



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2018

05/30/2018
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RANDY STOLTZ
14617 N. 45th Place

Phoenix, AZ 85032-

BROKER #: S149
BRANCH: RANDY STOLTZ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/18/2018	MCGAHIE, ROBERT	OPPENHEIMER STEELPATH MLP ALPHA PLU	1,100.00	0.00	0.00	0.00	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$1,100.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
05/18/2018	MCGAHIE, ROBERT	PIMCO CORPORATE & INCOME STRATEGY F	499.35	0.00	22.50	-22.50	90.0	-20.25
05/23/2018	KASSMAN, STEVEN	PIMCO CORPORATE & INCOME STRATEGY F	6,478.40	0.00	22.55	-22.55	90.0	-20.30
05/23/2018	BOOTHROYD, CHRISTOPHER	PIMCO CORPORATE & INCOME STRATEGY F	6,303.53	0.00	22.54	-22.54	90.0	-20.29
		* STOCKS SUBTOTAL *	\$13,281.28	\$0.00	\$67.59	\$-67.59		\$-60.84
		*** CLEARING TRANSACTIONS TOTAL ***	\$14,381.28	\$0.00	\$67.59	\$-67.59		\$-60.84
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
04/30/2018	EVERROAD, ANDREW	TRILINC GLOBAL IMPACT FUND C	44,418.34	29.21	0.00	29.21	90.0	26.29
04/30/2018	KIDDER, TINA	TRILINC GLOBAL IMPACT FUND C	67,154.88	44.16	0.00	44.16	90.0	39.74
		* PRIVATE PLACEMENT SUBTOTAL *	\$111,573.22	\$73.37	\$0.00	\$73.37		\$66.03
<u>PRODUCT CATEGORY: OIL & GAS</u>								
05/17/2018	CARVER FAMILY REV TR	RESOURCE ROYALTY MERAMEC RICH ROYAL	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
05/22/2018	ROSOL, LAURELYNN	RESOURCE ROYALTY MERAMEC RICH ROYAL	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
		* OIL & GAS SUBTOTAL *	\$150,000.00	\$9,000.00	\$0.00	\$9,000.00		\$8,100.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
05/14/2018	ROSOL, LAURELYNN	BC EXCHANGE VASCO DST	100,000.00	5,000.00	0.00	5,000.00	90.0	4,500.00
05/22/2018	ROSOL, LAURELYNN	MOODY DFW DST	150,000.00	9,000.00	0.00	9,000.00	90.0	8,100.00
		* 1031 EXCHANGES SUBTOTAL *	\$250,000.00	\$14,000.00	\$0.00	\$14,000.00		\$12,600.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: NON-TRADED BDC								
05/07/2018	TO DST TOD RULES, SUBJECT	FS INVESTMENT CORP IV CLASS T	0.00	80.57	0.00	80.57	90.0	72.51
05/07/2018	MATSON, FRED	FS INVESTMENT CORP IV CLASS T	0.00	39.51	0.00	39.51	90.0	35.56
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$120.08	\$0.00	\$120.08		\$108.07
PRODUCT CATEGORY: MUTUAL FUNDS								
05/15/2018	PERSON, DEETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	PERSON, DEETTE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	PERSON, DEETTE	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	90.0	3.38
05/15/2018	PERSON, DEETTE	AM FUNDS NEW ECONOMY A	75.00	3.75	0.00	3.75	90.0	3.38
* MUTUAL FUNDS SUBTOTAL *			\$250.00	\$12.50	\$0.00	\$12.50		\$11.26
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/28/2018	TRAYNOR FOR CIARA M TRAYN,	TRANSAMERICA 89354G554	0.00	7.85	0.00	7.85	90.0	7.07
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.85	\$0.00	\$7.85		\$7.07
*** DIRECT TRANSACTIONS TOTAL ***			\$511,823.22	\$23,213.80	\$0.00	\$23,213.80		\$20,892.43

BROKER COMMISSION TOTALS

Broker Transactions	\$20,831.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,831.59
Year-to-date Gross	\$71,325.47
Year-to-date Earnings	\$63,893.62

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