



Concorde Investment Services, LLC

05/11/2017  
Page No. 1

# **COMMISSION STATEMENT** **May 15, 2017**

B151-JASON/MARIA BAIRD/BONGIOVANNI

**BROKER #:** B151    **Terminated 12/31/2016**  
**BRANCH:** SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	0.00
05/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	0.00
04/28/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
03/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.48	0.00	0.48	0.0	0.00
03/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.00
03/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.00
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.74	0.00	29.74	0.0	0.00
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.91	0.00	9.91	0.0	0.00
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	24.83	0.00	24.83	0.0	0.00
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.28	0.00	8.28	0.0	0.00
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.76	0.00	2.76	0.0	0.00
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.28	0.00	8.28	0.0	0.00
04/30/2017	POTTER, NEIL	FRANKLIN GROWTH A	0.00	10.05	0.00	10.05	0.0	0.00
04/30/2017	GABRIEL, WILLIAM	FRANKLIN GROWTH C	0.00	39.89	0.00	39.89	0.0	0.00
04/30/2017	KOCHANOWSKI, DONALD	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	9.15	0.00	9.15	0.0	0.00
04/30/2017	POTTER, NEIL	FRANKLIN INCOME A	0.00	8.26	0.00	8.26	0.0	0.00
04/30/2017	BAIRD, KATHRYN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	6.59	0.00	6.59	0.0	0.00
04/30/2017	CROCKETT, JAIME	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	11.37	0.00	11.37	0.0	0.00
04/30/2017	GABRIEL, WILLIAM	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	33.20	0.00	33.20	0.0	0.00
04/30/2017	STEVENSON, JANICE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	48.05	0.00	48.05	0.0	0.00
04/30/2017	POTTER, NEIL	FRANKLIN RISING DIVIDENDS A	0.00	27.33	0.00	27.33	0.0	0.00
04/30/2017	STEVENSON, JANICE	FRANKLIN RISING DIVIDENDS C	0.00	51.81	0.00	51.81	0.0	0.00
04/30/2017	STEVENSON, JANICE	FRANKLIN SMALL CAP VALUE C	0.00	24.54	0.00	24.54	0.0	0.00
04/30/2017	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.75	0.00	2.75	0.0	0.00
04/30/2017	STEVENSON, JANICE	FRANKLIN UTILITIES C	0.00	17.11	0.00	17.11	0.0	0.00
04/30/2017	POLL, ROGOR	FT EQUITY INC CL C	0.00	37.89	0.00	37.89	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.73	0.00	2.73	0.0	0.00
04/24/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.00
04/24/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.00
04/30/2017	CROCKETT, JAIME	TEMPLETON GLOBAL BALANCED FUND	0.00	12.00	0.00	12.00	0.0	0.00
04/25/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
05/01/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	0.00
05/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	3.87	0.00	3.87	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$400.00</b>	<b>\$436.77</b>	<b>\$0.00</b>	<b>\$436.77</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	0.00
05/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	0.00
05/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	0.00
04/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	0.0	0.00
04/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,452.86	15.38	0.00	15.38	0.0	0.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$19,221.86</b>	<b>\$33.20</b>	<b>\$0.00</b>	<b>\$33.20</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
04/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	0.00
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$152.00</b>	<b>\$1.52</b>	<b>\$0.00</b>	<b>\$1.52</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/30/2017	MILLER, MARTIN	METLIFE TRAILS	0.00	78.79	0.00	78.79	0.0	0.00
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$78.79</b>	<b>\$0.00</b>	<b>\$78.79</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$19,773.86</b>	<b>\$550.28</b>	<b>\$0.00</b>	<b>\$550.28</b>		<b>\$0.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$4,102.91</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>