



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
02/27/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	1,000.00	10.00	0.00	10.00	15.0	1.50
	ACCOUNT #: 07122877590	BUY 114 Units @8.7500						
03/01/2018	***-**-775P - KING, GREGORY	AMCAP FUND-A	0.00	18.02	0.00	18.02	15.0	2.70
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	15.0	0.05
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	15.0	0.05
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	AMERICAN BALANCED FUND-A	0.00	4.26	0.00	4.26	15.0	0.64
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN BALANCED FUND-A	0.00	16.42	0.00	16.42	15.0	2.46
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	7.36	0.00	7.36	15.0	1.10
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	7.55	0.00	7.55	15.0	1.13
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	AMERICAN FUNDS MORTGAGE FUND-R3	0.00	6.98	0.00	6.98	15.0	1.05
	ACCOUNT #: 01847001648	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	AMERICAN FUNDS MORTGAGE FUND-R3	0.00	16.98	0.00	16.98	15.0	2.55
	ACCOUNT #: 01847001667	BUY 0 Units @0.0000						
03/01/2018	***-**-2111 - SPECTRUM TOURS	AMERICAN FUNDS MORTGAGE FUND-R3	0.00	0.46	0.00	0.46	15.0	0.07
	ACCOUNT #: 01847002404	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.25	0.00	7.25	15.0	1.09
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.25	0.00	7.25	15.0	1.09
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	6.92	0.00	6.92	15.0	1.04
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	AMERICAN MUTUAL FUND-A	0.00	25.75	0.00	25.75	15.0	3.86
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	AMERICAN MUTUAL FUND-A	0.00	25.88	0.00	25.88	15.0	3.88
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
03/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN MUTUAL FUND-A	0.00	3.05	0.00	3.05	15.0	0.46
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	0.00	1.39	0.00	1.39	15.0	0.21
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/09/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.16	0.00	0.16	15.0	0.02
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL INCOME BUILDER-A	0.00	1.72	0.00	1.72	15.0	0.26
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL INCOME BUILDER-A	0.00	2.85	0.00	2.85	15.0	0.43
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL INCOME BUILDER-A	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-775P - KING, GREGORY	CAPITAL INCOME BUILDER-A	0.00	15.52	0.00	15.52	15.0	2.33
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD BOND FUND-A	0.00	2.91	0.00	2.91	15.0	0.44
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	0.00	1.25	0.00	1.25	15.0	0.19
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	61.04	0.00	61.04	15.0	9.16
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.20	0.00	5.20	15.0	0.78
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	23.03	0.00	23.03	15.0	3.45
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
03/01/2018	***-**-264I - HOLLANDER, TED	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	22.70	0.00	22.70	15.0	3.41
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.96	0.00	1.96	15.0	0.29
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.28	0.00	3.28	15.0	0.49
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.86	0.00	3.86	15.0	0.58
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.48	0.00	0.48	15.0	0.07
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.03	0.00	1.03	15.0	0.15
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-045P - DEANE, ZAIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	28.20	0.00	28.20	15.0	4.23
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
03/01/2018	***-**-075P - HUTCHINSON,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.28	0.00	1.28	15.0	0.19
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
03/01/2018	***-**-635I - CAMPBELL,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.37	0.00	0.37	15.0	0.06
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
03/01/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.71	0.00	8.71	15.0	1.31
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	13.87	0.00	13.87	15.0	2.08
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.36	0.00	1.36	15.0	0.20
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/01/2018	***-**-246I - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @53.3800						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.03	0.00	7.03	15.0	1.05
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.03	0.00	7.03	15.0	1.05
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.59	0.00	6.59	15.0	0.99
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.48	0.00	5.48	15.0	0.82
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.09	0.00	6.09	15.0	0.91
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-275P - BUBLA, MADISON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 00085932755	BUY 0 Units @0.0000						
03/01/2018	***-**-6927 - DEMAREST, DAVID	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.23	0.00	5.23	15.0	0.78
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	1.17	0.00	1.17	15.0	0.18
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	0.00	11.29	0.00	11.29	15.0	1.69
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS F	0.00	1.08	0.00	1.08	15.0	0.16
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @58.0500						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.16	0.00	5.16	15.0	0.77
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.75	0.00	5.75	15.0	0.86
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-6927 - DEMAREST, DAVID	EUROPACIFIC GROWTH FUND-529E	0.00	4.69	0.00	4.69	15.0	0.70
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	EUROPACIFIC GROWTH FUND-A	0.00	4.54	0.00	4.54	15.0	0.68
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	EUROPACIFIC GROWTH FUND-A	0.00	0.93	0.00	0.93	15.0	0.14
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-635I - CAMPBELL,	EUROPACIFIC GROWTH FUND-A	0.00	0.35	0.00	0.35	15.0	0.05
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
03/01/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	0.00	8.42	0.00	8.42	15.0	1.26
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @64.6800						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	6.33	0.00	6.33	15.0	0.95
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	7.00	0.00	7.00	15.0	1.05
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	7.81	0.00	7.81	15.0	1.17
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.01	0.00	8.01	15.0	1.20
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	84.54	0.00	84.54	15.0	12.68
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	40.64	0.00	40.64	15.0	6.10
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	FUNDAMENTAL INVESTORS-C	0.00	36.30	0.00	36.30	15.0	5.45
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	GROWTH FUND OF AMERICA CLASS F	0.00	1.90	0.00	1.90	15.0	0.29
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.30	0.00	4.30	15.0	0.65
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
03/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	101.99	0.00	101.99	15.0	15.30
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
02/28/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	121.63	0.91	0.00	0.91	15.0	0.14
	ACCOUNT #: 07123000494	BUY 10 Units @12.7500						
03/09/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	15.0	1.13
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
02/27/2018	***-**-67CL - MILGROOM,	MFS NEW DISCOVERY VALUE FUND CL C	1,000.00	10.00	0.00	10.00	15.0	1.50
	ACCOUNT #: 00090000070	BUY 69 Units @14.5400						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.79	0.00	6.79	15.0	1.02
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.79	0.00	6.79	15.0	1.02
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.38	0.00	6.38	15.0	0.96
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
03/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	7.36	0.00	7.36	15.0	1.10
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	7.54	0.00	7.54	15.0	1.13
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	79.50	0.00	79.50	15.0	11.93
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	39.18	0.00	39.18	15.0	5.88
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	NEW PERSPECTIVE FUND-A	0.00	21.99	0.00	21.99	15.0	3.30
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	NEW PERSPECTIVE FUND-A	0.00	21.99	0.00	21.99	15.0	3.30
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	NEW PERSPECTIVE FUND-R2	0.00	46.63	0.00	46.63	15.0	6.99
	ACCOUNT #: 01230591027	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	NEW PERSPECTIVE FUND-R2	0.00	5.58	0.00	5.58	15.0	0.84
	ACCOUNT #: 01230591048	BUY 0 Units @0.0000						
03/01/2018	***-**-9109 - PASCH, ROBERT	NEW WORLD FUND-R3	0.00	6.02	0.00	6.02	15.0	0.90
	ACCOUNT #: 01241470723	BUY 0 Units @0.0000						
03/01/2018	***-**-8780 - PALM BEACH	NEW WORLD FUND-R3	0.00	17.58	0.00	17.58	15.0	2.64
	ACCOUNT #: 01241471887	BUY 0 Units @0.0000						
03/01/2018	***-**-2111 - SPECTRUM TOURS	NEW WORLD FUND-R3	0.00	0.27	0.00	0.27	15.0	0.04
	ACCOUNT #: 01241474946	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	THE BOND FUND OF AMERICA-A	0.00	0.19	0.00	0.19	15.0	0.03
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	THE BOND FUND OF AMERICA-A	0.00	3.70	0.00	3.70	15.0	0.56
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @52.8500						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529A	0.00	6.11	0.00	6.11	15.0	0.92
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	6.48	0.00	6.48	15.0	0.97
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.15	0.00	7.15	15.0	1.07
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529C	0.00	8.12	0.00	8.12	15.0	1.22
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	7.85	0.00	7.85	15.0	1.18
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	8.04	0.00	8.04	15.0	1.21
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	92.07	0.00	92.07	15.0	13.81
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	41.87	0.00	41.87	15.0	6.28
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-745P - BETTS, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	0.29	0.00	0.29	15.0	0.04
	ACCOUNT #: 00066945923	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	17.96	0.00	17.96	15.0	2.69
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-A	0.00	107.72	0.00	107.72	15.0	16.16
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	0.45	0.00	0.45	15.0	0.07
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	6.03	0.00	6.03	15.0	0.90
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	THE GROWTH FUND OF AMERICA-A	0.00	2.37	0.00	2.37	15.0	0.36
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	THE GROWTH FUND OF AMERICA-A	0.00	4.04	0.00	4.04	15.0	0.61
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	THE GROWTH FUND OF AMERICA-A	0.00	4.77	0.00	4.77	15.0	0.72
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	THE GROWTH FUND OF AMERICA-A	0.00	0.61	0.00	0.61	15.0	0.09
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	THE GROWTH FUND OF AMERICA-A	0.00	1.31	0.00	1.31	15.0	0.20
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
03/01/2018	***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	36.40	0.00	36.40	15.0	5.46
03/01/2018	***-**-675P - MONTEFUSCO, ACCOUNT #: 00081937980	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	15.0	0.03
03/01/2018	***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.14	0.00	1.14	15.0	0.17
03/01/2018	***-**-775P - KING, GREGORY ACCOUNT #: 00082438825	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	22.08	0.00	22.08	15.0	3.31
03/01/2018	***-**-635I - CAMPBELL, ACCOUNT #: 00084984847	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	15.0	0.06
03/01/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	9.71	0.00	9.71	15.0	1.46
03/01/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	15.0	0.23
03/01/2018	***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	15.0	1.28
03/01/2018	***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.80	0.00	3.80	15.0	0.57
03/01/2018	***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	27.39	0.00	27.39	15.0	4.11
03/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.04	0.00	3.04	15.0	0.46
03/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008823	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	13.10	0.00	13.10	15.0	1.97
03/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008142	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	16.34	0.00	16.34	15.0	2.45
03/01/2018	***-**-815P - BETTS, ALICIA ACCOUNT #: 00066945925	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	15.0	0.04
03/01/2018	***-**-54IN - CECIARELLI, ACCOUNT #: 00067091901	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	15.0	0.08
03/01/2018	***-**-40IN - GEORGE, DORIS ACCOUNT #: 00069103756	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	15.0	0.06



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
03/01/2018	***-**-985P - KEATING, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.12	0.00	2.12	15.0	0.32
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.63	0.00	3.63	15.0	0.54
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-075P - HUTCHINSON,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.76	0.00	0.76	15.0	0.11
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
	* CHRISTOPHER MILGROOM SUBTOTAL *		\$2,621.63	\$1,531.23	\$0.00	\$1,531.23		\$229.70
	*** OVERRIDE TRANSACTIONS TOTAL ***		\$2,621.63	\$1,531.23	\$0.00	\$1,531.23		\$229.70

 BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00