

STATEMENT

February 20, 2018

JOHN DAVENPORT
800 Connecticut Ave
Suite E401
Norwalk, CT 06854

BROKER #: 030
BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/05/2018 #	ZIMMERMAN, ALICE	ISHARES CORE S&P MID CAP ETF	S	8,667.11	0.00	19.95	0.00	-19.95	89.0	-17.76
01/05/2018 #	ZIMMERMAN, ALICE	ISHARES TRUST S&P SMALLCAP 600	S	7,735.10	0.00	19.95	0.00	-19.95	89.0	-17.76
01/05/2018 #	ZIMMERMAN, ALICE	SPDR S&P 500 ETF TR	S	9,262.28	0.00	19.95	0.00	-19.95	89.0	-17.76
01/05/2018 #	ZIMMERMAN, ALICE	VANGUARD INTERNATIONAL EQUITY INDEX S	S	4,780.10	0.00	19.95	0.00	-19.95	89.0	-17.76
01/05/2018 #	ZIMMERMAN, ALICE	VANGUARD TAX-MANAGED FD MSCI EAFE E S	S	10,375.88	0.00	19.95	0.00	-19.95	89.0	-17.76
* STOCKS SUBTOTAL *				\$40,820.47	\$0.00	\$99.75	\$0.00	\$-99.75		\$-88.80
PRODUCT CATEGORY: VARIABLE ANNUITIES										
/ /	#306665230	STOWELL, RONNIE	AXA	80,749.23	151.40	0.00	0.00	151.40	89.0	134.75
/ /	#306667830	STOWELL, JAMES	AXA	66,148.27	124.03	0.00	0.00	124.03	89.0	110.39
/ /	#306675375	MANNUCCIA,	AXA	238,771.44	149.23	0.00	0.00	149.23	89.0	132.81
/ /	#306675378	MANNUCCIA, JANET	AXA	206,604.23	129.13	0.00	0.00	129.13	89.0	114.93
/ /	#307666433	GOLDSMITH, PETER	AXA	547,099.52	341.94	0.00	0.00	341.94	89.0	304.33
/ /	#1101059550	WALSH, JOAN	BRIGHTOUSE FINANCIAL	0.00	155.30	0.00	0.00	155.30	89.0	138.22
/ /	#1101415414	MENDLESON, BARRY	BRIGHTOUSE FINANCIAL	0.00	101.27	0.00	0.00	101.27	89.0	90.13
/ /	#1101416008	SCHENA, JAMES	BRIGHTOUSE FINANCIAL	0.00	350.13	0.00	0.00	350.13	89.0	311.62
/ /	#1101416016	MENDLESON, BARRY	BRIGHTOUSE FINANCIAL	0.00	215.25	0.00	0.00	215.25	89.0	191.57
/ /	#1101418806	STARR, DENNIS	BRIGHTOUSE FINANCIAL	0.00	57.80	0.00	0.00	57.80	89.0	51.44
/ /	#1101419457	HALL	BRIGHTOUSE FINANCIAL	0.00	12.27	0.00	0.00	12.27	89.0	10.92
/ /	#1101420760	STARR, DENNIS	BRIGHTOUSE FINANCIAL	0.00	64.42	0.00	0.00	64.42	89.0	57.33
/ /	#1101423368	SCHENA, JAMES	BRIGHTOUSE FINANCIAL	0.00	114.56	0.00	0.00	114.56	89.0	101.96
/ /	#1101510321	CARDUCCI,	BRIGHTOUSE FINANCIAL	0.00	83.86	0.00	0.00	83.86	89.0	74.64
/ /	#1101512913	CARDUCCI,	BRIGHTOUSE FINANCIAL	0.00	54.54	0.00	0.00	54.54	89.0	48.54
/ /	#3202605060	MARX, GEORGE	BRIGHTOUSE FINANCIAL	0.00	421.16	0.00	0.00	421.16	89.0	374.83
12/29/2017	#1201677221	ZIMMERMAN, ALICE	PII NY 01/16	1,814,000.00	126,980.00	0.00	0.00	126,980.00	89.0	113,012.20
12/30/2017	#015952694	SABLE, JESSE	NATIONWIDE 620	0.00	50.54	0.00	0.00	50.54	89.0	44.98
12/30/2017	#015933049	CANTINE, FRANCESCA	NATIONWIDE 620	0.00	50.78	0.00	0.00	50.78	89.0	45.19
12/30/2017	#015933377	CANTINE, EDWARD	NATIONWIDE 620	0.00	327.53	0.00	0.00	327.53	89.0	291.50
12/30/2017	#015942398	GERSON, ARNOLD	NATIONWIDE 620	0.00	125.02	0.00	0.00	125.02	89.0	111.27
12/30/2017	#015944479	GOLDSMITH, PETER	NATIONWIDE 620	0.00	174.45	0.00	0.00	174.45	89.0	155.26
12/31/2017	#954023288	HAFFNER, SAUL	LINCOLN	0.00	37.42	0.00	0.00	37.42	89.0	33.30

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
12/31/2017	#959151917	SCHENA, JAMES	LINCOLN	B	0.00	292.55	0.00	0.00	292.55	89.0 260.37
12/31/2017	#922326161	SMITH, DONALD	LINCOLN	B	0.00	5,422.14	0.00	0.00	5,422.14	89.0 4,825.70
12/31/2017	#924107080	CANTINE, EDWARD	LINCOLN	B	0.00	77.99	0.00	0.00	77.99	89.0 69.41
12/31/2017	#924107213	MENDLESON, BARRY	LINCOLN	B	0.00	792.45	0.00	0.00	792.45	89.0 705.28
12/31/2017	#924114054	GOLDSMITH, PETER	LINCOLN	B	0.00	454.43	0.00	0.00	454.43	89.0 404.44
12/31/2017	#924137413	MENDLESON, BARRY	LINCOLN	B	0.00	380.02	0.00	0.00	380.02	89.0 338.22
12/31/2017	#924137913	STARR, DENNIS	LINCOLN	B	0.00	374.03	0.00	0.00	374.03	89.0 332.89
12/31/2017	#924141947	CANTINE, EDWARD	LINCOLN	B	0.00	136.87	0.00	0.00	136.87	89.0 121.81
12/31/2017	#924144568	SABLE, JESSE	LINCOLN	B	0.00	231.19	0.00	0.00	231.19	89.0 205.76
12/31/2017	#924174104	MENDLESON, BARRY	LINCOLN	B	0.00	743.31	0.00	0.00	743.31	89.0 661.55
12/31/2017	#924174736	GERSON, ARNOLD	LINCOLN	B	0.00	275.49	0.00	0.00	275.49	89.0 245.19
12/31/2017	#924180700	MANNUCCIA,	LINCOLN	B	0.00	176.03	0.00	0.00	176.03	89.0 156.67
12/31/2017	#924301789	MANNUCCIA,	LINCOLN	B	0.00	294.11	0.00	0.00	294.11	89.0 261.76
12/31/2017	#924442681	WALSH, JOAN	LINCOLN	B	0.00	583.53	0.00	0.00	583.53	89.0 519.34
12/31/2017	#924457513	PEARLMAN, PENNY	LINCOLN	B	0.00	1,341.04	0.00	0.00	1,341.04	89.0 1,193.53
12/31/2017	#924457808	MINICUCCI, ROBERT	LINCOLN	B	0.00	332.89	0.00	0.00	332.89	89.0 296.27
12/31/2017	#924479958	MARX, GEORGE	LINCOLN	B	0.00	579.34	0.00	0.00	579.34	89.0 515.61
12/31/2017	#924521967	CARDUCCI, ANN-MARIE	LINCOLN	B	0.00	246.17	0.00	0.00	246.17	89.0 219.09
12/31/2017	#924523382	HANDWERGER, HAROLD	LINCOLN	B	0.00	144.69	0.00	0.00	144.69	89.0 128.77
12/31/2017	#924529277	LOW, RICHARD	LINCOLN	B	0.00	932.46	0.00	0.00	932.46	89.0 829.89
12/31/2017	#924529278	LOW, RICHARD	LINCOLN	B	0.00	872.38	0.00	0.00	872.38	89.0 776.42
12/31/2017	#1010814975	ARTMAN HODGE,	PERSP REWARDS	B	537,457.57	156.76	0.00	0.00	156.76	89.0 139.52
12/31/2017	#1011996341	CARDUCCI,	PERSP REWARDS	B	449,108.79	130.99	0.00	0.00	130.99	89.0 116.58
12/31/2017	#1009751552	HARMAN, JAMES	PERSP REWARDS	B	1,181,695.80	344.66	0.00	0.00	344.66	89.0 306.75
12/31/2017	#1010820258	HODGE, JAMES	PERSP REWARDS	B	333,439.72	97.25	0.00	0.00	97.25	89.0 86.55
12/31/2017	#1010209702	SANDBERG, STUART	PERSP REWARDS	B	214,795.73	62.65	0.00	0.00	62.65	89.0 55.76
12/31/2017	#1011235413	STARR, MICHELE	PERSP REWARDS	B	149,612.28	43.64	0.00	0.00	43.64	89.0 38.84
12/31/2017	#1011501482	YOUNG, ROGER	PERSP REWARDS	B	206,541.65	60.24	0.00	0.00	60.24	89.0 53.61
12/31/2017	#1200275344	CASELS,	PERSP REWARDS	B	569,925.79	118.73	0.00	0.00	118.73	89.0 105.67
12/31/2017	#1200434590	GOLDSMITH, PETER	PERSP REWARDS	B	235,316.31	49.02	0.00	0.00	49.02	89.0 43.63
12/31/2017	#1200286079	SIEGEL, NURIT	PERSP REWARDS	B	320,558.26	66.78	0.00	0.00	66.78	89.0 59.43
12/31/2017	#029017881A	FRAIR, JULE	PRPS II (0505)	B	136,591.12	56.91	0.00	0.00	56.91	89.0 50.65
12/31/2017	#029017936A	FRAIR, JULE	PRPS II 0505 RP	B	17,890.85	7.45	0.00	0.00	7.45	89.0 6.63
01/11/2018	#1201677221	ZIMMERMAN, ALICE	PII NY 01/16	B	47,861.06	3,350.27	0.00	0.00	3,350.27	89.0 2,981.74
01/31/2018	#1010814975	ARTMAN HODGE,	PERSP REWARDS	B	562,381.69	164.03	0.00	0.00	164.03	89.0 145.99
01/31/2018	#1011996341	CARDUCCI,	PERSP REWARDS	B	473,381.02	138.07	0.00	0.00	138.07	89.0 122.88
01/31/2018	#1009751552	HARMAN, JAMES	PERSP REWARDS	B	1,245,560.90	363.29	0.00	0.00	363.29	89.0 323.33
01/31/2018	#1010820258	HODGE, JAMES	PERSP REWARDS	B	348,209.43	101.56	0.00	0.00	101.56	89.0 90.39
01/31/2018	#1010209702	SANDBERG, STUART	PERSP REWARDS	B	226,404.43	66.03	0.00	0.00	66.03	89.0 58.77
01/31/2018	#1011235413	STARR, MICHELE	PERSP REWARDS	B	156,517.91	45.65	0.00	0.00	45.65	89.0 40.63

BROKER COMMISSION TOTALS

Broker Transactions	\$258,482.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-244,290.13
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,422.06
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