



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^05/08/2017	DOLCE, CHARLES	GPB AUTOMOTIVE PORTFOLIO LP	130,000.00	9,100.00	0.00	9,100.00	100.0	0.00
^05/23/2017	DOLCE, JENNIFER	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
^05/05/2017	DOLCE, CHARLES	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
^05/05/2017	DOLCE, CHARLES	HPI FUND V	37,000.00	2,220.00	0.00	2,220.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$317,000.00	\$21,820.00	\$0.00	\$21,820.00		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
^05/12/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.40	0.00	17.40	100.0	0.00
* REIT SUBTOTAL *			\$0.00	\$17.40	\$0.00	\$17.40		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^04/17/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.85	0.00	5.85	100.0	0.00
^04/28/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	14.26	0.00	14.26	100.0	0.00
^04/28/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.79	0.00	5.79	100.0	0.00
^04/28/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.06	0.00	3.06	100.0	0.00
^04/28/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.25	0.00	10.25	100.0	0.00
^04/28/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.58	0.00	2.58	100.0	0.00
^04/28/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.71	0.00	11.71	100.0	0.00
^04/28/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.73	0.00	3.73	100.0	0.00
^04/28/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.16	0.00	2.16	100.0	0.00
^04/28/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.80	0.00	8.80	100.0	0.00
^04/28/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	9.29	0.00	9.29	100.0	0.00
^04/28/2017	STARK, LINDA	MAINSTAY MAP A	0.00	1.82	0.00	1.82	100.0	0.00
^04/28/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	1.73	0.00	1.73	100.0	0.00
^04/13/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.31	0.00	8.31	100.0	0.00
^04/20/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.09	0.00	6.09	100.0	0.00
^04/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.32	0.00	0.32	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^04/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.79	0.00	0.79	100.0	0.00
^04/24/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.82	0.00	0.82	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$97.36	\$0.00	\$97.36		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$317,000.00	\$21,934.76	\$0.00	\$21,934.76		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.06
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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