

**COMMISSION STATEMENT****July 31, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/25/2017	PICCIANO, DEAN	PACIFIC FINANCIAL	117,698.77	84.55	0.00	84.55	90.0	76.10
07/13/2017	FREISTROFFER, HENRY	TD AMERITRADE	497,985.06	815.88	0.00	815.88	90.0	734.29
07/13/2017	FREISTROFFER, HENRY	TD AMERITRADE	6,489.82	10.63	0.00	10.63	90.0	9.57
07/18/2017	RIEBE, ALAN	TD AMERITRADE	0.00	524.29	0.00	524.29	90.0	471.86
* RIA SUBTOTAL *			\$622,173.65	\$1,435.35	\$0.00	\$1,435.35		\$1,291.82
*** BROKER TRANSACTIONS TOTAL ***			\$622,173.65	\$1,435.35	\$0.00	\$1,435.35		\$1,291.82

BROKER COMMISSION TOTALS

Broker Transactions	\$1,291.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,291.82
Year-to-date Gross	\$4,075.19
Year-to-date Earnings	\$3,667.68

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed