



All Companies

04/27/2018
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COMMISSION STATEMENT

May 01, 2018

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/09/2018	00074687854	MIZELL, NICOLE	CAPITAL INCOME BUILDER-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/09/2018	00074687854	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/09/2018	00083143340	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/09/2018	00083143340	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
04/09/2018	00085317312	MIZELL, NICOLE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/09/2018	00085317312	MIZELL, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00088728542	CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
04/16/2018	00088728542	CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088728542	CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/16/2018	00088728542	CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	B	25.00	0.25	0.00	0.25	90.0	0.23
* MUTUAL FUNDS SUBTOTAL *					<u>\$500.00</u>	<u>\$5.00</u>	<u>\$0.00</u>	<u>\$5.00</u>		<u>\$4.52</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/12/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	35.63	35.63	0.00	35.63	90.0	32.07
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,755.07	2,755.07	0.00	2,755.07	90.0	2,479.56
03/29/2018	TRAILS	GENWORTH LIFE ANNUITY	B	99.78	99.78	0.00	99.78	90.0	89.80
03/26/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	B	100.00	6.00	0.00	6.00	90.0	5.40
* VARIABLE ANNUITIES SUBTOTAL *				\$2,990.48	\$2,896.48	\$0.00	\$2,896.48		\$2,606.83

PRODUCT CATEGORY: RIA

04/13/2018	25632715	DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	119.00	0.00	119.00	90.0	107.10
04/13/2018	49283223	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018	62315710	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
* RIA SUBTOTAL *					<u>\$0.00</u>	<u>\$150.00</u>	<u>\$0.00</u>	<u>\$150.00</u>		<u>\$135.00</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/01/2018 TRAIL COMMISSIONS	DAVIS FUNDS FEES	0.00	119.34	0.00	119.34	90.0	107.42
05/01/2018 TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES	0.00	3.47	0.00	3.47	90.0	3.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$122.81	\$0.00	\$122.81		\$110.54

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$3,490.48	\$3,174.29	\$0.00	\$3,174.29	\$2,856.89
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ADJUSTMENTS

05/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
05/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-7.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,856.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,749.39
Year-to-date Earnings	\$12,567.25