

**COMMISSION STATEMENT****April 30, 2017**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^04/18/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	37,864.38	94.40	0.00	94.40	90.0	0.00
^04/14/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	2,471.88	0.00	2,471.88	90.0	0.00
^04/18/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	1,996.19	0.00	1,996.19	90.0	0.00
^04/19/2017	DORSETT, JERRY	SAWTOOTH	0.00	94.07	0.00	94.07	90.0	0.00
^04/19/2017	DORSETT, LARA	SAWTOOTH	0.00	178.55	0.00	178.55	90.0	0.00
^04/19/2017	EISERLE, DAVID	SAWTOOTH	0.00	640.32	0.00	640.32	90.0	0.00
^04/19/2017	FOLTZ, SCOTT	SAWTOOTH	0.00	67.59	0.00	67.59	90.0	0.00
^04/19/2017	FOLTZ, KATHRYN	SAWTOOTH	0.00	106.00	0.00	106.00	90.0	0.00
^04/19/2017	JACOBS, BARRY	SAWTOOTH	0.00	230.94	0.00	230.94	90.0	0.00
^04/19/2017	KLINE, THOMAS	SAWTOOTH	0.00	138.87	0.00	138.87	90.0	0.00
^04/19/2017	MOUNSEY, CHRISTINE	SAWTOOTH	0.00	311.62	0.00	311.62	90.0	0.00
* RIA SUBTOTAL *			\$37,864.38	\$6,330.43	\$0.00	\$6,330.43		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$37,864.38	\$6,330.43	\$0.00	\$6,330.43		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/18/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	37,864.38	28.32	0.00	28.32	90.0	25.49
04/14/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	741.56	0.00	741.56	90.0	667.40
04/18/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	598.86	0.00	598.86	90.0	538.97
04/19/2017	DORSETT, JERRY	SAWTOOTH	0.00	28.22	0.00	28.22	90.0	25.40
04/19/2017	DORSETT, LARA	SAWTOOTH	0.00	53.57	0.00	53.57	90.0	48.21
04/19/2017	EISERLE, DAVID	SAWTOOTH	0.00	192.10	0.00	192.10	90.0	172.89
04/19/2017	FOLTZ, SCOTT	SAWTOOTH	0.00	20.28	0.00	20.28	90.0	18.25
04/19/2017	FOLTZ, KATHRYN	SAWTOOTH	0.00	31.80	0.00	31.80	90.0	28.62
04/19/2017	JACOBS, BARRY	SAWTOOTH	0.00	69.28	0.00	69.28	90.0	62.35

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/19/2017	KLINE, THOMAS	SAWTOOTH	0.00	41.66	0.00	41.66	90.0	37.49
04/19/2017	MOUNSEY, CHRISTINE	SAWTOOTH	0.00	93.49	0.00	93.49	90.0	84.14
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$37,864.38	\$1,899.14	\$0.00	\$1,899.14		\$1,709.21
*** SPLIT TRANSACTIONS TOTAL ***			\$37,864.38	\$1,899.14	\$0.00	\$1,899.14		\$1,709.21

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,709.21
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,709.21
Year-to-date Gross	\$5,058.03
Year-to-date Earnings	\$4,552.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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