



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2018**

06/15/2018  
 Page No. 1

MARK-S141 REG SMITH

BROKER #: S141  
 BRANCH: WOODS FINANCIAL

, MI

| DATE                                  | CLIENT             | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>CLEARING TRANSACTIONS</u></b>   |                    |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS</b> |                    |                                     |                      |                     |                    |                   |      |                      |
| 05/09/2018                            | BOWLAND, BOBBY     | AM FUNDS AMERICAN MUTUAL A          | 0.00                 | 4.14                | 0.00               | 4.14              | 48.0 | 1.99                 |
| 05/09/2018                            | CHAPMAN, KENNETH   | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 0.08                | 0.00               | 0.08              | 48.0 | 0.04                 |
| 05/09/2018                            | CHAPMAN, TERRYALIN | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 0.08                | 0.00               | 0.08              | 48.0 | 0.04                 |
| 05/09/2018                            | BOWLAND, BOBBY     | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 6.34                | 0.00               | 6.34              | 48.0 | 3.04                 |
| 05/09/2018                            | BOWLAND, BOBBY     | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 3.55                | 0.00               | 3.55              | 48.0 | 1.70                 |
| 05/09/2018                            | WITKOWSKI, CHET    | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.55                | 0.00               | 0.55              | 48.0 | 0.26                 |
| 05/09/2018                            | BRIDGES, JANIS     | AM FUNDS FUNDAMENTAL INVESTORS A    | 0.00                 | 1.64                | 0.00               | 1.64              | 48.0 | 0.79                 |
| 05/09/2018                            | WITKOWSKI, CHET    | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.55                | 0.00               | 0.55              | 48.0 | 0.26                 |
| 05/09/2018                            | BOWLAND, BOBBY     | AM FUNDS ICA A                      | 0.00                 | 4.07                | 0.00               | 4.07              | 48.0 | 1.95                 |
| 05/09/2018                            | WITKOWSKI, CHET    | AM FUNDS ICA A                      | 0.00                 | 0.53                | 0.00               | 0.53              | 48.0 | 0.25                 |
| 05/09/2018                            | CHAPMAN, KENNETH   | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.15                | 0.00               | 0.15              | 48.0 | 0.07                 |
| 05/09/2018                            | CHAPMAN, TERRYALIN | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.15                | 0.00               | 0.15              | 48.0 | 0.07                 |
| 05/09/2018                            | BRIDGES, JANIS     | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 2.10                | 0.00               | 2.10              | 48.0 | 1.01                 |
| 05/09/2018                            | BOWLAND, BOBBY     | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 3.41                | 0.00               | 3.41              | 48.0 | 1.64                 |
| 05/09/2018                            | WITKOWSKI, CHET    | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.53                | 0.00               | 0.53              | 48.0 | 0.25                 |
| 05/09/2018                            | CHAPMAN, KENNETH   | AM FUNDS INTERMEDIATE BOND FUND OF  | 0.00                 | 0.06                | 0.00               | 0.06              | 48.0 | 0.03                 |
| 05/09/2018                            | CHAPMAN, TERRYALIN | AM FUNDS INTERMEDIATE BOND FUND OF  | 0.00                 | 0.06                | 0.00               | 0.06              | 48.0 | 0.03                 |
| 05/09/2018                            | CHAPMAN, KENNETH   | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 0.21                | 0.00               | 0.21              | 48.0 | 0.10                 |
| 05/09/2018                            | CHAPMAN, TERRYALIN | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 0.21                | 0.00               | 0.21              | 48.0 | 0.10                 |
| 05/09/2018                            | WARD, SHERRY       | OPPENHEIMER MAIN STREET OPP A       | 0.00                 | 0.57                | 0.00               | 0.57              | 48.0 | 0.27                 |
| 05/09/2018                            | NICODIN, EARL      | PUTNAM INVESTORS A                  | 0.00                 | 0.92                | 0.00               | 0.92              | 48.0 | 0.44                 |
| * MUTUAL FUNDS SUBTOTAL *             |                    |                                     | \$0.00               | \$29.90             | \$0.00             | \$29.90           |      | \$14.33              |
| *** CLEARING TRANSACTIONS TOTAL ***   |                    |                                     | \$0.00               | \$29.90             | \$0.00             | \$29.90           |      | \$14.33              |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE   | CLIENT             | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>            |                    |                                    |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                    |                                    |                      |                     |                    |                   |      |                      |
| 06/01/2018                                   | JOHNSON, FRANKLIN  | AM FUNDS AMCAP A                   | 50.00                | 2.50                | 0.00               | 2.50              | 48.0 | 1.20                 |
| 06/01/2018                                   | BONGIORNO, VALERIE | AM FUNDS AMCAP A                   | 50.00                | 2.50                | 0.00               | 2.50              | 48.0 | 1.20                 |
| 06/01/2018                                   | JOHNSON, FRANKLIN  | AM FUNDS AMERICAN MUTUAL A         | 50.00                | 2.50                | 0.00               | 2.50              | 48.0 | 1.20                 |
| 06/01/2018                                   | JOHNSON, FRANKLIN  | AM FUNDS EUROPACIFIC GROWTH FUND A | 50.00                | 2.50                | 0.00               | 2.50              | 48.0 | 1.20                 |
| 06/01/2018                                   | JOHNSON, FRANKLIN  | AM FUNDS FUNDAMENTAL INVESTORS A   | 50.00                | 2.50                | 0.00               | 2.50              | 48.0 | 1.20                 |
| 06/01/2018                                   | JOHNSON, FRANKLIN  | AM FUNDS GROWTH FUND OF AMERICA A  | 50.00                | 2.50                | 0.00               | 2.50              | 48.0 | 1.20                 |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>             |                    |                                    | <b>\$300.00</b>      | <b>\$15.00</b>      | <b>\$0.00</b>      | <b>\$15.00</b>    |      | <b>\$7.20</b>        |

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

|  |                           |                             |                     |                   |               |                   |      |                   |
|--|---------------------------|-----------------------------|---------------------|-------------------|---------------|-------------------|------|-------------------|
| 05/29/2018                             | ORR, PATRICIA             | AM GEN POLARIS PLATINUM III | 33,494.44           | 2,344.61          | 0.00          | 2,344.61          | 48.0 | 1,125.41          |
| 05/31/2018                             | BRIDGES, JANIS            | JNL ELITE ACCESS            | 107,951.90          | 89.96             | 0.00          | 89.96             | 48.0 | 43.18             |
| 05/31/2018                             | RHODES, VIRGINIA          | JNL ELITE ACCESS            | 77,011.91           | 64.18             | 0.00          | 64.18             | 48.0 | 30.81             |
| 05/31/2018                             | CROWTHER, JOHN            | JNL ELITE ACCESS            | 59,509.70           | 12.40             | 0.00          | 12.40             | 48.0 | 5.95              |
| 05/31/2018                             | NOBLES, VERLIE            | JNL PERSPECTIVE L           | 31,181.87           | 33.78             | 0.00          | 33.78             | 48.0 | 16.21             |
| 05/31/2018                             | REEDER, WESLEY            | JNL PERSPECTIVE L           | 18,181.93           | 19.70             | 0.00          | 19.70             | 48.0 | 9.46              |
| 05/31/2018                             | SPURGIN, BRENT            | JNL PERSPECTIVE L           | 36,290.00           | 39.31             | 0.00          | 39.31             | 48.0 | 18.87             |
| 05/31/2018                             | WHISTLER, ROBERT M & LIND | JNL PERSPECTIVE L           | 49,459.89           | 41.22             | 0.00          | 41.22             | 48.0 | 19.79             |
| 05/31/2018                             | WHISTLER, ROBERT M & LIND | JNL PERSPECTIVE L           | 40,017.55           | 43.35             | 0.00          | 43.35             | 48.0 | 20.81             |
| 05/31/2018                             | NOBLES, VERLIE            | JOHN HANCOCK VENTURE III    | 30,644.18           | 25.54             | 0.00          | 25.54             | 48.0 | 12.26             |
| 04/30/2018                             | JEFFERS, LARRY            | PRU AS APEX II              | 118,237.83          | 24.63             | 0.00          | 24.63             | 48.0 | 11.82             |
| 04/30/2018                             | JEFFERS, LARRY            | PRU AS APEX II              | 118,237.83          | 98.53             | 0.00          | 98.53             | 48.0 | 47.29             |
| 05/31/2018                             | JEFFERS, LARRY            | PRU AS APEX II              | 118,902.04          | 24.77             | 0.00          | 24.77             | 48.0 | 11.89             |
| 05/31/2018                             | JEFFERS, LARRY            | PRU AS APEX II              | 118,902.04          | 99.09             | 0.00          | 99.09             | 48.0 | 47.56             |
| 05/30/2018                             | BURNS, ANNE               | SEC BEN 814121158           | 34,500.42           | 28.74             | 0.00          | 28.74             | 48.0 | 13.80             |
| <b>* VARIABLE ANNUITIES SUBTOTAL *</b> |                           |                             | <b>\$992,523.53</b> | <b>\$2,989.81</b> | <b>\$0.00</b> | <b>\$2,989.81</b> |      | <b>\$1,435.11</b> |

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

|            |                    |                                 |      |      |      |      |      |      |
|------------|--------------------|---------------------------------|------|------|------|------|------|------|
| 06/01/2018 | JOHNSON, FRANKLIN  | AM FUNDS AMCAP A                | 0.00 | 2.55 | 0.00 | 2.55 | 48.0 | 1.22 |
| 06/01/2018 | BONGIORNO, VALERIE | AM FUNDS AMCAP A                | 0.00 | 1.11 | 0.00 | 1.11 | 48.0 | 0.53 |
| 06/01/2018 | BOWLAND, BOBBY     | AM FUNDS AMERICAN BALANCED A    | 0.00 | 4.91 | 0.00 | 4.91 | 48.0 | 2.36 |
| 06/01/2018 | BOWLAND, TERRI     | AM FUNDS AMERICAN BALANCED A    | 0.00 | 7.56 | 0.00 | 7.56 | 48.0 | 3.63 |
| 06/01/2018 | SCHONFELD, NANCY   | AM FUNDS AMERICAN BALANCED A    | 0.00 | 3.81 | 0.00 | 3.81 | 48.0 | 1.83 |
| 06/01/2018 | JOHNSON, FRANKLIN  | AM FUNDS AMERICAN MUTUAL A      | 0.00 | 2.36 | 0.00 | 2.36 | 48.0 | 1.13 |
| 06/01/2018 | CHAPMAN, KENNETH   | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 1.19 | 0.00 | 1.19 | 48.0 | 0.57 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE   | CLIENT             | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                |                    |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                    |                                     |                      |                     |                    |                   |      |                      |
| 06/01/2018                                       | CHAPMAN, TERRYALIN | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 3.87                | 0.00               | 3.87              | 48.0 | 1.86                 |
| 06/01/2018                                       | CA/TOD, KAI-LI     | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 4.30                | 0.00               | 4.30              | 48.0 | 2.06                 |
| 06/01/2018                                       | SCHONFELD, NANCY   | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 2.38                | 0.00               | 2.38              | 48.0 | 1.14                 |
| 06/01/2018                                       | BONGIORNO, VALERIE | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.97                | 0.00               | 0.97              | 48.0 | 0.47                 |
| 06/01/2018                                       | CA/TOD, KAI-LI     | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 4.67                | 0.00               | 4.67              | 48.0 | 2.24                 |
| 06/01/2018                                       | SCHONFELD, NANCY   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 2.97                | 0.00               | 2.97              | 48.0 | 1.43                 |
| 06/01/2018                                       | BONGIORNO, VALERIE | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.08                | 0.00               | 1.08              | 48.0 | 0.52                 |
| 06/01/2018                                       | JOHNSON, FRANKLIN  | AM FUNDS EUROPACIFIC GROWTH FUND A  | 0.00                 | 2.23                | 0.00               | 2.23              | 48.0 | 1.07                 |
| 06/01/2018                                       | BEDFORD, ELIZABETH | AM FUNDS FUNDAMENTAL INVESTORS A    | 0.00                 | 6.64                | 0.00               | 6.64              | 48.0 | 3.19                 |
| 06/01/2018                                       | JOHNSON, FRANKLIN  | AM FUNDS FUNDAMENTAL INVESTORS A    | 0.00                 | 2.56                | 0.00               | 2.56              | 48.0 | 1.23                 |
| 06/01/2018                                       | STEPHENS, BRADLEY  | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00                 | 8.93                | 0.00               | 8.93              | 48.0 | 4.29                 |
| 06/01/2018                                       | BEDFORD, ELIZABETH | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 7.63                | 0.00               | 7.63              | 48.0 | 3.66                 |
| 06/01/2018                                       | BOWLAND, BOBBY     | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 4.14                | 0.00               | 4.14              | 48.0 | 1.99                 |
| 06/01/2018                                       | BOWLAND, TERRI     | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 6.35                | 0.00               | 6.35              | 48.0 | 3.05                 |
| 06/01/2018                                       | JOHNSON, FRANKLIN  | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 2.75                | 0.00               | 2.75              | 48.0 | 1.32                 |
| 06/01/2018                                       | BONGIORNO, VALERIE | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 1.16                | 0.00               | 1.16              | 48.0 | 0.56                 |
| 06/01/2018                                       | STEPHENS, BRAZOS   | AM FUNDS GROWTH PORT 529A           | 0.00                 | 10.73               | 0.00               | 10.73             | 48.0 | 5.15                 |
| 06/01/2018                                       | VOORHEES, CLIFFORD | AM FUNDS GROWTH PORT A              | 0.00                 | 7.20                | 0.00               | 7.20              | 48.0 | 3.46                 |
| 06/01/2018                                       | BEDFORD, CHESTER   | AM FUNDS ICA A                      | 0.00                 | 8.34                | 0.00               | 8.34              | 48.0 | 4.00                 |
| 06/01/2018                                       | MOLINA, JEREMY     | AM FUNDS ICA A                      | 0.00                 | 11.42               | 0.00               | 11.42             | 48.0 | 5.48                 |
| 06/01/2018                                       | MCGINNIS, KANDACE  | AM FUNDS ICA A                      | 0.00                 | 8.05                | 0.00               | 8.05              | 48.0 | 3.86                 |
| 06/01/2018                                       | BEDFORD, CHESTER   | AM FUNDS NEW ECONOMY A              | 0.00                 | 5.90                | 0.00               | 5.90              | 48.0 | 2.83                 |
| 06/01/2018                                       | BOWLAND, BOBBY     | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 3.89                | 0.00               | 3.89              | 48.0 | 1.87                 |
| 06/01/2018                                       | BOWLAND, TERRI     | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 5.61                | 0.00               | 5.61              | 48.0 | 2.69                 |
| 06/01/2018                                       | MOLINA, JEREMY     | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 4.48                | 0.00               | 4.48              | 48.0 | 2.15                 |
| 06/01/2018                                       | MCGINNIS, KANDACE  | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 3.35                | 0.00               | 3.35              | 48.0 | 1.61                 |
| 06/01/2018                                       | VOORHEES, CLIFFORD | AM FUNDS PRESERVATION PORT A        | 0.00                 | 12.23               | 0.00               | 12.23             | 48.0 | 5.87                 |
| 06/01/2018                                       | VOORHEES, CINDY    | AM FUNDS PRESERVATION PORT A        | 0.00                 | 12.24               | 0.00               | 12.24             | 48.0 | 5.88                 |
| 06/01/2018                                       | JOHNSON, FRANKLIN  | AM FUNDS U.S. GOVERNMENT SECURITIES | 0.00                 | 0.82                | 0.00               | 0.82              | 48.0 | 0.39                 |
| 06/01/2018                                       | MOLINA, JEREMY     | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00                 | 6.10                | 0.00               | 6.10              | 48.0 | 2.93                 |
| 06/01/2018                                       | MCGINNIS, KANDACE  | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00                 | 4.10                | 0.00               | 4.10              | 48.0 | 1.97                 |
| 06/01/2018                                       | WILLIAMS, JUANA    | AMERICAN FUNDS US GOV'T SECURITIES  | 0.00                 | 4.17                | 0.00               | 4.17              | 48.0 | 2.00                 |
| / /  | BONGIORNO, JANET   | RYDEX BIOTECHNOLOGY A               | 0.00                 | 0.04                | 0.00               | 0.04              | 48.0 | 0.02                 |

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| DATE   | CLIENT            | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                |                   |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                   |                                     |                      |                     |                    |                   |      |                      |
| / /  | BONGIORNO, JANET  | RYDEX GUGG REAL ESTATE A            | 0.00                 | 0.09                | 0.00               | 0.09              | 48.0 | 0.04                 |
| / /  | BONGIORNO, JANET  | RYDEX GUGGENHEIM UTILITIES A        | 0.00                 | 0.07                | 0.00               | 0.07              | 48.0 | 0.03                 |
| / /  | BONGIORNO, JANET  | RYDEX HEALTH CARE-A                 | 0.00                 | 0.05                | 0.00               | 0.05              | 48.0 | 0.02                 |
| 06/04/2018                                       | RADEMAKERS, DAVID | TRANSAMERICA TACTICAL INCOME C      | 0.00                 | 10.59               | 0.00               | 10.59             | 48.0 | 5.08                 |
| 06/04/2018                                       | HARRIS, GENIVA    | TRANSAMERICA TACTICAL INCOME C      | 0.00                 | 4.28                | 0.00               | 4.28              | 48.0 | 2.05                 |
| / /  | SCHONFELD, NANCY  | VAN ECK INTERNATIONAL INVESTORS GOL | 0.00                 | 7.03                | 0.00               | 7.03              | 48.0 | 3.37                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      |                   |                                     | <b>\$0.00</b>        | <b>\$216.90</b>     | <b>\$0.00</b>      | <b>\$216.90</b>   |      | <b>\$104.10</b>      |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>         |                   |                                     | <b>\$992,823.53</b>  | <b>\$3,221.71</b>   | <b>\$0.00</b>      | <b>\$3,221.71</b> |      | <b>\$1,546.41</b>    |

**ADJUSTMENTS**

|                                  |   |      |               |               |               |               |  |                  |
|----------------------------------|---|------|---------------|---------------|---------------|---------------|--|------------------|
| 06/15/2018                       | *AI INSIGHT: AI INSIGHT - CURRENT MONTH | 0.00 |               |               |               |               |  | -7.00            |
| 06/15/2018                       | *EO INS: CURRENT MONTH INS              | 0.00 |               |               |               |               |  | -300.00          |
| 06/15/2018                       | *LASER APP: LASER APP - CURRENT MONTH   | 0.00 |               |               |               |               |  | -18.00           |
| 06/15/2018                       | *RBC: RBC CURRENT MONTH                 | 0.00 |               |               |               |               |  | -25.00           |
| 06/15/2018                       | *RBC: W/O DEBIT BAL 7A503012            | 0.00 |               |               |               |               |  | -35.00           |
| 06/15/2018                       | *RBC: W/O DEBIT BAL 7A503013            | 0.00 |               |               |               |               |  | -35.00           |
| 06/15/2018                       | *RBC: WEALTHSTATION - INMAN             | 0.00 |               |               |               |               |  | -25.00           |
| 06/15/2018                       | *TECHNOLOGY: ADMIN - D INMAN            | 0.00 |               |               |               |               |  | -130.00          |
| 06/15/2018                       | *TECHNOLOGY: CURRENT MONTH - BASIC      | 0.00 |               |               |               |               |  | -180.00          |
| <b>*** ADJUSTMENTS TOTAL ***</b> |   |      | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$0.00</b> |  | <b>\$-755.00</b> |

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**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$1,560.74 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$-755.00  |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$805.74    |
| Year-to-date Gross    | \$67,058.95 |
| Year-to-date Earnings | \$32,156.89 |