

## COMMISSION STATEMENT

April 30, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/12/2018	QGW010423	MEULE, JANET	INTERNATIONAL BUSINESS MACHINES COR B	3,159.20	90.00	0.00	90.00	82.5	74.25
04/18/2018	QGW032013	STROPE, DALE	NUTRIEN LTD REGISTERED SHS ISIN#CA6 B	19,320.00	550.00	0.00	550.00	82.5	453.75
04/16/2018	QGW032021	JONES, HARVEY	JUNIPER NETWORKS B	24,999.30	740.00	0.00	740.00	82.5	610.50
03/28/2018	QGW032047	SHIER, ANDY	AMAZON.COM INC S	-17,175.36	200.00	0.00	200.00	82.5	165.00
04/18/2018	QGW032047	SHIER, ANDY	INTERNATIONAL BUSINESS MACHINES COR B	37,420.00	550.00	0.00	550.00	82.5	453.75
04/20/2018	QGW032054	PAEGLOW, JOSEPH	AMERICAN EXPRESS COMPANY (N.Y) S	-30,000.00	500.00	0.00	500.00	82.5	412.50
04/26/2018	QGW032054	PAEGLOW, JOSEPH	INTUITIVE SURGICAL INC COM B	22,285.20	450.00	0.00	450.00	82.5	371.25
03/29/2018	QGW032104	DAVIDOV, WILLIAM	COMTECH TELECOMMUNICATIONS CORP B	4,530.00	35.00	0.00	35.00	82.5	28.88
03/29/2018	QGW032104	DAVIDOV, WILLIAM	PRESIDIO INC COM S	-2,311.97	20.00	0.00	20.00	82.5	16.50
03/29/2018	QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS S	-2,568.20	20.00	0.00	20.00	82.5	16.50
04/18/2018	QGW032104	DAVIDOV, WILLIAM	FACEBOOK INC CL A S	-5,011.32	30.00	0.00	30.00	82.5	24.75
04/18/2018	QGW032104	DAVIDOV, WILLIAM	GENCO SHIPPING & TRADING LTD SHS IS B	1,681.99	30.00	0.00	30.00	82.5	24.75
04/19/2018	QGW032104	DAVIDOV, WILLIAM	RECRO PHARMA INC COM B	2,362.00	35.00	0.00	35.00	82.5	28.88
04/23/2018	QGW032104	DAVIDOV, WILLIAM	CYPRESS SEMICONDUCTOR CORP B	3,552.98	30.00	0.00	30.00	82.5	24.75
04/23/2018	QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS S	-2,379.00	30.00	0.00	30.00	82.5	24.75
04/26/2018	QGW032104	DAVIDOV, WILLIAM	INTEGRATED DEVICE TECHNOLOGY INC B	3,313.20	35.00	0.00	35.00	82.5	28.88
04/26/2018	QGW032104	DAVIDOV, WILLIAM	KULICKE & SOFFA IND INC S	-3,533.82	35.00	0.00	35.00	82.5	28.88
03/28/2018	QGW032112	CIARLO, ANTHONY	AMAZON.COM INC S	-11,360.00	150.00	0.00	150.00	82.5	123.75
04/02/2018	QGW032120	DAVIDOV, WILLIAM	INTERNATIONAL BUSINESS MACHINES COR B	7,248.00	40.00	0.00	40.00	82.5	33.00
04/03/2018	QGW032120	DAVIDOV, WILLIAM	INTERNATIONAL BUSINESS MACHINES COR S	-7,180.13	80.73	0.00	80.73	82.5	66.60
04/04/2018	QGW032120	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS B	4,572.91	35.00	0.00	35.00	82.5	28.88
04/12/2018	QGW032120	DAVIDOV, WILLIAM	CANADA GOOSE HLDGS INC COM SHS SUB S	-3,465.00	15.00	0.00	15.00	82.5	12.38
04/12/2018	QGW032120	DAVIDOV, WILLIAM	STMICROELECTRONICS NV SHS N Y REGIS B	2,276.73	30.00	0.00	30.00	82.5	24.75
04/17/2018	QGW032120	DAVIDOV, WILLIAM	BOYD GAMING CORP B	3,758.70	40.00	0.00	40.00	82.5	33.00
03/28/2018	QGW032161	JOERS, DAVID	QUALCOMM INC S	-13,785.03	100.00	0.00	100.00	82.5	82.50
03/28/2018	QGW032195	NERY, GENEROSO	AMAZON.COM INC S	-20,104.00	150.00	0.00	150.00	82.5	123.75
03/29/2018	QGW032195	NERY, GENEROSO	FACEBOOK INC CL A B	23,799.00	700.00	0.00	700.00	82.5	577.50
04/26/2018	QGW032195	NERY, GENEROSO	FACEBOOK INC CL A S	-26,133.00	250.00	0.00	250.00	82.5	206.25
04/19/2018	QGW032229	WATSON, ROGER	INTERNATIONAL BUSINESS MACHINES COR B	14,850.00	250.00	0.00	250.00	82.5	206.25
03/28/2018	QGW032237	PETERSON, SHAWN	VALE S A SPONSORED ADR S	-9,688.00	100.00	0.00	100.00	82.5	82.50
04/17/2018	QGW032237	PETERSON, SHAWN	BOYD GAMING CORP B	13,627.96	400.00	0.00	400.00	82.5	330.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
04/24/2018	QGW032237	PETERSON, SHAWN		QUALCOMM INC	B	14,994.00	350.00	0.00	350.00	82.5	288.75
04/25/2018	QGW032336	WESSTROM, LAUREN		FACEBOOK INC CL A	B	1,757.58	30.00	0.00	30.00	82.5	24.75
03/28/2018	QGW032344	DYKSTRA, JAMES		AMAZON.COM INC	S	-17,266.27	200.00	0.00	200.00	82.5	165.00
03/28/2018	QGW032674	ONESTO, RICHARD		AMAZON.COM INC	S	-8,550.00	20.00	0.00	20.00	82.5	16.50
04/05/2018	QGW032674	ONESTO, RICHARD		INTUITIVE SURGICAL INC COM	B	4,077.90	40.00	0.00	40.00	82.5	33.00
04/26/2018	QGW032674	ONESTO, RICHARD		AMAZON.COM INC	B	7,495.00	45.00	0.00	45.00	82.5	37.13
04/26/2018	QGW032674	ONESTO, RICHARD		AT&T INC	S	-6,610.00	35.00	0.00	35.00	82.5	28.88
04/23/2018	QGW032864	FEDYK, PAUL		APPLE INC	B	8,240.00	240.00	0.00	240.00	82.5	198.00
04/26/2018	QGW033128	STRAUGHTER, EDNA		AT&T INC	B	10,032.00	300.00	0.00	300.00	82.5	247.50
04/24/2018	QGW033151	DEROIN, JOHN		MICROSOFT CORP	B	7,560.00	225.00	0.00	225.00	82.5	185.63
04/26/2018	QGW033300	SECCHIANO, EMILIA		AT&T INC	B	6,568.00	150.00	0.00	150.00	82.5	123.75
04/17/2018	QGW033581	RYKEN, MICHAEL		JUNIPER NETWORKS	B	6,091.20	182.00	0.00	182.00	82.5	150.15
04/18/2018	QGW038879	MURDOCK, BRANDI		GSV CAP CORP COM	B	1,460.00	19.00	0.00	19.00	82.5	15.68
04/25/2018	QGW038879	MURDOCK, BRANDI		SNAP INC CLASS A COMMON STOCK	B	1,425.00	19.00	0.00	19.00	82.5	15.68
				* STOCKS SUBTOTAL *		\$75,336.75	\$7,575.73	\$0.00	\$7,575.73		\$6,250.03
PRODUCT CATEGORY: OPTIONS											
04/10/2018	QGW032039	SANDERS, RALPH		CALL 100 INTERNATIONAL BUSINESS MAC S		-730.00	30.00	0.00	30.00	82.5	24.75
04/10/2018	QGW032039	SANDERS, RALPH		CALL 100 STARBUCKS CORP COM EXP 05- S		-875.00	35.00	0.00	35.00	82.5	28.88
04/25/2018	QGW032237	PETERSON, SHAWN		CALL 100 QUALCOMM INC EXP 05-25-18@ S		-405.00	10.00	0.00	10.00	82.5	8.25
04/19/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR B		2,200.00	35.00	0.00	35.00	82.5	28.88
				* OPTIONS SUBTOTAL *		\$190.00	\$110.00	\$0.00	\$110.00		\$90.76
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
04/30/2018		FEE COUNT - 11				0.00	10.11	0.00	10.11	82.5	8.34
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$10.11	\$0.00	\$10.11		\$8.34
				*** BROKER TRANSACTIONS TOTAL ***		\$75,526.75	\$7,695.84	\$0.00	\$7,695.84		\$6,349.13
ADJUSTMENTS											
04/30/2018		FEDEX CHARGE: FEDEX CHARGE - LEWIS				0.00	0.00	0.00	0.00		-8.20
04/30/2018		COMMISSION INCOME: SHARE QGW014458				0.00	0.00	0.00	0.00		75.00
04/30/2018		COMMISSION INCOME: SHARE -QGW003287				0.00	0.00	0.00	0.00		125.00
04/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00		-150.00
04/30/2018		OTHER: OTHER-360 OFFICE				0.00	0.00	0.00	0.00		-12.00
04/30/2018		:				0.00	0.00	0.00	0.00		0.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$29.80

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,349.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$29.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.62
SIPC Assessment	\$-19.24

<b>Check Amount</b>	<b>\$6,350.07</b>
<b>Year-to-date Earnings</b>	<b>\$33,951.37</b>