



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2017**

06/13/2017  
Page No. 1

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: G0C  
BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^06/01/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	-37,039.73	0.00	0.00	0.00	100.0	0.00
^06/01/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	-53,028.73	0.00	0.00	0.00	100.0	0.00
^06/01/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	-34,787.95	0.00	0.00	0.00	100.0	0.00
^06/01/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	-32,749.72	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-157,606.13</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^06/01/2017	BRANDT, ROSEMARY	COLONY NORTHSTAR INC CL A COM	-22,847.18	250.00	24.41	225.59	100.0	0.00
* STOCKS SUBTOTAL *			<b>\$-22,847.18</b>	<b>\$250.00</b>	<b>\$24.41</b>	<b>\$225.59</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^05/15/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	100.0	0.00
^05/08/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	8.54	0.00	8.54	100.0	0.00
^05/08/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.37	0.00	1.37	100.0	0.00
^05/08/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.56	0.00	4.56	100.0	0.00
^05/08/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.31	0.00	6.31	100.0	0.00
^05/24/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.35	0.00	3.35	100.0	0.00
^05/17/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	7.08	0.00	7.08	100.0	0.00
^05/17/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.65	0.00	10.65	100.0	0.00
^05/17/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.93	0.00	6.93	100.0	0.00
^05/17/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.66	0.00	6.66	100.0	0.00
^05/10/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.87	0.00	9.87	100.0	0.00
^05/10/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.05	0.00	0.05	100.0	0.00
^05/10/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.67	0.00	5.67	100.0	0.00
^05/10/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.85	0.00	3.85	100.0	0.00
^05/11/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.07	0.00	9.07	100.0	0.00
^05/16/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.89	0.00	2.89	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^05/16/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.55	0.00	2.55	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$89.67	\$0.00	\$89.67		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-180,453.31	\$339.67	\$24.41	\$315.26		\$0.00

<b><u>ADJUSTMENTS</u></b>								
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					0.01
06/15/2017		*PERSHING: MARK UPS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.05</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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