



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 15, 2018**

08/14/2018  
Page No. 1

DAVID, Z116 ZUPEK  
1126 Bichara Blvd

BROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
07/13/2018	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	41.92	0.00	41.92	90.0	37.73
07/13/2018	KANE, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	41.59	0.00	41.59	90.0	37.43
* REIT SUBTOTAL *			\$0.00	\$83.51	\$0.00	\$83.51		\$75.16
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
08/02/2018	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	26.35	0.00	26.35	90.0	23.72
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$26.35	\$0.00	\$26.35		\$23.72
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
08/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	15.00	0.56	0.00	0.56	90.0	0.50
08/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	15.00	0.56	0.00	0.56	90.0	0.50
08/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	15.00	0.56	0.00	0.56	90.0	0.50
08/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	15.00	0.56	0.00	0.56	90.0	0.50
* 529 PLANS SUBTOTAL *			\$60.00	\$2.24	\$0.00	\$2.24		\$2.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	15.00	0.56	0.00	0.56	90.0	0.50
08/03/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$65.00	\$3.06	\$0.00	\$3.06		\$2.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/25/2018	ROGERS, WILMA	RELIASTAR LIFE PROTECTOR ELITE	1,750.00	17.50	0.00	17.50	90.0	15.75
* VARIABLE ANNUITIES SUBTOTAL *			\$1,750.00	\$17.50	\$0.00	\$17.50		\$15.75
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/19/2018	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	3.95	0.00	3.95	90.0	3.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.95	\$0.00	\$3.95		\$3.56

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/28/2018	GROSZ, RUTH	AM GEN POLARIS CHOICE IV	0.00	149.49	0.00	149.49	90.0	134.54
07/31/2018	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	0.00	15.52	0.00	15.52	90.0	13.97
07/31/2018	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	0.00	41.85	0.00	41.85	90.0	37.67
07/31/2018	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	0.00	50.09	0.00	50.09	90.0	45.08
07/31/2018	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	0.00	24.38	0.00	24.38	90.0	21.94
07/31/2018	ROST, DAVID	JNL PERSPECTIVE II 04/14	0.00	663.06	0.00	663.06	90.0	596.75
07/31/2018	ZUPEK, ALBERT	JNL PERSPECTIVE II 04/14	0.00	364.50	0.00	364.50	90.0	328.05
07/31/2018	ZUPEK, STEVEN	JNL PERSPECTIVE II 04/14	0.00	217.13	0.00	217.13	90.0	195.42
07/31/2018	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	0.00	250.38	0.00	250.38	90.0	225.34
07/31/2018	MARTIN, CHARMAINE	JNL PERSPECTIVE II 04/14	0.00	64.04	0.00	64.04	90.0	57.64
07/31/2018	KELM, MARY	JNL PERSPECTIVE II 04/14	0.00	86.38	0.00	86.38	90.0	77.74
07/31/2018	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	0.00	6.78	0.00	6.78	90.0	6.10
07/31/2018	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	0.00	5.65	0.00	5.65	90.0	5.09
07/31/2018	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	0.00	5.03	0.00	5.03	90.0	4.53
07/31/2018	HOSS, GAIL	JNL PERSPECTIVE L	0.00	83.31	0.00	83.31	90.0	74.98
07/31/2018	PECKMAN, MARCIA	JNL PERSPECTIVE L	0.00	81.78	0.00	81.78	90.0	73.60
07/31/2018	HOOTEN, ROBERT	JNL PERSPECTIVE L	0.00	37.43	0.00	37.43	90.0	33.69
07/31/2018	KAEDING, HAROLD	JNL PERSPECTIVE L	0.00	49.16	0.00	49.16	90.0	44.24
07/31/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	0.00	61.78	0.00	61.78	90.0	55.60
07/31/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	0.00	201.50	0.00	201.50	90.0	181.35
07/31/2018	TASKONIS, RICHARD	JNL PERSPECTIVE L	0.00	144.13	0.00	144.13	90.0	129.72
07/31/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	0.00	45.46	0.00	45.46	90.0	40.91
07/31/2018	EDQUIST, BETTY	JNL PERSPECTIVE L	0.00	34.57	0.00	34.57	90.0	31.11
07/31/2018	FLETCHER, JEWEL	JNL PERSPECTIVE L	0.00	22.32	0.00	22.32	90.0	20.09
07/31/2018	SMITH, BETTY	JNL PERSPECTIVE L	0.00	35.92	0.00	35.92	90.0	32.33
07/31/2018	WILLIAMS, WARNER	JNL PERSPECTIVE L	0.00	19.64	0.00	19.64	90.0	17.68
07/31/2018	ZUPFER, CHARLES	JNL PERSPECTIVE L	0.00	24.55	0.00	24.55	90.0	22.10
07/31/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	0.00	27.88	0.00	27.88	90.0	25.09
07/31/2018	SPERER, MARION	JNL PERSPECTIVE L	0.00	18.75	0.00	18.75	90.0	16.88
07/31/2018	VERBETEN, KAREN	JNL PERSPECTIVE L	0.00	10.37	0.00	10.37	90.0	9.33
07/31/2018	BOLAND, TERRENCE	JNL PERSPECTIVE L	0.00	28.43	0.00	28.43	90.0	25.59
07/31/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	31.21	0.00	31.21	90.0	28.09
07/31/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	28.96	0.00	28.96	90.0	26.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	24.17	0.00	24.17	90.0	21.75
07/31/2018	BODVEN, BRUCE	JNL PERSPECTIVE L	0.00	67.18	0.00	67.18	90.0	60.46
07/31/2018	PAULICK, BERNARD	JNL PERSPECTIVE L	0.00	116.40	0.00	116.40	90.0	104.76
07/31/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	0.00	119.81	0.00	119.81	90.0	107.83
07/31/2018	RACK, JOAN	JNL PERSPECTIVE L	0.00	160.54	0.00	160.54	90.0	144.49
07/31/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	0.00	90.66	0.00	90.66	90.0	81.59
07/31/2018	INGHAM, LYNNE	JNL PERSPECTIVE L	0.00	59.33	0.00	59.33	90.0	53.40
07/31/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	0.00	146.75	0.00	146.75	90.0	132.08
07/31/2018	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	0.00	174.28	0.00	174.28	90.0	156.85
07/31/2018	CRAWFORD, JEANNE	JNL PERSPECTIVE L	0.00	121.27	0.00	121.27	90.0	109.14
07/31/2018	THOMPSON, CAROL	JNL PERSPECTIVE L	0.00	62.73	0.00	62.73	90.0	56.46
07/31/2018	SHELDON, SUSAN	JNL PERSPECTIVE L	0.00	39.78	0.00	39.78	90.0	35.80
07/31/2018	SHELDON, MARK	JNL PERSPECTIVE L	0.00	108.26	0.00	108.26	90.0	97.43
07/31/2018	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	0.00	317.90	0.00	317.90	90.0	286.11
07/31/2018	PERONE, PASQUALE	JNL PERSPECTIVE L	0.00	730.71	0.00	730.71	90.0	657.64
07/31/2018	PECKMAN, ROGER	JNL PERSPECTIVE L	0.00	155.41	0.00	155.41	90.0	139.87
07/31/2018	RUFFOLO, BENNY	JNL PERSPECTIVE L	0.00	119.47	0.00	119.47	90.0	107.52
07/31/2018	ZUPEK, DAVID	JNL PERSPECTIVE L	0.00	271.01	0.00	271.01	90.0	243.91
07/31/2018	FALBO, DIANE	JNL PERSPECTIVE L	0.00	803.76	0.00	803.76	90.0	723.38
07/31/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	0.00	234.29	0.00	234.29	90.0	210.86
07/31/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	0.00	438.34	0.00	438.34	90.0	394.51
07/31/2018	HARRIS, BILLY	JNL PERSPECTIVE L	0.00	317.88	0.00	317.88	90.0	286.09
07/31/2018	HEBERLING, DONALD	JNL PERSPECTIVE L	0.00	16.11	0.00	16.11	90.0	14.50
07/31/2018	WAYO, DARLENE	JNL PERSPECTIVE L	0.00	54.52	0.00	54.52	90.0	49.07
07/31/2018	HOSS, GAIL	JNL PERSPECTIVE L	0.00	83.64	0.00	83.64	90.0	75.28
07/31/2018	MUELLER, ROMAYNE	JNL PERSPECTIVE L	0.00	44.99	0.00	44.99	90.0	40.49
07/31/2018	STARK, GLORIA	JNL PERSPECTIVE L	0.00	49.40	0.00	49.40	90.0	44.46
07/31/2018	GIBSON, ROBERT	JNL PERSPECTIVE L	0.00	243.53	0.00	243.53	90.0	219.18
07/31/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	0.00	26.39	0.00	26.39	90.0	23.75
07/31/2018	KELLOGG, MICHAEL	JNL PERSPECTIVE L	0.00	190.25	0.00	190.25	90.0	171.23
07/31/2018	BIRKHOLZ, GAY	JNL PERSPECTIVE L	0.00	95.37	0.00	95.37	90.0	85.83
07/31/2018	HORNACEK, JOSEPH	JNL PERSPECTIVE L	0.00	473.60	0.00	473.60	90.0	426.24
07/31/2018	ZUPFER, JO	JNL PERSPECTIVE L	0.00	74.35	0.00	74.35	90.0	66.92

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	BECKER, JOHN	JNL PERSPECTIVE L	0.00	104.24	0.00	104.24	90.0	93.82
07/31/2018	BECKER, JOHN	JNL PERSPECTIVE L	0.00	129.53	0.00	129.53	90.0	116.58
07/31/2018	BAHR, JEFFREY	JNL PERSPECTIVE L	0.00	104.53	0.00	104.53	90.0	94.08
07/31/2018	STEPHENS, CAROL	JNL PERSPECTIVE L	0.00	482.31	0.00	482.31	90.0	434.08
07/31/2018	GLENNAN, DONALD	JNL PERSPECTIVE L	0.00	282.78	0.00	282.78	90.0	254.50
07/31/2018	CAPSTRAW, RONALD	JNL PERSPECTIVE L	0.00	315.62	0.00	315.62	90.0	284.06
07/31/2018	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	0.00	182.61	0.00	182.61	90.0	164.35
07/31/2018	HOOTEN, AUDREY	PRU AS APEX II	0.00	14.31	0.00	14.31	90.0	12.88
07/31/2018	HOOTEN, AUDREY	PRU AS APEX II	0.00	42.92	0.00	42.92	90.0	38.63
07/31/2018	FALBO, LEWIS	PRU AS APEX II	0.00	34.65	0.00	34.65	90.0	31.19
07/31/2018	FALBO, LEWIS	PRU AS APEX II	0.00	138.61	0.00	138.61	90.0	124.75
07/31/2018	FALBO, LEWIS	PRU AS APEX II	0.00	38.11	0.00	38.11	90.0	34.30
07/31/2018	FALBO, LEWIS	PRU AS APEX II	0.00	152.44	0.00	152.44	90.0	137.20
07/31/2018	DENNE, FRANCES	PRU AS APEX II	0.00	13.59	0.00	13.59	90.0	12.23
07/31/2018	DENNE, FRANCES	PRU AS APEX II	0.00	40.76	0.00	40.76	90.0	36.68
07/31/2018	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	0.00	72.67	0.00	72.67	90.0	65.40
07/31/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	0.00	3.21	0.00	3.21	90.0	2.89
07/27/2018	GAFKA, PHILIP	RELIASTAR FLEX DESIGN VUL	0.00	692.88	0.00	692.88	90.0	623.59
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$11,809.28</b>	<b>\$0.00</b>	<b>\$11,809.28</b>		<b>\$10,628.39</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,875.00</b>	<b>\$11,945.89</b>	<b>\$0.00</b>	<b>\$11,945.89</b>		<b>\$10,751.33</b>

**VERRIDE TRANSACTIONS****BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #10**

/ /	WIKAR, HALINA	AGGRESSIVE AGE BASED 0 - 02 - A	0.00	3.00	0.00	3.00	0.0	2.70
08/06/2018	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	250.00	12.50	0.00	12.50	0.0	11.25
08/06/2018	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	2.00	0.00	2.00	0.0	1.80
08/01/2018	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
07/20/2018	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	0.0	1.80
08/06/2018	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	250.00	12.50	0.00	12.50	0.0	11.25
07/16/2018	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	10.00	0.00	10.00	0.0	9.00
<b>* MARK-H122 HUBER SUBTOTAL *</b>			<b>\$1,000.00</b>	<b>\$44.00</b>	<b>\$0.00</b>	<b>\$44.00</b>		<b>\$39.60</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: R121-RICHARD RODRIGUEZ #88</u></b>								
07/31/2018	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	0.00	65.64	0.00	65.64	0.0	59.08
07/31/2018	ROSE, PETER	JNL PERSPECTIVE L	0.00	57.28	0.00	57.28	0.0	51.55
<b>* R121-RICHARD RODRIGUEZ SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$122.92</b>	<b>\$0.00</b>	<b>\$122.92</b>		<b>\$110.63</b>
<b><u>BROKER: MARK-H122 HUBER #89</u></b>								
08/01/2018	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	38.37	0.38	0.00	0.38	0.0	0.34
08/01/2018	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	127.89	1.28	0.00	1.28	0.0	1.15
08/01/2018	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	24.00	0.24	0.00	0.24	0.0	0.22
08/01/2018	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	132.50	1.33	0.00	1.33	0.0	1.20
08/01/2018	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	25.59	0.26	0.00	0.26	0.0	0.23
08/01/2018	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	51.17	0.51	0.00	0.51	0.0	0.46
08/01/2018	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
08/02/2018	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	0.0	0.36
07/20/2018	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
08/01/2018	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	25.57	0.26	0.00	0.26	0.0	0.23
08/01/2018	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	51.17	0.51	0.00	0.51	0.0	0.46
08/01/2018	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	38.36	0.38	0.00	0.38	0.0	0.34
08/01/2018	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	127.89	1.28	0.00	1.28	0.0	1.15
08/01/2018	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	132.50	1.33	0.00	1.33	0.0	1.20
08/01/2018	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	24.00	0.24	0.00	0.24	0.0	0.22
08/01/2018	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
07/20/2018	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	4.32	0.00	4.32	0.0	3.89
08/01/2018	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
08/01/2018	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	240.00	4.20	0.00	4.20	0.0	3.78
08/01/2018	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
07/20/2018	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
07/20/2018	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
08/01/2018	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	28.78	0.29	0.00	0.29	0.0	0.26
08/01/2018	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	95.92	0.96	0.00	0.96	0.0	0.86
08/02/2018	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	0.0	0.36
08/01/2018	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	48.00	0.48	0.00	0.48	0.0	0.43
08/01/2018	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	265.00	2.65	0.00	2.65	0.0	2.39
08/01/2018	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	29.98	1.20	0.00	1.20	0.0	1.08
08/01/2018	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	153.74	6.15	0.00	6.15	0.0	5.54

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-H122 HUBER #89</u></b>								
08/01/2018	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	23.01	0.92	0.00	0.92	0.0	0.83
08/01/2018	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	38.35	1.53	0.00	1.53	0.0	1.38
08/01/2018	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	29.98	1.20	0.00	1.20	0.0	1.08
08/01/2018	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	153.75	6.15	0.00	6.15	0.0	5.54
08/01/2018	WIKAR, HALINA	MFS GROWTH C	66.25	0.66	0.00	0.66	0.0	0.59
08/01/2018	WIKAR, HALINA	MFS GROWTH C	12.00	0.12	0.00	0.12	0.0	0.11
08/01/2018	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	23.01	0.92	0.00	0.92	0.0	0.83
08/01/2018	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	38.35	1.53	0.00	1.53	0.0	1.38
08/01/2018	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	20.47	0.20	0.00	0.20	0.0	0.18
07/23/2018	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
07/23/2018	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
07/20/2018	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
08/01/2018	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
08/02/2018	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	0.0	0.36
07/23/2018	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
07/23/2018	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
08/01/2018	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	265.00	2.65	0.00	2.65	0.0	2.39
08/01/2018	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	48.00	0.48	0.00	0.48	0.0	0.43
08/01/2018	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	38.37	0.38	0.00	0.38	0.0	0.34
08/01/2018	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	127.89	1.28	0.00	1.28	0.0	1.15
08/01/2018	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	5.12	0.05	0.00	0.05	0.0	0.05
08/01/2018	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	51.17	0.51	0.00	0.51	0.0	0.46
08/02/2018	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	0.0	0.36
07/20/2018	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	4.32	0.00	4.32	0.0	3.89
08/01/2018	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
08/01/2018	WIKAR, SYLVIA	MFS UTILITIES B	23.01	0.92	0.00	0.92	0.0	0.83
08/01/2018	WIKAR, SYLVIA	MFS UTILITIES B	38.35	1.53	0.00	1.53	0.0	1.38
07/20/2018	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
07/20/2018	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
07/23/2018	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
07/23/2018	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
08/01/2018	WIKAR, HALINA	MFS UTILITIES C	36.00	0.36	0.00	0.36	0.0	0.32
08/01/2018	WIKAR, HALINA	MFS UTILITIES C	198.75	1.99	0.00	1.99	0.0	1.79

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK-H122 HUBER #89 </u></b>								
08/01/2018	WAL, SEBASTIAN	MFS UTILITIES C	47.96	0.48	0.00	0.48	0.0	0.43
08/01/2018	WAL, SEBASTIAN	MFS UTILITIES C	159.86	1.60	0.00	1.60	0.0	1.44
08/01/2018	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62
08/01/2018	THOMAS, SYLVIA	MFS UTILITIES C	25.59	0.26	0.00	0.26	0.0	0.23
08/01/2018	THOMAS, SYLVIA	MFS UTILITIES C	51.17	0.51	0.00	0.51	0.0	0.46
08/01/2018	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18
08/02/2018	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	0.0	0.36
07/20/2018	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	4.32	0.00	4.32	0.0	3.89
08/01/2018	WIKAR, SYLVIA	MFS VALUE B	23.01	0.92	0.00	0.92	0.0	0.83
08/01/2018	WIKAR, SYLVIA	MFS VALUE B	38.35	1.53	0.00	1.53	0.0	1.38
08/01/2018	SZAJEWSKI, REGINA	MFS VALUE B	30.89	1.24	0.00	1.24	0.0	1.12
08/01/2018	SZAJEWSKI, REGINA	MFS VALUE B	158.41	6.34	0.00	6.34	0.0	5.71
07/20/2018	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
07/20/2018	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
08/01/2018	WIKAR, HALINA	MFS VALUE C	48.00	0.48	0.00	0.48	0.0	0.43
08/01/2018	WIKAR, HALINA	MFS VALUE C	265.00	2.65	0.00	2.65	0.0	2.39
08/01/2018	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18
<b> * MARK-H122 HUBER SUBTOTAL * </b>			<b>\$6,925.50</b>	<b>\$122.57</b>	<b>\$0.00</b>	<b>\$122.57</b>		<b>\$110.36</b>

 **BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90** 

07/20/2018	WOLF, XANDER	ALGER SPECTRA A	0.00	1.13	0.00	1.13	0.0	1.02
07/20/2018	WOLF, AADRA	ALGER SPECTRA A	0.00	1.13	0.00	1.13	0.0	1.02
07/17/2018	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.08	0.00	1.08	0.0	0.97
07/17/2018	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.22	0.00	1.22	0.0	1.10
07/12/2018	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
07/12/2018	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
07/24/2018	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.45	0.00	1.45	0.0	1.31
07/24/2018	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.63	0.00	1.63	0.0	1.47
07/24/2018	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.98	0.00	0.98	0.0	0.88
07/24/2018	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.98	0.00	0.98	0.0	0.88
07/02/2018	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.92	0.00	1.92	0.0	1.73
07/02/2018	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.44	0.00	11.44	0.0	10.30
07/02/2018	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.80	0.00	12.80	0.0	11.52
07/02/2018	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.46	0.00	4.46	0.0	4.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>								
07/02/2018	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.46	0.00	4.46	0.0	4.01
07/16/2018	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.16	0.00	11.16	0.0	10.04
07/16/2018	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.49	0.00	12.49	0.0	11.24
07/16/2018	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.36	0.00	4.36	0.0	3.92
07/16/2018	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.36	0.00	4.36	0.0	3.92
07/16/2018	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.83	0.00	2.83	0.0	2.55
07/13/2018	WOLF, XANDER	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	2.07	0.00	2.07	0.0	1.86
07/13/2018	WOLF, AADRA	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	2.07	0.00	2.07	0.0	1.86
07/16/2018	EDWARD D JONES & CO CUSTO	PAC LIFE VA 694475211	0.00	19.03	0.00	19.03	0.0	17.13
07/09/2018	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.60	0.00	0.60	0.0	0.54
07/09/2018	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.60	0.00	0.60	0.0	0.54
07/20/2018	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.59	0.00	0.59	0.0	0.53
07/20/2018	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.59	0.00	0.59	0.0	0.53
<b>* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$106.43</b>	<b>\$0.00</b>	<b>\$106.43</b>		<b>\$95.78</b>
<b><u>BROKER: MICHAEL VENTURINI #127</u></b>								
07/31/2018	CAMPOLO, JON	JNL PERSPECTIVE L	0.00	17.28	0.00	17.28	0.0	15.55
07/31/2018	CAMPOLO, JUDITH	JNL PERSPECTIVE L	0.00	18.04	0.00	18.04	0.0	16.24
<b>* MICHAEL VENTURINI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$35.32</b>	<b>\$0.00</b>	<b>\$35.32</b>		<b>\$31.79</b>
<b><u>BROKER: WENDY GOODING #158</u></b>								
07/19/2018	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	10.31	0.00	10.31	0.0	3.61
<b>* WENDY GOODING SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10.31</b>	<b>\$0.00</b>	<b>\$10.31</b>		<b>\$3.61</b>
<b><u>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</u></b>								
07/17/2018	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.54	0.00	0.54	0.0	0.49
07/17/2018	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.41	0.00	0.41	0.0	0.37
07/17/2018	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.66	0.00	0.66	0.0	0.59
07/17/2018	BATIO, KAREN	AM FUNDS ICA A	0.00	0.62	0.00	0.62	0.0	0.56
07/17/2018	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	0.0	0.44
07/02/2018	SHAYKIN, STEVEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.62	0.00	13.62	0.0	12.26
07/02/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.48	0.00	2.48	0.0	2.23
07/02/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.25	0.00	2.25	0.0	2.03
07/02/2018	WHEELER, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.77	0.00	1.77	0.0	1.59
07/02/2018	WHEELER, MARSHA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.68	0.00	1.68	0.0	1.51

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</b>								
07/16/2018	SHAYKIN, STEVEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.29	0.00	13.29	0.0	11.96
07/16/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.42	0.00	2.42	0.0	2.18
07/16/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.20	0.00	2.20	0.0	1.98
07/16/2018	WHEELER, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.73	0.00	1.73	0.0	1.56
07/16/2018	WHEELER, MARSHA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.64	0.00	1.64	0.0	1.48
07/13/2018	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	58.23	0.00	58.23	0.0	52.41
08/02/2018	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	15.65	0.00	15.65	0.0	14.09
08/02/2018	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	29.35	0.00	29.35	0.0	26.42
<b>* R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$149.03</b>	<b>\$0.00</b>	<b>\$149.03</b>		<b>\$134.15</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$7,925.50</b>	<b>\$590.58</b>	<b>\$0.00</b>	<b>\$590.58</b>		<b>\$525.92</b>

**ADJUSTMENTS**

08/15/2018	*AI INSIGHT: AI INSIGHT	0.00	-7.00
08/15/2018	*AI INSIGHT: AI INSIGHT - MARK	0.00	-7.00
08/15/2018	*ALBRIDGE: FULL USER - DAVID	0.00	-88.00
08/15/2018	*ALBRIDGE: FULL USER - MARK	0.00	-88.00
08/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
08/15/2018	*E & O INSURANCE: CURRENT MONTH INS-MARK	0.00	-300.00
08/15/2018	*FINRA: S7 EXAM	0.00	-305.00
08/15/2018	*GLOBAL RELAY: FACEBOOK - DAVID	0.00	-2.50
08/15/2018	*GLOBAL RELAY: FACEBOOK - MARK	0.00	-2.50
08/15/2018	*GLOBAL RELAY: WEBSITE - DAVID	0.00	-7.50
08/15/2018	*GLOBAL RELAY: WEBSITE - MARK	0.00	-7.50
08/15/2018	*MARKETING PRO: MARKETING PRO - DAVID	0.00	-10.00
08/15/2018	*MARKETING PRO: STANDARD - MARK	0.00	-10.00
08/15/2018	*PERSHING: MANDATORY REORG MERGER PNW009959	0.00	-10.00
08/15/2018	*PERSHING: MANDATORY REORG MERGER PNW009959	0.00	-10.00
08/15/2018	*PERSHING: BOOKS & RECORDS	0.00	1.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*TECH: ADMIN - L JOCIUS	0.00					-130.00
08/15/2018		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
08/15/2018		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
08/15/2018		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
08/15/2018		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,833.50</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,751.33
Split Transactions	\$0.00
Override Transactions	\$525.92
Adjustments	\$-1,833.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$9,443.75</b>
<b>Year-to-date Gross</b>	<b>\$219,844.18</b>
<b>Year-to-date Earnings</b>	<b>\$310,543.39</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed