



COMMISSION STATEMENT

February 19, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN BALANCED FUND-A BUY 5 Units @28.2000	135.00	5.06	0.00	5.06	75.0	3.80
02/06/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN BALANCED FUND-A BUY 2 Units @28.8300	50.00	2.50	0.00	2.50	75.0	1.88
02/06/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	AMERICAN BALANCED FUND-A BUY 14 Units @28.1600	400.00	11.00	0.00	11.00	75.0	8.25
02/06/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @28.1600	125.00	3.44	0.00	3.44	75.0	2.58
02/06/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @28.1600	90.75	2.50	0.00	2.50	75.0	1.88
02/06/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @28.8300	60.00	3.00	0.00	3.00	75.0	2.25
02/09/2018	***-**-8143 - DRAKE, RONALD ACCOUNT #: 00087671391	AMERICAN BALANCED FUND-A BUY 4 Units @27.9200	125.00	4.69	0.00	4.69	75.0	3.52
02/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.7400	80.00	2.40	0.00	2.40	75.0	1.80
02/06/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.7100	50.00	1.50	0.00	1.50	75.0	1.13
02/06/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.6800	25.50	0.70	0.00	0.70	75.0	0.53
02/06/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.6800	100.00	2.75	0.00	2.75	75.0	2.06
02/06/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 15 Units @10.7100	165.00	4.95	0.00	4.95	75.0	3.71
02/09/2018	***-**-8143 - DRAKE, RONALD ACCOUNT #: 00087671391	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.6300	100.00	3.00	0.00	3.00	75.0	2.25
02/06/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @64.2800	400.00	11.00	0.00	11.00	75.0	8.25
02/06/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.7300	31.50	0.87	0.00	0.87	75.0	0.65
02/06/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.7300	100.00	2.75	0.00	2.75	75.0	2.06



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/06/2018	***-**-4848 - DOGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	6.19	0.00	6.19	75.0	4.64
	ACCOUNT #: 00081620466	BUY 3 Units @54.2900						
02/09/2018	***-**-8143 - DRAKE, RONALD	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: 00087671391	BUY 1 Units @52.7100						
02/06/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @58.9900						
02/05/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 2 Units @64.9200						
02/06/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 7 Units @13.1500						
02/05/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	55.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 00082570536	BUY 1 Units @52.9900						
02/06/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	75.0	1.93
	ACCOUNT #: 00084481157	BUY 2 Units @53.4300						
02/06/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00085839015	BUY 1 Units @53.4300						
02/06/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	75.0	3.61
	ACCOUNT #: 00085154620	BUY 3 Units @53.4300						
02/06/2018	***-**-4848 - DOGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	6.38	0.00	6.38	75.0	4.79
	ACCOUNT #: 00081620466	BUY 3 Units @53.9900						
02/09/2018	***-**-8143 - DRAKE, RONALD	THE GROWTH FUND OF AMERICA-A	155.00	5.81	0.00	5.81	75.0	4.36
	ACCOUNT #: 00087671391	BUY 3 Units @52.1600						
02/05/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 6 Units @24.1600						
02/06/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 00085839015	BUY 1 Units @24.0500						
02/06/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @24.6300						
* MUTUAL FUNDS SUBTOTAL *			\$3,440.00	\$110.92	\$0.00	\$110.92		\$83.24
*** BROKER TRANSACTIONS TOTAL ***			\$3,440.00	\$110.92	\$0.00	\$110.92		\$83.24



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		INVESTMENT	GROSS	CLEARING	NET	BROKER		
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
				Broker Transactions				\$83.24
				Split Transactions				\$0.00
				Override Transactions				\$0.00
				Adjustments				\$0.00
				Payroll Draw				\$0.00
				Base Salary				\$0.00
				Prior Period Balance				\$0.00
				FINRA Assessment				\$0.00
				SIPC Assessment				\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$83.24
					Year-to-date Earnings			\$0.00