

**COMMISSION STATEMENT****February 15, 2018**MARTIN PROSHEK
6721 Janel LaneBROKER #: P126 Terminated 12/31/2017
BRANCH: MARTIN PROSHEK

Maumee, OH 43537-1232

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 01/31/2018 | WILSON, LYNNE | JNL PERSPECTIVE II 04/14 | 604,724.53 | 125.98 | 0.00 | 125.98 | 80.0 | 100.78 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$604,724.53 | \$125.98 | \$0.00 | \$125.98 | | \$100.78 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/25/2018 | NERO, PATRICK | ALLIANZGI FOCUSED GROWTH A | 0.00 | 6.72 | 0.00 | 6.72 | 80.0 | 5.38 |
| 01/18/2018 | MABEE, SUSAN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.42 | 0.00 | 1.42 | 80.0 | 1.14 |
| 01/18/2018 | MABEE, SUSAN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 01/18/2018 | MABEE, SUSAN | AM FUNDS NEW WORLD A | 0.00 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |
| 01/24/2018 | FUQUA, CHRIS | BLACKROCK ALL-CAP ENERGY & RESOURCE | 0.00 | 0.36 | 0.00 | 0.36 | 80.0 | 0.29 |
| 01/24/2018 | WILSON, LYNNE | BLACKROCK ALL-CAP ENERGY & RESOURCE | 0.00 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| 01/24/2018 | WILSON, LYNNE | BLACKROCK ALL-CAP ENERGY & RESOURCE | 0.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| 01/24/2018 | HORR, DIANE | BLACKROCK ENERGY & RESOURCES PORTFO | 0.00 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| 01/10/2018 | KODAT, JANICE | COLUMBIA SELIGMAN GLOBAL TECH A | 0.00 | 5.28 | 0.00 | 5.28 | 80.0 | 4.22 |
| 01/16/2018 | MCPARTLAND, BARBARA | DAVIS APPRECIATION AND INCOME A | 0.00 | 1.63 | 0.00 | 1.63 | 80.0 | 1.30 |
| 01/12/2018 | HENNINGER, DAROLD | DELAWARE HEALTHCARE FUNDS CLASS A | 0.00 | 8.12 | 0.00 | 8.12 | 80.0 | 6.50 |
| 01/17/2018 | MCPARTLAND, BARBARA | FIDELITY ADVISOR BIOTECHNOLOGY FUND | 0.00 | 3.47 | 0.00 | 3.47 | 80.0 | 2.78 |
| 01/17/2018 | HENNINGER, DAROLD | FIDELITY ADVISOR TELECOMMUNICATIONS | 0.00 | 6.07 | 0.00 | 6.07 | 80.0 | 4.86 |
| 01/12/2018 | FUQUA, CHARLES (C.K.) | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 7.83 | 0.00 | 7.83 | 80.0 | 6.26 |
| 01/12/2018 | HENNINGER, DAROLD | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 6.88 | 0.00 | 6.88 | 80.0 | 5.50 |
| 01/12/2018 | MCPARTLAND, BARBARA | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 3.26 | 0.00 | 3.26 | 80.0 | 2.61 |
| 01/22/2018 | NERO, PATRICK | FRANKLIN BALANCED C | 0.00 | 12.07 | 0.00 | 12.07 | 80.0 | 9.66 |
| 01/22/2018 | NERO, BARBARA | FRANKLIN BALANCED C | 0.00 | 6.84 | 0.00 | 6.84 | 80.0 | 5.47 |
| 01/22/2018 | FUQUA, CHARLES (C.K.) | FRANKLIN CONV SEC A | 0.00 | 5.71 | 0.00 | 5.71 | 80.0 | 4.57 |
| 01/22/2018 | MCPARTLAND, BARBARA | FRANKLIN INCOME A | 0.00 | 1.08 | 0.00 | 1.08 | 80.0 | 0.86 |
| 01/12/2018 | HENNINGER, DAROLD | IVY SCIENCE AND TECHNOLOGY FUND A | 0.00 | 4.87 | 0.00 | 4.87 | 80.0 | 3.90 |
| 01/23/2018 | WARREN, KATHLEEN | JANUS GLOBAL LIFE SCIENCES FUND CLA | 0.00 | 1.34 | 0.00 | 1.34 | 80.0 | 1.07 |
| 01/19/2018 | HORR, DIANE | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 2.93 | 0.00 | 2.93 | 80.0 | 2.34 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 01/19/2018 | FUQUA, CHARLES (C.K.) | MFS GROWTH ALLOCATION A | 0.00 | 6.05 | 0.00 | 6.05 | 80.0 | 4.84 |
| 01/19/2018 | FUQUA, CHRIS | MFS GROWTH ALLOCATION A | 0.00 | 1.09 | 0.00 | 1.09 | 80.0 | 0.87 |
| 01/09/2018 | HORR, DIANE | NATIXIS LOOMIS STRATEGIC INCOME A | 0.00 | 1.89 | 0.00 | 1.89 | 80.0 | 1.51 |
| 01/18/2018 | KODAT, JANICE | PIMCO FUNDAMENTAL INDEXPLUS AR FUND | 0.00 | 8.95 | 0.00 | 8.95 | 80.0 | 7.16 |
| 01/12/2018 | FUQUA, CHARLES (C.K.) | SALIENT SELECT INCOME FUND CLASS A | 0.00 | 4.94 | 0.00 | 4.94 | 80.0 | 3.95 |
| 01/12/2018 | MCPARTLAND, BARBARA | SALIENT SELECT INCOME FUND CLASS A | 0.00 | 2.91 | 0.00 | 2.91 | 80.0 | 2.33 |
| 01/10/2018 | KODAT, JANICE | SUNAMERICA FOCUSED DIVIDEND STRAT A | 0.00 | 8.70 | 0.00 | 8.70 | 80.0 | 6.96 |
| 01/10/2018 | MORSE, PATRICIA | SUNAMERICA FOCUSED DIVIDEND STRAT A | 0.00 | 5.77 | 0.00 | 5.77 | 80.0 | 4.62 |
| 01/10/2018 | FUQUA, CHARLES (C.K.) | SUNAMERICA FOCUSED DIVIDEND STRAT A | 0.00 | 7.54 | 0.00 | 7.54 | 80.0 | 6.03 |
| 01/10/2018 | HENNINGER, DAROLD | SUNAMERICA FOCUSED DIVIDEND STRAT A | 0.00 | 7.97 | 0.00 | 7.97 | 80.0 | 6.38 |
| 01/22/2018 | MCPARTLAND, BARBARA | TRANSAMERICA MULTI-MANAGED BAL A | 0.00 | 3.84 | 0.00 | 3.84 | 80.0 | 3.07 |
| 01/22/2018 | MCPARTLAND, BARBARA | TRANSAMERICA MULTI-MANAGED BAL A | 0.00 | 0.92 | 0.00 | 0.92 | 80.0 | 0.74 |
| 01/22/2018 | NERO, PATRICK | TRANSAMERICA MULTI-MANAGED BAL C | 0.00 | 12.98 | 0.00 | 12.98 | 80.0 | 10.38 |
| 01/22/2018 | NERO, BARBARA | TRANSAMERICA MULTI-MANAGED BAL C | 0.00 | 9.05 | 0.00 | 9.05 | 80.0 | 7.24 |
| 01/22/2018 | KODAT, JANICE | TRANSAMERICA SMALL/MID CAP VALUE A | 0.00 | 7.97 | 0.00 | 7.97 | 80.0 | 6.38 |
| 01/22/2018 | FUQUA, CHARLES (C.K.) | UNDISCOVERED MANAGERS BEHAVIORAL VA | 0.00 | 10.91 | 0.00 | 10.91 | 80.0 | 8.73 |
| 01/12/2018 | FUQUA, CHARLES (C.K.) | WELLS FARGO SPECIAL MID CAP VALUE F | 0.00 | 7.86 | 0.00 | 7.86 | 80.0 | 6.29 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$196.90 | \$0.00 | \$196.90 | | \$157.52 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$604,724.53 | \$322.88 | \$0.00 | \$322.88 | | \$258.30 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$258.30 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|----------|
| Check Amount | \$258.30 |
| Year-to-date Gross | \$634.24 |
| Year-to-date Earnings | \$507.38 |