



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT **April 15, 2017**

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	DEHAHN, RAYMOND	ALLIANZ VISION	11,632.05	29.08	0.00	29.08	90.0	26.17
03/31/2017	DEHAHN, RAYMOND	ALLIANZ VISION	21,372.89	53.43	0.00	53.43	90.0	48.09
03/31/2017	RUSCH, JOSHUA	ALLIANZ VISION	35,500.28	88.75	0.00	88.75	90.0	79.88
03/31/2017	SUS, SANDRA	ALLIANZ VISION	89,551.66	223.88	0.00	223.88	90.0	201.49
03/31/2017	HORNACEK, JOSEPH	ALLIANZ VISION	138,021.94	345.05	0.00	345.05	90.0	310.55
03/31/2017	DENNE, THOMAS	ALLIANZ VISION	77,891.96	194.73	0.00	194.73	90.0	175.26
03/31/2017	SCOTT, DEBRA	AXA ACCUMULATOR PLUS (NQ)	69,172.43	129.70	0.00	129.70	90.0	116.73
04/04/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,374,446.45	583.67	0.00	583.67	90.0	525.30
03/31/2017	POVKOVICH, DOROTHY	HARTFORD LEADERS FOUNDATION - ILA	40,972.30	25.61	0.00	25.61	90.0	23.05
03/31/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	14,903.99	15.52	0.00	15.52	90.0	13.97
03/31/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	46,734.66	38.95	0.00	38.95	90.0	35.06
03/31/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	57,139.96	47.62	0.00	47.62	90.0	42.86
03/31/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	108,584.81	22.62	0.00	22.62	90.0	20.36
03/31/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	15,011.08	6.25	0.00	6.25	90.0	5.63
03/31/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	20,290.31	8.45	0.00	8.45	90.0	7.61
03/31/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,126.94	4.64	0.00	4.64	90.0	4.18
03/31/2017	HOSS, GAIL	JNL PERSPECTIVE L	70,265.41	76.12	0.00	76.12	90.0	68.51
03/31/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	6,275.86	6.80	0.00	6.80	90.0	6.12
03/31/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	86,029.72	93.20	0.00	93.20	90.0	83.88
03/31/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	32,533.20	35.24	0.00	35.24	90.0	31.72
03/31/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	67,729.25	56.44	0.00	56.44	90.0	50.80
03/31/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	53,487.18	62.40	0.00	62.40	90.0	56.16
03/31/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	161,171.10	188.03	0.00	188.03	90.0	169.23
03/31/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	125,328.26	146.22	0.00	146.22	90.0	131.60
03/31/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	41,344.72	48.24	0.00	48.24	90.0	43.42
03/31/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	43,458.81	36.22	0.00	36.22	90.0	32.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	25,384.02	21.15	0.00	21.15	90.0	19.04
03/31/2017	SMITH, BETTY	JNL PERSPECTIVE L	35,839.13	41.81	0.00	41.81	90.0	37.63
03/31/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	14,166.58	11.81	0.00	11.81	90.0	10.63
03/31/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	32,653.81	27.21	0.00	27.21	90.0	24.49
03/31/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	30,812.65	25.68	0.00	25.68	90.0	23.11
03/31/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	31,321.88	26.10	0.00	26.10	90.0	23.49
03/31/2017	SPERER, MARION	JNL PERSPECTIVE L	23,034.53	19.20	0.00	19.20	90.0	17.28
03/31/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	8,920.02	10.41	0.00	10.41	90.0	9.37
03/31/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	22,756.02	26.55	0.00	26.55	90.0	23.90
03/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	30,160.23	32.67	0.00	32.67	90.0	29.40
03/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,262.25	30.62	0.00	30.62	90.0	27.56
03/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	29,480.07	24.57	0.00	24.57	90.0	22.11
03/31/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	53,355.68	62.25	0.00	62.25	90.0	56.03
03/31/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	140,955.92	117.46	0.00	117.46	90.0	105.71
03/31/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	134,302.41	111.92	0.00	111.92	90.0	100.73
03/31/2017	RACK, JOAN	JNL PERSPECTIVE L	137,291.22	148.73	0.00	148.73	90.0	133.86
03/31/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	101,698.58	84.75	0.00	84.75	90.0	76.28
03/31/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,487.37	58.74	0.00	58.74	90.0	52.87
03/31/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	163,358.92	136.13	0.00	136.13	90.0	122.52
03/31/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	198,256.11	165.21	0.00	165.21	90.0	148.69
03/31/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	55,421.33	64.66	0.00	64.66	90.0	58.19
03/31/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	96,817.74	112.95	0.00	112.95	90.0	101.66
03/31/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	75,322.58	62.77	0.00	62.77	90.0	56.49
03/31/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	48,252.23	40.21	0.00	40.21	90.0	36.19
03/31/2017	SHELDON, MARK	JNL PERSPECTIVE L	93,819.05	109.46	0.00	109.46	90.0	98.51
03/31/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	379,144.10	315.95	0.00	315.95	90.0	284.36
03/31/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	619,747.51	723.04	0.00	723.04	90.0	650.74
03/31/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	136,400.07	159.13	0.00	159.13	90.0	143.22
03/31/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	103,380.08	120.61	0.00	120.61	90.0	108.55
03/31/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	216,459.16	252.54	0.00	252.54	90.0	227.29
03/31/2017	FALBO, DIANE	JNL PERSPECTIVE L	642,161.84	749.19	0.00	749.19	90.0	674.27
03/31/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	185,415.24	216.32	0.00	216.32	90.0	194.69
03/31/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	347,086.23	404.93	0.00	404.93	90.0	364.44

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	HARRIS, BILLY	JNL PERSPECTIVE L	269,748.68	314.71	0.00	314.71	90.0	283.24
03/31/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	22,030.49	18.36	0.00	18.36	90.0	16.52
03/31/2017	WAYO, DARLENE	JNL PERSPECTIVE L	68,409.69	57.01	0.00	57.01	90.0	51.31
03/31/2017	HOSS, GAIL	JNL PERSPECTIVE L	72,316.30	84.37	0.00	84.37	90.0	75.93
03/31/2017	ELMER, HARVEY	JNL PERSPECTIVE L	66,349.16	55.29	0.00	55.29	90.0	49.76
03/31/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	57,299.75	47.75	0.00	47.75	90.0	42.98
03/31/2017	PETERSEN, THOMAS	JNL PERSPECTIVE L	103,816.73	86.51	0.00	86.51	90.0	77.86
03/31/2017	STARK, GLORIA	JNL PERSPECTIVE L	59,971.65	49.98	0.00	49.98	90.0	44.98
03/31/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	233,427.09	194.52	0.00	194.52	90.0	175.07
03/31/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	298,819.88	249.02	0.00	249.02	90.0	224.12
03/31/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	29,473.19	24.56	0.00	24.56	90.0	22.10
03/31/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	213,058.20	177.55	0.00	177.55	90.0	159.80
03/31/2017	COTE, WILLIAM	JNL PERSPECTIVE L	89,879.40	74.90	0.00	74.90	90.0	67.41
03/31/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	106,714.41	88.93	0.00	88.93	90.0	80.04
03/31/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	378,194.13	441.23	0.00	441.23	90.0	397.11
03/31/2017	HALL, MICHAEL	JNL PERSPECTIVE L	125,105.05	104.25	0.00	104.25	90.0	93.83
03/31/2017	BARRETT, PERRY	JNL PERSPECTIVE L	146,019.91	121.68	0.00	121.68	90.0	109.51
03/31/2017	ZUPFER, JO	JNL PERSPECTIVE L	88,244.62	73.54	0.00	73.54	90.0	66.19
03/31/2017	BECKER, JOHN	JNL PERSPECTIVE L	116,917.60	97.43	0.00	97.43	90.0	87.69
03/31/2017	BECKER, JOHN	JNL PERSPECTIVE L	144,751.33	120.63	0.00	120.63	90.0	108.57
03/31/2017	HALL, MICHAEL	JNL PERSPECTIVE L	126,247.67	105.21	0.00	105.21	90.0	94.69
03/31/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	116,827.20	97.36	0.00	97.36	90.0	87.62
03/31/2017	STEPHENS, CAROL	JNL PERSPECTIVE L	348,418.41	290.35	0.00	290.35	90.0	261.32
03/31/2017	DEHAHN, JAMES	METLIFE SERIES L	66,214.71	165.54	0.00	165.54	90.0	148.99
03/31/2017	THOMPSON, TERRY	METLIFE SERIES L	3,502.47	8.76	0.00	8.76	90.0	7.88
03/31/2017	CHAPMAN, GINGER	METLIFE SERIES L	14,863.57	37.16	0.00	37.16	90.0	33.44
03/31/2017	DENNE, FRANCES	PRU AS APEX II	59,510.66	111.58	0.00	111.58	90.0	100.42
03/31/2017	DENNE, FRANCES	PRU AS APEX II	59,510.66	37.19	0.00	37.19	90.0	33.47
03/31/2017	HOOTEN, AUDREY	PRU AS APEX II	62,702.72	117.57	0.00	117.57	90.0	105.81
03/31/2017	HOOTEN, AUDREY	PRU AS APEX II	62,702.72	39.19	0.00	39.19	90.0	35.27
03/31/2017	ELMER, HARVEY	PRU AS APEX II	73,729.25	138.24	0.00	138.24	90.0	124.42
03/31/2017	ELMER, HARVEY	PRU AS APEX II	73,729.25	46.08	0.00	46.08	90.0	41.47
03/31/2017	GAFFKA, DEBORAH	PRU AS APEX II	141,579.19	353.95	0.00	353.95	90.0	318.56

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	GAFKA, DEBORAH	PRU AS APEX II	141,579.19	88.49	0.00	88.49	90.0	79.64
03/31/2017	FALBO, LEWIS	PRU AS APEX II	177,400.55	443.50	0.00	443.50	90.0	399.15
03/31/2017	FALBO, LEWIS	PRU AS APEX II	177,400.55	110.88	0.00	110.88	90.0	99.79
03/31/2017	FALBO, LEWIS	PRU AS APEX II	171,001.68	427.50	0.00	427.50	90.0	384.75
03/31/2017	FALBO, LEWIS	PRU AS APEX II	171,001.68	106.88	0.00	106.88	90.0	96.19
03/31/2017	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	145,790.09	91.12	0.00	91.12	90.0	82.01
03/31/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,320.46	8.30	0.00	8.30	90.0	7.47
04/05/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
03/03/2017	KOBER, GARY	TRANSAMERICA LIBERTY	209,327.67	174.44	0.00	174.44	90.0	157.00
03/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	168,656.17	140.55	0.00	140.55	90.0	126.50
03/12/2017	KLUENDER, MARK	TRANSAMERICA LIBERTY	131,441.71	109.53	0.00	109.53	90.0	98.58
03/12/2017	BERNHARDT, ROBERT	TRANSAMERICA LIBERTY	154,739.62	128.95	0.00	128.95	90.0	116.06
03/12/2017	KLUENDER, KURT	TRANSAMERICA LIBERTY	124,083.64	103.40	0.00	103.40	90.0	93.06
03/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	108,730.16	90.61	0.00	90.61	90.0	81.55
03/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	308,573.40	257.14	0.00	257.14	90.0	231.43
03/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	77,686.44	64.74	0.00	64.74	90.0	58.27
03/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	33,482.35	27.90	0.00	27.90	90.0	25.11
03/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	32,106.85	26.76	0.00	26.76	90.0	24.08
03/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	38,953.71	32.46	0.00	32.46	90.0	29.21
03/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	38,831.42	32.36	0.00	32.36	90.0	29.12
03/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,011.05	0.84	0.00	0.84	90.0	0.76
03/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,170.20	0.98	0.00	0.98	90.0	0.88
03/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	174,981.59	145.82	0.00	145.82	90.0	131.24
03/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	63,572.35	52.98	0.00	52.98	90.0	47.68
03/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	154,610.63	128.84	0.00	128.84	90.0	115.96
03/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	175,517.54	146.26	0.00	146.26	90.0	131.63
* VARIABLE ANNUITIES SUBTOTAL *			\$14,017,846.22	\$14,075.42	\$0.00	\$14,075.42		\$12,668.01

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

03/31/2017	RUSCH, ROGER	LFG TRAILS	0.00	250.92	0.00	250.92	90.0	225.83
03/31/2017	HALL, SUZANNE	LFG TRAILS	0.00	115.24	0.00	115.24	90.0	103.72
03/31/2017	HAMILTON, RONALD	LFG TRAILS	0.00	801.23	0.00	801.23	90.0	721.11
03/31/2017	RYAN, CINDY	LFG TRAILS	0.00	77.46	0.00	77.46	90.0	69.71
03/31/2017	WAYO, DARLENE	LFG TRAILS	0.00	410.02	0.00	410.02	90.0	369.02

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/31/2017	ROBERTSON, MARTIN	LFG TRAILS	0.00	172.53	0.00	172.53	90.0	155.28
03/31/2017	BIRKHOLZ, WILLIAM	LFG TRAILS	0.00	173.69	0.00	173.69	90.0	156.32
04/05/2017	JOHNSON, ROBERT	ADVANTAGE	0.00	70.52	0.00	70.52	90.0	63.47
04/01/2017	HOOTEN, ROBERT	ING ACCESS	0.00	323.17	0.00	323.17	90.0	290.85
03/19/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	124.01	0.00	124.01	90.0	111.61
04/02/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	122.27	0.00	122.27	90.0	110.04
04/04/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	81.01	0.00	81.01	90.0	72.91
04/05/2017	JOHNSON, ROBERT	ING LANDMARK	0.00	50.57	0.00	50.57	90.0	45.51
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,772.64	\$0.00	\$2,772.64		\$2,495.38
*** BROKER TRANSACTIONS TOTAL ***			\$14,017,846.22	\$16,848.06	\$0.00	\$16,848.06		\$15,163.39

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

04/01/2017	DENEK, SABINA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.12	0.00	0.12	90.0	0.11
04/01/2017	STELMASIAK, BERENIKA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	KOENIG, ANNA	MFS AGGRESSIVE GRWTH ALLOC FD-529B	0.00	0.10	0.00	0.10	90.0	0.09
04/01/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	0.00	0.17	0.00	0.17	90.0	0.15
04/01/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	0.00	0.58	0.00	0.58	90.0	0.52
04/01/2017	MARSZALEK, ZOFIA	MFS BLENDED RESEARCH CORE FD B	0.00	1.02	0.00	1.02	90.0	0.92
04/01/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	0.00	0.22	0.00	0.22	90.0	0.20
04/01/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	0.00	0.82	0.00	0.82	90.0	0.74
04/01/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	0.00	0.84	0.00	0.84	90.0	0.76
04/01/2017	DABROWSKI, PAUL	MFS BOND FUND B	0.00	0.54	0.00	0.54	90.0	0.49
04/01/2017	SWIDERSKA, HELENA	MFS CONSERVATIVE ALLOCATION A	0.00	0.86	0.00	0.86	90.0	0.77
04/01/2017	STRZECKA, SYLWIA	MFS CONSERVATIVE ALLOCATION B	0.00	0.04	0.00	0.04	90.0	0.04
04/01/2017	SZWEDO, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	0.00	0.13	0.00	0.13	90.0	0.12
04/01/2017	DENEK, SABINA	MFS DISCOVERY B	0.00	0.11	0.00	0.11	90.0	0.10
04/01/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	0.00	4.13	0.00	4.13	90.0	3.72
04/01/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	0.00	0.05	0.00	0.05	90.0	0.05
04/01/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.68	0.00	0.68	90.0	0.61
04/01/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	0.00	0.70	0.00	0.70	90.0	0.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172								
04/01/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	0.00	0.44	0.00	0.44	90.0	0.40
04/01/2017	STRZECKA, SYLWIA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
04/01/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	0.00	0.55	0.00	0.55	90.0	0.50
04/01/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	0.00	0.78	0.00	0.78	90.0	0.70
04/01/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	0.00	0.81	0.00	0.81	90.0	0.73
04/01/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	SZWEDO, MALGORZATA	MFS GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION B	0.00	0.64	0.00	0.64	90.0	0.58
04/01/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	ZGAJEWSKI, BARBARA	MFS GROWTH ALLOCATION C	0.00	1.42	0.00	1.42	90.0	1.28
04/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.16	0.00	0.16	90.0	0.14
04/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.15	0.00	0.15	90.0	0.14
04/01/2017	CHOWANIEC, WOJCIECH	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.62	0.00	0.62	90.0	0.56
04/01/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	0.00	0.45	0.00	0.45	90.0	0.41
04/01/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	0.00	0.47	0.00	0.47	90.0	0.42
04/01/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	0.00	0.14	0.00	0.14	90.0	0.13
04/01/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	0.00	4.11	0.00	4.11	90.0	3.70
04/01/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	0.00	3.92	0.00	3.92	90.0	3.53
04/01/2017	MEI, JENNIFER	MFS RESEARCH B	0.00	0.47	0.00	0.47	90.0	0.42
04/01/2017	DENEK, SABINA	MFS UTILITIES B	0.00	0.16	0.00	0.16	90.0	0.14
04/01/2017	MEI, JENNIFER	MFS UTILITIES B	0.00	0.41	0.00	0.41	90.0	0.37
04/01/2017	STRZECKA, SYLWIA	MFS UTILITIES B	0.00	0.06	0.00	0.06	90.0	0.05
04/01/2017	STELMASIAK, BERENIKA	MFS UTILITIES B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	0.00	0.15	0.00	0.15	90.0	0.14
04/01/2017	DABROWSKI, PAUL	MFS UTILITIES B	0.00	0.51	0.00	0.51	90.0	0.46
04/01/2017	GUTT, MARTIN	MFS UTILITIES B	0.00	0.21	0.00	0.21	90.0	0.19
04/01/2017	WALCZYK, ANNA	MFS UTILITIES B	0.00	0.80	0.00	0.80	90.0	0.72

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/01/2017	WALCZYK, MAREK	MFS UTILITIES B	0.00	0.82	0.00	0.82	90.0	0.74
04/01/2017	WALCZYK, SABRINA	MFS UTILITIES B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	TULEWICZ, KRYSZYNA	MFS UTILITIES B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	FELINSKI, DOROTA	MFS UTILITIES B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	SZWEDO, MALGORZATA	MFS UTILITIES B	0.00	0.04	0.00	0.04	90.0	0.04
04/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	0.00	0.20	0.00	0.20	90.0	0.18
04/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	0.00	0.19	0.00	0.19	90.0	0.17
04/01/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES B	0.00	0.63	0.00	0.63	90.0	0.57
04/01/2017	WIKAR, SYLVIA	MFS UTILITIES B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	0.00	0.14	0.00	0.14	90.0	0.13
04/01/2017	ZGAJEWSKI, BARBARA	MFS UTILITIES C	0.00	1.36	0.00	1.36	90.0	1.22
04/01/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	0.00	8.18	0.00	8.18	90.0	7.36
04/01/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	0.00	6.76	0.00	6.76	90.0	6.08
04/01/2017	STELMASIAK, BERENIKA	MFS VALUE B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	WALCZYK, ANNA	MFS VALUE B	0.00	0.83	0.00	0.83	90.0	0.75
04/01/2017	WALCZYK, MAREK	MFS VALUE B	0.00	0.85	0.00	0.85	90.0	0.77
04/01/2017	WALCZYK, SABRINA	MFS VALUE B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	FELINSKI, DOROTA	MFS VALUE B	0.00	0.03	0.00	0.03	90.0	0.03
04/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	0.00	0.17	0.00	0.17	90.0	0.15
04/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	0.00	0.17	0.00	0.17	90.0	0.15
04/01/2017	CHOWANIEC, WOJCIECH	MFS VALUE B	0.00	0.68	0.00	0.68	90.0	0.61
04/01/2017	WIKAR, SYLVIA	MFS VALUE B	0.00	0.02	0.00	0.02	90.0	0.02
04/01/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	0.00	0.15	0.00	0.15	90.0	0.14
04/01/2017	WALUSZKO, SYLWIA	MFS VALUE C	0.00	4.40	0.00	4.40	90.0	3.96
04/03/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	1.11	0.00	1.11	90.0	1.00
03/10/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.05	0.00	1.05	90.0	0.95
/ /	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	7.27	0.00	7.27	90.0	6.54
03/06/2017	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	1.05	0.00	1.05	90.0	0.95
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$200.00	\$66.63	\$0.00	\$66.63		\$60.08
*** SPLIT TRANSACTIONS TOTAL ***			\$200.00	\$66.63	\$0.00	\$66.63		\$60.08

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHAEL VENTURINI #127</u>								
03/31/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,765.00	17.30	0.00	17.30	0.0	15.57
03/31/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,750.23	13.96	0.00	13.96	0.0	12.56
* MICHAEL VENTURINI SUBTOTAL *			\$37,515.23	\$31.26	\$0.00	\$31.26		\$28.13
<u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u>								
03/31/2017	ZUPEK, STEVEN	LFG TRAILS	0.00	607.59	0.00	607.59	0.0	546.83
04/03/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
04/03/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
04/03/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
04/03/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
04/03/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
03/31/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	31.86	0.00	31.86	0.0	28.67
03/31/2017	VILLASENOR, ANA MARIE	ING PREMIUM PLUS	0.00	4.44	0.00	4.44	0.0	4.00
03/31/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	4.96	0.00	4.96	0.0	4.46
04/01/2017	KISSH, BARBARA	MFS CORE EQUITY A	0.00	1.46	0.00	1.46	0.0	1.31
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$225.00	\$655.82	\$0.00	\$655.82		\$590.22
<u>BROKER: HALINA WIKAR #171</u>								
04/01/2017	WIKAR, HALINA	MFS LIFETIME 2050 FUND C	0.00	44.34	0.00	44.34	55.0	15.52
04/01/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	4.50	0.00	4.50	55.0	1.58
04/01/2017	WIKAR, MAGDALENA	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	2.51	0.00	2.51	55.0	0.88
04/01/2017	TARCZYNSKI, LESTER	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	25.16	0.00	25.16	55.0	8.81
04/01/2017	KWAK, ANNA	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	19.17	0.00	19.17	55.0	6.71
04/01/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	0.00	8.38	0.00	8.38	55.0	2.93
04/01/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	11.18	0.00	11.18	55.0	3.91
04/01/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	0.00	3.08	0.00	3.08	55.0	1.08
04/01/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	7.18	0.00	7.18	55.0	2.51
04/01/2017	KRELLER, KRYSTYNA	MFS BLENDED RESEARCH CORE C	0.00	1.29	0.00	1.29	55.0	0.45
04/01/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	0.00	6.46	0.00	6.46	55.0	2.26
04/01/2017	MADRZYK, PIOTR	MFS BLENDED RESEARCH CORE C	0.00	3.49	0.00	3.49	55.0	1.22
04/01/2017	PIETRZYK, JAN	MFS BLENDED RESEARCH CORE C	0.00	6.73	0.00	6.73	55.0	2.36
04/01/2017	PIETRZYK, DOROTA	MFS BLENDED RESEARCH CORE C	0.00	6.73	0.00	6.73	55.0	2.36
04/01/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	0.00	1.63	0.00	1.63	55.0	0.57
04/03/2017	KRELLER, KRYSTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.14

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/03/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	50.00	0.50	0.00	0.50	55.0	0.18
04/01/2017	KURILO SEP PLAN, EVA	MFS BLENDED RESEARCH CORE FD B	0.00	4.58	0.00	4.58	55.0	1.60
04/01/2017	GRESZTA, LIDIA	MFS BOND FUND B	0.00	4.15	0.00	4.15	55.0	1.45
04/01/2017	KURILO SEP PLAN, EVA	MFS BOND FUND B	0.00	6.77	0.00	6.77	55.0	2.37
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS BOND FUND B	0.00	1.63	0.00	1.63	55.0	0.57
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.35	0.00	0.35	55.0	0.12
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.71	0.00	0.71	55.0	0.25
04/01/2017	MROCKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION A	0.00	20.79	0.00	20.79	55.0	7.28
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	1.38	0.00	1.38	55.0	0.48
04/01/2017	MROCKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION B	0.00	2.01	0.00	2.01	55.0	0.70
04/01/2017	DANEK MD SEP PLAN, BEATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.93	0.00	0.93	55.0	0.33
04/01/2017	JUSCINSKA, AGNIESZKA	MFS CONSERVATIVE ALLOCATION B	0.00	5.35	0.00	5.35	55.0	1.87
04/01/2017	JARZAREK, MAGDALENA	MFS CONSERVATIVE ALLOCATION B	0.00	2.55	0.00	2.55	55.0	0.89
04/01/2017	KURILO SEP PLAN, EVA	MFS CONSERVATIVE ALLOCATION B	0.00	1.10	0.00	1.10	55.0	0.39
04/01/2017	KONIECZNY, EWA	MFS CONSERVATIVE ALLOCATION FUND C	0.00	7.02	0.00	7.02	55.0	2.46
04/01/2017	MROCKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION FUND C	0.00	6.96	0.00	6.96	55.0	2.44
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS CORE EQUITY A	0.00	1.26	0.00	1.26	55.0	0.44
04/01/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY A	0.00	2.08	0.00	2.08	55.0	0.73
04/01/2017	BOSOWSKI, EWA	MFS CORE EQUITY C	0.00	15.20	0.00	15.20	55.0	5.32
04/01/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY C	0.00	11.68	0.00	11.68	55.0	4.09
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS DISCOVERY B	0.00	2.29	0.00	2.29	55.0	0.80
03/27/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
04/01/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	0.00	15.05	0.00	15.05	55.0	5.27
04/01/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	0.00	10.52	0.00	10.52	55.0	3.68
04/01/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	0.00	30.18	0.00	30.18	55.0	10.56
04/01/2017	KOZIOŁ SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	8.26	0.00	8.26	55.0	2.89
04/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	1.58	0.00	1.58	55.0	0.55
04/01/2017	KOZIOŁ SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	51.56	0.00	51.56	55.0	18.05
04/01/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	0.00	1.94	0.00	1.94	55.0	0.68
04/01/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	0.00	6.28	0.00	6.28	55.0	2.20
04/01/2017	CHOWANIEC, WOJCIECH	MFS DIVERSIFIED INCOME FUND C	0.00	13.45	0.00	13.45	55.0	4.71
04/01/2017	MADRZYK, PIOTR	MFS DIVERSIFIED INCOME FUND C	0.00	3.36	0.00	3.36	55.0	1.18
04/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	1.58	0.00	1.58	55.0	0.55

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.18
04/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.18
04/01/2017	MALYSZ TRUCKING INC SEP P	MFS EMERGINF MARKETS EQUITY FUND C	0.00	17.10	0.00	17.10	55.0	5.99
04/01/2017	WAL, SEBASTIAN	MFS EMERGINF MARKETS EQUITY FUND C	0.00	2.95	0.00	2.95	55.0	1.03
04/01/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	12.91	0.00	12.91	55.0	4.52
04/01/2017	THOMAS, SYLVIA	MFS EMERGINF MARKETS EQUITY FUND C	0.00	11.62	0.00	11.62	55.0	4.07
04/01/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	7.20	0.00	7.20	55.0	2.52
04/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	0.00	2.95	0.00	2.95	55.0	1.03
04/01/2017	VITALIDEX CORP SEP PLAN	MFS EMERGING MARKETS DEBT FUND B	0.00	4.74	0.00	4.74	55.0	1.66
04/01/2017	KURILO SEP PLAN, EVA	MFS EMERGING MARKETS DEBT FUND B	0.00	2.23	0.00	2.23	55.0	0.78
04/03/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	0.70
04/01/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MARKETS EQUITY A	0.00	1.53	0.00	1.53	55.0	0.54
04/01/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	0.00	2.51	0.00	2.51	55.0	0.88
03/22/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
04/01/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	0.00	11.44	0.00	11.44	55.0	4.00
04/01/2017	WIKAR, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.44	0.00	3.44	55.0	1.20
04/01/2017	SYNOWIEC, LESZEK	MFS EMERGING MKTS DEBT FUND C	0.00	8.11	0.00	8.11	55.0	2.84
04/01/2017	SYNOWIEC, JADWIGA	MFS EMERGING MKTS DEBT FUND C	0.00	12.20	0.00	12.20	55.0	4.27
04/01/2017	MARSZALEK, ZOFIA	MFS EMERGING MKTS DEBT FUND C	0.00	9.67	0.00	9.67	55.0	3.38
04/01/2017	KOPERDOWSKI, BEATA	MFS EMERGING MKTS DEBT FUND C	0.00	8.32	0.00	8.32	55.0	2.91
04/01/2017	KOPERDOWSKI, PIOTR	MFS EMERGING MKTS DEBT FUND C	0.00	8.32	0.00	8.32	55.0	2.91
04/01/2017	KOZIOL SIMPLE PLAN,	MFS EMERGING MKTS DEBT FUND C	0.00	31.13	0.00	31.13	55.0	10.90
04/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	20.02	0.00	20.02	55.0	7.01
04/01/2017	GRESZTA, LIDIA	MFS EMERGING MKTS DEBT FUND C	0.00	57.84	0.00	57.84	55.0	20.24
04/01/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	0.00	10.82	0.00	10.82	55.0	3.79
04/01/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	10.83	0.00	10.83	55.0	3.79
04/01/2017	BECKER, KARINA	MFS EMERGING MKTS DEBT FUND C	0.00	9.07	0.00	9.07	55.0	3.17
04/01/2017	PIETRZYK, JAN	MFS EMERGING MKTS DEBT FUND C	0.00	7.50	0.00	7.50	55.0	2.63
04/01/2017	PIETRZYK, DOROTA	MFS EMERGING MKTS DEBT FUND C	0.00	9.56	0.00	9.56	55.0	3.35
04/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	21.83	0.00	21.83	55.0	7.64
04/01/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	17.58	0.00	17.58	55.0	6.15
04/01/2017	KOPYTKO, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	1.33	0.00	1.33	55.0	0.47
04/01/2017	ROMANEK, ELIZABETH	MFS EMERGING MKTS DEBT FUND C	0.00	4.93	0.00	4.93	55.0	1.73

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/01/2017	GRZEDA, ZBIGNIEW	MFS EMERGING MKTS DEBT FUND C	0.00	28.02	0.00	28.02	55.0	9.81
04/01/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	0.00	24.89	0.00	24.89	55.0	8.71
04/01/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	16.98	0.00	16.98	55.0	5.94
04/01/2017	ZIEMBINSKI, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	7.84	0.00	7.84	55.0	2.74
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.78	0.00	3.78	55.0	1.32
04/01/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	14.62	0.00	14.62	55.0	5.12
04/01/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	0.00	5.55	0.00	5.55	55.0	1.94
04/03/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.14
04/03/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
04/03/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
04/01/2017	KURILO SEP PLAN, EVA	MFS GLOBAL ALTERNATIVE STRATEGY FD	0.00	0.88	0.00	0.88	55.0	0.31
04/01/2017	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	0.00	153.16	0.00	153.16	55.0	53.61
04/01/2017	MALYSZ, JERZY	MFS GLOBAL NEW DISCOVERY FUND C	0.00	18.41	0.00	18.41	55.0	6.44
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	1.16	0.00	1.16	55.0	0.41
04/01/2017	MALYSZ (A MINOR), ANNA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	15.31	0.00	15.31	55.0	5.36
03/27/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
04/01/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	0.00	1.77	0.00	1.77	55.0	0.62
04/03/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
04/01/2017	WAL, SEBASTIAN	MFS GOVERNMENT SECURITIES C	0.00	1.60	0.00	1.60	55.0	0.56
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS GROWTH A	0.00	2.36	0.00	2.36	55.0	0.83
04/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	0.00	30.62	0.00	30.62	55.0	10.72
04/01/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION A	0.00	6.87	0.00	6.87	55.0	2.40
04/01/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION B	0.00	9.22	0.00	9.22	55.0	3.23
04/01/2017	KURILO SEP PLAN, EVA	MFS GROWTH ALLOCATION B	0.00	0.50	0.00	0.50	55.0	0.18
04/01/2017	MURZANSKI, ANDRZEJ	MFS GROWTH ALLOCATION C	0.00	16.33	0.00	16.33	55.0	5.72
04/01/2017	DZIEWIECKA, TERESA	MFS GROWTH ALLOCATION C	0.00	106.28	0.00	106.28	55.0	37.20
04/01/2017	WIKAR, HALINA	MFS GROWTH ALLOCATION C	0.00	21.90	0.00	21.90	55.0	7.67
04/01/2017	BOSOWSKI, JANUSZ	MFS GROWTH ALLOCATION C	0.00	14.16	0.00	14.16	55.0	4.96
04/01/2017	BOSOWSKI, EWA	MFS GROWTH ALLOCATION C	0.00	14.15	0.00	14.15	55.0	4.95
04/01/2017	KOPERDOWSKI, PIOTR	MFS GROWTH ALLOCATION C	0.00	14.58	0.00	14.58	55.0	5.10
04/01/2017	KOPERDOWSKI, BEATA	MFS GROWTH ALLOCATION C	0.00	14.58	0.00	14.58	55.0	5.10
04/01/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	87.79	0.00	87.79	55.0	30.73
04/01/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	37.33	0.00	37.33	55.0	13.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/01/2017	SWIDER, JULIA	MFS GROWTH ALLOCATION C	0.00	44.72	0.00	44.72	55.0	15.65
04/01/2017	WAL, SEBASTIAN	MFS GROWTH ALLOCATION C	0.00	5.33	0.00	5.33	55.0	1.87
04/01/2017	ZIEMBINSKI, JACEK	MFS GROWTH ALLOCATION C	0.00	4.19	0.00	4.19	55.0	1.47
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS GROWTH ALLOCATION C	0.00	7.93	0.00	7.93	55.0	2.78
04/01/2017	BUGAJ, ANNA	MFS GROWTH ALLOCATION C	0.00	6.40	0.00	6.40	55.0	2.24
04/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION C	0.00	4.77	0.00	4.77	55.0	1.67
04/01/2017	MADRZYK, PIOTR	MFS GROWTH ALLOCATION C	0.00	17.92	0.00	17.92	55.0	6.27
04/01/2017	MURZANSKI, EWA	MFS GROWTH ALLOCATION C	0.00	16.33	0.00	16.33	55.0	5.72
04/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	0.00	2.01	0.00	2.01	55.0	0.70
04/01/2017	DUSZCZYK, ALEC	MFS GROWTH ALLOCATION FUND -529B	0.00	13.38	0.00	13.38	55.0	4.68
04/03/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	0.70
04/01/2017	WIKAR, HALINA	MFS GROWTH C	0.00	0.12	0.00	0.12	55.0	0.04
04/01/2017	ZIEMBINSKI, JACEK	MFS GROWTH C	0.00	1.26	0.00	1.26	55.0	0.44
04/01/2017	CHADA, WIESLAW	MFS GROWTH C	0.00	4.24	0.00	4.24	55.0	1.48
04/01/2017	TARCZYNSKI, LESTER	MFS GROWTH C	0.00	0.27	0.00	0.27	55.0	0.09
04/01/2017	MADRZYK, PIOTR	MFS GROWTH C	0.00	0.10	0.00	0.10	55.0	0.04
04/01/2017	KURILO SEP PLAN, EVA	MFS HIGH INCOME FUND B	0.00	1.62	0.00	1.62	55.0	0.57
04/01/2017	VITALIDEX CORP SEP PLAN	MFS HIGH YIELD OPP FUND-B	0.00	2.98	0.00	2.98	55.0	1.04
04/01/2017	GRESZTA, LIDIA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	8.15	0.00	8.15	55.0	2.85
04/01/2017	GRESZTA, LIDIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.21	0.00	5.21	55.0	1.82
04/01/2017	MROCKOWSKI, AGNES	MFS INTERNATIONAL DIVERSIFICATION A	0.00	22.63	0.00	22.63	55.0	7.92
04/01/2017	WIKAR, HALINA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	19.15	0.00	19.15	55.0	6.70
04/01/2017	MURZANSKI, ANDRZEJ	MFS INTERNATIONAL DIVERSIFICATION C	0.00	13.24	0.00	13.24	55.0	4.63
04/01/2017	BUGAJ, ANNA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	4.10	0.00	4.10	55.0	1.44
04/01/2017	GRZEDA, ZBIGNIEW	MFS INTERNATIONAL DIVERSIFICATION C	0.00	1.26	0.00	1.26	55.0	0.44
04/01/2017	ZIEMBINSKI, JACEK	MFS INTERNATIONAL DIVERSIFICATION C	0.00	6.23	0.00	6.23	55.0	2.18
04/01/2017	MADRZYK, PIOTR	MFS INTERNATIONAL DIVERSIFICATION C	0.00	17.02	0.00	17.02	55.0	5.96
04/01/2017	MURZANSKI, EWA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	13.24	0.00	13.24	55.0	4.63
04/01/2017	PIETRZYK, JAN	MFS INTERNATIONAL DIVERSIFICATION C	0.00	9.98	0.00	9.98	55.0	3.49
04/01/2017	PIETRZYK, DOROTA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	9.98	0.00	9.98	55.0	3.49
04/01/2017	THOMAS, SYLVIA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	5.17	0.00	5.17	55.0	1.81
04/01/2017	WAL, SEBASTIAN	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.28	0.00	3.28	55.0	1.15
04/01/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.07	0.00	3.07	55.0	1.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/01/2017	ZIEMBINSKI, JOANNA	MFS INTERNATIONAL VALUE FUND C	0.00	9.84	0.00	9.84	55.0	3.44
04/01/2017	VITALIDEX CORP SEP PLAN	MFS LIFETIME 2050 FUND B	0.00	5.67	0.00	5.67	55.0	1.98
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS LIFETIME 2050 FUND B	0.00	2.71	0.00	2.71	55.0	0.95
04/01/2017	WIKAR, HALINA	MFS LIFETIME RETIREMENT INCOME FUND	0.00	36.92	0.00	36.92	55.0	12.92
04/01/2017	SWIDER, JULIA	MFS LIMITED MATURITY 529A	0.00	0.66	0.00	0.66	55.0	0.23
04/01/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	0.00	1.24	0.00	1.24	55.0	0.43
03/28/2017	DZIEWIECKA, TERESA	MFS LOW VOLATIILITY GLOBAL EQUITY F	20.00	0.20	0.00	0.20	55.0	0.07
04/01/2017	DZIEWIECKA, TERESA	MFS LOW VOLATIILITY GLOBAL EQUITY F	0.00	0.90	0.00	0.90	55.0	0.32
04/01/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	0.00	0.03	0.00	0.03	55.0	0.01
04/01/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	0.00	12.30	0.00	12.30	55.0	4.31
04/01/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	0.00	12.30	0.00	12.30	55.0	4.31
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.35	0.00	1.35	55.0	0.47
04/01/2017	BOSOWSKI, JANUSZ	MFS MASS INVESTORS GROWTH STOCK C	0.00	13.56	0.00	13.56	55.0	4.75
04/01/2017	BOSOWSKI, EWA	MFS MASS INVESTORS GROWTH STOCK C	0.00	13.56	0.00	13.56	55.0	4.75
04/01/2017	MARSZALEK, ZOFIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	4.68	0.00	4.68	55.0	1.64
04/01/2017	SYNOWIEC, JADWIGA	MFS MASS INVESTORS GROWTH STOCK C	0.00	6.67	0.00	6.67	55.0	2.33
04/01/2017	KOZIOL SIMPLE PLAN,	MFS MASS INVESTORS GROWTH STOCK C	0.00	76.99	0.00	76.99	55.0	26.95
04/01/2017	SWIDER, JULIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	11.87	0.00	11.87	55.0	4.15
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS TRUST A	0.00	1.42	0.00	1.42	55.0	0.50
04/01/2017	BOSOWSKI, JANUSZ	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	12.15	0.00	12.15	55.0	4.25
04/01/2017	BOSOWSKI, EWA	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	12.15	0.00	12.15	55.0	4.25
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	7.32	0.00	7.32	55.0	2.56
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.41	0.00	0.41	55.0	0.14
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.78	0.00	0.78	55.0	0.27
04/01/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION A	0.00	6.78	0.00	6.78	55.0	2.37
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION B	0.00	1.53	0.00	1.53	55.0	0.54
04/01/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION B	0.00	8.85	0.00	8.85	55.0	3.10
04/01/2017	DANEK MD SEP PLAN, BEATA	MFS MODERATE ALLOCATION B	0.00	1.03	0.00	1.03	55.0	0.36
04/01/2017	JUSCINSKA, AGNIESZKA	MFS MODERATE ALLOCATION B	0.00	5.78	0.00	5.78	55.0	2.02
04/01/2017	JARZAREK, MAGDALENA	MFS MODERATE ALLOCATION B	0.00	2.79	0.00	2.79	55.0	0.98
03/27/2017	GRZYBEK, MARK	MFS MODERATE ALLOCATION C	2,000.00	20.00	0.00	20.00	55.0	7.00
04/01/2017	DZIEWIECKA, TERESA	MFS MODERATE ALLOCATION C	0.00	101.12	0.00	101.12	55.0	35.39
04/01/2017	BOSOWSKI, JANUSZ	MFS MODERATE ALLOCATION C	0.00	12.87	0.00	12.87	55.0	4.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/01/2017	BOSOWSKI, EWA	MFS MODERATE ALLOCATION C	0.00	12.86	0.00	12.86	55.0	4.50
04/01/2017	KOPERDOWSKI, PIOTR	MFS MODERATE ALLOCATION C	0.00	13.14	0.00	13.14	55.0	4.60
04/01/2017	KOPERDOWSKI, BEATA	MFS MODERATE ALLOCATION C	0.00	13.14	0.00	13.14	55.0	4.60
04/01/2017	SYNOWIEC, LESZEK	MFS MODERATE ALLOCATION C	0.00	9.56	0.00	9.56	55.0	3.35
04/01/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	59.01	0.00	59.01	55.0	20.65
04/01/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	13.07	0.00	13.07	55.0	4.57
04/01/2017	SWIDER, JULIA	MFS MODERATE ALLOCATION C	0.00	27.14	0.00	27.14	55.0	9.50
04/01/2017	KRELLER, KRYSZYNA	MFS MODERATE ALLOCATION C	0.00	37.68	0.00	37.68	55.0	13.19
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MODERATE ALLOCATION C	0.00	7.64	0.00	7.64	55.0	2.67
04/01/2017	GRZYBEK, MARK	MFS MODERATE ALLOCATION C	0.00	16.85	0.00	16.85	55.0	5.90
04/03/2017	KRELLER, KRYSZYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.14
04/01/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY A	0.00	3.39	0.00	3.39	55.0	1.19
04/01/2017	BOSOWSKI, EWA	MFS NEW DISCOVERY C	0.00	18.75	0.00	18.75	55.0	6.56
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS NEW DISCOVERY C	0.00	4.81	0.00	4.81	55.0	1.68
04/01/2017	BECKER, KARINA	MFS NEW DISCOVERY C	0.00	14.25	0.00	14.25	55.0	4.99
04/01/2017	MALYSZ, JERZY	MFS NEW DISCOVERY C	0.00	18.91	0.00	18.91	55.0	6.62
04/01/2017	MALYSZ (A MINOR), ANNA	MFS NEW DISCOVERY C	0.00	3.85	0.00	3.85	55.0	1.35
04/01/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY C	0.00	8.34	0.00	8.34	55.0	2.92
04/01/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	0.00	21.52	0.00	21.52	55.0	7.53
04/01/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	0.00	17.78	0.00	17.78	55.0	6.22
04/01/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	0.00	17.77	0.00	17.77	55.0	6.22
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS RESEARCH A	0.00	1.50	0.00	1.50	55.0	0.53
04/01/2017	GRESZTA, LIDIA	MFS RESEARCH BOND FUND B	0.00	11.64	0.00	11.64	55.0	4.07
04/01/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	0.00	12.71	0.00	12.71	55.0	4.45
04/01/2017	WIKAR, HALINA	MFS RESEARCH BOND FUND C	0.00	5.10	0.00	5.10	55.0	1.79
04/01/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	0.00	8.97	0.00	8.97	55.0	3.14
04/01/2017	KRELLER, KRYSZYNA	MFS RESEARCH BOND FUND C	0.00	11.49	0.00	11.49	55.0	4.02
04/03/2017	KRELLER, KRYSZYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.14
04/01/2017	BOSOWSKI, JANUSZ	MFS RESEARCH FUND-C	0.00	17.20	0.00	17.20	55.0	6.02
04/01/2017	BOSOWSKI, EWA	MFS RESEARCH FUND-C	0.00	17.20	0.00	17.20	55.0	6.02
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS RESEARCH FUND-C	0.00	7.52	0.00	7.52	55.0	2.63
04/01/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	0.00	4.07	0.00	4.07	55.0	1.42
04/01/2017	KURILO SEP PLAN, EVA	MFS STRATEGIC INCOME FUND B	0.00	1.56	0.00	1.56	55.0	0.55

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
04/01/2017	GABEROV, VASIL	MFS TECHNOLOGY FUND-C	0.00	15.49	0.00	15.49	55.0	5.42
04/01/2017	GABEROVA, STELLA	MFS TECHNOLOGY FUND-C	0.00	15.49	0.00	15.49	55.0	5.42
04/01/2017	GRESZTA, LIDIA	MFS TOTAL RETURN BOND FUND CLASS A	0.00	1.07	0.00	1.07	55.0	0.37
04/01/2017	WIKAR, HALINA	MFS TOTAL RETURN C	0.00	21.64	0.00	21.64	55.0	7.57
04/01/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	0.65	0.00	0.65	55.0	0.23
04/01/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	4.87	0.00	4.87	55.0	1.70
04/01/2017	GRESZTA, LIDIA	MFS UTILITIES A	0.00	6.74	0.00	6.74	55.0	2.36
04/01/2017	WIKAR, MAGDALENA	MFS UTILITIES A	0.00	2.78	0.00	2.78	55.0	0.97
04/01/2017	MROCKOWSKI, AGNES	MFS UTILITIES A	0.00	29.33	0.00	29.33	55.0	10.27
04/01/2017	MALYSZ, JERZY	MFS UTILITIES B	0.00	3.92	0.00	3.92	55.0	1.37
04/01/2017	GRESZTA, LIDIA	MFS UTILITIES B	0.00	21.81	0.00	21.81	55.0	7.63
04/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	0.00	3.34	0.00	3.34	55.0	1.17
04/01/2017	MALYSZ (A MINOR), ANNA	MFS UTILITIES B	0.00	3.31	0.00	3.31	55.0	1.16
04/01/2017	MROCKOWSKI, AGNES	MFS UTILITIES B	0.00	2.09	0.00	2.09	55.0	0.73
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS UTILITIES B	0.00	2.79	0.00	2.79	55.0	0.98
04/01/2017	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	0.00	1.81	0.00	1.81	55.0	0.63
04/01/2017	JUSCINSKA, AGNIESZKA	MFS UTILITIES B	0.00	5.69	0.00	5.69	55.0	1.99
04/01/2017	JARZAREK, MAGDALENA	MFS UTILITIES B	0.00	2.92	0.00	2.92	55.0	1.02
04/01/2017	VITALIDEX CORP SEP PLAN	MFS UTILITIES B	0.00	5.69	0.00	5.69	55.0	1.99
04/01/2017	KURILO SEP PLAN, EVA	MFS UTILITIES B	0.00	10.38	0.00	10.38	55.0	3.63
04/01/2017	REHABILITATION SVS PC SP,	MFS UTILITIES B	0.00	0.02	0.00	0.02	55.0	0.01
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS UTILITIES B	0.00	2.69	0.00	2.69	55.0	0.94
04/03/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	0.70
03/23/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
03/27/2017	GRZYBEK, MARK	MFS UTILITIES C	2,500.00	25.00	0.00	25.00	55.0	8.75
03/30/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
04/01/2017	GRZEDA, ZBIGNIEW	MFS UTILITIES C	0.00	13.09	0.00	13.09	55.0	4.58
04/01/2017	WILK, TEOFIL	MFS UTILITIES C	0.00	26.41	0.00	26.41	55.0	9.24
04/01/2017	KONIECZNY, EWA	MFS UTILITIES C	0.00	17.61	0.00	17.61	55.0	6.16
04/01/2017	KWAK, ANNA	MFS UTILITIES C	0.00	3.93	0.00	3.93	55.0	1.38
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS UTILITIES C	0.00	5.09	0.00	5.09	55.0	1.78
04/01/2017	MURZANSKI, ANDRZEJ	MFS UTILITIES C	0.00	34.52	0.00	34.52	55.0	12.08
04/01/2017	KOPERDOWSKI, BEATA	MFS UTILITIES C	0.00	16.49	0.00	16.49	55.0	5.77

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/01/2017	KOPERDOWSKI, PIOTR	MFS UTILITIES C	0.00	16.49	0.00	16.49	55.0	5.77
04/01/2017	MARSZALEK, ZOFIA	MFS UTILITIES C	0.00	17.73	0.00	17.73	55.0	6.21
04/01/2017	SYNOWIEC, JADWIGA	MFS UTILITIES C	0.00	18.64	0.00	18.64	55.0	6.52
04/01/2017	SZCZESNIAK, EWA	MFS UTILITIES C	0.00	4.19	0.00	4.19	55.0	1.47
04/01/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	0.00	5.59	0.00	5.59	55.0	1.96
04/01/2017	SYNOWIEC, LESZEK	MFS UTILITIES C	0.00	16.47	0.00	16.47	55.0	5.76
04/01/2017	WIKAR, HALINA	MFS UTILITIES C	0.00	87.27	0.00	87.27	55.0	30.54
04/01/2017	KRELLER, KRISTYNA	MFS UTILITIES C	0.00	23.50	0.00	23.50	55.0	8.23
04/01/2017	WAL, SEBASTIAN	MFS UTILITIES C	0.00	55.88	0.00	55.88	55.0	19.56
04/01/2017	BECKER, KARINA	MFS UTILITIES C	0.00	28.66	0.00	28.66	55.0	10.03
04/01/2017	ZIEMBINSKI, JACEK	MFS UTILITIES C	0.00	8.05	0.00	8.05	55.0	2.82
04/01/2017	WROBEL, JACEK	MFS UTILITIES C	0.00	4.55	0.00	4.55	55.0	1.59
04/01/2017	KOZIOL SIMPLE PLAN,	MFS UTILITIES C	0.00	91.84	0.00	91.84	55.0	32.14
04/01/2017	KOZIOL SIMPLE PLAN,	MFS UTILITIES C	0.00	21.76	0.00	21.76	55.0	7.62
04/01/2017	SWIDER, JULIA	MFS UTILITIES C	0.00	24.14	0.00	24.14	55.0	8.45
04/01/2017	TARCZYNSKI, LESTER	MFS UTILITIES C	0.00	96.89	0.00	96.89	55.0	33.91
04/01/2017	GRZYBEK, MARK	MFS UTILITIES C	0.00	28.99	0.00	28.99	55.0	10.15
04/01/2017	DOMANUS, MALGORZATA	MFS UTILITIES C	0.00	11.69	0.00	11.69	55.0	4.09
04/01/2017	BUGAJ, ANNA	MFS UTILITIES C	0.00	14.45	0.00	14.45	55.0	5.06
04/01/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES C	0.00	35.62	0.00	35.62	55.0	12.47
04/01/2017	BECKER, KARINA	MFS UTILITIES C	0.00	16.75	0.00	16.75	55.0	5.86
04/01/2017	WIKAR, TYMOTEUSZ	MFS UTILITIES C	0.00	14.36	0.00	14.36	55.0	5.03
04/01/2017	MADRZYK, PIOTR	MFS UTILITIES C	0.00	19.05	0.00	19.05	55.0	6.67
04/01/2017	MURZANSKI, EWA	MFS UTILITIES C	0.00	30.25	0.00	30.25	55.0	10.59
04/01/2017	PIETRZYK, JAN	MFS UTILITIES C	0.00	15.17	0.00	15.17	55.0	5.31
04/01/2017	PIETRZYK, DOROTA	MFS UTILITIES C	0.00	13.21	0.00	13.21	55.0	4.62
04/01/2017	KWAK, ANNA	MFS UTILITIES C	0.00	41.23	0.00	41.23	55.0	14.43
04/01/2017	THOMAS, SYLVIA	MFS UTILITIES C	0.00	21.41	0.00	21.41	55.0	7.49
04/01/2017	GABEROV, VASIL	MFS UTILITIES C	0.00	46.27	0.00	46.27	55.0	16.19
04/01/2017	GABEROVA, STELLA	MFS UTILITIES C	0.00	46.27	0.00	46.27	55.0	16.19
04/01/2017	MROCZKOWSKI, AGNES	MFS UTILITIES C	0.00	7.19	0.00	7.19	55.0	2.52
04/01/2017	ZIEMBINSKI, JOANNA	MFS UTILITIES C	0.00	13.14	0.00	13.14	55.0	4.60
04/01/2017	POLLAK, TATIANA	MFS UTILITIES C	0.00	14.23	0.00	14.23	55.0	4.98

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/01/2017	POLLAK, WIESLAW	MFS UTILITIES C	0.00	14.23	0.00	14.23	55.0	4.98
04/01/2017	BOSOWSKI, JANUSZ	MFS UTILITIES C	0.00	19.16	0.00	19.16	55.0	6.71
04/01/2017	BOSOWSKI, EWA	MFS UTILITIES C	0.00	23.10	0.00	23.10	55.0	8.09
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS UTILITIES C	0.00	4.30	0.00	4.30	55.0	1.51
04/01/2017	TYLMAN, JOANNA	MFS UTILITIES C	0.00	22.80	0.00	22.80	55.0	7.98
04/01/2017	TYLMAN, PETER	MFS UTILITIES C	0.00	22.83	0.00	22.83	55.0	7.99
04/01/2017	JAROSZ, JACEK	MFS UTILITIES C	0.00	7.93	0.00	7.93	55.0	2.78
04/01/2017	JAROSZ, TERESA	MFS UTILITIES C	0.00	7.93	0.00	7.93	55.0	2.78
04/03/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.14
04/03/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
04/03/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
04/04/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
04/01/2017	VITALIDEX CORP SEP PLAN	MFS VALUE B	0.00	0.48	0.00	0.48	55.0	0.17
04/01/2017	REHABILITATION SVS PC SP,	MFS VALUE B	0.00	0.07	0.00	0.07	55.0	0.02
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS VALUE B	0.00	2.51	0.00	2.51	55.0	0.88
04/01/2017	MURZANSKI, ANDRZEJ	MFS VALUE C	0.00	5.10	0.00	5.10	55.0	1.79
04/01/2017	BOSOWSKI, JANUSZ	MFS VALUE C	0.00	19.46	0.00	19.46	55.0	6.81
04/01/2017	BOSOWSKI, EWA	MFS VALUE C	0.00	19.45	0.00	19.45	55.0	6.81
04/01/2017	SYNOWIEC, JADWIGA	MFS VALUE C	0.00	5.10	0.00	5.10	55.0	1.79
04/01/2017	WIKAR, HALINA	MFS VALUE C	0.00	37.01	0.00	37.01	55.0	12.95
04/01/2017	SWIDER, JULIA	MFS VALUE C	0.00	25.53	0.00	25.53	55.0	8.94
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS VALUE C	0.00	6.03	0.00	6.03	55.0	2.11
04/01/2017	CHADA, WIESLAW	MFS VALUE C	0.00	3.72	0.00	3.72	55.0	1.30
04/01/2017	ZIEMBINSKI, JACEK	MFS VALUE C	0.00	2.53	0.00	2.53	55.0	0.89
04/01/2017	DOMANUS, MALGORZATA	MFS VALUE C	0.00	1.69	0.00	1.69	55.0	0.59
04/01/2017	TYLMAN, PETER	MFS VALUE C	0.00	14.60	0.00	14.60	55.0	5.11
04/01/2017	TYLMAN, JOANNA	MFS VALUE C	0.00	14.65	0.00	14.65	55.0	5.13
04/01/2017	BECKER, KARINA	MFS VALUE C	0.00	5.61	0.00	5.61	55.0	1.96
04/01/2017	MURZANSKI, EWA	MFS VALUE C	0.00	5.10	0.00	5.10	55.0	1.79
 * HALINA WIKAR SUBTOTAL * 			\$5,365.00	\$4,036.29	\$0.00	\$4,036.29		\$1,412.79

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/03/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	80.00	3.20	0.00	3.20	0.0	1.12
04/03/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	80.00	3.20	0.00	3.20	0.0	1.12
04/03/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	80.00	3.20	0.00	3.20	0.0	1.12
04/03/2017	MEI, JENNIFER	MFS RESEARCH B	80.00	3.20	0.00	3.20	0.0	1.12
04/03/2017	MEI, JENNIFER	MFS UTILITIES B	80.00	3.20	0.00	3.20	0.0	1.12
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$400.00	\$16.00	\$0.00	\$16.00		\$5.60
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
04/03/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
04/03/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
03/31/2017	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	70,000.00	2,100.00	0.00	2,100.00	0.0	1,890.00
03/09/2017	MAY TRUST, ROBERT & KAREN	COTTONWOOD MULTIFAMILY REIT I-REG A	50,000.00	3,000.00	0.00	3,000.00	0.0	2,700.00
04/05/2017	HELD, RONALD	ING LANDMARK	0.00	473.19	0.00	473.19	0.0	425.87
03/22/2017	MACLEAN, KENNETH	SHOPOFF LAND FUND V	35,000.00	2,450.00	0.00	2,450.00	0.0	2,205.00
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$155,075.00	\$8,024.69	\$0.00	\$8,024.69		\$7,222.22
*** OVERRIDE TRANSACTIONS TOTAL ***			\$198,580.23	\$12,764.06	\$0.00	\$12,764.06		\$9,258.96

ADJUSTMENTS

04/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
04/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
04/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
04/15/2017	*FINRA: FINGERPRINT-J ZUPEK	0.00	-25.00
04/15/2017	*FINRA: REG FEE-OH	0.00	-60.00
04/15/2017	*FINRA: REG FEE-OH-M HUBER	0.00	-60.00
04/15/2017	*FINRA: REG FEE-OH-R RODRIGUEZ	0.00	-60.00
04/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-20.00
04/15/2017	*PERSHING: BOOKS & RECORDS R122	0.00	-9.93
04/15/2017	*TECH: ADMIN - L JOCIUS	0.00	-100.00
04/15/2017	*TECH: ADMIN - RON HAMILTON	0.00	-100.00
04/15/2017	*TECH: ADMIN: JOHN ZUPEK	0.00	-100.00
04/15/2017	*TECHNOLOGY: ADMIN - AMANDA MOON	0.00	-100.00
04/15/2017	*TECHNOLOGY: ALBRIDGE FULL	0.00	-100.00

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<u>ADJUSTMENTS</u>								
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-MAR	0.00					-275.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-RIC	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,459.93

BROKER COMMISSION TOTALS

Broker Transactions	\$15,163.39
Split Transactions	\$60.08
Override Transactions	\$9,258.96
Adjustments	\$-2,459.93
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$22,022.50
Year-to-date Gross	\$63,366.63
Year-to-date Earnings	\$99,780.28

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