



Concorde Investment Services, LLC

04/27/2017
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COMMISSION STATEMENT

April 30, 2017

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/12/2017	RUSSO REV TR, EUGENIE	AM FUNDS CAPITAL WORLD GRTH & INC C	-19,746.56	0.00	0.00	0.00	75.0	0.00
04/13/2017	WITKIN, CAROL ANN	FRANKLIN INCOME A	-7,598.27	0.00	0.00	0.00	75.0	0.00
04/12/2017	RUSSO REV TR, EUGENIE	LOOMIS SAYLES STRATEGIC INCOME FUND	-13,321.18	0.00	22.00	-22.00	75.0	-16.50
* MUTUAL FUNDS SUBTOTAL *			\$-40,666.01	\$0.00	\$22.00	\$-22.00		\$-16.50
<u>PRODUCT CATEGORY: STOCKS</u>								
04/13/2017	WITKIN, CAROL ANN	LEGGETT & PLATT INC	-11,872.84	0.00	22.50	-22.50	75.0	-16.88
04/17/2017	WITKIN, CAROL ANN	RETAIL PPTYS AMER INC CL A	-5,093.38	0.00	22.52	-22.52	75.0	-16.89
* STOCKS SUBTOTAL *			\$-16,966.22	\$0.00	\$45.02	\$-45.02		\$-33.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/18/2017	RUSSO REV TR, EUGENIE	AXA STRUCTURED CAP STRATEGIES 16 SE	30,000.00	1,500.00	0.00	1,500.00	75.0	1,125.00
* VARIABLE ANNUITIES SUBTOTAL *			\$30,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,125.00
*** BROKER TRANSACTIONS TOTAL ***			\$-27,632.23	\$1,500.00	\$67.02	\$1,432.98		\$1,074.73
<u>ADJUSTMENTS</u>								
04/30/2017		*MISC: 4/15 OVERNIGHT FEE	0.00					-13.33
04/30/2017		*PERSHING: MARK UPS	0.00					90.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$76.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,074.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$76.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,151.40
Year-to-date Gross	\$13,175.00
Year-to-date Earnings	\$15,681.35