



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2017**

01/27/2017  
 Page No. 1

STAN WEBB  
 300 N. Main Street  
 Suite 309  
 Wichita, KS 67202

BROKER #: W121  
 BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
01/17/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
01/17/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
01/04/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	1.50	0.00	1.50	92.0	1.38
01/04/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	3.96	0.00	3.96	92.0	3.64
01/04/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	4.84	0.00	4.84	92.0	4.45
01/04/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	13.72	0.00	13.72	92.0	12.62
01/04/2017	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.57	0.00	6.57	92.0	6.04
01/04/2017	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	17.57	0.00	17.57	92.0	16.16
01/10/2017	MILLER, WARREN	AM FUNDS AMCAP A	100.00	3.75	0.00	3.75	92.0	3.45
01/10/2017	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	92.0	1.96
01/18/2017	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	6.38	0.00	6.38	92.0	5.87
01/20/2017	REED, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	100.00	1.20	0.00	1.20	92.0	1.10
01/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
01/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
01/18/2017	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	150.00	6.38	0.00	6.38	92.0	5.87
01/20/2017	REED, MARK	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.20	0.00	1.20	92.0	1.10
01/20/2017	REED, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.20	0.00	1.20	92.0	1.10
01/20/2017	REED, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	1.20	0.00	1.20	92.0	1.10
01/10/2017	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	92.0	1.73
01/10/2017	SCHMIDT, MARSHALL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.50	0.00	1.50	92.0	1.38
01/20/2017	HILGER, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	92.0	1.96
01/20/2017	REED, MARK	AM FUNDS ICA A	100.00	1.20	0.00	1.20	92.0	1.10
01/20/2017	HILGER, GERALD	AM FUNDS ICA A	100.00	4.25	0.00	4.25	92.0	3.91
01/17/2017	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	100.00	4.25	0.00	4.25	92.0	3.91
01/17/2017	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	100.00	4.25	0.00	4.25	92.0	3.91

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/20/2017	HILGER, GERALD	AM FUNDS INCOME FUND OF AMERICA A	50.00	2.13	0.00	2.13	92.0	1.96
01/20/2017	REED, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	100.00	1.20	0.00	1.20	92.0	1.10
01/17/2017	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	92.0	3.91
01/20/2017	REED, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	1.20	0.00	1.20	92.0	1.10
01/17/2017	HAYES, BEN	FIDELITY EQUITY GROWTH T	150.00	3.75	0.00	3.75	92.0	3.45
01/17/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	50.00	2.50	0.00	2.50	92.0	2.30
01/20/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
01/01/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	6.86	0.00	6.86	92.0	6.31
01/01/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	6.86	0.00	6.86	92.0	6.31
01/01/2017	JENDEL, JEFFREY	INVESCO AMERICAN FRANCHISE A	0.00	0.62	0.00	0.62	92.0	0.57
01/01/2017	JAKOVAC, PATRICIA	INVESCO AMERICAN FRANCHISE A	0.00	2.83	0.00	2.83	92.0	2.60
01/01/2017	MOSHER UTMA/KS, JUSTIN	INVESCO AMERICAN FRANCHISE A	0.00	1.90	0.00	1.90	92.0	1.75
01/01/2017	WEBB, STAN	INVESCO AMERICAN FRANCHISE A	0.00	8.88	0.00	8.88	92.0	8.17
01/01/2017	HIEGER, KIM	INVESCO AMERICAN FRANCHISE A	0.00	0.91	0.00	0.91	92.0	0.84
01/01/2017	PRACHT, JULIE	INVESCO AMERICAN FRANCHISE A	0.00	1.05	0.00	1.05	92.0	0.97
01/01/2017	SMITH, TROY	INVESCO AMERICAN FRANCHISE A	0.00	2.58	0.00	2.58	92.0	2.37
01/01/2017	ROGERS, DEBRA	INVESCO AMERICAN FRANCHISE A	0.00	10.22	0.00	10.22	92.0	9.40
01/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO AMERICAN FRANCHISE A	0.00	1.11	0.00	1.11	92.0	1.02
01/01/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	0.00	4.20	0.00	4.20	92.0	3.86
01/01/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	9.24	0.00	9.24	92.0	8.50
01/01/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	4.64	0.00	4.64	92.0	4.27
01/01/2017	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	1.47	0.00	1.47	92.0	1.35
01/01/2017	COOK, NOAH	INVESCO AMERICAN FRANCHISE A	0.00	0.11	0.00	0.11	92.0	0.10
01/01/2017	COOK, CALEB	INVESCO AMERICAN FRANCHISE A	0.00	0.14	0.00	0.14	92.0	0.13
01/18/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
01/01/2017	BISHOP, DENNIS	INVESCO AMERICAN FRANCHISE C	0.00	1.51	0.00	1.51	92.0	1.39
01/01/2017	TOLLETT, CHARLES	INVESCO ASIA PACIFIC GROWTH A	0.00	4.30	0.00	4.30	92.0	3.96
01/01/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	5.75	0.00	5.75	92.0	5.29
01/01/2017	KIENTZ, KRAIG KERMIT	INVESCO BALANCED RISK ALLOCATION A	0.00	1.69	0.00	1.69	92.0	1.55
01/01/2017	MOSHER, AMY	INVESCO BALANCED RISK ALLOCATION A	0.00	1.28	0.00	1.28	92.0	1.18
01/01/2017	BISHOP, LARRY	INVESCO CASH RESERVE SHARES	0.00	0.17	0.00	0.17	92.0	0.16
01/01/2017	JAKOVAC, PATRICIA	INVESCO CASH RESERVE SHARES	0.00	0.19	0.00	0.19	92.0	0.17
01/01/2017	WEBB, STAN	INVESCO CASH RESERVE SHARES	0.00	0.22	0.00	0.22	92.0	0.20

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/01/2017	CROSS, BRENDA	INVESCO CASH RESERVE SHARES	0.00	1.02	0.00	1.02	92.0	0.94
01/01/2017	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	0.15	0.00	0.15	92.0	0.14
01/01/2017	BISHOP, DENNIS	INVESCO CHARTER FUND A	0.00	0.10	0.00	0.10	92.0	0.09
01/01/2017	PRACHT, MARK	INVESCO CHARTER FUND A	0.00	19.05	0.00	19.05	92.0	17.53
01/01/2017	KIENTZ, KRAIG KERMIT	INVESCO CHARTER FUND A	0.00	4.49	0.00	4.49	92.0	4.13
01/01/2017	MOSHER, AMY	INVESCO CHARTER FUND A	0.00	4.05	0.00	4.05	92.0	3.73
01/01/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	5.84	0.00	5.84	92.0	5.37
01/01/2017	BISHOP, LARRY	INVESCO CHARTER FUND A	0.00	9.92	0.00	9.92	92.0	9.13
01/01/2017	ANDREWS, EMMALEE	INVESCO CHARTER FUND A	0.00	0.33	0.00	0.33	92.0	0.30
01/01/2017	JENDEL, JEFFREY	INVESCO CHARTER FUND A	0.00	5.92	0.00	5.92	92.0	5.45
01/01/2017	JAKOVAC, PATRICIA	INVESCO CHARTER FUND A	0.00	0.81	0.00	0.81	92.0	0.75
01/01/2017	NELSON, DENE	INVESCO CHARTER FUND A	0.00	17.67	0.00	17.67	92.0	16.26
01/01/2017	CROSS, MAX	INVESCO CHARTER FUND A	0.00	11.09	0.00	11.09	92.0	10.20
01/01/2017	WEBB, STAN	INVESCO CHARTER FUND A	0.00	11.90	0.00	11.90	92.0	10.95
01/01/2017	SMITH, TROY	INVESCO CHARTER FUND A	0.00	16.04	0.00	16.04	92.0	14.76
01/01/2017	NELSON, JIM	INVESCO CHARTER FUND A	0.00	18.19	0.00	18.19	92.0	16.73
01/01/2017	CROSS, BRENDA	INVESCO CHARTER FUND A	0.00	13.57	0.00	13.57	92.0	12.48
01/01/2017	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	10.63	0.00	10.63	92.0	9.78
01/18/2017	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
01/18/2017	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
01/01/2017	BRIMER JR, ALBERT	INVESCO COMSTOCK A	0.00	2.91	0.00	2.91	92.0	2.68
01/01/2017	ANDREWS, EMMALEE	INVESCO DEVELOPING MARKETS A	0.00	0.27	0.00	0.27	92.0	0.25
01/01/2017	WEBB, STAN	INVESCO DEVELOPING MARKETS A	0.00	0.34	0.00	0.34	92.0	0.31
01/01/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	0.00	4.17	0.00	4.17	92.0	3.84
01/01/2017	CROSS, BRENDA	INVESCO DEVELOPING MARKETS A	0.00	7.87	0.00	7.87	92.0	7.24
01/18/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
01/01/2017	PRACHT, MARK	INVESCO DIVERSIFIED DIVIDEND A	0.00	16.26	0.00	16.26	92.0	14.96
01/01/2017	JENDEL, JEFFREY	INVESCO DIVERSIFIED DIVIDEND A	0.00	1.65	0.00	1.65	92.0	1.52
01/01/2017	SMITH, TROY	INVESCO DIVERSIFIED DIVIDEND A	0.00	4.73	0.00	4.73	92.0	4.35
01/01/2017	MOSHER, AMY	INVESCO ENDEAVOR FUND A	0.00	0.93	0.00	0.93	92.0	0.86
01/01/2017	SMITH, TROY	INVESCO ENDEAVOR FUND A	0.00	5.33	0.00	5.33	92.0	4.90
01/01/2017	BISHOP, LARRY	INVESCO ENERGY FUND A	0.00	1.27	0.00	1.27	92.0	1.17
01/01/2017	CROSS, MAX	INVESCO ENERGY FUND A	0.00	3.49	0.00	3.49	92.0	3.21

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/01/2017	BISHOP, LARRY	INVESCO EQUITY AND INCOME A	0.00	11.24	0.00	11.24	92.0	10.34
01/01/2017	PRACHT, JULIE	INVESCO EQUITY AND INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
01/01/2017	CROSS, BRENDA	INVESCO EQUITY AND INCOME A	0.00	5.41	0.00	5.41	92.0	4.98
01/01/2017	PRACHT, MARK	INVESCO EUROPEAN GROWTH FUND A	0.00	0.70	0.00	0.70	92.0	0.64
01/01/2017	WEBB, STAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.59	0.00	1.59	92.0	1.46
01/01/2017	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.09	0.00	1.09	92.0	1.00
01/01/2017	PRACHT, MARK	INVESCO GLOBAL CORE EQUITY A	0.00	3.07	0.00	3.07	92.0	2.82
01/01/2017	JENDEL, JEFFREY	INVESCO GLOBAL EQUITY A	0.00	2.59	0.00	2.59	92.0	2.38
01/01/2017	PRACHT, MARK	INVESCO GLOBAL EQUITY A	0.00	0.88	0.00	0.88	92.0	0.81
01/01/2017	CROSS, BRENDA	INVESCO GLOBAL GROWTH A	0.00	13.75	0.00	13.75	92.0	12.65
01/01/2017	BOHANNON, RONALD	INVESCO GROWTH ALLOCATION A	0.00	8.14	0.00	8.14	92.0	7.49
01/01/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	0.00	10.64	0.00	10.64	92.0	9.79
01/01/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	0.00	8.04	0.00	8.04	92.0	7.40
01/18/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
01/18/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
01/01/2017	BRIMER JR, ALBERT	INVESCO HIGH YIELD FUND A	0.00	3.00	0.00	3.00	92.0	2.76
01/01/2017	TOLLETT, CHARLES	INVESCO INTERNATIONAL GROWTH A	0.00	11.41	0.00	11.41	92.0	10.50
01/01/2017	CROSS, MAX	INVESCO MIDCAP CORE EQUITY A	0.00	4.60	0.00	4.60	92.0	4.23
01/01/2017	BRIMER JR, ALBERT	INVESCO MIDCAP CORE EQUITY A	0.00	4.35	0.00	4.35	92.0	4.00
01/01/2017	PRACHT, MARK	INVESCO MIDCAP GROWTH A	0.00	2.01	0.00	2.01	92.0	1.85
01/01/2017	SMITH, TROY	INVESCO MIDCAP GROWTH A	0.00	5.40	0.00	5.40	92.0	4.97
01/01/2017	CROSS, BRENDA	INVESCO MIDCAP GROWTH A	0.00	14.12	0.00	14.12	92.0	12.99
01/01/2017	PRACHT, MARK	INVESCO MODERATE ALLOCATION A	0.00	1.48	0.00	1.48	92.0	1.36
01/01/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	0.00	17.48	0.00	17.48	92.0	16.08
01/18/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
01/01/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	5.76	0.00	5.76	92.0	5.30
01/01/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	4.58	0.00	4.58	92.0	4.21
01/01/2017	BELL, JASON	INVESCO STRUCTURED CORE A	0.00	2.40	0.00	2.40	92.0	2.21
01/01/2017	SMITH, TROY	INVESCO STRUCTURED CORE A	0.00	12.71	0.00	12.71	92.0	11.69
01/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO STRUCTURED CORE A	0.00	0.91	0.00	0.91	92.0	0.84
01/17/2017	BELL, JASON	INVESCO STRUCTURED CORE A	50.00	2.50	0.00	2.50	92.0	2.30
01/01/2017	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	1.95	0.00	1.95	92.0	1.79
01/01/2017	BELL, JASON	INVESCO TECHNOLOGY C	0.00	1.95	0.00	1.95	92.0	1.79

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/01/2017	BISHOP, LARRY	INVESCO VALUE OPP A	0.00	2.25	0.00	2.25	92.0	2.07
01/01/2017	JENDEL, JEFFREY	INVESCO VALUE OPP A	0.00	2.22	0.00	2.22	92.0	2.04
01/01/2017	PRACHT, MARK	INVESCO VALUE OPP A	0.00	1.55	0.00	1.55	92.0	1.43
01/01/2017	BELL, JASON	INVESCO VALUE OPP A	0.00	7.68	0.00	7.68	92.0	7.07
01/01/2017	POYNTER, REGAN	INVESCO VALUE OPP A	0.00	3.92	0.00	3.92	92.0	3.61
01/01/2017	MOSHER UTMA/KS, JUSTIN	INVESCO VALUE OPP A	0.00	0.38	0.00	0.38	92.0	0.35
01/01/2017	SMITH, TROY	INVESCO VALUE OPP A	0.00	5.53	0.00	5.53	92.0	5.09
01/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO VALUE OPP A	0.00	0.38	0.00	0.38	92.0	0.35
01/01/2017	NELSON, ARIKA	INVESCO VALUE OPP A	0.00	9.62	0.00	9.62	92.0	8.85
01/01/2017	CROSS, BRENDA	INVESCO VALUE OPP A	0.00	6.87	0.00	6.87	92.0	6.32
01/01/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	4.42	0.00	4.42	92.0	4.07
01/01/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	2.04	0.00	2.04	92.0	1.88
01/03/2017	WOODBURN, JOSEPH	MFS CORE EQUITY A	0.00	0.94	0.00	0.94	92.0	0.86
01/03/2017	WOODBURN, JEAN	MFS CORE EQUITY A	0.00	0.76	0.00	0.76	92.0	0.70
01/03/2017	WOODBURN, JOSEPH	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.96	0.00	0.96	92.0	0.88
01/06/2017	PRACHT, CAROLE	PIMCO ALL ASSET A	0.00	2.70	0.00	2.70	92.0	2.48
01/06/2017	PRACHT, CAROLE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	14.94	0.00	14.94	92.0	13.74
01/06/2017	PRACHT, CAROLE	PIMCO GLOBAL MULTI ASSET A	0.00	2.70	0.00	2.70	92.0	2.48
01/06/2017	PRACHT, CAROLE	PIMCO TOTAL RETURN A	0.00	11.08	0.00	11.08	92.0	10.19
01/03/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
01/04/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	0.00	7.59	0.00	7.59	92.0	6.98
01/04/2017	CHRISTIAN, ROBERT	PIONEER FUND A	0.00	64.00	0.00	64.00	92.0	58.88
01/04/2017	FAIRLEY, MICHAEL	PIONEER FUND A	0.00	20.90	0.00	20.90	92.0	19.23
01/04/2017	HAMPTON, ANNDRE	PIONEER FUND A	0.00	1.83	0.00	1.83	92.0	1.68
01/04/2017	FAIRLEY, MICHAEL	PIONEER IBBOTSON MODERATE ALLOC A	0.00	16.99	0.00	16.99	92.0	15.63
01/04/2017	HAMPTON, ANNDRE	PIONEER IBBOTSON MODERATE ALLOC A	0.00	6.70	0.00	6.70	92.0	6.16
01/03/2017	HOOTEN, JENNIFER	PUTNAM CAPITAL SPECTRUM A	0.00	2.18	0.00	2.18	92.0	2.01
01/03/2017	HOOTEN, JENNIFER	PUTNAM DIVERSIFIED INCOME A	0.00	1.12	0.00	1.12	92.0	1.03
01/03/2017	HOOTEN, JENNIFER	PUTNAM EQUITY INCOME A	0.00	2.48	0.00	2.48	92.0	2.28
01/03/2017	STEKOLL, BERNARD	PUTNAM EQUITY INCOME A	0.00	14.09	0.00	14.09	92.0	12.96
01/03/2017	STEKOLL, JOAN	PUTNAM EQUITY INCOME A	0.00	3.05	0.00	3.05	92.0	2.81
01/03/2017	HOOTEN, JENNIFER	PUTNAM GROWTH & INCOME A	0.00	3.09	0.00	3.09	92.0	2.84
01/03/2017	STEKOLL, BERNARD	PUTNAM GROWTH & INCOME A	0.00	14.54	0.00	14.54	92.0	13.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/03/2017	STEKOLL, JOAN	PUTNAM GROWTH & INCOME A	0.00	9.49	0.00	9.49	92.0	8.73
01/03/2017	HOOTEN, JENNIFER	PUTNAM GROWTH & INCOME A	0.00	0.42	0.00	0.42	92.0	0.39
01/03/2017	STEKOLL, BERNARD	PUTNAM INTERNATIONAL EQUITY-A	0.00	7.00	0.00	7.00	92.0	6.44
01/03/2017	STEKOLL, BERNARD	PUTNAM MULTI CAP GROWTH A	0.00	4.44	0.00	4.44	92.0	4.08
01/10/2017	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE CL	0.00	40.16	0.00	40.16	92.0	36.95
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,605.00</b>	<b>\$909.84</b>	<b>\$0.00</b>	<b>\$909.84</b>		<b>\$836.99</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/22/2017	ERKER, HELEN	AM GEN POLARIS CHOICE	67,269.39	168.17	0.00	168.17	92.0	154.72
01/18/2017	KENNEDY, ROBERT	AM GEN POLIRIS II PRINCIPAL REWARDS	85,134.95	85.13	0.00	85.13	92.0	78.32
01/20/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
01/20/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$152,594.34</b>	<b>\$262.80</b>	<b>\$0.00</b>	<b>\$262.80</b>		<b>\$241.78</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/10/2017	BROWN, LARRY	FA 529 PORT 2030 T	0.00	0.67	0.00	0.67	92.0	0.62
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.67</b>	<b>\$0.00</b>	<b>\$0.67</b>		<b>\$0.62</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$156,199.34</b>	<b>\$1,173.31</b>	<b>\$0.00</b>	<b>\$1,173.31</b>		<b>\$1,079.39</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,079.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,079.39
Year-to-date Gross	\$6,088.19
Year-to-date Earnings	\$5,601.10