



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

C148 DAN/MARC FT-CHARLEY/LINSKY

BROKER #: C148
 BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^08/02/2017	EORIO, JOSEPH	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$0.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^07/28/2017	ANDREWS, THERESA	GRIFFIN INST'L ACCESS R E FUND C	7,000.00	70.00	0.00	70.00	100.0	0.00
^07/28/2017	ANDREWS, THERESA	GRIFFIN INST'L ACCESS R E FUND C	20,000.00	200.00	0.00	200.00	100.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$27,000.00	\$270.00	\$0.00	\$270.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/27/2017	ANDREWS, THERESA	PIMCO TOTAL RETURN D	-7,268.78	0.00	0.00	0.00	100.0	0.00
^07/27/2017	ANDREWS, THERESA	PIMCO TOTAL RETURN D	-20,456.45	0.00	0.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-27,725.23	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
^06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	-5,703.02	0.00	-5,703.02	100.0	0.00
^06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	11,406.06	0.00	11,406.06	100.0	0.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$380,201.84	\$5,703.04	\$0.00	\$5,703.04		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/18/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.92	0.00	0.92	100.0	0.00
^07/21/2017	ANDERSON, WILLIAM	AMERCIAN CENTURY CORE PLUS FUND CLA	0.00	5.55	0.00	5.55	100.0	0.00
^07/28/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	4.78	0.00	4.78	100.0	0.00
^07/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.69	0.00	1.69	100.0	0.00
^07/17/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.68	0.00	6.68	100.0	0.00
^07/17/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.81	0.00	2.81	100.0	0.00
^07/17/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.20	0.00	4.20	100.0	0.00
^07/26/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	11.63	0.00	11.63	100.0	0.00
^07/14/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	12.28	0.00	12.28	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^07/14/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	4.30	0.00	4.30	100.0	0.00
^07/14/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.88	0.00	1.88	100.0	0.00
^07/18/2017	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	4.82	0.00	4.82	100.0	0.00
^07/24/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	6.05	0.00	6.05	100.0	0.00
^07/14/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.85	0.00	0.85	100.0	0.00
^07/21/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.91	0.00	1.91	100.0	0.00
^07/26/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.32	0.00	3.32	100.0	0.00
^07/13/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.92	0.00	1.92	100.0	0.00
^07/13/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.01	0.00	1.01	100.0	0.00
^07/13/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.32	0.00	0.32	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$76.92	\$0.00	\$76.92		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$479,476.61	\$13,049.96	\$0.00	\$13,049.96		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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