



COMMISSION STATEMENT

January 08, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 00100308650	C-STEELPATH MLP ALPHA FUND BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 00100308672	C-STEELPATH MLP ALPHA FUND BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 03222367013	CAPITAL APPRECIATION C BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 03222367035	CAPITAL APPRECIATION C BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 03020607739	CAPITAL INCOME FUND C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 03020607761	CAPITAL INCOME FUND C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08370762762	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762784	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297516	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297538	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120008	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120030	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	500.00	5.00	0.00	5.00	80.0	4.00
12/27/2017	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466873	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
12/27/2017	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466895	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	1,000.00	10.00	0.00	10.00	80.0	8.00
* MUTUAL FUNDS SUBTOTAL *			\$10,000.00	\$100.00	\$0.00	\$100.00		\$80.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
01/04/2018	***-**-8130 - COOK, MARY	PACIFIC LIFE CUSIP 694475625	250.00	13.75	0.00	13.75	80.0	11.00
	ACCOUNT #: VR09005396	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$250.00	\$13.75	\$0.00	\$13.75		\$11.00
PRODUCT CATEGORY: RIA								
01/04/2018	***-**-90FB - DUARTE, BERTHA	LIFEMARK INVESTMENT ADVISORY FEE	35.87	35.87	0.00	35.87	80.0	28.70
	ACCOUNT #: 925332301	BUY 0 Units @0.0000						
01/04/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	21.42	21.42	0.00	21.42	80.0	17.14
	ACCOUNT #: 925348380	BUY 0 Units @0.0000						
01/04/2018	***-**-20FB - TOWNSEND,	LIFEMARK INVESTMENT ADVISORY FEE	38.44	38.44	0.00	38.44	80.0	30.75
	ACCOUNT #: 925348773	BUY 0 Units @0.0000						
01/04/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	117.93	117.93	0.00	117.93	80.0	94.34
	ACCOUNT #: 925348711	BUY 0 Units @0.0000						
01/04/2018	***-**-11FB - FRIEDLANDER,	LIFEMARK INVESTMENT ADVISORY FEE	19.65	19.65	0.00	19.65	80.0	15.72
	ACCOUNT #: 925348770	BUY 0 Units @0.0000						
01/04/2018	***-**-99FB - GARCIA, JENNY	LIFEMARK INVESTMENT ADVISORY FEE	138.70	138.70	0.00	138.70	80.0	110.96
	ACCOUNT #: 925348382	BUY 0 Units @0.0000						
01/04/2018	***-**-87FB - GARCIA, ONORIO	LIFEMARK INVESTMENT ADVISORY FEE	45.64	45.64	0.00	45.64	80.0	36.51
	ACCOUNT #: 925348386	BUY 0 Units @0.0000						
01/04/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	15.11	15.11	0.00	15.11	80.0	12.09
	ACCOUNT #: 925353393	BUY 0 Units @0.0000						
01/04/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	21.45	21.45	0.00	21.45	80.0	17.16
	ACCOUNT #: 925353396	BUY 0 Units @0.0000						
01/04/2018	***-**-38FB - WUSTHOF, PAMELA	LIFEMARK INVESTMENT ADVISORY FEE	23.47	23.47	0.00	23.47	80.0	18.78
	ACCOUNT #: 925353402	BUY 0 Units @0.0000						
01/04/2018	***-**-48FB - WAY, JANET	LIFEMARK INVESTMENT ADVISORY FEE	22.24	22.24	0.00	22.24	80.0	17.79
	ACCOUNT #: 925367463	BUY 0 Units @0.0000						
01/04/2018	***-**-98FB - RUFFING, JODIE	LIFEMARK INVESTMENT ADVISORY FEE	14.56	14.56	0.00	14.56	80.0	11.65
	ACCOUNT #: 925378876	BUY 0 Units @0.0000						
01/04/2018	***-**-98FB - RUFFING, JODIE	LIFEMARK INVESTMENT ADVISORY FEE	87.94	87.94	0.00	87.94	80.0	70.35
	ACCOUNT #: 925379613	BUY 0 Units @0.0000						
01/04/2018	***-**-97FB - GASTON-PIERCE,	LIFEMARK INVESTMENT ADVISORY FEE	24.69	24.69	0.00	24.69	80.0	19.75
	ACCOUNT #: 925305856	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
01/04/2018	***-**-61FB - LONGSWORTH, CITA	LIFEMARK INVESTMENT ADVISORY FEE	30.77	30.77	0.00	30.77	80.0	24.62
	ACCOUNT #: 925319593	BUY 0 Units @0.0000						
01/04/2018	***-**-46FB - HAYWOOD, CARLA	LIFEMARK INVESTMENT ADVISORY FEE	16.67	16.67	0.00	16.67	80.0	13.34
	ACCOUNT #: 925320095	BUY 0 Units @0.0000						
01/04/2018	***-**-46FB - HAYWOOD, CARLA	LIFEMARK INVESTMENT ADVISORY FEE	42.18	42.18	0.00	42.18	80.0	33.74
	ACCOUNT #: 925320080	BUY 0 Units @0.0000						
01/04/2018	***-**-46FB - HAYWOOD, CARLA	LIFEMARK INVESTMENT ADVISORY FEE	353.00	353.00	0.00	353.00	80.0	282.40
	ACCOUNT #: 925320981	BUY 0 Units @0.0000						
01/04/2018	***-**-85FB - HAYWOOD, JOHN	LIFEMARK INVESTMENT ADVISORY FEE	176.83	176.83	0.00	176.83	80.0	141.46
	ACCOUNT #: 925320991	BUY 0 Units @0.0000						
01/04/2018	***-**-33FB - CLAY, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	62.68	62.68	0.00	62.68	80.0	50.14
	ACCOUNT #: 925323203	BUY 0 Units @0.0000						
01/04/2018	***-**-21FB - VALLE, NORMA	LIFEMARK INVESTMENT ADVISORY FEE	8.31	8.31	0.00	8.31	80.0	6.65
	ACCOUNT #: 925331129	BUY 0 Units @0.0000						
01/04/2018	***-**-21FB - VALLE, NORMA	LIFEMARK INVESTMENT ADVISORY FEE	23.08	23.08	0.00	23.08	80.0	18.46
	ACCOUNT #: 925331134	BUY 0 Units @0.0000						
01/04/2018	***-**-52FB - NGUYEN, VICKIE	LIFEMARK INVESTMENT ADVISORY FEE	4.67	4.67	0.00	4.67	80.0	3.74
	ACCOUNT #: 925344068	BUY 0 Units @0.0000						
01/04/2018	***-**-52FB - NGUYEN, VICKIE	LIFEMARK INVESTMENT ADVISORY FEE	96.03	96.03	0.00	96.03	80.0	76.82
	ACCOUNT #: 925329024	BUY 0 Units @0.0000						
01/04/2018	***-**-61FB - VALLE, EDUARDO	LIFEMARK INVESTMENT ADVISORY FEE	4.74	4.74	0.00	4.74	80.0	3.79
	ACCOUNT #: 925332341	BUY 0 Units @0.0000						
01/04/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	4.67	4.67	0.00	4.67	80.0	3.74
	ACCOUNT #: 925344043	BUY 0 Units @0.0000						
01/04/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	36.96	36.96	0.00	36.96	80.0	29.57
	ACCOUNT #: 925336235	BUY 0 Units @0.0000						
01/04/2018	***-**-62FB - METZGER, JEANNE	LIFEMARK INVESTMENT ADVISORY FEE	21.61	21.61	0.00	21.61	80.0	17.29
	ACCOUNT #: 925344624	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$1,509.31	\$1,509.31	\$0.00	\$1,509.31		\$1,207.45



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BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/08/2018	***-**-4597 - RIVERA, ACCOUNT #: 565906490	SECURITY BENEFIT VUL TRAILS BUY 1 Units @0.0000	0.00	16.24	0.00	16.24	80.0	12.99
01/08/2018	***-**-4597 - RIVERA, ACCOUNT #: 565906490	SECURITY BENEFIT VUL TRAILS BUY 1 Units @0.0000	0.00	93.05	0.00	93.05	80.0	74.44
01/08/2018	***-**-4597 - RIVERA, ACCOUNT #: 565906490	SECURITY BENEFIT VUL TRAILS BUY 1 Units @0.0000	0.00	23.28	0.00	23.28	80.0	18.62
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$132.57	\$0.00	\$132.57		\$106.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-4597 - RIVERA, ACCOUNT #: 1017513210	JACKSON ELITE ACCESS E BUY 0 Units @0.0000	0.00	122.66	0.00	122.66	80.0	98.13
12/31/2017	***-**-9808 - SJOERDSMA, GREGG ACCOUNT #: 1015027963	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	15.29	0.00	15.29	80.0	12.23
12/31/2017	***-**-4357 - LARKIN, MICHAEL ACCOUNT #: 1015047107	JACKSON NATIONAL CUSIP 468495676-NF BUY 0 Units @0.0000	0.00	84.78	0.00	84.78	80.0	67.82
12/23/2017	***-**-51VL - BALLESTEROS, VL ACCOUNT #: VP60123270	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	0.00	37.85	0.00	37.85	80.0	30.28
12/31/2017	***-**-0215 - JENNINGS, ACCOUNT #: 1016519606	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	23.45	0.00	23.45	80.0	18.76
12/31/2017	***-**-0339 - NUSE, PHILIP ACCOUNT #: 1017175544	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	29.11	0.00	29.11	80.0	23.29
12/31/2017	***-**-0339 - NUSE, PHILIP ACCOUNT #: 1017175820	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	128.15	0.00	128.15	80.0	102.52
12/31/2017	***-**-4843 - GOLLINGER, DAVID ACCOUNT #: 1018097431	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	50.41	0.00	50.41	80.0	40.33
12/31/2017	***-**-5043 - MINETREE, ACCOUNT #: 1018954628	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	85.35	0.00	85.35	80.0	68.28
12/06/2017	***-**-0742 - SAWYER, DAVID ACCOUNT #: 121352LB8	TRANSAMERICA VA TRAILS 89353N402 BUY 0 Units @0.0000	0.00	18.80	0.00	18.80	80.0	15.04
12/06/2017	***-**-0742 - SAWYER, DAVID ACCOUNT #: 121353LB8	TRANSAMERICA VA TRAILS 89353N402 BUY 0 Units @0.0000	0.00	10.48	0.00	10.48	80.0	8.38
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$606.33	\$0.00	\$606.33		\$485.06



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*** BROKER TRANSACTIONS TOTAL ***			\$11,759.31	\$2,361.96	\$0.00	\$2,361.96		\$1,889.56

BROKER COMMISSION TOTALS

Broker Transactions	\$1,889.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.26
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,890.82
Year-to-date Earnings	\$1,890.82