

**COMMISSION STATEMENT****October 15, 2017**BRION NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
10/11/2017	NORBY, CHERISE	SEI	146,550.23	366.38	0.00	366.38	90.0	329.74
10/11/2017	NORBY, CHERISE	SEI	4,882.77	12.21	0.00	12.21	90.0	10.99
10/11/2017	NORBY, BRION	SEI	7,798.17	19.50	0.00	19.50	90.0	17.55
* RIA SUBTOTAL *			\$159,231.17	\$398.09	\$0.00	\$398.09		\$358.28
*** BROKER TRANSACTIONS TOTAL ***			\$159,231.17	\$398.09	\$0.00	\$398.09		\$358.28

SPLIT TRANSACTIONS**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

10/10/2017	HELLER, GREG	PERSHING	172,168.70	271.23	0.00	271.23	90.0	244.11
10/10/2017	HELLER, GREG	PERSHING	34,296.63	54.03	0.00	54.03	90.0	48.63
10/10/2017	HICKEL, TONY	PERSHING	130,866.83	239.15	0.00	239.15	90.0	215.24
10/10/2017	WEBER, DANIEL	PERSHING	54,209.12	85.40	0.00	85.40	90.0	76.86
10/10/2017	WEBER, TICINIE	PERSHING	21,314.49	33.58	0.00	33.58	90.0	30.22
10/10/2017	WEBER, DANIEL	PERSHING	11,329.17	17.85	0.00	17.85	90.0	16.07
10/10/2017	LIESENER, MARTY	PERSHING	32,731.11	51.57	0.00	51.57	90.0	46.41
10/10/2017	MILLER, MELINDA	PERSHING	2,535.61	4.00	0.00	4.00	90.0	3.60
10/10/2017	MILLER, MELINDA	PERSHING	36,608.28	57.67	0.00	57.67	90.0	51.90
10/10/2017	MILLER, CHARLES	PERSHING	43,702.79	68.85	0.00	68.85	90.0	61.97
10/10/2017	MILLER, CHARLES	PERSHING	32,029.99	50.46	0.00	50.46	90.0	45.41
10/10/2017	BROE, CRAIG	PERSHING	119,068.74	187.58	0.00	187.58	90.0	168.82
10/10/2017	HICKEL, TONY	PERSHING	4,166.03	7.62	0.00	7.62	90.0	6.86
10/10/2017	DEVLIN, DON	PERSHING	3,557.88	6.50	0.00	6.50	90.0	5.85
10/10/2017	LIESENER TRUST, VICKI	PERSHING	11,810.48	21.58	0.00	21.58	90.0	19.42
10/11/2017	BARTINGALE, JAMES	SEI	6,659.84	10.41	0.00	10.41	90.0	9.37
10/11/2017	BENSON, JEFFREY	SEI	139,140.33	234.80	0.00	234.80	90.0	211.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
10/11/2017	DEVLIN, DON	SEI	32,353.66	54.60	0.00	54.60	90.0	49.14
10/11/2017	DEVLIN, DON	SEI	5,192.22	8.76	0.00	8.76	90.0	7.88
10/11/2017	HARMEL, ERIC	SEI	102.79	0.04	0.00	0.04	90.0	0.04
10/11/2017	HARMEL, ERIC	SEI	10,343.82	17.46	0.00	17.46	90.0	15.71
10/11/2017	HEEN, LINDA	SEI	15,765.77	6.90	0.00	6.90	90.0	6.21
10/11/2017	KRINGEN, STUART	SEI	330,053.60	123.77	0.00	123.77	90.0	111.39
10/11/2017	LIESENER, MARTY	SEI	58,606.63	98.90	0.00	98.90	90.0	89.01
10/11/2017	WEBER, DANIEL	SEI	54,487.71	20.44	0.00	20.44	90.0	18.40
10/11/2017	BARTINGALE, JAMES	SEI	113,618.53	191.73	0.00	191.73	90.0	172.56
10/11/2017	BARTINGALE, JAMES	SEI	78,266.25	129.53	0.00	129.53	90.0	116.58
10/11/2017	BENSON, JEFFREY	SEI	15,798.12	24.69	0.00	24.69	90.0	22.22
10/11/2017	HUGHES, MITCH	SEI	11,109.51	18.75	0.00	18.75	90.0	16.88
10/11/2017	SIKOLE, ROBERT	SEI	294,337.00	496.70	0.00	496.70	90.0	447.03
10/11/2017	SIKOLE, ROBERT	SEI	74.68	0.13	0.00	0.13	90.0	0.12
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$1,876,306.31	\$2,594.68	\$0.00	\$2,594.68		\$2,335.23
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
09/12/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.20	0.00	1.20	90.0	1.08
09/12/2017	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	90.0	0.19
09/12/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	1.36	0.00	1.36	90.0	1.22
09/12/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.77	0.00	1.77	90.0	1.59
09/12/2017	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	0.51	0.00	0.51	90.0	0.46
09/12/2017	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.24	0.00	0.24	90.0	0.22
09/25/2017	SINNESS, ELAINE	BLACKRCOK MUN BD FD INC	9,986.42	0.00	0.00	0.00	90.0	0.00
09/19/2017	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.21	0.00	0.21	90.0	0.19
09/19/2017	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.01	0.00	0.01	90.0	0.01
09/12/2017	SINNESS, STEVEN	FIRST EAGLE GLOBAL FUND CL A	0.00	0.21	0.00	0.21	90.0	0.19
09/07/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	7,210.90	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	10,774.73	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	7,199.08	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	3,609.48	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	12,607.77	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	8,897.07	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR CORE TOTAL U S BD MKT ET	42,661.11	0.00	2.42	-2.42	90.0	-2.18

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333								
09/07/2017	LARAMIE, JAMES	ISHARES TR IBOX USD INVT GRADE COR	7,170.26	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	5,313.94	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 2000 INDEX FD	6,942.01	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	20,856.35	0.00	2.42	-2.42	90.0	-2.18
09/12/2017	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	0.20	0.00	0.20	90.0	0.18
09/07/2017	LARAMIE, JAMES	POWERSHARES DB COMMODITY INDEX TRAC	6,761.69	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	POWERSHARES EXCHANGE-TRADED FD TR I	9,148.95	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	7,248.46	0.00	2.42	-2.42	90.0	-2.18
10/10/2017	KALIL, DANIEL	RBC	66,584.10	18.46	0.00	18.46	90.0	16.61
10/10/2017	KALIL, DANIEL	RBC	126,714.86	35.13	0.00	35.13	90.0	31.62
10/10/2017	KALIL, DANIEL	RBC	68,938.32	19.11	0.00	19.11	90.0	17.20
10/10/2017	KALIL, DANIEL	RBC	106,563.13	29.55	0.00	29.55	90.0	26.60
10/10/2017	KALIL, DANIEL	RBC	375,086.56	104.00	0.00	104.00	90.0	93.60
10/10/2017	KALIL, DANIEL	RBC	106,168.09	29.44	0.00	29.44	90.0	26.50
10/10/2017	SCHWAN, BRIAN	RBC	201,100.26	55.76	0.00	55.76	90.0	50.18
10/10/2017	SCHWAN, BRIAN	RBC	36,529.75	10.13	0.00	10.13	90.0	9.12
10/10/2017	DONAI, RUTH	RBC	65,676.41	18.21	0.00	18.21	90.0	16.39
10/10/2017	DONAI, RUTH	RBC	168,118.83	46.61	0.00	46.61	90.0	41.95
10/10/2017	DONAI, DONALD	RBC	97,434.11	27.01	0.00	27.01	90.0	24.31
10/10/2017	DONAI, DONALD	RBC	76,741.21	21.28	0.00	21.28	90.0	19.15
10/10/2017	DONAI, DONALD	RBC	119,700.29	33.19	0.00	33.19	90.0	29.87
10/10/2017	HATLESTAD, PATRICK	RBC	21,228.49	5.89	0.00	5.89	90.0	5.30
10/10/2017	HATLESTAD, PATRICK	RBC	187,281.52	51.93	0.00	51.93	90.0	46.74
10/10/2017	HATLESTAD, DURELLE	RBC	8,927.32	2.48	0.00	2.48	90.0	2.23
10/10/2017	HATLESTAD, DURELLE	RBC	143,847.86	39.88	0.00	39.88	90.0	35.89
10/10/2017	SINNESS, STEVEN	RBC	487,277.55	135.10	0.00	135.10	90.0	121.59
10/10/2017	SINNESS, STEVEN	RBC	80,352.42	22.28	0.00	22.28	90.0	20.05
10/10/2017	SINNESS, STEVEN	RBC	5,062.10	1.40	0.00	1.40	90.0	1.26
10/10/2017	SINNESS, ELAINE	RBC	76,222.11	21.13	0.00	21.13	90.0	19.02
10/10/2017	SINNESS, ELAINE	RBC	10,193.59	2.83	0.00	2.83	90.0	2.55
10/10/2017	SINNESS, ELAINE	RBC	114,015.17	31.61	0.00	31.61	90.0	28.45
10/10/2017	POWELL, WILLIAM	RBC	151,945.80	42.13	0.00	42.13	90.0	37.92
10/10/2017	POWELL, JANICE	RBC	87,042.42	24.13	0.00	24.13	90.0	21.72

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
10/10/2017	LARAMIE, JAMES	RBC	18,370.49	5.09	0.00	5.09	90.0	4.58
10/10/2017	MORRIS, PATRICK	RBC	5,681.59	1.58	0.00	1.58	90.0	1.42
10/10/2017	MORRIS, SANDRA PACKER	RBC	22,197.41	6.15	0.00	6.15	90.0	5.54
10/10/2017	MORRIS, PATRICK	RBC	37,793.73	10.48	0.00	10.48	90.0	9.43
10/10/2017	VESTAL, KATHY	RBC	153,115.11	42.45	0.00	42.45	90.0	38.21
10/10/2017	VESTAL, KATHY	RBC	245,293.85	68.01	0.00	68.01	90.0	61.21
10/10/2017	LARAMIE, ELAINE	RBC	10,173.32	2.82	0.00	2.82	90.0	2.54
10/10/2017	ALEXANDER, MICHELLE	RBC	222,731.81	61.76	0.00	61.76	90.0	55.58
09/12/2017	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.01	0.00	0.01	90.0	0.01
09/12/2017	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.18	0.00	0.18	90.0	0.16
09/12/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.57	0.00	0.57	90.0	0.51
09/12/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.17	0.00	0.17	90.0	0.15
09/12/2017	VESTAL, KATHY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.12	0.00	0.12	90.0	0.11
09/07/2017	LARAMIE, JAMES	SPDR S&P MIDCAP 400 ETF TR UNIT SER	11,555.56	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	SPDR SER TR BARCLAYS HIGH YIELD BD	5,039.48	0.00	0.00	0.00	90.0	0.00
09/12/2017	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.39	0.00	0.39	90.0	0.35
09/12/2017	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.07	0.00	0.07	90.0	0.06
09/12/2017	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.23	0.00	0.23	90.0	0.21
09/12/2017	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.06	0.00	0.06	90.0	0.05
09/12/2017	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.01	0.00	0.01	90.0	0.01
09/07/2017	LARAMIE, JAMES	VANGUARD CHARLOTTE FDS TOTAL INTL B	5,104.78	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INDEX FDS VANGUARD GROWTH	8,963.07	0.00	2.42	-2.42	90.0	-2.18
09/26/2017	SINNESS, ELAINE	VANGUARD INDEX FDS VANGUARD GROWTH	9,863.99	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INDEX FDS VANGUARD REIT ET	4,656.86	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FD INC F	57,285.22	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	10,822.00	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	7,221.75	0.00	2.42	-2.42	90.0	-2.18
09/07/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	28,935.10	0.00	2.42	-2.42	90.0	-2.18
09/12/2017	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.06	0.00	0.06	90.0	0.05
09/12/2017	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.15	0.00	0.15	90.0	0.14
09/12/2017	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.36	0.00	0.36	90.0	0.32
* JBB JEN-BRENT-BRION TRU-DONAI, S- SUBTOTAL *			\$4,019,945.61	\$1,035.31	\$55.66	\$979.65		\$881.65

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	486.00	8.00	3.52	4.48	90.0	4.03
09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	106.06	8.00	3.52	4.48	90.0	4.03
09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	1,461.42	8.16	3.52	4.64	90.0	4.18
08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	-63,258.90	-122.78	-3.52	-119.26	90.0	-107.33
08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	63,258.94	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	-6,194.77	-28.14	-3.52	-24.62	90.0	-22.16
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	6,194.77	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-43,548.60	-101.05	-3.52	-97.53	90.0	-87.78
08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	43,548.59	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	796.46	8.00	3.52	4.48	90.0	4.03
09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	908.03	8.00	3.52	4.48	90.0	4.03
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	-35,464.10	-95.77	-3.52	-92.25	90.0	-83.03
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	35,464.11	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	-50,565.10	-119.25	-3.52	-115.73	90.0	-104.16
08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	50,565.10	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	-24,105.90	-33.60	-3.52	-30.08	90.0	-27.07
08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	24,105.88	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	1,090.89	8.00	3.52	4.48	90.0	4.03
08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	-11,236.30	-32.67	-3.52	-29.15	90.0	-26.24
08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	11,236.31	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	-3,316.81	-13.31	-3.52	-9.79	90.0	-8.81
08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	3,316.81	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	15,102.60	41.47	3.52	37.95	90.0	34.16
10/10/2017	KINCAID INDUSTRIES	ISHARES CORE S&P SMALL CAP ETF	8,918.65	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	-3,305.09	-13.28	-3.52	-9.76	90.0	-8.78
08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	3,305.09	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	-11,206.00	-22.25	-3.52	-18.73	90.0	-16.86
08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	11,206.00	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	-3,247.25	-13.15	-3.52	-9.63	90.0	-8.67
08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	3,247.25	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	13,775.39	30.16	3.52	26.64	90.0	23.98
10/10/2017	KINCAID INDUSTRIES	ISHARES S&P 500 VALUE ETF	13,415.60	0.00	0.00	0.00	90.0	0.00
09/21/2017	HUGGINS, JAMES	ISHARES S&P SMALL CAP 600	3,282.56	0.00	3.52	-3.52	90.0	-3.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
09/07/2017	HUGGINS, JAMES	ISHARES TR	32,181.68	84.03	3.52	80.51	90.0	72.46
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-14,978.60	-33.60	-3.52	-30.08	90.0	-27.07
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	14,978.63	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-4,400.24	-15.72	-3.52	-12.20	90.0	-10.98
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	4,400.24	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	20,076.03	46.50	3.52	42.98	90.0	38.68
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	8,942.86	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-7,461.72	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,461.72	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-2,150.50	-10.37	-3.52	-6.85	90.0	-6.17
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	2,150.50	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	9,954.55	16.80	3.52	13.28	90.0	11.95
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 20+ YR TREAS BD	4,365.78	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-56,206.40	-91.00	-3.52	-87.48	90.0	-78.73
08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	56,206.44	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-16,531.10	-33.60	-3.52	-30.08	90.0	-27.07
08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	16,531.08	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	75,462.28	117.60	3.52	114.08	90.0	102.67
10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS INTER CR BD FD	15,631.35	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-18,700.70	-25.72	-3.52	-22.20	90.0	-19.98
08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	18,700.73	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-5,440.56	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	5,440.56	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	25,095.81	33.60	3.52	30.08	90.0	27.07
10/10/2017	KINCAID INDUSTRIES	ISHARES TR CORE S&P MID-CAP ETF	11,065.38	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	-10,873.50	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	10,873.51	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-18,706.80	-33.60	-3.52	-30.08	90.0	-27.07
08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	18,706.75	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-5,431.95	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	5,431.95	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	25,065.69	39.04	3.52	35.52	90.0	31.97
10/10/2017	KINCAID INDUSTRIES	ISHARES TR IBOXX USD INVT GRADE COR	8,858.40	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334								
08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-11,220.30	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	11,220.31	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-3,294.00	-13.26	-3.52	-9.74	90.0	-8.77
08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	3,294.00	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	14,987.94	28.52	3.52	25.00	90.0	22.50
10/10/2017	KINCAID INDUSTRIES	ISHARES TR RUSSELL 1000 GROWTH INDE	6,724.45	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	3,300.08	13.27	3.52	9.75	90.0	8.78
09/21/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	112.29	0.00	3.52	-3.52	90.0	-3.17
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	-7,439.60	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	7,439.60	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	-2,106.30	-10.23	-3.52	-6.71	90.0	-6.04
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	2,106.30	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	9,973.59	16.80	3.52	13.28	90.0	11.95
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	-116,166.00	-84.00	-3.52	-80.48	90.0	-72.43
08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	116,165.98	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	-34,043.80	-33.60	-3.52	-30.08	90.0	-27.07
08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	34,043.83	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	155,962.86	117.60	3.52	114.08	90.0	102.67
10/10/2017	KINCAID INDUSTRIES	ISHARES TR S&P 500 INDEX FD	67,087.27	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	ISHARES TRUST	2,300.46	10.85	3.52	7.33	90.0	6.60
09/07/2017	HUGGINS, JAMES	JETBLUE AIRWAYS CORP COM	3,010.16	17.08	3.52	13.56	90.0	12.20
09/07/2017	HUGGINS, JAMES	LEAR CORPORATION	1,515.13	8.33	3.52	4.81	90.0	4.33
09/07/2017	HUGGINS, JAMES	LOCKHEED MARTIN CORP COM	3,015.50	12.64	3.52	9.12	90.0	8.21
09/07/2017	HUGGINS, JAMES	MAGELLAN MIDSTREAM PARTNERS LP UNIT	2,189.45	10.49	3.52	6.97	90.0	6.27
09/07/2017	HUGGINS, JAMES	MARTIN MARIETTA MATERIALS INC	1,450.70	8.12	3.52	4.60	90.0	4.14
09/07/2017	HUGGINS, JAMES	METLIFE INC COM	1,168.88	8.00	3.52	4.48	90.0	4.03
09/07/2017	HUGGINS, JAMES	MGM RESORTS INTL COM	2,148.46	10.36	3.52	6.84	90.0	6.16
09/07/2017	HUGGINS, JAMES	MONSANTO CO NEW COM	2,349.25	11.01	3.52	7.49	90.0	6.74
09/07/2017	HUGGINS, JAMES	OWENS ILLINOIS INC	1,000.55	8.00	3.52	4.48	90.0	4.03
10/10/2017	KINCAID INDUSTRIES	PERSHING	229,945.77	46.37	0.00	46.37	90.0	41.73
10/10/2017	KINCAID INDUSTRIES	PERSHING	63,374.78	12.78	0.00	12.78	90.0	11.50
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-15,000.60	-51.97	-3.52	-48.45	90.0	-43.61
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	15,000.61	4.32	3.52	0.80	90.0	0.72

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-4,406.91	-21.12	-3.52	-17.60	90.0	-15.84
08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	4,406.91	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-5,885.25	-27.14	-3.52	-23.62	90.0	-21.26
08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	5,885.25	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	20,111.48	66.26	3.52	62.74	90.0	56.47
10/10/2017	KINCAID INDUSTRIES	POWERSHARES GLOBAL EXCHANGE TRADED	8,950.72	0.00	0.00	0.00	90.0	0.00
10/10/2017	PATOROS, LORI	RBC	101,162.48	40.80	0.00	40.80	90.0	36.72
10/10/2017	PATOROS, JOHN	RBC	163,261.07	65.84	0.00	65.84	90.0	59.26
10/10/2017	LARAMIE, JAMES	RBC	392,785.65	158.41	0.00	158.41	90.0	142.57
10/10/2017	HUGGINS, JAMES	RBC	689,328.51	278.00	0.00	278.00	90.0	250.20
10/10/2017	HUGGINS, JAMES	RBC	46,392.32	18.71	0.00	18.71	90.0	16.84
10/10/2017	HUGGINS, JAMES	RBC	115,227.65	46.47	0.00	46.47	90.0	41.82
09/12/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.31	0.00	0.31	90.0	0.28
09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	1,985.89	9.84	3.52	6.32	90.0	5.69
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	-20,356.30	-62.05	-3.52	-58.53	90.0	-52.68
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	20,356.35	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	-7,225.16	-30.15	-3.52	-26.63	90.0	-23.97
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	7,225.16	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	-43,566.00	-96.58	-3.52	-93.06	90.0	-83.75
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	43,565.96	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	-22,227.30	-68.75	-3.52	-65.23	90.0	-58.71
08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	22,227.29	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	SCHWAB STRATEGIC TR US LARGE-CAP GR	3,533.23	13.79	3.52	10.27	90.0	9.24
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR CONSUMER DISC	1,797.45	9.23	3.52	5.71	90.0	5.14
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR FINANCIAL	2,381.50	11.60	3.52	8.08	90.0	7.27
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR MATLS	2,686.18	11.90	3.52	8.38	90.0	7.54
09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TRUST	1,832.83	9.35	3.52	5.83	90.0	5.25
09/07/2017	HUGGINS, JAMES	SPDR S&P 500 ETF TR TR UNIT	1,726.62	9.01	3.52	5.49	90.0	4.94
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-16.80	0.00	-16.80	90.0	-15.12
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	4.32	0.00	4.32	90.0	3.89
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-16.80	0.00	-16.80	90.0	-15.12
08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	4.32	0.00	4.32	90.0	3.89
09/07/2017	HUGGINS, JAMES	STEEL DYNAMICS INC COM	2,788.18	12.13	3.52	8.61	90.0	7.75

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334</u>								
09/07/2017	HUGGINS, JAMES	U S CONCRETE INC COM NEW	2,323.50	10.92	3.52	7.40	90.0	6.66
09/07/2017	HUGGINS, JAMES	UNITED PARCEL SVC INC CL B	1,599.26	8.60	3.52	5.08	90.0	4.57
09/07/2017	HUGGINS, JAMES	UNITED RENTALS INC COM	1,827.38	9.33	3.52	5.81	90.0	5.23
09/07/2017	HUGGINS, JAMES	USG CORP (NEW) COMMON STOCK	1,127.79	8.00	3.52	4.48	90.0	4.03
10/10/2017	KINCAID INDUSTRIES	VANGUARD INDEX FDS VANGUARD GROWTH	11,159.19	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	VANGUARD INDEX FUNDS SMALLCAP GROWT	3,076.29	12.77	3.52	9.25	90.0	8.33
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-69.49	-3.52	-65.97	90.0	-59.37
08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-6,620.97	-26.85	-3.52	-23.33	90.0	-21.00
08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	6,620.97	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	30,186.59	79.94	3.52	76.42	90.0	68.78
10/10/2017	KINCAID INDUSTRIES	VANGUARD INTL EQUITY INDEX FDS MSCI	13,411.06	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-46.47	-3.52	-42.95	90.0	-38.66
08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	-5,457.89	-16.80	-3.52	-13.28	90.0	-11.95
08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	5,457.89	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	25,088.93	57.70	3.52	54.18	90.0	48.76
10/10/2017	KINCAID INDUSTRIES	VANGUARD SCOTTSDALE FUNDS	8,919.47	0.00	0.00	0.00	90.0	0.00
08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	-10,667.80	-24.73	-3.52	-21.21	90.0	-19.09
08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	10,667.79	4.32	3.52	0.80	90.0	0.72
08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-45,000.70	-101.17	-3.52	-97.65	90.0	-87.89
08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	45,000.73	4.32	3.52	0.80	90.0	0.72
08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-13,246.10	-45.79	-3.52	-42.27	90.0	-38.04
08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	13,246.09	4.32	3.52	0.80	90.0	0.72
09/07/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	60,383.83	121.74	3.52	118.22	90.0	106.40
10/10/2017	KINCAID INDUSTRIES	VANGUARD TAX-MANAGED INTL FD FTSE D	35,836.57	0.00	0.00	0.00	90.0	0.00
09/07/2017	HUGGINS, JAMES	VULCAN MATLS CO COM	2,611.68	11.74	3.52	8.22	90.0	7.40
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$2,621,164.46	\$266.64	\$168.96	\$97.68		\$87.90

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

09/26/2017	HEEN, JEREMY	AM FUNDS AMCAP A	-765.04	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS AMCAP A	-4,525.71	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC A	-1,754.84	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	-8,963.92	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
09/26/2017	HEEN, JEREMY	AM FUNDS ICA A	-2,026.28	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS ICA A	-10,503.32	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	-1,980.09	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS INCOME FUND OF AMERICA A	-11,163.83	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, JEREMY	AM FUNDS NEW WORLD A	-577.91	0.00	0.00	0.00	90.0	0.00
09/26/2017	HEEN, KRISTA	AM FUNDS NEW WORLD A	-3,303.83	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	1,674.00	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	327.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	765.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	218.62	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	109.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR CORE TOTAL U S BD MKT ET	1,202.41	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	295.10	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	ISHARES TR RUSSELL 2000 INDEX FD	6,197.10	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	ISHARES TR RUSSELL 2000 INDEX FD	1,035.02	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR RUSSELL 2000 INDEX FD	-599.20	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	-1,947.40	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	-299.60	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR RUSSELL 2000 INDEX FD	-149.80	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR RUSSELL 2000 INDEX FD	-2,396.80	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	1,259.04	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	ISHARES TR S&P 500 INDEX FD	19,640.99	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	ISHARES TR S&P 500 INDEX FD	3,023.88	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	-1,278.80	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	-4,347.92	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	-2,046.08	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	-511.52	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	ISHARES TR S&P 500 INDEX FD	-255.76	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	ISHARES TR S&P 500 INDEX FD	-4,859.44	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
10/10/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	364,756.52	455.94	0.00	455.94	90.0	410.35
09/21/2017	RUFFIE, TWYLA	OPPENHEIMER MAIN ST SELECT C	0.00	0.09	0.00	0.09	90.0	0.08
10/02/2017	HEEN, JEREMY	PERSHING	0.00	0.45	0.00	0.45	90.0	0.41
10/02/2017	HEEN, KRISTA	PERSHING	0.00	6.54	0.00	6.54	90.0	5.89
10/10/2017	KUHN, KENNETH	PERSHING	62,821.80	79.17	0.00	79.17	90.0	71.25
10/10/2017	RASMUSSEN, CLINTON	PERSHING	103,454.80	130.38	0.00	130.38	90.0	117.34
10/10/2017	RASMUSSEN, CLINTON	PERSHING	93,467.47	117.80	0.00	117.80	90.0	106.02
10/10/2017	RASMUSSEN, PAMELA	PERSHING	27,862.14	35.11	0.00	35.11	90.0	31.60
10/10/2017	RASMUSSEN, PAMELA	PERSHING	19,809.03	24.96	0.00	24.96	90.0	22.46
10/10/2017	FREIH, DIANE	PERSHING	62,602.99	78.90	0.00	78.90	90.0	71.01
10/10/2017	KRINGEN, MARK	PERSHING	394,067.63	337.71	0.00	337.71	90.0	303.94
10/10/2017	KEMPER, JEROME	PERSHING	235,270.77	296.50	0.00	296.50	90.0	266.85
10/10/2017	LEE, DONALD	PERSHING	136,674.07	172.25	0.00	172.25	90.0	155.03
10/10/2017	LEE, CONNIE	PERSHING	53,513.06	67.44	0.00	67.44	90.0	60.70
10/10/2017	LEE, CONNIE	PERSHING	15,445.08	19.46	0.00	19.46	90.0	17.51
10/10/2017	MONETTE, PEGGY	PERSHING	223,434.25	281.59	0.00	281.59	90.0	253.43
10/10/2017	MONETTE, PEGGY	PERSHING	40,525.25	51.07	0.00	51.07	90.0	45.96
10/10/2017	RETZLAFF, SANDRA	PERSHING	135,811.65	171.16	0.00	171.16	90.0	154.04
10/10/2017	RETZLAFF, SANDRA	PERSHING	26,555.98	33.47	0.00	33.47	90.0	30.12
10/10/2017	SKAARE, LARRY	PERSHING	32,889.32	48.08	0.00	48.08	90.0	43.27
10/10/2017	SKAARE, LARRY	PERSHING	208,550.36	304.88	0.00	304.88	90.0	274.39
10/10/2017	SKAARE, LARRY	PERSHING	19,011.17	27.79	0.00	27.79	90.0	25.01
10/10/2017	RUFFIE, DAVID	PERSHING	265,282.44	387.82	0.00	387.82	90.0	349.04
10/10/2017	RUFFIE, TWYLA	PERSHING	8,898.32	13.01	0.00	13.01	90.0	11.71
10/10/2017	HEEN, JEREMY	PERSHING	22,784.83	33.31	0.00	33.31	90.0	29.98
10/10/2017	HEEN, KRISTA	PERSHING	103,288.21	151.00	0.00	151.00	90.0	135.90
09/27/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	232.77	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	POWERSHARES DB COMMODITY INDEX TRAC	3,569.12	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	POWERSHARES DB COMMODITY INDEX TRAC	556.79	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	-91.22	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	-15.20	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	15.21	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	POWERSHARES DB COMMODITY INDEX TRAC	-106.42	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
09/27/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	161.75	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	POWERSHARES EXCHANGE-TRADED FD TR I	2,541.72	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	POWERSHARES EXCHANGE-TRADED FD TR I	393.15	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	115.83	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	347.50	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	185.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	46.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	POWERSHARES EXCHANGE-TRADED FD TR I	486.50	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, TWYLA	POWERSHARES EXCHANGE-TRADED FD TR I	23.17	0.00	0.00	0.00	90.0	0.00
10/11/2017	DEAVER, ROSS	SEI	34,134.04	46.08	0.00	46.08	90.0	41.47
10/11/2017	ERICKSTAD, SAMUEL	SEI	64,747.79	87.41	0.00	87.41	90.0	78.67
10/11/2017	HARSHBARGER, RORY	SEI	85,240.40	115.08	0.00	115.08	90.0	103.57
10/11/2017	HEEN, JEREMY	SEI	235,374.37	317.76	0.00	317.76	90.0	285.98
10/11/2017	JORGENSEN, BLAINE	SEI	436,321.18	436.32	0.00	436.32	90.0	392.69
10/11/2017	JORGENSEN, BLAINE	SEI	2,686,201.45	805.86	0.00	805.86	90.0	725.27
10/11/2017	KASMER, JOHN	SEI	260,637.60	31.45	0.00	31.45	90.0	28.31
10/11/2017	KASMER, TRACEY	SEI	57,498.04	6.94	0.00	6.94	90.0	6.25
10/11/2017	MARISTUEN, JON	SEI	3,571.22	4.46	0.00	4.46	90.0	4.01
10/11/2017	PACHECO, MICHAEL	SEI	7,581.57	10.24	0.00	10.24	90.0	9.22
10/11/2017	RETZLAFF, SANDRA	SEI	17,329.03	5.20	0.00	5.20	90.0	4.68
10/11/2017	SKAARE, LARRY	SEI	20,409.21	6.12	0.00	6.12	90.0	5.51
10/11/2017	SMITH, AUSTIN	SEI	21,004.44	28.36	0.00	28.36	90.0	25.52
10/11/2017	HAUGEN, PATRICK	SEI	179,201.13	241.92	0.00	241.92	90.0	217.73
10/11/2017	HAUGEN, PATRICK	SEI	108,983.18	147.13	0.00	147.13	90.0	132.42
10/11/2017	LYNDE, STEVEN	SEI	51,159.21	63.95	0.00	63.95	90.0	57.56
10/11/2017	LYNDE, LANA	SEI	22,687.65	28.36	0.00	28.36	90.0	25.52
10/11/2017	FREIH, DIANE	SEI	151,312.86	204.27	0.00	204.27	90.0	183.84
10/11/2017	GRANT, GREGORY	SEI	76,132.03	114.20	0.00	114.20	90.0	102.78
10/11/2017	GRANT, KATHLEEN	SEI	52,848.44	79.27	0.00	79.27	90.0	71.34
10/11/2017	JOHNSON, SHARI	SEI	16,157.80	21.81	0.00	21.81	90.0	19.63

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
10/11/2017	JOHNSON, BRAD	SEI	16,991.47	22.94	0.00	22.94	90.0	20.65
10/11/2017	JOHNSON, BRAD	SEI	2,309.01	3.12	0.00	3.12	90.0	2.81
10/11/2017	JOHNSON, BRAD	SEI	27,739.75	37.45	0.00	37.45	90.0	33.71
10/11/2017	JOHNSON, SHARI	SEI	18,267.58	24.66	0.00	24.66	90.0	22.19
10/11/2017	KRINGEN, MARK	SEI	1,242,836.41	372.85	0.00	372.85	90.0	335.57
10/11/2017	MARISTUEN, JON	SEI	189,919.08	256.39	0.00	256.39	90.0	230.75
09/27/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	325.14	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	8,128.53	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,302.00	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-330.14	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-660.28	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,320.56	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	484.85	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	SPDR SER TR BARCLAYS HIGH YIELD BD	7,123.63	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SPDR SER TR BARCLAYS HIGH YIELD BD	1,081.31	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	111.51	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	74.34	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	SPDR SER TR BARCLAYS HIGH YIELD BD	37.17	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	SPDR SER TR BARCLAYS HIGH YIELD BD	148.68	0.00	0.00	0.00	90.0	0.00
10/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.47	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.93	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD CHARLOTTE FDS TOTAL INTL B	163.40	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	164.60	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	VANGUARD INDEX FDS VANGUARD REIT ET	2,551.30	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	VANGUARD INDEX FDS VANGUARD REIT ET	330.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD INDEX FDS VANGUARD REIT ET	83.10	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	3,451.77	0.00	0.00	0.00	90.0	0.00
09/27/2017	HEEN, KRISTA	VANGUARD INTL EQUITY INDEX FD INC F	50,103.04	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	VANGUARD INTL EQUITY INDEX FD INC F	7,597.51	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
10/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	1,636.07	0.00	0.00	0.00	90.0	0.00
10/06/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	4,644.33	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	1,899.95	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	316.66	0.00	0.00	0.00	90.0	0.00
10/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	474.99	0.00	0.00	0.00	90.0	0.00
10/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	369.44	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, DAVID	VANGUARD INTL EQUITY INDEX FD INC F	6,544.29	0.00	0.00	0.00	90.0	0.00
10/06/2017	RUFFIE, TWYLA	VANGUARD INTL EQUITY INDEX FD INC F	263.88	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$8,819,813.43	\$6,845.48	\$0.00	\$6,845.48		\$6,160.94
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
10/10/2017	HENNEN, ANN	PERSHING	21,864.59	9.59	0.00	9.59	90.0	8.63
10/10/2017	HENNEN, STUART	PERSHING	20,311.82	8.91	0.00	8.91	90.0	8.02
10/10/2017	HENNEN, ANN	PERSHING	517,881.44	227.13	0.00	227.13	90.0	204.42
10/11/2017	LOOMIS, MATT	SEI	10,480.28	3.93	0.00	3.93	90.0	3.54
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$570,538.13	\$249.56	\$0.00	\$249.56		\$224.61
<u>BROKER: L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON #358</u>								
10/11/2017	SORENSEN, CURTIS	SEI	806,717.74	199.66	0.00	199.66	90.0	179.69
* L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON SUBTOTAL *			\$806,717.74	\$199.66	\$0.00	\$199.66		\$179.69
*** SPLIT TRANSACTIONS TOTAL ***			\$18,714,485.68	\$11,191.33	\$224.62	\$10,966.71		\$9,870.02

ADJUSTMENTS

10/15/2017	*MISC: 10/15 JBB CANCEL/REBILL	0.00						-7.46
10/15/2017	*MISC: 9/15 CANCEL/REBILL DONE	0.00						1,490.03
10/15/2017	*MISC: BBJ 10/15 CANCEL/REBILL	0.00						-0.08
10/15/2017	*MISC: BJB 10/15 CANCEL/REBILL	0.00						-983.63
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$498.86

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$358.28
Split Transactions	\$9,870.02
Override Transactions	\$0.00
Adjustments	\$498.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,727.16
Year-to-date Gross	\$44,653.52
Year-to-date Earnings	\$39,018.43