



Concorde Investment Services, LLC

02/19/2018

Page No. 1

COMMISSION STATEMENT**February 15, 2018**ROGER, B156 BOWLIN
507 SE Andrews StreetBROKER #: B156
BRANCH: ROGER BOWLIN

Issaquah, WA 98027-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/02/2018	SUBERT, TODD	AM FUNDS GROWTH FUND OF AMERICA	1,000.00	37.50	90.0	33.75	0.00	33.75
		* 529 PLANS SUBTOTAL *	\$1,000.00	\$37.50		\$33.75	\$0.00	\$33.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	NEIGEL, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	5.12	90.0	4.61	0.00	4.61
01/18/2018	HEARN, CAROL	AM FUNDS AMERICAN MUTUAL A	0.00	3.52	90.0	3.17	0.00	3.17
01/18/2018	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.51	90.0	8.56	0.00	8.56
01/18/2018	NEIGEL, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.24	90.0	6.52	0.00	6.52
01/18/2018	LEE, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	90.0	0.05	0.00	0.05
01/18/2018	NEIGEL, DAVID	AM FUNDS NEW ECONOMY A	0.00	3.39	90.0	3.05	0.00	3.05
01/18/2018	NEIGEL, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	4.93	90.0	4.44	0.00	4.44
01/19/2018	MCCAUGHAN, DANIEL	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	4.14	90.0	3.73	0.00	3.73
01/19/2018	SHIELDS, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	10.39	90.0	9.35	0.00	9.35
01/19/2018	DURRANT, BRUCE	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	5.83	90.0	5.25	0.00	5.25
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$54.12		\$48.73	\$0.00	\$48.73
		*** DIRECT TRANSACTIONS TOTAL ***	\$1,000.00	\$91.62		\$82.48	\$0.00	\$82.48

ADJUSTMENTS

02/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
02/15/2018	*ALBRIDGE: LIGHT USER	0.00	-76.00
02/15/2018	*EO INS: CURRENT MONTH INS	0.00	-300.00
02/15/2018	*FINRA: WA INDIVIDUAL REG. FEE -	0.00	-40.00
02/15/2018	*GLOBAL RELAY: WEBSITE	0.00	-15.00
02/15/2018	*LASER APP: CURRENT MONTH	0.00	-18.00
02/15/2018	*MISC: CT CASE 6204564	0.00	716.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
02/15/2018		*MISC: NON-CASH REIMBURSEMENT	0.00					475.50
02/15/2018		*PERSHING: COPY CHECK FEE - WILKE	0.00					-2.50
02/15/2018		*TECHNOLOGY: ADMIN - K FROHARDT	0.00					-60.00
02/15/2018		*TECHNOLOGY: ADMIN - L BOWLIN	0.00					-130.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$363.66	\$0.00	\$363.66

BROKER COMMISSION TOTALS

Broker Transactions	\$82.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$363.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$446.14
Year-to-date Gross	\$36,485.64
Year-to-date Earnings	\$33,488.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed