



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT

February 28, 2017

ERNEST DALE ANDERSON
6484 Los Altos NE

BROKER #: A122 Terminated 10/15/2014
BRANCH: ERNEST (DALE) ANDERS

Rockford, MI 49341-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 02/06/2017 | VAN BAAL, RUDOLF | AGI BEST STYLES INTRNTL EQ PORT MI- | 300.00 | 11.25 | 0.00 | 11.25 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | AGI BEST STYLES INTRNTL EQ PORT MI- | 250.00 | 9.38 | 0.00 | 9.38 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | AGI BEST STYLES US EQUITY PORT MI-A | 300.00 | 11.25 | 0.00 | 11.25 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | AGI BEST STYLES US EQUITY PORT MI-A | 250.00 | 9.38 | 0.00 | 9.38 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADV ALLIANZGI INC & GRWTH MI | 300.00 | 11.25 | 0.00 | 11.25 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADV ALLIANZGI INC & GRWTH MI | 500.00 | 18.75 | 0.00 | 18.75 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADV ALLIANZGI INC & GRWTH MI | 500.00 | 18.75 | 0.00 | 18.75 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADV TIAA-CREF SM-CAP BLEND I | 300.00 | 11.25 | 0.00 | 11.25 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADV TIAA-CREF SM-CAP BLEND I | 250.00 | 9.38 | 0.00 | 9.38 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADVISOR TIAA-CREF S&P 500 IN | 300.00 | 11.25 | 0.00 | 11.25 | 0.0 | 0.00 |
| 02/06/2017 | VAN BAAL, RUDOLF | MI 529 ADVISOR TIAA-CREF S&P 500 IN | 250.00 | 9.38 | 0.00 | 9.38 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$3,500.00 | \$131.27 | \$0.00 | \$131.27 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$3,500.00 | \$131.27 | \$0.00 | \$131.27 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-----------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$140.57 |
| Year-to-date Earnings | \$0.00 |