



# COMMISSION STATEMENT

## March 19, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM</u> </b>								
03/08/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	15.0	0.16
03/08/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	5.75	0.00	5.75	15.0	0.86
03/08/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	15.0	0.01
03/08/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	15.0	0.02
03/19/2018	***-**-844P - COLIN CAMPBELL ACCOUNT #: 5045816922	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	0.21	0.00	0.21	15.0	0.03
03/11/2018	***-**-67CL - MILGROOM, ACCOUNT #: R096007-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	3.62	0.00	3.62	15.0	0.54
03/11/2018	***-**-67CL - MILGROOM, ACCOUNT #: R096023-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	5.94	0.00	5.94	15.0	0.89
03/13/2018	***-**-4209 - DEBS, KEVIN ACCOUNT #: R081292-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	13.91	0.00	13.91	15.0	2.09
03/16/2018	***-**-67CL - MILGROOM, ACCOUNT #: R074824-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	13.31	0.00	13.31	15.0	2.00
03/16/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: R081736-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	4.10	0.00	4.10	15.0	0.62
03/05/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000351	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 1 Units @12.8600	14.52	0.11	0.00	0.11	15.0	0.02
03/16/2018	***-**-6647 - CABLE, ROBERT	JANUS MF TRAILS BUY 0 Units @0.0000	0.00	1.21	0.00	1.21	15.0	0.18
03/16/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS BUY 0 Units @0.0000	0.00	39.04	0.00	39.04	15.0	5.86
03/08/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403711	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	1.77	0.00	1.77	15.0	0.27
03/08/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403731	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	15.0	0.08
03/19/2018	***-**-9109 - PASCH, ROBERT ACCOUNT #: 9927000799	T ROWE TRAILS BUY 0 Units @0.0000	0.00	5.42	0.00	5.42	15.0	0.81



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
03/19/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	22.36	0.00	22.36	15.0	3.35
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
03/19/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.28	0.00	0.28	15.0	0.04
		BUY 0 Units @0.0000						
03/19/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	37.04	0.00	37.04	15.0	5.56
		BUY 0 Units @0.0000						
03/05/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.50	0.00	0.50	15.0	0.08
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
03/05/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.49	0.00	1.49	15.0	0.22
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$14.52	\$157.81	\$0.00	\$157.81		\$23.69
*** OVERRIDE TRANSACTIONS TOTAL ***			\$14.52	\$157.81	\$0.00	\$157.81		\$23.69

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$5,984.52