BROKER

Page No. 1

R.F. Lafferty & Co., Inc.

## **COMMISSION STATEMENT**

**January 31, 2018** 

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

## BROKER #: 11

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

## COMMISSION STATEMENT for CHARLES WINNICK

INVESTMENT

01/03/2018 QGV006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 271,382.61 415.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 1,042,599.92 95.00 0.00 95.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0	TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: STOCKS  01/19/2018 75501345 WINNICK, HILLARY TER REIT INC COM B 39,076.20 20.00 0.00 20.00 55.0 10/19/2018 75501345 WINNICK, JOLEY TER REIT INC COM B 39,052.00 20.00 0.00 20.00 55.0 10/19/2018 75501345 WINNICK, JOLEY TER REIT INC COM B 39,052.00 100.00 0.00 100.00 55.0 10/16/2018 050006075 PARTNERS, F/A/O: VERA BRADLEY INC COM S -36,900.00 80.00 0.00 80.00 55.0 10/16/2018 050006075 PARTNERS, F/A/O: VERA BRADLEY INC COM S -36,900.00 80.00 0.00 80.00 55.0 10/16/2018 050006075 PARTNERS, F/A/O: GREENHILL & CO INC COM S -36,900.00 80.00 0.00 80.00 55.0 10/16/2018 050006430 JPMORGAN CLEARING ENTERT BANCORF INC COM B 1,002,509.00 0.00 95.00 55.0 10/12/2018 050006430 JPMORGAN CLEARING ENTERT PAGE DISTANCE PAGE PAGE PAGE PAGE PAGE PAGE PAGE PAG			RP	OKER TRANS	ACTIONS					
01/19/2018 75501342 WINNICK, HILLARY TIER REIT INC COM B 39,076.20 20.00 0.00 20.00 55.0 01/19/2018 75501345 WINNICK, JORLY TIER RRIT INC COM B 39,052.00 20.00 0.00 20.00 55.0 01/19/2018 020006075 PARTHERS, F/A/O: VERA BRADLEY INC COM S -27,002.00 100.00 0.00 100.00 55.0 01/16/2018 QGV006075 PARTHERS, F/A/O: GREENHILL & CO INC COM S -36,900.00 80.00 0.00 480.00 55.0 01/13/2018 CGV006430 JPROMEGAN CLEARING THIUMHH BANCORP INC COM B 271,382.61 415.00 0.00 415.00 55.0 01/12/2018 QGV006430 JPROMEGAN CLEARING CLEARING GCMTUREYPEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006430 JPROMEGAN CLEARING CRITURYPEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GASCOWN COME B 1,042,599.92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 1,042,599.92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 1,042,599.92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 455.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 455.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 50.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 455.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 50.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 50.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 50.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL INC B 593,868.55 1,705.00 0.00 50.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY GENTURYPEL GENTURY GEN			<u> BR</u>	OKEK TRANS	ACTIOND					
0.1/9/2018   0.50006075   0.00   0.	PRODUCT CA	TEGORY: STOCKS								
01/09/2018 QGV006075 PARTNERS, F/A/O: VERA BRADLEY INC COM S -36,900.00 80.00 0.00 80.00 55.0 01/16/2018 QGV006430 JPMORGAN CLEARING REMEMILL & CO INC COM S -36,900.00 80.00 0.00 480.00 55.0 01/12/2018 QGV006430 JPMORGAN CLEARING BLACKROCK INC B 1,042,599.92 95.00 0.00 45.00 55.0 01/12/2018 QGV006430 JPMORGAN CLEARING BLACKROCK INC B 1,042,599.92 95.00 0.00 95.00 55.0 01/12/2018 QGV006430 JPMORGAN CLEARING CENTITATEL INC B 593,686.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006430 JPMORGAN CLEARING CENTITATEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 1,042,599.92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 1,042,599.92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIMETY GLIFTELD SVCS INC CL A COM S 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIMETY GLIFTELD SVCS INC CL A COM S 593,868.55 1,705.00 0.00 50.00 55.00 01/29/2018 QGV006448 MORGAN STANLEY LIMETY GLIFTELD SVCS INC CL A COM S 593,868.55 1,705.00 0.00 50.00 55.00 01/29/2018 QGV006448 MORGAN STANLEY LIMETY GLIFTELD SVCS INC CL A COM S 593,868.55 1,705.00 0.00 50.00 55.00 01/29/2018 QGV006448 MORGAN STANLEY LIMETY GLIFTELD SVCS INC CL A COM S 593,868.55 1,705.00 0.00 50.00 55.00 01/29/2018 QGV006448 MORGAN STANLEY LIMETY GLIFTELD SVCS INC CL A COM S 594,518.00 55.00 0.00 50.00 55.00 01/29/2018 QGW031106 TINYAN, PHILIP COASTAL BKG CO INC COM S 594,518.00 49.34 0.00 49.34 55.0 01/29/2018 QGW031106 TINYAN, PHILIP COASTAL BKG CO INC COM S 694,518.00 55.00 0.00 55.00 55.00 01/29/2018 QGW031106 TINYAN, PHILIP GLEN BUNGLE BANCORP INC CMT-COM S 694,518.00 55.00 0.00 55.00 55.00 01/29/2018 QGW031106 TINYAN, PHILIP GLEN BUNGLE BANCORP INC CMT-COM S 794,518.00 55.00 0.00 55.00 55.00 01/29/2018 QGW031106 TINYAN, PHILIP GLEN BUNGLE BANCORP INC CMT-COM S 795,00 0.00 0.00 55.00 55.00 01/29/2018 QGW031106 TINYAN, PHILIP GLEN BUNGLE B	01/19/2018 7	5501342 WINNICK, HIL	LARY TIER REIT INC COM	В	39,076.20	20.00	0.00	20.00	55.0	0.00
01/16/2018 GQV006475 PARTNERS, F/A/O: GREENHILL & CO INC COM S -36,900.00 80.00 0.00 80.00 55.0 01/03/2018 GQV006430 JPMORGAN CLEARING THIUMPH BANCORP INC COM B 271,882.61 415.00 0.00 415.00 55.0 01/12/2018 GQV006430 JPMORGAN CLEARING ELGKROCK INC B 1,042,599,92 95.00 0.00 95.00 55.0 01/12/2018 GQV006430 JPMORGAN CLEARING PAGSEGURO DIGITAL LTD REGISTERED SHS -2,821,290.00 5,000.00 0.00 1,705.00 55.0 01/03/2018 GQV0064430 JPMORGAN CLEARING PAGSEGURO DIGITAL LTD REGISTERED SHS -2,821,290.00 5,000.00 0.00 415.00 55.0 01/12/2018 GQV006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 1,042,599,92 95.00 0.00 95.00 0.00 95.00 05.00 01/12/2018 GQV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 95.00 55.0 01/12/2018 GQV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 GQV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 0.00 1,705.00 55.0 01/12/2018 GQV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	01/19/2018 7	5501345 WINNICK, JOE	LY TIER REIT INC COM	В	39,052.00	20.00	0.00	20.00	55.0	0.00
01/03/2018 QSV0006430 JPMORGAN CLEARING TRIUMPH BANCORP INC COM B 1,042,599,92 95.00 0.00 415.00 55.0 01/12/2018 QSV0006430 JPMORGAN CLEARING CENTURYTEL INC B 593,868.55 1,705.00 0.00 95.00 55.0 01/22/2018 QSV0006430 JPMORGAN CLEARING PAGSEGURO DIGITAL LITD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 2 01/03/2018 QSV0006430 JPMORGAN CLEARING PAGSEGURO DIGITAL LITD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 2 01/03/2018 QSV0006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 71,042,599.92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/04/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/04/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LITD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-CMS -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-CMS -3,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMT CMS -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMM S -8,970.00 14.07 0.00 15.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMM S -8,970.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMM S -9,94,518.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMM S -9,94,518.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMM S -9,94,518.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORE BANCORP INC CMM S -9,94,518.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 T	01/09/2018 Q	GV006075 PARTNERS, F	/A/O: VERA BRADLEY INC COM	S	-27,802.00	100.00	0.00	100.00	55.0	55.00
01/12/2018 GV0006430 JPMORGAN CLEARING CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/29/2018 GV0006430 JPMORGAN CLEARING PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/29/2018 GV0006448 MORGAN STANLEY TRIUMEH BANCORP INC COM B 701,12/2018 GV0006448 MORGAN STANLEY BLACKROCK INC B 1,042,599.92 95.00 0.00 95.00 55.0 01/12/2018 GV0006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 GV0006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 GV0006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0 01/12/2018 GV0006448 MORGAN STANLEY PAGSECURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/12/2018 GV0006448 MORGAN STANLEY PAGSECURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/12/2018 GW0031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP GLEN BURNIE BANCORP INC CMT-COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP GLEN BURNIE BANCORP INC CMT-COM S -8,121.00 51.00 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP GLEN BURNIE BANCORP INC CMT-COM S -8,121.00 51.00 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP HORIZON BANCORP INC CMT-COM S -8,121.00 51.00 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP HORIZON BANCORP INC COM S -8,97,000 14.07 0.00 14.07 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP HORIZON BANCORP INC COM S -8,97,000 14.07 0.00 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP HORIZON BANCORP INC COM S -5,904.84 51.00 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP SUMMIT ST BE SANTA ROSA CALIF COM B 9,174 0.01 0.07 0.00 51.00 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP SUMMIT ST BE SANTA ROSA CALIF COM B 9,174 0.01 0.07 55.0 01/04/2018 GW0031106 TIMYAN, PHILIP SUMMIT ST BE SANTA ROSA CALIF COM B 9,174 0.00 0.00 51.00 55.0 01/05/2018 GW0031106 TIMYAN, PHILIP SUMMIT ST BE SANTA ROSA CALIF COM B 9,174 0	01/16/2018 Q	GV006075 PARTNERS, F	/A/O: GREENHILL & CO INC COM	S	-36,900.00	80.00	0.00	80.00	55.0	44.00
01/12/2018 QGV006430 JPMORGAN CLEARING CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/29/2018 QGV006430 JPMORGAN CLEARING PAGSEGURO DIGITAL LTD REGISTERED SIS -2,821,290.00 5,000.00 0.00 5,000.00 55.0 2 01/03/2018 QGV006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 271,382.61 415.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 1,042,599.92 95.00 0.00 95.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0 01/12/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLBEROOK BANCORP INC CMT-COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 55.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 55.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM S -5,90,48.43 51.00 0.00 55.0 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -5,90,48.43 51.00 0.00 55.0 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -5,90,48.43 51.00 0.00 55.0 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 9,170,00 0.00 55.0 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 9,170,00 0.00 55.0 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 9,170,00 0.00 55.0 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92	01/03/2018 Q	QGV006430 JPMORGAN CL	EARING TRIUMPH BANCORP INC COM	В	271,382.61	415.00	0.00	415.00	55.0	228.25
01/29/2018 QGV006430 JPMORGAN CLEARING PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 2 01/03/2018 QGV006448 MORGAN STANLEY TRIUMPH BANCORP INC COM B 271,382.61 415.00 0.00 415.00 55.0 0 01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 1,042,599.92 95.00 0.00 95.00 55.0 0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OLIFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0 0 01/29/2018 QGV006448 MORGAN STANLEY LIBERTY OLIFIELD SVCS INC CL A COM S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 0 01/29/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 51.00 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM S -67,322.00 50.00 0.00 51.00 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 51.00 55.0 0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 92,732.65 51.00 0.00 51.00 55.0 0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 51.00 0.00 51.00 55.0	01/12/2018 Q	QGV006430 JPMORGAN CL	EARING BLACKROCK INC	В	1,042,599.92	95.00	0.00	95.00	55.0	52.25
01/03/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 1,042,599,92 95.00 0.00 415.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 1,042,599,92 95.00 0.00 95.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 5,0868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 5,0868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 60.00 0.00 60.00 55.0 01/29/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP INC COM S -6,7322.00 50.00 0.00 55.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 55.0 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREFT BANCSHARRS INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 9,170 0.17 0.00 0.17 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 42,500.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/16/2018 QGW031106 TIMY	01/12/2018 Q	QGV006430 JPMORGAN CL	EARING CENTURYTEL INC	В	593,868.55	1,705.00	0.00	1,705.00	55.0	937.75
01/12/2018 QGV006448 MORGAN STANLEY BLACKROCK INC B 1,042,599.92 95.00 0.00 95.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0 01/29/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LTD REGISTERED SHS -2,821,290.00 5,000.00 0.00 5,000.00 55.0 2 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -65,00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.01 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 50.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/10/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 51.00 0.00 51.00 55.0 01/10/23/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 51.00 0.00 51.00 55.0	01/29/2018 Q	QGV006430 JPMORGAN CL	EARING PAGSEGURO DIGITAL LTD REG	ISTERED SH S	-2,821,290.00	5,000.00	0.00	5,000.00	55.0	2,750.00
01/12/2018 QGV006448 MORGAN STANLEY CENTURYTEL INC B 593,868.55 1,705.00 0.00 1,705.00 55.0 01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 60.00 55.0 01/29/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 55.00 0.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 50.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/105/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.	01/03/2018 Q	QGV006448 MORGAN STAN	LEY TRIUMPH BANCORP INC COM	В	271,382.61	415.00	0.00	415.00	55.0	228.25
01/12/2018 QGV006448 MORGAN STANLEY LIBERTY OILFIELD SVCS INC CL A COM S -21,200.00 60.00 0.00 50.00 55.0 01/29/2018 QGV006448 MORGAN STANLEY PAGSEGURO DIGITAL LTD REGISTERED SH S -2,821,290.00 5,000.00 0.00 5,000.00 55.0 2 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -67,322.00 50.00 0.00 50.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 50.00 50.00 01/04/2018 QGW031106 TIMYAN, PHILIP MORIZON BANCORP-IND S -59,048.43 51.00 0.00 50.00 50.00 50.00 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMMTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 51.00 55.0 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S	01/12/2018 Q	QGV006448 MORGAN STAN	LEY BLACKROCK INC	В	1,042,599.92	95.00	0.00	95.00	55.0	52.25
01/29/2018 QGW0031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COMS -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031167 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031167 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031167 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031167 KOPIN, J	01/12/2018 Q	QGV006448 MORGAN STAN	LEY CENTURYTEL INC	В	593,868.55	1,705.00	0.00	1,705.00	55.0	937.75
01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -94,518.00 49.34 0.00 49.34 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 26,108.30 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 22,732.65 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TESFINL CORP COM B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TESFINL CORP COM B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TESFINL CORP COM B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TESFINL CORP COM B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TESFINL CORP COM B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031106 TIMYAN, PHILIP TESFINL CORP COM B 7,425.00 51.00 0.00 51	01/12/2018 Q	QGV006448 MORGAN STAN	LEY LIBERTY OILFIELD SVCS INC	CL A COM S	-21,200.00	60.00	0.00	60.00	55.0	33.00
01/04/2018 QGW031106 TIMYAN, PHILIP COASTAL BKG CO INC COM S -3,142.50 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP FIRST COLEBROOK BANCORP INC CMT-COMS -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CUNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/123/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.0	01/29/2018 Q	QGV006448 MORGAN STAN	LEY PAGSEGURO DIGITAL LTD REG	SISTERED SH S	-2,821,290.00	5,000.00	0.00	5,000.00	55.0	2,750.00
01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP INC CMT-COM S -43,950.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP COASTAL BKG CO INC COM	S	-94,518.00	49.34	0.00	49.34	55.0	27.14
01/04/2018 QGW031106 TIMYAN, PHILIP GLEN BURNIE BANCORP (GLEN BURNIE, M B 28,121.00 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW03117 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW03117 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW03117 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW03117 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP COASTAL BKG CO INC COM	S	-3,142.50	51.00	0.00	51.00	55.0	28.05
01/04/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -8,970.00 14.07 0.00 14.07 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHOR	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP FIRST COLEBROOK BANCORP I	NC CMT-COM S	-43,950.00	51.00	0.00	51.00	55.0	28.05
01/04/2018 QGW031106 TIMYAN, PHILIP HORIZON BANCORP-IND S -67,322.00 50.00 0.00 50.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP GLEN BURNIE BANCORP (GLEN	BURNIE, M B	28,121.00	51.00	0.00	51.00	55.0	28.05
01/04/2018 QGW031106 TIMYAN, PHILIP MAINSTREET BANCSHARES INC COM B 1,748.00 2.55 0.00 2.55 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP HOME BANCORP WIS INC COM	S	-8,970.00	14.07	0.00	14.07	55.0	0.00
01/04/2018 QGW031106 TIMYAN, PHILIP STATE BK FINL CORP COM S -59,048.43 51.00 0.00 51.00 55.0 01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP HORIZON BANCORP-IND	S	-67,322.00	50.00	0.00	50.00	55.0	27.50
01/04/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 91.70 0.17 0.00 0.17 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP MAINSTREET BANCSHARES INC	COM B	1,748.00	2.55	0.00	2.55	55.0	0.00
01/05/2018 QGW031106 TIMYAN, PHILIP SUMMIT ST BK SANTA ROSA CALIF COM B 26,108.30 51.00 0.00 51.00 55.0 01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP STATE BK FINL CORP COM	S	-59,048.43	51.00	0.00	51.00	55.0	28.05
01/05/2018 QGW031106 TIMYAN, PHILIP UNITED CMNTY BANCORP IND COM B 42,500.00 51.00 0.00 51.00 55.0 01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/04/2018 Q	QGW031106 TIMYAN, PHI	LIP SUMMIT ST BK SANTA ROSA C	ALIF COM B	91.70	0.17	0.00	0.17	55.0	0.00
01/10/2018 QGW031106 TIMYAN, PHILIP HOME BANCORP WIS INC COM S -23,530.00 36.92 0.00 36.92 55.0 01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/05/2018 Q	QGW031106 TIMYAN, PHI	LIP SUMMIT ST BK SANTA ROSA C	ALIF COM B	26,108.30	51.00	0.00	51.00	55.0	28.05
01/16/2018 QGW031106 TIMYAN, PHILIP TFS FINL CORP COM B 22,732.65 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/05/2018 Q	QGW031106 TIMYAN, PHI	LIP UNITED CMNTY BANCORP IND	COM B	42,500.00	51.00	0.00	51.00	55.0	28.05
01/23/2018 QGW031163 KOPIN, JOSHUA TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0 01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/10/2018 Q	QGW031106 TIMYAN, PHI	LIP HOME BANCORP WIS INC COM	S	-23,530.00	36.92	0.00	36.92	55.0	20.31
01/23/2018 QGW031163 KOPIN, JOSHUA VERIZON COMMUNICATIONS S -18,728.92 51.00 0.00 51.00 55.0 01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/16/2018 Q	QGW031106 TIMYAN, PHI	LIP TFS FINL CORP COM	В	22,732.65	51.00	0.00	51.00	55.0	28.05
01/23/2018 QGW031171 KOPIN, ZACHARY TEEKAY OFFSHORE PARTNERS L P CUM RE B 7,425.00 51.00 0.00 51.00 55.0	01/23/2018 Q	QGW031163 KOPIN, JOSH	UA TEEKAY OFFSHORE PARTNERS	L P CUM RE B	7,425.00	51.00	0.00	51.00	55.0	28.05
	01/23/2018 Q	QGW031163 KOPIN, JOSH	UA VERIZON COMMUNICATIONS	S	-18,728.92	51.00	0.00	51.00	55.0	28.05
	01/23/2018 Q	QGW031171 KOPIN, ZACH	ARY TEEKAY OFFSHORE PARTNERS	L P CUM RE B	7,425.00	51.00	0.00	51.00	55.0	28.05
	01/23/2018 Q	QGW031171 KOPIN, ZACH	ARY VERIZON COMMUNICATIONS	S	-18,728.92	51.00	0.00		55.0	28.05
01/16/2018 QGW031197 WADLER, FREDERICK X5 RETAIL GROUP N V SPONS GDR REG S S -6,614.54 51.00 0.00 51.00 55.0				GDR REG S S	-6,614.54	51.00	0.00	51.00	55.0	28.05

02/28/2018 C	COMMISSION	STATEMENT	for	CHARLES	WINN
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TRADE DATE CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAI	SACTIONS					
PRODUCT CATEGORY: STOCKS							
01/23/2018 QGW031197 WADLER, FREDERICK	TENCENT HLDGS LTD SHS PAR HKD 0.000 B	5,974.17	51.00	0.00	51.00	55.0	28.05
01/05/2018 QGW034852 HOLMES, MEGAN	OVERSTOCK COM INC DEL COM S	-74,000.00	51.00	0.00	51.00	55.0	28.05
01/08/2018 QGW034852 HOLMES, MEGAN	MGT CAP INVTS INC COM NEW B	46,890.00	20.00	0.00	20.00	55.0	0.00
01/19/2018 QGW034852 HOLMES, MEGAN	OVERSTOCK COM INC DEL COM S	-140,000.00	75.00	0.00	75.00	55.0	41.25
01/26/2018 QGW034852 HOLMES, MEGAN	MGT CAP INVTS INC COM NEW B	16,100.00	75.00	0.00	75.00	55.0	41.25
01/26/2018 QGW034852 HOLMES, MEGAN	MGT CAP INVTS INC COM NEW B	-16,100.00	-75.00	0.00	-75.00	55.0	-41.25
01/26/2018 QGW034852 HOLMES, MEGAN	MGT CAP INVTS INC COM NEW B	16,100.00	150.00	0.00	150.00	55.0	82.50
	* STOCKS SUBTOTAL *	\$-2,188,089.13	\$15,822.05	\$0.00	\$15,822.05		\$8,659.90
PRODUCT CATEGORY: OPTIONS							
01/08/2018 QGW034852 HOLMES, MEGAN	PUT 100 OVERSTOCK COM INC DEL COM E S	-4,500.00	75.00	0.00	75.00	55.0	41.25
01/22/2018 QGW034852 HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-2,040.00	75.00	0.00	75.00	55.0	41.25
01/29/2018 QGW034852 HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-2,230.00	75.00	0.00	75.00	55.0	41.25
	* OPTIONS SUBTOTAL *	\$-8,770.00	\$225.00	\$0.00	\$225.00		\$123.75
PRODUCT CATEGORY: MISCELLANEOUS							
01/31/2018 VARIOUS, WINNICK	BARON	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
	* MISCELLANEOUS SUBTOTAL *	\$0.00	\$2,500.00	\$0.00	\$2,500.00		\$1,375.00
	*** BROKER TRANSACTIONS TOTAL ***	\$-2,196,859.13	\$18,547.05	\$0.00	\$18,547.05		\$10,158.65
	ADJUSTN	MENTS					
01/31/2018	OTHER: OTHER-FOREIGN CHARGES	0.00	0.00	0.00	0.00		-27.51
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-27.51

## BROKER COMMISSION TOTALS \$10,158.65 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$-27.51 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$-20.06 \$-40.12 SIPC Assessment \$10,070.96 Check Amount \$10,070.96 Year-to-date Earnings