



COMMISSION STATEMENT

March 12, 2018

NANCY CIESLA
11249 Little Rd
New Port Richey, FL 34654

BROKER #: 701 Terminated 10/21/2008
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/07/2018		PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
<u>BROKER COMMISSION TOTALS</u>								
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COMMISSION STATEMENT

March 12, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/27/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	1,000.00	10.00	0.00	10.00	75.0	7.50
	ACCOUNT #: 07122877590	BUY 114 Units @8.7500						
02/28/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	121.63	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: 07123000494	BUY 10 Units @12.7500						
02/27/2018	***-**-67CL - MILGROOM,	MFS NEW DISCOVERY VALUE FUND CL C	1,000.00	10.00	0.00	10.00	75.0	7.50
	ACCOUNT #: 00090000070	BUY 69 Units @14.5400						
* MUTUAL FUNDS SUBTOTAL *			\$2,121.63	\$20.91	\$0.00	\$20.91		\$15.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/09/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$7.50	\$0.00	\$7.50		\$5.63
<u>PRODUCT CATEGORY: 529 PLAN</u>								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085796907	BUY 2 Units @53.3800						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085796907	BUY 2 Units @58.0500						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085796907	BUY 2 Units @64.6800						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	75.0	2.06
	ACCOUNT #: 00085796907	BUY 2 Units @52.8500						
* 529 PLAN SUBTOTAL *			\$400.00	\$11.00	\$0.00	\$11.00		\$8.24
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	***-**-775P - KING, GREGORY	AMCAP FUND-A	0.00	18.02	0.00	18.02	75.0	13.52
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	AMERICAN BALANCED FUND-A	0.00	4.26	0.00	4.26	75.0	3.20
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						



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2451 McMullen Booth Road
Suite 263
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BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN BALANCED FUND-A	0.00	16.42	0.00	16.42	75.0	12.32
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	7.36	0.00	7.36	75.0	5.52
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	7.55	0.00	7.55	75.0	5.66
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	AMERICAN FUNDS U.S. GOV MONEY MRKT	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.25	0.00	7.25	75.0	5.44
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.25	0.00	7.25	75.0	5.44
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	6.92	0.00	6.92	75.0	5.19
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	AMERICAN MUTUAL FUND-A	0.00	25.75	0.00	25.75	75.0	19.31
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	AMERICAN MUTUAL FUND-A	0.00	25.88	0.00	25.88	75.0	19.41
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN MUTUAL FUND-A	0.00	3.05	0.00	3.05	75.0	2.29
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/09/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.16	0.00	0.16	75.0	0.12
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL INCOME BUILDER-A	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL INCOME BUILDER-A	0.00	2.85	0.00	2.85	75.0	2.14
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL INCOME BUILDER-A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-775P - KING, GREGORY	CAPITAL INCOME BUILDER-A	0.00	15.52	0.00	15.52	75.0	11.64
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD BOND FUND-A	0.00	2.91	0.00	2.91	75.0	2.18
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	0.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	61.04	0.00	61.04	75.0	45.78
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.20	0.00	5.20	75.0	3.90
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	23.03	0.00	23.03	75.0	17.27
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	22.70	0.00	22.70	75.0	17.03
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.96	0.00	1.96	75.0	1.47
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.28	0.00	3.28	75.0	2.46
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.86	0.00	3.86	75.0	2.90
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.48	0.00	0.48	75.0	0.36
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.03	0.00	1.03	75.0	0.77
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-045P - DEANE, ZAIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	28.20	0.00	28.20	75.0	21.15
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	75.0	0.96
03/01/2018	***-**-635I - CAMPBELL, ACCOUNT #: 00084984847	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.37	0.00	0.37	75.0	0.28
03/01/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	8.71	0.00	8.71	75.0	6.53
03/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	13.87	0.00	13.87	75.0	10.40
03/01/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.36	0.00	1.36	75.0	1.02
03/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895798	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.03	0.00	7.03	75.0	5.27
03/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895839	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.03	0.00	7.03	75.0	5.27
03/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00085243860	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	6.59	0.00	6.59	75.0	4.94
03/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	5.48	0.00	5.48	75.0	4.11
03/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	6.09	0.00	6.09	75.0	4.57
03/01/2018	***-**-275P - BUBLA, MADISON ACCOUNT #: 00085932755	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	75.0	0.32
03/01/2018	***-**-6927 - DEMAREST, DAVID ACCOUNT #: 00085340702	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	5.23	0.00	5.23	75.0	3.92
03/01/2018	***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975	CAPITAL WORLD GROWTH AND INCOME-F1 BUY 0 Units @0.0000	0.00	1.17	0.00	1.17	75.0	0.88
03/01/2018	***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975	EUROPACIFIC GROWTH FUND CLASS C BUY 0 Units @0.0000	0.00	11.29	0.00	11.29	75.0	8.47
03/01/2018	***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975	EUROPACIFIC GROWTH FUND CLASS F BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	75.0	0.81
03/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	EUROPACIFIC GROWTH FUND-529A BUY 0 Units @0.0000	0.00	5.16	0.00	5.16	75.0	3.87



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.75	0.00	5.75	75.0	4.31
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-6927 - DEMAREST, DAVID	EUROPACIFIC GROWTH FUND-529E	0.00	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	EUROPACIFIC GROWTH FUND-A	0.00	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	EUROPACIFIC GROWTH FUND-A	0.00	0.93	0.00	0.93	75.0	0.70
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-635I - CAMPBELL,	EUROPACIFIC GROWTH FUND-A	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
03/01/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	0.00	8.42	0.00	8.42	75.0	6.32
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	6.33	0.00	6.33	75.0	4.75
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	7.00	0.00	7.00	75.0	5.25
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	7.81	0.00	7.81	75.0	5.86
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.01	0.00	8.01	75.0	6.01
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	84.54	0.00	84.54	75.0	63.41
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	40.64	0.00	40.64	75.0	30.48
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	FUNDAMENTAL INVESTORS-C	0.00	36.30	0.00	36.30	75.0	27.23
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	GROWTH FUND OF AMERICA CLASS F	0.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.79	0.00	6.79	75.0	5.09
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.79	0.00	6.79	75.0	5.09
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.38	0.00	6.38	75.0	4.79
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	7.36	0.00	7.36	75.0	5.52
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	7.54	0.00	7.54	75.0	5.66
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	79.50	0.00	79.50	75.0	59.63
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	39.18	0.00	39.18	75.0	29.39
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	NEW PERSPECTIVE FUND-A	0.00	21.99	0.00	21.99	75.0	16.49
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	NEW PERSPECTIVE FUND-A	0.00	21.99	0.00	21.99	75.0	16.49
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/12/2018	***-**-0720 - COCKTAILS DREAMS	QUALIFIED PLAN SUMMARY	0.00	76.17	0.00	76.17	75.0	57.14
	ACCOUNT #: 01230591027	BUY 0 Units @0.0000						
03/12/2018	***-**-9109 - PASCH, ROBERT	QUALIFIED PLAN SUMMARY	0.00	6.02	0.00	6.02	75.0	4.52
	ACCOUNT #: 01241470723	BUY 0 Units @0.0000						
03/12/2018	***-**-8780 - PALM BEACH	QUALIFIED PLAN SUMMARY	0.00	17.58	0.00	17.58	75.0	13.19
	ACCOUNT #: 01241471887	BUY 0 Units @0.0000						
03/12/2018	***-**-2111 - SPECTRUM TOURS	QUALIFIED PLAN SUMMARY	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: 01241474946	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	THE BOND FUND OF AMERICA-A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	THE BOND FUND OF AMERICA-A	0.00	3.70	0.00	3.70	75.0	2.78
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529A	0.00	6.11	0.00	6.11	75.0	4.58
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	6.48	0.00	6.48	75.0	4.86
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.15	0.00	7.15	75.0	5.36
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
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BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529C	0.00	8.12	0.00	8.12	75.0	6.09
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	7.85	0.00	7.85	75.0	5.89
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	8.04	0.00	8.04	75.0	6.03
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	92.07	0.00	92.07	75.0	69.05
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	41.87	0.00	41.87	75.0	31.40
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-745P - BETTS, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: 00066945923	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	17.96	0.00	17.96	75.0	13.47
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-A	0.00	107.72	0.00	107.72	75.0	80.79
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	0.45	0.00	0.45	75.0	0.34
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	6.03	0.00	6.03	75.0	4.52
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	THE GROWTH FUND OF AMERICA-A	0.00	2.37	0.00	2.37	75.0	1.78
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	THE GROWTH FUND OF AMERICA-A	0.00	4.04	0.00	4.04	75.0	3.03
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	THE GROWTH FUND OF AMERICA-A	0.00	4.77	0.00	4.77	75.0	3.58
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	THE GROWTH FUND OF AMERICA-A	0.00	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	THE GROWTH FUND OF AMERICA-A	0.00	1.31	0.00	1.31	75.0	0.98
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-045P - DEANE, ZAIN	THE GROWTH FUND OF AMERICA-A	0.00	36.40	0.00	36.40	75.0	27.30
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-675P - MONTEFUSCO, ACCOUNT #: 00081937980	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	75.0	0.17
03/01/2018	***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.14	0.00	1.14	75.0	0.86
03/01/2018	***-**-775P - KING, GREGORY ACCOUNT #: 00082438825	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	22.08	0.00	22.08	75.0	16.56
03/01/2018	***-**-635I - CAMPBELL, ACCOUNT #: 00084984847	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	75.0	0.29
03/01/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	9.71	0.00	9.71	75.0	7.28
03/01/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	75.0	1.14
03/01/2018	***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	75.0	6.39
03/01/2018	***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.80	0.00	3.80	75.0	2.85
03/01/2018	***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	27.39	0.00	27.39	75.0	20.54
03/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.04	0.00	3.04	75.0	2.28
03/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008823	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	13.10	0.00	13.10	75.0	9.83
03/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008142	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	16.34	0.00	16.34	75.0	12.26
03/01/2018	***-**-815P - BETTS, ALICIA ACCOUNT #: 00066945925	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	75.0	0.18
03/01/2018	***-**-54IN - CECIARELLI, ACCOUNT #: 00067091901	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	75.0	0.41
03/01/2018	***-**-40IN - GEORGE, DORIS ACCOUNT #: 00069103756	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	75.0	0.31
03/01/2018	***-**-985P - KEATING, EILEEN ACCOUNT #: 00069168694	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13



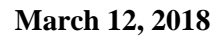
COMMISSION STATEMENT

March 12, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-8357 - KEATING, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.63	0.00	3.63	75.0	2.72
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-075P - HUTCHINSON,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,385.54	\$0.00	\$1,385.54		\$1,039.28
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.30	0.00	4.30	75.0	3.23
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
03/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	101.99	0.00	101.99	75.0	76.49
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$106.29	\$0.00	\$106.29		\$79.72
	*** BROKER TRANSACTIONS TOTAL ***		\$2,621.63	\$1,531.24	\$0.00	\$1,531.24		\$1,148.55



BROKER #: 542
BRANCH #01002 - 02 - SOWERS

					BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	NET BROKER COMMISSION
BROKER COMMISSION TOTALS					
				Broker Transactions	\$1,126.03
				Split Transactions	\$0.00
				Override Transactions	\$0.00
				Adjustments	\$0.00
				Payroll Draw	\$0.00
				Base Salary	\$0.00
				Prior Period Balance	\$0.00
				FINRA Assessment	\$0.00
				SIPC Assessment	\$0.00
				<hr/>	
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD				Balance Forward	\$0.00
				Year-to-date Earnings	\$0.00



COMMISSION STATEMENT

March 12, 2018

ROBERT RUTH
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 725 Terminated 12/31/2012
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
03/07/2018		PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00		0.00

*** ADJUSTMENTS TOTAL ***

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00



COMMISSION STATEMENT

March 12, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/26/2018	***-**-87IN - HALL, J	AMCAP FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 3 Units @34.9900						
02/26/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @55.5100						
02/26/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @67.3300						
02/26/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00075150658	BUY 4 Units @56.5200						
02/26/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @48.8900						
* MUTUAL FUNDS SUBTOTAL *			\$676.00	\$23.08	\$0.00	\$23.08		\$20.76
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
02/23/2018	***-**-559I - HUBBARD, JAMES	LEGEND III	50,000.00	2,375.00	0.00	2,375.00	100.0	2,375.00
		BUY 1 Units @50000.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$50,000.00	\$2,375.00	\$0.00	\$2,375.00		\$2,375.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	***-**-255P - WILSON, HELEN	AMCAP FUND-A	0.00	2.36	0.00	2.36	90.0	2.12
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
03/01/2018	***-**-593I - SOMERS,	AMCAP FUND-A	0.00	5.11	0.00	5.11	90.0	4.60
	ACCOUNT #: 00084632422	BUY 0 Units @0.0000						
03/01/2018	***-**-87IN - HALL, J	AMCAP FUND-A	0.00	32.47	0.00	32.47	90.0	29.22
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
03/01/2018	***-**-36RI - TICHENOR, TYLER	AMCAP FUND-C	0.00	13.54	0.00	13.54	90.0	12.19
	ACCOUNT #: 00088002857	BUY 0 Units @0.0000						
03/01/2018	***-**-0658 - MCKILLOP, NORMA	AMCAP FUND-C	0.00	2.25	0.00	2.25	90.0	2.03
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
03/01/2018	***-**-5555 - FORTNER, DAVID	AMERICAN BALANCED FUND-A	0.00	15.65	0.00	15.65	90.0	14.09
	ACCOUNT #: 00074908206	BUY 0 Units @0.0000						
03/01/2018	***-**-005P - IAEL, PAULETTE	AMERICAN BALANCED FUND-A	0.00	3.29	0.00	3.29	90.0	2.96
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

RICHARD SOWERS
2451 McMullen Booth Road
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Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-593P - HUMISTON, PAMELA	AMERICAN FUNDS U.S. GOV MONEY MRKT	0.00	2.24	0.00	2.24	90.0	2.02
	ACCOUNT #: 00073779600	BUY 0 Units @0.0000						
03/09/2018	***-**-254J - OTHGUY, JUAN &	CALVERT ULTRA SHORT INCOME - A	0.00	2.72	0.00	2.72	90.0	2.45
	ACCOUNT #: 00004910394	BUY 0 Units @0.0000						
03/01/2018	***-**-64IN - TURNER, VERA	CAPITAL INCOME BUILDER-A	0.00	5.75	0.00	5.75	90.0	5.18
	ACCOUNT #: 00067836179	BUY 0 Units @0.0000						
03/01/2018	***-**-005P - IAEL, PAULETTE	CAPITAL INCOME BUILDER-A	0.00	82.39	0.00	82.39	90.0	74.15
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
03/01/2018	***-**-426P - PHILBRICK,	CAPITAL INCOME BUILDER-A	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 00082084305	BUY 0 Units @0.0000						
03/01/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	0.00	22.97	0.00	22.97	90.0	20.67
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
03/01/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	0.00	6.06	0.00	6.06	90.0	5.45
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
03/01/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	0.00	6.90	0.00	6.90	90.0	6.21
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
03/01/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	0.00	3.45	0.00	3.45	90.0	3.11
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
03/01/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	0.00	16.57	0.00	16.57	90.0	14.91
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL INCOME BUILDER-A	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
03/01/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	26.42	0.00	26.42	90.0	23.78
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
03/01/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	6.95	0.00	6.95	90.0	6.26
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
03/01/2018	***-**-273I - JACKSON, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.71	0.00	3.71	90.0	3.34
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
03/01/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	7.93	0.00	7.93	90.0	7.14
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
03/01/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.98	0.00	3.98	90.0	3.58
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

RICHARD SOWERS
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BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
03/01/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	19.05	0.00	19.05	90.0	17.15
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
03/01/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	34.49	0.00	34.49	90.0	31.04
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.46	0.00	1.46	90.0	1.31
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.44	0.00	2.44	90.0	2.20
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
03/01/2018	***-**-351C - SOWERS, CLIENTS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	6.88	0.00	6.88	90.0	6.19
	ACCOUNT #: 00087567436	BUY 0 Units @0.0000						
03/01/2018	***-**-48IN - BEST, JOHN &	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	22.55	0.00	22.55	90.0	20.30
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.39	0.00	1.39	90.0	1.25
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
03/01/2018	***-**-273I - JACKSON, JOHN	EUROPACIFIC GROWTH FUND-A	0.00	3.30	0.00	3.30	90.0	2.97
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
03/07/2018	***-**-6114 - HARBIN GRE	FIDELITY ADV EQUITY GROWTH CL A	0.00	3.87	0.00	3.87	90.0	3.48
	ACCOUNT #: 00655706638	BUY 0 Units @0.0000						
03/07/2018	***-**-114I - HARBIN, GREGORY	FIDELITY ADV EQUITY GROWTH CL A	0.00	2.04	0.00	2.04	90.0	1.84
	ACCOUNT #: 00704750850	BUY 0 Units @0.0000						
03/07/2018	***-**-9283 - HARBIN, JOANNA	FIDELITY ADVISOR GROWTH AND INCOME	0.00	5.85	0.00	5.85	90.0	5.27
	ACCOUNT #: 00504394842	BUY 0 Units @0.0000						
03/01/2018	***-**-005P - IAEL, PAULETTE	FUNDAMENTAL INVESTORS-A	0.00	15.57	0.00	15.57	90.0	14.01
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
03/01/2018	***-**-525P - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	0.00	21.24	0.00	21.24	90.0	19.12
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
03/01/2018	***-**-255P - WILSON, HELEN	FUNDAMENTAL INVESTORS-A	0.00	5.56	0.00	5.56	90.0	5.00
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
03/01/2018	***-**-935I - LOWE, JAMES	FUNDAMENTAL INVESTORS-A	0.00	1.58	0.00	1.58	90.0	1.42
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						
03/01/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	0.00	37.67	0.00	37.67	90.0	33.90
	ACCOUNT #: 00084955124	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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BROKER #: 71
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	0.00	36.77	0.00	36.77	90.0	33.09
	ACCOUNT #: 00085899638	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	FUNDAMENTAL INVESTORS-A	0.00	1.71	0.00	1.71	90.0	1.54
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
03/01/2018	***-**-0658 - MCKILLOP, NORMA	FUNDAMENTAL INVESTORS-C	0.00	2.23	0.00	2.23	90.0	2.01
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
03/01/2018	***-**-48IN - BEST, JOHN &	NEW PERSPECTIVE FUND-A	0.00	11.47	0.00	11.47	90.0	10.32
	ACCOUNT #: 00088516135	BUY 0 Units @0.0000						
03/01/2018	***-**-273I - JACKSON, JOHN	NEW WORLD FUND-A	0.00	3.38	0.00	3.38	90.0	3.04
	ACCOUNT #: 00082498498	BUY 0 Units @0.0000						
03/12/2018	***-**-93IN - WARD, WILLIAM	SAMMONS MF TRAILS	0.00	21.30	0.00	21.30	90.0	19.17
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
03/12/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.28	0.00	7.28	90.0	6.55
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
03/12/2018	***-**-36IN - CLAWSON,	SAMMONS MF TRAILS	0.00	5.51	0.00	5.51	90.0	4.96
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
03/12/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	16.74	0.00	16.74	90.0	15.07
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
03/01/2018	***-**-055P - HENRY, CELIA	THE GROWTH FUND OF AMERICA-A	0.00	8.66	0.00	8.66	90.0	7.79
	ACCOUNT #: 00064286092	BUY 0 Units @0.0000						
03/01/2018	***-**-025P - VADNOS, JOAN	THE GROWTH FUND OF AMERICA-A	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 00064876819	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - CUSTY, JOSEPH	THE GROWTH FUND OF AMERICA-A	0.00	8.99	0.00	8.99	90.0	8.09
	ACCOUNT #: 00065159881	BUY 0 Units @0.0000						
03/01/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	0.00	3.48	0.00	3.48	90.0	3.13
	ACCOUNT #: 00075150658	BUY 0 Units @0.0000						
03/01/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	0.00	32.40	0.00	32.40	90.0	29.16
	ACCOUNT #: 00082184129	BUY 0 Units @0.0000						
03/01/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	0.00	8.40	0.00	8.40	90.0	7.56
	ACCOUNT #: 00082184171	BUY 0 Units @0.0000						
03/01/2018	***-**-935I - LOWE, JAMES	THE GROWTH FUND OF AMERICA-A	0.00	6.41	0.00	6.41	90.0	5.77
	ACCOUNT #: 00083529463	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	0.00	9.60	0.00	9.60	90.0	8.64
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
03/01/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	0.00	4.84	0.00	4.84	90.0	4.36
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
03/01/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	22.45	0.00	22.45	90.0	20.21
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	1.92	0.00	1.92	90.0	1.73
	ACCOUNT #: 00085023181	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	3.52	0.00	3.52	90.0	3.17
	ACCOUNT #: 00086578408	BUY 0 Units @0.0000						
03/01/2018	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	0.00	7.56	0.00	7.56	90.0	6.80
	ACCOUNT #: 00087567436	BUY 0 Units @0.0000						
03/01/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	0.00	1.46	0.00	1.46	90.0	1.31
	ACCOUNT #: 00089657706	BUY 0 Units @0.0000						
03/01/2018	***-**-0658 - MCKILLOP, NORMA	THE GROWTH FUND OF AMERICA-C	0.00	2.26	0.00	2.26	90.0	2.03
	ACCOUNT #: 04000640538	BUY 0 Units @0.0000						
03/01/2018	***-**-005P - IAEL, PAULETTE	THE INCOME FUND OF AMERICA-A	0.00	56.21	0.00	56.21	90.0	50.59
	ACCOUNT #: 00075989081	BUY 0 Units @0.0000						
03/01/2018	***-**-426P - PHILBRICK,	THE INCOME FUND OF AMERICA-A	0.00	0.35	0.00	0.35	90.0	0.32
	ACCOUNT #: 00082084305	BUY 0 Units @0.0000						
03/01/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	0.00	7.54	0.00	7.54	90.0	6.79
	ACCOUNT #: 00084334446	BUY 0 Units @0.0000						
03/01/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	0.00	3.80	0.00	3.80	90.0	3.42
	ACCOUNT #: 00084334451	BUY 0 Units @0.0000						
03/01/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	0.00	17.87	0.00	17.87	90.0	16.08
	ACCOUNT #: 00084334457	BUY 0 Units @0.0000						
03/01/2018	***-**-215P - WILLIAMS, DOYLE	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.74	0.00	0.74	90.0	0.67
	ACCOUNT #: 00088493817	BUY 0 Units @0.0000						
03/01/2018	***-**-585P - CELIN, MARTHA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.95	0.00	1.95	90.0	1.76
	ACCOUNT #: 00063955620	BUY 0 Units @0.0000						
03/01/2018	***-**-254I - PEKAREK, KARL	THE INVESTMENT COMPANY OF AMERICA-A	0.00	11.81	0.00	11.81	90.0	10.63
	ACCOUNT #: 00067426527	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-48IN - BEST, JOHN & ACCOUNT #: 00088516135	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	118.57	0.00	118.57	90.0	106.71
03/01/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00089657706	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	90.0	1.17
03/01/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	8.66	0.00	8.66	90.0	7.79
03/01/2018	***-**-935I - LOWE, JAMES ACCOUNT #: 00083529463	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	5.15	0.00	5.15	90.0	4.64
03/01/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00086578408	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	0.00	2.85	0.00	2.85	90.0	2.57
03/01/2018	***-**-36RI - TICHENOR, TYLER ACCOUNT #: 00088002857	THE NEW ECONOMY FUND-C BUY 0 Units @0.0000	0.00	14.04	0.00	14.04	90.0	12.64
03/01/2018	***-**-005P - IAEL, PAULETTE ACCOUNT #: 00075989081	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	71.83	0.00	71.83	90.0	64.65
03/01/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	30.24	0.00	30.24	90.0	27.22
03/01/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	7.84	0.00	7.84	90.0	7.06
03/01/2018	***-**-273I - JACKSON, JOHN ACCOUNT #: 00082498498	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	4.27	0.00	4.27	90.0	3.84
03/01/2018	***-**-935I - LOWE, JAMES ACCOUNT #: 00083529463	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	3.85	0.00	3.85	90.0	3.47
03/01/2018	***-**-87IN - HALL, J ACCOUNT #: 00084955124	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	40.36	0.00	40.36	90.0	36.32
03/01/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00085023181	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	90.0	1.23
03/01/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00089657706	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	1.37	0.00	1.37	90.0	1.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,098.62	\$0.00	\$1,098.62		\$988.80



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/01/2018	***-**-4155 - OUELLETTE, JOYCE GENWORTH 37250G277		0.00	40.37	0.00	40.37	90.0	36.33
	ACCOUNT #: T06422061	BUY 0 Units @0.0000						
03/03/2018	***-**-454I - STRONACH,	ING ADVANTAGE SELECT	0.00	9.38	0.00	9.38	90.0	8.44
	ACCOUNT #: R078802-ZS	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$49.75	\$0.00	\$49.75		\$44.77
*** BROKER TRANSACTIONS TOTAL ***			\$50,676.00	\$3,546.45	\$0.00	\$3,546.45		\$3,429.33

BROKER COMMISSION TOTALS

Broker Transactions	\$3,429.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
02/27/2018	***-**-6647 - CABLE, ROBERT	AIM SELECT REAL ESTATE INCOME FD-CL	1,000.00	10.00	0.00	10.00	15.0	1.50
	ACCOUNT #: 07122877590	BUY 114 Units @8.7500						
03/01/2018	***-**-775P - KING, GREGORY	AMCAP FUND-A	0.00	18.02	0.00	18.02	15.0	2.70
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	15.0	0.05
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	15.0	0.05
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	AMERICAN BALANCED FUND-A	0.00	4.26	0.00	4.26	15.0	0.64
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN BALANCED FUND-A	0.00	16.42	0.00	16.42	15.0	2.46
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	7.36	0.00	7.36	15.0	1.10
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	AMERICAN FUNDS 023375702	0.00	7.55	0.00	7.55	15.0	1.13
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	AMERICAN FUNDS MORTGAGE FUND-R3	0.00	6.98	0.00	6.98	15.0	1.05
	ACCOUNT #: 01847001648	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	AMERICAN FUNDS MORTGAGE FUND-R3	0.00	16.98	0.00	16.98	15.0	2.55
	ACCOUNT #: 01847001667	BUY 0 Units @0.0000						
03/01/2018	***-**-2111 - SPECTRUM TOURS	AMERICAN FUNDS MORTGAGE FUND-R3	0.00	0.46	0.00	0.46	15.0	0.07
	ACCOUNT #: 01847002404	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.25	0.00	7.25	15.0	1.09
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.25	0.00	7.25	15.0	1.09
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	6.92	0.00	6.92	15.0	1.04
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	AMERICAN MUTUAL FUND-A	0.00	25.75	0.00	25.75	15.0	3.86
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	AMERICAN MUTUAL FUND-A	0.00	25.88	0.00	25.88	15.0	3.88
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
03/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN MUTUAL FUND-A	0.00	3.05	0.00	3.05	15.0	0.46
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	0.00	1.39	0.00	1.39	15.0	0.21
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/09/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.16	0.00	0.16	15.0	0.02
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL INCOME BUILDER-A	0.00	1.72	0.00	1.72	15.0	0.26
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL INCOME BUILDER-A	0.00	2.85	0.00	2.85	15.0	0.43
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL INCOME BUILDER-A	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-775P - KING, GREGORY	CAPITAL INCOME BUILDER-A	0.00	15.52	0.00	15.52	15.0	2.33
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD BOND FUND-A	0.00	2.91	0.00	2.91	15.0	0.44
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	0.00	1.25	0.00	1.25	15.0	0.19
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	61.04	0.00	61.04	15.0	9.16
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.20	0.00	5.20	15.0	0.78
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	23.03	0.00	23.03	15.0	3.45
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
03/01/2018	***-**-264I - HOLLANDER, TED	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	22.70	0.00	22.70	15.0	3.41
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.96	0.00	1.96	15.0	0.29
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.28	0.00	3.28	15.0	0.49
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.86	0.00	3.86	15.0	0.58
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.48	0.00	0.48	15.0	0.07
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.03	0.00	1.03	15.0	0.15
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-045P - DEANE, ZAIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	28.20	0.00	28.20	15.0	4.23
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
03/01/2018	***-**-075P - HUTCHINSON,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.28	0.00	1.28	15.0	0.19
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
03/01/2018	***-**-635I - CAMPBELL,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.37	0.00	0.37	15.0	0.06
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
03/01/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.71	0.00	8.71	15.0	1.31
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	13.87	0.00	13.87	15.0	2.08
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.36	0.00	1.36	15.0	0.20
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
03/01/2018	***-**-246I - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @53.3800						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.03	0.00	7.03	15.0	1.05
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.03	0.00	7.03	15.0	1.05
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.59	0.00	6.59	15.0	0.99
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.48	0.00	5.48	15.0	0.82
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.09	0.00	6.09	15.0	0.91
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-275P - BUBLA, MADISON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 00085932755	BUY 0 Units @0.0000						
03/01/2018	***-**-6927 - DEMAREST, DAVID	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.23	0.00	5.23	15.0	0.78
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	1.17	0.00	1.17	15.0	0.18
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	0.00	11.29	0.00	11.29	15.0	1.69
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS F	0.00	1.08	0.00	1.08	15.0	0.16
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @58.0500						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.16	0.00	5.16	15.0	0.77
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.75	0.00	5.75	15.0	0.86
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-6927 - DEMAREST, DAVID	EUROPACIFIC GROWTH FUND-529E	0.00	4.69	0.00	4.69	15.0	0.70
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	EUROPACIFIC GROWTH FUND-A	0.00	4.54	0.00	4.54	15.0	0.68
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	EUROPACIFIC GROWTH FUND-A	0.00	0.93	0.00	0.93	15.0	0.14
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
03/01/2018	***-**-635I - CAMPBELL,	EUROPACIFIC GROWTH FUND-A	0.00	0.35	0.00	0.35	15.0	0.05
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
03/01/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	0.00	8.42	0.00	8.42	15.0	1.26
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @64.6800						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	6.33	0.00	6.33	15.0	0.95
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	7.00	0.00	7.00	15.0	1.05
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	7.81	0.00	7.81	15.0	1.17
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.01	0.00	8.01	15.0	1.20
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	84.54	0.00	84.54	15.0	12.68
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	40.64	0.00	40.64	15.0	6.10
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	FUNDAMENTAL INVESTORS-C	0.00	36.30	0.00	36.30	15.0	5.45
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	GROWTH FUND OF AMERICA CLASS F	0.00	1.90	0.00	1.90	15.0	0.29
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/04/2018	***-**-1022 - ZYLKA, VERONICA	ING ADVANTAGE SELECT	0.00	4.30	0.00	4.30	15.0	0.65
	ACCOUNT #: R078851-ZS	BUY 0 Units @0.0000						
03/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	101.99	0.00	101.99	15.0	15.30
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
02/28/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	121.63	0.91	0.00	0.91	15.0	0.14
	ACCOUNT #: 07123000494	BUY 10 Units @12.7500						
03/09/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	15.0	1.13
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
02/27/2018	***-**-67CL - MILGROOM,	MFS NEW DISCOVERY VALUE FUND CL C	1,000.00	10.00	0.00	10.00	15.0	1.50
	ACCOUNT #: 00090000070	BUY 69 Units @14.5400						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.79	0.00	6.79	15.0	1.02
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.79	0.00	6.79	15.0	1.02
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
03/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	6.38	0.00	6.38	15.0	0.96
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
03/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	7.36	0.00	7.36	15.0	1.10
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	7.54	0.00	7.54	15.0	1.13
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	79.50	0.00	79.50	15.0	11.93
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	39.18	0.00	39.18	15.0	5.88
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-129I - HOLLANDER,	NEW PERSPECTIVE FUND-A	0.00	21.99	0.00	21.99	15.0	3.30
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
03/01/2018	***-**-264I - HOLLANDER, TED	NEW PERSPECTIVE FUND-A	0.00	21.99	0.00	21.99	15.0	3.30
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	NEW PERSPECTIVE FUND-R2	0.00	46.63	0.00	46.63	15.0	6.99
	ACCOUNT #: 01230591027	BUY 0 Units @0.0000						
03/01/2018	***-**-0720 - COCKTAILS DREAMS	NEW PERSPECTIVE FUND-R2	0.00	5.58	0.00	5.58	15.0	0.84
	ACCOUNT #: 01230591048	BUY 0 Units @0.0000						
03/01/2018	***-**-9109 - PASCH, ROBERT	NEW WORLD FUND-R3	0.00	6.02	0.00	6.02	15.0	0.90
	ACCOUNT #: 01241470723	BUY 0 Units @0.0000						
03/01/2018	***-**-8780 - PALM BEACH	NEW WORLD FUND-R3	0.00	17.58	0.00	17.58	15.0	2.64
	ACCOUNT #: 01241471887	BUY 0 Units @0.0000						
03/01/2018	***-**-2111 - SPECTRUM TOURS	NEW WORLD FUND-R3	0.00	0.27	0.00	0.27	15.0	0.04
	ACCOUNT #: 01241474946	BUY 0 Units @0.0000						
03/01/2018	***-**-985P - KEATING, EILEEN	THE BOND FUND OF AMERICA-A	0.00	0.19	0.00	0.19	15.0	0.03
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-964I - HARRELL, ZELDA	THE BOND FUND OF AMERICA-A	0.00	3.70	0.00	3.70	15.0	0.56
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @52.8500						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529A	0.00	6.11	0.00	6.11	15.0	0.92
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	6.48	0.00	6.48	15.0	0.97
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
03/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.15	0.00	7.15	15.0	1.07
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529C	0.00	8.12	0.00	8.12	15.0	1.22
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	7.85	0.00	7.85	15.0	1.18
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
03/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	8.04	0.00	8.04	15.0	1.21
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
03/01/2018	***-**-894I - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	92.07	0.00	92.07	15.0	13.81
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
03/01/2018	***-**-4894 - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	41.87	0.00	41.87	15.0	6.28
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
03/01/2018	***-**-745P - BETTS, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	0.29	0.00	0.29	15.0	0.04
	ACCOUNT #: 00066945923	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	17.96	0.00	17.96	15.0	2.69
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
03/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-A	0.00	107.72	0.00	107.72	15.0	16.16
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	0.45	0.00	0.45	15.0	0.07
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-109I - PASCH, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	6.03	0.00	6.03	15.0	0.90
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	THE GROWTH FUND OF AMERICA-A	0.00	2.37	0.00	2.37	15.0	0.36
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	THE GROWTH FUND OF AMERICA-A	0.00	4.04	0.00	4.04	15.0	0.61
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-165P - COOPER-KEATING,	THE GROWTH FUND OF AMERICA-A	0.00	4.77	0.00	4.77	15.0	0.72
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	THE GROWTH FUND OF AMERICA-A	0.00	0.61	0.00	0.61	15.0	0.09
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-135P - PASCH, SHAHAR	THE GROWTH FUND OF AMERICA-A	0.00	1.31	0.00	1.31	15.0	0.20
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
03/01/2018	***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	36.40	0.00	36.40	15.0	5.46
03/01/2018	***-**-675P - MONTEFUSCO, ACCOUNT #: 00081937980	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	15.0	0.03
03/01/2018	***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.14	0.00	1.14	15.0	0.17
03/01/2018	***-**-775P - KING, GREGORY ACCOUNT #: 00082438825	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	22.08	0.00	22.08	15.0	3.31
03/01/2018	***-**-635I - CAMPBELL, ACCOUNT #: 00084984847	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	15.0	0.06
03/01/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	9.71	0.00	9.71	15.0	1.46
03/01/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	THE GROWTH FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	15.0	0.23
03/01/2018	***-**-425P - SMOLLER, MICHAEL ACCOUNT #: 00068829975	THE GROWTH FUND OF AMERICA-C BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	15.0	1.28
03/01/2018	***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.80	0.00	3.80	15.0	0.57
03/01/2018	***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	27.39	0.00	27.39	15.0	4.11
03/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	3.04	0.00	3.04	15.0	0.46
03/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008823	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	13.10	0.00	13.10	15.0	1.97
03/02/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07748008142	TRANSAMERICA SMALL/MID CAP VALUE-C BUY 0 Units @0.0000	0.00	16.34	0.00	16.34	15.0	2.45
03/01/2018	***-**-815P - BETTS, ALICIA ACCOUNT #: 00066945925	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	15.0	0.04
03/01/2018	***-**-54IN - CECIARELLI, ACCOUNT #: 00067091901	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	15.0	0.08
03/01/2018	***-**-40IN - GEORGE, DORIS ACCOUNT #: 00069103756	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	15.0	0.06



COMMISSION STATEMENT

March 12, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
03/01/2018	***-**-985P - KEATING, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
03/01/2018	***-**-8357 - KEATING, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.12	0.00	2.12	15.0	0.32
	ACCOUNT #: 00081124791	BUY 0 Units @0.0000						
03/01/2018	***-**-6273 - ALICEA, JULIANNE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.63	0.00	3.63	15.0	0.54
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
03/01/2018	***-**-725P - RODRIGUEZ, HUGO	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 00081534908	BUY 0 Units @0.0000						
03/01/2018	***-**-075P - HUTCHINSON,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.76	0.00	0.76	15.0	0.11
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
	* CHRISTOPHER MILGROOM SUBTOTAL *		\$2,621.63	\$1,531.23	\$0.00	\$1,531.23		\$229.70
	*** OVERRIDE TRANSACTIONS TOTAL ***		\$2,621.63	\$1,531.23	\$0.00	\$1,531.23		\$229.70

 BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00



COMMISSION STATEMENT

March 12, 2018

EILEEN ZINGARO
11249 Little Rd
New Port Richey, FL 34654

BROKER #: 703 Terminated 10/15/2008
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/07/2018		PRIOR PERIOD BALANCE(SEE BELOW)	0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
<u>BROKER COMMISSION TOTALS</u>								
								Broker Transactions \$0.00
								Split Transactions \$0.00
								Override Transactions \$0.00
								Adjustments \$0.00
								Payroll Draw \$0.00
								Base Salary \$0.00
								Prior Period Balance \$0.00
								FINRA Assessment \$0.00
								SIPC Assessment \$0.00
								Balance Forward \$0.00
								Year-to-date Earnings \$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD