



All Companies

07/12/2018

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# COMMISSION STATEMENT

July 16, 2018

JON D. JOHNSON  
6630 Dark Canyon Road

BROKER #: 9604  
BRANCH: HOME OFFICE

Rapid City, SD 57702-

## COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/25/2018	PRINTZ, JUSTIN	-FIDELITY ADVISOR FUNDS	B	100.00	3.75	0.00	3.75	90.0	3.38
06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	1,030.27	1,030.27	0.00	1,030.27	90.0	927.24
06/30/2018	TRAILS	-PUTNAM	B	33.34	33.34	0.00	33.34	90.0	30.01
06/30/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	98.95	98.95	0.00	98.95	90.0	89.06
07/02/2018	000000001254933 HEIBERGER, JOHN R.	FRK SM CAP GRWTH FND II - CL A	B	45.00	1.69	0.00	1.69	90.0	1.52
07/02/2018	000000007332416 HEIBERGER, JOHN R.	MUTUAL DISC FND - CL A	B	34.00	1.28	0.00	1.28	90.0	1.15
07/02/2018	000000008260349 HEIBERGER, JOHN R.	TEMPLETON DEVELOPING MARKETS TRUST B	B	71.00	2.66	0.00	2.66	90.0	2.39
07/05/2018	000004978615738 PARKS, DANIEL	TEMPLETON FOREIGN FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
07/02/2018	000013912608568 HEIBERGER, JOHN	FRANKLIN SPECIAL EQUITY INCOME FD C B	B	129.00	4.84	0.00	4.84	90.0	4.36
07/02/2018	000015813926201 HEIBERGER, JOHN R.	FRANKLIN RISING DIVIDEND FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
07/05/2018	000019818033829 PARKS, DANIEL	FRANKLIN SMALL CAP GROWTH FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
06/26/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	99.00	0.99	0.00	0.99	90.0	0.89
06/26/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	149.00	1.49	0.00	1.49	90.0	1.34
06/26/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48
06/26/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	208.73	2.09	0.00	2.09	90.0	1.88
07/02/2018	000028212458892 HEIBERGER, JOHN	FRANKLIN VALUE FD CL 1	B	129.00	4.84	0.00	4.84	90.0	4.36
06/26/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	99.00	4.21	0.00	4.21	90.0	3.79
06/26/2018	00003378327 LAWRENCE, ANDRE X.	MULTIMANAGER 2060 LIFETIME PORT A	B	113.02	4.80	0.00	4.80	90.0	4.32
07/02/2018	000060177413865 HEIBERGER, JOHN	FRANKLIN GROWTH FD CL I	B	129.00	4.84	0.00	4.84	90.0	4.36
07/05/2018	000080192852311 PARKS, DANIEL	FRANKLIN DYNATECH FD CL I	B	200.00	5.60	0.00	5.60	90.0	5.04
07/02/2018	000080192865093 HEIBERGER, JOHN R.	FRANKLIN DYNATECH FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
06/20/2018	00035718011 PATTEN, PHILIP	IVY LARGE CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
06/20/2018	00035718011 PATTEN, PHILIP	IVY MID CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/20/2018	00035718011	PATTEN, PHILIP	IVY SCIENCE & TECH A	B	152.76	7.64	0.00	7.64	90.0 6.88
06/18/2018	00035820046	HERMAN, BARRY	IVY GLOBAL EQUITY INCOME A	B	1,000.00	50.00	0.00	50.00	90.0 45.00
06/25/2018	00035888441	MITCHELL, RANI/BRIAN	IVY BALANCED C	B	500.00	5.00	0.00	5.00	90.0 4.50
06/20/2018	00035993369	GESINGER, AUSTIN	IVY ASSET STRATEGY A	B	100.00	5.00	0.00	5.00	90.0 4.50
06/20/2018	00035993369	GESINGER, AUSTIN	IVY SCIENCE & TECH A	B	100.00	5.00	0.00	5.00	90.0 4.50
06/28/2018	00036080289	DUNN, ANGELA	IVY CORE EQUITY A	B	50.00	2.50	0.00	2.50	90.0 2.25
06/29/2018	00073331273	LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B	B	82.50	0.83	0.00	0.83	90.0 0.75
06/29/2018	00073331273	LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B	B	82.50	0.83	0.00	0.83	90.0 0.75
06/29/2018	00073331273	LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0 0.77
06/29/2018	00073331273	LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0 0.77
06/29/2018	00073331273	LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0 0.75
06/29/2018	00073331273	LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0 0.75
07/02/2018	00073968645	BECKER, RYAN	AMERICAN FUNDS BALANCED FD	B	100.00	3.75	0.00	3.75	90.0 3.38
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS AMCAP FD	B	75.00	1.50	0.00	1.50	90.0 1.35
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	60.00	1.20	0.00	1.20	90.0 1.08
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	336.00	6.72	0.00	6.72	90.0 6.05
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES B	B	45.00	0.90	0.00	0.90	90.0 0.81
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES B	B	288.00	5.76	0.00	5.76	90.0 5.18
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	144.00	2.88	0.00	2.88	90.0 2.59
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B	B	30.00	0.60	0.00	0.60	90.0 0.54
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B	B	144.00	2.88	0.00	2.88	90.0 2.59
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	45.00	0.90	0.00	0.90	90.0 0.81
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	144.00	2.88	0.00	2.88	90.0 2.59
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.00	0.90	0.00	0.90	90.0 0.81
06/26/2018	00074802215	DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	144.00	2.88	0.00	2.88	90.0 2.59
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	45.15	0.90	0.00	0.90	90.0 0.81
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	45.15	0.90	0.00	0.90	90.0 0.81
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	25.08	0.50	0.00	0.50	90.0 0.45
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	25.08	0.50	0.00	0.50	90.0 0.45
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER B	B	15.05	0.30	0.00	0.30	90.0 0.27
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER B	B	15.05	0.30	0.00	0.30	90.0 0.27
06/26/2018	00074802242	REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	15.05	0.30	0.00	0.30	90.0 0.27

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/26/2018	00074802242 REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	15.05	0.30	0.00	0.30	90.0	0.27
07/05/2018	00075013619 BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
07/05/2018	00075013619 BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.25	2.10	0.00	2.10	90.0	1.89
07/05/2018	00075013619 BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
07/05/2018	00081536505 BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL INVES B	B	76.25	2.10	0.00	2.10	90.0	1.89
07/05/2018	00081536505 BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	76.25	2.10	0.00	2.10	90.0	1.89
07/05/2018	00081536505 BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89
07/03/2018	00081782572 LEWIS, BRENDA R.	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	150.00	7.50	0.00	7.50	90.0	6.75
06/29/2018	00081964721 JOHNSON, DARIN	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	30.00	1.50	0.00	1.50	90.0	1.35
06/29/2018	00081964721 JOHNSON, DARIN	AMERICAN FUNDS WA MUTUAL INVES B	B	20.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	00083183068 BECKER, GLYNIS	AMERICAN FUNDS BALANCED FD	B	100.00	2.75	0.00	2.75	90.0	2.48
07/02/2018	00083271700 STEVENSON, SIERRA	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	200.00	8.50	0.00	8.50	90.0	7.65
06/28/2018	00084405798 JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	85.50	3.21	0.00	3.21	90.0	2.89
06/28/2018	00084405798 JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	85.50	3.21	0.00	3.21	90.0	2.89
06/28/2018	00084405798 JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	128.25	4.81	0.00	4.81	90.0	4.33
06/28/2018	00084405798 JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	128.25	4.81	0.00	4.81	90.0	4.33
07/05/2018	00084800513 GESINGER, DEEDRA	AMERICAN FUNDS AMCAP FD	B	136.00	2.72	0.00	2.72	90.0	2.45
07/05/2018	00084800513 GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	135.00	2.70	0.00	2.70	90.0	2.43
07/05/2018	00084800513 GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	135.00	2.70	0.00	2.70	90.0	2.43
07/05/2018	00084800513 GESINGER, DEEDRA	AMERICAN FUNDS EURO PACIFIC GROWT B	B	67.50	1.35	0.00	1.35	90.0	1.22
07/05/2018	00084800513 GESINGER, DEEDRA	NEW WORLD FUND-A	B	67.50	1.35	0.00	1.35	90.0	1.22
06/26/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS AMCAP FD	B	26.40	0.53	0.00	0.53	90.0	0.48
06/26/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS AMCAP FD	B	400.00	8.00	0.00	8.00	90.0	7.20
06/26/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD	B	13.20	0.26	0.00	0.26	90.0	0.23
06/26/2018	00084818800 DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD	B	200.00	4.00	0.00	4.00	90.0	3.60
06/26/2018	00084818800 DIAMOND, KARI	NEW WORLD FUND-A	B	26.40	0.53	0.00	0.53	90.0	0.48
06/26/2018	00084818800 DIAMOND, KARI	NEW WORLD FUND-A	B	400.00	8.00	0.00	8.00	90.0	7.20
07/02/2018	00085191838 WILEN, BRETT	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/02/2018	00085192010 WILEN, DEBBIE L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
07/05/2018	00085231984 HOLLAND, GREGG	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
07/05/2018	00085232020 HOLLAND, RANA	AMERICAN FUNDS BALANCED FD	B	67.00	2.51	0.00	2.51	90.0	2.26
07/05/2018	00085232020 HOLLAND, RANA	AMERICAN FUNDS EURO PACIFIC GROWT B	B	66.00	2.48	0.00	2.48	90.0	2.23
07/05/2018	00085232020 HOLLAND, RANA	AMERICAN FUNDS INCOME FD OF AMERI B	B	67.00	2.51	0.00	2.51	90.0	2.26
06/28/2018	00085443655 ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
06/28/2018	00085443655 ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0	2.13
06/26/2018	00085493543 BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/26/2018	00085493586 BARBER, PEGGY	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/25/2018	00085574413 MAKOWESKI, MARCIA	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	90.0	1.80
06/25/2018	00085574413 MAKOWESKI, MARCIA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	100.00	2.00	0.00	2.00	90.0	1.80

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS INTERM BD FD OF AMER B	241.00	4.82	0.00	4.82	90.0	4.34
06/25/2018	00085574413	MAKOWESKI, MARCIA	GLOBAL BALANCED FUND-A	B	100.00	2.00	0.00	2.00	1.80
07/02/2018	00085889464	TRIBBY, TYLER M.	THE GROWTH FUND OF AMERICA-C	B	2,000.00	20.00	0.00	20.00	18.00
07/02/2018	00085928713	LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	2.00	0.00	2.00	1.80
07/02/2018	00085928713	LECY/AUTH PER, DOUGLAS	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	1.80
07/05/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD B	76.39	2.86	0.00	2.86	90.0	2.57
07/05/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT B	76.39	2.86	0.00	2.86	90.0	2.57
07/05/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI B	76.39	2.86	0.00	2.86	90.0	2.57
06/26/2018	00086593760	DARROW, LINETTE	AMCAP FUND-C	B	99.96	1.00	0.00	1.00	0.90
06/26/2018	00086593760	DARROW, LINETTE	AMCAP FUND-C	B	99.96	1.00	0.00	1.00	0.90
07/02/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
07/02/2018	00086832083	MARCOV, ERIK	AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.38	0.00	1.38	90.0	1.24
06/28/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	2.14
06/28/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	2.14
07/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	2.11
07/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD GROWT B	55.00	2.34	0.00	2.34	90.0	2.11
07/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI B	55.00	2.34	0.00	2.34	90.0	2.11
07/03/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL INVES B	55.00	2.34	0.00	2.34	90.0	2.11
06/25/2018	00087313331	HINMAN, KATHERINE	GLOBAL BALANCED FUND-A	B	75.00	2.81	0.00	2.81	2.53
06/25/2018	00087313382	HINMAN, MICHAEL	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	0.68
07/02/2018	00087351491	BEITELSPACHER/AUTH PER, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	1.69
07/02/2018	00087351561	BEITELSPACHER/AUTH PER, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	1.69
06/28/2018	00087391906	WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	0.45
06/28/2018	00087391906	WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	0.45
06/25/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL INVES B	53.00	1.99	0.00	1.99	90.0	1.79
06/25/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B	53.00	1.99	0.00	1.99	90.0	1.79
06/25/2018	00087396195	DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	1.79
06/25/2018	00087396195	DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	2.37
06/25/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	1.69
06/25/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT B	65.00	2.44	0.00	2.44	90.0	2.20
06/25/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	2.16
06/25/2018	00087396210	DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL INVES B	50.00	1.88	0.00	1.88	90.0	1.69
07/02/2018	00087429551	BEITELSPACHER, AMANDA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.75	0.00	3.75	3.38
07/02/2018	00087537035	JUENEMAN, CORY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	4.50
06/26/2018	00087753902	BARBER, PEGGY	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	1.69

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/02/2018	00087785552 LECY/AUTH PER, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	00087785552 LECY/AUTH PER, DOUG	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	2.00	0.00	2.00	90.0	1.80
06/25/2018	00087863239 RABELL, HANSEL	AMERICAN FUNDS AMCAP FD	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863239 RABELL, HANSEL	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863239 RABELL, HANSEL	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863239 RABELL, HANSEL	NEW WORLD FUND-A	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863278 RABELL/AUTH PER, JUANITA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	83.33	3.12	0.00	3.12	90.0	2.81
06/25/2018	00087863278 RABELL/AUTH PER, JUANITA	AMERICAN FUNDS WA MUTUAL	INVES B	83.33	3.12	0.00	3.12	90.0	2.81
06/25/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	4.30	0.00	4.30	90.0	3.87
06/25/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS INCOME FD OF AMERI	B	114.58	4.30	0.00	4.30	90.0	3.87
07/03/2018	00087898062 LAMB, LEANN/COLE	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/03/2018	00087898079 LAMB, LEANN/LUKE	THE INCOME FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.00	0.00	1.00	90.0	0.90
06/28/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
06/28/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
06/28/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	29.90	0.30	0.00	0.30	90.0	0.27
06/28/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	39.87	0.40	0.00	0.40	90.0	0.36
06/28/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	29.90	0.30	0.00	0.30	90.0	0.27
06/28/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	39.86	0.40	0.00	0.40	90.0	0.36
06/28/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
06/28/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
06/28/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF AMERI	B	62.50	1.25	0.00	1.25	90.0	1.13
06/28/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
07/02/2018	00088631278 FLOTTMEYER, SUSAN J.	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	90.0	4.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/02/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0 1.92
07/02/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0 1.92
07/02/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	50.00	2.13	0.00	2.13	90.0 1.92
07/02/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.13	0.00	2.13	90.0 1.92
07/03/2018	00088677711	CAPPS, JANE	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0 1.24
07/03/2018	00088677720	CAPPS, DAVID D.	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.38	0.00	1.38	90.0 1.24
07/03/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	300.00	11.25	0.00	11.25	90.0 10.13
07/03/2018	00088896833	KAUK, LARRY	AMERICAN FUNDS BALANCED FD	B	90.00	3.38	0.00	3.38	90.0 3.04
07/03/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	3.75	0.00	3.75	90.0 3.38
07/03/2018	00088896852	KAUK, RUTH ANN	AMERICAN FUNDS WA MUTUAL	INVES B	30.00	1.13	0.00	1.13	90.0 1.02
06/29/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0 2.20
06/29/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	57.30	2.44	0.00	2.44	90.0 2.20
06/29/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0 2.20
06/29/2018	00088908568	ANDERSON, ANGELA M.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0 2.20
07/05/2018	00089007318	WUDEL, JOSHUA J.	AMCAP FUND-C	B	100.00	1.00	0.00	1.00	90.0 0.90
07/05/2018	00089007351	WUDEL, KATELYN J.	WASHINGTON MUTUAL INVESTORS FUND-C	B	100.00	1.00	0.00	1.00	90.0 0.90
06/25/2018	00089145111	BENGS, RONALD A.	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	100.00	3.75	0.00	3.75	90.0 3.38
06/25/2018	00089145111	BENGS, RONALD A.	INTERNATIONAL GROWTH AND INCOME A	B	100.00	3.75	0.00	3.75	90.0 3.38
06/25/2018	00089179098	OLSON, TREVIS A.	AMERICAN BALANCED FUND-529A	B	250.00	12.50	0.00	12.50	90.0 11.25
06/25/2018	00089179098	OLSON, TREVIS A.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	90.0 11.25
07/02/2018	00089187466	BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.50	0.00	2.50	90.0 2.25
07/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0 1.96
07/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0 1.93
07/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0 1.93
07/02/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0 1.93
07/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.50	4.87	0.00	4.87	90.0 4.38
07/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	114.50	4.87	0.00	4.87	90.0 4.38
07/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.50	4.87	0.00	4.87	90.0 4.38
07/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	4.87	0.00	4.87	90.0 4.38
07/05/2018	00089408276	HAAN, LUCAS J.	AMERICAN FUNDS WA MUTUAL	INVES B	166.00	7.06	0.00	7.06	90.0 6.35
06/28/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	53.10	1.99	0.00	1.99	90.0 1.79
06/28/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS BALANCED FD	B	53.10	1.99	0.00	1.99	90.0 1.79
06/28/2018	00089449545	ELLINGSON, KRISTEN K.	AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0 0.68
06/28/2018	00089449562	THOURON, JEFFREY J.	AMERICAN FUNDS AMCAP FD	B	104.25	5.21	0.00	5.21	90.0 4.69

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/28/2018	00089449562 THOURON, JEFFREY J.	AMERICAN FUNDS CAPITAL INCOME BUILD B		104.25	5.21	0.00	5.21	90.0	4.69
06/28/2018	00089449562 THOURON, JEFFREY J.	AMERICAN FUNDS CAPITAL WORLD GROWT B		104.25	5.21	0.00	5.21	90.0	4.69
06/28/2018	00089449562 THOURON, JEFFREY J.	AMERICAN FUNDS NEW PERSPECTIVE FD B		104.25	5.21	0.00	5.21	90.0	4.69
06/26/2018	00089560352 BLAIN, SABRINA K.	AMERICAN FUNDS FUNDAMENTAL INVES B		75.00	3.75	0.00	3.75	90.0	3.38
06/26/2018	00089560352 BLAIN, SABRINA K.	AMERICAN FUNDS GROWTH FUND OF AMERI B		75.00	3.75	0.00	3.75	90.0	3.38
06/26/2018	00089560473 BLAIN, AUSTIN W.	AMERICAN FUNDS AMCAP FD B		75.00	3.75	0.00	3.75	90.0	3.38
06/26/2018	00089560473 BLAIN, AUSTIN W.	AMERICAN FUNDS AMERICAN MUTUAL FD B		75.00	3.75	0.00	3.75	90.0	3.38
07/05/2018	00089840115 ATKINS, KEVIN	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.88	0.00	1.88	90.0	1.69
07/05/2018	00089840115 ATKINS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.88	0.00	1.88	90.0	1.69
07/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS AMCAP FD B		114.50	4.87	0.00	4.87	90.0	4.38
07/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS AMERICAN MUTUAL FD B		114.50	4.87	0.00	4.87	90.0	4.38
07/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD B		114.50	4.87	0.00	4.87	90.0	4.38
07/05/2018	00089896690 HAAN, MARY	AMERICAN FUNDS EURO PACIFIC GROWT B		114.50	4.87	0.00	4.87	90.0	4.38
06/28/2018	00927850848 SCHAUER, AMIE	AMERICAN FUNDS AMCAP FD B		25.00	0.69	0.00	0.69	90.0	0.62
06/28/2018	00927850850 MG TRUST, MG	AMERICAN FUNDS AMCAP FD B		35.00	1.75	0.00	1.75	90.0	1.58
06/28/2018	00927850853 MG TRUST, MG	AMERICAN FUNDS AMCAP FD B		28.75	0.79	0.00	0.79	90.0	0.71
06/28/2018	00930305469 SCHAUER, AMIE	AMERICAN FUNDS AMERICAN MUTUAL FD B		25.00	1.25	0.00	1.25	90.0	1.13
06/28/2018	00938506074 SCHAUER, AMIE	AMERICAN FUNDS INCOME FD OF AMERI B		25.00	1.25	0.00	1.25	90.0	1.13
06/28/2018	00940999894 SCHAUER, AMIE	AMERICAN FUNDS NEW PERSPECTIVE FD B		25.00	0.69	0.00	0.69	90.0	0.62
06/28/2018	00950644891 MG TRUST, MG	AMERICAN FUNDS BALANCED FD B		28.75	0.79	0.00	0.79	90.0	0.71
06/28/2018	00955344971 MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD B		35.00	1.75	0.00	1.75	90.0	1.58
06/28/2018	00955361781 MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD B		28.75	0.79	0.00	0.79	90.0	0.71
06/28/2018	00978061831 MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT B		30.00	1.50	0.00	1.50	90.0	1.35
06/28/2018	00978061834 MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD GROWT B		28.75	0.79	0.00	0.79	90.0	0.71
06/18/2018	0100094370 ROLAND, DAVID R.	OPPENHEIMER MAIN ST SMALL CAP FUND B		271.00	5.42	0.00	5.42	90.0	4.88
07/02/2018	01001178157 DOBBS, ROBERT	AIM 81 GLOBAL AGGRESSIVE GROWTH FD B		112.50	3.38	0.00	3.38	90.0	3.04
07/02/2018	01001178157 DOBBS, ROBERT	AIM ENERGY FUND - CLASS A B		112.50	3.38	0.00	3.38	90.0	3.04
07/02/2018	01001178157 DOBBS, ROBERT	IVK AMERICAN FRANCHISE FUND - CLASS B		112.50	3.38	0.00	3.38	90.0	3.04
06/25/2018	01001213096 GRIENCEWIC, LANA	IVK AMERICAN FRANCHISE FUND - CLASS B		458.00	13.74	0.00	13.74	90.0	12.37
07/02/2018	01001328204 DOBBS, ROBERT T.	AIM UTILITIES FUND - CLASS A B		112.50	3.38	0.00	3.38	90.0	3.04
06/28/2018	01001349524 WOOD, REMINGTON W.	AIM DIVERSIFIED DIVIDEND FUND - CL B		50.00	2.50	0.00	2.50	90.0	2.25
06/28/2018	01001349524 WOOD, REMINGTON W.	AIM EUROPEAN DEVELOPMENT FD CL A B		50.00	2.50	0.00	2.50	90.0	2.25
06/28/2018	01001349524 WOOD, REMINGTON W.	INVESCO ENDEAVOR FUND - CLASS A B		50.00	2.50	0.00	2.50	90.0	2.25
06/28/2018	01001349524 WOOD, REMINGTON W.	IVK SMALL CAP GROWTH FUND - CLASS A B		50.00	2.50	0.00	2.50	90.0	2.25
06/28/2018	01001373221 HARRIS, ALAN/KATHLEEN	AIM ASIAN GROWTH FD CL C B		75.00	0.75	0.00	0.75	90.0	0.68
06/28/2018	01001373221 HARRIS,	INVESCO DIVERSIFIED DIVIDEND FD -CL B		75.00	0.75	0.00	0.75	90.0	0.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	ALAN/KATHLEEN								
06/28/2018	01001373221 HARRIS,	INVESCO MULTI-ASSET INFLATION FUND- B		75.00	0.75	0.00	0.75	90.0	0.68
	ALAN/KATHLEEN								
06/28/2018	01001373221 HARRIS,	IVK MID CAP GROWTH FUND - CLASS C B		75.00	0.75	0.00	0.75	90.0	0.68
	ALAN/KATHLEEN								
07/02/2018	01001380933 VAN ASMA, STEVEN K.	AIM ASIAN GROWTH FD CL C B		114.50	1.15	0.00	1.15	90.0	1.04
07/02/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO DIVERSIFIED DIVIDEND FD -CL B		114.50	1.15	0.00	1.15	90.0	1.04
07/02/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO SMALL CAP DISCOVERY FUND-CL B		114.50	1.15	0.00	1.15	90.0	1.04
07/02/2018	01001380933 VAN ASMA, STEVEN K.	IVK AMERICAN VALUE FUND - CLASS C B		114.50	1.15	0.00	1.15	90.0	1.04
06/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV STRATEGIC DIV & INCOME B		100.00	3.75	0.00	3.75	90.0	3.38
06/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS EQUITY GRWTH FD C B		100.00	3.75	0.00	3.75	90.0	3.38
06/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS TECHNOLOGY FD CL B		100.00	3.75	0.00	3.75	90.0	3.38
07/02/2018	04000092124 CAMMACK, RYAN L.	THE GROWTH FUND OF AMERICA-529A B		400.00	20.00	0.00	20.00	90.0	18.00
07/02/2018	04000092197 CAMMACK, RYAN L.	AMCAP FUND-529A B		250.00	12.50	0.00	12.50	90.0	11.25
07/05/2018	04000120125 SCHAEFFER, STACEY J.	THE GROWTH FUND OF AMERICA-529C B		167.00	1.67	0.00	1.67	90.0	1.50
07/02/2018	04000135598 JESSEN, ALEX	AMCAP FUND-C B		50.00	0.50	0.00	0.50	90.0	0.45
07/02/2018	04000135598 JESSEN, ALEX	FUNDAMENTAL INVESTORS-C B		50.00	0.50	0.00	0.50	90.0	0.45
07/03/2018	04000162783 KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD B		132.00	4.95	0.00	4.95	90.0	4.46
07/03/2018	04000162783 KAUK, TIMOTHY	AMERICAN FUNDS AMERICAN MUTUAL FD B		132.00	4.95	0.00	4.95	90.0	4.46
07/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS AMCAP FD B		125.00	3.44	0.00	3.44	90.0	3.10
07/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS EURO PACIFIC GROWT B		125.00	3.44	0.00	3.44	90.0	3.10
07/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS NEW PERSPECTIVE FD B		125.00	3.44	0.00	3.44	90.0	3.10
07/02/2018	04000182059 MARCOV, ERIK	AMERICAN FUNDS WA MUTUAL INVES B		125.00	3.44	0.00	3.44	90.0	3.10
06/25/2018	04000211973 SIMBURGER, SHANDA J.	FUNDAMENTAL INVESTORS-C B		114.58	1.15	0.00	1.15	90.0	1.04
06/25/2018	04000211973 SIMBURGER, SHANDA J.	NEW PERSPECTIVE FUND-C B		114.58	1.15	0.00	1.15	90.0	1.04
06/25/2018	04000211973 SIMBURGER, SHANDA J.	NEW WORLD FUND-C B		114.58	1.15	0.00	1.15	90.0	1.04
06/25/2018	04000211973 SIMBURGER, SHANDA J.	THE GROWTH FUND OF AMERICA-C B		114.58	1.15	0.00	1.15	90.0	1.04
06/29/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		99.00	4.95	0.00	4.95	90.0	4.46
06/29/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		99.00	4.95	0.00	4.95	90.0	4.46



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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/29/2018	04000219045	VEAZEY, CHRISTOPHER		AMERICAN FUNDS NEW PERSPECTIVE FD	B	102.00	5.10	0.00	5.10 90.0 4.59
07/02/2018	04000537184	HENRY, MAYLEN N.		AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
07/02/2018	04000537195	HENRY, COHEN E.		AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50 90.0 0.45
07/05/2018	04000557891	BAKKE, MARC		AMERICAN FUNDS AMCAP FD	B	114.50	5.73	0.00	5.73 90.0 5.16
07/05/2018	04000557891	BAKKE, MARC		AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73 90.0 5.16
07/05/2018	04000557891	BAKKE, MARC		AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.50	5.73	0.00	5.73 90.0 5.16
07/05/2018	04000557891	BAKKE, MARC		AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.50	5.73	0.00	5.73 90.0 5.16
07/05/2018	04000558446	BAKKE, MARC		AMERICAN FUNDS GROWTH FUND OF AMERI	B	250.00	12.50	0.00	12.50 90.0 11.25
06/28/2018	04000590000	POND, CAMERON L.		AMERICAN FUNDS FUNDAMENTAL	INVES B	80.00	4.00	0.00	4.00 90.0 3.60
06/28/2018	04000590000	POND, CAMERON L.		AMERICAN FUNDS GROWTH FUND OF AMERI	B	80.00	4.00	0.00	4.00 90.0 3.60
07/05/2018	04000644784	PEHRSON, KIMBERLY		AMERICAN FUNDS AMCAP FD	B	112.50	4.78	0.00	4.78 90.0 4.30
07/05/2018	04000644784	PEHRSON, KIMBERLY		AMERICAN FUNDS FUNDAMENTAL	INVES B	112.50	4.78	0.00	4.78 90.0 4.30
07/05/2018	04000644784	PEHRSON, KIMBERLY		AMERICAN FUNDS NEW PERSPECTIVE FD	B	112.50	4.78	0.00	4.78 90.0 4.30
07/05/2018	04000644784	PEHRSON, KIMBERLY		AMERICAN FUNDS SMALL CAP WORLD FD	B	112.50	4.78	0.00	4.78 90.0 4.30
06/29/2018	04000906988	BRINK, LANDAN A.		THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50 90.0 0.45
07/05/2018	04000982558	HAAN, LUCAS J.		AMERICAN FUNDS FUNDAMENTAL	INVES B	166.00	7.06	0.00	7.06 90.0 6.35
06/18/2018	2155239124	ROLAND `, ANGELA B.		OPPENHEIMER GLOBAL INCOME & GROWTH	B	114.50	2.29	0.00	2.29 90.0 2.06
06/28/2018	2252419461	JOHNSON, TINA		OPPENHEIMER QUEST VALUE FD	CL A B	166.67	6.67	0.00	6.67 90.0 6.00
06/20/2018	2514869381	HURD, JASON D.		OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.38	0.00	2.38 90.0 2.14
06/18/2018	2514915922	ROLAND `, ANGELA B.		OPPENHEIMER QUEST SMALL CAP	VALUE B	114.50	2.29	0.00	2.29 90.0 2.06
06/18/2018	3208894842	ROLAND, DAVID R.		OPPENHEIMER CAPITAL APPREC FD	CL A B	114.50	2.29	0.00	2.29 90.0 2.06
06/28/2018	5004750427	JOHNSON, TINA		OPPENHEIMER DISCOVERY FD	CL A B	166.67	6.67	0.00	6.67 90.0 6.00
06/18/2018	5550848155	WRIGHT, EDWARD		PORTFOLIO SERIES: EQUITY INVESTOR F	B	146.91	6.98	0.00	6.98 90.0 6.28
06/18/2018	5901188814	ROLAND, DAVID R.		REAL ESTATE FUND A	B	114.50	2.29	0.00	2.29 90.0 2.06
06/18/2018	7005978745	ROLAND `, ANGELA B.		OPPENHEIMER MAIN STREET INCOME & GR	B	114.50	2.29	0.00	2.29 90.0 2.06
06/20/2018	7007956861	HURD, JASON D.		OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.38	0.00	2.38 90.0 2.14
06/21/2018	7021921711	SMITH, ELLA J.		OPPENHEIMER MAIN STREET INCOME& GRO	B	300.00	3.00	0.00	3.00 90.0 2.70
06/20/2018	7210873719	HURD, JASON D.		SMALL- & MID-CAP GROWTH FUND A	B	50.00	2.38	0.00	2.38 90.0 2.14
06/18/2018	7210955361	ROLAND, DAVID R.		SMALL- & MID-CAP GROWTH FUND A	B	114.50	2.29	0.00	2.29 90.0 2.06
06/18/2018	7315596613	ROLAND, DAVID R.		MAIN STREET OPPORTUNITY FUND A	B	271.00	5.42	0.00	5.42 90.0 4.88
06/28/2018	7860088551	JOHNSON, TINA		OPPENHEIMER DEVELOPING MKT FD	CL A B	166.67	6.67	0.00	6.67 90.0 6.00
06/18/2018	8259515891	ROLAND, DAVID R.		OPPENHEIMER INTL GROWTH FD	CL A B	271.00	5.42	0.00	5.42 90.0 4.88
06/20/2018	8259592627	HURD, JASON D.		OPPENHEIMER INTL GROWTH FD	CL A B	50.00	2.38	0.00	2.38 90.0 2.14
06/18/2018	8353137442	ROLAND, DAVID R.		OPPENHEIMER QUEST CAPITAL	VALUE B	271.00	5.42	0.00	5.42 90.0 4.88
06/18/2018	8477487359	ROLAND `, ANGELA B.		MAIN STREET SMALL CAP FUND A	B	114.50	2.29	0.00	2.29 90.0 2.06

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/28/2018	8805969062 JOHNSON, TINA	OPPENHEIMER INTL BD FD CL A	B	166.65	6.25	0.00	6.25	90.0	5.63
* MUTUAL FUNDS SUBTOTAL *				<u>\$32,477.03</u>	<u>\$2,096.62</u>	<u>\$0.00</u>	<u>\$2,096.62</u>		<u>\$1,887.21</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/30/2018	TRAILS	-NATIONWIDE LIFE	B	67.99	0.00	0.00	0.00	90.0	0.00
06/30/2018	TRAILS	-NATIONWIDE LIFE	B	67.99	67.99	0.00	67.99	90.0	61.19
07/06/2018	TRAILS	-NATIONWIDE LIFE	B	3.75	0.00	0.00	0.00	90.0	0.00
07/06/2018	TRAILS	-NATIONWIDE LIFE	B	3.75	3.75	0.00	3.75	90.0	3.38
06/29/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	111.38	0.00	0.00	0.00	90.0	0.00
06/29/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	111.38	111.38	0.00	111.38	90.0	100.24
06/29/2018	TRAILS	ALLSTATE LIFE INSURANCE	B	12.57	0.00	0.00	0.00	90.0	0.00
06/29/2018	TRAILS	ALLSTATE LIFE INSURANCE	B	12.57	12.57	0.00	12.57	90.0	11.31
06/24/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	411.19	411.19	0.00	411.19	90.0	370.07
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		2,338.10	0.00	0.00	0.00	90.0	0.00
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		2,338.10	2,338.10	0.00	2,338.10	90.0	2,104.29
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	673.53	0.00	0.00	0.00	90.0	0.00
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	673.53	673.53	0.00	673.53	90.0	606.18
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$6,825.83</u>	<u>\$3,618.51</u>	<u>\$0.00</u>	<u>\$3,618.51</u>		<u>\$3,256.66</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018	TRAIL COMMISSIONS	IVY FUNDS FEES		0.00	1,249.03	0.00	1,249.03	90.0	1,124.18
07/16/2018	TRAIL COMMISSIONS	PIONEER SERVICES CORPORATION FEES		0.00	1.55	0.00	1.55	90.0	1.40
07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	1,120.03	0.00	1,120.03	90.0	1,008.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$2,370.61</u>	<u>\$0.00</u>	<u>\$2,370.61</u>		<u>\$2,133.64</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$39,302.86</u>	<u>\$8,085.74</u>	<u>\$0.00</u>	<u>\$8,085.74</u>		<u>\$7,277.51</u>

OVERRIDE TRANSACTIONSBROKER: KELSEY MCDANIEL #9660

06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	3.99	3.99	0.00	3.99		0.80
06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	46.47	46.47	0.00	46.47		9.29
07/13/2018	00035709485 DYER, ROBBIE	IVY BALANCED A	B	0.00	1.42	0.00	1.42		0.28
07/13/2018	00035825168 NEMEC, MISTY M.	IVY LARGE CAP GROWTH A	B	0.00	3.00	0.00	3.00		0.60
06/27/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		232.50	6.39	0.00	6.39		1.28
06/27/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		139.50	3.84	0.00	3.84		0.77
07/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	26.86	1.34	0.00	1.34		0.27
07/05/2018	00089549050 COTTINGHAM, MYLIE J.	AMERICAN FUNDS AMCAP FD	B	26.86	1.34	0.00	1.34		0.27

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONSBROKER: KELSEY MCDANIEL #9660

07/05/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	26.85	1.34	0.00	1.34	0.27
		J.							
07/05/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	26.85	1.34	0.00	1.34	0.27
		J.							
07/02/2018	04000711583	WRIGHT, BLAKE J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	500.00	25.00	0.00	25.00	5.00
06/28/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	1.00
		W.							
06/28/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	5.00	0.00	5.00	1.00
		W.							
06/28/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	5.00	0.00	5.00	1.00
		W.							
06/28/2018	04000753810	POTTER III, DONALD	NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00	1.00
		W.							
07/02/2018	04000828904	BROWN, MARK K.	AMERICAN FUNDS BALANCED FD	B	125.00	4.69	0.00	4.69	0.94
07/02/2018	04000828904	BROWN, MARK K.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	125.00	4.69	0.00	4.69	0.94
07/03/2018	04000928595	VALLETTE, TINA L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	5.00	0.00	5.00	1.00
06/18/2018	2157907097	YOUNG, TANNER D.	OPPENHEIMER GLOBAL INCOME & GROWTH	B	22.53	1.07	0.00	1.07	0.21
06/18/2018	2514980140	YOUNG, TANNER D.	OPPENHEIMER QUEST SMALL CAP VALUE	B	22.53	1.07	0.00	1.07	0.21
06/18/2018	3211903903	YOUNG, TANNER D.	OPPENHEIMER CAPITAL APPREC FD CL A	B	22.53	1.07	0.00	1.07	0.21
06/18/2018	3212111891	GORTMAKER, DEREK A.	OPPENHEIMER CAPITAL APPREC FD CL A	B	125.52	5.96	0.00	5.96	1.19
06/18/2018	7211034352	YOUNG, TANNER D.	SMALL- & MID-CAP GROWTH FUND A	B	22.53	1.07	0.00	1.07	0.21
* KELSEY MCDANIEL SUBTOTAL *					<u>\$1,995.52</u>	<u>\$140.09</u>	<u>\$0.00</u>	<u>\$140.09</u>	<u>\$28.01</u>
*** OVERRIDE TRANSACTIONS TOTAL ***					<u>\$1,995.52</u>	<u>\$140.09</u>	<u>\$0.00</u>	<u>\$140.09</u>	<u>\$28.01</u>

ADJUSTMENTS

07/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-127.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,277.51
Split Transactions	\$0.00
Override Transactions	\$28.01
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$7,178.02
Year-to-date Earnings	\$303,868.12