

**COMMISSION STATEMENT****December 31, 2017****DANIEL RAUPP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: R132

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/14/2017	DORSO, FRANK	GENERAL CANNABIS CORP COM	-3,360.00	0.00	23.50	-23.50	100.0	-23.50
12/22/2017	DORSO, FRANK	GENERAL CANNABIS CORP COM	4,090.00	0.00	23.50	-23.50	100.0	-23.50
* STOCKS SUBTOTAL *			\$730.00	\$0.00	\$47.00	\$-47.00		\$-47.00
*** CLEARING TRANSACTIONS TOTAL ***			\$730.00	\$0.00	\$47.00	\$-47.00		\$-47.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
12/22/2017	BUSY NEST ENTERPRISE	INLAND ZERO COUPON PHARM'Y VIII DST	24,000.00	1,200.00	0.00	1,200.00	100.0	1,200.00
12/05/2017	OSTRANDER, JOANNA	PASSCO WATERVUE DST	1,000,000.00	60,000.00	0.00	60,000.00	100.0	60,000.00
12/22/2017	BUSY NEST ENTERPRISE	PASSCO WATERVUE DST	219,817.00	13,189.02	0.00	13,189.02	100.0	13,189.02
* 1031 EXCHANGES SUBTOTAL *			\$1,243,817.00	\$74,389.02	\$0.00	\$74,389.02		\$74,389.02
*** DIRECT TRANSACTIONS TOTAL ***			\$1,243,817.00	\$74,389.02	\$0.00	\$74,389.02		\$74,389.02

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
11/30/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.81	0.00	8.81	100.0	8.81
12/18/2017	HENNEFORTH, PAUL	COLONY NORTHSTAR INC CL A COM	-3,631.02	0.00	11.24	-11.24	100.0	-11.24
12/18/2017	HENNEFORTH, PAUL	NEW YORK REIT INC COM	-1,917.47	0.00	11.37	-11.37	100.0	-11.37
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$-5,548.49	\$8.81	\$22.61	\$-13.80		\$-13.80
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
12/08/2017	SEITZ & CO INC, DONALD	TEI DIVERSIFIED INC & OPP FD 3	100,000.00	2,380.00	0.00	2,380.00	100.0	2,380.00
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$100,000.00	\$2,380.00	\$0.00	\$2,380.00		\$2,380.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
12/15/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,001.70	4.88	3.30	1.58	100.0	1.58
12/18/2017	WASSERMAN TRUST, NINA	SNAP INC CL A	8,387.60	0.00	3.42	-3.42	100.0	-3.42
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$9,389.30	\$4.88	\$6.72	\$-1.84		\$-1.84
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
11/30/2017	CONNORS, ELIZABETH	PASSCO WATERVUE DST	1,000,000.00	45,000.00	0.00	45,000.00	100.0	45,000.00
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$1,000,000.00	\$45,000.00	\$0.00	\$45,000.00		\$45,000.00
<u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u>								
12/22/2017	TSANG, RUBY	INLAND COLORADO MF PF III DST	217,170.00	2,171.74	0.00	2,171.74	100.0	2,171.74
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			\$217,170.00	\$2,171.74	\$0.00	\$2,171.74		\$2,171.74
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
11/22/2017	FHL WILLOW POND	PASSCO GREENWOOD DST	2,009,937.00	27,636.64	0.00	27,636.64	100.0	27,636.64
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$2,009,937.00	\$27,636.64	\$0.00	\$27,636.64		\$27,636.64
*** SPLIT TRANSACTIONS TOTAL ***			\$3,330,947.81	\$77,202.07	\$29.33	\$77,172.74		\$77,172.74

OVERRIDE TRANSACTIONS

<u>BROKER: MARC LINSKY #10</u>								
12/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
12/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
12/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
* MARC LINSKY SUBTOTAL *			\$1,800.00	\$40.00	\$0.00	\$40.00		\$0.69
<u>BROKER: ROY PFLEGER II #244</u>								
12/01/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	116,747.65	48.53	0.00	48.53	75.0	6.07
* ROY PFLEGER II SUBTOTAL *			\$116,747.65	\$48.53	\$0.00	\$48.53		\$6.07
<u>BROKER: JON PAUL CIRELLI #246</u>								
12/01/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	395,098.44	164.24	0.00	164.24	95.0	8.21
11/30/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.96	0.00	20.96	95.0	1.05
12/17/2017	CIRELLI, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.38	0.00	3.38	95.0	0.17
12/07/2017	ROULHAC, JAMES	METLIFE SHIELD LEVEL SELECT 6 YR	20,611.10	1,236.67	0.00	1,236.67	95.0	61.83
* JON PAUL CIRELLI SUBTOTAL *			\$415,709.54	\$1,425.25	\$0.00	\$1,425.25		\$71.26

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JOHN KLETT #250</u>								
12/22/2017	PIZZANO, GERALD	NIKE INC CLASS B	5,340.21	25.00	22.50	2.50	75.0	0.31
12/22/2017	PIZZANO, GERALD	NIKE INC CLASS B	10,052.00	25.00	22.50	2.50	75.0	0.31
* JOHN KLETT SUBTOTAL *			\$15,392.21	\$50.00	\$45.00	\$5.00		\$0.62
<u>BROKER: MARC LINSKY #263</u>								
12/04/2017	HAMMOND, HAROLD	PACIFIC LIFE TRAILS	0.00	82.90	0.00	82.90	90.0	1.41
12/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
12/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
12/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	111.84	5.59	22.00	-16.41	90.0	-0.28
12/17/2017	LINSKY, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.88	0.00	44.88	90.0	0.76
12/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	204.75	2.05	22.00	-19.95	90.0	-0.34
12/08/2017	DAMICO, JOSEPH	LFG CP ASSURANCE SERIES	0.00	20,000.00	0.00	20,000.00	90.0	340.00
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	961.20	31.24	22.00	9.24	90.0	0.16
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	774.30	25.16	22.00	3.16	90.0	0.05
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	146.85	4.77	22.00	-17.23	90.0	-0.29
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	200.25	6.51	22.00	-15.49	90.0	-0.26
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	952.60	14.29	22.00	-7.71	90.0	-0.13
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	770.74	11.56	22.00	-10.44	90.0	-0.18
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	138.56	2.08	22.00	-19.92	90.0	-0.34
12/15/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	186.19	2.79	22.00	-19.21	90.0	-0.33
12/11/2017	ROULHAC, DEBRA	METLIFE SHIELD LEVEL SELECT 6 YR	45,880.21	2,752.82	0.00	2,752.82	90.0	46.80
12/11/2017	DAMICO, JOSEPH	METLIFE SHIELD LEVEL SELECT 6 YR	100,000.00	6,000.00	0.00	6,000.00	90.0	102.00
12/15/2017	PRATOLA, PRESTON	NOBLE CORP PLC SHS USD ISIN#GB00BFG	-4,540.08	30.00	23.80	6.20	90.0	0.11
12/11/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	56.14	0.00	56.14	90.0	0.95
12/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	221.35	0.00	221.35	90.0	3.76
* MARC LINSKY SUBTOTAL *			\$146,237.41	\$29,316.63	\$243.80	\$29,072.83		\$494.23
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
12/20/2017	LANZA, JULIANA	BIODELIVERY SCIENCES INTL INC COM	-6,072.83	295.82	25.25	270.57	90.0	9.20
12/20/2017	MAZZARA, MICHELLE	ENDO INTERNATIONAL PLC	-997.73	20.00	22.50	-2.50	90.0	-0.09
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$-7,070.56	\$315.82	\$47.75	\$268.07		\$9.11
*** OVERRIDE TRANSACTIONS TOTAL ***			\$688,816.25	\$31,196.23	\$336.55	\$30,859.68		\$581.98

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<u>ADJUSTMENTS</u>								
12/31/2017		*FEDERAL EXPRESS: 6-024-15946 12/13	0.00					-20.83
12/31/2017		*FEDERAL EXPRESS: 6-031-36274 12/20	0.00					-128.22
12/31/2017		*MISC: 12/15/17 OVERNIGHT FEE	0.00					-20.00
12/31/2017		*MISC: C FULMER, A LEEDS K141	0.00					540.00
12/31/2017		*MISC: C FULMER, A LEEDS C141	0.00					540.00
12/31/2017		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
12/31/2017		*MISC: ROULAC	0.00					333.00
12/31/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
12/31/2017		*PERSHING: PERSHING MARKUP	0.00					60.00
12/31/2017		*PERSHING: PERSHING MARKUP	0.00					30.00
12/31/2017		*PERSHING: PERSHING MARKUP	0.00					9.00
12/31/2017		*SPLIT PAYOUT/OVERRIDE: FL BRANCH O	0.00					-0.02
12/31/2017		*SPLIT PAYOUT/OVERRIDE: FL BRANCH S	0.00					-0.05
12/31/2017		*SPLIT PAYOUT/OVERRIDE: NY BRANCH O	0.00					107.56
12/31/2017		*SPLIT PAYOUT/OVERRIDE: NY BRANCH S	0.00					257.56
12/31/2017		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PA	0.00					645.24
12/31/2017		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PA	0.00					1,256.21
12/31/2017		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					476.67
12/31/2017		*SPLIT PAYOUT/OVERRIDE: OVERRIDES P	0.00					1,287.58
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$7,825.70

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BROKER COMMISSION TOTALS

Broker Transactions	\$74,342.02
Split Transactions	\$77,172.74
Override Transactions	\$581.98
Adjustments	\$7,825.70
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$159,922.44
Year-to-date Gross	\$1,612,862.27
Year-to-date Earnings	\$1,631,350.64