

**COMMISSION STATEMENT****April 15, 2018**FRED CHASALOW
2523 Hastings Drive

BROKER #: C121 Terminated 12/31/2015

BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
03/29/2018	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	99,831.78	83.11	0.00	83.11	72.0	59.84
03/29/2018	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	209,263.74	174.21	0.00	174.21	72.0	125.43
03/29/2018	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	266,743.99	222.06	0.00	222.06	72.0	159.88
03/29/2018	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	96,569.80	40.20	0.00	40.20	72.0	28.94
03/14/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.43	0.00	2.43	72.0	1.75
03/14/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.36	0.00	0.36	72.0	0.26
03/14/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	1.57	0.00	1.57	72.0	1.13
03/01/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.81	0.00	4.81	72.0	3.46
03/01/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.38	0.00	2.38	72.0	1.71
03/01/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.38	0.00	2.38	72.0	1.71
03/01/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.35	0.00	1.35	72.0	0.97
03/01/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.92	0.00	1.92	72.0	1.38
03/01/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.64	0.00	0.64	72.0	0.46
03/01/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.97	0.00	0.97	72.0	0.70
03/23/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.36	0.00	4.36	72.0	3.14
03/23/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.16	0.00	2.16	72.0	1.56
03/23/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.16	0.00	2.16	72.0	1.56
03/23/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.23	0.00	1.23	72.0	0.89
03/23/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.74	0.00	1.74	72.0	1.25
03/23/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.58	0.00	0.58	72.0	0.42
03/23/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.88	0.00	0.88	72.0	0.63
04/05/2018	ALLEN, JEFFREY	CALL 100 AT&T INC COM EXP 06-15-18@	-128.00	8.66	8.66	0.00	72.0	0.00
04/05/2018	ALLEN, JEFFREY	CALL 100 KIMBERLY CLARK CORP COM EX	-1,225.00	8.66	8.16	0.50	72.0	0.36
03/14/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.38	0.00	0.38	72.0	0.27
03/16/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	0.90	0.00	0.90	72.0	0.65
03/16/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	3.53	0.00	3.53	72.0	2.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
03/21/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.67	0.00	0.67	72.0	0.48
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$671,056.31	\$574.30	\$16.82	\$557.48		\$401.37
*** SPLIT TRANSACTIONS TOTAL ***			\$671,056.31	\$574.30	\$16.82	\$557.48		\$401.37

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$401.37
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$33.96
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$435.33
Year-to-date Gross	\$5,541.32
Year-to-date Earnings	\$3,943.13

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