

All Companies
STATEMENT
 August 20, 2018

NICK DREW
 12721 Sawmill Rd Suite 105
 SUITE 105
 The Woodlands, TX 77380

BROKER #: 169
 BRANCH:

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/02/2018	#03900207205 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#03900207204 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#03900207203 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#03900207202 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#01433683800 SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	150.00	3.75	95.0	0.00	3.56	0.00	3.56
07/02/2018	#01489928364 MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
07/02/2018	#01489928307 MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
07/02/2018	#01482268297 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#01482268271 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#01482268248 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#01475342802 HOPPERS,	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
07/02/2018	#01474968060 MORGAN, TIFFANY	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
07/02/2018	#01467787535 RAYBURN,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#01462130194 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
07/02/2018	#01490487806 BYERS, JUSTIN	FIDELITY GROWTH OP	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/02/2018	#00073658761 STOVALL, BLAKE	SMALLCAP WORLD FUND-529A	600.00	12.00	95.0	0.00	11.40	0.00	11.40
07/11/2018	# RIEGLER, SALLEE	FIDELITY ADVISOR INTL SMALL CAP INS	7,000.00	0.00	95.0	0.00	0.00	0.00	0.00
07/12/2018	# THROWER, ROBERT	FIDELITY ADV NEW INSIGHTS CL C	10,000.00	100.00	95.0	0.00	95.00	20.00	75.00
07/16/2018	#01446906354 SCHLANSER,	FA 529 EQUITY GROWTH PORT-CL A	50.00	1.50	95.0	0.00	1.43	0.00	1.43
07/16/2018	#01486464421 VINEYARD,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
07/16/2018	#01484284672 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
07/16/2018	#01481917423 SCHLANSER,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
07/16/2018	#01475344956 HOPPEJON	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
07/16/2018	#01467602163 ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
07/16/2018	#01466752647 ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
07/18/2018	# SCHEER, DENNIS	NUVEEN INTERNATIONAL GROWTH FUND CL	58,294.17	0.00	95.0	0.00	0.00	0.00	0.00
07/25/2018	#	FIDELITY ADVISOR INTL SMALL CAP INS	13,205.45	0.00	95.0	0.00	0.00	20.00	-20.00
07/25/2018	#	FIDELITY ADVISOR INTL SMALL CAP INS	53,483.66	0.00	95.0	0.00	0.00	0.00	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/26/2018	#01453112920	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95	
07/26/2018	#01453145300	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.95	
* MUTUAL FUNDS SUBTOTAL *				\$145,333.28	\$142.75		\$0.00	\$135.68	\$40.00	\$95.68
PRODUCT CATEGORY: STOCKS										
07/18/2018	#	SCHEER, DENNIS	ABBVIE INC	7,107.76	0.00	85.0	0.00	0.00	0.00	0.00
07/18/2018	#	SCHEER, DENNIS	STARBUCKS CORP	10,974.33	0.00	85.0	0.00	0.00	0.00	0.00
07/25/2018	#	KNIGHT, MARISA	SPDR S&P 500 ETF TR	6,771.36	0.00	85.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *				\$24,853.45	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES										
07/10/0201	#824818	SEARLY URG	MASSMUTUAL	0.00	1,401.78	95.0	0.00	1,331.69	0.00	1,331.69
07/10/0201	#826081	BRADLEY, SE	MASSMUTUAL	0.00	409.25	95.0	0.00	388.79	0.00	388.79
07/10/0201	#826081	BRADLEY, SE	MASSMUTUAL	0.00	6.00	95.0	0.00	5.70	0.00	5.70
07/10/0201	#826081	BRADLEY, SE	MASSMUTUAL	0.00	5.70	95.0	0.00	5.42	0.00	5.42
07/10/0201	#832117	SEARLY URG	MASSMUTUAL	0.00	5,042.67	95.0	0.00	4,790.54	0.00	4,790.54
07/10/0201	#832295	SEARLY URG	MASSMUTUAL	0.00	205.96	95.0	0.00	195.66	0.00	195.66
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$7,071.36		\$0.00	\$6,717.80	\$0.00	\$6,717.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/09/2018	#01473861464		FA 529 DIV. INTERNATIONAL	0.00	1.74	95.0	0.00	1.65	0.00	1.65
07/09/2018	#00517292975	VINEYARD,	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	4.98	95.0	0.00	4.73	0.00	4.73
07/09/2018	#00517292975	VINEYARD,	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	10.00	95.0	0.00	9.50	0.00	9.50
07/09/2018	#01447784933	BEDORE,	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	11.85	95.0	0.00	11.26	0.00	11.26
07/09/2018	#01433683800	SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	0.00	14.48	95.0	0.00	13.76	0.00	13.76
07/09/2018	#01446906354	SCHLANSER,	FA 529 EQUITY GROWTH PORT-CL A	0.00	1.65	95.0	0.00	1.57	0.00	1.57
07/09/2018	#01473861464		FA 529 INFLATION PROTECTED BD PORT-	0.00	2.87	95.0	0.00	2.73	0.00	2.73
07/09/2018	#01466752647	ADDICKS,	FA 529 INTERMED BOND PORT-CL A	0.00	0.37	95.0	0.00	0.35	0.00	0.35
07/09/2018	#00517292975	VINEYARD,	FA 529 MID CAP PORT-CL A	0.00	2.88	95.0	0.00	2.74	0.00	2.74
07/09/2018	#00517624490	BROWN,	FA 529 NEW INSIGHTS PORT-CL A	0.00	36.81	95.0	0.00	34.97	0.00	34.97
07/09/2018	#01447651777	MCELHOE, BENE	FA 529 NEW INSIGHTS PORT-CL A	0.00	4.71	95.0	0.00	4.47	0.00	4.47
07/09/2018	#01453112920	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.56	95.0	0.00	2.43	0.00	2.43
07/09/2018	#01453145300	MATHEANGE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.56	95.0	0.00	2.43	0.00	2.43
07/09/2018	#01462130194	CRASSMELI	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.89	95.0	0.00	0.85	0.00	0.85
07/09/2018	#01466752647	ADDICKS,	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.45	95.0	0.00	1.38	0.00	1.38
07/09/2018	#01467602163	ADDICKS,	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.41	95.0	0.00	0.39	0.00	0.39
07/09/2018	#01467787535	RAYBURN,	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.63	95.0	0.00	2.50	0.00	2.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	#01483572945	RAYBURN, FA 529 NEW INSIGHTS PORT-CL A	0.00	0.27	95.0	0.00	0.26	0.00	0.26
07/09/2018	#01453112920	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	0.00	7.48	95.0	0.00	7.11	0.00	7.11
07/09/2018	#01453145300	MATHEANGE FA 529 NEW INSIGHTS PORT-CL C	0.00	7.48	95.0	0.00	7.11	0.00	7.11
07/09/2018	#01462130194	CRASSMELI FA 529 NEW INSIGHTS PORT-CL C	0.00	11.79	95.0	0.00	11.20	0.00	11.20
07/09/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	8.16	95.0	0.00	7.75	0.00	7.75
07/09/2018	#01467602163	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	12.86	95.0	0.00	12.22	0.00	12.22
07/09/2018	#01467787535	RAYBURN, FA 529 NEW INSIGHTS PORT-CL C	0.00	2.95	95.0	0.00	2.80	0.00	2.80
07/09/2018	#01473861464	FA 529 NEW INSIGHTS PORT-CL C	0.00	3.95	95.0	0.00	3.75	0.00	3.75
07/09/2018	#01474968060	MORGAN, TIFFANY FA 529 NEW INSIGHTS PORT-CL C	0.00	6.91	95.0	0.00	6.56	0.00	6.56
07/09/2018	#01475342802	HOPPERS, FA 529 NEW INSIGHTS PORT-CL C	0.00	10.58	95.0	0.00	10.05	0.00	10.05
07/09/2018	#01475344956	HOPPEJON FA 529 NEW INSIGHTS PORT-CL C	0.00	12.78	95.0	0.00	12.14	0.00	12.14
07/09/2018	#01481917423	SCHLANSER, FA 529 NEW INSIGHTS PORT-CL C	0.00	1.71	95.0	0.00	1.62	0.00	1.62
07/09/2018	#01482268248	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	19.41	95.0	0.00	18.44	0.00	18.44
07/09/2018	#01482268271	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	1.61	95.0	0.00	1.53	0.00	1.53
07/09/2018	#01482268297	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	1.61	95.0	0.00	1.53	0.00	1.53
07/09/2018	#01484284672	CRASSMELI FA 529 NEW INSIGHTS PORT-CL C	0.00	5.19	95.0	0.00	4.93	0.00	4.93
07/09/2018	#01486464421	VINEYARD, FA 529 NEW INSIGHTS PORT-CL C	0.00	8.94	95.0	0.00	8.49	0.00	8.49
07/09/2018	#01489928307	MORGAMONT FA 529 NEW INSIGHTS PORT-CL C	0.00	0.20	95.0	0.00	0.19	0.00	0.19
07/09/2018	#01489928364	MORGAMONT FA 529 NEW INSIGHTS PORT-CL C	0.00	0.20	95.0	0.00	0.19	0.00	0.19
07/09/2018	#01473861464	FA 529 SMALL CAP PORTFOLIO - CLASS	0.00	1.99	95.0	0.00	1.89	0.00	1.89
07/09/2018	#01490487806	BYERS, JUSTIN FIDELITY GROWTH OP	0.00	2.88	95.0	0.00	2.74	0.00	2.74
07/09/2018	#01447608090	MCELHOE, BENE FMR 31580R509	0.00	10.11	95.0	0.00	9.60	0.00	9.60
07/09/2018	#01447651777	MCELHOE, BENE FMR 31580R509	0.00	6.27	95.0	0.00	5.96	0.00	5.96
07/11/2018	#	DAIN TRAILER	0.00	5.20	95.0	0.00	4.94	0.00	4.94
07/11/2018	#	BEDORE, ROBERT DAIN TRAILER	0.00	1.97	95.0	0.00	1.87	0.00	1.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$255.34		\$0.00	\$242.58	\$0.00	\$242.58
*** BROKER TRANSACTIONS TOTAL ***			\$170,186.73	\$7,469.45		\$0.00	\$7,096.06	\$40.00	\$7,056.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<div>ADJUSTMENTS</div>									
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		ADMIN FEE: ADMIN FEE							-390.00
08/20/2018		FEDEX: FEDEX TRUST CO OF AMERICA							-10.68
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-75.00
		DEBIT BALANCE							
08/20/2018		FEDEX: FEDEX HERRERA							-11.21
08/20/2018		MISCELLANEOUS: MISCELLANEOUS							569.00
		INSPECTION CREDIT							
*** ADJUSTMENTS TOTAL ***									\$-96.89

BROKER COMMISSION TOTALS

Broker Transactions	\$7,056.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-96.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$6,959.17