

COMMISSION STATEMENT

January 12, 2018

SYLVIA LUM
3200 N. Central Ave.
#200
Phoenix, AZ 85012

BROKER #: 73610
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/11/2018	LUM (73610), SYLVIA	SAI SECURITES INCOME	0.00	980.00	0.00	980.00	411.60
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$980.00</u>	<u>\$0.00</u>	<u>\$980.00</u>	<u>\$411.60</u>

PRODUCT CATEGORY: TRAILERS

01/11/2018	LUM (73610), SYLVIA	SAI.TRAILS	0.00	11.61	0.00	11.61	4.88
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$11.61</u>	<u>\$0.00</u>	<u>\$11.61</u>	<u>\$4.88</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$991.61</u>	<u>\$0.00</u>	<u>\$991.61</u>	<u>\$416.48</u>

ADJUSTMENTS

6. MONTHLY SVC & TECHNOLOGY FEE							-227.50
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-227.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$416.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-227.50
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$188.98
Year-to-date Earnings	\$190.02