



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2017**

02/14/2017  
 Page No. 1

**WILLIAM THOMAS**  
 2565 West Maple Road

**BROKER #: T123 Terminated 12/31/2016**  
**BRANCH: SECURE ASSET FINANCI**

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/23/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	90.0	1.80
01/25/2017	ISBELL, LINDA	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	90.0	2.25
01/25/2017	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
01/25/2017	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	90.0	3.83
01/30/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
01/25/2017	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/25/2017	ISBELL, LINDA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/23/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	90.0	1.80
01/31/2017	HUGHES, ROGER	FRANKLIN INCOME C	0.00	29.63	0.00	29.63	90.0	26.67
01/31/2017	HUGHES, ROGER	FRANKLIN MUTUAL EUROPEAN FUND CL C	0.00	20.12	0.00	20.12	90.0	18.11
01/31/2017	HUGHES, ROGER	FRANKLIN R E SECURITIES FUND - CLAS	0.00	23.07	0.00	23.07	90.0	20.76
01/31/2017	HUGHES, ROGER	FRANKLIN RISING DIVIDENDS C	0.00	28.88	0.00	28.88	90.0	25.99
01/31/2017	HUGHES, ROGER	FRANKLIN SMALL CAP VALUE C	0.00	25.60	0.00	25.60	90.0	23.04
01/31/2017	HUGHES, ROGER	FRANKLIN VALUE INVS TR MIDCAP VALUE	0.00	23.21	0.00	23.21	90.0	20.89
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$549.98</b>	<b>\$169.26</b>	<b>\$0.00</b>	<b>\$169.26</b>		<b>\$152.34</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	90.0	3.60
01/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	90.0	5.40
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$500.00</b>	<b>\$10.00</b>	<b>\$0.00</b>	<b>\$10.00</b>		<b>\$9.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,049.98</b>	<b>\$179.26</b>	<b>\$0.00</b>	<b>\$179.26</b>		<b>\$161.34</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
12/31/2016	MILLER, MARTIN	METLIFE TRAILS	0.00	38.14	0.00	38.14	90.0	34.33
02/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	0.50	0.00	0.50	90.0	0.45
01/30/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.25	0.00	0.25	90.0	0.23
01/31/2017	POTTER, NEIL	FRANKLIN GROWTH A	0.00	4.69	0.00	4.69	90.0	4.22
01/31/2017	GABRIEL, WILLIAM	FRANKLIN GROWTH C	0.00	18.63	0.00	18.63	90.0	16.77
01/25/2017	KOCHANOWSKI, DONALD	FRANKLIN GROWTH OPPORTUNITIES FUND	200.00	1.00	0.00	1.00	90.0	0.90
01/31/2017	KOCHANOWSKI, DONALD	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	9.91	0.00	9.91	90.0	8.92
01/31/2017	POTTER, NEIL	FRANKLIN INCOME A	0.00	3.97	0.00	3.97	90.0	3.57
01/31/2017	BAIRD, KATHRYN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	2.97	0.00	2.97	90.0	2.67
01/31/2017	CROCKETT, JAIME	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	5.43	0.00	5.43	90.0	4.89
01/31/2017	GABRIEL, WILLIAM	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	15.86	0.00	15.86	90.0	14.27
01/31/2017	STEVENSON, JANICE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	22.95	0.00	22.95	90.0	20.66
01/31/2017	POTTER, NEIL	FRANKLIN RISING DIVIDENDS A	0.00	13.14	0.00	13.14	90.0	11.83
01/31/2017	STEVENSON, JANICE	FRANKLIN RISING DIVIDENDS C	0.00	24.96	0.00	24.96	90.0	22.46
01/31/2017	STEVENSON, JANICE	FRANKLIN SMALL CAP VALUE C	0.00	12.10	0.00	12.10	90.0	10.89
01/31/2017	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.31	0.00	1.31	90.0	1.18
01/31/2017	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.30	0.00	1.30	90.0	1.17
01/31/2017	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.30	0.00	1.30	90.0	1.17
01/31/2017	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.31	0.00	1.31	90.0	1.18
01/31/2017	STEVENSON, JANICE	FRANKLIN UTILITIES C	0.00	8.03	0.00	8.03	90.0	7.23
01/31/2017	POLL, ROGOR	FT EQUITY INC CL C	0.00	16.00	0.00	16.00	90.0	14.40
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	1.35	0.00	1.35	90.0	1.22
01/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.16	0.00	2.16	90.0	1.94
02/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	0.88	0.00	0.88	90.0	0.79
02/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	0.63	0.00	0.63	90.0	0.57
01/17/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.25	0.00	0.25	90.0	0.23
01/31/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.25	0.00	0.25	90.0	0.23
01/17/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.25	0.00	0.25	90.0	0.23
01/31/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.25	0.00	0.25	90.0	0.23
01/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	0.76	0.00	0.76	90.0	0.68
01/17/2017	REICH, MICHELLE	PRU PREMIER INVT VA C	50.00	0.00	0.00	0.00	90.0	0.00
01/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	2.63	0.00	2.63	90.0	2.37

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
01/31/2017	CROCKETT, JAIME	TEMPLETON GLOBAL BALANCED FUND	0.00	5.71	0.00	5.71	90.0	5.14
02/03/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.60	0.00	0.60	90.0	0.54
02/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	1.94	0.00	1.94	90.0	1.75
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$1,646.00	\$221.91	\$0.00	\$221.91		\$199.76
*** SPLIT TRANSACTIONS TOTAL ***			\$1,646.00	\$221.91	\$0.00	\$221.91		\$199.76

<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: MARIA BONGIOVANNI #237</u></b>								
01/25/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	90.0	0.68
01/25/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	90.0	0.68
* MARIA BONGIOVANNI SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.36
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$3.00	\$0.00	\$3.00		\$1.36

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$161.34
Split Transactions	\$199.76
Override Transactions	\$1.36
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$362.46
Year-to-date Gross	\$6,335.27
Year-to-date Earnings	\$5,706.04

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