



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
Page No. 1

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
03/29/2018	DORSO, FRANK	GENERAL CANNABIS CORP COM	-1,105.00	0.00	22.75	-22.75	100.0	-22.75
		* STOCKS SUBTOTAL *	\$-1,105.00	\$0.00	\$22.75	\$-22.75		\$-22.75
		*** CLEARING TRANSACTIONS TOTAL ***	\$-1,105.00	\$0.00	\$22.75	\$-22.75		\$-22.75
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/03/2018	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	722,094.09	613.29	0.00	613.29	100.0	613.29
03/31/2018	TRAINOR, EDWARD	JNL PERSPECTIVE L	63,590.09	52.99	0.00	52.99	100.0	52.99
03/31/2018	MAZZATTI, LEONARD	PRU PREMIER RETIREMENT L	98,383.59	245.96	0.00	245.96	100.0	245.96
03/31/2018	SPODEK	PRU PREMIER RETIREMENT L	223,252.42	558.13	0.00	558.13	100.0	558.13
03/29/2018	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	55,910.02	46.59	0.00	46.59	100.0	46.59
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,163,230.21	\$1,516.96	\$0.00	\$1,516.96		\$1,516.96
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/23/2018	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.64	0.00	2.64	100.0	2.64
03/23/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.27	0.00	4.27	100.0	4.27
03/14/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.02	0.00	2.02	100.0	2.02
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$8.93	\$0.00	\$8.93		\$8.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2018	LOYE, ARTHUR	LINCOLN BENEFIT TRAIL RENEWALS	165,159.33	103.22	0.00	103.22	100.0	103.22
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$165,159.33	\$103.22	\$0.00	\$103.22		\$103.22
		*** DIRECT TRANSACTIONS TOTAL ***	\$1,328,389.54	\$1,629.11	\$0.00	\$1,629.11		\$1,629.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR #251</u>								
03/08/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.41	0.00	2.41	100.0	2.41
* DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR SUBTOTAL *			\$0.00	\$2.41	\$0.00	\$2.41		\$2.41
<u>BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252</u>								
03/08/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.17	0.00	1.17	100.0	1.17
03/08/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.78	0.00	2.78	100.0	2.78
03/08/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.17	0.00	1.17	100.0	1.17
03/19/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.39	0.00	3.39	100.0	3.39
03/19/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.83	0.00	1.83	100.0	1.83
03/19/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.77	0.00	2.77	100.0	2.77
03/23/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.28	0.00	2.28	100.0	2.28
03/23/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.23	0.00	0.23	100.0	0.23
03/23/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.20	0.00	0.20	100.0	0.20
03/23/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	100.0	0.30
03/23/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.15	0.00	0.15	100.0	0.15
03/23/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.10	0.00	0.10	100.0	0.10
* DAN/JEFFREY FT-1JR NY RAUPP/KIES SUBTOTAL *			\$0.00	\$16.37	\$0.00	\$16.37		\$16.37
<u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u>								
03/08/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.84	0.00	0.84	100.0	0.84
03/08/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.37	0.00	0.37	100.0	0.37
03/08/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.90	0.00	0.90	100.0	0.90
03/19/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.48	0.00	0.48	100.0	0.48
03/19/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.93	0.00	1.93	100.0	1.93
03/14/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.21	0.00	1.21	100.0	1.21
03/19/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.49	0.00	0.49	100.0	0.49
03/19/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.81	0.00	0.81	100.0	0.81
03/19/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	100.0	0.12
03/23/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.42	0.00	0.42	100.0	0.42
03/23/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	100.0	0.39
03/23/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.69	0.00	0.69	100.0	0.69
03/23/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.09	0.00	0.09	100.0	0.09
03/23/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.54	0.00	0.54	100.0	0.54
03/23/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	100.0	0.34

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u>								
03/23/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.19	0.00	0.19	100.0	0.19
03/23/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.42	0.00	0.42	100.0	0.42
03/20/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	4.80	0.00	4.80	100.0	4.80
* JEFFREY/DAN FT- BUM NY KIESNOSKI SUBTOTAL *			\$0.00	\$15.03	\$0.00	\$15.03		\$15.03
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
03/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
03/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.20	0.00	0.20	100.0	0.20
03/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.04	0.00	0.04	100.0	0.04
03/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.57	0.00	0.57	100.0	0.57
03/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.48	0.00	0.48	100.0	0.48
03/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.73	0.00	2.73	100.0	2.73
03/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.42	0.00	2.42	100.0	2.42
03/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.19	0.00	0.19	100.0	0.19
03/23/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.13	0.00	0.13	100.0	0.13
03/12/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.33	0.00	0.33	100.0	0.33
03/12/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.45	0.00	1.45	100.0	1.45
/ /	MORROUN, STEVEN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.15	0.00	0.15	100.0	0.15
/ /	MORROUN, STEVEN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.19	0.00	0.19	100.0	0.19
03/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.62	0.00	0.62	100.0	0.62
04/06/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	-9,319.47	7.70	7.70	0.00	100.0	0.00
04/06/2018	BARRY, ROBERT	VANGUARD WORLD FD VANGUARD MEGA CAP	11,476.02	0.00	7.86	-7.86	100.0	-7.86
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$2,156.55	\$17.28	\$15.56	\$1.72		\$1.72
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
03/28/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.02	0.00	2.02	100.0	2.02
03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.76	0.00	6.76	100.0	6.76
03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.70	0.00	5.70	100.0	5.70
03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.09	0.00	17.09	100.0	17.09
03/01/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.33	0.00	5.33	100.0	5.33
03/01/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.99	0.00	15.99	100.0	15.99
03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.13	0.00	6.13	100.0	6.13
03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.17	0.00	5.17	100.0	5.17
03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.50	0.00	15.50	100.0	15.50

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
03/23/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.83	0.00	4.83	100.0	4.83
03/23/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.50	0.00	14.50	100.0	14.50
03/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.82	0.00	4.82	100.0	4.82
03/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.47	0.00	14.47	100.0	14.47
03/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.08	0.00	3.08	100.0	3.08
03/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.26	0.00	9.26	100.0	9.26
03/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.74	0.00	4.74	100.0	4.74
03/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.23	0.00	14.23	100.0	14.23
03/19/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.00	0.00	2.00	100.0	2.00
03/19/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.89	0.00	1.89	100.0	1.89
03/19/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.27	0.00	2.27	100.0	2.27
03/19/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.57	0.00	1.57	100.0	1.57
03/23/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.38	0.00	0.38	100.0	0.38
03/23/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.21	0.00	8.21	100.0	8.21
03/23/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	100.0	0.32
03/12/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	63.44	0.00	63.44	100.0	63.44
03/14/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.07	0.00	2.07	100.0	2.07
* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *			\$0.00	\$231.77	\$0.00	\$231.77		\$231.77
<u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u>								
03/15/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.21	0.00	8.21	100.0	8.21
03/19/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.91	0.00	0.91	100.0	0.91
03/23/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.76	0.00	5.76	100.0	5.76
03/23/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.36	0.00	2.36	100.0	2.36
03/23/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.80	0.00	3.80	100.0	3.80
03/23/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.04	0.00	1.04	100.0	1.04
03/23/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.48	0.00	8.48	100.0	8.48
03/23/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.76	0.00	4.76	100.0	4.76
03/23/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.04	0.00	2.04	100.0	2.04
03/23/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.39	0.00	1.39	100.0	1.39
03/23/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.20	0.00	4.20	100.0	4.20
03/23/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.89	0.00	0.89	100.0	0.89
04/10/2018	DIDOMENICO, JOHN	MOODY DFW DST	73,102.00	2,193.06	0.00	2,193.06	100.0	2,193.06
03/20/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	4.50	0.00	4.50	100.0	4.50

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$73,102.00	\$2,241.40	\$0.00	\$2,241.40		\$2,241.40
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
03/15/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	100.0	0.07
03/29/2018	GARRETT, DIANA	AXA ACCUM 07 ELITE (RO IRA)	61,916.08	38.70	0.00	38.70	100.0	38.70
03/08/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.00	0.00	2.00	100.0	2.00
03/08/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.32	0.00	0.32	100.0	0.32
03/08/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.07	0.00	1.07	100.0	1.07
03/08/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.48	0.00	1.48	100.0	1.48
03/21/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.72	0.00	0.72	100.0	0.72
03/23/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.94	0.00	0.94	100.0	0.94
03/23/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.09	0.00	2.09	100.0	2.09
03/23/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.33	0.00	0.33	100.0	0.33
03/23/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	100.0	0.12
03/23/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.28	0.00	1.28	100.0	1.28
03/23/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.73	0.00	0.73	100.0	0.73
03/12/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.23	0.00	2.23	100.0	2.23
03/14/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.67	0.00	0.67	100.0	0.67
03/14/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.72	0.00	0.72	100.0	0.72
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$61,916.08	\$53.47	\$0.00	\$53.47		\$53.47
<u>BROKER: DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE #259</u>								
03/08/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.25	0.00	3.25	100.0	3.25
03/23/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.66	0.00	0.66	100.0	0.66
* DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$3.91	\$0.00	\$3.91		\$3.91
<u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u>								
03/19/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.49	0.00	0.49	100.0	0.49
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.49	\$0.00	\$0.49		\$0.49
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
03/15/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	100.0	0.05
03/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.59	0.00	1.59	100.0	1.59
03/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.72	0.00	3.72	100.0	3.72
03/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.45	0.00	2.45	100.0	2.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
03/16/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.78	0.00	1.78	100.0	1.78
03/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.14	0.00	0.14	100.0	0.14
03/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.14	0.00	0.14	100.0	0.14
04/02/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	-31,712.28	5.94	5.94	0.00	100.0	0.00
03/01/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.29	0.00	0.29	100.0	0.29
03/01/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.72	0.00	0.72	100.0	0.72
03/01/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.62	0.00	2.62	100.0	2.62
03/01/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.85	0.00	7.85	100.0	7.85
03/23/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.26	0.00	0.26	100.0	0.26
03/23/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.66	0.00	0.66	100.0	0.66
03/23/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.37	0.00	2.37	100.0	2.37
03/23/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.12	0.00	7.12	100.0	7.12
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.65	0.00	0.65	100.0	0.65
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.95	0.00	1.95	100.0	1.95
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.81	0.00	0.81	100.0	0.81
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.44	0.00	2.44	100.0	2.44
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.54	0.00	0.54	100.0	0.54
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.63	0.00	1.63	100.0	1.63
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.65	0.00	0.65	100.0	0.65
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.95	0.00	1.95	100.0	1.95
03/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.28	0.00	2.28	100.0	2.28
03/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.84	0.00	6.84	100.0	6.84
03/19/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.42	0.00	0.42	100.0	0.42
03/19/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.65	0.00	0.65	100.0	0.65
03/19/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.11	0.00	1.11	100.0	1.11
03/19/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.89	0.00	0.89	100.0	0.89
03/19/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.75	0.00	0.75	100.0	0.75
03/19/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.06	0.00	1.06	100.0	1.06
03/19/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.16	0.00	3.16	100.0	3.16
03/19/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.08	0.00	0.08	100.0	0.08
03/19/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.08	0.00	0.08	100.0	0.08
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$-31,712.28	\$65.64	\$5.94	\$59.70		\$59.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350</u>								
04/09/2018	EDALCO D LLC	EXCHANGERIGHT ELPASO APRTMNT PF DST	2,036,443.78	106,913.29	0.00	106,913.29	100.0	106,913.29
04/06/2018	THEODIVA REALTY CORP	MOODY DFW DST	100,754.72	4,533.96	0.00	4,533.96	100.0	4,533.96
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$2,137,198.50	\$111,447.25	\$0.00	\$111,447.25		\$111,447.25
<u>BROKER: ROB/DAN/JON PAU FT-P128 PECHA/RAUPP #379</u>								
04/06/2018	JT SMITH & SONS, REALTY CORP	MOODY DFW DST	774,475.63	9,293.71	0.00	9,293.71	100.0	9,293.71
* ROB/DAN/JON PAU FT-P128 PECHA/RAUPP SUBTOTAL *			\$774,475.63	\$9,293.71	\$0.00	\$9,293.71		\$9,293.71
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
03/23/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.59	0.00	1.59	100.0	1.59
03/12/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.33	0.00	4.33	100.0	4.33
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$5.92	\$0.00	\$5.92		\$5.92
<u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u>								
03/19/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.42	0.00	0.42	100.0	0.42
03/19/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.30	0.00	0.30	100.0	0.30
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$0.72	\$0.00	\$0.72		\$0.72
*** SPLIT TRANSACTIONS TOTAL ***			\$3,017,136.48	\$123,395.37	\$21.50	\$123,373.87		\$123,373.87

VERRIDE TRANSACTIONS

<u>BROKER: MARC, L134 LINSKY #10</u>								
04/02/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
04/02/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.17
04/02/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
03/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.17
03/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
03/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$1.05
<u>BROKER: JEFFREY, K141 KIESNOSKI #243</u>								
03/08/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.69	0.00	2.69	94.0	0.16
03/15/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.26	0.00	0.26	94.0	0.02
03/19/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	1.95	0.00	1.95	94.0	0.12
03/19/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.27	0.00	0.27	94.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JEFFREY, K141 KIESNOSKI #243</u>								
03/23/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	94.0	0.02
03/13/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.19	0.00	2.19	94.0	0.13
03/12/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.09	0.00	1.09	94.0	0.07
03/21/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.00	0.00	1.00	94.0	0.06
03/21/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.10	0.00	0.10	94.0	0.01
* JEFFREY, K141 KIESNOSKI SUBTOTAL *			\$0.00	\$9.90	\$0.00	\$9.90		\$0.61
<u>BROKER: ROY, P123 PFLEGER II #244</u>								
03/19/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.26	0.00	1.26	75.0	0.16
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.26	\$0.00	\$1.26		\$0.16
<u>BROKER: JON PAUL, C141 CIRELLI #246</u>								
03/01/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.08	0.00	11.08	95.0	0.55
03/01/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.23	0.00	33.23	95.0	1.66
03/23/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.05	0.00	10.05	95.0	0.50
03/23/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	30.14	0.00	30.14	95.0	1.51
03/15/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	19.56	0.00	19.56	95.0	0.98
03/19/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.12	0.00	5.12	95.0	0.26
03/19/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.44	0.00	9.44	95.0	0.47
03/23/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.26	0.00	5.26	95.0	0.26
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$0.00	\$123.88	\$0.00	\$123.88		\$6.19
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
03/28/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	2.95	0.00	2.95	75.0	0.37
04/09/2018	MUNZER, CRAIG	EXCHANGERIGHT NET LEASED PF 20 DST	113,008.91	7,910.62	0.00	7,910.62	75.0	988.83
03/23/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.48	0.00	1.48	75.0	0.19
03/23/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.38	0.00	1.38	75.0	0.17
03/23/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.50	0.00	3.50	75.0	0.44
03/23/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.41	0.00	1.41	75.0	0.18
03/23/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	75.0	0.06
03/23/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.74	0.00	0.74	75.0	0.09
03/23/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.10	0.00	2.10	75.0	0.26
03/23/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.80	0.00	2.80	75.0	0.35
03/23/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.97	0.00	2.97	75.0	0.37
03/19/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.97	0.00	1.97	75.0	0.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
		* ALAN, L133 LICHTENSTEIN SUBTOTAL *	\$113,008.91	\$7,932.37	\$0.00	\$7,932.37		\$991.56
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/14/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.83	0.00	0.83	90.0	0.01
03/14/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	12.59	0.00	12.59	90.0	0.21
03/29/2018	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	121,047.89	302.62	0.00	302.62	90.0	5.14
03/29/2018	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	35,551.48	88.88	0.00	88.88	90.0	1.51
03/29/2018	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	277,355.95	693.39	0.00	693.39	90.0	11.79
03/29/2018	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	70,674.58	176.69	0.00	176.69	90.0	3.00
03/29/2018	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	21,185.73	52.96	0.00	52.96	90.0	0.90
03/29/2018	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	138,266.15	345.67	0.00	345.67	90.0	5.88
03/16/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.05	0.00	4.05	90.0	0.07
03/15/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.83	0.00	3.83	90.0	0.07
03/15/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.37	0.00	6.37	90.0	0.11
03/15/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.42	0.00	0.42	90.0	0.01
03/15/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.40	0.00	1.40	90.0	0.02
03/15/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.01
03/15/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.01
03/15/2018	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	0.94	0.00	0.94	90.0	0.02
03/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.91	0.00	0.91	90.0	0.02
03/15/2018	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	90.0	0.01
03/15/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.72	0.00	3.72	90.0	0.06
03/15/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.96	0.00	2.96	90.0	0.05
03/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.24	0.00	1.24	90.0	0.02
03/15/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	90.0	0.01
03/15/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.71	0.00	2.71	90.0	0.05
03/15/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.68	0.00	12.68	90.0	0.22
03/15/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.51	0.00	1.51	90.0	0.03
04/02/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
03/15/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	90.0	0.02
03/15/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.57	0.00	4.57	90.0	0.08
03/15/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	0.03
03/15/2018	OLIVETTI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.25	0.00	6.25	90.0	0.11
03/15/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.77	0.00	4.77	90.0	0.08

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
03/15/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.79	0.00	1.79	90.0	0.03
03/15/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.01
03/15/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	90.0	0.02
03/15/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	90.0	0.03
03/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.02
03/15/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
03/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.69	0.00	2.69	90.0	0.05
03/15/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.65	0.00	2.65	90.0	0.05
03/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.42	0.00	5.42	90.0	0.09
03/15/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.51	0.00	5.51	90.0	0.09
03/15/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.47	0.00	1.47	90.0	0.02
03/15/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.78	0.00	8.78	90.0	0.15
03/15/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.43	0.00	0.43	90.0	0.01
03/15/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.51	0.00	0.51	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.91	0.00	0.91	90.0	0.02
03/15/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.01
03/15/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
03/15/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
03/15/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02
03/15/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.27	0.00	5.27	90.0	0.09
03/15/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.33	0.00	12.33	90.0	0.21
03/15/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.78	0.00	4.78	90.0	0.08
03/15/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.58	0.00	4.58	90.0	0.08
03/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.64	0.00	4.64	90.0	0.08
03/15/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.08	0.00	4.08	90.0	0.07
03/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.06	0.00	19.06	90.0	0.32
03/15/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.91	0.00	2.91	90.0	0.05
03/15/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.01
03/15/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.01
03/15/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.06	0.00	1.06	90.0	0.02
03/15/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.51	0.00	1.51	90.0	0.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/15/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.59	0.00	5.59	90.0	0.10
03/15/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	90.0	0.01
03/15/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.41	0.00	1.41	90.0	0.02
03/15/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.90	0.00	0.90	90.0	0.02
03/15/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	90.0	0.01
04/10/2018	BOND-HILTNER, LINDA	AMAZON COM INC	10,045.70	30.00	22.50	7.50	90.0	0.13
03/16/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.56	0.00	2.56	90.0	0.04
04/10/2018	APPLEBAUM, RHEA	APPLE INC COM	-54,471.53	30.00	22.50	7.50	90.0	0.13
03/29/2018	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	47,363.99	148.01	0.00	148.01	90.0	2.52
03/28/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.38	0.00	17.38	90.0	0.30
03/22/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.44	0.00	1.44	90.0	0.02
03/22/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.54	0.00	4.54	90.0	0.08
03/19/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.04	0.00	1.04	90.0	0.02
03/19/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.09	0.00	14.09	90.0	0.24
03/19/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.28	0.00	7.28	90.0	0.12
03/19/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.65	0.00	2.65	90.0	0.05
03/19/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.04	0.00	1.04	90.0	0.02
03/19/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.32	0.00	0.32	90.0	0.01
03/19/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.91	0.00	0.91	90.0	0.02
03/19/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.26	0.00	2.26	90.0	0.04
03/19/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.03	0.00	1.03	90.0	0.02
03/19/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.92	0.00	10.92	90.0	0.19
03/19/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.21	0.00	2.21	90.0	0.04
03/12/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.40	0.00	0.40	90.0	0.01
03/12/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.54	0.00	0.54	90.0	0.01
03/13/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.23	0.00	3.23	90.0	0.05
03/13/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	57.19	0.00	57.19	90.0	0.97
03/15/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.70	0.00	0.70	90.0	0.01
03/19/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.00	0.00	1.00	90.0	0.02
03/19/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.35	0.00	0.35	90.0	0.01
03/20/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.21	0.00	7.21	90.0	0.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/20/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.14	0.00	8.14	90.0	0.14
03/20/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.39	0.00	1.39	90.0	0.02
03/20/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.56	0.00	5.56	90.0	0.09
03/20/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.04	0.00	2.04	90.0	0.03
03/15/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	12.85	0.00	12.85	90.0	0.22
03/15/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.72	0.00	2.72	90.0	0.05
03/15/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.04	0.00	1.04	90.0	0.02
03/15/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	4.86	0.00	4.86	90.0	0.08
03/15/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.72	0.00	0.72	90.0	0.01
03/15/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.53	0.00	0.53	90.0	0.01
03/15/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.60	0.00	2.60	90.0	0.04
03/21/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	16.00	0.00	16.00	90.0	0.27
03/21/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.62	0.00	0.62	90.0	0.01
03/19/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.85	0.00	2.85	90.0	0.05
03/19/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.54	0.00	8.54	90.0	0.15
03/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.41	0.00	4.41	90.0	0.07
03/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.23	0.00	13.23	90.0	0.22
03/19/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.03	0.00	7.03	90.0	0.12
03/19/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.08	0.00	21.08	90.0	0.36
03/19/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.51	0.00	9.51	90.0	0.16
03/19/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.54	0.00	28.54	90.0	0.49
03/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.11	0.00	2.11	90.0	0.04
03/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.34	0.00	6.34	90.0	0.11
03/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.47	0.00	13.47	90.0	0.23
03/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.42	0.00	40.42	90.0	0.69
03/19/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.04	0.00	1.04	90.0	0.02
03/19/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.11	0.00	3.11	90.0	0.05
03/19/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.71	0.00	5.71	90.0	0.10
03/19/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.12	0.00	17.12	90.0	0.29
03/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.84	0.00	40.84	90.0	0.69
03/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	122.51	0.00	122.51	90.0	2.08
03/19/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.03	0.00	19.03	90.0	0.32
03/19/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	57.08	0.00	57.08	90.0	0.97

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03/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.72	0.00	6.72	90.0	0.11
03/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.16	0.00	20.16	90.0	0.34
03/19/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	9.48	0.00	9.48	90.0	0.16
03/19/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	28.45	0.00	28.45	90.0	0.48
03/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.97	0.00	3.97	90.0	0.07
03/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.61	0.00	5.61	90.0	0.10
03/19/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.78	0.00	3.78	90.0	0.06
03/19/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.72	0.00	4.72	90.0	0.08
03/21/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	11.90	0.00	11.90	90.0	0.20
03/21/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.04	0.00	4.04	90.0	0.07
03/19/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.65	0.00	3.65	90.0	0.06
03/19/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	83.17	0.00	83.17	90.0	1.41
03/19/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	40.00	0.00	40.00	90.0	0.68
03/19/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	36.10	0.00	36.10	90.0	0.61
03/19/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	437.12	0.00	437.12	90.0	7.43
03/19/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.00	0.00	11.00	90.0	0.19
03/19/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.16	0.00	7.16	90.0	0.12
03/19/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.64	0.00	7.64	90.0	0.13
03/19/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	32.35	0.00	32.35	90.0	0.55
03/19/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	30.19	0.00	30.19	90.0	0.51
03/19/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.00	0.00	11.00	90.0	0.19
03/19/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	23.13	0.00	23.13	90.0	0.39
03/19/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.36	0.00	10.36	90.0	0.18
03/19/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.63	0.00	2.63	90.0	0.04
03/19/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.63	0.00	2.63	90.0	0.04
03/19/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	3.94	0.00	3.94	90.0	0.07
03/19/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	71.49	0.00	71.49	90.0	1.22
03/19/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	44.59	0.00	44.59	90.0	0.76
03/19/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.51	0.00	20.51	90.0	0.35
03/19/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.09	0.00	14.09	90.0	0.24
03/19/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.52	0.00	20.52	90.0	0.35
03/19/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	2.20	0.00	2.20	90.0	0.04
03/19/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.43	0.00	0.43	90.0	0.01

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03/19/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	42.29	0.00	42.29	90.0	0.72
03/19/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	18.93	0.00	18.93	90.0	0.32
03/19/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.04	0.00	10.04	90.0	0.17
03/29/2018	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	16,470.27	41.18	0.00	41.18	90.0	0.70
03/29/2018	KROSNOWSKI, LISA	HARTFORD PHCM V	6,692.64	6.69	0.00	6.69	90.0	0.11
03/19/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	3.84	0.00	3.84	90.0	0.07
03/20/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.01	0.00	1.01	90.0	0.02
04/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
03/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	264,759.89	220.63	0.00	220.63	90.0	3.75
03/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	277,924.72	231.60	0.00	231.60	90.0	3.94
03/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	407,803.20	339.84	0.00	339.84	90.0	5.78
03/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	485,651.70	404.71	0.00	404.71	90.0	6.88
03/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	106,499.52	88.75	0.00	88.75	90.0	1.51
03/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,997.12	2.92	0.00	2.92	90.0	0.05
03/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	198,096.31	165.08	0.00	165.08	90.0	2.81
03/19/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.59	0.00	0.59	90.0	0.01
03/31/2018	CHERRY, ADAM	LINCOLN BENEFIT TRAIL RENEWALS	527,367.35	1,318.42	0.00	1,318.42	90.0	22.41
03/31/2018	LINSKY, LISA	LINCOLN BENEFIT TRAIL RENEWALS	101,324.99	253.31	0.00	253.31	90.0	4.31
03/31/2018	PISANI, CARLA	LINCOLN BENEFIT TRAIL RENEWALS	121,105.73	75.69	0.00	75.69	90.0	1.29
03/31/2018	CARLINO, NANCY	LINCOLN BENEFIT TRAIL RENEWALS	69,557.20	173.89	0.00	173.89	90.0	2.96
03/31/2018	SAVASTANO, VICTOR	LINCOLN BENEFIT TRAIL RENEWALS	121,196.46	302.99	0.00	302.99	90.0	5.15
03/31/2018	ROSENBLUM, LUCILLE	LINCOLN BENEFIT TRAIL RENEWALS	679,392.75	1,698.48	0.00	1,698.48	90.0	28.87
03/31/2018	FLYNN, EDWARD	LINCOLN BENEFIT TRAIL RENEWALS	36,799.14	92.00	0.00	92.00	90.0	1.56
03/31/2018	TAROFF, SCOTT	LINCOLN BENEFIT TRAIL RENEWALS	339,488.14	848.52	0.00	848.52	90.0	14.42
03/31/2018	OLIVETI, FRANK	LINCOLN BENEFIT TRAIL RENEWALS	114,806.57	287.02	0.00	287.02	90.0	4.88
03/31/2018	GALERMAN, ALAN	LINCOLN BENEFIT TRAIL RENEWALS	179,741.67	449.35	0.00	449.35	90.0	7.64
03/31/2018	GALERMAN, LIORA	LINCOLN BENEFIT TRAIL RENEWALS	26,344.67	65.86	0.00	65.86	90.0	1.12
03/31/2018	MACDONALD, WILLIAM	LINCOLN BENEFIT TRAIL RENEWALS	159,480.02	398.70	0.00	398.70	90.0	6.78
03/31/2018	KIM, CHUNG	LINCOLN BENEFIT TRAIL RENEWALS	68,013.92	170.03	0.00	170.03	90.0	2.89
03/31/2018	SMITH, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	277,824.05	694.56	0.00	694.56	90.0	11.81
03/31/2018	MURPHY, MARY	LINCOLN BENEFIT TRAIL RENEWALS	329,066.50	822.67	0.00	822.67	90.0	13.99
03/31/2018	DEBRIELLE-HEALEY, ELIZABETH	LINCOLN BENEFIT TRAIL RENEWALS	53,241.41	133.10	0.00	133.10	90.0	2.26
03/31/2018	MURPHY, MARY	LINCOLN BENEFIT TRAIL RENEWALS	33,968.99	84.92	0.00	84.92	90.0	1.44

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/31/2018	SMITH, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	678,692.19	1,696.73	0.00	1,696.73	90.0	28.84
03/31/2018	FELDNER, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	256,069.36	640.17	0.00	640.17	90.0	10.88
03/31/2018	KLEIN, HOWARD	LINCOLN BENEFIT TRAIL RENEWALS	1,624,366.11	4,060.92	0.00	4,060.92	90.0	69.04
03/31/2018	HUDSON TRUST, ROBERT	LINCOLN BENEFIT TRAIL RENEWALS	580,324.92	1,450.81	0.00	1,450.81	90.0	24.66
03/31/2018	CHERRY, ROBERTA	LINCOLN BENEFIT TRAIL RENEWALS	59,741.00	149.35	0.00	149.35	90.0	2.54
03/31/2018	CUNNINGHAM, EDWARD	LINCOLN BENEFIT TRAIL RENEWALS	65,226.77	163.07	0.00	163.07	90.0	2.77
03/31/2018	GUERRIERI, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	7,846.65	19.62	0.00	19.62	90.0	0.33
03/31/2018	KRAMER, LARRY	LINCOLN BENEFIT TRAIL RENEWALS	638,081.75	1,595.20	0.00	1,595.20	90.0	27.12
03/31/2018	CHERRY, ADAM	LINCOLN BENEFIT TRAIL RENEWALS	164,353.30	410.88	0.00	410.88	90.0	6.98
03/31/2018	CRAMER, FRED	LINCOLN BENEFIT TRAIL RENEWALS	143,695.77	359.24	0.00	359.24	90.0	6.11
03/31/2018	FARBMAN, SANDRA	LINCOLN BENEFIT TRAIL RENEWALS	658,695.46	1,646.74	0.00	1,646.74	90.0	27.99
03/31/2018	BALZANO, AUGUSTUS	LINCOLN BENEFIT TRAIL RENEWALS	100,328.22	251.57	0.00	251.57	90.0	4.28
03/31/2018	PLEAT, LEAH	LINCOLN BENEFIT TRAIL RENEWALS	84,872.73	212.18	0.00	212.18	90.0	3.61
03/31/2018	BAUER, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	130,450.56	326.13	0.00	326.13	90.0	5.54
03/31/2018	LA CORTE, CYNTHIA	LINCOLN BENEFIT TRAIL RENEWALS	103,684.96	259.21	0.00	259.21	90.0	4.41
03/31/2018	PERETTI JR, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	42,436.86	106.09	0.00	106.09	90.0	1.80
03/31/2018	FELDNER, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	140,399.00	351.00	0.00	351.00	90.0	5.97
03/31/2018	FELDNER, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	229,983.64	574.96	0.00	574.96	90.0	9.77
03/31/2018	HARDING, LORETTA	LINCOLN BENEFIT TRAIL RENEWALS	178,767.23	446.92	0.00	446.92	90.0	7.60
03/31/2018	HARDING, HARRY	LINCOLN BENEFIT TRAIL RENEWALS	76,743.16	191.86	0.00	191.86	90.0	3.26
03/31/2018	LEVIN, JOYCE	LINCOLN BENEFIT TRAIL RENEWALS	54,719.97	136.80	0.00	136.80	90.0	2.33
03/31/2018	LEVIN, BARRY	LINCOLN BENEFIT TRAIL RENEWALS	482,935.19	1,207.34	0.00	1,207.34	90.0	20.52
03/31/2018	PISANI, NICHOLAS	LINCOLN BENEFIT TRAIL RENEWALS	267,202.03	668.01	0.00	668.01	90.0	11.36
03/31/2018	BECKETT, CHRISTINE	LINCOLN BENEFIT TRAIL RENEWALS	481,579.84	1,203.95	0.00	1,203.95	90.0	20.47
03/31/2018	SCARPIGNATO, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	1,910.63	4.78	0.00	4.78	90.0	0.08
03/31/2018	SCARPIGNATO, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	132,995.44	332.49	0.00	332.49	90.0	5.65
03/31/2018	NEELD, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	77,758.14	194.40	0.00	194.40	90.0	3.30
03/31/2018	NEELD, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	38,135.40	95.34	0.00	95.34	90.0	1.62
03/31/2018	NEELD, JUDEANN	LINCOLN BENEFIT TRAIL RENEWALS	31,942.32	79.86	0.00	79.86	90.0	1.36
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.80	0.00	2.80	90.0	0.05
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	1.96	0.00	1.96	90.0	0.03
03/19/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.60	0.00	2.60	90.0	0.04
03/19/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.32	0.00	7.32	90.0	0.12

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<u> OVERRIDE TRANSACTIONS </u>								
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03/19/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.36	0.00	2.36	90.0	0.04
03/19/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	108.25	0.00	108.25	90.0	1.84
03/19/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	110.90	0.00	110.90	90.0	1.89
03/19/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	26.65	0.00	26.65	90.0	0.45
03/19/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	18.31	0.00	18.31	90.0	0.31
03/19/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.86	0.00	0.86	90.0	0.01
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.26	0.00	6.26	90.0	0.11
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.89	0.00	0.89	90.0	0.02
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.70	0.00	7.70	90.0	0.13
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.71	0.00	1.71	90.0	0.03
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.15	0.00	1.15	90.0	0.02
03/19/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.25	0.00	3.25	90.0	0.06
03/19/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	9.99	0.00	9.99	90.0	0.17
03/19/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.43	0.00	5.43	90.0	0.09
03/19/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.67	0.00	5.67	90.0	0.10
03/19/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	13.31	0.00	13.31	90.0	0.23
03/19/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.68	0.00	2.68	90.0	0.05
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.15	0.00	3.15	90.0	0.05
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.53	0.00	0.53	90.0	0.01
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.69	0.00	3.69	90.0	0.06
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.69	0.00	0.69	90.0	0.01
03/19/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	1.91	0.00	1.91	90.0	0.03
03/19/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.36	0.00	1.36	90.0	0.02
03/19/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	18.46	0.00	18.46	90.0	0.31
03/19/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.54	0.00	1.54	90.0	0.03
03/19/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.49	0.00	2.49	90.0	0.04
03/19/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	1.91	0.00	1.91	90.0	0.03
03/19/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.11	0.00	10.11	90.0	0.17
03/19/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	89.58	0.00	89.58	90.0	1.52
03/19/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.21	0.00	10.21	90.0	0.17
03/19/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	4.44	0.00	4.44	90.0	0.08
03/19/2018	BROSIOUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	5.80	0.00	5.80	90.0	0.10
03/19/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.32	0.00	8.32	90.0	0.14

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03/19/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.22	0.00	4.22	90.0	0.07
03/19/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	11.77	0.00	11.77	90.0	0.20
03/23/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	15.16	0.00	15.16	90.0	0.26
03/23/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.61	0.00	9.61	90.0	0.16
03/23/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.65	0.00	2.65	90.0	0.05
03/23/2018	FARBMAN TRUST, DANIEL & SANDRAMAINSTAY CUSHING ROYALTY ENERGY INC		0.00	1.82	0.00	1.82	90.0	0.03
03/23/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.45	0.00	0.45	90.0	0.01
03/23/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.12	0.00	1.12	90.0	0.02
03/23/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.71	0.00	0.71	90.0	0.01
03/13/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.35	0.00	6.35	90.0	0.11
03/13/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	15.83	0.00	15.83	90.0	0.27
03/13/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.26	0.00	7.26	90.0	0.12
03/13/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.68	0.00	15.68	90.0	0.27
03/13/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	14.80	0.00	14.80	90.0	0.25
03/13/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.64	0.00	0.64	90.0	0.01
03/13/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	8.87	0.00	8.87	90.0	0.15
03/13/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.22	0.00	21.22	90.0	0.36
03/13/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.07	0.00	8.07	90.0	0.14
03/13/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	19.03	0.00	19.03	90.0	0.32
03/13/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.52	0.00	5.52	90.0	0.09
03/13/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.77	0.00	5.77	90.0	0.10
03/13/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	26.20	0.00	26.20	90.0	0.45
03/13/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.69	0.00	11.69	90.0	0.20
03/13/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.00	0.00	9.00	90.0	0.15
03/13/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.01	0.00	14.01	90.0	0.24
03/14/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	0.30	0.00	0.30	90.0	0.01
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.20	0.00	1.20	90.0	0.02
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.16	0.00	1.16	90.0	0.02
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.37	0.00	0.37	90.0	0.01
03/20/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.59	0.00	0.59	90.0	0.01
03/20/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.28	0.00	2.28	90.0	0.04
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	2.94	0.00	2.94	90.0	0.05
03/20/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.92	0.00	0.92	90.0	0.02

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03/20/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	37.61	0.00	37.61	90.0	0.64
03/20/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.43	0.00	2.43	90.0	0.04
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.64	0.00	1.64	90.0	0.03
03/21/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	237.93	0.00	237.93	90.0	4.04
03/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.33	0.00	151.33	90.0	2.57
04/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	3.90	0.00	3.90	90.0	0.07
04/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.00	0.00	6.00	90.0	0.10
03/21/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	13.45	0.00	13.45	90.0	0.23
03/21/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.99	0.00	2.99	90.0	0.05
03/05/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.33	0.00	13.33	90.0	0.23
03/05/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.21	0.00	18.21	90.0	0.31
03/05/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.99	0.00	5.99	90.0	0.10
03/15/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	11.77	0.00	11.77	90.0	0.20
03/15/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	16.08	0.00	16.08	90.0	0.27
03/15/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.29	0.00	5.29	90.0	0.09
03/05/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.48	0.00	45.48	90.0	0.77
03/05/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	136.45	0.00	136.45	90.0	2.32
03/15/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	40.14	0.00	40.14	90.0	0.68
03/15/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	120.43	0.00	120.43	90.0	2.05
03/15/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	10.24	0.00	10.24	90.0	0.17
03/15/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.54	0.00	1.54	90.0	0.03
03/15/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.33	0.00	0.33	90.0	0.01
03/15/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.18	0.00	10.18	90.0	0.17
03/27/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.48	0.00	1.48	90.0	0.03
03/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	128,167.10	106.81	0.00	106.81	90.0	1.82
03/26/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.46	0.00	0.46	90.0	0.01
03/14/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.95	0.00	5.95	90.0	0.10
03/14/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.49	0.00	9.49	90.0	0.16
03/14/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	20.83	0.00	20.83	90.0	0.35
03/14/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	9.97	0.00	9.97	90.0	0.17
03/14/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.13	0.00	17.13	90.0	0.29
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	7.95	0.00	7.95	90.0	0.14
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.82	0.00	0.82	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.67	0.00	0.67	90.0	0.01
		* MARC, L134 LINSKY SUBTOTAL *	\$13,346,265.57	\$33,834.29	\$45.00	\$33,789.29		\$574.49
<u>BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282</u>								
04/06/2018	IPPOLITO, JAMES	EXXON MOBIL CORP COM	9,685.86	205.00	22.50	182.50	90.0	6.21
04/06/2018	KWO, RITA	FORD MOTOR CO DEL COM PAR	19,993.50	199.26	24.70	174.56	90.0	5.94
		* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *	\$29,679.36	\$404.26	\$47.20	\$357.06		\$12.15
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$13,491,603.84	\$42,367.21	\$92.20	\$42,275.01		\$1,586.21

ADJUSTMENTS

04/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
04/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
04/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
04/15/2018		*EO INS: CURRENT MONTH	0.00					-150.00
04/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
04/15/2018		*EO INS: CURRENT MONTH INS	0.00					-81.00
04/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
04/15/2018		*EO INS: E&O WEATHERBIE	0.00					-75.00
04/15/2018		*FEDERAL EXPRESS: 6-133-25866 3/28/18	0.00					-29.36
04/15/2018		*FEDERAL EXPRESS: 6-140-50242 4/4/18	0.00					-58.33
04/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
04/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
04/15/2018		*MISC: BAL CARREID FORWARD	0.00					-6,419.55
04/15/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
04/15/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
04/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
04/15/2018		*MISC: OVERNIGHT FEE 4/15/18	0.00					-10.00
04/15/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
04/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.42
04/15/2018		*PERSHING: PERSHING MARKUP	0.00					30.00
04/15/2018		*PERSHING: PERSHING MARKUP	0.00					21.00
04/15/2018		*PERSHING: PERSHING MARKUP	0.00					8.10
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BATTAGLIA	0.00					-3.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BUZZETTA	0.00					-3.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - CALAMAS	0.00					-3.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - CRONIN	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - DEGREGORIO	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - FIVE STAR	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - GALGANO	0.00					-3.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - HENNEFORTH	0.00					-3.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - IAQUINTO	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - ITCHKOW	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - J KLETT	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - JOHNSON	0.00					-3.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - K BELL	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - M KLETT	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - M KLETT	0.00					-5.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-10.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		MALONEY						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		MARKLEW						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-10.00
		MCLAUGHLIN						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-3.50
		PAVIS						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		PIZZANO						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-3.50
		PLUME						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-2.50
		PRECISION INTERIORS						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		RABETZ						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-10.00
		RAUPP						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		REED						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		REED						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		SCLAR						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-3.50
		SHEA III						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-3.50
		SINISGALLI						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-3.50
		SKOLNICK						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		WOLD						
04/15/2018		*PERSHING: R SPLIT TERRA	0.00					-2.70
		TECH-WASSERMAN						
04/15/2018		*PERSHING: STOP CK FEE - PRECISION	0.00					-2.50
		INT						

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
04/15/2018		*PERSHING: STREAMING QUOTES	0.00					-68.00
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.05
04/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE RAUPP FROM CIRELLI	0.00					479.86
04/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE RAUPP FROM KIESNOSKI	0.00					106.67
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES AND SPLITS FROM LINSKY	0.00					558.13
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES AND SPLITS FROM LINSKY	0.00					5.15
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE RAUPP FROM CIRELLI FROM SPLITS	0.00					2,398.02
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE RAUPP FROM KIESNOSKI FROM SPLITS	0.00					639.11
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE RAUPP FROM PECHA FROM SPLITS	0.00					1,239.78
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE RAUPP FROM PFLEGER FROM SPLITS	0.00					0.49
04/15/2018		*TECH: ADMIN FULMER	0.00					-50.00
04/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-34.00
04/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
04/15/2018		*TECH: CURRENT MONTH TECH	0.00					-74.25
04/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
04/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-137.50
04/15/2018		*TECHNOLOGY: CURRENT MONTH RAUPP	0.00					-275.00
04/15/2018		*TECHNOLOGY: MONTHLY - WEATHERBIE	0.00					-68.75
04/15/2018		*TECHNOLOGY: WEATHERBIE MONTH END CHARGE IN ERROR	0.00					68.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$805.90
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BROKER COMMISSION TOTALS

Broker Transactions	\$1,606.36
Split Transactions	\$123,373.87
Override Transactions	\$1,586.21
Adjustments	\$805.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$127,372.34
Year-to-date Gross	\$857,752.64
Year-to-date Earnings	\$863,213.48

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