



All Companies
COMMISSION STATEMENT
March 01, 2018

02/27/2018
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RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/31/2018	TRAILS	- LORD ABBETT	B	243.44	243.44	0.00	243.44	85.0	206.92
02/15/2018	DILL, SYLVIA	ING GLOBAL NATURAL RESOURCES CLASS	B	500.00	23.75	0.00	23.75	85.0	20.19
02/07/2018	00701154544 DUNFORD, GINA	FIDELITY ADV DIVERSIFIED INTL FD-CL	B	50.00	2.50	0.00	2.50	85.0	2.13
02/07/2018	01481958690 KLING, MELISSA K.	FA 529 PORT 2019-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
02/07/2018	01481958708 KLING, MELISSA K.	FA 529 DIVIDEND GROWTH PORT-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$1,593.44	\$277.69	\$0.00	\$277.69		\$236.04
<u>PRODUCT CATEGORY: STOCKS</u>									
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	363.84	363.84	0.00	363.84	85.0	309.26
* STOCKS SUBTOTAL *				\$363.84	\$363.84	\$0.00	\$363.84		\$309.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	946.90	946.90	0.00	946.90	85.0	804.87
* VARIABLE ANNUITIES SUBTOTAL *				\$946.90	\$946.90	\$0.00	\$946.90		\$804.87
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	48690341 STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	186.33	0.00	186.33	85.0	158.38
01/12/2018	85905056 WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	489.00	0.00	489.00	85.0	415.65
* RIA SUBTOTAL *				\$0.00	\$675.33	\$0.00	\$675.33		\$574.03
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	150.01	0.00	150.01	85.0	127.51
03/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	266.48	0.00	266.48	85.0	226.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$416.49	\$0.00	\$416.49		\$354.03
*** BROKER TRANSACTIONS TOTAL ***				\$2,904.18	\$2,680.25	\$0.00	\$2,680.25		\$2,278.23

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-27.00
03/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-154.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,278.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-154.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,123.73
Year-to-date Earnings	\$13,290.62