

STATEMENT

March 20, 2018

NICK CONSOLES

1 Merrill Industrial Dr Suite
SUITE 620
Hampton, NH 03842

BROKER #: 155

BRANCH:

COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/12/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	40.00	0.40	75.0	0.00	0.30	0.00	0.30
02/12/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	39.48	0.39	75.0	0.00	0.29	0.00	0.29
02/12/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	200.00	2.00	75.0	0.00	1.50	0.00	1.50
02/12/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	15.12	0.15	75.0	0.00	0.11	0.00	0.11
02/15/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	21.52	0.22	75.0	0.00	0.17	0.00	0.17
02/15/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	35.86	0.36	75.0	0.00	0.27	0.00	0.27
02/16/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	69.80	3.49	75.0	0.00	2.62	0.00	2.62
02/16/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	69.80	3.49	75.0	0.00	2.62	0.00	2.62
02/16/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	27.81	1.39	75.0	0.00	1.04	0.00	1.04
02/16/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	27.81	1.39	75.0	0.00	1.04	0.00	1.04
02/16/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	31.51	1.58	75.0	0.00	1.19	0.00	1.19
02/16/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	31.51	1.58	75.0	0.00	1.19	0.00	1.19
02/16/2018	#04000542788	AMERICAN FUNDS 02631C445	44.35	2.22	75.0	0.00	1.67	0.00	1.67
02/16/2018	#04000542788	AMERICAN FUNDS 02631C445	19.01	0.95	75.0	0.00	0.71	0.00	0.71
02/16/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	9.99	0.50	75.0	0.00	0.38	0.00	0.38
02/16/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	9.99	0.50	75.0	0.00	0.38	0.00	0.38
02/16/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	247.14	12.36	75.0	0.00	9.27	0.00	9.27
02/16/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	74.14	3.71	75.0	0.00	2.78	0.00	2.78
02/16/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	38.34	1.92	75.0	0.00	1.44	0.00	1.44
02/16/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	11.50	0.58	75.0	0.00	0.44	0.00	0.44
02/16/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	33.64	1.68	75.0	0.00	1.26	0.00	1.26
02/16/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	33.64	1.68	75.0	0.00	1.26	0.00	1.26
02/16/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	41.63	2.08	75.0	0.00	1.56	0.00	1.56
02/16/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	41.63	2.08	75.0	0.00	1.56	0.00	1.56
02/16/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	85.06	4.25	75.0	0.00	3.19	0.00	3.19
02/16/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	51.03	2.55	75.0	0.00	1.91	0.00	1.91
02/20/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
02/20/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/20/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	0.00	1.13
02/20/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	16.38	0.16	75.0	0.00	0.12	0.00	0.12
02/23/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
02/23/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
02/23/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.00	0.75
02/23/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	13.23	0.13	75.0	0.00	0.10	0.00	0.10
* MUTUAL FUNDS SUBTOTAL *				\$1,710.40	\$57.09	\$0.00	\$42.85	\$0.00	\$42.85	
*** BROKER TRANSACTIONS TOTAL ***				\$1,710.40	\$57.09	\$0.00	\$42.85	\$0.00	\$42.85	

ADJUSTMENTS

03/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$42.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-896.12
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,352.27
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