

**COMMISSION STATEMENT****August 15, 2018**

JIM LUND  
2060 Huntington Drive  
Suite 1  
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015  
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.71	0.00	1.71	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.71	0.00	1.71	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	4.02	0.00	4.02	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	50.00	14.08	0.00	14.08	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.76	0.00	0.76	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	1.50	0.00	1.50	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.52	0.00	3.52	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.95	0.00	1.95	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.75	0.00	3.75	0.0	0.00
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$33.00</b>	<b>\$0.00</b>	<b>\$33.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$2.00</b>	<b>\$0.00</b>	<b>\$2.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/31/2018	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.85	0.00	31.85	0.0	0.00
07/31/2018	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.30	0.00	41.30	0.0	0.00
07/31/2018	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	65.58	0.00	65.58	0.0	0.00
07/31/2018	LUND, JIM	FRANKLIN INCOME A	0.00	5.13	0.00	5.13	0.0	0.00
07/31/2018	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.55	0.00	31.55	0.0	0.00
07/31/2018	LUND, ANDREA	FRANKLIN INCOME A	0.00	149.31	0.00	149.31	0.0	0.00
07/31/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.79	0.00	2.79	0.0	0.00
07/31/2018	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.28	0.00	0.28	0.0	0.00
07/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
07/31/2018	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	52.43	0.00	52.43	0.0	0.00
07/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.41	0.00	23.41	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/31/2018	HO, PAULINA	FRANKLIN INCOME C	0.00	70.60	0.00	70.60	0.0	0.00
07/31/2018	STARK, TONI	FRANKLIN INCOME C	0.00	28.47	0.00	28.47	0.0	0.00
07/31/2018	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.76	0.00	3.76	0.0	0.00
07/31/2018	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.63	0.00	2.63	0.0	0.00
07/31/2018	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.99	0.00	4.99	0.0	0.00
07/31/2018	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.73	0.00	22.73	0.0	0.00
07/31/2018	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.90	0.00	26.90	0.0	0.00
07/31/2018	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	83.54	0.00	83.54	0.0	0.00
07/31/2018	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.92	0.00	0.92	0.0	0.00
07/31/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	109.78	0.00	109.78	0.0	0.00
07/31/2018	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	67.06	0.00	67.06	0.0	0.00
07/31/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.78	0.00	20.78	0.0	0.00
07/31/2018	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.60	0.00	5.60	0.0	0.00
07/31/2018	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.15	0.00	4.15	0.0	0.00
07/31/2018	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.91	0.00	20.91	0.0	0.00
07/31/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	35.31	0.00	35.31	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$912.18</b>	<b>\$0.00</b>	<b>\$912.18</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$300.00</b>	<b>\$947.18</b>	<b>\$0.00</b>	<b>\$947.18</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$4,204.81</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>