



COMMISSION STATEMENT

March 05, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/20/2018	***-**-71IN - O'NEILL, RAYMOND	FRK STRAT INC FND - CL A	50.00	2.00	0.00	2.00	95.0	1.90
	ACCOUNT #: 000019400013468	BUY 5 Units @10.1500						
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.00	\$0.00	\$2.00		\$1.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/05/2018	***-**-86I` - SEELEY, NANCY	BLACKROCK TRAILS	0.00	4.34	0.00	4.34	95.0	4.12
	ACCOUNT #: 5291468659	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4.34	\$0.00	\$4.34		\$4.12
		*** BROKER TRANSACTIONS TOTAL ***	\$50.00	\$6.34	\$0.00	\$6.34		\$6.02

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

02/20/2018	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00086106101	BUY 2 Units @28.8500						
02/21/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @28.7100						
02/21/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 14 Units @28.0400						
02/21/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @28.0400						
02/21/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 3 Units @28.0400						
02/21/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @28.4800						
02/21/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @28.7100						
02/22/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @28.5200						
02/20/2018	***-**-4932 - BAKER, STEVEN	AMERICAN HIGH-INCOME TRUST-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 7 Units @10.6700						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
02/21/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 04000247689	BUY 5 Units @10.6900						
02/21/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 2 Units @10.6600						
02/21/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 2 Units @10.6600						
02/21/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 9 Units @10.6600						
02/21/2018	***-**-4848 - DOGGETT, AMY	AMERICAN HIGH-INCOME TRUST-A	165.00	4.95	0.00	4.95	20.0	0.99
	ACCOUNT #: 00081620466	BUY 15 Units @10.6900						
02/21/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	20.0	0.32
	ACCOUNT #: 00085993216	BUY 5 Units @10.6900						
02/22/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 2 Units @10.6500						
02/22/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	20.0	0.32
	ACCOUNT #: 00085993216	BUY 5 Units @10.6800						
02/21/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	20.0	0.36
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
02/21/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
02/26/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
02/26/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
02/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00086683340	BUY 2 Units @64.4900						
02/21/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 6 Units @64.1200						
02/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 4 Units @20.9000						
02/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00086683340	BUY 2 Units @54.4200						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
02/20/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @54.4200	50.00	1.38	0.00	1.38	20.0	0.28
02/21/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @54.2100	31.50	0.87	0.00	0.87	20.0	0.17
02/21/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @54.2100	25.00	0.69	0.00	0.69	20.0	0.14
02/21/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.2100	100.00	2.75	0.00	2.75	20.0	0.55
02/21/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @54.7700	165.00	6.19	0.00	6.19	20.0	1.24
02/21/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.0600	37.50	1.59	0.00	1.59	20.0	0.32
02/22/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @54.2800	25.00	0.69	0.00	0.69	20.0	0.14
02/22/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.1400	37.50	1.59	0.00	1.59	20.0	0.32
02/21/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08351548074	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
02/26/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49
02/20/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.7800	50.00	1.38	0.00	1.38	20.0	0.28
02/21/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.6300	31.50	0.87	0.00	0.87	20.0	0.17
02/20/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	FUNDAMENTAL INVESTORS-A BUY 1 Units @65.9900	50.00	1.38	0.00	1.38	20.0	0.28
03/06/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	59.27	59.27	0.00	59.27	15.0	8.89
03/06/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	124.28	124.28	0.00	124.28	15.0	18.64
03/06/2018	***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	128.23	128.23	0.00	128.23	15.0	19.23



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
02/21/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
02/26/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	112.50	3.38	0.00	3.38	20.0	0.68
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
02/21/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
02/21/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
02/26/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	12.50	0.38	0.00	0.38	20.0	0.08
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
02/21/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
02/21/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	76.00	2.28	0.00	2.28	20.0	0.46
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						
02/21/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	60.00	1.65	0.00	1.65	20.0	0.33
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
02/26/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	262.50	10.50	0.00	10.50	20.0	2.10
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
02/21/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	80.00	2.40	0.00	2.40	20.0	0.48
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
02/21/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	96.00	2.88	0.00	2.88	20.0	0.58
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
02/26/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
02/21/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
02/26/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
02/21/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @59.9500						
02/22/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @59.8900						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
02/21/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 7 Units @13.0200	90.75	2.50	0.00	2.50	20.0	0.50
02/20/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.9800	50.00	1.38	0.00	1.38	20.0	0.28
02/21/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.8300	25.00	0.69	0.00	0.69	20.0	0.14
02/21/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.8300	175.00	4.81	0.00	4.81	20.0	0.96
02/21/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.8300	93.50	2.57	0.00	2.57	20.0	0.51
02/21/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8300	36.00	0.99	0.00	0.99	20.0	0.20
02/21/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 3 Units @54.4000	170.00	6.38	0.00	6.38	20.0	1.28
02/22/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.8100	25.00	0.69	0.00	0.69	20.0	0.14
02/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	THE INCOME FUND OF AMERICA-A BUY 6 Units @24.1100	150.00	4.13	0.00	4.13	20.0	0.83
02/21/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.9800	25.50	0.70	0.00	0.70	20.0	0.14
02/21/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.9800	25.00	0.69	0.00	0.69	20.0	0.14
02/21/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.5500	60.00	3.00	0.00	3.00	20.0	0.60
02/22/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.0100	25.00	0.69	0.00	0.69	20.0	0.14
* RONALD DRAKE SUBTOTAL *			\$6,181.78	\$506.97	\$0.00	\$506.97		\$85.88
<u>BROKER: RONALD CIOTOLI</u>								
02/21/2018	***-**-923I - MILLER, DENISE ACCOUNT #: 00068752100	AMERICAN BALANCED FUND-A BUY 4 Units @28.3400	100.00	3.75	0.00	3.75	35.0	1.31
02/21/2018	***-**-3380 - DENTON, KELLI ACCOUNT #: 03210124708	CAPITAL APPRECIATION A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	35.0	0.83



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
02/28/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	0.00	45.44	0.00	45.44	35.0	15.90
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
03/06/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	196.42	196.42	0.00	196.42	35.0	68.75
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
03/06/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	221.73	221.73	0.00	221.73	35.0	77.61
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
03/06/2018	***-**-1730 - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	241.20	241.20	0.00	241.20	35.0	84.42
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						
02/27/2018	***-**-7556 - WALKER, RANDY	PRINCIPAL VA	0.00	11.07	0.00	11.07	35.0	3.87
		BUY 0 Units @0.0000						
* RONALD CIOTOLI SUBTOTAL *			\$809.35	\$721.99	\$0.00	\$721.99		\$252.69
*** OVERRIDE TRANSACTIONS TOTAL ***			\$6,991.13	\$1,228.96	\$0.00	\$1,228.96		\$338.57

BROKER COMMISSION TOTALS

Broker Transactions	\$6.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$2,957.22