

All Companies
STATEMENT
August 20, 2018

THOMAS MCGUIRE
16 Wiltshire Dr
SUITE 620
Hattiesburg, MS 39402

BROKER #: 131
BRANCH:

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/20/2018	#08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
* MUTUAL FUNDS SUBTOTAL *				\$50.00	\$2.00		\$0.00	\$1.86	\$0.00	\$1.86
PRODUCT CATEGORY: VARIABLE ANNUITIES										
05/01/0018	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.55	93.0	0.00	24.69	0.00	24.69
05/01/0018	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.55	93.0	0.00	24.69	0.00	24.69
07/03/0018	#C006650SV	SPARKS, MARRETA	VOYA	0.00	507.34	93.0	0.00	471.83	0.00	471.83
07/30/0201	#2020016514	SPARKS, MARRETA	SECURITY BENEFIT	0.00	57.44	93.0	0.00	53.42	0.00	53.42
07/30/0201	#2020012081	RAYBURN,	SECURITY BENEFIT	0.00	42.10	93.0	0.00	39.15	0.00	39.15
07/30/0201	#2020015967	LOTT, LOUIS	SECURITY BENEFIT	0.00	57.94	93.0	0.00	53.88	0.00	53.88
07/30/0201	#2020012433	RAYBURN,	SECURITY BENEFIT	0.00	45.06	93.0	0.00	41.91	0.00	41.91
07/30/0201	#2020012509	RAYBURN,	SECURITY BENEFIT	0.00	37.04	93.0	0.00	34.45	0.00	34.45
07/02/2018	#3202168798	SPARKS, MARRETA	BRIGHTHOUSE HCAPAL1	0.00	663.09	93.0	0.00	616.67	0.00	616.67
07/02/2018	#3202173285	GARDNER, JOHN	BRIGHTHOUSE HCAPAL1	0.00	76.63	93.0	0.00	71.27	0.00	71.27
07/02/2018	#3202573763	PARKER, LOUIS	BRIGHTHOUSE HCAPAL1	0.00	92.43	93.0	0.00	85.96	0.00	85.96
07/31/2018	#1018873628	DAVIS, MARY	ELITE ACCESS L	42,391.19	35.33	93.0	0.00	32.86	0.00	32.86
07/31/2018	#009629647A	GRAY, LARRY	L SERIES	61,269.78	66.38	93.0	0.00	61.73	0.00	61.73
* VARIABLE ANNUITIES SUBTOTAL *				\$103,660.97	\$1,733.88		\$0.00	\$1,612.51	\$0.00	\$1,612.51
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/01/2018	#00090236492	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-A	0.00	7.78	93.0	0.00	7.24	0.00	7.24
07/01/2018	#08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	0.00	3.88	93.0	0.00	3.61	0.00	3.61
07/11/2018	#	HUNT, MINNIE	DAIN TRAILER	0.00	19.21	93.0	0.00	17.87	0.00	17.87
07/11/2018	#	HUNT, MINNIE	DAIN TRAILER	0.00	9.62	93.0	0.00	8.95	0.00	8.95
07/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	12.80	93.0	0.00	11.90	0.00	11.90
07/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	65.98	93.0	0.00	61.36	0.00	61.36
07/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	116.59	93.0	0.00	108.43	0.00	108.43
07/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	77.21	93.0	0.00	71.81	0.00	71.81
07/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	16.43	93.0	0.00	15.28	0.00	15.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	1.06	93.0	0.00	0.99	0.00	0.99
07/11/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	28.66	93.0	0.00	26.65	0.00	26.65
07/11/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.77	93.0	0.00	2.58	0.00	2.58
07/11/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	2.14	93.0	0.00	1.99	0.00	1.99
07/11/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	22.40	93.0	0.00	20.83	0.00	20.83
07/11/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	19.49	93.0	0.00	18.13	0.00	18.13
07/11/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.94	93.0	0.00	0.87	0.00	0.87
07/11/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.58	93.0	0.00	3.33	0.00	3.33
07/11/2018	# DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.97	93.0	0.00	0.90	0.00	0.90
07/11/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.62	93.0	0.00	0.58	0.00	0.58
07/11/2018	# LYNN, JOHN	DAIN TRAILER	0.00	1.31	93.0	0.00	1.22	0.00	1.22
07/11/2018	# LYNN, JOHN	DAIN TRAILER	0.00	2.00	93.0	0.00	1.86	0.00	1.86
07/11/2018	# LYNN, JOHN	DAIN TRAILER	0.00	2.73	93.0	0.00	2.54	0.00	2.54
07/11/2018	# LYNN, JOHN	DAIN TRAILER	0.00	0.68	93.0	0.00	0.63	0.00	0.63
07/11/2018	# CAROSELLO, JANE	DAIN TRAILER	0.00	4.04	93.0	0.00	3.76	0.00	3.76
07/11/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	36.46	93.0	0.00	33.91	0.00	33.91
07/11/2018	# SPARKS, THOMAS	DAIN TRAILER	0.00	6.65	93.0	0.00	6.18	0.00	6.18
07/11/2018	# MOSS, WILLIAM	DAIN TRAILER	0.00	2.49	93.0	0.00	2.32	0.00	2.32
07/11/2018	# MOSS, WILLIAM	DAIN TRAILER	0.00	5.32	93.0	0.00	4.95	0.00	4.95
07/11/2018	# MOSS, CARLA	DAIN TRAILER	0.00	5.93	93.0	0.00	5.51	0.00	5.51
07/11/2018	# MOSS, CARLA	DAIN TRAILER	0.00	58.86	93.0	0.00	54.74	0.00	54.74
07/11/2018	# MOSS, CARLA	DAIN TRAILER	0.00	44.32	93.0	0.00	41.22	0.00	41.22
07/11/2018	# MOSS, CARLA	DAIN TRAILER	0.00	22.68	93.0	0.00	21.09	0.00	21.09
07/11/2018	# MOSS, CARLA	DAIN TRAILER	0.00	13.16	93.0	0.00	12.24	0.00	12.24
07/11/2018	# MOSS, CARLA	DAIN TRAILER	0.00	28.83	93.0	0.00	26.81	0.00	26.81
07/11/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.16	93.0	0.00	2.94	0.00	2.94
07/11/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.44	93.0	0.00	2.27	0.00	2.27
07/11/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.12	93.0	0.00	1.97	0.00	1.97
07/11/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.06	93.0	0.00	1.92	0.00	1.92
07/11/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	3.10	93.0	0.00	2.88	0.00	2.88
07/11/2018	# PARKER, LOUIS	DAIN TRAILER	0.00	2.35	93.0	0.00	2.19	0.00	2.19
07/11/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.82	93.0	0.00	4.48	0.00	4.48
07/11/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.59	93.0	0.00	4.27	0.00	4.27
07/11/2018	# YAWN, FAITH	DAIN TRAILER	0.00	5.29	93.0	0.00	4.92	0.00	4.92
07/11/2018	# YAWN, FAITH	DAIN TRAILER	0.00	4.17	93.0	0.00	3.88	0.00	3.88
07/11/2018	# YAWN, FAITH	DAIN TRAILER	0.00	9.89	93.0	0.00	9.20	0.00	9.20

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/11/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	5.05	93.0	0.00	4.70	0.00	4.70
07/11/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	16.74	93.0	0.00	15.57	0.00	15.57
07/11/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	31.69	93.0	0.00	29.47	0.00	29.47
07/13/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	44.84	93.0	0.00	41.70	0.00	41.70
07/18/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	122.89	93.0	0.00	114.29	0.00	114.29
07/18/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	40.96	93.0	0.00	38.09	0.00	38.09
07/18/2018 #	PARKER, VERONICA	DAIN TRAILER	0.00	12.48	93.0	0.00	11.61	0.00	11.61
07/18/2018 #	PARKER, VERONICA	DAIN TRAILER	0.00	4.16	93.0	0.00	3.87	0.00	3.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$970.39		\$0.00	\$902.50	\$0.00	\$902.50
*** BROKER TRANSACTIONS TOTAL ***			\$103,710.97	\$2,706.27		\$0.00	\$2,516.87	\$0.00	\$2,516.87

ADJUSTMENTS

08/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,516.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,947.87
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