



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2018

06/15/2018
Page No. 1

TIMOTHY, S118 STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/11/2018	ZEHR, PAUL	GAMESTOP CORP NEW CLASS A	-1,416.00	24.00	22.50	1.50	90.0	1.35
06/11/2018	ZEHR, PAUL	KKR & CO L P DEL PFD DIV RATE 6.50%	-12,999.80	24.00	22.73	1.27	90.0	1.14
06/11/2018	ZEHR, PAUL	PACIFIC GAS & ELEC 1ST PFD 4.80% CA	-8,539.95	24.00	22.64	1.36	90.0	1.22
* STOCKS SUBTOTAL *			\$-22,955.75	\$72.00	\$67.87	\$4.13		\$3.71
*** CLEARING TRANSACTIONS TOTAL ***			\$-22,955.75	\$72.00	\$67.87	\$4.13		\$3.71

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
05/31/2018	SMITH, ROY	MOODY NAT'L REIT II INC	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
* REIT SUBTOTAL *			\$20,000.00	\$1,200.00	\$0.00	\$1,200.00		\$1,080.00

<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
06/11/2018	SMITH, ROY	CF NORTERRA CANYON MULTIFAMILY DST	70,000.00	4,200.00	0.00	4,200.00	90.0	3,780.00
* 1031 EXCHANGES SUBTOTAL *			\$70,000.00	\$4,200.00	\$0.00	\$4,200.00		\$3,780.00

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94

<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	WILD, WILLIAM	JNL ELITE ACCESS	100,579.21	41.91	0.00	41.91	90.0	37.72
05/31/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	28,029.62	5.84	0.00	5.84	90.0	5.26
05/31/2018	MOHR, AMY	JNL ELITE ACCESS	46,373.32	9.66	0.00	9.66	90.0	8.69
05/31/2018	LARKINS, TROY	JNL ELITE ACCESS	48,911.10	10.19	0.00	10.19	90.0	9.17
05/31/2018	ZEHR, PAUL	JNL ELITE ACCESS	83,641.83	17.43	0.00	17.43	90.0	15.69
05/31/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	31,158.15	6.49	0.00	6.49	90.0	5.84
05/31/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	37,912.84	7.90	0.00	7.90	90.0	7.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	FLETCHER, MONA	JNL PERSPECTIVE II	27,776.86	11.57	0.00	11.57	90.0	10.41
05/31/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	299,143.26	124.64	0.00	124.64	90.0	112.18
05/31/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,507.04	6.04	0.00	6.04	90.0	5.44
05/31/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	81,830.50	34.10	0.00	34.10	90.0	30.69
05/31/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	79,030.30	32.93	0.00	32.93	90.0	29.64
05/31/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	148,565.79	61.90	0.00	61.90	90.0	55.71
05/31/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	79,043.18	32.93	0.00	32.93	90.0	29.64
05/31/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	631,576.21	263.16	0.00	263.16	90.0	236.84
05/31/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	224,559.17	93.57	0.00	93.57	90.0	84.21
05/31/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	318,136.49	132.56	0.00	132.56	90.0	119.30
05/31/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	220,939.86	92.06	0.00	92.06	90.0	82.85
05/31/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	143,453.85	59.77	0.00	59.77	90.0	53.79
05/31/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	141,115.35	58.80	0.00	58.80	90.0	52.92
05/31/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	89,640.19	37.35	0.00	37.35	90.0	33.62
05/31/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	99,484.69	41.45	0.00	41.45	90.0	37.31
05/31/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	48,525.81	20.22	0.00	20.22	90.0	18.20
05/31/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	46,406.35	19.34	0.00	19.34	90.0	17.41
05/31/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	69,689.01	58.07	0.00	58.07	90.0	52.26
05/31/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	108,820.45	90.68	0.00	90.68	90.0	81.61
05/31/2018	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	216,083.59	180.07	0.00	180.07	90.0	162.06
05/31/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	201,276.55	167.73	0.00	167.73	90.0	150.96
04/30/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	21,914.06	13.70	0.00	13.70	90.0	12.33
04/30/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	21,914.06	4.57	0.00	4.57	90.0	4.11
05/31/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	21,910.19	13.69	0.00	13.69	90.0	12.32
05/31/2018	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	21,910.19	4.56	0.00	4.56	90.0	4.10
05/30/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,075.13	15.90	0.00	15.90	90.0	14.31
* VARIABLE ANNUITIES SUBTOTAL *			\$3,772,934.20	\$1,770.78	\$0.00	\$1,770.78		\$1,593.70

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	ROBERTSON, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2018	LARKINS, TROY	AM FUNDS ICA C	0.00	113.71	0.00	113.71	90.0	102.34
06/01/2018	LARKINS, TROY	AM FUNDS ICA F1	0.00	0.67	0.00	0.67	90.0	0.60
05/17/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.25	0.00	2.25	90.0	2.03
05/17/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.25	0.00	2.25	90.0	2.03

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/17/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.93	0.00	8.93	90.0	8.04
05/17/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.70	0.00	8.70	90.0	7.83
05/17/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.73	0.00	1.73	90.0	1.56
05/17/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.35	0.00	1.35	90.0	1.22
05/17/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	11.87	0.00	11.87	90.0	10.68
05/17/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.97	0.00	1.97	90.0	1.77
05/17/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.28	0.00	1.28	90.0	1.15
05/17/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.28	0.00	1.28	90.0	1.15
05/17/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.25	0.00	7.25	90.0	6.53
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.33	0.00	0.33	90.0	0.30
05/30/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.36	0.00	5.36	90.0	4.82
05/30/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	5.03	0.00	5.03	90.0	4.53
05/30/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.30	0.00	5.30	90.0	4.77
05/31/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.52	0.00	0.52	90.0	0.47
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.35	0.00	1.35	90.0	1.22
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	1.01	0.00	1.01	90.0	0.91
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.59	0.00	0.59	90.0	0.53
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.80	0.00	0.80	90.0	0.72
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	0.00	0.06	90.0	0.05
05/18/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.25	0.00	1.25	90.0	1.13
05/18/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.29	0.00	2.29	90.0	2.06
05/18/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	7.97	0.00	7.97	90.0	7.17
05/18/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.54	0.00	8.54	90.0	7.69
05/18/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.47	0.00	8.47	90.0	7.62
05/18/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.14	0.00	10.14	90.0	9.13
05/18/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.69	0.00	6.69	90.0	6.02
05/18/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.53	0.00	6.53	90.0	5.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$237.42	\$0.00	\$237.42		\$213.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/06/2018	HOUSER, MICHAEL	ING PREMIUM PLUS	34,938.27	21.84	0.00	21.84	90.0	19.66
06/06/2018	HOUSER TRUST, MICHAEL & SHERR	ING PREMIUM PLUS	13,297.51	8.31	0.00	8.31	90.0	7.48
06/06/2018	HOUSER, SHERRY	ING PREMIUM PLUS	12,395.38	7.75	0.00	7.75	90.0	6.98
05/21/2018	SITCLER, ROY	PAC LIFE INNOVATIVE SELECT	0.00	450.83	0.00	450.83	90.0	405.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$60,631.16	\$488.73	\$0.00	\$488.73		\$439.87
*** DIRECT TRANSACTIONS TOTAL ***			\$3,923,780.36	\$7,899.08	\$0.00	\$7,899.08		\$7,109.20

ADJUSTMENTS

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
06/15/2018	*ALBRIDGE: LIGHT USER	0.00						-76.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
06/15/2018	*PERSHING: ADDRESS VERIFICATION LETTERS - PNW005262	0.00						-1.50
06/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-5.97
06/15/2018	*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00						-130.00
06/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-715.47

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BROKER COMMISSION TOTALS

Broker Transactions	\$7,112.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-715.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,397.44
Year-to-date Gross	\$66,252.18
Year-to-date Earnings	\$58,957.21