

STATEMENT

February 20, 2018

DEAN WELLS
689 W Morgan St
SUITE 620
Spencer, IN 47460

BROKER #: 156
BRANCH:

COMMISSION STATEMENT for DEAN WELLS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
/ /	#62060070404	LEFFEL, KEVIN COLLEGE C	26.25	0.26	90.0	0.00	0.23	0.00	0.23
/ /	#62060070404	LEFFEL, KEVIN LARGE CAP INDEX PORTFOLIO CLASS C	33.75	0.34	90.0	0.00	0.31	0.00	0.31
/ /	#62060070404	LEFFEL, KEVIN PIMCO TOTAL RETURN PORTFOLIO CLASS	15.00	0.15	90.0	0.00	0.14	0.00	0.14
01/02/0018	#62664558602	BLANDFORD, COLLEGE PORTFOLIO CLASS C	200.00	2.00	90.0	0.00	1.80	0.00	1.80
01/02/0018	#62281123602	BLANDFORD, LARGE CAP INDEX PORTFOLIO CLASS A	25.00	1.19	90.0	0.00	1.07	0.00	1.07
12/31/2017	#62932702301	CLAMPITT 2028 ENROLLMENT PORTFOLIO CLASS A	0.00	0.62	90.0	0.00	0.56	0.00	0.56
12/31/2017	#62281123601	WILLIAMS, BLACKROCK LARGE CAP VALUE CLASS A	0.00	0.01	90.0	0.00	0.01	0.00	0.01
12/31/2017	#62281123602	BLANDFORD, BLACKROCK LARGE CAP VALUE CLASS A	0.00	0.03	90.0	0.00	0.03	0.00	0.03
12/31/2017	#62060070403	LEFFEL, KEVIN COLLEGE C	0.00	0.16	90.0	0.00	0.14	0.00	0.14
12/31/2017	#62347804301	HOOVER, DANIEL COLLEGE C	0.00	2.27	90.0	0.00	2.04	0.00	2.04
12/31/2017	#620600770404	LEFFEL, KEVIN COLLEGE C	0.00	2.55	90.0	0.00	2.30	0.00	2.30
12/31/2017	#62281123601	WILLIAMS, COLLEGE C 194524582	0.00	0.02	90.0	0.00	0.02	0.00	0.02
12/31/2017	#62468040402	DAVIES, DAVE COLLEGE CHOICE	0.00	0.04	90.0	0.00	0.04	0.00	0.04
12/31/2017	#62664558602	BLANDFORD, COLLEGE CHOICE	0.00	6.57	90.0	0.00	5.91	0.00	5.91
12/31/2017	#62281123602	BLANDFORD, COLLEGE CHOICE 194524385	0.00	0.02	90.0	0.00	0.02	0.00	0.02
12/31/2017	#62468040402	DAVIES, DAVE COLLEGE PORTFOLIO CLASS C	0.00	0.83	90.0	0.00	0.75	0.00	0.75
12/31/2017	#62664558601	BLANDFORD, GWYN COLLEGE PORTFOLIO CLASS C	0.00	0.09	90.0	0.00	0.08	0.00	0.08
12/31/2017	#62664558602	BLANDFORD, COLLEGE PORTFOLIO CLASS C	0.00	0.03	90.0	0.00	0.03	0.00	0.03
12/31/2017	#711369612	BUELL, ROBERTA HARTFORD MF TRAILS	0.00	23.60	90.0	0.00	21.24	0.00	21.24
12/31/2017	#712514366	KOGER, CONNIE HARTFORD MF TRAILS	0.00	1.85	90.0	0.00	1.67	0.00	1.67
12/31/2017	#62060070403	LEFFEL, KEVIN INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.02	90.0	0.00	0.02	0.00	0.02
12/31/2017	#62256659201	HOOVER, DANIEL INA DIAMOND HILL SMALL MID CAP PORT	0.00	2.76	90.0	0.00	2.48	0.00	2.48
12/31/2017	#62256659202	HOOVER, DANIEL INA DIAMOND HILL SMALL MID CAP PORT	0.00	1.99	90.0	0.00	1.79	0.00	1.79
12/31/2017	#62281123601	WILLIAMS, INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.01	90.0	0.00	0.01	0.00	0.01
12/31/2017	#62281123602	BLANDFORD, INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.05	90.0	0.00	0.05	0.00	0.05
12/31/2017	#62347804301	HOOVER, DANIEL INA DIAMOND HILL SMALL MID CAP PORT	0.00	1.92	90.0	0.00	1.73	0.00	1.73
12/31/2017	#62347804302	HOOVER, DANIEL INA DIAMOND HILL SMALL MID CAP PORT	0.00	0.25	90.0	0.00	0.23	0.00	0.23
12/31/2017	#620600770404	LEFFEL, KEVIN INA DIAMOND HILL SMALL MID CAP PORT	0.00	1.55	90.0	0.00	1.40	0.00	1.40

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017	#62281123601 WILLIAMS,	INA INTER EQTY INDEX PORT A	0.00	0.01	90.0	0.00	0.01	0.00	0.01
12/31/2017	#62281123602 BLANDFORD,	INA INTER EQTY INDEX PORT A	0.00	0.02	90.0	0.00	0.02	0.00	0.02
12/31/2017	#62347804302 HOOVER, DANIEL	INA SMALL CAP EQUITY INDEX PORT A	0.00	0.26	90.0	0.00	0.23	0.00	0.23
12/31/2017	#62347804301 HOOVER, DANIEL	INA T ROWE PRICE LG CAP GROWTH PO C	0.00	2.60	90.0	0.00	2.34	0.00	2.34
12/31/2017	#62576293101 WELLS, DEAN	INA T ROWE PRICE LG CAP GROWTH PO C	0.00	3.06	90.0	0.00	2.75	0.00	2.75
12/31/2017	#62060070403 LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	0.03	90.0	0.00	0.03	0.00	0.03
12/31/2017	#62256659201 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	2.77	90.0	0.00	2.49	0.00	2.49
12/31/2017	#62256659202 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	2.01	90.0	0.00	1.81	0.00	1.81
12/31/2017	#62281123602 BLANDFORD,	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	1.22	90.0	0.00	1.10	0.00	1.10
12/31/2017	#62347804301 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	1.94	90.0	0.00	1.75	0.00	1.75
12/31/2017	#62347804302 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	0.51	90.0	0.00	0.46	0.00	0.46
12/31/2017	#620600770404 LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS A	0.00	2.43	90.0	0.00	2.19	0.00	2.19
12/31/2017	#62060070403 LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS C	0.00	0.08	90.0	0.00	0.07	0.00	0.07
12/31/2017	#62347804301 HOOVER, DANIEL	LARGE CAP INDEX PORTFOLIO CLASS C	0.00	2.29	90.0	0.00	2.06	0.00	2.06
12/31/2017	#620600770404 LEFFEL, KEVIN	LARGE CAP INDEX PORTFOLIO CLASS C	0.00	3.58	90.0	0.00	3.22	0.00	3.22
12/31/2017	#620600770404 LEFFEL, KEVIN	PIMCO TOTAL RETURN PORTFOLIO CLASS	0.00	1.24	90.0	0.00	1.12	0.00	1.12
12/31/2017	#620600770404 LEFFEL, KEVIN	PIMCO TOTAL RETURN PORTFOLIO CLASS	0.00	0.60	90.0	0.00	0.54	0.00	0.54
12/31/2017	#62256659201 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	3.15	90.0	0.00	2.84	0.00	2.84
12/31/2017	#62256659202 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	2.27	90.0	0.00	2.04	0.00	2.04
12/31/2017	#62281123601 WILLIAMS,	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	0.01	90.0	0.00	0.01	0.00	0.01
12/31/2017	#62281123602 BLANDFORD,	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	0.07	90.0	0.00	0.06	0.00	0.06
12/31/2017	#62347804301 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	2.20	90.0	0.00	1.98	0.00	1.98
12/31/2017	#62347804302 HOOVER, DANIEL	T ROWE PRICE LARGE CAP GROWTH CLASS	0.00	0.55	90.0	0.00	0.50	0.00	0.50
01/16/2018	#00214767874	PUTNAM EQUITY INCOME FUND NEW-CL A	25.00	1.25	90.0	0.00	1.13	0.00	1.13
01/16/2018	#00214738435	PUTNAM GROWTH OPPORTUNITIES FUND-CL	25.00	1.25	90.0	0.00	1.13	0.00	1.13
01/17/2018	# YAPP, BERNADETTE	ARTISAN FUNDS INC MID CAP FUND	300.00	0.00	90.0	0.00	0.00	20.00	-20.00
* MUTUAL FUNDS SUBTOTAL *			\$650.00	\$86.58		\$0.00	\$77.98	\$20.00	\$57.98
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/ /	#00007718267 BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.18	90.0	0.00	0.16	0.00	0.16
/ /	#00007718268 BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.39	90.0	0.00	0.35	0.00	0.35
/ /	#00007718269 BRINSON,	BRIGHTHOUSE MVLAC	0.00	0.36	90.0	0.00	0.32	0.00	0.32
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$0.93		\$0.00	\$0.83	\$0.00	\$0.83

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO ALL CAP MARKET NEUTRALFUND	0.00	0.57	90.0	0.00	0.51	0.51
01/01/2018	#06910177592	VARIOUS WELLS	INVESCO CASH RESERVE SHARES	0.00	0.35	90.0	0.00	0.32	0.32
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO CASH RESERVE SHARES	0.00	0.38	90.0	0.00	0.34	0.34
01/01/2018	#06910177592	VARIOUS WELLS	INVESCO DEVELOPING MARKETS FUND CL	0.00	0.82	90.0	0.00	0.74	0.74
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO DEVELOPING MARKETS FUND CL	0.00	1.27	90.0	0.00	1.14	1.14
01/01/2018	#06910177592	VARIOUS WELLS	INVESCO HIGH YIELD FUND CL A SHS	0.00	0.89	90.0	0.00	0.80	0.80
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO HIGH YIELD FUND CL A SHS	0.00	0.63	90.0	0.00	0.57	0.57
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO MID CAP CORE EQUITY FUND CL	0.00	0.58	90.0	0.00	0.52	0.52
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO UTILITIES FUND CL A	0.00	0.68	90.0	0.00	0.61	0.61
01/01/2018	#06910177592	VARIOUS WELLS	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.11	90.0	0.00	1.90	1.90
01/01/2018	#06910179579	VARIOUS WELLS	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	2.07	90.0	0.00	1.86	1.86
01/01/2018	#06910177592	VARIOUS WELLS	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	1.52	90.0	0.00	1.37	1.37
01/01/2018	#06910177592	VARIOUS WELLS	INVESCO VAN KAMPEN VALUE OPPORTUNIT	0.00	1.43	90.0	0.00	1.29	1.29
01/01/2018	#06910179579	VARIOUS WELLS	SHORT EQUITY FUND CLASS A	0.00	0.57	90.0	0.00	0.51	0.51
01/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL FDS INC GLOBAL DIVERSIFIE	0.00	0.32	90.0	0.00	0.29	0.29
01/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL FDS INC SML MIDCAP DIVIDE	0.00	0.41	90.0	0.00	0.37	0.37
01/03/2018	#08900039892	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.12	90.0	0.00	0.11	0.11
01/03/2018	#08900039893	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.12	90.0	0.00	0.11	0.11
01/03/2018	#08900039898	WELLS UTMA IN,	PRINCIPAL INVS FD INC	0.00	0.10	90.0	0.00	0.09	0.09
01/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC CAPITAL APPRE	0.00	0.41	90.0	0.00	0.37	0.37
01/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC EQUITY INCOME	0.00	0.39	90.0	0.00	0.35	0.35
01/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC MIDCAP BLEND	0.00	0.43	90.0	0.00	0.39	0.39
01/03/2018	#08900012357	MONIX, EDWARD	PRINCIPAL INVS FD INC REAL ESTATE S	0.00	0.33	90.0	0.00	0.30	0.30
01/03/2018	#00015232446	FYAN, THOMAS	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	2.83	90.0	0.00	2.55	2.55
01/03/2018	#08900023334	DUNCAN, LACEY	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	0.87	90.0	0.00	0.78	0.78
01/03/2018	#08900044381	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.53	90.0	0.00	1.38	1.38
01/03/2018	#08900044535	BLANDFORD,	PRINCIPAL INVS FD INC STRTC ASST MG	0.00	1.24	90.0	0.00	1.12	1.12
01/03/2018	#08900056028	WELLS, MICHELLE	PRINCIPAL INVS FD INC STRTIC ASST M	0.00	1.27	90.0	0.00	1.14	1.14
01/03/2018	#08900014069	WILLIAMS,	S A M BALANCED PORTFOLIO (C)	0.00	13.57	90.0	0.00	12.21	12.21
01/03/2018	#08900032969	MCKINZIE, SARAH	S A M CONSERVATIVE GROWTH PORT (C)	0.00	2.80	90.0	0.00	2.52	2.52
01/03/2018	#08900032968	MCKINZIE,	S A M STRATEGIC GROWTH PORTFOLIO (C	0.00	9.29	90.0	0.00	8.36	8.36
01/10/2018	#	BUELL, ROBERTA	DAIN TRAILER	0.00	37.82	90.0	0.00	34.04	34.04
01/10/2018	#	BUELL, ROBERTA	DAIN TRAILER	0.00	2.25	90.0	0.00	2.03	2.03
01/10/2018	#	BUELL, ROBERTA	DAIN TRAILER	0.00	3.03	90.0	0.00	2.73	2.73
01/10/2018	#	BUELL, ROBERTA	DAIN TRAILER	0.00	2.28	90.0	0.00	2.05	2.05
01/10/2018	#	LEFFEL, KEVIN	DAIN TRAILER	0.00	15.01	90.0	0.00	13.51	13.51

BROKER COMMISSION TOTALS

Broker Transactions	\$247.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-674.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,413.98
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,840.17
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