

COMMISSION STATEMENT

June 30, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: C30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/15/2018	QGW033466	CUSTODIAN, EMILY	JD.COM INC SPONSORED ADR REPSTG COM S	-4,358.01	50.00	6.00	44.00	80.0	34.00
06/18/2018	QGW033466	CUSTODIAN, EMILY	WHITING PETROLEUM CORPORATION COMMO B	4,843.00	68.00	6.00	62.00	80.0	48.40
06/22/2018	QGW033466	CUSTODIAN, EMILY	VUZIX CORP COM NEW B	4,900.00	100.00	6.00	94.00	80.0	74.00
06/22/2018	QGW033466	CUSTODIAN, EMILY	WHITING PETROLEUM CORPORATION COMMO S	-5,397.00	50.00	6.00	44.00	80.0	34.00
06/14/2018	QGW033649	ARLENE M FRANCIS,	DROPBOX INC CL A COM B	16,145.00	241.00	6.00	235.00	80.0	186.80
06/15/2018	QGW033649	ARLENE M FRANCIS,	ALIBABA GROUP HOLDING LTD SPONSORED S	-20,000.00	80.73	6.00	74.73	80.0	58.58
06/15/2018	QGW033649	ARLENE M FRANCIS,	WHITING PETROLEUM CORPORATION COMMO S	-5,200.00	100.35	6.00	94.35	80.0	74.28
06/18/2018	QGW033649	ARLENE M FRANCIS,	DROPBOX INC CL A COM S	-19,500.00	250.00	6.00	244.00	80.0	194.00
06/18/2018	QGW033649	ARLENE M FRANCIS,	IQIYI INC SPONS ADR ISIN#US46267X10 B	20,589.50	250.00	6.00	244.00	80.0	194.00
06/19/2018	QGW033649	ARLENE M FRANCIS,	VUZIX CORP COM NEW B	6,900.00	150.00	6.00	144.00	80.0	114.00
06/20/2018	QGW033649	ARLENE M FRANCIS,	IQIYI INC SPONS ADR ISIN#US46267X10 S	-21,748.60	125.00	6.00	119.00	80.0	94.00
06/26/2018	QGW033649	ARLENE M FRANCIS,	COHERUS BIOSCIENCES INC COM B	7,815.00	150.00	6.00	144.00	80.0	114.00
06/27/2018	QGW033649	ARLENE M FRANCIS,	SPLUNK INC COM B	10,249.58	150.00	6.00	144.00	80.0	114.00
06/27/2018	QGW033730	KIM, IN	DIAMOND OFFSHORE DRILLING INC S	-20,160.40	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW033730	KIM, IN	PATTERSON UTI ENERGY INC B	18,945.30	175.00	6.00	169.00	80.0	134.00
06/27/2018	QGW033730	KIM, IN	PATTERSON UTI ENERGY INC B	-18,945.30	-175.00	-6.00	-169.00	80.0	-134.00 C
06/27/2018	QGW033730	KIM, IN	PATTERSON UTI ENERGY INC B	18,945.30	175.00	6.00	169.00	80.0	134.00
06/18/2018	QGW033763	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10 B	20,792.50	250.00	6.00	244.00	80.0	194.00
06/18/2018	QGW033763	LYONS, SALLY	MAZOR ROBOTICS LTD SPONSORED ADS S	-17,400.00	150.00	6.00	144.00	80.0	114.00
06/20/2018	QGW033763	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10 S	-21,952.30	125.00	6.00	119.00	80.0	94.00
06/21/2018	QGW033763	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10 B	20,568.30	250.00	6.00	244.00	80.0	194.00
06/05/2018	QGW033805	LYONS, SALLY	JD.COM INC SPONSORED ADR REPSTG COM S	-19,500.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW033805	LYONS, SALLY	ROKU INC CLASS A COMMON STOCK B	19,015.00	200.00	6.00	194.00	80.0	154.00
06/14/2018	QGW033805	LYONS, SALLY	DROPBOX INC CL A COM B	16,145.00	241.00	6.00	235.00	80.0	186.80
06/15/2018	QGW033805	LYONS, SALLY	ROKU INC CLASS A COMMON STOCK S	-20,000.00	288.14	6.00	282.14	80.0	224.51
06/18/2018	QGW033805	LYONS, SALLY	DROPBOX INC CL A COM S	-19,500.00	250.00	6.00	244.00	80.0	194.00
06/18/2018	QGW033805	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10 B	20,884.95	250.00	6.00	244.00	80.0	194.00
06/20/2018	QGW033805	LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X10 S	-21,930.10	125.00	6.00	119.00	80.0	94.00
06/21/2018	QGW033805	LYONS, SALLY	INVIVO THERAPEUTICS HOLDINGS CORP C B	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018	QGW033805	LYONS, SALLY	WTS INVIVO THERAPEUTICS HLDGS CORP B	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018	QGW033805	LYONS, SALLY	WTS INVIVO THERAPEUTICS HLDGS CORP B	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/21/2018	QGW033805 LYONS, SALLY	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	0.00	6.00	-6.00	80.0	-6.00
06/22/2018	QGW033805 LYONS, SALLY	VUZIX CORP COM NEW	B	6,875.00	150.00	6.00	144.00	80.0	114.00
06/26/2018	QGW033805 LYONS, SALLY	COHERUS BIOSCIENCES INC COM	B	7,925.00	150.00	6.00	144.00	80.0	114.00
06/21/2018	QGW033821 RUNYAN, RALPH	SACHEM CAPITAL CORP COMMON SHARES	B	4,166.40	131.00	6.00	125.00	80.0	98.80
06/01/2018	QGW034761 SYMCZAK, STEPHEN	UNDER ARMOUR INC CLASS C COMMON STO	S	-14,430.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW034761 SYMCZAK, STEPHEN	ROKU INC CLASS A COMMON STOCK	B	19,060.00	200.00	6.00	194.00	80.0	154.00
06/11/2018	QGW034761 SYMCZAK, STEPHEN	LAM RESEARCH CORP	B	18,593.60	150.00	6.00	144.00	80.0	114.00
06/11/2018	QGW034761 SYMCZAK, STEPHEN	ROKU INC CLASS A COMMON STOCK	S	-20,250.00	100.00	6.00	94.00	80.0	74.00
06/26/2018	QGW034761 SYMCZAK, STEPHEN	ABBVIE INC	S	-9,340.38	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW034761 SYMCZAK, STEPHEN	COHERUS BIOSCIENCES INC COM	B	7,875.00	150.00	6.00	144.00	80.0	114.00
05/31/2018	QGW034894 MODDERNO, PATRICIA	AK STEEL HOLDING CORP	B	4,916.00	75.00	6.00	69.00	80.0	54.00
05/31/2018	QGW035438 RIZZO, GIOVANNI	AK STEEL HOLDING CORP	B	4,866.60	75.00	6.00	69.00	80.0	54.00
06/27/2018	QGW035438 RIZZO, GIOVANNI	DIAMOND OFFSHORE DRILLING INC	S	-20,173.60	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW036584 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	B	19,987.40	200.00	6.00	194.00	80.0	154.00
06/15/2018	QGW036584 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	S	-21,000.00	295.60	6.00	289.60	80.0	230.48
06/19/2018	QGW036584 TREIBER, NANCY	VUZIX CORP COM NEW	B	6,900.00	150.00	6.00	144.00	80.0	114.00
06/21/2018	QGW036584 TREIBER, NANCY	INVIVO THERAPEUTICS HOLDINGS CORP C	B	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018	QGW036584 TREIBER, NANCY	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018	QGW036584 TREIBER, NANCY	WTS INVIVO THERAPEUTICS HLDGS CORP	B	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018	QGW036584 TREIBER, NANCY	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	0.00	6.00	-6.00	80.0	-6.00
06/26/2018	QGW036584 TREIBER, NANCY	ABBVIE INC	S	-9,305.00	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW036584 TREIBER, NANCY	COHERUS BIOSCIENCES INC COM	B	7,852.50	150.00	6.00	144.00	80.0	114.00
06/27/2018	QGW036584 TREIBER, NANCY	DIAMOND OFFSHORE DRILLING INC	S	-20,150.20	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW036592 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	B	11,978.04	170.00	6.00	164.00	80.0	130.00
06/12/2018	QGW036592 TREIBER, NANCY	SACHEM CAPITAL CORP COMMON SHARES	S	-5,925.00	50.00	6.00	44.00	80.0	34.00
06/15/2018	QGW036592 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	S	-12,600.00	190.52	6.00	184.52	80.0	146.42
06/15/2018	QGW036592 TREIBER, NANCY	WHITING PETROLEUM CORPORATION COMMO	S	-7,800.00	136.87	6.00	130.87	80.0	103.50
06/18/2018	QGW036592 TREIBER, NANCY	IQIYI INC SPONS ADR ISIN#US46267X10	B	20,533.90	250.00	6.00	244.00	80.0	194.00
06/19/2018	QGW036592 TREIBER, NANCY	VUZIX CORP COM NEW	B	4,140.00	100.00	6.00	94.00	80.0	74.00
06/20/2018	QGW036592 TREIBER, NANCY	IQIYI INC SPONS ADR ISIN#US46267X10	S	-21,941.85	125.00	6.00	119.00	80.0	94.00
06/26/2018	QGW036592 TREIBER, NANCY	ABBVIE INC	S	-9,301.73	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW036592 TREIBER, NANCY	COHERUS BIOSCIENCES INC COM	B	7,865.00	150.00	6.00	144.00	80.0	114.00
06/27/2018	QGW036592 TREIBER, NANCY	SPLUNK INC COM	B	10,214.00	150.00	6.00	144.00	80.0	114.00
06/12/2018	QGW036915 CASSERINO, PAULA	DUNKIN BRANDS GROUP INC	S	-13,596.68	50.00	6.00	44.00	80.0	34.00
06/14/2018	QGW036915 CASSERINO, PAULA	SACHEM CAPITAL CORP COMMON SHARES	B	11,909.10	241.00	6.00	235.00	80.0	186.80
06/26/2018	QGW037061 ALONSO, ALICE	KKR & CO L P DEL COM UNITS	S	-12,235.00	125.00	6.00	119.00	80.0	94.00
05/31/2018	QGW038697 KOHN, SUSAN	AK STEEL HOLDING CORP	B	4,790.00	75.00	6.00	69.00	80.0	54.00
06/06/2018	QGW038697 KOHN, SUSAN	DIAMOND OFFSHORE DRILLING INC	B	9,405.00	150.00	6.00	144.00	80.0	114.00
06/27/2018	QGW038697 KOHN, SUSAN	DIAMOND OFFSHORE DRILLING INC	S	-9,970.80	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW038697 KOHN, SUSAN	SPLUNK INC COM	B	10,128.24	150.00	6.00	144.00	80.0	114.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/01/2018	QGW038705	SAPIO, ROBERTA		TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-14,000.00	100.00	6.00	94.00 80.0 74.00
06/05/2018	QGW038705	SAPIO, ROBERTA		ROKU INC CLASS A COMMON STOCK	B	18,971.05	200.00	6.00	194.00 80.0 154.00
06/06/2018	QGW038705	SAPIO, ROBERTA		DIAMOND OFFSHORE DRILLING INC	B	9,480.45	150.00	6.00	144.00 80.0 114.00
06/11/2018	QGW038705	SAPIO, ROBERTA		ROKU INC CLASS A COMMON STOCK	S	-20,324.90	100.00	6.00	94.00 80.0 74.00
06/12/2018	QGW038705	SAPIO, ROBERTA		LAM RESEARCH CORP	B	18,630.33	100.00	6.00	94.00 80.0 74.00
06/26/2018	QGW038705	SAPIO, ROBERTA		ABBVIE INC	S	-9,306.34	50.00	6.00	44.00 80.0 34.00
06/27/2018	QGW038705	SAPIO, ROBERTA		DIAMOND OFFSHORE DRILLING INC	S	-9,898.50	50.00	6.00	44.00 80.0 34.00
05/31/2018	QGW038812	KOHN, ROBERT		AK STEEL HOLDING CORP	B	4,790.00	75.00	6.00	69.00 80.0 54.00
06/15/2018	QGW038812	KOHN, ROBERT		SQUARE INC CLASS A COM	S	-50,000.00	499.28	6.00	493.28 80.0 393.42
06/18/2018	QGW038812	KOHN, ROBERT		IQIYI INC SPONS ADR ISIN#US46267X10	B	20,667.35	250.00	6.00	244.00 80.0 194.00
06/18/2018	QGW038812	KOHN, ROBERT		WHITING PETROLEUM CORPORATION COMMO	B	24,212.35	250.00	6.00	244.00 80.0 194.00
06/19/2018	QGW038812	KOHN, ROBERT		DUNKIN BRANDS GROUP INC	S	-24,066.00	50.00	6.00	44.00 80.0 34.00
06/19/2018	QGW038812	KOHN, ROBERT		VUZIX CORP COM NEW	B	6,875.00	150.00	6.00	144.00 80.0 114.00
06/20/2018	QGW038812	KOHN, ROBERT		IQIYI INC SPONS ADR ISIN#US46267X10	S	-21,954.00	125.00	6.00	119.00 80.0 94.00
06/21/2018	QGW038812	KOHN, ROBERT		INVIVO THERAPEUTICS HOLDINGS CORP C	B	1,592.00	0.00	6.00	-6.00 80.0 -6.00
06/21/2018	QGW038812	KOHN, ROBERT		IQIYI INC SPONS ADR ISIN#US46267X10	B	19,740.30	250.00	6.00	244.00 80.0 194.00
06/21/2018	QGW038812	KOHN, ROBERT		LAM RESEARCH CORP	B	17,814.22	150.00	6.00	144.00 80.0 114.00
06/21/2018	QGW038812	KOHN, ROBERT		WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	50.00	6.00	44.00 80.0 34.00
06/21/2018	QGW038812	KOHN, ROBERT		WTS INVIVO THERAPEUTICS HLDGS CORP	B	-8.00	-50.00	-6.00	-44.00 80.0 -34.00 C
06/21/2018	QGW038812	KOHN, ROBERT		WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	0.00	6.00	-6.00 80.0 -6.00
06/22/2018	QGW038812	KOHN, ROBERT		VUZIX CORP COM NEW	B	7,000.00	150.00	6.00	144.00 80.0 114.00
06/26/2018	QGW038812	KOHN, ROBERT		ABBVIE INC	S	-9,300.08	50.00	6.00	44.00 80.0 34.00
06/27/2018	QGW038812	KOHN, ROBERT		DIAMOND OFFSHORE DRILLING INC	S	-20,131.00	50.00	6.00	44.00 80.0 34.00
06/27/2018	QGW038812	KOHN, ROBERT		SPLUNK INC COM	B	10,100.99	150.00	6.00	144.00 80.0 114.00
05/31/2018	QGW039158	MASTERSON, PATRICIA		AK STEEL HOLDING CORP	B	4,865.00	75.00	6.00	69.00 80.0 54.00
06/06/2018	QGW039158	MASTERSON, PATRICIA		TWENTY FIRST CENTURY FOX INC CLASS	S	-15,618.00	50.00	6.00	44.00 80.0 34.00
06/07/2018	QGW039158	MASTERSON, PATRICIA		ROKU INC CLASS A COMMON STOCK	B	18,912.50	200.00	6.00	194.00 80.0 154.00
06/12/2018	QGW039158	MASTERSON, PATRICIA		COCA COLA COMPANY (THE)	S	-8,874.44	50.00	6.00	44.00 80.0 34.00
06/12/2018	QGW039158	MASTERSON, PATRICIA		ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-7,265.00	50.00	6.00	44.00 80.0 34.00
06/14/2018	QGW039158	MASTERSON, PATRICIA		DROPBOX INC CL A COM	B	16,165.00	241.00	6.00	235.00 80.0 186.80
06/15/2018	QGW039158	MASTERSON, PATRICIA		DROPBOX INC CL A COM	S	-19,301.35	250.00	6.00	244.00 80.0 194.00
06/15/2018	QGW039158	MASTERSON, PATRICIA		ROKU INC CLASS A COMMON STOCK	S	-19,500.00	282.56	6.00	276.56 80.0 220.05
06/18/2018	QGW039158	MASTERSON, PATRICIA		IQIYI INC SPONS ADR ISIN#US46267X10	B	20,819.80	250.00	6.00	244.00 80.0 194.00
06/19/2018	QGW039158	MASTERSON, PATRICIA		VUZIX CORP COM NEW	B	6,875.00	150.00	6.00	144.00 80.0 114.00
06/20/2018	QGW039158	MASTERSON, PATRICIA		IQIYI INC SPONS ADR ISIN#US46267X10	S	-21,851.60	125.00	6.00	119.00 80.0 94.00
06/21/2018	QGW039158	MASTERSON, PATRICIA		INVIVO THERAPEUTICS HOLDINGS CORP C	B	1,592.00	0.00	6.00	-6.00 80.0 -6.00
06/21/2018	QGW039158	MASTERSON, PATRICIA		IQIYI INC SPONS ADR ISIN#US46267X10	B	20,438.35	250.00	6.00	244.00 80.0 194.00
06/21/2018	QGW039158	MASTERSON, PATRICIA		WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	50.00	6.00	44.00 80.0 34.00
06/21/2018	QGW039158	MASTERSON, PATRICIA		WTS INVIVO THERAPEUTICS HLDGS CORP	B	-8.00	-50.00	-6.00	-44.00 80.0 -34.00 C
06/21/2018	QGW039158	MASTERSON, PATRICIA		WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	0.00	6.00	-6.00 80.0 -6.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/26/2018	QGW039158	MASTERSON, PATRICIA	ABBVIE INC	S	-9,302.72	50.00	6.00	44.00	80.0 34.00
06/26/2018	QGW039158	MASTERSON, PATRICIA	COHERUS BIOSCIENCES INC COM	B	7,749.80	150.00	6.00	144.00	80.0 114.00
06/27/2018	QGW039158	MASTERSON, PATRICIA	SPLUNK INC COM	B	10,379.16	150.00	6.00	144.00	80.0 114.00
05/31/2018	QGW039398	RAGAZZO, RALPH	AK STEEL HOLDING CORP	B	4,790.00	75.00	6.00	69.00	80.0 54.00
06/12/2018	QGW039398	RAGAZZO, RALPH	ABBVIE INC	B	9,941.60	75.00	6.00	69.00	80.0 54.00
06/12/2018	QGW039398	RAGAZZO, RALPH	ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-21,684.00	50.00	6.00	44.00	80.0 34.00
06/14/2018	QGW039398	RAGAZZO, RALPH	DROPBOX INC CL A COM	B	16,208.85	241.00	6.00	235.00	80.0 186.80
06/15/2018	QGW039398	RAGAZZO, RALPH	DROPBOX INC CL A COM	S	-19,380.20	250.00	6.00	244.00	80.0 194.00
06/18/2018	QGW039398	RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10	B	16,721.20	200.00	6.00	194.00	80.0 154.00
06/20/2018	QGW039398	RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10	S	-17,471.24	100.00	6.00	94.00	80.0 74.00
06/22/2018	QGW039398	RAGAZZO, RALPH	VUZIX CORP COM NEW	B	6,900.00	150.00	6.00	144.00	80.0 114.00
06/26/2018	QGW039398	RAGAZZO, RALPH	COHERUS BIOSCIENCES INC COM	B	7,725.00	150.00	6.00	144.00	80.0 114.00
05/31/2018	QGW039414	RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK	B	6,840.00	90.00	6.00	84.00	80.0 66.00
06/14/2018	QGW039414	RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK	S	-3,482.60	50.00	6.00	44.00	80.0 34.00
06/15/2018	QGW039414	RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK	S	-4,050.00	50.00	6.00	44.00	80.0 34.00
06/18/2018	QGW039414	RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10	B	6,343.47	65.00	6.00	59.00	80.0 46.00
06/20/2018	QGW039414	RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10	S	-6,529.02	50.00	6.00	44.00	80.0 34.00
06/22/2018	QGW039414	RAGAZZO, RALPH	VUZIX CORP COM NEW	B	6,950.00	150.00	6.00	144.00	80.0 114.00
05/31/2018	QGW039422	RAGAZZO, KATHLEEN	AK STEEL HOLDING CORP	B	4,790.00	75.00	6.00	69.00	80.0 54.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	ATHENEX INC COM	B	16,133.40	150.00	6.00	144.00	80.0 114.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	BOX INC CL A	B	26,179.80	250.00	6.00	244.00	80.0 194.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	DXC TECHNOLOGY COMPANY COMMON STOCK	S	-16,176.20	75.00	6.00	69.00	80.0 54.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	LAM RESEARCH CORP	S	-19,750.00	100.00	6.00	94.00	80.0 74.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	PERSPECTA INC COMMON STOCK	S	-2,505.33	75.00	6.00	69.00	80.0 54.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-20,000.00	100.00	6.00	94.00	80.0 74.00
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-10,250.00	100.00	6.00	94.00	80.0 74.00
06/04/2018	QGW039422	RAGAZZO, KATHLEEN	ROKU INC CLASS A COMMON STOCK	B	18,774.20	210.00	6.00	204.00	80.0 162.00
06/06/2018	QGW039422	RAGAZZO, KATHLEEN	VALEANT PHARMACEUTICALS INTERNATION	B	16,814.00	210.00	6.00	204.00	80.0 162.00
06/07/2018	QGW039422	RAGAZZO, KATHLEEN	ATHENEX INC COM	S	-16,831.70	50.00	6.00	44.00	80.0 34.00
06/07/2018	QGW039422	RAGAZZO, KATHLEEN	LAM RESEARCH CORP	B	18,801.30	200.00	6.00	194.00	80.0 154.00
06/07/2018	QGW039422	RAGAZZO, KATHLEEN	PERSPECTA INC COMMON STOCK	B	23,348.00	210.00	6.00	204.00	80.0 162.00
06/07/2018	QGW039422	RAGAZZO, KATHLEEN	VALEANT PHARMACEUTICALS INTERNATION	S	-17,570.00	50.00	6.00	44.00	80.0 34.00
06/11/2018	QGW039422	RAGAZZO, KATHLEEN	PERSPECTA INC COMMON STOCK	S	-24,118.20	50.00	6.00	44.00	80.0 34.00
06/14/2018	QGW039422	RAGAZZO, KATHLEEN	DROPBOX INC CL A COM	B	16,532.95	241.00	6.00	235.00	80.0 186.80
06/15/2018	QGW039422	RAGAZZO, KATHLEEN	DROPBOX INC CL A COM	S	-19,408.25	250.00	6.00	244.00	80.0 194.00
06/15/2018	QGW039422	RAGAZZO, KATHLEEN	ROKU INC CLASS A COMMON STOCK	S	-20,000.00	288.14	6.00	282.14	80.0 224.51
06/18/2018	QGW039422	RAGAZZO, KATHLEEN	IQIYI INC SPONS ADR ISIN#US46267X10	B	21,095.20	250.00	6.00	244.00	80.0 194.00
06/20/2018	QGW039422	RAGAZZO, KATHLEEN	IQIYI INC SPONS ADR ISIN#US46267X10	S	-21,668.50	50.00	6.00	44.00	80.0 34.00
06/21/2018	QGW039422	RAGAZZO, KATHLEEN	INVIVO THERAPEUTICS HOLDINGS CORP C	B	1,592.00	0.00	6.00	-6.00	80.0 -6.00
06/21/2018	QGW039422	RAGAZZO, KATHLEEN	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	50.00	6.00	44.00	80.0 34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
06/21/2018	QGW039422	RAGAZZO, KATHLEEN	WTS INVIVO THERAPEUTICS HLDGS CORP	B	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018	QGW039422	RAGAZZO, KATHLEEN	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	0.00	6.00	-6.00	80.0	-6.00
06/22/2018	QGW039422	RAGAZZO, KATHLEEN	VUZIX CORP COM NEW	B	6,950.00	150.00	6.00	144.00	80.0	114.00
06/26/2018	QGW039422	RAGAZZO, KATHLEEN	ABBVIE INC	S	-9,299.90	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW039422	RAGAZZO, KATHLEEN	COHERUS BIOSCIENCES INC COM	B	7,687.50	150.00	6.00	144.00	80.0	114.00
06/26/2018	QGW039422	RAGAZZO, KATHLEEN	SOGOU INC ADR REPSTG CL A SHS ISIN#	B	5,896.70	130.00	6.00	124.00	80.0	98.00
06/27/2018	QGW039422	RAGAZZO, KATHLEEN	DIAMOND OFFSHORE DRILLING INC	S	-20,150.40	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039422	RAGAZZO, KATHLEEN	SPLUNK INC COM	B	10,280.14	150.00	6.00	144.00	80.0	114.00
06/12/2018	QGW039448	PELAK, LAVERNE	ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-10,862.10	50.00	6.00	44.00	80.0	34.00
06/14/2018	QGW039448	PELAK, LAVERNE	DROPBOX INC CL A COM	B	9,687.00	150.00	6.00	144.00	80.0	114.00
06/15/2018	QGW039448	PELAK, LAVERNE	DROPBOX INC CL A COM	S	-11,603.91	180.00	6.00	174.00	80.0	138.00
06/18/2018	QGW039448	PELAK, LAVERNE	IQIYI INC SPONS ADR ISIN#US46267X10	B	10,629.50	165.00	6.00	159.00	80.0	126.00
06/21/2018	QGW039448	PELAK, LAVERNE	IQIYI INC SPONS ADR ISIN#US46267X10	S	-11,020.00	50.00	6.00	44.00	80.0	34.00
06/22/2018	QGW039448	PELAK, LAVERNE	VUZIX CORP COM NEW	B	7,000.00	150.00	6.00	144.00	80.0	114.00
05/31/2018	QGW039455	BIELINKI, LOUISE	AK STEEL HOLDING CORP	B	4,850.00	75.00	6.00	69.00	80.0	54.00
06/01/2018	QGW039455	BIELINKI, LOUISE	BOX INC CL A	B	26,230.00	250.00	6.00	244.00	80.0	194.00
06/01/2018	QGW039455	BIELINKI, LOUISE	DXC TECHNOLOGY COMPANY COMMON STOCK	S	-16,179.80	75.00	6.00	69.00	80.0	54.00
06/01/2018	QGW039455	BIELINKI, LOUISE	PERSPECTA INC COMMON STOCK	S	-2,499.04	75.00	6.00	69.00	80.0	54.00
06/19/2018	QGW039455	BIELINKI, LOUISE	VUZIX CORP COM NEW	B	3,475.00	100.00	6.00	94.00	80.0	74.00
06/26/2018	QGW039455	BIELINKI, LOUISE	ABBVIE INC	S	-9,309.08	50.00	6.00	44.00	80.0	34.00
05/31/2018	QGW039828	BIELINKI, LOUIS	AK STEEL HOLDING CORP	B	4,856.00	75.00	6.00	69.00	80.0	54.00
06/05/2018	QGW039828	BIELINKI, LOUIS	ROKU INC CLASS A COMMON STOCK	B	11,409.00	170.00	6.00	164.00	80.0	130.00
06/15/2018	QGW039828	BIELINKI, LOUIS	ROKU INC CLASS A COMMON STOCK	S	-12,000.00	183.82	6.00	177.82	80.0	141.06
06/18/2018	QGW039828	BIELINKI, LOUIS	IQIYI INC SPONS ADR ISIN#US46267X10	B	12,716.64	200.00	6.00	194.00	80.0	154.00
06/18/2018	QGW039828	BIELINKI, LOUIS	SACHEM CAPITAL CORP COMMON SHARES	S	-8,340.60	100.00	6.00	94.00	80.0	74.00
06/19/2018	QGW039828	BIELINKI, LOUIS	VUZIX CORP COM NEW	B	6,887.50	150.00	6.00	144.00	80.0	114.00
06/21/2018	QGW039828	BIELINKI, LOUIS	INVIVO THERAPEUTICS HOLDINGS CORP	C B	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018	QGW039828	BIELINKI, LOUIS	IQIYI INC SPONS ADR ISIN#US46267X10	S	-13,224.00	50.00	6.00	44.00	80.0	34.00
06/21/2018	QGW039828	BIELINKI, LOUIS	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018	QGW039828	BIELINKI, LOUIS	WTS INVIVO THERAPEUTICS HLDGS CORP	B	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018	QGW039828	BIELINKI, LOUIS	WTS INVIVO THERAPEUTICS HLDGS CORP	B	8.00	0.00	6.00	-6.00	80.0	-6.00
06/26/2018	QGW039828	BIELINKI, LOUIS	ABBVIE INC	S	-9,312.68	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW039828	BIELINKI, LOUIS	COHERUS BIOSCIENCES INC COM	B	7,650.00	150.00	6.00	144.00	80.0	114.00
06/27/2018	QGW039828	BIELINKI, LOUIS	SPLUNK INC COM	B	10,169.37	150.00	6.00	144.00	80.0	114.00
* STOCKS SUBTOTAL *					\$-46,913.45	\$21,941.01	\$1,026.00	\$20,915.01		\$16,526.81
PRODUCT CATEGORY: BONDS										
06/27/2018	QGW038812	KOHN, ROBERT	CREDIT SUISSE AG LONDON BRH MTN NT	B	10,000.00	200.00	7.50	192.50	80.0	152.50
06/18/2018	QGW039463	BIELINKI, LOUISE	SOCIETE GENERALE REV CONV LKD TO X,	B	5,000.00	87.50	7.50	80.00	80.0	62.50
* BONDS SUBTOTAL *					\$15,000.00	\$287.50	\$15.00	\$272.50		\$215.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
06/27/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,965.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW033649	ARLENE M FRANCIS,	CALL 100	VUZIX CORP COM NEW EXP 07- S	-300.00	50.00	6.00	44.00	80.0	34.00
06/22/2018	QGW033763	LYONS, SALLY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-580.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW033805	LYONS, SALLY	CALL 100	ROKU INC COM CL A EXP 06-0 S	-160.00	50.00	6.00	44.00	80.0	34.00
06/11/2018	QGW033805	LYONS, SALLY	CALL 100	ROKU INC COM CL A EXP 06-1 S	-525.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW033805	LYONS, SALLY	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,965.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW033805	LYONS, SALLY	CALL 100	VUZIX CORP COM NEW EXP 07- S	-250.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	ROKU INC COM CL A EXP 06-0 S	-275.00	50.00	6.00	44.00	80.0	34.00
06/11/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	LAM RESEARCH CORP EXP 06-1 S	-108.00	50.00	6.00	44.00	80.0	34.00
06/18/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	LAM RESEARCH CORP EXP 06-2 S	-122.00	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	LAM RESEARCH CORP EXP 07-1 S	-350.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,960.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-300.00	75.00	6.00	69.00	80.0	54.00
06/19/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-55.00	44.82	6.00	38.82	80.0	29.86
06/26/2018	QGW034894	MODDERNO, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-270.00	75.00	6.00	69.00	80.0	54.00
06/19/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	ABBVIE INC COM EXP 07-20-1 S	-91.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 06-1 S	-405.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW036584	TREIBER, NANCY	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,950.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW036584	TREIBER, NANCY	CALL 100	VUZIX CORP COM NEW EXP 07- S	-250.00	50.00	6.00	44.00	80.0	34.00
06/06/2018	QGW036592	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 06-1 S	-189.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW036592	TREIBER, NANCY	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,950.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW036592	TREIBER, NANCY	CALL 100	VUZIX CORP COM NEW EXP 07- S	-183.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW038705	SAPIO, ROBERTA	CALL 100	ROKU INC COM CL A EXP 06-0 S	-275.00	50.00	6.00	44.00	80.0	34.00
06/18/2018	QGW038705	SAPIO, ROBERTA	CALL 100	LAM RESEARCH CORP EXP 06-2 S	-132.00	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW038705	SAPIO, ROBERTA	CALL 100	LAM RESEARCH CORP EXP 07-1 S	-281.00	50.00	6.00	44.00	80.0	34.00
06/19/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-495.00	50.00	6.00	44.00	80.0	34.00
06/22/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-555.00	50.00	6.00	44.00	80.0	34.00
06/22/2018	QGW038812	KOHN, ROBERT	CALL 100	LAM RESEARCH CORP EXP 07-0 S	-160.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW038812	KOHN, ROBERT	CALL 100	VUZIX CORP COM NEW EXP 07- S	-500.00	100.00	6.00	94.00	80.0	74.00
06/07/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	ROKU INC COM CL A EXP 06-1 S	-510.00	50.00	6.00	44.00	80.0	34.00
06/11/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-150.00	50.00	6.00	44.00	80.0	34.00
06/19/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	SUNOCO LP EXP 12-21-18 @27 S	-165.00	50.00	6.00	44.00	80.0	34.00
06/22/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-520.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,950.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	VUZIX CORP COM NEW EXP 07- S	-300.00	50.00	6.00	44.00	80.0	34.00
06/12/2018	QGW039398	RAGAZZO, RALPH	CALL 100	ABBVIE INC COM EXP 08-17-1 S	-184.00	50.00	6.00	44.00	80.0	34.00
06/18/2018	QGW039398	RAGAZZO, RALPH	CALL 100	CISCO SYSTEMS INC EXP 07-2 S	-120.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039398	RAGAZZO, RALPH	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,865.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039398	RAGAZZO, RALPH	CALL 100	VUZIX CORP COM NEW EXP 07- S	-300.00	50.00	6.00	44.00	80.0	34.00
06/11/2018	QGW039414	RAGAZZO, RALPH	CALL 100	ROKU INC COM CL A EXP 06-1 S	-133.00	50.00	6.00	44.00	80.0	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/01/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 BOX INC CL A EXP 07-20-18@ S	-760.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 ROKU INC COM CL A EXP 06-0 S	-150.00	50.00	6.00	44.00	80.0	34.00
06/08/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 LAM RESEARCH CORP EXP 06-1 S	-147.00	50.00	6.00	44.00	80.0	34.00
06/11/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 ROKU INC COM CL A EXP 06-1 S	-525.00	50.00	6.00	44.00	80.0	34.00
06/18/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 LAM RESEARCH CORP EXP 06-2 S	-100.00	50.00	6.00	44.00	80.0	34.00
06/26/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 LAM RESEARCH CORP EXP 07-1 S	-264.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,845.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 07- S	-250.00	50.00	6.00	44.00	80.0	34.00
06/01/2018	QGW039455	BIELINKI, LOUISE	CALL 100 BOX INC CL A EXP 07-20-18@ S	-750.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039455	BIELINKI, LOUISE	CALL 100 VUZIX CORP COM NEW EXP 07- S	-125.00	50.00	6.00	44.00	80.0	34.00
06/05/2018	QGW039828	BIELINKI, LOUIS	CALL 100 ROKU INC COM CL A EXP 06-0 S	-87.00	50.00	6.00	44.00	80.0	34.00
06/11/2018	QGW039828	BIELINKI, LOUIS	CALL 100 ROKU INC COM CL A EXP 06-1 S	-315.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039828	BIELINKI, LOUIS	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,845.00	50.00	6.00	44.00	80.0	34.00
06/27/2018	QGW039828	BIELINKI, LOUIS	CALL 100 VUZIX CORP COM NEW EXP 07- S	-250.00	50.00	6.00	44.00	80.0	34.00
* OPTIONS SUBTOTAL *				\$-30,211.00	\$2,794.82	\$324.00	\$2,470.82		\$1,911.86
*** BROKER TRANSACTIONS TOTAL ***				\$-62,124.45	\$25,023.33	\$1,365.00	\$23,658.33		\$18,653.67

ADJUSTMENTS

06/30/2018	COMMISSION INCOME: INVIVO	0.00	0.00	0.00	0.00	192.00
06/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-150.00
06/30/2018	OTHER: CREDIT CLEARIGN CHRGS -INVIV	0.00	0.00	0.00	0.00	72.00
06/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
06/30/2018	:	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$106.00

BROKER COMMISSION TOTALS

Broker Transactions	\$18,653.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$106.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-31.28
SIPC Assessment	\$-62.56

Check Amount	\$18,665.83
Year-to-date Earnings	\$131,417.82