Page No.

BROKER

CONCORDE INVESTMENT SERVICES

COMMISSION STATEMENT March 31, 2017

INVESTMENT

THOMAS HALSEY

7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121

GROSS

BRANCH: INTEGRATED FINANCIAL

CLEARING

NET

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TRANSA	CTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
03/20/2017	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	2,500.00	106.25	0.00	106.25	85.0	90.31
03/22/2017	BASSETT, ANTHONY	AM FUNDS AMERICAN BALANCED A	6,234.11	264.95	0.00	264.95	85.0	225.21
03/22/2017	DERR, LUCINDA	AM FUNDS AMERICAN BALANCED A	8,250.00	309.38	0.00	309.38	85.0	262.97
03/22/2017	DERR, LUCINDA	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,750.00	112.50	0.00	112.50	85.0	95.63
03/22/2017	BASSETT, ANTHONY	AM FUNDS AMERICAN MUTUAL A	7,273.13	309.11	0.00	309.11	85.0	262.74
03/22/2017	DERR, LUCINDA	AM FUNDS CAPITAL INCOME BUILDER A	7,500.00	281.25	0.00	281.25	85.0	239.06
03/22/2017	BASSETT, ANTHONY	AM FUNDS CAPITAL WORLD GRTH & INC A	4,848.75	206.07	0.00	206.07	85.0	175.16
03/22/2017	DERR, LUCINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	4,500.00	168.75	0.00	168.75	85.0	143.44
03/22/2017	BASSETT, ANTHONY	AM FUNDS FUNDAMENTAL INVESTORS A	3,463.40	147.19	0.00	147.19	85.0	125.11
03/22/2017	DERR, LUCINDA	AM FUNDS FUNDAMENTAL INVESTORS A	10,500.00	393.75	0.00	393.75	85.0	334.69
03/22/2017	DERR, LUCINDA	AM FUNDS GROWTH FUND OF AMERICA A	4,500.00	168.75	0.00	168.75	85.0	143.44
03/20/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	999.68	42.49	0.00	42.49	85.0	36.12
03/22/2017	DERR, LUCINDA	AM FUNDS INCOME FUND OF AMERICA A	10,500.00	393.75	0.00	393.75	85.0	334.69
03/22/2017	BASSETT, ANTHONY	AM FUNDS NEW PERSPECTIVE A	3,809.74	161.91	0.00	161.91	85.0	137.62
03/22/2017	DERR, LUCINDA	AM FUNDS SHORT TERM BND FUND OF AM	4,500.00	90.00	0.00	90.00	85.0	76.50
03/22/2017	BASSETT, ANTHONY	AM FUNDS SMALLCAP WORLD A	4,156.07	176.63	0.00	176.63	85.0	150.14
03/22/2017	DERR, LUCINDA	AM FUNDS SMALLCAP WORLD A	3,000.00	112.50	0.00	112.50	85.0	95.63
03/22/2017	BASSETT, ANTHONY	AM FUNDS WASHINGTON MUTUAL INVESTOR	4,848.75	206.07	0.00	206.07	85.0	175.16
03/22/2017	DERR, LUCINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	15,000.00	562.50	0.00	562.50	85.0	478.13
03/22/2017	DERR, LUCINDA	AMERICAN FUNDS CORPORATE BOND FD A	3,000.00	90.00	0.00	90.00	85.0	76.50
02/28/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.36	0.00	0.36	85.0	0.31
02/28/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.36	0.00	0.36	85.0	0.31
03/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
03/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
02/28/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	6.77	0.00	6.77	85.0	5.75
02/28/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.06	0.00	1.06	85.0	0.90

NOTES: * - Indicates adjustment is not included in 1099 Earnings

 $[\]ensuremath{^{\star\star}}$ - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
PRINCE P	PROVED TRANSPORTONS									
	BROKER TRANSACTIONS									
10 10 10 10 10 10 10 10	PRODUCT C	ATEGORY: MUTUAL FUNDS								
PATTON P	03/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56	
PRODUCT VARIABLE ANNUTIES PROMISE VARIABLE ANNUTIES VARIABLE ANNUTIES PROMISE VARIABLE ANNUTIES PROMISE VARIABLE ANNUTIES VARIABLE AND	02/28/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.05	0.00	1.05	85.0	0.89	
PRODUCT CATEGORY: VARIABLE ANNUITIES	02/28/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.88	0.00	9.88	85.0	8.40	
03/16/2017 PRANTI, KIMBERLY MML ARTISTRY 50.00 2.75 0.00 2.75 85.0 2.34 02/02/2017 PRESPLEKI, MICHAEL TRANSAMBRICA LIBERTY 127,939.62 106.62 0.00 106.62 85.0 90.63 02/05/2017 PRANTIZ, JUDITH TRANSAMBRICA LIBERTY 99,946.65 82.96 0.00 82.96 85.0 90.63 02/05/2017 PRANTIZ, JUDITH TRANSAMBRICA LIBERTY 83,724.70 69.77 0.00 69.77 85.0 59.30 02/06/2017 REISPRINGER, PANDALL TRANSAMBRICA LIBERTY 220,719.10 183.93 0.00 183.93 85.0 156.34 02/07/2017 SUBHRER, BARBARA TRANSAMBRICA LIBERTY 94,432.91 78.69 0.00 78.69 85.0 85.0 02/07/2017 BUBHRER, SARAH TRANSAMBRICA LIBERTY 124,116.13 105.10 0.00 105.10 85.0 89.34 02/07/2017 BUBHRER, SARAH TRANSAMBRICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 100.31 02/07/2017 BULCARELLI JR, VICTOR TRANSAMBRICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 100.31 02/07/2017 BUCCARELLI JR, VICTOR TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 151.48 02/08/2017 DE SELMS, KAREN TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 02.00 02/09/2017 BUSCARE, JEFFREY TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 02.00 02/09/2017 BUSCARE, JEFFREY TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 02.00 02/09/2017 REBRUSON, MARK TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 02.00 02/09/2017 BUSCARE, JEFFREY TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 02.00 02/09/2017 BUSCARE, JEFFREY TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.21 85.0 02.00 02/09/2017 REBRUSON, MARK TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 179.20 85.0 02.00 02/09/2017 BUSCARE, JEFFREY TRANSAMBRICA LIBERTY 127,835.44 106.53 0.00 0.00 179.20 85.0 02.00 02/09/2017 BUSCARE, MICHAEL TRANSAMBRICA LIBERTY 127,710.22 144.76 0.00 71.62 85.0 02.00 02/09			* MUTUAL FUNDS SUBTOTAL *	\$113,483.63	\$4,337.03	\$0.00	\$4,337.03		\$3,686.49	
02/02/2017 PREMIENIEMI, MICHAEL TRANSAMERICA LIBERTY 127,939.62 106.62 0.00 106.62 8.00 90.63 02/05/2017 PRAMMARATHER, DIANA TRANSAMERICA LIBERTY 99,546.65 82.96 0.00 82.96 85.0 70.52 02/05/2017 PERINERSORE, RANDALL TRANSAMERICA LIBERTY 20,719.10 183.93 0.00 183.93 85.0 156.34 02/07/2017 EVIER, BARBARA TRANSAMERICA LIBERTY 94,432.91 78.69 0.00 105.10 85.0 66.89 02/07/2017 BUEHRER, SARAH TRANSAMERICA LIBERTY 126,116.13 105.10 0.00 105.10 85.0 66.89 02/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 141,616.14 118.01 0.00 115.13 85.0 102.01 02/07/2017 LUCARELLI JR, VICTOR TRANSAMERICA LIBERTY 121,853.13 178.21 0.00 151.39 85.0 122.06 02/07/2017 KERMEC, JEFFREY TRANSAMERICA LIBERTY 121,853.13 178.21 0.00 178.21 <td>PRODUCT CA</td> <td>ATEGORY: VARIABLE ANNUITIES</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	PRODUCT CA	ATEGORY: VARIABLE ANNUITIES								
02/05/2017 BAUMGARTNER, DIANA TRANSAMERICA LIBERTY 99,546.65 82.96 0.00 82.96 85.0 70.52 02/05/2017 FERNITZ, JUDITH TRANSAMERICA LIBERTY 83,724.70 69.77 0.00 69.77 85.0 59.30 02/05/2017 BEISWENGER, RANDALL TRANSAMERICA LIBERTY 220,719.10 183.93 0.00 183.93 85.0 66.89 02/07/2017 BUERRER, SARAH TRANSAMERICA LIBERTY 126,116.13 105.10 0.00 105.10 85.0 89.3 02/07/2017 BUERRER, DANIEL TRANSAMERICA LIBERTY 181,668.13 151.39 0.00 118.01 85.0 189.3 02/08/2017 DE GERISS, NAREN TRANSAMERICA LIBERTY 181,668.13 151.39 0.00 161.53 85.0 128.68 02/08/2017 DE SELMS, KAREN TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 161.53 85.0 90.55 02/10/2017 HANDALI, J. THOMAS TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 164.76	03/16/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34	
02/05/2017 PRANTZ, JUDITH TRANSAMERICA LIBERTY 83,724.70 69.77 0.00 69.77 85.0 59.30 02/06/2017 BISINENDER, RANDALL TRANSAMERICA LIBERTY 220,719.10 183.93 0.00 183.93 85.0 156.34 02/07/2017 EYLER, BARBARA TRANSAMERICA LIBERTY 94,432.91 78.69 0.00 78.69 85.0 68.93 402/07/2017 BUEHRER, SARAH TRANSAMERICA LIBERTY 126,116.13 105.10 0.00 105.10 85.0 68.93 402/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 128.66 102/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 1816,668.13 151.39 0.00 151.39 85.0 128.66 102/08/2017 PERGUSON, MARK TRANSAMERICA LIBERTY 1816,668.13 151.39 0.00 178.21 85.0 128.66 102/08/2017 PERGUSON, MARK TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 146.21 85.0 90.55 102/09/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 90.55 102/09/2017 REMPTE, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 90.55 102/09/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 88.41 85.0 95.5 102/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 76.31 85.0 66.88 102/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 76.31 85.0 66.88 102/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 76.31 85.0 66.88 102/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 76.31 85.0 66.88 102/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 71.62 85.0 66.88 102/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 91.00 110.43 85.0 93.87 102/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 191,747.44 76.31 0.00 110.43 85.0 110.43	02/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	127,939.62	106.62	0.00	106.62	85.0	90.63	
02/06/2017 BEISWENGER, RANDALL TRANSAMERICA LIBERTY 94,432.91 78.69 0.00 183.93 85.0 156.34 02/07/2017 BUEHRER, SARBARA TRANSAMERICA LIBERTY 94,432.91 78.69 0.00 78.69 85.0 66.89 02/07/2017 BUEHRER, SARRH TRANSAMERICA LIBERTY 126,116.13 105.10 0.00 115.10 85.0 89.34 02/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 128.68 02/08/2017 LUCARELLI JR, VICTOR TRANSAMERICA LIBERTY 131,668.13 151.39 0.00 151.39 85.0 128.68 02/08/2017 FREGUSON, MARK TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 176.53 85.0 128.68 02/08/2017 DE SELMS, KAREN TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 106.53 85.0 95.55 02/09/2017 REMPEC, UFFREY TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 144.76 85.0 123.05 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 144.76 85.0 123.05 02/10/2017 TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 88.41 85.0 75.15 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 76.31 85.0 66.88 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 76.31 85.0 66.88 02/10/2017 TRIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 76.31 85.0 66.88 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 76.31 85.0 66.88 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 76.31 85.0 66.88 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 153.90 85.0 66.88 02/10/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 168.78 85.0 19.94 02/13/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 153,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 RIBER, MICHABL TRANSAMERICA LIBERTY 153,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 REMPEC, KAY TRANSAMERICA LIBERTY 153,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 REMPEC, KAY TRANSAMERICA LIBERTY 153,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 REMPEC, KAY TRANSAMERICA LIBERTY 153,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 REMPEC, KAY TRANSAMERICA LIBERTY 153,	02/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	99,546.65	82.96	0.00	82.96	85.0	70.52	
02/07/2017 EYLER, BARBARA TRANSAMERICA LIBERTY 94,432.91 78.69 0.00 78.69 85.0 66.89 02/07/2017 BUEHRER, SARAH TRANSAMERICA LIBERTY 126,116.13 105.10 0.00 105.10 85.0 89.34 02/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 100.31 02/07/2017 LUCARELLI JR, VICTOR TRANSAMERICA LIBERTY 181,668.13 151.39 0.00 151.39 85.0 128.68 02/08/2017 FERGUSON, MARK TRANSAMERICA LIBERTY 121,855.11 0.00 178.21 85.0 151.48 02/08/2017 ERGUSON, MARK TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 166.53 85.0 90.55 02/09/2017 KREMPEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.05 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 88.41 85.0 75.15 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 191,574.74 76.31 0.00 76.31 85.0 66.88 02/11/2/2017 BLABER, WILLIAM TRANSAMERICA LIBERTY 191,574.74 76.31 0.00 76.31 85.0 60.88 02/11/2/2017 DIENBR, JUDITH TRANSAMERICA LIBERTY 192,516.10 110.43 0.00 110.43 85.0 93.87 02/11/2/2017 RUCH, RONALD TRANSAMERICA LIBERTY 192,516.10 110.43 0.00 110.43 85.0 93.87 02/11/2/2017 RUCH, RONALD TRANSAMERICA LIBERTY 281,516.10 110.43 0.00 123.46 85.0 193.45 02/11/2/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 281,516.10 110.43 0.00 123.46 85.0 193.46 02/11/2/2017 RUCH, RONALD TRANSAMERICA LIBERTY 281,516.10 110.43 0.00 123.46 85.0 143.46 02/11/2/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/11/2/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/11/2/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/11/2/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/11/2/2017 BAKER, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/11/2/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/11/2/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 326.93 85.0 53.41 02/11/2/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 326.93 85.0 53.41 02/11/2/2017 DENHAM, C	02/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	83,724.70	69.77	0.00	69.77	85.0	59.30	
02/07/2017 BUEHRER, SARAH TRANSAMERICA LIBERTY 126,116.13 105.10 0.00 105.10 85.0 89.34 02/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 100.31 02/07/2017 LUCARELLI JR, VICTOR TRANSAMERICA LIBERTY 181,668.13 151.39 0.00 151.39 85.0 128.68 02/08/2017 FERGUSON, MARK TRANSAMERICA LIBERTY 127,435.44 106.53 0.00 106.53 85.0 95.55 02/09/2017 KREMPEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.05 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 76.31 85.0 75.15 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.31 85.0 64.88 02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 71.62	02/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	220,719.10	183.93	0.00	183.93	85.0	156.34	
02/07/2017 BUEHRER, DANIEL TRANSAMERICA LIBERTY 141,616.14 118.01 0.00 118.01 85.0 100.31 02/07/2017 LUCARELLI JR, VICTOR TRANSAMERICA LIBERTY 181,668.13 151.39 0.00 151.39 85.0 128.68 02/08/2017 FERGUSON, MARK TRANSAMERICA LIBERTY 213,853.13 178.21 0.00 116.23 85.0 151.48 02/08/2017 DE SELMS, KAREN TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 144.76 85.0 123.05 02/10/2017 KREMBEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.05 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 76.31 85.0 64.86 02/10/2017 RILBER, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.31 85.0 64.86 02/12/2017 RILBER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 153.09	02/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	94,432.91	78.69	0.00	78.69	85.0	66.89	
02/07/2017 LUCARELLI JR, VICTOR TRANSAMERICA LIBERTY 181,668.13 151.39 0.00 151.39 85.0 128.68 02/08/2017 FERGUSON, MARK TRANSAMERICA LIBERTY 213,853.13 178.21 0.00 178.21 85.0 151.48 02/08/2017 DE SELMS, KARRN TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 106.53 85.0 90.55 02/09/2017 KREMPEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.05 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 76.31 85.0 64.86 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.21 85.0 64.86 02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 85,945.64 71.62 0.00 71.62 85.0 66.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.	02/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	126,116.13	105.10	0.00	105.10	85.0	89.34	
02/08/2017 FERGUSON, MARK TRANSAMERICA LIBERTY 213,853.13 178.21 0.00 178.21 85.0 151.48 02/08/2017 DE SELMS, KAREN TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 106.53 85.0 90.55 02/09/2017 KREMPEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.05 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 88.41 85.0 75.15 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.31 85.0 64.86 02/10/2017 RIBE, MICHAEL TRANSAMERICA LIBERTY 85,945.64 71.62 0.00 71.62 85.0 60.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 23.46 85.0 19.94 02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMBEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMBEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMBEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMBEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMBEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMBEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 NOWAK JR, ROGER TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROGER TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROGER TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROGER TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROGER TRANSAMERICA LIBERTY 184,038.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROGER TRANSAMERICA LIBER	02/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	141,616.14	118.01	0.00	118.01	85.0	100.31	
02/08/2017 DE SELMS, KAREN TRANSAMERICA LIBERTY 127,835.44 106.53 0.00 106.53 85.0 90.55 02/09/2017 KREMPEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.05 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 88.41 85.0 75.15 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.31 85.0 64.86 02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 35,945.64 71.62 0.00 71.62 85.0 60.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 35,945.64 71.62 0.00 71.62 85.0 63.88 02/12/2017 RUER, RONALD TRANSAMERICA LIBERTY 46,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 20,133.90 168.78 0.00 62.83 85.0 <	02/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	181,668.13	151.39	0.00	151.39	85.0	128.68	
02/09/2017 KREMPEC, JEFFREY TRANSAMERICA LIBERTY 173,710.22 144.76 0.00 144.76 85.0 123.00 02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 88.41 85.0 75.15 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 71.62 85.0 64.86 02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 85,945.64 71.62 0.00 71.62 85.0 60.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 53.90 85.0 45.82 02/13/2017 ZOLINER, MERIBETH TRANSAMERICA LIBERTY 20,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0	02/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	213,853.13	178.21	0.00	178.21	85.0	151.48	
02/10/2017 THANASIU, THOMAS TRANSAMERICA LIBERTY 106,088.19 88.41 0.00 88.41 85.0 75.15 02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.31 85.0 64.86 02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 85,945.64 71.62 0.00 71.62 85.0 60.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28.150.36 23.46 0.00 23.46 85.0 19.94 02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 62.83 85.0 134.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75.393.19 62.83 0.00 62.83 85.0 53	02/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	127,835.44	106.53	0.00	106.53	85.0	90.55	
02/10/2017 HALSEY, WILLIAM TRANSAMERICA LIBERTY 91,574.74 76.31 0.00 76.31 85.0 64.86 02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 85,945.64 71.62 0.00 71.62 85.0 60.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 23.46 85.0 19.94 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 </td <td>02/09/2017</td> <td>KREMPEC, JEFFREY</td> <td>TRANSAMERICA LIBERTY</td> <td>173,710.22</td> <td>144.76</td> <td>0.00</td> <td>144.76</td> <td>85.0</td> <td>123.05</td>	02/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	173,710.22	144.76	0.00	144.76	85.0	123.05	
02/10/2017 RIEBE, MICHAEL TRANSAMERICA LIBERTY 85,945.64 71.62 0.00 71.62 85.0 60.88 02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 23.46 85.0 19.94 02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 346.95 85.0 2	02/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	106,088.19	88.41	0.00	88.41	85.0	75.15	
02/12/2017 DIENER, JUDITH TRANSAMERICA LIBERTY 132,516.10 110.43 0.00 110.43 85.0 93.87 02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 23.46 85.0 19.94 02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 346.95 85.0 294	02/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	91,574.74	76.31	0.00	76.31	85.0	64.86	
02/12/2017 RUCH, RONALD TRANSAMERICA LIBERTY 64,677.99 53.90 0.00 53.90 85.0 45.82 02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 23.46 85.0 19.94 02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0	02/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	85,945.64	71.62	0.00	71.62	85.0	60.88	
02/13/2017 ZOLNER, MERIBETH TRANSAMERICA LIBERTY 28,150.36 23.46 0.00 23.46 85.0 19.94 02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0	02/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	132,516.10	110.43	0.00	110.43	85.0	93.87	
02/16/2017 BAKER, ELAINE TRANSAMERICA LIBERTY 202,539.09 168.78 0.00 168.78 85.0 143.46 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY	02/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	64,677.99	53.90	0.00	53.90	85.0	45.82	
02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	28,150.36	23.46	0.00	23.46	85.0	19.94	
02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	202,539.09	168.78	0.00	168.78	85.0	143.46	
02/19/2017 KREMPEC, KAY TRANSAMERICA LIBERTY 75,393.19 62.83 0.00 62.83 85.0 53.41 02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	75,393.19	62.83	0.00	62.83	85.0	53.41	
02/21/2017 DENHAM, CONSTANCE TRANSAMERICA LIBERTY 183,070.89 152.56 0.00 152.56 85.0 129.68 02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	75,393.19	62.83	0.00	62.83	85.0	53.41	
02/22/2017 AUGUSTYNIAK JR, ROGER TRANSAMERICA LIBERTY 416,338.63 346.95 0.00 346.95 85.0 294.91 02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	75,393.19	62.83	0.00	62.83	85.0	53.41	
02/22/2017 NOWAK JR, ROBERT TRANSAMERICA LIBERTY 90,802.97 75.67 0.00 75.67 85.0 64.32 02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	183,070.89	152.56	0.00	152.56	85.0	129.68	
02/25/2017 PERRY, ALAN TRANSAMERICA LIBERTY 392,317.47 326.93 0.00 326.93 85.0 277.89 02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	416,338.63	346.95	0.00	346.95	85.0	294.91	
02/25/2017 TAYLOR, LILY TRANSAMERICA LIBERTY 82,511.27 68.76 0.00 68.76 85.0 58.45	02/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	90,802.97	75.67	0.00	75.67	85.0	64.32	
	02/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	392,317.47	326.93	0.00	326.93	85.0	277.89	
02/26/2017 ANNESSER, CAROL TRANSAMERICA LIBERTY 127,506.45 106.26 0.00 106.26 85.0 90.32	02/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	82,511.27	68.76	0.00	68.76	85.0	58.45	
	02/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	127,506.45	106.26	0.00	106.26	85.0	90.32	

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS										
PRODUCT CA	TEGORY: VARIABLE ANNUITIES									
02/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	116,027.29	96.69	0.00	96.69	85.0	82.19		
02/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,462.83	22.89	0.00	22.89	85.0	19.46		
02/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	57,484.32	47.90	0.00	47.90	85.0	40.72		
02/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,675.55	30.56	0.00	30.56	85.0	25.98		
02/28/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	46,374.74	38.65	0.00	38.65	85.0	32.85		
03/23/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	6,500.00	260.00	0.00	260.00	85.0	221.00		
		* VARIABLE ANNUITIES SUBTOTAL *	\$4,111,956.26	\$3,683.94	\$0.00	\$3,683.94		\$3,131.41		
		*** BROKER TRANSACTIONS TOTAL ***	\$4,225,439.89	\$8,020.97	\$0.00	\$8,020.97		\$6,817.90		
ADJUSTMENTS 03/31/2017 *ADVANCE: ADV OF TRANSAMERICA 0.00 -2,908.								-2,908.07		
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-2,908.07		
					<u> </u>	ROKER COMMI	ssion	TOTALS		
					Broker Transactions			\$6,817.90		
					Split Transactions			\$0.00		
					Override Transactions			\$0.00		
						Adjustments		\$-2,908.07		
					Payroll Draw			\$0.00		
					Base Salary			\$0.00		
					Prior Period Balance			\$0.00		
					FINRA Assessment			\$0.00		
					SI	IPC Assessment		\$0.00		
					Check Amount		:	\$3,909.83		
			Year-to-date Gross		3	\$17,563.50				
					Year-to	o-date Earnings	5	\$15,009.98		