Page No.

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COMMISSION STATEMENT March 15, 2018

JAMES PANOS

330 North Street

Boston, MA 02113-

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------|---------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | DIRECT TRANS | SACTIONS | | | | | |
| PRODUCT C | ATEGORY: 529 PLANS | | | | | | | |
| / / | MACUCH, PAUL | FA 529 COLLEGE PORT C | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 |
| / / | KORNITSKY, KAYLA | FA 529 DIVERSIFIED INTL PORT CL A | 0.00 | 1.93 | 0.00 | 1.93 | 0.0 | 0.00 |
| / / | KORNITSKY, MARK | FA 529 DIVIDEND GRWTH PORT CL A | 0.00 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| / / | KORNITSKY, KAYLA | FA 529 DIVIDEND GRWTH PORT CL A | 0.00 | 3.04 | 0.00 | 3.04 | 0.0 | 0.00 |
| / / | KORNITSKY, MARK | FA 529 EQUITY GROWTH PORT CL A | 0.00 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| / / | KORNITSKY, KAYLA | FA 529 EQUITY GROWTH PORT CL A | 0.00 | 3.32 | 0.00 | 3.32 | 0.0 | 0.00 |
| / / | KORNITSKY, MARK | FA 529 NEW INSIGHTS PORT A | 0.00 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| / / | KORNITSKY, KAYLA | FA 529 NEW INSIGHTS PORT A | 0.00 | 3.15 | 0.00 | 3.15 | 0.0 | 0.00 |
| / / | YFANTOPULOS, GEORGE | FA 529 PORT 2019 A | 0.00 | 14.18 | 0.00 | 14.18 | 0.0 | 0.00 |
| / / | MACUCH, PAUL | FA 529 PORT 2019 A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| / / | DORAN, ANN MARIE | FA 529 PORT 2019 A | 0.00 | 0.67 | 0.00 | 0.67 | 0.0 | 0.00 |
| / / | DORAN, PATRICK | FA 529 PORT 2019 A | 0.00 | 1.92 | 0.00 | 1.92 | 0.0 | 0.00 |
| / / | MACUCH, PAUL | FA 529 PORT 2019 C | 0.00 | 0.38 | 0.00 | 0.38 | 0.0 | 0.00 |
| / / | DORAN, PATRICK | FA 529 PORT 2019 C | 0.00 | 2.11 | 0.00 | 2.11 | 0.0 | 0.00 |
| / / | LORA, MARCOS | FA 529 PORT 2022 C | 0.00 | 6.60 | 0.00 | 6.60 | 0.0 | 0.00 |
| / / | LORA, CATALINA | FA 529 PORT 2022 C | 0.00 | 6.60 | 0.00 | 6.60 | 0.0 | 0.00 |
| / / | DORAN, PATRICK | FA 529 PORT 2022 C | 0.00 | 2.20 | 0.00 | 2.20 | 0.0 | 0.00 |
| / / | MACUCH, PAUL | FA 529 PORT 2022 C | 0.00 | 0.40 | 0.00 | 0.40 | 0.0 | 0.00 |
| / / | MACUCH, PAUL | FA 529 PORT 2022 C | 0.00 | 2.20 | 0.00 | 2.20 | 0.0 | 0.00 |
| / / | LORA, ROLANDO | FA 529 PORT 2022 C | 0.00 | 6.60 | 0.00 | 6.60 | 0.0 | 0.00 |
| / / | YFANTOPULOS, GEORGE | FA 529 PORT 2022 CL A | 0.00 | 14.73 | 0.00 | 14.73 | 0.0 | 0.00 |
| / / | LORA, MARCOS | FA 529 PORT 2022 CL A | 0.00 | 8.67 | 0.00 | 8.67 | 0.0 | 0.00 |
| / / | LORA, CATALINA | FA 529 PORT 2022 CL A | 0.00 | 4.60 | 0.00 | 4.60 | 0.0 | 0.00 |
| / / | POWERS, GARY | FA 529 PORT 2022 CL A | 0.00 | 2.51 | 0.00 | 2.51 | 0.0 | 0.00 |
| / / | REMIA, ALEX | FA 529 PORT 2022 CL A | 0.00 | 3.81 | 0.00 | 3.81 | 0.0 | 0.00 |
| / / | REMIA, ALEX | FA 529 PORT 2022 CL A | 0.00 | 5.73 | 0.00 | 5.73 | 0.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|----------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | DIRECT TRANS | ACTIONS | | | | | |
| PRODUCT CA | TEGORY: 529 PLANS | | | | | | | |
| / / | DORAN, PATRICK | FA 529 PORT 2022 CL A | 0.00 | 1.19 | 0.00 | 1.19 | 0.0 | 0.00 |
| / / | MACUCH, PAUL | FA 529 PORT 2022 CL A | 0.00 | 0.26 | 0.00 | 0.26 | 0.0 | 0.00 |
| / / | KORNITSKY, GAVIN | FA 529 PORT 2022 CL A | 0.00 | 6.59 | 0.00 | 6.59 | 0.0 | 0.00 |
| / / | MACUCH, PAUL | FA 529 PORT 2022 CL A | 0.00 | 1.43 | 0.00 | 1.43 | 0.0 | 0.00 |
| / / | DORAN, SHAWN | FA 529 PORT 2022 CL A | 0.00 | 0.78 | 0.00 | 0.78 | 0.0 | 0.00 |
| / / | LORA, ROLANDO | FA 529 PORT 2022 CL A | 0.00 | 3.54 | 0.00 | 3.54 | 0.0 | 0.00 |
| / / | DORAN, ANN MARIE | FA 529 PORT 2022 CL A | 0.00 | 0.71 | 0.00 | 0.71 | 0.0 | 0.00 |
| / / | YFANTOPULOS, GEORGE | FA 529 PORT 2022 CL A | 0.00 | 9.29 | 0.00 | 9.29 | 0.0 | 0.00 |
| / / | MURPHY, CHRIS | FA 529 PORT 2025 A | 0.00 | 4.37 | 0.00 | 4.37 | 0.0 | 0.00 |
| / / | MACK, NEIL | FA 529 PORT 2025 A | 0.00 | 2.10 | 0.00 | 2.10 | 0.0 | 0.00 |
| / / | MURPHY, CHRIS | FA 529 PORT 2025 C | 0.00 | 16.39 | 0.00 | 16.39 | 0.0 | 0.00 |
| / / | MACK, NEIL | FA 529 PORT 2025 C | 0.00 | 11.27 | 0.00 | 11.27 | 0.0 | 0.00 |
| 03/01/2018 | MURPHY, CHRIS | FA 529 PORT 2025 C | 250.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 03/01/2018 | MACK, NEIL | FA 529 PORT 2025 C | 250.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| / / | MACK, NEIL | FA 529 PORT 2028 A | 0.00 | 1.01 | 0.00 | 1.01 | 0.0 | 0.00 |
| / / | MACK, NEIL | FA 529 PORT 2028 C | 0.00 | 11.68 | 0.00 | 11.68 | 0.0 | 0.00 |
| 03/01/2018 | MACK, NEIL | FA 529 PORT 2028 C | 250.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| / / | FACADA, MANUEL | FIDELITY ADVISOR 529 COLLEGE PORT C | 0.00 | 6.51 | 0.00 | 6.51 | 0.0 | 0.00 |
| / / | FACADA, ANNA | FIDELITY ADVISOR 529 COLLEGE PORT C | 0.00 | 5.55 | 0.00 | 5.55 | 0.0 | 0.00 |
| | | * 529 PLANS SUBTOTAL * | \$750.00 | \$185.67 | \$0.00 | \$185.67 | | \$0.00 |
| PRODUCT CA | TEGORY: VARIABLE ANNUITIES | | | | | | | |
| 02/28/2018 | TATAROUNS, WILLIAM | JNL PERSPECTIVE L | 83,940.39 | 69.95 | 0.00 | 69.95 | 0.0 | 0.00 |
| 02/28/2018 | TATAROUNS, LELA | JNL PERSPECTIVE REWARDS | 214,117.01 | 89.22 | 0.00 | 89.22 | 0.0 | 0.00 |
| | | * VARIABLE ANNUITIES SUBTOTAL * | \$298,057.40 | \$159.17 | \$0.00 | \$159.17 | | \$0.00 |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$298,807.40 | \$344.84 | \$0.00 | \$344.84 | | \$0.00 |

BROKER COMMISSION TOTALS

| Broker Transactions | \$0.00 |
|-----------------------|--------|
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |
| | |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD