



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 28, 2017**

03/06/2017  
 Page No. 1

JOHN ROSS  
 7261 W. Central Ave.  
  
 Toledo, OH 43617

BROKER #: R119  
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/06/2017	STEPHENS, ADAM	AM FUNDS 2025 TARGET DATE-A	200.00	7.50	0.00	7.50	92.0	6.90
02/06/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	60.00	2.25	0.00	2.25	92.0	2.07
02/16/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	92.0	2.30
02/10/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	92.0	4.60
02/06/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	60.00	2.25	0.00	2.25	92.0	2.07
02/06/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	60.00	2.25	0.00	2.25	92.0	2.07
02/08/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
02/08/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
02/08/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
02/08/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
02/16/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	250.00	2.50	0.00	2.50	92.0	2.30
02/16/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
02/15/2017	ROSS, MICHELLE L HUDS	AM FUNDS SHORT TERM BND FUND OF AM	1,500.00	30.00	0.00	30.00	92.0	27.60
02/06/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	20.00	0.75	0.00	0.75	92.0	0.69
02/10/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	92.0	2.30
02/09/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	500.00	5.00	0.00	5.00	92.0	4.60
02/14/2017	BLAKE, MIRIAM	LORD ABBETT AFFILIATED A	0.00	4.57	0.00	4.57	92.0	4.20
02/14/2017	ROSSCO INVESTMENT CL	LORD ABBETT BOND DEBENTURE A	0.00	0.25	0.00	0.25	92.0	0.23
02/14/2017	BLAKE, MIRIAM	LORD ABBETT DEVELOPING GROWTH A	0.00	1.17	0.00	1.17	92.0	1.08
02/14/2017	BLAKE, MIRIAM	LORD ABBETT EMERGING MARKETS A	0.00	0.05	0.00	0.05	92.0	0.05
02/14/2017	BLAKE, MIRIAM	LORD ABBETT INCOME A	0.00	3.48	0.00	3.48	92.0	3.20
02/14/2017	ROSSCO INVESTMENT CL	LORD ABBETT MID CAP STOCK A	0.00	1.15	0.00	1.15	92.0	1.06
* MUTUAL FUNDS SUBTOTAL *			\$3,595.00	\$82.74	\$0.00	\$82.74		\$76.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/19/2017	CARMEAN, KATHERINE	AM GEN SEASONS ELITE	35,744.40	89.36	0.00	89.36	92.0	82.21
02/10/2017	BECK, JOHN	MML ARTISTRY	200.00	11.00	0.00	11.00	92.0	10.12
02/10/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
02/14/2017	REEVES, TERRENCE	MML ARTISTRY	70.00	3.85	0.00	3.85	92.0	3.54
01/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	145,721.35	121.43	0.00	121.43	92.0	111.72
01/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	97,825.50	81.52	0.00	81.52	92.0	75.00
01/21/2017	DEMKO, VERA	TRANSAMERICA LIBERTY	77,662.16	64.72	0.00	64.72	92.0	59.54
01/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	192,471.24	160.39	0.00	160.39	92.0	147.56
01/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	2,284.84	1.90	0.00	1.90	92.0	1.75
01/28/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	27,102.76	22.59	0.00	22.59	92.0	20.78
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$579,132.25</b>	<b>\$559.51</b>	<b>\$0.00</b>	<b>\$559.51</b>		<b>\$514.75</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/02/2017	BECK, JOHN	ING PREMIUM PLUS 2005	0.00	14.69	0.00	14.69	92.0	13.51
02/03/2017	SWINDLE, CYNTHIA	ING PREMIUM PLUS 2005	0.00	40.34	0.00	40.34	92.0	37.11
02/07/2017	KOTLARCZYK, JOSEPH	ING PREMIUM PLUS 2005	0.00	67.47	0.00	67.47	92.0	62.07
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$122.50</b>	<b>\$0.00</b>	<b>\$122.50</b>		<b>\$112.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$582,727.25</b>	<b>\$764.75</b>	<b>\$0.00</b>	<b>\$764.75</b>		<b>\$703.55</b>

**OVERRIDE TRANSACTIONS****BROKER: THOMAS HALSEY #82**

01/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.39	0.00	0.39	85.0	0.03
01/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.39	0.00	0.39	85.0	0.03
02/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
02/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
01/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.35	0.00	7.35	85.0	0.51
01/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.16	0.00	1.16	85.0	0.08
02/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
01/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.16	0.00	1.16	85.0	0.08
01/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	10.80	0.00	10.80	85.0	0.76
02/14/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
02/22/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
01/02/2017	PRZYBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	125,515.33	104.60	0.00	104.60	85.0	7.32

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
01/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	97,776.95	81.48	0.00	81.48	85.0	5.70
01/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	82,906.15	69.09	0.00	69.09	85.0	4.84
01/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	217,924.32	181.60	0.00	181.60	85.0	12.71
01/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	93,563.42	77.97	0.00	77.97	85.0	5.46
01/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	125,112.68	104.26	0.00	104.26	85.0	7.30
01/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	140,496.28	117.08	0.00	117.08	85.0	8.20
01/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	211,665.04	176.39	0.00	176.39	85.0	12.35
01/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	173,071.27	144.23	0.00	144.23	85.0	10.10
01/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	105,200.70	87.67	0.00	87.67	85.0	6.14
01/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	90,812.84	75.68	0.00	75.68	85.0	5.30
01/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	85,167.26	70.97	0.00	70.97	85.0	4.97
01/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	130,563.98	108.80	0.00	108.80	85.0	7.62
01/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	64,093.21	53.41	0.00	53.41	85.0	3.74
01/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	27,813.38	23.18	0.00	23.18	85.0	1.62
01/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	198,764.79	165.64	0.00	165.64	85.0	11.59
01/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,200.80	61.83	0.00	61.83	85.0	4.33
01/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,200.80	61.83	0.00	61.83	85.0	4.33
01/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,200.80	61.83	0.00	61.83	85.0	4.33
01/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	179,470.34	149.56	0.00	149.56	85.0	10.47
01/22/2017	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	410,215.32	341.85	0.00	341.85	85.0	23.93
01/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	89,798.89	74.83	0.00	74.83	85.0	5.24
01/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	390,216.59	325.18	0.00	325.18	85.0	22.76
01/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	81,729.22	68.11	0.00	68.11	85.0	4.77
01/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	125,990.34	104.99	0.00	104.99	85.0	7.35
01/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	113,784.86	94.82	0.00	94.82	85.0	6.64
01/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,060.72	22.55	0.00	22.55	85.0	1.58
01/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	56,643.92	47.20	0.00	47.20	85.0	3.30
01/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,340.05	30.28	0.00	30.28	85.0	2.12
01/28/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	45,806.85	38.17	0.00	38.17	85.0	2.67
<b>* THOMAS HALSEY SUBTOTAL *</b>			<b>\$3,750,607.10</b>	<b>\$3,168.33</b>	<b>\$0.00</b>	<b>\$3,168.33</b>		<b>\$221.82</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
01/30/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.78	0.00	4.78	90.0	0.10
02/13/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
01/28/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	54,362.30	56.63	0.00	56.63	90.0	1.13
01/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	128,221.69	106.85	0.00	106.85	90.0	2.14
01/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,379.08	3.65	0.00	3.65	90.0	0.07
01/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	242,173.10	201.81	0.00	201.81	90.0	4.04
01/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,276.47	19.40	0.00	19.40	90.0	0.39
01/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,075.75	5.06	0.00	5.06	90.0	0.10
01/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	93,801.28	78.17	0.00	78.17	90.0	1.56
01/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,552.93	40.46	0.00	40.46	90.0	0.81
01/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	30,033.47	25.03	0.00	25.03	90.0	0.50
01/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,115.21	32.60	0.00	32.60	90.0	0.65
01/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	253,246.90	211.04	0.00	211.04	90.0	4.22
01/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	156,106.10	130.09	0.00	130.09	90.0	2.60
01/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	75,041.21	62.53	0.00	62.53	90.0	1.25
01/15/2017	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	485.89	0.40	0.00	0.40	90.0	0.01
01/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,492.78	2.08	0.00	2.08	90.0	0.04
01/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	220,971.71	184.14	0.00	184.14	90.0	3.68
01/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,913.82	95.76	0.00	95.76	90.0	1.92
01/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,529.74	2.11	0.00	2.11	90.0	0.04
01/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	120,799.51	100.67	0.00	100.67	90.0	2.01
01/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,295.53	21.91	0.00	21.91	90.0	0.44
01/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	96,963.16	80.80	0.00	80.80	90.0	1.62
01/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	82,566.28	68.81	0.00	68.81	90.0	1.38
01/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	38,949.86	32.46	0.00	32.46	90.0	0.65
01/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	107,290.85	89.41	0.00	89.41	90.0	1.79
01/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	60,818.01	50.68	0.00	50.68	90.0	1.01
01/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	96,147.20	80.12	0.00	80.12	90.0	1.60
01/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	172,010.02	143.34	0.00	143.34	90.0	2.87
01/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	216,368.91	180.31	0.00	180.31	90.0	3.61
01/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,720.15	22.27	0.00	22.27	90.0	0.45
01/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,429.17	64.52	0.00	64.52	90.0	1.29
01/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	149,748.91	124.79	0.00	124.79	90.0	2.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
01/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	32,351.28	26.96	0.00	26.96	90.0	0.54
01/28/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	165,560.33	137.97	0.00	137.97	90.0	2.76
01/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	107,452.69	89.54	0.00	89.54	90.0	1.79
* ROBERT THOMPSON SUBTOTAL *			<b>\$3,073,401.29</b>	<b>\$2,587.28</b>	<b>\$0.00</b>	<b>\$2,587.28</b>		<b>\$51.76</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$6,824,008.39</b>	<b>\$5,755.61</b>	<b>\$0.00</b>	<b>\$5,755.61</b>		<b>\$273.58</b>

 **BROKER COMMISSION TOTALS** 

Broker Transactions	\$703.55
Split Transactions	\$0.00
Override Transactions	\$273.58
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$977.13</b>
Year-to-date Gross	<b>\$18,918.41</b>
Year-to-date Earnings	<b>\$18,360.75</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed