



Concorde Investment Services, LLC

06/14/2017
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COMMISSION STATEMENT

June 15, 2017

BARRY LEMAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/26/2017	HAYTON, KEN	KRATOS DEFENSE & SEC SOLUTIONS COM	2,219.98	22.20	22.50	-0.30	90.0	-0.27
* STOCKS SUBTOTAL *			\$2,219.98	\$22.20	\$22.50	\$-0.30		\$-0.27
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/30/2017	LEMAY, MONIQUE	ALTEGRIS WINSTON FUTURES	0.00	16.23	0.00	16.23	90.0	14.61
05/30/2017	VIZIR, VITALI	ALTEGRIS WINSTON FUTURES	0.00	81.17	0.00	81.17	90.0	73.05
05/30/2017	BARDELL, PAULA	ALTEGRIS WINSTON FUTURES	0.00	19.86	0.00	19.86	90.0	17.87
05/23/2017	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.45	0.00	10.45	90.0	9.41
05/23/2017	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.00	0.00	1.00	90.0	0.90
05/15/2017	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.61	0.00	1.61	90.0	1.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$130.32	\$0.00	\$130.32		\$117.29
*** BROKER TRANSACTIONS TOTAL ***			\$2,219.98	\$152.52	\$22.50	\$130.02		\$117.02
<u>ADJUSTMENTS</u>								
06/15/2017		*CONCIERGE SVC: 193274 REGUL TTEE	0.00					-50.00
06/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*FINRA: MEMBER DISC PROC FEE	0.00					-110.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-4.02
06/15/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-839.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$117.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-839.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$31.23
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-690.77
Year-to-date Gross	\$104,648.77
Year-to-date Earnings	\$93,838.30