



COMMISSION STATEMENT

October 15, 2017

K146-JASON KEMBLE
4106 E. Doe Creek DriveBROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON KAUFMAN/KEMBLE #349								
10/03/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	64.31	0.29	0.00	0.29	90.0	0.26
10/03/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	64.31	0.29	0.00	0.29	90.0	0.26
10/02/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	11,098.17	212.25	0.00	212.25	90.0	191.03
10/03/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
10/03/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.09	0.00	0.09	90.0	0.08
10/06/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	50,000.00	843.75	0.00	843.75	90.0	759.38
09/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
10/02/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	82.27	0.37	0.00	0.37	90.0	0.33
10/02/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	49.36	0.22	0.00	0.22	90.0	0.20
10/03/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
10/03/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
10/03/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
10/03/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	6.54	0.03	0.00	0.03	90.0	0.03
10/03/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	40.09	0.18	0.00	0.18	90.0	0.16
10/03/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	40.09	0.18	0.00	0.18	90.0	0.16
10/03/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
10/03/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
10/03/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
10/03/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
10/06/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	250.00	1.80	0.00	1.80	90.0	1.62
10/02/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	1.80	0.00	1.80	90.0	1.62
10/02/2017	HAGUE, VICTORIA	AM FUNDS ICA A	68.79	0.31	0.00	0.31	90.0	0.28
10/03/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.99	0.05	0.00	0.05	90.0	0.05
10/03/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.99	0.05	0.00	0.05	90.0	0.05
10/03/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08
10/03/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.09	0.00	0.09	90.0	0.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
10/03/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
10/03/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
10/06/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	250.00	1.80	0.00	1.80	90.0	1.62
10/02/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
10/03/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
10/03/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
10/03/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
10/03/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
10/06/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	250.00	1.80	0.00	1.80	90.0	1.62
10/02/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.45	0.00	0.45	90.0	0.41
10/02/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.20	0.08	0.00	0.08	90.0	0.07
10/02/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	82.27	0.37	0.00	0.37	90.0	0.33
10/02/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	49.36	0.22	0.00	0.22	90.0	0.20
10/03/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
10/03/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
10/03/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
10/03/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
10/06/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	250.00	1.80	0.00	1.80	90.0	1.62
09/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	24.75	0.00	24.75	90.0	22.28
09/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	3.41	0.00	3.41	90.0	3.07
09/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	16.02	0.00	16.02	90.0	14.42
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$65,051.68	\$1,122.49	\$0.00	\$1,122.49		\$1,010.30
<u>BROKER: JASON KEMBLE #394</u>								
09/29/2017	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	3,338.37	85.13	0.00	85.13	90.0	76.62
09/25/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.00	0.00	3.00	90.0	2.70
09/25/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	3.00	0.00	3.00	90.0	2.70
* JASON KEMBLE SUBTOTAL *			\$3,538.37	\$91.13	\$0.00	\$91.13		\$82.02
*** SPLIT TRANSACTIONS TOTAL ***			\$68,590.05	\$1,213.62	\$0.00	\$1,213.62		\$1,092.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*EO INS: CURRENT MONTH INS-KEMBLE	0.00					-300.00
10/15/2017		*TECH: CURRENT MONTH TECH-KEMBLE	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,092.32
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$69.66
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$586.98
Year-to-date Gross	\$41,964.93
Year-to-date Earnings	\$37,769.17

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