

**COMMISSION STATEMENT****March 15, 2018**

DANIEL, R132 RAUPP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: R132
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
02/26/2018	CLINTON FALLS VILL	INLAND CHICAGOLAND SUPERMARKET DST	248,897.51	12,444.88	0.00	12,444.88	100.0	12,444.88
02/26/2018	CLINTON FALLS VILL	INLAND ZERO COUPON PHARM'Y VIII DST	257,000.00	12,850.00	0.00	12,850.00	100.0	12,850.00
* 1031 EXCHANGES SUBTOTAL *			\$505,897.51	\$25,294.88	\$0.00	\$25,294.88		\$25,294.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/02/2018	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	723,196.35	554.78	0.00	554.78	100.0	554.78
02/28/2018	TRAINOR, EDWARD	JNL PERSPECTIVE L	65,807.85	54.84	0.00	54.84	100.0	54.84
02/28/2018	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	57,903.82	48.25	0.00	48.25	100.0	48.25
* VARIABLE ANNUITIES SUBTOTAL *			\$846,908.02	\$657.87	\$0.00	\$657.87		\$657.87
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	4.65	0.00	4.65	100.0	4.65
03/01/2018	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	4.65	0.00	4.65	100.0	4.65
03/01/2018	RASMUSSEN, MELANIE	AMCAP FUND-529E	0.00	4.29	0.00	4.29	100.0	4.29
03/01/2018	RASMUSSEN, MELANIE	AMCAP FUND-529E	0.00	4.29	0.00	4.29	100.0	4.29
03/01/2018	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	2.93	0.00	2.93	100.0	2.93
03/01/2018	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	2.93	0.00	2.93	100.0	2.93
03/01/2018	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	4.51	0.00	4.51	100.0	4.51
03/01/2018	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	4.51	0.00	4.51	100.0	4.51
02/22/2018	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.11	0.00	3.11	100.0	3.11
02/22/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.03	0.00	5.03	100.0	5.03
02/16/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.26	0.00	2.26	100.0	2.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$43.16	\$0.00	\$43.16		\$43.16
*** DIRECT TRANSACTIONS TOTAL ***			\$1,352,805.53	\$25,995.91	\$0.00	\$25,995.91		\$25,995.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u>								
02/05/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.87	0.00	2.87	100.0	2.87
02/22/2018	SEITZ & CO INC, DONALD	GPB WASTE MANAGEMENT LP	100,000.00	2,380.00	0.00	2,380.00	100.0	2,380.00
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$100,000.00	\$2,382.87	\$0.00	\$2,382.87		\$2,382.87
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
02/05/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.39	0.00	1.39	100.0	1.39
02/05/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.31	0.00	3.31	100.0	3.31
02/05/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.39	0.00	1.39	100.0	1.39
02/15/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.83	0.00	3.83	100.0	3.83
02/16/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	2.05	0.00	2.05	100.0	2.05
02/16/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.09	0.00	3.09	100.0	3.09
02/28/2018	IJM REALTY MANAGEMNT	INLAND NATIONAL MF PF III DST	1,000,000.00	30,000.00	0.00	30,000.00	100.0	30,000.00
02/22/2018	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.62	0.00	3.62	100.0	3.62
02/22/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.70	0.00	2.70	100.0	2.70
02/22/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.28	0.00	0.28	100.0	0.28
02/22/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.24	0.00	0.24	100.0	0.24
02/22/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.17	0.00	0.17	100.0	0.17
02/22/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.04	0.00	0.04	100.0	0.04
02/22/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.36	0.00	0.36	100.0	0.36
02/22/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.17	0.00	0.17	100.0	0.17
02/23/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.12	0.00	0.12	100.0	0.12
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$1,000,000.00	\$30,022.76	\$0.00	\$30,022.76		\$30,022.76
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
03/01/2018	RABETZ, WILLIAM	BIOSIG TECHNOLOGIES INC COM	6,800.00	7.50	6.75	0.75	100.0	0.75
02/05/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.00	0.00	1.00	100.0	1.00
02/05/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.44	0.00	0.44	100.0	0.44
02/05/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.07	0.00	1.07	100.0	1.07
02/15/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.54	0.00	0.54	100.0	0.54
02/15/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.17	0.00	2.17	100.0	2.17
02/13/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.40	0.00	1.40	100.0	1.40
02/20/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.56	0.00	0.56	100.0	0.56
02/20/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.92	0.00	0.92	100.0	0.92
02/21/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.14	0.00	0.14	100.0	0.14

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
02/22/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	100.0	0.49
02/22/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	100.0	0.46
02/22/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.82	0.00	0.82	100.0	0.82
02/22/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.11	0.00	0.11	100.0	0.11
02/22/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.64	0.00	0.64	100.0	0.64
02/22/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.40	0.00	0.40	100.0	0.40
02/22/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	100.0	0.22
02/22/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.50	0.00	0.50	100.0	0.50
02/14/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.32	0.00	5.32	100.0	5.32
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$6,800.00	\$24.70	\$6.75	\$17.95		\$17.95
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
03/03/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	134,591.15	19.59	0.00	19.59	100.0	19.59
02/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
02/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	100.0	0.22
02/16/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.37	0.00	0.37	100.0	0.37
02/21/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.64	0.00	0.64	100.0	0.64
02/21/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.53	0.00	0.53	100.0	0.53
02/21/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.12	0.00	3.12	100.0	3.12
02/21/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.76	0.00	2.76	100.0	2.76
02/21/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.22	0.00	0.22	100.0	0.22
02/14/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.37	0.00	0.37	100.0	0.37
02/14/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.65	0.00	1.65	100.0	1.65
02/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.72	0.00	0.72	100.0	0.72
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$134,591.15	\$30.27	\$0.00	\$30.27		\$30.27
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
02/22/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.29	0.00	2.29	100.0	2.29
02/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.38	0.00	5.38	100.0	5.38
02/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.13	0.00	16.13	100.0	16.13
02/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.44	0.00	3.44	100.0	3.44
02/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.32	0.00	10.32	100.0	10.32
02/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.29	0.00	5.29	100.0	5.29
02/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.87	0.00	15.87	100.0	15.87

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
02/16/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.23	0.00	2.23	100.0	2.23
02/21/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	2.12	0.00	2.12	100.0	2.12
03/01/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	64,000.00	656.00	11.00	645.00	100.0	645.00
02/21/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.59	0.00	2.59	100.0	2.59
03/01/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	75,000.00	768.75	11.00	757.75	100.0	757.75
02/21/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.80	0.00	1.80	100.0	1.80
03/01/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	51,000.00	522.75	11.00	511.75	100.0	511.75
02/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.45
02/22/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.67	0.00	9.67	100.0	9.67
03/01/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	24,000.00	570.00	11.00	559.00	100.0	559.00
02/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	100.0	0.38
02/14/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	71.83	0.00	71.83	100.0	71.83
02/22/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.33	0.00	2.33	100.0	2.33
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$214,000.00	\$2,669.62	\$44.00	\$2,625.62		\$2,625.62
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
02/09/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	9.09	0.00	9.09	100.0	9.09
02/05/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.75	0.00	6.75	100.0	6.75
02/05/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	13.61	0.00	13.61	100.0	13.61
02/16/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.00	0.00	1.00	100.0	1.00
02/22/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.79	0.00	6.79	100.0	6.79
02/22/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.78	0.00	2.78	100.0	2.78
02/22/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.50	0.00	4.50	100.0	4.50
02/22/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.23	0.00	1.23	100.0	1.23
02/22/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.99	0.00	9.99	100.0	9.99
02/22/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.61	0.00	5.61	100.0	5.61
02/22/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.64	0.00	1.64	100.0	1.64
02/22/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.92	0.00	8.92	100.0	8.92
02/22/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.97	0.00	4.97	100.0	4.97
02/22/2018	STARK, LINDA	MAINSTAY MAP A	0.00	1.01	0.00	1.01	100.0	1.01
02/22/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	4.99	0.00	4.99	100.0	4.99
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$82.88	\$0.00	\$82.88		\$82.88

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
02/21/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	100.0	0.08
02/05/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.38	0.00	2.38	100.0	2.38
02/05/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.38	0.00	0.38	100.0	0.38
02/05/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.27	0.00	1.27	100.0	1.27
02/05/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.76	0.00	1.76	100.0	1.76
02/23/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.85	0.00	0.85	100.0	0.85
02/22/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.11	0.00	1.11	100.0	1.11
02/22/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.46	0.00	2.46	100.0	2.46
02/22/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	100.0	0.39
02/22/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	100.0	0.14
02/22/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.52	0.00	1.52	100.0	1.52
02/22/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.86	0.00	0.86	100.0	0.86
02/14/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.52	0.00	2.52	100.0	2.52
02/16/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.75	0.00	0.75	100.0	0.75
02/16/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.81	0.00	0.81	100.0	0.81
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$17.28	\$0.00	\$17.28		\$17.28
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
02/05/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.87	0.00	3.87	100.0	3.87
02/22/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.78	0.00	0.78	100.0	0.78
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.65	\$0.00	\$4.65		\$4.65
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
02/21/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.55	0.00	0.55	100.0	0.55
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.55	\$0.00	\$0.55		\$0.55
<u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u>								
02/21/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	100.0	0.05
02/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.74	0.00	1.74	100.0	1.74
02/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.21	0.00	4.21	100.0	4.21
02/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.78	0.00	2.78	100.0	2.78
02/16/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.02	0.00	2.02	100.0	2.02
02/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.16	0.00	0.16	100.0	0.16
02/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.16	0.00	0.16	100.0	0.16
02/28/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	2,704.67	23.73	5.94	17.79	100.0	17.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u>								
02/28/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	2,705.60	29.22	5.94	23.28	100.0	23.28
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.73	0.00	0.73	100.0	0.73
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.18	0.00	2.18	100.0	2.18
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.91	0.00	0.91	100.0	0.91
02/16/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.72	0.00	2.72	100.0	2.72
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.60	0.00	0.60	100.0	0.60
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.81	0.00	1.81	100.0	1.81
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.73	0.00	0.73	100.0	0.73
02/16/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.18	0.00	2.18	100.0	2.18
02/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.54	0.00	2.54	100.0	2.54
02/16/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.62	0.00	7.62	100.0	7.62
02/16/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	100.0	0.46
02/16/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.72	0.00	0.72	100.0	0.72
02/16/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	100.0	1.24
02/16/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	100.0	0.99
02/16/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	100.0	0.83
02/16/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.18	0.00	1.18	100.0	1.18
02/16/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.52	0.00	3.52	100.0	3.52
02/22/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.09	0.00	0.09	100.0	0.09
02/22/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.09	0.00	0.09	100.0	0.09
02/28/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	2,702.37	14.59	5.94	8.65	100.0	8.65
02/28/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	2,702.37	14.59	5.94	8.65	100.0	8.65
* P124-JONP/DAN/J FT-FL JUNO CIRE SUBTOTAL *			\$10,815.01	\$124.39	\$23.76	\$100.63		\$100.63
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
02/27/2018	AMARCORD INC	INLAND ZERO COUPON PHARM'Y VIII DST	31,150.00	1,168.12	0.00	1,168.12	100.0	1,168.12
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$31,150.00	\$1,168.12	\$0.00	\$1,168.12		\$1,168.12
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
02/22/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.86	0.00	1.86	100.0	1.86
02/14/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.91	0.00	4.91	100.0	4.91
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$6.77	\$0.00	\$6.77		\$6.77

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
02/16/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.47	0.00	0.47	100.0	0.47
02/16/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.33	0.00	0.33	100.0	0.33
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$0.80	\$0.00	\$0.80		\$0.80
*** SPLIT TRANSACTIONS TOTAL ***			\$1,497,356.16	\$36,535.66	\$74.51	\$36,461.15		\$36,461.15
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MARC, L134 LINSKY #10</u>								
03/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
03/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
03/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
02/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.17
02/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
02/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$1.05
<u>BROKER: JEFFREY, K141 KIESNOSKI #243</u>								
02/05/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.20	0.00	3.20	94.0	0.19
02/22/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.29	0.00	0.29	94.0	0.02
02/21/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.24	0.00	2.24	94.0	0.13
02/21/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.31	0.00	0.31	94.0	0.02
02/22/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.41	0.00	0.41	94.0	0.02
02/13/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.49	0.00	2.49	94.0	0.15
02/12/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.22	0.00	1.22	94.0	0.07
02/21/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.14	0.00	1.14	94.0	0.07
02/21/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	94.0	0.01
* JEFFREY, K141 KIESNOSKI SUBTOTAL *			\$0.00	\$11.41	\$0.00	\$11.41		\$0.68
<u>BROKER: ROY, P123 PFLEGER II #244</u>								
02/16/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	75.0	0.18
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.41	\$0.00	\$1.41		\$0.18

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JON PAUL, C141 CIRELLI #246</u>								
03/03/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	471,881.03	196.16	0.00	196.16	95.0	9.81
02/09/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.66	0.00	21.66	95.0	1.08
01/31/2018	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	16,342.77	3.47	0.00	3.47	95.0	0.17
02/16/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.71	0.00	5.71	95.0	0.29
02/16/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.52	0.00	10.52	95.0	0.53
02/22/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.19	0.00	6.19	95.0	0.31
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$488,223.80	\$243.71	\$0.00	\$243.71		\$12.19
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
02/22/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.32	0.00	3.32	75.0	0.42
02/22/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.34	0.00	0.34	75.0	0.04
02/22/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	75.0	0.10
02/22/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.33	0.00	0.33	75.0	0.04
02/22/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.10	0.00	0.10	75.0	0.01
02/22/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.17	0.00	0.17	75.0	0.02
02/22/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	75.0	0.06
02/22/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.65	0.00	0.65	75.0	0.08
02/22/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.69	0.00	0.69	75.0	0.09
02/23/2018	HATZAKOS, PETER	PASSCO MARINER DST	65,000.00	3,900.00	0.00	3,900.00	75.0	487.50
02/20/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.24	0.00	2.24	75.0	0.28
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$65,000.00	\$3,909.14	\$0.00	\$3,909.14		\$488.64
<u>BROKER: MARC, L134 LINSKY #263</u>								
03/01/2018	BENDICK, ETHEL	529 AMCAP FUND CLASS A	0.00	3.37	0.00	3.37	90.0	0.06
02/12/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.97	0.00	0.97	90.0	0.02
02/12/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	14.10	0.00	14.10	90.0	0.24
02/16/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.54	0.00	4.54	90.0	0.08
02/21/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.28	0.00	4.28	90.0	0.07
02/21/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.12	0.00	7.12	90.0	0.12
02/21/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.47	0.00	0.47	90.0	0.01
03/01/2018	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.33	0.00	1.33	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.33	0.00	1.33	90.0	0.02
03/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.61	0.00	0.61	90.0	0.01
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.41	0.00	1.41	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.41	0.00	1.41	90.0	0.02
03/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.93	0.00	0.93	90.0	0.02
03/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.93	0.00	0.93	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	5.20	0.00	5.20	90.0	0.09
03/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	125.26	0.00	125.26	90.0	2.13
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.73	0.00	3.73	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.73	0.00	3.73	90.0	0.06
02/21/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.58	0.00	1.58	90.0	0.03
02/21/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.01
02/21/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.01
02/21/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	90.0	0.02
03/01/2018	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.58	0.00	3.58	90.0	0.06
03/01/2018	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.70	0.00	3.70	90.0	0.06
03/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.61	0.00	0.61	90.0	0.01
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	0.03
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	0.03
03/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	132.87	0.00	132.87	90.0	2.26
03/01/2018	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.81	0.00	0.81	90.0	0.01
03/01/2018	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.72	0.00	1.72	90.0	0.03
03/01/2018	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.90	0.00	1.90	90.0	0.03
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.21	0.00	6.21	90.0	0.11
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.04	0.00	1.04	90.0	0.02
03/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.09	0.00	2.09	90.0	0.04
03/01/2018	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.42	0.00	2.42	90.0	0.04
02/21/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.04	0.00	1.04	90.0	0.02
02/21/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.85	0.00	0.85	90.0	0.01
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD BOND 529A	0.00	0.85	0.00	0.85	90.0	0.01
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.55	0.00	7.55	90.0	0.13
03/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.25	0.00	1.25	90.0	0.02
03/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	9.35	0.00	9.35	90.0	0.16
03/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.45	0.00	8.45	90.0	0.14
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.88	0.00	1.88	90.0	0.03
03/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.91	0.00	3.91	90.0	0.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.80	0.00	0.80	90.0	0.01
03/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.50	0.00	0.50	90.0	0.01
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.43	0.00	1.43	90.0	0.02
03/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.43	0.00	1.43	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.05	0.00	6.05	90.0	0.10
03/01/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	127.14	0.00	127.14	90.0	2.16
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.02	0.00	4.02	90.0	0.07
03/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.02	0.00	4.02	90.0	0.07
02/21/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.21	0.00	4.21	90.0	0.07
02/21/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.35	0.00	3.35	90.0	0.06
02/21/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	90.0	0.02
02/21/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.79	0.00	0.79	90.0	0.01
02/21/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.06	0.00	3.06	90.0	0.05
03/01/2018	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.72	0.00	7.72	90.0	0.13
02/21/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.39	0.00	14.39	90.0	0.24
03/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	9.26	0.00	9.26	90.0	0.16
03/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.79	0.00	0.79	90.0	0.01
02/21/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.72	0.00	1.72	90.0	0.03
03/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
03/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.81	0.00	12.81	90.0	0.22
03/01/2018	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.90	0.00	8.90	90.0	0.15
03/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.66	0.00	3.66	90.0	0.06
03/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.38	0.00	3.38	90.0	0.06
03/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.31	0.00	0.31	90.0	0.01
03/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.97	0.00	0.97	90.0	0.02
02/21/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.02
02/21/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.11	0.00	5.11	90.0	0.09
02/21/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.69	0.00	1.69	90.0	0.03
02/21/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.99	0.00	6.99	90.0	0.12
02/21/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.29	0.00	5.29	90.0	0.09
02/21/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	0.00	2.00	90.0	0.03
02/21/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.01
02/21/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/21/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	0.03
02/21/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.18	0.00	1.18	90.0	0.02
02/21/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	90.0	0.01
03/01/2018	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.37	0.00	4.37	90.0	0.07
03/01/2018	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.61	0.00	4.61	90.0	0.08
02/21/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.01	0.00	3.01	90.0	0.05
02/21/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.96	0.00	2.96	90.0	0.05
02/21/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.07	0.00	6.07	90.0	0.10
02/21/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.16	0.00	6.16	90.0	0.10
02/21/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.66	0.00	1.66	90.0	0.03
02/21/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.92	0.00	9.92	90.0	0.17
02/21/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.38	0.00	0.38	90.0	0.01
02/21/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.47	0.00	0.47	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.63	0.00	0.63	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.02
02/21/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.62	0.00	0.62	90.0	0.01
02/21/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.64	0.00	0.64	90.0	0.01
02/21/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.64	0.00	0.64	90.0	0.01
02/21/2018	APPLEGATE, PAMAELE	AM FUNDS ICA A	0.00	1.11	0.00	1.11	90.0	0.02
02/21/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.95	0.00	5.95	90.0	0.10
02/21/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.92	0.00	13.92	90.0	0.24
02/21/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.40	0.00	5.40	90.0	0.09
02/21/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	5.17	0.00	5.17	90.0	0.09
02/21/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.28	0.00	5.28	90.0	0.09
02/21/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.63	0.00	4.63	90.0	0.08
02/21/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.67	0.00	21.67	90.0	0.37
02/21/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.31	0.00	3.31	90.0	0.06
03/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.01
03/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.01
03/01/2018	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.50	0.00	1.50	90.0	0.03
03/01/2018	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.57	0.00	3.57	90.0	0.06
03/01/2018	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.70	0.00	0.70	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/21/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.84	0.00	0.84	90.0	0.01
02/21/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.84	0.00	0.84	90.0	0.01
02/21/2018	APPLEGATE, PAMAEALA	AM FUNDS NEW PERSPECTIVE A	0.00	1.19	0.00	1.19	90.0	0.02
03/01/2018	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	7.04	0.00	7.04	90.0	0.12
02/21/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.70	0.00	1.70	90.0	0.03
03/01/2018	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.41	0.00	0.41	90.0	0.01
03/01/2018	CAMPAGNA, MARIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.60	0.00	0.60	90.0	0.01
03/01/2018	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.11	0.00	1.11	90.0	0.02
03/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	3.46	0.00	3.46	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.48	0.00	2.48	90.0	0.04
03/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.48	0.00	2.48	90.0	0.04
02/21/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.36	0.00	6.36	90.0	0.11
02/21/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.37	0.00	0.37	90.0	0.01
02/21/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.60	0.00	1.60	90.0	0.03
02/21/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.46	0.00	0.46	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.63	0.00	0.63	90.0	0.01
02/21/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.02	0.00	1.02	90.0	0.02
02/21/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	90.0	0.01
03/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.29	0.00	8.29	90.0	0.14
03/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.32	0.00	7.32	90.0	0.12
03/01/2018	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.68	0.00	0.68	90.0	0.01
02/16/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.90	0.00	2.90	90.0	0.05
02/22/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.84	0.00	19.84	90.0	0.34
02/21/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.63	0.00	1.63	90.0	0.03
02/21/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	5.11	0.00	5.11	90.0	0.09
03/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	3.61	0.00	3.61	90.0	0.06
03/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.55	0.00	2.55	90.0	0.04
03/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.55	0.00	2.55	90.0	0.04
03/01/2018	ARMSTRONG, CARTER	COLLEGE 2033 FUND-529A	0.00	3.56	0.00	3.56	90.0	0.06
03/01/2018	CENTANNI, RICHARD	COLLEGE 2033 FUND-529A	0.00	6.30	0.00	6.30	90.0	0.11
03/01/2018	CAMARDO, SHANE	COLLEGE 2033 FUND-529A	0.00	0.54	0.00	0.54	90.0	0.01
02/15/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.19	0.00	1.19	90.0	0.02
02/15/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	16.12	0.00	16.12	90.0	0.27

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/15/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.34	0.00	8.34	90.0	0.14
02/15/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	3.01	0.00	3.01	90.0	0.05
02/15/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.20	0.00	1.20	90.0	0.02
02/15/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.33	0.00	0.33	90.0	0.01
02/15/2018	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.37	0.00	0.37	90.0	0.01
02/15/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.03	0.00	1.03	90.0	0.02
02/15/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.61	0.00	2.61	90.0	0.04
02/15/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.15	0.00	1.15	90.0	0.02
02/15/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.52	0.00	12.52	90.0	0.21
02/15/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.46	0.00	2.46	90.0	0.04
02/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.47	0.00	0.47	90.0	0.01
02/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.62	0.00	0.62	90.0	0.01
02/15/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.69	0.00	3.69	90.0	0.06
02/15/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	64.09	0.00	64.09	90.0	1.09
02/14/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.81	0.00	0.81	90.0	0.01
02/16/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	0.02
02/16/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.39	0.00	0.39	90.0	0.01
02/23/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.98	0.00	7.98	90.0	0.14
02/20/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.08	0.00	9.08	90.0	0.15
02/20/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.56	0.00	1.56	90.0	0.03
02/20/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.21	0.00	6.21	90.0	0.11
02/20/2018	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.30	0.00	0.30	90.0	0.01
02/20/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.33	0.00	2.33	90.0	0.04
02/22/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.26	0.00	14.26	90.0	0.24
02/22/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.26	0.00	3.26	90.0	0.06
02/22/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.19	0.00	1.19	90.0	0.02
02/22/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.58	0.00	5.58	90.0	0.09
02/22/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.82	0.00	0.82	90.0	0.01
02/22/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.61	0.00	0.61	90.0	0.01
02/22/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.86	0.00	2.86	90.0	0.05
02/16/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.95	0.00	17.95	90.0	0.31
02/16/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.71	0.00	0.71	90.0	0.01
01/31/2018	LINSKY, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	54,833.28	46.57	0.00	46.57	90.0	0.79

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	90.0	0.01
02/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.15	0.00	2.15	90.0	0.04
02/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.92	0.00	4.92	90.0	0.08
02/16/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.75	0.00	14.75	90.0	0.25
02/16/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.29	0.00	3.29	90.0	0.06
02/16/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.87	0.00	9.87	90.0	0.17
02/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.51	0.00	6.51	90.0	0.11
02/16/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.52	0.00	19.52	90.0	0.33
02/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.36	0.00	2.36	90.0	0.04
02/16/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.07	0.00	7.07	90.0	0.12
02/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.03	0.00	15.03	90.0	0.26
02/16/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.09	0.00	45.09	90.0	0.77
02/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.38	0.00	3.38	90.0	0.06
02/16/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.13	0.00	10.13	90.0	0.17
02/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.52	0.00	4.52	90.0	0.08
02/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.55	0.00	13.55	90.0	0.23
02/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.55	0.00	45.55	90.0	0.77
02/16/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.65	0.00	136.65	90.0	2.32
02/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.38	0.00	14.38	90.0	0.24
02/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.13	0.00	43.13	90.0	0.73
02/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.49	0.00	7.49	90.0	0.13
02/16/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.48	0.00	22.48	90.0	0.38
02/16/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	5.12	0.00	5.12	90.0	0.09
02/16/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	15.37	0.00	15.37	90.0	0.26
02/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.43	0.00	4.43	90.0	0.08
02/16/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.26	0.00	6.26	90.0	0.11
02/16/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.22	0.00	4.22	90.0	0.07
02/16/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.26	0.00	5.26	90.0	0.09
02/21/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.55	0.00	12.55	90.0	0.21
02/21/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.26	0.00	4.26	90.0	0.07
02/20/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.13	0.00	4.13	90.0	0.07
02/20/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	93.99	0.00	93.99	90.0	1.60
02/20/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	45.21	0.00	45.21	90.0	0.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/20/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	40.61	0.00	40.61	90.0	0.69
02/20/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	493.97	0.00	493.97	90.0	8.40
02/20/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	12.43	0.00	12.43	90.0	0.21
02/20/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	8.10	0.00	8.10	90.0	0.14
02/20/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.63	0.00	8.63	90.0	0.15
02/20/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	36.55	0.00	36.55	90.0	0.62
02/20/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	34.12	0.00	34.12	90.0	0.58
02/20/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	12.43	0.00	12.43	90.0	0.21
02/20/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	26.14	0.00	26.14	90.0	0.44
02/20/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.70	0.00	11.70	90.0	0.20
02/20/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.98	0.00	2.98	90.0	0.05
02/20/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.98	0.00	2.98	90.0	0.05
02/20/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.47	0.00	4.47	90.0	0.08
02/20/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	82.21	0.00	82.21	90.0	1.40
02/20/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	51.27	0.00	51.27	90.0	0.87
02/20/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	23.59	0.00	23.59	90.0	0.40
02/20/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	16.21	0.00	16.21	90.0	0.28
02/20/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	23.60	0.00	23.60	90.0	0.40
02/20/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	2.53	0.00	2.53	90.0	0.04
02/20/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.50	0.00	0.50	90.0	0.01
02/20/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	48.63	0.00	48.63	90.0	0.83
02/20/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	21.77	0.00	21.77	90.0	0.37
02/20/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.11	0.00	11.11	90.0	0.19
02/20/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.31	0.00	4.31	90.0	0.07
02/27/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.15	0.00	1.15	90.0	0.02
03/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
02/28/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	278,184.72	231.82	0.00	231.82	90.0	3.94
02/28/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	287,043.23	239.20	0.00	239.20	90.0	4.07
02/28/2018	NANI, JOSEPH	JNL PERSPECTIVE L	412,797.89	344.00	0.00	344.00	90.0	5.85
02/28/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	494,732.38	412.28	0.00	412.28	90.0	7.01
02/28/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	110,903.50	92.42	0.00	92.42	90.0	1.57
02/28/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,998.30	2.92	0.00	2.92	90.0	0.05
02/28/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	199,915.29	166.60	0.00	166.60	90.0	2.83

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/21/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.68	0.00	0.68	90.0	0.01
03/01/2018	PISANI, CARLA	LINCOLN BENEFIT LIFE	541.00	25.70	0.00	25.70	90.0	0.44
03/01/2018	PISANI, NICHOLAS	LINCOLN BENEFIT LIFE	250.00	10.00	0.00	10.00	90.0	0.17
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.14	0.00	3.14	90.0	0.05
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.20	0.00	2.20	90.0	0.04
02/22/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.92	0.00	2.92	90.0	0.05
02/22/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.25	0.00	8.25	90.0	0.14
02/22/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.65	0.00	2.65	90.0	0.05
02/22/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	121.52	0.00	121.52	90.0	2.07
02/22/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	124.50	0.00	124.50	90.0	2.12
02/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.92	0.00	29.92	90.0	0.51
02/22/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.62	0.00	20.62	90.0	0.35
02/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.99	0.00	0.99	90.0	0.02
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.03	0.00	7.03	90.0	0.12
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.89	0.00	0.89	90.0	0.02
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.80	0.00	8.80	90.0	0.15
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.96	0.00	1.96	90.0	0.03
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.27	0.00	1.27	90.0	0.02
02/22/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.73	0.00	3.73	90.0	0.06
03/08/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	1,008.86	50.44	22.00	28.44	90.0	0.48
02/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.49	0.00	11.49	90.0	0.20
02/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.25	0.00	6.25	90.0	0.11
02/22/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.52	0.00	6.52	90.0	0.11
02/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.30	0.00	15.30	90.0	0.26
02/22/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.09	0.00	3.09	90.0	0.05
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.40	0.00	3.40	90.0	0.06
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.50	0.00	0.50	90.0	0.01
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.07	0.00	4.07	90.0	0.07
02/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.74	0.00	0.74	90.0	0.01
02/22/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	0.04
02/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.51	0.00	1.51	90.0	0.03
02/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.48	0.00	20.48	90.0	0.35
02/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.71	0.00	1.71	90.0	0.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.05
02/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.04
02/22/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.25	0.00	11.25	90.0	0.19
02/22/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.39	0.00	99.39	90.0	1.69
02/22/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.36	0.00	11.36	90.0	0.19
02/22/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	4.93	0.00	4.93	90.0	0.08
02/22/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	0.11
02/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	7.17	0.00	7.17	90.0	0.12
02/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	0.16
02/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.68	0.00	4.68	90.0	0.08
02/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	5.89	0.00	5.89	90.0	0.10
02/22/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.32	0.00	11.32	90.0	0.19
02/22/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.16	0.00	3.16	90.0	0.05
02/22/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.16	0.00	2.16	90.0	0.04
02/22/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
02/22/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.25	0.00	1.25	90.0	0.02
02/22/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.79	0.00	0.79	90.0	0.01
02/13/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	7.21	0.00	7.21	90.0	0.12
02/13/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	17.98	0.00	17.98	90.0	0.31
02/13/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.20	0.00	8.20	90.0	0.14
02/13/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	17.38	0.00	17.38	90.0	0.30
02/13/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.98	0.00	16.98	90.0	0.29
02/13/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.74	0.00	0.74	90.0	0.01
02/13/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	10.19	0.00	10.19	90.0	0.17
02/13/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	24.38	0.00	24.38	90.0	0.41
02/13/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	9.05	0.00	9.05	90.0	0.15
02/13/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	21.33	0.00	21.33	90.0	0.36
02/13/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	6.19	0.00	6.19	90.0	0.11
02/13/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.52	0.00	6.52	90.0	0.11
02/13/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	29.94	0.00	29.94	90.0	0.51
02/13/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	12.93	0.00	12.93	90.0	0.22
02/13/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	10.29	0.00	10.29	90.0	0.17
02/13/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	16.01	0.00	16.01	90.0	0.27

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.35	0.00	1.35	90.0	0.02
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.29	0.00	1.29	90.0	0.02
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.44	0.00	0.44	90.0	0.01
02/22/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.67	0.00	0.67	90.0	0.01
02/22/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.62	0.00	2.62	90.0	0.04
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.38	0.00	3.38	90.0	0.06
02/22/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	1.05	0.00	1.05	90.0	0.02
02/22/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	42.87	0.00	42.87	90.0	0.73
02/22/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.70	0.00	2.70	90.0	0.05
02/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.83	0.00	1.83	90.0	0.03
02/21/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	269.98	0.00	269.98	90.0	4.59
02/12/2018	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	219.27	0.00	219.27	90.0	3.73
02/12/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.71	0.00	55.71	90.0	0.95
02/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	154.13	0.00	154.13	90.0	2.62
02/21/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	15.09	0.00	15.09	90.0	0.26
02/21/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.37	0.00	3.37	90.0	0.06
02/22/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.39	0.00	11.39	90.0	0.19
02/22/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.77	0.00	1.77	90.0	0.03
02/22/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.38	0.00	0.38	90.0	0.01
02/22/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.79	0.00	11.79	90.0	0.20
02/28/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.68	0.00	1.68	90.0	0.03
02/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	131,559.22	109.63	0.00	109.63	90.0	1.86
02/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.54	0.00	0.54	90.0	0.01
02/28/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.61	0.00	6.61	90.0	0.11
03/01/2018	KLEIN, CONSTANCE	WELLS FARGO & CO NEW COM	-18,694.20	30.00	22.50	7.50	90.0	0.13
02/16/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.63	0.00	10.63	90.0	0.18
02/16/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.64	0.00	23.64	90.0	0.40
02/16/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.33	0.00	11.33	90.0	0.19
02/16/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	19.51	0.00	19.51	90.0	0.33
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	7.01	0.00	7.01	90.0	0.12
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.59	0.00	0.59	90.0	0.01
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.57	0.00	0.57	90.0	0.01
 * MARC, L134 LINSKY SUBTOTAL * 			\$1,967,598.47	\$6,150.85	\$44.50	\$6,106.35		\$103.85

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: DANIEL, C142 CHARLEY #338								
02/28/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	10,822.33	27.77	0.00	27.77	90.0	0.47
		* DANIEL, C142 CHARLEY SUBTOTAL *	\$10,822.33	\$27.77	\$0.00	\$27.77		\$0.47
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$2,534,294.60	\$10,405.54	\$44.50	\$10,361.04		\$607.06

ADJUSTMENTS

03/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
03/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
03/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
03/15/2018		*EO INS: CURRENT MONTH	0.00					-150.00
03/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
03/15/2018		*EO INS: CURRENT MONTH INS	0.00					-81.00
03/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
03/15/2018		*EO INS: E&O WEATHERBIE	0.00					-75.00
03/15/2018		*FEDERAL EXPRESS: 6-095-43332 02/21	0.00					-27.58
03/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
03/15/2018		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
03/15/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
03/15/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
03/15/2018		*MISC: CT 6470482	0.00					2,500.00
03/15/2018		*MISC: CT 6470482	0.00					2,500.00
03/15/2018		*MISC: CT 6470482	0.00					1,000.00
03/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
03/15/2018		*MISC: OVERNIGHT FEES	0.00					-13.34
03/15/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
03/15/2018		*PERSHING: MAILCOURIER FEE - BART	0.00					-3.00
03/15/2018		*PERSHING: MANDITORY REORG - JOHNSO	0.00					-5.00
03/15/2018		*PERSHING: MANDITORY REORG - PIZZAN	0.00					-5.00
03/15/2018		*PERSHING: PERSHING MARKUP	0.00					7.50
03/15/2018		*PERSHING: PERSHING MARKUP	0.00					60.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*PERSHING: PERSHING MARKUP	0.00					32.40
03/15/2018		*PERSHING: STREAMING QUOTES	0.00					-68.00
03/15/2018		*PERSHING: MANDITORY REORG - MARDUL	0.00					-5.00
03/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVER	0.00					326.12
03/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVER	0.00					56.22
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					99.97
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					6.74
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					0.47
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					5.76
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					1,955.19
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					278.94
03/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					4.10
03/15/2018		*TECH: ADMIN FULMER	0.00					-50.00
03/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-34.00
03/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
03/15/2018		*TECH: CURRENT MONTH TECH	0.00					-74.25
03/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
03/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-137.50
03/15/2018		*TECHNOLOGY: CURRENT MONTH RAUPP	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$10,767.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$25,995.91
Split Transactions	\$36,461.15
Override Transactions	\$607.06
Adjustments	\$10,767.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$73,831.79
Year-to-date Gross	\$411,980.93
Year-to-date Earnings	\$415,042.86