



COMMISSION STATEMENT

April 02, 2018

RONALD CIOTOLI
3401 E Main St.
Endwell, NY 13760

BROKER #: A89
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 03/20/2018 | ***-**-923I - MILLER, DENISE | AMERICAN BALANCED FUND-A | 100.00 | 3.75 | 0.00 | 3.75 | 60.0 | 2.25 |
| | ACCOUNT #: 00068752100 | BUY 4 Units @28.2200 | | | | | | |
| | | * MUTUAL FUNDS SUBTOTAL * | \$100.00 | \$3.75 | \$0.00 | \$3.75 | | \$2.25 |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 04/04/2018 | ***-**-39FB - CONTI, ELLEN | LIFEMARK INVESTMENT ADVISORY FEE | 196.14 | 196.14 | 0.00 | 196.14 | 60.0 | 117.68 |
| | ACCOUNT #: 925300576 | BUY 0 Units @0.0000 | | | | | | |
| 04/04/2018 | ***-**-18FB - GORDON, DIANE | LIFEMARK INVESTMENT ADVISORY FEE | 235.84 | 235.84 | 0.00 | 235.84 | 60.0 | 141.50 |
| | ACCOUNT #: 925325360 | BUY 0 Units @0.0000 | | | | | | |
| 04/04/2018 | ***-**-1730 - BENZI, ROBERT | LIFEMARK INVESTMENT ADVISORY FEE | 240.78 | 240.78 | 0.00 | 240.78 | 60.0 | 144.47 |
| | ACCOUNT #: 925340599 | BUY 0 Units @0.0000 | | | | | | |
| | | * RIA SUBTOTAL * | \$672.76 | \$672.76 | \$0.00 | \$672.76 | | \$403.65 |
| <u>PRODUCT CATEGORY: 529 PLAN</u> | | | | | | | | |
| 03/28/2018 | ***-**-295P - MILLER, PAUL | NYA JPM 529 CONSV GROWTH A | 100.00 | 4.75 | 0.00 | 4.75 | 60.0 | 2.85 |
| | ACCOUNT #: 77043335701 | BUY 0 Units @0.0000 | | | | | | |
| 03/28/2018 | ***-**-295P - MILLER, PAUL | NYA JPM 529 CONSV GROWTH A | 100.00 | 4.05 | 0.00 | 4.05 | 60.0 | 2.43 |
| | ACCOUNT #: 77043335701 | BUY 0 Units @0.0000 | | | | | | |
| | | * 529 PLAN SUBTOTAL * | \$200.00 | \$8.80 | \$0.00 | \$8.80 | | \$5.28 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 04/02/2018 | ***-**-630I - ROMA, JAMES | BLACKROCK ASSET ALLOCATION PORTFOLI | 0.00 | 0.23 | 0.00 | 0.23 | 60.0 | 0.14 |
| | ACCOUNT #: LSR011401 | BUY 1 Units @0.0000 | | | | | | |
| 04/02/2018 | ***-**-630I - ROMA, JAMES | BLACKROCK HIGH YIELD BOND FUND CLAS | 0.00 | 5.84 | 0.00 | 5.84 | 60.0 | 3.50 |
| | ACCOUNT #: LSR011401 | BUY 1 Units @0.0000 | | | | | | |
| 04/02/2018 | ***-**-630I - ROMA, JAMES | BLACKROCK LARGE CAP CORE FUND CL A | 0.00 | 9.68 | 0.00 | 9.68 | 60.0 | 5.81 |
| | ACCOUNT #: LSR011401 | BUY 1 Units @0.0000 | | | | | | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$15.75 | \$0.00 | \$15.75 | | \$9.45 |



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|---|-----------------------------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 03/28/2018 | ***-**-7556 - WALKER, RANDY | JOHN HANCOCK VENTURE VA MFC GL LS M BUY 0 Units @0.0000 | 0.00 | 7.84 | 0.00 | 7.84 | 60.0 | 4.70 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$7.84 | \$0.00 | \$7.84 | | \$4.70 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$972.76 | \$708.90 | \$0.00 | \$708.90 | | \$425.33 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$425.33 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$24.64 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Net Commission Earned | \$449.97 |
| Year-to-date Earnings | \$2,757.95 |