

All Companies
STATEMENT
June 20, 2018

MALVIN JOHNSON
117 C Street
SUITE 620
Vallejo, CA 94950

BROKER #: 036
BRANCH:

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/07/2018	#00082111667	EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	6.44
05/07/2018	#00082111667	EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	5.45
05/07/2018	#00082111667	EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	5.45
			* MUTUAL FUNDS SUBTOTAL *	\$700.00	\$19.25		\$0.00	\$17.34	\$17.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	#	DWYER, TIMOTHY	DAIN TRAILER	0.00	13.28	90.0	0.00	11.95	11.95
05/09/2018	#	KEENE, JAMES	DAIN TRAILER	0.00	0.63	90.0	0.00	0.57	0.57
05/09/2018	#	DWYER, TIMOTHY	DAIN TRAILER	0.00	3.53	90.0	0.00	3.18	3.18
05/09/2018	#	DWYER, TIMOTHY	DAIN TRAILER	0.00	3.26	90.0	0.00	2.93	2.93
05/09/2018	#	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	18.00	90.0	0.00	16.20	16.20
05/09/2018	#	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	1.51	90.0	0.00	1.36	1.36
05/09/2018	#	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	6.02	90.0	0.00	5.42	5.42
05/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	4.63	90.0	0.00	4.17	4.17
05/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	10.37	90.0	0.00	9.33	9.33
05/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	3.51	90.0	0.00	3.16	3.16
05/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	5.53	90.0	0.00	4.98	4.98
05/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	8.79	90.0	0.00	7.91	7.91
05/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.59
05/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.51	90.0	0.00	3.16	3.16
05/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	8.36	90.0	0.00	7.52	7.52
05/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	27.25	90.0	0.00	24.53	24.53
05/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	27.77	90.0	0.00	24.99	24.99
05/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	4.00	90.0	0.00	3.60	3.60
05/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	7.29	90.0	0.00	6.56	6.56
05/09/2018	#	BARROW, DIANA	DAIN TRAILER	0.00	0.24	90.0	0.00	0.22	0.22
05/09/2018	#	PALMER, FRANCIS	DAIN TRAILER	0.00	4.67	90.0	0.00	4.20	4.20
05/09/2018	#	PALMER, FRANCIS	DAIN TRAILER	0.00	4.60	90.0	0.00	4.14	4.14

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# PALMER, FRANCIS	DAIN TRAILER	0.00	2.44	90.0	0.00	2.20	0.00	2.20
05/09/2018	# BARROW, RITA	DAIN TRAILER	0.00	2.89	90.0	0.00	2.60	0.00	2.60
05/09/2018	# BARROW, RITA	DAIN TRAILER	0.00	1.21	90.0	0.00	1.09	0.00	1.09
05/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	1.31	90.0	0.00	1.18	0.00	1.18
05/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	1.42	90.0	0.00	1.28	0.00	1.28
05/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	1.85	90.0	0.00	1.67	0.00	1.67
05/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
05/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	1.35	90.0	0.00	1.22	0.00	1.22
05/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.13	90.0	0.00	1.92	0.00	1.92
05/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.41	90.0	0.00	2.17	0.00	2.17
05/09/2018	# BRIGGS, KENNETH	DAIN TRAILER	0.00	0.64	90.0	0.00	0.58	0.00	0.58
05/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	1.66	90.0	0.00	1.49	0.00	1.49
05/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
05/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.13	90.0	0.00	1.92	0.00	1.92
05/09/2018	# C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.12	90.0	0.00	0.11	0.00	0.11
05/09/2018	# TAYLOR, PHILLIS	DAIN TRAILER	0.00	8.29	90.0	0.00	7.46	0.00	7.46
05/09/2018	# TAYLOR, PHILLIS	DAIN TRAILER	0.00	11.49	90.0	0.00	10.34	0.00	10.34
05/09/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.33	90.0	0.00	0.30	0.00	0.30
05/09/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.33	90.0	0.00	0.30	0.00	0.30
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.53	90.0	0.00	4.98	0.00	4.98
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.18	90.0	0.00	4.66	0.00	4.66
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	7.73	90.0	0.00	6.96	0.00	6.96
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	2.26	90.0	0.00	2.03	0.00	2.03
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.73	90.0	0.00	4.26	0.00	4.26
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	9.81	90.0	0.00	8.83	0.00	8.83
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.44	90.0	0.00	4.00	0.00	4.00
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.82	90.0	0.00	5.24	0.00	5.24
05/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	11.87	90.0	0.00	10.68	0.00	10.68
05/09/2018	# YARDE, GRAHAM	DAIN TRAILER	0.00	4.06	90.0	0.00	3.65	0.00	3.65
05/09/2018	# YARDE, GRAHAM	DAIN TRAILER	0.00	6.81	90.0	0.00	6.13	0.00	6.13
05/09/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	3.73	90.0	0.00	3.36	0.00	3.36
05/09/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	2.84	90.0	0.00	2.56	0.00	2.56
05/09/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	6.82	90.0	0.00	6.14	0.00	6.14
05/09/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	9.97	90.0	0.00	8.97	0.00	8.97
05/09/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	1.46	90.0	0.00	1.31	0.00	1.31
05/24/2018	# MONTGOMERY, KEVIN	DAIN TRAILER	0.00	0.32	90.0	0.00	0.29	0.00	0.29

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$308.01		\$0.00	\$277.25	\$0.00	\$277.25
		*** BROKER TRANSACTIONS TOTAL ***	\$700.00	\$327.26		\$0.00	\$294.59	\$0.00	\$294.59

ADJUSTMENTS

06/20/2018	DOCUSIGN: DOCUSIGN								-51.00
		*** ADJUSTMENTS TOTAL ***							\$-51.00

BROKER COMMISSION TOTALS

Broker Transactions	\$294.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-51.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$243.59
--------------	----------