BROKER

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

November 30, 2017

EZRA GRAYMAN

7 EDGEWOOD AVE

SPRINGFIELD, NJ 07081

BROKER #: 27

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for EZRA GRAYMAN

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		DDOWED MI		CETONG					
		BROKER TE	KANSA	CTIONS					
PRODUCT CAT	regory: stocks								
10/31/2017 Q	GV001035 JPMORGAN CLEARING	VALLEY NATL BANCORP PERP PFD SER A	В	32,642.50	11.87	10.00	1.87	50.0	0.94
11/14/2017 Q	GV001035 JPMORGAN CLEARING	FEDERAL NATL MTG ASSN NON CUM PFD	SS	-67,500.00	125.00	10.00	115.00	50.0	57.50
10/31/2017 Q	GV006216 LION	CORPORATE OFFICE PROPERTIES TRUST-	SS	-31,750.00	20.00	10.00	10.00	50.0	5.00
10/31/2017 Q	GV006216 LION	ENVIVA PARTNERS LP COM UNIT REPSTO	S	-27,750.00	20.00	10.00	10.00	50.0	5.00
11/01/2017 Q	GV006216 LION	ALTAIR ENGR INC CL A COM	S	-91,800.00	54.00	10.00	44.00	50.0	22.00
11/01/2017 Q	GV006216 LION	CONSTELLIUM NV CL A ISIN#NL0010489	5 S	-4,600.00	20.00	10.00	10.00	50.0	5.00
11/01/2017 Q	GV006216 LION	LOMA NEGRA CORP SPONSORED ADS REPS	TS	-30,310.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	ALLENA PHARMACEUTICALS COM	S	-12,000.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	ALLENA PHARMACEUTICALS COM	S	-3,600.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	EVOQUA WATER TECHNOLOGIES CORP COM	I S	-25,805.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	EVOQUA WATER TECHNOLOGIES CORP COM	I S	-29,775.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	FUNKO INC CL A	S	-1,223.58	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	INTELLIA THERAPEUTICS INC COM	S	-52,964.25	25.00	10.00	15.00	50.0	7.50
11/02/2017 Q	GV006216 LION	INTELLIA THERAPEUTICS INC COM	S	-635,571.00	300.00	10.00	290.00	50.0	145.00
11/02/2017 Q	GV006216 LION	NEXTDECADE CORP COM	S	-18,500.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006216 LION	SPERO THERAPEUTICS INC COM	S	-19,875.00	20.00	10.00	10.00	50.0	5.00
11/03/2017 Q	GV006216 LION	SENTINEL ENERGY SVCS INC UNIT 1 CL	S	-22,088.44	22.00	10.00	12.00	50.0	6.00
11/06/2017 Q	GV006216 LION	ADVANCED MICRO DEVICES INC	В	411,981.50	150.00	10.00	140.00	50.0	70.00
11/06/2017 Q	GV006216 LION	VICAL INC COM NEW	S	-4,575.00	25.00	10.00	15.00	50.0	7.50
11/07/2017 Q	GV006216 LION	MERIDIAN BK PAOLI PA COM	S	-265,125.00	150.00	10.00	140.00	50.0	70.00
11/07/2017 Q	GV006216 LION	PARETEUM CORPORATION COMMON STOCK	S	-20,500.00	100.00	10.00	90.00	50.0	45.00
11/07/2017 Q	GV006216 LION	PARETEUM CORPORATION COMMON STOCK	S	20,500.00	-100.00	-10.00	-90.00	50.0	-45.00
11/07/2017 Q	GV006216 LION	PARETEUM CORPORATION COMMON STOCK	S	-3,075.00	37.50	10.00	27.50	50.0	13.75
11/07/2017 Q	GV006216 LION	PROPETRO HLDG CORP COM	S	-16,150.00	20.00	10.00	10.00	50.0	5.00
11/07/2017 Q	GV006216 LION	VICAL INC COM NEW	S	-12,772.50	75.00	10.00	65.00	50.0	32.50
11/08/2017 Q	GV006216 LION	ADVANCED MICRO DEVICES INC	В	409,339.00	200.00	10.00	190.00	50.0	95.00
11/08/2017 Q	GV006216 LION	ARCIMOTO INC COM	S	-4,004.00	20.00	10.00	10.00	50.0	5.00
11/08/2017 Q	GV006216 LION	CBTX INC COM	S	-44,800.00	20.00	10.00	10.00	50.0	5.00
11/08/2017 Q	GV006216 LION	FOUR SEASONS EDUCATION (CAYMAN) IN	C S	-5,100.00	20.00	10.00	10.00	50.0	5.00
11/08/2017 Q	GV006216 LION	INFLARX N V COM ISIN#NL0012661870	S	-16,709.00	20.00	10.00	10.00	50.0	5.00
11/08/2017 Q	GV006216 LION	METROPOLITAN BK HLDG CORP COM	S	-86,494.95	23.00	10.00	13.00	50.0	6.50

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
11/08/2017 QGV006216 LION	PROTAGONIST THERAPEUTICS INC COM	S	-199,689.05	117.46	10.00	107.46	50.0	53.73			
11/08/2017 QGV006216 LION	REDHILL BIOPHARMA LTD AMERICAN DEP	0 S	-53,054.29	101.00	10.00	91.00	50.0	45.50			
11/08/2017 QGV006216 LION	REDHILL BIOPHARMA LTD AMERICAN DEP	ОВ	27,500.00	50.00	10.00	40.00	50.0	20.00			
11/08/2017 QGV006216 LION	VICAL INC COM NEW	В	18,500.00	100.00	10.00	90.00	50.0	45.00			
11/08/2017 QGV006216 LION	VOYAGER THERAPEUTICS INC COM	S	-38,700.00	30.00	10.00	20.00	50.0	10.00			
11/09/2017 QGV006216 LION	ADMA BIOLOGICS INC COM	S	-5,625.00	25.00	10.00	15.00	50.0	7.50			
11/09/2017 QGV006216 LION	APELLIS PHARMACEUTICALS INC COM	S	-14,500.00	20.00	10.00	10.00	50.0	5.00			
11/09/2017 QGV006216 LION	CADENCE BANCORPORATION CL A	S	-6,630.60	20.00	10.00	10.00	50.0	5.00			
11/09/2017 QGV006216 LION	REDHILL BIOPHARMA LTD AMERICAN DEP	ОВ	5,428.50	20.00	10.00	10.00	50.0	5.00			
11/09/2017 QGV006216 LION	SOGOU INC ADR REPSTG CL A SHS ISIN	# S	-2,600.00	20.00	10.00	10.00	50.0	5.00			
11/09/2017 QGV006216 LION	SRC ENERGY INC COM	S	-17,000.00	20.00	10.00	10.00	50.0	5.00			
11/09/2017 QGV006216 LION	SRC ENERGY INC COM	S	-4,250.00	20.00	10.00	10.00	50.0	5.00			
11/09/2017 QGV006216 LION	TAYLOR MORRISON HOME CORP CL A	S	-47,250.00	21.00	10.00	11.00	50.0	5.50			
11/10/2017 QGV006216 LION	ONCONOVA THERAPEUTICS INC COM NEW	S	-38,890.00	150.00	10.00	140.00	50.0	70.00			
11/10/2017 QGV006216 LION	PPDAI GROUP INC SPONSORED ADR ISIN	# S	-10,640.00	20.00	10.00	10.00	50.0	5.00			
11/13/2017 QGV006216 LION	JAGUAR HEALTH INC COM	S	-22,000.00	100.00	10.00	90.00	50.0	45.00			
11/14/2017 QGV006216 LION	ADVANCED MICRO DEVICES INC	В	392,406.00	150.00	10.00	140.00	50.0	70.00			
11/14/2017 QGV006216 LION	ALNYLAM PHARMACEUTICALS INC	S	-314,400.00	24.00	10.00	14.00	50.0	7.00			
11/14/2017 QGV006216 LION	JAGUAR HEALTH INC COM	В	20,890.00	100.00	10.00	90.00	50.0	45.00			
11/14/2017 QGV006216 LION	JAGUAR HEALTH INC COM	S	-24,360.00	100.00	10.00	90.00	50.0	45.00			
11/14/2017 QGV006216 LION	PROOR THERAPEUTICS NV SHS EURO ISI	N S	-164,708.50	200.00	10.00	190.00	50.0	95.00			
11/15/2017 QGV006216 LION	ADVANCED MICRO DEVICES INC	В	273,750.00	100.00	10.00	90.00	50.0	45.00			
11/15/2017 QGV006216 LION	SENDGRID INC COM	S	-107,468.20	58.00	10.00	48.00	50.0	24.00			
11/16/2017 QGV006216 LION	ACHILLION PHARMACEUTICALS INC	S	-40,089.60	120.00	10.00	110.00	50.0	55.00			
11/16/2017 QGV006216 LION	ADVANCED MICRO DEVICES INC	В	281,250.00	100.00	10.00	90.00	50.0	45.00			
11/16/2017 QGV006216 LION	APPIAN CORP CL A	S	-36,298.44	20.00	10.00	10.00	50.0	5.00			
11/16/2017 QGV006216 LION	ARSANIS INC COM	S	-69,851.13	51.00	10.00	41.00	50.0	20.50			
11/16/2017 QGV006216 LION	AXOGEN INC COM	S	-224,500.00	100.00	10.00	90.00	50.0	45.00			
11/16/2017 QGV006216 LION	GARDNER DENVER HLDGS INC COM	S	-2,800.00	20.00	10.00	10.00	50.0	5.00			
11/16/2017 QGV006216 LION	GARDNER DENVER HLDGS INC COM	S	-86,800.00	31.00	10.00	21.00	50.0	10.50			
11/16/2017 QGV006216 LION	JELD WEN HOLDING INC COMMON STOCK	S	-17,500.00	20.00	10.00	10.00	50.0	5.00			
11/16/2017 QGV006216 LION	JELD WEN HOLDING INC COMMON STOCK	S	-63,000.00	20.00	10.00	10.00	50.0	5.00			
11/17/2017 QGV006216 LION	AMERI HLDGS INC COM	S	-109,048.50	200.00	10.00	190.00	50.0	95.00			
11/17/2017 QGV006216 LION	BLUEGREEN VACATIONS CORP COM	S	-416,000.00	200.00	10.00	190.00	50.0	95.00			
11/17/2017 QGV006216 LION	BLUEGREEN VACATIONS CORP COM	S	-26,000.00	20.00	10.00	10.00	50.0	5.00			
11/17/2017 QGV006216 LION	CENTURY CASINOS INC	S	-222,402.60	285.00	10.00	275.00	50.0	137.50			
11/17/2017 QGV006216 LION	LEVEL BRANDS INC COM NEW	S	-84,328.50	150.00	10.00	140.00	50.0	70.00			
11/17/2017 QGV006216 LION	PRESIDIO INC COM	S	-58,070.22	39.00	10.00	29.00	50.0	14.50			
11/17/2017 QGV006216 LION	SAILPOINT TECHNOLOGIES HLDGS INC C	0 S	-25,218.54	20.00	10.00	10.00	50.0	5.00			
11/17/2017 QGV006216 LION	SCPHARMACEUTICALS INC COM	S	-72,500.00	50.00	10.00	40.00	50.0	20.00			

INVESTMENT

GROSS

CLEARING

NET

Page No. 3

BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		770		CETONG					
BROKER TRANSACTIONS									
-	TEGORY: STO								
~	QGV006216 LION			-8,450.00	20.00	10.00	10.00		5.00
-	GV006216 LION			-410,929.50	200.00	10.00	190.00	50.0	95.00
-	GV006216 LION		S	-1,075.00	20.00	10.00	10.00	50.0	5.00
-	GV006216 LION			-2,625.00	20.00	10.00	10.00	50.0	5.00
-	GV006216 LION			-39,000.00	100.00	10.00	90.00	50.0	45.00
	GV006216 LION		S	-2,500.00	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, ADVANCED MICRO DEVICES INC		615,048.50	200.00	10.00	190.00	50.0	95.00
		IS FAMILY OFFICE, ADVANCED MICRO DEVICES INC		-336,627.00	150.00	10.00	140.00	50.0	70.00
		IS FAMILY OFFICE, CORPORATE OFFICE PROPERTIES		-31,750.00	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, ENVIVA PARTNERS LP COM UNI		-13,875.00	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, ADVANCED MICRO DEVICES INC		335,499.00	150.00	10.00	140.00	50.0	70.00
11/01/2017 Q	QGV006224 DAV	IS FAMILY OFFICE, CONSTELLIUM NV CL A ISIN#N	L00104895 S	-28,750.00	25.00	10.00	15.00	50.0	7.50
		IS FAMILY OFFICE, CONSTELLIUM NV CL A ISIN#N		-9,200.00	20.00	10.00	10.00	50.0	5.00
11/01/2017 Q	QGV006224 DAV	IS FAMILY OFFICE, LOMA NEGRA CORP SPONSORED	ADS REPST S	-10,825.00	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006224 DAV	IS FAMILY OFFICE, FUNKO INC CL A	S	-4,020.34	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006224 DAV	IS FAMILY OFFICE, FUNKO INC CL A	S	-34,959.50	50.00	10.00	40.00	50.0	20.00
11/02/2017 Q	GV006224 DAV	IS FAMILY OFFICE, INTELLIA THERAPEUTICS INC	COM S	-141,944.19	67.00	10.00	57.00	50.0	28.50
11/02/2017 Q	GV006224 DAV	IS FAMILY OFFICE, SPERO THERAPEUTICS INC COM	S	-2,318.75	20.00	10.00	10.00	50.0	5.00
11/02/2017 Q	GV006224 DAV	IS FAMILY OFFICE, SPERO THERAPEUTICS INC COM	S	-10,600.00	20.00	10.00	10.00	50.0	5.00
11/03/2017 Q	GV006224 DAV	IS FAMILY OFFICE, SENTINEL ENERGY SVCS INC U	NIT 1 CL S	-30,120.60	30.00	10.00	20.00	50.0	10.00
11/07/2017 Q	GV006224 DAV	IS FAMILY OFFICE, KNOT OFFSHORE PARTNERS LP	COM UNITS S	-21,700.00	20.00	10.00	10.00	50.0	5.00
11/07/2017 Q	GV006224 DAV	S FAMILY OFFICE, PARETEUM CORPORATION COMMO	N STOCK S	-3,075.00	37.50	10.00	27.50	50.0	13.75
11/07/2017 Q	GV006224 DAV	S FAMILY OFFICE, PARETEUM CORPORATION COMMO	N STOCK S	3,075.00	-37.50	-10.00	-27.50	50.0	-13.75 C
11/07/2017 Q	GV006224 DAV	IS FAMILY OFFICE, PARETEUM CORPORATION COMMO	N STOCK S	-20,500.00	100.00	10.00	90.00	50.0	45.00
11/07/2017 Q	GV006224 DAV	IS FAMILY OFFICE, PROPETRO HLDG CORP COM	S	-24,225.00	20.00	10.00	10.00	50.0	5.00
11/07/2017 Q	GV006224 DAV	IS FAMILY OFFICE, PROPETRO HLDG CORP COM	S	24,225.00	-20.00	-10.00	-10.00	50.0	-5.00 C
11/07/2017 Q	GV006224 DAV	IS FAMILY OFFICE, PROPETRO HLDG CORP COM	S	-29,070.00	20.00	10.00	10.00	50.0	5.00
11/08/2017 Q	GV006224 DAV	IS FAMILY OFFICE, CBTX INC COM	S	-5,600.00	20.00	10.00	10.00	50.0	5.00
11/08/2017 Q	GV006224 DAV	IS FAMILY OFFICE, METROPOLITAN BK HLDG CORP	COM S	-101,537.55	27.00	10.00	17.00	50.0	8.50
11/08/2017 Q	GV006224 DAV	IS FAMILY OFFICE, METROPOLITAN BK HLDG CORP	COM B	99,450.00	26.00	10.00	16.00	50.0	8.00
11/08/2017 Q	GV006224 DAV	IS FAMILY OFFICE, REDHILL BIOPHARMA LTD AMER	ICAN DEPO S	-36,770.30	70.00	10.00	60.00	50.0	30.00
11/08/2017 Q	GV006224 DAV	IS FAMILY OFFICE, VOYAGER THERAPEUTICS INC C	OM S	-38,700.00	30.00	10.00	20.00	50.0	10.00
11/09/2017 Q	GV006224 DAV	IS FAMILY OFFICE, CADENCE BANCORPORATION CL	A S	-4,420.40	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, REDHILL BIOPHARMA LTD AMER		-5,421.80	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, SRC ENERGY INC COM	S	-51,000.00	60.00	10.00	50.00	50.0	25.00
~	•	IS FAMILY OFFICE, SRC ENERGY INC COM	S	-8,500.00	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, ALNYLAM PHARMACEUTICALS IN		-13,100.00	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, ALNYLAM PHARMACEUTICALS IN		-52,400.00	20.00	10.00	10.00	50.0	5.00
		IS FAMILY OFFICE, SENDGRID INC COM	s S	-9,264.50	20.00	10.00	10.00	50.0	5.00
~	•	IS FAMILY OFFICE, APPIAN CORP CL A	S	-2,016.58	20.00	10.00	10.00	50.0	5.00
/-U/201/ Q	C.OOOLLI DAVI	LO IIIILLI OILLOH, IILLIIM COM CH A	D	2,010.30	20.00	10.00	10.00	50.0	5.00

	TRADE DATE	CLIENT	י	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: STOCKS											
10.980.00 20.00 10.00 50.0 5.00 5.00 10.10 10.00 50.0 5.00 10.16/2017 Q00006224 BAYIS FAMILY OPPICE, GAMERIE BENEVER HIDGS INC COM S					BROKER TRANSA	ACTIONS					
11/16/2017 GOVUGGEZZZZ BANTE FAMILY OPPICE, GREENER ENDRE HIDDE BINC OM S 2,900,00 20.00 10.00 10.00 50.0 5.00 11/16/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, JULIU WEN HOLDING INC COMMON STOCK 8 7-24,500.00 20.00 10.00 10.00 50.0 5.00 11/16/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, JULIU WEN HOLDING INC COMMON STOCK 8 7-24,500.00 20.00 10.00 10.00 50.0 5.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, LEGREN NAVAGURING CORP COME 8 7-37,487.20 48.00 10.00 38.00 50.0 50.00 11/17/2017 GOVUGEZZZ BANTE FAMILY OPPICE, CERTURY CASENOS INC 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGEZZZ BANTE FAMILY OPPICE, LEGREN X ACCURITON CORP LINT C.A. 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, PHENDIOLIN COMMON 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, STITCHE FIRE INC CLASS A COMMON STOCK 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, ADVANCHAM HONORIC STOCK 8 6-4,529.40 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, ADVANCHAM HONORIC STOCK 8 6-4,529.40 40.00 10.00 90.00 60.0 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, ADVANCHAM HONORIC STOCK 8 6-4,529.40 40.00 10.00 90.00 60.0 60.00	PRODUCT CAT	EGORY:	STOCKS								
11/16/2017 GOVUGGEZZZZ BANTE FAMILY OPPICE, GREENER ENDRE HIDDE BINC OM S 2,900,00 20.00 10.00 10.00 50.0 5.00 11/16/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, JULIU WEN HOLDING INC COMMON STOCK 8 7-24,500.00 20.00 10.00 10.00 50.0 5.00 11/16/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, JULIU WEN HOLDING INC COMMON STOCK 8 7-24,500.00 20.00 10.00 10.00 50.0 5.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, LEGREN NAVAGURING CORP COME 8 7-37,487.20 48.00 10.00 38.00 50.0 50.00 11/17/2017 GOVUGEZZZ BANTE FAMILY OPPICE, CERTURY CASENOS INC 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGEZZZ BANTE FAMILY OPPICE, LEGREN X ACCURITON CORP LINT C.A. 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, PHENDIOLIN COMMON 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, STITCHE FIRE INC CLASS A COMMON STOCK 8 7-4,466.94 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, ADVANCHAM HONORIC STOCK 8 6-4,529.40 40.00 10.00 10.00 50.0 50.00 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, ADVANCHAM HONORIC STOCK 8 6-4,529.40 40.00 10.00 90.00 60.0 50.00 11/17/2017 GOVUGGEZZZ BANTE FAMILY OPPICE, ADVANCHAM HONORIC STOCK 8 6-4,529.40 40.00 10.00 90.00 60.0 60.00				FLOOR & DECOR HI	DGS INC COM CL A S	-10.980.00	20.00	10.00	10.00	50.0	5.00
11/14/2017 GYYGGG224 DAYES PAMILY OFFICE, JELD WEN HOLDINS INC COMENS STOCK S -29,800.00 20.00 10.00 10.00 50.0 50.00 11/14/2017 GYYGGG224 DAYES PAMILY OFFICE, JELD WEN HOLDINS INC COMMENS STOCK S -29,800.00 20.00 10.00 40.00 50.0 50.00 11/14/2017 GYYGGG224 DAYES PAMILY OFFICE, JELD WEN HOLDINS INC COMMENS STOCK S -29,800.00 20.00 10.00 40.00 50.0 50.0 11/14/2017 GYYGGG224 DAYES PAMILY OFFICE, SURSEYER VACATIONS CORP CON S -37,457.20 40.00 10.00 40.00 50.0 50.0 11/14/2017 GYYGGG224 DAYES PAMILY OFFICE, SURSEYER VACATIONS CORP CON S -34,666.94 20.00 10.00 10.00 50.0											
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						-8,400.00		10.00	390.00	50.0	195.00

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS									
PRODUCT CATEGORY: BONDS									
	* STOCKS SUBTOTAL *	\$-44,199.26	\$19,384.56	\$1,450.00	\$17,934.56		\$8,967.30		
PRODUCT CATEGORY: BONDS									
11/17/2017 OGV006414 MERRILL LYNCH PROF	WELLS FARGO & CO NEW DEP SHS REPSTG B	3,254,475.00	1,875.00	10.00	1,865.00	50.0	932.50		
11/17/2017 QGV006414 MERRILL LYNCH PROF	WELLS FARGO & CO NEW DEP SHS REPSTG B	-3,254,475.00	-1,875.00	-10.00	-1,865.00	50.0	-932.50 C		
11/17/2017 QGV006505 CREDIT SUISSE	WELLS FARGO & CO NEW DEP SHS REPSTG B	3,254,475.00	1,875.00	10.00	1,865.00	50.0	932.50		
	* BONDS SUBTOTAL *	\$3,254,475.00	\$1,875.00	\$10.00	\$1,865.00		\$932.50		
PRODUCT CATEGORY: OPTIONS				·			·		
	AMEDI ULDGO ING UM EVD EVD 11/16/00 G	10 470 00	100.00	10.00	00.00	50.0	45.00		
11/17/2017 QGV006216 LION 11/27/2017 QGV006216 LION	AMERI HLDGS INC WT EXP EXP 11/16/22 S	-12,470.00 -7,150.00	130.00	10.00	90.00	50.0	60.00		
11/2//2017 QGV000216 LION 10/31/2017 QGW014847 ALBANESE, JOHN	AMERI HLDGS INC WT EXP EXP 11/16/22 S CALL 100 KELLOGG CO COM EXP 01-19-1 S	-126,000.00	1,050.00	10.00	1,040.00	50.0	520.00		
11/01/2017 OGW014847 ALBANESE, JOHN	CALL 100 GENERAL ELECTRIC CO COM EX B	54,900.00	1,750.00	10.00	1,740.00	50.0	870.00		
11/10/2017 QGW014847 ALBANESE, JOHN	CALL 100 GENERAL ELECTRIC CO COM EX S	-60,000.00	1,750.00	10.00	1,740.00	50.0	870.00		
11/10/2017 QGW014047 ALBANESE, JOHN	WI ENTERCOM COMMUNICATIONS CORP CL B	0.00	0.00	10.00	-10.00	50.0	-5.00		
11/10/2017 QGW011017 ABBANESE, JOHN	WI ENTERCOM COMMUNICATIONS CORP CL B	0.00	0.00	-10.00	10.00	50.0	5.00 C		
11/20/2017 QGW011017 ABBANESE, JOHN	CALL 100 CBS CORP CL B COM EXP 01-1 B	68,200.00	350.00	10.00	340.00	50.0	170.00		
-	* OPTIONS SUBTOTAL *	\$-82,520.00	\$5,130.00	\$60.00	\$5,070.00		\$2,535.00		
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS								
11/17/2017 QGW014870 GOLD, ALAN	NUVEEN LIMITED TERM MUNI BOND CLASS B	0.00	5.44	0.00	5.44	50.0	2.72		
11/22/2017 QGW014870 GOLD, ALAN	GOLDMAN SACHS SHORT DURATION TAX FR B	0.00	4.91	0.00	4.91	50.0	2.46		
11/24/2017 QGW024606 GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	3.98	0.00	3.98	50.0	1.99		
11/24/2017 QGW024614 GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	2.29	0.00	2.29	50.0	1.15		
11/22/2017 QGW024622 GOLD, ALAN	COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	29.25	0.00	29.25	50.0	14.63		
11/24/2017 QGW024622 GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.70	0.00	1.70	50.0	0.85		
11/22/2017 QGW024630 GOLD, SUSAN	COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	14.45	0.00	14.45	50.0	7.23		
11/24/2017 QGW024630 GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.70	0.00	1.70	50.0	0.85		
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$63.72	\$0.00	\$63.72		\$31.88		
	*** BROKER TRANSACTIONS TOTAL ***	\$3,127,755.74	\$26,453.28	\$1,520.00	\$24,933.28		\$12,466.68		

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			ADJUSTMEN	NTS					
11/30/2017		:		0.00	0.00	0.00	0.00		0.00
11/30/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-5,000.00
11/30/2017		OTHER: COMPLIANCE AND TECHNO	OLOGY	0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
11/30/2017		OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
11/30/2017		OTHER: OTHER-CORE TECH		0.00	0.00	0.00	0.00		-119.80
11/30/2017		OTHER: OTHER-MYNDS		0.00	0.00	0.00	0.00		2,921.00
11/30/2017		OTHER: OTHER-TRADING EXPENSE	E	0.00	0.00	0.00	0.00		-46.88
11/30/2017		OTHER: OTHER-MERIDIAN		0.00	0.00	0.00	0.00		-14.77
11/30/2017		OTHER: OTHER-OMGEO		0.00	0.00	0.00	0.00		-65.98
11/30/2017		OTHER: OTHER-PERSHING CHARGE	ES M10/A	0.00	0.00	0.00	0.00		-374.91
11/30/2017		OTHER: OTHER-NASDAQ		0.00	0.00	0.00	0.00		-552.56
11/30/2017		OTHER: OTHER-OTC		0.00	0.00	0.00	0.00		-970.87
11/30/2017		OTHER: OTHER-FINRA		0.00	0.00	0.00	0.00		-592.57
11/30/2017		OTHER: OTHER-ARCA		0.00	0.00	0.00	0.00		-19.39
11/30/2017		OTHER: OTHER-BRASS		0.00	0.00	0.00	0.00		-1,140.86
11/30/2017		OTHER: OTHER-NASD FINRA		0.00	0.00	0.00	0.00		-192.88
11/30/2017		OTHER: OTHER-AMEX		0.00	0.00	0.00	0.00		-1,367.28
		*** ADJUSTMENTS I	TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	I	\$-7,674.52
						F	BROKER COMMI	ISSION	TOTALS
						-	r Transactions		\$12,466.68
							t Transactions		\$0.00
						_	e Transactions		\$0.00
						Override			\$-7,674.52
							Adjustments		
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior 1	Period Balance		\$-5,409.56
						FI	NRA Assessment		\$-33.07
						S	IPC Assessment		\$-66.13
Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD							alance Forward	<u> </u>	\$-716.60

Year-to-date Earnings

\$34,710.65