



Concorde Investment Services, LLC

10/30/2017

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COMMISSION STATEMENT**October 31, 2017**NATHANIEL KUHN
612 S. Bristol LaneBROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
10/24/2017	OBENAUFG TRUST, WILLIAM	INLAND HEALTHCARE PF III DST	175,506.09	8,775.30	0.00	8,775.30	90.0	7,897.77
		* 1031 EXCHANGES SUBTOTAL *	\$175,506.09	\$8,775.30	\$0.00	\$8,775.30		\$7,897.77
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
10/20/2017	STETTER, MARIA	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	90.0	1.69
10/20/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
10/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
10/16/2017	GOODMAN, MICHAEL	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
10/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45
10/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45
10/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
10/16/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	90.0	1.92
10/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
10/10/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
10/10/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
10/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
10/16/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
10/16/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
10/16/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
10/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13
10/16/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	50.00	2.13	0.00	2.13	90.0	1.92
10/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
10/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45
10/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
10/16/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
10/02/2017	WILSON, JOE	FT GROWTH ALLOC A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	CHASE, LINDA	FT MODERATE ALLOCATION FUND C	100.00	1.00	0.00	1.00	90.0	0.90
10/16/2017	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$1,650.00	\$43.44	\$0.00	\$43.44		\$39.11
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/10/2017	DUPUIS, ROGER	GUARDIAN LIFE INS TRAILS	0.00	103.99	0.00	103.99	90.0	93.59
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$103.99	\$0.00	\$103.99		\$93.59
*** BROKER TRANSACTIONS TOTAL ***			\$177,156.09	\$8,922.73	\$0.00	\$8,922.73		\$8,030.47

BROKER COMMISSION TOTALS

Broker Transactions	\$8,030.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,030.47
Year-to-date Gross	\$478,849.04
Year-to-date Earnings	\$430,965.81

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