



# COMMISSION STATEMENT

April 23, 2018

DHRUV GUPTA  
284 Digital Drive  
Morgan Hill, CA 95037

BROKER #: A61 Terminated 01/24/2017  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT | INVESTMENT                      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION                |
|--|--------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|-------------------------------------|
| <b><u>ADJUSTMENTS</u></b>  |        |                                 |                      |                     |                    |                   |      |                                     |
| / /  |        | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00                 | 0.00                | 0.00               | 0.00              |      | 0.00                                |
|  |        | *** ADJUSTMENTS TOTAL ***       | <u>\$0.00</u>        | <u>\$0.00</u>       | <u>\$0.00</u>      | <u>\$0.00</u>     |      | <u>\$0.00</u>                       |
| <b><u>BROKER COMMISSION TOTALS</u></b>                                 |        |                                 |                      |                     |                    |                   |      |                                     |
|  |        |                                 |                      |                     |                    |                   |      | Broker Transactions \$0.00          |
|  |        |                                 |                      |                     |                    |                   |      | Split Transactions \$0.00           |
|  |        |                                 |                      |                     |                    |                   |      | Override Transactions \$0.00        |
|  |        |                                 |                      |                     |                    |                   |      | Adjustments \$0.00                  |
|  |        |                                 |                      |                     |                    |                   |      | Payroll Draw \$0.00                 |
|  |        |                                 |                      |                     |                    |                   |      | Base Salary \$0.00                  |
|  |        |                                 |                      |                     |                    |                   |      | Prior Period Balance \$0.00         |
|  |        |                                 |                      |                     |                    |                   |      | FINRA Assessment \$0.00             |
|  |        |                                 |                      |                     |                    |                   |      | SIPC Assessment \$0.00              |
| Please Retain for Your Records<br>THERE WILL BE NO PAYMENT THIS PERIOD |        |                                 |                      |                     |                    |                   |      |                                     |
|  |        |                                 |                      |                     |                    |                   |      | <b>Balance Forward \$0.00</b>       |
|  |        |                                 |                      |                     |                    |                   |      | <b>Year-to-date Earnings \$0.00</b> |