

**COMMISSION STATEMENT****May 15, 2018**JAMES, M127 MAHER
3082 Quail Ridge CircleBROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	HATHAWAY, RICHARD	PIMCO ALL ASSET ALL AUTHORITY A	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO DIVERSIFIED INCOME FUND CLASS	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO FDS 72201F383	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO FDS 72201F425	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO FDS PAC INVT MGMT	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO FDS PAC INVT MGMT SER	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO FDS PAC INVT MGMT SER 72200Q6	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO HIGH YIELD CLASS A	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO INCOME FUND CLASS A	0.00	0.00	0.00	0.00	90.0	0.00
04/10/2018	HATHAWAY, RICHARD	PIMCO INCOME FUND CLASS D	0.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,113.30
Year-to-date Earnings	\$1,842.56