

## COMMISSION STATEMENT

March 31, 2018

JAY TOMLINSON  
474 GREGORY AVENUEBROKER #: 36  
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/22/2018	QGV001977	KAUFMANN LARGE CAP,	HALLIBURTON COMPANY	S	-7,810,925.97	6,686.12	10.00	6,676.12	70.0	4,670.28
03/22/2018	QGV005820	FEDERATED INVESTORS	HALLIBURTON COMPANY	S	-245,188.11	209.88	10.00	199.88	70.0	136.92
03/01/2018	QGW001778	INTERACTIVE BROKERS	EASTMAN KODAK COMPANY COM NEW	S	-63,716.90	130.00	10.00	120.00	70.0	81.00
03/15/2018	QGW001778	INTERACTIVE BROKERS	CANADIAN DOLLAR CURRENCY	B	-31,533.48	49.26	10.00	39.26	70.0	24.48
03/15/2018	QGW001778	INTERACTIVE BROKERS	CANADIAN DOLLAR CURRENCY	B	-7,172.04	11.18	10.00	1.18	70.0	-2.17
03/15/2018	QGW001778	INTERACTIVE BROKERS	CANADIAN DOLLAR CURRENCY	B	-2,099.26	3.27	10.00	-6.73	70.0	-7.71
03/15/2018	QGW001778	INTERACTIVE BROKERS	CANADIAN DOLLAR CURRENCY	B	-9,229.88	14.42	10.00	4.42	70.0	0.09
03/20/2018	QGW001778	INTERACTIVE BROKERS	CUE BIOPHARMA INC COM	S	-214,206.20	390.00	10.00	380.00	70.0	263.00
03/06/2018	QGW029704	ROJAS, RAFAEL	ASPEN GROUP INC COM NEW	S	-6,280.00	312.50	10.00	302.50	70.0	208.75
03/06/2018	QGW029704	ROJAS, RAFAEL	ASPEN GROUP INC COM NEW	S	6,280.00	-312.50	-10.00	-302.50	70.0	-208.75
03/06/2018	QGW029704	ROJAS, RAFAEL	ASPEN GROUP INC COM NEW	S	-6,280.00	25.00	10.00	15.00	70.0	7.50
03/06/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	340.00	8.50	10.00	-1.50	70.0	-4.05
* STOCKS SUBTOTAL *					\$-8,390,011.84	\$7,527.63	\$100.00	\$7,427.63		\$5,169.34
*** BROKER TRANSACTIONS TOTAL ***					\$-8,390,011.84	\$7,527.63	\$100.00	\$7,427.63		\$5,169.34

ADJUSTMENTS

03/31/2018	LICENSING FEE: LICENSING FEE - VA	0.00	0.00	0.00	0.00	-40.00
03/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
03/31/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	-154.44
03/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
03/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-647.44

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,169.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-647.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.41
SIPC Assessment	\$-18.82

<b>Check Amount</b>	<b>\$4,493.67</b>
<b>Year-to-date Earnings</b>	<b>\$-1,067.18</b>