

COMMISSION STATEMENT

April 03, 2017

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #:
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/24/2017	QGN005274	OLSTEIN ALL CAP		HARMAN INTERNATIONAL INDUSTRIES INC S	-637,154.52	171.81	6.00	165.81	50.0	82.91
02/24/2017	QGN005274	OLSTEIN ALL CAP		UNIVERSAL HEALTH SVCS INC CL B	S -627,037.50	150.00	6.00	144.00	50.0	72.00
02/27/2017	QGN005274	OLSTEIN ALL CAP		MOODYS CORP COM	B 559,968.00	150.00	6.00	144.00	50.0	72.00
02/27/2017	QGN005274	OLSTEIN ALL CAP		SERVICEMASTER GLOBAL HLDGS INC COM	B 135,487.62	102.00	6.00	96.00	50.0	48.00
02/28/2017	QGN005274	OLSTEIN ALL CAP		EBAY INC	S -102,750.00	90.00	6.00	84.00	50.0	42.00
03/01/2017	QGN005274	OLSTEIN ALL CAP		CISCO SYS INC	S -343,683.00	300.00	6.00	294.00	50.0	147.00
03/01/2017	QGN005274	OLSTEIN ALL CAP		SERVICEMASTER GLOBAL HLDGS INC COM	B 134,587.98	102.00	6.00	96.00	50.0	48.00
03/02/2017	QGN005274	OLSTEIN ALL CAP		SCRIPPS NETWORKS INTERACTIVE INC CL B	394,800.00	150.00	6.00	144.00	50.0	72.00
03/08/2017	QGN005274	OLSTEIN ALL CAP		COTY INC COM CL A	B 187,072.00	300.00	6.00	294.00	50.0	147.00
03/13/2017	QGN005274	OLSTEIN ALL CAP		HOLOGIC INC	S -127,539.00	90.00	6.00	84.00	50.0	42.00
03/15/2017	QGN005274	OLSTEIN ALL CAP		DELTA AIR LINES INC DEL COM	B 136,715.10	90.00	6.00	84.00	50.0	42.00
03/21/2017	QGN005274	OLSTEIN ALL CAP		COTY INC COM CL A	B 93,395.00	150.00	6.00	144.00	50.0	72.00
03/27/2017	QGN005274	OLSTEIN ALL CAP		SNAP-ON INC	B 651,634.40	120.00	6.00	114.00	50.0	57.00
02/24/2017	QGN005282	OPPORTUNITIES,		HARMAN INTERNATIONAL INDUSTRIES INC S	-367,139.85	99.00	6.00	93.00	50.0	46.50
02/27/2017	QGN005282	OPPORTUNITIES,		SERVICEMASTER GLOBAL HLDGS INC COM	B 63,758.88	48.00	6.00	42.00	50.0	21.00
03/01/2017	QGN005282	OPPORTUNITIES,		SERVICEMASTER GLOBAL HLDGS INC COM	B 61,356.29	46.50	6.00	40.50	50.0	20.25
02/24/2017	QGN005308	DIOCESE OF ARLINGTON		HARMAN INTERNATIONAL INDUSTRIES INC S	-12,238.00	3.30	6.00	-2.70	50.0	-1.35
03/01/2017	QGN005308	DIOCESE OF ARLINGTON		SERVICEMASTER GLOBAL HLDGS INC COM	B 1,979.24	1.50	6.00	-4.50	50.0	-2.25

* STOCKS SUBTOTAL *

\$203,212.64

\$2,164.11

\$108.00

\$2,056.11

\$1,028.06

PRODUCT CATEGORY: BONDS

02/21/2017	QGN001000	WORKERS LOCAL 451		UNITED STATES TREAS NTS 2.250% 11/1 B	49,484.38	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN001000	WORKERS LOCAL 451		UNITED STATES TREAS NTS 2.250% 11/1 B	-49,484.38	-50.00	-7.50	-42.50	50.0	-21.25 C
03/21/2017	QGN001000	WORKERS LOCAL 451		UNITED STATES TREAS BDS 2.875% 05/1 B	24,257.81	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001000	WORKERS LOCAL 451		UNITED STATES TREAS NTS 2.250% 11/1 B	49,484.38	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001018	UNION OF OPERATING,		UNITED STATES TREAS NTS 2.000% 11/1 S	-14,383.59	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001018	UNION OF OPERATING,		UNITED STATES TREAS BDS 2.875% 05/1 B	9,612.50	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001018	UNION OF OPERATING,		UNITED STATES TREAS NTS 1.750% 11/3 B	24,750.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001018	UNION OF OPERATING,		UNITED STATES TREAS NTS 2.250% 11/1 B	19,756.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001026	DEFINED CONTRIBUTION		UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001059	OF, INTERNATIONAL		UNITED STATES TREAS NTS 2.000% 11/1 S	-71,917.97	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/22/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 11/1 B	198,625.00	62.50	7.50	55.00	50.0	27.50
03/01/2017	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS BDS 2.750% 08/1 S	-47,015.63	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,972.66	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	49,484.38	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	-49,484.38	-50.00	-7.50	-42.50	50.0	-21.25 C
03/01/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS BDS 30 YEAR TRE S	-24,183.51	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,882.81	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	49,484.38	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 S	-24,031.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.000% 11/1 S	-95,890.63	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.250% 11/1 B	49,390.63	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 2.875% 05/1 S	-14,418.75	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.000% 11/1 S	-28,767.19	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 1.625% 02/1 S	-9,240.63	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.250% 11/1 B	24,781.25	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN001141	GREAT NECK TEACHERS	UNITED STATES TREAS NTS 0.750% 12/3 B	24,960.94	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.250% 11/1 B	49,460.94	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.750% 11/3 B	99,000.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.250% 11/1 B	148,171.88	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 2.000% 11/1 S	-33,435.94	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 S	-19,106.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 1.750% 11/3 B	9,900.00	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001224	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001307	CLERKS, NY	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,972.66	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.000% 11/1 S	-19,178.13	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS TREAS TIPS S	-14,681.09	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN001315	STATE COURT CLERKS,	UNITED STATES TREAS NTS 1.625% 02/1 S	-9,240.63	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS BDS 2.750% 08/1 S	-135,937.50	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS NTS 1.625% 02/1 S	-60,064.06	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS BDS 2.875% 05/1 B	489,218.75	156.25	7.50	148.75	50.0	74.38
03/22/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS NTS 2.250% 11/1 B	99,312.50	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.000% 11/1 S	-47,765.63	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,882.81	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.250% 11/1 B	24,781.25	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001414	11, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 11/1 S	-52,542.19	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001414	11, TEAMSTERS	UNITED STATES TREAS NTS 1.750% 11/3 B	49,500.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001414	11, TEAMSTERS	UNITED STATES TREAS BDS 2.875% 05/1 B	48,406.25	50.00	7.50	42.50	50.0	21.25
03/27/2017	QGN001414	11, TEAMSTERS	AT&T INC GLOBAL NT 5.600% 05/15/18 S	-104,250.00	50.00	7.50	42.50	50.0	21.25
03/27/2017	QGN001414	11, TEAMSTERS	CVS HEALTH CORP SR NT 4.000% 12/05/ S	-104,900.00	50.00	7.50	42.50	50.0	21.25

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
03/27/2017	QGN001414	11, TEAMSTERS		GOLDMAN SACHS GROUP INC SR NT 2.375 S	-15,000.00	50.00	7.50	42.50	50.0	21.25
03/27/2017	QGN001414	11, TEAMSTERS		INTERNATIONAL BUSINESS MACHS CORP N S	-101,940.00	50.00	7.50	42.50	50.0	21.25
03/27/2017	QGN001414	11, TEAMSTERS		JPMORGAN CHASE & CO NT 2.000% 08/15 S	-100,126.00	50.00	7.50	42.50	50.0	21.25
03/27/2017	QGN001414	11, TEAMSTERS		STRYKER CORP FXD RT SR NT 4.625% 03 S	-128,437.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001422	WESTCHESTER MODULAR		UNITED STATES TREAS BDS 2.875% 05/1 S	-33,643.75	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001430	WORKERS LOCAL 12,		UNITED STATES TREAS NTS TREAS TIPS S	-14,714.48	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001430	WORKERS LOCAL 12,		UNITED STATES TREAS NTS 2.125% 08/1 S	-15,079.69	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001430	WORKERS LOCAL 12,		UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001463	COUNCIL, TEAMSTER		UNITED STATES TREAS NTS 2.000% 11/1 S	-47,765.63	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001463	COUNCIL, TEAMSTER		UNITED STATES TREAS BDS 2.875% 05/1 B	33,643.75	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001463	COUNCIL, TEAMSTER		UNITED STATES TREAS NTS 2.250% 11/1 B	24,781.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001489	RETIREE, DOCTORS		UNITED STATES TREAS NTS 2.000% 11/1 S	-14,383.59	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001489	RETIREE, DOCTORS		UNITED STATES TREAS NTS 2.000% 11/1 S	-9,553.13	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001489	RETIREE, DOCTORS		UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001497	DEL JERSEY, NECA,		UNITED STATES TREAS NTS 2.000% 11/1 S	-14,383.59	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001554	STACEY BRAUN		UNION PAC CORP FXD RT NT 5.650% 05/ S	-125,375.00	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN001620	LOCAL, WESTCHESTER		UNITED STATES TREAS NTS 2.250% 11/1 B	49,484.38	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN001620	LOCAL, WESTCHESTER		UNITED STATES TREAS NTS 2.250% 11/1 B	-49,484.38	-50.00	-7.50	-42.50	50.0	-21.25 C
03/21/2017	QGN001620	LOCAL, WESTCHESTER		UNITED STATES TREAS NTS 2.250% 11/1 B	49,484.38	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001620	LOCAL, WESTCHESTER		UNITED STATES TREAS NTS 2.250% 11/1 B	49,562.50	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001695	STACEY BRAUN		UNITED STATES TREAS NTS 2.000% 11/1 S	-47,765.63	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001711	OPPENHEIMER & CO		UNITED STATES TREAS BDS 2.875% 05/1 S	-33,643.75	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN001745	RETIREMENT, SOUTHERN		UNITED STATES TREAS NTS 2.000% 11/1 S	-143,296.88	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001794	SERVICE EMPLOYEES		UNITED STATES TREAS NTS 1.375% 12/1 B	249,218.75	78.13	7.50	70.63	50.0	35.32
03/23/2017	QGN001794	SERVICE EMPLOYEES		UNITED STATES TREAS NTS 1.375% 02/1 B	199,265.63	62.50	7.50	55.00	50.0	27.50
03/20/2017	QGN001828	INTERNS, COMMIOF		UNITED STATES TREAS NTS 1.750% 11/3 B	14,850.00	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001836	METAL WORKERS		UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001836	METAL WORKERS		UNITED STATES TREAS NTS 2.250% 11/1 B	14,817.19	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001885	BRAUN ASSOCIATES IN,		UNITED STATES TREAS BDS 2.875% 05/1 S	-9,612.50	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001885	BRAUN ASSOCIATES IN,		UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN001968	NO1, BRICKLAYERS		UNITED STATES TREAS NTS 2.000% 11/1 S	-14,383.59	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN001968	NO1, BRICKLAYERS		UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN001984	STACEY BRAUN ASSOC		UNITED STATES TREAS NTS 1.750% 11/3 B	9,900.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN001984	STACEY BRAUN ASSOC		UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002040	CONGRESS OF TEACHER,		FEDERAL HOME LN MTG CORP REFERENCE B	49,950.00	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002040	CONGRESS OF TEACHER,		UNITED STATES TREAS NTS 2.000% 02/1 B	19,325.00	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002040	CONGRESS OF TEACHER,		UNITED STATES TREAS NTS 2.000% 11/1 B	74,859.38	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002040	CONGRESS OF TEACHER,		UNITED STATES TREAS NTS 0.750% 10/3 B	74,953.13	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002040	CONGRESS OF TEACHER,		UNITED STATES TREAS NTS 2.000% 02/1 B	49,343.75	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002040	CONGRESS OF TEACHER,		UNITED STATES TREAS NTS 1.000% 05/3 B	74,906.25	50.00	7.50	42.50	50.0	21.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/01/2017	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 1.750% 11/3 B	14,850.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002131	ORTHODOX COMM	ROCKWELL COLLINS INC DEL NT 5.250% S	-5,311.00	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,972.66	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS TREAS TIPS S	-24,468.49	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 11/1 B	19,825.00	50.00	7.50	42.50	50.0	21.25
02/28/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.750% 08/1 B	96,125.00	50.00	7.50	42.50	50.0	21.25
02/28/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 1.750% 11/3 B	199,187.50	62.50	7.50	55.00	50.0	27.50
02/28/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 1.750% 05/1 B	196,093.75	62.50	7.50	55.00	50.0	27.50
03/02/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.750% 08/1 S	-23,476.56	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.750% 08/1 S	-46,875.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 B	194,062.50	62.50	7.50	55.00	50.0	27.50
03/21/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 2.250% 11/1 B	98,921.88	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,882.81	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS BDS 2.750% 08/1 S	-37,500.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.250% 11/1 B	29,676.56	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.250% 11/1 B	24,781.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 S	-28,837.50	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.625% 02/1 S	-32,342.19	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.250% 11/1 B	98,921.88	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	AT&T INC GLOBAL NT 5.600% 05/15/18 S	-104,210.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	CVS HEALTH CORP SR NT 4.000% 12/05/ S	-52,385.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	INTERNATIONAL BUSINESS MACHS CORP N S	-356,825.00	109.38	7.50	101.88	50.0	50.94
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.250% 01/3 S	99,300.00	-50.00	-7.50	-42.50	50.0	-21.25 C
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.250% 01/3 S	-99,937.50	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 30 YEAR TRE S	-34,361.08	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 S	-34,146.88	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.500% 01/3 S	-105,393.75	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 0.250% 01/1 S	-50,690.13	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.250% 01/3 S	-99,218.75	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.375% 04/3 S	-248,437.50	78.13	7.50	70.63	50.0	35.32
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.000% 08/1 S	-97,250.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.250% 01/3 S	-99,300.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.000% 07/3 S	-353,937.50	109.38	7.50	101.88	50.0	50.94
03/28/2017	QGN002248	LOCAL 1205 WELFARE	VERIZON COMMUNICATIONS INC S	-41,264.00	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS BDS 2.875% 05/1 B	24,031.25	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS BDS 2.875% 05/1 B	9,612.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002305	LOCAL 181 SECURITY,	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002305	LOCAL 181 SECURITY,	UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 11/1 B	29,690.63	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
02/21/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 11/1 B	-29,690.63	-50.00	-7.50	-42.50	50.0	-21.25 C
03/02/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS TREAS TIPS S	-24,468.49	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 11/1 B	29,690.63	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 11/1 B	24,781.25	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 1.750% 11/3 B	49,500.00	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.250% 11/1 B	-24,742.19	-50.00	-7.50	-42.50	50.0	-21.25 C
03/01/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,972.66	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.250% 11/1 B	19,862.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 1.750% 11/3 B	14,850.00	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.000% 11/1 S	-14,383.59	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002388	LESTER, AUGUSTUS	ROCKWELL COLLINS INC DEL NT 5.250% S	-15,933.00	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 0.250% 01/1 S	-25,161.21	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 10 YRS TIPS S	-27,711.16	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 08/1 S	-24,101.56	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002412	456, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/1 B	49,562.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002420	LOCAL UNION # 177,	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,553.13	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 1.750% 11/3 B	9,900.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.250% 11/1 B	-24,742.19	-50.00	-7.50	-42.50	50.0	-21.25 C
03/01/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,972.66	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 1.625% 02/1 S	-13,860.94	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002479	AND STONE MASONS,	CAPITAL ONE BK USA NATL ASSN GLEN A S	-25,000.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN002479	AND STONE MASONS,	VERIZON COMMUNICATIONS INC NT 2.450 S	-24,100.00	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS BDS 2.875% 05/1 S	-24,031.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.000% 11/1 S	-23,972.66	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 1.750% 11/3 B	198,000.00	62.50	7.50	55.00	50.0	27.50
03/21/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 0.625% 05/3 S	-199,937.50	62.50	7.50	55.00	50.0	27.50
03/21/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 1.875% 02/2 B	199,078.13	62.50	7.50	55.00	50.0	27.50
03/22/2017	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 0.625% 05/3 S	-49,984.38	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 10 YRS TIPS S	-11,084.47	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 1.750% 11/3 B	14,850.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.250% 11/1 B	14,817.19	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/21/2017	QGN002578	NY LABORERS DIST	UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002578	NY LABORERS DIST	UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.250% 11/1 B	9,896.88	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.250% 11/1 B	-9,896.88	-50.00	-7.50	-42.50	50.0	-21.25 C
03/01/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.250% 11/1 B	9,896.88	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002610	GROSS, ARTHUR	UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002636	ROSS FAMILY LTD	UNITED STATES TREAS NTS 2.250% 11/1 B	9,896.88	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN002636	ROSS FAMILY LTD	UNITED STATES TREAS NTS 2.250% 11/1 B	-9,896.88	-50.00	-7.50	-42.50	50.0	-21.25 C
03/21/2017	QGN002636	ROSS FAMILY LTD	UNITED STATES TREAS NTS 2.250% 11/1 B	9,896.88	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 2.000% 11/1 S	-4,794.53	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 1.750% 11/3 B	4,950.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 2.250% 11/1 B	4,939.06	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN003188	OPPENHEIMER & CO	UNITED STATES TREAS NTS TREAS TIPS S	-14,681.09	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN003212	ODONNELL, THOMAS	UNITED STATES TREAS BDS 2.875% 05/1 S	-4,806.25	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN003212	ODONNELL, THOMAS	UNITED STATES TREAS BDS 2.875% 05/1 B	9,612.50	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN003220	OTTAVINO, GERALD	FEDERAL NATL MTG ASSN BENCHMARK 1.2 B	4,855.00	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TIP B	-317,236.24	-101.69	-7.50	-94.19	50.0	-47.10 C
03/02/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TIP B	317,283.77	101.69	7.50	94.19	50.0	47.10
03/02/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TIP B	317,236.24	101.69	7.50	94.19	50.0	47.10
03/02/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TIP B	-317,236.24	-101.69	-7.50	-94.19	50.0	-47.10 C
03/02/2017	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS 30 YEAR TIP B	317,236.24	101.69	7.50	94.19	50.0	47.10
03/22/2017	QGN003337	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.250% 11/1 B	9,912.50	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN003394	CO., OPPENHEIMER &	FEDERAL NATL MTG ASSN BENCHMARK 1.2 B	145,650.00	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN003618	OPPENHEIMER & CO	UNITED STATES TREAS BDS 2.875% 05/1 B	14,418.75	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS 30 YEAR TIP B	34,163.90	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS 30 YEAR TIP B	-34,163.90	-50.00	-7.50	-42.50	50.0	-21.25 C
03/02/2017	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS 30 YEAR TIP B	34,163.90	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN005597	LEGAL, CONNECTICUT	UNITED STATES TREAS BDS 2.750% 08/1 S	-14,085.94	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN005597	LEGAL, CONNECTICUT	UNITED STATES TREAS NTS 2.000% 11/1 S	-14,329.69	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN005597	LEGAL, CONNECTICUT	UNITED STATES TREAS BDS 2.875% 05/1 B	9,612.50	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 1.750% 11/3 B	24,750.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 1.875% 10/3 S	-20,112.50	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 1.875% 10/3 S	-35,185.94	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.000% 11/1 S	-9,589.06	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.000% 11/1 S	-19,106.25	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.250% 11/1 B	14,817.19	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 1.750% 11/3 B	14,850.00	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.875% 05/1 S	-19,225.00	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/21/2017	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.250% 11/1 B	14,838.28	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS BDS 2.750% 08/1 S	-235,078.13	78.13	7.50	70.63	50.0	35.32
03/01/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.000% 11/1 S	-239,726.56	78.13	7.50	70.63	50.0	35.32
03/08/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 1.625% 02/1 S	-50,823.44	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS BDS 2.875% 05/1 B	96,812.50	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN026239	PNC BANK	UNITED STATES TREAS NTS 10 YRS TIPS S	-55,422.33	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN026239	PNC BANK	UNITED STATES TREAS NTS 2.000% 11/1 S	-47,765.63	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN026239	PNC BANK	UNITED STATES TREAS BDS 2.875% 05/1 B	48,062.50	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 30 YEAR TRE S	-72,550.54	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.750% 08/1 S	-187,500.00	62.50	7.50	55.00	50.0	27.50
03/08/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 1.625% 02/1 S	-46,203.13	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.250% 11/1 B	247,304.69	78.13	7.50	70.63	50.0	35.32
03/02/2017	QGN026536	DRIVERS LOCAL 550	UNITED STATES TREAS NTS 10 YRS TIPS S	-55,422.33	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN026536	DRIVERS LOCAL 550	UNITED STATES TREAS NTS 1.875% 10/3 S	-100,562.50	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 1.625% 02/1 S	-23,101.56	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.250% 11/1 B	24,730.47	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	-24,742.19	-50.00	-7.50	-42.50	50.0	-21.25 C
03/20/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 2.875% 05/1 B	48,062.50	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	49,656.25	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 2.875% 11/1 S	-95,406.25	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 1.625% 02/1 S	-23,101.56	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.250% 11/1 B	153,328.91	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN026643	LOCAL, PLUMBERS &	INGERSOLL RAND GL HLD CO LTD SR NT S	-106,740.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN026643	LOCAL, PLUMBERS &	ROCKWELL COLLINS INC DEL NT 5.250% S	-106,220.00	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	98,968.75	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	-98,968.75	-50.00	-7.50	-42.50	50.0	-21.25 C
03/02/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 08/1 S	-75,398.44	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	98,968.75	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 1.750% 11/3 B	14,850.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.250% 11/1 B	9,878.13	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.250% 11/3 S	-15,126.56	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS BDS 30 YEAR TRE S	-24,183.51	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS TREAS TIPS S	-14,714.48	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 1.250% 07/3 S	-94,016.25	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS TREAS TIPS S	14,714.48	-50.00	-7.50	-42.50	50.0	-21.25 C
03/01/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 1.250% 07/3 S	94,016.25	-50.00	-7.50	-42.50	50.0	-21.25 C
03/01/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 1.250% 07/3 S	-94,062.50	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 0.250% 01/1 S	-50,300.38	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/06/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS BDS 2.750% 08/1 S	-23,437.50	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 2.000% 08/1 S	-48,203.13	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN026916	LOCAL 804 ANNUITY	UNITED STATES TREAS NTS 2.250% 11/1 B	129,106.25	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN026916	LOCAL 804 ANNUITY	BANK AMER CORP SR NT SER L 3.300% 0 S	-50,162.50	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN026916	LOCAL 804 ANNUITY	CONAGRA BRANDS INC SR NT 3.200% 01/ S	-100,250.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN026916	LOCAL 804 ANNUITY	VERIZON COMMUNICATIONS INC NT 2.450 S	-48,200.00	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	-24,742.19	-50.00	-7.50	-42.50	50.0	-21.25 C
03/21/2017	QGN026999	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/1 B	24,742.19	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.000% 11/1 S	-95,890.63	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.750% 08/1 S	-131,468.75	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.750% 08/1 S	-93,750.00	50.00	7.50	42.50	50.0	21.25
03/16/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 1.625% 05/1 S	-185,500.00	62.50	7.50	55.00	50.0	27.50
02/21/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/1 B	197,937.50	62.50	7.50	55.00	50.0	27.50
02/21/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/1 B	-197,937.50	-62.50	-7.50	-55.00	50.0	-27.50 C
03/01/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS BDS 30 YEAR TRE S	-24,183.51	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS TREAS TIPS S	-48,936.97	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 1.875% 09/3 S	-100,468.75	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/1 B	197,937.50	62.50	7.50	55.00	50.0	27.50
03/22/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 0.750% 10/3 S	-299,625.00	93.75	7.50	86.25	50.0	43.13
03/01/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.000% 11/1 S	-28,767.19	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 10 YRS TIPS S	-27,711.16	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 1.750% 11/3 B	49,500.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.250% 11/1 B	24,730.47	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.250% 11/1 B	49,562.50	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027104	LEAQUE GRAPHIC	ROCKWELL COLLINS INC DEL NT 5.250% S	-79,665.00	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.250% 11/1 B	197,937.50	62.50	7.50	55.00	50.0	27.50
02/21/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.250% 11/1 B	-197,937.50	-62.50	-7.50	-55.00	50.0	-27.50 C
03/21/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS BDS 2.875% 05/1 B	48,406.25	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.250% 11/1 B	197,937.50	62.50	7.50	55.00	50.0	27.50
03/22/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.250% 11/1 B	99,125.00	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.000% 11/1 S	-47,765.63	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.750% 11/3 B	198,000.00	62.50	7.50	55.00	50.0	27.50
03/21/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.250% 11/1 B	148,171.88	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.000% 11/1 S	-71,917.97	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN027195	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 S	-14,383.59	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.875% 05/1 S	-48,062.50	50.00	7.50	42.50	50.0	21.25
03/06/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.750% 08/1 S	-37,500.00	50.00	7.50	42.50	50.0	21.25
02/21/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 11/1 B	197,937.50	62.50	7.50	55.00	50.0	27.50
02/21/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 11/1 B	-197,937.50	-62.50	-7.50	-55.00	50.0	-27.50 C

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/01/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.000% 11/1 S	-95,890.63	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 11/1 B	197,937.50	62.50	7.50	55.00	50.0	27.50
03/22/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 1.000% 03/3 S	-50,000.00	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 30 YEAR TRE S	-266,018.63	90.33	7.50	82.83	50.0	41.42
03/02/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 11/1 S	-95,531.25	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 1.625% 02/1 S	-138,609.38	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.875% 05/1 B	291,093.75	93.75	7.50	86.25	50.0	43.13
03/28/2017	QGN027302	BROTHERHOOD OF	ROCKWELL COLLINS INC DEL NT 5.250% S	-212,440.00	62.50	7.50	55.00	50.0	27.50
03/01/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 2.875% 05/1 S	-48,062.50	50.00	7.50	42.50	50.0	21.25
03/08/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.625% 02/1 S	-46,203.13	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.250% 11/1 B	49,460.94	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	AT&T INC GLOBAL NT 5.600% 05/15/18 S	-156,315.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	CITIGROUP INC FIXED RT NT 4.500% 01 S	-213,800.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	CVS HEALTH CORP SR NT 4.000% 12/05/ S	-104,770.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	EATON CORP OHIO SR NT 4.000% 11/02/ S	-302,700.00	93.75	7.50	86.25	50.0	43.13
03/28/2017	QGN027351	LOCAL 1205 PENSION	INTERNATIONAL BUSINESS MACHS CORP N S	-611,700.00	187.50	7.50	180.00	50.0	90.00
03/28/2017	QGN027351	LOCAL 1205 PENSION	JPMORGAN CHASE & CO FIXED RT NT 4.6 S	-107,380.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	LOCKHEED MARTIN CORP FXD RT SER B 4 S	-195,100.00	62.50	7.50	55.00	50.0	27.50
03/28/2017	QGN027351	LOCAL 1205 PENSION	PRUDENTIAL FINL INC MEDIUM TERM NTS S	-105,510.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	STRYKER CORP FXD RT SR NT 4.625% 03 S	-153,630.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREASURY NOTE S	-151,921.88	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.750% 02/2 S	-405,875.00	125.00	7.50	117.50	50.0	58.75
03/28/2017	QGN027351	LOCAL 1205 PENSION	VERIZON COMMUNICATIONS INC S	-103,160.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	WELLS FARGO & CO NEW SR UNSECD NT 5 S	-153,960.00	50.00	7.50	42.50	50.0	21.25
03/28/2017	QGN027351	LOCAL 1205 PENSION	XTO ENERGY INC FIXED RT SR NT 5.500 S	-313,875.00	93.75	7.50	86.25	50.0	43.13
03/08/2017	QGN027484	SETTERS LOCAL 84,	UNITED STATES TREAS NTS 1.625% 02/1 S	-9,240.63	50.00	7.50	42.50	50.0	21.25
03/01/2017	QGN027898	LOCAL 237	UNITED STATES TREAS BDS 30 YEAR TRE S	-24,183.51	50.00	7.50	42.50	50.0	21.25
03/02/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.000% 11/1 S	-33,435.94	50.00	7.50	42.50	50.0	21.25
03/20/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.750% 11/3 B	173,250.00	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.250% 11/1 B	98,921.88	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.875% 10/3 S	-301,640.61	93.75	7.50	86.25	50.0	43.13
03/01/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 30 YEAR TRE S	-48,367.02	50.00	7.50	42.50	50.0	21.25
03/21/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 11/1 B	98,921.88	50.00	7.50	42.50	50.0	21.25
03/22/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 11/1 B	34,693.75	50.00	7.50	42.50	50.0	21.25
* BONDS SUBTOTAL *				\$-4,520,654.64	\$15,288.93	\$2,130.00	\$13,158.93		\$6,579.53
*** BROKER TRANSACTIONS TOTAL ***				\$-4,317,442.00	\$17,453.04	\$2,238.00	\$15,215.04		\$7,607.59

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
04/03/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-1,992.41
04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/03/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-425.00
04/03/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.66
04/03/2017		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-111.11
04/03/2017		OTHER: PIVOT		0.00	0.00	0.00	0.00		-136.09
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,793.27

BROKER COMMISSION TOTALS

Broker Transactions	\$7,607.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,793.27
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-21.82
SIPC Assessment	\$-327.24

Check Amount	\$4,465.26
Year-to-date Earnings	\$17,985.14