



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2018

08/22/2018
Page No. 1

MARK-S141 REG SMITH

BROKER #: S141
BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	48.0	1.02
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	48.0	1.02
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	48.0	1.02
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	48.0	1.02
08/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	48.0	1.02
08/15/2018	VOORHEES, CLIFFORD	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$12.53	\$0.00	\$12.53		\$6.00
*** DIRECT TRANSACTIONS TOTAL ***			\$300.00	\$12.53	\$0.00	\$12.53		\$6.00

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S148 SMITH #401</u>								
08/06/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.44
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.44
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$3.00	\$0.00	\$3.00		\$1.44

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$6.00
Split Transactions	\$0.00
Override Transactions	\$1.44
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-343.55
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-336.11
Year-to-date Gross	\$76,027.93
Year-to-date Earnings	\$36,452.80