

## COMMISSION STATEMENT

August 31, 2017

MARTIN MCNEILL  
25 Pineneck Avenue

BROKER #: 78  
BRANCH: HOME OFFICE

Sag Harbor, NY 11963-

## COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/31/2017 (None)	VARIOUS, MCNEILL	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	60.00	0.00	60.00	40.0	24.00
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$60.00	\$0.00	\$60.00		\$24.00

PRODUCT CATEGORY: STOCKS

07/27/2017	QGV001100	AND EDWARD FRENCH	FLEX LTD ORDINARY SHARES	B	16,996.50	73.00	0.00	73.00	40.0	29.20
07/27/2017	QGV001100	AND EDWARD FRENCH	TELADOC INC COM	S	-16,057.50	73.00	0.00	73.00	40.0	29.20
07/28/2017	QGV001100	AND EDWARD FRENCH	ASURE SOFTWARE INC	S	-12,991.20	73.00	0.00	73.00	40.0	29.20
07/28/2017	QGV001100	AND EDWARD FRENCH	GS1 TECHNOLOGY INC COM	S	-7,135.00	73.00	0.00	73.00	40.0	29.20
07/31/2017	QGV001100	AND EDWARD FRENCH	PARATEK PHARMACEUTICALS INC COM	S	-9,900.00	73.00	0.00	73.00	40.0	29.20
08/02/2017	QGV001100	AND EDWARD FRENCH	ALIBABA GROUP HOLDING LTD SPONSORED S	S	-15,071.58	73.00	0.00	73.00	40.0	29.20
08/02/2017	QGV001100	AND EDWARD FRENCH	CARDIOVASCULAR SYS INC DEL COM	S	-15,182.65	73.00	0.00	73.00	40.0	29.20
08/02/2017	QGV001100	AND EDWARD FRENCH	CELGENE CORP	S	-13,375.06	73.00	0.00	73.00	40.0	29.20
08/03/2017	QGV001100	AND EDWARD FRENCH	ICHOR HOLDINGS LTD REGISTERED SHS I S	S	-9,586.70	73.00	0.00	73.00	40.0	29.20
08/04/2017	QGV001100	AND EDWARD FRENCH	CARVANA CO CL A	S	-16,630.70	73.00	0.00	73.00	40.0	29.20
08/04/2017	QGV001100	AND EDWARD FRENCH	GLOBALSCAPE INC COM	B	9,098.80	73.00	0.00	73.00	40.0	29.20
08/07/2017	QGV001100	AND EDWARD FRENCH	AXOGEN INC COM	B	7,224.95	73.00	0.00	73.00	40.0	29.20
08/07/2017	QGV001100	AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A B		10,283.20	73.00	0.00	73.00	40.0	29.20
08/07/2017	QGV001100	AND EDWARD FRENCH	JD.COM INC SPONSORED ADR REPSTG COM B		14,255.55	73.00	0.00	73.00	40.0	29.20
08/07/2017	QGV001100	AND EDWARD FRENCH	KOPIN CORP	S	-10,052.70	73.00	0.00	73.00	40.0	29.20
08/07/2017	QGV001100	AND EDWARD FRENCH	TRIVAGO N V SPONS ADS REPSTG CL A S S		-17,611.30	73.00	0.00	73.00	40.0	29.20
08/07/2017	QGV001100	AND EDWARD FRENCH	YY INC ADS REPSTG COM CL A ISIN#US9 B		15,455.98	73.00	0.00	73.00	40.0	29.20
08/08/2017	QGV001100	AND EDWARD FRENCH	KITE PHARMA INC COM	B	23,728.10	73.00	0.00	73.00	40.0	29.20
08/09/2017	QGV001100	AND EDWARD FRENCH	PC TEL INC COM	S	-9,202.05	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	APPFOLIO INC COM CL A	S	-17,911.05	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	BIOTELEMETRY INC COM	S	-16,803.50	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A S		-10,295.84	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	CONTROL4 CORP COM	S	-11,419.75	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	FLEX LTD ORDINARY SHARES	S	-15,883.70	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	SHOPIFY INC CL A ISIN#CA82509L1076 S		-27,110.55	73.00	0.00	73.00	40.0	29.20
08/10/2017	QGV001100	AND EDWARD FRENCH	YY INC ADS REPSTG COM CL A ISIN#US9 S		-15,326.16	73.00	0.00	73.00	40.0	29.20
08/14/2017	QGV001100	AND EDWARD FRENCH	APPFOLIO INC COM CL A	B	11,175.00	73.00	0.00	73.00	40.0	29.20

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/14/2017	QGV001100 AND EDWARD FRENCH	BIOTELEMETRY INC COM	B	11,054.97	73.00	0.00	73.00	40.0	29.20
08/14/2017	QGV001100 AND EDWARD FRENCH	BTCS INC COM NEW	S	-4,051.00	73.00	0.00	73.00	40.0	29.20
08/16/2017	QGV001100 AND EDWARD FRENCH	EMAGIN CORP COM NEW	S	-8,600.00	73.00	0.00	73.00	40.0	29.20
08/18/2017	QGV001100 AND EDWARD FRENCH	GLOBALSCAPE INC COM	S	-7,640.80	73.00	0.00	73.00	40.0	29.20
08/18/2017	QGV001100 AND EDWARD FRENCH	GSI TECHNOLOGY INC COM	S	-6,290.80	73.00	0.00	73.00	40.0	29.20
08/18/2017	QGV001100 AND EDWARD FRENCH	JD.COM INC SPONSORED ADR REPSTG COM S	S	-44,568.59	73.00	0.00	73.00	40.0	29.20
08/22/2017	QGV001100 AND EDWARD FRENCH	CHINA LODGING GROUP LTD SPONSORED A B	B	22,694.36	73.00	0.00	73.00	40.0	29.20
08/22/2017	QGV001100 AND EDWARD FRENCH	GIGA TRONICS INC COM	S	-1,242.00	73.00	0.00	73.00	40.0	29.20
08/22/2017	QGV001100 AND EDWARD FRENCH	INSULET CORP COM	B	17,162.61	73.00	0.00	73.00	40.0	29.20
08/22/2017	QGV001100 AND EDWARD FRENCH	STRATEGIC ENVIRONMENTAL & ENERGY RE S	S	-2,425.00	73.00	0.00	73.00	40.0	29.20
08/22/2017	QGV001100 AND EDWARD FRENCH	THE TRADE DESK INC COM CL A	B	10,058.24	73.00	0.00	73.00	40.0	29.20
08/28/2017	QGV001100 AND EDWARD FRENCH	BLUEBIRD BIO INC COM	B	21,430.00	73.00	0.00	73.00	40.0	29.20
08/28/2017	QGV001100 AND EDWARD FRENCH	INTRA-CELLULAR THERAPIES INC COM	B	7,657.20	73.00	0.00	73.00	40.0	29.20
08/28/2017	QGV001100 AND EDWARD FRENCH	KITE PHARMA INC COM	S	-71,621.52	73.00	0.00	73.00	40.0	29.20
08/01/2017	QGW002404 MAHARISHI UNIVERSITY	REPUBLIC SERVICES INC	S	-25,189.39	75.00	0.00	75.00	40.0	30.00
08/15/2017	QGW002404 MAHARISHI UNIVERSITY	NEXTERA ENERGY INC	S	-94,323.84	75.00	0.00	75.00	40.0	30.00
08/08/2017	QGW002701 HIDEAWAY FARM	VANECK VECTORS ETF TR GOLD MINERS E B	B	11,088.75	75.00	0.00	75.00	40.0	30.00
08/10/2017	QGW002701 HIDEAWAY FARM	DIREXION SHS ETF TR DAILY S&P 500 B B	B	10,438.98	75.00	0.00	75.00	40.0	30.00
08/16/2017	QGW002701 HIDEAWAY FARM	VANECK VECTORS ETF TR GOLD MINERS E B	B	11,528.75	75.00	0.00	75.00	40.0	30.00
08/22/2017	QGW002701 HIDEAWAY FARM	DIREXION SHS ETF TR DAILY S&P 500 B B	B	10,634.73	75.00	0.00	75.00	40.0	30.00
07/27/2017	QGW002925 BATHRICK, CHARLES	ORACLE CORPORATION	B	10,075.22	75.00	0.00	75.00	40.0	30.00
07/27/2017	QGW002925 BATHRICK, CHARLES	SUNPOWER CORPORATION	B	3,300.00	75.00	0.00	75.00	40.0	30.00
07/28/2017	QGW002925 BATHRICK, CHARLES	JETBLUE AIRWAYS CORP	S	-4,398.00	75.00	0.00	75.00	40.0	30.00
07/28/2017	QGW002925 BATHRICK, CHARLES	UNITED STATES STL CORP NEW	S	-6,880.50	75.00	0.00	75.00	40.0	30.00
08/07/2017	QGW002925 BATHRICK, CHARLES	ROYAL GOLD INC	S	-8,483.85	75.00	0.00	75.00	40.0	30.00
08/10/2017	QGW002925 BATHRICK, CHARLES	ORACLE CORPORATION	S	-19,384.56	75.00	0.00	75.00	40.0	30.00
08/14/2017	QGW002925 BATHRICK, CHARLES	ALTABA INC COM	S	-11,750.70	75.00	0.00	75.00	40.0	30.00
08/14/2017	QGW002925 BATHRICK, CHARLES	CANADIAN SOLAR INC	S	-4,789.50	75.00	0.00	75.00	40.0	30.00
08/14/2017	QGW002925 BATHRICK, CHARLES	UNITED PARCEL SERVICE INC CL B	S	-22,618.00	75.00	0.00	75.00	40.0	30.00
08/28/2017	QGW002925 BATHRICK, CHARLES	SANDSTORM GOLD LTD COM NEW ISIN#CA8 B	B	4,726.50	75.00	0.00	75.00	40.0	30.00
07/27/2017	QGW002974 CAIN, ANTHONY	AUTOHOME INC SPONSORED ADR REPST CL S	S	-47,758.60	150.00	0.00	150.00	40.0	60.00
07/27/2017	QGW002974 CAIN, ANTHONY	BAIDU INC SPONSORED ADR REPSTG ORD S	S	-120,435.54	300.00	0.00	300.00	40.0	120.00
07/27/2017	QGW002974 CAIN, ANTHONY	BAOZUN INC AMERICAN DEPOSITARY SHAR S	S	-34,470.00	150.00	0.00	150.00	40.0	60.00
07/27/2017	QGW002974 CAIN, ANTHONY	SOHU.COM INC COMMON STOCK	S	-48,116.80	175.00	0.00	175.00	40.0	70.00
07/27/2017	QGW002974 CAIN, ANTHONY	SUNPOWER CORPORATION	B	11,006.60	150.00	0.00	150.00	40.0	60.00
07/27/2017	QGW002974 CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 B	B	70,338.20	150.00	0.00	150.00	40.0	60.00
07/28/2017	QGW002974 CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 B	B	71,139.50	150.00	0.00	150.00	40.0	60.00
07/31/2017	QGW002974 CAIN, ANTHONY	JD.COM INC SPONSORED ADR REPSTG COM B	B	89,423.80	250.00	0.00	250.00	40.0	100.00
08/01/2017	QGW002974 CAIN, ANTHONY	YY INC ADS REPSTG COM CL A ISIN#US9 S	S	-142,996.80	250.00	0.00	250.00	40.0	100.00
08/02/2017	QGW002974 CAIN, ANTHONY	BAIDU INC SPONSORED ADR REPSTG ORD B	B	54,936.70	150.00	0.00	150.00	40.0	60.00

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
08/02/2017	QGW002974	CAIN, ANTHONY							
		BAIDU INC SPONSORED ADR REPSTG ORD	S	-54,853.50	150.00	0.00	150.00	40.0	60.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		BAOZUN INC AMERICAN DEPOSITARY SHAR	S	-60,441.60	250.00	0.00	250.00	40.0	100.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		JD.COM INC SPONSORED ADR REPSTG COM	S	-90,828.00	250.00	0.00	250.00	40.0	100.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		MICROSOFT CORP	S	-71,541.90	150.00	0.00	150.00	40.0	60.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	148,738.00	350.00	0.00	350.00	40.0	140.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	S	-148,411.10	350.00	0.00	350.00	40.0	140.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		SINA CORPORATION FORMERLY SINA COM	S	-48,040.20	150.00	0.00	150.00	40.0	60.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		SUNPOWER CORPORATION	S	-9,251.00	150.00	0.00	150.00	40.0	60.00
08/02/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961	S	-77,700.50	175.00	0.00	175.00	40.0	70.00
08/03/2017	QGW002974	CAIN, ANTHONY							
		TENCENT HOLDINGS LIMITED UNSPONSORE	B	78,879.00	300.00	0.00	300.00	40.0	120.00
08/03/2017	QGW002974	CAIN, ANTHONY							
		YY INC ADS REPSTG COM CL A ISIN#US9	B	72,991.20	150.00	0.00	150.00	40.0	60.00
08/04/2017	QGW002974	CAIN, ANTHONY							
		ALIBABA GROUP HOLDING LTD SPONSORED	B	153,103.60	250.00	0.00	250.00	40.0	100.00
08/07/2017	QGW002974	CAIN, ANTHONY							
		ALIBABA GROUP HOLDING LTD SPONSORED	S	-157,525.70	250.00	0.00	250.00	40.0	100.00
08/07/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	153,353.80	350.00	0.00	350.00	40.0	140.00
08/07/2017	QGW002974	CAIN, ANTHONY							
		TENCENT HOLDINGS LIMITED UNSPONSORE	S	-82,020.20	300.00	0.00	300.00	40.0	120.00
08/07/2017	QGW002974	CAIN, ANTHONY							
		YY INC ADS REPSTG COM CL A ISIN#US9	S	-77,308.80	175.00	0.00	175.00	40.0	70.00
08/08/2017	QGW002974	CAIN, ANTHONY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX	B	22,850.00	250.00	0.00	250.00	40.0	100.00
08/08/2017	QGW002974	CAIN, ANTHONY							
		HOLLYFRONTIER CORP COM	B	59,205.00	250.00	0.00	250.00	40.0	100.00
08/09/2017	QGW002974	CAIN, ANTHONY							
		ALIBABA GROUP HOLDING LTD SPONSORED	B	156,877.30	250.00	0.00	250.00	40.0	100.00
08/09/2017	QGW002974	CAIN, ANTHONY							
		SINA CORPORATION FORMERLY SINA COM	S	-99,539.60	250.00	0.00	250.00	40.0	100.00
08/09/2017	QGW002974	CAIN, ANTHONY							
		SINA CORPORATION FORMERLY SINA COM	B	95,339.40	250.00	0.00	250.00	40.0	100.00
08/09/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961	S	-86,450.40	175.00	0.00	175.00	40.0	70.00
08/09/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961	B	84,290.00	175.00	0.00	175.00	40.0	70.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX	S	-25,504.80	250.00	0.00	250.00	40.0	100.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX	B	26,660.00	250.00	0.00	250.00	40.0	100.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	S	-142,824.45	250.00	0.00	250.00	40.0	100.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		SINA CORPORATION FORMERLY SINA COM	B	95,174.70	250.00	0.00	250.00	40.0	100.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		SINA CORPORATION FORMERLY SINA COM	S	-94,558.80	250.00	0.00	250.00	40.0	100.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961	S	-82,227.50	250.00	0.00	250.00	40.0	100.00
08/10/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961	B	82,708.70	175.00	0.00	175.00	40.0	70.00
08/11/2017	QGW002974	CAIN, ANTHONY							
		ALIBABA GROUP HOLDING LTD SPONSORED	S	-149,781.60	225.00	0.00	225.00	40.0	90.00
08/11/2017	QGW002974	CAIN, ANTHONY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX	B	26,494.00	250.00	0.00	250.00	40.0	100.00
08/11/2017	QGW002974	CAIN, ANTHONY							
		HOLLYFRONTIER CORP COM	S	-56,838.20	250.00	0.00	250.00	40.0	100.00
08/14/2017	QGW002974	CAIN, ANTHONY							
		BAIDU INC SPONSORED ADR REPSTG ORD	B	223,286.00	350.00	0.00	350.00	40.0	140.00
08/14/2017	QGW002974	CAIN, ANTHONY							
		BARCLAYS BANK PLC IPATH S&P 500 VIX	S	-50,440.00	450.00	0.00	450.00	40.0	180.00
08/14/2017	QGW002974	CAIN, ANTHONY							
		CANADIAN SOLAR INC	S	-16,310.00	150.00	0.00	150.00	40.0	60.00
08/14/2017	QGW002974	CAIN, ANTHONY							
		NVIDIA CORP	B	160,629.50	225.00	0.00	225.00	40.0	90.00
08/15/2017	QGW002974	CAIN, ANTHONY							
		BAIDU INC SPONSORED ADR REPSTG ORD	S	-224,704.20	350.00	0.00	350.00	40.0	140.00
08/15/2017	QGW002974	CAIN, ANTHONY							
		CHINA LODGING GROUP LTD SPONSORED	A B	99,905.50	175.00	0.00	175.00	40.0	70.00
08/15/2017	QGW002974	CAIN, ANTHONY							
		CHINA LODGING GROUP LTD SPONSORED	A S	-97,438.20	175.00	0.00	175.00	40.0	70.00

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
08/15/2017	QGW002974	CAIN, ANTHONY		NVIDIA CORP	S	-167,577.10	225.00	0.00	225.00 40.0 90.00
08/16/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD	B	227,382.60	225.00	0.00	225.00 40.0 90.00
08/16/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD	S	-227,237.40	225.00	0.00	225.00 40.0 90.00
08/18/2017	QGW002974	CAIN, ANTHONY		BARCLAYS BANK PLC IPATH S&P 500 VIX	B	26,875.20	225.00	0.00	225.00 40.0 90.00
08/18/2017	QGW002974	CAIN, ANTHONY		BARCLAYS BANK PLC IPATH S&P 500 VIX	S	-26,206.20	225.00	0.00	225.00 40.0 90.00
08/18/2017	QGW002974	CAIN, ANTHONY		FRANCO-NEVADA CORPORATION	S	-78,381.40	200.00	0.00	200.00 40.0 80.00
08/18/2017	QGW002974	CAIN, ANTHONY		FRANCO-NEVADA CORPORATION	B	78,597.70	200.00	0.00	200.00 40.0 80.00
08/21/2017	QGW002974	CAIN, ANTHONY		BAOZUN INC AMERICAN DEPOSITARY SHAR	B	67,049.80	250.00	0.00	250.00 40.0 100.00
08/21/2017	QGW002974	CAIN, ANTHONY		CTRIIP.COM INTL LTD AMERICAN DEP SHS	B	51,898.20	150.00	0.00	150.00 40.0 60.00
08/21/2017	QGW002974	CAIN, ANTHONY		JD.COM INC SPONSORED ADR REPSTG COM	B	81,059.00	250.00	0.00	250.00 40.0 100.00
08/21/2017	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE	B	83,620.00	300.00	0.00	300.00 40.0 120.00
08/21/2017	QGW002974	CAIN, ANTHONY		VANECK VECTORS ETF TR GOLD MINERS E	B	23,256.30	175.00	0.00	175.00 40.0 70.00
08/22/2017	QGW002974	CAIN, ANTHONY		BAOZUN INC AMERICAN DEPOSITARY SHAR	B	31,460.10	150.00	0.00	150.00 40.0 60.00
08/22/2017	QGW002974	CAIN, ANTHONY		BAOZUN INC AMERICAN DEPOSITARY SHAR	S	-78,579.30	150.00	0.00	150.00 40.0 60.00
08/22/2017	QGW002974	CAIN, ANTHONY		CTRIIP.COM INTL LTD AMERICAN DEP SHS	S	-52,473.40	150.00	0.00	150.00 40.0 60.00
08/23/2017	QGW002974	CAIN, ANTHONY		ALIBABA GROUP HOLDING LTD SPONSORED	B	174,970.40	250.00	0.00	250.00 40.0 100.00
08/23/2017	QGW002974	CAIN, ANTHONY		BERKSHIRE HATHAWAY INC DEL CL	B	179,872.70	250.00	0.00	250.00 40.0 100.00
08/23/2017	QGW002974	CAIN, ANTHONY		CIENA CORPORATION	B	47,670.00	250.00	0.00	250.00 40.0 100.00
08/23/2017	QGW002974	CAIN, ANTHONY		JD.COM INC SPONSORED ADR REPSTG COM	S	-84,034.00	250.00	0.00	250.00 40.0 100.00
08/23/2017	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE	S	-84,910.00	250.00	0.00	250.00 40.0 100.00
08/25/2017	QGW002974	CAIN, ANTHONY		ALIBABA GROUP HOLDING LTD SPONSORED	B	86,206.00	150.00	0.00	150.00 40.0 60.00
08/28/2017	QGW002974	CAIN, ANTHONY		AGNICO-EAGLE MINES LTD	B	48,214.40	150.00	0.00	150.00 40.0 60.00
08/08/2017	QGW003345	COOKE, ROBERT		ROYAL GOLD INC	B	42,425.00	75.00	0.00	75.00 40.0 30.00
08/09/2017	QGW003345	COOKE, ROBERT		ROYAL GOLD INC	B	42,414.00	75.00	0.00	75.00 40.0 30.00
08/21/2017	QGW003345	COOKE, ROBERT		ROYAL GOLD INC	S	-43,535.00	75.00	0.00	75.00 40.0 30.00
08/24/2017	QGW003345	COOKE, ROBERT		ROYAL GOLD INC	S	-44,085.00	75.00	0.00	75.00 40.0 30.00
08/15/2017	QGW003576	AIELLO, JOSEPH		AGNICO-EAGLE MINES LTD	S	-45,540.70	100.00	0.00	100.00 40.0 40.00
07/27/2017	QGW004392	VALENTINE, OLIVIA		LIGAND PHARMACEUTICALS INC	S	-60,710.35	150.00	0.00	150.00 40.0 60.00
08/11/2017	QGW004392	VALENTINE, OLIVIA		ALIBABA GROUP HOLDING LTD SPONSORED	S	-74,314.95	150.00	0.00	150.00 40.0 60.00
08/11/2017	QGW004392	VALENTINE, OLIVIA		BHP BILLITON LTD SPONSORED ADR	S	-40,592.49	150.00	0.00	150.00 40.0 60.00
08/22/2017	QGW004392	VALENTINE, OLIVIA		ARCONIC INC COM	B	51,070.00	250.00	0.00	250.00 40.0 100.00
08/25/2017	QGW004392	VALENTINE, OLIVIA		ALIBABA GROUP HOLDING LTD SPONSORED	B	173,127.20	250.00	0.00	250.00 40.0 100.00
08/15/2017	QGW008468	DRUERY, MARIE		WEYERHAEUSER CO	S	-4,774.52	200.00	0.00	200.00 40.0 80.00
08/09/2017	QGW021990	STEINMETZ, GEORGE		ALIBABA GROUP HOLDING LTD SPONSORED	S	-9,399.60	60.00	0.00	60.00 40.0 24.00
08/10/2017	QGW021990	STEINMETZ, GEORGE		DEUTSCHE X-TRACKERS HARVEST CSI 300	S	-13,980.50	60.00	0.00	60.00 40.0 24.00
08/10/2017	QGW021990	STEINMETZ, GEORGE		ISHARES MSCI BRAZIL CAPPED ETF	S	-15,080.96	60.00	0.00	60.00 40.0 24.00
08/17/2017	QGW021990	STEINMETZ, GEORGE		YY INC ADS REPSTG COM CL A ISIN#US9	B	14,392.96	60.00	0.00	60.00 40.0 24.00
08/21/2017	QGW021990	STEINMETZ, GEORGE		VANECK VECTORS ETF TR GOLD MINERS E	B	13,941.90	60.00	0.00	60.00 40.0 24.00

\* STOCKS SUBTOTAL \*

\$-318,633.55

\$22,193.00

\$0.00

\$22,193.00

\$8,877.20

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/17/2017	QGW002511	SKELLY, HENRY		COLUMBIA NEW YORK TAX EXEMPT FUND C B	0.00	13.28	0.00	13.28	40.0	5.31
08/17/2017	QGW002776	ROBERTS, JAMES		FEDERATED PRUDENT BEAR FUND CLASS A B	0.00	0.98	0.00	0.98	40.0	0.39
08/29/2017	QGW002776	ROBERTS, JAMES		VOYA GLOBAL EQUITY FUND CLASS A B	0.00	0.46	0.00	0.46	40.0	0.18
08/10/2017	QGW008468	DRUERY, MARIE		LOOMIS SAYLES INVESTMENT GRADE BOND B	0.00	2.09	0.00	2.09	40.0	0.84
08/17/2017	QGW022113	ROBERTS, PAGE		FEDERATED PRUDENT BEAR FUND CLASS A B	0.00	0.45	0.00	0.45	40.0	0.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$17.26	\$0.00	\$17.26		\$6.90
*** BROKER TRANSACTIONS TOTAL ***					\$-318,633.55	\$22,270.26	\$0.00	\$22,270.26		\$8,908.10

ADJUSTMENTS

08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,908.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-27.84
SIPC Assessment	\$-55.68

Check Amount	\$8,804.58
Year-to-date Earnings	\$19,326.72