

**COMMISSION STATEMENT****November 15, 2017****JAMES MAHER**

3082 Quail Ridge Circle

Rochester Hills, MI 48309-

**BROKER #: M127**

BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
10/13/2017	VITALE, PETER	SIERRA INCOME CORPORATION A	0.00	0.73	0.00	0.73	90.0	0.66
		<b>* NON-TRADED BDC SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$0.73</b>	<b>\$0.00</b>	<b>\$0.73</b>		<b>\$0.66</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/30/2017	MAHER, HENRY	AM CENT CORPORATE BOND	0.00	27.79	0.00	27.79	90.0	25.01
10/30/2017	MAHER, HENRY	AM CENT EQUITY INCOME	0.00	33.55	0.00	33.55	90.0	30.20
10/30/2017	NUNEZ TRUST, LYNDIA	AM CENT MUTUAL GROWTH	0.00	18.33	0.00	18.33	90.0	16.50
10/30/2017	NUNEZ TRUST, LYNDIA	AM CENT MUTUAL GROWTH	0.00	54.98	0.00	54.98	90.0	49.48
10/30/2017	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	18.55	0.00	18.55	90.0	16.70
10/30/2017	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	55.64	0.00	55.64	90.0	50.08
10/30/2017	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	3.44	0.00	3.44	90.0	3.10
10/30/2017	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	1.15	0.00	1.15	90.0	1.04
10/30/2017	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	2.15	0.00	2.15	90.0	1.94
10/30/2017	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	6.46	0.00	6.46	90.0	5.81
10/30/2017	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	15.04	0.00	15.04	90.0	13.54
10/30/2017	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	5.01	0.00	5.01	90.0	4.51
10/30/2017	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	10.45	0.00	10.45	90.0	9.41
10/30/2017	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	31.36	0.00	31.36	90.0	28.22
10/30/2017	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	1.21	0.00	1.21	90.0	1.09
10/30/2017	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	3.62	0.00	3.62	90.0	3.26
10/30/2017	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	2.97	0.00	2.97	90.0	2.67
10/30/2017	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	0.99	0.00	0.99	90.0	0.89
10/30/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	0.00	9.30	0.00	9.30	90.0	8.37
10/30/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	0.00	27.91	0.00	27.91	90.0	25.12
10/30/2017	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	4.08	0.00	4.08	90.0	3.67
10/30/2017	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	1.36	0.00	1.36	90.0	1.22
10/30/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	2.40	0.00	2.40	90.0	2.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/30/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	7.19	0.00	7.19	90.0	6.47
10/30/2017	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	6.08	0.00	6.08	90.0	5.47
10/30/2017	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	2.03	0.00	2.03	90.0	1.83
11/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
10/10/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.82	0.00	1.82	90.0	1.64
10/10/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	22.44	0.00	22.44	90.0	20.20
10/10/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.48	0.00	1.48	90.0	1.33
10/10/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.95	0.00	6.95	90.0	6.26
10/10/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.66	0.00	9.66	90.0	8.69
10/10/2017	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	22.71	0.00	22.71	90.0	20.44
10/10/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.38	0.00	0.38	90.0	0.34
10/10/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.40	0.00	4.40	90.0	3.96
10/10/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.20	0.00	2.20	90.0	1.98
10/10/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.85	0.00	2.85	90.0	2.57
10/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
10/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
11/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
11/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
11/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
11/03/2017	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
10/10/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.92	0.00	1.92	90.0	1.73
10/10/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.98	0.00	1.98	90.0	1.78
10/10/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	37.40	0.00	37.40	90.0	33.66
10/10/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.09	0.00	7.09	90.0	6.38
10/10/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.18	0.00	24.18	90.0	21.76
10/10/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.19	0.00	9.19	90.0	8.27
10/10/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.61	0.00	6.61	90.0	5.95
10/10/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.21	0.00	12.21	90.0	10.99
10/10/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.04	0.00	9.04	90.0	8.14
10/10/2017	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.24	0.00	12.24	90.0	11.02
10/10/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.64	0.00	9.64	90.0	8.68
10/10/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.38	0.00	9.38	90.0	8.44

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.19	0.00	22.19	90.0	19.97
10/10/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.90	0.00	11.90	90.0	10.71
10/10/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.32	0.00	6.32	90.0	5.69
10/10/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.72	0.00	8.72	90.0	7.85
10/10/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.11	0.00	6.11	90.0	5.50
10/10/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.58	0.00	1.58	90.0	1.42
10/10/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.55	0.00	2.55	90.0	2.30
10/10/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.99	0.00	10.99	90.0	9.89
10/10/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.84	0.00	1.84	90.0	1.66
10/10/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.67	0.00	5.67	90.0	5.10
10/10/2017	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.18	0.00	22.18	90.0	19.96
10/10/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.48	0.00	3.48	90.0	3.13
10/10/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.32	0.00	7.32	90.0	6.59
10/10/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.21	0.00	4.21	90.0	3.79
10/10/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.01	0.00	5.01	90.0	4.51
10/10/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	137.29	0.00	137.29	90.0	123.56
10/10/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.48	0.00	1.48	90.0	1.33
10/10/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.62	0.00	2.62	90.0	2.36
10/10/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.92	0.00	2.92	90.0	2.63
10/10/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.86	0.00	1.86	90.0	1.67
10/10/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.39	0.00	1.39	90.0	1.25
10/10/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.12	0.00	1.12	90.0	1.01
10/10/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.26	0.00	1.26	90.0	1.13
10/10/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.57	0.00	0.57	90.0	0.51
10/10/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.83	0.00	8.83	90.0	7.95
10/10/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.18	0.00	1.18	90.0	1.06
11/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
10/10/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	90.0	0.63
10/10/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.78	0.00	1.78	90.0	1.60
10/10/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	90.0	0.14
10/10/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.01	0.00	21.01	90.0	18.91

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	56.36	0.00	56.36	90.0	50.72
10/10/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.30	0.00	0.30	90.0	0.27
10/10/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	14.26	0.00	14.26	90.0	12.83
10/10/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.16	0.00	1.16	90.0	1.04
10/10/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.08	0.00	2.08	90.0	1.87
10/10/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.17	0.00	9.17	90.0	8.25
10/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.77	0.00	4.77	90.0	4.29
10/10/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.29	0.00	5.29	90.0	4.76
10/10/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.27	0.00	21.27	90.0	19.14
10/10/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.46	0.00	7.46	90.0	6.71
10/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.05	0.00	4.05	90.0	3.65
10/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.16	0.00	1.16	90.0	1.04
10/10/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.43	0.00	5.43	90.0	4.89
10/10/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.06	0.00	8.06	90.0	7.25
11/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
10/10/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.63	0.00	0.63	90.0	0.57
10/10/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.39	0.00	1.39	90.0	1.25
10/10/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	33.94	0.00	33.94	90.0	30.55
10/10/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.46	0.00	22.46	90.0	20.21
10/10/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	51.72	0.00	51.72	90.0	46.55
10/10/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.42	0.00	8.42	90.0	7.58
10/10/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.36	0.00	8.36	90.0	7.52
10/10/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.18	0.00	4.18	90.0	3.76
10/10/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.79	0.00	2.79	90.0	2.51
10/10/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.04	0.00	1.04	90.0	0.94
10/10/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.07	0.00	1.07	90.0	0.96
10/10/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.21	0.00	0.21	90.0	0.19
10/10/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.26	0.00	11.26	90.0	10.13
10/10/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.43	0.00	9.43	90.0	8.49
10/10/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	21.25	0.00	21.25	90.0	19.13
10/10/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.52	0.00	4.52	90.0	4.07

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.25	0.00	8.25	90.0	7.43
10/10/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.43	0.00	6.43	90.0	5.79
10/10/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.49	0.00	7.49	90.0	6.74
10/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.17	0.00	4.17	90.0	3.75
10/10/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.60	0.00	9.60	90.0	8.64
10/10/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.62	0.00	9.62	90.0	8.66
10/10/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.29	0.00	2.29	90.0	2.06
10/10/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.67	0.00	1.67	90.0	1.50
10/10/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.45	0.00	11.45	90.0	10.31
10/10/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.07	0.00	28.07	90.0	25.26
10/10/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.07	0.00	10.07	90.0	9.06
10/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.34	0.00	4.34	90.0	3.91
10/10/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.46	0.00	10.46	90.0	9.41
10/10/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.15	0.00	7.15	90.0	6.44
10/10/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.29	0.00	1.29	90.0	1.16
10/10/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.19	0.00	1.19	90.0	1.07
10/10/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.69	0.00	5.69	90.0	5.12
10/10/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.24	0.00	4.24	90.0	3.82
10/20/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	11,768.89	20.00	0.00	20.00	90.0	18.00
10/10/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.88	0.00	0.88	90.0	0.79
10/10/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	4.98	0.00	4.98	90.0	4.48
10/10/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.80	0.00	1.80	90.0	1.62
10/10/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.06	0.00	2.06	90.0	1.85
10/10/2017	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	20.72	0.00	20.72	90.0	18.65
10/10/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	190.90	0.00	190.90	90.0	171.81
11/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
11/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
11/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
11/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
11/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
11/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
11/03/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.61	0.00	12.61	90.0	11.35
10/10/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.39	0.00	4.39	90.0	3.95
10/10/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.92	0.00	0.92	90.0	0.83
10/10/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.07	0.00	4.07	90.0	3.66
10/10/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
10/10/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.02	0.00	6.02	90.0	5.42
10/10/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.99	0.00	4.99	90.0	4.49
10/10/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.01	0.00	11.01	90.0	9.91
10/10/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	46.31	0.00	46.31	90.0	41.68
10/10/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.88	0.00	5.88	90.0	5.29
10/10/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.69	0.00	4.69	90.0	4.22
10/10/2017	NUNEZ TRUST, LYNDIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	102.40	0.00	102.40	90.0	92.16
10/10/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.48	0.00	12.48	90.0	11.23
10/10/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.30	0.00	10.30	90.0	9.27
10/10/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.78	0.00	17.78	90.0	16.00
10/10/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.25	0.00	26.25	90.0	23.63
10/10/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.76	0.00	34.76	90.0	31.28
10/10/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.23	0.00	16.23	90.0	14.61
10/10/2017	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.45	0.00	24.45	90.0	22.01
10/10/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	90.16	0.00	90.16	90.0	81.14
10/10/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.09	0.00	4.09	90.0	3.68
10/10/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.31	0.00	13.31	90.0	11.98
10/10/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.39	0.00	4.39	90.0	3.95
10/10/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.06	0.00	13.06	90.0	11.75
10/10/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.44	0.00	16.44	90.0	14.80
10/10/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.19	0.00	11.19	90.0	10.07
10/10/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.48	0.00	5.48	90.0	4.93
10/10/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.88	0.00	29.88	90.0	26.89
10/10/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.95	0.00	10.95	90.0	9.86
10/10/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.03	0.00	4.03	90.0	3.63
10/10/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.48	0.00	6.48	90.0	5.83
10/10/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.29	0.00	15.29	90.0	13.76
10/10/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.34	0.00	12.34	90.0	11.11

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.69	0.00	4.69	90.0	4.22
10/10/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.53	0.00	17.53	90.0	15.78
10/10/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.59	0.00	28.59	90.0	25.73
10/10/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.23	0.00	14.23	90.0	12.81
10/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.18	0.00	9.18	90.0	8.26
10/10/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.98	0.00	12.98	90.0	11.68
10/10/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.21	0.00	9.21	90.0	8.29
10/10/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.89	0.00	10.89	90.0	9.80
10/20/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	14,006.69	20.00	0.00	20.00	90.0	18.00
10/24/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	5,200.00	0.00	0.00	0.00	90.0	0.00
10/10/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.41	0.00	1.41	90.0	1.27
10/10/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.97	0.00	1.97	90.0	1.77
10/10/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.90	0.00	4.90	90.0	4.41
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.04	0.00	4.04	90.0	3.64
10/10/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.27	0.00	4.27	90.0	3.84
10/10/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.92	0.00	0.92	90.0	0.83
10/10/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.17	0.00	0.17	90.0	0.15
10/10/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.78	0.00	1.78	90.0	1.60
10/10/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.26	0.00	2.26	90.0	2.03
10/10/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.42	0.00	1.42	90.0	1.28
10/10/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.11	0.00	3.11	90.0	2.80
10/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.83	0.00	1.83	90.0	1.65
10/10/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.89	0.00	2.89	90.0	2.60
10/10/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	24.43	0.00	24.43	90.0	21.99
10/10/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.75	0.00	4.75	90.0	4.28
10/10/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.13	0.00	3.13	90.0	2.82
11/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
11/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
10/10/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.82	0.00	0.82	90.0	0.74
10/10/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.33	0.00	1.33	90.0	1.20
10/10/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	10.82	0.00	10.82	90.0	9.74
10/10/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.87	0.00	8.87	90.0	7.98
10/10/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.45	0.00	1.45	90.0	1.31

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
10/10/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.29	0.00	7.29	90.0	6.56
10/10/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.72	0.00	38.72	90.0	34.85
10/10/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.61	0.00	21.61	90.0	19.45
10/10/2017	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	57.02	0.00	57.02	90.0	51.32
10/10/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.00	0.00	8.00	90.0	7.20
10/10/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.91	0.00	13.91	90.0	12.52
10/10/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.16	0.00	16.16	90.0	14.54
10/10/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.46	0.00	7.46	90.0	6.71
10/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.60	0.00	1.60	90.0	1.44
10/10/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.15	0.00	19.15	90.0	17.24
10/10/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.29	0.00	38.29	90.0	34.46
10/10/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.16	0.00	10.16	90.0	9.14
10/10/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	158.64	0.00	158.64	90.0	142.78
10/10/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.46	0.00	3.46	90.0	3.11
10/10/2017	KNOFF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.10	0.00	0.10	90.0	0.09
10/10/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.96	0.00	0.96	90.0	0.86
10/10/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.70	0.00	0.70	90.0	0.63
10/10/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	6.82	0.00	6.82	90.0	6.14
11/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
10/10/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
10/10/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.25	0.00	1.25	90.0	1.13
10/10/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	30.42	0.00	30.42	90.0	27.38
10/10/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	7.55	0.00	7.55	90.0	6.80
10/10/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	9.19	0.00	9.19	90.0	8.27
10/10/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.16	0.00	5.16	90.0	4.64
10/10/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	16.58	0.00	16.58	90.0	14.92
10/10/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	0.46	0.00	0.46	90.0	0.41
10/10/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.42	0.00	3.42	90.0	3.08
10/10/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.55	0.00	1.55	90.0	1.40
10/10/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.49	0.00	1.49	90.0	1.34
10/10/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.13	0.00	36.13	90.0	32.52
10/10/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.45	0.00	2.45	90.0	2.21
10/10/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.11	0.00	1.11	90.0	1.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
10/12/2017	HICKSON, MARK	AMERICAN BEACON BALANCED FUND C	0.00	3.68	0.00	3.68	90.0	3.31
10/12/2017	MARIN REV TRUST, NICHOLAS	AMERICAN BEACON BALANCED FUND C	0.00	2.31	0.00	2.31	90.0	2.08
10/12/2017	MARKHAM, JASON	AMERICAN BEACON BALANCED FUND C	0.00	59.05	0.00	59.05	90.0	53.15
10/12/2017	OLIVER, GINA	AMERICAN BEACON BALANCED FUND C	0.00	17.40	0.00	17.40	90.0	15.66
10/12/2017	OLIVER, WILLIAM	AMERICAN BEACON BALANCED FUND C	0.00	12.25	0.00	12.25	90.0	11.03
10/12/2017	MCCRACKEN, ROBERT	AMERICAN BEACON BALANCED FUND C	0.00	4.41	0.00	4.41	90.0	3.97
10/12/2017	WOLVERINE HUMAN SCV	AMERICAN BEACON BALANCED FUND C	0.00	26.49	0.00	26.49	90.0	23.84
10/12/2017	MAHER TRUST, MARY	AMERICAN BEACON SOUND PT FLOAT'G RA	0.00	64.81	0.00	64.81	90.0	58.33
10/10/2017	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.81	0.00	0.81	90.0	0.73
10/10/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.89	0.00	0.89	90.0	0.80
10/10/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.50	0.00	2.50	90.0	2.25
11/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
11/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
10/10/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	0.24	0.00	0.24	90.0	0.22
10/10/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.60	0.00	1.60	90.0	1.44
10/10/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.90	0.00	0.90	90.0	0.81
10/10/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.84	0.00	11.84	90.0	10.66
10/11/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	39.20	0.00	39.20	90.0	35.28
10/11/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	19.17	0.00	19.17	90.0	17.25
10/11/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	8.09	0.00	8.09	90.0	7.28
10/10/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	40.57	0.00	40.57	90.0	36.51
10/10/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.00	0.00	10.00	90.0	9.00
10/10/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.51	0.00	7.51	90.0	6.76
10/10/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.21	0.00	7.21	90.0	6.49
10/10/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.79	0.00	4.79	90.0	4.31
10/10/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	21.41	0.00	21.41	90.0	19.27
10/10/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.18	0.00	10.18	90.0	9.16
10/10/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.03	0.00	9.03	90.0	8.13
10/10/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.73	0.00	6.73	90.0	6.06
10/10/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	23.03	0.00	23.03	90.0	20.73
10/17/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
10/19/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	3,200.00	32.00	0.00	32.00	90.0	28.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
11/01/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
11/03/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	0.00	1.52	0.00	1.52	90.0	1.37
10/10/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.54	0.00	7.54	90.0	6.79
10/11/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	11.15	0.00	11.15	90.0	10.04
10/10/2017	MAHER, HENRY	DEUTSCHE WORLD DIVIDEND FUND-C	0.00	13.76	0.00	13.76	90.0	12.38
10/10/2017	MAHER, HENRY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	4.61	0.00	4.61	90.0	4.15
10/10/2017	FRASER, SHARON	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	39.00	0.00	39.00	90.0	35.10
10/10/2017	MARIN CUST ACCT, NICHOLAS	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	42.53	0.00	42.53	90.0	38.28
10/10/2017	WOLVERINE HUMAN SCV	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	36.91	0.00	36.91	90.0	33.22
10/10/2017	LUJAN-LABADIE, JENNIFER	DWSE HIGH INCOME FUND C	0.00	2.09	0.00	2.09	90.0	1.88
10/31/2017	LOCKWOOD, SUSAN	F/T GROWTH PORT 529 CL C	0.00	49.47	0.00	49.47	90.0	44.52
10/31/2017	LOCKWOOD, SUSAN	F/T MUTUAL SHARES PORT 529 CL C	0.00	40.19	0.00	40.19	90.0	36.17
10/31/2017	LOCKWOOD, SUSAN	F/T S&P 500 INDEX PORT CL C	0.00	59.85	0.00	59.85	90.0	53.87
10/31/2017	LOCKWOOD, SUSAN	F/T SAMLL MID CAP GROWTH PORT 529 C	0.00	18.68	0.00	18.68	90.0	16.81
10/10/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	6.05	0.00	6.05	90.0	5.45
10/10/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	84.52	0.00	84.52	90.0	76.07
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.57	0.00	3.57	90.0	3.21
10/10/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.60	0.00	1.60	90.0	1.44
10/10/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.22	0.00	2.22	90.0	2.00
10/10/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.60	0.00	16.60	90.0	14.94
10/10/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.66	0.00	0.66	90.0	0.59
10/10/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.83	0.00	0.83	90.0	0.75
10/31/2017	LOCKWOOD, SUSAN	FRANKLIN INCOME 529 PORTFOLIO C	0.00	34.51	0.00	34.51	90.0	31.06
10/10/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.57	0.00	5.57	90.0	5.01
10/10/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	6.21	0.00	6.21	90.0	5.59
10/10/2017	NUNEZ TRUST, LYNDIA	FRANKLIN INCOME C	0.00	72.97	0.00	72.97	90.0	65.67
10/10/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.77	0.00	4.77	90.0	4.29
10/10/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	9.09	0.00	9.09	90.0	8.18
10/10/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.85	0.00	8.85	90.0	7.97
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.58	0.00	8.58	90.0	7.72
10/10/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.00	0.00	3.00	90.0	2.70
10/10/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.50	0.00	10.50	90.0	9.45
10/10/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.67	0.00	9.67	90.0	8.70

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	15.96	0.00	15.96	90.0	14.36
10/10/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	20.48	0.00	20.48	90.0	18.43
10/10/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.37	0.00	6.37	90.0	5.73
10/10/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.35	0.00	7.35	90.0	6.62
10/10/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	15.40	0.00	15.40	90.0	13.86
10/10/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.26	0.00	3.26	90.0	2.93
10/10/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.63	0.00	5.63	90.0	5.07
10/10/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.54	0.00	10.54	90.0	9.49
10/10/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	13.68	0.00	13.68	90.0	12.31
10/10/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.16	0.00	12.16	90.0	10.94
10/10/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.93	0.00	2.93	90.0	2.64
10/10/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.83	0.00	6.83	90.0	6.15
10/10/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.95	0.00	7.95	90.0	7.16
10/10/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.01	0.00	6.01	90.0	5.41
10/10/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.01	0.00	7.01	90.0	6.31
10/10/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.45	0.00	7.45	90.0	6.71
10/10/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.37	0.00	6.37	90.0	5.73
10/10/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.57	0.00	12.57	90.0	11.31
10/10/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.71	0.00	14.71	90.0	13.24
10/10/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.83	0.00	6.83	90.0	6.15
10/10/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.93	0.00	5.93	90.0	5.34
10/10/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.15	0.00	2.15	90.0	1.94
10/10/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.98	0.00	0.98	90.0	0.88
10/10/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.08	0.00	6.08	90.0	5.47
10/10/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	2.60	0.00	2.60	90.0	2.34
10/10/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.51	0.00	7.51	90.0	6.76
10/10/2017	DE FIORE, LAWRENCE	FRANKLIN INCOME C	0.00	0.05	0.00	0.05	90.0	0.05
10/10/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.54	0.00	4.54	90.0	4.09
10/10/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.46	0.00	9.46	90.0	8.51
10/10/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.73	0.00	13.73	90.0	12.36
10/10/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.81	0.00	7.81	90.0	7.03
10/10/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.71	0.00	6.71	90.0	6.04
10/10/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.43	0.00	4.43	90.0	3.99

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.98	0.00	4.98	90.0	4.48
10/10/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	99.38	0.00	99.38	90.0	89.44
10/24/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	29,184.78	20.00	0.00	20.00	90.0	18.00
10/31/2017	MOTOR CITY COMPUTER	FRANKLIN LOW DURATION TOTAL RETURN	0.00	29.77	0.00	29.77	90.0	26.79
10/10/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	32.31	0.00	32.31	90.0	29.08
10/31/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	0.00	24.55	0.00	24.55	90.0	22.10
10/31/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.12	0.00	3.12	90.0	2.81
10/10/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.38	0.00	10.38	90.0	9.34
10/10/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	28.83	0.00	28.83	90.0	25.95
10/31/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	22.06	0.00	22.06	90.0	19.85
10/10/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.10	0.00	5.10	90.0	4.59
10/10/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.12	0.00	1.12	90.0	1.01
10/10/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	3.82	0.00	3.82	90.0	3.44
10/10/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.06	0.00	5.06	90.0	4.55
10/10/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.26	0.00	0.26	90.0	0.23
10/10/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.32	0.00	1.32	90.0	1.19
10/10/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.38	0.00	1.38	90.0	1.24
10/10/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.31	0.00	2.31	90.0	2.08
10/10/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.14	0.00	0.14	90.0	0.13
10/10/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	56.88	0.00	56.88	90.0	51.19
10/10/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.17	0.00	0.17	90.0	0.15
10/10/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	23.81	0.00	23.81	90.0	21.43
10/10/2017	NUNEZ TRUST, LYNDIA	FRANKLIN RISING DIVIDENDS C	0.00	40.21	0.00	40.21	90.0	36.19
10/10/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.53	0.00	6.53	90.0	5.88
10/10/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.31	0.00	10.31	90.0	9.28
10/10/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	12.62	0.00	12.62	90.0	11.36
10/10/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	13.64	0.00	13.64	90.0	12.28
10/10/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.74	0.00	7.74	90.0	6.97
10/10/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	31.67	0.00	31.67	90.0	28.50
10/10/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	20.49	0.00	20.49	90.0	18.44
10/10/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.21	0.00	10.21	90.0	9.19
10/10/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	26.34	0.00	26.34	90.0	23.71
10/10/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	13.55	0.00	13.55	90.0	12.20

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	34.45	0.00	34.45	90.0	31.01
10/10/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.34	0.00	13.34	90.0	12.01
10/10/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.03	0.00	11.03	90.0	9.93
10/10/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	22.89	0.00	22.89	90.0	20.60
10/10/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.22	0.00	6.22	90.0	5.60
10/10/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.30	0.00	12.30	90.0	11.07
10/10/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	5.98	0.00	5.98	90.0	5.38
10/10/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	15.74	0.00	15.74	90.0	14.17
10/10/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.00	0.00	7.00	90.0	6.30
10/10/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.65	0.00	7.65	90.0	6.89
10/10/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.45	0.00	1.45	90.0	1.31
10/10/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.26	0.00	2.26	90.0	2.03
10/10/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	9.72	0.00	9.72	90.0	8.75
10/10/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.11	0.00	8.11	90.0	7.30
10/10/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	55.45	0.00	55.45	90.0	49.91
10/10/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.67	0.00	2.67	90.0	2.40
10/10/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.19	0.00	7.19	90.0	6.47
10/10/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.94	0.00	4.94	90.0	4.45
10/10/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.36	0.00	2.36	90.0	2.12
10/10/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	17.63	0.00	17.63	90.0	15.87
10/10/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.63	0.00	4.63	90.0	4.17
10/10/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	11.03	0.00	11.03	90.0	9.93
10/10/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	10.56	0.00	10.56	90.0	9.50
10/10/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	14.76	0.00	14.76	90.0	13.28
10/10/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	10.96	0.00	10.96	90.0	9.86
10/10/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.62	0.00	6.62	90.0	5.96
10/10/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.90	0.00	2.90	90.0	2.61
10/10/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.13	0.00	14.13	90.0	12.72
10/10/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.88	0.00	9.88	90.0	8.89
10/10/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.35	0.00	12.35	90.0	11.12
10/10/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.47	0.00	5.47	90.0	4.92
10/10/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	23.17	0.00	23.17	90.0	20.85
10/10/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	10.37	0.00	10.37	90.0	9.33

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	15.03	0.00	15.03	90.0	13.53
10/10/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.42	0.00	16.42	90.0	14.78
10/10/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	24.59	0.00	24.59	90.0	22.13
10/10/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	0.98	0.00	0.98	90.0	0.88
10/10/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	21.61	0.00	21.61	90.0	19.45
10/10/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.26	0.00	4.26	90.0	3.83
10/10/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	16.48	0.00	16.48	90.0	14.83
10/10/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	25.02	0.00	25.02	90.0	22.52
10/10/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	19.01	0.00	19.01	90.0	17.11
10/10/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	9.26	0.00	9.26	90.0	8.33
10/10/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	14.95	0.00	14.95	90.0	13.46
10/10/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.81	0.00	10.81	90.0	9.73
10/10/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.81	0.00	6.81	90.0	6.13
10/10/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	4.65	0.00	4.65	90.0	4.19
10/10/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.36	0.00	11.36	90.0	10.22
10/10/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	9.23	0.00	9.23	90.0	8.31
10/10/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	13.37	0.00	13.37	90.0	12.03
10/10/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.02	0.00	0.02	90.0	0.02
10/10/2017	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
10/10/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.06	0.00	8.06	90.0	7.25
10/10/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.22	0.00	5.22	90.0	4.70
10/10/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	20.16	0.00	20.16	90.0	18.14
10/10/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	23.76	0.00	23.76	90.0	21.38
10/10/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.12	0.00	14.12	90.0	12.71
10/10/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	22.20	0.00	22.20	90.0	19.98
10/10/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	6.40	0.00	6.40	90.0	5.76
10/10/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	27.60	0.00	27.60	90.0	24.84
10/10/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	8.92	0.00	8.92	90.0	8.03
10/10/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	10.98	0.00	10.98	90.0	9.88
10/10/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.78	0.00	1.78	90.0	1.60
10/10/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.14	0.00	4.14	90.0	3.73
10/10/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.18	0.00	4.18	90.0	3.76
10/10/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	28.04	0.00	28.04	90.0	25.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	18.26	0.00	18.26	90.0	16.43
10/10/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
10/31/2017	LEPZINSKI, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
10/31/2017	FLEURY, BETH	FRANKLIN RISING DIVIDENDS C	0.00	17.86	0.00	17.86	90.0	16.07
10/10/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.84	0.00	4.84	90.0	4.36
10/10/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	77.53	0.00	77.53	90.0	69.78
10/10/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	22.22	0.00	22.22	90.0	20.00
10/10/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	12.81	0.00	12.81	90.0	11.53
10/10/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.95	0.00	3.95	90.0	3.56
10/10/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.84	0.00	3.84	90.0	3.46
10/06/2017	DE FIORE, LAWRENCE	FT EQUITY INC CL C	22,500.00	245.00	0.00	245.00	90.0	220.50
10/10/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.42	0.00	5.42	90.0	4.88
10/10/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.17	0.00	8.17	90.0	7.35
10/10/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.91	0.00	2.91	90.0	2.62
10/31/2017	LORIA, KENNETH	FT FOUNDING FDS ALLOC C	0.00	13.93	0.00	13.93	90.0	12.54
10/31/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	0.00	40.80	0.00	40.80	90.0	36.72
10/31/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	0.00	3.33	0.00	3.33	90.0	3.00
10/10/2017	MAHER, KYLE	INVESCO MIDCAP GROWTH A	0.00	1.27	0.00	1.27	90.0	1.14
10/10/2017	MAHER, MAIGHDLIN	IVY ASSET STRATEGY FUND CLASS A	0.00	0.17	0.00	0.17	90.0	0.15
10/10/2017	MAHER, SEAMUS	IVY ASSET STRATEGY FUND CLASS A	0.00	0.06	0.00	0.06	90.0	0.05
10/10/2017	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.29	0.00	0.29	90.0	0.26
10/10/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.33	0.00	0.33	90.0	0.30
10/10/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.58	0.00	6.58	90.0	5.92
10/10/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.11	0.00	0.11	90.0	0.10
10/10/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	0.21	0.00	0.21	90.0	0.19
10/10/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.35	0.00	1.35	90.0	1.22
10/10/2017	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	28.20	0.00	28.20	90.0	25.38
10/10/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.42	0.00	1.42	90.0	1.28
10/10/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	21.87	0.00	21.87	90.0	19.68
10/10/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	2.70	0.00	2.70	90.0	2.43
10/10/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.27	0.00	6.27	90.0	5.64
10/10/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	21.98	0.00	21.98	90.0	19.78
10/10/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	13.91	0.00	13.91	90.0	12.52

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.22	0.00	0.22	90.0	0.20
10/10/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.33	0.00	0.33	90.0	0.30
10/10/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	90.0	0.34
10/10/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	2.67	0.00	2.67	90.0	2.40
10/10/2017	PROKOP, STANLEY	PIMCO FUNDS ALL ASSET C	0.00	333.88	0.00	333.88	90.0	300.49
10/10/2017	MARIN REV TRUST, NICHOLAS	PIMCO GNMA C	0.00	47.71	0.00	47.71	90.0	42.94
10/10/2017	MAHER, HENRY	PIMCO INCOME FUND C	0.00	108.70	0.00	108.70	90.0	97.83
10/10/2017	MAHER, HENRY	PIMCO LOW DURATION C	0.00	66.25	0.00	66.25	90.0	59.63
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	PIMCO REAL RETURN C	0.00	23.87	0.00	23.87	90.0	21.48
10/10/2017	PALMERI, DANIEL	PIMCO REAL RETURN C	0.00	3.60	0.00	3.60	90.0	3.24
10/10/2017	LOUGHLIN, DENNIS	PIMCO REAL RETURN C	0.00	10.26	0.00	10.26	90.0	9.23
10/10/2017	BELONGIE, GINGER	PIMCO REAL RETURN C	0.00	14.01	0.00	14.01	90.0	12.61
10/10/2017	COLASUONNO, RALPH	PIMCO REAL RETURN C	0.00	19.05	0.00	19.05	90.0	17.15
10/10/2017	QUSSAR, KELLY	PIMCO REAL RETURN C	0.00	9.60	0.00	9.60	90.0	8.64
10/10/2017	BUREK, ROSANNE	PIMCO STOCKPLUS TOTAL RETURN A	0.00	11.70	0.00	11.70	90.0	10.53
10/10/2017	MAHER, HENRY	PIMCO TOTAL RETURN A	0.00	29.98	0.00	29.98	90.0	26.98
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	PIMCO TOTAL RETURN C	0.00	51.95	0.00	51.95	90.0	46.76
10/10/2017	MARIN REV TRUST, NICHOLAS	PIMCO TOTAL RETURN C	0.00	36.74	0.00	36.74	90.0	33.07
10/10/2017	HOPPE, KYLE	PIMCO TOTAL RETURN C	0.00	14.32	0.00	14.32	90.0	12.89
10/17/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
10/19/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	1,618.66	16.19	0.00	16.19	90.0	14.57
10/10/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.78	0.00	7.78	90.0	7.00
10/10/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.61	0.00	16.61	90.0	14.95
10/10/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	23.91	0.00	23.91	90.0	21.52
10/10/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	28.58	0.00	28.58	90.0	25.72
10/10/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.90	0.00	1.90	90.0	1.71
10/10/2017	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.09	0.00	6.09	90.0	5.48
10/10/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.92	0.00	6.92	90.0	6.23
10/10/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.55	0.00	5.55	90.0	5.00
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	15.53	0.00	15.53	90.0	13.98
10/10/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.52	0.00	11.52	90.0	10.37
10/10/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.91	0.00	5.91	90.0	5.32

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/10/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.44	0.00	1.44	90.0	1.30
10/10/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.12	0.00	3.12	90.0	2.81
10/31/2017	HEILMANN, VICTORIA	TEMPLETON GROWTH A	0.00	5.55	0.00	5.55	90.0	5.00
10/10/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.94	0.00	4.94	90.0	4.45
10/10/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.76	0.00	11.76	90.0	10.58
10/10/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.13	0.00	5.13	90.0	4.62
10/10/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.69	0.00	9.69	90.0	8.72
10/10/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.73	0.00	1.73	90.0	1.56
10/10/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.31	0.00	2.31	90.0	2.08
10/10/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	12.99	0.00	12.99	90.0	11.69
10/10/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.58	0.00	8.58	90.0	7.72
10/10/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.23	0.00	16.23	90.0	14.61
10/10/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.77	0.00	5.77	90.0	5.19
10/10/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.99	0.00	12.99	90.0	11.69
10/10/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	36.89	0.00	36.89	90.0	33.20
10/10/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	6.23	0.00	6.23	90.0	5.61
10/10/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	8.03	0.00	8.03	90.0	7.23
10/10/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.02	0.00	8.02	90.0	7.22
10/10/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
10/10/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.24	0.00	8.24	90.0	7.42
10/10/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.16	0.00	11.16	90.0	10.04
10/10/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.67	0.00	7.67	90.0	6.90
10/10/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.47	0.00	2.47	90.0	2.22
10/10/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.09	0.00	10.09	90.0	9.08
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$88,626.52</b>	<b>\$7,320.30</b>	<b>\$0.00</b>	<b>\$7,320.30</b>		<b>\$6,588.53</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
10/24/2017	ANTHONY, ROBIN	XENIA HOTELS & RESORTS INC COM	5,521.15	50.00	22.00	28.00	90.0	25.20
<b>* STOCKS SUBTOTAL *</b>			<b>\$5,521.15</b>	<b>\$50.00</b>	<b>\$22.00</b>	<b>\$28.00</b>		<b>\$25.20</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
10/30/2017	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	0.78	0.00	0.78	90.0	0.70
10/30/2017	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	2.34	0.00	2.34	90.0	2.11
<b>* OPTIONS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3.12</b>	<b>\$0.00</b>	<b>\$3.12</b>		<b>\$2.81</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
11/02/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	209,062.59	133.17	0.00	133.17	90.0	119.85
11/02/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	375,308.46	159.38	0.00	159.38	90.0	143.44
11/02/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,634,539.66	1,621.03	0.00	1,621.03	90.0	1,458.93
11/02/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	431,041.01	183.04	0.00	183.04	90.0	164.74
11/02/2017	RACHWAL PC, BARTEN	GWL NET ASSET VALUE 401K	99,903.58	21.21	0.00	21.21	90.0	19.09
10/31/2017	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	34,773.22	28.98	0.00	28.98	90.0	26.08
10/31/2017	NUNEZ, LYNDIA	JNL ELITE ACCESS W/ LIQUIDITY	118,918.43	99.10	0.00	99.10	90.0	89.19
10/31/2017	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	65,878.87	13.72	0.00	13.72	90.0	12.35
10/31/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	178,044.47	37.09	0.00	37.09	90.0	33.38
10/31/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	105,026.61	43.76	0.00	43.76	90.0	39.38
10/31/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	47,473.73	19.78	0.00	19.78	90.0	17.80
10/31/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	19,294.32	16.08	0.00	16.08	90.0	14.47
10/31/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	101,084.82	84.24	0.00	84.24	90.0	75.82
10/31/2017	FRASER, SHARON	JNL PERSPECTIVE L	42,754.12	35.63	0.00	35.63	90.0	32.07
10/31/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	45,123.17	37.60	0.00	37.60	90.0	33.84
10/31/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	148,944.98	124.12	0.00	124.12	90.0	111.71
10/31/2017	STOKES, RICHARD	JNL PERSPECTIVE L	81,529.46	67.94	0.00	67.94	90.0	61.15
10/31/2017	STONE, EDWARD	JNL PERSPECTIVE L	90,576.28	75.48	0.00	75.48	90.0	67.93
10/31/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	106,065.55	88.39	0.00	88.39	90.0	79.55
10/31/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	111,035.43	92.53	0.00	92.53	90.0	83.28
10/31/2017	VITALE, PETER	JNL PERSPECTIVE L	140,179.48	116.82	0.00	116.82	90.0	105.14
10/31/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	189,479.93	157.90	0.00	157.90	90.0	142.11
10/31/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	206,880.33	172.40	0.00	172.40	90.0	155.16
10/31/2017	KRETZ, JAMES	JNL PERSPECTIVE L	61,876.76	51.56	0.00	51.56	90.0	46.40
10/16/2017	PRESTON, LORI	PRU PREMIER RETIREMENT B	50,000.00	2,875.00	0.00	2,875.00	90.0	2,587.50
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$10,694,795.26</b>	<b>\$6,355.95</b>	<b>\$0.00</b>	<b>\$6,355.95</b>		<b>\$5,720.36</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$10,788,942.93</b>	<b>\$13,730.10</b>	<b>\$22.00</b>	<b>\$13,708.10</b>		<b>\$12,337.56</b>

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<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
11/15/2017		*RBC: CURRENT MONTH	0.00					-25.00
11/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
11/15/2017		*TECHNOLOGY: ADM - ZAREFA MARINI	0.00					-130.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-798.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$12,337.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-798.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,539.56
Year-to-date Gross	\$392,324.30
Year-to-date Earnings	\$352,193.41

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed