



All Companies

04/12/2018
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COMMISSION STATEMENT April 16, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	10.39	10.39	0.00	10.39	90.0	9.35	
03/31/2018	TRAILS	-PUTNAM	B	372.76	372.76	0.00	372.76	90.0	335.48	
03/29/2018	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	1.63	1.63	0.00	1.63	90.0	1.47	
04/02/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/26/2018	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
03/26/2018	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
03/26/2018	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
03/26/2018	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
03/26/2018	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
03/26/2018	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
03/26/2018	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
03/26/2018	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66
03/26/2018	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
03/26/2018	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
* MUTUAL FUNDS SUBTOTAL *				\$1,243.11	\$393.36	\$0.00	\$393.36		\$354.02	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/31/2018	TRAILS	-HARTFORD LIFE	B	835.24	835.24	0.00	835.24	90.0	751.72	
03/25/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	40.01	40.01	0.00	40.01	90.0	36.01	
04/01/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	670.08	670.08	0.00	670.08	90.0	603.07	
03/31/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM	B	269.99	269.99	0.00	269.99	90.0	242.99	
03/29/2018	TRAILS	NEW YORK LIFE INSURANCE COMPANY	B	323.52	323.52	0.00	323.52	90.0	291.17	
03/31/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	204.65	204.65	0.00	204.65	90.0	184.19	
* VARIABLE ANNUITIES SUBTOTAL *				\$2,343.49	\$2,343.49	\$0.00	\$2,343.49		\$2,109.15	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	238.16	0.00	238.16	90.0	214.35	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$238.16	\$0.00	\$238.16		\$214.35	
*** BROKER TRANSACTIONS TOTAL ***				\$3,586.60	\$2,975.01	\$0.00	\$2,975.01		\$2,677.52	

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

04/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,677.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,550.02
Year-to-date Earnings	\$44,164.14