



Concorde Investment Services, LLC

06/13/2017

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## COMMISSION STATEMENT

June 15, 2017

ROBERT THOMPSON  
7261 W. Central Ave.

BROKER #: T118  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	RINGGER, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	6.57	0.00	6.57	90.0	5.91
06/01/2017	MOORE, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	11.39	0.00	11.39	90.0	10.25
06/01/2017	NEITZ, DONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	3.19	0.00	3.19	90.0	2.87
06/01/2017	SCHUMACHER, ROSEMARY	AM FUNDS BOND FUND OF AMERICA A	0.00	5.13	0.00	5.13	90.0	4.62
06/01/2017	MAXFIELD, RICHARD	AM FUNDS BOND FUND OF AMERICA A	0.00	18.52	0.00	18.52	90.0	16.67
06/01/2017	PENNINGTON, STEVE	AM FUNDS BOND FUND OF AMERICA A	0.00	12.39	0.00	12.39	90.0	11.15
06/01/2017	SHAFFER TRUST, EMILY	AM FUNDS BOND FUND OF AMERICA A	0.00	14.51	0.00	14.51	90.0	13.06
06/01/2017	GRAHAM, JUDY	AM FUNDS BOND FUND OF AMERICA A	0.00	7.06	0.00	7.06	90.0	6.35
06/01/2017	DAVIS, HOWARD	AM FUNDS BOND FUND OF AMERICA A	0.00	6.59	0.00	6.59	90.0	5.93
06/01/2017	MICHALSKI, PAIGE	AM FUNDS BOND FUND OF AMERICA A	0.00	7.76	0.00	7.76	90.0	6.98
06/01/2017	HILEMAN, RONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	28.16	0.00	28.16	90.0	25.34
06/01/2017	MOORE CHARIT TRUST, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	16.71	0.00	16.71	90.0	15.04
06/01/2017	BLADEL, JACQUELYN	AM FUNDS BOND FUND OF AMERICA A	0.00	34.97	0.00	34.97	90.0	31.47
06/01/2017	RICE, GREGG	AM FUNDS BOND FUND OF AMERICA A	0.00	57.36	0.00	57.36	90.0	51.62
06/01/2017	MITTEN, ETTA	AM FUNDS BOND FUND OF AMERICA A	0.00	40.26	0.00	40.26	90.0	36.23
06/01/2017	OSTER, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	GRUBB, BEVERLY	AM FUNDS BOND FUND OF AMERICA A	0.00	30.43	0.00	30.43	90.0	27.39
06/01/2017	MICHALSKI, GERALDINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	JACOB TRUST, MARILYN	AM FUNDS BOND FUND OF AMERICA A	0.00	33.06	0.00	33.06	90.0	29.75
06/01/2017	RICE, PAMELA	AM FUNDS BOND FUND OF AMERICA A	0.00	10.52	0.00	10.52	90.0	9.47
06/01/2017	ROSSWURM, ELAINE	AM FUNDS BOND FUND OF AMERICA A	0.00	14.93	0.00	14.93	90.0	13.44
06/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.94	0.00	3.94	90.0	3.55
06/01/2017	JACOB TRUST, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.43	0.00	4.43	90.0	3.99
06/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD BOND A	0.00	6.66	0.00	6.66	90.0	5.99
06/01/2017	JEFFERS, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	4.85	0.00	4.85	90.0	4.37
06/01/2017	GAJEWSKI, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	3.25	0.00	3.25	90.0	2.93

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	JACOB, MARILYN	AM FUNDS CAPITAL WORLD BOND A	0.00	18.58	0.00	18.58	90.0	16.72
06/01/2017	BUEHLER, ARNOLD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.05	0.00	18.05	90.0	16.25
06/01/2017	SCHWEINHAGEN, RUPERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	32.92	0.00	32.92	90.0	29.63
06/01/2017	NEITZ, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.42	0.00	15.42	90.0	13.88
06/01/2017	PENNINGTON, SANDRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.60	0.00	24.60	90.0	22.14
06/01/2017	MAXFIELD, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	36.39	0.00	36.39	90.0	32.75
06/01/2017	BESTOR, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.29	0.00	16.29	90.0	14.66
06/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	55.70	0.00	55.70	90.0	50.13
06/01/2017	SCHUMACHER, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	28.83	0.00	28.83	90.0	25.95
06/01/2017	GRIM, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2017	ROSSWURM, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.34	0.00	22.34	90.0	20.11
06/01/2017	RECKNER, GARTH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2017	PITCHFORD ESTATE, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	34.39	0.00	34.39	90.0	30.95
06/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	45.99	0.00	45.99	90.0	41.39
06/01/2017	SHAFFER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	SHAFFER, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	38.24	0.00	38.24	90.0	34.42
06/01/2017	RICE, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.70	0.00	3.70	90.0	3.33
06/01/2017	RICE JR, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.90	0.00	4.90	90.0	4.41
06/01/2017	WODA, HELENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.92	0.00	2.92	90.0	2.63
06/01/2017	SWEARINGEN, ERIC	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	29.73	0.00	29.73	90.0	26.76
06/01/2017	RINGGER, MARK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.11	0.00	13.11	90.0	11.80
06/01/2017	JEFFERS, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.30	0.00	9.30	90.0	8.37
06/01/2017	FLECK, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.99	0.00	12.99	90.0	11.69
06/01/2017	SHAFFER, JAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	19.53	0.00	19.53	90.0	17.58
06/01/2017	RICE JR, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	RICE, GREGG	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.40	0.00	3.40	90.0	3.06
06/01/2017	PENNINGTON, STEVE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	26.93	0.00	26.93	90.0	24.24
06/01/2017	RICE, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.07	0.00	4.07	90.0	3.66
06/01/2017	GRIM, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	RICE JR, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	SEITZINGER, MARK	AM FUNDS ICA A	0.00	15.47	0.00	15.47	90.0	13.92
06/01/2017	MOORE, EILEEN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	56.10	0.00	56.10	90.0	50.49
06/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	13.85	0.00	13.85	90.0	12.47

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	PITCHFORD ESTATE, DIANE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	22.60	0.00	22.60	90.0	20.34
06/01/2017	DAVIS, HOWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	12.05	0.00	12.05	90.0	10.85
06/01/2017	SWEARINGEN, ERIC	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.11	0.00	2.11	90.0	1.90
06/01/2017	LYKES, EMMANUEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.57	0.00	1.57	90.0	1.41
06/01/2017	NEITZ, CHRISTINA	AM FUNDS NEW WORLD A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2017	GRIM, SUSAN	AM FUNDS NEW WORLD A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2017	PENNINGTON, SANDRA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	22.32	0.00	22.32	90.0	20.09
06/01/2017	MAXFIELD, RICHARD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	10.57	0.00	10.57	90.0	9.51
06/01/2017	PENNINGTON, STEVE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	WODA, HELENE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.87	0.00	4.87	90.0	4.38
06/01/2017	MOORE, EILEEN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	13.47	0.00	13.47	90.0	12.12
06/01/2017	JACOB, MARILYN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	5.24	0.00	5.24	90.0	4.72
06/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS SHORT TERM BND FUND OF AM	0.00	5.79	0.00	5.79	90.0	5.21
06/01/2017	SHAFFER, JAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	16.68	0.00	16.68	90.0	15.01
06/01/2017	JEFFERS, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	7.27	0.00	7.27	90.0	6.54
06/01/2017	FLECK, GERALD	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	9.04	0.00	9.04	90.0	8.14
06/01/2017	GRIM, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.31	0.00	0.31	90.0	0.28
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,136.54</b>	<b>\$0.00</b>	<b>\$1,136.54</b>		<b>\$1,022.90</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

05/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,478.93	8.02	0.00	8.02	90.0	7.22
05/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,141.93	1.34	0.00	1.34	90.0	1.21
05/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	41,708.64	34.76	0.00	34.76	90.0	31.28
05/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	102,392.71	85.33	0.00	85.33	90.0	76.80
05/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,760.19	22.30	0.00	22.30	90.0	20.07
05/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	38,294.16	31.91	0.00	31.91	90.0	28.72
05/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	129,407.50	107.84	0.00	107.84	90.0	97.06
05/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	137,775.24	114.81	0.00	114.81	90.0	103.33
05/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	172,866.38	144.06	0.00	144.06	90.0	129.65
05/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,245.87	21.04	0.00	21.04	90.0	18.94
05/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	46,521.39	38.77	0.00	38.77	90.0	34.89
05/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,043.68	15.04	0.00	15.04	90.0	13.54
05/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	25,152.18	20.96	0.00	20.96	90.0	18.86
05/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	169,322.24	141.10	0.00	141.10	90.0	126.99

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	160,121.12	133.43	0.00	133.43	90.0	120.09
05/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	155,030.35	129.19	0.00	129.19	90.0	116.27
05/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	219,267.35	182.72	0.00	182.72	90.0	164.45
05/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,791.37	35.66	0.00	35.66	90.0	32.09
05/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	118,467.39	98.72	0.00	98.72	90.0	88.85
05/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	110,604.85	92.17	0.00	92.17	90.0	82.95
05/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	120,297.15	100.25	0.00	100.25	90.0	90.23
05/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,904.28	19.92	0.00	19.92	90.0	17.93
05/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	29,184.16	24.32	0.00	24.32	90.0	21.89
06/01/2017	HALL, SCOTT	NW DESTINATION B2 05	300,000.00	10,500.00	0.00	10,500.00	90.0	9,450.00
05/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	56,006.52	58.34	0.00	58.34	90.0	52.51
05/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	133,423.65	111.19	0.00	111.19	90.0	100.07
05/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,509.01	3.76	0.00	3.76	90.0	3.38
05/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	250,910.71	209.09	0.00	209.09	90.0	188.18
05/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,779.33	19.82	0.00	19.82	90.0	17.84
05/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,041.81	5.03	0.00	5.03	90.0	4.53
05/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	54,228.66	45.19	0.00	45.19	90.0	40.67
05/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	93,765.91	78.14	0.00	78.14	90.0	70.33
05/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,801.06	40.67	0.00	40.67	90.0	36.60
05/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	31,130.18	25.94	0.00	25.94	90.0	23.35
05/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,070.89	32.56	0.00	32.56	90.0	29.30
05/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	254,604.47	212.17	0.00	212.17	90.0	190.95
05/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	156,831.89	130.69	0.00	130.69	90.0	117.62
05/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	77,022.58	64.19	0.00	64.19	90.0	57.77
05/15/2017	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	496.05	0.41	0.00	0.41	90.0	0.37
05/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,579.45	2.15	0.00	2.15	90.0	1.94
05/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	229,458.75	191.22	0.00	191.22	90.0	172.10
05/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	115,803.54	96.50	0.00	96.50	90.0	86.85
05/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,621.47	2.18	0.00	2.18	90.0	1.96
05/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,463.84	102.05	0.00	102.05	90.0	91.85
05/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,704.49	22.25	0.00	22.25	90.0	20.03
05/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,119.93	82.60	0.00	82.60	90.0	74.34
05/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	83,185.55	69.32	0.00	69.32	90.0	62.39

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,618.74	33.02	0.00	33.02	90.0	29.72
05/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	110,285.08	91.90	0.00	91.90	90.0	82.71
05/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	68,937.61	57.45	0.00	57.45	90.0	51.71
05/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	98,033.00	81.69	0.00	81.69	90.0	73.52
05/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,389.72	144.49	0.00	144.49	90.0	130.04
05/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	213,987.68	178.32	0.00	178.32	90.0	160.49
05/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,882.06	22.40	0.00	22.40	90.0	20.16
05/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,786.24	64.82	0.00	64.82	90.0	58.34
05/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,685.72	125.57	0.00	125.57	90.0	113.01
05/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	59,420.76	49.52	0.00	49.52	90.0	44.57
05/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,977.52	26.65	0.00	26.65	90.0	23.99
05/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	111,787.41	93.16	0.00	93.16	90.0	83.84
05/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,140.83	140.12	0.00	140.12	90.0	126.11
* VARIABLE ANNUITIES SUBTOTAL *			\$5,497,271.17	\$14,818.23	\$0.00	\$14,818.23		\$13,336.45
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
04/30/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	13.84	0.00	13.84	90.0	12.46
04/30/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.11	0.00	2.11	90.0	1.90
04/30/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.31	0.00	4.31	90.0	3.88
04/30/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.09	0.00	1.09	90.0	0.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.45	\$0.00	\$21.45		\$19.31
*** BROKER TRANSACTIONS TOTAL ***			\$5,497,271.17	\$15,976.22	\$0.00	\$15,976.22		\$14,378.66
<b><u>ADJUSTMENTS</u></b>								
06/15/2017		*ADVANCE: ADVANCE	0.00					-10,000.00
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*OUT OF CYCLE PROCESSING FEE: OUT O	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-10,675.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,378.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10,675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,703.66
Year-to-date Gross	\$49,872.19
Year-to-date Earnings	\$44,944.12