



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2017**

01/27/2017  
Page No. 1

DAVID ZUPEK  
6226 Bankers Rd.  
Ste. 4  
Racine, WI 53403

BROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
12/22/2016	HAMILTON, RONALD	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/21/2017	MUELLER, THOMAS	AM GEN POLARIS CHOICE III	37,061.84	138.98	0.00	138.98	90.0	125.08
01/17/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
01/03/2017	DEHAHN, JAMES	METLIFE SERIES L	64,745.35	161.86	0.00	161.86	90.0	145.67
01/03/2017	THOMPSON, TERRY	METLIFE SERIES L	3,381.05	8.45	0.00	8.45	90.0	7.61
01/03/2017	CHAPMAN, GINGER	METLIFE SERIES L	14,384.09	35.96	0.00	35.96	90.0	32.36
01/17/2017	HUDEK, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
		* VARIABLE ANNUITIES SUBTOTAL *	\$119,922.33	\$356.50	\$0.00	\$356.50		\$320.85
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/11/2017	JOHNSON, ROBERT	ADV CENTURY	0.00	70.70	0.00	70.70	90.0	63.63
01/11/2017	HOOTEN, ROBERT	ING ACCESS	0.00	352.10	0.00	352.10	90.0	316.89
01/16/2017	BRUNNER, KENNETH	ING GOLDEN SELECT DVA PLUS	0.00	135.65	0.00	135.65	90.0	122.09
01/11/2017	JOHNSON, ROBERT	ING LANDMARK	0.00	50.68	0.00	50.68	90.0	45.61
01/11/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	82.30	0.00	82.30	90.0	74.07
01/11/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	123.51	0.00	123.51	90.0	111.16
01/11/2017	HARTMAN, EDWARD	ING LANDMARK	0.00	209.59	0.00	209.59	90.0	188.63
01/11/2017	FALBO, LEWIS	ING LANDMARK	0.00	438.00	0.00	438.00	90.0	394.20
01/11/2017	FALBO, LEWIS	ING LANDMARK	0.00	395.20	0.00	395.20	90.0	355.68
01/11/2017	FALBO, LEWIS	ING LANDMARK	0.00	469.45	0.00	469.45	90.0	422.51
01/18/2017	BOLAND, TERRENCE	ING PREMIUM PLUS	0.00	110.99	0.00	110.99	90.0	99.89
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$2,438.17	\$0.00	\$2,438.17		\$2,194.36
		*** BROKER TRANSACTIONS TOTAL ***	\$169,922.33	\$6,294.67	\$0.00	\$6,294.67		\$5,665.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u></b>								
01/03/2017	DENEK, SABINA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.12	0.00	0.12	90.0	0.11
01/03/2017	STELMASIAK, BERENIKA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
01/03/2017	KOENIG, ANNA	MFS AGGRESSIVE GRWTH ALLOC FD-529B	0.00	0.10	0.00	0.10	90.0	0.09
01/10/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	17.83	0.06	0.00	0.06	90.0	0.05
01/03/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	0.00	0.15	0.00	0.15	90.0	0.14
01/03/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	0.00	0.47	0.00	0.47	90.0	0.42
01/03/2017	MARSZALEK, ZOFIA	MFS BLENDED RESEARCH CORE FD B	0.00	0.66	0.00	0.66	90.0	0.59
01/03/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	0.00	0.14	0.00	0.14	90.0	0.13
01/03/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	0.00	0.34	0.00	0.34	90.0	0.31
01/03/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	0.00	0.35	0.00	0.35	90.0	0.32
01/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
01/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
01/17/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
01/03/2017	DABROWSKI, PAUL	MFS BOND FUND B	0.00	0.47	0.00	0.47	90.0	0.42
01/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
01/03/2017	SWIDERSKA, HELENA	MFS CONSERVATIVE ALLOCATION A	0.00	0.91	0.00	0.91	90.0	0.82
01/03/2017	STRZECKA, SYLWIA	MFS CONSERVATIVE ALLOCATION B	0.00	0.04	0.00	0.04	90.0	0.04
01/03/2017	SZWEDO, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.03	0.00	0.03	90.0	0.03
01/20/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
01/03/2017	DENEK, SABINA	MFS DISCOVERY B	0.00	0.11	0.00	0.11	90.0	0.10
01/03/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	0.00	3.76	0.00	3.76	90.0	3.38
01/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
01/20/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	1.51	0.00	1.51	90.0	1.36
01/03/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.31	0.00	0.31	90.0	0.28
01/03/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	0.00	0.32	0.00	0.32	90.0	0.29
01/03/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.01	0.00	0.01	90.0	0.01
01/05/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	3.75	0.05	0.00	0.05	90.0	0.05
01/05/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	12.50	0.18	0.00	0.18	90.0	0.16
01/05/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	8.70	0.12	0.00	0.12	90.0	0.11
01/05/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	14.50	0.20	0.00	0.20	90.0	0.18
01/05/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	9.75	0.14	0.00	0.14	90.0	0.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u></b>								
01/05/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	9.75	0.14	0.00	0.14	90.0	0.13
01/10/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	74.76	1.05	0.00	1.05	90.0	0.95
01/10/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	709.50	9.93	0.00	9.93	90.0	8.94
01/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	0.70	0.00	0.70	90.0	0.63
01/03/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	0.00	0.39	0.00	0.39	90.0	0.35
01/03/2017	STRZECKA, SYLWIA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
01/03/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	0.00	0.46	0.00	0.46	90.0	0.41
01/03/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	0.00	0.33	0.00	0.33	90.0	0.30
01/03/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	0.00	0.34	0.00	0.34	90.0	0.31
01/03/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	SZWEDO, MALGORZATA	MFS GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	90.0	0.03
01/03/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION B	0.00	0.52	0.00	0.52	90.0	0.47
01/05/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	3.75	0.05	0.00	0.05	90.0	0.05
01/05/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	12.50	0.18	0.00	0.18	90.0	0.16
01/05/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	8.70	0.12	0.00	0.12	90.0	0.11
01/05/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	14.50	0.20	0.00	0.20	90.0	0.18
01/05/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	9.75	0.14	0.00	0.14	90.0	0.13
01/05/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	9.75	0.14	0.00	0.14	90.0	0.13
01/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
01/10/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	30.65	0.43	0.00	0.43	90.0	0.39
01/10/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	51.09	0.71	0.00	0.71	90.0	0.64
01/10/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	74.76	1.05	0.00	1.05	90.0	0.95
01/10/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	709.50	9.93	0.00	9.93	90.0	8.94
01/03/2017	ZGAJEWSKI, BARBARA	MFS GROWTH ALLOCATION C	0.00	1.40	0.00	1.40	90.0	1.26
01/17/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95
01/10/2017	WIKAR, HALINA	MFS GROWTH C	17.83	0.06	0.00	0.06	90.0	0.05
01/03/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.12	0.00	0.12	90.0	0.11
01/03/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.12	0.00	0.12	90.0	0.11
01/03/2017	CHOWANIEC, WOJCIECH	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.51	0.00	0.51	90.0	0.46
01/05/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	50.00	0.70	0.00	0.70	90.0	0.63
01/05/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	50.00	0.70	0.00	0.70	90.0	0.63

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</b>								
01/10/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	30.65	0.43	0.00	0.43	90.0	0.39
01/10/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	51.08	0.71	0.00	0.71	90.0	0.64
01/03/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	0.00	0.40	0.00	0.40	90.0	0.36
01/10/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	106.95	0.37	0.00	0.37	90.0	0.33
01/03/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	0.00	0.41	0.00	0.41	90.0	0.37
01/20/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
01/03/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	0.00	3.68	0.00	3.68	90.0	3.31
01/03/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	0.00	3.89	0.00	3.89	90.0	3.50
01/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42
01/10/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	35.66	0.13	0.00	0.13	90.0	0.12
01/03/2017	MEI, JENNIFER	MFS RESEARCH B	0.00	0.41	0.00	0.41	90.0	0.37
01/03/2017	DENEK, SABINA	MFS UTILITIES B	0.00	0.15	0.00	0.15	90.0	0.14
01/03/2017	MEI, JENNIFER	MFS UTILITIES B	0.00	0.34	0.00	0.34	90.0	0.31
01/03/2017	STRZECKA, SYLWIA	MFS UTILITIES B	0.00	0.06	0.00	0.06	90.0	0.05
01/03/2017	STELMASIAK, BERENIKA	MFS UTILITIES B	0.00	0.02	0.00	0.02	90.0	0.02
01/03/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	0.00	0.12	0.00	0.12	90.0	0.11
01/03/2017	DABROWSKI, PAUL	MFS UTILITIES B	0.00	0.40	0.00	0.40	90.0	0.36
01/03/2017	GUTT, MARTIN	MFS UTILITIES B	0.00	0.13	0.00	0.13	90.0	0.12
01/03/2017	WALCZYK, ANNA	MFS UTILITIES B	0.00	0.31	0.00	0.31	90.0	0.28
01/03/2017	WALCZYK, MAREK	MFS UTILITIES B	0.00	0.32	0.00	0.32	90.0	0.29
01/03/2017	WALCZYK, SABRINA	MFS UTILITIES B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	FELINSKI, DOROTA	MFS UTILITIES B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	SZWEDO, MALGORZATA	MFS UTILITIES B	0.00	0.03	0.00	0.03	90.0	0.03
01/03/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	0.00	0.15	0.00	0.15	90.0	0.14
01/03/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	0.00	0.15	0.00	0.15	90.0	0.14
01/03/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES B	0.00	0.49	0.00	0.49	90.0	0.44
01/05/2017	WALCZYK, SABRINA	MFS UTILITIES B	3.75	0.05	0.00	0.05	90.0	0.05
01/05/2017	WALCZYK, SABRINA	MFS UTILITIES B	12.50	0.18	0.00	0.18	90.0	0.16
01/05/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	8.70	0.12	0.00	0.12	90.0	0.11
01/05/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	14.50	0.20	0.00	0.20	90.0	0.18
01/05/2017	FELINSKI, DOROTA	MFS UTILITIES B	9.75	0.14	0.00	0.14	90.0	0.13
01/05/2017	FELINSKI, DOROTA	MFS UTILITIES B	9.75	0.14	0.00	0.14	90.0	0.13

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u></b>								
01/05/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	50.00	0.70	0.00	0.70	90.0	0.63
01/05/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	50.00	0.70	0.00	0.70	90.0	0.63
01/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
01/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
01/10/2017	WIKAR, SYLVIA	MFS UTILITIES B	30.65	0.43	0.00	0.43	90.0	0.39
01/10/2017	WIKAR, SYLVIA	MFS UTILITIES B	51.09	0.71	0.00	0.71	90.0	0.64
01/17/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
01/20/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	1.51	0.00	1.51	90.0	1.36
01/03/2017	ZGAJEWSKI, BARBARA	MFS UTILITIES C	0.00	1.32	0.00	1.32	90.0	1.19
01/03/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	0.00	7.13	0.00	7.13	90.0	6.42
01/03/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	0.00	6.55	0.00	6.55	90.0	5.90
01/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
01/03/2017	STELMASIAK, BERENIKA	MFS VALUE B	0.00	0.02	0.00	0.02	90.0	0.02
01/03/2017	WALCZYK, ANNA	MFS VALUE B	0.00	0.35	0.00	0.35	90.0	0.32
01/03/2017	WALCZYK, MAREK	MFS VALUE B	0.00	0.36	0.00	0.36	90.0	0.32
01/03/2017	WALCZYK, SABRINA	MFS VALUE B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	FELINSKI, DOROTA	MFS VALUE B	0.00	0.01	0.00	0.01	90.0	0.01
01/03/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	0.00	0.13	0.00	0.13	90.0	0.12
01/03/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	0.00	0.13	0.00	0.13	90.0	0.12
01/03/2017	CHOWANIEC, WOJCIECH	MFS VALUE B	0.00	0.55	0.00	0.55	90.0	0.50
01/05/2017	WALCZYK, SABRINA	MFS VALUE B	3.75	0.05	0.00	0.05	90.0	0.05
01/05/2017	WALCZYK, SABRINA	MFS VALUE B	12.50	0.18	0.00	0.18	90.0	0.16
01/05/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	8.70	0.12	0.00	0.12	90.0	0.11
01/05/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	14.50	0.20	0.00	0.20	90.0	0.18
01/05/2017	FELINSKI, DOROTA	MFS VALUE B	9.75	0.14	0.00	0.14	90.0	0.13
01/05/2017	FELINSKI, DOROTA	MFS VALUE B	9.75	0.14	0.00	0.14	90.0	0.13
01/05/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	50.00	0.70	0.00	0.70	90.0	0.63
01/05/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	50.00	0.70	0.00	0.70	90.0	0.63
01/10/2017	WIKAR, SYLVIA	MFS VALUE B	30.65	0.43	0.00	0.43	90.0	0.39
01/10/2017	WIKAR, SYLVIA	MFS VALUE B	51.09	0.71	0.00	0.71	90.0	0.64
01/10/2017	SZAJEWSKI, REGINA	MFS VALUE B	77.02	1.08	0.00	1.08	90.0	0.97
01/10/2017	SZAJEWSKI, REGINA	MFS VALUE B	731.00	10.23	0.00	10.23	90.0	9.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u></b>								
01/17/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
01/20/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	1.51	0.00	1.51	90.0	1.36
01/03/2017	WALUSZKO, SYLWIA	MFS VALUE C	0.00	4.23	0.00	4.23	90.0	3.81
01/03/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	0.00	1.30	0.00	1.30	90.0	1.17
<b>* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *</b>			<b>\$5,555.56</b>	<b>\$114.33</b>	<b>\$0.00</b>	<b>\$114.33</b>		<b>\$103.10</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$5,555.56</b>	<b>\$114.33</b>	<b>\$0.00</b>	<b>\$114.33</b>		<b>\$103.10</b>

<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK HUBER #89</u></b>								
12/31/2016	COTE JT TEN, CAROL	TOTAL INCOME & REAL ESTATE FUND A	0.00	13.50	0.00	13.50	0.0	12.15
<b>* MARK HUBER SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.50</b>	<b>\$0.00</b>	<b>\$13.50</b>		<b>\$12.15</b>
<b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>								
12/31/2016	GRANZYK, KATHLEEN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	75.41	0.00	75.41	0.0	67.87
01/10/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	356.55	6.24	0.00	6.24	0.0	5.62
12/31/2016	CIPPARRONE, NANCY	TOTAL INCOME & REAL ESTATE FUND A	0.00	4.19	0.00	4.19	0.0	3.77
12/31/2016	NARDINI TRUST, RONALD	TOTAL INCOME & REAL ESTATE FUND A	0.00	5.10	0.00	5.10	0.0	4.59
12/31/2016	NARDINI TRUST, BARBARA	TOTAL INCOME & REAL ESTATE FUND A	0.00	5.10	0.00	5.10	0.0	4.59
12/31/2016	NARDINI, BARBARA	TOTAL INCOME & REAL ESTATE FUND A	0.00	19.27	0.00	19.27	0.0	17.34
12/31/2016	NARDINI, RONALD	TOTAL INCOME & REAL ESTATE FUND A	0.00	20.62	0.00	20.62	0.0	18.56
<b>* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *</b>			<b>\$356.55</b>	<b>\$135.93</b>	<b>\$0.00</b>	<b>\$135.93</b>		<b>\$122.34</b>

<b><u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u></b>								
01/09/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
01/17/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
01/09/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
01/09/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
01/09/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
01/11/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	15.67	0.00	15.67	0.0	14.10
01/11/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	2.47	0.00	2.47	0.0	2.22
01/11/2017	VILLASENOR, ANA MARIE	ING PREMIUM PLUS	0.00	2.17	0.00	2.17	0.0	1.95
01/11/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	15.67	0.00	15.67	0.0	14.10
01/11/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	2.47	0.00	2.47	0.0	2.22

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u></b>								
01/11/2017	VILLASENOR, ANA MARIE	ING PREMIUM PLUS	0.00	2.17	0.00	2.17	0.0	1.95
01/03/2017	KISSH, BARBARA	MFS CORE EQUITY A	0.00	1.40	0.00	1.40	0.0	1.26
<b> * DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL * </b>			<b>\$225.00</b>	<b>\$47.53</b>	<b>\$0.00</b>	<b>\$47.53</b>		<b>\$42.75</b>
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
12/31/2016	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.37	0.00	8.37	55.0	2.93
12/31/2016	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.37	0.00	8.37	55.0	2.93
12/31/2016	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.25	0.00	6.25	55.0	2.19
12/31/2016	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.25	0.00	6.25	55.0	2.19
01/03/2017	WIKAR, HALINA	MFS LIFETIME 2050 FUND C	0.00	43.09	0.00	43.09	55.0	15.08
01/03/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	4.34	0.00	4.34	55.0	1.52
01/03/2017	WIKAR, MAGDALENA	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	2.43	0.00	2.43	55.0	0.85
01/03/2017	TARCZYNSKI, LESTER	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	24.46	0.00	24.46	55.0	8.56
01/03/2017	KWAK, ANNA	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	18.65	0.00	18.65	55.0	6.53
01/03/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	0.00	6.34	0.00	6.34	55.0	2.22
01/03/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	8.43	0.00	8.43	55.0	2.95
01/03/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	0.00	2.33	0.00	2.33	55.0	0.82
01/03/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	6.94	0.00	6.94	55.0	2.43
01/03/2017	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	0.00	0.93	0.00	0.93	55.0	0.33
01/03/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	0.00	5.13	0.00	5.13	55.0	1.80
01/03/2017	MADRZYK, PIOTR	MFS BLENDED RESEARCH CORE C	0.00	3.37	0.00	3.37	55.0	1.18
01/03/2017	PIETRZYK, JAN	MFS BLENDED RESEARCH CORE C	0.00	6.49	0.00	6.49	55.0	2.27
01/03/2017	PIETRZYK, DOROTA	MFS BLENDED RESEARCH CORE C	0.00	6.49	0.00	6.49	55.0	2.27
01/03/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	0.00	1.18	0.00	1.18	55.0	0.41
01/10/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	81.90	0.82	0.00	0.82	55.0	0.29
01/10/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	273.00	2.73	0.00	2.73	55.0	0.96
01/10/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	62.30	0.62	0.00	0.62	55.0	0.22
01/10/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	436.83	4.37	0.00	4.37	55.0	1.53
01/03/2017	KURILO SEP PLAN, EVA	MFS BLENDED RESEARCH CORE FD B	0.00	4.33	0.00	4.33	55.0	1.52
01/03/2017	GRESZTA, LIDIA	MFS BOND FUND B	0.00	4.23	0.00	4.23	55.0	1.48
01/03/2017	KURILO SEP PLAN, EVA	MFS BOND FUND B	0.00	6.90	0.00	6.90	55.0	2.42
01/03/2017	ZGAJEWSKI, MARIUSZ	MFS BOND FUND B	0.00	1.66	0.00	1.66	55.0	0.58
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.36	0.00	0.36	55.0	0.13
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.72	0.00	0.72	55.0	0.25

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
01/03/2017	MROCZKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION A	0.00	20.78	0.00	20.78	55.0	7.27
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	1.38	0.00	1.38	55.0	0.48
01/03/2017	MROCZKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION B	0.00	1.68	0.00	1.68	55.0	0.59
01/03/2017	DANEK MD SEP PLAN, BEATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.93	0.00	0.93	55.0	0.33
01/03/2017	JUSCINSKA, AGNIESZKA	MFS CONSERVATIVE ALLOCATION B	0.00	5.35	0.00	5.35	55.0	1.87
01/03/2017	JARZAREK, MAGDALENA	MFS CONSERVATIVE ALLOCATION B	0.00	2.55	0.00	2.55	55.0	0.89
01/03/2017	KURILO SEP PLAN, EVA	MFS CONSERVATIVE ALLOCATION B	0.00	1.10	0.00	1.10	55.0	0.39
01/03/2017	KONIECZNY, EWA	MFS CONSERVATIVE ALLOCATION FUND C	0.00	7.05	0.00	7.05	55.0	2.47
01/03/2017	MROCZKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION FUND C	0.00	6.97	0.00	6.97	55.0	2.44
01/03/2017	CCJ CONSULTING CO SEP PLA	MFS CORE EQUITY A	0.00	1.20	0.00	1.20	55.0	0.42
01/03/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY A	0.00	1.98	0.00	1.98	55.0	0.69
01/03/2017	BOSOWSKI, EWA	MFS CORE EQUITY C	0.00	14.44	0.00	14.44	55.0	5.05
01/03/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY C	0.00	11.10	0.00	11.10	55.0	3.89
01/03/2017	ZGAJEWSKI, MARIUSZ	MFS DISCOVERY B	0.00	2.28	0.00	2.28	55.0	0.80
01/03/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	0.00	14.39	0.00	14.39	55.0	5.04
01/03/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	0.00	8.78	0.00	8.78	55.0	3.07
01/03/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	0.00	28.97	0.00	28.97	55.0	10.14
01/03/2017	KOZIOL SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	8.26	0.00	8.26	55.0	2.89
01/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	1.19	0.00	1.19	55.0	0.42
01/03/2017	KOZIOL SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	51.49	0.00	51.49	55.0	18.02
01/03/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	0.00	1.30	0.00	1.30	55.0	0.46
01/03/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	0.00	5.22	0.00	5.22	55.0	1.83
01/03/2017	CHOWANIEC, WOJCIECH	MFS DIVERSIFIED INCOME FUND C	0.00	13.44	0.00	13.44	55.0	4.70
01/03/2017	MADRZYK, PIOTR	MFS DIVERSIFIED INCOME FUND C	0.00	3.35	0.00	3.35	55.0	1.17
01/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	1.19	0.00	1.19	55.0	0.42
01/06/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
01/10/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	62.28	0.62	0.00	0.62	55.0	0.22
01/10/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	436.83	4.37	0.00	4.37	55.0	1.53
01/10/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	81.90	0.82	0.00	0.82	55.0	0.29
01/10/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	273.00	2.73	0.00	2.73	55.0	0.96
01/10/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	35.66	0.36	0.00	0.36	55.0	0.13
01/13/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
01/20/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
01/03/2017	MALYSZ TRUCKING INC SEP P	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.64	0.00	16.64	55.0	5.82
01/03/2017	WAL, SEBASTIAN	MFS EMERGINF MARKETS EQUITY FUND C	0.00	2.86	0.00	2.86	55.0	1.00
01/03/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	12.53	0.00	12.53	55.0	4.39
01/03/2017	THOMAS, SYLVIA	MFS EMERGINF MARKETS EQUITY FUND C	0.00	11.29	0.00	11.29	55.0	3.95
01/03/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	6.99	0.00	6.99	55.0	2.45
01/03/2017	SAINSKI, ZYGMUNT	MFS EMERGING MARKETS DEBT FUND A	0.00	1.43	0.00	1.43	55.0	0.50
01/03/2017	SAINSKI, ELEZBIETA	MFS EMERGING MARKETS DEBT FUND A	0.00	1.06	0.00	1.06	55.0	0.37
01/03/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	0.00	2.89	0.00	2.89	55.0	1.01
01/03/2017	VITALIDEX CORP SEP PLAN	MFS EMERGING MARKETS DEBT FUND B	0.00	4.79	0.00	4.79	55.0	1.68
01/03/2017	KURILO SEP PLAN, EVA	MFS EMERGING MARKETS DEBT FUND B	0.00	2.25	0.00	2.25	55.0	0.79
01/03/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MARKETS EQUITY A	0.00	1.48	0.00	1.48	55.0	0.52
01/03/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	0.00	22.81	0.00	22.81	55.0	7.98
01/03/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	0.00	10.22	0.00	10.22	55.0	3.58
01/03/2017	WIKAR, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.47	0.00	3.47	55.0	1.21
01/03/2017	SYNOWIEC, LESZEK	MFS EMERGING MKTS DEBT FUND C	0.00	8.20	0.00	8.20	55.0	2.87
01/03/2017	SYNOWIEC, JADWIGA	MFS EMERGING MKTS DEBT FUND C	0.00	12.31	0.00	12.31	55.0	4.31
01/03/2017	MARSZALEK, ZOFIA	MFS EMERGING MKTS DEBT FUND C	0.00	9.77	0.00	9.77	55.0	3.42
01/03/2017	KOPERDOWSKI, BEATA	MFS EMERGING MKTS DEBT FUND C	0.00	8.42	0.00	8.42	55.0	2.95
01/03/2017	KOPERDOWSKI, PIOTR	MFS EMERGING MKTS DEBT FUND C	0.00	8.42	0.00	8.42	55.0	2.95
01/03/2017	KOZIOL SIMPLE PLAN,	MFS EMERGING MKTS DEBT FUND C	0.00	31.43	0.00	31.43	55.0	11.00
01/03/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	19.81	0.00	19.81	55.0	6.93
01/03/2017	GRESZTA, LIDIA	MFS EMERGING MKTS DEBT FUND C	0.00	57.18	0.00	57.18	55.0	20.01
01/03/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	0.00	10.52	0.00	10.52	55.0	3.68
01/03/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	10.53	0.00	10.53	55.0	3.69
01/03/2017	BECKER, KARINA	MFS EMERGING MKTS DEBT FUND C	0.00	9.17	0.00	9.17	55.0	3.21
01/03/2017	PIETRZYK, JAN	MFS EMERGING MKTS DEBT FUND C	0.00	7.57	0.00	7.57	55.0	2.65
01/03/2017	PIETRZYK, DOROTA	MFS EMERGING MKTS DEBT FUND C	0.00	9.65	0.00	9.65	55.0	3.38
01/03/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	22.05	0.00	22.05	55.0	7.72
01/03/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	17.75	0.00	17.75	55.0	6.21
01/03/2017	KOPYTKO, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	1.39	0.00	1.39	55.0	0.49
01/03/2017	ROMANEK, ELIZABETH	MFS EMERGING MKTS DEBT FUND C	0.00	5.03	0.00	5.03	55.0	1.76
01/03/2017	GRZEDA, ZBIGNIEW	MFS EMERGING MKTS DEBT FUND C	0.00	28.31	0.00	28.31	55.0	9.91
01/03/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	0.00	24.81	0.00	24.81	55.0	8.68

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
01/03/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	17.16	0.00	17.16	55.0	6.01
01/03/2017	ZIEMBINSKI, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	7.93	0.00	7.93	55.0	2.78
01/03/2017	MALYSZ (A MINOR), MICHALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.83	0.00	3.83	55.0	1.34
01/03/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	14.76	0.00	14.76	55.0	5.17
01/03/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	0.00	4.73	0.00	4.73	55.0	1.66
01/09/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
01/10/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	61.43	0.61	0.00	0.61	55.0	0.21
01/10/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	204.75	2.05	0.00	2.05	55.0	0.72
01/17/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
01/20/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/20/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/23/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
01/03/2017	KURILO SEP PLAN, EVA	MFS GLOBAL ALTERNATIVE STRATEGY FD	0.00	0.90	0.00	0.90	55.0	0.32
01/03/2017	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	0.00	147.67	0.00	147.67	55.0	51.68
01/03/2017	MALYSZ, JERZY	MFS GLOBAL NEW DISCOVERY FUND C	0.00	18.05	0.00	18.05	55.0	6.32
01/03/2017	MALYSZ (A MINOR), MICHALINA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	1.15	0.00	1.15	55.0	0.40
01/03/2017	MALYSZ (A MINOR), ANNA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	15.02	0.00	15.02	55.0	5.26
01/03/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	0.00	1.10	0.00	1.10	55.0	0.39
01/11/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	WAL, SEBASTIAN	MFS GOVERNMENT SECURITIES C	0.00	1.65	0.00	1.65	55.0	0.58
01/03/2017	CCJ CONSULTING CO SEP PLA	MFS GROWTH A	0.00	2.27	0.00	2.27	55.0	0.79
01/03/2017	ARCIUCH, ARTUR	MFS GROWTH A	0.00	3.79	0.00	3.79	55.0	1.33
01/03/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	0.00	28.37	0.00	28.37	55.0	9.93
01/03/2017	SAINSKI, ZYGMUNT	MFS GROWTH ALLOCATION A	0.00	1.60	0.00	1.60	55.0	0.56
01/03/2017	SAINSKI, ELEZBIETA	MFS GROWTH ALLOCATION A	0.00	1.24	0.00	1.24	55.0	0.43
01/03/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION A	0.00	6.72	0.00	6.72	55.0	2.35
01/03/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION B	0.00	8.45	0.00	8.45	55.0	2.96
01/03/2017	KURILO SEP PLAN, EVA	MFS GROWTH ALLOCATION B	0.00	0.48	0.00	0.48	55.0	0.17
01/03/2017	MURZANSKI, ANDRZEJ	MFS GROWTH ALLOCATION C	0.00	16.01	0.00	16.01	55.0	5.60
01/03/2017	DZIEWIECKA, TERESA	MFS GROWTH ALLOCATION C	0.00	103.62	0.00	103.62	55.0	36.27
01/03/2017	WIKAR, HALINA	MFS GROWTH ALLOCATION C	0.00	21.46	0.00	21.46	55.0	7.51
01/03/2017	BOSOWSKI, JANUSZ	MFS GROWTH ALLOCATION C	0.00	13.85	0.00	13.85	55.0	4.85
01/03/2017	BOSOWSKI, EWA	MFS GROWTH ALLOCATION C	0.00	13.84	0.00	13.84	55.0	4.84

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
01/03/2017	KOPERDOWSKI, PIOTR	MFS GROWTH ALLOCATION C	0.00	14.29	0.00	14.29	55.0	5.00
01/03/2017	KOPERDOWSKI, BEATA	MFS GROWTH ALLOCATION C	0.00	14.29	0.00	14.29	55.0	5.00
01/03/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	86.03	0.00	86.03	55.0	30.11
01/03/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	36.60	0.00	36.60	55.0	12.81
01/03/2017	SWIDER, JULIA	MFS GROWTH ALLOCATION C	0.00	43.82	0.00	43.82	55.0	15.34
01/03/2017	WAL, SEBASTIAN	MFS GROWTH ALLOCATION C	0.00	5.23	0.00	5.23	55.0	1.83
01/03/2017	ZIEMBINSKI, JACEK	MFS GROWTH ALLOCATION C	0.00	4.11	0.00	4.11	55.0	1.44
01/03/2017	BYSTROWIZ SEP PLAN, MAREK	MFS GROWTH ALLOCATION C	0.00	7.77	0.00	7.77	55.0	2.72
01/03/2017	BUGAJ, ANNA	MFS GROWTH ALLOCATION C	0.00	4.78	0.00	4.78	55.0	1.67
01/03/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION C	0.00	4.69	0.00	4.69	55.0	1.64
01/03/2017	MADRZYK, PIOTR	MFS GROWTH ALLOCATION C	0.00	17.52	0.00	17.52	55.0	6.13
01/03/2017	MURZANSKI, EWA	MFS GROWTH ALLOCATION C	0.00	16.01	0.00	16.01	55.0	5.60
01/24/2017	DZIEWIECKA, TERESA	MFS GROWTH ALLOCATION C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	0.00	1.86	0.00	1.86	55.0	0.65
01/03/2017	DUSZCZYK, ALEC	MFS GROWTH ALLOCATION FUND -529B	0.00	12.84	0.00	12.84	55.0	4.49
01/03/2017	WIKAR, HALINA	MFS GROWTH C	0.00	0.02	0.00	0.02	55.0	0.01
01/03/2017	ZIEMBINSKI, JACEK	MFS GROWTH C	0.00	1.23	0.00	1.23	55.0	0.43
01/03/2017	CHADA, WIESLAW	MFS GROWTH C	0.00	4.11	0.00	4.11	55.0	1.44
01/03/2017	TARCZYNSKI, LESTER	MFS GROWTH C	0.00	0.04	0.00	0.04	55.0	0.01
01/03/2017	MADRZYK, PIOTR	MFS GROWTH C	0.00	0.02	0.00	0.02	55.0	0.01
01/03/2017	KURILO SEP PLAN, EVA	MFS HIGH INCOME FUND B	0.00	1.61	0.00	1.61	55.0	0.56
01/03/2017	VITALIDEX CORP SEP PLAN	MFS HIGH YIELD OPP FUND-B	0.00	2.95	0.00	2.95	55.0	1.03
01/03/2017	GRESZTA, LIDIA	MFS INTERNATIONAL DEVERSIFICATION F	0.00	7.67	0.00	7.67	55.0	2.68
01/03/2017	GRESZTA, LIDIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.10	0.00	5.10	55.0	1.79
01/03/2017	SAINSKI, ZYGMUNT	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.14	0.00	1.14	55.0	0.40
01/03/2017	MROCKOWSKI, AGNES	MFS INTERNATIONAL DIVERSIFICATION A	0.00	22.17	0.00	22.17	55.0	7.76
01/03/2017	WIKAR, HALINA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	18.82	0.00	18.82	55.0	6.59
01/03/2017	MURZANSKI, ANDRZEJ	MFS INTERNATIONAL DIVERSIFICATION C	0.00	13.01	0.00	13.01	55.0	4.55
01/03/2017	BUGAJ, ANNA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	2.58	0.00	2.58	55.0	0.90
01/03/2017	GRZEDA, ZBIGNIEW	MFS INTERNATIONAL DIVERSIFICATION C	0.00	1.25	0.00	1.25	55.0	0.44
01/03/2017	ZIEMBINSKI, JACEK	MFS INTERNATIONAL DIVERSIFICATION C	0.00	6.13	0.00	6.13	55.0	2.15
01/03/2017	MADRZYK, PIOTR	MFS INTERNATIONAL DIVERSIFICATION C	0.00	16.69	0.00	16.69	55.0	5.84
01/03/2017	MURZANSKI, EWA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	13.01	0.00	13.01	55.0	4.55

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
01/03/2017	PIETRZYK, JAN	MFS INTERNATIONAL DIVERSIFICATION C	0.00	9.78	0.00	9.78	55.0	3.42
01/03/2017	PIETRZYK, DOROTA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	9.78	0.00	9.78	55.0	3.42
01/03/2017	THOMAS, SYLVIA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	5.08	0.00	5.08	55.0	1.78
01/03/2017	WAL, SEBASTIAN	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.23	0.00	3.23	55.0	1.13
01/03/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	0.00	2.81	0.00	2.81	55.0	0.98
01/10/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	49.84	0.50	0.00	0.50	55.0	0.18
01/03/2017	ZIEMBINSKI, JOANNA	MFS INTERNATIONAL VALUE FUND C	0.00	9.72	0.00	9.72	55.0	3.40
01/03/2017	VITALIDEX CORP SEP PLAN	MFS LIFETIME 2050 FUND B	0.00	5.55	0.00	5.55	55.0	1.94
01/03/2017	REHABILITATION SVS PC SP,	MFS LIFETIME 2050 FUND B	0.00	0.58	0.00	0.58	55.0	0.20
01/03/2017	ZGAJEWSKI, MARIUSZ	MFS LIFETIME 2050 FUND B	0.00	2.65	0.00	2.65	55.0	0.93
01/03/2017	WIKAR, HALINA	MFS LIFETIME RETIREMENT INCOME FUND	0.00	37.19	0.00	37.19	55.0	13.02
01/03/2017	SWIDER, JULIA	MFS LIMITED MATURITY 529A	0.00	0.62	0.00	0.62	55.0	0.22
01/03/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	0.00	2.11	0.00	2.11	55.0	0.74
01/20/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	55.0	0.70
01/03/2017	DZIEWIECKA, TERESA	MFS LOW VOLATILITY GLOBAL EQUITY F	0.00	0.65	0.00	0.65	55.0	0.23
01/03/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	0.00	11.55	0.00	11.55	55.0	4.04
01/03/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	0.00	11.55	0.00	11.55	55.0	4.04
01/23/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/23/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.30	0.00	1.30	55.0	0.46
01/03/2017	BOSOWSKI, JANUSZ	MFS MASS INVESTORS GROWTH STOCK C	0.00	12.99	0.00	12.99	55.0	4.55
01/03/2017	BOSOWSKI, EWA	MFS MASS INVESTORS GROWTH STOCK C	0.00	12.98	0.00	12.98	55.0	4.54
01/03/2017	MARSZALEK, ZOFIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	4.51	0.00	4.51	55.0	1.58
01/03/2017	SYNOWIEC, JADWIGA	MFS MASS INVESTORS GROWTH STOCK C	0.00	6.31	0.00	6.31	55.0	2.21
01/03/2017	KOZIOL SIMPLE PLAN,	MFS MASS INVESTORS GROWTH STOCK C	0.00	74.14	0.00	74.14	55.0	25.95
01/03/2017	SWIDER, JULIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	11.43	0.00	11.43	55.0	4.00
01/03/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS TRUST A	0.00	1.35	0.00	1.35	55.0	0.47
01/03/2017	BOSOWSKI, JANUSZ	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	11.50	0.00	11.50	55.0	4.03
01/03/2017	BOSOWSKI, EWA	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	11.49	0.00	11.49	55.0	4.02
01/03/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	6.97	0.00	6.97	55.0	2.44
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.41	0.00	0.41	55.0	0.14
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.77	0.00	0.77	55.0	0.27
01/03/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION A	0.00	6.71	0.00	6.71	55.0	2.35

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION B	0.00	1.48	0.00	1.48	55.0	0.52
01/03/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION B	0.00	8.08	0.00	8.08	55.0	2.83
01/03/2017	DANEK MD SEP PLAN, BEATA	MFS MODERATE ALLOCATION B	0.00	1.00	0.00	1.00	55.0	0.35
01/03/2017	JUSCINSKA, AGNIESZKA	MFS MODERATE ALLOCATION B	0.00	5.57	0.00	5.57	55.0	1.95
01/03/2017	JARZAREK, MAGDALENA	MFS MODERATE ALLOCATION B	0.00	2.70	0.00	2.70	55.0	0.95
01/03/2017	REHABILITATION SVS PC SP,	MFS MODERATE ALLOCATION B	0.00	0.55	0.00	0.55	55.0	0.19
01/03/2017	DZIEWIECKA, TERESA	MFS MODERATE ALLOCATION C	0.00	99.77	0.00	99.77	55.0	34.92
01/03/2017	BOSOWSKI, JANUSZ	MFS MODERATE ALLOCATION C	0.00	12.73	0.00	12.73	55.0	4.46
01/03/2017	BOSOWSKI, EWA	MFS MODERATE ALLOCATION C	0.00	12.72	0.00	12.72	55.0	4.45
01/03/2017	KOPERDOWSKI, PIOTR	MFS MODERATE ALLOCATION C	0.00	13.03	0.00	13.03	55.0	4.56
01/03/2017	KOPERDOWSKI, BEATA	MFS MODERATE ALLOCATION C	0.00	13.03	0.00	13.03	55.0	4.56
01/03/2017	SYNOWIEC, LESZEK	MFS MODERATE ALLOCATION C	0.00	9.49	0.00	9.49	55.0	3.32
01/03/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	58.48	0.00	58.48	55.0	20.47
01/03/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	12.97	0.00	12.97	55.0	4.54
01/03/2017	SWIDER, JULIA	MFS MODERATE ALLOCATION C	0.00	26.90	0.00	26.90	55.0	9.42
01/03/2017	KRELLER, KRYSZYNA	MFS MODERATE ALLOCATION C	0.00	37.02	0.00	37.02	55.0	12.96
01/03/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MODERATE ALLOCATION C	0.00	7.57	0.00	7.57	55.0	2.65
01/03/2017	GRZYBEK, MARK	MFS MODERATE ALLOCATION C	0.00	16.60	0.00	16.60	55.0	5.81
01/17/2017	DZIEWIECKA, TERESA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	55.0	0.07
01/03/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY A	0.00	3.27	0.00	3.27	55.0	1.14
01/03/2017	BOSOWSKI, EWA	MFS NEW DISCOVERY C	0.00	18.04	0.00	18.04	55.0	6.31
01/03/2017	MALYSZ (A MINOR), MICHALINA	MFS NEW DISCOVERY C	0.00	4.65	0.00	4.65	55.0	1.63
01/03/2017	BECKER, KARINA	MFS NEW DISCOVERY C	0.00	13.74	0.00	13.74	55.0	4.81
01/03/2017	MALYSZ, JERZY	MFS NEW DISCOVERY C	0.00	18.23	0.00	18.23	55.0	6.38
01/03/2017	MALYSZ (A MINOR), ANNA	MFS NEW DISCOVERY C	0.00	3.72	0.00	3.72	55.0	1.30
01/03/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY C	0.00	8.01	0.00	8.01	55.0	2.80
01/03/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	0.00	20.28	0.00	20.28	55.0	7.10
01/03/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	0.00	16.39	0.00	16.39	55.0	5.74
01/03/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	0.00	16.39	0.00	16.39	55.0	5.74
01/23/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/23/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
01/03/2017	CCJ CONSULTING CO SEP PLA	MFS RESEARCH A	0.00	1.44	0.00	1.44	55.0	0.50
01/03/2017	GRESZTA, LIDIA	MFS RESEARCH BOND FUND B	0.00	12.82	0.00	12.82	55.0	4.49

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
01/03/2017	REHABILITATION SVS PC SP,	MFS RESEARCH BOND FUND B	0.00	0.33	0.00	0.33	55.0	0.12
01/03/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	0.00	11.36	0.00	11.36	55.0	3.98
01/03/2017	WIKAR, HALINA	MFS RESEARCH BOND FUND C	0.00	4.11	0.00	4.11	55.0	1.44
01/03/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	0.00	8.79	0.00	8.79	55.0	3.08
01/03/2017	KRELLER, KRYSTYNA	MFS RESEARCH BOND FUND C	0.00	11.49	0.00	11.49	55.0	4.02
01/10/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	81.90	0.82	0.00	0.82	55.0	0.29
01/10/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	273.00	2.73	0.00	2.73	55.0	0.96
01/10/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	12.46	0.12	0.00	0.12	55.0	0.04
01/10/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	436.83	4.37	0.00	4.37	55.0	1.53
01/03/2017	BOSOWSKI, JANUSZ	MFS RESEARCH FUND-C	0.00	16.46	0.00	16.46	55.0	5.76
01/03/2017	BOSOWSKI, EWA	MFS RESEARCH FUND-C	0.00	16.45	0.00	16.45	55.0	5.76
01/03/2017	BYSTROWIZ SEP PLAN, MAREK	MFS RESEARCH FUND-C	0.00	7.23	0.00	7.23	55.0	2.53
01/03/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	0.00	3.94	0.00	3.94	55.0	1.38
01/03/2017	KURILO SEP PLAN, EVA	MFS STRATEGIC INCOME FUND B	0.00	1.58	0.00	1.58	55.0	0.55
01/03/2017	GABEROV, VASIL	MFS TECHNOLOGY FUND-C	0.00	14.84	0.00	14.84	55.0	5.19
01/03/2017	GABEROVA, STELLA	MFS TECHNOLOGY FUND-C	0.00	14.84	0.00	14.84	55.0	5.19
01/03/2017	WIKAR, HALINA	MFS TOTAL RETURN C	0.00	21.34	0.00	21.34	55.0	7.47
01/03/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	0.63	0.00	0.63	55.0	0.22
01/03/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	4.71	0.00	4.71	55.0	1.65
01/03/2017	GRESZTA, LIDIA	MFS UTILITIES A	0.00	6.51	0.00	6.51	55.0	2.28
01/03/2017	WIKAR, MAGDALENA	MFS UTILITIES A	0.00	2.69	0.00	2.69	55.0	0.94
01/03/2017	MROCZKOWSKI, AGNES	MFS UTILITIES A	0.00	28.35	0.00	28.35	55.0	9.92
01/03/2017	ARCIUCH, ARTUR	MFS UTILITIES A	0.00	8.57	0.00	8.57	55.0	3.00
01/03/2017	SAINSKI, ELEZBIETA	MFS UTILITIES A	0.00	5.05	0.00	5.05	55.0	1.77
01/03/2017	SAINSKI, ZYGMUNT	MFS UTILITIES A	0.00	12.32	0.00	12.32	55.0	4.31
01/03/2017	MALYSZ, JERZY	MFS UTILITIES B	0.00	3.63	0.00	3.63	55.0	1.27
01/03/2017	GRESZTA, LIDIA	MFS UTILITIES B	0.00	19.52	0.00	19.52	55.0	6.83
01/03/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	0.00	3.00	0.00	3.00	55.0	1.05
01/03/2017	MALYSZ (A MINOR), ANNA	MFS UTILITIES B	0.00	3.07	0.00	3.07	55.0	1.07
01/03/2017	MROCZKOWSKI, AGNES	MFS UTILITIES B	0.00	0.24	0.00	0.24	55.0	0.08
01/03/2017	CHREPTOWICZ, MALGORZATA	MFS UTILITIES B	0.00	2.59	0.00	2.59	55.0	0.91
01/03/2017	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	0.00	1.68	0.00	1.68	55.0	0.59
01/03/2017	JUSCINSKA, AGNIESZKA	MFS UTILITIES B	0.00	5.27	0.00	5.27	55.0	1.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
01/03/2017	JARZAREK, MAGDALENA	MFS UTILITIES B	0.00	2.71	0.00	2.71	55.0	0.95
01/03/2017	VITALIDEX CORP SEP PLAN	MFS UTILITIES B	0.00	5.27	0.00	5.27	55.0	1.84
01/03/2017	KURILO SEP PLAN, EVA	MFS UTILITIES B	0.00	9.62	0.00	9.62	55.0	3.37
01/03/2017	REHABILITATION SVS PC SP,	MFS UTILITIES B	0.00	0.51	0.00	0.51	55.0	0.18
01/03/2017	ZGAJEWSKI, MARIUSZ	MFS UTILITIES B	0.00	2.49	0.00	2.49	55.0	0.87
01/03/2017	GRZEDA, ZBIGNIEW	MFS UTILITIES C	0.00	12.70	0.00	12.70	55.0	4.45
01/03/2017	WILK, TEOFIL	MFS UTILITIES C	0.00	25.62	0.00	25.62	55.0	8.97
01/03/2017	KONIECZNY, EWA	MFS UTILITIES C	0.00	17.08	0.00	17.08	55.0	5.98
01/03/2017	KWAK, ANNA	MFS UTILITIES C	0.00	3.39	0.00	3.39	55.0	1.19
01/03/2017	BYSTROWIZ SEP PLAN, MAREK	MFS UTILITIES C	0.00	4.94	0.00	4.94	55.0	1.73
01/03/2017	MURZANSKI, ANDRZEJ	MFS UTILITIES C	0.00	33.44	0.00	33.44	55.0	11.70
01/03/2017	KOPERDOWSKI, BEATA	MFS UTILITIES C	0.00	15.98	0.00	15.98	55.0	5.59
01/03/2017	KOPERDOWSKI, PIOTR	MFS UTILITIES C	0.00	15.98	0.00	15.98	55.0	5.59
01/03/2017	MARSZALEK, ZOFIA	MFS UTILITIES C	0.00	17.18	0.00	17.18	55.0	6.01
01/03/2017	SYNOWIEC, JADWIGA	MFS UTILITIES C	0.00	18.02	0.00	18.02	55.0	6.31
01/03/2017	SZCZESNIAK, EWA	MFS UTILITIES C	0.00	4.08	0.00	4.08	55.0	1.43
01/03/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	0.00	4.68	0.00	4.68	55.0	1.64
01/03/2017	SYNOWIEC, LESZEK	MFS UTILITIES C	0.00	15.96	0.00	15.96	55.0	5.59
01/03/2017	WIKAR, HALINA	MFS UTILITIES C	0.00	78.60	0.00	78.60	55.0	27.51
01/03/2017	KRELLER, KRISTYNA	MFS UTILITIES C	0.00	22.44	0.00	22.44	55.0	7.85
01/03/2017	WAL, SEBASTIAN	MFS UTILITIES C	0.00	51.86	0.00	51.86	55.0	18.15
01/03/2017	BECKER, KARINA	MFS UTILITIES C	0.00	26.51	0.00	26.51	55.0	9.28
01/03/2017	ZIEMBINSKI, JACEK	MFS UTILITIES C	0.00	7.80	0.00	7.80	55.0	2.73
01/03/2017	WROBEL, JACEK	MFS UTILITIES C	0.00	4.45	0.00	4.45	55.0	1.56
01/03/2017	KOZIOL SIMPLE PLAN,	MFS UTILITIES C	0.00	88.94	0.00	88.94	55.0	31.13
01/03/2017	KOZIOL SIMPLE PLAN,	MFS UTILITIES C	0.00	21.08	0.00	21.08	55.0	7.38
01/03/2017	SWIDER, JULIA	MFS UTILITIES C	0.00	23.38	0.00	23.38	55.0	8.18
01/03/2017	TARCZYNSKI, LESTER	MFS UTILITIES C	0.00	93.84	0.00	93.84	55.0	32.84
01/03/2017	GRZYBEK, MARK	MFS UTILITIES C	0.00	27.97	0.00	27.97	55.0	9.79
01/03/2017	DOMANUS, MALGORZATA	MFS UTILITIES C	0.00	10.74	0.00	10.74	55.0	3.76
01/03/2017	BUGAJ, ANNA	MFS UTILITIES C	0.00	11.03	0.00	11.03	55.0	3.86
01/03/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES C	0.00	34.51	0.00	34.51	55.0	12.08
01/03/2017	BECKER, KARINA	MFS UTILITIES C	0.00	16.22	0.00	16.22	55.0	5.68

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
01/03/2017	WIKAR, TYMOTEUSZ	MFS UTILITIES C	0.00	13.93	0.00	13.93	55.0	4.88
01/03/2017	MADRZYK, PIOTR	MFS UTILITIES C	0.00	18.41	0.00	18.41	55.0	6.44
01/03/2017	MURZANSKI, EWA	MFS UTILITIES C	0.00	29.31	0.00	29.31	55.0	10.26
01/03/2017	PIETRZYK, JAN	MFS UTILITIES C	0.00	14.67	0.00	14.67	55.0	5.13
01/03/2017	PIETRZYK, DOROTA	MFS UTILITIES C	0.00	12.78	0.00	12.78	55.0	4.47
01/03/2017	KWAK, ANNA	MFS UTILITIES C	0.00	39.52	0.00	39.52	55.0	13.83
01/03/2017	THOMAS, SYLVIA	MFS UTILITIES C	0.00	20.06	0.00	20.06	55.0	7.02
01/03/2017	GABEROV, VASIL	MFS UTILITIES C	0.00	44.07	0.00	44.07	55.0	15.42
01/03/2017	GABEROVA, STELLA	MFS UTILITIES C	0.00	44.07	0.00	44.07	55.0	15.42
01/03/2017	MROCZKOWSKI, AGNES	MFS UTILITIES C	0.00	6.96	0.00	6.96	55.0	2.44
01/03/2017	ZIEMBINSKI, JOANNA	MFS UTILITIES C	0.00	12.74	0.00	12.74	55.0	4.46
01/03/2017	POLLAK, TATIANA	MFS UTILITIES C	0.00	13.82	0.00	13.82	55.0	4.84
01/03/2017	POLLAK, WIESLAW	MFS UTILITIES C	0.00	13.82	0.00	13.82	55.0	4.84
01/03/2017	BOSOWSKI, JANUSZ	MFS UTILITIES C	0.00	18.54	0.00	18.54	55.0	6.49
01/03/2017	BOSOWSKI, EWA	MFS UTILITIES C	0.00	22.35	0.00	22.35	55.0	7.82
01/03/2017	MALYSZ (A MINOR), MICHALINA	MFS UTILITIES C	0.00	4.17	0.00	4.17	55.0	1.46
01/03/2017	TYLMAN, JOANNA	MFS UTILITIES C	0.00	21.65	0.00	21.65	55.0	7.58
01/03/2017	TYLMAN, PETER	MFS UTILITIES C	0.00	21.66	0.00	21.66	55.0	7.58
01/04/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
01/10/2017	WIKAR, HALINA	MFS UTILITIES C	71.31	0.71	0.00	0.71	55.0	0.25
01/10/2017	WAL, SEBASTIAN	MFS UTILITIES C	102.38	1.02	0.00	1.02	55.0	0.36
01/10/2017	WAL, SEBASTIAN	MFS UTILITIES C	341.26	3.41	0.00	3.41	55.0	1.19
01/10/2017	THOMAS, SYLVIA	MFS UTILITIES C	62.30	0.62	0.00	0.62	55.0	0.22
01/10/2017	THOMAS, SYLVIA	MFS UTILITIES C	436.81	4.37	0.00	4.37	55.0	1.53
01/18/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
01/20/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
01/20/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
01/23/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
01/23/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
01/23/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
01/03/2017	VITALIDEX CORP SEP PLAN	MFS VALUE B	0.00	0.46	0.00	0.46	55.0	0.16
01/03/2017	REHABILITATION SVS PC SP,	MFS VALUE B	0.00	0.63	0.00	0.63	55.0	0.22
01/03/2017	ZGAJEWSKI, MARIUSZ	MFS VALUE B	0.00	2.38	0.00	2.38	55.0	0.83

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
01/03/2017	MURZANSKI, ANDRZEJ	MFS VALUE C	0.00	4.91	0.00	4.91	55.0	1.72
01/03/2017	BOSOWSKI, JANUSZ	MFS VALUE C	0.00	18.67	0.00	18.67	55.0	6.53
01/03/2017	BOSOWSKI, EWA	MFS VALUE C	0.00	18.66	0.00	18.66	55.0	6.53
01/03/2017	SYNOWIEC, JADWIGA	MFS VALUE C	0.00	4.86	0.00	4.86	55.0	1.70
01/03/2017	WIKAR, HALINA	MFS VALUE C	0.00	34.23	0.00	34.23	55.0	11.98
01/03/2017	SWIDER, JULIA	MFS VALUE C	0.00	24.52	0.00	24.52	55.0	8.58
01/03/2017	BYSTROWIZ SEP PLAN, MAREK	MFS VALUE C	0.00	5.80	0.00	5.80	55.0	2.03
01/03/2017	CHADA, WIESLAW	MFS VALUE C	0.00	3.59	0.00	3.59	55.0	1.26
01/03/2017	ZIEMBINSKI, JACEK	MFS VALUE C	0.00	2.44	0.00	2.44	55.0	0.85
01/03/2017	DOMANUS, MALGORZATA	MFS VALUE C	0.00	1.02	0.00	1.02	55.0	0.36
01/03/2017	TYLMAN, PETER	MFS VALUE C	0.00	13.56	0.00	13.56	55.0	4.75
01/03/2017	TYLMAN, JOANNA	MFS VALUE C	0.00	13.58	0.00	13.58	55.0	4.75
01/03/2017	BECKER, KARINA	MFS VALUE C	0.00	5.40	0.00	5.40	55.0	1.89
01/03/2017	MURZANSKI, EWA	MFS VALUE C	0.00	4.91	0.00	4.91	55.0	1.72
01/10/2017	WIKAR, HALINA	MFS VALUE C	71.31	0.71	0.00	0.71	55.0	0.25
01/20/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
01/20/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
<b>* HALINA WIKAR SUBTOTAL *</b>			<b>\$4,924.28</b>	<b>\$3,955.35</b>	<b>\$0.00</b>	<b>\$3,955.35</b>		<b>\$1,384.56</b>
<b><u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u></b>								
01/09/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
01/09/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
12/31/2016	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	42.68	0.00	42.68	0.0	38.41
12/31/2016	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.34	0.00	21.34	0.0	19.21
12/31/2016	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.07	0.00	17.07	0.0	15.36
01/18/2017	ARNETT, DANIEL	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
12/31/2016	PARAGON, CARRIE	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	17.60	0.00	17.60	0.0	15.84
12/31/2016	RACK TRUST, JOAN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	37.70	0.00	37.70	0.0	33.93
12/31/2019	GIBSON, PAMELA	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	125.68	0.00	125.68	0.0	113.11
01/04/2017	ROVERUD, JOAN	HPI REAL ESTATE OPP FUND IV LLC	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
01/11/2017	HELD, RONALD	ING LANDMARK	0.00	473.03	0.00	473.03	0.0	425.73
12/31/2016	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	23.95	0.00	23.95	0.0	21.56
<b>* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *</b>			<b>\$100,075.00</b>	<b>\$7,760.55</b>	<b>\$0.00</b>	<b>\$7,760.55</b>		<b>\$6,984.50</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$105,580.83	\$11,912.86	\$0.00	\$11,912.86		\$8,546.30

BROKER COMMISSION TOTALS

Broker Transactions	\$5,665.21
Split Transactions	\$103.10
Override Transactions	\$8,546.30
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,314.61
Year-to-date Gross	\$21,712.15
Year-to-date Earnings	\$28,767.86

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