



Concorde Investment Services, LLC

06/13/2017
Page No. 1

COMMISSION STATEMENT

June 15, 2017

BARTHOLOMEW MACKLER
1359 W. Fairview Lane

BROKER #: M121
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	32.27	0.00	32.27	90.0	29.04
05/09/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	0.00	44.12	0.00	44.12	90.0	39.71
06/01/2017	MARSH, GARY	AM FUNDS AMCAP A	0.00	12.29	0.00	12.29	90.0	11.06
06/01/2017	KEY, EMMANUEL	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	90.0	0.55
06/01/2017	JEZDIMIR, CAROLINE	AM FUNDS AMERICAN BALANCED A	0.00	29.55	0.00	29.55	90.0	26.60
06/01/2017	BAUGHMAN/BENE, MICHELE	AM FUNDS AMERICAN BALANCED A	0.00	29.89	0.00	29.89	90.0	26.90
06/01/2017	ALLOR, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	19.26	0.00	19.26	90.0	17.33
06/01/2017	VANDEUSEN, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	3.17	0.00	3.17	90.0	2.85
06/01/2017	BURROWS, JOY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.15	0.00	3.15	90.0	2.84
06/01/2017	JACKSON, RICHARD	AM FUNDS AMERICAN MUTUAL A	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2017	WATERMAN, MICHAEL	AM FUNDS AMERICAN MUTUAL A	0.00	4.97	0.00	4.97	90.0	4.47
06/01/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	0.00	3.53	0.00	3.53	90.0	3.18
06/01/2017	HARRISON, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	HARRISON, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	KEENEY, DONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	7.13	0.00	7.13	90.0	6.42
06/01/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA A	0.00	23.50	0.00	23.50	90.0	21.15
06/01/2017	HARRISON, SHARON	AM FUNDS BOND FUND OF AMERICA A	0.00	1.90	0.00	1.90	90.0	1.71
05/09/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.35	0.00	12.35	90.0	11.12
06/01/2017	LINGEMANN TRUST, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	32.34	0.00	32.34	90.0	29.11
06/01/2017	BREZA, DONICE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	WOJTACHA, NEAL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.66	0.00	7.66	90.0	6.89
06/01/2017	VIVIANO, KAREN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	65.63	0.00	65.63	90.0	59.07
06/01/2017	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.31	0.00	4.31	90.0	3.88
06/02/2017	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	SCHULER/SEP, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.43	0.00	10.43	90.0	9.39
06/01/2017	HARRISON, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.13	0.00	15.13	90.0	13.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	BAUGHMAN, MICHELE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.17	0.00	11.17	90.0	10.05
06/01/2017	BREZA, DONICE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	LISOWSKI, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	O'CONNOR, ROSE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	43.98	0.00	43.98	90.0	39.58
06/01/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.67	0.00	6.67	90.0	6.00
06/01/2017	REED, CAROLINA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.03	0.00	2.03	90.0	1.83
06/01/2017	SCHULER/SEP, ANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.59	0.00	5.59	90.0	5.03
06/01/2017	PALFFY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.85	0.00	1.85	90.0	1.67
06/01/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	5.42	0.00	5.42	90.0	4.88
06/01/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.69	0.00	3.69	90.0	3.32
06/01/2017	HARRISON, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	24.28	0.00	24.28	90.0	21.85
06/01/2017	PALFFY, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.48	0.00	2.48	90.0	2.23
06/01/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.15	0.00	6.15	90.0	5.54
06/01/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.91	0.00	5.91	90.0	5.32
06/01/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.57	0.00	2.57	90.0	2.31
06/01/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.37	0.00	5.37	90.0	4.83
06/01/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.69	0.00	3.69	90.0	3.32
06/01/2017	SCHULER/SEP, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.02	0.00	11.02	90.0	9.92
06/01/2017	JOHNSON, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.89	0.00	3.89	90.0	3.50
06/01/2017	JOHNSON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.63	0.00	8.63	90.0	7.77
06/01/2017	MEGGE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	KAZMIROWSKI, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.94	0.00	16.94	90.0	15.25
06/01/2017	KOZLOWSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.32	0.00	9.32	90.0	8.39
06/01/2017	WOJTACHA, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	1.02
05/09/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.02	0.00	17.02	90.0	15.32
05/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.53	0.00	9.53	90.0	8.58
05/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.48	0.00	5.48	90.0	4.93
05/09/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.17	0.00	5.17	90.0	4.65
06/01/2017	RIGGERT, HILARY	AM FUNDS ICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2017	KEECH, SCOTT	AM FUNDS ICA A	0.00	12.46	0.00	12.46	90.0	11.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ZECH, DONALD	AM FUNDS ICA A	0.00	11.44	0.00	11.44	90.0	10.30
06/01/2017	KOLOVSKY, JEFFREY	AM FUNDS ICA A	0.00	6.04	0.00	6.04	90.0	5.44
06/01/2017	COLLAR, VICKI	AM FUNDS ICA A	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2017	MARSH, GARY	AM FUNDS ICA A	0.00	176.66	0.00	176.66	90.0	158.99
06/01/2017	SCHULER/SEP, ANN	AM FUNDS ICA A	0.00	13.76	0.00	13.76	90.0	12.38
06/01/2017	KULHANEK, CAROL	AM FUNDS ICA A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	KULHANEK, MICHAEL	AM FUNDS ICA A	0.00	3.57	0.00	3.57	90.0	3.21
06/01/2017	PERS, MICHAEL	AM FUNDS ICA A	0.00	1.59	0.00	1.59	90.0	1.43
06/01/2017	KULHANEK, CAROL	AM FUNDS ICA A	0.00	3.32	0.00	3.32	90.0	2.99
06/01/2017	WATERMAN, MICHAEL	AM FUNDS ICA A	0.00	4.64	0.00	4.64	90.0	4.18
06/01/2017	HARRISON, DAVID	AM FUNDS ICA A	0.00	26.75	0.00	26.75	90.0	24.08
06/01/2017	KOZLOWSKI, MICHAEL	AM FUNDS ICA A	0.00	6.50	0.00	6.50	90.0	5.85
06/01/2017	VIVIANO, KAREN	AM FUNDS ICA A	0.00	2.97	0.00	2.97	90.0	2.67
06/01/2017	BURROWS, JOY	AM FUNDS ICA A	0.00	5.39	0.00	5.39	90.0	4.85
06/01/2017	CONLIN, DANIEL	AM FUNDS ICA A	0.00	3.43	0.00	3.43	90.0	3.09
06/01/2017	BREZA, DONICE	AM FUNDS ICA A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	WOJTACHA, NEAL	AM FUNDS ICA A	0.00	7.97	0.00	7.97	90.0	7.17
06/01/2017	ODOM, COLLEEN	AM FUNDS ICA A	0.00	17.99	0.00	17.99	90.0	16.19
06/01/2017	EQUITY TRUST CO	AM FUNDS ICA A	0.00	9.87	0.00	9.87	90.0	8.88
06/01/2017	KEHRES, RICHARD	AM FUNDS ICA A	0.00	6.85	0.00	6.85	90.0	6.17
06/01/2017	VIVIANO, KAREN	AM FUNDS ICA C	0.00	71.68	0.00	71.68	90.0	64.51
06/01/2017	WHEELER, PAUL	AM FUNDS ICA C	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	AFFER, RONALD	AM FUNDS ICA C	0.00	1.35	0.00	1.35	90.0	1.22
05/09/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.16	0.00	5.16	90.0	4.64
05/09/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	43.35	0.00	43.35	90.0	39.02
06/01/2017	MODZELEWSKI, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.14	0.00	21.14	90.0	19.03
06/01/2017	REED, CAROLINA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	ROSEN, STANLEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.96	0.00	15.96	90.0	14.36
06/01/2017	BREZA, DONICE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2017	JOHNSON, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.52	0.00	5.52	90.0	4.97
06/01/2017	ROSEN, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	25.47	0.00	25.47	90.0	22.92
06/01/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	0.00	46.35	0.00	46.35	90.0	41.72
06/01/2017	PALFFY, JOHN	AM FUNDS NEW ECONOMY A	0.00	1.80	0.00	1.80	90.0	1.62

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	0.00	5.48	0.00	5.48	90.0	4.93
06/01/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	0.00	5.25	0.00	5.25	90.0	4.73
06/01/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.39	0.00	1.39	90.0	1.25
06/01/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	KEENEY, DONALD	AM FUNDS NEW PERSPECTIVE A	0.00	21.61	0.00	21.61	90.0	19.45
06/01/2017	RIGGERT, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	10.77	0.00	10.77	90.0	9.69
06/01/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	15.13	0.00	15.13	90.0	13.62
06/01/2017	LINGEMANN, HELEN	AM FUNDS NEW PERSPECTIVE A	0.00	40.95	0.00	40.95	90.0	36.86
06/01/2017	SCHULER/SEP, ANN	AM FUNDS NEW WORLD A	0.00	6.37	0.00	6.37	90.0	5.73
06/01/2017	RIGGERT, ANDREW	AM FUNDS NEW WORLD A	0.00	8.61	0.00	8.61	90.0	7.75
06/01/2017	O'CONNOR, ROSE	AM FUNDS NEW WORLD A	0.00	35.36	0.00	35.36	90.0	31.82
06/01/2017	GARBO, PAULETTE	AM FUNDS NEW WORLD A	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2017	GARBO, PAUL	AM FUNDS NEW WORLD A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	HARRISON, DAVID	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	23.07	0.00	23.07	90.0	20.76
06/01/2017	DEEB, DANUTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	55.93	0.00	55.93	90.0	50.34
06/01/2017	REED, CAROLINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	TANNER, ALBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2017	SCHULER/SEP, ANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.23	0.00	12.23	90.0	11.01
06/01/2017	KEENEY, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	19.92	0.00	19.92	90.0	17.93
06/01/2017	MOOSEKIAN/AUTH PER, BRYAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	LAPLACA, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.69	0.00	3.69	90.0	3.32
06/01/2017	LAPLACA, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.67	0.00	3.67	90.0	3.30
06/01/2017	LAPLACA, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.65	0.00	3.65	90.0	3.29
06/01/2017	DZIALO, MARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	ROSEN, STANLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	183.71	0.00	183.71	90.0	165.34
06/01/2017	GAMICCHIA, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.28	0.00	11.28	90.0	10.15
06/01/2017	KUSCH, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	KUSCH, CHRISTOPHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LATOUR, KERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.90	0.00	1.90	90.0	1.71
06/01/2017	GAMICCHIA, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.26	0.00	5.26	90.0	4.73
06/01/2017	ROHLOFF, CARL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.93	0.00	28.93	90.0	26.04
06/01/2017	HARRISON, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.40	0.00	28.40	90.0	25.56
06/01/2017	KEY, EMMANUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.70	0.00	0.70	90.0	0.63

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	JEZDIMIR, CAROLINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	32.57	0.00	32.57	90.0	29.31
06/01/2017	WOJTACHA, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	120.54	0.00	120.54	90.0	108.49
06/01/2017	LISOWSKI, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.55	0.00	1.55	90.0	1.40
06/01/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.34	0.00	4.34	90.0	3.91
06/01/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.01	0.00	0.01	90.0	0.01
05/09/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.18	0.00	3.18	90.0	2.86
05/09/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.52	0.00	4.52	90.0	4.07
05/30/2017	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	300.00	12.00	0.00	12.00	90.0	10.80
05/09/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.08	0.00	2.08	90.0	1.87
05/09/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.68	0.00	1.68	90.0	1.51
05/09/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.25	0.00	1.25	90.0	1.13
05/09/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.07	0.00	0.07	90.0	0.06
05/09/2017	HORTON, RICHARD	FEDERATED CAPITAL INCOME B	0.00	7.01	0.00	7.01	90.0	6.31
05/09/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.05	0.00	0.05	90.0	0.05
05/09/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.04	0.00	0.04	90.0	0.04
05/09/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.59	0.00	4.59	90.0	4.13
05/09/2017	JOHNSON, WILLIAM	FEDERATED CAPITAL INCOME B	0.00	3.62	0.00	3.62	90.0	3.26
05/09/2017	BERTOLINI, LEO	FEDERATED CAPITAL INCOME B	0.00	3.36	0.00	3.36	90.0	3.02
05/09/2017	CURL, BRIAN K	FEDERATED CAPITAL INCOME B	0.00	1.90	0.00	1.90	90.0	1.71
05/09/2017	HORTON, RICHARD	FEDERATED GLOBAL ALLOCATION B	0.00	8.19	0.00	8.19	90.0	7.37
05/09/2017	CURL, BRIAN K	FEDERATED GLOBAL ALLOCATION B	0.00	1.85	0.00	1.85	90.0	1.67
05/09/2017	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	3.84	0.00	3.84	90.0	3.46
05/30/2017	BERGIN, MARGARET	FRANKLIN LOW DURATION TOTAL RETURN	24,666.67	246.67	0.00	246.67	90.0	222.00
05/09/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.79	0.00	0.79	90.0	0.71
05/30/2017	BERGIN, MARGARET	FRANKLIN TOTAL RETURN A	24,666.66	493.33	0.00	493.33	90.0	444.00
05/30/2017	BERGIN, MARGARET	FRANKLIN UTILITIES A	24,666.67	493.33	0.00	493.33	90.0	444.00
05/09/2017	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.59	0.00	2.59	90.0	2.33
05/09/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.69	0.00	3.69	90.0	3.32
05/09/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	90.0	0.08
05/09/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.73	0.00	0.73	90.0	0.66
05/09/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.46	0.00	0.46	90.0	0.41
05/09/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.95	0.00	0.95	90.0	0.86
05/09/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.80	0.00	0.80	90.0	0.72

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/09/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.32	0.00	1.32	90.0	1.19
05/09/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.96	0.00	0.96	90.0	0.86
05/09/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.03	0.00	0.03	90.0	0.03
05/09/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	5.75	0.00	5.75	90.0	5.18
05/09/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED B	0.00	0.41	0.00	0.41	90.0	0.37
05/09/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.68	0.00	5.68	90.0	5.11
05/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.07	0.00	6.07	90.0	5.46
05/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM MI TAX EXEMPT INCOME A	0.00	1.62	0.00	1.62	90.0	1.46
06/02/2017	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.31	0.00	9.31	90.0	8.38
06/02/2017	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	30.27	0.00	30.27	90.0	27.24
* MUTUAL FUNDS SUBTOTAL *			\$74,350.00	\$3,225.83	\$0.00	\$3,225.83		\$2,903.32
<u>PRODUCT CATEGORY: STOCKS</u>								
05/05/2017	MAZURKIEWICZ, JOHN	CALLAWAY GOLF CO	18,005.58	292.00	14.00	278.00	90.0	250.20
05/05/2017	HORTON, RICHARD	CALLAWAY GOLF CO	19,365.35	192.00	14.00	178.00	90.0	160.20
05/05/2017	QUENNEVILLE, JEROME	CALLAWAY GOLF CO	19,410.41	192.00	14.00	178.00	90.0	160.20
05/05/2017	JOHNSON, WILLIAM	CALLAWAY GOLF CO	16,874.00	282.00	14.00	268.00	90.0	241.20
05/05/2017	BORR, RUDOLPH	CALLAWAY GOLF CO	19,430.00	192.00	14.00	178.00	90.0	160.20
05/10/2017	LAROCHE, DAVID	CAPITAL ONE FINANCIAL CORP	8,198.61	50.00	14.00	36.00	90.0	32.40
05/19/2017	DEJONGHE, ALAN	CYTOSORBENTS CORPORATION	2,708.51	50.00	14.00	36.00	90.0	32.40
05/22/2017	LOWELL, THOMAS	CYTOSORBENTS CORPORATION	995.83	25.00	14.00	11.00	90.0	9.90
05/08/2017	SHIELDS, GERALD	FORD MOTOR CO DEL COM PAR	2,794.63	60.00	14.00	46.00	90.0	41.40
05/15/2017	SULEK, ANN	FORD MOTOR CO DEL COM PAR	5,501.50	70.00	14.00	56.00	90.0	50.40
05/15/2017	SULEK, ANN	GENERAL ELECTRIC CO COM	8,455.02	70.00	14.00	56.00	90.0	50.40
05/10/2017	BORR, RUDOLPH	KOHL'S CORP COM	30,194.93	92.00	14.00	78.00	90.0	70.20
05/10/2017	HERRADA, ROBERT	KOHL'S CORP COM	20,203.72	92.00	14.00	78.00	90.0	70.20
05/15/2017	SULEK, ANN	PNC FINANCIAL SERVICES GROUP	3,761.37	70.00	14.00	56.00	90.0	50.40
* STOCKS SUBTOTAL *			\$175,899.46	\$1,729.00	\$196.00	\$1,533.00		\$1,379.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	DAMRON, ROBERT	JNL PERSPECTIVE	260,309.68	54.23	0.00	54.23	90.0	48.81
05/31/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,715.34	0.36	0.00	0.36	90.0	0.32
05/31/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,251.62	1.72	0.00	1.72	90.0	1.55
05/31/2017	ROHLOFF, CARL	JNL PERSPECTIVE	14,031.32	2.92	0.00	2.92	90.0	2.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	SCHNELL, JAMES	JNL PERSPECTIVE	5,019.58	2.09	0.00	2.09	90.0	1.88
05/31/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	25,444.45	10.60	0.00	10.60	90.0	9.54
05/31/2017	RYAN, NANCY	JNL PERSPECTIVE L	64,357.85	53.63	0.00	53.63	90.0	48.27
05/31/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	51,127.67	42.61	0.00	42.61	90.0	38.35
05/31/2017	CASGRAIN, GAYL	JNL PERSPECTIVE L	287,896.15	239.91	0.00	239.91	90.0	215.92
05/31/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	38,071.61	31.73	0.00	31.73	90.0	28.56
* VARIABLE ANNUITIES SUBTOTAL *			\$756,225.27	\$439.80	\$0.00	\$439.80		\$395.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/05/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	17.76	0.00	17.76	90.0	15.98
06/05/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.82	0.00	7.82	90.0	7.04
06/05/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.77	0.00	7.77	90.0	6.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.35	\$0.00	\$33.35		\$30.01
*** BROKER TRANSACTIONS TOTAL ***			\$1,006,474.73	\$5,427.98	\$196.00	\$5,231.98		\$4,708.86
<u>ADJUSTMENTS</u>								
06/15/2017		*CONCIERGE SVC: 191811 HOUESHELL	0.00					-50.00
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-625.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,708.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-625.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,083.86
Year-to-date Gross	\$48,988.18
Year-to-date Earnings	\$49,326.85