



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 28, 2017

03/06/2017
Page No. 1

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
^02/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.00
^02/15/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC C	200.00	2.00	0.00	2.00	0.0	0.00
^02/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.00
^02/15/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	7.00	0.00	7.00	0.0	0.00
^02/15/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	8.75	0.00	8.75	0.0	0.00
^01/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.15	0.00	0.15	0.0	0.00
^ / /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	0.00
^01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	28.87	0.00	28.87	0.0	0.00
^01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	23.65	0.00	23.65	0.0	0.00
^01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.53	0.00	2.53	0.0	0.00
^01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.58	0.00	7.58	0.0	0.00
^01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.62	0.00	9.62	0.0	0.00
^01/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.88	0.00	7.88	0.0	0.00
^02/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	0.00
^02/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	0.00
^02/13/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.00
^02/13/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.00
^02/16/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	250.00	11.88	0.00	11.88	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,050.00	\$124.41	\$0.00	\$124.41		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

^02/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	0.00
^02/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.00
^02/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	0.00
^02/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	0.00
^02/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
^02/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	0.00
^02/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.00
^02/15/2017	REICH, MICHELLE	PRU PREMIER INVT VA C	50.00	0.00	0.00	0.00	0.0	0.00
^01/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	17,869.76	14.89	0.00	14.89	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$19,093.54	\$30.07	\$0.00	\$30.07		\$0.00
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
^02/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	0.00
* LIFE INSURANCE SUBTOTAL *			\$152.00	\$1.52	\$0.00	\$1.52		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$21,295.54	\$156.00	\$0.00	\$156.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed