



Concorde Investment Services, LLC
COMMISSION STATEMENT
 April 15, 2017

04/12/2017
 Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^03/21/2017	PAVIS, NANCY	GPB AUTOMOTIVE PORTFOLIO LP	20,000.00	1,400.00	0.00	1,400.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$20,000.00	\$1,400.00	\$0.00	\$1,400.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/10/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	26,983.30	1,349.17	22.00	1,327.17	100.0	0.00
^03/31/2017	PAVIS, NANCY	GPB AUTOMOTIVE PORTFOLIO LP	80,000.00	0.00	0.00	0.00	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	MAINSTAY CUSHING MLP PREMIER FUND C	-33,702.61	22.00	22.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$73,280.69	\$1,371.17	\$44.00	\$1,327.17		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^04/10/2017	MAKRIDES, MARIE	BYD COMPANY LTD SHS H ISIN#CNE10000	-576.75	0.00	10.21	-10.21	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	BYD COMPANY LTD SHS H ISIN#CNE10000	-6,468.75	0.00	22.63	-22.63	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	CANOPY GROWTH CORP SHS LKD TO S&P G	-2,268.00	0.00	22.50	-22.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	CARROLS RESTAURANT GROUP INC COM	-4,209.00	0.00	22.50	-22.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	COLONY NORTHSTAR INC CL A COM	-28,098.60	0.00	25.30	-25.30	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	CRESTWOOD EQUITY PARTNERS LP UNIT L	-1,455.99	0.00	22.50	-22.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	CUSHING MLP TOTAL RETURN FD COM NEW	-2,880.20	0.00	22.50	-22.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	FIRST TR EXCHANGE TRADED FD VI MULT	-4,887.50	0.00	22.50	-22.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	ISHARES TR MTG REAL ESTATE CAPPED E	-5,708.85	0.00	22.50	-22.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	MABVAX THERAPEUTICS HLDGS INC COM N	-656.16	0.00	22.51	-22.51	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	NEW YORK REIT INC COM	-9,751.60	0.00	23.50	-23.50	100.0	0.00
^04/10/2017	MAKRIDES, MARIE	OPKO HEALTH INC	-3,811.50	0.00	22.75	-22.75	100.0	0.00
* STOCKS SUBTOTAL *			\$-70,772.90	\$0.00	\$261.90	\$-261.90		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$22,507.79	\$2,771.17	\$305.90	\$2,465.27		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					0.01
04/15/2017		*PERSHING: MARK UPS	0.00					0.00
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.02

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.06
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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