

**COMMISSION STATEMENT****December 15, 2017**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU

11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845

BROKER #: L131

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.00
^12/04/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^12/04/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
* 529 PLANS SUBTOTAL *			\$75.00	\$2.19	\$0.00	\$2.19		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^11/22/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^11/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	4.75	0.00	4.75	90.0	0.00
^11/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^12/04/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^11/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^12/04/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^11/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^11/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^11/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^12/04/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^12/04/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^12/04/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^11/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$580.00	\$20.25	\$0.00	\$20.25		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^12/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	4.98	0.00	4.98	90.0	0.00
^12/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	3.53	0.00	3.53	90.0	0.00
^12/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	6.56	0.00	6.56	90.0	0.00
^12/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	4.19	0.00	4.19	90.0	0.00
^12/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	14.87	0.00	14.87	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^12/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	3.98	0.00	3.98	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.88	0.00	0.88	90.0	0.00
^11/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.59	0.00	0.59	90.0	0.00
^11/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.29	0.00	0.29	90.0	0.00
^11/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.00
^11/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.21	0.00	1.21	90.0	0.00
^11/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.00
^12/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.25	0.00	1.25	90.0	0.00
^12/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.21	0.00	5.21	90.0	0.00
^12/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	2.89	0.00	2.89	90.0	0.00
^12/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	3.77	0.00	3.77	90.0	0.00
^12/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	1.57	0.00	1.57	90.0	0.00
^12/01/2017	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.00
^12/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.00
^12/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.00
^12/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	4.00	0.00	4.00	90.0	0.00
^12/01/2017	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	2.88	0.00	2.88	90.0	0.00
^12/01/2017	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.00
^12/01/2017	BAILEY, BENJAMIN	AM FUNDS AMERICAN BALANCED A	0.00	1.39	0.00	1.39	90.0	0.00
^12/01/2017	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	90.0	0.00
^12/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	14.73	0.00	14.73	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.63	0.00	0.63	90.0	0.00
^11/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.72	0.00	0.72	90.0	0.00
^12/01/2017	IN/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.47	0.00	0.47	90.0	0.00
^12/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.58	0.00	1.58	90.0	0.00
^12/01/2017	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.71	0.00	0.71	90.0	0.00
^12/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.73	0.00	1.73	90.0	0.00
^12/01/2017	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.77	0.00	0.77	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	90.0	0.00
^12/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.56	0.00	3.56	90.0	0.00
^12/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.13	0.00	1.13	90.0	0.00
^12/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.56	0.00	2.56	90.0	0.00
^12/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.95	0.00	0.95	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^12/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.04	0.00	0.04	90.0	0.00
^12/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.79	0.00	0.79	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.58	0.00	0.58	90.0	0.00
^12/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.31	0.00	1.31	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.00
^12/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.72	0.00	1.72	90.0	0.00
^12/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.93	0.00	5.93	90.0	0.00
^12/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.11	0.00	3.11	90.0	0.00
^12/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.62	0.00	6.62	90.0	0.00
^12/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.17	0.00	1.17	90.0	0.00
^12/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.00
^12/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	90.0	0.00
^12/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	0.00	1.64	90.0	0.00
^12/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	90.0	0.00
^12/01/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.54	0.00	0.54	90.0	0.00
^12/01/2017	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.77	0.00	0.77	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.76	0.00	0.76	90.0	0.00
^12/01/2017	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.72	0.00	0.72	90.0	0.00
^12/01/2017	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.59	0.00	0.59	90.0	0.00
^12/01/2017	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.97	0.00	0.97	90.0	0.00
^12/01/2017	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.89	0.00	0.89	90.0	0.00
^11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	90.0	0.00
^11/16/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	3.17	0.00	3.17	90.0	0.00
^11/20/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	13.21	0.00	13.21	90.0	0.00
^11/24/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.54	0.00	0.54	90.0	0.00
^11/22/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.95	0.00	1.95	90.0	0.00
^11/20/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.75	0.00	0.75	90.0	0.00
^12/01/2017	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.99	0.00	0.99	90.0	0.00
^12/01/2017	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.97	0.00	0.97	90.0	0.00
^12/01/2017	IN/TOD	INFLATION LINKED BOND FUND A	0.00	1.03	0.00	1.03	90.0	0.00
^11/14/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.40	0.00	0.40	90.0	0.00
^11/24/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.78	0.00	3.78	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u></b>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$157.48	\$0.00	\$157.48		\$0.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$655.00	\$179.92	\$0.00	\$179.92		\$0.00

<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u></b>								
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.15	0.00	0.15	90.0	0.14
12/04/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
12/04/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
		* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$75.00	\$0.66	\$0.00	\$0.66		\$0.60

<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
12/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	1.49	0.00	1.49	90.0	1.34
12/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	1.06	0.00	1.06	90.0	0.95
12/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	1.97	0.00	1.97	90.0	1.77
12/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.26	0.00	1.26	90.0	1.13
12/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	4.46	0.00	4.46	90.0	4.01
12/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	1.19	0.00	1.19	90.0	1.07
11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	90.0	0.23
11/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
11/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
11/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
11/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	90.0	0.32
11/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
11/22/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
12/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
12/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.56	0.00	1.56	90.0	1.40
12/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	90.0	0.78
12/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.42
12/01/2017	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
12/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.28
12/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.20	0.00	1.20	90.0	1.08

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
12/01/2017	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.86	0.00	0.86	90.0	0.77
12/01/2017	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
12/01/2017	BAILEY, BENJAMIN	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.38
12/01/2017	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	90.0	0.78
12/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	4.42	0.00	4.42	90.0	3.98
11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	90.0	0.17
11/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
12/01/2017	IN/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.42
12/01/2017	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.19
12/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	0.00	0.52	90.0	0.47
12/01/2017	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	90.0	0.21
11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
12/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.07	0.00	1.07	90.0	0.96
12/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.34	0.00	0.34	90.0	0.31
12/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.77	0.00	0.77	90.0	0.69
12/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.01	0.00	0.01	90.0	0.01
12/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	90.0	0.22
11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	90.0	0.15
12/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.39	0.00	0.39	90.0	0.35
11/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	0.00	0.52	90.0	0.47
12/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.78	0.00	1.78	90.0	1.60
12/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.84
12/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.99	0.00	1.99	90.0	1.79
12/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32
12/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
12/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	90.0	0.11
12/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.49	0.00	0.49	90.0	0.44
12/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.48	0.00	0.48	90.0	0.43
12/01/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
12/01/2017	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.23	0.00	0.23	90.0	0.21
12/01/2017	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
12/01/2017	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.18	0.00	0.18	90.0	0.16
12/01/2017	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.27	0.00	0.27	90.0	0.24
11/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.16	0.00	0.16	90.0	0.14
11/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.43	0.00	1.43	90.0	1.29
11/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
12/04/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
11/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
12/04/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
11/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
11/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
11/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
12/04/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
12/04/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
12/04/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
11/16/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.95	0.00	0.95	90.0	0.86
11/20/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.96	0.00	3.96	90.0	3.56
11/24/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.16	0.00	0.16	90.0	0.14
11/22/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.59	0.00	0.59	90.0	0.53
11/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
11/20/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.23	0.00	0.23	90.0	0.21
12/01/2017	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.30	0.00	0.30	90.0	0.27
12/01/2017	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	IN/TOD	INFLATION LINKED BOND FUND A	0.00	0.31	0.00	0.31	90.0	0.28
11/14/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
11/24/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.13	0.00	1.13	90.0	1.02
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$580.00</b>	<b>\$53.36</b>	<b>\$0.00</b>	<b>\$53.36</b>		<b>\$48.00</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$655.00</b>	<b>\$54.02</b>	<b>\$0.00</b>	<b>\$54.02</b>		<b>\$48.60</b>

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\*\* - Indicates adjustment is not included in Totals or Commissions

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: PERSHING: MF PROSPECTUS MAILING	0.00					0.01
12/15/2017		*: PERSHING: MF PROSPECTUS MAILING	0.00					-1.40
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1.39

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$48.60
Override Transactions	\$0.00
Adjustments	\$-1.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$76.30
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$123.51
Year-to-date Gross	\$1,755.89
Year-to-date Earnings	\$1,438.33

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 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed