

## COMMISSION STATEMENT

June 30, 2018

LAWRENCE HORN

301 E 47TH STREET

APT 16J

NEW YORK, NY 10017

BROKER #: I 20 I75 K17 I21 I 2

BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

06/19/2018	QGV006240 GS ARBITRAGE	OCLARO INC COM NEW	S	-87,266.00	300.00	10.00	290.00	50.0	145.00
* STOCKS SUBTOTAL *				\$-87,266.00	\$300.00	\$10.00	\$290.00		\$145.00

PRODUCT CATEGORY: MISCELLANEOUS

06/13/2018	VARIOUS, HORN	FIDELITY	B	0.00	713.12	0.00	713.12	50.0	356.56
06/13/2018	VARIOUS, HORN	RESEARCH INCOME	B	0.00	650.00	0.00	650.00	50.0	325.00
06/26/2018	VARIOUS, HORN	IMPALA ASSET MANAGEMENT	B	0.00	25,000.00	0.00	25,000.00	50.0	12,500.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$26,363.12	\$0.00	\$26,363.12		\$13,181.56
*** BROKER TRANSACTIONS TOTAL ***				\$-87,266.00	\$26,663.12	\$10.00	\$26,653.12		\$13,326.56

ADJUSTMENTS

06/30/2018	OTHER: BLOOMBERG			0.00	0.00	0.00	0.00		-1,050.00
06/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
06/30/2018	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,075.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,326.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,075.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-107.54
FINRA Assessment	\$-0.38
SIPC Assessment	\$-0.75

<b>Check Amount</b>	<b>\$12,142.89</b>
<b>Year-to-date Earnings</b>	<b>\$55,073.32</b>