



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
 Page No. 1

DOUGLAS NEVIN
 600 Johnson Ave.
 Suite B5
 Bohemia, NY 11716

BROKER #: N118
 BRANCH: ROBERT LUCHSINGER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/31/2017 | TOOLEY, PATRICIA | FRANKLIN MA TAX FR INCOME A | 0.00 | 25.91 | 0.00 | 25.91 | 90.0 | 23.32 |
| 01/31/2017 | GRIFFIN, DOUGLAS | FRANKLIN NY TF INCOME FUND CLASS A | 0.00 | 8.66 | 0.00 | 8.66 | 90.0 | 7.79 |
| 01/31/2017 | GRIFFIN, JEANETTE | FRANKLIN NY TF INCOME FUND CLASS A | 0.00 | 12.33 | 0.00 | 12.33 | 90.0 | 11.10 |
| 01/31/2017 | GRIFFIN, DOUGLAS | FRANKLIN REAL ESTATE SEC FUND - CLA | 0.00 | 18.45 | 0.00 | 18.45 | 90.0 | 16.61 |
| 01/31/2017 | LEAVY, FLORENCE | FRANKLIN US GOVT SEC FUND A | 0.00 | 6.13 | 0.00 | 6.13 | 90.0 | 5.52 |
| / / | KNAACK, JOHN | RYDEX GUGG MID CAP VALUE A | 0.00 | 4.63 | 0.00 | 4.63 | 90.0 | 4.17 |
| 01/27/2017 | KNICHEL, JOHN | SUNAMERICA FOCUSED ALPHA LARGE CAP A | 25.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$25.00 | \$77.36 | \$0.00 | \$77.36 | | \$69.64 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 01/31/2017 | MANNETTA, VIRGINIA | JOHN HANCOCK LIFE INS CO | 119,379.62 | 99.48 | 0.00 | 99.48 | 90.0 | 89.53 |
| 01/31/2017 | PANZZIE, FRANK | JOHN HANCOCK LIFE INS | 326,094.15 | 67.94 | 0.00 | 67.94 | 90.0 | 61.15 |
| 01/24/2017 | COSTELLO, KEVIN | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 94.73 | 0.00 | 94.73 | 90.0 | 85.26 |
| 01/27/2017 | NEVIN, NANCY | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 585.28 | 0.00 | 585.28 | 90.0 | 526.75 |
| 01/23/2017 | DAWSON, KELLY | PACIFIC CHOICE | 0.00 | 7,986.42 | 0.00 | 7,986.42 | 90.0 | 7,187.78 |
| 01/30/2017 | KNAACK, JOHN | SBL SECURE DESIGNS VA | 116,413.42 | 97.01 | 0.00 | 97.01 | 90.0 | 87.31 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$561,887.19 | \$8,930.86 | \$0.00 | \$8,930.86 | | \$8,037.78 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$561,912.19 | \$9,008.22 | \$0.00 | \$9,008.22 | | \$8,107.42 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 02/15/2017 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 02/15/2017 | | *REGISTRATION FEE: NV REG FEE | 0.00 | | | | | -125.00 |
| 02/15/2017 | | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-700.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$8,107.42 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-700.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|--------------------|
| Check Amount | \$7,407.42 |
| Year-to-date Gross | \$16,128.67 |
| Year-to-date Earnings | \$14,393.45 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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