

COMMISSION STATEMENT

January 31, 2018

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/28/2017	75500881	SUSLOW, RONNIE		NUVEEN NEW YORK AMT FREE MUNICIPAL I B	89,970.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75500881	SUSLOW, RONNIE		NUVEEN NEW YORK AMT FREE MUNICIPAL I B	64,286.50	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F S	27,872.99	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500918	SUSLOW, ELISSA		NUVEEN NEW YORK AMT FREE MUNICIPAL I B	11,682.00	0.00	7.00	-7.00	85.0	-7.00
01/09/2018	75500918	SUSLOW, ELISSA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	49,599.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75500933	LAWRENCE, IRA		FIRST REPUBLIC BANK SAN FRANCISCO C B	86,943.40	10.00	7.00	3.00	85.0	1.50
01/04/2018	75500933	LAWRENCE, IRA		FIRST REPUBLIC BANK SAN FRANCISCO C S	89,440.00	10.00	7.00	3.00	85.0	1.50
01/04/2018	75500933	LAWRENCE, IRA		HENRY SCHEIN INC B	72,210.00	10.00	7.00	3.00	85.0	1.50
01/08/2018	75500933	LAWRENCE, IRA		CSX CORPORATION COM S	57,980.00	10.00	7.00	3.00	85.0	1.50
01/16/2018	75500933	LAWRENCE, IRA		HENRY SCHEIN INC S	75,490.00	10.00	7.00	3.00	85.0	1.50
12/29/2017	75500935	LAWRENCE, IRA		FORTERRA INC COM B	11,210.00	10.00	7.00	3.00	85.0	1.50
01/17/2018	75500935	LAWRENCE, IRA		NATIONAL BANK OF GREECE S A AMERICA S	4.79	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75501098	WINICK FAMILY		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	27,530.13	0.00	7.00	-7.00	85.0	-7.00
01/02/2018	75501098	WINICK FAMILY		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	13.77	0.00	7.00	-7.00	85.0	-7.00
01/17/2018	75501098	WINICK FAMILY		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	81,728.41	0.00	7.00	-7.00	85.0	-7.00
12/28/2017	75501161	LAWRENCE, DAVID		HAIN CELESTIAL GROUP INC B	42,210.00	10.00	7.00	3.00	85.0	1.50
12/29/2017	75501161	LAWRENCE, DAVID		FORTERRA INC COM B	11,210.00	10.00	7.00	3.00	85.0	1.50
01/08/2018	75501161	LAWRENCE, DAVID		JOHNSON CONTROLS INTERNATIONAL PLC B	38,500.00	10.00	7.00	3.00	85.0	1.50
01/09/2018	75501161	LAWRENCE, DAVID		E L F BEAUTY INC COM S	40,760.00	10.00	7.00	3.00	85.0	1.50
01/09/2018	75501161	LAWRENCE, DAVID		E L F BEAUTY INC COM B	38,680.00	10.00	7.00	3.00	85.0	1.50
01/23/2018	75501161	LAWRENCE, DAVID		JOHNSON CONTROLS INTERNATIONAL PLC S	40,190.00	10.00	7.00	3.00	85.0	1.50
12/29/2017	75501189	IRA S LAWRENCE TTEE		CRITEO S A SPONSORED ADS B	26,180.00	10.00	7.00	3.00	85.0	1.50
01/05/2018	75501189	IRA S LAWRENCE TTEE		JOHNSON CONTROLS INTERNATIONAL PLC B	38,540.00	10.00	7.00	3.00	85.0	1.50
01/09/2018	75501189	IRA S LAWRENCE TTEE		GILEAD SCIENCES INC S	75,590.00	10.00	7.00	3.00	85.0	1.50
01/23/2018	75501189	IRA S LAWRENCE TTEE		JOHNSON CONTROLS INTERNATIONAL PLC S	39,920.00	10.00	7.00	3.00	85.0	1.50
12/28/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	68,710.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	137,650.63	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	1,380.00	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	4,149.00	0.00	7.00	-7.00	85.0	-7.00
01/17/2018	75502037	WINICK, BARBARA		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	21,794.24	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75502141	BOSGANG, ALVIN		CITIGROUP INC COM B	10,720,550.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/05/2018	75502141	BOSGANG, ALVIN	CITIGROUP INC COM	S	5,542,500.00	369.00	7.00	362.00	85.0	306.65	
01/05/2018	75502141	BOSGANG, ALVIN	CITIGROUP INC COM	S	5,215,000.00	350.00	7.00	343.00	85.0	290.50	
* STOCKS SUBTOTAL *					\$22,849,474.86	\$879.00	\$231.00	\$648.00		\$516.15	
PRODUCT CATEGORY: OPTIONS											
01/17/2018	75500791	SUSLOVICH, ESTHER	PUT C 01/26/18	76.50	S	476.00	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500837	BELL, MARTIN	PUT AABA 01/12/18	69.50	S	1,440.00	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500837	BELL, MARTIN	PUT AABA 01/12/18	71	S	856.80	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500837	BELL, MARTIN	PUT WFC 01/19/18	59.50	S	2,035.00	0.00	7.00	-7.00	85.0	-7.00
01/17/2018	75500837	BELL, MARTIN	PUT WFC 01/26/18	60.50	S	1,100.00	0.00	7.00	-7.00	85.0	-7.00
01/19/2018	75500837	BELL, MARTIN	PUT C 01/26/18	75.50	S	900.00	0.00	7.00	-7.00	85.0	-7.00
01/19/2018	75500837	BELL, MARTIN	PUT C 02/02/18	77	S	3,064.20	0.00	7.00	-7.00	85.0	-7.00
01/19/2018	75500837	BELL, MARTIN	PUT WFC 01/26/18	62	S	1,536.00	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500838	BELL, MARTIN	PUT AABA 01/12/18	71	S	163.20	0.00	7.00	-7.00	85.0	-7.00
01/17/2018	75500838	BELL, MARTIN	PUT C 01/26/18	76.50	S	272.00	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500859	LABELLA, CHARLES	PUT AABA 01/19/18	69	S	1,778.00	0.00	7.00	-7.00	85.0	-7.00
01/19/2018	75500859	LABELLA, CHARLES	PUT C 01/26/18	76.50	S	420.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75500864	WINICK, JESSE	PUT AABA 01/12/18	66.50	S	2,520.00	252.00	7.00	245.00	85.0	207.20
01/02/2018	75500864	WINICK, JESSE	PUT AABA 01/19/18	69.50	S	4,365.86	300.00	7.00	293.00	85.0	248.00
01/03/2018	75500864	WINICK, JESSE	PUT AABA 01/19/18	69.50	S	3,086.80	300.00	7.00	293.00	85.0	248.00
01/03/2018	75500864	WINICK, JESSE	PUT AABA 01/12/18	70.50	S	2,890.00	288.00	7.00	281.00	85.0	237.80
01/03/2018	75500864	WINICK, JESSE	PUT AABA 01/12/18	71	S	6,135.67	600.00	7.00	593.00	85.0	503.00
01/03/2018	75500864	WINICK, JESSE	PUT C 01/12/18	73.50	S	4,358.57	435.00	7.00	428.00	85.0	362.75
01/04/2018	75500864	WINICK, JESSE	PUT AABA 01/19/18	69	S	1,987.50	198.00	7.00	191.00	85.0	161.30
01/05/2018	75500864	WINICK, JESSE	PUT AABA 09/15/17	62	S	7,289.33	720.00	7.00	713.00	85.0	605.00
01/05/2018	75500864	WINICK, JESSE	PUT AABA 01/19/18	73	S	3,880.00	300.00	7.00	293.00	85.0	248.00
01/05/2018	75500864	WINICK, JESSE	PUT C 01/12/18	73.50	S	1,772.00	177.00	7.00	170.00	85.0	143.45
01/08/2018	75500864	WINICK, JESSE	PUT BAC 01/26/18	28.50	S	1,300.00	130.00	7.00	123.00	85.0	103.50
01/17/2018	75500864	WINICK, JESSE	PUT C 01/26/18	76.50	S	1,747.25	174.00	7.00	167.00	85.0	140.90
01/17/2018	75500864	WINICK, JESSE	PUT WFC 02/02/18	61	S	3,626.67	300.00	7.00	293.00	85.0	248.00
01/17/2018	75500864	WINICK, JESSE	PUT WFC 02/02/18	63	S	2,706.67	270.00	7.00	263.00	85.0	222.50
01/18/2018	75500864	WINICK, JESSE	PUT C 01/26/18	76.50	S	1,300.00	130.00	7.00	123.00	85.0	103.50
01/18/2018	75500864	WINICK, JESSE	PUT C 02/02/18	73.50	S	2,142.00	214.00	7.00	207.00	85.0	174.90
01/18/2018	75500864	WINICK, JESSE	PUT WFC 02/02/18	61	S	2,133.33	213.00	7.00	206.00	85.0	174.05
01/19/2018	75500864	WINICK, JESSE	PUT C 01/26/18	76.50	S	1,508.49	150.00	7.00	143.00	85.0	120.50
01/19/2018	75500864	WINICK, JESSE	PUT C 02/02/18	77	S	3,141.60	300.00	7.00	293.00	85.0	248.00
01/19/2018	75500864	WINICK, JESSE	PUT WFC 02/02/18	63	S	3,301.00	300.00	7.00	293.00	85.0	248.00
01/19/2018	75500864	WINICK, JESSE	PUT WFC 02/02/18	63	S	2,440.00	244.00	7.00	237.00	85.0	200.40
01/22/2018	75500864	WINICK, JESSE	PUT BAC 02/16/18	29.50	S	1,400.00	140.00	7.00	133.00	85.0	112.00
01/22/2018	75500864	WINICK, JESSE	PUT C 02/09/18	76	S	2,765.00	276.00	7.00	269.00	85.0	227.60

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TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
01/22/2018	75500864	WINICK, JESSE	PUT C	02/02/18	76	S	2,860.00	286.00	7.00	279.00	85.0	236.10
01/22/2018	75500864	WINICK, JESSE	PUT WFC	02/02/18	63	S	4,840.00	480.00	7.00	473.00	85.0	401.00
01/23/2018	75500864	WINICK, JESSE	PUT C	02/09/18	75.50	S	3,081.00	300.00	7.00	293.00	85.0	248.00
01/23/2018	75500864	WINICK, JESSE	PUT C	02/02/18	77	S	1,700.00	170.00	7.00	163.00	85.0	137.50
01/23/2018	75500864	WINICK, JESSE	PUT WFC	02/09/18	62	S	2,980.00	298.00	7.00	291.00	85.0	246.30
01/23/2018	75500864	WINICK, JESSE	PUT WFC	01/26/18	60.50	S	700.00	70.00	7.00	63.00	85.0	52.50
01/24/2018	75500864	WINICK, JESSE	PUT C	02/09/18	76	S	4,046.67	300.00	7.00	293.00	85.0	248.00
01/24/2018	75500864	WINICK, JESSE	PUT C	02/02/18	77	S	2,123.00	212.00	7.00	205.00	85.0	173.20
01/24/2018	75500864	WINICK, JESSE	PUT WFC	02/02/18	63	S	2,000.00	200.00	7.00	193.00	85.0	163.00
01/24/2018	75500864	WINICK, JESSE	PUT WFC	02/09/18	63	S	3,633.33	300.00	7.00	293.00	85.0	248.00
01/25/2018	75500864	WINICK, JESSE	PUT WFC	02/09/18	63.50	S	3,686.67	300.00	7.00	293.00	85.0	248.00
01/25/2018	75500864	WINICK, JESSE	PUT WFC	02/09/18	63	S	2,766.67	276.00	7.00	269.00	85.0	227.60
01/26/2018	75500864	WINICK, JESSE	PUT C	02/09/18	77.50	S	3,526.50	300.00	7.00	293.00	85.0	248.00
01/26/2018	75500864	WINICK, JESSE	PUT WFC	02/09/18	63.50	S	3,000.00	300.00	7.00	293.00	85.0	248.00
01/02/2018	75500867	GREENSPAN, WILLIAM	PUT AABA	01/19/18	68	S	2,362.00	236.00	7.00	229.00	85.0	193.60
01/03/2018	75500867	GREENSPAN, WILLIAM	PUT AABA	01/19/18	69.50	S	3,086.80	300.00	7.00	293.00	85.0	248.00
01/03/2018	75500867	GREENSPAN, WILLIAM	PUT AABA	01/12/18	71	S	1,710.00	171.00	7.00	164.00	85.0	138.35
01/03/2018	75500867	GREENSPAN, WILLIAM	PUT C	01/12/18	73.50	S	2,179.29	217.00	7.00	210.00	85.0	177.45
01/05/2018	75500867	GREENSPAN, WILLIAM	PUT AABA	01/19/18	71.50	S	1,200.00	120.00	7.00	113.00	85.0	95.00
01/05/2018	75500867	GREENSPAN, WILLIAM	PUT C	01/12/18	73	S	1,440.00	144.00	7.00	137.00	85.0	115.40
01/17/2018	75500867	GREENSPAN, WILLIAM	PUT C	01/26/18	76.50	S	1,747.25	174.00	7.00	167.00	85.0	140.90
01/17/2018	75500867	GREENSPAN, WILLIAM	PUT WFC	02/02/18	63	S	2,706.67	270.00	7.00	263.00	85.0	222.50
01/18/2018	75500867	GREENSPAN, WILLIAM	PUT C	01/26/18	76.50	S	980.00	98.00	7.00	91.00	85.0	76.30
01/18/2018	75500867	GREENSPAN, WILLIAM	PUT WFC	02/02/18	61	S	2,133.33	213.00	7.00	206.00	85.0	174.05
01/19/2018	75500867	GREENSPAN, WILLIAM	PUT C	01/26/18	76.50	S	1,247.02	124.00	7.00	117.00	85.0	98.40
01/19/2018	75500867	GREENSPAN, WILLIAM	PUT C	02/02/18	77	S	4,596.30	450.00	7.00	443.00	85.0	375.50
01/19/2018	75500867	GREENSPAN, WILLIAM	PUT C	02/02/18	77	S	2,400.00	240.00	7.00	233.00	85.0	197.00
01/19/2018	75500867	GREENSPAN, WILLIAM	PUT WFC	02/02/18	63	S	2,440.00	244.00	7.00	237.00	85.0	200.40
01/22/2018	75500867	GREENSPAN, WILLIAM	PUT C	02/09/18	76	S	2,765.00	276.00	7.00	269.00	85.0	227.60
01/22/2018	75500867	GREENSPAN, WILLIAM	PUT C	02/02/18	77	S	1,580.00	158.00	7.00	151.00	85.0	127.30
01/25/2018	75500867	GREENSPAN, WILLIAM	PUT WFC	02/09/18	63	S	2,766.67	276.00	7.00	269.00	85.0	227.60
01/26/2018	75500867	GREENSPAN, WILLIAM	PUT C	02/09/18	76	S	2,907.00	290.00	7.00	283.00	85.0	239.50
12/29/2017	75500881	SUSLOW, RONNIE	PUT AABA	01/05/18	68	S	1,700.00	0.00	7.00	-7.00	85.0	-7.00
01/02/2018	75500881	SUSLOW, RONNIE	PUT AABA	01/19/18	69	S	3,497.14	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500881	SUSLOW, RONNIE	PUT AABA	01/19/18	69.50	S	3,086.80	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500881	SUSLOW, RONNIE	PUT AABA	01/12/18	71	S	6,135.67	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500881	SUSLOW, RONNIE	PUT C	01/05/18	73.50	S	1,700.00	0.00	7.00	-7.00	85.0	-7.00
01/04/2018	75500881	SUSLOW, RONNIE	PUT AABA	01/19/18	69	S	1,987.50	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500881	SUSLOW, RONNIE	PUT AABA	01/19/18	73	S	7,760.00	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500881	SUSLOW, RONNIE	PUT WFC	01/12/18	59.50	S	1,900.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
01/05/2018	75500881	SUSLOW, RONNIE	PUT	WFC	01/12/18	60.50	S	2,700.00	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500881	SUSLOW, RONNIE	PUT	WFC	01/12/18	59.50	S	6,658.67	0.00	7.00	-7.00	85.0	-7.00
01/09/2018	75500881	SUSLOW, RONNIE	PUT	AABA	01/26/18	73.50	S	1,120.00	0.00	7.00	-7.00	85.0	-7.00
01/09/2018	75500881	SUSLOW, RONNIE	PUT	AABA	01/26/18	71.50	S	700.00	0.00	7.00	-7.00	85.0	-7.00
01/09/2018	75500881	SUSLOW, RONNIE	PUT	AABA	01/26/18	73.50	S	5,618.00	0.00	7.00	-7.00	85.0	-7.00
01/10/2018	75500881	SUSLOW, RONNIE	PUT	AABA	01/19/18	74	S	2,452.00	0.00	7.00	-7.00	85.0	-7.00
01/10/2018	75500881	SUSLOW, RONNIE	PUT	WFC	01/19/18	61	S	3,100.00	0.00	7.00	-7.00	85.0	-7.00
01/12/2018	75500881	SUSLOW, RONNIE	PUT	C	01/19/18	73	S	1,800.00	0.00	7.00	-7.00	85.0	-7.00
01/17/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/02/18	61	S	3,626.67	0.00	7.00	-7.00	85.0	-7.00
01/19/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/02/18	63	S	2,440.00	0.00	7.00	-7.00	85.0	-7.00
01/22/2018	75500881	SUSLOW, RONNIE	PUT	C	01/26/18	76.50	S	1,600.00	0.00	7.00	-7.00	85.0	-7.00
01/22/2018	75500881	SUSLOW, RONNIE	PUT	C	01/26/18	76.50	S	287.00	0.00	7.00	-7.00	85.0	-7.00
01/22/2018	75500881	SUSLOW, RONNIE	PUT	C	02/02/18	76.50	S	3,640.00	0.00	7.00	-7.00	85.0	-7.00
01/22/2018	75500881	SUSLOW, RONNIE	PUT	WFC	01/26/18	60.50	S	440.00	0.00	7.00	-7.00	85.0	-7.00
01/24/2018	75500881	SUSLOW, RONNIE	PUT	C	02/09/18	76	S	4,046.67	0.00	7.00	-7.00	85.0	-7.00
01/24/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/09/18	63	S	3,633.33	0.00	7.00	-7.00	85.0	-7.00
01/25/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/09/18	63	S	2,766.67	0.00	7.00	-7.00	85.0	-7.00
01/26/2018	75500881	SUSLOW, RONNIE	PUT	C	02/09/18	77.50	S	3,526.50	0.00	7.00	-7.00	85.0	-7.00
01/02/2018	75500882	SUSLOW, RONNIE	PUT	AABA	01/12/18	69.50	S	6,520.00	0.00	7.00	-7.00	85.0	-7.00
01/10/2018	75500882	SUSLOW, RONNIE	PUT	C	01/26/18	74	B	1,300.00	0.00	7.00	-7.00	85.0	-7.00
01/10/2018	75500882	SUSLOW, RONNIE	PUT	C	01/26/18	74	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
01/12/2018	75500882	SUSLOW, RONNIE	PUT	C	01/19/18	73.50	S	4,680.00	0.00	7.00	-7.00	85.0	-7.00
01/17/2018	75500882	SUSLOW, RONNIE	PUT	C	01/19/18	73.50	B	244.00	0.00	7.00	-7.00	85.0	-7.00
01/19/2018	75500882	SUSLOW, RONNIE	PUT	WFC	01/26/18	60.50	S	2,680.00	0.00	7.00	-7.00	85.0	-7.00
01/23/2018	75500882	SUSLOW, RONNIE	PUT	C	01/26/18	76.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
01/23/2018	75500882	SUSLOW, RONNIE	PUT	WFC	01/26/18	60.50	S	1,273.00	0.00	7.00	-7.00	85.0	-7.00
01/23/2018	75500882	SUSLOW, RONNIE	PUT	WFC	01/26/18	60.50	B	480.00	0.00	7.00	-7.00	85.0	-7.00
01/26/2018	75500882	SUSLOW, RONNIE	PUT	AABA	02/09/18	73.50	S	1,820.00	0.00	7.00	-7.00	85.0	-7.00
01/26/2018	75500882	SUSLOW, RONNIE	PUT	AABA	02/09/18	73	S	3,590.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75500918	SUSLOW, ELISSA	PUT	AABA	01/05/18	68.50	S	2,600.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75500918	SUSLOW, ELISSA	PUT	AABA	01/12/18	67	S	3,100.00	0.00	7.00	-7.00	85.0	-7.00
12/29/2017	75500918	SUSLOW, ELISSA	PUT	C	01/05/18	74.50	S	1,188.00	0.00	7.00	-7.00	85.0	-7.00
01/02/2018	75500918	SUSLOW, ELISSA	PUT	AABA	01/19/18	69	S	3,497.14	0.00	7.00	-7.00	85.0	-7.00
01/02/2018	75500918	SUSLOW, ELISSA	PUT	AABA	01/19/18	69.50	S	4,365.86	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500918	SUSLOW, ELISSA	PUT	AABA	01/12/18	70.50	S	2,890.00	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500918	SUSLOW, ELISSA	PUT	AABA	01/12/18	71	S	6,135.67	0.00	7.00	-7.00	85.0	-7.00
01/03/2018	75500918	SUSLOW, ELISSA	PUT	C	01/05/18	73.50	S	1,700.00	0.00	7.00	-7.00	85.0	-7.00
01/04/2018	75500918	SUSLOW, ELISSA	PUT	AABA	01/19/18	69	S	2,385.00	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500918	SUSLOW, ELISSA	PUT	AABA	09/15/17	62	S	9,111.67	0.00	7.00	-7.00	85.0	-7.00
01/05/2018	75500918	SUSLOW, ELISSA	PUT	AABA	01/19/18	73	S	7,760.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/08/2018	75500918	SUSLOW, ELISSA	PUT AABA	09/15/17 62	S 380.00	0.00	7.00	-7.00 85.0	-7.00
01/08/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/26/18 71.50	S 3,400.00	0.00	7.00	-7.00 85.0	-7.00
01/09/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/19/18 69	S 2,920.00	0.00	7.00	-7.00 85.0	-7.00
01/09/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/19/18 74	S 6,400.00	0.00	7.00	-7.00 85.0	-7.00
01/09/2018	75500918	SUSLOW, ELISSA	PUT BAC	01/26/18 28.50	S 1,165.00	0.00	7.00	-7.00 85.0	-7.00
01/10/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/19/18 74	S 2,452.00	0.00	7.00	-7.00 85.0	-7.00
01/10/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/26/18 73.50	S 2,452.00	0.00	7.00	-7.00 85.0	-7.00
01/10/2018	75500918	SUSLOW, ELISSA	PUT WFC	01/19/18 61	S 3,100.00	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500918	SUSLOW, ELISSA	PUT AABA	09/15/17 62	B 10,280.00	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/19/18 73	B 7,320.00	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500918	SUSLOW, ELISSA	PUT C	01/26/18 76.50	S 1,747.25	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500918	SUSLOW, ELISSA	PUT WFC	02/02/18 61	S 3,626.67	0.00	7.00	-7.00 85.0	-7.00
01/19/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/26/18 73.50	S 3,119.00	0.00	7.00	-7.00 85.0	-7.00
01/19/2018	75500918	SUSLOW, ELISSA	PUT AABA	01/19/18 74	B 3,109.00	0.00	7.00	-7.00 85.0	-7.00
01/19/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18 77	S 3,141.60	0.00	7.00	-7.00 85.0	-7.00
01/19/2018	75500918	SUSLOW, ELISSA	PUT WFC	02/02/18 63	S 3,301.00	0.00	7.00	-7.00 85.0	-7.00
01/22/2018	75500918	SUSLOW, ELISSA	PUT C	02/09/18 76	S 2,765.00	0.00	7.00	-7.00 85.0	-7.00
01/22/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18 76.50	S 3,640.00	0.00	7.00	-7.00 85.0	-7.00
01/23/2018	75500918	SUSLOW, ELISSA	PUT AABA	02/09/18 73.50	S 2,040.00	0.00	7.00	-7.00 85.0	-7.00
01/23/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18 76	S 2,460.00	0.00	7.00	-7.00 85.0	-7.00
01/24/2018	75500918	SUSLOW, ELISSA	PUT C	02/09/18 76	S 4,046.67	0.00	7.00	-7.00 85.0	-7.00
01/24/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18 77	S 2,123.00	0.00	7.00	-7.00 85.0	-7.00
01/24/2018	75500918	SUSLOW, ELISSA	PUT WFC	02/09/18 63	S 3,633.33	0.00	7.00	-7.00 85.0	-7.00
01/25/2018	75500918	SUSLOW, ELISSA	PUT WFC	02/09/18 63.50	S 3,686.67	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500919	SUSLOW, ELISSA	PUT C	01/26/18 76.50	S 187.00	0.00	7.00	-7.00 85.0	-7.00
01/05/2018	75500953	SUSLOW, STEPHEN	PUT WFC	01/12/18 59.50	S 6,658.67	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500953	SUSLOW, STEPHEN	PUT C	01/26/18 76.50	S 119.00	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500953	SUSLOW, STEPHEN	PUT WFC	02/02/18 60	S 2,640.00	0.00	7.00	-7.00 85.0	-7.00
01/19/2018	75500953	SUSLOW, STEPHEN	PUT WFC	02/02/18 63	S 2,440.00	0.00	7.00	-7.00 85.0	-7.00
01/02/2018	75500954	SUSLOW, STEPHEN	PUT AABA	01/19/18 67.50	S 800.00	0.00	7.00	-7.00 85.0	-7.00
01/02/2018	75500954	SUSLOW, STEPHEN	PUT AABA	01/05/18 70	S 800.00	0.00	7.00	-7.00 85.0	-7.00
01/02/2018	75500954	SUSLOW, STEPHEN	PUT AABA	01/12/18 71	S 2,200.00	0.00	7.00	-7.00 85.0	-7.00
01/02/2018	75500954	SUSLOW, STEPHEN	PUT AABA	01/12/18 71	S 6,080.00	0.00	7.00	-7.00 85.0	-7.00
01/03/2018	75500954	SUSLOW, STEPHEN	PUT AABA	01/05/18 71	S 700.00	0.00	7.00	-7.00 85.0	-7.00
01/05/2018	75500954	SUSLOW, STEPHEN	PUT WFC	01/12/18 59.50	S 6,658.67	0.00	7.00	-7.00 85.0	-7.00
01/12/2018	75500954	SUSLOW, STEPHEN	PUT C	01/19/18 74	S 12,180.00	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500954	SUSLOW, STEPHEN	PUT AABA	09/15/17 62	S 8,060.00	0.00	7.00	-7.00 85.0	-7.00
01/17/2018	75500954	SUSLOW, STEPHEN	PUT C	01/19/18 74	B 600.00	0.00	7.00	-7.00 85.0	-7.00
01/18/2018	75500954	SUSLOW, STEPHEN	PUT AABA	01/19/18 73	S 1,000.00	0.00	7.00	-7.00 85.0	-7.00
01/19/2018	75500954	SUSLOW, STEPHEN	PUT C	01/26/18 76.50	S 1,260.00	0.00	7.00	-7.00 85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
01/19/2018	75500954	SUSLOW, STEPHEN	PUT C	01/26/18	76.50	B	-1,260.00	0.00	-7.00	7.00	85.0	7.00	C
01/19/2018	75500954	SUSLOW, STEPHEN	PUT C	01/26/18	76.50	S	1,260.00	0.00	7.00	-7.00	85.0	-7.00	
01/19/2018	75500954	SUSLOW, STEPHEN	PUT WFC	01/26/18	60.50	S	8,040.00	0.00	7.00	-7.00	85.0	-7.00	
01/23/2018	75500954	SUSLOW, STEPHEN	PUT WFC	01/26/18	60.50	B	120.00	0.00	7.00	-7.00	85.0	-7.00	
01/26/2018	75500954	SUSLOW, STEPHEN	PUT AABA	02/09/18	74	S	5,170.00	0.00	7.00	-7.00	85.0	-7.00	
01/26/2018	75500954	SUSLOW, STEPHEN	PUT AABA	02/09/18	73.50	S	4,260.00	0.00	7.00	-7.00	85.0	-7.00	
01/03/2018	75500986	SUSLOVICH, JACOB	PUT C	01/12/18	73.50	S	2,179.29	0.00	7.00	-7.00	85.0	-7.00	
01/19/2018	75500986	SUSLOVICH, JACOB	PUT C	01/26/18	75.50	S	900.00	0.00	7.00	-7.00	85.0	-7.00	
01/19/2018	75500986	SUSLOVICH, JACOB	PUT WFC	01/26/18	62	S	1,024.00	0.00	7.00	-7.00	85.0	-7.00	
01/22/2018	75500986	SUSLOVICH, JACOB	PUT WFC	02/09/18	61	S	960.00	0.00	7.00	-7.00	85.0	-7.00	
01/23/2018	75500986	SUSLOVICH, JACOB	PUT C	02/09/18	76	S	960.00	0.00	7.00	-7.00	85.0	-7.00	
01/02/2018	75501098	WINICK FAMILY	PUT AABA	01/19/18	68	S	2,362.00	236.00	7.00	229.00	85.0	193.60	
01/03/2018	75501098	WINICK FAMILY	PUT AABA	01/19/18	69.50	S	3,086.80	300.00	7.00	293.00	85.0	248.00	
01/03/2018	75501098	WINICK FAMILY	PUT AABA	01/12/18	71	S	1,710.00	171.00	7.00	164.00	85.0	138.35	
01/03/2018	75501098	WINICK FAMILY	PUT C	01/12/18	73.50	S	4,358.57	435.00	7.00	428.00	85.0	362.75	
01/05/2018	75501098	WINICK FAMILY	PUT AABA	01/19/18	69	S	3,375.00	337.00	7.00	330.00	85.0	279.45	
01/05/2018	75501098	WINICK FAMILY	PUT C	01/12/18	73.50	S	1,772.00	177.00	7.00	170.00	85.0	143.45	
01/17/2018	75501098	WINICK FAMILY	PUT C	01/26/18	76.50	S	1,747.25	174.00	7.00	167.00	85.0	140.90	
01/17/2018	75501098	WINICK FAMILY	PUT WFC	02/02/18	63	S	2,706.67	270.00	7.00	263.00	85.0	222.50	
01/18/2018	75501098	WINICK FAMILY	PUT C	01/26/18	76.50	S	1,300.00	130.00	7.00	123.00	85.0	103.50	
01/18/2018	75501098	WINICK FAMILY	PUT C	02/02/18	73	S	1,700.00	170.00	7.00	163.00	85.0	137.50	
01/18/2018	75501098	WINICK FAMILY	PUT WFC	02/02/18	61	S	2,133.33	213.00	7.00	206.00	85.0	174.05	
01/19/2018	75501098	WINICK FAMILY	PUT C	01/26/18	76.50	S	1,508.49	150.00	7.00	143.00	85.0	120.50	
01/19/2018	75501098	WINICK FAMILY	PUT C	02/02/18	77	S	4,596.30	450.00	7.00	443.00	85.0	375.50	
01/19/2018	75501098	WINICK FAMILY	PUT WFC	02/02/18	63	S	2,440.00	244.00	7.00	237.00	85.0	200.40	
01/22/2018	75501098	WINICK FAMILY	PUT C	02/09/18	76	S	2,765.00	276.00	7.00	269.00	85.0	227.60	
01/22/2018	75501098	WINICK FAMILY	PUT C	02/02/18	77	S	2,100.00	210.00	7.00	203.00	85.0	171.50	
01/22/2018	75501098	WINICK FAMILY	PUT WFC	02/02/18	63	S	920.00	92.00	7.00	85.00	85.0	71.20	
01/23/2018	75501098	WINICK FAMILY	PUT C	02/09/18	75.50	S	3,081.00	300.00	7.00	293.00	85.0	248.00	
01/23/2018	75501098	WINICK FAMILY	PUT WFC	02/09/18	62	S	2,980.00	298.00	7.00	291.00	85.0	246.30	
01/25/2018	75501098	WINICK FAMILY	PUT WFC	02/09/18	63.50	S	3,686.67	300.00	7.00	293.00	85.0	248.00	
01/26/2018	75501098	WINICK FAMILY	PUT C	02/09/18	76	S	2,907.00	290.00	7.00	283.00	85.0	239.50	
01/26/2018	75501098	WINICK FAMILY	PUT WFC	02/09/18	63.50	S	3,000.00	300.00	7.00	293.00	85.0	248.00	
01/03/2018	75501104	CHRISTOPHER C	PUT AABA	01/19/18	69	S	762.00	0.00	7.00	-7.00	85.0	-7.00	
01/03/2018	75501215	SISTERS TWO LLC	PUT AABA	01/19/18	69	S	2,540.00	254.00	7.00	247.00	85.0	208.90	
01/03/2018	75501215	SISTERS TWO LLC	PUT C	01/12/18	73.50	S	2,179.29	217.00	7.00	210.00	85.0	177.45	
01/05/2018	75501215	SISTERS TWO LLC	PUT AABA	01/19/18	69	S	320.00	32.00	7.00	25.00	85.0	20.20	
01/17/2018	75501215	SISTERS TWO LLC	PUT C	02/02/18	73	S	1,075.00	107.00	7.00	100.00	85.0	83.95	
01/19/2018	75501215	SISTERS TWO LLC	PUT C	01/26/18	75.50	S	900.00	90.00	7.00	83.00	85.0	69.50	
01/19/2018	75501215	SISTERS TWO LLC	PUT WFC	02/02/18	61	S	1,900.00	190.00	7.00	183.00	85.0	154.50	

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
01/19/2018	75501215 SISTERS TWO LLC	PUT	WFC	01/26/18	62	S	1,024.00	102.00	7.00	95.00 85.0 79.70
01/22/2018	75501215 SISTERS TWO LLC	PUT	WFC	02/09/18	61.50	S	2,440.00	240.00	7.00	233.00 85.0 197.00
01/03/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	AABA	01/19/18	69.50	S	3,086.80	300.00	7.00	293.00 85.0 248.00
01/03/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	AABA	01/12/18	71	S	1,140.00	114.00	7.00	107.00 85.0 89.90
01/05/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	WFC	01/19/18	59.50	S	2,035.00	203.00	7.00	196.00 85.0 165.55
01/17/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	WFC	01/26/18	60.50	S	1,100.00	110.00	7.00	103.00 85.0 86.50
01/19/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	C	01/26/18	75.50	S	1,800.00	180.00	7.00	173.00 85.0 146.00
01/19/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	C	02/02/18	77	S	3,064.20	300.00	7.00	293.00 85.0 248.00
01/19/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	WFC	01/26/18	62	S	1,536.00	153.00	7.00	146.00 85.0 123.05
01/22/2018	75502117 BLUMA ROTTERMANN	TTEE PUT	C	02/09/18	74.50	S	2,019.00	201.00	7.00	194.00 85.0 163.85
12/29/2017	75502141 BOSGANG, ALVIN	CALL	SPY	01/20/17	222	S	7,240.00	362.00	7.00	355.00 85.0 300.70
12/29/2017	75502141 BOSGANG, ALVIN	PUT	AABA	01/05/18	69	S	14,140.00	600.00	7.00	593.00 85.0 503.00
12/29/2017	75502141 BOSGANG, ALVIN	PUT	AABA	01/12/18	67.50	S	19,253.00	750.00	7.00	743.00 85.0 630.50
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	01/05/18	75	S	36,900.00	750.00	7.00	743.00 85.0 630.50
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	01/05/18	73.50	S	3,800.00	190.00	7.00	183.00 85.0 154.50
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	01/12/18	73.50	S	19,348.00	750.00	7.00	743.00 85.0 630.50
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	01/12/18	73	S	15,980.00	750.00	7.00	743.00 85.0 630.50
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	01/12/18	73.50	S	5,200.00	260.00	7.00	253.00 85.0 214.00
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	01/12/18	73.50	S	3,800.00	190.00	7.00	183.00 85.0 154.50
12/29/2017	75502141 BOSGANG, ALVIN	PUT	C	12/29/17	72.50	B	15,200.00	0.00	7.00	-7.00 85.0 -7.00
12/29/2017	75502141 BOSGANG, ALVIN	PUT	WFC	01/05/18	60	S	12,000.00	600.00	7.00	593.00 85.0 503.00
12/29/2017	75502141 BOSGANG, ALVIN	PUT	WFC	01/12/18	59.50	S	18,160.00	600.00	7.00	593.00 85.0 503.00
12/29/2017	75502141 BOSGANG, ALVIN	PUT	WFC	01/12/18	59.50	S	11,080.00	554.00	7.00	547.00 85.0 463.90
12/29/2017	75502141 BOSGANG, ALVIN	PUT	WFC	01/12/18	59	S	14,160.00	600.00	7.00	593.00 85.0 503.00
01/02/2018	75502141 BOSGANG, ALVIN	CALL	C	01/05/18	75	S	9,736.00	486.00	7.00	479.00 85.0 406.10
01/02/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	70	S	35,024.00	1,050.00	7.00	1,043.00 85.0 885.50
01/02/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	68.50	S	15,042.00	750.00	7.00	743.00 85.0 630.50
01/02/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	69	S	17,485.71	750.00	7.00	743.00 85.0 630.50
01/02/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	69.50	S	21,829.29	750.00	7.00	743.00 85.0 630.50
01/02/2018	75502141 BOSGANG, ALVIN	PUT	C	01/05/18	74.50	S	18,480.00	600.00	7.00	593.00 85.0 503.00
01/02/2018	75502141 BOSGANG, ALVIN	PUT	WFC	01/12/18	59	S	13,960.00	600.00	7.00	593.00 85.0 503.00
01/02/2018	75502141 BOSGANG, ALVIN	PUT	WFC	01/12/18	59.50	S	18,404.00	600.00	7.00	593.00 85.0 503.00
01/02/2018	75502141 BOSGANG, ALVIN	PUT	WFC	01/12/18	59.50	S	5,400.00	270.00	7.00	263.00 85.0 222.50
01/03/2018	75502141 BOSGANG, ALVIN	CALL	C	01/05/18	75	S	8,040.00	402.00	7.00	395.00 85.0 334.70
01/03/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	70	S	34,185.00	1,500.00	7.00	1,493.00 85.0 1,268.00
01/03/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	69	S	39,551.00	1,500.00	7.00	1,493.00 85.0 1,268.00
01/03/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	69	S	18,020.00	600.00	7.00	593.00 85.0 503.00
01/03/2018	75502141 BOSGANG, ALVIN	PUT	C	01/05/18	74.50	S	13,480.00	450.00	7.00	443.00 85.0 375.50
01/03/2018	75502141 BOSGANG, ALVIN	PUT	C	01/12/18	73	S	20,407.00	1,020.00	7.00	1,013.00 85.0 860.00
01/04/2018	75502141 BOSGANG, ALVIN	PUT	AABA	01/19/18	69	S	14,722.00	736.00	7.00	729.00 85.0 618.60

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
01/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/19/18	71.50	S	16,409.00	750.00	7.00	743.00	85.0	630.50
01/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/19/18	69	S	20,844.00	750.00	7.00	743.00	85.0	630.50
01/04/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18	59.50	S	10,600.00	530.00	7.00	523.00	85.0	443.50
01/04/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18	60.50	S	14,500.00	725.00	7.00	718.00	85.0	609.25
01/04/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18	59.50	S	21,360.00	750.00	7.00	743.00	85.0	630.50
01/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73.50	S	31,143.00	1,557.00	7.00	1,550.00	85.0	1,316.45
01/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	71.50	S	37,218.00	1,500.00	7.00	1,493.00	85.0	1,268.00
01/05/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73.50	S	37,372.00	1,320.00	7.00	1,313.00	85.0	1,115.00
01/05/2018	75502141	BOSGANG, ALVIN	PUT C	01/12/18	73.50	S	5,200.00	260.00	7.00	253.00	85.0	214.00
01/05/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/19/18	60	S	8,100.00	405.00	7.00	398.00	85.0	337.25
01/05/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/19/18	60.50	S	21,210.00	900.00	7.00	893.00	85.0	758.00
01/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/19/18	73	S	11,500.00	575.00	7.00	568.00	85.0	481.75
01/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/19/18	69	S	14,540.00	727.00	7.00	720.00	85.0	610.95
01/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73.50	S	26,000.00	1,300.00	7.00	1,293.00	85.0	1,098.00
01/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73.50	S	19,360.00	750.00	7.00	743.00	85.0	630.50
01/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	72.50	S	22,100.00	750.00	7.00	743.00	85.0	630.50
01/08/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18	60.50	S	10,040.00	502.00	7.00	495.00	85.0	419.70
01/08/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18	59.50	S	18,284.00	750.00	7.00	743.00	85.0	630.50
01/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73	S	26,662.00	900.00	7.00	893.00	85.0	758.00
01/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73.50	S	31,620.00	900.00	7.00	893.00	85.0	758.00
01/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	74	S	32,320.00	900.00	7.00	893.00	85.0	758.00
01/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	72.50	S	21,529.00	900.00	7.00	893.00	85.0	758.00
01/09/2018	75502141	BOSGANG, ALVIN	PUT C	01/12/18	73.50	S	4,500.00	225.00	7.00	218.00	85.0	184.25
01/09/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/12/18	59.50	S	12,540.00	627.00	7.00	620.00	85.0	525.95
01/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	72.50	S	6,080.00	300.00	7.00	293.00	85.0	248.00
01/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	73	S	10,980.00	450.00	7.00	443.00	85.0	375.50
01/10/2018	75502141	BOSGANG, ALVIN	PUT C	06/15/18	72.50	S	5,218.00	260.00	7.00	253.00	85.0	214.00
01/10/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	73	S	12,720.00	600.00	7.00	593.00	85.0	503.00
01/10/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	73.50	S	18,150.00	750.00	7.00	743.00	85.0	630.50
01/10/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	74	S	23,280.00	750.00	7.00	743.00	85.0	630.50
01/10/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/19/18	61.50	S	16,389.00	750.00	7.00	743.00	85.0	630.50
01/10/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/19/18	61	S	20,336.00	750.00	7.00	743.00	85.0	630.50
01/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/19/18	69	S	4,544.00	227.00	7.00	220.00	85.0	185.95
01/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/19/18	74	S	6,741.00	337.00	7.00	330.00	85.0	279.45
01/11/2018	75502141	BOSGANG, ALVIN	PUT C	06/15/18	72.50	S	8,300.00	415.00	7.00	408.00	85.0	345.75
01/11/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	73	S	9,800.00	450.00	7.00	443.00	85.0	375.50
01/11/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	73.50	S	12,860.00	450.00	7.00	443.00	85.0	375.50
01/11/2018	75502141	BOSGANG, ALVIN	PUT C	01/12/18	73.50	S	2,060.00	103.00	7.00	96.00	85.0	80.55
01/11/2018	75502141	BOSGANG, ALVIN	PUT WFC	01/19/18	61	S	12,886.00	600.00	7.00	593.00	85.0	503.00
01/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	01/26/18	72.50	S	2,492.00	124.00	7.00	117.00	85.0	98.40

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
01/12/2018	75502141	BOSGANG, ALVIN	PUT	AABA	01/26/18	73	S	15,840.00	750.00	7.00	743.00	85.0	630.50
01/12/2018	75502141	BOSGANG, ALVIN	PUT	AABA	01/26/18	73.50	S	20,640.00	750.00	7.00	743.00	85.0	630.50
01/12/2018	75502141	BOSGANG, ALVIN	PUT	AABA	01/26/18	74	S	9,369.00	300.00	7.00	293.00	85.0	248.00
01/12/2018	75502141	BOSGANG, ALVIN	PUT	AABA	01/26/18	73.50	S	2,318.00	115.00	7.00	108.00	85.0	90.75
01/12/2018	75502141	BOSGANG, ALVIN	PUT	C	01/26/18	76.50	S	20,750.00	750.00	7.00	743.00	85.0	630.50
01/12/2018	75502141	BOSGANG, ALVIN	PUT	C	01/26/18	73.50	S	14,623.00	731.00	7.00	724.00	85.0	614.35
01/12/2018	75502141	BOSGANG, ALVIN	PUT	C	01/26/18	76.50	S	6,196.00	309.00	7.00	302.00	85.0	255.65
01/16/2018	75502141	BOSGANG, ALVIN	PUT	AABA	01/26/18	73.50	S	6,320.00	300.00	7.00	293.00	85.0	248.00
01/16/2018	75502141	BOSGANG, ALVIN	PUT	AABA	01/26/18	74	S	12,846.00	450.00	7.00	443.00	85.0	375.50
01/16/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	7,500.00	300.00	7.00	293.00	85.0	248.00
01/16/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	73.50	S	5,940.00	297.00	7.00	290.00	85.0	245.45
01/16/2018	75502141	BOSGANG, ALVIN	PUT	WFC	01/26/18	60.50	S	4,227.00	211.00	7.00	204.00	85.0	172.35
01/16/2018	75502141	BOSGANG, ALVIN	PUT	WFC	01/26/18	60.50	S	5,891.00	294.00	7.00	287.00	85.0	242.90
01/17/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	31,531.00	1,200.00	7.00	1,193.00	85.0	1,013.00
01/17/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	29,136.00	1,200.00	7.00	1,193.00	85.0	1,013.00
01/17/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	73.50	S	22,202.00	1,110.00	7.00	1,103.00	85.0	936.50
01/17/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	63	S	11,100.00	450.00	7.00	443.00	85.0	375.50
01/17/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	61	S	10,880.00	450.00	7.00	443.00	85.0	375.50
01/17/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	63	S	8,120.00	406.00	7.00	399.00	85.0	338.10
01/18/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	8,600.00	300.00	7.00	293.00	85.0	248.00
01/18/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	24,240.00	1,050.00	7.00	1,043.00	85.0	885.50
01/18/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	7,960.00	398.00	7.00	391.00	85.0	331.30
01/18/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	63	S	37,280.00	1,500.00	7.00	1,493.00	85.0	1,268.00
01/18/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	63	S	27,651.00	1,382.00	7.00	1,375.00	85.0	1,167.70
01/19/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	76	S	40,548.00	1,500.00	7.00	1,493.00	85.0	1,268.00
01/19/2018	75502141	BOSGANG, ALVIN	PUT	C	02/02/18	77	S	25,132.80	1,200.00	7.00	1,193.00	85.0	1,013.00
01/19/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	63	S	21,700.00	750.00	7.00	743.00	85.0	630.50
01/19/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/02/18	63	S	16,505.00	750.00	7.00	743.00	85.0	630.50
01/22/2018	75502141	BOSGANG, ALVIN	PUT	C	02/09/18	75.50	S	23,280.00	1,050.00	7.00	1,043.00	85.0	885.50
01/22/2018	75502141	BOSGANG, ALVIN	PUT	C	02/09/18	76	S	30,180.00	1,050.00	7.00	1,043.00	85.0	885.50
01/22/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/09/18	62.50	S	21,000.00	750.00	7.00	743.00	85.0	630.50
01/22/2018	75502141	BOSGANG, ALVIN	PUT	WFC	02/09/18	62	S	16,360.00	750.00	7.00	743.00	85.0	630.50
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/02/18	71	S	2,680.00	134.00	7.00	127.00	85.0	106.90
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/09/18	73.50	S	3,600.00	150.00	7.00	143.00	85.0	120.50
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/02/18	72	S	10,939.00	450.00	7.00	443.00	85.0	375.50
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/09/18	73.50	S	13,498.00	450.00	7.00	443.00	85.0	375.50
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/02/18	73	S	16,809.00	450.00	7.00	443.00	85.0	375.50
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/09/18	72	S	11,000.00	300.00	7.00	293.00	85.0	248.00
01/23/2018	75502141	BOSGANG, ALVIN	PUT	AABA	02/09/18	73.50	S	12,960.00	300.00	7.00	293.00	85.0	248.00
01/23/2018	75502141	BOSGANG, ALVIN	PUT	C	02/09/18	76	S	18,420.00	750.00	7.00	743.00	85.0	630.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
01/23/2018	75502141	BOSGANG, ALVIN	PUT C	02/02/18	76.50	S	5,591.00	279.00	7.00	272.00	85.0	230.15
01/23/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	62.50	S	15,000.00	600.00	7.00	593.00	85.0	503.00
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74	S	7,000.00	150.00	7.00	143.00	85.0	120.50
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/02/18	72	S	9,600.00	480.00	7.00	473.00	85.0	401.00
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	6,537.00	326.00	7.00	319.00	85.0	270.10
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/02/18	73	S	5,147.00	257.00	7.00	250.00	85.0	211.45
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	13,300.00	600.00	7.00	593.00	85.0	503.00
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	72	S	5,322.00	266.00	7.00	259.00	85.0	219.10
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	6,600.00	300.00	7.00	293.00	85.0	248.00
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73	S	13,320.00	450.00	7.00	443.00	85.0	375.50
01/24/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	19,200.00	600.00	7.00	593.00	85.0	503.00
01/25/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74	S	13,000.00	300.00	7.00	293.00	85.0	248.00
01/25/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74.50	S	7,220.00	150.00	7.00	143.00	85.0	120.50
01/25/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73	S	4,610.00	150.00	7.00	143.00	85.0	120.50
01/25/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	5,510.00	150.00	7.00	143.00	85.0	120.50
01/25/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	19,620.00	600.00	7.00	593.00	85.0	503.00
01/25/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	77.50	S	19,760.00	750.00	7.00	743.00	85.0	630.50
01/25/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	9,076.00	453.00	7.00	446.00	85.0	378.05
01/26/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74.50	S	23,210.00	750.00	7.00	743.00	85.0	630.50
01/26/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	75	S	27,507.00	750.00	7.00	743.00	85.0	630.50
01/26/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	32,701.00	750.00	7.00	743.00	85.0	630.50
01/26/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	14,180.00	450.00	7.00	443.00	85.0	375.50
01/26/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	64	S	13,060.00	450.00	7.00	443.00	85.0	375.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	3,730.00	150.00	7.00	143.00	85.0	120.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74	S	4,570.00	150.00	7.00	143.00	85.0	120.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74.50	S	5,080.00	150.00	7.00	143.00	85.0	120.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	75	S	5,880.00	150.00	7.00	143.00	85.0	120.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	3,320.00	150.00	7.00	143.00	85.0	120.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73	S	6,660.00	300.00	7.00	293.00	85.0	248.00
01/29/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	19,000.00	750.00	7.00	743.00	85.0	630.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	77.50	S	8,720.00	436.00	7.00	429.00	85.0	363.60
01/29/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	2,060.00	103.00	7.00	96.00	85.0	80.55
01/29/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	64	S	17,620.00	750.00	7.00	743.00	85.0	630.50
01/29/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	63.50	S	5,280.00	264.00	7.00	257.00	85.0	217.40
01/29/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	63	S	3,880.00	194.00	7.00	187.00	85.0	157.90
				* OPTIONS SUBTOTAL *		\$2,719,392.06	\$107,454.00	\$2,436.00	\$105,018.00		\$88,899.90	

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018	75501104	CHRISTOPHER C	INVESCO GLOBAL HEALTH CARE FD INV C B	0.00	21.00	0.00	21.00	85.0	17.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$21.00	\$0.00	\$21.00		\$17.85
*** BROKER TRANSACTIONS TOTAL ***				\$25,568,866.92	\$108,354.00	\$2,667.00	\$105,687.00		\$89,433.90

ADJUSTMENTS

01/31/2018		OTHER: OTHER-HEALTH INSURANCE	0.00	0.00	0.00	0.00	-556.05
01/31/2018		OTHER: OTHER-PAYROLL	0.00	0.00	0.00	0.00	-152,752.17
01/31/2018		OTHER: OTHER-CHASE	0.00	0.00	0.00	0.00	-1,311.10
01/31/2018		OTHER: OTHER-BEN BOSGANG	0.00	0.00	0.00	0.00	-1,000.00
01/31/2018		OTHER: OTHER-CAPITAL ONE	0.00	0.00	0.00	0.00	-1,114.05
01/31/2018		OTHER: OTHER-TICKET CHARGES-WARD	0.00	0.00	0.00	0.00	-115.50
01/31/2018		OTHER: OTHER-WARD	0.00	0.00	0.00	0.00	232.00
01/31/2018		OTHER: OTHER-VICTOR	0.00	0.00	0.00	0.00	908.64
01/31/2018		OTHER: OTHER-TERRA	0.00	0.00	0.00	0.00	16,290.24
01/31/2018		:	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$-139,417.99

BROKER COMMISSION TOTALS

Broker Transactions	\$89,433.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-139,417.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$231,583.02
FINRA Assessment	\$-135.44
SIPC Assessment	\$-270.89

Check Amount	\$181,192.60
Year-to-date Earnings	\$181,192.60