



# COMMISSION STATEMENT

April 30, 2017

JOSEPH (TERRY) MANN  
219 E. 31st Street

BROKER #: M132  
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
04/13/2017	PARKER, W KERRY	HOWARD CAPITAL	158,558.00	333.94	0.00	333.94	91.0	303.89
04/17/2017	N ATLANTA CONTRACTOR	MID ATLANTIC	26,016.43	65.04	0.00	65.04	91.0	59.19
04/17/2017	WARSTADT, STEVEN	MID ATLANTIC	388,286.40	970.71	0.00	970.71	91.0	883.35
04/17/2017	NOVA, TEMPUS	MID ATLANTIC	751,412.25	1,784.60	0.00	1,784.60	91.0	1,623.99
04/19/2017	BLANCHARD, SARA	SAWTOOTH	0.00	332.23	0.00	332.23	91.0	302.33
04/19/2017	BLANCHARD, SARA	SAWTOOTH	0.00	432.70	0.00	432.70	91.0	393.76
04/19/2017	BRANSON, BENJAMIN	SAWTOOTH	0.00	57.12	0.00	57.12	91.0	51.98
04/19/2017	CUMMINGS, KRYSTA	SAWTOOTH	0.00	1,562.58	0.00	1,562.58	91.0	1,421.95
04/19/2017	CUMMINGS, KRYSTA	SAWTOOTH	0.00	20.85	0.00	20.85	91.0	18.97
04/19/2017	DABBS, STEVEN	SAWTOOTH	0.00	20.12	0.00	20.12	91.0	18.31
04/19/2017	DEWICK, JOANN	SAWTOOTH	0.00	248.71	0.00	248.71	91.0	226.33
04/19/2017	FOSTER, JOHN	SAWTOOTH	0.00	201.28	0.00	201.28	91.0	183.16
04/19/2017	FOSTER, JOHN	SAWTOOTH	0.00	116.69	0.00	116.69	91.0	106.19
04/19/2017	FOSTER, MARGO	SAWTOOTH	0.00	125.34	0.00	125.34	91.0	114.06
04/19/2017	FOSTER, JOHN	SAWTOOTH	0.00	271.57	0.00	271.57	91.0	247.13
04/19/2017	FOSTER, JOHN	SAWTOOTH	0.00	455.52	0.00	455.52	91.0	414.52
04/19/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	300.65	0.00	300.65	91.0	273.59
04/19/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	489.01	0.00	489.01	91.0	445.00
04/19/2017	GODLESKI, KEVIN	SAWTOOTH	0.00	280.87	0.00	280.87	91.0	255.59
04/19/2017	GODLESKI, KIRSTEN	SAWTOOTH	0.00	197.78	0.00	197.78	91.0	179.98
04/19/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	95.00	0.00	95.00	91.0	86.45
04/19/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	1,132.31	0.00	1,132.31	91.0	1,030.40
04/19/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	722.55	0.00	722.55	91.0	657.52
04/19/2017	GODLESKI, VINCENT	SAWTOOTH	0.00	2,759.11	0.00	2,759.11	91.0	2,510.79
04/19/2017	GODLESKI, KEVIN	SAWTOOTH	0.00	4,966.87	0.00	4,966.87	91.0	4,519.85
04/19/2017	HADAWAY, DEBORAH	SAWTOOTH	0.00	191.27	0.00	191.27	91.0	174.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/19/2017	HEALEY, BRIAN	SAWTOOTH	0.00	69.63	0.00	69.63	91.0	63.36
04/19/2017	HEALEY, BRIAN	SAWTOOTH	0.00	44.60	0.00	44.60	91.0	40.59
04/19/2017	HOFFMAN, ADON	SAWTOOTH	0.00	251.04	0.00	251.04	91.0	228.45
04/19/2017	HOFFMAN, ADON	SAWTOOTH	0.00	111.01	0.00	111.01	91.0	101.02
04/19/2017	HRITZ, WILLIAM	SAWTOOTH	0.00	894.45	0.00	894.45	91.0	813.95
04/19/2017	HRITZ, GAIL	SAWTOOTH	0.00	814.25	0.00	814.25	91.0	740.97
04/19/2017	JAMES, GILBERT	SAWTOOTH	0.00	153.64	0.00	153.64	91.0	139.81
04/19/2017	KARP, BENJAMIN	SAWTOOTH	0.00	257.46	0.00	257.46	91.0	234.29
04/19/2017	KARP, BENJAMIN	SAWTOOTH	0.00	62.18	0.00	62.18	91.0	56.58
04/19/2017	KASIAN, JUSTIN PHILLIP	SAWTOOTH	0.00	2,485.74	0.00	2,485.74	91.0	2,262.02
04/19/2017	KASIAN, PHYLLIS	SAWTOOTH	0.00	25.27	0.00	25.27	91.0	23.00
04/19/2017	KASIAN, EVAN	SAWTOOTH	0.00	137.82	0.00	137.82	91.0	125.42
04/19/2017	KRAUS, JOHN	SAWTOOTH	0.00	283.85	0.00	283.85	91.0	258.30
04/19/2017	KRAUS, JOHN	SAWTOOTH	0.00	66.96	0.00	66.96	91.0	60.93
04/19/2017	LAPE, ALEXIS	SAWTOOTH	0.00	92.81	0.00	92.81	91.0	84.46
04/19/2017	LAPE, ALEXIS	SAWTOOTH	0.00	103.83	0.00	103.83	91.0	94.49
04/19/2017	LEHMAN, HILDA	SAWTOOTH	0.00	408.56	0.00	408.56	91.0	371.79
04/19/2017	LEHMAN, LAVERN	SAWTOOTH	0.00	523.67	0.00	523.67	91.0	476.54
04/19/2017	LEHMAN, LAVERN	SAWTOOTH	0.00	98.87	0.00	98.87	91.0	89.97
04/19/2017	LONG, SUSAN	SAWTOOTH	0.00	118.40	0.00	118.40	91.0	107.74
04/19/2017	LONG, SUSAN	SAWTOOTH	0.00	286.33	0.00	286.33	91.0	260.56
04/19/2017	LONG, SUSAN	SAWTOOTH	0.00	1,375.20	0.00	1,375.20	91.0	1,251.43
04/19/2017	LONG, SUSAN	SAWTOOTH	0.00	439.62	0.00	439.62	91.0	400.05
04/19/2017	MANN, BARBARA	SAWTOOTH	0.00	12.65	0.00	12.65	91.0	11.51
04/19/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	599.07	0.00	599.07	91.0	545.15
04/19/2017	MCBRIDE, ALLIS	SAWTOOTH	0.00	82.98	0.00	82.98	91.0	75.51
04/19/2017	MCBRIDE, ALLIS	SAWTOOTH	0.00	530.81	0.00	530.81	91.0	483.04
04/19/2017	MCBRIDE, ALLIS	SAWTOOTH	0.00	7.69	0.00	7.69	91.0	7.00
04/19/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	180.87	0.00	180.87	91.0	164.59
04/19/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	459.58	0.00	459.58	91.0	418.22
04/19/2017	MOORE, THOMAS	SAWTOOTH	0.00	412.77	0.00	412.77	91.0	375.62
04/19/2017	MORGAN, JUDITH	SAWTOOTH	0.00	122.07	0.00	122.07	91.0	111.08
04/19/2017	MORGAN, RONALD	SAWTOOTH	0.00	43.73	0.00	43.73	91.0	39.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/19/2017	MORGAN, RONALD	SAWTOOTH	0.00	331.76	0.00	331.76	91.0	301.90
04/19/2017	MORGAN, RONALD	SAWTOOTH	0.00	100.68	0.00	100.68	91.0	91.62
04/19/2017	MOSS, JANICE	SAWTOOTH	0.00	1,272.02	0.00	1,272.02	91.0	1,157.54
04/19/2017	NEUHAUSER, MITCHELL	SAWTOOTH	0.00	2,120.91	0.00	2,120.91	91.0	1,930.03
04/19/2017	NEUHAUSER, MITCH	SAWTOOTH	0.00	182.69	0.00	182.69	91.0	166.25
04/19/2017	NEUHAUSER, MITCH	SAWTOOTH	0.00	186.92	0.00	186.92	91.0	170.10
04/19/2017	NEUHAUSER, STACEY	SAWTOOTH	0.00	1,671.31	0.00	1,671.31	91.0	1,520.89
04/19/2017	NEUHAUSER, STACEY	SAWTOOTH	0.00	60.55	0.00	60.55	91.0	55.10
04/19/2017	OTTENSMEYER, ERIC	SAWTOOTH	0.00	147.15	0.00	147.15	91.0	133.91
04/19/2017	PAI, YOGISH	SAWTOOTH	0.00	575.33	0.00	575.33	91.0	523.55
04/19/2017	PAI, ANITHA	SAWTOOTH	0.00	639.20	0.00	639.20	91.0	581.67
04/19/2017	PARKER, JEANINE	SAWTOOTH	0.00	2,665.34	0.00	2,665.34	91.0	2,425.46
04/19/2017	SAMPLES, FAY	SAWTOOTH	0.00	43.43	0.00	43.43	91.0	39.52
04/19/2017	STEPHENS, DEE	SAWTOOTH	0.00	45.32	0.00	45.32	91.0	41.24
04/19/2017	STEPHENS, DEE	SAWTOOTH	0.00	136.49	0.00	136.49	91.0	124.21
04/19/2017	STEPHENS, DEE	SAWTOOTH	0.00	179.07	0.00	179.07	91.0	162.95
04/19/2017	STONE, ERIN	SAWTOOTH	0.00	429.16	0.00	429.16	91.0	390.54
04/19/2017	TROWBRIDGE, JANE	SAWTOOTH	0.00	225.19	0.00	225.19	91.0	204.92
04/19/2017	SINGH, RIGINIE	SAWTOOTH	0.00	837.62	0.00	837.62	91.0	762.23
04/19/2017	VERMA, ANAND	SAWTOOTH	0.00	771.40	0.00	771.40	91.0	701.97
04/19/2017	VOGEL, ROBERT	SAWTOOTH	0.00	607.17	0.00	607.17	91.0	552.52
04/19/2017	VOGEL, ROBERT	SAWTOOTH	0.00	13.47	0.00	13.47	91.0	12.26
04/19/2017	VOGEL, ROBERT	SAWTOOTH	0.00	2,044.93	0.00	2,044.93	91.0	1,860.89
04/19/2017	WHELDON, ALYSON	SAWTOOTH	0.00	64.66	0.00	64.66	91.0	58.84
04/19/2017	ZARAPKAR, AMUL	SAWTOOTH	0.00	500.69	0.00	500.69	91.0	455.63
04/19/2017	ZARAPKAR, SUMAN	SAWTOOTH	0.00	337.21	0.00	337.21	91.0	306.86
<b>* RIA SUBTOTAL *</b>			<b>\$1,324,273.08</b>	<b>\$45,881.50</b>	<b>\$0.00</b>	<b>\$45,881.50</b>		<b>\$41,752.17</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,324,273.08</b>	<b>\$45,881.50</b>	<b>\$0.00</b>	<b>\$45,881.50</b>		<b>\$41,752.17</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/30/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-442.93
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-442.93

**BROKER COMMISSION TOTALS**

Broker Transactions	\$41,752.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-442.93
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,309.24
Year-to-date Gross	\$103,080.67
Year-to-date Earnings	\$93,803.47

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