

**COMMISSION STATEMENT****April 30, 2018**

DAMIAN, D127 DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
04/05/2018	ENTREKIN TRUST, GARY	BOURN SENIORS HOUSING IV BOR4161BO	100,000.00	7,000.00	0.00	7,000.00	92.0	6,440.00
04/04/2018	ENTREKIN TRUST, GARY	BOURNE SENIORS HOUSING IV	100,000.00	0.00	0.00	0.00	92.0	0.00
04/06/2018	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	21.13	0.00	21.13	92.0	19.44
* PRIVATE PLACEMENT SUBTOTAL *			\$200,000.00	\$7,021.13	\$0.00	\$7,021.13		\$6,459.44
<u>PRODUCT CATEGORY: REIT</u>								
03/31/2018	ENCINA, OPHELIA	GRIFFIN CAPITAL ESSENTIAL ASSET II	23,878.61	58.88	0.00	58.88	92.0	54.17
* REIT SUBTOTAL *			\$23,878.61	\$58.88	\$0.00	\$58.88		\$54.17
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
04/13/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
04/13/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$300.00	\$14.76	\$0.00	\$14.76		\$13.58
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
04/09/2018	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
* MUTUAL FUNDS SUBTOTAL *			\$1,500.00	\$52.50	\$0.00	\$52.50		\$48.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/31/2018	RELIAM INC	ADP TRAILS	0.00	381.86	0.00	381.86	92.0	351.31
03/31/2018	HILL & DALE LEARNING	ADP TRAILS	0.00	44.65	0.00	44.65	92.0	41.08
03/31/2018	CAL MEDICAL SVC	ADP TRAILS	0.00	115.74	0.00	115.74	92.0	106.48
03/31/2018	KVM-TEC INC 401K	ADP TRAILS	0.00	7.15	0.00	7.15	92.0	6.58
03/31/2018	CELESTIAL FREIGHT	ADP TRAILS	0.00	323.27	0.00	323.27	92.0	297.41
03/31/2018	MARTINEZ LAW OFFICE	ADP TRAILS	0.00	100.56	0.00	100.56	92.0	92.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$973.23	\$0.00	\$973.23		\$895.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

*** DIRECT TRANSACTIONS TOTAL ***	\$225,678.61	\$8,120.50	\$0.00	\$8,120.50	\$7,470.87
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BROKER COMMISSION TOTALS

Broker Transactions	\$7,470.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,470.87
Year-to-date Gross	\$54,960.49
Year-to-date Earnings	\$50,414.65

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