

**COMMISSION STATEMENT****February 28, 2018**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: J1D
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^05/31/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	7.12	0.00	7.12	100.0	0.00
^05/31/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	3.12	0.00	3.12	100.0	0.00
^05/31/2016	BENAVENTE, CAROLYN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	3.13	0.00	3.13	100.0	0.00
^06/27/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	5.65	0.00	5.65	100.0	0.00
^06/27/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.04	0.00	4.04	100.0	0.00
^06/27/2016	BENAVENTE, CAROLYN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	3.13	0.00	3.13	100.0	0.00
^07/29/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	3.93	0.00	3.93	100.0	0.00
^08/30/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.13	0.00	4.13	100.0	0.00
^09/29/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.18	0.00	4.18	100.0	0.00
^10/27/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.04	0.00	4.04	100.0	0.00
^11/28/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.18	0.00	4.18	100.0	0.00
^12/21/2016	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.01	0.00	4.01	100.0	0.00
^04/14/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.09	0.00	3.09	100.0	0.00
^05/19/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.25	0.00	11.25	100.0	0.00
^05/19/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.47	0.00	5.47	100.0	0.00
^06/23/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.50	0.00	12.50	100.0	0.00
^06/23/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	43.81	0.00	43.81	100.0	0.00
^07/11/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.79	0.00	11.79	100.0	0.00
^07/11/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.27	0.00	41.27	100.0	0.00
^08/15/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.29	0.00	12.29	100.0	0.00
^09/01/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.43	0.00	42.43	100.0	0.00
^09/12/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.31	0.00	12.31	100.0	0.00
^09/12/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.51	0.00	42.51	100.0	0.00
^10/14/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.93	0.00	11.93	100.0	0.00
^10/14/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.15	0.00	41.15	100.0	0.00
^11/17/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.39	0.00	12.39	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^11/17/2016	ASHLAR MECHANICAL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.09	0.00	4.09	100.0	0.00
^11/17/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.13	0.00	42.13	100.0	0.00
^12/12/2016	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.07	0.00	12.07	100.0	0.00
^12/12/2016	ASHLAR MECHANICAL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.08	0.00	41.08	100.0	0.00
^12/12/2016	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.04	0.00	41.04	100.0	0.00
^04/22/2016	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.16	0.00	1.16	100.0	0.00
^08/01/2016	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	11.88	0.00	11.88	100.0	0.00
^10/17/2016	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.26	0.00	12.26	100.0	0.00
^02/19/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.69	0.00	2.69	100.0	0.00
^02/19/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	15.42	0.00	15.42	100.0	0.00
^03/17/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.38	0.00	2.38	100.0	0.00
^03/17/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	13.62	0.00	13.62	100.0	0.00
^04/25/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.75	0.00	2.75	100.0	0.00
^04/25/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	15.76	0.00	15.76	100.0	0.00
^05/16/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.76	0.00	2.76	100.0	0.00
^05/16/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	15.81	0.00	15.81	100.0	0.00
^06/20/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.83	0.00	2.83	100.0	0.00
^06/20/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	16.19	0.00	16.19	100.0	0.00
^07/25/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.76	0.00	2.76	100.0	0.00
^07/25/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	15.81	0.00	15.81	100.0	0.00
^08/15/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.91	0.00	2.91	100.0	0.00
^08/15/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	16.64	0.00	16.64	100.0	0.00
^09/15/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.98	0.00	2.98	100.0	0.00
^09/15/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	17.08	0.00	17.08	100.0	0.00
^10/14/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.86	0.00	2.86	100.0	0.00
^10/14/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	16.40	0.00	16.40	100.0	0.00
^11/21/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.95	0.00	2.95	100.0	0.00
^11/21/2016	OXFORD INS CO-CL G	JP MORGAN GROWTH ADV A	0.00	8.76	0.00	8.76	100.0	0.00
^12/16/2016	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.86	0.00	2.86	100.0	0.00
^02/19/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.44	0.00	3.44	100.0	0.00
^02/19/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	15.62	0.00	15.62	100.0	0.00
^03/17/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.14	0.00	3.14	100.0	0.00
^03/17/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	14.23	0.00	14.23	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^04/25/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.59	0.00	3.59	100.0	0.00
^04/25/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	16.27	0.00	16.27	100.0	0.00
^05/16/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.58	0.00	3.58	100.0	0.00
^05/16/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	16.22	0.00	16.22	100.0	0.00
^06/20/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.69	0.00	3.69	100.0	0.00
^06/20/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	16.75	0.00	16.75	100.0	0.00
^07/25/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.59	0.00	3.59	100.0	0.00
^07/25/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	16.30	0.00	16.30	100.0	0.00
^08/15/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.80	0.00	3.80	100.0	0.00
^08/15/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	17.22	0.00	17.22	100.0	0.00
^09/15/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.88	0.00	3.88	100.0	0.00
^09/15/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	17.59	0.00	17.59	100.0	0.00
^10/14/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.73	0.00	3.73	100.0	0.00
^10/14/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	16.91	0.00	16.91	100.0	0.00
^11/21/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.86	0.00	3.86	100.0	0.00
^11/21/2016	OXFORD INS CO-CL G	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	9.05	0.00	9.05	100.0	0.00
^12/16/2016	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	3.81	0.00	3.81	100.0	0.00
^02/19/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.47	0.00	2.47	100.0	0.00
^02/19/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	14.78	0.00	14.78	100.0	0.00
^03/17/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.28	0.00	2.28	100.0	0.00
^03/17/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	13.62	0.00	13.62	100.0	0.00
^04/25/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.62	0.00	2.62	100.0	0.00
^04/25/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	15.66	0.00	15.66	100.0	0.00
^05/16/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.59	0.00	2.59	100.0	0.00
^05/16/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	15.45	0.00	15.45	100.0	0.00
^06/20/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.68	0.00	2.68	100.0	0.00
^06/20/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	16.00	0.00	16.00	100.0	0.00
^07/25/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.61	0.00	2.61	100.0	0.00
^07/25/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	15.57	0.00	15.57	100.0	0.00
^08/15/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.77	0.00	2.77	100.0	0.00
^08/15/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	16.52	0.00	16.52	100.0	0.00
^09/15/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.81	0.00	2.81	100.0	0.00
^09/15/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	16.80	0.00	16.80	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/14/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.72	0.00	2.72	100.0	0.00
^10/14/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	16.23	0.00	16.23	100.0	0.00
^11/21/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.81	0.00	2.81	100.0	0.00
^11/21/2016	OXFORD INS CO-CL G	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	8.66	0.00	8.66	100.0	0.00
^12/16/2016	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	2.82	0.00	2.82	100.0	0.00
^02/25/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	100.0	0.00
^03/08/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.55	0.00	0.55	100.0	0.00
^04/22/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.66	0.00	0.66	100.0	0.00
^05/17/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.70	0.00	0.70	100.0	0.00
^06/15/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.80	0.00	0.80	100.0	0.00
^07/14/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	100.0	0.00
^08/18/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.86	0.00	0.86	100.0	0.00
^09/15/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.86	0.00	0.86	100.0	0.00
^09/15/2016	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.76	0.00	3.76	100.0	0.00
^10/21/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.84	0.00	0.84	100.0	0.00
^10/21/2016	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.47	0.00	7.47	100.0	0.00
^11/09/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.87	0.00	0.87	100.0	0.00
^11/09/2016	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.77	0.00	7.77	100.0	0.00
^12/16/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.82	0.00	0.82	100.0	0.00
^12/16/2016	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.36	0.00	7.36	100.0	0.00
^02/25/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	7.37	0.00	7.37	100.0	0.00
^03/08/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	6.77	0.00	6.77	100.0	0.00
^04/22/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	8.19	0.00	8.19	100.0	0.00
^05/17/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	8.41	0.00	8.41	100.0	0.00
^06/15/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	8.76	0.00	8.76	100.0	0.00
^07/14/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	8.57	0.00	8.57	100.0	0.00
^08/18/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	8.87	0.00	8.87	100.0	0.00
^09/15/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	9.27	0.00	9.27	100.0	0.00
^10/21/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	9.06	0.00	9.06	100.0	0.00
^11/09/2016	OXFORD INS CO-CL G	MAINSTAY CUSHING RENAISSANCE ADV CL	0.00	4.94	0.00	4.94	100.0	0.00
^02/25/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.14	0.00	0.14	100.0	0.00
^03/08/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.41	0.00	0.41	100.0	0.00
^04/22/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.49	0.00	0.49	100.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^05/17/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.51	0.00	0.51	100.0	0.00
^06/15/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	100.0	0.00
^07/14/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	100.0	0.00
^08/18/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.61	0.00	0.61	100.0	0.00
^09/15/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.62	0.00	0.62	100.0	0.00
^10/21/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.60	0.00	0.60	100.0	0.00
^11/09/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.65	0.00	0.65	100.0	0.00
^12/16/2016	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.63	0.00	0.63	100.0	0.00
^08/11/2016	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	82.75	0.00	82.75	100.0	0.00
^09/12/2016	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	129.74	0.00	129.74	100.0	0.00
^10/11/2016	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	126.63	0.00	126.63	100.0	0.00
^11/10/2016	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	130.43	0.00	130.43	100.0	0.00
^12/12/2016	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	126.99	0.00	126.99	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,794.32	\$0.00	\$1,794.32		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1,794.32	\$0.00	\$1,794.32		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed