



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 30, 2017

09/28/2017
Page No. 1

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	GAGNON, JOHN	AM FUNDS AMERICAN BALANCED C	0.00	15.08	0.00	15.08	0.0	0.00
09/01/2017	BRINICH, EVA	AM FUNDS AMERICAN BALANCED C	0.00	4.93	0.00	4.93	0.0	0.00
09/01/2017	ROMA, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.59	0.00	22.59	0.0	0.00
09/01/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	18.85	0.00	18.85	0.0	0.00
09/01/2017	SLACK, DONITA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	42.86	0.00	42.86	0.0	0.00
09/01/2017	SLACK, DONITA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	48.99	0.00	48.99	0.0	0.00
09/01/2017	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.67	0.00	15.67	0.0	0.00
09/01/2017	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.07	0.00	18.07	0.0	0.00
09/01/2017	GAGNON, JOHN	AM FUNDS INCOME FUND OF AMERICA C	0.00	12.58	0.00	12.58	0.0	0.00
09/01/2017	CROCKETT, SUZANNE	AM FUNDS INCOME FUND OF AMERICA C	0.00	27.37	0.00	27.37	0.0	0.00
09/01/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	0.00	13.02	0.00	13.02	0.0	0.00
09/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
09/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
09/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	0.00
09/12/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.00
09/12/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.00
09/05/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,325.00	\$254.20	\$0.00	\$254.20		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.00
09/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	0.00
09/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	0.00
09/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$842.95	\$9.03	\$0.00	\$9.03		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,167.95	\$263.23	\$0.00	\$263.23		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$6,426.16
Year-to-date Earnings	\$0.00