



Concorde Investment Services, LLC

11/13/2017

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# COMMISSION STATEMENT

November 15, 2017

K146-JASON KEMBLE  
4106 E. Doe Creek Drive

BROKER #: K146  
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</b>								
11/01/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	63.55	0.29	0.00	0.29	90.0	0.26
11/01/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	63.55	0.29	0.00	0.29	90.0	0.26
11/02/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	19.92	0.00	19.92	90.0	17.93
11/02/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.65	0.00	0.65	90.0	0.59
11/01/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	58.31	0.26	0.00	0.26	90.0	0.23
11/01/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	58.31	0.26	0.00	0.26	90.0	0.23
10/25/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	12,000.00	229.50	0.00	229.50	90.0	206.55
11/01/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
11/01/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
10/23/2017	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
10/23/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
10/30/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
11/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	80.86	0.36	0.00	0.36	90.0	0.32
11/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.52	0.22	0.00	0.22	90.0	0.20
11/01/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
11/01/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
11/01/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	6.46	0.03	0.00	0.03	90.0	0.03
11/01/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
11/01/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	40.09	0.18	0.00	0.18	90.0	0.16
11/01/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	40.09	0.18	0.00	0.18	90.0	0.16
11/01/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
11/01/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
11/01/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.19	0.00	0.19	90.0	0.17
11/01/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.19	0.00	0.19	90.0	0.17
11/02/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	2.61	0.00	2.61	90.0	2.35
11/02/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	15.94	0.00	15.94	90.0	14.35

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u></b>								
10/23/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
11/01/2017	ZELLMER, RIANNON	AM FUNDS ICA A	13.23	0.06	0.00	0.06	90.0	0.05
11/01/2017	ZELLMER, RIANNON	AM FUNDS ICA A	13.23	0.06	0.00	0.06	90.0	0.05
11/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	68.30	0.31	0.00	0.31	90.0	0.28
11/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	1.80	0.00	1.80	90.0	1.62
11/01/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
11/01/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
11/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
11/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
11/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
11/01/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
11/01/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
11/01/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
11/01/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
11/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.08	0.08	0.00	0.08	90.0	0.07
11/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.45	0.00	0.45	90.0	0.41
11/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.51	0.22	0.00	0.22	90.0	0.20
11/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	80.86	0.36	0.00	0.36	90.0	0.32
11/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
11/01/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
11/01/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.19	0.00	0.19	90.0	0.17
11/01/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.19	0.00	0.19	90.0	0.17
11/02/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.65	0.00	0.65	90.0	0.59
11/02/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	3.98	0.00	3.98	90.0	3.58
<b>* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *</b>			<b>\$17,400.83</b>	<b>\$293.59</b>	<b>\$0.00</b>	<b>\$293.59</b>		<b>\$264.25</b>
<b><u>BROKER: JASON KEMBLE #394</u></b>								
10/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.00	0.00	3.00	90.0	2.70
10/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	3.00	0.00	3.00	90.0	2.70
<b>* JASON KEMBLE SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$6.00</b>	<b>\$0.00</b>	<b>\$6.00</b>		<b>\$5.40</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$17,600.83</b>	<b>\$299.59</b>	<b>\$0.00</b>	<b>\$299.59</b>		<b>\$269.65</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*EO INS: CURRENT MONTH INS-KEMBLE	0.00					-300.00
11/15/2017		*TECH: CURRENT MONTH TECH-KEMBLE	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$269.65
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-305.35
Year-to-date Gross	\$42,701.18
Year-to-date Earnings	\$38,431.88

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