

**COMMISSION STATEMENT**  
**July 31, 2018**

SPENCER JOHNSON-383056  
422 Main Street

BROKER #: 383056  
BRANCH: SAMPLE HOME OFFICE

Cedar Falls, IA 50613

COMMISSION STATEMENT for SPENCER JOHNSON-383056

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
07/31/2018		FEE COUNT - 1		0.00	0.22	0.00	0.22	21.0	0.05
07/31/2018		FEE COUNT - 2		0.00	0.22	0.00	0.22	21.0	0.05
07/31/2018		FEE COUNT - 3		0.00	0.23	0.00	0.23	21.0	0.05
07/31/2018		FEE COUNT - 4		0.00	0.67	0.00	0.67	21.0	0.14
07/31/2018		FEE COUNT - 5		0.00	0.76	0.00	0.76	21.0	0.16
07/31/2018		FEE COUNT - 6		0.00	2.23	0.00	2.23	21.0	0.47
07/31/2018		FEE COUNT - 7		0.00	0.47	0.00	0.47	21.0	0.10
07/31/2018		FEE COUNT - 8		0.00	1.09	0.00	1.09	21.0	0.23
07/31/2018		FEE COUNT - 9		0.00	0.16	0.00	0.16	21.0	0.03
07/31/2018		FEE COUNT - 10		0.00	0.16	0.00	0.16	21.0	0.03
07/31/2018		FEE COUNT - 11		0.00	0.42	0.00	0.42	21.0	0.09
07/31/2018		FEE COUNT - 12		0.00	0.62	0.00	0.62	21.0	0.13
07/31/2018		FEE COUNT - 13		0.00	1.40	0.00	1.40	21.0	0.29
07/31/2018		FEE COUNT - 14		0.00	2.06	0.00	2.06	21.0	0.43
07/31/2018		FEE COUNT - 15		0.00	0.30	0.00	0.30	21.0	0.06
07/31/2018		FEE COUNT - 16		0.00	0.70	0.00	0.70	21.0	0.15
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$11.71</b>	<b>\$0.00</b>	<b>\$11.71</b>		<b>\$2.46</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$11.71</b>	<b>\$0.00</b>	<b>\$11.71</b>		<b>\$2.46</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$2.46</b>
<b>Year-to-date Commissions</b>	<b>\$148.76</b>