



COMMISSION STATEMENT

May 14, 2018

JEFFRY PROUL
950 Glenn Drive
Suite 100
Folsom, CA 95630

BROKER #: 940
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976498	AMERICAN FUNDS 2030 TARGET DATE-A BUY 132 Units @15.1300	2,000.00	75.00	0.00	75.00	75.0	56.25
05/01/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00088976486	AMERICAN FUNDS 2030 TARGET DATE-A BUY 8 Units @15.1300	125.00	4.69	0.00	4.69	75.0	3.52
05/04/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN FUNDS 2055 TARGET DATE-A BUY 10 Units @19.7300	200.00	5.50	0.00	5.50	75.0	4.13
05/04/2018	***-**-22CL - PROUL, CLIENTS ACCOUNT #: 00089402273	AMERICAN FUNDS 2055 TARGET DATE-A BUY 3 Units @19.7300	52.80	1.45	0.00	1.45	75.0	1.09
05/02/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058823519	EUROPACIFIC GROWTH FUND-A BUY 9 Units @56.7200	521.26	5.21	0.00	5.21	75.0	3.91
05/02/2018	***-**-9346 - KEY-BELL INC ACCOUNT #: 00058823515	EUROPACIFIC GROWTH FUND-A BUY 3 Units @56.7200	150.00	1.50	0.00	1.50	75.0	1.13
05/02/2018	***-**-3875 - HARRY, JOHN ACCOUNT #: 00058823514	EUROPACIFIC GROWTH FUND-A BUY 10 Units @56.7200	563.35	5.63	0.00	5.63	75.0	4.22
05/02/2018	***-**-4496 - HARRY, KIRK ACCOUNT #: 00058823513	EUROPACIFIC GROWTH FUND-A BUY 8 Units @56.7200	479.17	4.79	0.00	4.79	75.0	3.59
05/02/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00086889126	EUROPACIFIC GROWTH FUND-A BUY 2 Units @56.7200	87.50	0.88	0.00	0.88	75.0	0.66
05/02/2018	***-**-2069 - CORPORATION, ACCOUNT #: 00058836715	EUROPACIFIC GROWTH FUND-A BUY 21 Units @56.7200	1,197.28	11.97	0.00	11.97	75.0	8.98
04/26/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.6100	-4.32	-0.22	0.00	-0.22	75.0	-0.17
04/26/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.6100	-4.32	-0.22	0.00	-0.22	75.0	-0.17
05/03/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.5300	5.04	0.25	0.00	0.25	75.0	0.19
05/03/2018	***-**-2566 - MINOTT, JUANITA ACCOUNT #: 03900221916	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @14.5300	5.04	0.25	0.00	0.25	75.0	0.19
05/03/2018	***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.8200	26.40	1.32	0.00	1.32	75.0	0.99
05/03/2018	***-**-5939 - WHITE, TAMARA ACCOUNT #: 03900219123	FIDELITY ADV FREEDOM 2030-CL A BUY 2 Units @15.8200	26.40	1.32	0.00	1.32	75.0	0.99



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/27/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	40.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 03900186047	BUY 3 Units @13.0100						
04/27/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	43.82	2.19	0.00	2.19	75.0	1.64
	ACCOUNT #: 03900186047	BUY 3 Units @13.0100						
04/27/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 4 Units @13.0100						
04/27/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 4 Units @13.0100						
04/27/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 03900186027	BUY 8 Units @13.0100						
04/27/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	38.16	1.91	0.00	1.91	75.0	1.43
	ACCOUNT #: 03900186027	BUY 3 Units @13.0100						
04/27/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @13.0100						
04/27/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	49.40	2.47	0.00	2.47	75.0	1.85
	ACCOUNT #: 03900186024	BUY 4 Units @13.0100						
04/27/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @13.0100						
04/27/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @13.0100						
04/27/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 03900186012	BUY 12 Units @13.0100						
04/27/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	44.49	2.22	0.00	2.22	75.0	1.67
	ACCOUNT #: 03900186012	BUY 3 Units @13.0100						
04/27/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900186010	BUY 3 Units @13.0100						
04/27/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	42.00	2.10	0.00	2.10	75.0	1.58
	ACCOUNT #: 03900186010	BUY 3 Units @13.0100						
04/27/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	40.80	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: 03900185999	BUY 3 Units @13.0100						
04/27/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	40.80	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: 03900185999	BUY 3 Units @13.0100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/27/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	54.16	2.71	0.00	2.71	75.0	2.03
	ACCOUNT #: 03900185962	BUY 4 Units @13.0100						
04/27/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	54.16	2.71	0.00	2.71	75.0	2.03
	ACCOUNT #: 03900185962	BUY 4 Units @13.0100						
04/16/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 00519094815	BUY 3 Units @38.7500						
04/30/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	942.00	18.84	0.00	18.84	75.0	14.13
	ACCOUNT #: 00086454950	BUY 70 Units @13.4400						
04/30/2018	***-**-673I - GEIGER, MAJOR	INTERMEDIATE BOND FUND OF AMERICA-A	90.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 00086454950	BUY 7 Units @13.4400						
05/02/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	37.50	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00086889126	BUY 1 Units @51.6800						
05/02/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	1,197.29	11.97	0.00	11.97	75.0	8.98
	ACCOUNT #: 00058836715	BUY 23 Units @51.6800						
05/02/2018	***-**-2069 - CORPORATION,	THE GROWTH FUND OF AMERICA-A	521.26	5.21	0.00	5.21	75.0	3.91
	ACCOUNT #: 00058823519	BUY 10 Units @51.6800						
05/02/2018	***-**-9346 - KEY-BELL INC	THE GROWTH FUND OF AMERICA-A	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00058823515	BUY 3 Units @51.6800						
05/02/2018	***-**-3875 - HARRY, JOHN	THE GROWTH FUND OF AMERICA-A	563.35	5.63	0.00	5.63	75.0	4.22
	ACCOUNT #: 00058823514	BUY 11 Units @51.6800						
05/02/2018	***-**-4496 - HARRY, KIRK	THE GROWTH FUND OF AMERICA-A	479.17	4.79	0.00	4.79	75.0	3.59
	ACCOUNT #: 00058823513	BUY 9 Units @51.6800						
05/04/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @53.9800						
05/04/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @53.9800						
* MUTUAL FUNDS SUBTOTAL *			\$11,047.68	\$245.88	\$0.00	\$245.88		\$184.45



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/14/2018	***-**-1522 - PROUL, JEFFRY	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	4.35	0.00	4.35	75.0	3.26
	ACCOUNT #: LSR101168	BUY 1 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.20	0.00	0.20	75.0	0.15
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.28	0.00	0.28	75.0	0.21
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
05/07/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
05/07/2018	***-**-762I - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 03900221014	BUY 0 Units @0.0000						
05/07/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 03900221916	BUY 0 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
05/07/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900219123	BUY 0 Units @0.0000						
05/07/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 03900185962	BUY 0 Units @0.0000						
05/07/2018	***-**-816I - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 03900185999	BUY 0 Units @0.0000						
05/07/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.62	0.00	1.62	75.0	1.22
	ACCOUNT #: 03900186010	BUY 0 Units @0.0000						
05/07/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.45	0.00	0.45	75.0	0.34
	ACCOUNT #: 03900186012	BUY 0 Units @0.0000						
05/07/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.90	0.00	0.90	75.0	0.68
	ACCOUNT #: 03900186019	BUY 0 Units @0.0000						
05/07/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/07/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
05/07/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.31	0.00	0.31	75.0	0.23
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						
05/07/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
05/07/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.81	0.00	0.81	75.0	0.61
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
05/07/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
05/07/2018	***-**-0399 - MOORE, RACHEL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: 03900186054	BUY 0 Units @0.0000						
05/07/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
05/07/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
05/07/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	8.16	0.00	8.16	75.0	6.12
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
05/03/2018	***-**-46IN - CALLES, DALE	GOLDMAN SACHS GROWTH AND INCOME CLA	0.00	2.85	0.00	2.85	75.0	2.14
	ACCOUNT #: 00519094815	BUY 0 Units @0.0000						
05/14/2018	***-**-1522 - PROUL, JEFFRY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: LSR101168	BUY 1 Units @0.0000						
05/14/2018	***-**-2742 - CLASEN, SHIRLEY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: LSR102512	BUY 1 Units @0.0000						
05/14/2018	***-**-1240 - RODMAN, BRIAN	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: LSR103420	BUY 1 Units @0.0000						
04/30/2018	***-**-90IN - REPELLA, CRAIG	TEMPLETON GROWTH FUND CLASS A	0.00	36.03	0.00	36.03	75.0	27.02
	ACCOUNT #: 000001900266117	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$62.17	\$0.00	\$62.17		\$46.66
*** BROKER TRANSACTIONS TOTAL ***			\$11,047.68	\$308.05	\$0.00	\$308.05		\$231.11



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$231.11
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$231.11
						Year-to-date Earnings		\$25,426.66