



Concorde Investment Services, LLC

01/27/2017  
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# COMMISSION STATEMENT

January 31, 2017

THOMAS HALSEY  
7261 W. Central Ave.  
  
Toledo, OH 43617

BROKER #: H121  
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/31/2016	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.38	0.00	0.38	85.0	0.32
12/31/2016	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.38	0.00	0.38	85.0	0.32
01/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
01/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
12/31/2016	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.18	0.00	7.18	85.0	6.10
12/31/2016	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	0.89	0.00	0.89	85.0	0.76
01/17/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
12/31/2016	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	0.89	0.00	0.89	85.0	0.76
12/31/2016	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	10.64	0.00	10.64	85.0	9.04
01/17/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
01/12/2017	DESELMs, RAYMOND	FRANKLIN MI TAX FR INCOME A	4,000.00	80.00	0.00	80.00	85.0	68.00
01/05/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.49	0.00	0.49	85.0	0.42
01/05/2017	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.47	0.00	0.47	85.0	0.40
01/05/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	2.69	0.00	2.69	85.0	2.29
01/05/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.58	0.00	0.58	85.0	0.49
01/05/2017	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.58	0.00	0.58	85.0	0.49
01/05/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	4.54	0.00	4.54	85.0	3.86
01/03/2017	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	7.11	0.00	7.11	85.0	6.04
01/06/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPUS C	0.00	4.10	0.00	4.10	85.0	3.49
01/06/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPUS C	0.00	7.39	0.00	7.39	85.0	6.28
01/04/2017	FRANTZ, JUDITH	PIONEER CULLEN VALUE FUND A	0.00	14.74	0.00	14.74	85.0	12.53
01/04/2017	FRANTZ, JUDITH	PIONEER EMERGING MARKETS A	0.00	3.58	0.00	3.58	85.0	3.04
01/04/2017	FRANTZ, JUDITH	PIONEER EQUITY INCOME A	0.00	29.34	0.00	29.34	85.0	24.94
01/04/2017	FRANTZ, JUDITH	PIONEER FUND A	0.00	3.46	0.00	3.46	85.0	2.94
01/04/2017	FRANTZ, JUDITH	PIONEER GROWTH OPPORTUNITIES A	0.00	3.63	0.00	3.63	85.0	3.09
01/04/2017	FRANTZ, JUDITH	PIONEER VALUE A	0.00	28.05	0.00	28.05	85.0	23.84

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
		* MUTUAL FUNDS SUBTOTAL *	\$4,650.00	\$236.11	\$0.00	\$236.11		\$200.68
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/29/2016	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
01/17/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
01/23/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
		* VARIABLE ANNUITIES SUBTOTAL *	\$250.00	\$13.75	\$0.00	\$13.75		\$11.70
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	HALSEY, VARIOUS	TOUCHSTONE SECURITIES VA	0.00	24.36	0.00	24.36	85.0	20.71
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$24.36	\$0.00	\$24.36		\$20.71
		*** BROKER TRANSACTIONS TOTAL ***	\$4,900.00	\$274.22	\$0.00	\$274.22		\$233.09

**BROKER COMMISSION TOTALS**

Broker Transactions	\$233.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$233.09
Year-to-date Gross	\$4,175.13
Year-to-date Earnings	\$3,629.68

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed