

COMMISSION STATEMENT

July 31, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: 23

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/25/2017	QGN005720	MARIO CAMARA	ENERGOUS CORP COM	B	15,602.10	320.00	17.00	303.00	75.0	223.00
07/14/2017	QGW031809	SABER, MARK	NEONODE INC COM NEW	S	-3,300.00	40.00	17.00	23.00	75.0	13.00
06/29/2017	QGW031825	CARPENTER, BOBBY	ENERGOUS CORP COM	B	16,468.50	175.00	17.00	158.00	75.0	114.25
06/29/2017	QGW031833	CASSADY REVOCABLE	ENERGOUS CORP COM	B	10,140.36	125.00	17.00	108.00	75.0	76.75
07/14/2017	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW	S	-11,812.50	210.00	17.00	193.00	75.0	140.50
06/28/2017	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	S	-6,324.00	100.00	17.00	83.00	75.0	58.00
06/29/2017	QGW031882	MICKES, THOMAS	ENERGOUS CORP COM	B	82,624.00	700.00	17.00	683.00	75.0	508.00
07/03/2017	QGW031882	MICKES, THOMAS	ENERGOUS CORP COM	B	32,600.00	250.00	17.00	233.00	75.0	170.50
07/03/2017	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	S	-27,227.60	560.00	17.00	543.00	75.0	403.00
07/05/2017	QGW031882	MICKES, THOMAS	ENERGOUS CORP COM	B	24,191.70	150.00	17.00	133.00	75.0	95.50
06/29/2017	QGW031957	COLE, PHILLIP	ENERGOUS CORP COM	B	21,122.88	220.00	17.00	203.00	75.0	148.00
07/03/2017	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW	S	-10,200.00	200.00	17.00	183.00	75.0	133.00
07/26/2017	QGW033680	CRONIN, MICHAEL	ENERGOUS CORP COM	B	3,065.02	50.00	17.00	33.00	75.0	20.50
07/26/2017	QGW033680	CRONIN, MICHAEL	REGULUS THERAPEUTICS INC COM	B	3,760.00	50.00	17.00	33.00	75.0	20.50
07/26/2017	QGW033680	CRONIN, MICHAEL	VUZIX CORP COM NEW	B	6,037.50	50.00	17.00	33.00	75.0	20.50
* STOCKS SUBTOTAL *					\$156,747.96	\$3,200.00	\$255.00	\$2,945.00		\$2,145.00
PRODUCT CATEGORY: OPTIONS										
07/13/2017	QGW031809	SABER, MARK	CALL 100 ENERGOUS CORP COM EXP 01-1 B		3,000.00	119.90	25.00	94.90	75.0	64.93
07/24/2017	QGW031809	SABER, MARK	CALL 100 ENERGOUS CORP COM EXP 01-1 B		3,600.00	125.84	25.00	100.84	75.0	69.38
07/13/2017	QGW031833	CASSADY REVOCABLE	CALL 100 ENERGOUS CORP COM EXP 01-1 B		9,000.00	301.81	35.00	266.81	75.0	191.36
07/12/2017	QGW031882	MICKES, THOMAS	CALL 100 ENERGOUS CORP COM EXP 01-1 B		34,000.00	866.92	70.00	796.92	75.0	580.19
07/12/2017	QGW031882	MICKES, THOMAS	CALL 100 ENERGOUS CORP COM EXP 01-1 B		-34,000.00	-866.92	-70.00	-796.92	75.0	-580.19
07/12/2017	QGW031882	MICKES, THOMAS	CALL 100 ENERGOUS CORP COM EXP 01-1 B		34,000.00	100.00	70.00	30.00	75.0	5.00
07/12/2017	QGW031957	COLE, PHILLIP	CALL 100 ENERGOUS CORP COM EXP 01-1 B		34,000.00	866.92	70.00	796.92	75.0	580.19
07/12/2017	QGW031957	COLE, PHILLIP	CALL 100 ENERGOUS CORP COM EXP 01-1 B		-34,000.00	-866.92	-70.00	-796.92	75.0	-580.19
07/12/2017	QGW031957	COLE, PHILLIP	CALL 100 ENERGOUS CORP COM EXP 01-1 B		17,000.00	0.00	45.00	-45.00	75.0	-45.00
* OPTIONS SUBTOTAL *					\$66,600.00	\$647.55	\$200.00	\$447.55		\$285.67
*** BROKER TRANSACTIONS TOTAL ***					\$223,347.96	\$3,847.55	\$455.00	\$3,392.55		\$2,430.67

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ADJUSTMENTS

07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
07/31/2017		OTHER: OTHER-ERROR		0.00	0.00	0.00	0.00		-1,000.00
07/31/2017		OTHER: OTHER-FINRA		0.00	0.00	0.00	0.00		-55.00
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-1,083.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,430.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,083.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.81
SIPC Assessment	\$-9.62

Check Amount	\$1,333.24
Year-to-date Earnings	\$3,196.04