



All Companies
COMMISSION STATEMENT
February 01, 2018

01/30/2018
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RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,568.67	1,568.67	0.00	1,568.67	85.0	1,333.37
01/12/2018	DILL, SYLVIA K	ING GLOBAL NATURAL RESOURCES CLASS	B	500.00	23.75	0.00	23.75	85.0	20.19
01/19/2018	00002196237 PARKS, EILEEN/EMMA	US GLOBAL LEADERS GROWTH A	B	1,700.00	72.25	0.00	72.25	85.0	61.41
01/19/2018	00002196244 PARKS, EILEEN/KATHERIN	US GLOBAL LEADERS GROWTH A	B	1,700.00	72.25	0.00	72.25	85.0	61.41
01/16/2018	00063561880 WALKER, ROBERT A.	FIDELITY ADV STRATEGIC INCOME CL C	B	300.00	3.00	0.00	3.00	85.0	2.55
01/16/2018	00655125367 TURNER, DONNA	FIDELITY ADV CONSUMER STAPLES-CL A	B	125.00	3.44	0.00	3.44	85.0	2.92
01/02/2018	00689654523 BOYLE, JOHN /MARION	FIDELITY ADVISORS HIGH INCOME MUNI	B	1,500.00	30.00	0.00	30.00	85.0	25.50
01/02/2018	00704636216 MICHAEL A SCHOEMEHL, BENE	FA 529 PORT 2025-CL A	B	50.00	2.50	0.00	2.50	85.0	2.13
01/03/2018	01476253404 DUNFORD, GINA M.	FA 529 COLLEGE PORT CL C	B	500.00	5.00	0.00	5.00	85.0	4.25
01/03/2018	01476253412 DUNFORD, GINA M.	FA 529 PORT 2019-CL C	B	10,000.00	100.00	0.00	100.00	85.0	85.00
01/16/2018	01476253412 DUNFORD, GINA M.	FA 529 PORT 2019-CL C	B	50.00	0.50	0.00	0.50	85.0	0.43
01/16/2018	01482022975 FENGLER, KELLY	FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
* MUTUAL FUNDS SUBTOTAL *				\$18,093.67	\$1,882.36	\$0.00	\$1,882.36		\$1,600.01
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	1,878.17	1,878.17	0.00	1,878.17	85.0	1,596.44
* STOCKS SUBTOTAL *				\$1,878.17	\$1,878.17	\$0.00	\$1,878.17		\$1,596.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/05/2018	TRAILS	-JOHN HANCOCK	B	9.40	9.40	0.00	9.40	85.0	7.99
01/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	913.20	913.20	0.00	913.20	85.0	776.22
* VARIABLE ANNUITIES SUBTOTAL *				\$922.60	\$922.60	\$0.00	\$922.60		\$784.21
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	48690341 STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	186.33	0.00	186.33	85.0	158.38
01/12/2018	85905056 WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	489.00	0.00	489.00	85.0	415.65
* RIA SUBTOTAL *				\$0.00	\$675.33	\$0.00	\$675.33		\$574.03

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	132.95	0.00	132.95	85.0	113.01
02/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	259.65	0.00	259.65	85.0	220.71
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	228.85	0.00	228.85	85.0	194.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$621.45	\$0.00	\$621.45		\$528.25
*** BROKER TRANSACTIONS TOTAL ***				\$20,894.44	\$5,979.91	\$0.00	\$5,979.91		\$5,082.94

ADJUSTMENTS

02/01/2018	E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
02/01/2018	HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00	-243.00
02/01/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-370.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,082.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-370.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-17.41

Check Amount	\$4,695.03
Year-to-date Earnings	\$8,781.67