



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
		* MUTUAL FUNDS SUBTOTAL *	\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<u>PRODUCT CATEGORY: STOCKS</u>								
08/31/2017	SCHROEDER, JEFFERY	CARTER VALIDUS MISSION CRITICAL REI	7,100.00	0.00	0.00	0.00	90.0	0.00
09/08/2017	STUCKY, JULIA	CARTER VALIDUS MISSION CRITICAL REI	5,100.00	0.00	0.00	0.00	90.0	0.00
08/30/2017	PARKER, MICHAEL	COTTONWOOD MULTIFAMILY REIT II, INC	15,000.00	0.00	0.00	0.00	90.0	0.00
08/31/2017	SMITH, KAREN	COTTONWOOD MULTIFAMILY REIT II, INC	40,000.00	0.00	0.00	0.00	90.0	0.00
08/31/2017	MILLER, BRET	COTTONWOOD MULTIFAMILY REIT II, INC	17,300.00	0.00	0.00	0.00	90.0	0.00
09/05/2017	SCHROEDER, JEFFERY	COTTONWOOD MULTIFAMILY REIT II, INC	18,800.00	0.00	0.00	0.00	90.0	0.00
09/05/2017	MIDDLETON, LUCINDA	COTTONWOOD MULTIFAMILY REIT II, INC	43,600.00	0.00	0.00	0.00	90.0	0.00
09/06/2017	PARKER, MICHAEL	COTTONWOOD MULTIFAMILY REIT II, INC	15,900.00	0.00	0.00	0.00	90.0	0.00
09/06/2017	STUCKY, JULIA	COTTONWOOD MULTIFAMILY REIT II, INC	13,100.00	0.00	0.00	0.00	90.0	0.00
09/07/2017	LARKINS, TROY	COTTONWOOD MULTIFAMILY REIT II, INC	20,000.00	0.00	0.00	0.00	90.0	0.00
09/07/2017	LARKINS, KATHRYN	COTTONWOOD MULTIFAMILY REIT II, INC	10,000.00	0.00	0.00	0.00	90.0	0.00
09/08/2017	STUCKY, MIKE	COTTONWOOD MULTIFAMILY REIT II, INC	17,500.00	0.00	0.00	0.00	90.0	0.00
09/08/2017	HOLLE, JEREMY	COTTONWOOD MULTIFAMILY REIT II, INC	12,400.00	0.00	0.00	0.00	90.0	0.00
		* STOCKS SUBTOTAL *	\$235,800.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	WILD, WILLIAM	JNL ELITE ACCESS	97,597.97	40.67	0.00	40.67	90.0	36.60
08/31/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,248.33	5.68	0.00	5.68	90.0	5.11
08/31/2017	MOHR, AMY	JNL ELITE ACCESS	45,048.13	9.39	0.00	9.39	90.0	8.45
08/31/2017	LARKINS, TROY	JNL ELITE ACCESS	47,509.14	9.90	0.00	9.90	90.0	8.91
08/31/2017	ZEHR, PAUL &	JNL ELITE ACCESS	29,685.25	6.18	0.00	6.18	90.0	5.56
08/31/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	27,924.82	5.82	0.00	5.82	90.0	5.24
08/31/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	34,158.37	7.12	0.00	7.12	90.0	6.41

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,593.70	11.91	0.00	11.91	90.0	10.72
08/31/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	320,330.60	133.47	0.00	133.47	90.0	120.12
08/31/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,240.70	5.93	0.00	5.93	90.0	5.34
08/31/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	79,755.73	33.23	0.00	33.23	90.0	29.91
08/31/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	77,907.23	32.46	0.00	32.46	90.0	29.21
08/31/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	144,516.08	60.22	0.00	60.22	90.0	54.20
08/31/2017	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	77,681.94	32.37	0.00	32.37	90.0	29.13
08/31/2017	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	620,043.63	258.35	0.00	258.35	90.0	232.52
08/31/2017	ST	JNL PERSPECTIVE II 04/14	211,694.34	88.21	0.00	88.21	90.0	79.39
08/31/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	212,095.48	88.37	0.00	88.37	90.0	79.53
08/31/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	141,701.14	59.04	0.00	59.04	90.0	53.14
08/31/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	141,283.47	58.87	0.00	58.87	90.0	52.98
08/31/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	84,271.79	35.11	0.00	35.11	90.0	31.60
08/31/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	92,301.57	38.46	0.00	38.46	90.0	34.61
08/31/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	46,329.99	19.30	0.00	19.30	90.0	17.37
08/31/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	87,021.18	36.26	0.00	36.26	90.0	32.63
08/31/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	46,105.38	19.21	0.00	19.21	90.0	17.29
08/31/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	151,527.11	126.27	0.00	126.27	90.0	113.64
08/31/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	67,401.62	56.17	0.00	56.17	90.0	50.55
08/31/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	105,242.87	87.70	0.00	87.70	90.0	78.93
08/31/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	208,966.00	174.14	0.00	174.14	90.0	156.73
08/31/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	196,080.94	163.40	0.00	163.40	90.0	147.06
08/21/2017	SITCLER, ROY	PAC LIFE INNOVATIVE SELECT	0.00	433.26	0.00	433.26	90.0	389.93
08/30/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,435.68	16.20	0.00	16.20	90.0	14.58
* VARIABLE ANNUITIES SUBTOTAL *			\$3,483,700.18	\$2,152.67	\$0.00	\$2,152.67		\$1,937.39

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/16/2017	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.24	0.00	2.24	90.0	2.02
08/16/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.24	0.00	2.24	90.0	2.02
08/16/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.91	0.00	8.91	90.0	8.02
08/16/2017	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.02	0.00	9.02	90.0	8.12
08/16/2017	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.80	0.00	1.80	90.0	1.62
08/16/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	90.0	1.27
08/16/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.31	0.00	12.31	90.0	11.08

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/16/2017	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.05	0.00	2.05	90.0	1.85
08/16/2017	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.32	0.00	1.32	90.0	1.19
08/16/2017	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.32	0.00	1.32	90.0	1.19
08/15/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.11	0.00	5.11	90.0	4.60
08/15/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.80	0.00	4.80	90.0	4.32
08/15/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.03	0.00	5.03	90.0	4.53
08/18/2017	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
08/17/2017	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.20	0.00	1.20	90.0	1.08
08/17/2017	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.23	0.00	2.23	90.0	2.01
08/17/2017	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	2.83	0.00	2.83	90.0	2.55
08/17/2017	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.31	0.00	8.31	90.0	7.48
08/17/2017	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	9.12	0.00	9.12	90.0	8.21
08/17/2017	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.83	0.00	8.83	90.0	7.95
08/17/2017	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.58	0.00	10.58	90.0	9.52
08/17/2017	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.98	0.00	6.98	90.0	6.28
08/17/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.81	0.00	6.81	90.0	6.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$114.54	\$0.00	\$114.54		\$103.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/06/2017	HOUSER, SHERRY	ING PREMIUM PLUS 2005	0.00	7.91	0.00	7.91	90.0	7.12
09/05/2017	HOUSER TRUST, MICHAEL & SHERR	ING PREMIUM PLUS 2005	0.00	8.28	0.00	8.28	90.0	7.45
09/06/2017	HOUSER, MICHAEL	ING PREMIUM PLUS 2005	0.00	22.23	0.00	22.23	90.0	20.01
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$38.42	\$0.00	\$38.42		\$34.58
*** BROKER TRANSACTIONS TOTAL ***			\$3,719,715.18	\$2,307.78	\$0.00	\$2,307.78		\$2,077.03

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<u>ADJUSTMENTS</u>								
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-9.42
09/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-100.00
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-684.42

BROKER COMMISSION TOTALS

Broker Transactions	\$2,077.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-684.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,392.61
Year-to-date Gross	\$100,050.20
Year-to-date Earnings	\$89,209.39

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