



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 15, 2017**

08/14/2017  
 Page No. 1

JOHN ROSS  
 7261 W. Central Ave.  
  
 Toledo, OH 43617

BROKER #: R119  
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/27/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
08/01/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
08/03/2017	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	800.00	40.00	0.00	40.00	92.0	36.80
08/01/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
07/31/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
07/27/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
07/31/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
07/27/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
07/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
07/27/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
07/25/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
07/27/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	250.00	2.50	0.00	2.50	92.0	2.30
08/01/2017	HUNTER, DEBORAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	92.0	1.96
07/27/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	250.00	2.50	0.00	2.50	92.0	2.30
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	2.00	0.00	2.00	92.0	1.84
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.38	0.00	1.38	92.0	1.27
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.94	0.00	0.94	92.0	0.86
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.35	0.00	1.35	92.0	1.24
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.13	0.00	1.13	92.0	1.04
07/31/2017	HENDERSON, BARBARA	FRANKLIN BALANCED C	0.00	14.49	0.00	14.49	92.0	13.33
07/31/2017	KRALL, GARY	FRANKLIN DYNATECH A	0.00	14.92	0.00	14.92	92.0	13.73
07/31/2017	REEVES, TERENCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.17	0.00	0.17	92.0	0.16
07/31/2017	HENDERSON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS C	0.00	7.97	0.00	7.97	92.0	7.33
07/31/2017	HENDERSON, BARBARA	FRANKLIN INCOME C	0.00	9.14	0.00	9.14	92.0	8.41
07/31/2017	KRALL, GARY	FRANKLIN MICROCAP VALUE A	0.00	15.78	0.00	15.78	92.0	14.52
07/31/2017	KRALL, GARY	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.43	0.00	3.43	92.0	3.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/31/2017	HENDERSON, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	14.24	0.00	14.24	92.0	13.10
07/31/2017	DRAGE, JENE	FRANKLIN US GOVT SEC FUND A	0.00	2.02	0.00	2.02	92.0	1.86
07/31/2017	HENDERSON, BARBARA	FRANKLIN US GOVT SEC FUND C	0.00	11.54	0.00	11.54	92.0	10.62
07/31/2017	HENDERSON, BARBARA	FT MUTUAL SHARES FUND C	0.00	24.97	0.00	24.97	92.0	22.97
07/20/2017	MANN, JAMES	OPPENHEIMER MAIN STREET A	3,000.00	142.50	0.00	142.50	92.0	131.10
07/20/2017	MANN, JAMES	OPPENHEIMER MAIN STREET A	400.00	19.00	0.00	19.00	92.0	17.48
07/20/2017	MANN, JAMES	OPPENHEIMER MAIN STREET A	500.00	4.59	0.00	4.59	92.0	4.22
07/26/2017	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
07/26/2017	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
07/31/2017	KRALL, GARY	TEMPLETON DEVELOPING MKTS A	0.00	3.04	0.00	3.04	92.0	2.80
07/31/2017	KRALL, GARY	TEMPLETON FOREIGN SMCO A	0.00	3.12	0.00	3.12	92.0	2.87
07/31/2017	SPRANGEL, CHERYL	TEMPLETON WORLD A	0.00	11.82	0.00	11.82	92.0	10.87
07/31/2017	REEVES, TERRENCE	TEMPLETON WORLD A	0.00	0.24	0.00	0.24	92.0	0.22
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$7,070.28</b>	<b>\$412.25</b>	<b>\$0.00</b>	<b>\$412.25</b>		<b>\$379.27</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/05/2017	SCHLIEVERT, KAREN	AM GEN SEASONS ELITE	41,257.49	103.14	0.00	103.14	92.0	94.89
07/31/2017	IRWIN, JOHN	JNL ELITE ACCESS	145,513.92	30.32	0.00	30.32	92.0	27.89
07/31/2017	DEMKO, VERNA	JNL PERSPECTIVE	51,404.69	10.71	0.00	10.71	92.0	9.85
07/31/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,423.65	1.43	0.00	1.43	92.0	1.32
07/31/2017	LONG, LORI	JNL PERSPECTIVE L	14,727.73	12.27	0.00	12.27	92.0	11.29
07/31/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	32,475.13	27.06	0.00	27.06	92.0	24.90
07/31/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	27,596.13	23.00	0.00	23.00	92.0	21.16
07/31/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,560.86	35.47	0.00	35.47	92.0	32.63
07/31/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,491.49	12.08	0.00	12.08	92.0	11.11
07/31/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	112,185.86	93.49	0.00	93.49	92.0	86.01
07/31/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	40,828.78	34.02	0.00	34.02	92.0	31.30
07/31/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	126,545.95	105.45	0.00	105.45	92.0	97.01
07/31/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	65,303.46	54.42	0.00	54.42	92.0	50.07
07/31/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	62,352.59	51.96	0.00	51.96	92.0	47.80
07/31/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	97,233.43	81.03	0.00	81.03	92.0	74.55
07/31/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	85,603.86	71.34	0.00	71.34	92.0	65.63
07/31/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	72,490.13	60.41	0.00	60.41	92.0	55.58
07/31/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	57,103.75	47.59	0.00	47.59	92.0	43.78

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	56,745.85	47.29	0.00	47.29	92.0	43.51
08/10/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
08/10/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$1,149,919.75</b>	<b>\$906.61</b>	<b>\$0.00</b>	<b>\$906.61</b>		<b>\$834.08</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.60	0.00	2.60	92.0	2.39
07/19/2017	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	14.56	0.00	14.56	92.0	13.40
07/18/2017	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.53	0.00	4.53	92.0	4.17
06/30/2017	MCCLOSKEY, DANIEL	STADION INVESTMENTS	0.00	14.50	0.00	14.50	92.0	13.34
07/13/2017	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.14	0.00	0.14	92.0	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$36.33</b>	<b>\$0.00</b>	<b>\$36.33</b>		<b>\$33.43</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/29/2017	SILLIMAN, GRACE	ING PREMIUM PLUS 2005	0.00	11.20	0.00	11.20	92.0	10.30
07/30/2017	WAGONER JR, JOHN	ING PREMIUM PLUS 2005	0.00	19.96	0.00	19.96	92.0	18.36
07/30/2017	WAGONER JR, JOHN	ING PREMIUM PLUS 2005	0.00	20.14	0.00	20.14	92.0	18.53
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$51.30</b>	<b>\$0.00</b>	<b>\$51.30</b>		<b>\$47.19</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$1,156,990.03</b>	<b>\$1,406.49</b>	<b>\$0.00</b>	<b>\$1,406.49</b>		<b>\$1,293.97</b>

**OVERRIDE TRANSACTIONS****BROKER: THOMAS HALSEY #82**

07/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
08/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	1.19
06/30/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.43	0.00	0.43	85.0	0.03
06/30/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.43	0.00	0.43	85.0	0.03
06/30/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.79	0.00	7.79	85.0	0.55
06/30/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.18	0.00	1.18	85.0	0.08
06/30/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.16	0.00	1.16	85.0	0.08
06/30/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.07	0.00	11.07	85.0	0.77
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.49	0.00	7.49	85.0	0.52
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.46	0.00	3.46	85.0	0.24
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.84	0.00	4.84	85.0	0.34

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.22	0.00	1.22	85.0	0.09
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.26	0.00	6.26	85.0	0.44
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.26	0.00	4.26	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.22	0.00	4.22	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.15	0.00	6.15	85.0	0.43
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.65	0.00	6.65	85.0	0.47
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.82	0.00	8.82	85.0	0.62
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.33	0.00	3.33	85.0	0.23
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.21	0.00	5.21	85.0	0.36
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.19	0.00	6.19	85.0	0.43
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.09	0.00	6.09	85.0	0.43
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.98	0.00	0.98	85.0	0.07
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	14.43	0.00	14.43	85.0	1.01
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.73	0.00	6.73	85.0	0.47
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.49	0.00	6.49	85.0	0.45
07/31/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	64.59	0.00	64.59	85.0	4.52
07/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	35.88	0.00	35.88	85.0	2.51
07/31/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.85	0.00	49.85	85.0	3.49
07/31/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.66	0.00	25.66	85.0	1.80
07/31/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	15.99	0.00	15.99	85.0	1.12
07/31/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	65.28	0.00	65.28	85.0	4.57
07/31/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.43	0.00	0.43	85.0	0.03
07/31/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	35.80	0.00	35.80	85.0	2.51
07/31/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	37.09	0.00	37.09	85.0	2.60
07/31/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.72	0.00	9.72	85.0	0.68
07/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	182,588.92	152.16	0.00	152.16	85.0	10.65
07/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,634.36	15.53	0.00	15.53	85.0	1.09
07/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	52,973.33	44.14	0.00	44.14	85.0	3.09
07/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	41,887.91	34.91	0.00	34.91	85.0	2.44
07/06/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	0.53	0.00	0.53	85.0	0.04
07/06/2017	JOHNSON, LINDA	MAINSTAY CORNERSTONE GRW FD	0.00	0.51	0.00	0.51	85.0	0.04
07/06/2017	JOHNSON, CHARLES	MAINSTAY CORNERSTONE GRW FD	0.00	2.94	0.00	2.94	85.0	0.21
07/06/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	0.62	0.00	0.62	85.0	0.04

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
07/06/2017	JOHNSON, LINDA	MAINSTAY US SMALL CAP INV	0.00	0.61	0.00	0.61	85.0	0.04
07/06/2017	JOHNSON, CHARLES	MAINSTAY US SMALL CAP INV	0.00	4.90	0.00	4.90	85.0	0.34
07/31/2017	DESELMs, RAYMOND	TEMPLETON WORLD A	0.00	0.93	0.00	0.93	85.0	0.07
* THOMAS HALSEY SUBTOTAL *			\$296,534.52	\$742.45	\$0.00	\$742.45		\$51.99
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
07/24/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.77	0.00	4.77	90.0	0.10
06/30/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	14.48	0.00	14.48	90.0	0.29
06/30/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.21	0.00	2.21	90.0	0.04
06/30/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.51	0.00	4.51	90.0	0.09
06/30/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.14	0.00	1.14	90.0	0.02
07/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,634.33	8.05	0.00	8.05	90.0	0.16
07/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,151.67	1.34	0.00	1.34	90.0	0.03
07/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	40,951.87	34.13	0.00	34.13	90.0	0.68
07/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,230.60	86.03	0.00	86.03	90.0	1.72
07/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,552.97	22.13	0.00	22.13	90.0	0.44
07/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,930.02	31.61	0.00	31.61	90.0	0.63
07/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	130,510.02	108.76	0.00	108.76	90.0	2.18
07/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	137,328.68	114.44	0.00	114.44	90.0	2.29
07/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	174,280.96	145.23	0.00	145.23	90.0	2.90
07/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,413.27	21.18	0.00	21.18	90.0	0.42
07/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	45,998.26	38.33	0.00	38.33	90.0	0.77
07/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,488.14	15.41	0.00	15.41	90.0	0.31
07/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,874.19	20.73	0.00	20.73	90.0	0.41
07/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,761.39	140.63	0.00	140.63	90.0	2.81
07/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	160,214.14	133.51	0.00	133.51	90.0	2.67
07/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,597.80	128.83	0.00	128.83	90.0	2.58
07/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	221,061.63	184.22	0.00	184.22	90.0	3.68
07/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,659.86	35.55	0.00	35.55	90.0	0.71
07/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	119,436.82	99.53	0.00	99.53	90.0	1.99
07/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	111,547.18	92.96	0.00	92.96	90.0	1.86
07/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	121,281.55	101.07	0.00	101.07	90.0	2.02
07/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,099.89	20.08	0.00	20.08	90.0	0.40
07/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,744.11	23.95	0.00	23.95	90.0	0.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
06/30/2017	MERZ, JOYCE	STADION INVESTMENTS	0.00	9.88	0.00	9.88	90.0	0.20
06/30/2017	WENZ, JAMES	STADION INVESTMENTS	0.00	4.26	0.00	4.26	90.0	0.09
06/30/2017	JACOB, MARILYN	STADION INVESTMENTS	0.00	0.74	0.00	0.74	90.0	0.01
* ROBERT THOMPSON SUBTOTAL *			<b>\$1,958,749.35</b>	<b>\$1,649.69</b>	<b>\$0.00</b>	<b>\$1,649.69</b>		<b>\$32.98</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$2,255,283.87</b>	<b>\$2,392.14</b>	<b>\$0.00</b>	<b>\$2,392.14</b>		<b>\$84.97</b>

**ADJUSTMENTS**

08/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
08/15/2017	*TECHNOLOGY: ADMIN - DEB RYAN	0.00						-100.00
08/15/2017	*TECHNOLOGY: ADMIN - J BOYERS	0.00						-100.00
08/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-775.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,293.97
Split Transactions	\$0.00
Override Transactions	\$84.97
Adjustments	\$-775.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$603.94</b>
Year-to-date Gross	<b>\$76,918.64</b>
Year-to-date Earnings	<b>\$74,200.31</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed