

COMMISSION STATEMENT

December 31, 2017

LAWRENCE HORN
301 E 47TH STREET
APT 16J
NEW YORK, NY 10017

BROKER #: 46
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/12/2017	QGV004930	GS ARBITRAGE	AKORN INC	S	-32,320.00	30.00	10.00	20.00	50.0	10.00
12/07/2017	QGV005606	ASSOCIATES, F/A/O	VANECK VECTORS ETF TR GOLD MINERS E B		215,399.00	300.00	10.00	290.00	50.0	145.00
12/15/2017	QGV005630	MORGAN STANLEY	NEW YORK & CO INC COM	S	-107,028.22	616.38	10.00	606.38	50.0	303.19
12/18/2017	QGV005630	MORGAN STANLEY	NEW YORK & CO INC COM	S	-92,029.70	508.62	10.00	498.62	50.0	249.31
12/19/2017	QGV006240	GS ARBITRAGE	MCDERMOTT INTL INC	B	34,847.50	200.00	10.00	190.00	50.0	95.00
* STOCKS SUBTOTAL *					<u>\$18,868.58</u>	<u>\$1,655.00</u>	<u>\$50.00</u>	<u>\$1,605.00</u>		<u>\$802.50</u>

PRODUCT CATEGORY: MISCELLANEOUS

12/31/2017	VARIOUS, HORN	IMPALA		B	0.00	35,000.00	10.00	34,990.00	50.0	17,495.00
* MISCELLANEOUS SUBTOTAL *					<u>\$0.00</u>	<u>\$35,000.00</u>	<u>\$10.00</u>	<u>\$34,990.00</u>		<u>\$17,495.00</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$18,868.58</u>	<u>\$36,655.00</u>	<u>\$60.00</u>	<u>\$36,595.00</u>		<u>\$18,297.50</u>

ADJUSTMENTS

12/31/2017	ADVANCE: ADVANCE				0.00	0.00	0.00	0.00		-16,957.54
12/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00		-20.00
12/31/2017	OTHER: HAMMERSTONE				0.00	0.00	0.00	0.00		-127.50
12/31/2017	OTHER: OTHER				0.00	0.00	0.00	0.00		-1,050.00
12/31/2017	OTHER: OTHER-360 OFFICE				0.00	0.00	0.00	0.00		-5.00
12/31/2017	OTHER: OTHER-FINRA AND SIPC CHARGES				0.00	0.00	0.00	0.00		-131.25
12/31/2017	OTHER: OTHER-STATE REGIST				0.00	0.00	0.00	0.00		-752.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-19,043.29</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$18,297.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-19,043.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.07
SIPC Assessment	\$-4.14

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-752.00
Year-to-date Earnings	\$14,067.03