

**COMMISSION STATEMENT****March 15, 2018**

ALAN, L133 LICHTENSTEIN
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: L133
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
02/23/2018	HATZAKOS, PETER	PASSCO MARINER DST	65,000.00	3,900.00	0.00	3,900.00	75.0	2,925.00
		* 1031 EXCHANGES SUBTOTAL *	\$65,000.00	\$3,900.00	\$0.00	\$3,900.00		\$2,925.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/22/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.32	0.00	3.32	75.0	2.49
02/22/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.34	0.00	0.34	75.0	0.26
02/22/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	75.0	0.61
02/22/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.33	0.00	0.33	75.0	0.25
02/22/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.10	0.00	0.10	75.0	0.08
02/22/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.17	0.00	0.17	75.0	0.13
02/22/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	75.0	0.37
02/22/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.65	0.00	0.65	75.0	0.49
02/22/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.69	0.00	0.69	75.0	0.52
02/20/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.24	0.00	2.24	75.0	1.68
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9.14	\$0.00	\$9.14		\$6.88
		*** DIRECT TRANSACTIONS TOTAL ***	\$65,000.00	\$3,909.14	\$0.00	\$3,909.14		\$2,931.88
<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
03/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
03/15/2018		*PERSHING: MANDITORY REORG - POWERS	0.00					-10.00
03/15/2018		*PERSHING: MANDITORY REORG - POWERS	0.00					-10.00
03/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-507.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,931.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-507.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-359.20
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,065.68
Year-to-date Gross	\$4,132.01
Year-to-date Earnings	\$3,014.69