



# COMMISSION STATEMENT

## May 28, 2018

**RICHARD SOWERS**  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

**BROKER #: 71**  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/14/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL INCOME BUILDER-A BUY 3 Units @63.0900	196.58	3.93	0.00	3.93	90.0	3.54
05/14/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL INCOME BUILDER-A BUY 1 Units @63.0900	50.00	1.00	0.00	1.00	90.0	0.90
05/14/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL INCOME BUILDER-A BUY 2 Units @63.7400	134.13	3.69	0.00	3.69	90.0	3.32
05/14/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL INCOME BUILDER-A BUY 2 Units @64.4100	100.00	3.75	0.00	3.75	90.0	3.38
05/14/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL INCOME BUILDER-A BUY 0 Units @64.7500	30.00	1.28	0.00	1.28	90.0	1.15
05/14/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL WORLD GROWTH AND INCOME FUN BUY 4 Units @54.2100	196.58	3.93	0.00	3.93	90.0	3.54
05/14/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @54.2100	50.00	1.00	0.00	1.00	90.0	0.90
05/14/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.7700	134.13	3.69	0.00	3.69	90.0	3.32
05/14/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @55.3400	100.00	3.75	0.00	3.75	90.0	3.38
05/14/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.6300	30.00	1.28	0.00	1.28	90.0	1.15
05/14/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00086578408	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @55.6300	100.00	4.25	0.00	4.25	90.0	3.83
05/14/2018	***-**-224I - WILSON, FRANCIS ACCOUNT #: 00085899638	FUNDAMENTAL INVESTORS-A BUY 7 Units @66.7100	442.60	16.60	0.00	16.60	90.0	14.94
05/18/2018	***-**-935I - LOWE, JAMES ACCOUNT #: 00083529463	FUNDAMENTAL INVESTORS-A BUY 30 Units @66.3800	2,000.00	75.00	0.00	75.00	90.0	67.50
05/22/2018	***-**-296O - KELLEY, BRENDA ACCOUNT #: LSR011834	FUNDAMENTAL INVESTORS-C SELL 47 Units @63.1600	2,999.97	0.00	18.00	-18.00	100.0	-18.00
05/14/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	THE GROWTH FUND OF AMERICA-A BUY 4 Units @54.7200	196.58	3.93	0.00	3.93	90.0	3.54
05/14/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.7200	50.00	1.00	0.00	1.00	90.0	0.90



# COMMISSION STATEMENT

## May 28, 2018

**RICHARD SOWERS**  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

**BROKER #: 71**  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
05/14/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 2 Units @55.2800						
05/14/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 2 Units @55.8600						
05/14/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @56.1600						
05/14/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @56.1600						
05/18/2018	***-**-351I - SOWERS, FBO	THE GROWTH FUND OF AMERICA-A	5,999.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSF000278	BUY 113 Units @53.1900						
05/18/2018	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	15,499.97	581.25	18.00	563.25	90.0	506.93
	ACCOUNT #: LSR008460	BUY 278 Units @55.7000						
05/18/2018	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	1,940.00	72.75	0.00	72.75	90.0	65.48
	ACCOUNT #: 00083529463	BUY 35 Units @55.7000						
05/22/2018	***-**-296O - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	2,999.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011834	SELL 61 Units @49.2900						
05/14/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 6 Units @23.9100						
05/14/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: 00084334446	BUY 4 Units @24.1600						
05/14/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @24.2800						
05/18/2018	***-**-935I - LOWE, JAMES	THE NEW ECONOMY FUND-A	2,000.00	75.00	0.00	75.00	90.0	67.50
	ACCOUNT #: 00083529463	BUY 39 Units @51.0400						
05/14/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @47.6100						
05/14/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @47.6100						
05/18/2018	***-**-935I - LOWE, JAMES	WASHINGTON MUTUAL INVESTORS FUND-A	2,000.00	75.00	0.00	75.00	90.0	67.50
	ACCOUNT #: 00083529463	BUY 41 Units @48.4800						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$38,125.36</b>	<b>\$958.70</b>	<b>\$72.00</b>	<b>\$886.70</b>		<b>\$792.67</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$38,125.36</b>	<b>\$958.70</b>	<b>\$72.00</b>	<b>\$886.70</b>		<b>\$792.67</b>



BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$792.67
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$792.67
						Year-to-date Earnings		\$23,045.32