

COMMISSION STATEMENT

April 30, 2017

HENRY HACKEL
179 EAST 70TH STREET
APT 6B
NEW YORK, NY 10021

BROKER #:
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/21/2017	75500426	MIGLIORATO, DOMENICK	SPDR S&P 500 ETF TRUST	S	595,000.00	60.00	0.00	60.00	80.0	48.00
04/21/2017	75500426	MIGLIORATO, DOMENICK	SPDR S&P 500 ETF TRUST	B	-595,000.00	-60.00	0.00	-60.00	80.0	-48.00 C
04/21/2017	75500426	MIGLIORATO, DOMENICK	SPDR S&P 500 ETF TRUST	S	238,000.00	24.00	0.00	24.00	80.0	19.20
04/21/2017	75500426	MIGLIORATO, DOMENICK	SPDR S&P 500 ETF TRUST	S	357,000.00	36.00	0.00	36.00	80.0	28.80
04/10/2017	75501455	HACKEL, HENRY	CENTURYTEL INC	S	0.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501455	HACKEL, HENRY	CENTURYTEL INC	B	0.00	0.00	0.00	0.00	80.0	0.00 C
04/10/2017	75501455	HACKEL, HENRY	CENTURYTEL INC	S	497,300.00	0.00	0.00	0.00	80.0	0.00
04/06/2017	QGW001018	GRAYMAN, EZRA	CAPRICOR THERAPEUTICS INC COM	S	-15,700.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW001018	GRAYMAN, EZRA	PETROSHARE CORP COM	B	13,500.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW001018	GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	S	-27,500.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW001042	GRAYMAN, EZRA	FOUNDATION HEAL SHS COM STK	S	-1,800.00	15.00	0.00	15.00	80.0	12.00
04/06/2017	QGW001042	GRAYMAN, EZRA	PETROSHARE CORP COM	B	13,500.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW001042	GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	B	27,500.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW001091	HACKEL, DEBBIE	PINNACLE WEST CAP CORP COM	S	-33,508.16	10.00	0.00	10.00	80.0	8.00
04/11/2017	QGW001091	HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	24,135.00	10.00	0.00	10.00	80.0	8.00
04/21/2017	QGW001091	HACKEL, DEBBIE	ACADIA PHARMACEUTICALS INC COM	B	10,500.00	10.00	0.00	10.00	80.0	8.00
04/21/2017	QGW001091	HACKEL, DEBBIE	SELECT SECTOR SPDR TRUST THE FINANC	B	58,750.00	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW001091	HACKEL, DEBBIE	SIBANYE GOLD LTD SPONSORED ADR ISIN	B	21,120.00	10.00	0.00	10.00	80.0	8.00
03/31/2017	QGW001166	KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-6,672.02	12.98	0.00	12.98	80.0	10.38
03/31/2017	QGW001166	KOONDEL	FORD MOTOR CO PAR \$0.01	B	12,000.00	18.50	0.00	18.50	80.0	14.80
03/31/2017	QGW001166	KOONDEL	OPKO HEALTH INC	B	397.75	10.43	0.00	10.43	80.0	8.34
04/03/2017	QGW001166	KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-475.50	10.21	0.00	10.21	80.0	8.17
04/03/2017	QGW001166	KOONDEL	BANK OF AMERICA CORP	B	24,500.00	18.50	0.00	18.50	80.0	14.80
04/03/2017	QGW001166	KOONDEL	DRYSHIPS INC COMMON STOCK	B	1,330.00	18.50	0.00	18.50	80.0	14.80
04/03/2017	QGW001166	KOONDEL	OPKO HEALTH INC	B	4,298.25	14.68	0.00	14.68	80.0	11.74
04/04/2017	QGW001166	KOONDEL	DRYSHIPS INC COMMON STOCK	B	3,075.00	31.25	0.00	31.25	80.0	25.00
04/04/2017	QGW001166	KOONDEL	FORD MOTOR CO PAR \$0.01	B	12,000.00	18.50	0.00	18.50	80.0	14.80
04/05/2017	QGW001166	KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-1,431.00	10.64	0.00	10.64	80.0	8.51
04/05/2017	QGW001166	KOONDEL	DRYSHIPS INC COMMON STOCK	B	3,161.87	34.65	0.00	34.65	80.0	27.72
04/05/2017	QGW001166	KOONDEL	OPKO HEALTH INC	B	2,092.01	12.34	0.00	12.34	80.0	9.87
04/06/2017	QGW001166	KOONDEL	DRYSHIPS INC COMMON STOCK	B	370.00	13.40	0.00	13.40	80.0	10.72

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/07/2017	QGW001166 KOONDEL	DRYSHIPS INC COMMON STOCK	B	1,906.25	31.25	0.00	31.25	80.0	25.00
04/10/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-7,630.52	13.40	0.00	13.40	80.0	10.72
04/10/2017	QGW001166 KOONDEL	DRYSHIPS INC COMMON STOCK	B	1,789.01	34.65	0.00	34.65	80.0	27.72
04/11/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-7,662.00	13.40	0.00	13.40	80.0	10.72
04/13/2017	QGW001166 KOONDEL	FORD MOTOR CO PAR \$0.01	B	12,000.00	18.50	0.00	18.50	80.0	14.80
04/18/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	4,721.47	15.53	0.00	15.53	80.0	12.42
04/19/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-1,440.50	10.64	0.00	10.64	80.0	8.51
04/19/2017	QGW001166 KOONDEL	DRYSHIPS INC COM PAR ISIN# MHY2109Q	S	-357.50	11.70	0.00	11.70	80.0	9.36
04/20/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-480.25	10.21	0.00	10.21	80.0	8.17
04/20/2017	QGW001166 KOONDEL	DRYSHIPS INC COM PAR ISIN# MHY2109Q	S	-91.00	10.43	0.00	10.43	80.0	8.34
04/21/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	B	23,500.00	9.17	0.00	9.17	80.0	7.34
04/21/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	B	129,600.00	66.00	0.00	66.00	80.0	52.80
04/21/2017	QGW001166 KOONDEL	BANK OF AMERICA CORP	B	136,000.00	62.33	0.00	62.33	80.0	49.86
04/21/2017	QGW001166 KOONDEL	CHICAGO BRIDGE & IRON CO NV	B	8,250.00	12.55	0.00	12.55	80.0	10.04
04/21/2017	QGW001166 KOONDEL	EXELON CORPORATION	B	27,200.00	16.80	0.00	16.80	80.0	13.44
04/25/2017	QGW001166 KOONDEL	APPLE HOSPITALITY REIT INC COM NEW	S	-8,217.76	13.61	0.00	13.61	80.0	10.89
04/25/2017	QGW001166 KOONDEL	COCRYSTAL PHARMA INC COM	B	2,170.40	78.00	0.00	78.00	80.0	62.40
04/10/2017	QGW001737 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-5,450.00	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW001737 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-5,500.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW001745 HEATH, KIMBERLY	ICAD INC COM NEW	B	8,798.56	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW001745 HEATH, KIMBERLY	ICAD INC COM NEW	B	16,792.50	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW001745 HEATH, KIMBERLY	ICAD INC COM NEW	B	10,637.91	5.06	0.00	5.06	80.0	4.05
04/20/2017	QGW001745 HEATH, KIMBERLY	ICAD INC COM NEW	B	5,610.00	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW001752 HEATH, KIMBERLY	ICAD INC COM NEW	B	9,596.60	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW001752 HEATH, KIMBERLY	RELM WIRELESS CORP COM	S	-9,910.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW001828 BRIMBERG BIOTECH	NABORS INDUSTRIES LTD	S	-20,929.35	50.00	0.00	50.00	80.0	40.00
04/21/2017	QGW001828 BRIMBERG BIOTECH	CONATUS PHARMACEUTICALS INC COM	S	-33,938.00	50.00	0.00	50.00	80.0	40.00
04/21/2017	QGW001828 BRIMBERG BIOTECH	CONATUS PHARMACEUTICALS INC COM	B	30,970.00	50.00	0.00	50.00	80.0	40.00
04/12/2017	QGW004905 CAPRARO, DAVID	VALEANT PHARMACEUTICALS INTERNATIONAL	B	637,462.10	661.11	0.00	661.11	80.0	528.89
04/25/2017	QGW004905 CAPRARO, DAVID	VALEANT PHARMACEUTICALS INTERNATIONAL	S	-591,280.80	660.00	0.00	660.00	80.0	528.00
04/13/2017	QGW005654 MEADE, KERMIT	CLIFFS NATURAL RESOURCES INC	S	-2,139.33	50.00	0.00	50.00	80.0	40.00
04/13/2017	QGW005654 MEADE, KERMIT	COMCAST CORP CL A	S	-5,362.73	50.00	0.00	50.00	80.0	40.00
04/13/2017	QGW005654 MEADE, KERMIT	FRONTIER COMMUNICATIONS CORP	S	-1,230.40	15.00	0.00	15.00	80.0	12.00
04/13/2017	QGW005654 MEADE, KERMIT	HARRIS CORP DEL	S	-3,526.72	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW005654 MEADE, KERMIT	JOHNSON CONTROLS INTERNATIONAL PLC	S	-121.98	15.00	0.00	15.00	80.0	12.00
04/13/2017	QGW005654 MEADE, KERMIT	MEDTRONIC PLC COM	S	-240.39	15.00	0.00	15.00	80.0	12.00
04/13/2017	QGW005654 MEADE, KERMIT	NORFOLK SOUTHERN CORP	S	-17,780.97	50.00	0.00	50.00	80.0	40.00
04/13/2017	QGW005654 MEADE, KERMIT	PFIZER INCORPORATED	S	-1,696.01	50.00	0.00	50.00	80.0	40.00
04/13/2017	QGW005654 MEADE, KERMIT	TE CONNECTIVITY LTD	S	-288.36	15.00	0.00	15.00	80.0	12.00
04/13/2017	QGW005654 MEADE, KERMIT	TESORO CORPORATION	S	-15,984.72	50.00	0.00	50.00	80.0	40.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/13/2017	QGW005654	MEADE, KERMIT							
		VERIZON COMMUNICATIONS	S	-2,141.92	50.00	0.00	50.00	80.0	40.00
04/13/2017	QGW005936	RABBITO, RICHARD							
		SECOND SIGHT MED PRODS INC COM	S	-1,402.00	35.00	0.00	35.00	80.0	28.00
04/18/2017	QGW005936	RABBITO, RICHARD							
		COBALT INTL ENERGY INC COM	B	803.40	35.00	0.00	35.00	80.0	28.00
04/25/2017	QGW008203	MCGOWAN, DIANE							
		ALCOA CORPORATION COMMON STOCK	S	-2,283.60	50.00	0.00	50.00	80.0	40.00
04/25/2017	QGW008203	MCGOWAN, DIANE							
		ARCONIC INC COM	S	-5,260.00	75.00	0.00	75.00	80.0	60.00
04/25/2017	QGW008203	MCGOWAN, DIANE							
		UNITED STATES STL CORP NEW	S	-12,772.00	100.00	0.00	100.00	80.0	80.00
03/30/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	B	29,533.35	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW009730	SHARTSIS, JAY							
		RED HAT INC	B	25,950.78	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	S	-19,800.00	8.00	0.00	8.00	80.0	6.40
03/31/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	B	39,679.80	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	S	-29,220.15	12.00	0.00	12.00	80.0	9.60
04/03/2017	QGW009730	SHARTSIS, JAY							
		ASTRAZENECA PLC EACH ADS REPRESENTI	S	-31,242.90	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	S	-19,980.10	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730	SHARTSIS, JAY							
		FINISH LINE INC CL A	S	-14,195.00	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730	SHARTSIS, JAY							
		FINISH LINE INC CL A	B	28,633.60	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730	SHARTSIS, JAY							
		HALLIBURTON COMPANY	B	24,752.50	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW009730	SHARTSIS, JAY							
		FINISH LINE INC CL A	S	-14,380.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW009730	SHARTSIS, JAY							
		PINNACLE WEST CAP CORP COM	S	-50,256.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW009730	SHARTSIS, JAY							
		PROSHARES TR II ULTA BLOOMBERG CRUD	B	28,738.50	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	B	20,277.90	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW009730	SHARTSIS, JAY							
		BED BATH & BEYOND INC	B	38,910.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW009730	SHARTSIS, JAY							
		BED BATH & BEYOND INC	S	-38,820.80	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW009730	SHARTSIS, JAY							
		BED BATH & BEYOND INC	S	38,820.80	-20.00	0.00	-20.00	80.0	-16.00 C
04/06/2017	QGW009730	SHARTSIS, JAY							
		BED BATH & BEYOND INC	S	-38,820.80	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW009730	SHARTSIS, JAY							
		AMERICAN OUTDOOR BRANDS CORP COM	S	-20,380.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW009730	SHARTSIS, JAY							
		HERTZ GLOBAL HLDGS INC NEW COM	B	40,578.75	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW009730	SHARTSIS, JAY							
		HERTZ GLOBAL HLDGS INC NEW COM	S	-15,730.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730	SHARTSIS, JAY							
		BP PRUDHOE BAY ROYALTY TRUST UBI	B	13,887.09	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730	SHARTSIS, JAY							
		OLIN CORPORATION	B	46,729.50	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730	SHARTSIS, JAY							
		OLIN CORPORATION	S	-15,486.00	6.66	0.00	6.66	80.0	5.33
04/13/2017	QGW009730	SHARTSIS, JAY							
		OLIN CORPORATION	S	-30,740.00	13.34	0.00	13.34	80.0	10.67
04/17/2017	QGW009730	SHARTSIS, JAY							
		BP PRUDHOE BAY ROYALTY TRUST UBI	S	-13,965.00	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW009730	SHARTSIS, JAY							
		HERTZ GLOBAL HLDGS INC NEW COM	B	24,026.25	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW009730	SHARTSIS, JAY							
		HERTZ GLOBAL HLDGS INC NEW COM	S	-23,840.70	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730	SHARTSIS, JAY							
		DELTA AIR LINES INC DEL COM	B	18,208.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730	SHARTSIS, JAY							
		SELECT SECTOR SPDR TRUST THE FINANC	B	58,750.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730	SHARTSIS, JAY							
		SELECT SECTOR SPDR TRUST THE FINANC	B	-58,750.00	-20.00	0.00	-20.00	80.0	-16.00 C
04/21/2017	QGW009730	SHARTSIS, JAY							
		SELECT SECTOR SPDR TRUST THE FINANC	B	58,750.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730	SHARTSIS, JAY							
		WESTMORELAND COAL CO COM	B	10,800.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730	SHARTSIS, JAY							
		WESTMORELAND COAL CO COM	B	-10,800.00	-20.00	0.00	-20.00	80.0	-16.00 C

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/21/2017	QGW009730	SHARTSIS, JAY							
		WESTMORELAND COAL CO COM	B	10,800.00	20.00	0.00	20.00	80.0	16.00
03/29/2017	QGW011926	MERDINGER, STANLEY							
		BRAVO MULTINATIONAL INC COM	S	-1,353.00	75.00	0.00	75.00	80.0	60.00
03/29/2017	QGW011926	MERDINGER, STANLEY							
		EXCEL CORP COM	S	-7,000.00	75.00	0.00	75.00	80.0	60.00
03/29/2017	QGW011926	MERDINGER, STANLEY							
		NEXT GROUP HLDGS INC COM	S	-4,868.75	75.00	0.00	75.00	80.0	60.00
03/30/2017	QGW011926	MERDINGER, STANLEY							
		NEXT GROUP HLDGS INC COM	S	-4,250.00	37.50	0.00	37.50	80.0	30.00
03/30/2017	QGW011926	MERDINGER, STANLEY							
		NEXT GROUP HLDGS INC COM	S	-4,000.00	37.50	0.00	37.50	80.0	30.00
03/30/2017	QGW011975	RINGER, DENNIS							
		LEGACY ED ALLIANCE INC COM	B	2,800.00	65.00	0.00	65.00	80.0	52.00
04/10/2017	QGW011975	RINGER, DENNIS							
		APOLLO COMMERCIAL REAL ESTATE FINAN S		-18,822.50	65.00	0.00	65.00	80.0	52.00
04/03/2017	QGW012809	GILPIN, DOUGLAS							
		FORTIVE CORPORATION COM	B	15,077.50	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW012809	GILPIN, DOUGLAS							
		FINISH LINE INC CL A	B	8,565.48	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW012809	GILPIN, DOUGLAS							
		FINISH LINE INC CL A	B	5,963.72	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW012809	GILPIN, DOUGLAS							
		PROSHARES ULTRASHORT QQQ	B	9,578.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW012809	GILPIN, DOUGLAS							
		HERTZ GLOBAL HLDGS INC NEW COM	B	16,098.10	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW012809	GILPIN, DOUGLAS							
		FINISH LINE INC CL A	S	-15,593.50	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW012809	GILPIN, DOUGLAS							
		PROSHARES ULTRASHORT QQQ	S	-9,305.05	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW012809	GILPIN, DOUGLAS							
		FORTIVE CORPORATION COM	S	-15,541.80	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW012809	GILPIN, DOUGLAS							
		TERRA TECH CORP COM	S	-1,133.00	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW013070	HILL, SAG							
		DALECO RES CORP COM NEW	B	135.00	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW013070	HILL, SAG							
		DALECO RES CORP COM NEW	B	56.19	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013070	HILL, SAG							
		DALECO RES CORP COM NEW	B	150.00	20.00	0.00	20.00	80.0	16.00
04/10/2017	QGW013070	HILL, SAG							
		IMPRIMIS PHARMACEUTICALS INC COM NE B		4,188.00	73.00	0.00	73.00	80.0	58.40
04/10/2017	QGW013070	HILL, SAG							
		IMPRIMIS PHARMACEUTICALS INC COM NE B		-4,188.00	-73.00	0.00	-73.00	80.0	-58.40 C
03/22/2017	QGW013484	HACKEL, DEBBIE							
		NASDAQ SUPER MONTAGE TEST SYMBOL-12 B		-10,014.30	-10.00	0.00	-10.00	80.0	-8.00 C
03/22/2017	QGW013484	HACKEL, DEBBIE							
		NASDAQ SUPER MONTAGE TEST SYMBOL-12 S		10,010.00	-10.00	0.00	-10.00	80.0	-8.00 C
03/29/2017	QGW013484	HACKEL, DEBBIE							
		UNDER ARMOUR INC CLASS C COMMON STO S		-27,848.70	6.00	0.00	6.00	80.0	4.80
03/29/2017	QGW013484	HACKEL, DEBBIE							
		UNDER ARMOUR INC CLASS C COMMON STO S		-18,640.00	4.00	0.00	4.00	80.0	3.20
03/30/2017	QGW013484	HACKEL, DEBBIE							
		UNDER ARMOUR INC CLASS C COMMON STO B		45,932.75	10.00	0.00	10.00	80.0	8.00
04/05/2017	QGW013484	HACKEL, DEBBIE							
		TRINITY INDUSTRIES INC	B	108,369.20	10.00	0.00	10.00	80.0	8.00
04/05/2017	QGW013484	HACKEL, DEBBIE							
		TRINITY INDUSTRIES INC	S	-26,914.20	2.50	0.00	2.50	80.0	2.00
04/05/2017	QGW013484	HACKEL, DEBBIE							
		TRINITY INDUSTRIES INC	S	-80,823.00	7.50	0.00	7.50	80.0	6.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		HEARTLAND EXPRESS INC	S	-19,540.00	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		HEARTLAND EXPRESS INC	B	19,880.00	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		QUOTIENT TECHNOLOGY INC COM	B	1,890.00	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		SAIA INC COM	B	21,825.00	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		SAIA INC COM	S	-22,189.60	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		WERNER ENTERPRISES INC	B	25,849.90	10.00	0.00	10.00	80.0	8.00
04/10/2017	QGW013484	HACKEL, DEBBIE							
		WERNER ENTERPRISES INC	S	-25,600.00	10.00	0.00	10.00	80.0	8.00
04/11/2017	QGW013484	HACKEL, DEBBIE							
		EXPEDITORS INTL WASH INC	B	55,665.90	10.00	0.00	10.00	80.0	8.00
04/11/2017	QGW013484	HACKEL, DEBBIE							
		EXPEDITORS INTL WASH INC	S	-55,730.00	10.00	0.00	10.00	80.0	8.00
04/11/2017	QGW013484	HACKEL, DEBBIE							
		QUOTIENT TECHNOLOGY INC COM	S	-15,079.95	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/11/2017	QGW013484 HACKEL, DEBBIE	QUOTIENT TECHNOLOGY INC COM	B	12,780.04	10.00	0.00	10.00	80.0	8.00
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	1,995.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	10,000.00	2.50	0.00	2.50	80.0	2.00
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-1,844.60	0.46	0.00	0.46	80.0	0.37
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,005.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,005.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-10,000.00	2.50	0.00	2.50	80.0	2.00
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	10,000.00	2.50	0.00	2.50	80.0	2.00
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-4,000.00	1.00	0.00	1.00	80.0	0.80
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-160.00	0.04	0.00	0.04	80.0	0.03
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,005.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	8,000.00	2.00	0.00	2.00	80.0	1.60
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,005.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-2,000.00	0.50	0.00	0.50	80.0	0.40
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	-40,024.60	10.00	0.00	10.00	80.0	8.00
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-1,995.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-10,000.00	-2.50	0.00	-2.50	80.0	-2.00 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	1,844.60	-0.46	0.00	-0.46	80.0	-0.37 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,005.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,005.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	10,000.00	-2.50	0.00	-2.50	80.0	-2.00 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-10,000.00	-2.50	0.00	-2.50	80.0	-2.00 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	4,000.00	-1.00	0.00	-1.00	80.0	-0.80 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	160.00	-0.04	0.00	-0.04	80.0	-0.03 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,005.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	-8,000.00	-2.00	0.00	-2.00	80.0	-1.60 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	B	39,995.00	10.00	0.00	10.00	80.0	8.00
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,005.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/18/2017	QGW013484 HACKEL, DEBBIE	VITAMIN SHOPPE INC COM	S	2,000.00	-0.50	0.00	-0.50	80.0	-0.40 C
04/19/2017	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	B	30,450.00	10.00	0.00	10.00	80.0	8.00
04/19/2017	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	B	-30,450.00	-10.00	0.00	-10.00	80.0	-8.00 C
04/19/2017	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	B	30,450.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW013484 HACKEL, DEBBIE	H & E EQUIP SVCS INC COM	S	-22,910.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW013484 HACKEL, DEBBIE	H & E EQUIP SVCS INC COM	B	23,050.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW013484 HACKEL, DEBBIE	KANSAS CITY SOUTHERN IND	S	-45,375.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW013484 HACKEL, DEBBIE	KANSAS CITY SOUTHERN IND	B	45,135.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW013484 HACKEL, DEBBIE	TEMPUR SEALY INTERNATIONAL INC	S	-30,452.03	10.00	0.00	10.00	80.0	8.00
04/21/2017	QGW013484 HACKEL, DEBBIE	HASBRO INC	B	48,055.00	10.00	0.00	10.00	80.0	8.00
04/21/2017	QGW013484 HACKEL, DEBBIE	HASBRO INC	S	-47,690.00	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW013484 HACKEL, DEBBIE	EDWARDS LIFESCIENCES CRP	B	49,430.00	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW013484 HACKEL, DEBBIE	EDWARDS LIFESCIENCES CRP	S	-48,925.75	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW013484 HACKEL, DEBBIE	ICHOR HOLDINGS LTD REGISTERED SHS I B		19,100.00	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW013484 HACKEL, DEBBIE	ICHOR HOLDINGS LTD REGISTERED SHS I S		-19,000.00	10.00	0.00	10.00	80.0	8.00
04/25/2017	QGW013484 HACKEL, DEBBIE	CHIPOTLE MEXICAN GRILL INC COMMON S B		50,200.00	10.00	0.00	10.00	80.0	8.00
04/25/2017	QGW013484 HACKEL, DEBBIE	CHIPOTLE MEXICAN GRILL INC COMMON S S		-48,812.25	10.00	0.00	10.00	80.0	8.00
04/25/2017	QGW013484 HACKEL, DEBBIE	DEERE & CO	S	-56,444.00	10.00	0.00	10.00	80.0	8.00
04/25/2017	QGW013484 HACKEL, DEBBIE	DEERE & CO	B	56,016.00	10.00	0.00	10.00	80.0	8.00
03/31/2017	QGW013492 HACKEL, DEBBIE	LULULEMON ATHLETICA INC	S	-101,600.20	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW013492 HACKEL, DEBBIE	LULULEMON ATHLETICA INC	B	102,195.80	20.00	0.00	20.00	80.0	16.00
04/03/2017	QGW013492 HACKEL, DEBBIE	HEWLETT PACKARD ENTERPRISE COMPANY	B	35,116.00	20.00	0.00	20.00	80.0	16.00
04/18/2017	QGW013492 HACKEL, DEBBIE	CARDINAL HEALTH INC	B	36,214.35	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW013492 HACKEL, DEBBIE	CARDINAL HEALTH INC	B	36,248.75	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW013492 HACKEL, DEBBIE	CARDINAL HEALTH INC	S	-35,771.00	20.00	0.00	20.00	80.0	16.00
03/29/2017	QGW014391 DARWISH, MENACHEM	MOBILEYE N V ORD SHS	S	-60,950.00	35.00	0.00	35.00	80.0	28.00
04/21/2017	QGW020513 FORST, BARRY	STRATEGIC ENVIRONMENTAL & ENERGY RE B		3,501.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW020521 FORST, DANIEL	STRATEGIC ENVIRONMENTAL & ENERGY RE B		2,760.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/03/2017	QGW020992	NEILL, MARTIN							
		DALECO RES CORP COM NEW	B	300.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW020992	NEILL, MARTIN							
		STRATEGIC ENVIRONMENTAL & ENERGY RE B		2,800.80	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW021156	SIEGEL, STUART							
		MEDICAL PROPERTIES TRUST INC	S	-4,131.03	20.00	0.00	20.00	80.0	16.00
04/17/2017	QGW021156	SIEGEL, STUART							
		FORD MOTOR CO PAR \$0.01	B	4,487.20	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	999.75	13.34	0.00	13.34	80.0	10.67
04/24/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	2,000.00	26.66	0.00	26.66	80.0	21.33
04/25/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	985.00	40.00	0.00	40.00	80.0	32.00
04/11/2017	QGW023731	REILLY, DAVID							
		APPLE INC	S	-14,091.35	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW025066	KITTELSTAD, ARTHUR							
		TECK RESOURCES LIMITED SUB VOTING C S		-4,452.44	116.00	0.00	116.00	80.0	92.80
04/21/2017	QGW029019	SHARTSIS, JAY							
		NAVISTAR INTL CORP (NEW)	B	12,500.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW029019	SHARTSIS, JAY							
		SIBANYE GOLD LTD SPONSORED ADR ISIN B		25,650.00	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW030272	CHERNOFF, GLENN							
		WALT DISNEY CO	B	45,359.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW030272	CHERNOFF, GLENN							
		WALT DISNEY CO	S	-45,589.88	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW030298	CHERNOFF, GLENN							
		ALASKA AIR GROUP INC	S	-22,602.50	14.28	0.00	14.28	80.0	11.42
04/04/2017	QGW030298	CHERNOFF, GLENN							
		ALASKA AIR GROUP INC	S	-9,026.00	5.72	0.00	5.72	80.0	4.58
04/06/2017	QGW030298	CHERNOFF, GLENN							
		TERADYNE INC	B	19,004.94	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW030298	CHERNOFF, GLENN							
		TERADYNE INC	B	19,134.00	20.00	0.00	20.00	80.0	16.00
04/10/2017	QGW030298	CHERNOFF, GLENN							
		APPLE INC	S	-28,730.74	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW030298	CHERNOFF, GLENN							
		KMG CHEMICALS INC COM	B	24,400.00	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW030421	PARTNERS, FHANNA							
		EVERI HOLDGS INC COM STK	S	-17,820.30	8.57	0.00	8.57	80.0	6.86
04/19/2017	QGW030421	PARTNERS, FHANNA							
		EVERI HOLDGS INC COM STK	S	-23,731.60	11.43	0.00	11.43	80.0	9.14
04/05/2017	QGW030702	RUDOLPH, MARTIN							
		AT&T INC	S	-4,100.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW030702	RUDOLPH, MARTIN							
		TWITTER INC	B	2,923.60	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW030702	RUDOLPH, MARTIN							
		DIREXION SHS ETF TR DAILY JR GOLD M S		-7,301.60	20.00	0.00	20.00	80.0	16.00
04/17/2017	QGW030702	RUDOLPH, MARTIN							
		DIREXION SHS ETF TR DAILY JR GOLD M B		6,540.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW030702	RUDOLPH, MARTIN							
		UNITED STATES STL CORP NEW	S	-15,308.00	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW030728	RUDOLPH, MARTIN							
		EASTGROUP PPTYS INC COM	S	-129,560.86	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW030728	RUDOLPH, MARTIN							
		EASTGROUP PPTYS INC COM	B	129,846.73	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW030751	RUDOLPH, CAROL							
		APPLE INC	S	-35,442.50	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW030751	RUDOLPH, CAROL							
		EXXON MOBIL CORPORATION	B	16,595.46	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW030751	RUDOLPH, CAROL							
		FRANKLIN UNIVERSAL TRUST-SBI	S	-5,287.94	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW030751	RUDOLPH, CAROL							
		TWITTER INC	B	7,283.60	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW030751	RUDOLPH, CAROL							
		UNITED STATES STL CORP NEW	B	16,044.30	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW030793	RUDOLPH, HOWARD							
		AT&T INC	S	-4,167.42	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW030793	RUDOLPH, HOWARD							
		PHILIP MORRIS INTERNATIONAL INC	S	-11,358.40	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW030827	TO B CAPITAL SPECIAL							
		LEVON RES LTD NEW COM SHS ISIN#CA52 B		1,680.00	129.80	0.00	129.80	80.0	103.84
03/31/2017	QGW030827	TO B CAPITAL SPECIAL							
		NAKED BRAND GROUP INC COM NEW	S	-4,680.20	181.72	0.00	181.72	80.0	145.38
04/18/2017	QGW030827	TO B CAPITAL SPECIAL							
		LM FDG AMER INC COM	B	1,225.98	50.00	0.00	50.00	80.0	40.00
04/21/2017	QGW030827	TO B CAPITAL SPECIAL							
		LM FDG AMER INC COM	B	66.40	50.00	0.00	50.00	80.0	40.00
04/24/2017	QGW030827	TO B CAPITAL SPECIAL							
		LM FDG AMER INC COM	B	1,245.00	50.00	0.00	50.00	80.0	40.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/29/2017	QGW030835	SEXER LEVY, RHONDA	TONIX PHARMACEUTICALS HLDG COM \$0.0 S	-560.00	20.00	0.00	20.00	80.0	16.00
03/29/2017	QGW030835	SEXER LEVY, RHONDA	TONIX PHARMACEUTICALS HLDG COM \$0.0 B	1,460.00	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW030835	SEXER LEVY, RHONDA	AURINIA PHARMACEUTICALS INC COM ISI B	1,406.00	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW030835	SEXER LEVY, RHONDA	IMMUNOMEDICS INC COM B	1,169.40	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW030835	SEXER LEVY, RHONDA	GENOCEA BIOSCIENCES INC COM B	1,791.00	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW030835	SEXER LEVY, RHONDA	NEKTAR THERAPEUTICS COM B	1,833.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW030835	SEXER LEVY, RHONDA	CYCLACEL PHARMACEUTICALS INC COM PA B	1,094.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW030835	SEXER LEVY, RHONDA	GENOCEA BIOSCIENCES INC COM S	-2,109.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW030835	SEXER LEVY, RHONDA	NEKTAR THERAPEUTICS COM S	-1,912.30	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW030835	SEXER LEVY, RHONDA	PULMATRIX INC COM STK B	1,488.50	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW030835	SEXER LEVY, RHONDA	AURINIA PHARMACEUTICALS INC COM ISI B	1,490.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW030835	SEXER LEVY, RHONDA	ZAFGEN INC COM B	1,015.40	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW031049	WINNICK, CHARLES	BLUE VY BAN CORP OC-COM B	21,676.20	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	-49,936.83	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	-20,685.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW031049	WINNICK, CHARLES	PBF LOGISTICS LP UNIT LTD PARTNERSH S	-53,391.50	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW031049	WINNICK, CHARLES	CRIMSON WINE GROUP LTD B	15,000.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW031049	WINNICK, CHARLES	HORIZON BANCORP-IND S	-64,058.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	-66,015.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	66,015.00	-20.00	0.00	-20.00	80.0	-16.00 C
04/20/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	-88,265.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	-41,969.95	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW031049	WINNICK, CHARLES	PBF LOGISTICS LP UNIT LTD PARTNERSH S	-41,602.60	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW031049	WINNICK, CHARLES	PBF LOGISTICS LP UNIT LTD PARTNERSH S	-165,692.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC S	-101,761.76	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW031049	WINNICK, CHARLES	FS BANCORP INC COM S	-20,625.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW031049	WINNICK, CHARLES	PBF ENERGY INC CL A S	-90,240.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW031049	WINNICK, CHARLES	PBF LOGISTICS LP UNIT LTD PARTNERSH S	-104,027.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW031049	WINNICK, CHARLES	ROCKY BRANDS INC COM S	-26,800.00	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW031999	HEIDENREICH, CLAIRE	COTY INC COM CL A S	-4,788.45	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW031999	HEIDENREICH, CLAIRE	ULTA SALON COSMETICS & FRAGRANCE IN B	4,255.65	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW036410	BELZ, KATHLEEN	TRANSOCEAN LTD US LISTED B	37,500.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW036436	BELZ, KATHLEEN	GWG HLDGS INC COM B	3,165.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW036436	BELZ, KATHLEEN	GWG HLDGS INC COM B	2,110.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW036436	BELZ, KATHLEEN	TRANSOCEAN LTD US LISTED B	39,000.00	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW036451	BELZ, RICHARD	BANK OF AMERICA CORP B	52,800.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW036451	BELZ, RICHARD	BANK OF AMERICA CORP B	19,200.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW036550	FATTORUSO, PHYLLIS	AT&T INC B	20,303.15	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW036634	KEHOE -, JOANNE	STRATEGIC ENVIRONMENTAL & ENERGY RE B	2,070.00	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW036709	GILPIN, DOUGLAS	SPROUTS FARMERS MARKET INC COM S	-8,032.50	154.05	0.00	154.05	80.0	123.24

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
04/19/2017	QGW036709	GILPIN, DOUGLAS		ISHARES SILVER SHARES	B	5,164.95	107.41	0.00	107.41	80.0	85.93
04/24/2017	QGW036709	GILPIN, DOUGLAS		WHOLE FOODS MKT INC	S	-7,154.50	122.20	0.00	122.20	80.0	97.76
				* STOCKS SUBTOTAL *		\$942,513.32	\$7,215.10	\$0.00	\$7,215.10		\$5,772.07
PRODUCT CATEGORY: BONDS											
03/31/2017	75500349	POMP, BARRY		SOCIETE GENERALE LNKD TO THE X DD M B		15,680.00	0.00	0.00	0.00	80.0	0.00
				* BONDS SUBTOTAL *		\$15,680.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: OPTIONS											
04/04/2017	QGW001091	HACKEL, DEBBIE		CALL 100 APPLE INC COM EXP 05-19-17 B		1,274.00	10.00	0.00	10.00	80.0	8.00
04/04/2017	QGW001091	HACKEL, DEBBIE		CALL 100 APPLE INC COM EXP 05-19-17 S		-340.00	10.00	0.00	10.00	80.0	8.00
04/05/2017	QGW001091	HACKEL, DEBBIE		CALL 100 DIREXION SHS ETF TR DAILY S		-1,275.00	10.00	0.00	10.00	80.0	8.00
04/11/2017	QGW001091	HACKEL, DEBBIE		CALL 100 DIREXION SHS ETF TR DAILY B		480.00	10.00	0.00	10.00	80.0	8.00
04/13/2017	QGW001091	HACKEL, DEBBIE		PUT 100 PROSHARES TR II PROSHARES S B		1,140.00	10.00	0.00	10.00	80.0	8.00
04/20/2017	QGW001091	HACKEL, DEBBIE		CALL 100 INTERNATIONAL BUSINESS MAC B		110.00	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW001091	HACKEL, DEBBIE		CALL 100 BUFFALO WILD WINGS INC COM S		-2,200.00	10.00	0.00	10.00	80.0	8.00
04/24/2017	QGW001091	HACKEL, DEBBIE		CALL 100 HERTZ GLOBAL HLDGS INC NEW S		-750.00	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW001091	HACKEL, DEBBIE		CALL 100 BUFFALO WILD WINGS INC COM B		320.00	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW001091	HACKEL, DEBBIE		CALL 100 CHIPOTLE MEXICAN GRILL INC S		-1,080.00	10.00	0.00	10.00	80.0	8.00
04/27/2017	QGW001091	HACKEL, DEBBIE		CALL 100 CHIPOTLE MEXICAN GRILL INC B		180.00	10.00	0.00	10.00	80.0	8.00
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-07-17 S		-3,862.98	17.65	0.00	17.65	80.0	14.12
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-07-17 B		658.98	17.65	0.00	17.65	80.0	14.12
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 08-18-17 B		5,412.96	20.20	0.00	20.20	80.0	16.16
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 08-18-17 B		3,555.96	20.20	0.00	20.20	80.0	16.16
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 08-18-17 S		-2,616.04	21.90	0.00	21.90	80.0	17.52
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 08-18-17 S		-1,168.00	18.50	0.00	18.50	80.0	14.80
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-07-17 S		3,862.98	-17.65	0.00	-17.65	80.0	-14.12
03/31/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-07-17 S		-3,862.98	17.65	0.00	17.65	80.0	14.12
04/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 05-12-17 B		3,848.04	20.20	0.00	20.20	80.0	16.16
04/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 05-12-17 S		-1,826.04	20.20	0.00	20.20	80.0	16.16
04/03/2017	QGW001166	KOONDEL		PUT 100 APPLE INC COM EXP 11-17-17@ S		-1,024.00	13.40	0.00	13.40	80.0	10.72
04/03/2017	QGW001166	KOONDEL		PUT 100 APPLE INC COM EXP 11-17-17@ S		-1,470.00	12.55	0.00	12.55	80.0	10.04
04/03/2017	QGW001166	KOONDEL		PUT 100 QUALCOMM INC EXP 04-07-17@5 S		-140.00	13.40	0.00	13.40	80.0	10.72
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 S		3,245.04	-16.80	0.00	-16.80	80.0	-13.44
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 S		-3,245.04	16.80	0.00	16.80	80.0	13.44
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 B		-553.04	-16.80	0.00	-16.80	80.0	-13.44
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 B		553.04	16.80	0.00	16.80	80.0	13.44
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 S		-5,502.96	20.20	0.00	20.20	80.0	16.16
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 S		-3,245.04	16.80	0.00	16.80	80.0	13.44
04/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-13-17 B		1,088.04	20.20	0.00	20.20	80.0	16.16

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 B		553.04	16.80	0.00	16.80	80.0	13.44
04/05/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-07-17 B		4,806.00	17.65	0.00	17.65	80.0	14.12
04/05/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 S		-4,896.00	17.65	0.00	17.65	80.0	14.12
04/05/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 S		-2,898.98	15.95	0.00	15.95	80.0	12.76
04/05/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-13-17 B		497.98	15.95	0.00	15.95	80.0	12.76
04/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 S		-35,209.92	37.20	0.00	37.20	80.0	29.76
04/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-21-17 B		15,740.16	37.20	0.00	37.20	80.0	29.76
04/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		12,214.00	36.35	0.00	36.35	80.0	29.08
04/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 S		-4,658.99	36.35	0.00	36.35	80.0	29.08
04/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 B		26,693.80	49.10	0.00	49.10	80.0	39.28
04/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-11,963.22	49.10	0.00	49.10	80.0	39.28
04/12/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-05-17 B		5,398.05	22.75	0.00	22.75	80.0	18.20
04/12/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-05-17 S		-2,272.05	22.75	0.00	22.75	80.0	18.20
04/12/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 B		5,649.00	22.75	0.00	22.75	80.0	18.20
04/12/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-12-17 S		-2,509.05	22.75	0.00	22.75	80.0	18.20
04/12/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 04- S		-21,108.12	47.40	0.00	47.40	80.0	37.92
04/12/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 08- B		4,624.08	30.40	0.00	30.40	80.0	24.32
04/12/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 07- B		3,731.10	35.50	0.00	35.50	80.0	28.40
04/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		4,875.00	31.25	0.00	31.25	80.0	25.00
04/17/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 S		-3,450.00	31.25	0.00	31.25	80.0	25.00
04/17/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-12,400.00	95.00	0.00	95.00	80.0	76.00
04/17/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ B		17,575.00	95.00	0.00	95.00	80.0	76.00
04/19/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-200.00	13.40	0.00	13.40	80.0	10.72
04/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-2,925.00	12.55	0.00	12.55	80.0	10.04
04/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		932.01	12.55	0.00	12.55	80.0	10.04
04/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 S		-5,599.05	22.75	0.00	22.75	80.0	18.20
04/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 S		-8,924.10	35.50	0.00	35.50	80.0	28.40
04/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 B		541.05	22.75	0.00	22.75	80.0	18.20
04/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-28-17 B		552.00	35.50	0.00	35.50	80.0	28.40
04/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-18,842.04	25.30	0.00	25.30	80.0	20.24
04/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		6,337.08	25.30	0.00	25.30	80.0	20.24
04/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 S		-24,288.00	30.40	0.00	30.40	80.0	24.32
04/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-19-17 B		6,591.12	30.40	0.00	30.40	80.0	24.32
04/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-26-17 B		2,669.04	19.35	0.00	19.35	80.0	15.48
04/25/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 05-26-17 S		-715.99	19.35	0.00	19.35	80.0	15.48
04/26/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-845.00	31.25	0.00	31.25	80.0	25.00
04/26/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-280.00	14.25	0.00	14.25	80.0	11.40
04/26/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-340.00	13.40	0.00	13.40	80.0	10.72
04/26/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 11-17-17@ S		-375.00	12.55	0.00	12.55	80.0	10.04
04/27/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 S		-5,710.98	15.10	0.00	15.10	80.0	12.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/27/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-16-17 B		1,845.00	15.10	0.00	15.10	80.0	12.08
04/17/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN S		-33,069.83	661.00	0.00	661.00	80.0	528.80
04/17/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT S		-11,203.90	619.00	0.00	619.00	80.0	495.20
04/25/2017	QGW004905 CAPRARO, DAVID	PUT 100 VALEANT PHARMACEUTICALS INT B		10,523.00	619.00	0.00	619.00	80.0	495.20
04/26/2017	QGW004905 CAPRARO, DAVID	CALL 100 VALEANT PHARMACEUTICALS IN B		26,744.06	661.00	0.00	661.00	80.0	528.80
04/24/2017	QGW005936 RABBITO, RICHARD	CALL 100 MICROSOFT CORP COM EXP 05- S		-735.00	35.00	0.00	35.00	80.0	28.00
03/31/2017	QGW009730 SHARTSIS, JAY	CALL 100 ADVANCED MICRO DEVICES INC B		2,260.00	20.00	0.00	20.00	80.0	16.00
03/31/2017	QGW009730 SHARTSIS, JAY	CALL 100 INTERNATIONAL BUSINESS MAC S		-930.00	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730 SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 B		3,245.00	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730 SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 05-19-17 S		-890.00	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730 SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 04-21- B		561.00	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW009730 SHARTSIS, JAY	PUT 100 TESLA INC EXP 06-16-17 @280 S		-2,630.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW009730 SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 0 S		-2,200.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW009730 SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 0 B		460.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW009730 SHARTSIS, JAY	CALL 100 SILVER STD RES INC COM ISI S		-450.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW009730 SHARTSIS, JAY	CALL 100 AMERICAN OUTDOOR BRANDS CO B		2,120.00	20.00	0.00	20.00	80.0	16.00
04/06/2017	QGW009730 SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTRA BLOO S		-900.00	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW009730 SHARTSIS, JAY	CALL 100 HALLIBURTON CO COM EXP 04- S		-1,270.00	20.00	0.00	20.00	80.0	16.00
04/10/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S		-1,390.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW009730 SHARTSIS, JAY	CALL 100 AMERICAN OUTDOOR BRANDS CO S		-3,800.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW009730 SHARTSIS, JAY	PUT 100 TESLA INC EXP 05-19-17 @310 S		-1,585.00	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW009730 SHARTSIS, JAY	CALL 100 TWILIO INC CL A EXP 07-21- S		-870.00	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW009730 SHARTSIS, JAY	PUT 100 DELTA AIRLINES INC COM NEW B		264.00	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW009730 SHARTSIS, JAY	PUT 100 TESLA INC EXP 06-16-17 @280 B		1,305.00	20.00	0.00	20.00	80.0	16.00
04/12/2017	QGW009730 SHARTSIS, JAY	PUT 100 TESLA INC EXP 05-19-17 @310 B		2,415.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730 SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-19-17@185 S		-13,190.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730 SHARTSIS, JAY	CALL 100 3M CO COM EXP 05-19-17@195 B		2,860.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730 SHARTSIS, JAY	CALL 100 ORBITAL ATK INC COM EXP 05 S		-8,020.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730 SHARTSIS, JAY	CALL 100 ORBITAL ATK INC COM EXP 05 B		1,620.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730 SHARTSIS, JAY	PUT 100 HALLIBURTON CO COM EXP 04-2 B		500.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW009730 SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S B		2,270.00	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S		-3,945.00	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B		615.00	20.00	0.00	20.00	80.0	16.00
04/19/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B		1,040.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW009730 SHARTSIS, JAY	CALL 100 BUFFALO WILD WINGS INC COM S		-3,000.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW009730 SHARTSIS, JAY	CALL 100 BUFFALO WILD WINGS INC COM B		500.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW009730 SHARTSIS, JAY	CALL 100 HALLIBURTON CO COM EXP 04- S		-1,180.00	20.00	0.00	20.00	80.0	16.00
04/20/2017	QGW009730 SHARTSIS, JAY	PUT 100 TESLA INC EXP 06-16-17 @270 S		-695.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730 SHARTSIS, JAY	PUT 100 HALLIBURTON CO COM EXP 04-2 B		1,570.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW009730 SHARTSIS, JAY	PUT 100 HALLIBURTON CO COM EXP 04-2 S		-980.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 HALLIBURTON CO COM EXP 04- B	200.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 HERTZ GLOBAL HLDGS INC NEW S	-750.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S	-340.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	400.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II ULTA BLOOM S	-1,425.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW009730	SHARTSIS, JAY	CALL 100 ORBITAL ATK INC COM EXP 05 B	5,620.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW009730	SHARTSIS, JAY	CALL 100 ORBITAL ATK INC COM EXP 05 S	-1,150.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW009730	SHARTSIS, JAY	CALL 100 CHIPOTLE MEXICAN GRILL INC S	-1,900.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW009730	SHARTSIS, JAY	CALL 100 CHIPOTLE MEXICAN GRILL INC B	520.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW009730	SHARTSIS, JAY	CALL 100 NEWMONT MNG CORP COM EXP 0 B	480.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW009730	SHARTSIS, JAY	PUT 100 HALLIBURTON CO COM EXP 04-2 B	560.00	20.00	0.00	20.00	80.0	16.00
04/05/2017	QGW011975	RINGER, DENNIS	CALL 100 G III APPAREL GROUP LTD EX S	-648.00	65.00	0.00	65.00	80.0	52.00
04/05/2017	QGW011975	RINGER, DENNIS	CALL 100 G III APPAREL GROUP LTD EX S	648.00	-65.00	0.00	-65.00	80.0	-52.00 C
04/05/2017	QGW011975	RINGER, DENNIS	CALL 100 G III APPAREL GROUP LTD EX S	-648.00	65.00	0.00	65.00	80.0	52.00
04/11/2017	QGW013104	GRASS, BRIAN	CALL 100 UNITED STS STL CORP NEW CO B	1,401.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW013104	GRASS, BRIAN	CALL 100 UNITED STS STL CORP NEW CO S	-1,584.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW013104	GRASS, BRIAN	CALL 100 UNITED STS STL CORP NEW CO B	213.00	20.00	0.00	20.00	80.0	16.00
04/07/2017	QGW014391	DARWISH, MENACHEM	CALL 100 CORBUS PHARMACEUTICALS HLD B	1,400.00	35.00	0.00	35.00	80.0	28.00
04/24/2017	QGW014391	DARWISH, MENACHEM	CALL 100 WELLS FARGO & CO NEW COM E B	18,800.00	35.00	0.00	35.00	80.0	28.00
04/05/2017	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S	-1,650.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW029019	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY B	345.00	20.00	0.00	20.00	80.0	16.00
04/13/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S B	2,266.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 UNITED STS STL CORP NEW CO B	1,040.00	20.00	0.00	20.00	80.0	16.00
04/04/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-600.00	20.00	0.00	20.00	80.0	16.00
04/11/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 SALESFORCE.COM INC COM STO S	-990.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 BARRICK GOLD CORP COM ISIN B	990.00	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGW031049	WINNICK, CHARLES	CALL 100 STAPLES INC COM EXP 09-15- S	-12,000.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW036410	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 06- S	-600.00	20.00	0.00	20.00	80.0	16.00
04/18/2017	QGW036436	BELZ, KATHLEEN	PUT 100 BANK AMER CORP COM EXP 04-2 S	-375.00	20.00	0.00	20.00	80.0	16.00
04/25/2017	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 05 S	-600.00	20.00	0.00	20.00	80.0	16.00
04/27/2017	QGW036444	BELZ, RICHARD	CALL 100 TWITTER INC COM EXP 05-19- S	-700.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW036451	BELZ, RICHARD	CALL 100 BANK AMER CORP COM EXP 05- S	-240.00	20.00	0.00	20.00	80.0	16.00
04/24/2017	QGW036451	BELZ, RICHARD	CALL 100 COHERUS BIOSCIENCES INC CO S	-4,020.00	20.00	0.00	20.00	80.0	16.00
04/26/2017	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 06- S	-500.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-84,917.73	\$5,545.90	\$0.00	\$5,545.90		\$4,436.72

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/11/2017	75501118	BYRNE, MARY	PIONEER CULLEN VALUE FUND CLASS A	B	0.00	3.07	0.00	3.07	80.0	2.46
04/11/2017	75501118	BYRNE, MARY	PIONEER HIGH YIELD FUND A	B	0.00	5.20	0.00	5.20	80.0	4.16
04/11/2017	75502062	SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	0.09	0.00	0.09	80.0	0.07

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75502067	SCRUGGS, SUSAN		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.03	0.00	0.03	80.0	0.02
04/11/2017	75502067	SCRUGGS, SUSAN		THE BOND FUND OF AMERICA-A B	0.00	1.36	0.00	1.36	80.0	1.09
04/11/2017	75502067	SCRUGGS, SUSAN		THE GROWTH FUND OF AMERICA-A B	0.00	3.45	0.00	3.45	80.0	2.76
04/11/2017	75502068	SCRUGGS, JOHN		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.03	0.00	0.03	80.0	0.02
04/18/2017	QGW005472	KRELL, MOSHE		EUROPACIFIC GROWTH FUND CLASS C B	0.00	17.06	0.00	17.06	80.0	13.65
04/18/2017	QGW005472	KRELL, MOSHE		PRINCIPAL PREFERRED SECURITIES FUND B	0.00	18.99	0.00	18.99	80.0	15.19
04/18/2017	QGW005472	KRELL, MOSHE		PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	41.15	0.00	41.15	80.0	32.92
04/20/2017	QGW005472	KRELL, MOSHE		COHEN & STEERS GLOBAL REALTY SHARES B	0.00	32.48	0.00	32.48	80.0	25.98
04/20/2017	QGW005472	KRELL, MOSHE		OPPENHEIMERFUNDS 683940886 B	0.00	14.74	0.00	14.74	80.0	11.79
04/20/2017	QGW005472	KRELL, MOSHE		OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	37.83	0.00	37.83	80.0	30.26
04/21/2017	QGW005472	KRELL, MOSHE		HENDERSON GLOBAL EQUITY INCOME FUND B	0.00	27.25	0.00	27.25	80.0	21.80
04/13/2017	QGW006884	VOGEL, NEALA		COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	5.43	0.00	5.43	80.0	4.34
04/17/2017	QGW006884	VOGEL, NEALA		DAVIS NEW YORK VENTURE CLASS A B	0.00	3.72	0.00	3.72	80.0	2.98
04/18/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.24	0.00	3.24	80.0	2.59
04/20/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	3.06	0.00	3.06	80.0	2.45
04/21/2017	QGW006884	VOGEL, NEALA		PUTNAM 746809102 B	0.00	2.46	0.00	2.46	80.0	1.97
04/13/2017	QGW007122	CUSTODIAN, DIANNE		INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.04	0.00	7.04	80.0	5.63
04/13/2017	QGW007130	CUSTODIAN, DIANNE		INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.04	0.00	7.04	80.0	5.63
04/18/2017	QGW007411	OPPELT, MARION		WELLS FARGO OPPORTUNITY FD ADMIN B	0.00	1.81	0.00	1.81	80.0	1.45
04/20/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.15	0.00	1.15	80.0	0.92
04/20/2017	QGW008203	MCGOWAN, DIANE		ROCHESTER NATIONAL MUNICIPALS A B	0.00	1.54	0.00	1.54	80.0	1.23
04/13/2017	QGW022154	MANOS, WAYNE		RMB MENDON FINANCIAL LNG/SHRT FUND B	0.00	10.22	0.00	10.22	80.0	8.18
04/13/2017	QGW022154	MANOS, WAYNE		RMB MENDON FINANCIAL SERVICES FUND B	0.00	18.44	0.00	18.44	80.0	14.75
04/17/2017	QGW022154	MANOS, WAYNE		FINANCIAL INDUSTRIES A B	0.00	5.89	0.00	5.89	80.0	4.71
04/19/2017	QGW022154	MANOS, WAYNE		GOLDMAN SACHS CAPITAL GROWTH FUND C B	0.00	7.36	0.00	7.36	80.0	5.89
04/21/2017	QGW022154	MANOS, WAYNE		HENDERSON EUROPEAN FOCUS FUND CLASS B	0.00	9.78	0.00	9.78	80.0	7.82
04/21/2017	QGW022154	MANOS, WAYNE		PUTNAM 746802800 B	0.00	0.59	0.00	0.59	80.0	0.47
04/13/2017	QGW023731	REILLY, DAVID		AIM SMALL CAP GROWTH FUND - CLASS A B	0.00	1.16	0.00	1.16	80.0	0.93
04/17/2017	QGW023731	REILLY, DAVID		DELAWARE REAL ESTATE INVESTMENT TRU B	0.00	0.27	0.00	0.27	80.0	0.22
04/18/2017	QGW023731	REILLY, DAVID		ALLIANCEBERNSTEIN GROWTH AND INC A B	0.00	0.72	0.00	0.72	80.0	0.58
04/18/2017	QGW023731	REILLY, DAVID		MFS INTERNATIONAL NEW DISCOVERY FUN B	0.00	0.23	0.00	0.23	80.0	0.18
04/18/2017	QGW023731	REILLY, DAVID		MFS TOTAL RETURN FUND-A B	0.00	0.66	0.00	0.66	80.0	0.53
04/18/2017	QGW023731	REILLY, DAVID		THE GROWTH FUND OF AMERICA-A B	0.00	0.93	0.00	0.93	80.0	0.74
04/18/2017	QGW023731	REILLY, DAVID		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	1.06	0.00	1.06	80.0	0.85
04/18/2017	QGW023731	REILLY, DAVID		WELLS FARGO ADVANTAGE SMALL-CAP VAL B	0.00	0.51	0.00	0.51	80.0	0.41
04/20/2017	QGW023731	REILLY, DAVID		CAPITAL INCOME A B	0.00	1.88	0.00	1.88	80.0	1.50
04/20/2017	QGW023731	REILLY, DAVID		GLOBAL FUND A B	0.00	0.42	0.00	0.42	80.0	0.34
04/20/2017	QGW023731	REILLY, DAVID		TEMP FRGN FND - CL A B	0.00	0.30	0.00	0.30	80.0	0.24
04/21/2017	QGW023731	REILLY, DAVID		FIRST EAGLE GLOBAL FUND CLASS A B	0.00	0.58	0.00	0.58	80.0	0.46
04/18/2017	QGW028250	SAG LLC		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	22.25	0.00	22.25	80.0	17.80

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/20/2017 QGW030553 HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.16	0.00	0.16	80.0	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$322.63	\$0.00	\$322.63		\$258.09
*** BROKER TRANSACTIONS TOTAL ***			\$873,275.59	\$13,083.63	\$0.00	\$13,083.63		\$10,466.88

BROKER COMMISSION TOTALS

Broker Transactions	\$10,466.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.35
SIPC Assessment	\$-245.32

Check Amount	\$10,205.21
Year-to-date Earnings	\$63,219.99