



# COMMISSION STATEMENT

August 06, 2018

NEIL BAUMAN  
700 Ridge Road  
Suite 1  
Webster, NY 14580

BROKER #: 985 Terminated 12/31/2017  
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

## ADJUSTMENTS

|     |                                 |      |      |      |      |      |  |
|-----|---------------------------------|------|------|------|------|------|--|
| / / | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |  |
|-----|---------------------------------|------|------|------|------|------|--|

|                           |        |        |        |        |        |  |
|---------------------------|--------|--------|--------|--------|--------|--|
| *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |  |
|---------------------------|--------|--------|--------|--------|--------|--|

## BROKER COMMISSION TOTALS

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$0.00  |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$73.51 |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

|                       |         |
|-----------------------|---------|
| Balance Forward       | \$73.51 |
| Year-to-date Earnings | \$0.00  |