

COMMISSION STATEMENT

June 09, 2017

MICHAEL BAROLOTTA
57 EISENHOWER DRIVEBROKER #: 50
BRANCH: HOME OFFICE

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BAROLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/18/2017	75502093 FIDER, LOURDES	VANGUARD FTSE DEVELOPED MARKETS ETF S		286.41	0.00	10.00	-10.00	80.0	0.00
05/18/2017	75502101 CORSO, JOSEPH	VANGUARD FTSE DEVELOPED MARKETS ETF S		409.16	0.00	10.00	-10.00	80.0	0.00
* STOCKS SUBTOTAL *				\$695.57	\$0.00	\$20.00	\$-20.00		\$0.00

PRODUCT CATEGORY: RIA

05/23/2017	75502093 FIDER, LOURDES	FAS FEES	B	0.00	-9.54	10.00	-19.54	80.0	-17.63
05/23/2017	75502101 CORSO, JOSEPH	FAS FEES	B	0.00	-11.99	10.00	-21.99	80.0	-19.59
* RIA SUBTOTAL *				\$0.00	\$-21.53	\$20.00	\$-41.53		\$-37.22

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/09/2017	75501422 FROUXIDES, MENELAOS	AMERICAN BALANCED FUND INC CL F1	B	0.00	4.68	0.00	4.68	80.0	3.74
05/09/2017	75501422 FROUXIDES, MENELAOS	BLACKROCK EQUITY DIVIDEND FUND SVC	B	0.00	2.51	0.00	2.51	80.0	2.01
05/09/2017	75501422 FROUXIDES, MENELAOS	BOND FUND AMER INC CL F1	B	0.00	0.85	0.00	0.85	80.0	0.00
05/09/2017	75501422 FROUXIDES, MENELAOS	LORD ABBETT SHORT DURATION INCOME F	B	0.00	0.58	0.00	0.58	80.0	0.00
05/09/2017	75501422 FROUXIDES, MENELAOS	LORD ABBETT HIGH YIELD FUND CL-A	B	0.00	0.37	0.00	0.37	80.0	0.00
05/09/2017	75501422 FROUXIDES, MENELAOS	OPPENHEIMER SENIOR FLOATING RATE FU	B	0.00	1.06	0.00	1.06	80.0	0.85
05/09/2017	75501426 FROUXIDES, NATIA	INCOME FUND AMER INC CL F1	B	0.00	2.75	0.00	2.75	80.0	2.20
05/09/2017	75501426 FROUXIDES, NATIA	LORD ABBETT SHORT DURATION INCOME F	B	0.00	0.48	0.00	0.48	80.0	0.00
05/09/2017	75501426 FROUXIDES, NATIA	OPPENHEIMER STRATEGIC INCOME FD CL	B	0.00	0.94	0.00	0.94	80.0	0.00
05/10/2017	75501426 FROUXIDES, NATIA	**ABERDEEN GLOBAL HIGH INCOME	B	0.00	0.57	0.00	0.57	80.0	0.00
05/09/2017	75501427 FROUXIDES, MENELAOS	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	2.79	0.00	2.79	80.0	2.23
05/09/2017	75501427 FROUXIDES, MENELAOS	CAPITAL INCOME BUILDER FD CL F-1	B	0.00	6.34	0.00	6.34	80.0	5.07
05/09/2017	75501427 FROUXIDES, MENELAOS	CAPITAL WORLD BOND FUND CL F1	B	0.00	2.31	0.00	2.31	80.0	1.85
05/09/2017	75501427 FROUXIDES, MENELAOS	FIDELITY ADVISOR ENERGY CL A	B	0.00	1.73	0.00	1.73	80.0	1.38
05/09/2017	75501427 FROUXIDES, MENELAOS	FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	5.57	0.00	5.57	80.0	4.46
05/09/2017	75501427 FROUXIDES, MENELAOS	OPPENHEIMER STRATEGIC INCOME FD CL	B	0.00	4.17	0.00	4.17	80.0	3.34
05/09/2017	75501427 FROUXIDES, MENELAOS	OPPENHEIMER STEELPATH MLP ALPHA FUN	B	0.00	0.88	0.00	0.88	80.0	0.00
05/10/2017	75501427 FROUXIDES, MENELAOS	**ABERDEEN TOTAL RETURNBOND	B	0.00	4.86	0.00	4.86	80.0	3.89
05/10/2017	75501427 FROUXIDES, MENELAOS	**ABERDEEN GLOBAL HIGH INCOME	B	0.00	3.40	0.00	3.40	80.0	2.72
05/09/2017	75501428 FROUXIDES, NATIA	BLACKROCK NAT RES TR CL A	B	0.00	1.41	0.00	1.41	80.0	1.13
05/09/2017	75501428 FROUXIDES, NATIA	DREYFUS INVT FDS STANDISH GLOBAL FI	B	0.00	3.68	0.00	3.68	80.0	2.94
05/09/2017	75501428 FROUXIDES, NATIA	DREYFUS NY TAX EXEMPT BOND FUND INC	B	0.00	2.56	0.00	2.56	80.0	2.05

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/09/2017	75501428	FROUXIDES, NATIA	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	7.19	0.00	7.19	80.0	5.75
05/09/2017	75501428	FROUXIDES, NATIA	INCOME FUND AMER INC CL F1	B	0.00	11.48	0.00	11.48	80.0	9.18
05/09/2017	75501428	FROUXIDES, NATIA	LOOMIS SAYLES BOND FUND RETAIL CLAS B		0.00	6.01	0.00	6.01	80.0	4.81
05/09/2017	75501428	FROUXIDES, NATIA	OPPENHEIMER SENIOR FLOATING RATE FU B		0.00	5.10	0.00	5.10	80.0	4.08
05/09/2017	75501428	FROUXIDES, NATIA	OPPENHEIMER STEELPATH MLP INCOME FU B		0.00	1.15	0.00	1.15	80.0	0.92
05/09/2017	75501428	FROUXIDES, NATIA	US GOVT FUND CLASS A	B	0.00	1.45	0.00	1.45	80.0	1.16
05/09/2017	75501428	FROUXIDES, NATIA	WESTERN ASSET SHORT DURATION HIGH I B		0.00	1.33	0.00	1.33	80.0	1.06
05/09/2017	75501430	D'AGOSTINO, NICHOLAS	AMERICAN FUNDS 2025 TARGET DATE-A	B	0.00	2.31	0.00	2.31	80.0	1.85
05/09/2017	75501565	GIANNIZZERO, THOMAS	PUTNAM 746444108	B	0.00	8.53	0.00	8.53	80.0	6.82
05/09/2017	75501566	GIANNIZZERO, LAURE	MFS TOTAL RETURN FUND-A	B	0.00	7.45	0.00	7.45	80.0	5.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$106.49	\$0.00	\$106.49		\$81.45
*** BROKER TRANSACTIONS TOTAL ***					\$695.57	\$84.96	\$40.00	\$44.96		\$44.23

ADJUSTMENTS

06/09/2017		OTHER: OTHER-QUEST		0.00	0.00	0.00	0.00		-100.00
06/09/2017		OTHER: OTHER-RBC INCOME		0.00	0.00	0.00	0.00		13.33
06/09/2017		OTHER: OTHER-E & O ALREADY PAID		0.00	0.00	0.00	0.00		200.00
06/09/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
06/09/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/09/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
06/09/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-139.67

BROKER COMMISSION TOTALS

Broker Transactions	\$44.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-139.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.11
SIPC Assessment	\$-1.59

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-97.14
Year-to-date Earnings	\$39,751.60