



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 30, 2017

11/30/2017
Page No. 1

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/17/2017	RUPP, CHARLES	AM FUNDS AMCAP 529A	40.00	2.00	0.00	2.00	92.0	1.84
11/17/2017	RUPP, GAIL	AM FUNDS AMCAP 529A	100.00	5.00	0.00	5.00	92.0	4.60
11/17/2017	RUPP, CHARLES	AM FUNDS AMERICAN BALANCED 529A	40.00	2.00	0.00	2.00	92.0	1.84
11/17/2017	RUPP, CHARLES	AM FUNDS AMERICAN MUTUAL 529A	40.00	2.00	0.00	2.00	92.0	1.84
11/17/2017	RUPP, CHARLES	AM FUNDS GROWTH FUND OF AMERICA 529	40.00	2.00	0.00	2.00	92.0	1.84
11/17/2017	RUPP, GAIL	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	5.00	0.00	5.00	92.0	4.60
11/17/2017	RUPP, CHARLES	AM FUNDS NEW ECONOMY 529A	40.00	2.00	0.00	2.00	92.0	1.84
11/17/2017	RUPP, GAIL	AM FUNDS NEW ECONOMY 529A	100.00	5.00	0.00	5.00	92.0	4.60
* 529 PLANS SUBTOTAL *			\$500.00	\$25.00	\$0.00	\$25.00		\$23.00
*** DIRECT TRANSACTIONS TOTAL ***			\$500.00	\$25.00	\$0.00	\$25.00		\$23.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$23.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$23.00
Year-to-date Gross	\$913,638.07
Year-to-date Earnings	\$905,067.44