

COMMISSION STATEMENT

January 15, 2018

ROBERT CALDWELL-D013

BROKER #: D013

BRANCH: DME

, TX

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/14/2017 (None)	SUMIDA	MF COLLEGE BOUND	B	0.00	3.50	0.00	3.50	90.0	3.15
12/26/2017 000000000227710	THOMAS,	MF FRANKLIN MODERATE ALLOCATION	B	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 0000000003822855	PATRICK, CHAD	MF MUTUAL SHRS FND - CL C	B	166.66	1.67	0.00	1.67	90.0	1.50
12/26/2017 00067541249	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
12/26/2017 00067549730	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
01/02/2018 00068087474	PULLIAM, RYAN	MF AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018 00068087476	PULLIAM, RYAN	MF AMERICAN BALANCED FUND-529C	B	150.00	1.50	0.00	1.50	90.0	1.35
01/03/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
01/03/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
12/26/2017 00073739173	BEARD, PATRICK	MF INVESTMENT COMPANY OF AMERICA	B	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	103.84	1.04	0.00	1.04	90.0	0.94
01/03/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	103.84	1.04	0.00	1.04	90.0	0.94
12/29/2017 00075317599	COPELAND, SUSAN	MF AMERICAN BALANCED FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
12/29/2017 00075317599	COPELAND, SUSAN	MF FUNDAMENTAL INVESTORS-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
01/02/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	26.78	0.54	0.00	0.54	90.0	0.49
01/02/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	71.39	1.43	0.00	1.43	90.0	1.29
01/02/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	4.72	0.09	0.00	0.09	90.0	0.08
01/02/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	12.60	0.25	0.00	0.25	90.0	0.23
01/02/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.12	0.56	0.00	0.56	90.0	0.50
01/02/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.13	0.56	0.00	0.56	90.0	0.50
01/02/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.13	0.56	0.00	0.56	90.0	0.50
01/02/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.12	0.56	0.00	0.56	90.0	0.50
01/02/2018 00081948549	HINDS, MICHAEL	MF AMERICAN BALANCED FUND-A	B	51.00	1.02	0.00	1.02	90.0	0.92
01/02/2018 00081948549	HINDS, MICHAEL	MF AMERICAN BALANCED FUND-A	B	51.00	1.02	0.00	1.02	90.0	0.92
01/02/2018 00081948549	HINDS, MICHAEL	MF AMERICAN HIGH-INCOME TRUST-A	B	49.50	0.99	0.00	0.99	90.0	0.89
01/02/2018 00081948549	HINDS, MICHAEL	MF AMERICAN HIGH-INCOME TRUST-A	B	49.50	0.99	0.00	0.99	90.0	0.89
01/02/2018 00081948549	HINDS, MICHAEL	MF U.S. GOVERNMENT SECURITIES FUND	B	49.50	0.99	0.00	0.99	90.0	0.89
01/02/2018 00081948549	HINDS, MICHAEL	MF U.S. GOVERNMENT SECURITIES FUND	B	49.50	0.99	0.00	0.99	90.0	0.89
01/02/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.57	0.23	0.00	0.23	90.0	0.21
01/02/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.56	0.23	0.00	0.23	90.0	0.21
01/02/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/02/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
01/02/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
01/02/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
01/02/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.56	0.23	0.00	0.23	90.0	0.21
01/02/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.57	0.23	0.00	0.23	90.0	0.21
01/02/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	29.61	0.30	0.00	0.30	90.0	0.27
01/02/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	98.71	0.99	0.00	0.99	90.0	0.89
01/02/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	34.31	0.34	0.00	0.34	90.0	0.31
01/02/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	34.31	0.34	0.00	0.34	90.0	0.31
01/02/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	26.99	0.27	0.00	0.27	90.0	0.24
01/02/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	26.99	0.27	0.00	0.27	90.0	0.24
01/02/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	9.03	0.09	0.00	0.09	90.0	0.08
01/02/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	15.05	0.15	0.00	0.15	90.0	0.14
01/02/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	10.53	0.11	0.00	0.11	90.0	0.10
01/02/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	17.55	0.18	0.00	0.18	90.0	0.16
01/02/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	10.53	0.11	0.00	0.11	90.0	0.10
01/02/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	17.55	0.18	0.00	0.18	90.0	0.16
01/02/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
01/02/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
01/02/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	45.07	0.45	0.00	0.45	90.0	0.41
01/02/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	45.07	0.45	0.00	0.45	90.0	0.41
01/02/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	31.39	0.31	0.00	0.31	90.0	0.28
01/02/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	31.39	0.31	0.00	0.31	90.0	0.28
01/02/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	31.70	0.32	0.00	0.32	90.0	0.29
01/02/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	31.70	0.32	0.00	0.32	90.0	0.29
01/02/2018 04000412607	HUFFSTETTLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
01/02/2018 04000412607	HUFFSTETTLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
* MUTUAL FUNDS SUBTOTAL *				\$2,677.31	\$42.37	\$0.00	\$42.37		\$38.18

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/27/2017 (None) AVILA, FRANCISCO	VA	JACKSON NATL LIFE	B	0.00	5,252.79	0.00	5,252.79	90.0	4,727.51
12/31/2017 1011849420 JACKMAN, JENNIFER	VA	PERSP L 08/11	B	70,032.26	58.36	0.00	58.36	90.0	52.52
12/31/2017 1011852102 JACKMAN, JENNIFER	VA	ELITE ACCESS L	B	22,070.09	18.39	0.00	18.39	90.0	16.55
12/31/2017 1012316590 AVILA PENSION	VA	PERSP L 08/11	B	560,572.77	467.14	0.00	467.14	90.0	420.43
12/31/2017 1014122890 LAMBERT, RONALD	VA	PERSP L 08/11	B	91,480.13	76.23	0.00	76.23	90.0	68.61
12/31/2017 1014231907 UTLEY, MARTHA	VA	PERSP L 08/11	B	301,939.33	251.62	0.00	251.62	90.0	226.46
12/31/2017 1015402721 LEFORGE, JUDY	VA	PERSP L 08/11	B	43,343.02	36.12	0.00	36.12	90.0	32.51
12/31/2017 1015649484 WINTER, DONALD	VA	PERSP L 08/11	B	78,430.21	65.36	0.00	65.36	90.0	58.82
12/31/2017 1015919945 NAVEL, FRIEDA	VA	PERSP L 08/11	B	37,103.07	30.92	0.00	30.92	90.0	27.83
12/31/2017 1016060638 WILLIAMS, WILLIAM	VA	ELITE ACCESS	B	63,223.52	52.69	0.00	52.69	90.0	47.42
12/31/2017 1016060646 WILLIAMS, WILLIAM	VA	ELITE ACCESS	B	100,246.63	83.54	0.00	83.54	90.0	75.19
12/31/2017 1016060760 WILLIAMS, GLYNDA	VA	ELITE ACCESS	B	6,617.64	5.51	0.00	5.51	90.0	4.96
12/31/2017 1016122048 WOODS, WILLIAM	VA	PERSP L 08/11	B	110,792.03	92.33	0.00	92.33	90.0	83.10
12/31/2017 1016747269 BREEDEN TATE, MARY	VA	ELITE ACCESS L	B	88,677.50	73.90	0.00	73.90	90.0	66.51

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12/31/2017	1016835974 HOLLIDAY, REBECCA	VA ELITE ACCESS L	B	13,673.48	11.39	0.00	11.39	90.0	10.25
12/31/2017	1016922273 WILLIAMS, BRYAN	VA ELITE ACCESS L	B	12,534.94	10.45	0.00	10.45	90.0	9.41
12/31/2017	1017566284 WOODS, WILLIAM	VA ELITE ACCESS L	B	45,128.22	37.61	0.00	37.61	90.0	33.85
12/31/2017	1018403044 WILLIAMS, CLAYTON	VA ELITE ACCESS L	B	9,632.85	8.03	0.00	8.03	90.0	7.23
12/31/2017	1018603060 MILTON, MARY	VA PERSP L 08/11	B	133,452.64	111.21	0.00	111.21	90.0	100.09
12/31/2017	1018757199 STANBERY, DALLAS	VA PERSP L 08/11	B	162,628.40	135.52	0.00	135.52	90.0	121.97
* VARIABLE ANNUITIES SUBTOTAL *				\$1,951,578.73	\$6,879.11	\$0.00	\$6,879.11		\$6,191.22

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017	(None) VARIOUS, CALDWELL	MFT OPPENHEIMER	B	0.00	132.13	0.00	132.13	90.0	118.92
01/08/2018	(None) GRIGGS, SHERRY	MFT FIDELITY ADVISOR FDS	B	0.00	3.34	0.00	3.34	90.0	3.01
01/01/2018	00000939629 MUIR (A MINOR),	MFT MFS GROWTH FUND-C	B	0.00	7.73	0.00	7.73	90.0	6.96
01/01/2018	00000939630 MUIR (A MINOR),	MFT MFS GROWTH FUND-C	B	0.00	7.73	0.00	7.73	90.0	6.96
01/01/2018	00001321372 GAYTON, JEFFREY	MFT MFS EMERGING GROWTH FUND-B	B	0.00	14.26	0.00	14.26	90.0	12.83
01/01/2018	00060269714 WILSON, CINDY	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.56	0.00	3.56	90.0	3.20
01/01/2018	00060381960 GUIDRY, L	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.53	0.00	4.53	90.0	4.08
01/01/2018	00060452513 GAYTON, WILLIAM	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	158.63	0.00	158.63	90.0	142.77
01/01/2018	00060469177 GAYTON, JEFFREY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	36.68	0.00	36.68	90.0	33.01
01/01/2018	00060469178 GAYTON, WILLIAM	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	39.40	0.00	39.40	90.0	35.46
01/01/2018	00060476222 GAYTON, PHYLLIS	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	43.47	0.00	43.47	90.0	39.12
01/01/2018	00060476300 BAXTER, DEBORAH	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	8.65	0.00	8.65	90.0	7.79
01/01/2018	00060476340 DZURIK, COLLEEN	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	25.25	0.00	25.25	90.0	22.73
01/01/2018	00060513440 HANAFEE, CONRAD	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	12.83	0.00	12.83	90.0	11.55
01/01/2018	00060513441 LAYLA JEAN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	6.19	0.00	6.19	90.0	5.57
01/01/2018	00060514214 HANAFEE, CONRAD	MFT MASS INVESTORS GROWTH STOCK	B	0.00	26.53	0.00	26.53	90.0	23.88
01/01/2018	00060514215 LAYLA JEAN	MFT MASS INVESTORS GROWTH STOCK	B	0.00	2.21	0.00	2.21	90.0	1.99
01/01/2018	00060515572 HANAFEE, CONRAD	MFT MFS MID CAP GROWTH FUND-A	B	0.00	17.92	0.00	17.92	90.0	16.13
01/01/2018	00060515573 LAYLA JEAN	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.96	0.00	8.96	90.0	8.06
01/01/2018	00060526191 WILLIAMSON,	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	22.19	0.00	22.19	90.0	19.97
01/01/2018	00060527965 MUIR (A MINOR),	MFT MASS INVESTORS GROWTH STOCK	B	0.00	2.36	0.00	2.36	90.0	2.12
01/01/2018	00060527966 MUIR (A MINOR),	MFT MASS INVESTORS GROWTH STOCK	B	0.00	2.36	0.00	2.36	90.0	2.12
01/01/2018	00060566395 MUIR (A MINOR),	MFT MFS VALUE FUND-A	B	0.00	2.65	0.00	2.65	90.0	2.39
01/01/2018	00060566397 MUIR (A MINOR),	MFT MFS VALUE FUND-A	B	0.00	2.65	0.00	2.65	90.0	2.39
01/01/2018	00060587374 TAN, SOPHIA	MFT MFS MID CAP GROWTH FUND-A	B	0.00	0.66	0.00	0.66	90.0	0.59
01/01/2018	00060587376 SOPHIA IRIS TAN	MFT MFS MID CAP GROWTH FUND-A	B	0.00	0.40	0.00	0.40	90.0	0.36
01/01/2018	03909091179 DATUIN, MARIA	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.43	0.00	1.43	90.0	1.29
01/01/2018	03909091179 DATUIN, MARIA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.38	0.00	1.38	90.0	1.24
01/01/2018	05028463692 STANBERY UTMA/TN,	MFT AIM GLOBAL EQUITY FUND - CLASS	B	0.00	1.07	0.00	1.07	90.0	0.96
01/01/2018	08188090716 WILSON, ASHLEY	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	1.91	0.00	1.91	90.0	1.72
01/01/2018	08188962961 SMITH REMODELING	MFT MFS MODERATE ALLOCATION FUND-C	B	0.00	0.69	0.00	0.69	90.0	0.62
01/01/2018	08188962965 SMITH REMODELING	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	0.94	0.00	0.94	90.0	0.85
01/01/2018	08189050690 STANBERY UTMA/TN,	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	1.97	0.00	1.97	90.0	1.77
01/01/2018	08189829384 JANE LIPSHIE	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	10.87	0.00	10.87	90.0	9.78
01/01/2018	08189841213 GAYTON, PHYLLIS	MFT MFS MODERATE ALLOCATION FUND-B	B	0.00	23.21	0.00	23.21	90.0	20.89

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01/01/2018 08189841217	DZURIK, COLLEEN	MFT MFS GROWTH ALLOCATION FUND-B	B	0.00	0.44	0.00	0.44	90.0	0.40
01/01/2018 08189841221	GAYTON, WILLIAM	MFT MFS GROWTH ALLOCATION FUND-C	B	0.00	178.21	0.00	178.21	90.0	160.39
01/01/2018 08189914268	LIPSHIE BENE,	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	11.59	0.00	11.59	90.0	10.43
01/01/2018 08191404120	MAMIE MERIWETHER	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	20.99	0.00	20.99	90.0	18.89
01/01/2018 08191404120	MAMIE MERIWETHER	MFT MFS TOTAL RETURN FUND-A	B	0.00	11.95	0.00	11.95	90.0	10.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$859.92	\$0.00	\$859.92		\$773.95

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/31/2017 (None)	GAYTON, WILLIAM	VAT PRUDENTIAL LIFE	B	0.00	675.43	0.00	675.43	90.0	607.89
12/31/2017 (None)	GREEN, DIANE	VAT PRUDENTIAL LIFE	B	0.00	76.46	0.00	76.46	90.0	68.81
12/31/2017 (None)	GAYTON, WILLIAM	VAT PRUDENTIAL LIFE	B	0.00	225.14	0.00	225.14	90.0	202.63
12/31/2017 (None)	NAVEL, FRIEDA	VAT PRUDENTIAL LIFE	B	0.00	170.55	0.00	170.55	90.0	153.50
12/31/2017 (None)	NAVEL, FRIEDA	VAT PRUDENTIAL LIFE	B	0.00	56.85	0.00	56.85	90.0	51.17
12/31/2017 (None)	SHELBY, PAULUS	VAT PRUDENTIAL LIFE	B	0.00	88.93	0.00	88.93	90.0	80.04
12/31/2017 (None)	SHELBY, PAULUS	VAT PRUDENTIAL LIFE	B	0.00	22.23	0.00	22.23	90.0	20.01
12/31/2017 (None)	MANNS, DORIS	VAT PRUDENTIAL LIFE	B	0.00	209.88	0.00	209.88	90.0	188.89
12/31/2017 (None)	MANNS, DORIS	VAT PRUDENTIAL LIFE	B	0.00	52.47	0.00	52.47	90.0	47.22
12/31/2017 (None)	WILLIAMS, LINDA	VAT PRUDENTIAL LIFE	B	0.00	51.58	0.00	51.58	90.0	46.42
12/31/2017 (None)	WILLIAMS, LINDA	VAT PRUDENTIAL LIFE	B	0.00	51.58	0.00	51.58	90.0	46.42
12/31/2017 (None)	PEAVY, JANETTE	VAT PRUDENTIAL LIFE	B	0.00	106.98	0.00	106.98	90.0	96.28
12/31/2017 (None)	PEAVY, JANETTE	VAT PRUDENTIAL LIFE	B	0.00	26.75	0.00	26.75	90.0	24.08
12/31/2017 (None)	SHELBY, PAULUS	VAT PRUDENTIAL LIFE	B	0.00	193.90	0.00	193.90	90.0	174.51
12/31/2017 (None)	SHELBY, PAULUS	VAT PRUDENTIAL LIFE	B	0.00	48.47	0.00	48.47	90.0	43.62
12/31/2017 (None)	NAVEL, FRIEDA	VAT PRUDENTIAL LIFE	B	0.00	77.72	0.00	77.72	90.0	69.95
12/31/2017 (None)	NAVEL, FRIEDA	VAT PRUDENTIAL LIFE	B	0.00	25.91	0.00	25.91	90.0	23.32
12/31/2017 (None)	BREEDEN TATE, MARY	VAT PRUDENTIAL LIFE	B	0.00	50.10	0.00	50.10	90.0	45.09
12/31/2017 (None)	BREEDEN TATE, MARY	VAT PRUDENTIAL LIFE	B	0.00	12.53	0.00	12.53	90.0	11.28
12/31/2017 (None)	GREEN, DIANE	VAT PRUDENTIAL LIFE	B	0.00	1,644.88	0.00	1,644.88	90.0	1,480.39
12/31/2017 (None)	GREEN, DIANE	VAT PRUDENTIAL LIFE	B	0.00	548.29	0.00	548.29	90.0	493.46
12/31/2017 (None)	GREEN, DIANE	VAT PRUDENTIAL LIFE	B	0.00	229.38	0.00	229.38	90.0	206.44
12/31/2017 00510042259	BREEDEN TATE,	VAT HARTFORD LIFE	B	0.00	55.25	0.00	55.25	90.0	49.73
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$4,701.26	\$0.00	\$4,701.26		\$4,231.15
*** DIRECT TRANSACTIONS TOTAL ***				\$1,954,256.04	\$12,482.66	\$0.00	\$12,482.66		\$11,234.50

BROKER COMMISSION TOTALS

Broker Transactions	\$11,234.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11,234.50
Year-to-date Commissions	\$11,331.17