

**COMMISSION STATEMENT****December 15, 2017**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: J1D
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^11/22/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.50	0.00	4.50	100.0	0.00
^11/16/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.28	0.00	13.28	100.0	0.00
^11/16/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.21	0.00	11.21	100.0	0.00
^11/16/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.64	0.00	33.64	100.0	0.00
^11/16/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.63	0.00	10.63	100.0	0.00
^11/16/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.89	0.00	31.89	100.0	0.00
^11/16/2017	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.37	0.00	1.37	100.0	0.00
^11/16/2017	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.12	0.00	4.12	100.0	0.00
^11/16/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.80	0.00	6.80	100.0	0.00
^11/16/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.39	0.00	20.39	100.0	0.00
^11/16/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.59	0.00	10.59	100.0	0.00
^11/16/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.76	0.00	31.76	100.0	0.00
^11/16/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.40	0.00	4.40	100.0	0.00
^11/22/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	3.83	0.00	3.83	100.0	0.00
^11/22/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.71	0.00	4.71	100.0	0.00
^11/22/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.33	0.00	3.33	100.0	0.00
^11/20/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.83	0.00	0.83	100.0	0.00
^11/20/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	17.75	0.00	17.75	100.0	0.00
^11/20/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.69	0.00	0.69	100.0	0.00
^11/10/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	139.79	0.00	139.79	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$355.51	\$0.00	\$355.51		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$355.51	\$0.00	\$355.51		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00