



# COMMISSION STATEMENT

## July 23, 2018

SARA BERKOWICZ  
9101 Kilbourn Avenue  
Skokie, IL 60076

BROKER #: 673  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/16/2018	***-**-04IR - WITTIG, DAWN	AMERICAN BALANCED FND F2-NFS	100.01	3.00	3.00	0.00	50.0	0.00
	ACCOUNT #: LSR012167	BUY 4 Units @27.5500						
07/16/2018	***-**-04IR - WITTIG, DAWN	AMERICAN CAPITAL INCOME BUILDER CL	99.98	3.00	3.00	0.00	50.0	0.00
	ACCOUNT #: LSR012167	BUY 2 Units @60.5600						
07/16/2018	***-**-04IR - WITTIG, DAWN	AMERICAN FUNDAMENTAL INV. CL F2-NFS	99.99	3.00	3.00	0.00	50.0	0.00
	ACCOUNT #: LSR012167	BUY 2 Units @63.8500						
07/16/2018	***-**-04IR - WITTIG, DAWN	AMERICAN INCOME FND OF AMERICA CL F	100.00	3.00	3.00	0.00	50.0	0.00
	ACCOUNT #: LSR012167	BUY 4 Units @23.0000						
07/16/2018	***-**-04IR - WITTIG, DAWN	AMERICAN INVESTMENT CO OF AMERICA F	99.98	3.00	3.00	0.00	50.0	0.00
	ACCOUNT #: LSR012167	BUY 2 Units @41.2800						
* MUTUAL FUNDS SUBTOTAL *			<b>\$499.96</b>	<b>\$15.00</b>	<b>\$15.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
07/23/2018	***-**-04CL - STOLBERG,	ASSETMARK QRTL PLATFORM MAINTENANC	0.00	-125.00	0.00	-125.00	50.0	-62.50
		BUY 1 Units @0.0000						
/ /	***-**-49FB - BEEM, TERESA	GPS ACCUMULATION, PROFILE 3, MODERA	331,864.92	1,223.50	0.00	1,223.50	50.0	611.75
	ACCOUNT #: 3137498	BUY 0 Units @3318.6492						
* RIA SUBTOTAL *			<b>\$331,864.92</b>	<b>\$1,098.50</b>	<b>\$0.00</b>	<b>\$1,098.50</b>		<b>\$549.25</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/23/2018	***-**-981O - STARR, SHELDON	AF MODERATE GROWTH AND INCOME-A	0.00	9.89	0.00	9.89	50.0	4.95
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
07/23/2018	***-**-121I - STEINBERG,	AF MODERATE GROWTH AND INCOME-A	0.00	0.55	0.00	0.55	50.0	0.28
	ACCOUNT #: LSR012200	BUY 1 Units @0.0000						
07/23/2018	***-**-845O - LAMPERT, ELLEN	AF MODERATE GROWTH AND INCOME-A	0.00	1.80	0.00	1.80	50.0	0.90
	ACCOUNT #: LSR012229	BUY 1 Units @0.0000						
07/23/2018	***-**-845I - LAMPERT, ELLEN	AF MODERATE GROWTH AND INCOME-A	0.00	6.62	0.00	6.62	50.0	3.31
	ACCOUNT #: LSR012230	BUY 1 Units @0.0000						
07/23/2018	***-**-670O - BOIN, JAMES	AMCAP FUND-A	0.00	1.41	0.00	1.41	50.0	0.71
	ACCOUNT #: LSR012329	BUY 1 Units @0.0000						
07/23/2018	***-**-981O - STARR, SHELDON	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	10.11	0.00	10.11	50.0	5.06
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/23/2018	***-**-121I - STEINBERG, ACCOUNT #: LSR012200	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	50.0	0.61
07/23/2018	***-**-845O - LAMPERT, ELLEN ACCOUNT #: LSR012229	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	50.0	0.91
07/23/2018	***-**-845I - LAMPERT, ELLEN ACCOUNT #: LSR012230	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	6.76	0.00	6.76	50.0	3.38
07/23/2018	***-**-45IR - LAMPERT, ELLEN ACCOUNT #: LSR012231	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	50.0	0.17
07/23/2018	***-**-1202 - MCCORMACK, JAMI ACCOUNT #: LSR105988	DAVIS FINANCIAL CLASS A BUY 1 Units @0.0000	0.00	26.31	0.00	26.31	50.0	13.16
07/23/2018	***-**-278I - BOIN, ROBERT ACCOUNT #: 00091150462	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	26.30	0.00	26.30	50.0	13.15
07/23/2018	***-**-78IN - BOIN, ROBERT ACCOUNT #: 00091150485	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	37.56	0.00	37.56	50.0	18.78
07/23/2018	***-**-45IR - LAMPERT, ELLEN ACCOUNT #: 091151636	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	25.04	0.00	25.04	50.0	12.52
07/23/2018	***-**-1202 - MCCORMACK, JAMI ACCOUNT #: LSR105988	INVESCO VAN KAMPEN MID CAP GROWTH A BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	50.0	0.67
07/23/2018	***-**-00I2 - BEUTLICH, FBO ACCOUNT #: LSR003700	JPMORGAN HIGH YIELD BOND FUND CLASS BUY 1 Units @0.0000	0.00	2.41	0.00	2.41	50.0	1.21
07/23/2018	***-**-6700 - BOIN, JAMES ACCOUNT #: LSR012329	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	50.0	0.65
07/23/2018	***-**-981O - STARR, SHELDON ACCOUNT #: LSR012105	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	50.0	1.11
07/23/2018	***-**-981O - STARR, SHELDON ACCOUNT #: LSR012105	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	50.0	0.43
07/23/2018	***-**-981O - STARR, SHELDON ACCOUNT #: LSR012105	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	50.0	0.77
07/23/2018	***-**-981O - STARR, SHELDON ACCOUNT #: LSR012105	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	50.0	0.30
07/23/2018	***-**-121I - STEINBERG, ACCOUNT #: LSR012200	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	50.0	0.32



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<div>BROKER TRANSACTIONS (continued)</div>								
<div>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</div>								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$166.59	\$0.00	\$166.59		\$83.35
*** BROKER TRANSACTIONS TOTAL ***			\$332,364.88	\$1,280.09	\$15.00	\$1,265.09		\$632.60
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$632.60
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$-26.45
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$606.15
					Year-to-date Earnings			\$7,183.20