



Concorde Investment Services, LLC

11/13/2017  
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# COMMISSION STATEMENT

November 15, 2017

JAY MCCLELLAN  
8801 N. Main Street  
Ste. 201  
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016  
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
10/16/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	0.00
11/03/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.18	0.00	3.18	0.0	0.00
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.94	0.00	2.94	0.0	0.00
10/31/2017	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.90	0.00	8.90	0.0	0.00
10/31/2017	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	8.97	0.00	8.97	0.0	0.00
10/31/2017	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	6.84	0.00	6.84	0.0	0.00
10/31/2017	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.80	0.00	2.80	0.0	0.00
10/31/2017	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.48	0.00	7.48	0.0	0.00
10/31/2017	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	9.08	0.00	9.08	0.0	0.00
10/31/2017	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	2.92	0.00	2.92	0.0	0.00
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.95	0.00	6.95	0.0	0.00
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	10.60	0.00	10.60	0.0	0.00
10/31/2017	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.79	0.00	1.79	0.0	0.00
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.05	0.00	7.05	0.0	0.00
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.02	0.00	7.02	0.0	0.00
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.63	0.00	1.63	0.0	0.00
10/31/2017	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.81	0.00	1.81	0.0	0.00
10/31/2017	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.83	0.00	4.83	0.0	0.00
10/31/2017	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	4.04	0.00	4.04	0.0	0.00
10/31/2017	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.98	0.00	5.98	0.0	0.00
10/31/2017	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.44	0.00	4.44	0.0	0.00
10/31/2017	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.16	0.00	5.16	0.0	0.00
10/31/2017	KAISER, CHARLES	TEMPLETON WORLD A	0.00	8.02	0.00	8.02	0.0	0.00
10/31/2017	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	7.91	0.00	7.91	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$132.84	\$0.00	\$132.84		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	20,040.47	6.68	0.00	6.68	0.0	0.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$20,040.47</b>	<b>\$6.68</b>	<b>\$0.00</b>	<b>\$6.68</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/17/2017	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.67	0.00	0.67	0.0	0.00
10/16/2017	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.62	0.00	0.62	0.0	0.00
10/18/2017	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.03	0.00	3.03	0.0	0.00
10/18/2017	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.00	0.00	3.00	0.0	0.00
10/18/2017	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.21	0.00	2.21	0.0	0.00
10/18/2017	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.39	0.00	3.39	0.0	0.00
10/18/2017	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.39	0.00	9.39	0.0	0.00
10/16/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.54	0.00	0.54	0.0	0.00
10/16/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.32	0.00	0.32	0.0	0.00
10/16/2017	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.61	0.00	0.61	0.0	0.00
10/16/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.20	0.00	2.20	0.0	0.00
10/16/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	0.00
10/16/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.30	0.00	3.30	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$32.18</b>	<b>\$0.00</b>	<b>\$32.18</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$20,090.47</b>	<b>\$171.70</b>	<b>\$0.00</b>	<b>\$171.70</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$5,656.63</b>
<b>Year-to-date Earnings</b>	<b>\$1,800.42</b>