



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT

September 30, 2017

WILLIAM WALSH
2565 West Maple

BROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	WALSH, BENJAMIN	AM FUNDS AMCAP 529A	0.00	23.31	0.00	23.31	0.0	0.00
09/01/2017	WALSH, BENJAMIN	AM FUNDS AMERICAN BALANCED 529A	0.00	21.02	0.00	21.02	0.0	0.00
09/01/2017	GUDANOWSKI, GERALDINE	AM FUNDS BOND FUND OF AMERICA C	0.00	43.71	0.00	43.71	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	8.56	0.00	8.56	0.0	0.00
09/01/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.55	0.00	20.55	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.24	0.00	3.24	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	97.89	0.00	97.89	0.0	0.00
09/01/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	22.34	0.00	22.34	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	94.97	0.00	94.97	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	34.56	0.00	34.56	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	11.32	0.00	11.32	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	7.14	0.00	7.14	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	130.80	0.00	130.80	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	126.53	0.00	126.53	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	46.41	0.00	46.41	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.88	0.00	0.88	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	13.88	0.00	13.88	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.43	0.00	12.43	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	130.20	0.00	130.20	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	126.74	0.00	126.74	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	46.51	0.00	46.51	0.0	0.00
09/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.86	0.00	0.86	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS NEW PERSPECTIVE 529A	0.00	13.94	0.00	13.94	0.0	0.00
09/01/2017	CHAWLA, MAHINDER	AM FUNDS NEW PERSPECTIVE 529C	0.00	9.54	0.00	9.54	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,047.33	\$0.00	\$1,047.33		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	1,040,075.14	213.71	0.00	213.71	0.0	0.00
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,144,153.84	117.55	0.00	117.55	0.0	0.00
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,201,075.23	226.14	0.00	226.14	0.0	0.00
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,945,880.46	605.32	0.00	605.32	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,739,903.47	357.51	0.00	357.51	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	603,784.20	124.07	0.00	124.07	0.0	0.00
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	54,382.04	11.17	0.00	11.17	0.0	0.00
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,426.54	2.96	0.00	2.96	0.0	0.00
/ /	COMMUNITY HOUSE	NWL POOLED FXD	364.85	0.07	0.00	0.07	0.0	0.00
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	492,186.33	121.36	0.00	121.36	0.0	0.00
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	50,311.54	12.41	0.00	12.41	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	93,088.14	19.13	0.00	19.13	0.0	0.00
09/05/2017	BROOKS, JAMES F & MARGE	PAC LIFE INNOVATIVE SELECT	0.00	124.05	0.00	124.05	0.0	0.00
09/07/2017	WALSH, CAROL	PAC LIFE INNOVATIVE SELECT	0.00	302.28	0.00	302.28	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$10,379,631.78	\$2,237.73	\$0.00	\$2,237.73		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/08/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	19.64	0.00	19.64	0.0	0.00
09/12/2017	HESSELL, LOUIS	PAC LIFE INNOVATIVE SELECT	0.00	454.50	0.00	454.50	0.0	0.00
09/13/2017	BROOKS, JAMES	PAC LIFE VALUE	0.00	92.43	0.00	92.43	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$566.57	\$0.00	\$566.57		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$10,379,631.78	\$3,851.63	\$0.00	\$3,851.63		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$110,511.55
Year-to-date Earnings	\$83,782.97