

COMMISSION STATEMENT

April 30, 2017

VAN DREGGE OLMSTEAD

10 RICHARDS DRIVE

WILMINGTON, DE 19810

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/03/2017	75501392	BORISH, MURIEL	PIMCO TOTAL RETURN CLASS A	S	1,700.00	0.00	0.00	0.00	70.0	0.00
04/26/2017	75501776	DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	S	1,500.00	0.00	0.00	0.00	70.0	0.00
04/10/2017	75501865	BENJAMIN, WAYNE	AMCAP FUND-A	S	1,312.86	0.00	0.00	0.00	70.0	0.00
04/11/2017	75501967	BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	B	29,500.00	958.75	0.00	958.75	70.0	671.13
* MUTUAL FUNDS SUBTOTAL *					\$34,012.86	\$958.75	\$0.00	\$958.75		\$671.13

PRODUCT CATEGORY: STOCKS

04/07/2017	75501726	PENN, SHELDON	XEROX CORP	S	2,425.50	88.01	0.00	88.01	70.0	61.61
04/05/2017	75501727	PENN, SHELDON	LAM RESEARCH CORP	B	6,329.09	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501727	PENN, SHELDON	THERMO FISHER SCIENTIFIC INC	S	6,913.69	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501727	PENN, SHELDON	VANTIV INC CL A	S	7,823.53	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TRUST FIDELITY M S		14,393.33	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI MATERIAL B		5,379.82	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI INFORMAT B		5,067.27	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI UTILITIE B		5,180.32	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI TELECOMM S		14,275.30	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI INDUSTRIALS INDEX ETF B		5,566.11	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI ENERGY INDEX ETF	S	14,035.53	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER DISCRETIONAR B		5,022.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER STAPLES INDE B		5,486.79	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI FINANCIALS INDEX ETF B		5,965.82	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI HEALTH CARE INDEX ETF B		5,607.52	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY COVINGTON TRUST FIDELITY M S		9,341.37	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI MATERIAL B		3,475.73	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI INFORMAT B		3,269.20	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI UTILITIE B		3,387.13	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY COVINGTON TR MSCI TELECOMM S		9,244.09	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY MSCI INDUSTRIALS INDEX ETF B		3,599.64	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY MSCI ENERGY INDEX ETF	S	9,104.65	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY MSCI CONSUMER DISCRETIONAR B		3,268.00	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY MSCI CONSUMER STAPLES INDE B		3,581.20	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY MSCI FINANCIALS INDEX ETF B	3,884.72	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501769	CHRISTIAN, SARA	FIDELITY MSCI HEALTH CARE INDEX ETF B	3,607.39	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TRUST FIDELITY M S	4,789.83	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI MATERIAL B	1,843.65	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI INFORMAT B	1,757.20	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI UTILITIE B	1,892.81	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI TELECOMM S	4,758.43	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI INDUSTRIALS INDEX ETF B	1,899.81	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI ENERGY INDEX ETF S	4,794.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,754.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER STAPLES INDE B	1,872.74	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI FINANCIALS INDEX ETF B	2,011.73	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI HEALTH CARE INDEX ETF B	1,892.99	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501773	SMITH, GLENN	LAM RESEARCH CORP B	3,745.79	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501773	SMITH, GLENN	THERMO FISHER SCIENTIFIC INC S	4,609.13	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501773	SMITH, GLENN	VANTIV INC CL A S	4,694.12	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TRUST FIDELITY M S	22,876.82	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI MATERIAL B	8,523.09	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI INFORMAT B	8,050.42	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI UTILITIE B	8,235.38	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY COVINGTON TR MSCI TELECOMM S	22,670.74	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY MSCI INDUSTRIALS INDEX ETF B	8,865.78	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY MSCI ENERGY INDEX ETF S	22,286.01	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY MSCI CONSUMER DISCRETIONAR B	8,015.20	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY MSCI CONSUMER STAPLES INDE B	8,706.59	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY MSCI FINANCIALS INDEX ETF B	9,469.01	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501777	DERR, LEROY	FIDELITY MSCI HEALTH CARE INDEX ETF B	8,893.46	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501778	VORMWALD, G ROBERT	LAM RESEARCH CORP B	10,204.04	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501778	VORMWALD, G ROBERT	THERMO FISHER SCIENTIFIC INC S	11,522.82	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501778	VORMWALD, G ROBERT	VANTIV INC CL A S	13,143.52	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501779	OLMSTEAD, VAN	LAM RESEARCH CORP B	4,133.28	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501779	OLMSTEAD, VAN	THERMO FISHER SCIENTIFIC INC S	4,609.13	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501779	OLMSTEAD, VAN	VANTIV INC CL A S	5,320.00	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TRUST FIDELITY M S	9,698.82	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI TELECOMM S	9,638.10	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI MATERIAL B	3,747.74	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI INFORMAT B	3,555.26	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI UTILITIE B	3,818.83	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	3,832.95	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN	FIDELITY MSCI ENERGY INDEX ETF S	9,687.04	0.00	0.00	0.00	70.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/03/2017	75501780	OLMSTEAD, VAN		FIDELITY MSCI CONSUMER DISCRETIONAR B	3,543.20	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN		FIDELITY MSCI CONSUMER STAPLES INDE B	3,844.04	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN		FIDELITY MSCI FINANCIALS INDEX ETF B	4,058.15	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501780	OLMSTEAD, VAN		FIDELITY MSCI HEALTH CARE INDEX ETF B	3,857.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY COVINGTON TRUST FIDELITY M S	7,291.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI TELECOMM S	7,243.73	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI MATERIAL B	2,720.13	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI INFORMAT B	2,533.63	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI UTILITIE B	2,623.37	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY MSCI INDUSTRIALS INDEX ETF B	2,833.05	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY MSCI ENERGY INDEX ETF S	7,124.53	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY MSCI CONSUMER DISCRETIONAR B	2,545.60	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY MSCI CONSUMER STAPLES INDE B	2,759.82	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY MSCI FINANCIALS INDEX ETF B	3,017.60	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501782	HALLAM, DONALD		FIDELITY MSCI HEALTH CARE INDEX ETF B	2,857.34	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501783	HALLAM, DONALD		LAM RESEARCH CORP B	16,145.63	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501783	HALLAM, DONALD		THERMO FISHER SCIENTIFIC INC S	18,436.51	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501783	HALLAM, DONALD		VANTIV INC CL A S	20,341.17	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY COVINGTON TRUST FIDELITY M S	28,024.10	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI TELECOMM S	27,762.57	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI MATERIAL B	10,427.18	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI INFORMAT B	9,807.61	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY COVINGTON TR MSCI UTILITIE B	10,227.81	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY MSCI INDUSTRIALS INDEX ETF B	10,832.25	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY MSCI ENERGY INDEX ETF S	27,508.08	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY MSCI CONSUMER DISCRETIONAR B	9,838.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY MSCI CONSUMER STAPLES INDE B	10,645.03	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY MSCI FINANCIALS INDEX ETF B	11,688.85	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501784	HALLAM, DONALD		FIDELITY MSCI HEALTH CARE INDEX ETF B	10,929.31	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501790	SZANTO, PETER		LAM RESEARCH CORP B	5,424.93	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501790	SZANTO, PETER		THERMO FISHER SCIENTIFIC INC S	6,145.50	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501790	SZANTO, PETER		VANTIV INC CL A S	6,884.70	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501791	SZANTO, PETER		ISHARES U S REAL ESTATE ETF B	14,218.74	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501791	SZANTO, PETER		SPDR S&P 500 ETF TRUST S	14,082.15	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501793	WITMAN, RUSSELL		LAM RESEARCH CORP B	2,195.81	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501793	WITMAN, RUSSELL		THERMO FISHER SCIENTIFIC INC S	2,304.56	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501793	WITMAN, RUSSELL		VANTIV INC CL A S	2,816.47	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501794	WITMAN, RUSSELL		LAM RESEARCH CORP B	4,133.28	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501794	WITMAN, RUSSELL		THERMO FISHER SCIENTIFIC INC S	5,377.32	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501794	WITMAN, RUSSELL		VANTIV INC CL A S	5,632.94	0.00	0.00	0.00	70.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/05/2017	75501805 BARBARAS, GLEN	LAM RESEARCH CORP	B	4,133.28	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501805 BARBARAS, GLEN	THERMO FISHER SCIENTIFIC INC	S	4,609.13	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501805 BARBARAS, GLEN	VANTIV INC CL A	S	5,320.00	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI MATERIAL B		45,788.76	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT B		46,291.01	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI UTILITIE B		47,623.74	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY MSCI INDUSTRIALS INDEX ETF B		46,275.62	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR B		46,498.55	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY MSCI CONSUMER STAPLES INDE B		47,818.68	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY MSCI FINANCIALS INDEX ETF B		45,008.76	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501807 WRIGHT, PAMELA	FIDELITY MSCI HEALTH CARE INDEX ETF B		45,606.14	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501808 WRIGHT, PAMELA	LAM RESEARCH CORP	B	3,745.79	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501808 WRIGHT, PAMELA	THERMO FISHER SCIENTIFIC INC	S	4,609.13	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501808 WRIGHT, PAMELA	VANTIV INC CL A	S	4,694.12	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501817 GELLIS, HOWARD	LAM RESEARCH CORP	B	21,570.56	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501817 GELLIS, HOWARD	THERMO FISHER SCIENTIFIC INC	S	24,582.02	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501817 GELLIS, HOWARD	VANTIV INC CL A	S	27,538.81	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY COVINGTON TRUST FIDELITY M S		67,224.48	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI TELECOMM S		66,799.89	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI MATERIAL B		25,599.48	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI INFORMAT B		24,396.44	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY COVINGTON TR MSCI UTILITIE B		26,300.08	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY MSCI INDUSTRIALS INDEX ETF B		26,197.38	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY MSCI ENERGY INDEX ETF S		67,129.81	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY MSCI CONSUMER DISCRETIONAR B		24,286.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY MSCI CONSUMER STAPLES INDE B		26,349.74	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY MSCI FINANCIALS INDEX ETF B		27,713.32	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501818 GELLIS, HOWARD	FIDELITY MSCI HEALTH CARE INDEX ETF B		26,430.36	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY COVINGTON TRUST FIDELITY M S		19,612.11	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI TELECOMM S		19,488.35	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI MATERIAL B		7,465.26	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT B		7,110.52	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI UTILITIE B		7,670.86	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF B		7,632.57	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY MSCI ENERGY INDEX ETF S		19,587.62	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR B		7,086.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY MSCI CONSUMER STAPLES INDE B		7,688.08	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY MSCI FINANCIALS INDEX ETF B		8,081.61	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501820 KLAHN, ROBERT	FIDELITY MSCI HEALTH CARE INDEX ETF B		7,714.81	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501822 KLAHN, ROBERT	LAM RESEARCH CORP	B	4,391.61	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/05/2017	75501822	KLAHN, ROBERT							
		THERMO FISHER SCIENTIFIC INC	S	5,377.32	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501822	KLAHN, ROBERT							
		VANTIV INC CL A	S	5,632.94	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY COVINGTON TRUST FIDELITY M S		5,528.56	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY COVINGTON TR MSCI TELECOMM S		5,485.84	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY COVINGTON TR MSCI MATERIAL B		2,055.21	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY COVINGTON TR MSCI INFORMAT B		1,961.52	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY COVINGTON TR MSCI UTILITIE B		1,992.43	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY MSCI INDUSTRIALS INDEX ETF B		2,166.45	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY MSCI ENERGY INDEX ETF S		5,396.79	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY MSCI CONSUMER DISCRETIONAR B		1,926.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY MSCI CONSUMER STAPLES INDE B		2,102.72	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY MSCI FINANCIALS INDEX ETF B		2,289.21	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501857	REMINGTON, THOMAS							
		FIDELITY MSCI HEALTH CARE INDEX ETF B		2,143.00	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501858	REMINGTON, THOMAS							
		LAM RESEARCH CORP	B	9,945.71	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501858	REMINGTON, THOMAS							
		THERMO FISHER SCIENTIFIC INC	S	11,522.82	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501858	REMINGTON, THOMAS							
		VANTIV INC CL A	S	12,830.58	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY COVINGTON TRUST FIDELITY M S		10,342.23	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI TELECOMM S		10,244.27	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI MATERIAL B		3,868.64	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI INFORMAT B		3,636.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI UTILITIE B		3,752.41	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY MSCI INDUSTRIALS INDEX ETF B		3,999.60	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY MSCI ENERGY INDEX ETF S		10,075.30	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY MSCI CONSUMER DISCRETIONAR B		3,646.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY MSCI CONSUMER STAPLES INDE B		3,909.75	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY MSCI FINANCIALS INDEX ETF B		4,266.26	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501859	LOVE, MARGARET							
		FIDELITY MSCI HEALTH CARE INDEX ETF B		4,035.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY COVINGTON TRUST FIDELITY M S		21,756.81	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI TELECOMM S		21,549.33	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI MATERIAL B		8,130.18	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI INFORMAT B		7,641.76	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY COVINGTON TR MSCI UTILITIE B		7,836.89	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY MSCI INDUSTRIALS INDEX ETF B		8,399.16	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY MSCI ENERGY INDEX ETF S		21,218.30	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY MSCI CONSUMER DISCRETIONAR B		7,602.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY MSCI CONSUMER STAPLES INDE B		8,279.47	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY MSCI FINANCIALS INDEX ETF B		9,052.79	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501860	LOVE, MARGARET							
		FIDELITY MSCI HEALTH CARE INDEX ETF B		8,464.86	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501861	BENJAMIN, WAYNE							
		LAM RESEARCH CORP	B	4,779.11	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501861	BENJAMIN, WAYNE							
		THERMO FISHER SCIENTIFIC INC	S	5,377.32	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/05/2017	75501861 BENJAMIN, WAYNE	VANTIV INC CL A	S	5,945.88	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY COVINGTON TRUST FIDELITY M S		30,478.59	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI TELECOMM S		30,187.25	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI MATERIAL B		11,394.34	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI INFORMAT B		10,706.64	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI UTILITIE B		10,991.57	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI INDUSTRIALS INDEX ETF B		11,765.49	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI ENERGY INDEX ETF S		29,701.74	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI CONSUMER DISCRETIONAR B		10,664.00	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI CONSUMER STAPLES INDE B		11,597.83	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI FINANCIALS INDEX ETF B		12,660.03	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501866 WELAISH, JEFFREY	FIDELITY MSCI HEALTH CARE INDEX ETF B		11,857.94	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501870 ESKENAZI, PHOEBE	LAM RESEARCH CORP	B	2,712.47	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501870 ESKENAZI, PHOEBE	THERMO FISHER SCIENTIFIC INC	S	3,072.75	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501870 ESKENAZI, PHOEBE	VANTIV INC CL A	S	3,442.35	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501883 MARTIN, KATHY	ISHARES U S REAL ESTATE ETF	B	8,248.44	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501883 MARTIN, KATHY	SPDR S&P 500 ETF TRUST	S	8,214.59	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501884 MARTIN, KATHY	LAM RESEARCH CORP	B	6,974.91	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501884 MARTIN, KATHY	THERMO FISHER SCIENTIFIC INC	S	7,681.88	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501884 MARTIN, KATHY	VANTIV INC CL A	S	9,075.29	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501888 KAYLOR, KEVIN	LAM RESEARCH CORP	B	2,066.64	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501888 KAYLOR, KEVIN	THERMO FISHER SCIENTIFIC INC	S	2,304.56	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501888 KAYLOR, KEVIN	VANTIV INC CL A	S	2,503.53	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TRUST FIDELITY M S		11,605.22	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI TELECOMM S		11,517.22	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI MATERIAL B		4,321.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI INFORMAT B		4,004.78	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI UTILITIE B		4,084.48	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI INDUSTRIALS INDEX ETF B		4,399.56	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI ENERGY INDEX ETF S		11,356.55	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI CONSUMER DISCRETIONAR B		4,024.80	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI CONSUMER STAPLES INDE B		4,369.72	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI FINANCIALS INDEX ETF B		4,717.16	0.00	0.00	0.00	70.0	0.00
04/03/2017	75501889 KAYLOR, KEVIN	FIDELITY MSCI HEALTH CARE INDEX ETF B		4,393.15	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501890 KAYLOR, KEVIN	LAM RESEARCH CORP	B	9,041.55	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501890 KAYLOR, KEVIN	THERMO FISHER SCIENTIFIC INC	S	10,754.63	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501890 KAYLOR, KEVIN	VANTIV INC CL A	S	11,578.82	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501914 NATASHA RAJ AHUJA	LAM RESEARCH CORP	B	4,391.61	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501914 NATASHA RAJ AHUJA	THERMO FISHER SCIENTIFIC INC	S	5,377.32	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501914 NATASHA RAJ AHUJA	VANTIV INC CL A	S	5,632.94	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/05/2017	75501946 ANYA P AHUJA 1999	LAM RESEARCH CORP	B	4,391.61	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501946 ANYA P AHUJA 1999	THERMO FISHER SCIENTIFIC INC	S	5,377.32	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501946 ANYA P AHUJA 1999	VANTIV INC CL A	S	5,632.94	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501953 MCCRAY, ROBIN	LAM RESEARCH CORP	B	3,229.13	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501953 MCCRAY, ROBIN	THERMO FISHER SCIENTIFIC INC	S	3,840.94	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501953 MCCRAY, ROBIN	VANTIV INC CL A	S	4,068.23	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501960 BLUE PELICAN LLC	LAM RESEARCH CORP	B	16,662.29	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501960 BLUE PELICAN LLC	THERMO FISHER SCIENTIFIC INC	S	19,204.70	0.00	0.00	0.00	70.0	0.00
04/05/2017	75501960 BLUE PELICAN LLC	VANTIV INC CL A	S	21,279.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TRUST FIDELITY M S		21,971.28	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI TELECOMM S		21,852.42	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI MATERIAL B		8,371.97	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT B		7,927.82	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI UTILITIE B		8,567.45	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF B		8,532.48	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI ENERGY INDEX ETF	S	21,955.99	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR B		7,946.40	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI CONSUMER STAPLES INDE B		8,608.02	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI FINANCIALS INDEX ETF B		9,052.79	0.00	0.00	0.00	70.0	0.00
04/03/2017	75502088 KLAHN, ROBERT	FIDELITY MSCI HEALTH CARE INDEX ETF B		8,643.44	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				\$2,648,573.69	\$88.01	\$0.00	\$88.01		\$61.61
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/03/2017	75501726 PENN, SHELDON	PUT ETFC 07/21/17 37	S	990.00	37.50	0.00	37.50	70.0	0.00
04/03/2017	75501726 PENN, SHELDON	PUT SIG 07/21/17 75	B	2,790.00	75.43	0.00	75.43	70.0	52.80
04/07/2017	75501726 PENN, SHELDON	CALL KMI 09/15/17 22	S	348.00	37.50	0.00	37.50	70.0	0.00
04/10/2017	75501726 PENN, SHELDON	CALL CME 06/16/17 110	S	2,640.00	73.87	0.00	73.87	70.0	51.71
04/10/2017	75501726 PENN, SHELDON	CALL KBH 07/21/17 18	B	1,235.00	375.00	0.00	375.00	70.0	262.50
04/10/2017	75501726 PENN, SHELDON	CALL KBH 07/21/17 18	S	-1,235.00	-375.00	0.00	-375.00	70.0	-262.50 C
04/10/2017	75501726 PENN, SHELDON	CALL KBH 07/21/17 18	B	1,235.00	51.00	0.00	51.00	70.0	35.70
04/17/2017	75501726 PENN, SHELDON	CALL GRMN 07/21/17 47.50	S	909.00	37.50	0.00	37.50	70.0	0.00
04/17/2017	75501726 PENN, SHELDON	CALL NKE 10/20/17 52.50	B	1,617.00	59.11	0.00	59.11	70.0	41.38
04/20/2017	75501726 PENN, SHELDON	PUT T 10/20/17 38	S	420.00	37.50	0.00	37.50	70.0	0.00
04/24/2017	75501726 PENN, SHELDON	CALL WYNN 06/16/17 105	S	3,990.00	87.94	0.00	87.94	70.0	61.56
04/24/2017	75501726 PENN, SHELDON	PUT C 07/21/17 62.50	B	1,350.00	50.63	0.00	50.63	70.0	35.44
* OPTIONS SUBTOTAL *				\$16,289.00	\$547.98	\$0.00	\$547.98		\$278.59

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/18/2017	75501391 BORISH, MURIEL	FAS FEES	B	-576.05	576.05	0.00	576.05	70.0	403.24
04/18/2017	75501726 PENN, SHELDON	FAS FEES	B	-1,565.24	0.00	0.00	0.00	70.0	0.00
04/18/2017	75501727 PENN, SHELDON	FAS FEES	B	0.00	1,565.24	0.00	1,565.24	70.0	1,095.67
04/18/2017	75501733 OLMSTEAD, CHRISTYN	FAS FEES	B	-630.55	630.55	0.00	630.55	70.0	441.39
04/18/2017	75501769 CHRISTIAN, SARA	FAS FEES	B	-409.30	409.30	0.00	409.30	70.0	286.51
04/18/2017	75501772 OLMSTEAD, ELIZABETH	FAS FEES	B	-214.09	214.09	0.00	214.09	70.0	149.86
04/18/2017	75501773 SMITH, GLENN	FAS FEES	B	-940.56	940.56	0.00	940.56	70.0	658.39
04/18/2017	75501774 SMITH, GLENN	FAS FEES	B	-1,183.10	1,183.10	0.00	1,183.10	70.0	828.17
04/18/2017	75501776 DERR, LEROY	FAS FEES	B	-159.48	159.48	0.00	159.48	70.0	111.64
04/18/2017	75501777 DERR, LEROY	FAS FEES	B	-1,001.82	1,001.82	0.00	1,001.82	70.0	701.27
04/18/2017	75501778 VORMWALD, G ROBERT	FAS FEES	B	-2,465.68	2,465.68	0.00	2,465.68	70.0	1,725.98
04/18/2017	75501779 OLMSTEAD, VAN	FAS FEES	B	-1,018.74	1,018.74	0.00	1,018.74	70.0	713.12
04/18/2017	75501780 OLMSTEAD, VAN	FAS FEES	B	-433.43	433.43	0.00	433.43	70.0	303.40
04/18/2017	75501781 HALLAM, DONALD	FAS FEES	B	-231.08	231.08	0.00	231.08	70.0	161.76
04/18/2017	75501782 HALLAM, DONALD	FAS FEES	B	-319.65	319.65	0.00	319.65	70.0	223.76
04/18/2017	75501783 HALLAM, DONALD	FAS FEES	B	-3,718.17	3,718.17	0.00	3,718.17	70.0	2,602.72
04/18/2017	75501784 HALLAM, DONALD	FAS FEES	B	-1,231.21	1,231.21	0.00	1,231.21	70.0	861.85
04/18/2017	75501790 SZANTO, PETER	FAS FEES	B	-1,333.77	1,333.77	0.00	1,333.77	70.0	933.64
04/18/2017	75501791 SZANTO, PETER	FAS FEES	B	-1,480.88	1,480.88	0.00	1,480.88	70.0	1,036.62
04/18/2017	75501793 WITMAN, RUSSELL	FAS FEES	B	-556.18	556.18	0.00	556.18	70.0	389.33
04/18/2017	75501794 WITMAN, RUSSELL	FAS FEES	B	-1,035.23	1,035.23	0.00	1,035.23	70.0	724.66
04/18/2017	75501805 BARBARAS, GLEN	FAS FEES	B	-1,018.78	1,018.78	0.00	1,018.78	70.0	713.15
04/06/2017	75501807 WRIGHT, PAMELA	FAS FEES	B	-1,852.09	1,852.09	0.00	1,852.09	70.0	1,296.46
04/18/2017	75501808 WRIGHT, PAMELA	FAS FEES	B	-998.41	998.41	0.00	998.41	70.0	698.89
04/18/2017	75501817 GELLIS, HOWARD	FAS FEES	B	-4,648.25	4,648.25	0.00	4,648.25	70.0	3,253.78
04/18/2017	75501818 GELLIS, HOWARD	FAS FEES	B	-2,954.48	2,954.48	0.00	2,954.48	70.0	2,068.14
04/18/2017	75501820 KLAHN, ROBERT	FAS FEES	B	-872.84	872.84	0.00	872.84	70.0	610.99
04/18/2017	75501822 KLAHN, ROBERT	FAS FEES	B	-1,100.52	1,100.52	0.00	1,100.52	70.0	770.36
04/18/2017	75501857 REMINGTON, THOMAS	FAS FEES	B	-242.12	242.12	0.00	242.12	70.0	169.48
04/18/2017	75501858 REMINGTON, THOMAS	FAS FEES	B	-2,410.84	2,410.84	0.00	2,410.84	70.0	1,687.59
04/18/2017	75501859 LOVE, MARGARET	FAS FEES	B	-452.56	452.56	0.00	452.56	70.0	316.79
04/18/2017	75501860 LOVE, MARGARET	FAS FEES	B	-953.30	953.30	0.00	953.30	70.0	667.31
04/18/2017	75501861 BENJAMIN, WAYNE	FAS FEES	B	-1,186.64	1,186.64	0.00	1,186.64	70.0	830.65
04/18/2017	75501862 BENJAMIN, WAYNE	FAS FEES	B	-323.10	323.10	0.00	323.10	70.0	226.17
04/18/2017	75501866 WELAISH, JEFFREY	FAS FEES	B	-1,334.69	1,334.69	0.00	1,334.69	70.0	934.28
04/18/2017	75501870 ESKENAZI, PHOEBE	FAS FEES	B	-662.10	662.10	0.00	662.10	70.0	463.47
04/18/2017	75501883 MARTIN, KATHY	FAS FEES	B	-871.51	871.51	0.00	871.51	70.0	610.06
04/18/2017	75501884 MARTIN, KATHY	FAS FEES	B	-1,706.40	1,706.40	0.00	1,706.40	70.0	1,194.48
04/18/2017	75501888 KAYLOR, KEVIN	FAS FEES	B	-514.82	514.82	0.00	514.82	70.0	360.37
04/18/2017	75501889 KAYLOR, KEVIN	FAS FEES	B	-505.95	505.95	0.00	505.95	70.0	354.17

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/18/2017	75501890 KAYLOR, KEVIN	FAS FEES	B	-2,182.45	2,182.45	0.00	2,182.45	70.0	1,527.72
04/18/2017	75501914 NATASHA RAJ AHUJA	FAS FEES	B	-1,101.54	1,101.54	0.00	1,101.54	70.0	771.08
04/18/2017	75501925 HOWELL, WILLIAM	FAS FEES	B	-257.28	257.28	0.00	257.28	70.0	180.10
04/18/2017	75501926 KILPATRICK, CHARLES	FAS FEES	B	-928.96	928.96	0.00	928.96	70.0	650.27
04/18/2017	75501946 ANYA P AHUJA 1999	FAS FEES	B	-1,100.94	1,100.94	0.00	1,100.94	70.0	770.66
04/18/2017	75501952 HOWELL, WILLIAM	FAS FEES	B	-315.15	315.15	0.00	315.15	70.0	220.61
04/18/2017	75501953 MCCRAY, ROBIN	FAS FEES	B	-776.76	776.76	0.00	776.76	70.0	543.73
04/18/2017	75501954 MCCRAY, GAIL	FAS FEES	B	-231.61	231.61	0.00	231.61	70.0	162.13
04/18/2017	75501960 BLUE PELICAN LLC	FAS FEES	B	-3,818.43	3,818.43	0.00	3,818.43	70.0	2,672.90
04/18/2017	75502088 KLAHN, ROBERT	FAS FEES	B	-977.57	977.57	0.00	977.57	70.0	684.30
* RIA SUBTOTAL *				\$-56,804.30	\$56,804.30	\$0.00	\$56,804.30		\$39,763.07

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/11/2017	75501392 BORISH, MURIEL	PIMCO TOTAL RETURN CLASS A	B	0.00	12.29	0.00	12.29	70.0	8.60
04/11/2017	75501393 BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT	B	0.00	18.37	0.00	18.37	70.0	12.86
04/11/2017	75501461 OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	2.35	0.00	2.35	70.0	1.65
04/11/2017	75501462 OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	1.22	0.00	1.22	70.0	0.85
04/11/2017	75501463 ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.75	0.00	0.75	70.0	0.00
04/11/2017	75501474 PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT	B	0.00	17.08	0.00	17.08	70.0	11.96
04/11/2017	75501498 LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.13	0.00	8.13	70.0	5.69
04/11/2017	75501498 LESTARDO, AVON	**VIRTUS MULTI ASSET TREND	B	0.00	1.00	0.00	1.00	70.0	0.70
04/11/2017	75501498 LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.49	0.00	2.49	70.0	1.74
04/11/2017	75501498 LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	0.05	0.00	0.05	70.0	0.00
04/05/2017	75501499 LESTARDO, AVON	PACIFIC FDS STRATEGIC INCOME FD CL	B	0.00	11.99	0.00	11.99	70.0	8.39
04/11/2017	75501499 LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.43	0.00	0.43	70.0	0.00
04/11/2017	75501499 LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT	B	0.00	2.05	0.00	2.05	70.0	1.44
04/11/2017	75501499 LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.90	0.00	1.90	70.0	1.33
04/11/2017	75501499 LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B	B	0.00	9.56	0.00	9.56	70.0	6.69
04/11/2017	75501499 LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	1.74	0.00	1.74	70.0	1.22
04/11/2017	75501502 MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.24	0.00	3.24	70.0	2.27
04/11/2017	75501610 VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	4.06	0.00	4.06	70.0	2.84
04/11/2017	75501610 VORMWALD, G ROBERT	FRK UTIL FND - CL A	B	0.00	2.49	0.00	2.49	70.0	1.74
04/11/2017	75501611 VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	1.36	0.00	1.36	70.0	0.95
04/11/2017	75501612 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	3.25	0.00	3.25	70.0	2.28
04/11/2017	75501613 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	1.08	0.00	1.08	70.0	0.76
04/11/2017	75501614 VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	8.12	0.00	8.12	70.0	5.68
04/11/2017	75501614 VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	11.48	0.00	11.48	70.0	8.04
04/11/2017	75501726 PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT	B	0.00	22.40	0.00	22.40	70.0	15.68
04/11/2017	75501726 PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	4.25	0.00	4.25	70.0	2.98
04/11/2017	75501729 PENN, ELAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	3.99	0.00	3.99	70.0	2.79

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/11/2017	75501729 PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT	B	0.00	6.46	0.00	6.46	70.0	4.52
04/11/2017	75501729 PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B	B	0.00	1.72	0.00	1.72	70.0	1.20
04/11/2017	75501729 PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	24.29	0.00	24.29	70.0	17.00
04/11/2017	75501730 PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C	B	0.00	1.33	0.00	1.33	70.0	0.93
04/11/2017	75501735 GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.97	0.00	2.97	70.0	2.08
04/11/2017	75501770 CHRISTIAN, SARA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	7.33	0.00	7.33	70.0	5.13
04/11/2017	75501770 CHRISTIAN, SARA	EUROPACIFIC GROWTH FUND-A	B	0.00	65.71	0.00	65.71	70.0	46.00
04/11/2017	75501770 CHRISTIAN, SARA	THE GROWTH FUND OF AMERICA-A	B	0.00	19.09	0.00	19.09	70.0	13.36
04/11/2017	75501775 SMITH, GLENN	**VIRTUS EQUITY TREND FUND	B	0.00	5.31	0.00	5.31	70.0	3.72
04/11/2017	75501776 DERR, LEROY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.46	0.00	9.46	70.0	6.62
04/11/2017	75501776 DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.33	0.00	1.33	70.0	0.93
04/11/2017	75501787 PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	18.68	0.00	18.68	70.0	13.08
04/11/2017	75501792 WITMAN, RUSSELL	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.79	0.00	1.79	70.0	1.25
04/11/2017	75501806 BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	B	0.00	46.46	0.00	46.46	70.0	32.52
04/11/2017	75501806 BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	28.16	0.00	28.16	70.0	19.71
04/11/2017	75501809 WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	24.11	0.00	24.11	70.0	16.88
04/11/2017	75501819 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	19.18	0.00	19.18	70.0	13.43
04/11/2017	75501819 KLAHN, ROBERT	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	49.55	0.00	49.55	70.0	34.69
04/11/2017	75501819 KLAHN, ROBERT	**VIRTUS MULTI SECTOR SHORT	B	0.00	23.32	0.00	23.32	70.0	16.32
04/11/2017	75501819 KLAHN, ROBERT	FEDERATED GLOBAL ALLOCATION FUND CL B	B	0.00	3.97	0.00	3.97	70.0	2.78
04/11/2017	75501819 KLAHN, ROBERT	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	63.76	0.00	63.76	70.0	44.63
04/11/2017	75501831 COLACINO, JOSEPH	**VIRTUS EQUITY TREND FUND	B	0.00	1.93	0.00	1.93	70.0	1.35
04/11/2017	75501831 COLACINO, JOSEPH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.35	0.00	6.35	70.0	4.45
04/11/2017	75501831 COLACINO, JOSEPH	**VIRTUS MULTI ASSET TREND	B	0.00	0.66	0.00	0.66	70.0	0.00
04/05/2017	75501842 STRAUGHN, SARAH	PACIFIC FDS CORE INCOME CL A	B	0.00	17.95	0.00	17.95	70.0	12.57
04/11/2017	75501842 STRAUGHN, SARAH	**DEUTSCHE MANAGED MUNICIPAL	B	0.00	31.33	0.00	31.33	70.0	21.93
04/11/2017	75501842 STRAUGHN, SARAH	**GUGGENHEIM FDS TR	B	0.00	3.00	0.00	3.00	70.0	2.10
04/11/2017	75501842 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	6.61	0.00	6.61	70.0	4.63
04/11/2017	75501842 STRAUGHN, SARAH	**VIRTUS MULTI ASSET TREND	B	0.00	9.03	0.00	9.03	70.0	6.32
04/11/2017	75501842 STRAUGHN, SARAH	FEDERATED MUN SECS INCOME TR HIGH Y B	B	0.00	4.92	0.00	4.92	70.0	3.44
04/11/2017	75501842 STRAUGHN, SARAH	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	12.76	0.00	12.76	70.0	8.93
04/11/2017	75501844 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	2.26	0.00	2.26	70.0	1.58
04/11/2017	75501844 STRAUGHN, SARAH	AIM INTERNATIONAL GROWTH FUND - CL B	B	0.00	6.08	0.00	6.08	70.0	4.26
04/11/2017	75501844 STRAUGHN, SARAH	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	60.55	0.00	60.55	70.0	42.39
04/11/2017	75501844 STRAUGHN, SARAH	LORD ABBETT AFFILIATED FUND INC-CL B	B	0.00	41.71	0.00	41.71	70.0	29.20
04/11/2017	75501846 STRAUGHN, SARAH	TEMP WORLD FND - CL A	B	0.00	7.83	0.00	7.83	70.0	5.48
04/11/2017	75501846 STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A	B	0.00	16.79	0.00	16.79	70.0	11.75
04/11/2017	75501846 STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	18.02	0.00	18.02	70.0	12.61
04/11/2017	75501862 BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	7.81	0.00	7.81	70.0	5.47
04/11/2017	75501863 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	9.45	0.00	9.45	70.0	6.62

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501863	BENJAMIN, WAYNE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	26.06	0.00	26.06	70.0	18.24
04/11/2017	75501863	BENJAMIN, WAYNE		INVESCO MID CAP GROWTH FUND CLASS A B	0.00	24.46	0.00	24.46	70.0	17.12
04/11/2017	75501863	BENJAMIN, WAYNE		RYDEX SER FDS S&P 500 2X STRATEGY C B	0.00	33.21	0.00	33.21	70.0	23.25
04/11/2017	75501864	BENJAMIN, WAYNE		**VIRTUS EQUITY TREND FUND B	0.00	2.12	0.00	2.12	70.0	1.48
04/11/2017	75501864	BENJAMIN, WAYNE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	6.42	0.00	6.42	70.0	4.49
04/11/2017	75501864	BENJAMIN, WAYNE		RYDEX SECTOR ROTATION FUND CLASS C B	0.00	3.32	0.00	3.32	70.0	2.32
04/11/2017	75501864	BENJAMIN, WAYNE		RYDEX SER FDS S&P 500 2X STRATEGY C B	0.00	18.82	0.00	18.82	70.0	13.17
04/11/2017	75501864	BENJAMIN, WAYNE		RYDEX SER FDS NOVA FD CL C B	0.00	5.04	0.00	5.04	70.0	3.53
04/11/2017	75501865	BENJAMIN, WAYNE		AMCAP FUND-A B	0.00	5.97	0.00	5.97	70.0	4.18
04/11/2017	75501865	BENJAMIN, WAYNE		RYDEX SECTOR ROTATION FUND CLASS C B	0.00	5.03	0.00	5.03	70.0	3.52
04/11/2017	75501871	ESKENAZI, PHOEBE		**VIRTUS MULTI ASSET TREND B	0.00	5.88	0.00	5.88	70.0	4.12
04/11/2017	75501871	ESKENAZI, PHOEBE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.87	0.00	2.87	70.0	2.01
04/11/2017	75501871	ESKENAZI, PHOEBE		LORD ABBETT MID CAP STOCK FUND INC B	0.00	66.23	0.00	66.23	70.0	46.36
04/11/2017	75501872	ESKENAZI, PHOEBE		**VIRTUS EQUITY TREND FUND B	0.00	3.11	0.00	3.11	70.0	2.18
04/11/2017	75501872	ESKENAZI, PHOEBE		AMCAP FUND-A B	0.00	3.19	0.00	3.19	70.0	2.23
04/11/2017	75501872	ESKENAZI, PHOEBE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.97	0.00	0.97	70.0	0.00
04/11/2017	75501872	ESKENAZI, PHOEBE		FUNDAMENTAL INVESTORS-A B	0.00	2.99	0.00	2.99	70.0	2.09
04/12/2017	75501872	ESKENAZI, PHOEBE		DELAWARE GROUP HIGH YIELD OPPORTUNI B	0.00	0.80	0.00	0.80	70.0	0.00
04/11/2017	75501880	WINDETT, ROSE		**VIRTUS EQUITY TREND FUND B	0.00	0.66	0.00	0.66	70.0	0.00
04/11/2017	75501881	MARTIN, KATHY		JANUS INVT FD BALANCED FD CL C B	0.00	40.09	0.00	40.09	70.0	28.06
04/11/2017	75501882	MARTIN, KATHY		**VIRTUS EQUITY TREND FUND B	0.00	6.38	0.00	6.38	70.0	4.47
04/11/2017	75501885	MARTIN, KATHY		**VIRTUS FOREIGN OPPORTUNITIES B	0.00	1.14	0.00	1.14	70.0	0.80
04/12/2017	75501885	MARTIN, KATHY		**KEELEY SMALL CAP VALUE FD B	0.00	1.95	0.00	1.95	70.0	1.37
04/11/2017	75501886	MARTIN, KATHY		**VIRTUS MULTI ASSET TREND B	0.00	10.01	0.00	10.01	70.0	7.01
04/11/2017	75501891	KAYLOR, KEVIN		**VIRTUS GLOBAL OPPORTUNITIES B	0.00	10.70	0.00	10.70	70.0	7.49
04/11/2017	75501891	KAYLOR, KEVIN		LORD ABBETT MID CAP STOCK FUND INC B	0.00	10.95	0.00	10.95	70.0	7.67
04/11/2017	75501892	KAYLOR, KRISTENE		**VIRTUS GLOBAL OPPORTUNITIES B	0.00	4.15	0.00	4.15	70.0	2.91
04/11/2017	75501893	KLAHN, ROBERT		**VIRTUS EQUITY TREND FUND B	0.00	2.20	0.00	2.20	70.0	1.54
04/11/2017	75501910	RUTTER, DOUGLAS		**VIRTUS GLOBAL OPPORTUNITIES B	0.00	4.60	0.00	4.60	70.0	3.22
04/11/2017	75501911	RUTTER, KENNETH		**VIRTUS GLOBAL OPPORTUNITIES B	0.00	4.61	0.00	4.61	70.0	3.23
04/11/2017	75501915	SPARRE, GERI		**VIRTUS GLOBAL OPPORTUNITIES B	0.00	8.53	0.00	8.53	70.0	5.97
04/11/2017	75501926	KILPATRICK, CHARLES		**VIRTUS EQUITY TREND FUND B	0.00	29.40	0.00	29.40	70.0	20.58
04/11/2017	75501926	KILPATRICK, CHARLES		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	8.46	0.00	8.46	70.0	5.92
04/11/2017	75501927	VASSALOTTI, MARYANN		JANUS INVT FD BALANCED FD CL C B	0.00	17.74	0.00	17.74	70.0	12.42
04/11/2017	75501928	GELLIS, HOWARD		**VIRTUS GLOBAL OPPORTUNITIES B	0.00	9.32	0.00	9.32	70.0	6.52
04/11/2017	75501935	STAUFFER, EDWARD		RYDEX SERIES FDS EUROPE 1.25X STRAT B	0.00	0.53	0.00	0.53	70.0	0.00
04/18/2017	75501935	STAUFFER, EDWARD		**KINETICS PARADIGM FUND B	0.00	1.06	0.00	1.06	70.0	0.74
04/11/2017	75501938	ROSENBERG, DAVID		**VIRTUS EQUITY TREND FUND B	0.00	2.62	0.00	2.62	70.0	1.83
04/11/2017	75501938	ROSENBERG, DAVID		**VIRTUS MULTI ASSET TREND B	0.00	9.81	0.00	9.81	70.0	6.87
04/11/2017	75501938	ROSENBERG, DAVID		AMERICAN MUTUAL FUND-A B	0.00	37.41	0.00	37.41	70.0	26.19

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501939	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	1.45	0.00	1.45	70.0	1.02
04/11/2017	75501940	ROSENBERG, ELAINE	**VIRTUS EQUITY TREND FUND	B	0.00	6.55	0.00	6.55	70.0	4.59
04/11/2017	75501940	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	4.48	0.00	4.48	70.0	3.14
04/11/2017	75501941	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	2.30	0.00	2.30	70.0	1.61
04/11/2017	75501943	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	4.06	0.00	4.06	70.0	2.84
04/11/2017	75501943	GIBBS, REBECCA	TEMPLETON GROWTH FUND CLASS A	B	0.00	2.17	0.00	2.17	70.0	1.52
04/11/2017	75501955	PENN, EVA	**GUGGENHEIM FDS TR	B	0.00	0.90	0.00	0.90	70.0	0.00
04/11/2017	75501955	PENN, EVA	**VIRTUS MULTI SECTOR SHORT	B	0.00	4.81	0.00	4.81	70.0	3.37
04/11/2017	75501955	PENN, EVA	FRANKLIN INCOME FUND CLASS A	B	0.00	2.37	0.00	2.37	70.0	1.66
04/11/2017	75501955	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	25.01	0.00	25.01	70.0	17.51
04/11/2017	75501955	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	17.20	0.00	17.20	70.0	12.04
04/11/2017	75501955	PENN, EVA	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	1.43	0.00	1.43	70.0	1.00
04/11/2017	75501956	PENN, EVA	**VIRTUS EQUITY TREND FUND	B	0.00	5.32	0.00	5.32	70.0	3.72
04/11/2017	75501956	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	25.73	0.00	25.73	70.0	18.01
04/11/2017	75501956	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	9.89	0.00	9.89	70.0	6.92
04/11/2017	75501956	PENN, EVA	RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	7.95	0.00	7.95	70.0	5.57
04/11/2017	75501957	PENN, EVA	**VIRTUS MULTI ASSET TREND	B	0.00	1.16	0.00	1.16	70.0	0.81
04/11/2017	75501997	LESTARDO, EUGENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.24	0.00	3.24	70.0	2.27
04/11/2017	75501997	LESTARDO, EUGENE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	3.10	0.00	3.10	70.0	2.17
04/11/2017	75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	B	0.00	55.83	0.00	55.83	70.0	39.08
04/11/2017	75502040	SMITH, MARY BETH	CAPITAL APPRECIATION - CLASS A	B	0.00	2.66	0.00	2.66	70.0	1.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,472.56	\$0.00	\$1,472.56		\$1,026.80
*** BROKER TRANSACTIONS TOTAL ***					\$2,642,071.25	\$59,871.60	\$0.00	\$59,871.60		\$41,801.20
ADJUSTMENTS										
04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00	
04/30/2017		OTHER: OTHER-JACKSON		0.00	0.00	0.00	0.00		93.66	
04/30/2017		OTHER: OTHER-NATIONWIDE		0.00	0.00	0.00	0.00		111.90	
04/30/2017		OTHER: OTHER-LINCOLN		0.00	0.00	0.00	0.00		211.40	
04/30/2017		OTHER: OTHER-JOHN HANCOCK		0.00	0.00	0.00	0.00		192.75	
04/30/2017		OTHER: OTHER-CEDAR CAPITAL		0.00	0.00	0.00	0.00		-179.35	
04/30/2017		OTHER: OTHER-RBC		0.00	0.00	0.00	0.00		-2,691.50	
04/30/2017		OTHER: OTHER-AAM		0.00	0.00	0.00	0.00		-2,625.46	
04/30/2017		OTHER: OTHER-PERSHING		0.00	0.00	0.00	0.00		-91.95	
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-4,998.55

BROKER COMMISSION TOTALS

Broker Transactions	\$41,801.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,998.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-74.84
SIPC Assessment	\$-1,122.59

Check Amount	\$35,605.22
Year-to-date Earnings	\$42,576.43