All Companies

Signal SECURITIES Our significance is you.

COMMISSION STATEMENT

August 01, 2018

DAVID SCOTT RAPOPORT 5546 Rainbow Circle

Santa Rosa, CA 95409

BROKER #: 9076

BRANCH: HOME OFFICE

COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROK	ER TRANS	ACTIONS					
PRODUCT CATE	GORY: MUTUAL FUNDS								
06/30/2018 TR	AILS	-PRINCIPAL FUNDS	В	44.10	44.10	0.00	44.10	65.0	28.67
07/10/2018 0000	000000041792 RODE, PETER	MUTUAL INTERNATIONAL CL A	В	72.00	2.70	0.00	2.70	65.0	1.76
07/10/2018 0000	000000812545 RODE, PETER	FRANKLIN MUTUAL FINCL SERVIC	CES FD C B	72.00	2.70	0.00	2.70	65.0	1.76
07/10/2018 000	000008801843 RODE, PETER	MUTUAL DISC FND - CL A	В	72.00	2.70	0.00	2.70	65.0	1.76
	017511221851 SHELDON, TT/CHRISTINA	FRANKLIN CA HIGH YLD MUNI FO) CL I B	3,000.00	84.00	0.00	84.00	65.0	54.60
07/16/2018 000080193000975 PISENTI, SARAH FRANKLIN DYNATECH FD CL I B			300.00	15.00	0.00	15.00	65.0	9.75	
	86994400 SHELDON, ETT/CHRISTIN	AMERICAN FUNDS SMALL CAP WOR	RLD FD B	500.00	13.75	0.00	13.75	65.0	8.94
	88458875 UNTIL AGE 18, UTMA	AMERICAN FUNDS SMALL CAP WOR	RLD FD B	250.00	6.88	0.00	6.88	65.0	4.47
07/11/2018 700	6770522 WEIN, TAMRAH G	OPPENHEIMER MAIN STREET INCC	ME & GR B	550.00	11.00	0.00	11.00	65.0	7.15
07/11/2018 847	3403367 GOLDSTEIN, FRANNE	C MAIN STREET SMALL CAP FUND A	В	100.00	4.75	0.00	4.75	65.0	3.09
07/11/2018 847	5633672 WEIN, TAMRAH	MAIN STREET SMALL CAP FUND A	В	450.00	9.00	0.00	9.00	65.0	5.85
		* MUTUAL FUNDS SU	BTOTAL *	\$5,410.10	\$196.58	\$0.00	\$196.58		\$127.80
PRODUCT CATE	GORY: MUTUAL FUND 12B1	TRAILS							
08/01/2018 TRA	IL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEE	S	0.00	16.64	0.00	16.64	65.0	10.82
08/01/2018 TRA	IL COMMISSIONS	MFS FUND DISTRIBUTORS, INC.	FEES	0.00	42.87	0.00	42.87	65.0	27.86
		* MUTUAL FUND 12B1 TRAILS SU	BTOTAL *	\$0.00	\$59.51	\$0.00	\$59.51		\$38.68
		*** BROKER TRANSACTIONS T	OTAL ***	\$5,410.10	\$256.09	\$0.00	\$256.09		\$166.48

07/30/2018 Page No. 2 COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
		ADJU	STME	NTS						
08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0	.00	-27.50	
		*** ADJUSTMENTS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.	00	\$-27.50	
						<u> 1</u>	BROKER COI	MISSION	TOTALS	
						Broke	r Transacti	ons	\$166.48	
						Spli	t Transacti	ons	\$0.00	
						Overrid	e Transacti	ons	\$0.00	
							Adjustme	nts	\$-27.50	
							Payroll D	caw	\$0.00	
							Base Sal	ary	\$0.00	
						Prior	Period Bala	nce	\$0.00	
							Check Amo	ınt	\$138.98	
						Year-to	-date Earni	ngs	\$6,472.93	