



All Companies

05/30/2018

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COMMISSION STATEMENT

June 01, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/18/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
05/18/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
05/18/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
05/18/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
05/14/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/14/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/18/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/14/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/14/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/18/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/18/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/14/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/14/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/18/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
05/18/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045	TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/14/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	49.92	0.50	0.00	0.50	70.0	0.35
05/14/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	29.95	0.30	0.00	0.30	70.0	0.21
05/18/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	45.94	0.46	0.00	0.46	70.0	0.32
05/18/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	27.56	0.28	0.00	0.28	70.0	0.20
05/14/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/14/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
05/18/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
05/14/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	26.50	0.27	0.00	0.27	70.0	0.19
05/14/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
05/18/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	28.89	0.29	0.00	0.29	70.0	0.20
05/18/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
05/14/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/14/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	23.25	0.23	0.00	0.23	70.0	0.16
05/18/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/18/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/14/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	20.24	0.20	0.00	0.20	70.0	0.14
05/14/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/18/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	22.80	0.23	0.00	0.23	70.0	0.16
05/18/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/14/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/14/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	21.72	0.22	0.00	0.22	70.0	0.15
05/18/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/18/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/07/2018	04000945437	BROTHERTON, RALPH	AMERICAN FUNDS FUNDAMENTAL	INVES B		9,850.00	157.60	0.00	157.60	70.0	110.32
* MUTUAL FUNDS SUBTOTAL *						\$12,856.77	\$187.68	\$0.00	\$187.68		\$131.44
PRODUCT CATEGORY: STOCKS											
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES			B	74.54	74.54	0.00	74.54	70.0	52.18
* STOCKS SUBTOTAL *						\$74.54	\$74.54	\$0.00	\$74.54		\$52.18
PRODUCT CATEGORY: VARIABLE ANNUITIES											
05/04/2018	TRAILS	-JOHN HANCOCK			B	29.74	29.74	0.00	29.74	70.0	20.82
05/01/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT			B	500.00	5.00	0.00	5.00	70.0	3.50
05/03/2018	SEXTON, THOMAS	-SECURITY BENEFIT			B	250.00	12.50	0.00	12.50	70.0	8.75
05/14/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT			B	123.91	1.24	0.00	1.24	70.0	0.87
* VARIABLE ANNUITIES SUBTOTAL *						\$903.65	\$48.48	\$0.00	\$48.48		\$33.94

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	23.19	0.00	23.19	70.0	16.23
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$23.19	\$0.00	\$23.19		\$16.23
	*** BROKER TRANSACTIONS TOTAL ***	\$13,834.96	\$333.89	\$0.00	\$333.89		\$233.79

ADJUSTMENTS

06/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
06/01/2018	HILLTOP: CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-2.00
06/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-129.50

BROKER COMMISSION TOTALS

Broker Transactions	\$233.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-129.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$104.29
Year-to-date Earnings	\$7,602.49