



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 April 15, 2017

04/12/2017  
 Page No. 1

DANIEL RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	GARRETT, DIANA	AXA ACCUM 07 ELITE (RO IRA)	58,088.63	145.22	0.00	145.22	100.0	145.22
04/04/2017	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	602,280.62	511.53	0.00	511.53	100.0	511.53
03/31/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	64,759.62	53.97	0.00	53.97	100.0	53.97
03/31/2017	SPODEK	PRU PREMIER RETIREMENT L	203,883.37	509.71	0.00	509.71	100.0	509.71
03/31/2017	MAZZATTI, LEONARD	PRU PREMIER RETIREMENT L	89,017.91	222.54	0.00	222.54	100.0	222.54
03/29/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	54,975.21	45.81	0.00	45.81	100.0	45.81
* VARIABLE ANNUITIES SUBTOTAL *			\$1,073,005.36	\$1,488.78	\$0.00	\$1,488.78		\$1,488.78
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/31/2017	LOYE, ARTHUR	LFG TRAILS	0.00	96.11	0.00	96.11	100.0	96.11
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$96.11	\$0.00	\$96.11		\$96.11
*** BROKER TRANSACTIONS TOTAL ***			\$1,073,005.36	\$1,584.89	\$0.00	\$1,584.89		\$1,584.89

**SPLIT TRANSACTIONS**

<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
03/23/2017	ORSO, MONICA	PASSCO BLOCKS DST	100,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$100,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,500.00
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
03/30/2017	GLASER, RICHARD	GPB AUTOMOTIVE PORTFOLIO LP	500,000.00	17,500.00	0.00	17,500.00	100.0	17,500.00
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$500,000.00	\$17,500.00	\$0.00	\$17,500.00		\$17,500.00
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
04/10/2017	MAKRIDES, MARIE	BYD COMPANY LTD SHS H ISIN#CNE10000	-576.75	0.00	5.11	-5.11	100.0	-5.11
04/10/2017	MAKRIDES, MARIE	BYD COMPANY LTD SHS H ISIN#CNE10000	-6,468.75	0.00	11.31	-11.31	100.0	-11.31
04/10/2017	MAKRIDES, MARIE	CANOPY GROWTH CORP SHS LKD TO S&P G	-2,268.00	0.00	11.24	-11.24	100.0	-11.24
04/10/2017	MAKRIDES, MARIE	CARROLS RESTAURANT GROUP INC COM	-4,209.00	0.00	11.24	-11.24	100.0	-11.24

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
04/10/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	26,983.30	674.59	11.00	663.59	100.0	663.59
04/10/2017	MAKRIDES, MARIE	COLONY NORTHSTAR INC CL A COM	-28,098.60	0.00	12.64	-12.64	100.0	-12.64
04/10/2017	MAKRIDES, MARIE	CRESTWOOD EQUITY PARTNERS LP UNIT L	-1,455.99	0.00	11.24	-11.24	100.0	-11.24
04/10/2017	MAKRIDES, MARIE	CUSHING MLP TOTAL RETURN FD COM NEW	-2,880.20	0.00	11.24	-11.24	100.0	-11.24
04/10/2017	MAKRIDES, MARIE	FIRST TR EXCHANGE TRADED FD VI MULT	-4,887.50	0.00	11.24	-11.24	100.0	-11.24
03/21/2017	PAVIS, NANCY	GPB AUTOMOTIVE PORTFOLIO LP	20,000.00	700.00	0.00	700.00	100.0	700.00
03/31/2017	PAVIS, NANCY	GPB AUTOMOTIVE PORTFOLIO LP	80,000.00	0.00	0.00	0.00	100.0	0.00
04/10/2017	MAKRIDES, MARIE	ISHARES TR MTG REAL ESTATE CAPPED E	-5,708.85	0.00	11.24	-11.24	100.0	-11.24
04/10/2017	MAKRIDES, MARIE	MABVAX THERAPEUTICS HLDGS INC COM N	-656.16	0.00	11.25	-11.25	100.0	-11.25
04/10/2017	MAKRIDES, MARIE	MAINSTAY CUSHING MLP PREMIER FUND C	-33,702.61	11.00	11.00	0.00	100.0	0.00
04/10/2017	MAKRIDES, MARIE	NEW YORK REIT INC COM	-9,751.60	0.00	11.74	-11.74	100.0	-11.74
04/10/2017	MAKRIDES, MARIE	OPKO HEALTH INC	-3,811.50	0.00	11.37	-11.37	100.0	-11.37
<b>* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$22,507.79</b>	<b>\$1,385.59</b>	<b>\$152.86</b>	<b>\$1,232.73</b>		<b>\$1,232.73</b>
<b><u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u></b>								
03/20/2017	SEITZ & CO INC, DONALD	COTTONWOOD MULTIFAMILY REIT I-REG A	50,000.00	1,020.00	0.00	1,020.00	100.0	1,020.00
03/10/2017	SEITZ & CO INC, DONALD	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,190.00	0.00	1,190.00	100.0	1,190.00
<b>* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *</b>			<b>\$100,000.00</b>	<b>\$2,210.00</b>	<b>\$0.00</b>	<b>\$2,210.00</b>		<b>\$2,210.00</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
03/20/2017	LINN, GARY	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	525.00	0.00	525.00	100.0	525.00
04/05/2017	COLETTA TRUST, AL	NORTHWEST TEX INDPT SCH DIST RFDG 4	16,137.45	0.00	0.00	0.00	100.0	0.00
<b>* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *</b>			<b>\$66,137.45</b>	<b>\$525.00</b>	<b>\$0.00</b>	<b>\$525.00</b>		<b>\$525.00</b>
<b><u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u></b>								
03/14/2017	TRINKLEIN, FRITZ	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	1,312.50	0.00	1,312.50	100.0	1,312.50
03/15/2017	TRINKLEIN, JOYCE	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	1,312.50	0.00	1,312.50	100.0	1,312.50
03/14/2017	TRINKLEIN, FRITZ	HPI FUND V	50,000.00	2,250.00	0.00	2,250.00	100.0	2,250.00
03/31/2017	DEMPAT REALTY CORP	INLAND DALLAS MULTIFAMILY DST	300,000.00	11,250.00	0.00	11,250.00	100.0	11,250.00
03/21/2017	DEMPAT REALTY CORP	INLAND HEALTHCARE PF II DST	700,000.00	26,250.00	0.00	26,250.00	100.0	26,250.00
03/21/2017	DEMPAT REALTY CORP	INLAND SELF-STORAGE PORTFOLIO II DS	400,000.00	15,000.00	0.00	15,000.00	100.0	15,000.00
03/21/2017	DEMPAT REALTY CORP	PASSCO BLOCKS DST	400,000.00	18,000.00	0.00	18,000.00	100.0	18,000.00
<b>* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *</b>			<b>\$1,900,000.00</b>	<b>\$75,375.00</b>	<b>\$0.00</b>	<b>\$75,375.00</b>		<b>\$75,375.00</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$2,688,645.24</b>	<b>\$98,495.59</b>	<b>\$152.86</b>	<b>\$98,342.73</b>		<b>\$98,342.73</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JON PAUL CIRELLI #246</u></b>								
03/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	75.49	0.00	75.49	95.0	3.77
		* JON PAUL CIRELLI SUBTOTAL *	\$0.00	\$75.49	\$0.00	\$75.49		\$3.77
<b><u>BROKER: ALAN LICHTENSTEIN #249</u></b>								
04/07/2017	SHEPHERD FOUNDATION	COTTONWOOD MULTIFAMILY REIT I-REG A	20,000.00	1,200.00	0.00	1,200.00	75.0	150.00
		* ALAN LICHTENSTEIN SUBTOTAL *	\$20,000.00	\$1,200.00	\$0.00	\$1,200.00		\$150.00
<b><u>BROKER: MARC LINSKY #263</u></b>								
03/31/2017	CHERRY, ADAM	LFG TRAILS	0.00	1,191.58	0.00	1,191.58	90.0	20.26
03/31/2017	LINSKY, LISA	LFG TRAILS	0.00	238.82	0.00	238.82	90.0	4.06
03/31/2017	PISANI, CARLA	LFG TRAILS	0.00	64.12	0.00	64.12	90.0	1.09
03/31/2017	CARLINO, NANCY	LFG TRAILS	0.00	169.41	0.00	169.41	90.0	2.88
03/31/2017	SAVASTANO, VICTOR	LFG TRAILS	0.00	294.45	0.00	294.45	90.0	5.01
03/31/2017	ROSENBLUM, LUCILLE	LFG TRAILS	0.00	1,606.05	0.00	1,606.05	90.0	27.30
03/31/2017	FLYNN, EDWARD	LFG TRAILS	0.00	492.87	0.00	492.87	90.0	8.38
03/31/2017	TAROFF, SCOTT	LFG TRAILS	0.00	797.62	0.00	797.62	90.0	13.56
03/31/2017	OLIVETI, FRANK	LFG TRAILS	0.00	269.83	0.00	269.83	90.0	4.59
03/31/2017	MACDONALD, WILLIAM	LFG TRAILS	0.00	356.33	0.00	356.33	90.0	6.06
03/31/2017	SMITH, BARBARA	LFG TRAILS	0.00	622.28	0.00	622.28	90.0	10.58
03/31/2017	MURPHY, MARY	LFG TRAILS	0.00	812.52	0.00	812.52	90.0	13.81
03/31/2017	DEBRIELLE-HEALEY, ELIZABETH	LFG TRAILS	0.00	125.60	0.00	125.60	90.0	2.14
03/31/2017	MURPHY, MARY	LFG TRAILS	0.00	78.61	0.00	78.61	90.0	1.34
03/31/2017	SMITH, BARBARA	LFG TRAILS	0.00	1,516.45	0.00	1,516.45	90.0	25.78
03/31/2017	FELDNER, BARBARA	LFG TRAILS	0.00	621.24	0.00	621.24	90.0	10.56
03/31/2017	KLEIN, HOWARD	LFG TRAILS	0.00	3,758.81	0.00	3,758.81	90.0	63.90
03/31/2017	HUDSON TRUST, ROBERT	LFG TRAILS	0.00	1,331.93	0.00	1,331.93	90.0	22.64
03/31/2017	GUERRIERI, ANTHONY	LFG TRAILS	0.00	57.54	0.00	57.54	90.0	0.98
03/31/2017	CHERRY, ADAM	LFG TRAILS	0.00	371.36	0.00	371.36	90.0	6.31
03/31/2017	FARBMAN, SANDRA	LFG TRAILS	0.00	1,488.32	0.00	1,488.32	90.0	25.30
03/31/2017	NEELD, JOHN	LFG TRAILS	0.00	183.83	0.00	183.83	90.0	3.13
03/31/2017	NEELD, JOHN	LFG TRAILS	0.00	93.95	0.00	93.95	90.0	1.60
03/31/2017	BALZANO, AUGUSTUS	LFG TRAILS	0.00	237.51	0.00	237.51	90.0	4.04
03/31/2017	NEELD, JUDEANN	LFG TRAILS	0.00	78.47	0.00	78.47	90.0	1.33
03/31/2017	PLEAT, LEAH	LFG TRAILS	0.00	200.23	0.00	200.23	90.0	3.40

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC LINSKY #263 </u></b>								
03/31/2017	TAYLOR, CHU	LFG TRAILS	0.00	163.85	0.00	163.85	90.0	2.79
03/31/2017	BAUER, JOHN	LFG TRAILS	0.00	317.18	0.00	317.18	90.0	5.39
03/31/2017	LA CORTE, CYNTHIA	LFG TRAILS	0.00	234.28	0.00	234.28	90.0	3.98
03/31/2017	PERETTI JR, ANTHONY	LFG TRAILS	0.00	100.47	0.00	100.47	90.0	1.71
03/31/2017	FELDNER, BARBARA	LFG TRAILS	0.00	330.98	0.00	330.98	90.0	5.63
03/31/2017	FELDNER, BARBARA	LFG TRAILS	0.00	542.13	0.00	542.13	90.0	9.22
03/31/2017	HARDING, LORETTA	LFG TRAILS	0.00	453.35	0.00	453.35	90.0	7.71
03/31/2017	HARDING, HARRY	LFG TRAILS	0.00	192.12	0.00	192.12	90.0	3.27
03/31/2017	LEVIN, JOYCE	LFG TRAILS	0.00	129.20	0.00	129.20	90.0	2.20
03/31/2017	LEVIN, BARRY	LFG TRAILS	0.00	1,360.41	0.00	1,360.41	90.0	23.13
03/31/2017	HIRSHMAN FAMILY TRUST, E & B	LFG TRAILS	0.00	775.64	0.00	775.64	90.0	13.19
03/31/2017	PISANI, NICHOLAS	LFG TRAILS	0.00	595.80	0.00	595.80	90.0	10.13
03/31/2017	BECKETT, CHRISTINE	LFG TRAILS	0.00	1,136.41	0.00	1,136.41	90.0	19.32
03/31/2017	SCARPIGNATO, ANTHONY	LFG TRAILS	0.00	4.60	0.00	4.60	90.0	0.08
03/31/2017	SCARPIGNATO, ANTHONY	LFG TRAILS	0.00	327.61	0.00	327.61	90.0	5.57
04/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.88	0.00	0.88	90.0	0.01
04/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.40	0.00	0.40	90.0	0.01
04/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.40	0.00	0.40	90.0	0.01
04/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.94	0.00	0.94	90.0	0.02
03/31/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	114,157.32	285.39	0.00	285.39	90.0	4.85
03/31/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	38,229.05	95.57	0.00	95.57	90.0	1.62
03/31/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	310,923.83	777.31	0.00	777.31	90.0	13.21
03/31/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	72,062.43	180.16	0.00	180.16	90.0	3.06
03/31/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	24,634.51	61.59	0.00	61.59	90.0	1.05
03/31/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	140,735.39	351.84	0.00	351.84	90.0	5.98
04/03/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.17
04/03/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
03/31/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	49,223.66	153.82	0.00	153.82	90.0	2.61
04/03/2017	KRAMER, LARRY	FREEPORT-MCMORAN COPPER & GOLD INC	-6,750.00	30.00	22.75	7.25	90.0	0.12
03/29/2017	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	65,008.90	650.09	22.00	628.09	90.0	10.68
04/07/2017	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	7,014.09	70.14	22.00	48.14	90.0	0.82
04/07/2017	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	55,009.38	550.09	22.00	528.09	90.0	8.98
04/07/2017	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	14,001.91	140.02	22.00	118.02	90.0	2.01

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<b>BROKER: MARC LINSKY #263</b>								
04/10/2017	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	10,024.11	100.24	22.00	78.24	90.0	1.33
04/03/2017	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	4,991.77	49.92	22.00	27.92	90.0	0.47
03/31/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	15,422.66	38.56	0.00	38.56	90.0	0.66
03/31/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	5,602.18	5.60	0.00	5.60	90.0	0.10
04/05/2017	KROSNOWSKI, LISA	ING PREMIUM PLUS	0.00	58.36	0.00	58.36	90.0	0.99
04/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
03/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	265,675.69	221.40	0.00	221.40	90.0	3.76
03/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	261,084.85	217.57	0.00	217.57	90.0	3.70
03/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	407,217.09	339.35	0.00	339.35	90.0	5.77
03/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	520,414.02	433.68	0.00	433.68	90.0	7.37
03/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	106,819.33	89.02	0.00	89.02	90.0	1.51
03/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,112.74	2.94	0.00	2.94	90.0	0.05
03/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	207,568.68	172.97	0.00	172.97	90.0	2.94
03/31/2017	LINSKY, MARC	METLIFE SERIES L	33,281.87	83.20	0.00	83.20	90.0	1.41
03/31/2017	FLYNN, JOHN	METLIFE SERIES L	119,707.28	299.27	0.00	299.27	90.0	5.09
03/31/2017	GARAFOLA, MICHAEL	METLIFE SERIES XC	277,779.38	347.22	0.00	347.22	90.0	5.90
03/27/2017	JACKSON, THOMAS	METLIFE SHEILD LEVEL SELECTOR EQTY	53,788.82	3,227.33	0.00	3,227.33	90.0	54.86
04/01/2017	BOWERS, DORA	NATIONWIDE DESTINATION AAG AX	107,288.12	67.06	0.00	67.06	90.0	1.14
03/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	142.17	0.00	142.17	90.0	2.42
04/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	79.50	0.00	79.50	90.0	1.35
04/03/2017	KRAMER, LARRY	PEPSICO INC COM	-33,585.00	30.00	22.50	7.50	90.0	0.13
03/31/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	10,826.13	6.77	0.00	6.77	90.0	0.12
03/31/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	10,826.13	27.07	0.00	27.07	90.0	0.46
04/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	3.98	0.00	3.98	90.0	0.07
04/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.12	0.00	6.12	90.0	0.10
03/16/2017	FENN, RONALD	SELECT INCOME REIT COM SH BEN INT	66,332.71	-30.00	-7.89	-22.11	90.0	-0.38
03/16/2017	FENN, RONALD	SELECT INCOME REIT COM SH BEN INT	-66,332.71	30.00	25.89	4.11	90.0	0.07
03/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	107,548.63	89.62	0.00	89.62	90.0	1.52
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.61	0.00	8.61	90.0	0.15
03/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	10.50	0.00	10.50	90.0	0.18
03/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	5.25	0.00	5.25	90.0	0.09
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.50	0.00	6.50	90.0	0.11
03/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	8.00	0.00	8.00	90.0	0.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL CHARLEY #338 </u></b>								
		* MARC LINSKY SUBTOTAL *	\$3,392,819.95	\$33,292.43	\$195.25	\$33,097.18		\$562.69
<b><u> BROKER: DANIEL CHARLEY #338 </u></b>								
03/27/2017	IOFFE, MARGARITA	MET LIFE 59157J121	80,952.26	2,428.57	0.00	2,428.57	90.0	41.29
		* DANIEL CHARLEY SUBTOTAL *	\$80,952.26	\$2,428.57	\$0.00	\$2,428.57		\$41.29
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$3,493,772.21	\$36,996.49	\$195.25	\$36,801.24		\$757.75

 **ADJUSTMENTS** 

04/15/2017		*EO INS: CURRENT MONTH INS	0.00					-45.00
04/15/2017		*EO INS: CURRENT MONTH-INS	0.00					-75.00
04/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-45.00
04/15/2017		*EO INS: N ELIAS	0.00					-45.00
04/15/2017		*FEDERAL EXPRESS: 5-760-77461 4/5/1	0.00					-75.05
04/15/2017		*FEDERAL EXPRESS: OVERPMT 11/16-3/1	0.00					1,096.09
04/15/2017		*FINRA: AL IND. REG. FEE	0.00					-60.00
04/15/2017		*FINRA: NM IND. REG. FEE	0.00					-50.00
04/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
04/15/2017		*MISC: 10/16-3/17 OVERNIGHT FEES	0.00					-240.50
04/15/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
04/15/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
04/15/2017		*MISC: TRADE ERROR 1/8/16 BENEFORTI	0.00					-478.77
04/15/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					3,739.19
04/15/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					1,195.41
04/15/2017		*OVERRIDE: LINSKY-VA'S	0.00					589.91
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.75
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.53
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.75
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.38
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.53
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.45
04/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-0.33
04/15/2017		*PERSHING: MARK UPS	0.00					210.00

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\*\* - Indicates adjustment is not included in Totals or Commissions

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.78
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.78
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					-1.35
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					-1.16
04/15/2017		*PERSHING: STREAMING QUOTES	0.00					-68.00
04/15/2017		*REIMBURSEMENT: CT # 5362146	0.00					1,747.10
04/15/2017		*TECH: CURRENT MONTH TECH	0.00					-41.25
04/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-41.25
04/15/2017		*TECH: CURRENT MONTH-TECH	0.00					-68.75
04/15/2017		*TECH: N ELIAS	0.00					-41.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$9,599.09

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,584.89
Split Transactions	\$98,342.73
Override Transactions	\$757.75
Adjustments	\$9,599.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$110,284.46
Year-to-date Gross	\$432,326.69
Year-to-date Earnings	\$438,916.96

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed