

COMMISSION STATEMENT

March 31, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: 7
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/27/2018	QGV001100	AND EDWARD FRENCH		ARENA PHARMACEUTICALS INC COMMON ST S	-7,414.00	73.00	0.00	73.00	40.0	29.20
02/27/2018	QGV001100	AND EDWARD FRENCH		SNAP INC CLASS A COMMON STOCK S	-6,737.08	73.00	0.00	73.00	40.0	29.20
03/01/2018	QGV001100	AND EDWARD FRENCH		CANADA GOOSE HLDGS INC COM SHS SUB S	-21,720.09	73.00	0.00	73.00	40.0	29.20
03/01/2018	QGV001100	AND EDWARD FRENCH		OVERSTOCK COM INC DEL COM S	-5,560.05	73.00	0.00	73.00	40.0	29.20
03/06/2018	QGV001100	AND EDWARD FRENCH		CANNAROYALTY CORP COM ISIN# CA13764 B	3,040.00	73.00	0.00	73.00	40.0	29.20
03/06/2018	QGV001100	AND EDWARD FRENCH		EMERALD HEALTH THERAPEUTICS INC COM B	4,779.00	73.00	0.00	73.00	40.0	29.20
03/06/2018	QGV001100	AND EDWARD FRENCH		TELADOC INC COM B	16,660.00	73.00	0.00	73.00	40.0	29.20
03/20/2018	QGV001100	AND EDWARD FRENCH		BLUEBIRD BIO INC COM B	18,635.00	73.00	0.00	73.00	40.0	29.20
03/21/2018	QGV001100	AND EDWARD FRENCH		NUTANIX INC CL A B	10,685.44	73.00	0.00	73.00	40.0	29.20
03/21/2018	QGV001100	AND EDWARD FRENCH		PROGENICS PHARMACEUTICALS INC B	8,415.70	73.00	0.00	73.00	40.0	29.20
03/22/2018	QGV001100	AND EDWARD FRENCH		ENERGIOUS CORP COM S	-11,770.15	73.00	0.00	73.00	40.0	29.20
03/22/2018	QGV001100	AND EDWARD FRENCH		MICROSOFT CORP S	-18,270.36	73.00	0.00	73.00	40.0	29.20
03/22/2018	QGV001100	AND EDWARD FRENCH		PROOFPOINT INC B	11,982.20	73.00	0.00	73.00	40.0	29.20
03/23/2018	QGV001100	AND EDWARD FRENCH		BLUEBIRD BIO INC COM S	-18,285.00	73.00	0.00	73.00	40.0	29.20
03/23/2018	QGV001100	AND EDWARD FRENCH		BOFI HOLDING INC S	-15,997.60	73.00	0.00	73.00	40.0	29.20
03/23/2018	QGV001100	AND EDWARD FRENCH		NUTANIX INC CL A S	-10,066.20	73.00	0.00	73.00	40.0	29.20
03/27/2018	QGV001100	AND EDWARD FRENCH		FLEX PHARMA INC COM B	6,995.70	73.00	0.00	73.00	40.0	29.20
03/27/2018	QGV001100	AND EDWARD FRENCH		SHOPIFY INC CL A ISIN#CA82509L1076 S	-26,196.44	73.00	0.00	73.00	40.0	29.20
03/15/2018	QGW002180	FRENCH, JOHN		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	282.00	16.78	0.00	16.78	40.0	6.71
03/15/2018	QGW002180	FRENCH, JOHN		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	423.00	25.17	0.00	25.17	40.0	10.07
03/15/2018	QGW002180	FRENCH, JOHN		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	282.00	16.78	0.00	16.78	40.0	6.71
03/15/2018	QGW002180	FRENCH, JOHN		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	211.50	12.58	0.00	12.58	40.0	5.03
03/15/2018	QGW002180	FRENCH, JOHN		KRAKEN ROBOTICS INC COM ISIN#CA5007 B	28.20	1.69	0.00	1.69	40.0	0.68
03/20/2018	QGW002404	MAHARISHI UNIVERSITY		CISCO SYS INC S	-4,967.76	75.00	0.00	75.00	40.0	30.00
03/12/2018	QGW002776	ROBERTS, JAMES		TANZANIAN ROYALTY EXPLORATION CORPO B	1,734.50	75.00	0.00	75.00	40.0	30.00
03/26/2018	QGW002792	MAHARISHI UNIVERSITY		ISHARES TR MSCI KLD 400 SOCIAL ETF S	-48,466.50	75.00	0.00	75.00	40.0	30.00
03/01/2018	QGW002925	BATHRICK, CHARLES		HTG MOLECULAR DIAGNOSTICS INC COM B	2,171.00	75.00	0.00	75.00	40.0	30.00
03/07/2018	QGW002925	BATHRICK, CHARLES		ARRAY BIOPHARMA INC B	3,680.74	75.00	0.00	75.00	40.0	30.00
03/14/2018	QGW002925	BATHRICK, CHARLES		APPLIED MATERIALS INC B	11,986.00	75.00	0.00	75.00	40.0	30.00
03/14/2018	QGW002925	BATHRICK, CHARLES		STEEL DYNAMICS INC COM B	9,450.90	75.00	0.00	75.00	40.0	30.00
03/16/2018	QGW002925	BATHRICK, CHARLES		EDITAS MEDICINE INC COM B	7,887.84	75.00	0.00	75.00	40.0	30.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/21/2018	QGW002925	BATHRICK, CHARLES	ADAPTIMMUNE THERAPEUTICS PLC SPONSO	B	5,110.00	75.00	0.00	75.00	40.0	30.00
03/22/2018	QGW002925	BATHRICK, CHARLES	ARRAY BIOPHARMA INC	S	-3,438.00	75.00	0.00	75.00	40.0	30.00
03/22/2018	QGW002925	BATHRICK, CHARLES	PROGENICS PHARMACEUTICALS INC	B	4,258.70	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	ADAPTIMMUNE THERAPEUTICS PLC SPONSO	S	-5,695.25	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	APPLIED MATERIALS INC	S	-11,359.00	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	HTG MOLECULAR DIAGNOSTICS INC COM	S	-1,952.40	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	MICROSOFT CORP	S	-9,183.34	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	PROGENICS PHARMACEUTICALS INC	S	-3,636.90	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	PROSHARES TRUST PROSHARES ULTRASHOR	B	12,106.50	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	PROSHARES ULTRASHORT QQQ	B	3,479.19	75.00	0.00	75.00	40.0	30.00
03/27/2018	QGW002925	BATHRICK, CHARLES	STEEL DYNAMICS INC COM	S	-8,829.10	75.00	0.00	75.00	40.0	30.00
02/27/2018	QGW002974	CAIN, ANTHONY	EXXON MOBIL CORPORATION	S	-78,920.00	250.00	0.00	250.00	40.0	100.00
02/27/2018	QGW002974	CAIN, ANTHONY	GENESCO INC COM	B	12,030.00	75.00	0.00	75.00	40.0	30.00
02/27/2018	QGW002974	CAIN, ANTHONY	RAYTHEON CO	S	-110,896.20	200.00	0.00	200.00	40.0	80.00
02/28/2018	QGW002974	CAIN, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED	B	37,434.14	75.00	0.00	75.00	40.0	30.00
03/01/2018	QGW002974	CAIN, ANTHONY	RAYTHEON CO	B	84,948.44	200.00	0.00	200.00	40.0	80.00
03/02/2018	QGW002974	CAIN, ANTHONY	CYTOSORBENTS CORP COM NEW	S	-15,754.00	150.00	0.00	150.00	40.0	60.00
03/02/2018	QGW002974	CAIN, ANTHONY	GENESCO INC COM	S	-50,765.00	150.00	0.00	150.00	40.0	60.00
03/02/2018	QGW002974	CAIN, ANTHONY	RAYTHEON CO	S	-85,297.20	150.00	0.00	150.00	40.0	60.00
03/05/2018	QGW002974	CAIN, ANTHONY	ARRAY BIOPHARMA INC	B	18,126.20	150.00	0.00	150.00	40.0	60.00
03/05/2018	QGW002974	CAIN, ANTHONY	BOJANGLES INC COM	B	13,888.00	150.00	0.00	150.00	40.0	60.00
03/05/2018	QGW002974	CAIN, ANTHONY	DUN & BRADSTREET CORP DEL NEW COM	B	64,255.00	250.00	0.00	250.00	40.0	100.00
03/05/2018	QGW002974	CAIN, ANTHONY	QUDIAN INC ADR ISIN# US7477981069	B	15,110.00	150.00	0.00	150.00	40.0	60.00
03/06/2018	QGW002974	CAIN, ANTHONY	ARRAY BIOPHARMA INC	B	18,017.80	150.00	0.00	150.00	40.0	60.00
03/06/2018	QGW002974	CAIN, ANTHONY	BOJANGLES INC COM	S	-12,800.00	150.00	0.00	150.00	40.0	60.00
03/06/2018	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 B	B	59,943.45	250.00	0.00	250.00	40.0	100.00
03/08/2018	QGW002974	CAIN, ANTHONY	QUDIAN INC ADR ISIN# US7477981069	S	-16,766.60	150.00	0.00	150.00	40.0	60.00
03/08/2018	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 B	B	122,539.60	250.00	0.00	250.00	40.0	100.00
03/08/2018	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 S	S	-67,580.20	250.00	0.00	250.00	40.0	100.00
03/12/2018	QGW002974	CAIN, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED	S	-193,331.20	250.00	0.00	250.00	40.0	100.00
03/12/2018	QGW002974	CAIN, ANTHONY	SUNTRUST BANKS INC	S	-72,715.00	250.00	0.00	250.00	40.0	100.00
03/12/2018	QGW002974	CAIN, ANTHONY	WEIBO CORP SPONS ADR ISIN#US9485961 B	B	131,523.10	250.00	0.00	250.00	40.0	100.00
03/13/2018	QGW002974	CAIN, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED	B	132,270.11	250.00	0.00	250.00	40.0	100.00
03/13/2018	QGW002974	CAIN, ANTHONY	DUN & BRADSTREET CORP DEL NEW COM	S	-65,052.05	175.00	0.00	175.00	40.0	70.00
03/14/2018	QGW002974	CAIN, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED	S	-133,896.07	250.00	0.00	250.00	40.0	100.00
03/14/2018	QGW002974	CAIN, ANTHONY	WEIBO CORP SPONS ADR ISIN#US9485961 S	S	-132,500.00	200.00	0.00	200.00	40.0	80.00
03/15/2018	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE	B	59,740.00	250.00	0.00	250.00	40.0	100.00
03/19/2018	QGW002974	CAIN, ANTHONY	ARRAY BIOPHARMA INC	S	-32,264.80	150.00	0.00	150.00	40.0	60.00
03/19/2018	QGW002974	CAIN, ANTHONY	EXXON MOBIL CORPORATION	B	74,596.90	250.00	0.00	250.00	40.0	100.00
03/20/2018	QGW002974	CAIN, ANTHONY	EXXON MOBIL CORPORATION	S	-74,083.20	150.00	0.00	150.00	40.0	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/20/2018	QGW002974	CAIN, ANTHONY	JD.COM INC SPONSORED ADR REPSTG COM S	-43,955.00	150.00	0.00	150.00	40.0	60.00
03/20/2018	QGW002974	CAIN, ANTHONY	JD.COM INC SPONSORED ADR REPSTG COM B	44,137.10	150.00	0.00	150.00	40.0	60.00
03/20/2018	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE S	-59,800.00	150.00	0.00	150.00	40.0	60.00
03/20/2018	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 S	-125,133.70	250.00	0.00	250.00	40.0	100.00
03/21/2018	QGW002974	CAIN, ANTHONY	BLUEBIRD BIO INC COM B	37,110.00	150.00	0.00	150.00	40.0	60.00
03/21/2018	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE B	58,060.00	200.00	0.00	200.00	40.0	80.00
03/23/2018	QGW002974	CAIN, ANTHONY	BLUEBIRD BIO INC COM S	-36,090.00	150.00	0.00	150.00	40.0	60.00
03/26/2018	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE B	54,580.20	200.00	0.00	200.00	40.0	80.00
03/26/2018	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 B	119,136.40	250.00	0.00	250.00	40.0	100.00
03/27/2018	QGW002974	CAIN, ANTHONY	PROSHARES TRUST PROSHARES ULTRASHOR B	40,180.00	150.00	0.00	150.00	40.0	60.00
03/27/2018	QGW002974	CAIN, ANTHONY	TENCENT HOLDINGS LIMITED UNSPONSORE S	-107,958.00	200.00	0.00	200.00	40.0	80.00
03/27/2018	QGW002974	CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 S	-114,869.00	150.00	0.00	150.00	40.0	60.00
03/20/2018	QGW003345	COOKE, ROBERT	DIREXION SHARES ETF TRUST DIREXION S	-33,186.90	75.00	0.00	75.00	40.0	30.00
03/23/2018	QGW003386	RF LAFFERTY & CO	AMAZON.COM INC S	-15,430.00	200.00	0.00	200.00	40.0	80.00
02/28/2018	QGW004392	VALENTINE, OLIVIA	HUNTINGTON INGALLS INDUSTRIES INC S	-66,005.50	150.00	0.00	150.00	40.0	60.00
02/28/2018	QGW004392	VALENTINE, OLIVIA	LOCKHEED MARTIN CORP S	-176,921.05	200.00	0.00	200.00	40.0	80.00
03/05/2018	QGW004392	VALENTINE, OLIVIA	NUCOR CORP B	34,405.00	150.00	0.00	150.00	40.0	60.00
03/06/2018	QGW004392	VALENTINE, OLIVIA	RELiance STEEL & ALUMINUM CO B	46,667.15	150.00	0.00	150.00	40.0	60.00
03/20/2018	QGW004392	VALENTINE, OLIVIA	NUCOR CORP S	-32,201.70	150.00	0.00	150.00	40.0	60.00
03/20/2018	QGW004392	VALENTINE, OLIVIA	RELiance STEEL & ALUMINUM CO S	-43,856.40	150.00	0.00	150.00	40.0	60.00
03/07/2018	QGW004665	JOSEPH FRY	COMPANHIA ENERGETICA DE MINAS GERAIS B	24,600.00	200.00	0.00	200.00	40.0	80.00
03/19/2018	QGW004665	JOSEPH FRY	URANIUM ENERGY CORP B	13,200.00	150.00	0.00	150.00	40.0	60.00
02/28/2018	QGW021990	STEINMETZ, GEORGE	MCEWEN MINING INC S	-7,889.60	60.00	0.00	60.00	40.0	24.00
03/01/2018	QGW021990	STEINMETZ, GEORGE	VANECK VECTORS ETF TR GOLD MINERS E S	-21,153.30	60.00	0.00	60.00	40.0	24.00
03/02/2018	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND S	-48,988.89	60.00	0.00	60.00	40.0	24.00
03/07/2018	QGW021990	STEINMETZ, GEORGE	SOUTHERN COPPER CORPORATION S	-16,320.06	60.00	0.00	60.00	40.0	24.00
03/09/2018	QGW021990	STEINMETZ, GEORGE	CITIGROUP INC COM B	18,991.88	60.00	0.00	60.00	40.0	24.00
03/09/2018	QGW021990	STEINMETZ, GEORGE	THOR INDS INC B	18,108.86	60.00	0.00	60.00	40.0	24.00
03/21/2018	QGW021990	STEINMETZ, GEORGE	AVAYA HLDGS CORP COM B	15,047.50	60.00	0.00	60.00	40.0	24.00
03/22/2018	QGW021990	STEINMETZ, GEORGE	CITIGROUP INC COM S	-17,672.73	60.00	0.00	60.00	40.0	24.00
03/23/2018	QGW021990	STEINMETZ, GEORGE	WALT DISNEY CO S	-14,865.24	60.00	0.00	60.00	40.0	24.00
03/27/2018	QGW021990	STEINMETZ, GEORGE	THOR INDS INC S	-17,011.67	60.00	0.00	60.00	40.0	24.00
03/12/2018	QGW023137	ROBERTS, JAMES	TANZANIAN ROYALTY EXPLORATION CORPO B	204.00	23.07	0.00	23.07	40.0	9.23
03/13/2018	QGW023137	ROBERTS, JAMES	TANZANIAN ROYALTY EXPLORATION CORPO B	187.00	25.00	0.00	25.00	40.0	10.00
* STOCKS SUBTOTAL *				\$-868,152.54	\$12,760.07	\$0.00	\$12,760.07		\$5,104.03
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/21/2018	QGW004665	JOSEPH FRY	PUT 100 TEVA PHARMACEUTICAL INDUSTR B	3,660.00	75.00	0.00	75.00	40.0	30.00
* OPTIONS SUBTOTAL *				\$3,660.00	\$75.00	\$0.00	\$75.00		\$30.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018		FEE COUNT - 4		0.00	13.42	0.00	13.42	40.0	5.37
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$13.42	\$0.00	\$13.42		\$5.37
		*** BROKER TRANSACTIONS TOTAL ***		\$-864,492.54	\$12,848.49	\$0.00	\$12,848.49		\$5,139.40

ADJUSTMENTS

03/31/2018		LICENSING FEE: LICENSING FEE - MA		0.00	0.00	0.00	0.00		-75.00
03/31/2018		LICENSING FEE: LICENSING FEE- CO		0.00	0.00	0.00	0.00		-18.00
03/31/2018		COMMISSION INCOME: COMMISSION INCOM		0.00	0.00	0.00	0.00		-40.00
03/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-153.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,139.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-153.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.06
SIPC Assessment	\$-32.12

Check Amount	\$4,938.22
Year-to-date Earnings	\$22,785.85