

All Companies
STATEMENT
March 20, 2018

LPFS HOUSE

BROKER #: ZZQ
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/01/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.69	100.0	0.00	4.69	0.00	4.69
01/01/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.91	100.0	0.00	0.91	0.00	0.91
01/01/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.50	100.0	0.00	2.50	0.00	2.50
01/01/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.74	100.0	0.00	2.74	0.00	2.74
01/01/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	36.47	100.0	0.00	36.47	0.00	36.47
01/01/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	20.08	100.0	0.00	20.08	0.00	20.08
01/01/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.56	100.0	0.00	1.56	0.00	1.56
01/01/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.30	100.0	0.00	0.30	0.00	0.30
01/01/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.83	100.0	0.00	0.83	0.00	0.83
01/01/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.91	100.0	0.00	0.91	0.00	0.91
01/01/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	12.16	100.0	0.00	12.16	0.00	12.16
01/01/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.69	100.0	0.00	6.69	0.00	6.69
01/01/0018	#5294788558	BLCKRCK	0.00	0.39	100.0	0.00	0.39	0.00	0.39
01/01/0018	#5294788533	BLCKRCK	0.00	0.38	100.0	0.00	0.38	0.00	0.38
01/01/0018	#5294788582	BLCKRCK	0.00	0.72	100.0	0.00	0.72	0.00	0.72
01/01/0018	#5294788541	BLCKRCK	0.00	0.20	100.0	0.00	0.20	0.00	0.20
01/01/0018	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.13	100.0	0.00	2.13	0.00	2.13
01/01/0018	#6000067683	DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	4.29	100.0	0.00	4.29	0.00	4.29
01/01/0018	#055552317225 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	0.13	100.0	0.00	0.13	0.00	0.13
01/01/0018	#055552317225 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	0.39	100.0	0.00	0.39	0.00	0.39
01/01/0018	#08000099257 DVORAK, JAMES	HIGH YIELD C	0.00	17.23	100.0	0.00	17.23	0.00	17.23
01/01/0018	#07205405615 TRUST,	HIGH YIELD FUND	0.00	4.09	100.0	0.00	4.09	0.00	4.09
01/01/0018	#07205405697 TRUST,	HIGH YIELD FUND	0.00	1.96	100.0	0.00	1.96	0.00	1.96
01/01/0018	#08000099257 DVORAK, JAMES	INTERMEDIATE TAX FREE A	0.00	4.14	100.0	0.00	4.14	0.00	4.14
01/01/0018	#08000099257 DVORAK, JAMES	INTERMEDIATE TAX FREE C	0.00	4.62	100.0	0.00	4.62	0.00	4.62
01/01/0018	#002072166941 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.63	100.0	0.00	0.63	0.00	0.63
01/01/0018	#002072166983 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.29	100.0	0.00	0.29	0.00	0.29
01/01/0018	#002072167021 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.29	100.0	0.00	0.29	0.00	0.29

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/01/0018	#002072167063	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.29	100.0	0.00	0.29	0.29
01/01/0018	#08000099257	DVORAK, JAMES	LORD ABBETT BOND-DEBENTURE FUND INC	0.00	6.01	100.0	0.00	6.01	6.01
01/01/0018	#08000099257	DVORAK, JAMES	LORD ABBETT INCOME FUND CL A	0.00	3.37	100.0	0.00	3.37	3.37
01/01/0018	#77720991001	SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	1.19	100.0	0.00	1.19	1.19
01/01/0018	#071502332454	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.56	100.0	0.00	4.56	4.56
01/01/0018	#071502332483	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.12	100.0	0.00	4.12	4.12
01/01/0018	#08000099257	DVORAK, JAMES	SHORT DURATION INCOME C	0.00	35.53	100.0	0.00	35.53	35.53
01/01/0018	#07205603882	TRUST,	TOTAL RETURN FUND A	0.00	0.94	100.0	0.00	0.94	0.94
01/01/0018	#07205603993	TRUST,	TOTAL RETURN FUND A	0.00	0.62	100.0	0.00	0.62	0.62
01/01/0018	#66826639101	CERVANTES	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.19	100.0	0.00	0.19	0.19
01/02/0018	#77720991001	SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	125.00	5.06	100.0	0.00	5.06	5.06
01/02/0018	#1001876384	MYERS, STANLEY	PAC FUNDS POMA	500.00	23.75	100.0	0.00	23.75	23.75
01/06/0018	#2000256393	MILKS, SEAN	AGES 12-14 YEARS A	35.00	1.31	100.0	0.00	1.31	1.31
01/06/0018	#2000256391	MILKS, SEAN	AGES 15-17 YEARS A	50.00	1.88	100.0	0.00	1.88	1.88
01/06/0018	#2000270551	SANCHEZ, REBECCA	AGES 18 AND OVER A	25.00	1.00	100.0	0.00	1.00	1.00
01/06/0018	#2000256393	MILKS, SEAN	CAPITAL PERSEVATION A	15.00	0.56	100.0	0.00	0.56	0.56
01/06/0018	#2000256391	MILKS, SEAN	MODERATELY AGGRESSIVE A	50.00	1.88	100.0	0.00	1.88	1.88
01/06/0018	#2000256393	MILKS, SEAN	MODERATELY AGGRESSIVE A	35.00	1.31	100.0	0.00	1.31	1.31
02/05/0018	#2000344105	SANCHEZ, REBECCA	A - DISCOVERY PORT	25.00	1.00	100.0	0.00	1.00	1.00
02/05/0018	#2000256393	MILKS, SEAN	AGES 12-14 YEARS A	35.00	1.31	100.0	0.00	1.31	1.31
02/05/0018	#2000256391	MILKS, SEAN	AGES 15-17 YEARS A	50.00	1.88	100.0	0.00	1.88	1.88
02/05/0018	#2000270551	SANCHEZ, REBECCA	AGES 18 AND OVER A	25.00	1.00	100.0	0.00	1.00	1.00
02/05/0018	#2000256393	MILKS, SEAN	CAPITAL PERSEVATION A	15.00	0.56	100.0	0.00	0.56	0.56
02/05/0018	#2000256393	MILKS, SEAN	MODERATELY AGGRESSIVE A	35.00	1.31	100.0	0.00	1.31	1.31
02/05/0018	#2000256391	MILKS, SEAN	MODERATELY AGGRESSIVE A	50.00	1.88	100.0	0.00	1.88	1.88
02/20/0201	#77720991001	SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	125.00	5.06	100.0	0.00	5.06	5.06
02/20/0201	#1001755709	MILKS, SEAN	PACIFIC FUNDS PPOA	100.00	4.75	100.0	0.00	4.75	4.75
02/20/0201	#07150233245	GROSSDALE	PORTFOLIO 2025-2028 A	500.00	21.25	100.0	0.00	21.25	21.25
12/31/2017	#5294788582		BLCKRCK	0.00	0.07	100.0	0.00	0.07	0.07
12/31/2017	#5294788541		BLCKRCK	0.00	0.02	100.0	0.00	0.02	0.02
12/31/2017	#5294788558		BLCKRCK	0.00	0.04	100.0	0.00	0.04	0.04
12/31/2017	#5294788533		BLCKRCK	0.00	0.04	100.0	0.00	0.04	0.04
12/31/2017	#5294788558		BLCKRCK	0.00	0.34	100.0	0.00	0.34	0.34
12/31/2017	#5294788533		BLCKRCK	0.00	0.34	100.0	0.00	0.34	0.34
12/31/2017	#5294788582		BLCKRCK	0.00	0.64	100.0	0.00	0.64	0.64
12/31/2017	#5294788541		BLCKRCK	0.00	0.18	100.0	0.00	0.18	0.18

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	#00060225535	STILEKRISL	MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	13.75
02/01/2018	#07150233245	GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	6.38
02/01/2018	#07150233248	GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	6.38
02/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.55	100.0	0.00	1.55	1.55
02/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.21	100.0	0.00	1.21	1.21
02/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.79	100.0	0.00	0.79	0.79
02/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.12	100.0	0.00	1.12	1.12
02/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.69	100.0	0.00	6.69	6.69
02/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	5.21	100.0	0.00	5.21	5.21
02/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.39	100.0	0.00	3.39	3.39
02/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.83	100.0	0.00	4.83	4.83
02/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.64	100.0	0.00	0.64	0.64
02/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.50	100.0	0.00	0.50	0.50
02/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.33
02/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.46
02/02/2018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.45	100.0	0.00	0.45	0.45
02/02/2018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.35	100.0	0.00	0.35	0.35
02/02/2018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.23	100.0	0.00	0.23	0.23
02/02/2018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.33
02/02/2018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.26	100.0	0.00	0.26	0.26
02/02/2018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.20	100.0	0.00	0.20	0.20
02/02/2018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.13	100.0	0.00	0.13	0.13
02/02/2018	#1003029964	MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.19	100.0	0.00	0.19	0.19
02/02/2018	#1003029976	MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	2.04	100.0	0.00	2.04	2.04
02/02/2018	#1003029976	MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.59	100.0	0.00	1.59	1.59
02/02/2018	#1003029976	MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.04	100.0	0.00	1.04	1.04
02/02/2018	#1003029976	MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.48	100.0	0.00	1.48	1.48
02/02/2018	#1003043902	SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.63	100.0	0.00	2.63	2.63
02/02/2018	#1003043902	SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.05	100.0	0.00	2.05	2.05
02/02/2018	#1003043902	SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.33	100.0	0.00	1.33	1.33
02/02/2018	#1003043902	SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.90	100.0	0.00	1.90	1.90
02/05/2018	#0049157621		BLCKRCK	50.00	0.50	100.0	0.00	0.50	0.50
02/05/2018	#0049157621		BLCKRCK	50.00	0.50	100.0	0.00	0.50	0.50
02/05/2018	#08185496308	HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
02/05/2018	#08185496304	HOLLE, RAYMOND	MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	5.43
02/05/2018	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/05/2018	#07150233248	GROSSDALE	PORTFOLIO 2025-2028 A	500.00	21.25	100.0	0.00	21.25	21.25
02/08/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	3.00
02/08/2018	#07150233248	GROSSDALE	PORTFOLIO 2025-2028 A	6,000.00	255.00	100.0	0.00	255.00	255.00
02/12/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	6.88
02/15/2018	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	10.00
02/15/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/15/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/15/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/15/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/15/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/15/2018	#00089764435	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#00089764458	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#04000010563	GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	10.00
02/15/2018	#00074892517	THURMAN, JEREMY	AMER FUNDS	200.00	5.50	100.0	0.00	5.50	5.50
02/15/2018	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	7.00
02/15/2018	#00087188339	MCDOWAN, GEL	BOND FUND OF AMERICA INC CL A	50.00	1.50	100.0	0.00	1.50	1.50
02/15/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	3.00
02/15/2018	#00089764435	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#00089764458	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	10.00
02/15/2018	#00035777858	CAROLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	1.25
02/15/2018	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	10.00
02/15/2018	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/15/2018	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
02/16/2018	#00073849715	CHICK, MADISON	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/16/2018	#00075746809	CHICK, SETH	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
02/16/2018	#03900218602		FA SEMICONDUCTORS FUND	5,000.00	250.00	100.0	0.00	250.00	250.00
02/16/2018	#03900218602		FIDELITY ADV BIOTECHNOLOGY-CL A	5,000.00	250.00	100.0	0.00	250.00	250.00
02/16/2018	#03900218602		FIDELITY ADV DIVIDEND GROWTH FD-CL	5,000.00	250.00	100.0	0.00	250.00	250.00
02/16/2018	#03900218602		FIDELITY ADV HEALTH CARE-CL A	5,000.00	250.00	100.0	0.00	250.00	250.00
02/16/2018	#03900218602		FIDELITY ADV TECHNOLOGY-CL A	5,000.00	250.00	100.0	0.00	250.00	250.00
02/16/2018	#03900218602		FIDELITY ADVISOR CONSUMER DISCRETIO	5,000.00	250.00	100.0	0.00	250.00	250.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/16/2018	#03900218602	FIDELITY ADVISOR EQUITY GROWTH FUND	5,000.00	250.00	100.0	0.00	250.00	0.00	250.00
02/16/2018	#03900218602	FIDELITY X	5,000.00	250.00	100.0	0.00	250.00	0.00	250.00
02/16/2018	#00036257440	REED, JOHN IVY FDS INC SCIENCE & TECH FD CL A	253.79	3.05	100.0	0.00	3.05	0.00	3.05
02/20/2018	#00064989411	CHAVEZTAMI CAPITAL WORLD GROWTH & INCOME FUND	2,002.71	40.05	100.0	0.00	40.05	0.00	40.05
02/20/2018	#00064989411	CHAVEZTAMI CAPITAL WORLD GROWTH & INCOME FUND	2,002.72	40.05	100.0	0.00	40.05	0.00	40.05
02/20/2018	#000070186368339	TSE, KIN FRANKLIN TEMPLETON FUNDS UTILITIES	6,500.00	182.00	100.0	0.00	182.00	0.00	182.00
02/20/2018	#00086791991	SANTOS, MARILU GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
02/20/2018	#00064989411	CHAVEZTAMI GROWTH FUND AMERICA INC CLASS A	2,002.71	40.05	100.0	0.00	40.05	0.00	40.05
02/20/2018	#00064989411	CHAVEZTAMI GROWTH FUND AMERICA INC CLASS A	2,002.72	40.05	100.0	0.00	40.05	0.00	40.05
02/20/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	2,002.71	40.05	100.0	0.00	40.05	0.00	40.05
02/20/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	2,002.72	40.05	100.0	0.00	40.05	0.00	40.05
02/23/2018	#000000007583152	BOYKI FRANKLIN032	50.00	0.50	100.0	0.00	0.50	0.00	0.50
02/28/2018	#00032425931	STILLSARA IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
* MUTUAL FUNDS SUBTOTAL *			\$71,741.41	\$3,192.57		\$0.00	\$3,192.57	\$0.00	\$3,192.57
<u>PRODUCT CATEGORY: STOCKS</u>									
01/31/2018	# NCIAL SVCS LLC, LIBERTY	***OROCOBRE LTD NPV	10,117.00	0.00	100.0	0.00	0.00	0.00	0.00
01/31/2018	# NCIAL SVCS LLC, LIBERTY	SOLARWINDOW TECHNOLOGIES INC	7,660.00	0.00	100.0	0.00	0.00	0.00	0.00
02/01/2018	# AKUNGI, GIDEON	FORD MOTOR CO	32.78	0.00	100.0	0.00	0.00	0.00	0.00
02/12/2018	# CRAVEN, STEPHEN	CHENIERE ENERGY INC	56.46	0.00	100.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$17,866.24	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
02/07/2018	# NCIAL SVCS LLC, LIBERTY	OCEAN CNTY NJ GEN IMPT BDS	92,989.00	0.00	100.0	0.00	0.00	0.00	0.00
02/07/2018	# NCIAL SVCS LLC, LIBERTY	OCEAN CNTY NJ GEN IMPT BDS	92,070.00	0.00	100.0	0.00	0.00	0.00	0.00
02/14/2018	# NCIAL SVCS LLC, LIBERTY	CREDIT SUISSE NEW YORK BRANCH SR ID	420,750.00	0.00	100.0	0.00	0.00	0.00	0.00
02/14/2018	# NCIAL SVCS LLC, LIBERTY	CREDIT SUISSE NEW YORK BRANCH SR ID	423,750.00	0.00	100.0	0.00	0.00	0.00	0.00
02/14/2018	# NCIAL SVCS LLC, LIBERTY	MACYS RETAIL HLDGS INC SR UNSECURED	13,907.70	0.00	100.0	0.00	0.00	0.00	0.00
02/14/2018	# NCIAL SVCS LLC, LIBERTY	MACYS RETAIL HLDGS INC SR UNSECURED	13,907.70	0.00	100.0	0.00	0.00	0.00	0.00
02/16/2018	# NCIAL SVCS LLC, LIBERTY	RIDGEWOOD N J GO BDS 2016	89,365.00	0.00	100.0	0.00	0.00	0.00	0.00
02/16/2018	# NCIAL SVCS LLC, LIBERTY	RIDGEWOOD N J GO BDS 2016	90,258.00	0.00	100.0	0.00	0.00	0.00	0.00
02/20/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	24,437.50	0.00	100.0	0.00	0.00	0.00	0.00
02/20/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	23,937.50	0.00	100.0	0.00	0.00	0.00	0.00
02/20/2018	# NCIAL SVCS LLC, LIBERTY	LEUCADIA NATL CORP	23,320.00	0.00	100.0	0.00	0.00	0.00	0.00
02/20/2018	# NCIAL SVCS LLC, LIBERTY	LEUCADIA NATL CORP	23,650.00	0.00	100.0	0.00	0.00	0.00	0.00
02/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	100,773.34	0.00	100.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
02/27/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#T65327	103,292.69	0.00	100.0	0.00	0.00	0.00	0.00	
* BONDS SUBTOTAL *			\$1,536,408.43	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
01/01/0018	#E1080714	CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	20.69	100.0	0.00	20.69	0.00	20.69
01/01/0018	#E1229810	ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.59	100.0	0.00	8.59	0.00	8.59
01/01/0018	#E1226791	ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.93	100.0	0.00	8.93	0.00	8.93
01/01/0018	#E0840605	SCHNEIDER,	PRUDETIAL TRAILS	0.00	20.22	100.0	0.00	20.22	0.00	20.22
01/01/0018	#E0840605	SCHNEIDER,	PRUDETIAL TRAILS	0.00	60.65	100.0	0.00	60.65	0.00	60.65
01/01/0018	#E0648375	SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.40	100.0	0.00	3.40	0.00	3.40
01/01/0018	#E0648375	SCHNEIDER,	PRUDETIAL TRAILS	0.00	10.20	100.0	0.00	10.20	0.00	10.20
01/01/0018	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.69	100.0	0.00	7.69	0.00	7.69
01/01/0018	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.74	100.0	0.00	30.74	0.00	30.74
01/01/0018	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	3.08	100.0	0.00	3.08	0.00	3.08
01/01/0018	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	12.32	100.0	0.00	12.32	0.00	12.32
01/01/0018	#E0892527	BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
01/01/0018	#E0892527	BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
01/01/0018	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.42	100.0	0.00	8.42	0.00	8.42
01/01/0018	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.69	100.0	0.00	33.69	0.00	33.69
01/01/0018	#E0557781	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	21.22	100.0	0.00	21.22	0.00	21.22
01/01/0018	#E0557781	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	84.88	100.0	0.00	84.88	0.00	84.88
01/01/0018	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	6.06	100.0	0.00	6.06	0.00	6.06
01/01/0018	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	18.18	100.0	0.00	18.18	0.00	18.18
01/01/0018	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	2.18	100.0	0.00	2.18	0.00	2.18
01/01/0018	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	8.70	100.0	0.00	8.70	0.00	8.70
01/01/0018	#611043	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.18	100.0	0.00	14.18	0.00	14.18
01/01/0018	#611043	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	42.55	100.0	0.00	42.55	0.00	42.55
01/01/0018	#611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	15.67	100.0	0.00	15.67	0.00	15.67
01/01/0018	#611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	47.02	100.0	0.00	47.02	0.00	47.02
01/02/0018	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	200.00	2.00	100.0	0.00	2.00	0.00	2.00
01/02/0018	#077700242	RIZZO	SECURITY BENEFIT	75.00	0.75	100.0	0.00	0.75	0.00	0.75
01/02/0018	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	100.00	1.00	100.0	0.00	1.00	0.00	1.00
01/04/0018	#124409LB8	VAIANELLA	TRANSAMERICA FLDP08	399,890.27	333.24	100.0	0.00	333.24	0.00	333.24
01/04/0018	#132926LB8	VAIANELLA	TRANSAMERICA FLDP08	84,946.87	70.79	100.0	0.00	70.79	0.00	70.79
01/05/0018	#127463LK2	VAIANELLA	TRANS LIFE FE22MA	9,131.59	7.61	100.0	0.00	7.61	0.00	7.61
01/05/0018	#123639LK8	VAIANELLA	TRANS LIFE FL10MS	30,245.58	25.20	100.0	0.00	25.20	0.00	25.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/05/0018	#123641LK8	VAIANELLA	TRANS LIFE FL10MS	29,605.35	24.67	100.0	0.00	24.67	24.67
01/05/0018	#151587LK8	VAIANELLA	TRANSAMERICA FLDP08	117,873.37	98.23	100.0	0.00	98.23	98.23
01/06/0018	#110089LK2	VAIANELLA	TRANS LIFE FE22MA	142,418.77	118.68	100.0	0.00	118.68	118.68
01/07/0018	#068705771	BARNES, JAMES	SECURITY BENEFIT	63.75	0.67	100.0	0.00	0.67	0.67
01/07/0018	#085585407	ARROYO, YOLANDA	SECURITY BENEFIT	27.90	0.30	100.0	0.00	0.30	0.30
01/07/0018	#106726917	MERCADO, WENDY	SECURITY BENEFIT	250.00	2.46	100.0	0.00	2.46	2.46
01/07/0018	#062661009	MILANA, MAURIZIO	SECURITY BENEFIT	49.00	0.51	100.0	0.00	0.51	0.51
01/07/0018	#40005742LK2	VAIANELLA	TRANS LIFE FE22MC	72,985.33	60.82	100.0	0.00	60.82	60.82
01/08/0018	#40007096LK2	VAIANELLA	TRANS LIFE FE22MA	23,807.97	19.84	100.0	0.00	19.84	19.84
01/09/0018	#068705771	BARNES, JAMES	SECURITY BENEFIT	63.75	0.67	100.0	0.00	0.67	0.67
01/09/0018	#085585407	ARROYO, YOLANDA	SECURITY BENEFIT	28.20	0.31	100.0	0.00	0.31	0.31
01/09/0018	#106726917	MERCADO, WENDY	SECURITY BENEFIT	250.00	2.47	100.0	0.00	2.47	2.47
01/09/0018	#062661009	MILANA, MAURIZIO	SECURITY BENEFIT	49.00	0.51	100.0	0.00	0.51	0.51
02/01/0018	#P3799548867	GOODWIN, FRED	AMERICAN GEN LIFE	0.00	0.35	100.0	0.00	0.35	0.35
02/01/0018	#VM15005627	SANCHEZ	PACIFIC LIFE TRAILS	0.00	2.64	100.0	0.00	2.64	2.64
02/02/0018	#C0195390Y	DEMONTGOMERY,	VOYA	0.00	3.12	100.0	0.00	3.12	3.12
02/02/0018	#C0195390Y	DEMONTGOMERY,	VOYA	0.00	3.12	100.0	0.00	3.12	3.12
02/03/0018	#VR01011472	TRUDELL, CAROLYN	PACIFIC LIFE TRAILS	0.00	22.00	100.0	0.00	22.00	22.00
02/07/0018	#B16557107106726917	MERCADO,	SECURITY BENEFIT SBGTRL	0.00	2.47	100.0	0.00	2.47	2.47
02/07/0018	#B16557107085585407	ARROYO,	SECURITY BENEFIT SBGTRL	0.00	0.31	100.0	0.00	0.31	0.31
02/07/0018	#B16557107085585407	ARROYO,	SECURITY BENEFIT SBGTRL	0.00	0.31	100.0	0.00	0.31	0.31
02/07/0018	#B16632107134807134	MILANA,	SECURITY BENEFIT SBGTRL	0.00	1.00	100.0	0.00	1.00	1.00
02/07/0018	#B16632107134807134	MILANA,	SECURITY BENEFIT SBGTRL	0.00	1.00	100.0	0.00	1.00	1.00
02/07/0018	#B16632107077700242	RIZZO	SECURITY BENEFIT SBGTRL	0.00	0.76	100.0	0.00	0.76	0.76
02/07/0018	#B16632107077700242	RIZZO	SECURITY BENEFIT SBGTRL	0.00	0.76	100.0	0.00	0.76	0.76
02/07/0018	#B16557107106726917	MERCADO,	SECURITY BENEFIT SBGTRL	0.00	2.47	100.0	0.00	2.47	2.47
02/07/0018	#B16557107068705771	BARNES,	SECURITY BENEFIT SBGTRL	0.00	0.67	100.0	0.00	0.67	0.67
02/07/0018	#B16557107068705771	BARNES,	SECURITY BENEFIT SBGTRL	0.00	0.67	100.0	0.00	0.67	0.67
02/07/0018	#B16683107062661009	DEMASI,	SECURITY BENEFIT SBGTRL	0.00	0.51	100.0	0.00	0.51	0.51
02/07/0018	#B16683107062661009	DEMASI,	SECURITY BENEFIT SBGTRL	0.00	0.51	100.0	0.00	0.51	0.51
02/07/0018	#B16632107051722201	MCNAMEE,	SECURITY BENEFIT SBGTRL	0.00	2.00	100.0	0.00	2.00	2.00
02/07/0018	#B16632107051722201	MCNAMEE,	SECURITY BENEFIT SBGTRL	0.00	2.00	100.0	0.00	2.00	2.00
02/07/0018	#B16557107106726917	MERCADO,	SECURITY BENEFIT SBGTRL	0.00	18.72	100.0	0.00	18.72	18.72
02/07/0018	#B16683107069663772	MILANA,	SECURITY BENEFIT SBGTRL	0.00	0.97	100.0	0.00	0.97	0.97
02/07/0018	#B16557107068705771	BARNES,	SECURITY BENEFIT SBGTRL	0.00	1.36	100.0	0.00	1.36	1.36
02/07/0018	#B16557104099429268	BARNES,	SECURITY BENEFIT SBGTRL	0.00	14.41	100.0	0.00	14.41	14.41

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/07/0018	#B16557104085646439	BARNES, SECURITY BENEFIT SBGTRL	0.00	5.62	100.0	0.00	5.62	0.00	5.62
02/07/0018	#B16557107085585407	ARROYO, SECURITY BENEFIT SBGTRL	0.00	0.63	100.0	0.00	0.63	0.00	0.63
02/07/0018	#B16683107062661009	DEMASI, SECURITY BENEFIT SBGTRL	0.00	0.79	100.0	0.00	0.79	0.00	0.79
02/07/0018	#B16632107051722201	MCNAMEE, SECURITY BENEFIT SBGTRL	0.00	18.07	100.0	0.00	18.07	0.00	18.07
02/07/0018	#B16683104096622808	MILANA, SECURITY BENEFIT SBGTRL	0.00	0.95	100.0	0.00	0.95	0.00	0.95
02/07/0018	#B16632107134807134	MILANA, SECURITY BENEFIT SBGTRL	0.00	2.58	100.0	0.00	2.58	0.00	2.58
02/07/0018	#B16683107133661824	FL, UTMA SECURITY BENEFIT SBGTRL	0.00	0.13	100.0	0.00	0.13	0.00	0.13
02/07/0018	#B16632107077700242	RIZZO SECURITY BENEFIT SBGTRL	0.00	1.90	100.0	0.00	1.90	0.00	1.90
01/30/0201	#P3799518434	SULLIVAN, AMERICAN GEN TRAILS	0.00	0.53	100.0	0.00	0.53	0.00	0.53
01/30/0201	#085646439	BARNES, JAMES SECURITY BENEFIT	0.00	5.66	100.0	0.00	5.66	0.00	5.66
01/30/0201	#099429268	BARNES, JAMES SECURITY BENEFIT	0.00	14.15	100.0	0.00	14.15	0.00	14.15
01/30/0201	#068705771	BARNES, JAMES SECURITY BENEFIT	0.00	1.37	100.0	0.00	1.37	0.00	1.37
01/30/0201	#085585407	ARROYO, YOLANDA SECURITY BENEFIT	0.00	0.64	100.0	0.00	0.64	0.00	0.64
01/30/0201	#106726917	MERCADO, WENDY SECURITY BENEFIT	0.00	19.12	100.0	0.00	19.12	0.00	19.12
01/30/0201	#051722201	MCNAMEE, BRIGID SECURITY BENEFIT	0.00	18.46	100.0	0.00	18.46	0.00	18.46
01/30/0201	#077700242	RIZZO SECURITY BENEFIT	0.00	1.94	100.0	0.00	1.94	0.00	1.94
01/30/0201	#134807134	MILANA, MAURIZIO SECURITY BENEFIT	0.00	2.64	100.0	0.00	2.64	0.00	2.64
01/30/0201	#102702501	KELLY, ALISON SECURITY BENEFIT	0.00	0.01	100.0	0.00	0.01	0.00	0.01
01/30/0201	#096622808	MILANA, MAURIZIO SECURITY BENEFIT	0.00	0.97	100.0	0.00	0.97	0.00	0.97
01/30/0201	#062661009	MILANA, MAURIZIO SECURITY BENEFIT	0.00	0.79	100.0	0.00	0.79	0.00	0.79
01/30/0201	#069663772	MILANA, MAURIZIO SECURITY BENEFIT	0.00	0.99	100.0	0.00	0.99	0.00	0.99
01/30/0201	#133661824	FL, UTMA SECURITY BENEFIT	0.00	0.13	100.0	0.00	0.13	0.00	0.13
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 0611	0.00	4.33	100.0	0.00	4.33	0.00	4.33
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 0611	0.00	12.98	100.0	0.00	12.98	0.00	12.98
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 2567	0.00	11.19	100.0	0.00	11.19	0.00	11.19
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 2567	0.00	33.57	100.0	0.00	33.57	0.00	33.57
12/31/2017	#01241687977	VARIOUS HOUSE ALLIANZ 8318	0.00	4.15	100.0	0.00	4.15	0.00	4.15
12/31/2017	#02048639484	VARIOUS HOUSE ALLIANZ 8318	0.00	1.46	100.0	0.00	1.46	0.00	1.46
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8334	0.00	4.88	100.0	0.00	4.88	0.00	4.88
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8334	0.00	2.80	100.0	0.00	2.80	0.00	2.80
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8334	0.00	12.68	100.0	0.00	12.68	0.00	12.68
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8334	0.00	8.39	100.0	0.00	8.39	0.00	8.39
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8730	0.00	4.02	100.0	0.00	4.02	0.00	4.02
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8730	0.00	5.83	100.0	0.00	5.83	0.00	5.83
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8730	0.00	10.45	100.0	0.00	10.45	0.00	10.45
12/31/2017	#00001342617	VARIOUS HOUSE ALLIANZ 8730	0.00	17.48	100.0	0.00	17.48	0.00	17.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#00001342617	VARIOUS HOUSE	ALLIANZ 9449	0.00	9.38	100.0	0.00	9.38	9.38
12/31/2017	#00001342617	VARIOUS HOUSE	ALLIANZ 9449	0.00	28.14	100.0	0.00	28.14	28.14
12/31/2017	#00001342617	VARIOUS HOUSE	ALLIANZ 9498	0.00	5.65	100.0	0.00	5.65	5.65
12/31/2017	#00001342617	VARIOUS HOUSE	ALLIANZ 9498	0.00	16.95	100.0	0.00	16.95	16.95
12/31/2017	#00001342617	VARIOUS HOUSE	ALLIANZ 9498	0.00	7.46	100.0	0.00	7.46	7.46
12/31/2017	#00001342617	VARIOUS HOUSE	ALLIANZ 9720	0.00	2.49	100.0	0.00	2.49	2.49
01/01/2018	#124709LK8	VAIANELLA	TRANS LIFE FL10MS	98,980.72	82.48	100.0	0.00	82.48	82.48
01/04/2018	#062661009	MILANA, MAURIZIO	SECURITY BENEFIT	49.00	0.51	100.0	0.00	0.51	0.51
01/04/2018	#106245LK2	VAIANELLA	TRANS LIFE FE22MA	107,454.53	89.55	100.0	0.00	89.55	89.55
01/07/2018	#127893E2K	VAIANELLA	TRANS LIFE FE2DR1	393.68	0.33	100.0	0.00	0.33	0.33
01/07/2018	#123973LK8	VAIANELLA	TRANS LIFE FL10MS	30,187.34	25.16	100.0	0.00	25.16	25.16
01/07/2018	#123974LK8	VAIANELLA	TRANS LIFE FL10MS	70,690.07	58.91	100.0	0.00	58.91	58.91
01/08/2018	#051722201	MCNAMEE, BRIGID	SECURITY BENEFIT	200.00	2.00	100.0	0.00	2.00	2.00
01/08/2018	#077700242	RIZZO	SECURITY BENEFIT	75.00	0.75	100.0	0.00	0.75	0.75
01/08/2018	#134807134	MILANA, MAURIZIO	SECURITY BENEFIT	100.00	1.00	100.0	0.00	1.00	1.00
02/02/2018	#2486143	WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	0.00	11.11	100.0	0.00	11.11	11.11
02/02/2018	#2486144	WILLIAMS, KELLY	JOHN HANCOCK LIFE TRAILS	0.00	5.44	100.0	0.00	5.44	5.44
02/02/2018	#2557053	VILLALON, MITZI	JOHN HANCOCK LIFE TRAILS	0.00	41.39	100.0	0.00	41.39	41.39
02/02/2018	#2557056	GREEN, JILL	JOHN HANCOCK LIFE TRAILS	0.00	2.02	100.0	0.00	2.02	2.02
02/02/2018	#2580265	SHARMA, RAHUL	JOHN HANCOCK LIFE TRAILS	0.00	75.00	100.0	0.00	75.00	75.00
02/02/2018	#2589636	LINDE, RYAN	JOHN HANCOCK LIFE TRAILS	0.00	10.86	100.0	0.00	10.86	10.86
02/02/2018	#2790498	YUNGMAN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	18.79	100.0	0.00	18.79	18.79
02/02/2018	#95021964	YUNGMAN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	26.78	100.0	0.00	26.78	26.78
02/02/2018	#2504052	KOONCE, JANICE	JOHN HANCOCK LIFE TRAILS	0.00	33.01	100.0	0.00	33.01	33.01
02/02/2018	#2538574	GRALA, MERIA	JOHN HANCOCK LIFE TRAILS	0.00	30.72	100.0	0.00	30.72	30.72
02/02/2018	#2721796	ASHRAF, IRVING	JOHN HANCOCK LIFE TRAILS	0.00	52.71	100.0	0.00	52.71	52.71
02/02/2018	#70051358	THOMPSON, GEORGE	JOHN HANCOCK LIFE TRAILS	0.00	70.84	100.0	0.00	70.84	70.84
02/03/2018	#P55D4503600	BOYD, DENA	AMERICAN GEN TRAILS	0.00	34.67	100.0	0.00	34.67	34.67
02/03/2018	#P55D4560319	HENSLEY, KATHY	AMERICAN GEN TRAILS	0.00	15.45	100.0	0.00	15.45	15.45
02/03/2018	#C133357LM	HEAD VAR	VOYA FINANCIAL	0.00	64.00	100.0	0.00	64.00	64.00
02/03/2018	#C133358LM	HEAD VAR	VOYA FINANCIAL	0.00	88.00	100.0	0.00	88.00	88.00
02/03/2018	#C1870890X	HEAD VAR	VOYA FINANCIAL	0.00	21.75	100.0	0.00	21.75	21.75
02/03/2018	#C1870910X	HEAD VAR	VOYA FINANCIAL	0.00	45.35	100.0	0.00	45.35	45.35
02/07/2018	#I2534350W	HEAD VAR	VOYA FINANCIAL	0.00	121.95	100.0	0.00	121.95	121.95
02/08/2018	#15N6033910	SINES, DONNA	TRANSAMERICA PRE	0.00	768.70	100.0	0.00	768.70	768.70
02/08/2018	#I2537330W	HEAD VAR	VOYA	0.00	51.82	100.0	0.00	51.82	51.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/28/2018	#1017364452	VESCUSO ELITE ACCESS	6,845.08	5.70	100.0	0.00	5.70	0.00	5.70
02/28/2018	#1017364320	VESCUSO ELITE ACCESS	9,034.68	7.53	100.0	0.00	7.53	0.00	7.53
02/28/2018	#009420996A	LYON, LEIGH RTMT LAT	172,330.12	71.80	100.0	0.00	71.80	0.00	71.80
02/28/2018	#009421048A	RYAN, THOMAS RTMT LAT	21,471.49	8.95	100.0	0.00	8.95	0.00	8.95
* VARIABLE ANNUITIES SUBTOTAL *			\$1,429,873.41	\$3,589.54		\$0.00	\$3,589.54	\$0.00	\$3,589.54
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
02/22/2018	# NCIAL SVCS LLC, LIBERTY	BANK OF THE WEST INSTL CD CD	11,640.00	0.00	100.0	0.00	0.00	0.00	0.00
02/22/2018	# NCIAL SVCS LLC, LIBERTY	BANK OF THE WEST INSTL CD CD	12,000.00	0.00	100.0	0.00	0.00	0.00	0.00
02/22/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	9,000.00	0.00	100.0	0.00	0.00	0.00	0.00
02/22/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	8,730.00	0.00	100.0	0.00	0.00	0.00	0.00
02/22/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	11,640.00	0.00	100.0	0.00	0.00	0.00	0.00
02/22/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	12,000.00	0.00	100.0	0.00	0.00	0.00	0.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *			\$65,010.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
02/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	100,006.48	0.00	100.0	0.00	0.00	19.95	-19.95
02/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	100,006.48	0.00	100.0	0.00	0.00	19.95	-19.95
02/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	12,499.31	0.00	100.0	0.00	0.00	19.95	-19.95
02/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	11,252.98	0.00	100.0	0.00	0.00	19.95	-19.95
02/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	219,289.57	0.00	100.0	0.00	0.00	19.95	-19.95
02/02/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST SABRIENT RISIN	50,006.02	0.00	100.0	0.00	0.00	19.95	-19.95
02/02/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST SABRIENT RISIN	50,006.02	0.00	100.0	0.00	0.00	19.95	-19.95
02/02/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST SABRIENT RISIN	20,009.80	0.00	100.0	0.00	0.00	19.95	-19.95
02/02/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST SABRIENT RISIN	117,621.19	0.00	100.0	0.00	0.00	19.95	-19.95
02/02/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	50,008.52	0.00	100.0	0.00	0.00	19.95	-19.95
02/02/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	49,383.40	0.00	100.0	0.00	0.00	19.95	-19.95
02/06/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	50,006.11	0.00	100.0	0.00	0.00	19.95	-19.95
02/06/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	50,006.11	0.00	100.0	0.00	0.00	19.95	-19.95
02/06/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	20,002.44	0.00	100.0	0.00	0.00	19.95	-19.95
02/06/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST AMERN AGENDA	117,614.08	0.00	100.0	0.00	0.00	19.95	-19.95
02/06/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	50,000.47	0.00	100.0	0.00	0.00	19.95	-19.95
02/06/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	49,375.40	0.00	100.0	0.00	0.00	19.95	-19.95
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$1,117,094.38	\$0.00		\$0.00	\$0.00	\$339.15	\$-339.15

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/02/2018	# BECKLES, LIONEL	DAIN TRAILER	35.00	0.00	100.0	0.00	0.00	0.00	0.00
02/06/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
02/09/2018	# MORRIS, JOHN	DAIN TRAILER	0.00	1.25	100.0	0.00	1.25	0.00	1.25
02/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.13	100.0	0.00	1.13	0.00	1.13
02/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.24	100.0	0.00	2.24	0.00	2.24
02/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	27.63	100.0	0.00	27.63	0.00	27.63
02/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.42	100.0	0.00	1.42	0.00	1.42
02/09/2018	# ARASE, ANNABEL	DAIN TRAILER	0.00	0.85	100.0	0.00	0.85	0.00	0.85
02/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.46	100.0	0.00	1.46	0.00	1.46
02/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.37	100.0	0.00	1.37	0.00	1.37
02/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.86	100.0	0.00	1.86	0.00	1.86
02/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	2.18	100.0	0.00	2.18	0.00	2.18
02/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.94	100.0	0.00	1.94	0.00	1.94
02/09/2018	# SMITH, MARGARET	DAIN TRAILER	0.00	3.94	100.0	0.00	3.94	0.00	3.94
02/09/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.75	100.0	0.00	3.75	0.00	3.75
02/09/2018	# JOHNSON, DANIEL	DAIN TRAILER	0.00	3.92	100.0	0.00	3.92	0.00	3.92
02/09/2018	# HAVENER, RANDAL	DAIN TRAILER	0.00	5.45	100.0	0.00	5.45	0.00	5.45
02/09/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	14.83	100.0	0.00	14.83	0.00	14.83
02/09/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	41.39	100.0	0.00	41.39	0.00	41.39
02/09/2018	# MYERS, STANLEY	DAIN TRAILER	0.00	2.78	100.0	0.00	2.78	0.00	2.78
02/09/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	4.21	100.0	0.00	4.21	0.00	4.21
02/09/2018	# HERZICK, JERRY	DAIN TRAILER	0.00	19.99	100.0	0.00	19.99	0.00	19.99
02/09/2018	# MAYER, LEOTA	DAIN TRAILER	0.00	12.75	100.0	0.00	12.75	0.00	12.75
02/09/2018	# CASTRO, NANCY	DAIN TRAILER	0.00	7.60	100.0	0.00	7.60	0.00	7.60
02/09/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	4.16	100.0	0.00	4.16	0.00	4.16
02/09/2018	# GONZALEZ, GEORGE	DAIN TRAILER	0.00	9.71	100.0	0.00	9.71	0.00	9.71
02/09/2018	# MCGARRAH, DENNIS	DAIN TRAILER	0.00	1.59	100.0	0.00	1.59	0.00	1.59
02/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
02/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.12	100.0	0.00	1.12	0.00	1.12
02/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.93	100.0	0.00	2.93	0.00	2.93
02/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.29	100.0	0.00	2.29	0.00	2.29
02/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.53	100.0	0.00	2.53	0.00	2.53
02/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.91	100.0	0.00	1.91	0.00	1.91
02/09/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
02/09/2018	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
02/09/2018	# BOCK, MARION	DAIN TRAILER	0.00	1.20	100.0	0.00	1.20	0.00	1.20

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# BRYANT, MORGAN	DAIN TRAILER	0.00	5.19	100.0	0.00	5.19	0.00	5.19
02/09/2018	# FRENCH, KENNER	DAIN TRAILER	0.00	0.29	100.0	0.00	0.29	0.00	0.29
02/09/2018	# GALLAGHER, JAMES	DAIN TRAILER	0.00	5.87	100.0	0.00	5.87	0.00	5.87
02/09/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	5.39	100.0	0.00	5.39	0.00	5.39
02/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	1.44	100.0	0.00	1.44	0.00	1.44
02/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.35	100.0	0.00	0.35	0.00	0.35
02/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.77	100.0	0.00	0.77	0.00	0.77
02/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.89	100.0	0.00	0.89	0.00	0.89
02/09/2018	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.99	100.0	0.00	0.99	0.00	0.99
02/09/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	7.32	100.0	0.00	7.32	0.00	7.32
02/09/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	0.82	100.0	0.00	0.82	0.00	0.82
02/09/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	0.55	100.0	0.00	0.55	0.00	0.55
02/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.78	100.0	0.00	0.78	0.00	0.78
02/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
02/09/2018	# HORTON, KATHY	DAIN TRAILER	0.00	24.91	100.0	0.00	24.91	0.00	24.91
02/09/2018	# ALVES, ELMIRA	DAIN TRAILER	0.00	7.92	100.0	0.00	7.92	0.00	7.92
02/09/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.38	100.0	0.00	0.38	0.00	0.38
02/09/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.95	100.0	0.00	0.95	0.00	0.95
02/09/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	2.58	100.0	0.00	2.58	0.00	2.58
02/09/2018	# SARGENT, HARRY	DAIN TRAILER	0.00	10.17	100.0	0.00	10.17	0.00	10.17
02/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	5.53	100.0	0.00	5.53	0.00	5.53
02/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	7.02	100.0	0.00	7.02	0.00	7.02
02/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	4.68	100.0	0.00	4.68	0.00	4.68
02/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
02/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	1.60	100.0	0.00	1.60	0.00	1.60
02/09/2018	# SARGENT, DEBRA	DAIN TRAILER	0.00	2.73	100.0	0.00	2.73	0.00	2.73
02/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	5.70	100.0	0.00	5.70	0.00	5.70
02/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	3.16	100.0	0.00	3.16	0.00	3.16
02/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.41	100.0	0.00	0.41	0.00	0.41
02/09/2018	# AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.62	100.0	0.00	2.62	0.00	2.62
02/09/2018	# RUTTER, DAVID	DAIN TRAILER	0.00	1.04	100.0	0.00	1.04	0.00	1.04
02/09/2018	# JACOBS, KARL	DAIN TRAILER	0.00	0.35	100.0	0.00	0.35	0.00	0.35
02/09/2018	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.69	100.0	0.00	2.69	0.00	2.69
02/09/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
02/09/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.71	100.0	0.00	0.71	0.00	0.71
02/09/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.19	100.0	0.00	1.19	0.00	1.19

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	4.13	100.0	0.00	4.13	0.00	4.13
02/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	0.68	100.0	0.00	0.68	0.00	0.68
02/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	3.48	100.0	0.00	3.48	0.00	3.48
02/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	1.84	100.0	0.00	1.84	0.00	1.84
02/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	20.86	100.0	0.00	20.86	0.00	20.86
02/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	3.26	100.0	0.00	3.26	0.00	3.26
02/09/2018 #	SALES, MIRIAM	DAIN TRAILER	0.00	4.13	100.0	0.00	4.13	0.00	4.13
02/09/2018 #	SALES, MIRIAM	DAIN TRAILER	0.00	0.69	100.0	0.00	0.69	0.00	0.69
02/09/2018 #	SCOTT, JIM	DAIN TRAILER	0.00	12.99	100.0	0.00	12.99	0.00	12.99
02/09/2018 #	GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.37	100.0	0.00	2.37	0.00	2.37
02/09/2018 #	THORPE, JANE	DAIN TRAILER	0.00	1.52	100.0	0.00	1.52	0.00	1.52
02/09/2018 #	BRICKNER, DIANA	DAIN TRAILER	0.00	5.07	100.0	0.00	5.07	0.00	5.07
02/09/2018 #	BRICKNER, DIANA	DAIN TRAILER	0.00	0.39	100.0	0.00	0.39	0.00	0.39
02/09/2018 #	WHITE, SHELBY	DAIN TRAILER	0.00	12.16	100.0	0.00	12.16	0.00	12.16
02/09/2018 #	WHITE, SHELBY	DAIN TRAILER	0.00	3.41	100.0	0.00	3.41	0.00	3.41
02/09/2018 #	WILLIS, BARBARA	DAIN TRAILER	0.00	0.50	100.0	0.00	0.50	0.00	0.50
02/09/2018 #	WILLIS, BARBARA	DAIN TRAILER	0.00	1.67	100.0	0.00	1.67	0.00	1.67
02/09/2018 #	BOHANAN, LINDA	DAIN TRAILER	0.00	4.54	100.0	0.00	4.54	0.00	4.54
02/09/2018 #	KIRSE, JOHN	DAIN TRAILER	0.00	2.37	100.0	0.00	2.37	0.00	2.37
02/09/2018 #	KIRSE, JOHN	DAIN TRAILER	0.00	1.45	100.0	0.00	1.45	0.00	1.45
02/09/2018 #	KIRSE, JENNIFER	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
02/09/2018 #	KIRSE, JENNIFER	DAIN TRAILER	0.00	1.06	100.0	0.00	1.06	0.00	1.06
02/09/2018 #	KIRSE, JENNIFER	DAIN TRAILER	0.00	1.65	100.0	0.00	1.65	0.00	1.65
02/09/2018 #	KIRSE, JENNIFER	DAIN TRAILER	0.00	0.32	100.0	0.00	0.32	0.00	0.32
02/09/2018 #	KIRSE, JENNIFER	DAIN TRAILER	0.00	1.64	100.0	0.00	1.64	0.00	1.64
02/09/2018 #	JARVIS, RACHEL	DAIN TRAILER	0.00	7.16	100.0	0.00	7.16	0.00	7.16
02/09/2018 #	STOUT, RHONDA	DAIN TRAILER	0.00	2.04	100.0	0.00	2.04	0.00	2.04
02/09/2018 #	STOUT, RHONDA	DAIN TRAILER	0.00	7.64	100.0	0.00	7.64	0.00	7.64
02/09/2018 #	JARVIS, RACHEL	DAIN TRAILER	0.00	3.81	100.0	0.00	3.81	0.00	3.81
02/09/2018 #	JARVIS, RACHEL	DAIN TRAILER	0.00	3.96	100.0	0.00	3.96	0.00	3.96
02/09/2018 #	MEDALEN, TERRY	DAIN TRAILER	0.00	2.73	100.0	0.00	2.73	0.00	2.73
02/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	2.58	100.0	0.00	2.58	0.00	2.58
02/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	27.65	100.0	0.00	27.65	0.00	27.65
02/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	80.11	100.0	0.00	80.11	0.00	80.11
02/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	56.66	100.0	0.00	56.66	0.00	56.66
02/09/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	0.54	100.0	0.00	0.54	0.00	0.54

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	2.73	100.0	0.00	2.73	0.00	2.73
02/09/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	0.27	100.0	0.00	0.27	0.00	0.27
02/09/2018 #	KELLY, RICHARD	DAIN TRAILER	0.00	4.67	100.0	0.00	4.67	0.00	4.67
02/14/2018 #00002132507	VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	6.69	100.0	0.00	6.69	0.00	6.69
02/14/2018 #00026023772	VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.89	100.0	0.00	3.89	0.00	3.89
02/16/2018 #	BOWLEY, KAREN	DAIN TRAILER	0.00	0.63	100.0	0.00	0.63	0.00	0.63
02/21/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
02/21/2018 #	KIRSE, JOHN	DAIN TRAILER	0.00	1.02	100.0	0.00	1.02	0.00	1.02
02/22/2018 #	JENSEN, MARGHERITA	DAIN TRAILER	0.00	5.35	100.0	0.00	5.35	0.00	5.35
02/22/2018 #	WILLARD SYLVAN GROVE	DAIN TRAILER	0.00	24.43	100.0	0.00	24.43	0.00	24.43
02/26/2018 #	SHARMA, MADHU	DAIN TRAILER	0.00	1.41	100.0	0.00	1.41	0.00	1.41
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$35.00	\$653.46		\$0.00	\$653.46	\$0.00	\$653.46
*** BROKER TRANSACTIONS TOTAL ***			\$4,238,028.87	\$7,435.57		\$0.00	\$7,435.57	\$339.15	\$7,096.42

OVERRIDE TRANSACTIONS

GLEDHILL, MIKE - TERMINATED	73.25	73.25
HEADRICK, JEFF - TERMINATED	7.00	7.00
SANCHEZ, ISAAC - TERMINATED	16.68	16.68
SUTTON, VIC - TERMINATED	3.50	3.50
MCTIER, MAHARI - TERMINATED	7.14	7.14
KOESTER, CHASE - TERMINATED	273.22	273.22
STROUD, JOSHUA - TERMINATED	13.40	13.40
GERSON - HOUSE, GLENN	2.19	2.19
*** OVERRIDE TRANSACTIONS TOTAL ***	\$396.38	\$396.38

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-16.66
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-33.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-9.20
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-7.06
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.96
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-42.21
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-9.49
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.80
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.07
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-40.10
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-7.89
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-12.74
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.99

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.98
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-7.35
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.97
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-37.44
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-19.25
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.03
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-21.85
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-25.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-48.38
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-44.83
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-44.82
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-6.02
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-3.46
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-40.28
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-48.45
		DEBIT BALANCE							

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.96
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.99
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-44.83
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-40.45
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-19.55
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-46.31
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-36.60
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-19.68
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-36.30
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-44.21
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-46.74
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-18.25
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-48.40
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.98
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-14.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-44.45
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
03/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):							-25.00
		MANUAL PROCESS FEE							
*** ADJUSTMENTS TOTAL ***									\$-2,415.98

BROKER COMMISSION TOTALS

Broker Transactions	\$7,096.42
Split Transactions	\$0.00
Override Transactions	\$396.38
Adjustments	\$-2,415.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,076.82
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