BROKER

CONCORDE INVESTMENT SERVICES

## **COMMISSION STATEMENT**

August 15, 2017

INVESTMENT

BROKER #: J124 Terminated 08/05/2016

NET

BRANCH: THOMAS JAHNCKE

CLEARING

GROSS

THOMAS JAHNCKE
2050 Main Street
Suite 650
Irvine, CA 92614

CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
	BROKER TRANSAC	CTIONS					
TEGORY: MUTUAL FUND 12B1 TR	AILS						
BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	1.65	0.00	1.65	0.0	0.00
BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.24	0.00	1.24	0.0	0.00
JAHNCKE, JUDY	ALLIANZGI NFJ MID- CAP VALUE FUND C	0.00	1.31	0.00	1.31	0.0	0.00
LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.20	0.00	1.20	0.0	0.00
LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.96	0.00	1.96	0.0	0.00
RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.85	0.00	16.85	0.0	0.00
RUMBLE TRUST, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.75	0.00	7.75	0.0	0.00
LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.31	0.00	1.31	0.0	0.00
BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	5.04	0.00	5.04	0.0	0.00
JAHNCKE, JUDY	CALAMOS GROWTH A	0.00	3.72	0.00	3.72	0.0	0.00
RUMBLE TRUST, JUDITH	CALAMOS GROWTH C	0.00	38.37	0.00	38.37	0.0	0.00
JAHNCKE, JUDY	DREYFUS RESEARCH GROWTH FUND CLASS	0.00	0.63	0.00	0.63	0.0	0.00
JAHNCKE, JUDY	EAGLE CAPITAL APPRECIATION FUND CLA	0.00	2.00	0.00	2.00	0.0	0.00
STOCKER TRUST, MARTHA	EATON VANCE FLOATING-RATE ADVANTAGE	0.00	7.60	0.00	7.60	0.0	0.00
RUMBLE TRUST, JUDITH	FEDERATED EQUITY INCOME FUND CLASS	0.00	19.46	0.00	19.46	0.0	0.00
RUMBLE TRUST, JUDITH	FORWARD REAL ESTATE LONG/SHORT FUND	0.00	1.65	0.00	1.65	0.0	0.00
RUMBLE TRUST, JUDITH	FORWARD SELECT INCOME FUND CLASS C	0.00	22.66	0.00	22.66	0.0	0.00
STOCKER TRUST, MARTHA	FORWARD SELECT INCOME FUND CLASS C	0.00	13.78	0.00	13.78	0.0	0.00
RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.28	0.00	3.28	0.0	0.00
RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.83	0.00	9.83	0.0	0.00
RUMBLE TRUST, JUDITH	INVESCO ENERGY FUND CLASS C	0.00	31.48	0.00	31.48	0.0	0.00
STOCKER TRUST, MARTHA	INVESCO SENIOR LOAN FUND CLASS IC	0.00	16.30	0.00	16.30	0.0	0.00
BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	0.06	0.00	0.06	0.0	0.00
BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	12.36	0.00	12.36	0.0	0.00
STOCKER TRUST, MARTHA	MAINSTAY HIGH YIELD CORP BOND A	0.00	0.06	0.00	0.06	0.0	0.00
JAHNCKE, JUDY	PUTNAM INVESTORS A	0.00	0.52	0.00	0.52	0.0	0.00
	BRINSER, LISA BRINSER, LISA JAHNCKE, JUDY LOTT FAMILY TRUST LOTT FAMILY TRUST RUMBLE TRUST, JUDITH RUMBLE TRUST, JUDITH LOTT FAMILY TRUST BRINSER, LISA JAHNCKE, JUDY RUMBLE TRUST, JUDITH JAHNCKE, JUDY RUMBLE TRUST, JUDITH JAHNCKE, JUDY STOCKER TRUST, MARTHA RUMBLE TRUST, JUDITH RUMBLE TRUST, MARTHA BRINSER, LISA BRINSER, LISA BRINSER, LISA STOCKER TRUST, MARTHA	BROKER TRANSACE  FEGORY: MUTUAL FUND 12B1 TRAILS  BRINSER, LISA  BRINSER, LISA  JAHNCKE, JUDY  LOTT FAMILY TRUST  AM FUNDS CAPITAL INCOME BUILDER A  LOTT FAMILY TRUST  AM FUNDS CAPITAL WORLD BOND A  RUMBLE TRUST, JUDITH  AM FUNDS SUROPACIFIC GROWTH FUND C  RUMBLE TRUST, JUDITH  AM FUNDS INCOME FUND OF AMERICA A  BRINSER, LISA  JAHNCKE, JUDY  CALAMOS GROWTH A  RUMBLE TRUST, JUDITH  CALAMOS GROWTH C  JAHNCKE, JUDY  JAHNCKE, JUDY  DREYFUS RESEARCH GROWTH FUND CLASS  STOCKER TRUST, JUDITH  RUMBLE TRUST, J	### BROKER TRANSACTIONS    PEGORY: MUTUAL FUND 12B1 TRAILS	BROKER TRANSACTIONS	BROKER TRANSACTIONS	### PROKER TRANSACTIONS    PROGRY: MUTUAL FUND 12B1 TRAILS	### BROKER TRANSACTIONS  ### DEFINISER, LISA ALLIANCEBERNSTEIN GROWTH AND INC A 0.00 1.65 0.00 1.65 0.00  ### PAINSER, LISA ALLIANCEBERNSTEIN GROWTH AND INC A 0.00 1.24 0.00 1.24 0.00  ### JABHNCKE, JUDY ALLIANZGI NFJ MID- CAP VALUE FUND C 0.00 1.31 0.00 1.31 0.00  ### LOTT FAMILY TRUST AM FUNDS CAPITAL INCOME BUILDER A 0.00 1.20 0.00 1.20 0.00 1.20  ### LOTT FAMILY TRUST AM FUNDS CAPITAL WORLD BOND A 0.00 1.685 0.00 1.685 0.00  ### LOTT FAMILY TRUST AM FUNDS EUROPACIFIC GROWTH FUND C 0.00 16.85 0.00 16.85 0.00  ### RUMBLE TRUST, JUDITH AM FUNDS INCOME FUND OF AMERICA A 0.00 7.75 0.00 7.75 0.00  ### RUMBLE TRUST, JUDITH AM FUNDS INCOME FUND OF AMERICA A 0.00 1.31 0.00 1.31 0.00  ### BRINSER, LISA CALAMOS GROWTH FUND C AMERICA A 0.00 3.72 0.00 5.04 0.00  ### BRINSER, LISA CALAMOS GROWTH G INCOME C 0.00 38.37 0.00 38.37 0.00  ### BURNELE TRUST, JUDITH CALAMOS GROWTH E INCOME C 0.00 38.37 0.00 38.37 0.00  ### BURNELE TRUST, JUDITH CALAMOS GROWTH FUND CLAS 0.00 0.63 0.00 0.63 0.00  ### BURNELE TRUST, JUDITH CALAMOS GROWTH FUND CLAS 0.00 0.00 0.00 0.00 0.00  ### BURNELE TRUST, JUDITH FEDERATED EQUITY INCOME FUND CLASS 0.00 0.00 0.00 0.00 0.00  ### BURNELE TRUST, JUDITH FEDERATED EQUITY INCOME FUND CLASS 0.00 19.46 0.00 19.46 0.00  ### BURNELE TRUST, JUDITH FORWARD SELECT INCOME FUND CLASS 0.00 19.46 0.00 19.46 0.00  ### BURNELE TRUST, JUDITH FORWARD SELECT INCOME FUND CLASS 0.00 13.78 0.00 13.78 0.00  ### BURNELE TRUST, JUDITH FORWARD SELECT INCOME FUND CLASS 0.00 13.78 0.00 13.78 0.00  ### BURNELE TRUST, JUDITH GRIFFIN INST'L ACCESS R E FUND C 0.00 3.28 0.00 3.28 0.00 3.28 0.00  ### BURNELE TRUST, JUDITH GRIFFIN INST'L ACCESS R E FUND C 0.00 3.148 0.00 31.48 0.00  ### BURNELE TRUST, JUDITH GRIFFIN INST'L ACCESS R E FUND C 0.00 31.48 0.00 31.48 0.00  ### BURNELE TRUST, JUDITH GRIFFIN INST'L ACCESS R E FUND C 0.00 31.48 0.00 31.48 0.00 31.48 0.00  ### BURNELE TRUST, JUDITH GRIFFIN INST'L ACCESS R E FUND C 0.00 31.48 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

 $<sup>\</sup>ensuremath{^{\star\star}}$  - Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUND 12B	1 TRAILS						
07/17/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.65	0.00	0.65	0.0	0.00
07/17/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	1.96	0.00	1.96	0.0	0.00
07/20/2017	RUMBLE TRUST, JUDITH	SIERRA CORE RETIREMENT FUND CLASS C	0.00	8.76	0.00	8.76	0.0	0.00
07/13/2017	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	3.21	0.00	3.21	0.0	0.00
07/14/2017	STOCKER TRUST, MARTHA	THORNBURG INT'L VALUE A	0.00	10.27	0.00	10.27	0.0	0.00
07/14/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	2.11	0.00	2.11	0.0	0.00
07/14/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	4.58	0.00	4.58	0.0	0.00
07/14/2017	JAHNCKE, JUDY	THORNBURG INVESTMENT INCOME BUILDER	0.00	1.34	0.00	1.34	0.0	0.00
07/14/2017	JAHNCKE, JUDY	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.49	0.00	0.49	0.0	0.00
07/14/2017	JAHNCKE, JUDY	THORNBURG VALUE CL A	0.00	1.99	0.00	1.99	0.0	0.00
07/14/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	2.36	0.00	2.36	0.0	0.00
07/14/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	7.36	0.00	7.36	0.0	0.00
07/14/2017	JAHNCKE TRUST, JUDY	THORNBURG VALUE CLASS C	0.00	1.98	0.00	1.98	0.0	0.00
07/14/2017	JAHNCKE, JUDY	THORNBURG VALUE CLASS C	0.00	27.65	0.00	27.65	0.0	0.00
07/14/2017	RUMBLE TRUST, JUDITH	THORNBURG VALUE CLASS C	0.00	32.58	0.00	32.58	0.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$329.36	\$0.00	\$329.36		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$329.36	\$0.00	\$329.36		\$0.00

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

## BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,525.86
Year-to-date Earnings	\$0.00