



Concorde Investment Services, LLC

08/14/2018

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COMMISSION STATEMENT**August 15, 2018**

BRENT/BRION TRU- BLB LEE/NORBY

BROKER #: BLB

BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/31/2018	SHAHIN, SALEM	DOUBLELINE CORE FIXED INCOME FUND C	-85,204.59	0.00	0.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	DOUBLELINE EMERGING MARKETS FIXED I	-69,148.94	0.00	0.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	DOUBLELINE FLOATING RATE FUND CLASS	-109,691.47	0.00	0.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	FIRST EAGLE OVERSEAS FD CLASS I	-79,554.13	0.00	0.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	FPA CRESCENT PORTFOLIO	-70,707.02	22.00	22.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	MATTHEWS PACIFIC TIGER FUND INVESTO	-50,814.85	0.00	0.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	MUZINICH CREDIT OPPORTUNITIES FUND	-125,736.08	22.00	22.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	PIMCO ALL ASSET	-68,276.31	22.00	22.00	0.00	100.0	0.00
^07/31/2018	SHAHIN, SALEM	VANGUARD SHORT-TERM INFLATION-PROTE	-33,340.80	22.00	22.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-692,474.19	\$88.00	\$88.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/31/2018	SHAHIN, SALEM	ANHEUSER BUSCH INBEV SA NV SPONSORE	-6,092.46	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	AXALTA COATING SYSTEMS LTD SHS ISIN	-2,117.57	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	BANK OF NEW YORK MELLON CORP COM	-3,420.26	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	BARCLAYS BK PLC IPATH BLOOMBERG COM	-33,740.62	22.00	24.13	-2.13	100.0	0.00
^07/31/2018	SHAHIN, SALEM	BERKLEY W R CORPORATION	-3,791.89	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	BERKSHIRE HATHAWAY INC DEL CL B NEW	-3,949.13	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	BROOKFIELD ASSET MGMT INC VTG SHS C	-4,425.06	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	CONDUENT INC COM	-2,426.41	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	CVS CAREMARK CORP	-4,232.27	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	DISNEY WALT CO DISNEY COM	-2,270.63	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	DOMINION RES INC VA COM	-6,423.44	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	ENBRIDGE INC COM ISIN#CA29250N1050	-5,035.83	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	EXPEDITORS INTERNATIONAL OF	-2,661.79	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-83,193.20	22.00	23.50	-1.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	ISHARES TR EXPONENTIAL TECHNOLOGIES	-76,044.49	22.00	25.02	-3.02	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/31/2018	SHAHIN, SALEM	LIBERTY GLOBAL PLC SHS CL C ISIN#GB	-3,242.86	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	LOEWS CORP COM	-4,561.29	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	NESTLE SA SPONSORED ADR REPSTG REG	-4,481.68	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	NEWS CORP NEW CL A	-2,486.85	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	ROYAL GOLD INC	-4,671.76	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	SANOFI SPONS ADR ISIN#US80105N1054	-5,643.77	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	SCHLUMBERGER LTD COM ISIN#AN8068571	-2,026.55	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	SPDR SER TR S&P BIOTECH ETF	-102,844.21	22.00	23.62	-1.62	100.0	0.00
^07/31/2018	SHAHIN, SALEM	SPDR SER TR SPDR BLOOMBERG BARCLAYS	-16,941.08	22.00	22.50	-0.50	100.0	0.00
^07/31/2018	SHAHIN, SALEM	TJX COMPANIES INC (NEW)	-2,920.23	22.00	22.50	-0.50	100.0	0.00
* STOCKS SUBTOTAL *			\$-389,645.33	\$550.00	\$568.77	\$-18.77		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-1,082,119.52	\$638.00	\$656.77	\$-18.77		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: PRIVATE PLACEMENT**

^08/02/2018	KNUDSVIG, GENE	HPI FUND VI LLC	75,000.00	4,500.00	0.00	4,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$75,000.00	\$4,500.00	\$0.00	\$4,500.00		\$0.00

PRODUCT CATEGORY: 529 PLANS

^08/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	7.88	0.00	7.88	100.0	0.00
^06/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^07/03/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^08/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^09/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^10/02/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^11/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^12/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^01/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^02/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^03/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^04/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^05/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^06/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^07/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^08/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	9.80	0.00	9.80	100.0	0.00
^08/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	6.30	0.00	6.30	100.0	0.00
^08/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	5.60	0.00	5.60	100.0	0.00
* 529 PLANS SUBTOTAL *			\$4,765.00	\$166.78	\$0.00	\$166.78		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/17/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.45	0.00	0.45	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.45	\$0.00	\$0.45		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$79,765.00	\$4,667.23	\$0.00	\$4,667.23		\$0.00
<u>ADJUSTMENTS</u>								
08/15/2018		*CONCIERGE SVC: 220035 JUMA, LLC	0.00					0.00
08/15/2018		*CONCIERGE SVC: 220041 JUMA, LLC	0.00					0.00
08/15/2018		*CONCIERGE SVC: 220913 KNUDSVIG	0.00					0.00
08/15/2018		*CONCIERGE SVC: 220975 SHAHIN	0.00					0.00
08/15/2018		*CONCIERGE SVC: 221239 KNUDSVIG	0.00					0.00
08/15/2018		*CONCIERGE SVC: 221245 KNUDSVIG	0.00					0.00
08/15/2018		*CONCIERGE SVC: 221495 SHAHIN	0.00					0.00
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					0.00
08/15/2018		*PERSHING: OVERNIGHT CHECK DELIVERY FEE PNW120129	0.00					0.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00