

**COMMISSION STATEMENT****May 15, 2018**

JOSEPH, W128 WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 04/25/2018 | BAALS JT TEN, PATRICIA | BR TOTAL INC & REAL ESTATE FUND C | 54,744.34 | 46.49 | 0.00 | 46.49 | 90.0 | 41.84 |
| | | * INTERVAL FUNDS SUBTOTAL * | \$54,744.34 | \$46.49 | \$0.00 | \$46.49 | | \$41.84 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 04/16/2018 | SARRAZIN, MARY | OPPENHEIMER GOLD & SPECIAL MINERALS | 0.00 | 34.14 | 0.00 | 34.14 | 90.0 | 30.73 |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$34.14 | \$0.00 | \$34.14 | | \$30.73 |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$54,744.34 | \$80.63 | \$0.00 | \$80.63 | | \$72.57 |

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

| | | | | | | | | |
|------------|------------------|--|----------|--------|--------|--------|------|--------|
| 04/24/2018 | JOHNSON, ERIC | INA 2022 ENROLLMENT PORT CLASS A | 40.00 | 1.14 | 0.00 | 1.14 | 90.0 | 1.03 |
| 04/30/2018 | PETERSON, BRYAN | INA BOSTON COMPANY DYNAMIC LARGE CA | 25.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.38 |
| 04/30/2018 | HABESHY, BARBARA | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 05/04/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 05/04/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 05/04/2018 | EGLY, KEITH | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00 | 0.83 | 0.00 | 0.83 | 90.0 | 0.75 |
| / / | HABESHY, BARBARA | INA TIPS INDEX PORT CLASS C | 0.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| | | * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | \$265.00 | \$3.11 | \$0.00 | \$3.11 | | \$2.81 |

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

| | | | | | | | | |
|------------|---------------|-----------------------------|--------|------|------|------|------|------|
| 04/30/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 200.00 | 2.63 | 0.00 | 2.63 | 90.0 | 2.37 |
| 04/30/2018 | ROEBEL, DAVID | AM FUNDS 2020 TARGET DATE-A | 138.46 | 1.82 | 0.00 | 1.82 | 90.0 | 1.64 |
| 04/30/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 128.43 | 1.69 | 0.00 | 1.69 | 90.0 | 1.52 |
| 04/30/2018 | RICE, SCOTT | AM FUNDS 2025 TARGET DATE-A | 128.43 | 1.69 | 0.00 | 1.69 | 90.0 | 1.52 |
| 04/30/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 138.46 | 1.82 | 0.00 | 1.82 | 90.0 | 1.64 |
| 04/30/2018 | SCOTT, JAMES | AM FUNDS 2040 TARGET DATE-A | 276.92 | 3.63 | 0.00 | 3.63 | 90.0 | 3.27 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u> | | | | | | | | |
| 04/19/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| 04/19/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 04/19/2018 | COOK, JEANNIE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |
| 04/19/2018 | SORG, DAWN | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 04/19/2018 | SORG, MAURICE | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.44 | 0.00 | 0.44 | 90.0 | 0.40 |
| 04/19/2018 | CRAWFORD, GERALD | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 04/27/2018 | SITES, AMY | AM FUNDS AMERICAN BALANCED A | 125.00 | 2.19 | 0.00 | 2.19 | 90.0 | 1.97 |
| 04/19/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 04/19/2018 | CRAWFORD, JOHN | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 04/19/2018 | CLINE, DAVIS | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 04/19/2018 | BRAUTZSCH, CHRIS | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 04/19/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.21 | 0.00 | 0.21 | 90.0 | 0.19 |
| 05/01/2018 | SHERWOOD, JULIE | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 0.88 | 0.00 | 0.88 | 90.0 | 0.79 |
| 04/19/2018 | BRAUTZSCH, CHRIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 04/19/2018 | CLINE, DAVIS | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 04/30/2018 | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 0.88 | 0.00 | 0.88 | 90.0 | 0.79 |
| 04/30/2018 | RABER, DANIEL | AM FUNDS GROWTH FUND OF AMERICA A | 350.00 | 6.13 | 0.00 | 6.13 | 90.0 | 5.52 |
| 04/19/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 0.19 | 0.00 | 0.19 | 90.0 | 0.17 |
| 04/19/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS INTERMEDIATE BOND FUND OF | 0.00 | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 04/19/2018 | BRAUTZSCH, CHRISTINE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| / / | HABESHY, BARBARA | ASCENSUS INA PIMCO TOTAL RETURN POR | 0.00 | 0.25 | 0.00 | 0.25 | 90.0 | 0.23 |
| 05/04/2018 | LINN, JASON | ASCENSUS INA PIMCO TOTAL RETURN POR | 8.25 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 04/30/2018 | PETERSON, BRYAN | ASCENSUS INA T ROWE PRICE LG CAP GR | 25.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.38 |
| 04/25/2018 | BURTNETTE, PATRICIA | BR TOTAL INC & REAL ESTATE FUND C | 52,996.03 | 15.75 | 0.00 | 15.75 | 90.0 | 14.18 |
| 04/18/2018 | FLECK, KATHIE | FEDERATED KAUFMANN FUND A | 0.00 | 1.28 | 0.00 | 1.28 | 90.0 | 1.15 |
| 04/20/2018 | FLECK, KATHIE | FIDELITY ADV NEW INSIGHTS C | 0.00 | 5.05 | 0.00 | 5.05 | 90.0 | 4.55 |
| 04/19/2018 | FLECK, KATHIE | FIRST EAGLE GLOBAL FUND CL A | 0.00 | 0.19 | 0.00 | 0.19 | 90.0 | 0.17 |
| 04/19/2018 | FLECK, KATHIE | GOLDMAN SACHS SMALL CAP VALUE FUND- | 0.00 | 0.70 | 0.00 | 0.70 | 90.0 | 0.63 |
| / / | SITES, EDWARD | INA COLLEGE PORT CLASS A | 0.00 | 0.57 | 0.00 | 0.57 | 90.0 | 0.51 |
| 05/04/2018 | LINN, JASON | INA INTER EQTY INDEX PORTF A | 8.25 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 05/04/2018 | LINN, JASON | INA LG CAP INDEX PORT CLASS A | 38.50 | 0.64 | 0.00 | 0.64 | 90.0 | 0.58 |
| 05/04/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.38 |
| 05/04/2018 | HINTON, SCOTT | INA LG CAP INDEX PORT CLASS A | 25.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.38 |

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| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209 | | | | | | | | |
| 04/30/2018 | HABESHY, BARBARA | INA T ROWE PRICE LARGE CAP GR CLASS | 2.50 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 04/19/2018 | CRAWFORD, JOHN | INFLATION LINKED BOND FUND A | 0.00 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 04/20/2018 | BROCKMYER, PHYLLIS | PIMCO INCOME FUND C | 0.00 | 1.30 | 0.00 | 1.30 | 90.0 | 1.17 |
| 04/30/2018 | ROBERTSON, RACHEL | TEMPLETON GROWTH C | 0.00 | 5.74 | 0.00 | 5.74 | 90.0 | 5.17 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | | | \$54,714.23 | \$60.01 | \$0.00 | \$60.01 | | \$54.07 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$54,979.23 | \$63.12 | \$0.00 | \$63.12 | | \$56.88 |

ADJUSTMENTS

| | | | | | | | | |
|----------------------------------|----------------------------------|------|---------------|---------------|---------------|---------------|--|------------------|
| 05/15/2018 | *EO INSURANCE: CURRENT MONTH INS | 0.00 | | | | | | -300.00 |
| 05/15/2018 | *LASER APP: CURRENT MONTH | 0.00 | | | | | | -18.00 |
| 05/15/2018 | *TECHNOLOGY: CURRENT MONTH BASIC | 0.00 | | | | | | -180.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-498.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$72.57 |
| Split Transactions | \$56.88 |
| Override Transactions | \$0.00 |
| Adjustments | \$-498.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-349.24 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$-717.79 |
| Year-to-date Gross | \$1,987.93 |
| Year-to-date Earnings | \$1,775.66 |

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