



COMMISSION STATEMENT

June 30, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
06/01/2017	ALLEN, MARK	CLS INVESTMENTS	2,111.38	4.62	0.00	4.62	90.0	4.16
06/01/2017	ALLEN, MARK	CLS INVESTMENTS	946.23	1.94	0.00	1.94	90.0	1.75
06/01/2017	ALLEN, MARK	CLS INVESTMENTS	18,913.14	37.82	0.00	37.82	90.0	34.04
06/01/2017	ALLEN, MARK	CLS INVESTMENTS	20.30	0.04	0.00	0.04	90.0	0.04
06/01/2017	ALLEN, MARK	CLS INVESTMENTS	9.87	0.02	0.00	0.02	90.0	0.02
06/01/2017	ALLEN, RHONDA	CLS INVESTMENTS	1,155.22	2.02	0.00	2.02	90.0	1.82
06/01/2017	ALLEN, RHONDA	CLS INVESTMENTS	14,704.09	23.77	0.00	23.77	90.0	21.39
06/01/2017	ALLEN, RHONDA	CLS INVESTMENTS	7.41	0.01	0.00	0.01	90.0	0.01
06/01/2017	ARCHBOLD, DANNY	CLS INVESTMENTS	6,825.33	16.46	0.00	16.46	90.0	14.81
06/01/2017	ARCHBOLD, PAMELA	CLS INVESTMENTS	13,830.38	34.86	0.00	34.86	90.0	31.37
06/01/2017	ARCHBOLD, PAMELA	CLS INVESTMENTS	9,726.63	23.45	0.00	23.45	90.0	21.11
06/01/2017	BAILEY, KEVIN	CLS INVESTMENTS	40,007.32	88.42	0.00	88.42	90.0	79.58
06/01/2017	BAILEY, KEVIN	CLS INVESTMENTS	49,999.99	128.59	0.00	128.59	90.0	115.73
06/01/2017	GALENTINE, STEPHANIE	CLS INVESTMENTS	23,046.13	58.09	0.00	58.09	90.0	52.28
06/01/2017	GREENWALT, ROBIN	CLS INVESTMENTS	38,656.57	86.84	0.00	86.84	90.0	78.16
06/01/2017	GREENWALT, ROBIN	CLS INVESTMENTS	5,811.49	11.95	0.00	11.95	90.0	10.76
06/01/2017	HOUSER, TRACY	CLS INVESTMENTS	28,538.68	62.95	0.00	62.95	90.0	56.66
06/01/2017	HOUSER, TRACY	CLS INVESTMENTS	49,999.99	122.09	0.00	122.09	90.0	109.88
06/01/2017	KAUFFMAN, KATIE	CLS INVESTMENTS	33,892.47	79.86	0.00	79.86	90.0	71.87
06/01/2017	KAUFFMAN, KATIE	CLS INVESTMENTS	9,151.62	13.54	0.00	13.54	90.0	12.19
06/01/2017	MARTIN, REBECCA	CLS INVESTMENTS	27,378.39	54.01	0.00	54.01	90.0	48.61
06/01/2017	MURPHY, SUSAN	CLS INVESTMENTS	41,681.23	92.50	0.00	92.50	90.0	83.25
06/01/2017	SCHISLER, SHARON	CLS INVESTMENTS	26,188.63	61.71	0.00	61.71	90.0	55.54
06/01/2017	SENGER, LYNDIA	CLS INVESTMENTS	48,075.56	93.52	0.00	93.52	90.0	84.17
06/01/2017	TRESSLER, KENT	CLS INVESTMENTS	9,051.45	16.24	0.00	16.24	90.0	14.62
06/01/2017	TRESSLER, KENT	CLS INVESTMENTS	49,999.99	105.10	0.00	105.10	90.0	94.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
06/01/2017	TRESSLER, KENT	CLS INVESTMENTS	12.86	0.02	0.00	0.02	90.0	0.02
06/01/2017	WEAVER, JEFFREY	CLS INVESTMENTS	23,081.60	37.31	0.00	37.31	90.0	33.58
06/01/2017	WEAVER, JEFFREY	CLS INVESTMENTS	500.00	0.71	0.00	0.71	90.0	0.64
06/16/2017	BAILEY, LOIS	CLS INVESTMENTS	85,859.60	68.44	0.00	68.44	90.0	61.60
06/16/2017	EAGLESON, ROSS	CLS INVESTMENTS	65,118.78	52.58	0.00	52.58	90.0	47.32
06/16/2017	BAILEY, BRUCE	CLS INVESTMENTS	49,999.99	45.49	0.00	45.49	90.0	40.94
06/16/2017	BAILEY, BRUCE	CLS INVESTMENTS	30,330.37	24.94	0.00	24.94	90.0	22.45
06/16/2017	EAGLESON, ROSS	CLS INVESTMENTS	26,403.35	24.63	0.00	24.63	90.0	22.17
06/16/2017	EAGLESON, MARTHA	CLS INVESTMENTS	23,596.64	22.01	0.00	22.01	90.0	19.81
06/16/2017	EAGLESON, ROSS	CLS INVESTMENTS	19,720.96	15.80	0.00	15.80	90.0	14.22
06/16/2017	EAGLESON, MARTHA	CLS INVESTMENTS	17,624.60	14.13	0.00	14.13	90.0	12.72
06/16/2017	ARCHBOLD, DANNY	CLS INVESTMENTS	4,746.17	4.16	0.00	4.16	90.0	3.74
* RIA SUBTOTAL *			\$896,724.41	\$1,530.64	\$0.00	\$1,530.64		\$1,377.62
*** BROKER TRANSACTIONS TOTAL ***			\$896,724.41	\$1,530.64	\$0.00	\$1,530.64		\$1,377.62

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

06/16/2017	HAMMAN, RONALD	CLS INVESTMENTS	37,356.09	13.26	0.00	13.26	90.0	11.93
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$37,356.09	\$13.26	\$0.00	\$13.26		\$11.93
*** SPLIT TRANSACTIONS TOTAL ***			\$37,356.09	\$13.26	\$0.00	\$13.26		\$11.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,377.62
Split Transactions	\$11.93
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,389.55
Year-to-date Gross	\$168,833.55
Year-to-date Earnings	\$151,808.80