



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^05/22/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.72	0.00	42.72	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$42.72	\$0.00	\$42.72		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	8.50	0.00	8.50	90.0	0.00
^05/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^06/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	2.89	0.00	2.89	90.0	0.00
^05/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	109.23	4.64	0.00	4.64	90.0	0.00
^05/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	109.23	4.64	0.00	4.64	90.0	0.00
^06/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	2.00	0.00	2.00	90.0	0.00
^05/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	11.77	0.00	11.77	90.0	0.00
^05/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^06/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	3.70	0.00	3.70	90.0	0.00
^06/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	3.83	0.00	3.83	90.0	0.00
^05/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	230.76	9.81	0.00	9.81	90.0	0.00
^05/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	138.46	5.88	0.00	5.88	90.0	0.00
06/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
^06/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	13.25	0.00	13.25	90.0	0.00
^06/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	3.39	0.00	3.39	90.0	0.00
^05/26/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^05/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^06/01/2017	WILLIAMS, RENETTA	AM FUNDS AMERICAN BALANCED A	0.00	2.87	0.00	2.87	90.0	0.00
^06/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.19	0.00	1.19	90.0	0.00
^06/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	4.96	0.00	4.96	90.0	0.00
^06/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	2.04	0.00	2.04	90.0	0.00
^06/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	2.56	0.00	2.56	90.0	0.00
^06/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	1.50	0.00	1.50	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	0.78	0.00	0.78	90.0	0.00
^06/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	2.37	0.00	2.37	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN BALANCED A	0.00	1.09	0.00	1.09	90.0	0.00
^06/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.98	0.00	0.98	90.0	0.00
^06/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.00
^06/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	3.81	0.00	3.81	90.0	0.00
^06/01/2017	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	2.63	0.00	2.63	90.0	0.00
^06/01/2017	BROWN, KAY	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	90.0	0.00
^06/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	14.07	0.00	14.07	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.64	0.00	0.64	90.0	0.00
^06/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	0.00
^06/01/2017	WILLIAMS, RENETTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.10	0.00	0.10	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.41	0.00	0.41	90.0	0.00
^06/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.60	0.00	1.60	90.0	0.00
^06/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.27	0.00	3.27	90.0	0.00
^06/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.76	0.00	0.76	90.0	0.00
^06/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.35	0.00	2.35	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.00
^06/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.87	0.00	0.87	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	90.0	0.00
^06/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	90.0	0.00
^06/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.00
^06/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.14	0.00	0.14	90.0	0.00
^06/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.16	0.00	1.16	90.0	0.00
^05/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^05/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^06/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.27	0.00	1.27	90.0	0.00
^06/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.19	0.00	5.19	90.0	0.00
^06/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.86	0.00	2.86	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.00
^06/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.09	0.00	5.09	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.00
^06/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.00
^06/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	90.0	0.00
^06/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	0.00
^06/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.43	0.00	1.43	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS ICA A	0.00	0.14	0.00	0.14	90.0	0.00
^06/01/2017	WILLIAMS, RENETTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.53	0.00	1.53	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.41	0.00	0.41	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	0.08	0.00	0.08	90.0	0.00
^06/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW WORLD A	0.00	0.06	0.00	0.06	90.0	0.00
^06/01/2017	WOODSON, SHELDON	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.25	0.00	0.25	90.0	0.00
^05/26/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	4.75	0.00	4.75	90.0	0.00
^05/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^06/02/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^06/02/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^06/02/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^05/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^06/02/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^05/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^05/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^06/06/2017	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	1,291.03	41.96	0.00	41.96	90.0	0.00
^05/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^06/02/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^06/02/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^06/02/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^06/02/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^05/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^06/01/2017	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.56	0.00	0.56	90.0	0.00
^05/12/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.38	0.00	0.38	90.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
* MUTUAL FUNDS SUBTOTAL *			\$3,987.55	\$263.78	\$0.00	\$263.78		\$3.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.62	0.00	0.62	90.0	0.00
^05/15/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.00
^05/15/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.00
^05/15/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.10	0.00	1.10	90.0	0.00
^05/15/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.16	0.00	0.16	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.59	0.00	0.59	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.34	0.00	0.34	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.48	0.00	0.48	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.70	0.00	0.70	90.0	0.00
^05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	90.0	0.00
^05/24/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.72	0.00	2.72	90.0	0.00
^05/18/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	11.34	0.00	11.34	90.0	0.00
^05/24/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.49	0.00	0.49	90.0	0.00
^05/17/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.74	0.00	1.74	90.0	0.00
^05/17/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	3.37	0.00	3.37	90.0	0.00
^05/22/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.61	0.00	3.61	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$29.11	\$0.00	\$29.11		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$3,987.55	\$335.61	\$0.00	\$335.61		\$3.83

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

05/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.55	0.00	2.55	90.0	2.30
05/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
06/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	0.87	0.00	0.87	90.0	0.78
05/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	109.23	1.39	0.00	1.39	90.0	1.25
05/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	109.23	1.39	0.00	1.39	90.0	1.25
06/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	0.60	0.00	0.60	90.0	0.54
05/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.53	0.00	3.53	90.0	3.18

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
05/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
06/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.15	0.00	1.15	90.0	1.04
05/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	230.76	2.94	0.00	2.94	90.0	2.65
05/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	138.46	1.76	0.00	1.76	90.0	1.58
06/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	1.02	0.00	1.02	90.0	0.92
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
05/15/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
05/15/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
05/15/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.33	0.00	0.33	90.0	0.30
05/15/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
05/26/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
05/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	WILLIAMS, RENETTA	AM FUNDS AMERICAN BALANCED A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	90.0	0.55
06/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN BALANCED A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	BROWN, KAY	AM FUNDS AMERICAN BALANCED A	0.00	0.00	0.00	0.00	90.0	0.00
06/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	4.22	0.00	4.22	90.0	3.80
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	WILLIAMS, RENETTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.41	0.00	0.41	90.0	0.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	90.0	0.43
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	90.0	0.20
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	SCHENKE, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.35	0.00	0.35	90.0	0.32
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
05/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
05/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
06/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	SCHENKE, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.53	0.00	1.53	90.0	1.38
06/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	WOODSON, SHELDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
06/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	SCHENKE, JOSEPH	AM FUNDS ICA A	0.00	0.04	0.00	0.04	90.0	0.04
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	WILLIAMS, RENETTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/01/2017	SCHENKE, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	WOODSON, SHELDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	WOODSON, SHELDON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	WOODSON, SHELDON	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.14	0.00	0.14	90.0	0.13
05/26/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.43	0.00	1.43	90.0	1.29
05/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
06/02/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
06/02/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
06/02/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
05/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
06/02/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
05/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
05/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
06/06/2017	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	1,291.03	12.59	0.00	12.59	90.0	11.33
05/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
06/02/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
06/02/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
06/02/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
06/02/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
05/22/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.82	0.00	12.82	90.0	11.54
05/24/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.82	0.00	0.82	90.0	0.74
05/18/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.40	0.00	3.40	90.0	3.06
05/24/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.15	0.00	0.15	90.0	0.14
05/17/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.52	0.00	0.52	90.0	0.47
05/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.17	0.00	0.17	90.0	0.15
05/17/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	1.01	0.00	1.01	90.0	0.91
05/12/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.11	0.00	0.11	90.0	0.10

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SPLIT TRANSACTIONS

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
05/22/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.08	0.00	1.08	90.0	0.97
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$3,887.55	\$99.44	\$0.00	\$99.44		\$89.57
*** SPLIT TRANSACTIONS TOTAL ***			\$3,887.55	\$99.44	\$0.00	\$99.44		\$89.57

BROKER COMMISSION TOTALS

Broker Transactions	\$3.83
Split Transactions	\$89.57
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$42.30
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$135.70
Year-to-date Gross	\$902.77
Year-to-date Earnings	\$717.82

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