

[illegible]

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 284.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 284.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,138.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,138.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 5,692.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 5,691.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,423.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 1,821.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 2,846.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | BLACKROCK MUNIHOLDINGS NEW JERSEY Q B | | 313.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 2,695.98 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 2,696.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 20,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 13,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 15,997.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 11,002.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,350.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,351.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 2,702.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 8,106.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M S | | 99,197.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 4,718.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 6,739.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/29/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW JERSEY DIVID ADVANTAGE M B | | 1,348.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | S | 8,433.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 421.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 421.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 632.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 632.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 632.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 1,264.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 1,475.25 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 210.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 210.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | AU OPTRONICS CORP SPON ADR | B | 2,532.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | PACIFIC BIOSCIENCES OF CALIFORNIA I S | | 6,376.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599821 RF LAFFERTY & CO | PACIFIC BIOSCIENCES OF CALIFORNIA I B | | 6,376.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO S | | 5,020.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 502.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 502.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 502.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 502.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 502.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 1,004.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599821 RF LAFFERTY & CO | REDHILL BIOPHARMA LTD AMERICAN DEPO B | | 1,506.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | S | 8,496,785.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,425.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 385,975.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,150.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,150.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,150.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,050.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,550.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,550.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,450.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,550.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,353.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,075.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,075.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,224.51 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,025.01 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,044.77 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,250.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,145.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,175.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 386,175.01 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | CITIGROUP INC COM | B | 385,992.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | ECOLAB INC | B | 13,721.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/04/2017 | 75599821 RF LAFFERTY & CO | ECOLAB INC | S | 13,721.01 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599821 RF LAFFERTY & CO | VEEVA SYSTEMS INC CL A COM | B | 33,660.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599821 RF LAFFERTY & CO | VEEVA SYSTEMS INC CL A COM | S | 8,976.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599821 RF LAFFERTY & CO | VEEVA SYSTEMS INC CL A COM | S | 24,684.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/06/2017 | 75599821 RF LAFFERTY & CO | CONATUS PHARMACEUTICALS INC COM | B | 19,539.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/06/2017 | 75599821 RF LAFFERTY & CO | CONATUS PHARMACEUTICALS INC COM | S | -19,539.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/06/2017 | 75599821 RF LAFFERTY & CO | CONATUS PHARMACEUTICALS INC COM | B | 19,539.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/06/2017 | 75599821 RF LAFFERTY & CO | CONATUS PHARMACEUTICALS INC COM | S | 19,539.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/08/2017 | 75599821 RF LAFFERTY & CO | VERINT SYS INC COM | B | 27,755.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/08/2017 | 75599821 RF LAFFERTY & CO | VERINT SYS INC COM | S | 27,755.07 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | CANTEL MEDICAL CORPORATION | B | 29,490.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | CANTEL MEDICAL CORPORATION | S | 98.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | CANTEL MEDICAL CORPORATION | S | 9,830.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | CANTEL MEDICAL CORPORATION | S | 4,915.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | CANTEL MEDICAL CORPORATION | S | 4,915.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | CANTEL MEDICAL CORPORATION | S | 9,731.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO S | | 3,718.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B | | 185.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B | | 2,230.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | GLOBAL BLOCKCHAIN TECHNOLOGY CORP CO B | | 1,301.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM S | | 4,900.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B | | 4,900.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | ICON PLC | B | 33,349.11 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | ICON PLC | S | 111.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | ICON PLC | S | 33,237.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | KORNIT-DIGITAL LTD SHS ISIN#IL00112 S | | 15,750.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | KORNIT-DIGITAL LTD SHS ISIN#IL00112 B | | 4,221.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | KORNIT-DIGITAL LTD SHS ISIN#IL00112 B | | 4,725.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | KORNIT-DIGITAL LTD SHS ISIN#IL00112 B | | 6,804.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 32,032.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 8,346.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 5,618.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 5,564.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 5,559.69 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 6,945.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | VUZIX CORP COM NEW | S | 38,668.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | VUZIX CORP COM NEW | B | -38,668.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/11/2017 | 75599821 RF LAFFERTY & CO | VUZIX CORP COM NEW | S | 38,668.75 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|---------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 51,780.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 3,885.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 23,310.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 24,605.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 51,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 3,530.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 7,060.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 8,825.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 35,285.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 18,089.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 19,470.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 2,318.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 2,478.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 2,566.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 2,601.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 3,186.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 3,186.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 336.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 354.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 354.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 35,400.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 4,106.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 4,885.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 5,026.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 5,026.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 5,451.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 6,018.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 7,239.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 778.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 8,018.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 8,018.10 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 9,770.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 9,912.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 9,912.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 9,912.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 14,160.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,433.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 15,930.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | S | 265,122.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | S | 176,748.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,976.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 3,318.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 7,060.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 12,355.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 35,285.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 19,414.89 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 5,295.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 10,590.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 35,300.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | VIRTU FINL INC CL A | B | 1,765.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | WASTE CONNECTIONS INC COM | S | 10,364.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599821 RF LAFFERTY & CO | WASTE CONNECTIONS INC COM | B | 10,364.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 5,164.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

[illegible]

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | MACYS INC | S | 2,584.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 27,691.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 5,532.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 8,309.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,850.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 14,283.05 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 64,922.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,990.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,989.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,989.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 40,238.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST S | | 2,520.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST B | | 251.99 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST B | | 70.56 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST B | | 1,008.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | EKSO BIONICS HOLDINGS INC COMMON ST B | | 1,189.44 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 15,158.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 5,512.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,790.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 19,284.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 4,801.74 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,799.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 6,890.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 87,513.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 8,278.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 68,941.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 27,576.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 27,576.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,789.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP | B | 15,121.29 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP | S | 685.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP | S | 9,676.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP | S | 1,360.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP | S | 1,360.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/15/2017 | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP | S | 2,040.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | BITCOIN INVT TR SHS | S | 323,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | BITCOIN INVT TR SHS | B | 323,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | S | 19,447.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 258.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 258.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 258.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 258.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 258.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 4,386.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 516.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 12.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 244.63 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 25.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 258.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 260.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 260.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 260.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 3,120.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 1,040.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 1,274.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | CUI GLOBAL INC | B | 6,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | S | 27,100.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 271.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 271.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 271.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 271.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 3,387.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 3,794.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 5,425.42 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 5,962.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 813.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 1,626.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 2,439.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | MODUSLINK GLOBAL SOLUTIONS INC COM | B | 2,569.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 75,615.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 7,055.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,720.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,720.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 27,420.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 2,133.78 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 271,432.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 38,776.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 44,495.46 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 7,755.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A B | | 387,761.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 9,694.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | RALPH LAUREN CORPORATION CL A S | | 13,474.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | VASCO DATA SEC INTL S | | 14,325.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/18/2017 | 75599821 RF LAFFERTY & CO | VASCO DATA SEC INTL B | | 14,325.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/19/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 61,353.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/19/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 15,037.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/19/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,690.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/19/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 32,626.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | ACER THERAPEUTICS INC COMMON STOCK B | | 7,932.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | ACER THERAPEUTICS INC COMMON STOCK S | | -7,932.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | ACER THERAPEUTICS INC COMMON STOCK B | | 7,932.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | ACER THERAPEUTICS INC COMMON STOCK S | | 7,932.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNCIPAL I S | | 75,382.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNCIPAL I B | | 24,282.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNCIPAL I B | | 12,760.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNCIPAL I B | | 25,560.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNCIPAL I B | | 12,780.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 87.30 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 972.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 972.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 972.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 972.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 972.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 242.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 242.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK B | | 242.50 | 0.00 | 0.0 | | | |

[illegible]

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK | B | 6,565.86 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK | B | 6,609.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK | B | 729.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | MANNKIND CORPORATION COMMON STOCK | B | 729.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 46,429.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 19,110.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 19,124.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 8,195.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 20,645.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 5,104.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 5,112.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 10,429.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 829.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 165.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 663.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 830.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 3,320.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 826.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 826.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY S | | 18,241.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 4,145.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY S | | -4,145.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 4,149.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY S | | -4,149.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 4,145.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY S | | -4,145.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 4,150.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY S | | -4,150.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 1,658.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY S | | -1,658.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 C |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 829.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 2,901.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 414.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 4,149.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 829.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 829.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 829.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | ARCTURUS THERAPEUTICS LTD ORDINARY B | | 829.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 21,920.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 8,220.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 68,776.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 25,556.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 4,880.66 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 38,339.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | SQUARE INC CLASS A COM | B | 50,011.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/22/2017 | 75599821 RF LAFFERTY & CO | SQUARE INC CLASS A COM | S | 45,214.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 63,892.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 25,556.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 25,556.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,780.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F S | | 111,610.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,770.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,778.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,778.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,778.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,778.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,780.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,787.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 1,378.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN N Y DIVIDEND ADVANTAGE MUN F B | | 13,779.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 64,289.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 150,035.80 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 32,075.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 29,490.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 25,644.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 38,466.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I S | | 24,363.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 25,716.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 25,716.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/27/2017 | 75599821 RF LAFFERTY & CO | NUVEEN NEW YORK AMT FREE MUNICIPAL I B | | 12,857.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL B | | 27,076.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI MATERIAL S | | 27,076.36 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M S | | 27,287.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TRUST FIDELITY M B | | 27,287.79 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT B | | 24,725.31 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI INFORMAT S | | 24,725.31 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI UTILITIE S | | 27,958.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI UTILITIE B | | 27,958.20 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI TELECOMM S | | 74,285.81 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY COVINGTON TR MSCI TELECOMM B | | 74,285.81 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------------|---------------------------------------|-----|------------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF B | | 28,692.59 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI INDUSTRIALS INDEX ETF S | | 28,692.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF S | | 75,337.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI ENERGY INDEX ETF B | | 75,337.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF S | | 27,836.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI HEALTH CARE INDEX ETF B | | 27,836.06 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR S | | 32,752.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER DISCRETIONAR B | | 32,752.58 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE S | | 76,139.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI CONSUMER STAPLES INDE B | | 76,139.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF B | | 30,252.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | FIDELITY MSCI FINANCIALS INDEX ETF S | | 30,252.90 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST S | | 6,321.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST B | | 8,950.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST B | | 6,321.84 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/05/2017 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST S | | 8,950.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST S | | 6,136.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599823 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST B | | 6,136.17 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | | \$25,873,087.31 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 12/01/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREAS NTS 2.750% 02/2 S | | 868,710.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREAS NTS 2.750% 02/2 B | | 868,278.35 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/07/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE S | | 100,063.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/07/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREASURY NOTE B | | 99,963.48 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREAS NTS 1.625% 11/1 B | | 193,881.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599820 RF LAFFERTY & CO | UNITED STATES TREAS NTS 1.625% 11/1 S | | 194,281.95 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599826 RF LAFFERTY & CO | BANK AMER CORP MEDIUM TERM SR NTS S | | 25,542.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599826 RF LAFFERTY & CO | BANK AMER CORP MEDIUM TERM SR NTS B | | 25,372.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599826 RF LAFFERTY & CO | BANK AMER CORP MEDIUM TERM SR NTS S B | | 10,987.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599826 RF LAFFERTY & CO | BARCLAYS BK PLC 100%PPN 12%,4X(30S- S | | 13,160.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599826 RF LAFFERTY & CO | BARCLAYS BK PLC 100%PPN 12%,4X(30S- B | | 13,075.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599826 RF LAFFERTY & CO | REVLON CONSUMER PRODUCTS CORP B | | 23,325.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/14/2017 | 75599826 RF LAFFERTY & CO | REVLON CONSUMER PRODUCTS CORP S | | 23,475.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599826 RF LAFFERTY & CO | HORNBECK OFFSHORE SVCS INC NEW GTD S | | 19,440.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * BONDS SUBTOTAL * | | | | \$2,479,557.56 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|---------------------------------------|-----|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u> | | | | | | | | | |
| 12/12/2017 | 75599820 RF LAFFERTY & CO | MORGAN STANLEY BK N A UTAH CD C/D F B | | 89,730.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599820 RF LAFFERTY & CO | MORGAN STANLEY BK N A UTAH CD C/D F S | | 89,730.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599820 RF LAFFERTY & CO | STATE BK INDIA NEW YORK NY C/D FDIC B | | 249,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599820 RF LAFFERTY & CO | STATE BK INDIA NEW YORK NY C/D FDIC S | | 249,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599820 RF LAFFERTY & CO | STATE BK INDIA NEW YORK NY C/D FDIC B | | 149,632.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599820 RF LAFFERTY & CO | STATE BK INDIA NEW YORK NY C/D FDIC S | | 149,632.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599826 RF LAFFERTY & CO | UNITED CMNTY BKS BLAIRSVLE GA C/D F S | | 10,200.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 11/30/2017 | 75599826 RF LAFFERTY & CO | UNITED CMNTY BKS BLAIRSVLE GA C/D F B | | 10,050.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/06/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | | 57,100.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/06/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | | 56,500.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599826 RF LAFFERTY & CO | CITIBANK N A C/D FDIC INS TO LIMITS S | | 13,960.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/12/2017 | 75599826 RF LAFFERTY & CO | CITIBANK N A C/D FDIC INS TO LIMITS B | | 13,876.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | | 3,050.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | | 3,000.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | | 7,821.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | | 7,700.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | | 9,300.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | | 9,150.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | | 3,162.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | | 3,075.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S | | 30,565.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/13/2017 | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B | | 30,340.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * CERTIFICATES OF DEPOSIT SUBTOTAL * | | | | \$1,246,575.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | | |
| 12/01/2017 | 75599825 RF LAFFERTY & CO | UIT FT STONEBRIDGE PFD INCOME SER 1 S | | 2,694.41 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599825 RF LAFFERTY & CO | UIT FT STONEBRIDGE PFD INCOME SER 1 B | | 2,640.52 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCOME SER 70 ADVA S | | 2,510.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/01/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCOME SER 70 ADVA B | | 2,459.85 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/08/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG DIVERSIFIED INCOME SER 67 S | | 8,228.33 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/08/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG DIVERSIFIED INCOME SER 67 B | | 8,125.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 2,138.72 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 1,604.04 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 2,138.72 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 2,673.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 3,208.08 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 10,693.60 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO S | | 2,673.40 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/20/2017 | 75599825 RF LAFFERTY & CO | UIT FT CORP TAX REFORM SER 4 PORTFO B | | 25,129.96 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 S | | 2,967.69 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|---------------------------------------|-----|------------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u> | | | | | | | | | |
| 12/21/2017 | 75599825 RF LAFFERTY & CO | UIT FT SABRIENT BAKERS DOZEN SER 20 B | | 2,967.69 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN S | | 4,868.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/21/2017 | 75599825 RF LAFFERTY & CO | UIT FT STRATEGIC INCME SER 71 ADVAN B | | 4,868.50 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG COVERED CALL & INCOME SER S | | 15,323.41 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG COVERED CALL & INCOME SER S | | 21,402.70 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG COVERED CALL & INCOME SER B | | 35,991.61 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG DEFINED PORTFOLIOS SER 13 S | | 15,754.88 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG DEFINED PORTFOLIOS SER 13 S | | 22,326.92 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG DEFINED PORTFOLIOS SER 13 B | | 37,320.02 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG EUROPEAN HIGH DIVID SER 10 S | | 23,034.27 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 12/26/2017 | 75599825 RF LAFFERTY & CO | UIT GUGG EUROPEAN HIGH DIVID SER 10 B | | 22,746.29 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| * UNIT INVESTMENT TRUSTS SUBTOTAL * | | | | \$286,491.09 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 12/11/2017 | 75597076 DAVIS, MARGARET | MID-CAP VALUE FUND CLASS A | B | 0.00 | 0.97 | 0.00 | 0.97 | 80.0 | 0.78 |
| 12/11/2017 | 75597077 DAVIS, MARGARET | AMERICAN MUTUAL FUND-A | B | 0.00 | 1.30 | 0.00 | 1.30 | 80.0 | 1.04 |
| 12/11/2017 | 75597077 DAVIS, MARGARET | FRANKLIN/TEMPLETON FUNDS 88020E100 | B | 0.00 | 0.56 | 0.00 | 0.56 | 80.0 | 0.45 |
| 12/11/2017 | 75597077 DAVIS, MARGARET | PUTNAM 746745108 | B | 0.00 | 2.18 | 0.00 | 2.18 | 80.0 | 1.74 |
| 12/11/2017 | 75597077 DAVIS, MARGARET | THE GROWTH FUND OF AMERICA-A | B | 0.00 | 1.04 | 0.00 | 1.04 | 80.0 | 0.83 |
| 12/11/2017 | 75597078 DAVIS, MARGARET | AMERICAN BALANCED FUND-A | B | 0.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| 12/11/2017 | 75597078 DAVIS, MARGARET | GOLD & SPECIAL MINERALS A | B | 0.00 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| 12/11/2017 | 75597078 DAVIS, MARGARET | OPPENHEIMER SMALL & MID CAP VALUE F B | | 0.00 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| 12/11/2017 | 75597078 DAVIS, MARGARET | THE INCOME FUND OF AMERICA-A | B | 0.00 | 1.44 | 0.00 | 1.44 | 80.0 | 1.15 |
| 12/11/2017 | 75597098 SODERBERG, VIRGINIA | FRANKLIN HIGH-YIELD TAX-FREE INCOME B | | 0.00 | 1.24 | 0.00 | 1.24 | 80.0 | 0.99 |
| 12/11/2017 | 75597098 SODERBERG, VIRGINIA | TOUCHSTONE INVT TRUST ACTIVE BOND F B | | 0.00 | 4.35 | 0.00 | 4.35 | 80.0 | 3.48 |
| 12/11/2017 | 75597105 DAVIS, DONALD | FRK GOLD FUND - CLASS A | B | 0.00 | 0.46 | 0.00 | 0.46 | 80.0 | 0.37 |
| 12/11/2017 | 75597105 DAVIS, DONALD | FUNDAMENTAL INVESTORS-A | B | 0.00 | 17.96 | 0.00 | 17.96 | 80.0 | 14.37 |
| 12/11/2017 | 75597105 DAVIS, DONALD | NEW PERSPECTIVE FUND-A | B | 0.00 | 18.16 | 0.00 | 18.16 | 80.0 | 14.53 |
| 12/11/2017 | 75597105 DAVIS, DONALD | REGIONAL BANK A | B | 0.00 | 7.92 | 0.00 | 7.92 | 80.0 | 6.34 |
| 12/11/2017 | 75597105 DAVIS, DONALD | STRATEGIC INCOME A | B | 0.00 | 3.26 | 0.00 | 3.26 | 80.0 | 2.61 |
| 12/11/2017 | 75597105 DAVIS, DONALD | THE NEW ECONOMY FUND-A | B | 0.00 | 17.47 | 0.00 | 17.47 | 80.0 | 13.98 |
| 12/11/2017 | 75597110 KROL, DANIELLE | FIDELITY ADV EQUITY GROWTH CL A | B | 0.00 | 3.39 | 0.00 | 3.39 | 80.0 | 2.71 |
| 12/11/2017 | 75597125 SHAVITZ, ERNEST | FRANKLIN TAX FREE TR FEDERAL INTER B | | 0.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 12/11/2017 | 75597132 SHAVITZ, EVELYN | FRANKLIN TAX FREE TR FEDERAL INTER B | | 0.00 | 0.14 | 0.00 | 0.14 | 80.0 | 0.11 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$84.54 | \$0.00 | \$84.54 | | \$67.65 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$29,885,710.96 | \$84.54 | \$0.00 | \$84.54 | | \$67.65 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$67.65 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-0.11 |
| SIPC Assessment | \$-0.21 |

| | |
|------------------------------|-----------------|
| Check Amount | \$67.33 |
| Year-to-date Earnings | \$801.95 |