

COMMISSION STATEMENT

August 31, 2017

HENRY HACKEL
179 EAST 70TH STREET
APT 6B
NEW YORK, NY 10021

BROKER #: 30
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/17/2017	00075458097	VANDENHEUVEL, RYAN EUROPA PACIFIC GROWTH FUND-A	B	83.33	3.12	0.00	3.12	80.0	2.50
08/17/2017	00075458097	VANDENHEUVEL, RYAN NEW PERSPECTIVE FUND-A	B	83.33	3.12	0.00	3.12	80.0	2.50
08/17/2017	00075458097	VANDENHEUVEL, RYAN THE GROWTH FUND OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
08/17/2017	00075458097	VANDENHEUVEL, RYAN THE INCOME FUND OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
08/17/2017	00075458097	VANDENHEUVEL, RYAN THE INVESTMENT COMPANY OF AMERICA-A	B	83.33	3.12	0.00	3.12	80.0	2.50
07/31/2017	00084739455	SHABAZZ, JAHZARA AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
07/31/2017	00085890289	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
07/31/2017	00085890289	DAS, SPANDAN NEW WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
07/31/2017	00085890289	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
07/31/2017	00085890289	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
07/31/2017	00085890289	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	4.13	0.00	4.13	80.0	3.30
08/18/2017	00086531491	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
08/18/2017	00086531491	DAS, SPANDAN NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
08/18/2017	00086531491	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
08/18/2017	00086531491	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
08/18/2017	00086531491	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
08/03/2017	00087121704	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
08/03/2017	00087122294	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
08/07/2017	00087205674	MORRELL, CHARLES THE GROWTH FUND OF AMERICA-529A	B	300.00	15.00	0.00	15.00	80.0	12.00
07/28/2017	00087630417	SHABAZZ, JAHZARA AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
08/15/2017	00089081756	FOSTER, JAKE AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
08/15/2017	00089084643	FOSTER, JAKE AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
08/10/2017	01204161104	PASQUALONE, THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	7.50	0.00	7.50	80.0	6.00
08/10/2017	01204463227	PASQUALONE, THE GROWTH FUND OF AMERICA-529A	B	150.00	7.50	0.00	7.50	80.0	6.00
08/10/2017	01205067051	PASQUALONE, NEW PERSPECTIVE FUND-529A	B	150.00	7.50	0.00	7.50	80.0	6.00
08/18/2017	QGW020687	GRAUBERD, ALLAN FIDELITY LOW PRICED STOCK FUND	B	15,000.00	114.98	0.00	114.98	80.0	91.98
08/18/2017	QGW022204	GRAUBERD, CAROLINE FIDELITY LOW PRICED STOCK FUND	B	13,100.00	102.80	0.00	102.80	80.0	82.24
* MUTUAL FUNDS SUBTOTAL *				\$32,566.65	\$357.66	\$0.00	\$357.66		\$286.12

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/24/2017	75500349	POMP, BARRY		AMERICAN AIRLINES GROUP INC	B	8,567.32	20.00	0.00	20.00 80.0 16.00
08/22/2017	75500426	MIGLIORATO, DOMENICK		NIKE INC CL B	S	37,703.68	40.00	0.00	40.00 80.0 32.00
08/22/2017	75500426	MIGLIORATO, DOMENICK		UNDER ARMOUR INC CL A	S	16,610.00	40.00	0.00	40.00 80.0 32.00
08/23/2017	75500426	MIGLIORATO, DOMENICK		SPDR S&P 500 ETF TRUST	S	244,871.80	40.00	0.00	40.00 80.0 32.00
07/28/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	60.00	1.67	0.00	1.67 80.0 1.34
07/31/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	1,756.00	48.34	0.00	48.34 80.0 38.67
08/22/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	435.00	15.00	0.00	15.00 80.0 12.00
08/22/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	690.30	50.00	0.00	50.00 80.0 40.00
08/28/2017	75500635	CABO CANYON LLC		KINDER HOLDING CORP	S	750.50	50.00	0.00	50.00 80.0 40.00
08/24/2017	75501455	HACKEL, HENRY		AT&T INC	S	756,004.00	0.00	0.00	0.00 80.0 0.00
07/27/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	12,650.00	10.00	0.00	10.00 80.0 8.00
08/03/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	S	-13,725.00	10.00	0.00	10.00 80.0 8.00
08/09/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	22,412.50	10.00	0.00	10.00 80.0 8.00
08/10/2017	QGW001042	GRAYMAN, EZRA		SYNERGY PHARMACEUTICALS INC	B	15,300.00	10.00	0.00	10.00 80.0 8.00
08/18/2017	QGW001042	GRAYMAN, EZRA		OPKO HEALTH INC	B	29,450.00	10.00	0.00	10.00 80.0 8.00
08/18/2017	QGW001042	GRAYMAN, EZRA		VALLEY NATL BANCORP NON CUM PER PFD S	S	-65,025.00	10.00	0.00	10.00 80.0 8.00
08/22/2017	QGW001042	GRAYMAN, EZRA		VALLEY NATL BANCORP NON CUM PER PFD S	S	-65,283.25	10.00	0.00	10.00 80.0 8.00
08/04/2017	QGW001166	KOONDEL		BANK OF AMERICA CORP	S	-39,853.28	23.60	0.00	23.60 80.0 18.88
08/08/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	8,986.00	14.25	0.00	14.25 80.0 11.40
08/09/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	10,681.26	15.10	0.00	15.10 80.0 12.08
08/10/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	B	8,809.00	14.25	0.00	14.25 80.0 11.40
08/16/2017	QGW001166	KOONDEL		OPKO HEALTH INC	B	3,013.00	14.25	0.00	14.25 80.0 11.40
08/17/2017	QGW001166	KOONDEL		OPKO HEALTH INC	B	4,794.00	16.80	0.00	16.80 80.0 13.44
08/18/2017	QGW001166	KOONDEL		BANK OF AMERICA CORP	B	246,400.00	105.20	0.00	105.20 80.0 84.16
08/24/2017	QGW001166	KOONDEL		OPKO HEALTH INC	B	607.99	10.85	0.00	10.85 80.0 8.68
07/27/2017	QGW001729	WILSON, STEPHEN		ICAD INC COM NEW	B	1,998.80	20.00	0.00	20.00 80.0 16.00
07/27/2017	QGW001760	HEATH, KIMBERLY		ICAD INC COM NEW	B	3,970.00	20.00	0.00	20.00 80.0 16.00
08/02/2017	QGW001760	HEATH, KIMBERLY		RELM WIRELESS CORP COM	S	-36,041.00	20.00	0.00	20.00 80.0 16.00
08/04/2017	QGW001760	HEATH, KIMBERLY		ICAD INC COM NEW	B	3,928.80	10.00	0.00	10.00 80.0 8.00
08/04/2017	QGW001760	HEATH, KIMBERLY		ICAD INC COM NEW	B	3,920.00	10.00	0.00	10.00 80.0 8.00
08/09/2017	QGW001828	BRIMBERG BIOTECH		ACADIA PHARMACEUTICALS INC COM	S	-6,770.00	200.00	0.00	200.00 80.0 160.00
08/07/2017	QGW004699	ABERDEEN INVESTMENT		FLAHERTY & CRUMRINE DYNAMIC PFD & I S	S	-34,495.11	85.00	0.00	85.00 80.0 68.00
08/03/2017	QGW005456	KISVER, SHERRY		TEVA PHARMACEUTICAL INDUSTRIES LIM S	S	-2,391.50	50.00	0.00	50.00 80.0 40.00
08/14/2017	QGW005936	RABBITO, RICHARD		CUMULUS MEDIA INC CL A NEW	S	-487.42	35.00	0.00	35.00 80.0 28.00
08/16/2017	QGW005936	RABBITO, RICHARD		NII HLDGS INC COM	S	-1,920.60	35.00	0.00	35.00 80.0 28.00
08/17/2017	QGW005936	RABBITO, RICHARD		DIGATRADE FINL CORP CL A ISIN#CA253 B	B	711.50	35.00	0.00	35.00 80.0 28.00
08/17/2017	QGW005936	RABBITO, RICHARD		SPHERIX INC COM PAR \$.01 NEW	B	1,549.80	35.00	0.00	35.00 80.0 28.00
08/16/2017	QGW006652	WEISSMAN, PHYLLIS		INTREXON CORP COM	B	1,821.66	35.00	0.00	35.00 80.0 28.00
08/15/2017	QGW008203	MCGOWAN, DIANE		UNITED STATES STL CORP NEW	S	-4,696.70	50.00	0.00	50.00 80.0 40.00
07/27/2017	QGW009730	SHARTSIS, JAY		POLARIS INDUSTRIES INC COM	B	26,754.00	20.00	0.00	20.00 80.0 16.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/27/2017	QGW009730	SHARTSIS, JAY		WEIGHT WATCHERS INTL INC NEW	S	-25,763.50	20.00	0.00	20.00 80.0 16.00
07/28/2017	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	-29,000.00	-20.00	0.00	-20.00 80.0 -16.00 C
07/28/2017	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	29,000.00	20.00	0.00	20.00 80.0 16.00
07/28/2017	QGW009730	SHARTSIS, JAY		ADVANCED MICRO DEVICES INC	B	29,000.00	20.00	0.00	20.00 80.0 16.00
07/28/2017	QGW009730	SHARTSIS, JAY		BASIC ENERGY SVCS INC NEW COM NEW	B	25,069.60	20.00	0.00	20.00 80.0 16.00
07/28/2017	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	S	-33,000.00	20.00	0.00	20.00 80.0 16.00
07/28/2017	QGW009730	SHARTSIS, JAY		WEIGHT WATCHERS INTL INC NEW	B	25,956.00	20.00	0.00	20.00 80.0 16.00
07/31/2017	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	B	33,502.00	20.00	0.00	20.00 80.0 16.00
08/01/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BANK PLC IPATH S&P 500 VIX B		22,106.00	20.00	0.00	20.00 80.0 16.00
08/02/2017	QGW009730	SHARTSIS, JAY		BASIC ENERGY SVCS INC NEW COM NEW	B	10,729.05	20.00	0.00	20.00 80.0 16.00
08/02/2017	QGW009730	SHARTSIS, JAY		CORNING INC	B	44,622.75	20.00	0.00	20.00 80.0 16.00
08/02/2017	QGW009730	SHARTSIS, JAY		GARMIN LTD SHS ISIN#CH0114405324	S	-36,288.28	20.00	0.00	20.00 80.0 16.00
08/02/2017	QGW009730	SHARTSIS, JAY		TEUCRIUM COMMODITY TR WHEAT FD	B	713.00	0.66	0.00	0.66 80.0 0.53
08/02/2017	QGW009730	SHARTSIS, JAY		TEUCRIUM COMMODITY TR WHEAT FD	B	20,676.71	19.34	0.00	19.34 80.0 15.47
08/03/2017	QGW009730	SHARTSIS, JAY		BASIC ENERGY SVCS INC NEW COM NEW	S	-10,670.00	20.00	0.00	20.00 80.0 16.00
08/03/2017	QGW009730	SHARTSIS, JAY		CORNING INC	S	-44,601.45	20.00	0.00	20.00 80.0 16.00
08/03/2017	QGW009730	SHARTSIS, JAY		WAYFAIR INC CL A	B	22,593.00	20.00	0.00	20.00 80.0 16.00
08/04/2017	QGW009730	SHARTSIS, JAY		GARMIN LTD SHS ISIN#CH0114405324	B	36,421.00	20.00	0.00	20.00 80.0 16.00
08/08/2017	QGW009730	SHARTSIS, JAY		BASIC ENERGY SVCS INC NEW COM NEW	B	8,640.00	20.00	0.00	20.00 80.0 16.00
08/08/2017	QGW009730	SHARTSIS, JAY		BASIC ENERGY SVCS INC NEW COM NEW	S	-16,945.00	20.00	0.00	20.00 80.0 16.00
08/08/2017	QGW009730	SHARTSIS, JAY		TWILIO INC CL A	S	-2,598.75	20.00	0.00	20.00 80.0 16.00
08/09/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BANK PLC IPATH S&P 500 VIX S		-23,027.00	20.00	0.00	20.00 80.0 16.00
08/09/2017	QGW009730	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037 B		12,816.00	20.00	0.00	20.00 80.0 16.00
08/10/2017	QGW009730	SHARTSIS, JAY		DIREXION SHS ETF TR DAILY FTSE CHIN B		27,058.20	20.00	0.00	20.00 80.0 16.00
08/10/2017	QGW009730	SHARTSIS, JAY		GAMESTOP CORP NEW CLASS A	S	-43,460.00	20.00	0.00	20.00 80.0 16.00
08/10/2017	QGW009730	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037 S		-12,876.50	20.00	0.00	20.00 80.0 16.00
08/10/2017	QGW009730	SHARTSIS, JAY		WEIGHT WATCHERS INTL INC NEW	B	9,256.00	20.00	0.00	20.00 80.0 16.00
08/11/2017	QGW009730	SHARTSIS, JAY		NVIDIA CORP	B	30,194.00	20.00	0.00	20.00 80.0 16.00
08/11/2017	QGW009730	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037 B		13,675.00	20.00	0.00	20.00 80.0 16.00
08/15/2017	QGW009730	SHARTSIS, JAY		GAMESTOP CORP NEW CLASS A	B	21,149.70	20.00	0.00	20.00 80.0 16.00
08/16/2017	QGW009730	SHARTSIS, JAY		GAMESTOP CORP NEW CLASS A	B	21,360.00	20.00	0.00	20.00 80.0 16.00
08/18/2017	QGW009730	SHARTSIS, JAY		NVIDIA CORP	S	-32,000.00	20.00	0.00	20.00 80.0 16.00
08/18/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR II ULTA BLOOMBERG CRUD S		-12,000.00	20.00	0.00	20.00 80.0 16.00
08/18/2017	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	S	-34,500.00	20.00	0.00	20.00 80.0 16.00
08/21/2017	QGW009730	SHARTSIS, JAY		COTY INC COM CL A	B	19,448.40	20.00	0.00	20.00 80.0 16.00
08/21/2017	QGW009730	SHARTSIS, JAY		NVIDIA CORP	B	32,440.00	20.00	0.00	20.00 80.0 16.00
08/21/2017	QGW009730	SHARTSIS, JAY		ONEOK INC	B	50,909.90	20.00	0.00	20.00 80.0 16.00
08/21/2017	QGW009730	SHARTSIS, JAY		TESLA MOTORS INC	B	34,685.70	20.00	0.00	20.00 80.0 16.00
08/22/2017	QGW009730	SHARTSIS, JAY		DIAMOND OFFSHORE DRILLING INC	B	26,487.50	20.00	0.00	20.00 80.0 16.00
08/22/2017	QGW009730	SHARTSIS, JAY		RPC INC	B	36,673.60	20.00	0.00	20.00 80.0 16.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/23/2017	QGW009730	SHARTSIS, JAY		CIENA CORPORATION	B	35,547.45	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW009730	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037	B	13,345.25	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW009730	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037	S	-13,226.00	20.00	0.00	20.00 80.0 16.00
08/24/2017	QGW009730	SHARTSIS, JAY		CIENA CORPORATION	S	-17,977.73	10.00	0.00	10.00 80.0 8.00
08/24/2017	QGW009730	SHARTSIS, JAY		CIENA CORPORATION	S	-18,157.50	10.00	0.00	10.00 80.0 8.00
08/24/2017	QGW009730	SHARTSIS, JAY		RPC INC	S	-36,726.60	20.00	0.00	20.00 80.0 16.00
08/25/2017	QGW009730	SHARTSIS, JAY		DIAMOND OFFSHORE DRILLING INC	S	-28,231.00	20.00	0.00	20.00 80.0 16.00
08/25/2017	QGW009730	SHARTSIS, JAY		ONEOK INC	S	-53,000.00	20.00	0.00	20.00 80.0 16.00
08/25/2017	QGW009730	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037	S	-12,875.00	20.00	0.00	20.00 80.0 16.00
08/28/2017	QGW009730	SHARTSIS, JAY		AUTODESK INC	S	-34,518.00	20.00	0.00	20.00 80.0 16.00
08/28/2017	QGW009730	SHARTSIS, JAY		AUTODESK INC	B	33,806.76	20.00	0.00	20.00 80.0 16.00
08/28/2017	QGW009730	SHARTSIS, JAY		CHIPOTLE MEXICAN GRILL INC COMMON	S B	31,123.44	20.00	0.00	20.00 80.0 16.00
08/22/2017	QGW012718	DEPALMA, RALPH		APPLE INC	S	-7,993.01	50.00	0.00	50.00 80.0 40.00
08/04/2017	QGW013005	QUINONES, ALBERT		SNAP INC CLASS A COMMON STOCK	B	259.13	20.00	0.00	20.00 80.0 16.00
08/04/2017	QGW013013	QUINONES, CAROL		SNAP INC CLASS A COMMON STOCK	B	325.45	20.00	0.00	20.00 80.0 16.00
07/27/2017	QGW013484	HACKEL, DEBBIE		EXPEDITORS INTL WASH INC	S	-29,601.00	10.00	0.00	10.00 80.0 8.00
07/27/2017	QGW013484	HACKEL, DEBBIE		EXPEDITORS INTL WASH INC	B	29,677.00	10.00	0.00	10.00 80.0 8.00
07/27/2017	QGW013484	HACKEL, DEBBIE		JINKOSOLAR HLDG CO LTD SPONSORED AD	B	27,041.00	10.00	0.00	10.00 80.0 8.00
07/27/2017	QGW013484	HACKEL, DEBBIE		LIFEPOINT HOSPS INC	S	-31,000.00	10.00	0.00	10.00 80.0 8.00
07/27/2017	QGW013484	HACKEL, DEBBIE		LIFEPOINT HOSPS INC	B	30,695.00	10.00	0.00	10.00 80.0 8.00
07/27/2017	QGW013484	HACKEL, DEBBIE		VITAMIN SHOPPE INC COM	S	-17,760.00	10.00	0.00	10.00 80.0 8.00
07/27/2017	QGW013484	HACKEL, DEBBIE		VITAMIN SHOPPE INC COM	B	17,760.00	10.00	0.00	10.00 80.0 8.00
07/28/2017	QGW013484	HACKEL, DEBBIE		JINKOSOLAR HLDG CO LTD SPONSORED AD	S	-27,400.00	10.00	0.00	10.00 80.0 8.00
07/28/2017	QGW013484	HACKEL, DEBBIE		STEELCASE INC-MICH CL A	B	41,100.00	10.00	0.00	10.00 80.0 8.00
07/28/2017	QGW013484	HACKEL, DEBBIE		STEELCASE INC-MICH CL A	S	-40,800.00	10.00	0.00	10.00 80.0 8.00
08/01/2017	QGW013484	HACKEL, DEBBIE		BIG 5 SPORTS GOODS CORP COM	S	-14,059.95	10.00	0.00	10.00 80.0 8.00
08/01/2017	QGW013484	HACKEL, DEBBIE		KELLY SERVICES INC-CL A	S	-22,335.70	10.00	0.00	10.00 80.0 8.00
08/01/2017	QGW013484	HACKEL, DEBBIE		KELLY SERVICES INC-CL A	B	22,671.00	10.00	0.00	10.00 80.0 8.00
08/01/2017	QGW013484	HACKEL, DEBBIE		KFORCE INC COM	S	-18,450.00	10.00	0.00	10.00 80.0 8.00
08/01/2017	QGW013484	HACKEL, DEBBIE		KFORCE INC COM	B	18,875.00	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		ACTIVISION BLIZZARD INC	S	-63,232.70	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		ACTIVISION BLIZZARD INC	B	62,489.00	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		AGILENT TECHNOLOGIES INC	B	30,084.40	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		AGILENT TECHNOLOGIES INC	S	-29,830.00	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		BIG 5 SPORTS GOODS CORP COM	B	14,100.00	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		DICKS SPORTING GOODS INC	B	18,409.95	10.00	0.00	10.00 80.0 8.00
08/02/2017	QGW013484	HACKEL, DEBBIE		DICKS SPORTING GOODS INC	S	-18,109.20	10.00	0.00	10.00 80.0 8.00
08/03/2017	QGW013484	HACKEL, DEBBIE		AMERICAN OUTDOOR BRANDS CORP COM	B	20,795.00	10.00	0.00	10.00 80.0 8.00
08/03/2017	QGW013484	HACKEL, DEBBIE		AMERICAN OUTDOOR BRANDS CORP COM	S	-20,302.00	10.00	0.00	10.00 80.0 8.00
08/03/2017	QGW013484	HACKEL, DEBBIE		BRINKER INTL INC COM	B	17,325.00	10.00	0.00	10.00 80.0 8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/03/2017	QGW013484 HACKEL, DEBBIE	BRINKER INTL INC COM	S	-17,262.60	10.00	0.00	10.00	80.0	8.00
08/03/2017	QGW013484 HACKEL, DEBBIE	MYLAN N V SHS EURO	S	-17,905.00	10.00	0.00	10.00	80.0	8.00
08/03/2017	QGW013484 HACKEL, DEBBIE	MYLAN N V SHS EURO	B	17,500.00	10.00	0.00	10.00	80.0	8.00
08/04/2017	QGW013484 HACKEL, DEBBIE	CBS CORP CLASS B	B	63,515.00	10.00	0.00	10.00	80.0	8.00
08/04/2017	QGW013484 HACKEL, DEBBIE	CBS CORP CLASS B	S	-64,285.00	10.00	0.00	10.00	80.0	8.00
08/04/2017	QGW013484 HACKEL, DEBBIE	TWENTY FIRST CENTURY FOX INC CLASS	B	28,240.00	10.00	0.00	10.00	80.0	8.00
08/04/2017	QGW013484 HACKEL, DEBBIE	TWENTY FIRST CENTURY FOX INC CLASS	S	-28,475.00	10.00	0.00	10.00	80.0	8.00
08/07/2017	QGW013484 HACKEL, DEBBIE	SANDERSON FARMS INC	B	67,829.00	10.00	0.00	10.00	80.0	8.00
08/07/2017	QGW013484 HACKEL, DEBBIE	SANDERSON FARMS INC	S	-67,286.00	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	S	-15,000.00	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW013484 HACKEL, DEBBIE	HERTZ GLOBAL HLDGS INC NEW COM	B	15,050.00	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW013484 HACKEL, DEBBIE	PATTERSON COS INC COM	S	-39,077.50	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW013484 HACKEL, DEBBIE	PATTERSON COS INC COM	B	38,699.00	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW013484 HACKEL, DEBBIE	PVH CORP	B	62,920.00	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW013484 HACKEL, DEBBIE	PVH CORP	S	-62,240.00	10.00	0.00	10.00	80.0	8.00
08/09/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	-3,796.10	10.00	0.00	10.00	80.0	8.00
08/09/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	3,842.30	10.00	0.00	10.00	80.0	8.00
08/09/2017	QGW013484 HACKEL, DEBBIE	PATTERSON COS INC COM	S	-38,450.00	10.00	0.00	10.00	80.0	8.00
08/09/2017	QGW013484 HACKEL, DEBBIE	PATTERSON COS INC COM	B	38,479.50	10.00	0.00	10.00	80.0	8.00
08/10/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	S	-22,950.00	10.00	0.00	10.00	80.0	8.00
08/10/2017	QGW013484 HACKEL, DEBBIE	NORDSTROM INC	B	22,593.00	10.00	0.00	10.00	80.0	8.00
08/15/2017	QGW013484 HACKEL, DEBBIE	ABERCROMBIE & FITCH CO-CL A	B	19,000.00	10.00	0.00	10.00	80.0	8.00
08/15/2017	QGW013484 HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C B		12,776.96	10.00	0.00	10.00	80.0	8.00
08/15/2017	QGW013484 HACKEL, DEBBIE	AUTOZONE INC COM	B	36,104.21	10.00	0.00	10.00	80.0	8.00
08/15/2017	QGW013484 HACKEL, DEBBIE	AUTOZONE INC COM	S	-36,146.00	10.00	0.00	10.00	80.0	8.00
08/15/2017	QGW013484 HACKEL, DEBBIE	FOOT LOCKER INC	B	23,250.00	10.00	0.00	10.00	80.0	8.00
08/15/2017	QGW013484 HACKEL, DEBBIE	FOOT LOCKER INC	S	-23,750.00	10.00	0.00	10.00	80.0	8.00
08/16/2017	QGW013484 HACKEL, DEBBIE	ABERCROMBIE & FITCH CO-CL A	S	-19,200.00	10.00	0.00	10.00	80.0	8.00
08/16/2017	QGW013484 HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C S		-22,600.00	10.00	0.00	10.00	80.0	8.00
08/16/2017	QGW013484 HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C B		9,520.00	10.00	0.00	10.00	80.0	8.00
08/16/2017	QGW013484 HACKEL, DEBBIE	GAP INC	B	225.70	10.00	0.00	10.00	80.0	8.00
08/16/2017	QGW013484 HACKEL, DEBBIE	GAP INC	S	-224.90	10.00	0.00	10.00	80.0	8.00
08/17/2017	QGW013484 HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C S		-2,855.00	10.00	0.00	10.00	80.0	8.00
08/17/2017	QGW013484 HACKEL, DEBBIE	AMERICAN EAGLE OUTFITTERS INC NEW C B		2,823.00	10.00	0.00	10.00	80.0	8.00
08/18/2017	QGW013484 HACKEL, DEBBIE	BIG 5 SPORTS GOODS CORP COM	S	-24,615.03	10.00	0.00	10.00	80.0	8.00
08/18/2017	QGW013484 HACKEL, DEBBIE	BIG 5 SPORTS GOODS CORP COM	B	24,929.85	10.00	0.00	10.00	80.0	8.00
08/22/2017	QGW013484 HACKEL, DEBBIE	ETHAN ALLEN INTERIORS INC	S	-5,890.00	10.00	0.00	10.00	80.0	8.00
08/22/2017	QGW013484 HACKEL, DEBBIE	MADDEN STEVEN LTD COM	B	20,050.00	10.00	0.00	10.00	80.0	8.00
08/22/2017	QGW013484 HACKEL, DEBBIE	MADDEN STEVEN LTD COM	S	-20,380.00	10.00	0.00	10.00	80.0	8.00
08/22/2017	QGW013484 HACKEL, DEBBIE	SNAP INC CLASS A COMMON STOCK	B	28,289.60	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/22/2017	QGW013484 HACKEL, DEBBIE	SNAP INC CLASS A COMMON STOCK	S	-28,858.00	10.00	0.00	10.00	80.0	8.00
08/23/2017	QGW013484 HACKEL, DEBBIE	ETHAN ALLEN INTERIORS INC	B	19,770.03	10.00	0.00	10.00	80.0	8.00
08/23/2017	QGW013484 HACKEL, DEBBIE	ETHAN ALLEN INTERIORS INC	S	-14,500.00	10.00	0.00	10.00	80.0	8.00
08/23/2017	QGW013484 HACKEL, DEBBIE	RH COM	B	32,970.00	10.00	0.00	10.00	80.0	8.00
08/23/2017	QGW013484 HACKEL, DEBBIE	RH COM	S	-32,865.00	10.00	0.00	10.00	80.0	8.00
08/24/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	S	-22,505.00	10.00	0.00	10.00	80.0	8.00
08/24/2017	QGW013484 HACKEL, DEBBIE	MOVADO GROUP INC COM	B	22,150.00	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW013484 HACKEL, DEBBIE	BLUEBIRD BIO INC COM	S	-10,727.50	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW013484 HACKEL, DEBBIE	BLUEBIRD BIO INC COM	B	10,273.75	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW013484 HACKEL, DEBBIE	CELLECTIS S A SPONS ADR ISIN#US1511 B	B	13,300.00	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW013484 HACKEL, DEBBIE	CELLECTIS S A SPONS ADR ISIN#US1511 S	S	-14,069.00	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW013484 HACKEL, DEBBIE	HIBBETT SPORTS INC COM	S	-11,600.00	10.00	0.00	10.00	80.0	8.00
07/27/2017	QGW013492 HACKEL, DEBBIE	COMMUNITY HEALTH SYSTEM INC	B	14,680.00	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW013492 HACKEL, DEBBIE	COMMUNITY HEALTH SYSTEM INC	S	-6,880.60	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW013492 HACKEL, DEBBIE	PAYCOM SOFTWARE INC COM	B	106,669.65	20.00	0.00	20.00	80.0	16.00
08/02/2017	QGW013492 HACKEL, DEBBIE	JABIL CIRCUIT INC	B	-46,828.65	-20.00	0.00	-20.00	80.0	-16.00 C
08/02/2017	QGW013492 HACKEL, DEBBIE	JABIL CIRCUIT INC	B	46,828.65	20.00	0.00	20.00	80.0	16.00
08/02/2017	QGW013492 HACKEL, DEBBIE	JABIL CIRCUIT INC	B	46,828.65	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW013492 HACKEL, DEBBIE	CYBEROPTICS CORP	B	15,987.60	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW013492 HACKEL, DEBBIE	JABIL CIRCUIT INC	S	-45,965.55	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW013492 HACKEL, DEBBIE	JABIL CIRCUIT INC	S	45,965.55	-20.00	0.00	-20.00	80.0	-16.00 C
08/03/2017	QGW013492 HACKEL, DEBBIE	JABIL CIRCUIT INC	S	-45,965.55	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW013492 HACKEL, DEBBIE	MACYS INC	S	-10,525.40	5.00	0.00	5.00	80.0	4.00
08/10/2017	QGW013492 HACKEL, DEBBIE	MACYS INC	S	-10,525.00	5.00	0.00	5.00	80.0	4.00
08/10/2017	QGW013492 HACKEL, DEBBIE	MACYS INC	S	-20,999.80	10.00	0.00	10.00	80.0	8.00
08/23/2017	QGW013492 HACKEL, DEBBIE	CONTINENTAL MATLS CORP COM PAR \$0 2 B	B	1,092.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW013492 HACKEL, DEBBIE	CONTINENTAL MATLS CORP COM PAR \$0 2 S	S	-1,100.90	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW014391 DARWISH, MENACHEM	VALERO ENERGY CORP NEW	S	-330,000.00	35.00	0.00	35.00	80.0	28.00
08/24/2017	QGW014391 DARWISH, MENACHEM	ROOT9B HLDGS INC COM NEW	S	-3,881.20	35.00	0.00	35.00	80.0	28.00
07/27/2017	QGW020497 FATTORUSO, PHYLLIS	BRISTOL MYERS SQUIBB CO	B	26,337.95	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW020497 FATTORUSO, PHYLLIS	BRISTOL MYERS SQUIBB CO	S	-26,996.80	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW020513 FORST, BARRY	KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	1,509.00	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW020513 FORST, BARRY	STRATEGIC ENVIRONMENTAL & ENERGY RE S	S	-3,012.50	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW020513 FORST, BARRY	KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	697.50	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW020521 FORST, DANIEL	KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	603.60	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW020687 GRAUBERD, ALLAN	UNITED TECHNOLOGIES CORP	S	-14,124.18	50.00	0.00	50.00	80.0	40.00
08/03/2017	QGW021156 SIEGEL, STUART	PFIZER INCORPORATED	S	-6,716.56	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW021156 SIEGEL, STUART	GLADSTONE LD CORP COM	B	6,504.90	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW021263 KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	1,875.00	40.00	0.00	40.00	80.0	32.00
08/18/2017	QGW021263 KOLENIK, PATRICK	ROOT9B HLDGS INC COM NEW	S	-10,172.15	40.00	0.00	40.00	80.0	32.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/23/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	997.50	40.00	0.00	40.00	80.0	32.00
08/18/2017	QGW022204	GRAUBERD, CAROLINE							
		DANAHER CORPORATION	S	-12,878.40	50.00	0.00	50.00	80.0	40.00
08/22/2017	QGW023160	RAFANELLO, KRISTA							
		KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	32.33	20.00	0.00	20.00	80.0	16.00
08/22/2017	QGW023160	RAFANELLO, KRISTA							
		KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	387.00	15.00	0.00	15.00	80.0	12.00
08/22/2017	QGW023160	RAFANELLO, KRISTA							
		KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	64.50	2.50	0.00	2.50	80.0	2.00
08/22/2017	QGW023160	RAFANELLO, KRISTA							
		KRAKEN SONAR INC SHS ISIN#CA50076Y1 B	B	32.25	1.25	0.00	1.25	80.0	1.00
08/02/2017	QGW023731	REILLY, DAVID							
		APPLE INC	S	-15,778.35	20.00	0.00	20.00	80.0	16.00
08/02/2017	QGW028193	DARWISH, MENACHEM							
		BANK OF AMERICA CORP	S	-48,757.80	35.00	0.00	35.00	80.0	28.00
08/15/2017	QGW028193	DARWISH, MENACHEM							
		MACYS INC	B	61,050.00	35.00	0.00	35.00	80.0	28.00
08/16/2017	QGW029019	SHARTSIS, JAY							
		TEUCRIUM COMMODITY TR WHEAT FD	B	13,316.80	10.00	0.00	10.00	80.0	8.00
08/16/2017	QGW029019	SHARTSIS, JAY							
		TEUCRIUM COMMODITY TR WHEAT FD	B	13,320.00	10.00	0.00	10.00	80.0	8.00
08/24/2017	QGW029019	SHARTSIS, JAY							
		SANDSTORM GOLD LTD COM NEW ISIN#CA8 S	S	-4,392.80	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW029019	SHARTSIS, JAY							
		SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-19,269.00	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW030272	CHERNOFF, GLENN							
		PATRICK IND INC	S	-43,410.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW030298	CHERNOFF, GLENN							
		MKS INSTRUMENTS INC	S	-42,225.00	20.00	0.00	20.00	80.0	16.00
07/31/2017	QGW030298	CHERNOFF, GLENN							
		FREEMPORT MCMORAN INC	B	37,200.00	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW030298	CHERNOFF, GLENN							
		DELPHI AUTOMOTIVE PLC SHS ISIN#JE00 S	S	-26,700.00	20.00	0.00	20.00	80.0	16.00
08/02/2017	QGW030298	CHERNOFF, GLENN							
		TERADYNE INC	S	-20,370.00	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW030298	CHERNOFF, GLENN							
		KMG CHEMICALS INC COM	S	-24,793.20	20.00	0.00	20.00	80.0	16.00
08/09/2017	QGW030298	CHERNOFF, GLENN							
		ARROW ELECTRS INC COM	S	-38,550.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW030298	CHERNOFF, GLENN							
		HUDSON TECHNOLOGIES INC	B	19,147.00	13.33	0.00	13.33	80.0	10.66
08/10/2017	QGW030298	CHERNOFF, GLENN							
		HUDSON TECHNOLOGIES INC	B	9,300.00	6.67	0.00	6.67	80.0	5.34
08/11/2017	QGW030298	CHERNOFF, GLENN							
		PATRICK IND INC	S	-43,410.00	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW030298	CHERNOFF, GLENN							
		PATRICK IND INC	S	43,410.00	-20.00	0.00	-20.00	80.0	-16.00 C
08/16/2017	QGW030298	CHERNOFF, GLENN							
		FREEMPORT MCMORAN INC	S	-36,900.00	20.00	0.00	20.00	80.0	16.00
08/16/2017	QGW030298	CHERNOFF, GLENN							
		PRA HEALTH SCIENCES INC COM	B	22,871.37	20.00	0.00	20.00	80.0	16.00
08/17/2017	QGW030298	CHERNOFF, GLENN							
		CAI INTL INC COM	B	28,630.00	20.00	0.00	20.00	80.0	16.00
08/17/2017	QGW030298	CHERNOFF, GLENN							
		U S CONCRETE INC COM NEW	B	31,560.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW030298	CHERNOFF, GLENN							
		U S CONCRETE INC COM NEW	S	-28,400.00	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW030298	CHERNOFF, GLENN							
		GILEAD SCIENCES INC	B	22,410.00	20.00	0.00	20.00	80.0	16.00
08/24/2017	QGW030314	PERLA, DANIEL							
		ABERCROMBIE & FITCH CO-CL A	S	-5,585.05	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW030702	RUDOLPH, MARTIN							
		ANNALY CAP MGMT INC PFD SER A DIV-7 S	S	-13,893.00	20.00	0.00	20.00	80.0	16.00
07/28/2017	QGW030702	RUDOLPH, MARTIN							
		GENERAL ELECTRIC CO	B	12,722.50	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW030702	RUDOLPH, MARTIN							
		BERKSHIRE HATHAWAY INC DEL CL B	B	17,976.04	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW030728	RUDOLPH, MARTIN							
		FACEBOOK INC CL A	B	167,500.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW030728	RUDOLPH, MARTIN							
		FACEBOOK INC CL A	S	-176,860.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW030728	RUDOLPH, MARTIN							
		FACEBOOK INC CL A	B	-167,500.00	-20.00	0.00	-20.00	80.0	-16.00 C
07/27/2017	QGW030728	RUDOLPH, MARTIN							
		FACEBOOK INC CL A	B	167,500.00	20.00	0.00	20.00	80.0	16.00
08/09/2017	QGW030728	RUDOLPH, MARTIN							
		NETFLIX COM INC	B	87,600.00	20.00	0.00	20.00	80.0	16.00
07/28/2017	QGW031049	WINNICK, CHARLES							
		BASIC ENERGY SVCS INC NEW COM NEW	S	-73,830.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
08/01/2017	QGW031049	WINNICK, CHARLES		FIVE PT HLDGS LLC CL A COM REPSTG C S	-37,200.00	20.00	0.00	20.00	80.0	16.00	
08/04/2017	QGW031049	WINNICK, CHARLES		PDC ENERGY INC COM	B	178,874.80	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW031049	WINNICK, CHARLES		GREEN BRICK PARTNERS INC COM	B	75,615.00	20.00	0.00	20.00	80.0	16.00
08/15/2017	QGW031049	WINNICK, CHARLES		PDC ENERGY INC COM	B	118,009.80	20.00	0.00	20.00	80.0	16.00
08/15/2017	QGW031049	WINNICK, CHARLES		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	88,081.00	20.00	0.00	20.00	80.0	16.00
08/16/2017	QGW031049	WINNICK, CHARLES		CALLON PETROLEUM CO-DEL	B	25,275.00	20.00	0.00	20.00	80.0	16.00
08/16/2017	QGW031049	WINNICK, CHARLES		PROPETRO HLDG CORP COM	B	28,274.75	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW031049	WINNICK, CHARLES		PDC ENERGY INC COM	B	74,210.00	20.00	0.00	20.00	80.0	16.00
08/21/2017	QGW031049	WINNICK, CHARLES		KEY ENERGY SVCS INC COM	B	126,497.00	20.00	0.00	20.00	80.0	16.00
08/22/2017	QGW031049	WINNICK, CHARLES		FOOT LOCKER INC	B	99,075.00	20.00	0.00	20.00	80.0	16.00
08/24/2017	QGW031049	WINNICK, CHARLES		CARS COM INC COM	B	48,540.00	20.00	0.00	20.00	80.0	16.00
08/24/2017	QGW031049	WINNICK, CHARLES		FIRST RESOURCE BANK PA	B	4,350.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW031049	WINNICK, CHARLES		PENN VA CORP NEW COM	B	116,583.90	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW031049	WINNICK, CHARLES		PENN VA CORP NEW COM	B	115,800.30	20.00	0.00	20.00	80.0	16.00
08/04/2017	QGW036436	BELZ, KATHLEEN		BANK OF AMERICA CORP	S	-120,050.00	20.00	0.00	20.00	80.0	16.00
08/17/2017	QGW036436	BELZ, KATHLEEN		TWITTER INC	B	57,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW036436	BELZ, KATHLEEN		BANK OF AMERICA CORP	B	72,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW036436	BELZ, KATHLEEN		SNAP INC CLASS A COMMON STOCK	B	40,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW036436	BELZ, KATHLEEN		SNAP INC CLASS A COMMON STOCK	B	-40,000.00	-20.00	0.00	-20.00	80.0	-16.00 C
08/18/2017	QGW036436	BELZ, KATHLEEN		SNAP INC CLASS A COMMON STOCK	B	40,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW036436	BELZ, KATHLEEN		TWITTER INC	B	66,500.00	20.00	0.00	20.00	80.0	16.00
07/28/2017	QGW036444	BELZ, RICHARD		OPKO HEALTH INC	B	26,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW036451	BELZ, RICHARD		SNAP INC CLASS A COMMON STOCK	B	32,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW036451	BELZ, RICHARD		SNAP INC CLASS A COMMON STOCK	B	-32,000.00	-20.00	0.00	-20.00	80.0	-16.00 C
08/18/2017	QGW036451	BELZ, RICHARD		SNAP INC CLASS A COMMON STOCK	B	32,000.00	20.00	0.00	20.00	80.0	16.00
08/09/2017	QGW036550	FATTORUSO, PHYLLIS		ENERGY TRANSFER PARTNERS L P	B	10,055.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW036550	FATTORUSO, PHYLLIS		DINEEQUITY INC	B	7,720.00	20.00	0.00	20.00	80.0	16.00
08/09/2017	QGW036659	SHARTSIS, JAY		TAHOE RES INC COM ISIN#CA8738681037	B	10,234.80	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW036998	PEDROZA, NOEMI		DRYSHIPS INC COM PAR NEW \$0.01 JULY	B	9,875.00	10.00	0.00	10.00	80.0	8.00
08/11/2017	QGW036998	PEDROZA, NOEMI		DRYSHIPS INC COM PAR NEW \$0.01 JULY	B	7,650.00	10.00	0.00	10.00	80.0	8.00
08/18/2017	QGW036998	PEDROZA, NOEMI		ALIBABA GROUP HOLDING LTD SPONSORED	B	25,012.50	10.00	0.00	10.00	80.0	8.00
08/08/2017	QGW037194	CUSTOIAN, ANTONIO		GROUPON INC COM CL A	B	1,020.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW037368	HEIBER, JENNIFER		AK STEEL HOLDING CORP	B	61,000.00	20.00	0.00	20.00	80.0	16.00
07/27/2017	QGW037368	HEIBER, JENNIFER		GNC HLDGS INC COM CL A	S	-108,000.00	20.00	0.00	20.00	80.0	16.00
07/31/2017	QGW037368	HEIBER, JENNIFER		GNC HLDGS INC COM CL A	B	96,375.00	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW037368	HEIBER, JENNIFER		CRISPR THERAPEUTICS AG NAMEN-AKT IS	B	17,227.40	20.00	0.00	20.00	80.0	16.00
08/03/2017	QGW037368	HEIBER, JENNIFER		INTELLIA THERAPEUTICS INC COM	B	44,399.05	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW037368	HEIBER, JENNIFER		INTELLIA THERAPEUTICS INC COM	S	-31,045.30	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW037368	HEIBER, JENNIFER		SNAP INC CLASS A COMMON STOCK	B	60,000.00	20.00	0.00	20.00	80.0	16.00
08/18/2017	QGW037368	HEIBER, JENNIFER		NIKE INC CL B	S	-164,702.40	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/18/2017	QGW037368	HEIBER, JENNIFER		NIKE INC CL B	B	163,727.40	20.00	0.00	20.00 80.0 16.00
08/21/2017	QGW037368	HEIBER, JENNIFER		PROSHARES TRUST II PROSHARES ULTRA	S	-158,920.00	20.00	0.00	20.00 80.0 16.00
08/21/2017	QGW037368	HEIBER, JENNIFER		PROSHARES TRUST II PROSHARES ULTRA	B	307,668.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		ACHAOGEN INC COM	S	-94,165.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		CORBUS PHARMACEUTICALS HOLDINGS INC	S	-121,034.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		DIREXION SHARES ETF TRUST DIREXION	S	-82,902.25	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		FRONTIER COMMUNICATIONS CORPORATION	S	-2,477.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		GERON CORP	S	-88,862.40	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		OWC PHARMACEUTICAL RESH CORP COM	S	-15,500.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		PROSHARES TRUST II PROSHARES ULTRA	S	-125,208.80	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		SUNEDISON INC	S	-206.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		SYNERGY PHARMACEUTICALS INC	S	-144,795.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		TRANSOCEAN LTD US LISTED	S	-76,303.00	20.00	0.00	20.00 80.0 16.00
08/23/2017	QGW037368	HEIBER, JENNIFER		TWILIO INC CL A	S	-28,950.10	20.00	0.00	20.00 80.0 16.00
				* STOCKS SUBTOTAL *		\$1,829,142.74	\$5,298.06	\$0.00	\$5,298.06 \$4,238.45
<u>PRODUCT CATEGORY: BONDS</u>									
08/28/2017	75500349	POMP, BARRY		SOCIETE GENERALE 13% CONTINGENT INC	B	9,200.00	0.00	0.00	0.00 80.0 0.00
08/28/2017	75500350	POMP, BARRY		SOCIETE GENERALE 13% CONTINGENT INC	B	3,680.00	0.00	0.00	0.00 80.0 0.00
				* BONDS SUBTOTAL *		\$12,880.00	\$0.00	\$0.00	\$0.00 \$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/23/2017	75500426	MIGLIORATO, DOMENICK		CALL SPY 01/20/17 222	S	5,580.00	135.00	0.00	135.00 80.0 108.00
08/23/2017	75500426	MIGLIORATO, DOMENICK		PUT SPY 10/20/17 244	B	9,925.00	75.00	0.00	75.00 80.0 60.00
08/14/2017	QGW001000	WILSON, STEPHEN		CALL 100 ICAD INC COM NEW EXP 12-15	B	650.00	20.00	0.00	20.00 80.0 16.00
08/02/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 10-20-17	S	-12,612.96	23.60	0.00	23.60 80.0 18.88
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 10-20-17	B	898.00	2.95	0.00	2.95 80.0 2.36
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 10-20-17	B	6,280.96	20.65	0.00	20.65 80.0 16.52
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 02-16-18	B	6,451.04	29.55	0.00	29.55 80.0 23.64
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 02-16-18	S	-3,561.09	29.55	0.00	29.55 80.0 23.64
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-20-18	B	36,772.82	41.45	0.00	41.45 80.0 33.16
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 04-20-18	S	-23,455.04	41.45	0.00	41.45 80.0 33.16
08/03/2017	QGW001166	KOONDEL		CALL 100 ALPHABET INC CL C EXP 03-1	B	10,192.00	11.70	0.00	11.70 80.0 9.36
08/03/2017	QGW001166	KOONDEL		CALL 100 ALPHABET INC CL C EXP 03-1	S	-12,536.00	13.40	0.00	13.40 80.0 10.72
08/03/2017	QGW001166	KOONDEL		CALL 100 ALPHABET INC CL C EXP 03-1	B	7,572.00	12.55	0.00	12.55 80.0 10.04
08/03/2017	QGW001166	KOONDEL		CALL 100 ALPHABET INC CL C EXP 03-1	S	-9,580.00	13.40	0.00	13.40 80.0 10.72
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 10-20-17	B	-898.00	-2.95	0.00	-2.95 80.0 -2.36 C
08/03/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 10-20-17	B	898.00	2.95	0.00	2.95 80.0 2.36
08/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 08-18-17	S	-3,588.00	20.20	0.00	20.20 80.0 16.16
08/04/2017	QGW001166	KOONDEL		CALL 100 APPLE INC COM EXP 08-18-17	B	170.00	11.70	0.00	11.70 80.0 9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		216.00	18.50	0.00	18.50	80.0	14.80
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-11-17 B		1,020.00	14.25	0.00	14.25	80.0	11.40
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 S		3,588.00	-20.20	0.00	-20.20	80.0	-16.16 C
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 S		-3,588.00	20.20	0.00	20.20	80.0	16.16
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		-170.00	-11.70	0.00	-11.70	80.0	-9.36 C
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		170.00	11.70	0.00	11.70	80.0	9.36
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		-216.00	-18.50	0.00	-18.50	80.0	-14.80 C
08/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		216.00	18.50	0.00	18.50	80.0	14.80
08/04/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 08-11-17@ B		25.00	14.25	0.00	14.25	80.0	11.40
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-20-17 S		-14,956.00	27.00	0.00	27.00	80.0	21.60
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 B		2,642.01	12.55	0.00	12.55	80.0	10.04
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		-2,108.01	12.55	0.00	12.55	80.0	10.04
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 B		-2,642.01	-12.55	0.00	-12.55	80.0	-10.04 C
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 B		2,642.01	12.55	0.00	12.55	80.0	10.04
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		2,108.01	-12.55	0.00	-12.55	80.0	-10.04 C
08/07/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		-2,108.01	12.55	0.00	12.55	80.0	10.04
08/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 S		-13,461.00	20.20	0.00	20.20	80.0	16.16
08/08/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		2,906.04	20.20	0.00	20.20	80.0	16.16
08/09/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		6,057.00	12.55	0.00	12.55	80.0	10.04
08/09/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-5,373.00	12.55	0.00	12.55	80.0	10.04
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-343.00	14.25	0.00	14.25	80.0	11.40
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 B		27,525.93	34.65	0.00	34.65	80.0	27.72
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		-22,189.06	34.65	0.00	34.65	80.0	27.72
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-25-17 S		-137.01	12.55	0.00	12.55	80.0	10.04
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-08-17 S		-763.98	15.95	0.00	15.95	80.0	12.76
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		22,189.06	-34.65	0.00	-34.65	80.0	-27.72 C
08/10/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		-22,189.06	34.65	0.00	34.65	80.0	27.72
08/10/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ B		1,350.05	65.25	0.00	65.25	80.0	52.20
08/10/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-1,024.80	78.00	0.00	78.00	80.0	62.40
08/10/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 09-15-17@ S		-512.00	14.25	0.00	14.25	80.0	11.40
08/10/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 08-25-17@ S		-258.00	12.55	0.00	12.55	80.0	10.04
08/10/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 09-08-17@ S		-821.03	15.95	0.00	15.95	80.0	12.76
08/10/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-184.00	13.40	0.00	13.40	80.0	10.72
08/14/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-14,357.00	14.25	0.00	14.25	80.0	11.40
08/14/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		9,498.00	14.25	0.00	14.25	80.0	11.40
08/14/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 S		-13,932.94	21.90	0.00	21.90	80.0	17.52
08/14/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		1,911.00	21.90	0.00	21.90	80.0	17.52
08/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 S		-18,752.02	24.45	0.00	24.45	80.0	19.56
08/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 08-18-17 B		3,207.05	24.45	0.00	24.45	80.0	19.56
08/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-01-17 S		-13,805.00	18.50	0.00	18.50	80.0	14.80

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-01-17 B		7,395.00	18.50	0.00	18.50	80.0	14.80
08/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-0 B		4,812.01	15.95	0.00	15.95	80.0	12.76
08/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-0 S		-2,950.99	15.95	0.00	15.95	80.0	12.76
08/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-2 B		2,430.99	12.55	0.00	12.55	80.0	10.04
08/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-2 S		-1,677.99	12.55	0.00	12.55	80.0	10.04
08/15/2017	QGW001166 KOONDEL	PUT 100 FLUOR CORP NEW COM EXP 08-2 S		-180.00	13.40	0.00	13.40	80.0	10.72
08/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-1,795.00	10.85	0.00	10.85	80.0	8.68
08/16/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 B		889.00	10.85	0.00	10.85	80.0	8.68
08/18/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-22-17 B		8,264.96	28.70	0.00	28.70	80.0	22.96
08/18/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-22-17 S		-4,326.08	28.70	0.00	28.70	80.0	22.96
08/18/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-29-17 B		7,702.98	26.15	0.00	26.15	80.0	20.92
08/18/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-29-17 S		-4,230.92	26.15	0.00	26.15	80.0	20.92
08/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ B		801.20	44.00	0.00	44.00	80.0	35.20
08/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-624.00	42.90	0.00	42.90	80.0	34.32
08/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-16.00	1.10	0.00	1.10	80.0	0.88
08/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		16.00	-1.10	0.00	-1.10	80.0	-0.88 C
08/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-16.00	1.10	0.00	1.10	80.0	0.88
08/21/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 B		6,412.00	15.95	0.00	15.95	80.0	12.76
08/21/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		-5,184.97	15.95	0.00	15.95	80.0	12.76
08/22/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-16,574.03	19.35	0.00	19.35	80.0	15.48
08/22/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 B		7,152.97	19.35	0.00	19.35	80.0	15.48
08/23/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 S		-39,722.02	21.05	0.00	21.05	80.0	16.84
08/23/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 09-1 B		25,396.02	21.05	0.00	21.05	80.0	16.84
08/23/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-17,203.01	19.35	0.00	19.35	80.0	15.48
08/23/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 B		7,636.97	19.35	0.00	19.35	80.0	15.48
08/24/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-01-17 S		-120.00	13.40	0.00	13.40	80.0	10.72
08/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 10-2 B		6,507.00	15.10	0.00	15.10	80.0	12.08
08/24/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 10-2 S		-5,680.99	15.95	0.00	15.95	80.0	12.76
08/24/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 09-01-17@ S		-236.00	13.40	0.00	13.40	80.0	10.72
08/25/2017	QGW001166 KOONDEL	PUT 100 FLUOR CORP NEW COM EXP 08-2 B		92.00	13.40	0.00	13.40	80.0	10.72
08/25/2017	QGW001166 KOONDEL	PUT 100 FLUOR CORP NEW COM EXP 09-1 S		-412.00	13.40	0.00	13.40	80.0	10.72
08/25/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX B		152.00	13.40	0.00	13.40	80.0	10.72
08/25/2017	QGW001166 KOONDEL	PUT 100 OCCIDENTAL PETE CORP COM EX S		-576.00	13.40	0.00	13.40	80.0	10.72
08/28/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-10,066.02	15.10	0.00	15.10	80.0	12.08
08/28/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 B		4,621.02	15.10	0.00	15.10	80.0	12.08
08/28/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-06-17 S		-528.00	12.55	0.00	12.55	80.0	10.04
08/28/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 10-06-17 S		-270.00	12.55	0.00	12.55	80.0	10.04
08/29/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 S		-30,323.07	24.45	0.00	24.45	80.0	19.56
08/29/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 09-15-17 B		14,573.93	24.45	0.00	24.45	80.0	19.56
08/29/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 04- B		2,393.04	43.15	0.00	43.15	80.0	34.52

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/29/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 10-06-17@ S		-138.00	10.85	0.00	10.85	80.0	8.68
08/29/2017	QGW001166 KOONDEL	PUT 100 LOWES COS INC COM EXP 10-06 S		-210.00	12.55	0.00	12.55	80.0	10.04
08/30/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ B		220.00	27.00	0.00	27.00	80.0	21.60
08/30/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-100.00	27.00	0.00	27.00	80.0	21.60
08/02/2017	QGW004863 CARROLL, DAVID	CALL 100 ALCOA CORP COM EXP 01-18-1 S		-1,100.00	50.00	0.00	50.00	80.0	40.00
08/18/2017	QGW004863 CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B		21.00	50.00	0.00	50.00	80.0	40.00
08/18/2017	QGW004863 CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT B		2,513.00	50.00	0.00	50.00	80.0	40.00
08/18/2017	QGW004863 CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-3,759.00	50.00	0.00	50.00	80.0	40.00
08/23/2017	QGW005894 JT/WROS, MINNA	CALL 100 DISNEY WALT CO DISNEY COM S		-534.00	50.00	0.00	50.00	80.0	40.00
07/31/2017	QGW009730 SHARTSIS, JAY	CALL 100 BASIC ENERGY SVCS INC NEW S		-600.00	20.00	0.00	20.00	80.0	16.00
07/31/2017	QGW009730 SHARTSIS, JAY	PUT 100 ORACLE CORP COM EXP 09-15-1 B		5,950.00	20.00	0.00	20.00	80.0	16.00
07/31/2017	QGW009730 SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF B		6,255.00	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW009730 SHARTSIS, JAY	CALL 100 AMAZON COM INC EXP 08-11-1 S		-1,350.00	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW009730 SHARTSIS, JAY	CALL 100 SPDR SER TR S&P BIOTECH ET S		-4,066.95	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW009730 SHARTSIS, JAY	PUT 100 BOEING CO COM EXP 08-18-17@ B		6,502.95	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW009730 SHARTSIS, JAY	PUT 100 SPDR SER TR S&P BIOTECH ETF S		-7,840.00	20.00	0.00	20.00	80.0	16.00
08/02/2017	QGW009730 SHARTSIS, JAY	PUT 100 BOEING CO COM EXP 08-18-17@ S		-6,900.00	20.00	0.00	20.00	80.0	16.00
08/04/2017	QGW009730 SHARTSIS, JAY	CALL 100 BOEING CO COM EXP 09-15-17 S		-6,300.00	20.00	0.00	20.00	80.0	16.00
08/04/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR NASDAQ BIOTECHN B		2,020.00	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW009730 SHARTSIS, JAY	PUT 100 ORACLE CORP COM EXP 09-15-1 S		-5,250.00	20.00	0.00	20.00	80.0	16.00
08/08/2017	QGW009730 SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P B		4,950.00	20.00	0.00	20.00	80.0	16.00
08/08/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S		-2,810.00	20.00	0.00	20.00	80.0	16.00
08/09/2017	QGW009730 SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S		-3,300.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW009730 SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P B		5,005.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW009730 SHARTSIS, JAY	CALL 100 BARCLAYS BK PLC IPATH S&P S		-11,150.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW009730 SHARTSIS, JAY	CALL 100 LULULEMON ATHLETICA INC CO S		-10,260.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW009730 SHARTSIS, JAY	CALL 100 LULULEMON ATHLETICA INC CO B		3,560.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW009730 SHARTSIS, JAY	CALL 100 NVIDIA CORP COM EXP 08-18- B		3,729.00	20.00	0.00	20.00	80.0	16.00
08/16/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S		-3,870.00	20.00	0.00	20.00	80.0	16.00
08/16/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B		690.00	20.00	0.00	20.00	80.0	16.00
08/17/2017	QGW009730 SHARTSIS, JAY	PUT 100 BAIDU COM INC SPONS ADR REP B		3,215.00	20.00	0.00	20.00	80.0	16.00
08/21/2017	QGW009730 SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY S		-1,550.00	20.00	0.00	20.00	80.0	16.00
08/22/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B		2,370.00	20.00	0.00	20.00	80.0	16.00
08/22/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S		-2,100.00	20.00	0.00	20.00	80.0	16.00
08/22/2017	QGW009730 SHARTSIS, JAY	CALL 100 ONEOK INC NEW COM EXP 08-2 S		-400.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW009730 SHARTSIS, JAY	CALL 100 CIENA CORP COM NEW EXP 09- B		7,050.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW009730 SHARTSIS, JAY	CALL 100 PROSHARES TR II PROSHARES B		1,160.00	20.00	0.00	20.00	80.0	16.00
08/24/2017	QGW009730 SHARTSIS, JAY	CALL 100 CIENA CORP COM NEW EXP 09- S		-3,000.00	7.50	0.00	7.50	80.0	6.00
08/24/2017	QGW009730 SHARTSIS, JAY	CALL 100 CIENA CORP COM NEW EXP 09- S		-1,930.00	5.00	0.00	5.00	80.0	4.00
08/24/2017	QGW009730 SHARTSIS, JAY	CALL 100 CIENA CORP COM NEW EXP 09- S		-2,820.00	7.50	0.00	7.50	80.0	6.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 BOEING CO COM EXP 09-15-17 B	2,225.00	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DIREXI S	-1,600.00	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	1,400.00	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	1,310.00	10.00	0.00	10.00	80.0	8.00
08/28/2017	QGW009730	SHARTSIS, JAY	PUT 100 BAIDU COM INC SPONS ADR REP S	-1,500.00	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW009730	SHARTSIS, JAY	PUT 100 CHIPOTLE MEXICAN GRILL INC S	-1,522.00	20.00	0.00	20.00	80.0	16.00
08/30/2017	QGW009730	SHARTSIS, JAY	PUT 100 DISNEY WALT CO DISNEY COM E S	-3,075.00	20.00	0.00	20.00	80.0	16.00
08/02/2017	QGW014391	DARWISH, MENACHEM	CALL 100 UNITED CONTL HLDGS INC COM B	30,750.00	35.00	0.00	35.00	80.0	28.00
08/21/2017	QGW014391	DARWISH, MENACHEM	CALL 100 VALERO ENERGY CORP NEW COM B	19,710.00	35.00	0.00	35.00	80.0	28.00
08/24/2017	QGW014391	DARWISH, MENACHEM	CALL 100 UNITED CONTL HLDGS INC COM B	32,250.00	35.00	0.00	35.00	80.0	28.00
08/28/2017	QGW014391	DARWISH, MENACHEM	CALL 100 NOVARTIS AG SPONSORED ADR B	4,200.00	35.00	0.00	35.00	80.0	28.00
08/14/2017	QGW029019	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY G S	-3,000.00	20.00	0.00	20.00	80.0	16.00
08/15/2017	QGW029019	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY G B	2,410.00	20.00	0.00	20.00	80.0	16.00
08/16/2017	QGW029019	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY G S	-1,000.00	20.00	0.00	20.00	80.0	16.00
08/30/2017	QGW030629	BEGLEY, MICHAEL	CALL 100 MICROSOFT CORP COM EXP 01- S	-3,496.00	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 SKY WEST INC COM EXP 10-20 B	250.00	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 SKY WEST INC COM EXP 09-15 S	-2,500.00	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 NETFLIX INC COM EXP 08-18-1 B	1,275.00	20.00	0.00	20.00	80.0	16.00
08/09/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-425.00	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD B	925.00	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD S	-1,075.00	20.00	0.00	20.00	80.0	16.00
08/17/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 08-18-17 B	136.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 NETFLIX INC COM EXP 01-19- B	3,434.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 NETFLIX INC COM EXP 04-20- S	-3,854.00	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 01-19-18 S	-2,200.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW036410	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,320.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW036410	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 09 S	-440.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW036410	BELZ, KATHLEEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-180.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW036410	BELZ, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 01- S	-1,200.00	20.00	0.00	20.00	80.0	16.00
07/31/2017	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 08 S	-300.00	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW036436	BELZ, KATHLEEN	PUT 100 OPKO HEALTH INC COM EXP 08- S	-525.00	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 08 S	-450.00	20.00	0.00	20.00	80.0	16.00
08/07/2017	QGW036436	BELZ, KATHLEEN	PUT 100 POTASH CORP OF SASKATCHEWAN S	-645.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 BANK AMER CORP COM EXP 08-1 S	-660.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 BANK AMER CORP COM EXP 08-1 S	660.00	-20.00	0.00	-20.00	80.0	-16.00 C
08/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 BANK AMER CORP COM EXP 08-1 S	-660.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 09-1 S	-1,400.00	20.00	0.00	20.00	80.0	16.00
08/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 09-1 S	1,400.00	-20.00	0.00	-20.00	80.0	-16.00 C
08/10/2017	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 09-1 S	-1,400.00	20.00	0.00	20.00	80.0	16.00
08/22/2017	QGW036436	BELZ, KATHLEEN	CALL 100 TWITTER INC COM EXP 09-01- S	-910.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: OPTIONS									
08/23/2017	QGW036436	BELZ, KATHLEEN	CALL 100 BANK AMER CORP COM EXP 09- S	-240.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW036436	BELZ, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 09 S	-300.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW036436	BELZ, KATHLEEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-240.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW036436	BELZ, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-1,400.00	20.00	0.00	20.00	80.0	16.00
08/24/2017	QGW036436	BELZ, KATHLEEN	PUT 100 OPKO HEALTH INC COM EXP 09- S	-800.00	20.00	0.00	20.00	80.0	16.00
07/31/2017	QGW036444	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 08 S	-600.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW036444	BELZ, RICHARD	CALL 100 OPKO HEALTH INC COM EXP 09 S	-1,120.00	20.00	0.00	20.00	80.0	16.00
08/01/2017	QGW036451	BELZ, RICHARD	CALL 100 BANK AMER CORP COM EXP 08- S	-330.00	20.00	0.00	20.00	80.0	16.00
08/11/2017	QGW036451	BELZ, RICHARD	CALL 100 VUZIX CORP COM NEW EXP 01- S	-800.00	20.00	0.00	20.00	80.0	16.00
08/23/2017	QGW036451	BELZ, RICHARD	CALL 100 BANK AMER CORP COM EXP 09- S	-240.00	20.00	0.00	20.00	80.0	16.00
08/24/2017	QGW036451	BELZ, RICHARD	CALL 100 OLLIES BARGAIN OUTLET HLDG S	-1,400.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW036451	BELZ, RICHARD	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-210.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW036451	BELZ, RICHARD	PUT 100 ARCONIC INC COM EXP 09-15-1 S	-750.00	20.00	0.00	20.00	80.0	16.00
08/25/2017	QGW036451	BELZ, RICHARD	PUT 100 HEICO CORP NEW COM EXP 09-1 S	-1,200.00	20.00	0.00	20.00	80.0	16.00
08/28/2017	QGW036451	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 04-2 S	-3,600.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-55,284.04	\$3,803.80	\$0.00	\$3,803.80		\$3,043.04

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2017	000011622457926	JONAS,		FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	10.80	0.00	10.80	80.0	8.64
08/09/2017	75502067	SCRUGGS, SUSAN		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.03	0.00	0.03	80.0	0.02
08/09/2017	75502067	SCRUGGS, SUSAN		THE BOND FUND OF AMERICA-A B	0.00	1.39	0.00	1.39	80.0	1.11
08/09/2017	75502067	SCRUGGS, SUSAN		THE GROWTH FUND OF AMERICA-A B	0.00	3.68	0.00	3.68	80.0	2.94
08/15/2017	QGW005472	KRELL, MOSHE		EUROPACIFIC GROWTH FUND CLASS C B	0.00	18.96	0.00	18.96	80.0	15.17
08/15/2017	QGW005472	KRELL, MOSHE		PRINCIPAL PREFERRED SECURITIES FUND B	0.00	19.56	0.00	19.56	80.0	15.65
08/15/2017	QGW005472	KRELL, MOSHE		PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	41.74	0.00	41.74	80.0	33.39
08/17/2017	QGW005472	KRELL, MOSHE		JANUS HENDERSON GLOBAL EQUITY INCOM B	0.00	28.56	0.00	28.56	80.0	22.85
08/17/2017	QGW005472	KRELL, MOSHE		MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	24.25	0.00	24.25	80.0	19.40
08/21/2017	QGW005472	KRELL, MOSHE		OPPENHEIMERFUNDS 683940886 B	0.00	15.08	0.00	15.08	80.0	12.06
08/21/2017	QGW005472	KRELL, MOSHE		OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	36.62	0.00	36.62	80.0	29.30
08/22/2017	QGW005472	KRELL, MOSHE		COHEN & STEERS GLOBAL REALTY SHARES B	0.00	33.79	0.00	33.79	80.0	27.03
08/14/2017	QGW006884	VOGEL, NEALA		DAVIS NEW YORK VENTURE CLASS A B	0.00	3.95	0.00	3.95	80.0	3.16
08/14/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A B	0.00	3.25	0.00	3.25	80.0	2.60
08/16/2017	QGW006884	VOGEL, NEALA		PUTNAM 746809102 B	0.00	2.55	0.00	2.55	80.0	2.04
08/17/2017	QGW006884	VOGEL, NEALA		COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	5.91	0.00	5.91	80.0	4.73
08/17/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A B	0.00	3.42	0.00	3.42	80.0	2.74
08/14/2017	QGW007411	OPPELT, MARION		WELLS FARGO OPPORTUNITY FD ADMIN B	0.00	1.90	0.00	1.90	80.0	1.52
08/14/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A B	0.00	1.15	0.00	1.15	80.0	0.92
08/21/2017	QGW008203	MCGOWAN, DIANE		ROCHESTER NATIONAL MUNICIPALS A B	0.00	2.62	0.00	2.62	80.0	2.10
08/16/2017	QGW022154	MANOS, WAYNE		PUTNAM 746802800 B	0.00	0.63	0.00	0.63	80.0	0.50
08/17/2017	QGW022154	MANOS, WAYNE		JANUS HENDERSON EUROPEAN FOCUS FUND B	0.00	10.47	0.00	10.47	80.0	8.38

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/18/2017	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A	B	0.00	6.04	0.00	6.04	80.0	4.83
08/21/2017	QGW022154	MANOS, WAYNE	GOLDMAN SACHS CAPITAL GROWTH FUND C B		0.00	7.95	0.00	7.95	80.0	6.36
08/08/2017	QGW023731	REILLY, DAVID	ALLIANCEBERNSTEIN GROWTH AND INC A B		0.00	0.74	0.00	0.74	80.0	0.59
08/14/2017	QGW023731	REILLY, DAVID	TEMP FRGN FND - CL A	B	0.00	0.32	0.00	0.32	80.0	0.26
08/14/2017	QGW023731	REILLY, DAVID	WELLS FARGO ADVANTAGE SMALL-CAP VAL B		0.00	0.52	0.00	0.52	80.0	0.42
08/15/2017	QGW023731	REILLY, DAVID	THE GROWTH FUND OF AMERICA-A	B	0.00	1.00	0.00	1.00	80.0	0.80
08/15/2017	QGW023731	REILLY, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A B		0.00	1.13	0.00	1.13	80.0	0.90
08/17/2017	QGW023731	REILLY, DAVID	MFS INTERNATIONAL NEW DISCOVERY FUN B		0.00	0.25	0.00	0.25	80.0	0.20
08/17/2017	QGW023731	REILLY, DAVID	MFS TOTAL RETURN FUND-A	B	0.00	0.69	0.00	0.69	80.0	0.55
08/18/2017	QGW023731	REILLY, DAVID	DELAWARE REAL ESTATE INVESTMENT TRU B		0.00	0.28	0.00	0.28	80.0	0.22
08/21/2017	QGW023731	REILLY, DAVID	CAPITAL INCOME A	B	0.00	1.91	0.00	1.91	80.0	1.53
08/21/2017	QGW023731	REILLY, DAVID	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	0.59	0.00	0.59	80.0	0.47
08/21/2017	QGW023731	REILLY, DAVID	GLOBAL FUND A	B	0.00	0.46	0.00	0.46	80.0	0.37
08/15/2017	QGW028250	SAG LLC	CAPITAL WORLD GROWTH & INCOME FUND B		0.00	23.92	0.00	23.92	80.0	19.14
08/14/2017	QGW031999	HEIDENREICH, CLAIRE	FRANKLIN INCOME FUND CLASS A	B	0.00	0.42	0.00	0.42	80.0	0.34
08/14/2017	QGW035065	LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732 B		0.00	5.64	0.00	5.64	80.0	4.51
08/17/2017	QGW035065	LIEBERT, JAMES	AMERICAN CENTURY GLOBAL GOLD FUND C B		0.00	1.61	0.00	1.61	80.0	1.29
08/17/2017	QGW035065	LIEBERT, JAMES	COLUMBIA CONVERTIBLE SECURITIES FD B		0.00	6.69	0.00	6.69	80.0	5.35
08/18/2017	QGW035065	LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A B		0.00	5.88	0.00	5.88	80.0	4.70
08/18/2017	QGW035065	LIEBERT, JAMES	REGIONAL BANK A	B	0.00	10.77	0.00	10.77	80.0	8.62
08/18/2017	QGW035065	LIEBERT, JAMES	RYDEX TELECOMMUNICATIONS FUND CLASS B		0.00	7.90	0.00	7.90	80.0	6.32
08/25/2017	QGW035065	LIEBERT, JAMES	BLACKROCK REAL ESTATE SECURITIES FU B		0.00	7.91	0.00	7.91	80.0	6.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$362.93	\$0.00	\$362.93		\$290.35
*** BROKER TRANSACTIONS TOTAL ***					\$1,819,305.35	\$9,822.45	\$0.00	\$9,822.45		\$7,857.96

BROKER COMMISSION TOTALS

Broker Transactions	\$7,857.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.28
SIPC Assessment	\$-24.56

Check Amount	\$7,821.12
Year-to-date Earnings	\$26,317.93