

All Companies  
**STATEMENT**  
**May 20, 2018**

**MATTHEW HOLMAN**  
7545 Charmont Dr. #1204  
SUITE 620  
San Diego, CA 92191

**BROKER #: 138**  
**BRANCH:**

COMMISSION STATEMENT for MATTHEW HOLMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/01/0018	#0051528701 PAI TRUST	BLCKRCK	0.00	4.26	93.0	0.00	3.96	0.00	3.96
03/01/0018	#0051528701 PAI TRUST	BLCKRCK	0.00	2.73	93.0	0.00	2.54	0.00	2.54
03/01/0018	#81063864401 DE LOS REYES	COLLEGE BOUND	0.00	67.01	93.0	0.00	62.32	0.00	62.32
03/01/0018	#81249375201 DE LOS REYES	COLLEGE BOUND	0.00	67.01	93.0	0.00	62.32	0.00	62.32
03/01/0018	#81063864401 DE LOS REYES	COLLEGE BOUND 529	0.00	5.83	93.0	0.00	5.42	0.00	5.42
03/01/0018	#81249375201 DE LOS REYES	COLLEGE BOUND 529	0.00	5.83	93.0	0.00	5.42	0.00	5.42
03/01/0018	#000002093798	PIMCO REAL RETURN	0.00	0.48	93.0	0.00	0.45	0.00	0.45
03/01/0018	#075117000054 PBIOIS	SMALL CAP VALUE FUND	0.00	0.48	93.0	0.00	0.45	0.00	0.45
04/03/0018	#81063864401 DE LOS REYES	COLLEGE BOUND 529	300.00	3.00	93.0	0.00	2.79	0.00	2.79
04/03/0018	#81249375201 DE LOS REYES	COLLEGE BOUND 529	300.00	3.00	93.0	0.00	2.79	0.00	2.79
04/05/2018	#000000000915417 DOUP, ERIN	FRANKLIN GROWTH OPPORTUNITIES FUND	300.00	15.00	93.0	0.00	13.95	0.00	13.95
04/05/2018	#0000000007275346 DOUP, ERIN	FRK GTH INC ALC 529 PORT CL A	350.00	17.50	93.0	0.00	16.28	0.00	16.28
04/05/2018	#0000000003344811 DOUP, ERIN	FRK TEM FOUNDING FDS ALLOC FUND-CLS	300.00	15.00	93.0	0.00	13.95	0.00	13.95
04/16/2018	#05002827888 DE LOS REYES,	INVESCO REAL ESTATE FUND CL A	200.00	8.00	93.0	0.00	7.44	0.00	7.44
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,750.00</b>	<b>\$215.13</b>		<b>\$0.00</b>	<b>\$200.08</b>	<b>\$0.00</b>	<b>\$200.08</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/28/2018	#	ISHARES TRUST S&P SMALLCAP 600	3,045.20	79.39	75.0	0.00	59.54	19.95	39.59
<b>* STOCKS SUBTOTAL *</b>			<b>\$3,045.20</b>	<b>\$79.39</b>		<b>\$0.00</b>	<b>\$59.54</b>	<b>\$19.95</b>	<b>\$39.59</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/31/2018	#08260187474 LOGEMANN, PAM	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	5.87	93.0	0.00	5.46	0.00	5.46
04/01/2018	#01000079235 VARIOUS HOLMAN	INVESCO ENDEAVOR FUND - CLASS A	0.00	2.13	93.0	0.00	1.98	0.00	1.98
04/01/2018	#01000079235 VARIOUS HOLMAN	INVESCO INTERNATIONAL GROWTH FUND C	0.00	3.65	93.0	0.00	3.39	0.00	3.39
04/01/2018	#01000079235 VARIOUS HOLMAN	INVESCO REAL ESTATE FUND - CLASS B	0.00	0.34	93.0	0.00	0.32	0.00	0.32
04/01/2018	#01000079235 VARIOUS HOLMAN	INVESCO REAL ESTATE FUND CL A	0.00	9.49	93.0	0.00	8.83	0.00	8.83
04/01/2018	#03910993470 VARIOUS HOLMAN	INVESCO REAL ESTATE FUND CL A	0.00	0.07	93.0	0.00	0.07	0.00	0.07
04/01/2018	#05002827888 DE LOS REYES,	INVESCO REAL ESTATE FUND CL A	0.00	46.04	93.0	0.00	42.82	0.00	42.82
04/01/2018	#01000079235 VARIOUS HOLMAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	16.75	93.0	0.00	15.58	0.00	15.58

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	0.40	93.0	0.00	0.37	0.37
04/01/2018	#00090001641	LOGEMANN, PAM	MID CAP VALUE FUND	0.00	2.17	93.0	0.00	2.02	2.02
04/01/2018	#00090003533	LOGEMANN, PAM	VALUE FUND	0.00	5.07	93.0	0.00	4.72	4.72
04/04/2018	#04321014942	LOGEMANN, PAM	PIMCO INCOME FUND CLASS A	0.00	0.21	93.0	0.00	0.20	0.20
04/04/2018	#04321014942	LOGEMANN, PAM	PIMCO INCOME FUND CLASS D	0.00	1.89	93.0	0.00	1.76	1.76
04/10/2018	#	PERRY, ROBERT	DAIN TRAILER	0.00	0.68	93.0	0.00	0.63	0.63
04/10/2018	#	PERRY, ROBERT	DAIN TRAILER	0.00	2.14	93.0	0.00	1.99	1.99
04/10/2018	#	BATTAH, SANDRA	DAIN TRAILER	0.00	10.61	93.0	0.00	9.87	9.87
04/10/2018	#	BATTAH, SANDRA	DAIN TRAILER	0.00	6.21	93.0	0.00	5.78	5.78
04/10/2018	#	WALCHER, JEFF	DAIN TRAILER	0.00	0.30	93.0	0.00	0.28	0.28
04/10/2018	#	WALCHER, JEFF	DAIN TRAILER	0.00	10.68	93.0	0.00	9.93	9.93
04/10/2018	#	KHETARPAL, BINDU	DAIN TRAILER	0.00	7.20	93.0	0.00	6.70	6.70
04/10/2018	#	DE LOS REYES, RAY	DAIN TRAILER	0.00	1.36	93.0	0.00	1.26	1.26
04/10/2018	#	DE LOS REYES, RAY	DAIN TRAILER	0.00	2.58	93.0	0.00	2.40	2.40
04/10/2018	#	DE LOS REYES, RAY	DAIN TRAILER	0.00	1.34	93.0	0.00	1.25	1.25
04/10/2018	#	FLORES, LAURIE	DAIN TRAILER	0.00	6.73	93.0	0.00	6.26	6.26
04/10/2018	#	FLORES, LAURIE	DAIN TRAILER	0.00	0.08	93.0	0.00	0.07	0.07
04/10/2018	#	FLORES, LAURIE	DAIN TRAILER	0.00	6.90	93.0	0.00	6.42	6.42
04/10/2018	#	FLORES, LAURIE	DAIN TRAILER	0.00	4.09	93.0	0.00	3.80	3.80
04/10/2018	#	FLORES, LAURIE	DAIN TRAILER	0.00	3.76	93.0	0.00	3.50	3.50
04/10/2018	#	MOREY, BRUCE	DAIN TRAILER	0.00	11.24	93.0	0.00	10.45	10.45
04/10/2018	#	MOREY, BRUCE	DAIN TRAILER	0.00	26.81	93.0	0.00	24.93	24.93
04/10/2018	#	MOREY, BRUCE	DAIN TRAILER	0.00	17.57	93.0	0.00	16.34	16.34
04/10/2018	#	MOREY, BRUCE	DAIN TRAILER	0.00	8.99	93.0	0.00	8.36	8.36
04/10/2018	#	MOREY, BRUCE	DAIN TRAILER	0.00	4.36	93.0	0.00	4.05	4.05
04/10/2018	#	CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	2.99	93.0	0.00	2.78	2.78
04/10/2018	#	CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	13.13	93.0	0.00	12.21	12.21
04/10/2018	#	CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	15.13	93.0	0.00	14.07	14.07
04/10/2018	#	CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	30.36	93.0	0.00	28.23	28.23
04/10/2018	#		DAIN TRAILER	0.00	0.05	93.0	0.00	0.05	0.05
04/10/2018	#		DAIN TRAILER	0.00	0.04	93.0	0.00	0.04	0.04
04/10/2018	#	HOLMAN, ROGER	DAIN TRAILER	0.00	2.55	93.0	0.00	2.37	2.37
04/10/2018	#	HOLMAN, ROGER	DAIN TRAILER	0.00	1.02	93.0	0.00	0.95	0.95
04/10/2018	#	LOGEMANN, PAM	DAIN TRAILER	0.00	1.51	93.0	0.00	1.40	1.40
04/10/2018	#	LOGEMANN, PAM	DAIN TRAILER	0.00	0.46	93.0	0.00	0.43	0.43
04/10/2018	#	ETTING, PHILLIP	DAIN TRAILER	0.00	6.80	93.0	0.00	6.32	6.32

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/10/2018 #	ETTING, PHILLIP	DAIN TRAILER	0.00	2.22	93.0	0.00	2.06	0.00	2.06
04/10/2018 #	ETTING, PHILLIP	DAIN TRAILER	0.00	0.10	93.0	0.00	0.09	0.00	0.09
04/10/2018 #	ETTING, PHILLIP	DAIN TRAILER	0.00	2.20	93.0	0.00	2.05	0.00	2.05
04/17/2018 #		DAIN TRAILER	0.00	0.13	93.0	0.00	0.12	0.00	0.12
04/27/2018 #	KHETARPAL, BINDU	DAIN TRAILER	0.00	4.55	93.0	0.00	4.23	0.00	4.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$310.95		\$0.00	\$289.19	\$0.00	\$289.19
*** BROKER TRANSACTIONS TOTAL ***			\$4,795.20	\$605.47		\$0.00	\$548.81	\$19.95	\$528.86

BROKER COMMISSION TOTALS

Broker Transactions	\$528.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$528.86
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