



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 30, 2017**

06/29/2017  
 Page No. 1

DANIEL RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/21/2017	DORSO, FRANK	ACACIA DIVERSIFIED HLDGS INC COM	1,800.00	0.00	23.50	-23.50	100.0	-23.50
06/22/2017	MCLAUGHLIN, SHANE	NEW YORK REIT INC COM	-7,795.53	0.00	23.35	-23.35	100.0	-23.35
* STOCKS SUBTOTAL *			\$-5,995.53	\$0.00	\$46.85	\$-46.85		\$-46.85
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/31/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.51	0.00	2.51	100.0	2.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.51	\$0.00	\$2.51		\$2.51
*** BROKER TRANSACTIONS TOTAL ***			\$-5,995.53	\$2.51	\$46.85	\$-44.34		\$-44.34

**SPLIT TRANSACTIONS**

<b><u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u></b>								
06/19/2017	SULLIVAN, MICHAEL	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	1,360.00	0.00	1,360.00	100.0	1,360.00
06/22/2017	SULLIVAN, MICHAEL	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,190.00	0.00	1,190.00	100.0	1,190.00
06/22/2017	SULLIVAN, MICHAEL	INLAND RIVERDALE MULITFAMILY DST	25,000.00	425.00	0.00	425.00	100.0	425.00
06/22/2017	SULLIVAN, MICHAEL	INLAND SELF STORAGE PF IV DST	25,000.00	425.00	0.00	425.00	100.0	425.00
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$150,000.00	\$3,400.00	\$0.00	\$3,400.00		\$3,400.00
<b><u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u></b>								
05/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	24.18	0.00	24.18	100.0	24.18
05/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	25.96	0.00	25.96	100.0	25.96
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$50.14	\$0.00	\$50.14		\$50.14
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
06/27/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	1,002.61	0.00	6.75	-6.75	100.0	-6.75
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$1,002.61	\$0.00	\$6.75	\$-6.75		\$-6.75

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
06/23/2017	VOGLIO, ANNAMARIE	SNAP INC CL A	492.77	0.00	7.86	-7.86	100.0	-7.86
06/23/2017	VOGLIO, ANNAMARIE	SPDR GOLD TR GOLD SHS	597.13	8.75	7.86	0.89	100.0	0.89
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			<b>\$1,089.90</b>	<b>\$8.75</b>	<b>\$15.72</b>	<b>\$-6.97</b>		<b>\$-6.97</b>
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
06/15/2017	BENAVENTE, CAROLYN	NEW YORK REIT INC COM	-4,311.50	12.50	11.37	1.13	100.0	1.13
06/15/2017	BENAVENTE, CAROLYN	OPPENHEIMER GLOBAL STRATEGIC INCOME	-1,630.06	0.00	0.00	0.00	100.0	0.00
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			<b>\$-5,941.56</b>	<b>\$12.50</b>	<b>\$11.37</b>	<b>\$1.13</b>		<b>\$1.13</b>
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
06/12/2017	EMPIRE ELECTRONICS	AXA STRUCT'D CAP STRATEGIES 16 SER	164,000.00	820.00	0.00	820.00	100.0	820.00
06/27/2017	DOLCE, CHARLES	MAINSTAY CUSHING MLP PREMIER FUND C	67,000.00	335.00	11.00	324.00	100.0	324.00
06/27/2017	DOLCE, JENNIFER	MAINSTAY CUSHING MLP PREMIER FUND C	45,000.00	225.00	11.00	214.00	100.0	214.00
06/19/2017	DOLCE, JENNIFER	MET LIFE 10922H114	274,970.87	1,374.85	0.00	1,374.85	100.0	1,374.85
06/19/2017	DOLCE, CHARLES	MET LIFE 10922H114	270,611.55	2,029.59	0.00	2,029.59	100.0	2,029.59
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			<b>\$821,582.42</b>	<b>\$4,784.44</b>	<b>\$22.00</b>	<b>\$4,762.44</b>		<b>\$4,762.44</b>
<b><u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u></b>								
06/16/2017	SEITZ, CRAIG	PREFERRED APT CMNTYS INC COM	-7,872.85	17.00	7.73	9.27	100.0	9.27
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			<b>\$-7,872.85</b>	<b>\$17.00</b>	<b>\$7.73</b>	<b>\$9.27</b>		<b>\$9.27</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
06/15/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,000.05	6.00	3.30	2.70	100.0	2.70
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			<b>\$1,000.05</b>	<b>\$6.00</b>	<b>\$3.30</b>	<b>\$2.70</b>		<b>\$2.70</b>
<b><u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u></b>								
06/09/2017	GUGGINO, HELEN	INLAND RIVERDALE MULITFAMILY DST	159,496.00	5,981.10	0.00	5,981.10	100.0	5,981.10
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			<b>\$159,496.00</b>	<b>\$5,981.10</b>	<b>\$0.00</b>	<b>\$5,981.10</b>		<b>\$5,981.10</b>
<b><u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u></b>								
06/13/2017	KRESLAVSKIY, DIMITRY	GPB WASTE MANAGEMENT LP	140,000.00	2,450.00	0.00	2,450.00	100.0	2,450.00
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			<b>\$140,000.00</b>	<b>\$2,450.00</b>	<b>\$0.00</b>	<b>\$2,450.00</b>		<b>\$2,450.00</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$1,260,356.57</b>	<b>\$16,709.93</b>	<b>\$66.87</b>	<b>\$16,643.06</b>		<b>\$16,643.06</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ALAN LICHTENSTEIN #249</u></b>								
06/21/2017	ALEXANDER, PAULINE	COLUMBIA PPTY TR INC COM NEW	-6,083.76	0.00	22.50	-22.50	75.0	-2.81
06/12/2017	CORDING, JOAN	INLAND SELF STORAGE PF IV DST	83,000.00	3,842.90	0.00	3,842.90	75.0	480.36
06/12/2017	CORDING, JOAN	INLAND ZERO COUPON PHARMACY VI DST	140,941.86	7,047.09	0.00	7,047.09	75.0	880.89
* ALAN LICHTENSTEIN SUBTOTAL *			\$217,858.10	\$10,889.99	\$22.50	\$10,867.49		\$1,358.44
<b><u>BROKER: MARC LINSKY #263</u></b>								
06/15/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	405.90	20.30	22.00	-1.70	90.0	-0.03
06/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
06/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	100.10	5.01	22.00	-16.99	90.0	-0.29
06/15/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	414.40	20.72	22.00	-1.28	90.0	-0.02
06/15/2017	LINSKY, MARC	AM FUNDS ICA A	2,004.10	75.15	22.00	53.15	90.0	0.90
06/15/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	419.40	20.97	22.00	-1.03	90.0	-0.02
06/15/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,023.56	75.88	22.00	53.88	90.0	0.92
06/16/2017	ROSENBLUM, LUCILLE	AMAZON COM INC	26,918.16	30.00	22.50	7.50	90.0	0.13
06/14/2017	APPLEBAUM, ALVIN	ENERGY TRANSFER EQUITY LP COM UNITS	-31,420.00	29.75	25.00	4.75	90.0	0.08
06/20/2017	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	90,026.46	900.26	22.00	878.26	90.0	14.93
06/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	225.75	2.26	22.00	-19.74	90.0	-0.34
06/07/2017	MCLEAN, WAYNE	LINCOLN FINANCIAL DCA FIXED	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
06/07/2017	MURRY, YVONNE	METLIFE SHEILD LEVEL SELECTOR EQTY	150,000.00	4,500.00	0.00	4,500.00	90.0	76.50
06/12/2017	VALEK, JOHN	METLIFE SHEILD LEVEL SELECTOR EQTY	71,988.27	2,159.65	0.00	2,159.65	90.0	36.71
06/15/2017	VALEK, JOHN	METLIFE SHEILD LEVEL SELECTOR EQTY	118,082.45	3,542.47	0.00	3,542.47	90.0	60.22
06/05/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	81.85	0.00	81.85	90.0	1.39
06/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	217.05	0.00	217.05	90.0	3.69
06/12/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	54.24	0.00	54.24	90.0	0.92
06/16/2017	ROSENBLUM, LUCILLE	TIME INC NEW COM	-458.15	22.00	22.50	-0.50	90.0	-0.01
06/16/2017	ROSENBLUM, LUCILLE	TIME WARNER INC NEW COM NEW	-27,022.91	30.00	22.50	7.50	90.0	0.13
06/15/2017	PERETTI, FRANCES	VANGUARD NJ LONG-TERM TAX-EXEMPT FU	250.00	0.00	22.00	-22.00	90.0	-0.37
* MARC LINSKY SUBTOTAL *			\$504,182.49	\$18,798.81	\$290.50	\$18,508.31		\$314.63
<b><u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u></b>								
06/23/2017	DILLON, ROBERT	BIODELIVERY SCIENCES INTL INC COM	20,300.00	203.00	27.00	176.00	90.0	5.98
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$20,300.00	\$203.00	\$27.00	\$176.00		\$5.98
*** OVERRIDE TRANSACTIONS TOTAL ***			\$742,340.59	\$29,891.80	\$340.00	\$29,551.80		\$1,679.05

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<u>ADJUSTMENTS</u>								
06/30/2017		*FEDERAL EXPRESS: 5-833-77789 6/14/	0.00					-9.19
06/30/2017		*FEDERAL EXPRESS: 5-841-67393 6/21/	0.00					-71.35
06/30/2017		*FEDERAL EXPRESS: MONTHLY ALLOW 55%	0.00					550.00
06/30/2017		*MISC: 6/15 OVERNIGHT FEE	0.00					-20.00
06/30/2017		*MISC: C FULMER, A LEEDS K141	0.00					540.00
06/30/2017		*MISC: C FULMER, A LEEDS C141	0.00					540.00
06/30/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
06/30/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
06/30/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					91.25
06/30/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					53.82
06/30/2017		*OVERRIDE: OVERRIDE-LINSKY	0.00					314.64
06/30/2017		*OVERRIDE: SPLITS-CIRELLI	0.00					456.18
06/30/2017		*OVERRIDE: SPLITS-KIESNOSKI	0.00					322.89
06/30/2017		*PERSHING: MARK UPS	0.00					60.00
06/30/2017		*PERSHING: MARK UPS	0.00					7.50
06/30/2017		*PERSHING: MARK UPS	0.00					21.00
06/30/2017		*PERSHING: MARK UPS	0.00					15.00
06/30/2017		*PERSHING: MARK UPS	0.00					30.00
06/30/2017		*PERSHING: MARK UPS	0.00					10.20
06/30/2017		*PERSHING: MARK UPS	0.00					4.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$5,368.44

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$-44.34
Split Transactions	\$16,643.06
Override Transactions	\$1,679.05
Adjustments	\$5,368.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$23,646.21
Year-to-date Gross	\$545,365.63
Year-to-date Earnings	\$557,799.99