



COMMISSION STATEMENT

June 04, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/21/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @35.3300						
05/30/2018	***-**-593X - WOODY, ROBERT	AMERICAN 2020 TARGET DATE RETIREMNT	305.00	3.05	3.00	0.05	80.0	0.04
	ACCOUNT #: LSR010802	BUY 25 Units @12.4000						
05/30/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.9100						
05/30/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.01	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 89 Units @14.9100						
05/30/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.9100						
05/25/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	45.84	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 04000939769	BUY 3 Units @15.3100						
05/23/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.7700						
05/23/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.7700						
05/23/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.7700						
05/23/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.7700						
05/23/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.7700						
05/23/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.7700						
05/23/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.7700						
05/23/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.7700						
05/23/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	23.94	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000812765	BUY 1 Units @28.8700						
05/24/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.1600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/24/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	32.90	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 00083064667	BUY 1 Units @28.1600						
05/24/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.1600						
05/24/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.1600						
05/25/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	57.72	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000939776	BUY 2 Units @26.9400						
05/24/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0500						
05/24/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.0500						
05/23/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.5800						
05/25/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	250.00	12.50	0.00	12.50	80.0	10.00
	ACCOUNT #: 04000939783	BUY 16 Units @15.5200						
05/25/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000939762	BUY 2 Units @14.3800						
05/25/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	37.13	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 04000939792	BUY 3 Units @14.3800						
05/25/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	48.80	0.49	0.00	0.49	80.0	0.39
	ACCOUNT #: 04000939806	BUY 3 Units @14.3800						
05/30/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 85 Units @14.3800						
05/30/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.00	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 56 Units @14.3800						
05/30/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.3800						
05/23/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.1700						
05/24/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.7500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/24/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.7500						
05/23/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.6000						
05/23/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.6000						
05/25/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	50.57	2.53	0.00	2.53	80.0	2.02
	ACCOUNT #: 04000939801	BUY 3 Units @16.5400						
05/24/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @16.1200						
05/24/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.1200						
05/24/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.1200						
05/24/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @16.1200						
05/23/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @13.0300						
05/23/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @13.0300						
05/21/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @54.6900						
05/31/2018	***-**-078I - PELLETIER,	SAMMONS LIVEWELL MUTUAL FUND	458.33	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
05/31/2018	***-**-90SI - MACARON, SHADY	SAMMONS MF TRAILS	0.00	70.44	0.00	70.44	80.0	56.35
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
05/31/2018	***-**-078I - PELLETIER,	SAMMONS MF TRAILS	0.00	23.69	0.00	23.69	80.0	18.95
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
05/31/2018	***-**-365I - HENDRICKS,	SAMMONS MF TRAILS	0.00	53.30	0.00	53.30	80.0	42.64
	ACCOUNT #: 7200000751	BUY 0 Units @0.0000						
05/31/2018	***-**-230I - ADAMAITIS, JOY	SAMMONS MF TRAILS	0.00	37.36	0.00	37.36	80.0	29.89
	ACCOUNT #: 7200005523	BUY 0 Units @0.0000						



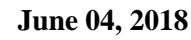
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/31/2018	***-**-069I - PIRES, DAVID	SAMMONS MF TRAILS	0.00	17.52	0.00	17.52	80.0	14.02
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
05/31/2018	***-**-118I - MORRO, CHARLOTTE	SAMMONS MF TRAILS	0.00	8.05	0.00	8.05	80.0	6.44
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
05/21/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @13.7200						
05/23/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.5600						
05/23/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.5600						
05/21/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @48.3500						
* MUTUAL FUNDS SUBTOTAL *			\$8,473.68	\$379.21	\$21.00	\$358.21		\$285.32
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/31/2018	***-**-878I - MOSGROVE, KEITH	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011966	BUY 1 Units @0.0000						
06/04/2018	***-**-552X - FAMIGLIETTI,	IVY DIVIDEND OPPORTUNITIES CL A	0.00	11.99	0.00	11.99	80.0	9.59
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
06/04/2018	***-**-552I - FAMIGLIETTI,	IVY DIVIDEND OPPORTUNITIES CL A	0.00	9.59	0.00	9.59	80.0	7.67
	ACCOUNT #: LSR011127	BUY 1 Units @0.0000						
06/04/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	30.71	0.00	30.71	80.0	24.57
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
06/04/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	12.90	0.00	12.90	80.0	10.32
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
06/04/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	20.77	0.00	20.77	80.0	16.62
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$85.96	\$0.00	\$85.96		\$68.77
*** BROKER TRANSACTIONS TOTAL ***			\$8,473.68	\$465.17	\$21.00	\$444.17		\$354.09



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$354.09
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$354.09
						Year-to-date Earnings		\$35,317.35