

**COMMISSION STATEMENT****February 22, 2018**

STEVEN COOK  
3200 N. Central Ave.  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73756  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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**Company: Securities America****BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/21/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	2.50	0.00	2.50	1.27
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$2.50</u>	<u>\$0.00</u>	<u>\$2.50</u>	<u>\$1.27</u>

**PRODUCT CATEGORY: RIA**

02/21/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	1,398.25	0.00	1,398.25	710.31
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$1,398.25</u>	<u>\$0.00</u>	<u>\$1,398.25</u>	<u>\$710.31</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$1,400.75</u>	<u>\$0.00</u>	<u>\$1,400.75</u>	<u>\$711.58</u>

**ADJUSTMENTS**

16. SAI SIPC FOR PE 02/16/2018							-5.40
33. SAI 01/10/18 INVESTIGO CHARGE							-60.00
40. SAI 02/14/18 INVESTIGO CHARGE							-60.00
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-125.40</u>

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$711.58
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-125.40
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$586.18</u>
							<u>Year-to-date Earnings \$46,941.93</u>