



All Companies
COMMISSION STATEMENT
April 16, 2018

04/12/2018
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PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	558.80	558.80	0.00	558.80	90.0	502.92
03/27/2018	00063808760 DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
03/27/2018	00063808760 DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
03/26/2018	00064607901 LARSON JT TEN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
03/27/2018	00068487409 CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
03/27/2018	00068487409 CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
04/02/2018	00068650190 LEIGH A PEACOCK, P	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	2.13	0.00	2.13	90.0	1.92
04/05/2018	00068899251 SHERTZER, LUKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
04/03/2018	00074947376 MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0	4.78
04/05/2018	00082816231 SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
04/02/2018	00085609264 BERGER, PRIO	AMERICAN FUNDS BALANCED FD	B	250.00	12.50	0.00	12.50	90.0	11.25
04/02/2018	00085609327 NEAL, JYNETTE	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	75.00	2.81	0.00	2.81	90.0	2.53
04/02/2018	00085609327 NEAL, JYNETTE	AMERICAN FUNDS WA MUTUAL INVES B	B	75.00	2.81	0.00	2.81	90.0	2.53
04/03/2018	00086561092 WISNIEWSKI, ANDREW	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/27/2018	00087104938 RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
03/27/2018	00087104938 RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
03/27/2018	00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
03/27/2018	00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
03/27/2018	00088535675 CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	1.28	0.00	1.28	90.0	1.15
03/27/2018	00088535675 CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	1.28	0.00	1.28	90.0	1.15
03/27/2018	00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
03/27/2018	00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
04/02/2018	00089423266 MONTIEL, MELISSA	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0	13.50
04/02/2018	00089423266 MONTIEL, MELISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0	4.50
03/26/2018	5501744996 LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION B	B	400.00	12.00	0.00	12.00	90.0	10.80

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/26/2018	5501745018 LARSON, DEBORAH	PORTFOLIO SERIES: ACTIVE ALLOCATION B		400.00	12.00	0.00	12.00	90.0	10.80
* MUTUAL FUNDS SUBTOTAL *				\$4,696.52	\$678.53	\$0.00	\$678.53		\$610.69

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/29/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	2,000.00	80.00	0.00	80.00	90.0	72.00
04/02/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	1,280.59	38.41	0.00	38.41	90.0	34.57
* VARIABLE ANNUITIES SUBTOTAL *				\$3,280.59	\$118.41	\$0.00	\$118.41		\$106.57

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/16/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	8.94	0.00	8.94	90.0	8.05
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	593.48	0.00	593.48	90.0	534.15
04/16/2018	TRAIL COMMISSIONS	INVESCO FAMILY OF FUNDS FEES		0.00	0.78	0.00	0.78	90.0	0.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$603.20	\$0.00	\$603.20		\$542.90
*** BROKER TRANSACTIONS TOTAL ***				\$7,977.11	\$1,400.14	\$0.00	\$1,400.14		\$1,260.16

ADJUSTMENTS

04/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,260.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,232.66
Year-to-date Earnings	\$164,493.74