

All Companies
STATEMENT
April 20, 2018

BEN REYNOSO
222 N Garden St #300
SUITE 620
Visalia, CA 93291

BROKER #: 174
BRANCH:

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/08/0018	#0049157621	BLCKRCK	0.00	6.44	94.0	0.00	6.05	0.00	6.05
02/08/0018	#0047818448 COUNSEL, TR	BLCKRCK	0.00	2.66	94.0	0.00	2.50	0.00	2.50
02/08/0018	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	11.53	94.0	0.00	10.84	0.00	10.84
02/08/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.56	94.0	0.00	1.47	0.00	1.47
02/08/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.42	94.0	0.00	1.33	0.00	1.33
02/08/0018	#0049157621	BLCKRCK	0.00	7.35	94.0	0.00	6.91	0.00	6.91
02/08/0018	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.19	94.0	0.00	1.12	0.00	1.12
02/08/0018	#0049157621	BLCKRCK	0.00	19.31	94.0	0.00	18.15	0.00	18.15
02/08/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	4.69	94.0	0.00	4.41	0.00	4.41
02/08/0018	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	4.25	94.0	0.00	4.00	0.00	4.00
02/08/0018	#0049157621	BLCKRCK	0.00	22.05	94.0	0.00	20.73	0.00	20.73
02/08/0018	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	3.71	94.0	0.00	3.49	0.00	3.49
02/08/0018	#074190005734 & TRUST, ARTIS	JP MORGAN	0.00	0.03	94.0	0.00	0.03	0.00	0.03
02/08/0018	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.20	94.0	0.00	0.19	0.00	0.19
03/02/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00
03/02/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
03/05/2018	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.49	94.0	0.00	2.34	0.00	2.34
03/05/2018	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	7.29	94.0	0.00	6.85	0.00	6.85
03/05/2018	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.44	94.0	0.00	0.41	0.00	0.41
03/05/2018	#074480013753 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.05	94.0	0.00	0.05	0.00	0.05
03/05/2018	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	4.08	94.0	0.00	3.84	0.00	3.84
03/05/2018	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	9.02	94.0	0.00	8.48	0.00	8.48
03/08/2018	#00086840595 HICKAM, TRACY	GROWTH FUND AMER INC CL C	150.00	1.50	94.0	0.00	1.41	0.00	1.41
03/08/2018	#00086840595 HICKAM, TRACY	GROWTH FUND AMER INC CL C	150.00	1.50	94.0	0.00	1.41	0.00	1.41
03/12/2018	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
03/12/2018	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64
03/15/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07
03/15/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	#00085514806	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00086006772	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00085514756	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00085514794	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00085514806	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00086006772	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00085514756	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00085514794	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00085514806	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
03/15/2018	#00086006772	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
* MUTUAL FUNDS SUBTOTAL *			\$1,610.00	\$153.29		\$0.00	\$144.13	\$0.00	\$144.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/03/0018	#129617LB8	EVANS, PAM	TRANSAMERICA LIFE	0.00	39.92	94.0	0.00	37.52	37.52
02/07/0018	#104571LB8	GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	34.47	94.0	0.00	32.40	32.40
02/08/0018	#104570LB8	GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	43.24	94.0	0.00	40.65	40.65
03/09/0018	#DA312937	HALLAM, DAVID	ALLIANZ VA	0.00	116.78	94.0	0.00	109.77	109.77
03/09/0018	#DA308135	CONNEALLY, DENNIS	ALLIANZ VA	0.00	181.18	94.0	0.00	170.31	170.31
03/09/0018	#DA315044	BETTENCOURT, JOHN	ALLIANZ VA	0.00	116.65	94.0	0.00	109.65	109.65
03/09/0018	#DA933385	DOERKSEN, DANIEL	ALLIANZ VA	0.00	97.61	94.0	0.00	91.75	91.75
03/09/0018	#DA933386	DOERKSEN, DANIEL	ALLIANZ VA	0.00	269.14	94.0	0.00	252.99	252.99
03/09/0018	#DA936249	OGATA, MORRIS	ALLIANZ VA	0.00	302.92	94.0	0.00	284.74	284.74
03/09/0018	#DA023440	BETTENCOURT, JOHN	ALLIANZ VA	0.00	223.19	94.0	0.00	209.80	209.80
03/09/0018	#DA030663	CEREGHINO, PAUL	ALLIANZ VA	0.00	178.07	94.0	0.00	167.39	167.39
03/09/0018	#DAX32997	ARTIS HARE & CO	ALLIANZ VA	0.00	87.30	94.0	0.00	82.06	82.06
03/09/0018	#DAX38058	PAUSMA, JASON	ALLIANZ VA	0.00	61.60	94.0	0.00	57.90	57.90
03/09/0018	#DAX37587	ARTIS, KATHI	ALLIANZ VA	0.00	65.36	94.0	0.00	61.44	61.44
03/09/0018	#DAV00394	BENEDICT, RICHARD	ALLIANZ VA	0.00	96.84	94.0	0.00	91.03	91.03
03/09/0018	#DAV13176	LOPEZ, ROBIN	ALLIANZ VA	0.00	40.34	94.0	0.00	37.92	37.92
03/09/0018	#DAX23486	MCPHERSON, VICKIE	ALLIANZ VA	0.00	387.22	94.0	0.00	363.99	363.99
03/09/0018	#DAX25190	ANDERSON, SHERRIE	ALLIANZ VA	0.00	77.49	94.0	0.00	72.84	72.84
03/09/0018	#DAX25191	GARCIA, GERARDO	ALLIANZ VA	0.00	62.22	94.0	0.00	58.49	58.49
03/09/0018	#DAX25223	UNDERWOOD, MICHELE	ALLIANZ VA	0.00	152.41	94.0	0.00	143.27	143.27
03/09/0018	#DAX29769	ARTIS HARE CO 401K	ALLIANZ VA	0.00	339.32	94.0	0.00	318.96	318.96
03/09/0018	#DAX42006	BLANKENSHIP,	ALLIANZ VA	0.00	76.57	94.0	0.00	71.98	71.98
03/09/0018	#DAV36755	EASTBURN, JANICE	ALLIANZ VA	0.00	83.89	94.0	0.00	78.86	78.86

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/09/0018	#DAX29913	BEATTY, JANET	ALLIANZ VA	0.00	109.36	94.0	0.00	102.80	102.80	
03/09/0018	#DAX69568	ROOT, ROGER	ALLIANZ VA	0.00	172.88	94.0	0.00	162.51	162.51	
03/08/2018	#500002ABZ	ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	115.10	94.0	0.00	108.19	108.19	
03/08/2018	#500002ARB	ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	16.32	94.0	0.00	15.34	15.34	
03/08/2018	#500002BBI	PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	7.18	94.0	0.00	6.75	6.75	
03/08/2018	#500002BLH	SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	7.66	94.0	0.00	7.20	7.20	
03/08/2018	#500002BVT	MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.60	94.0	0.00	3.38	3.38	
03/08/2018	#500002E26	MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.84	94.0	0.00	8.31	8.31	
03/08/2018	#500002M18	WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.50	94.0	0.00	0.47	0.47	
03/08/2018	#500002P58	JANICES INC	TRANSAMERICA FIN LIFE	0.00	11.39	94.0	0.00	10.71	10.71	
03/08/2018	#500002P59	LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	6.09	94.0	0.00	5.72	5.72	
03/08/2018	#500002R30	, ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.56	94.0	0.00	3.35	3.35	
03/08/2018	#500002S00	HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	45.27	94.0	0.00	42.55	42.55	
03/08/2018	#500002S08	TRANSWEST	TRANSAMERICA FIN LIFE	0.00	89.14	94.0	0.00	83.79	83.79	
03/08/2018	#500002S10	HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	81.71	94.0	0.00	76.81	76.81	
03/08/2018	#500002S24	SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	226.21	94.0	0.00	212.64	212.64	
03/08/2018	#500002U17	NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.05	94.0	0.00	0.05	0.05	
03/08/2018	#500002V01	CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	8.12	94.0	0.00	7.63	7.63	
03/08/2018	#500002W19	NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	142.34	94.0	0.00	133.80	133.80	
03/08/2018	#500002Z47	CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.15	94.0	0.00	4.84	4.84	
03/08/2018	#803625000	BIG PINE PAI	TRANSAMERICA FIN LIFE	0.00	122.13	94.0	0.00	114.80	114.80	
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$4,316.33		\$0.00	\$4,057.35	\$0.00	\$4,057.35
PRODUCT CATEGORY: RIA										
02/01/2018	#265875	REPAIR CENTER,	PAYCHEX RETIREMENT SERVICES	0.00	34.91	94.0	0.00	32.82	0.00	32.82
03/01/2018	#276879	INTERNATIONAL,	PAYCHEX RETIREMENT SERVICES	0.00	288.88	94.0	0.00	271.55	0.00	271.55
* RIA SUBTOTAL *				\$0.00	\$323.79		\$0.00	\$304.37	\$0.00	\$304.37
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#01847000368	TRUST,	02630V840	0.00	15.82	94.0	0.00	14.87	0.00	14.87
03/01/2018	#01847000759	TRUST,	02630V840	0.00	0.02	94.0	0.00	0.02	0.00	0.02
03/01/2018	#01847001172	TRUST,	02630V840	0.00	0.14	94.0	0.00	0.13	0.00	0.13
03/01/2018	#00086840595	HICKAM, TRACY	AMCAP FUND INC CL C	0.00	4.70	94.0	0.00	4.42	0.00	4.42
03/01/2018	#00086840595	HICKAM, TRACY	AMCAP FUND INC CLASS A	0.00	7.97	94.0	0.00	7.49	0.00	7.49
03/01/2018	#01235729431	TRUST,	AMCAP R3	0.00	0.15	94.0	0.00	0.14	0.00	0.14
03/01/2018	#01235729433	TRUST,	AMCAP R3	0.00	0.85	94.0	0.00	0.80	0.00	0.80

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#01235729439 TRUST,	AMCAP R3	0.00	27.04	94.0	0.00	25.42	0.00	25.42
03/01/2018	#01235729441 TRUST,	AMCAP R3	0.00	16.10	94.0	0.00	15.13	0.00	15.13
03/01/2018	#00088457153 REYNOSO, MARTIN	AMERICAN BALANCED FUND INC CL C	0.00	20.54	94.0	0.00	19.31	0.00	19.31
03/01/2018	#00089121187	AMERICAN BALANCED FUND INC CL C	0.00	3.71	94.0	0.00	3.49	0.00	3.49
03/01/2018	#00075052101	AMERICAN BALANCED FUND INC CLASS A	0.00	8.51	94.0	0.00	8.00	0.00	8.00
03/01/2018	#00082476308	AMERICAN BALANCED FUND INC CLASS A	0.00	1.46	94.0	0.00	1.37	0.00	1.37
03/01/2018	#00084568467 ARTIS, SHEILA	AMERICAN BALANCED FUND INC CLASS A	0.00	10.42	94.0	0.00	9.79	0.00	9.79
03/01/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	0.00	11.18	94.0	0.00	10.51	0.00	10.51
03/01/2018	#00084199574	AMERICAN BALANCED FUND-529A	0.00	5.62	94.0	0.00	5.28	0.00	5.28
03/01/2018	#00084199618 UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	5.62	94.0	0.00	5.28	0.00	5.28
03/01/2018	#00084199643 UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	5.62	94.0	0.00	5.28	0.00	5.28
03/01/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	18.63	94.0	0.00	17.51	0.00	17.51
03/01/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	12.93	94.0	0.00	12.15	0.00	12.15
03/01/2018	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	9.22	94.0	0.00	8.67	0.00	8.67
03/01/2018	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	0.00	3.51	94.0	0.00	3.30	0.00	3.30
03/01/2018	#00089704205 UNTIL AGE 18,	AMERICAN BALANCED FUND-529A	0.00	4.80	94.0	0.00	4.51	0.00	4.51
03/01/2018	#00085904895 REYNOSO, SEAN	AMERICAN BALANCED FUND-529C	0.00	22.77	94.0	0.00	21.40	0.00	21.40
03/01/2018	#00086467381 MITTLESTEAD,	AMERICAN BALANCED FUND-529C	0.00	4.71	94.0	0.00	4.43	0.00	4.43
03/01/2018	#00086467436 MITTLESTEAD,	AMERICAN BALANCED FUND-529C	0.00	3.89	94.0	0.00	3.66	0.00	3.66
03/01/2018	#00067118530	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.12	94.0	0.00	1.05	0.00	1.05
03/01/2018	#00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	0.00	16.15	94.0	0.00	15.18	0.00	15.18
03/01/2018	#00075969272 ARTIS, SHEILA	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.75	94.0	0.00	2.59	0.00	2.59
03/01/2018	#00084199574	AMERICAN MUTUAL FUND-529A	0.00	6.19	94.0	0.00	5.82	0.00	5.82
03/01/2018	#00084199618 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	6.19	94.0	0.00	5.82	0.00	5.82
03/01/2018	#00084199643 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	6.19	94.0	0.00	5.82	0.00	5.82
03/01/2018	#00089704205 UNTIL AGE 18,	AMERICAN MUTUAL FUND-529A	0.00	4.84	94.0	0.00	4.55	0.00	4.55
03/01/2018	#00086857981 REYNOSO, SEAN	BALANCED PORTFOLIO-529C	0.00	17.38	94.0	0.00	16.34	0.00	16.34
03/01/2018	#00075969272 ARTIS, SHEILA	BOND FUND OF AMERICA INC CL A	0.00	2.59	94.0	0.00	2.43	0.00	2.43
03/01/2018	#00084568467 ARTIS, SHEILA	CAPITAL INCOME BUILDER FD CL A	0.00	8.68	94.0	0.00	8.16	0.00	8.16
03/01/2018	#00075969272 ARTIS, SHEILA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.50	94.0	0.00	2.35	0.00	2.35
03/01/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.75	94.0	0.00	6.35	0.00	6.35
03/01/2018	#00084199574	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.39	94.0	0.00	5.07	0.00	5.07
03/01/2018	#00084199618 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.39	94.0	0.00	5.07	0.00	5.07
03/01/2018	#00084199643 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.39	94.0	0.00	5.07	0.00	5.07
03/01/2018	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.38	94.0	0.00	5.06	0.00	5.06
03/01/2018	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.88	94.0	0.00	3.65	0.00	3.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.89	94.0	0.00	2.72	0.00	2.72
03/01/2018	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.42	94.0	0.00	1.33	0.00	1.33
03/01/2018	#00089704205 UNTIL AGE 18,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.11	94.0	0.00	4.80	0.00	4.80
03/01/2018	#00086467381 MITTLESTEAD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.65	94.0	0.00	2.49	0.00	2.49
03/01/2018	#00086467436 MITTLESTEAD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.33	94.0	0.00	3.13	0.00	3.13
03/01/2018	#00086416241 BOWMAN,	GLOBAL BALANCED FUND-529C	0.00	2.17	94.0	0.00	2.04	0.00	2.04
03/01/2018	#00086836039 JASSO, CORY	GLOBAL BALANCED FUND-C	0.00	2.69	94.0	0.00	2.53	0.00	2.53
03/01/2018	#00088415549 REYNOSO, MARTIN	GROWTH AND INCOME PORFOLIO	0.00	39.50	94.0	0.00	37.13	0.00	37.13
03/01/2018	#00086840595 HICKAM, TRACY	GROWTH FUND AMER INC CL C	0.00	4.68	94.0	0.00	4.40	0.00	4.40
03/01/2018	#00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	0.00	18.09	94.0	0.00	17.00	0.00	17.00
03/01/2018	#00075483797 SMITH, AMBER	GROWTH FUND AMERICA INC CLASS A	0.00	1.86	94.0	0.00	1.75	0.00	1.75
03/01/2018	#00075969272 ARTIS, SHEILA	GROWTH FUND AMERICA INC CLASS A	0.00	3.07	94.0	0.00	2.89	0.00	2.89
03/01/2018	#00082432942 HARE, LYNNE	GROWTH FUND AMERICA INC CLASS A	0.00	0.19	94.0	0.00	0.18	0.00	0.18
03/01/2018	#00082476308	GROWTH FUND AMERICA INC CLASS A	0.00	1.10	94.0	0.00	1.03	0.00	1.03
03/01/2018	#00086840595 HICKAM, TRACY	GROWTH FUND AMERICA INC CLASS A	0.00	8.01	94.0	0.00	7.53	0.00	7.53
03/01/2018	#00075969272 ARTIS, SHEILA	INCOME FUND OF AMERICA INC CLASS A	0.00	3.01	94.0	0.00	2.83	0.00	2.83
03/01/2018	#00082476308	INCOME FUND OF AMERICA INC CLASS A	0.00	2.88	94.0	0.00	2.71	0.00	2.71
03/01/2018	#00084568467 ARTIS, SHEILA	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	7.04	94.0	0.00	6.62	0.00	6.62
03/01/2018	#00075969272 ARTIS, SHEILA	INVESTMENT CO AMER CLASS A	0.00	3.65	94.0	0.00	3.43	0.00	3.43
03/01/2018	#00082476308	INVESTMENT CO AMER CLASS A	0.00	0.48	94.0	0.00	0.45	0.00	0.45
03/01/2018	#00067118530	NEW ECONOMY FUND SBI CLASS A	0.00	0.03	94.0	0.00	0.03	0.00	0.03
03/01/2018	#00086467381 MITTLESTEAD,	NEW PERSPECTIVE FUND-529C	0.00	8.36	94.0	0.00	7.86	0.00	7.86
03/01/2018	#00086467436 MITTLESTEAD,	NEW PERSPECTIVE FUND-529C	0.00	8.82	94.0	0.00	8.29	0.00	8.29
03/01/2018	#00067118530	NEW WORLD FUND INC NEW	0.00	0.05	94.0	0.00	0.05	0.00	0.05
03/01/2018	#00082524245 PAUSMA, JASON	NEW WORLD FUND INC NEW	0.00	0.24	94.0	0.00	0.23	0.00	0.23
03/01/2018	#01241468376 TRUST,	NEW WORLD R3	0.00	12.23	94.0	0.00	11.50	0.00	11.50
03/01/2018	#01241468383 TRUST,	NEW WORLD R3	0.00	47.13	94.0	0.00	44.30	0.00	44.30
03/01/2018	#01241471275 TRUST,	NEW WORLD R3	0.00	0.09	94.0	0.00	0.08	0.00	0.08
03/01/2018	#01241472946 TRUST,	NEW WORLD R3	0.00	0.54	94.0	0.00	0.51	0.00	0.51
03/01/2018	#00067118530	SMALLCAP WORLD FUND INC CLASS A	0.00	0.06	94.0	0.00	0.06	0.00	0.06
03/01/2018	#00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	0.00	2.23	94.0	0.00	2.10	0.00	2.10
03/01/2018	#00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	0.00	1.75	94.0	0.00	1.65	0.00	1.65
03/01/2018	#00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	0.00	1.44	94.0	0.00	1.35	0.00	1.35
03/01/2018	#00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	0.00	0.91	94.0	0.00	0.86	0.00	0.86
03/01/2018	#00086467381 MITTLESTEAD,	THE BOND FUND OF AMERICA-529C	0.00	1.37	94.0	0.00	1.29	0.00	1.29
03/01/2018	#00086467436 MITTLESTEAD,	THE BOND FUND OF AMERICA-529C	0.00	1.37	94.0	0.00	1.29	0.00	1.29

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/01/2018	#00075969272	ARTIS, SHEILA	THE TAX-EXEMPT FUND OF CALIFORNIA-A	0.00	1.53	94.0	0.00	1.44	1.44
03/01/2018	#00086467381	MITTLESTEAD,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	13.26	94.0	0.00	12.46	12.46
03/01/2018	#00086467436	MITTLESTEAD,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	15.48	94.0	0.00	14.55	14.55
03/01/2018	#01235428439	TRUST,	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	0.16	94.0	0.00	0.15	0.15
03/01/2018	#01235428441	TRUST,	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	0.95	94.0	0.00	0.89	0.89
03/01/2018	#01235428449	TRUST,	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	7.79	94.0	0.00	7.32	7.32
03/01/2018	#01235428452	TRUST,	WASHINGTON MUTUAL INVESTORS FUND-R3	0.00	48.62	94.0	0.00	45.70	45.70
03/01/2018	#00067118530		WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.01	94.0	0.00	0.01	0.01
03/02/2018	#06500180544	KUNKLRANDG	TRANSAMERICA DYNAMIC ALLOCATION C	0.00	5.34	94.0	0.00	5.02	5.02
03/07/2018	#01487226001	TRUST,	FIDELITY ADV MID CAP-CL T	0.00	8.81	94.0	0.00	8.28	8.28
03/07/2018	#01464834835	TRUST,	FIDELITY ADV SMALL CAP-CL T	0.00	14.71	94.0	0.00	13.83	13.83
03/09/2018	# FUJIE OKINO	TTEE	DAIN TRAILER	0.00	47.10	94.0	0.00	44.27	44.27
03/09/2018	# FUJIE OKINO	TTEE	DAIN TRAILER	0.00	63.84	94.0	0.00	60.01	60.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$760.73	\$0.00	\$715.11	\$0.00	\$715.11	
*** BROKER TRANSACTIONS TOTAL ***			\$1,610.00	\$5,554.14	\$0.00	\$5,220.96	\$0.00	\$5,220.96	

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,220.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,436.96
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