



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 31, 2017**

08/29/2017  
 Page No. 1

DAVID ZUPEK  
 6226 Bankers Rd.  
 Ste. 4  
 Racine, WI 53403

BROKER #: Z116  
 BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
08/24/2017	ZUPEK, DAVID	PASSCO HAVEN DST	70,000.00	4,200.00	0.00	4,200.00	90.0	3,780.00
		<b>* 1031 EXCHANGES SUBTOTAL *</b>	<b>\$70,000.00</b>	<b>\$4,200.00</b>	<b>\$0.00</b>	<b>\$4,200.00</b>		<b>\$3,780.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/07/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
08/21/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
08/21/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
08/09/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	1,150.00	11.50	0.00	11.50	90.0	10.35
08/09/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	1,150.00	11.50	0.00	11.50	90.0	10.35
08/09/2017	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	402.50	4.03	0.00	4.03	90.0	3.63
08/07/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
08/21/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
08/07/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
08/21/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
08/07/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
08/09/2017	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	391.00	3.91	0.00	3.91	90.0	3.52
08/21/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
08/09/2017	GREIG, AMY	AMERICAN FDS GROWTH PORTFOLIO 529C	356.50	3.57	0.00	3.57	90.0	3.21
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$3,850.00</b>	<b>\$43.03</b>	<b>\$0.00</b>	<b>\$43.03</b>		<b>\$38.71</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/12/2017	RACK, JOAN	AM GEN POLARIS CHOICE III	56,148.94	140.37	0.00	140.37	90.0	126.33
08/24/2017	RUFFOLO, BENNY	AM GEN POLARIS CHOICE III	161,857.75	606.97	0.00	606.97	90.0	546.27
08/21/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	13,168.95	263.38	0.00	263.38	90.0	237.04
08/15/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
08/08/2017	NICOLAZZI, JOYCE	RELIASTAR FLEX DESIGN VUL	208,536.81	521.34	0.00	521.34	90.0	469.21
08/07/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/15/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
07/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	163,733.99	136.44	0.00	136.44	90.0	122.80
07/12/2017	BERNHARDT, ROBERT	TRANSAMERICA LIBERTY	159,174.88	132.65	0.00	132.65	90.0	119.39
07/12/2017	KLUENDER, KURT	TRANSAMERICA LIBERTY	127,945.07	106.62	0.00	106.62	90.0	95.96
07/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	109,119.42	90.93	0.00	90.93	90.0	81.84
07/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	317,611.85	264.68	0.00	264.68	90.0	238.21
07/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	80,301.22	66.92	0.00	66.92	90.0	60.23
07/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	34,570.10	28.81	0.00	28.81	90.0	25.93
07/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	33,637.52	28.03	0.00	28.03	90.0	25.23
07/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,235.23	32.70	0.00	32.70	90.0	29.43
07/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	40,024.60	33.35	0.00	33.35	90.0	30.02
07/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,062.40	0.89	0.00	0.89	90.0	0.80
07/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,204.70	1.00	0.00	1.00	90.0	0.90
07/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	183,770.64	153.14	0.00	153.14	90.0	137.83
07/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	65,376.02	54.48	0.00	54.48	90.0	49.03
07/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	158,278.73	131.90	0.00	131.90	90.0	118.71
07/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	182,918.88	152.43	0.00	152.43	90.0	137.19
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,138,915.70</b>	<b>\$2,980.48</b>	<b>\$0.00</b>	<b>\$2,980.48</b>		<b>\$2,682.46</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/14/2017	MUELLER, KENNETH	ADVANTAGE	0.00	63.33	0.00	63.33	90.0	57.00
08/04/2017	HARTMAN, BRIAN	ING ESII	0.00	2.72	0.00	2.72	90.0	2.45
08/18/2017	TORNER, LYNN	ING LANDMARK	0.00	308.69	0.00	308.69	90.0	277.82
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$374.74</b>	<b>\$0.00</b>	<b>\$374.74</b>		<b>\$337.27</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,212,765.70</b>	<b>\$7,598.25</b>	<b>\$0.00</b>	<b>\$7,598.25</b>		<b>\$6,838.44</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</b>								
08/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
08/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
08/16/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
08/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
08/21/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
08/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
08/21/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	1.51	0.00	1.51	90.0	1.36
08/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
08/15/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95
08/21/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
08/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42
08/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
08/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
08/16/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
08/21/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	1.51	0.00	1.51	90.0	1.36
08/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
08/15/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
08/21/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	1.51	0.00	1.51	90.0	1.36
08/15/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	3.50	0.00	3.50	90.0	3.15
<b>* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *</b>			<b>\$2,138.00</b>	<b>\$24.94</b>	<b>\$0.00</b>	<b>\$24.94</b>		<b>\$22.47</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$2,138.00</b>	<b>\$24.94</b>	<b>\$0.00</b>	<b>\$24.94</b>		<b>\$22.47</b>

**VERRIDE TRANSACTIONS****BROKER: HALINA WIKAR #171**

07/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	9.17	0.00	9.17	55.0	3.21
07/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	9.17	0.00	9.17	55.0	3.21
07/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.57	0.00	6.57	55.0	2.30
07/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.57	0.00	6.57	55.0	2.30
08/21/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
08/21/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
08/21/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	55.0	0.70
08/21/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
08/21/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
08/21/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
08/21/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
08/16/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	55.0	4.20
08/21/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
08/21/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
08/21/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
08/21/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
08/16/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	55.0	9.80
08/21/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
08/21/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
<b>* HALINA WIKAR SUBTOTAL *</b>			<b>\$1,690.00</b>	<b>\$79.88</b>	<b>\$0.00</b>	<b>\$79.88</b>		<b>\$28.02</b>
<b><u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u></b>								
08/07/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
08/21/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
08/07/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
08/21/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
07/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.62	0.00	1.62	0.0	1.46
07/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.43	0.00	1.43	0.0	1.29
08/11/2017	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	42.80	0.00	42.80	0.0	38.52
08/11/2017	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	0.0	19.26
08/11/2017	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.12	0.00	17.12	0.0	15.41
08/11/2017	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	59.45	0.00	59.45	0.0	53.51
08/11/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	25.14	0.00	25.14	0.0	22.63
<b>* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *</b>			<b>\$150.00</b>	<b>\$171.96</b>	<b>\$0.00</b>	<b>\$171.96</b>		<b>\$154.78</b>
<b><u>BROKER: MARK HUBER #395</u></b>								
08/08/2017	KLUENDER, MARK	JNL PERSPECTIVE II 04/14	136,362.31	2,727.25	0.00	2,727.25	0.0	2,454.53
08/11/2017	KLUENDER, KURT	JNL PERSPECTIVE II 04/14	129,769.64	2,595.39	0.00	2,595.39	0.0	2,335.85
<b>* MARK HUBER SUBTOTAL *</b>			<b>\$266,131.95</b>	<b>\$5,322.64</b>	<b>\$0.00</b>	<b>\$5,322.64</b>		<b>\$4,790.38</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$267,971.95</b>	<b>\$5,574.48</b>	<b>\$0.00</b>	<b>\$5,574.48</b>		<b>\$4,973.18</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,838.44
Split Transactions	\$22.47
Override Transactions	\$4,973.18
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,834.09
Year-to-date Gross	\$146,232.64
Year-to-date Earnings	\$227,806.52