



COMMISSION STATEMENT

January 15, 2018

STEPHEN POLIZZI
1320 Buffalo Road
Suite 211
Rochester, NY 14624

BROKER #: 684 Terminated 12/31/2009
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------|---------------------------------|----------------------|---------------------|-----------------------|-------------------|------|----------------------|
| <div>ADJUSTMENTS</div> | | | | | | | | |
| 01/16/2018 | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | Broker Transactions | | | \$0.00 |
| | | | | | Split Transactions | | | \$0.00 |
| | | | | | Override Transactions | | | \$0.00 |
| | | | | | Adjustments | | | \$0.00 |
| | | | | | Payroll Draw | | | \$0.00 |
| | | | | | Base Salary | | | \$0.00 |
| | | | | | Prior Period Balance | | | \$0.00 |
| | | | | | FINRA Assessment | | | \$0.00 |
| | | | | | SIPC Assessment | | | \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | Balance Forward | | | \$0.00 |
| | | | | | Year-to-date Earnings | | | \$0.00 |