



Concorde Investment Services, LLC

10/11/2017

Page No. 1

# COMMISSION STATEMENT

October 15, 2017

BARTHOLOMEW MACKLER  
1359 W. Fairview Lane

BROKER #: M121  
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
08/31/2017	MACKLER, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	16.07	0.00	16.07	90.0	14.46
08/31/2017	MACKLER, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	128.20	0.00	128.20	90.0	115.38
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$144.27	\$0.00	\$144.27		\$129.84
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	34.21	0.00	34.21	90.0	30.79
09/12/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	0.00	6.19	0.00	6.19	90.0	5.57
09/12/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.31	0.00	13.31	90.0	11.98
10/02/2017	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
09/12/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.58	0.00	18.58	90.0	16.72
09/12/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.41	0.00	10.41	90.0	9.37
09/12/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.00	0.00	6.00	90.0	5.40
09/12/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.49	0.00	5.49	90.0	4.94
10/02/2017	WHEELER, PAUL	AM FUNDS ICA C	60.00	0.60	0.00	0.60	90.0	0.54
10/02/2017	LOUDERMILK, SCOTT	AM FUNDS ICA C	320.00	3.20	0.00	3.20	90.0	2.88
10/02/2017	LOCKHART, JOSEPH	AM FUNDS ICA C	480.00	4.80	0.00	4.80	90.0	4.32
09/12/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.49	0.00	5.49	90.0	4.94
09/12/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.81	0.00	45.81	90.0	41.23
09/12/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.44	0.00	3.44	90.0	3.10
09/12/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.66	0.00	4.66	90.0	4.19
09/12/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.25	0.00	2.25	90.0	2.03
09/12/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.82	0.00	1.82	90.0	1.64
09/12/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.36	0.00	1.36	90.0	1.22
09/12/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	90.0	0.08
09/12/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.07	0.00	0.07	90.0	0.06
09/12/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.05	0.00	0.05	90.0	0.05
09/12/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.83	0.00	4.83	90.0	4.35

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.06	0.00	4.06	90.0	3.65
09/12/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.83	0.00	0.83	90.0	0.75
09/12/2017	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.78	0.00	2.78	90.0	2.50
09/12/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.71	0.00	3.71	90.0	3.34
/ /	BORR, DIANE	OPPENHEIMER EQUITY INCOME A	0.00	21.80	0.00	21.80	90.0	19.62
/ /	LOWELL, CATHY	OPPENHEIMER GLOBAL A	0.00	9.08	0.00	9.08	90.0	8.17
/ /	GAFFNEY, PAUL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.73	0.00	0.73	90.0	0.66
/ /	MAPLEY, CRISSELLA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	9.07	0.00	9.07	90.0	8.16
/ /	WAGNER, CHARLES	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	12.67	0.00	12.67	90.0	11.40
/ /	BORR, RUDOLPH	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	5.03	0.00	5.03	90.0	4.53
09/12/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.10	0.00	0.10	90.0	0.09
09/12/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.74	0.00	0.74	90.0	0.67
09/12/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.46	0.00	0.46	90.0	0.41
09/12/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.96	0.00	0.96	90.0	0.86
09/12/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.81	0.00	0.81	90.0	0.73
09/12/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.34	0.00	1.34	90.0	1.21
09/12/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.01	0.00	1.01	90.0	0.91
/ /	BORR, DIANE	OPPENHEIMER INTL DIVERSIFIED A	0.00	12.55	0.00	12.55	90.0	11.30
09/12/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.09	0.00	7.09	90.0	6.38
09/12/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.80	0.00	5.80	90.0	5.22
/ /	GAFFNEY, PAUL	OPPENHEIMER MAIN STREET A	0.00	3.46	0.00	3.46	90.0	3.11
/ /	CHATTULANI, DIANA	OPPENHEIMER MAIN STREET A	0.00	12.89	0.00	12.89	90.0	11.60
10/02/2017	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.48	0.00	1.48	90.0	1.33
10/02/2017	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	6.14	0.00	6.14	90.0	5.53
09/12/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.48	0.00	6.48	90.0	5.83
10/02/2017	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.34	0.00	1.34	90.0	1.21
10/02/2017	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	7.60	0.00	7.60	90.0	6.84
10/02/2017	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.28	0.00	2.28	90.0	2.05
10/02/2017	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.51	0.00	1.51	90.0	1.36
10/02/2017	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.65	0.00	2.65	90.0	2.39
10/02/2017	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	0.92	0.00	0.92	90.0	0.83
10/02/2017	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	1.93	0.00	1.93	90.0	1.74
09/12/2017	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.71	0.00	1.71	90.0	1.54

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/03/2017	MACKLER, PATRICK	TRANSAMERICA AA MODERATE A	0.00	1.16	0.00	1.16	90.0	1.04
10/03/2017	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.29	0.00	9.29	90.0	8.36
10/03/2017	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	30.19	0.00	30.19	90.0	27.17
10/03/2017	MACKLER, PATRICK	TRANSAMERICA MULTI MGR INTL A	0.00	0.96	0.00	0.96	90.0	0.86
* MUTUAL FUNDS SUBTOTAL *			\$910.00	\$367.77	\$0.00	\$367.77		\$331.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
09/12/2017	HERRADA, ROBERT	BANK AMER CORP COM	23,957.40	272.00	14.00	258.00	90.0	232.20
09/20/2017	HERRADA, ROBERT	BANK AMER CORP COM	25,122.60	104.00	14.00	90.00	90.0	81.00
09/20/2017	HERRADA, ROBERT	BED BATH & BEYOND INC COM	8,720.96	72.00	14.00	58.00	90.0	52.20
09/26/2017	HERRADA, ROBERT	BED BATH & BEYOND INC COM	8,762.56	25.00	14.00	11.00	90.0	9.90
09/13/2017	BORR, RUDOLPH	FITBIT INC CL A	13,390.01	92.00	14.00	78.00	90.0	70.20
09/27/2017	BORR, RUDOLPH	FITBIT INC CL A	7,035.51	50.00	14.00	36.00	90.0	32.40
09/27/2017	MAZURKIEWICZ, JOHN	GRAHAM CORPORATION	17,281.27	242.00	14.00	228.00	90.0	205.20
09/27/2017	BORR, RUDOLPH	GRAHAM CORPORATION	20,330.90	152.00	14.00	138.00	90.0	124.20
09/13/2017	BORR, RUDOLPH	JUNO THERAPEUTICS INC	13,629.00	92.00	14.00	78.00	90.0	70.20
09/14/2017	QUENNEVILLE, JEROME	JUNO THERAPEUTICS INC	13,836.00	92.00	14.00	78.00	90.0	70.20
09/15/2017	HORTON, RICHARD	JUNO THERAPEUTICS INC	15,548.40	132.00	14.00	118.00	90.0	106.20
09/07/2017	HERRADA, ROBERT	KOHL'S CORP COM	21,210.54	162.00	14.00	148.00	90.0	133.20
09/08/2017	BORR, RUDOLPH	KOHL'S CORP COM	31,569.60	252.00	14.00	238.00	90.0	214.20
09/26/2017	HERRADA, ROBERT	LENNAR CORP CL A	25,558.50	292.00	14.00	278.00	90.0	250.20
09/15/2017	HORTON, NANCY	MACYS INC	22,567.00	192.00	14.00	178.00	90.0	160.20
09/15/2017	JOHNSON, WILLIAM	MACYS INC	16,925.25	178.00	14.00	164.00	90.0	147.60
09/15/2017	GEDIGIAN, NARSEES	MACYS INC	9,030.64	65.00	14.00	51.00	90.0	45.90
09/15/2017	ROGERS, J MARY	MACYS INC	22,577.00	284.00	14.00	270.00	90.0	243.00
09/22/2017	MACKLER, PATRICK	PARK ELECTROCHEMICAL CORP	4,069.03	62.00	14.00	48.00	90.0	43.20
* STOCKS SUBTOTAL *			\$321,122.17	\$2,812.00	\$266.00	\$2,546.00		\$2,291.40
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/29/2017	MEDIODIA, GIL	ALLIANZ ALTERITY OPTIONS	30,199.87	37.75	0.00	37.75	90.0	33.98
09/30/2017	DAMRON, ROBERT	JNL PERSPECTIVE	277,889.39	57.89	0.00	57.89	90.0	52.10
09/30/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,732.37	0.36	0.00	0.36	90.0	0.32
09/30/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,513.51	1.77	0.00	1.77	90.0	1.59
09/30/2017	ROHLOFF, CARL	JNL PERSPECTIVE	14,847.27	3.09	0.00	3.09	90.0	2.78

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	SCHNELL, JAMES	JNL PERSPECTIVE	5,069.42	2.11	0.00	2.11	90.0	1.90
09/30/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	25,488.56	10.62	0.00	10.62	90.0	9.56
09/30/2017	RYAN, NANCY	JNL PERSPECTIVE L	63,550.65	52.96	0.00	52.96	90.0	47.66
09/30/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	50,689.82	42.24	0.00	42.24	90.0	38.02
09/30/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	39,187.08	32.66	0.00	32.66	90.0	29.39
09/25/2017	WAGNER, CHARLES	PAC LIFE	0.00	220.79	0.00	220.79	90.0	198.71
09/30/2017	O'BRIEN, ROBERT	PRU AS ADVISOR PLAN III	102,158.65	63.85	0.00	63.85	90.0	57.47
09/30/2017	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	29,490.96	18.43	0.00	18.43	90.0	16.59
09/30/2017	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	29,490.96	18.43	0.00	18.43	90.0	16.59
09/30/2017	KIDD-LOVE, RHONDA	PRU PREMIER RETIREMENT B	19,680.25	12.30	0.00	12.30	90.0	11.07
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$697,988.76</b>	<b>\$575.25</b>	<b>\$0.00</b>	<b>\$575.25</b>		<b>\$517.73</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,020,020.93</b>	<b>\$3,899.29</b>	<b>\$266.00</b>	<b>\$3,633.29</b>		<b>\$3,269.97</b>
<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*CONCIERGE SVC: 199521-PREDETEANU	0.00					-50.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-625.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,269.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-625.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,644.97
Year-to-date Gross	\$64,433.49
Year-to-date Earnings	\$62,912.88