

## COMMISSION STATEMENT

July 31, 2018

JOHN KILLEEN  
306 SCHUNNEMUNK STREETBROKER #: W06  
BRANCH: FULCRUM GROUP

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/09/2018	00061663272	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
07/09/2018	00061663272	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
07/09/2018	00061663272	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
07/09/2018	00061663272	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
07/09/2018	00061663272	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	00061663272	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
07/18/2018	00061663272	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
07/18/2018	00061663272	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
07/18/2018	00061663272	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
07/18/2018	00061663272	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	00061736606	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
07/09/2018	00061736606	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
07/18/2018	00061736606	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
07/18/2018	00061736606	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
07/09/2018	00061736608	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
07/09/2018	00061736608	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
07/09/2018	00061736608	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
07/18/2018	00061736608	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
07/18/2018	00061736608	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
07/18/2018	00061736608	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
07/09/2018	00061736614	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
07/09/2018	00061736614	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
07/18/2018	00061736614	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
07/18/2018	00061736614	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
07/09/2018	00061736616	DAVIS, CAROL		AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
07/09/2018	00061736616	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
07/09/2018	00061736616	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
07/18/2018	00061736616	DAVIS, CAROL		AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
07/18/2018	00061736616	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
07/18/2018	00061736616	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
07/09/2018	00062829276	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45	86.0	0.39

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/09/2018	00062829276	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
07/09/2018	00062829276	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
07/09/2018	00062829276	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
07/18/2018	00062829276	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
07/18/2018	00062829276	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
07/18/2018	00062829276	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
07/18/2018	00062829276	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
07/09/2018	00063329982	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
07/09/2018	00063329982	DAVIS, CAROL		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
07/09/2018	00063329982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
07/18/2018	00063329982	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
07/18/2018	00063329982	DAVIS, CAROL		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
07/18/2018	00063329982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
07/09/2018	00063362305	DAVIS, CAROL		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/09/2018	00063362305	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	00063362305	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
07/09/2018	00063362305	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/09/2018	00063362305	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/18/2018	00063362305	DAVIS, CAROL		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/18/2018	00063362305	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/18/2018	00063362305	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
07/18/2018	00063362305	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/18/2018	00063362305	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/09/2018	00063362311	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
07/09/2018	00063362311	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/09/2018	00063362311	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	00063362311	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/09/2018	00063362311	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
07/18/2018	00063362311	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
07/18/2018	00063362311	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/18/2018	00063362311	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/18/2018	00063362311	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/18/2018	00063362311	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
07/09/2018	00063795979	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
07/09/2018	00063795979	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
07/09/2018	00063795979	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
07/18/2018	00063795979	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
07/18/2018	00063795979	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
07/18/2018	00063795979	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
07/09/2018	00064217122	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/09/2018	00064217122	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
07/09/2018	00064217122	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
07/18/2018	00064217122	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55
07/18/2018	00064217122	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
07/18/2018	00064217122	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
07/09/2018	00064217123	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/09/2018	00064217123	DAVIS, CAROL		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/09/2018	00064217123	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/09/2018	00064217123	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/09/2018	00064217123	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/18/2018	00064217123	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/18/2018	00064217123	DAVIS, CAROL		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/18/2018	00064217123	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/18/2018	00064217123	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/18/2018	00064217123	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
07/10/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
07/10/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
07/10/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
07/10/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
07/10/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
07/10/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
07/16/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
07/16/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
07/16/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
07/16/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
07/16/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
07/16/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
07/23/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
07/23/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
07/23/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
07/23/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
07/23/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
07/23/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
07/09/2018	00068080343	DAVIS, CAROL		AMCAP FUND-A	B	102.09	0.51	0.00	0.51 86.0 0.44
07/09/2018	00068080343	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	00068080343	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55 86.0 2.19
07/09/2018	00068080343	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	00068080343	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/18/2018	00068080343	DAVIS, CAROL		AMCAP FUND-A	B	102.09	0.51	0.00	0.51 86.0 0.44
07/18/2018	00068080343	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/18/2018	00068080343	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55 86.0 2.19
07/18/2018	00068080343	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/18/2018	00068080343	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
07/09/2018	00068086982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62 86.0 0.53
07/09/2018	00068086982	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62 86.0 0.53
07/18/2018	00068086982	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62 86.0 0.53
07/18/2018	00068086982	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62 86.0 0.53
07/09/2018	00070001812	DAVIS, CAROL		AMCAP FUND-A	B	19.48	0.10	0.00	0.10 86.0 0.09
07/09/2018	00070001812	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19 86.0 0.16
07/09/2018	00070001812	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
07/09/2018	00070001812	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
07/09/2018	00070001812	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29 86.0 0.25
07/18/2018	00070001812	DAVIS, CAROL		AMCAP FUND-A	B	19.48	0.10	0.00	0.10 86.0 0.09
07/18/2018	00070001812	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19 86.0 0.16
07/18/2018	00070001812	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
07/18/2018	00070001812	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
07/18/2018	00070001812	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29 86.0 0.25
07/09/2018	00070004355	DAVIS, CAROL		AMCAP FUND-A	B	36.97	0.18	0.00	0.18 86.0 0.15
07/09/2018	00070004355	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17 86.0 0.15
07/09/2018	00070004355	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43 86.0 0.37
07/09/2018	00070004355	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31 86.0 0.27
07/09/2018	00070004355	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33 86.0 0.28
07/09/2018	00070004355	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39 86.0 0.34
07/18/2018	00070004355	DAVIS, CAROL		AMCAP FUND-A	B	36.97	0.18	0.00	0.18 86.0 0.15
07/18/2018	00070004355	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17 86.0 0.15
07/18/2018	00070004355	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43 86.0 0.37
07/18/2018	00070004355	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31 86.0 0.27
07/18/2018	00070004355	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33 86.0 0.28
07/18/2018	00070004355	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39 86.0 0.34
07/09/2018	00070004361	DAVIS, CAROL		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.32
07/09/2018	00070004361	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19 86.0 0.16
07/09/2018	00070004361	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18 86.0 0.15
07/09/2018	00070004361	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38 86.0 0.33
07/18/2018	00070004361	DAVIS, CAROL		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.32
07/18/2018	00070004361	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19 86.0 0.16
07/18/2018	00070004361	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18 86.0 0.15
07/18/2018	00070004361	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38 86.0 0.33
07/09/2018	00070005362	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	4.36	0.02	0.00	0.02 86.0 0.02
07/09/2018	00070005362	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	8.71	0.04	0.00	0.04 86.0 0.03
07/09/2018	00070005362	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	13.07	0.07	0.00	0.07 86.0 0.06

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/09/2018	00070005362	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A B	17.43	0.09	0.00	0.09	86.0	0.08
07/09/2018	00070005362	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	8.71	0.04	0.00	0.04	86.0	0.03
07/18/2018	00070005362	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	4.36	0.02	0.00	0.02	86.0	0.02
07/18/2018	00070005362	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	8.71	0.04	0.00	0.04	86.0	0.03
07/18/2018	00070005362	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	13.07	0.07	0.00	0.07	86.0	0.06
07/18/2018	00070005362	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A B	17.43	0.09	0.00	0.09	86.0	0.08
07/18/2018	00070005362	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	8.71	0.04	0.00	0.04	86.0	0.03
07/09/2018	00070005678	DAVIS, CAROL		THE BOND FUND OF AMERICA-A B	115.63	0.58	0.00	0.58	86.0	0.50
07/09/2018	00070005678	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	192.71	0.96	0.00	0.96	86.0	0.83
07/09/2018	00070005678	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A B	385.42	1.93	0.00	1.93	86.0	1.66
07/09/2018	00070005678	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	77.08	0.39	0.00	0.39	86.0	0.34
07/18/2018	00070005678	DAVIS, CAROL		THE BOND FUND OF AMERICA-A B	115.63	0.58	0.00	0.58	86.0	0.50
07/18/2018	00070005678	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	192.71	0.96	0.00	0.96	86.0	0.83
07/18/2018	00070005678	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A B	385.42	1.93	0.00	1.93	86.0	1.66
07/18/2018	00070005678	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	77.08	0.39	0.00	0.39	86.0	0.34
07/09/2018	00086545644	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	25.00	0.13	0.00	0.13	86.0	0.11
07/09/2018	00086545644	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/09/2018	00086545644	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/09/2018	00086545644	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/09/2018	00086545644	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	25.00	0.13	0.00	0.13	86.0	0.11
07/09/2018	00086545644	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/18/2018	00086545644	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	25.00	0.13	0.00	0.13	86.0	0.11
07/18/2018	00086545644	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/18/2018	00086545644	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/18/2018	00086545644	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/18/2018	00086545644	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	25.00	0.13	0.00	0.13	86.0	0.11
07/18/2018	00086545644	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	18.75	0.09	0.00	0.09	86.0	0.08
07/09/2018	00087384406	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	770.84	3.85	0.00	3.85	86.0	3.31
07/18/2018	00087384406	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	770.84	3.85	0.00	3.85	86.0	3.31
07/09/2018	00087384433	DAVIS, CAROL		AMCAP FUND-A B	408.34	2.04	0.00	2.04	86.0	1.75
07/09/2018	00087384433	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	00087384433	DAVIS, CAROL		NEW WORLD FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	00087384433	DAVIS, CAROL		THE BOND FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88
07/09/2018	00087384433	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88
07/18/2018	00087384433	DAVIS, CAROL		AMCAP FUND-A B	408.34	2.04	0.00	2.04	86.0	1.75
07/18/2018	00087384433	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	00087384433	DAVIS, CAROL		NEW WORLD FUND-A B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	00087384433	DAVIS, CAROL		THE BOND FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88
07/18/2018	00087384433	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	204.17	1.02	0.00	1.02	86.0	0.88
07/09/2018	00087384446	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	88.15	0.44	0.00	0.44	86.0	0.38

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/09/2018	00087384446	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A B	66.12	0.33	0.00	0.33	86.0 0.28
07/09/2018	00087384446	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	66.11	0.33	0.00	0.33	86.0 0.28
07/18/2018	00087384446	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	88.15	0.44	0.00	0.44	86.0 0.38
07/18/2018	00087384446	DAVIS, CAROL		THE INVESTMENT COMPANY OF AMERICA-A B	66.12	0.33	0.00	0.33	86.0 0.28
07/18/2018	00087384446	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	66.11	0.33	0.00	0.33	86.0 0.28
07/09/2018	00087384464	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	15.00	0.08	0.00	0.08	86.0 0.07
07/18/2018	00087384464	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	15.00	0.08	0.00	0.08	86.0 0.07
07/09/2018	00087384478	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	375.00	1.88	0.00	1.88	86.0 1.62
07/09/2018	00087384478	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	375.00	1.88	0.00	1.88	86.0 1.62
07/18/2018	00087384478	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	375.00	1.88	0.00	1.88	86.0 1.62
07/18/2018	00087384478	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	375.00	1.88	0.00	1.88	86.0 1.62
07/09/2018	00087384507	DAVIS, CAROL		AMCAP FUND-A B	2.00	0.01	0.00	0.01	86.0 0.01
07/09/2018	00087384507	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	10.00	0.05	0.00	0.05	86.0 0.04
07/09/2018	00087384507	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	8.00	0.04	0.00	0.04	86.0 0.03
07/18/2018	00087384507	DAVIS, CAROL		AMCAP FUND-A B	2.00	0.01	0.00	0.01	86.0 0.01
07/18/2018	00087384507	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	10.00	0.05	0.00	0.05	86.0 0.04
07/18/2018	00087384507	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	8.00	0.04	0.00	0.04	86.0 0.03
07/09/2018	00087384541	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	75.00	0.38	0.00	0.38	86.0 0.33
07/09/2018	00087384541	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	75.00	0.38	0.00	0.38	86.0 0.33
07/18/2018	00087384541	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	75.00	0.38	0.00	0.38	86.0 0.33
07/18/2018	00087384541	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	75.00	0.38	0.00	0.38	86.0 0.33
07/09/2018	00087384558	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	49.70	0.25	0.00	0.25	86.0 0.22
07/09/2018	00087384558	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	49.70	0.25	0.00	0.25	86.0 0.22
07/18/2018	00087384558	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	49.70	0.25	0.00	0.25	86.0 0.22
07/18/2018	00087384558	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	49.70	0.25	0.00	0.25	86.0 0.22
07/09/2018	00087842379	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	75.00	0.38	0.00	0.38	86.0 0.33
07/09/2018	00087842379	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	675.00	3.38	0.00	3.38	86.0 2.91
07/18/2018	00087842379	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	75.00	0.38	0.00	0.38	86.0 0.33
07/18/2018	00087842379	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A B	675.00	3.38	0.00	3.38	86.0 2.91
07/09/2018	00088877759	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	45.57	0.23	0.00	0.23	86.0 0.20
07/09/2018	00088877759	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	136.72	0.68	0.00	0.68	86.0 0.58
07/18/2018	00088877759	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	75.95	0.38	0.00	0.38	86.0 0.33
07/18/2018	00088877759	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A B	227.86	1.14	0.00	1.14	86.0 0.98
07/09/2018	00089378227	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	30.17	0.15	0.00	0.15	86.0 0.13
07/09/2018	00089378227	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	10.05	0.05	0.00	0.05	86.0 0.04
07/09/2018	00089378227	DAVIS, CAROL		NEW WORLD FUND-A B	20.12	0.10	0.00	0.10	86.0 0.09
07/09/2018	00089378227	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A B	20.12	0.10	0.00	0.10	86.0 0.09
07/09/2018	00089378227	DAVIS, CAROL		THE NEW ECONOMY FUND-A B	20.12	0.10	0.00	0.10	86.0 0.09
07/18/2018	00089378227	DAVIS, CAROL		EUROPACIFIC GROWTH FUND-A B	30.17	0.15	0.00	0.15	86.0 0.13
07/18/2018	00089378227	DAVIS, CAROL		NEW PERSPECTIVE FUND-A B	10.05	0.05	0.00	0.05	86.0 0.04

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/18/2018	00089378227	DAVIS, CAROL		NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10 86.0 0.09
07/18/2018	00089378227	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10 86.0 0.09
07/18/2018	00089378227	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10 86.0 0.09
07/09/2018	00089378259	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.20
07/09/2018	00089378259	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.20
07/09/2018	00089378259	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.13
07/09/2018	00089378259	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
07/09/2018	00089378259	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
07/18/2018	00089378259	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23 86.0 0.20
07/18/2018	00089378259	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23 86.0 0.20
07/18/2018	00089378259	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15 86.0 0.13
07/18/2018	00089378259	DAVIS, CAROL		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
07/18/2018	00089378259	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08 86.0 0.07
07/09/2018	00089378412	DAVIS, CAROL		AMCAP FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
07/09/2018	00089378412	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22 86.0 0.19
07/09/2018	00089378412	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27 86.0 0.23
07/09/2018	00089378412	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22 86.0 0.19
07/09/2018	00089378412	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
07/18/2018	00089378412	DAVIS, CAROL		AMCAP FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
07/18/2018	00089378412	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22 86.0 0.19
07/18/2018	00089378412	DAVIS, CAROL		THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27 86.0 0.23
07/18/2018	00089378412	DAVIS, CAROL		THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22 86.0 0.19
07/18/2018	00089378412	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09 86.0 0.08
07/16/2018	00089545290	RONGA, DANIEL		THE GROWTH FUND OF AMERICA-A	B	200.00	5.50	0.00	5.50 86.0 4.73
07/09/2018	04000113881	VARIOUS, KILLEEN		AMCAP FUND-A	B	126.48	0.63	0.00	0.63 86.0 0.54
07/09/2018	04000113881	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	37.94	0.19	0.00	0.19 86.0 0.16
07/09/2018	04000113881	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	37.94	0.19	0.00	0.19 86.0 0.16
07/09/2018	04000113881	VARIOUS, KILLEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	50.59	0.25	0.00	0.25 86.0 0.22
07/18/2018	04000113881	VARIOUS, KILLEEN		AMCAP FUND-A	B	94.79	0.47	0.00	0.47 86.0 0.40
07/18/2018	04000113881	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	28.43	0.14	0.00	0.14 86.0 0.12
07/18/2018	04000113881	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	28.44	0.14	0.00	0.14 86.0 0.12
07/18/2018	04000113881	VARIOUS, KILLEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	37.92	0.19	0.00	0.19 86.0 0.16
07/26/2018	04000113881	VARIOUS, KILLEEN		AMCAP FUND-A	B	43.75	0.22	0.00	0.22 86.0 0.19
07/26/2018	04000113881	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	13.12	0.07	0.00	0.07 86.0 0.06
07/26/2018	04000113881	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	13.13	0.07	0.00	0.07 86.0 0.06
07/26/2018	04000113881	VARIOUS, KILLEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	17.50	0.09	0.00	0.09 86.0 0.08
07/09/2018	04000113984	VARIOUS, KILLEEN		AMCAP FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	04000113984	VARIOUS, KILLEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	04000113984	VARIOUS, KILLEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
07/09/2018	04000113984	VARIOUS, KILLEEN		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/09/2018	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
07/09/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/09/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/18/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
07/16/2018	04000292411	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
07/09/2018	04000493953	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
07/09/2018	04000493953	DAVIS, CAROL							
		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
07/09/2018	04000493953	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
07/09/2018	04000493953	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
07/09/2018	04000493953	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
07/18/2018	04000493953	DAVIS, CAROL							
		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
07/18/2018	04000493953	DAVIS, CAROL							
		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
07/18/2018	04000493953	DAVIS, CAROL							
		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
07/18/2018	04000493953	DAVIS, CAROL							
		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
07/18/2018	04000493953	DAVIS, CAROL							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
07/09/2018	04000772673	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
07/09/2018	04000772673	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
07/09/2018	04000772673	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
07/09/2018	04000772673	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
07/09/2018	04000772673	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
07/18/2018	04000772673	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
07/18/2018	04000772673	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
07/18/2018	04000772673	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
07/18/2018	04000772673	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
07/18/2018	04000772673	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
07/09/2018	04000938747	DAWSON, PETER							
		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/09/2018	04000938747	DAWSON, PETER							
		NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/09/2018	04000938747	DAWSON, PETER							
		NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/09/2018	04000938747	DAWSON, PETER		THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/18/2018	04000938747	DAWSON, PETER		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/18/2018	04000938747	DAWSON, PETER		NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/18/2018	04000938747	DAWSON, PETER		NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/18/2018	04000938747	DAWSON, PETER		THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
07/09/2018	04000998747	DAVIS, CAROL		AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/09/2018	04000998747	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/09/2018	04000998747	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/09/2018	04000998747	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/18/2018	04000998747	DAVIS, CAROL		AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/18/2018	04000998747	DAVIS, CAROL		NEW PERSPECTIVE FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/18/2018	04000998747	DAVIS, CAROL		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
07/18/2018	04000998747	DAVIS, CAROL		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
* MUTUAL FUNDS SUBTOTAL *				\$38,450.34	\$206.76	\$0.00	\$206.76		\$178.01		
PRODUCT CATEGORY: VARIABLE ANNUITIES											
07/17/2018	(None)	VARIOUS, KILLEEN		NAT'L INTEGRITY	B	0.00	34.44	0.00	34.44	86.0	29.62
07/17/2018	(None)	VARIOUS, KILLEEN		NAT'L INTEGRITY	B	0.00	8,440.62	0.00	8,440.62	86.0	7,258.93
07/24/2018	(None)	VARIOUS, KILLEEN		NAT'L INTEGRITY	B	0.00	9,033.19	0.00	9,033.19	86.0	7,768.54
07/31/2018	(None)	VARIOUS, KILLEEN		NAT'L INTEGRITY	B	0.00	3,256.17	0.00	3,256.17	86.0	2,800.31
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$20,764.42	\$0.00	\$20,764.42		\$17,857.40		
PRODUCT CATEGORY: LIFE INSURANCE											
07/24/2018	(None)	VARIOUS, KILLEEN		NATIONWIDE LIFE INS	B	0.00	72.45	0.00	72.45	86.0	62.31
* LIFE INSURANCE SUBTOTAL *				\$0.00	\$72.45	\$0.00	\$72.45		\$62.31		
*** BROKER TRANSACTIONS TOTAL ***				\$38,450.34	\$21,043.63	\$0.00	\$21,043.63		\$18,097.72		
ADJUSTMENTS											
07/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00		
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00		
07/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00		
07/31/2018		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00		
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00		

**BROKER COMMISSION TOTALS**

Broker Transactions	\$18,097.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.21
SIPC Assessment	\$-52.43

Check Amount	\$17,784.08
Year-to-date Earnings	\$144,408.06