

STATEMENT

July 20, 2018

STEVE GULA
17 Galahad Dr
Suite 100
Manalapan, NJ 07726-2613

BROKER #: 182
BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/29/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
05/29/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/29/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/29/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/29/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/29/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/29/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/29/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/29/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
05/29/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/29/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/29/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/29/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/29/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
06/04/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/04/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
06/04/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/04/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
06/04/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/04/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/04/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/04/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
06/04/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
06/04/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
06/04/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
06/04/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/04/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
06/04/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/06/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
06/06/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
06/06/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
06/06/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
06/06/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
06/06/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
06/06/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
06/06/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
06/06/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
06/07/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
06/07/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/07/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.45
06/07/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
06/07/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
06/07/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.90	0.19	93.0	0.00	0.18	0.18
06/07/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
06/07/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/07/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	4.48	0.07	93.0	0.00	0.07	0.07
06/07/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
06/07/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	4.48	0.07	93.0	0.00	0.07	0.07
06/07/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.90	0.19	93.0	0.00	0.18	0.18
06/07/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
06/07/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
06/07/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
06/07/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.24	0.04	93.0	0.00	0.04	0.04
06/07/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.88	0.19	93.0	0.00	0.18	0.18
06/07/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
06/07/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/07/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	6.72	0.11	93.0	0.00	0.10	0.10
06/07/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	4.48	0.07	93.0	0.00	0.07	0.07
06/07/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
06/07/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/07/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.15
06/07/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
06/07/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
06/07/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/07/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
06/07/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.15
06/07/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.90	0.19	93.0	0.00	0.18	0.18
06/07/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
06/11/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	12.58	0.25	93.0	0.00	0.23	0.23
06/11/2018	#00087510609	CHRISTOGEN	AMERICAN BALANCED FUND INC CLASS A	43.23	0.86	93.0	0.00	0.80	0.80
06/11/2018	#00087510595	HANSENCYNT	BOND FUND OF AMERICA INC CL A	18.37	0.37	93.0	0.00	0.34	0.34
06/11/2018	#00087510609	CHRISTOGEN	BOND FUND OF AMERICA INC CL A	21.61	0.43	93.0	0.00	0.40	0.40
06/11/2018	#00087510606	OLARTENEID	CAPITAL WORLD GROWTH & INCOME FUND	25.14	0.50	93.0	0.00	0.47	0.47
06/11/2018	#00087510595	HANSENCYNT	FUNDAMENTAL INVESTORS INC CLASS A	55.11	1.10	93.0	0.00	1.02	1.02
06/11/2018	#00087510606	OLARTENEID	FUNDAMENTAL INVESTORS INC CLASS A	50.28	1.01	93.0	0.00	0.94	0.94
06/11/2018	#00087510609	CHRISTOGEN	FUNDAMENTAL INVESTORS INC CLASS A	43.23	0.86	93.0	0.00	0.80	0.80
06/11/2018	#00087510595	HANSENCYNT	INCOME FUND OF AMERICA INC CLASS A	18.37	0.37	93.0	0.00	0.34	0.34
06/11/2018	#00087510606	OLARTENEID	INCOME FUND OF AMERICA INC CLASS A	50.28	1.01	93.0	0.00	0.94	0.94
06/11/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	231.08	4.62	93.0	0.00	4.30	4.30
06/11/2018	#00084721881	BILLINGS, MARY	INVESTMENT CO AMER CL C	99.03	0.99	93.0	0.00	0.92	0.92
06/11/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	73.49	1.47	93.0	0.00	1.37	1.37
06/11/2018	#00087510606	OLARTENEID	INVESTMENT CO AMER CLASS A	100.56	2.01	93.0	0.00	1.87	1.87
06/11/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	86.46	1.73	93.0	0.00	1.61	1.61
06/11/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
06/11/2018	#00087510595	HANSENCYNT	NEW PERSPECTIVE FUND INC CL A	18.37	0.37	93.0	0.00	0.34	0.34
06/11/2018	#00087510606	OLARTENEID	NEW PERSPECTIVE FUND INC CL A	12.57	0.25	93.0	0.00	0.23	0.23
06/11/2018	#00087510609	CHRISTOGEN	NEW PERSPECTIVE FUND INC CL A	21.61	0.43	93.0	0.00	0.40	0.40
06/11/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
06/12/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/12/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
06/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
06/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/12/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/12/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/12/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/12/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
06/12/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
06/12/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
06/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
06/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/12/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
06/12/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
06/13/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
06/13/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
06/13/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
06/13/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
06/13/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
06/13/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
06/13/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
06/13/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
06/13/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
06/15/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
06/15/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
06/15/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
06/15/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
06/15/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
06/15/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
06/15/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24
06/15/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
06/15/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
06/15/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
06/15/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
06/15/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
06/15/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
06/15/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
06/20/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
06/20/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
06/20/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
06/20/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
06/20/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
06/20/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
06/20/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
06/20/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
06/20/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
06/21/2018	#00086957342 O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
06/21/2018	#00086957363 WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/21/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.45
06/21/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
06/21/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
06/21/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	10.76	0.17	93.0	0.00	0.16	0.16
06/21/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
06/21/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/21/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	5.92	0.09	93.0	0.00	0.08	0.08
06/21/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
06/21/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	5.92	0.09	93.0	0.00	0.08	0.08
06/21/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	10.76	0.17	93.0	0.00	0.16	0.16
06/21/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
06/21/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
06/21/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
06/21/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.96	0.05	93.0	0.00	0.05	0.05
06/21/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	10.74	0.17	93.0	0.00	0.16	0.16
06/21/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
06/21/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/21/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	8.88	0.14	93.0	0.00	0.13	0.13
06/21/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	5.92	0.09	93.0	0.00	0.08	0.08
06/21/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
06/21/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
06/21/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.15
06/21/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
06/21/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
06/21/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
06/21/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
06/21/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.15
06/21/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	10.76	0.17	93.0	0.00	0.16	0.16
06/21/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
06/27/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
06/27/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
06/27/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
06/27/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
06/27/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/27/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
06/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/27/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/27/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/27/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
06/27/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
06/27/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
06/27/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
06/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
06/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
06/27/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
06/27/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
06/27/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
06/27/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
06/27/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
06/27/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
* MUTUAL FUNDS SUBTOTAL *			\$9,182.40	\$231.77		\$0.00	\$215.55	\$0.00	\$215.55
<u>PRODUCT CATEGORY: STOCKS</u>									
06/06/2018	#	GULA, STEVEN	PAYCHEX INC	20,292.30	315.00	85.0	0.00	267.75	247.80
06/06/2018	#	EDITH RELI REV TRUS	SPDR SER TR S&P REGL BKG ETF	19,621.98	315.00	85.0	0.00	267.75	247.80
06/06/2018	#	GULA, STEVEN	SPDR SER TR S&P REGL BKG ETF	6,540.34	105.00	85.0	0.00	89.25	69.30
06/07/2018	#	ZUBECK, CHARLOTTE	PROCTER & GAMBLE CO	7,590.34	105.00	85.0	0.00	89.25	69.30
06/15/2018	#	GULA, STEVEN	ANNALY CAPITAL MANAGEMENT INC	10,519.00	293.33	85.0	0.00	249.33	229.38
06/15/2018	#	GULA, STEVEN	GENERAL ELECTRIC CO	8,049.60	218.69	85.0	0.00	185.89	165.94
06/15/2018	#	GULA, STEVEN	PAYCHEX INC	6,888.70	105.00	85.0	0.00	89.25	69.30
06/15/2018	#	GHANEY, ROBERT	PAYCHEX INC	6,893.80	105.00	85.0	0.00	89.25	69.30
* STOCKS SUBTOTAL *			\$86,396.06	\$1,562.02		\$0.00	\$1,327.72	\$159.60	\$1,168.12
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00075715422	O'SHEA, KEVIN	AMCAP FUND INC CLASS A	0.00	9.69	93.0	0.00	9.01	9.01
06/01/2018	#00075837867	RELI, LINDA	AMCAP FUND INC CLASS A	0.00	11.81	93.0	0.00	10.98	10.98
06/01/2018	#00086957327	ENDO-POTTER,	AMCAP FUND INC CLASS A	0.00	1.23	93.0	0.00	1.14	1.14
06/01/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	0.00	2.36	93.0	0.00	2.19	2.19
06/01/2018	#00082666047	CONNELL, JUS	AMCAP FUND INC CLASS A	0.00	2.09	93.0	0.00	1.94	1.94
06/01/2018	#00075566801	O'NEILL, KEVIN	AMERICAN BALANCED FUND INC CLASS A	0.00	10.97	93.0	0.00	10.20	10.20
06/01/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	0.00	25.51	93.0	0.00	23.72	23.72

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	0.00	3.21	93.0	0.00	2.99	2.99
06/01/2018	#00087510609	CHRISTOGEN	AMERICAN BALANCED FUND INC CLASS A	0.00	0.09	93.0	0.00	0.08	0.08
06/01/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.66	93.0	0.00	4.33	4.33
06/01/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.52	93.0	0.00	3.27	3.27
06/01/2018	#00086957294	SANTIAGO, OLGA	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.21	93.0	0.00	0.20	0.20
06/01/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	4.15	93.0	0.00	3.86	3.86
06/01/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	4.11	93.0	0.00	3.82	3.82
06/01/2018	#00086957301	FARAH, ANDRE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	7.32	93.0	0.00	6.81	6.81
06/01/2018	#00086957332	ALVARADO, ROCIO	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	8.67	93.0	0.00	8.06	8.06
06/01/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	7.65	93.0	0.00	7.11	7.11
06/01/2018	#00086957353	SHIMOMOTO,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	11.54	93.0	0.00	10.73	10.73
06/01/2018	#00086960344	D'AVANZO,	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	9.31	93.0	0.00	8.66	8.66
06/01/2018	#00086832258	ORANVIL,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.02	93.0	0.00	0.02	0.02
06/01/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	18.31	93.0	0.00	17.03	17.03
06/01/2018	#00086957339	KELLOGG, TARA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	3.47	93.0	0.00	3.23	3.23
06/01/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	0.00	17.40	93.0	0.00	16.18	16.18
06/01/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	0.00	2.72	93.0	0.00	2.53	2.53
06/01/2018	#00086832258	ORANVIL,	AMERICAN FUNDS MORTGAGE FUND-A	0.00	0.01	93.0	0.00	0.01	0.01
06/01/2018	#00086957257	GRADY, TINEZ	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.01	93.0	0.00	0.01	0.01
06/01/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.24	93.0	0.00	2.08	2.08
06/01/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.51	93.0	0.00	4.19	4.19
06/01/2018	#00086957327	ENDO-POTTER,	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.18	93.0	0.00	1.10	1.10
06/01/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.27	93.0	0.00	3.04	3.04
06/01/2018	#00086957327	ENDO-POTTER,	BOND FUND OF AMERICA INC CL A	0.00	1.33	93.0	0.00	1.24	1.24
06/01/2018	#00086971611	COTTER, SEAN	BOND FUND OF AMERICA INC CL A	0.00	14.89	93.0	0.00	13.85	13.85
06/01/2018	#00087510595	HANSENCYNT	BOND FUND OF AMERICA INC CL A	0.00	0.06	93.0	0.00	0.06	0.06
06/01/2018	#00087510609	CHRISTOGEN	BOND FUND OF AMERICA INC CL A	0.00	0.16	93.0	0.00	0.15	0.15
06/01/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	0.00	0.61	93.0	0.00	0.57	0.57
06/01/2018	#00086971578	POWERS,	CAPITAL INCOME BUILDER FD CL A	0.00	1.22	93.0	0.00	1.13	1.13
06/01/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	0.00	7.80	93.0	0.00	7.25	7.25
06/01/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	0.00	0.70	93.0	0.00	0.65	0.65
06/01/2018	#00087747853	GLEIXNER,	CAPITAL INCOME BUILDER FD CL A	0.00	0.16	93.0	0.00	0.15	0.15
06/01/2018	#00088258370		CAPITAL INCOME BUILDER FD CL A	0.00	8.38	93.0	0.00	7.79	7.79
06/01/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.24	93.0	0.00	2.08	2.08
06/01/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.54	93.0	0.00	4.22	4.22
06/01/2018	#00087510606	OLARTENEID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.82	93.0	0.00	0.76	0.76

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00075715422	O'SHEA, KEVIN	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.41	93.0	0.00	4.10	4.10
06/01/2018	#00083636074		EURO PACIFIC GROWTH FUND CLASS A	0.00	0.90	93.0	0.00	0.84	0.84
06/01/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.86	93.0	0.00	2.66	2.66
06/01/2018	#00086971578	POWERS,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.35	93.0	0.00	1.26	1.26
06/01/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	0.00	8.58	93.0	0.00	7.98	7.98
06/01/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.39	93.0	0.00	0.36	0.36
06/01/2018	#00082666047	CONNELL, JUS	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.54	93.0	0.00	1.43	1.43
06/01/2018	#00075715422	O'SHEA, KEVIN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	14.01	93.0	0.00	13.03	13.03
06/01/2018	#00083636074		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.72	93.0	0.00	2.53	2.53
06/01/2018	#00083693171	CIRILLO,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.35	93.0	0.00	1.26	1.26
06/01/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.46	93.0	0.00	2.29	2.29
06/01/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.94	93.0	0.00	4.59	4.59
06/01/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.60	93.0	0.00	3.35	3.35
06/01/2018	#00086960344	D'AVANZO,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.11	93.0	0.00	4.75	4.75
06/01/2018	#00087510595	HANSENCYNT	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.21	93.0	0.00	0.20	0.20
06/01/2018	#00087510606	OLARTENEID	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.78	93.0	0.00	1.66	1.66
06/01/2018	#00087510609	CHRISTOGEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.11	93.0	0.00	0.10	0.10
06/01/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.26	93.0	0.00	1.17	1.17
06/01/2018	#00087747853	GLEIXNER,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.18	93.0	0.00	0.17	0.17
06/01/2018	#00088258370		FUNDAMENTAL INVESTORS INC CLASS A	0.00	10.76	93.0	0.00	10.01	10.01
06/01/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	0.00	0.71	93.0	0.00	0.66	0.66
06/01/2018	#00075566801	O'NEILL, KEVIN	GROWTH FUND AMERICA INC CLASS A	0.00	12.97	93.0	0.00	12.06	12.06
06/01/2018	#00086960344	D'AVANZO,	GROWTH FUND AMERICA INC CLASS A	0.00	3.57	93.0	0.00	3.32	3.32
06/01/2018	#00086971578	POWERS,	GROWTH FUND AMERICA INC CLASS A	0.00	1.64	93.0	0.00	1.53	1.53
06/01/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	0.00	10.21	93.0	0.00	9.50	9.50
06/01/2018	#00086971611	COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	0.00	25.96	93.0	0.00	24.14	24.14
06/01/2018	#00086957327	ENDO-POTTER,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.61	93.0	0.00	1.50	1.50
06/01/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	0.00	3.08	93.0	0.00	2.86	2.86
06/01/2018	#00087510595	HANSENCYNT	INCOME FUND OF AMERICA INC CLASS A	0.00	0.10	93.0	0.00	0.09	0.09
06/01/2018	#00087510606	OLARTENEID	INCOME FUND OF AMERICA INC CLASS A	0.00	1.51	93.0	0.00	1.40	1.40
06/01/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	7.86	93.0	0.00	7.31	7.31
06/01/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	2.51	93.0	0.00	2.33	2.33
06/01/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-C	0.00	97.75	93.0	0.00	90.91	90.91
06/01/2018	#00084721881	BILLINGS, MARY	INVESTMENT CO AMER CL C	0.00	83.30	93.0	0.00	77.47	77.47
06/01/2018	#00075566801	O'NEILL, KEVIN	INVESTMENT CO AMER CLASS A	0.00	14.66	93.0	0.00	13.63	13.63
06/01/2018	#00075715422	O'SHEA, KEVIN	INVESTMENT CO AMER CLASS A	0.00	17.89	93.0	0.00	16.64	16.64

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00083636074	INVESTMENT CO AMER CLASS A	0.00	2.53	93.0	0.00	2.35	0.00	2.35
06/01/2018	#00083693171 CIRILLO,	INVESTMENT CO AMER CLASS A	0.00	4.77	93.0	0.00	4.44	0.00	4.44
06/01/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	0.00	54.34	93.0	0.00	50.54	0.00	50.54
06/01/2018	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
06/01/2018	#00086957294 SANTIAGO, OLGA	INVESTMENT CO AMER CLASS A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
06/01/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	0.00	18.83	93.0	0.00	17.51	0.00	17.51
06/01/2018	#00086960344 D'AVANZO,	INVESTMENT CO AMER CLASS A	0.00	11.36	93.0	0.00	10.56	0.00	10.56
06/01/2018	#00086971578 POWERS,	INVESTMENT CO AMER CLASS A	0.00	1.42	93.0	0.00	1.32	0.00	1.32
06/01/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	0.00	9.29	93.0	0.00	8.64	0.00	8.64
06/01/2018	#00086971611 COTTER, SEAN	INVESTMENT CO AMER CLASS A	0.00	38.33	93.0	0.00	35.65	0.00	35.65
06/01/2018	#00087510595 HANSENCYNT	INVESTMENT CO AMER CLASS A	0.00	1.27	93.0	0.00	1.18	0.00	1.18
06/01/2018	#00087510606 OLARTENEID	INVESTMENT CO AMER CLASS A	0.00	3.40	93.0	0.00	3.16	0.00	3.16
06/01/2018	#00087510609 CHRISTOGEN	INVESTMENT CO AMER CLASS A	0.00	0.77	93.0	0.00	0.72	0.00	0.72
06/01/2018	#00087747853 GLEIXNER,	INVESTMENT CO AMER CLASS A	0.00	0.17	93.0	0.00	0.16	0.00	0.16
06/01/2018	#00088258370	INVESTMENT CO AMER CLASS A	0.00	19.79	93.0	0.00	18.40	0.00	18.40
06/01/2018	#04000749029 LAPIDOW,	INVESTMENT CO AMER CLASS A	0.00	0.78	93.0	0.00	0.73	0.00	0.73
06/01/2018	#00066544100 SCARSELLAC	INVESTMENT CO AMER CLASS A	0.00	0.90	93.0	0.00	0.84	0.00	0.84
06/01/2018	#00075716245 CONNEL, LEA	INVESTMENT CO AMER CLASS A	0.00	6.05	93.0	0.00	5.63	0.00	5.63
06/01/2018	#00082666047 CONNELL, JUS	INVESTMENT CO AMER CLASS A	0.00	7.26	93.0	0.00	6.75	0.00	6.75
06/01/2018	#00075566801 O'NEILL, KEVIN	NEW PERSPECTIVE FUND INC CL A	0.00	9.26	93.0	0.00	8.61	0.00	8.61
06/01/2018	#00083693171 CIRILLO,	NEW PERSPECTIVE FUND INC CL A	0.00	1.24	93.0	0.00	1.15	0.00	1.15
06/01/2018	#00086960344 D'AVANZO,	NEW PERSPECTIVE FUND INC CL A	0.00	3.31	93.0	0.00	3.08	0.00	3.08
06/01/2018	#00086971611 COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	0.00	14.67	93.0	0.00	13.64	0.00	13.64
06/01/2018	#00087510595 HANSENCYNT	NEW PERSPECTIVE FUND INC CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
06/01/2018	#00087510606 OLARTENEID	NEW PERSPECTIVE FUND INC CL A	0.00	0.43	93.0	0.00	0.40	0.00	0.40
06/01/2018	#00087510609 CHRISTOGEN	NEW PERSPECTIVE FUND INC CL A	0.00	0.18	93.0	0.00	0.17	0.00	0.17
06/01/2018	#00087747853 GLEIXNER,	NEW PERSPECTIVE FUND INC CL A	0.00	0.18	93.0	0.00	0.17	0.00	0.17
06/01/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	0.00	3.20	93.0	0.00	2.98	0.00	2.98
06/01/2018	#00086971578 POWERS,	NEW WORLD FUND INC NEW	0.00	1.32	93.0	0.00	1.23	0.00	1.23
06/01/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	0.00	8.42	93.0	0.00	7.83	0.00	7.83
06/01/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	0.00	16.65	93.0	0.00	15.48	0.00	15.48
06/01/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	0.00	2.37	93.0	0.00	2.20	0.00	2.20
06/01/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	0.00	1.06	93.0	0.00	0.99	0.00	0.99
06/01/2018	#00086957294 SANTIAGO, OLGA	SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
06/01/2018	#00075075106 CONNEL, LEA	THE INVESTMENT COMPANY OF AMERICA F	0.00	5.52	93.0	0.00	5.13	0.00	5.13
06/01/2018	#00084721874 BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	0.00	382.47	93.0	0.00	355.70	0.00	355.70

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.30	93.0	0.00	2.14	2.14
06/01/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.71	93.0	0.00	4.38	4.38
06/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADV HIGH INCOME-CL A	0.00	7.00	93.0	0.00	6.51	6.51
06/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.81	93.0	0.00	10.05	10.05
06/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.46	93.0	0.00	0.43	0.43
06/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADV EQUITY INCOME-CL A	0.00	7.64	93.0	0.00	7.11	7.11
06/07/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	0.00	4.25	93.0	0.00	3.95	3.95
06/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	0.00	4.99	93.0	0.00	4.64	4.64
06/07/2018	#01464755600	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.44	93.0	0.00	0.41	0.41
06/07/2018	#01464755626	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	1.49	93.0	0.00	1.39	1.39
06/07/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.53	93.0	0.00	0.49	0.49
06/07/2018	#01464755691	VARIOUS GULA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.04
06/07/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.54	93.0	0.00	0.50	0.50
06/07/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.41	93.0	0.00	0.38	0.38
06/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.59	93.0	0.00	1.48	1.48
06/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.92	93.0	0.00	0.86	0.86
06/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	0.00	6.13	93.0	0.00	5.70	5.70
06/07/2018	#01464755600	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.58	93.0	0.00	0.54	0.54
06/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADV HEALTH CARE-CL A	0.00	1.39	93.0	0.00	1.29	1.29
06/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.57	93.0	0.00	0.53	0.53
06/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	0.93	93.0	0.00	0.86	0.86
06/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	2.30	93.0	0.00	2.14	2.14
06/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.45	93.0	0.00	0.42	0.42
06/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV UTILITIES-CL A	0.00	0.49	93.0	0.00	0.46	0.46
06/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.60	93.0	0.00	0.56	0.56
06/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.22	93.0	0.00	1.13	1.13
06/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	2.15	93.0	0.00	2.00	2.00
06/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.21	93.0	0.00	0.20	0.20
06/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.69	93.0	0.00	1.57	1.57
06/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.54	93.0	0.00	0.50	0.50
06/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	7.24	93.0	0.00	6.73	6.73
06/07/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.04	93.0	0.00	0.04	0.04
06/07/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.60	93.0	0.00	1.49	1.49
06/11/2018	# GHANEY, ROBERT		DAIN TRAILER	0.00	0.15	93.0	0.00	0.14	0.14
06/11/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	1.28	93.0	0.00	1.19	1.19
06/11/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	0.43	93.0	0.00	0.40	0.40

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.55	93.0	0.00	2.37	0.00	2.37
06/11/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.26	93.0	0.00	3.03	0.00	3.03
06/11/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	12.33	93.0	0.00	11.47	0.00	11.47
06/11/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	5.12	93.0	0.00	4.76	0.00	4.76
06/11/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	11.79	93.0	0.00	10.96	0.00	10.96
06/11/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	15.72	93.0	0.00	14.62	0.00	14.62
06/11/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	5.20	93.0	0.00	4.84	0.00	4.84
06/11/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	7.71	93.0	0.00	7.17	0.00	7.17
06/11/2018	# VALVO, JOHN	DAIN TRAILER	0.00	4.87	93.0	0.00	4.53	0.00	4.53
06/11/2018	# VALVO, JOHN	DAIN TRAILER	0.00	0.27	93.0	0.00	0.25	0.00	0.25
06/11/2018	# VALVO, JOHN	DAIN TRAILER	0.00	0.76	93.0	0.00	0.71	0.00	0.71
06/11/2018	# RELI, LINDA	DAIN TRAILER	0.00	0.36	93.0	0.00	0.33	0.00	0.33
06/11/2018	# BOYD, KENNETH	DAIN TRAILER	0.00	9.35	93.0	0.00	8.70	0.00	8.70
06/11/2018	# BOYD, KENNETH	DAIN TRAILER	0.00	17.48	93.0	0.00	16.26	0.00	16.26
06/11/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.97	93.0	0.00	2.76	0.00	2.76
06/11/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	9.43	93.0	0.00	8.77	0.00	8.77
06/11/2018	# DURDEN, LEEANNE	DAIN TRAILER	0.00	0.56	93.0	0.00	0.52	0.00	0.52
06/11/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	2.70	93.0	0.00	2.51	0.00	2.51
06/11/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	5.59	93.0	0.00	5.20	0.00	5.20
06/11/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	5.83	93.0	0.00	5.42	0.00	5.42
06/11/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	8.03	93.0	0.00	7.47	0.00	7.47
06/11/2018	# GHANEY, ROBERT	DAIN TRAILER	0.00	0.07	93.0	0.00	0.07	0.00	0.07
06/11/2018	# LUBERTO, CHRISTINE	DAIN TRAILER	0.00	2.06	93.0	0.00	1.92	0.00	1.92
06/11/2018	# LUBERTO, CHRISTINE	DAIN TRAILER	0.00	4.18	93.0	0.00	3.89	0.00	3.89
06/11/2018	# ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.70	93.0	0.00	0.65	0.00	0.65
06/11/2018	# ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.76	93.0	0.00	1.64	0.00	1.64
06/11/2018	# CIRILLO, WILLIAM	DAIN TRAILER	0.00	0.09	93.0	0.00	0.08	0.00	0.08
06/11/2018	# CIRILLO, WILLIAM	DAIN TRAILER	0.00	1.31	93.0	0.00	1.22	0.00	1.22
06/11/2018	# CIRILLO, WILLIAM	DAIN TRAILER	0.00	0.89	93.0	0.00	0.83	0.00	0.83
06/11/2018	# CIRILLO, WILLIAM	DAIN TRAILER	0.00	0.15	93.0	0.00	0.14	0.00	0.14
06/13/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	1.30	93.0	0.00	1.21	0.00	1.21
06/13/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	6.59	93.0	0.00	6.13	0.00	6.13
06/13/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	1.30	93.0	0.00	1.21	0.00	1.21
06/13/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	2.64	93.0	0.00	2.46	0.00	2.46
06/26/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	2.61	93.0	0.00	2.43	0.00	2.43

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,446.50		\$0.00	\$1,345.27	\$0.00	\$1,345.27
		*** BROKER TRANSACTIONS TOTAL ***	\$95,578.46	\$3,240.29		\$0.00	\$2,888.54	\$159.60	\$2,728.94

ADJUSTMENTS

07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	ADMIN FEE: ADMIN FEE	-390.00
	*** ADJUSTMENTS TOTAL ***	\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,728.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,159.94
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