

COMMISSION STATEMENT

June 30, 2018

LOUIS CIAVARELLA
21 MILITIA HILL DRIVE

BROKER #: V22 A04
BRANCH: FULCRUM GROUP

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/19/2018 75501737	HENDRIX, GREG	FA SEMICONDUCTORS FUND CLASS I	B	2,634.49	0.00	0.00	0.00	50.0	0.00
06/19/2018 75501737	HENDRIX, GREG	FA SEMICONDUCTORS FUND CLASS I	B	8,583.39	0.00	0.00	0.00	50.0	0.00
06/19/2018 75501737	HENDRIX, GREG	FIDELITY ADVISOR FINANCIAL SERVICES S		2,634.49	0.00	0.00	0.00	50.0	0.00
06/19/2018 75501737	HENDRIX, GREG	FIDELITY INVT TR FIDELITY ADV EUROP S		8,583.39	0.00	0.00	0.00	50.0	0.00
06/20/2018 75501737	HENDRIX, GREG	FIDELITY ADVISOR SMALL CAP GROWTH F B		2,479.93	0.00	0.00	0.00	50.0	0.00
06/20/2018 75501737	HENDRIX, GREG	FIDELITY ADVISOR MEGA CAP STOCK FD S		2,479.93	0.00	0.00	0.00	50.0	0.00
06/25/2018 75501738	MCDOWELL, CARL	FIDELITY ADVISOR ELECTRONICS FUND C B		3,000.00	150.00	0.00	150.00	50.0	75.00
06/05/2018 75501757	BERGEN, MIMI	**GABELLI UTILS FD	S	1,347.15	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$31,742.77	\$150.00	\$0.00	\$150.00		\$75.00

PRODUCT CATEGORY: STOCKS

06/19/2018 75501737	HENDRIX, GREG	BLACKROCK GLOBAL OPPORTUNITIES EQUI S		3,469.22	0.00	0.00	0.00	50.0	0.00
05/30/2018 75501746	CIAVARELLA, LOUIS	MICRON TECHNOLOGY INC	B	9,910.38	50.10	0.00	50.10	50.0	25.05
05/30/2018 75501746	CIAVARELLA, LOUIS	MONSTER BEVERAGE CORPORATION NEW	S	10,160.16	50.10	0.00	50.10	50.0	25.05
06/27/2018 75501757	BERGEN, MIMI	AMAZON.COM INC	B	8,307.55	0.00	0.00	0.00	50.0	0.00
06/08/2018 75501764	HALL, JOAN	ALIBABA GROUP HOLDING LTD SPONSORED S		5,341.77	15.00	0.00	15.00	50.0	0.00
06/08/2018 75501764	HALL, JOAN	EXACT SCIENCES CORP	S	3,179.63	15.00	0.00	15.00	50.0	0.00
06/08/2018 75501764	HALL, JOAN	EXXON MOBIL CORPORATION	S	4,256.08	15.00	0.00	15.00	50.0	0.00
06/11/2018 75502185	CARR, CHARLES	NVIDIA CORP	B	26,090.32	0.00	0.00	0.00	50.0	0.00
06/12/2018 75502185	CARR, CHARLES	INTUITIVE SURGICAL INC COM	B	49,382.52	0.00	0.00	0.00	50.0	0.00
06/12/2018 75502185	CARR, CHARLES	SALESFORCE.COM INC	B	54,418.00	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$174,515.63	\$145.20	\$0.00	\$145.20		\$50.10

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/11/2018 (None) VARIOUS, CIAVARELLA	DELAWARE LIFE	B	0.00	161.10	0.00	161.10	50.0	80.55
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$161.10	\$0.00	\$161.10	\$80.55

PRODUCT CATEGORY: RIA

04/01/2018 75501737	HENDRIX, GREG	FAS FEES PT. III/III	B	-358.00	119.34	0.00	119.34	50.0	59.67
04/01/2018 75501740	GIOMMI MCDOWELL,	FAS FEES PT. III/III	B	-1,795.34	598.46	0.00	598.46	50.0	299.23
04/01/2018 75501741	GIOMMI MCDOWELL,	FAS FEES PT. III/III	B	-696.52	232.18	0.00	232.18	50.0	116.09
04/01/2018 75501742	CIAVARELLA, LOUIS	FAS FEES PT. III/III	B	-104.14	34.72	0.00	34.72	50.0	17.36

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	75501749	CIAVARELLA, MELISSA	FAS FEES PT. III/III	B	-254.16	84.72	0.00	84.72	42.36
04/01/2018	75501752	CIAVARELLA, JAMES	FAS FEES PT. III/III	B	-51.32	17.12	0.00	17.12	8.56
04/01/2018	75501754	CIAVARELLA, JAMES	FAS FEES PT. III/III	B	-123.84	41.28	0.00	41.28	20.64
04/01/2018	75501755	MANFREDI, MICHAEL	FAS FEES PT. III/III	B	-880.60	293.54	0.00	293.54	146.77
04/01/2018	75501757	BERGEN, MIMI	FAS FEES PT. III/III	B	-157.53	52.51	0.00	52.51	26.26
04/25/2018	75502185	CARR, CHARLES	FAS FEES PT. III/III	B	-482.95	482.95	0.00	482.95	241.48
04/25/2018	75502185	CARR, CHARLES	FAS FEES PT. III/III	B	-1,448.86	659.56	0.00	659.56	329.78
* RIA SUBTOTAL *					\$-6,353.26	\$2,616.38	\$0.00	\$2,616.38	\$1,308.20

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

06/26/2018	75501696	DELVACCHIO, KATHLEEN	UIT FT S&P DIVID ARISTOCRATS BUY WR B		1,973.26	24.66	0.00	24.66	12.33
06/26/2018	75501696	DELVACCHIO, KATHLEEN	UIT FT SABRIENT BAKERS DOZEN PORT J B		1,928.06	24.10	0.00	24.10	12.05
06/22/2018	75501738	MCDOWELL, CARL	UIT FT AI ROBOTICS OPPORTUNITY PORT B		3,213.99	40.17	0.00	40.17	20.09
06/22/2018	75501738	MCDOWELL, CARL	UIT FT SABRIENT BAKERS DOZEN PORT J B		2,953.08	36.90	0.00	36.90	18.45
06/22/2018	75501738	MCDOWELL, CARL	UIT FT SABRIENT SMALL CAP GROWTH PO B		3,136.95	39.21	0.00	39.21	19.61
* UNIT INVESTMENT TRUSTS SUBTOTAL *					\$13,205.34	\$165.04	\$0.00	\$165.04	\$82.53

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018		FEE COUNT - 140			0.00	480.14	0.00	480.14	225.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$480.14	\$0.00	\$480.14	\$225.13
*** BROKER TRANSACTIONS TOTAL ***					\$213,110.48	\$3,717.86	\$0.00	\$3,717.86	\$1,821.51

ADJUSTMENTS

06/30/2018		MISCELLANEOUS: RBC W/O 75501672			0.00	0.00	0.00	0.00	-35.00
06/30/2018		MISCELLANEOUS: 2ND QTR -3/3 ADV CHR			0.00	0.00	0.00	0.00	-181.72
06/30/2018		MISCELLANEOUS: RBC W/O 75502177			0.00	0.00	0.00	0.00	-45.82
06/30/2018		OTHER: RBC W/O			0.00	0.00	0.00	0.00	-45.44
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-307.98

BROKER COMMISSION TOTALS

Broker Transactions	\$1,821.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-307.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.65
SIPC Assessment	\$-9.29

Check Amount	\$1,499.59
Year-to-date Earnings	\$15,769.54