

## COMMISSION STATEMENT

January 31, 2018

VAN DREGGE OLMSTEAD  
10 RICHARDS DRIVE

BROKER #: 68  
BRANCH: HOME OFFICE

WILMINGTON, DE 19810

## COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

|                     |                     |                                       |   |            |      |      |      |      |      |
|---------------------|---------------------|---------------------------------------|---|------------|------|------|------|------|------|
| 01/10/2018 75501727 | PENN, SHELDON       | AMERISOURCEBERGEN CORP                | B | 7,265.80   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/26/2018 75501727 | PENN, SHELDON       | BIOMGEN INC                           | B | 9,207.25   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/26/2018 75501727 | PENN, SHELDON       | CHARLES RIV LABORATORIES INTL INC C S |   | 8,631.98   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY COVINGTON TRUST FIDELITY M B |   | 20,430.90  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY COVINGTON TR MSCI INFORMAT B |   | 20,605.06  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY COVINGTON TR MSCI MATERIAL B |   | 20,644.25  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY MSCI CONSUMER DISCRETIONAR B |   | 20,629.45  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY MSCI HEALTH CARE INDEX ETF B |   | 20,833.00  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY MSCI ENERGY INDEX ETF B      |   | 20,624.64  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY MSCI FINANCIALS INDEX ETF B  |   | 20,825.13  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY MSCI INDUSTRIALS INDEX ETF B |   | 20,712.54  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/10/2018 75501733 | OLMSTEAD, CHRISTYN  | FIDELITY MSCI CONSUMER STAPLES INDE B |   | 20,451.06  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/10/2018 75501733 | OLMSTEAD, CHRISTYN  | SPDR S&P 500 ETF TRUST                | S | 185,190.38 | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY COVINGTON TRUST FIDELITY M B |   | 6,592.95   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY COVINGTON TR MSCI INFORMAT B |   | 6,641.73   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY COVINGTON TR MSCI MATERIAL B |   | 6,650.01   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY MSCI HEALTH CARE INDEX ETF B |   | 6,723.00   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY MSCI ENERGY INDEX ETF B      |   | 6,665.28   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY MSCI FINANCIALS INDEX ETF B  |   | 6,732.62   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY MSCI INDUSTRIALS INDEX ETF B |   | 6,703.48   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY MSCI CONSUMER DISCRETIONAR B |   | 6,674.23   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/10/2018 75501772 | OLMSTEAD, ELIZABETH | FIDELITY MSCI CONSUMER STAPLES INDE B |   | 6,600.42   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/10/2018 75501772 | OLMSTEAD, ELIZABETH | SPDR S&P 500 ETF TRUST                | S | 59,721.16  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/10/2018 75501773 | SMITH, GLENN        | AMERISOURCEBERGEN CORP                | B | 4,359.48   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/26/2018 75501773 | SMITH, GLENN        | BIOMGEN INC                           | B | 5,524.35   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/26/2018 75501773 | SMITH, GLENN        | CHARLES RIV LABORATORIES INTL INC C S |   | 5,394.99   | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501774 | SMITH, GLENN        | FIDELITY COVINGTON TRUST FIDELITY M B |   | 27,096.30  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501774 | SMITH, GLENN        | FIDELITY COVINGTON TR MSCI INFORMAT B |   | 27,299.09  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501774 | SMITH, GLENN        | FIDELITY COVINGTON TR MSCI MATERIAL B |   | 27,367.33  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501774 | SMITH, GLENN        | FIDELITY MSCI HEALTH CARE INDEX ETF B |   | 27,597.50  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| 01/09/2018 75501774 | SMITH, GLENN        | FIDELITY MSCI ENERGY INDEX ETF B      |   | 27,352.80  | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                      | CLIENT   | INVESTMENT         | B/S                                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------|----------|--------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>      |          |                    |                                       |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: STOCKS</u> |          |                    |                                       |                      |                     |                    |                   |      |                      |
| 01/09/2018                      | 75501774 | SMITH, GLENN       | FIDELITY MSCI FINANCIALS INDEX ETF B  | 27,599.58            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501774 | SMITH, GLENN       | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 27,496.30            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501774 | SMITH, GLENN       | FIDELITY MSCI CONSUMER DISCRETIONAR B | 27,384.58            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501774 | SMITH, GLENN       | FIDELITY MSCI CONSUMER STAPLES INDE B | 27,119.88            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501774 | SMITH, GLENN       | SPDR S&P 500 ETF TRUST S              | 247,377.09           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501778 | VORMWALD, G ROBERT | AMERISOURCEBERGEN CORP B              | 12,109.66            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501778 | VORMWALD, G ROBERT | BIOGEN INC B                          | 16,573.05            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501778 | VORMWALD, G ROBERT | CHARLES RIV LABORATORIES INTL INC C S | 14,026.97            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501779 | OLMSTEAD, VAN      | AMERISOURCEBERGEN CORP B              | 4,843.87             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501779 | OLMSTEAD, VAN      | BIOGEN INC B                          | 5,524.35             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501779 | OLMSTEAD, VAN      | CHARLES RIV LABORATORIES INTL INC C S | 5,394.99             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY COVINGTON TRUST FIDELITY M B | 14,055.30            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY COVINGTON TR MSCI INFORMAT B | 14,172.52            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY COVINGTON TR MSCI MATERIAL B | 14,176.94            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY MSCI HEALTH CARE INDEX ETF B | 14,317.50            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY MSCI ENERGY INDEX ETF B      | 14,189.92            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY MSCI FINANCIALS INDEX ETF B  | 14,301.60            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 14,249.91            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY MSCI CONSUMER DISCRETIONAR B | 14,197.91            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501780 | OLMSTEAD, VAN      | FIDELITY MSCI CONSUMER STAPLES INDE B | 14,055.83            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501780 | OLMSTEAD, VAN      | SPDR S&P 500 ETF TRUST S              | 127,386.87           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY COVINGTON TRUST FIDELITY M B | 9,660.00             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY COVINGTON TR MSCI INFORMAT B | 9,727.26             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY COVINGTON TR MSCI MATERIAL B | 9,755.78             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY MSCI HEALTH CARE INDEX ETF B | 9,835.50             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY MSCI ENERGY INDEX ETF B      | 9,746.40             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY MSCI FINANCIALS INDEX ETF B  | 9,827.12             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 9,794.30             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY MSCI CONSUMER DISCRETIONAR B | 9,748.42             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501782 | HALLAM, DONALD     | FIDELITY MSCI CONSUMER STAPLES INDE B | 9,678.34             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501782 | HALLAM, DONALD     | SPDR S&P 500 ETF TRUST S              | 87,390.13            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501783 | HALLAM, DONALD     | AMERISOURCEBERGEN CORP B              | 18,891.08            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501783 | HALLAM, DONALD     | BIOGEN INC B                          | 25,780.29            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501783 | HALLAM, DONALD     | CHARLES RIV LABORATORIES INTL INC C S | 22,119.46            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 | HALLAM, DONALD     | FIDELITY COVINGTON TRUST FIDELITY M B | 39,895.80            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 | HALLAM, DONALD     | FIDELITY COVINGTON TR MSCI INFORMAT B | 40,216.47            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 | HALLAM, DONALD     | FIDELITY COVINGTON TR MSCI MATERIAL B | 40,301.96            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 | HALLAM, DONALD     | FIDELITY MSCI HEALTH CARE INDEX ETF B | 40,670.00            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 | HALLAM, DONALD     | FIDELITY MSCI ENERGY INDEX ETF B      | 40,285.12            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 | HALLAM, DONALD     | FIDELITY MSCI FINANCIALS INDEX ETF B  | 40,646.65            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                      | CLIENT                  | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------|-------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>      |                         |                                       |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: STOCKS</u> |                         |                                       |     |                      |                     |                    |                   |      |                      |
| 01/09/2018                      | 75501784 HALLAM, DONALD | FIDELITY MSCI INDUSTRIALS INDEX ETF B |     | 40,461.71            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501784 HALLAM, DONALD | FIDELITY MSCI CONSUMER DISCRETIONAR B |     | 40,328.54            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501784 HALLAM, DONALD | FIDELITY MSCI CONSUMER STAPLES INDE B |     | 39,944.54            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501784 HALLAM, DONALD | SPDR S&P 500 ETF TRUST                | S   | 361,614.35           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501805 BARBARAS, GLEN | AMERISOURCEBERGEN CORP                | B   | 4,843.87             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501805 BARBARAS, GLEN | BIOGEN INC                            | B   | 5,524.35             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501805 BARBARAS, GLEN | CHARLES RIV LABORATORIES INTL INC C S |     | 5,394.99             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY COVINGTON TRUST FIDELITY M B |     | 46,416.30            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY COVINGTON TR MSCI INFORMAT B |     | 46,753.61            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY COVINGTON TR MSCI MATERIAL B |     | 46,878.89            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY MSCI HEALTH CARE INDEX ETF B |     | 47,268.50            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY MSCI ENERGY INDEX ETF        | B   | 46,845.60            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY MSCI FINANCIALS INDEX ETF B  |     | 47,295.64            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY MSCI INDUSTRIALS INDEX ETF B |     | 47,084.90            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY MSCI CONSUMER DISCRETIONAR B |     | 46,921.88            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501807 WRIGHT, PAMELA | FIDELITY MSCI CONSUMER STAPLES INDE B |     | 46,476.57            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501807 WRIGHT, PAMELA | SPDR S&P 500 ETF TRUST                | S   | 424,075.01           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501808 WRIGHT, PAMELA | AMERISOURCEBERGEN CORP                | B   | 3,390.71             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501808 WRIGHT, PAMELA | BIOGEN INC                            | B   | 3,682.90             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501808 WRIGHT, PAMELA | CHARLES RIV LABORATORIES INTL INC C S |     | 3,776.49             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501817 GELLIS, HOWARD | AMERISOURCEBERGEN CORP                | B   | 25,672.49            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501817 GELLIS, HOWARD | BIOGEN INC                            | B   | 33,146.09            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501817 GELLIS, HOWARD | CHARLES RIV LABORATORIES INTL INC C S |     | 29,672.45            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY COVINGTON TRUST FIDELITY M B |     | 20,672.40            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY COVINGTON TR MSCI TELECOMM S |     | 71,816.24            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY COVINGTON TR MSCI INFORMAT B |     | 13,911.03            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY COVINGTON TR MSCI MATERIAL B |     | 15,236.55            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY MSCI HEALTH CARE INDEX ETF B |     | 17,139.50            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY MSCI ENERGY INDEX ETF        | B   | 13,079.04            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY MSCI FINANCIALS INDEX ETF B  |     | 18,901.53            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY MSCI INDUSTRIALS INDEX ETF B |     | 15,654.83            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501818 GELLIS, HOWARD | FIDELITY MSCI CONSUMER DISCRETIONAR B |     | 16,099.06            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501818 GELLIS, HOWARD | FIDELITY COVINGTON TR MSCI UTILITIE S |     | 68,403.47            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501818 GELLIS, HOWARD | FIDELITY MSCI CONSUMER STAPLES INDE B |     | 17,715.13            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501820 KLAHN, ROBERT  | FIDELITY COVINGTON TRUST FIDELITY M B |     | 28,231.35            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501820 KLAHN, ROBERT  | FIDELITY COVINGTON TR MSCI INFORMAT B |     | 28,449.62            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501820 KLAHN, ROBERT  | FIDELITY COVINGTON TR MSCI MATERIAL B |     | 28,500.02            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501820 KLAHN, ROBERT  | FIDELITY MSCI HEALTH CARE INDEX ETF B |     | 28,759.50            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501820 KLAHN, ROBERT  | FIDELITY MSCI ENERGY INDEX ETF        | B   | 28,505.60            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501820 KLAHN, ROBERT  | FIDELITY MSCI FINANCIALS INDEX ETF B  |     | 28,770.47            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE               | CLIENT   | INVESTMENT        | B/S                                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--------------------------|----------|-------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS      |          |                   |                                       |                      |                     |                    |                   |      |                      |
| PRODUCT CATEGORY: STOCKS |          |                   |                                       |                      |                     |                    |                   |      |                      |
| 01/09/2018               | 75501820 | KLAHN, ROBERT     | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 28,620.24            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501820 | KLAHN, ROBERT     | FIDELITY MSCI CONSUMER DISCRETIONAR B | 28,517.17            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501820 | KLAHN, ROBERT     | FIDELITY MSCI CONSUMER STAPLES INDE B | 28,282.65            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501820 | KLAHN, ROBERT     | SPDR S&P 500 ETF TRUST S              | 247,103.14           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501822 | KLAHN, ROBERT     | AMERISOURCEBERGEN CORP B              | 5,328.25             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018               | 75501822 | KLAHN, ROBERT     | BIOGEN INC B                          | 7,365.80             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018               | 75501822 | KLAHN, ROBERT     | CHARLES RIV LABORATORIES INTL INC C S | 5,934.49             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY COVINGTON TRUST FIDELITY M B | 7,848.75             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY COVINGTON TR MSCI INFORMAT B | 7,896.86             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY COVINGTON TR MSCI MATERIAL B | 7,928.85             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY MSCI HEALTH CARE INDEX ETF B | 7,968.00             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY MSCI ENERGY INDEX ETF B      | 7,922.88             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY MSCI FINANCIALS INDEX ETF B  | 7,987.15             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 7,947.84             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY MSCI CONSUMER DISCRETIONAR B | 7,928.18             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501857 | REMINGTON, THOMAS | FIDELITY MSCI CONSUMER STAPLES INDE B | 7,865.79             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501857 | REMINGTON, THOMAS | SPDR S&P 500 ETF TRUST S              | 70,953.12            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501858 | REMINGTON, THOMAS | AMERISOURCEBERGEN CORP B              | 11,625.28            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018               | 75501858 | REMINGTON, THOMAS | BIOGEN INC B                          | 14,731.60            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018               | 75501858 | REMINGTON, THOMAS | CHARLES RIV LABORATORIES INTL INC C S | 13,487.48            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY COVINGTON TRUST FIDELITY M B | 14,659.05            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY COVINGTON TR MSCI INFORMAT B | 14,747.78            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY COVINGTON TR MSCI MATERIAL B | 14,798.09            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY MSCI HEALTH CARE INDEX ETF B | 14,940.00            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY MSCI ENERGY INDEX ETF B      | 14,797.76            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY MSCI FINANCIALS INDEX ETF B  | 14,928.86            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 14,892.16            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501859 | LOVE, MARGARET    | FIDELITY MSCI CONSUMER DISCRETIONAR B | 14,804.66            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501859 | LOVE, MARGARET    | FIDELITY MSCI CONSUMER STAPLES INDE B | 14,671.41            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501859 | LOVE, MARGARET    | SPDR S&P 500 ETF TRUST S              | 132,865.88           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY COVINGTON TRUST FIDELITY M B | 30,887.85            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY COVINGTON TR MSCI INFORMAT B | 31,116.78            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY COVINGTON TR MSCI MATERIAL B | 31,203.87            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY MSCI HEALTH CARE INDEX ETF B | 31,457.00            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY MSCI ENERGY INDEX ETF B      | 31,188.48            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY MSCI FINANCIALS INDEX ETF B  | 31,488.61            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 31,349.80            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018               | 75501860 | LOVE, MARGARET    | FIDELITY MSCI CONSUMER DISCRETIONAR B | 31,227.32            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501860 | LOVE, MARGARET    | FIDELITY MSCI CONSUMER STAPLES INDE B | 30,915.98            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018               | 75501860 | LOVE, MARGARET    | SPDR S&P 500 ETF TRUST S              | 279,977.17           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                      | CLIENT   | INVESTMENT                             | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------|----------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>      |          |  |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: STOCKS</u> |          |  |     |                      |                     |                    |                   |      |                      |
| 01/10/2018                      | 75501861 | BENJAMIN, WAYNE                        |     |                      |                     |                    |                   |      |                      |
|                                 |          | AMERISOURCEBERGEN CORP                 | B   | 5,812.64             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501861 | BENJAMIN, WAYNE                        |     |                      |                     |                    |                   |      |                      |
|                                 |          | BIOGEN INC                             | B   | 7,365.80             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501861 | BENJAMIN, WAYNE                        |     |                      |                     |                    |                   |      |                      |
|                                 |          | CHARLES RIV LABORATORIES INTL INC C S  |     | 6,473.99             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY COVINGTON TRUST FIDELITY M B  |     | 43,252.65            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY COVINGTON TR MSCI INFORMAT B  |     | 43,563.49            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY COVINGTON TR MSCI MATERIAL B  |     | 43,700.04            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI HEALTH CARE INDEX ETF B  |     | 44,073.00            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI ENERGY INDEX ETF B       |     | 43,659.68            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI FINANCIALS INDEX ETF B   |     | 44,075.69            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI INDUSTRIALS INDEX ETF B  |     | 43,873.66            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI CONSUMER DISCRETIONAR B  |     | 43,726.33            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI CONSUMER STAPLES INDE B  |     | 43,296.05            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501866 | WELAISH, JEFFREY                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR S&P 500 ETF TRUST                 | S   | 392,022.83           | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501870 | ESKENAZI, PHOEBE                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | AMERISOURCEBERGEN CORP                 | B   | 3,390.71             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501870 | ESKENAZI, PHOEBE                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | BIOGEN INC                             | B   | 3,682.90             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501870 | ESKENAZI, PHOEBE                       |     |                      |                     |                    |                   |      |                      |
|                                 |          | CHARLES RIV LABORATORIES INTL INC C S  |     | 3,776.49             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR INDEX SHS FDS S&P INTERNATIONAL B |     | 71.24                | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR INDEX SHS FDS DOW JONES GLOBAL S  |     | 3,912.00             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR INDEX SHS FDS S&P EMERGING MAR B  |     | 2,603.72             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR INDEX SHS FDS S&P WORLD EX-US B   |     | 95.37                | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR RUSSELL 1000 ETF                  | S   | 1,129.86             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR RUSSELL 2000 ETF                  | B   | 1,423.16             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA B  |     | 168.99               | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA B  |     | 2,704.70             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA S  |     | -2,704.70            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00 C               |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA B  |     | 2,704.33             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR PORTFOLIO IN B  |     | 2,705.75             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA B  |     | 977.84               | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA S  |     | -977.84              | 0.00                | 0.00               | 0.00              | 70.0 | 0.00 C               |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA B  |     | 977.80               | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA S  |     | 6,389.91             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA B  |     | 4,110.91             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 12/29/2017                      | 75501883 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | SPDR SERIES TRUST SPDR BLOOMBERG BA S  |     | 2,559.76             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                      | 75501884 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | AMERISOURCEBERGEN CORP                 | B   | 8,234.57             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501884 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | BIOGEN INC                             | B   | 11,048.70            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/26/2018                      | 75501884 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | CHARLES RIV LABORATORIES INTL INC C S  |     | 9,710.98             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501885 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY COVINGTON TRUST FIDELITY M B  |     | 21,879.90            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501885 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY COVINGTON TR MSCI INFORMAT B  |     | 22,017.08            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501885 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY COVINGTON TR MSCI MATERIAL B  |     | 22,105.79            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                      | 75501885 | MARTIN, KATHY                          |     |                      |                     |                    |                   |      |                      |
|                                 |          | FIDELITY MSCI HEALTH CARE INDEX ETF B  |     | 22,285.50            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE               | CLIENT   | INVESTMENT        | B/S                                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |      |
|--------------------------|----------|-------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|------|
| BROKER TRANSACTIONS      |          |                   |                                       |                      |                     |                    |                   |      |                      |      |
| PRODUCT CATEGORY: STOCKS |          |                   |                                       |                      |                     |                    |                   |      |                      |      |
| 01/09/2018               | 75501885 | MARTIN, KATHY     | FIDELITY MSCI ENERGY INDEX ETF        | B                    | 22,091.84           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501885 | MARTIN, KATHY     | FIDELITY MSCI FINANCIALS INDEX ETF    | B                    | 22,288.75           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501885 | MARTIN, KATHY     | FIDELITY MSCI INDUSTRIALS INDEX ETF   | B                    | 22,197.74           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501885 | MARTIN, KATHY     | FIDELITY MSCI CONSUMER DISCRETIONAR   | B                    | 22,126.09           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501885 | MARTIN, KATHY     | FIDELITY MSCI CONSUMER STAPLES INDE   | B                    | 21,921.62           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501885 | MARTIN, KATHY     | SPDR S&P 500 ETF TRUST                | S                    | 199,161.84          | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/04/2018               | 75501888 | KAYLOR, KEVIN     | ALPHABET INC CLASS C CAPITAL STOCK    | S                    | 5,430.15            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/04/2018               | 75501888 | KAYLOR, KEVIN     | AMAZON.COM INC                        | S                    | 6,039.70            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/04/2018               | 75501888 | KAYLOR, KEVIN     | CATERPILLAR INC                       | S                    | 796.10              | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/04/2018               | 75501888 | KAYLOR, KEVIN     | MARRIOTT INTL INC NEW CL A            | S                    | 679.21              | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY COVINGTON TRUST FIDELITY M B |                      | 16,156.35           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY COVINGTON TR MSCI INFORMAT   | B                    | 16,264.40           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY COVINGTON TR MSCI MATERIAL   | B                    | 16,296.17           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY MSCI HEALTH CARE INDEX ETF   | B                    | 16,475.50           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY MSCI ENERGY INDEX ETF        | B                    | 16,306.88           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY MSCI FINANCIALS INDEX ETF    | B                    | 16,476.11           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY MSCI INDUSTRIALS INDEX ETF   | B                    | 16,377.36           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY MSCI CONSUMER DISCRETIONAR   | B                    | 16,301.31           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501889 | KAYLOR, KEVIN     | FIDELITY MSCI CONSUMER STAPLES INDE   | B                    | 16,176.17           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501889 | KAYLOR, KEVIN     | SPDR S&P 500 ETF TRUST                | S                    | 142,454.14          | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501890 | KAYLOR, KEVIN     | AMERISOURCEBERGEN CORP                | B                    | 10,656.50           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501890 | KAYLOR, KEVIN     | BIOGEN INC                            | B                    | 14,731.60           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501890 | KAYLOR, KEVIN     | CHARLES RIV LABORATORIES INTL INC C   | S                    | 12,408.48           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501914 | NATASHA RAJ AHUJA | AMERISOURCEBERGEN CORP                | B                    | 5,328.25            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501914 | NATASHA RAJ AHUJA | BIOGEN INC                            | B                    | 7,365.80            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501914 | NATASHA RAJ AHUJA | CHARLES RIV LABORATORIES INTL INC C   | S                    | 5,934.49            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501946 | ANYA P AHUJA 1999 | AMERISOURCEBERGEN CORP                | B                    | 5,328.25            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501946 | ANYA P AHUJA 1999 | BIOGEN INC                            | B                    | 7,365.80            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501946 | ANYA P AHUJA 1999 | CHARLES RIV LABORATORIES INTL INC C   | S                    | 5,934.49            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501953 | MCCRAY, ROBIN     | AMERISOURCEBERGEN CORP                | B                    | 2,421.93            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501953 | MCCRAY, ROBIN     | BIOGEN INC                            | B                    | 3,682.90            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501953 | MCCRAY, ROBIN     | CHARLES RIV LABORATORIES INTL INC C   | S                    | 2,697.50            | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/10/2018               | 75501960 | BLUE PELICAN LLC  | AMERISOURCEBERGEN CORP                | B                    | 19,859.85           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501960 | BLUE PELICAN LLC  | BIOGEN INC                            | B                    | 25,780.29           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/26/2018               | 75501960 | BLUE PELICAN LLC  | CHARLES RIV LABORATORIES INTL INC C   | S                    | 22,658.96           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75502088 | KLAHN, ROBERT     | FIDELITY COVINGTON TR MSCI INFORMAT   | B                    | 31,901.23           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75502088 | KLAHN, ROBERT     | FIDELITY COVINGTON TR MSCI MATERIAL   | B                    | 31,971.18           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75502088 | KLAHN, ROBERT     | FIDELITY COVINGTON TRUST FIDELITY M B |                      | 31,660.65           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75502088 | KLAHN, ROBERT     | FIDELITY MSCI HEALTH CARE INDEX ETF   | B                    | 32,245.50           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |
| 01/09/2018               | 75502088 | KLAHN, ROBERT     | FIDELITY MSCI ENERGY INDEX ETF        | B                    | 31,964.00           | 0.00               | 0.00              | 0.00 | 70.0                 | 0.00 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                                    | CLIENT            | INVESTMENT          | B/S                                   | INVESTMENT<br>AMOUNT  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------|---------------------|---------------------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>             |                   |                     |                                       |                       |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b>        |                   |                     |                                       |                       |                     |                    |                   |      |                      |
| 01/09/2018                                    | 75502088          | KLAHN, ROBERT       | FIDELITY MSCI FINANCIALS INDEX ETF B  | 32,283.14             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                                    | 75502088          | KLAHN, ROBERT       | FIDELITY MSCI INDUSTRIALS INDEX ETF B | 32,112.47             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/09/2018                                    | 75502088          | KLAHN, ROBERT       | FIDELITY MSCI CONSUMER DISCRETIONAR B | 31,995.87             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                                    | 75502088          | KLAHN, ROBERT       | FIDELITY MSCI CONSUMER STAPLES INDE B | 31,702.56             | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| 01/10/2018                                    | 75502088          | KLAHN, ROBERT       | SPDR S&P 500 ETF TRUST S              | 287,099.88            | 0.00                | 0.00               | 0.00              | 70.0 | 0.00                 |
| <b>* STOCKS SUBTOTAL *</b>                    |                   |                     |                                       | <b>\$7,386,740.88</b> | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: OPTIONS</u></b>       |                   |                     |                                       |                       |                     |                    |                   |      |                      |
| 01/02/2018                                    | 75501726          | PENN, SHELDON       | CALL CBOE 03/16/18 120 B              | 2,580.00              | 73.24               | 0.00               | 73.24             | 70.0 | 51.27                |
| 01/02/2018                                    | 75501726          | PENN, SHELDON       | PUT NCR 04/20/18 34 S                 | 600.00                | 37.50               | 0.00               | 37.50             | 70.0 | 26.25                |
| 01/04/2018                                    | 75501726          | PENN, SHELDON       | CALL CMI 03/16/18 160 S               | 6,600.00              | 120.37              | 0.00               | 120.37            | 70.0 | 84.26                |
| 01/08/2018                                    | 75501726          | PENN, SHELDON       | CALL CDNS 05/18/18 41 B               | 1,380.00              | 51.75               | 0.00               | 51.75             | 70.0 | 36.23                |
| 01/11/2018                                    | 75501726          | PENN, SHELDON       | PUT ATO 01/19/18 85 B                 | 1,650.00              | 59.60               | 0.00               | 59.60             | 70.0 | 41.72                |
| 01/16/2018                                    | 75501726          | PENN, SHELDON       | PUT TAP 04/20/18 90 B                 | 2,040.00              | 65.48               | 0.00               | 65.48             | 70.0 | 45.84                |
| 01/22/2018                                    | 75501726          | PENN, SHELDON       | CALL ALL 04/20/18 100 B               | 1,800.00              | 61.86               | 0.00               | 61.86             | 70.0 | 43.30                |
| 01/22/2018                                    | 75501726          | PENN, SHELDON       | CALL CBOE 03/16/18 120 S              | 4,140.00              | 89.51               | 0.00               | 89.51             | 70.0 | 62.66                |
| 01/25/2018                                    | 75501726          | PENN, SHELDON       | CALL PSX 05/18/18 115 S               | 324.00                | 37.50               | 0.00               | 37.50             | 70.0 | 26.25                |
| 01/25/2018                                    | 75501726          | PENN, SHELDON       | PUT ALNY 06/15/18 120 S               | 2,835.00              | 75.90               | 0.00               | 75.90             | 70.0 | 53.13                |
| 01/29/2018                                    | 75501726          | PENN, SHELDON       | CALL ALL 04/20/18 100 S               | 1,080.00              | 40.50               | 0.00               | 40.50             | 70.0 | 28.35                |
| 01/29/2018                                    | 75501726          | PENN, SHELDON       | CALL ESRX 05/18/18 67.50 S            | 4,800.00              | 96.38               | 0.00               | 96.38             | 70.0 | 67.47                |
| 01/29/2018                                    | 75501726          | PENN, SHELDON       | PUT DKS 03/16/18 36 B                 | 1,230.00              | 46.13               | 0.00               | 46.13             | 70.0 | 32.29                |
| 01/29/2018                                    | 75501726          | PENN, SHELDON       | PUT GOV 09/21/18 17.50 S              | 320.00                | 37.50               | 0.00               | 37.50             | 70.0 | 26.25                |
| <b>* OPTIONS SUBTOTAL *</b>                   |                   |                     |                                       | <b>\$31,379.00</b>    | <b>\$893.22</b>     | <b>\$0.00</b>      | <b>\$893.22</b>   |      | <b>\$625.27</b>      |
| <b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b> |                   |                     |                                       |                       |                     |                    |                   |      |                      |
| 01/31/2018                                    | VARIOUS, OLMSTEAD | HANCOCK             | B                                     | 0.00                  | 308.68              | 0.00               | 308.68            | 70.0 | 216.08               |
| 01/31/2018                                    | VARIOUS, OLMSTEAD | NATIONWIDE          | B                                     | 0.00                  | 196.80              | 0.00               | 196.80            | 70.0 | 137.76               |
| <b>* MISCELLANEOUS SUBTOTAL *</b>             |                   |                     |                                       | <b>\$0.00</b>         | <b>\$505.48</b>     | <b>\$0.00</b>      | <b>\$505.48</b>   |      | <b>\$353.84</b>      |
| <b><u>PRODUCT CATEGORY: RIA</u></b>           |                   |                     |                                       |                       |                     |                    |                   |      |                      |
| 01/17/2018                                    | 75501391          | BORISH, MURIEL      | FAS FEES PT. I/III B                  | -196.32               | 196.32              | 0.00               | 196.32            | 70.0 | 137.42               |
| 01/17/2018                                    | 75501727          | PENN, SHELDON       | FAS FEES PT. I/III B                  | -595.09               | 595.09              | 0.00               | 595.09            | 70.0 | 416.56               |
| 01/17/2018                                    | 75501733          | OLMSTEAD, CHRISTYN  | FAS FEES PT. I/III B                  | -234.79               | 234.79              | 0.00               | 234.79            | 70.0 | 164.35               |
| 01/17/2018                                    | 75501772          | OLMSTEAD, ELIZABETH | FAS FEES PT. I/III B                  | -75.79                | 75.79               | 0.00               | 75.79             | 70.0 | 53.05                |
| 01/17/2018                                    | 75501773          | SMITH, GLENN        | FAS FEES PT. I/III B                  | -359.26               | 359.26              | 0.00               | 359.26            | 70.0 | 251.48               |
| 01/17/2018                                    | 75501774          | SMITH, GLENN        | FAS FEES PT. I/III B                  | -311.29               | 311.29              | 0.00               | 311.29            | 70.0 | 217.90               |
| 01/17/2018                                    | 75501778          | VORMWALD, G ROBERT  | FAS FEES PT. I/III B                  | -928.60               | 928.60              | 0.00               | 928.60            | 70.0 | 650.02               |
| 01/17/2018                                    | 75501779          | OLMSTEAD, VAN       | FAS FEES PT. I/III B                  | -374.57               | 374.57              | 0.00               | 374.57            | 70.0 | 262.20               |
| 01/17/2018                                    | 75501780          | OLMSTEAD, VAN       | FAS FEES PT. I/III B                  | -161.43               | 161.43              | 0.00               | 161.43            | 70.0 | 113.00               |
| 01/17/2018                                    | 75501781          | HALLAM, DONALD      | FAS FEES PT. I/III B                  | -175.11               | 175.11              | 0.00               | 175.11            | 70.0 | 122.58               |
| 01/17/2018                                    | 75501782          | HALLAM, DONALD      | FAS FEES PT. I/III B                  | -110.88               | 110.88              | 0.00               | 110.88            | 70.0 | 77.62                |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE            | CLIENT   | INVESTMENT          | B/S                | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE        | BROKER<br>COMMISSION |             |
|-----------------------|----------|---------------------|--------------------|----------------------|---------------------|--------------------|-------------------|-------------|----------------------|-------------|
| BROKER TRANSACTIONS   |          |                     |                    |                      |                     |                    |                   |             |                      |             |
| PRODUCT CATEGORY: RIA |          |                     |                    |                      |                     |                    |                   |             |                      |             |
| 01/17/2018            | 75501783 | HALLAM, DONALD      | FAS FEES PT. I/III | B                    | -1,383.70           | 1,383.70           | 0.00              | 1,383.70    | 70.0                 | 968.59      |
| 01/17/2018            | 75501784 | HALLAM, DONALD      | FAS FEES PT. I/III | B                    | -458.46             | 458.46             | 0.00              | 458.46      | 70.0                 | 320.92      |
| 01/10/2018            | 75501790 | SZANTO, PETER       | FAS FEES PT. I/III | B                    | -56.26              | 56.26              | 0.00              | 56.26       | 70.0                 | 39.38       |
| 01/10/2018            | 75501791 | SZANTO, PETER       | FAS FEES PT. I/III | B                    | -58.56              | 58.56              | 0.00              | 58.56       | 70.0                 | 40.99       |
| 01/17/2018            | 75501793 | WITMAN, RUSSELL     | FAS FEES PT. I/III | B                    | -213.98             | 213.98             | 0.00              | 213.98      | 70.0                 | 149.79      |
| 01/17/2018            | 75501794 | WITMAN, RUSSELL     | FAS FEES PT. I/III | B                    | -398.12             | 398.12             | 0.00              | 398.12      | 70.0                 | 278.68      |
| 01/17/2018            | 75501805 | BARBARAS, GLEN      | FAS FEES PT. I/III | B                    | -388.97             | 388.97             | 0.00              | 388.97      | 70.0                 | 272.28      |
| 01/17/2018            | 75501807 | WRIGHT, PAMELA      | FAS FEES PT. I/III | B                    | -674.14             | 674.14             | 0.00              | 674.14      | 70.0                 | 471.90      |
| 01/17/2018            | 75501808 | WRIGHT, PAMELA      | FAS FEES PT. I/III | B                    | -266.24             | 266.24             | 0.00              | 266.24      | 70.0                 | 186.37      |
| 01/17/2018            | 75501817 | GELLIS, HOWARD      | FAS FEES PT. I/III | B                    | -1,673.66           | 1,673.66           | 0.00              | 1,673.66    | 70.0                 | 1,171.56    |
| 01/17/2018            | 75501818 | GELLIS, HOWARD      | FAS FEES PT. I/III | B                    | -1,070.53           | 1,070.53           | 0.00              | 1,070.53    | 70.0                 | 749.37      |
| 01/17/2018            | 75501820 | KLAHN, ROBERT       | FAS FEES PT. I/III | B                    | -324.73             | 324.73             | 0.00              | 324.73      | 70.0                 | 227.31      |
| 01/17/2018            | 75501822 | KLAHN, ROBERT       | FAS FEES PT. I/III | B                    | -420.31             | 420.31             | 0.00              | 420.31      | 70.0                 | 294.22      |
| 01/17/2018            | 75501857 | REMINGTON, THOMAS   | FAS FEES PT. I/III | B                    | -90.09              | 90.09              | 0.00              | 90.09       | 70.0                 | 63.06       |
| 01/17/2018            | 75501858 | REMINGTON, THOMAS   | FAS FEES PT. I/III | B                    | -909.16             | 909.16             | 0.00              | 909.16      | 70.0                 | 636.41      |
| 01/17/2018            | 75501859 | LOVE, MARGARET      | FAS FEES PT. I/III | B                    | -168.51             | 168.51             | 0.00              | 168.51      | 70.0                 | 117.96      |
| 01/17/2018            | 75501860 | LOVE, MARGARET      | FAS FEES PT. I/III | B                    | -354.98             | 354.98             | 0.00              | 354.98      | 70.0                 | 248.49      |
| 01/17/2018            | 75501861 | BENJAMIN, WAYNE     | FAS FEES PT. I/III | B                    | -453.39             | 453.39             | 0.00              | 453.39      | 70.0                 | 317.37      |
| 01/17/2018            | 75501862 | BENJAMIN, WAYNE     | FAS FEES PT. I/III | B                    | -116.10             | 116.10             | 0.00              | 116.10      | 70.0                 | 81.27       |
| 01/17/2018            | 75501866 | WELAISH, JEFFREY    | FAS FEES PT. I/III | B                    | -496.99             | 496.99             | 0.00              | 496.99      | 70.0                 | 347.89      |
| 01/17/2018            | 75501870 | ESKENAZI, PHOEBE    | FAS FEES PT. I/III | B                    | -252.09             | 252.09             | 0.00              | 252.09      | 70.0                 | 176.46      |
| 01/17/2018            | 75501884 | MARTIN, KATHY       | FAS FEES PT. I/III | B                    | -658.87             | 658.87             | 0.00              | 658.87      | 70.0                 | 461.21      |
| 01/17/2018            | 75501885 | MARTIN, KATHY       | FAS FEES PT. I/III | B                    | -251.38             | 251.38             | 0.00              | 251.38      | 70.0                 | 175.97      |
| 01/17/2018            | 75501888 | KAYLOR, KEVIN       | FAS FEES PT. I/III | B                    | -140.79             | 140.79             | 0.00              | 140.79      | 70.0                 | 98.55       |
| 01/17/2018            | 75501889 | KAYLOR, KEVIN       | FAS FEES PT. I/III | B                    | -185.71             | 185.71             | 0.00              | 185.71      | 70.0                 | 130.00      |
| 01/17/2018            | 75501890 | KAYLOR, KEVIN       | FAS FEES PT. I/III | B                    | -827.14             | 827.14             | 0.00              | 827.14      | 70.0                 | 579.00      |
| 01/17/2018            | 75501914 | NATASHA RAJ AHUJA   | FAS FEES PT. I/III | B                    | -420.76             | 420.76             | 0.00              | 420.76      | 70.0                 | 294.53      |
| 01/17/2018            | 75501925 | HOWELL, WILLIAM     | FAS FEES PT. I/III | B                    | -89.48              | 89.48              | 0.00              | 89.48       | 70.0                 | 62.64       |
| 01/17/2018            | 75501926 | KILPATRICK, CHARLES | FAS FEES PT. I/III | B                    | -338.10             | 338.10             | 0.00              | 338.10      | 70.0                 | 236.67      |
| 01/17/2018            | 75501946 | ANYA P AHUJA 1999   | FAS FEES PT. I/III | B                    | -420.57             | 420.57             | 0.00              | 420.57      | 70.0                 | 294.40      |
| 01/17/2018            | 75501952 | HOWELL, WILLIAM     | FAS FEES PT. I/III | B                    | -115.96             | 115.96             | 0.00              | 115.96      | 70.0                 | 81.17       |
| 01/17/2018            | 75501953 | MCCRAY, ROBIN       | FAS FEES PT. I/III | B                    | -197.50             | 197.50             | 0.00              | 197.50      | 70.0                 | 138.25      |
| 01/17/2018            | 75501954 | MCCRAY, GAIL        | FAS FEES PT. I/III | B                    | -82.42              | 82.42              | 0.00              | 82.42       | 70.0                 | 57.69       |
| 01/17/2018            | 75501960 | BLUE PELICAN LLC    | FAS FEES PT. I/III | B                    | -1,420.05           | 1,420.05           | 0.00              | 1,420.05    | 70.0                 | 994.04      |
| 01/17/2018            | 75502088 | KLAHN, ROBERT       | FAS FEES PT. I/III | B                    | -363.86             | 363.86             | 0.00              | 363.86      | 70.0                 | 254.70      |
| * RIA SUBTOTAL *      |          |                     |                    |                      | \$-19,264.69        | \$19,264.69        | \$0.00            | \$19,264.69 |                      | \$13,485.27 |



COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                                       | CLIENT                       | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|------------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>                       |                              |                                       |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> |                              |                                       |     |                      |                     |                    |                   |      |                      |
| 01/10/2018                                       | 75501393 BORISH, MURIEL      | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 18.77               | 0.00               | 18.77             | 70.0 | 13.14                |
| 01/10/2018                                       | 75501461 OLMSTEAD, ELIZABETH | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 2.48                | 0.00               | 2.48              | 70.0 | 1.74                 |
| 01/10/2018                                       | 75501462 OLMSTEAD, ELIZABETH | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 0.85                | 0.00               | 0.85              | 70.0 | 0.00                 |
| 01/10/2018                                       | 75501463 ZWASKIS, CAROLYN    | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 0.98                | 0.00               | 0.98              | 70.0 | 0.00                 |
| 01/10/2018                                       | 75501474 PETRONIS, GEORGE    | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 17.46               | 0.00               | 17.46             | 70.0 | 12.22                |
| 01/10/2018                                       | 75501498 LESTARDO, AVON      | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 8.35                | 0.00               | 8.35              | 70.0 | 5.85                 |
| 01/10/2018                                       | 75501498 LESTARDO, AVON      | **VIRTUS MULTI ASSET TREND            | B   | 0.00                 | 0.17                | 0.00               | 0.17              | 70.0 | 0.00                 |
| 01/10/2018                                       | 75501498 LESTARDO, AVON      | CAPITAL WORLD GROWTH AND INCOME FUN B | B   | 0.00                 | 2.87                | 0.00               | 2.87              | 70.0 | 2.01                 |
| 01/10/2018                                       | 75501498 LESTARDO, AVON      | FRANKLIN INCOME FUND CLASS A          | B   | 0.00                 | 0.05                | 0.00               | 0.05              | 70.0 | 0.00                 |
| 01/08/2018                                       | 75501499 LESTARDO, AVON      | PACIFIC FDS STRATEGIC INCOME FD CL B  | B   | 0.00                 | 12.83               | 0.00               | 12.83             | 70.0 | 8.98                 |
| 01/10/2018                                       | 75501499 LESTARDO, AVON      | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 0.51                | 0.00               | 0.51              | 70.0 | 0.00                 |
| 01/10/2018                                       | 75501499 LESTARDO, AVON      | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 2.05                | 0.00               | 2.05              | 70.0 | 1.44                 |
| 01/10/2018                                       | 75501499 LESTARDO, AVON      | CAPITAL WORLD GROWTH AND INCOME FUN B | B   | 0.00                 | 2.16                | 0.00               | 2.16              | 70.0 | 1.51                 |
| 01/10/2018                                       | 75501499 LESTARDO, AVON      | FEDERATED WORLD INVT SER INC INTL S B | B   | 0.00                 | 11.78               | 0.00               | 11.78             | 70.0 | 8.25                 |
| 01/10/2018                                       | 75501499 LESTARDO, AVON      | FRANKLIN INCOME FUND CLASS A          | B   | 0.00                 | 1.76                | 0.00               | 1.76              | 70.0 | 1.23                 |
| 01/10/2018                                       | 75501502 MATHEUS, JEANMARIE  | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 3.79                | 0.00               | 3.79              | 70.0 | 2.65                 |
| 01/10/2018                                       | 75501610 VORMWALD, G ROBERT  | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 4.63                | 0.00               | 4.63              | 70.0 | 3.24                 |
| 01/10/2018                                       | 75501610 VORMWALD, G ROBERT  | FRK UTIL FND - CL A                   | B   | 0.00                 | 2.62                | 0.00               | 2.62              | 70.0 | 1.83                 |
| 01/10/2018                                       | 75501611 VORMWALD, G ROBERT  | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 1.54                | 0.00               | 1.54              | 70.0 | 1.08                 |
| 01/10/2018                                       | 75501612 VORMWALD, KATHLEEN  | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 3.70                | 0.00               | 3.70              | 70.0 | 2.59                 |
| 01/10/2018                                       | 75501613 VORMWALD, KATHLEEN  | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 1.23                | 0.00               | 1.23              | 70.0 | 0.86                 |
| 01/10/2018                                       | 75501614 VORMWALD, KATHLEEN  | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 9.25                | 0.00               | 9.25              | 70.0 | 6.48                 |
| 01/10/2018                                       | 75501614 VORMWALD, KATHLEEN  | CAPITAL WORLD GROWTH AND INCOME FUN B | B   | 0.00                 | 13.24               | 0.00               | 13.24             | 70.0 | 9.27                 |
| 01/10/2018                                       | 75501726 PENN, SHELDON       | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 18.57               | 0.00               | 18.57             | 70.0 | 13.00                |
| 01/10/2018                                       | 75501726 PENN, SHELDON       | JANUS GLOBAL UNCONSTRAINED BOND FUN B | B   | 0.00                 | 4.37                | 0.00               | 4.37              | 70.0 | 3.06                 |
| 01/10/2018                                       | 75501729 PENN, ELAYNE        | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 4.54                | 0.00               | 4.54              | 70.0 | 3.18                 |
| 01/10/2018                                       | 75501729 PENN, ELAYNE        | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 6.60                | 0.00               | 6.60              | 70.0 | 4.62                 |
| 01/10/2018                                       | 75501729 PENN, ELAYNE        | GOLDMAN SACHS TR RISING DIVIDEND GR B | B   | 0.00                 | 1.91                | 0.00               | 1.91              | 70.0 | 1.34                 |
| 01/10/2018                                       | 75501729 PENN, ELAYNE        | LORD ABBETT MID CAP STOCK FUND INC B  | B   | 0.00                 | 24.83               | 0.00               | 24.83             | 70.0 | 17.38                |
| 01/10/2018                                       | 75501730 PENN, ELAYNE        | FRANKLIN INCOME FUND CLASS C          | B   | 0.00                 | 1.39                | 0.00               | 1.39              | 70.0 | 0.97                 |
| 01/10/2018                                       | 75501735 GARNETT, ALFRED     | DAVIS NEW YORK VENTURE CLASS A        | B   | 0.00                 | 3.44                | 0.00               | 3.44              | 70.0 | 2.41                 |
| 01/10/2018                                       | 75501775 SMITH, GLENN        | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 6.05                | 0.00               | 6.05              | 70.0 | 4.24                 |
| 01/10/2018                                       | 75501787 PETRONIS, GEORGE    | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 21.76               | 0.00               | 21.76             | 70.0 | 15.23                |
| 01/10/2018                                       | 75501792 WITMAN, RUSSELL     | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 1.83                | 0.00               | 1.83              | 70.0 | 1.28                 |
| 01/10/2018                                       | 75501806 BARBARAS, GLEN      | **VIRTUS MULTI ASSET TREND            | B   | 0.00                 | 49.74               | 0.00               | 49.74             | 70.0 | 34.82                |
| 01/10/2018                                       | 75501806 BARBARAS, GLEN      | BLACKROCK GLOBAL ALLOCATION FUND IN B | B   | 0.00                 | 30.53               | 0.00               | 30.53             | 70.0 | 21.37                |
| 01/10/2018                                       | 75501809 WRIGHT, PAMELA      | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 24.82               | 0.00               | 24.82             | 70.0 | 17.37                |
| 01/10/2018                                       | 75501819 KLAHN, ROBERT       | **VIRTUS EQUITY TREND FUND            | B   | 0.00                 | 21.85               | 0.00               | 21.85             | 70.0 | 15.30                |
| 01/10/2018                                       | 75501819 KLAHN, ROBERT       | **VIRTUS GLOBAL OPPORTUNITIES         | B   | 0.00                 | 58.03               | 0.00               | 58.03             | 70.0 | 40.62                |
| 01/10/2018                                       | 75501819 KLAHN, ROBERT       | **VIRTUS MULTI SECTOR SHORT           | B   | 0.00                 | 23.86               | 0.00               | 23.86             | 70.0 | 16.70                |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                                | CLIENT   | INVESTMENT          | B/S | INVESTMENT<br>AMOUNT                  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |       |
|---|----------|---------------------|-----|---------------------------------------|---------------------|--------------------|-------------------|-------|----------------------|-------|
| BROKER TRANSACTIONS                       |          |                     |     |                                       |                     |                    |                   |       |                      |       |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS |          |                     |     |                                       |                     |                    |                   |       |                      |       |
| 01/10/2018                                | 75501819 | KLAHN, ROBERT       |     | FEDERATED GLOBAL ALLOCATION FUND CL B | 0.00                | 4.44               | 0.00              | 4.44  | 70.0                 | 3.11  |
| 01/10/2018                                | 75501819 | KLAHN, ROBERT       |     | JANUS GLOBAL UNCONSTRAINED BOND FUN B | 0.00                | 65.52              | 0.00              | 65.52 | 70.0                 | 45.86 |
| 01/10/2018                                | 75501831 | COLACINO, JOSEPH    |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 10.45              | 0.00              | 10.45 | 70.0                 | 7.32  |
| 01/10/2018                                | 75501863 | BENJAMIN, WAYNE     |     | **VIRTUS EQUITY TREND FUND B          | 0.00                | 10.76              | 0.00              | 10.76 | 70.0                 | 7.53  |
| 01/10/2018                                | 75501863 | BENJAMIN, WAYNE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B | 0.00                | 30.08              | 0.00              | 30.08 | 70.0                 | 21.06 |
| 01/10/2018                                | 75501863 | BENJAMIN, WAYNE     |     | INVESCO MID CAP GROWTH FUND CLASS A B | 0.00                | 28.17              | 0.00              | 28.17 | 70.0                 | 19.72 |
| 01/10/2018                                | 75501863 | BENJAMIN, WAYNE     |     | RYDEX SER FDS S&P 500 2X STRATEGY C B | 0.00                | 41.92              | 0.00              | 41.92 | 70.0                 | 29.34 |
| 01/10/2018                                | 75501864 | BENJAMIN, WAYNE     |     | **VIRTUS EQUITY TREND FUND B          | 0.00                | 2.42               | 0.00              | 2.42  | 70.0                 | 1.69  |
| 01/10/2018                                | 75501864 | BENJAMIN, WAYNE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B | 0.00                | 7.41               | 0.00              | 7.41  | 70.0                 | 5.19  |
| 01/10/2018                                | 75501864 | BENJAMIN, WAYNE     |     | RYDEX SECTOR ROTATION FUND CLASS C B  | 0.00                | 3.79               | 0.00              | 3.79  | 70.0                 | 2.65  |
| 01/10/2018                                | 75501864 | BENJAMIN, WAYNE     |     | RYDEX SER FDS S&P 500 2X STRATEGY C B | 0.00                | 23.75              | 0.00              | 23.75 | 70.0                 | 16.63 |
| 01/10/2018                                | 75501864 | BENJAMIN, WAYNE     |     | RYDEX SER FDS NOVA FD CL C B          | 0.00                | 5.91               | 0.00              | 5.91  | 70.0                 | 4.14  |
| 01/10/2018                                | 75501865 | BENJAMIN, WAYNE     |     | AMCAP FUND-A B                        | 0.00                | 6.51               | 0.00              | 6.51  | 70.0                 | 4.56  |
| 01/10/2018                                | 75501871 | ESKENAZI, PHOEBE    |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 4.24               | 0.00              | 4.24  | 70.0                 | 2.97  |
| 01/10/2018                                | 75501871 | ESKENAZI, PHOEBE    |     | CAPITAL WORLD GROWTH AND INCOME FUN B | 0.00                | 3.32               | 0.00              | 3.32  | 70.0                 | 2.32  |
| 01/10/2018                                | 75501871 | ESKENAZI, PHOEBE    |     | LORD ABBETT MID CAP STOCK FUND INC B  | 0.00                | 67.71              | 0.00              | 67.71 | 70.0                 | 47.40 |
| 01/10/2018                                | 75501872 | ESKENAZI, PHOEBE    |     | **VIRTUS EQUITY TREND FUND B          | 0.00                | 3.55               | 0.00              | 3.55  | 70.0                 | 2.49  |
| 01/10/2018                                | 75501872 | ESKENAZI, PHOEBE    |     | AMCAP FUND-A B                        | 0.00                | 3.64               | 0.00              | 3.64  | 70.0                 | 2.55  |
| 01/10/2018                                | 75501872 | ESKENAZI, PHOEBE    |     | CAPITAL WORLD GROWTH AND INCOME FUN B | 0.00                | 1.12               | 0.00              | 1.12  | 70.0                 | 0.78  |
| 01/10/2018                                | 75501872 | ESKENAZI, PHOEBE    |     | FUNDAMENTAL INVESTORS-A B             | 0.00                | 3.45               | 0.00              | 3.45  | 70.0                 | 2.42  |
| 01/12/2018                                | 75501872 | ESKENAZI, PHOEBE    |     | DELAWARE GROUP HIGH YIELD OPPORTUNI B | 0.00                | 0.84               | 0.00              | 0.84  | 70.0                 | 0.00  |
| 01/10/2018                                | 75501880 | WINDETT, ROSE       |     | **VIRTUS EQUITY TREND FUND B          | 0.00                | 0.75               | 0.00              | 0.75  | 70.0                 | 0.00  |
| 01/10/2018                                | 75501881 | MARTIN, KATHY       |     | JANUS INVT FD BALANCED FD CL C B      | 0.00                | 44.45              | 0.00              | 44.45 | 70.0                 | 31.12 |
| 01/10/2018                                | 75501882 | MARTIN, KATHY       |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 7.23               | 0.00              | 7.23  | 70.0                 | 5.06  |
| 01/10/2018                                | 75501886 | MARTIN, KATHY       |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 10.35              | 0.00              | 10.35 | 70.0                 | 7.25  |
| 01/10/2018                                | 75501886 | MARTIN, KATHY       |     | **VIRTUS MULTI ASSET TREND B          | 0.00                | 10.78              | 0.00              | 10.78 | 70.0                 | 7.55  |
| 01/10/2018                                | 75501891 | KAYLOR, KEVIN       |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 12.53              | 0.00              | 12.53 | 70.0                 | 8.77  |
| 01/10/2018                                | 75501891 | KAYLOR, KEVIN       |     | LORD ABBETT MID CAP STOCK FUND INC B  | 0.00                | 11.19              | 0.00              | 11.19 | 70.0                 | 7.83  |
| 01/10/2018                                | 75501892 | KAYLOR, KRISTENE    |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 4.86               | 0.00              | 4.86  | 70.0                 | 3.40  |
| 01/10/2018                                | 75501893 | KLAHN, ROBERT       |     | **VIRTUS EQUITY TREND FUND B          | 0.00                | 2.51               | 0.00              | 2.51  | 70.0                 | 1.76  |
| 01/10/2018                                | 75501910 | RUTTER, DOUGLAS     |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 5.44               | 0.00              | 5.44  | 70.0                 | 3.81  |
| 01/10/2018                                | 75501911 | RUTTER, KENNETH     |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 5.45               | 0.00              | 5.45  | 70.0                 | 3.82  |
| 01/10/2018                                | 75501915 | SPARRE, GERI        |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 9.99               | 0.00              | 9.99  | 70.0                 | 6.99  |
| 01/10/2018                                | 75501927 | VASSALOTTI, MARYANN |     | JANUS INVT FD BALANCED FD CL C B      | 0.00                | 19.67              | 0.00              | 19.67 | 70.0                 | 13.77 |
| 01/10/2018                                | 75501928 | GELLIS, HOWARD      |     | **VIRTUS GLOBAL OPPORTUNITIES B       | 0.00                | 10.91              | 0.00              | 10.91 | 70.0                 | 7.64  |
| 01/10/2018                                | 75501935 | STAUFFER, EDWARD    |     | RYDEX SERIES FDS EUROPE 1.25X STRAT B | 0.00                | 0.62               | 0.00              | 0.62  | 70.0                 | 0.00  |
| 01/24/2018                                | 75501935 | STAUFFER, EDWARD    |     | **KINETICS PARADIGM FUND B            | 0.00                | 1.32               | 0.00              | 1.32  | 70.0                 | 0.92  |
| 01/10/2018                                | 75501938 | ROSENBERG, DAVID    |     | **VIRTUS EQUITY TREND FUND B          | 0.00                | 2.98               | 0.00              | 2.98  | 70.0                 | 2.09  |
| 01/10/2018                                | 75501938 | ROSENBERG, DAVID    |     | **VIRTUS MULTI ASSET TREND B          | 0.00                | 10.56              | 0.00              | 10.56 | 70.0                 | 7.39  |
| 01/10/2018                                | 75501938 | ROSENBERG, DAVID    |     | AMERICAN MUTUAL FUND-A B              | 0.00                | 41.73              | 0.00              | 41.73 | 70.0                 | 29.21 |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                                | CLIENT   | INVESTMENT        | B/S                                 | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE        | BROKER<br>COMMISSION |             |
|---|----------|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------------|----------------------|-------------|
| BROKER TRANSACTIONS                       |          |                   |                                     |                      |                     |                    |                   |             |                      |             |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS |          |                   |                                     |                      |                     |                    |                   |             |                      |             |
| 01/10/2018                                | 75501939 | ROSENBERG, ELAINE | **VIRTUS MULTI ASSET TREND          | B                    | 0.00                | 1.56               | 0.00              | 1.56        | 70.0                 | 1.09        |
| 01/10/2018                                | 75501940 | ROSENBERG, ELAINE | **VIRTUS EQUITY TREND FUND          | B                    | 0.00                | 7.45               | 0.00              | 7.45        | 70.0                 | 5.22        |
| 01/10/2018                                | 75501940 | ROSENBERG, ELAINE | **VIRTUS MULTI ASSET TREND          | B                    | 0.00                | 4.82               | 0.00              | 4.82        | 70.0                 | 3.37        |
| 01/10/2018                                | 75501941 | GIBBS, REBECCA    | **VIRTUS EQUITY TREND FUND          | B                    | 0.00                | 2.62               | 0.00              | 2.62        | 70.0                 | 1.83        |
| 01/10/2018                                | 75501943 | GIBBS, REBECCA    | **VIRTUS EQUITY TREND FUND          | B                    | 0.00                | 4.63               | 0.00              | 4.63        | 70.0                 | 3.24        |
| 01/10/2018                                | 75501943 | GIBBS, REBECCA    | TEMPLETON GROWTH FUND CLASS A       | B                    | 0.00                | 2.40               | 0.00              | 2.40        | 70.0                 | 1.68        |
| 01/10/2018                                | 75501955 | PENN, EVA         | **GUGGENHEIM FDS TR                 | B                    | 0.00                | 0.94               | 0.00              | 0.94        | 70.0                 | 0.00        |
| 01/10/2018                                | 75501955 | PENN, EVA         | **VIRTUS MULTI SECTOR SHORT         | B                    | 0.00                | 4.91               | 0.00              | 4.91        | 70.0                 | 3.44        |
| 01/10/2018                                | 75501955 | PENN, EVA         | FRANKLIN INCOME FUND CLASS A        | B                    | 0.00                | 2.49               | 0.00              | 2.49        | 70.0                 | 1.74        |
| 01/10/2018                                | 75501955 | PENN, EVA         | LORD ABBETT MID CAP STOCK FUND INC  | B                    | 0.00                | 25.57              | 0.00              | 25.57       | 70.0                 | 17.90       |
| 01/10/2018                                | 75501955 | PENN, EVA         | LORD ABBETT AFFILIATED FUND INC-CL  | B                    | 0.00                | 18.03              | 0.00              | 18.03       | 70.0                 | 12.62       |
| 01/10/2018                                | 75501955 | PENN, EVA         | ROCHESTER NATIONAL MUNICIPALS A     | B                    | 0.00                | 2.43               | 0.00              | 2.43        | 70.0                 | 1.70        |
| 01/10/2018                                | 75501956 | PENN, EVA         | **VIRTUS EQUITY TREND FUND          | B                    | 0.00                | 6.06               | 0.00              | 6.06        | 70.0                 | 4.24        |
| 01/10/2018                                | 75501956 | PENN, EVA         | LORD ABBETT MID CAP STOCK FUND INC  | B                    | 0.00                | 26.30              | 0.00              | 26.30       | 70.0                 | 18.41       |
| 01/10/2018                                | 75501956 | PENN, EVA         | LORD ABBETT AFFILIATED FUND INC-CL  | B                    | 0.00                | 10.36              | 0.00              | 10.36       | 70.0                 | 7.25        |
| 01/10/2018                                | 75501956 | PENN, EVA         | RYDEX SECTOR ROTATION FUND CLASS C  | B                    | 0.00                | 9.06               | 0.00              | 9.06        | 70.0                 | 6.34        |
| 01/10/2018                                | 75501957 | PENN, EVA         | **VIRTUS MULTI ASSET TREND          | B                    | 0.00                | 1.25               | 0.00              | 1.25        | 70.0                 | 0.88        |
| 01/10/2018                                | 75501967 | BENJAMIN, WAYNE   | **VIRTUS GLOBAL OPPORTUNITIES       | B                    | 0.00                | 7.01               | 0.00              | 7.01        | 70.0                 | 4.91        |
| 01/10/2018                                | 75501997 | LESTARDO, EUGENE  | **VIRTUS GLOBAL OPPORTUNITIES       | B                    | 0.00                | 3.79               | 0.00              | 3.79        | 70.0                 | 2.65        |
| 01/10/2018                                | 75501997 | LESTARDO, EUGENE  | CAPITAL WORLD GROWTH AND INCOME FUN | B                    | 0.00                | 3.53               | 0.00              | 3.53        | 70.0                 | 2.47        |
| 01/10/2018                                | 75502039 | SMITH, DAYNE      | **VIRTUS MULTI ASSET TREND          | B                    | 0.00                | 59.78              | 0.00              | 59.78       | 70.0                 | 41.85       |
| 01/10/2018                                | 75502040 | SMITH, MARY BETH  | CAPITAL APPRECIATION - CLASS A      | B                    | 0.00                | 3.27               | 0.00              | 3.27        | 70.0                 | 2.29        |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *      |          |                   |                                     |                      | \$0.00              | \$1,177.77         | \$0.00            | \$1,177.77  |                      | \$820.49    |
| *** BROKER TRANSACTIONS TOTAL ***         |          |                   |                                     |                      | \$7,398,855.19      | \$21,841.16        | \$0.00            | \$21,841.16 |                      | \$15,284.87 |

**ADJUSTMENTS**

|            |                                   |      |      |      |      |         |
|------------|-----------------------------------|------|------|------|------|---------|
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -135.95 |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -83.31  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -42.41  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -17.50  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -50.30  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -56.23  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -135.42 |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -52.44  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -35.00  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -17.50  |
| 01/31/2018 | DEUNB:UNBUNDLED QRTLY FEE (LPCM): | 0.00 | 0.00 | 0.00 | 0.00 | -23.33  |

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

| TRADE DATE                | CLIENT | INVESTMENT                          | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------|--------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u>        |        |                                     |     |                      |                     |                    |                   |      |                      |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -297.00              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -121.28              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -409.30              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -2,708.99            |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -29.96               |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -206.51              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -378.15              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -245.00              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -364.55              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -326.06              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -325.31              |
| 01/31/2018                |        | DEUNB:UNBUNDLED QTRTRY FEE (LPCM):  |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -23.00               |
| 01/31/2018                |        | DEUNC:UNBUNDLED NEW ACCT FEE (LPCM) |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | 276.14               |
| 01/31/2018                |        | DEUNC:UNBUNDLED NEW ACCT FEE (LPCM) |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | 287.88               |
| 01/31/2018                |        | : FINRA AND SIPC                    |     | 0.00                 | 0.00                | 0.00               | 0.00              |      | -56.47               |
| *** ADJUSTMENTS TOTAL *** |        |                                     |     | <u>\$0.00</u>        | <u>\$0.00</u>       | <u>\$0.00</u>      | <u>\$0.00</u>     |      | <u>\$-5,576.95</u>   |

BROKER COMMISSION TOTALS

|                       |             |
|-----------------------|-------------|
| Broker Transactions   | \$15,284.87 |
| Split Transactions    | \$0.00      |
| Override Transactions | \$0.00      |
| Adjustments           | \$-5,576.95 |
| Payroll Draw          | \$0.00      |
| Base Salary           | \$0.00      |
| Prior Period Balance  | \$0.00      |
| FINRA Assessment      | \$-26.67    |
| SIPC Assessment       | \$-53.34    |

|                       |            |
|-----------------------|------------|
| Check Amount          | \$9,627.91 |
| Year-to-date Earnings | \$9,627.91 |