

COMMISSION STATEMENT**March 31, 2018**

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: 74
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/28/2018	75502050	DIPENICE, WILLIAM		EQUIFAX INC	B	1,263.93	0.00	0.00	0.00 80.0 0.00
02/28/2018	75502050	DIPENICE, WILLIAM		EQUIFAX INC	B	568.11	0.00	0.00	0.00 80.0 0.00
03/01/2018	75502050	DIPENICE, WILLIAM		ORACLE CORPORATION	S	2,521.31	0.00	0.00	0.00 80.0 0.00
03/05/2018	75502050	DIPENICE, WILLIAM		EQUIFAX INC	B	1,185.84	0.00	0.00	0.00 80.0 0.00
03/07/2018	75502050	DIPENICE, WILLIAM		ALEXION PHARMACEUTICALS INC	B	1,873.73	0.00	0.00	0.00 80.0 0.00
03/15/2018	75502050	DIPENICE, WILLIAM		ALEXION PHARMACEUTICALS INC	S	1,212.49	0.00	0.00	0.00 80.0 0.00
03/15/2018	75502050	DIPENICE, WILLIAM		ALEXION PHARMACEUTICALS INC	S	888.41	0.00	0.00	0.00 80.0 0.00
03/20/2018	75502050	DIPENICE, WILLIAM		SIEMENS AG AMERICAN DEPOSITARY SHAR S		3,418.63	0.00	0.00	0.00 80.0 0.00
03/23/2018	75502050	DIPENICE, WILLIAM		QIAGEN NV SHS NEW	B	783.36	0.00	0.00	0.00 80.0 0.00
				* STOCKS SUBTOTAL *		\$13,715.81	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: RIA</u>									
01/17/2018	75502048	FERRUZZI, KENNETH		FAS FEES PT. III/III	B	-191.13	95.56	0.00	95.56 80.0 76.45
01/17/2018	75502049	MORRIS, MICHAEL		FAS FEES PT. III/III	B	-225.37	125.21	0.00	125.21 80.0 100.17
01/17/2018	75502050	DIPENICE, WILLIAM		FAS FEES PT. III/III	B	-148.64	74.32	0.00	74.32 80.0 59.46
01/17/2018	75502051	DIPENICE, NINA		FAS FEES PT. III/III	B	-361.68	197.72	0.00	197.72 80.0 158.18
01/17/2018	75502052	DIPENICE, WILLIAM		FAS FEES PT. III/III	B	-39.86	29.89	0.00	29.89 80.0 23.91
01/17/2018	75502083	MAISELL, ADRIANE		FAS FEES PT. III/III	B	-165.14	82.57	0.00	82.57 80.0 66.06
				* RIA SUBTOTAL *		\$-1,131.82	\$605.27	\$0.00	\$605.27
				*** BROKER TRANSACTIONS TOTAL ***		\$12,583.99	\$605.27	\$0.00	\$605.27
									\$484.23

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ADJUSTMENTS

03/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
03/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
03/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
03/31/2018		OTHER: RBC- WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$484.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.76
SIPC Assessment	\$-1.51

Check Amount	\$178.96
Year-to-date Earnings	\$662.31