

**COMMISSION STATEMENT****March 31, 2018**

BARRY, L122 LE MAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	102,818.53	-98.69	0.00	-98.69	90.0	-88.82
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	100,842.49	-97.06	0.00	-97.06	90.0	-87.35
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	184,838.15	-221.57	0.00	-221.57	90.0	-199.41
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	61,656.12	-77.25	0.00	-77.25	90.0	-69.53
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	61,283.79	-143.74	0.00	-143.74	90.0	-129.37
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	-106.56	0.00	-106.56	90.0	-95.90
03/08/2018	HEY, GEORGE MICHAEL	TD AMERITRADE	0.00	-77.01	0.00	-77.01	90.0	-69.31
* RIA SUBTOTAL *			\$511,439.08	\$-821.88	\$0.00	\$-821.88		\$-739.69
*** DIRECT TRANSACTIONS TOTAL ***			\$511,439.08	\$-821.88	\$0.00	\$-821.88		\$-739.69

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$-739.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-739.69
Year-to-date Gross	\$19,653.43
Year-to-date Earnings	\$17,688.13