



Concorde Investment Services, LLC

03/14/2017
Page No. 1

COMMISSION STATEMENT **March 15, 2017**

DAVE/MIKE ZUPEK/VENTURINI
5350 Vicksburg Dr.

BROKER #: Z117 Terminated 05/15/2015
BRANCH: TADA WEALTH ADVISORS

Racine, WI 53403-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/21/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	0.00
03/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	0.54	0.00	0.54	0.0	0.00
03/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	12.18	0.00	12.18	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	0.09	0.00	0.09	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	0.06	0.00	0.06	0.0	0.00
02/21/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	0.00
03/01/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	8.06	0.00	8.06	0.0	0.00
03/03/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	19.54	0.00	19.54	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	12.91	0.00	12.91	0.0	0.00
03/01/2017	VASSH, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	0.0	0.00
03/01/2017	VASSH, LYNN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.11	0.00	0.11	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.07	0.00	0.07	0.0	0.00
02/21/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.00
03/01/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.99	0.00	0.99	0.0	0.00
03/01/2017	THOMPSON, TERRY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.49	0.00	0.49	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.11	0.00	0.11	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.06	0.00	0.06	0.0	0.00
02/21/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.00
03/01/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.93	0.00	0.93	0.0	0.00
03/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.48	0.00	0.48	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	0.04	0.00	0.04	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	0.03	0.00	0.03	0.0	0.00
02/21/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.00
03/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.25	0.00	0.25	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.96	0.00	0.96	0.0	0.00
03/01/2017	THOMPSON, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.09	0.00	0.09	0.0	0.00
03/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.07	0.00	0.07	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$275.00	\$66.90	\$0.00	\$66.90		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/06/2017	RACK, JOAN	ING LANDMARK	0.00	648.09	0.00	648.09	0.0	0.00
03/07/2017	JARVIS, RICHARD	ING LANDMARK	0.00	628.36	0.00	628.36	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,276.45	\$0.00	\$1,276.45		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$275.00	\$1,343.35	\$0.00	\$1,343.35		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,085.86
Year-to-date Earnings	\$0.00

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