

COMMISSION STATEMENT**December 31, 2017**

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: 13
BRANCH: HOME OFFICE

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: STOCKS**

11/30/2017	75501981	LAWRENCE, VICTORIA	CONTINENTAL RESOURCES INC	S	14,220.39	0.00	0.00	0.00	60.0	0.00
11/30/2017	75501981	LAWRENCE, VICTORIA	GOLDMAN SACHS GROUP INC	S	24,850.00	0.00	0.00	0.00	60.0	0.00
12/01/2017	75501981	LAWRENCE, VICTORIA	SAREPTA THERAPEUTICS INC COM	B	19,068.00	0.00	0.00	0.00	60.0	0.00
12/04/2017	75501986	LAWRENCE, CHRISTOPHER	PAYPAL HOLDINGS INC COM	B	3,459.88	0.00	0.00	0.00	60.0	0.00
12/27/2017	75501987	SCHWARTZ, MARILYN	IQVIA HOLDINGS INC COMMON STOCK	B	17,204.62	55.00	0.00	55.00	60.0	33.00
11/29/2017	75501989	BINES, BURTON	APPLE INC	B	25,140.00	0.00	0.00	0.00	60.0	0.00
11/29/2017	75501989	BINES, BURTON	CELGENE CORP	B	28,396.45	0.00	0.00	0.00	60.0	0.00
11/30/2017	75501989	BINES, BURTON	PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	32,300.00	0.00	0.00	0.00	60.0	0.00
12/18/2017	75501989	BINES, BURTON	MARATHON OIL CORP	S	15,243.00	0.00	0.00	0.00	60.0	0.00
12/27/2017	75501989	BINES, BURTON	IQVIA HOLDINGS INC COMMON STOCK	B	34,405.74	0.00	0.00	0.00	60.0	0.00
11/29/2017	75501991	NSH 81 LLC	NIKE INC CL B	S	12,022.60	0.00	0.00	0.00	60.0	0.00
11/29/2017	75501991	NSH 81 LLC	PAYPAL HOLDINGS INC COM	B	15,180.64	0.00	0.00	0.00	60.0	0.00
12/06/2017	75501991	NSH 81 LLC	APPLE INC	B	16,959.99	0.00	0.00	0.00	60.0	0.00
12/06/2017	75501991	NSH 81 LLC	FACEBOOK INC CL A	B	8,795.11	0.00	0.00	0.00	60.0	0.00
12/06/2017	75501991	NSH 81 LLC	MICROSOFT CORP	B	8,278.50	0.00	0.00	0.00	60.0	0.00
11/29/2017	75501992	THE BARBARA & NEAL	NIKE INC CL B	S	6,010.10	0.00	0.00	0.00	60.0	0.00
11/29/2017	75501992	THE BARBARA & NEAL	PAYPAL HOLDINGS INC COM	B	7,602.32	0.00	0.00	0.00	60.0	0.00
12/01/2017	75501998	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	6,337.80	55.00	0.00	55.00	60.0	33.00
12/06/2017	75501998	LAWRENCE, CHARLES	AK STEEL HOLDING CORP	B	5,331.80	55.00	0.00	55.00	60.0	33.00
12/08/2017	75501998	LAWRENCE, CHARLES	AK STEEL HOLDING CORP	S	5,628.60	51.00	0.00	51.00	60.0	30.60
12/20/2017	75501998	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL B	B	6,811.69	55.00	0.00	55.00	60.0	33.00
11/29/2017	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	9,449.00	55.00	0.00	55.00	60.0	33.00
11/29/2017	75501999	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	B	6,545.25	75.00	0.00	75.00	60.0	45.00
12/04/2017	75501999	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	B	5,941.65	55.00	0.00	55.00	60.0	33.00
12/05/2017	75501999	LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	S	5,188.19	51.00	0.00	51.00	60.0	30.60
12/06/2017	75501999	LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	B	5,241.24	55.00	0.00	55.00	60.0	33.00
12/08/2017	75501999	LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	S	5,410.80	51.00	0.00	51.00	60.0	30.60
12/11/2017	75501999	LAWRENCE, CHARLES	GLU MOBILE INC	S	5,558.49	51.00	0.00	51.00	60.0	30.60
12/11/2017	75501999	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	B	6,981.25	75.00	0.00	75.00	60.0	45.00
12/12/2017	75501999	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	5,649.80	51.00	0.00	51.00	60.0	30.60
12/13/2017	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	10,956.00	55.00	0.00	55.00	60.0	33.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/14/2017	75501999	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	S	7,162.50	55.00	0.00	55.00	60.0	33.00
12/14/2017	75501999	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	B	9,336.25	100.00	0.00	100.00	60.0	60.00
12/15/2017	75501999	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076	S	6,315.75	51.00	0.00	51.00	60.0	30.60
12/15/2017	75501999	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	B	6,661.37	55.00	0.00	55.00	60.0	33.00
12/26/2017	75501999	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	9,940.00	55.00	0.00	55.00	60.0	33.00
11/30/2017	75502000	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	5,460.00	55.00	0.00	55.00	60.0	33.00
12/01/2017	75502000	LAWRENCE, WILLIAM	SAREPTA THERAPEUTICS INC COM	B	5,381.34	55.00	0.00	55.00	60.0	33.00
12/04/2017	75502000	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	7,333.95	55.00	0.00	55.00	60.0	33.00
12/04/2017	75502000	LAWRENCE, WILLIAM	PAYPAL HOLDINGS INC COM	B	7,172.62	70.00	0.00	70.00	60.0	42.00
12/07/2017	75502000	LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	B	6,968.92	75.00	0.00	75.00	60.0	45.00
12/07/2017	75502000	LAWRENCE, WILLIAM	HASBRO INC	S	11,431.25	55.00	0.00	55.00	60.0	33.00
12/11/2017	75502000	LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	B	4,282.22	51.00	0.00	51.00	60.0	30.60
12/13/2017	75502000	LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	S	7,242.70	51.00	0.00	51.00	60.0	30.60
12/14/2017	75502000	LAWRENCE, WILLIAM	NINTENDO CO LTD-ADR NEW	B	4,765.00	55.00	0.00	55.00	60.0	33.00
12/19/2017	75502000	LAWRENCE, WILLIAM	GW PHARMACEUTICALS PLC ADS	S	8,684.00	55.00	0.00	55.00	60.0	33.00
12/20/2017	75502000	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	B	6,694.49	75.00	0.00	75.00	60.0	45.00
12/26/2017	75502000	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	B	10,788.00	105.00	0.00	105.00	60.0	63.00
12/26/2017	75502000	LAWRENCE, WILLIAM	GW PHARMACEUTICALS PLC ADS	S	8,358.00	55.00	0.00	55.00	60.0	33.00
11/29/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	30,924.00	150.00	0.00	150.00	60.0	90.00
11/29/2017	75502001	LAWRENCE, CHARLES	NETFLIX COM INC	B	14,084.15	100.00	0.00	100.00	60.0	60.00
11/30/2017	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL B	B	17,235.37	125.00	0.00	125.00	60.0	75.00
11/30/2017	75502001	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	S	14,248.00	75.00	0.00	75.00	60.0	45.00
11/30/2017	75502001	LAWRENCE, CHARLES	PIONEER NATURAL RESOURCES COMPANY	S	17,019.97	75.00	0.00	75.00	60.0	45.00
12/01/2017	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL B	B	11,382.02	75.00	0.00	75.00	60.0	45.00
12/04/2017	75502001	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	B	5,844.02	55.00	0.00	55.00	60.0	33.00
12/05/2017	75502001	LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	B	12,348.00	125.00	0.00	125.00	60.0	75.00
12/06/2017	75502001	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	B	4,989.42	55.00	0.00	55.00	60.0	33.00
12/07/2017	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL S	S	11,777.50	55.00	0.00	55.00	60.0	33.00
12/07/2017	75502001	LAWRENCE, CHARLES	NETFLIX COM INC	B	13,866.75	125.00	0.00	125.00	60.0	75.00
12/07/2017	75502001	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	S	6,283.23	55.00	0.00	55.00	60.0	33.00
12/08/2017	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL S	S	17,608.71	100.00	0.00	100.00	60.0	60.00
12/08/2017	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	B	16,475.97	150.00	0.00	150.00	60.0	90.00
12/11/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	10,080.00	55.00	0.00	55.00	60.0	33.00
12/11/2017	75502001	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	5,310.70	51.00	0.00	51.00	60.0	30.60
12/11/2017	75502001	LAWRENCE, CHARLES	SNAP INC CLASS A COMMON STOCK	S	8,934.80	51.00	0.00	51.00	60.0	30.60
12/12/2017	75502001	LAWRENCE, CHARLES	AVIANCA HOLDINGS SA SPONSORED ADR	B	8,509.82	75.00	0.00	75.00	60.0	45.00
12/12/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	11,538.00	55.00	0.00	55.00	60.0	33.00
12/12/2017	75502001	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	5,597.86	51.00	0.00	51.00	60.0	30.60
12/13/2017	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL B	B	17,945.20	100.00	0.00	100.00	60.0	60.00
12/13/2017	75502001	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	S	15,360.00	100.00	0.00	100.00	60.0	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/14/2017	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	B	9,185.46	100.00	0.00	100.00	60.0	60.00
12/14/2017	75502001	LAWRENCE, CHARLES							
		SQUARE INC CLASS A COM	B	10,133.75	100.00	0.00	100.00	60.0	60.00
12/15/2017	75502001	LAWRENCE, CHARLES							
		AVIANCA HOLDINGS SA SPONSORED ADR	B	8,485.10	75.00	0.00	75.00	60.0	45.00
12/15/2017	75502001	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	S	11,200.00	274.16	0.00	274.16	60.0	164.50
12/18/2017	75502001	LAWRENCE, CHARLES							
		SHOPIFY INC CL A ISIN#CA82509L1076	S	8,070.00	55.00	0.00	55.00	60.0	33.00
12/18/2017	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	B	10,597.62	125.00	0.00	125.00	60.0	75.00
12/20/2017	75502001	LAWRENCE, CHARLES							
		ALIBABA GROUP HOLDING LTD SPONSORED B		15,473.48	100.00	0.00	100.00	60.0	60.00
12/22/2017	75502001	LAWRENCE, CHARLES							
		ALIBABA GROUP HOLDING LTD SPONSORED S		15,857.33	75.00	0.00	75.00	60.0	45.00
12/22/2017	75502001	LAWRENCE, CHARLES							
		SHOPIFY INC CL A ISIN#CA82509L1076	B	7,772.81	75.00	0.00	75.00	60.0	45.00
12/26/2017	75502001	LAWRENCE, CHARLES							
		ALIBABA GROUP HOLDING LTD SPONSORED B		12,918.56	100.00	0.00	100.00	60.0	60.00
12/26/2017	75502001	LAWRENCE, CHARLES							
		WESTPORT FUEL SYSTEMS INC	B	5,602.66	61.12	0.00	61.12	60.0	36.67
12/27/2017	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	B	10,801.50	100.00	0.00	100.00	60.0	60.00
12/27/2017	75502001	LAWRENCE, CHARLES							
		WESTPORT FUEL SYSTEMS INC	B	5,491.50	55.00	0.00	55.00	60.0	33.00
12/19/2017	75502002	LAWRENCE, WILLIAM							
		GW PHARMACEUTICALS PLC ADS	S	16,601.88	75.00	0.00	75.00	60.0	45.00
12/21/2017	75502002	LAWRENCE, WILLIAM							
		DIREXION SHARES ETF TRUST DIREXION	B	8,864.19	100.00	0.00	100.00	60.0	60.00
12/21/2017	75502002	LAWRENCE, WILLIAM							
		GW PHARMACEUTICALS PLC ADS	S	10,087.69	55.00	0.00	55.00	60.0	33.00
12/22/2017	75502002	LAWRENCE, WILLIAM							
		AVIANCA HOLDINGS SA SPONSORED ADR	B	4,509.79	55.00	0.00	55.00	60.0	33.00
12/13/2017	75502005	SHEMIN, VICKI							
		BANK OF AMERICA CORP	S	14,665.75	55.00	0.00	55.00	60.0	33.00
12/27/2017	75502005	SHEMIN, VICKI							
		ALASKA AIR GROUP INC	B	25,941.97	55.00	0.00	55.00	60.0	33.00
11/29/2017	75502008	DEC, JOHN							
		SQUARE INC CLASS A COM	B	7,520.00	0.00	0.00	0.00	60.0	0.00
12/04/2017	75502008	DEC, JOHN							
		WALT DISNEY CO	S	5,400.63	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502008	DEC, JOHN							
		JUNO THERAPEUTICS INC COM	B	10,954.00	0.00	0.00	0.00	60.0	0.00
12/27/2017	75502008	DEC, JOHN							
		IQVIA HOLDINGS INC COMMON STOCK	B	9,831.47	0.00	0.00	0.00	60.0	0.00
12/04/2017	75502010	KEETER, LANCE							
		WALT DISNEY CO	S	2,699.31	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502010	KEETER, LANCE							
		JUNO THERAPEUTICS INC COM	B	2,175.00	0.00	0.00	0.00	60.0	0.00
12/27/2017	75502010	KEETER, LANCE							
		IQVIA HOLDINGS INC COMMON STOCK	B	4,915.25	0.00	0.00	0.00	60.0	0.00
11/29/2017	75502011	DEC, JANICE							
		SQUARE INC CLASS A COM	B	3,760.00	0.00	0.00	0.00	60.0	0.00
12/04/2017	75502011	DEC, JANICE							
		WALT DISNEY CO	S	2,700.56	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502011	DEC, JANICE							
		JUNO THERAPEUTICS INC COM	B	6,563.25	0.00	0.00	0.00	60.0	0.00
12/27/2017	75502011	DEC, JANICE							
		IQVIA HOLDINGS INC COMMON STOCK	B	4,915.50	0.00	0.00	0.00	60.0	0.00
11/29/2017	75502012	DEC, JOHN							
		APPLE INC	B	16,760.00	0.00	0.00	0.00	60.0	0.00
11/29/2017	75502012	DEC, JOHN							
		PAYPAL HOLDINGS INC COM	B	14,520.00	0.00	0.00	0.00	60.0	0.00
12/04/2017	75502012	DEC, JOHN							
		WALT DISNEY CO	S	10,985.00	0.00	0.00	0.00	60.0	0.00
12/18/2017	75502012	DEC, JOHN							
		MARATHON OIL CORP	S	15,243.00	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502012	DEC, JOHN							
		JUNO THERAPEUTICS INC COM	B	15,288.00	0.00	0.00	0.00	60.0	0.00
12/11/2017	75502013	DEC, JOHN							
		NUANCE COMMUNICATIONS INC	S	6,265.36	0.00	0.00	0.00	60.0	0.00
12/11/2017	75502014	DEC, JOHN							
		NUANCE COMMUNICATIONS INC	S	6,265.36	0.00	0.00	0.00	60.0	0.00
11/29/2017	75502021	ARCARO, DANIEL							
		PAYPAL HOLDINGS INC COM	B	2,903.10	0.00	0.00	0.00	60.0	0.00
12/04/2017	75502021	ARCARO, DANIEL							
		WALT DISNEY CO	S	2,157.05	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502021	ARCARO, DANIEL							
		JUNO THERAPEUTICS INC COM	B	1,091.00	0.00	0.00	0.00	60.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/06/2017	75502023	APPEL, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL B	9,896.43	55.00	0.00	55.00	60.0	33.00
11/29/2017	75502035	ROMANO, LISA	REGENERON PHARMACEUTICALS INC	3,600.10	0.00	0.00	0.00	60.0	0.00
12/04/2017	75502035	ROMANO, LISA	WALT DISNEY CO	1,084.63	0.00	0.00	0.00	60.0	0.00
12/11/2017	75502035	ROMANO, LISA	NUANCE COMMUNICATIONS INC	1,958.13	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502035	ROMANO, LISA	JUNO THERAPEUTICS INC COM	1,754.40	0.00	0.00	0.00	60.0	0.00
11/29/2017	75502036	ROMANO, MARK	REGENERON PHARMACEUTICALS INC	5,398.50	0.00	0.00	0.00	60.0	0.00
12/11/2017	75502036	ROMANO, MARK	NUANCE COMMUNICATIONS INC	3,524.63	0.00	0.00	0.00	60.0	0.00
12/19/2017	75502036	ROMANO, MARK	JUNO THERAPEUTICS INC COM	4,398.20	0.00	0.00	0.00	60.0	0.00
11/30/2017	75502104	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	5,753.60	51.00	0.00	51.00	60.0	30.60
11/29/2017	75502113	BEHAR, RAYMOND	NETEASE INC SPONSORED ADR	8,135.81	0.00	0.00	0.00	60.0	0.00
12/01/2017	75502113	BEHAR, RAYMOND	APPLE INC	8,496.50	0.00	0.00	0.00	60.0	0.00
12/18/2017	75502113	BEHAR, RAYMOND	SOUTHWEST AIRLINES CO	6,540.34	0.00	0.00	0.00	60.0	0.00
12/01/2017	75502114	BEHAR, RAYMOND	GARTNER INC	4,175.85	0.00	0.00	0.00	60.0	0.00
12/06/2017	75502114	BEHAR, RAYMOND	DIREXION SHS ETF TR DAILY FINL BULL B	6,606.00	0.00	0.00	0.00	60.0	0.00
12/18/2017	75502114	BEHAR, RAYMOND	SOUTHWEST AIRLINES CO	6,542.68	0.00	0.00	0.00	60.0	0.00
* STOCKS SUBTOTAL *				\$1,234,550.43	\$5,627.28	\$0.00	\$5,627.28		\$3,376.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	(None)	VARIOUS, LAWRENCE	RBC	0.00	7,182.66	0.00	7,182.66	60.0	4,309.60
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$7,182.66	\$0.00	\$7,182.66		\$4,309.60
<u>PRODUCT CATEGORY: RIA</u>									
12/20/2017	75502014	DEC, JOHN	FAS FEES PT. I/III	1.19	-0.89	0.00	-0.89	60.0	-0.53
12/20/2017	75502014	DEC, JOHN	FAS FEES PT. II/III	1.19	-0.89	0.00	-0.89	60.0	-0.53
12/20/2017	75502014	DEC, JOHN	FAS FEES PT. III/III	1.19	-0.89	0.00	-0.89	60.0	-0.53
12/13/2017	75502114	BEHAR, RAYMOND	FAS FEES PT. I/III	3.11	-2.55	0.00	-2.55	60.0	-1.53
12/13/2017	75502114	BEHAR, RAYMOND	FAS FEES PT. II/III	3.11	-2.55	0.00	-2.55	60.0	-1.53
12/13/2017	75502114	BEHAR, RAYMOND	FAS FEES PT. III/III	3.11	-2.55	0.00	-2.55	60.0	-1.53
* RIA SUBTOTAL *				\$12.90	\$-10.32	\$0.00	\$-10.32		\$-6.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00083587996	LAWRENCE, JACKSON	AMCAP FUND-529A	0.00	14.32	0.00	14.32	60.0	8.59
12/01/2017	00083587996	LAWRENCE, JACKSON	AMERICAN BALANCED FUND-529A	0.00	6.05	0.00	6.05	60.0	3.63
12/01/2017	00083587996	LAWRENCE, JACKSON	CAPITAL INCOME BUILDER-529A	0.00	2.35	0.00	2.35	60.0	1.41
12/01/2017	00083587996	LAWRENCE, JACKSON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.92	0.00	9.92	60.0	5.95
12/01/2017	00083587996	LAWRENCE, JACKSON	NEW WORLD FUND-529A	0.00	4.15	0.00	4.15	60.0	2.49
12/01/2017	00083587996	LAWRENCE, JACKSON	SMALLCAP WORLD FUND-529A	0.00	5.85	0.00	5.85	60.0	3.51
12/01/2017	00083587996	LAWRENCE, JACKSON	THE GROWTH FUND OF AMERICA-529A	0.00	13.28	0.00	13.28	60.0	7.97
12/11/2017	75502005	SHEMIN, VICKI	THE INCOME FUND OF AMERICA-A	0.00	2.41	0.00	2.41	60.0	1.45
12/11/2017	75502022	APPEL, CHARLES	MFS INTERNTL DIVERSIFICATION FUND-A	0.00	5.25	0.00	5.25	60.0	3.15
12/11/2017	75502023	APPEL, CHARLES	**CLEARBRIDGE AGGRESSIVE	0.00	9.48	0.00	9.48	60.0	5.69

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/11/2017	75502023	APPEL, CHARLES	JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	10.16	0.00	10.16	60.0	6.10
12/11/2017	75502023	APPEL, CHARLES	JANUS INVT FD TRITON FD CL C	B	0.00	16.54	0.00	16.54	60.0	9.92
12/11/2017	75502023	APPEL, CHARLES	JOHN HANCOCK FDS III DISCIPLINED VA	B	0.00	10.50	0.00	10.50	60.0	6.30
12/11/2017	75502070	HAND, STEPHEN	AMERICAN HIGH-INCOME TRUST-C	B	0.00	14.73	0.00	14.73	60.0	8.84
12/11/2017	75502070	HAND, STEPHEN	LORD ABBETT SECS TR INTL DIVIDEND I	B	0.00	10.09	0.00	10.09	60.0	6.05
12/11/2017	75502070	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	9.77	0.00	9.77	60.0	5.86
12/11/2017	75502070	HAND, STEPHEN	LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	8.63	0.00	8.63	60.0	5.18
12/11/2017	75502070	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	10.74	0.00	10.74	60.0	6.44
12/11/2017	75502071	HAND, STEPHEN	AMERICAN HIGH-INCOME TRUST-C	B	0.00	2.21	0.00	2.21	60.0	1.33
12/11/2017	75502071	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	2.44	0.00	2.44	60.0	1.46
12/11/2017	75502071	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	2.69	0.00	2.69	60.0	1.61
12/11/2017	75502073	HAND FAMILY LP	**AB HIGH INCOME MUNICIPAL	B	0.00	176.40	0.00	176.40	60.0	105.84
12/11/2017	75502073	HAND FAMILY LP	LORD ABBETT TAX-FREE INCOME TR HIGH	B	0.00	124.48	0.00	124.48	60.0	74.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$472.44	\$0.00	\$472.44		\$283.46
*** BROKER TRANSACTIONS TOTAL ***					\$1,234,563.33	\$13,272.06	\$0.00	\$13,272.06		\$7,963.25

BROKER COMMISSION TOTALS

Broker Transactions	\$7,963.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.59
SIPC Assessment	\$-33.18

Check Amount	\$7,913.48
Year-to-date Earnings	\$60,652.21