



COMMISSION STATEMENT

July 23, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/18/2018	***-**-2471 - COLUCCI, ATHENA	AF MODERATE GROWTH AND INCOME-A	675.01	13.50	0.00	13.50	70.0	9.45
	ACCOUNT #: LSR011898	BUY 44 Units @15.3900						
07/16/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	90.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: 00088334300	BUY 3 Units @35.2400						
07/19/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	150.00	2.40	0.00	2.40	70.0	1.68
	ACCOUNT #: 00088244527	BUY 5 Units @28.1600						
07/19/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	150.00	2.40	0.00	2.40	70.0	1.68
	ACCOUNT #: 00088244527	BUY 5 Units @28.1600						
07/09/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6400						
07/09/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6400						
07/09/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6400						
07/09/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6400						
07/09/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6400						
07/19/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	110.76	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 04000941955	BUY 7 Units @16.1000						
07/19/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	110.76	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 04000941955	BUY 7 Units @16.1000						
07/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	674.99	13.50	0.00	13.50	70.0	9.45
	ACCOUNT #: LSR011898	BUY 34 Units @19.8300						
07/19/2018	***-**-4858 - GODOFISKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	323.08	5.17	0.00	5.17	70.0	3.62
	ACCOUNT #: 04000349982	BUY 16 Units @19.6300						
07/19/2018	***-**-4858 - GODOFISKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	138.46	2.22	0.00	2.22	70.0	1.55
	ACCOUNT #: 04000349982	BUY 7 Units @19.6300						
07/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	1,125.00	22.50	0.00	22.50	70.0	15.75
	ACCOUNT #: LSR011898	BUY 71 Units @15.9100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	1,125.01	22.50	0.00	22.50	70.0	15.75
	ACCOUNT #: LSR011898	BUY 80 Units @14.0200						
07/16/2018	***-**-3569 - ANDERSON,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @43.1000						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	29.53	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00088824619	BUY 1 Units @20.3100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	5.54	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00088824619	BUY 0 Units @20.3100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	5.54	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00088824619	BUY 0 Units @20.3100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	29.53	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00088824619	BUY 1 Units @20.3100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	5.54	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00088824619	BUY 0 Units @20.3100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	29.53	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00088824619	BUY 1 Units @20.3100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @54.4700						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @54.4700						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @54.4700						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @54.4700						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @54.4700						
07/09/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @54.4700						
07/09/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @56.9400						
07/09/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @56.9400						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/09/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @56.9400						
07/09/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @56.9400						
07/09/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @56.9400						
07/09/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @56.9400						
07/16/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @66.1900						
07/09/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	3.90	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 04000234328	BUY 0 Units @63.7000						
07/09/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	13.00	0.13	0.00	0.13	70.0	0.09
	ACCOUNT #: 04000234328	BUY 0 Units @63.7000						
07/09/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	3.90	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 04000234328	BUY 0 Units @63.7000						
07/09/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	13.00	0.13	0.00	0.13	70.0	0.09
	ACCOUNT #: 04000234328	BUY 0 Units @63.7000						
07/09/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	3.60	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: 04000234328	BUY 0 Units @63.7000						
07/09/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	12.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: 04000234328	BUY 0 Units @63.7000						
07/19/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @47.1600						
07/19/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @47.1600						
07/19/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	39.80	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: 00088394220	BUY 1 Units @47.1600						
07/19/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	39.81	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: 00088394220	BUY 1 Units @47.1600						
07/23/2018	***-**-775P - RYAN, MARY	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE BOND FUND OF AMERICA-A BUY 6 Units @13.0300	75.00	2.06	0.00	2.06	70.0	1.44
07/19/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8400	33.12	0.53	0.00	0.53	70.0	0.37
07/19/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE BOND FUND OF AMERICA-A BUY 2 Units @12.8400	24.84	0.40	0.00	0.40	70.0	0.28
07/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE BOND FUND OF AMERICA-A BUY 1 Units @12.8400	13.27	0.21	0.00	0.21	70.0	0.15
07/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE BOND FUND OF AMERICA-A BUY 1 Units @12.8400	13.27	0.21	0.00	0.21	70.0	0.15
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.3700	59.08	2.51	0.00	2.51	70.0	1.76
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 0 Units @58.3700	11.07	0.47	0.00	0.47	70.0	0.33
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 0 Units @58.3700	11.07	0.47	0.00	0.47	70.0	0.33
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.3700	59.08	2.51	0.00	2.51	70.0	1.76
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 0 Units @58.3700	11.07	0.47	0.00	0.47	70.0	0.33
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.3700	59.08	2.51	0.00	2.51	70.0	1.76
07/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.4900	70.00	1.93	0.00	1.93	70.0	1.35
07/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.5200	53.08	0.85	0.00	0.85	70.0	0.60
07/19/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.5200	53.07	0.85	0.00	0.85	70.0	0.60
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.2100	29.54	1.26	0.00	1.26	70.0	0.88
07/09/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE INCOME FUND OF AMERICA-A BUY 0 Units @24.2100	5.54	0.24	0.00	0.24	70.0	0.17



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
07/09/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.2100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.2100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.2100						
07/09/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.2100						
07/16/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 2 Units @23.8500						
07/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	33.12	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @23.4700						
07/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	24.82	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @23.4700						
07/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	26.54	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.4700						
07/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	26.54	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: 00088394220	BUY 1 Units @23.4700						
07/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	33.10	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00075179061	BUY 1 Units @41.9900						
07/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	24.84	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 00075179061	BUY 1 Units @41.9900						
07/16/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: 00088334300	BUY 1 Units @51.4400						
* MUTUAL FUNDS SUBTOTAL *			\$7,331.93	\$181.30	\$0.00	\$181.30		\$126.95
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/23/2018	***-**-2471 - COLUCCI, ATHENA	AF MODERATE GROWTH AND INCOME-A	0.00	7.71	0.00	7.71	70.0	5.40
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
07/03/2018	***-**-3023 - DEWEY, STEVEN	AIG STRATEGIC BOND FUND CLASS A	0.00	2.55	0.00	2.55	70.0	1.79
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
07/03/2018	***-**-2471 - COLUCCI, ATHENA	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	14.31	0.00	14.31	70.0	10.02
	ACCOUNT #: 01224249951	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-53IO - GIL, OMER	AMCAP FUND-A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
07/23/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	0.00	12.51	0.00	12.51	70.0	8.76
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - MOSHER, JEANINE	AMCAP FUND-A	0.00	5.02	0.00	5.02	70.0	3.51
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
07/23/2018	***-**-82IO - AGUIAR, DANA	AMCAP FUND-A	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
07/23/2018	***-**-57IR - GERTY, AUDRA	AMCAP FUND-A	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
07/23/2018	***-**-091I - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
07/23/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	0.00	14.49	0.00	14.49	70.0	10.14
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - MOSHER, JEANINE	AMERICAN BALANCED FUND-A	0.00	5.05	0.00	5.05	70.0	3.54
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
07/23/2018	***-**-82IO - AGUIAR, DANA	AMERICAN BALANCED FUND-A	0.00	1.17	0.00	1.17	70.0	0.82
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
07/23/2018	***-**-91IR - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
07/23/2018	***-**-57IR - GERTY, AUDRA	AMERICAN BALANCED FUND-A	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
07/23/2018	***-**-461I - FISCHER,	AMERICAN CORPORATE BOND FUND A	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: LSR012487	BUY 1 Units @0.0000						
07/23/2018	***-**-247I - COLUCCI, ATHENA	AMERICAN CORPORATE BOND FUND A	0.00	0.32	0.00	0.32	70.0	0.22
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
07/23/2018	***-**-569K - ANDERSON,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.87	0.00	0.87	70.0	0.61
	ACCOUNT #: LSR011745	BUY 1 Units @0.0000						
07/23/2018	***-**-24UT - FARKAS, ALLISYN	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.43	0.00	1.43	70.0	1.00
	ACCOUNT #: LSR011790	BUY 1 Units @0.0000						
07/23/2018	***-**-247I - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	8.17	0.00	8.17	70.0	5.72
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						



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WILLIAM FRANCIS
2 Bobrick Road
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BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-09IO - FRANCIS, TERESA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
07/23/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	13.05	0.00	13.05	70.0	9.14
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
07/23/2018	***-**-02IO - FISCHER, ALLYSE	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.69	0.00	0.69	70.0	0.48
	ACCOUNT #: LSR012367	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - FRANCIS, TERESA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.61	0.00	0.61	70.0	0.43
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
07/23/2018	***-**-62UT - MAINVILLE UTMA	AMERICAN FUNDS INCOME PORT CL A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011645	BUY 1 Units @0.0000						
07/23/2018	***-**-569K - ANDERSON,	AMERICAN FUNDS INCOME PORT CL A	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: LSR011745	BUY 1 Units @0.0000						
07/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	900.00	18.00	0.00	18.00	70.0	12.60
	ACCOUNT #: LSR011898	BUY 91 Units @9.9300						
07/23/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
07/23/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	0.00	3.82	0.00	3.82	70.0	2.67
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
07/23/2018	***-**-24UT - FARKAS, ALLISYN	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: LSR011790	BUY 1 Units @0.0000						
07/23/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	0.00	9.69	0.00	9.69	70.0	6.78
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
07/23/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	0.87	0.00	0.87	70.0	0.61
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
07/23/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	1.20	0.00	1.20	70.0	0.84
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
07/23/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	12.79	0.00	12.79	70.0	8.95
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
07/23/2018	***-**-953J - MOHR, AMELIA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	3.80	0.00	3.80	70.0	2.66
	ACCOUNT #: LSR011855	BUY 1 Units @0.0000						
07/23/2018	***-**-2447 - FISCHER, MAX	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	3.01	0.00	3.01	70.0	2.11
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-50IN - MOHR, HERBERT	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR012756	BUY 1 Units @0.0000						
07/23/2018	***-**-091I - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	1.86	0.00	1.86	70.0	1.30
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
07/23/2018	***-**-55IO - KOMAR, EDWARD	AMERICAN HIGH-INCOME TRUST-A	0.00	51.09	0.00	51.09	70.0	35.76
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
07/23/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	0.00	3.37	0.00	3.37	70.0	2.36
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
07/23/2018	***-**-82IO - AGUIAR, DANA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.44	0.00	0.44	70.0	0.31
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
07/23/2018	***-**-91IR - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	0.98	0.00	0.98	70.0	0.69
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
07/23/2018	***-**-461I - FISCHER,	AMERICAN HIGH-INCOME TRUST-A	0.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: LSR012487	BUY 1 Units @0.0000						
07/23/2018	***-**-247I - COLUCCI, ATHENA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - GIL, OMER	AMERICAN MUTUAL FUND-A	0.00	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
07/23/2018	***-**-55IO - KOMAR, EDWARD	AMERICAN MUTUAL FUND-A	0.00	50.05	0.00	50.05	70.0	35.04
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
07/23/2018	***-**-47FB - TORRES, SHARI	AMERICAN MUTUAL FUND-A	0.00	14.90	0.00	14.90	70.0	10.43
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
07/23/2018	***-**-953J - MOHR, AMELIA	AMERICAN MUTUAL FUND-A	0.00	5.96	0.00	5.96	70.0	4.17
	ACCOUNT #: LSR011855	BUY 1 Units @0.0000						
07/23/2018	***-**-91IR - GLASSFORD,	AMERICAN MUTUAL FUND-A	0.00	0.99	0.00	0.99	70.0	0.69
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
07/23/2018	***-**-57IR - GERTY, AUDRA	AMERICAN MUTUAL FUND-A	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
07/23/2018	***-**-439I - DAILY, RONI	AMERICAN MUTUAL FUND-A	0.00	6.74	0.00	6.74	70.0	4.72
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
07/23/2018	***-**-50IN - MOHR, HERBERT	AMERICAN MUTUAL FUND-A	0.00	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: LSR012756	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-2447 - FISCHER, MAX	AMERICAN TAX EXEMPT OF NEW YORK CL	0.00	6.98	0.00	6.98	70.0	4.89
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131582454	0.00	5.11	0.00	5.11	70.0	3.58
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
07/17/2018	***-**-162K - LANGTON,	CALVERT 131582454	0.00	4.71	0.00	4.71	70.0	3.30
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131618704	0.00	12.26	0.00	12.26	70.0	8.58
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161P805	0.00	5.35	0.00	5.35	70.0	3.75
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161T104	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.73	0.00	5.73	70.0	4.01
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	3.85	0.00	3.85	70.0	2.70
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649881	0.00	3.92	0.00	3.92	70.0	2.74
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT CAPITAL ACCUMULATION - A	0.00	3.67	0.00	3.67	70.0	2.57
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
07/17/2018	***-**-162K - LANGTON,	CALVERT INTRNTL RESPBL INDEX A-NFS	0.00	13.59	0.00	13.59	70.0	9.51
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT LONG-TERM INCOME FUND	0.00	6.08	0.00	6.08	70.0	4.26
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
07/17/2018	***-**-162K - LANGTON,	CALVERT LONG-TERM INCOME FUND	0.00	9.30	0.00	9.30	70.0	6.51
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
07/17/2018	***-**-162K - LANGTON,	CALVERT MODERATE ALLOCATION FUND-A	0.00	3.11	0.00	3.11	70.0	2.18
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						
07/03/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SMALL CAP VALUE FUND	0.00	6.86	0.00	6.86	70.0	4.80
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
07/17/2018	***-**-162K - LANGTON,	CALVERT SMALL CAP VALUE FUND	0.00	9.87	0.00	9.87	70.0	6.91
	ACCOUNT #: LSR012318	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INDEX A BUY 1 Units @0.0000	0.00	9.48	0.00	9.48	70.0	6.64
07/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	4.62	0.00	4.62	70.0	3.23
07/17/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 1 Units @0.0000	0.00	24.14	0.00	24.14	70.0	16.90
07/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	2.87	0.00	2.87	70.0	2.01
07/17/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FUND BOND BUY 1 Units @0.0000	0.00	23.70	0.00	23.70	70.0	16.59
07/23/2018	***-**-032I - JOHNSON, DARLENE ACCOUNT #: LSR011358	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.40	0.00	2.40	70.0	1.68
07/23/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	46.04	0.00	46.04	70.0	32.23
07/23/2018	***-**-57IR - GERTY, AUDRA ACCOUNT #: LSR012440	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	70.0	0.02
07/23/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	52.87	0.00	52.87	70.0	37.01
07/23/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.34	0.00	3.34	70.0	2.34
07/23/2018	***-**-00IO - DEWEY, JAN ACCOUNT #: LSR012701	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	6.51	0.00	6.51	70.0	4.56
07/23/2018	***-**-92IN - FITZGERALD, MARY	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	70.0	0.60
07/23/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	70.0	0.57
07/23/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	5.95	0.00	5.95	70.0	4.17
07/23/2018	***-**-82IO - AGUIAR, DANA ACCOUNT #: LSR011880	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	70.0	1.04
07/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	70.0	1.78



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-39UT - CHAUVIN UTMA NY,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: LSR011657	BUY 1 Units @0.0000						
07/23/2018	***-**-02IO - FISCHER, ALLYSE	GLOBAL GROWTH PORTFOLIO-A	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: LSR012367	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - GIL, OMER	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
07/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY EPOCH GLOBAL EQUITY YLD A	0.00	5.42	0.00	5.42	70.0	3.79
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
07/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY HIGH-YIELD CORPORATE BOND	0.00	12.81	0.00	12.81	70.0	8.97
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
07/02/2018	***-**-4618 - BROWN, ZACHARY	MAINSTAY MOD GROWTH ALLOC INVESTOR	100.00	4.75	0.00	4.75	70.0	3.33
	ACCOUNT #: 00055720031	BUY 6 Units @16.3200						
07/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY SHORT DURATION HIGH YLD A	0.00	6.22	0.00	6.22	70.0	4.35
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
07/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY TOTAL RETURN FUND A	0.00	5.62	0.00	5.62	70.0	3.93
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
07/23/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	7.10	0.00	7.10	70.0	4.97
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
07/23/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.27	0.00	3.27	70.0	2.29
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
07/23/2018	***-**-548J - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	0.92	0.00	0.92	70.0	0.64
	ACCOUNT #: LSR011813	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - GIL, OMER	NEW PERSPECTIVE FUND-A	0.00	0.79	0.00	0.79	70.0	0.55
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
07/23/2018	***-**-09IO - FRANCIS, TERESA	PRESERVATION PORTFOLIO-A	0.00	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - GIL, OMER	SMALLCAP WORLD FUND-A	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
07/23/2018	***-**-57IR - GERTY, AUDRA	SMALLCAP WORLD FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR012440	BUY 1 Units @0.0000						
07/23/2018	***-**-439I - DAILY, RONI	SMALLCAP WORLD FUND-A	0.00	3.48	0.00	3.48	70.0	2.44
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/03/2018	***-**-3023 - DEWEY, STEVEN	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	5.71	0.00	5.71	70.0	4.00
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
07/23/2018	***-**-953J - MOHR, AMELIA	TAX-EXEMPT BOND FUND OF AMERICA	0.00	18.56	0.00	18.56	70.0	12.99
	ACCOUNT #: LSR011855	BUY 1 Units @0.0000						
07/23/2018	***-**-2447 - FISCHER, MAX	TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.99	0.00	9.99	70.0	6.99
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
07/23/2018	***-**-50IN - MOHR, HERBERT	TAX-EXEMPT BOND FUND OF AMERICA	0.00	1.33	0.00	1.33	70.0	0.93
	ACCOUNT #: LSR012756	BUY 1 Units @0.0000						
07/23/2018	***-**-55IO - KOMAR, EDWARD	THE BOND FUND OF AMERICA-A	0.00	18.98	0.00	18.98	70.0	13.29
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
07/23/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	0.00	9.02	0.00	9.02	70.0	6.31
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
07/23/2018	***-**-53IO - MOSHER, JEANINE	THE BOND FUND OF AMERICA-A	0.00	4.00	0.00	4.00	70.0	2.80
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
07/23/2018	***-**-82IO - AGUIAR, DANA	THE BOND FUND OF AMERICA-A	0.00	1.07	0.00	1.07	70.0	0.75
	ACCOUNT #: LSR011880	BUY 1 Units @0.0000						
07/23/2018	***-**-91IR - GLASSFORD,	THE BOND FUND OF AMERICA-A	0.00	2.86	0.00	2.86	70.0	2.00
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
07/23/2018	***-**-439I - DAILY, RONI	THE BOND FUND OF AMERICA-A	0.00	10.07	0.00	10.07	70.0	7.05
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
07/23/2018	***-**-60IN - TALBOT, JENNIFER	THE GROWTH FUND OF AMERICA-A	0.00	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: LSR011386	BUY 1 Units @0.0000						
07/23/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	0.00	8.67	0.00	8.67	70.0	6.07
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
07/23/2018	***-**-439I - DAILY, RONI	THE GROWTH FUND OF AMERICA-A	0.00	6.93	0.00	6.93	70.0	4.85
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
07/23/2018	***-**-2471 - COLUCCI, ATHENA	THE GROWTH FUND OF AMERICA-A	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
07/02/2018	***-**-5076 - TORRES, JASON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 00000751783	BUY 0 Units @0.0000						
07/23/2018	***-**-032I - JOHNSON, DARLENE	THE INCOME FUND OF AMERICA-A	0.00	2.71	0.00	2.71	70.0	1.90
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						



COMMISSION STATEMENT

July 23, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	70.0	0.32
07/23/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	26.77	0.00	26.77	70.0	18.74
07/23/2018	***-**-953J - MOHR, AMELIA ACCOUNT #: LSR011855	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.58	0.00	9.58	70.0	6.71
07/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	70.0	1.02
07/23/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	70.0	0.39
07/23/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR012592	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.19	0.00	0.19	70.0	0.13
07/23/2018	***-**-00IO - DEWEY, JAN ACCOUNT #: LSR012701	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.80	0.00	9.80	70.0	6.86
07/23/2018	***-**-50IN - MOHR, HERBERT ACCOUNT #: LSR012756	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	70.0	0.48
07/23/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	2.67	0.00	2.67	70.0	1.87
07/23/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	70.0	0.95
07/23/2018	***-**-57IR - GERTY, AUDRA ACCOUNT #: LSR012440	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	70.0	0.02
07/23/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	3.43	0.00	3.43	70.0	2.40
07/23/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	11.89	0.00	11.89	70.0	8.32
07/23/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62
07/23/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR012592	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	70.0	0.28
07/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	WORLD VALUES INTERNATIONAL EQUITY - BUY 0 Units @0.0000	0.00	11.72	0.00	11.72	70.0	8.20



BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>ADJUSTMENTS (continued)</div>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$1,000.00	\$812.57	\$0.00	\$812.57		\$568.87
		*** BROKER TRANSACTIONS TOTAL ***	\$8,331.93	\$993.87	\$0.00	\$993.87		\$695.82
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$695.82
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$695.82
						Year-to-date Earnings		\$39,386.63