



All Companies

05/30/2018

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COMMISSION STATEMENT

June 01, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/11/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
05/11/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
05/11/2018	00068006068	SHERTZER, LUKE	AMERICAN MUTUAL FUND-529A	B	100.00	2.75	0.00	2.75	90.0	2.48
05/10/2018	00068006068	SHERTZER, LUKE	INTERMEDIATE BOND FUND OF AMERICA-5	B	50.00	1.00	0.00	1.00	90.0	0.90
05/11/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
05/11/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
05/07/2018	00068899251	SHERTZER, LUKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
05/07/2018	00082816231	SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
05/11/2018	00082816231	SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
05/18/2018	00084075735	VILLINES, KARA/ANNA	AMERICAN BALANCED FUND-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	00085020327	PROHASKA, DYLAN	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
05/11/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
05/11/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
05/11/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
05/11/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
05/11/2018	00088535675	CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
05/11/2018	00088535675	CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
05/11/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
05/11/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
05/01/2018	3009211158	RICHARDSON, BRUCE	OPPENHEIMER EQUITY INCOME FD CL A	B	250.00	11.88	0.00	11.88	90.0	10.69
* MUTUAL FUNDS SUBTOTAL *					\$2,062.72	\$44.18	\$0.00	\$44.18		\$39.77

PRODUCT CATEGORY: STOCKS

05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	291.93	291.93	0.00	291.93	90.0	262.74
* STOCKS SUBTOTAL *				\$291.93	\$291.93	\$0.00	\$291.93		\$262.74

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/03/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	3,277.59	98.41	0.00	98.41	90.0	88.57
		* VARIABLE ANNUITIES SUBTOTAL *		\$3,277.59	\$98.41	\$0.00	\$98.41		\$88.57
<u>PRODUCT CATEGORY: RIA</u>									
05/15/2018	BEUTTENMULLER, RUDOLF W	SIGNAL SECURITIES RIA	B	1,254.66	1,254.66	0.00	1,254.66	90.0	1,129.19
04/13/2018	11933608 MCADAMS, JOHN W	SIGNAL SECURITIES RIA	B	0.00	374.67	0.00	374.67	90.0	337.20
04/13/2018	12055626 GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	870.33	0.00	870.33	90.0	783.30
04/13/2018	14459187 BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	93.33	0.00	93.33	90.0	84.00
04/13/2018	14607090 MANLEY, RORY	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
04/13/2018	17365377 LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	99.67	0.00	99.67	90.0	89.70
05/15/2018	19010175 STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	B	150.33	150.33	0.00	150.33	90.0	135.30
04/13/2018	20011253 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	62.67	0.00	62.67	90.0	56.40
04/13/2018	21441902 SANTOS, RAYMOND	SIGNAL SECURITIES RIA	B	0.00	888.00	0.00	888.00	90.0	799.20
04/13/2018	21575254 DOWNS LIV TRUST, WILLIAM/CAROLYN	SIGNAL SECURITIES RIA	B	0.00	1,251.00	0.00	1,251.00	90.0	1,125.90
04/13/2018	23275885 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	766.67	0.00	766.67	90.0	690.00
04/13/2018	26419203 AHRING, DOROTHY J	SIGNAL SECURITIES RIA	B	0.00	921.33	0.00	921.33	90.0	829.20
04/13/2018	28060052 GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	B	0.00	1,056.00	0.00	1,056.00	90.0	950.40
04/13/2018	28106533 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	483.33	0.00	483.33	90.0	435.00
04/13/2018	28416413 BOWDON FAMILY FOUNDATION	SIGNAL SECURITIES RIA	B	0.00	3,204.00	0.00	3,204.00	90.0	2,883.60
04/13/2018	29733972 BOWERS, CINDA I	SIGNAL SECURITIES RIA	B	0.00	936.67	0.00	936.67	90.0	843.00
04/13/2018	30856518 JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	B	0.00	49.33	0.00	49.33	90.0	44.40
04/13/2018	30889813 DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	B	0.00	239.00	0.00	239.00	90.0	215.10
04/13/2018	30958570 DONNELL, MIKE	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
04/13/2018	31260927 FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
04/13/2018	32047427 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018	34235945 HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
04/13/2018	34663352 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	42.67	0.00	42.67	90.0	38.40
04/13/2018	34739881 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	218.67	0.00	218.67	90.0	196.80
04/13/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
04/13/2018	35962941 DOWNS, ALEXANDRA M	SIGNAL SECURITIES RIA	B	0.00	170.33	0.00	170.33	90.0	153.30
04/13/2018	37772604 DRONE, CRAIG A	SIGNAL SECURITIES RIA	B	0.00	241.33	0.00	241.33	90.0	217.20
04/13/2018	38803585 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	90.0	70.20
04/13/2018	41000586 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	90.0	113.10
04/13/2018	42907147 JERNIGAN, KYLE	SIGNAL SECURITIES RIA	B	0.00	281.33	0.00	281.33	90.0	253.20
04/13/2018	43177248 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	634.00	0.00	634.00	90.0	570.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 44412032	GETZ, RENEE E	SIGNAL SECURITIES RIA	B	0.00	196.00	0.00	196.00	90.0	176.40
04/13/2018 47335624	HANSEN, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	244.67	0.00	244.67	90.0	220.20
04/13/2018 50230780	BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	638.67	0.00	638.67	90.0	574.80
04/13/2018 50550606	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	302.33	0.00	302.33	90.0	272.10
04/13/2018 51058096	PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	B	0.00	336.67	0.00	336.67	90.0	303.00
04/13/2018 51666847	WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	B	0.00	836.00	0.00	836.00	90.0	752.40
04/13/2018 52129314	WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	445.67	0.00	445.67	90.0	401.10
04/13/2018 52178933	MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	90.0	29.70
04/13/2018 53917162	MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	687.33	0.00	687.33	90.0	618.60
04/13/2018 54113355	DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	B	0.00	650.00	0.00	650.00	90.0	585.00
04/13/2018 54825568	NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	262.67	0.00	262.67	90.0	236.40
04/13/2018 55524343	MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	B	0.00	505.67	0.00	505.67	90.0	455.10
04/13/2018 56699985	LIKENS, KEVIN A	SIGNAL SECURITIES RIA	B	0.00	416.00	0.00	416.00	90.0	374.40
04/13/2018 57714569	DAVENPORT, CURTIS/SUSAN	SIGNAL SECURITIES RIA	B	0.00	930.67	0.00	930.67	90.0	837.60
04/13/2018 57788308	YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	B	0.00	610.00	0.00	610.00	90.0	549.00
04/13/2018 58441030	HARSHAW, JOHN	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
04/13/2018 59251730	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
04/13/2018 60681399	HERING, KAREN	SIGNAL SECURITIES RIA	B	0.00	569.00	0.00	569.00	90.0	512.10
04/13/2018 61024092	HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	B	0.00	737.33	0.00	737.33	90.0	663.60
04/13/2018 63201352	MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	B	0.00	68.67	0.00	68.67	90.0	61.80
04/13/2018 68409444	TURNER, LYNN D	SIGNAL SECURITIES RIA	B	0.00	633.00	0.00	633.00	90.0	569.70
04/13/2018 68570849	BLAIR, BRENDA	SIGNAL SECURITIES RIA	B	0.00	112.33	0.00	112.33	90.0	101.10
04/13/2018 68675276	BLAIR BYPASS TRUST	SIGNAL SECURITIES RIA	B	0.00	479.00	0.00	479.00	90.0	431.10
04/13/2018 70913435	BOWDON REV TRUST	SIGNAL SECURITIES RIA	B	0.00	503.67	0.00	503.67	90.0	453.30
04/13/2018 71239113	TURNER, J DWIGHT	SIGNAL SECURITIES RIA	B	0.00	152.67	0.00	152.67	90.0	137.40
04/13/2018 71695806	CHRISTIE, Nanci M	SIGNAL SECURITIES RIA	B	0.00	84.00	0.00	84.00	90.0	75.60
04/13/2018 71762114	BOWDON, HENRY & VIRGINI	SIGNAL SECURITIES RIA	B	0.00	126.67	0.00	126.67	90.0	114.00
04/13/2018 72285366	JOHNSON, ERIC W	SIGNAL SECURITIES RIA	B	0.00	168.67	0.00	168.67	90.0	151.80
04/13/2018 73298229	KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00	90.0	206.10
04/13/2018 73451449	RALPH, MARK ALAN	SIGNAL SECURITIES RIA	B	0.00	513.33	0.00	513.33	90.0	462.00
04/13/2018 75602403	MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	279.67	0.00	279.67	90.0	251.70
04/13/2018 80644541	TURNER, RITA	SIGNAL SECURITIES RIA	B	0.00	251.00	0.00	251.00	90.0	225.90
04/13/2018 80920481	PEIRSON, WILLIAM/CHRIS	SIGNAL SECURITIES RIA	B	0.00	1,505.33	0.00	1,505.33	90.0	1,354.80
04/13/2018 81013153	BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	B	0.00	233.33	0.00	233.33	90.0	210.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	81290736	SICKLER, LEE/CHRIS		SIGNAL SECURITIES RIA					
			B	0.00	120.67	0.00	120.67	90.0	108.60
04/13/2018	81769883	GATLIN, EDWARD E		SIGNAL SECURITIES RIA					
			B	0.00	126.00	0.00	126.00	90.0	113.40
04/13/2018	81877335	WAMRE, RICK & SALLY		SIGNAL SECURITIES RIA					
			B	0.00	880.67	0.00	880.67	90.0	792.60
04/13/2018	83450493	GLANCY, WILLIAM P.		SIGNAL SECURITIES RIA					
			B	0.00	367.00	0.00	367.00	90.0	330.30
04/13/2018	83813956	COGSWELL, ROBERT J		SIGNAL SECURITIES RIA					
			B	0.00	189.33	0.00	189.33	90.0	170.40
04/13/2018	83898089	MJG PARTNERS LP		SIGNAL SECURITIES RIA					
			B	0.00	4,426.67	0.00	4,426.67	90.0	3,984.00
04/13/2018	85186147	JONES, LAURA JILL		SIGNAL SECURITIES RIA					
			B	0.00	117.33	0.00	117.33	90.0	105.60
04/13/2018	85289265	KLEIN, SHEILA M		SIGNAL SECURITIES RIA					
			B	0.00	369.67	0.00	369.67	90.0	332.70
04/13/2018	85475534	DOWNS, WILLIAM M		SIGNAL SECURITIES RIA					
			B	0.00	154.67	0.00	154.67	90.0	139.20
04/13/2018	85534947	MARTINIE, GARY & KATHRYN		SIGNAL SECURITIES RIA					
			B	0.00	632.00	0.00	632.00	90.0	568.80
04/13/2018	87153342	BLAIR, JR, DAVID D		SIGNAL SECURITIES RIA					
			B	0.00	96.67	0.00	96.67	90.0	87.00
04/13/2018	87804016	LEWIS, JR, JACK F		SIGNAL SECURITIES RIA					
			B	0.00	55.67	0.00	55.67	90.0	50.10
04/13/2018	88575440	LOHMAN, REBECCA LYNN		SIGNAL SECURITIES RIA					
			B	0.00	241.00	0.00	241.00	90.0	216.90
04/13/2018	89037743	BLAIR, JR, DAVID D		SIGNAL SECURITIES RIA					
			B	0.00	33.33	0.00	33.33	90.0	30.00
04/13/2018	90630517	GLANCY, FRANCES M		SIGNAL SECURITIES RIA					
			B	0.00	65.33	0.00	65.33	90.0	58.80
04/13/2018	90750567	VEGA, ROY (RAUL)		SIGNAL SECURITIES RIA					
			B	0.00	3,420.33	0.00	3,420.33	90.0	3,078.30
04/13/2018	90906864	SAVAGE, JANICE		SIGNAL SECURITIES RIA					
			B	0.00	506.33	0.00	506.33	90.0	455.70
04/13/2018	91566568	BOWDON, KENNETH S		SIGNAL SECURITIES RIA					
			B	0.00	365.67	0.00	365.67	90.0	329.10
04/13/2018	93139099	CHAPMAN, JOE & CARMEN		SIGNAL SECURITIES RIA					
			B	0.00	711.00	0.00	711.00	90.0	639.90
04/13/2018	93542283	BROWN, PEGGY		SIGNAL SECURITIES RIA					
			B	0.00	399.33	0.00	399.33	90.0	359.40
04/13/2018	96116390	HARSHAW, JOHN FREDERICK		SIGNAL SECURITIES RIA					
			B	0.00	440.67	0.00	440.67	90.0	396.60
04/13/2018	98023364	MANLEY, ROSS / KERRYANN		SIGNAL SECURITIES RIA					
			B	0.00	68.33	0.00	68.33	90.0	61.50
04/13/2018	98899816	STRICKLIN, DONNA A		SIGNAL SECURITIES RIA					
			B	0.00	375.33	0.00	375.33	90.0	337.80
04/13/2018	98935139	ROBERTS, ROBERT M		SIGNAL SECURITIES RIA					
			B	0.00	102.67	0.00	102.67	90.0	92.40
04/13/2018	99600192	COGSWELL, ROBERT J		SIGNAL SECURITIES RIA					
			B	0.00	46.00	0.00	46.00	90.0	41.40
				* RIA SUBTOTAL *	\$1,404.99	\$43,001.67	\$0.00	\$43,001.67	\$38,701.49
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	25.19	0.00	25.19	90.0	22.67
06/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	11.38	0.00	11.38	90.0	10.25
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$36.57	\$0.00	\$36.57	\$32.92
				*** BROKER TRANSACTIONS TOTAL ***	\$7,037.23	\$43,472.76	\$0.00	\$43,472.76	\$39,125.49

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ADJUSTMENTS

06/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$39,125.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$39,097.99
Year-to-date Earnings	\$245,337.54