

**COMMISSION STATEMENT****October 31, 2017****ROBERT SMITH**5000 Meadows Road
Suite 230

Lake Oswego, OR 97035

BROKER #: S117

BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/25/2017	BENNETT, EDWIN	BANK AMER CORP NON CUM PERP CONV PF	11,744.64	352.34	22.50	329.84	92.0	303.45
10/25/2017	BENNETT, EDWIN	BUNGE LTD CONV PFD FXD CPN 4.875% C	10,626.00	318.78	22.50	296.28	92.0	272.58
10/25/2017	BENNETT, EDWIN	COHEN & STEERS INFRASTRUCTURE FD IN	19,769.77	593.09	23.29	569.80	92.0	524.22
10/25/2017	BENNETT, EDWIN	COLONY NORTHSTAR INC PERP PFD SER I	10,104.72	303.14	22.60	280.54	92.0	258.10
10/25/2017	BENNETT, EDWIN	FLAHERTY & CRUMRINE PFD SECS INCOME	29,490.02	884.70	24.09	860.61	92.0	791.76
10/25/2017	BENNETT, EDWIN	GAMCO GLOBAL GOLD NAT RES & INCOME	7,206.68	216.20	23.95	192.25	92.0	176.87
10/25/2017	BENNETT, EDWIN	KAYNE ANDERSON MLP INVT CO COM	9,564.00	286.92	22.90	264.02	92.0	242.90
10/25/2017	BENNETT, EDWIN	KINDER MORGAN INC DEL DEPOSITARY SH	7,654.00	229.62	22.50	207.12	92.0	190.55
10/25/2017	BENNETT, EDWIN	KKR & CO L P DEL PFD DIV RATE 6.50%	13,482.70	404.48	22.75	381.73	92.0	351.19
10/25/2017	BENNETT, EDWIN	MEDICAL PPTYS TR INC COM	10,564.96	316.95	23.20	293.75	92.0	270.25
10/25/2017	BENNETT, EDWIN	RMR REAL ESTATE INCOME FD COM	9,774.85	293.25	22.75	270.50	92.0	248.86
10/25/2017	BENNETT, EDWIN	SENIOR HSG PPTYS TR SH BEN INT	9,260.00	277.80	22.75	255.05	92.0	234.65
10/18/2017	HESS, RACHEL	SPIRIT RLTY CAP INC NEW COM	-8,575.45	171.51	23.53	147.98	92.0	136.14
* STOCKS SUBTOTAL *			\$140,666.89	\$4,648.78	\$299.31	\$4,349.47		\$4,001.52
*** BROKER TRANSACTIONS TOTAL ***			\$140,666.89	\$4,648.78	\$299.31	\$4,349.47		\$4,001.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,001.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,001.52
Year-to-date Gross	\$868,517.26
Year-to-date Earnings	\$863,556.30