



COMMISSION STATEMENT

June 25, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/15/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @35.1900						
06/20/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @35.2600						
06/21/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	88.04	0.88	0.00	0.88	80.0	0.70
	ACCOUNT #: 04000939769	BUY 6 Units @15.3300						
06/04/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.00	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @28.7200						
06/05/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.2800						
06/05/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.2800						
06/05/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.2800						
06/05/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.2800						
06/06/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	28.31	1.42	0.00	1.42	80.0	1.14
	ACCOUNT #: 04000812765	BUY 1 Units @29.0900						
06/08/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @28.0400						
06/08/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @28.0400						
06/08/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @28.0400						
06/08/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @28.0400						
06/08/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @28.0400						
06/08/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @28.0400						
06/08/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @28.0400						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/08/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @28.0400						
06/08/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.4800						
06/08/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.4800						
06/08/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.4800						
06/08/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.4800						
06/08/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	54.32	2.04	0.00	2.04	80.0	1.63
	ACCOUNT #: 04000627501	BUY 2 Units @28.7700						
06/08/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	54.32	2.04	0.00	2.04	80.0	1.63
	ACCOUNT #: 04000627501	BUY 2 Units @28.7700						
06/08/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.03	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.7700						
06/08/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	28.03	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 04000627501	BUY 1 Units @28.7700						
06/08/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	18.48	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 04000627501	BUY 1 Units @28.7700						
06/08/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	18.48	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 04000627501	BUY 1 Units @28.7700						
06/11/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.5200						
06/11/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.5200						
06/11/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.5200						
06/11/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.5200						
06/11/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	23.95	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000627501	BUY 1 Units @28.8200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/11/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	23.95	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000627501	BUY 1 Units @28.8200						
06/13/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	26.22	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000812765	BUY 1 Units @29.0100						
06/15/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.7800						
06/15/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @27.7800						
06/15/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.7800						
06/15/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @27.7800						
06/15/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.7800						
06/15/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @27.7800						
06/15/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @27.7800						
06/15/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @27.7800						
06/15/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.3500						
06/15/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.3500						
06/15/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.3500						
06/15/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.3500						
06/20/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	25.27	1.26	0.00	1.26	80.0	1.01
	ACCOUNT #: 04000812765	BUY 1 Units @28.9500						
06/22/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.8300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/22/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.8300						
06/22/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.8300						
06/22/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.8300						
06/22/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.8300						
06/22/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.8300						
06/22/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.8300						
06/22/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.8300						
06/21/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	126.26	1.26	0.00	1.26	80.0	1.01
	ACCOUNT #: 04000939776	BUY 5 Units @27.0100						
06/05/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.0900						
06/05/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0900						
06/08/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1300						
06/08/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.1300						
06/11/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.1500						
06/11/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1500						
06/15/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1200						
06/15/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.1200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/06/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.6900						
06/13/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.6900						
06/20/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	1,095.00	54.75	0.00	54.75	80.0	43.80
	ACCOUNT #: 04000812746	BUY 70 Units @15.6100						
06/21/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	500.00	25.00	0.00	25.00	80.0	20.00
	ACCOUNT #: 04000939783	BUY 32 Units @15.5200						
06/21/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	60.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 04000939762	BUY 4 Units @14.3700						
06/21/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	74.26	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 04000939792	BUY 5 Units @14.3700						
06/21/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	97.60	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 04000939806	BUY 7 Units @14.3700						
06/05/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.8400						
06/05/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.8400						
06/06/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 22 Units @16.3300						
06/08/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9300						
06/08/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9300						
06/11/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9600						
06/11/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9600						
06/13/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 22 Units @16.3300						
06/15/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/15/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9100						
06/20/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	1,095.00	54.75	0.00	54.75	80.0	43.80
	ACCOUNT #: 04000813270	BUY 68 Units @16.2200						
06/06/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.7900						
06/06/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.7900						
06/08/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	33.53	1.26	0.00	1.26	80.0	1.01
	ACCOUNT #: 04000627489	BUY 2 Units @16.5300						
06/08/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.5300						
06/08/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	32.52	1.22	0.00	1.22	80.0	0.98
	ACCOUNT #: 04000627489	BUY 2 Units @16.5300						
06/08/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.5300						
06/08/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	29.83	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 04000627489	BUY 2 Units @16.5300						
06/08/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.5300						
06/11/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	29.70	1.11	0.00	1.11	80.0	0.89
	ACCOUNT #: 04000627489	BUY 2 Units @16.5800						
06/11/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.5800						
06/13/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.7700						
06/13/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.7700						
06/20/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	900.00	45.00	0.00	45.00	80.0	36.00
	ACCOUNT #: 04000812733	BUY 54 Units @16.6700						
06/20/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.6700						



COMMISSION STATEMENT

June 25, 2018

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BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/21/2018	***-**-3266 - DIDOMINZIO, ACCOUNT #: 04000939801	AMERICAN FUNDS 2040 TARGET DATE-A BUY 6 Units @16.5600	101.14	5.06	0.00	5.06	80.0	4.05
06/05/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.2200	45.41	1.25	0.00	1.25	80.0	1.00
06/05/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @16.2200	187.00	5.14	0.00	5.14	80.0	4.11
06/05/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.2200	100.00	2.75	0.00	2.75	80.0	2.20
06/05/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.2200	50.30	1.38	0.00	1.38	80.0	1.10
06/08/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.3100	187.00	5.14	0.00	5.14	80.0	4.11
06/08/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3100	45.41	1.25	0.00	1.25	80.0	1.00
06/08/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3100	50.30	1.38	0.00	1.38	80.0	1.10
06/08/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.3100	100.00	2.75	0.00	2.75	80.0	2.20
06/11/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3500	45.41	1.25	0.00	1.25	80.0	1.00
06/11/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.3500	187.00	5.14	0.00	5.14	80.0	4.11
06/11/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3500	50.30	1.38	0.00	1.38	80.0	1.10
06/11/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.3500	100.00	2.75	0.00	2.75	80.0	2.20
06/15/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.3000	187.00	5.14	0.00	5.14	80.0	4.11
06/15/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3000	45.41	1.25	0.00	1.25	80.0	1.00
06/15/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3000	50.30	1.38	0.00	1.38	80.0	1.10



COMMISSION STATEMENT

June 25, 2018

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BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/15/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.3000	100.00	2.75	0.00	2.75	80.0	2.20
06/08/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1500	75.00	1.20	0.00	1.20	80.0	0.96
06/08/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1500	75.00	1.20	0.00	1.20	80.0	0.96
06/15/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0800	75.00	0.90	0.00	0.90	80.0	0.72
06/15/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0800	75.00	0.90	0.00	0.90	80.0	0.72
06/22/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0600	75.00	1.20	0.00	1.20	80.0	0.96
06/22/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0600	75.00	1.20	0.00	1.20	80.0	0.96
06/08/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
06/08/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
06/08/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
06/08/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
06/08/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
06/08/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.3800	25.00	0.25	0.00	0.25	80.0	0.20
06/08/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3800	240.50	2.41	0.00	2.41	80.0	1.93
06/08/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.3800	66.96	0.67	0.00	0.67	80.0	0.54
06/08/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.3800	240.50	2.41	0.00	2.41	80.0	1.93



COMMISSION STATEMENT

June 25, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/08/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.3800						
06/08/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.3800						
06/08/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.3800						
06/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
06/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	36.44	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00085621852	BUY 2 Units @15.3800						
06/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
06/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	38.36	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00085621852	BUY 2 Units @15.3800						
06/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.3800						
06/08/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	37.96	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 00085621852	BUY 2 Units @15.3800						
06/11/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.4200						
06/11/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.4200						
06/11/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.4200						
06/11/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.4200						
06/11/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.4200						
06/11/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	34.40	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00085621852	BUY 2 Units @15.4200						
06/15/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 00067807144	BUY 2 Units @53.6500						



COMMISSION STATEMENT

June 25, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/20/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @53.2700						
06/15/2018	***-**-078I - PELLETIER,	SAMMONS LIVEWELL MUTUAL FUND	458.33	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
06/08/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6000						
06/08/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6000						
06/15/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5200						
06/15/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 2 Units @13.5200						
06/20/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @13.8000						
06/22/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6100						
06/22/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.6100						
06/15/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 3 Units @46.9400						
06/20/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @46.5400						
* MUTUAL FUNDS SUBTOTAL *			\$17,217.05	\$519.74	\$0.00	\$519.74		\$415.68
PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES								
06/18/2018	***-**-0216 - STEINBACHER,	AMERICAN LANDMARK 5	79,639.34	3,185.57	0.00	3,185.57	100.0	3,185.57
		BUY 1 Units @79654.0000						
06/18/2018	***-**-4768 - MSADOQUIS,	AMERICAN LANDMARK 5	61,891.25	2,475.65	0.00	2,475.65	100.0	2,475.65
		BUY 1 Units @60307.0000						
06/25/2018	***-**-552I - FAMIGLIETTI,	AMERICAN LANDMARK 5	83.33	3.12	0.00	3.12	100.0	3.12
		BUY 1 Units @83.3300						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$141,613.92	\$5,664.34	\$0.00	\$5,664.34		\$5,664.34



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/25/2018	***-**-90IN - GAW, PATRICIA	AIM CHARTER FUND - CLASS A	0.00	3.21	0.00	3.21	80.0	2.57
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
06/25/2018	***-**-862I - CARBONE, WILLIAM	CAPITAL APPRECIATION A	0.00	4.36	0.00	4.36	80.0	3.49
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
06/25/2018	***-**-862I - CARBONE, WILLIAM	EQUITY INCOME FUND, INC. A	0.00	6.70	0.00	6.70	80.0	5.36
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
06/25/2018	***-**-862I - CARBONE, WILLIAM	GLOBAL FUND A	0.00	4.91	0.00	4.91	80.0	3.93
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
06/25/2018	***-**-862I - CARBONE, WILLIAM	INTERNATIONAL BOND A	0.00	2.82	0.00	2.82	80.0	2.26
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
06/25/2018	***-**-90IN - GAW, PATRICIA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	8.88	0.00	8.88	80.0	7.10
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
06/25/2018	***-**-90IN - GAW, PATRICIA	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	3.26	0.00	3.26	80.0	2.61
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
06/25/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	5.07	0.00	5.07	80.0	4.06
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
06/25/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	5.03	0.00	5.03	80.0	4.02
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
06/08/2018	***-**-788I - LIPEIKA, RONALD	SAMMONS MF TRAILS	0.00	45.60	0.00	45.60	80.0	36.48
	ACCOUNT #: 7200016493	BUY 0 Units @0.0000						
06/11/2018	***-**-187I - HENEERY, KEVIN	SAMMONS MF TRAILS	0.00	33.15	0.00	33.15	80.0	26.52
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
06/11/2018	***-**-027I - ADAMAITIS,	SAMMONS MF TRAILS	0.00	11.96	0.00	11.96	80.0	9.57
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
06/13/2018	***-**-90SI - MACARON, SHADY	SAMMONS MF TRAILS	0.00	70.72	0.00	70.72	80.0	56.58
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
06/13/2018	***-**-118I - MORRO, CHARLOTTE	SAMMONS MF TRAILS	0.00	8.08	0.00	8.08	80.0	6.46
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$213.75	\$0.00	\$213.75		\$171.01
*** BROKER TRANSACTIONS TOTAL ***			\$158,830.97	\$6,397.83	\$0.00	\$6,397.83		\$6,251.03



BROKER #: 180
BRANCH #01001 - LifeMark Home Office

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$6,251.03
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$6,251.03
						Year-to-date Earnings	\$47,797.20