



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 15, 2017**

07/12/2017  
 Page No. 1

MARC LINSKY  
 104 B. Centre Blvd  
  
 Marlton, NJ 08053

BROKER #: L134  
 BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	KROSNOWSKI, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.32	0.00	0.32	90.0	0.29
07/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.14	0.00	0.14	90.0	0.13
07/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.14	0.00	0.14	90.0	0.13
07/03/2017	KROSNOWSKI SR, THOMAS	AB GLOBAL RISK ALLOCATION C	0.00	0.34	0.00	0.34	90.0	0.31
07/03/2017	KROSNOWSKI, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.15	0.00	0.15	90.0	0.14
07/03/2017	KROSNOWSKI SR, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.07	0.00	0.07	90.0	0.06
07/03/2017	KROSNOWSKI SR, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.07	0.00	0.07	90.0	0.06
07/03/2017	KROSNOWSKI SR, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.16	0.00	0.16	90.0	0.14
07/03/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	9.00
07/03/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
07/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	4.04	0.00	4.04	90.0	3.64
07/03/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.22	0.00	6.22	90.0	5.60
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.56	0.00	8.56	90.0	7.70
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.36	0.00	0.36	90.0	0.32
06/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
06/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.60	0.00	6.60	90.0	5.94
06/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,875.00</b>	<b>\$64.67</b>	<b>\$0.00</b>	<b>\$64.67</b>		<b>\$58.22</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/30/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-24,006.30	30.00	23.50	6.50	90.0	5.85
<b>* STOCKS SUBTOTAL *</b>			<b>\$-24,006.30</b>	<b>\$30.00</b>	<b>\$23.50</b>	<b>\$6.50</b>		<b>\$5.85</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	116,277.33	290.69	0.00	290.69	90.0	261.62
06/30/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,726.92	94.32	0.00	94.32	90.0	84.89

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	303,201.27	758.00	0.00	758.00	90.0	682.20
06/30/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	73,800.77	184.50	0.00	184.50	90.0	166.05
06/30/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	23,734.62	59.34	0.00	59.34	90.0	53.41
06/30/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	140,756.85	351.89	0.00	351.89	90.0	316.70
06/30/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	49,800.95	155.63	0.00	155.63	90.0	140.07
06/30/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	15,738.88	39.35	0.00	39.35	90.0	35.42
06/30/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	5,918.64	5.92	0.00	5.92	90.0	5.33
07/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
06/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	260,971.18	217.48	0.00	217.48	90.0	195.73
06/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	261,454.00	217.88	0.00	217.88	90.0	196.09
06/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	409,341.38	341.12	0.00	341.12	90.0	307.01
06/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	512,911.71	427.43	0.00	427.43	90.0	384.69
06/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	105,873.52	88.23	0.00	88.23	90.0	79.41
06/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,050.25	2.93	0.00	2.93	90.0	2.64
06/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,158.47	170.97	0.00	170.97	90.0	153.87
06/30/2017	LINSKY, MARC	METLIFE SERIES L	34,272.82	85.68	0.00	85.68	90.0	77.11
06/30/2017	FLYNN, JOHN	METLIFE SERIES L	109,142.71	272.86	0.00	272.86	90.0	245.57
06/30/2017	GARAFOLA, MICHAEL	METLIFE SERIES XC	276,352.54	345.44	0.00	345.44	90.0	310.90
06/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	145.90	0.00	145.90	90.0	131.31
06/30/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	11,084.68	27.71	0.00	27.71	90.0	24.94
06/30/2017	KROSNOWSKI SR, THOMAS	PRU AS APEX II	11,084.68	6.93	0.00	6.93	90.0	6.24
06/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	113,614.76	94.68	0.00	94.68	90.0	85.21
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$3,092,568.93</b>	<b>\$4,405.88</b>	<b>\$0.00</b>	<b>\$4,405.88</b>		<b>\$3,965.31</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/12/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.84	0.00	0.84	90.0	0.76
06/12/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	11.56	0.00	11.56	90.0	10.40
06/21/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	3.66	0.00	3.66	90.0	3.29
06/20/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.60	0.00	3.60	90.0	3.24
06/20/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.59	0.00	7.59	90.0	6.83
06/20/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
06/20/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.72	0.00	1.72	90.0	1.55
06/20/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.41
06/20/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.41

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/20/2017	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	0.95	0.00	0.95	90.0	0.86
06/20/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.95	0.00	0.95	90.0	0.86
06/20/2017	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.78	0.00	0.78	90.0	0.70
06/20/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.60	0.00	3.60	90.0	3.24
06/20/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.86	0.00	2.86	90.0	2.57
06/20/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.36	0.00	1.36	90.0	1.22
06/20/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.68	0.00	0.68	90.0	0.61
06/20/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.62	0.00	2.62	90.0	2.36
06/20/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.49	0.00	13.49	90.0	12.14
06/20/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.45	0.00	1.45	90.0	1.31
06/20/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	0.00	0.84	90.0	0.76
06/20/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.28	0.00	4.28	90.0	3.85
06/20/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.54	0.00	1.54	90.0	1.39
06/20/2017	OLIVETTI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.86	0.00	5.86	90.0	5.27
06/20/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.20	0.00	4.20	90.0	3.78
06/20/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.68	0.00	1.68	90.0	1.51
06/20/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
06/20/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	90.0	0.80
06/20/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.31	0.00	1.31	90.0	1.18
06/20/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	90.0	0.55
06/20/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.99	0.00	0.99	90.0	0.89
06/20/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.60	0.00	0.60	90.0	0.54
06/20/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.54	0.00	2.54	90.0	2.29
06/20/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.48	0.00	2.48	90.0	2.23
06/20/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.08	0.00	5.08	90.0	4.57
06/20/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.16	0.00	5.16	90.0	4.64
06/20/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.42	0.00	1.42	90.0	1.28
06/20/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.50	0.00	8.50	90.0	7.65
06/20/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
06/20/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.88	0.00	0.88	90.0	0.79
06/20/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
06/20/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.50
06/20/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.50

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/20/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.95	0.00	0.95	90.0	0.86
06/20/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.13	0.00	5.13	90.0	4.62
06/20/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	11.94	0.00	11.94	90.0	10.75
06/20/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.63	0.00	4.63	90.0	4.17
06/20/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.44	0.00	4.44	90.0	4.00
06/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.00	0.00	5.00	90.0	4.50
06/20/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.17	0.00	4.17	90.0	3.75
06/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.35	0.00	20.35	90.0	18.32
06/20/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.99	0.00	2.99	90.0	2.69
06/20/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.71	0.00	0.71	90.0	0.64
06/20/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.71	0.00	0.71	90.0	0.64
06/20/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.01	0.00	1.01	90.0	0.91
06/20/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.73	0.00	1.73	90.0	1.56
06/20/2017	OLIVETTI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.39	0.00	5.39	90.0	4.85
06/20/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.36	0.00	1.36	90.0	1.22
06/20/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.08	0.00	3.08	90.0	2.77
06/20/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	90.0	0.48
06/20/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.87	0.00	0.87	90.0	0.78
06/20/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	90.0	0.48
06/21/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.62	0.00	3.62	90.0	3.26
06/27/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.23	0.00	17.23	90.0	15.51
06/27/2017	BOWERS, DORA	BLK HIGH YIELD BOND A	0.00	0.17	0.00	0.17	90.0	0.15
06/27/2017	BOWERS, DORA	BLK INFLATION PROTECTED BOND A	0.00	0.17	0.00	0.17	90.0	0.15
06/21/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.37	0.00	1.37	90.0	1.23
06/21/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.31	0.00	4.31	90.0	3.88
06/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	0.99	0.00	0.99	90.0	0.89
06/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.32	0.00	14.32	90.0	12.89
06/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.34	0.00	7.34	90.0	6.61
06/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.62	0.00	2.62	90.0	2.36
06/13/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.11	0.00	1.11	90.0	1.00
06/13/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.28	0.00	0.28	90.0	0.25
06/13/2017	BOWERS, DORA	COLUMBIA INTERMEDIATE BOND FD A	0.00	0.75	0.00	0.75	90.0	0.68
06/13/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.31	0.00	0.31	90.0	0.28

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/13/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.88	0.00	0.88	90.0	0.79
06/13/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.35	0.00	2.35	90.0	2.12
06/13/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.08	0.00	1.08	90.0	0.97
06/13/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.84	0.00	10.84	90.0	9.76
06/13/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.20	0.00	0.20	90.0	0.18
06/13/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.39	0.00	2.39	90.0	2.15
06/12/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.39	0.00	0.39	90.0	0.35
06/12/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.72	0.00	0.72	90.0	0.65
06/15/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.12	0.00	3.12	90.0	2.81
06/15/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
06/15/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	62.58	0.00	62.58	90.0	56.32
06/15/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.74	0.00	0.74	90.0	0.67
06/15/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	1.02
06/15/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.32	0.00	0.32	90.0	0.29
06/15/2017	BOWERS, DORA	FEDERATED TOTAL RETURN BOND A	0.00	0.68	0.00	0.68	90.0	0.61
06/16/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.78	0.00	7.78	90.0	7.00
06/16/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.72	0.00	8.72	90.0	7.85
06/16/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.53	0.00	1.53	90.0	1.38
06/16/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.97	0.00	5.97	90.0	5.37
06/16/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.27	0.00	0.27	90.0	0.24
06/16/2017	BOWERS, DORA	FIDELITY ADVISOR TOTAL BOND FUND CL	0.00	0.51	0.00	0.51	90.0	0.46
06/16/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.70	0.00	2.70	90.0	2.43
06/13/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.28	0.00	13.28	90.0	11.95
06/13/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.36	0.00	3.36	90.0	3.02
06/13/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.16	0.00	1.16	90.0	1.04
06/13/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.42	0.00	5.42	90.0	4.88
06/13/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.80	0.00	0.80	90.0	0.72
06/13/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.57	0.00	0.57	90.0	0.51
06/13/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.82	0.00	2.82	90.0	2.54
06/19/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	15.05	0.00	15.05	90.0	13.55
06/19/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	5.61	0.00	5.61	90.0	5.05
06/19/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	2.88	0.00	2.88	90.0	2.59
06/19/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.25	0.00	0.25	90.0	0.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/19/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.59	0.00	0.59	90.0	0.53
06/21/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	13.09	0.00	13.09	90.0	11.78
06/21/2017	HALL, GLORIA	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	6.65	0.00	6.65	90.0	5.99
06/21/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.45	0.00	4.45	90.0	4.01
06/16/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.61	0.00	3.61	90.0	3.25
06/16/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	82.54	0.00	82.54	90.0	74.29
06/16/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	39.70	0.00	39.70	90.0	35.73
06/16/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	33.69	0.00	33.69	90.0	30.32
06/16/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	433.80	0.00	433.80	90.0	390.42
06/16/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	10.91	0.00	10.91	90.0	9.82
06/16/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.11	0.00	7.11	90.0	6.40
06/16/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.58	0.00	7.58	90.0	6.82
06/16/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	32.10	0.00	32.10	90.0	28.89
06/16/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	29.96	0.00	29.96	90.0	26.96
06/16/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	10.92	0.00	10.92	90.0	9.83
06/16/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.69	0.00	2.69	90.0	2.42
06/16/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.69	0.00	2.69	90.0	2.42
06/16/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.04	0.00	4.04	90.0	3.64
06/16/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	70.99	0.00	70.99	90.0	63.89
06/16/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	44.27	0.00	44.27	90.0	39.84
06/16/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.37	0.00	20.37	90.0	18.33
06/16/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.00	0.00	14.00	90.0	12.60
06/16/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.38	0.00	20.38	90.0	18.34
06/16/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	0.03	0.00	0.03	90.0	0.03
06/16/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.09	0.00	11.09	90.0	9.98
06/16/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.26	0.00	4.26	90.0	3.83
06/21/2017	APPLEGATE, PAMAEALA	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.28	0.00	0.28	90.0	0.25
06/30/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.85	0.00	3.85	90.0	3.47
06/30/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.85	0.00	3.85	90.0	3.47
06/12/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.03	0.00	1.03	90.0	0.93
06/19/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.17	0.00	0.17	90.0	0.15
06/14/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.61	0.00	0.61	90.0	0.55
06/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.97	0.00	2.97	90.0	2.67

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.07	0.00	2.07	90.0	1.86
06/21/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	0.00	0.46	0.00	0.46	90.0	0.41
06/21/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.76	0.00	2.76	90.0	2.48
06/21/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.01	0.00	8.01	90.0	7.21
06/21/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.61	0.00	2.61	90.0	2.35
06/21/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	115.50	0.00	115.50	90.0	103.95
06/21/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	118.33	0.00	118.33	90.0	106.50
06/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.43	0.00	28.43	90.0	25.59
06/21/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.14	0.00	20.14	90.0	18.13
06/21/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.86	0.00	0.86	90.0	0.77
06/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.12	0.00	5.12	90.0	4.61
06/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.98	0.00	3.98	90.0	3.58
06/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.87	0.00	10.87	90.0	9.78
06/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.90	0.00	5.90	90.0	5.31
06/21/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.17	0.00	6.17	90.0	5.55
06/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.32	0.00	15.32	90.0	13.79
06/21/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
06/21/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.09	0.00	3.09	90.0	2.78
06/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.73	0.00	2.73	90.0	2.46
06/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	1.88	0.00	1.88	90.0	1.69
06/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.50	0.00	1.50	90.0	1.35
06/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.34	0.00	20.34	90.0	18.31
06/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.70	0.00	1.70	90.0	1.53
06/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.75	0.00	2.75	90.0	2.48
06/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.10	0.00	2.10	90.0	1.89
06/21/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.37	0.00	6.37	90.0	5.73
06/21/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	98.70	0.00	98.70	90.0	88.83
06/21/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.72	0.00	11.72	90.0	10.55
06/21/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.64	0.00	6.64	90.0	5.98
06/21/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.39	0.00	6.39	90.0	5.75
06/21/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.97	0.00	12.97	90.0	11.67
06/21/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.38	0.00	11.38	90.0	10.24
06/21/2017	FARBMAN, SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.95	0.00	1.95	90.0	1.76

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/21/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.93	0.00	2.93	90.0	2.64
06/21/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
06/21/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.21	0.00	1.21	90.0	1.09
06/21/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.68	0.00	0.68	90.0	0.61
06/14/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.17	0.00	6.17	90.0	5.55
06/14/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	14.71	0.00	14.71	90.0	13.24
06/14/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.12	0.00	8.12	90.0	7.31
06/14/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	14.60	0.00	14.60	90.0	13.14
06/14/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	14.97	0.00	14.97	90.0	13.47
06/14/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.59
06/14/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.13	0.00	9.13	90.0	8.22
06/14/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.86	0.00	21.86	90.0	19.67
06/14/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	7.71	0.00	7.71	90.0	6.94
06/14/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	18.18	0.00	18.18	90.0	16.36
06/14/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.27	0.00	5.27	90.0	4.74
06/14/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.62	0.00	5.62	90.0	5.06
06/14/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	25.92	0.00	25.92	90.0	23.33
06/14/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	10.62	0.00	10.62	90.0	9.56
06/14/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	8.99	0.00	8.99	90.0	8.09
06/14/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	13.98	0.00	13.98	90.0	12.58
06/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.13	0.00	1.13	90.0	1.02
06/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.97	0.00	0.97	90.0	0.87
06/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.36
06/19/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.59	0.00	0.59	90.0	0.53
06/19/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.34	0.00	2.34	90.0	2.11
06/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.01	0.00	3.01	90.0	2.71
06/19/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.90	0.00	0.90	90.0	0.81
06/19/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	38.09	0.00	38.09	90.0	34.28
06/19/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.68	0.00	2.68	90.0	2.41
06/19/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	1.63
06/15/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	242.31	0.00	242.31	90.0	218.08
06/21/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	12.45	0.00	12.45	90.0	11.21
06/21/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.81	0.00	2.81	90.0	2.53

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/16/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.97	0.00	12.97	90.0	11.67
06/16/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.71	0.00	17.71	90.0	15.94
06/16/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.83	0.00	5.83	90.0	5.25
06/13/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	12.12	0.00	12.12	90.0	10.91
06/13/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.56	0.00	1.56	90.0	1.40
06/13/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.34	0.00	0.34	90.0	0.31
06/13/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.43	0.00	11.43	90.0	10.29
06/30/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.39	0.00	1.39	90.0	1.25
06/22/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.43	0.00	0.43	90.0	0.39
06/22/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.17	0.00	5.17	90.0	4.65
06/15/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	8.72	0.00	8.72	90.0	7.85
06/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.43	0.00	22.43	90.0	20.19
06/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.03	0.00	11.03	90.0	9.93
06/15/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.18	0.00	0.18	90.0	0.16
06/15/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.25	0.00	17.25	90.0	15.53
06/15/2017	BOWERS, DORA	WELLS FARGO INTL BOND A	0.00	0.15	0.00	0.15	90.0	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,499.46	\$0.00	\$2,499.46		\$2,249.59
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2017	LINSKY, VARIOUS	LFG TRAILS	0.00	26,945.17	0.00	26,945.17	90.0	24,250.65
07/05/2017	KROSNOWSKI, LISA	ING PREMIUM PLUS	0.00	60.97	0.00	60.97	90.0	54.87
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$27,006.14	\$0.00	\$27,006.14		\$24,305.52
*** BROKER TRANSACTIONS TOTAL ***			\$3,070,437.63	\$34,006.15	\$23.50	\$33,982.65		\$30,584.49

**SPLIT TRANSACTIONS****BROKER: J30 MARC/MICHAEL LINSKY/FOX #266**

06/20/2017	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
06/20/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.23	0.00	1.23	90.0	1.11
06/20/2017	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.47	0.00	0.47	90.0	0.42
06/20/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	90.0	0.06
06/20/2017	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.31	0.00	1.31	90.0	1.18
06/16/2017	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.64	0.00	0.64	90.0	0.58
06/13/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.11	0.00	0.11	90.0	0.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u></b>								
06/19/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.59	0.00	0.59	90.0	0.53
06/16/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.46	0.00	3.46	90.0	3.11
06/21/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.10	0.00	1.10	90.0	0.99
06/21/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.48	0.00	2.48	90.0	2.23
06/21/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.30	0.00	4.30	90.0	3.87
06/28/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.22	0.00	14.22	90.0	12.80
06/21/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.56	0.00	0.56	90.0	0.50
06/16/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.27	0.00	9.27	90.0	8.34
06/30/2017	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.15	0.00	5.15	90.0	4.64
06/30/2017	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.88	0.00	4.88	90.0	4.39
<b>* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$49.98</b>	<b>\$0.00</b>	<b>\$49.98</b>		<b>\$44.98</b>
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
06/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	90.0	0.19
<b>* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.21</b>	<b>\$0.00</b>	<b>\$0.21</b>		<b>\$0.19</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
06/20/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	90.0	0.05
06/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.34	0.00	1.34	90.0	1.21
06/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.81	0.00	4.81	90.0	4.33
06/21/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.17	0.00	3.17	90.0	2.85
06/21/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.30	0.00	2.30	90.0	2.07
06/19/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.36	0.00	0.36	90.0	0.32
06/19/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.90	0.00	0.90	90.0	0.81
06/19/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.05	0.00	0.05	90.0	0.05
06/19/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	90.0	0.12
<b>* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.12</b>	<b>\$0.00</b>	<b>\$13.12</b>		<b>\$11.81</b>
<b><u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u></b>								
06/20/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.42
06/21/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.85	0.00	2.85	90.0	2.57
06/27/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.45	0.00	2.45	90.0	2.21
06/21/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.87	0.00	0.87	90.0	0.78
06/13/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.41	0.00	3.41	90.0	3.07
06/13/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.44	0.00	1.44	90.0	1.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</b>								
06/12/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.12	0.00	2.12	90.0	1.91
06/12/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	5.92	0.00	5.92	90.0	5.33
06/19/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	6.27	0.00	6.27	90.0	5.64
06/19/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.20	0.00	2.20	90.0	1.98
06/19/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.96	0.00	0.96	90.0	0.86
06/12/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.07	0.00	3.07	90.0	2.76
06/19/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.44	0.00	0.44	90.0	0.40
06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	2,851.51	0.00	2,851.51	90.0	2,566.36
06/26/2017	BOWERS, DORA	METLIFE SHEILD LEVEL SELECTOR EQTY	220,003.68	3,300.06	0.00	3,300.06	90.0	2,970.05
06/19/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.98	0.00	0.98	90.0	0.88
06/28/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.72	0.00	1.72	90.0	1.55
06/15/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.98	0.00	0.98	90.0	0.88
06/15/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.51	0.00	0.51	90.0	0.46
06/15/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.17	0.00	0.17	90.0	0.15
<b>* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *</b>			<b>\$410,104.60</b>	<b>\$6,188.40</b>	<b>\$0.00</b>	<b>\$6,188.40</b>		<b>\$5,569.56</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$410,104.60</b>	<b>\$6,251.71</b>	<b>\$0.00</b>	<b>\$6,251.71</b>		<b>\$5,626.54</b>

**ADJUSTMENTS**

07/15/2017	*EO INS: CURRENT MONTH INS	0.00	-300.00
07/15/2017	*EO INS: CURRENT MONTH INS	0.00	-105.00
07/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-105.00
07/15/2017	*EO INS: N ELIAS	0.00	-105.00
07/15/2017	*FEDERAL EXPRESS: 5-848-78025 6/28/	0.00	-102.19
07/15/2017	*FEDERAL EXPRESS: 5-855-69205 7/5/1	0.00	-50.75
07/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-1.50
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-0.99
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-1.02
07/15/2017	*PERSHING: PROSPECTUS	0.00	-3.10
07/15/2017	*PERSHING: PROSPECTUS	0.00	-0.77
07/15/2017	*TECH: ADMIN - T CASO	0.00	-100.00

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<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
07/15/2017		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
07/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
07/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,684.07

**BROKER COMMISSION TOTALS**

Broker Transactions	\$30,584.49
Split Transactions	\$5,626.54
Override Transactions	\$0.00
Adjustments	\$-1,684.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$34,526.96
Year-to-date Gross	\$460,172.33
Year-to-date Earnings	\$414,912.05

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