

All Companies  
**STATEMENT**  
June 20, 2018

**NICK CONSOLES**  
1 Merrill Industrial Dr Suite  
SUITE 620  
Hampton, NH 03842

**BROKER #: 155**  
**BRANCH:**

COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u><b>BROKER TRANSACTIONS</b></u>									
<u><b>PRODUCT CATEGORY: MUTUAL FUNDS</b></u>									
04/03/0018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
04/03/0018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
04/03/0018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	32.94	0.33	75.0	0.00	0.25	0.00	0.25
04/03/0018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	19.76	0.20	75.0	0.00	0.15	0.00	0.15
04/03/0018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.00	0.11
04/03/0018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	200.00	2.00	75.0	0.00	1.50	0.00	1.50
04/03/0018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
04/03/0018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
04/03/0018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	69.89	3.49	75.0	0.00	2.62	0.00	2.62
04/03/0018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	69.89	3.49	75.0	0.00	2.62	0.00	2.62
04/03/0018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	21.10	1.06	75.0	0.00	0.80	0.00	0.80
04/03/0018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	21.10	1.06	75.0	0.00	0.80	0.00	0.80
04/03/0018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	539.75	26.99	75.0	0.00	20.24	0.00	20.24
04/03/0018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	161.92	8.10	75.0	0.00	6.08	0.00	6.08
04/03/0018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	251.18	12.56	75.0	0.00	9.42	0.00	9.42
04/03/0018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	75.34	3.77	75.0	0.00	2.83	0.00	2.83
04/03/0018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	77.96	3.90	75.0	0.00	2.93	0.00	2.93
04/03/0018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	77.96	3.90	75.0	0.00	2.93	0.00	2.93
04/03/0018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	60.94	3.05	75.0	0.00	2.29	0.00	2.29
04/03/0018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	60.94	3.05	75.0	0.00	2.29	0.00	2.29
04/03/0018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	205.65	10.28	75.0	0.00	7.71	0.00	7.71
04/03/0018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	342.76	17.14	75.0	0.00	12.86	0.00	12.86
02/16/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	28.81	1.44	75.0	0.00	1.08	0.00	1.08
02/16/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	-31.51	-1.58	75.0	0.00	-1.19	0.00	-1.19
02/16/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	28.81	1.44	75.0	0.00	1.08	0.00	1.08
02/16/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	-31.51	-1.58	75.0	0.00	-1.19	0.00	-1.19
04/30/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
04/30/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/30/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
04/30/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.00	0.10
05/07/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
05/07/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
05/07/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
05/07/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.00	0.10
05/11/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	37.98	0.38	75.0	0.00	0.29	0.00	0.29
05/11/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	22.79	0.23	75.0	0.00	0.17	0.00	0.17
05/15/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
05/15/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
05/15/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
05/15/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.00	0.11
05/21/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
05/21/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
05/21/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
05/21/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.00	0.11
05/25/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
05/25/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
05/25/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	39.05	0.39	75.0	0.00	0.29	0.00	0.29
05/25/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	23.43	0.23	75.0	0.00	0.17	0.00	0.17
05/25/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.00	0.11
05/25/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
05/29/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
05/29/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
05/29/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	77.33	3.87	75.0	0.00	2.90	0.00	2.90
05/29/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	77.33	3.87	75.0	0.00	2.90	0.00	2.90
05/29/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	19.90	1.00	75.0	0.00	0.75	0.00	0.75
05/29/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	19.90	1.00	75.0	0.00	0.75	0.00	0.75
05/29/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	165.51	8.28	75.0	0.00	6.21	0.00	6.21
05/29/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	551.76	27.59	75.0	0.00	20.69	0.00	20.69
05/29/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	265.20	13.26	75.0	0.00	9.95	0.00	9.95
05/29/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	79.54	3.98	75.0	0.00	2.99	0.00	2.99
05/29/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	70.26	3.51	75.0	0.00	2.63	0.00	2.63
05/29/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	70.26	3.51	75.0	0.00	2.63	0.00	2.63
05/29/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	48.89	2.44	75.0	0.00	1.83	0.00	1.83
05/29/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	48.89	2.44	75.0	0.00	1.83	0.00	1.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/29/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	345.02	17.25	75.0	0.00	12.94	0.00	12.94
05/29/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	207.01	10.35	75.0	0.00	7.76	0.00	7.76
06/01/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
06/01/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
06/01/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
06/01/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.00	0.11
* MUTUAL FUNDS SUBTOTAL *			\$6,284.81	\$248.35	\$0.00		\$186.37	\$0.00	\$186.37
*** BROKER TRANSACTIONS TOTAL ***			\$6,284.81	\$248.35	\$0.00		\$186.37	\$0.00	\$186.37

ADJUSTMENTS

06/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$186.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,329.60
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,642.23
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