

**COMMISSION STATEMENT****November 15, 2017**

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/25/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
11/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
11/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	10.63	80.0	8.50	0.00	8.50
10/31/2017	MATHEWS, DALE	FRANKLIN INCOME C	0.00	184.64	80.0	147.71	0.00	147.71
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.60	80.0	0.48	0.00	0.48
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.32	80.0	0.26	0.00	0.26
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.30	80.0	0.24	0.00	0.24
10/16/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
10/25/2017	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
10/16/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
11/03/2017	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	1,376.61	68.83	80.0	55.06	0.00	55.06
11/01/2017	GRIMMETT, JAMES	PUTNAM GLOBAL TECH C	3,000.00	30.00	80.0	24.00	0.00	24.00
11/01/2017	MOORE, TONI	PUTNAM GROWTH OPP A	2,500.00	125.00	80.0	100.00	0.00	100.00
11/01/2017	PLAN	PUTNAM GROWTH OPP A	5,000.00	250.00	80.0	200.00	0.00	200.00
11/01/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH OPP A	4,000.00	200.00	80.0	160.00	0.00	160.00
10/16/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	66.45	0.66	80.0	0.53	0.00	0.53
10/26/2017	UNIF TRANSFER TO M/A, U/OK	PUTNAM GROWTH OPP C	2,000.00	20.00	80.0	16.00	0.00	16.00
11/01/2017	GRIMMETT, JAMES	PUTNAM GROWTH OPP C	2,873.78	28.74	80.0	22.99	0.00	22.99
10/20/2017	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/20/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40
10/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/25/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/25/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
10/16/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.82	80.0	0.66	0.00	0.66
/ /	MORIELS, FELICIA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	3.92	80.0	3.14	0.00	3.14
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.99	80.0	0.79	0.00	0.79
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	2.46	80.0	1.97	0.00	1.97
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	2.87	80.0	2.30	0.00	2.30
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	ROLLINS, LISA	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX ENERGY SERVICES C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX ENERGY SERVICES C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	DOWNING, JOHN	RYDEX ENERGY SERVICES C	0.00	0.95	80.0	0.76	0.00	0.76
/ /	ACOSTA, JF	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX ENERGY SERVICES C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	BENSON, KARLA	RYDEX ENERGY SERVICES C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	RYDEX ENERGY SERVICES C	0.00	0.26	80.0	0.21	0.00	0.21

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	VOCI, ROBERTO	RYDEX ENERGY SERVICES C	0.00	0.65	80.0	0.52	0.00	0.52
/ /	WILLIAMS, JACKIE	RYDEX ENERGY SERVICES C	0.00	0.73	80.0	0.58	0.00	0.58
/ /	WRIGHT, MARK	RYDEX ENERGY SERVICES C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX ENERGY SERVICES C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ARRINGTON, NANCY	RYDEX ENERGY SERVICES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX ENERGY SERVICES C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX ENERGY SERVICES C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON-HILL, MICHELE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.79	80.0	0.63	0.00	0.63
/ /	MORIELS, FELICIA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	3.85	80.0	3.08	0.00	3.08
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.67	80.0	0.54	0.00	0.54
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.00	80.0	0.80	0.00	0.80
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	2.48	80.0	1.98	0.00	1.98
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	2.87	80.0	2.30	0.00	2.30
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	WHITETREE, THOMAS	RYDEX GUGG ELECTRONICS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GUGG ELECTRONICS A	0.00	0.02	80.0	0.02	0.00	0.02

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	0.94	80.0	0.75	0.00	0.75
/ /	ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG ELECTRONICS C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	RYDEX GUGG ELECTRONICS C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WILLIAMS, JACKIE	RYDEX GUGG ELECTRONICS C	0.00	0.64	80.0	0.51	0.00	0.51
/ /	WRIGHT, MARK	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	ARRINGTON, NANCY	RYDEX GUGG ELECTRONICS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.45	80.0	0.36	0.00	0.36
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.29	80.0	0.23	0.00	0.23
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	RYDEX GUGG ENERGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	LIVELY, ELIZABETH	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX GUGG ENERGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG ENERGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	WHITETREE, THOMAS	RYDEX GUGG ENERGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG ENERGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.55	80.0	0.44	0.00	0.44
/ /	LIVELY, ELIZABETH	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG ENERGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX GUGG ENERGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GUGG ENERGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG ENERGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX GUGG ENERGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG ENERGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX GUGG ENERGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	DOWNING, JOHN	RYDEX GUGG ENERGY C	0.00	1.05	80.0	0.84	0.00	0.84
/ /	ACOSTA, JF	RYDEX GUGG ENERGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG ENERGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX GUGG ENERGY C	0.00	0.06	80.0	0.05	0.00	0.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MCFERRON, LINDA	RYDEX GUGG ENERGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	VOCI, ROBERTO	RYDEX GUGG ENERGY C	0.00	0.69	80.0	0.55	0.00	0.55
/ /	WILLIAMS, JACKIE	RYDEX GUGG ENERGY C	0.00	0.74	80.0	0.59	0.00	0.59
/ /	WRIGHT, MARK	RYDEX GUGG ENERGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG ENERGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG ENERGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ARRINGTON, NANCY	RYDEX GUGG ENERGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG ENERGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX GUGG ENERGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.19	80.0	0.15	0.00	0.15
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.99	80.0	0.79	0.00	0.79
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.13	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.25	80.0	0.20	0.00	0.20
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.61	80.0	0.49	0.00	0.49
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.69	80.0	0.55	0.00	0.55
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.07	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.34	80.0	1.07	0.00	1.07
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.14	80.0	0.11	0.00	0.11
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.90	80.0	0.72	0.00	0.72
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.18	80.0	0.14	0.00	0.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.25	80.0	0.20	0.00	0.20
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.32	80.0	0.26	0.00	0.26
/ /	WHITETREE, THOMAS	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.26	80.0	0.21	0.00	0.21
/ /	LIVELY, ELIZABETH	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ROLLINS, LISA	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.14	80.0	0.11	0.00	0.11
/ /	DOWNING, JOHN	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.62	80.0	0.50	0.00	0.50
/ /	ACOSTA, JF	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MCFERRON, LINDA	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.17	80.0	0.14	0.00	0.14
/ /	VOCI, ROBERTO	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.42	80.0	0.34	0.00	0.34
/ /	WILLIAMS, JACKIE	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.47	80.0	0.38	0.00	0.38
/ /	WRIGHT, MARK	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.10	80.0	0.08	0.00	0.08
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.05	80.0	0.04	0.00	0.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.31	80.0	0.25	0.00	0.25
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	1.28	80.0	1.02	0.00	1.02
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX JAPAN 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MCFERRON, LINDA	RYDEX JAPAN 2X STRATEGY C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.81	80.0	0.65	0.00	0.65
/ /	WILLIAMS, JACKIE	RYDEX JAPAN 2X STRATEGY C	0.00	0.89	80.0	0.71	0.00	0.71
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	ARRINGTON, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.10	80.0	0.08	0.00	0.08
11/01/2017	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	50.00	2.00	80.0	1.60	0.00	1.60
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.34	80.0	0.27	0.00	0.27
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.04	80.0	0.03	0.00	0.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.33	80.0	0.26	0.00	0.26
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.05	80.0	0.04	0.00	0.04
* MUTUAL FUNDS SUBTOTAL *			\$23,091.84	\$1,022.02		\$817.61	\$0.00	\$817.61

PRODUCT CATEGORY: VARIABLE ANNUITIES

10/31/2017	HERNDON, RONALD	JNL ELITE ACCESS	25,731.96	8.58	80.0	6.86	0.00	6.86
10/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	6,093.97	1.27	80.0	1.02	0.00	1.02
10/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,182.51	0.45	80.0	0.36	0.00	0.36
10/31/2017	CASTLE, DAVID	JNL ELITE ACCESS	2,756.65	0.57	80.0	0.46	0.00	0.46
10/31/2017	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,948.10	0.61	80.0	0.49	0.00	0.49
10/31/2017	PYLE JR, JUSTIN	JNL ELITE ACCESS	29,665.03	6.18	80.0	4.94	0.00	4.94
10/31/2017	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	9,436.10	1.97	80.0	1.58	0.00	1.58
10/31/2017	RAY, RANDY	JNL PERSPECTIVE II 04/14	28,651.86	11.94	80.0	9.55	0.00	9.55
10/31/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	49,025.45	10.21	80.0	8.17	0.00	8.17
10/31/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	8,611.03	7.18	80.0	5.74	0.00	5.74
10/31/2017	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,412.97	0.29	80.0	0.23	0.00	0.23
10/31/2017	HIPP, RONALD	JNL PERSPECTIVE II 05/05	10,346.61	2.16	80.0	1.73	0.00	1.73
10/31/2017	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	8,491.78	1.77	80.0	1.42	0.00	1.42
10/31/2017	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	84,000.35	17.50	80.0	14.00	0.00	14.00
10/31/2017	MCFERRON, LINDA	JNL PERSPECTIVE L	17,147.82	18.58	80.0	14.86	0.00	14.86
10/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	30,902.05	25.75	80.0	20.60	0.00	20.60
10/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	11,036.44	11.96	80.0	9.57	0.00	9.57
10/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	34,354.66	28.63	80.0	22.90	0.00	22.90
10/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	17,547.37	19.01	80.0	15.21	0.00	15.21
10/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	12,045.99	10.04	80.0	8.03	0.00	8.03
10/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	12,848.39	13.92	80.0	11.14	0.00	11.14
10/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	7,267.42	6.06	80.0	4.85	0.00	4.85
10/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	12,112.36	13.12	80.0	10.50	0.00	10.50
10/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	11,145.59	9.29	80.0	7.43	0.00	7.43

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,189.90	11.04	80.0	8.83	0.00	8.83
10/31/2017	WILSON, TIM	JNL PERSPECTIVE L	25,057.97	20.88	80.0	16.70	0.00	16.70
10/31/2017	WILSON, TIM	JNL PERSPECTIVE L	10,296.57	11.15	80.0	8.92	0.00	8.92
10/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	17,563.42	14.64	80.0	11.71	0.00	11.71
10/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	10,354.90	11.22	80.0	8.98	0.00	8.98
10/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	48,438.06	40.37	80.0	32.30	0.00	32.30
10/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	10,751.77	11.65	80.0	9.32	0.00	9.32
10/31/2017	MOORE, TONI	JNL PERSPECTIVE L	237,911.37	257.74	80.0	206.19	0.00	206.19
10/31/2017	MICHENER, DAVID	JNL PERSPECTIVE L	278,263.14	231.89	80.0	185.51	0.00	185.51
10/31/2017	LEMMOND, MARY	JNL PERSPECTIVE L	20,719.52	22.45	80.0	17.96	0.00	17.96
10/31/2017	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,583.53	2.15	80.0	1.72	0.00	1.72
10/31/2017	BRANSTETTER, TODD	JNL PERSPECTIVE L	13,684.93	11.40	80.0	9.12	0.00	9.12
10/31/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	20,763.51	17.30	80.0	13.84	0.00	13.84
10/31/2017	POORE, NANCY	JNL PERSPECTIVE L	54,596.05	45.50	80.0	36.40	0.00	36.40
10/31/2017	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	60,044.90	50.04	80.0	40.03	0.00	40.03
10/31/2017	CASTLE, DAVID	JNL PERSPECTIVE L	121,593.81	101.33	80.0	81.06	0.00	81.06
10/31/2017	FARIS, THERESA ANN	JNL PERSPECTIVE L	35,576.37	29.65	80.0	23.72	0.00	23.72
10/31/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	98,941.01	82.45	80.0	65.96	0.00	65.96
10/31/2017	MCKIDDY, BOBBY	JNL PERSPECTIVE L	20,812.47	17.34	80.0	13.87	0.00	13.87
10/31/2017	FOX, JERALDINE	JNL PERSPECTIVE L	120,189.68	100.16	80.0	80.13	0.00	80.13
10/31/2017	DOWNING, JOHN	JNL PERSPECTIVE L	12,609.53	10.51	80.0	8.41	0.00	8.41
10/31/2017	DOWDY, JIMMIE	JNL PERSPECTIVE L	14,683.10	12.24	80.0	9.79	0.00	9.79
10/31/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	7,071.93	5.89	80.0	4.71	0.00	4.71
10/31/2017	STANFORD, DAVID	JOHN HANCOCK VENTURE	10,007.81	2.08	80.0	1.66	0.00	1.66
10/26/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	5.17	80.0	4.14	0.00	4.14
10/27/2017	SMIT, CONRAD	PAC LIFE INNOVATIVE SELECT	0.00	73.49	80.0	58.79	0.00	58.79
10/30/2017	FRANKS, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	53.18	80.0	42.54	0.00	42.54
10/30/2017	DUREN, DENNIS	PAC LIFE INNOVATIVE SELECT	0.00	24.40	80.0	19.52	0.00	19.52
11/03/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
10/24/2017	GARRISON, JENNIFER	PAC LIFE PACIFIC CHOICE	0.00	7.16	80.0	5.73	0.00	5.73
10/26/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	3.48	80.0	2.78	0.00	2.78
10/17/2017	CARROLL, ARDITH	PAC LIFE VALUE	0.00	8.37	80.0	6.70	0.00	6.70
10/25/2017	MCFERRON, LINDA	PAC LIFE VALUE	0.00	29.97	80.0	23.98	0.00	23.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/26/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	13.20	80.0	10.56	0.00	10.56
10/26/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	8.80	80.0	7.04	0.00	7.04
10/26/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	13.76	80.0	11.01	0.00	11.01
10/26/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	10.56	80.0	8.45	0.00	8.45
10/26/2017	FISHER, SARA	PAC LIFE VALUE	0.00	10.50	80.0	8.40	0.00	8.40
10/27/2017	SELENSKY, FRED	PAC LIFE VALUE	0.00	38.47	80.0	30.78	0.00	30.78
10/30/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	101.17	80.0	80.94	0.00	80.94
* VARIABLE ANNUITIES SUBTOTAL *			\$1,698,467.71	\$1,754.79		\$1,403.84	\$0.00	\$1,403.84
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2017	SWENSON, ANNE	AM FUNDS AMCAP A	0.00	1.08	80.0	0.86	0.00	0.86
10/19/2017	KRAUS, AMANDA	AM FUNDS AMERICAN BALANCED C	0.00	7.69	80.0	6.15	0.00	6.15
10/19/2017	PAUL, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	1.69	80.0	1.35	0.00	1.35
10/19/2017	DAILEY, TREVA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.12	80.0	1.70	0.00	1.70
10/19/2017	PAUL, MARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.72	80.0	1.38	0.00	1.38
10/19/2017	SWENSON, ANNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.47	80.0	1.18	0.00	1.18
10/19/2017	PAUL, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	80.0	1.10	0.00	1.10
10/19/2017	REEVES, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.97	80.0	0.78	0.00	0.78
10/19/2017	PAUL, MARY	AM FUNDS NEW ECONOMY A	0.00	1.13	80.0	0.90	0.00	0.90
10/19/2017	DAILEY, TREVA	AM FUNDS NEW ECONOMY A	0.00	3.67	80.0	2.94	0.00	2.94
10/19/2017	REEVES, KATHLEEN	AM FUNDS NEW ECONOMY A	0.00	3.33	80.0	2.66	0.00	2.66
10/19/2017	KRAUS, AMANDA	AM FUNDS NEW ECONOMY C	0.00	8.14	80.0	6.51	0.00	6.51
10/19/2017	SWENSON, WALTER	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	80.0	0.87	0.00	0.87
10/19/2017	LAYFIELD-ALBRITTON, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	1.33	80.0	1.06	0.00	1.06
10/19/2017	DAILEY, TREVA	AM FUNDS WASHINGTON MUTUAL	0.00	2.69	80.0	2.15	0.00	2.15
10/23/2017	LAYFIELD-ALBRITTON, KIMBERLY	FRANKLIN SMALL CAP GROWTH C	0.00	0.97	80.0	0.78	0.00	0.78
10/23/2017	LAWTON, E ANN	FRANKLIN UTILITIES A	0.00	3.75	80.0	3.00	0.00	3.00
10/23/2017	PAUL, MARY	FRANKLIN UTILITIES A	0.00	0.82	80.0	0.66	0.00	0.66
10/19/2017	LAWTON, E ANN	OPPENHEIMER DEVELOPING MARKETS C	0.00	7.88	80.0	6.30	0.00	6.30
10/19/2017	KRAUS, TIM	OPPENHEIMER DEVELOPING MARKETS C	0.00	11.08	80.0	8.86	0.00	8.86
10/13/2017	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	17.56	80.0	14.05	0.00	14.05
10/13/2017	CASTLE, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	12.25	80.0	9.80	0.00	9.80
10/13/2017	LAWTON, E ANN	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.43	80.0	1.14	0.00	1.14
10/13/2017	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	3.21	80.0	2.57	0.00	2.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/13/2017	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.32	80.0	1.06	0.00	1.06
10/13/2017	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	7.52	80.0	6.02	0.00	6.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$107.29		\$85.83	\$0.00	\$85.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/30/2017	SMITH, NANCY	JEFFERSON NAT'L TRAILS	0.00	89.01	80.0	71.21	0.00	71.21
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$89.01		\$71.21	\$0.00	\$71.21
*** DIRECT TRANSACTIONS TOTAL ***			\$1,721,559.55	\$2,973.11		\$2,378.49	\$0.00	\$2,378.49

ADJUSTMENTS

11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
11/15/2017		*GLOBAL RELAY: FACEBOOK	0.00					-5.00
11/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
11/15/2017		*MARKETING PRO: MARKETING LIBRARY	0.00					-20.00
11/15/2017		*PERSHING: TRANS TO COLLECT T	0.00					-50.95
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-577.95	\$0.00	\$-577.95

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,378.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-577.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,800.54
Year-to-date Gross	\$58,749.34
Year-to-date Earnings	\$46,122.77