



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/30/2016	DEHAHN, RAYMOND	ALLIANZ VISION	12,113.47	30.28	0.00	30.28	90.0	27.25
12/30/2016	DEHAHN, RAYMOND	ALLIANZ VISION	22,691.30	56.73	0.00	56.73	90.0	51.06
12/30/2016	RUSCH, JOSHUA	ALLIANZ VISION	35,730.38	89.33	0.00	89.33	90.0	80.40
12/30/2016	SUS, SANDRA	ALLIANZ VISION	91,472.78	228.68	0.00	228.68	90.0	205.81
12/30/2016	HORNACEK, JOSEPH	ALLIANZ VISION	138,467.09	346.17	0.00	346.17	90.0	311.55
12/30/2016	DENNE, THOMAS	ALLIANZ VISION	79,740.04	199.35	0.00	199.35	90.0	179.42
12/30/2016	SCOTT, DEBRA	AXA ACCUMULATOR PLUS (NQ)	65,641.15	123.08	0.00	123.08	90.0	110.77
01/04/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,288,056.00	546.98	0.00	546.98	90.0	492.28
12/30/2016	POVKOVICH, DOROTHY	HARTFORD LEADERS FOUNDATION - ILA	39,625.83	24.77	0.00	24.77	90.0	22.29
12/31/2016	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	14,395.51	15.00	0.00	15.00	90.0	13.50
12/31/2016	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	44,470.04	37.06	0.00	37.06	90.0	33.35
12/31/2016	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	53,950.11	44.96	0.00	44.96	90.0	40.46
12/31/2016	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	102,999.05	21.46	0.00	21.46	90.0	19.31
12/31/2016	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	15,083.60	6.28	0.00	6.28	90.0	5.65
12/31/2016	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	21,039.25	8.77	0.00	8.77	90.0	7.89
12/31/2016	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	10,565.86	4.40	0.00	4.40	90.0	3.96
12/31/2016	HOSS, GAIL	JNL PERSPECTIVE L	66,427.55	71.96	0.00	71.96	90.0	64.76
12/31/2016	JEANQUART, ROBERT	JNL PERSPECTIVE L	6,498.69	7.04	0.00	7.04	90.0	6.34
12/31/2016	PECKMAN, MARCIA	JNL PERSPECTIVE L	84,609.96	91.66	0.00	91.66	90.0	82.49
12/31/2016	HOOTEN, ROBERT	JNL PERSPECTIVE L	31,388.16	34.00	0.00	34.00	90.0	30.60
12/31/2016	KAEDING, HAROLD	JNL PERSPECTIVE L	67,739.80	56.45	0.00	56.45	90.0	50.81
12/31/2016	BABICKY, SHERRY	JNL PERSPECTIVE L	51,557.27	60.15	0.00	60.15	90.0	54.14
12/31/2016	MCFADDEN, JAMES	JNL PERSPECTIVE L	152,773.93	178.24	0.00	178.24	90.0	160.42
12/31/2016	TASKONIS, RICHARD	JNL PERSPECTIVE L	120,983.24	141.15	0.00	141.15	90.0	127.04
12/31/2016	BABICKY, SHERRY	JNL PERSPECTIVE L	40,282.58	47.00	0.00	47.00	90.0	42.30
12/31/2016	EDQUIST, BETTY	JNL PERSPECTIVE L	42,352.28	35.29	0.00	35.29	90.0	31.76

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	FLETCHER, JEWEL	JNL PERSPECTIVE L	24,524.85	20.44	0.00	20.44	90.0	18.40
12/31/2016	SMITH, BETTY	JNL PERSPECTIVE L	34,625.88	40.40	0.00	40.40	90.0	36.36
12/31/2016	NONDORF, DENNIS	JNL PERSPECTIVE L	16,465.64	13.72	0.00	13.72	90.0	12.35
12/31/2016	WILLIAMS, WARNER	JNL PERSPECTIVE L	41,810.85	34.84	0.00	34.84	90.0	31.36
12/31/2016	ZUPFER, CHARLES	JNL PERSPECTIVE L	29,937.44	24.95	0.00	24.95	90.0	22.46
12/31/2016	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	29,810.28	24.84	0.00	24.84	90.0	22.36
12/31/2016	SPERER, MARION	JNL PERSPECTIVE L	21,917.28	18.26	0.00	18.26	90.0	16.43
12/31/2016	VERBETEN, KAREN	JNL PERSPECTIVE L	8,588.71	10.02	0.00	10.02	90.0	9.02
12/31/2016	BOLAND, TERRENCE	JNL PERSPECTIVE L	21,615.13	25.22	0.00	25.22	90.0	22.70
12/31/2016	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,608.86	30.99	0.00	30.99	90.0	27.89
12/31/2016	HARTGERINK, EDWARD	JNL PERSPECTIVE L	26,814.45	29.05	0.00	29.05	90.0	26.15
12/31/2016	HARTGERINK, EDWARD	JNL PERSPECTIVE L	27,981.72	23.32	0.00	23.32	90.0	20.99
12/31/2016	BODVEN, BRUCE	JNL PERSPECTIVE L	50,591.55	59.02	0.00	59.02	90.0	53.12
12/31/2016	PAULICK, BERNARD	JNL PERSPECTIVE L	136,064.11	113.39	0.00	113.39	90.0	102.05
12/31/2016	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	127,557.03	106.30	0.00	106.30	90.0	95.67
12/31/2016	RACK, JOAN	JNL PERSPECTIVE L	130,091.48	140.93	0.00	140.93	90.0	126.84
12/31/2016	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	96,690.80	80.58	0.00	80.58	90.0	72.52
12/31/2016	INGHAM, LYNNE	JNL PERSPECTIVE L	70,374.27	58.65	0.00	58.65	90.0	52.79
12/31/2016	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	154,933.09	129.11	0.00	129.11	90.0	116.20
12/31/2016	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	188,052.32	156.71	0.00	156.71	90.0	141.04
12/31/2016	WELLMAN, JAMES	JNL PERSPECTIVE L	52,743.81	61.53	0.00	61.53	90.0	55.38
12/31/2016	CRAWFORD, JEANNE	JNL PERSPECTIVE L	91,826.97	107.13	0.00	107.13	90.0	96.42
12/31/2016	THOMPSON, CAROL	JNL PERSPECTIVE L	76,658.19	63.88	0.00	63.88	90.0	57.49
12/31/2016	SHELDON, SUSAN	JNL PERSPECTIVE L	46,492.64	38.74	0.00	38.74	90.0	34.87
12/31/2016	SHELDON, MARK	JNL PERSPECTIVE L	90,426.35	105.50	0.00	105.50	90.0	94.95
12/31/2016	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	378,804.50	315.67	0.00	315.67	90.0	284.10
12/31/2016	PERONE, PASQUALE	JNL PERSPECTIVE L	595,022.36	694.19	0.00	694.19	90.0	624.77
12/31/2016	PECKMAN, ROGER	JNL PERSPECTIVE L	131,748.73	153.71	0.00	153.71	90.0	138.34
12/31/2016	RUFFOLO, BENNY	JNL PERSPECTIVE L	99,609.87	116.21	0.00	116.21	90.0	104.59
12/31/2016	ZUPEK, DAVID	JNL PERSPECTIVE L	205,375.34	239.60	0.00	239.60	90.0	215.64
12/31/2016	FALBO, DIANE	JNL PERSPECTIVE L	609,330.61	710.89	0.00	710.89	90.0	639.80
12/31/2016	GAFKA, PHILIP	JNL PERSPECTIVE L	175,707.53	204.99	0.00	204.99	90.0	184.49
12/31/2016	GAFKA, PHILIP	JNL PERSPECTIVE L	328,947.49	383.77	0.00	383.77	90.0	345.39

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	HARRIS, BILLY	JNL PERSPECTIVE L	255,989.82	298.65	0.00	298.65	90.0	268.79
12/31/2016	HEBERLING, DONALD	JNL PERSPECTIVE L	22,028.66	18.36	0.00	18.36	90.0	16.52
12/31/2016	WAYO, DARLENE	JNL PERSPECTIVE L	66,306.54	55.26	0.00	55.26	90.0	49.73
12/31/2016	HOSS, GAIL	JNL PERSPECTIVE L	68,586.26	80.02	0.00	80.02	90.0	72.02
12/31/2016	ELMER, HARVEY	JNL PERSPECTIVE L	63,052.18	52.54	0.00	52.54	90.0	47.29
12/31/2016	MUELLER, ROMAYNE	JNL PERSPECTIVE L	54,555.10	45.46	0.00	45.46	90.0	40.91
12/31/2016	PETERSEN, THOMAS	JNL PERSPECTIVE L	101,172.53	84.31	0.00	84.31	90.0	75.88
12/31/2016	STARK, GLORIA	JNL PERSPECTIVE L	57,918.04	48.27	0.00	48.27	90.0	43.44
12/31/2016	SMITHANA, MARGARET	JNL PERSPECTIVE L	221,461.41	184.55	0.00	184.55	90.0	166.10
12/31/2016	GIBSON, ROBERT	JNL PERSPECTIVE L	306,529.20	255.44	0.00	255.44	90.0	229.90
12/31/2016	MCFADDEN, JAMES	JNL PERSPECTIVE L	27,995.69	23.33	0.00	23.33	90.0	21.00
12/31/2016	KELLOGG, MICHAEL	JNL PERSPECTIVE L	202,324.19	168.60	0.00	168.60	90.0	151.74
12/31/2016	COTE, WILLIAM	JNL PERSPECTIVE L	85,271.73	71.06	0.00	71.06	90.0	63.95
12/31/2016	BIRKHOLZ, GAY	JNL PERSPECTIVE L	101,304.68	84.42	0.00	84.42	90.0	75.98
12/31/2016	HORNACEK, JOSEPH	JNL PERSPECTIVE L	358,980.25	418.81	0.00	418.81	90.0	376.93
12/31/2016	HALL, MICHAEL	JNL PERSPECTIVE L	118,774.11	98.98	0.00	98.98	90.0	89.08
12/31/2016	BARRETT, PERRY	JNL PERSPECTIVE L	140,153.28	116.79	0.00	116.79	90.0	105.11
12/31/2016	ZUPFER, JO	JNL PERSPECTIVE L	83,779.06	69.82	0.00	69.82	90.0	62.84
12/31/2016	BECKER, JOHN	JNL PERSPECTIVE L	110,995.54	92.50	0.00	92.50	90.0	83.25
12/31/2016	BECKER, JOHN	JNL PERSPECTIVE L	137,396.57	114.50	0.00	114.50	90.0	103.05
12/31/2016	HALL, MICHAEL	JNL PERSPECTIVE L	119,833.69	99.86	0.00	99.86	90.0	89.87
12/31/2016	BAHR, JEFFREY	JNL PERSPECTIVE L	110,906.92	92.42	0.00	92.42	90.0	83.18
01/03/2017	GLENNAN, DONALD	JNL PERSPECTIVE L	18.56	0.74	0.00	0.74	90.0	0.67
12/31/2016	DENNE, FRANCES	PRU AS APEX II	60,498.46	113.43	0.00	113.43	90.0	102.09
12/31/2016	DENNE, FRANCES	PRU AS APEX II	60,498.46	37.81	0.00	37.81	90.0	34.03
12/31/2016	HOOTEN, AUDREY	PRU AS APEX II	66,070.82	123.88	0.00	123.88	90.0	111.49
12/31/2016	HOOTEN, AUDREY	PRU AS APEX II	66,070.82	41.29	0.00	41.29	90.0	37.16
12/31/2016	ELMER, HARVEY	PRU AS APEX II	73,556.37	137.92	0.00	137.92	90.0	124.13
12/31/2016	ELMER, HARVEY	PRU AS APEX II	73,556.37	45.97	0.00	45.97	90.0	41.37
12/31/2016	GAFKA, DEBORAH	PRU AS APEX II	141,247.22	353.12	0.00	353.12	90.0	317.81
12/31/2016	GAFKA, DEBORAH	PRU AS APEX II	141,247.22	88.28	0.00	88.28	90.0	79.45
12/31/2016	FALBO, LEWIS	PRU AS APEX II	181,665.73	454.16	0.00	454.16	90.0	408.74
12/31/2016	FALBO, LEWIS	PRU AS APEX II	181,665.73	113.54	0.00	113.54	90.0	102.19

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	FALBO, LEWIS	PRU AS APEX II	175,629.84	439.07	0.00	439.07	90.0	395.16
12/31/2016	FALBO, LEWIS	PRU AS APEX II	175,629.84	109.77	0.00	109.77	90.0	98.79
12/31/2016	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	145,717.67	91.07	0.00	91.07	90.0	81.96
12/31/2016	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,336.85	8.34	0.00	8.34	90.0	7.51
01/05/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
12/03/2016	KOBER, GARY	TRANSAMERICA LIBERTY	212,041.21	176.70	0.00	176.70	90.0	159.03
12/05/2016	RACK, JOAN	TRANSAMERICA LIBERTY	171,040.27	142.53	0.00	142.53	90.0	128.28
12/12/2016	KLUENDER, MARK	TRANSAMERICA LIBERTY	131,272.18	109.39	0.00	109.39	90.0	98.45
12/12/2016	BERNHARDT, ROBERT	TRANSAMERICA LIBERTY	154,882.01	129.07	0.00	129.07	90.0	116.16
12/12/2016	KLUENDER, KURT	TRANSAMERICA LIBERTY	124,007.53	103.34	0.00	103.34	90.0	93.01
12/13/2016	SOENS, JAMES	TRANSAMERICA LIBERTY	110,948.73	92.46	0.00	92.46	90.0	83.21
12/13/2016	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	336,356.31	280.30	0.00	280.30	90.0	252.27
12/15/2016	FRAID, JEROME	TRANSAMERICA LIBERTY	77,565.13	64.64	0.00	64.64	90.0	58.18
12/17/2016	MANDLI, JODI	TRANSAMERICA LIBERTY	33,399.39	27.83	0.00	27.83	90.0	25.05
12/21/2016	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	32,256.00	26.88	0.00	26.88	90.0	24.19
12/23/2016	STARK, GLORIA	TRANSAMERICA LIBERTY	39,548.25	32.96	0.00	32.96	90.0	29.66
12/23/2016	DENNE, THOMAS	TRANSAMERICA LIBERTY	38,867.94	32.39	0.00	32.39	90.0	29.15
12/23/2016	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,019.94	0.85	0.00	0.85	90.0	0.77
12/23/2016	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,124.76	0.94	0.00	0.94	90.0	0.85
12/23/2016	KOBER, JUDITH	TRANSAMERICA LIBERTY	1,019.94	0.85	0.00	0.85	90.0	0.77
12/23/2016	KOBER, JUDITH	TRANSAMERICA LIBERTY	1,019.94	0.85	0.00	0.85	90.0	0.77
12/24/2016	HAMILTON, RONALD	TRANSAMERICA LIBERTY	176,101.72	146.75	0.00	146.75	90.0	132.08
12/24/2016	KASDORF, LINDA	TRANSAMERICA LIBERTY	64,996.08	54.16	0.00	54.16	90.0	48.74
12/26/2016	WAYO, DARLENE	TRANSAMERICA LIBERTY	158,227.83	131.86	0.00	131.86	90.0	118.67
12/27/2016	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	176,047.20	146.71	0.00	146.71	90.0	132.04
* VARIABLE ANNUITIES SUBTOTAL *			\$13,254,760.82	\$13,298.79	\$0.00	\$13,298.79		\$11,968.96

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/31/2016	RUSCH, ROGER	LFG TRAILS	0.00	241.76	0.00	241.76	90.0	217.58
12/31/2016	HALL, SUZANNE	LFG TRAILS	0.00	111.06	0.00	111.06	90.0	99.95
12/31/2016	HAMILTON, RONALD	LFG TRAILS	0.00	784.03	0.00	784.03	90.0	705.63
12/31/2016	RYAN, CINDY	LFG TRAILS	0.00	74.65	0.00	74.65	90.0	67.19
12/31/2016	WAYO, DARLENE	LFG TRAILS	0.00	401.35	0.00	401.35	90.0	361.22
12/31/2016	ROBERTSON, MARTIN	LFG TRAILS	0.00	166.27	0.00	166.27	90.0	149.64

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2016	BIRKHOLZ, WILLIAM	LFG TRAILS	0.00	167.48	0.00	167.48	90.0	150.73
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,946.60	\$0.00	\$1,946.60		\$1,751.94
*** BROKER TRANSACTIONS TOTAL ***			\$13,254,760.82	\$15,245.39	\$0.00	\$15,245.39		\$13,720.90

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

12/29/2016	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	30.00	0.42	0.00	0.42	90.0	0.38
12/29/2016	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	54.00	0.76	0.00	0.76	90.0	0.68
12/29/2016	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	30.00	0.42	0.00	0.42	90.0	0.38
12/29/2016	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	54.00	0.76	0.00	0.76	90.0	0.68
12/29/2016	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	7.50	0.11	0.00	0.11	90.0	0.10
12/29/2016	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	25.00	0.35	0.00	0.35	90.0	0.32
12/29/2016	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	19.50	0.27	0.00	0.27	90.0	0.24
12/29/2016	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	32.50	0.46	0.00	0.46	90.0	0.41
12/29/2016	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	17.78	0.25	0.00	0.25	90.0	0.23
12/29/2016	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	17.78	0.25	0.00	0.25	90.0	0.23
12/29/2016	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	30.00	0.42	0.00	0.42	90.0	0.38
12/29/2016	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	54.00	0.76	0.00	0.76	90.0	0.68
12/29/2016	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	7.50	0.11	0.00	0.11	90.0	0.10
12/29/2016	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	25.00	0.35	0.00	0.35	90.0	0.32
12/29/2016	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	19.50	0.27	0.00	0.27	90.0	0.24
12/29/2016	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	32.50	0.46	0.00	0.46	90.0	0.41
12/29/2016	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	17.76	0.25	0.00	0.25	90.0	0.23
12/29/2016	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	17.76	0.25	0.00	0.25	90.0	0.23
12/29/2016	WALCZYK, ANNA	MFS UTILITIES B	30.00	0.42	0.00	0.42	90.0	0.38
12/29/2016	WALCZYK, ANNA	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
12/29/2016	WALCZYK, MAREK	MFS UTILITIES B	54.00	0.76	0.00	0.76	90.0	0.68
12/29/2016	WALCZYK, MAREK	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, SABRINA	MFS UTILITIES B	7.50	0.11	0.00	0.11	90.0	0.10
12/29/2016	WALCZYK, SABRINA	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
12/29/2016	TULEWICZ, KRYSTYNA	MFS UTILITIES B	19.50	0.27	0.00	0.27	90.0	0.24
12/29/2016	TULEWICZ, KRYSTYNA	MFS UTILITIES B	32.50	0.46	0.00	0.46	90.0	0.41
12/29/2016	FELINSKI, DOROTA	MFS UTILITIES B	17.78	0.25	0.00	0.25	90.0	0.23
12/29/2016	FELINSKI, DOROTA	MFS UTILITIES B	17.78	0.25	0.00	0.25	90.0	0.23
12/29/2016	WALCZYK, ANNA	MFS VALUE B	30.00	0.42	0.00	0.42	90.0	0.38
12/29/2016	WALCZYK, ANNA	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, MAREK	MFS VALUE B	54.00	0.76	0.00	0.76	90.0	0.68
12/29/2016	WALCZYK, MAREK	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
12/29/2016	WALCZYK, SABRINA	MFS VALUE B	7.50	0.11	0.00	0.11	90.0	0.10
12/29/2016	WALCZYK, SABRINA	MFS VALUE B	25.00	0.35	0.00	0.35	90.0	0.32
12/29/2016	TULEWICZ, KRYSTYNA	MFS VALUE B	19.50	0.27	0.00	0.27	90.0	0.24
12/29/2016	TULEWICZ, KRYSTYNA	MFS VALUE B	32.50	0.46	0.00	0.46	90.0	0.41
12/29/2016	FELINSKI, DOROTA	MFS VALUE B	17.78	0.25	0.00	0.25	90.0	0.23
12/29/2016	FELINSKI, DOROTA	MFS VALUE B	17.78	0.25	0.00	0.25	90.0	0.23
/ /	WIKAR, HALINA	UB TRUST AGE BASED AGGRESSIVE 0-8 A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MROCZKOWSKI, AGNES	UB TRUST AGE-BASED AGRESSIVE 9-12 A	0.00	1.81	0.00	1.81	90.0	1.63
/ /	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	0.75	0.00	0.75	90.0	0.68
12/12/2016	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.05	0.00	1.05	90.0	0.95
/ /	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	5.19	0.00	5.19	90.0	4.67
12/05/2016	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	1.05	0.00	1.05	90.0	0.95
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$3,600.20	\$57.76	\$0.00	\$57.76		\$52.03
*** SPLIT TRANSACTIONS TOTAL ***			\$3,600.20	\$57.76	\$0.00	\$57.76		\$52.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u>								
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	17.10	0.00	17.10	0.0	15.39
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	19.31	0.00	19.31	0.0	17.38
/ /	HALL, MICHAEL	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	1.20	0.00	1.20	0.0	1.08
/ /	HALL, MICHAEL	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	1.36	0.00	1.36	0.0	1.22
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$0.00	\$38.97	\$0.00	\$38.97		\$35.07
<u> BROKER: MICHAEL VENTURINI #127 </u>								
12/31/2016	CAMPOLO, JON	JNL PERSPECTIVE L	20,780.65	17.31	0.00	17.31	0.0	15.58
12/31/2016	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,764.76	13.97	0.00	13.97	0.0	12.57
* MICHAEL VENTURINI SUBTOTAL *			\$37,545.41	\$31.28	\$0.00	\$31.28		\$28.15
<u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u>								
12/31/2016	ZUPEK, STEVEN	LFG TRAILS	0.00	595.36	0.00	595.36	0.0	535.82
12/27/2016	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	963.00	19.26	0.00	19.26	0.0	17.33
01/03/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
12/27/2016	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	221.00	2.21	0.00	2.21	0.0	1.99
12/27/2016	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	214.50	2.15	0.00	2.15	0.0	1.94
12/27/2016	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	214.50	2.15	0.00	2.15	0.0	1.94
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$1,663.00	\$623.63	\$0.00	\$623.63		\$561.27
<u> BROKER: HALINA WIKAR #171 </u>								
12/27/2016	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	75.00	3.75	0.00	3.75	55.0	1.31
01/03/2017	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.14
01/03/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	50.00	0.50	0.00	0.50	55.0	0.18
12/27/2016	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	0.70
12/22/2016	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
01/03/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.14
01/03/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
12/27/2016	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	80.00	3.20	0.00	3.20	55.0	1.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
12/27/2016	DZIEWIECKA, TERESA	MFS GROWTH ALLOCATION C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	0.70
01/03/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	80.00	3.20	0.00	3.20	55.0	1.12
12/28/2016	DZIEWIECKA, TERESA	MFS LOW VOLATIILITY GLOBAL EQUITY F	20.00	0.20	0.00	0.20	55.0	0.07
12/21/2016	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
12/21/2016	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	80.00	3.20	0.00	3.20	55.0	1.12
01/03/2017	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.14
12/21/2016	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
12/21/2016	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	MEI, JENNIFER	MFS RESEARCH B	80.00	3.20	0.00	3.20	55.0	1.12
01/03/2017	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.14
12/27/2016	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	75.00	3.75	0.00	3.75	55.0	1.31
01/03/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	0.70
01/03/2017	MEI, JENNIFER	MFS UTILITIES B	80.00	3.20	0.00	3.20	55.0	1.12
12/21/2016	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
12/21/2016	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
12/23/2016	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
12/30/2016	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
01/03/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.14
01/03/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
* HALINA WIKAR SUBTOTAL *			\$1,750.00	\$40.00	\$0.00	\$40.00		\$14.07
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
12/27/2016	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	650.00	13.00	0.00	13.00	0.0	11.70
12/27/2016	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	1,250.00	25.00	0.00	25.00	0.0	22.50
12/27/2016	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	420.00	8.40	0.00	8.40	0.0	7.56
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$2,320.00	\$46.40	\$0.00	\$46.40		\$41.76
*** OVERRIDE TRANSACTIONS TOTAL ***			\$43,278.41	\$780.28	\$0.00	\$780.28		\$680.32

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<u>ADJUSTMENTS</u>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
01/17/2017		*REIMBURSEMENT: CT 5068269-JACKSON	0.00					392.88
01/17/2017		*TECH: ADMIN - L JOCIUS	0.00					-100.00
01/17/2017		*TECH: ADMIN - RON HAMILTON	0.00					-100.00
01/17/2017		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-100.00
01/17/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH-MAR	0.00					-275.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH-RIC	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,752.12

BROKER COMMISSION TOTALS

Broker Transactions	\$13,720.90
Split Transactions	\$52.03
Override Transactions	\$680.32
Adjustments	\$-1,752.12
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,701.13
Year-to-date Gross	\$15,303.15
Year-to-date Earnings	\$14,453.25

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