

COMMISSION STATEMENT

July 31, 2018

DEBBIE MUEGGENBORG-D146

BROKER #: D146

BRANCH: DMB

, TX

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D146

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/18/2018 153059420	BOOHER, ERNEST	MF AMERICAN BALANCED FUND-C	B	-247.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018 185192161	CHAFFEE (TOD),	MF GROWTH FUND OF AMERICA CLASS F	B	-347.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018 368263955	RICKETTS, RICHARD	MF AMERICAN FDS BALANCED FD CL F2	B	-147.55	0.00	5.00	-5.00	90.0	-4.50
07/20/2018 368323135	WILLIAMS, RONDA	MF OAKMARK FUND	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
06/29/2018 368434119	SCHMIDT-BAKER, RUTH	MF AMERICAN BALANCED FUND-A	S	150.00	5.53	2.50	3.03	90.0	2.73
07/18/2018 368434119	SCHMIDT-BAKER, RUTH	MF THE BOND FUND OF AMERICA-A	B	-397.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018 368460425	WEAVER, CAROL	MF THE GROWTH FUND OF AMERICA-C	B	-247.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018 368492611	KENDRICK, CHRISTINE	MF OAKMARK INTL FD CL INV	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018 399462534	TTEE, RACHEL DODD	MF OPPENHEIMER INTL GROWTH FD CL Y	B	-847.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018 430207159	KENDRICK, WILLIAM	MF OAKMARK INTL FD CL INV	B	-3,297.55	0.00	5.00	-5.00	90.0	-4.50
07/05/2018 677032236	STEVENS, CHAD	MF DODGE & COX INTERNATIONAL FUND	S	-14,869.15	0.00	5.00	-5.00	90.0	-4.50
07/05/2018 677032236	STEVENS, CHAD	MF HARDING LOEVNER INTL EQUITY FD	S	14,702.45	0.00	5.00	-5.00	90.0	-4.50
07/24/2018 799982792	WODNICK (TOD),	MF AMERICAN FDS BALANCED FD CL F2	B	-5,997.55	0.00	5.00	-5.00	90.0	-4.50
07/24/2018 799982792	WODNICK (TOD),	MF LOOMIS SAYLES LTD TERM	B	-5,997.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-27,539.75	\$5.53	\$67.50	\$-61.97		\$-55.77

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AIM CHARTER FUND - CLASS A	B	0.00	62.36	0.00	62.36	90.0	56.12
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-A	B	0.00	2.26	0.00	2.26	90.0	2.03
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	8.11	0.00	8.11	90.0	7.30
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	17.50	0.00	17.50	90.0	15.75
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	29.34	0.00	29.34	90.0	26.41
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	61.44	0.00	61.44	90.0	55.30
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-C	B	0.00	62.61	0.00	62.61	90.0	56.35
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-F1	B	0.00	0.87	0.00	0.87	90.0	0.78
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN BALANCED FUND-F1	B	0.00	10.71	0.00	10.71	90.0	9.64
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT AMERICAN MUTUAL FUND-A	B	0.00	3.49	0.00	3.49	90.0	3.14
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT ASTON SILVERCREST SM CAP FD N	B	0.00	5.78	0.00	5.78	90.0	5.20
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.37	0.00	3.37	90.0	3.03
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.78	0.00	9.78	90.0	8.80
07/23/2018 mfc284158	MUEGGENBORG 284158	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.56	0.00	1.56	90.0	1.40

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D146

				INVESTMENT		GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.71	0.00	1.71	90.0	1.54
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT CAPITAL WORLD GROWTH AND	B	0.00	3.78	0.00	3.78	90.0	3.40
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT CAPITAL WORLD GROWTH AND	B	0.00	9.56	0.00	9.56	90.0	8.60
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT CAPITAL WORLD BOND FUND-F1	B	0.00	1.32	0.00	1.32	90.0	1.19
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT COLUMBIA US GOVT MORTGAGE FD C	B	0.00	8.32	0.00	8.32	90.0	7.49
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.24	0.00	0.24	90.0	0.22
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.20	0.00	1.20	90.0	1.08
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.39	0.00	1.39	90.0	1.25
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.39	0.00	2.39	90.0	2.15
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.67	0.00	3.67	90.0	3.30
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	3.78	0.00	3.78	90.0	3.40
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	7.68	0.00	7.68	90.0	6.91
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	8.13	0.00	8.13	90.0	7.32
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	24.58	0.00	24.58	90.0	22.12
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	44.16	0.00	44.16	90.0	39.74
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	80.00	0.00	80.00	90.0	72.00
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	6.40	0.00	6.40	90.0	5.76
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.54	0.00	2.54	90.0	2.29
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT HENNESSY FOCUS FD INV	B	0.00	1.28	0.00	1.28	90.0	1.15
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT HENNESSY FOCUS FD INV	B	0.00	4.15	0.00	4.15	90.0	3.74
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT HENNESSY FOCUS FD INV	B	0.00	18.02	0.00	18.02	90.0	16.22
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT HENNESSY FOCUS FD INV	B	0.00	26.93	0.00	26.93	90.0	24.24
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT HODGES SMALL CAP FD RETAIL	B	0.00	4.61	0.00	4.61	90.0	4.15
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	12.79	0.00	12.79	90.0	11.51
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	13.77	0.00	13.77	90.0	12.39
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES INVEST GRADE BD	B	0.00	14.35	0.00	14.35	90.0	12.92
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	6.29	0.00	6.29	90.0	5.66
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES STRATEGIC INCOME	B	0.00	11.09	0.00	11.09	90.0	9.98
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES STRATEGIC INCOME	B	0.00	13.11	0.00	13.11	90.0	11.80
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT LOOMIS SAYLES HIGH INCOME FD CL	B	0.00	11.57	0.00	11.57	90.0	10.41
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	19.09	0.00	19.09	90.0	17.18
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NATIXIS US EQUITY OPPORTUNITIES	B	0.00	92.11	0.00	92.11	90.0	82.90
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.84	0.00	2.84	90.0	2.56
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	3.18	0.00	3.18	90.0	2.86
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.58	0.00	4.58	90.0	4.12
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.36	0.00	5.36	90.0	4.82
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	23.41	0.00	23.41	90.0	21.07
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NEW WORLD FUND-F1	B	0.00	2.32	0.00	2.32	90.0	2.09
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT NEW WORLD FUND-F1	B	0.00	2.61	0.00	2.61	90.0	2.35
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	1.28	0.00	1.28	90.0	1.15
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	1.43	0.00	1.43	90.0	1.29
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	40.63	0.00	40.63	90.0	36.57
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT PIMCO INCOME FD CL A	B	0.00	2.98	0.00	2.98	90.0	2.68
07/23/2018	mfc284158	MUEGGENBORG 284158	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.17	0.00	1.17	90.0	1.05

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.49	0.00	3.49	90.0	3.14
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT PIMCO REAL RETURN FUND (A)	B	0.00	18.27	0.00	18.27	90.0	16.44
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT PUTNAM 746885102	B	0.00	4.08	0.00	4.08	90.0	3.67
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT ROYCE HERITAGE FD 1% REDEMPTION	B	0.00	2.06	0.00	2.06	90.0	1.85
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL EMERGING MARKETS FD CL	B	0.00	3.34	0.00	3.34	90.0	3.01
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL EMERGING MARKETS FD CL	B	0.00	24.66	0.00	24.66	90.0	22.19
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL GLOBAL EQUITY FD CL C	B	0.00	7.43	0.00	7.43	90.0	6.69
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL GLOBAL REAL ESTATE SEC	B	0.00	2.51	0.00	2.51	90.0	2.26
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL INVESTMENT GRADE BOND	B	0.00	5.42	0.00	5.42	90.0	4.88
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL INTER DEV MKTS FD CL C	B	0.00	3.40	0.00	3.40	90.0	3.06
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL INTER DEV MKTS FD CL C	B	0.00	10.99	0.00	10.99	90.0	9.89
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	25.47	0.00	25.47	90.0	22.92
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL SHORT DURATION BOND FD	B	0.00	17.51	0.00	17.51	90.0	15.76
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL SHORT DURATION BOND FD	B	0.00	42.01	0.00	42.01	90.0	37.81
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	0.02	0.00	0.02	90.0	0.02
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	7.38	0.00	7.38	90.0	6.64
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL STRATEGIC BOND FD CL C	B	0.00	32.55	0.00	32.55	90.0	29.30
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL STRATEGIC BOND FD CL A	B	0.00	0.79	0.00	0.79	90.0	0.71
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	5.12	0.00	5.12	90.0	4.61
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	13.05	0.00	13.05	90.0	11.75
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US DEFENSIVE EQUITY FD	B	0.00	22.17	0.00	22.17	90.0	19.95
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	4.55	0.00	4.55	90.0	4.10
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	6.57	0.00	6.57	90.0	5.91
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US CORE EQUITY FD CL C	B	0.00	27.40	0.00	27.40	90.0	24.66
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US SMALL CAP EQUITY FD	B	0.00	4.20	0.00	4.20	90.0	3.78
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT RUSSELL US SMALL CAP EQUITY FD	B	0.00	4.81	0.00	4.81	90.0	4.33
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT SMALLCAP WORLD FUND-C	B	0.00	5.37	0.00	5.37	90.0	4.83
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT SMALLCAP WORLD FUND-F1	B	0.00	28.81	0.00	28.81	90.0	25.93
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT SMALLCAP WORLD FUND-F1	B	0.00	49.32	0.00	49.32	90.0	44.39
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT THE BOND FUND OF AMERICA-A	B	0.00	4.77	0.00	4.77	90.0	4.29
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT THE GROWTH FUND OF AMERICA-C	B	0.00	13.63	0.00	13.63	90.0	12.27
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.24	0.00	2.24	90.0	2.02
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT THORNBURG LTD TERM INCOME FD CL	B	0.00	13.58	0.00	13.58	90.0	12.22
07/23/2018	mfc284158	MUEGGENBORG 284158 MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	56.99	0.00	56.99	90.0	51.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,304.94	\$0.00	\$1,304.94		\$1,174.44
*** CLEARING TRANSACTIONS TOTAL ***				\$-27,539.75	\$1,310.47	\$67.50	\$1,242.97		\$1,118.67

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D146

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/17/2018	00069057320	REYNOLDS, DEVYN	MF	AMERICAN BALANCED FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/17/2018	00069057320	REYNOLDS, DEVYN	MF	THE INVESTMENT COMPANY OF	B	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *						\$200.00	\$2.00	\$0.00	\$2.00		\$1.80

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None)	BRAUN, JENNIFER	MFT	JOHN HANCOCK FREEDOM	B	0.00	3.63	0.00	3.63	90.0	3.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$3.63	\$0.00	\$3.63		\$3.27
*** DIRECT TRANSACTIONS TOTAL ***						\$200.00	\$5.63	\$0.00	\$5.63		\$5.07

BROKER COMMISSION TOTALS

Broker Transactions	\$1,123.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00