

**COMMISSION STATEMENT****March 15, 2018**THOMAS, H121 HALSEY
7261 W. Central Ave.

BROKER #: H121

BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	LEROUX, LAURA	BLACKROCK 67776G469	0.00	35.00	0.00	35.00	85.0	29.75
		* 529 PLANS SUBTOTAL *	\$0.00	\$35.00	\$0.00	\$35.00		\$29.75
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
03/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	12.75
		* MUTUAL FUNDS SUBTOTAL *	\$450.00	\$17.50	\$0.00	\$17.50		\$14.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	29,339.32	24.45	0.00	24.45	85.0	20.78
02/28/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	163,650.51	177.29	0.00	177.29	85.0	150.70
02/28/2018	NOWAK, DAVID	JOHN HANCOCK VENTURE III	19,301.43	16.08	0.00	16.08	85.0	13.67
02/28/2018	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	54,440.21	45.37	0.00	45.37	85.0	38.56
02/28/2018	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	43,050.90	35.88	0.00	35.88	85.0	30.50
02/02/2018	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	140,508.36	117.09	0.00	117.09	85.0	99.53
02/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	114,741.24	95.62	0.00	95.62	85.0	81.28
02/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	85,560.80	71.30	0.00	71.30	85.0	60.61
02/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	246,691.54	205.58	0.00	205.58	85.0	174.74
02/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	106,110.20	88.43	0.00	88.43	85.0	75.17
02/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	148,681.02	123.90	0.00	123.90	85.0	105.32
02/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,939.66	127.45	0.00	127.45	85.0	108.33
02/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	199,027.43	165.86	0.00	165.86	85.0	140.98
02/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	253,197.47	211.00	0.00	211.00	85.0	179.35
02/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	137,051.23	114.21	0.00	114.21	85.0	97.08
02/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	181,537.56	151.28	0.00	151.28	85.0	128.59
02/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	113,936.39	94.95	0.00	94.95	85.0	80.71
02/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	98,677.97	82.23	0.00	82.23	85.0	69.90

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	91,475.34	76.23	0.00	76.23	85.0	64.80
02/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	145,507.99	121.26	0.00	121.26	85.0	103.07
02/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	66,631.68	55.53	0.00	55.53	85.0	47.20
02/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	30,703.48	25.59	0.00	25.59	85.0	21.75
02/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	236,336.14	196.95	0.00	196.95	85.0	167.41
02/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,592.86	60.49	0.00	60.49	85.0	51.42
02/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,592.86	60.49	0.00	60.49	85.0	51.42
02/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,592.86	60.49	0.00	60.49	85.0	51.42
02/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	109,159.89	90.97	0.00	90.97	85.0	77.32
02/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	214,901.66	179.08	0.00	179.08	85.0	152.22
02/22/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	6,500.00	260.00	0.00	260.00	85.0	221.00
02/22/2018	AUGUSTYNYIAK JR, ROGER	TRANSAMERICA LIBERTY	446,741.88	372.28	0.00	372.28	85.0	316.44
02/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	96,918.76	80.77	0.00	80.77	85.0	68.65
02/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	424,129.37	353.44	0.00	353.44	85.0	300.42
02/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	40,231.05	33.53	0.00	33.53	85.0	28.50
02/26/2018	ANNESSE, CAROL	TRANSAMERICA LIBERTY	114,308.05	95.26	0.00	95.26	85.0	80.97
02/27/2018	DESELM, KAREN	TRANSAMERICA LIBERTY	130,701.53	108.92	0.00	108.92	85.0	92.58
02/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	30,078.59	25.07	0.00	25.07	85.0	21.31
02/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	70,126.63	58.44	0.00	58.44	85.0	49.67
02/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,604.38	31.34	0.00	31.34	85.0	26.64
02/28/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	50,097.37	41.75	0.00	41.75	85.0	35.49
* VARIABLE ANNUITIES SUBTOTAL *			\$4,848,375.61	\$4,335.85	\$0.00	\$4,335.85		\$3,685.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	ABBE JR, GARY	AM FUNDS AMCAP A	0.00	1.62	0.00	1.62	85.0	1.38
03/01/2018	PAPPIO, AARON	AM FUNDS AMCAP A	0.00	0.65	0.00	0.65	85.0	0.55
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	6.00	0.00	6.00	85.0	5.10
03/01/2018	NOWAK, COLETTE	AM FUNDS AMERICAN BALANCED A	0.00	0.89	0.00	0.89	85.0	0.76
03/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS AMERICAN BALANCED A	0.00	3.85	0.00	3.85	85.0	3.27
03/01/2018	FOSTER, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	85.0	0.43
03/01/2018	WRAY, KIM	AM FUNDS AMERICAN BALANCED A	0.00	11.26	0.00	11.26	85.0	9.57
03/01/2018	PAPPIO, JOE	AM FUNDS AMERICAN BALANCED A	0.00	0.66	0.00	0.66	85.0	0.56
03/01/2018	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	3.60	0.00	3.60	85.0	3.06
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	10.13	0.00	10.13	85.0	8.61

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018	MEREDITH, TERESE	AM FUNDS AMERICAN BALANCED A	0.00	2.44	0.00	2.44	85.0	2.07
03/01/2018	RIEBE, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	4.68	0.00	4.68	85.0	3.98
03/01/2018	KRAMER, DELANEY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2018	KRAMER, KARYS	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	85.0	0.61
03/01/2018	ALDRICH, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2018	LEININGER, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	3.20	0.00	3.20	85.0	2.72
03/01/2018	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	20.09	0.00	20.09	85.0	17.08
03/01/2018	GERE, AMY	AM FUNDS AMERICAN BALANCED A	0.00	3.32	0.00	3.32	85.0	2.82
03/01/2018	ABBE, AMY	AM FUNDS AMERICAN BALANCED A	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	9.43	0.00	9.43	85.0	8.02
03/01/2018	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.78	0.00	0.78	85.0	0.66
03/01/2018	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.29	0.00	1.29	85.0	1.10
03/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.41	0.00	0.41	85.0	0.35
03/01/2018	RICHARDS, STEPHEN	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.87	0.00	1.87	85.0	1.59
03/01/2018	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.21	0.00	0.21	85.0	0.18
03/01/2018	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2018	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.19	0.00	2.19	85.0	1.86
03/01/2018	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	12.07	0.00	12.07	85.0	10.26
03/01/2018	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2018	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	19.58	0.00	19.58	85.0	16.64
03/01/2018	DESELMS, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.66	0.00	1.66	85.0	1.41
03/01/2018	DESELMS, ELEVLYN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.88	0.00	6.88	85.0	5.85
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.84	0.00	3.84	85.0	3.26
03/01/2018	PRZYBYLSKI, JEREMIAH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.43	0.00	0.43	85.0	0.37
03/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2018	BAKER, ELAINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.29	0.00	5.29	85.0	4.50
03/01/2018	NOWAK, COLETTE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.70	0.00	0.70	85.0	0.60
03/01/2018	BAUMGARTNER, DIANA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.45	0.00	4.45	85.0	3.78
03/01/2018	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.21	0.00	1.21	85.0	1.03
03/01/2018	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.72	0.00	0.72	85.0	0.61
03/01/2018	HAWBLITZ, PETER	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.41	0.00	3.41	85.0	2.90

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	WRAY, KIM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.27	0.00	5.27	85.0	4.48
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.83	0.00	7.83	85.0	6.66
03/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2018	ABBE JR, GARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.78	0.00	1.78	85.0	1.51
03/01/2018	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.42	0.00	1.42	85.0	1.21
03/01/2018	DREEZE, MARCELINA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	85.0	0.63
03/01/2018	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.36	0.00	1.36	85.0	1.16
03/01/2018	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	16.77	0.00	16.77	85.0	14.25
03/01/2018	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	85.0	0.63
03/01/2018	MEREDITH, JEFFERY	AM FUNDS AMERICAN MUTUAL A	0.00	2.53	0.00	2.53	85.0	2.15
03/01/2018	BASSETT, ANTHONY	AM FUNDS AMERICAN MUTUAL A	0.00	2.04	0.00	2.04	85.0	1.73
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.26	0.00	3.26	85.0	2.77
03/01/2018	FOSTER, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.33	0.00	0.33	85.0	0.28
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.20	0.00	4.20	85.0	3.57
03/01/2018	RIEBE, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.30	0.00	3.30	85.0	2.81
03/01/2018	HALSEY, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.16	0.00	0.16	85.0	0.14
03/01/2018	DIENER, RONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.38	0.00	9.38	85.0	7.97
03/01/2018	DESELMS, ELEVLYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.96	0.00	8.96	85.0	7.62
03/01/2018	KREMPEC, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	85.0	0.71
03/01/2018	LEININGER, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.36	0.00	1.36	85.0	1.16
03/01/2018	FRANTZ, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.95	0.00	0.95	85.0	0.81
03/01/2018	BAKER, ELAINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.91	0.00	5.91	85.0	5.02
03/01/2018	NOWAK, COLETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.76	0.00	0.76	85.0	0.65
03/01/2018	BAUMGARTNER, DIANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.83	0.00	3.83	85.0	3.26
03/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.52	0.00	2.52	85.0	2.14
03/01/2018	FOSTER, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.39	0.00	1.39	85.0	1.18
03/01/2018	WRAY, KIM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.36	0.00	13.36	85.0	11.36
03/01/2018	EYLER, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.39	0.00	6.39	85.0	5.43
03/01/2018	JOHNSON, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	85.0	0.84
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.27	0.00	7.27	85.0	6.18
03/01/2018	MEREDITH, TERESE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.85	0.00	1.85	85.0	1.57

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	KRIEGER, RENEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.37	0.00	9.37	85.0	7.96
03/01/2018	RIEBE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.69	0.00	3.69	85.0	3.14
03/01/2018	FISHER, SCOTT TW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.45	0.00	3.45	85.0	2.93
03/01/2018	FRENCH, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	FRENCH, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	85.0	0.37
03/01/2018	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.51	0.00	0.51	85.0	0.43
03/01/2018	DREEZE, MARCELINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.46	0.00	1.46	85.0	1.24
03/01/2018	STACY, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2018	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.16	0.00	1.16	85.0	0.99
03/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.95	0.00	6.95	85.0	5.91
03/01/2018	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.09	0.00	4.09	85.0	3.48
03/01/2018	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.94	0.00	11.94	85.0	10.15
03/01/2018	VESEY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.70	0.00	0.70	85.0	0.60
03/01/2018	ABBE, AMY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2018	DIENER, RONALD	AM FUNDS CAPITAL WORLD BOND A	0.00	9.45	0.00	9.45	85.0	8.03
03/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS CAPITAL WORLD BOND A	0.00	5.73	0.00	5.73	85.0	4.87
03/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS CAPITAL WORLD BOND A	0.00	5.74	0.00	5.74	85.0	4.88
03/01/2018	HALSEY, WILLIAM	AM FUNDS CAPITAL WORLD BOND A	0.00	0.16	0.00	0.16	85.0	0.14
03/01/2018	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2018	MI/TOD	AM FUNDS CAPITAL WORLD BOND A	0.00	1.48	0.00	1.48	85.0	1.26
03/01/2018	DIENER, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.73	0.00	2.73	85.0	2.32
03/01/2018	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2018	DESELMS, ELEVLYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.45	0.00	11.45	85.0	9.73
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.50	0.00	8.50	85.0	7.23
03/01/2018	KREMPEC, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	85.0	0.66
03/01/2018	LEININGER, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.22	0.00	2.22	85.0	1.89
03/01/2018	FRANTZ, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2018	BAKER, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.67	0.00	11.67	85.0	9.92
03/01/2018	JOHNSON, ZACHARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.04	0.00	1.04	85.0	0.88
03/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.96	0.00	2.96	85.0	2.52

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.05	0.00	3.05	85.0	2.59
03/01/2018	JOHNSON, CHLOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.05	0.00	1.05	85.0	0.89
03/01/2018	RIEBE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	85.0	1.04
03/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.53	0.00	1.53	85.0	1.30
03/01/2018	WRAY, KIM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.71	0.00	6.71	85.0	5.70
03/01/2018	EYLER, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.26	0.00	6.26	85.0	5.32
03/01/2018	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018	PAPPIO, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	85.0	0.39
03/01/2018	PAPPIO, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	85.0	0.40
03/01/2018	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	85.0	1.04
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.54	0.00	7.54	85.0	6.41
03/01/2018	MEREDITH, JEFFERY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.14	0.00	3.14	85.0	2.67
03/01/2018	KRAMER, TRISTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.52	0.00	0.52	85.0	0.44
03/01/2018	ZORNOW, JORDAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.18	0.00	1.18	85.0	1.00
03/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	85.0	0.26
03/01/2018	KRIEGER, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.60	0.00	9.60	85.0	8.16
03/01/2018	ABBE JR, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2018	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2018	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018	HARRISON, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.59	0.00	1.59	85.0	1.35
03/01/2018	DREEZE, MARCELINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.21	0.00	1.21	85.0	1.03
03/01/2018	STACY, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	85.0	0.18
03/01/2018	GRAHAM, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.10	0.00	9.10	85.0	7.74
03/01/2018	MI/TOD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.36	0.00	6.36	85.0	5.41
03/01/2018	LEININGER, KENNETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.21	0.00	3.21	85.0	2.73
03/01/2018	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.34	0.00	24.34	85.0	20.69
03/01/2018	VESEY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	85.0	0.39
03/01/2018	ABBE, AMY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2018	UNTIL AGE 21, OH/UTMA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	85.0	0.60
03/01/2018	FRANTZ, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.33	0.00	0.33	85.0	0.28
03/01/2018	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.81	0.00	5.81	85.0	4.94
03/01/2018	ZORNOW, JORDAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.57	0.00	1.57	85.0	1.33

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	FISHER, SCOTT TW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.72	0.00	3.72	85.0	3.16
03/01/2018	LEININGER, KENNETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.60	0.00	1.60	85.0	1.36
03/01/2018	DIENER, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.16	0.00	8.16	85.0	6.94
03/01/2018	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.35	0.00	1.35	85.0	1.15
03/01/2018	DESELMS, ELEVLYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.73	0.00	12.73	85.0	10.82
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.83	0.00	16.83	85.0	14.31
03/01/2018	KREMPEC, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.23	0.00	1.23	85.0	1.05
03/01/2018	LEININGER, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.91	0.00	2.91	85.0	2.47
03/01/2018	FOSTER, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.85	0.00	0.85	85.0	0.72
03/01/2018	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.90	0.00	0.90	85.0	0.77
03/01/2018	BAKER, ELAINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.25	0.00	8.25	85.0	7.01
03/01/2018	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.60	0.00	2.60	85.0	2.21
03/01/2018	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.37	0.00	2.37	85.0	2.01
03/01/2018	WRAY, KIM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.48	0.00	6.48	85.0	5.51
03/01/2018	EYLER, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.87	0.00	14.87	85.0	12.64
03/01/2018	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.62	0.00	2.62	85.0	2.23
03/01/2018	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.73	0.00	2.73	85.0	2.32
03/01/2018	JOHNSON, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.68	0.00	1.68	85.0	1.43
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.95	0.00	12.95	85.0	11.01
03/01/2018	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.45	0.00	0.45	85.0	0.38
03/01/2018	MEREDITH, TERESE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.36	0.00	3.36	85.0	2.86
03/01/2018	MEREDITH, JEFFERY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.69	0.00	2.69	85.0	2.29
03/01/2018	KRAMER, TRISTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.93	0.00	0.93	85.0	0.79
03/01/2018	ZORNOW, JORDAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.99	0.00	2.99	85.0	2.54
03/01/2018	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.48	0.00	0.48	85.0	0.41
03/01/2018	FISHER, SCOTT TW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.60	0.00	5.60	85.0	4.76
03/01/2018	HALSEY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2018	LEININGER, ELI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2018	FRENCH, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.56	0.00	0.56	85.0	0.48
03/01/2018	FRENCH, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.56	0.00	0.56	85.0	0.48
03/01/2018	KRAMER, DELANEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2018	ABBE JR, GARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.99	0.00	2.99	85.0	2.54
03/01/2018	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2018	KRAMER, KARYS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.34	0.00	0.34	85.0	0.29
03/01/2018	HARRISON, JERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.69	0.00	2.69	85.0	2.29
03/01/2018	DREEZE, MARCELINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.87	0.00	1.87	85.0	1.59
03/01/2018	CANNON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.25	0.00	0.25	85.0	0.21
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.05	0.00	1.05	85.0	0.89
03/01/2018	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.53	0.00	1.53	85.0	1.30
03/01/2018	ALDRICH, SUSAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.27	0.00	0.27	85.0	0.23
03/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.70	0.00	12.70	85.0	10.80
03/01/2018	MI/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.07	0.00	8.07	85.0	6.86
03/01/2018	PAPPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.39	0.00	0.39	85.0	0.33
03/01/2018	LEININGER, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.36	0.00	3.36	85.0	2.86
03/01/2018	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.70	0.00	20.70	85.0	17.60
03/01/2018	GERE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.60	0.00	3.60	85.0	3.06
03/01/2018	ABBE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2018	UNTIL AGE 21, OH/UTMA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2018	UNTIL AGE 21, OH/UTMA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.64	0.00	0.64	85.0	0.54
03/01/2018	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	0.00	1.95	0.00	1.95	85.0	1.66
03/01/2018	GERE, AMY	AM FUNDS GLOBAL BALANCED A	0.00	2.06	0.00	2.06	85.0	1.75
03/01/2018	DIENER, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.81	0.00	2.81	85.0	2.39
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.38	0.00	2.38	85.0	2.02
03/01/2018	BAKER, ELAINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.21	0.00	7.21	85.0	6.13
03/01/2018	NOWAK, COLETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	85.0	0.48
03/01/2018	BAUMGARTNER, DIANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.22	0.00	2.22	85.0	1.89
03/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.06	0.00	3.06	85.0	2.60
03/01/2018	FOSTER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.28	0.00	1.28	85.0	1.09
03/01/2018	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.00	0.00	4.00	85.0	3.40
03/01/2018	DESELMS, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.48	0.00	0.48	85.0	0.41
03/01/2018	KRAMER, DELANEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	BELKOFER, STACEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	85.0	0.46
03/01/2018	KRAMER, KARYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	0.00	0.36	85.0	0.31
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.23	0.00	4.23	85.0	3.60

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	LEININGER, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.68	0.00	1.68	85.0	1.43
03/01/2018	GERE, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.47	0.00	3.47	85.0	2.95
03/01/2018	DIENER, RONALD	AM FUNDS ICA A	0.00	7.30	0.00	7.30	85.0	6.21
03/01/2018	MEREDITH, TERESE	AM FUNDS ICA A	0.00	2.48	0.00	2.48	85.0	2.11
03/01/2018	ZORNOW, JORDAN	AM FUNDS ICA A	0.00	2.68	0.00	2.68	85.0	2.28
03/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS ICA A	0.00	8.70	0.00	8.70	85.0	7.40
03/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS ICA A	0.00	8.74	0.00	8.74	85.0	7.43
03/01/2018	KRIEGER, RENEE	AM FUNDS ICA A	0.00	11.79	0.00	11.79	85.0	10.02
03/01/2018	BELKOFER, STACEY	AM FUNDS ICA A	0.00	0.60	0.00	0.60	85.0	0.51
03/01/2018	GRAHAM, DAVID	AM FUNDS ICA A	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2018	DIENER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.12	0.00	23.12	85.0	19.65
03/01/2018	PRZYBYLSKI, JEREMIAH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.62	0.00	0.62	85.0	0.53
03/01/2018	FOSTER, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.80	0.00	0.80	85.0	0.68
03/01/2018	BAKER, ELAINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.77	0.00	4.77	85.0	4.05
03/01/2018	HAWBLITZ, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.23	0.00	4.23	85.0	3.60
03/01/2018	PAPPIO, STEPHANIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.39	0.00	0.39	85.0	0.33
03/01/2018	PAPPIO, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2018	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.80	0.00	2.80	85.0	2.38
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.27	0.00	14.27	85.0	12.13
03/01/2018	FRANTZ, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.38	0.00	16.38	85.0	13.92
03/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.66	0.00	16.66	85.0	14.16
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.61	0.00	0.61	85.0	0.52
03/01/2018	ALDRICH, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	LEININGER, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.69	0.00	3.69	85.0	3.14
03/01/2018	GERE, AMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.06	0.00	3.06	85.0	2.60
03/01/2018	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	85.0	0.45
03/01/2018	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2018	DIENER, RONALD	AM FUNDS INTL GROWTH AND INCOME A	0.00	10.36	0.00	10.36	85.0	8.81
03/01/2018	RIEBE, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.80	0.00	3.80	85.0	3.23
03/01/2018	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.87	0.00	0.87	85.0	0.74
03/01/2018	ALDRICH, SUSAN	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.21	0.00	0.21	85.0	0.18
03/01/2018	LEININGER, KENNETH	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.13	0.00	3.13	85.0	2.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	DESELMS, ELEVLYN	AM FUNDS NEW ECONOMY A	0.00	13.63	0.00	13.63	85.0	11.59
03/01/2018	KRIEGER, RENEE	AM FUNDS NEW ECONOMY A	0.00	11.79	0.00	11.79	85.0	10.02
03/01/2018	FISHER, SCOTT TW	AM FUNDS NEW ECONOMY A	0.00	5.77	0.00	5.77	85.0	4.90
03/01/2018	LEININGER, ELI	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2018	UNTIL AGE 21, OH/UTMA	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	2.16	0.00	2.16	85.0	1.84
03/01/2018	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.54	0.00	0.54	85.0	0.46
03/01/2018	BAKER, ELAINE	AM FUNDS NEW PERSPECTIVE A	0.00	7.05	0.00	7.05	85.0	5.99
03/01/2018	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.64	0.00	0.64	85.0	0.54
03/01/2018	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	0.00	3.31	0.00	3.31	85.0	2.81
03/01/2018	KRIEGER, RENEE	AM FUNDS NEW PERSPECTIVE A	0.00	9.09	0.00	9.09	85.0	7.73
03/01/2018	ABBE JR, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.91	0.00	0.91	85.0	0.77
03/01/2018	PAPIO, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	0.38	0.00	0.38	85.0	0.32
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS NEW WORLD A	0.00	1.96	0.00	1.96	85.0	1.67
03/01/2018	LEININGER, LISA	AM FUNDS NEW WORLD A	0.00	0.65	0.00	0.65	85.0	0.55
03/01/2018	WRAY, KIM	AM FUNDS NEW WORLD A	0.00	4.94	0.00	4.94	85.0	4.20
03/01/2018	EYLER, BARBARA	AM FUNDS NEW WORLD A	0.00	3.57	0.00	3.57	85.0	3.03
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	7.65	0.00	7.65	85.0	6.50
03/01/2018	LEININGER, LISA	AM FUNDS SMALLCAP WORLD A	0.00	1.14	0.00	1.14	85.0	0.97
03/01/2018	BAKER, ELAINE	AM FUNDS SMALLCAP WORLD A	0.00	6.01	0.00	6.01	85.0	5.11
03/01/2018	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	0.00	6.79	0.00	6.79	85.0	5.77
03/01/2018	EYLER, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	4.00	0.00	4.00	85.0	3.40
03/01/2018	MEREDITH, TERESE	AM FUNDS SMALLCAP WORLD A	0.00	2.28	0.00	2.28	85.0	1.94
03/01/2018	MEREDITH, JEFFERY	AM FUNDS SMALLCAP WORLD A	0.00	1.71	0.00	1.71	85.0	1.45
03/01/2018	ZORNOW, JORDAN	AM FUNDS SMALLCAP WORLD A	0.00	2.62	0.00	2.62	85.0	2.23
03/01/2018	LEININGER, ELI	AM FUNDS SMALLCAP WORLD A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	FRENCH, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2018	FRENCH, RICHARD	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	85.0	0.19
03/01/2018	ABBE JR, GARY	AM FUNDS SMALLCAP WORLD A	0.00	1.72	0.00	1.72	85.0	1.46
03/01/2018	BELKOFER, STACEY	AM FUNDS SMALLCAP WORLD A	0.00	0.38	0.00	0.38	85.0	0.32
03/01/2018	DREEZE, MARCELINA	AM FUNDS SMALLCAP WORLD A	0.00	1.10	0.00	1.10	85.0	0.94
03/01/2018	CANNON, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS SMALLCAP WORLD A	0.00	0.26	0.00	0.26	85.0	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	0.00	1.31	0.00	1.31	85.0	1.11
03/01/2018	ALDRICH, SUSAN	AM FUNDS SMALLCAP WORLD A	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS SMALLCAP WORLD A	0.00	11.93	0.00	11.93	85.0	10.14
03/01/2018	MI/TOD	AM FUNDS SMALLCAP WORLD A	0.00	10.17	0.00	10.17	85.0	8.64
03/01/2018	PAPIO, AARON	AM FUNDS SMALLCAP WORLD A	0.00	0.35	0.00	0.35	85.0	0.30
03/01/2018	LEININGER, KENNETH	AM FUNDS SMALLCAP WORLD A	0.00	1.63	0.00	1.63	85.0	1.39
03/01/2018	GERE, AMY	AM FUNDS SMALLCAP WORLD A	0.00	2.97	0.00	2.97	85.0	2.52
03/01/2018	ABBE, AMY	AM FUNDS SMALLCAP WORLD A	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	UNTIL AGE 21, OH/UTMA	AM FUNDS SMALLCAP WORLD A	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.01	0.00	6.01	85.0	5.11
03/01/2018	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.53	0.00	4.53	85.0	3.85
03/01/2018	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.02	0.00	1.02	85.0	0.87
03/01/2018	TANGEMAN, JEANETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.76	0.00	31.76	85.0	27.00
03/01/2018	DESELMS, ELEVLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.61	0.00	10.61	85.0	9.02
03/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.37	0.00	14.37	85.0	12.21
03/01/2018	FILIPEK, BETHANY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	85.0	0.20
03/01/2018	DESELMS, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.69	0.00	4.69	85.0	3.99
03/01/2018	BASSETT, HANNAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.26	0.00	1.26	85.0	1.07
03/01/2018	PRZYBYLSKI, JEREMIAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.28	0.00	1.28	85.0	1.09
03/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.78	0.00	4.78	85.0	4.06
03/01/2018	BEISWENGER, RANDALL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.23	0.00	7.23	85.0	6.15
03/01/2018	COUSINO, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.56	0.00	36.56	85.0	31.08
03/01/2018	TAYLOR, GERALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.92	0.00	7.92	85.0	6.73
03/01/2018	KREMPEC, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	LEININGER, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.44	0.00	2.44	85.0	2.07
03/01/2018	FILIPEK, JESSICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.20	0.00	14.20	85.0	12.07
03/01/2018	AUGUSTYNIAK, SETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	85.0	0.40
03/01/2018	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.80	0.00	0.80	85.0	0.68
03/01/2018	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.02	0.00	1.02	85.0	0.87
03/01/2018	BAKER, ELAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.58	0.00	7.58	85.0	6.44
03/01/2018	DIERKSHEIDE, TRACI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.98	0.00	1.98	85.0	1.68
03/01/2018	JOHNSON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.70	0.00	0.70	85.0	0.60
03/01/2018	FRANTZ, KAYLYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.92	0.00	0.92	85.0	0.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	85.0	0.20
03/01/2018	NOWAK, COLETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.95	0.00	0.95	85.0	0.81
03/01/2018	BAUMGARTNER, DIANA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.22	0.00	6.22	85.0	5.29
03/01/2018	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.31	0.00	2.31	85.0	1.96
03/01/2018	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.30	0.00	2.30	85.0	1.96
03/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.10	0.00	16.10	85.0	13.69
03/01/2018	JACKSON, MARNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.79	0.00	14.79	85.0	12.57
03/01/2018	JOHNSON, CHLOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.91	0.00	0.91	85.0	0.77
03/01/2018	BASSETT, JACK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	85.0	0.48
03/01/2018	COOK, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	85.0	0.60
03/01/2018	KRIEGER, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.80	0.00	3.80	85.0	3.23
03/01/2018	FRANTZ, KARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	85.0	0.64
03/01/2018	RIEBE, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.55	0.00	1.55	85.0	1.32
03/01/2018	HAWBLITZ, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.81	0.00	6.81	85.0	5.79
03/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.89	0.00	4.89	85.0	4.16
03/01/2018	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.98	0.00	1.98	85.0	1.68
03/01/2018	WRAY, KIM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.17	0.00	16.17	85.0	13.74
03/01/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.64	0.00	0.64	85.0	0.54
03/01/2018	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.16	0.00	3.16	85.0	2.69
03/01/2018	THANASIU, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.63	0.00	1.63	85.0	1.39
03/01/2018	PAPIO, STEPHANIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2018	PAPIO, JOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	85.0	0.48
03/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.28	0.00	3.28	85.0	2.79
03/01/2018	ZORNOW TRUST, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.93	0.00	14.93	85.0	12.69
03/01/2018	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	85.0	0.44
03/01/2018	MEREDITH, TERESE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.29	0.00	1.29	85.0	1.10
03/01/2018	RICHARDS, STEPHEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.67	0.00	6.67	85.0	5.67
03/01/2018	KRAMER, TRISTON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.74	0.00	0.74	85.0	0.63
03/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.50	0.00	9.50	85.0	8.08
03/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.57	0.00	9.57	85.0	8.13
03/01/2018	KRIEGER, RENEE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.03	0.00	10.03	85.0	8.53
03/01/2018	FISHER, SCOTT TW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.72	0.00	4.72	85.0	4.01
03/01/2018	LEININGER, ELI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	85.0	0.27

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	FRENCH, VICTORIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	FRENCH, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018	KRAMER, DELANEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.26	0.00	0.26	85.0	0.22
03/01/2018	ABBE JR, GARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.98	0.00	2.98	85.0	2.53
03/01/2018	BELKOFER, STACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	85.0	0.36
03/01/2018	KRAMER, KARYS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2018	DREEZE, MARCELINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.12	0.00	2.12	85.0	1.80
03/01/2018	STACY, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	85.0	0.18
03/01/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2018	SAPP, ANGELAMARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.35	0.00	1.35	85.0	1.15
03/01/2018	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.78	0.00	1.78	85.0	1.51
03/01/2018	GRAHAM, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	85.0	0.14
03/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.63	0.00	13.63	85.0	11.59
03/01/2018	MI/TOD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.22	0.00	9.22	85.0	7.84
03/01/2018	PAPPIO, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	85.0	0.48
03/01/2018	LEININGER, KENNETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.90	0.00	4.90	85.0	4.17
03/01/2018	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	22.03	0.00	22.03	85.0	18.73
03/01/2018	GERE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.27	0.00	3.27	85.0	2.78
03/01/2018	AUGUSTYNIAK, VALERIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2018	AUGUSTYNIAK, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.38	0.00	1.38	85.0	1.17
03/01/2018	VESEY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.24	0.00	1.24	85.0	1.05
03/01/2018	ABBE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2018	UNTIL AGE 21, OH/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	85.0	0.27
03/01/2018	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	85.0	0.53
01/31/2018	LEROUX, LAURA	BLACKROCK 67776G469	0.00	8.17	0.00	8.17	85.0	6.94
01/31/2018	LEROUX, LAURA	BLACKROCK 67776G519	0.00	9.06	0.00	9.06	85.0	7.70
01/31/2018	BUEHRER, SARAH	BLACKROCK 67776G519	0.00	1.61	0.00	1.61	85.0	1.37
01/31/2018	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.52	0.00	0.52	85.0	0.44
01/31/2018	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.52	0.00	0.52	85.0	0.44
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.36	0.00	7.36	85.0	6.26
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.57	0.00	3.57	85.0	3.03
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.97	0.00	4.97	85.0	4.22
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.28	0.00	6.28	85.0	5.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.38	0.00	4.38	85.0	3.72
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.13	0.00	4.13	85.0	3.51
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.55	0.00	5.55	85.0	4.72
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.87	0.00	6.87	85.0	5.84
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	9.10	0.00	9.10	85.0	7.74
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.45	0.00	3.45	85.0	2.93
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.02	0.00	5.02	85.0	4.27
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.98	0.00	5.98	85.0	5.08
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.86	0.00	5.86	85.0	4.98
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.96	0.00	13.96	85.0	11.87
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.45	0.00	6.45	85.0	5.48
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.98	0.00	6.98	85.0	5.93
01/31/2018	BUEHRER, SARAH	GLOBAL ALLOCATION FUND	0.00	1.56	0.00	1.56	85.0	1.33
03/01/2018	DESELMS, ROBERT	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	0.66	0.00	0.66	85.0	0.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,496.27	\$0.00	\$1,496.27		\$1,271.98
*** DIRECT TRANSACTIONS TOTAL ***			\$4,848,825.61	\$5,884.62	\$0.00	\$5,884.62		\$5,002.11

ADJUSTMENTS

03/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
03/15/2018	*ALBRIDGE: FULL USER	0.00						-176.00
03/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
03/15/2018	*TECHNOLOGY: ADMIN - D RYAN 1/3RD	0.00						-43.33
03/15/2018	*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00						-43.33
03/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-749.66

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$5,002.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-749.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,252.45
Year-to-date Gross	\$16,813.86
Year-to-date Earnings	\$14,292.02