

**COMMISSION STATEMENT****August 15, 2018**JOEL, W143 WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/13/2018	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.35	0.00	8.35	90.0	7.52
07/11/2018	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	7.43	0.00	7.43	90.0	6.69
07/11/2018	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	5.74	0.00	5.74	90.0	5.17
07/11/2018	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	11.88	0.00	11.88	90.0	10.69
07/11/2018	RICHTER, ROBERT	HARTFORD MIDCAP A	0.00	6.19	0.00	6.19	90.0	5.57
06/29/2018	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	3,000.00	0.00	0.00	0.00	90.0	0.00
07/11/2018	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	69.76	0.00	69.76	90.0	62.78
07/11/2018	CONDRAY, GADA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	36.07	0.00	36.07	90.0	32.46
07/11/2018	THREADGILL, MICHELLE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	226.82	0.00	226.82	90.0	204.14
07/11/2018	MELTON, PAMELA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	85.16	0.00	85.16	90.0	76.64
07/11/2018	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	3.61	0.00	3.61	90.0	3.25
07/11/2018	CONDRAY, GADA	PIMCO INCOME FUND C	0.00	19.63	0.00	19.63	90.0	17.67
07/11/2018	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.22	0.00	5.22	90.0	4.70
* MUTUAL FUNDS SUBTOTAL *			\$3,000.00	\$485.86	\$0.00	\$485.86		\$437.28
<u>PRODUCT CATEGORY: STOCKS</u>								
07/10/2018	LISENBEE, MICHAEL	UNITED DEVELOPMENT FUNDING IV	3,757.50	55.00	22.00	33.00	90.0	29.70
* STOCKS SUBTOTAL *			\$3,757.50	\$55.00	\$22.00	\$33.00		\$29.70
*** CLEARING TRANSACTIONS TOTAL ***			\$6,757.50	\$540.86	\$22.00	\$518.86		\$466.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/09/2018	SHERRIEB, HAROLD	PT HOTEL VALUE AND INCOME FUND II	100,000.00	6,000.00	0.00	6,000.00	92.0	5,520.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$100,000.00	\$6,000.00	\$0.00	\$6,000.00		\$5,520.00
<u>PRODUCT CATEGORY: REIT</u>								
07/13/2018	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.41	0.00	13.41	92.0	12.34
07/13/2018	PHIPPS, LESLIE	CARTER VALIDUS MC REIT II CLASS T	0.00	28.51	0.00	28.51	92.0	26.23
07/13/2018	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	62.88	0.00	62.88	92.0	57.85
07/13/2018	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.57	0.00	9.57	92.0	8.80
07/13/2018	HINCKLEY, CYNTHIA	CARTER VALIDUS MC REIT II CLASS T	0.00	2.50	0.00	2.50	92.0	2.30
07/13/2018	HINCKLEY, BRYAN	CARTER VALIDUS MC REIT II CLASS T	0.00	12.89	0.00	12.89	92.0	11.86
		* REIT SUBTOTAL *	\$0.00	\$129.76	\$0.00	\$129.76		\$119.38
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
07/13/2018	KLAHM, BEN	SIERRA INCOME CORPORATION A	0.00	10.59	0.00	10.59	92.0	9.74
		* NON-TRADED BDC SUBTOTAL *	\$0.00	\$10.59	\$0.00	\$10.59		\$9.74
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/16/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	300.00	3.00	0.00	3.00	90.0	2.70
07/30/2018	TURNER, CATALINA	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	50.00	0.00	50.00	90.0	45.00
08/02/2018	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
07/30/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
08/01/2018	ST JOHN, FINN	COLLEGE 2033 FUND-529A	100.00	3.50	0.00	3.50	90.0	3.15
08/01/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
/ /	AMOS, ALEXANDER	FA 529 COLLEGE PORT OLD CL A	0.00	16.65	0.00	16.65	90.0	14.99
/ /	AMOS, ALEXANDER	FA 529 COLLEGE PORT OLD CL A	0.00	17.85	0.00	17.85	90.0	16.07
		* 529 PLANS SUBTOTAL *	\$1,545.00	\$97.95	\$0.00	\$97.95		\$88.18
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/23/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	205.00	2.05	0.00	2.05	90.0	1.85
07/23/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
07/23/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/23/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/25/2018	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/27/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	205.00	2.05	0.00	2.05	90.0	1.85
07/27/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/27/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/27/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
08/02/2018	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	66.25	0.66	0.00	0.66	90.0	0.59
* MUTUAL FUNDS SUBTOTAL *			\$876.25	\$8.76	\$0.00	\$8.76		\$7.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	WESTMORELAND, SUSAN	FIDELITY ADV SHORT TERM BOND CL A	0.00	0.80	0.00	0.80	90.0	0.72
07/31/2018	GONZALEZ, JENNIFER	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	1.45	0.00	1.45	90.0	1.31
07/31/2018	GONZALEZ, JENNIFER	FRANKLIN MUTL SHRS 529 PORT CL A	0.00	1.54	0.00	1.54	90.0	1.39
07/31/2018	SHERRIEB, HAROLD	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	21.56	0.00	21.56	90.0	19.40
07/31/2018	SHAW, DEBRA	FT FOUNDING FUNDS ALLOC A	0.00	1.70	0.00	1.70	90.0	1.53
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.49	0.00	1.49	90.0	1.34
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.49	0.00	1.49	90.0	1.34
07/31/2018	SHERRIEB, HAROLD	TEMPLETON FOREIGN FUND A	0.00	32.03	0.00	32.03	90.0	28.83
07/31/2018	GONZALEZ, JENNIFER	TEMPLETON GROWTH 529 A	0.00	1.68	0.00	1.68	90.0	1.51
08/02/2018	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.76	0.00	4.76	90.0	4.28
08/02/2018	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	17.66	0.00	17.66	90.0	15.89
08/02/2018	PERRY CHILDRENS TR, RUDOLPH	TRANSAMERICA MLP & ENERGY INC - C	0.00	13.51	0.00	13.51	90.0	12.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$99.67	\$0.00	\$99.67		\$89.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	HENSLEE, CHARLES	JNL PERSPECTIVE	0.00	2.16	0.00	2.16	90.0	1.94
07/31/2018	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	0.00	43.53	0.00	43.53	90.0	39.18
07/31/2018	CADY, KENDA	JNL PERSPECTIVE L	0.00	34.20	0.00	34.20	90.0	30.78
07/31/2018	HEIL, ROBERT	JNL PERSPECTIVE L	0.00	68.32	0.00	68.32	90.0	61.49
07/31/2018	PARKIN, DAVID	JNL PERSPECTIVE L	0.00	68.69	0.00	68.69	90.0	61.82
07/31/2018	TOMLINSON, HAROLD	JNL PERSPECTIVE L	0.00	120.81	0.00	120.81	90.0	108.73
07/31/2018	MARKS, FRANCES	JNL PERSPECTIVE L	0.00	43.58	0.00	43.58	90.0	39.22
07/31/2018	MARKS, RICHARD	JNL PERSPECTIVE L	0.00	43.74	0.00	43.74	90.0	39.37
07/31/2018	SENESE, CATHERINE	JNL PERSPECTIVE L	0.00	6.90	0.00	6.90	90.0	6.21
07/31/2018	HARVEY, HELEN	JNL PERSPECTIVE L	0.00	78.89	0.00	78.89	90.0	71.00
07/31/2018	SENESE, CARMEN	JNL PERSPECTIVE L	0.00	22.54	0.00	22.54	90.0	20.29
07/31/2018	HARVEY, JESSE	JNL PERSPECTIVE L	0.00	98.10	0.00	98.10	90.0	88.29
07/31/2018	IRBY, MALCOLM DON & E	JNL PERSPECTIVE L	0.00	20.78	0.00	20.78	90.0	18.70

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	PERRY, JANINE	JNL PERSPECTIVE L	0.00	21.77	0.00	21.77	90.0	19.59
07/31/2018	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	0.00	57.78	0.00	57.78	90.0	52.00
07/31/2018	HYDE, KATHY	PRU AS APEX II	0.00	8.02	0.00	8.02	90.0	7.22
07/31/2018	HYDE, KATHY	PRU AS APEX II	0.00	2.01	0.00	2.01	90.0	1.81
07/31/2018	HYDE, KATHY	PRU AS APEX II	0.00	8.66	0.00	8.66	90.0	7.79
07/31/2018	HYDE, KATHY	PRU AS APEX II	0.00	34.65	0.00	34.65	90.0	31.19
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$785.13	\$0.00	\$785.13		\$706.62
*** DIRECT TRANSACTIONS TOTAL ***			\$102,421.25	\$7,131.86	\$0.00	\$7,131.86		\$6,541.52

VERRIDE TRANSACTIONS**BROKER: MARK-S141 REG SMITH #367**

07/03/2018	SMITH, ROGER	ALGER CAPITAL APPRECIATION A	0.00	4.27	0.00	4.27	48.0	1.79
07/30/2018	BONGIORNO, VALERIE	AM FUNDS AMCAP A	346.42	17.32	0.00	17.32	48.0	7.27
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	48.0	0.89
07/11/2018	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	4.25	0.00	4.25	48.0	1.79
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	48.0	0.89
07/11/2018	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
07/11/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.33	0.00	6.33	48.0	2.66
07/30/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	346.45	17.32	0.00	17.32	48.0	7.27
08/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.05
07/11/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.56	0.00	3.56	48.0	1.50
07/11/2018	WITKOWSKI, CHET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.55	0.00	0.55	48.0	0.23
07/30/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	346.42	17.32	0.00	17.32	48.0	7.27
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	48.0	0.89
07/11/2018	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.69	0.00	1.69	48.0	0.71
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	48.0	0.89
07/11/2018	WITKOWSKI, CHET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	48.0	0.24
07/30/2018	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	346.42	17.32	0.00	17.32	48.0	7.27
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	48.0	0.89
07/11/2018	WITKOWSKI, CHET	AM FUNDS ICA A	0.00	0.55	0.00	0.55	48.0	0.23
07/11/2018	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	4.21	0.00	4.21	48.0	1.77

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-S141 REG SMITH #367 </u>								
07/11/2018	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
07/11/2018	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.11	0.00	2.11	48.0	0.89
07/11/2018	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.43	0.00	3.43	48.0	1.44
07/11/2018	WITKOWSKI, CHET	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	48.0	0.22
07/11/2018	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
07/11/2018	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.09
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.09
07/31/2018	TMICO	FRANKLIN INCOME C	0.00	7.75	0.00	7.75	48.0	3.26
07/31/2018	ORR POWER OF ATTORNEY,	FRANKLIN INCOME C	0.00	0.16	0.00	0.16	48.0	0.07
07/31/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	0.00	0.11	0.00	0.11	48.0	0.05
07/31/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	0.00	0.06	0.00	0.06	48.0	0.03
07/31/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	0.00	0.06	0.00	0.06	48.0	0.03
07/31/2018	SPURGIN, LISBETH	FRANKLIN TOTAL RETURN FUNDS -CL C	0.00	5.27	0.00	5.27	48.0	2.21
07/31/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	0.00	0.04	0.00	0.04	48.0	0.02
07/31/2018	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	28.21	0.00	28.21	48.0	11.85
07/11/2018	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	8.04	0.00	8.04	48.0	3.38
07/31/2018	BRIDGES, JANIS	JNL ELITE ACCESS	0.00	92.26	0.00	92.26	48.0	38.75
07/31/2018	RHODES, VIRGINIA	JNL ELITE ACCESS	0.00	64.96	0.00	64.96	48.0	27.28
07/31/2018	CROWTHER, JOHN	JNL ELITE ACCESS	0.00	12.55	0.00	12.55	48.0	5.27
07/31/2018	NOBLES, VERLIE	JNL PERSPECTIVE L	0.00	34.59	0.00	34.59	48.0	14.53
07/31/2018	REEDER, WESLEY	JNL PERSPECTIVE L	0.00	20.20	0.00	20.20	48.0	8.48
07/31/2018	SPURGIN, BRENT	JNL PERSPECTIVE L	0.00	39.48	0.00	39.48	48.0	16.58
07/31/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	0.00	40.98	0.00	40.98	48.0	17.21
07/31/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	0.00	45.94	0.00	45.94	48.0	19.29
07/31/2018	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	0.00	25.97	0.00	25.97	48.0	10.91
07/11/2018	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.44	0.00	0.44	48.0	0.18
07/31/2018	JEFFERS, LARRY	PRU AS APEX II	0.00	24.84	0.00	24.84	48.0	10.43
07/31/2018	JEFFERS, LARRY	PRU AS APEX II	0.00	99.35	0.00	99.35	48.0	41.73
07/11/2018	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.67	0.00	0.67	48.0	0.28
07/11/2018	NICODIN, EARL	PUTNAM MULTI-CAP CORE FUND A	0.00	0.28	0.00	0.28	48.0	0.12
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.05	0.00	0.05	48.0	0.02

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.10	0.00	0.10	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.08	0.00	0.08	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
07/30/2018	BURNS, ANNE	SEC BEN 814121158	0.00	28.46	0.00	28.46	48.0	11.95
08/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.65	0.00	10.65	48.0	4.47
08/02/2018	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.34	0.00	4.34	48.0	1.82
* MARK-S141 REG SMITH SUBTOTAL *			\$1,685.71	\$711.45	\$0.00	\$711.45		\$298.76
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,685.71	\$711.45	\$0.00	\$711.45		\$298.76

ADJUSTMENTS

08/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
08/15/2018	*EO INS: CURRENT MONTH INS	0.00						-300.00
08/15/2018	*LASER APP: CURRENT MONTH	0.00						-18.00
08/15/2018	*RBC: W/OFF DB 7A503099	0.00						-5.00
08/15/2018	*RBC: WEALTH STATION	0.00						-25.00
08/15/2018	*RBC: WEALTHSTATION - C POOLE	0.00						-25.00
08/15/2018	*RBC: WEALTHSTATION - J CONDRAY	0.00						-25.00
08/15/2018	*TECH: CURRENT MONTH BASIC	0.00						-180.00
08/15/2018	*TECHNOLOGY: ADMIN - CHRISTINA	0.00						-130.00
	POOLE							
08/15/2018	*TECHNOLOGY: ADMIN - JAMIE CONDRAY	0.00						-130.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-845.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$7,008.50
Split Transactions	\$0.00
Override Transactions	\$298.76
Adjustments	\$-845.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,462.26
Year-to-date Gross	\$153,763.99
Year-to-date Earnings	\$181,158.20