



Concorde Investment Services, LLC

05/02/2018

Page No. 1

COMMISSION STATEMENT

April 30, 2018

JEFFREY, K119 KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/10/2018	REDDICK, RYAN	AM FUNDS AMERICAN BALANCED 529A	800.00	22.00	0.00	22.00	90.0	19.80
04/10/2018	REDDICK, RYAN	AM FUNDS GLOBAL BALANCED 529A	800.00	22.00	0.00	22.00	90.0	19.80
04/10/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA 529	800.00	22.00	0.00	22.00	90.0	19.80
04/10/2018	REDDICK, RYAN	AM FUNDS ICA 529A	800.00	22.00	0.00	22.00	90.0	19.80
04/10/2018	REDDICK, RYAN	AM FUNDS NEW WORLD 529A	800.00	22.00	0.00	22.00	90.0	19.80
* 529 PLANS SUBTOTAL *			\$4,000.00	\$110.00	\$0.00	\$110.00		\$99.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/18/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
04/18/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	466.46	4.66	0.00	4.66	90.0	4.19
04/18/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	154.14	1.54	0.00	1.54	90.0	1.39
04/18/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	92.49	0.92	0.00	0.92	90.0	0.83
04/18/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
04/18/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
04/18/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	29.17	0.29	0.00	0.29	90.0	0.26
04/18/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	29.17	0.29	0.00	0.29	90.0	0.26
04/18/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
04/18/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
04/18/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.54	0.44	0.00	0.44	90.0	0.40
04/18/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.54	0.44	0.00	0.44	90.0	0.40
04/18/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	160.00	1.60	0.00	1.60	90.0	1.44
04/18/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	373.33	3.73	0.00	3.73	90.0	3.36
04/18/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	79.62	0.80	0.00	0.80	90.0	0.72
04/18/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	79.62	0.80	0.00	0.80	90.0	0.72
04/18/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
04/18/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
04/18/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	193.13	1.93	0.00	1.93	90.0	1.74

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/18/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	193.13	1.93	0.00	1.93	90.0	1.74
04/18/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.97	0.45	0.00	0.45	90.0	0.41
04/18/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	44.97	0.45	0.00	0.45	90.0	0.41
04/18/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	22.09	0.22	0.00	0.22	90.0	0.20
04/18/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	44.19	0.44	0.00	0.44	90.0	0.40
04/09/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	625.00	17.19	0.00	17.19	90.0	15.47
04/09/2018	REDDICK, CRYSTAL	AM FUNDS EUROPACIFIC GROWTH FUND A	1,375.00	37.81	0.00	37.81	90.0	34.03
04/16/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.77	0.00	3.77	90.0	3.39
04/18/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
04/18/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
04/18/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	66.55	0.67	0.00	0.67	90.0	0.60
04/18/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	66.55	0.67	0.00	0.67	90.0	0.60
04/18/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	59.92	0.60	0.00	0.60	90.0	0.54
04/18/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	59.92	0.60	0.00	0.60	90.0	0.54
04/09/2018	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
04/09/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	625.00	17.19	0.00	17.19	90.0	15.47
04/09/2018	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
04/09/2018	REDDICK, CRYSTAL	AM FUNDS GROWTH FUND OF AMERICA A	1,125.00	30.94	0.00	30.94	90.0	27.85
04/11/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	35.56	1.78	0.00	1.78	90.0	1.60
04/11/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	26.67	1.33	0.00	1.33	90.0	1.20
04/16/2018	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	200.00	4.00	0.00	4.00	90.0	3.60
04/16/2018	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.00	0.00	2.00	90.0	1.80
04/16/2018	KAUFMAN, ELLIE	AM FUNDS GROWTH FUND OF AMERICA A	1,000.00	27.50	0.00	27.50	90.0	24.75
04/16/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	5,500.00	233.75	0.00	233.75	90.0	210.38
04/16/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	7.54	0.00	7.54	90.0	6.79
04/18/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	44.19	0.44	0.00	0.44	90.0	0.40
04/18/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	88.37	0.88	0.00	0.88	90.0	0.79
04/18/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
04/18/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
04/18/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
04/18/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
04/18/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	41.87	0.42	0.00	0.42	90.0	0.38
04/18/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	41.87	0.42	0.00	0.42	90.0	0.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/18/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	51.16	0.51	0.00	0.51	90.0	0.46
04/18/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	51.16	0.51	0.00	0.51	90.0	0.46
04/18/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	79.96	0.80	0.00	0.80	90.0	0.72
04/18/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	79.96	0.80	0.00	0.80	90.0	0.72
04/18/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	46.88	0.47	0.00	0.47	90.0	0.42
04/18/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	46.88	0.47	0.00	0.47	90.0	0.42
04/18/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	2.55	0.03	0.00	0.03	90.0	0.03
04/18/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.10	0.00	0.10	90.0	0.09
04/18/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
04/18/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
04/18/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
04/18/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
04/09/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	625.00	17.19	0.00	17.19	90.0	15.47
04/09/2018	REDDICK, CRYSTAL	AM FUNDS NEW ECONOMY A	1,375.00	37.81	0.00	37.81	90.0	34.03
04/16/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.77	0.00	3.77	90.0	3.39
04/18/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
04/18/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
04/18/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
04/18/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
04/18/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	44.19	0.44	0.00	0.44	90.0	0.40
04/18/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	88.37	0.88	0.00	0.88	90.0	0.79
04/18/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
04/18/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
04/18/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	49.66	0.50	0.00	0.50	90.0	0.45
04/18/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	49.66	0.50	0.00	0.50	90.0	0.45
04/16/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.77	0.00	3.77	90.0	3.39
04/18/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	49.66	0.50	0.00	0.50	90.0	0.45
04/18/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	49.66	0.50	0.00	0.50	90.0	0.45
04/16/2018	JORDENING, LYNN	AM FUNDS NEW WORLD C	6,080.00	60.80	0.00	60.80	90.0	54.72
04/16/2018	JORDENING, POLLY	AM FUNDS NEW WORLD C	6,080.00	60.80	0.00	60.80	90.0	54.72
04/09/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	625.00	17.19	0.00	17.19	90.0	15.47
04/09/2018	REDDICK, CRYSTAL	AM FUNDS SMALLCAP WORLD A	1,375.00	37.81	0.00	37.81	90.0	34.03
04/18/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	106.08	1.06	0.00	1.06	90.0	0.95

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/18/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	106.08	1.06	0.00	1.06	90.0	0.95
04/18/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	36.82	0.37	0.00	0.37	90.0	0.33
04/18/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	73.64	0.74	0.00	0.74	90.0	0.67
* MUTUAL FUNDS SUBTOTAL *			\$34,475.69	\$700.59	\$0.00	\$700.59		\$630.58
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/02/2018	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
04/02/2018	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
04/02/2018	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
04/02/2018	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/02/2018	REGAN, WILLIAM	PAC LIFE VUL	3,612.90	3.61	0.00	3.61	90.0	3.25
04/02/2018	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
04/02/2018	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
04/02/2018	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
04/02/2018	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
04/02/2018	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
04/02/2018	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
04/02/2018	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
04/03/2018	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
04/04/2018	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
04/05/2018	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
04/06/2018	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
04/06/2018	GUIER, CLINT	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
04/06/2018	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
04/09/2018	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
04/09/2018	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
04/09/2018	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
04/12/2018	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
04/12/2018	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
04/12/2018	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
04/13/2018	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/17/2018	REDDICK, CRYSTAL	PAC LIFE VUL	2,975.49	5.95	0.00	5.95	90.0	5.36
04/18/2018	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/20/2018	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
04/20/2018	KILEY, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
04/20/2018	MURRAY, JEFFREY	PAC LIFE VUL	584.57	11.69	0.00	11.69	90.0	10.52
04/20/2018	GAMM, SKYLAR	PAC LIFE VUL	7.37	0.15	0.00	0.15	90.0	0.14
04/20/2018	GAMM, SKYLAR	PAC LIFE VUL	417.63	87.70	0.00	87.70	90.0	78.93
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$14,768.92	\$267.92	\$0.00	\$267.92		\$241.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/05/2018	MCMILLION, CORENA	PAC LIFE 69448B175	0.00	210.00	0.00	210.00	90.0	189.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$210.00	\$0.00	\$210.00		\$189.00
*** DIRECT TRANSACTIONS TOTAL ***			\$53,244.61	\$1,288.51	\$0.00	\$1,288.51		\$1,159.73

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

04/10/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	22.00	0.00	22.00	90.0	19.80
04/18/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.91	0.12	0.00	0.12	90.0	0.11
04/18/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.91	0.12	0.00	0.12	90.0	0.11
04/19/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	4,000.00	60.50	0.00	60.50	90.0	54.45
04/18/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
04/18/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
04/18/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	66.21	0.36	0.00	0.36	90.0	0.32
04/18/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	66.21	0.36	0.00	0.36	90.0	0.32
04/10/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
04/11/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	24.77	0.68	0.00	0.68	90.0	0.61
04/11/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	24.77	0.68	0.00	0.68	90.0	0.61
04/16/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
04/16/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
04/17/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	750.00	20.62	0.00	20.62	90.0	18.56
04/17/2018	SUMNER, J	AM FUNDS GROWTH FUND OF AMERICA A	250.00	6.87	0.00	6.87	90.0	6.18
04/17/2018	HARKNESS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	4.12	0.00	4.12	90.0	3.71
04/18/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	69.81	0.38	0.00	0.38	90.0	0.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
04/18/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	69.81	0.38	0.00	0.38	90.0	0.34
04/18/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	75.00	1.75	0.00	1.75	90.0	1.58
04/18/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	20.25	0.11	0.00	0.11	90.0	0.10
04/18/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	27.00	0.15	0.00	0.15	90.0	0.14
04/18/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	58.27	0.32	0.00	0.32	90.0	0.29
04/18/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	58.27	0.32	0.00	0.32	90.0	0.29
04/18/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
04/18/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
04/18/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
04/18/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
04/18/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	14.89	0.08	0.00	0.08	90.0	0.07
04/18/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	14.89	0.08	0.00	0.08	90.0	0.07
04/18/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.19	0.27	0.00	0.27	90.0	0.24
04/18/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.19	0.27	0.00	0.27	90.0	0.24
04/18/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	37.43	0.20	0.00	0.20	90.0	0.18
04/18/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	37.43	0.20	0.00	0.20	90.0	0.18
04/18/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
04/18/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
04/18/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	41.33	0.23	0.00	0.23	90.0	0.21
04/18/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	41.33	0.23	0.00	0.23	90.0	0.21
04/18/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.19	0.00	0.19	90.0	0.17
04/18/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.19	0.00	0.19	90.0	0.17
04/18/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	36.77	0.20	0.00	0.20	90.0	0.18
04/18/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	85.80	0.47	0.00	0.47	90.0	0.42
04/18/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.38	0.05	0.00	0.05	90.0	0.05
04/18/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.38	0.05	0.00	0.05	90.0	0.05
04/18/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	41.96	0.23	0.00	0.23	90.0	0.21
04/18/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	41.96	0.23	0.00	0.23	90.0	0.21
04/18/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	48.22	0.26	0.00	0.26	90.0	0.23
04/18/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	48.22	0.26	0.00	0.26	90.0	0.23
04/18/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	15.66	0.09	0.00	0.09	90.0	0.08
04/18/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	15.66	0.09	0.00	0.09	90.0	0.08
04/18/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
04/18/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
04/18/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.20	0.00	0.20	90.0	0.18
04/18/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.20	0.00	0.20	90.0	0.18
04/18/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.19	0.00	0.19	90.0	0.17
04/18/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.19	0.00	0.19	90.0	0.17
04/18/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	5.28	0.03	0.00	0.03	90.0	0.03
04/18/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	5.28	0.03	0.00	0.03	90.0	0.03
04/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
04/20/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
04/18/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	45.01	0.25	0.00	0.25	90.0	0.23
04/18/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	150.02	0.82	0.00	0.82	90.0	0.74
04/18/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.35	0.09	0.00	0.09	90.0	0.08
04/18/2018	ZELLMER, RIANNON	AM FUNDS ICA A	16.35	0.09	0.00	0.09	90.0	0.08
04/18/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.92	0.12	0.00	0.12	90.0	0.11
04/18/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.92	0.12	0.00	0.12	90.0	0.11
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.28	0.10	0.00	0.10	90.0	0.09
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.29	0.10	0.00	0.10	90.0	0.09
04/18/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	75.00	1.75	0.00	1.75	90.0	1.58
04/18/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	52.12	0.29	0.00	0.29	90.0	0.26
04/18/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	52.12	0.29	0.00	0.29	90.0	0.26
04/18/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
04/18/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
04/18/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	45.99	0.25	0.00	0.25	90.0	0.23
04/18/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	45.99	0.25	0.00	0.25	90.0	0.23
04/18/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	20.25	0.11	0.00	0.11	90.0	0.10
04/18/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	27.00	0.15	0.00	0.15	90.0	0.14
04/18/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.93	0.20	0.00	0.20	90.0	0.18
04/18/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	59.88	0.33	0.00	0.33	90.0	0.30
04/10/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
04/16/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.29	0.10	0.00	0.10	90.0	0.09
04/18/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.28	0.10	0.00	0.10	90.0	0.09
04/18/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
04/18/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$9,810.42	\$157.47	\$0.00	\$157.47		\$141.77
*** SPLIT TRANSACTIONS TOTAL ***			\$9,810.42	\$157.47	\$0.00	\$157.47		\$141.77

<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: K146-JASON KEMBLE #348</u>								
04/10/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
04/10/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
04/10/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
04/10/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
04/05/2018	MCMILLION, CORENA	PAC LIFE 69448B175	0.00	210.00	0.00	210.00	90.0	84.00
04/16/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	100.00	1.00	0.00	1.00	90.0	0.40
* K146-JASON KEMBLE SUBTOTAL *			\$400.00	\$223.76	\$0.00	\$223.76		\$89.50
*** OVERRIDE TRANSACTIONS TOTAL ***			\$400.00	\$223.76	\$0.00	\$223.76		\$89.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,159.73
Split Transactions	\$141.77
Override Transactions	\$89.50
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,391.00
Year-to-date Gross	\$77,391.39
Year-to-date Earnings	\$74,988.24

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