

All Companies
STATEMENT
March 20, 2018

RONALD CRISP
5211 E ECHO PINES CIRCLE E

BROKER #: 121
BRANCH:

FT Pierce, FL 34951

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/01/0018	#4667465	DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.06	93.0	0.00	0.06	0.00	0.06
01/01/0018	#4667465	DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.19	93.0	0.00	0.18	0.00	0.18
01/01/0018	#4667465	DUBERSTEIN, CAROL	CONSUMER GOODS - SVC	0.00	0.12	93.0	0.00	0.11	0.00	0.11
01/01/0018	#4667465	DUBERSTEIN, CAROL	CONSUMER GOODS - SVC	0.00	0.36	93.0	0.00	0.33	0.00	0.33
01/01/0018	#4667465	DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.06	93.0	0.00	0.06	0.00	0.06
01/01/0018	#4667465	DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.19	93.0	0.00	0.18	0.00	0.18
01/01/0018	#4667465	DUBERSTEIN, CAROL	TECHNOLOGY-SVC	0.00	0.13	93.0	0.00	0.12	0.00	0.12
01/01/0018	#4667465	DUBERSTEIN, CAROL	TECHNOLOGY-SVC	0.00	0.38	93.0	0.00	0.35	0.00	0.35
01/01/0018	#4667465	DUBERSTEIN, CAROL	ULTRABULL-SVC	0.00	0.13	93.0	0.00	0.12	0.00	0.12
01/01/0018	#4667465	DUBERSTEIN, CAROL	ULTRABULL-SVC	0.00	0.39	93.0	0.00	0.36	0.00	0.36
02/02/2018	#1003036234	DUBERSTEIN,	GUGGENHEIM RYDEX	0.00	5.61	93.0	0.00	5.22	0.00	5.22
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$7.62		\$0.00	\$7.09	\$0.00	\$7.09

PRODUCT CATEGORY: VARIABLE ANNUITIES

/ /	#1019694794	PERSP II 01/16	-300,594.34	-125.25	93.0	0.00	-116.48	0.00	-116.48
01/01/0018	#E0488489	PARDON, HENRY	PRUDETIAL TRAILS	0.00	17.23	93.0	16.02	0.00	16.02
01/01/0018	#E0488489	PARDON, HENRY	PRUDETIAL TRAILS	0.00	68.91	93.0	64.09	0.00	64.09
01/01/0018	#673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.70	0.00	0.70
01/01/0018	#673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.70	0.00	0.70
01/01/0018	#571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	8.47	93.0	7.88	0.00	7.88
01/01/0018	#571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	33.90	93.0	31.53	0.00	31.53
02/07/0018	#2030024557	PARDON, BETTY	SECURITY BENEFIT SBGTRL	0.00	22.24	93.0	20.68	0.00	20.68
02/07/0018	#2030031984	NICHOLS,	SECURITY BENEFIT SBGTRL	0.00	72.62	93.0	67.54	0.00	67.54
02/07/0018	#2030024482	CLIENT:	SECURITY BENEFIT SBGTRL	0.00	16.22	93.0	15.08	0.00	15.08
02/07/0018	#2030032381	RUBINSKI, JANICE	SECURITY BENEFIT SBGTRL	0.00	99.95	93.0	92.95	0.00	92.95
02/07/0018	#2030031985	CHALMERS, MARK	SECURITY BENEFIT SBGTRL	0.00	33.58	93.0	31.23	0.00	31.23
02/07/0018	#2030032737	WOLF, JEROME	SECURITY BENEFIT SBGTRL	0.00	331.00	93.0	307.83	0.00	307.83
02/07/0018	#2030032356	DEHAAS, NICHOLAS	SECURITY BENEFIT SBGTRL	0.00	11.60	93.0	10.79	0.00	10.79

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/07/0018	#2030024574	PARDO, BETTY	SECURITY BENEFIT SBGTRL	0.00	11.52	93.0	0.00	10.71	10.71
02/07/0018	#2030032776	WOLF, CHARLOTTE	SECURITY BENEFIT SBGTRL	0.00	96.63	93.0	0.00	89.87	89.87
02/07/0018	#2030024573	PARDO, HENRY	SECURITY BENEFIT SBGTRL	0.00	7.78	93.0	0.00	7.24	7.24
02/07/0018	#2030032788	DEHAAS, NICHOLAS	SECURITY BENEFIT SBGTRL	0.00	9.13	93.0	0.00	8.49	8.49
02/07/0018	#2030024466	DUBERSTEIN,	SECURITY BENEFIT SBGTRL	0.00	37.72	93.0	0.00	35.08	35.08
02/07/0018	#2030032647	CHOATE BROWNE,	SECURITY BENEFIT SBGTRL	0.00	47.12	93.0	0.00	43.82	43.82
01/30/0201	#2030024466	DUBERSTEIN,	SECURITY BENEFIT ADVA	0.00	39.46	93.0	0.00	36.70	36.70
01/30/0201	#2030024482	CLIENT:	SECURITY BENEFIT ADVA	0.00	17.78	93.0	0.00	16.54	16.54
01/30/0201	#2030024557	PARDO, BETTY	SECURITY BENEFIT ADVA	0.00	24.18	93.0	0.00	22.49	22.49
01/30/0201	#2030024573	PARDO, HENRY	SECURITY BENEFIT ADVA	0.00	8.46	93.0	0.00	7.87	7.87
01/30/0201	#2030024574	PARDO, BETTY	SECURITY BENEFIT ADVA	0.00	12.54	93.0	0.00	11.66	11.66
01/30/0201	#2030031984	NICHOLS,	SECURITY BENEFIT ADVA3	0.00	79.63	93.0	0.00	74.06	74.06
01/30/0201	#2030031985	CHALMERS, MARK	SECURITY BENEFIT ADVA3	0.00	36.81	93.0	0.00	34.23	34.23
01/30/0201	#2030032356	DEHAAS, NICHOLAS	SECURITY BENEFIT ADVA3	0.00	12.60	93.0	0.00	11.72	11.72
01/30/0201	#2030032381	RUBINSKI, JANICE	SECURITY BENEFIT ADVA3	0.00	109.52	93.0	0.00	101.85	101.85
01/30/0201	#2030032647	CHOATE BROWNE,	SECURITY BENEFIT ADVA3	0.00	51.23	93.0	0.00	47.64	47.64
01/30/0201	#2030032737	WOLF, JEROME	SECURITY BENEFIT ADVA3	0.00	345.04	93.0	0.00	320.89	320.89
01/30/0201	#2030032776	WOLF, CHARLOTTE	SECURITY BENEFIT ADVA3	0.00	101.12	93.0	0.00	94.04	94.04
01/30/0201	#2030032788	DEHAAS, NICHOLAS	SECURITY BENEFIT ADVA3	0.00	9.91	93.0	0.00	9.22	9.22
02/28/2018	#1007913687	DUBERSTEIN,	ADVS II (05/05)	88,093.77	73.41	93.0	0.00	68.27	68.27
02/28/2018	#1008140835	CHALMERS, MARK	L SERIES	34,815.08	37.72	93.0	0.00	35.08	35.08
02/28/2018	#1007968767	DUBERSTEIN,	L SERIES	244,416.48	203.68	93.0	0.00	189.42	189.42
02/28/2018	#1007968767	DUBERSTEIN,	L SERIES	63,808.56	69.13	93.0	0.00	64.29	64.29
02/28/2018	#1008140843	PARDO, HENRY	L SERIES	13,713.90	14.86	93.0	0.00	13.82	13.82
02/28/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	250,480.97	208.73	93.0	0.00	194.12	194.12
02/28/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	61,777.16	66.93	93.0	0.00	62.24	62.24
02/28/2018	#1008131941	WOLF, JEROME	L SERIES	95,640.47	103.61	93.0	0.00	96.36	96.36
02/28/2018	#1012526594	COOPER, MICHAEL	PERSP L 08/11	8,836.11	7.36	93.0	0.00	6.84	6.84
02/28/2018	#1010614380	DEHAAS, MARY	PERSP L 08/11	51,625.58	43.02	93.0	0.00	40.01	40.01
02/28/2018	#1011692156	MENDELSBERG,	PERSP L 08/11	35,602.63	29.67	93.0	0.00	27.59	27.59
02/28/2018	#1011926475	MENDELSBERG,	PERSP L 08/11	315,716.76	263.10	93.0	0.00	244.68	244.68
02/28/2018	#1012478620	RUBINSKI, JANICE	PERSP L 08/11	14,276.08	11.90	93.0	0.00	11.07	11.07
02/28/2018	#1010809238	WOLF, JEROME	PERSP L 08/11	157,497.54	131.25	93.0	0.00	122.06	122.06
* VARIABLE ANNUITIES SUBTOTAL *				\$1,135,706.75	\$2,914.52	\$0.00	\$2,710.51	\$0.00	\$2,710.51
*** BROKER TRANSACTIONS TOTAL ***				\$1,135,706.75	\$2,922.14	\$0.00	\$2,717.60	\$0.00	\$2,717.60

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ADJUSTMENTS

03/20/2018		BETA BACK OFFICE: ADMIN FEE							-260.00
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-439.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,717.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-439.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,278.60
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