

# STATEMENT

January 20, 2018

**BRYAN DUCKETT**  
11761 Connecticut Dr

**BROKER #: 009**  
BRANCH: LA BRANCH

Rancho Cucamonga, CA 91730-

## COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

11/17/2017 #00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	100.00	3.50	0.00	0.00	3.50	88.0	3.08
12/18/2017 #00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	100.00	3.50	0.00	0.00	3.50	88.0	3.08
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$7.00	\$0.00	\$0.00	\$7.00		\$6.16

#### PRODUCT CATEGORY: STOCKS

11/29/2017 #	TREVIZO, JAN-MICHAEL	AMAZON.COM INC	B	1,162.50	50.00	19.95	0.00	30.05	88.0	26.44
11/29/2017 #	TREVIZO, JAN-MICHAEL	ELECTRONIC ARTS INC	B	212.98	30.00	19.95	0.00	10.05	88.0	8.84
12/22/2017 #	MILLER, DAVID	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	2,233.40	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	POWERSHARES QQQ TRUST	S	2,828.21	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	SECTOR SPDR - FINANCIAL	S	4,508.64	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	SELECT SECTOR SPDR FUND	S	3,956.90	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	SELECT SECTOR SPDR TRUST SHS BEN IN S	S	2,888.60	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD INDEX FUNDS VANGUARD EXTEN S	S	335.52	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MANASHOWITZ, STEVEN	VANGUARD INDEX FUNDS VANGUARD TOTAL B	B	549.23	50.00	19.95	0.00	30.05	88.0	26.44
12/22/2017 #	MILLER, DAVID	VANGUARD INTERMEDIATE TERM BOND ETF S	S	2,175.68	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD INTERMEDIATE TERM BOND ETF S	S	1,338.88	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD INTERNATIONAL EQUITY INDEX S	S	226.10	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	VANGUARD LONG TERM BOND ETF	S	5,355.16	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD LONG TERM BOND ETF	S	1,127.40	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD S&P 500 ETF	S	1,479.96	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	VANGUARD SCOTTSDALE FUNDS VANGUARD S	S	5,762.91	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD SCOTTSDALE FUNDS VANGUARD S	S	1,414.53	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	VANGUARD SHORT TERM BOND ETF	S	5,537.71	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD SHORT TERM BOND ETF	S	2,689.74	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	MILLER, DAVID	VANGUARD TAX-MANAGED FD MSCI EAFE E S	S	3,916.88	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD TAX-MANAGED FD MSCI EAFE E S	S	979.33	0.00	9.95	0.00	-9.95	88.0	-8.76
12/22/2017 #	LUTZ, TONI LYNN	VANGUARD TOTAL INTERNATIONAL BOND E S	S	2,913.42	0.00	9.95	0.00	-9.95	88.0	-8.76
* STOCKS SUBTOTAL *				\$53,593.68	\$130.00	\$248.90	\$0.00	\$-118.90		\$-104.72

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
11/30/2017	#E0343217	HERRON, BERNIECE	PRUDETIAL TRAILS	B	0.00	4.74	0.00	0.00	4.74	88.0 4.17
11/30/2017	#E0343217	HERRON, BERNIECE	PRUDETIAL TRAILS	B	0.00	18.96	0.00	0.00	18.96	88.0 16.68
12/05/2017	#0004629	TAL LUTZ, TONI LYNN	TRANSAMERICA FTL806	B	0.00	65.30	0.00	0.00	65.30	88.0 57.46
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$89.00	\$0.00	\$0.00	\$89.00	\$78.31
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	#00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	0.00	1.05	0.00	0.00	1.05	88.0 0.92
12/01/2017	#00074200253	NAGANO, TYLER	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	9.15	0.00	0.00	9.15	88.0 8.05
12/01/2017	#00074352754	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	20.73	0.00	0.00	20.73	88.0 18.24
12/01/2017	#00074356353	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	15.93	0.00	0.00	15.93	88.0 14.02
12/01/2017	#00075037851	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	12.65	0.00	0.00	12.65	88.0 11.13
12/01/2017	#00081849075	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	9.16	0.00	0.00	9.16	88.0 8.06
12/01/2017	#00086957913	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	4.04	0.00	0.00	4.04	88.0 3.56
12/01/2017	#00074200253	NAGANO, TYLER	AMERICAN MUTUAL FUND-529C	B	0.00	25.60	0.00	0.00	25.60	88.0 22.53
12/01/2017	#00074352754	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	66.13	0.00	0.00	66.13	88.0 58.19
12/01/2017	#00074356353	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	50.82	0.00	0.00	50.82	88.0 44.72
12/01/2017	#00075037851	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	40.66	0.00	0.00	40.66	88.0 35.78
12/01/2017	#00081849075	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	29.46	0.00	0.00	29.46	88.0 25.92
12/01/2017	#00086957913	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	12.99	0.00	0.00	12.99	88.0 11.43
12/01/2017	#00074200253	NAGANO, TYLER	CAPITAL WORLD BOND FUND-529C	B	0.00	21.66	0.00	0.00	21.66	88.0 19.06
12/01/2017	#00074352754	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	48.76	0.00	0.00	48.76	88.0 42.91
12/01/2017	#00074356353	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	37.47	0.00	0.00	37.47	88.0 32.97
12/01/2017	#00075037851	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	29.74	0.00	0.00	29.74	88.0 26.17
12/01/2017	#00081849075	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	21.54	0.00	0.00	21.54	88.0 18.96
12/01/2017	#00086957913	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	9.50	0.00	0.00	9.50	88.0 8.36
12/01/2017	#00074200253	NAGANO, TYLER	DEVELOPING WORLD GROWTH & INCOME-52	B	0.00	27.59	0.00	0.00	27.59	88.0 24.28
12/01/2017	#00074352754	BENTON LING IV,	DEVELOPING WORLD GROWTH & INCOME-52	B	0.00	70.06	0.00	0.00	70.06	88.0 61.65
12/01/2017	#00074356353	BENTON LING IV,	DEVELOPING WORLD GROWTH & INCOME-52	B	0.00	53.85	0.00	0.00	53.85	88.0 47.39
12/01/2017	#00075037851	BENTON LING IV,	DEVELOPING WORLD GROWTH & INCOME-52	B	0.00	43.45	0.00	0.00	43.45	88.0 38.24
12/01/2017	#00081849075	BENTON LING IV,	DEVELOPING WORLD GROWTH & INCOME-52	B	0.00	31.48	0.00	0.00	31.48	88.0 27.70
12/01/2017	#00086957913	BENTON LING IV,	DEVELOPING WORLD GROWTH & INCOME-52	B	0.00	13.88	0.00	0.00	13.88	88.0 12.21
12/01/2017	#00074200253	NAGANO, TYLER	EUROPACIFIC GROWTH FUND-529C	B	0.00	14.21	0.00	0.00	14.21	88.0 12.50
12/01/2017	#00074352754	BENTON LING IV,	EUROPACIFIC GROWTH FUND-529C	B	0.00	35.37	0.00	0.00	35.37	88.0 31.13
12/01/2017	#00074356353	BENTON LING IV,	EUROPACIFIC GROWTH FUND-529C	B	0.00	27.19	0.00	0.00	27.19	88.0 23.93
12/01/2017	#00075037851	BENTON LING IV,	EUROPACIFIC GROWTH FUND-529C	B	0.00	21.96	0.00	0.00	21.96	88.0 19.32
12/01/2017	#00081849075	BENTON LING IV,	EUROPACIFIC GROWTH FUND-529C	B	0.00	15.91	0.00	0.00	15.91	88.0 14.00
12/01/2017	#00086957913	BENTON LING IV,	EUROPACIFIC GROWTH FUND-529C	B	0.00	7.02	0.00	0.00	7.02	88.0 6.18
12/01/2017	#00074200253	NAGANO, TYLER	INTERMEDIATE BOND FUND OF AMERICA-5	B	0.00	9.09	0.00	0.00	9.09	88.0 8.00
12/01/2017	#00074352754	BENTON LING IV,	INTERMEDIATE BOND FUND OF AMERICA-5	B	0.00	20.59	0.00	0.00	20.59	88.0 18.12
12/01/2017	#00074356353	BENTON LING IV,	INTERMEDIATE BOND FUND OF AMERICA-5	B	0.00	15.83	0.00	0.00	15.83	88.0 13.93

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/01/2017	#00075037851 BENTON LING IV,	INTERMEDIATE BOND FUND OF AMERICA-5 B		0.00	12.55	0.00	0.00	12.55	88.0	11.04
12/01/2017	#00081849075 BENTON LING IV,	INTERMEDIATE BOND FUND OF AMERICA-5 B		0.00	9.09	0.00	0.00	9.09	88.0	8.00
12/01/2017	#00086957913 BENTON LING IV,	INTERMEDIATE BOND FUND OF AMERICA-5 B		0.00	4.01	0.00	0.00	4.01	88.0	3.53
12/01/2017	#00074200253 NAGANO, TYLER	SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	22.60	0.00	0.00	22.60	88.0	19.89
12/01/2017	#00074352754 BENTON LING IV,	SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	51.44	0.00	0.00	51.44	88.0	45.27
12/01/2017	#00074356353 BENTON LING IV,	SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	39.53	0.00	0.00	39.53	88.0	34.79
12/01/2017	#00075037851 BENTON LING IV,	SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	31.39	0.00	0.00	31.39	88.0	27.62
12/01/2017	#00081849075 BENTON LING IV,	SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	22.74	0.00	0.00	22.74	88.0	20.01
12/01/2017	#00086957913 BENTON LING IV,	SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	10.03	0.00	0.00	10.03	88.0	8.83
12/01/2017	#00074200253 NAGANO, TYLER	SMALLCAP WORLD FUND-529C	B	0.00	13.53	0.00	0.00	13.53	88.0	11.91
12/01/2017	#00074352754 BENTON LING IV,	SMALLCAP WORLD FUND-529C	B	0.00	36.25	0.00	0.00	36.25	88.0	31.90
12/01/2017	#00074356353 BENTON LING IV,	SMALLCAP WORLD FUND-529C	B	0.00	27.86	0.00	0.00	27.86	88.0	24.52
12/01/2017	#00075037851 BENTON LING IV,	SMALLCAP WORLD FUND-529C	B	0.00	22.22	0.00	0.00	22.22	88.0	19.55
12/01/2017	#00081849075 BENTON LING IV,	SMALLCAP WORLD FUND-529C	B	0.00	16.10	0.00	0.00	16.10	88.0	14.17
12/01/2017	#00086957913 BENTON LING IV,	SMALLCAP WORLD FUND-529C	B	0.00	7.10	0.00	0.00	7.10	88.0	6.25
12/01/2017	#00074200253 NAGANO, TYLER	THE BOND FUND OF AMERICA-529C	B	0.00	6.97	0.00	0.00	6.97	88.0	6.13
12/01/2017	#00074352754 BENTON LING IV,	THE BOND FUND OF AMERICA-529C	B	0.00	15.94	0.00	0.00	15.94	88.0	14.03
12/01/2017	#00074356353 BENTON LING IV,	THE BOND FUND OF AMERICA-529C	B	0.00	12.25	0.00	0.00	12.25	88.0	10.78
12/01/2017	#00075037851 BENTON LING IV,	THE BOND FUND OF AMERICA-529C	B	0.00	9.71	0.00	0.00	9.71	88.0	8.54
12/01/2017	#00081849075 BENTON LING IV,	THE BOND FUND OF AMERICA-529C	B	0.00	7.03	0.00	0.00	7.03	88.0	6.19
12/01/2017	#00086957913 BENTON LING IV,	THE BOND FUND OF AMERICA-529C	B	0.00	3.11	0.00	0.00	3.11	88.0	2.74
12/01/2017	#00074200253 NAGANO, TYLER	THE GROWTH FUND OF AMERICA-529C	B	0.00	65.91	0.00	0.00	65.91	88.0	58.00
12/01/2017	#00074352754 BENTON LING IV,	THE GROWTH FUND OF AMERICA-529C	B	0.00	178.74	0.00	0.00	178.74	88.0	157.29
12/01/2017	#00074356353 BENTON LING IV,	THE GROWTH FUND OF AMERICA-529C	B	0.00	137.38	0.00	0.00	137.38	88.0	120.89
12/01/2017	#00075037851 BENTON LING IV,	THE GROWTH FUND OF AMERICA-529C	B	0.00	110.11	0.00	0.00	110.11	88.0	96.90
12/01/2017	#00081849075 BENTON LING IV,	THE GROWTH FUND OF AMERICA-529C	B	0.00	79.77	0.00	0.00	79.77	88.0	70.20
12/01/2017	#00086957913 BENTON LING IV,	THE GROWTH FUND OF AMERICA-529C	B	0.00	35.18	0.00	0.00	35.18	88.0	30.96
12/01/2017	#00074200253 NAGANO, TYLER	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	26.03	0.00	0.00	26.03	88.0	22.91
12/01/2017	#00074352754 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	67.69	0.00	0.00	67.69	88.0	59.57
12/01/2017	#00074356353 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	52.03	0.00	0.00	52.03	88.0	45.79
12/01/2017	#00075037851 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	41.76	0.00	0.00	41.76	88.0	36.75
12/01/2017	#00081849075 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	30.25	0.00	0.00	30.25	88.0	26.62
12/01/2017	#00086957913 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	13.34	0.00	0.00	13.34	88.0	11.74
12/01/2017	#00074200253 NAGANO, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	13.07	0.00	0.00	13.07	88.0	11.50
12/01/2017	#00074352754 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	33.76	0.00	0.00	33.76	88.0	29.71
12/01/2017	#00074356353 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	25.94	0.00	0.00	25.94	88.0	22.83
12/01/2017	#00075037851 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	20.80	0.00	0.00	20.80	88.0	18.30
12/01/2017	#00081849075 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	15.07	0.00	0.00	15.07	88.0	13.26
12/01/2017	#00086957913 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	6.64	0.00	0.00	6.64	88.0	5.84
12/11/2017	# MANASHOWITZ, STEVEN	DAIN TRAILER	B	0.00	0.77	0.00	0.00	0.77	88.0	0.68

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/11/2017 #	MANASHOWITZ, STEVEN	DAIN TRAILER	B	0.00	2.16	0.00	0.00	2.16	88.0	1.90
12/11/2017 #	MORGA, MARIA	DAIN TRAILER	B	0.00	60.71	0.00	0.00	60.71	88.0	53.42
12/11/2017 #	NEIMAN, JOHN	DAIN TRAILER	B	0.00	3.20	0.00	0.00	3.20	88.0	2.82
12/11/2017 #	SCAFFIDE, SAM	DAIN TRAILER	B	0.00	3.98	0.00	0.00	3.98	88.0	3.50
12/11/2017 #	SCAFFIDE, SAM	DAIN TRAILER	B	0.00	0.40	0.00	0.00	0.40	88.0	0.35
12/11/2017 #	MILLER, DAVID	DAIN TRAILER	B	0.00	11.70	0.00	0.00	11.70	88.0	10.30
12/11/2017 #	SCAFFIDE, SAM	DAIN TRAILER	B	0.00	2.63	0.00	0.00	2.63	88.0	2.31
12/11/2017 #	SCAFFIDE, SAM	DAIN TRAILER	B	0.00	1.38	0.00	0.00	1.38	88.0	1.21
12/11/2017 #	SCAFFIDE, SAM	DAIN TRAILER	B	0.00	0.83	0.00	0.00	0.83	88.0	0.73
12/11/2017 #	SCAFFIDE, SAM	DAIN TRAILER	B	0.00	1.42	0.00	0.00	1.42	88.0	1.25
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,298.62	\$0.00	\$0.00	\$2,298.62		\$2,022.78
*** BROKER TRANSACTIONS TOTAL ***				\$53,793.68	\$2,524.62	\$248.90	\$0.00	\$2,275.72		\$2,002.53

ADJUSTMENTS

01/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
*** ADJUSTMENTS TOTAL ***		\$-175.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,002.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,827.53
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