



COMMISSION STATEMENT

February 12, 2018

WILLIAM AGNELLO
28 Venice Circle
Rochester, NY 14609

BROKER #: 119
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI ENERGY INDEX ETF SELL 200 Units @19.2700	3,854.00	80.94	19.00	61.94	70.0	43.36
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI ENERGY INDEX ETF SELL 100 Units @19.2700	1,927.00	12.71	2.00	10.71	70.0	7.50
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI ENERGY INDEX ETF SELL 2 Units @19.2700	38.54	0.26	0.04	0.22	70.0	0.15
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI ENERGY INDEX ETF SELL 100 Units @19.2600	1,926.00	7.92	2.00	5.92	70.0	4.14
02/05/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	FIDELITY MSCI ENERGY INDEX ETF SELL 100 Units @19.2600	1,926.00	54.00	17.00	37.00	70.0	25.90
02/05/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	FIDELITY MSCI ENERGY INDEX ETF SELL 100 Units @19.2600	1,926.00	54.00	17.00	37.00	70.0	25.90
02/05/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	FIDELITY MSCI ENERGY INDEX ETF SELL 1 Units @19.2600	19.26	0.55	0.02	0.53	70.0	0.37
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 100 Units @41.3500	4,135.00	54.00	17.00	37.00	70.0	25.90
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 100 Units @41.3500	4,135.00	49.62	2.00	47.62	70.0	33.33
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 264 Units @41.3500	10,916.40	37.11	5.28	31.83	70.0	22.28
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 36 Units @41.3500	1,488.60	5.07	0.72	4.35	70.0	3.05
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 8 Units @41.3500	330.80	1.12	0.16	0.96	70.0	0.67
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 100 Units @41.3500	4,135.00	7.89	2.00	5.89	70.0	4.12
02/05/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 100 Units @41.3500	4,135.00	54.00	17.00	37.00	70.0	25.90
02/05/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 36 Units @41.3500	1,488.60	19.80	0.72	19.08	70.0	13.36
02/05/2018	***-**-28IR - CUP, ANDREW ACCOUNT #: LSR007129	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 64 Units @41.3500	2,646.40	29.82	1.28	28.54	70.0	19.98



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
02/05/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 103 Units @41.2500	4,248.75	55.65	17.06	38.59	70.0	27.01
02/05/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	FIDELITY MSCI HEALTH CARE INDEX ISI SELL 202 Units @41.6370	8,410.67	104.10	19.04	85.06	70.0	59.54
02/05/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	MCDONALDS CORP UNSOLICITED ORDER SELL 100 Units @167.8670	16,786.70	54.00	17.00	37.00	70.0	25.90
* STOCKS SUBTOTAL *			\$74,473.72	\$682.56	\$156.32	\$526.24		\$368.36
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
02/05/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	APOLLO COML REAL ESTATE FIN INC COM SELL 800 Units @17.7400	14,192.00	123.75	24.00	99.75	70.0	69.83
02/05/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	GRANITE PT MTG TR INC COM UNSOLICIT SELL 28 Units @16.7800	469.84	25.00	24.00	1.00	70.0	0.70
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 79 Units @8.8000	695.20	41.32	24.00	17.32	70.0	12.12
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 100 Units @8.7900	879.00	14.94	0.00	14.94	70.0	10.46
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 100 Units @8.7900	879.00	14.94	0.00	14.94	70.0	10.46
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 100 Units @8.7900	879.00	6.29	0.00	6.29	70.0	4.40
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 100 Units @8.7950	879.50	5.81	0.00	5.81	70.0	4.07
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 100 Units @8.7900	879.00	5.80	0.00	5.80	70.0	4.06
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 9 Units @8.8000	79.20	0.52	0.00	0.52	70.0	0.36
02/05/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	WESTERN ASSET MTG CAP CORP COM UNO SELL 64 Units @8.7900	562.56	3.71	0.00	3.71	70.0	2.60
02/05/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	WESTERN ASSET MTG CAP CORP COM UNO SELL 700 Units @9.0000	6,300.00	96.92	24.00	72.92	70.0	51.04
02/05/2018	***-**-82IO - PARISI ACCOUNT #: LSR007331	WESTERN ASSET MTG CAP CORP COM UNO SELL 191 Units @8.8000	1,680.80	14.51	24.00	-9.49	100.0	-9.49



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)</u>								
02/05/2018	***-**-82IO - PARISI	WESTERN ASSET MTG CAP CORP COM UNO	484.00	4.18	0.00	4.18	70.0	2.93
	ACCOUNT #: LSR007331	SELL 55 Units @8.8000						
02/05/2018	***-**-82IO - PARISI	WESTERN ASSET MTG CAP CORP COM UNO	2,068.00	17.85	0.00	17.85	70.0	12.50
	ACCOUNT #: LSR007331	SELL 235 Units @8.8000						
02/05/2018	***-**-82IO - PARISI	WESTERN ASSET MTG CAP CORP COM UNO	1,760.00	15.19	0.00	15.19	70.0	10.63
	ACCOUNT #: LSR007331	SELL 200 Units @8.8000						
02/05/2018	***-**-82IO - PARISI	WESTERN ASSET MTG CAP CORP COM UNO	79.20	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: LSR007331	SELL 9 Units @8.8000						
02/05/2018	***-**-82IO - PARISI	WESTERN ASSET MTG CAP CORP COM UNO	880.00	7.59	0.00	7.59	70.0	5.31
	ACCOUNT #: LSR007331	SELL 100 Units @8.8000						
02/05/2018	***-**-657I - RIEL, KEVIN	WESTERN ASSET MTG CAP CORP COM UNO	4,491.50	85.14	24.00	61.14	70.0	42.80
	ACCOUNT #: LSR007366	SELL 500 Units @8.9830						
02/05/2018	***-**-461J - PANZARELLA,	WESTERN ASSET MTG CAP CORP COM UNO	6,300.00	60.00	24.00	36.00	70.0	25.20
	ACCOUNT #: LSR010004	SELL 700 Units @9.0000						
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$44,437.80	\$544.14	\$168.00	\$376.14		\$260.46
<u>PRODUCT CATEGORY: 529 PLAN</u>								
02/09/2018	***-**-43FB - CUP, NICHOLAS	PORTFOLIO 2017-2020 A	2,000.00	85.00	0.00	85.00	70.0	59.50
	ACCOUNT #: 00052280820	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$2,000.00	\$85.00	\$0.00	\$85.00		\$59.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/12/2018	***-**-28IN - CHIAVETTA,	DELAWARE HIGH YIELD OPPS FUND A CLA	0.00	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
02/12/2018	***-**-43FB - CUP, NICHOLAS	JOHN HANCOCK 529 TRAILS	0.00	8.81	0.00	8.81	70.0	6.17
	ACCOUNT #: 00052280820	BUY 0 Units @0.0000						
02/12/2018	***-**-39IR - IRWIN	PRUDENTIAL GROWTH ALLOCATION CL B	0.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: LSR007242	BUY 1 Units @0.0000						
02/12/2018	***-**-59T3 - ETHEL CUP IRREV	PRUDENTIAL JENNISON CONSERV GROWTH	0.00	2.58	0.00	2.58	70.0	1.81
	ACCOUNT #: LSR006947	BUY 1 Units @0.0000						
02/12/2018	***-**-59T3 - ETHEL CUP IRREV	PRUDENTIAL JENNISON CONSERV GROWTH	0.00	1.89	0.00	1.89	70.0	1.32
	ACCOUNT #: LSR006947	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-925I - DIPAOLO, JOSEPH	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	1.70	0.00	1.70	70.0	1.19
	ACCOUNT #: LSR006874	BUY 1 Units @0.0000						
02/12/2018	***-**-963I - GARMARIELLO,	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	2.52	0.00	2.52	70.0	1.76
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
02/12/2018	***-**-39IN - IRWIN, DIANE	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	8.36	0.00	8.36	70.0	5.85
	ACCOUNT #: LSR007234	BUY 1 Units @0.0000						
02/12/2018	***-**-728X - CHIAVETTA, NSPS	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	1.51	0.00	1.51	70.0	1.06
	ACCOUNT #: LSR008699	BUY 1 Units @0.0000						
02/12/2018	***-**-28IN - CHIAVETTA,	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	7.94	0.00	7.94	70.0	5.56
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$37.49	\$0.00	\$37.49		\$26.25
	*** BROKER TRANSACTIONS TOTAL ***		\$120,911.52	\$1,349.19	\$324.32	\$1,024.87		\$714.57

BROKER COMMISSION TOTALS

Broker Transactions	\$714.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$714.57
Year-to-date Earnings	\$705.27