

COMMISSION STATEMENT

July 31, 2017

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: 51

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/05/2017	QGW016040	NEWMAN, M		APPLE INC	S	-14,443.50	53.00	10.00	43.00 45.0 19.35
07/05/2017	QGW016040	NEWMAN, M		AT&T INC	S	-11,287.04	63.00	10.00	53.00 45.0 23.85
07/05/2017	QGW016040	NEWMAN, M		EXXON MOBIL CORPORATION	S	-12,627.19	53.00	10.00	43.00 45.0 19.35
07/05/2017	QGW016040	NEWMAN, M		GILEAD SCIENCES INC	S	-14,871.15	53.00	10.00	43.00 45.0 19.35
07/05/2017	QGW016040	NEWMAN, M		NETFLIX COM INC	S	-29,413.50	53.00	10.00	43.00 45.0 19.35
				* STOCKS SUBTOTAL *		\$-82,642.38	\$275.00	\$50.00	\$225.00 \$101.25
<u>PRODUCT CATEGORY: BONDS</u>									
07/10/2017	QGW016594	ARBERMANN, NANCY		UNITED STATES TREAS SEC STRIPPED IN S		-16,355.02	340.00	10.00	330.00 45.0 148.50
				* BONDS SUBTOTAL *		\$-16,355.02	\$340.00	\$10.00	\$330.00 \$148.50
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/30/2017	QGW024093	WOLLEMAN, TODD		CALL 100 INTEL CORP COM EXP 07-21-1 B		40.00	53.00	10.00	43.00 45.0 19.35
				* OPTIONS SUBTOTAL *		\$40.00	\$53.00	\$10.00	\$43.00 \$19.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/21/2017	QGW016115	MAYER, MURRAY		MFS GOVERNMENT SECURITIES FUND CLAS B		0.00	46.99	0.00	46.99 45.0 21.15
07/25/2017	QGW016123	DEMSKO, DARYL		PUTNAM HIGH-YIELD FUND CLASS A	B	0.00	10.91	0.00	10.91 45.0 4.91
07/17/2017	QGW016131	TUERS, ERIC		SELECTED AMERICAN SHARES CLASS S	B	0.00	1.14	0.00	1.14 45.0 0.51
07/17/2017	QGW016149	MIRZOEFF, DANA		OLSTEIN ALL CAP VALUE FUND CLASS C	B	0.00	19.02	0.00	19.02 45.0 8.56
07/17/2017	QGW016305	PEDDICORD TRUST,		ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	21.15	0.00	21.15 45.0 9.52
07/19/2017	QGW016347	AGAR REVOCABLE TRST,		NUVEEN CORE BOND FUND CLASS A	B	0.00	6.14	0.00	6.14 45.0 2.76
07/17/2017	QGW016354	PEDDICORD, JANE		ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	14.10	0.00	14.10 45.0 6.35
07/17/2017	QGW016412	MERGED TRUST, CARA		ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	14.10	0.00	14.10 45.0 6.35
07/18/2017	QGW016511	JASON, LAURIE		THE BOND FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01 45.0 0.00
07/13/2017	QGW016628	KRAUSS, SHARON		FRANKLIN INCOME FUND CLASS C	B	0.00	20.07	0.00	20.07 45.0 9.03
07/17/2017	QGW024150	GORDON, ARLENE		SELECTED AMERICAN SHARES CLASS S	B	0.00	5.10	0.00	5.10 45.0 2.30
07/17/2017	QGW025553	KRAUSS, NANCY		OLSTEIN ALL CAP VALUE FUND CLASS C	B	0.00	45.89	0.00	45.89 45.0 20.65
07/17/2017	QGW036626	MIRZOEFF, DANA		OLSTEIN ALL CAP VALUE FUND CLASS C	B	0.00	39.40	0.00	39.40 45.0 17.73
07/17/2017	QGW036626	MIRZOEFF, DANA		SELECTED AMERICAN SHARES CLASS S	B	0.00	6.15	0.00	6.15 45.0 2.77
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$250.17	\$0.00	\$250.17 \$112.59

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$-98,957.40	\$918.17	\$70.00	\$848.17	\$381.69
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ADJUSTMENTS

07/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
07/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$381.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.15
SIPC Assessment	\$-2.30

Check Amount	\$350.24
Year-to-date Earnings	\$417.76