



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2018

08/22/2018
 Page No. 1

ACCOUNT HOUSE
 1120 E. LONG LAKE ROAD
 SUITE 207
 TROY, MI 48085-

BROKER #: G999
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	3.00	-2.00	100.0	-2.00
08/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
08/15/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$99.00	\$1.00	\$3.00	\$-2.00		\$-2.00
<u>PRODUCT CATEGORY: STOCKS</u>								
08/20/2018	CONCORDE, VARIOUS	CERAGON NETWORKS LTD REG SHS ISIN#I	333.50	0.00	1.00	-1.00	100.0	-1.00
08/20/2018	CONCORDE, VARIOUS	CERAGON NETWORKS LTD REG SHS ISIN#I	333.99	0.00	1.00	-1.00	100.0	-1.00
08/20/2018	CONCORDE, VARIOUS	CERAGON NETWORKS LTD REG SHS ISIN#I	333.99	0.00	1.00	-1.00	100.0	-1.00
08/20/2018	CONCORDE, VARIOUS	CERAGON NETWORKS LTD REG SHS ISIN#I	5,677.83	0.00	1.00	-1.00	100.0	-1.00
08/20/2018	CONCORDE, VARIOUS	CERAGON NETWORKS LTD REG SHS ISIN#I	-6,679.40	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-0.09	\$0.00	\$4.00	\$-4.00		\$-4.00
*** CLEARING TRANSACTIONS TOTAL ***			\$98.91	\$1.00	\$7.00	\$-6.00		\$-6.00

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: 529 PLANS</u>								
08/06/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
* 529 PLANS SUBTOTAL *			\$50.00	\$2.50	\$0.00	\$2.50		\$2.50

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
08/15/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
08/06/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
08/06/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	150.00	7.50	0.00	7.50	100.0	7.50
08/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
08/06/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
08/06/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
08/15/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	5.50	0.00	5.50	100.0	5.50
08/06/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
08/06/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	150.00	7.50	0.00	7.50	100.0	7.50
08/09/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
* MUTUAL FUNDS SUBTOTAL *			\$1,400.00	\$49.50	\$0.00	\$49.50		\$49.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/10/2018	LUND, VARIOUS	ADV CENTURY	0.00	275.76	0.00	275.76	100.0	275.76
08/15/2018	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
08/15/2018	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
* VARIABLE ANNUITIES SUBTOTAL *			\$60.00	\$276.36	\$0.00	\$276.36		\$276.36
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/08/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.94	0.00	5.94	100.0	5.94
08/10/2018	BENNETT, CATHERINE	PAC LIFE VUL	66.00	1.32	0.00	1.32	100.0	1.32
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$66.00	\$7.26	\$0.00	\$7.26		\$7.26
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/14/2018	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.39	0.00	1.39	100.0	1.39
08/14/2018	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.83	0.00	0.83	100.0	0.83
08/14/2018	HABTE, BISRAT	JH FINANCIAL INDUSTRIES A	0.00	3.92	0.00	3.92	100.0	3.92
08/14/2018	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.80	0.00	6.80	100.0	6.80
08/14/2018	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.65	0.00	3.65	100.0	3.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.59	\$0.00	\$16.59		\$16.59
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/17/2018	FORMELLA, ANNETTE	GENWORTH INS	0.00	2.66	0.00	2.66	100.0	2.66
07/17/2018	FORMELLA, DAVID	GENWORTH INS	0.00	2.63	0.00	2.63	100.0	2.63
08/12/2018	LUCREZIO, VINCENT	ING LANDMARK	52,005.66	130.01	0.00	130.01	100.0	130.01
08/10/2018	RAYNUSH, MARTHA	OHIO NATL ONCORE PREMIER	0.00	85.03	0.00	85.03	100.0	85.03
08/03/2018	PISCIOTTI, ERNEST	PAC LIFE PACIFIC CHOICE	0.00	346.14	0.00	346.14	100.0	346.14
07/31/2018	DIXON REV, ROSE	TA LANDMARK VA	0.00	331.87	0.00	331.87	100.0	331.87
07/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	0.00	19.79	0.00	19.79	100.0	19.79

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	0.00	383.91	0.00	383.91	100.0	383.91
07/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	0.00	94.92	0.00	94.92	100.0	94.92
07/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	0.00	4.06	0.00	4.06	100.0	4.06
07/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	0.00	2.81	0.00	2.81	100.0	2.81
07/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	0.00	4.16	0.00	4.16	100.0	4.16
07/02/2018	BREWER, VARIOUS	TRANSAMERICA EXTRA	0.00	29.60	0.00	29.60	100.0	29.60
07/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	0.00	158.62	0.00	158.62	100.0	158.62
07/04/2018	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	0.00	85.07	0.00	85.07	100.0	85.07
07/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	0.00	38.48	0.00	38.48	100.0	38.48
07/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	0.00	290.86	0.00	290.86	100.0	290.86
07/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	0.00	552.71	0.00	552.71	100.0	552.71
07/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	21.82	0.00	21.82	100.0	21.82
07/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	0.00	5.84	0.00	5.84	100.0	5.84
07/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	12.48	0.00	12.48	100.0	12.48
07/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	0.00	22.48	0.00	22.48	100.0	22.48
07/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	40.86	0.00	40.86	100.0	40.86
07/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	13.91	0.00	13.91	100.0	13.91
07/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	0.00	187.98	0.00	187.98	100.0	187.98
07/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	0.00	1,202.91	0.00	1,202.91	100.0	1,202.91
07/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	0.00	7.38	0.00	7.38	100.0	7.38
07/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	0.00	182.25	0.00	182.25	100.0	182.25
07/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	0.00	16.96	0.00	16.96	100.0	16.96
07/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	0.00	50.69	0.00	50.69	100.0	50.69
07/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	0.00	50.22	0.00	50.22	100.0	50.22
07/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	0.00	33.08	0.00	33.08	100.0	33.08
07/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	28.45	0.00	28.45	100.0	28.45
07/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	0.00	47.19	0.00	47.19	100.0	47.19
07/27/2018	LEONARD, NITA	TRANSAMERICA EXTRA	0.00	37.80	0.00	37.80	100.0	37.80
07/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	0.00	11.39	0.00	11.39	100.0	11.39
07/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	0.00	26.83	0.00	26.83	100.0	26.83
07/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	0.00	11.58	0.00	11.58	100.0	11.58
07/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	0.00	90.46	0.00	90.46	100.0	90.46
07/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	0.00	60.19	0.00	60.19	100.0	60.19

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	0.00	59.67	0.00	59.67	100.0	59.67
07/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	0.00	159.66	0.00	159.66	100.0	159.66
07/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	0.00	1,150.64	0.00	1,150.64	100.0	1,150.64
07/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	0.00	259.71	0.00	259.71	100.0	259.71
07/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	0.00	91.32	0.00	91.32	100.0	91.32
07/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	0.00	278.40	0.00	278.40	100.0	278.40
07/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	0.00	111.89	0.00	111.89	100.0	111.89
07/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	0.00	122.62	0.00	122.62	100.0	122.62
07/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	0.00	65.73	0.00	65.73	100.0	65.73
07/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	0.00	415.40	0.00	415.40	100.0	415.40
07/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	0.00	16.25	0.00	16.25	100.0	16.25
07/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	0.00	16.70	0.00	16.70	100.0	16.70
07/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	12.30	0.00	12.30	100.0	12.30
07/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	0.00	460.97	0.00	460.97	100.0	460.97
07/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	0.00	138.41	0.00	138.41	100.0	138.41
07/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	0.00	664.24	0.00	664.24	100.0	664.24
07/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	0.00	43.78	0.00	43.78	100.0	43.78
07/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	0.00	11.68	0.00	11.68	100.0	11.68
07/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	60.70	0.00	60.70	100.0	60.70
07/29/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	0.00	192.53	0.00	192.53	100.0	192.53
07/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	0.00	46.58	0.00	46.58	100.0	46.58
07/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	0.00	47.45	0.00	47.45	100.0	47.45
07/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	0.00	73.48	0.00	73.48	100.0	73.48
07/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	0.00	32.04	0.00	32.04	100.0	32.04
07/12/2018	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	0.00	55.50	0.00	55.50	100.0	55.50
07/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	0.00	72.58	0.00	72.58	100.0	72.58
07/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	0.00	66.15	0.00	66.15	100.0	66.15
07/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	0.00	188.64	0.00	188.64	100.0	188.64
07/24/2018	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	0.00	69.55	0.00	69.55	100.0	69.55
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$52,005.66	\$9,710.65	\$0.00	\$9,710.65		\$9,710.65
*** DIRECT TRANSACTIONS TOTAL ***			\$53,581.66	\$10,062.86	\$0.00	\$10,062.86		\$10,062.86

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #10</u>								
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	3.00
08/15/2018	DORAN, PATRICK	FA 529 PORT 2019 C	200.00	0.50	0.00	0.50	0.0	0.50
08/15/2018	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
08/15/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
08/06/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	3.00	0.00	3.00	0.0	3.00
08/06/2018	STEINER, DANIEL	FA 529 PORT 2025 A	120.00	3.00	0.00	3.00	0.0	3.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.60	0.00	3.60	0.0	3.60
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.00	0.00	6.00	0.0	6.00
* JIM LUND SUBTOTAL *			\$520.00	\$20.10	\$0.00	\$20.10		\$20.10
<u>BROKER: KAREN WINTERS #20</u>								
07/31/2018	MACIEJEWSKI, ROBERT	COMMONWEALTH PLUS	21,868.48	3.64	0.00	3.64	0.0	3.64
07/31/2018	REEDY, DAVID	RETIRE READY CHOICE	24,562.06	20.47	0.00	20.47	0.0	20.47
* KAREN WINTERS SUBTOTAL *			\$46,430.54	\$24.11	\$0.00	\$24.11		\$24.11
<u>BROKER: ERIC HORNYAK #29</u>								
/ /	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.22	0.00	0.22	0.0	0.22
07/31/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.14	0.00	1.14	0.0	1.14
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$1.36	\$0.00	\$1.36		\$1.36
<u>BROKER: THOMAS BOAKS #33</u>								
/ /	WITT, AMANDA	BLK GLOBAL ALLOCATION A	0.00	0.34	0.00	0.34	0.0	0.34
07/31/2018	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.26	0.00	2.26	0.0	2.26
07/31/2018	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	3.47	0.00	3.47	0.0	3.47
07/31/2018	PUDIL, HSIU CHIN	COMMONWEALTH PLUS	367.83	0.06	0.00	0.06	0.0	0.06
07/30/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
07/31/2018	LASALLE, BARBARA	RETIRE READY CHOICE	350,877.43	292.40	0.00	292.40	0.0	292.40
07/31/2018	GEORGE, CAROLE	RETIRE READY CHOICE	159,467.45	132.89	0.00	132.89	0.0	132.89
* THOMAS BOAKS SUBTOTAL *			\$510,742.71	\$431.72	\$0.00	\$431.72		\$431.72
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
08/03/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	21.21	0.00	21.21	0.0	21.21
08/03/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.49	0.00	4.49	0.0	4.49
08/03/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	13.53	0.00	13.53	0.0	13.53
07/31/2018	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	38,308.54	8.13	0.00	8.13	0.0	8.13

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
07/31/2018	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	13,648.70	2.90	0.00	2.90	0.0	2.90
07/31/2018	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	24,149.44	5.13	0.00	5.13	0.0	5.13
07/31/2018	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND C	18,519.37	15.73	0.00	15.73	0.0	15.73
07/31/2018	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	39,744.87	33.76	0.00	33.76	0.0	33.76
07/31/2018	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	55,233.05	46.91	0.00	46.91	0.0	46.91
07/31/2018	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	42,882.56	36.43	0.00	36.43	0.0	36.43
07/31/2018	PRICE, BONNIE	GRIFFIN INST'L ACCESS R E FUND C	40,102.95	34.07	0.00	34.07	0.0	34.07
* BARTHOLOMEW MACKLER SUBTOTAL *			\$272,589.48	\$222.29	\$0.00	\$222.29		\$222.29
<u>BROKER: TIMOTHY BROWN #36</u>								
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.44	0.00	0.44	0.0	0.44
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.64	0.00	0.64	0.0	0.64
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.96	0.00	0.96	0.0	0.96
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	1.41	0.00	1.41	0.0	1.41
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	3.89	0.00	3.89	0.0	3.89
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	11.67	0.00	11.67	0.0	11.67
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$19.01	\$0.00	\$19.01		\$19.01
<u>BROKER: DANIEL HOLMAN #37</u>								
07/31/2018	HOLMAN, VARIOUS	BLACKROCK 19452P743	0.00	0.71	0.00	0.71	0.0	0.71
07/31/2018	HOLMAN, VARIOUS	BLACKROCK 19452P743	0.00	0.71	0.00	0.71	0.0	0.71
08/13/2018	DAVIS, MURIEL	WELLS FARGO STRATEGIC MUNI A	0.00	1.53	0.00	1.53	0.0	1.53
* DANIEL HOLMAN SUBTOTAL *			\$0.00	\$2.95	\$0.00	\$2.95		\$2.95
<u>BROKER: BRUCE BAXTER #38</u>								
08/01/2018	RIEGEL, DAVID	ING ACCESS	10.58	0.03	0.00	0.03	0.0	0.03
08/15/2018	LAMBERT, JOHN DOUGLAS	ING ACCESS	41,781.33	104.45	0.00	104.45	0.0	104.45
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.12	0.00	0.12	0.0	0.12
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.23	0.00	0.23	0.0	0.23
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.27	0.00	0.27	0.0	0.27
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.14	0.00	0.14	0.0	0.14

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE BAXTER #38</u>								
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.50	0.00	0.50	0.0	0.50
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	10.57	0.00	10.57	0.0	10.57
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	31.72	0.00	31.72	0.0	31.72
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
/ /	DENNIS, MARK	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS US GOV PLUS SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BAXTER, MEGAN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.07	0.00	0.07	0.0	0.07
08/03/2018	BAXTER, VARIOUS	TRANSAMERICA LIFE	374,846.33	749.69	0.00	749.69	0.0	749.69
* BRUCE BAXTER SUBTOTAL *			\$416,638.24	\$898.68	\$0.00	\$898.68		\$898.68
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
08/06/2018	MCGINNIS TRUST	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	7.60	0.00	7.60	0.0	7.60
08/06/2018	CICONE, SISENANDA	BLUEROCK TOTAL INCOME + REAL ESTATE	0.00	1.51	0.00	1.51	0.0	1.51
08/03/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	0.09	0.00	0.09	0.0	0.09
08/03/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.89	0.00	0.89	0.0	0.89
08/03/2018	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	7.97	0.00	7.97	0.0	7.97

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
08/03/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.53	0.00	6.53	0.0	6.53
08/14/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.35	0.00	2.35	0.0	2.35
08/14/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.33	0.00	2.33	0.0	2.33
08/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.09	0.00	1.09	0.0	1.09
08/14/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.80	0.00	1.80	0.0	1.80
08/14/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.47	0.00	2.47	0.0	2.47
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$34.63	\$0.00	\$34.63		\$34.63
<u>BROKER: JIM LUND #60</u>								
08/15/2018	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
* JIM LUND SUBTOTAL *			\$100.00	\$4.00	\$0.00	\$4.00		\$4.00
<u>BROKER: RENE CASTRO #92</u>								
08/14/2018	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.32	0.00	2.32	0.0	2.32
08/14/2018	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	8.98	0.00	8.98	0.0	8.98
* RENE CASTRO SUBTOTAL *			\$0.00	\$11.30	\$0.00	\$11.30		\$11.30
<u>BROKER: DANIEL COLLINS #106</u>								
08/14/2018	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.96	0.00	1.96	0.0	1.96
08/14/2018	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	3.15	0.00	3.15	0.0	3.15
08/14/2018	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	2.30	0.00	2.30	0.0	2.30
08/14/2018	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.49	0.00	2.49	0.0	2.49
* DANIEL COLLINS SUBTOTAL *			\$0.00	\$9.90	\$0.00	\$9.90		\$9.90
<u>BROKER: JAY MCCLELLAN #120</u>								
08/03/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.36	0.00	3.36	0.0	3.36
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
07/31/2018	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	11,631.66	2.47	0.00	2.47	0.0	2.47
07/31/2018	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	20,576.54	4.37	0.00	4.37	0.0	4.37
08/13/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.36	0.00	1.36	0.0	1.36
08/13/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.79	0.00	1.79	0.0	1.79
08/13/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.82	0.00	1.82	0.0	1.82
08/13/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.51	0.00	1.51	0.0	1.51
08/13/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	7.59	0.00	7.59	0.0	7.59
08/13/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	2.31	0.00	2.31	0.0	2.31

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JEFFREY GOVE #143</u>								
		* JAY MCCLELLAN SUBTOTAL *	\$32,208.20	\$29.44	\$0.00	\$29.44		\$29.44
<u>BROKER: JEFFREY GOVE #143</u>								
08/06/2018	JOHNSON, GREGORY	AMERITAS OVERTURE APPLAUSE II	0.00	3.36	0.00	3.36	0.0	3.36
08/10/2018	MICKELSEN, CLYDE	PAC LIFE VUL	500.00	10.00	0.00	10.00	0.0	10.00
		* JEFFREY GOVE SUBTOTAL *	\$500.00	\$13.36	\$0.00	\$13.36		\$13.36
<u>BROKER: TIMOTHY KULHANEK #145</u>								
08/14/2018	MUCHMORE PHELPS, SUSAN	AMERITAS OVERTURE APPLAUSE II	0.00	7.05	0.00	7.05	0.0	7.05
		* TIMOTHY KULHANEK SUBTOTAL *	\$0.00	\$7.05	\$0.00	\$7.05		\$7.05
<u>BROKER: WILLIAM WALSH #187</u>								
07/31/2018	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	133,183.76	27.68	0.00	27.68	0.0	27.68
		* WILLIAM WALSH SUBTOTAL *	\$133,183.76	\$27.68	\$0.00	\$27.68		\$27.68
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
08/03/2018	TALBERT, ANN	COLUMBIA LARGECAP GROWTH A	0.00	1.21	0.00	1.21	0.0	1.21
08/03/2018	TALBERT, ANN	COLUMBIA LARGECAP GROWTH C	0.00	4.45	0.00	4.45	0.0	4.45
08/03/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND A	0.00	1.05	0.00	1.05	0.0	1.05
08/03/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	3.90	0.00	3.90	0.0	3.90
		* MEREDITH MCCUTCHEN SUBTOTAL *	\$0.00	\$10.61	\$0.00	\$10.61		\$10.61
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
/ /	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
07/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	3.18	0.00	3.18	0.0	3.18
07/31/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.07	0.00	0.07	0.0	0.07
07/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	11.20	0.00	11.20	0.0	11.20
07/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	33.61	0.00	33.61	0.0	33.61
07/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.17	0.00	3.17	0.0	3.17
07/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.41	0.00	9.41	0.0	9.41
07/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	28.22	0.00	28.22	0.0	28.22
07/31/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.51	0.00	9.51	0.0	9.51
08/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
08/15/2018	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.60	0.00	0.60	0.0	0.60
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$130.00	\$100.01	\$0.00	\$100.01		\$100.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
07/31/2018	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	56,536.29	48.01	0.00	48.01	90.0	48.01
08/05/2018	MCFADDEN, ANDREW	ING LANDMARK	360,506.91	901.27	0.00	901.27	90.0	901.27
08/03/2018	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	79.72	0.00	79.72	90.0	79.72
08/10/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.75	0.00	55.75	90.0	55.75
07/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	0.00	110.97	0.00	110.97	90.0	110.97
08/09/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	20,310.09	8.46	0.00	8.46	90.0	8.46
* MARC, L134 LINSKY SUBTOTAL *			\$437,353.29	\$1,204.18	\$0.00	\$1,204.18		\$1,204.18
<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
07/31/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	1.49	0.00	1.49	0.0	1.49
07/31/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	0.50	0.00	0.50	0.0	0.50
/ /	SMITH-GROVER, SUSAN	GLOBAL ALLOCATION FUND	0.00	0.70	0.00	0.70	0.0	0.70
08/06/2018	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	0.0	4.05
08/15/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	0.0	1.00
08/15/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
07/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	0.00	207.95	0.00	207.95	0.0	207.95
08/16/2018	FOELS, TAMMY	TRANSAMERICA LIFE	80.17	1.00	0.00	1.00	0.0	1.00
* JOSEPH, M138 MICHALETZ SUBTOTAL *			\$500.17	\$217.19	\$0.00	\$217.19		\$217.19
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
08/06/2018	GEHRKE, ROBERT	AMERITAS MEDLEY	0.00	33.77	0.00	33.77	0.0	33.77
08/14/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.32	0.00	1.32	0.0	1.32
08/14/2018	BLOCK, EUGENE	JH INCOME B	0.00	0.80	0.00	0.80	0.0	0.80
08/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.90	0.00	1.90	0.0	1.90
08/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.07	0.00	1.07	0.0	1.07
08/14/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.99	0.00	1.99	0.0	1.99
08/14/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	6.15	0.00	6.15	0.0	6.15
08/14/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.36	0.00	1.36	0.0	1.36
08/14/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.28	0.00	3.28	0.0	3.28
08/14/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	5.13	0.00	5.13	0.0	5.13
08/14/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.74	0.00	0.74	0.0	0.74
08/14/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.57	0.00	1.57	0.0	1.57
08/14/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	0.24	0.00	0.24	0.0	0.24
08/14/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	2.09	0.00	2.09	0.0	2.09

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<u>BROKER: JACK, M137 MICHALETZ #287</u>								
08/14/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.54	0.00	0.54	0.0	0.54
08/14/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.30	0.00	1.30	0.0	1.30
08/14/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	6.79	0.00	6.79	0.0	6.79
08/14/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.60	0.00	0.60	0.0	0.60
08/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	7.23	0.00	7.23	0.0	7.23
08/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.04	0.00	6.04	0.0	6.04
08/14/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	5.92	0.00	5.92	0.0	5.92
08/07/2018	STRADTMAN, ROBERT	LINCOLN LIFE	117,816.02	58.91	0.00	58.91	0.0	58.91
08/15/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	76.11	0.76	0.00	0.76	0.0	0.76
08/15/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	76.11	0.76	0.00	0.76	0.0	0.76
08/15/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.50
08/15/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.50
* JACK, M137 MICHALETZ SUBTOTAL *			\$118,268.24	\$153.26	\$0.00	\$153.26		\$153.26
<u>BROKER: OVERRIDE REJECT #360</u>								
08/14/2018	RICE, GREGG	AM FUNDS BOND FUND OF AMERICA A	48,000.00	1,320.00	0.00	1,320.00	0.0	1,320.00
08/07/2018	KOTLARCZYK, JOSEPH	ING PREMIUM PLUS	119,374.99	74.61	0.00	74.61	0.0	74.61
08/09/2018	KASIAN, JUSTIN PETER	PAC LIFE VUL	306,983.34	306.98	0.00	306.98	0.0	306.98
08/09/2018	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	0.0	14.00
08/02/2018	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.66	0.00	1.66	0.0	1.66
08/02/2018	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.29	0.00	0.29	0.0	0.29
08/02/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.50	0.00	1.50	0.0	1.50
* OVERRIDE REJECT SUBTOTAL *			\$475,058.33	\$1,719.04	\$0.00	\$1,719.04		\$1,719.04
<u>BROKER: MARK-S148 SMITH #401</u>								
08/06/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.56
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.56
<u>BROKER: DAVID EURTON #414</u>								
07/10/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	165.28	0.00	165.28	0.0	165.28
07/23/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	99.80	0.00	99.80	0.0	99.80
07/05/2018	RICE, DORIS	TRANSAMERICA B-SHARE	0.00	74.52	0.00	74.52	0.0	74.52
07/12/2018	SCHOLTEN, JOANNE	TRANSAMERICA B-SHARE	0.00	111.33	0.00	111.33	0.0	111.33
* DAVID EURTON SUBTOTAL *			\$0.00	\$450.93	\$0.00	\$450.93		\$450.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

*** OVERRIDE TRANSACTIONS TOTAL ***	\$2,444,522.96	\$5,615.80	\$0.00	\$5,615.80	\$5,614.36
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BROKER COMMISSION TOTALS

Broker Transactions	\$10,056.86
Split Transactions	\$0.00
Override Transactions	\$5,614.36
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,671.22
Year-to-date Gross	\$103,661.64
Year-to-date Earnings	\$377,848.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed