

**COMMISSION STATEMENT****June 30, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|-----------------------|----------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| ^06/13/2018 | BROWN, KAY | AMERICAN FUNDS | 1,622.04 | 2.04 | 0.00 | 2.04 | 90.0 | 0.00 |
| ^06/13/2018 | BAILEY, BENJAMIN | AMERICAN FUNDS | 29.31 | 0.04 | 0.00 | 0.04 | 90.0 | 0.00 |
| ^06/13/2018 | BAILEY, BENJAMIN | AMERICAN FUNDS | 44.50 | 0.06 | 0.00 | 0.06 | 90.0 | 0.00 |
| ^06/13/2018 | BAILEY, BENJAMIN | AMERICAN FUNDS | 51.79 | 0.07 | 0.00 | 0.07 | 90.0 | 0.00 |
| ^06/13/2018 | BUFFENBARGER, LORETTA | AMERICAN FUNDS | 722.93 | 0.91 | 0.00 | 0.91 | 90.0 | 0.00 |
| ^06/13/2018 | BEGHTEL, JOEL | AMERICAN FUNDS | 1,608.99 | 2.03 | 0.00 | 2.03 | 90.0 | 0.00 |
| ^06/13/2018 | MICHELL, JOSEPH | AMERICAN FUNDS | 788.35 | 0.99 | 0.00 | 0.99 | 90.0 | 0.00 |
| ^06/13/2018 | MICHELL, JOSEPH | AMERICAN FUNDS | 2,197.82 | 2.77 | 0.00 | 2.77 | 90.0 | 0.00 |
| ^06/08/2018 | FORT WAYNE, CITY OF | FIDELITY INVESTMENTS | 0.00 | 3,802.89 | 0.00 | 3,802.89 | 90.0 | 0.00 |
| * RIA SUBTOTAL * | | | \$7,065.73 | \$3,811.80 | \$0.00 | \$3,811.80 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$7,065.73 | \$3,811.80 | \$0.00 | \$3,811.80 | | \$0.00 |

SPLIT TRANSACTIONS

| | | | | | | | | |
|--|-----------------------|----------------------|-------------------|-------------------|---------------|-------------------|------|-------------------|
| <u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u> | | | | | | | | |
| 06/13/2018 | BROWN, KAY | AMERICAN FUNDS | 1,622.04 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| 06/13/2018 | BAILEY, BENJAMIN | AMERICAN FUNDS | 29.31 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 06/13/2018 | BAILEY, BENJAMIN | AMERICAN FUNDS | 44.50 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 06/13/2018 | BAILEY, BENJAMIN | AMERICAN FUNDS | 51.79 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 06/13/2018 | BUFFENBARGER, LORETTA | AMERICAN FUNDS | 722.93 | 0.27 | 0.00 | 0.27 | 90.0 | 0.24 |
| 06/13/2018 | BEGHTEL, JOEL | AMERICAN FUNDS | 1,608.99 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| 06/13/2018 | MICHELL, JOSEPH | AMERICAN FUNDS | 788.35 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 06/13/2018 | MICHELL, JOSEPH | AMERICAN FUNDS | 2,197.82 | 0.83 | 0.00 | 0.83 | 90.0 | 0.75 |
| 06/08/2018 | FORT WAYNE, CITY OF | FIDELITY INVESTMENTS | 0.00 | 1,140.87 | 0.00 | 1,140.87 | 90.0 | 1,026.78 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | | | \$7,065.73 | \$1,143.54 | \$0.00 | \$1,143.54 | | \$1,029.19 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$7,065.73 | \$1,143.54 | \$0.00 | \$1,143.54 | | \$1,029.19 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$1,029.19 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$1,029.19 |
| Year-to-date Gross | \$10,092.21 |
| Year-to-date Earnings | \$9,083.04 |