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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT July 31, 2018

JOHN HEIDENREICH

7 EAST 86TH ST APT 15B NEW YORK, NY 10028 BROKER #: P7H R90 R30 A09

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JOHN HEIDENREICH

BARDAZONER 75501309 TOTTEN, ANN	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PRODUCT CATRGORY: MUTUAL FUNDS 07/18/2018 75501309 TOTTEN, AMAGRARITA MASHINGTON MUTUAL INVESTORS FUND—A S 3,400.00 0.00 0.00 0.00 0.00 65.0 0.00 08/02/2018 75501309 TOTTEN, ANN THE NEW ECONOMY FUND—A S 7,838.00 0.00 0.00 0.00 0.00 65.0 0.00 08/02/2018 75501309 TOTTEN, ANN THE NEW ECONOMY FUND—A S 7,838.00 0.00 0.00 0.00 0.00 65.0 0.00 08/02/2018 75501310 MARGARITA F PRESTON MAINSTAY HIGH-YIELD CORPORATE BOND S 1.500.00 0.00 0.00 0.00 0.00 65.0 0.00 07/25/2018 75501297 COHEN, MICHAEL PRANKLIN NEW YORK TAX.FREE INCOME F S 60,000.00 \$0.00 \$0.00 \$0.00 65.0 0.00 07/25/2018 75501297 PRESTON, MARGARITA MEDICINOVA INC B 13,575.00 0.00 10.00 10.00 65.0 10.00 07/18/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 10.00 10.00 65.0 10.00 07/18/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 10.00 10.00 10.00 65.0 10.00 07/18/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 10.00 10.00 10.00 65.0 10.00 07/18/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.00/23/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.08/10/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 107,300.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 100,7300.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501291 DRINK MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501292 JOK, MENRY MEDICINOVA INC S 13,575.00 0.00 10.00 10.00 10.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00 713.00 65.0 10.00			PPOVE	D TDANC	A CTTONG					
07/18/2018 75501290 PRESTON, MARGARITA MASHINGTON MUTUAL INVESTORS FUND—A S 3,400.00 0.00 0.00 0.00 0.00 65.0 0.08/01/2018 755013190 MARGARITA FIREN MAINTAIN THE NEW ECONOMY FUND—A S 7,838.00 0.00 0.00 0.00 0.00 65.0 0.00 08/01/2018 755013190 MARGARITA FIREN MAINTAIN HIGH-YIELD CORPORATE BOND S 1,500.00 0.00 0.00 0.00 0.00 0.00 65.0 0.00 07/25/2018 75501310 MARGARITA FIREN MAINTAIN HIGH-YIELD CORPORATE BOND S 1,500.00 0.00 0.00 0.00 0.00 0.00 65.0 0.00 07/25/2018 75501319 PRESTON, MARGARITA MEDICINOVA INC			BROKE	K IKMIDI	ACTIONS					
08/02/2018 75501319 TOTTEN, ANN THE NEW ECONOMY FUND—A S 7,838.00 0.00 0.00 0.00 0.00 65.0 0.00 08/02/2018 75501319 MARGARITA F PRESTON MAINSTAY HIGH-YIELD CORPORATE BOND S 1,500.00 0.00 0.00 0.00 0.00 65.0 0.00 07/25/2018 75502197 COMEN, MICHAEL FRANKLIN NEW YORK TAX-FREE INCORPORATE BOND S 72,738.00 0.00 0.00 0.00 0.00 65.0 0.00 07/25/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 1.00 07/18/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 1.00 07/18/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 164.68 10.00 154.68 65.0 97.07/23/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -10,00 164.68 10.00 154.68 65.0 97.07/23/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 1,000.00 164.68 10.00 154.68 65.0 97.07/23/2018 75501291 PRESTON, MARGARITA SACHEM CARITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.07/24/2018 75501291 PRESTON, MARGARITA SACHEM CARITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.07/24/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 100,00 725.00 10.00 715.00 65.0 461.08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461.08/10/2018 75501292 JOE, HENRY MEDICINOVA INC S 113,575.00 0.00 10.00 10.00 715.00 65.0 10.00 70/31/2018 75501292 JOE, HENRY MEDICINOVA INC S 13,575.00 0.00 10.00 10.00 715.00 65.0 10.00 70/31/2018 75501292 JOE, HENRY MEDICINOVA INC S 13,575.00 0.00 10.00 10.00 10.00 65.0 10.00 70/31/2018 75501292 JOE, HENRY MEDICINOVA INC S 8,8,387.20 105.00 10.00 10.00 10.00 65.0 10.00 86/02/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 8,8,387.20 105.00 10.00 10.00 10.00 65.0 10.00 86/09/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 8,8,387.20 105.00 10.00 10.00 10.00 65.0 10.00 86/09/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 1,8,550.00 0.00 10.00 10.00 10.00 65.0 1	PRODUCT CAT	regory: Mutual funds								
08/01/2018 75501310 MARGARITA F PRESTON MAINSTAY HIGH-YIELD CORPORATE BOND S 1,500.00 0.00 0.00 0.00 65.0 0.07/25/2018 75502197 COHEN, NICHAEL FRANKLIN NEW YORK TAX-PREE INCOME F S 60,000.00 0.00 0.00 0.00 65.0 0.00 0.00 0.0	07/18/2018 7	5501290 PRESTON, MARGARITA	WASHINGTON MUTUAL INVESTORS F	UND-A S	3,400.00	0.00	0.00	0.00	65.0	0.00
**************************************	08/02/2018 7	5501309 TOTTEN, ANN	THE NEW ECONOMY FUND-A	S	7,838.00	0.00	0.00	0.00	65.0	0.00
* MUTUAL FUNDS SUBTOTAL * \$72,738.00 \$0.00	08/01/2018 7	5501310 MARGARITA F PRESTON	MAINSTAY HIGH-YIELD CORPORATE	BOND S	1,500.00	0.00	0.00	0.00	65.0	0.00
PRODUCT CATEGORY: STOCKS 02/08/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S 13,575.00 0.00 10.00 -10.00 65.0 -10.02/08/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 10.00 07/18/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S 7,000.00 164.68 10.00 154.68 65.0 97.007/28/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.007/28/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.007/28/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,200.00 150.00 10.00 140.00 65.0 87.007/28/2018 75501291 PRESTON, MARGARITA PIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 65.0 461.008/01/2018 75501291 PRESTON, MARGARITA PIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461.008/10/2018 75501291 PRESTON, MARGARITA RESTORATION ROBOTICS INC COMMON STO B 9,000.00 10.00 10.00 -10.00 65.0 -10.002/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 -10.007/31/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 -10.007/31/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 191.79 10.00 181.79 66.0 114.008/03/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 8,199.00 191.79 10.00 181.79 66.0 -10.08/03/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 8,199.00 191.79 10.00 181.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY ARLD TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY ARLD TECHNOLOGIES INC COMMON STOCK B 10,000 0.00 10.00 10.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY ARLD TECHNOLOGIES INC COMMON STOCK S 19.55 0.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLD TECHNOLOGIES INC COMMON STOCK S 19.55 0.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLD TECHNOLOGIES INC COMMON STOCK S 19.55 158.31 10.00 10.00 -10.00 65.0 -10.02/08/2018 75501292 JOE, HENRY ARLD TECHNOLOGIES INC	07/25/2018 7	5502197 COHEN, MICHAEL	FRANKLIN NEW YORK TAX-FREE IN	COME FS	60,000.00	0.00	0.00	0.00	65.0	0.00
December 2016 PRESTON MARGARITA MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10.00 0.00 0.00 0.00 0.00 -10.00 65.0 -10.00 0.00 0.00 0.00 0.00 -10.00 0.			* MUTUAL FUNDS SUB	TOTAL *	\$72,738.00	\$0.00	\$0.00	\$0.00		\$0.00
02/08/2018 75501291 PRESTON, MARGARITA MEDICINOVA INC S -13,575.00 0.00 -10.00 10.00 65.0 10.07/18/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 7,000.00 164.68 10.00 154.68 65.0 97. 07/23/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,240.00 150.00 10.00 140.00 65.0 87. 07/24/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,240.00 150.00 10.00 140.00 65.0 87. 08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 10,000.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501292 JOE, HENRY MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 -10. 08/03/2018 75501292 JOE, HENRY WIS AITIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 181.79 65.0 114. 07/31/2018 75501292 JOE, HENRY WIS AITIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 -10.00 65.0 -10. 08/03/2018 75501292 JOE, HENRY WIS AITIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 -10.00 65.0 -10. 08/03/2018 75501292 JOE, HENRY THERAPETICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0	PRODUCT CAT	regory: stocks								
07/18/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 7,000.00 164.68 10.00 154.68 65.0 97. 07/23/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87. 07/24/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,240.00 150.00 10.00 140.00 65.0 87. 08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 665.0 461. 08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 665.0 461. 08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 665.0 461. 08/10/2018 75501292 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 665.0 461. 08/10/2018 75501292 JOE, HENRY MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 -10.00 10.00 -10.00 665.0 10. 07/31/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 150.00 10.00 5.00 665.0 -0. 08/02/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 150.00 10.00 5.00 665.0 -0. 08/03/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARIO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARIO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARIO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501293 JOE, HENRY ARIO TECHNOLOGIES I	02/08/2018 7	5501291 PRESTON, MARGARITA	MEDICINOVA INC	В	13,575.00	0.00	10.00	-10.00	65.0	-10.00
27/23/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,220.00 150.00 10.00 140.00 65.0 87.	02/08/2018 7	5501291 PRESTON, MARGARITA	MEDICINOVA INC	S	-13,575.00	0.00	-10.00	10.00	65.0	10.00
07/24/2018 75501291 PRESTON, MARGARITA SACHEM CAPITAL CORP COMMON SHARES S 4,240.00 150.00 10.00 140.00 65.0 87. 08/01/2018 75501291 PRESTON, MARGARITA PIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501291 PRESTON, MARGARITA PIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501291 PRESTON, MARGARITA PIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501291 PRESTON, MARGARITA RESTORATION ROBOTICS INC COMMON STO B 9,000.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 10. 07/31/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 18.000 191.79 10.00 181.79 65.0 114. 08/03/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 18.000 15.00 10.00 -10.00 65.0 -0. 08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10. 08/03/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,000.00 0.00 0.00 0.00 0.00 0.00 0.00	07/18/2018 7	5501291 PRESTON, MARGARITA	PFIZER INCORPORATED	S	7,000.00	164.68	10.00	154.68	65.0	97.04
08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 107,300.00 725.00 10.00 715.00 65.0 461. 08/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461. 08/10/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461. 08/10/2018 75501291 PRESTON, MARGARITA RESTORATION ROBOTICS INC COMMON STO B 9,000.00 10.00 10.00 -10.00 65.0 -10. 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10. 02/20/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 -10.00 10.00 65.0 10. 07/31/2018 75501292 JOE, HENRY U S SILICA HOLDINGS INC S 8,199.00 191.79 10.00 181.79 65.0 110. 07/31/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 5.00 65.0 -0. 08/09/2018 75501292 JOE, HENRY THERAPEUTICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC B 1,530.00 0.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 10.00 -10.00 65.0 -10. 08/20/20/20/20/20/20/20/20/20/20/20/20/20/	7/23/2018 7	5501291 PRESTON, MARGARITA	SACHEM CAPITAL CORP COMMON SH	ARES S	4,220.00	150.00	10.00	140.00	65.0	87.50
88/01/2018 75501291 PRESTON, MARGARITA PFIZER INCORPORATED S 110,200.00 725.00 10.00 715.00 65.0 461. 88/10/2018 75501291 PRESTON, MARGARITA RESTORATION ROBOTICS INC COMMON STO B 9,000.00 0.00 10.00 -10.00 65.0 -10. 82/08/2018 75501292 JOE, HENRY MEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10. 82/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 10.00 10.00 10.00 65.0 -10. 83/19/2018 75501292 JOE, HENRY WEDICINOVA INC S -13,575.00 0.00 191.79 10.00 181.79 65.0 114. 83/19/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 5.00 65.0 -0. 88/02/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 5.00 65.0 -0. 88/03/2018 75501292 JOE, HENRY THERAPEUTICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10. 88/03/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 -10. 88/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 -10. 88/10/2018 75501292 JOE, HENRY GRAFFECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10. 88/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10. 88/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 88/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 88/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92. 88/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92. 88/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 10.00 -10.00 65.0 -10. 88/10/2018 75501293 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 10.00 -10.00 65.0 -10. 88/10/2018 75501293 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 9,905.00 0.00 10.00 -10.00 65.0 -10. 88/10/2018 75501293 JOE, HENRY MEDICINOVA INC S 9,905.00 0.00 10.00 -10.00 65.0 -10. 88/10/2018 75501293 JOE, HENRY MEDICINOVA INC S 9,905.00 0.00 10.00 -10.00	7/24/2018 7	5501291 PRESTON, MARGARITA	SACHEM CAPITAL CORP COMMON SH	ARES S	4,240.00	150.00	10.00	140.00	65.0	87.50
08/10/2018 75501291 PRESTOR, MARGARITA RESTORATION ROBOTICS INC COMMON STO B 9,000.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 191.79 10.00 181.79 65.0 114.07/31/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 15.00 10.00 -5.00 65.0 -10.08/02/2018 75501292 JOE, HENRY WIS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10.08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 -10.08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STOCK S 9,050.00 0.00 10.00 -10.00 65.0 -10.00/20/20/20/20/20/20/20/20/20/20/20/20/2	08/01/2018 7	5501291 PRESTON, MARGARITA	PFIZER INCORPORATED	S	107,300.00	725.00	10.00	715.00	65.0	461.25
NEDICINOVA INC B 13,575.00 0.00 10.00 -10.00 65.0 -10.00 0.00	08/01/2018 7	5501291 PRESTON, MARGARITA	PFIZER INCORPORATED	S	110,200.00	725.00	10.00	715.00	65.0	461.25
02/08/2018 75501292 JOE, HENRY MEDICINOVA INC S -13,575.00 0.00 -10.00 10.00 65.0 10.07/31/2018 75501292 JOE, HENRY U S SILICA HOLDINGS INC S 8,199.00 191.79 10.00 181.79 65.0 114.07/31/2018 75501292 JOE, HENRY WTS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 5.00 65.0 -0.08/02/2018 75501292 JOE, HENRY THERAPEUTICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10.08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58.08/09/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00/20/20/20/20/20/20/20/20/20/20/20/20/2	08/10/2018 7	5501291 PRESTON, MARGARITA	RESTORATION ROBOTICS INC COMM	ON STO B	9,000.00	0.00	10.00	-10.00	65.0	-10.00
07/31/2018 75501292 JOE, HENRY US SILICA HOLDINGS INC S 8,199.00 191.79 10.00 181.79 65.0 114. 07/31/2018 75501292 JOE, HENRY WTS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 5.00 65.0 -0. 08/02/2018 75501292 JOE, HENRY THERAPEUTICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10. 08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10. 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58. 08/09/2018 75501292 JOE, HENRY GRAFTECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92. 08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 -10.00 65.0 -10. 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 76.00 65.0 45.	02/08/2018 7	5501292 JOE, HENRY	MEDICINOVA INC	В	13,575.00	0.00	10.00	-10.00	65.0	-10.00
07/31/2018 75501292 JOE, HENRY WTS ATTIS INDUSTRIES INC WARRANTS S 180.00 15.00 10.00 5.00 65.0 -0.08/02/2018 75501292 JOE, HENRY THERAPEUTICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10.08/03/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58.08/09/2018 75501292 JOE, HENRY GRAFTECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 3.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00/20/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10.00/20/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 -10.00 65.0 10.00/20/19/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/20/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84	02/08/2018 7	5501292 JOE, HENRY	MEDICINOVA INC	S	-13,575.00	0.00	-10.00	10.00	65.0	10.00
08/02/2018 75501292 JOE, HENRY THERAPEUTICSMD INC B 1,530.00 0.00 10.00 -10.00 65.0 -10.00 08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10.00 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58.00 08/09/2018 75501292 JOE, HENRY GRAFTECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10.00 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 10.00 65.0 -10.00 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.00 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 70.00 70.00 70.00 70.00 70.00 70.00 70.00 70.00 70.00 70.00 70.00 70.00 70.0	7/31/2018 7	5501292 JOE, HENRY	U S SILICA HOLDINGS INC	S	8,199.00	191.79	10.00	181.79	65.0	114.66
08/03/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK B 4,800.00 0.00 10.00 -10.00 65.0 -10.00 08/09/2018 75501292 JOE, HENRY APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58.00 08/09/2018 75501292 JOE, HENRY GRAFTECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10.00 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 10.00 65.0 3.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STOCK B 9,050.00 0.00 10.00 -10.00 65.0 -10.02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 10.02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 10.00 -10.00 65.0 10.02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.02/07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC	07/31/2018 7	5501292 JOE, HENRY	WTS ATTIS INDUSTRIES INC WARR	ANTS S	180.00	15.00	10.00	5.00	65.0	-0.25
APPLE INC S 8,387.20 105.00 10.00 95.00 65.0 58. 08/09/2018 75501292 JOE, HENRY GRAFTECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 3. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92. 08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10. 08/208/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 10.00 -10.00 65.0 -10. 08/10/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.	08/02/2018 7	5501292 JOE, HENRY	THERAPEUTICSMD INC	В	1,530.00	0.00	10.00	-10.00	65.0	-10.00
08/09/2018 75501292 JOE, HENRY GRAFTECH INTL LTD COM B 10,000.00 0.00 10.00 -10.00 65.0 -10.00 08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 -10.00 65.0 3.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.00 08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 76.00 65.0 10.00 07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC	08/03/2018 7	5501292 JOE, HENRY	ARLO TECHNOLOGIES INC COMMON	STOCK B	4,800.00	0.00	10.00	-10.00	65.0	-10.00
08/10/2018 75501292 JOE, HENRY APPLE INC S 1,038.20 20.00 10.00 10.00 65.0 3.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00/20/8/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10.00/20/8/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 65.0 10.00/7/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/7/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00/7/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC	08/09/2018 7	5501292 JOE, HENRY	APPLE INC	S	8,387.20	105.00	10.00	95.00	65.0	58.25
08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 19.65 0.00 10.00 -10.00 65.0 -10.08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92.08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00/20/8/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10.00/20/8/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 65.0 10.00/7/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 10.00	08/09/2018 7	5501292 JOE, HENRY	GRAFTECH INTL LTD COM	В	10,000.00	0.00	10.00	-10.00	65.0	-10.00
08/10/2018 75501292 JOE, HENRY ARLO TECHNOLOGIES INC COMMON STOCK S 5,875.35 158.31 10.00 148.31 65.0 92. 08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 65.0 10. 02/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.	08/10/2018 7	5501292 JOE, HENRY	APPLE INC	S	1,038.20	20.00	10.00	10.00	65.0	3.00
08/10/2018 75501292 JOE, HENRY RESTORATION ROBOTICS INC COMMON STO B 7,500.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10.00 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 65.0 10.07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.00 10	08/10/2018 7	5501292 JOE, HENRY	ARLO TECHNOLOGIES INC COMMON	STOCK S	19.65	0.00	10.00	-10.00	65.0	-10.00
02/08/2018 75501293 JOE, HENRY MEDICINOVA INC B 9,050.00 0.00 10.00 -10.00 65.0 -10. 02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 65.0 10. 07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.	08/10/2018 7	5501292 JOE, HENRY	ARLO TECHNOLOGIES INC COMMON	STOCK S	5,875.35	158.31	10.00	148.31	65.0	92.90
02/08/2018 75501293 JOE, HENRY MEDICINOVA INC S -9,050.00 0.00 -10.00 10.00 65.0 10. 07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.	08/10/2018 7	5501292 JOE, HENRY	RESTORATION ROBOTICS INC COMM	ON STO B	7,500.00	0.00	10.00	-10.00	65.0	-10.00
07/19/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 11,303.84 86.00 10.00 76.00 65.0 45.	02/08/2018 7	5501293 JOE, HENRY	MEDICINOVA INC	В	9,050.00	0.00	10.00	-10.00	65.0	-10.00
	02/08/2018 7	5501293 JOE, HENRY	MEDICINOVA INC	S	-9,050.00	0.00	-10.00	10.00	65.0	10.00
07/25/2018 75501293 JOE, HENRY PINNACLE FOODS INC S 8,403.20 64.00 10.00 54.00 65.0 31.	7/19/2018 7	5501293 JOE, HENRY	PINNACLE FOODS INC	S	11,303.84	86.00	10.00	76.00	65.0	45.90
	07/25/2018 7	5501293 JOE, HENRY	PINNACLE FOODS INC	S	8,403.20	64.00	10.00	54.00	65.0	31.60

INVESTMENT

TRADE DATE

CLIENT

Page No. 08/13/2018

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

2

BROKER

COMMISSION

RATE

	BROKER TRANSA	ACTIONS					
PRODUCT CATEGORY: STOCKS							
07/26/2018 75501293 JOE, HENRY	BERRY PETE CORP COM B	7,000.00	0.00	10.00	-10.00	65.0	-10.00
07/26/2018 75501293 JOE, HENRY	BERRY PETE CORP COM S	-7,000.00	0.00	-10.00	10.00		10.00 C
07/26/2018 75501293 JOE, HENRY	BERRY PETE CORP COM B	7,000.00	0.00	10.00	-10.00	65.0	-10.00
08/09/2018 75501293 JOE, HENRY	CENTRAL GARDEN & PET CO CL A NON-VT B	11,100.00	0.00	10.00	-10.00	65.0	-10.00
08/10/2018 75501293 JOE, HENRY	RESTORATION ROBOTICS INC COMMON STO B	7,500.00	0.00	10.00	-10.00	65.0	-10.00
02/08/2018 75501307 TOTTEN, ANN	MEDICINOVA INC B	4,525.00	0.00	10.00	-10.00	65.0	-10.00
02/08/2018 75501307 TOTTEN, ANN	MEDICINOVA INC S	-4,525.00	0.00	-10.00	10.00	65.0	10.00 C
07/23/2018 75501307 TOTTEN, ANN	HI-CRUSH PARTNERS LP COM UNIT PARTN S	8,250.00	221.60	10.00	211.60	65.0	134.04
07/25/2018 75501307 TOTTEN, ANN	ENABLE MIDSTREAM PARTNERS LP COM UN S	14,816.00	336.39	10.00	326.39	65.0	208.65
07/26/2018 75501307 TOTTEN, ANN	BERRY PETE CORP COM B	14,000.00	0.00	10.00	-10.00	65.0	-10.00
07/30/2018 75501307 TOTTEN, ANN	CONAGRA FOODS INC B	21,888.00	412.32	10.00	402.32	65.0	258.01
07/31/2018 75501307 TOTTEN, ANN	KELLOGG COMPANY B	21,324.00	315.00	10.00	305.00	65.0	194.75
08/01/2018 75501307 TOTTEN, ANN	MONDELEZ INTERNATIONAL INC COM B	21,420.00	398.10	10.00	388.10	65.0	248.77
08/09/2018 75501307 TOTTEN, ANN	GRAFTECH INTL LTD COM B	10,000.00	0.00	10.00	-10.00	65.0	-10.00
08/10/2018 75501307 TOTTEN, ANN	CAMPBELL SOUP CO B	20,775.00	391.85	10.00	381.85	65.0	244.70
08/10/2018 75501307 TOTTEN, ANN	RESTORATION ROBOTICS INC COMMON STO B	7,500.00	0.00	10.00	-10.00	65.0	-10.00
07/26/2018 75501310 MARGARITA F PRESTON	BERRY PETE CORP COM B	14,000.00	0.00	10.00	-10.00	65.0	-10.00
08/01/2018 75501310 MARGARITA F PRESTON	HI-CRUSH PARTNERS LP COM UNIT PARTN B	14,950.00	357.71	10.00	347.71	65.0	222.51
02/08/2018 75501313 HEIDENREICH, ROBERT	MEDICINOVA INC B	13,575.00	0.00	10.00	-10.00	65.0	-10.00
02/08/2018 75501313 HEIDENREICH, ROBERT	MEDICINOVA INC S	-13,575.00	0.00	-10.00	10.00	65.0	10.00 C
07/11/2018 75501313 HEIDENREICH, ROBERT	CREDIT SUISSE AG NASSAU BRH VELOCIT B	24,767.94	440.23	10.00	430.23	65.0	276.15
07/25/2018 75501313 HEIDENREICH, ROBERT	SACHEM CAPITAL CORP COMMON SHARES S	118.16	2.80	10.00	-7.20	65.0	-8.18
07/27/2018 75501313 HEIDENREICH, ROBERT	GENERAL ELECTRIC CO B	13,040.00	329.96	10.00	319.96	65.0	204.47
07/27/2018 75501313 HEIDENREICH, ROBERT	PINNACLE FOODS INC S	19,896.00	225.00	10.00	215.00	65.0	136.25
07/27/2018 75501313 HEIDENREICH, ROBERT	ULTA SALON COSMETICS & FRAGRANCE IN B	12,563.50	105.00	10.00	95.00	65.0	58.25
08/01/2018 75501313 HEIDENREICH, ROBERT	KELLOGG COMPANY B	21,174.00	315.00	10.00	305.00	65.0	194.75
08/01/2018 75501313 HEIDENREICH, ROBERT	U S SILICA HOLDINGS INC S	13,510.00	175.00	10.00	165.00	65.0	103.75
08/07/2018 75501313 HEIDENREICH, ROBERT	MONDELEZ INTERNATIONAL INC COM B	21,440.00	398.29	10.00	388.29	65.0	248.89
08/08/2018 75501313 HEIDENREICH, ROBERT	CREDIT SUISSE AG NASSAU BRH VELOCIT S	18,105.24	100.00	10.00	90.00	65.0	55.00
08/08/2018 75501313 HEIDENREICH, ROBERT	SACHEM CAPITAL CORP COMMON SHARES S	8,578.20	157.76	10.00	147.76	65.0	92.54
08/09/2018 75501313 HEIDENREICH, ROBERT	CAMPBELL SOUP CO B	21,140.00	395.39	10.00	385.39	65.0	247.00
08/09/2018 75501313 HEIDENREICH, ROBERT	CENTRAL GARDEN & PET CO CL A NON-VT B	11,100.00	0.00	10.00	-10.00	65.0	-10.00
08/09/2018 75501313 HEIDENREICH, ROBERT	GRAFTECH INTL LTD COM B	8,000.00	0.00	10.00	-10.00		-10.00
08/10/2018 75501313 HEIDENREICH, ROBERT	RESTORATION ROBOTICS INC COMMON STO B	7,500.00	0.00	10.00	-10.00	65.0	-10.00
08/10/2018 75502116 BONE, ELIZABETH	RESTORATION ROBOTICS INC COMMON STO B	2,250.00	0.00	10.00	-10.00	65.0	-10.00
08/10/2018 75502186 DOLE, VIVIAN	GENERAL ELECTRIC CO B	3,516.15	0.00	10.00	-10.00		-10.00
07/16/2018 QGW008047 DOUGHERTY, JANE	FRONTIER COMMUNICATIONS CORPORATION S	-503.25	50.00	10.00		65.0	22.50
07/16/2018 QGW008047 DOUGHERTY, JANE	GRANITE PT MTG TR INC COM S	-520.84	50.00	10.00		65.0	22.50
07/12/2018 QGW030041 THOMAS, JACK	ABERDEEN GLOBAL PREMIER PROPERTIES S	-9,495.15	150.00	10.00		65.0	87.50
07/12/2018 QGW030074 WALKER, MARGARET	ACADIA PHARMACEUTICALS INC COM S	-5,220.69	108.04	10.00	98.04	65.0	60.23

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	PDOVED	TDANG	ACTIONS					
	BROKER	IKANS	ACTIONS					
PRODUCT CATEGORY: STOCKS	MINIS ANALYMICS INS SOMEON STOCK	9	1 504 05	00.00	10.00	00.00	65.0	54.00
07/12/2018 QGW030074 WALKER, MARGARET	MYND ANALYTICS INC COMMON STOCK VERIZON COMMUNICATIONS		-1,524.25	99.88 80.73	10.00	89.88 70.73	65.0 65.0	54.92
07/12/2018 QGW030074 WALKER, MARGARET 07/12/2018 OGW030074 WALKER, MARGARET	WTS MYND ANALYTICS INC WARRANT	s s	-5,108.36 -202.01	50.00	10.00	40.00		42.47 22.50
07/11/2018 OGW033367 NAUMOV, GEORGE	SIMULATIONS PLUS INC COM	В	14,790.00	35.00	10.00	25.00		12.75
or, ii, zoio gamossor imonev, diendi			· · · · · · · · · · · · · · · · · · ·				03.0	
	* STOCKS SUBTO	LAL *	\$702,634.08	\$8,255.83	\$560.00	\$7,695.83		\$4,806.27
PRODUCT CATEGORY: OPTIONS								
07/20/2018 75501291 PRESTON, MARGARITA	CALL PFE 07/20/18 35	В	6,300.00	280.00	10.00	270.00	65.0	172.00
07/20/2018 75501291 PRESTON, MARGARITA	CALL PFE 07/20/18 36	В	3,750.00	150.00	10.00	140.00	65.0	87.50
07/24/2018 75501291 PRESTON, MARGARITA	CALL PFE 08/17/18 37	S	2,250.00	100.00	10.00	90.00	65.0	55.00
07/30/2018 75501291 PRESTON, MARGARITA	CALL PFE 08/17/18 38	S	2,550.00	100.00	10.00	90.00	65.0	55.00
	* OPTIONS SUBTO	ral *	\$14,850.00	\$630.00	\$40.00	\$590.00		\$369.50
PRODUCT CATEGORY: RIA								
07/01/2018 75502184 PRESTON, ROBERT	FAS FEES PT. I/III	В	-1,769.81	518.82	10.00	508.82	65.0	327.23
07/01/2018 75502186 DOLE, VIVIAN	FAS FEES PT. I/III	В	-1,038.00	299.39	10.00	289.39		184.60
07/01/2010 /3302100 DOLL, VIVIIN							03.0	
	* RIA SUBTO	ral *	\$-2,807.81	\$818.21	\$20.00	\$798.21		\$511.83
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
07/31/2018	FEE COUNT - 145		0.00	2,219.65	0.00	2,219.65	65.0	1,442.72
	* MUTUAL FUND 12B1 TRAILS SUBTO	TAL *	\$0.00	\$2,219.65	\$0.00	\$2,219.65		\$1,442.72
	*** BROKER TRANSACTIONS TOTAL	. ***	\$787,414.27	\$11,923.69	\$620.00	\$11,303.69		\$7,130.32
	ADC	USTME	nts					
07/31/2018	MISCELLANEOUS: WRITE OFF 755012	88	0.00	0.00	0.00	0.00		-2.00
07/31/2018	COMMISSION INCOME: AQST		0.00	0.00	0.00	0.00		5.51
07/31/2018	COMMISSION INCOME: BRY 75501293		0.00	0.00	0.00	0.00		39.82
07/31/2018	COMMISSION INCOME: BRY 75501310		0.00	0.00	0.00	0.00		79.65
07/31/2018	COMMISSION INCOME: BRY 75501307		0.00	0.00	0.00	0.00		79.65
07/31/2018	COMMISSION INCOME: BRY IPO		0.00	0.00	0.00	0.00		88.50
07/31/2018	MISCELLANEOUS: CREDIT CLEARING C		0.00	0.00	0.00	0.00		50.00
07/31/2018	: QUODD		0.00	0.00	0.00	0.00		-180.85
07/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2018	OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
07/31/2018	OTHER: RBC -WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
07/31/2018	OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.44
	*** ADJUSTMENTS TOTA	. ***	\$0.00	\$0.00	\$0.00	\$0.00		\$48.84

BROKER COMMISSION TOTALS Broker Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment Check Amount Year-to-date Earnings

\$7,130.32

\$0.00

\$0.00

\$48.84

\$0.00 \$0.00

\$0.00

\$-14.90 \$-29.81

\$7,134.45

\$66,793.29