



Concorde Investment Services, LLC

07/16/2018

Page No. 1

**COMMISSION STATEMENT****July 15, 2018**BRENT, L137 LEE  
13423 Kings View LaneBROKER #: L137  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2018	KUHN, KENNETH	JNL PERSPECTIVE L	0.00	216.34	0.00	216.34	90.0	194.71
06/30/2018	KUHN, KRISTINE	JNL PERSPECTIVE L	0.00	116.58	0.00	116.58	90.0	104.92
06/30/2018	FREIH, DIANE	JNL PERSPECTIVE L	0.00	88.44	0.00	88.44	90.0	79.60
06/30/2018	KEMPER, SHERRY	JNL PERSPECTIVE L	0.00	174.63	0.00	174.63	90.0	157.17
06/30/2018	RETZLAFF, SANDRA	JNL PERSPECTIVE L	0.00	32.13	0.00	32.13	90.0	28.92
06/30/2018	GRANT, GREGORY	JNL PERSPECTIVE L	0.00	80.21	0.00	80.21	90.0	72.19
06/30/2018	MONETTE, RONALD	JNL PERSPECTIVE L	0.00	51.09	0.00	51.09	90.0	45.98
06/30/2018	LIESENER, MARTY	JNL PERSPECTIVE L	0.00	36.85	0.00	36.85	90.0	33.17
06/30/2018	BARTINGALE, JAMES	JNL PERSPECTIVE L	0.00	53.11	0.00	53.11	90.0	47.80
06/30/2018	MONETTE, RONALD	JNL PERSPECTIVE L	0.00	174.62	0.00	174.62	90.0	157.16
06/30/2018	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	0.00	55.05	0.00	55.05	90.0	49.55
06/30/2018	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	0.00	15.64	0.00	15.64	90.0	14.08
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,094.69</b>	<b>\$0.00</b>	<b>\$1,094.69</b>		<b>\$985.25</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$1,094.69</b>	<b>\$0.00</b>	<b>\$1,094.69</b>		<b>\$985.25</b>

**SPLIT TRANSACTIONS****BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10**

07/02/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
07/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
07/02/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
07/02/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
07/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
07/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
07/02/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
<b>* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *</b>			<b>\$1,585.00</b>	<b>\$24.17</b>	<b>\$0.00</b>	<b>\$24.17</b>		<b>\$21.76</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u></b>								
06/15/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.23	0.00	0.23	90.0	0.21
06/25/2018	GRANT, KATHLEEN	MOODY NAT'L REIT II INC	50,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			<b>\$50,000.00</b>	<b>\$1,500.23</b>	<b>\$0.00</b>	<b>\$1,500.23</b>		<b>\$1,350.21</b>
<b><u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u></b>								
06/15/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	90.0	0.17
06/15/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/25/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
06/21/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
06/29/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.12	0.00	0.12	90.0	0.11
06/18/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
06/18/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.57	0.00	0.57	90.0	0.51
06/11/2018	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.45	0.00	0.45	90.0	0.41
06/21/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.05	0.00	0.05	90.0	0.05
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			<b>\$0.00</b>	<b>\$1.61</b>	<b>\$0.00</b>	<b>\$1.61</b>		<b>\$1.46</b>
<b><u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u></b>								
07/03/2018	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	14.14	0.00	14.14	90.0	12.73
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			<b>\$0.00</b>	<b>\$14.14</b>	<b>\$0.00</b>	<b>\$14.14</b>		<b>\$12.73</b>
<b><u>BROKER: TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA #337</u></b>								
06/18/2018	LIFFRIG, ZOANNI	FRANKLIN GROWTH OPP A	0.00	0.02	0.00	0.02	90.0	0.02
06/18/2018	LIFFRIG, ZOANNI	FRANKLIN INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
06/18/2018	LIFFRIG, ZOANNI	FRANKLIN RISING DIVIDENDS A	0.00	0.21	0.00	0.21	90.0	0.19
06/18/2018	LIFFRIG, ZOANNI	FT FOUNDING FUNDS ALLOC A	0.00	0.25	0.00	0.25	90.0	0.23
* TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA SUBTOTAL *			<b>\$0.00</b>	<b>\$0.54</b>	<b>\$0.00</b>	<b>\$0.54</b>		<b>\$0.49</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$51,585.00</b>	<b>\$1,540.69</b>	<b>\$0.00</b>	<b>\$1,540.69</b>		<b>\$1,386.65</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
07/15/2018		*CONCIERGE SVC: 218156 GRANT	0.00					-25.00
07/15/2018		*CONCIERGE SVC: 219631 JUMA	0.00					-25.00
07/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.60
07/15/2018		*PERSHING: MANDATORY REVERSE SPLIT PNW120095	0.00					-1.70
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.75
07/15/2018		*RBC: WEALTHSTATION - BUMGARDNER	0.00					-25.00
07/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
07/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-754.05

**BROKER COMMISSION TOTALS**

Broker Transactions	\$985.25
Split Transactions	\$1,386.65
Override Transactions	\$0.00
Adjustments	\$-754.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,617.85
Year-to-date Gross	\$87,490.60
Year-to-date Earnings	\$78,141.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed