

# STATEMENT

July 20, 2018

ERIK NEW DODDS

BROKER #: 067

BRANCH: LA BRANCH

, CA

## COMMISSION STATEMENT for ERIK NEW DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: STOCKS

06/08/2018 #	FIRST TR EXCHANGE TRADED FD II	B	887.40	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	FIRST TR EXCHANGE TRADED FD II	B	697.61	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	ISHARES TRUST ISHARES FLOATING RATE S	S	764.18	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	SECTOR SPDR - FINANCIAL	B	1,009.66	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	SELECT SCTOR SPDR TR SBI UTILS SELE S	S	487.35	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	SELECT SECTOR SPDR FUND SHS BEN INT S	S	931.60	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	1,535.00	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	SELECT SECTOR SPDR TRUST THE REAL E B	B	863.45	0.00	0.00	0.00	0.00	45.0	0.00
06/08/2018 #	STANDARD & POORS MIDCAP 400 SPDR S& S	S	2,541.14	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	***AON PLC	B	1,096.54	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	***QIAGEN NV	B	1,792.91	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	3M COMPANY	B	2,546.93	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	ACCENTURE PLC IRELAND SHS CL A	B	2,866.68	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	ALPHABET INC CLASS C CAPITAL STOCK	B	5,764.10	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	AMERICAN TOWER CORPORATION NEW REIT	B	2,972.34	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	BOOKING HOLDINGS INC	B	2,108.94	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	CERNER CORP	B	1,319.51	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	CHARLES SCHWAB CORP NEW	B	1,326.18	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	CHECK POINT SOFTWARE TECHNOLOGIES L	B	2,719.92	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	COCA COLA COMPANY (THE)	B	1,468.04	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	COMCAST CORP NEW CL A	B	1,628.04	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	CROWN CASTLE INTERNATIONAL	B	1,132.23	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	DANAHER CORPORATION	B	5,351.39	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	DOLLAR GENERAL CORPORATION NEW	B	4,609.09	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	ECOLAB INC	B	3,590.60	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	ESTEE LAUDER COMPANIES INC CL A	B	2,438.56	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	FISERV INC	B	1,515.00	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	FORTIVE CORPORATION	B	2,820.60	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	HENRY SCHEIN INC	B	1,184.44	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	IDEX CORP	B	2,356.67	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #	INTERCONTINENTAL EXCHANGE INC	B	3,599.04	0.00	0.00	0.00	0.00	45.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
06/22/2018 #		INTUIT INC	B	4,133.40	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		LABORATORY CORP AMER HLDGS NEW	B	2,069.95	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		LOWES COMPANIES INC	B	1,183.53	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		MARSH & MCLENNAN COMPANIES INC	B	2,525.18	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		MASTERCARD INC	B	4,211.34	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		MICROSOFT CORP	B	4,792.32	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		MONDELEZ INTERNATIONAL INC COM	B	2,538.28	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		NIKE INC-CL B	B	1,335.38	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		PEPSICO INC	B	1,621.61	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		PRAXAIR INC	B	3,954.50	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		STARBUCKS CORP	B	1,272.25	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		TE CONNECTIVITY LTD	B	1,714.10	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		TEXAS INSTRUMENTS INCORPORATED	B	1,115.38	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		THERMO FISHER SCIENTIFIC INC	B	5,228.25	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		TJX COMPANIES INC NEW	B	1,724.00	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		VERISK ANALYTICS INC COM	B	3,230.93	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		VISA INC	B	5,801.13	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		WALT DISNEY CO	B	1,916.46	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		XYLEM INC COM	B	1,918.93	0.00	0.00	0.00	0.00	45.0	0.00
06/22/2018 #		ZOETIS INC	B	4,320.38	0.00	0.00	0.00	0.00	45.0	0.00
06/27/2018 #		ALPHABET INC CLASS C CAPITAL STOCK	S	1,112.06	0.00	0.00	0.00	0.00	45.0	0.00
06/27/2018 #		LABORATORY CORP AMER HLDGS NEW	B	359.58	0.00	0.00	0.00	0.00	45.0	0.00
06/27/2018 #		VISA INC	S	659.45	0.00	0.00	0.00	0.00	45.0	0.00
* STOCKS SUBTOTAL *				\$124,663.53	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
06/08/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.63	0.00	0.00	0.63	45.0	0.28
06/11/2018 #		DAIN TRAILER	B	0.00	2.28	0.00	0.00	2.28	45.0	1.03
06/11/2018 #		DAIN TRAILER	B	0.00	2.78	0.00	0.00	2.78	45.0	1.25
06/11/2018 #		DAIN TRAILER	B	0.00	6.83	0.00	0.00	6.83	45.0	3.07
06/11/2018 #		DAIN TRAILER	B	0.00	10.96	0.00	0.00	10.96	45.0	4.93
06/11/2018 #		DAIN TRAILER	B	0.00	7.11	0.00	0.00	7.11	45.0	3.20
06/11/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.58	0.00	0.00	0.58	45.0	0.26
06/18/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	0.00	0.56	0.00	0.00	0.56	45.0	0.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$31.73	\$0.00	\$0.00	\$31.73		\$14.27
*** BROKER TRANSACTIONS TOTAL ***				\$124,663.53	\$31.73	\$0.00	\$0.00	\$31.73		\$14.27

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-490.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-476.09</b>
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