

## COMMISSION STATEMENT

June 09, 2017

LAWRENCE HORN  
301 E 47TH STREET  
APT 16J  
NEW YORK, NY 10017

BROKER #: 46  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/08/2017	QGV004930	GS ARBITRAGE	TWENTY FIRST CENTURY FOX INC CLASS	S	-866,721.00	900.00	10.00	890.00	50.0 440.00
05/23/2017	QGV005382	BNP PARIBUS PRIME	WAYFAIR INC CL A	B	2,235,712.50	1,400.00	10.00	1,390.00	50.0 690.00
05/23/2017	QGV005382	BNP PARIBUS PRIME	WAYFAIR INC CL A	B	-2,235,712.50	-1,400.00	-10.00	-1,390.00	50.0 -690.00 C
05/23/2017	QGV005382	BNP PARIBUS PRIME	WAYFAIR INC CL A	B	748,708.18	468.84	10.00	458.84	50.0 224.42
05/23/2017	QGV005382	BNP PARIBUS PRIME	WAYFAIR INC CL A	B	-748,708.18	-468.84	-10.00	-458.84	50.0 -224.42 C
05/23/2017	QGV005382	BNP PARIBUS PRIME	WAYFAIR INC CL A	B	968,638.41	606.56	10.00	596.56	50.0 293.28
05/24/2017	QGV005382	BNP PARIBUS PRIME	RED ROBIN GOURMET BURGERS INC COM	S	-397,100.26	224.04	10.00	214.04	50.0 102.02
05/23/2017	QGV005432	NATIONAL FINANCIAL	WAYFAIR INC CL A	B	1,487,004.32	931.16	10.00	921.16	50.0 455.58
05/23/2017	QGV005432	NATIONAL FINANCIAL	WAYFAIR INC CL A	B	-1,487,004.30	-931.16	-10.00	-921.16	50.0 -455.58 C
05/23/2017	QGV005432	NATIONAL FINANCIAL	WAYFAIR INC CL A	B	1,267,074.09	793.44	10.00	783.44	50.0 386.72
05/24/2017	QGV005432	NATIONAL FINANCIAL	RED ROBIN GOURMET BURGERS INC COM	S	-1,375,352.20	775.96	10.00	765.96	50.0 377.98
05/08/2017	QGV005630	MORGAN STANLEY	MAGNACHIP SEMICONDUCTOR CORP NEW CO	S	-190,144.40	579.12	10.00	569.12	50.0 279.56
05/09/2017	QGV005630	MORGAN STANLEY	MAGNACHIP SEMICONDUCTOR CORP NEW CO	S	-54,616.83	167.55	10.00	157.55	50.0 73.78
05/22/2017	QGV005630	MORGAN STANLEY	NEW YORK & CO INC COM	S	-2,015.00	19.50	10.00	9.50	50.0 -0.25
05/03/2017	QGV006240	GS ARBITRAGE	WINDSTREAM HOLDINGS INC COM	S	-56,446.80	313.17	10.00	303.17	50.0 146.59
05/08/2017	QGV006240	GS ARBITRAGE	PRIVATEBANCORP INC COM	S	-147,161.50	100.00	10.00	90.00	50.0 40.00
05/08/2017	QGV006240	GS ARBITRAGE	TIME WARNER INC	S	-489,971.50	200.00	10.00	190.00	50.0 90.00
05/23/2017	QGV006240	GS ARBITRAGE	CIT GROUP INC COM	S	-237,475.00	200.00	10.00	190.00	50.0 90.00
* STOCKS SUBTOTAL *					<u>\$-1,581,291.97</u>	<u>\$4,879.34</u>	<u>\$120.00</u>	<u>\$4,759.34</u>	<u>\$2,319.68</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-1,581,291.97</u>	<u>\$4,879.34</u>	<u>\$120.00</u>	<u>\$4,759.34</u>	<u>\$2,319.68</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
06/09/2017		OTHER: OTHER-IMPALA		0.00	0.00	0.00	0.00		12,500.00
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: HAMMERSTONE		0.00	0.00	0.00	0.00		-127.50
06/09/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,050.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$11,297.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,319.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$11,297.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-889.47
FINRA Assessment	\$-6.10
SIPC Assessment	\$-91.49

Check Amount	\$12,630.12
Year-to-date Earnings	\$12,630.12