

COMMISSION STATEMENT
May 24, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/24/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-375.00	0.00	-375.00	-137.06
05/24/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	651.95	0.00	651.95	238.29
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$276.95</u>	<u>\$0.00</u>	<u>\$276.95</u>	<u>\$101.23</u>

PRODUCT CATEGORY: RIA

05/24/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	5,363.91	0.00	5,363.91	1,960.51
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$5,363.91</u>	<u>\$0.00</u>	<u>\$5,363.91</u>	<u>\$1,960.51</u>

PRODUCT CATEGORY: TRAILERS

05/24/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	6,981.20	0.00	6,981.20	2,551.63
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$6,981.20</u>	<u>\$0.00</u>	<u>\$6,981.20</u>	<u>\$2,551.63</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$12,622.06</u>	<u>\$0.00</u>	<u>\$12,622.06</u>	<u>\$4,613.37</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

05/24/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	144.35	0.00	144.35	19.42
05/24/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	7,776.67	0.00	7,776.67	1,045.96
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$7,921.02</u>	<u>\$0.00</u>	<u>\$7,921.02</u>	<u>\$1,065.38</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$7,921.02</u>	<u>\$0.00</u>	<u>\$7,921.02</u>	<u>\$1,065.38</u>

ADJUSTMENTS

16. SAI SIPC PE 5/18/18							-52.76
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-52.76</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$4,613.37
							Split Transactions \$0.00
							Override Transactions \$1,065.38
							Adjustments \$-52.76
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$5,625.99</u>
							<u>Year-to-date Earnings \$202,333.27</u>