



All Companies

07/12/2018  
Page No. 1

# COMMISSION STATEMENT July 16, 2018

JUDE RAMON L. BARCENAS  
3201 WAREHAM CIRCLE

BROKER #: HOUSE Terminated 11/17/2013  
BRANCH: HOME OFFICE

Richardson, TX 75082-

## COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	125.18	125.18	0.00	125.18	60.0	75.11
06/30/2018	TRAILS	-PUTNAM	B	0.88	0.88	0.00	0.88	60.0	0.53
07/01/2005	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/05/2018	000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/10/2005	000000000026245 BUSA, JOSEPHINE JANE	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50	60.0	0.30
07/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
07/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
07/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/22/2018	00089156141 KYSER, DICK/MARGIE PUTNAM NEW OPPORTUNITIES FD	CL A B	B	220.00	11.00	0.00	11.00	60.0	6.60
* MUTUAL FUNDS SUBTOTAL *				\$1,096.06	\$157.60	\$0.00	\$157.60		\$94.58

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

06/30/2018	TRAILS	-HARTFORD LIFE	B	51.41	51.41	0.00	51.41	60.0	30.85
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	500.16	0.00	0.00	0.00	60.0	0.00
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	500.16	500.16	0.00	500.16	60.0	300.10
06/25/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
06/25/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/18/2018	MELLENGER, PAUL J.	AMERITAS VARIABLE ANNUITY	B	249.00	9.96	0.00	9.96	60.0	5.98
06/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64	60.0	1.58
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	353.64	0.00	0.00	0.00	60.0	0.00
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	353.64	353.64	0.00	353.64	60.0	212.18
* VARIABLE ANNUITIES SUBTOTAL *				\$2,108.15	\$919.50	\$0.00	\$919.50		\$551.71

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	893.37	0.00	893.37	60.0	536.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$893.37	\$0.00	\$893.37		\$536.04
*** BROKER TRANSACTIONS TOTAL ***				\$3,204.21	\$1,970.47	\$0.00	\$1,970.47		\$1,182.33

BROKER COMMISSION TOTALS

Broker Transactions	\$1,182.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,182.33
Year-to-date Earnings	\$9,147.06