

**COMMISSION STATEMENT****August 15, 2018**

JACK, M137 MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137 Terminated 04/18/2018
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/23/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	192.66	1.93	0.00	1.93	0.0	0.00
07/23/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	192.66	1.93	0.00	1.93	0.0	0.00
07/31/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	59.70	0.60	0.00	0.60	0.0	0.00
07/31/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	59.70	0.60	0.00	0.60	0.0	0.00
07/23/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	261.29	2.61	0.00	2.61	0.0	0.00
07/23/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	261.29	2.61	0.00	2.61	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,027.30	\$10.28	\$0.00	\$10.28		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/25/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	0.00
07/25/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/30/2018	PROKOP, MATTHEW	LINCOLN LIFE	1,800.00	45.00	0.00	45.00	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,800.00	\$45.00	\$0.00	\$45.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
07/17/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.28	0.00	0.28	0.0	0.00
07/02/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.20	0.00	15.20	0.0	0.00
07/02/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	45.59	0.00	45.59	0.0	0.00
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.52	0.00	8.52	0.0	0.00
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.56	0.00	25.56	0.0	0.00
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.40	0.00	2.40	0.0	0.00
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.19	0.00	7.19	0.0	0.00
07/16/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.81	0.00	14.81	0.0	0.00
07/16/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.43	0.00	44.43	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.54	0.00	5.54	0.0	0.00
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.61	0.00	16.61	0.0	0.00
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.56	0.00	1.56	0.0	0.00
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.67	0.00	4.67	0.0	0.00
07/12/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	5.03	0.00	5.03	0.0	0.00
07/23/2018	ATKINSON, VONDA	INVESCO INTERNATIONAL GROWTH A	0.00	39.99	0.00	39.99	0.0	0.00
07/23/2018	ATKINSON, VONDA	INVESCO MIDCAP GROWTH A	0.00	19.89	0.00	19.89	0.0	0.00
07/13/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	0.0	0.00
07/13/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.00
07/13/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.00
07/13/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	0.0	0.00
07/13/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	0.0	0.00
07/13/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.69	0.00	2.69	0.0	0.00
07/13/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.00
07/19/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	17.98	0.00	17.98	0.0	0.00
07/19/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	14.56	0.00	14.56	0.0	0.00
07/19/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.62	0.00	4.62	0.0	0.00
07/19/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.36	0.00	12.36	0.0	0.00
07/23/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.43	0.00	0.43	0.0	0.00
07/23/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.80	0.00	2.80	0.0	0.00
07/23/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.84	0.00	0.84	0.0	0.00
07/23/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.94	0.00	0.94	0.0	0.00
07/23/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	0.00	0.20	0.00	0.20	0.0	0.00
07/23/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	10.48	0.00	10.48	0.0	0.00
07/23/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.97	0.00	0.97	0.0	0.00
07/17/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	23.67	0.00	23.67	0.0	0.00
07/16/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.71	0.00	3.71	0.0	0.00
07/16/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.82	0.00	3.82	0.0	0.00
07/16/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.48	0.00	2.48	0.0	0.00
07/16/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.26	0.00	5.26	0.0	0.00
07/16/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	6.30	0.00	6.30	0.0	0.00
07/16/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	20.45	0.00	20.45	0.0	0.00
07/16/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	17.74	0.00	17.74	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/02/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.26	0.00	7.26	0.0	0.00
08/02/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.76	0.00	2.76	0.0	0.00
08/02/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.12	0.00	12.12	0.0	0.00
08/02/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	6.00	0.00	6.00	0.0	0.00
08/02/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.60	0.00	0.60	0.0	0.00
08/02/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	0.0	0.00
08/02/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	0.00	0.47	0.00	0.47	0.0	0.00
07/16/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	31.77	0.00	31.77	0.0	0.00
08/02/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.21	0.00	5.21	0.0	0.00
08/02/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.33	0.00	1.33	0.0	0.00
08/02/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.41	0.00	2.41	0.0	0.00
08/02/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	11.57	0.00	11.57	0.0	0.00
08/02/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	8.10	0.00	8.10	0.0	0.00
08/02/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	5.36	0.00	5.36	0.0	0.00
07/16/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.81	0.00	0.81	0.0	0.00
07/16/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.76	0.00	0.76	0.0	0.00
07/16/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.50	0.00	0.50	0.0	0.00
07/16/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	0.0	0.00
07/16/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.66	0.00	1.66	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$511.18	\$0.00	\$511.18		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	0.00	181.61	0.00	181.61	0.0	0.00
07/31/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	0.00	77.01	0.00	77.01	0.0	0.00
07/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	127.08	0.00	127.08	0.0	0.00
07/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	28.45	0.00	28.45	0.0	0.00
07/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	52.82	0.00	52.82	0.0	0.00
07/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	31.57	0.00	31.57	0.0	0.00
07/31/2018	HART, JILLANE	JNL PERSPECTIVE L	0.00	86.70	0.00	86.70	0.0	0.00
07/31/2018	HART, KEVIN	JNL PERSPECTIVE L	0.00	256.12	0.00	256.12	0.0	0.00
07/31/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	0.00	225.59	0.00	225.59	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,066.95	\$0.00	\$1,066.95		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$2,927.30	\$1,638.41	\$0.00	\$1,638.41		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$94,661.17
Year-to-date Earnings	\$28,317.30