



Concorde Investment Services, LLC

06/15/2018

Page No. 1

COMMISSION STATEMENT

June 15, 2018

K146-JASON KEMBLE
4106 E. Doe Creek Drive

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/24/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
05/24/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
05/24/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
05/24/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	3.83
06/01/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$13.76	\$0.00	\$13.76		\$12.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HANSEL, NAOMI	AM FUNDS AMERICAN BALANCED A	0.00	17.84	0.00	17.84	90.0	16.06
06/01/2018	JACOBS, JANET	AM FUNDS AMERICAN BALANCED A	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2018	DANIELS, CLINTON	AM FUNDS AMERICAN BALANCED A	0.00	3.42	0.00	3.42	90.0	3.08
06/01/2018	QUINN, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	8.85	0.00	8.85	90.0	7.97
06/01/2018	QUINN, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2018	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	0.00	12.93	0.00	12.93	90.0	11.64
06/01/2018	HEDRICK, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	9.34	0.00	9.34	90.0	8.41
06/01/2018	ENDERS, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	HOLMAN, D'NACIA	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2018	HOLMAN, TYMBER	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2018	RAKES, DWIGHT	AM FUNDS AMERICAN BALANCED A	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2018	HOWARD, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	2.49	0.00	2.49	90.0	2.24
06/01/2018	DANIELS, AMY	AM FUNDS AMERICAN BALANCED A	0.00	2.94	0.00	2.94	90.0	2.65
06/01/2018	HANSEL, LARRY	AM FUNDS AMERICAN BALANCED A	0.00	10.68	0.00	10.68	90.0	9.61
06/01/2018	ALLEN, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	61.23	0.00	61.23	90.0	55.11
06/01/2018	ANDRUS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	1.27	0.00	1.27	90.0	1.14
06/01/2018	DANIELS, CLINTON	AM FUNDS AMERICAN BALANCED C	0.00	12.92	0.00	12.92	90.0	11.63
06/01/2018	RAKES, DWIGHT	AM FUNDS BOND FUND OF AMERICA A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2018	DANIELS, CLINTON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.78	0.00	0.78	90.0	0.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	JACOBS, JANET	AM FUNDS GLOBAL BALANCED A	0.00	8.40	0.00	8.40	90.0	7.56
06/01/2018	RAKES, DWIGHT	AM FUNDS GLOBAL BALANCED A	0.00	1.03	0.00	1.03	90.0	0.93
06/01/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	0.00	1.85	90.0	1.67
06/01/2018	HOLMAN, D'NACIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2018	HOLMAN, TYMBER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2018	HOWARD, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.84
06/01/2018	HANSEL, LARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2018	DANIELS, CLINTON	AM FUNDS NEW ECONOMY A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2018	HANSEL, LARRY	AM FUNDS NEW ECONOMY A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	0.00	0.93	0.00	0.93	90.0	0.84
06/01/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	0.00	1.83	0.00	1.83	90.0	1.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$176.90	\$0.00	\$176.90		\$159.26
*** DIRECT TRANSACTIONS TOTAL ***			\$400.00	\$190.66	\$0.00	\$190.66		\$171.66

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

06/01/2018	DOWNES, STEVEN	AM FUNDS 2025 TARGET DATE-A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.28	0.00	0.28	90.0	0.25
05/21/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	36,490.56	697.88	0.00	697.88	90.0	628.09
05/30/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.75	0.09	0.00	0.09	90.0	0.08
05/30/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	18.75	0.09	0.00	0.09	90.0	0.08
06/01/2018	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	3.73	0.00	3.73	90.0	3.36
06/01/2018	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	0.00	3.73	0.00	3.73	90.0	3.36
06/01/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	0.00	4.59	0.00	4.59	90.0	4.13
06/01/2018	ABEL, DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	13.97	0.00	13.97	90.0	12.57
06/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	THOMPSON, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	92.13	0.00	92.13	90.0	82.92
06/01/2018	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	5.03	0.00	5.03	90.0	4.53
06/01/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	16.69	0.00	16.69	90.0	15.02

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	4.87	0.00	4.87	90.0	4.38
06/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	8.50	0.00	8.50	90.0	7.65
06/01/2018	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	14.36	0.00	14.36	90.0	12.92
06/01/2018	MCKINNEY, MICHEL	AM FUNDS AMERICAN BALANCED A	0.00	14.00	0.00	14.00	90.0	12.60
06/01/2018	JENNINGS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	21.07	0.00	21.07	90.0	18.96
06/01/2018	MCMILLION, CORENA	AM FUNDS AMERICAN BALANCED A	0.00	3.16	0.00	3.16	90.0	2.84
06/01/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2018	KILBRETH, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	1.17	0.00	1.17	90.0	1.05
05/30/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.13	0.00	0.13	90.0	0.12
05/30/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.13	0.00	0.13	90.0	0.12
05/30/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.34	0.31	0.00	0.31	90.0	0.28
05/30/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	68.34	0.31	0.00	0.31	90.0	0.28
06/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	VICTOR, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2018	BAILEY, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2018	GUIER, LUCCA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.26	0.00	0.26	90.0	0.23
05/21/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
05/21/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.35	0.00	1.35	90.0	1.22
05/21/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	50.18	1.13	0.00	1.13	90.0	1.02
05/21/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	50.18	1.13	0.00	1.13	90.0	1.02
05/21/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
05/25/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	5.15	0.00	5.15	90.0	4.64
05/29/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
05/29/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	25.65	0.58	0.00	0.58	90.0	0.52
05/29/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	25.65	0.58	0.00	0.58	90.0	0.52
05/30/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	58.26	0.26	0.00	0.26	90.0	0.23
05/30/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	58.26	0.26	0.00	0.26	90.0	0.23
05/30/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.08	0.00	0.08	90.0	0.07

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
05/30/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.11	0.00	0.11	90.0	0.10
05/30/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/30/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	3.27	0.01	0.00	0.01	90.0	0.01
05/30/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.21	0.00	0.21	90.0	0.19
05/30/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.21	0.00	0.21	90.0	0.19
05/30/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
05/30/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
05/30/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
05/30/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
05/30/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	10.28	0.05	0.00	0.05	90.0	0.05
05/30/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	10.28	0.05	0.00	0.05	90.0	0.05
05/30/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	58.18	0.26	0.00	0.26	90.0	0.23
05/30/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	58.18	0.26	0.00	0.26	90.0	0.23
05/30/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	56.46	0.25	0.00	0.25	90.0	0.23
05/30/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	56.46	0.25	0.00	0.25	90.0	0.23
05/30/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	34.82	0.16	0.00	0.16	90.0	0.14
05/30/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	34.82	0.16	0.00	0.16	90.0	0.14
05/30/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	35.68	0.16	0.00	0.16	90.0	0.14
05/30/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	35.68	0.16	0.00	0.16	90.0	0.14
05/30/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	70.73	0.32	0.00	0.32	90.0	0.29
05/30/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	30.31	0.14	0.00	0.14	90.0	0.13
05/30/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.77	0.04	0.00	0.04	90.0	0.04
05/30/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.77	0.04	0.00	0.04	90.0	0.04
05/30/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.88	0.15	0.00	0.15	90.0	0.14
05/30/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.88	0.15	0.00	0.15	90.0	0.14
05/30/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	54.30	0.24	0.00	0.24	90.0	0.22
05/30/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	54.30	0.24	0.00	0.24	90.0	0.22
05/30/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
05/30/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
05/30/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
05/30/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
05/30/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	47.82	0.22	0.00	0.22	90.0	0.20
05/30/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	47.82	0.22	0.00	0.22	90.0	0.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.09	0.00	0.09	90.0	0.08
05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.09	0.00	0.09	90.0	0.08
06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	51.71	0.28	0.00	0.28	90.0	0.25
06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	86.17	0.46	0.00	0.46	90.0	0.41
06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	116.66	0.63	0.00	0.63	90.0	0.57
06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	116.66	0.63	0.00	0.63	90.0	0.57
06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	88.86	0.48	0.00	0.48	90.0	0.43
06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	88.86	0.48	0.00	0.48	90.0	0.43
06/01/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2018	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.03	0.00	1.03	90.0	0.93
06/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
06/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2018	SUMNER, J	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018	HARKNESS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
05/30/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	135.61	0.61	0.00	0.61	90.0	0.55
05/30/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	40.68	0.18	0.00	0.18	90.0	0.16
06/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	0.00	0.10	0.00	0.10	90.0	0.09
05/21/2018	GUIER, BETHANY	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
05/30/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.78	0.09	0.00	0.09	90.0	0.08
05/30/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.78	0.09	0.00	0.09	90.0	0.08
05/30/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.76	0.09	0.00	0.09	90.0	0.08
05/30/2018	WAHLIG, KACEY	AM FUNDS ICA A	18.76	0.09	0.00	0.09	90.0	0.08
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.36	0.09	0.00	0.09	90.0	0.08
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.35	0.09	0.00	0.09	90.0	0.08
06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	71.76	0.39	0.00	0.39	90.0	0.35
06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	5.58	0.00	5.58	90.0	5.02
06/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.95

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2018	GUIER, BETHANY	AM FUNDS ICA A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.79	0.00	2.79	90.0	2.51
05/30/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.39	0.25	0.00	0.25	90.0	0.23
05/30/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.39	0.25	0.00	0.25	90.0	0.23
06/01/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	0.00	2.01	0.00	2.01	90.0	1.81
06/01/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	0.00	2.17	0.00	2.17	90.0	1.95
06/01/2018	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2018	VICTOR, THOMAS	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2018	BAILEY, JUDITH	AM FUNDS NEW ECONOMY A	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.10	0.00	0.10	90.0	0.09
06/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.35	0.00	1.35	90.0	1.22
05/30/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.41	0.00	1.41	90.0	1.27
05/30/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
06/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
06/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	0.00	1.55	0.00	1.55	90.0	1.40
05/30/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.08	0.00	0.08	90.0	0.07
05/30/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.11	0.00	0.11	90.0	0.10
05/30/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	58.76	0.27	0.00	0.27	90.0	0.24
05/30/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.25	0.16	0.00	0.16	90.0	0.14
06/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2018	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	1.53	0.00	1.53	90.0	1.38
06/01/2018	WHITE, PAYTON	AM FUNDS NEW WORLD A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2018	BAILEY, JUDITH	AM FUNDS NEW WORLD A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.05	0.00	0.05	90.0	0.05
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.35	0.09	0.00	0.09	90.0	0.08

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
05/30/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.36	0.09	0.00	0.09	90.0	0.08
05/30/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12
05/30/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.94	0.10	0.00	0.10	90.0	0.09
06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	1.40	0.00	1.40	90.0	1.26
06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	51.70	0.28	0.00	0.28	90.0	0.25
06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	86.17	0.46	0.00	0.46	90.0	0.41
06/01/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2018	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2018	GUIER, LUCCA	AM FUNDS SMALLCAP WORLD-529A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
05/29/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.25	0.00	0.25	90.0	0.23
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	6.73	0.00	6.73	90.0	6.06
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
05/29/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	6.73	0.00	6.73	90.0	6.06
05/29/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.25	0.00	0.25	90.0	0.23
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$42,436.39	\$1,008.96	\$0.00	\$1,008.96		\$908.27
<u>BROKER: B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL #433</u>								
06/01/2018	LYONS, MARY	AM FUNDS BOND FUND OF AMERICA A	0.00	3.63	0.00	3.63	90.0	3.27
06/01/2018	LYONS, WILFRED	AM FUNDS BOND FUND OF AMERICA A	0.00	0.66	0.00	0.66	90.0	0.59
* B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL SUBTOTAL *			\$0.00	\$4.29	\$0.00	\$4.29		\$3.86
*** SPLIT TRANSACTIONS TOTAL ***			\$42,436.39	\$1,013.25	\$0.00	\$1,013.25		\$912.13

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<u>ADJUSTMENTS</u>								
06/15/2018		*EO INS: CURRENT MONTH INS-KEMBLE	0.00					-300.00
06/15/2018		*MARKETING PRO: MARKETING PRO	0.00					-45.00
06/15/2018		*TECH: CURRENT MONTH TECH-KEMBLE	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-620.00

BROKER COMMISSION TOTALS

Broker Transactions	\$171.66
Split Transactions	\$912.13
Override Transactions	\$0.00
Adjustments	\$-620.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$463.79
Year-to-date Gross	\$23,796.03
Year-to-date Earnings	\$21,457.51

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