Page No.



## COMMISSION STATEMENT April 30, 2017

JAMES PANOS

330 North Street

Boston, MA 02113-

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

| DATE                                   | CLIENT               | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE                          | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|--|----------------------|-----------------------------------|----------------------|---------------------|---|-------------------|-------|----------------------|
| BROKER TRANSACTIONS                    |                      |                                   |                      |                     |   |                   |       |                      |
| PRODUCT CA                             | TEGORY: MUTUAL FUNDS |                                   |                      |                     |   |                   |       |                      |
| 04/03/2017                             | MURPHY, CHRIS        | FA 529 PORT 2025 C                | 250.00               | 2.50                | 0.00  | 2.50              | 0.0   | 0.00                 |
| 04/03/2017                             | FITZGIBBON, EDMUND   | FA 529 PORT 2025 C                | 200.00               | 2.00                | 0.00  | 2.00              | 0.0   | 0.00                 |
| 04/03/2017                             | MACK, NEIL           | FA 529 PORT 2025 C                | 250.00               | 2.50                | 0.00  | 2.50              | 0.0   | 0.00                 |
| 04/03/2017                             | MACK, NEIL           | FA 529 PORT 2028 C                | 250.00               | 2.50                | 0.00  | 2.50              | 0.0   | 0.00                 |
|  |                      | * MUTUAL FUNDS SUBTOTAL *         | \$950.00             | \$9.50              | \$0.00                                      | \$9.50            |       | \$0.00               |
|  |                      | *** BROKER TRANSACTIONS TOTAL *** | \$950.00             | \$9.50              | \$0.00                                      | \$9.50            |       | \$0.00               |
|  |                      |                                   |                      |                     | Ē   | BROKER COMMI      | SSION | TOTALS               |
|  |                      |                                   |                      |                     | Broke:                                      | r Transactions    |       | \$0.00               |
|  |                      |                                   |                      |                     | Split Transactions<br>Override Transactions |                   |       | \$0.00               |
|  |                      |                                   |                      |                     |   |                   |       | \$0.00               |
|  |                      |                                   |                      |                     | Adjustments                                 |                   |       | \$0.00               |
|  |                      |                                   |                      |                     |   | Payroll Draw      |       | \$0.00               |
|  |                      |                                   |                      |                     |   | Base Salary       |       | \$0.00               |
|  |                      |                                   |                      |                     | Prior                                       | Period Balance    |       | \$0.00               |
|  |                      |                                   |                      |                     | FI  | NRA Assessment    |       | \$0.00               |
|  |                      |                                   |                      |                     | S   | IPC Assessment    |       | \$0.00               |
| Please Retain for THERE WILL BE NO CHE |                      |                                   |                      |                     | I   | Balance Forward   | 1     | \$0.00               |
|  |                      |                                   |                      |                     | Year  | r-to-date Gross   | 5     | \$10,937.47          |
|  |                      |                                   |                      |                     | Year-to                                     | o-date Earning    | 5     | \$0.00               |