

**COMMISSION STATEMENT****November 11, 2014****BROKER3 TEST**1199 E. Long Lake Rd.
Suite 250
Troy, MI 48085**BROKER #: 103**

BRANCH: FOXTROT SAMPLE

COMMISSION STATEMENT for BROKER3 TEST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

11/01/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	0.00	0.00	0.00	95.0	0.00
11/02/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	4.32	0.00	4.32	95.0	4.10
11/05/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	0.00	0.00	0.00	95.0	0.00
11/08/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	4.32	0.00	4.32	95.0	4.10
11/09/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	0.00	0.00	0.00	95.0	0.00
11/10/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	0.00	0.00	0.00	95.0	0.00
11/10/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	4.32	0.00	4.32	95.0	4.10
11/11/2014	AB12345 TIM, ARMOND	JP MORGAN 339128308	B	90.99	4.32	0.00	4.32	95.0	4.10
* MUTUAL FUNDS SUBTOTAL *				\$727.92	\$17.28	\$0.00	\$17.28		\$16.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/03/2014	AB12345 TIM, ARMOND	MFS PRODUCT #318530631	B	0.00	0.10	0.00	0.10	95.0	0.10
11/05/2014	AB12345 TIM, ARMOND	MFS PRODUCT #318530631	B	0.00	0.00	0.00	0.00	95.0	0.00
11/05/2014	AB12345 TIM, ARMOND	MFS PRODUCT #318530631	B	0.00	0.10	0.00	0.10	95.0	0.10
11/06/2014	AB12345 TIM, ARMOND	MFS PRODUCT #318530631	B	0.00	0.00	0.00	0.00	95.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.20	\$0.00	\$0.20		\$0.20
*** BROKER TRANSACTIONS TOTAL ***				\$727.92	\$17.48	\$0.00	\$17.48		\$16.60

SPLIT TRANSACTIONS**BROKER: REP #1 SAMPLE #1**

11/01/2014	AB12345 ADELNEST, FRANCIS	*MERIDIAN VALUE FUND	B	21,341,234.00	121.00	0.00	121.00		114.95
11/02/2014	AB12345 ADELNEST, FRANCIS	*MERIDIAN VALUE FUND	B	4.00	0.00	0.00	0.00		0.00
11/08/2014	AB12345 ADELNEST, FRANCIS	*MERIDIAN VALUE FUND	B	4.00	0.00	0.00	0.00		0.00
11/09/2014	AB12345 ADELNEST, FRANCIS	*MERIDIAN VALUE FUND	B	21,341,234.00	121.00	0.00	121.00		114.95
11/02/2014	AB12345 ADELNEST, FRANCIS	AIM 001413301	B	100.00	0.01	0.00	0.01		0.01
11/03/2014	AB12345 ADELNEST, FRANCIS	AIM 001413301	B	100.00	0.01	0.00	0.01		0.01
* REP #1 SAMPLE SUBTOTAL *				\$42,682,676.00	\$242.02	\$0.00	\$242.02		\$229.92
*** SPLIT TRANSACTIONS TOTAL ***				\$42,682,676.00	\$242.02	\$0.00	\$242.02		\$229.92

COMMISSION STATEMENT for BROKER3 TEST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERRIDE TRANSACTIONS									
BROKER: REP #1 SAMPLE #1									
11/09/2014	AB12345	ADELNEST, FRANCIS							
		*MERIDIAN VALUE FUND	B	21,341,234.00	121.00	0.00	121.00		30.25
11/10/2014	AB12345	BLACK, RALPH W							
		AIM 001413301	B	20,000.00	875.00	25.00	850.00		212.50
11/10/2014	AB12345	BLACK, RALPH W							
		AIM CHARTER FUND CLASS B	B	10,000.00	125.00	35.00	90.00		22.50
11/10/2014	AB12345	BLACK, RALPH W							
		OPPENHEIMER 68380L100	B	25,000.00	508.56	4.00	504.56		126.14
11/10/2014	AB12345	BLACK, RALPH W							
		VANGUARD INFO TECH VIPERS	B	5,000.00	145.00	12.00	133.00		33.25
* REP #1 SAMPLE SUBTOTAL *				\$21,401,234.00	\$1,774.56	\$76.00	\$1,698.56		\$424.64
*** OVERRIDE TRANSACTIONS TOTAL ***				\$21,401,234.00	\$1,774.56	\$76.00	\$1,698.56		\$424.64

BROKER COMMISSION TOTALS

Broker Transactions	\$16.60
Split Transactions	\$229.92
Override Transactions	\$424.64
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.32
SIPC Assessment	\$-4.87

Check Amount	\$665.97
Year-to-date Earnings	\$665.97