

STATEMENT

February 20, 2018

KENNETH STOKES
29 Central Ave
Unit 2
Montclair, NJ 07042-

BROKER #: 034
BRANCH: LA BRANCH

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/31/2017	#004560022854	COMPUANAL	ALGER 015570872	B	0.00	38.87	0.00	0.00	38.87	80.0 31.10
12/31/2017	#723000115	SER, PAI	AMERICAN CENTURY	B	0.00	2.27	0.00	0.00	2.27	80.0 1.82
12/31/2017	#0051666527	JONATHAN P	BLCKRCK	B	0.00	0.11	0.00	0.00	0.11	80.0 0.09
12/31/2017	#0051922870	PAI TRUST	BLCKRCK	B	0.00	13.48	0.00	0.00	13.48	80.0 10.78
12/31/2017	#070344107084	MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	23.47	0.00	0.00	23.47	80.0 18.78
* MUTUAL FUNDS SUBTOTAL *					\$0.00	\$78.20	\$0.00	\$0.00	\$78.20	\$62.57

PRODUCT CATEGORY: VARIABLE ANNUITIES

/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.53	0.00	0.00	0.53	80.0	0.42
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.12	0.00	0.00	0.12	80.0	0.10
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	9.35	0.00	0.00	9.35	80.0	7.48
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	14.98	0.00	0.00	14.98	80.0	11.98
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.52	0.00	0.00	2.52	80.0	2.02
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.25	0.00	0.00	5.25	80.0	4.20
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.24	0.00	0.00	2.24	80.0	1.79
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.25	0.00	0.00	0.25	80.0	0.20
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	10.90	0.00	0.00	10.90	80.0	8.72
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.77	0.00	0.00	4.77	80.0	3.82
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.25	0.00	0.00	0.25	80.0	0.20
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.22	0.00	0.00	2.22	80.0	1.78
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.31	0.00	0.00	1.31	80.0	1.05
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.75	0.00	0.00	1.75	80.0	1.40
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.29	0.00	0.00	0.29	80.0	0.23
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.76	0.00	0.00	0.76	80.0	0.61
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.36	0.00	0.00	0.36	80.0	0.29
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.20	0.00	0.00	5.20	80.0	4.16
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.31	0.00	0.00	0.31	80.0	0.25
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.24	0.00	0.00	0.24	80.0	0.19
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.17	0.00	0.00	1.17	80.0	0.94
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.44	0.00	0.00	4.44	80.0	3.55
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	3.67	0.00	0.00	3.67	80.0	2.94

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.41	0.00	0.00	0.41	80.0 0.33
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	3.71	0.00	0.00	3.71	80.0 2.97
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.35	0.00	0.00	0.35	80.0 0.28
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.44	0.00	0.00	1.44	80.0 1.15
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.62	0.00	0.00	0.62	80.0 0.50
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.15	0.00	0.00	0.15	80.0 0.12
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	9.76	0.00	0.00	9.76	80.0 7.81
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	16.16	0.00	0.00	16.16	80.0 12.93
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.67	0.00	0.00	2.67	80.0 2.14
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.66	0.00	0.00	5.66	80.0 4.53
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.57	0.00	0.00	2.57	80.0 2.06
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.27	0.00	0.00	0.27	80.0 0.22
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	11.35	0.00	0.00	11.35	80.0 9.08
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.86	0.00	0.00	4.86	80.0 3.89
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.27	0.00	0.00	0.27	80.0 0.22
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.32	0.00	0.00	2.32	80.0 1.86
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.60	0.00	0.00	1.60	80.0 1.28
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.99	0.00	0.00	1.99	80.0 1.59
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.35	0.00	0.00	0.35	80.0 0.28
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.86	0.00	0.00	0.86	80.0 0.69
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.43	0.00	0.00	0.43	80.0 0.34
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.31	0.00	0.00	5.31	80.0 4.25
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.36	0.00	0.00	0.36	80.0 0.29
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.26	0.00	0.00	0.26	80.0 0.21
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.46	0.00	0.00	1.46	80.0 1.17
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.87	0.00	0.00	4.87	80.0 3.90
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.19	0.00	0.00	4.19	80.0 3.35
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.49	0.00	0.00	0.49	80.0 0.39
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.08	0.00	0.00	4.08	80.0 3.26
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.41	0.00	0.00	0.41	80.0 0.33
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.79	0.00	0.00	1.79	80.0 1.43
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.78	0.00	0.00	0.78	80.0 0.62
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.18	0.00	0.00	0.18	80.0 0.14
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	10.92	0.00	0.00	10.92	80.0 8.74
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	17.48	0.00	0.00	17.48	80.0 13.98
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	3.02	0.00	0.00	3.02	80.0 2.42
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	6.86	0.00	0.00	6.86	80.0 5.49
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	3.11	0.00	0.00	3.11	80.0 2.49
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.31	0.00	0.00	0.31	80.0 0.25
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	12.67	0.00	0.00	12.67	80.0 10.14

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.31	0.00	0.00	5.31 80.0	4.25
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.31	0.00	0.00	0.31 80.0	0.25
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.57	0.00	0.00	2.57 80.0	2.06
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.11	0.00	0.00	2.11 80.0	1.69
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.45	0.00	0.00	2.45 80.0	1.96
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.48	0.00	0.00	0.48 80.0	0.38
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.02	0.00	0.00	1.02 80.0	0.82
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.53	0.00	0.00	0.53 80.0	0.42
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.75	0.00	0.00	5.75 80.0	4.60
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.46	0.00	0.00	0.46 80.0	0.37
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.31	0.00	0.00	0.31 80.0	0.25
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	1.84	0.00	0.00	1.84 80.0	1.47
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.56	0.00	0.00	5.56 80.0	4.45
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	5.05	0.00	0.00	5.05 80.0	4.04
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.63	0.00	0.00	0.63 80.0	0.50
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	4.65	0.00	0.00	4.65 80.0	3.72
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	0.50	0.00	0.00	0.50 80.0	0.40
/ /	#104771	SUMMIT BEHAVIORAL	ADP	B	0.00	2.40	0.00	0.00	2.40 80.0	1.92
				* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$261.16	\$0.00	\$0.00	\$261.16	\$208.99
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/31/2017	#03230186648	LOGEMANN, PAM	CAPITAL APPRECIATION FUND CLASS R	B	0.00	57.37	0.00	0.00	57.37 80.0	45.90
12/31/2017	#03030051623	LOGEMANN, PAM	CAPITAL INCOME FUND	B	0.00	21.82	0.00	0.00	21.82 80.0	17.46
12/31/2017	#05430038918	LOGEMANN, PAM	CONSERVATIVE INVESTOR FUND	B	0.00	54.23	0.00	0.00	54.23 80.0	43.38
12/31/2017	#05030031394	LOGEMANN, PAM	DISCOVERY FUND	B	0.00	152.20	0.00	0.00	152.20 80.0	121.76
12/31/2017	#02430023925	LOGEMANN, PAM	EQUITY INCOME FUND CLASS R	B	0.00	147.10	0.00	0.00	147.10 80.0	117.68
12/31/2017	#02180082060	LOGEMANN, PAM	GLOBAL OPPORTUNITY	B	0.00	104.00	0.00	0.00	104.00 80.0	83.20
12/31/2017	#01930050545	LOGEMANN, PAM	GLOBAL STRATEGIC FUND	B	0.00	3.56	0.00	0.00	3.56 80.0	2.85
12/31/2017	#07630161590		GOVERNMENT CASH RESERVES	B	0.00	0.00	0.00	0.00	0.00 80.0	0.00
12/31/2017	#07630245355	LOGEMANN, PAM	GOVERNMENT CASH RESERVES	B	0.00	0.00	0.00	0.00	0.00 80.0	0.00
12/31/2017	#08790129492	LOGEMANN, PAM	INTERNATIONAL BOND FUND	B	0.00	156.59	0.00	0.00	156.59 80.0	125.27
12/31/2017	#02790026510	LOGEMANN, PAM	INTERNATIONAL EQUITY FUND CLASS R	B	0.00	117.04	0.00	0.00	117.04 80.0	93.63
12/31/2017	#08580081279	LOGEMANN, PAM	LIMITED TERM GOVERNMENT	B	0.00	13.38	0.00	0.00	13.38 80.0	10.70
12/31/2017	#04230035882	LOGEMANN, PAM	MAIN STREET FUND CLASS R	B	0.00	377.24	0.00	0.00	377.24 80.0	301.79
12/31/2017	#08510155265	LOGEMANN, PAM	MAIN STREET MID CAP FUND	B	0.00	175.54	0.00	0.00	175.54 80.0	140.43
12/31/2017	#02690103807	LOGEMANN, PAM	MID CAP VALUE FUND CLASS R	B	0.00	438.91	0.00	0.00	438.91 80.0	351.13
12/31/2017	#05930126500	LOGEMANN, PAM	OPPENHEIMER 68382F408	B	0.00	1.08	0.00	0.00	1.08 80.0	0.86
12/31/2017	#03330179685	LOGEMANN, PAM	OPPENHEIMER GLOBAL FUND	B	0.00	367.38	0.00	0.00	367.38 80.0	293.90
12/31/2017	#02157500427	PLAN, PROFIT	OPPENHEIMER GLOBAL OPPORTUNITIES FD	B	0.00	7.56	0.00	0.00	7.56 80.0	6.05
12/31/2017	#05480075955	LOGEMANN, PAM	PORTFOLIO SERIES MODERATE	B	0.00	82.20	0.00	0.00	82.20 80.0	65.76

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
01/01/2018	#07122988138	LOGEMANN, PAM	INVESCO SHORT TERM BOND FUND CLASS B	0.00	7.37	0.00	0.00	7.37	80.0	5.90
01/04/2018	#04505016698	LOGEMANN, PAM	PIMCO TOTAL RETURN R	B	0.00	8.28	0.00	8.28	80.0	6.62
01/04/2018	#04505016698	LOGEMANN, PAM	PIMCO TOTAL RETURN R	B	0.00	8.28	0.00	8.28	80.0	6.62
01/31/2018	#000028212302385	JONATHAN P	FRANKLIN SMALL CAP VALUE FUND CLASS B	B	0.00	320.36	0.00	320.36	80.0	256.29
02/07/2018	#01468689839	COMPUANAL	FIDELITY ADVISOR ENERGY CL A	B	0.00	40.49	0.00	40.49	80.0	32.39
02/07/2018	#01468689839	COMPUANAL	FIDELITY ADVISOR SER VII ADVISOR RE B	B	0.00	60.78	0.00	60.78	80.0	48.62
02/07/2018	#00485888168	PARK-B-SMI	FMR1128	B	0.00	2.42	0.00	2.42	80.0	1.94
02/07/2018	#00485888168	PARK-B-SMI	FMR1184	B	0.00	28.22	0.00	28.22	80.0	22.58
02/07/2018	#00485888168	PARK-B-SMI	FMR1189	B	0.00	86.57	0.00	86.57	80.0	69.26
02/07/2018	#00485888168	PARK-B-SMI	FMR1194	B	0.00	21.42	0.00	21.42	80.0	17.14
02/07/2018	#00485888168	PARK-B-SMI	FMR1199	B	0.00	1.10	0.00	1.10	80.0	0.88
02/07/2018	#00485888168	PARK-B-SMI	FMR1296	B	0.00	8.42	0.00	8.42	80.0	6.74
02/07/2018	#00485888168	PARK-B-SMI	FMR1302	B	0.00	73.65	0.00	73.65	80.0	58.92
02/07/2018	#00485888168	PARK-B-SMI	FMR1307	B	0.00	95.94	0.00	95.94	80.0	76.75
02/07/2018	#00485888168	PARK-B-SMI	FMR1397	B	0.00	1.49	0.00	1.49	80.0	1.19
02/07/2018	#00485888168	PARK-B-SMI	FMR1599	B	0.00	16.38	0.00	16.38	80.0	13.10
02/07/2018	#00485888168	PARK-B-SMI	FMR1605	B	0.00	2.26	0.00	2.26	80.0	1.81
02/07/2018	#00485888168	PARK-B-SMI	FMR1816	B	0.00	2.58	0.00	2.58	80.0	2.06
02/07/2018	#00485888168	PARK-B-SMI	FMR246	B	0.00	2.57	0.00	2.57	80.0	2.06
02/07/2018	#00485888168	PARK-B-SMI	FMR260	B	0.00	1.14	0.00	1.14	80.0	0.91
02/07/2018	#00485888168	PARK-B-SMI	FMR272	B	0.00	3.09	0.00	3.09	80.0	2.47
02/07/2018	#00485888168	PARK-B-SMI	FMR2740	B	0.00	80.33	0.00	80.33	80.0	64.26
02/07/2018	#00485888168	PARK-B-SMI	FMR294	B	0.00	2.08	0.00	2.08	80.0	1.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,152.42	\$0.00	\$0.00	\$3,152.42		\$2,521.92
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$3,491.78	\$0.00	\$0.00	\$3,491.78		\$2,793.48

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE	-390.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,793.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,224.48
---------------------	-------------------