



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT

February 28, 2017

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.39	0.00	0.39	85.0	0.33
01/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.39	0.00	0.39	85.0	0.33
02/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
02/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
01/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.35	0.00	7.35	85.0	6.25
01/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.16	0.00	1.16	85.0	0.99
02/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
01/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.16	0.00	1.16	85.0	0.99
01/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	10.80	0.00	10.80	85.0	9.18
* MUTUAL FUNDS SUBTOTAL *			\$350.00	\$35.00	\$0.00	\$35.00		\$29.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/14/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
02/22/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
01/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	125,515.33	104.60	0.00	104.60	85.0	88.91
01/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	97,776.95	81.48	0.00	81.48	85.0	69.26
01/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	82,906.15	69.09	0.00	69.09	85.0	58.73
01/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	217,924.32	181.60	0.00	181.60	85.0	154.36
01/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	93,563.42	77.97	0.00	77.97	85.0	66.27
01/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	125,112.68	104.26	0.00	104.26	85.0	88.62
01/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	140,496.28	117.08	0.00	117.08	85.0	99.52
01/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	211,665.04	176.39	0.00	176.39	85.0	149.93
01/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	173,071.27	144.23	0.00	144.23	85.0	122.60
01/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	105,200.70	87.67	0.00	87.67	85.0	74.52
01/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	90,812.84	75.68	0.00	75.68	85.0	64.33
01/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	85,167.26	70.97	0.00	70.97	85.0	60.32
01/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	130,563.98	108.80	0.00	108.80	85.0	92.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	64,093.21	53.41	0.00	53.41	85.0	45.40
01/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	27,813.38	23.18	0.00	23.18	85.0	19.70
01/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	198,764.79	165.64	0.00	165.64	85.0	140.79
01/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,200.80	61.83	0.00	61.83	85.0	52.56
01/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,200.80	61.83	0.00	61.83	85.0	52.56
01/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,200.80	61.83	0.00	61.83	85.0	52.56
01/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	179,470.34	149.56	0.00	149.56	85.0	127.13
01/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	410,215.32	341.85	0.00	341.85	85.0	290.57
01/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	89,798.89	74.83	0.00	74.83	85.0	63.61
01/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	390,216.59	325.18	0.00	325.18	85.0	276.40
01/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	81,729.22	68.11	0.00	68.11	85.0	57.89
01/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	125,990.34	104.99	0.00	104.99	85.0	89.24
01/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	113,784.86	94.82	0.00	94.82	85.0	80.60
01/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,060.72	22.55	0.00	22.55	85.0	19.17
01/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	56,643.92	47.20	0.00	47.20	85.0	40.12
01/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,340.05	30.28	0.00	30.28	85.0	25.74
01/28/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	45,806.85	38.17	0.00	38.17	85.0	32.44
* VARIABLE ANNUITIES SUBTOTAL *			\$3,750,257.10	\$3,133.33	\$0.00	\$3,133.33		\$2,663.35
*** BROKER TRANSACTIONS TOTAL ***			\$3,750,607.10	\$3,168.33	\$0.00	\$3,168.33		\$2,693.10
<u>ADJUSTMENTS</u>								
02/28/2017		*ADVANCE: ADVANCE	0.00					-2,615.82
02/28/2017		*OUT OF CYCLE PROCESSING FEE: 2/28	0.00					-50.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,665.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,693.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,665.82
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$7.15
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$34.43
Year-to-date Gross	\$8,028.31
Year-to-date Earnings	\$6,904.93