



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 28, 2017

03/06/2017
 Page No. 1

JEFF/JASON KAUFMAN/KEMBLE

BROKER #: K148
 BRANCH: JEFFREY KAUFMAN

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^02/06/2017 | JOHNSON, KASEY | AM FUNDS GROWTH FUND OF AMERICA A | 250.00 | 12.50 | 0.00 | 12.50 | 100.0 | 0.00 |
| ^02/06/2017 | PRICE, KATHRYN | AM FUNDS GROWTH FUND OF AMERICA A | 350.00 | 17.50 | 0.00 | 17.50 | 100.0 | 0.00 |
| ^02/06/2017 | UNTIL AGE 21, MO/UTMA | AM FUNDS GROWTH FUND OF AMERICA A | 300.00 | 15.00 | 0.00 | 15.00 | 100.0 | 0.00 |
| ^02/15/2017 | BROWN, CODY | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^02/16/2017 | BARTLETT, STEVEN | AM FUNDS GROWTH FUND OF AMERICA A | 41.05 | 0.41 | 0.00 | 0.41 | 100.0 | 0.00 |
| ^02/16/2017 | BARTLETT, STEVEN | AM FUNDS GROWTH FUND OF AMERICA A | 24.63 | 0.25 | 0.00 | 0.25 | 100.0 | 0.00 |
| ^02/06/2017 | UNTIL AGE 21, MO/UTMA | AM FUNDS ICA A | 300.00 | 15.00 | 0.00 | 15.00 | 100.0 | 0.00 |
| ^02/16/2017 | HAGUE, VICTORIA | AM FUNDS ICA A | 34.06 | 0.34 | 0.00 | 0.34 | 100.0 | 0.00 |
| ^02/16/2017 | HAGUE, VICTORIA | AM FUNDS ICA A | 200.00 | 2.00 | 0.00 | 2.00 | 100.0 | 0.00 |
| ^02/16/2017 | HAGUE, VICTORIA | AM FUNDS SMALLCAP WORLD A | 8.51 | 0.09 | 0.00 | 0.09 | 100.0 | 0.00 |
| ^02/16/2017 | HAGUE, VICTORIA | AM FUNDS SMALLCAP WORLD A | 50.00 | 0.50 | 0.00 | 0.50 | 100.0 | 0.00 |
| ^02/16/2017 | BARTLETT, STEVEN | AM FUNDS SMALLCAP WORLD A | 24.63 | 0.25 | 0.00 | 0.25 | 100.0 | 0.00 |
| ^02/16/2017 | BARTLETT, STEVEN | AM FUNDS SMALLCAP WORLD A | 41.05 | 0.41 | 0.00 | 0.41 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$1,723.93 | \$69.25 | \$0.00 | \$69.25 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$1,723.93 | \$69.25 | \$0.00 | \$69.25 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |