

**COMMISSION STATEMENT****June 15, 2018**

ROY, P123 PFLEGER II  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: P123  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/17/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.35	0.00	1.35	75.0	1.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.35	\$0.00	\$1.35		\$1.01
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.35	\$0.00	\$1.35		\$1.01

<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</b>								
05/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	240,596.29	35.00	0.00	35.00	75.0	26.25
05/18/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
05/17/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.21	0.00	0.21	75.0	0.16
05/30/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.60	0.00	0.60	75.0	0.45
05/30/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.51	0.00	0.51	75.0	0.38
05/30/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.88	0.00	2.88	75.0	2.16
05/30/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.56	0.00	2.56	75.0	1.92
05/30/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.21	0.00	0.21	75.0	0.16
05/21/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.13	0.00	0.13	75.0	0.10
05/14/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	75.0	0.27
05/14/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.57	0.00	1.57	75.0	1.18
05/23/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.11	0.00	0.11	75.0	0.08
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$240,596.29	\$44.22	\$0.00	\$44.22		\$33.17
<b>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</b>								
05/21/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	75.0	0.43
05/14/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.71	0.00	1.71	75.0	1.28
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$2.28	\$0.00	\$2.28		\$1.71
*** SPLIT TRANSACTIONS TOTAL ***			\$240,596.29	\$46.50	\$0.00	\$46.50		\$34.88

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*EO INS: CURRENT MONTH	0.00					-105.00
06/15/2018		*MISC: 5/31/18 OVERNIGHT FEE	0.00					-13.33
06/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.54
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.39
06/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-96.25
06/15/2018		*TECHNOLOGY: NEW COMPUTER SET UP	0.00					-300.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-519.06</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1.01
Split Transactions	\$34.88
Override Transactions	\$0.00
Adjustments	\$-519.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-483.17</b>
<b>Year-to-date Gross</b>	<b>\$92,878.50</b>
<b>Year-to-date Earnings</b>	<b>\$69,624.18</b>

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