



Concorde Investment Services, LLC

05/30/2018

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COMMISSION STATEMENT**May 31, 2018**JAMES, M127 MAHER
3082 Quail Ridge CircleBROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| 05/16/2018 | QUSSAR, JAMES | AF CONSERVATIVE GROWTH AND INCOME-5 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/15/2018 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 100.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 05/15/2018 | PALMER, ROBERT | AM FUNDS CAPITAL INCOME BUILDER 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/15/2018 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 133.00 | 1.33 | 0.00 | 1.33 | 90.0 | 1.20 |
| 05/15/2018 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 133.00 | 1.33 | 0.00 | 1.33 | 90.0 | 1.20 |
| 05/15/2018 | PALMER, ROBERT | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/15/2018 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 133.00 | 1.33 | 0.00 | 1.33 | 90.0 | 1.20 |
| 05/15/2018 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 133.00 | 1.33 | 0.00 | 1.33 | 90.0 | 1.20 |
| 05/15/2018 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 134.00 | 1.34 | 0.00 | 1.34 | 90.0 | 1.21 |
| 05/15/2018 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 134.00 | 1.34 | 0.00 | 1.34 | 90.0 | 1.21 |
| 05/15/2018 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/15/2018 | SCACCIA, KELLY | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/16/2018 | QUSSAR, JAMES | AM FUNDS GROWTH FUND OF AMERICA 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/16/2018 | QUSSAR, JAMES | AM FUNDS ICA 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 05/15/2018 | SCACCIA, KELLY | AM FUNDS NEW PERSPECTIVE 529C | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/15/2018 | SCACCIA, KELLY | AM FUNDS NEW PERSPECTIVE 529C | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 05/16/2018 | QUSSAR, JAMES | GROWTH AND INCOME PORTFOLIO-529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| * 529 PLANS SUBTOTAL * | | | \$1,550.00 | \$15.50 | \$0.00 | \$15.50 | | \$14.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/30/2018 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 15.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 05/15/2018 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 15.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 04/30/2018 | MOTOR CITY COMPUTER | PIONEER BOND FUND A | 94.58 | 0.95 | 0.00 | 0.95 | 90.0 | 0.86 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$124.58 | \$1.25 | \$0.00 | \$1.25 | | \$1.14 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------------|-------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 05/10/2018 | WILLOUGHBY, MICHAEL | LINCOLN BENEFIT LIFE | 200,000.00 | 4,000.00 | 0.00 | 4,000.00 | 90.0 | 3,600.00 |
| 05/10/2018 | WILLOUGHBY, MICHAEL | LINCOLN BENEFIT LIFE | 100,000.00 | 2,000.00 | 0.00 | 2,000.00 | 90.0 | 1,800.00 |
| 05/11/2018 | SCHWARZ, GERRY | PRU DEFINED INCOME | 100,000.00 | 1,000.00 | 0.00 | 1,000.00 | 90.0 | 900.00 |
| 05/07/2018 | POUPORE, MARY | PRU PREMIER RETIREMENT B | 100,000.00 | 5,750.00 | 0.00 | 5,750.00 | 90.0 | 5,175.00 |
| 05/11/2018 | SCHWARZ, GERRY | PRU PREMIER RETIREMENT B | 200,000.00 | 4,000.00 | 0.00 | 4,000.00 | 90.0 | 3,600.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$700,000.00 | \$16,750.00 | \$0.00 | \$16,750.00 | | \$15,075.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 05/03/2018 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 0.00 | 1.96 | 0.00 | 1.96 | 90.0 | 1.76 |
| 05/14/2018 | EDWARDS-BROWN JT TEN, LINDA | LORD ABBETT AFFILIATED A | 0.00 | 0.87 | 0.00 | 0.87 | 90.0 | 0.78 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$2.83 | \$0.00 | \$2.83 | | \$2.54 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$701,674.58 | \$16,769.58 | \$0.00 | \$16,769.58 | | \$15,092.68 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$15,092.68 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|---------------------|
| Check Amount | \$15,092.68 |
| Year-to-date Gross | \$217,101.39 |
| Year-to-date Earnings | \$194,669.59 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed