



Concorde Investment Services, LLC

07/27/2017
Page No. 1

COMMISSION STATEMENT July 31, 2017

ACCOUNT HOUSE
1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/14/2017	HERNDON, TIMOTHY	BLUEROCK TOTAL INC & RE FUND	0.00	1.05	0.00	1.05	0.0	0.00
07/14/2017	TWEED, VARIOUS	BLUEROCK TOTAL INC & RE FUND	0.00	8.13	0.00	8.13	0.0	0.00
07/14/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	49,500.00	0.00	0.00	0.00	0.0	0.00
07/14/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-50,000.00	0.00	0.00	0.00	0.0	0.00
07/12/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-24,001.64	0.00	0.00	0.00	0.0	0.00
07/12/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	23,761.62	0.00	0.00	0.00	0.0	0.00
07/20/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-48,009.70	0.00	0.00	0.00	0.0	0.00
07/20/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	47,529.60	0.00	0.00	0.00	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-1,220.12	\$9.18	\$0.00	\$9.18		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-394.40	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	374.68	0.00	0.00	0.00	100.0	0.00
07/20/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/20/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/17/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
07/17/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
07/20/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
07/12/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-10,000.00	0.00	0.00	0.00	100.0	0.00
07/12/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	9,575.00	0.00	0.00	0.00	100.0	0.00
07/19/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-10,000.00	0.00	0.00	0.00	100.0	0.00
07/19/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	9,625.00	0.00	0.00	0.00	100.0	0.00
07/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
07/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
07/17/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
07/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
07/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/17/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
07/17/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
07/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
07/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
07/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
07/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
07/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-102.74	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	97.60	0.00	0.00	0.00	100.0	0.00
07/17/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
07/17/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
07/21/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
07/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-2,024.68	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-418.70	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	397.76	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	1,948.75	0.00	0.00	0.00	100.0	0.00
07/17/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
07/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
07/17/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
07/21/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00
07/10/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-409.77	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	389.28	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-2,021.85	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,946.03	0.00	0.00	0.00	100.0	0.00
07/17/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
07/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	967.68	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,008.00	0.00	0.00	0.00	100.0	0.00
07/03/2017	TSEREGOUNIS, SPYROS	DAVIS GOVERNMENT BOND A	0.00	19.07	0.00	19.07	100.0	19.07
07/03/2017	KATEHI, PISTI	DAVIS GOVERNMENT BOND A	0.00	8.15	0.00	8.15	100.0	8.15
07/03/2017	WERNER, DOROTHY	DAVIS GOVERNMENT BOND A	0.00	34.10	0.00	34.10	100.0	34.10
07/03/2017	LEWIS, JOAN	DAVIS GOVERNMENT BOND A	0.00	1.44	0.00	1.44	100.0	1.44
07/03/2017	KNOCH JR, WALTER	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
07/03/2017	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET B	0.00	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	157.75	0.00	157.75	100.0	157.75
07/03/2017	COCKRILL, JUDITH	DAVIS NEW YORK VENTURE A	0.00	29.19	0.00	29.19	100.0	29.19
07/03/2017	POLANT, LOUIS	DAVIS NEW YORK VENTURE A	0.00	20.79	0.00	20.79	100.0	20.79
07/03/2017	GARCIA, ADELA	DAVIS NEW YORK VENTURE A	0.00	19.97	0.00	19.97	100.0	19.97
07/03/2017	TSEREGOUNIS, SPYROS	DAVIS OPPORTUNITY A	0.00	23.01	0.00	23.01	100.0	23.01
06/30/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	31.24	0.00	31.24	100.0	31.24
06/30/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.19	0.00	7.19	100.0	7.19
07/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-229.81	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	227.51	0.00	0.00	0.00	100.0	0.00
07/26/2017	CONCORDE, VARIOUS	INVESCO HIGH YIELD MUNICIPAL A	-2,632.50	0.00	0.00	0.00	100.0	0.00
07/26/2017	CONCORDE, VARIOUS	INVESCO HIGH YIELD MUNICIPAL A	2,527.20	0.00	0.00	0.00	100.0	0.00
07/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
07/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
07/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,979.54	\$468.17	\$0.00	\$468.17		\$468.17
<u>PRODUCT CATEGORY: STOCKS</u>								
07/19/2017	CONCORDE, VARIOUS	AT&T INC COM	-35,845.50	0.00	0.00	0.00	100.0	0.00
07/19/2017	CONCORDE, VARIOUS	AT&T INC COM	3,585.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2017	CONCORDE, VARIOUS	AT&T INC COM	32,260.50	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-5,990.00	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	595.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	1,497.50	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	3,893.50	0.00	1.00	-1.00	100.0	-1.00
07/26/2017	CONCORDE, VARIOUS	CISCO SYSTEMS INC	-6,315.70	0.00	0.00	0.00	100.0	0.00
07/26/2017	CONCORDE, VARIOUS	CISCO SYSTEMS INC	663.08	0.00	1.00	-1.00	100.0	-1.00
07/26/2017	CONCORDE, VARIOUS	CISCO SYSTEMS INC	5,652.62	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-6,320.00	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	93.00	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	155.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	700.32	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	1,460.08	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	1,028.35	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	932.20	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	158.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	157.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	1,625.74	0.00	1.00	-1.00	100.0	-1.00
07/13/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-5,024.35	0.00	0.00	0.00	100.0	0.00
07/13/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	2,839.85	0.00	1.00	-1.00	100.0	-1.00
07/13/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	2,184.50	0.00	1.00	-1.00	100.0	-1.00
07/20/2017	CONCORDE, VARIOUS	HAUPPAUGE DIGITAL INC COM	12.00	0.00	1.00	-1.00	100.0	-1.00
07/20/2017	CONCORDE, VARIOUS	HAUPPAUGE DIGITAL INC COM	13.00	0.00	1.00	-1.00	100.0	-1.00
07/20/2017	CONCORDE, VARIOUS	HAUPPAUGE DIGITAL INC COM	-25.00	0.00	0.00	0.00	100.0	0.00
07/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,498.74	0.00	1.00	-1.00	100.0	-1.00
07/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-853.50	0.00	1.00	-1.00	100.0	-1.00
07/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	4,352.24	0.00	0.00	0.00	100.0	0.00
07/12/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-12,885.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-72,027.15	0.00	1.00	-1.00	100.0	-1.00
07/12/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	84,912.15	0.00	0.00	0.00	100.0	0.00
07/26/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,353.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,353.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-19,713.20	0.00	1.00	-1.00	100.0	-1.00
07/26/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,352.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	26,771.22	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE, VARIOUS	SUBURBAN PROPANE PARTNERS LP UNITS	-823.41	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	SUBURBAN PROPANE PARTNERS LP UNITS	-11,276.31	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	SUBURBAN PROPANE PARTNERS LP UNITS	12,099.70	0.00	0.00	0.00	100.0	0.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-486.00	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-12,150.26	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-6,075.00	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-5,771.25	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-54,483.78	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-3,037.00	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-1,215.00	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-6,075.00	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	-729.00	0.00	1.00	-1.00	100.0	-1.00
07/14/2017	CONCORDE, VARIOUS	TOTAL SYSTEMS SERVICES INC	90,022.31	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-14.29	\$0.00	\$39.00	\$-39.00		\$-39.00
<u>PRODUCT CATEGORY: OPTIONS</u>								
07/18/2017	CONCORDE, VARIOUS	CALL 100 TRIPADVISOR INC COM EXP 08	1,569.00	0.00	0.00	0.00	100.0	0.00
07/18/2017	CONCORDE, VARIOUS	CALL 100 TRIPADVISOR INC COM EXP 08	-156.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2017	CONCORDE, VARIOUS	CALL 100 TRIPADVISOR INC COM EXP 08	-1,413.00	0.00	1.00	-1.00	100.0	-1.00
* OPTIONS SUBTOTAL *			\$0.00	\$0.00	\$2.00	\$-2.00		\$-2.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/07/2017	LUND, VARIOUS	ING RELIASTAR	0.00	226.33	0.00	226.33	100.0	226.33
07/14/2017	LUND, VARIOUS	ING RELIASTAR	0.00	549.20	0.00	549.20	100.0	549.20
07/05/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	344,561.02	287.13	0.00	287.13	100.0	287.13
07/05/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,485.67	18.74	0.00	18.74	100.0	18.74
07/05/2017	CICHEWICZ, RICHARD	GENWORTH CHOICE VA	40,220.05	33.52	0.00	33.52	100.0	33.52
07/05/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	148,589.00	123.82	0.00	123.82	100.0	123.82
07/05/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	484.24	0.08	0.00	0.08	100.0	0.08
07/05/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	26,211.15	4.37	0.00	4.37	100.0	4.37
06/16/2017	FORMELLA, DAVID	GENWORTH INS	10,166.66	2.12	0.00	2.12	100.0	2.12
06/16/2017	HORIBA, MASATAKE	GENWORTH INS	169,372.07	35.29	0.00	35.29	100.0	35.29
06/16/2017	FORMELLA, ANNETTE	GENWORTH INS	10,366.87	2.16	0.00	2.16	100.0	2.16
07/17/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
07/17/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
07/10/2017	SIKORA, JANET	PAC LIFE INNOVATIVE SELECT	0.00	296.35	0.00	296.35	100.0	296.35
07/12/2017	DURZO, ROSINA	PAC LIFE INNOVATIVE SELECT	0.00	77.59	0.00	77.59	100.0	77.59
07/14/2017	DUFOUR, TERENCE	PAC LIFE INNOVATIVE SELECT	0.00	58.02	0.00	58.02	100.0	58.02
07/14/2017	DUFOUR, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	64.70	0.00	64.70	100.0	64.70
* VARIABLE ANNUITIES SUBTOTAL *			\$772,516.73	\$1,780.02	\$0.00	\$1,780.02		\$1,780.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
07/14/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	4,906.08	0.00	0.00	0.00	100.0	0.00
07/14/2017	CONCORDE, VARIOUS	FT UNIT 6758 DIVID & INCOME SELECT	-5,006.23	0.00	0.00	0.00	100.0	0.00
07/14/2017	CONCORDE, VARIOUS	FT UNIT 6769 COVERED CALL SLECT CLO	21,569.04	0.00	0.00	0.00	100.0	0.00
07/14/2017	CONCORDE, VARIOUS	FT UNIT 6769 COVERED CALL SLECT CLO	-22,009.30	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-540.41	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/03/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
07/03/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
07/07/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.76	0.00	3.76	100.0	3.76
07/10/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
07/14/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	6.65	0.00	6.65	100.0	6.65
07/19/2017	RUNDLE, JAY	WRL LIFE	0.00	125.28	0.00	125.28	100.0	125.28
07/19/2017	FRINTNER, JUDY	WRL LIFE	0.00	29.81	0.00	29.81	100.0	29.81
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$925.00	\$184.00	\$0.00	\$184.00		\$184.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/12/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.34	0.00	0.34	100.0	0.34
07/12/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.46	0.00	2.46	100.0	2.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.80	\$0.00	\$2.80		\$2.80
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/18/2017	VETERE, VICTORIA	ING PREMIUM PLUS	0.00	0.95	0.00	0.95	100.0	0.95
07/18/2017	BUCKEYE, JOAN	ING PREMIUM PLUS 2005	0.00	23.52	0.00	23.52	100.0	23.52
07/17/2017	MCGINNIS, JAMES	LANDMARK 2002	0.00	219.86	0.00	219.86	100.0	219.86
06/30/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.65	0.00	13.65	100.0	13.65
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$257.98	\$0.00	\$257.98		\$257.98
*** BROKER TRANSACTIONS TOTAL ***			\$773,646.45	\$2,702.15	\$41.00	\$2,661.15		\$2,651.97

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
07/20/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/20/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/17/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
07/17/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
07/20/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
07/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
07/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
07/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
07/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
07/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
07/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
07/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
07/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
07/17/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
07/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
* ACCOUNT HOUSE SUBTOTAL *			\$2,502.00	\$95.86	\$0.00	\$95.86		\$95.86
<u> BROKER: ANTHONY GUGLIELME #8 </u>								
07/17/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
07/17/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
* ANTHONY GUGLIELME SUBTOTAL *			\$200.00	\$8.50	\$0.00	\$8.50		\$8.50
<u> BROKER: 101 CFGROUP/KOSANKE #9 </u>								
07/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u> BROKER: MATT BRANSTETTER #10 </u>								
07/17/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	80.0	3.75
* MATT BRANSTETTER SUBTOTAL *			\$100.00	\$3.75	\$0.00	\$3.75		\$3.75
<u> BROKER: DANIEL WOLF #11 </u>								
07/01/2017	SAVELA, RONALD	INVESCO ENERGY FUND A	0.00	0.87	0.00	0.87	0.0	0.87
07/25/2017	CARIGNAN, NANCY	MML CM LIFE VUL	52,789.82	6.60	0.00	6.60	0.0	6.60
* DANIEL WOLF SUBTOTAL *			\$52,789.82	\$7.47	\$0.00	\$7.47		\$7.47

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ERIC HORNYAK #29</u>								
/ /	CROSSFIRE GROUP Q3AM	AM CENTURY HERITAGE	0.00	0.58	0.00	0.58	0.0	0.58
		* ERIC HORNYAK SUBTOTAL *	\$0.00	\$0.58	\$0.00	\$0.58		\$0.58
<u>BROKER: THOMAS BOAKS #33</u>								
07/06/2017	HAYES, REBECCA	ALGER CAPITAL APPRECIATION A	0.00	0.17	0.00	0.17	0.0	0.17
07/06/2017	MARTIN, DAVID	ALGER CAPITAL APPRECIATION A	0.00	0.37	0.00	0.37	0.0	0.37
07/06/2017	HAYES, REBECCA	ALGER GROWTH & INCOME A	0.00	0.14	0.00	0.14	0.0	0.14
07/06/2017	HAYES, REBECCA	ALGER LARGECAP GROWTH A	0.00	0.07	0.00	0.07	0.0	0.07
07/06/2017	MARTIN, DAVID	ALGER LARGECAP GROWTH A	0.00	0.16	0.00	0.16	0.0	0.16
07/06/2017	HAYES, REBECCA	ALGER MIDCAP GROWTH A	0.00	0.12	0.00	0.12	0.0	0.12
07/06/2017	MARTIN, DAVID	ALGER MIDCAP GROWTH A	0.00	0.05	0.00	0.05	0.0	0.05
07/06/2017	MARTIN, DAVID	ALGER SMALLCAP GROWTH A	0.00	0.21	0.00	0.21	0.0	0.21
07/03/2017	BOAKS, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.42	0.00	0.42	0.0	0.42
06/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
07/03/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	0.00	25.52	0.00	25.52	0.0	25.52
07/03/2017	KANDT, TRACY	DAVIS NEW YORK VENTURE C	0.00	84.84	0.00	84.84	0.0	84.84
07/03/2017	BURESS, KELLY	DAVIS NEW YORK VENTURE C	0.00	5.81	0.00	5.81	0.0	5.81
07/03/2017	HEAD, JOHN	DAVIS NEW YORK VENTURE C	0.00	7.27	0.00	7.27	0.0	7.27
07/14/2017	BORDERS, BARBARA	DWS STRATEGIC GOVERNMENT SECURITIES	0.00	1.14	0.00	1.14	0.0	1.14
07/14/2017	BORDERS, BARBARA	DWSCUDDER DEUTSCHE GNMA FD A	0.00	1.03	0.00	1.03	0.0	1.03
07/01/2017	ROSS JT TEN, LAWRENCE	INVESCO US GOVT A	0.00	5.33	0.00	5.33	0.0	5.33
		* THOMAS BOAKS SUBTOTAL *	\$30.00	\$132.95	\$0.00	\$132.95		\$132.95
<u>BROKER: TIMOTHY BROWN #36</u>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.52	0.00	2.52	0.0	2.52
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.55	0.00	7.55	0.0	7.55
		* TIMOTHY BROWN SUBTOTAL *	\$0.00	\$10.07	\$0.00	\$10.07		\$10.07
<u>BROKER: BRUCE BAXTER #38</u>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.15
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE BAXTER #38</u>								
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.46	0.00	0.46	0.0	0.46
/ /	DENNIS, MARK	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	6.84	0.00	6.84	0.0	6.84
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	DENNIS, MARK	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	BAXTER, MEGAN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	20.53	0.00	20.53	0.0	20.53
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.27	0.00	0.27	0.0	0.27
07/19/2017	BAXTER, VARIOUS	WRL LIFE	0.00	894.76	0.00	894.76	0.0	894.76
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$923.90	\$0.00	\$923.90		\$923.90
<u>BROKER: MELANIE DICENSO #43</u>								
07/14/2017	VANDERKHOVE, HANNAH	DWS DEUTSCHE EQUITY DIVIDEND FUND-A	0.00	0.47	0.00	0.47	0.0	0.47
07/14/2017	NASH, SUZANNE	DWS GLOBAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.05
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.02	0.00	1.02	0.0	1.02
* MELANIE DICENSO SUBTOTAL *			\$0.00	\$1.54	\$0.00	\$1.54		\$1.54
<u>BROKER: JOHN BEAN #45</u>								
07/12/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.28	0.00	1.28	0.0	1.28
07/03/2017	GOOD HOPE LUTHERAN	PIMCO LOW DURATION CLASS A	0.00	20.77	0.00	20.77	0.0	20.77
07/03/2017	STUMP, DAVID	PIMCO TOTAL RETURN D	0.00	1.37	0.00	1.37	0.0	1.37
07/03/2017	HENRY, REBECCA	PIMCO TOTAL RETURN D	0.00	1.22	0.00	1.22	0.0	1.22
* JOHN BEAN SUBTOTAL *			\$0.00	\$24.64	\$0.00	\$24.64		\$24.64

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
07/06/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.43	0.00	2.43	0.0	2.43
07/06/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.85	0.00	0.85	0.0	0.85
07/06/2017	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	6.43	0.00	6.43	0.0	6.43
07/06/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.36	0.00	5.36	0.0	5.36
07/26/2017	UMBRELLO-WHITE, RAQUEL	DISNEY WALT CO DISNEY COM	-10,037.98	22.00	22.50	-0.50	0.0	-0.50
07/01/2017	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	5.76	0.00	5.76	0.0	5.76
07/01/2017	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	5.74	0.00	5.74	0.0	5.74
07/01/2017	MCCUTCHAN, BARBARA	INVESCO MONEY MARKET C	0.00	1.83	0.00	1.83	0.0	1.83
07/01/2017	MCCUTCHAN, BARBARA	INVESCO SMALL CAP EQUITY FUND	0.00	16.62	0.00	16.62	0.0	16.62
07/01/2017	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	5.28	0.00	5.28	0.0	5.28
07/17/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.04	0.00	2.04	0.0	2.04
07/17/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.03	0.00	2.03	0.0	2.03
07/17/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.08	0.00	1.08	0.0	1.08
07/17/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.76	0.00	1.76	0.0	1.76
07/17/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	1.91	0.00	1.91	0.0	1.91
 * ROBERT (RUSTY) TWEED SUBTOTAL * 			\$-10,037.98	\$81.12	\$22.50	\$58.62		\$58.62
<u> BROKER: JIM LUND #60 </u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.82	0.00	2.82	0.0	2.82
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.53	0.00	1.53	0.0	1.53
07/03/2017	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
07/17/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.30	0.00	1.30	0.0	1.30
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.30	0.00	1.30	0.0	1.30
07/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
07/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.28	0.00	3.28	0.0	3.28
07/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	6.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.60	0.00	12.60	0.0	12.60
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.55	0.00	0.55	0.0	0.55
07/03/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	2.50
07/06/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
07/17/2017	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
07/06/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JIM LUND #60</u>								
07/03/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
07/14/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
07/11/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
07/11/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
* JIM LUND SUBTOTAL *			\$2,025.00	\$97.31	\$0.00	\$97.31		\$97.31
<u>BROKER: JAMES PANOS #61</u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.40	0.00	27.40	0.0	27.40
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.37	0.00	23.37	0.0	23.37
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.79	0.00	3.79	0.0	3.79
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.62	0.00	7.62	0.0	7.62
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	10.52	0.00	10.52	0.0	10.52
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.30	0.00	4.30	0.0	4.30
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.83	0.00	5.83	0.0	5.83
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.70	0.00	11.70	0.0	11.70
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	4.46	0.00	4.46	0.0	4.46
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.04	0.00	6.04	0.0	6.04
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	12.27	0.00	12.27	0.0	12.27
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	26.63	0.00	26.63	0.0	26.63
07/17/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
07/17/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.54	0.00	4.54	0.0	4.54
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.14	0.00	6.14	0.0	6.14
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	11.65	0.00	11.65	0.0	11.65
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	4.06	0.00	4.06	0.0	4.06
07/17/2017	MACUCH, PAUL	FA 529 PORT 2016 C	50.00	0.50	0.00	0.50	0.0	0.50
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	59.13	0.00	59.13	0.0	59.13
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.38	0.00	5.38	0.0	5.38
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.81	0.00	2.81	0.0	2.81
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.87	0.00	9.87	0.0	9.87
07/17/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
07/17/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	60.49	0.00	60.49	0.0	60.49

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES PANOS #61 </u>								
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	41.34	0.00	41.34	0.0	41.34
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	24.62	0.00	24.62	0.0	24.62
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.33	0.00	10.33	0.0	10.33
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.65	0.00	15.65	0.0	15.65
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	24.19	0.00	24.19	0.0	24.19
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.81	0.00	6.81	0.0	6.81
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.09	0.00	11.09	0.0	11.09
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.22	0.00	11.22	0.0	11.22
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	27.07	0.00	27.07	0.0	27.07
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.81	0.00	7.81	0.0	7.81
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.93	0.00	12.93	0.0	12.93
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.48	0.00	10.48	0.0	10.48
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	31.37	0.00	31.37	0.0	31.37
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.22	0.00	3.22	0.0	3.22
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	20.27	0.00	20.27	0.0	20.27
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.94	0.00	2.94	0.0	2.94
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	38.15	0.00	38.15	0.0	38.15
07/17/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
07/17/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
07/17/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
07/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
07/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
07/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
07/17/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	18.27	0.00	18.27	0.0	18.27
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.22	0.00	14.22	0.0	14.22
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	32.52	0.00	32.52	0.0	32.52
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	21.69	0.00	21.69	0.0	21.69
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	18.16	0.00	18.16	0.0	18.16
07/03/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
07/03/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
07/03/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.90	0.00	13.90	0.0	13.90

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	5.01	0.00	5.01	0.0	5.01
07/03/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
07/17/2017	MACUCH, PAUL	FA 529 PORT 2028 C	50.00	0.50	0.00	0.50	0.0	0.50
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	2.93	0.00	2.93	0.0	2.93
* JAMES PANOS SUBTOTAL *			\$1,940.00	\$754.29	\$0.00	\$754.29		\$754.29
<u>BROKER: RENE CASTRO #92</u>								
07/06/2017	PIRIO, PAUL	ING PREMIUM PLUS	0.00	86.19	0.00	86.19	0.0	86.19
07/17/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.32	0.00	2.32	0.0	2.32
07/17/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.05	0.00	9.05	0.0	9.05
* RENE CASTRO SUBTOTAL *			\$0.00	\$97.56	\$0.00	\$97.56		\$97.56
<u>BROKER: DANIEL COLLINS #106</u>								
07/05/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
07/05/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
07/05/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,815.80	0.80	0.00	0.80	0.0	0.80
07/05/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	69,952.44	11.66	0.00	11.66	0.0	11.66
07/17/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.61	0.00	1.61	0.0	1.61
07/17/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.09	0.00	0.09	0.0	0.09
07/17/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.61	0.00	2.61	0.0	2.61

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: DANIEL COLLINS #106</u>								
07/17/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.07	0.00	0.07	0.0	0.07
07/17/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.85	0.00	1.85	0.0	1.85
07/17/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	1.92	0.00	1.92	0.0	1.92
* DANIEL COLLINS SUBTOTAL *			\$75,318.24	\$48.13	\$0.00	\$48.13		\$48.13
<u>BROKER: G118 GRECO #110</u>								
07/20/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
07/20/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
* G118 GRECO SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u>BROKER: JAMES KOESTER #117</u>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
* JAMES KOESTER SUBTOTAL *			\$0.00	\$0.11	\$0.00	\$0.11		\$0.11
<u>BROKER: ERNEST DALE ANDERSON #119</u>								
07/05/2017	VAN BAAL, RUDOLF	AGI BEST STYLES INTRNTL EQ PORT MI-	0.00	1.63	0.00	1.63	0.0	1.63
07/05/2017	VAN BAAL, RUDOLF	AGI BEST STYLES INTRNTL EQ PORT MI-	0.00	0.29	0.00	0.29	0.0	0.29
07/05/2017	VAN BAAL, RUDOLF	AGI BEST STYLES INTRNTL EQ PORT MI-	0.00	0.39	0.00	0.39	0.0	0.39
07/05/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	0.00	1.74	0.00	1.74	0.0	1.74
07/05/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	0.00	0.39	0.00	0.39	0.0	0.39
07/05/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	0.00	0.40	0.00	0.40	0.0	0.40
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	0.00	1.66	0.00	1.66	0.0	1.66
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	0.00	0.31	0.00	0.31	0.0	0.31
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	0.00	0.31	0.00	0.31	0.0	0.31
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	0.00	1.79	0.00	1.79	0.0	1.79
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	0.00	0.36	0.00	0.36	0.0	0.36
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	0.00	0.40	0.00	0.40	0.0	0.40
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	0.00	1.79	0.00	1.79	0.0	1.79
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	0.00	0.41	0.00	0.41	0.0	0.41
07/05/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	0.00	0.47	0.00	0.47	0.0	0.47
* ERNEST DALE ANDERSON SUBTOTAL *			\$0.00	\$12.34	\$0.00	\$12.34		\$12.34
<u>BROKER: JAY MCCLELLAN #120</u>								
07/06/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.87	0.00	2.87	0.0	2.87
06/30/2017	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.53	0.00	2.53	0.0	2.53

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAY MCCLELLAN #120 </u>								
06/30/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	0.00	6.72	0.00	6.72	0.0	6.72
06/30/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	0.00	11.89	0.00	11.89	0.0	11.89
07/01/2017	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.41	0.00	5.41	0.0	5.41
07/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	21.06	0.00	21.06	0.0	21.06
07/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	18.86	0.00	18.86	0.0	18.86
07/01/2017	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	17.01	0.00	17.01	0.0	17.01
07/01/2017	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	17.08	0.00	17.08	0.0	17.08
07/01/2017	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.13	0.00	1.13	0.0	1.13
07/01/2017	PETKERVICH TRUST, MARY	INVESCO MIDCAP GROWTH A	0.00	1.11	0.00	1.11	0.0	1.11
07/01/2017	PETKERVICH TRUST, MARY	INVESCO SMALL CAP GROWTH FUND-INV C	0.00	0.21	0.00	0.21	0.0	0.21
07/01/2017	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.61	0.00	0.61	0.0	0.61
07/10/2017	CRABTREE, GARY	METLIFE	6,438.10	1.34	0.00	1.34	0.0	1.34
07/10/2017	CRABTREE, GARY	METLIFE	7,409.65	1.54	0.00	1.54	0.0	1.54
07/10/2017	CRABTREE, GARY	METLIFE	35,861.22	7.46	0.00	7.46	0.0	7.46
07/10/2017	CRABTREE, GARY	METLIFE	8,314.29	1.73	0.00	1.73	0.0	1.73
07/10/2017	CRABTREE, GARY	METLIFE	7,728.61	1.61	0.00	1.61	0.0	1.61
07/10/2017	CRABTREE, GARY	METLIFE	11,186.09	2.33	0.00	2.33	0.0	2.33
06/30/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.36	0.00	4.36	0.0	4.36
 * JAY MCCLELLAN SUBTOTAL * 			\$76,937.96	\$126.86	\$0.00	\$126.86		\$126.86
<u> BROKER: TIMOTHY KULHANEK #145 </u>								
07/10/2017	GOVE, VARIOUS	AMERITAS MEDLEY	25,435.00	15.90	0.00	15.90	0.0	15.90
07/05/2017	BEBENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	150.00	7.13	0.00	7.13	0.0	7.13
 * TIMOTHY KULHANEK SUBTOTAL * 			\$25,585.00	\$23.03	\$0.00	\$23.03		\$23.03
<u> BROKER: CHARLIE ARRINGTON #174 </u>								
07/01/2017	MURASZENSKI, LAWRENCE	INVESCO AMERICAN VALUE A	0.00	9.21	0.00	9.21	0.0	9.21
07/01/2017	MATHEIS LIV TRUST, BERNICE	INVESCO ENERGY FUND A	0.00	2.42	0.00	2.42	0.0	2.42
07/01/2017	MURASZENSKI, LAWRENCE	INVESCO ENERGY FUND A	0.00	5.22	0.00	5.22	0.0	5.22
07/01/2017	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL ALLOCATION A	0.00	3.51	0.00	3.51	0.0	3.51
07/01/2017	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL TOTAL RETURN	0.00	2.94	0.00	2.94	0.0	2.94
07/01/2017	MURASZENSKI, LAWRENCE	INVESCO US GOVT A	0.00	8.72	0.00	8.72	0.0	8.72
 * CHARLIE ARRINGTON SUBTOTAL * 			\$0.00	\$32.02	\$0.00	\$32.02		\$32.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE CARNICK #175</u>								
07/03/2017	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	0.00	85.12	0.00	85.12	0.0	85.12
07/03/2017	WOLAK, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	47.40	0.00	47.40	0.0	47.40
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$132.52	\$0.00	\$132.52		\$132.52
<u>BROKER: DAVID KOTZ #179</u>								
06/30/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	14.72	0.00	14.72	0.0	14.72
06/30/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	14.72	0.00	14.72	0.0	14.72
* DAVID KOTZ SUBTOTAL *			\$0.00	\$29.44	\$0.00	\$29.44		\$29.44
<u>BROKER: MICHELE BOYER #189</u>								
06/30/2017	MEDIGER, KYLE	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	29.92	0.00	29.92	0.0	29.92
07/01/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	0.00	7.42	0.00	7.42	0.0	7.42
07/01/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	0.00	3.53	0.00	3.53	0.0	3.53
07/01/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	0.00	2.57	0.00	2.57	0.0	2.57
07/01/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	0.00	28.41	0.00	28.41	0.0	28.41
07/01/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	0.00	20.48	0.00	20.48	0.0	20.48
07/01/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	0.00	7.55	0.00	7.55	0.0	7.55
07/01/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	0.00	9.17	0.00	9.17	0.0	9.17
07/01/2017	KINNEY, THOMAS	INVESCO CASH RESERVE SHARES	0.00	5.89	0.00	5.89	0.0	5.89
07/01/2017	HAWKINS, DAMON	INVESCO CASH RESERVE SHARES	0.00	0.61	0.00	0.61	0.0	0.61
07/01/2017	NOEL, ANTHONY	INVESCO CASH RESERVE SHARES	0.00	0.28	0.00	0.28	0.0	0.28
07/01/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	0.00	24.49	0.00	24.49	0.0	24.49
07/01/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	0.00	3.34	0.00	3.34	0.0	3.34
07/01/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	0.00	2.45	0.00	2.45	0.0	2.45
07/01/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	0.00	6.69	0.00	6.69	0.0	6.69
07/01/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	0.00	43.35	0.00	43.35	0.0	43.35
07/01/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	0.00	2.09	0.00	2.09	0.0	2.09
07/01/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	0.00	1.58	0.00	1.58	0.0	1.58
07/01/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	0.00	5.20	0.00	5.20	0.0	5.20
07/01/2017	EVERY, CHARLES	INVESCO EQUITY AND INCOME C	0.00	156.80	0.00	156.80	0.0	156.80
07/01/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	0.00	299.50	0.00	299.50	0.0	299.50
07/01/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	0.00	2.23	0.00	2.23	0.0	2.23
07/01/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	0.00	8.08	0.00	8.08	0.0	8.08
07/01/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	0.00	3.69	0.00	3.69	0.0	3.69

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MICHELE BOYER #189</u>								
07/01/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	0.00	33.65	0.00	33.65	0.0	33.65
07/01/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	0.00	6.90	0.00	6.90	0.0	6.90
07/01/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	0.00	49.88	0.00	49.88	0.0	49.88
07/01/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	0.00	4.13	0.00	4.13	0.0	4.13
07/01/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	0.00	1.36	0.00	1.36	0.0	1.36
07/01/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	0.00	31.20	0.00	31.20	0.0	31.20
07/01/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	0.00	7.79	0.00	7.79	0.0	7.79
07/01/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	0.00	3.71	0.00	3.71	0.0	3.71
07/01/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	0.00	1.48	0.00	1.48	0.0	1.48
07/01/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	0.00	34.11	0.00	34.11	0.0	34.11
07/01/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	0.00	6.30	0.00	6.30	0.0	6.30
07/01/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	0.00	7.20	0.00	7.20	0.0	7.20
07/01/2017	BARTELS, CHRIS	INVESCO MONEY MARKET C	0.00	1.28	0.00	1.28	0.0	1.28
07/01/2017	KINNEY, THOMAS	INVESCO MONEY MARKET FUND CLASS B	0.00	1.73	0.00	1.73	0.0	1.73
07/01/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	0.00	8.39	0.00	8.39	0.0	8.39
07/01/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	0.00	33.12	0.00	33.12	0.0	33.12
07/01/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	0.00	3.04	0.00	3.04	0.0	3.04
07/01/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	0.00	5.34	0.00	5.34	0.0	5.34
07/01/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	0.00	3.15	0.00	3.15	0.0	3.15
07/01/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	0.00	2.59	0.00	2.59	0.0	2.59
07/01/2017	HAWKINS, DAMON	INVESCO UTILITIES A	0.00	3.05	0.00	3.05	0.0	3.05
07/01/2017	NOEL, ANTHONY	INVESCO UTILITIES A	0.00	2.50	0.00	2.50	0.0	2.50
07/03/2017	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.68	0.00	3.68	0.0	3.68
07/03/2017	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.41	0.00	8.41	0.0	8.41
* MICHELE BOYER SUBTOTAL *			\$0.00	\$939.31	\$0.00	\$939.31		\$939.31
<u>BROKER: MICHAEL PALMISANO #196</u>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.86	0.00	0.86	0.0	0.86
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.89	0.00	0.89	0.0	0.89
07/01/2017	STEGEER, GENE	INVESCO AMER VAL 00143M828	0.00	8.23	0.00	8.23	0.0	8.23
07/01/2017	STEGEER, GENE	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	9.39	0.00	9.39	0.0	9.39
07/01/2017	STEGEER, GENE	INVESCO EQUITY AND INCOME C	0.00	9.21	0.00	9.21	0.0	9.21
07/01/2017	STEGEER, GENE	INVESCO REAL ESTATE FUND CL C	0.00	2.07	0.00	2.07	0.0	2.07
07/01/2017	STEGEER, GENE	INVESCO TECHNOLOGY C	0.00	7.20	0.00	7.20	0.0	7.20

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHAEL PALMISANO #196</u>								
07/01/2017	STEGE, GENE	INVESCO VALUE OPPORTUNITIES FUND CL	0.00	8.74	0.00	8.74	0.0	8.74
		* MICHAEL PALMISANO SUBTOTAL *	\$0.00	\$46.59	\$0.00	\$46.59		\$46.59
<u>BROKER: RICHARD CODY #197</u>								
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS A	15,000.00	0.00	-9.00	9.00	0.0	9.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS A	-15,000.00	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	14,000.00	0.00	-9.00	9.00	0.0	9.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-14,000.00	0.00	27.00	-27.00	0.0	-27.00
		* RICHARD CODY SUBTOTAL *	\$0.00	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>BROKER: MICHELE/BRIAN BOYER/BOOTS #216</u>								
07/01/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	0.00	416.59	0.00	416.59	0.0	416.59
07/01/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	0.00	167.60	0.00	167.60	0.0	167.60
		* MICHELE/BRIAN BOYER/BOOTS SUBTOTAL *	\$0.00	\$584.19	\$0.00	\$584.19		\$584.19
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
07/06/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.36	0.00	8.36	0.0	8.36
07/06/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.45	0.00	7.45	0.0	7.45
07/03/2017	AGEE, SAMUEL	PIMCO REAL RETURN A	0.00	0.56	0.00	0.56	0.0	0.56
07/03/2017	AGEE, SAMUEL	PIMCO REAL RETURN C	0.00	0.06	0.00	0.06	0.0	0.06
07/03/2017	AGEE, SAMUEL	PIMCO REAL RETURN C	0.00	0.11	0.00	0.11	0.0	0.11
07/14/2017	TANNER, NORMA	TIER REIT INC COM NEW	-10,374.84	22.00	22.85	-0.85	0.0	-0.85
		* MEREDITH MCCUTCHEN SUBTOTAL *	\$-10,374.84	\$38.54	\$22.85	\$15.69		\$15.69
<u>BROKER: WILLIAM THOMAS #239</u>								
07/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
07/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
07/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
		* WILLIAM THOMAS SUBTOTAL *	\$650.00	\$13.00	\$0.00	\$13.00		\$13.00
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
07/17/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.50
07/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
07/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
07/17/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
07/01/2017	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.49	0.00	4.49	0.0	4.49

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
07/01/2017	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.45	0.00	2.45	0.0	2.45
07/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
07/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	4.26
07/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
07/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
07/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
07/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
07/06/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
07/18/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$2,373.78	\$36.00	\$0.00	\$36.00		\$36.00
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
07/12/2017	FOELL, DIANA	ING RELIASTAR	0.00	35.59	0.00	35.59	0.0	3.56
07/13/2017	LUCHSIN-NEVIN, VARIOUS	PACIFIC LIFE FUNDS	0.00	329.55	0.00	329.55	0.0	32.96
07/14/2017	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	0.00	492.31	0.00	492.31	0.0	49.23
07/13/2017	CAPASSO, LAURA	PAC LIFE PORT OPTIMIZATION AGG	5,500.00	220.00	0.00	220.00	0.0	22.00
07/14/2017	CAPASSO, CRISTINA	PAC LIFE PORT OPTIMIZATION AGG	3,500.00	140.00	0.00	140.00	0.0	14.00
07/10/2017	WESTRENNEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.29	0.00	1.29	0.0	0.13
07/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.17	0.00	11.17	0.0	1.12
07/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.60	0.00	3.60	0.0	0.36
07/10/2017	WESTRENNEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.33	0.00	1.33	0.0	0.13
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$9,000.00	\$1,234.84	\$0.00	\$1,234.84		\$123.49
*** OVERRIDE TRANSACTIONS TOTAL ***			\$229,238.98	\$5,546.46	\$81.35	\$5,465.11		\$4,353.76

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,651.97
Split Transactions	\$0.00
Override Transactions	\$4,353.76
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,005.73
Year-to-date Gross	\$53,513.61
Year-to-date Earnings	\$140,631.91