

All Companies
STATEMENT
July 20, 2018

BRUCE COHEN
8 Kings HWY West Suite 6D
SUITE 6B
Haddonfield, NJ 08033

BROKER #: 077
BRANCH:

COMMISSION STATEMENT for BRUCE COHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/18/2018 #	OSINSKI, SIGMUND	GENERAL MILLS INC	-5,214.88	-50.00	92.0	0.00	-46.00	29.95	-75.95
05/18/2018 #	OSINSKI, SIGMUND	GENERAL MILLS INC	5,131.44	50.00	92.0	0.00	46.00	29.95	16.05
06/04/2018 #	WOODBURY, MARY SUE	FQF TRUST	13,885.76	314.29	92.0	0.00	289.15	19.95	269.20
06/04/2018 #	WOODBURY, MARY SUE	FQF TRUST	13,885.32	314.29	92.0	0.00	289.15	19.95	269.20
06/12/2018 #	WOODBURY, MARY SUE	FQF TRUST	14,087.70	317.35	92.0	0.00	291.96	19.95	272.01
06/12/2018 #	WOODBURY, MARY SUE	FQF TRUST	14,087.70	317.35	92.0	0.00	291.96	19.95	272.01
06/14/2018 #	WOODBURY, MARY SUE	U S SILICA HOLDINGS INC	12,824.96	298.22	92.0	0.00	274.36	19.95	254.41
06/14/2018 #	WOODBURY, MARY SUE	U S SILICA HOLDINGS INC	12,842.96	298.49	92.0	0.00	274.61	19.95	254.66
06/14/2018 #	WOODBURY, MARY SUE	WISDOMTREE TRUST WISDOMTREE U S MID	15,370.81	332.33	92.0	0.00	305.74	19.95	285.79
06/14/2018 #	WOODBURY, MARY SUE	WISDOMTREE TRUST WISDOMTREE U S MID	15,370.81	332.33	92.0	0.00	305.74	19.95	285.79
06/19/2018 #	WOODBURY, MARY SUE	ISHARES TRUST ISHARES EXPONENTIAL T	16,652.79	356.20	92.0	0.00	327.70	19.95	307.75
06/19/2018 #	WOODBURY, MARY SUE	ISHARES TRUST ISHARES EXPONENTIAL T	16,658.33	356.29	92.0	0.00	327.79	19.95	307.84
06/19/2018 #	WOODBURY, MARY SUE	SECTOR SPDR - FINANCIAL	17,091.25	372.68	92.0	0.00	342.87	19.95	322.92
06/19/2018 #	ELWELL, JAY	SECTOR SPDR - FINANCIAL	24,612.30	467.79	92.0	0.00	430.37	19.95	410.42
06/19/2018 #	WOODBURY, MARY SUE	SECTOR SPDR - FINANCIAL	17,092.25	372.69	92.0	0.00	342.87	19.95	322.92
06/25/2018 #	CORTE, DENNIS	FQF TRUST	24,525.60	457.26	92.0	0.00	420.68	19.95	400.73
06/25/2018 #	TAYLOR, VICTOR	FQF TRUST	30,667.00	524.28	92.0	0.00	482.34	19.95	462.39
06/25/2018 #	ELWELL, JAY	FQF TRUST	24,518.40	457.19	92.0	0.00	420.61	19.95	400.66
06/25/2018 #	ELWELL, JAY	FQF TRUST	24,526.40	457.27	92.0	0.00	420.69	19.95	400.74
06/25/2018 #	ELWELL, JAY	SECTOR SPDR - FINANCIAL	21,324.80	426.25	92.0	0.00	392.15	19.95	372.20
06/27/2018 #	ELWELL, JAY	FQF TRUST	24,433.58	456.37	92.0	0.00	419.86	19.95	399.91
06/27/2018 #	TAYLOR, VICTOR	SECTOR SPDR - FINANCIAL	26,416.00	494.96	92.0	0.00	455.36	19.95	435.41
* STOCKS SUBTOTAL *			\$380,791.28	\$7,723.88		\$0.00	\$7,105.96	\$458.90	\$6,647.06
<u>PRODUCT CATEGORY: BONDS</u>									
06/28/2018 #	ROTONDO, JANICE	G N M A PASS THRU POOL MA0619M	31,485.17	767.93	92.0	0.00	706.50	20.00	686.50
06/28/2018 #	ROTONDO, JAMES	G N M A PASS THRU POOL MA0619M	13,452.75	328.12	92.0	0.00	301.87	20.00	281.87
06/28/2018 #	HAY, JOYCE	G N M A PASS THRU POOL MA0619M	57,245.76	1,396.24	92.0	0.00	1,284.54	20.00	1,264.54

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<u>PRODUCT CATEGORY: BONDS</u>									
06/28/2018 #	HAY, JOYCE	G N M A PASS THRU POOL MA0619M	61,539.20	1,500.96	92.0	0.00	1,380.88	20.00	1,360.88
		* BONDS SUBTOTAL *	\$163,722.88	\$3,993.25		\$0.00	\$3,673.79	\$80.00	\$3,593.79
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
06/08/2018 #	GROSS, LORETTA	WELLS FARGO BANK NATL ASSN CD	25,000.00	25.00	92.0	0.00	23.00	20.00	3.00
		* CERTIFICATES OF DEPOSIT SUBTOTAL *	\$25,000.00	\$25.00		\$0.00	\$23.00	\$20.00	\$3.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
06/19/2018 #	TAYLOR, VICTOR	UIT FT MEGA CAP PORTFOLIO	150,008.93	3,000.77	92.0	0.00	2,760.71	18.00	2,742.71
06/19/2018 #	MCCORMACK, DANIEL	UIT FT MEGA CAP PORTFOLIO	30,003.81	600.19	92.0	0.00	552.17	18.00	534.17
06/19/2018 #	BROWN, KEVIN	UIT FT UTILS SELECT PORT	12,262.26	245.27	92.0	0.00	225.65	18.00	207.65
06/19/2018 #	BROWN, DOROTHY	UIT FT UTILS SELECT PORT	9,282.84	185.67	92.0	0.00	170.82	18.00	152.82
06/19/2018 #	YOUNG, LOIS	UIT FT UTILS SELECT PORT	20,004.71	400.13	92.0	0.00	368.12	18.00	350.12
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$221,562.55	\$4,432.03		\$0.00	\$4,077.47	\$90.00	\$3,987.47
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018 #00084502690	OSINSKI,	CAPITAL INCOME BUILDER FD CL A	0.00	0.58	92.0	0.00	0.53	0.00	0.53
06/01/2018 #00084502748		CAPITAL INCOME BUILDER FD CL A	0.00	0.87	92.0	0.00	0.80	0.00	0.80
06/01/2018 #00084502690	OSINSKI,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.95	92.0	0.00	1.79	0.00	1.79
06/01/2018 #00084502748		CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.94	92.0	0.00	2.70	0.00	2.70
06/01/2018 #00084502690	OSINSKI,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.06	92.0	0.00	0.98	0.00	0.98
06/01/2018 #00084502748		EURO PACIFIC GROWTH FUND CLASS A	0.00	1.61	92.0	0.00	1.48	0.00	1.48
06/01/2018 #00084502690	OSINSKI,	GROWTH FUND AMERICA INC CLASS A	0.00	1.16	92.0	0.00	1.07	0.00	1.07
06/01/2018 #00084502748		GROWTH FUND AMERICA INC CLASS A	0.00	1.83	92.0	0.00	1.68	0.00	1.68
06/01/2018 #00074264767	BURKE, DYLAN	SMALLCAP WORLD FUND-529A	0.00	1.86	92.0	0.00	1.71	0.00	1.71
06/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	2.35	92.0	0.00	2.16	0.00	2.16
06/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.67	92.0	0.00	3.38	0.00	3.38
06/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	4.16	92.0	0.00	3.83	0.00	3.83
06/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	8.91	92.0	0.00	8.20	0.00	8.20
06/11/2018 #	GROSS, LORETTA	DAIN TRAILER	0.00	5.28	92.0	0.00	4.86	0.00	4.86
06/11/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	16.19	92.0	0.00	14.89	0.00	14.89
06/11/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	5.19	92.0	0.00	4.77	0.00	4.77
06/11/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	4.93	92.0	0.00	4.54	0.00	4.54
06/11/2018 #	WHITE, ANN	DAIN TRAILER	0.00	13.47	92.0	0.00	12.39	0.00	12.39
06/11/2018 #	WHITE, ANN	DAIN TRAILER	0.00	17.51	92.0	0.00	16.11	0.00	16.11
06/11/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	26.13	92.0	0.00	24.04	0.00	24.04
06/11/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	13.02	92.0	0.00	11.98	0.00	11.98

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/11/2018 #	MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
06/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.56	92.0	0.00	0.52	0.00	0.52
06/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	13.21	92.0	0.00	12.15	0.00	12.15
06/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.51	92.0	0.00	0.47	0.00	0.47
06/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
06/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	3.98	92.0	0.00	3.66	0.00	3.66
06/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	11.15	92.0	0.00	10.26	0.00	10.26
06/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	14.72	92.0	0.00	13.54	0.00	13.54
06/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	9.84	92.0	0.00	9.05	0.00	9.05
06/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	13.40	92.0	0.00	12.33	0.00	12.33
06/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	3.32	92.0	0.00	3.05	0.00	3.05
06/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	5.96	92.0	0.00	5.48	0.00	5.48
06/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	10.70	92.0	0.00	9.84	0.00	9.84
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$222.95		\$0.00	\$205.10	\$0.00	\$205.10
*** BROKER TRANSACTIONS TOTAL ***			\$791,076.71	\$16,397.11		\$0.00	\$15,085.32	\$648.90	\$14,436.42

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	BONDS & UIT'S: BONDS & UIT'S	4.99
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-3.00
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-2.02
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-25.68
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-1.00
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-2.68
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-69.00
07/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC	-1.00

*** ADJUSTMENTS TOTAL ***

\$-668.39

BROKER COMMISSION TOTALS

Broker Transactions	\$14,436.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-668.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,768.03
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