

**COMMISSION STATEMENT****November 15, 2017**JAMES PANOS
330 North Street

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

Boston, MA 02113-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.68	0.00	0.68	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	1.51	0.00	1.51	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	2.06	0.00	2.06	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	2.54	0.00	2.54	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	0.00	4.02	0.00	4.02	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	11.94	0.00	11.94	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	6.91	0.00	6.91	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	3.61	0.00	3.61	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.04	0.00	2.04	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	3.09	0.00	3.09	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	4.65	0.00	4.65	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	0.93	0.00	0.93	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.45	0.00	1.45	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.80	0.00	1.80	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	5.35	0.00	5.35	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.12	0.00	1.12	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 CL A	0.00	1.44	0.00	1.44	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 CL A	0.00	3.51	0.00	3.51	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.63	0.00	0.63	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	2.75	0.00	2.75	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.58	0.00	0.58	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	7.53	0.00	7.53	0.0	0.00
* 529 PLANS SUBTOTAL *			\$0.00	\$70.14	\$0.00	\$70.14		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	8.03	0.00	8.03	0.0	0.00
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	6.32	0.00	6.32	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	4.10	0.00	4.10	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	5.53	0.00	5.53	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	5.64	0.00	5.64	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	6.29	0.00	6.29	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	4.40	0.00	4.40	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.72	0.00	6.72	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	3.49	0.00	3.49	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	14.18	0.00	14.18	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	2.39	0.00	2.39	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	0.83	0.00	0.83	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.77	0.00	6.77	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	3.28	0.00	3.28	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	1.14	0.00	1.14	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	11.58	0.00	11.58	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	0.00	0.41	0.00	0.41	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.55	0.00	0.55	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	1.53	0.00	1.53	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	16.07	0.00	16.07	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	2.91	0.00	2.91	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	0.76	0.00	0.76	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	4.46	0.00	4.46	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	16.55	0.00	16.55	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	16.87	0.00	16.87	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	12.30	0.00	12.30	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	2.82	0.00	2.82	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	4.28	0.00	4.28	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	6.44	0.00	6.44	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	3.72	0.00	3.72	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	4.44	0.00	4.44	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	4.92	0.00	4.92	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	7.41	0.00	7.41	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	3.99	0.00	3.99	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	2.86	0.00	2.86	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	19.31	0.00	19.31	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	0.88	0.00	0.88	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	11.11	0.00	11.11	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	0.80	0.00	0.80	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	10.44	0.00	10.44	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	3.63	0.00	3.63	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	2.83	0.00	2.83	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	3.52	0.00	3.52	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	2.61	0.00	2.61	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	1.49	0.00	1.49	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	5.03	0.00	5.03	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	3.92	0.00	3.92	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	21.70	0.00	21.70	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	13.52	0.00	13.52	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	14.44	0.00	14.44	0.0	0.00
11/01/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
11/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	0.00
11/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	0.59	0.00	0.59	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 A	0.00	0.56	0.00	0.56	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.58	0.00	13.58	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	3.37	0.00	3.37	0.0	0.00
11/01/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	0.00
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	5.18	0.00	5.18	0.0	0.00
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	4.55	0.00	4.55	0.0	0.00
11/01/2017	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	40,000.00	400.00	0.00	400.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$40,950.00	\$756.54	\$0.00	\$756.54		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	84,777.13	70.65	0.00	70.65	0.0	0.00
10/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,840.92	89.52	0.00	89.52	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$299,618.05	\$160.17	\$0.00	\$160.17		\$0.00

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<u>DIRECT TRANSACTIONS</u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$340,568.05	\$986.85	\$0.00	\$986.85		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$25,015.87
Year-to-date Earnings	\$0.00

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