



COMMISSION STATEMENT

June 25, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/15/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @39.6000						
06/15/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	90.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: 00088334300	BUY 3 Units @35.1900						
06/19/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	181.73	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: 00088244527	BUY 7 Units @27.8200						
06/19/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	181.73	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: 00088244527	BUY 7 Units @27.8200						
06/07/2018	***-**-3672 - KAAH, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6100						
06/07/2018	***-**-3672 - KAAH, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6100						
06/07/2018	***-**-3672 - KAAH, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6100						
06/07/2018	***-**-3672 - KAAH, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	75.00	3.19	0.00	3.19	70.0	2.23
	ACCOUNT #: 04000740312	BUY 5 Units @16.6100						
06/19/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	110.76	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 04000941955	BUY 7 Units @15.9700						
06/19/2018	***-**-8346 - SCOLLAN, JAMIE	AMERICAN FUNDS 2040 TARGET DATE-A	110.76	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: 04000941955	BUY 7 Units @15.9700						
06/19/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	424.04	6.78	0.00	6.78	70.0	4.75
	ACCOUNT #: 00089463498	BUY 26 Units @16.2400						
06/19/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	127.21	2.04	0.00	2.04	70.0	1.43
	ACCOUNT #: 00089463498	BUY 8 Units @16.2400						
06/19/2018	***-**-4858 - GODOFISKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	403.85	6.46	0.00	6.46	70.0	4.52
	ACCOUNT #: 04000349982	BUY 21 Units @19.4600						
06/19/2018	***-**-4858 - GODOFISKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	173.08	2.77	0.00	2.77	70.0	1.94
	ACCOUNT #: 04000349982	BUY 9 Units @19.4600						
06/15/2018	***-**-3569 - ANDERSON,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @42.5000						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	5.54	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00088824619	BUY 0 Units @20.3600						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	29.53	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00088824619	BUY 1 Units @20.3600						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	5.54	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00088824619	BUY 0 Units @20.3600						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	29.53	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00088824619	BUY 1 Units @20.3600						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @55.3700						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @55.3700						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @55.3700						
06/07/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @55.3700						
06/18/2018	***-**-0010 - DEWEY, JAN	CAPITAL WORLD GROWTH AND INCOME FUN	74,999.99	900.00	18.00	882.00	70.0	617.40
	ACCOUNT #: LSR012701	BUY 1434 Units @52.3100						
06/15/2018	***-**-3987 - LINGE, MARY	EQUITY INCOME FUND, INC. A	100.00	4.75	0.00	4.75	70.0	3.33
	ACCOUNT #: 08351856019	BUY 0 Units @0.0000						
06/07/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @60.3300						
06/07/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @60.3300						
06/07/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @60.3300						
06/07/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @60.3300						
06/15/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @65.9900						
06/07/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	4.65	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 04000234328	BUY 0 Units @63.8400						
06/07/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	15.50	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: 04000234328	BUY 0 Units @63.8400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/07/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	5.40	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 04000234328	BUY 0 Units @63.8400						
06/07/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: 04000234328	BUY 0 Units @63.8400						
06/12/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5800						
06/12/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5800						
06/12/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.2700						
06/12/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	466.08	9.32	0.00	9.32	70.0	6.52
	ACCOUNT #: 00011265210	BUY 33 Units @14.2700						
06/15/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 19 Units @10.2900						
06/19/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	41.40	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 1 Units @46.6000						
06/19/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	31.05	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 1 Units @46.6000						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	47.59	0.76	0.00	0.76	70.0	0.53
	ACCOUNT #: 00088394220	BUY 1 Units @46.6000						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	47.60	0.76	0.00	0.76	70.0	0.53
	ACCOUNT #: 00088394220	BUY 1 Units @46.6000						
06/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER CORP. BOND FUND A	50.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00100031714	BUY 0 Units @0.0000						
06/15/2018	***-**-3987 - LINGE, MARY	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	2.38	0.00	2.38	70.0	1.67
	ACCOUNT #: 01952249841	BUY 0 Units @0.0000						
06/15/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	75.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 00088334300	BUY 6 Units @12.9600						
06/19/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	41.40	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 3 Units @12.7900						
06/19/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	31.05	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 2 Units @12.7900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/19/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.87	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.7900						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.87	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.7900						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @57.1900						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @57.1900						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @57.1900						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @57.1900						
06/15/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	70.0	1.35
	ACCOUNT #: 00088334300	BUY 1 Units @56.9900						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	63.46	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: 00088394220	BUY 1 Units @55.9500						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	63.45	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: 00088394220	BUY 1 Units @55.9500						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.4200						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.4200						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.4200						
06/07/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.4200						
06/15/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 2 Units @23.7500						
06/18/2018	***-**-00IO - DEWEY, JAN	THE INCOME FUND OF AMERICA-A	112,499.99	1,350.00	18.00	1,332.00	70.0	932.40
	ACCOUNT #: LSR012701	BUY 4845 Units @23.2200						
06/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	41.40	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 2 Units @23.2300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	31.03	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 1 Units @23.2300						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	31.73	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: 00088394220	BUY 1 Units @23.2300						
06/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	31.73	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: 00088394220	BUY 1 Units @23.2300						
06/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	41.38	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 1 Units @41.4500						
06/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	31.05	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 1 Units @41.4500						
06/15/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: 00088334300	BUY 1 Units @51.8800						
06/06/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 01293376129	BUY 5 Units @47.9700						
06/20/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 01293376129	BUY 6 Units @45.8300						
* MUTUAL FUNDS SUBTOTAL *			\$193,804.15	\$2,393.38	\$36.00	\$2,357.38		\$1,650.22
PRODUCT CATEGORY: FINANCIAL PLANNING								
06/26/2018	***-**-ORFP - O'CONNOR,	FINANCIAL PLAN	0.00	250.00	0.00	250.00	70.0	175.00
		BUY 0 Units @0.0000						
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$250.00	\$0.00	\$250.00		\$175.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131582454	0.00	5.26	0.00	5.26	70.0	3.68
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131618704	0.00	12.37	0.00	12.37	70.0	8.66
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161P805	0.00	5.31	0.00	5.31	70.0	3.72
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161T104	0.00	1.08	0.00	1.08	70.0	0.76
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.76	0.00	5.76	70.0	4.03
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	4.00	0.00	4.00	70.0	2.80
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649881	0.00	4.08	0.00	4.08	70.0	2.86
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT CAPITAL ACCUMULATION - A	0.00	3.69	0.00	3.69	70.0	2.58
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT LONG-TERM INCOME FUND	0.00	6.27	0.00	6.27	70.0	4.39
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SMALL CAP VALUE FUND	0.00	6.81	0.00	6.81	70.0	4.77
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	4.66	0.00	4.66	70.0	3.26
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/04/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FUND BOND	0.00	2.96	0.00	2.96	70.0	2.07
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
06/25/2018	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.69	0.00	2.69	70.0	1.88
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
06/25/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY EPOCH GLOBAL EQUITY YLD A	0.00	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
06/25/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY HIGH-YIELD CORPORATE BOND	0.00	13.25	0.00	13.25	70.0	9.28
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
06/25/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY SHORT DURATION HIGH YLD A	0.00	6.42	0.00	6.42	70.0	4.49
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
06/25/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY TOTAL RETURN FUND A	0.00	5.83	0.00	5.83	70.0	4.08
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
06/25/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	7.34	0.00	7.34	70.0	5.14
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
06/25/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.32	0.00	3.32	70.0	2.32
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
06/25/2018	***-**-548J - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	0.69	0.00	0.69	70.0	0.48
	ACCOUNT #: LSR011813	BUY 1 Units @0.0000						



COMMISSION STATEMENT

June 25, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/25/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	OPP PORT SERIES: MOD INV FD A BUY 1 Units @0.0000	0.00	1.93	0.00	1.93	70.0	1.35
06/25/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER GLOBAL HIGH YIELD CL A BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	70.0	1.46
06/25/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER SENIOR FLOATING RATE PL BUY 1 Units @0.0000	0.00	2.18	0.00	2.18	70.0	1.53
06/25/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER STEELPATH MLP INC.FND C BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	70.0	0.24
06/25/2018	***-**-445J - NORTH, CHARLES ACCOUNT #: LSR011778	ROCHESTER FUND MUNICIPALS A BUY 1 Units @0.0000	0.00	12.11	0.00	12.11	70.0	8.48
06/04/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	WORLD VALUES INTERNATIONAL EQUITY - BUY 0 Units @0.0000	0.00	12.25	0.00	12.25	70.0	8.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$138.33	\$0.00	\$138.33		\$96.85
*** BROKER TRANSACTIONS TOTAL ***			\$193,804.15	\$2,781.71	\$36.00	\$2,745.71		\$1,922.07

BROKER COMMISSION TOTALS

Broker Transactions	\$1,922.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,922.07
Year-to-date Earnings	\$31,569.52