

**COMMISSION STATEMENT****July 15, 2018**

DAN/JON PAUL FT- J1D NY RAUPP/CIR
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: J1D
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^06/25/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.41	0.00	4.41	100.0	0.00
^06/18/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.88	0.00	10.88	100.0	0.00
^06/18/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.64	0.00	32.64	100.0	0.00
^06/18/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.96	0.00	6.96	100.0	0.00
^06/18/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.89	0.00	20.89	100.0	0.00
^06/18/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.56	0.00	10.56	100.0	0.00
^06/18/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.69	0.00	31.69	100.0	0.00
^06/18/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.53	0.00	4.53	100.0	0.00
^06/22/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	18.16	0.00	18.16	100.0	0.00
^06/22/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	20.68	0.00	20.68	100.0	0.00
^06/22/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	13.97	0.00	13.97	100.0	0.00
^06/22/2018	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.20	0.00	7.20	100.0	0.00
^06/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.84	0.00	0.84	100.0	0.00
^06/22/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	17.93	0.00	17.93	100.0	0.00
^06/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.78	0.00	0.78	100.0	0.00
^06/15/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	142.22	0.00	142.22	100.0	0.00
^06/19/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.58	0.00	4.58	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$348.92	\$0.00	\$348.92		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$348.92	\$0.00	\$348.92		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW083418	0.00					0.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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