



All Companies

04/12/2018  
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# COMMISSION STATEMENT April 16, 2018

ROTHSCHILD/POOL

BROKER #: 9629  
BRANCH: HOME OFFICE

, TX

## COMMISSION STATEMENT for ROTHSCCHILD/POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	116.44	0.00	0.00	0.00	100.0	0.00
03/26/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.00	0.00	0.00	100.0	0.00
03/26/2018	00000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.00	0.00	0.00	100.0	0.00
03/26/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.00	0.00	0.00	100.0	0.00
03/26/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.00	0.00	0.00	100.0	0.00
04/02/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	0.00	0.00	0.00	100.0	0.00
03/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	0.00	0.00	0.00	100.0	0.00
03/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	0.00	0.00	0.00	100.0	0.00
03/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	0.00	0.00	0.00	100.0	0.00
04/02/2018	08191365977 ATCHISON, STEPHEN	MFS LIFETIME 2025 FUND-B	B	100.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$816.44	\$0.00	\$0.00	\$0.00		\$0.00

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

03/16/2001	CAMAGANACAN, SIXTO	RELIASTAR LIFE INSURANCE	B	768.00	0.00	0.00	0.00	100.0	0.00
03/28/2018	ANGELO, CONCORDIA/ORLAN	RELIASTAR LIFE INSURANCE	B	206.82	0.00	0.00	0.00	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *				\$974.82	\$0.00	\$0.00	\$0.00		\$0.00

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$1,791.26	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>