



COMMISSION STATEMENT

July 30, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/25/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: 00086895596	BUY 2 Units @29.5400						
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$2.13
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
07/30/2018	***-**-49TI - GRAHAM, MONA	SAFE RETURN TRAILS	0.00	232.58	0.00	232.58	100.0	232.58
		BUY 1 Units @0.0000						
		* EQUITY INDEXED ANNUITIES SUBTOTAL *	\$0.00	\$232.58	\$0.00	\$232.58		\$232.58
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	***-**-036I - CROWL, LINDA	BLACKROCK BALANCED CAP FD CL C	0.00	46.80	0.00	46.80	85.0	39.78
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/31/2018	***-**-992I - SMITH, FBO MR	BLACKROCK BALANCED CAP FD CL C	0.00	30.53	0.00	30.53	85.0	25.95
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
07/31/2018	***-**-036I - CROWL, LINDA	BLACKROCK US MORTGAGE PORT CL C	0.00	16.93	0.00	16.93	85.0	14.39
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/31/2018	***-**-992I - SMITH, FBO MR	BLACKROCK US MORTGAGE PORT CL C	0.00	9.13	0.00	9.13	85.0	7.76
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
07/31/2018	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	0.00	6.07	0.00	6.07	85.0	5.16
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
07/31/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	6.27	0.00	6.27	85.0	5.33
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
07/31/2018	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	9.05	0.00	9.05	85.0	7.69
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
07/31/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.09	0.00	2.09	85.0	1.78
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
07/31/2018	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	3.02	0.00	3.02	85.0	2.57
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
07/31/2018	***-**-635I - TOBIN, MAUREEN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011912	BUY 1 Units @0.0000						
07/31/2018	***-**-830J - STRAUB, JANE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						



COMMISSION STATEMENT

July 30, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-881I - HOUSER, LORI	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/31/2018	***-**-65IR - NORNHOLD,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
07/31/2018	***-**-13IR - LOYCH, ALICE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
07/31/2018	***-**-376X - WAGNER, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	85.0	0.09
	ACCOUNT #: LSR010451	BUY 1 Units @0.0000						
07/31/2018	***-**-036I - CROWL, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.54	0.00	1.54	85.0	1.31
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/31/2018	***-**-184I - BEAVERSON, ROYCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
07/31/2018	***-**-228I - BUCHANAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
07/31/2018	***-**-949I - KLOKIS, DENISE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.27	0.00	0.27	85.0	0.23
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
07/31/2018	***-**-992I - SMITH, FBO MR	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.18	0.00	0.18	85.0	0.15
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
07/31/2018	***-**-276I - GASSWINT, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
07/31/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.84	0.00	9.84	85.0	8.36
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
07/31/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	11.49	0.00	11.49	85.0	9.77
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
07/31/2018	***-**-055O - ILGENFRITZ, TIM	NUVEEN REAL ASSET INCOME FUND C SHR	0.00	19.27	0.00	19.27	85.0	16.38
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
07/31/2018	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	25.28	0.00	25.28	85.0	21.49
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
07/31/2018	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.68	0.00	6.68	85.0	5.68
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$204.59	\$0.00	\$204.59		\$173.92



COMMISSION STATEMENT

July 30, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/27/2018	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	5.23	0.00	5.23	85.0	4.45
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
07/27/2018	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	5.23	0.00	5.23	85.0	4.45
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.46	\$0.00	\$10.46		\$8.90
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$450.13	\$0.00	\$450.13		\$417.53

BROKER COMMISSION TOTALS

Broker Transactions	\$417.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$417.53
Year-to-date Earnings	\$80,000.11