

## COMMISSION STATEMENT

April 30, 2017

STEPHEN MOSS  
1336 MARYLAND AVENUE

HVERTOWN, PA 19082

BROKER #:  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/10/2017	75501878	MORRIS WEISMAN TTEE	DELAWARE EXTENDED DURATION BOND FUN S	3,614.46	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	DELAWARE SMALL CAP VALUE FUND A CLA S	1,595.80	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	FIDELITY CONTRA FUND S	12,001.72	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	FIDELITY CAPITAL & INCOME FUND S	4,141.55	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	NICHOLAS EQUITY INCOME FUND INC CLA S	5,409.93	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	NUVEEN DIVIDEND VALUE FUND CL I S	14,986.79	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	TWEEDY BROWNE FUND INC GLOBAL VALUE S	1,945.70	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	VANGUARD INDEX TRUST SMALL CAPITLZA S	3,747.85	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	WEITZ FDS SHORT INTERMEDIATE INCOME S	987.74	0.00	0.00	0.00	50.0	0.00
04/06/2017	75501900	STRICKLER, CHARLES	MAINSTAY FDS TR EPOCH US ALL CAP FD B	188.16	8.94	0.00	8.94	50.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$48,619.70</b>	<b>\$8.94</b>	<b>\$0.00</b>	<b>\$8.94</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: STOCKS**

04/17/2017	75501796	MOSS, KATHLEEN	ISHARES FLOATING RATE BOND ETF S	6,558.37	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES IBOX \$ HIGH YIELD CORPORAT S	6,647.15	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES MSCI EAFE ETF B	2,912.00	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES MSCI EMERGING MARKETS ETF B	2,919.86	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES RUSSELL 2000 ETF S	3,768.87	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES S&P SMALL CAP 600 VALUE ETF S	4,180.35	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES S&P SMALL CAP 600 GROWTH ET S	4,176.76	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES S&P MID CAP 400 VALUE ETF S	3,498.54	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES S&P500 GROWTH INDEX FD B	2,878.26	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES U S FINANCIALS ETF S	6,151.95	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES U S HEALTHCARE ETF S	932.48	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES U S CONSUMER GOODS ETF B	5,220.49	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES U S UTILITIES ETF B	5,176.00	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES U S BASIC MATERIALS ETF S	5,844.42	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	ISHARES U S TECHNOLOGY ETF S	1,066.48	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	POWERSHARES QQQ TRUST SERIES 1 B	3,147.30	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	POWERSHARES PREFERRED PORTFOLIO B	6,115.81	0.00	0.00	0.00	50.0	0.00
04/17/2017	75501796	MOSS, KATHLEEN	SPDR DOW JONES INDL AVERAGE ETF TR B	3,082.76	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/17/2017	75501796	MOSS, KATHLEEN		SPDR S&P 500 ETF TRUST	B	2,805.93	0.00	0.00	0.00 50.0 0.00
04/17/2017	75501796	MOSS, KATHLEEN		SPDR SER TR BLOOMBERG BARCLAYS INTL B		5,940.26	0.00	0.00	0.00 50.0 0.00
04/17/2017	75501796	MOSS, KATHLEEN		SPDR SERIES TRUST SPDR BLOOMBERG BA S		6,537.02	0.00	0.00	0.00 50.0 0.00
04/17/2017	75501796	MOSS, KATHLEEN		STANDARD & POORS MIDCAP 400 SPDR S& S		4,000.78	0.00	0.00	0.00 50.0 0.00
04/17/2017	75501796	MOSS, KATHLEEN		VANECK VECTORS ETF TR HIGH YIELD MU B		5,939.77	0.00	0.00	0.00 50.0 0.00
03/29/2017	75501798	HERTEN, TIMOTHY		FIREEYE INC COM	B	1,007.99	50.00	0.00	50.00 50.0 0.00
03/29/2017	75501798	HERTEN, TIMOTHY		KITE PHARMA INC COM	B	1,012.44	50.00	0.00	50.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES IBOXX \$ HIGH YIELD CORPORAT S		9,113.87	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES MSCI EAFE ETF	B	5,372.90	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES MSCI EMERGING MARKETS ETF	B	5,395.33	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES RUSSELL 2000 ETF	S	5,182.16	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES S&P SMALL CAP 600 GROWTH ET S		5,439.60	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES S&P MID CAP 400 VALUE ETF	S	5,451.67	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES S&P500 GROWTH INDEX FD	B	5,391.50	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES U S FINANCIALS ETF	S	8,168.80	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES U S HEALTHCARE ETF	B	1,392.01	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES U S CONSUMER GOODS ETF	B	9,562.20	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES U S UTILITIES ETF	B	9,606.67	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		ISHARES U S BASIC MATERIALS ETF	S	8,228.77	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		POWERSHARES QQQ TRUST SERIES 1	B	5,815.37	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		POWERSHARES PREFERRED PORTFOLIO	S	3,038.25	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		SPDR S&P 500 ETF TRUST	B	5,401.72	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		SPDR SER TR BLOOMBERG BARCLAYS INTL B		6,326.68	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		SPDR SERIES TRUST SPDR BLOOMBERG BA S		9,096.97	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		STANDARD & POORS MIDCAP 400 SPDR S& S		5,291.29	0.00	0.00	0.00 50.0 0.00
04/19/2017	75501801	JOHN W SCHULTZ TTEE		VANECK VECTORS ETF TR HIGH YIELD MU B		6,346.86	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES FLOATING RATE BOND ETF	S	7,219.29	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES IBOXX \$ HIGH YIELD CORPORAT S		7,417.31	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES MSCI EAFE ETF	B	3,561.06	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES MSCI EMERGING MARKETS ETF	B	3,554.23	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES RUSSELL 2000 ETF	S	4,162.45	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES S&P SMALL CAP 600 VALUE ETF S		4,171.61	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES S&P SMALL CAP 600 GROWTH ET S		4,021.65	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES S&P MID CAP 400 VALUE ETF	S	3,926.14	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES S&P500 GROWTH INDEX FD	B	3,525.12	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES U S FINANCIALS ETF	S	6,646.41	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES U S CONSUMER GOODS ETF	B	6,320.12	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES U S UTILITIES ETF	B	6,379.80	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES U S BASIC MATERIALS ETF	S	7,297.93	0.00	0.00	0.00 50.0 0.00
04/18/2017	75501802	MCELREAVEY, DANIEL		ISHARES U S TECHNOLOGY ETF	S	1,067.12	0.00	0.00	0.00 50.0 0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/18/2017	75501802	MCELREAVEY, DANIEL	POWERSHARES QQQ TRUST SERIES 1	B	3,798.93	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	POWERSHARES PREFERRED PORTFOLIO	B	7,438.39	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	SPDR DOW JONES INDL AVERAGE ETF TR	B	3,681.14	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	SPDR S&P 500 ETF TRUST	B	3,496.43	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	7,223.39	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	SPDR SERIES TRUST SPDR BLOOMBERG BA S	S	7,222.72	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	STANDARD & POORS MIDCAP 400 SPDR S& S	S	3,687.87	0.00	0.00	0.00	50.0	0.00
04/18/2017	75501802	MCELREAVEY, DANIEL	VANECK VECTORS ETF TR HIGH YIELD MU B	B	7,215.62	0.00	0.00	0.00	50.0	0.00
03/31/2017	75501813	IRWIN, LYNDA	SPDR INDEX SHS FDS S&P WORLD EX-US	S	110.92	0.00	0.00	0.00	50.0	0.00
03/31/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	114.28	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES FLOATING RATE BOND ETF	S	25,430.76	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES IBOXX \$ HIGH YIELD CORPORAT S	S	26,191.02	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES MSCI EAFE ETF	B	9,558.26	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES MSCI EMERGING MARKETS ETF	B	9,570.28	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES RUSSELL 2000 ETF	S	9,272.65	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES S&P SMALL CAP 600 VALUE ETF S	S	9,130.77	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES S&P SMALL CAP 600 GROWTH ET S	S	9,946.86	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES S&P MID CAP 400 VALUE ETF	S	9,870.61	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES S&P500 GROWTH INDEX FD	B	9,461.51	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES U S FINANCIALS ETF	S	14,725.41	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES U S HEALTHCARE ETF	B	2,182.71	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES U S CONSUMER GOODS ETF	B	17,025.09	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES U S UTILITIES ETF	B	17,039.30	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	ISHARES U S BASIC MATERIALS ETF	S	13,447.46	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	POWERSHARES QQQ TRUST SERIES 1	B	10,186.14	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	POWERSHARES PREFERRED PORTFOLIO	B	19,910.10	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	SPDR DOW JONES INDL AVERAGE ETF TR	B	10,098.78	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	SPDR S&P 500 ETF TRUST	B	9,407.50	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	19,281.23	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BA S	S	25,456.57	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	STANDARD & POORS MIDCAP 400 SPDR S& S	S	11,804.70	0.00	0.00	0.00	50.0	0.00
04/03/2017	75501875	RALPH G BARTO TRUST	VANECK VECTORS ETF TR HIGH YIELD MU B	B	19,295.98	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	FIRST TR EXCHANGE TRADED FD II HEAL S	S	6,055.95	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES MSCI EAFE ETF	B	3,646.05	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES MSCI EMERGING MARKETS ETF	B	3,643.51	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	B	3,554.28	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	B	6,541.82	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER GOODS ETF	B	6,510.76	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S UTILITIES ETF	B	6,439.00	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	B	3,663.35	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/10/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	B	6,453.60	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	B	3,836.34	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	POWERSHARES PREFERRED PORTFOLIO	B	4,451.02	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	B	3,930.10	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	B	3,535.46	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	4,308.96	0.00	0.00	0.00	50.0	0.00
04/10/2017	75501878	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B	B	4,319.89	0.00	0.00	0.00	50.0	0.00
03/31/2017	75501932	CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US	S	27.73	0.00	0.00	0.00	50.0	0.00
03/31/2017	75501932	CUNICELLI, EDWARD	SPDR RUSSELL 2000 ETF	B	81.62	0.00	0.00	0.00	50.0	0.00
03/31/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	S	45.72	0.00	0.00	0.00	50.0	0.00
03/31/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P WORLD EX-US	S	83.19	0.00	0.00	0.00	50.0	0.00
04/24/2017	75502025	THIEU, MINH	FIRST TR EXCHANGE TRADED FD II HEAL S	S	624.43	0.00	0.00	0.00	50.0	0.00
03/31/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P WORLD EX-US	S	110.92	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES MSCI EAFE ETF	B	8,799.26	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES MSCI EMERGING MARKETS ETF	B	8,803.28	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES RUSSELL 2000 ETF	S	14,144.35	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES S&P SMALL CAP 600 GROWTH ET S	S	13,986.27	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES S&P500 GROWTH INDEX FD	B	8,781.68	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES U S FINANCIALS ETF	S	17,466.72	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES U S HEALTHCARE ETF	S	2,175.08	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES U S CONSUMER GOODS ETF	B	15,707.27	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES U S UTILITIES ETF	B	15,729.86	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES U S BASIC MATERIALS ETF	S	9,168.65	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	ISHARES U S TECHNOLOGY ETF	S	2,690.00	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	POWERSHARES QQQ TRUST SERIES 1	S	5,277.70	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	POWERSHARES PREFERRED PORTFOLIO	B	6,023.48	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	POWERSHARES GLOBAL EXCHANGE TRADED	S	11,923.74	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	SPDR DOW JONES INDL AVERAGE ETF TR	S	4,932.30	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	SPDR S&P 500 ETF TRUST	B	8,677.89	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	17,815.04	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	SPDR SERIES TRUST SPDR BLOOMBERG BA S	S	11,837.18	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	STANDARD & POORS MIDCAP 400 SPDR S& S	S	13,944.71	0.00	0.00	0.00	50.0	0.00
04/03/2017	75502095	VERBITSKI, THOMAS	VANECK VECTORS ETF TR HIGH YIELD MU B	B	17,819.40	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					\$930,265.84	\$100.00	\$0.00	\$100.00		\$0.00
PRODUCT CATEGORY: BONDS										
03/24/2017	75502095	VERBITSKI, THOMAS	GOLDMAN SACHS GROUP INC -N STEP 20	S	-30,000.00	0.00	0.00	0.00	50.0	0.00
03/24/2017	75502095	VERBITSKI, THOMAS	GOLDMAN SACHS GROUP INC -N STEP 20	B	30,000.00	0.00	0.00	0.00	50.0	0.00
* BONDS SUBTOTAL *					\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/18/2017	75501796	MOSS, KATHLEEN	FAS FEES	B	-176.93	176.93	0.00	176.93	50.0	88.47
04/18/2017	75501797	HERTEN, TIMOTHY	FAS FEES	B	-102.16	102.16	0.00	102.16	50.0	51.08
04/18/2017	75501799	HERTEN, TIMOTHY	FAS FEES	B	-106.26	106.26	0.00	106.26	50.0	53.13
04/18/2017	75501801	JOHN W SCHULTZ TTEE	FAS FEES	B	-299.16	299.16	0.00	299.16	50.0	149.58
04/18/2017	75501802	MCELREAVEY, DANIEL	FAS FEES	B	-228.92	228.92	0.00	228.92	50.0	114.46
04/18/2017	75501873	BESETH, DAVID	FAS FEES	B	-388.37	388.37	0.00	388.37	50.0	194.19
04/18/2017	75501875	RALPH G BARTO TRUST	FAS FEES	B	-551.22	551.22	0.00	551.22	50.0	275.61
04/18/2017	75501877	MORRIS WEISMAN TTEE	FAS FEES	B	-1,856.16	1,856.16	0.00	1,856.16	50.0	928.08
04/18/2017	75501878	MORRIS WEISMAN TTEE	FAS FEES	B	-189.39	189.39	0.00	189.39	50.0	94.70
04/18/2017	75501907	HESTON, EUGENE	FAS FEES	B	-359.95	359.95	0.00	359.95	50.0	179.98
04/18/2017	75501922	HAFENMAIER, HELEN	FAS FEES	B	-245.45	245.45	0.00	245.45	50.0	122.73
04/18/2017	75501924	CUNNINGHAM, WENDY	FAS FEES	B	-319.32	319.32	0.00	319.32	50.0	159.66
04/18/2017	75501932	CUNICELLI, EDWARD	FAS FEES	B	-17.35	17.35	0.00	17.35	50.0	0.00
04/18/2017	75501937	BERG, ELIZABETH	FAS FEES	B	-422.59	422.59	0.00	422.59	50.0	211.30
04/18/2017	75501944	EDWARD W CUNICELLI	FAS FEES	B	-258.04	258.04	0.00	258.04	50.0	129.02
04/18/2017	75501945	EDWARD W CUNICELLI	FAS FEES	B	-64.29	64.29	0.00	64.29	50.0	32.15
04/18/2017	75502025	THIEU, MINH	FAS FEES	B	-417.77	417.77	0.00	417.77	50.0	208.89
04/18/2017	75502095	VERBITSKI, THOMAS	FAS FEES	B	-825.09	825.09	0.00	825.09	50.0	412.55
* RIA SUBTOTAL *					\$-6,828.42	\$6,828.42	\$0.00	\$6,828.42		\$3,405.58

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

04/11/2017	75501532	LUMSDEN, CECILIA	FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	3.99	0.00	3.99	50.0	2.00
04/11/2017	75501795	SPILLANE, TIMOTHY	FRANKLIN MODERATE ALLOCATION FD CL	B	0.00	0.66	0.00	0.66	50.0	0.00
04/11/2017	75501815	TAMBURRO, ALBERT	**JPMORGAN TR I	B	0.00	1.18	0.00	1.18	50.0	0.59
04/11/2017	75501874	ULIRCUIS, WILLIAM	MFS PENNSYLVANIA MUNICIPAL BOND FUN B	B	0.00	0.30	0.00	0.30	50.0	0.00
04/04/2017	75501877	MORRIS WEISMAN TTEE	SSGA S&P 500 INDEX FUND	B	0.00	1.13	0.00	1.13	50.0	0.57
04/11/2017	75501877	MORRIS WEISMAN TTEE	BLACKROCK EQUITY DIVIDEND FUND CL A B	B	0.00	7.29	0.00	7.29	50.0	3.65
04/11/2017	75501877	MORRIS WEISMAN TTEE	MFS EMERGING GROWTH FUND CLASS A	B	0.00	3.51	0.00	3.51	50.0	1.76
04/11/2017	75501877	MORRIS WEISMAN TTEE	OPPENHEIMER DEVELOPING MARKETS CLAS B	B	0.00	3.22	0.00	3.22	50.0	1.61
04/11/2017	75501877	MORRIS WEISMAN TTEE	WELLS FARGO SPECIALIZED TECHNOLOGY	B	0.00	2.25	0.00	2.25	50.0	1.13
04/12/2017	75501877	MORRIS WEISMAN TTEE	DELAWARE EXTENDED DURATION BOND FUN B	B	0.00	6.43	0.00	6.43	50.0	3.22
04/12/2017	75501877	MORRIS WEISMAN TTEE	DELAWARE SMALL CAP VALUE FUND A CLA B	B	0.00	2.41	0.00	2.41	50.0	1.21
04/26/2017	75501877	MORRIS WEISMAN TTEE	**VICTORY PORTFOLIOS MUNDER	B	0.00	1.02	0.00	1.02	50.0	0.51
04/12/2017	75501878	MORRIS WEISMAN TTEE	DELAWARE EXTENDED DURATION BOND FUN B	B	0.00	0.76	0.00	0.76	50.0	0.00
04/12/2017	75501878	MORRIS WEISMAN TTEE	DELAWARE SMALL CAP VALUE FUND A CLA B	B	0.00	0.34	0.00	0.34	50.0	0.00
04/11/2017	75501900	STRICKLER, CHARLES	MAINSTAY FDS TR EPOCH US ALL CAP FD B	B	0.00	2.36	0.00	2.36	50.0	1.18
04/11/2017	75502016	WEST, JEFFREY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.46	0.00	0.46	50.0	0.00
04/11/2017	75502016	WEST, JEFFREY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.16	0.00	0.16	50.0	0.00
04/11/2017	75502017	WEST, KELLY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.46	0.00	0.46	50.0	0.00
04/11/2017	75502017	WEST, KELLY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.16	0.00	0.16	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/11/2017	75502025 THIEU, MINH	**GABELLI EQUITY SERIES FUNDS	B	0.00	2.31	0.00	2.31	50.0	1.16
04/11/2017	75502025 THIEU, MINH	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	0.53	0.00	0.53	50.0	0.00
04/12/2017	75502025 THIEU, MINH	DELAWARE EXTENDED DURATION BOND FUN	B	0.00	0.87	0.00	0.87	50.0	0.00
04/12/2017	75502025 THIEU, MINH	DELAWARE SMALL CAP VALUE FUND A CLA	B	0.00	0.35	0.00	0.35	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$42.15	\$0.00	\$42.15		\$18.59
*** BROKER TRANSACTIONS TOTAL ***				\$972,057.12	\$6,979.51	\$0.00	\$6,979.51		\$3,424.17

BROKER COMMISSION TOTALS

Broker Transactions	\$3,424.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.72
SIPC Assessment	\$-130.87

Check Amount	\$3,284.58
Year-to-date Earnings	\$3,811.85