



All Companies

01/11/2018  
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# COMMISSION STATEMENT

January 16, 2018

MICHAEL C. MOYNIHAN  
700 Throckmorton

BROKER #: 9528  
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	1.78	1.78	0.00	1.78	90.0	1.60
12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	31.44	31.44	0.00	31.44	90.0	28.30
12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	45.23	45.23	0.00	45.23	90.0	40.71
12/31/2017	TRAILS	-SCHOLARS EDGE 529 PLAN	B	32.26	32.26	0.00	32.26	90.0	29.03
12/18/2017	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	347.72	16.42	0.00	16.42	90.0	14.78
12/18/2017	SANCHEZ, FIDEL	FORESTERS FINANCIAL	B	450.00	21.24	0.00	21.24	90.0	19.12
12/18/2017	MARTINEZ, LUCIANO	FORESTERS FINANCIAL	B	784.73	37.04	0.00	37.04	90.0	33.34
12/18/2017	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	909.50	26.11	0.00	26.11	90.0	23.50
12/18/2017	SAUNDERS, MARTHA J.	FORESTERS FINANCIAL	B	630.00	29.74	0.00	29.74	90.0	26.77
12/18/2017	TAPIA, ISIDRO	FORESTERS FINANCIAL	B	659.52	24.34	0.00	24.34	90.0	21.91
12/18/2017	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	882.75	19.86	0.00	19.86	90.0	17.87
12/18/2017	WHISENAND, JAMES MICHAEL	FORESTERS FINANCIAL	B	787.50	29.07	0.00	29.07	90.0	26.16
12/18/2017	WHISENAND, MICHAEL D	FORESTERS FINANCIAL	B	938.75	34.64	0.00	34.64	90.0	31.18
12/18/2017	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	882.75	25.34	0.00	25.34	90.0	22.81
12/18/2017	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	347.70	16.41	0.00	16.41	90.0	14.77
12/18/2017	RAMIREZ, VICTOR	FORESTERS FINANCIAL	B	778.82	36.76	0.00	36.76	90.0	33.08
12/18/2017	VERA, MANUEL H.	FORESTERS FINANCIAL	B	536.73	25.33	0.00	25.33	90.0	22.80
12/18/2017	CRUZ, PATRICK	FORESTERS FINANCIAL	B	300.00	14.16	0.00	14.16	90.0	12.74
12/18/2017	SANCHEZ, NORMA	FORESTERS FINANCIAL	B	384.80	18.17	0.00	18.17	90.0	16.35
12/18/2017	MATSON, VICTOR G.	FORESTERS FINANCIAL	B	399.72	18.87	0.00	18.87	90.0	16.98
12/18/2017	BUSBY, RODNEY	FORESTERS FINANCIAL	B	540.00	25.48	0.00	25.48	90.0	22.93
12/18/2017	STACY, LARRY	FORESTERS FINANCIAL	B	767.85	36.25	0.00	36.25	90.0	32.63
12/18/2017	WHISENAND, JAMES MICHAEL	FORESTERS FINANCIAL	B	787.50	29.07	0.00	29.07	90.0	26.16
12/18/2017	TAPIA, GERARDO	FORESTERS FINANCIAL	B	550.72	20.33	0.00	20.33	90.0	18.30
12/31/2017	TRAILS	FORESTERS FINANCIAL	B	685.09	685.09	0.00	685.09	90.0	616.58
12/31/2017	TRAILS	FORESTERS FINANCIAL	B	7.82	7.82	0.00	7.82	90.0	7.04
12/26/2017	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	153.75	5.77	0.00	5.77	90.0	5.19
12/26/2017	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	450.00	16.88	0.00	16.88	90.0	15.19
12/26/2017	000000000501561 CHASTEEN,	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	99.00	4.95	0.00	4.95	90.0	4.46

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
	GARRY								
12/26/2017	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		166.00	8.30	0.00	8.30	90.0	7.47
12/21/2017	000000000709446 WALL, MATTHEW/ADRIENN A.	FRK TEMP COREFOLIO ALLOC FND CL - A B		250.00	12.50	0.00	12.50	90.0	11.25
12/26/2017	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		114.00	5.70	0.00	5.70	90.0	5.13
12/26/2017	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		114.00	5.70	0.00	5.70	90.0	5.13
12/26/2017	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	72.00	3.60	0.00	3.60	90.0	3.24
12/26/2017	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	360.00	18.00	0.00	18.00	90.0	16.20
01/05/2018	0000000005773748 DUNKIN, PHILLIP/COLBY	GROWTH ASSET ALLOC 13-16 YRS - CLAS B		50.00	1.40	0.00	1.40	90.0	1.26
01/02/2018	0000000006174104 COTE, PATTY S.	FRK TEMP FOUNDING FUNDS ALLOC FND C B		250.00	12.50	0.00	12.50	90.0	11.25
12/26/2017	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	72.00	2.02	0.00	2.02	90.0	1.82
12/26/2017	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	700.00	19.60	0.00	19.60	90.0	17.64
12/26/2017	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	134.40	5.04	0.00	5.04	90.0	4.54
12/26/2017	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	52.80	1.98	0.00	1.98	90.0	1.78
12/26/2017	0000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	54.00	1.51	0.00	1.51	90.0	1.36
12/26/2017	0000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	525.00	14.70	0.00	14.70	90.0	13.23
12/26/2017	0000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	100.80	3.78	0.00	3.78	90.0	3.40
12/26/2017	0000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	39.60	1.49	0.00	1.49	90.0	1.34
12/22/2017	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
12/22/2017	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
12/18/2017	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	120.00	4.50	0.00	4.50	90.0	4.05
12/26/2017	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	525.00	14.70	0.00	14.70	90.0	13.23
12/26/2017	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	54.00	1.51	0.00	1.51	90.0	1.36
12/26/2017	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	100.80	3.78	0.00	3.78	90.0	3.40
12/26/2017	000019817816186 THOMPSON,	FRANKLIN SMALL CAP GROWTH FD CL I B	B	39.60	1.49	0.00	1.49	90.0	1.34

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
MICHAEL									
12/19/2017	00002119967	MATHIS, JANET		LIFESTYLE GROWTH PORTFOLIO A	B	149.97	6.37	0.00	6.37 90.0 5.73
12/18/2017	00002172270	HALL, HARRISON		JOHN HANCOCK GROWTH & INCOME FD CL B		82.50	2.35	0.00	2.35 90.0 2.12
12/18/2017	00002172270	HALL, HARRISON		JOHN HANCOCK GROWTH & INCOME FD CL B		253.16	7.22	0.00	7.22 90.0 6.50
12/18/2017	00002172271	HALL, HARRISON		CLASSIC VALUE A	B	82.50	2.35	0.00	2.35 90.0 2.12
12/18/2017	00002172271	HALL, HARRISON		CLASSIC VALUE A	B	253.16	7.22	0.00	7.22 90.0 6.50
12/18/2017	00002172273	HALL, HARRISON		NEW OPPORTUNITIES A	B	253.16	7.22	0.00	7.22 90.0 6.50
12/18/2017	00002172273	HALL, HARRISON		NEW OPPORTUNITIES A	B	82.50	2.35	0.00	2.35 90.0 2.12
12/18/2017	00002172274	HALL, HARRISON		GLOBAL SHAREHOLDER YIELD A	B	126.58	3.61	0.00	3.61 90.0 3.25
12/18/2017	00002172274	HALL, HARRISON		GLOBAL SHAREHOLDER YIELD A	B	41.25	1.18	0.00	1.18 90.0 1.06
12/18/2017	00002172275	HALL, HARRISON		JOHN HANCOCK HIGH YLD BD FD CL A	B	126.58	3.80	0.00	3.80 90.0 3.42
12/18/2017	00002172275	HALL, HARRISON		JOHN HANCOCK HIGH YLD BD FD CL A	B	41.25	1.24	0.00	1.24 90.0 1.12
12/18/2017	00002172276	HALL, HARRISON		JOHN HANCOCK SOVEREIGN BD FD CL A	B	41.25	1.24	0.00	1.24 90.0 1.12
12/18/2017	00002172276	HALL, HARRISON		JOHN HANCOCK SOVEREIGN BD FD CL A	B	126.58	3.80	0.00	3.80 90.0 3.42
12/18/2017	00002172277	HALL, HARRISON		FLOATING RATE INCOME A	B	126.58	1.90	0.00	1.90 90.0 1.71
12/18/2017	00002172277	HALL, HARRISON		FLOATING RATE INCOME A	B	41.25	0.62	0.00	0.62 90.0 0.56
12/18/2017	00002172323	WIGGINS, ASHLEY		LIFESTYLE GROWTH PORTFOLIO A	B	133.76	3.81	0.00	3.81 90.0 3.43
12/18/2017	00002172323	WIGGINS, ASHLEY		LIFESTYLE GROWTH PORTFOLIO A	B	133.76	3.81	0.00	3.81 90.0 3.43
12/18/2017	00002174074	TERRY, BRANDI		LIFESTYLE GROWTH PORTFOLIO A	B	91.66	2.61	0.00	2.61 90.0 2.35
12/18/2017	00002174074	TERRY, BRANDI		LIFESTYLE GROWTH PORTFOLIO A	B	91.66	2.61	0.00	2.61 90.0 2.35
12/18/2017	00002177456	KORKUTOVIC,		LIFESTYLE MODERATE PORTFOLIO A	B	113.00	3.22	0.00	3.22 90.0 2.90
SEVLIJA									
12/18/2017	00002177456	KORKUTOVIC,		LIFESTYLE MODERATE PORTFOLIO A	B	113.00	3.22	0.00	3.22 90.0 2.90
SEVLIJA									
12/18/2017	00002393597	CUTSHALL, ALISON		LIFESTYLE GROWTH PORTFOLIO A	B	81.90	2.33	0.00	2.33 90.0 2.10
N.									
12/18/2017	00002393597	CUTSHALL, ALISON		LIFESTYLE GROWTH PORTFOLIO A	B	81.90	2.33	0.00	2.33 90.0 2.10
N.									
12/18/2017	00002393605	EUBANKS, STEPHANIE		LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71 90.0 2.44
A.									
12/18/2017	00002393605	EUBANKS, STEPHANIE		LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71 90.0 2.44
A.									
12/18/2017	00003370989	OLIVER, SUSANNE		LIFESTYLE AGGRESSIVE PORTFOLIO A	B	83.74	2.39	0.00	2.39 90.0 2.15
12/18/2017	00003370989	OLIVER, SUSANNE		LIFESTYLE AGGRESSIVE PORTFOLIO A	B	83.74	2.39	0.00	2.39 90.0 2.15
12/21/2017	00031779996	DOYLE, BLAKE		GLOBAL OPPORTUNITIES (A)	B	140.00	5.60	0.00	5.60 90.0 5.04
12/21/2017	00031779996	DOYLE, BLAKE		PRINCIPAL INVESTORS LARGE CAP GROWTH B		100.00	4.00	0.00	4.00 90.0 3.60
12/21/2017	00031779996	DOYLE, BLAKE		SMALL-MIDCAP DIVIDEND INCOME (A)	B	140.00	5.60	0.00	5.60 90.0 5.04
12/21/2017	00031780010	DOYLE, BLAKE E.		EQUITY INCOME I (A)	B	110.00	4.40	0.00	4.40 90.0 3.96
12/21/2017	00031780010	DOYLE, BLAKE E.		GLOBAL OPPORTUNITIES (A)	B	110.00	4.40	0.00	4.40 90.0 3.96
12/15/2017	00052962457	BELCHER, JAMES		PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13 90.0 1.92

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
W/JAMES C									
01/02/2018	00068047824	DE SMIT, DIANA D	AMERICAN FUNDS GROWTH FUND OF AMERI	B	541.66	10.83	0.00	10.83	90.0 9.75
01/04/2018	00073689293	DE SMIT, PAUL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	541.66	10.83	0.00	10.83	90.0 9.75
01/04/2018	00075036376	GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	150.00	4.13	0.00	4.13	90.0 3.72
01/04/2018	00075036376	GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	150.00	4.13	0.00	4.13	90.0 3.72
12/29/2017	00075979142	LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.00	0.00	2.00	90.0 1.80
01/02/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529	B	25.00	1.06	0.00	1.06	90.0 0.95
12/26/2017	00084917868	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A	B	100.00	4.25	0.00	4.25	90.0 3.83
12/26/2017	00086510197	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A	B	100.00	4.25	0.00	4.25	90.0 3.83
12/26/2017	00086510293	SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A	B	100.00	4.25	0.00	4.25	90.0 3.83
01/05/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT	B	74.40	3.16	0.00	3.16	90.0 2.84
01/05/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT	B	148.81	6.32	0.00	6.32	90.0 5.69
01/05/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	74.41	3.16	0.00	3.16	90.0 2.84
01/05/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	148.80	6.32	0.00	6.32	90.0 5.69
01/02/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0 6.75
12/26/2017	00088005709	GOTTWALD, KATY WEBER	GROWTH PORTFOLIO-A	B	100.00	4.25	0.00	4.25	90.0 3.83
12/26/2017	00089825255	SASSMAN, KATHLEEN/SARAH	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0 3.15
12/15/2017	00207266994	INGRAM, MEGAN L/MONDRAE	PORTFOLIO 2029-2032 A	B	50.00	2.13	0.00	2.13	90.0 1.92
01/02/2018	04000020963	BURK, SHANNON KELLEY M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	458.33	19.48	0.00	19.48	90.0 17.53
01/03/2018	04000037092	KELLEY, JENNIFER F.	AMERICAN FUNDS 2040 TARGET DATE-A	B	458.33	17.19	0.00	17.19	90.0 15.47
01/02/2018	04000470737	MINTER, ETHAN M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	300.00	15.00	0.00	15.00	90.0 13.50
01/02/2018	04000476660	PARISH, WESLEY	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0 1.58
12/15/2017	07004631807	INGRAM, MEGAN /MORGHAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0 1.92
* MUTUAL FUNDS SUBTOTAL *					\$26,138.30	\$1,744.87	\$0.00	\$1,744.87	\$1,570.47
PRODUCT CATEGORY: VARIABLE ANNUITIES									
12/29/2017	TRAILS	-HARTFORD LIFE	B	3.34	3.34	0.00	3.34	90.0	3.01
12/31/2017	TRAILS	-HARTFORD LIFE	B	31.53	31.53	0.00	31.53	90.0	28.38
12/21/2017	RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
01/03/2018	HYDINGER, DANIEL	BRIGHTHOUSE FINANCIAL	B	200.00	4.00	0.00	4.00	90.0	3.60
12/01/2017	TRAILS	GENWORTH LIFE ANNUITY	B	29.76	29.76	0.00	29.76	90.0	26.78
12/22/2017	PARISH, SAMMY	GENWORTH LIFE ANNUITY	B	944.09	9.44	0.00	9.44	90.0	8.50

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/22/2017	PARISH, VICTORIA J.	GENWORTH LIFE ANNUITY	B	1,132.93	11.33	0.00	11.33	90.0	10.20
12/31/2017	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		205.49	205.49	0.00	205.49	90.0	184.94
* VARIABLE ANNUITIES SUBTOTAL *				\$7,547.14	\$357.39	\$0.00	\$357.39		\$321.66
*** BROKER TRANSACTIONS TOTAL ***				\$33,685.44	\$2,102.26	\$0.00	\$2,102.26		\$1,892.13

ADJUSTMENTS

01/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
01/16/2018		POSTAGE: POSTAGE		0.00	0.00	0.00	0.00		-5.02
01/16/2018		RENT: 1/2 MONTH		0.00	0.00	0.00	0.00		-125.00
01/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-257.52

BROKER COMMISSION TOTALS

Broker Transactions	\$1,892.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-257.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,634.61
Year-to-date Earnings	\$24,594.55