



Concorde Investment Services, LLC

09/13/2017

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## COMMISSION STATEMENT

September 15, 2017

ROBERT THOMPSON  
7261 W. Central Ave.

BROKER #: T118  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,731.44	8.07	0.00	8.07	90.0	7.26
08/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,157.55	1.35	0.00	1.35	90.0	1.22
08/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	40,750.63	33.96	0.00	33.96	90.0	30.56
08/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,716.68	86.43	0.00	86.43	90.0	77.79
08/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,465.58	22.05	0.00	22.05	90.0	19.85
08/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,768.37	31.47	0.00	31.47	90.0	28.32
08/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	131,146.84	109.29	0.00	109.29	90.0	98.36
08/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	136,964.76	114.14	0.00	114.14	90.0	102.73
08/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	175,101.60	145.92	0.00	145.92	90.0	131.33
08/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,443.39	21.20	0.00	21.20	90.0	19.08
08/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	45,760.96	38.13	0.00	38.13	90.0	34.32
08/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,617.85	15.51	0.00	15.51	90.0	13.96
08/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,506.55	20.42	0.00	20.42	90.0	18.38
08/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,584.89	140.49	0.00	140.49	90.0	126.44
08/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	159,758.45	133.13	0.00	133.13	90.0	119.82
08/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,473.75	128.73	0.00	128.73	90.0	115.86
08/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	222,102.55	185.09	0.00	185.09	90.0	166.58
08/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,619.26	35.52	0.00	35.52	90.0	31.97
08/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	119,999.21	100.00	0.00	100.00	90.0	90.00
08/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	112,091.47	93.41	0.00	93.41	90.0	84.07
08/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	121,852.63	101.54	0.00	101.54	90.0	91.39
08/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,213.37	20.18	0.00	20.18	90.0	18.16
08/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,541.60	23.78	0.00	23.78	90.0	21.40
08/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	57,135.41	59.52	0.00	59.52	90.0	53.57
08/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	135,145.30	112.62	0.00	112.62	90.0	101.36
08/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,617.02	3.85	0.00	3.85	90.0	3.47

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	257,611.19	214.68	0.00	214.68	90.0	193.21
08/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,175.63	20.15	0.00	20.15	90.0	18.14
08/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,058.84	5.05	0.00	5.05	90.0	4.55
08/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	55,267.15	46.06	0.00	46.06	90.0	41.45
08/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,141.68	78.45	0.00	78.45	90.0	70.61
08/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,108.80	40.09	0.00	40.09	90.0	36.08
08/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	32,032.34	26.69	0.00	26.69	90.0	24.02
08/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,029.44	32.52	0.00	32.52	90.0	29.27
08/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	255,667.54	213.06	0.00	213.06	90.0	191.75
08/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,526.24	131.27	0.00	131.27	90.0	118.14
08/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	78,560.83	65.47	0.00	65.47	90.0	58.92
08/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,571.55	2.14	0.00	2.14	90.0	1.93
08/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	235,790.34	196.49	0.00	196.49	90.0	176.84
08/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,564.89	95.47	0.00	95.47	90.0	85.92
08/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,615.40	2.18	0.00	2.18	90.0	1.96
08/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	121,831.25	101.53	0.00	101.53	90.0	91.38
08/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,926.94	22.44	0.00	22.44	90.0	20.20
08/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,602.76	83.84	0.00	83.84	90.0	75.46
08/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	81,895.73	68.25	0.00	68.25	90.0	61.43
08/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,984.94	33.32	0.00	33.32	90.0	29.99
08/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	111,736.17	93.11	0.00	93.11	90.0	83.80
08/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	70,500.44	58.75	0.00	58.75	90.0	52.88
08/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,475.95	82.90	0.00	82.90	90.0	74.61
08/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,079.33	144.23	0.00	144.23	90.0	129.81
08/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,405.06	179.50	0.00	179.50	90.0	161.55
08/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,456.74	22.05	0.00	22.05	90.0	19.85
08/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,236.56	64.36	0.00	64.36	90.0	57.92
08/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,151.98	125.13	0.00	125.13	90.0	112.62
08/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	60,649.84	50.54	0.00	50.54	90.0	45.49
08/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,301.19	26.08	0.00	26.08	90.0	23.47
08/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	113,810.74	94.84	0.00	94.84	90.0	85.36
08/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,196.76	140.16	0.00	140.16	90.0	126.14
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,231,231.35</b>	<b>\$4,346.60</b>	<b>\$0.00</b>	<b>\$4,346.60</b>		<b>\$3,912.00</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$0.10	\$0.00	\$0.10		\$0.09
		*** BROKER TRANSACTIONS TOTAL ***	\$5,231,231.35	\$4,346.70	\$0.00	\$4,346.70		\$3,912.09

<b><u>ADJUSTMENTS</u></b>								
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-576.50

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$3,912.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-576.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,335.59
Year-to-date Gross	\$72,451.40
Year-to-date Earnings	\$65,265.60

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