

COMMISSION STATEMENT

January 31, 2018

LOUIS CIAVARELLA
21 MILITIA HILL DRIVE

BROKER #: 47
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/28/2017	75501743	CIAVARELLA, LOUIS	STARWOOD PROPERTY TRUST INC	B	2,138.66	15.00	0.00	15.00	50.0	0.00
01/18/2018	75501768	HALL, JAMES	ALIBABA GROUP HOLDING LTD SPONSORED S		24,302.52	210.00	0.00	210.00	50.0	105.00
* STOCKS SUBTOTAL *					\$26,441.18	\$225.00	\$0.00	\$225.00		\$105.00

PRODUCT CATEGORY: MISCELLANEOUS

01/31/2018	VARIOUS, CIAVARELLA	GENWORTH		B	0.00	64.41	0.00	64.41	50.0	32.21
01/31/2018	VARIOUS, CIAVARELLA	ADVISORY INCOME		B	0.00	1,480.33	0.00	1,480.33	50.0	740.17
01/31/2018	VARIOUS, CIAVARELLA	NATIONAL FINANCIAL		B	0.00	1,808.59	0.00	1,808.59	50.0	904.30
01/31/2018	VARIOUS, CIAVARELLA	NATIONWIDE		B	0.00	190.95	0.00	190.95	50.0	95.48
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$3,544.28	\$0.00	\$3,544.28		\$1,772.16

PRODUCT CATEGORY: RIA

01/17/2018	75501737	HENDRIX, GREG	FAS FEES PT. I/III	B	-120.75	120.75	0.00	120.75	50.0	60.38
01/17/2018	75501740	GIOMMI MCDOWELL,	FAS FEES PT. I/III	B	-583.57	583.57	0.00	583.57	50.0	291.79
01/17/2018	75501741	GIOMMI MCDOWELL,	FAS FEES PT. I/III	B	-249.05	249.05	0.00	249.05	50.0	124.53
01/17/2018	75501742	CIAVARELLA, LOUIS	FAS FEES PT. I/III	B	-34.39	34.39	0.00	34.39	50.0	17.20
01/17/2018	75501749	CIAVARELLA, MELISSA	FAS FEES PT. I/III	B	-83.55	83.55	0.00	83.55	50.0	41.78
01/17/2018	75501752	CIAVARELLA, JAMES	FAS FEES PT. I/III	B	-17.52	17.52	0.00	17.52	50.0	0.00
01/17/2018	75501754	CIAVARELLA, JAMES	FAS FEES PT. I/III	B	-41.13	41.13	0.00	41.13	50.0	20.57
01/17/2018	75501755	MANFREDI, MICHAEL	FAS FEES PT. I/III	B	-295.40	295.40	0.00	295.40	50.0	147.70
01/17/2018	75501757	BERGEN, MIMI	FAS FEES PT. I/III	B	-55.10	55.10	0.00	55.10	50.0	27.55
* RIA SUBTOTAL *					\$-1,480.46	\$1,480.46	\$0.00	\$1,480.46		\$731.50

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

12/28/2017	75501666	CARR, CHARLES	UIT FT CORP TAX REFORM SER 4 PORTFO B		2,209.84	44.20	0.00	44.20	50.0	22.10
12/28/2017	75501666	CARR, CHARLES	UIT FT STRATEGIC INCME SER 71 ADVAN B		1,990.22	39.80	0.00	39.80	50.0	19.90
12/28/2017	75501670	CARR, MARGARET	UIT FT CORP TAX REFORM SER 4 PORTFO B		11,049.20	221.00	0.00	221.00	50.0	110.50
12/28/2017	75501670	CARR, MARGARET	UIT FT STRATEGIC INCME SER 71 ADVAN B		9,951.10	199.00	0.00	199.00	50.0	99.50
12/28/2017	75501671	CARR, CHARLES	UIT FT CORP TAX REFORM SER 4 PORTFO B		3,314.76	66.30	0.00	66.30	50.0	33.15
12/28/2017	75501671	CARR, CHARLES	UIT FT STRATEGIC INCME SER 71 ADVAN B		2,985.33	59.70	0.00	59.70	50.0	29.85
12/28/2017	75501673	CARR, MARGARET	UIT FT CORP TAX REFORM SER 4 PORTFO B		1,104.92	22.10	0.00	22.10	50.0	0.00
12/28/2017	75501674	CARR, MARGARET	UIT FT CORP TAX REFORM SER 4 PORTFO B		2,209.84	44.20	0.00	44.20	50.0	22.10

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
12/28/2017	75501674	CARR, MARGARET	UIT FT STRATEGIC INCME SER 71 ADVAN B	1,990.22	39.80	0.00	39.80	50.0	19.90
12/28/2017	75501679	SOIGNOLI, ANTHONY	UIT FT CORP TAX REFORM SER 4 PORTFO B	4,419.68	88.40	0.00	88.40	50.0	44.20
12/28/2017	75501679	SOIGNOLI, ANTHONY	UIT FT STRATEGIC INCME SER 71 ADVAN B	3,980.44	79.60	0.00	79.60	50.0	39.80
12/28/2017	75501681	SOIGNOLI, ANTHONY	UIT FT CORP TAX REFORM SER 4 PORTFO B	11,049.20	221.00	0.00	221.00	50.0	110.50
12/28/2017	75501681	SOIGNOLI, ANTHONY	UIT FT STRATEGIC INCME SER 71 ADVAN B	9,951.10	199.00	0.00	199.00	50.0	99.50
12/28/2017	75501684	SOIGNOLI, LINDA	UIT FT STRATEGIC INCME SER 71 ADVAN B	3,980.44	79.60	0.00	79.60	50.0	39.80
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$70,186.29	\$1,403.70	\$0.00	\$1,403.70		\$690.80

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.42	0.00	1.42	50.0	0.71
01/10/2018	75501549	ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.78	0.00	1.78	50.0	0.89
01/10/2018	75501549	ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	2.95	0.00	2.95	50.0	1.48
01/10/2018	75501549	ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	5.08	0.00	5.08	50.0	2.54
01/10/2018	75501665	PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	7.96	0.00	7.96	50.0	3.98
01/10/2018	75501665	PILKO, THOMAS	TOUCHSTONE FDS GROUP TR ULTRA SHRT	B	0.00	8.95	0.00	8.95	50.0	4.48
01/10/2018	75501666	CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.35	0.00	0.35	50.0	0.00
01/10/2018	75501666	CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.26	0.00	0.26	50.0	0.00
01/10/2018	75501666	CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B		0.00	0.81	0.00	0.81	50.0	0.00
01/10/2018	75501670	CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B		0.00	0.82	0.00	0.82	50.0	0.00
01/10/2018	75501671	CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	1.37	0.00	1.37	50.0	0.69
01/10/2018	75501671	CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A	B	0.00	2.30	0.00	2.30	50.0	1.15
01/10/2018	75501671	CARR, CHARLES	FIDELITY ADVISOR SER VII BIOTECHNOL B		0.00	2.07	0.00	2.07	50.0	1.04
01/10/2018	75501671	CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	0.00	4.03	0.00	4.03	50.0	2.02
01/10/2018	75501671	CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.30	0.00	1.30	50.0	0.65
01/10/2018	75501673	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.81	0.00	0.81	50.0	0.00
01/10/2018	75501673	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.49	0.00	0.49	50.0	0.00
01/10/2018	75501673	CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.47	0.00	0.47	50.0	0.00
01/10/2018	75501674	CARR, MARGARET	BLACKROCK SCIENCE & TECH OPPORTUNIT B		0.00	1.60	0.00	1.60	50.0	0.80
01/10/2018	75501674	CARR, MARGARET	EATON VANCE ATLANTA CAPITAL SMID CA B		0.00	0.77	0.00	0.77	50.0	0.00
01/10/2018	75501674	CARR, MARGARET	EATON VANCE GROWTH FUND CLASS A	B	0.00	0.56	0.00	0.56	50.0	0.00
01/10/2018	75501674	CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B		0.00	5.43	0.00	5.43	50.0	2.72
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.76	0.00	5.76	50.0	2.88
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADVISOR INTL DISCOVERY FD	B	0.00	3.47	0.00	3.47	50.0	1.74
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON B		0.00	1.26	0.00	1.26	50.0	0.63
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T	B	0.00	0.79	0.00	0.79	50.0	0.00
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T	B	0.00	6.70	0.00	6.70	50.0	3.35
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	3.14	0.00	3.14	50.0	1.57
01/10/2018	75501674	CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	5.35	0.00	5.35	50.0	2.68
01/10/2018	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & B		0.00	9.66	0.00	9.66	50.0	4.83
01/10/2018	75501674	CARR, MARGARET	FIDELITY INVT TR ADVISOR EMRGING MK B		0.00	1.01	0.00	1.01	50.0	0.51

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	75501676	REBER, WINCHELL	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	1.16	0.00	1.16	50.0	0.58
01/10/2018	75501676	REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.10	0.00	1.10	50.0	0.55
01/10/2018	75501676	REBER, WINCHELL	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	0.92	0.00	0.92	50.0	0.00
01/10/2018	75501676	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.11	0.00	1.11	50.0	0.56
01/10/2018	75501676	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C B	0.00	1.43	0.00	1.43	50.0	0.72
01/10/2018	75501676	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.94	0.00	0.94	50.0	0.00
01/10/2018	75501679	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	0.95	0.00	0.95	50.0	0.00
01/10/2018	75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	6.57	0.00	6.57	50.0	3.29
01/10/2018	75501679	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	8.47	0.00	8.47	50.0	4.24
01/10/2018	75501679	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	2.16	0.00	2.16	50.0	1.08
01/12/2018	75501679	SOIGNOLI, ANTHONY	DELAWARE GROUP TAX FREE USA FUND-CL B	0.00	13.77	0.00	13.77	50.0	6.89
01/10/2018	75501680	REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.32	0.00	1.32	50.0	0.66
01/10/2018	75501680	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C B	0.00	0.86	0.00	0.86	50.0	0.00
01/10/2018	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.23	0.00	0.23	50.0	0.00
01/10/2018	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND B	0.00	1.57	0.00	1.57	50.0	0.79
01/10/2018	75501681	SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.89	0.00	1.89	50.0	0.95
01/10/2018	75501681	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	3.80	0.00	3.80	50.0	1.90
01/10/2018	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	14.73	0.00	14.73	50.0	7.37
01/10/2018	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	5.26	0.00	5.26	50.0	2.63
01/10/2018	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	3.60	0.00	3.60	50.0	1.80
01/10/2018	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.62	0.00	3.62	50.0	1.81
01/10/2018	75501681	SOIGNOLI, ANTHONY	PIMCO EQUITY SER DIVIDEND AND INCOM B	0.00	7.19	0.00	7.19	50.0	3.60
01/10/2018	75501681	SOIGNOLI, ANTHONY	PIMCO INCOME FUND CLASS C B	0.00	6.57	0.00	6.57	50.0	3.29
01/10/2018	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION B	0.00	5.69	0.00	5.69	50.0	2.85
01/10/2018	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH B	0.00	5.20	0.00	5.20	50.0	2.60
01/10/2018	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	7.38	0.00	7.38	50.0	3.69
01/10/2018	75501682	REBER, WINCHELL	INVESCO AMERICAN VALUE FUND CLASS A B	0.00	6.23	0.00	6.23	50.0	3.12
01/10/2018	75501682	REBER, WINCHELL	PUTNAM 746792100 B	0.00	0.77	0.00	0.77	50.0	0.00
01/10/2018	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.71	0.00	4.71	50.0	2.36
01/10/2018	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.89	0.00	1.89	50.0	0.95
01/10/2018	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	3.14	0.00	3.14	50.0	1.57
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.28	0.00	1.28	50.0	0.64
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C B	0.00	3.03	0.00	3.03	50.0	1.52
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A B	0.00	3.07	0.00	3.07	50.0	1.54
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.22	0.00	1.22	50.0	0.61
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A B	0.00	1.06	0.00	1.06	50.0	0.53
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408 B	0.00	2.19	0.00	2.19	50.0	1.10
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	1.23	0.00	1.23	50.0	0.62
01/10/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	2.56	0.00	2.56	50.0	1.28
01/10/2018	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	0.97	0.00	0.97	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	75501685 REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	1.10	0.00	1.10	50.0	0.55
01/10/2018	75501685 REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I B		0.00	0.98	0.00	0.98	50.0	0.00
01/10/2018	75501685 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	1.29	0.00	1.29	50.0	0.65
01/10/2018	75501685 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B		0.00	1.43	0.00	1.43	50.0	0.72
01/10/2018	75501685 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B		0.00	0.59	0.00	0.59	50.0	0.00
01/10/2018	75501686 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	1.81	0.00	1.81	50.0	0.91
01/10/2018	75501686 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B		0.00	1.00	0.00	1.00	50.0	0.00
01/10/2018	75501686 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B		0.00	0.36	0.00	0.36	50.0	0.00
01/10/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367 B		0.00	1.14	0.00	1.14	50.0	0.57
01/10/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474 B		0.00	0.62	0.00	0.62	50.0	0.00
01/10/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	0.75	0.00	0.75	50.0	0.00
01/10/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505 B		0.00	0.07	0.00	0.07	50.0	0.00
01/10/2018	75501687 DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	0.01	0.00	0.01	50.0	0.00
01/10/2018	75501687 DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C B		0.00	0.74	0.00	0.74	50.0	0.00
01/10/2018	75501688 BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B		0.00	0.95	0.00	0.95	50.0	0.00
01/10/2018	75501689 BERRY, THOMAS	**GAMCO GROWTH FD INC B		0.00	2.03	0.00	2.03	50.0	1.02
01/10/2018	75501689 BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A B		0.00	1.16	0.00	1.16	50.0	0.58
01/10/2018	75501690 EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B		0.00	0.76	0.00	0.76	50.0	0.00
01/10/2018	75501691 BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND B		0.00	2.73	0.00	2.73	50.0	1.37
01/10/2018	75501691 BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR B		0.00	3.33	0.00	3.33	50.0	1.67
01/10/2018	75501691 BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC B		0.00	10.18	0.00	10.18	50.0	5.09
01/10/2018	75501691 BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC B		0.00	2.82	0.00	2.82	50.0	1.41
01/10/2018	75501691 BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B		0.00	4.49	0.00	4.49	50.0	2.25
01/10/2018	75501691 BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C B		0.00	2.51	0.00	2.51	50.0	1.26
01/10/2018	75501691 BARGER, WILLIAM	THE NEW ECONOMY FUND-C B		0.00	10.27	0.00	10.27	50.0	5.14
01/10/2018	75501691 BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B		0.00	1.76	0.00	1.76	50.0	0.88
01/10/2018	75501692 WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B		0.00	2.21	0.00	2.21	50.0	1.11
01/10/2018	75501694 WARD, MARY	TAX-FREE BOND A B		0.00	12.82	0.00	12.82	50.0	6.41
01/22/2018	75501695 WARD, EDMOND	TOCQUEVILLE GOLD FUND B		0.00	1.20	0.00	1.20	50.0	0.60
01/10/2018	75501697 WARD, EDMOND	AMERICAN MUTUAL FUND-A B		0.00	25.08	0.00	25.08	50.0	12.54
01/10/2018	75501698 GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.40	0.00	0.40	50.0	0.00
01/10/2018	75501698 GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A B		0.00	1.29	0.00	1.29	50.0	0.65
01/10/2018	75501698 GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A B		0.00	1.40	0.00	1.40	50.0	0.70
01/10/2018	75501698 GROSSO, BETH ANN	THE NEW ECONOMY FUND-A B		0.00	1.50	0.00	1.50	50.0	0.75
01/10/2018	75501699 WARD, EDMOND	AMERICAN MUTUAL FUND-C B		0.00	13.70	0.00	13.70	50.0	6.85
01/10/2018	75501699 WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL B		0.00	51.11	0.00	51.11	50.0	25.56
01/10/2018	75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850 B		0.00	1.28	0.00	1.28	50.0	0.64
01/10/2018	75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408 B		0.00	1.80	0.00	1.80	50.0	0.90
01/10/2018	75501701 RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC B		0.00	2.21	0.00	2.21	50.0	1.11
01/10/2018	75501701 RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T B		0.00	2.52	0.00	2.52	50.0	1.26

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T	B	0.00	0.16	0.00	0.16	50.0	0.00
01/10/2018	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	3.16	0.00	3.16	50.0	1.58
01/10/2018	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD	B	0.00	1.79	0.00	1.79	50.0	0.90
01/10/2018	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND	B	0.00	0.98	0.00	0.98	50.0	0.00
01/10/2018	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	B	0.00	0.52	0.00	0.52	50.0	0.00
01/10/2018	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	0.27	0.00	0.27	50.0	0.00
01/10/2018	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.10	0.00	1.10	50.0	0.55
01/10/2018	75501746	CIAVARELLA, LOUIS	AIM TECHNOLOGY FUND - CLASS A	B	0.00	2.04	0.00	2.04	50.0	1.02
01/10/2018	75501746	CIAVARELLA, LOUIS	BLACKROCK SCIENCE & TECH OPPORTUNIT B	B	0.00	3.13	0.00	3.13	50.0	1.57
01/10/2018	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	0.74	0.00	0.74	50.0	0.00
01/10/2018	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	B	0.00	2.53	0.00	2.53	50.0	1.27
01/10/2018	75501746	CIAVARELLA, LOUIS	EATON VANCE GROWTH TR RICHRD BERNST B	B	0.00	2.22	0.00	2.22	50.0	1.11
01/10/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	B	0.00	2.51	0.00	2.51	50.0	1.26
01/10/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834	B	0.00	0.87	0.00	0.87	50.0	0.00
01/10/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	4.45	0.00	4.45	50.0	2.23
01/10/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	2.47	0.00	2.47	50.0	1.24
01/10/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP GROWTH F B	B	0.00	2.43	0.00	2.43	50.0	1.22
01/10/2018	75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP B	B	0.00	2.26	0.00	2.26	50.0	1.13
01/10/2018	75501746	CIAVARELLA, LOUIS	INVESCO MID CAP GROWTH FUND CLASS A B	B	0.00	5.34	0.00	5.34	50.0	2.67
01/10/2018	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B	B	0.00	0.25	0.00	0.25	50.0	0.00
01/10/2018	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.60	0.00	0.60	50.0	0.00
01/10/2018	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.31	0.00	1.31	50.0	0.66
01/10/2018	75501758	BERGEN, MIMI	BLACKROCK SCIENCE & TECH OPPORTUNIT B	B	0.00	0.46	0.00	0.46	50.0	0.00
01/10/2018	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B	B	0.00	0.52	0.00	0.52	50.0	0.00
01/10/2018	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B	B	0.00	0.80	0.00	0.80	50.0	0.00
01/10/2018	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B	B	0.00	2.99	0.00	2.99	50.0	1.50
01/10/2018	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	6.39	0.00	6.39	50.0	3.20
01/10/2018	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	10.66	0.00	10.66	50.0	5.33
01/10/2018	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	5.56	0.00	5.56	50.0	2.78
01/10/2018	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B	B	0.00	12.66	0.00	12.66	50.0	6.33
01/10/2018	75501976	WARD, BRANDON	JANUS INVT FD HENDERSON INTL OPPTN B	B	0.00	9.08	0.00	9.08	50.0	4.54
01/10/2018	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601	B	0.00	4.54	0.00	4.54	50.0	2.27
01/10/2018	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B	B	0.00	19.15	0.00	19.15	50.0	9.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$516.90	\$0.00	\$516.90		\$246.12
*** BROKER TRANSACTIONS TOTAL ***					\$95,147.01	\$7,170.34	\$0.00	\$7,170.34		\$3,545.58

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-137.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-137.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,545.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-137.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.53
SIPC Assessment	\$-9.07

Check Amount	\$3,394.98
Year-to-date Earnings	\$3,394.98