

## COMMISSION STATEMENT

November 30, 2017

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: 14

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/24/2017	75501159	EISBERG, JAMES	MATTHEWS ASIA DIVIDEND FUND	B	15,000.00	25.00	0.00	25.00	80.0	20.00
* MUTUAL FUNDS SUBTOTAL *					\$15,000.00	\$25.00	\$0.00	\$25.00		\$20.00

PRODUCT CATEGORY: STOCKS

10/30/2017	75500508	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR GOLD MINERS E S		17,525.20	0.00	10.00	-10.00	80.0	-8.00
10/30/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP B		52,727.00	0.00	10.00	-10.00	80.0	-8.00
10/31/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP S		53,090.00	0.00	10.00	-10.00	80.0	-8.00
10/31/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR GOLD MINERS E S		24,728.00	0.00	10.00	-10.00	80.0	-8.00
11/07/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T B		34,497.00	0.00	10.00	-10.00	80.0	-8.00
11/08/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T S		34,443.00	0.00	10.00	-10.00	80.0	-8.00
11/08/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR OIL SVCS ETF S		49,096.00	0.00	10.00	-10.00	80.0	-8.00
11/10/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		141,023.96	0.00	10.00	-10.00	80.0	-8.00
11/13/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S		141,048.00	0.00	10.00	-10.00	80.0	-8.00
11/13/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		759.72	0.00	10.00	-10.00	80.0	-8.00
11/13/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS ETF TR OIL SVCS ETF B		48,089.00	0.00	10.00	-10.00	80.0	-8.00
11/14/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		140,424.00	0.00	10.00	-10.00	80.0	-8.00
11/14/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		140,424.00	0.00	10.00	-10.00	80.0	-8.00
11/15/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S		139,392.00	0.00	10.00	-10.00	80.0	-8.00
11/15/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S		139,392.00	0.00	10.00	-10.00	80.0	-8.00
11/17/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		140,856.00	0.00	10.00	-10.00	80.0	-8.00
11/17/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		70,428.00	0.00	10.00	-10.00	80.0	-8.00
11/17/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF B		70,428.00	0.00	10.00	-10.00	80.0	-8.00
11/20/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S		141,552.00	0.00	10.00	-10.00	80.0	-8.00
11/21/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF S		142,848.00	0.00	10.00	-10.00	80.0	-8.00
10/30/2017	75500529	KALOS, PAUL	VANECK VECTORS ETF TR GOLD MINERS E S		25,036.00	50.00	10.00	40.00	80.0	32.00
11/10/2017	75500529	KALOS, PAUL	CBS CORP CLASS B	B	5,624.93	50.00	10.00	40.00	80.0	32.00
11/24/2017	75500529	KALOS, PAUL	ENTERCOM COMMUNICATIONS CORP CLASS S	S	6,631.60	50.00	10.00	40.00	80.0	32.00
10/30/2017	75500767	HAMILTON, DOUGLAS	VANECK VECTORS ETF TR GOLD MINERS E S		6,372.80	15.00	10.00	5.00	80.0	4.00
11/24/2017	75500796	KARP, BETH	GENERAL ELECTRIC CO	B	91,395.30	150.00	10.00	140.00	80.0	112.00
10/31/2017	75500814	KARLIN, RONALD	UNIVERSAL DISPLAY CORPORATION	S	74,650.00	75.00	10.00	65.00	80.0	52.00
11/01/2017	75500814	KARLIN, RONALD	UNIVERSAL DISPLAY CORPORATION	B	73,300.00	75.00	10.00	65.00	80.0	52.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/03/2017	75500814 KARLIN, RONALD	UNIVERSAL DISPLAY CORPORATION	S	79,925.00	75.00	10.00	65.00	80.0	52.00
11/03/2017	75500814 KARLIN, RONALD	UNIVERSAL DISPLAY CORPORATION	S	76,800.00	75.00	10.00	65.00	80.0	52.00
11/08/2017	75500814 KARLIN, RONALD	PUMA BIOTECHNOLOGY INC	B	66,000.00	75.00	10.00	65.00	80.0	52.00
11/14/2017	75500814 KARLIN, RONALD	ROKU INC CLASS A COMMON STOCK	B	11,068.71	75.00	10.00	65.00	80.0	52.00
10/30/2017	75500816 KERN, DOUGLAS	VANECK VECTORS ETF TR GOLD MINERS E S	S	37,326.40	50.00	10.00	40.00	80.0	32.00
11/21/2017	75500829 GRAFSTEIN, ALLEN	BAIDU INC SPONSORED ADR REPSTG ORD	S	24,500.00	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500854 LERNER, PETER	CELANESE CORPORATION SERIES A	S	106,845.00	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500854 LERNER, PETER	NANOSTRING TECHNOLOGIES INC	S	49,508.50	75.00	10.00	65.00	80.0	52.00
11/02/2017	75500854 LERNER, PETER	RBC BEARINGS INC	S	62,154.80	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500854 LERNER, PETER	SILVER SPRING NETWORKS INC COM	S	80,450.70	100.00	10.00	90.00	80.0	72.00
11/02/2017	75500854 LERNER, PETER	WABTEC CORP	S	37,964.00	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500913 KARP, RONALD	IMPINJ INC COM	B	30,653.20	49.99	10.00	39.99	80.0	31.99
11/08/2017	75500913 KARP, RONALD	MISONIX INC	S	8,229.00	25.00	10.00	15.00	80.0	12.00
11/08/2017	75500913 KARP, RONALD	MISONIX INC	B	-8,229.00	-25.00	-10.00	-15.00	80.0	-12.00 C
11/10/2017	75500913 KARP, RONALD	ALCOBRA LTD SHS	B	20,100.00	75.00	10.00	65.00	80.0	52.00
11/28/2017	75500913 KARP, RONALD	DIME COMMUNITY BANCSHARES	S	42,414.11	50.00	10.00	40.00	80.0	32.00
10/30/2017	75500921 CZECH, ERIC	VANECK VECTORS ETF TR GOLD MINERS E S	S	12,290.40	25.00	10.00	15.00	80.0	12.00
11/10/2017	75500921 CZECH, ERIC	CBS CORP CLASS B	B	5,620.97	25.00	10.00	15.00	80.0	12.00
11/24/2017	75500921 CZECH, ERIC	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,572.30	25.00	10.00	15.00	80.0	12.00
11/21/2017	75500926 CONGREGATION DARCHEI	INTUITIVE SURGICAL INC COM	S	5,976.60	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500931 RON KARP AND/OR	IMPINJ INC COM	B	10,217.50	25.00	10.00	15.00	80.0	12.00
11/07/2017	75500931 RON KARP AND/OR	MISONIX INC	S	4,937.40	25.00	10.00	15.00	80.0	12.00
11/08/2017	75500931 RON KARP AND/OR	MISONIX INC	S	8,229.00	25.00	10.00	15.00	80.0	12.00
11/09/2017	75500931 RON KARP AND/OR	MISONIX INC	S	5,572.80	21.47	10.00	11.47	80.0	9.18
11/10/2017	75500931 RON KARP AND/OR	MISONIX INC	S	7,345.10	25.00	10.00	15.00	80.0	12.00
11/02/2017	75500978 LERNER, SUSAN	CELANESE CORPORATION SERIES A	S	106,856.00	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500978 LERNER, SUSAN	NANOSTRING TECHNOLOGIES INC	S	49,508.50	75.00	10.00	65.00	80.0	52.00
11/02/2017	75500978 LERNER, SUSAN	RBC BEARINGS INC	S	124,309.60	50.00	10.00	40.00	80.0	32.00
11/02/2017	75500978 LERNER, SUSAN	WABTEC CORP	S	75,871.00	50.00	10.00	40.00	80.0	32.00
11/27/2017	75501084 ROTH, JOSEPH	MACYS INC	B	4,133.32	50.00	10.00	40.00	80.0	32.00
11/10/2017	75501159 EISBERG, JAMES	CBS CORP CLASS B	B	5,622.95	50.00	10.00	40.00	80.0	32.00
11/24/2017	75501159 EISBERG, JAMES	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,575.40	50.00	10.00	40.00	80.0	32.00
11/02/2017	75501171 LERNER, PETER	RENEWABLE ENERGY GROUP INC	S	117,375.00	200.00	10.00	190.00	80.0	152.00
11/20/2017	75501192 ALLEN GRAFSTEIN	BUFFALO WILD WINGS INC COM	S	139,000.00	50.00	10.00	40.00	80.0	32.00
11/24/2017	QGW019903 KARP, SELWYN	GENERAL ELECTRIC CO	B	91,398.00	150.00	10.00	140.00	80.0	112.00
11/07/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-45,278.27	83.00	10.00	73.00	80.0	58.40
11/08/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	30,399.60	100.00	10.00	90.00	80.0	72.00
11/10/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	28,863.20	100.00	10.00	90.00	80.0	72.00
11/10/2017	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	B	44,664.90	50.00	10.00	40.00	80.0	32.00
11/10/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-44,656.00	80.00	10.00	70.00	80.0	56.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/14/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	28,840.00	100.00	10.00	90.00	80.0	72.00
11/21/2017	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	36,399.20	80.00	10.00	70.00	80.0	56.00
11/28/2017	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	B	29,975.20	50.00	10.00	40.00	80.0	32.00
11/24/2017	QGW019937 KARP, BARBARA	GENERAL ELECTRIC CO	B	91,325.00	150.00	10.00	140.00	80.0	112.00
11/27/2017	QGW019937 KARP, BARBARA	PDL CMNTY BANCORP COM	S	-23,652.70	50.00	10.00	40.00	80.0	32.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$3,695,783.90</b>	<b>\$3,229.46</b>	<b>\$700.00</b>	<b>\$2,529.46</b>		<b>\$2,023.57</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
11/03/2017	75500854 LERNER, PETER	MORGAN STANLEY BK N A UTAH CD C/D F B		90,000.00	13.50	10.00	3.50	80.0	2.80
11/03/2017	75500854 LERNER, PETER	STATE BK INDIA NEW YORK NY C/D FDIC B		250,000.00	37.50	10.00	27.50	80.0	22.00
11/03/2017	75500978 LERNER, SUSAN	MORGAN STANLEY BK N A UTAH CD C/D F B		100,000.00	15.00	10.00	5.00	80.0	4.00
11/03/2017	75500978 LERNER, SUSAN	STATE BK INDIA NEW YORK NY C/D FDIC B		250,000.00	37.50	10.00	27.50	80.0	22.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>				<b>\$690,000.00</b>	<b>\$103.50</b>	<b>\$40.00</b>	<b>\$63.50</b>		<b>\$50.80</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
11/09/2017	75500765 DIMAGGIO, PHILLIP	PIM 018919704	B	0.00	1.84	0.00	1.84	80.0	1.47
11/24/2017	75500765 DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.83	0.00	0.83	80.0	0.66
11/09/2017	75500766 HAMILTON, DOUGLAS	MFS EMERGING GROWTH FUND CLASS A	B	0.00	24.57	0.00	24.57	80.0	19.66
11/09/2017	75500779 DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICI B	B	0.00	1.97	0.00	1.97	80.0	1.58
11/09/2017	75500779 DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A	B	0.00	2.38	0.00	2.38	80.0	1.90
11/24/2017	75500779 DIPRIMA, ANTHONY	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.82	0.00	0.82	80.0	0.66
11/09/2017	75500780 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	2.37	0.00	2.37	80.0	1.90
11/09/2017	75500781 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	3.65	0.00	3.65	80.0	2.92
11/20/2017	75500796 KARP, BETH	**HEARTLAND VALUE FUND INC	B	0.00	135.20	0.00	135.20	80.0	108.16
11/24/2017	75500801 LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.12	0.00	0.12	80.0	0.10
11/09/2017	75500826 HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY	B	0.00	5.86	0.00	5.86	80.0	4.69
11/09/2017	75500826 HORN, LAWRENCE	**VOYA EQUITY TR	B	0.00	5.46	0.00	5.46	80.0	4.37
11/09/2017	75500885 DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	1.00	0.00	1.00	80.0	0.80
11/09/2017	75500885 DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN B	B	0.00	2.18	0.00	2.18	80.0	1.74
11/24/2017	75500885 DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.58	0.00	0.58	80.0	0.46
11/28/2017	75500885 DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND	B	0.00	0.24	0.00	0.24	80.0	0.19
11/20/2017	75500886 STRAUSS, HELENE	**HEARTLAND VALUE FUND INC	B	0.00	13.34	0.00	13.34	80.0	10.67
11/09/2017	75500942 KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	3.59	0.00	3.59	80.0	2.87
11/09/2017	75500942 KATEN, DOREEN	CAPITAL APPRECIATION A	B	0.00	3.83	0.00	3.83	80.0	3.06
11/09/2017	75500942 KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA B	B	0.00	4.49	0.00	4.49	80.0	3.59
11/09/2017	75500942 KATEN, DOREEN	JOHN HANCOCK FDS III STRATEGIC GROW B	B	0.00	6.33	0.00	6.33	80.0	5.06
11/09/2017	75500942 KATEN, DOREEN	JOHN HANCOCK FDS II NEW OPPORTUNITI B	B	0.00	3.79	0.00	3.79	80.0	3.03
11/24/2017	75500942 KATEN, DOREEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	5.58	0.00	5.58	80.0	4.46
11/28/2017	75500942 KATEN, DOREEN	**SARATOGA HEALTH AND	B	0.00	7.88	0.00	7.88	80.0	6.30
11/09/2017	75500943 KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	0.46	0.00	0.46	80.0	0.37

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/09/2017	75500947	BIEL, LYNN	EHV 27826L322	B	0.00	21.44	0.00	21.44	80.0	17.15
11/09/2017	75500947	BIEL, LYNN	FRANKLIN NEW YORK TAX-FREE INCOME F B		0.00	19.59	0.00	19.59	80.0	15.67
11/09/2017	75500947	BIEL, LYNN	ROCHESTER FUND MUNICIPALS A	B	0.00	34.21	0.00	34.21	80.0	27.37
11/09/2017	75500947	BIEL, LYNN	WESTERN ASSET NEW YORK MUNICIPALS F B		0.00	15.91	0.00	15.91	80.0	12.73
11/09/2017	75500965	BLASIUS, MARK	EATON VANCE TAX MANAGED GLOBAL DIVI B		0.00	16.15	0.00	16.15	80.0	12.92
11/09/2017	75500965	BLASIUS, MARK	STRATEGIC INCOME A	B	0.00	37.69	0.00	37.69	80.0	30.15
11/09/2017	75500965	BLASIUS, MARK	US GLOBAL LEADERS GROWTH A	B	0.00	18.07	0.00	18.07	80.0	14.46
11/09/2017	75501070	TAYLOR, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.14	0.00	2.14	80.0	1.71
11/09/2017	75501087	MARK & CHARLES	EHV 277902813	B	0.00	17.92	0.00	17.92	80.0	14.34
11/09/2017	75501087	MARK & CHARLES	GOLDMAN SACHS TOLLKEEPER FUND CLASS B		0.00	70.66	0.00	70.66	80.0	56.53
11/24/2017	75501087	MARK & CHARLES	**VICTORY PORTFOLIOS	B	0.00	31.13	0.00	31.13	80.0	24.90
11/09/2017	75501097	CARMAN, JOSEPH	FEDERATED MID CAP GROWTH STRATEGIES B		0.00	3.56	0.00	3.56	80.0	2.85
11/09/2017	75501097	CARMAN, JOSEPH	FEDERATED INTERNATIONAL SMALL-COMPA B		0.00	2.96	0.00	2.96	80.0	2.37
11/09/2017	75501118	BYRNE, MARY	PIONEER CULLEN VALUE FUND CLASS A	B	0.00	3.38	0.00	3.38	80.0	2.70
11/09/2017	75501118	BYRNE, MARY	PIONEER HIGH YIELD FUND A	B	0.00	5.28	0.00	5.28	80.0	4.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$538.45	\$0.00	\$538.45		\$430.74
*** BROKER TRANSACTIONS TOTAL ***					\$4,400,783.90	\$3,896.41	\$740.00	\$3,156.41		\$2,525.11

**ADJUSTMENTS**

11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
11/30/2017		OTHER: OTHER-DISABLITY INSURANCE		0.00	0.00	0.00	0.00		-2.04
11/30/2017		OTHER: OTHER-WORKMAN COMP		0.00	0.00	0.00	0.00		-133.34
11/30/2017		OTHER: OTHER-MISC TAX METRO		0.00	0.00	0.00	0.00		-39.63
11/30/2017		OTHER: OTHER-FICA		0.00	0.00	0.00	0.00		-165.31
11/30/2017		OTHER: OTHER-IRENE		0.00	0.00	0.00	0.00		1,790.76
11/30/2017		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86
11/30/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
11/30/2017		:		0.00	0.00	0.00	0.00		0.00
<b>*** ADJUSTMENTS TOTAL ***</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,249.92</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,525.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,249.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.87
SIPC Assessment	\$-9.74

<b>Check Amount</b>	<b>\$3,760.42</b>
<b>Year-to-date Earnings</b>	<b>\$19,126.72</b>