

## COMMISSION STATEMENT

April 30, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: C30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/04/2018	QGW033466	CUSTODIAN, EMILY	VIVEVE MED INC COM NEW	S	-3,390.00	50.00	6.00	44.00	80.0	34.00
04/19/2018	QGW033466	CUSTODIAN, EMILY	JD.COM INC SPONSORED ADR REPSTG COM B		3,924.65	100.00	6.00	94.00	80.0	74.00
04/19/2018	QGW033474	PILLERA, CHARLES	TRANSOCEAN LTD US LISTED	S	-9,976.00	75.00	6.00	69.00	80.0	54.00
04/20/2018	QGW033599	PILLERA, CHARLES	ALIBABA GROUP HOLDING LTD SPONSORED B		17,865.07	200.00	6.00	194.00	80.0	154.00
04/20/2018	QGW033599	PILLERA, CHARLES	APPLIED OPTOELECTRONICS INC COM	S	-22,967.84	100.00	6.00	94.00	80.0	74.00
04/24/2018	QGW033599	PILLERA, CHARLES	MOMO INC ADR	B	11,125.35	150.00	6.00	144.00	80.0	114.00
04/09/2018	QGW033631	RAMU, JULIO	GAMCO GLOBAL GOLD NATURAL RESOURCES S		-4,920.00	75.00	6.00	69.00	80.0	54.00
04/09/2018	QGW033631	RAMU, JULIO	QUALCOMM INC	S	-16,056.00	150.00	6.00	144.00	80.0	114.00
04/12/2018	QGW033631	RAMU, JULIO	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		10,887.50	175.00	6.00	169.00	80.0	134.00
04/20/2018	QGW033649	ARLENE M FRANCIS,	WHITING PETROLEUM CORPORATION COMMO S		-1,974.20	50.00	6.00	44.00	80.0	34.00
04/04/2018	QGW033656	MOONEY, MARY	VIVEVE MED INC COM NEW	S	-1,695.00	50.00	6.00	44.00	80.0	34.00
04/19/2018	QGW033656	MOONEY, MARY	TRANSOCEAN LTD US LISTED	S	-6,235.00	75.00	6.00	69.00	80.0	54.00
04/23/2018	QGW033656	MOONEY, MARY	MOMO INC ADR	B	8,230.88	125.00	6.00	119.00	80.0	94.00
04/03/2018	QGW033763	LYONS, SALLY	DATA I O CORP COM	S	-15,100.00	100.00	6.00	94.00	80.0	74.00
04/03/2018	QGW033763	LYONS, SALLY	DROPBOX INC CL A COM	B	15,499.95	100.00	6.00	94.00	80.0	74.00
04/03/2018	QGW033763	LYONS, SALLY	VIVEVE MED INC COM NEW	S	-3,430.00	50.00	6.00	44.00	80.0	34.00
04/12/2018	QGW033763	LYONS, SALLY	DROPBOX INC CL A COM	S	-17,075.70	175.00	6.00	169.00	80.0	134.00
04/13/2018	QGW033763	LYONS, SALLY	ALIBABA GROUP HOLDING LTD SPONSORED B		17,672.00	175.00	6.00	169.00	80.0	134.00
04/04/2018	QGW033805	LYONS, SALLY	VIVEVE MED INC COM NEW	S	-3,306.60	50.00	6.00	44.00	80.0	34.00
04/13/2018	QGW033805	LYONS, SALLY	MYND ANALYTICS INC COMMON STOCK	S	-3,371.85	50.00	6.00	44.00	80.0	34.00
04/20/2018	QGW033805	LYONS, SALLY	APPLIED OPTOELECTRONICS INC COM	S	-22,400.00	100.00	6.00	94.00	80.0	74.00
04/23/2018	QGW033805	LYONS, SALLY	JD.COM INC SPONSORED ADR REPSTG COM B		18,750.00	200.00	6.00	194.00	80.0	154.00
04/24/2018	QGW033805	LYONS, SALLY	COHERUS BIOSCIENCES INC COM	B	11,672.50	165.00	6.00	159.00	80.0	126.00
04/10/2018	QGW034837	PELLEGRINO, DONNA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		3,402.00	65.00	6.00	59.00	80.0	46.00
04/10/2018	QGW034886	SCHAFER, JACK	GAMCO GLOBAL GOLD NATURAL RESOURCES B		2,079.00	50.00	6.00	44.00	80.0	34.00
04/04/2018	QGW034894	MODDERNO, PATRICIA	VIVEVE MED INC COM NEW	S	-3,303.10	50.00	6.00	44.00	80.0	34.00
04/10/2018	QGW034894	MODDERNO, PATRICIA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		8,511.60	160.00	6.00	154.00	80.0	122.00
04/19/2018	QGW034894	MODDERNO, PATRICIA	MYND ANALYTICS INC COMMON STOCK	S	-1,913.71	50.00	6.00	44.00	80.0	34.00
04/04/2018	QGW035438	RIZZO, GIOVANNI	VIVEVE MED INC COM NEW	S	-3,300.00	50.00	6.00	44.00	80.0	34.00
04/10/2018	QGW035438	RIZZO, GIOVANNI	ALIBABA GROUP HOLDING LTD SPONSORED B		17,537.18	150.00	6.00	144.00	80.0	114.00
04/04/2018	QGW036519	SINGH, BHUPINDER	VIVEVE MED INC COM NEW	S	-3,396.40	50.00	6.00	44.00	80.0	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/04/2018	QGW036584	TREIBER, NANCY		VIVEVE MED INC COM NEW	S	-3,382.90	50.00	6.00	44.00 80.0 34.00
04/05/2018	QGW036584	TREIBER, NANCY		WTS MYND ANALYTICS INC WARRANT	S	-381.19	50.00	6.00	44.00 80.0 34.00
04/13/2018	QGW036584	TREIBER, NANCY		ALIBABA GROUP HOLDING LTD SPONSORED B		17,672.00	175.00	6.00	169.00 80.0 134.00
04/04/2018	QGW036592	TREIBER, NANCY		VIVEVE MED INC COM NEW	S	-3,368.30	50.00	6.00	44.00 80.0 34.00
04/11/2018	QGW036592	TREIBER, NANCY		SELECT SECTOR SPDR TRUST THE FINANC B		13,758.95	175.00	6.00	169.00 80.0 134.00
04/19/2018	QGW036600	WOOD, GERALDINE		BCB BANCORP INC COM	S	-2,329.26	50.00	6.00	44.00 80.0 34.00
04/03/2018	QGW036915	CASSERINO, PAULA		VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		11,431.00	175.00	6.00	169.00 80.0 134.00
04/19/2018	QGW036915	CASSERINO, PAULA		TRANSOCEAN LTD US LISTED	S	-6,241.85	50.00	6.00	44.00 80.0 34.00
04/23/2018	QGW037061	ALONSO, ALICE		ICAHN ENTERPRISES LP DEPOSITARY UNI S		-12,501.00	100.00	6.00	94.00 80.0 74.00
04/20/2018	QGW038697	KOHN, SUSAN		APPLIED OPTOELECTRONICS INC COM	S	-13,108.04	75.00	6.00	69.00 80.0 54.00
04/24/2018	QGW038697	KOHN, SUSAN		MOMO INC ADR	B	13,859.96	150.00	6.00	144.00 80.0 114.00
04/03/2018	QGW038705	SAPIO, ROBERTA		MOMO INC ADR	B	18,725.00	200.00	6.00	194.00 80.0 154.00
04/19/2018	QGW038705	SAPIO, ROBERTA		SUNOCO LP COMMON UNITS REPRESENTING B		11,351.60	150.00	6.00	144.00 80.0 114.00
04/24/2018	QGW038705	SAPIO, ROBERTA		ICAHN ENTERPRISES LP DEPOSITARY UNI S		-12,950.00	100.00	6.00	94.00 80.0 74.00
04/04/2018	QGW038812	KOHN, ROBERT		VIVEVE MED INC COM NEW	S	-3,339.50	50.00	6.00	44.00 80.0 34.00
03/29/2018	QGW039158	MASTERSON, PATRICIA		VMWARE INC CL A	S	-24,000.00	100.00	6.00	94.00 80.0 74.00
04/02/2018	QGW039158	MASTERSON, PATRICIA		MOMO INC ADR	B	18,284.95	200.00	6.00	194.00 80.0 154.00
04/03/2018	QGW039158	MASTERSON, PATRICIA		VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		9,798.00	175.00	6.00	169.00 80.0 134.00
04/04/2018	QGW039158	MASTERSON, PATRICIA		VIVEVE MED INC COM NEW	S	-3,325.80	50.00	6.00	44.00 80.0 34.00
03/29/2018	QGW039398	RAGAZZO, RALPH		MOMO INC ADR	B	18,025.00	175.00	6.00	169.00 80.0 134.00
03/29/2018	QGW039398	RAGAZZO, RALPH		NEW YORK MORTGAGE TRUST INC	B	11,700.00	165.00	6.00	159.00 80.0 126.00
03/29/2018	QGW039398	RAGAZZO, RALPH		SUNOCO LP COMMON UNITS REPRESENTING B		10,256.00	165.00	6.00	159.00 80.0 126.00
04/05/2018	QGW039414	RAGAZZO, RALPH		VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		9,075.00	150.00	6.00	144.00 80.0 114.00
04/16/2018	QGW039422	RAGAZZO, KATHLEEN		NVIDIA CORP	B	23,111.45	180.00	6.00	174.00 80.0 138.00
04/17/2018	QGW039422	RAGAZZO, KATHLEEN		ALIBABA GROUP HOLDING LTD SPONSORED B		17,500.00	165.00	6.00	159.00 80.0 126.00
04/17/2018	QGW039422	RAGAZZO, KATHLEEN		CELGENE CORP	B	9,120.29	150.00	6.00	144.00 80.0 114.00
04/17/2018	QGW039422	RAGAZZO, KATHLEEN		GAMCO GLOBAL GOLD NATURAL RESOURCES B		10,000.00	165.00	6.00	159.00 80.0 126.00
04/18/2018	QGW039422	RAGAZZO, KATHLEEN		LAM RESEARCH CORP	B	20,000.00	200.00	6.00	194.00 80.0 154.00
04/20/2018	QGW039422	RAGAZZO, KATHLEEN		SUNOCO LP COMMON UNITS REPRESENTING B		13,975.00	200.00	6.00	194.00 80.0 154.00
04/23/2018	QGW039422	RAGAZZO, KATHLEEN		CENTURYTEL INC	B	10,602.00	165.00	6.00	159.00 80.0 126.00
04/23/2018	QGW039422	RAGAZZO, KATHLEEN		CHIMERA INVESTMENT CORPORATION	B	10,362.00	165.00	6.00	159.00 80.0 126.00
04/23/2018	QGW039422	RAGAZZO, KATHLEEN		DYNEX CAP INC COM NEW	B	9,660.00	165.00	6.00	159.00 80.0 126.00
04/24/2018	QGW039422	RAGAZZO, KATHLEEN		KKR & CO L P DEL COM UNITS	B	10,738.30	165.00	6.00	159.00 80.0 126.00
04/24/2018	QGW039422	RAGAZZO, KATHLEEN		NEW YORK MORTGAGE TRUST INC	B	9,648.00	180.00	6.00	174.00 80.0 138.00
04/25/2018	QGW039422	RAGAZZO, KATHLEEN		CATERPILLAR INC	B	14,383.00	165.00	6.00	159.00 80.0 126.00
04/25/2018	QGW039422	RAGAZZO, KATHLEEN		COHERUS BIOSCIENCES INC COM	B	11,626.20	175.00	6.00	169.00 80.0 134.00
04/25/2018	QGW039422	RAGAZZO, KATHLEEN		EXXON MOBIL CORPORATION	B	15,717.80	200.00	6.00	194.00 80.0 154.00
04/25/2018	QGW039430	RAGAZZO, ANTHONY		AT&T INC	S	-592.82	50.00	6.00	44.00 80.0 34.00
03/28/2018	QGW039455	BIELINKI, LOUISE		CENTURYTEL INC	B	8,050.00	150.00	6.00	144.00 80.0 114.00
03/28/2018	QGW039455	BIELINKI, LOUISE		NEW YORK MORTGAGE TRUST INC	B	8,730.00	150.00	6.00	144.00 80.0 114.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/28/2018	QGW039455	BIELINKI, LOUISE	SUNOCO LP COMMON UNITS REPRESENTING B	10,368.00	150.00	6.00	144.00	80.0	114.00	
04/02/2018	QGW039455	BIELINKI, LOUISE	DYNEX CAP INC COM NEW	B	12,900.00	200.00	6.00	194.00	80.0	154.00
04/12/2018	QGW039455	BIELINKI, LOUISE	MOMO INC ADR	B	11,053.59	175.00	6.00	169.00	80.0	134.00
04/17/2018	QGW039455	BIELINKI, LOUISE	CENTURYTEL INC	S	-8,825.10	85.00	6.00	79.00	80.0	62.00
04/23/2018	QGW039455	BIELINKI, LOUISE	CHIMERA INVESTMENT CORPORATION	B	8,635.00	150.00	6.00	144.00	80.0	114.00
04/04/2018	QGW039539	MILLIGAN, JOAN	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		3,768.00	75.00	6.00	69.00	80.0	54.00
* STOCKS SUBTOTAL *				\$322,886.61	\$9,570.00	\$462.00	\$9,108.00		\$7,194.00	
PRODUCT CATEGORY: BONDS										
04/25/2018	QGW035438	RIZZO, GIOVANNI	SOCIETE GENERALE REV CONV LKD TO BS B		10,000.00	175.00	7.50	167.50	80.0	132.50
04/25/2018	QGW039422	RAGAZZO, KATHLEEN	SOCIETE GENERALE REV CONV LKD TO BS B		10,000.00	175.00	7.50	167.50	80.0	132.50
04/27/2018	QGW039786	CASSERINO, PAULA	SOCIETE GENERALE REV CONV LKD TO BS B		20,000.00	350.00	7.50	342.50	80.0	272.50
04/27/2018	QGW039828	BIELINKI, LOUIS	SOCIETE GENERALE REV CONV LKD TO BS B		10,000.00	175.00	7.50	167.50	80.0	132.50
* BONDS SUBTOTAL *				\$50,000.00	\$875.00	\$30.00	\$845.00		\$670.00	
PRODUCT CATEGORY: OPTIONS										
04/13/2018	QGW033474	PILLERA, CHARLES	CALL 100 NIC INC COM EXP 10-19-18@1 S		-350.00	50.00	6.00	44.00	80.0	34.00
04/11/2018	QGW033649	ARLENE M FRANCIS,	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-272.00	50.00	6.00	44.00	80.0	34.00
04/24/2018	QGW033649	ARLENE M FRANCIS,	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-290.00	50.00	6.00	44.00	80.0	34.00
04/13/2018	QGW033763	LYONS, SALLY	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-170.00	50.00	6.00	44.00	80.0	34.00
04/12/2018	QGW033805	LYONS, SALLY	CALL 100 APPLIED OPTOELECTRONICS IN S		-462.00	50.00	6.00	44.00	80.0	34.00
04/24/2018	QGW033805	LYONS, SALLY	CALL 100 JD COM INC SPONS ADR REPST S		-115.00	50.00	6.00	44.00	80.0	34.00
04/24/2018	QGW033805	LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-192.00	50.00	6.00	44.00	80.0	34.00
04/25/2018	QGW033805	LYONS, SALLY	CALL 100 COHERUS BIOSCIENCES INC CO S		-1,650.00	75.00	6.00	69.00	80.0	54.00
04/02/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 ROKU INC COM CL A EXP 04-0 S		-310.00	50.00	6.00	44.00	80.0	34.00
04/09/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 ROKU INC COM CL A EXP 04-1 S		-250.00	50.00	6.00	44.00	80.0	34.00
04/13/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 ROKU INC COM CL A EXP 04-1 B		190.00	25.00	6.00	19.00	80.0	14.00
04/16/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 ROKU INC COM CL A EXP 04-2 S		-275.00	50.00	6.00	44.00	80.0	34.00
04/23/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 ROKU INC COM CL A EXP 04-2 S		-280.00	50.00	6.00	44.00	80.0	34.00
04/02/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-135.00	50.00	6.00	44.00	80.0	34.00
04/02/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-200.00	50.00	6.00	44.00	80.0	34.00
04/11/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-240.00	50.00	6.00	44.00	80.0	34.00
04/16/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-50.00	20.00	6.00	14.00	80.0	10.00
04/23/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-100.00	50.00	6.00	44.00	80.0	34.00
04/02/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 ROKU INC COM CL A EXP 04-0 S		-245.00	50.00	6.00	44.00	80.0	34.00
04/09/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 ROKU INC COM CL A EXP 04-1 S		-250.00	50.00	6.00	44.00	80.0	34.00
04/10/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-196.00	50.00	6.00	44.00	80.0	34.00
04/13/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 ROKU INC COM CL A EXP 04-1 B		200.00	25.00	6.00	19.00	80.0	14.00
04/16/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 ROKU INC COM CL A EXP 04-2 S		-295.00	50.00	6.00	44.00	80.0	34.00
04/23/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 ALIBABA GROUP HLDG LTD SPO S		-170.00	50.00	6.00	44.00	80.0	34.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
04/23/2018	QGW035438	RIZZO, GIOVANNI	CALL 100	ROKU INC COM CL A EXP 04-2 S	-240.00	50.00	6.00	44.00	80.0 34.00
04/24/2018	QGW036519	SINGH, BHUPINDER	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-140.00	50.00	6.00	44.00	80.0 34.00
04/02/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 04-0 S	-205.00	50.00	6.00	44.00	80.0 34.00
04/09/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 04-1 S	-250.00	50.00	6.00	44.00	80.0 34.00
04/13/2018	QGW036584	TREIBER, NANCY	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-186.00	50.00	6.00	44.00	80.0 34.00
04/13/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 04-1 B	170.00	25.00	6.00	19.00	80.0 14.00
04/16/2018	QGW036584	TREIBER, NANCY	CALL 100	BARCLAYS BK PLC IPATH S&P S	-2,720.00	65.00	6.00	59.00	80.0 46.00
04/16/2018	QGW036584	TREIBER, NANCY	CALL 100	BARCLAYS BK PLC IPATH S&P B	2,552.00	65.00	6.00	59.00	80.0 46.00
04/16/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 04-2 S	-315.00	50.00	6.00	44.00	80.0 34.00
04/23/2018	QGW036584	TREIBER, NANCY	CALL 100	ROKU INC COM CL A EXP 04-2 S	-245.00	50.00	6.00	44.00	80.0 34.00
04/24/2018	QGW036584	TREIBER, NANCY	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,700.00	75.00	6.00	69.00	80.0 54.00
04/11/2018	QGW036592	TREIBER, NANCY	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-245.00	50.00	6.00	44.00	80.0 34.00
04/03/2018	QGW038705	SAPIO, ROBERTA	CALL 100	MOMO INC ADR ISIN#US60879B S	-630.00	50.00	6.00	44.00	80.0 34.00
04/13/2018	QGW038796	LAPINSKI, CLAIRE	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-175.00	50.00	6.00	44.00	80.0 34.00
04/02/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 04-0 S	-195.00	50.00	6.00	44.00	80.0 34.00
04/09/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 04-1 S	-250.00	50.00	6.00	44.00	80.0 34.00
04/09/2018	QGW038812	KOHN, ROBERT	CALL 100	SQUARE INC CL A EXP 06-15- S	-3,050.00	100.00	6.00	94.00	80.0 74.00
04/13/2018	QGW038812	KOHN, ROBERT	CALL 100	FACEBOOK INC CL A EXP 09-2 S	-310.00	50.00	6.00	44.00	80.0 34.00
04/13/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 04-1 B	200.00	25.00	6.00	19.00	80.0 14.00
04/16/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 04-2 S	-333.00	50.00	6.00	44.00	80.0 34.00
04/23/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 04-2 S	-355.00	50.00	6.00	44.00	80.0 34.00
04/02/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	MOMO INC ADR ISIN#US60879B S	-415.00	50.00	6.00	44.00	80.0 34.00
04/11/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-294.00	50.00	6.00	44.00	80.0 34.00
04/16/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	MOMO INC ADR ISIN#US60879B S	-365.00	50.00	6.00	44.00	80.0 34.00
04/23/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-120.00	50.00	6.00	44.00	80.0 34.00
04/26/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	CENTURYLINK INC COM EXP 05 S	-255.00	50.00	6.00	44.00	80.0 34.00
04/02/2018	QGW039398	RAGAZZO, RALPH	CALL 100	MOMO INC ADR ISIN#US60879B S	-355.00	50.00	6.00	44.00	80.0 34.00
04/16/2018	QGW039398	RAGAZZO, RALPH	CALL 100	MOMO INC ADR ISIN#US60879B S	-270.00	50.00	6.00	44.00	80.0 34.00
04/24/2018	QGW039398	RAGAZZO, RALPH	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-75.00	50.00	6.00	44.00	80.0 34.00
04/16/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	NVIDIA CORP COM EXP 05-04- S	-363.00	50.00	6.00	44.00	80.0 34.00
04/17/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-141.00	50.00	6.00	44.00	80.0 34.00
04/17/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	CELGENE CORP EXP 09-21-18@ S	-186.00	50.00	6.00	44.00	80.0 34.00
04/19/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	LAM RESEARCH CORP EXP 04-2 S	-211.00	50.00	6.00	44.00	80.0 34.00
04/25/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	COHERUS BIOSCIENCES INC CO S	-1,630.00	75.00	6.00	69.00	80.0 54.00
04/25/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	CATERPILLAR INC COM EXP 05 S	-373.00	50.00	6.00	44.00	80.0 34.00
04/26/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	CENTURYLINK INC COM EXP 05 S	-150.00	50.00	6.00	44.00	80.0 34.00
04/16/2018	QGW039455	BIELINKI, LOUISE	CALL 100	MOMO INC ADR ISIN#US60879B S	-228.00	50.00	6.00	44.00	80.0 34.00
04/16/2018	QGW039455	BIELINKI, LOUISE	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-210.00	50.00	6.00	44.00	80.0 34.00
* OPTIONS SUBTOTAL *					\$-20,370.00	\$3,125.00	\$372.00	\$2,753.00	\$2,128.00

\* OPTIONS SUBTOTAL \*

\$-20,370.00

\$3,125.00

\$372.00

\$2,753.00

\$2,128.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018		FEE COUNT - 3		0.00	3.17	0.00	3.17	80.0	2.54
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$3.17	\$0.00	\$3.17		\$2.54
		*** BROKER TRANSACTIONS TOTAL ***		\$352,516.61	\$13,573.17	\$864.00	\$12,709.17		\$9,994.54

ADJUSTMENTS

04/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
04/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-158.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,994.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-158.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.97
SIPC Assessment	\$-33.93

Check Amount	\$9,785.64
Year-to-date Earnings	\$38,171.47