

COMMISSION STATEMENT

April 03, 2017

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #:
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
03/22/2017	QGW001307	SMALL, WAYNE		APOLLO COMMERCIAL REAL ESTATE FINAN S	-9,171.35	238.00	10.00	228.00	85.0	193.80	
03/22/2017	QGW001307	SMALL, WAYNE		TUTOR PERINI CORPORATION	B	5,699.80	165.00	10.00	155.00	85.0	131.75
03/13/2017	QGW001323	KNUDSON, BENJAMIN		ABERDEEN ASIA PACIFIC INCOME FUND I B		5,804.40	168.00	10.00	158.00	85.0	134.30
03/14/2017	QGW018525	WAYMEL, RAYMOND		EATON VANCE ENHANCED EQUITY INCOME S		-20,199.75	528.00	10.00	518.00	85.0	440.30
03/14/2017	QGW018525	WAYMEL, RAYMOND		MOBILE TELESYSTEMS PJSC AMERICAN DE S		-19,763.40	524.00	10.00	514.00	85.0	436.90
03/22/2017	QGW018525	WAYMEL, RAYMOND		ALLIANZGI CONV & INCOME FD COM	S	-13,015.60	335.00	10.00	325.00	85.0	276.25
03/22/2017	QGW018525	WAYMEL, RAYMOND		TUTOR PERINI CORPORATION	B	14,318.95	416.00	10.00	406.00	85.0	345.10
03/13/2017	QGW018541	LUNCEFORD, WENDELL		ABERDEEN ASIA PACIFIC INCOME FUND I B		7,289.40	226.00	10.00	216.00	85.0	183.60
03/13/2017	QGW018541	LUNCEFORD, WENDELL		ALPS ETF TR EMERGING SECTOR DIVID D B		6,959.97	201.00	10.00	191.00	85.0	162.35
03/14/2017	QGW018541	LUNCEFORD, WENDELL		EATON VANCE ENHANCED EQUITY INCOME S		-20,280.90	537.00	10.00	527.00	85.0	447.95
03/14/2017	QGW018541	LUNCEFORD, WENDELL		GAMCO NATURAL RESOURCES GOLD & INCO S		-13,377.20	364.00	10.00	354.00	85.0	300.90
03/14/2017	QGW018541	LUNCEFORD, WENDELL		NATURAL RESOURCE PARTNERS L P COM U S		-2,525.25	75.00	10.00	65.00	85.0	55.25
03/23/2017	QGW018624	BRYANT, RONALD		APOLLO COMMERCIAL REAL ESTATE FINAN S		-18,463.50	481.00	10.00	471.00	85.0	400.35
03/23/2017	QGW018624	BRYANT, RONALD		FORD MOTOR CO PAR \$0.01	B	5,818.30	168.00	10.00	158.00	85.0	134.30
03/23/2017	QGW018624	BRYANT, RONALD		VERIZON COMMUNICATIONS	B	4,965.62	144.00	10.00	134.00	85.0	113.90
03/16/2017	QGW018673	DEKKER, KATHIE		NEW YORK COMMUNITY BANCORP INC	B	4,324.95	125.00	10.00	115.00	85.0	97.75
03/02/2017	QGW018889	DICE, GEORGE		ABBOTT LABORATORIES	S	-4,544.35	119.00	10.00	109.00	85.0	92.65
03/27/2017	QGW018913	NUTT, RENEE		VERIZON COMMUNICATIONS	B	4,916.70	142.00	10.00	132.00	85.0	112.20
02/24/2017	QGW018947	BAIN, STEVEN		SUNTRUST BANKS INC	S	-7,091.22	177.00	10.00	167.00	85.0	141.95
02/24/2017	QGW018947	BAIN, STEVEN		VERIZON COMMUNICATIONS	B	5,052.65	126.00	10.00	116.00	85.0	98.60
02/27/2017	QGW018954	EGGLESTON, LYNN		NEW YORK COMMUNITY BANCORP INC	B	4,630.95	134.00	10.00	124.00	85.0	105.40
02/27/2017	QGW018962	EGGLESTON, NICHOLAS		NEW YORK COMMUNITY BANCORP INC	B	2,161.11	75.00	10.00	65.00	85.0	55.25
03/14/2017	QGW019119	HAECKER, GREG		ALPINE GLOBAL DYNAMIC DIVID FD COM S		-4,740.90	124.00	10.00	114.00	85.0	96.90
03/14/2017	QGW019119	HAECKER, GREG		AMGEN INC	S	-7,207.20	187.00	10.00	177.00	85.0	150.45
03/14/2017	QGW019119	HAECKER, GREG		AON PLC SHS CL A ISIN#GB00B5BT0K07 S		-7,701.86	91.00	10.00	81.00	85.0	68.85
03/14/2017	QGW019119	HAECKER, GREG		TECK RESOURCES LIMITED SUB VOTING C S		-8,008.40	212.00	10.00	202.00	85.0	171.70
03/23/2017	QGW019119	HAECKER, GREG		APOLLO COMMERCIAL REAL ESTATE FINAN S		-12,922.84	336.00	10.00	326.00	85.0	277.10
03/14/2017	QGW019143	HAECKER, DAWN		VERIZON COMMUNICATIONS	B	4,929.87	143.00	10.00	133.00	85.0	113.05
03/16/2017	QGW019234	RF LAFFERTY & CO		SUNTRUST BANKS INC	S	-5,811.14	156.00	10.00	146.00	85.0	124.10
03/22/2017	QGW019382	BENNETT, MARK		ABERDEEN ASIA PACIFIC INCOME FUND I B		4,919.90	110.00	10.00	100.00	85.0	85.00
02/27/2017	QGW019440	DOMB, CARALINE		GAMESTOP CORP NEW CLASS A	B	3,150.59	91.00	10.00	81.00	85.0	68.85

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/14/2017	QGW024747	WAYMEL, RAYMOND							
		ABERDEEN ASIA PACIFIC INCOME FUND I B		14,530.20	421.00	10.00	411.00	85.0	349.35
03/14/2017	QGW024747	WAYMEL, RAYMOND							
		ALPS ETF TR EMERGING SECTOR DIVID D B		15,023.91	433.00	10.00	423.00	85.0	359.55
03/14/2017	QGW024747	WAYMEL, RAYMOND							
		AMGEN INC	S	-18,052.15	470.00	10.00	460.00	85.0	391.00
03/22/2017	QGW024747	WAYMEL, RAYMOND							
		APOLLO COMMERCIAL REAL ESTATE FINAN S		-36,700.20	954.00	10.00	944.00	85.0	802.40
03/23/2017	QGW024770	HOBGOOD, RUTH							
		VERIZON COMMUNICATIONS	B	9,951.24	224.00	10.00	214.00	85.0	181.90
03/22/2017	QGW024820	GRAMPP, RAYMOND							
		APOLLO COMMERCIAL REAL ESTATE FINAN S		-7,146.48	186.00	10.00	176.00	85.0	149.60
03/15/2017	QGW024853	LEO P LEBEL, SEP							
		NETFLIX COM INC	S	-4,350.00	75.00	10.00	65.00	85.0	55.25
03/27/2017	QGW024960	ABLES, BRIAN							
		VERIZON COMMUNICATIONS	B	4,900.60	142.00	10.00	132.00	85.0	112.20
02/28/2017	QGW024986	PENNINGTON, CHARLES							
		KITE PHARMA INC COM	S	-9,712.50	97.00	10.00	87.00	85.0	73.95
03/14/2017	QGW025082	LEITI, KEVIN							
		VERIZON COMMUNICATIONS	B	1,972.76	75.00	10.00	65.00	85.0	55.25
03/23/2017	QGW025454	HOFFMAN, PATRICIA							
		VERIZON COMMUNICATIONS	B	4,978.62	124.00	10.00	114.00	85.0	96.90
03/15/2017	QGW025942	MCEVOY JT TEN,							
		ABBVIE INC	S	-6,666.50	173.00	10.00	163.00	85.0	138.55
03/22/2017	QGW025942	MCEVOY JT TEN,							
		ABERDEEN ASIA PACIFIC INCOME FUND I B		4,919.90	142.00	10.00	132.00	85.0	112.20
03/22/2017	QGW025942	MCEVOY JT TEN,							
		APOLLO COMMERCIAL REAL ESTATE FINAN S		-5,670.99	147.00	10.00	137.00	85.0	116.45
03/22/2017	QGW025942	MCEVOY JT TEN,							
		VERIZON COMMUNICATIONS	B	4,946.58	145.00	10.00	135.00	85.0	114.75
03/20/2017	QGW028144	CONARD, VICKI							
		ABERDEEN ASIA PACIFIC INCOME FUND I B		4,427.10	128.00	10.00	118.00	85.0	100.30
03/15/2017	QGW028367	CONARD, JULIE							
		ABERDEEN ASIA PACIFIC INCOME FUND I B		3,927.92	98.00	10.00	88.00	85.0	74.80
03/15/2017	QGW028367	CONARD, JULIE							
		VERIZON COMMUNICATIONS	B	4,013.59	98.00	10.00	88.00	85.0	74.80
03/22/2017	QGW029886	KONKEN, LUCY							
		VERIZON COMMUNICATIONS	B	4,950.58	144.00	10.00	134.00	85.0	113.90
03/21/2017	QGW031692	BARFIELD, BARBARA							
		ABERDEEN ASIA PACIFIC INCOME FUND I B		4,939.20	149.00	10.00	139.00	85.0	118.15
03/21/2017	QGW031692	BARFIELD, BARBARA							
		NEW YORK COMMUNITY BANCORP INC	B	5,655.40	164.00	10.00	154.00	85.0	130.90
* STOCKS SUBTOTAL *				\$-89,042.92	\$11,507.00	\$520.00	\$10,987.00		\$9,338.95
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2017	QGW018491	HOBGOOD, ROBIN							
		BOND A	B	0.00	2.76	0.00	2.76	85.0	2.35
03/14/2017	QGW018574	STEPANEK, CATHERINE							
		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	5.17	0.00	5.17	85.0	4.39
03/14/2017	QGW018798	SENTZ, ROBERT							
		LARGE CAP EQUITY A	B	0.00	0.11	0.00	0.11	85.0	0.09
03/14/2017	QGW019150	RICHARDSON, MARY							
		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	0.07	0.00	0.07	85.0	0.06
03/15/2017	QGW024838	STEPANEK, DENNIS							
		JP MORGAN LIMITED DURATION BOND FUN B		0.00	17.93	0.00	17.93	85.0	15.24
03/14/2017	QGW024879	DERTOUZOS, JAMES							
		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	2.35	0.00	2.35	85.0	2.00
03/14/2017	QGW024879	DERTOUZOS, JAMES							
		REGIONAL BANK A	B	0.00	2.03	0.00	2.03	85.0	1.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$30.42	\$0.00	\$30.42		\$25.86
*** BROKER TRANSACTIONS TOTAL ***				\$-89,042.92	\$11,537.42	\$520.00	\$11,017.42		\$9,364.81

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ADJUSTMENTS

04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,364.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.42
SIPC Assessment	\$-216.33

Check Amount	\$9,114.06
Year-to-date Earnings	\$21,788.03