

# COMMISSION STATEMENT

July 31, 2018

**FERNANDO TREVINO-D077**  
 9901 IH 10 West  
 Suite 685  
 San Antonio, TX 78230

**BROKER #: D077**  
 BRANCH: DMN

## COMMISSION STATEMENT for FERNANDO TREVINO-D077

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/03/2018 368432372	SCOTT, GEOFFREY	MF FIDELITY ADVISOR FUNDS	S	15,002.45	150.00	5.00	145.00	90.0	130.50
07/03/2018 646239494	SCOTT, GEOFFREY	MF FIDELITY ADV EQUITY GROWTH CL A	S	234.52	0.00	5.00	-5.00	90.0	-4.50
07/03/2018 646239494	SCOTT, GEOFFREY	MF FIDELITY ADVISOR FUNDS	S	8,002.45	80.00	5.00	75.00	90.0	67.50
07/03/2018 646239494	SCOTT, GEOFFREY	MF FIDELITY ADV EQUITY GROWTH CL A	S	5,508.57	0.00	5.00	-5.00	90.0	-4.50
07/03/2018 646239494	SCOTT, GEOFFREY	MF FIDELITY ADV EQUITY GROWTH CL A	S	-234.52	0.00	-5.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$28,513.47	\$230.00	\$15.00	\$215.00		\$193.50

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

07/23/2018	mfc403239	TREVINO 403239	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	5.93	0.00	5.93	90.0	5.34
07/23/2018	mfc403239	TREVINO 403239	MFT AB INTERMEDIATE BOND C	B	0.00	84.27	0.00	84.27	90.0	75.84
07/23/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD ALL MARKET INCOME FD	B	0.00	9.47	0.00	9.47	90.0	8.52
07/23/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD WEALTH APPR C	B	0.00	530.75	0.00	530.75	90.0	477.68
07/23/2018	mfc403239	TREVINO 403239	MFT AB TAX-MGD WEALTH APPR C	B	0.00	610.93	0.00	610.93	90.0	549.84
07/23/2018	mfc403239	TREVINO 403239	MFT AIM CHARTER FUND - CLASS A	B	0.00	4.96	0.00	4.96	90.0	4.46
07/23/2018	mfc403239	TREVINO 403239	MFT ALL VALUE FUND CLASS A	B	0.00	14.37	0.00	14.37	90.0	12.93
07/23/2018	mfc403239	TREVINO 403239	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.81	0.00	0.81	90.0	0.73
07/23/2018	mfc403239	TREVINO 403239	MFT BLK 091928549	B	0.00	20.01	0.00	20.01	90.0	18.01
07/23/2018	mfc403239	TREVINO 403239	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	7.07	0.00	7.07	90.0	6.36
07/23/2018	mfc403239	TREVINO 403239	MFT DELAWARE EXTENDED DURATION BD	B	0.00	94.91	0.00	94.91	90.0	85.42
07/23/2018	mfc403239	TREVINO 403239	MFT DELAWARE TAX-FREE USA FUND A	B	0.00	119.90	0.00	119.90	90.0	107.91
07/23/2018	mfc403239	TREVINO 403239	MFT DREYFUS AMT-FREE MUNI BD FD A	B	0.00	40.30	0.00	40.30	90.0	36.27
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	22.54	0.00	22.54	90.0	20.29
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE FLOATING RATE FD CL	B	0.00	49.79	0.00	49.79	90.0	44.81
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD MULTICAP	B	0.00	177.92	0.00	177.92	90.0	160.13
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD EQ ASSET	B	0.00	117.61	0.00	117.61	90.0	105.85
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD INTL GROWTH	B	0.00	86.45	0.00	86.45	90.0	77.81
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MANAGED VALUE	B	0.00	117.92	0.00	117.92	90.0	106.13
07/23/2018	mfc403239	TREVINO 403239	MFT EATON VANCE TAX MGD SMALL CAP	B	0.00	61.27	0.00	61.27	90.0	55.14
07/23/2018	mfc403239	TREVINO 403239	MFT EHV 277902813	B	0.00	1.25	0.00	1.25	90.0	1.13
07/23/2018	mfc403239	TREVINO 403239	MFT EHV 277911467	B	0.00	168.67	0.00	168.67	90.0	151.80
07/23/2018	mfc403239	TREVINO 403239	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.34	0.00	1.34	90.0	1.21

COMMISSION STATEMENT for FERNANDO TREVINO-D077

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.76	0.00	2.76	90.0	2.48
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.76	0.00	2.76	90.0	2.48
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.11	0.00	11.11	90.0	10.00
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.11	0.00	11.11	90.0	10.00
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	90.33	0.00	90.33	90.0	81.30
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	3.87	0.00	3.87	90.0	3.48
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	3.87	0.00	3.87	90.0	3.48
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADV BALANCED CL C	B	0.00	22.13	0.00	22.13	90.0	19.92
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	2.03	0.00	2.03	90.0	1.83
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH OPPORT	B	0.00	6.26	0.00	6.26	90.0	5.63
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	27.19	0.00	27.19	90.0	24.47
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	67.07	0.00	67.07	90.0	60.36
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GROWTH &	B	0.00	70.79	0.00	70.79	90.0	63.71
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR MORTGAGE SEC	B	0.00	55.21	0.00	55.21	90.0	49.69
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.59	0.00	1.59	90.0	1.43
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.80	0.00	1.80	90.0	1.62
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	8.36	0.00	8.36	90.0	7.52
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR FUNDS	B	0.00	14.66	0.00	14.66	90.0	13.19
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	1.13	0.00	1.13	90.0	1.02
07/23/2018	mfc403239	TREVINO 403239	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	51.30	0.00	51.30	90.0	46.17
07/23/2018	mfc403239	TREVINO 403239	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	60.59	0.00	60.59	90.0	54.53
07/23/2018	mfc403239	TREVINO 403239	MFT FRK TEMP MONEY FND - CL C	B	0.00	2.44	0.00	2.44	90.0	2.20
07/23/2018	mfc403239	TREVINO 403239	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	14.67	0.00	14.67	90.0	13.20
07/23/2018	mfc403239	TREVINO 403239	MFT INVESCO MUNICIPAL INCOME FUND -	B	0.00	208.71	0.00	208.71	90.0	187.84
07/23/2018	mfc403239	TREVINO 403239	MFT INVESCO TECHNOLOGY FD INV CL	B	0.00	2.60	0.00	2.60	90.0	2.34
07/23/2018	mfc403239	TREVINO 403239	MFT MFS GOVERNMENT SECURITIES	B	0.00	17.94	0.00	17.94	90.0	16.15
07/23/2018	mfc403239	TREVINO 403239	MFT MORGAN STANLEY INST GBL OPPTY	B	0.00	0.66	0.00	0.66	90.0	0.59
07/23/2018	mfc403239	TREVINO 403239	MFT NUVEEN ALL AMERICAN MUNI BD FD	B	0.00	73.53	0.00	73.53	90.0	66.18
07/23/2018	mfc403239	TREVINO 403239	MFT PIMCO LONG TERM US GOVT FD CL A	B	0.00	18.30	0.00	18.30	90.0	16.47
07/23/2018	mfc403239	TREVINO 403239	MFT PIMCO LOW DURATION FD CL A	B	0.00	1.71	0.00	1.71	90.0	1.54
07/23/2018	mfc403239	TREVINO 403239	MFT PIMCO MUNI BOND FD CL A	B	0.00	40.70	0.00	40.70	90.0	36.63
07/23/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	0.39	0.00	0.39	90.0	0.35
07/23/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL SHORT TERM CORP BOND	B	0.00	21.73	0.00	21.73	90.0	19.56
07/23/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL NATIONAL MUNI FUND	B	0.00	58.20	0.00	58.20	90.0	52.38
07/23/2018	mfc403239	TREVINO 403239	MFT PRUDENTIAL QMA STOCK INDEX FD A	B	0.00	0.55	0.00	0.55	90.0	0.50
07/23/2018	mfc403239	TREVINO 403239	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	41.88	0.00	41.88	90.0	37.69
07/23/2018	mfc403239	TREVINO 403239	MFT TCW TOTAL RETURN BOND FD CL N	B	0.00	7.94	0.00	7.94	90.0	7.15
07/23/2018	mfc403239	TREVINO 403239	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.56	0.00	2.56	90.0	2.30
07/23/2018	mfc403239	TREVINO 403239	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	26.03	0.00	26.03	90.0	23.43
07/23/2018	mfc403239	TREVINO 403239	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	20.26	0.00	20.26	90.0	18.23
07/23/2018	mfc403239	TREVINO 403239	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.03	0.00	0.03	90.0	0.03
07/23/2018	mfc403239	TREVINO 403239	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.53	0.00	0.53	90.0	0.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,425.72	\$0.00	\$3,425.72		\$3,083.16

COMMISSION STATEMENT for FERNANDO TREVINO-D077

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$28,513.47	\$3,655.72	\$15.00	\$3,640.72		\$3,276.66

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/29/2018 (None) MCCASH	MF COLLEGE BOUND	B	600.00	21.00	0.00	21.00	90.0	18.90
07/19/2018 (None) MCCASH	MF COLLEGE BOUND	B	600.00	6.00	0.00	6.00	90.0	5.40
* MUTUAL FUNDS SUBTOTAL *				\$1,200.00	\$27.00	\$0.00	\$27.00	\$24.30

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018 (None) VARIOUS, TREVINO	MFT COLLEGE BOUND	B	0.00	598.82	0.00	598.82	90.0	538.94
07/09/2018 02461769169 ESCARENO, LOUISE	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	0.48	0.00	0.48	90.0	0.43
07/09/2018 04700422696 GONZALES, SAN	MFT ALLIANCEBERNSTEIN SMALL CAP	B	0.00	6.05	0.00	6.05	90.0	5.45
07/09/2018 04700422696 GONZALES, SAN	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	7.15	0.00	7.15	90.0	6.44
07/09/2018 04700422696 GONZALES, SAN	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	7.68	0.00	7.68	90.0	6.91
07/09/2018 04715345918 ESA, COVERDELL	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.78	0.00	1.78	90.0	1.60
07/09/2018 04787753388 FBO SCHUYLER B	MFT AB WEALTH APPRECIATION STRATEGY B	B	0.00	0.11	0.00	0.11	90.0	0.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$622.07	\$0.00	\$622.07	\$559.87
*** DIRECT TRANSACTIONS TOTAL ***				\$1,200.00	\$649.07	\$0.00	\$649.07	\$584.17

ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
07/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	350.38
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$165.38
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$165.38

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,860.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$165.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4,026.21</b>
<b>Year-to-date Commissions</b>	<b>\$28,137.50</b>