

All Companies
STATEMENT
May 20, 2018

BEN REYNOSO
222 N Garden St #300
SUITE 620
Visalia, CA 93291

BROKER #: 174
BRANCH:

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/01/0018	#105797 TMS & ASSOC 401K	ADP 4812A4153	0.00	3.13	94.0	0.00	2.94	0.00	2.94
01/01/0018	#105797 TMS & ASSOC 401K	ADP 4812A4195	0.00	2.89	94.0	0.00	2.72	0.00	2.72
01/01/0018	#105399 BASIC INDUSTRIES	ADP 74149P580	0.00	0.51	94.0	0.00	0.48	0.00	0.48
02/08/0018	#105797 TMS & ASSOC 401K	ADP 4812A4153	0.00	3.15	94.0	0.00	2.96	0.00	2.96
02/08/0018	#105797 TMS & ASSOC 401K	ADP 4812A4195	0.00	2.52	94.0	0.00	2.37	0.00	2.37
02/08/0018	#105399 BASIC INDUSTRIES	ADP 74149P580	0.00	0.49	94.0	0.00	0.46	0.00	0.46
03/01/0018	#105797 TMS & ASSOC 401K	ADP 46X220XX3	0.00	0.02	94.0	0.00	0.02	0.00	0.02
03/01/0018	#105797 TMS & ASSOC 401K	ADP 4812A4153	0.00	3.89	94.0	0.00	3.66	0.00	3.66
03/01/0018	#105797 TMS & ASSOC 401K	ADP 4812A4195	0.00	2.79	94.0	0.00	2.62	0.00	2.62
03/01/0018	#105399 BASIC INDUSTRIES	ADP 74149P580	0.00	0.61	94.0	0.00	0.57	0.00	0.57
03/01/0018	#0049157621	BLCKRCK	0.00	21.38	94.0	0.00	20.10	0.00	20.10
03/01/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	5.16	94.0	0.00	4.85	0.00	4.85
03/01/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	4.68	94.0	0.00	4.40	0.00	4.40
03/01/0018	#0049157621	BLCKRCK	0.00	24.31	94.0	0.00	22.85	0.00	22.85
03/01/0018	#0049157621	BLCKRCK	0.00	7.13	94.0	0.00	6.70	0.00	6.70
03/01/0018	#0047818448 COUNSEL, TR	BLCKRCK	0.00	3.10	94.0	0.00	2.91	0.00	2.91
03/01/0018	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	13.29	94.0	0.00	12.49	0.00	12.49
03/01/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.72	94.0	0.00	1.62	0.00	1.62
03/01/0018	#0049157621	BLCKRCK	0.00	8.10	94.0	0.00	7.61	0.00	7.61
03/01/0018	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.31	94.0	0.00	1.23	0.00	1.23
03/01/0018	#0004850242 BLANKENSHIP,	BLCKRCK	0.00	1.56	94.0	0.00	1.47	0.00	1.47
03/01/0018	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	3.98	94.0	0.00	3.74	0.00	3.74
03/01/0018	#074190005734 & TRUST, ARTIS	JP MORGAN	0.00	0.04	94.0	0.00	0.04	0.00	0.04
03/01/0018	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.23	94.0	0.00	0.22	0.00	0.22
03/01/0018	#067860000212 & TRUPLAN	NUVEEN MID CAP VALUE A	0.00	30.83	94.0	0.00	28.98	0.00	28.98
04/02/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00
04/02/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
04/04/2018	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.75	94.0	0.00	2.59	0.00	2.59

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/04/2018	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	8.01	94.0	0.00	7.53	0.00	7.53
04/04/2018	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.54	94.0	0.00	0.51	0.00	0.51
04/04/2018	#074480013753 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.06	94.0	0.00	0.06	0.00	0.06
04/04/2018	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	4.68	94.0	0.00	4.40	0.00	4.40
04/04/2018	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	10.40	94.0	0.00	9.78	0.00	9.78
04/05/2018	#0049157621	BLCKRCK	250.00	2.50	94.0	0.00	2.35	0.00	2.35
04/05/2018	#0049157621	BLCKRCK	250.00	2.50	94.0	0.00	2.35	0.00	2.35
04/10/2018	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
04/10/2018	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
04/16/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
04/16/2018	#00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
* MUTUAL FUNDS SUBTOTAL *			\$1,810.00	\$218.79		\$0.00	\$205.70	\$0.00	\$205.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/05/0018	#5000055228 LAMBERT, CHLOE	TRANSAMERICA PRE	34,765.58	69.53	94.0	0.00	65.36	0.00	65.36
04/08/0018	#01P3579346 OKINO, FUJIE	TRANSAMERICA PRE	86,833.07	217.08	94.0	0.00	204.06	0.00	204.06
04/09/0018	#803625000 BIG PINE PAI	TRANSAMERICA FIN LIFE	0.00	122.86	94.0	0.00	115.49	0.00	115.49
04/09/0018	#500002ABZ ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	111.98	94.0	0.00	105.26	0.00	105.26
04/09/0018	#500002ARB ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	16.57	94.0	0.00	15.58	0.00	15.58
04/09/0018	#500002BBI PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	4.38	94.0	0.00	4.12	0.00	4.12
04/09/0018	#500002BLH SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	4.54	94.0	0.00	4.27	0.00	4.27
04/09/0018	#500002BVT MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.50	94.0	0.00	3.29	0.00	3.29
04/09/0018	#500002E26 MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.18	94.0	0.00	7.69	0.00	7.69
04/09/0018	#500002M18 WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.47	94.0	0.00	0.44	0.00	0.44
04/09/0018	#500002P58 JANICES INC	TRANSAMERICA FIN LIFE	0.00	11.37	94.0	0.00	10.69	0.00	10.69
04/09/0018	#500002P59 LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	5.72	94.0	0.00	5.38	0.00	5.38

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/09/0018	#500002R30 , ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.37	94.0	0.00	3.17	0.00	3.17
04/09/0018	#500002S00 HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	44.04	94.0	0.00	41.40	0.00	41.40
04/09/0018	#500002S08 TRANSWEST	TRANSAMERICA FIN LIFE	0.00	86.37	94.0	0.00	81.19	0.00	81.19
04/09/0018	#500002S10 HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	79.97	94.0	0.00	75.17	0.00	75.17
04/09/0018	#500002S24 SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	216.31	94.0	0.00	203.33	0.00	203.33
04/09/0018	#500002U17 NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.04	94.0	0.00	0.04	0.00	0.04
04/09/0018	#500002V01 CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	5.81	94.0	0.00	5.46	0.00	5.46
04/09/0018	#500002W19 NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	134.64	94.0	0.00	126.56	0.00	126.56
04/09/0018	#500002Z47 CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.15	94.0	0.00	4.84	0.00	4.84
03/31/2018	#1011649730 REYNOSO,	ADVS II (08/11)	71,729.78	59.77	94.0	0.00	56.18	0.00	56.18
03/31/2018	#1015044205 COLLINS,	ELITE ACCESS	31,483.35	26.24	94.0	0.00	24.67	0.00	24.67
03/31/2018	#1015975837 DELGADO, MIGUEL	ELITE ACCESS	6,786.13	5.66	94.0	0.00	5.32	0.00	5.32
03/31/2018	#1014723311 SCHWAMB, SHANNON	ELITE ACCESS	464,041.26	386.70	94.0	0.00	363.50	0.00	363.50
03/31/2018	#1015151290 SALMON	ELITE ACCESS L	111,502.00	92.92	94.0	0.00	87.34	0.00	87.34
03/31/2018	#1015154353 SALMON	ELITE ACCESS L	27,291.12	22.74	94.0	0.00	21.38	0.00	21.38
03/31/2018	#1016329351 SCHMIDT, LARRY	ELITE ACCESS L	66,871.00	55.73	94.0	0.00	52.39	0.00	52.39
03/31/2018	#1010520564 CONTRERAS,	PERSP L 08/11	17,278.66	14.40	94.0	0.00	13.54	0.00	13.54
03/31/2018	#1011931452 JASSO, EUSEBIO	PERSP L 08/11	121,176.46	100.98	94.0	0.00	94.92	0.00	94.92
03/31/2018	#1009421862 PETERSON, CARA	PERSPECTIVE II	162,616.00	33.88	94.0	0.00	31.85	0.00	31.85
03/31/2018	#1008962452 BROWN, SUSAN	PERSPECTIVE L	34,949.64	29.12	94.0	0.00	27.37	0.00	27.37
03/31/2018	#1008329393 DOUGLASS, JOHN	PERSPECTIVE L	19,560.13	16.30	94.0	0.00	15.32	0.00	15.32
04/04/2018	#104570LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	42.21	94.0	0.00	39.68	0.00	39.68
04/04/2018	#104571LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	33.56	94.0	0.00	31.55	0.00	31.55
04/04/2018	#129617LB8 EVANS, PAM	TRANSAMERICA LIFE	0.00	39.04	94.0	0.00	36.70	0.00	36.70
04/05/2018	#500002ABZ ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	111.90	94.0	0.00	105.19	0.00	105.19
04/05/2018	#500002ARB ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	16.13	94.0	0.00	15.16	0.00	15.16
04/05/2018	#500002BBI PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	4.25	94.0	0.00	4.00	0.00	4.00
04/05/2018	#500002BLH SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	7.62	94.0	0.00	7.16	0.00	7.16
04/05/2018	#500002BVT MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.48	94.0	0.00	3.27	0.00	3.27
04/05/2018	#500002E26 MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.46	94.0	0.00	7.95	0.00	7.95
04/05/2018	#500002M18 WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.48	94.0	0.00	0.45	0.00	0.45
04/05/2018	#500002P58 JANICES INC	TRANSAMERICA FIN LIFE	0.00	11.34	94.0	0.00	10.66	0.00	10.66
04/05/2018	#500002P59 LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	5.88	94.0	0.00	5.53	0.00	5.53
04/05/2018	#500002R30 , ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.40	94.0	0.00	3.20	0.00	3.20
04/05/2018	#500002S00 HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	44.12	94.0	0.00	41.47	0.00	41.47
04/05/2018	#500002S08 TRANSWEST	TRANSAMERICA FIN LIFE	0.00	86.40	94.0	0.00	81.22	0.00	81.22

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/05/2018	#500002S10	HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	80.15	94.0	0.00	75.34	75.34
04/05/2018	#500002S24	SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	220.23	94.0	0.00	207.02	207.02
04/05/2018	#500002U17	NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.04	94.0	0.00	0.04	0.04
04/05/2018	#500002V01	CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	7.96	94.0	0.00	7.48	7.48
04/05/2018	#500002W19	NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	137.89	94.0	0.00	129.62	129.62
04/05/2018	#500002Z47	CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.15	94.0	0.00	4.84	4.84
04/16/2018	#1015044205	COLLINS,	ELITE ACCESS	6,000.00	75.00	94.0	0.00	70.50	70.50
04/20/2018	#1015154353	SALMON	ELITE ACCESS L	8,372.00	104.65	94.0	0.00	98.37	98.37
04/30/2018	#1011649730	REYNOSO,	ADVS II (08/11)	68,967.20	57.47	94.0	0.00	54.02	54.02
04/30/2018	#1015044205	COLLINS,	ELITE ACCESS	31,632.93	26.36	94.0	0.00	24.78	24.78
04/30/2018	#1015975837	DELGADO, MIGUEL	ELITE ACCESS	6,940.81	5.78	94.0	0.00	5.43	5.43
04/30/2018	#1014723311	SCHWAMB, SHANNON	ELITE ACCESS	466,476.20	388.73	94.0	0.00	365.41	365.41
04/30/2018	#1015151290	SALMON	ELITE ACCESS L	109,164.77	90.97	94.0	0.00	85.51	85.51
04/30/2018	#1015154353	SALMON	ELITE ACCESS L	33,251.49	27.71	94.0	0.00	26.05	26.05
04/30/2018	#1016329351	SCHMIDT, LARRY	ELITE ACCESS L	66,940.38	55.78	94.0	0.00	52.43	52.43
04/30/2018	#1010520564	CONTRERAS,	PERSP L 08/11	13,728.30	11.44	94.0	0.00	10.75	10.75
04/30/2018	#1011931452	JASSO, EUSEBIO	PERSP L 08/11	121,369.09	101.14	94.0	0.00	95.07	95.07
04/30/2018	#1009421862	PETERSON, CARA	PERSPECTIVE II	164,808.34	34.34	94.0	0.00	32.28	32.28
04/30/2018	#1008962452	BROWN, SUSAN	PERSPECTIVE L	35,486.78	29.57	94.0	0.00	27.80	27.80
04/30/2018	#1008329393	DOUGLASS, JOHN	PERSPECTIVE L	19,703.11	16.42	94.0	0.00	15.43	15.43
* VARIABLE ANNUITIES SUBTOTAL *				\$2,409,725.58	\$3,891.37	\$0.00	\$3,657.93	\$0.00	\$3,657.93
<u>PRODUCT CATEGORY: RIA</u>									
03/01/0018	#RC5847500N	BALL, RILIAN	EQIS	0.00	24.47	94.0	0.00	23.00	23.00
03/01/0018	#RC85967007	FENNER, LORI	EQIS	0.00	193.03	94.0	0.00	181.45	181.45
* RIA SUBTOTAL *				\$0.00	\$217.50	\$0.00	\$204.45	\$0.00	\$204.45
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#01980125895	VARIOUS REYNOSO	DIVERSIFIED FUND CLASS R	0.00	12.27	94.0	0.00	11.53	11.53
03/31/2018	#01980125906	TRUST,	DIVERSIFIED FUND CLASS R	0.00	134.63	94.0	0.00	126.55	126.55
03/31/2018	#01980159709	VARIOUS REYNOSO	DIVERSIFIED FUND CLASS R	0.00	0.04	94.0	0.00	0.04	0.04
03/31/2018	#01980173888	VARIOUS REYNOSO	DIVERSIFIED FUND CLASS R	0.00	0.24	94.0	0.00	0.23	0.23
03/31/2018	#08258241266	VARIOUS REYNOSO	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	11.38	94.0	0.00	10.70	10.70
03/31/2018	#08258241310	VARIOUS REYNOSO	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	17.16	94.0	0.00	16.13	16.13
04/01/2018	#00090000527	TRUST,	BLENDED RESEARCH	0.00	165.52	94.0	0.00	155.59	155.59
04/01/2018	#00090000528	TRUST,	BLENDED RESEARCH	0.00	8.60	94.0	0.00	8.08	8.08

BROKER COMMISSION TOTALS

Broker Transactions	\$4,640.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,071.27
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