



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 31, 2018

07/27/2018
 Page No. 1

DAVID MIKE, B132 BREEDLOVE
 1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132
 BRANCH: DAVID MICHAEL BREEDL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------|-------------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>CLEARING TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/23/2018 | CAPEL, JIMMY | PIMCO INCOME FUND CLASS A | 50,000.00 | 750.00 | 90.0 | 675.00 | 22.00 | 653.00 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$50,000.00 | \$750.00 | | \$675.00 | \$22.00 | \$653.00 |
| | | *** CLEARING TRANSACTIONS TOTAL *** | \$50,000.00 | \$750.00 | | \$675.00 | \$22.00 | \$653.00 |

| | | | | | | | | |
|--|-----------------------|-----------------------------------|------------|-------|------|-------|------|-------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 06/19/2018 | LADNER TRUST | BR TOTAL INC & REAL ESTATE FUND A | 286.71 | 14.34 | 90.0 | 12.91 | 0.00 | 12.91 |
| 06/30/2018 | HOWARD, JOHN | BR TOTAL INC & REAL ESTATE FUND A | 49,809.60 | 10.23 | 90.0 | 9.21 | 0.00 | 9.21 |
| 06/30/2018 | CAPEL, JIMMY | BR TOTAL INC & REAL ESTATE FUND A | 70,846.71 | 14.56 | 90.0 | 13.10 | 0.00 | 13.10 |
| 06/30/2018 | HOWARD, JOHN | BR TOTAL INC & REAL ESTATE FUND A | 12,901.26 | 2.65 | 90.0 | 2.39 | 0.00 | 2.39 |
| 06/30/2018 | LPO-LCO LLC | BR TOTAL INC & REAL ESTATE FUND A | 6,964.99 | 1.43 | 90.0 | 1.29 | 0.00 | 1.29 |
| 06/30/2018 | LPO-JBO LLC | BR TOTAL INC & REAL ESTATE FUND A | 6,892.48 | 1.42 | 90.0 | 1.28 | 0.00 | 1.28 |
| 06/30/2018 | TMICO | BR TOTAL INC & REAL ESTATE FUND A | 28,864.17 | 5.93 | 90.0 | 5.34 | 0.00 | 5.34 |
| 06/30/2018 | CANNON, CASSIE | BR TOTAL INC & REAL ESTATE FUND A | 11,642.71 | 2.39 | 90.0 | 2.15 | 0.00 | 2.15 |
| 06/30/2018 | CANNON, COLE | BR TOTAL INC & REAL ESTATE FUND A | 11,642.71 | 2.39 | 90.0 | 2.15 | 0.00 | 2.15 |
| 06/30/2018 | LABARBA TRUST, CHERYL | BR TOTAL INC & REAL ESTATE FUND A | 46,141.45 | 9.48 | 90.0 | 8.53 | 0.00 | 8.53 |
| 06/30/2018 | CALDWELL, KIT | BR TOTAL INC & REAL ESTATE FUND A | 87,636.58 | 18.01 | 90.0 | 16.21 | 0.00 | 16.21 |
| 06/30/2018 | TMICO | BR TOTAL INC & REAL ESTATE FUND A | 174,725.01 | 35.90 | 90.0 | 32.31 | 0.00 | 32.31 |
| 06/30/2018 | TMICO | BR TOTAL INC & REAL ESTATE FUND A | 25,577.97 | 5.26 | 90.0 | 4.73 | 0.00 | 4.73 |
| 06/30/2018 | TMICO | BR TOTAL INC & REAL ESTATE FUND A | 4,664.65 | 0.96 | 90.0 | 0.86 | 0.00 | 0.86 |
| 06/30/2018 | LADNER TRUST | BR TOTAL INC & REAL ESTATE FUND A | 78,278.13 | 16.08 | 90.0 | 14.47 | 0.00 | 14.47 |
| 06/30/2018 | YOJOHN INVESTMENTS | BR TOTAL INC & REAL ESTATE FUND A | 106,963.21 | 21.98 | 90.0 | 19.78 | 0.00 | 19.78 |
| 06/30/2018 | BRYANT, JOCELYN | BR TOTAL INC & REAL ESTATE FUND A | 10,400.13 | 2.14 | 90.0 | 1.93 | 0.00 | 1.93 |
| 06/30/2018 | GHRIST, TIMOTHY | BR TOTAL INC & REAL ESTATE FUND A | 20,445.56 | 4.20 | 90.0 | 3.78 | 0.00 | 3.78 |
| 06/30/2018 | GHRIST, ANTOINETTE | BR TOTAL INC & REAL ESTATE FUND A | 8,258.58 | 1.70 | 90.0 | 1.53 | 0.00 | 1.53 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|---------------------|--|----------------------|---------------------|------|--------------------|--------------------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 06/30/2018 | GHRIST, TIMOTHY | BR TOTAL INC & REAL ESTATE FUND A | 15,269.40 | 3.14 | 90.0 | 2.83 | 0.00 | 2.83 |
| 06/30/2018 | LADNER, JULIE | BR TOTAL INC & REAL ESTATE FUND A | 11,236.72 | 2.31 | 90.0 | 2.08 | 0.00 | 2.08 |
| * INTERVAL FUNDS SUBTOTAL * | | | \$789,448.73 | \$176.50 | | \$158.86 | \$0.00 | \$158.86 |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| 07/12/2018 | MCANINCH, LYNSEY | AM FUNDS AMERICAN MUTUAL 529C | 250.00 | 2.50 | 90.0 | 2.25 | 0.00 | 2.25 |
| 07/12/2018 | MCANINCH, LYNSEY | AM FUNDS GROWTH FUND OF AMERICA 529 | 250.00 | 2.50 | 90.0 | 2.25 | 0.00 | 2.25 |
| 07/12/2018 | MCANINCH, LYNSEY | AM FUNDS WASHINGTON MUTUAL INVESTOR | 250.00 | 2.50 | 90.0 | 2.25 | 0.00 | 2.25 |
| 07/12/2018 | MCANINCH, LYNSEY | AMCAP FUND-529C | 250.00 | 2.50 | 90.0 | 2.25 | 0.00 | 2.25 |
| * 529 PLANS SUBTOTAL * | | | \$1,000.00 | \$10.00 | | \$9.00 | \$0.00 | \$9.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/16/2018 | HENDERSON, SUE | AM FUNDS GROWTH FUND OF AMERICA A | 50.00 | 1.38 | 90.0 | 1.24 | 0.00 | 1.24 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$50.00 | \$1.38 | | \$1.24 | \$0.00 | \$1.24 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 07/03/2018 | MILLER, FOSTER | PHOENIX LIFE VUL | 3,417.00 | 126.22 | 90.0 | 113.60 | 0.00 | 113.60 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$3,417.00 | \$126.22 | | \$113.60 | \$0.00 | \$113.60 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$793,915.73 | \$314.10 | | \$282.70 | \$0.00 | \$282.70 |
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: B165 - MIKE/LESLIE BREEDLOVE/DALY #417</u> | | | | | | | | |
| 07/09/2018 | S2S INVESTMENTS LLC | BOURNE SENIORS HOUSING IV | 100,000.00 | 4,200.00 | 90.0 | 3,780.00 | 0.00 | 3,780.00 |
| 07/12/2018 | S2S INVESTMENTS LLC | COTTONWOOD MULTIFAMILY REIT II | 100,000.00 | 3,600.00 | 90.0 | 3,240.00 | 0.00 | 3,240.00 |
| 07/09/2018 | S2S INVESTMENTS LLC | PT HOTEL VALUE AND INCOME FUND II | 100,000.00 | 3,600.00 | 90.0 | 3,240.00 | 0.00 | 3,240.00 |
| 07/09/2018 | S2S INVESTMENTS LLC | SHOPOFF COMMERCIAL GRWTH & INC FD 3 | 100,000.00 | 4,200.00 | 90.0 | 3,780.00 | 0.00 | 3,780.00 |
| * B165 - MIKE/LESLIE BREEDLOVE/DALY SUBTOTAL * | | | \$400,000.00 | \$15,600.00 | | \$14,040.00 | \$0.00 | \$14,040.00 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$400,000.00 | \$15,600.00 | | \$14,040.00 | \$0.00 | \$14,040.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$935.70 |
| Split Transactions | \$14,040.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$98.12 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$15,073.82 |
| Year-to-date Gross | \$45,585.86 |
| Year-to-date Earnings | \$40,961.58 |