

All Companies
STATEMENT
June 20, 2018

BRUCE COHEN
8 Kings HWY West Suite 6D
SUITE 6B
Haddonfield, NJ 08033

BROKER #: 077
BRANCH:

COMMISSION STATEMENT for BRUCE COHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

05/01/2018 #	WOODBURY, MARY SUE	GENERAL ELECTRIC CO	6,298.52	193.72	92.0	0.00	178.22	19.95	158.27
05/01/2018 #	WOODBURY, MARY SUE	GENERAL ELECTRIC CO	6,302.70	193.79	92.0	0.00	178.29	19.95	158.34
05/01/2018 #	WOODBURY, MARY SUE	U S SILICA HOLDINGS INC	12,846.94	292.81	92.0	0.00	269.39	19.95	249.44
05/01/2018 #	WOODBURY, MARY SUE	U S SILICA HOLDINGS INC	12,849.58	292.85	92.0	0.00	269.42	19.95	249.47
05/08/2018 #	WOODBURY, MARY SUE	COMCAST CORP NEW CL A	15,624.87	335.51	92.0	0.00	308.67	19.95	288.72
05/08/2018 #	WOODBURY, MARY SUE	COMCAST CORP NEW CL A	15,624.87	335.51	92.0	0.00	308.67	19.95	288.72
05/14/2018 #	WOODBURY, MARY SUE	INTL GAME TECHNOLOGY	16,195.85	357.15	92.0	0.00	328.58	19.95	308.63
05/14/2018 #	WOODBURY, MARY SUE	INTL GAME TECHNOLOGY	16,188.70	357.05	92.0	0.00	328.49	19.95	308.54
05/14/2018 #	WOODBURY, MARY SUE	SELECT SECTOR SPDR TRUST SHS BEN IN	46,356.30	586.87	92.0	0.00	539.92	19.95	519.97
05/14/2018 #	WOODBURY, MARY SUE	U S SILICA HOLDINGS INC	13,809.02	150.00	92.0	0.00	138.00	19.95	118.05
05/14/2018 #	WOODBURY, MARY SUE	U S SILICA HOLDINGS INC	13,787.81	150.00	92.0	0.00	138.00	19.95	118.05
05/16/2018 #	DALESSANDRO, DIANE	ALIBABA GROUP HOLDING LTD SPONSORED	988.84	50.00	92.0	0.00	46.00	19.95	26.05
05/16/2018 #	DALESSANDRO, DIANE	WAL-MART STORES INC	941.90	50.00	92.0	0.00	46.00	19.95	26.05
05/18/2018 #	OSINSKI, SIGMUND	CAMPBELL SOUP CO	5,180.49	50.00	92.0	0.00	46.00	19.95	26.05
05/18/2018 #	OSINSKI, SIGMUND	GENERAL MILLS INC	5,214.88	50.00	92.0	0.00	46.00	19.95	26.05
05/23/2018 #	WOODBURY, MARY SUE	COMCAST CORP NEW CL A	16,290.93	125.00	92.0	0.00	115.00	19.95	95.05
05/23/2018 #	WOODBURY, MARY SUE	COMCAST CORP NEW CL A	16,280.73	125.00	92.0	0.00	115.00	19.95	95.05
05/23/2018 #	WOODBURY, MARY SUE	ISHARES TRUST ISHARES INTERNATIONAL	10,942.43	257.94	92.0	0.00	237.30	19.95	217.35
05/23/2018 #	WOODBURY, MARY SUE	ISHARES TRUST ISHARES INTERNATIONAL	10,944.38	257.97	92.0	0.00	237.33	19.95	217.38
05/23/2018 #	WOODBURY, MARY SUE	WISDOMTREE TR CBOE S&P 500 PUTWRITE	12,201.54	278.28	92.0	0.00	256.02	19.95	236.07
05/23/2018 #	WOODBURY, MARY SUE	WISDOMTREE TR CBOE S&P 500 PUTWRITE	12,201.54	278.28	92.0	0.00	256.02	19.95	236.07
* STOCKS SUBTOTAL *			\$267,072.82	\$4,767.73		\$0.00	\$4,386.32	\$418.95	\$3,967.37

PRODUCT CATEGORY: BONDS

04/25/2018 #	TAYLOR, ALAN	MARLBORO TWP N J GO BDS 2018	249,687.50	6,937.50	92.0	0.00	6,382.50	20.00	6,362.50
04/25/2018 #	TAYLOR, ALAN	MARLBORO TWP N J GO BDS 2018	249,687.50	6,937.50	92.0	0.00	6,382.50	20.00	6,362.50
04/30/2018 #	TAYLOR, VICTOR	MARLBORO TWP N J GO BDS 2018	149,812.50	4,162.50	92.0	0.00	3,829.50	20.00	3,809.50
04/30/2018 #	TAYLOR, ALAN	MARLBORO TWP N J GO BDS 2018	249,687.50	6,937.50	92.0	0.00	6,382.50	20.00	6,362.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
05/17/2018 #	TAYLOR, VICTOR	MARLBORO TWP N J GO BDS 2018	149,730.00	3,900.00	92.0	0.00	3,588.00	20.00	3,568.00
05/22/2018 #	CASTELLO, EMMAGER	FEDL HOME LOAN MTG CORP#G13124	2,403.06	36.59	92.0	0.00	33.66	20.00	13.66
05/22/2018 #	CASTELLO, EMMAGER	G N M A PASS THRU POOL 661576X	1,751.19	44.90	92.0	0.00	41.31	20.00	21.31
05/24/2018 #	TAYLOR, ALAN	UNION CNTY N J VAR PURP BDS B	134,578.50	2,116.50	92.0	0.00	1,947.18	20.00	1,927.18
05/24/2018 #	TAYLOR, ALAN	UNION CNTY N J VAR PURP BDS B	296,072.70	4,656.30	92.0	0.00	4,283.80	20.00	4,263.80
* BONDS SUBTOTAL *			\$1,483,410.45	\$35,729.29		\$0.00	\$32,870.95	\$180.00	\$32,690.95
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
05/08/2018 #	WOODBURY, MARY SUE	UIT FIRST TRUST CORPORATE TAX SER 3	21,144.27	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	HAY, JOYCE	UIT FIRST TRUST CORPORATE TAX SER 3	29,491.88	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	WOODBURY, MARY SUE	UIT FIRST TRUST CORPORATE TAX SER 3	26,424.72	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	WOODBURY, MARY SUE	UIT FIRST TRUST DIVERSIFIED INCME &	8,103.11	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	ELWELL, JAY	UIT FIRST TRUST DIVERSIFIED INCOME	15,266.83	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	ELWELL, JAY	UIT FIRST TRUST DIVERSIFIED INCOME	26,316.91	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	SEGAL, ALAN	UIT FIRST TRUST DIVID INCOME PORTFO	31,690.25	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	SEGAL, ALAN	UIT FIRST TRUST FINLS SELECT SER 58	32,435.11	20.00	92.0	0.00	18.40	18.00	0.40
05/08/2018 #	HAY, JOYCE	UIT FIRST TRUST FINLS SELECT SER 58	32,435.11	20.00	92.0	0.00	18.40	18.00	0.40
05/18/2018 #	LEONETTI, MARK	UIT FT SMID CAP STRENGTH PORT	42,994.83	859.88	92.0	0.00	791.09	18.00	773.09
05/29/2018 #	HAY, JOYCE	UIT FIRST TRUST DIVERSIFIED SER 201	56,449.45	20.00	92.0	0.00	18.40	18.00	0.40
05/29/2018 #	ROTONDO, JANICE	UIT FIRST TRUST DIVID INCOME PORTFO	26,426.65	20.00	92.0	0.00	18.40	18.00	0.40
05/29/2018 #	ROTONDO, JANICE	UIT FIRST TRUST HIGH DIVID SER 33 E	41,436.15	20.00	92.0	0.00	18.40	18.00	0.40
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$390,615.27	\$1,099.88		\$0.00	\$1,011.89	\$234.00	\$777.89
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	4.88	92.0	0.00	4.49	0.00	4.49
05/09/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	4.63	92.0	0.00	4.26	0.00	4.26
05/09/2018 #	WHITE, ANN	DAIN TRAILER	0.00	12.87	92.0	0.00	11.84	0.00	11.84
05/09/2018 #	WHITE, ANN	DAIN TRAILER	0.00	16.63	92.0	0.00	15.30	0.00	15.30
05/09/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	25.46	92.0	0.00	23.42	0.00	23.42
05/09/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	12.61	92.0	0.00	11.60	0.00	11.60
05/09/2018 #	MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
05/09/2018 #	MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
05/09/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.54	92.0	0.00	0.50	0.00	0.50
05/09/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	12.46	92.0	0.00	11.46	0.00	11.46
05/09/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.49	92.0	0.00	0.45	0.00	0.45
05/09/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.86	92.0	0.00	0.79	0.00	0.79

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	3.79	92.0	0.00	3.49	0.00	3.49
05/09/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	10.63	92.0	0.00	9.78	0.00	9.78
05/09/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	13.98	92.0	0.00	12.86	0.00	12.86
05/09/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	9.53	92.0	0.00	8.77	0.00	8.77
05/09/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	12.78	92.0	0.00	11.76	0.00	11.76
05/09/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	3.19	92.0	0.00	2.93	0.00	2.93
05/09/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	5.68	92.0	0.00	5.23	0.00	5.23
05/09/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	10.04	92.0	0.00	9.24	0.00	9.24
05/09/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	2.30	92.0	0.00	2.12	0.00	2.12
05/09/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.45	92.0	0.00	3.17	0.00	3.17
05/09/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.87	92.0	0.00	3.56	0.00	3.56
05/09/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	8.54	92.0	0.00	7.86	0.00	7.86
05/09/2018 #	GROSS, LORETTA	DAIN TRAILER	0.00	4.96	92.0	0.00	4.56	0.00	4.56
05/09/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	31.72	92.0	0.00	29.18	0.00	29.18
05/16/2018 #	LEONETTI, MARK	DAIN TRAILER	40,030.86	20.00	92.0	0.00	18.40	0.00	18.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$40,030.86	\$235.91		\$0.00	\$217.04	\$0.00	\$217.04
*** BROKER TRANSACTIONS TOTAL ***			\$2,181,129.40	\$41,832.81		\$0.00	\$38,486.20	\$832.95	\$37,653.25

ADJUSTMENTS

06/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
06/20/2018	BONDS & UIT'S: BONDS & UIT'S	42.99
	ADJUSTMENT TO RBC	
06/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE	-2.02
	FEE-RBC DOW JONES INDICES	
06/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE	-2.68
	FEE-RBC MDX INDEX DATA - CBOE	
06/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE	-3.00
	FEE-RBC NASDAQ INDICES GIDS	
06/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE	-25.68
	FEE-RBC NASDAQ LEVEL 1	
06/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE	-1.00
	FEE-RBC NEW YORK STOCK EXCHANGE	
06/20/2018	THOMSON ONE FEE-RBC: THOMSON ONE	-1.00
	FEE-RBC OPRA	

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ADJUSTMENTS

06/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE							-69.00
		FEE-RBC THOMSON ONE WEALTH							
		*** ADJUSTMENTS TOTAL ***							\$-630.39

BROKER COMMISSION TOTALS

Broker Transactions	\$37,653.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-630.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$37,022.86
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