

All Companies
STATEMENT
July 20, 2018

CYNTHIA HERRON - LPCM
2321 Travis Lane
#608
Overland Park, KS 66209-

BROKER #: 3227
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
07/01/2018	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,868.22	4.67	92.0	0.00	4.30	0.00	4.30
07/01/2018	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,868.22	-0.54	92.0	0.00	-0.54	0.00	-0.54
07/01/2018	#513542 SANTOS, MONICA	DO NOT SELL SECURITIES	105,410.25	-30.74	92.0	0.00	-30.74	0.00	-30.74
07/01/2018	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,439.99	28.58	92.0	0.00	26.29	0.00	26.29
07/01/2018	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,439.99	-13.71	92.0	0.00	-13.71	0.00	-13.71
07/01/2018	#617781 TCA HERRON VAR	HZI FOCUSED	49,295.90	51.35	92.0	0.00	47.24	0.00	47.24
07/01/2018	#654795 HOFFMANN, JASON	HZI FOCUSED	29,259.53	30.48	92.0	0.00	28.04	0.00	28.04
07/01/2018	#656031	HZI FOCUSED	37,590.35	39.16	92.0	0.00	36.03	0.00	36.03
07/01/2018	#617781 TCA HERRON VAR	HZI FOCUSED	49,295.90	-24.64	92.0	0.00	-24.64	0.00	-24.64
07/01/2018	#654795 HOFFMANN, JASON	HZI FOCUSED	29,259.53	-14.62	92.0	0.00	-14.62	0.00	-14.62
07/01/2018	#656031	HZI FOCUSED	37,590.35	-18.79	92.0	0.00	-18.79	0.00	-18.79
07/01/2018	#512318 BAUM, HARRY	HZI FOCUSED W RA	152,027.69	158.36	92.0	0.00	145.69	0.00	145.69
07/01/2018	#512491 BAUM, HARRY	HZI FOCUSED W RA	69,856.97	72.77	92.0	0.00	66.95	0.00	66.95
07/01/2018	#512318 BAUM, HARRY	HZI FOCUSED W RA	152,027.69	-76.01	92.0	0.00	-76.01	0.00	-76.01
07/01/2018	#512491 BAUM, HARRY	HZI FOCUSED W RA	69,856.97	-34.92	92.0	0.00	-34.92	0.00	-34.92
07/01/2018	#512459 BAUM, CONNIE	HZI GROWTH	47,447.61	49.43	92.0	0.00	45.48	0.00	45.48
07/01/2018	#513527 WARD-MARCUM,	HZI GROWTH	59,436.91	61.91	92.0	0.00	56.96	0.00	56.96
07/01/2018	#513535 MARCUM, MICHAEL	HZI GROWTH	30,104.11	31.36	92.0	0.00	28.85	0.00	28.85
07/01/2018	#516171 TAYLOR-WHEATON, R	HZI GROWTH	29,341.86	30.56	92.0	0.00	28.12	0.00	28.12
07/01/2018	#516173 WHEATON, RICK	HZI GROWTH	29,374.97	30.60	92.0	0.00	28.15	0.00	28.15
07/01/2018	#516178 CANTRELL, MARGIE	HZI GROWTH	86,085.14	89.67	92.0	0.00	82.50	0.00	82.50
07/01/2018	#525752 TCA HERRON VAR	HZI GROWTH	63,677.87	66.33	92.0	0.00	61.02	0.00	61.02
07/01/2018	#525989 TCA HERRON VAR	HZI GROWTH	29,060.70	30.27	92.0	0.00	27.85	0.00	27.85
07/01/2018	#534867 KELLY, STEVEN	HZI GROWTH	28,155.99	29.33	92.0	0.00	26.98	0.00	26.98
07/01/2018	#543979 RUSSELL, JOHN	HZI GROWTH	42,934.85	44.72	92.0	0.00	41.14	0.00	41.14
07/01/2018	#544680 MARCUM, MICHAEL	HZI GROWTH	36,487.20	38.01	92.0	0.00	34.97	0.00	34.97
07/01/2018	#552059 RARDIN, JOHN	HZI GROWTH	30,122.64	31.38	92.0	0.00	28.87	0.00	28.87
07/01/2018	#552100 STRACHAN, GRACE	HZI GROWTH	112,667.15	117.36	92.0	0.00	107.97	0.00	107.97

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	42,888.43	107.22	92.0	0.00	98.64	98.64
07/01/2018	#638144	TCA HERRON VAR	HZI GROWTH	28,466.71	29.65	92.0	0.00	27.28	27.28
07/01/2018	#654603	STRACHAN, GRACE	HZI GROWTH	99,103.10	103.23	92.0	0.00	94.97	94.97
07/01/2018	#512459	BAUM, CONNIE	HZI GROWTH	47,447.61	-23.72	92.0	0.00	-23.72	-23.72
07/01/2018	#513527	WARD-MARCUM,	HZI GROWTH	59,436.91	-29.71	92.0	0.00	-29.71	-29.71
07/01/2018	#513535	MARCUM, MICHAEL	HZI GROWTH	30,104.11	-15.05	92.0	0.00	-15.05	-15.05
07/01/2018	#516171	TAYLOR-WHEATON, R	HZI GROWTH	29,341.86	-14.67	92.0	0.00	-14.67	-14.67
07/01/2018	#516173	WHEATON, RICK	HZI GROWTH	29,374.97	-14.68	92.0	0.00	-14.68	-14.68
07/01/2018	#516178	CANTRELL, MARGIE	HZI GROWTH	86,085.14	-43.04	92.0	0.00	-43.04	-43.04
07/01/2018	#525752	TCA HERRON VAR	HZI GROWTH	63,677.87	-31.83	92.0	0.00	-31.83	-31.83
07/01/2018	#525989	TCA HERRON VAR	HZI GROWTH	29,060.70	-14.53	92.0	0.00	-14.53	-14.53
07/01/2018	#534867	KELLY, STEVEN	HZI GROWTH	28,155.99	-14.07	92.0	0.00	-14.07	-14.07
07/01/2018	#543979	RUSSELL, JOHN	HZI GROWTH	42,934.85	-21.46	92.0	0.00	-21.46	-21.46
07/01/2018	#544680	MARCUM, MICHAEL	HZI GROWTH	36,487.20	-18.24	92.0	0.00	-18.24	-18.24
07/01/2018	#552059	RARDIN, JOHN	HZI GROWTH	30,122.64	-15.06	92.0	0.00	-15.06	-15.06
07/01/2018	#552100	STRACHAN, GRACE	HZI GROWTH	112,667.15	-56.33	92.0	0.00	-56.33	-56.33
07/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	42,888.43	-21.44	92.0	0.00	-21.44	-21.44
07/01/2018	#638144	TCA HERRON VAR	HZI GROWTH	28,466.71	-14.23	92.0	0.00	-14.23	-14.23
07/01/2018	#654603	STRACHAN, GRACE	HZI GROWTH	99,103.10	-49.55	92.0	0.00	-49.55	-49.55
07/01/2018	#513538	MOOT, PEGGY	HZI MODERATE	30,491.52	25.41	92.0	0.00	23.38	23.38
07/01/2018	#516890	ALBIN, JANETTE	HZI MODERATE	28,245.73	29.42	92.0	0.00	27.07	27.07
07/01/2018	#543962	RUSSELL, JOHN	HZI MODERATE	31,555.45	32.87	92.0	0.00	30.24	30.24
07/01/2018	#556450	GALVAS, MARIE	HZI MODERATE	248,396.26	258.75	92.0	0.00	238.05	238.05
07/01/2018	#611065	TCA HERRON VAR	HZI MODERATE	41,968.19	43.72	92.0	0.00	40.22	40.22
07/01/2018	#654638	STRACHAN, GRACE	HZI MODERATE	392,397.68	408.75	92.0	0.00	376.05	376.05
07/01/2018	#670947	PEKAREK, WESLEY	HZI MODERATE	24,521.01	46.82	92.0	0.00	43.07	43.07
07/01/2018	#513538	MOOT, PEGGY	HZI MODERATE	30,491.52	-15.24	92.0	0.00	-15.24	-15.24
07/01/2018	#516890	ALBIN, JANETTE	HZI MODERATE	28,245.73	-14.12	92.0	0.00	-14.12	-14.12
07/01/2018	#543962	RUSSELL, JOHN	HZI MODERATE	31,555.45	-15.77	92.0	0.00	-15.77	-15.77
07/01/2018	#556450	GALVAS, MARIE	HZI MODERATE	248,396.26	-124.19	92.0	0.00	-124.19	-124.19
07/01/2018	#611065	TCA HERRON VAR	HZI MODERATE	41,968.19	-20.98	92.0	0.00	-20.98	-20.98
07/01/2018	#654638	STRACHAN, GRACE	HZI MODERATE	392,397.68	-196.19	92.0	0.00	-196.19	-196.19
07/01/2018	#670947	PEKAREK, WESLEY	HZI MODERATE	24,521.01	-12.26	92.0	0.00	-12.26	-12.26
* RIA SUBTOTAL *			\$4,025,949.71	\$1,111.81		\$0.00	\$942.04	\$0.00	\$942.04
*** BROKER TRANSACTIONS TOTAL ***			\$4,025,949.71	\$1,111.81		\$0.00	\$942.04	\$0.00	\$942.04

BROKER COMMISSION TOTALS

Broker Transactions	\$942.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$942.04
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