

10:41 AM

04/25/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
HENTEMANN, MARK T.-D034						
Credit	03/31/2018	COM...			-39.15	-39.15
Credit	04/01/2018	E&O			-120.00	-120.00
Credit	04/05/2018	FINR...			-135.00	-135.00
Bill	04/15/2018	COM...		04/15/2018	129.16	129.16
Total HENTEMANN, MARK T.-D034					-164.99	-164.99
TOTAL					-164.99	-164.99

COMMISSION STATEMENT

April 15, 2018

MARK HENTEMANN-D034

BROKER #: D034

BRANCH: DMT

, TX

COMMISSION STATEMENT for MARK HENTEMANN-D034

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
04/02/2018 (None)	VARIOUS, HENTEMANN	MF FIDELITY ADVISOR FDS	B	0.00	22.95	0.00	22.95	75.0	17.21
04/02/2018 00074147989	LUKE, RICHARD	MF CAPITAL WORLD GROWTH AND INCOME	B	230.76	6.35	0.00	6.35	75.0	4.76
04/02/2018 00074147989	LUKE, RICHARD	MF THE GROWTH FUND OF AMERICA-A	B	230.76	6.35	0.00	6.35	75.0	4.76
04/02/2018 00074147989	LUKE, RICHARD	MF THE INVESTMENT COMPANY OF	B	230.77	6.35	0.00	6.35	75.0	4.76
04/02/2018 00074148433	CLARK, DAN	MF AMERICAN BALANCED FUND-A	B	10.35	0.28	0.00	0.28	75.0	0.21
04/02/2018 00074148433	CLARK, DAN	MF THE GROWTH FUND OF AMERICA-A	B	24.15	0.66	0.00	0.66	75.0	0.50
04/02/2018 00088990408	OAKS, SAMUEL	MF CAPITAL WORLD GROWTH AND INCOME	B	40.84	1.12	0.00	1.12	75.0	0.84
04/02/2018 00088990408	OAKS, SAMUEL	MF THE GROWTH FUND OF AMERICA-A	B	47.64	1.31	0.00	1.31	75.0	0.98
04/02/2018 00088990408	OAKS, SAMUEL	MF THE INVESTMENT COMPANY OF	B	47.64	1.31	0.00	1.31	75.0	0.98
04/02/2018 00088990432	BRESSERS, AMANDA	MF AMERICAN BALANCED FUND-A	B	47.01	1.29	0.00	1.29	75.0	0.97
04/02/2018 00088990432	BRESSERS, AMANDA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.20	0.78	0.00	0.78	75.0	0.59
04/02/2018 00088990432	BRESSERS, AMANDA	MF FUNDAMENTAL INVESTORS-A	B	37.60	1.03	0.00	1.03	75.0	0.77
04/02/2018 00088990432	BRESSERS, AMANDA	MF THE GROWTH FUND OF AMERICA-A	B	37.60	1.03	0.00	1.03	75.0	0.77
04/02/2018 00088990432	BRESSERS, AMANDA	MF THE INVESTMENT COMPANY OF	B	37.61	1.03	0.00	1.03	75.0	0.77
04/02/2018 00088990441	WHITE, SCOTT	MF AMERICAN BALANCED FUND-A	B	3.84	0.11	0.00	0.11	75.0	0.08
04/02/2018 00088990441	WHITE, SCOTT	MF CAPITAL WORLD GROWTH AND INCOME	B	7.68	0.21	0.00	0.21	75.0	0.16
04/02/2018 00088990441	WHITE, SCOTT	MF THE GROWTH FUND OF AMERICA-A	B	3.84	0.11	0.00	0.11	75.0	0.08
04/02/2018 00088990441	WHITE, SCOTT	MF THE INVESTMENT COMPANY OF	B	3.83	0.11	0.00	0.11	75.0	0.08
04/02/2018 00088990540	MONROE, LACEY	MF AMERICAN BALANCED FUND-A	B	20.73	0.57	0.00	0.57	75.0	0.43
04/02/2018 00088990540	MONROE, LACEY	MF FUNDAMENTAL INVESTORS-A	B	20.73	0.57	0.00	0.57	75.0	0.43
04/02/2018 00088990540	MONROE, LACEY	MF THE GROWTH FUND OF AMERICA-A	B	31.10	0.86	0.00	0.86	75.0	0.65
04/02/2018 00088990540	MONROE, LACEY	MF THE INVESTMENT COMPANY OF	B	31.09	0.85	0.00	0.85	75.0	0.64
04/02/2018 00088990639	HILER, STEVEN	MF AMERICAN BALANCED FUND-A	B	31.08	0.85	0.00	0.85	75.0	0.64
04/02/2018 00088990639	HILER, STEVEN	MF THE GROWTH FUND OF AMERICA-A	B	31.07	0.85	0.00	0.85	75.0	0.64
04/02/2018 00088991330	HART, JACOB	MF CAPITAL WORLD GROWTH AND INCOME	B	39.35	1.08	0.00	1.08	75.0	0.81
04/02/2018 00088991330	HART, JACOB	MF THE GROWTH FUND OF AMERICA-A	B	39.35	1.08	0.00	1.08	75.0	0.81
04/02/2018 00088991330	HART, JACOB	MF THE INVESTMENT COMPANY OF	B	40.53	1.11	0.00	1.11	75.0	0.83
04/02/2018 00089942792	BELLOLI, EMILY	MF AMERICAN BALANCED FUND-A	B	103.40	2.84	0.00	2.84	75.0	2.13
04/02/2018 00089942792	BELLOLI, EMILY	MF THE GROWTH FUND OF AMERICA-A	B	100.36	2.76	0.00	2.76	75.0	2.07
04/02/2018 00089942792	BELLOLI, EMILY	MF THE INVESTMENT COMPANY OF	B	100.37	2.76	0.00	2.76	75.0	2.07
* MUTUAL FUNDS SUBTOTAL *				\$1,659.28	\$68.56	\$0.00	\$68.56		\$51.42

COMMISSION STATEMENT for MARK HENTEMANN-D034

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/31/2018 (None)	VARIOUS, HENTEMANN	MFT OPPENHEIMER	B	0.00	18.99	0.00	18.99	75.0	14.24
04/06/2018 (None)	VARIOUS, HENTEMANN	MFT FIDELITY ADVISOR FDS	B	0.00	84.67	0.00	84.67	75.0	63.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$103.66	\$0.00	\$103.66		\$77.74
*** DIRECT TRANSACTIONS TOTAL ***				\$1,659.28	\$172.22	\$0.00	\$172.22		\$129.16

BROKER COMMISSION TOTALS

Broker Transactions	\$129.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$129.16
Year-to-date Commissions	\$2,837.61