



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT

July 15, 2017

ROBERT THOMPSON
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: T118
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.91	0.00	1.91	90.0	1.72
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.49	0.00	2.49	90.0	2.24
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.61	0.00	1.61	90.0	1.45
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	4.24	0.00	4.24	90.0	3.82
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$10.25	\$0.00	\$10.25		\$9.23
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	FLECK, MARTHA	ALLIANZ VALUEMARK II	26,244.82	16.40	0.00	16.40	90.0	14.76
06/30/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,537.47	8.03	0.00	8.03	90.0	7.23
06/30/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,144.66	1.34	0.00	1.34	90.0	1.21
06/30/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	41,326.68	34.44	0.00	34.44	90.0	31.00
06/30/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	102,414.95	85.35	0.00	85.35	90.0	76.82
06/30/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,555.16	22.13	0.00	22.13	90.0	19.92
06/30/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,965.70	31.64	0.00	31.64	90.0	28.48
06/30/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	129,456.86	107.88	0.00	107.88	90.0	97.09
06/30/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	136,862.12	114.05	0.00	114.05	90.0	102.65
06/30/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	172,903.92	144.09	0.00	144.09	90.0	129.68
06/30/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,194.92	21.00	0.00	21.00	90.0	18.90
06/30/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	46,082.61	38.40	0.00	38.40	90.0	34.56
06/30/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,112.61	15.09	0.00	15.09	90.0	13.58
06/30/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	25,161.78	20.97	0.00	20.97	90.0	18.87
06/30/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,394.68	140.33	0.00	140.33	90.0	126.30
06/30/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	160,155.90	133.46	0.00	133.46	90.0	120.11
06/30/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,218.61	128.52	0.00	128.52	90.0	115.67
06/30/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	219,314.97	182.76	0.00	182.76	90.0	164.48
06/30/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,561.51	35.47	0.00	35.47	90.0	31.92
06/30/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	118,493.12	98.74	0.00	98.74	90.0	88.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	110,647.04	92.21	0.00	92.21	90.0	82.99
06/30/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	120,323.27	100.27	0.00	100.27	90.0	90.24
06/30/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,909.47	19.92	0.00	19.92	90.0	17.93
06/30/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,854.17	24.05	0.00	24.05	90.0	21.65
07/01/2017	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	75,433.36	188.58	0.00	188.58	90.0	169.72
07/01/2017	OSTER, JOHN	NATIONWIDE DESTINATION L2 01	76,968.25	192.42	0.00	192.42	90.0	173.18
07/01/2017	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	33,975.05	84.94	0.00	84.94	90.0	76.45
07/01/2017	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	127,071.17	317.68	0.00	317.68	90.0	285.91
07/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	92,365.96	230.91	0.00	230.91	90.0	207.82
07/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	99,970.34	249.93	0.00	249.93	90.0	224.94
07/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	93,192.15	232.98	0.00	232.98	90.0	209.68
07/01/2017	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	213,329.69	533.32	0.00	533.32	90.0	479.99
07/01/2017	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	92,753.28	231.88	0.00	231.88	90.0	208.69
07/01/2017	COOPER, MARK	NATIONWIDE DESTINATION LS 96	106,971.38	267.43	0.00	267.43	90.0	240.69
07/01/2017	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	93,842.34	234.61	0.00	234.61	90.0	211.15
07/01/2017	GRIM, SUSAN	NW DESTINATION B2 05	8,182.20	15.34	0.00	15.34	90.0	13.81
07/01/2017	GRUBB, BEVERLY	NWL DESTINATION EV2 01	75,204.05	47.00	0.00	47.00	90.0	42.30
06/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	56,404.67	58.75	0.00	58.75	90.0	52.88
06/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	134,587.75	112.16	0.00	112.16	90.0	100.94
06/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,572.81	3.81	0.00	3.81	90.0	3.43
06/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	254,627.13	212.19	0.00	212.19	90.0	190.97
06/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,983.15	19.99	0.00	19.99	90.0	17.99
06/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,061.65	5.05	0.00	5.05	90.0	4.55
06/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	54,925.31	45.77	0.00	45.77	90.0	41.19
06/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,060.73	78.38	0.00	78.38	90.0	70.54
06/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,668.96	40.56	0.00	40.56	90.0	36.50
06/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	31,590.84	26.33	0.00	26.33	90.0	23.70
06/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,102.53	32.59	0.00	32.59	90.0	29.33
06/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,509.77	213.76	0.00	213.76	90.0	192.38
06/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,946.52	131.62	0.00	131.62	90.0	118.46
06/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	77,982.47	64.99	0.00	64.99	90.0	58.49
06/15/2017	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	505.65	0.42	0.00	0.42	90.0	0.38
06/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,575.56	2.15	0.00	2.15	90.0	1.94

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	233,101.26	194.25	0.00	194.25	90.0	174.83
06/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	115,618.83	96.35	0.00	96.35	90.0	86.72
06/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,619.08	2.18	0.00	2.18	90.0	1.96
06/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,508.31	102.09	0.00	102.09	90.0	91.88
06/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,862.85	22.39	0.00	22.39	90.0	20.15
06/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,781.66	83.15	0.00	83.15	90.0	74.84
06/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	83,315.24	69.43	0.00	69.43	90.0	62.49
06/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,744.26	33.12	0.00	33.12	90.0	29.81
06/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	110,974.65	92.48	0.00	92.48	90.0	83.23
06/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	69,647.47	58.04	0.00	58.04	90.0	52.24
06/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	98,881.53	82.40	0.00	82.40	90.0	74.16
06/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,945.27	144.95	0.00	144.95	90.0	130.46
06/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,117.52	179.26	0.00	179.26	90.0	161.33
06/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,885.74	22.40	0.00	22.40	90.0	20.16
06/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,381.85	64.48	0.00	64.48	90.0	58.03
06/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,741.41	125.62	0.00	125.62	90.0	113.06
06/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	59,913.31	49.93	0.00	49.93	90.0	44.94
06/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,557.59	26.30	0.00	26.30	90.0	23.67
06/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	112,651.55	93.88	0.00	93.88	90.0	84.49
06/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,186.20	140.16	0.00	140.16	90.0	126.14
* VARIABLE ANNUITIES SUBTOTAL *			\$6,428,637.30	\$7,174.94	\$0.00	\$7,174.94		\$6,457.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/20/2017	DEMPSEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.10	\$0.00	\$0.10		\$0.09
*** BROKER TRANSACTIONS TOTAL ***			\$6,428,637.30	\$7,185.29	\$0.00	\$7,185.29		\$6,466.82

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<u>ADJUSTMENTS</u>								
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*FINRA: MEMBER DISC PROC FEE	0.00					-110.00
07/15/2017		*PERSHING: PROSPECTUS	0.00					-4.40
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-689.40

BROKER COMMISSION TOTALS

Broker Transactions	\$6,466.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-689.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,777.42
Year-to-date Gross	\$62,379.32
Year-to-date Earnings	\$56,200.61

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