

COMMISSION STATEMENT

January 15, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
01/02/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
01/02/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
01/02/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
01/02/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
01/02/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: MISCELLANEOUS											
/ /	VARIOUS, BRISTER T	MI	PVG	0102	B	0.00	452.82	0.00	452.82	87.5	396.22
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$452.82	\$0.00	\$452.82		\$396.22
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
01/01/2018	00002230696	OLSON, SCOT	MFT	AIM CHARTER FUND - CLASS A	B	0.00	38.54	0.00	38.54	87.5	33.72
01/01/2018	01001329129	DAVIS, MARGARET	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	48.63	0.00	48.63	87.5	42.55
01/01/2018	03810221121	BROWN, WILLIAM	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.40	0.00	6.40	87.5	5.60
01/01/2018	04030071965	GERONIMI,	MFT	AIM CASH RESERVE SHARES	B	0.00	9.98	0.00	9.98	87.5	8.73
01/01/2018	04030072013	GERONIMI, DANN	MFT	AIM CASH RESERVE SHARES	B	0.00	4.89	0.00	4.89	87.5	4.28
01/01/2018	04030310520	GERONIMI,	MFT	AIM CASH RESERVE SHARES	B	0.00	27.16	0.00	27.16	87.5	23.77
01/01/2018	04031349287	PETERSON, CYNTHIA	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.91	0.00	1.91	87.5	1.67
01/01/2018	08191344668	DAVIS, MARGARET	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	39.96	0.00	39.96	87.5	34.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$177.47	\$0.00	\$177.47		\$155.29
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
12/31/2017	(None)	BRISTER, LYDIA	VAT	PRUDENTIAL LIFE	B	0.00	109.52	0.00	109.52	87.5	95.83
12/31/2017	(None)	SMILLIE, SELLA	VAT	PRUDENTIAL LIFE	B	0.00	526.43	0.00	526.43	87.5	460.63
12/31/2017	(None)	SMILLIE, SELLA	VAT	PRUDENTIAL LIFE	B	0.00	175.48	0.00	175.48	87.5	153.55
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$811.43	\$0.00	\$811.43		\$710.01
*** DIRECT TRANSACTIONS TOTAL ***						\$900.00	\$1,475.49	\$0.00	\$1,475.49		\$1,291.08

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OVERRIDE TRANSACTIONS

BROKER: ALEX BRISTER-D010 #14

12/29/2017 04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75		5.16
* ALEX BRISTER-D010 SUBTOTAL *				\$500.00	\$13.75	\$0.00	\$13.75		\$5.16
*** OVERRIDE TRANSACTIONS TOTAL ***				\$500.00	\$13.75	\$0.00	\$13.75		\$5.16

BROKER COMMISSION TOTALS

Broker Transactions	\$1,291.08
Split Transactions	\$0.00
Override Transactions	\$5.16
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,296.24
Year-to-date Commissions	\$2,038.77