



Concorde Investment Services, LLC

08/14/2017
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COMMISSION STATEMENT

August 15, 2017

JOHN KLETT
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K142
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/31/2017	TIPLEY, ANNE	VANGUARD INDEX FUNDS SMALLCAP GROWT	-9,947.52	0.00	22.50	-22.50	75.0	-16.88
* STOCKS SUBTOTAL *			\$-9,947.52	\$0.00	\$22.50	\$-22.50		\$-16.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.06	0.00	7.06	75.0	5.30
07/17/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.88	0.00	3.88	75.0	2.91
07/19/2017	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	18.09	0.00	18.09	75.0	13.57
07/21/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.13	0.00	5.13	75.0	3.85
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$34.16	\$0.00	\$34.16		\$25.63
*** BROKER TRANSACTIONS TOTAL ***			\$-9,947.52	\$34.16	\$22.50	\$11.66		\$8.75
<u>ADJUSTMENTS</u>								
08/15/2017		*PERSHING: MARK UPS	0.00					30.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$30.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$8.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$30.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-403.17
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-364.42
Year-to-date Gross	\$123.92
Year-to-date Earnings	\$59.21