

**COMMISSION STATEMENT****October 15, 2017**JAMES PANOS  
330 North Street

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

Boston, MA 02113-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.76	0.00	27.76	0.0	0.00
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.68	0.00	23.68	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.89	0.00	7.89	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	11.08	0.00	11.08	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.93	0.00	5.93	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.92	0.00	11.92	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.33	0.00	6.33	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	12.87	0.00	12.87	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	28.37	0.00	28.37	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.36	0.00	6.36	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	12.06	0.00	12.06	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	4.20	0.00	4.20	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	60.12	0.00	60.12	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	4.36	0.00	4.36	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.84	0.00	2.84	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	10.16	0.00	10.16	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	61.77	0.00	61.77	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	42.63	0.00	42.63	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	25.55	0.00	25.55	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.54	0.00	10.54	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.98	0.00	15.98	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	24.03	0.00	24.03	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	7.09	0.00	7.09	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	9.80	0.00	9.80	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.60	0.00	11.60	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	27.65	0.00	27.65	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	8.11	0.00	8.11	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.69	0.00	10.69	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	32.04	0.00	32.04	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.28	0.00	3.28	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	21.11	0.00	21.11	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.99	0.00	2.99	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	38.97	0.00	38.97	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	18.74	0.00	18.74	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.58	0.00	14.58	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	34.04	0.00	34.04	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	22.79	0.00	22.79	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	19.31	0.00	19.31	0.0	0.00
10/02/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
10/02/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	0.00
10/02/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	15.02	0.00	15.02	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	5.29	0.00	5.29	0.0	0.00
10/02/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$950.00</b>	<b>\$729.03</b>	<b>\$0.00</b>	<b>\$729.03</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	85,261.07	71.05	0.00	71.05	0.0	0.00
09/30/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,659.44	89.44	0.00	89.44	0.0	0.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$299,920.51</b>	<b>\$160.49</b>	<b>\$0.00</b>	<b>\$160.49</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$300,870.51</b>	<b>\$889.52</b>	<b>\$0.00</b>	<b>\$889.52</b>		<b>\$0.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$20,206.27</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>