

**COMMISSION STATEMENT****January 15, 2018****DANIEL RAUPP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: R132

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/29/2017	GARRETT, DIANA	AXA ACCUM 07 ELITE (RO IRA)	62,841.85	157.10	0.00	157.10	100.0	157.10
01/03/2018	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	668,009.59	567.35	0.00	567.35	100.0	567.35
12/31/2017	TRAINOR, EDWARD	JNL PERSPECTIVE L	66,688.61	55.57	0.00	55.57	100.0	55.57
12/31/2017	LOYE, ARTHUR	LINCOLN BENEFIT LIFE	0.00	104.26	0.00	104.26	100.0	104.26
12/31/2017	MAZZATTI, LEONARD	PRU PREMIER RETIREMENT L	96,532.97	241.33	0.00	241.33	100.0	241.33
12/31/2017	SPODEK	PRU PREMIER RETIREMENT L	217,981.90	544.95	0.00	544.95	100.0	544.95
12/29/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	57,014.88	47.51	0.00	47.51	100.0	47.51
* VARIABLE ANNUITIES SUBTOTAL *			\$1,169,069.80	\$1,718.07	\$0.00	\$1,718.07		\$1,718.07
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/06/2017	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	115.42	0.00	115.42	100.0	115.42
12/19/2017	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.68	0.00	2.68	100.0	2.68
12/19/2017	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.33	0.00	4.33	100.0	4.33
12/13/2017	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.11	0.00	2.11	100.0	2.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$124.54	\$0.00	\$124.54		\$124.54
*** DIRECT TRANSACTIONS TOTAL ***			\$1,169,069.80	\$1,842.61	\$0.00	\$1,842.61		\$1,842.61

SPLIT TRANSACTIONS**BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251**

12/21/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.16	0.00	1.16	100.0	1.16
12/21/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.48	0.00	3.48	100.0	3.48
12/06/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.72	0.00	2.72	100.0	2.72
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$7.36	\$0.00	\$7.36		\$7.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
12/06/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.32	0.00	1.32	100.0	1.32
12/06/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.14	0.00	3.14	100.0	3.14
12/06/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.80	0.00	3.80	100.0	3.80
12/06/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.32	0.00	1.32	100.0	1.32
12/13/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.77	0.00	3.77	100.0	3.77
12/14/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.96	0.00	1.96	100.0	1.96
12/14/2017	RENEGAR, ROBERT	GPB WASTE MANAGEMENT LP	50,000.00	1,750.00	0.00	1,750.00	100.0	1,750.00
12/19/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.05	0.00	4.05	100.0	4.05
12/19/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.37	0.00	2.37	100.0	2.37
12/19/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.24	0.00	0.24	100.0	0.24
12/19/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	100.0	0.21
12/19/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.20	0.00	1.20	100.0	1.20
12/19/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	100.0	0.28
12/19/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	100.0	0.31
12/19/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.15	0.00	0.15	100.0	0.15
01/05/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	-13,394.15	0.00	0.00	0.00	100.0	0.00
01/05/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	-2,985.65	0.00	0.00	0.00	100.0	0.00
12/20/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	100.0	0.11
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$33,620.20	\$1,774.23	\$0.00	\$1,774.23		\$1,774.23

BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253

12/06/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.95	0.00	0.95	100.0	0.95
12/06/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.42	0.00	0.42	100.0	0.42
12/06/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.02	0.00	1.02	100.0	1.02
12/13/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.52	0.00	0.52	100.0	0.52
12/13/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.08	0.00	2.08	100.0	2.08
12/13/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.29	0.00	1.29	100.0	1.29
12/29/2017	IAQUINTO, JOSEPH	GPB WASTE MANAGEMENT LP	400,000.00	7,000.00	0.00	7,000.00	100.0	7,000.00
12/18/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.51	0.00	0.51	100.0	0.51
12/18/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.83	0.00	0.83	100.0	0.83
12/14/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.13	0.00	0.13	100.0	0.13
12/19/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.43	0.00	0.43	100.0	0.43
12/19/2017	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.40	0.00	0.40	100.0	0.40
12/19/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	100.0	0.71

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
12/19/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.09	0.00	0.09	100.0	0.09
12/19/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.56	0.00	0.56	100.0	0.56
12/19/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	100.0	0.35
12/19/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.20	0.00	0.20	100.0	0.20
12/19/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.44	0.00	0.44	100.0	0.44
12/14/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.16	0.00	5.16	100.0	5.16
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$400,000.00	\$7,016.09	\$0.00	\$7,016.09		\$7,016.09
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
12/15/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.09	0.00	0.09	100.0	0.09
12/18/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.21	0.00	0.21	100.0	0.21
12/18/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.36	0.00	0.36	100.0	0.36
12/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.58	0.00	0.58	100.0	0.58
12/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.39	0.00	0.39	100.0	0.39
12/28/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	-6,246.85	0.00	0.00	0.00	100.0	0.00
12/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.25	0.00	0.25	100.0	0.25
12/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.50	0.00	0.50	100.0	0.50
12/28/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	-3,444.72	0.00	0.00	0.00	100.0	0.00
12/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.06	0.00	3.06	100.0	3.06
12/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.72	0.00	2.72	100.0	2.72
12/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.41	0.00	0.41	100.0	0.41
12/28/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	-6,124.75	0.00	0.00	0.00	100.0	0.00
12/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.29	0.00	0.29	100.0	0.29
12/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	100.0	0.20
12/28/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	-4,216.92	0.00	0.00	0.00	100.0	0.00
12/19/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.03	0.00	0.03	100.0	0.03
12/15/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	100.0	0.36
12/15/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.59	0.00	1.59	100.0	1.59
12/20/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.73	0.00	0.73	100.0	0.73
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$-20,033.24	\$11.77	\$0.00	\$11.77		\$11.77
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
12/22/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.18	0.00	2.18	100.0	2.18
12/21/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.47	0.00	6.47	100.0	6.47

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
12/21/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.46	0.00	5.46	100.0	5.46
12/21/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.39	0.00	16.39	100.0	16.39
12/21/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.18	0.00	5.18	100.0	5.18
12/21/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.54	0.00	15.54	100.0	15.54
12/18/2017	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.18	0.00	5.18	100.0	5.18
12/18/2017	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.55	0.00	15.55	100.0	15.55
12/18/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.31	0.00	3.31	100.0	3.31
12/18/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.95	0.00	9.95	100.0	9.95
12/18/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.16	0.00	5.16	100.0	5.16
12/18/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.50	0.00	15.50	100.0	15.50
12/18/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.15	0.00	2.15	100.0	2.15
12/14/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.91	0.00	1.91	100.0	1.91
12/14/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.31	0.00	2.31	100.0	2.31
12/14/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.61	0.00	1.61	100.0	1.61
12/19/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	100.0	0.39
12/19/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.33	0.00	8.33	100.0	8.33
12/19/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	100.0	0.33
12/15/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	67.87	0.00	67.87	100.0	67.87
12/14/2017	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.18	0.00	2.18	100.0	2.18
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$0.00	\$192.95	\$0.00	\$192.95		\$192.95
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
12/06/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	28.64	0.00	28.64	100.0	28.64
12/06/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.76	0.00	2.76	100.0	2.76
01/08/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	-71,195.62	11.00	11.00	0.00	100.0	0.00
01/08/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	-143,453.87	11.00	11.00	0.00	100.0	0.00
12/18/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	100.0	0.99
12/19/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.86	0.00	5.86	100.0	5.86
12/19/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.39	0.00	2.39	100.0	2.39
12/19/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.95	0.00	3.95	100.0	3.95
12/19/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.07	0.00	1.07	100.0	1.07
12/19/2017	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	100.0	0.57
12/19/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.84	0.00	4.84	100.0	4.84
12/19/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.43	0.00	1.43	100.0	1.43

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
12/19/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.68	0.00	7.68	100.0	7.68
12/19/2017	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.34	0.00	4.34	100.0	4.34
12/19/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.97	0.00	0.97	100.0	0.97
12/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	4.86	0.00	4.86	100.0	4.86
01/09/2018	DOLCE, CHARLES	VANGUARD ADMIRAL FDS INC S&P 500 GR	69,678.00	75.00	11.37	63.63	100.0	63.63
01/09/2018	DOLCE, JENNIFER	VANGUARD ADMIRAL FDS INC S&P 500 GR	145,782.68	150.00	11.76	138.24	100.0	138.24
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$811.19	\$317.35	\$45.13	\$272.22		\$272.22
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
12/15/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	100.0	0.08
12/06/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.26	0.00	2.26	100.0	2.26
12/06/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.37	0.00	0.37	100.0	0.37
12/06/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.21	0.00	1.21	100.0	1.21
12/06/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.67	0.00	1.67	100.0	1.67
12/22/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.79	0.00	0.79	100.0	0.79
12/14/2017	CAVEGN, JOSEPH	GPB WASTE MANAGEMENT LP	90,000.00	1,575.00	0.00	1,575.00	100.0	1,575.00
12/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.95	0.00	0.95	100.0	0.95
12/19/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.12	0.00	2.12	100.0	2.12
12/19/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	100.0	0.12
12/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.33	0.00	1.33	100.0	1.33
12/19/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.92	0.00	0.92	100.0	0.92
12/15/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.38	0.00	2.38	100.0	2.38
12/13/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.73	0.00	0.73	100.0	0.73
12/13/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.74	0.00	0.74	100.0	0.74
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$90,000.00	\$1,590.67	\$0.00	\$1,590.67		\$1,590.67
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
07/12/2017	SUROWITZ, DOUGLAS	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	875.00	0.00	875.00	100.0	875.00
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$50,000.00	\$875.00	\$0.00	\$875.00		\$875.00
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
12/06/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.68	0.00	3.68	100.0	3.68
01/02/2018	BABB, VICTOR	INDEXIQ ETF TR IQ HEDGE MULTI-STRAT	-2,469.43	0.00	7.64	-7.64	100.0	-7.64
01/02/2018	BABB, VICTOR	ISHARES TR S&P U S PFD STK INDEX FD	-3,079.70	0.00	7.64	-7.64	100.0	-7.64
12/19/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.69	0.00	0.69	100.0	0.69

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
01/02/2018	BABB, VICTOR	NEW YORK REIT INC COM	-1,155.27	0.00	7.64	-7.64	100.0	-7.64
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$-6,704.40	\$4.37	\$22.92	\$-18.55		\$-18.55
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
12/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.51	0.00	0.51	100.0	0.51
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.51	\$0.00	\$0.51		\$0.51
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
12/15/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	100.0	0.03
12/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.84	0.00	0.84	100.0	0.84
12/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.14	0.00	2.14	100.0	2.14
12/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.41	0.00	1.41	100.0	1.41
12/14/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.03	0.00	1.03	100.0	1.03
12/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.08	0.00	0.08	100.0	0.08
12/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.08	0.00	0.08	100.0	0.08
01/08/2018	WASSERMAN TRUST, NINA	APHRIA INC COM ISIN#CA03765K1049	5,102.70	0.00	3.38	-3.38	100.0	-3.38
01/09/2018	WASSERMAN TRUST, NINA	APHRIA INC COM ISIN#CA03765K1049	-4,447.30	0.00	3.38	-3.38	100.0	-3.38
12/21/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	100.0	0.15
12/21/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.39	0.00	0.39	100.0	0.39
12/21/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.41	0.00	1.41	100.0	1.41
12/21/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.24	0.00	4.24	100.0	4.24
01/08/2018	WASSERMAN TRUST, NINA	CANNABICS PHARMACEUTICALS INC COM	3,495.00	0.00	3.64	-3.64	100.0	-3.64
01/08/2018	WASSERMAN TRUST, NINA	CANNABIS SCIENCE INC COM	5,332.50	0.00	4.05	-4.05	100.0	-4.05
01/08/2018	WASSERMAN TRUST, NINA	CANNABIS SCIENCE INC COM	595.00	0.00	4.05	-4.05	100.0	-4.05
01/09/2018	WASSERMAN TRUST, NINA	CANNABIS SCIENCE INC COM	-5,148.00	0.00	4.05	-4.05	100.0	-4.05
01/08/2018	WASSERMAN TRUST, NINA	CANOPY GROWTH CORP SHS LKD TO S&P G	6,005.80	0.00	2.97	-2.97	100.0	-2.97
01/08/2018	WASSERMAN TRUST, NINA	CANOPY GROWTH CORP SHS LKD TO S&P G	1,501.45	0.00	1.76	-1.76	100.0	-1.76
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	100.0	0.39
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.17	0.00	1.17	100.0	1.17
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.49	0.00	0.49	100.0	0.49
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.46	0.00	1.46	100.0	1.46
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.32	0.00	0.32	100.0	0.32
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.97	0.00	0.97	100.0	0.97
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	100.0	0.39

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.17	0.00	1.17	100.0	1.17
12/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.38	0.00	1.38	100.0	1.38
12/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.14	0.00	4.14	100.0	4.14
12/18/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.25	0.00	0.25	100.0	0.25
12/18/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.38	0.00	0.38	100.0	0.38
12/18/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.66	0.00	0.66	100.0	0.66
12/18/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.53	0.00	0.53	100.0	0.53
12/18/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.45	0.00	0.45	100.0	0.45
12/18/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.63	0.00	0.63	100.0	0.63
12/18/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.91	0.00	1.91	100.0	1.91
01/08/2018	WASSERMAN TRUST, NINA	HEMP INC COM NEW	1,805.00	0.00	4.05	-4.05	100.0	-4.05
12/20/2017	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.05	0.00	0.05	100.0	0.05
12/20/2017	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.05	0.00	0.05	100.0	0.05
01/08/2018	WASSERMAN TRUST, NINA	MEDRELEAF CORP REGISTERED SHS ISIN#	6,903.00	0.00	3.38	-3.38	100.0	-3.38
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$21,145.15	\$28.59	\$34.71	\$-6.12		\$-6.12
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
12/26/2017	TRINKLEIN, FRITZ	VANGUARD EMERGING MARKETS GOVERNMEN	13,655.00	0.00	16.50	-16.50	100.0	-16.50
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$13,655.00	\$0.00	\$16.50	\$-16.50		\$-16.50
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
12/19/2017	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.61	0.00	1.61	100.0	1.61
12/15/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.63	0.00	4.63	100.0	4.63
10/13/2017	CSD FAIRWAYS HLDGS	PASSCO PARKER DST	2,852,710.00	16,160.61	0.00	16,160.61	100.0	16,160.61
10/13/2017	FHL PARK CRESCENT	PASSCO PARKER DST	4,238,540.00	24,011.33	0.00	24,011.33	100.0	24,011.33
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$7,091,250.00	\$40,178.18	\$0.00	\$40,178.18		\$40,178.18
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
12/15/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	100.0	0.08
12/15/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.03	0.00	0.03	100.0	0.03
12/20/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.01	0.00	0.01	100.0	0.01
12/18/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.45	0.00	0.45	100.0	0.45
12/18/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.32	0.00	0.32	100.0	0.32
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$0.89	\$0.00	\$0.89		\$0.89

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<u> OVERRIDE TRANSACTIONS </u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$7,673,743.90	\$51,997.96	\$119.26	\$51,878.70		\$51,878.70
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #10</u>								
01/02/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
01/02/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.17
01/02/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
12/28/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	400.00	10.00	0.00	10.00	90.0	0.17
12/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
12/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$1.05
<u>BROKER: JEFFREY KIESNOSKI #243</u>								
12/06/2017	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.04	0.00	3.04	94.0	0.18
12/21/2017	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.28	0.00	0.28	94.0	0.02
12/14/2017	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.77	0.00	2.77	94.0	0.17
12/14/2017	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.61	0.00	0.61	94.0	0.04
12/19/2017	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.36	0.00	0.36	94.0	0.02
12/11/2017	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.25	0.00	2.25	94.0	0.14
12/11/2017	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.09	0.00	1.09	94.0	0.07
12/15/2017	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.02	0.00	1.02	94.0	0.06
12/15/2017	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.10	0.00	0.10	94.0	0.01
* JEFFREY KIESNOSKI SUBTOTAL *			\$0.00	\$11.52	\$0.00	\$11.52		\$0.71
<u>BROKER: ROY PFLEGER II #244</u>								
12/18/2017	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.37	0.00	1.37	75.0	0.17
* ROY PFLEGER II SUBTOTAL *			\$0.00	\$1.37	\$0.00	\$1.37		\$0.17
<u>BROKER: JON PAUL CIRELLI #246</u>								
12/21/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.78	0.00	2.78	95.0	0.14
12/21/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.76	0.00	10.76	95.0	0.54
12/21/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.29	0.00	32.29	95.0	1.61
12/18/2017	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.49	0.00	5.49	95.0	0.27
12/18/2017	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.26	0.00	10.26	95.0	0.51
12/19/2017	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.35	0.00	5.35	95.0	0.27

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JON PAUL CIRELLI #246</u>								
12/27/2017	SUSICK, KIRSTEN L DOLAN	METLIFE SHIELD LEVEL SELECT 6 YR	204,314.88	12,258.90	0.00	12,258.90	95.0	612.95
		* JON PAUL CIRELLI SUBTOTAL *	\$204,314.88	\$12,325.83	\$0.00	\$12,325.83		\$616.29
<u>BROKER: ALAN LICHTENSTEIN #249</u>								
12/22/2017	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.19	0.00	3.19	75.0	0.40
12/18/2017	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.13	0.00	2.13	75.0	0.27
		* ALAN LICHTENSTEIN SUBTOTAL *	\$0.00	\$5.32	\$0.00	\$5.32		\$0.67
<u>BROKER: JOHN KLETT #250</u>								
12/18/2017	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.03	0.00	6.03	75.0	0.75
		* JOHN KLETT SUBTOTAL *	\$0.00	\$6.03	\$0.00	\$6.03		\$0.75
<u>BROKER: MARC LINSKY #263</u>								
11/30/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	0.06
12/14/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	0.06
12/28/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	0.06
12/11/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.87	0.00	0.87	90.0	0.01
12/11/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	12.45	0.00	12.45	90.0	0.21
12/29/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	121,852.14	304.63	0.00	304.63	90.0	5.18
12/29/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,037.61	92.59	0.00	92.59	90.0	1.57
12/29/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	277,477.38	693.69	0.00	693.69	90.0	11.79
12/29/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	78,985.35	197.46	0.00	197.46	90.0	3.36
12/29/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	22,637.25	56.59	0.00	56.59	90.0	0.96
12/29/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	141,411.01	353.53	0.00	353.53	90.0	6.01
12/14/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.14	0.00	4.14	90.0	0.07
12/15/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.84	0.00	3.84	90.0	0.07
12/15/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.40	0.00	6.40	90.0	0.11
12/15/2017	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.42	0.00	0.42	90.0	0.01
12/15/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.46	0.00	1.46	90.0	0.02
12/15/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.01
12/15/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.01
12/15/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	0.98	0.00	0.98	90.0	0.02
12/15/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.02
12/15/2017	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.01
12/15/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.81	0.00	3.81	90.0	0.06

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
12/15/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.03	0.00	3.03	90.0	0.05
12/15/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.27	0.00	1.27	90.0	0.02
12/15/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.01
12/15/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.77	0.00	2.77	90.0	0.05
12/15/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.83	0.00	13.83	90.0	0.24
12/15/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.54	0.00	1.54	90.0	0.03
01/02/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
12/15/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	90.0	0.02
12/15/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.57	0.00	4.57	90.0	0.08
12/15/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.52	0.00	1.52	90.0	0.03
12/15/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.26	0.00	6.26	90.0	0.11
12/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.65	0.00	4.65	90.0	0.08
12/15/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.79	0.00	1.79	90.0	0.03
12/15/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.01
12/15/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	90.0	0.02
12/15/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.41	0.00	1.41	90.0	0.02
12/15/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
12/15/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.02
12/15/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
12/15/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.70	0.00	2.70	90.0	0.05
12/15/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.65	0.00	2.65	90.0	0.05
12/15/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.43	0.00	5.43	90.0	0.09
12/15/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.52	0.00	5.52	90.0	0.09
12/15/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.48	0.00	1.48	90.0	0.03
12/15/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.87	0.00	8.87	90.0	0.15
12/15/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.30	0.00	0.30	90.0	0.01
12/15/2017	LINSKY, MARC	AM FUNDS ICA A	0.00	0.42	0.00	0.42	90.0	0.01
12/15/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
12/15/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.92	0.00	0.92	90.0	0.02
12/15/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
12/15/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.57	0.00	0.57	90.0	0.01
12/15/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.57	0.00	0.57	90.0	0.01
12/15/2017	APPLEGATE, PAMAEALA	AM FUNDS ICA A	0.00	0.99	0.00	0.99	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: MARC LINSKY #263								
12/15/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.33	0.00	5.33	90.0	0.09
12/15/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.45	0.00	12.45	90.0	0.21
12/15/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.83	0.00	4.83	90.0	0.08
12/15/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.63	0.00	4.63	90.0	0.08
12/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.87	0.00	4.87	90.0	0.08
12/15/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.27	0.00	4.27	90.0	0.07
12/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.57	0.00	20.57	90.0	0.35
12/15/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.05	0.00	3.05	90.0	0.05
12/15/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.76	0.00	0.76	90.0	0.01
12/15/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.76	0.00	0.76	90.0	0.01
12/15/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	0.00	1.09	90.0	0.02
12/15/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.55	0.00	1.55	90.0	0.03
12/15/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.68	0.00	5.68	90.0	0.10
12/15/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.43	0.00	1.43	90.0	0.02
12/15/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.41	0.00	0.41	90.0	0.01
12/15/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.01
12/15/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.92	0.00	0.92	90.0	0.02
12/15/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.01
12/14/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.68	0.00	2.68	90.0	0.05
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	7.55	0.00	7.55	90.0	0.13
01/03/2018	CAMARDO, SHANE	AURORA CANNABIS INC COM ISIN#CA0515	191.36	10.00	22.50	-12.50	90.0	-0.21
12/29/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	48,153.63	150.48	0.00	150.48	90.0	2.56
12/22/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.95	0.00	17.95	90.0	0.31
12/22/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.45	0.00	1.45	90.0	0.02
12/22/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.55	0.00	4.55	90.0	0.08
12/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.07	0.00	1.07	90.0	0.02
12/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.93	0.00	14.93	90.0	0.25
12/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.74	0.00	7.74	90.0	0.13
12/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.74	0.00	2.74	90.0	0.05
12/13/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.15	0.00	1.15	90.0	0.02
12/13/2017	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.33	0.00	0.33	90.0	0.01
12/13/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.93	0.00	0.93	90.0	0.02
12/13/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.38	0.00	2.38	90.0	0.04

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
12/13/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.07	0.00	1.07	90.0	0.02
12/13/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	11.42	0.00	11.42	90.0	0.19
12/13/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.36	0.00	2.36	90.0	0.04
12/19/2017	SCOZZAFAVA, HENRY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	181,000.00	12,670.00	0.00	12,670.00	90.0	215.39
12/11/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.41	0.00	0.41	90.0	0.01
12/11/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.55	0.00	0.55	90.0	0.01
12/22/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.31	0.00	3.31	90.0	0.06
12/22/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.92	0.00	61.92	90.0	1.05
12/14/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.76	0.00	0.76	90.0	0.01
12/14/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.09	0.00	1.09	90.0	0.02
12/14/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.34	0.00	0.34	90.0	0.01
12/15/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.63	0.00	7.63	90.0	0.13
12/15/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.69	0.00	8.69	90.0	0.15
12/15/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.50	0.00	1.50	90.0	0.03
12/15/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.95	0.00	5.95	90.0	0.10
12/15/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.06	0.00	2.06	90.0	0.04
12/21/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.76	0.00	13.76	90.0	0.23
12/21/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.96	0.00	2.96	90.0	0.05
12/21/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.13	0.00	1.13	90.0	0.02
12/21/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.26	0.00	5.26	90.0	0.09
12/21/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.77	0.00	0.77	90.0	0.01
12/21/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.56	0.00	0.56	90.0	0.01
12/21/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.76	0.00	2.76	90.0	0.05
12/20/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	16.14	0.00	16.14	90.0	0.27
12/20/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.63	0.00	0.63	90.0	0.01
12/18/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.74	0.00	4.74	90.0	0.08
12/18/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.22	0.00	14.22	90.0	0.24
12/18/2017	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.61	0.00	0.61	90.0	0.01
12/18/2017	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.82	0.00	1.82	90.0	0.03
12/18/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.48	0.00	14.48	90.0	0.25
12/18/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.45	0.00	43.45	90.0	0.74
12/18/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.90	0.00	43.90	90.0	0.75
12/18/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	131.69	0.00	131.69	90.0	2.24

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC LINSKY #263								
12/18/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.26	0.00	4.26	90.0	0.07
12/18/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.02	0.00	6.02	90.0	0.10
12/18/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.06	0.00	5.06	90.0	0.09
12/21/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.05	0.00	12.05	90.0	0.20
12/21/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.10	0.00	4.10	90.0	0.07
12/18/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.74	0.00	3.74	90.0	0.06
12/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	85.28	0.00	85.28	90.0	1.45
12/18/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	41.02	0.00	41.02	90.0	0.70
12/18/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	36.15	0.00	36.15	90.0	0.61
12/18/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	448.22	0.00	448.22	90.0	7.62
12/18/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.27	0.00	11.27	90.0	0.19
12/18/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.35	0.00	7.35	90.0	0.12
12/18/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.83	0.00	7.83	90.0	0.13
12/18/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	33.17	0.00	33.17	90.0	0.56
12/18/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	30.96	0.00	30.96	90.0	0.53
12/18/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.28	0.00	11.28	90.0	0.19
12/18/2017	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	23.72	0.00	23.72	90.0	0.40
12/18/2017	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.62	0.00	10.62	90.0	0.18
12/18/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.76	0.00	2.76	90.0	0.05
12/18/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.76	0.00	2.76	90.0	0.05
12/18/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.14	0.00	4.14	90.0	0.07
12/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	74.21	0.00	74.21	90.0	1.26
12/18/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	46.28	0.00	46.28	90.0	0.79
12/18/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	21.29	0.00	21.29	90.0	0.36
12/18/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.63	0.00	14.63	90.0	0.25
12/18/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	21.30	0.00	21.30	90.0	0.36
12/18/2017	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	43.90	0.00	43.90	90.0	0.75
12/18/2017	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	19.65	0.00	19.65	90.0	0.33
12/18/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.71	0.00	10.71	90.0	0.18
12/29/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	16,370.46	40.93	0.00	40.93	90.0	0.70
12/29/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	6,591.32	6.59	0.00	6.59	90.0	0.11
12/18/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.19	0.00	4.19	90.0	0.07
12/19/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.09	0.00	1.09	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
01/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
12/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	285,986.57	238.32	0.00	238.32	90.0	4.05
12/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	294,010.30	245.01	0.00	245.01	90.0	4.17
12/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	417,437.39	347.86	0.00	347.86	90.0	5.91
12/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	497,935.01	414.95	0.00	414.95	90.0	7.05
12/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	118,048.99	98.37	0.00	98.37	90.0	1.67
12/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,007.47	2.92	0.00	2.92	90.0	0.05
12/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	200,191.94	166.83	0.00	166.83	90.0	2.84
12/14/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.62	0.00	0.62	90.0	0.01
12/31/2017	LINSKY, VARIOUS	LINCOLN BENEFIT LIFE	0.00	28,281.26	0.00	28,281.26	90.0	480.78
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.99	0.00	2.99	90.0	0.05
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.09	0.00	2.09	90.0	0.04
12/20/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.78	0.00	2.78	90.0	0.05
12/20/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.90	0.00	7.90	90.0	0.13
12/20/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.54	0.00	2.54	90.0	0.04
12/20/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	116.31	0.00	116.31	90.0	1.98
12/20/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	119.15	0.00	119.15	90.0	2.03
12/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.63	0.00	28.63	90.0	0.49
12/20/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.87	0.00	19.87	90.0	0.34
12/20/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.89	0.00	0.89	90.0	0.02
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.99	0.00	5.99	90.0	0.10
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.55	0.00	0.55	90.0	0.01
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.83	0.00	7.83	90.0	0.13
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.76	0.00	1.76	90.0	0.03
12/20/2017	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	2.65	0.00	2.65	90.0	0.05
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.10	0.00	1.10	90.0	0.02
12/20/2017	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.70	0.00	0.70	90.0	0.01
12/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.86	0.00	10.86	90.0	0.18
12/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.90	0.00	5.90	90.0	0.10
12/20/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.16	0.00	6.16	90.0	0.10
12/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.43	0.00	14.43	90.0	0.25
12/20/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.90	0.00	2.90	90.0	0.05
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.09	0.00	3.09	90.0	0.05

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: MARC LINSKY #263								
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.33	0.00	0.33	90.0	0.01
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.90	0.00	3.90	90.0	0.07
12/20/2017	APPLEBAUM, ALVIN	LORD ABBETT SHORT DURATION INCOME A	0.00	1.57	0.00	1.57	90.0	0.03
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.67	0.00	0.67	90.0	0.01
12/20/2017	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	0.48	0.00	0.48	90.0	0.01
12/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.47	0.00	1.47	90.0	0.02
12/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.84	0.00	19.84	90.0	0.34
12/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.66	0.00	1.66	90.0	0.03
12/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.68	0.00	2.68	90.0	0.05
12/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.05	0.00	2.05	90.0	0.03
12/20/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.95	0.00	10.95	90.0	0.19
12/20/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	96.28	0.00	96.28	90.0	1.64
12/20/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.07	0.00	11.07	90.0	0.19
12/20/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.31	0.00	5.31	90.0	0.09
12/20/2017	BROSIOUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.24	0.00	6.24	90.0	0.11
12/20/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.65	0.00	12.65	90.0	0.22
12/20/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.95	0.00	8.95	90.0	0.15
12/20/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.54	0.00	4.54	90.0	0.08
12/19/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.77	0.00	9.77	90.0	0.17
12/19/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.77	0.00	2.77	90.0	0.05
12/19/2017	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.88	0.00	1.88	90.0	0.03
12/19/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.48	0.00	0.48	90.0	0.01
12/19/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.20	0.00	1.20	90.0	0.02
12/19/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.01
12/26/2017	HENDRICKSON, RONALD	METLIFE SHIELD LEVEL SELECT 6 YR	81,000.00	4,860.00	0.00	4,860.00	90.0	82.62
12/11/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.55	0.00	6.55	90.0	0.11
12/11/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.13	0.00	16.13	90.0	0.27
12/11/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.89	0.00	7.89	90.0	0.13
12/11/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.76	0.00	15.76	90.0	0.27
12/11/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	15.63	0.00	15.63	90.0	0.27
12/11/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.70	0.00	0.70	90.0	0.01
12/11/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.25	0.00	9.25	90.0	0.16
12/11/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	22.14	0.00	22.14	90.0	0.38

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
12/11/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.29	0.00	8.29	90.0	0.14
12/11/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	19.54	0.00	19.54	90.0	0.33
12/11/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.67	0.00	5.67	90.0	0.10
12/11/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.91	0.00	5.91	90.0	0.10
12/11/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	27.12	0.00	27.12	90.0	0.46
12/11/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.82	0.00	11.82	90.0	0.20
12/11/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.25	0.00	9.25	90.0	0.16
12/11/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.39	0.00	14.39	90.0	0.24
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.23	0.00	1.23	90.0	0.02
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.10	0.00	1.10	90.0	0.02
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.01
12/21/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.62	0.00	0.62	90.0	0.01
12/21/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.38	0.00	2.38	90.0	0.04
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.07	0.00	3.07	90.0	0.05
12/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.95	0.00	0.95	90.0	0.02
12/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	16.76	0.00	16.76	90.0	0.28
12/21/2017	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	22.06	0.00	22.06	90.0	0.38
12/21/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.57	0.00	2.57	90.0	0.04
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.74	0.00	1.74	90.0	0.03
12/21/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	246.76	0.00	246.76	90.0	4.19
12/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	153.63	0.00	153.63	90.0	2.61
12/15/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	13.61	0.00	13.61	90.0	0.23
12/15/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.05	0.00	3.05	90.0	0.05
12/14/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.77	0.00	12.77	90.0	0.22
12/14/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.77	0.00	12.77	90.0	0.22
12/14/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.44	0.00	17.44	90.0	0.30
12/14/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.44	0.00	17.44	90.0	0.30
12/14/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.74	0.00	5.74	90.0	0.10
12/14/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.74	0.00	5.74	90.0	0.10
12/20/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-12.77	0.00	-12.77	90.0	-0.22
12/20/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-17.44	0.00	-17.44	90.0	-0.30
12/20/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-5.74	0.00	-5.74	90.0	-0.10
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.50	0.00	43.50	90.0	0.74

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.50	0.00	43.50	90.0	0.74
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	130.50	0.00	130.50	90.0	2.22
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	130.50	0.00	130.50	90.0	2.22
12/20/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-43.50	0.00	-43.50	90.0	-0.74
12/20/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-130.50	0.00	-130.50	90.0	-2.22
12/21/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.29	0.00	11.29	90.0	0.19
12/21/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.58	0.00	1.58	90.0	0.03
12/21/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.34	0.00	0.34	90.0	0.01
12/21/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.94	0.00	10.94	90.0	0.19
12/20/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.52	0.00	1.52	90.0	0.03
12/29/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.52	0.00	1.52	90.0	0.03
12/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	123,799.81	103.17	0.00	103.17	90.0	1.75
12/21/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.48	0.00	0.48	90.0	0.01
01/02/2018	CAMARDO, DONNA	VILLAGE FARMS INTL INC COM ISIN#CA9	197.07	10.00	22.50	-12.50	90.0	-0.21
12/19/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.77	0.00	5.77	90.0	0.10
12/13/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.47	0.00	9.47	90.0	0.16
12/13/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.60	0.00	22.60	90.0	0.38
12/13/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.96	0.00	10.96	90.0	0.19
12/13/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.98	0.00	17.98	90.0	0.31
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.10	0.00	4.10	90.0	0.07
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.57	0.00	0.57	90.0	0.01
* MARC LINSKY SUBTOTAL *			\$2,964,847.06	\$52,651.36	\$45.00	\$52,606.36		\$894.42
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
12/27/2017	SUN, YING	AVID TECHNOLOGY INC	-9,753.75	322.05	24.55	297.50	90.0	10.12
12/27/2017	SUN, YING	EBIX INC COM NEW	-29,513.05	657.66	22.55	635.11	90.0	21.59
12/27/2017	SUN, YING	FIREEYE INC COM	-12,933.36	322.87	23.35	299.52	90.0	10.18
12/27/2017	SUN, YING	MORGAN STANLEY CHINA A SH FD INC CO	-15,287.84	382.31	22.99	359.32	90.0	12.22
12/27/2017	SUN, YING	SIGMA DESIGNS INC COM	-5,212.50	130.69	23.12	107.57	90.0	3.66
12/27/2017	SUN, YING	VALEANT PHARMACEUTICALS INTL INC CD	-8,540.56	213.40	22.60	190.80	90.0	6.49
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$-81,241.06	\$2,028.98	\$139.16	\$1,889.82		\$64.26
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,090,570.88	\$67,091.66	\$184.16	\$66,907.50		\$1,578.32

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<u>ADJUSTMENTS</u>								
01/15/2018		*: MARK LISKY SPLIT/IND/FIA OVERRID	0.00					1,035.89
01/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
01/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
01/15/2018		*BAL CARRIED FORWARD: BAL CARRIED F	0.00					159,922.44
01/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
01/15/2018		*EO INS: CURRENT MONTH	0.00					-150.00
01/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
01/15/2018		*EO INS: CURRENT MONTH INS	0.00					-45.00
01/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
01/15/2018		*FEDERAL EXPRESS: 6-045-88326 01/03	0.00					-11.44
01/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
01/15/2018		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
01/15/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
01/15/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
01/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
01/15/2018		*MISC: OUTSTANDING MCPHERSON BALANC	0.00					-5.73
01/15/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
01/15/2018		*MISC: SUSICK, KIRSTEN L DOLAN	0.00					3,493.79
01/15/2018		*OVERRIDE: CHARLEY SPLIT OVERRIDES	0.00					4.94
01/15/2018		*OVERRIDE: CIRELLI BRANCH OVERRIDE	0.00					132.12
01/15/2018		*OVERRIDE: CIRELLI OVERRIDE	0.00					638.88
01/15/2018		*OVERRIDE: JEFF KIESNOSKI OVERRIDE	0.00					2,168.15
01/15/2018		*OVERRIDE: KIESNOSKI BRANCH OVERRID	0.00					363.53
01/15/2018		*OVERRIDE: ROY PFLEGER OVERRIDE	0.00					1,827.75
01/15/2018		*PERSHING: MARK UPS	0.00					60.00
01/15/2018		*PERSHING: MARK UPS	0.00					30.60
01/15/2018		*PERSHING: MARK UPS	0.00					45.00
01/15/2018		*PERSHING: MARK UPS	0.00					22.50
01/15/2018		*PERSHING: MF PROSPECTUS	0.00					-1.55
01/15/2018		*PERSHING: MF PROSPECTUS	0.00					-0.75
01/15/2018		*PERSHING: STREAMING QUOTES	0.00					-68.00
01/15/2018		*TECH: ADMIN FULMER	0.00					-50.00
01/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-34.00

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<u>ADJUSTMENTS</u>								
01/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
01/15/2018		*TECH: CURRENT MONTH TECH	0.00					-41.25
01/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
01/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-137.50
01/15/2018		*TECHNOLOGY: CURRENT MONTH RAUPP	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$171,864.62

BROKER COMMISSION TOTALS

Broker Transactions	\$1,842.61
Split Transactions	\$51,878.70
Override Transactions	\$1,578.32
Adjustments	\$171,864.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$227,164.25
Year-to-date Gross	\$53,840.57
Year-to-date Earnings	\$55,299.63

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