



All Companies

06/28/2018

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COMMISSION STATEMENT

July 02, 2018

BLAKE CHRISTIAN MATTSON
7216 FISH POND RD.

BROKER #: 5744
BRANCH: HOME OFFICE

Waco, TX 76710-

COMMISSION STATEMENT for BLAKE CHRISTIAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/05/2018	WHITE, ABIGAIL/HAMILTO	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
06/14/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
06/14/2018	VAN BORSSUM, MARY M.	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	MAKOVY, BRENT	COLLEGEBOUND 529	B	200.00	7.00	0.00	7.00	90.0	6.30
06/11/2018	00009152435 MOTOR SHOP PSP	FOCUSED DIVIDEND STRAT CL C	B	1,450.00	14.50	0.00	14.50	90.0	13.05
06/15/2018	00066784040 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		100.00	1.00	0.00	1.00	90.0	0.90
06/11/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		81.25	0.81	0.00	0.81	90.0	0.73
06/22/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		100.00	1.00	0.00	1.00	90.0	0.90
06/22/2018	00083573209 HALL, CLAYTON D	THE INVESTMENT COMPANY OF AMERICA-C B		81.25	0.81	0.00	0.81	90.0	0.73
06/18/2018	00085178370 BAER, DANA	CAPITAL INCOME BUILDER-C	B	752.15	7.52	0.00	7.52	90.0	6.77
06/18/2018	00085178370 BAER, DANA	CAPITAL WORLD GROWTH AND INCOME FUN B		730.03	7.30	0.00	7.30	90.0	6.57
06/18/2018	00085178370 BAER, DANA	THE GROWTH FUND OF AMERICA-C	B	730.04	7.30	0.00	7.30	90.0	6.57
06/20/2018	00086492946 OLIVER, JANET	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/20/2018	00086492946 OLIVER, JANET	WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/20/2018	00086725137 FLORES, OLIVIA	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
06/20/2018	00086725137 FLORES, OLIVIA	THE BOND FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
06/20/2018	00086725137 FLORES, OLIVIA	THE INCOME FUND OF AMERICA-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
06/20/2018	00086725155 FLORES, OLIVIA	AMERICAN BALANCED FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/20/2018	00086725155 FLORES, OLIVIA	THE BOND FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/20/2018	00086725155 FLORES, OLIVIA	THE GROWTH FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/20/2018	00086725155 FLORES, OLIVIA	THE INCOME FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/21/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	315.19	3.15	0.00	3.15	90.0	2.84
06/21/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		315.19	3.15	0.00	3.15	90.0	2.84
06/15/2018	00089834562 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089834562 GRAHAM, HEATHER N.	THE INVESTMENT COMPANY OF AMERICA-5 B		50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089834576 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089834576 GRAHAM, HEATHER N.	THE INVESTMENT COMPANY OF AMERICA-5 B		50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	04000110803 NORS, JANA/HAYZE	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/15/2018	04000278693 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45

COMMISSION STATEMENT for BLAKE CHRISTIAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	04000278693 GRAHAM, HEATHER N.	WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	04000776693 MOORE, FRED	THE GROWTH FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	04000776693 MOORE, FRED	THE INCOME FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *				\$7,105.10	\$78.04	\$0.00	\$78.04		\$70.27
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,165.56	5,165.56	0.00	5,165.56	90.0	4,649.00
* STOCKS SUBTOTAL *				\$5,165.56	\$5,165.56	\$0.00	\$5,165.56		\$4,649.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/15/2018	CAIN, BRETT M.	BRIGHTHOUSE FINANCIAL	B	20,000.00	1,200.00	0.00	1,200.00	90.0	1,080.00
* VARIABLE ANNUITIES SUBTOTAL *				\$20,000.00	\$1,200.00	\$0.00	\$1,200.00		\$1,080.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	117.06	0.00	117.06	90.0	105.35
07/02/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	152.58	0.00	152.58	90.0	137.32
07/02/2018	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	48.11	0.00	48.11	90.0	43.30
07/02/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	272.61	0.00	272.61	90.0	245.35
07/02/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	4.11	0.00	4.11	90.0	3.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$594.47	\$0.00	\$594.47		\$535.02
*** BROKER TRANSACTIONS TOTAL ***				\$32,270.66	\$7,038.07	\$0.00	\$7,038.07		\$6,334.29
<u>ADJUSTMENTS</u>									
07/02/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-367.00
07/02/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-33.44
07/02/2018		HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-17.50
07/02/2018		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-26.30
07/02/2018		TECH: MATTSON ASST TECH SERVICES		0.00	0.00	0.00	0.00		-20.00
07/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-491.74

BROKER COMMISSION TOTALS

Broker Transactions	\$6,334.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-491.74
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$5,842.55
Year-to-date Earnings	\$71,438.88