

COMMISSION STATEMENT

January 15, 2018

DALE HESTER-D346

BROKER #: D346

BRANCH: DMN

, TX

COMMISSION STATEMENT for DALE HESTER-D346

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/15/2017 (None)	ARMSTRONG	MF HARTFORD MUTUAL FUIND	B	0.00	25.00	0.00	25.00	90.0	22.50
12/15/2017 (None)	HAILEY	MF HARTFORD MUTUAL FUIND	B	0.00	1.25	0.00	1.25	90.0	1.13
01/02/2018 00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	450.00	4.50	0.00	4.50	90.0	4.05
01/02/2018 00777153252	TERRY, SHANE	MF INVESCO BALANCED-RISK	B	270.00	2.70	0.00	2.70	90.0	2.43
01/02/2018 00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	82.44	0.82	0.00	0.82	90.0	0.74
01/02/2018 00777153254	FISHER, JENNIFER	MF INVESCO BALANCED-RISK	B	82.44	0.82	0.00	0.82	90.0	0.74
* MUTUAL FUNDS SUBTOTAL *				\$884.88	\$35.09	\$0.00	\$35.09		\$31.59
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/28/2017 (None)	MITCHELL, LARRY	VA FORETHOUGHT LIFE	B	0.00	691.05	0.00	691.05	90.0	621.95
12/28/2017 (None)	GASSIOTT, GLENN	VA FORETHOUGHT LIFE	B	0.00	745.98	0.00	745.98	90.0	671.38
12/28/2017 (None)	DAVIS, JUDY	VA FORETHOUGHT LIFE	B	0.00	269.26	0.00	269.26	90.0	242.33
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$1,706.29	\$0.00	\$1,706.29		\$1,535.66
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017 (None)	3360098133	MFT DREYFUS 12B-1	B	0.00	10.93	0.00	10.93	90.0	9.84
12/31/2017 (None)	VARIOUS, HESTER DALE	MFT OPPENHEIMER	B	0.00	3.44	0.00	3.44	90.0	3.10
01/01/2018 00777113191	TAMMEN, MATHEW	MFT AIM GROWTH ALLOCATION FUND -	B	0.00	17.40	0.00	17.40	90.0	15.66
01/01/2018 00777116731	HILLIARD, KEITH	MFT INVESCO MODERATE ALLOCATION FD	B	0.00	260.20	0.00	260.20	90.0	234.18
01/01/2018 00777116733	HILLIARD, SHARON	MFT INVESCO MODERATE ALLOCATION FD	B	0.00	246.40	0.00	246.40	90.0	221.76
01/01/2018 00777116734	RUST, MELISSA	MFT INVESCO GROWTH ALLOCATION FUND	B	0.00	82.39	0.00	82.39	90.0	74.15
01/01/2018 00777116736	HILLIARD, KEITH	MFT INVESCO GROWTH ALLOCATION FUND	B	0.00	11.80	0.00	11.80	90.0	10.62
01/01/2018 00777138617	CZUPRYNA, ROBERT	MFT INVESCO BALANCED-RISK	B	0.00	5.97	0.00	5.97	90.0	5.37
01/01/2018 00777152978	STOLTZFUS, DEAN	MFT INVESCO BALANCED-RISK	B	0.00	23.22	0.00	23.22	90.0	20.90
01/01/2018 00777152979	STOLTZFUS, TONYA	MFT INVESCO BALANCED-RISK	B	0.00	19.11	0.00	19.11	90.0	17.20
01/01/2018 00777153252	TERRY, SHANE	MFT INVESCO BALANCED-RISK	B	0.00	91.22	0.00	91.22	90.0	82.10
01/01/2018 00777153254	FISHER, JENNIFER	MFT INVESCO BALANCED-RISK	B	0.00	0.07	0.00	0.07	90.0	0.06
01/01/2018 00777153255	TERRY, MELINDA	MFT INVESCO BALANCED-RISK	B	0.00	8.53	0.00	8.53	90.0	7.68
01/01/2018 01000156446	POWERS, MICHAEL	MFT AIM GROWTH ALLOCATION FUND -	B	0.00	11.70	0.00	11.70	90.0	10.53
01/01/2018 01000183770	OXFORD, KELLY	MFT INVESCO MUNICIPAL INCOME FUND -	B	0.00	359.71	0.00	359.71	90.0	323.74
01/01/2018 01001274435	STOLTZFUS, TONYA	MFT INVESCO BALANCED-RISK	B	0.00	6.91	0.00	6.91	90.0	6.22
01/01/2018 01001274441	STOLTZFUS, DEAN	MFT INVESCO BALANCED-RISK	B	0.00	16.34	0.00	16.34	90.0	14.71

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01/01/2018 02500038008	SLAUGHTER, KERRY	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.43	0.00	1.43	90.0	1.29
01/01/2018 02500038008	SLAUGHTER, KERRY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.52	0.00	1.52	90.0	1.37
01/01/2018 02500038008	SLAUGHTER, KERRY	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	1.06	0.00	1.06	90.0	0.95
01/01/2018 02500058317	MAYBERRY, JUDY	MFT AIM MODERATE ALLOCATION - CLASS	B	0.00	1.55	0.00	1.55	90.0	1.40
01/01/2018 02500076128	GEBERT, SHAUN	MFT AIM ASIA PACIFIC GROWTH FUND -	B	0.00	13.09	0.00	13.09	90.0	11.78
01/01/2018 02500076128	GEBERT, SHAUN	MFT AIM REAL ESTATE FUND - CLASS A	B	0.00	8.40	0.00	8.40	90.0	7.56
01/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	14.47	0.00	14.47	90.0	13.02
01/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO AMERICAN VALUE FUND -	B	0.00	13.56	0.00	13.56	90.0	12.20
01/01/2018 02500076128	GEBERT, SHAUN	MFT INVESCO SMALL CAP DISCOVERY	B	0.00	16.45	0.00	16.45	90.0	14.81
01/01/2018 03870006974	GEBERT, JENNIFER	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	9.58	0.00	9.58	90.0	8.62
01/01/2018 03908934668	ALLEN, BRENDA	MFT AIM CHARTER FUND - CLASS A	B	0.00	2.27	0.00	2.27	90.0	2.04
01/01/2018 03908934668	ALLEN, BRENDA	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	1.64	0.00	1.64	90.0	1.48
01/01/2018 03908934668	ALLEN, BRENDA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.40	0.00	3.40	90.0	3.06
01/01/2018 03908934668	ALLEN, BRENDA	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	1.80	0.00	1.80	90.0	1.62
01/01/2018 03910366438	MOORE, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.38	0.00	0.38	90.0	0.34
01/01/2018 03910904568	MICHELS TIC,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.13	0.00	0.13	90.0	0.12
01/01/2018 03910904568	MICHELS TIC,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.36	0.00	0.36	90.0	0.32
01/01/2018 03913007054	MICHELS, PATTI	MFT AIM CHARTER FUND - CLASS A	B	0.00	13.42	0.00	13.42	90.0	12.08
01/01/2018 03913007054	MICHELS, PATTI	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	17.73	0.00	17.73	90.0	15.96
01/01/2018 03913007054	MICHELS, PATTI	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.24	0.00	22.24	90.0	20.02
01/01/2018 04039391992	RICHARDSON,	MFT AIM GROWTH ALLOCATION FUND -	B	0.00	7.55	0.00	7.55	90.0	6.80
01/01/2018 04039391992	RICHARDSON,	MFT AIM GROWTH ALLOCATION FUND -	B	0.00	0.78	0.00	0.78	90.0	0.70
01/01/2018 05036207990	WOOD, MELINDA	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.38	0.00	1.38	90.0	1.24
01/01/2018 05036208709	WHITTON,	MFT AIM CHARTER FUND - CLASS A	B	0.00	20.29	0.00	20.29	90.0	18.26
01/01/2018 05041348235	MICHELS, TIMOTHY	MFT AIM CHARTER FUND - CLASS A	B	0.00	13.43	0.00	13.43	90.0	12.09
01/01/2018 05041348235	MICHELS, TIMOTHY	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	22.14	0.00	22.14	90.0	19.93
01/01/2018 05041348235	MICHELS, TIMOTHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	18.11	0.00	18.11	90.0	16.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,403.50	\$0.00	\$1,403.50		\$1,263.18

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/23/2017 (None)	MACY, SARA	VAT AMERICAN GENERAL	B	0.00	25.86	0.00	25.86	90.0	23.27
12/23/2017 (None)	EBERHART, TIM	VAT AMERICAN GENERAL	B	0.00	852.94	0.00	852.94	90.0	767.65
12/24/2017 (None)	DAVIS, GRETТА	VAT AMERICAN GENERAL	B	0.00	234.27	0.00	234.27	90.0	210.84
12/24/2017 (None)	BARTON, DANA	VAT AMERICAN GENERAL	B	0.00	103.21	0.00	103.21	90.0	92.89
12/24/2017 (None)	OXFORD, PAT	VAT AMERICAN GENERAL	B	0.00	1,245.03	0.00	1,245.03	90.0	1,120.53
12/24/2017 (None)	MACY, DANIEL	VAT AMERICAN GENERAL	B	0.00	160.14	0.00	160.14	90.0	144.13
12/28/2017 (None)	BLACK, NOLAN	VAT AMERICAN GENERAL	B	0.00	8.97	0.00	8.97	90.0	8.07
12/30/2017 (None)	DAVIS, ELTON	VAT NATIONWIDE	B	0.00	1,774.24	0.00	1,774.24	90.0	1,596.82
12/30/2017 (None)	FORD, LORETTA	VAT NATIONWIDE	B	0.00	533.92	0.00	533.92	90.0	480.53
12/31/2017 (None)	PRATUMRAT, PAIROJ	VAT AMERICAN GENERAL	B	0.00	73.88	0.00	73.88	90.0	66.49
12/31/2017 (None)	MITCHELL, LARRY	VAT PRUDENTIAL LIFE	B	0.00	213.79	0.00	213.79	90.0	192.41
01/01/2018 (None)	TALIAFERRO, LUT	VAT AMERICAN GENERAL	B	0.00	39.95	0.00	39.95	90.0	35.96
01/05/2018 (None)	WILLIAMS, PATRICIA	VAT AMERICAN GENERAL	B	0.00	122.26	0.00	122.26	90.0	110.03
01/05/2018 (None)	WADE, MELVIN	VAT AXA LIFE	B	0.00	66.70	0.00	66.70	90.0	60.03

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01/05/2018 (None)	WADE, MELVIN	VAT AXA LIFE	B	0.00	41.46	0.00	41.46	90.0	37.31
01/05/2018 (None)	WADE, MELVIN	VAT AXA LIFE	B	0.00	55.31	0.00	55.31	90.0	49.78
12/31/2017 00712280202	TUCKER, WILMER	VAT HARTFORD LIFE	B	0.00	293.52	0.00	293.52	90.0	264.17
12/31/2017 00712280202	DAVIS, ELTON	VAT HARTFORD LIFE	B	0.00	2,104.94	0.00	2,104.94	90.0	1,894.45
12/31/2017 00712280202	DAVIS, ELTON	VAT HARTFORD LIFE	B	0.00	3,215.50	0.00	3,215.50	90.0	2,893.95
12/31/2017 00712280202	BLISS, ALBERT	VAT HARTFORD LIFE	B	0.00	14.38	0.00	14.38	90.0	12.94
12/31/2017 00712280202	BLISS, LOU	VAT HARTFORD LIFE	B	0.00	12.48	0.00	12.48	90.0	11.23
12/31/2017 00712280202	WILLIAMS, BENNIE	VAT HARTFORD LIFE	B	0.00	162.96	0.00	162.96	90.0	146.66
12/31/2017 00712280202	WILLIAMS, BENNIE	VAT HARTFORD LIFE	B	0.00	67.32	0.00	67.32	90.0	60.59
12/31/2017 00712280202	BLACK, NELTA	VAT HARTFORD LIFE	B	0.00	113.82	0.00	113.82	90.0	102.44
12/31/2017 00712280202	BLACK, NOLAN	VAT HARTFORD LIFE	B	0.00	46.28	0.00	46.28	90.0	41.65
12/31/2017 00712280202	SMITH, FRETA	VAT HARTFORD LIFE	B	0.00	180.99	0.00	180.99	90.0	162.89
12/31/2017 00712280202	TAYLOR, JOHN	VAT HARTFORD LIFE	B	0.00	103.91	0.00	103.91	90.0	93.52
12/31/2017 00712280202	PRATUMRAT, PAIROJ	VAT HARTFORD LIFE	B	0.00	241.94	0.00	241.94	90.0	217.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$12,109.97	\$0.00	\$12,109.97		\$10,898.98
*** DIRECT TRANSACTIONS TOTAL ***				\$884.88	\$15,254.85	\$0.00	\$15,254.85		\$13,729.41

BROKER COMMISSION TOTALS

Broker Transactions	\$13,729.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$13,729.41
Year-to-date Commissions	\$15,370.72