



COMMISSION STATEMENT

March 05, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/28/2018	***-**-9806 - KERINS, MARILYN	GENWORTH 37250G327	0.00	11.26	0.00	11.26	90.0	10.13
	ACCOUNT #: T06433169	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.26	\$0.00	\$11.26		\$10.13
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$11.26	\$0.00	\$11.26		\$10.13

VERRIDE TRANSACTIONS

BROKER: CHRISTOPHER MILGROOM

03/01/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.73	0.00	1.73	15.0	0.26
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
03/01/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.09	0.00	4.09	15.0	0.61
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
03/01/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.12	0.00	0.12	15.0	0.02
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
03/01/2018	***-**-844P - COLIN CAMPBELL	BLACKROCK TRAILS	0.00	0.33	0.00	0.33	15.0	0.05
	ACCOUNT #: 40959967	BUY 0 Units @0.0000						
03/05/2018	***-**-9109 - PASCH, ROBERT	BLACKROCK TRAILS	0.00	1.73	0.00	1.73	15.0	0.26
	ACCOUNT #: 51554475	BUY 0 Units @0.0000						
03/05/2018	***-**-80-P - PALM BEACH	BLACKROCK TRAILS	0.00	4.09	0.00	4.09	15.0	0.61
	ACCOUNT #: 51556504	BUY 0 Units @0.0000						
03/05/2018	***-**-2111 - SPECTRUM TOURS	BLACKROCK TRAILS	0.00	0.12	0.00	0.12	15.0	0.02
	ACCOUNT #: 52067550	BUY 0 Units @0.0000						
03/05/2018	***-**-9375 - KATZ, ADAM J	BLACKROCK TRAILS	0.00	0.26	0.00	0.26	15.0	0.04
	ACCOUNT #: 5290566834	BUY 0 Units @0.0000						
02/20/2018	***-**-461I - CAMPBELL, COLIN	CAPITAL WORLD GROWTH AND INCOME FUN	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @54.4200						
02/20/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	180.00	4.95	0.00	4.95	15.0	0.74
	ACCOUNT #: 00084984880	BUY 3 Units @59.7800						
02/24/2018	***-**-9807 - IONESCU, EMANUEL	ING ADVANTAGE SELECT	0.00	3.33	0.00	3.33	15.0	0.50
	ACCOUNT #: R080009-ZS	BUY 0 Units @0.0000						
02/26/2018	***-**-2107 - FIGUERAS, DAURIS	ING ADVANTAGE SELECT	5,500.00	55.00	0.00	55.00	15.0	8.25
	ACCOUNT #: R077170-ZS	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
02/27/2018	***-**-6062 - FIGUERAS, ACCOUNT #: R077163-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	11.29	0.00	11.29	15.0	1.69
02/27/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: R077170-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	26.95	0.00	26.95	15.0	4.04
03/01/2018	***-**-67CL - MILGROOM, ACCOUNT #: R074824-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	450.00	4.50	0.00	4.50	15.0	0.68
02/13/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000494	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 10 Units @12.7700	126.82	0.95	0.00	0.95	15.0	0.14
02/21/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000351	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 4 Units @12.7800	48.00	0.36	0.00	0.36	15.0	0.05
02/28/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 8069017	JOHN HANCOCK 41014C325 BUY 0 Units @0.0000	0.00	179.86	0.00	179.86	15.0	26.98
02/20/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.9800	180.00	4.95	0.00	4.95	15.0	0.74
* CHRISTOPHER MILGROOM SUBTOTAL *			\$6,664.82	\$309.56	\$0.00	\$309.56		\$46.42
*** OVERRIDE TRANSACTIONS TOTAL ***			\$6,664.82	\$309.56	\$0.00	\$309.56		\$46.42



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BROKER COMMISSION TOTALS

Broker Transactions	\$10.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$4,603.75