

## COMMISSION STATEMENT

March 31, 2018

DANIEL SIEGEL  
99 WINGED FOOT DRIVE

BROKER #: 20  
BRANCH: HOME OFFICE

LIVINGSTON, NJ 07039

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/13/2018	QGW001497	KLUGMAN, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-10,407.00	145.00	0.00	145.00	80.0	116.00
03/23/2018	QGW001497	KLUGMAN, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	7,894.58	132.00	0.00	132.00	80.0	105.60
03/26/2018	QGW001497	KLUGMAN, ROBERT	CARLYLE GROUP L P COM UNITS REPSTG B	8,559.32	139.00	0.00	139.00	80.0	111.20
03/13/2018	QGW009805	KELLY, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-10,407.00	145.00	0.00	145.00	80.0	116.00
03/19/2018	QGW009805	KELLY, ROBERT	APPLE INC S	-7,062.00	109.00	0.00	109.00	80.0	87.20
03/23/2018	QGW009805	KELLY, ROBERT	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	7,894.58	132.00	0.00	132.00	80.0	105.60
03/26/2018	QGW009805	KELLY, ROBERT	CARLYLE GROUP L P COM UNITS REPSTG B	8,559.32	139.00	0.00	139.00	80.0	111.20
02/28/2018	QGW009847	LEICHER, MARVIN	COHEN & STEERS QUALITY INCOME RLTY B	11,417.30	252.00	0.00	252.00	80.0	201.60
03/22/2018	QGW009847	LEICHER, MARVIN	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	12,791.96	162.00	0.00	162.00	80.0	129.60
03/13/2018	QGW009896	GREENBURG, JON	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-13,876.00	50.00	0.00	50.00	80.0	40.00
03/19/2018	QGW009896	GREENBURG, JON	APPLE INC S	-35,310.02	50.00	0.00	50.00	80.0	40.00
03/23/2018	QGW009896	GREENBURG, JON	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	12,631.32	50.00	0.00	50.00	80.0	40.00
03/26/2018	QGW009896	GREENBURG, JON	CARLYLE GROUP L P COM UNITS REPSTG B	10,699.15	62.00	0.00	62.00	80.0	49.60
03/19/2018	QGW021271	KLUGMAN, ROBERT	APPLE INC S	-7,062.00	109.00	0.00	109.00	80.0	87.20
03/26/2018	QGW022048	LEICHER, MARVIN	CARLYLE GROUP L P COM UNITS REPSTG B	10,699.15	157.00	0.00	157.00	80.0	125.60
03/19/2018	QGW022246	SUGARMAN, GAIL	APPLE INC S	-7,062.00	109.00	0.00	109.00	80.0	87.20
03/26/2018	QGW022246	SUGARMAN, GAIL	CARLYLE GROUP L P COM UNITS REPSTG B	8,559.32	139.00	0.00	139.00	80.0	111.20
03/13/2018	QGW023772	PATRICK E OBRIEN,	INVESCO LTD ORD SHS ISIN#BMG491BT11 S	-8,672.50	134.00	0.00	134.00	80.0	107.20
03/19/2018	QGW023772	PATRICK E OBRIEN,	APPLE INC S	-7,062.00	109.00	0.00	109.00	80.0	87.20
03/23/2018	QGW023772	PATRICK E OBRIEN,	INVESCO LTD ORD SHS ISIN#BMG491BT11 B	7,894.58	132.00	0.00	132.00	80.0	105.60
03/26/2018	QGW023772	PATRICK E OBRIEN,	CARLYLE GROUP L P COM UNITS REPSTG B	8,559.32	139.00	0.00	139.00	80.0	111.20

\* STOCKS SUBTOTAL \* \$9,239.38 \$2,595.00 \$0.00 \$2,595.00 \$2,076.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018		FEE COUNT - 1		0.00	5.12	0.00	5.12	80.0	4.10
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$5.12	\$0.00	\$5.12		\$4.10
		*** BROKER TRANSACTIONS TOTAL ***		\$9,239.38	\$2,600.12	\$0.00	\$2,600.12		\$2,080.10

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,080.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.25
SIPC Assessment	\$-6.50

<b>Check Amount</b>	<b>\$2,070.35</b>
<b>Year-to-date Earnings</b>	<b>\$6,736.01</b>