

**COMMISSION STATEMENT****February 15, 2018**

HERBERT, A123 ALSTON
 4200 Park Blvd
 Suite 154
 Oakland, CA 94602

BROKER #: A123
 BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
01/18/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	5.30	0.00	5.30	75.0	3.98
01/18/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.79	0.00	0.79	75.0	0.59
01/18/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	3.62	0.00	3.62	75.0	2.72
01/22/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.58	0.00	9.58	75.0	7.19
01/22/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.75	0.00	4.75	75.0	3.56
01/22/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.75	0.00	4.75	75.0	3.56
01/22/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.69	0.00	2.69	75.0	2.02
01/22/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.83	0.00	3.83	75.0	2.87
01/22/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.27	0.00	1.27	75.0	0.95
01/22/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.93	0.00	1.93	75.0	1.45
01/25/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.83	0.00	0.83	75.0	0.62
01/19/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.86	0.00	1.86	75.0	1.40
01/19/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	7.34	0.00	7.34	75.0	5.51
01/16/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.48	0.00	1.48	75.0	1.11
01/09/2018	COHEN, JOEL	WILLISTON BASIN/MID- NORTH AMERICA	0.00	7.44	0.00	7.44	75.0	5.58
01/09/2018	MCDONALD, MARY	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.84	0.00	3.84	75.0	2.88
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$61.30	\$0.00	\$61.30		\$45.99
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$61.30	\$0.00	\$61.30		\$45.99

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
02/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
02/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					633.00
02/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
02/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*FINRA: WY INDIVIDUAL REG. FEE	0.00					-45.00
02/15/2018		*PERSHING: FOREIGN SEC - CAMARDO	0.00					-23.00
02/15/2018		*PERSHING: MANDITORY REORG - MUNCZIN	0.00					-10.00
02/15/2018		*PERSHING: MANDITORY REORG - NANI	0.00					-10.00
02/15/2018		*PERSHING: MANDITORY REORG - PINTO	0.00					-10.00
02/15/2018		*PERSHING: MANDITORY REORG - STERR	0.00					-10.00
02/15/2018		*PERSHING: MANDITORY REORG - TOBIAS	0.00					-10.00
02/15/2018		*PERSHING: MANDITORY REORG - WILDGR	0.00					-10.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$45.99
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$45.99
Year-to-date Gross	\$8,573.79
Year-to-date Earnings	\$6,419.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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