



# COMMISSION STATEMENT

## January 22, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/16/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	16.46	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00063922927	BUY 0 Units @34.1100						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	48.94	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00063922927	BUY 1 Units @34.1100						
01/16/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	5.51	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922931	BUY 0 Units @34.1100						
01/16/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	169.00	4.65	0.00	4.65	70.0	3.26
	ACCOUNT #: 00063922931	BUY 5 Units @34.1100						
01/16/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 5 Units @34.1100						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	8.23	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: 00063922927	BUY 0 Units @43.4800						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	24.47	0.67	0.00	0.67	70.0	0.47
	ACCOUNT #: 00063922927	BUY 1 Units @43.4800						
01/16/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	5.51	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922931	BUY 0 Units @43.4800						
01/16/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	169.00	4.65	0.00	4.65	70.0	3.26
	ACCOUNT #: 00063922931	BUY 4 Units @43.4800						
01/16/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @43.4800						
01/16/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	5.09	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922931	BUY 0 Units @66.2500						
01/16/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	156.02	4.29	0.00	4.29	70.0	3.00
	ACCOUNT #: 00063922931	BUY 2 Units @66.2500						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	8.23	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: 00063922927	BUY 0 Units @55.0300						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	24.47	0.67	0.00	0.67	70.0	0.47
	ACCOUNT #: 00063922927	BUY 0 Units @55.0300						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	16.47	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00063922927	BUY 0 Units @67.1700						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	48.93	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00063922927	BUY 1 Units @67.1700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
01/16/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	5.09	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922931	BUY 0 Units @67.1700						
01/16/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	156.00	4.29	0.00	4.29	70.0	3.00
	ACCOUNT #: 00063922931	BUY 2 Units @67.1700						
01/16/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 2 Units @67.1700						
01/05/2018	***-**-94IR - HALL-SPLENDORIO,	PIONEER FUND A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @31.3500						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	16.47	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00063922927	BUY 0 Units @53.8200						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	48.93	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00063922927	BUY 1 Units @53.8200						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	5.09	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922931	BUY 0 Units @53.8200						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	156.00	4.29	0.00	4.29	70.0	3.00
	ACCOUNT #: 00063922931	BUY 3 Units @53.8200						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @53.8200						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 6 Units @24.6900						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	5.51	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922931	BUY 0 Units @43.3500						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	169.00	4.65	0.00	4.65	70.0	3.26
	ACCOUNT #: 00063922931	BUY 4 Units @43.3500						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @43.3500						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	16.47	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00063922927	BUY 0 Units @48.4000						
01/16/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	48.93	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00063922927	BUY 1 Units @48.4000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	5.09	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922931	BUY 0 Units @48.4000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
01/16/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	156.00	4.29	0.00	4.29	70.0	3.00
	ACCOUNT #: 00063922931	BUY 3 Units @48.4000						
01/16/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @48.4000						
01/16/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	5.51	0.15	0.00	0.15	70.0	0.11
	ACCOUNT #: 00063922931	BUY 0 Units @49.0200						
01/16/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	169.00	4.65	0.00	4.65	70.0	3.26
	ACCOUNT #: 00063922931	BUY 3 Units @49.0200						
01/16/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 3 Units @49.0200						
* MUTUAL FUNDS SUBTOTAL *			<b>\$3,012.92</b>	<b>\$83.98</b>	<b>\$0.00</b>	<b>\$83.98</b>		<b>\$58.85</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/16/2018	***-**-66IN - ANDERSSOHN, MARY	PACIFIC LIFE VOYAGES	250.00	17.50	0.00	17.50	70.0	12.25
	ACCOUNT #: VR11014010	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$250.00</b>	<b>\$17.50</b>	<b>\$0.00</b>	<b>\$17.50</b>		<b>\$12.25</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/22/2018	***-**-1184 - SPORRER, SHIRLEY	AMCAP FUND-A	0.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	AMCAP FUND-A	0.00	4.12	0.00	4.12	70.0	2.88
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-00IR - SPORRER, JERALD	AMCAP FUND-A	0.00	3.98	0.00	3.98	70.0	2.79
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	AMCAP FUND-A	0.00	2.37	0.00	2.37	70.0	1.66
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	AMCAP FUND-A	0.00	4.37	0.00	4.37	70.0	3.06
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/22/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN BALANCED FUND-A	0.00	11.79	0.00	11.79	70.0	8.25
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
01/22/2018	***-**-579I - FREDERICK,	AMERICAN BALANCED FUND-A	0.00	20.28	0.00	20.28	70.0	14.20
	ACCOUNT #: LSR011570	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-0737 - SCHROEDER, JACK	AMERICAN BALANCED FUND-A	0.00	13.10	0.00	13.10	70.0	9.17
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/22/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	11.28	0.00	11.28	70.0	7.90
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
01/16/2018	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	10.65	0.00	10.65	70.0	7.46
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
01/16/2018	***-**-0737 - SCHROEDER, JACK	DELAWARE EXTENDED DURATION BOND FUN	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/22/2018	***-**-5594 - BISHOP, SHANNON	EUROPACIFIC GROWTH FUND-A	0.00	1.03	0.00	1.03	70.0	0.72
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
01/16/2018	***-**-1184 - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
01/16/2018	***-**-184I - SPORRER, SHIRLEY	FIRST EAGLE GOLD FUND CLASS A	0.00	1.52	0.00	1.52	70.0	1.06
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/16/2018	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/16/2018	***-**-514I - BERGIN, JOSEPH	IVY ASSET STRATEGY FUND CLASS A	0.00	2.12	0.00	2.12	70.0	1.48
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
01/16/2018	***-**-976I - MCCARTHY, NANCY	IVY ASSET STRATEGY FUND CLASS A	0.00	0.62	0.00	0.62	70.0	0.43
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
01/16/2018	***-**-568J - MARSHALL, BRUCE	IVY ASSET STRATEGY FUND CLASS A	0.00	21.56	0.00	21.56	70.0	15.09
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
01/16/2018	***-**-0383 - MARSHALL,	IVY ASSET STRATEGY FUND CLASS A	0.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
01/16/2018	***-**-568I - MARSHALL, BRUCE	IVY ASSET STRATEGY FUND CLASS A	0.00	3.60	0.00	3.60	70.0	2.52
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
01/16/2018	***-**-5712 - RAMSEY, GUSSIE	IVY ASSET STRATEGY FUND CLASS A	0.00	14.14	0.00	14.14	70.0	9.90
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
01/16/2018	***-**-2425 - BRIAN N CALNAN,	IVY ASSET STRATEGY FUND CLASS A	0.00	8.04	0.00	8.04	70.0	5.63
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
01/16/2018	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.93	0.00	5.93	70.0	4.15
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	7.74	0.00	7.74	70.0	5.42
01/16/2018	***-**-568I - MARSHALL, BRUCE ACCOUNT #: LSR105627	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	70.0	0.39
01/16/2018	***-**-2425 - BRIAN N CALNAN, ACCOUNT #: LSR105945	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	3.93	0.00	3.93	70.0	2.75
01/16/2018	***-**-1184 - SPORRER, SHIRLEY ACCOUNT #: LSR105244	JOHN HANCOCK GL ABSO RETURN STRATS BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	70.0	0.39
01/16/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	JOHN HANCOCK GLOBAL EQUITY FUND CLA BUY 1 Units @0.0000	0.00	2.87	0.00	2.87	70.0	2.01
01/16/2018	***-**-5712 - RAMSEY, GUSSIE ACCOUNT #: LSR105929	JOHN HANCOCK TECHNICAL OPPS CL A BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	70.0	1.30
01/16/2018	***-**-32IO - VASQUEZ, CHARLES ACCOUNT #: LSR006530	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
01/16/2018	***-**-24IO - DEWITT, TRACY ACCOUNT #: LSR008966	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	23.75	0.00	23.75	70.0	16.63
01/16/2018	***-**-0724 - STROMMESEN, ACCOUNT #: LSR105090	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	6.19	0.00	6.19	70.0	4.33
01/16/2018	***-**-1788 - STROMMESEN, ACCOUNT #: LSR105104	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.98	0.00	3.98	70.0	2.79
01/16/2018	***-**-00IR - SPORRER, JERALD ACCOUNT #: LSR105317	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	70.0	0.64
01/16/2018	***-**-9761 - MCCARTHY, NANCY ACCOUNT #: LSR105490	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	70.0	2.28
01/16/2018	***-**-568J - MARSHALL, BRUCE ACCOUNT #: LSR105600	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	27.55	0.00	27.55	70.0	19.29
01/16/2018	***-**-0383 - MARSHALL, ACCOUNT #: LSR105619	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	28.49	0.00	28.49	70.0	19.94
01/16/2018	***-**-9483 - WYCKOFF, ACCOUNT #: LSR106887	LIFESTYLE BALANCED PORTFOLIO A BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	70.0	1.81
01/16/2018	***-**-29IO - NORFLEET, ACCOUNT #: LSR010116	LIFESTYLE CONSERVATIVE PORTFOLIO A BUY 1 Units @0.0000	0.00	10.88	0.00	10.88	70.0	7.62



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-76IO - SMOAKE, DAVID	LIFESTYLE GROWTH PORTFOLIO A	0.00	6.95	0.00	6.95	70.0	4.87
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
01/16/2018	***-**-1184 - SPORRER, SHIRLEY	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.91	0.00	0.91	70.0	0.64
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
01/16/2018	***-**-5594 - BISHOP, SHANNON	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.30	0.00	1.30	70.0	0.91
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
01/16/2018	***-**-2425 - BRIAN N CALNAN,	LIFESTYLE GROWTH PORTFOLIO A	0.00	7.46	0.00	7.46	70.0	5.22
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
01/16/2018	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.95	0.00	9.95	70.0	6.97
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
01/16/2018	***-**-514I - BERGIN, JOSEPH	LIFESTYLE MODERATE PORTFOLIO A	0.00	6.72	0.00	6.72	70.0	4.70
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
01/16/2018	***-**-099X - JAMES L SMITH,	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR011494	BUY 1 Units @0.0000						
01/16/2018	***-**-087X - MACHRINA E	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR011495	BUY 1 Units @0.0000						
01/16/2018	***-**-703I - LEGROS, DAVID	LIFESTYLE MODERATE PORTFOLIO A	0.00	7.54	0.00	7.54	70.0	5.28
	ACCOUNT #: LSR011660	BUY 1 Units @0.0000						
01/16/2018	***-**-0737 - SCHROEDER, JACK	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.40	0.00	5.40	70.0	3.78
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/16/2018	***-**-568I - MARSHALL, BRUCE	LIFESTYLE MODERATE PORTFOLIO A	0.00	45.95	0.00	45.95	70.0	32.17
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
01/16/2018	***-**-5712 - RAMSEY, GUSSIE	LIFESTYLE MODERATE PORTFOLIO A	0.00	24.98	0.00	24.98	70.0	17.49
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
01/16/2018	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	0.00	4.14	0.00	4.14	70.0	2.90
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
01/01/2018	***-**-440I - CLERKIN, PAUL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	16.17	0.00	16.17	70.0	11.32
	ACCOUNT #: 05311969078	BUY 0 Units @0.0000						
01/01/2018	***-**-294I - CLERKIN, NANCY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	8.06	0.00	8.06	70.0	5.64
	ACCOUNT #: 08191268727	BUY 0 Units @0.0000						
01/22/2018	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	1.03	0.00	1.03	70.0	0.72
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	12.09	0.00	12.09	70.0	8.46
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/01/2018	***-**-440I - CLERKIN, PAUL	MFS EMERGING GROWTH FUND CLASS A	0.00	18.89	0.00	18.89	70.0	13.22
	ACCOUNT #: 06000600286	BUY 0 Units @0.0000						
01/01/2018	***-**-294I - CLERKIN, NANCY	MFS EMERGING GROWTH FUND CLASS A	0.00	6.83	0.00	6.83	70.0	4.78
	ACCOUNT #: 08191268727	BUY 0 Units @0.0000						
01/22/2018	***-**-514I - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.82	0.00	0.82	70.0	0.57
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
01/22/2018	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	3.33	0.00	3.33	70.0	2.33
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
01/22/2018	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
01/01/2018	***-**-440I - CLERKIN, PAUL	MFS HIGH INCOME FUND-A	0.00	6.21	0.00	6.21	70.0	4.35
	ACCOUNT #: 03551291542	BUY 0 Units @0.0000						
01/01/2018	***-**-837I - CURTIN, PAUL	MFS MUNICIPAL INCOME FUND-A	0.00	3.36	0.00	3.36	70.0	2.35
	ACCOUNT #: 08191264373	BUY 0 Units @0.0000						
01/22/2018	***-**-184I - SPORRER, SHIRLEY	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	3.46	0.00	3.46	70.0	2.42
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	1.01	0.00	1.01	70.0	0.71
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	NEW PERSPECTIVE FUND-A	0.00	2.42	0.00	2.42	70.0	1.69
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	NEW PERSPECTIVE FUND-A	0.00	4.45	0.00	4.45	70.0	3.12
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/22/2018	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.83	0.00	6.83	70.0	4.78
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
01/02/2018	***-**-531I - KRUCKENBERG,	PUTNAM EQUITY INCOME FUND-A	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00490592058	BUY 0 Units @0.0000						
01/02/2018	***-**-383I - PLAN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	12.94	0.00	12.94	70.0	9.06
	ACCOUNT #: 00550577593	BUY 0 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	THE GROWTH FUND OF AMERICA-A	0.00	2.49	0.00	2.49	70.0	1.74
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 22, 2018

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BROKER #: 698  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-0376 - ALLEN, MISTY	THE GROWTH FUND OF AMERICA-A	0.00	4.59	0.00	4.59	70.0	3.21
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/22/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	5.05	0.00	5.05	70.0	3.54
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	1.08	0.00	1.08	70.0	0.76
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.73	0.00	7.73	70.0	5.41
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.86	0.00	1.86	70.0	1.30
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-7347 - WYCKOFF, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.42	0.00	3.42	70.0	2.39
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/22/2018	***-**-184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.12	0.00	3.12	70.0	2.18
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
01/22/2018	***-**-0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.49	0.00	2.49	70.0	1.74
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
01/22/2018	***-**-00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.80	0.00	1.80	70.0	1.26
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
01/22/2018	***-**-0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.16	0.00	2.16	70.0	1.51
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
01/22/2018	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.98	0.00	3.98	70.0	2.79
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
01/22/2018	***-**-7347 - WYCKOFF, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.26	0.00	0.26	70.0	0.18
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$544.75</b>	<b>\$0.00</b>	<b>\$544.75</b>		<b>\$381.35</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$3,262.92</b>	<b>\$646.23</b>	<b>\$0.00</b>	<b>\$646.23</b>		<b>\$452.45</b>





**BROKER #:** 698  
**BRANCH #**01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions \$452.45									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$0.00									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$452.45									
Year-to-date Earnings \$2,141.29									