

COMMISSION STATEMENT

July 31, 2018

JOYCE BRISKIN-D009

919 Arizona Ash

BROKER #: D009

BRANCH: DMO

San Antonio, TX 78232

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/27/2018 368255014	ROERINK, BEVERLY	MF SMALLCAP WORLD FUND-A	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
07/27/2018 368255014	ROERINK, BEVERLY	MF THORNBURG INVESTMENT INCOME	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
07/27/2018 368255014	ROERINK, BEVERLY	MF THORNBURG INTERNATIONAL GROWTH	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
07/27/2018 368255014	ROERINK, BEVERLY	MF THORNBURG CORE GROWTH CL A	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
07/27/2018 368255014	ROERINK, BEVERLY	MF VALUE A	B	-982.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-4,912.75	\$0.00	\$25.00	\$-25.00		\$-22.50

PRODUCT CATEGORY: STOCKS

07/02/2018 122134292	ARMSTRONG, SHANNON	S EAST WEST BANCORP INC	S	-8,912.31	186.52	15.00	171.52	90.0	154.37
07/18/2018 122134292	ARMSTRONG, SHANNON	S COHU INCORPORATED	B	11,980.48	226.28	15.00	211.28	90.0	190.15
07/18/2018 122134292	ARMSTRONG, SHANNON	S HUNTSMAN CORP	B	11,203.39	214.79	15.00	199.79	90.0	179.81
07/19/2018 122134292	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	B	1,264.93	23.93	0.00	23.93	90.0	21.54
07/19/2018 122134292	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	B	2,978.75	56.30	15.00	41.30	90.0	37.17
07/19/2018 122134292	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	B	3,720.38	70.38	0.00	70.38	90.0	63.34
07/19/2018 122134292	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	B	3,943.60	74.60	0.00	74.60	90.0	67.14
07/26/2018 122134292	ARMSTRONG, SHANNON	S COGNIZANT TECH SOLUTIONS CORP	B	11,815.35	223.84	15.00	208.84	90.0	187.96
07/26/2018 122134292	ARMSTRONG, SHANNON	S VISHAY INTERTECHNOLOGY INC	B	12,191.38	229.39	15.00	214.39	90.0	192.95
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-1,552.43	32.55	0.00	32.55	90.0	29.30
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-1,552.43	32.55	0.00	32.55	90.0	29.30
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-1,549.98	32.55	15.00	17.55	90.0	15.80
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-1,557.36	32.62	0.00	32.62	90.0	29.36
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-1,086.71	22.78	0.00	22.78	90.0	20.50
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-1,090.15	22.84	0.00	22.84	90.0	20.56
07/27/2018 122134292	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-465.73	9.76	0.00	9.76	90.0	8.78
07/02/2018 123383825	AKIN, DREW	S PRINCIPAL FINANCIAL GROUP INC	S	-14,117.99	185.21	15.00	170.21	90.0	153.19
07/11/2018 123383825	AKIN, DREW	S BROOKS AUTOMATION INC	S	-9,720.28	198.83	15.00	183.83	90.0	165.45
07/19/2018 123383825	AKIN, DREW	S COHU INCORPORATED	B	10,714.01	207.56	15.00	192.56	90.0	173.30
07/19/2018 123383825	AKIN, DREW	S HUNTSMAN CORP	B	10,247.60	200.67	15.00	185.67	90.0	167.10
07/19/2018 123383825	AKIN, DREW	S MARATHON PETROLEUM CORP	B	10,421.34	203.23	15.00	188.23	90.0	169.41
07/26/2018 123383825	AKIN, DREW	S METHANEX CORPORATION ISIN	S	-10,252.97	206.94	15.00	191.94	90.0	172.75
07/27/2018 123383825	AKIN, DREW	S MERCER INTERNATIONAL INC-SBI	S	-1,556.13	33.85	0.00	33.85	90.0	30.47

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/27/2018 123383825	AKIN, DREW	S MERCER INTERNATIONAL INC-SBI	S	-1,556.13	33.85	0.00	33.85	90.0	30.47
07/27/2018 123383825	AKIN, DREW	S MERCER INTERNATIONAL INC-SBI	S	-1,556.13	33.85	0.00	33.85	90.0	30.47
07/27/2018 123383825	AKIN, DREW	S MERCER INTERNATIONAL INC-SBI	S	-1,553.68	33.85	15.00	18.85	90.0	16.97
07/27/2018 123383825	AKIN, DREW	S MERCER INTERNATIONAL INC-SBI	S	-1,561.06	33.92	0.00	33.92	90.0	30.53
07/02/2018 215652386	DOUGHERTY, JOHN	S EAST WEST BANCORP INC	S	-8,912.31	186.52	15.00	171.52	90.0	154.37
07/11/2018 215652386	DOUGHERTY, JOHN	S BROOKS AUTOMATION INC	S	-10,197.81	206.10	15.00	191.10	90.0	171.99
07/18/2018 215652386	DOUGHERTY, JOHN	S COHEN & STEERS LIMITED DURATION	S	-4,941.95	101.98	0.00	101.98	90.0	91.78
07/18/2018 215652386	DOUGHERTY, JOHN	S COHEN & STEERS LIMITED DURATION	S	-2,468.53	50.99	15.00	35.99	90.0	32.39
07/18/2018 215652386	DOUGHERTY, JOHN	S COHEN & STEERS LIMITED DURATION	S	-1,976.78	40.79	0.00	40.79	90.0	36.71
07/18/2018 215652386	DOUGHERTY, JOHN	S COHU INCORPORATED	B	13,303.78	245.83	15.00	230.83	90.0	207.75
07/18/2018 215652386	DOUGHERTY, JOHN	S HUNTSMAN CORP	B	12,159.19	228.92	15.00	213.92	90.0	192.53
07/19/2018 215652386	DOUGHERTY, JOHN	S MARATHON PETROLEUM CORP	B	4,538.86	85.86	0.00	85.86	90.0	77.27
07/19/2018 215652386	DOUGHERTY, JOHN	S MARATHON PETROLEUM CORP	B	7,368.79	139.34	15.00	124.34	90.0	111.91
07/26/2018 215652386	DOUGHERTY, JOHN	S COGNIZANT TECH SOLUTIONS CORP	B	11,830.98	224.07	15.00	209.07	90.0	188.16
07/26/2018 215652386	DOUGHERTY, JOHN	S VISHAY INTERTECHNOLOGY INC	B	12,191.85	229.40	15.00	214.40	90.0	192.96
07/27/2018 215652386	DOUGHERTY, JOHN	S MERCER INTERNATIONAL INC-SBI	S	-4,000.68	84.08	0.00	84.08	90.0	75.67
07/27/2018 215652386	DOUGHERTY, JOHN	S MERCER INTERNATIONAL INC-SBI	S	-3,971.78	83.32	15.00	68.32	90.0	61.49
07/27/2018 215652386	DOUGHERTY, JOHN	S MERCER INTERNATIONAL INC-SBI	S	-838.31	17.58	0.00	17.58	90.0	15.82
07/02/2018 368270423	ARMSTRONG, SHANNON	S COHEN & STEERS LIMITED DURATION	S	-7,485.65	164.80	15.00	149.80	90.0	134.82
07/03/2018 368270423	ARMSTRONG, SHANNON	S ISHARES DEVELOPED SMALL CAP	S	-4,244.59	115.44	15.00	100.44	90.0	90.40
07/11/2018 368270423	ARMSTRONG, SHANNON	S BROOKS AUTOMATION INC	S	-6,334.22	127.70	0.00	127.70	90.0	114.93
07/11/2018 368270423	ARMSTRONG, SHANNON	S BROOKS AUTOMATION INC	S	-3,164.66	63.85	15.00	48.85	90.0	43.97
07/11/2018 368270423	ARMSTRONG, SHANNON	S BROOKS AUTOMATION INC	S	-791.78	15.96	0.00	15.96	90.0	14.36
07/19/2018 368270423	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	B	2,978.90	58.10	0.00	58.10	90.0	52.29
07/19/2018 368270423	ARMSTRONG, SHANNON	S MARATHON PETROLEUM CORP	B	7,449.69	145.24	15.00	130.24	90.0	117.22
07/19/2018 368270423	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	B	3,655.70	103.25	15.00	88.25	90.0	79.43
07/27/2018 368270423	ARMSTRONG, SHANNON	S MERCER INTERNATIONAL INC-SBI	S	-10,944.92	217.48	15.00	202.48	90.0	182.23
07/19/2018 368298595	ANDERSON, PATRICIA	S COHEN & STEERS LIMITED DURATION	S	-6,187.80	115.67	15.00	100.67	90.0	90.60
07/19/2018 368298595	ANDERSON, PATRICIA	S MARATHON PETROLEUM CORP	B	7,426.91	127.56	15.00	112.56	90.0	101.30
07/19/2018 368349505	DOUGHERTY, JOHN	S COHEN & STEERS LIMITED DURATION	S	-9,426.40	155.01	15.00	140.01	90.0	126.01
07/03/2018 368438115	PAULSON, ANTHONY	S COHEN & STEERS LIMITED DURATION	S	-1,546.38	34.01	15.00	19.01	90.0	17.11
07/03/2018 368438115	PAULSON, ANTHONY	S COHEN & STEERS LIMITED DURATION	S	-1,373.96	30.17	0.00	30.17	90.0	27.15
07/03/2018 368438115	PAULSON, ANTHONY	S ISHARES DEVELOPED SMALL CAP	S	-4,101.91	90.34	15.00	75.34	90.0	67.81
07/11/2018 368438115	PAULSON, ANTHONY	S BROOKS AUTOMATION INC	S	-4,993.83	126.85	15.00	111.85	90.0	100.67
07/12/2018 368438115	PAULSON, ANTHONY	S CENTERSTATE BANKS OF FLORIDA	S	-5,788.80	138.67	15.00	123.67	90.0	111.30
07/19/2018 368438115	PAULSON, ANTHONY	S COHEN & STEERS LIMITED DURATION	S	-4,518.09	95.39	15.00	80.39	90.0	72.35
07/19/2018 368438115	PAULSON, ANTHONY	S COHU INCORPORATED	B	2,672.18	44.83	15.00	29.83	90.0	26.85
07/19/2018 368438115	PAULSON, ANTHONY	S COHU INCORPORATED	B	2,669.83	44.83	0.00	44.83	90.0	40.35
07/19/2018 368438115	PAULSON, ANTHONY	S COHU INCORPORATED	B	2,669.83	44.83	0.00	44.83	90.0	40.35
07/19/2018 368438115	PAULSON, ANTHONY	S MARATHON PETROLEUM CORP	B	818.72	16.61	0.00	16.61	90.0	14.95
07/19/2018 368438115	PAULSON, ANTHONY	S MARATHON PETROLEUM CORP	B	1,488.61	30.21	0.00	30.21	90.0	27.19
07/19/2018 368438115	PAULSON, ANTHONY	S MARATHON PETROLEUM CORP	B	6,626.67	134.43	15.00	119.43	90.0	107.49
07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-1,557.96	27.02	0.00	27.02	90.0	24.32
07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-1,562.90	27.08	0.00	27.08	90.0	24.37

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07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-1,562.90	27.08	0.00	27.08	90.0	24.37
07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-1,560.45	27.08	15.00	12.08	90.0	10.87
07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-716.66	12.43	0.00	12.43	90.0	11.19
07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-468.87	8.12	0.00	8.12	90.0	7.31
07/27/2018 368438115	PAULSON, ANTHONY	S MERCER INTERNATIONAL INC-SBI	S	-375.10	6.50	0.00	6.50	90.0	5.85
07/02/2018 400566872	JBRISKIN HOLDINGS	S EAST WEST BANCORP INC	S	-9,078.83	20.00	15.00	5.00	90.0	4.50
07/05/2018 400566872	JBRISKIN HOLDINGS	S ISHARES DEVELOPED SMALL CAP	S	-5,585.48	20.00	15.00	5.00	90.0	4.50
07/11/2018 400566872	JBRISKIN HOLDINGS	S BROOKS AUTOMATION INC	S	-3,224.81	6.15	0.00	6.15	90.0	5.54
07/11/2018 400566872	JBRISKIN HOLDINGS	S BROOKS AUTOMATION INC	S	-3,227.36	6.15	15.00	-8.85	90.0	-7.97
07/11/2018 400566872	JBRISKIN HOLDINGS	S BROOKS AUTOMATION INC	S	-2,418.60	4.62	0.00	4.62	90.0	4.16
07/11/2018 400566872	JBRISKIN HOLDINGS	S BROOKS AUTOMATION INC	S	-1,612.40	3.08	0.00	3.08	90.0	2.77
07/19/2018 400566872	JBRISKIN HOLDINGS	S COHU INCORPORATED	B	2,632.45	4.00	15.00	-11.00	90.0	-9.90
07/19/2018 400566872	JBRISKIN HOLDINGS	S COHU INCORPORATED	B	10,520.00	16.00	0.00	16.00	90.0	14.40
07/19/2018 400566872	JBRISKIN HOLDINGS	S MARATHON PETROLEUM CORP	B	4,387.50	7.50	0.00	7.50	90.0	6.75
07/19/2018 400566872	JBRISKIN HOLDINGS	S MARATHON PETROLEUM CORP	B	7,314.95	12.50	15.00	-2.50	90.0	-2.25
07/26/2018 400566872	JBRISKIN HOLDINGS	S COGNIZANT TECH SOLUTIONS CORP	B	11,623.70	20.00	15.00	5.00	90.0	4.50
07/26/2018 400566872	JBRISKIN HOLDINGS	S TESLA INC FORMERLY TESLA MOTORS	S	-7,606.20	20.00	15.00	5.00	90.0	4.50
07/26/2018 400566872	JBRISKIN HOLDINGS	S VISHAY INTERTECHNOLOGY INC	B	11,980.15	20.00	15.00	5.00	90.0	4.50
07/27/2018 400566872	JBRISKIN HOLDINGS	S MERCER INTERNATIONAL INC-SBI	S	-9,068.93	20.00	15.00	5.00	90.0	4.50
07/19/2018 677650476	BRISKIN, JOSHUA	S COHEN & STEERS LIMITED DURATION	S	-7,544.95	20.00	15.00	5.00	90.0	4.50
07/19/2018 677650476	BRISKIN, JOSHUA	S MARATHON PETROLEUM CORP	B	10,415.79	203.15	15.00	188.15	90.0	169.34
* STOCKS SUBTOTAL *				\$24,181.23	\$7,567.91	\$765.00	\$6,802.91		\$6,122.69

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018 mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	2.04	0.00	2.04	90.0	1.84
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	2.48	0.00	2.48	90.0	2.23
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	4.01	0.00	4.01	90.0	3.61
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	8.71	0.00	8.71	90.0	7.84
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	18.52	0.00	18.52	90.0	16.67
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	34.83	0.00	34.83	90.0	31.35
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM CHARTER FUND - CLASS A	B	0.00	6.26	0.00	6.26	90.0	5.63
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND	B	0.00	5.79	0.00	5.79	90.0	5.21
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND	B	0.00	6.26	0.00	6.26	90.0	5.63
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM GLOBAL HEALTH CARE FUND	B	0.00	15.93	0.00	15.93	90.0	14.34
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	1.54	0.00	1.54	90.0	1.39
07/23/2018 mfc156871	BRISKIN 156871	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	9.43	0.00	9.43	90.0	8.49
07/23/2018 mfc156871	BRISKIN 156871	MFT BLACKROCK ADV SMALL CAP GROW EQ	B	0.00	4.86	0.00	4.86	90.0	4.37
07/23/2018 mfc156871	BRISKIN 156871	MFT COLUMBIA HIGH YIELD MUNI FD A	B	0.00	3.85	0.00	3.85	90.0	3.47
07/23/2018 mfc156871	BRISKIN 156871	MFT DELAWARE GROWTH OPPORTUNITIES	B	0.00	4.80	0.00	4.80	90.0	4.32
07/23/2018 mfc156871	BRISKIN 156871	MFT DELAWARE HIGH YIELD	B	0.00	1.30	0.00	1.30	90.0	1.17
07/23/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	2.68	0.00	2.68	90.0	2.41
07/23/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	1.14	0.00	1.14	90.0	1.03
07/23/2018 mfc156871	BRISKIN 156871	MFT DELAWARE SMALL CAP VALUE FUND A	B	0.00	9.20	0.00	9.20	90.0	8.28
07/23/2018 mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.65	0.00	4.65	90.0	4.19

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07/23/2018	mfc156871	BRISKIN 156871	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.97	0.00	4.97	90.0	4.47
07/23/2018	mfc156871	BRISKIN 156871	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	2.07	0.00	2.07	90.0	1.86
07/23/2018	mfc156871	BRISKIN 156871	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.43	0.00	6.43	90.0	5.79
07/23/2018	mfc156871	BRISKIN 156871	MFT HARTFORD GLOBAL EQUITY INCOME	B	0.00	0.20	0.00	0.20	90.0	0.18
07/23/2018	mfc156871	BRISKIN 156871	MFT HARTFORD INTL EQUITY FD A	B	0.00	0.08	0.00	0.08	90.0	0.07
07/23/2018	mfc156871	BRISKIN 156871	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	18.92	0.00	18.92	90.0	17.03
07/23/2018	mfc156871	BRISKIN 156871	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.58	0.00	7.58	90.0	6.82
07/23/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	1.73	0.00	1.73	90.0	1.56
07/23/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	4.34	0.00	4.34	90.0	3.91
07/23/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	6.90	0.00	6.90	90.0	6.21
07/23/2018	mfc156871	BRISKIN 156871	MFT INVESCO EQUALLY-WGHTD S&P 500	B	0.00	15.46	0.00	15.46	90.0	13.91
07/23/2018	mfc156871	BRISKIN 156871	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	20.27	0.00	20.27	90.0	18.24
07/23/2018	mfc156871	BRISKIN 156871	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.98	0.00	3.98	90.0	3.58
07/23/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET	B	0.00	2.18	0.00	2.18	90.0	1.96
07/23/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	2.43	0.00	2.43	90.0	2.19
07/23/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.12	0.00	1.12	90.0	1.01
07/23/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	2.09	0.00	2.09	90.0	1.88
07/23/2018	mfc156871	BRISKIN 156871	MFT SMALLCAP WORLD FUND-A	B	0.00	11.41	0.00	11.41	90.0	10.27
07/23/2018	mfc156871	BRISKIN 156871	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.91	0.00	4.91	90.0	4.42
07/23/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.59	0.00	2.59	90.0	2.33
07/23/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD GROWTH	B	0.00	1.83	0.00	1.83	90.0	1.65
07/23/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.24	0.00	0.24	90.0	0.22
07/23/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	1.20	0.00	1.20	90.0	1.08
07/23/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD VALUE	B	0.00	1.06	0.00	1.06	90.0	0.95
07/23/2018	mfc156871	BRISKIN 156871	MFT THE INVESTMENT COMPANY OF	B	0.00	59.92	0.00	59.92	90.0	53.93
07/23/2018	mfc156871	BRISKIN 156871	MFT THE NEW ECONOMY FUND-A	B	0.00	6.73	0.00	6.73	90.0	6.06
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.81	0.00	9.81	90.0	8.83
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG CORE GROWTH CL A	B	0.00	10.63	0.00	10.63	90.0	9.57
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	24.99	0.00	24.99	90.0	22.49
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	3.27	0.00	3.27	90.0	2.94
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.42	0.00	1.42	90.0	1.28
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	10.65	0.00	10.65	90.0	9.59
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	23.13	0.00	23.13	90.0	20.82
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	42.28	0.00	42.28	90.0	38.05
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	5.06	0.00	5.06	90.0	4.55
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	10.47	0.00	10.47	90.0	9.42
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	12.36	0.00	12.36	90.0	11.12
07/23/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	19.69	0.00	19.69	90.0	17.72
07/23/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.88	0.00	1.88	90.0	1.69
07/23/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	5.76	0.00	5.76	90.0	5.18
07/23/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	1.46	0.00	1.46	90.0	1.31
07/23/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	4.18	0.00	4.18	90.0	3.76
07/23/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.06	0.00	1.06	90.0	0.95
07/23/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.32	0.00	3.32	90.0	2.99

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/23/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	3.38	0.00	3.38	90.0	3.04
07/23/2018	mfc156871 BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	8.18	0.00	8.18	90.0	7.36
07/23/2018	mfc156871 BRISKIN 156871	MFT VALUE A	B	0.00	10.87	0.00	10.87	90.0	9.78
07/23/2018	mfc156871 BRISKIN 156871	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.55	0.00	0.55	90.0	0.50
07/23/2018	mfc156871 BRISKIN 156871	MFT VOYA SENIOR INCOME FUND C	B	0.00	58.01	0.00	58.01	90.0	52.21
07/23/2018	mfc156871 BRISKIN 156871	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.24	0.00	1.24	90.0	1.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$612.57	\$0.00	\$612.57		\$551.32
*** CLEARING TRANSACTIONS TOTAL ***				\$19,268.48	\$8,180.48	\$790.00	\$7,390.48		\$6,651.51

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/05/2018 (None) NIX	MF	NEST ADVISOR	B	100.00	3.00	0.00	3.00	90.0	2.70
07/05/2018 (None) NIX	MF	NEST ADVISOR	B	200.00	6.00	0.00	6.00	90.0	5.40
07/05/2018 (None) SULTEMEIER, FARRON	MF	NEST ADVISOR	B	50.00	0.50	0.00	0.50	90.0	0.45
07/05/2018 (None) SULTEMEIER, FARRON	MF	NEST ADVISOR	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018 00551287150 SMITH,	MF	PUTNAM 529 AGE-BASED 2001-A	B	500.00	10.00	0.00	10.00	90.0	9.00
07/16/2018 00551287155 SMITH,	MF	PUTNAM 529 AGE-BASED 2000-A	B	500.00	10.00	0.00	10.00	90.0	9.00
07/16/2018 03810613137 SULTEMEIER,	MF	AIM MID-CAP EQUITY FUND CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
07/16/2018 03810613137 SULTEMEIER,	MF	INVESCO VALUE OPPORTUNITIES	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$1,600.00	\$40.00	\$0.00	\$40.00		\$36.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018 (None) VARIOUS, BRISKIN	MFT DELWARE	B	0.00	65.60	0.00	65.60	90.0	59.04
06/30/2018 (None) VARIOUS, BRISKIN	MFT HARTFORD MUTUAL FUIND	B	0.00	15.78	0.00	15.78	90.0	14.20
06/30/2018 (None) NIX	MFT NEST ADVISOR	B	0.00	18.09	0.00	18.09	90.0	16.28
06/30/2018 (None) SULTEMEIER, FARRON	MFT NEST ADVISOR	B	0.00	8.03	0.00	8.03	90.0	7.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$107.50	\$0.00	\$107.50		\$96.75
*** DIRECT TRANSACTIONS TOTAL ***			\$1,600.00	\$147.50	\$0.00	\$147.50		\$132.75

ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
07/31/2018	: FINRA CE	0.00	0.00	0.00	0.00	-55.00
07/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	266.64
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$26.64
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$26.64

BROKER COMMISSION TOTALS

Broker Transactions	\$6,784.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$26.64
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$6,810.90
Year-to-date Commissions	\$58,538.71