



Concorde Investment Services, LLC

03/06/2017  
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## COMMISSION STATEMENT

February 28, 2017

BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: BUM  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
^01/25/2017	RABETZ, WILLIAM	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^02/14/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	18,014.54	900.73	22.00	878.73	100.0	0.00
^02/21/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	19,512.36	975.62	22.00	953.62	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$37,526.90	\$1,876.35	\$44.00	\$1,832.35		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^02/14/2017	CRESPO, ALEJANDRO	COLONY NORTHSTAR INC CL A COM	-15,884.29	200.00	23.65	176.35	100.0	0.00
^02/15/2017	CAPOBIANCO, PETER	COLONY NORTHSTAR INC CL A COM	-15,715.81	100.00	23.65	76.35	100.0	0.00
^02/16/2017	HICE, DENNIS	COLONY NORTHSTAR INC CL A COM	-41,594.58	650.00	26.38	623.62	100.0	0.00
^02/21/2017	CHEW, ROLAND	COLONY NORTHSTAR INC CL A COM	-19,007.54	250.00	24.02	225.98	100.0	0.00
^02/15/2017	CAPOBIANCO, PETER	NEW YORK REIT INC COM	-6,388.74	30.00	22.96	7.04	100.0	0.00
* STOCKS SUBTOTAL *			\$-98,590.96	\$1,230.00	\$120.66	\$1,109.34		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-11,064.06	\$6,606.35	\$164.66	\$6,441.69		\$0.00
<b><u>ADJUSTMENTS</u></b>								
02/28/2017		*PERSHING: MARK UPS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>