



# COMMISSION STATEMENT

## March 19, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/15/2018	***-**-74IO - BRENNAN, JOHN	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	271.87	0.00	271.87	75.0	203.90
		<b>* RIA SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$271.87</b>	<b>\$0.00</b>	<b>\$271.87</b>		<b>\$203.90</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/19/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	6.05	0.00	6.05	75.0	4.54
03/19/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	INTERNATIONAL EMERGING MARKETS (A) BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	75.0	0.29
03/19/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	JPMORGAN EQUITY INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
03/19/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	JPMORGAN EQUITY INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
03/19/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	JPMORGAN LARGE CAP GROWTH CLASS C BUY 1 Units @0.0000	0.00	30.16	0.00	30.16	75.0	22.62
03/19/2018	***-**-67IO - FERNANDEZ, ANA ACCOUNT #: LSR011946	JPMORGAN LARGE CAP GROWTH CLASS C BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	75.0	1.16
03/19/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	JPMORGAN LARGE CAP GROWTH CLASS C BUY 1 Units @0.0000	0.00	10.05	0.00	10.05	75.0	7.54
03/19/2018	***-**-67IO - FERNANDEZ, ANA ACCOUNT #: LSR011946	JPMORGAN LARGE CAP GROWTH CLASS C BUY 1 Units @0.0000	0.00	0.51	0.00	0.51	75.0	0.38
03/19/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	LARGE CAP GROWTH FUND - A BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	75.0	0.46
03/19/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL EQUITY INC CL C BUY 1 Units @0.0000	0.00	22.94	0.00	22.94	75.0	17.21
03/19/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	75.0	0.31
03/19/2018	***-**-734O - RODESCHINI, ACCOUNT #: LSR011934	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	7.55	0.00	7.55	75.0	5.66
03/19/2018	***-**-244O - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	42.74	0.00	42.74	75.0	32.06
03/19/2018	***-**-17IR - REAGAN, MATTHEW ACCOUNT #: LSR011947	PRINCIPAL GLOBAL MULTI-STRATEGY CL BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	75.0	0.54



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-7110 - ALBERTO, MICHAEL	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	17.38	0.00	17.38	75.0	13.04
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
03/19/2018	***-**-171R - REAGAN, MATTHEW	PRINCIPAL INVESTORS SAM STRATEGIC G	0.00	2.09	0.00	2.09	75.0	1.57
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
03/19/2018	***-**-7340 - RODESCHINI,	THE GROWTH FUND OF AMERICA-C	0.00	17.55	0.00	17.55	75.0	13.16
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$160.74</b>	<b>\$0.00</b>	<b>\$160.74</b>		<b>\$120.58</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$432.61</b>	<b>\$0.00</b>	<b>\$432.61</b>		<b>\$324.48</b>

### BROKER COMMISSION TOTALS

Broker Transactions	\$324.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>