



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
Page No. 1

BARRY, L122 LE MAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
07/31/2018	FELDSTEIN, GARY	HPI REAL ESTATE FUND VI LLC	102,040.82	4,081.63	0.00	4,081.63	90.0	3,673.47
		* PRIVATE PLACEMENT SUBTOTAL *	\$102,040.82	\$4,081.63	\$0.00	\$4,081.63		\$3,673.47
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/24/2018	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP A	9,812.86	16.38	0.00	16.38	90.0	14.74
07/24/2018	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP A	49,064.17	81.91	0.00	81.91	90.0	73.72
07/24/2018	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP A	12,005.30	20.04	0.00	20.04	90.0	18.04
07/02/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.13	0.00	11.13	90.0	10.02
07/02/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.13	0.00	1.13	90.0	1.02
07/16/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.85	0.00	10.85	90.0	9.77
07/16/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.10	0.00	1.10	90.0	0.99
07/16/2018	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.00	0.00	1.00	90.0	0.90
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$70,882.33	\$143.54	\$0.00	\$143.54		\$129.20
		*** DIRECT TRANSACTIONS TOTAL ***	\$172,923.15	\$4,225.17	\$0.00	\$4,225.17		\$3,802.67

ADJUSTMENTS

08/15/2018	*: TECHNOLOGY AI INSIGHT	0.00	-7.00
08/15/2018	*: TECHNOLOGY DOCUSIGN	0.00	-18.00
08/15/2018	*: TECHNOLOGY GLOBAL RELAY - WEBSITE	0.00	-15.00
08/15/2018	*: TECHNOLOGY LASER APP	0.00	-18.00
08/15/2018	*: TECHNOLOGYGLOBAL RELAY - FACEBOOK	0.00	-5.00
08/15/2018	*CONCIERGE SVC: 221215 FELDSTEIN	0.00	-50.00
08/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00	-300.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-4.02
08/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
08/15/2018		*TECHNOLOGY: LIGHT USER	0.00					-76.00
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-673.02</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,802.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-673.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,129.65
Year-to-date Gross	\$243,730.17
Year-to-date Earnings	\$219,175.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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