

COMMISSION STATEMENT

August 31, 2017

EZRA GRAYMAN
7 EDGEWOOD AVEBROKER #: 27
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/04/2017	QGV005713	MORGAN STANLEY	FEDERAL NATL MTG ASSN PFD SER T DIV S	-234,500.00	350.00	10.00	340.00	50.0	170.00
08/10/2017	QGV006190	MCGREGOR CAPITAL	LLC ACLARIS THERAPEUTICS INC COM S	-25,067.70	20.00	10.00	10.00	50.0	5.00
07/27/2017	QGV006216	MCGREGOR CAPITAL	LLC INDUSTREA ACQUISITION CORP UNIT 1 C S	-582,051.78	100.00	10.00	90.00	50.0	45.00
07/27/2017	QGV006216	MCGREGOR CAPITAL	LLC REATA PHARMACEUTICALS INC CL A S	-68,000.00	20.00	10.00	10.00	50.0	5.00
07/27/2017	QGV006216	MCGREGOR CAPITAL	LLC SIENNA BIOPHARMACEUTICALS INC COM S	-4,762.50	20.00	10.00	10.00	50.0	5.00
07/27/2017	QGV006216	MCGREGOR CAPITAL	LLC TRIUMPH BANCORP INC COM S	-83,716.50	30.00	10.00	20.00	50.0	10.00
07/31/2017	QGV006216	MCGREGOR CAPITAL	LLC TETRAPHASE PHARMACEUTICALS INC COM S	-6,530.00	20.00	10.00	10.00	50.0	5.00
07/31/2017	QGV006216	MCGREGOR CAPITAL	LLC VALLEY NATL BANCORP NON CUM PER PFD S	-260,000.00	0.00	10.00	-10.00	50.0	-10.00
08/01/2017	QGV006216	MCGREGOR CAPITAL	LLC BANK PRINCETON NEW JERSEY COM S	-33,220.00	20.00	10.00	10.00	50.0	5.00
08/01/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	-237,987.75	175.00	10.00	165.00	50.0	82.50
08/01/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	237,987.75	-175.00	-10.00	-165.00	50.0	-82.50 C
08/01/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	-237,987.75	175.00	10.00	165.00	50.0	82.50
08/01/2017	QGV006216	MCGREGOR CAPITAL	LLC REATA PHARMACEUTICALS INC CL A B	45,000.00	20.00	10.00	10.00	50.0	5.00
08/02/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	173,585.00	-125.00	-10.00	-115.00	50.0	-57.50 C
08/02/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	-173,585.00	125.00	10.00	115.00	50.0	57.50
08/02/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM B	143,477.37	107.00	10.00	97.00	50.0	48.50
08/02/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	-173,585.00	125.00	10.00	115.00	50.0	57.50
08/02/2017	QGV006216	MCGREGOR CAPITAL	LLC CLEMENTIA PHARMACEUTICALS INC REGIS B	47,450.00	26.00	10.00	16.00	50.0	8.00
08/02/2017	QGV006216	MCGREGOR CAPITAL	LLC CLEMENTIA PHARMACEUTICALS INC REGIS S	-91,299.50	50.00	10.00	40.00	50.0	20.00
08/03/2017	QGV006216	MCGREGOR CAPITAL	LLC AGILE THERAPEUTICS INC COM S	-170,360.00	250.00	10.00	240.00	50.0	120.00
08/03/2017	QGV006216	MCGREGOR CAPITAL	LLC AGILE THERAPEUTICS INC COM B	34,750.00	100.00	10.00	90.00	50.0	45.00
08/03/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM S	-72,125.00	50.00	10.00	40.00	50.0	20.00
08/03/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM B	228,748.80	148.00	10.00	138.00	50.0	69.00
08/03/2017	QGV006216	MCGREGOR CAPITAL	LLC ICHOR HOLDINGS LTD REGISTERED SHS I S	-633,834.30	250.00	10.00	240.00	50.0	120.00
08/03/2017	QGV006216	MCGREGOR CAPITAL	LLC VENATOR MATLS PLC SHS ISIN# GB00BF3 S	-22,994.95	20.00	10.00	10.00	50.0	5.00
08/04/2017	QGV006216	MCGREGOR CAPITAL	LLC ARCHROCK PARTNERS L P COMMON UNITS S	-16,380.00	20.00	10.00	10.00	50.0	5.00
08/04/2017	QGV006216	MCGREGOR CAPITAL	LLC INTELICHECK MOBILISA INC COM NEW S	-50,840.00	100.00	10.00	90.00	50.0	45.00
08/04/2017	QGV006216	MCGREGOR CAPITAL	LLC SPARK THERAPEUTICS INC COM S	-463,539.00	60.00	10.00	50.00	50.0	25.00
08/08/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM B	60,995.20	40.00	10.00	30.00	50.0	15.00
08/09/2017	QGV006216	MCGREGOR CAPITAL	LLC CHEGG INC COM B	82,581.40	55.00	10.00	45.00	50.0	22.50
08/09/2017	QGV006216	MCGREGOR CAPITAL	LLC EVOLENT HEALTH INC CL A S	-19,450.00	20.00	10.00	10.00	50.0	5.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/09/2017	QGV006216	MCGREGOR CAPITAL LLC EVOLENT HEALTH INC CL A	S	19,450.00	-20.00	-10.00	-10.00	50.0	-5.00 C
08/09/2017	QGV006216	MCGREGOR CAPITAL LLC EVOLENT HEALTH INC CL A	S	-29,175.00	20.00	10.00	10.00	50.0	5.00
08/09/2017	QGV006216	MCGREGOR CAPITAL LLC MCCORMICK & CO INC NON-VOTING	S	-95,000.00	20.00	10.00	10.00	50.0	5.00
08/09/2017	QGV006216	MCGREGOR CAPITAL LLC MYOKARDIA INC COM	S	-232,016.25	62.50	10.00	52.50	50.0	26.25
08/09/2017	QGV006216	MCGREGOR CAPITAL LLC THERMO FISHER SCIENTIFIC INC	S	-622,523.16	36.00	10.00	26.00	50.0	13.00
08/10/2017	QGV006216	MCGREGOR CAPITAL LLC ACLARIS THERAPEUTICS INC COM	S	-137,872.35	55.00	10.00	45.00	50.0	22.50
08/10/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	B	310,720.00	100.00	10.00	90.00	50.0	45.00
08/10/2017	QGV006216	MCGREGOR CAPITAL LLC DYNAX TECHNOLOGIES CORP	S	-172,760.64	109.00	10.00	99.00	50.0	49.50
08/10/2017	QGV006216	MCGREGOR CAPITAL LLC ESPERION THERAPEUTICS INC NEW COM	S	-48,781.50	20.00	10.00	10.00	50.0	5.00
08/10/2017	QGV006216	MCGREGOR CAPITAL LLC RESOURCE CAPITAL CORP	B	53,000.00	50.00	10.00	40.00	50.0	20.00
08/10/2017	QGV006216	MCGREGOR CAPITAL LLC RESOURCE CAPITAL CORP	S	-54,550.00	50.00	10.00	40.00	50.0	20.00
08/11/2017	QGV006216	MCGREGOR CAPITAL LLC ACLARIS THERAPEUTICS INC COM	B	132,844.25	55.00	10.00	45.00	50.0	22.50
08/11/2017	QGV006216	MCGREGOR CAPITAL LLC NORWEGIAN CRUISE LINE HLDGS LTD SHS	S	-65,709.00	20.00	10.00	10.00	50.0	5.00
08/11/2017	QGV006216	MCGREGOR CAPITAL LLC RANGER ENERGY SVCS INC COM CL A	S	-77,000.00	55.00	10.00	45.00	50.0	22.50
08/11/2017	QGV006216	MCGREGOR CAPITAL LLC YOGAWORKS INC COM	S	-90,142.00	200.00	10.00	190.00	50.0	95.00
08/11/2017	QGV006216	MCGREGOR CAPITAL LLC YOGAWORKS INC COM	S	-826,575.00	500.00	10.00	490.00	50.0	245.00
08/14/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-318,750.00	100.00	10.00	90.00	50.0	45.00
08/14/2017	QGV006216	MCGREGOR CAPITAL LLC KURA ONCOLOGY INC COM	B	21,349.47	32.90	10.00	22.90	50.0	11.45
08/14/2017	QGV006216	MCGREGOR CAPITAL LLC YOGAWORKS INC COM	S	-4,505.00	20.00	10.00	10.00	50.0	5.00
08/15/2017	QGV006216	MCGREGOR CAPITAL LLC AMERICAN HOMES 4 RENT CL A	S	-24,629.00	20.00	10.00	10.00	50.0	5.00
08/15/2017	QGV006216	MCGREGOR CAPITAL LLC ENERGY TRANSFER PARTNERS L P	B	659,200.50	150.00	10.00	140.00	50.0	70.00
08/15/2017	QGV006216	MCGREGOR CAPITAL LLC GENPACT LIMITED	S	-60,585.00	21.00	10.00	11.00	50.0	5.50
08/15/2017	QGV006216	MCGREGOR CAPITAL LLC YY INC ADS REPSTG COM CL A ISIN#US9 S	S	-454,000.20	120.00	10.00	110.00	50.0	55.00
08/15/2017	QGV006216	MCGREGOR CAPITAL LLC YY INC ADS REPSTG COM CL A ISIN#US9 B	S	443,368.80	120.00	10.00	110.00	50.0	55.00
08/16/2017	QGV006216	MCGREGOR CAPITAL LLC CAPITOL INVESTMENT CORP IV USD UNIT	S	-8,080.00	20.00	10.00	10.00	50.0	5.00
08/16/2017	QGV006216	MCGREGOR CAPITAL LLC FIBROGEN INC COM	B	37,606.40	20.00	10.00	10.00	50.0	5.00
08/16/2017	QGV006216	MCGREGOR CAPITAL LLC FIBROGEN INC COM	S	-134,726.28	32.04	10.00	22.04	50.0	11.02
08/16/2017	QGV006216	MCGREGOR CAPITAL LLC KURA ONCOLOGY INC COM	S	-97,500.00	100.00	10.00	90.00	50.0	45.00
08/16/2017	QGV006216	MCGREGOR CAPITAL LLC YY INC ADS REPSTG COM CL A ISIN#US9 B	S	143,300.00	20.00	10.00	10.00	50.0	5.00
08/17/2017	QGV006216	MCGREGOR CAPITAL LLC ACADIA HEALTHCARE CO INC COM	S	-39,208.00	20.00	10.00	10.00	50.0	5.00
08/17/2017	QGV006216	MCGREGOR CAPITAL LLC LAKELAND INDS INC COM	S	-20,400.00	20.00	10.00	10.00	50.0	5.00
08/17/2017	QGV006216	MCGREGOR CAPITAL LLC NETLIST INC COM	S	-194,090.00	250.00	10.00	240.00	50.0	120.00
08/17/2017	QGV006216	MCGREGOR CAPITAL LLC YY INC ADS REPSTG COM CL A ISIN#US9 B	S	143,000.00	20.00	10.00	10.00	50.0	5.00
08/17/2017	QGV006216	MCGREGOR CAPITAL LLC YY INC ADS REPSTG COM CL A ISIN#US9 S	S	-146,000.00	20.00	10.00	10.00	50.0	5.00
08/18/2017	QGV006216	MCGREGOR CAPITAL LLC ADVANCED MICRO DEVICES INC	S	-248,804.00	100.00	10.00	90.00	50.0	45.00
08/18/2017	QGV006216	MCGREGOR CAPITAL LLC ATLANTIC CAP BANCSHARES INC COM	B	85,875.00	50.00	10.00	40.00	50.0	20.00
08/18/2017	QGV006216	MCGREGOR CAPITAL LLC ATLANTIC CAP BANCSHARES INC COM	S	-171,500.00	100.00	10.00	90.00	50.0	45.00
08/18/2017	QGV006216	MCGREGOR CAPITAL LLC PEABODY ENERGY CORPORATION COMMON	S	-136,510.00	50.00	10.00	40.00	50.0	20.00
08/18/2017	QGV006216	MCGREGOR CAPITAL LLC PEABODY ENERGY CORPORATION COMMON	S	135,972.00	50.00	10.00	40.00	50.0	20.00
08/18/2017	QGV006216	MCGREGOR CAPITAL LLC YY INC ADS REPSTG COM CL A ISIN#US9 S	S	-146,000.00	20.00	10.00	10.00	50.0	5.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/21/2017	QGV006216	MCGREGOR CAPITAL LLC LONG IS ICED TEA CORP COM	S	-192,085.00	500.00	10.00	490.00	50.0	245.00
08/21/2017	QGV006216	MCGREGOR CAPITAL LLC NETLIST INC COM	S	-8,241.66	100.00	10.00	90.00	50.0	45.00
08/22/2017	QGV006216	MCGREGOR CAPITAL LLC NETLIST INC COM	S	-53,758.34	100.00	10.00	90.00	50.0	45.00
08/23/2017	QGV006216	MCGREGOR CAPITAL LLC CLEAN DIESEL TECHNOLOGIES INC COM P S	S	-5,824.00	28.00	10.00	18.00	50.0	9.00
07/27/2017	QGV006224	DAVIS FAMILY OFFICE, INDUSTREA ACQUISITION CORP UNIT 1 C S	S	-370,740.00	100.00	10.00	90.00	50.0	45.00
07/27/2017	QGV006224	DAVIS FAMILY OFFICE, REATA PHARMACEUTICALS INC CL A	B	165,000.00	50.00	10.00	40.00	50.0	20.00
07/27/2017	QGV006224	DAVIS FAMILY OFFICE, SIENNA BIOPHARMACEUTICALS INC COM	S	-9,525.00	20.00	10.00	10.00	50.0	5.00
07/27/2017	QGV006224	DAVIS FAMILY OFFICE, TRIUMPH BANCORP INC COM	S	-80,925.95	29.00	10.00	19.00	50.0	9.50
07/31/2017	QGV006224	DAVIS FAMILY OFFICE, NEWATER TECHNOLOGY INC REGISTERED S S	S	-4,275.00	20.00	10.00	10.00	50.0	5.00
07/31/2017	QGV006224	DAVIS FAMILY OFFICE, VALLEY NATL BANCORP NON CUM PER PFD S	S	-260,000.00	0.00	10.00	-10.00	50.0	-10.00
08/03/2017	QGV006224	DAVIS FAMILY OFFICE, AGILE THERAPEUTICS INC COM	S	-17,036.00	50.00	10.00	40.00	50.0	20.00
08/03/2017	QGV006224	DAVIS FAMILY OFFICE, ICHOR HOLDINGS LTD REGISTERED SHS I S	S	-128,687.57	67.00	10.00	57.00	50.0	28.50
08/04/2017	QGV006224	DAVIS FAMILY OFFICE, ARCHROCK PARTNERS L P COMMON UNITS	S	-40,950.00	30.00	10.00	20.00	50.0	10.00
08/09/2017	QGV006224	DAVIS FAMILY OFFICE, MALIBU BOATS INC COM CL A	S	-88,375.00	35.00	10.00	25.00	50.0	12.50
08/09/2017	QGV006224	DAVIS FAMILY OFFICE, MALIBU BOATS INC COM CL A	S	-126,250.00	50.00	10.00	40.00	50.0	20.00
08/09/2017	QGV006224	DAVIS FAMILY OFFICE, MCCORMICK & CO INC NON-VOTING	S	-76,000.00	20.00	10.00	10.00	50.0	5.00
08/09/2017	QGV006224	DAVIS FAMILY OFFICE, MYOKARDIA INC COM	S	-18,561.30	20.00	10.00	10.00	50.0	5.00
08/09/2017	QGV006224	DAVIS FAMILY OFFICE, THERMO FISHER SCIENTIFIC INC	S	-51,876.93	20.00	10.00	10.00	50.0	5.00
08/10/2017	QGV006224	DAVIS FAMILY OFFICE, BEIGENE LTD SPONSORED ADR ISIN#US07 S	S	-365,068.00	50.00	10.00	40.00	50.0	20.00
08/10/2017	QGV006224	DAVIS FAMILY OFFICE, DYNAX TECHNOLOGIES CORP	S	-128,381.76	81.00	10.00	71.00	50.0	35.50
08/11/2017	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	490,544.00	150.00	10.00	140.00	50.0	70.00
08/11/2017	QGV006224	DAVIS FAMILY OFFICE, BEIGENE LTD SPONSORED ADR ISIN#US07 B	B	359,921.00	50.00	10.00	40.00	50.0	20.00
08/11/2017	QGV006224	DAVIS FAMILY OFFICE, YOGAWORKS INC COM	S	-11,021.00	20.00	10.00	10.00	50.0	5.00
08/15/2017	QGV006224	DAVIS FAMILY OFFICE, OMEROS CORPORATION	S	-56,875.00	25.00	10.00	15.00	50.0	7.50
08/15/2017	QGV006224	DAVIS FAMILY OFFICE, OMEROS CORPORATION	S	-34,125.00	20.00	10.00	10.00	50.0	5.00
08/17/2017	QGV006224	DAVIS FAMILY OFFICE, I-AM CAP ACQUISITION CO UNIT 1 COM	S	-20,100.00	20.00	10.00	10.00	50.0	5.00
08/23/2017	QGV006224	DAVIS FAMILY OFFICE, REATA PHARMACEUTICALS INC CL A	B	65,371.06	23.00	10.00	13.00	50.0	6.50
07/27/2017	QGV006414	MERRILL LYNCH PROF ANNALY CAP MGMT INC PFD SER F FIXED S	S	-248,738.00	0.00	10.00	-10.00	50.0	-10.00
07/27/2017	QGV006414	MERRILL LYNCH PROF ANNALY CAP MGMT INC PFD SER F FIXED S	S	248,738.00	0.00	-10.00	10.00	50.0	10.00 C
07/27/2017	QGV006414	MERRILL LYNCH PROF ANNALY CAP MGMT INC PFD SER F FIXED S	S	-248,638.00	100.00	10.00	90.00	50.0	45.00
07/28/2017	QGV006414	MERRILL LYNCH PROF ANNALY CAP MGMT INC PFD SER F FIXED S	S	-248,500.00	0.00	10.00	-10.00	50.0	-10.00
08/04/2017	QGV006414	MERRILL LYNCH PROF FEDERAL NATL MTG ASSN PFD SER S DIV B	B	237,930.00	350.00	10.00	340.00	50.0	170.00
07/27/2017	QGW014847	ALBANESE, JOHN TWITTER INC	B	421,460.00	1,500.00	10.00	1,490.00	50.0	745.00
08/08/2017	QGW014847	ALBANESE, JOHN SEAWORLD ENTMT INC COM	S	-142,355.01	695.88	10.00	685.88	50.0	342.94
08/08/2017	QGW014847	ALBANESE, JOHN SEAWORLD ENTMT INC COM	B	57,500.00	300.00	10.00	290.00	50.0	145.00
08/08/2017	QGW014847	ALBANESE, JOHN SEAWORLD ENTMT INC COM	B	57,500.00	300.00	10.00	290.00	50.0	145.00
08/09/2017	QGW014847	ALBANESE, JOHN ENSCO PLC NEW CLASS A ORD	B	21,847.00	300.00	10.00	290.00	50.0	145.00
08/11/2017	QGW014847	ALBANESE, JOHN BARCLAYS BANK PLC IPATH S&P 500 VIX S	S	-326,477.50	1,500.00	10.00	1,490.00	50.0	745.00
08/11/2017	QGW014847	ALBANESE, JOHN SNAP INC CLASS A COMMON STOCK	B	244,560.00	1,200.00	10.00	1,190.00	50.0	595.00
08/23/2017	QGW014847	ALBANESE, JOHN TWITTER INC	S	-417,805.00	1,500.00	10.00	1,490.00	50.0	745.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/24/2017	QGW014847	ALBANESE, JOHN	SNAP INC CLASS A COMMON STOCK	S	-301,020.00	1,200.00	10.00	1,190.00	50.0	595.00
08/25/2017	QGW014847	ALBANESE, JOHN	VALEANT PHARMACEUTICALS INTERNATION B		290,886.00	1,200.00	10.00	1,190.00	50.0	595.00
08/15/2017	QGW014870	GOLD, ALAN	SYNERGY PHARMACEUTICALS INC	B	11,720.00	200.00	10.00	190.00	50.0	95.00
08/15/2017	QGW024622	GOLD, ALAN	ENSCO PLC NEW CLASS A ORD	B	4,188.50	75.00	10.00	65.00	50.0	32.50
08/15/2017	QGW024630	GOLD, SUSAN	ENSCO PLC NEW CLASS A ORD	B	4,188.50	75.00	10.00	65.00	50.0	32.50
08/01/2017	QGW031650	FIBER TECHNOLOGY	FTE NETWORKS INC COM PAR\$.001	S	-11,520.00	550.00	10.00	540.00	50.0	270.00
08/22/2017	QGW031650	FIBER TECHNOLOGY	FTE NETWORKS INC COM PAR\$.001	S	-10,000.00	475.00	10.00	465.00	50.0	232.50
* STOCKS SUBTOTAL *					\$-6,501,329.13	\$18,203.32	\$1,100.00	\$17,103.32		\$8,536.66
PRODUCT CATEGORY: BONDS										
08/16/2017	QGV006216	MCGREGOR CAPITAL LLC	APOLLO COML REAL ESTATE FIN INC FXD S		-12,821.25	50.00	10.00	40.00	50.0	20.00
* BONDS SUBTOTAL *					\$-12,821.25	\$50.00	\$10.00	\$40.00		\$20.00
PRODUCT CATEGORY: OPTIONS										
08/10/2017	QGW001356	ROTARY PARTNERS LLC	PUT 100 CHIPOTLE MEXICAN GRILL INC B		11,840.00	234.19	10.00	224.19	50.0	112.10
08/24/2017	QGW001356	ROTARY PARTNERS LLC	PUT 100 CHIPOTLE MEXICAN GRILL INC B		7,700.00	187.91	10.00	177.91	50.0	88.96
* OPTIONS SUBTOTAL *					\$19,540.00	\$422.10	\$20.00	\$402.10		\$201.06
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
08/21/2017	QGW014870	GOLD, ALAN	GOLDMAN SACHS SHORT DURATION TAX FR B		0.00	4.89	0.00	4.89	50.0	2.45
08/23/2017	QGW014870	GOLD, ALAN	NUVEEN LIMITED TERM MUNI BOND CLASS B		0.00	5.41	0.00	5.41	50.0	2.71
08/21/2017	QGW024606	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B		0.00	3.84	0.00	3.84	50.0	1.92
08/21/2017	QGW024614	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG B		0.00	2.21	0.00	2.21	50.0	1.11
08/17/2017	QGW024622	GOLD, ALAN	COLUMBIA SHORT TERM BOND FUND CLASS B		0.00	29.27	0.00	29.27	50.0	14.64
08/21/2017	QGW024622	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG B		0.00	1.65	0.00	1.65	50.0	0.83
08/17/2017	QGW024630	GOLD, SUSAN	COLUMBIA SHORT TERM BOND FUND CLASS B		0.00	14.46	0.00	14.46	50.0	7.23
08/21/2017	QGW024630	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG B		0.00	1.65	0.00	1.65	50.0	0.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$63.38	\$0.00	\$63.38		\$31.72
*** BROKER TRANSACTIONS TOTAL ***					\$-6,494,610.38	\$18,738.80	\$1,130.00	\$17,608.80		\$8,789.44

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
08/31/2017		OTHER: OTHER-PARKING		0.00	0.00	0.00	0.00		-500.00
08/31/2017		OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-636.77

BROKER COMMISSION TOTALS

Broker Transactions	\$8,789.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-636.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-498.36
FINRA Assessment	\$-23.42
SIPC Assessment	\$-46.85

Check Amount	\$7,584.04
Year-to-date Earnings	\$30,017.69