



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2018

06/15/2018
 Page No. 1

BRENT/BRION TRU- BLB LEE/NORBY

BROKER #: BLB
 BRANCH: TRUWEALTH FINANCIAL

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| ^06/01/2018 | RETZLAFF, SANDRA | MOODY NATIONAL REIT II INC | 26,000.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| | | * STOCKS SUBTOTAL * | \$26,000.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| | | *** CLEARING TRANSACTIONS TOTAL *** | \$26,000.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

| | | | | | | | | |
|--|------------------|---------------------------------|--------------|-------------|--------|-------------|-------|--------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: 1031 EXCHANGES</u> | | | | | | | | |
| ^06/01/2018 | SPITZLEY, MARIAN | MOODY DFW DST | 246,096.25 | 14,765.78 | 0.00 | 14,765.78 | 100.0 | 0.00 |
| | | * 1031 EXCHANGES SUBTOTAL * | \$246,096.25 | \$14,765.78 | \$0.00 | \$14,765.78 | | \$0.00 |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| ^06/01/2018 | KLINE, STEWART | AM FUNDS COLLEGE 2030 FUND 529A | 225.00 | 7.88 | 0.00 | 7.88 | 100.0 | 0.00 |
| ^06/01/2018 | KLINE, STEWART | COLLEGE 2033 FUND-529A | 180.00 | 6.30 | 0.00 | 6.30 | 100.0 | 0.00 |
| ^06/04/2018 | KLINE, STEWART | COLLEGE 2033 FUND-529A | 160.00 | 5.60 | 0.00 | 5.60 | 100.0 | 0.00 |
| | | * 529 PLANS SUBTOTAL * | \$565.00 | \$19.78 | \$0.00 | \$19.78 | | \$0.00 |

| | | | | | | | | |
|---|-----------------|------------------------------------|------|-------|------|-------|-------|------|
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.19 | 0.00 | 1.19 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^06/01/2018 | KLINE, STEWART | AM FUNDS COLLEGE 2030 FUND 529A | 0.00 | 11.18 | 0.00 | 11.18 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS COLLEGE 2030 FUND 529A | 0.00 | 0.31 | 0.00 | 0.31 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 1.17 | 0.00 | 1.17 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.47 | 0.00 | 0.47 | 100.0 | 0.00 |
| ^06/01/2018 | DEVLIN, DON | AM FUNDS FUNDAMENTAL INVESTORS A | 0.00 | 6.15 | 0.00 | 6.15 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS NEW WORLD A | 0.00 | 1.10 | 0.00 | 1.10 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS NEW WORLD A | 0.00 | 0.44 | 0.00 | 0.44 | 100.0 | 0.00 |
| ^06/01/2018 | DEVLIN, DON | AM FUNDS SMALLCAP WORLD A | 0.00 | 1.95 | 0.00 | 1.95 | 100.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------|-------------------------------------|----------------------|---------------------|--------------------|--------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 1.75 | 0.00 | 1.75 | 100.0 | 0.00 |
| ^06/01/2018 | MILLER, CHARLES | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 0.70 | 0.00 | 0.70 | 100.0 | 0.00 |
| ^06/01/2018 | KLINE, STEWART | COLLEGE 2027 FUND-529A | 0.00 | 12.22 | 0.00 | 12.22 | 100.0 | 0.00 |
| ^05/15/2018 | KASMER, SARA | COLLEGE 2033 FUND-529A | 0.00 | 0.45 | 0.00 | 0.45 | 100.0 | 0.00 |
| ^06/01/2018 | KLINE, STEWART | COLLEGE 2033 FUND-529A | 0.00 | 9.12 | 0.00 | 9.12 | 100.0 | 0.00 |
| ^06/01/2018 | KLINE, STEWART | COLLEGE 2033 FUND-529A | 0.00 | 7.60 | 0.00 | 7.60 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$56.28 | \$0.00 | \$56.28 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$246,661.25 | \$14,841.84 | \$0.00 | \$14,841.84 | | \$0.00 |

ADJUSTMENTS

| | | | | | | | | |
|----------------------------------|--|-----------------------------------|---------------|---------------|---------------|---------------|--|---------------|
| 06/15/2018 | | *CONCIERGE SVC: 217496 SPITZLEY | 0.00 | | | | | 0.00 |
| 06/15/2018 | | *PERSHING: ETF PROSPECTUS MAILING | 0.00 | | | | | 0.01 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.01 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.01 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.05 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.06 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed