

STATEMENT

May 20, 2018

JOHN PINEMAN - LPCM

BROKER #: 3189

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for JOHN PINEMAN - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/06/2018	# SWAHN, CHRISTOPHER	IAFEES	250.35	-203.62	95.0	0.00	-193.44	0.00	-193.44
04/16/2018	# AMENT, LAURA	IAFEES	-261.77	167.53	95.0	0.00	159.15	0.00	159.15
04/16/2018	# SWAHN, GERI	IAFEES	-184.95	129.47	95.0	0.00	123.00	0.00	123.00
04/16/2018	# NEIDERHISER, BRENDA	IAFEES	-159.83	104.51	95.0	0.00	99.28	0.00	99.28
04/16/2018	# SWAHN, CHRISTOPHER	IAFEES	-252.33	176.63	95.0	0.00	167.80	0.00	167.80
04/16/2018	# GARLOW, DONALD	IAFEES	-146.16	93.55	95.0	0.00	88.87	0.00	88.87
04/16/2018	# HAMM, WYNN	IAFEES	-192.70	123.32	95.0	0.00	117.15	0.00	117.15
04/16/2018	# STUBBS, CARRIE	IAFEES	-496.23	357.28	95.0	0.00	339.42	0.00	339.42
04/16/2018	# SWAHN, CHRISTOPHER	IAFEES	-1,198.57	974.84	95.0	0.00	926.10	0.00	926.10
04/16/2018	# SWAHN, CHRISTOPHER	IAFEES	-1,543.69	1,255.54	95.0	0.00	1,192.76	0.00	1,192.76
04/16/2018	# STUBBS, CARRIE	IAFEES	-603.79	462.91	95.0	0.00	439.76	0.00	439.76
04/16/2018	# GARLOW, CAROL	IAFEES	-142.26	91.05	95.0	0.00	86.50	0.00	86.50
04/16/2018	# BAIR, TODD	IAFEES	-0.34	0.21	95.0	0.00	0.20	0.00	0.20
04/16/2018	# SAROKIN, WILLIAM	IAFEES	-515.02	334.76	95.0	0.00	318.02	0.00	318.02
* MUTUAL FUNDS SUBTOTAL *			\$-5,447.29	\$4,067.98		\$0.00	\$3,864.57	\$0.00	\$3,864.57
<u>PRODUCT CATEGORY: RIA</u>									
/ /	#514089 TCA PINEMAN VAR	LPCM CONS 20/20/60	151,283.54	126.07	100.0	0.00	126.07	0.00	126.07
/ /	#514124 TCA PINEMAN VAR	LPCM CONS 20/20/60	33,816.13	70.45	100.0	0.00	70.45	0.00	70.45
/ /	#514204 TCA PINEMAN VAR	LPCM CONS 20/20/60	67,562.77	56.30	100.0	0.00	56.30	0.00	56.30
04/01/2018	#87701784 PRILL, DANNA	ENVESTNET .	0.00	714.39	95.0	0.00	678.67	0.00	678.67
04/01/2018	#87701783 PRILL, JOSEPH	ENVESTNET .	0.00	403.38	95.0	0.00	383.21	0.00	383.21
05/01/2018	#514089 TCA PINEMAN VAR	LPCM CONS 20/20/60	151,283.54	-75.64	100.0	0.00	-75.64	0.00	-75.64
05/01/2018	#514124 TCA PINEMAN VAR	LPCM CONS 20/20/60	33,816.13	-16.90	100.0	0.00	-16.90	0.00	-16.90
05/01/2018	#514204 TCA PINEMAN VAR	LPCM CONS 20/20/60	67,562.77	-33.78	100.0	0.00	-33.78	0.00	-33.78
* RIA SUBTOTAL *			\$505,324.88	\$1,244.27		\$0.00	\$1,188.38	\$0.00	\$1,188.38
*** BROKER TRANSACTIONS TOTAL ***			\$499,877.59	\$5,312.25		\$0.00	\$5,052.95	\$0.00	\$5,052.95

