

STATEMENT

January 20, 2018

RYLAN-TERMINATED ROZELL
5060 California Ave # 620
SUITE 620
Bakersfield, CA 93309

BROKER #: 052
BRANCH:

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/27/2017	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
11/27/2017	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	100.00	3.00	90.0	0.00	2.70	0.00	2.70
11/27/2017	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
11/29/2017	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	23.34	0.23	90.0	0.00	0.21	0.00	0.21
11/29/2017	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.00	0.54
11/29/2017	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	24.86	0.25	90.0	0.00	0.23	0.00	0.23
11/29/2017	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.00	0.72
12/01/2017	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	0.00	3.60
12/26/2017	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
12/26/2017	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	100.00	3.00	90.0	0.00	2.70	0.00	2.70
12/26/2017	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
12/29/2017	#00083298168	SMALL, CHARLES	INVESTMENT CO AMER CL C	1,000.00	10.00	90.0	0.00	9.00	0.00	9.00
* MUTUAL FUNDS SUBTOTAL *				\$1,668.20	\$31.88	\$0.00		\$28.70	\$0.00	\$28.70

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	#01847000622	TRUST	02630V840	0.00	0.13	90.0	0.00	0.12	0.00	0.12
12/01/2017	#00086618333	HAMPTON,	AMCAP FUND INC CLASS A	0.00	0.24	90.0	0.00	0.22	0.00	0.22
12/01/2017	#00086663548	DOLAN, PARKER	AMCAP FUND-529A	0.00	0.67	90.0	0.00	0.60	0.00	0.60
12/01/2017	#01235729442	TRUST	AMCAP R3	0.00	0.86	90.0	0.00	0.77	0.00	0.77
12/01/2017	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	0.00	49.01	90.0	0.00	44.11	0.00	44.11
12/01/2017	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	0.00	10.20	90.0	0.00	9.18	0.00	9.18
12/01/2017	#00067575875	EVARO, TED	AMERICAN BALANCED FUND INC CL C	0.00	8.26	90.0	0.00	7.43	0.00	7.43
12/01/2017	#00067575920	EVARO, TED	AMERICAN BALANCED FUND INC CL C	0.00	0.32	90.0	0.00	0.29	0.00	0.29
12/01/2017	#00063300150	OTT, BETTY	AMERICAN BALANCED FUND INC CLASS A	0.00	8.89	90.0	0.00	8.00	0.00	8.00
12/01/2017	#00067585846	EVARO, TED	AMERICAN BALANCED FUND INC CLASS A	0.00	4.60	90.0	0.00	4.14	0.00	4.14
12/01/2017	#00068430007	LOKE, CRAIG	AMERICAN BALANCED FUND INC CLASS A	0.00	41.72	90.0	0.00	37.55	0.00	37.55
12/01/2017	#00086618333	HAMPTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	0.13	90.0	0.00	0.12	0.00	0.12
12/01/2017	#00068066741	MCGRATH, SAMUEL	AMERICAN BALANCED FUND-529E	0.00	12.77	90.0	0.00	11.49	0.00	11.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND-F1	0.00	10.28	90.0	0.00	9.25	9.25
12/01/2017	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND-F1	0.00	6.64	90.0	0.00	5.98	5.98
12/01/2017	#00067585846	EVARO, TED	AMERICAN FUNDS 02629H109	0.00	2.26	90.0	0.00	2.03	2.03
12/01/2017	#00088985295		AMERICAN FUNDS 02631C445	0.00	1.87	90.0	0.00	1.68	1.68
12/01/2017	#00067585846	EVARO, TED	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	6.89	90.0	0.00	6.20	6.20
12/01/2017	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	0.00	0.10	90.0	0.00	0.09	0.09
12/01/2017	#00073626621	LEE, NADIA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	1.82	90.0	0.00	1.64	1.64
12/01/2017	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	0.00	3.58	90.0	0.00	3.22	3.22
12/01/2017	#00060864636	DODGE, JON	BOND FUND OF AMERICA INC CL A	0.00	6.41	90.0	0.00	5.77	5.77
12/01/2017	#00067585846	EVARO, TED	BOND FUND OF AMERICA INC CL A	0.00	4.50	90.0	0.00	4.05	4.05
12/01/2017	#00075543298	HAMMANG, THOMAS	CAPITAL INCOME BUILDER FD CL F-1	0.00	13.80	90.0	0.00	12.42	12.42
12/01/2017	#00081688536	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	7.53	90.0	0.00	6.78	6.78
12/01/2017	#00081688547	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.68	90.0	0.00	0.61	0.61
12/01/2017	#00081688557	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	6.96	90.0	0.00	6.26	6.26
12/01/2017	#00081688562	TSCHANZ, ANNIE	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.58	90.0	0.00	0.52	0.52
12/01/2017	#00085311960	DOWDA, DAVID	CAPITAL INCOME BUILDER-529A	0.00	3.92	90.0	0.00	3.53	3.53
12/01/2017	#00086663548	DOLAN, PARKER	FUNDAMENTAL INVESTORS-529A	0.00	0.71	90.0	0.00	0.64	0.64
12/01/2017	#00073626621	LEE, NADIA	GROWTH FUND AMER INC CL C	0.00	3.71	90.0	0.00	3.34	3.34
12/01/2017	#00083418376	SMALL, CHARLES	GROWTH FUND AMER INC CL C	0.00	0.89	90.0	0.00	0.80	0.80
12/01/2017	#00073970914	KATO, CALVIN	GROWTH FUND AMER INC CL F1	0.00	10.77	90.0	0.00	9.69	9.69
12/01/2017	#00073970944	KATO, CALVIN	GROWTH FUND AMER INC CL F1	0.00	54.31	90.0	0.00	48.88	48.88
12/01/2017	#00081688536	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	2.08	90.0	0.00	1.87	1.87
12/01/2017	#00081688547	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	0.19	90.0	0.00	0.17	0.17
12/01/2017	#00081688557	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	1.92	90.0	0.00	1.73	1.73
12/01/2017	#00081688562	TSCHANZ, ANNIE	GROWTH FUND AMER INC CL F1	0.00	0.16	90.0	0.00	0.14	0.14
12/01/2017	#00067585846	EVARO, TED	GROWTH FUND AMERICA INC CLASS A	0.00	36.96	90.0	0.00	33.26	33.26
12/01/2017	#00067869182	RUGH, ROBERT	GROWTH FUND AMERICA INC CLASS A	0.00	44.76	90.0	0.00	40.28	40.28
12/01/2017	#00069146347	BRZEZINSKI,	GROWTH FUND AMERICA INC CLASS A	0.00	12.80	90.0	0.00	11.52	11.52
12/01/2017	#00067869182	RUGH, ROBERT	INCOME FUND OF AMERICA INC CLASS A	0.00	40.12	90.0	0.00	36.11	36.11
12/01/2017	#00083298168	SMALL, CHARLES	INVESTMENT CO AMER CL C	0.00	7.94	90.0	0.00	7.15	7.15
12/01/2017	#00067585846	EVARO, TED	INVESTMENT CO AMER CLASS A	0.00	53.21	90.0	0.00	47.89	47.89
12/01/2017	#00067869182	RUGH, ROBERT	INVESTMENT CO AMER CLASS A	0.00	75.84	90.0	0.00	68.26	68.26
12/01/2017	#00086618333	HAMPTON,	INVESTMENT CO AMER CLASS A	0.00	0.29	90.0	0.00	0.26	0.26
12/01/2017	#00067585846	EVARO, TED	NEW ECONOMY FUND SBI CLASS A	0.00	22.39	90.0	0.00	20.15	20.15
12/01/2017	#00069146347	BRZEZINSKI,	NEW ECONOMY FUND SBI CLASS A	0.00	13.85	90.0	0.00	12.47	12.47
12/01/2017	#00081688536	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	3.99	90.0	0.00	3.59	3.59

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00081688547	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	0.36	90.0	0.00	0.32	0.32
12/01/2017	#00081688557	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	3.69	90.0	0.00	3.32	3.32
12/01/2017	#00081688562	TSCHANZ, ANNIE	NEW PERSPECTIVE FUND -F1	0.00	0.31	90.0	0.00	0.28	0.28
12/01/2017	#01241470455	TRUST	NEW WORLD R3	0.00	0.56	90.0	0.00	0.50	0.50
12/01/2017	#00069146347	BRZEZINSKI,	SMALLCAP WORLD FUND INC CLASS A	0.00	15.59	90.0	0.00	14.03	14.03
12/01/2017	#00086663548	DOLAN, PARKER	SMALLCAP WORLD FUND-529A	0.00	0.32	90.0	0.00	0.29	0.29
12/01/2017	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	0.00	5.14	90.0	0.00	4.63	4.63
12/01/2017	#00068066741	MCGRATH, SAMUEL	THE BOND FUND OF AMERICA-529E	0.00	7.65	90.0	0.00	6.89	6.89
12/01/2017	#00086663548	DOLAN, PARKER	THE GROWTH FUND OF AMERICA-529A	0.00	0.61	90.0	0.00	0.55	0.55
12/01/2017	#00085311960	DOWDA, DAVID	THE INCOME FUND OF AMERICA-529A	0.00	5.89	90.0	0.00	5.30	5.30
12/01/2017	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	0.00	3.25	90.0	0.00	2.93	2.93
12/01/2017	#00068066739	MCGRATH, SAMUEL	THE INCOME FUND OF AMERICA-529E	0.00	9.12	90.0	0.00	8.21	8.21
12/01/2017	#00081688536	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	3.57	90.0	0.00	3.21	3.21
12/01/2017	#00081688547	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	0.20	90.0	0.00	0.18	0.18
12/01/2017	#00081688557	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	3.29	90.0	0.00	2.96	2.96
12/01/2017	#00081688562	TSCHANZ, ANNIE	THE INVESTMENT COMPANY OF AMERICA F	0.00	0.15	90.0	0.00	0.14	0.14
12/01/2017	#00068066739	MCGRATH, SAMUEL	U.S. GOVERNMENT SECURITIES FUND-529	0.00	8.36	90.0	0.00	7.52	7.52
12/01/2017	#00073970914	KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	26.94	90.0	0.00	24.25	24.25
12/01/2017	#00073970944	KATO, CALVIN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	45.46	90.0	0.00	40.91	40.91
12/01/2017	#00073218766	STEVENS, EVELYN	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	5.01	90.0	0.00	4.51	4.51
12/01/2017	#01235428445	TRUST	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	4.43	90.0	0.00	3.99	3.99
12/01/2017	#00061467520	LINDE, ROBYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.06	90.0	0.00	8.15	8.15
12/01/2017	#00061467521	LINDE, ROBYN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.69	90.0	0.00	2.42	2.42
12/01/2017	#00063870986	LINDE, RYAN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.79	90.0	0.00	3.41	3.41
12/14/2017	#00777115467	VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	7.26	90.0	0.00	6.53	6.53
12/14/2017	#00777098436	VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.74	90.0	0.00	2.47	2.47
01/01/2018	#00090000831	TRUST	BLENDED RESEARCH	0.00	0.57	90.0	0.00	0.51	0.51
01/01/2018	#00090000229	TRUST	BLENDED RESEARCH	0.00	1.49	90.0	0.00	1.34	1.34
01/01/2018	#00090000621	TRUST	BLENDED RESEARCH	0.00	0.79	90.0	0.00	0.71	0.71
01/01/2018	#00090011355	TRUST	LIFETIME 2030	0.00	22.38	90.0	0.00	20.14	20.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$803.18	\$0.00	\$722.85	\$0.00	\$722.85
*** BROKER TRANSACTIONS TOTAL ***				\$1,668.20	\$835.06	\$0.00	\$751.55	\$0.00	\$751.55

BROKER COMMISSION TOTALS

Broker Transactions	\$751.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$751.55
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