



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
Page No. 1

BRENT LEE
13423 Kings View Lane

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------|-------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 08/31/2017 | KUHN, KENNETH | JNL PERSPECTIVE L | 247,129.46 | 205.94 | 0.00 | 205.94 | 90.0 | 185.35 |
| 08/31/2017 | KUHN, KRISTINE | JNL PERSPECTIVE L | 133,242.98 | 111.04 | 0.00 | 111.04 | 90.0 | 99.94 |
| 08/31/2017 | FREIH, DIANE | JNL PERSPECTIVE L | 105,538.71 | 87.95 | 0.00 | 87.95 | 90.0 | 79.16 |
| 08/31/2017 | KEMPER, SHERRY | JNL PERSPECTIVE L | 211,208.06 | 176.01 | 0.00 | 176.01 | 90.0 | 158.41 |
| 08/31/2017 | RETZLAFF, SANDRA | JNL PERSPECTIVE L | 36,651.08 | 30.54 | 0.00 | 30.54 | 90.0 | 27.49 |
| 08/31/2017 | GRANT, GREGORY | JNL PERSPECTIVE L | 91,727.46 | 76.44 | 0.00 | 76.44 | 90.0 | 68.80 |
| 08/31/2017 | MONETTE, RONALD | JNL PERSPECTIVE L | 58,416.34 | 48.68 | 0.00 | 48.68 | 90.0 | 43.81 |
| 08/31/2017 | LIESENER, MARTY | JNL PERSPECTIVE L | 42,125.09 | 35.10 | 0.00 | 35.10 | 90.0 | 31.59 |
| 08/31/2017 | BARTINGALE, JAMES | JNL PERSPECTIVE L | 60,706.86 | 50.59 | 0.00 | 50.59 | 90.0 | 45.53 |
| 08/31/2017 | MONETTE, RONALD | JNL PERSPECTIVE L | 198,886.88 | 165.74 | 0.00 | 165.74 | 90.0 | 149.17 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$1,185,632.92 | \$988.03 | \$0.00 | \$988.03 | | \$889.25 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$1,185,632.92 | \$988.03 | \$0.00 | \$988.03 | | \$889.25 |

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

| | | | | | | | | |
|--|----------------|-------------------------------------|-----------------|---------------|---------------|---------------|------|---------------|
| 09/01/2017 | KLINE, STEWART | AM FUNDS COLLEGE 2030 FUND 529A | 225.00 | 3.94 | 0.00 | 3.94 | 90.0 | 3.55 |
| 09/01/2017 | KLINE, STEWART | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 180.00 | 3.15 | 0.00 | 3.15 | 90.0 | 2.84 |
| 09/05/2017 | KLINE, STEWART | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 160.00 | 2.80 | 0.00 | 2.80 | 90.0 | 2.52 |
| * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL * | | | \$565.00 | \$9.89 | \$0.00 | \$9.89 | | \$8.91 |

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

| | | | | | | | | |
|------------|------------------|-------------------------------------|------|------|------|------|------|------|
| 08/15/2017 | GALINDO, TAMORA | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 08/25/2017 | GALINDO, TAMORA | BLK HIGH YIELD BOND A | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 08/17/2017 | GALINDO, TAMORA | FIDELITY ADVISOR STRATEGIC DIVIDEND | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 08/15/2017 | NEWBERRY, GLORIA | PRINCIPAL GOVERNMENT & HIGH QUALITY | 0.00 | 0.02 | 0.00 | 0.02 | 90.0 | 0.02 |
| 08/15/2017 | NEWBERRY, GLORIA | PRINCIPAL INVESTORS INCOME A | 0.00 | 0.12 | 0.00 | 0.12 | 90.0 | 0.11 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u> | | | | | | | | |
| 09/02/2017 | RED RIVER SUPPLY | PRINCIPAL LIFE | 0.00 | 60.83 | 0.00 | 60.83 | 90.0 | 54.75 |
| 08/15/2017 | NEWBERRY, GLORIA | PRINCIPAL S A M CONSERVATIVE BALANC | 0.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| * JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL * | | | \$0.00 | \$61.84 | \$0.00 | \$61.84 | | \$55.67 |
| <u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u> | | | | | | | | |
| 09/01/2017 | HEEN, JEREMY | AM FUNDS COLLEGE 2030 FUND 529A | 215.00 | 2.37 | 0.00 | 2.37 | 90.0 | 2.13 |
| 09/01/2017 | HEEN, JEREMY | AMERICAN FDS COLLEGE 2021 FUND 529A | 370.00 | 4.07 | 0.00 | 4.07 | 90.0 | 3.66 |
| 09/01/2017 | HEEN, JEREMY | AMERICAN FUND COLLEGE 2027 FUND 529 | 245.00 | 2.69 | 0.00 | 2.69 | 90.0 | 2.42 |
| 09/01/2017 | HEEN, JEREMY | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 190.00 | 2.09 | 0.00 | 2.09 | 90.0 | 1.88 |
| * BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL * | | | \$1,020.00 | \$11.22 | \$0.00 | \$11.22 | | \$10.09 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$1,585.00 | \$82.95 | \$0.00 | \$82.95 | | \$74.67 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 09/15/2017 | | *CONCIERGE SVC: 198529 FEE | 0.00 | | | | | -25.00 |
| 09/15/2017 | | *EO INS: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 09/15/2017 | | *PERSHING: BOOKS & RECORDS | 0.00 | | | | | -0.75 |
| 09/15/2017 | | *PERSHING: ETF PROSPECTUS MAILING | 0.00 | | | | | -20.78 |
| 09/15/2017 | | *PERSHING: MF PROSPECTUS MAILING | 0.00 | | | | | -0.50 |
| 09/15/2017 | | *TECH: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-622.03 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$889.25 |
| Split Transactions | \$74.67 |
| Override Transactions | \$0.00 |
| Adjustments | \$-622.03 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$90.04 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$431.93 |
| Year-to-date Gross | \$43,428.81 |
| Year-to-date Earnings | \$39,027.58 |