



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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BENJAMIN GILES LORMAND
1011 ROBERT ST.

BROKER #: 9126
BRANCH: HOME OFFICE

Rayne, LA 70578-

COMMISSION STATEMENT for BENJAMIN GILES LORMAND

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|------------------------|--------------------------------|---------------------------------------|---|--------|------|------|------|------|------|
| 07/10/2018 00073511912 | GUIDRY, BEVERLY | AMERICAN FUNDS INVESTMENT COMP OF A B | | 62.40 | 0.86 | 0.00 | 0.86 | 75.0 | 0.65 |
| 07/10/2018 00073511912 | GUIDRY, BEVERLY | AMERICAN FUNDS INVESTMENT COMP OF A B | | 64.00 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| 07/16/2018 00086685383 | MELANCON, NEIL | AMERICAN FUNDS GROWTH FUND OF AMERI B | | 50.00 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| 07/16/2018 00086685445 | MELANCON, NEIL | AMERICAN FUNDS CAPITAL WORLD GROWT B | | 50.00 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| 07/16/2018 00089013521 | BERGERON, GUY | AMERICAN FUNDS GROWTH FUND OF AMERI B | | 500.00 | 9.37 | 0.00 | 9.37 | 75.0 | 7.03 |
| 07/10/2018 2360050413 | SHOLAR/DAIGLE, ROBERT/KATIE | -OPPENHEIMER FUNDS | B | 25.00 | 0.59 | 0.00 | 0.59 | 75.0 | 0.44 |

| | | | | | |
|---------------------------|----------|---------|--------|---------|---------|
| * MUTUAL FUNDS SUBTOTAL * | \$751.40 | \$14.20 | \$0.00 | \$14.20 | \$10.66 |
|---------------------------|----------|---------|--------|---------|---------|

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | |
|--------------------------------------|-------------------------------------|----------|----------|--------|----------|------|----------|
| 08/01/2018 TRAIL COMMISSIONS | JOHN HANCOCK FEES | 0.00 | 21.28 | 0.00 | 21.28 | 75.0 | 15.97 |
| 08/01/2018 TRAIL COMMISSIONS | DEUTSCHE ASSET & WEALTH MANAGEMENT | 0.00 | 0.67 | 0.00 | 0.67 | 75.0 | 0.50 |
| 08/01/2018 TRAIL COMMISSIONS | DWS INVESTMENTS SERVICE COMPANY FEE | 0.00 | 101.59 | 0.00 | 101.59 | 75.0 | 76.23 |
| 08/01/2018 TRAIL COMMISSIONS | MFS FUND DISTRIBUTORS, INC. FEES | 0.00 | 12.75 | 0.00 | 12.75 | 75.0 | 9.56 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | \$0.00 | \$136.29 | \$0.00 | \$136.29 | | \$102.26 |
| *** BROKER TRANSACTIONS TOTAL *** | | \$751.40 | \$150.49 | \$0.00 | \$150.49 | | \$112.92 |

SPLIT TRANSACTIONS

BROKER: JOSEPH LORMAND #4802

| | | | | | | | |
|--------------------------------------|-------------------------------------|----------------|----------------|---------------|----------------|------|----------------|
| 08/01/2018 TRAIL COMMISSIONS | JOHN HANCOCK FEES | 0.00 | 1.16 | 0.00 | 1.16 | 75.0 | 0.87 |
| 07/16/2018 00063536114 JEFFERS, IRMA | AMERICAN FUNDS NEW PERSPECTIVE FD B | 83.34 | 0.84 | 0.00 | 0.84 | 75.0 | 0.63 |
| 08/01/2018 TRAIL COMMISSIONS | DWS INVESTMENTS SERVICE COMPANY FEE | 0.00 | 21.99 | 0.00 | 21.99 | 75.0 | 16.49 |
| 08/01/2018 TRAIL COMMISSIONS | MFS FUND DISTRIBUTORS, INC. FEES | 0.00 | 34.54 | 0.00 | 34.54 | 75.0 | 25.92 |
| 08/01/2018 TRAIL COMMISSIONS | COLUMBIA THREADNEEDLE FEES | 0.00 | 18.53 | 0.00 | 18.53 | 75.0 | 13.90 |
| * JOSEPH LORMAND SUBTOTAL * | | <u>\$83.34</u> | <u>\$77.06</u> | <u>\$0.00</u> | <u>\$77.06</u> | | <u>\$57.81</u> |
| *** SPLIT TRANSACTIONS TOTAL *** | | <u>\$83.34</u> | <u>\$77.06</u> | <u>\$0.00</u> | <u>\$77.06</u> | | <u>\$57.81</u> |

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|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

| | | | | | | | | | |
|------------|--|-----------------------------|--|--------|--------|--------|--------|--|----------|
| 08/01/2018 | | TECH: MONTHLY TECH SERVICES | | 0.00 | 0.00 | 0.00 | 0.00 | | -27.50 |
| | | *** ADJUSTMENTS TOTAL *** | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-27.50 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$162.26 |
| Split Transactions | \$8.47 |
| Override Transactions | \$0.00 |
| Adjustments | \$-27.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$29.87 |

| | |
|-----------------------|------------|
| Check Amount | \$173.10 |
| Year-to-date Earnings | \$7,275.63 |