



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
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DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/09/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.36	0.00	4.36	0.0	0.00
08/09/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.97	0.00	0.97	0.0	0.00
08/09/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.87	0.00	9.87	0.0	0.00
08/09/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.10	0.00	9.10	0.0	0.00
08/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.81	0.00	25.81	0.0	0.00
08/09/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.96	0.00	2.96	0.0	0.00
08/09/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.89	0.00	0.89	0.0	0.00
08/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.63	0.00	2.63	0.0	0.00
08/09/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.74	0.00	1.74	0.0	0.00
08/09/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.39	0.00	2.39	0.0	0.00
08/09/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.95	0.00	1.95	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$62.67	\$0.00	\$62.67		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
08/21/2017	MARGOLIN, BRUCE	METROPOLITAN TRANSN AUTH N Y	25,296.75	0.00	28.00	-28.00	0.0	0.00
* BONDS SUBTOTAL *			\$25,296.75	\$0.00	\$28.00	\$-28.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$25,296.75	\$62.67	\$28.00	\$34.67		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$51.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$51.10
Year-to-date Gross	\$7,823.67
Year-to-date Earnings	\$3,866.34