

All Companies
STATEMENT
March 20, 2018

RONALD NALVEN - LPCM

BROKER #: 3028
BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for RONALD NALVEN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
12/31/2017 #583266	ADAMS, LINDSAY	SEI FEES	B	0.00	21.81	0.00	0.00	21.81	86.0	18.76
12/31/2017 #645284	ALBRECHT, CURT	SEI FEES	B	0.00	73.01	0.00	0.00	73.01	86.0	62.79
12/31/2017 #42765	BERBERIAN, JOSEPH	SEI FEES	B	0.00	209.73	0.00	0.00	209.73	86.0	180.37
12/31/2017 #523841	BERBERIAN, JOSEPH	SEI FEES	B	0.00	121.40	0.00	0.00	121.40	86.0	104.40
12/31/2017 #571286	BOGUCHWAL, SHERRY	SEI FEES	B	0.00	776.29	0.00	0.00	776.29	86.0	667.61
12/31/2017 #566028	GIAGLIA, ANTHONY	SEI FEES	B	0.00	150.84	0.00	0.00	150.84	86.0	129.72
12/31/2017 #566030	GIAGLIA, MICHAEL	SEI FEES	B	0.00	140.92	0.00	0.00	140.92	86.0	121.19
12/31/2017 #566032	CIAGLIA, MARCELLINO	SEI FEES	B	0.00	123.66	0.00	0.00	123.66	86.0	106.35
12/31/2017 #571189	CIAGLIA, ANGELO	SEI FEES	B	0.00	119.27	0.00	0.00	119.27	86.0	102.57
12/31/2017 #495278	EHRlich, DIANE	SEI FEES	B	0.00	158.93	0.00	0.00	158.93	86.0	136.68
12/31/2017 #552099	EHRlich, HAROLD	SEI FEES	B	0.00	416.91	0.00	0.00	416.91	86.0	358.54
12/31/2017 #495279	EHRlich, HAROLD	SEI FEES	B	0.00	379.62	0.00	0.00	379.62	86.0	326.47
12/31/2017 #498757	GARLICK, GORDON	SEI FEES	B	0.00	187.14	0.00	0.00	187.14	86.0	160.94
12/31/2017 #546812	GLICK, JESSE	SEI FEES	B	0.00	0.81	0.00	0.00	0.81	86.0	0.70
12/31/2017 #583265	HOPFEL, MARANDA	SEI FEES	B	0.00	21.27	0.00	0.00	21.27	86.0	18.29
12/31/2017 #571265	PANZA AND SONS	SEI FEES	B	0.00	854.88	0.00	0.00	854.88	86.0	735.20
12/31/2017 #559149	PEREZ, HECTOR	SEI FEES	B	0.00	163.56	0.00	0.00	163.56	86.0	140.66
12/31/2017 #37369	PORTER, CINDI	SEI FEES	B	0.00	222.00	0.00	0.00	222.00	86.0	190.92
12/31/2017 #112928	POWERS, MARY	SEI FEES	B	0.00	644.90	0.00	0.00	644.90	86.0	554.61
12/31/2017 #530981	RACHLIN, JUDYTHE	SEI FEES	B	0.00	37.21	0.00	0.00	37.21	86.0	32.00
12/31/2017 #530977	RACHLIN, JUDYTHE	SEI FEES	B	0.00	28.38	0.00	0.00	28.38	86.0	24.41
12/31/2017 #583267	WAAGNER, JESSICA	SEI FEES	B	0.00	34.96	0.00	0.00	34.96	86.0	30.07
12/31/2017 #806563	XIE, FENG	SEI FEES	B	0.00	265.99	0.00	0.00	265.99	86.0	228.75
* RIA SUBTOTAL *				\$0.00	\$5,153.49	\$0.00	\$0.00	\$5,153.49		\$4,432.00
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$5,153.49	\$0.00	\$0.00	\$5,153.49		\$4,432.00

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ADJUSTMENTS

03/20/2018	ADVANCE: ADVANCE SEI									-4,432.00
	*** ADJUSTMENTS TOTAL ***									\$-4,432.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,432.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,432.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$0.00
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