

**COMMISSION STATEMENT****March 15, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^02/27/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,516.94	44.60	0.00	44.60	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$52,516.94	\$44.60	\$0.00	\$44.60		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^02/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	100.00	4.75	0.00	4.75	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.00
^02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
^02/28/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.30	0.00	0.30	90.0	0.00
^02/28/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
^03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
^02/28/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	70.00	0.70	0.00	0.70	90.0	0.00
		* 529 PLANS SUBTOTAL *	\$400.00	\$12.51	\$0.00	\$12.51		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	7.50	0.00	7.50	90.0	0.00
^02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	4.26	0.00	4.26	90.0	0.00
^02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	4.26	0.00	4.26	90.0	0.00
^02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	10.38	0.00	10.38	90.0	0.00
^02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^03/02/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	175.00	8.75	0.00	8.75	90.0	0.00
^02/20/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^02/20/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
^02/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^02/28/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^02/23/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^02/28/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^03/09/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^02/28/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^02/23/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^03/09/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^02/23/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^03/09/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^02/28/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,941.00	\$131.59	\$0.00	\$131.59		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

^03/01/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	6.36	0.00	6.36	90.0	0.00
^03/01/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	4.73	0.00	4.73	90.0	0.00
^03/01/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	8.54	0.00	8.54	90.0	0.00
^03/01/2018	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	4.39	0.00	4.39	90.0	0.00
^03/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	16.24	0.00	16.24	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	90.0	0.00
^02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.62	0.00	0.62	90.0	0.00
^02/21/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.00
^02/21/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	90.0	0.00
^02/21/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.28	0.00	1.28	90.0	0.00
^02/21/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.00
^03/01/2018	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.29	0.00	1.29	90.0	0.00
^03/01/2018	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.38	0.00	5.38	90.0	0.00
^03/01/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	3.44	0.00	3.44	90.0	0.00
^03/01/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	4.64	0.00	4.64	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/01/2018	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	1.62	0.00	1.62	90.0	0.00
^03/01/2018	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.00
^03/01/2018	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	90.0	0.00
^03/01/2018	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	90.0	0.00
^03/01/2018	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	4.12	0.00	4.12	90.0	0.00
^03/01/2018	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	3.00	0.00	3.00	90.0	0.00
^03/01/2018	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	90.0	0.00
^03/01/2018	BAILEY, BENJAMIN	AM FUNDS AMERICAN BALANCED A	0.00	2.05	0.00	2.05	90.0	0.00
^03/01/2018	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	6.61	0.00	6.61	90.0	0.00
^03/01/2018	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	15.18	0.00	15.18	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.63	0.00	0.63	90.0	0.00
^02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.73	0.00	0.73	90.0	0.00
^03/01/2018	IN/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.68	0.00	0.68	90.0	0.00
^03/01/2018	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.54	0.00	1.54	90.0	0.00
^03/01/2018	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.00
^02/21/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	90.0	0.00
^03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.76	0.00	1.76	90.0	0.00
^03/01/2018	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.77	0.00	0.77	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	90.0	0.00
^03/01/2018	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.74	0.00	3.74	90.0	0.00
^03/01/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.18	0.00	1.18	90.0	0.00
^03/01/2018	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.69	0.00	2.69	90.0	0.00
^03/01/2018	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.99	0.00	0.99	90.0	0.00
^03/01/2018	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.04	0.00	0.04	90.0	0.00
^03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.62	0.00	0.62	90.0	0.00
^03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.48	0.00	1.48	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.00
^03/01/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.31	0.00	1.31	90.0	0.00
^03/01/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.55	0.00	6.55	90.0	0.00
^03/01/2018	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	90.0	0.00
^03/01/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.84	0.00	7.84	90.0	0.00
^03/01/2018	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.25	0.00	1.25	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^03/01/2018	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.00
^03/01/2018	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.00
^03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.75	0.00	1.75	90.0	0.00
^03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.73	0.00	1.73	90.0	0.00
^03/01/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.10	0.00	1.10	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.00
^03/01/2018	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.77	0.00	0.77	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.75	0.00	0.75	90.0	0.00
^03/01/2018	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.70	0.00	0.70	90.0	0.00
^03/01/2018	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.83	0.00	0.83	90.0	0.00
^03/01/2018	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.94	0.00	0.94	90.0	0.00
^03/01/2018	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.26	0.00	1.26	90.0	0.00
^02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	90.0	0.00
^02/16/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	3.50	0.00	3.50	90.0	0.00
^02/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	14.51	0.00	14.51	90.0	0.00
^02/23/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.57	0.00	0.57	90.0	0.00
^02/16/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	2.05	0.00	2.05	90.0	0.00
^02/21/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.75	0.00	0.75	90.0	0.00
^03/01/2018	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.99	0.00	0.99	90.0	0.00
^03/01/2018	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.94	0.00	0.94	90.0	0.00
^03/01/2018	IN/TOD	INFLATION LINKED BOND FUND A	0.00	1.47	0.00	1.47	90.0	0.00
^02/27/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.77	0.00	3.77	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$173.34	\$0.00	\$173.34		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$55,857.94	\$362.04	\$0.00	\$362.04		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
02/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	100.00	1.43	0.00	1.43	90.0	1.29
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.15	0.00	0.15	90.0	0.14
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.15	0.00	0.15	90.0	0.14
02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
02/28/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.09	0.00	0.09	90.0	0.08
02/28/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
02/28/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	70.00	0.21	0.00	0.21	90.0	0.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$400.00	\$3.76	\$0.00	\$3.76		\$3.40
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.25	0.00	2.25	90.0	2.03
02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
03/01/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	1.91	0.00	1.91	90.0	1.72
02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	1.28	0.00	1.28	90.0	1.15
02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	1.28	0.00	1.28	90.0	1.15
03/01/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	1.42	0.00	1.42	90.0	1.28
02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.11	0.00	3.11	90.0	2.80
02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
03/01/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	2.56	0.00	2.56	90.0	2.30
03/01/2018	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.32	0.00	1.32	90.0	1.19
03/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	4.87	0.00	4.87	90.0	4.38
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
02/21/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
02/21/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.10
02/21/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
02/21/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.39	0.00	0.39	90.0	0.35
03/01/2018	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.61	0.00	1.61	90.0	1.45
03/01/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.93
03/01/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	1.39	0.00	1.39	90.0	1.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
03/01/2018	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.44
03/01/2018	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.24	0.00	1.24	90.0	1.12
03/01/2018	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.90	0.00	0.90	90.0	0.81
03/01/2018	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2018	BAILEY, BENJAMIN	AM FUNDS AMERICAN BALANCED A	0.00	0.62	0.00	0.62	90.0	0.56
03/01/2018	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	1.98	0.00	1.98	90.0	1.78
03/02/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	175.00	2.63	0.00	2.63	90.0	2.37
03/01/2018	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	4.55	0.00	4.55	90.0	4.10
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	90.0	0.17
02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2018	IN/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2018	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
03/01/2018	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.19
02/21/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2018	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	90.0	0.21
02/20/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.12	0.00	1.12	90.0	1.01
03/01/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.81	0.00	0.81	90.0	0.73
03/01/2018	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	90.0	0.23
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.44	0.00	0.44	90.0	0.40
02/20/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
02/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
02/28/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
03/01/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
03/01/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.97	0.00	1.97	90.0	1.77
03/01/2018	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.35	0.00	2.35	90.0	2.12
03/01/2018	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
03/01/2018	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	0.00	0.52	90.0	0.47
03/01/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	90.0	0.30
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2018	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.21	0.00	0.21	90.0	0.19
03/01/2018	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2018	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2018	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.38	0.00	0.38	90.0	0.34
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
02/23/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
02/28/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
03/09/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
02/28/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
02/27/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,516.94	13.38	0.00	13.38	90.0	12.04
02/16/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.05	0.00	1.05	90.0	0.95
02/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.35	0.00	4.35	90.0	3.92
02/23/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.17	0.00	0.17	90.0	0.15
02/16/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.62	0.00	0.62	90.0	0.56
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
02/23/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
03/09/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
02/23/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
03/09/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
02/28/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
02/21/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2018	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2018	IN/TOD	INFLATION LINKED BOND FUND A	0.00	0.44	0.00	0.44	90.0	0.40
02/27/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.13	0.00	1.13	90.0	1.02
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$55,457.94	\$104.95	\$0.00	\$104.95		\$94.52
*** SPLIT TRANSACTIONS TOTAL ***			\$55,857.94	\$108.71	\$0.00	\$108.71		\$97.92

ADJUSTMENTS

03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.01
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.59
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$97.92
Override Transactions	\$0.00
Adjustments	\$-0.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$97.32
Year-to-date Gross	\$400.51
Year-to-date Earnings	\$348.90