



Concorde Investment Services, LLC

02/27/2018

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COMMISSION STATEMENT

February 28, 2018

ANTHONY GUGLIELME
605 Delafield Road

BROKER #: 117 Terminated 10/25/2016
BRANCH: ANTHONY GUGLIELME

Pittsburgh, PA 15215

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/16/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.63	0.00	2.63	0.0	0.00
03/15/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.42	0.00	2.42	0.0	0.00
04/20/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.75	0.00	2.75	0.0	0.00
05/16/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.73	0.00	2.73	0.0	0.00
06/15/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.80	0.00	2.80	0.0	0.00
07/18/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.72	0.00	2.72	0.0	0.00
08/15/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.89	0.00	2.89	0.0	0.00
09/16/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.96	0.00	2.96	0.0	0.00
10/17/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.86	0.00	2.86	0.0	0.00
11/18/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.93	0.00	2.93	0.0	0.00
12/15/2016	BATTAGLINI, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.79	0.00	2.79	0.0	0.00
02/16/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.56	0.00	1.56	0.0	0.00
03/15/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.41	0.00	1.41	0.0	0.00
04/20/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.60	0.00	1.60	0.0	0.00
05/16/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.60	0.00	1.60	0.0	0.00
06/15/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.66	0.00	1.66	0.0	0.00
07/18/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.63	0.00	1.63	0.0	0.00
08/15/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.72	0.00	1.72	0.0	0.00
09/16/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.77	0.00	1.77	0.0	0.00
10/17/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.70	0.00	1.70	0.0	0.00
11/18/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.77	0.00	1.77	0.0	0.00
12/15/2016	BATTAGLINI, CHRIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.71	0.00	1.71	0.0	0.00
02/16/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.32	0.00	2.32	0.0	0.00
03/16/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.17	0.00	2.17	0.0	0.00
04/15/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.53	0.00	2.53	0.0	0.00
05/13/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.54	0.00	2.54	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/14/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.63	0.00	2.63	0.0	0.00
07/14/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.63	0.00	2.63	0.0	0.00
08/15/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.82	0.00	2.82	0.0	0.00
09/14/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.90	0.00	2.90	0.0	0.00
10/18/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.81	0.00	2.81	0.0	0.00
11/15/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.88	0.00	2.88	0.0	0.00
12/16/2016	BATTAGLINI, CHRIS	FRANKLIN SMALL CAP VALUE C	0.00	2.90	0.00	2.90	0.0	0.00
04/14/2016	BATTAGLINI, CHRIS	INVESCO ENERGY FUND CLASS C	0.00	1.56	0.00	1.56	0.0	0.00
07/19/2016	BATTAGLINI, CHRIS	INVESCO ENERGY FUND CLASS C	0.00	1.87	0.00	1.87	0.0	0.00
10/17/2016	BATTAGLINI, CHRIS	INVESCO ENERGY FUND CLASS C	0.00	1.90	0.00	1.90	0.0	0.00
02/08/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	0.99	0.00	0.99	0.0	0.00
03/14/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	0.93	0.00	0.93	0.0	0.00
04/15/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.08	0.00	1.08	0.0	0.00
05/17/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.07	0.00	1.07	0.0	0.00
06/16/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.14	0.00	1.14	0.0	0.00
07/20/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.12	0.00	1.12	0.0	0.00
08/16/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.19	0.00	1.19	0.0	0.00
09/15/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.17	0.00	1.17	0.0	0.00
10/20/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.13	0.00	1.13	0.0	0.00
11/14/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.15	0.00	1.15	0.0	0.00
12/19/2016	BATTAGLINI, CHRIS	MFS UTILITIES C	0.00	1.07	0.00	1.07	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.11	\$0.00	\$95.11		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$95.11	\$0.00	\$95.11		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$95.11
Year-to-date Earnings	\$0.00