



# COMMISSION STATEMENT

## January 22, 2018

SETH ROSENBERG  
29 W. Susquehanna  
Suite 400  
Towson, MD 21204

BROKER #: A83  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/16/2018	***-**-4263 - SELARU, DR	BLACKROCK MID CAP VALUE OPPORTIES C	160.00	4.40	0.00	4.40	80.0	3.52
	ACCOUNT #: LSR011307	BUY 9 Units @18.5100						
01/16/2018	***-**-4263 - SELARU, DR	BLACKROCK S&P 500 INDEX INV A	160.03	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: LSR011307	BUY 0 Units @330.6500						
01/16/2018	***-**-4263 - SELARU, DR	BLACKROCK VALUE OPPORT FD CL A	160.00	4.40	0.00	4.40	80.0	3.52
	ACCOUNT #: LSR011307	BUY 5 Units @33.4100						
* MUTUAL FUNDS SUBTOTAL *			<b>\$480.03</b>	<b>\$11.80</b>	<b>\$0.00</b>	<b>\$11.80</b>		<b>\$9.44</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/08/2018	***-**-59CL - ROSENBERG,	AB GLOABAL RISK ALLOCATION R	0.00	93.48	0.00	93.48	80.0	74.78
	ACCOUNT #: 04793987086	BUY 0 Units @0.0000						
01/22/2018	***-**-14IO - ROSENBERG, ALIZA	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: LSR011452	BUY 1 Units @0.0000						
01/16/2018	***-**-8880 - HEALTHCARE,	DAVIS OPPORTUNITY FUND CL Y	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011741	BUY 1 Units @0.0000						
01/22/2018	***-**-59CL - ROSENBERG,	EATON VANCE MF TRAILS	0.00	0.41	0.00	0.41	80.0	0.33
		BUY 0 Units @0.0000						
01/16/2018	***-**-8880 - HEALTHCARE,	IVY ENERGY FUND CL A	0.00	0.44	0.00	0.44	80.0	0.35
	ACCOUNT #: LSR011741	BUY 1 Units @0.0000						
01/16/2018	***-**-8880 - HEALTHCARE,	MASSACHUSETTS INVESTORS TRUST-R2	0.00	17.13	0.00	17.13	80.0	13.70
	ACCOUNT #: LSR011741	BUY 1 Units @0.0000						
01/16/2018	***-**-8880 - HEALTHCARE,	MFS GLOBAL EQUITY FD CL R2-NFS	0.00	3.71	0.00	3.71	80.0	2.97
	ACCOUNT #: LSR011741	BUY 1 Units @0.0000						
01/22/2018	***-**-59CL - ROSENBERG,	NUVEEN MF TRAILS	0.00	0.74	0.00	0.74	80.0	0.59
		BUY 0 Units @0.0000						
01/22/2018	***-**-59CL - ROSENBERG,	NUVEEN MF TRAILS	0.00	0.65	0.00	0.65	80.0	0.52
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$116.79</b>	<b>\$0.00</b>	<b>\$116.79</b>		<b>\$93.42</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$480.03</b>	<b>\$128.59</b>	<b>\$0.00</b>	<b>\$128.59</b>		<b>\$102.86</b>



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions \$102.86									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$95.53									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$198.39									
Year-to-date Earnings \$198.39									