



Concorde Investment Services, LLC

02/19/2018

Page No. 1

# COMMISSION STATEMENT

February 15, 2018

BRENT, L137 LEE  
13423 Kings View Lane

BROKER #: L137  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
01/31/2018	KUHN, KENNETH	JNL PERSPECTIVE L	269,677.64	224.73	0.00	224.73	90.0	202.26
01/31/2018	KUHN, KRISTINE	JNL PERSPECTIVE L	145,325.45	121.10	0.00	121.10	90.0	108.99
01/31/2018	FREIH, DIANE	JNL PERSPECTIVE L	112,847.72	94.04	0.00	94.04	90.0	84.64
01/31/2018	KEMPER, SHERRY	JNL PERSPECTIVE L	223,310.73	186.09	0.00	186.09	90.0	167.48
01/31/2018	RETZLAFF, SANDRA	JNL PERSPECTIVE L	40,100.65	33.42	0.00	33.42	90.0	30.08
01/31/2018	GRANT, GREGORY	JNL PERSPECTIVE L	100,243.86	83.54	0.00	83.54	90.0	75.19
01/31/2018	MONETTE, RONALD	JNL PERSPECTIVE L	63,842.05	53.20	0.00	53.20	90.0	47.88
01/31/2018	LIESENER, MARTY	JNL PERSPECTIVE L	46,045.58	38.37	0.00	38.37	90.0	34.53
01/31/2018	BARTINGALE, JAMES	JNL PERSPECTIVE L	66,206.73	55.17	0.00	55.17	90.0	49.65
01/31/2018	MONETTE, RONALD	JNL PERSPECTIVE L	217,687.06	181.41	0.00	181.41	90.0	163.27
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$1,285,287.47</b>	<b>\$1,071.07</b>	<b>\$0.00</b>	<b>\$1,071.07</b>		<b>\$963.97</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$1,285,287.47</b>	<b>\$1,071.07</b>	<b>\$0.00</b>	<b>\$1,071.07</b>		<b>\$963.97</b>

## SPLIT TRANSACTIONS

### **BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10**

02/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
02/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			<b>\$440.00</b>	<b>\$6.95</b>	<b>\$0.00</b>	<b>\$6.95</b>		<b>\$6.26</b>

### **BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

01/18/2018	EBEL, DWIGHT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	90.0	0.02
01/18/2018	EBEL, DWIGHT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
01/18/2018	EBEL, DWIGHT	AM FUNDS ICA A	0.00	0.10	0.00	0.10	90.0	0.09
01/18/2018	EBEL, DWIGHT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.09	0.00	0.09	90.0	0.08
01/18/2018	EBEL, DWIGHT	AM FUNDS NEW WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
02/01/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
02/02/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
01/16/2018	EBEL, DWIGHT	COTTONWOOD MULTIFAMILY REIT II	30,000.00	900.00	0.00	900.00	90.0	810.00
02/05/2018	SAYLER, DELBERT	INLAND COLORADO MF PF III DST	495,730.00	6,196.33	0.00	6,196.33	90.0	5,576.70
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$526,070.00</b>	<b>\$7,102.54</b>	<b>\$0.00</b>	<b>\$7,102.54</b>		<b>\$6,392.30</b>
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
01/18/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
01/18/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
01/24/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
01/17/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
01/25/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.21	0.00	0.21	90.0	0.19
01/25/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.02	0.00	0.02	90.0	0.02
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
01/17/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.58	0.00	0.58	90.0	0.52
01/29/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.02	0.00	0.02	90.0	0.02
<b>* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.31</b>	<b>\$0.00</b>	<b>\$1.31</b>		<b>\$1.19</b>
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
02/01/2018	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
02/01/2018	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
02/01/2018	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
02/05/2018	HAUGEN, STACI	DODGE & COX INTERNATIONAL FUND	-2,384.24	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	FEDERATED GOVERNMENT INCOME TRUST F	-2,654.87	0.00	0.00	0.00	90.0	0.00
02/05/2018	HAUGEN, STACI	FIDELITY CONTRAFUND	-3,007.04	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	HARBOR BOND FUND INSTITUTIONAL CLAS	-2,708.53	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD ENERGY FUND ADMIRAL SHARES	-2,148.52	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD PRIMECAP CORE FUND INVESTO	-3,086.25	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD REIT INDEX FUND ADMIRAL SH	-2,537.47	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD SHORT-TERM INVESTMENT-GRAD	-2,732.09	0.00	8.80	-8.80	90.0	-7.92
02/05/2018	HAUGEN, STACI	VANGUARD SMALL-CAP GROWTH INDEX FUN	-2,365.00	0.00	8.80	-8.80	90.0	-7.92
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$-22,819.01</b>	<b>\$11.27</b>	<b>\$70.40</b>	<b>\$-59.13</b>		<b>\$-53.22</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$503,690.99</b>	<b>\$7,122.07</b>	<b>\$70.40</b>	<b>\$7,051.67</b>		<b>\$6,346.53</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
02/15/2018		*CONCIERGE SVC: 207640 EBEL	0.00					-25.00
02/15/2018		*CONCIERGE SVC: 208659 AAFEDT	0.00					-25.00
02/15/2018		*CONCIERGE SVC: 208681 AAFEDT	0.00					-25.00
02/15/2018		*CONCIERGE SVC: 208687 AAFEDT	0.00					-25.00
02/15/2018		*CONCIERGE SVC: 208756 SAYLER	0.00					-25.00
02/15/2018		*CONCIERGE SVC: 209175 SCOTT	0.00					-25.00
02/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.26
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.46
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-10.33
02/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-739.05

**BROKER COMMISSION TOTALS**

Broker Transactions	\$963.97
Split Transactions	\$6,346.53
Override Transactions	\$0.00
Adjustments	\$-739.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,571.45
Year-to-date Gross	\$17,071.52
Year-to-date Earnings	\$15,290.52

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed