

**COMMISSION STATEMENT****February 01, 2018**

JODI GALLOVICH  
3200 N Central Ave Ste 200  
Phoenix, AZ 85012

BROKER #: 73712  
BRANCH: 04-#70A11 HOME OFFIC

| DATE   | CLIENT                  | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|--|-------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| <b><u>Company: Securities America</u></b>          |                         |                                   |                      |                     |                    |                   |                      |
| <b><u>BROKER TRANSACTIONS</u></b>                  |                         |                                   |                      |                     |                    |                   |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>       |                         |                                   |                      |                     |                    |                   |                      |
| 02/01/2018   | GALLOVICH (73712), JODI | SAI SECURITES INCOME              | 0.00                 | 1,929.28            | 0.00               | 1,929.28          | 700.33               |
|  |                         | * MUTUAL FUNDS SUBTOTAL *         | \$0.00               | \$1,929.28          | \$0.00             | \$1,929.28        | \$700.33             |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b> |                         |                                   |                      |                     |                    |                   |                      |
| 02/01/2018   | GALLOVICH (73712), JODI | SAI VARIABLE INCOME               | 0.00                 | 1,330.12            | 0.00               | 1,330.12          | 482.83               |
|  |                         | * VARIABLE ANNUITIES SUBTOTAL *   | \$0.00               | \$1,330.12          | \$0.00             | \$1,330.12        | \$482.83             |
| <b><u>PRODUCT CATEGORY: TRAILERS</u></b>           |                         |                                   |                      |                     |                    |                   |                      |
| 02/01/2018   | GALLOVICH (73712), JODI | SAI.TRAILS                        | 0.00                 | 25,780.67           | 0.00               | 25,780.67         | 9,358.38             |
|  |                         | * TRAILERS SUBTOTAL *             | \$0.00               | \$25,780.67         | \$0.00             | \$25,780.67       | \$9,358.38           |
|  |                         | *** BROKER TRANSACTIONS TOTAL *** | \$0.00               | \$29,040.07         | \$0.00             | \$29,040.07       | \$10,541.54          |

**VERRIDE TRANSACTIONS****BROKER: TRACY CUDA #73670**

|            |                     |                                     |        |          |        |          |         |
|------------|---------------------|-------------------------------------|--------|----------|--------|----------|---------|
| 02/01/2018 | CUDA (73670), TRACY | SAI ADVISORY INCOME (CUE RIA)       | 0.00   | -93.62   | 0.00   | -93.62   | -12.36  |
| 02/01/2018 | CUDA (73670), TRACY | SAI PERSHING CHARGES                | 0.00   | -100.00  | 0.00   | -100.00  | -13.20  |
| 02/01/2018 | CUDA (73670), TRACY | SAI SECURITES INCOME                | 0.00   | 205.60   | 0.00   | 205.60   | 27.14   |
| 02/01/2018 | CUDA (73670), TRACY | SAI.TRAILS                          | 0.00   | 387.59   | 0.00   | 387.59   | 51.16   |
|            |                     | * TRACY CUDA SUBTOTAL *             | \$0.00 | \$399.57 | \$0.00 | \$399.57 | \$52.74 |
|            |                     | *** OVERRIDE TRANSACTIONS TOTAL *** | \$0.00 | \$399.57 | \$0.00 | \$399.57 | \$52.74 |

COMMISSION STATEMENT for JODI GALLOVICH

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America (continued)

ADJUSTMENTS

|                                |  |  |        |        |        |        |          |
|--------------------------------|--|--|--------|--------|--------|--------|----------|
| 20. SAI SIPC FOR PE 01/19/2018 |  |  |        |        |        |        | -21.19   |
| 35. SAI SIPC FOR PE 01/26/2018 |  |  |        |        |        |        | -4.01    |
| *** ADJUSTMENTS TOTAL ***      |  |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-25.20 |

BROKER COMMISSION TOTALS

|                       |             |
|-----------------------|-------------|
| Broker Transactions   | \$10,541.54 |
| Split Transactions    | \$0.00      |
| Override Transactions | \$52.74     |
| Adjustments           | \$-25.20    |
| Prior Period Balance  | \$0.00      |
| NASD Assessment       | \$0.00      |
| SIPC Assessment       | \$0.00      |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$10,569.08 |
| Year-to-date Earnings | \$26,439.78 |