



COMMISSION STATEMENT

May 21, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/07/2018	***-**-8240 - BREWER, LUCINDA	EUROPACIFIC GROWTH FUND-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @59.9700						
05/07/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @60.4500						
05/07/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @60.4500						
05/07/2018	***-**-8240 - BREWER, LUCINDA	SMALLCAP WORLD FUND-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @60.3300						
05/07/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @60.8100						
05/07/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @60.8100						
05/10/2018	***-**-5718 - DE LOS SANTOS,	TEMP GROWTH 529 PORTFOLIO - CLASS A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 000000006871246	BUY 2 Units @30.5100						
05/07/2018	***-**-8240 - BREWER, LUCINDA	THE GROWTH FUND OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @55.1600						
05/07/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @55.6000						
05/07/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @55.6000						
05/07/2018	***-**-8240 - BREWER, LUCINDA	THE INVESTMENT COMPANY OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @42.3500						
05/07/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 1 Units @42.6800						
05/07/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @42.6800						
* MUTUAL FUNDS SUBTOTAL *			\$550.00	\$25.04	\$0.00	\$25.04		\$20.02



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/07/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 3 Units @28.5000						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 2 Units @28.5000						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @46.9500						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @46.9500						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 1 Units @55.0100						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @55.0100						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @42.5700						
05/07/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @42.5700						
* 529 PLAN SUBTOTAL *			\$500.00	\$25.00	\$0.00	\$25.00		\$20.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/10/2018	***-**-5718 - DE LOS SANTOS,	FRK MUTL SHRS 529 PORT CL A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 000000006871246	BUY 2 Units @31.8500						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$50.00	\$1.88	\$0.00	\$1.88		\$1.50
*** BROKER TRANSACTIONS TOTAL ***			\$1,100.00	\$51.92	\$0.00	\$51.92		\$41.52



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BRANCH #01001 - LifeMark Home Office

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