

COMMISSION STATEMENT

May 31, 2018

RICHARD BELZ
40 Wall Street

New York, NY 10005

BROKER #: C40 C42
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/21/2018 (None)	VARIOUS, BELZ RICHARD	JOHN HANCOCK INVESTMENTS	B	0.00	1.19	0.00	1.19	90.0	1.07
05/08/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	387.50	13.80	0.00	13.80	90.0	12.42
05/22/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	387.50	13.80	0.00	13.80	90.0	12.42
05/08/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	680.00	12.92	0.00	12.92	90.0	11.63
05/22/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	680.00	12.92	0.00	12.92	90.0	11.63
05/01/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
05/15/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
05/08/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
05/22/2018 0000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	4.75	0.00	4.75	90.0	4.28
05/01/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
05/15/2018 0000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	9.64	0.00	9.64	90.0	8.68
05/01/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
05/15/2018 0000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	3.86	0.00	3.86	90.0	3.47
05/08/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
05/22/2018 0000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	5.94	0.00	5.94	90.0	5.35
05/08/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	170.00	3.23	0.00	3.23	90.0	2.91
05/22/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES	B	170.00	3.23	0.00	3.23	90.0	2.91
05/08/2018 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	387.50	13.80	0.00	13.80	90.0	12.42
05/22/2018 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	387.50	13.80	0.00	13.80	90.0	12.42
05/01/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
05/15/2018 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	2.90	0.00	2.90	90.0	2.61
05/08/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
05/22/2018 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	4.75	0.00	4.75	90.0	4.28
05/03/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	200.00	8.07	0.00	8.07	90.0	7.26
05/07/2018 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	200.00	8.07	0.00	8.07	90.0	7.26
05/07/2018 00061842239	SCHENK, STEVEN	AMCAP FUND-A	B	100.00	3.56	0.00	3.56	90.0	3.20
05/02/2018 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.46	0.00	4.46	90.0	4.01
05/09/2018 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.46	0.00	4.46	90.0	4.01
05/24/2018 00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	33.02	0.86	0.00	0.86	90.0	0.77
05/24/2018 00064802351	SCHENK, ERIC	THE GROWTH FUND OF AMERICA-A	B	25.00	0.66	0.00	0.66	90.0	0.59
05/24/2018 00064818808	SCHENK, ERIC	AMCAP FUND-A	B	20.00	0.52	0.00	0.52	90.0	0.47

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/30/2018	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29
04/30/2018	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
05/15/2018	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	5.88	0.00	5.88	90.0	5.29
05/15/2018	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	5.88	0.00	5.88	90.0	5.29
05/15/2018	00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN	B	100.00	4.04	0.00	4.04	90.0	3.64
05/15/2018	00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.04	0.00	4.04	90.0	3.64
05/15/2018	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	50.00	0.47	0.00	0.47	90.0	0.42
04/30/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
04/30/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
05/15/2018	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	3.92	0.00	3.92	90.0	3.53
05/15/2018	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	150.00	3.92	0.00	3.92	90.0	3.53
05/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.61	0.00	2.61	90.0	2.35
05/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	3.92	0.00	3.92	90.0	3.53
05/11/2018	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	150.00	3.92	0.00	3.92	90.0	3.53
05/16/2018	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
04/23/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0	1.07
04/23/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0	1.07
05/08/2018	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.19	0.00	1.19	90.0	1.07
05/08/2018	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.19	0.00	1.19	90.0	1.07
04/30/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0	3.20
04/30/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
05/15/2018	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	3.56	0.00	3.56	90.0	3.20
05/15/2018	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	3.56	0.00	3.56	90.0	3.20
04/23/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	301.00	7.87	0.00	7.87	90.0	7.08
04/23/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	203.00	5.30	0.00	5.30	90.0	4.77
04/23/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	196.00	5.12	0.00	5.12	90.0	4.61
05/08/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	301.00	7.87	0.00	7.87	90.0	7.08
05/08/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	203.00	5.30	0.00	5.30	90.0	4.77
05/08/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	196.00	5.12	0.00	5.12	90.0	4.61
05/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.35	0.00	5.35	90.0	4.82
04/30/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
04/30/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
04/30/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
05/15/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.19	0.00	1.19	90.0	1.07
05/15/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.37	0.00	2.37	90.0	2.13
05/15/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.19	0.00	1.19	90.0	1.07
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	125.00	5.94	0.00	5.94	90.0	5.35
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	100.00	4.75	0.00	4.75	90.0	4.28
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	125.00	5.94	0.00	5.94	90.0	5.35
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	150.00	7.12	0.00	7.12	90.0	6.41

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	125.00	5.94	0.00	5.94	90.0	5.35
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	100.00	4.75	0.00	4.75	90.0	4.28
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	125.00	5.94	0.00	5.94	90.0	5.35
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	150.00	7.12	0.00	7.12	90.0	6.41
04/30/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
04/30/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
04/30/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
05/15/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.19	0.00	1.19	90.0	1.07
05/15/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	1.90	0.00	1.90	90.0	1.71
05/15/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.66	0.00	1.66	90.0	1.49
05/08/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER VARIOUS	B	0.00	1,689.10	0.00	1,689.10	90.0	1,520.19
05/22/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	1,260.00	0.00	1,260.00	90.0	1,134.00
05/04/2018	QGW039125	WHITE, KERRY	GABELLI UTILITIES FUND CLASS A	S	-52,736.22	23.75	0.00	23.75	90.0	21.38
* MUTUAL FUNDS SUBTOTAL *					\$-39,958.20	\$3,352.66	\$0.00	\$3,352.66		\$3,017.42

PRODUCT CATEGORY: STOCKS

05/08/2018	QGW031783	JACKSON, FRANK	WHIRLPOOL CORP	B	15,363.22	71.25	11.40	59.85	90.0	52.73
05/18/2018	QGW035040	THUILLOT, CHARLES	TRANSOCEAN LTD US LISTED	S	-26,000.00	271.70	11.40	260.30	90.0	233.13
05/08/2018	QGW035198	WULFF, HANS	ABBVIE INC	B	9,801.00	71.25	11.40	59.85	90.0	52.73
05/10/2018	QGW035214	BIANCO, BARBARA	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	24,600.00	178.60	11.40	167.20	90.0	149.34
05/08/2018	QGW035248	BELZ, RICHARD	ABBVIE INC	B	9,806.94	71.25	11.40	59.85	90.0	52.73
05/16/2018	QGW035248	BROCHARD, THOMAS	WHIRLPOOL CORP	B	15,640.44	71.25	11.40	59.85	90.0	52.73
05/18/2018	QGW035248	BROCHARD, THOMAS	TRANSOCEAN LTD US LISTED	S	-20,250.00	211.61	11.40	200.21	90.0	179.05
05/08/2018	QGW035404	RICCA, MARIA	ABBVIE INC	B	9,810.90	71.25	11.40	59.85	90.0	52.73
05/10/2018	QGW035511	LYDEN, MARY	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	41,000.00	254.60	11.40	243.20	90.0	217.74
05/18/2018	QGW035545	KMIECIAK, INES	ENERGOUS CORP COM	B	16,000.00	196.08	11.40	184.68	90.0	165.07
05/08/2018	QGW035552	KMIECIAK, ZBIGNIEW	ABBVIE INC	B	9,811.89	71.25	11.40	59.85	90.0	52.73
05/08/2018	QGW035552	KMIECIAK, ZBIGNIEW	FAT BRANDS INC COMMON STOCK	S	-12,300.00	61.75	11.40	50.35	90.0	44.18
05/18/2018	QGW035552	KMIECIAK, ZBIGNIEW	ENERGOUS CORP COM	B	20,000.00	245.10	11.40	233.70	90.0	209.19
05/08/2018	QGW035560	AMENITA, FREDERICK	ABBVIE INC	B	9,809.91	71.25	11.40	59.85	90.0	52.73
05/08/2018	QGW035594	DUSATKO, ANNE	ABBVIE INC	B	9,818.82	71.25	11.40	59.85	90.0	52.73
05/10/2018	QGW035594	DUSATKO, ANNE	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	41,000.00	254.60	11.40	243.20	90.0	217.74
05/08/2018	QGW035644	MONGELUZZI, LINDA	ABBVIE INC	B	9,813.87	71.25	11.40	59.85	90.0	52.73
05/08/2018	QGW035644	MONGELUZZI, LINDA	FAT BRANDS INC COMMON STOCK	S	-4,122.54	15.18	11.40	3.78	90.0	2.26
05/16/2018	QGW035644	MONGELUZZI, LINDA	WHIRLPOOL CORP	B	15,668.93	71.25	11.40	59.85	90.0	52.73
05/04/2018	QGW035651	YONGE, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	12,778.60	19.00	11.40	7.60	90.0	5.70
05/18/2018	QGW035685	EICHENHOLZ, SONDR	ENERGOUS CORP COM	B	20,000.00	245.10	11.40	233.70	90.0	209.19
05/18/2018	QGW035693	MASSA, WILLIAM	ENERGOUS CORP COM	B	20,000.00	245.10	11.40	233.70	90.0	209.19
05/08/2018	QGW035701	SZARKA, MICHAEL	ABBVIE INC	B	9,801.81	71.25	11.40	59.85	90.0	52.73
05/18/2018	QGW035701	SZARKA, MICHAEL	TRANSOCEAN LTD US LISTED	S	-16,200.00	198.53	11.40	187.13	90.0	167.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/18/2018	QGW035768	MASSA, PATRICIA	TRANSOCEAN LTD US LISTED	S	-26,000.00	271.70	11.40	260.30	90.0 233.13
05/09/2018	QGW035776	ZIMMERMAN, FRANCINE	WHIRLPOOL CORP	B	15,292.48	71.25	11.40	59.85	90.0 52.73
05/18/2018	QGW035776	ZIMMERMAN, FRANCINE	NABORS INDUSTRIES LTD	S	-32,000.00	267.52	11.40	256.12	90.0 229.37
05/04/2018	QGW035834	CHAUDHRY, JAHANZEB	ABBVIE INC	B	9,815.85	71.25	11.40	59.85	90.0 52.73
05/07/2018	QGW035834	CHAUDHRY, JAHANZEB	WHIRLPOOL CORP	B	15,231.15	71.25	11.40	59.85	90.0 52.73
05/10/2018	QGW035834	CHAUDHRY, JAHANZEB	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	41,000.00	213.75	11.40	202.35	90.0 180.98
05/08/2018	QGW035867	ROGERS, MICHELE	FAT BRANDS INC COMMON STOCK	S	-6,050.00	38.00	11.40	26.60	90.0 22.80
05/08/2018	QGW035925	MOTT, LINDA	ABBVIE INC	B	9,813.87	71.25	11.40	59.85	90.0 52.73
05/08/2018	QGW035925	MOTT, LINDA	ABBVIE INC	B	-9,813.87	-71.25	-11.40	-59.85	90.0 -52.73 C
05/03/2018	QGW035982	PANASUK, JOHN	ABBVIE INC	B	9,838.62	71.25	11.40	59.85	90.0 52.73
05/04/2018	QGW035982	PANASUK, JOHN	WHIRLPOOL CORP	B	14,963.85	71.25	11.40	59.85	90.0 52.73
05/07/2018	QGW035990	HERDTER, RICHARD	OPKO HEALTH INC	S	-3,213.40	61.75	11.40	50.35	90.0 44.18
05/07/2018	QGW035990	HERDTER, RICHARD	SNAP INC CLASS A COMMON STOCK	S	-7,505.96	66.50	11.40	55.10	90.0 48.45
05/18/2018	QGW035990	HERDTER, RICHARD	ENERGOUS CORP COM	B	20,000.00	245.10	11.40	233.70	90.0 209.19
05/21/2018	QGW035990	HERDTER, RICHARD	KRATOS DEFENSE & SEC SOLUTIONS	S	-10,062.00	147.25	11.40	135.85	90.0 121.13
05/18/2018	QGW036006	WALLNER, ERIC	TRANSOCEAN LTD US LISTED	S	-14,300.00	175.25	11.40	163.85	90.0 146.33
05/03/2018	QGW036030	BALLO, CATHERINE	ABBVIE INC	B	9,835.65	71.25	11.40	59.85	90.0 52.73
05/10/2018	QGW036030	BALLO, CATHERINE	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	41,000.00	254.60	11.40	243.20	90.0 217.74
05/16/2018	QGW036030	BALLO, CATHERINE	WHIRLPOOL CORP	B	15,684.57	71.25	11.40	59.85	90.0 52.73
05/18/2018	QGW036048	FITZPATRICK, BARRY	ENERGOUS CORP COM	B	20,000.00	245.10	11.40	233.70	90.0 209.19
05/18/2018	QGW036048	FITZPATRICK, BARRY	NABORS INDUSTRIES LTD	S	-16,000.00	196.08	11.40	184.68	90.0 165.07
05/18/2018	QGW036048	FITZPATRICK, BARRY	TRANSOCEAN LTD US LISTED	S	-20,250.00	211.61	11.40	200.21	90.0 179.05
05/04/2018	QGW036055	MATACOTTA, CORINNA	WHIRLPOOL CORP	B	14,963.85	94.05	11.40	82.65	90.0 73.25
05/21/2018	QGW036063	PICCININNI,	KRATOS DEFENSE & SEC SOLUTIONS	S	-22,400.00	185.25	11.40	173.85	90.0 155.33
05/08/2018	QGW036089	HENNEMANN, PATRICIA	ABBVIE INC	B	9,814.86	71.25	11.40	59.85	90.0 52.73
05/08/2018	QGW036105	ARDOLINO, SUSAN	ABBVIE INC	B	9,807.93	71.25	11.40	59.85	90.0 52.73
05/18/2018	QGW036121	CZACHOR, MICHAEL	ENERGOUS CORP COM	B	12,000.00	147.06	11.40	135.66	90.0 120.95
05/18/2018	QGW036246	LENTO, REGINA	TRANSOCEAN LTD US LISTED	S	-13,000.00	159.31	11.40	147.91	90.0 131.98
05/18/2018	QGW036329	WOLF, KIM	ENERGOUS CORP COM	B	10,000.00	137.75	11.40	126.35	90.0 112.58
05/18/2018	QGW036329	WOLF, KIM	ENERGOUS CORP COM	B	-10,000.00	-137.75	-11.40	-126.35	90.0 -112.58 C
05/18/2018	QGW036329	WOLF, KIM	ENERGOUS CORP COM	B	10,000.00	137.75	11.40	126.35	90.0 112.58
05/18/2018	QGW036329	WOLF, KIM	TRANSOCEAN LTD US LISTED	S	-26,000.00	271.70	11.40	260.30	90.0 233.13
05/18/2018	QGW036329	WOLF, KIM	TRANSOCEAN LTD US LISTED	S	26,000.00	-271.70	-11.40	-260.30	90.0 -233.13 C
05/18/2018	QGW036329	WOLF, KIM	TRANSOCEAN LTD US LISTED	S	-26,000.00	271.70	11.40	260.30	90.0 233.13
05/18/2018	QGW036394	LIPANI, CHRISTINE	ENERGOUS CORP COM	B	20,000.00	245.10	11.40	233.70	90.0 209.19
05/08/2018	QGW036956	BROCHARD, THOMAS	ABBVIE INC	B	9,813.87	71.25	11.40	59.85	90.0 52.73
05/18/2018	QGW036956	BROCHARD, THOMAS	ENERGOUS CORP COM	B	10,000.00	137.75	11.40	126.35	90.0 112.58
05/08/2018	QGW036980	MARCOLINI, COREY	ABBVIE INC	B	9,816.84	71.25	11.40	59.85	90.0 52.73
05/08/2018	QGW036980	MARCOLINI, COREY	WHIRLPOOL CORP	B	15,338.85	71.25	11.40	59.85	90.0 52.73
05/08/2018	QGW037012	STAAB, MARY	ABBVIE INC	B	9,802.98	71.25	11.40	59.85	90.0 52.73

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/08/2018	QGW038382	VINCENTI, ROBERT		ABBVIE INC	B	9,801.89	71.25	11.40	59.85 90.0 52.73
05/09/2018	QGW038382	VINCENTI, ROBERT		VANGUARD INDEX FUNDS VANGUARD GROWT S		-2,792.82	33.25	11.40	21.85 90.0 18.53
05/09/2018	QGW038382	VINCENTI, ROBERT		VANGUARD INDEX FUNDS VANGUARD VALUE S		-2,394.16	33.25	11.40	21.85 90.0 18.53
05/03/2018	QGW038556	LAPINTA, LEONARD		ABBVIE INC	B	9,842.58	71.25	11.40	59.85 90.0 52.73
05/03/2018	QGW038556	LAPINTA, LEONARD		WHIRLPOOL CORP	B	15,036.12	71.25	11.40	59.85 90.0 52.73
05/08/2018	QGW038770	RICCA, MARIA		ABBVIE INC	B	9,809.91	71.25	11.40	59.85 90.0 52.73
05/08/2018	QGW038770	RICCA, MARIA		ABBVIE INC	B	-9,809.91	-71.25	-11.40	-59.85 90.0 -52.73 C
05/08/2018	QGW038770	RICCA, MARIA		ABBVIE INC	B	9,809.91	71.25	11.40	59.85 90.0 52.73
05/10/2018	QGW038770	RICCA, MARIA		BUCKEYE PARTNERS LP UNITS LTD PARTN B		32,800.00	213.75	11.40	202.35 90.0 180.98
05/16/2018	QGW038770	RICCA, MARIA		WHIRLPOOL CORP	B	15,649.33	71.25	11.40	59.85 90.0 52.73
05/02/2018	QGW039083	SIMMONS, RICHARD		NEW RESIDENTIAL INVESTMENT CORP	B	17,710.00	204.25	11.40	192.85 90.0 172.43
05/08/2018	QGW039083	SIMMONS, RICHARD		ABBVIE INC	B	9,799.02	71.25	11.40	59.85 90.0 52.73
05/08/2018	QGW039083	SIMMONS, RICHARD		WHIRLPOOL CORP	B	15,304.33	71.25	11.40	59.85 90.0 52.73
05/10/2018	QGW039083	SIMMONS, RICHARD		BUCKEYE PARTNERS LP UNITS LTD PARTN B		28,700.00	151.05	11.40	139.65 90.0 124.55
05/07/2018	QGW039125	WHITE, KERRY		ABBVIE INC	B	9,848.03	71.25	11.40	59.85 90.0 52.73
05/09/2018	QGW039125	WHITE, KERRY		COMPANHIA DE SANEAMENTO BASICO DO E B		2,715.00	30.21	11.40	18.81 90.0 15.79
05/10/2018	QGW039125	WHITE, KERRY		BUCKEYE PARTNERS LP UNITS LTD PARTN B		41,000.00	254.60	11.40	243.20 90.0 217.74
05/10/2018	QGW039125	WHITE, KERRY		COMPANHIA DE SANEAMENTO BASICO DO E B		10,548.00	120.83	11.40	109.43 90.0 97.35
05/16/2018	QGW039125	WHITE, KERRY		NEW RESIDENTIAL INVESTMENT CORP	B	18,056.60	159.60	11.40	148.20 90.0 132.24
05/16/2018	QGW039125	WHITE, KERRY		WHIRLPOOL CORP	B	15,638.65	71.25	11.40	59.85 90.0 52.73
05/10/2018	QGW039240	MONGELUZZI, LINDA		BUCKEYE PARTNERS LP UNITS LTD PARTN B		36,900.00	213.75	11.40	202.35 90.0 180.98
05/03/2018	QGW039745	LEWIS, THOMAS		ABBVIE INC	B	9,828.72	71.25	11.40	59.85 90.0 52.73
05/03/2018	QGW039745	LEWIS, THOMAS		WHIRLPOOL CORP	B	14,926.23	71.25	11.40	59.85 90.0 52.73
05/09/2018	QGW039745	LEWIS, THOMAS		NEW RESIDENTIAL INVESTMENT CORP	B	17,820.00	147.25	11.40	135.85 90.0 121.13
05/09/2018	QGW039745	LEWIS, THOMAS		NINTENDO CO LTD-ADR NEW	B	53,000.00	312.55	11.40	301.15 90.0 269.90
05/09/2018	QGW039745	LEWIS, THOMAS		SACHEM CAPITAL CORP COMMON SHARES	B	15,582.00	359.10	11.40	347.70 90.0 311.79
05/10/2018	QGW039745	LEWIS, THOMAS		BUCKEYE PARTNERS LP UNITS LTD PARTN B		41,000.00	254.60	11.40	243.20 90.0 217.74
05/15/2018	QGW039745	LEWIS, THOMAS		SACHEM CAPITAL CORP COMMON SHARES	B	10,370.08	239.40	11.40	228.00 90.0 204.06
05/22/2018	QGW039745	LEWIS, THOMAS		PAYPAL HOLDINGS INC COM	B	64,832.00	312.55	11.40	301.15 90.0 269.90
05/03/2018	QGW039794	OF JULIE LEONARD,		GWG HLDGS INC COM	S	-4,007.00	57.00	11.40	45.60 90.0 39.90
05/03/2018	QGW039794	OF JULIE LEONARD,		GWG HLDGS INC COM	S	4,007.00	-57.00	-11.40	-45.60 90.0 -39.90 C
05/03/2018	QGW039794	OF JULIE LEONARD,		GWG HLDGS INC COM	S	-4,007.00	57.00	11.40	45.60 90.0 39.90
05/03/2018	QGW039794	OF JULIE LEONARD,		MANHATTAN BRDG CAP INC COM	S	-18,875.00	236.55	11.40	225.15 90.0 201.50
05/03/2018	QGW039794	OF JULIE LEONARD,		NINTENDO CO LTD-ADR NEW	S	-52,510.00	365.75	11.40	354.35 90.0 317.78
05/03/2018	QGW039794	OF JULIE LEONARD,		NVENT ELECTRIC PLC ORDINARY SHARES	S	-9,430.12	118.75	11.40	107.35 90.0 95.48
05/03/2018	QGW039794	OF JULIE LEONARD,		PENTAIR PLC SHS	S	-17,665.56	232.75	11.40	221.35 90.0 198.08
05/03/2018	QGW039844	KNECHT, MICHAEL		ABBVIE INC	B	9,831.69	71.25	11.40	59.85 90.0 52.73
05/10/2018	QGW039844	KNECHT, MICHAEL		BUCKEYE PARTNERS LP UNITS LTD PARTN B		20,500.00	94.05	11.40	82.65 90.0 73.25
05/10/2018	QGW039869	OHARA, JOHN		BUCKEYE PARTNERS LP UNITS LTD PARTN B		41,000.00	254.60	11.40	243.20 90.0 217.74
05/23/2018	QGW039869	OHARA, JOHN		SACHEM CAPITAL CORP COMMON SHARES	B	19,981.00	453.15	11.40	441.75 90.0 396.44

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/29/2018	QGW039869 OHARA, JOHN	WALT DISNEY CO	B	40,420.00	280.25	11.40	268.85	90.0	240.83
05/23/2018	QGW039893 COLON, JORGE	NEW RESIDENTIAL INVESTMENT CORP	B	16,322.31	207.10	11.40	195.70	90.0	174.99
05/18/2018	QGW039919 FLANAGAN, MATTHEW	WALT DISNEY CO	B	15,606.92	118.75	11.40	107.35	90.0	95.48
* STOCKS SUBTOTAL *				\$971,399.53	\$14,192.82	\$1,105.80	\$13,087.02		\$11,668.05
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-207.00	22.80	13.54	9.26	90.0	6.98
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S		207.00	-22.80	-13.54	-9.26	90.0	-6.98 C
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-207.00	22.80	13.54	9.26	90.0	6.98
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S		207.00	-22.80	-13.54	-9.26	90.0	-6.98 C
05/02/2018	QGW031783 JACKSON, FRANK	CALL 100 VUZIX CORP COM NEW EXP 05- S		-300.00	27.55	16.15	11.40	90.0	8.65
05/30/2018	QGW031783 JACKSON, FRANK	CALL 100 VUZIX CORP COM NEW EXP 01- S		-1,700.00	94.05	16.15	77.90	90.0	68.50
05/01/2018	QGW033375 AMENITA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-225.00	24.70	13.54	11.16	90.0	8.69
04/30/2018	QGW034415 CHAIMOVITCH, BERNARD	CALL 100 VUZIX CORP COM NEW EXP 05- S		-300.00	27.55	16.15	11.40	90.0	8.65
04/30/2018	QGW035040 THUILOT, CHARLES	CALL 100 SNAP INC CL A EXP 05-18-18 S		-930.00	52.25	14.96	37.29	90.0	32.07
05/22/2018	QGW035123 BOWLEY, KEVIN	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-690.00	64.60	14.96	49.64	90.0	43.18
04/30/2018	QGW035180 PUE, MARYANN	CALL 100 SNAP INC CL A EXP 05-18-18 S		-549.00	49.40	13.54	35.86	90.0	30.92
04/30/2018	QGW035198 WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 05- S		-300.00	27.55	16.15	11.40	90.0	8.65
05/01/2018	QGW035198 WULFF, HANS	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-250.00	23.75	12.59	11.16	90.0	8.79
05/03/2018	QGW035198 WULFF, HANS	CALL 100 COHERUS BIOSCIENCES INC CO S		-2,100.00	94.05	16.15	77.90	90.0	68.50
05/02/2018	QGW035206 SKAHILL, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 05- S		-300.00	26.60	16.15	10.45	90.0	7.79
04/30/2018	QGW035214 BIANCO, BARBARA	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-600.00	56.05	16.15	39.90	90.0	34.30
05/02/2018	QGW035248 BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-900.00	77.90	18.52	59.38	90.0	51.59
05/07/2018	QGW035248 BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S		-340.00	32.30	16.15	16.15	90.0	12.92
05/07/2018	QGW035248 BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S		340.00	-32.30	-16.15	-16.15	90.0	-12.92 C
05/07/2018	QGW035248 BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S		-340.00	32.30	16.15	16.15	90.0	12.92
05/16/2018	QGW035248 BROCHARD, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-1,000.00	91.20	17.34	73.86	90.0	64.74
05/22/2018	QGW035248 BROCHARD, THOMAS	CALL 100 ENERGOUS CORP COM EXP 07-2 S		-750.00	71.25	13.77	57.48	90.0	50.36
05/30/2018	QGW035255 BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-680.00	61.75	19.47	42.28	90.0	36.11
05/02/2018	QGW035297 SIERRA, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 05- S		-300.00	27.55	16.15	11.40	90.0	8.65
05/30/2018	QGW035297 SIERRA, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-780.00	76.95	20.66	56.29	90.0	48.60
05/02/2018	QGW035347 MODUGNO, ROCCO	CALL 100 VUZIX CORP COM NEW EXP 05- S		-375.00	33.25	17.34	15.91	90.0	12.59
05/22/2018	QGW035362 MOON, STEVEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-798.00	76.00	15.91	60.09	90.0	52.49
05/01/2018	QGW035511 LYDEN, MARY	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-750.00	67.45	14.96	52.49	90.0	45.75
05/14/2018	QGW035511 LYDEN, MARY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-1,265.00	86.53	16.86	69.67	90.0	61.02
05/22/2018	QGW035511 LYDEN, MARY	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-980.00	94.05	16.15	77.90	90.0	68.50
04/26/2018	QGW035529 AMENITA, FREDERICK	PUT 100 ENERGOUS CORP COM EXP 05-18 S		300.00	-19.95	-12.82	-7.13	90.0	-5.14 C
04/26/2018	QGW035529 AMENITA, FREDERICK	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-300.00	19.95	12.82	7.13	90.0	5.14
05/04/2018	QGW035537 LYDEN, MARY	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-1,125.00	94.05	14.96	79.09	90.0	69.69
05/30/2018	QGW035537 LYDEN, MARY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-600.00	58.90	18.52	40.38	90.0	34.49

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/01/2018	QGW035545	KMIECIAK, INES	CALL 100 VUZIX CORP COM NEW EXP 05- S	-15.00	1.26	11.64	-10.38	90.0	-10.51
05/02/2018	QGW035545	KMIECIAK, INES	CALL 100 VUZIX CORP COM NEW EXP 05- S	-435.00	36.72	18.29	18.43	90.0	14.76
05/30/2018	QGW035545	KMIECIAK, INES	CALL 100 VUZIX CORP COM NEW EXP 01- S	-2,550.00	94.05	18.52	75.53	90.0	66.13
05/30/2018	QGW035545	KMIECIAK, INES	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-2,200.00	94.05	16.15	77.90	90.0	68.50
05/03/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100 COHERUS BIOSCIENCES INC CO S	-900.00	83.60	13.77	69.83	90.0	61.47
05/07/2018	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	-396.00	37.05	14.01	23.04	90.0	19.34
05/07/2018	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	396.00	-37.05	-14.01	-23.04	90.0	-19.34 C
05/07/2018	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	-396.00	37.05	14.01	23.04	90.0	19.34
05/02/2018	QGW035594	DUSATKO, ANNE	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-60.00	6.27	11.64	-5.37	90.0	-6.00
05/18/2018	QGW035594	DUSATKO, ANNE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-1,350.00	94.05	18.52	75.53	90.0	66.13
05/22/2018	QGW035594	DUSATKO, ANNE	CALL 100 VUZIX CORP COM NEW EXP 07- S	-250.00	31.35	17.34	14.01	90.0	10.88
05/22/2018	QGW035610	DAVIS, VICTORIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-260.00	31.35	12.59	18.76	90.0	15.63
05/09/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 OPKO HEALTH INC COM EXP 06 S	-390.00	41.80	18.52	23.28	90.0	19.10
05/21/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-1,260.00	94.05	13.77	80.28	90.0	70.88
05/22/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-615.00	57.95	14.96	42.99	90.0	37.20
04/30/2018	QGW035669	KOLLAR, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 05- S	-300.00	27.55	16.15	11.40	90.0	8.65
04/30/2018	QGW035677	KOLLAR, MAURA	PUT 100 VUZIX CORP COM NEW EXP 05-1 S	-315.00	28.50	16.39	12.11	90.0	9.26
05/01/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100 SNAP INC CL A EXP 05-18-18 S	-552.00	49.40	13.30	36.10	90.0	31.16
05/22/2018	QGW035701	SZARKA, MICHAEL	CALL 100 VUZIX CORP COM NEW EXP 07- S	-240.00	22.80	16.15	6.65	90.0	4.37
05/01/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	-567.00	50.35	16.39	33.96	90.0	28.93
05/07/2018	QGW035727	HERDTER, CYNTHIA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-396.00	33.25	14.01	19.24	90.0	15.92
05/30/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-600.00	58.90	13.77	45.13	90.0	39.24
05/22/2018	QGW035735	STELLATO, MICHAEL	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-820.00	76.00	16.15	59.85	90.0	52.25
04/30/2018	QGW035743	KUDLIK, CHRIS	CALL 100 VUZIX CORP COM NEW EXP 05- S	-150.00	18.05	13.77	4.28	90.0	2.48
05/02/2018	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 07- S	-760.00	61.37	20.42	40.95	90.0	34.81
05/04/2018	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 06 S	-790.00	47.17	30.16	17.01	90.0	12.29
05/07/2018	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 06 S	-610.00	36.42	25.89	10.53	90.0	6.89
04/30/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 VUZIX CORP COM NEW EXP 05- S	-195.00	17.91	14.49	3.42	90.0	1.63
05/01/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 05-18-18 S	-1,050.00	94.05	14.96	79.09	90.0	69.69
05/01/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	-840.00	67.45	18.52	48.93	90.0	42.19
05/22/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-840.00	78.85	16.15	62.70	90.0	54.82
05/22/2018	QGW035792	NOLTE, DONNA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-462.00	41.80	14.01	27.79	90.0	23.61
05/22/2018	QGW035792	NOLTE, DONNA	CALL 100 VUZIX CORP COM NEW EXP 07- S	-299.00	20.90	16.86	4.04	90.0	1.95
05/22/2018	QGW035792	NOLTE, DONNA	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	-1,800.00	94.05	16.15	77.90	90.0	68.50
05/22/2018	QGW035792	NOLTE, DONNA	PUT 100 VUZIX CORP COM NEW EXP 10-1 S	1,800.00	-94.05	-16.15	-77.90	90.0	-68.50 C
05/07/2018	QGW035826	ORTIZ, DENISE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-207.00	22.80	13.54	9.26	90.0	6.98
05/22/2018	QGW035826	ORTIZ, DENISE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-486.00	49.40	13.54	35.86	90.0	30.92
05/22/2018	QGW035826	ORTIZ, DENISE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	486.00	-49.40	-13.54	-35.86	90.0	-30.92 C
04/30/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 05-18-18 S	-600.00	47.50	13.77	33.73	90.0	28.98
04/30/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 VUZIX CORP COM NEW EXP 06- S	-1,080.00	94.05	19.95	74.10	90.0	64.70

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/01/2018	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-750.00	67.45	18.52	48.93	90.0	42.19
05/07/2018	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 06 S		-77.00	6.72	13.06	-6.34	90.0	-7.01
05/08/2018	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 OPKO HEALTH INC COM EXP 06 S		-913.00	79.72	31.11	48.61	90.0	40.64
05/04/2018	QGW035883 BUDKEVICS, YANA	CALL 100 VUZIX CORP COM NEW EXP 07- S		-385.00	32.92	13.06	19.86	90.0	16.57
05/22/2018	QGW035883 BUDKEVICS, YANA	CALL 100 VUZIX CORP COM NEW EXP 07- S		-130.00	18.05	14.49	3.56	90.0	1.76
04/30/2018	QGW035891 DICKIE, JAYNE	CALL 100 SNAP INC CL A EXP 05-18-18 S		-384.00	33.25	12.82	20.43	90.0	17.11
04/30/2018	QGW035982 PANASUK, JOHN	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-500.00	36.10	16.15	19.95	90.0	16.34
04/30/2018	QGW035982 PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 05-1 S		-195.00	15.12	14.49	0.63	90.0	-0.88
05/01/2018	QGW035982 PANASUK, JOHN	CALL 100 VUZIX CORP COM NEW EXP 06- S		-1,800.00	94.05	25.65	68.40	90.0	59.00
05/07/2018	QGW035982 PANASUK, JOHN	CALL 100 NABORS INDS LTD SHS ISIN#B S		-425.00	37.05	17.34	19.71	90.0	16.01
05/22/2018	QGW035982 PANASUK, JOHN	CALL 100 ENERGOUS CORP COM EXP 07-2 S		-1,300.00	94.05	16.15	77.90	90.0	68.50
05/22/2018	QGW035990 HERDTER, RICHARD	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-690.00	80.75	14.96	65.79	90.0	57.72
04/30/2018	QGW036030 BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 05-18-18 S		-1,170.00	94.05	14.96	79.09	90.0	69.69
05/09/2018	QGW036030 BALLO, CATHERINE	CALL 100 OPKO HEALTH INC COM EXP 06 S		-1,045.00	90.25	33.96	56.29	90.0	47.27
05/16/2018	QGW036030 BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-1,350.00	94.05	18.52	75.53	90.0	66.13
05/22/2018	QGW036030 BALLO, CATHERINE	CALL 100 ENERGOUS CORP COM EXP 07-2 S		-1,125.00	94.05	14.96	79.09	90.0	69.69
05/22/2018	QGW036030 BALLO, CATHERINE	CALL 100 NABORS INDS LTD SHS ISIN#B S		-370.00	30.87	13.77	17.10	90.0	14.01
05/02/2018	QGW036055 MATACOTTA, CORINNA	CALL 100 TWITTER INC COM EXP 06-15- S		-550.00	52.25	13.77	38.48	90.0	33.26
05/07/2018	QGW036063 PICCININNI,	CALL 100 VUZIX CORP COM NEW EXP 06- S		-525.00	39.90	14.96	24.94	90.0	20.95
05/22/2018	QGW036071 HENNMANN, DENNIS	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-470.00	21.85	13.77	8.08	90.0	5.90
05/22/2018	QGW036089 HENNMANN, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 07- S		-260.00	19.95	16.15	3.80	90.0	1.81
05/22/2018	QGW036105 ARDOLINO, SUSAN	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-330.00	34.20	12.82	21.38	90.0	17.96
05/01/2018	QGW036154 CALLAHAN, PATRICK	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-250.00	25.65	12.59	13.06	90.0	10.50
05/01/2018	QGW036238 LENTO, JOHN	CALL 100 SNAP INC CL A EXP 05-18-18 S		-520.00	42.75	13.30	29.45	90.0	25.18
05/07/2018	QGW036246 LENTO, REGINA	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-230.00	19.95	13.77	6.18	90.0	4.19
04/30/2018	QGW036337 FARREN, JOSEPHINE	PUT 100 VUZIX CORP COM NEW EXP 05-1 S		-525.00	41.80	19.71	22.09	90.0	17.91
05/30/2018	QGW036337 FARREN, JOSEPHINE	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-1,500.00	94.05	16.15	77.90	90.0	68.50
05/30/2018	QGW036337 FARREN, JOSEPHINE	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-2,200.00	94.05	16.15	77.90	90.0	68.50
05/02/2018	QGW036386 FRANCK, RACHEL	CALL 100 TWITTER INC COM EXP 06-15- S		-510.00	47.50	13.77	33.73	90.0	28.98
05/14/2018	QGW036386 FRANCK, RACHEL	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-900.00	87.40	15.67	71.73	90.0	62.99
05/15/2018	QGW036725 CIRNIGLIARO,	PUT 100 ENERGOUS CORP COM EXP 06-15 S		-400.00	37.05	13.77	23.28	90.0	19.58
05/30/2018	QGW036725 CIRNIGLIARO,	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-1,875.00	94.05	17.34	76.71	90.0	67.31
05/07/2018	QGW036899 SARLO, JESSICA	CALL 100 NABORS INDS LTD SHS ISIN#B S		-380.00	32.30	16.15	16.15	90.0	12.92
05/01/2018	QGW036956 BROCHARD, THOMAS	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-600.00	55.10	13.77	41.33	90.0	35.82
05/02/2018	QGW036956 BROCHARD, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 06- S		-600.00	54.15	16.15	38.00	90.0	32.59
05/14/2018	QGW037004 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-1,200.00	90.25	20.90	69.35	90.0	60.33
05/14/2018	QGW037012 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-1,200.00	90.25	20.90	69.35	90.0	60.33
05/14/2018	QGW037012 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		1,200.00	-90.25	-20.90	-69.35	90.0	-60.33 C
05/01/2018	QGW037087 01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 05-18-18 S		-528.00	41.80	13.30	28.50	90.0	24.32
05/07/2018	QGW037087 01/19/2016, U/A	CALL 100 VUZIX CORP COM NEW EXP 06- S		-700.00	50.35	16.15	34.20	90.0	29.17

COMMISSION STATEMENT for RICHARD BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/30/2018	QGW037103	BURNS, KATHLEEN	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-550.00	28.50	13.77	14.73	90.0 11.88
04/30/2018	QGW037103	BURNS, KATHLEEN	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-600.00	45.60	13.77	31.83	90.0 27.27
05/07/2018	QGW037103	BURNS, KATHLEEN	CALL 100	COHERUS BIOSCIENCES INC CO S	-245.00	29.45	13.06	16.39	90.0 13.45
05/08/2018	QGW037103	BURNS, KATHLEEN	CALL 100	OPKO HEALTH INC COM EXP 06 S	-720.00	57.00	25.65	31.35	90.0 25.65
05/22/2018	QGW037103	BURNS, KATHLEEN	CALL 100	ENERGOUS CORP COM EXP 07-2 S	-650.00	46.55	13.77	32.78	90.0 28.13
05/30/2018	QGW037103	BURNS, KATHLEEN	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-720.00	57.95	18.52	39.43	90.0 33.64
05/14/2018	QGW038002	WICKERS, SCOTT	PUT 100	VUZIX CORP COM NEW EXP 06-1 S	-750.00	67.45	17.34	50.11	90.0 43.37
05/30/2018	QGW038002	WICKERS, SCOTT	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-1,500.00	94.05	16.15	77.90	90.0 68.50
05/03/2018	QGW038556	LAPINTA, LEONARD	PUT 100	ENERGOUS CORP COM EXP 06-15 S	-900.00	82.65	13.77	68.88	90.0 60.62
05/14/2018	QGW038556	LAPINTA, LEONARD	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-1,500.00	94.05	18.52	75.53	90.0 66.13
05/21/2018	QGW038556	LAPINTA, LEONARD	CALL 100	PAYPAL HLDGS INC COM EXP 0 S	-1,250.00	94.05	13.77	80.28	90.0 70.88
05/22/2018	QGW038978	KMIECIAK, INES	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-1,800.00	94.05	16.15	77.90	90.0 68.50
05/07/2018	QGW039091	CITARELLA, FRANK	CALL 100	VUZIX CORP COM NEW EXP 06- S	-700.00	61.75	16.15	45.60	90.0 39.43
05/01/2018	QGW039117	CUPAIOLO, JOSEPH	CALL 100	VUZIX CORP COM NEW EXP 06- S	-570.00	54.15	15.91	38.24	90.0 32.83
05/08/2018	QGW039125	WHITE, KERRY	PUT 100	VUZIX CORP COM NEW EXP 06-1 S	-600.00	55.10	18.52	36.58	90.0 31.07
05/08/2018	QGW039125	WHITE, KERRY	PUT 100	YANDEX N V SHS CLASS A ISIN S	-1,150.00	94.05	13.77	80.28	90.0 70.88
05/30/2018	QGW039125	WHITE, KERRY	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-1,875.00	94.05	17.34	76.71	90.0 67.31
05/02/2018	QGW039745	LEWIS, THOMAS	PUT 100	ENERGOUS CORP COM EXP 05-18 S	-675.00	58.90	14.96	43.94	90.0 38.05
05/22/2018	QGW039745	LEWIS, THOMAS	PUT 100	HEICO CORP NEW COM EXP 06-1 S	-1,610.00	90.25	13.06	77.19	90.0 68.17
05/22/2018	QGW039869	OHARA, JOHN	PUT 100	HEICO CORP NEW COM EXP 06-1 S	-760.00	71.25	12.35	58.90	90.0 51.78
05/30/2018	QGW039885	CORTESE, ROCCO	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-1,500.00	94.05	16.15	77.90	90.0 68.50
05/30/2018	QGW039919	FLANAGAN, MATTHEW	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-1,500.00	94.05	16.15	77.90	90.0 68.50
* OPTIONS SUBTOTAL *				\$-91,028.00	\$6,849.65	\$1,946.60	\$4,903.05		\$4,218.45
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018		FEE COUNT - 26		0.00	1,314.57	0.00	1,314.57	90.0	1,183.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,314.57	\$0.00	\$1,314.57		\$1,183.12
*** BROKER TRANSACTIONS TOTAL ***				\$840,413.33	\$25,709.70	\$3,052.40	\$22,657.30		\$20,087.04
<u>ADJUSTMENTS</u>									
05/31/2018		FEE ACTIVITY: NC INDIVIDUAL REG. FE		0.00	0.00	0.00	0.00		-125.00
05/31/2018		FEE ACTIVITY: TN INDIVIDUAL REG. FE		0.00	0.00	0.00	0.00		-50.00
05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
05/31/2018		OTHER: OTHER		0.00	0.00	0.00	0.00		-150.00
05/31/2018		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-61.75
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-406.75

BROKER COMMISSION TOTALS

Broker Transactions	\$20,087.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-406.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-32.14
SIPC Assessment	\$-64.27

Check Amount	\$19,583.88
Year-to-date Earnings	\$127,668.47