

COMMISSION STATEMENT**March 31, 2018**

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: 77
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

03/12/2018 (None)	VARIOUS, BELZ RICHARD	AMERICAN FUNDS	B	0.00	977.46	0.00	977.46	90.0	879.71
02/28/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	8.46	0.00	8.46	90.0	7.61
03/15/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	8.46	0.00	8.46	90.0	7.61
03/15/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	680.00	12.92	0.00	12.92	90.0	11.63
03/06/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
03/20/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
02/28/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
03/15/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
03/06/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
03/20/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
03/06/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
03/20/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
02/27/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
03/15/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
03/15/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	170.00	3.23	0.00	3.23	90.0	2.91
02/28/2018 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	8.46	0.00	8.46	90.0	7.61
03/15/2018 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	8.46	0.00	8.46	90.0	7.61
03/06/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
03/20/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
02/27/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
03/15/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
03/01/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	100.00	4.04	0.00	4.04	90.0	3.64
03/09/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	200.00	8.07	0.00	8.07	90.0	7.26
03/21/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	100.00	4.04	0.00	4.04	90.0	3.64
03/05/2018 00061842239	SCHENK, STEVEN	AMCAP FUND-A	B	100.00	3.56	0.00	3.56	90.0	3.20
03/22/2018 00061842239	SCHENK, STEVEN	AMCAP FUND-A	B	50.00	1.79	0.00	1.79	90.0	1.61
03/13/2018 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.46	0.00	4.46	90.0	4.01
03/22/2018 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.46	0.00	4.46	90.0	4.01
03/05/2018 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29
03/05/2018 00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
03/20/2018 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/20/2018	00075280585	MILLER, SAMANTHA							
		THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
03/19/2018	00075362368	DIGRAZIANO,							
		THE GROWTH FUND OF AMERICA-A	B	1,000.00	40.37	0.00	40.37	90.0	36.33
03/15/2018	00084040075	WILLIAMS, ALYSSA							
		CAPITAL WORLD GROWTH AND INCOME FUN B		100.00	4.04	0.00	4.04	90.0	3.64
03/15/2018	00084040075	WILLIAMS, ALYSSA							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.04	0.00	4.04	90.0	3.64
03/19/2018	00084330892	DIGRAZIANO,							
		THE GROWTH FUND OF AMERICA-A	B	1,000.00	40.37	0.00	40.37	90.0	36.33
03/19/2018	00086766383	DIGRAZIANO,							
		THE GROWTH FUND OF AMERICA-A	B	1,000.00	40.37	0.00	40.37	90.0	36.33
03/15/2018	00088094822	WILLIAMS, ALYSSA							
		GLOBAL BALANCED FUND-C	B	50.00	0.47	0.00	0.47	90.0	0.42
03/05/2018	00453533309	BURNS, KATHLEEN							
		PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
03/05/2018	00453533309	BURNS, KATHLEEN							
		PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
03/20/2018	00453533309	BURNS, KATHLEEN							
		PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
03/20/2018	00453533309	BURNS, KATHLEEN							
		PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
03/12/2018	00487862253	PERUGINI, MILDRED							
		PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
03/12/2018	00487862253	PERUGINI, MILDRED							
		PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
03/12/2018	00487862253	PERUGINI, MILDRED							
		PUTNAM NEW OPPORTUNITIES FUND CLASS	B	150.00	3.92	0.00	3.92	90.0	3.53
03/16/2018	00493247534	PLAN							
		PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
02/26/2018	00503977532	SCHAEFER, DOREEN							
		PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0	1.07
02/26/2018	00503977532	SCHAEFER, DOREEN							
		PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0	1.07
03/13/2018	00503977532	SCHAEFER, DOREEN							
		PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0	1.07
03/13/2018	00503977532	SCHAEFER, DOREEN							
		PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0	1.07
03/05/2018	00514953902	STELLATO, BRIAN							
		PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0	3.20
03/05/2018	00514953902	STELLATO, BRIAN							
		PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
03/20/2018	00514953902	STELLATO, BRIAN							
		PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0	3.20
03/20/2018	00514953902	STELLATO, BRIAN							
		PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
02/26/2018	00515030771	ONORATO, LAURA							
		PUTNAM 746933100	B	258.00	6.74	0.00	6.74	90.0	6.07
02/26/2018	00515030771	ONORATO, LAURA							
		PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	174.00	4.55	0.00	4.55	90.0	4.10
02/26/2018	00515030771	ONORATO, LAURA							
		PUTNAM-746764109	B	168.00	4.39	0.00	4.39	90.0	3.95
03/13/2018	00515030771	ONORATO, LAURA							
		PUTNAM 746933100	B	301.00	7.87	0.00	7.87	90.0	7.08
03/13/2018	00515030771	ONORATO, LAURA							
		PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	203.00	5.30	0.00	5.30	90.0	4.77
03/13/2018	00515030771	ONORATO, LAURA							
		PUTNAM-746764109	B	196.00	5.12	0.00	5.12	90.0	4.61
03/16/2018	00550827572	PLAN							
		PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
03/05/2018	00551218283	C GARRUPPO,							
		PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
03/05/2018	00551218283	C GARRUPPO,							
		PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
03/05/2018	00551218283	C GARRUPPO,							
		PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
03/20/2018	00551218283	C GARRUPPO,							
		PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
03/20/2018	00551218283	C GARRUPPO,							
		PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
03/20/2018	00551218283	C GARRUPPO,							
		PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
02/26/2018	00551279988	AZZATO, JOSEPH							
		PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0	2.13
02/26/2018	00551279988	AZZATO, JOSEPH							
		PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0	1.71
02/26/2018	00551279988	AZZATO, JOSEPH							
		PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0	2.13
02/26/2018	00551279988	AZZATO, JOSEPH							
		PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0	2.57

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/13/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.37	0.00	2.37	90.0 2.13
03/13/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	1.90	0.00	1.90	90.0 1.71
03/13/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.37	0.00	2.37	90.0 2.13
03/13/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	2.85	0.00	2.85	90.0 2.57
03/05/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0 1.07
03/05/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0 1.71
03/05/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0 1.49
03/20/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0 1.07
03/20/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0 1.71
03/20/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0 1.49
03/27/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER-68381B101	B	0.00	2,165.69	0.00	2,165.69	90.0 1,949.12
* MUTUAL FUNDS SUBTOTAL *					\$13,600.00	\$3,574.00	\$0.00	\$3,574.00	\$3,216.58
<u>PRODUCT CATEGORY: STOCKS</u>									
03/21/2018	QGW031783	JACKSON, FRANK	FAT BRANDS INC COMMON STOCK	S	-6,769.70	71.25	11.40	59.85	90.0 52.73
03/16/2018	QGW035172	O'NEILL, RORY	TWITTER INC	S	-17,400.00	213.24	11.40	201.84	90.0 180.52
03/01/2018	QGW035180	PUE, MARYANN	SACHEM CAPITAL CORP COMMON SHARES	B	3,959.75	94.05	11.40	82.65	90.0 73.25
03/12/2018	QGW035198	WULFF, HANS	SIRIUS XM HOLDINGS INC COM	S	-1,200.00	38.00	11.40	26.60	90.0 22.80
03/16/2018	QGW035198	WULFF, HANS	SIRIUS XM HOLDINGS INC COM	S	-16,800.00	205.88	11.40	194.48	90.0 173.89
03/16/2018	QGW035214	BIANCO, BARBARA	TWITTER INC	S	-29,000.00	303.05	11.40	291.65	90.0 261.35
03/01/2018	QGW035362	MOON, STEVEN	SACHEM CAPITAL CORP COMMON SHARES	B	1,690.00	47.50	11.40	36.10	90.0 31.35
03/05/2018	QGW035412	AMENITA, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	11,336.75	261.25	11.40	249.85	90.0 223.73
03/27/2018	QGW035602	MURPHY, CHRISTIAN	FACEBOOK INC CL A	S	-24,134.10	255.55	11.40	244.15	90.0 218.60
03/15/2018	QGW035644	MONGELUZZI, LINDA	TWITTER INC	S	-29,700.00	310.36	11.40	298.96	90.0 267.92
03/16/2018	QGW035644	MONGELUZZI, LINDA	TWITTER INC	S	-37,800.00	316.01	11.40	304.61	90.0 273.01
03/05/2018	QGW035669	KOLLAR, ROBERT	OPKO HEALTH INC	S	-7,063.20	94.05	11.40	82.65	90.0 73.25
03/05/2018	QGW035727	HERDTER, CYNTHIA	SACHEM CAPITAL CORP COMMON SHARES	B	5,644.00	87.40	11.40	76.00	90.0 67.26
03/05/2018	QGW035925	MOTT, LINDA	SACHEM CAPITAL CORP COMMON SHARES	B	3,310.00	76.00	11.40	64.60	90.0 57.00
03/14/2018	QGW035990	HERDTER, RICHARD	SACHEM CAPITAL CORP COMMON SHARES	B	41,160.00	945.25	11.40	933.85	90.0 839.33
03/05/2018	QGW036238	LENTO, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	386.10	987.99	11.40	976.59	90.0 877.79
03/05/2018	QGW036238	LENTO, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	-386.10	-987.99	-11.40	-976.59	90.0 -877.79 C
03/05/2018	QGW036238	LENTO, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	386.10	47.50	11.40	36.10	90.0 31.35
03/05/2018	QGW036386	FRANCK, RACHEL	OPKO HEALTH INC	S	-5,313.00	83.60	11.40	72.20	90.0 63.84
03/16/2018	QGW037103	BURNS, KATHLEEN	COHERUS BIOSCIENCES INC COM	S	-16,250.00	199.15	11.40	187.75	90.0 167.84
03/02/2018	QGW039091	CITARELLA, FRANK	PAYPAL HOLDINGS INC COM	B	23,531.70	172.90	11.40	161.50	90.0 144.21
03/05/2018	QGW039091	CITARELLA, FRANK	SACHEM CAPITAL CORP COMMON SHARES	B	23,235.10	418.00	11.40	406.60	90.0 364.80
03/13/2018	QGW039091	CITARELLA, FRANK	HEICO CORPORATION	B	12,309.21	94.05	11.40	82.65	90.0 73.25
* STOCKS SUBTOTAL *					\$-64,867.39	\$4,334.04	\$239.40	\$4,094.64	\$3,661.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/21/2018	QGW031783 JACKSON, FRANK	PUT 100 VUZIX CORP COM NEW EXP 05-1 S		-1,400.00	94.05	19.71	74.34	90.0	64.94
03/16/2018	QGW035479 ROBERTS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-950.00	90.25	13.77	76.48	90.0	67.46
03/09/2018	QGW035768 MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 04 S		-500.00	37.05	35.15	1.90	90.0	-1.81
03/06/2018	QGW036154 CALLAHAN, PATRICK	CALL 100 ENERGOUS CORP COM EXP 04-2 S		-500.00	50.35	12.59	37.76	90.0	32.73
03/06/2018	QGW036386 FRANCK, RACHEL	CALL 100 TWITTER INC COM EXP 04-20- S		-1,530.00	94.05	13.77	80.28	90.0	70.88
03/12/2018	QGW037103 BURNS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S		-860.00	52.25	16.15	36.10	90.0	30.88
03/07/2018	QGW039091 CITARELLA, FRANK	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-1,400.00	94.05	16.15	77.90	90.0	68.50
* OPTIONS SUBTOTAL *				\$-7,140.00	\$512.05	\$127.29	\$384.76		\$333.58

PRODUCT CATEGORY: MISCELLANEOUS

03/07/2018	VARIOUS, BELZ RICHARD	GWG HOLDINGS	B	0.00	7,125.00	0.00	7,125.00	90.0	6,412.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$7,125.00	\$0.00	\$7,125.00		\$6,412.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018		FEE COUNT - 22		0.00	119.95	0.00	119.95	90.0	107.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$119.95	\$0.00	\$119.95		\$107.96
*** BROKER TRANSACTIONS TOTAL ***				\$-58,407.39	\$15,665.04	\$366.69	\$15,298.35		\$13,731.90

ADJUSTMENTS

03/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
03/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
03/31/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-61.75
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-231.75

BROKER COMMISSION TOTALS

Broker Transactions	\$13,731.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-231.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.68
SIPC Assessment	\$-21.35

Check Amount	\$13,468.12
Year-to-date Earnings	\$90,022.87