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## **COMMISSION STATEMENT**

March 01, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670

BRANCH: 04-#70A11 HOME OFFIC

|      |        |            | INVESTMENT | GROSS      | CLEARING | NET        | BROKER     |
|------|--------|------------|------------|------------|----------|------------|------------|
| DATE | CLIENT | INVESTMENT | AMOUNT     | COMMISSION | CHARGE   | COMMISSION | COMMISSION |

## Company: CUE Financial Group, Inc

## ADJUSTMENTS

| 28. 2018 CUE IARD RENEWAL FEES |  |              |                 |            | -5.00   |
|--------------------------------|--|--------------|-----------------|------------|---------|
| *** ADJUSTMENTS TOTAL ***      | \$0.00                                 | \$0.00       | \$0.00          | \$0.00     | \$-5.00 |
|                                |  |              | BROKER          | COMMISSION | TOTALS  |
|                                |  |              | Broker Transa   | actions    | \$0.00  |
|                                |  |              | Split Transa    | actions    | \$0.00  |
|                                |  |              | Override Transa | actions    | \$0.00  |
|                                |  |              | Adjus           | stments    | \$-5.00 |
|                                |  |              | Prior Period B  | Balance    | \$0.00  |
|                                |  |              | NASD Asse       | essment    | \$0.00  |
|                                |  |              | SIPC Asse       | essment    | \$0.00  |
| D10                            | ase Retain for You                     | ız Bogonda — |                 |            |         |
|                                | ase Recall for for<br>WILL BE NO CHECK |              | Balance H       | Forward    | \$-5.00 |
|                                |  |              | Year-to-date Ea | arnings    | \$-5.00 |

| COMMISSION | CTATEMENT | for | TDACV | CIIDA |  |
|------------|-----------|-----|-------|-------|--|
|            |           |     |       |       |  |

| DATE       | CLIENT                  | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------------|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| Company    | : Securities Ame        | erica                               |                      |                     |                    |                   |                      |
|            |                         | BROKER TRANS                        | ACTIONS              |                     |                    |                   |                      |
| PRODUCT CA | ATEGORY: MUTUAL FUNDS   |                                     |                      |                     |                    |                   |                      |
| 03/01/2018 | CUDA (73670), TRACY     | SAI PERSHING CHARGES                | 0.00                 | -310.00             | 0.00               | -310.00           | -113.31              |
| 03/01/2018 | CUDA (73670), TRACY     | SAI SECURITES INCOME                | 0.00                 | 409.68              | 0.00               | 409.68            | 149.74               |
|            |                         | * MUTUAL FUNDS SUBTOTAL *           | \$0.00               | \$99.68             | \$0.00             | \$99.68           | \$36.43              |
| PRODUCT CA | ATEGORY: TRAILERS       |                                     |                      |                     |                    |                   |                      |
| 03/01/2018 | CUDA (73670), TRACY     | SAI.TRAILS                          | 0.00                 | 283.66              | 0.00               | 283.66            | 103.68               |
|            |                         | * TRAILERS SUBTOTAL *               | \$0.00               | \$283.66            | \$0.00             | \$283.66          | \$103.68             |
|            |                         | *** BROKER TRANSACTIONS TOTAL ***   | \$0.00               | \$383.34            | \$0.00             | \$383.34          | \$140.11             |
|            |                         |                                     |                      |                     |                    |                   |                      |
|            |                         | OVERRIDE TRAN                       | SACTIONS             |                     |                    |                   |                      |
| BROKER: JO | ODI GALLOVICH #7371     | <u>2</u>                            |                      |                     |                    |                   |                      |
| 03/01/2018 | GALLOVICH (73712), JODI | SAI SECURITES INCOME                | 0.00                 | 7,515.71            | 0.00               | 7,515.71          | 1,010.86             |
| 03/01/2018 | GALLOVICH (73712), JODI | SAI.TRAILS                          | 0.00                 | 3.80                | 0.00               | 3.80              | 0.51                 |
|            |                         | * JODI GALLOVICH SUBTOTAL *         | \$0.00               | \$7,519.51          | \$0.00             | \$7,519.51        | \$1,011.37           |
|            |                         | *** OVERRIDE TRANSACTIONS TOTAL *** | \$0.00               | \$7,519.51          | \$0.00             | \$7,519.51        | \$1,011.37           |
|            |                         | D TIGHWI                            | NTE G                |                     |                    |                   |                      |
|            |                         | ADJUSTME                            | NTS                  |                     |                    |                   |                      |
|            |                         | 16. SAI SIPC FOR PE 02/23/2018      |                      |                     |                    |                   | -6.04                |
|            |                         | *** ADJUSTMENTS TOTAL ***           | \$0.00               | \$0.00              | \$0.00             | \$0.00            | \$-6.04              |

COMMISSION STATEMENT for TRACY CUDA

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE   | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|----------------------|-------------------|----------------------|
|      |        |            |                      |                     | BROKI                | ER COMMISSIO      | N TOTALS             |
|      |        |            |                      |                     | Broker Tra           | nsactions         | \$140.11             |
|      |        |            |                      |                     | Split Tra            | nsactions         | \$0.00               |
|      |        |            |                      |                     | Override Tra         | nsactions         | \$1,011.37           |
|      |        |            |                      |                     | Adjustments          |                   | \$-6.04              |
|      |        |            |                      |                     | Prior Period Balance |                   | \$0.00               |
|      |        |            |                      |                     | NASD A               | ssessment         | \$0.00               |
|      |        |            |                      |                     | SIPC A               | ssessment         | \$0.00               |
|      |        |            |                      |                     |                      |                   |                      |
|      |        |            |                      |                     | Che                  | ck Amount         | \$1,145.44           |
|      |        |            |                      |                     | Year-to-date         | Earnings          | \$75,209.77          |