



COMMISSION STATEMENT

January 15, 2018

WILLIAM ROBERTS
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 16
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-9904 - PRZYLUKE, ACCOUNT #: 00011753000	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	209.40	0.00	209.40	85.0	177.99
12/31/2017	***-**-07IN - MULLER, ACCOUNT #: 03650014091	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	158.92	0.00	158.92	85.0	135.08
12/31/2017	***-**-74IN - KUBIAK, HENRY J ACCOUNT #: 03650163174	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	85.0	2.41
12/31/2017	***-**-42IN - RUES, JAMES ACCOUNT #: 03651611720	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	93.09	0.00	93.09	85.0	79.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$464.24	\$0.00	\$464.24		\$394.61
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$464.24	\$0.00	\$464.24		\$394.61
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: FREDERIC SCARPULLA</u>								
12/31/2017	***-**-11IN - ROBERTS NEEDS ACCOUNT #: 02401512863	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	104.20	0.00	104.20	85.0	88.57
12/31/2017	***-**-11IN - ROBERTS NEEDS ACCOUNT #: 03551129898	ROCH. LIMITED TERM NEW YORK MUNICIP BUY 0 Units @0.0000	0.00	224.05	0.00	224.05	85.0	190.44
* FREDERIC SCARPULLA SUBTOTAL *			\$0.00	\$328.25	\$0.00	\$328.25		\$279.01
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$328.25	\$0.00	\$328.25		\$279.01



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions \$394.61									
Split Transactions \$279.01									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$0.00									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$673.62									
Year-to-date Earnings \$3,150.90									