



COMMISSION STATEMENT

January 31, 2017

JOHN ROSS
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
01/19/2017	BLAKE, MIRIAM	ASSETMARK	64,289.96	158.47	0.00	158.47	92.0	145.79
01/19/2017	COLEMAN, PARISS	ASSETMARK	31,162.77	96.02	0.00	96.02	92.0	88.34
01/19/2017	DUBENDORFER, SUSAN	ASSETMARK	24,471.51	75.40	0.00	75.40	92.0	69.37
01/19/2017	FLEMING, ANDREA	ASSETMARK	100,582.47	247.94	0.00	247.94	92.0	228.10
01/19/2017	RORK, FRANK	ASSETMARK	15,752.64	38.83	0.00	38.83	92.0	35.72
01/19/2017	HAMAKER, SCOTT	ASSETMARK	25,069.32	61.80	0.00	61.80	92.0	56.86
01/19/2017	KONZ, ORVEL	ASSETMARK	97,619.94	240.63	0.00	240.63	92.0	221.38
01/19/2017	KOZIARSKI, ROSEMARY	ASSETMARK	18,260.42	45.01	0.00	45.01	92.0	41.41
01/19/2017	KRAUS, JUDITH	ASSETMARK	50,362.48	124.14	0.00	124.14	92.0	114.21
01/19/2017	MILLS, SARAH	ASSETMARK	24,434.42	60.23	0.00	60.23	92.0	55.41
01/19/2017	SCHLIEVERT, KAREN	ASSETMARK	14,639.72	36.09	0.00	36.09	92.0	33.20
01/19/2017	SCHNEIDER, MARGARET	ASSETMARK	91,607.09	225.81	0.00	225.81	92.0	207.75
01/19/2017	SZABO, BONITA	ASSETMARK	24,605.37	60.65	0.00	60.65	92.0	55.80
01/20/2017	HORN, DUSTIN	MEEDER FUNDS	0.00	56.40	0.00	56.40	92.0	51.89
01/20/2017	SCHOEN, JONATHAN	MEEDER FUNDS	0.00	70.63	0.00	70.63	92.0	64.98
01/20/2017	BRAITHWAITE, JONI	MEEDER FUNDS	0.00	55.97	0.00	55.97	92.0	51.49
01/20/2017	IRWIN, JOHN	MEEDER FUNDS	0.00	604.79	0.00	604.79	92.0	556.41
01/20/2017	KELLER, ERIC	MEEDER FUNDS	0.00	92.64	0.00	92.64	92.0	85.23
01/20/2017	PEARSON, MARIANNE	MEEDER FUNDS	0.00	4.37	0.00	4.37	92.0	4.02
01/20/2017	MANN, JAMES	MEEDER FUNDS	0.00	34.03	0.00	34.03	92.0	31.31
01/20/2017	MANN, JAMES	MEEDER FUNDS	0.00	73.71	0.00	73.71	92.0	67.81
01/20/2017	MANN, SUNDAY	MEEDER FUNDS	0.00	47.49	0.00	47.49	92.0	43.69
01/20/2017	MANN, SUNDAY	MEEDER FUNDS	0.00	4.30	0.00	4.30	92.0	3.96
01/20/2017	DECKER, MARY BETH	MEEDER FUNDS	0.00	41.84	0.00	41.84	92.0	38.49
01/20/2017	COUSINO, SUSAN	MEEDER FUNDS	0.00	161.91	0.00	161.91	92.0	148.96
01/20/2017	GARDNER, SHARYL	MEEDER FUNDS	0.00	198.45	0.00	198.45	92.0	182.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/20/2017	GARDNER, MIKE (WILLIAM)	MEEDER FUNDS	0.00	226.77	0.00	226.77	92.0	208.63
01/20/2017	ORWIG, JOSEPH	MEEDER FUNDS	0.00	125.39	0.00	125.39	92.0	115.36
01/20/2017	SANDERSON, STEPHEN	MEEDER FUNDS	0.00	55.83	0.00	55.83	92.0	51.36
01/23/2017	AUBRY, PATRICIA	SAWTOOTH	0.00	204.31	0.00	204.31	92.0	187.97
01/23/2017	BARE III, HARRY	SAWTOOTH	0.00	120.01	0.00	120.01	92.0	110.41
01/23/2017	BEAUPREZ, TAMMY	SAWTOOTH	0.00	251.82	0.00	251.82	92.0	231.67
01/23/2017	BRAITHWAITE, MICHAEL	SAWTOOTH	0.00	120.38	0.00	120.38	92.0	110.75
01/23/2017	COUSINO, SUSAN	SAWTOOTH	0.00	247.03	0.00	247.03	92.0	227.27
01/23/2017	CUMMINGS, BARBARA	SAWTOOTH	0.00	380.55	0.00	380.55	92.0	350.11
01/23/2017	DEMKO, VERA	SAWTOOTH	0.00	305.29	0.00	305.29	92.0	280.87
01/23/2017	DOUGHERTY, RICO	SAWTOOTH	0.00	436.71	0.00	436.71	92.0	401.77
01/23/2017	ECKHARDT, MELISSA	SAWTOOTH	0.00	239.93	0.00	239.93	92.0	220.74
01/23/2017	FAIRCHILD, KERRY	SAWTOOTH	0.00	48.52	0.00	48.52	92.0	44.64
01/23/2017	FISCHER, DAVID	SAWTOOTH	0.00	246.06	0.00	246.06	92.0	226.38
01/23/2017	FLEMING, MICHAEL	SAWTOOTH	0.00	78.06	0.00	78.06	92.0	71.82
01/23/2017	FLEMING, ANDREA	SAWTOOTH	0.00	211.26	0.00	211.26	92.0	194.36
01/23/2017	FRAME, MARION	SAWTOOTH	0.00	97.03	0.00	97.03	92.0	89.27
01/23/2017	GARDNER, WM	SAWTOOTH	0.00	292.13	0.00	292.13	92.0	268.76
01/23/2017	GARDNER, WM	SAWTOOTH	0.00	513.11	0.00	513.11	92.0	472.06
01/23/2017	GARDNER, SHARYL	SAWTOOTH	0.00	657.97	0.00	657.97	92.0	605.33
01/23/2017	GERMAN, MARIANNE	SAWTOOTH	0.00	73.26	0.00	73.26	92.0	67.40
01/23/2017	HANUDEL, KAREN	SAWTOOTH	0.00	238.75	0.00	238.75	92.0	219.65
01/23/2017	HEINAMAN, ROBERT	SAWTOOTH	0.00	429.25	0.00	429.25	92.0	394.91
01/23/2017	HEISMAN, S ELAINE	SAWTOOTH	0.00	100.96	0.00	100.96	92.0	92.88
01/23/2017	HELMINIAK, CAROLYN	SAWTOOTH	0.00	199.33	0.00	199.33	92.0	183.38
01/23/2017	HOLLOWAY, LINDA	SAWTOOTH	0.00	612.86	0.00	612.86	92.0	563.83
01/23/2017	IRWIN REV TRUST, JOHN & JOYCE	SAWTOOTH	0.00	977.63	0.00	977.63	92.0	899.42
01/23/2017	ISAACSON, ROBERT	SAWTOOTH	0.00	63.29	0.00	63.29	92.0	58.23
01/23/2017	KONZ, ELAINE	SAWTOOTH	0.00	250.91	0.00	250.91	92.0	230.84
01/23/2017	KOZLOWSKI, JAMES	SAWTOOTH	0.00	291.34	0.00	291.34	92.0	268.03
01/23/2017	KRALL, JERRY	SAWTOOTH	0.00	493.12	0.00	493.12	92.0	453.67
01/23/2017	LARSON, PENNY	SAWTOOTH	0.00	109.12	0.00	109.12	92.0	100.39
01/23/2017	LONG, PHYLLIS	SAWTOOTH	0.00	66.22	0.00	66.22	92.0	60.92

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/23/2017	MOLNAR, JOSEPH	SAWTOOTH	0.00	125.43	0.00	125.43	92.0	115.40
01/23/2017	MOORE, GARY	SAWTOOTH	0.00	305.10	0.00	305.10	92.0	280.69
01/23/2017	MOORE, GARY	SAWTOOTH	0.00	69.73	0.00	69.73	92.0	64.15
01/23/2017	MOORE, LINDA	SAWTOOTH	0.00	438.09	0.00	438.09	92.0	403.04
01/23/2017	MOSER, HEATHER	SAWTOOTH	0.00	112.67	0.00	112.67	92.0	103.66
01/23/2017	SANDERSON, STEPHEN	SAWTOOTH	0.00	219.20	0.00	219.20	92.0	201.66
01/23/2017	SCHNEE, LEO	SAWTOOTH	0.00	205.53	0.00	205.53	92.0	189.09
01/23/2017	SHOEMAKER, MARY BETH	SAWTOOTH	0.00	231.45	0.00	231.45	92.0	212.93
01/23/2017	SILLIMAN, JEFFREY	SAWTOOTH	0.00	58.28	0.00	58.28	92.0	53.62
01/23/2017	SMITH, SUZANNE	SAWTOOTH	0.00	129.28	0.00	129.28	92.0	118.94
01/23/2017	STEDCKE III, CLYDE	SAWTOOTH	0.00	439.28	0.00	439.28	92.0	404.14
01/23/2017	STOUT, DAVID	SAWTOOTH	0.00	381.68	0.00	381.68	92.0	351.15
01/23/2017	WAGONER JR, JOHN	SAWTOOTH	0.00	241.95	0.00	241.95	92.0	222.59
01/23/2017	WAGONER JR, JOHN	SAWTOOTH	0.00	180.05	0.00	180.05	92.0	165.65
01/23/2017	WASSERMAN, FRANK	SAWTOOTH	0.00	110.97	0.00	110.97	92.0	102.09
01/23/2017	WASSERMAN, RICHARD	SAWTOOTH	0.00	86.81	0.00	86.81	92.0	79.87
01/23/2017	WOODRUFF, MARK	SAWTOOTH	0.00	63.93	0.00	63.93	92.0	58.82
01/23/2017	ZINK, ROBERT	SAWTOOTH	0.00	580.08	0.00	580.08	92.0	533.67
* RIA SUBTOTAL *			\$582,858.11	\$15,661.26	\$0.00	\$15,661.26		\$14,408.39
*** BROKER TRANSACTIONS TOTAL ***			\$582,858.11	\$15,661.26	\$0.00	\$15,661.26		\$14,408.39

VERRIDE TRANSACTIONS**BROKER: THOMAS HALSEY #82**

01/23/2017	BAKER, ELAINE	SAWTOOTH	0.00	242.43	0.00	242.43	85.0	16.97
* THOMAS HALSEY SUBTOTAL *			\$0.00	\$242.43	\$0.00	\$242.43		\$16.97

BROKER: ROBERT THOMPSON #83

01/19/2017	GRIM, SUSAN	ASSETMARK	59,504.04	146.68	0.00	146.68	85.0	2.93
01/19/2017	FISH, SANDRA	ASSETMARK	40,884.04	100.78	0.00	100.78	85.0	2.02
01/19/2017	RICE, GREGG	ASSETMARK	51,535.73	127.04	0.00	127.04	85.0	2.54
01/19/2017	SCHWEINHAGEN, RITA	ASSETMARK	39,970.94	98.53	0.00	98.53	85.0	1.97
01/25/2017	SHAFFER, EMILY	ICON ADVISORS	104,905.54	52.46	0.00	52.46	85.0	1.05
01/23/2017	FERRELL, PAUL	SAWTOOTH	0.00	104.37	0.00	104.37	85.0	2.09

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT THOMPSON #83 </u>								
01/23/2017	FISH, SANDRA	SAWTOOTH	0.00	192.08	0.00	192.08	85.0	3.84
01/23/2017	FLECK, GERALD	SAWTOOTH	0.00	267.21	0.00	267.21	85.0	5.34
01/23/2017	FURNEY, DANNY	SAWTOOTH	0.00	221.05	0.00	221.05	85.0	4.42
01/23/2017	GAJEWSKI, WILLADEANE	SAWTOOTH	0.00	94.39	0.00	94.39	85.0	1.89
01/23/2017	HILEMAN, RONALD	SAWTOOTH	0.00	231.77	0.00	231.77	85.0	4.64
01/23/2017	JACOB, MARILYN	SAWTOOTH	0.00	124.00	0.00	124.00	85.0	2.48
01/23/2017	LABERDEE, JOYCE	SAWTOOTH	0.00	60.23	0.00	60.23	85.0	1.20
01/23/2017	MAXFIELD, RICHARD	SAWTOOTH	0.00	129.29	0.00	129.29	85.0	2.59
01/23/2017	MICHALSKI, GERALDINE	SAWTOOTH	0.00	866.74	0.00	866.74	85.0	17.33
01/23/2017	OSTER, JENNIFER	SAWTOOTH	0.00	47.93	0.00	47.93	85.0	0.96
01/23/2017	RICE, SUSAN	SAWTOOTH	0.00	106.63	0.00	106.63	85.0	2.13
01/23/2017	RICE, PAMELA	SAWTOOTH	0.00	62.11	0.00	62.11	85.0	1.24
01/23/2017	RICE SR, RUSSELL	SAWTOOTH	0.00	155.21	0.00	155.21	85.0	3.10
01/23/2017	RINGGER, MARK	SAWTOOTH	0.00	162.78	0.00	162.78	85.0	3.26
01/23/2017	RUTLEDGE, JOANNE	SAWTOOTH	0.00	119.68	0.00	119.68	85.0	2.39
01/23/2017	SEITZINGER, MICHELE	SAWTOOTH	0.00	59.58	0.00	59.58	85.0	1.19
01/23/2017	SEXTON TRUST, MARY LOU	SAWTOOTH	0.00	151.06	0.00	151.06	85.0	3.02
* ROBERT THOMPSON SUBTOTAL *			\$296,800.29	\$3,681.60	\$0.00	\$3,681.60		\$73.62
*** OVERRIDE TRANSACTIONS TOTAL ***			\$296,800.29	\$3,924.03	\$0.00	\$3,924.03		\$90.59
<u> ADJUSTMENTS </u>								
01/31/2017		*MANAGED FEE EXPENSE: ASSETMARK QRT	0.00					-125.00
01/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-18.75
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-143.75

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BROKER COMMISSION TOTALS

Broker Transactions	\$14,408.39
Split Transactions	\$0.00
Override Transactions	\$90.59
Adjustments	\$-143.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,356.33
Year-to-date Gross	\$15,661.26
Year-to-date Earnings	\$14,498.98