



# COMMISSION STATEMENT

## May 28, 2018

LOUIS HORWIN  
7670 E La Junta Rd  
Scottsdale, AZ 85255

BROKER #: A92  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/22/2018	***-**-03TR - STANTON ACCOUNT #: LSR011523	CALAMOS GLOBAL DYNAMIC INCOME FD SELL 467 Units @9.1740	4,284.26	100.00	24.34	75.66	50.0	37.83
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 10 Units @14.7000	147.00	1.47	15.20	-13.73	100.0	-13.73
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 900 Units @14.7000	13,230.00	132.63	18.00	114.63	50.0	57.32
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 100 Units @14.7000	1,470.00	14.74	2.00	12.74	50.0	6.37
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 100 Units @14.7000	1,470.00	14.74	2.00	12.74	50.0	6.37
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 66 Units @14.7000	970.20	9.73	1.32	8.41	50.0	4.21
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 100 Units @14.7000	1,470.00	14.74	2.00	12.74	50.0	6.37
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	CORNERSTONE TOTAL RTRN FD INC COM P BUY 529 Units @14.7000	7,776.30	77.96	10.58	67.38	50.0	33.69
05/21/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	GUGGENHEIM STRATEGIC OPPORTUNITIES SELL 1238 Units @21.2250	26,276.55	262.00	39.76	222.24	50.0	111.12
05/22/2018	***-**-03TR - STANTON ACCOUNT #: LSR011523	PFIZER INC UNSOLICITED ORDER SELL 14 Units @35.9040	502.66	52.00	15.28	36.72	50.0	18.36
05/22/2018	***-**-03TR - STANTON ACCOUNT #: LSR011523	VIRTUS GLOBAL MULTI-SECTOR INCM FD SELL 27 Units @14.6540	395.66	38.00	15.54	22.46	50.0	11.23
05/22/2018	***-**-03TR - STANTON ACCOUNT #: LSR011523	VIRTUS GLOBAL MULTI-SECTOR INCM FD SELL 100 Units @14.6540	1,465.40	23.14	2.00	21.14	50.0	10.57
05/22/2018	***-**-03TR - STANTON ACCOUNT #: LSR011523	VIRTUS GLOBAL MULTI-SECTOR INCM FD SELL 68 Units @14.6700	997.56	13.23	1.36	11.87	50.0	5.94
05/22/2018	***-**-03TR - STANTON ACCOUNT #: LSR011523	VIRTUS GLOBAL MULTI-SECTOR INCM FD SELL 100 Units @14.6540	1,465.40	9.67	2.00	7.67	50.0	3.84
* STOCKS SUBTOTAL *			<b>\$61,920.99</b>	<b>\$764.05</b>	<b>\$151.38</b>	<b>\$612.67</b>		<b>\$299.49</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$61,920.99</b>	<b>\$764.05</b>	<b>\$151.38</b>	<b>\$612.67</b>		<b>\$299.49</b>



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
						<b><u>BROKER COMMISSION TOTALS</u></b>			
						Broker Transactions		\$299.49	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$54.92	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$354.41	
						Year-to-date Earnings		\$3,375.31	