



Concorde Investment Services, LLC

05/11/2017
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COMMISSION STATEMENT May 15, 2017

WILLIAM WALSH
2565 West Maple

BROKER #: W127
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	9.27	0.00	9.27	87.0	8.06
04/11/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.64	0.00	6.64	87.0	5.78
04/11/2017	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.22	0.00	2.22	87.0	1.93
04/11/2017	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.02	0.00	4.02	87.0	3.50
04/11/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.55	0.00	1.55	87.0	1.35
04/11/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.10	0.00	1.10	87.0	0.96
04/11/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	10.64	0.00	10.64	87.0	9.26
04/11/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	0.22	0.00	0.22	87.0	0.19
04/11/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	42.02	0.00	42.02	87.0	36.56
04/11/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	87.0	0.09
04/11/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	87.0	0.93
04/11/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.23	0.00	13.23	87.0	11.51
04/11/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	32.89	0.00	32.89	87.0	28.61
04/11/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	74.98	0.00	74.98	87.0	65.23
04/11/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.81	0.00	13.81	87.0	12.01
04/11/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.64	0.00	12.64	87.0	11.00
04/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	43.96	0.00	43.96	87.0	38.25
04/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.94	0.00	14.94	87.0	13.00
04/11/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.06	0.00	4.06	87.0	3.53
04/11/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.36	0.00	0.36	87.0	0.31
04/11/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.34	0.00	4.34	87.0	3.78
04/11/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.81	0.00	4.81	87.0	4.18
04/17/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	26.72	0.00	26.72	87.0	23.25
04/11/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.04	0.00	1.04	87.0	0.90
04/11/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	12.29	0.00	12.29	87.0	10.69
04/11/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.01	0.00	1.01	87.0	0.88

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/11/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.69	0.00	9.69	87.0	8.43
04/11/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.22	0.00	1.22	87.0	1.06
04/11/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	13.24	0.00	13.24	87.0	11.52
04/11/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.30	0.00	2.30	87.0	2.00
04/11/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	12.68	0.00	12.68	87.0	11.03
04/11/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	19.86	0.00	19.86	87.0	17.28
04/11/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.73	0.00	1.73	87.0	1.51
04/11/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	6.84	0.00	6.84	87.0	5.95
04/11/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	127.45	0.00	127.45	87.0	110.88
04/11/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	7.78	0.00	7.78	87.0	6.77
04/11/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.03	0.00	1.03	87.0	0.90
04/11/2017	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	1.38	0.00	1.38	87.0	1.20
04/11/2017	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	80.11	0.00	80.11	87.0	69.70
04/11/2017	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	33.31	0.00	33.31	87.0	28.98
04/11/2017	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	31.80	0.00	31.80	87.0	27.67
04/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.52	0.00	4.52	87.0	3.93
04/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.43	0.00	5.43	87.0	4.72
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
04/11/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.78	0.00	1.78	87.0	1.55
04/11/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	9.72	0.00	9.72	87.0	8.46
04/11/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.42	0.00	0.42	87.0	0.37
04/11/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	24.83	0.00	24.83	87.0	21.60
04/11/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	50.83	0.00	50.83	87.0	44.22
04/11/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	9.87	0.00	9.87	87.0	8.59
04/11/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	9.95	0.00	9.95	87.0	8.66
04/11/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.03	0.00	1.03	87.0	0.90
04/11/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.61	0.00	1.61	87.0	1.40
04/11/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.99	0.00	14.99	87.0	13.04
04/11/2017	APPLECON, LLC	PIMCO ALL ASSET A	0.00	480.86	0.00	480.86	87.0	418.35
04/11/2017	YOUNG PROFIT SHARING, RICK	PIMCO ALL ASSET A	0.00	29.32	0.00	29.32	87.0	25.51
04/11/2017	YOUNG-CASH BALANCE, RICK	PIMCO ALL ASSET A	0.00	146.62	0.00	146.62	87.0	127.56
04/11/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.28	0.00	0.28	87.0	0.24
04/11/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	5.55	0.00	5.55	87.0	4.83

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/11/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	6.74	0.00	6.74	87.0	5.86
04/11/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	8.22	0.00	8.22	87.0	7.15
04/11/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	12.73	0.00	12.73	87.0	11.08
04/11/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.46	0.00	0.46	87.0	0.40
04/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
04/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.46	0.00	0.46	87.0	0.40
04/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.11	0.00	1.11	87.0	0.97
04/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	87.0	0.19
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,518.12	\$0.00	\$1,518.12		\$1,320.79
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/02/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,660,977.81	765.49	0.00	765.49	87.0	665.98
05/02/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,247,671.99	512.74	0.00	512.74	87.0	446.08
05/02/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	877,536.45	360.63	0.00	360.63	87.0	313.75
05/02/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	407,198.07	167.34	0.00	167.34	87.0	145.59
05/02/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	521,910.08	214.48	0.00	214.48	87.0	186.60
05/02/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	338,254.61	139.01	0.00	139.01	87.0	120.94
05/02/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,235,255.91	507.64	0.00	507.64	87.0	441.65
05/02/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	264,357.49	108.64	0.00	108.64	87.0	94.52
05/02/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,680,285.22	690.53	0.00	690.53	87.0	600.76
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	904,100.59	185.77	0.00	185.77	87.0	161.62
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,060,947.99	109.00	0.00	109.00	87.0	94.83
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,101,585.57	215.92	0.00	215.92	87.0	187.85
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,755,973.64	566.30	0.00	566.30	87.0	492.68
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,558,850.37	320.31	0.00	320.31	87.0	278.67
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	537,618.56	110.47	0.00	110.47	87.0	96.11
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	31,940.68	6.56	0.00	6.56	87.0	5.71
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,232.28	2.92	0.00	2.92	87.0	2.54
/ /	COMMUNITY HOUSE	NWL POOLED FXD	213.04	0.04	0.00	0.04	87.0	0.03
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	450,038.24	110.97	0.00	110.97	87.0	96.54
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	46,373.90	11.43	0.00	11.43	87.0	9.94
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	96,844.15	19.90	0.00	19.90	87.0	17.31
04/17/2017	SENGSTOCK, FRANK	PAC LIFE PACIFIC CHOICE	0.00	802.67	0.00	802.67	87.0	698.32
04/30/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	577.60	0.00	577.60	87.0	502.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	62.32	0.00	62.32	87.0	54.22
* VARIABLE ANNUITIES SUBTOTAL *			\$18,792,166.64	\$6,568.68	\$0.00	\$6,568.68		\$5,714.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	48.26	0.00	48.26	87.0	41.99
04/25/2017	BROOKS, JAMES F & MARGE	PAC LIFE INNOVATIVE SELECT	0.00	648.48	0.00	648.48	87.0	564.18
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$696.74	\$0.00	\$696.74		\$606.17
*** BROKER TRANSACTIONS TOTAL ***			\$18,792,166.64	\$8,783.54	\$0.00	\$8,783.54		\$7,641.71

ADJUSTMENTS

05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*RBC: ADMIN	0.00					-25.00
05/15/2017		*RBC: WRITE OFF DB BAL(S)	0.00					-69.87
05/15/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-769.87

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BROKER COMMISSION TOTALS

Broker Transactions	\$7,641.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-769.87
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,871.84
Year-to-date Gross	\$60,915.91
Year-to-date Earnings	\$52,459.35