



All Companies

06/14/2018

Page No. 1

COMMISSION STATEMENT

June 15, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/29/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
05/29/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
05/25/2018	00064607901	LARSON JT TEN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
05/29/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
05/29/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
06/05/2018	00068899251	SHERTZER, LUKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
06/04/2018	00074947376	MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0	4.78
06/05/2018	00082816231	SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
06/04/2018	00085609264	BERGER, PRIO	AMERICAN FUNDS BALANCED FD	B	500.00	25.00	0.00	25.00	90.0	22.50
06/04/2018	00085609327	NEAL, JYNETTE	AMERICAN FUNDS GROWTH FUND OF AMERI	B	150.00	5.63	0.00	5.63	90.0	5.07
06/04/2018	00085609327	NEAL, JYNETTE	AMERICAN FUNDS WA MUTUAL	INVEST B	150.00	5.63	0.00	5.63	90.0	5.07
06/04/2018	00086561092	WISNIEWSKI, ANDREW	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
M.										
05/29/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
05/29/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
05/29/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
05/29/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
05/29/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
D.										
05/29/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
D.										
05/29/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
05/29/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
06/01/2018	00089423266	MONTIEL, MELISSA	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0	13.50
DYSON										
06/01/2018	00089423266	MONTIEL, MELISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0	4.50
DYSON										
05/29/2018	04000814642	HEGWOOD, JAMIE R.	AMERICAN FUNDS 2055 TARGET DATE-A	B	82.02	4.10	0.00	4.10	90.0	3.69
05/29/2018	04000814642	HEGWOOD, JAMIE R.	AMERICAN FUNDS 2055 TARGET DATE-A	B	82.02	4.10	0.00	4.10	90.0	3.69
05/25/2018	5501744996	LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	400.00	12.00	0.00	12.00	90.0	10.80

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

* MUTUAL FUNDS SUBTOTAL *				\$4,251.76	\$131.94	\$0.00	\$131.94		\$118.76
PRODUCT CATEGORY: VARIABLE ANNUITIES									
06/01/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	567.73	17.03	0.00	17.03	90.0	15.33
06/01/2018	BROWN, PEGGY	BRIGHTHOUSE FINANCIAL	B	149.40	4.48	0.00	4.48	90.0	4.03
06/05/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	563.46	16.90	0.00	16.90	90.0	15.21
* VARIABLE ANNUITIES SUBTOTAL *				\$1,280.59	\$38.41	\$0.00	\$38.41		\$34.57

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	9.11	0.00	9.11	90.0	8.20
06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	4,031.83	0.00	4,031.83	90.0	3,628.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4,040.94	\$0.00	\$4,040.94		\$3,636.92
*** BROKER TRANSACTIONS TOTAL ***				\$5,532.35	\$4,211.29	\$0.00	\$4,211.29		\$3,790.25

ADJUSTMENTS

06/15/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,790.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,762.75
Year-to-date Earnings	\$249,100.29