



Concorde Investment Services, LLC
COMMISSION STATEMENT
 May 15, 2017

05/11/2017
 Page No. 1

TIMOTHY STAUFFER
 333 Fiddlers Cove

BROKER #: S118
 BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
04/21/2017	WHITNEY, DAVID	INLAND SELF STORAGE PF IV DST	70,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
05/08/2017	WHITNEY, DAVID	INLAND SELF STORAGE PF IV DST	10,000.00	500.00	0.00	500.00	90.0	450.00
05/08/2017	WHITNEY, DAVID	INLAND SELF STORAGE PF IV DST	-70,000.00	-3,500.00	0.00	-3,500.00	90.0	-3,150.00
* 1031 EXCHANGE SUBTOTAL *			\$10,000.00	\$500.00	\$0.00	\$500.00		\$450.00
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
04/28/2017	WHITNEY, DIERDRE	SIERRA INCOME CORPORATION FUND	12,900.00	903.00	0.00	903.00	90.0	812.70
05/05/2017	SCHMUCKER, AARON	SIERRA INCOME CORPORATION FUND	5,600.00	392.00	0.00	392.00	90.0	352.80
05/05/2017	SCHMUCKER, DELILAH	SIERRA INCOME CORPORATION FUND	5,350.00	374.50	0.00	374.50	90.0	337.05
* NON-TRADED BDC SUBTOTAL *			\$23,850.00	\$1,669.50	\$0.00	\$1,669.50		\$1,502.55
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<u>PRODUCT CATEGORY: STOCKS</u>								
04/28/2017	SCHMUCKER, AARON	SIERRA INCOME CORP	5,600.00	0.00	0.00	0.00	90.0	0.00
04/28/2017	SCHMUCKER, DELILAH	SIERRA INCOME CORP	5,350.00	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$10,950.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	WILD, WILLIAM	JNL ELITE ACCESS	97,336.03	40.56	0.00	40.56	90.0	36.50
04/30/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,175.18	5.66	0.00	5.66	90.0	5.09
04/30/2017	MOHR, AMY	JNL ELITE ACCESS	44,927.23	9.36	0.00	9.36	90.0	8.42
04/30/2017	LARKINS, TROY	JNL ELITE ACCESS	47,381.65	9.87	0.00	9.87	90.0	8.88
04/30/2017	ZEHR, PAUL &	JNL ELITE ACCESS	29,883.33	6.23	0.00	6.23	90.0	5.61
04/30/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	26,347.87	5.49	0.00	5.49	90.0	4.94
04/30/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	32,358.31	6.74	0.00	6.74	90.0	6.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
04/30/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,317.04	11.80	0.00	11.80	90.0	10.62
04/30/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	323,070.53	134.61	0.00	134.61	90.0	121.15
04/30/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	13,845.62	5.77	0.00	5.77	90.0	5.19
04/30/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	83,919.83	34.97	0.00	34.97	90.0	31.47
04/30/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	81,420.22	33.93	0.00	33.93	90.0	30.54
04/30/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	143,338.54	59.72	0.00	59.72	90.0	53.75
04/30/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	213,675.53	89.03	0.00	89.03	90.0	80.13
04/30/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	140,460.30	58.53	0.00	58.53	90.0	52.68
04/30/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	139,373.81	58.07	0.00	58.07	90.0	52.26
04/30/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	82,871.10	34.53	0.00	34.53	90.0	31.08
04/30/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	90,914.31	37.88	0.00	37.88	90.0	34.09
04/30/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	45,947.24	19.14	0.00	19.14	90.0	17.23
04/30/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	85,018.19	35.42	0.00	35.42	90.0	31.88
04/30/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	45,665.02	19.03	0.00	19.03	90.0	17.13
04/30/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	147,416.87	122.85	0.00	122.85	90.0	110.57
04/30/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	65,343.66	54.45	0.00	54.45	90.0	49.01
04/30/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	102,029.38	85.02	0.00	85.02	90.0	76.52
04/30/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	202,586.64	168.82	0.00	168.82	90.0	151.94
04/30/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	190,201.47	158.50	0.00	158.50	90.0	142.65
04/17/2017	BLANCHARD, SUZETTE	PAC LIFE INNOVATIVE SELECT	0.00	590.44	0.00	590.44	90.0	531.40
04/27/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,579.90	16.32	0.00	16.32	90.0	14.69
* VARIABLE ANNUITIES SUBTOTAL *			\$2,550,404.80	\$1,912.74	\$0.00	\$1,912.74		\$1,721.49
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/28/2017	CROWDER, CHAR	PAC LIFE INNOVATIVE SELECT	0.00	100.82	0.00	100.82	90.0	90.74
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$100.82	\$0.00	\$100.82		\$90.74
*** BROKER TRANSACTIONS TOTAL ***			\$2,595,419.80	\$4,185.21	\$0.00	\$4,185.21		\$3,766.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-4.50
05/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-2.20
05/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-681.70

BROKER COMMISSION TOTALS

Broker Transactions	\$3,766.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-681.70
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,085.02
Year-to-date Gross	\$51,259.45
Year-to-date Earnings	\$45,728.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed