

**COMMISSION STATEMENT****February 15, 2018**

DANIEL, R132 RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/31/2018	RAUPP, DANIEL	GLOBAL NET LEASE INC COM	-11,441.15	0.00	22.93	-22.93	100.0	-22.93
		* STOCKS SUBTOTAL *	\$-11,441.15	\$0.00	\$22.93	\$-22.93		\$-22.93
		*** CLEARING TRANSACTIONS TOTAL ***	\$-11,441.15	\$0.00	\$22.93	\$-22.93		\$-22.93
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
02/07/2018	BALRAJ, CHANDRA	GPB AUTOMOTIVE PROTFOILIO LP 0GPB201	300,000.00	21,000.00	0.00	21,000.00	100.0	21,000.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$300,000.00	\$21,000.00	\$0.00	\$21,000.00		\$21,000.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/02/2018	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	714,036.25	606.44	0.00	606.44	100.0	606.44
01/31/2018	TRAINOR, EDWARD	JNL PERSPECTIVE L	68,852.16	57.38	0.00	57.38	100.0	57.38
		* VARIABLE ANNUITIES SUBTOTAL *	\$782,888.41	\$663.82	\$0.00	\$663.82		\$663.82
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/24/2018	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.86	0.00	2.86	100.0	2.86
01/24/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.63	0.00	4.63	100.0	4.63
01/12/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.18	0.00	2.18	100.0	2.18
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9.67	\$0.00	\$9.67		\$9.67
		*** DIRECT TRANSACTIONS TOTAL ***	\$1,082,888.41	\$21,673.49	\$0.00	\$21,673.49		\$21,673.49

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u></b>								
01/10/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.84	0.00	2.84	100.0	2.84
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$2.84	\$0.00	\$2.84		\$2.84
<b><u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u></b>								
01/10/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.38	0.00	1.38	100.0	1.38
01/10/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.29	0.00	3.29	100.0	3.29
01/10/2018	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.34	0.00	2.34	100.0	2.34
01/10/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.38	0.00	1.38	100.0	1.38
01/10/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.73	0.00	3.73	100.0	3.73
01/17/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	2.03	0.00	2.03	100.0	2.03
01/24/2018	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.32	0.00	4.32	100.0	4.32
01/24/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.50	0.00	2.50	100.0	2.50
01/24/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	100.0	0.25
01/24/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	100.0	0.22
01/24/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.27	0.00	1.27	100.0	1.27
01/24/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	100.0	0.29
01/24/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	100.0	0.33
01/24/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	100.0	0.16
01/29/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	100.0	0.11
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$23.60	\$0.00	\$23.60		\$23.60
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
01/10/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.00	0.00	1.00	100.0	1.00
01/10/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.44	0.00	0.44	100.0	0.44
01/10/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.06	0.00	1.06	100.0	1.06
01/10/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.54	0.00	0.54	100.0	0.54
01/10/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.16	0.00	2.16	100.0	2.16
01/12/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.35	0.00	1.35	100.0	1.35
01/17/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.53	0.00	0.53	100.0	0.53
01/17/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.88	0.00	0.88	100.0	0.88
01/22/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.14	0.00	0.14	100.0	0.14
01/24/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.45
01/24/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.43	0.00	0.43	100.0	0.43
01/24/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.75	0.00	0.75	100.0	0.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
01/24/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.10	0.00	0.10	100.0	0.10
01/24/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.59	0.00	0.59	100.0	0.59
01/24/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	100.0	0.37
01/24/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	100.0	0.21
01/24/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.46	0.00	0.46	100.0	0.46
01/22/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.33	0.00	5.33	100.0	5.33
<b>* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$16.79</b>	<b>\$0.00</b>	<b>\$16.79</b>		<b>\$16.79</b>

<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
12/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	119,693.73	17.42	0.00	17.42	100.0	17.42
01/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	131,519.91	19.14	0.00	19.14	100.0	19.14
01/17/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
01/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	100.0	0.22
01/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.36	0.00	0.36	100.0	0.36
02/05/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	-4,813.13	7.70	7.70	0.00	100.0	0.00
01/22/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	100.0	0.62
01/22/2018	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.41	0.00	0.41	100.0	0.41
01/22/2018	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	100.0	0.22
01/22/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.51	0.00	0.51	100.0	0.51
01/22/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.11	0.00	3.11	100.0	3.11
01/22/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.76	0.00	2.76	100.0	2.76
01/22/2018	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.41	0.00	0.41	100.0	0.41
01/22/2018	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.27	0.00	0.27	100.0	0.27
01/22/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.23	0.00	0.23	100.0	0.23
01/16/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	100.0	0.36
01/16/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.66	0.00	1.66	100.0	1.66
01/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	100.0	0.76
02/06/2018	PFLEGER, CLIFFORD	VANGUARD ADMIRAL FDS INC S&P 500 GR	1,264.28	0.00	7.86	-7.86	100.0	-7.86
<b>* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *</b>			<b>\$247,664.79</b>	<b>\$56.24</b>	<b>\$15.56</b>	<b>\$40.68</b>		<b>\$40.68</b>

<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
12/31/2017	PALM BEACH	ADP TRAILS	0.00	336.77	0.00	336.77	100.0	336.77
12/31/2017	ENDOCRINOLOGY & DIABE	ADP TRAILS	0.00	4.33	0.00	4.33	100.0	4.33
12/31/2017	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	236.45	0.00	236.45	100.0	236.45

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
01/24/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.26	0.00	2.26	100.0	2.26
01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.73	0.00	6.73	100.0	6.73
01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.68	0.00	5.68	100.0	5.68
01/22/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.04	0.00	17.04	100.0	17.04
01/22/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.38	0.00	5.38	100.0	5.38
01/22/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.13	0.00	16.13	100.0	16.13
01/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.37	0.00	5.37	100.0	5.37
01/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.11	0.00	16.11	100.0	16.11
01/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.43	0.00	3.43	100.0	3.43
01/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.31	0.00	10.31	100.0	10.31
01/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.33	0.00	5.33	100.0	5.33
01/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.99	0.00	15.99	100.0	15.99
01/19/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.23	0.00	2.23	100.0	2.23
01/22/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.01	0.00	2.01	100.0	2.01
01/22/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.46	0.00	2.46	100.0	2.46
01/22/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.74	0.00	1.74	100.0	1.74
01/24/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	100.0	0.41
01/24/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.89	0.00	8.89	100.0	8.89
01/24/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	100.0	0.35
01/16/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	70.57	0.00	70.57	100.0	70.57
01/12/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.34	0.00	2.34	100.0	2.34
<b>* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$778.31</b>	<b>\$0.00</b>	<b>\$778.31</b>		<b>\$778.31</b>
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	10,483.38	37.50	11.24	26.26	100.0	26.26
02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	8,154.68	37.50	11.24	26.26	100.0	26.26
02/01/2018	DOLCE, CHARLES	APPLE INC COM	-3,523.22	37.50	11.24	26.26	100.0	26.26
01/24/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	9.09	0.00	9.09	100.0	9.09
01/10/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	29.96	0.00	29.96	100.0	29.96
01/10/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	60.37	0.00	60.37	100.0	60.37
01/10/2018	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.28	0.00	0.28	100.0	0.28
01/22/2018	PAVIS, NANCY	CORP PROPERTY ASSOC 18 GLOBAL INC C	0.00	55.75	0.00	55.75	100.0	55.75
01/19/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.02	0.00	1.02	100.0	1.02
01/24/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.24	0.00	6.24	100.0	6.24

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
01/24/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.55	0.00	2.55	100.0	2.55
01/24/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.17	0.00	4.17	100.0	4.17
01/24/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.14	0.00	1.14	100.0	1.14
01/24/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.19	0.00	9.19	100.0	9.19
01/24/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.17	0.00	5.17	100.0	5.17
01/24/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.52	0.00	1.52	100.0	1.52
01/24/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.21	0.00	8.21	100.0	8.21
01/24/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.56	0.00	4.56	100.0	4.56
01/24/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.97	0.00	0.97	100.0	0.97
01/22/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	4.98	0.00	4.98	100.0	4.98
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			<b>\$15,114.84</b>	<b>\$317.67</b>	<b>\$33.72</b>	<b>\$283.95</b>		<b>\$283.95</b>
<b><u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u></b>								
01/18/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	100.0	0.08
01/02/2018	GALGANO, ROBERT	ARIEL APPRECIATION FUND	0.00	0.00	0.00	0.00	100.0	0.00
01/10/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.37	0.00	2.37	100.0	2.37
01/10/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.38	0.00	0.38	100.0	0.38
01/10/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	100.0	1.27
01/10/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.75	0.00	1.75	100.0	1.75
01/12/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.81	0.00	0.81	100.0	0.81
01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.02	0.00	1.02	100.0	1.02
01/24/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.26	0.00	2.26	100.0	2.26
01/24/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.36	0.00	0.36	100.0	0.36
01/24/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.13	0.00	0.13	100.0	0.13
01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.41	0.00	1.41	100.0	1.41
01/24/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.79	0.00	0.79	100.0	0.79
01/16/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.47	0.00	2.47	100.0	2.47
01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.76	0.00	0.76	100.0	0.76
01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.76	0.00	0.76	100.0	0.76
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			<b>\$0.00</b>	<b>\$16.62</b>	<b>\$0.00</b>	<b>\$16.62</b>		<b>\$16.62</b>
<b><u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u></b>								
01/30/2018	FRICKER TRUST, HAROLD MAX	RRTY KING RIVER ROYALTIES	100,000.00	1,500.00	0.00	1,500.00	100.0	1,500.00
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			<b>\$100,000.00</b>	<b>\$1,500.00</b>	<b>\$0.00</b>	<b>\$1,500.00</b>		<b>\$1,500.00</b>

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u></b>								
01/10/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.85	0.00	3.85	100.0	3.85
01/24/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.72	0.00	0.72	100.0	0.72
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.57	\$0.00	\$4.57		\$4.57
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
01/22/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.53	0.00	0.53	100.0	0.53
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.53	\$0.00	\$0.53		\$0.53
<b><u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u></b>								
01/18/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	100.0	0.05
02/09/2018	WASSERMAN TRUST, NINA	AMAZON COM INC	25,526.09	0.00	6.08	-6.08	100.0	-6.08
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.64	0.00	1.64	100.0	1.64
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.04	0.00	4.04	100.0	4.04
01/23/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.66	0.00	2.66	100.0	2.66
01/23/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.93	0.00	1.93	100.0	1.93
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.15	0.00	0.15	100.0	0.15
01/23/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.15	0.00	0.15	100.0	0.15
01/22/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.29	0.00	0.29	100.0	0.29
01/22/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.72	0.00	0.72	100.0	0.72
01/22/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.64	0.00	2.64	100.0	2.64
01/22/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.92	0.00	7.92	100.0	7.92
02/07/2018	MORONEY, PHYLLIS	GPB AUTOMOTIVE PROTFOLIO LP 0GPB201	300,000.00	5,670.00	0.00	5,670.00	100.0	5,670.00
02/07/2018	MORONEY, PHYLLIS	GPB WASTE MANAGEMENT LP	100,000.00	1,890.00	0.00	1,890.00	100.0	1,890.00
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	100.0	0.72
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.17	0.00	2.17	100.0	2.17
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.91	0.00	0.91	100.0	0.91
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.72	0.00	2.72	100.0	2.72
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.60	0.00	0.60	100.0	0.60
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.81	0.00	1.81	100.0	1.81
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	100.0	0.72
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.17	0.00	2.17	100.0	2.17
01/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.56	0.00	2.56	100.0	2.56
01/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.68	0.00	7.68	100.0	7.68
01/31/2018	ROULHAC, JAMES	GRIFFIN INST'L ACCESS R E FUND C	99,975.01	269.93	0.00	269.93	100.0	269.93

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u></b>								
01/19/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	100.0	0.46
01/19/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.72	0.00	0.72	100.0	0.72
01/19/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	100.0	1.24
01/19/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	100.0	0.99
01/19/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	100.0	0.83
01/19/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.18	0.00	1.18	100.0	1.18
01/19/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.55	0.00	3.55	100.0	3.55
01/25/2018	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.58	0.00	0.58	100.0	0.58
01/25/2018	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.53	0.00	0.53	100.0	0.53
01/25/2018	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	0.00	0.00	0.00	0.00	100.0	0.00
01/22/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.09	0.00	0.09	100.0	0.09
01/22/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.09	0.00	0.09	100.0	0.09
<b>* P124-JONP/DAN/J FT-FL JUNO CIRE SUBTOTAL *</b>			<b>\$525,501.10</b>	<b>\$7,884.44</b>	<b>\$6.08</b>	<b>\$7,878.36</b>		<b>\$7,878.36</b>
<b><u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u></b>								
01/24/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.72	0.00	1.72	100.0	1.72
01/16/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.82	0.00	4.82	100.0	4.82
<b>* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6.54</b>	<b>\$0.00</b>	<b>\$6.54</b>		<b>\$6.54</b>
<b><u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u></b>								
01/19/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.47	0.00	0.47	100.0	0.47
01/19/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.33	0.00	0.33	100.0	0.33
<b>* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.80</b>	<b>\$0.00</b>	<b>\$0.80</b>		<b>\$0.80</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$888,280.73</b>	<b>\$10,608.95</b>	<b>\$55.36</b>	<b>\$10,553.59</b>		<b>\$10,553.59</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #10 </u></b>								
02/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
02/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
02/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.17
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$1.05
<b><u> BROKER: JEFFREY, K141 KIESNOSKI #243 </u></b>								
01/10/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.19	0.00	3.19	94.0	0.19
01/22/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.30	0.00	0.30	94.0	0.02
01/22/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.85	0.00	2.85	94.0	0.17
01/22/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.30	0.00	0.30	94.0	0.02
01/24/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	94.0	0.02
01/19/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.38	0.00	2.38	94.0	0.14
01/10/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.14	0.00	1.14	94.0	0.07
01/22/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.09	0.00	1.09	94.0	0.07
01/22/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	94.0	0.01
* JEFFREY, K141 KIESNOSKI SUBTOTAL *			\$0.00	\$11.74	\$0.00	\$11.74		\$0.71
<b><u> BROKER: ROY, P123 PFLEGER II #244 </u></b>								
01/19/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.42	0.00	1.42	75.0	0.18
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.42	\$0.00	\$1.42		\$0.18
<b><u> BROKER: JON PAUL, C141 CIRELLI #246 </u></b>								
12/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	395,522.02	164.42	0.00	164.42	95.0	8.22
12/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	395,522.02	164.42	0.00	164.42	95.0	0.99
01/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	442,570.87	183.98	0.00	183.98	95.0	9.20
01/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	442,570.87	183.98	0.00	183.98	95.0	1.10
12/31/2017	BARREDO, GLORIA	ADP TRAILS	0.00	30.54	0.00	30.54	95.0	1.53
12/31/2017	KURITZKY ARCHITECTUR, PASQUALE	ADP TRAILS	0.00	641.86	0.00	641.86	95.0	32.09
01/22/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.18	0.00	11.18	95.0	0.56
01/22/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.53	0.00	33.53	95.0	1.68
01/24/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.66	0.00	21.66	95.0	1.08
12/31/2017	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	16,456.52	3.49	0.00	3.49	95.0	0.17

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JON PAUL, C141 CIRELLI #246</u></b>								
01/19/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.70	0.00	5.70	95.0	0.29
01/19/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.59	0.00	10.59	95.0	0.53
01/24/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.70	0.00	5.70	95.0	0.29
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$1,692,642.30	\$1,461.05	\$0.00	\$1,461.05		\$57.73
<b><u>BROKER: ALAN, L133 LICHTENSTEIN #249</u></b>								
01/24/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.30	0.00	3.30	75.0	0.41
01/31/2018	LICHTENSTEIN, ALAN	ISHARES TR S&P MIDCAP 400 GROWTH ET	2,716.02	0.00	22.50	-22.50	75.0	-2.81
01/31/2018	LICHTENSTEIN, ALAN	POWERSHARES QQQ TR UNIT SER 1	3,051.16	0.00	22.50	-22.50	75.0	-2.81
01/31/2018	LICHTENSTEIN, ALAN	POWERSHARES QQQ TR UNIT SER 1	2,547.40	0.00	22.50	-22.50	75.0	-2.81
01/17/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.21	0.00	2.21	75.0	0.28
01/31/2018	KONCIUS, PATRICE	VANGUARD INDEX FDS VANGUARD VALUE E	14,829.50	0.00	22.50	-22.50	75.0	-2.81
01/31/2018	LICHTENSTEIN, ALAN	VANGUARD WHITEHALL FDS HIGH DIVIDEN	2,231.23	0.00	22.50	-22.50	75.0	-2.81
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$25,375.31	\$5.51	\$112.50	\$-106.99		\$-13.36
<b><u>BROKER: JOHN KLETT #250</u></b>								
01/19/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.23	0.00	6.23	75.0	0.78
* JOHN KLETT SUBTOTAL *			\$0.00	\$6.23	\$0.00	\$6.23		\$0.78
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
01/18/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.93	0.00	0.93	90.0	0.02
01/18/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	13.34	0.00	13.34	90.0	0.23
01/23/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.42	0.00	4.42	90.0	0.08
01/18/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.04	0.00	4.04	90.0	0.07
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.73	0.00	6.73	90.0	0.11
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	90.0	0.03
01/18/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.02
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	90.0	0.02
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	90.0	0.01
01/18/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.99	0.00	3.99	90.0	0.07
01/18/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	0.05
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	90.0	0.02

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/18/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.01
01/18/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.90	0.00	2.90	90.0	0.05
01/18/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.60	0.00	13.60	90.0	0.23
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	0.03
02/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
01/18/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	90.0	0.02
01/18/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	90.0	0.08
01/18/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	0.03
01/18/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.58	0.00	6.58	90.0	0.11
01/18/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.94	0.00	4.94	90.0	0.08
01/18/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.88	0.00	1.88	90.0	0.03
01/18/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.01
01/18/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.02
01/18/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	0.03
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.01
01/29/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,031.94	51.60	22.00	29.60	90.0	0.50
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.84	0.00	2.84	90.0	0.05
01/18/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.78	0.00	2.78	90.0	0.05
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.71	0.00	5.71	90.0	0.10
01/18/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.79	0.00	5.79	90.0	0.10
01/18/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.58	0.00	1.58	90.0	0.03
01/18/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.44	0.00	9.44	90.0	0.16
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.36	0.00	0.36	90.0	0.01
01/18/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.01
01/18/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
01/18/2018	APPLEGATE, PAMAELE	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.02
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.67	0.00	5.67	90.0	0.10

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/18/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.26	0.00	13.26	90.0	0.23
01/18/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.14	0.00	5.14	90.0	0.09
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.93	0.00	4.93	90.0	0.08
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	90.0	0.09
01/18/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.49	0.00	4.49	90.0	0.08
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.37	0.00	21.37	90.0	0.36
01/18/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.21	0.00	3.21	90.0	0.05
01/18/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	90.0	0.02
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	0.03
01/18/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.08	0.00	6.08	90.0	0.10
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.36	0.00	0.36	90.0	0.01
01/18/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	0.03
01/18/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
01/23/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.80	0.00	2.80	90.0	0.05
02/07/2018	STERR, CHRISTOPHER	AMERIGAS PARTNERS LTD PARTSHP	-6,545.45	30.00	22.50	7.50	90.0	0.13
01/24/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.07	0.00	19.07	90.0	0.32
01/23/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.54	0.00	1.54	90.0	0.03
01/23/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.84	0.00	4.84	90.0	0.08
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.13	0.00	1.13	90.0	0.02
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.28	0.00	15.28	90.0	0.26
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.07	0.00	8.07	90.0	0.14
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.88	0.00	2.88	90.0	0.05
01/10/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	0.02
01/10/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.31	0.00	0.31	90.0	0.01
01/10/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.35	0.00	0.35	90.0	0.01
01/10/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.98	0.00	0.98	90.0	0.02
01/10/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.54	0.00	2.54	90.0	0.04
01/10/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.09	0.00	1.09	90.0	0.02

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
01/10/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.12	0.00	12.12	90.0	0.21
01/10/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.45	0.00	2.45	90.0	0.04
01/25/2018	FARBMAN TRUST, DANIEL & SANDRA	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
01/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.01
01/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.58	0.00	0.58	90.0	0.01
01/12/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.91	0.00	0.91	90.0	0.02
01/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.53	0.00	3.53	90.0	0.06
01/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	64.11	0.00	64.11	90.0	1.09
01/16/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.79	0.00	0.79	90.0	0.01
02/07/2018	STERR, CHRISTOPHER	ENERGY TRANSFER PARTNERS L P NEW UN	6,465.50	30.00	22.51	7.49	90.0	0.13
01/17/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	0.02
01/17/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.36	0.00	0.36	90.0	0.01
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.90	0.00	7.90	90.0	0.13
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.01	0.00	9.01	90.0	0.15
01/17/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.55	0.00	1.55	90.0	0.03
01/17/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.16	0.00	6.16	90.0	0.10
01/17/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.20	0.00	2.20	90.0	0.04
01/22/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.36	0.00	13.36	90.0	0.23
01/22/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.03	0.00	3.03	90.0	0.05
01/22/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.17	0.00	1.17	90.0	0.02
01/22/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.47	0.00	5.47	90.0	0.09
01/22/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	90.0	0.01
01/22/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.01
01/22/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.85	0.00	2.85	90.0	0.05
01/23/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.02	0.00	17.02	90.0	0.29
01/23/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.67	0.00	0.67	90.0	0.01
12/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	54,735.23	46.49	0.00	46.49	90.0	0.79
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	0.08
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.73	0.00	14.73	90.0	0.25
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.35	0.00	2.35	90.0	0.04
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.06	0.00	7.06	90.0	0.12
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.00	0.00	15.00	90.0	0.26
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.01	0.00	45.01	90.0	0.77

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01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.47	0.00	45.47	90.0	0.77
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.40	0.00	136.40	90.0	2.32
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.24	0.00	7.24	90.0	0.12
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.72	0.00	21.72	90.0	0.37
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.42	0.00	4.42	90.0	0.08
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.25	0.00	6.25	90.0	0.11
01/19/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.26	0.00	3.26	90.0	0.06
01/19/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.25	0.00	5.25	90.0	0.09
01/16/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.33	0.00	12.33	90.0	0.21
01/16/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.19	0.00	4.19	90.0	0.07
01/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.94	0.00	3.94	90.0	0.07
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	89.81	0.00	89.81	90.0	1.53
01/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	43.20	0.00	43.20	90.0	0.73
01/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	38.49	0.00	38.49	90.0	0.65
01/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	472.02	0.00	472.02	90.0	8.02
01/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.87	0.00	11.87	90.0	0.20
01/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.74	0.00	7.74	90.0	0.13
01/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.25	0.00	8.25	90.0	0.14
01/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	34.93	0.00	34.93	90.0	0.59
01/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	32.60	0.00	32.60	90.0	0.55
01/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.88	0.00	11.88	90.0	0.20
01/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.98	0.00	24.98	90.0	0.42
01/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.18	0.00	11.18	90.0	0.19
01/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	0.05
01/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	0.05
01/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.35	0.00	4.35	90.0	0.07
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	78.93	0.00	78.93	90.0	1.34
01/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	49.22	0.00	49.22	90.0	0.84
01/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	0.39
01/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.56	0.00	15.56	90.0	0.26
01/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	0.39
01/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	1.37	0.00	1.37	90.0	0.02
01/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	46.69	0.00	46.69	90.0	0.79

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01/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.90	0.00	20.90	90.0	0.36
01/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.07	0.00	11.07	90.0	0.19
01/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.33	0.00	4.33	90.0	0.07
01/21/2018	MCFADDEN, JOAN	ING LANDMARK	90,405.24	226.01	0.00	226.01	90.0	3.84
02/05/2018	MCFADDEN, ANDREW	ING LANDMARK	369,153.77	922.88	0.00	922.88	90.0	15.69
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	130.77	0.00	130.77	90.0	2.22
01/25/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.19	0.00	4.19	90.0	0.07
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	275.36	0.00	275.36	90.0	4.68
01/25/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.96	0.00	9.96	90.0	0.17
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.52	0.00	0.52	90.0	0.01
01/25/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	59.39	0.00	59.39	90.0	1.01
01/25/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.60	0.00	1.60	90.0	0.03
01/25/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.36	0.00	13.36	90.0	0.23
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	13.25	0.00	13.25	90.0	0.23
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	41.59	0.00	41.59	90.0	0.71
01/25/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.54	0.00	0.54	90.0	0.01
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.08	0.00	1.08	90.0	0.02
01/25/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.40	0.00	9.40	90.0	0.16
01/25/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.44	0.00	0.44	90.0	0.01
01/25/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	16.67	0.00	16.67	90.0	0.28
01/25/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.44	0.00	0.44	90.0	0.01
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.86	0.00	0.86	90.0	0.01
01/23/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.13	0.00	1.13	90.0	0.02
02/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
01/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	293,456.77	244.55	0.00	244.55	90.0	4.16
01/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	301,377.78	251.15	0.00	251.15	90.0	4.27
01/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	420,704.85	350.59	0.00	350.59	90.0	5.96
01/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	496,354.59	413.63	0.00	413.63	90.0	7.03
01/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	120,901.37	100.75	0.00	100.75	90.0	1.71
01/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	14,001.84	2.92	0.00	2.92	90.0	0.05
01/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,933.10	171.61	0.00	171.61	90.0	2.92
01/22/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.10	0.00	3.10	90.0	0.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.17	0.00	2.17	90.0	0.04
01/22/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.88	0.00	2.88	90.0	0.05
01/22/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.17	0.00	8.17	90.0	0.14
01/22/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.62	0.00	2.62	90.0	0.04
01/22/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	120.35	0.00	120.35	90.0	2.05
01/22/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	123.30	0.00	123.30	90.0	2.10
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.63	0.00	29.63	90.0	0.50
01/22/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.49	0.00	20.49	90.0	0.35
01/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.95	0.00	0.95	90.0	0.02
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.64	0.00	6.64	90.0	0.11
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.78	0.00	0.78	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.41	0.00	8.41	90.0	0.14
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.88	0.00	1.88	90.0	0.03
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.19	0.00	1.19	90.0	0.02
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.52	0.00	3.52	90.0	0.06
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.00	0.00	11.00	90.0	0.19
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.98	0.00	5.98	90.0	0.10
01/22/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.24	0.00	6.24	90.0	0.11
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.65	0.00	14.65	90.0	0.25
01/22/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.95	0.00	2.95	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.35	0.00	3.35	90.0	0.06
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.46	0.00	0.46	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.06	0.00	4.06	90.0	0.07
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.73	0.00	0.73	90.0	0.01
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	0.04
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	0.03
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.50	0.00	20.50	90.0	0.35
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	0.03
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.05
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.04
01/22/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.28	0.00	11.28	90.0	0.19
01/22/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.46	0.00	99.46	90.0	1.69
01/22/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.40	0.00	11.40	90.0	0.19

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC, L134 LINSKY #263 </u></b>								
01/22/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.18	0.00	5.18	90.0	0.09
01/22/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	0.11
01/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	0.22
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	0.16
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	0.08
01/24/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.42	0.00	10.42	90.0	0.18
01/24/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.92	0.00	2.92	90.0	0.05
01/24/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.99	0.00	1.99	90.0	0.03
01/24/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
01/24/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	0.02
01/24/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.01
01/29/2018	SCOZZAFAVA, THERESA	METLIFE SHIELD LEVEL SELECT 6 YR	108,899.26	3,266.98	0.00	3,266.98	90.0	55.54
01/19/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.92	0.00	6.92	90.0	0.12
01/19/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.63	0.00	16.63	90.0	0.28
01/19/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.18	0.00	8.18	90.0	0.14
01/19/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	16.42	0.00	16.42	90.0	0.28
01/19/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.31	0.00	16.31	90.0	0.28
01/19/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.72	0.00	0.72	90.0	0.01
01/19/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.86	0.00	9.86	90.0	0.17
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	23.60	0.00	23.60	90.0	0.40
01/19/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.61	0.00	8.61	90.0	0.15
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	20.31	0.00	20.31	90.0	0.35
01/19/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.89	0.00	5.89	90.0	0.10
01/19/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.25	0.00	6.25	90.0	0.11
01/19/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	28.44	0.00	28.44	90.0	0.48
01/19/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	12.14	0.00	12.14	90.0	0.21
01/19/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.89	0.00	9.89	90.0	0.17
01/19/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	15.39	0.00	15.39	90.0	0.26
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.29	0.00	1.29	90.0	0.02
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.18	0.00	1.18	90.0	0.02
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.01
01/22/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.64	0.00	0.64	90.0	0.01
01/22/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.52	0.00	2.52	90.0	0.04

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC, L134 LINSKY #263</u></b>								
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.25	0.00	3.25	90.0	0.06
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	1.00	0.00	1.00	90.0	0.02
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	40.72	0.00	40.72	90.0	0.69
01/22/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.67	0.00	2.67	90.0	0.05
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	0.03
01/18/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	260.40	0.00	260.40	90.0	4.43
01/22/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	14.19	0.00	14.19	90.0	0.24
01/22/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.18	0.00	3.18	90.0	0.05
01/18/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.30	0.00	13.30	90.0	0.23
01/18/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.16	0.00	18.16	90.0	0.31
01/18/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.98	0.00	5.98	90.0	0.10
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.15	0.00	45.15	90.0	0.77
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	135.45	0.00	135.45	90.0	2.30
01/22/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.36	0.00	11.36	90.0	0.19
01/22/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.67	0.00	1.67	90.0	0.03
01/22/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.01
01/22/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.25	0.00	11.25	90.0	0.19
01/29/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.59	0.00	1.59	90.0	0.03
01/17/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	735.00	3.68	0.00	3.68	90.0	0.06
01/25/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.51	0.00	0.51	90.0	0.01
01/16/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.18	0.00	6.18	90.0	0.11
01/12/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.98	0.00	9.98	90.0	0.17
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.32	0.00	23.32	90.0	0.40
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.22	0.00	11.22	90.0	0.19
01/12/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.61	0.00	18.61	90.0	0.32
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 12-13 A	0.00	0.99	0.00	0.99	90.0	0.02
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	6.82	0.00	6.82	90.0	0.12
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.59	0.00	0.59	90.0	0.01
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.61	0.00	0.61	90.0	0.01
<b>* MARC, L134 LINSKY SUBTOTAL *</b>			<b>\$2,578,135.79</b>	<b>\$17,011.87</b>	<b>\$67.01</b>	<b>\$16,944.86</b>		<b>\$288.20</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: DANIEL, C142 CHARLEY #338</u></b>								
01/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	0.00	24.54	0.00	24.54	90.0	0.42
		* DANIEL, C142 CHARLEY SUBTOTAL *	\$0.00	\$24.54	\$0.00	\$24.54		\$0.42
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$4,298,803.40	\$18,583.61	\$179.51	\$18,404.10		\$335.71

**ADJUSTMENTS**

02/15/2018	*	:	0.00					0.00
02/15/2018	*	:	0.00					0.00
02/15/2018	*	AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
02/15/2018	*	AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
02/15/2018	*	EO INS: CURRENT MONTH	0.00					-300.00
02/15/2018	*	EO INS: CURRENT MONTH	0.00					-150.00
02/15/2018	*	EO INS: CURRENT MONTH INS	0.00					-60.00
02/15/2018	*	EO INS: CURRENT MONTH INS	0.00					-81.00
02/15/2018	*	EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
02/15/2018	*	FEDERAL EXPRESS: 6-080-52243 2/7/1	0.00					-24.82
02/15/2018	*	FEDEX: 6-066-90233 1/24/18	0.00					-32.64
02/15/2018	*	FEDEX: 6-073-83907 1/31/18	0.00					-52.24
02/15/2018	*	FINRA: ADVISOR WITH CAM - WEATHERB	0.00					-37.50
02/15/2018	*	FINRA: BRANCH OFFICE REG - WEATHER	0.00					-56.25
02/15/2018	*	FINRA: CONTINUING EDU - WEATHERBIE	0.00					-31.25
02/15/2018	*	FINRA: CRD REG APP WEATHERBIE	0.00					-25.00
02/15/2018	*	FINRA: FINGERPRINTING - WEATHERBIE	0.00					-7.38
02/15/2018	*	FINRA: PROCESSING - WEATHERBIE	0.00					-13.75
02/15/2018	*	FINRA: STATE REG FL - WEATHERBIE	0.00					-12.50
02/15/2018	*	LASER APP: LASER APP - PECHA	0.00					-3.60
02/15/2018	*	MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
02/15/2018	*	MISC: C FULMER, A LEEDS K141	0.00					540.00
02/15/2018	*	MISC: C FULMER, A LEEDS C141	0.00					540.00
02/15/2018	*	MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
02/15/2018	*	MISC: NON-CASH REIM CASE 6470482	0.00					5,500.00
02/15/2018	*	MISC: NON-CASH REIMBURSEMENT 63342	0.00					2,500.00

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<b><u>ADJUSTMENTS</u></b>								
02/15/2018		*MISC: NON-CASH REIMBURSEMENT 63342	0.00					400.00
02/15/2018		*MISC: OVERNIGHT FEES	0.00					-20.00
02/15/2018		*MISC: PER DAN 1/29	0.00					328.43
02/15/2018		*MISC: REDISTRIBUTION OF P124	0.00					3,125.11
02/15/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
02/15/2018		*MISC: SARAH, TOMMY, M&D MAILER	0.00					-2,862.00
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.54
02/15/2018		*PERSHING: FOREIGN SEC - WASSERMAN	0.00					-6.21
02/15/2018		*PERSHING: FOREIGN SEC - WASSERMAN	0.00					-6.21
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					30.00
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					21.00
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					45.00
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					8.10
02/15/2018		*PERSHING: STREAMING QUOTES	0.00					-68.00
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDE PA	0.00					579.29
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDE PA	0.00					2.76
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDE PA	0.00					117.24
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDE PA	0.00					869.36
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					284.19
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					5.86
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					9.63
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					205.30
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					5.38
02/15/2018		*TECH: ADMIN FULMER	0.00					-50.00
02/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-34.00
02/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
02/15/2018		*TECH: CURRENT MONTH TECH	0.00					-74.25
02/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
02/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-137.50
02/15/2018		*TECHNOLOGY: CURRENT MONTH RAUPP	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$12,917.86

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$21,650.56
Split Transactions	\$10,553.59
Override Transactions	\$335.71
Adjustments	\$12,917.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$45,457.72
Year-to-date Gross	\$91,270.51
Year-to-date Earnings	\$93,318.51