



Concorde Investment Services, LLC

05/11/2017  
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# COMMISSION STATEMENT

May 15, 2017

DAVID KOTZ  
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017  
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.09	0.00	4.09	80.0	3.27
04/11/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.91	0.00	0.91	80.0	0.73
04/11/2017	VON DER LINDE, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.51	0.00	0.51	80.0	0.41
04/11/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.18	0.00	0.18	80.0	0.14
04/11/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.28	0.00	9.28	80.0	7.42
04/11/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.20	0.00	2.20	80.0	1.76
04/11/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.20	0.00	2.20	80.0	1.76
04/11/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.55	0.00	8.55	80.0	6.84
04/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.26	0.00	24.26	80.0	19.41
04/11/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.22	0.00	2.22	80.0	1.78
04/11/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.29	0.00	0.29	80.0	0.23
04/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.90	0.00	2.90	80.0	2.32
04/11/2017	MCKAY, VERNA	BLACKROCK FUNDS US GOVERNMENT PORTF	0.00	0.52	0.00	0.52	80.0	0.42
04/11/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.68	0.00	1.68	80.0	1.34
04/11/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.31	0.00	2.31	80.0	1.85
04/11/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.77	0.00	1.77	80.0	1.42
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$63.87	\$0.00	\$63.87		\$51.10
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$63.87	\$0.00	\$63.87		\$51.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					100.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$100.00

BROKER COMMISSION TOTALS

Broker Transactions	\$51.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$100.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-100.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$51.10
Year-to-date Gross	\$5,658.90
Year-to-date Earnings	\$3,866.34

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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