

**COMMISSION STATEMENT****October 15, 2017**BRION NORBY
4905 Prairie LaneBROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
10/02/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
10/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
10/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
10/10/2017	SIKOLE, ROBERT	COTTONWOOD MULTIFAMILY REIT II, INC	86,500.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$87,065.00	\$9.89	\$0.00	\$9.89		\$8.91
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
09/18/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
09/26/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
09/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
10/02/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-1,000.00	0.00	0.00	0.00	90.0	0.00
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.01	0.00	0.01	90.0	0.01
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
09/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.56	0.00	0.56	90.0	0.50
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$-1,000.00	\$0.99	\$0.00	\$0.99		\$0.89
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
10/02/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
10/02/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
10/02/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
10/02/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
10/04/2017	HEEN, JEREMY	HPI FUND V	60,000.00	1,440.00	0.00	1,440.00	90.0	1,296.00
09/28/2017	HEEN, JEREMY	HPI REAL ESTATE FUND V LLC	60,000.00	0.00	0.00	0.00	90.0	0.00
09/28/2017	HEEN, JEREMY	SHOPOFF COMMERCAL GROWTH AND INCOME	60,000.00	0.00	0.00	0.00	90.0	0.00
10/04/2017	HEEN, JEREMY	SHOPOFF COMMERCIAL GRWTH & INC FD 3	60,000.00	1,680.00	0.00	1,680.00	90.0	1,512.00
09/01/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	50.00	0.85	0.00	0.85	90.0	0.77
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$241,070.00	\$3,135.13	\$0.00	\$3,135.13		\$2,821.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336								
10/03/2017	HENNEN, STUART	DEERE & CO	-5,633.32	0.00	2.70	-2.70	90.0	-2.43
10/03/2017	HENNEN, STUART	EXXON MOBIL CORP COM	-4,750.78	0.00	2.70	-2.70	90.0	-2.43
10/03/2017	HENNEN, STUART	FIDELITY FREEDOM 2020 FUND	-11,381.80	0.00	2.64	-2.64	90.0	-2.38
10/03/2017	HENNEN, STUART	FIDELITY FREEDOM TWENTY THIRTY FUND	-4,123.05	0.00	2.64	-2.64	90.0	-2.38
10/03/2017	HENNEN, STUART	MOSAIC COMPANY NEW	-2,715.43	0.00	2.70	-2.70	90.0	-2.43
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$-28,604.38	\$0.00	\$13.38	\$-13.38		\$-12.05
*** SPLIT TRANSACTIONS TOTAL ***			\$298,530.62	\$3,146.01	\$13.38	\$3,132.63		\$2,819.37

ADJUSTMENTS

10/15/2017	*CONCIERGE SVC: 199917,199942-HEEN	0.00	-40.00
10/15/2017	*CONCIERGE SVC: 199960,200666-HEEN	0.00	-40.00
10/15/2017	*CONCIERGE SVC: 200109-ALEXANDER	0.00	-5.50
10/15/2017	*CONCIERGE SVC: 200201-GANTVERG	0.00	-5.50
10/15/2017	*CONCIERGE SVC: 200676-HEEN	0.00	-20.00
10/15/2017	*CONCIERGE SVC: 200745,200748-KRING	0.00	-40.00
10/15/2017	*CONCIERGE SVC: 200910-MONETTE	0.00	-20.00
10/15/2017	*EO INS: CURRENT MONTH INS	0.00	-300.00
10/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-1.50
10/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-0.48
10/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-3.60
10/15/2017	*PERSHING: ETF PROSPECTUS	0.00	-0.42
10/15/2017	*RBC: WRITE OFF-7A502924	0.00	-0.35
10/15/2017	*RBC: WRITE OFF-7A502925	0.00	-0.36
10/15/2017	*RBC: WRITE OFF-7A502936	0.00	-0.74
10/15/2017	*RBC: WRITE OFF-7A502948	0.00	-0.27
10/15/2017	*RBC: WRITE OFF-7A502950	0.00	-8.73
10/15/2017	*RBC: WRITE OFF-7A502960	0.00	-0.61
10/15/2017	*RBC: WRITE OFF-7A502961	0.00	-0.16
10/15/2017	*RBC: WRITE OFF-7A502964	0.00	-0.03
10/15/2017	*RBC: WRITE OFF-7A502967	0.00	-0.21
10/15/2017	*RBC: WRITE OFF-7A502985	0.00	-5.48

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<u>ADJUSTMENTS</u>								
10/15/2017		*REGISTRATION FEE: AZ-INDIVIDUAL	0.00					-45.00
10/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-813.94

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$2,819.37
Override Transactions	\$0.00
Adjustments	\$-813.94
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-463.95
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,541.48
Year-to-date Gross	\$38,366.23
Year-to-date Earnings	\$34,461.77

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