



Concorde Investment Services, LLC

01/27/2017
Page No. 1

COMMISSION STATEMENT

January 31, 2017

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/31/2016	SEEBACH, ROGER	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.72	0.00	2.72	90.0	2.45
12/31/2016	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.28	0.00	4.28	90.0	3.85
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$7.00	\$0.00	\$7.00		\$6.30
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.58	0.00	2.58	90.0	2.32
/ /	THOMAS, CECILIA	GABELLI GAMCO GLOBAL GWTH FD-CL AAA	0.00	0.00	0.00	0.00	90.0	0.00
/ /	THOMAS, CECILIA	GABELLI GAMCO GLOBAL TELECOM FUND C	0.00	0.00	0.00	0.00	90.0	0.00
01/01/2017	SCHWARTZ JT TEN, BARBARA	INVESCO AMERICAN FRANCHISE A	0.00	17.67	0.00	17.67	90.0	15.90
01/01/2017	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.09	0.00	5.09	90.0	4.58
01/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	18.92	0.00	18.92	90.0	17.03
01/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	16.93	0.00	16.93	90.0	15.24
01/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	15.28	0.00	15.28	90.0	13.75
01/01/2017	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	15.34	0.00	15.34	90.0	13.81
01/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.02	0.00	1.02	90.0	0.92
01/01/2017	PETKERVICH TRUST, MARY	INVESCO MIDCAP GROWTH A	0.00	9.50	0.00	9.50	90.0	8.55
01/01/2017	PETKERVICH TRUST, MARY	INVESCO SMALL CAP GROWTH FUND-INV C	0.00	1.78	0.00	1.78	90.0	1.60
01/01/2017	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.52	0.00	0.52	90.0	0.47
01/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER BOND FUND - INTERMEDIATE C	0.00	14.35	0.00	14.35	90.0	12.92
01/04/2017	CURTIS, VIRGINIA	PIONEER BOND FUND A	0.00	2.21	0.00	2.21	90.0	1.99
01/04/2017	BOWDEN, KATHY	PIONEER BOND FUND A	0.00	0.83	0.00	0.83	90.0	0.75
01/04/2017	PEACOCK, RICHARD	PIONEER BOND FUND A	0.00	4.83	0.00	4.83	90.0	4.35
01/04/2017	DEXTER, ROCHELLE	PIONEER BOND FUND A	0.00	3.59	0.00	3.59	90.0	3.23
01/04/2017	FOUGHT, PAUL	PIONEER BOND FUND A	0.00	4.66	0.00	4.66	90.0	4.19
01/04/2017	CURTIS, VIRGINIA	PIONEER BOND FUND A	0.00	10.77	0.00	10.77	90.0	9.69
01/04/2017	CURTIS, VIRGINIA	PIONEER BOND FUND A	0.00	25.03	0.00	25.03	90.0	22.53
01/04/2017	SCHWARTZ, JERRY	PIONEER BOND FUND A	0.00	3.21	0.00	3.21	90.0	2.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/04/2017	WEHRKAMP, KENNETH	PIONEER BOND FUND A	0.00	3.39	0.00	3.39	90.0	3.05
01/04/2017	COPPERSMITH, MIRANDA	PIONEER BOND FUND A	0.00	2.54	0.00	2.54	90.0	2.29
01/04/2017	CAMP, LINDA	PIONEER BOND FUND A	0.00	4.71	0.00	4.71	90.0	4.24
01/04/2017	WOOD, MARLENE	PIONEER BOND FUND A	0.00	5.29	0.00	5.29	90.0	4.76
01/04/2017	ZEHRINGER, JAMES	PIONEER BOND FUND A	0.00	1.36	0.00	1.36	90.0	1.22
01/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER BOND FUND A	0.00	19.78	0.00	19.78	90.0	17.80
01/04/2017	BEANY, MICHELLE	PIONEER BOND FUND A	0.00	2.80	0.00	2.80	90.0	2.52
01/04/2017	BOWDEN, KATHY	PIONEER CLASSIC BALANCED FUND A	0.00	3.15	0.00	3.15	90.0	2.84
01/04/2017	WEHRKAMP, REBECCA	PIONEER CLASSIC BALANCED FUND A	0.00	4.61	0.00	4.61	90.0	4.15
01/04/2017	MATHENEY, DONNA	PIONEER CLASSIC BALANCED FUND A	0.00	4.47	0.00	4.47	90.0	4.02
01/04/2017	ARMSTRONG, TODD	PIONEER CLASSIC BALANCED FUND A	0.00	4.36	0.00	4.36	90.0	3.92
01/04/2017	WEHRKAMP, KENNETH	PIONEER CLASSIC BALANCED FUND A	0.00	4.74	0.00	4.74	90.0	4.27
01/04/2017	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	0.00	1.63	0.00	1.63	90.0	1.47
01/04/2017	PRICE, JOYCE	PIONEER CLASSIC BALANCED FUND A	0.00	3.70	0.00	3.70	90.0	3.33
01/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER CLASSIC BALANCED FUND A	0.00	9.48	0.00	9.48	90.0	8.53
01/04/2017	PEACOCK, RICHARD	PIONEER CULLEN VALUE FUND A	0.00	6.84	0.00	6.84	90.0	6.16
01/04/2017	WEHRKAMP, REBECCA	PIONEER CULLEN VALUE FUND A	0.00	3.91	0.00	3.91	90.0	3.52
01/04/2017	FOUGHT, PAUL	PIONEER CULLEN VALUE FUND A	0.00	2.78	0.00	2.78	90.0	2.50
01/04/2017	MINIX, DEBORAH	PIONEER CULLEN VALUE FUND A	0.00	10.14	0.00	10.14	90.0	9.13
01/04/2017	BROWN, EMILY	PIONEER CULLEN VALUE FUND A	0.00	4.30	0.00	4.30	90.0	3.87
01/04/2017	RATLIFF, DONNA	PIONEER CULLEN VALUE FUND A	0.00	4.74	0.00	4.74	90.0	4.27
01/04/2017	WEHRKAMP, KENNETH	PIONEER CULLEN VALUE FUND A	0.00	2.02	0.00	2.02	90.0	1.82
01/04/2017	COPPERSMITH, MIRANDA	PIONEER CULLEN VALUE FUND A	0.00	2.68	0.00	2.68	90.0	2.41
01/04/2017	ZEHRINGER, JAMES	PIONEER CULLEN VALUE FUND A	0.00	8.22	0.00	8.22	90.0	7.40
01/04/2017	MATHENEY, DONNA	PIONEER EMERGING MARKETS A	0.00	0.56	0.00	0.56	90.0	0.50
01/04/2017	MCCABE TRUST, DANNY	PIONEER EMERGING MARKETS A	0.00	0.03	0.00	0.03	90.0	0.03
01/04/2017	SHORT, DAWN	PIONEER EMERGING MARKETS A	0.00	6.77	0.00	6.77	90.0	6.09
01/04/2017	BROWN, EMILY	PIONEER EMERGING MARKETS A	0.00	2.50	0.00	2.50	90.0	2.25
01/04/2017	ARMSTRONG, TODD	PIONEER EMERGING MARKETS A	0.00	1.78	0.00	1.78	90.0	1.60
01/04/2017	RATLIFF, DONNA	PIONEER EMERGING MARKETS A	0.00	0.59	0.00	0.59	90.0	0.53
01/04/2017	COPPERSMITH, MIRANDA	PIONEER EMERGING MARKETS A	0.00	0.53	0.00	0.53	90.0	0.48
01/04/2017	KEY, LARRY	PIONEER EMERGING MARKETS A	0.00	2.51	0.00	2.51	90.0	2.26
01/04/2017	MINIX, DEBORAH	PIONEER EMERGING MARKETS A	0.00	1.18	0.00	1.18	90.0	1.06

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER EMERGING MARKETS A	0.00	1.57	0.00	1.57	90.0	1.41
01/04/2017	ZUO, YUNWU	PIONEER EMERGING MARKETS A	0.00	3.25	0.00	3.25	90.0	2.93
01/04/2017	MCCLELLAN, JAY	PIONEER EMERGING MARKETS A	0.00	3.69	0.00	3.69	90.0	3.32
01/04/2017	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.35	0.00	0.35	90.0	0.32
01/04/2017	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	5.97	0.00	5.97	90.0	5.37
01/04/2017	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	5.04	0.00	5.04	90.0	4.54
01/04/2017	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.28	0.00	2.28	90.0	2.05
01/04/2017	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	11.51	0.00	11.51	90.0	10.36
01/04/2017	SHORT, DAWN	PIONEER FLOATING RATE A	0.00	32.53	0.00	32.53	90.0	29.28
01/04/2017	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.38	0.00	1.38	90.0	1.24
01/04/2017	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.42	0.00	1.42	90.0	1.28
01/04/2017	FOUGHT, PAUL	PIONEER FUND A	0.00	5.32	0.00	5.32	90.0	4.79
01/04/2017	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	5.88	0.00	5.88	90.0	5.29
01/04/2017	MINIX, DEBORAH	PIONEER FUND A	0.00	1.51	0.00	1.51	90.0	1.36
01/04/2017	CURTIS, RAY	PIONEER FUND A	0.00	2.78	0.00	2.78	90.0	2.50
01/04/2017	BROWN, EMILY	PIONEER FUND A	0.00	15.00	0.00	15.00	90.0	13.50
01/04/2017	SCHWARTZ, JERRY	PIONEER FUND A	0.00	1.14	0.00	1.14	90.0	1.03
01/04/2017	JONES, JACQUELINE	PIONEER FUND A	0.00	1.59	0.00	1.59	90.0	1.43
01/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER FUND A	0.00	6.38	0.00	6.38	90.0	5.74
01/04/2017	RUSH, KAREN	PIONEER FUND A	0.00	11.25	0.00	11.25	90.0	10.13
01/04/2017	BEANY, MICHELLE	PIONEER FUND A	0.00	3.34	0.00	3.34	90.0	3.01
01/04/2017	CRABTREE, GARY	PIONEER FUND C	0.00	8.67	0.00	8.67	90.0	7.80
01/04/2017	GREGONCZA, RONALD	PIONEER FUND C	0.00	25.68	0.00	25.68	90.0	23.11
01/04/2017	ARMSTRONG, TODD	PIONEER FUNDAMENTAL GROWTH A	0.00	2.61	0.00	2.61	90.0	2.35
01/04/2017	COPPERSMITH, MIRANDA	PIONEER FUNDAMENTAL GROWTH A	0.00	1.83	0.00	1.83	90.0	1.65
01/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	6.82	0.00	6.82	90.0	6.14
01/04/2017	CAMP, LINDA	PIONEER FUNDAMENTAL GROWTH A	0.00	4.18	0.00	4.18	90.0	3.76
01/04/2017	PEACOCK, RICHARD	PIONEER GLOBAL EQUITY A	0.00	2.74	0.00	2.74	90.0	2.47
01/04/2017	ARMSTRONG, TODD	PIONEER GLOBAL EQUITY A	0.00	2.24	0.00	2.24	90.0	2.02
01/04/2017	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.14	0.00	1.14	90.0	1.03
01/04/2017	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	1.26	0.00	1.26	90.0	1.13
01/04/2017	WOOD, MARLENE	PIONEER GLOBAL EQUITY A	0.00	1.83	0.00	1.83	90.0	1.65
01/04/2017	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	3.89	0.00	3.89	90.0	3.50

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/04/2017	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	1.90	0.00	1.90	90.0	1.71
01/04/2017	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.20	0.00	1.20	90.0	1.08
01/04/2017	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.00	0.00	2.00	90.0	1.80
01/04/2017	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	0.95	0.00	0.95	90.0	0.86
01/04/2017	COPPERSMITH, MIRANDA	PIONEER GLOBAL HIGH YIELD A	0.00	2.86	0.00	2.86	90.0	2.57
01/04/2017	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.15	0.00	2.15	90.0	1.94
01/04/2017	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.00	0.00	1.00	90.0	0.90
01/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER GLOBAL HIGH YIELD C	0.00	5.70	0.00	5.70	90.0	5.13
01/04/2017	HOWARD, GALE	PIONEER GROWTH OPPORTUNITIES A	0.00	39.43	0.00	39.43	90.0	35.49
01/04/2017	KULBACK, ANDREW	PIONEER GROWTH OPPORTUNITIES A	0.00	15.62	0.00	15.62	90.0	14.06
01/04/2017	PRICE, JOYCE	PIONEER GROWTH OPPORTUNITIES A	0.00	5.15	0.00	5.15	90.0	4.64
01/04/2017	SHROYER, RALPH	PIONEER GROWTH OPPORTUNITIES A	0.00	5.22	0.00	5.22	90.0	4.70
01/04/2017	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	0.96	0.00	0.96	90.0	0.86
01/04/2017	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	3.81	0.00	3.81	90.0	3.43
01/04/2017	WEHRKAMP, KENNETH	PIONEER HIGH INCOME MUNICIPAL A	0.00	1.68	0.00	1.68	90.0	1.51
01/04/2017	CURTIS, VIRGINIA	PIONEER HIGH YIELD A	0.00	1.20	0.00	1.20	90.0	1.08
01/04/2017	HOWARD, GALE	PIONEER HIGH YIELD A	0.00	8.11	0.00	8.11	90.0	7.30
01/04/2017	MONG, PATTY	PIONEER HIGH YIELD A	0.00	1.46	0.00	1.46	90.0	1.31
01/04/2017	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	6.05	0.00	6.05	90.0	5.45
01/04/2017	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.34	0.00	1.34	90.0	1.21
01/04/2017	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	0.98	0.00	0.98	90.0	0.88
01/04/2017	CURTIS, VIRGINIA	PIONEER HIGH YIELD A	0.00	1.87	0.00	1.87	90.0	1.68
01/04/2017	CURTIS, VIRGINIA	PIONEER HIGH YIELD A	0.00	1.89	0.00	1.89	90.0	1.70
01/04/2017	KULBACK, ANDREW	PIONEER HIGH YIELD A	0.00	12.36	0.00	12.36	90.0	11.12
01/04/2017	WEHRKAMP, KENNETH	PIONEER HIGH YIELD A	0.00	2.24	0.00	2.24	90.0	2.02
01/04/2017	CAMP, LINDA	PIONEER HIGH YIELD A	0.00	1.07	0.00	1.07	90.0	0.96
01/04/2017	SHROYER, RALPH	PIONEER HIGH YIELD A	0.00	40.03	0.00	40.03	90.0	36.03
01/04/2017	SHROYER, RALPH	PIONEER HIGH YIELD A	0.00	4.11	0.00	4.11	90.0	3.70
01/04/2017	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.79	0.00	1.79	90.0	1.61
01/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER HIGH YIELD A	0.00	5.48	0.00	5.48	90.0	4.93
01/04/2017	BEANY, MICHELLE	PIONEER HIGH YIELD A	0.00	1.61	0.00	1.61	90.0	1.45
01/04/2017	MONG, TRACY	PIONEER HIGH YIELD A	0.00	2.99	0.00	2.99	90.0	2.69
01/04/2017	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.59	0.00	0.59	90.0	0.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/04/2017	HOWARD, GALE	PIONEER INDEPENDENCE A	0.00	54.74	0.00	54.74	90.0	49.27
01/04/2017	KULBACK, ANDREW	PIONEER INDEPENDENCE A	0.00	21.68	0.00	21.68	90.0	19.51
01/04/2017	PRICE, JOYCE	PIONEER INDEPENDENCE A	0.00	7.66	0.00	7.66	90.0	6.89
01/04/2017	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	1.63	0.00	1.63	90.0	1.47
01/04/2017	SHROYER, RALPH	PIONEER INDEPENDENCE A	0.00	7.30	0.00	7.30	90.0	6.57
01/04/2017	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	3.92	0.00	3.92	90.0	3.53
01/04/2017	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	5.26	0.00	5.26	90.0	4.73
01/04/2017	ARMSTRONG, TODD	PIONEER INTERNATIONAL VALUE A	0.00	2.11	0.00	2.11	90.0	1.90
01/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	3.41	0.00	3.41	90.0	3.07
01/04/2017	FOUGHT, PAUL	PIONEER MID CAP VALUE A	0.00	2.21	0.00	2.21	90.0	1.99
01/04/2017	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.07	0.00	3.07	90.0	2.76
01/04/2017	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.21	0.00	1.21	90.0	1.09
01/04/2017	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.53	0.00	2.53	90.0	2.28
01/04/2017	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.57	0.00	1.57	90.0	1.41
01/04/2017	ZUO, YUNWU	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	3.93	0.00	3.93	90.0	3.54
01/04/2017	SHROYER, DOROTHY	PIONEER MULTI-ASSET INC FUND A	0.00	9.44	0.00	9.44	90.0	8.50
01/04/2017	BOWDEN, KATHY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.60	0.00	3.60	90.0	3.24
01/04/2017	PEACOCK, RICHARD	PIONEER MULTI-ASSET REAL RETURN A	0.00	2.38	0.00	2.38	90.0	2.14
01/04/2017	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.85	0.00	4.85	90.0	4.37
01/04/2017	FOUGHT, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.81	0.00	3.81	90.0	3.43
01/04/2017	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	13.05	0.00	13.05	90.0	11.75
01/04/2017	SHORT, DAWN	PIONEER MULTI-ASSET REAL RETURN A	0.00	35.60	0.00	35.60	90.0	32.04
01/04/2017	BROWN, EMILY	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.98	0.00	6.98	90.0	6.28
01/04/2017	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.71	0.00	0.71	90.0	0.64
01/04/2017	ARMSTRONG, TODD	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.04	0.00	4.04	90.0	3.64
01/04/2017	WEHRKAMP, KENNETH	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.34	0.00	4.34	90.0	3.91
01/04/2017	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.05	0.00	5.05	90.0	4.55
01/04/2017	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	2.95	0.00	2.95	90.0	2.66
01/04/2017	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.72	0.00	3.72	90.0	3.35
01/04/2017	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.24	0.00	1.24	90.0	1.12
01/04/2017	WOOD, MARLENE	PIONEER MULTI-ASSET REAL RETURN A	0.00	10.57	0.00	10.57	90.0	9.51
01/04/2017	SHROYER, DOROTHY	PIONEER MULTI-ASSET REAL RETURN A	0.00	10.25	0.00	10.25	90.0	9.23
01/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	8.68	0.00	8.68	90.0	7.81

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/04/2017	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.77	0.00	0.77	90.0	0.69
01/04/2017	ZUO, YUNWU	PIONEER MULTI-ASSET REAL RETURN A	0.00	9.86	0.00	9.86	90.0	8.87
01/04/2017	BOWDEN, KATHY	PIONEER REAL ESTATE SHARES A	0.00	4.53	0.00	4.53	90.0	4.08
01/04/2017	PEACOCK, RICHARD	PIONEER REAL ESTATE SHARES A	0.00	1.50	0.00	1.50	90.0	1.35
01/04/2017	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	3.54	0.00	3.54	90.0	3.19
01/04/2017	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	2.71	0.00	2.71	90.0	2.44
01/04/2017	SHORT, DAWN	PIONEER REAL ESTATE SHARES A	0.00	5.37	0.00	5.37	90.0	4.83
01/04/2017	BROWN, EMILY	PIONEER REAL ESTATE SHARES A	0.00	4.22	0.00	4.22	90.0	3.80
01/04/2017	ARMSTRONG, TODD	PIONEER REAL ESTATE SHARES A	0.00	2.61	0.00	2.61	90.0	2.35
01/04/2017	WEHRKAMP, KENNETH	PIONEER REAL ESTATE SHARES A	0.00	3.54	0.00	3.54	90.0	3.19
01/04/2017	COPPERSMITH, MIRANDA	PIONEER REAL ESTATE SHARES A	0.00	3.18	0.00	3.18	90.0	2.86
01/04/2017	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.44	0.00	1.44	90.0	1.30
01/04/2017	BEANY, MICHELLE	PIONEER REAL ESTATE SHARES A	0.00	4.23	0.00	4.23	90.0	3.81
01/04/2017	SHORT, DAWN	PIONEER SHORT TERM INC A	0.00	5.19	0.00	5.19	90.0	4.67
01/04/2017	BROWN, EMILY	PIONEER SHORT TERM INC A	0.00	5.25	0.00	5.25	90.0	4.73
01/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER SHORT TERM INCOME FUND C	0.00	18.19	0.00	18.19	90.0	16.37
01/04/2017	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.60	0.00	0.60	90.0	0.54
01/04/2017	BOWDEN, GERALD	PIONEER STRATEGIC INCOME A	0.00	3.54	0.00	3.54	90.0	3.19
01/04/2017	FOUGHT, PAUL	PIONEER STRATEGIC INCOME A	0.00	2.24	0.00	2.24	90.0	2.02
01/04/2017	GRAHAM, RANDALL	PIONEER STRATEGIC INCOME A	0.00	43.27	0.00	43.27	90.0	38.94
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,062.34	\$0.00	\$1,062.34		\$956.22
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/09/2017	CRABTREE, GARY	METLIFE	7,058.74	1.47	0.00	1.47	90.0	1.32
01/09/2017	CRABTREE, GARY	METLIFE	8,108.30	1.69	0.00	1.69	90.0	1.52
01/09/2017	CRABTREE, GARY	METLIFE	6,316.63	1.31	0.00	1.31	90.0	1.18
01/09/2017	CRABTREE, GARY	METLIFE	11,063.55	2.30	0.00	2.30	90.0	2.07
01/09/2017	CRABTREE, GARY	METLIFE	34,324.13	7.14	0.00	7.14	90.0	6.43
01/09/2017	CRABTREE, GARY	METLIFE	5,866.12	1.22	0.00	1.22	90.0	1.10
* VARIABLE ANNUITIES SUBTOTAL *			\$72,737.47	\$15.13	\$0.00	\$15.13		\$13.62
*** BROKER TRANSACTIONS TOTAL ***			\$72,737.47	\$1,084.47	\$0.00	\$1,084.47		\$976.14

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BROKER COMMISSION TOTALS

Broker Transactions	\$976.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$976.14
Year-to-date Gross	\$1,506.04
Year-to-date Earnings	\$1,467.42