

**COMMISSION STATEMENT****January 25, 2018**

TRACY CUDA  
3200 N. Central Ave  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73670  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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**Company: Securities America****BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/25/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-250.00	0.00	-250.00	-91.38
01/25/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	1,006.55	0.00	1,006.55	367.89
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$756.55</u>	<u>\$0.00</u>	<u>\$756.55</u>	<u>\$276.51</u>

**PRODUCT CATEGORY: RIA**

01/25/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	3,640.47	0.00	3,640.47	1,330.59
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$3,640.47</u>	<u>\$0.00</u>	<u>\$3,640.47</u>	<u>\$1,330.59</u>

**PRODUCT CATEGORY: TRAILERS**

01/25/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	2,066.70	0.00	2,066.70	755.38
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$2,066.70</u>	<u>\$0.00</u>	<u>\$2,066.70</u>	<u>\$755.38</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$6,463.72</u>	<u>\$0.00</u>	<u>\$6,463.72</u>	<u>\$2,362.48</u>

**OVERRIDE TRANSACTIONS****BROKER: JODI GALLOVICH #73712**

01/25/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-50.00	0.00	-50.00	-6.73
01/25/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-184.88	0.00	-184.88	-24.87
01/25/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	184.33	0.00	184.33	24.79
01/25/2018	GALLOVICH (73712), JODI	SAI SECURITIES INCOME	0.00	280.00	0.00	280.00	37.66
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$229.45</u>	<u>\$0.00</u>	<u>\$229.45</u>	<u>\$30.85</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$229.45</u>	<u>\$0.00</u>	<u>\$229.45</u>	<u>\$30.85</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America (continued)

ADJUSTMENTS

13. SAI SIPC PE 1/12/18							-110.39
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-110.39

BROKER COMMISSION TOTALS

Broker Transactions	\$2,362.48
Split Transactions	\$0.00
Override Transactions	\$30.85
Adjustments	\$-110.39
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,282.94
Year-to-date Earnings	\$28,972.46