

All Companies
STATEMENT
March 20, 2018

RON BARTSCH
S12 W29085 Summitt Ave
SUITE 620
Waukesha, WI 53188

BROKER #: 037
BRANCH: LM BRANCH

COMMISSION STATEMENT for RON BARTSCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/02/2018	#02500080766 HERVE, BRAND	INVESTCO ASIA PACIFIC GROWTH	60.00	0.60	95.0	0.00	0.57	0.00	0.57
02/08/2018	#01001229265 HERVEY UTMA/WI,	INVESCO ENERGY FUND CLASS C	60.00	0.60	95.0	0.00	0.57	0.00	0.57
* MUTUAL FUNDS SUBTOTAL *			\$120.00	\$1.20		\$0.00	\$1.14	\$0.00	\$1.14
PRODUCT CATEGORY: STOCKS									
01/30/2018	# PRICE, THOMAS	MEDLEY CAPITAL CORPORATION	40,960.00	1,042.97	95.0	0.00	990.82	18.00	972.82
02/05/2018	# REV TRUST, THOMAS & DORIS	LENDINGTREE INC NEW COM	34,200.00	5.00	95.0	0.00	4.75	18.00	-13.25
02/05/2018	# REV TRUST, THOMAS & DORIS	NVIDIA CORP	62,730.00	315.00	95.0	0.00	299.25	18.00	281.25
02/06/2018	# BLE, BALDWIN GROSSE	DIREXION SHS ETF TR DAILY FINL BULL	20,161.89	315.00	95.0	0.00	299.25	18.00	281.25
02/06/2018	# SHORT, EDDIE	DIREXION SHS ETF TR DAILY FINL BULL	19,650.00	315.00	95.0	0.00	299.25	18.00	281.25
02/06/2018	# BARTSCH, MICHAEL	DIREXION SHS ETF TR DAILY FINL BULL	13,397.66	210.00	95.0	0.00	199.50	18.00	181.50
02/06/2018	# OLSON, MARTHA	DIREXION SHS ETF TR DAILY FINL BULL	6,643.64	105.00	95.0	0.00	99.75	18.00	81.75
02/09/2018	# FEIDER, PETER	DIREXION SHS ETF TR DAILY FINL BULL	6,180.00	105.00	95.0	0.00	99.75	18.00	81.75
02/09/2018	# REV TRUST, THOMAS & DORIS	MGIC INVESTMENT CORP-WISC	837,600.00	9,548.47	95.0	0.00	9,071.05	18.00	9,053.05
02/09/2018	# BLE, BALDWIN GROSSE	MGIC INVESTMENT CORP-WISC	55,600.00	879.15	95.0	0.00	835.19	18.00	817.19
02/09/2018	# DAVIS, KAREN	MGIC INVESTMENT CORP-WISC	13,878.40	342.14	95.0	0.00	325.03	18.00	307.03
02/09/2018	# WIED, DENNIS	MGIC INVESTMENT CORP-WISC	13,800.00	341.00	95.0	0.00	323.95	18.00	305.95
02/09/2018	# WIED, MICHAEL	MGIC INVESTMENT CORP-WISC	13,800.00	341.00	95.0	0.00	323.95	18.00	305.95
02/16/2018	# PRICE, THOMAS	DIREXION SHS ETF TR DAILY FINL BULL	75,000.00	1,100.00	95.0	0.00	1,045.00	18.00	1,027.00
02/16/2018	# GUEX, GREGORY	LAS VEGAS SANDS CORP	29,000.00	25.00	95.0	0.00	23.75	18.00	5.75
02/16/2018	# SHORT, MARY	LAS VEGAS SANDS CORP	29,000.00	25.00	95.0	0.00	23.75	18.00	5.75
02/16/2018	# BARTSCH, MICHAEL	LAS VEGAS SANDS CORP	65,250.00	25.00	95.0	0.00	23.75	18.00	5.75
02/16/2018	# PRICE, THOMAS	NVIDIA CORP	63,000.00	300.00	95.0	0.00	285.00	18.00	267.00
02/16/2018	# REV TRUST, THOMAS & DORIS	NVIDIA CORP	132,000.00	420.00	95.0	0.00	399.00	18.00	381.00
* STOCKS SUBTOTAL *			\$1,531,851.59	\$15,759.73		\$0.00	\$14,971.74	\$342.00	\$14,629.74

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/02/2018 #	PRICE, THOMAS	PUT FAS 02/16/18 75	1,750.00	87.50	95.0	0.00	83.13	30.50	52.63
02/05/2018 #	REV TRUST, THOMAS & DORIS	PUT BIDU 02/16/18 225	3,500.00	128.98	95.0	0.00	122.53	24.25	98.28
02/05/2018 #	BARTSCH, MICHAEL	PUT BIDU 02/16/18 225	2,250.00	91.52	95.0	0.00	86.94	21.75	65.19
02/06/2018 #	PRICE, THOMAS	PUT ALB 03/16/18 100	1,800.00	90.00	95.0	0.00	85.50	23.00	62.50
02/15/2018 #	BLE, BALDWIN GROSSE	CALL BIDU 03/23/18 245	3,000.00	103.49	95.0	0.00	98.32	21.75	76.57
02/15/2018 #	BLE, BALDWIN GROSSE	CALL BIDU 09/21/18 270	4,590.00	125.59	95.0	0.00	119.31	21.75	97.56
02/15/2018 #	STIEHL, EDITH	CALL BIDU 09/21/18 270	4,590.00	125.59	95.0	0.00	119.31	21.75	97.56
02/20/2018 #	PRICE, THOMAS	PUT AYR 03/16/18 20	2,600.00	130.00	95.0	0.00	123.50	68.00	55.50
* OPTIONS SUBTOTAL *			\$24,080.00	\$882.67		\$0.00	\$838.54	\$232.75	\$605.79
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017 #08050004572	MALLOKENW	ALLIANZ 0694	0.00	0.94	95.0	0.00	0.89	0.00	0.89
12/31/2017 #08050004572	MALLOKENW	ALLIANZ 0694	0.00	2.83	95.0	0.00	2.69	0.00	2.69
12/31/2017 #08050004572	MALLOKENW	ALLIANZ 9449	0.00	1.18	95.0	0.00	1.12	0.00	1.12
12/31/2017 #08050004572	MALLOKENW	ALLIANZ 9449	0.00	3.55	95.0	0.00	3.37	0.00	3.37
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$8.50		\$0.00	\$8.07	\$0.00	\$8.07
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	WALTER, EARL	DAIN TRAILER	0.00	13.51	95.0	0.00	12.83	0.00	12.83
02/09/2018 #	GUEX, GREGORY	DAIN TRAILER	0.00	5.78	95.0	0.00	5.49	0.00	5.49
02/09/2018 #	GUEX, GREGORY	DAIN TRAILER	0.00	12.53	95.0	0.00	11.90	0.00	11.90
02/09/2018 #	DAVIS, KAREN	DAIN TRAILER	0.00	3.96	95.0	0.00	3.76	0.00	3.76
02/09/2018 #	STIEHL, EDITH	DAIN TRAILER	0.00	10.65	95.0	0.00	10.12	0.00	10.12
02/09/2018 #	WIED, DENNIS	DAIN TRAILER	0.00	2.12	95.0	0.00	2.01	0.00	2.01
02/09/2018 #	WIED, DENNIS	DAIN TRAILER	0.00	5.40	95.0	0.00	5.13	0.00	5.13
02/09/2018 #	WIED, MICHAEL	DAIN TRAILER	0.00	2.12	95.0	0.00	2.01	0.00	2.01
02/09/2018 #	WIED, MICHAEL	DAIN TRAILER	0.00	5.40	95.0	0.00	5.13	0.00	5.13
02/09/2018 #	WIED, DEBRA	DAIN TRAILER	0.00	6.81	95.0	0.00	6.47	0.00	6.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$68.28		\$0.00	\$64.85	\$0.00	\$64.85
*** BROKER TRANSACTIONS TOTAL ***			\$1,556,051.59	\$16,720.38		\$0.00	\$15,884.34	\$574.75	\$15,309.59

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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE							-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$15,309.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,810.59
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