



All Companies

02/27/2018

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# COMMISSION STATEMENT

March 01, 2018

JONATHAN F JETTER  
2005 Rickety Lane Ste B

BROKER #: 9580  
BRANCH: HOME OFFICE

Tyler, TX 75703

## COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

02/20/2002	SNOWDEN, COLIN/MOLLY	-TEXAS 529 PLAN	B	75.00	3.56	0.00	3.56	90.0	3.20
02/02/2018	SNOWDEN, COLIN/SAM	-TEXAS 529 PLAN	B	75.00	3.56	0.00	3.56	90.0	3.20
02/12/2018	WATSON, ELAN/ROWAN	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
02/15/2018	DAVIS, JASON/DEACON	-TEXAS 529 PLAN	B	100.00	4.75	0.00	4.75	90.0	4.28
02/15/2018	DAVIS, JASON/GRACIE	-TEXAS 529 PLAN	B	100.00	4.75	0.00	4.75	90.0	4.28
02/15/2018	DAVIS, JASON/LYLA	-TEXAS 529 PLAN	B	100.00	4.75	0.00	4.75	90.0	4.28
02/15/2018	DAVIS, COLBY	-TEXAS 529 PLAN	B	100.00	4.75	0.00	4.75	90.0	4.28
02/05/2018	SOTELLO, FREDDIE	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
02/15/2018	00081792391 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	00081794959 GOMEZ, CASSANDRA	AMERICAN FUNDS INVESTMENT COMP OF A B		75.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00084133771 MAY, GREG	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00084221376 DAVIDSON, CASEY	CAPITAL WORLD GROWTH AND INCOME FUN B		300.00	3.00	0.00	3.00	90.0	2.70
02/15/2018	00084221790 DAVIDSON, DAVID	NEW PERSPECTIVE FUND-C	B	300.00	3.00	0.00	3.00	90.0	2.70
02/15/2018	00088731582 HUCKABEE, JUSTIN L.	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/15/2018	00088731582 HUCKABEE, JUSTIN L.	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/15/2018	00088731619 HUCKABEE, JUSTIN L.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/15/2018	00088731619 HUCKABEE, JUSTIN L.	CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00088731619 HUCKABEE, JUSTIN L.	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/16/2018	00089362890 ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN B		46.25	0.46	0.00	0.46	90.0	0.41
02/16/2018	00089362890 ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN B		46.25	0.46	0.00	0.46	90.0	0.41
02/16/2018	00089362940 COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
02/16/2018	00089362940 COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
02/16/2018	00089362944 COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN B		62.50	0.63	0.00	0.63	90.0	0.57
02/16/2018	00089362944 COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN B		62.50	0.63	0.00	0.63	90.0	0.57
02/16/2018	00089399899 TEEL, SHELLEY	CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/16/2018	00089399921	TEEL, KEITH	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00089416008	TEEL, KEITH/TAYLOR	AMERICAN FUNDS 2020 TARGET DATE-C	B	150.00	1.50	0.00	1.50	90.0	1.35
02/15/2018	00089449523	HUCKABEE, ANDREW	CAPITAL WORLD GROWTH AND INCOME FUN	B	458.33	4.58	0.00	4.58	90.0	4.12
02/15/2018	00089449840	HUCKABEE, ANDREW	THE BOND FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/16/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	48.13	0.48	0.00	0.48	90.0	0.43
02/16/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	48.13	0.48	0.00	0.48	90.0	0.43
02/16/2018	04000180091	MIDDLETON, CHRISTINA G.	THE INVESTMENT COMPANY OF AMERICA-C	B	48.75	0.49	0.00	0.49	90.0	0.44
02/16/2018	04000180091	MIDDLETON, CHRISTINA G.	THE INVESTMENT COMPANY OF AMERICA-C	B	48.75	0.49	0.00	0.49	90.0	0.44
02/16/2018	04000752769	KITTRELL, PHYLLIS H.	AMERICAN FUNDS 2025 TARGET DATE-C	B	45.00	0.45	0.00	0.45	90.0	0.41
02/16/2018	04000752769	KITTRELL, PHYLLIS H.	AMERICAN FUNDS 2025 TARGET DATE-C	B	45.00	0.45	0.00	0.45	90.0	0.41
02/16/2018	04000752934	CLEAVER, MARYBETH	AMERICAN FUNDS 2030 TARGET DATE-C	B	54.00	0.54	0.00	0.54	90.0	0.49
02/16/2018	04000752934	CLEAVER, MARYBETH	AMERICAN FUNDS 2030 TARGET DATE-C	B	54.00	0.54	0.00	0.54	90.0	0.49
02/01/2018	2270610610	MALOWITZ, LEE	OPPENHEIMER QUEST VALUE FD CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
02/01/2018	3322503809	PRINCE, JOSEPH	OPPENHEIMER GLOBAL FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/14/2018	5420108680	MAPES, GARY	PORTFOLIO SERIES: CONSERVATIVE INVE	B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	5470549197	DAVIS, COLBY B	PORTFOLIO SERIES: MODERATE INVESTOR	B	100.00	1.00	0.00	1.00	90.0	0.90
02/09/2018	5520701481	MALOWITZ, LEE	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	200.00	2.00	0.00	2.00	90.0	1.80
02/01/2018	5521025794	WALKER, DEBRA SAPENTER	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	573.03	5.73	0.00	5.73	90.0	5.16
02/01/2018	6840033000	SCZEPANIK, ROSEMARY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	336.05	3.36	0.00	3.36	90.0	3.02
02/01/2018	8821758801	PRINCE, JOSEPH	OPPENHEIMER INTL BD FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *					\$5,217.67	\$89.74	\$0.00	\$89.74		\$80.80
PRODUCT CATEGORY: STOCKS										
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	390.22	390.22	0.00	390.22	90.0	351.20	
* STOCKS SUBTOTAL *					\$390.22	\$390.22	\$0.00	\$390.22		\$351.20
PRODUCT CATEGORY: VARIABLE ANNUITIES										
02/12/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	7.00	7.00	0.00	7.00	90.0	6.30	
* VARIABLE ANNUITIES SUBTOTAL *					\$7.00	\$7.00	\$0.00	\$7.00		\$6.30
PRODUCT CATEGORY: RIA										
01/12/2018	10361083	HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	163.33	0.00	163.33	90.0	147.00
01/12/2018	10573562	WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
01/12/2018	11834637	SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	90.0	28.20
01/12/2018	14857073	JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
01/12/2018	14971495	SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0	27.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	20666348	WEAVER, MORGAN B		SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00 90.0 1.80
01/12/2018	23775274	PALMER, TIMOTHY A		SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67 90.0 7.80
01/12/2018	33340353	PECHMAN, TROY & KIMBERLY		SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33 90.0 36.30
01/12/2018	34561240	PEACOCK, PAUL PHILLIP		SIGNAL SECURITIES RIA	B	0.00	138.67	0.00	138.67 90.0 124.80
01/12/2018	36092611	MAPES, FRANKLYN & LOLA		SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67 90.0 5.10
01/12/2018	36556970	MAPES, FRANKLYN & LOLA		SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33 90.0 10.20
01/12/2018	36984845	COZAD, CECIL CLAIRE		SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33 90.0 5.70
01/12/2018	39129908	MARSHALL, NICHOLAS W		SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00 90.0 5.40
01/12/2018	39690698	MARSHALL, NICHOLAS W		SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33 90.0 2.10
01/12/2018	43439096	NIXON, MONTGOMERY L		SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33 90.0 80.40
01/12/2018	48661313	PEACOCK, PAUL PHILLIP		SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33 90.0 59.70
01/12/2018	52360698	HILL, G. LOUISE		SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00 90.0 35.10
01/12/2018	53398661	BECTION, MATTHEW & KIMBE		SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67 90.0 57.30
01/12/2018	54891002	MAPES, FRANKLYN & LOLA		SIGNAL SECURITIES RIA	B	0.00	441.00	0.00	441.00 90.0 396.90
01/12/2018	55503866	JETTER, JOHN A		SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67 90.0 43.80
01/12/2018	57111830	SHUPE, JENNIFER & BRAN M.		SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33 90.0 45.30
01/12/2018	58435559	FINKLESTEIN, ARIANA		SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33 90.0 55.20
01/12/2018	59744598	SHUPE, JENNIFER & BRAN M.		SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00 90.0 51.30
01/12/2018	60541134	JETTER, JOHN A		SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00 90.0 0.90
01/12/2018	62289618	DAVIS, COLBY B		SIGNAL SECURITIES RIA	B	0.00	403.33	0.00	403.33 90.0 363.00
01/12/2018	62733687	NIXON, MONTGOMERY L		SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33 90.0 12.00
01/12/2018	62880041	JETTER, FRIEDA HOGG		SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00 90.0 44.10
01/12/2018	62914964	SCROGGINS, JR, JOHN W		SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00 90.0 39.60
01/12/2018	65747661	SHUPE, BRANDON		SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67 90.0 54.60
01/12/2018	65754641	PECHMAN, TROY & KIMBERLY		SIGNAL SECURITIES RIA	B	0.00	66.67	0.00	66.67 90.0 60.00
01/12/2018	67599477	WORTHAM, JOHN L		SIGNAL SECURITIES RIA	B	0.00	150.33	0.00	150.33 90.0 135.30
01/12/2018	67756602	PECHMAN, KIMBERLY R		SIGNAL SECURITIES RIA	B	0.00	65.33	0.00	65.33 90.0 58.80
01/12/2018	68845626	COZAD, JEREMY & CECIL		SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00 90.0 18.00
01/12/2018	72909748	HANKINS, POLLY S		SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67 90.0 20.40
01/12/2018	73189208	MAPES, FRANKLYN & LOLA		SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00 90.0 39.60
01/12/2018	74424226	RAMIREZ, ANDREW C		SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67 90.0 1.50

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/12/2018	79577076 HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	81.33	0.00	81.33	90.0	73.20
01/12/2018	80622249 DEWEY, WILLIAM/MELISSA	SIGNAL SECURITIES RIA	B	0.00	137.33	0.00	137.33	90.0	123.60
01/12/2018	82832184 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	68.67	0.00	68.67	90.0	61.80
01/12/2018	83101852 JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	90.0	51.30
01/12/2018	83417118 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
01/12/2018	83650621 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
01/12/2018	85536474 PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
01/12/2018	86144705 MAPES, GARY F	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
01/12/2018	87142193 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
01/12/2018	90514205 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
01/12/2018	93413682 GONZALEZ JR, ANDRES	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
01/12/2018	95387187 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	111.00	0.00	111.00	90.0	99.90
01/12/2018	95387200 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	1,044.33	0.00	1,044.33	90.0	939.90
01/12/2018	95387868 WOLVEN, MARY JANE	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018	95820819 CLARK, JOSEPH W	SIGNAL SECURITIES RIA	B	0.00	84.67	0.00	84.67	90.0	76.20
01/12/2018	97778550 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	97866027 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	90.0	24.60
01/12/2018	98935151 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	257.33	0.00	257.33	90.0	231.60
01/12/2018	99180782 GONZALEZ JR, ANDRES	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$4,496.97</b>	<b>\$0.00</b>	<b>\$4,496.97</b>		<b>\$4,047.30</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	1,552.97	0.00	1,552.97	90.0	1,397.69
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,552.97</b>	<b>\$0.00</b>	<b>\$1,552.97</b>		<b>\$1,397.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$5,614.89</b>	<b>\$6,536.90</b>	<b>\$0.00</b>	<b>\$6,536.90</b>		<b>\$5,883.29</b>

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ADJUSTMENTS

03/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
03/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,883.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$5,755.79
Year-to-date Earnings	\$37,499.89