

# STATEMENT

## January 20, 2018

ANTHONY MASHINTONIO  
PO BOX 1000

BROKER #: 232  
BRANCH: LA BRANCH

FREDERICK, PA 19435

### COMMISSION STATEMENT for ANTHONY MASHINTONIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
11/20/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	5.00	90.0	0.00	4.50	4.50
11/20/2017	#00704201797	FRANK X	FA 529 PORT 2022 CL A	4,000.00	150.00	90.0	0.00	135.00	135.00
11/30/2017	#000001775538	DEMORRAYM	4812A1456	0.00	49.15	90.0	0.00	44.24	44.24
11/30/2017	#0000373670	STRAN, MICHAEL	BLCKRCK	0.00	3.73	90.0	0.00	3.36	3.36
11/30/2017	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.08	90.0	0.00	0.07	0.07
11/30/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.31	90.0	0.00	0.28	0.28
11/30/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	1.10	90.0	0.00	0.99	0.99
11/30/2017	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.07	90.0	0.00	0.06	0.06
11/30/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	1.00	90.0	0.00	0.90	0.90
11/30/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.66	90.0	0.00	0.59	0.59
11/30/2017	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.06	90.0	0.00	0.05	0.05
11/30/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.25	90.0	0.00	0.23	0.23
11/30/2017	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	2.08	90.0	0.00	1.87	1.87
12/12/2017	#2000116106	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	0.00	0.80	90.0	0.00	0.72	0.72
12/12/2017	#2000116110	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	0.00	0.80	90.0	0.00	0.72	0.72
12/12/2017	#2000054244	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	0.00	1.20	90.0	0.00	1.08	1.08
12/12/2017	#2000054244	RIST, STEPHAN	C - LIMITED TERM GOV PORT	0.00	1.00	90.0	0.00	0.90	0.90
12/12/2017	#2000116106	RIST, STEPHAN	LARGE CAP VALUE C	0.00	0.70	90.0	0.00	0.63	0.63
12/12/2017	#2000116110	RIST, STEPHAN	LARGE CAP VALUE C	0.00	0.70	90.0	0.00	0.63	0.63
12/12/2017	#2000054244	RIST, STEPHAN	LARGE CAP VALUE C	0.00	1.00	90.0	0.00	0.90	0.90
12/12/2017	#2000116110	RIST, STEPHAN	SCHOLARS EDGE 552	0.00	0.50	90.0	0.00	0.45	0.45
12/12/2017	#2000116106	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	0.00	0.50	90.0	0.00	0.45	0.45
12/12/2017	#2000054244	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	0.00	0.80	90.0	0.00	0.72	0.72
12/20/2018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	5.00	90.0	0.00	4.50	4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$4,000.00</b>	<b>\$226.49</b>	<b>\$0.00</b>	<b>\$203.84</b>	<b>\$0.00</b>	<b>\$203.84</b>	

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
11/30/2017	#P73A2015469 SAM, PAULA	AMERICAN GEN TRAILS	0.00	16.31	90.0	0.00	14.68	0.00	14.68
12/01/2017	#2557046 SPERA, CLARE	JOHN HANCOCK LIFE-TRAILS	0.00	59.45	90.0	0.00	53.51	0.00	53.51
12/01/2017	#2559009 SPERA, CLARE	JOHN HANCOCK LIFE-TRAILS	0.00	117.75	90.0	0.00	105.98	0.00	105.98
12/01/2017	#2570888 JONES, NANCY	JOHN HANCOCK LIFE-TRAILS	0.00	49.65	90.0	0.00	44.69	0.00	44.69
12/01/2017	#2570889 MOYER, JEAN	JOHN HANCOCK LIFE-TRAILS	0.00	49.65	90.0	0.00	44.69	0.00	44.69
12/01/2017	#2570890 TOTH, GARY	JOHN HANCOCK LIFE-TRAILS	0.00	49.65	90.0	0.00	44.69	0.00	44.69
12/01/2017	#2582122 MATTES, ERICH	JOHN HANCOCK LIFE-TRAILS	0.00	217.34	90.0	0.00	195.61	0.00	195.61
12/01/2017	#2621366 COLBY, DONNA	JOHN HANCOCK LIFE-TRAILS	0.00	95.55	90.0	0.00	86.00	0.00	86.00
12/01/2017	#2692327 COLBY, MILDRED	JOHN HANCOCK LIFE-TRAILS	0.00	86.51	90.0	0.00	77.86	0.00	77.86
12/16/2017	#P73A2024818 SAM, HOPE	AMERICAN GEN LIFE	0.00	86.43	90.0	0.00	77.79	0.00	77.79
12/16/2017	#P73A2024818 SAM, HOPE	AMERICAN GEN LIFE	0.00	78.30	90.0	0.00	70.47	0.00	70.47
12/23/2017	#VR08067069 COLBY, DONNA	PACIFIC LIFE TRAILS	0.00	1,148.06	90.0	0.00	1,033.25	0.00	1,033.25
12/23/2017	#VR08067779 MASHINTONIO, A	PACIFIC LIFE TRAILS	0.00	35.37	90.0	0.00	31.83	0.00	31.83
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,090.02</b>		<b>\$0.00</b>	<b>\$1,881.05</b>	<b>\$0.00</b>	<b>\$1,881.05</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	#00064605208 GABEL R B	AMERICAN BALANCED FUND INC CLASS A	0.00	7.90	90.0	0.00	7.11	0.00	7.11
12/01/2017	#00059333141 DEMOTT R C	AMERICAN HIGH INCOME TR SH BEN INT	0.00	234.96	90.0	0.00	211.46	0.00	211.46
12/01/2017	#00059333141 DEMOTT R C	CAPITAL INCOME BUILDER FD CL A	0.00	65.99	90.0	0.00	59.39	0.00	59.39
12/01/2017	#00059333141 DEMOTT R C	CAPITAL WORLD GROWTH & INCOME FUND	0.00	106.05	90.0	0.00	95.45	0.00	95.45
12/01/2017	#00064605208 GABEL R B	FUNDAMENTAL INVESTORS INC CLASS A	0.00	12.62	90.0	0.00	11.36	0.00	11.36
12/01/2017	#00059333141 DEMOTT R C	INCOME FUND OF AMERICA INC CLASS A	0.00	223.53	90.0	0.00	201.18	0.00	201.18
12/01/2017	#00059333141 DEMOTT R C	INVESTMENT CO AMER CLASS A	0.00	188.03	90.0	0.00	169.23	0.00	169.23
12/01/2017	#00063562625	INVESTMENT CO AMER CLASS A	0.00	11.60	90.0	0.00	10.44	0.00	10.44
12/01/2017	#00064605208 GABEL R B	NEW PERSPECTIVE FUND INC CL A	0.00	11.13	90.0	0.00	10.02	0.00	10.02
12/11/2017	# COLONA, DOLORES	DAIN TRAILER	0.00	16.34	90.0	0.00	14.71	0.00	14.71
12/11/2017	# WILKINSON, CAROLE	DAIN TRAILER	0.00	4.58	90.0	0.00	4.12	0.00	4.12
12/11/2017	# WILKINSON, CAROLE	DAIN TRAILER	0.00	2.47	90.0	0.00	2.22	0.00	2.22
12/11/2017	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.78	90.0	0.00	1.60	0.00	1.60
12/11/2017	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.71	90.0	0.00	1.54	0.00	1.54
12/11/2017	# WILKINSON, CAROLE	DAIN TRAILER	0.00	4.01	90.0	0.00	3.61	0.00	3.61
12/11/2017	# WILKINSON, CAROLE	DAIN TRAILER	0.00	6.50	90.0	0.00	5.85	0.00	5.85
12/11/2017	# CAMPANALE, LISA	DAIN TRAILER	0.00	10.26	90.0	0.00	9.23	0.00	9.23
12/11/2017	# COLONA, DOLORES	DAIN TRAILER	0.00	4.86	90.0	0.00	4.37	0.00	4.37
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.60	90.0	0.00	2.34	0.00	2.34
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.36	90.0	0.00	2.12	0.00	2.12

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	1.00	90.0	0.00	0.90	0.00	0.90
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	0.88	90.0	0.00	0.79	0.00	0.79
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	8.28	90.0	0.00	7.45	0.00	7.45
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	11.64	90.0	0.00	10.48	0.00	10.48
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.78	90.0	0.00	6.10	0.00	6.10
12/11/2017	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.47	90.0	0.00	5.82	0.00	5.82
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.60	90.0	0.00	2.34	0.00	2.34
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.31	90.0	0.00	2.08	0.00	2.08
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	1.24	90.0	0.00	1.12	0.00	1.12
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	0.70	90.0	0.00	0.63	0.00	0.63
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	6.25	90.0	0.00	5.63	0.00	5.63
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.84	90.0	0.00	4.36	0.00	4.36
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.93	90.0	0.00	4.44	0.00	4.44
12/11/2017	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.89	90.0	0.00	4.40	0.00	4.40
12/13/2017	#VR10018810	PL	0.00	80.51	90.0	0.00	72.46	0.00	72.46
01/08/2018	#00704201797 FRANK X	FA 529 PORT 2022 CL A	0.00	15.38	90.0	0.00	13.84	0.00	13.84
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,077.98		\$0.00	\$970.19	\$0.00	\$970.19
*** BROKER TRANSACTIONS TOTAL ***			\$4,000.00	\$3,394.49		\$0.00	\$3,055.08	\$0.00	\$3,055.08

ADJUSTMENTS

01/20/2018	ADMIN FEE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,055.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$2,556.08</b>
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