



Concorde Investment Services, LLC

02/14/2017
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COMMISSION STATEMENT

February 15, 2017

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
02/14/2017	WEBER, GLENDA	GPB WASTE MANAGEMENT LP	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,300.00
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>								
01/20/2017	WEBER, GLENDA	DD GPB WASTE MANAGEMENT LP	100,000.00	1,250.00	0.00	1,250.00	50.0	625.00
		* MISCELLANEOUS SUBTOTAL *	\$100,000.00	\$1,250.00	\$0.00	\$1,250.00		\$625.00
		*** BROKER TRANSACTIONS TOTAL ***	\$200,000.00	\$8,250.00	\$0.00	\$8,250.00		\$6,925.00
<u>ADJUSTMENTS</u>								
02/15/2017		*CONCIERGE SVC: 185915-WEBER	0.00					-50.00
02/15/2017		*CONCIERGE SVC: 185917-WEBER	0.00					-50.00
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
02/15/2017		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-100.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-776.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$6,925.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-776.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,148.50
Year-to-date Gross	\$30,708.04
Year-to-date Earnings	\$30,475.75