



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 28, 2018

02/27/2018
Page No. 1

P124-JONP/DAN/J FT-FL JUNO CIRE
14255 US Hwy One
Suite 232
Juno Beach, FL 33408

BROKER #: P124
BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/15/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	2,004.62	65.15	22.00	43.15	100.0	0.00
^02/09/2018	WASSERMAN TRUST, NINA	DODGE & COX STOCK FUND	-26,378.91	0.00	22.00	-22.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-24,374.29	\$65.15	\$44.00	\$21.15		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-24,374.29	\$65.15	\$44.00	\$21.15		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^02/07/2018	MORONEY, PHYLLIS	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/16/2016	MORONEY JR, JOHN	AM FUNDS NEW ECONOMY C	0.00	10.97	0.00	10.97	100.0	0.00
^03/15/2016	MORONEY JR, JOHN	AM FUNDS NEW ECONOMY C	0.00	5.69	0.00	5.69	100.0	0.00
^02/16/2016	MORONEY JR, JOHN	AM FUNDS NEW WORLD C	0.00	10.61	0.00	10.61	100.0	0.00
^03/15/2016	MORONEY JR, JOHN	AM FUNDS NEW WORLD C	0.00	5.64	0.00	5.64	100.0	0.00
^02/22/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	11.27	0.00	11.27	100.0	0.00
^02/22/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.43	0.00	7.43	100.0	0.00
^02/22/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.39	0.00	5.39	100.0	0.00
^03/16/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	10.34	0.00	10.34	100.0	0.00
^03/16/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.82	0.00	6.82	100.0	0.00
^03/16/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.95	0.00	4.95	100.0	0.00
^04/21/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	11.69	0.00	11.69	100.0	0.00
^04/21/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.71	0.00	7.71	100.0	0.00
^04/21/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.59	0.00	5.59	100.0	0.00
^05/24/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	11.61	0.00	11.61	100.0	0.00
^05/24/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.66	0.00	7.66	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/24/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.55	0.00	5.55	100.0	0.00
^06/10/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	11.97	0.00	11.97	100.0	0.00
^06/10/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.90	0.00	7.90	100.0	0.00
^06/10/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.73	0.00	5.73	100.0	0.00
^07/14/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	11.66	0.00	11.66	100.0	0.00
^07/14/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.69	0.00	7.69	100.0	0.00
^07/14/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.58	0.00	5.58	100.0	0.00
^08/19/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	12.34	0.00	12.34	100.0	0.00
^08/19/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	8.14	0.00	8.14	100.0	0.00
^08/19/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.90	0.00	5.90	100.0	0.00
^09/19/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	12.60	0.00	12.60	100.0	0.00
^09/19/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	8.31	0.00	8.31	100.0	0.00
^09/19/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.03	0.00	6.03	100.0	0.00
^10/20/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.25	0.00	1.25	100.0	0.00
^10/20/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	12.15	0.00	12.15	100.0	0.00
^10/20/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	8.02	0.00	8.02	100.0	0.00
^10/20/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.81	0.00	5.81	100.0	0.00
^11/18/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.03	0.00	2.03	100.0	0.00
^11/18/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	12.52	0.00	12.52	100.0	0.00
^11/18/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	8.26	0.00	8.26	100.0	0.00
^11/18/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.99	0.00	5.99	100.0	0.00
^12/19/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.16	0.00	2.16	100.0	0.00
^12/19/2016	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	12.02	0.00	12.02	100.0	0.00
^12/19/2016	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	7.93	0.00	7.93	100.0	0.00
^12/19/2016	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.75	0.00	5.75	100.0	0.00
^05/19/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.54	0.00	0.54	100.0	0.00
^06/23/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.48	0.00	2.48	100.0	0.00
^07/11/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.34	0.00	2.34	100.0	0.00
^08/15/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.44	0.00	2.44	100.0	0.00
^09/12/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.44	0.00	2.44	100.0	0.00
^10/14/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.37	0.00	2.37	100.0	0.00
^10/14/2016	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.22	0.00	5.22	100.0	0.00
^11/17/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.46	0.00	2.46	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/12/2016	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.39	0.00	2.39	100.0	0.00
^02/12/2016	MORONEY JR, JOHN	COLUMBIA DIVIDEND OPP B	0.00	10.01	0.00	10.01	100.0	0.00
^03/14/2016	MORONEY JR, JOHN	COLUMBIA DIVIDEND OPP B	0.00	5.87	0.00	5.87	100.0	0.00
^02/10/2016	MORONEY JR, JOHN	DREYFUS OPPORTUNISTIC MIDCAP VALUE	0.00	11.19	0.00	11.19	100.0	0.00
^03/10/2016	MORONEY JR, JOHN	DREYFUS OPPORTUNISTIC MIDCAP VALUE	0.00	6.27	0.00	6.27	100.0	0.00
^02/23/2016	MORONEY JR, JOHN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	7.82	0.00	7.82	100.0	0.00
^03/21/2016	MORONEY JR, JOHN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	4.19	0.00	4.19	100.0	0.00
^02/16/2016	MORONEY, PHYLLIS	GOLDMAN SACHS MLP ENERGY INFRASTRUC	0.00	14.02	0.00	14.02	100.0	0.00
^03/16/2016	MORONEY, PHYLLIS	GOLDMAN SACHS MLP ENERGY INFRASTRUC	0.00	6.97	0.00	6.97	100.0	0.00
^04/22/2016	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	11.91	0.00	11.91	100.0	0.00
^04/22/2016	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.54	0.00	9.54	100.0	0.00
^08/01/2016	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.46	0.00	5.46	100.0	0.00
^08/01/2016	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.20	0.00	12.20	100.0	0.00
^08/01/2016	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.77	0.00	9.77	100.0	0.00
^10/17/2016	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.31	0.00	7.31	100.0	0.00
^10/17/2016	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.60	0.00	12.60	100.0	0.00
^10/17/2016	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.09	0.00	10.09	100.0	0.00
^10/17/2016	JA, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.48	0.00	5.48	100.0	0.00
^02/12/2016	MORONEY JR, JOHN	HENDERSON EUROPEAN FOCUS FUND CLASS	0.00	11.14	0.00	11.14	100.0	0.00
^03/18/2016	MORONEY JR, JOHN	HENDERSON EUROPEAN FOCUS FUND CLASS	0.00	5.71	0.00	5.71	100.0	0.00
^02/12/2016	MORONEY, PHYLLIS	HENDERSON INTL OPPORTUNITIES FUND C	0.00	5.96	0.00	5.96	100.0	0.00
^03/18/2016	MORONEY, PHYLLIS	HENDERSON INTL OPPORTUNITIES FUND C	0.00	3.10	0.00	3.10	100.0	0.00
^12/16/2016	BARAG, STANLEY	MAINSTAY EPOCH GLOBAL EQUITY YIELD	0.00	0.41	0.00	0.41	100.0	0.00
^12/19/2016	BARAG, STANLEY	MFS UTILITIES A	0.00	0.25	0.00	0.25	100.0	0.00
^02/22/2016	MORONEY JR, JOHN	OPPENHEIMER STEELPATH MLP INCOME FU	0.00	7.77	0.00	7.77	100.0	0.00
^03/17/2016	MORONEY JR, JOHN	OPPENHEIMER STEELPATH MLP INCOME FU	0.00	3.88	0.00	3.88	100.0	0.00
^02/22/2016	MORONEY JR, JOHN	PIMCO INCOME FUND CLASS A	0.00	9.99	0.00	9.99	100.0	0.00
^03/11/2016	MORONEY JR, JOHN	PIMCO INCOME FUND CLASS A	0.00	5.22	0.00	5.22	100.0	0.00
^02/25/2016	MORONEY JR, JOHN	VOYA CORPORATE LEADERS 100 FUND CLA	0.00	19.08	0.00	19.08	100.0	0.00
^03/21/2016	MORONEY JR, JOHN	VOYA CORPORATE LEADERS 100 FUND CLA	0.00	10.85	0.00	10.85	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$573.40	\$0.00	\$573.40		\$0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^02/14/2018	FLAHERTY, STEPHEN	ING PREMIUM PLUS	60,431.27	37.77	0.00	37.77	100.0	0.00
^02/14/2018	FLAHERTY, PATRICIA	ING PREMIUM PLUS	30,852.66	19.28	0.00	19.28	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$91,283.93	\$57.05	\$0.00	\$57.05		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$191,283.93	\$7,630.45	\$0.00	\$7,630.45		\$0.00

<u>ADJUSTMENTS</u>								
02/28/2018		*PERSHING: PERSHING MARKUP	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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