



All Companies

04/27/2018
Page No. 1

COMMISSION STATEMENT May 01, 2018

EDWARD F. TATE
4620 CUMMINGS DRIVE E

BROKER #: 9526
BRANCH: HOME OFFICE

Fort Worth, TX 76180-

COMMISSION STATEMENT for EDWARD F. TATE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	COLLEGEBOUND 529	B	12.93	12.93	0.00	12.93	65.0	8.40
04/16/2018	00074538041	HOLT, ROY	AMERICAN FUNDS INVESTMENT COMP OF A B	150.00	3.00	0.00	3.00	65.0	1.95
04/16/2018	00074538041	HOLT, ROY	AMERICAN FUNDS WA MUTUAL INVES B	150.00	3.00	0.00	3.00	65.0	1.95
04/16/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	1.38	0.00	1.38	65.0	0.90
04/16/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	65.0	0.90
04/16/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	65.0	0.90
04/16/2018	00074658668	JONES, REYNOLD C.	AMERICAN FUNDS WA MUTUAL INVES B	50.00	1.38	0.00	1.38	65.0	0.90
04/16/2018	00081329147	HAWKINS, KENZI	THE GROWTH FUND OF AMERICA-529A B	100.00	4.25	0.00	4.25	65.0	2.76
04/16/2018	00081802103	NICHOLS, ANGELA	AMERICAN FUNDS CAPITAL WORLD GROWT B	250.00	12.50	0.00	12.50	65.0	8.13
04/12/2018	00085763755	GARNER, TERRI	AMERICAN FUNDS CAPITAL WORLD GROWT B	124.80	5.30	0.00	5.30	65.0	3.45
04/12/2018	00085763755	GARNER, TERRI	NEW WORLD FUND-A B	124.80	5.30	0.00	5.30	65.0	3.45
04/12/2018	00085763780	JONES, REYNOLD C.	AMERICAN FUNDS CAPITAL WORLD GROWT B	780.00	33.15	0.00	33.15	65.0	21.55
04/12/2018	00085763780	JONES, REYNOLD C.	NEW WORLD FUND-A B	780.00	33.15	0.00	33.15	65.0	21.55
04/12/2018	00085763792	HUDNALL, MICHAEL	AMERICAN FUNDS CAPITAL WORLD GROWT B	1,177.80	50.06	0.00	50.06	65.0	32.54
04/12/2018	00086600799	CATO, CINDY	AMERICAN FUNDS WA MUTUAL INVES B	172.20	7.32	0.00	7.32	65.0	4.76
04/20/2018	00088327158	HARNELL, LILIYA A.	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	5.00	0.00	5.00	65.0	3.25
04/12/2018	04000226740	PERRETT, LUNCINDA	AMERICAN FUNDS WA MUTUAL INVES B	848.25	36.05	0.00	36.05	65.0	23.43
	S.								
04/11/2018	04892926623	BALLARD,	ALLIANCE PREMIER GROWTH FD CL A B	100.00	4.00	0.00	4.00	65.0	2.60
	ALEXANDRIA								
04/02/2018	07900035229	LUNDREN,	ALLIANCE PREMIER GROWTH FD CL B B	100.00	4.00	0.00	4.00	65.0	2.60
	TERRY/STEPHANIE								

* MUTUAL FUNDS SUBTOTAL *	\$5,170.78	\$224.53	\$0.00	\$224.53	\$145.97
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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES	0.00	18.36	0.00	18.36	65.0	11.94
05/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES	0.00	206.23	0.00	206.23	65.0	134.08
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$224.59	\$0.00	\$224.59		\$146.02
	*** BROKER TRANSACTIONS TOTAL ***		\$5,170.78	\$449.12	\$0.00	\$449.12		\$291.99

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/01/2018		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-17.82
05/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-145.32

BROKER COMMISSION TOTALS

Broker Transactions	\$291.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-145.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-59.97

Check Amount	\$86.70
Year-to-date Earnings	\$1,336.00