

**COMMISSION STATEMENT****November 30, 2017**

FRANK DUNN
56328 Fireglass Loop
P.O. Box 3628
Bend, OR 97701

BROKER #: D116
BRANCH: FRANK DUNN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/08/2017	LABANCA, FRANCISCO	COTTONWOOD RESIDENTIAL 2016 PREFR'D	95,000.00	6,650.00	0.00	6,650.00	90.0	5,985.00
* PRIVATE PLACEMENT SUBTOTAL *			\$95,000.00	\$6,650.00	\$0.00	\$6,650.00		\$5,985.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/31/2017	BRENT, MARY	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	10.07	0.00	10.07	90.0	9.06
10/31/2017	SCARLATO, MARY	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	10.09	0.00	10.09	90.0	9.08
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$20.16	\$0.00	\$20.16		\$18.14
*** DIRECT TRANSACTIONS TOTAL ***			\$95,000.00	\$6,670.16	\$0.00	\$6,670.16		\$6,003.14
<u>ADJUSTMENTS</u>								
11/30/2017		*ADVANCE: ADVANCE ON COMMISSION	0.00					-5,885.00
11/30/2017		*OUT OF CYCLE: OUT OF CYCLE	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-5,985.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$6,003.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,985.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$18.14
Year-to-date Gross	\$85,661.00
Year-to-date Earnings	\$76,972.88