



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^12/31/2016	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND	0.00	133.48	0.00	133.48	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$133.48	\$0.00	\$133.48		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^01/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	8.50	0.00	8.50	90.0	0.00
^01/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	100.80	4.28	0.00	4.28	90.0	0.00
^01/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	117.81	5.01	0.00	5.01	90.0	0.00
^01/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	11.77	0.00	11.77	90.0	0.00
^01/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^01/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	120.00	5.10	0.00	5.10	90.0	0.00
^01/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	200.00	8.50	0.00	8.50	90.0	0.00
02/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
^01/27/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^01/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^02/08/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	100.00	5.00	22.00	-17.00	90.0	0.00
^02/08/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	150.00	7.50	22.00	-14.50	90.0	0.00
^02/08/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	15.00	22.00	-7.00	90.0	0.00
^02/08/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	1,500.00	45.00	22.00	23.00	90.0	0.00
^01/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^01/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^01/27/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^01/27/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^01/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^01/27/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^01/27/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^01/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^01/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^01/27/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^01/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^01/27/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^01/27/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^01/27/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^01/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^01/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	14.07	0.00	14.07	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$4,247.45	\$174.94	\$88.00	\$86.94		\$3.83
*** BROKER TRANSACTIONS TOTAL ***			\$4,247.45	\$308.42	\$88.00	\$220.42		\$3.83

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

01/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
01/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.55	0.00	2.55	90.0	2.30
01/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	100.80	1.28	0.00	1.28	90.0	1.15
01/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	117.81	1.50	0.00	1.50	90.0	1.35
01/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.53	0.00	3.53	90.0	3.18
01/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
01/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	120.00	1.53	0.00	1.53	90.0	1.38
01/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	200.00	2.55	0.00	2.55	90.0	2.30
01/27/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
01/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
02/08/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	100.00	1.50	6.60	-5.10	90.0	-4.59
02/08/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	150.00	2.25	6.60	-4.35	90.0	-3.92
02/08/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	4.50	6.60	-2.10	90.0	-1.89
02/08/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	1,500.00	13.50	6.60	6.90	90.0	6.21
01/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
01/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
01/27/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
01/27/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
01/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
01/27/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
01/27/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
01/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
01/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
01/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
01/27/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
01/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
01/27/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
01/27/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
01/27/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
12/31/2016	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND	0.00	40.04	0.00	40.04	90.0	36.04
01/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
01/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	4.22	0.00	4.22	90.0	3.80
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$4,147.45	\$91.25	\$26.40	\$64.85		\$58.37
*** SPLIT TRANSACTIONS TOTAL ***			\$4,147.45	\$91.25	\$26.40	\$64.85		\$58.37

ADJUSTMENTS

02/15/2017	*PERSHING: BOOKS & RECORDS	0.00						0.00
02/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-0.90
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.90

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BROKER COMMISSION TOTALS

Broker Transactions	\$3.83
Split Transactions	\$58.37
Override Transactions	\$0.00
Adjustments	\$-0.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$61.30
Year-to-date Gross	\$202.21
Year-to-date Earnings	\$152.36