



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 03/01/2018 | ***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714 | AMCAP FUND-A BUY 1 Units @34.7200 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 02/26/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | AMERICAN BALANCED FUND-A BUY 4 Units @28.5600 | 116.00 | 3.19 | 0.00 | 3.19 | 75.0 | 2.39 |
| 02/26/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | AMERICAN BALANCED FUND-A BUY 4 Units @28.8600 | 115.00 | 4.31 | 0.00 | 4.31 | 75.0 | 3.23 |
| 02/28/2018 | ***-**-241R - BERTRAND, JOHN ACCOUNT #: 00089941898 | AMERICAN BALANCED FUND-A BUY 2 Units @28.7500 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 03/01/2018 | ***-**-9408 - SNIZEK, ACCOUNT #: 00085099445 | AMERICAN BALANCED FUND-A BUY 2 Units @27.9300 | 50.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 03/01/2018 | ***-**-9408 - SNIZEK, ACCOUNT #: 00085099445 | AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6400 | 50.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 03/01/2018 | ***-**-6469 - BENNETT, DAVID ACCOUNT #: 00088370668 | AMERICAN MUTUAL FUND-A BUY 1 Units @42.9500 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 03/01/2018 | ***-**-9408 - SNIZEK, ACCOUNT #: 00085099445 | AMERICAN MUTUAL FUND-A BUY 1 Units @41.9500 | 50.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 03/01/2018 | ***-**-2119 - BENNETT, COLLEEN ACCOUNT #: 00088366890 | AMERICAN MUTUAL FUND-A BUY 1 Units @42.9500 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 02/26/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | CAPITAL INCOME BUILDER-A BUY 1 Units @66.0200 | 50.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 03/01/2018 | ***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714 | CAPITAL INCOME BUILDER-A BUY 1 Units @65.2100 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 02/26/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @60.8000 | 85.00 | 2.34 | 0.00 | 2.34 | 75.0 | 1.76 |
| 02/26/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | THE BOND FUND OF AMERICA-A BUY 5 Units @13.0700 | 65.00 | 1.79 | 0.00 | 1.79 | 75.0 | 1.34 |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595 | THE BOND FUND OF AMERICA-A BUY 4 Units @13.1200 | 50.00 | 1.50 | 0.00 | 1.50 | 75.0 | 1.13 |
| 02/26/2018 | ***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487 | THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.2000 | 150.00 | 4.13 | 0.00 | 4.13 | 75.0 | 3.10 |
| 02/26/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.7800 | 50.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 02/28/2018 | ***-**-7823 - BERTRAND, ACCOUNT #: 00089897908 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.3000 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 02/28/2018 | ***-**-241R - BERTRAND, JOHN ACCOUNT #: 00089941898 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @55.3000 | 50.00 | 2.50 | 0.00 | 2.50 | 75.0 | 1.88 |
| 03/01/2018 | ***-**-9408 - SNIZEK, ACCOUNT #: 00085099445 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.4100 | 50.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| 02/26/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | THE INCOME FUND OF AMERICA-A BUY 5 Units @24.6500 | 125.00 | 4.69 | 0.00 | 4.69 | 75.0 | 3.52 |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595 | THE INCOME FUND OF AMERICA-A BUY 3 Units @24.0500 | 75.00 | 2.81 | 0.00 | 2.81 | 75.0 | 2.11 |
| 02/26/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @49.4000 | 118.33 | 4.44 | 0.00 | 4.44 | 75.0 | 3.33 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$1,549.33 | \$55.98 | \$0.00 | \$55.98 | | \$42.05 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 03/01/2018 | ***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 2.27 | 0.00 | 2.27 | 75.0 | 1.70 |
| 03/01/2018 | ***-**-4652 - GARNSEY, JANELLE ACCOUNT #: 04000491239 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 30.62 | 0.00 | 30.62 | 75.0 | 22.97 |
| 03/01/2018 | ***-**-2464 - COLLETT, DAN ACCOUNT #: 04000535815 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 5.52 | 0.00 | 5.52 | 75.0 | 4.14 |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID ACCOUNT #: 00081132041 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 3.21 | 0.00 | 3.21 | 75.0 | 2.41 |
| 03/01/2018 | ***-**-453R - OHARA, DARLENE ACCOUNT #: 00081132191 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 2.24 | 0.00 | 2.24 | 75.0 | 1.68 |
| 03/01/2018 | ***-**-453B - OHARA, DARLENE ACCOUNT #: 00081166276 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 35.94 | 0.00 | 35.94 | 75.0 | 26.96 |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 5.89 | 0.00 | 5.89 | 75.0 | 4.42 |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00085918827 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 21.82 | 0.00 | 21.82 | 75.0 | 16.37 |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID ACCOUNT #: 00087748588 | AMCAP FUND-A BUY 0 Units @0.0000 | 0.00 | 50.68 | 0.00 | 50.68 | 75.0 | 38.01 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-4790 - SWEZEY, WILLIAM | AMCAP FUND-A | 0.00 | 2.48 | 0.00 | 2.48 | 75.0 | 1.86 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-61RI - GETCHELL, | AMCAP FUND-A | 0.00 | 7.06 | 0.00 | 7.06 | 75.0 | 5.30 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | AMCAP FUND-A | 0.00 | 9.57 | 0.00 | 9.57 | 75.0 | 7.18 |
| | ACCOUNT #: 00089542885 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8906 - ISBELL, | AMERICAN BALANCED FUND-A | 0.00 | 9.65 | 0.00 | 9.65 | 75.0 | 7.24 |
| | ACCOUNT #: 00073594886 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | AMERICAN BALANCED FUND-A | 0.00 | 7.85 | 0.00 | 7.85 | 75.0 | 5.89 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6786 - NOWAKOWSKI, | AMERICAN BALANCED FUND-A | 0.00 | 4.72 | 0.00 | 4.72 | 75.0 | 3.54 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-24IR - BERTRAND, JOHN | AMERICAN BALANCED FUND-A | 0.00 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| | ACCOUNT #: 00089941898 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9037 - NOURSE, STACIA | AMERICAN BALANCED FUND-A | 0.00 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| | ACCOUNT #: 04000247689 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2674 - MURRAY, SUZANNE | AMERICAN BALANCED FUND-A | 0.00 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| | ACCOUNT #: 04000299130 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4652 - GARNSEY, JANELLE | AMERICAN BALANCED FUND-A | 0.00 | 29.63 | 0.00 | 29.63 | 75.0 | 22.22 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2464 - COLLETT, DAN | AMERICAN BALANCED FUND-A | 0.00 | 8.06 | 0.00 | 8.06 | 75.0 | 6.05 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL | AMERICAN BALANCED FUND-A | 0.00 | 67.45 | 0.00 | 67.45 | 75.0 | 50.59 |
| | ACCOUNT #: 00061316194 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | AMERICAN BALANCED FUND-A | 0.00 | 21.59 | 0.00 | 21.59 | 75.0 | 16.19 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN BALANCED FUND-A | 0.00 | 2.17 | 0.00 | 2.17 | 75.0 | 1.63 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN BALANCED FUND-A | 0.00 | 11.45 | 0.00 | 11.45 | 75.0 | 8.59 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5978 - COMFORT, | AMERICAN BALANCED FUND-A | 0.00 | 0.72 | 0.00 | 0.72 | 75.0 | 0.54 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-453R - OHARA, DARLENE | AMERICAN BALANCED FUND-A | 0.00 | 1.24 | 0.00 | 1.24 | 75.0 | 0.93 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-453B - OHARA, DARLENE | AMERICAN BALANCED FUND-A | 0.00 | 11.14 | 0.00 | 11.14 | 75.0 | 8.36 |
| | ACCOUNT #: 00081166276 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3143 - HUMPF, JOHN | AMERICAN BALANCED FUND-A | 0.00 | 8.86 | 0.00 | 8.86 | 75.0 | 6.65 |
| | ACCOUNT #: 00082277557 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | AMERICAN BALANCED FUND-A | 0.00 | 14.85 | 0.00 | 14.85 | 75.0 | 11.14 |
| | ACCOUNT #: 00082278912 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0911 - SPAZIANI, | AMERICAN BALANCED FUND-A | 0.00 | 6.71 | 0.00 | 6.71 | 75.0 | 5.03 |
| | ACCOUNT #: 00082570536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3654 - REEDY, WILLIAM | AMERICAN BALANCED FUND-A | 0.00 | 16.81 | 0.00 | 16.81 | 75.0 | 12.61 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE | AMERICAN BALANCED FUND-A | 0.00 | 13.65 | 0.00 | 13.65 | 75.0 | 10.24 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4639 - SCOONES, | AMERICAN BALANCED FUND-A | 0.00 | 16.99 | 0.00 | 16.99 | 75.0 | 12.74 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN BALANCED FUND-A | 0.00 | 6.90 | 0.00 | 6.90 | 75.0 | 5.18 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN BALANCED FUND-A | 0.00 | 45.95 | 0.00 | 45.95 | 75.0 | 34.46 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8526 - SPINELI, ELISE | AMERICAN BALANCED FUND-A | 0.00 | 1.58 | 0.00 | 1.58 | 75.0 | 1.19 |
| | ACCOUNT #: 00084820024 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9408 - SNIZEK, | AMERICAN BALANCED FUND-A | 0.00 | 7.62 | 0.00 | 7.62 | 75.0 | 5.72 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-408B - SNIZEK, | AMERICAN BALANCED FUND-A | 0.00 | 25.48 | 0.00 | 25.48 | 75.0 | 19.11 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | AMERICAN BALANCED FUND-A | 0.00 | 1.49 | 0.00 | 1.49 | 75.0 | 1.12 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | AMERICAN BALANCED FUND-A | 0.00 | 15.17 | 0.00 | 15.17 | 75.0 | 11.38 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-150B - MURRAY, MARYANNE | AMERICAN BALANCED FUND-A | 0.00 | 11.99 | 0.00 | 11.99 | 75.0 | 8.99 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 2.42 | 0.00 | 2.42 | 75.0 | 1.82 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4906 - VAN ALSTINE, | AMERICAN BALANCED FUND-A | 0.00 | 4.15 | 0.00 | 4.15 | 75.0 | 3.11 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 5.65 | 0.00 | 5.65 | 75.0 | 4.24 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5152 - DUNN, MICHELE | AMERICAN BALANCED FUND-A | 0.00 | 21.93 | 0.00 | 21.93 | 75.0 | 16.45 |
| | ACCOUNT #: 00087316793 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6900 - MILLER, KENNETH | AMERICAN BALANCED FUND-A | 0.00 | 14.68 | 0.00 | 14.68 | 75.0 | 11.01 |
| | ACCOUNT #: 00087633416 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2146 - JONES, KELLIE | AMERICAN BALANCED FUND-A | 0.00 | 1.82 | 0.00 | 1.82 | 75.0 | 1.37 |
| | ACCOUNT #: 00087644930 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | AMERICAN BALANCED FUND-A | 0.00 | 2.14 | 0.00 | 2.14 | 75.0 | 1.61 |
| | ACCOUNT #: 00087671391 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-241R - DRAKE, ROBERT | AMERICAN BALANCED FUND-A | 0.00 | 2.91 | 0.00 | 2.91 | 75.0 | 2.18 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9321 - DRAKE, MARY | AMERICAN BALANCED FUND-A | 0.00 | 1.54 | 0.00 | 1.54 | 75.0 | 1.16 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3034 - DRAKE, CATHERINE | AMERICAN BALANCED FUND-A | 0.00 | 2.99 | 0.00 | 2.99 | 75.0 | 2.24 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-816R - NELSON, ANTHONY | AMERICAN BALANCED FUND-A | 0.00 | 1.16 | 0.00 | 1.16 | 75.0 | 0.87 |
| | ACCOUNT #: 00087727897 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID | AMERICAN BALANCED FUND-A | 0.00 | 45.48 | 0.00 | 45.48 | 75.0 | 34.11 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA | AMERICAN BALANCED FUND-A | 0.00 | 3.65 | 0.00 | 3.65 | 75.0 | 2.74 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9348 - KARHNAK, NINA | AMERICAN BALANCED FUND-A | 0.00 | 2.66 | 0.00 | 2.66 | 75.0 | 2.00 |
| | ACCOUNT #: 00087837753 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9002 - ANDERSON, BRYAN | AMERICAN BALANCED FUND-A | 0.00 | 13.42 | 0.00 | 13.42 | 75.0 | 10.07 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-0939 - LAWRENCE, JASON | AMERICAN BALANCED FUND-A | 0.00 | 3.41 | 0.00 | 3.41 | 75.0 | 2.56 |
| | ACCOUNT #: 00087909097 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2179 - FOX, RAY | AMERICAN BALANCED FUND-A | 0.00 | 5.25 | 0.00 | 5.25 | 75.0 | 3.94 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7449 - JONES, D | AMERICAN BALANCED FUND-A | 0.00 | 9.41 | 0.00 | 9.41 | 75.0 | 7.06 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-16JT - NELSON, ANTHONY | AMERICAN BALANCED FUND-A | 0.00 | 2.51 | 0.00 | 2.51 | 75.0 | 1.88 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | AMERICAN BALANCED FUND-A | 0.00 | 9.21 | 0.00 | 9.21 | 75.0 | 6.91 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3096 - WESTON, LOIS | AMERICAN BALANCED FUND-A | 0.00 | 6.41 | 0.00 | 6.41 | 75.0 | 4.81 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8526 - SPINELI, ELISE | AMERICAN BALANCED FUND-A | 0.00 | 2.96 | 0.00 | 2.96 | 75.0 | 2.22 |
| | ACCOUNT #: 00088154499 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6283 - DOUGLASS, ANITA | AMERICAN BALANCED FUND-A | 0.00 | 0.92 | 0.00 | 0.92 | 75.0 | 0.69 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7653 - SICHRA, JEANNE | AMERICAN BALANCED FUND-A | 0.00 | 2.65 | 0.00 | 2.65 | 75.0 | 1.99 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4790 - SWEEZEY, WILLIAM | AMERICAN BALANCED FUND-A | 0.00 | 5.94 | 0.00 | 5.94 | 75.0 | 4.46 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1351 - DIMAURO, ALLAN | AMERICAN BALANCED FUND-A | 0.00 | 17.77 | 0.00 | 17.77 | 75.0 | 13.33 |
| | ACCOUNT #: 00088294408 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8800 - DIMAURO, JANE | AMERICAN BALANCED FUND-A | 0.00 | 1.30 | 0.00 | 1.30 | 75.0 | 0.98 |
| | ACCOUNT #: 00088294458 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-61RI - GETCHELL, | AMERICAN BALANCED FUND-A | 0.00 | 27.42 | 0.00 | 27.42 | 75.0 | 20.57 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8260 - LANZ, BRIAN | AMERICAN BALANCED FUND-A | 0.00 | 0.17 | 0.00 | 0.17 | 75.0 | 0.13 |
| | ACCOUNT #: 00088702210 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9844 - COTTET, DAVID | AMERICAN BALANCED FUND-A | 0.00 | 5.61 | 0.00 | 5.61 | 75.0 | 4.21 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | AMERICAN BALANCED FUND-A | 0.00 | 2.43 | 0.00 | 2.43 | 75.0 | 1.82 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | AMERICAN BALANCED FUND-A | 0.00 | 0.51 | 0.00 | 0.51 | 75.0 | 0.38 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7618 - WILE, SANDRA | AMERICAN BALANCED FUND-A | 0.00 | 4.66 | 0.00 | 4.66 | 75.0 | 3.50 |
| | ACCOUNT #: 00089237747 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9232 - DAVIS, CHRISTINE | AMERICAN BALANCED FUND-A | 0.00 | 0.67 | 0.00 | 0.67 | 75.0 | 0.50 |
| | ACCOUNT #: 00089570027 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7334 - SIMCHIK, KARI | AMERICAN BALANCED FUND-A | 0.00 | 6.24 | 0.00 | 6.24 | 75.0 | 4.68 |
| | ACCOUNT #: 00089741498 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0249 - ROBERTS, LESLIE | AMERICAN BALANCED FUND-A | 0.00 | 0.17 | 0.00 | 0.17 | 75.0 | 0.13 |
| | ACCOUNT #: 01919000777 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0249 - ROBERTS, LESLIE | AMERICAN FUNDS BLNCD PORTFOLIO CL A | 0.00 | 2.35 | 0.00 | 2.35 | 75.0 | 1.76 |
| | ACCOUNT #: 01919000777 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0249 - ROBERTS, LESLIE | AMERICAN FUNDS GROWTH PORTFOLIO A | 0.00 | 0.70 | 0.00 | 0.70 | 75.0 | 0.53 |
| | ACCOUNT #: 01923000430 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4790 - SWEZEY, WILLIAM | AMERICAN HIGH-INCOME MUNI BOND FUND | 0.00 | 2.11 | 0.00 | 2.11 | 75.0 | 1.58 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3427 - SAMPLE, TERESA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.62 | 0.00 | 0.62 | 75.0 | 0.47 |
| | ACCOUNT #: 00089920712 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6786 - NOWAKOWSKI, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.11 | 0.00 | 2.11 | 75.0 | 1.58 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-241R - BERTRAND, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.28 | 0.00 | 0.28 | 75.0 | 0.21 |
| | ACCOUNT #: 00089941898 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9037 - NOURSE, STACIA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| | ACCOUNT #: 04000247689 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 17.67 | 0.00 | 17.67 | 75.0 | 13.25 |
| | ACCOUNT #: 00073000918 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.70 | 0.00 | 1.70 | 75.0 | 1.28 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 11.50 | 0.00 | 11.50 | 75.0 | 8.63 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5978 - COMFORT, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.40 | 0.00 | 1.40 | 75.0 | 1.05 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID ACCOUNT #: 00081132041 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 2.60 | 0.00 | 2.60 | 75.0 | 1.95 |
| 03/01/2018 | ***-**-453R - OHARA, DARLENE ACCOUNT #: 00081132191 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.86 | 0.00 | 1.86 | 75.0 | 1.40 |
| 03/01/2018 | ***-**-453B - OHARA, DARLENE ACCOUNT #: 00081166276 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 31.72 | 0.00 | 31.72 | 75.0 | 23.79 |
| 03/01/2018 | ***-**-8260 - LANZ, BRIAN ACCOUNT #: 00081540589 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 5.16 | 0.00 | 5.16 | 75.0 | 3.87 |
| 03/01/2018 | ***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 15.86 | 0.00 | 15.86 | 75.0 | 11.90 |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00081783351 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 4.34 | 0.00 | 4.34 | 75.0 | 3.26 |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT ACCOUNT #: 00081788449 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.11 | 0.00 | 1.11 | 75.0 | 0.83 |
| 03/01/2018 | ***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 6.18 | 0.00 | 6.18 | 75.0 | 4.64 |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 5.87 | 0.00 | 5.87 | 75.0 | 4.40 |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN ACCOUNT #: 00082336423 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 4.31 | 0.00 | 4.31 | 75.0 | 3.23 |
| 03/01/2018 | ***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 3.86 | 0.00 | 3.86 | 75.0 | 2.90 |
| 03/01/2018 | ***-**-3654 - REEDY, WILLIAM ACCOUNT #: 00083453177 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 13.62 | 0.00 | 13.62 | 75.0 | 10.22 |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518064 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 8.22 | 0.00 | 8.22 | 75.0 | 6.17 |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518079 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 15.47 | 0.00 | 15.47 | 75.0 | 11.60 |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER ACCOUNT #: 00084677249 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 4.31 | 0.00 | 4.31 | 75.0 | 3.23 |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER ACCOUNT #: 00084677273 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.54 | 0.00 | 0.54 | 75.0 | 0.41 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-8526 - SPINELI, ELISE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.12 | 0.00 | 1.12 | 75.0 | 0.84 |
| | ACCOUNT #: 00084820024 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7431 - PATANE, JOANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 29.13 | 0.00 | 29.13 | 75.0 | 21.85 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1467 - DRAKE, KATE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.52 | 0.00 | 0.52 | 75.0 | 0.39 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9408 - SNIZEK, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.58 | 0.00 | 3.58 | 75.0 | 2.69 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-408B - SNIZEK, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 15.68 | 0.00 | 15.68 | 75.0 | 11.76 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.44 | 0.00 | 1.44 | 75.0 | 1.08 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 11.13 | 0.00 | 11.13 | 75.0 | 8.35 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 5.79 | 0.00 | 5.79 | 75.0 | 4.34 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.41 | 0.00 | 2.41 | 75.0 | 1.81 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.84 | 0.00 | 2.84 | 75.0 | 2.13 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 25.67 | 0.00 | 25.67 | 75.0 | 19.25 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-150B - MURRAY, MARYANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 5.67 | 0.00 | 5.67 | 75.0 | 4.25 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.94 | 0.00 | 2.94 | 75.0 | 2.21 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 6.14 | 0.00 | 6.14 | 75.0 | 4.61 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1812 - CHANDLER, JODY | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 3.18 | 0.00 | 3.18 | 75.0 | 2.39 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-2775 - CASTILLO, ACCOUNT #: 00086668159 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 8.35 | 0.00 | 8.35 | 75.0 | 6.26 |
| 03/01/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 2.57 | 0.00 | 2.57 | 75.0 | 1.93 |
| 03/01/2018 | ***-**-9498 - MAXAM, ROBERT ACCOUNT #: 00087112397 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.82 | 0.00 | 0.82 | 75.0 | 0.62 |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD ACCOUNT #: 00087671391 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.91 | 0.00 | 0.91 | 75.0 | 0.68 |
| 03/01/2018 | ***-**-241R - DRAKE, ROBERT ACCOUNT #: 00087692434 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| 03/01/2018 | ***-**-9321 - DRAKE, MARY ACCOUNT #: 00087693440 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.68 | 0.00 | 1.68 | 75.0 | 1.26 |
| 03/01/2018 | ***-**-3034 - DRAKE, CATHERINE ACCOUNT #: 00087698728 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.50 | 0.00 | 0.50 | 75.0 | 0.38 |
| 03/01/2018 | ***-**-816R - NELSON, ANTHONY ACCOUNT #: 00087727897 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.95 | 0.00 | 0.95 | 75.0 | 0.71 |
| 03/01/2018 | ***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087742896 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 11.28 | 0.00 | 11.28 | 75.0 | 8.46 |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID ACCOUNT #: 00087748588 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 12.36 | 0.00 | 12.36 | 75.0 | 9.27 |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 0.88 | 0.00 | 0.88 | 75.0 | 0.66 |
| 03/01/2018 | ***-**-9348 - KARHNAK, NINA ACCOUNT #: 00087837753 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 2.16 | 0.00 | 2.16 | 75.0 | 1.62 |
| 03/01/2018 | ***-**-9002 - ANDERSON, BRYAN ACCOUNT #: 00087907563 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 6.61 | 0.00 | 6.61 | 75.0 | 4.96 |
| 03/01/2018 | ***-**-2179 - FOX, RAY ACCOUNT #: 00087972536 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 1.94 | 0.00 | 1.94 | 75.0 | 1.46 |
| 03/01/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 2.82 | 0.00 | 2.82 | 75.0 | 2.12 |
| 03/01/2018 | ***-**-3096 - WESTON, LOIS ACCOUNT #: 00088143854 | AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000 | 0.00 | 5.08 | 0.00 | 5.08 | 75.0 | 3.81 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-6283 - DOUGLASS, ANITA | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.65 | 0.00 | 0.65 | 75.0 | 0.49 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7653 - SICHRA, JEANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.27 | 0.00 | 2.27 | 75.0 | 1.70 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1351 - DIMAURO, ALLAN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 15.78 | 0.00 | 15.78 | 75.0 | 11.84 |
| | ACCOUNT #: 00088294408 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8800 - DIMAURO, JANE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.09 | 0.00 | 1.09 | 75.0 | 0.82 |
| | ACCOUNT #: 00088294458 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-61RI - GETCHELL, | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 10.08 | 0.00 | 10.08 | 75.0 | 7.56 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9844 - COTTET, DAVID | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.80 | 0.00 | 1.80 | 75.0 | 1.35 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9232 - DAVIS, CHRISTINE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| | ACCOUNT #: 00089570027 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7334 - SIMCHIK, KARI | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 1.96 | 0.00 | 1.96 | 75.0 | 1.47 |
| | ACCOUNT #: 00089741498 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | AMERICAN MUTUAL FUND-A | 0.00 | 1.03 | 0.00 | 1.03 | 75.0 | 0.77 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | AMERICAN MUTUAL FUND-A | 0.00 | 10.13 | 0.00 | 10.13 | 75.0 | 7.60 |
| | ACCOUNT #: 00073910616 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6469 - BENNETT, DAVID | AMERICAN MUTUAL FUND-A | 0.00 | 4.28 | 0.00 | 4.28 | 75.0 | 3.21 |
| | ACCOUNT #: 00088370668 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL | AMERICAN MUTUAL FUND-A | 0.00 | 61.51 | 0.00 | 61.51 | 75.0 | 46.13 |
| | ACCOUNT #: 00064162121 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | AMERICAN MUTUAL FUND-A | 0.00 | 3.65 | 0.00 | 3.65 | 75.0 | 2.74 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3654 - REEDY, WILLIAM | AMERICAN MUTUAL FUND-A | 0.00 | 9.06 | 0.00 | 9.06 | 75.0 | 6.80 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | AMERICAN MUTUAL FUND-A | 0.00 | 0.90 | 0.00 | 0.90 | 75.0 | 0.68 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9408 - SNIZEK, | AMERICAN MUTUAL FUND-A | 0.00 | 8.13 | 0.00 | 8.13 | 75.0 | 6.10 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-7029 - PERSON, CAROL | AMERICAN MUTUAL FUND-A | 0.00 | 0.27 | 0.00 | 0.27 | 75.0 | 0.20 |
| | ACCOUNT #: 00087745897 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2179 - FOX, RAY | AMERICAN MUTUAL FUND-A | 0.00 | 3.30 | 0.00 | 3.30 | 75.0 | 2.48 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2119 - BENNETT, COLLEEN | AMERICAN MUTUAL FUND-A | 0.00 | 1.68 | 0.00 | 1.68 | 75.0 | 1.26 |
| | ACCOUNT #: 00088366890 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL | AMERICAN MUTUAL FUND-A | 0.00 | 2.06 | 0.00 | 2.06 | 75.0 | 1.55 |
| | ACCOUNT #: 04000458059 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7458 - SAMPLE, MARK | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 0.60 | 0.00 | 0.60 | 75.0 | 0.45 |
| | ACCOUNT #: 00089920702 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 6.30 | 0.00 | 6.30 | 75.0 | 4.73 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9232 - DAVIS, CHRISTINE | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 1.83 | 0.00 | 1.83 | 75.0 | 1.37 |
| | ACCOUNT #: 00089546188 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | CAPITAL INCOME BUILDER-A | 0.00 | 6.14 | 0.00 | 6.14 | 75.0 | 4.61 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7912 - WOODCOCK, | CAPITAL INCOME BUILDER-A | 0.00 | 0.97 | 0.00 | 0.97 | 75.0 | 0.73 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3246 - SGROI, TERESA | CAPITAL INCOME BUILDER-A | 0.00 | 2.58 | 0.00 | 2.58 | 75.0 | 1.94 |
| | ACCOUNT #: 00089976714 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | CAPITAL INCOME BUILDER-A | 0.00 | 19.77 | 0.00 | 19.77 | 75.0 | 14.83 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL INCOME BUILDER-A | 0.00 | 2.60 | 0.00 | 2.60 | 75.0 | 1.95 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL INCOME BUILDER-A | 0.00 | 14.07 | 0.00 | 14.07 | 75.0 | 10.55 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | CAPITAL INCOME BUILDER-A | 0.00 | 5.70 | 0.00 | 5.70 | 75.0 | 4.28 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN | CAPITAL INCOME BUILDER-A | 0.00 | 11.51 | 0.00 | 11.51 | 75.0 | 8.63 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL INCOME BUILDER-A | 0.00 | 0.84 | 0.00 | 0.84 | 75.0 | 0.63 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | CAPITAL INCOME BUILDER-A | 0.00 | 14.88 | 0.00 | 14.88 | 75.0 | 11.16 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-150B - MURRAY, MARYANNE | CAPITAL INCOME BUILDER-A | 0.00 | 8.67 | 0.00 | 8.67 | 75.0 | 6.50 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT | CAPITAL INCOME BUILDER-A | 0.00 | 70.25 | 0.00 | 70.25 | 75.0 | 52.69 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4906 - VAN ALSTINE, | CAPITAL INCOME BUILDER-A | 0.00 | 2.68 | 0.00 | 2.68 | 75.0 | 2.01 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT | CAPITAL INCOME BUILDER-A | 0.00 | 7.02 | 0.00 | 7.02 | 75.0 | 5.27 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1812 - CHANDLER, JODY | CAPITAL INCOME BUILDER-A | 0.00 | 3.71 | 0.00 | 3.71 | 75.0 | 2.78 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2775 - CASTILLO, | CAPITAL INCOME BUILDER-A | 0.00 | 25.50 | 0.00 | 25.50 | 75.0 | 19.13 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4932 - BAKER, STEVEN | CAPITAL INCOME BUILDER-A | 0.00 | 11.36 | 0.00 | 11.36 | 75.0 | 8.52 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8321 - HIGGINS, CYNTHIA | CAPITAL INCOME BUILDER-A | 0.00 | 14.82 | 0.00 | 14.82 | 75.0 | 11.12 |
| | ACCOUNT #: 00086788166 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5152 - DUNN, MICHELE | CAPITAL INCOME BUILDER-A | 0.00 | 20.65 | 0.00 | 20.65 | 75.0 | 15.49 |
| | ACCOUNT #: 00087316793 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-241R - DRAKE, ROBERT | CAPITAL INCOME BUILDER-A | 0.00 | 2.25 | 0.00 | 2.25 | 75.0 | 1.69 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9321 - DRAKE, MARY | CAPITAL INCOME BUILDER-A | 0.00 | 1.92 | 0.00 | 1.92 | 75.0 | 1.44 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID | CAPITAL INCOME BUILDER-A | 0.00 | 13.75 | 0.00 | 13.75 | 75.0 | 10.31 |
| | ACCOUNT #: 00087748588 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9002 - ANDERSON, BRYAN | CAPITAL INCOME BUILDER-A | 0.00 | 12.18 | 0.00 | 12.18 | 75.0 | 9.14 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2179 - FOX, RAY | CAPITAL INCOME BUILDER-A | 0.00 | 4.96 | 0.00 | 4.96 | 75.0 | 3.72 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7449 - JONES, D | CAPITAL INCOME BUILDER-A | 0.00 | 9.11 | 0.00 | 9.11 | 75.0 | 6.83 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|---------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-16JT - NELSON, ANTHONY | CAPITAL INCOME BUILDER-A | 0.00 | 2.34 | 0.00 | 2.34 | 75.0 | 1.76 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | CAPITAL INCOME BUILDER-A | 0.00 | 11.66 | 0.00 | 11.66 | 75.0 | 8.75 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3096 - WESTON, LOIS | CAPITAL INCOME BUILDER-A | 0.00 | 3.26 | 0.00 | 3.26 | 75.0 | 2.45 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8526 - SPINELLI, ELISE | CAPITAL INCOME BUILDER-A | 0.00 | 2.08 | 0.00 | 2.08 | 75.0 | 1.56 |
| | ACCOUNT #: 00088154499 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6283 - DOUGLASS, ANITA | CAPITAL INCOME BUILDER-A | 0.00 | 1.03 | 0.00 | 1.03 | 75.0 | 0.77 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7653 - SICHRA, JEANNE | CAPITAL INCOME BUILDER-A | 0.00 | 2.38 | 0.00 | 2.38 | 75.0 | 1.79 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4790 - SWEZEY, WILLIAM | CAPITAL INCOME BUILDER-A | 0.00 | 3.85 | 0.00 | 3.85 | 75.0 | 2.89 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-61RI - GETCHELL, | CAPITAL INCOME BUILDER-A | 0.00 | 18.31 | 0.00 | 18.31 | 75.0 | 13.73 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LARocca, KAREN | CAPITAL WORLD BOND FUND-A | 0.00 | 0.94 | 0.00 | 0.94 | 75.0 | 0.71 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN | CAPITAL WORLD BOND FUND-A | 0.00 | 11.75 | 0.00 | 11.75 | 75.0 | 8.81 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL WORLD BOND FUND-A | 0.00 | 10.08 | 0.00 | 10.08 | 75.0 | 7.56 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | CAPITAL WORLD BOND FUND-A | 0.00 | 8.50 | 0.00 | 8.50 | 75.0 | 6.38 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT | CAPITAL WORLD BOND FUND-A | 0.00 | 30.93 | 0.00 | 30.93 | 75.0 | 23.20 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4906 - VAN ALSTINE, | CAPITAL WORLD BOND FUND-A | 0.00 | 1.23 | 0.00 | 1.23 | 75.0 | 0.92 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2775 - CASTILLO, | CAPITAL WORLD BOND FUND-A | 0.00 | 5.99 | 0.00 | 5.99 | 75.0 | 4.49 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4932 - BAKER, STEVEN | CAPITAL WORLD BOND FUND-A | 0.00 | 7.86 | 0.00 | 7.86 | 75.0 | 5.90 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-8321 - HIGGINS, CYNTHIA | CAPITAL WORLD BOND FUND-A | 0.00 | 11.66 | 0.00 | 11.66 | 75.0 | 8.75 |
| | ACCOUNT #: 00086788166 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-61RI - GETCHELL, | CAPITAL WORLD BOND FUND-A | 0.00 | 12.72 | 0.00 | 12.72 | 75.0 | 9.54 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7912 - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.87 | 0.00 | 1.87 | 75.0 | 1.40 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4652 - GARNSEY, JANELLE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.10 | 0.00 | 15.10 | 75.0 | 11.33 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2464 - COLLETT, DAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.72 | 0.00 | 2.72 | 75.0 | 2.04 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.36 | 0.00 | 2.36 | 75.0 | 1.77 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 9.37 | 0.00 | 9.37 | 75.0 | 7.03 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5978 - COMFORT, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.52 | 0.00 | 0.52 | 75.0 | 0.39 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.66 | 0.00 | 1.66 | 75.0 | 1.25 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4848 - DOGETT, AMY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 18.12 | 0.00 | 18.12 | 75.0 | 13.59 |
| | ACCOUNT #: 00081620466 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.42 | 0.00 | 4.42 | 75.0 | 3.32 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3143 - HUMPF, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 7.50 | 0.00 | 7.50 | 75.0 | 5.63 |
| | ACCOUNT #: 00082277557 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 9.28 | 0.00 | 9.28 | 75.0 | 6.96 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.41 | 0.00 | 3.41 | 75.0 | 2.56 |
| | ACCOUNT #: 00083279090 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3654 - REEDY, WILLIAM | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 15.11 | 0.00 | 15.11 | 75.0 | 11.33 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.54 | 0.00 | 2.54 | 75.0 | 1.91 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 19.11 | 0.00 | 19.11 | 75.0 | 14.33 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.92 | 0.00 | 4.92 | 75.0 | 3.69 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.89 | 0.00 | 0.89 | 75.0 | 0.67 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1467 - DRAKE, KATE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.67 | 0.00 | 0.67 | 75.0 | 0.50 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9408 - SNIZEK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.19 | 0.00 | 3.19 | 75.0 | 2.39 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-408B - SNIZEK, | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 19.80 | 0.00 | 19.80 | 75.0 | 14.85 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.07 | 0.00 | 2.07 | 75.0 | 1.55 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 0.39 | 0.00 | 0.39 | 75.0 | 0.29 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 11.18 | 0.00 | 11.18 | 75.0 | 8.39 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.23 | 0.00 | 10.23 | 75.0 | 7.67 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.02 | 0.00 | 3.02 | 75.0 | 2.27 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 4.34 | 0.00 | 4.34 | 75.0 | 3.26 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 18.20 | 0.00 | 18.20 | 75.0 | 13.65 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-150B - MURRAY, MARYANNE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 10.68 | 0.00 | 10.68 | 75.0 | 8.01 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 2.52 | 0.00 | 2.52 | 75.0 | 1.89 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-4906 - VAN ALSTINE, ACCOUNT #: 00086106101 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.96 | 0.00 | 2.96 | 75.0 | 2.22 |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086415959 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.38 | 0.00 | 5.38 | 75.0 | 4.04 |
| 03/01/2018 | ***-**-1812 - CHANDLER, JODY ACCOUNT #: 00086450734 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.15 | 0.00 | 2.15 | 75.0 | 1.61 |
| 03/01/2018 | ***-**-2775 - CASTILLO, ACCOUNT #: 00086668159 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 30.34 | 0.00 | 30.34 | 75.0 | 22.76 |
| 03/01/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 10.10 | 0.00 | 10.10 | 75.0 | 7.58 |
| 03/01/2018 | ***-**-9498 - MAXAM, ROBERT ACCOUNT #: 00087112397 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.28 | 0.00 | 1.28 | 75.0 | 0.96 |
| 03/01/2018 | ***-**-6900 - MILLER, KENNETH ACCOUNT #: 00087633416 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 4.66 | 0.00 | 4.66 | 75.0 | 3.50 |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD ACCOUNT #: 00087671391 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.43 | 0.00 | 5.43 | 75.0 | 4.07 |
| 03/01/2018 | ***-**-3034 - DRAKE, CATHERINE ACCOUNT #: 00087698728 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.14 | 0.00 | 2.14 | 75.0 | 1.61 |
| 03/01/2018 | ***-**-816R - NELSON, ANTHONY ACCOUNT #: 00087727897 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.15 | 0.00 | 1.15 | 75.0 | 0.86 |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID ACCOUNT #: 00087748588 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 30.04 | 0.00 | 30.04 | 75.0 | 22.53 |
| 03/01/2018 | ***-**-9002 - ANDERSON, BRYAN ACCOUNT #: 00087907563 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 5.29 | 0.00 | 5.29 | 75.0 | 3.97 |
| 03/01/2018 | ***-**-16JT - NELSON, ANTHONY ACCOUNT #: 00088027660 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 2.60 | 0.00 | 2.60 | 75.0 | 1.95 |
| 03/01/2018 | ***-**-3096 - WESTON, LOIS ACCOUNT #: 00088143854 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.48 | 0.00 | 1.48 | 75.0 | 1.11 |
| 03/01/2018 | ***-**-1351 - DIMAURO, ALLAN ACCOUNT #: 00088294408 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 17.80 | 0.00 | 17.80 | 75.0 | 13.35 |
| 03/01/2018 | ***-**-8800 - DIMAURO, JANE ACCOUNT #: 00088294458 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000 | 0.00 | 1.31 | 0.00 | 1.31 | 75.0 | 0.98 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.05 | 0.00 | 1.05 | 75.0 | 0.79 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.20 | 0.00 | 1.20 | 75.0 | 0.90 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | EUROPACIFIC GROWTH FUND-A | 0.00 | 6.80 | 0.00 | 6.80 | 75.0 | 5.10 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-453R - OHARA, DARLENE | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.32 | 0.00 | 1.32 | 75.0 | 0.99 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8260 - LANZ, BRIAN | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.24 | 0.00 | 1.24 | 75.0 | 0.93 |
| | ACCOUNT #: 00081540589 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.93 | 0.00 | 2.93 | 75.0 | 2.20 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | EUROPACIFIC GROWTH FUND-A | 0.00 | 6.59 | 0.00 | 6.59 | 75.0 | 4.94 |
| | ACCOUNT #: 00082278912 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-034R - DRAKE, CATHERINE | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.53 | 0.00 | 1.53 | 75.0 | 1.15 |
| | ACCOUNT #: 00082893236 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | EUROPACIFIC GROWTH FUND-A | 0.00 | 2.96 | 0.00 | 2.96 | 75.0 | 2.22 |
| | ACCOUNT #: 00083279090 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7431 - PATANE, JOANNE | EUROPACIFIC GROWTH FUND-A | 0.00 | 8.79 | 0.00 | 8.79 | 75.0 | 6.59 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.30 | 0.00 | 0.30 | 75.0 | 0.23 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | EUROPACIFIC GROWTH FUND-A | 0.00 | 7.95 | 0.00 | 7.95 | 75.0 | 5.96 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | EUROPACIFIC GROWTH FUND-A | 0.00 | 4.12 | 0.00 | 4.12 | 75.0 | 3.09 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | EUROPACIFIC GROWTH FUND-A | 0.00 | 9.66 | 0.00 | 9.66 | 75.0 | 7.25 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA | EUROPACIFIC GROWTH FUND-A | 0.00 | 0.85 | 0.00 | 0.85 | 75.0 | 0.64 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | FUNDAMENTAL INVESTORS-A | 0.00 | 1.51 | 0.00 | 1.51 | 75.0 | 1.13 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL ACCOUNT #: 00064162121 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 36.89 | 0.00 | 36.89 | 75.0 | 27.67 |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY ACCOUNT #: 00073384225 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 4.90 | 0.00 | 4.90 | 75.0 | 3.68 |
| 03/01/2018 | ***-**-6921 - LARocca, KAREN ACCOUNT #: 00074399618 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 0.73 | 0.00 | 0.73 | 75.0 | 0.55 |
| 03/01/2018 | ***-**-6921 - LARocca, KAREN ACCOUNT #: 00074417033 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 12.82 | 0.00 | 12.82 | 75.0 | 9.62 |
| 03/01/2018 | ***-**-8260 - LANZ, BRIAN ACCOUNT #: 00081540589 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 7.12 | 0.00 | 7.12 | 75.0 | 5.34 |
| 03/01/2018 | ***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 8.04 | 0.00 | 8.04 | 75.0 | 6.03 |
| 03/01/2018 | ***-**-989I - WOODCOCK, ACCOUNT #: 00083279090 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 9.42 | 0.00 | 9.42 | 75.0 | 7.07 |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518064 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 1.08 | 0.00 | 1.08 | 75.0 | 0.81 |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE ACCOUNT #: 00084518079 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 10.36 | 0.00 | 10.36 | 75.0 | 7.77 |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD ACCOUNT #: 00085219202 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 1.66 | 0.00 | 1.66 | 75.0 | 1.25 |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY ACCOUNT #: 00085470927 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 13.59 | 0.00 | 13.59 | 75.0 | 10.19 |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00085918827 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 54.31 | 0.00 | 54.31 | 75.0 | 40.73 |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT ACCOUNT #: 00086060967 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 78.52 | 0.00 | 78.52 | 75.0 | 58.89 |
| 03/01/2018 | ***-**-2775 - CASTILLO, ACCOUNT #: 00086668159 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 31.15 | 0.00 | 31.15 | 75.0 | 23.36 |
| 03/01/2018 | ***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087742896 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 19.50 | 0.00 | 19.50 | 75.0 | 14.63 |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757 | FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000 | 0.00 | 0.61 | 0.00 | 0.61 | 75.0 | 0.46 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | FUNDAMENTAL INVESTORS-A | 0.00 | 6.04 | 0.00 | 6.04 | 75.0 | 4.53 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7653 - SICHRA, JEANNE | FUNDAMENTAL INVESTORS-A | 0.00 | 2.97 | 0.00 | 2.97 | 75.0 | 2.23 |
| | ACCOUNT #: 00088194536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4790 - SWEEZEY, WILLIAM | FUNDAMENTAL INVESTORS-A | 0.00 | 7.20 | 0.00 | 7.20 | 75.0 | 5.40 |
| | ACCOUNT #: 00088279407 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-61RI - GETCHELL, | FUNDAMENTAL INVESTORS-A | 0.00 | 27.93 | 0.00 | 27.93 | 75.0 | 20.95 |
| | ACCOUNT #: 00088303532 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | FUNDAMENTAL INVESTORS-A | 0.00 | 3.31 | 0.00 | 3.31 | 75.0 | 2.48 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | FUNDAMENTAL INVESTORS-A | 0.00 | 0.57 | 0.00 | 0.57 | 75.0 | 0.43 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7618 - WILE, SANDRA | FUNDAMENTAL INVESTORS-A | 0.00 | 5.92 | 0.00 | 5.92 | 75.0 | 4.44 |
| | ACCOUNT #: 00089237747 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL | FUNDAMENTAL INVESTORS-A | 0.00 | 1.17 | 0.00 | 1.17 | 75.0 | 0.88 |
| | ACCOUNT #: 04000458059 | BUY 0 Units @0.0000 | | | | | | |
| 03/12/2018 | ***-**-46IR - SWANCOTT, KELLIE | INVESCO VAN KAMPEN EQUITY AND INCOM | 0.00 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| | ACCOUNT #: LSR010508 | BUY 1 Units @0.0000 | | | | | | |
| 03/12/2018 | ***-**-46IR - SWANCOTT, KELLIE | IVK GROWTH AND INCOME FUND - CLASS | 0.00 | 0.51 | 0.00 | 0.51 | 75.0 | 0.38 |
| | ACCOUNT #: LSR010508 | BUY 1 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | NEW PERSPECTIVE FUND-A | 0.00 | 1.42 | 0.00 | 1.42 | 75.0 | 1.07 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7912 - WOODCOCK, | NEW PERSPECTIVE FUND-A | 0.00 | 1.76 | 0.00 | 1.76 | 75.0 | 1.32 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL | NEW PERSPECTIVE FUND-A | 0.00 | 42.71 | 0.00 | 42.71 | 75.0 | 32.03 |
| | ACCOUNT #: 00064162121 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | NEW PERSPECTIVE FUND-A | 0.00 | 4.36 | 0.00 | 4.36 | 75.0 | 3.27 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | NEW PERSPECTIVE FUND-A | 0.00 | 1.59 | 0.00 | 1.59 | 75.0 | 1.19 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | NEW PERSPECTIVE FUND-A | 0.00 | 4.84 | 0.00 | 4.84 | 75.0 | 3.63 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID | NEW PERSPECTIVE FUND-A | 0.00 | 2.20 | 0.00 | 2.20 | 75.0 | 1.65 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-453R - OHARA, DARLENE | NEW PERSPECTIVE FUND-A | 0.00 | 2.43 | 0.00 | 2.43 | 75.0 | 1.82 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-453B - OHARA, DARLENE | NEW PERSPECTIVE FUND-A | 0.00 | 31.81 | 0.00 | 31.81 | 75.0 | 23.86 |
| | ACCOUNT #: 00081166276 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | NEW PERSPECTIVE FUND-A | 0.00 | 4.62 | 0.00 | 4.62 | 75.0 | 3.47 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | NEW PERSPECTIVE FUND-A | 0.00 | 1.46 | 0.00 | 1.46 | 75.0 | 1.10 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | NEW PERSPECTIVE FUND-A | 0.00 | 26.41 | 0.00 | 26.41 | 75.0 | 19.81 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT | NEW PERSPECTIVE FUND-A | 0.00 | 36.86 | 0.00 | 36.86 | 75.0 | 27.65 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7691 - CAREY, PAUL | NEW PERSPECTIVE FUND-A | 0.00 | 1.25 | 0.00 | 1.25 | 75.0 | 0.94 |
| | ACCOUNT #: 04000458059 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | NEW WORLD FUND-A | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | NEW WORLD FUND-A | 0.00 | 4.25 | 0.00 | 4.25 | 75.0 | 3.19 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | NEW WORLD FUND-A | 0.00 | 0.46 | 0.00 | 0.46 | 75.0 | 0.35 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2179 - FOX, RAY | NEW WORLD FUND-A | 0.00 | 2.14 | 0.00 | 2.14 | 75.0 | 1.61 |
| | ACCOUNT #: 00087972536 | BUY 0 Units @0.0000 | | | | | | |
| 03/12/2018 | ***-**-4427 - ZOGBY, MICHAEL | QUALIFIED PLAN SUMMARY | 0.00 | 71.20 | 0.00 | 71.20 | 75.0 | 53.41 |
| | ACCOUNT #: 00084492487 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | SMALLCAP WORLD FUND-A | 0.00 | 1.52 | 0.00 | 1.52 | 75.0 | 1.14 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | SMALLCAP WORLD FUND-A | 0.00 | 4.68 | 0.00 | 4.68 | 75.0 | 3.51 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | SMALLCAP WORLD FUND-A | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| | ACCOUNT #: 00085993216 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE BOND FUND OF AMERICA-A | 0.00 | 1.26 | 0.00 | 1.26 | 75.0 | 0.95 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE BOND FUND OF AMERICA-A | 0.00 | 5.30 | 0.00 | 5.30 | 75.0 | 3.98 |
| | ACCOUNT #: 00073910616 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4652 - GARNSEY, JANELLE | THE BOND FUND OF AMERICA-A | 0.00 | 14.00 | 0.00 | 14.00 | 75.0 | 10.50 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2464 - COLLETT, DAN | THE BOND FUND OF AMERICA-A | 0.00 | 2.58 | 0.00 | 2.58 | 75.0 | 1.94 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | THE BOND FUND OF AMERICA-A | 0.00 | 1.96 | 0.00 | 1.96 | 75.0 | 1.47 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | THE BOND FUND OF AMERICA-A | 0.00 | 3.85 | 0.00 | 3.85 | 75.0 | 2.89 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5978 - COMFORT, | THE BOND FUND OF AMERICA-A | 0.00 | 0.54 | 0.00 | 0.54 | 75.0 | 0.41 |
| | ACCOUNT #: 00075849975 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 1.08 | 0.00 | 1.08 | 75.0 | 0.81 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN | THE BOND FUND OF AMERICA-A | 0.00 | 2.17 | 0.00 | 2.17 | 75.0 | 1.63 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE | THE BOND FUND OF AMERICA-A | 0.00 | 7.79 | 0.00 | 7.79 | 75.0 | 5.84 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4639 - SCOONES, | THE BOND FUND OF AMERICA-A | 0.00 | 9.98 | 0.00 | 9.98 | 75.0 | 7.49 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | THE BOND FUND OF AMERICA-A | 0.00 | 2.77 | 0.00 | 2.77 | 75.0 | 2.08 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | THE BOND FUND OF AMERICA-A | 0.00 | 25.99 | 0.00 | 25.99 | 75.0 | 19.49 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7431 - PATANE, JOANNE | THE BOND FUND OF AMERICA-A | 0.00 | 7.19 | 0.00 | 7.19 | 75.0 | 5.39 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | THE BOND FUND OF AMERICA-A | 0.00 | 7.98 | 0.00 | 7.98 | 75.0 | 5.99 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT | THE BOND FUND OF AMERICA-A | 0.00 | 27.82 | 0.00 | 27.82 | 75.0 | 20.87 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 2.18 | 0.00 | 2.18 | 75.0 | 1.64 |
| | ACCOUNT #: 00086643595 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9498 - MAXAM, ROBERT | THE BOND FUND OF AMERICA-A | 0.00 | 1.49 | 0.00 | 1.49 | 75.0 | 1.12 |
| | ACCOUNT #: 00087112397 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | THE BOND FUND OF AMERICA-A | 0.00 | 1.61 | 0.00 | 1.61 | 75.0 | 1.21 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | THE BOND FUND OF AMERICA-A | 0.00 | 0.37 | 0.00 | 0.37 | 75.0 | 0.28 |
| | ACCOUNT #: 00089217215 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.10 | 0.00 | 3.10 | 75.0 | 2.33 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7912 - WOODCOCK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.37 | 0.00 | 2.37 | 75.0 | 1.78 |
| | ACCOUNT #: 00081632184 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7823 - BERTRAND, | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| | ACCOUNT #: 00089897908 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6786 - NOWAKOWSKI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.73 | 0.00 | 2.73 | 75.0 | 2.05 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-241R - BERTRAND, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.73 | 0.00 | 0.73 | 75.0 | 0.55 |
| | ACCOUNT #: 00089941898 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4652 - GARNSEY, JANELLE | THE GROWTH FUND OF AMERICA-A | 0.00 | 30.96 | 0.00 | 30.96 | 75.0 | 23.22 |
| | ACCOUNT #: 04000491239 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2464 - COLLETT, DAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.79 | 0.00 | 2.79 | 75.0 | 2.09 |
| | ACCOUNT #: 04000535815 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-816I - NELSON, ANTHONY | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.29 | 0.00 | 8.29 | 75.0 | 6.22 |
| | ACCOUNT #: 00066978950 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.08 | 0.00 | 7.08 | 75.0 | 5.31 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.74 | 0.00 | 3.74 | 75.0 | 2.81 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | THE GROWTH FUND OF AMERICA-A | 0.00 | 11.96 | 0.00 | 11.96 | 75.0 | 8.97 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.49 | 0.00 | 2.49 | 75.0 | 1.87 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-453R - OHARA, DARLENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.25 | 0.00 | 2.25 | 75.0 | 1.69 |
| | ACCOUNT #: 00081132191 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8260 - LANZ, BRIAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.62 | 0.00 | 1.62 | 75.0 | 1.22 |
| | ACCOUNT #: 00081540589 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.23 | 0.00 | 6.23 | 75.0 | 4.67 |
| | ACCOUNT #: 00081599245 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4848 - DOGGETT, AMY | THE GROWTH FUND OF AMERICA-A | 0.00 | 22.43 | 0.00 | 22.43 | 75.0 | 16.82 |
| | ACCOUNT #: 00081620466 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.07 | 0.00 | 6.07 | 75.0 | 4.55 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.49 | 0.00 | 2.49 | 75.0 | 1.87 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3143 - HUMPF, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 14.18 | 0.00 | 14.18 | 75.0 | 10.64 |
| | ACCOUNT #: 00082277557 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | THE GROWTH FUND OF AMERICA-A | 0.00 | 23.99 | 0.00 | 23.99 | 75.0 | 17.99 |
| | ACCOUNT #: 00082278912 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.10 | 0.00 | 7.10 | 75.0 | 5.33 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0911 - SPAZIANI, | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.07 | 0.00 | 3.07 | 75.0 | 2.30 |
| | ACCOUNT #: 00082570536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-034R - DRAKE, CATHERINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.18 | 0.00 | 2.18 | 75.0 | 1.64 |
| | ACCOUNT #: 00082893236 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9089 - REEDY, LISA | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.15 | 0.00 | 1.15 | 75.0 | 0.86 |
| | ACCOUNT #: 00083100942 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-989I - WOODCOCK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 9.48 | 0.00 | 9.48 | 75.0 | 7.11 |
| | ACCOUNT #: 00083279090 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3654 - REEDY, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.14 | 0.00 | 7.14 | 75.0 | 5.36 |
| | ACCOUNT #: 00083453177 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE | THE GROWTH FUND OF AMERICA-A | 0.00 | 16.37 | 0.00 | 16.37 | 75.0 | 12.28 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4639 - SCOONES, | THE GROWTH FUND OF AMERICA-A | 0.00 | 19.30 | 0.00 | 19.30 | 75.0 | 14.48 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.51 | 0.00 | 5.51 | 75.0 | 4.13 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | THE GROWTH FUND OF AMERICA-A | 0.00 | 15.17 | 0.00 | 15.17 | 75.0 | 11.38 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER | THE GROWTH FUND OF AMERICA-A | 0.00 | 14.29 | 0.00 | 14.29 | 75.0 | 10.72 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.35 | 0.00 | 5.35 | 75.0 | 4.01 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8526 - SPINELI, ELISE | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.18 | 0.00 | 2.18 | 75.0 | 1.64 |
| | ACCOUNT #: 00084820024 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7431 - PATANE, JOANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 20.79 | 0.00 | 20.79 | 75.0 | 15.59 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1467 - DRAKE, KATE | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.49 | 0.00 | 1.49 | 75.0 | 1.12 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9408 - SNIZEK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 9.15 | 0.00 | 9.15 | 75.0 | 6.86 |
| | ACCOUNT #: 00085099445 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-408B - SNIZEK, | THE GROWTH FUND OF AMERICA-A | 0.00 | 43.49 | 0.00 | 43.49 | 75.0 | 32.62 |
| | ACCOUNT #: 00085154620 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.25 | 0.00 | 2.25 | 75.0 | 1.69 |
| | ACCOUNT #: 00085219202 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.43 | 0.00 | 0.43 | 75.0 | 0.32 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | THE GROWTH FUND OF AMERICA-A | 0.00 | 7.11 | 0.00 | 7.11 | 75.0 | 5.33 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 14.52 | 0.00 | 14.52 | 75.0 | 10.89 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.59 | 0.00 | 3.59 | 75.0 | 2.69 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.82 | 0.00 | 5.82 | 75.0 | 4.37 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 34.79 | 0.00 | 34.79 | 75.0 | 26.09 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-150B - MURRAY, MARYANNE | THE GROWTH FUND OF AMERICA-A | 0.00 | 12.48 | 0.00 | 12.48 | 75.0 | 9.36 |
| | ACCOUNT #: 00085937489 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT | THE GROWTH FUND OF AMERICA-A | 0.00 | 78.67 | 0.00 | 78.67 | 75.0 | 59.00 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1812 - CHANDLER, JODY | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.30 | 0.00 | 2.30 | 75.0 | 1.73 |
| | ACCOUNT #: 00086450734 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9498 - MAXAM, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.91 | 0.00 | 3.91 | 75.0 | 2.93 |
| | ACCOUNT #: 00087112397 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8143 - DRAKE, RONALD | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.60 | 0.00 | 2.60 | 75.0 | 1.95 |
| | ACCOUNT #: 00087671391 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-241R - DRAKE, ROBERT | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.05 | 0.00 | 1.05 | 75.0 | 0.79 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3034 - DRAKE, CATHERINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.04 | 0.00 | 3.04 | 75.0 | 2.28 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5152 - DUNN, MICHELE | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.70 | 0.00 | 10.70 | 75.0 | 8.03 |
| | ACCOUNT #: 00087742896 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.79 | 0.00 | 1.79 | 75.0 | 1.34 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7449 - JONES, D | THE GROWTH FUND OF AMERICA-A | 0.00 | 8.94 | 0.00 | 8.94 | 75.0 | 6.71 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8526 - SPINELLI, ELISE | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.01 | 0.00 | 5.01 | 75.0 | 3.76 |
| | ACCOUNT #: 00088154499 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8260 - LANZ, BRIAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.19 | 0.00 | 0.19 | 75.0 | 0.14 |
| | ACCOUNT #: 00088702210 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9844 - COTTET, DAVID | THE GROWTH FUND OF AMERICA-A | 0.00 | 4.69 | 0.00 | 4.69 | 75.0 | 3.52 |
| | ACCOUNT #: 00089106002 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-404Q - SVECZ, LORRAINE | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.65 | 0.00 | 1.65 | 75.0 | 1.24 |
| | ACCOUNT #: 00089217192 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7618 - WILE, SANDRA | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.47 | 0.00 | 1.47 | 75.0 | 1.10 |
| | ACCOUNT #: 00089237747 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | THE GROWTH FUND OF AMERICA-A | 0.00 | 10.12 | 0.00 | 10.12 | 75.0 | 7.59 |
| | ACCOUNT #: 00089542885 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-7334 - SIMCHIK, KARI | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.22 | 0.00 | 6.22 | 75.0 | 4.67 |
| | ACCOUNT #: 00089741498 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7504 - WOLCOTT, WILLARD | THE INCOME FUND OF AMERICA-A | 0.00 | 2.14 | 0.00 | 2.14 | 75.0 | 1.61 |
| | ACCOUNT #: 00073910528 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6786 - NOWAKOWSKI, | THE INCOME FUND OF AMERICA-A | 0.00 | 4.32 | 0.00 | 4.32 | 75.0 | 3.24 |
| | ACCOUNT #: 00089939277 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-8032 - ISBELL, RANDY | THE INCOME FUND OF AMERICA-A | 0.00 | 7.04 | 0.00 | 7.04 | 75.0 | 5.28 |
| | ACCOUNT #: 00073384225 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | THE INCOME FUND OF AMERICA-A | 0.00 | 4.77 | 0.00 | 4.77 | 75.0 | 3.58 |
| | ACCOUNT #: 00074399618 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6921 - LAROCCA, KAREN | THE INCOME FUND OF AMERICA-A | 0.00 | 15.11 | 0.00 | 15.11 | 75.0 | 11.33 |
| | ACCOUNT #: 00074417033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9825 - OHARA, DAVID | THE INCOME FUND OF AMERICA-A | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| | ACCOUNT #: 00081132041 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-453B - OHARA, DARLENE | THE INCOME FUND OF AMERICA-A | 0.00 | 10.34 | 0.00 | 10.34 | 75.0 | 7.76 |
| | ACCOUNT #: 00081166276 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | THE INCOME FUND OF AMERICA-A | 0.00 | 3.66 | 0.00 | 3.66 | 75.0 | 2.75 |
| | ACCOUNT #: 00081783351 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5556 - BURNS, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 2.36 | 0.00 | 2.36 | 75.0 | 1.77 |
| | ACCOUNT #: 00081788449 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-932B - BAKER, STEVEN | THE INCOME FUND OF AMERICA-A | 0.00 | 13.12 | 0.00 | 13.12 | 75.0 | 9.84 |
| | ACCOUNT #: 00082336423 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0911 - SPAZIANI, | THE INCOME FUND OF AMERICA-A | 0.00 | 6.73 | 0.00 | 6.73 | 75.0 | 5.05 |
| | ACCOUNT #: 00082570536 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE | THE INCOME FUND OF AMERICA-A | 0.00 | 13.65 | 0.00 | 13.65 | 75.0 | 10.24 |
| | ACCOUNT #: 00083596297 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4639 - SCOONES, | THE INCOME FUND OF AMERICA-A | 0.00 | 1.85 | 0.00 | 1.85 | 75.0 | 1.39 |
| | ACCOUNT #: 00084481157 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | THE INCOME FUND OF AMERICA-A | 0.00 | 0.89 | 0.00 | 0.89 | 75.0 | 0.67 |
| | ACCOUNT #: 00084518064 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2857 - NELSON, IRENE | THE INCOME FUND OF AMERICA-A | 0.00 | 10.94 | 0.00 | 10.94 | 75.0 | 8.21 |
| | ACCOUNT #: 00084518079 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER | THE INCOME FUND OF AMERICA-A | 0.00 | 15.01 | 0.00 | 15.01 | 75.0 | 11.26 |
| | ACCOUNT #: 00084677249 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1298 - WARNER, JENNIFER | THE INCOME FUND OF AMERICA-A | 0.00 | 1.56 | 0.00 | 1.56 | 75.0 | 1.17 |
| | ACCOUNT #: 00084677273 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7431 - PATANE, JOANNE | THE INCOME FUND OF AMERICA-A | 0.00 | 29.51 | 0.00 | 29.51 | 75.0 | 22.13 |
| | ACCOUNT #: 00084894033 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1467 - DRAKE, KATE | THE INCOME FUND OF AMERICA-A | 0.00 | 1.61 | 0.00 | 1.61 | 75.0 | 1.21 |
| | ACCOUNT #: 00085070010 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 0.00 | 0.25 | 0.00 | 0.25 | 75.0 | 0.19 |
| | ACCOUNT #: 00085403837 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | THE INCOME FUND OF AMERICA-A | 0.00 | 12.43 | 0.00 | 12.43 | 75.0 | 9.32 |
| | ACCOUNT #: 00085470927 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 0.00 | 6.66 | 0.00 | 6.66 | 75.0 | 5.00 |
| | ACCOUNT #: 00085514715 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7598 - HUMPF, MINDI | THE INCOME FUND OF AMERICA-A | 0.00 | 2.83 | 0.00 | 2.83 | 75.0 | 2.12 |
| | ACCOUNT #: 00085514851 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-1909 - BASHANT, MATTHEW | THE INCOME FUND OF AMERICA-A | 0.00 | 3.27 | 0.00 | 3.27 | 75.0 | 2.45 |
| | ACCOUNT #: 00085839015 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN | THE INCOME FUND OF AMERICA-A | 0.00 | 23.35 | 0.00 | 23.35 | 75.0 | 17.51 |
| | ACCOUNT #: 00085918827 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT | THE INCOME FUND OF AMERICA-A | 0.00 | 41.44 | 0.00 | 41.44 | 75.0 | 31.08 |
| | ACCOUNT #: 00086060967 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4906 - VAN ALSTINE, | THE INCOME FUND OF AMERICA-A | 0.00 | 2.95 | 0.00 | 2.95 | 75.0 | 2.21 |
| | ACCOUNT #: 00086106101 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 5.21 | 0.00 | 5.21 | 75.0 | 3.91 |
| | ACCOUNT #: 00086415959 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6790 - DRAKE, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 3.93 | 0.00 | 3.93 | 75.0 | 2.95 |
| | ACCOUNT #: 00086643595 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2775 - CASTILLO, | THE INCOME FUND OF AMERICA-A | 0.00 | 33.10 | 0.00 | 33.10 | 75.0 | 24.83 |
| | ACCOUNT #: 00086668159 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-4932 - BAKER, STEVEN | THE INCOME FUND OF AMERICA-A | 0.00 | 16.93 | 0.00 | 16.93 | 75.0 | 12.70 |
| | ACCOUNT #: 00086683340 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-8321 - HIGGINS, CYNTHIA | THE INCOME FUND OF AMERICA-A | 0.00 | 15.35 | 0.00 | 15.35 | 75.0 | 11.51 |
| | ACCOUNT #: 00086788166 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-2146 - JONES, KELLIE | THE INCOME FUND OF AMERICA-A | 0.00 | 1.75 | 0.00 | 1.75 | 75.0 | 1.31 |
| | ACCOUNT #: 00087644930 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-241R - DRAKE, ROBERT | THE INCOME FUND OF AMERICA-A | 0.00 | 4.77 | 0.00 | 4.77 | 75.0 | 3.58 |
| | ACCOUNT #: 00087692434 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9321 - DRAKE, MARY | THE INCOME FUND OF AMERICA-A | 0.00 | 1.38 | 0.00 | 1.38 | 75.0 | 1.04 |
| | ACCOUNT #: 00087693440 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3034 - DRAKE, CATHERINE | THE INCOME FUND OF AMERICA-A | 0.00 | 3.36 | 0.00 | 3.36 | 75.0 | 2.52 |
| | ACCOUNT #: 00087698728 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-816R - NELSON, ANTHONY | THE INCOME FUND OF AMERICA-A | 0.00 | 1.07 | 0.00 | 1.07 | 75.0 | 0.80 |
| | ACCOUNT #: 00087727897 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-5152 - DUNN, MICHELE | THE INCOME FUND OF AMERICA-A | 0.00 | 16.39 | 0.00 | 16.39 | 75.0 | 12.29 |
| | ACCOUNT #: 00087742896 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA | THE INCOME FUND OF AMERICA-A | 0.00 | 4.34 | 0.00 | 4.34 | 75.0 | 3.26 |
| | ACCOUNT #: 00087778757 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9348 - KARHNAK, NINA | THE INCOME FUND OF AMERICA-A | 0.00 | 2.60 | 0.00 | 2.60 | 75.0 | 1.95 |
| | ACCOUNT #: 00087837753 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-9002 - ANDERSON, BRYAN | THE INCOME FUND OF AMERICA-A | 0.00 | 12.76 | 0.00 | 12.76 | 75.0 | 9.57 |
| | ACCOUNT #: 00087907563 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0939 - LAWRENCE, JASON | THE INCOME FUND OF AMERICA-A | 0.00 | 3.29 | 0.00 | 3.29 | 75.0 | 2.47 |
| | ACCOUNT #: 00087909097 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-7449 - JONES, D | THE INCOME FUND OF AMERICA-A | 0.00 | 9.20 | 0.00 | 9.20 | 75.0 | 6.90 |
| | ACCOUNT #: 00087990221 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-16JT - NELSON, ANTHONY | THE INCOME FUND OF AMERICA-A | 0.00 | 2.40 | 0.00 | 2.40 | 75.0 | 1.80 |
| | ACCOUNT #: 00088027660 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-0746 - FRANCOEUR, HENRY | THE INCOME FUND OF AMERICA-A | 0.00 | 8.60 | 0.00 | 8.60 | 75.0 | 6.45 |
| | ACCOUNT #: 00088088000 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-3096 - WESTON, LOIS | THE INCOME FUND OF AMERICA-A | 0.00 | 6.46 | 0.00 | 6.46 | 75.0 | 4.85 |
| | ACCOUNT #: 00088143854 | BUY 0 Units @0.0000 | | | | | | |
| 03/01/2018 | ***-**-6283 - DOUGLASS, ANITA | THE INCOME FUND OF AMERICA-A | 0.00 | 0.69 | 0.00 | 0.69 | 75.0 | 0.52 |
| | ACCOUNT #: 00088178709 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 03/01/2018 | ***-**-1351 - DIMAURO, ALLAN ACCOUNT #: 00088294408 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 16.69 | 0.00 | 16.69 | 75.0 | 12.52 |
| 03/01/2018 | ***-**-8800 - DIMAURO, JANE ACCOUNT #: 00088294458 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.21 | 0.00 | 1.21 | 75.0 | 0.91 |
| 03/01/2018 | ***-**-9844 - COTTET, DAVID ACCOUNT #: 00089106002 | THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 5.58 | 0.00 | 5.58 | 75.0 | 4.19 |
| 03/01/2018 | ***-**-8906 - ISBELL, ACCOUNT #: 00073594886 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 0.84 | 0.00 | 0.84 | 75.0 | 0.63 |
| 03/01/2018 | ***-**-7912 - WOODCOCK, ACCOUNT #: 00081632184 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 1.51 | 0.00 | 1.51 | 75.0 | 1.13 |
| 03/01/2018 | ***-**-989I - WOODCOCK, ACCOUNT #: 00081599245 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 3.14 | 0.00 | 3.14 | 75.0 | 2.36 |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 19.88 | 0.00 | 19.88 | 75.0 | 14.91 |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00085918827 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 21.65 | 0.00 | 21.65 | 75.0 | 16.24 |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00089542885 | THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000 | 0.00 | 9.50 | 0.00 | 9.50 | 75.0 | 7.13 |
| 03/01/2018 | ***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757 | THE NEW ECONOMY FUND-A BUY 0 Units @0.0000 | 0.00 | 0.86 | 0.00 | 0.86 | 75.0 | 0.65 |
| 03/01/2018 | ***-**-1607 - WALRATH, BRUCE ACCOUNT #: 00083596297 | U.S. GOVERNMENT SECURITIES FUND CLA BUY 0 Units @0.0000 | 0.00 | 2.13 | 0.00 | 2.13 | 75.0 | 1.60 |
| 03/01/2018 | ***-**-4652 - GARNSEY, JANELLE ACCOUNT #: 04000491239 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 30.32 | 0.00 | 30.32 | 75.0 | 22.74 |
| 03/01/2018 | ***-**-7538 - MURRAY, SCOTT ACCOUNT #: 00086060967 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 45.24 | 0.00 | 45.24 | 75.0 | 33.93 |
| 03/01/2018 | ***-**-2179 - FOX, RAY ACCOUNT #: 00087972536 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 3.34 | 0.00 | 3.34 | 75.0 | 2.51 |
| 03/01/2018 | ***-**-7449 - JONES, D ACCOUNT #: 00087990221 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 9.79 | 0.00 | 9.79 | 75.0 | 7.34 |
| 03/01/2018 | ***-**-3756 - GAGNE, JOHN ACCOUNT #: 00089542885 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000 | 0.00 | 9.56 | 0.00 | 9.56 | 75.0 | 7.17 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$3,970.43 | \$0.00 | \$3,970.43 | | \$2,978.47 |



COMMISSION STATEMENT

March 12, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| *** BROKER TRANSACTIONS TOTAL *** | | | \$1,549.33 | \$4,026.41 | \$0.00 | \$4,026.41 | | \$3,020.52 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,987.18 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|-----------------------|--------|
| Balance Forward | \$0.00 |
| Year-to-date Earnings | \$0.00 |