

# STATEMENT

## February 20, 2018

FLORINDA LEVERTON  
80 Orville Dr  
Suite 100  
Bohemia, NY 11716

BROKER #: 195  
BRANCH: LA BRANCH

### COMMISSION STATEMENT for FLORINDA LEVERTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/31/2017	#710005768	ROCCO, MICHAEL	HARTFORD MF TRAILS	0.00	1.65	95.0	0.00	1.57	1.57
12/31/2017	#710657881	MURPHY, LIAM	HARTFORD MF TRAILS	0.00	48.25	95.0	0.00	45.84	45.84
12/31/2017	#710662294	MURPHY, CAITLIN	HARTFORD MF TRAILS	0.00	47.56	95.0	0.00	45.18	45.18
12/31/2017	#711232677	GLOVER, DOROTHY	HARTFORD MF TRAILS	0.00	35.60	95.0	0.00	33.82	33.82
12/31/2017	#711238277	HOLDER, VENNA	HARTFORD MF TRAILS	0.00	3.18	95.0	0.00	3.02	3.02
12/31/2017	#711238286	HOLDER, LIONEL	HARTFORD MF TRAILS	0.00	3.78	95.0	0.00	3.59	3.59
12/31/2017	#711241397	MORGAN, JULIA	HARTFORD MF TRAILS	0.00	545.50	95.0	0.00	518.23	518.23
12/31/2017	#711261123	HOFFMAN, ROBERTA	HARTFORD MF TRAILS	0.00	85.00	95.0	0.00	80.75	80.75
12/31/2017	#711261124	HOFFMAN, ROBERTA	HARTFORD MF TRAILS	0.00	85.27	95.0	0.00	81.01	81.01
12/31/2017	#711261125	HOFFMAN, ROBERTA	HARTFORD MF TRAILS	0.00	85.19	95.0	0.00	80.93	80.93
12/31/2017	#711271111	GERING, DONALD	HARTFORD MF TRAILS	0.00	270.51	95.0	0.00	256.98	256.98
12/31/2017	#711271156	GERING, EILEEN	HARTFORD MF TRAILS	0.00	229.95	95.0	0.00	218.45	218.45
12/31/2017	#711276025	YANKWITT, HARRIET	HARTFORD MF TRAILS	0.00	75.62	95.0	0.00	71.84	71.84
12/31/2017	#711284595	O'DONNELL, DENNIS	HARTFORD MF TRAILS	0.00	138.51	95.0	0.00	131.58	131.58
12/31/2017	#711285882	NELSON, BRENDA	HARTFORD MF TRAILS	0.00	188.44	95.0	0.00	179.02	179.02
12/31/2017	#711291874	ROCCO, MICHAEL	HARTFORD MF TRAILS	0.00	135.87	95.0	0.00	129.08	129.08
12/31/2017	#711349446	LYNCH, KATHLEEN	HARTFORD MF TRAILS	0.00	164.80	95.0	0.00	156.56	156.56
12/31/2017	#711381334	LAWSHE, ANNE	HARTFORD MF TRAILS	0.00	179.93	95.0	0.00	170.93	170.93
12/31/2017	#711390808	FULLER, NATALIE	HARTFORD MF TRAILS	0.00	664.72	95.0	0.00	631.48	631.48
12/31/2017	#711404618	LAWSHE, ANNE	HARTFORD MF TRAILS	0.00	311.99	95.0	0.00	296.39	296.39
12/31/2017	#711512145	ROMANO, ROSE	HARTFORD MF TRAILS	0.00	311.50	95.0	0.00	295.93	295.93
12/31/2017	#711513018	ROMANO, ROSE	HARTFORD MF TRAILS	0.00	163.61	95.0	0.00	155.43	155.43
12/31/2017	#711551108	MULLIGAN, JOAN	HARTFORD MF TRAILS	0.00	132.89	95.0	0.00	126.25	126.25
12/31/2017	#711561786	HENNEY, EDGAR	HARTFORD MF TRAILS	0.00	358.92	95.0	0.00	340.97	340.97
12/31/2017	#711705641	BRAZEZICKE, PAUL	HARTFORD MF TRAILS	0.00	88.30	95.0	0.00	83.89	83.89
12/31/2017	#712816460	ATTANASIO, PETER	HARTFORD MF TRAILS	0.00	230.62	95.0	0.00	219.09	219.09
12/31/2017	#712829942	MURPHY, MARIE	HARTFORD MF TRAILS	0.00	182.98	95.0	0.00	173.83	173.83
01/02/2018	#000000000286904	RODRIGUEZ, FRANKLIN	NY TF INCOME FUND - CLASS	100.00	1.00	95.0	0.00	0.95	0.95

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/10/2018	#000060177830112	SFERRAZZA, FRANKLIN GROWTH FUND - CLASS A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
01/22/2018	#000011516998773	SFERRAZZA, FRANKLIN TEMPLETON FUNDS NEW YORK T	200.00	8.00	95.0	0.00	7.60	0.00	7.60
01/25/2018	#000000001564350	LANZEBARBC FRANKLIN GROWTH FUND CLASS C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$550.00</b>	<b>\$4,789.64</b>		<b>\$0.00</b>	<b>\$4,550.17</b>	<b>\$0.00</b>	<b>\$4,550.17</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
/ /	#AV001063534	MOIRANO, GINA ALLIANZ VA	50,000.00	1,750.00	95.0	0.00	1,662.50	0.00	1,662.50
/ /	#304719169	SKORUBSKI, JOSEPH AXA	161,453.19	444.00	95.0	0.00	421.80	0.00	421.80
/ /	#305655976	FOX-MURPHY, AXA	20,247.44	63.27	95.0	0.00	60.11	0.00	60.11
/ /	#305655977	MURPHY, BRIAN AXA	20,436.06	56.20	95.0	0.00	53.39	0.00	53.39
/ /	#306601793	RICKERSON, EDWARD AXA	107,386.92	295.31	95.0	0.00	280.54	0.00	280.54
/ /	#306601794	LAWSHE, ANNE AXA	51,242.30	140.92	95.0	0.00	133.87	0.00	133.87
/ /	#306602337	BOYLE, JOHN AXA	74,545.75	205.00	95.0	0.00	194.75	0.00	194.75
/ /	#306606134	ATTANASIO, PETER AXA	11,137.12	30.63	95.0	0.00	29.10	0.00	29.10
/ /	#306606998	ACKERMAN, JANE AXA	54,881.06	150.92	95.0	0.00	143.37	0.00	143.37
/ /	#306606999	DECKER, BARBARA AXA	106,125.02	291.84	95.0	0.00	277.25	0.00	277.25
/ /	#306614423	LEVERTON, WILLIAM AXA	43,288.42	135.28	95.0	0.00	128.52	0.00	128.52
/ /	#306620750	ATTANASIO, PETER AXA	11,305.87	31.09	95.0	0.00	29.54	0.00	29.54
/ /	#306628500	GURSKY, LUCINDA AXA	75,116.48	206.57	95.0	0.00	196.24	0.00	196.24
/ /	#306632660	BARRIOS, OLGA AXA	104,083.73	286.23	95.0	0.00	271.92	0.00	271.92
/ /	#306639338	PAPAGNI, JOSEPH AXA	36,682.77	100.88	95.0	0.00	95.84	0.00	95.84
/ /	#306644536	HOFFMAN, ROBERTA AXA	52,930.92	145.56	95.0	0.00	138.28	0.00	138.28
/ /	#306646767	TRAUTMANN, AXA	65,591.31	180.38	95.0	0.00	171.36	0.00	171.36
/ /	#306668829	MORGAN, JULIA AXA	34,818.89	95.75	95.0	0.00	90.96	0.00	90.96
/ /	#306671591	MARCINOWSKI, JOHN AXA	65,499.47	180.12	95.0	0.00	171.11	0.00	171.11
/ /	#307663205	MURPHY, MARIE AXA	6,555.83	18.03	95.0	0.00	17.13	0.00	17.13
/ /	#307687506	LEVERTON, AXA	80,450.14	221.24	95.0	0.00	210.18	0.00	210.18
/ /	#307696112	BRAZEZICKE, PAUL AXA	14,219.12	35.55	95.0	0.00	33.77	0.00	33.77
/ /	#308607135	SFERRAZZA, AXA	106,214.95	292.09	95.0	0.00	277.49	0.00	277.49
/ /	#308633441	MALTESE, THERESA AXA	11,501.76	28.75	95.0	0.00	27.31	0.00	27.31
/ /	#308633549	BRAZEZICKE, MARY AXA	42,722.16	106.93	95.0	0.00	101.58	0.00	101.58
/ /	#308644062	WALDEN, MILDRED AXA	26,457.91	66.14	95.0	0.00	62.83	0.00	62.83
/ /	#309050941	MULLIGAN, JOAN AXA	200,184.76	500.46	95.0	0.00	475.44	0.00	475.44
/ /	#309054986	ACKERMAN, JANE AXA	166,741.66	416.85	95.0	0.00	396.01	0.00	396.01
/ /	#310039167	MCGARRIGLE, AXA	131,541.21	328.85	95.0	0.00	312.41	0.00	312.41
/ /	#311003888	BOLDISH, AXA	20,198.19	50.50	95.0	0.00	47.98	0.00	47.98

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
/ /	#311004626 YOUNG, BERNARD	AXA	21,891.99	54.73	95.0	0.00	51.99	0.00	51.99
/ /	#311018975 LAUDATO,	AXA	20,819.91	52.05	95.0	0.00	49.45	0.00	49.45
/ /	#312055099 SFERRAZZA,	AXA	151,552.16	378.88	95.0	0.00	359.94	0.00	359.94
/ /	#313003869 LAMPERT, SYBIL	AXA	159,256.66	398.14	95.0	0.00	378.23	0.00	378.23
/ /	#313003870 LAMPERT, SYBIL	AXA	163,250.02	408.13	95.0	0.00	387.72	0.00	387.72
/ /	#0426258 BARRY, JOSEPHINE	BRIGHTOUSE FINANCIAL	0.00	9.09	95.0	0.00	8.64	0.00	8.64
/ /	#0426682 BARRY, JOSEPHINE	BRIGHTOUSE FINANCIAL	0.00	22.26	95.0	0.00	21.15	0.00	21.15
/ /	#0479772 BRAZEEZICKE, DANIEL	BRIGHTOUSE FINANCIAL	0.00	8.19	95.0	0.00	7.78	0.00	7.78
/ /	#48970 CHASE, JOAN	JOHN HANCOCK LIFE TRAILS	0.00	20.20	95.0	0.00	19.19	0.00	19.19
/ /	#49053 COLLINS, CHRISTINA	JOHN HANCOCK LIFE TRAILS	0.00	29.28	95.0	0.00	27.82	0.00	27.82
/ /	#63395 COLORE, DIANE	JOHN HANCOCK LIFE TRAILS	0.00	32.49	95.0	0.00	30.87	0.00	30.87
/ /	#70047148 RODRIGUEZ, SAMUEL	JOHN HANCOCK LIFE TRAILS	0.00	2.42	95.0	0.00	2.30	0.00	2.30
/ /	#56100871 LAUDATO, FRANK	JOHN HANCOCK LIFE TRAILS	0.00	21.87	95.0	0.00	20.78	0.00	20.78
01/02/0018	#AV001072027 ATTANASIO,	ALLIANZ VA	10,000.00	175.00	95.0	0.00	166.25	0.00	166.25
01/06/0018	#GAX25851 BOLDISH, CONSTANCE	ALLIANZ VA	0.00	220.00	95.0	0.00	209.00	0.00	209.00
01/06/0018	#AV000565283 GALGAN, ANGELA	ALLIANZ VA	0.00	227.50	95.0	0.00	216.13	0.00	216.13
01/06/0018	#AV000565296 GALGAN,	ALLIANZ VA	0.00	227.50	95.0	0.00	216.13	0.00	216.13
01/06/0018	#AV001022277 RAINIS, PHYLLIS	ALLIANZ VA	0.00	227.50	95.0	0.00	216.13	0.00	216.13
01/06/0018	#AV001022283 RAINIS, JOHN	ALLIANZ VA	0.00	227.50	95.0	0.00	216.13	0.00	216.13
12/14/2017	#7218140 LYNCH, KATHLEEN	VOYA	0.00	167.00	95.0	0.00	158.65	0.00	158.65
12/14/2017	#7218140 LYNCH, KATHLEEN	VOYA	0.00	133.00	95.0	0.00	126.35	0.00	126.35
12/19/2017	#AV000611326 TRUBERG, ROBERT	ALLIANZ NY VISION	50,000.00	1,750.00	95.0	0.00	1,662.50	0.00	1,662.50
12/19/2017	#AV000887502 TRUBERG, ROBERT	ALLIANZ NY VISION	50,000.00	1,750.00	95.0	0.00	1,662.50	0.00	1,662.50
12/21/2017	#AV000565296 GALGAN,	ALLIANZ NY VISION	36,521.16	1,278.24	95.0	0.00	1,214.33	0.00	1,214.33
12/28/2017	#607107160009664 HOFFMAN,	SFNY22	0.00	392.58	95.0	0.00	372.95	0.00	372.95
12/28/2017	#607007060013055 SFERRAZZA,	SFXNY21	0.00	209.77	95.0	0.00	199.28	0.00	199.28
12/29/2017	#AV000565296 GALGAN,	ALLIANZ VA	0.00	220.42	95.0	0.00	209.40	0.00	209.40
12/29/2017	#AV000611326 TRUBERG, ROBERT	ALLIANZ VA	0.00	588.04	95.0	0.00	558.64	0.00	558.64
12/29/2017	#AV000243194 STOCKINGER,	ALLIANZ VA	0.00	225.78	95.0	0.00	214.49	0.00	214.49
12/29/2017	#AV000352579 DANGELO, ZOHREH	ALLIANZ VA	0.00	132.36	95.0	0.00	125.74	0.00	125.74
12/29/2017	#AV000364625 ATTANASIO,	ALLIANZ VA	0.00	82.00	95.0	0.00	77.90	0.00	77.90
12/29/2017	#AV000370148 LAUDATO, FRANK	ALLIANZ VA	0.00	21.48	95.0	0.00	20.41	0.00	20.41
12/29/2017	#AV000380678 LICCIARDI,	ALLIANZ VA	0.00	13.71	95.0	0.00	13.02	0.00	13.02
12/29/2017	#AV000396579 LEVERTON,	ALLIANZ VA	0.00	163.21	95.0	0.00	155.05	0.00	155.05
12/29/2017	#AV000396580 HOFFMAN,	ALLIANZ VA	0.00	106.15	95.0	0.00	100.84	0.00	100.84
12/29/2017	#AV000401675 TRAUTMANN,	ALLIANZ VA	0.00	195.64	95.0	0.00	185.86	0.00	185.86

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/29/2017	#AV000401699	MARCINOWSKI, ALLIANZ VA	0.00	13.62	95.0	0.00	12.94	0.00	12.94
12/29/2017	#AV000438165	NOGUEIRA, ALLIANZ VA	0.00	138.52	95.0	0.00	131.59	0.00	131.59
12/29/2017	#AV000458551	RIZZOTTO, ALLIANZ VA	0.00	66.48	95.0	0.00	63.16	0.00	63.16
12/29/2017	#AV000465570	RIZZOTTO, ALLIANZ VA	0.00	72.45	95.0	0.00	68.83	0.00	68.83
12/29/2017	#AV000561725	BUCHANAN, ALLIANZ VA	0.00	82.58	95.0	0.00	78.45	0.00	78.45
12/29/2017	#AV000565283	GALGAN, ANGELA ALLIANZ VA	0.00	217.94	95.0	0.00	207.04	0.00	207.04
12/29/2017	#AV000758200	WALSH, MATTHEW ALLIANZ VA	0.00	87.76	95.0	0.00	83.37	0.00	83.37
12/29/2017	#DAY02024	BRAZEZICKE, PAUL ALLIANZ VA	0.00	213.94	95.0	0.00	203.24	0.00	203.24
12/29/2017	#DAP48291	RICKERSON, DONNA ALLIANZ VA	0.00	43.72	95.0	0.00	41.53	0.00	41.53
12/29/2017	#DAY02320	LYNCH, KATHLEEN ALLIANZ VA	0.00	743.66	95.0	0.00	706.48	0.00	706.48
12/29/2017	#DAY02322	MULLIGAN, JOAN ALLIANZ VA	0.00	298.10	95.0	0.00	283.20	0.00	283.20
12/29/2017	#GAX25851	BOLDISH, CONSTANCE ALLIANZ VA	0.00	81.72	95.0	0.00	77.63	0.00	77.63
12/29/2017	#GAX28690	TRAUTMANN, ALLIANZ VA	0.00	236.52	95.0	0.00	224.69	0.00	224.69
12/29/2017	#GAX62636	YANKWITT, HARRIET ALLIANZ VA	0.00	74.13	95.0	0.00	70.42	0.00	70.42
12/29/2017	#AV000558218	BARRIOS, LISA ALLIANZ VA	0.00	52.83	95.0	0.00	50.19	0.00	50.19
12/30/2017	#016094793	HENNEY, EDGAR NATIONWIDE 620	0.00	149.87	95.0	0.00	142.38	0.00	142.38
12/30/2017	#016095665	MURPHY, MARIE NATIONWIDE 620	0.00	262.18	95.0	0.00	249.07	0.00	249.07
12/30/2017	#016096286	NUGENT, MARY ANN NATIONWIDE 620	0.00	167.82	95.0	0.00	159.43	0.00	159.43
12/30/2017	#016125281	LANZETTA, YOLANDA NATIONWIDE 620	0.00	168.17	95.0	0.00	159.76	0.00	159.76
12/30/2017	#016129960	PASCETTA, ANN NATIONWIDE 620	0.00	66.81	95.0	0.00	63.47	0.00	63.47
12/30/2017	#016151522	TRAUTMANN, NATIONWIDE 620	0.00	258.64	95.0	0.00	245.71	0.00	245.71
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,616,852.31</b>	<b>\$20,524.91</b>		<b>\$0.00</b>	<b>\$19,498.70</b>	<b>\$0.00</b>	<b>\$19,498.70</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/31/2017	#08352799731	RIZZOTTO, OPPENHEIMER EQUITY INCOME FUND INC-	0.00	1.18	95.0	0.00	1.12	0.00	1.12
01/05/2018	# NELSON, BRENDA	DAIN TRAILER	4,950.00	247.50	95.0	0.00	235.13	0.00	235.13
01/10/2018	# MURPHY, LIAM	DAIN TRAILER	0.00	6.74	95.0	0.00	6.40	0.00	6.40
01/10/2018	# ATTANASIO, PETER	DAIN TRAILER	0.00	8.74	95.0	0.00	8.30	0.00	8.30
01/10/2018	# LAWSHE, ANNE	DAIN TRAILER	0.00	7.10	95.0	0.00	6.75	0.00	6.75
01/10/2018	# LAMPERT, SYBIL	DAIN TRAILER	0.00	12.12	95.0	0.00	11.51	0.00	11.51
01/10/2018	# LAMPERT, SYBIL	DAIN TRAILER	0.00	2.24	95.0	0.00	2.13	0.00	2.13
01/10/2018	# LAMPERT, SYBIL	DAIN TRAILER	0.00	4.50	95.0	0.00	4.28	0.00	4.28
01/10/2018	# LAMPERT, SYBIL	DAIN TRAILER	0.00	1.81	95.0	0.00	1.72	0.00	1.72
01/10/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	2.48	95.0	0.00	2.36	0.00	2.36
01/10/2018	# ROSENBAUM, BARBARA	DAIN TRAILER	0.00	3.68	95.0	0.00	3.50	0.00	3.50
01/10/2018	# ACKERMAN, JANE	DAIN TRAILER	0.00	36.36	95.0	0.00	34.54	0.00	34.54

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# GUERRA, LOUIS	DAIN TRAILER	0.00	2.77	95.0	0.00	2.63	0.00	2.63
01/10/2018	# GUERRA, LOUIS	DAIN TRAILER	0.00	1.22	95.0	0.00	1.16	0.00	1.16
01/10/2018	# GUERRA, LOUIS	DAIN TRAILER	0.00	31.82	95.0	0.00	30.23	0.00	30.23
01/10/2018	# NELSON, BRENDA	DAIN TRAILER	0.00	1.13	95.0	0.00	1.07	0.00	1.07
01/10/2018	# NELSON, BRENDA	DAIN TRAILER	0.00	5.54	95.0	0.00	5.26	0.00	5.26
01/10/2018	# STANGA, INEZ	DAIN TRAILER	0.00	7.79	95.0	0.00	7.40	0.00	7.40
01/10/2018	# HENNEY, EDGAR	DAIN TRAILER	0.00	6.64	95.0	0.00	6.31	0.00	6.31
01/10/2018	# HENNEY, EDGAR	DAIN TRAILER	0.00	6.86	95.0	0.00	6.52	0.00	6.52
01/10/2018	# PASCETTA, ANN	DAIN TRAILER	0.00	3.68	95.0	0.00	3.50	0.00	3.50
01/10/2018	# PASCETTA, ANN	DAIN TRAILER	0.00	10.10	95.0	0.00	9.60	0.00	9.60
01/10/2018	# PASCETTA, ANN	DAIN TRAILER	0.00	9.95	95.0	0.00	9.45	0.00	9.45
01/10/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	1.44	95.0	0.00	1.37	0.00	1.37
01/10/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	2.22	95.0	0.00	2.11	0.00	2.11
01/10/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.55	95.0	0.00	0.52	0.00	0.52
01/10/2018	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.68	95.0	0.00	0.65	0.00	0.65
01/10/2018	# MURPHY, BRIAN	DAIN TRAILER	0.00	9.96	95.0	0.00	9.46	0.00	9.46
01/10/2018	# DELLAMONICA, MARIE	DAIN TRAILER	0.00	6.79	95.0	0.00	6.45	0.00	6.45
01/10/2018	# DELLAMONICA, MARIE	DAIN TRAILER	0.00	1.48	95.0	0.00	1.41	0.00	1.41
01/10/2018	# BARRY, JOSEPHINE	DAIN TRAILER	0.00	3.20	95.0	0.00	3.04	0.00	3.04
01/10/2018	# BARRY, JOSEPHINE	DAIN TRAILER	0.00	1.96	95.0	0.00	1.86	0.00	1.86
01/10/2018	# BARRY, JOSEPHINE	DAIN TRAILER	0.00	6.91	95.0	0.00	6.56	0.00	6.56
01/10/2018	# MURPHY, CAITLIN	DAIN TRAILER	0.00	6.64	95.0	0.00	6.31	0.00	6.31
01/10/2018	# LAUDATO, DANIEL	DAIN TRAILER	0.00	3.12	95.0	0.00	2.96	0.00	2.96
01/10/2018	# LAUDATO, DANIEL	DAIN TRAILER	0.00	2.66	95.0	0.00	2.53	0.00	2.53
01/10/2018	# MARCINOWSKI, JOHN	DAIN TRAILER	0.00	5.53	95.0	0.00	5.25	0.00	5.25
01/10/2018	# LEVERTON, FLORINDA	DAIN TRAILER	0.00	32.86	95.0	0.00	31.22	0.00	31.22
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	3.18	95.0	0.00	3.02	0.00	3.02
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	6.44	95.0	0.00	6.12	0.00	6.12
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	10.73	95.0	0.00	10.19	0.00	10.19
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	11.47	95.0	0.00	10.90	0.00	10.90
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	2.68	95.0	0.00	2.55	0.00	2.55
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	1.20	95.0	0.00	1.14	0.00	1.14
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	3.25	95.0	0.00	3.09	0.00	3.09
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	10.11	95.0	0.00	9.60	0.00	9.60
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	14.80	95.0	0.00	14.06	0.00	14.06
01/10/2018	# ANGST, DOUGLAS	DAIN TRAILER	0.00	0.47	95.0	0.00	0.45	0.00	0.45

COMMISSION STATEMENT for FLORINDA LEVERTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/12/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	5.41	95.0	0.00	5.14	0.00	5.14
01/12/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	9.01	95.0	0.00	8.56	0.00	8.56
01/12/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	11.90	95.0	0.00	11.31	0.00	11.31
01/31/2018	#000000007311903	FRANKLIN 645768540	0.00	96.25	95.0	0.00	91.44	0.00	91.44
01/31/2018	#000000000458556 LAUDATO,	FRANKLIN 34572P604	0.00	23.99	95.0	0.00	22.79	0.00	22.79
01/31/2018	#0000000000031132	FRANKLIN 35241W104	0.00	0.65	95.0	0.00	0.62	0.00	0.62
01/31/2018	#0000000000013211 LAUDATO,	FRANKLIN 35241W203	0.00	19.02	95.0	0.00	18.07	0.00	18.07
01/31/2018	#0000000006993878	FRANKLIN 645768722	0.00	26.71	95.0	0.00	25.37	0.00	25.37
01/31/2018	#000000007311904	FRANKLIN 645768722	0.00	119.14	95.0	0.00	113.18	0.00	113.18
01/31/2018	#0000000006993877	FRANKLIN 645768813	0.00	29.51	95.0	0.00	28.03	0.00	28.03
01/31/2018	#000000007311905	FRANKLIN 645769639	0.00	94.87	95.0	0.00	90.13	0.00	90.13
01/31/2018	#000000007311906	FRANKLIN 645769639	0.00	94.87	95.0	0.00	90.13	0.00	90.13
01/31/2018	#000000006872178	FRANKLIN 645769787	0.00	1.08	95.0	0.00	1.03	0.00	1.03
01/31/2018	#000000007011771	FRANKLIN 645769787	0.00	0.98	95.0	0.00	0.93	0.00	0.93
01/31/2018	#000060177830112 SFERRAZZA,	FRANKLIN GROWTH FUND - CLASS A	0.00	4.33	95.0	0.00	4.11	0.00	4.11
01/31/2018	#000000001512502 LAUDATO,	FRANKLIN GROWTH FUND CLASS C	0.00	11.16	95.0	0.00	10.60	0.00	10.60
01/31/2018	#000000001564350 LANZEBARBC	FRANKLIN GROWTH FUND CLASS C	0.00	0.06	95.0	0.00	0.06	0.00	0.06
01/31/2018	#000000012856050 LICCIARDI,	FRANKLIN INCOME FDS SER CL C	0.00	1.13	95.0	0.00	1.07	0.00	1.07
01/31/2018	#000000000286904 RODRIGUEZ,	FRANKLIN NY TF INCOME FUND - CLASS	0.00	17.62	95.0	0.00	16.74	0.00	16.74
01/31/2018	#000017111679516 MULLIGAN,	FRANKLIN TEMPLETON FUNDS NEW JERSEY	0.00	3.06	95.0	0.00	2.91	0.00	2.91
01/31/2018	#000011514148906 LEVERTON,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	201.53	95.0	0.00	191.45	0.00	191.45
01/31/2018	#000011516998773 SFERRAZZA,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	2.07	95.0	0.00	1.97	0.00	1.97
01/31/2018	#000011517031267 ROMANO,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	6.23	95.0	0.00	5.92	0.00	5.92
01/31/2018	#000000000628014 M,	FRANKLIN TEMPLETON GROWTH ALLOCATIO	0.00	2.78	95.0	0.00	2.64	0.00	2.64
01/31/2018	#000000000668727 LEVERTON,	FRANKLIN TEMPLETON GROWTH ALLOCATIO	0.00	32.72	95.0	0.00	31.08	0.00	31.08
01/31/2018	#000000000871451	FRANKLIN TEMPLETON MODERATE ALLOCAT	0.00	0.69	95.0	0.00	0.66	0.00	0.66
01/31/2018	#000000000628154	FT MODERATE ALLOC FUND - CLASS C	0.00	2.67	95.0	0.00	2.54	0.00	2.54
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$4,950.00</b>	<b>\$1,391.72</b>		<b>\$0.00</b>	<b>\$1,322.17</b>	<b>\$0.00</b>	<b>\$1,322.17</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,622,352.31</b>	<b>\$26,706.27</b>		<b>\$0.00</b>	<b>\$25,371.04</b>	<b>\$0.00</b>	<b>\$25,371.04</b>

COMMISSION STATEMENT for FLORINDA LEVERTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
02/20/2018		CHARGE BACK: RBC COMMISSIONS MANUAL ENTRY							22.00
02/20/2018		OTHER: FRANKLIN 12/29/2017 ADJUSTMENT							617.50
*** ADJUSTMENTS TOTAL ***									\$140.50

BROKER COMMISSION TOTALS

Broker Transactions	\$25,371.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$140.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25,511.54
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