



Concorde Investment Services, LLC

06/13/2017
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COMMISSION STATEMENT

June 15, 2017

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	ROBERTSON, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	LARKINS, TROY	AM FUNDS ICA C	0.00	96.33	0.00	96.33	90.0	86.70
05/31/2017	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	1,250.00	59.38	0.00	59.38	90.0	53.44
05/31/2017	ROPP, ROBIN	ASCENSUS INA 2019 ENROLLMENT PORT C	1,250.00	59.38	0.00	59.38	90.0	53.44
06/02/2017	SMITH, ERIC	ASCENSUS INA 2019 ENROLLMENT PORT C	1,250.00	59.38	0.00	59.38	90.0	53.44
05/31/2017	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	1,250.00	59.38	0.00	59.38	90.0	53.44
05/31/2017	ROPP, ROBIN	ASCENSUS INA 2022 ENROLLMENT PTF A	1,250.00	59.38	0.00	59.38	90.0	53.44
06/02/2017	SMITH, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	1,250.00	59.38	0.00	59.38	90.0	53.44
/ /	SMITH, ERIC	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	40.63	0.00	40.63	90.0	36.57
05/31/2017	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	1,250.00	40.63	0.00	40.63	90.0	36.57
05/31/2017	ROPP, ROBIN	ASCENSUS INA COLLEGE PORTFOLIO CL A	1,250.00	40.63	0.00	40.63	90.0	36.57
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.32	0.00	0.32	90.0	0.29
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.46	0.00	0.46	90.0	0.41
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.18	0.00	1.18	90.0	1.06
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.88	0.00	0.88	90.0	0.79
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.27	0.00	0.27	90.0	0.24
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.62	0.00	0.62	90.0	0.56
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.84	0.00	0.84	90.0	0.76
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUNDS SUBTOTAL *			\$10,215.00	\$582.63	\$0.00	\$582.63		\$524.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
05/26/2017	SHERRILL, TIMOTHY	MOMO INC ADR ISIN#US60879B1070	2,508.67	24.00	22.50	1.50	90.0	1.35
05/26/2017	SHERRILL, TIMOTHY	THE TRADE DESK INC COM CL A	2,484.86	24.00	22.50	1.50	90.0	1.35
* STOCKS SUBTOTAL *			\$4,993.53	\$48.00	\$45.00	\$3.00		\$2.70
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/31/2017	WILD, WILLIAM	JNL ELITE ACCESS	97,668.84	40.70	0.00	40.70	90.0	36.63
05/31/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,268.12	5.68	0.00	5.68	90.0	5.11
05/31/2017	MOHR, AMY	JNL ELITE ACCESS	45,080.83	9.39	0.00	9.39	90.0	8.45
05/31/2017	LARKINS, TROY	JNL ELITE ACCESS	47,543.66	9.90	0.00	9.90	90.0	8.91
05/31/2017	ZEHR, PAUL &	JNL ELITE ACCESS	29,721.35	6.19	0.00	6.19	90.0	5.57
05/31/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	26,878.22	5.60	0.00	5.60	90.0	5.04
05/31/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	33,061.18	6.89	0.00	6.89	90.0	6.20
05/31/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,385.27	11.83	0.00	11.83	90.0	10.65
05/31/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	320,981.97	133.74	0.00	133.74	90.0	120.37
05/31/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	13,854.27	5.77	0.00	5.77	90.0	5.19
05/31/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	83,256.13	34.69	0.00	34.69	90.0	31.22
05/31/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	81,004.36	33.75	0.00	33.75	90.0	30.38
05/31/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	143,043.13	59.60	0.00	59.60	90.0	53.64
05/31/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	213,450.85	88.94	0.00	88.94	90.0	80.05
05/31/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	140,282.59	58.45	0.00	58.45	90.0	52.61
05/31/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	139,831.83	58.26	0.00	58.26	90.0	52.43
05/31/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	82,638.44	34.43	0.00	34.43	90.0	30.99
05/31/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	90,820.76	37.84	0.00	37.84	90.0	34.06
05/31/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	46,064.87	19.19	0.00	19.19	90.0	17.27
05/31/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	85,197.30	35.50	0.00	35.50	90.0	31.95
05/31/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	45,793.79	19.08	0.00	19.08	90.0	17.17
05/31/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	149,450.86	124.54	0.00	124.54	90.0	112.09
05/31/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	66,196.53	55.16	0.00	55.16	90.0	49.64
05/31/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	103,360.88	86.13	0.00	86.13	90.0	77.52
05/31/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	205,231.13	171.03	0.00	171.03	90.0	153.93
05/31/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	192,588.11	160.49	0.00	160.49	90.0	144.44
05/22/2017	SITCLER, ROY	PAC LIFE INNOVATIVE SELECT	0.00	429.48	0.00	429.48	90.0	386.53
06/02/2017	WHITNEY, DAVID	PAC LIFE INNOVATIVE SELECT	0.00	830.61	0.00	830.61	90.0	747.55
05/30/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,542.71	16.29	0.00	16.29	90.0	14.66

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
* VARIABLE ANNUITIES SUBTOTAL *			\$2,558,197.98	\$2,589.15	\$0.00	\$2,589.15		\$2,330.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/17/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	4.80	0.00	4.80	90.0	4.32
05/17/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.51	0.00	4.51	90.0	4.06
05/17/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.69	0.00	4.69	90.0	4.22
05/17/2017	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09
05/17/2017	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.10	0.00	1.10	90.0	0.99
05/17/2017	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.08	0.00	2.08	90.0	1.87
05/17/2017	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.46	0.00	8.46	90.0	7.61
05/17/2017	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.81	0.00	8.81	90.0	7.93
05/17/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	1.08	0.00	1.08	90.0	0.97
05/17/2017	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.46	0.00	8.46	90.0	7.61
05/17/2017	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.13	0.00	10.13	90.0	9.12
05/17/2017	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.68	0.00	6.68	90.0	6.01
05/17/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	5.44	0.00	5.44	90.0	4.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$66.34	\$0.00	\$66.34		\$59.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/05/2017	HOUSER TRUST, MICHAEL & SHERR	ING PREMIUM PLUS 2005	0.00	8.29	0.00	8.29	90.0	7.46
06/06/2017	HOUSER, MICHAEL	ING PREMIUM PLUS 2005	0.00	22.38	0.00	22.38	90.0	20.14
06/06/2017	HOUSER, SHERRY	ING PREMIUM PLUS 2005	0.00	7.96	0.00	7.96	90.0	7.16
05/17/2017	BOYLAN, NANCY	PAC LIFE INNOVATIVE SELECT	0.00	211.67	0.00	211.67	90.0	190.50
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$250.30	\$0.00	\$250.30		\$225.26
*** BROKER TRANSACTIONS TOTAL ***			\$2,573,406.51	\$3,536.42	\$45.00	\$3,491.42		\$3,142.28

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<u>ADJUSTMENTS</u>								
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-9.45
06/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-684.45

BROKER COMMISSION TOTALS

Broker Transactions	\$3,142.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-684.45
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,457.83
Year-to-date Gross	\$55,026.22
Year-to-date Earnings	\$49,057.51

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