOUS MCCLLOUD	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	10.68	95.0	0.00	10.15	0.00	10.15
02/07/2018	#00006857424 VARIOUS MCCLLOUD	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	3.52	95.0	0.00	3.34	0.00	3.34
02/07/2018	#00009029533 VARIOUS MCCLLOUD	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	5.92	95.0	0.00	5.62	0.00	5.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$21,100.00	\$7,178.43		\$0.00	\$6,819.64	\$0.00	\$6,819.64
*** BROKER TRANSACTIONS TOTAL ***			\$172,241.10	\$11,575.84		\$0.00	\$10,997.26	\$44.95	\$10,952.31

COMMISSION STATEMENT for ED MCCLLOUD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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Override Transactions

HERRON, CYNTHIA			5,826.65						87.68
***	Override Transactions Total	***		\$5,826.65					\$87.68

Adjustments

02/20/2018	BETA BACK OFFICE: ADMIN FEE								-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
	*** Adjustments Total	***							\$-499.00

Broker Commission Totals

Broker Transactions	\$10,952.31
Split Transactions	\$0.00
Override Transactions	\$87.68
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,540.99
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