



COMMISSION STATEMENT

May 21, 2018

ALEXANDER HACKLEY
2305 E Arapahoe Rd. #235
Centennial, CO 80122

BROKER #: B04
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/14/2018	***-**-3650 - ROSINI, LINDA	PERMANENT PORTFOLIO-NFS	16,633.88	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011888	SELL 403 Units @41.3100						
		* MUTUAL FUNDS SUBTOTAL *	\$16,633.88	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/21/2018	***-**-69IR - FONTENOT,	AMCAP FUND-A	0.00	2.08	0.00	2.08	75.0	1.56
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	AMERICAN HIGH-INCOME TRUST-A	0.00	0.93	0.00	0.93	75.0	0.70
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	AMERICAN MUTUAL FUND-A	0.00	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-679I - VIGIL, ELLI	BLACKROCK EVENT DRIVEN EQUITY FUND	0.00	5.04	0.00	5.04	75.0	3.78
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	CAPITAL INCOME BUILDER-A	0.00	2.31	0.00	2.31	75.0	1.73
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-246T - JESSIE MAE	CAPITAL INCOME BUILDER-A	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: LSR012571	BUY 1 Units @0.0000						
05/21/2018	***-**-4660 - FOX, PAMELA	CAPITAL INCOME BUILDER-A	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	CAPITAL WORLD BOND FUND-A	0.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-679I - VIGIL, ELLI	COLUMBIA BALANCED FUND CL A	0.00	19.65	0.00	19.65	75.0	14.74
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
05/21/2018	***-**-593I - HUFF, CYNTHIA	COLUMBIA BALANCED FUND CL A	0.00	9.81	0.00	9.81	75.0	7.36
	ACCOUNT #: LSR011879	BUY 1 Units @0.0000						
05/21/2018	***-**-14IR - MEDLICOTT,	COLUMBIA BALANCED FUND CL A	0.00	4.72	0.00	4.72	75.0	3.54
	ACCOUNT #: LSR011900	BUY 1 Units @0.0000						
05/21/2018	***-**-53IR - MEDLICOTT,	COLUMBIA BALANCED FUND CL A	0.00	5.90	0.00	5.90	75.0	4.43
	ACCOUNT #: LSR011901	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-321J - STERTZ, MICHAEL	COLUMBIA BALANCED FUND CL A	0.00	13.27	0.00	13.27	75.0	9.95
	ACCOUNT #: LSR012194	BUY 1 Units @0.0000						
05/21/2018	***-**-679I - VIGIL, ELLI	DELAWARE EXTENDED DURATION BOND FUN	0.00	18.75	0.00	18.75	75.0	14.06
	ACCOUNT #: LSR011852	BUY 1 Units @0.0000						
05/21/2018	***-**-1010 - ESSELMAN, KELLY	FIRST EAGLE GLOBAL FUND CLASS A	0.00	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: LSR012496	BUY 1 Units @0.0000						
05/21/2018	***-**-127I - SCHEAFER, HARRY	FUNDAMENTAL INVESTORS-A	0.00	2.46	0.00	2.46	75.0	1.85
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	FUNDAMENTAL INVESTORS-A	0.00	1.84	0.00	1.84	75.0	1.38
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-848I - SCHNEIDER,	HARTFORD BALANCED INCOME FD CL A	0.00	4.10	0.00	4.10	75.0	3.08
	ACCOUNT #: LSR011876	BUY 1 Units @0.0000						
05/21/2018	***-**-978I - LITTON, KENT	HARTFORD BALANCED INCOME FD CL A	0.00	8.15	0.00	8.15	75.0	6.11
	ACCOUNT #: LSR011882	BUY 1 Units @0.0000						
05/21/2018	***-**-679I - BURGDORF, SANDRA	HARTFORD BALANCED INCOME FD CL A	0.00	4.05	0.00	4.05	75.0	3.04
	ACCOUNT #: LSR011921	BUY 1 Units @0.0000						
05/21/2018	***-**-502I - GRANDSTAFF,	HARTFORD BALANCED INCOME FD CL A	0.00	1.82	0.00	1.82	75.0	1.37
	ACCOUNT #: LSR011929	BUY 1 Units @0.0000						
05/21/2018	***-**-266I - RONS, JANICE	HARTFORD BALANCED INCOME FD CL A	0.00	5.29	0.00	5.29	75.0	3.97
	ACCOUNT #: LSR012038	BUY 1 Units @0.0000						
05/21/2018	***-**-632J - HIGGINSON,	HARTFORD BALANCED INCOME FD CL A	0.00	5.93	0.00	5.93	75.0	4.45
	ACCOUNT #: LSR012042	BUY 1 Units @0.0000						
05/21/2018	***-**-82TR - DAVIES, JANET	HARTFORD BALANCED INCOME FD CL A	0.00	7.16	0.00	7.16	75.0	5.37
	ACCOUNT #: LSR012422	BUY 1 Units @0.0000						
05/21/2018	***-**-105I - HUEBNER, CAROLYN	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.71	0.00	0.71	75.0	0.53
	ACCOUNT #: LSR011910	BUY 1 Units @0.0000						
05/21/2018	***-**-14IN - MEDLICOTT,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	8.64	0.00	8.64	75.0	6.48
	ACCOUNT #: LSR011899	BUY 1 Units @0.0000						
05/21/2018	***-**-502J - GRANDSTAFF,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	8.81	0.00	8.81	75.0	6.61
	ACCOUNT #: LSR011931	BUY 1 Units @0.0000						
05/21/2018	***-**-32IR - HIGGINSON,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: LSR012041	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-626I - STERTZ, DALE	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	8.14	0.00	8.14	75.0	6.11
	ACCOUNT #: LSR012238	BUY 1 Units @0.0000						
05/21/2018	***-**-632I - HIGGINSON,	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	3.36	0.00	3.36	75.0	2.52
	ACCOUNT #: LSR012342	BUY 1 Units @0.0000						
05/21/2018	***-**-25IR - HIGGINSON, GAIL	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.14	0.00	1.14	75.0	0.86
	ACCOUNT #: LSR012345	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JP MORGAN INCOME BUILDER FUND CL A	0.00	1.77	0.00	1.77	75.0	1.33
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JP MORGAN INTL EQUITY INCOME CL A	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN EMERGING MARKETS EQUITY CL	0.00	0.56	0.00	0.56	75.0	0.42
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN EMERGING MRKTS STRATEGIC DB	0.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN EQUITY INCOME FUND CL A	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN FLOATING RATE INCOME CL A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN HIGH YIELD BOND FUND CLASS	0.00	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN INCOME FUND CLASS A	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN REALTY INCOME FUND CLASS A	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-101I - ESSELMAN, KELLY	JPMORGAN SHORT DURATION CORE PLUS A	0.00	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: LSR012497	BUY 1 Units @0.0000						
05/21/2018	***-**-127I - SCHEAFER, HARRY	NEW PERSPECTIVE FUND-A	0.00	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
05/21/2018	***-**-934I - HARVEY, CHERYL	PUTNAM EQUITY INCOME FUND-A	0.00	0.71	0.00	0.71	75.0	0.53
	ACCOUNT #: LSR011885	BUY 1 Units @0.0000						
05/21/2018	***-**-466O - FOX, PAMELA	THE BOND FUND OF AMERICA-A	0.00	1.55	0.00	1.55	75.0	1.16
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-127I - SCHEAFER, HARRY	THE GROWTH FUND OF AMERICA-A	0.00	0.94	0.00	0.94	75.0	0.71
	ACCOUNT #: LSR011853	BUY 1 Units @0.0000						
05/21/2018	***-**-466O - FOX, PAMELA	THE INCOME FUND OF AMERICA-A	0.00	3.24	0.00	3.24	75.0	2.43
	ACCOUNT #: LSR012621	BUY 1 Units @0.0000						
05/21/2018	***-**-69IR - FONTENOT,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: LSR012445	BUY 1 Units @0.0000						
05/21/2018	***-**-787I - VONHEDEMANN, HAL	WELLS FARGO INDEX ASSET ALLOC A	0.00	11.41	0.00	11.41	75.0	8.56
	ACCOUNT #: LSR011985	BUY 1 Units @0.0000						
05/21/2018	***-**-21IR - STERTZ, MICHAEL	WELLS FARGO INDEX ASSET ALLOC A	0.00	2.85	0.00	2.85	75.0	2.14
	ACCOUNT #: LSR012195	BUY 1 Units @0.0000						
05/21/2018	***-**-70IR - STERTZ, CARRIE	WELLS FARGO INDEX ASSET ALLOC A	0.00	2.85	0.00	2.85	75.0	2.14
	ACCOUNT #: LSR012197	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$202.81	\$0.00	\$202.81		\$152.16
*** BROKER TRANSACTIONS TOTAL ***			\$16,633.88	\$202.81	\$18.00	\$184.81		\$134.16

BROKER COMMISSION TOTALS

Broker Transactions	\$134.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.55
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$133.61
Year-to-date Earnings	\$24,689.83