



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	52.66	52.66	0.00	52.66	90.0	47.39
07/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	86.34	86.34	0.00	86.34	90.0	77.71
07/31/2018	00085208512 BURCH/STEELE, HANNAH	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
08/01/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVEST B	250.00	9.38	0.00	9.38	90.0	8.44
08/01/2018	07150213657 BLAKE/MANSFIELD, FRANCES/MORGAN	SHORT TERM BOND PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
08/01/2018	07150213657 BLAKE/MANSFIELD, FRANCES/MORGAN	SHORT TERM BOND PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				\$839.00	\$154.88	\$0.00	\$154.88		\$139.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/31/2018	TRAILS	-JOHN HANCOCK	B	526.54	526.54	0.00	526.54	90.0	473.89
08/04/2018	TRAILS	-JOHN HANCOCK	B	916.52	916.52	0.00	916.52	90.0	824.87
06/22/2018	BARNARD, ALBERT FARRELL	-SECURITY LIFE OF DENVER	B	75.00	1.50	0.00	1.50	90.0	1.35
06/30/2018	TRAILS	-SECURITY LIFE OF DENVER	B	8.52	8.52	0.00	8.52	90.0	7.67
07/23/2018	BARNARD, ALBERT FARRELL	-SECURITY LIFE OF DENVER	B	75.00	1.50	0.00	1.50	90.0	1.35
07/31/2018	TRAILS	-SECURITY LIFE OF DENVER	B	8.52	8.52	0.00	8.52	90.0	7.67
* VARIABLE ANNUITIES SUBTOTAL *				\$1,610.10	\$1,463.10	\$0.00	\$1,463.10		\$1,316.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	2.98	0.00	2.98	90.0	2.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2.98	\$0.00	\$2.98		\$2.68
*** BROKER TRANSACTIONS TOTAL ***				\$2,449.10	\$1,620.96	\$0.00	\$1,620.96		\$1,458.87

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

SPLIT TRANSACTIONS

BROKER: JOINT ASHLEY/ASHLEY #8030

07/31/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	14,005.86	187.90	0.00	187.90	90.0	169.11
* JOINT ASHLEY/ASHLEY SUBTOTAL *				\$14,005.86	\$187.90	\$0.00	\$187.90		\$169.11
*** SPLIT TRANSACTIONS TOTAL ***				\$14,005.86	\$187.90	\$0.00	\$187.90		\$169.11

ADJUSTMENTS

08/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,458.87
Split Transactions	\$169.11
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,600.48
Year-to-date Earnings	\$55,437.36