



# COMMISSION STATEMENT

## May 21, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/07/2018	***-**-7975 - VAGLIO, JOSEPH	FA 529 COLLEGE PORT-CL C	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00701694614	BUY 16 Units @15.2700						
05/11/2018	***-**-623I - LEIBOWITZ, IRWIN	FIDELITY ADVISOR CONSUMER STAPLES F	2,705.83	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR102156	SELL 36 Units @75.3000						
05/21/2018	***-**-451I - LUCCHI, PAUL	SAMMONS MF TRAILS	0.00	2.68	0.00	2.68	90.0	2.41
	ACCOUNT #: 7200003233	BUY 0 Units @0.0000						
05/21/2018	***-**-971I - MEEHAN, JULIE	SAMMONS MF TRAILS	0.00	5.12	0.00	5.12	90.0	4.61
	ACCOUNT #: 7200009839	BUY 0 Units @0.0000						
05/21/2018	***-**-78IN - KOLLQAKU, BUJARE	SAMMONS MF TRAILS	0.00	4.60	0.00	4.60	90.0	4.14
	ACCOUNT #: 7200013535	BUY 0 Units @0.0000						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,955.83</b>	<b>\$13.65</b>	<b>\$0.00</b>	<b>\$13.65</b>		<b>\$12.29</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/14/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	1,000.00	15.00	0.00	15.00	90.0	13.50
	ACCOUNT #: VP71054510	BUY 0 Units @0.0000						
05/14/2018	***-**-1539 - SPADARO, VICTOR	PACIFIC LIFE ABRB DTCC	400.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: VP71039250	BUY 0 Units @0.0000						
05/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	165.33	1.66	0.00	1.66	90.0	1.49
	ACCOUNT #: VP71058060	BUY 0 Units @0.0000						
05/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	113.58	1.14	0.00	1.14	90.0	1.03
	ACCOUNT #: VP71058070	BUY 0 Units @0.0000						
05/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	155.75	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: VP71058080	BUY 0 Units @0.0000						
05/15/2018	***-**-5089 - LEVINSON, ROBERT	PACIFIC LIFE ABRB DTCC	164.42	1.65	0.00	1.65	90.0	1.49
	ACCOUNT #: VP71058050	BUY 0 Units @0.0000						
05/18/2018	***-**-0651 - NOSTRAMO, LORI	PACIFIC LIFE ABRB DTCC	102.00	1.02	0.00	1.02	90.0	0.92
	ACCOUNT #: VP71057790	BUY 0 Units @0.0000						
05/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
05/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						
05/15/2018	***-**-8751 - QUEZADA, RITA	PACIFIC LIFE VA DTCC RENEWALS	150.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049780	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)</b>								
05/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS	1,600.00	8.00	0.00	8.00	90.0	7.20
	ACCOUNT #: VP71031550	BUY 0 Units @0.0000						
05/17/2018	***-**-8166 - GANZ, CARY	PACIFIC LIFE VA DTCC RENEWALS	1,600.00	8.00	0.00	8.00	90.0	7.20
	ACCOUNT #: VP71031550	BUY 0 Units @0.0000						
05/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
05/14/2018	***-**-7172 - WINBURN, WILL	PACIFIC LIFE VA TRAILS DTCC	180.00	1.80	0.00	1.80	90.0	1.62
	ACCOUNT #: VP65806640	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$6,111.08</b>	<b>\$45.14</b>	<b>\$0.00</b>	<b>\$45.14</b>		<b>\$40.63</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/21/2018	***-**-71IN - PASCALE, LYNNE	FIDELITY ADV HEALTH CARE-CL A	0.00	12.41	0.00	12.41	90.0	11.17
	ACCOUNT #: LSR003816	BUY 1 Units @0.0000						
05/21/2018	***-**-639I - MCENANEY,	FIDELITY ADV HEALTH CARE-CL A	0.00	3.61	0.00	3.61	90.0	3.25
	ACCOUNT #: LSR102423	BUY 1 Units @0.0000						
05/21/2018	***-**-71IN - PASCALE, LYNNE	FIDELITY ADV LARGE CAP-CL A	0.00	2.84	0.00	2.84	90.0	2.56
	ACCOUNT #: LSR003816	BUY 1 Units @0.0000						
05/21/2018	***-**-1469 - GIGLIO, SUSAN	FIDELITY ADV LARGE CAP-CL A	0.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
05/21/2018	***-**-3410 - PONSOT, DENIS	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	0.63	0.00	0.63	90.0	0.57
	ACCOUNT #: LSR100803	BUY 1 Units @0.0000						
05/21/2018	***-**-1469 - GIGLIO, SUSAN	FIDELITY ADV MID CAP II-CL A	0.00	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
05/21/2018	***-**-3410 - PONSOT, DENIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: LSR100803	BUY 1 Units @0.0000						
05/21/2018	***-**-639I - MCENANEY,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	3.66	0.00	3.66	90.0	3.29
	ACCOUNT #: LSR102423	BUY 1 Units @0.0000						
05/21/2018	***-**-639I - MCENANEY,	FIDELITY ADV STRATEGIC INCOME CL A	0.00	6.74	0.00	6.74	90.0	6.07
	ACCOUNT #: LSR102423	BUY 1 Units @0.0000						
05/21/2018	***-**-623I - LEIBOWITZ, IRWIN	FIDELITY ADVISOR CONSUMER STAPLES F	0.00	4.29	0.00	4.29	90.0	3.86
	ACCOUNT #: LSR102156	BUY 1 Units @0.0000						
05/21/2018	***-**-1469 - GIGLIO, SUSAN	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	3.01	0.00	3.01	90.0	2.71
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-9101 - PASCALE, DEBRA	PUTNAM ASSET ALLOCATION BALANCED PO	0.00	1.01	0.00	1.01	90.0	0.91
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$43.45</b>	<b>\$0.00</b>	<b>\$43.45</b>		<b>\$39.11</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$9,066.91</b>	<b>\$102.24</b>	<b>\$0.00</b>	<b>\$102.24</b>		<b>\$92.03</b>

### SPLIT TRANSACTIONS

#### **BROKER: JEFFREY MORRISON**

05/16/2018	***-**-116J - BRILL, AMY	AGNC INVT CORP COM UNSOLICITED ORDE	1,038.73	23.58	12.00	11.58	90.0	10.42
	ACCOUNT #: LSR011592	BUY 55 Units @18.8860						
05/21/2018	***-**-553J - OLIVO, EILEEN	AMCAP FUND-A	0.00	0.48	0.00	0.48	90.0	0.43
	ACCOUNT #: LSR101540	BUY 1 Units @0.0000						
05/21/2018	***-**-2838 - WATSON	AMCAP FUND-A	0.00	0.42	0.00	0.42	90.0	0.38
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
05/21/2018	***-**-9481 - WATSON	AMCAP FUND-A	0.00	1.20	0.00	1.20	90.0	1.08
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
05/21/2018	***-**-5165 - WATSON	AMCAP FUND-A	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
05/21/2018	***-**-1182 - WATSON	AMCAP FUND-A	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
05/21/2018	***-**-553J - OLIVO, EILEEN	AMERICAN MUTUAL FUND-A	0.00	0.46	0.00	0.46	90.0	0.41
	ACCOUNT #: LSR101540	BUY 1 Units @0.0000						
05/21/2018	***-**-1182 - WATSON	AMERICAN MUTUAL FUND-A	0.00	0.67	0.00	0.67	90.0	0.60
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
05/21/2018	***-**-2838 - WATSON	AMERICAN NEW ECONOMY CLASS F1	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
05/21/2018	***-**-9481 - WATSON	AMERICAN NEW ECONOMY CLASS F1	0.00	0.88	0.00	0.88	90.0	0.79
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
05/16/2018	***-**-16IR - BRILL, AMY	AMGEN INC	3,821.80	27.00	9.00	18.00	90.0	16.20
	ACCOUNT #: LSR010277	BUY 22 Units @173.7180						
05/11/2018	***-**-85IN - YANKILEVICH,	BIOSIG TECH INC COM	386.40	19.00	9.00	10.00	90.0	9.00
	ACCOUNT #: LSR010898	BUY 210 Units @1.8400						



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
05/11/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	BIOSIG TECH INC COM BUY 590 Units @1.8500	1,091.50	15.00	0.00	15.00	90.0	13.50
05/21/2018	***-**-1182 - WATSON ACCOUNT #: LSR106160	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.37	0.00	0.37	90.0	0.33
05/07/2018	***-**-9976 - GANZ, BARBARA ACCOUNT #: 00700773088	FA 529 PORT 2022-CL A BUY 18 Units @28.3000	500.00	12.50	0.00	12.50	90.0	11.25
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 1 Units @0.0000	0.00	2.98	0.00	2.98	90.0	2.68
05/21/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105562	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	90.0	1.42
05/21/2018	***-**-9974 - JAKOBSEN, GLENN ACCOUNT #: LSR104710	FIDELITY ADV FINANCIAL SERVICES CL BUY 1 Units @0.0000	0.00	2.55	0.00	2.55	90.0	2.30
05/21/2018	***-**-27TR - PELL TTEE, ACCOUNT #: LSR010162	FIDELITY ADV GROWTH & INCOME CL C BUY 1 Units @0.0000	0.00	3.40	0.00	3.40	90.0	3.06
05/21/2018	***-**-27IR - PELL, ANDREA ACCOUNT #: LSR010637	FIDELITY ADV GROWTH & INCOME CL C BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	90.0	0.89
05/21/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV GROWTH & INCOME CL C BUY 1 Units @0.0000	0.00	8.45	0.00	8.45	90.0	7.61
05/21/2018	***-**-27TR - PELL TTEE, ACCOUNT #: LSR010162	FIDELITY ADV HEALTH CARE CL C BUY 1 Units @0.0000	0.00	3.42	0.00	3.42	90.0	3.08
05/21/2018	***-**-27IR - PELL, ANDREA ACCOUNT #: LSR010637	FIDELITY ADV HEALTH CARE CL C BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	90.0	0.90
05/21/2018	***-**-85IN - YANKILEVICH, ACCOUNT #: LSR010898	FIDELITY ADV HEALTH CARE CL C BUY 1 Units @0.0000	0.00	8.98	0.00	8.98	90.0	8.08
05/21/2018	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	90.0	0.54
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	2.98	0.00	2.98	90.0	2.68
05/21/2018	***-**-88IN - HOVSEPIAN, ACCOUNT #: LSR011940	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.42	0.00	0.42	90.0	0.38
05/21/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
05/21/2018	***-**-2771 - ZALINSKY, ACCOUNT #: LSR100013	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	90.0	0.50
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV INDUSTRIALS CL A BUY 1 Units @0.0000	0.00	4.59	0.00	4.59	90.0	4.13
05/21/2018	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	FIDELITY ADV INT'L CAPITAL APP CL A BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	90.0	2.21
05/21/2018	***-**-9974 - JAKOBSEN, GLENN ACCOUNT #: LSR104710	FIDELITY ADV LARGE CAP-CL A BUY 1 Units @0.0000	0.00	8.82	0.00	8.82	90.0	7.94
05/21/2018	***-**-9333 - SPINELLI, SONIA ACCOUNT #: LSR102431	FIDELITY ADV LEVERAGED CO STOCK CL BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	90.0	0.44
05/21/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105554	FIDELITY ADV MID CAP II-CL A BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	90.0	1.03
05/21/2018	***-**-9333 - SPINELLI, SONIA ACCOUNT #: LSR102431	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	90.0	0.60
05/21/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105562	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	2.20	0.00	2.20	90.0	1.98
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV STRATEGIC DIV & INCOME BUY 1 Units @0.0000	0.00	2.47	0.00	2.47	90.0	2.22
05/21/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADV TECHNOLOGY CL A BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADV UTILITIES CL A BUY 1 Units @0.0000	0.00	5.80	0.00	5.80	90.0	5.22
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADVISOR CONSUMER STAPLES F BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	90.0	2.81
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY ADVISOR CORP BOND CLASS A BUY 1 Units @0.0000	0.00	2.55	0.00	2.55	90.0	2.30
05/21/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADVISOR EMERGING ASIA FUND BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
05/21/2018	***-**-464I - GAMINI, ARUNA ACCOUNT #: LSR012623	FIDELITY ADVISOR FREEDOM 2040 BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
05/21/2018	***-**-29IO - WHITE, SHIRLEY ACCOUNT #: LSR012456	FIDELITY ADVISOR MID CAP STOCK CL A BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02



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<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
05/21/2018	***-**-60TR - BRILL FAMILY	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
05/21/2018	***-**-4673 - PEPE, JOANN	FIDELITY ADVISOR SMALL-CAP GROWTH F	0.00	1.40	0.00	1.40	90.0	1.26
	ACCOUNT #: LSR105554	BUY 1 Units @0.0000						
05/21/2018	***-**-7863 - MARK, ROBERT	FIRST EAGLE GLOBAL FUND CLASS C	0.00	14.03	0.00	14.03	90.0	12.63
	ACCOUNT #: LSR100102	BUY 1 Units @0.0000						
05/21/2018	***-**-1182 - WATSON	FUNDAMENTAL INVESTORS-A	0.00	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
05/21/2018	***-**-2838 - WATSON	GLOBAL FUND A	0.00	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
05/21/2018	***-**-9481 - WATSON	GLOBAL FUND A	0.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
05/21/2018	***-**-5165 - WATSON	GLOBAL FUND A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
04/30/2018	***-**-18IR - VENA, JOANNE	GRIFFIN HEALTHCARE REIT IV	2,500.00	37.50	0.00	37.50	90.0	33.75
		BUY 250 Units @10.0000						
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN HEALTHCARE REIT IV	13,800.00	207.00	0.00	207.00	90.0	186.30
		BUY 1380 Units @10.0000						
05/21/2018	***-**-2816 - CALDERA,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	6.13	0.00	6.13	90.0	5.52
	ACCOUNT #: LSR103039	BUY 1 Units @0.0000						
05/21/2018	***-**-4434 - CALDERA,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: LSR108855	BUY 1 Units @0.0000						
05/21/2018	***-**-242I - KOUNOUKLOS,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR005991	BUY 1 Units @0.0000						
05/21/2018	***-**-36IR - KOUNOUKLOS,	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.48	0.00	0.48	90.0	0.43
	ACCOUNT #: LSR006009	BUY 1 Units @0.0000						
05/21/2018	***-**-99IO - COHEN	GRIFFIN INST ACCESS REALESTATE FD C	0.00	2.05	0.00	2.05	90.0	1.85
	ACCOUNT #: LSR008370	BUY 1 Units @0.0000						
05/21/2018	***-**-06IN - MARK, SCOTT	GRIFFIN INST ACCESS REALESTATE FD C	0.00	7.32	0.00	7.32	90.0	6.59
	ACCOUNT #: LSR010056	BUY 1 Units @0.0000						
05/21/2018	***-**-17IR - TRAUTMAN, SHERRI	GRIFFIN INST ACCESS REALESTATE FD C	0.00	0.23	0.00	0.23	90.0	0.21
	ACCOUNT #: LSR010246	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## May 21, 2018

**RICHARD PASCALE**  
321 Grover Avenue North  
Massapequa Park, NY 11762

**BROKER #: 981**  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
05/21/2018	***-**-75TR - WATSON TTEE, ACCOUNT #: LSR010292	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	90.0	1.31
05/21/2018	***-**-36IN - KOUNOUKLOS ACCOUNT #: LSR010363	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	90.0	0.05
05/21/2018	***-**-236J - KOUNOUKLOS, ACCOUNT #: LSR010580	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	90.0	0.87
05/21/2018	***-**-640K - OLLECH, MARCEL ACCOUNT #: LSR010819	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	90.0	0.87
05/21/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	9.67	0.00	9.67	90.0	8.70
05/21/2018	***-**-24IO - REICH COHEN, ACCOUNT #: LSR011560	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	90.0	1.42
05/21/2018	***-**-809I - YANKILEVICH, ACCOUNT #: LSR011625	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	90.0	0.39
05/21/2018	***-**-88IN - HOVSEPIAN, ACCOUNT #: LSR011940	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	90.0	0.53
05/21/2018	***-**-0218 - MARK CUSTODIAN, ACCOUNT #: LSR100838	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	90.0	0.41
05/21/2018	***-**-9659 - MARK CUSTODIAN, ACCOUNT #: LSR100854	GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	90.0	0.41
05/21/2018	***-**-06IN - MARK, SCOTT ACCOUNT #: LB2XR14161	GWG L BOND - 2YR BUY 0 Units @0.0000	40,000.00	800.00	0.00	800.00	90.0	720.00
05/21/2018	***-**-4082 - WINCHURCH, NORA ACCOUNT #: 3466635	MULTIPLE STRATEGY ACCOUNT, PROFILE BUY 0 Units @4755.6320	475,563.20	385.32	0.00	385.32	90.0	346.79
05/21/2018	***-**-2838 - WATSON ACCOUNT #: LSR106100	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	90.0	0.64
05/21/2018	***-**-9481 - WATSON ACCOUNT #: LSR106135	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.21	0.00	0.21	90.0	0.19
05/21/2018	***-**-5165 - WATSON ACCOUNT #: LSR106151	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	90.0	0.22
05/21/2018	***-**-1182 - WATSON ACCOUNT #: LSR106160	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	90.0	0.47



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
05/21/2018	***-**-2838 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
05/21/2018	***-**-9481 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
05/21/2018	***-**-5165 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.72	0.00	0.72	90.0	0.65
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
05/21/2018	***-**-1182 - WATSON	OPPENHEIMER DISCOVERY CLASS A	0.00	0.47	0.00	0.47	90.0	0.42
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - WHITFIELD, FBO	OPPENHEIMER GLOBAL CLASS C	0.00	5.14	0.00	5.14	90.0	4.63
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
05/14/2018	***-**-809I - YANKILEVICH,	PACIFIC LIFE EXCE 5A DTCC	350.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: VP65924490	BUY 0 Units @0.0000						
05/15/2018	***-**-8599 - COHEN, STUART	PACIFIC LIFE EXCE 5A DTCC	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: VP65920220	BUY 0 Units @0.0000						
05/15/2018	***-**-9012 - GIUFFRE, RICHARD	PACIFIC LIFE EXEC II DTCC	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: VP65345830	BUY 0 Units @0.0000						
05/15/2018	***-**-9012 - GIUFFRE, RICHARD	PACIFIC LIFE EXEC II DTCC	250.00	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: VP65345830	BUY 0 Units @0.0000						
05/16/2018	***-**-6017 - DILL, ROWAN	PACIFIC LIFE EXEC II DTCC	210.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: VP65524490	BUY 0 Units @0.0000						
05/16/2018	***-**-6017 - DILL, ROWAN	PACIFIC LIFE EXEC II DTCC	210.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: VP65524490	BUY 0 Units @0.0000						
05/21/2018	***-**-00IR - WHITFIELD, FBO	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - WHITFIELD, FBO	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	3.86	0.00	3.86	90.0	3.47
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
05/21/2018	***-**-4673 - PEPE, JOANN	QUEST BALANCED A	0.00	1.82	0.00	1.82	90.0	1.64
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
05/16/2018	***-**-60TR - BRILL FAMILY	RESOURCE CREDIT INCOME FUND C	20,000.00	100.00	9.00	91.00	90.0	81.90
	ACCOUNT #: LSR011537	BUY 1775 Units @11.2700						
05/21/2018	***-**-640K - OLLECH, MARCEL	RESOURCE REAL ESTATES-NFS	0.00	0.92	0.00	0.92	90.0	0.83
	ACCOUNT #: LSR010819	BUY 1 Units @0.0000						





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: JEFFREY MORRISON (continued)</u></b>								
05/21/2018	***-**-60TR - BRILL FAMILY	RESOURCE REAL ESTATES-NFS	0.00	9.29	0.00	9.29	90.0	8.36
	ACCOUNT #: LSR011537	BUY 1 Units @0.0000						
05/21/2018	***-**-485I - YANKILEVICH,	RESOURCE REAL ESTATES-NFS	0.00	0.68	0.00	0.68	90.0	0.61
	ACCOUNT #: LSR011632	BUY 1 Units @0.0000						
05/11/2018	***-**-85IN - YANKILEVICH,	SPDR GOLD TR GOLD SHS UNSOLICITED O	1,876.35	27.00	7.65	19.35	90.0	17.42
	ACCOUNT #: LSR010898	BUY 15 Units @125.0900						
05/21/2018	***-**-9132 - WOLOWITZ, CAROL	THE BOND FUND OF AMERICA-C	0.00	1.01	0.00	1.01	90.0	0.91
	ACCOUNT #: LSR101753	BUY 1 Units @0.0000						
05/10/2018	***-**-91IN - MILLER SPINETTI,	WALMART STORES INC UNSOLICITED ORDE	-1,583.40	-5.23	-7.69	2.46	90.0	2.21
	ACCOUNT #: LSR010224	CANCEL 19 Units @83.3370						
05/10/2018	***-**-91IN - MILLER SPINETTI,	WALMART STORES INC UNSOLICITED ORDE	-8,333.50	-27.00	-1.00	-26.00	90.0	-23.40
	ACCOUNT #: LSR010224	CANCEL 100 Units @83.3350						
	<b>* JEFFREY MORRISON SUBTOTAL *</b>		<b>\$552,431.08</b>	<b>\$1,796.78</b>	<b>\$37.96</b>	<b>\$1,758.82</b>		<b>\$1,582.98</b>
	<b>*** SPLIT TRANSACTIONS TOTAL ***</b>		<b>\$552,431.08</b>	<b>\$1,796.78</b>	<b>\$37.96</b>	<b>\$1,758.82</b>		<b>\$1,582.98</b>
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ALAN FISHMAN</u></b>								
05/10/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	25.00	1.25	0.00	1.25	7.5	0.09
	ACCOUNT #: 05066338392	BUY 1 Units @42.8600						
	<b>* ALAN FISHMAN SUBTOTAL *</b>		<b>\$25.00</b>	<b>\$1.25</b>	<b>\$0.00</b>	<b>\$1.25</b>		<b>\$0.09</b>
	<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>		<b>\$25.00</b>	<b>\$1.25</b>	<b>\$0.00</b>	<b>\$1.25</b>		<b>\$0.09</b>



BROKER #: 981  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions \$92.03								
Split Transactions \$1,582.98								
Override Transactions \$0.09								
Adjustments \$0.00								
Payroll Draw \$0.00								
Base Salary \$0.00								
Prior Period Balance \$0.00								
FINRA Assessment \$0.00								
SIPC Assessment \$0.00								
Net Commission Earned \$1,675.10								
Year-to-date Earnings \$25,465.45								