



COMMISSION STATEMENT

June 11, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/04/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS TAX ADVANTGED INC PO	500.00	13.75	0.00	13.75	70.0	9.63
	ACCOUNT #: LSR011610	BUY 35 Units @14.1600						
05/21/2018	***-**-0485 - WHALEN, STEPHEN	FEDERATED 31421R841	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06090486215	BUY 2 Units @20.3800						
06/04/2018	***-**-03TR - NIELSEN FAMILY	FIDELITY EQUITY INCOME II FUND	23,025.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012406	BUY 851 Units @27.0700						
06/04/2018	***-**-46TR - NIELSEN FAMILY	FIDELITY EQUITY INCOME II FUND	22,900.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012407	BUY 846 Units @27.0700						
06/04/2018	***-**-03TR - NIELSEN FAMILY	FIDELITY HIGH INCOME	41,445.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012406	BUY 4704 Units @8.8100						
06/04/2018	***-**-46TR - NIELSEN FAMILY	FIDELITY HIGH INCOME	41,220.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012407	BUY 4679 Units @8.8100						
05/21/2018	***-**-5922 - HOTAILING,	FRANKLIN INCOME FUND CLASS A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000090221306726	BUY 47 Units @2.4000						
05/21/2018	***-**-5922 - HOTAILING,	FRANKLIN RISING DIVIDENDS FD CLASS	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000015812472167	BUY 2 Units @64.2900						
05/21/2018	***-**-5922 - HOTAILING,	FRK HIGH INC FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000050118127094	BUY 59 Units @1.9000						
05/21/2018	***-**-5922 - HOTAILING,	TEMP GLOBAL BOND FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000006984984022	BUY 9 Units @12.2100						
* MUTUAL FUNDS SUBTOTAL *			\$129,590.01	\$28.85	\$72.00	\$-43.15		\$-51.78
<u>PRODUCT CATEGORY: BONDS</u>								
06/04/2018	***-**-03TR - NIELSEN FAMILY	FIDELITY FLOATING RATE HIGH INCOME	276.30	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012406	BUY 29 Units @9.6300						
06/04/2018	***-**-46TR - NIELSEN FAMILY	FIDELITY FLOATING RATE HIGH INCOME	274.80	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012407	BUY 29 Units @9.6300						
* BONDS SUBTOTAL *			\$551.10	\$0.00	\$48.00	\$-48.00		\$-48.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: FINANCIAL PLANNING								
06/06/2018	***-**-85FP - LAURICELLA, ADAM	FINANCIAL PLAN	0.00	250.00	0.00	250.00	70.0	175.00
		BUY 0 Units @0.0000						
06/06/2018	***-**-SHFP - WALSH, VIVIAN	FINANCIAL PLAN	0.00	500.00	0.00	500.00	70.0	350.00
		BUY 0 Units @0.0000						
06/06/2018	***-**-5132 - WEBER, FREDERICK	FINANCIAL PLAN	0.00	900.00	0.00	900.00	70.0	630.00
		BUY 0 Units @0.0000						
	* FINANCIAL PLANNING SUBTOTAL *		\$0.00	\$1,650.00	\$0.00	\$1,650.00		\$1,155.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/04/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	1,500.00	30.00	0.00	30.00	70.0	21.00
	ACCOUNT #: LSR011610	BUY 151 Units @9.9100						
06/11/2018	***-**-775P - RYAN, MARY	NEW YORK 529 TRAILS	0.00	2.27	0.00	2.27	70.0	1.59
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
06/11/2018	***-**-6717 - DEBONIS, BRENDA	NEW YORK 529 TRAILS	0.00	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: 77423426901	BUY 0 Units @0.0000						
06/11/2018	***-**-775P - RYAN, MARY	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$1,750.00	\$43.00	\$0.00	\$43.00		\$30.10
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/31/2018	***-**-7131 - PHILLIPS, DAVID	MASS MUTUAL VA DTCC TRAILS	0.00	59.53	0.00	59.53	70.0	41.67
	ACCOUNT #: EVN46024214	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$59.53	\$0.00	\$59.53		\$41.67
	*** BROKER TRANSACTIONS TOTAL ***		\$131,891.11	\$1,781.38	\$120.00	\$1,661.38		\$1,126.99



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,126.99
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$69.03
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,196.02
						Year-to-date Earnings		\$27,185.45