

# COMMISSION STATEMENT

June 15, 2018

DOUG ELLIS-D335

BROKER #: D335

BRANCH: DMN

, TX

## COMMISSION STATEMENT for DOUG ELLIS-D335

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: VARIABLE ANNUITIES											
05/31/2018	1011931681	EVERSOLE, THOMAS	VA	ELITE ACCESS	B	79,249.72	26.42	0.00	26.42	75.0	19.82
05/31/2018	1012772110	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	34,203.41	28.50	0.00	28.50	75.0	21.38
05/31/2018	1012928722	SWANSON, RICHARD	VA	ELITE ACCESS L	B	126,086.85	105.07	0.00	105.07	75.0	78.80
05/31/2018	1012929133	SWANSON, RICHARD	VA	ELITE ACCESS	B	168,958.64	56.32	0.00	56.32	75.0	42.24
05/31/2018	1013200706	WHITE, GLORIA	VA	PERSP II 09/12	B	172,905.44	72.04	0.00	72.04	75.0	54.03
05/31/2018	1013200811	WHITE, GLORIA	VA	ELITE ACCESS	B	47,191.81	39.33	0.00	39.33	75.0	29.50
05/31/2018	1013636601	SCHRADER, LARRY	VA	ELITE ACCESS L	B	140,730.55	117.28	0.00	117.28	75.0	87.96
05/31/2018	1016129964	MONETA, CAROL	VA	ELITE ACCESS L	B	34,450.48	28.71	0.00	28.71	75.0	21.53
05/31/2018	1016711213	CROSSE, LUCETTA	VA	ELITE ACCESS L	B	44,099.31	36.75	0.00	36.75	75.0	27.56
				* VARIABLE ANNUITIES SUBTOTAL *		\$847,876.21	\$510.42	\$0.00	\$510.42		\$382.82
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2018	00087194091	CROSSE, LUCETTA	MFT	AMERICAN BALANCED FUND-C	B	0.00	30.87	0.00	30.87	75.0	23.15
06/01/2018	00087249418	MILLER, CHERYL	MFT	GLOBAL GROWTH PORTFOLIO-C	B	0.00	95.03	0.00	95.03	75.0	71.27
06/01/2018	00087249418	MILLER, CHERYL	MFT	INCOME PORTFOLIO-C	B	0.00	54.69	0.00	54.69	75.0	41.02
06/01/2018	00087249418	MILLER, CHERYL	MFT	PRESERVATION PORTFOLIO-C	B	0.00	36.54	0.00	36.54	75.0	27.41
06/01/2018	04000252256	SWANSON, ADAM	MFT	EMERGING MARKETS BOND FUND-C	B	0.00	1.76	0.00	1.76	75.0	1.32
06/01/2018	04000252256	SWANSON, ADAM	MFT	GROWTH PORTFOLIO-C	B	0.00	0.37	0.00	0.37	75.0	0.28
06/01/2018	04000252256	SWANSON, ADAM	MFT	THE NEW ECONOMY FUND-C	B	0.00	1.93	0.00	1.93	75.0	1.45
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$221.19	\$0.00	\$221.19		\$165.90
				*** DIRECT TRANSACTIONS TOTAL ***		\$847,876.21	\$731.61	\$0.00	\$731.61		\$548.72

**BROKER COMMISSION TOTALS**

Broker Transactions	\$548.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$548.72</b>
<b>Year-to-date Commissions</b>	<b>\$2,703.42</b>