

COMMISSION STATEMENT**October 31, 2017**

ROBERT STRAVINSKI
11384 HIGHBROOK COURT

BROKER #: 58
BRANCH: HOME OFFICE

POTOMAC FALLS, VA 20165

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

10/31/2017 (None)	VARIOUS, STRAVINSKI	INVESCO AMERICAN FRANCHISE FUND CLA B		0.00	21.37	0.00	21.37	80.0	17.10
10/10/2017 00061056926	LORENZEN, CAROLYN	EUROPACIFIC GROWTH FUND-A	B	50.00	1.00	0.00	1.00	80.0	0.80
10/10/2017 00061056926	LORENZEN, CAROLYN	NEW PERSPECTIVE FUND-A	B	50.00	1.00	0.00	1.00	80.0	0.80
10/10/2017 00061056926	LORENZEN, CAROLYN	THE INVESTMENT COMPANY OF AMERICA-A B	B	50.00	1.00	0.00	1.00	80.0	0.80
10/02/2017 00075318858	MARSHALL, KADEN	AMCAP FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/02/2017 00075318858	MARSHALL, KADEN	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/02/2017 00075318858	MARSHALL, KADEN	EUROPACIFIC GROWTH FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/02/2017 00082140659	MARSHALL, KADEN	AMCAP FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/02/2017 00082140659	MARSHALL, KADEN	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/02/2017 00082140659	MARSHALL, KADEN	EUROPACIFIC GROWTH FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
09/25/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.26
09/25/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.21
09/25/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	B	3.90	0.11	0.00	0.11	80.0	0.09
09/25/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	B	3.20	0.09	0.00	0.09	80.0	0.07
09/25/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	B	1.95	0.04	0.00	0.04	80.0	0.03
09/25/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	B	1.60	0.03	0.00	0.03	80.0	0.02
09/25/2017 00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.26
09/25/2017 00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.21
09/25/2017 00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	B	3.90	0.11	0.00	0.11	80.0	0.09
09/25/2017 00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	B	3.20	0.09	0.00	0.09	80.0	0.07
09/25/2017 00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.09
09/25/2017 00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.07
10/02/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.26
10/02/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.21
10/02/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	B	3.90	0.11	0.00	0.11	80.0	0.09
10/02/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	B	3.20	0.09	0.00	0.09	80.0	0.07
10/02/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	B	1.95	0.04	0.00	0.04	80.0	0.03
10/02/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	B	1.60	0.03	0.00	0.03	80.0	0.02
10/02/2017 00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.26
10/02/2017 00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.21
10/02/2017 00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	B	3.90	0.11	0.00	0.11	80.0	0.09

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/02/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.07
10/02/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/02/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09	80.0	0.07
10/10/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A B	11.70	0.32	0.00	0.32	80.0	0.26
10/10/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A B	9.61	0.26	0.00	0.26	80.0	0.21
10/10/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.09
10/10/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.07
10/10/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.03
10/10/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.02
10/10/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32	80.0	0.26
10/10/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26	80.0	0.21
10/10/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/10/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.07
10/10/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/10/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09	80.0	0.07
10/23/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A B	11.70	0.32	0.00	0.32	80.0	0.26
10/23/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A B	9.61	0.26	0.00	0.26	80.0	0.21
10/23/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.09
10/23/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.07
10/23/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.03
10/23/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.02
10/23/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32	80.0	0.26
10/23/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26	80.0	0.21
10/23/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/23/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.07
10/23/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/23/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09	80.0	0.07
10/26/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A B	11.70	0.32	0.00	0.32	80.0	0.26
10/26/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A B	9.61	0.26	0.00	0.26	80.0	0.21
10/26/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.09
10/26/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.07
10/26/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.03
10/26/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.02
10/26/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32	80.0	0.26
10/26/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26	80.0	0.21
10/26/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/26/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.07
10/26/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11	80.0	0.09
10/26/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09	80.0	0.07
09/25/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A B	6.00	0.17	0.00	0.17	80.0	0.14

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
09/25/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.14
09/25/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
09/25/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.14
09/25/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
09/25/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
09/25/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
09/25/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
09/25/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
09/25/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.18
09/25/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
09/25/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.10
10/02/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/02/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/02/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/02/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/02/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/02/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/02/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/02/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/02/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
10/02/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.18
10/02/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
10/02/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.10
10/10/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/10/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/10/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/10/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/10/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/10/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/10/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/10/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/10/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
10/10/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.18
10/10/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
10/10/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.10
10/23/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/23/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/23/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/23/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/23/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/23/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/23/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/23/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/23/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
10/23/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.18
10/23/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
10/23/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.10
10/26/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/26/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/26/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.14
10/26/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.14
10/26/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/26/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/26/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.06
10/26/2017	00082994053	SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.07
10/26/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.17
10/26/2017	00082994053	SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.18
10/26/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.10
10/26/2017	00082994053	SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.10
10/20/2017	00085961816	MARSHALL, MYRA	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/20/2017	00085961816	MARSHALL, MYRA	NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
10/20/2017	00085961816	MARSHALL, MYRA	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	80.0	1.50
09/25/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
09/25/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
09/25/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
09/25/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
09/25/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
09/25/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
09/25/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
09/25/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
09/25/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
09/25/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
09/25/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
09/25/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
09/25/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
09/25/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/02/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/02/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/02/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
10/02/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/02/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/02/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/02/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
10/02/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/02/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
10/02/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
10/02/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/02/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/02/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
10/02/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/10/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/10/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/10/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
10/10/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
10/10/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/10/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/10/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
10/10/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/10/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
10/10/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
10/10/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/10/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/10/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
10/10/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/23/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/23/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/23/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.09
10/23/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.06
10/23/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/23/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/23/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.14
10/23/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/23/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.26
10/23/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.18
10/23/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/23/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.06
10/23/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.14
10/23/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.09
10/26/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	5.44	0.15	0.00	0.15	80.0	0.12
10/26/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	3.62	0.10	0.00	0.10	80.0	0.08

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/26/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	5.44	0.15	0.00	0.15	80.0	0.12
10/26/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	3.64	0.10	0.00	0.10	80.0	0.08
10/26/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	5.44	0.15	0.00	0.15	80.0	0.12
10/26/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	3.62	0.10	0.00	0.10	80.0	0.08
10/26/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	8.15	0.22	0.00	0.22	80.0	0.18
10/26/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	5.43	0.15	0.00	0.15	80.0	0.12
10/26/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	16.29	0.45	0.00	0.45	80.0	0.36
10/26/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	10.87	0.30	0.00	0.30	80.0	0.24
10/26/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	5.44	0.15	0.00	0.15	80.0	0.12
10/26/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	3.62	0.10	0.00	0.10	80.0	0.08
10/26/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	8.15	0.22	0.00	0.22	80.0	0.18
10/26/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	5.43	0.15	0.00	0.15	80.0	0.12
09/25/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
09/25/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.49
10/02/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
10/02/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.49
10/10/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
10/10/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.49
10/23/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
10/23/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.41
10/26/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.55
10/26/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.90	0.71	0.00	0.71	80.0	0.57
10/03/2017	04000164266	STEPHENSON, RYAN	AMERICAN FUNDS 2045 TARGET DATE-A	B	280.00	11.90	0.00	11.90	80.0	9.52
* MUTUAL FUNDS SUBTOTAL *					\$2,124.13	\$87.25	\$0.00	\$87.25		\$69.92
PRODUCT CATEGORY: VARIABLE ANNUITIES										
10/23/2017	(None)	VARIOUS, STRAVINSKI	ADP	B	0.00	925.96	0.00	925.96	80.0	740.77
10/23/2017	(None)	VARIOUS, STRAVINSKI	GREAT WEST LIFE	B	0.00	1,975.38	0.00	1,975.38	80.0	1,580.30
10/23/2017	(None)	VARIOUS, STRAVINSKI	INVESCO	B	0.00	1.12	0.00	1.12	80.0	0.90
10/23/2017	(None)	VARIOUS, STRAVINSKI	JANUS	B	0.00	185.41	0.00	185.41	80.0	148.33
10/23/2017	(None)	VARIOUS, STRAVINSKI	JOHN HANCOCK	B	0.00	7.70	0.00	7.70	80.0	6.16
10/23/2017	(None)	VARIOUS, STRAVINSKI	PRINCIPAL FINANCIAL GROUP	B	0.00	2,821.97	0.00	2,821.97	80.0	2,257.58
10/23/2017	(None)	VARIOUS, STRAVINSKI	PRINCIPAL FINANCIAL GROUP	B	0.00	1,660.82	0.00	1,660.82	80.0	1,328.66
10/23/2017	(None)	VARIOUS, STRAVINSKI	T ROWE	B	0.00	21.10	0.00	21.10	80.0	16.88
10/23/2017	(None)	VARIOUS, STRAVINSKI	T ROWE	B	0.00	6.42	0.00	6.42	80.0	5.14
10/23/2017	(None)	VARIOUS, STRAVINSKI	V	B	0.00	7.72	0.00	7.72	80.0	6.18
10/23/2017	(None)	VARIOUS, STRAVINSKI	VOYA	B	0.00	133.92	0.00	133.92	80.0	107.14
10/24/2017	(None)	VARIOUS, STRAVINSKI	FIDELITY	B	0.00	635.88	0.00	635.88	80.0	508.70
10/24/2017	(None)	VARIOUS, STRAVINSKI	FIDELITY	B	0.00	314.19	0.00	314.19	80.0	251.35
10/24/2017	(None)	VARIOUS, STRAVINSKI	TRANSAMERICA	B	0.00	223.55	0.00	223.55	80.0	178.84

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/31/2017 (None)	VARIOUS, STRAVINSKI	BLACKROCK	B	0.00	516.84	0.00	516.84	80.0	413.47
10/23/2017 8280199320	VARIOUS, STRAVINSKI	OPPHEIMER	B	0.00	83.05	0.00	83.05	80.0	66.44
10/23/2017 8280199320	VARIOUS, STRAVINSKI	OPPHEIMER	B	0.00	195.50	0.00	195.50	80.0	156.40
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$9,716.53	\$0.00	\$9,716.53		\$7,773.24
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
10/24/2017	VARIOUS, STRAVINSKI	PIMCO	B	15.24	15.24	0.00	15.24	80.0	12.19
* MISCELLANEOUS SUBTOTAL *				\$15.24	\$15.24	\$0.00	\$15.24		\$12.19
*** BROKER TRANSACTIONS TOTAL ***				\$2,139.37	\$9,819.02	\$0.00	\$9,819.02		\$7,855.35

ADJUSTMENTS

10/31/2017	ADVANCE: ADVANCE		0.00	0.00	0.00	0.00	-7,604.38
10/31/2017	E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00	-200.00
10/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00	-20.00
10/31/2017	OTHER: OTHER-VIRTRU		0.00	0.00	0.00	0.00	-7.00
10/31/2017	OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00	-5.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-7,836.38

BROKER COMMISSION TOTALS

Broker Transactions	\$7,855.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7,836.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.25
SIPC Assessment	\$-24.51

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-17.79
Year-to-date Earnings	\$19,540.48