



Concorde Asset Management

10/02/2017  
Page No. 1

# COMMISSION STATEMENT

September 30, 2017

DAVID KERN  
4321 Flagstaff Cove

BROKER #: K123  
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
09/21/2017	PICCIANO, DEAN	PACIFIC FINANCIAL	119,705.09	88.84	0.00	88.84	90.0	79.96
* RIA SUBTOTAL *			\$119,705.09	\$88.84	\$0.00	\$88.84		\$79.96
*** BROKER TRANSACTIONS TOTAL ***			\$119,705.09	\$88.84	\$0.00	\$88.84		\$79.96

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$79.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$79.82
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$159.78
Year-to-date Gross	\$4,252.72
Year-to-date Earnings	\$3,827.46

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed