

**COMMISSION STATEMENT****January 15, 2018**DAVID ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	ARNETT, DANIEL	TD AMERITRADE	33,356.41	1,127.94	0.00	1,127.94	90.0	1,015.15
01/04/2018	BROGAN, BARBARA	TD AMERITRADE	99,319.18	380.95	0.00	380.95	90.0	342.86
01/04/2018	CIPPARRONE, NANCY	TD AMERITRADE	181,583.75	915.38	0.00	915.38	90.0	823.84
01/04/2018	DANEK, BEATA	TD AMERITRADE	409,061.54	1,546.59	0.00	1,546.59	90.0	1,391.93
01/04/2018	DANEK, BEATA	TD AMERITRADE	82,745.04	312.84	0.00	312.84	90.0	281.56
01/04/2018	DELAO, CHRISTINE	TD AMERITRADE	191,195.35	366.68	0.00	366.68	90.0	330.01
01/04/2018	ELLIOTT, REBECCA	TD AMERITRADE	161,160.65	812.43	0.00	812.43	90.0	731.19
01/04/2018	FERN, SARAH	TD AMERITRADE	30,784.79	155.19	0.00	155.19	90.0	139.67
01/04/2018	FRASCH, BRUCE	TD AMERITRADE	219,796.36	1,108.01	0.00	1,108.01	90.0	997.21
01/04/2018	GALE, KEN	TD AMERITRADE	62,008.46	312.59	0.00	312.59	90.0	281.33
01/04/2018	GRANZYK, KATHLEEN	TD AMERITRADE	72,767.42	366.83	0.00	366.83	90.0	330.15
01/04/2018	HUDEC, JOANNE	TD AMERITRADE	55,855.58	281.57	0.00	281.57	90.0	253.41
01/04/2018	KANE, SUSAN	TD AMERITRADE	63,187.99	318.54	0.00	318.54	90.0	286.69
01/04/2018	KEENON, CHARLES	TD AMERITRADE	413,390.54	2,083.94	0.00	2,083.94	90.0	1,875.55
01/04/2018	KOBER, GARY	TD AMERITRADE	110,849.19	558.80	0.00	558.80	90.0	502.92
01/04/2018	KOBER, GARY	TD AMERITRADE	1,584.83	7.99	0.00	7.99	90.0	7.19
01/04/2018	MAY, ROBERT	TD AMERITRADE	269,009.72	1,928.05	0.00	1,928.05	90.0	1,735.25
01/04/2018	MAY, KAREN	TD AMERITRADE	13,295.64	33.51	0.00	33.51	90.0	30.16
01/04/2018	MARTIN, CHARMAINE	TD AMERITRADE	26,990.08	136.06	0.00	136.06	90.0	122.45
01/04/2018	MCCORKLE, JANET	TD AMERITRADE	65,291.31	200.35	0.00	200.35	90.0	180.32
01/04/2018	MUELLER, THOMAS	TD AMERITRADE	37,188.85	187.47	0.00	187.47	90.0	168.72
01/04/2018	POVKOVICH, DOROTHY	TD AMERITRADE	40,874.69	20.16	0.00	20.16	90.0	18.14
01/04/2018	ROESKE, MICHAEL	TD AMERITRADE	199,333.55	1,004.86	0.00	1,004.86	90.0	904.37
01/04/2018	ROESKE, MICHAEL	TD AMERITRADE	97.85	0.49	0.00	0.49	90.0	0.44
01/04/2018	ROST, DAVID	TD AMERITRADE	276,402.34	696.69	0.00	696.69	90.0	627.02
01/04/2018	SHAYKIN, STEVEN	TD AMERITRADE	495.72	2.50	0.00	2.50	90.0	2.25

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	SHAYKIN, STEVEN	TD AMERITRADE	59,206.34	298.46	0.00	298.46	90.0	268.61
01/04/2018	SKOWRON, ALEKSANDER	TD AMERITRADE	31,552.96	119.30	0.00	119.30	90.0	107.37
01/04/2018	SMITHANA, WILLIAM	TD AMERITRADE	118,447.36	697.83	0.00	697.83	90.0	628.05
01/04/2018	SWAFFORD, WILLIAM	TD AMERITRADE	59,600.92	300.45	0.00	300.45	90.0	270.41
01/04/2018	TRIPP, STEVEN	TD AMERITRADE	160,676.96	809.99	0.00	809.99	90.0	728.99
01/04/2018	TRIPP, STEVEN	TD AMERITRADE	65,480.30	330.09	0.00	330.09	90.0	297.08
01/04/2018	VAUGHN, MEREDITH	TD AMERITRADE	14,123.48	0.00	0.00	0.00	90.0	0.00
01/04/2018	VAUGHN, MEREDITH	TD AMERITRADE	81,919.70	44.89	0.00	44.89	90.0	40.40
01/04/2018	VOGL, NICOLE	TD AMERITRADE	153,270.97	772.65	0.00	772.65	90.0	695.39
01/04/2018	VOGL, NICOLE	TD AMERITRADE	77,100.76	388.67	0.00	388.67	90.0	349.80
01/04/2018	WELLMAN, LINDA	TD AMERITRADE	72,940.02	367.70	0.00	367.70	90.0	330.93
01/04/2018	WHEELER, MARSHA	TD AMERITRADE	87,535.85	441.28	0.00	441.28	90.0	397.15
01/04/2018	WOLF, CHRISTY	TD AMERITRADE	38,558.95	194.38	0.00	194.38	90.0	174.94
01/05/2018	ASHLEY, JONATHON	TD AMERITRADE	14,758.43	37.20	0.00	37.20	90.0	33.48
01/05/2018	BABICKY, SHERRY	TD AMERITRADE	202,010.47	1,018.35	0.00	1,018.35	90.0	916.52
01/05/2018	BABICKY, THOMAS	TD AMERITRADE	77,673.60	391.56	0.00	391.56	90.0	352.40
01/05/2018	BABICKY, THOMAS	TD AMERITRADE	55,655.96	580.57	0.00	580.57	90.0	522.51
01/05/2018	BACHAND, JERI	TD AMERITRADE	5,578.06	28.12	0.00	28.12	90.0	25.31
01/05/2018	BAHR, JEFFREY	TD AMERITRADE	41,642.09	104.96	0.00	104.96	90.0	94.46
01/05/2018	BARNES, JUDITH	TD AMERITRADE	98,469.90	496.40	0.00	496.40	90.0	446.76
01/05/2018	BARRETT, JOANNE	TD AMERITRADE	41,401.97	104.36	0.00	104.36	90.0	93.92
01/05/2018	BARRETT, JOANNE	TD AMERITRADE	50,001.12	252.06	0.00	252.06	90.0	226.85
01/05/2018	BECKER JR, JOHN	TD AMERITRADE	25,430.02	865.38	0.00	865.38	90.0	778.84
01/05/2018	BECKER JR, JOHN	TD AMERITRADE	35,094.95	159.23	0.00	159.23	90.0	143.31
01/05/2018	BERNHARDT, ROBERT	TD AMERITRADE	97,947.62	493.76	0.00	493.76	90.0	444.38
01/05/2018	BIRKHOLZ, WILLIAM	TD AMERITRADE	1.04	725.01	0.00	725.01	90.0	652.51
01/05/2018	BIRKHOLZ, WILLIAM	TD AMERITRADE	55,107.90	277.80	0.00	277.80	90.0	250.02
01/05/2018	BIRKHOLZ, GAY	TD AMERITRADE	72,047.14	363.20	0.00	363.20	90.0	326.88
01/05/2018	BODVEN, BRUCE	TD AMERITRADE	68,939.15	697.53	0.00	697.53	90.0	627.78
01/05/2018	BODVEN, BRUCE	TD AMERITRADE	18,711.04	94.32	0.00	94.32	90.0	84.89
01/05/2018	BODVEN, DEBRA	TD AMERITRADE	2,692.80	13.57	0.00	13.57	90.0	12.21
01/05/2018	BOHN, DONALD	TD AMERITRADE	40,503.18	379.18	0.00	379.18	90.0	341.26
01/05/2018	BOHN, DONALD	TD AMERITRADE	82,192.77	414.34	0.00	414.34	90.0	372.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	BOHN, MARY	TD AMERITRADE	35.24	0.18	0.00	0.18	90.0	0.16
01/05/2018	BOHN, MARY	TD AMERITRADE	6,831.27	34.44	0.00	34.44	90.0	31.00
01/05/2018	BOHN, JAMES	TD AMERITRADE	91,750.65	522.52	0.00	522.52	90.0	470.27
01/05/2018	BOHN, JAMES	TD AMERITRADE	2,346.05	11.83	0.00	11.83	90.0	10.65
01/05/2018	BOHN, JAMES	TD AMERITRADE	360.61	1.82	0.00	1.82	90.0	1.64
01/05/2018	BOHN, JAMES	TD AMERITRADE	159,131.04	802.19	0.00	802.19	90.0	721.97
01/05/2018	BOHN, LISA	TD AMERITRADE	553.18	2.79	0.00	2.79	90.0	2.51
01/05/2018	BOLAND, TERRENCE	TD AMERITRADE	94,812.42	777.96	0.00	777.96	90.0	700.16
01/05/2018	BOLAND, TERRENCE	TD AMERITRADE	11,213.32	28.26	0.00	28.26	90.0	25.43
01/05/2018	BOLAND, TERRENCE	TD AMERITRADE	115,016.54	579.81	0.00	579.81	90.0	521.83
01/05/2018	BRUNNER, KENNETH	TD AMERITRADE	175.24	175.00	0.00	175.00	90.0	157.50
01/05/2018	CAPSTRAW, JAN	TD AMERITRADE	10,919.58	41.28	0.00	41.28	90.0	37.15
01/05/2018	CAPSTRAW, RONALD	TD AMERITRADE	10,934.24	41.34	0.00	41.34	90.0	37.21
01/05/2018	CAPSTRAW, RONALD	TD AMERITRADE	43,372.71	163.98	0.00	163.98	90.0	147.58
01/05/2018	CRAWFORD, JEANNE	TD AMERITRADE	7,894.28	29.85	0.00	29.85	90.0	26.87
01/05/2018	CRAWFORD, JEANNE	TD AMERITRADE	34,929.20	132.06	0.00	132.06	90.0	118.85
01/05/2018	CRAWFORD, JEANNE	TD AMERITRADE	6,979.53	26.39	0.00	26.39	90.0	23.75
01/05/2018	CRAWFORD, TIMOTHY	TD AMERITRADE	101,927.22	385.37	0.00	385.37	90.0	346.83
01/05/2018	CRAWFORD, TIMOTHY	TD AMERITRADE	38,730.83	146.43	0.00	146.43	90.0	131.79
01/05/2018	CRAWFORD, TIMOTHY	TD AMERITRADE	30,217.64	114.25	0.00	114.25	90.0	102.83
01/05/2018	DEHAHN, PHIL	TD AMERITRADE	14,851.75	74.87	0.00	74.87	90.0	67.38
01/05/2018	DUCHESNEAU, PHYLLIS	TD AMERITRADE	365,588.18	1,704.74	0.00	1,704.74	90.0	1,534.27
01/05/2018	DUCHESNEAU, PHYLLIS	TD AMERITRADE	117,424.46	547.55	0.00	547.55	90.0	492.80
01/05/2018	DUCZAK, MICHAEL	TD AMERITRADE	2,145.14	10.81	0.00	10.81	90.0	9.73
01/05/2018	DUCZAK, MICHAEL	TD AMERITRADE	76,932.43	387.82	0.00	387.82	90.0	349.04
01/05/2018	DUCZAK, SUSAN	TD AMERITRADE	36,439.49	183.69	0.00	183.69	90.0	165.32
01/05/2018	EBERLE, LINDA	TD AMERITRADE	32,572.64	164.20	0.00	164.20	90.0	147.78
01/05/2018	EBERLE, PHILLIP	TD AMERITRADE	32,259.98	162.63	0.00	162.63	90.0	146.37
01/05/2018	EIFERT, BEVERLY	TD AMERITRADE	56,083.15	282.72	0.00	282.72	90.0	254.45
01/05/2018	ESCHENBAUCH, PAULA	TD AMERITRADE	23.78	0.00	0.00	0.00	90.0	0.00
01/05/2018	ESCHENBAUCH, PAULA	TD AMERITRADE	124,922.00	629.74	0.00	629.74	90.0	566.77
01/05/2018	ESCHENBAUCH, PAULA	TD AMERITRADE	7,029.68	35.44	0.00	35.44	90.0	31.90
01/05/2018	FALBO, DIANE	TD AMERITRADE	88,589.26	323.78	0.00	323.78	90.0	291.40

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	FALBO, LEWIS	TD AMERITRADE	235.13	3,000.86	0.00	3,000.86	90.0	2,700.77
01/05/2018	FALBO, LEWIS	TD AMERITRADE	288,985.70	1,056.18	0.00	1,056.18	90.0	950.56
01/05/2018	FISHER, ARLENE	TD AMERITRADE	28,507.75	143.71	0.00	143.71	90.0	129.34
01/05/2018	FISHER, ARLENE	TD AMERITRADE	4,932.76	24.87	0.00	24.87	90.0	22.38
01/05/2018	FISHER, ARLENE	TD AMERITRADE	124,864.38	629.45	0.00	629.45	90.0	566.51
01/05/2018	FRAID, JEROME	TD AMERITRADE	22,430.29	113.07	0.00	113.07	90.0	101.76
01/05/2018	FRYE, DONNA	TD AMERITRADE	11,258.57	28.38	0.00	28.38	90.0	25.54
01/05/2018	FRYE, RONALD	TD AMERITRADE	11,506.50	29.00	0.00	29.00	90.0	26.10
01/05/2018	GAROFALO, MATTHEW	TD AMERITRADE	3,857.60	19.45	0.00	19.45	90.0	17.51
01/05/2018	GARSKI, JERRY	TD AMERITRADE	5,909.05	29.79	0.00	29.79	90.0	26.81
01/05/2018	GARSKI, JERRY	TD AMERITRADE	9,265.86	46.71	0.00	46.71	90.0	42.04
01/05/2018	GIBSON, ROBERT	TD AMERITRADE	40,513.74	1,352.12	0.00	1,352.12	90.0	1,216.91
01/05/2018	GILBERT, DENNIS	TD AMERITRADE	93,808.44	472.90	0.00	472.90	90.0	425.61
01/05/2018	GILBERT, DENNIS	TD AMERITRADE	2.53	125.01	0.00	125.01	90.0	112.51
01/05/2018	GILBERT, JUDY	TD AMERITRADE	30,662.31	154.57	0.00	154.57	90.0	139.11
01/05/2018	GRECO, ROSE	TD AMERITRADE	12,432.59	62.67	0.00	62.67	90.0	56.40
01/05/2018	GREIG, AMY	TD AMERITRADE	35,396.47	178.44	0.00	178.44	90.0	160.60
01/05/2018	GREIG, AMY	TD AMERITRADE	63,044.42	317.81	0.00	317.81	90.0	286.03
01/05/2018	GREIG, PETER	TD AMERITRADE	9,911.37	49.96	0.00	49.96	90.0	44.96
01/05/2018	GREIG, PETER	TD AMERITRADE	1,134.86	5.72	0.00	5.72	90.0	5.15
01/05/2018	GRIFFITH, SARA	TD AMERITRADE	9,180.66	146.28	0.00	146.28	90.0	131.65
01/05/2018	GRIFFITH, SARA	TD AMERITRADE	20,399.66	102.84	0.00	102.84	90.0	92.56
01/05/2018	GRIFFITH, SARA	TD AMERITRADE	10,509.31	52.98	0.00	52.98	90.0	47.68
01/05/2018	GRIFFITH, CRAIG	TD AMERITRADE	71,563.25	360.76	0.00	360.76	90.0	324.68
01/05/2018	HALL, SUZANNE	TD AMERITRADE	817.58	3.09	0.00	3.09	90.0	2.78
01/05/2018	HALL, SUZANNE	TD AMERITRADE	64.46	0.24	0.00	0.24	90.0	0.22
01/05/2018	HAMILTON, RONALD	TD AMERITRADE	27.75	0.07	0.00	0.07	90.0	0.06
01/05/2018	HAMILTON, RONALD	TD AMERITRADE	250,721.97	631.96	0.00	631.96	90.0	568.76
01/05/2018	HAMILTON, RONALD	TD AMERITRADE	4,432.47	11.17	0.00	11.17	90.0	10.05
01/05/2018	HAMILTON, RONALD	TD AMERITRADE	18,923.42	722.70	0.00	722.70	90.0	650.43
01/05/2018	HARMANN, MICHAEL	TD AMERITRADE	43.44	0.22	0.00	0.22	90.0	0.20
01/05/2018	HARRIS, BILLY	TD AMERITRADE	0.00	400.00	0.00	400.00	90.0	360.00
01/05/2018	HARRIS, BILLY	TD AMERITRADE	5,329.84	26.87	0.00	26.87	90.0	24.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	HARTGERINK, BRETT	TD AMERITRADE	54,084.60	447.65	0.00	447.65	90.0	402.89
01/05/2018	HARTGERINK, BRETT	TD AMERITRADE	19,201.21	96.80	0.00	96.80	90.0	87.12
01/05/2018	HARTGERINK, BRETT	TD AMERITRADE	91,354.42	460.53	0.00	460.53	90.0	414.48
01/05/2018	HARTGERINK, EDWARD	TD AMERITRADE	38,506.38	547.06	0.00	547.06	90.0	492.35
01/05/2018	HARTGERINK, EDWARD	TD AMERITRADE	128,247.36	323.25	0.00	323.25	90.0	290.93
01/05/2018	HARTGERINK, EDWARD	TD AMERITRADE	63,991.95	161.29	0.00	161.29	90.0	145.16
01/05/2018	HARTMAN, EDWARD	TD AMERITRADE	317.72	150.00	0.00	150.00	90.0	135.00
01/05/2018	HELD, LADON	TD AMERITRADE	22,520.98	99.34	0.00	99.34	90.0	89.41
01/05/2018	HELD, LADON	TD AMERITRADE	3,903.49	17.22	0.00	17.22	90.0	15.50
01/05/2018	HELD, RONALD	TD AMERITRADE	33,237.75	146.61	0.00	146.61	90.0	131.95
01/05/2018	HELD, RONALD	TD AMERITRADE	14,137.54	62.36	0.00	62.36	90.0	56.12
01/05/2018	HOOTEN, ROBERT	TD AMERITRADE	9,963.90	50.23	0.00	50.23	90.0	45.21
01/05/2018	HOOTEN, AUDREY	TD AMERITRADE	4,649.44	23.44	0.00	23.44	90.0	21.10
01/05/2018	HORNACEK, JOSEPH	TD AMERITRADE	7,176.94	678.94	0.00	678.94	90.0	611.05
01/05/2018	HORNACEK, JOSEPH	TD AMERITRADE	18,710.44	75.46	0.00	75.46	90.0	67.91
01/05/2018	HORNACEK, JOSEPH	TD AMERITRADE	35,533.38	143.30	0.00	143.30	90.0	128.97
01/05/2018	HOSS, GAIL	TD AMERITRADE	2.03	230.01	0.00	230.01	90.0	207.01
01/05/2018	HOSS, GAIL	TD AMERITRADE	43,008.66	216.81	0.00	216.81	90.0	195.13
01/05/2018	HUDEC, JOANNE	TD AMERITRADE	1.84	400.01	0.00	400.01	90.0	360.01
01/05/2018	HUDEC, JOANNE	TD AMERITRADE	8,760.72	44.16	0.00	44.16	90.0	39.74
01/05/2018	ISENBERG, PAUL	TD AMERITRADE	382,915.67	965.16	0.00	965.16	90.0	868.64
01/05/2018	ISENBERG, JANET	TD AMERITRADE	94,997.19	189.99	0.00	189.99	90.0	170.99
01/05/2018	ISON, ELLEN	TD AMERITRADE	22,114.16	55.74	0.00	55.74	90.0	50.17
01/05/2018	ISON, ELLEN	TD AMERITRADE	1,249.24	3.15	0.00	3.15	90.0	2.84
01/05/2018	ISON, ELLEN	TD AMERITRADE	27,733.64	69.90	0.00	69.90	90.0	62.91
01/05/2018	JARVIS, RICHARD	TD AMERITRADE	39,139.39	552.57	0.00	552.57	90.0	497.31
01/05/2018	JARVIS, RICHARD	TD AMERITRADE	80,270.69	364.19	0.00	364.19	90.0	327.77
01/05/2018	JEANQUART, ROBERT	TD AMERITRADE	231,119.91	1,077.72	0.00	1,077.72	90.0	969.95
01/05/2018	JENSEN, ANITA	TD AMERITRADE	30,935.30	355.95	0.00	355.95	90.0	320.36
01/05/2018	JENSEN, ANITA	TD AMERITRADE	2,475.60	12.48	0.00	12.48	90.0	11.23
01/05/2018	KAEDING, HAROLD	TD AMERITRADE	60,729.35	306.14	0.00	306.14	90.0	275.53
01/05/2018	KAEDING, HAROLD	TD AMERITRADE	317,403.48	1,400.03	0.00	1,400.03	90.0	1,260.03
01/05/2018	KALINSKI, BARBARA	TD AMERITRADE	1,332.69	6.72	0.00	6.72	90.0	6.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	KALINSKI, EDWARD	TD AMERITRADE	3,720.39	168.75	0.00	168.75	90.0	151.88
01/05/2018	KALINSKI, EDWARD	TD AMERITRADE	56,322.33	283.93	0.00	283.93	90.0	255.54
01/05/2018	KALINSKI, EDWARD	TD AMERITRADE	9,032.37	45.53	0.00	45.53	90.0	40.98
01/05/2018	KARKOW, THOMAS	TD AMERITRADE	92,403.23	465.81	0.00	465.81	90.0	419.23
01/05/2018	KASDORF, JOSEPH	TD AMERITRADE	43,290.56	393.23	0.00	393.23	90.0	353.91
01/05/2018	KASDORF, JOSEPH	TD AMERITRADE	14,563.30	73.41	0.00	73.41	90.0	66.07
01/05/2018	KELLOGG, MARIANN	TD AMERITRADE	44,696.33	225.32	0.00	225.32	90.0	202.79
01/05/2018	KELLOGG, MICHAEL	TD AMERITRADE	1.11	700.01	0.00	700.01	90.0	630.01
01/05/2018	KELLOGG, MICHAEL	TD AMERITRADE	17,109.65	86.25	0.00	86.25	90.0	77.63
01/05/2018	KELLOGG, MICHAEL	TD AMERITRADE	11,785.07	59.41	0.00	59.41	90.0	53.47
01/05/2018	KELM, MARY	TD AMERITRADE	142,300.62	717.35	0.00	717.35	90.0	645.62
01/05/2018	KIELPINSKI, ANTHONY	TD AMERITRADE	85,094.71	553.97	0.00	553.97	90.0	498.57
01/05/2018	KLUENDER, KURT	TD AMERITRADE	11,224.51	56.58	0.00	56.58	90.0	50.92
01/05/2018	KLUENDER, KURT	TD AMERITRADE	54,382.74	274.15	0.00	274.15	90.0	246.74
01/05/2018	KLUENDER, MARK	TD AMERITRADE	0.89	175.00	0.00	175.00	90.0	157.50
01/05/2018	KLUENDER, MARK	TD AMERITRADE	31,556.09	159.08	0.00	159.08	90.0	143.17
01/05/2018	KLUENDER, MARK	TD AMERITRADE	5,902.99	29.76	0.00	29.76	90.0	26.78
01/05/2018	KLUENDER, MARK	TD AMERITRADE	0.89	125.00	0.00	125.00	90.0	112.50
01/05/2018	KLUENDER, MARK	TD AMERITRADE	452.54	2.28	0.00	2.28	90.0	2.05
01/05/2018	KOBER, JUDITH	TD AMERITRADE	552.06	2.78	0.00	2.78	90.0	2.50
01/05/2018	KOBER, GARY	TD AMERITRADE	513.27	502.59	0.00	502.59	90.0	452.33
01/05/2018	KONARSKE, GARY	TD AMERITRADE	1.08	500.01	0.00	500.01	90.0	450.01
01/05/2018	KONARSKE, GARY	TD AMERITRADE	55,442.71	258.53	0.00	258.53	90.0	232.68
01/05/2018	KONARSKE, GARY	TD AMERITRADE	12,889.31	15.03	0.00	15.03	90.0	13.53
01/05/2018	KONARSKE, LESLIE	TD AMERITRADE	5,463.65	25.48	0.00	25.48	90.0	22.93
01/05/2018	KRIEDERMANN, ROBERT	TD AMERITRADE	92,803.99	467.83	0.00	467.83	90.0	421.05
01/05/2018	LANDREMAN, THOMAS	TD AMERITRADE	131,257.66	661.68	0.00	661.68	90.0	595.51
01/05/2018	LUCAS, LINDA	TD AMERITRADE	23,628.55	119.11	0.00	119.11	90.0	107.20
01/05/2018	LUCAS, MICHAEL	TD AMERITRADE	15.82	0.08	0.00	0.08	90.0	0.07
01/05/2018	LUCAS, MICHAEL	TD AMERITRADE	27,421.10	138.23	0.00	138.23	90.0	124.41
01/05/2018	LUCAS, MICHAEL	TD AMERITRADE	68,121.43	343.41	0.00	343.41	90.0	309.07
01/05/2018	MARKSON, JEROME	TD AMERITRADE	138.75	200.61	0.00	200.61	90.0	180.55
01/05/2018	MARKSON, JEROME	TD AMERITRADE	3,266.45	14.41	0.00	14.41	90.0	12.97

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	MARKSON, JEROME	TD AMERITRADE	65,203.14	287.61	0.00	287.61	90.0	258.85
01/05/2018	MARKSON, JEROME	TD AMERITRADE	16,950.67	74.77	0.00	74.77	90.0	67.29
01/05/2018	MAYER, DORIS	TD AMERITRADE	7,993.19	40.29	0.00	40.29	90.0	36.26
01/05/2018	MCFADDEN, CORRINE	TD AMERITRADE	101,103.56	509.67	0.00	509.67	90.0	458.70
01/05/2018	MCFADDEN, JAMES	TD AMERITRADE	4,162.77	20.98	0.00	20.98	90.0	18.88
01/05/2018	MCFADDEN, JAMES	TD AMERITRADE	396.62	396.59	0.00	396.59	90.0	356.93
01/05/2018	MILLER, JANICE	TD AMERITRADE	74,466.77	550.39	0.00	550.39	90.0	495.35
01/05/2018	MILLER, JANICE	TD AMERITRADE	33,912.82	170.96	0.00	170.96	90.0	153.86
01/05/2018	MUELLER, ROMAYNE	TD AMERITRADE	8,854.37	44.64	0.00	44.64	90.0	40.18
01/05/2018	MUELLER, THOMAS	TD AMERITRADE	57.90	225.29	0.00	225.29	90.0	202.76
01/05/2018	MYERS, ELLEN	TD AMERITRADE	39,393.19	198.58	0.00	198.58	90.0	178.72
01/05/2018	MYERS, ELLEN	TD AMERITRADE	30,180.97	152.15	0.00	152.15	90.0	136.94
01/05/2018	MYERS, ELLEN	TD AMERITRADE	8,447.87	42.59	0.00	42.59	90.0	38.33
01/05/2018	NICOLAZZI, JOYCE	TD AMERITRADE	51,543.63	384.84	0.00	384.84	90.0	346.36
01/05/2018	NICOLAZZI, JOYCE	TD AMERITRADE	108,887.79	548.91	0.00	548.91	90.0	494.02
01/05/2018	NICOLAZZI, RICHARD	TD AMERITRADE	478.83	1.21	0.00	1.21	90.0	1.09
01/05/2018	NONDORF, DENNIS	TD AMERITRADE	38.98	0.20	0.00	0.20	90.0	0.18
01/05/2018	NONDORF, DENNIS	TD AMERITRADE	39,196.12	197.59	0.00	197.59	90.0	177.83
01/05/2018	OERTEL, SUSAN	TD AMERITRADE	100,594.15	1,007.10	0.00	1,007.10	90.0	906.39
01/05/2018	PAULICK, BERNARD	TD AMERITRADE	1,105.60	305.57	0.00	305.57	90.0	275.01
01/05/2018	PAULICK, BERNARD	TD AMERITRADE	56,408.85	284.36	0.00	284.36	90.0	255.92
01/05/2018	PAULICK, IRENE	TD AMERITRADE	11,464.42	57.79	0.00	57.79	90.0	52.01
01/05/2018	PAULICK, IRENE	TD AMERITRADE	3,597.83	18.14	0.00	18.14	90.0	16.33
01/05/2018	PECKMAN, MARCIA	TD AMERITRADE	52,500.84	264.66	0.00	264.66	90.0	238.19
01/05/2018	PECKMAN, ROGER	TD AMERITRADE	8,785.91	744.29	0.00	744.29	90.0	669.86
01/05/2018	PECKMAN, ROGER	TD AMERITRADE	15,429.54	77.78	0.00	77.78	90.0	70.00
01/05/2018	PERONE, PASQUALE	TD AMERITRADE	52,649.26	745.50	0.00	745.50	90.0	670.95
01/05/2018	PERONE, PASQUALE	TD AMERITRADE	11,226.65	52.35	0.00	52.35	90.0	47.12
01/05/2018	PETERMAN, RANDALL	TD AMERITRADE	160,941.49	750.47	0.00	750.47	90.0	675.42
01/05/2018	PETERMAN, RANDALL	TD AMERITRADE	9,391.74	43.79	0.00	43.79	90.0	39.41
01/05/2018	PETERMAN, THERESA	TD AMERITRADE	18,778.57	87.56	0.00	87.56	90.0	78.80
01/05/2018	PETERMAN, THERESA	TD AMERITRADE	321,634.18	1,499.78	0.00	1,499.78	90.0	1,349.80
01/05/2018	PETERSEN, THOMAS	TD AMERITRADE	104,348.39	526.03	0.00	526.03	90.0	473.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	POVKOVICH, DOROTHY	TD AMERITRADE	33,848.22	320.63	0.00	320.63	90.0	288.57
01/05/2018	POVKOVICH, DOROTHY	TD AMERITRADE	43,132.74	217.44	0.00	217.44	90.0	195.70
01/05/2018	POVKOVICH, DOROTHY	TD AMERITRADE	162,298.49	818.16	0.00	818.16	90.0	736.34
01/05/2018	POVKOVICH, GLENN	TD AMERITRADE	271,022.25	1,366.25	0.00	1,366.25	90.0	1,229.63
01/05/2018	POVKOVICH, GLENN	TD AMERITRADE	4,127.70	20.81	0.00	20.81	90.0	18.73
01/05/2018	RACK, JOAN	TD AMERITRADE	0.00	550.00	0.00	550.00	90.0	495.00
01/05/2018	RACK, JOAN	TD AMERITRADE	3,511.91	4.43	0.00	4.43	90.0	3.99
01/05/2018	REDMOND, LOIS	TD AMERITRADE	7,301.06	36.81	0.00	36.81	90.0	33.13
01/05/2018	REDMOND, LOIS	TD AMERITRADE	51,106.36	257.63	0.00	257.63	90.0	231.87
01/05/2018	REDMOND, LOIS	TD AMERITRADE	19,491.88	98.26	0.00	98.26	90.0	88.43
01/05/2018	ROGERS, WILMA	TD AMERITRADE	1.70	250.01	0.00	250.01	90.0	225.01
01/05/2018	ROGERS, WILMA	TD AMERITRADE	67,295.76	339.24	0.00	339.24	90.0	305.32
01/05/2018	ROSE, PETER	TD AMERITRADE	301,959.30	1,522.21	0.00	1,522.21	90.0	1,369.99
01/05/2018	ROVERUD, JOAN	TD AMERITRADE	80,154.66	377.72	0.00	377.72	90.0	339.95
01/05/2018	ROVERUD, JOAN	TD AMERITRADE	86,459.05	435.85	0.00	435.85	90.0	392.27
01/05/2018	EYNARD, STEPHEN	TD AMERITRADE	385,027.01	253.17	0.00	253.17	90.0	227.85
01/05/2018	EYNARD, STEPHEN	TD AMERITRADE	96,320.55	79.17	0.00	79.17	90.0	71.25
01/05/2018	ROWLANDS, GAYLE	TD AMERITRADE	114,743.74	578.43	0.00	578.43	90.0	520.59
01/05/2018	RUBIN, KENNETH	TD AMERITRADE	79,291.32	399.72	0.00	399.72	90.0	359.75
01/05/2018	RUFFOLO, BARBARA	TD AMERITRADE	43,410.28	218.84	0.00	218.84	90.0	196.96
01/05/2018	RUFFOLO, BENNY	TD AMERITRADE	2,048.90	10.33	0.00	10.33	90.0	9.30
01/05/2018	RUFFOLO, BENNY	TD AMERITRADE	38,719.48	195.19	0.00	195.19	90.0	175.67
01/05/2018	RUGH, BARBARA	TD AMERITRADE	8,489.74	42.80	0.00	42.80	90.0	38.52
01/05/2018	RUGH, BARBARA	TD AMERITRADE	177,193.87	893.25	0.00	893.25	90.0	803.93
01/05/2018	RUGH, DENNIS	TD AMERITRADE	18,923.92	95.40	0.00	95.40	90.0	85.86
01/05/2018	RUSCH, ROGER	TD AMERITRADE	7,278.33	33.94	0.00	33.94	90.0	30.55
01/05/2018	RUSCH, ROGER	TD AMERITRADE	138,080.06	643.87	0.00	643.87	90.0	579.48
01/05/2018	RUSCH, ROGER	TD AMERITRADE	248,583.33	1,159.15	0.00	1,159.15	90.0	1,043.24
01/05/2018	RUSCH, JACOB	TD AMERITRADE	38,256.76	192.86	0.00	192.86	90.0	173.57
01/05/2018	RUSCH, ROGER	TD AMERITRADE	299.31	0.75	0.00	0.75	90.0	0.68
01/05/2018	RUSCH, JOSHUA	TD AMERITRADE	51,309.64	329.33	0.00	329.33	90.0	296.40
01/05/2018	SALVATORE, MARGUERITE	TD AMERITRADE	1,201.49	6.06	0.00	6.06	90.0	5.45
01/05/2018	SCHULDt, CATHERINE	TD AMERITRADE	97,868.07	493.36	0.00	493.36	90.0	444.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	SCHULDT, JAMES	TD AMERITRADE	16,394.67	82.65	0.00	82.65	90.0	74.39
01/05/2018	SLYFIELD, DORIS	TD AMERITRADE	35,827.41	180.61	0.00	180.61	90.0	162.55
01/05/2018	SMITH, BETTY	TD AMERITRADE	42,621.56	214.86	0.00	214.86	90.0	193.37
01/05/2018	SMITH, BETTY	TD AMERITRADE	25,791.93	130.02	0.00	130.02	90.0	117.02
01/05/2018	SMITHANA, MARGARET	TD AMERITRADE	79,156.81	199.52	0.00	199.52	90.0	179.57
01/05/2018	SOENS, JAMES	TD AMERITRADE	770.65	3.88	0.00	3.88	90.0	3.49
01/05/2018	SOENS, JAMES	TD AMERITRADE	8,498.22	42.84	0.00	42.84	90.0	38.56
01/05/2018	SOENS, LYNNE	TD AMERITRADE	14,470.03	72.94	0.00	72.94	90.0	65.65
01/05/2018	SOENS, LYNNE	TD AMERITRADE	3,179.23	16.03	0.00	16.03	90.0	14.43
01/05/2018	SOENS, LYNNE	TD AMERITRADE	9,259.92	271.68	0.00	271.68	90.0	244.51
01/05/2018	SORENSEN SR, ROBERT	TD AMERITRADE	2,207.33	561.13	0.00	561.13	90.0	505.02
01/05/2018	SPERER, MARION	TD AMERITRADE	0.19	50.00	0.00	50.00	90.0	45.00
01/05/2018	SPERER, MARION	TD AMERITRADE	47,823.41	241.08	0.00	241.08	90.0	216.97
01/05/2018	SPERER, MARION	TD AMERITRADE	4,788.33	24.14	0.00	24.14	90.0	21.73
01/05/2018	SUS, SANDRA	TD AMERITRADE	28,799.30	270.18	0.00	270.18	90.0	243.16
01/05/2018	SUS, SANDRA	TD AMERITRADE	96,201.10	484.96	0.00	484.96	90.0	436.46
01/05/2018	SUS, SANDRA	TD AMERITRADE	16,747.68	84.43	0.00	84.43	90.0	75.99
01/05/2018	TASKONIS, RICHARD	TD AMERITRADE	20,605.43	253.87	0.00	253.87	90.0	228.48
01/05/2018	TASKONIS, RICHARD	TD AMERITRADE	81,078.58	408.72	0.00	408.72	90.0	367.85
01/05/2018	THOMPSON, CAROL	TD AMERITRADE	30,761.39	155.07	0.00	155.07	90.0	139.56
01/05/2018	THOMPSON, CAROL	TD AMERITRADE	5,414.82	27.30	0.00	27.30	90.0	24.57
01/05/2018	THOMPSON, MICHAEL	TD AMERITRADE	57,136.22	488.03	0.00	488.03	90.0	439.23
01/05/2018	THOMPSON, MICHAEL	TD AMERITRADE	15,888.58	80.10	0.00	80.10	90.0	72.09
01/05/2018	THOMSON, LYNN	TD AMERITRADE	375.67	1.89	0.00	1.89	90.0	1.70
01/05/2018	THOMSON, RUTH	TD AMERITRADE	5,758.38	29.03	0.00	29.03	90.0	26.13
01/05/2018	THOMSON, RUTH	TD AMERITRADE	17,850.53	89.99	0.00	89.99	90.0	80.99
01/05/2018	TORNER, LYNN	TD AMERITRADE	6,086.95	30.68	0.00	30.68	90.0	27.61
01/05/2018	URBUSH, HELEN	TD AMERITRADE	28,378.70	71.53	0.00	71.53	90.0	64.38
01/05/2018	URBUSH, HELEN	TD AMERITRADE	13,350.77	33.65	0.00	33.65	90.0	30.29
01/05/2018	URBUSH, HELEN	TD AMERITRADE	6,153.91	15.51	0.00	15.51	90.0	13.96
01/05/2018	WAYO, DARLENE	TD AMERITRADE	49,956.81	251.84	0.00	251.84	90.0	226.66
01/05/2018	WELLMAN, JAMES	TD AMERITRADE	30,905.97	155.80	0.00	155.80	90.0	140.22
01/05/2018	WENDT, RENNE	TD AMERITRADE	15,465.85	77.96	0.00	77.96	90.0	70.16

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/05/2018	WENDT, WILLIAM	TD AMERITRADE	45,986.28	231.82	0.00	231.82	90.0	208.64
01/05/2018	WILLIAMS, WARNER	TD AMERITRADE	20.76	0.10	0.00	0.10	90.0	0.09
01/05/2018	ZARBOCK, CYNTHIA	TD AMERITRADE	57,514.96	289.94	0.00	289.94	90.0	260.95
01/05/2018	ZARBOCK, JEFFREY	TD AMERITRADE	10,409.70	52.48	0.00	52.48	90.0	47.23
01/05/2018	ZUPEK, ALBERT	TD AMERITRADE	119,881.67	302.17	0.00	302.17	90.0	271.95
01/05/2018	ZUPEK, ALBERT	TD AMERITRADE	2,876.89	7.25	0.00	7.25	90.0	6.53
01/05/2018	ZUPEK, DENISE	TD AMERITRADE	744,385.03	1,876.26	0.00	1,876.26	90.0	1,688.63
01/05/2018	ZUPEK, STEVEN	TD AMERITRADE	168,580.55	424.92	0.00	424.92	90.0	382.43
* RIA SUBTOTAL *			\$17,238,896.34	\$94,373.76	\$0.00	\$94,373.76		\$84,936.50
*** DIRECT TRANSACTIONS TOTAL ***			\$17,238,896.34	\$94,373.76	\$0.00	\$94,373.76		\$84,936.50

BROKER COMMISSION TOTALS

Broker Transactions	\$84,936.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$84,936.50
Year-to-date Gross	\$94,373.76
Year-to-date Earnings	\$84,936.50

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