



# COMMISSION STATEMENT

August 13, 2018

RICHARD PASCALE  
321 Grover Avenue North  
Massapequa Park, NY 11762

BROKER #: 981  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/09/2018	***-**-7703 - HOOVER, MORRIS	EXXON MOBIL CORP UNSOLICITED ORDER	1,045.25	3.11	7.63	-4.52	100.0	-4.52
	ACCOUNT #: LSR102784	SELL 13 Units @80.4040						
08/09/2018	***-**-7703 - HOOVER, MORRIS	EXXON MOBIL CORP UNSOLICITED ORDER	8,040.50	23.90	1.00	22.90	90.0	20.61
	ACCOUNT #: LSR102784	SELL 100 Units @80.4050						
08/09/2018	***-**-7703 - HOOVER, MORRIS	EXXON MOBIL CORP UNSOLICITED ORDER	6,267.61	10.00	8.28	1.72	90.0	1.55
	ACCOUNT #: LSR102784	SELL 78 Units @80.3540						
* STOCKS SUBTOTAL *			<b>\$15,353.36</b>	<b>\$37.01</b>	<b>\$16.91</b>	<b>\$20.10</b>		<b>\$17.64</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
08/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
08/06/2018	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067620	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$350.00</b>	<b>\$4.75</b>	<b>\$0.00</b>	<b>\$4.75</b>		<b>\$4.28</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
08/13/2018	***-**-815P - WILSON, JOHN	NY 529 ADDITIONAL DEPOSIT	100.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: 77651113402	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<b>\$100.00</b>	<b>\$2.03</b>	<b>\$0.00</b>	<b>\$2.03</b>		<b>\$1.83</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 COLLEGE PORT-CL A	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
08/07/2018	***-**-5389 - POWER, KATHLEEN	FA 529 PORT 2019-CL A	0.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 01467261812	BUY 0 Units @0.0000						
08/07/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.81	0.00	0.81	90.0	0.73
	ACCOUNT #: 00701164063	BUY 0 Units @0.0000						
08/07/2018	***-**-5723 - PONTURO,	FA 529 PORT 2022-CL A	0.00	0.81	0.00	0.81	90.0	0.73
	ACCOUNT #: 00701164071	BUY 0 Units @0.0000						
08/07/2018	***-**-5131 - KESSEL, SHAI	FIDELITY 31618H580	0.00	1.41	0.00	1.41	90.0	1.27
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
08/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.49	0.00	2.49	90.0	2.24
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
08/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV EQUITY INCOME CL A	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
08/07/2018	***-**-885I - TOMASIEWICZ,	FIDELITY ADV EQUITY VALUE CL A	0.00	1.61	0.00	1.61	90.0	1.45
	ACCOUNT #: 00700995913	BUY 0 Units @0.0000						
08/07/2018	***-**-04IR - DEVECCHIS, ROSE	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.54	0.00	0.54	90.0	0.49
	ACCOUNT #: 01438976696	BUY 0 Units @0.0000						
08/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADV INTL REAL ESTATE-CL A	0.00	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
08/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.23	0.00	0.23	90.0	0.21
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
08/07/2018	***-**-513I - KESSEL, SHAI	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.57	0.00	1.57	90.0	1.41
	ACCOUNT #: 01429599580	BUY 0 Units @0.0000						
08/07/2018	***-**-9173 - GORDON, DANIEL	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 00535143713	BUY 0 Units @0.0000						
08/02/2018	***-**-971I - MEEHAN, JULIE	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	0.69	0.00	0.69	90.0	0.62
	ACCOUNT #: 00009175998	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$14.62</b>	<b>\$0.00</b>	<b>\$14.62</b>		<b>\$13.16</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$15,803.36</b>	<b>\$58.41</b>	<b>\$16.91</b>	<b>\$41.50</b>		<b>\$36.91</b>

## SPLIT TRANSACTIONS

### **BROKER: JEFFREY MORRISON**

08/08/2018	***-**-485X - MAYER, RUSSELL	AMAZON.COM INC UNSOLICITED ORDER	9,300.00	27.00	9.00	18.00	90.0	16.20
	ACCOUNT #: LSR012718	BUY 5 Units @1860.0000						
08/07/2018	***-**-485X - MAYER, RUSSELL	AT&T INC COM	4,960.00	42.13	9.05	33.08	90.0	29.77
	ACCOUNT #: LSR012718	BUY 155 Units @32.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.72	0.00	1.72	90.0	1.55
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.87	0.00	1.87	90.0	1.68
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.07	0.00	2.07	90.0	1.86
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.24	0.00	2.24	90.0	2.02
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
08/07/2018	***-**-915P - WOO, BENE	FA 529 PORT 2019-CL A	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 01446854794	BUY 0 Units @0.0000						
08/07/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	0.00	10.69	0.00	10.69	90.0	9.62
	ACCOUNT #: 00702446014	BUY 0 Units @0.0000						
08/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	0.00	2.94	0.00	2.94	90.0	2.65
	ACCOUNT #: 00700773088	BUY 0 Units @0.0000						
08/07/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	0.00	5.75	0.00	5.75	90.0	5.18
	ACCOUNT #: 00704633189	BUY 0 Units @0.0000						
08/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL A	0.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
08/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL C	0.00	2.40	0.00	2.40	90.0	2.16
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
08/07/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	0.00	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 01489789329	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.74	0.00	1.74	90.0	1.57
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
08/07/2018	***-**-809I - YANKILEVICH,	FIDELITY 31618H580	0.00	1.92	0.00	1.92	90.0	1.73
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
08/07/2018	***-**-485I - YANKILEVICH,	FIDELITY 31618H580	0.00	0.86	0.00	0.86	90.0	0.77
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
08/07/2018	***-**-095P - DHANDAPANI,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.55	0.00	2.55	90.0	2.30
	ACCOUNT #: 00536397284	BUY 0 Units @0.0000						



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
08/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	3.40	0.00	3.40	90.0	3.06
08/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	90.0	1.34
08/07/2018	***-**-311I - ACCOUNT #: 01425465406	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	90.0	0.81
08/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	2.07	0.00	2.07	90.0	1.86
08/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	90.0	0.50
08/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	1.79	0.00	1.79	90.0	1.61
08/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.59	0.00	1.59	90.0	1.43
08/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: 00525061339	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	9.11	0.00	9.11	90.0	8.20
08/07/2018	***-**-54IR - SAVARESE-DILL, ACCOUNT #: 00525061420	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	9.15	0.00	9.15	90.0	8.24
08/07/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	90.0	2.55
08/07/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	90.0	0.31
08/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	2.16	0.00	2.16	90.0	1.94
08/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	0.96	0.00	0.96	90.0	0.86
08/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	90.0	0.32
08/07/2018	***-**-5870 - BRUNO, KIM ACCOUNT #: 00510642531	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	90.0	1.47
08/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV MID CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	90.0	0.69



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
08/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV SMALL CAP VALUE-CL A	0.00	2.16	0.00	2.16	90.0	1.94
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
08/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADV STRATEGIC REAL RETURN-	0.00	1.49	0.00	1.49	90.0	1.34
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
08/07/2018	***-**-871I - MURPHY, DENISE	FIDELITY ADVISOR FREEDOM 2040	0.00	2.85	0.00	2.85	90.0	2.57
	ACCOUNT #: 01443905094	BUY 0 Units @0.0000						
08/07/2018	***-**-535I - RIOLO, DOROTHEA	FIDELITY ADVISOR FREEDOM 2040	0.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 01443938483	BUY 0 Units @0.0000						
08/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR GOLD FUND CLASS A	0.00	0.82	0.00	0.82	90.0	0.74
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
08/07/2018	***-**-809I - YANKILEVICH,	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	2.14	0.00	2.14	90.0	1.93
	ACCOUNT #: 01429599556	BUY 0 Units @0.0000						
08/07/2018	***-**-485I - YANKILEVICH,	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	0.95	0.00	0.95	90.0	0.86
	ACCOUNT #: 01429599564	BUY 0 Units @0.0000						
08/07/2018	***-**-375I - WATSON, SANDRA	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: 01443935083	BUY 0 Units @0.0000						
08/07/2018	***-**-727I - PELL, ANDREA	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	1.08	0.00	1.08	90.0	0.97
	ACCOUNT #: 01435251887	BUY 0 Units @0.0000						
08/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
08/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
08/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
08/04/2018	***-**-5634 - BLOCH, RAMNIT	PACIFIC LIFE SE5-NYB DTCC	50.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71073350	BUY 0 Units @0.0000						
08/06/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	135.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
08/08/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	2.25	0.00	2.25	90.0	2.03
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
08/08/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	6.75	0.00	6.75	90.0	6.08
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
08/07/2018	***-**-485X - MAYER, RUSSELL	PROCTER & GAMBLE CO UNSOLICITED ORD	4,920.00	27.00	8.10	18.90	90.0	17.01
	ACCOUNT #: LSR012718	BUY 60 Units @82.0000						
* JEFFREY MORRISON SUBTOTAL *			<u>\$25,088.98</u>	<u>\$367.50</u>	<u>\$26.15</u>	<u>\$341.35</u>		<u>\$307.27</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$25,088.98</u>	<u>\$367.50</u>	<u>\$26.15</u>	<u>\$341.35</u>		<u>\$307.27</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$36.91
Split Transactions	\$307.27
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$344.18
Year-to-date Earnings	\$40,003.02