

COMMISSION STATEMENT

August 03, 2018

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
<u>Company: Securities America</u>							
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>							
08/01/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	390.14	0.00	390.14	198.19
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$390.14	\$0.00	\$390.14	\$198.19
<u>PRODUCT CATEGORY: RIA</u>							
08/01/2018	COOK (73756), STEVE	FEE BASED	0.00	295.97	0.00	295.97	150.35
		* RIA SUBTOTAL *	\$0.00	\$295.97	\$0.00	\$295.97	\$150.35
<u>PRODUCT CATEGORY: TRAILERS</u>							
08/01/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	11.37	0.00	11.37	5.78
		* TRAILERS SUBTOTAL *	\$0.00	\$11.37	\$0.00	\$11.37	\$5.78
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$697.48	\$0.00	\$697.48	\$354.32
<u>ADJUSTMENTS</u>							
		15. SIPC 7/27/18 SAI STMT					-187.16
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-187.16

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							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$354.32
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-187.16
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$167.16</u>
							<u>Year-to-date Earnings \$150,807.23</u>