



COMMISSION STATEMENT

February 19, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	80.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 00088153955	BUY 3 Units @28.5700						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 2 Units @28.4500						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @42.6900						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @66.0800						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @46.4900						
02/05/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00083088053	BUY 4 Units @53.7000						
02/06/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @53.9900						
02/05/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	150.00	4.50	0.00	4.50	80.0	3.60
	ACCOUNT #: 00084530390	BUY 11 Units @14.0200						
* MUTUAL FUNDS SUBTOTAL *			\$680.00	\$27.90	\$0.00	\$27.90		\$22.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/02/2018	***-**-0683 - DAVIS, SHARON	HARTFORD INFLATION PLUS FUND CLASS	0.00	19.64	0.00	19.64	80.0	15.71
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
02/14/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
02/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD ADVISERS FUND-C	0.00	17.21	0.00	17.21	80.0	13.77
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
02/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	22.37	0.00	22.37	80.0	17.90
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$59.52	\$0.00	\$59.52		\$47.62
*** BROKER TRANSACTIONS TOTAL ***			\$680.00	\$87.42	\$0.00	\$87.42		\$69.92



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BRANCH #01016 - 16 - CASPER

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
							\$69.92
							\$0.00
							\$0.00
							\$0.00
							\$0.00
							\$0.00
							\$38.05
							\$0.00
							\$0.00
							\$107.97
							\$0.00