



COMMISSION STATEMENT

January 22, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	4.69	0.00	4.69	75.0	3.52
01/10/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	4.69	0.00	4.69	75.0	3.52
01/10/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	4.69	0.00	4.69	75.0	3.52
01/10/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.3600	125.00	4.69	0.00	4.69	75.0	3.52
01/16/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN FUNDS 2055 TARGET DATE-A BUY 10 Units @20.1800	200.00	5.50	0.00	5.50	75.0	4.13
01/16/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN FUNDS 2055 TARGET DATE-A BUY 3 Units @20.1800	52.80	1.45	0.00	1.45	75.0	1.09
01/16/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 8 Units @58.5500	479.17	4.79	0.00	4.79	75.0	3.59
01/16/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 10 Units @58.5500	563.35	5.63	0.00	5.63	75.0	4.22
01/16/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @58.5500	150.00	1.50	0.00	1.50	75.0	1.13
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 9 Units @58.5500	521.26	5.21	0.00	5.21	75.0	3.91
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 11 Units @58.5500	647.21	6.47	0.00	6.47	75.0	4.85
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	EUROPACIFIC GROWTH FUND-A BUY 1 Units @58.5500	87.50	0.88	0.00	0.88	75.0	0.66
01/16/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	THE GROWTH FUND OF AMERICA-A BUY 9 Units @51.9400	479.17	4.79	0.00	4.79	75.0	3.59
01/16/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	THE GROWTH FUND OF AMERICA-A BUY 11 Units @51.9400	563.35	5.63	0.00	5.63	75.0	4.22
01/16/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	THE GROWTH FUND OF AMERICA-A BUY 3 Units @51.9400	150.00	1.50	0.00	1.50	75.0	1.13
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	THE GROWTH FUND OF AMERICA-A BUY 10 Units @51.9400	521.26	5.21	0.00	5.21	75.0	3.91



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	THE GROWTH FUND OF AMERICA-A BUY 12 Units @51.9400	647.22	6.47	0.00	6.47	75.0	4.85
01/16/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.9400	37.50	0.38	0.00	0.38	75.0	0.29
01/16/2018	***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.8200	150.00	4.13	0.00	4.13	75.0	3.10
01/16/2018	***-**-645P - CALLES, LORIANN ACCOUNT #: 00081807366	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.8200	90.00	2.48	0.00	2.48	75.0	1.86
* MUTUAL FUNDS SUBTOTAL *			\$5,839.79	\$80.78	\$0.00	\$80.78		\$60.61
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/01/2018	***-**-390I - REPELLA, CRAIG ACCOUNT #: 07000318605	AIM ASIA PACIFIC GROWTH FUND - CL A BUY 0 Units @0.0000	0.00	56.41	0.00	56.41	75.0	42.31
01/01/2018	***-**-90IN - REPELLA, CRAIG ACCOUNT #: 07000279823	AIM EUROPEAN GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	3.92	0.00	3.92	75.0	2.94
01/01/2018	***-**-390I - REPELLA, CRAIG ACCOUNT #: 07000318605	AIM EUROPEAN GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	69.26	0.00	69.26	75.0	51.95
01/01/2018	***-**-90IN - REPELLA, CRAIG ACCOUNT #: 07000279823	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 0 Units @0.0000	0.00	64.13	0.00	64.13	75.0	48.10
01/16/2018	***-**-1522 - PROUL, JEFFRY ACCOUNT #: LSR101168	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 1 Units @0.0000	0.00	4.57	0.00	4.57	75.0	3.43
01/22/2018	***-**-2742 - CLASEN, SHIRLEY ACCOUNT #: LSR102512	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	5.85	0.00	5.85	75.0	4.39
01/08/2018	***-**-5505 - OREA, STEPHANIE ACCOUNT #: 03900185962	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	75.0	0.05
01/08/2018	***-**-8161 - PARALES, NICOLE ACCOUNT #: 03900185999	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.05	0.00	0.05	75.0	0.04
01/08/2018	***-**-3406 - RODRIGUEZ, MARIA ACCOUNT #: 03900186010	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	75.0	0.05
01/08/2018	***-**-4265 - ACCOUNT #: 03900186012	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	75.0	0.09
01/08/2018	***-**-0806 - ALEIXO, JEFFREY ACCOUNT #: 03900186019	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	0.23	0.00	0.23	75.0	0.17



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/08/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						
01/08/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
01/08/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						
01/08/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
01/08/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
01/08/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
01/08/2018	***-**-0399 - MOORE, RACHEL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 03900186054	BUY 0 Units @0.0000						
01/08/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						
01/22/2018	***-**-5390 - REPELLA, CRAIG	FIDELITY ADVISOR BALANCED CLASS T	0.00	40.21	0.00	40.21	75.0	30.16
	ACCOUNT #: LSR102520	BUY 1 Units @0.0000						
01/22/2018	***-**-1240 - RODMAN, BRIAN	FIDELITY ADVISOR GROWTH AND INCOME	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: LSR103420	BUY 1 Units @0.0000						
01/01/2018	***-**-905P - REPELLA, CRAIG	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	111.43	0.00	111.43	75.0	83.57
	ACCOUNT #: 00005461876	BUY 0 Units @0.0000						
01/01/2018	***-**-742I - CLASEN, SHIRLEY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	3.38	0.00	3.38	75.0	2.54
	ACCOUNT #: 05002335932	BUY 0 Units @0.0000						
01/16/2018	***-**-1522 - PROUL, JEFFRY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: LSR101168	BUY 1 Units @0.0000						
01/16/2018	***-**-2742 - CLASEN, SHIRLEY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: LSR102512	BUY 1 Units @0.0000						
01/16/2018	***-**-1240 - RODMAN, BRIAN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.52	0.00	0.52	75.0	0.39
	ACCOUNT #: LSR103420	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$364.59	\$0.00	\$364.59		\$273.48
*** BROKER TRANSACTIONS TOTAL ***			\$5,839.79	\$445.37	\$0.00	\$445.37		\$334.09



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						<u>BROKER COMMISSION TOTALS</u>		
						Broker Transactions		\$334.09
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$94.45
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$428.54
						Year-to-date Earnings		\$2,873.41