



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: BUM
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^06/23/2017	RABETZ, WILLIAM	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/10/2017	DEGREGORIO, JOHN	HAUPPAUGE DIGITAL INC COM	3.50	0.00	22.50	-22.50	100.0	0.00
		* STOCKS SUBTOTAL *	\$3.50	\$0.00	\$22.50	\$-22.50		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/08/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.72	0.00	3.72	100.0	0.00
^06/08/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.63	0.00	1.63	100.0	0.00
^06/08/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.98	0.00	3.98	100.0	0.00
^06/13/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.10	0.00	2.10	100.0	0.00
^06/13/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.41	0.00	8.41	100.0	0.00
^06/13/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	5.02	0.00	5.02	100.0	0.00
^06/16/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.95	0.00	1.95	100.0	0.00
^06/16/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.21	0.00	3.21	100.0	0.00
^06/14/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.29	0.00	1.29	100.0	0.00
^06/21/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.96	0.00	1.96	100.0	0.00
^06/21/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.08	0.00	1.08	100.0	0.00
^06/21/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.95	0.00	2.95	100.0	0.00
^06/21/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	100.0	0.00
^06/21/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.30	0.00	2.30	100.0	0.00
^06/21/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.48	0.00	1.48	100.0	0.00
^06/21/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.83	0.00	0.83	100.0	0.00
^06/21/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.84	0.00	1.84	100.0	0.00
^06/16/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	21.19	0.00	21.19	100.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$65.32	\$0.00	\$65.32		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		*** BROKER TRANSACTIONS TOTAL ***	\$50,003.50	\$3,565.32	\$22.50	\$3,542.82		\$0.00

<u>ADJUSTMENTS</u>								
07/15/2017		*PERSHING: MARK UPS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed