



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
 Page No. 1

JENNIFER DONAIS
 78955 Via Trieste

BROKER #: D134
 BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.43	0.00	1.43	90.0	1.29
01/11/2017	SINNESS, LOYE	MFS VALUE A	0.00	2.12	0.00	2.12	90.0	1.91
01/12/2017	DONAIS, BRADLEY	PRUDENTIAL JENNISON HEALTH SCIENCES	13,596.40	0.00	0.00	0.00	90.0	0.00
01/11/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.44	0.00	2.44	90.0	2.20
01/11/2017	DONAIS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	8.99	0.00	8.99	90.0	8.09
01/12/2017	DONAIS, BRADLEY	VANGUARD 500 INDEX FUND INVESTOR SH	12,000.00	0.00	23.00	-23.00	90.0	-20.70
01/05/2017	DONAIS, BRADLEY	VANGUARD SMALL-CAP INDEX FUND	10,000.00	0.00	23.00	-23.00	90.0	-20.70
* MUTUAL FUNDS SUBTOTAL *			\$35,596.40	\$14.98	\$46.00	\$-31.02		\$-27.91
*** BROKER TRANSACTIONS TOTAL ***			\$35,596.40	\$14.98	\$46.00	\$-31.02		\$-27.91

SPLIT TRANSACTIONS

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

02/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	0.61	0.00	0.61	90.0	0.55
02/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.32	0.00	0.32	90.0	0.29
02/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.40	0.00	0.40	90.0	0.36
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.41	0.00	0.41	90.0	0.37
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.33	0.00	0.33	90.0	0.30
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25
02/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	0.61	0.00	0.61	90.0	0.55
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.36	0.00	0.36	90.0	0.32
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.31	0.00	0.31	90.0	0.28
02/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.40	0.00	0.40	90.0	0.36
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.41	0.00	0.41	90.0	0.37
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.36	0.00	0.36	90.0	0.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
02/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$5.64	\$0.00	\$5.64		\$5.07
*** SPLIT TRANSACTIONS TOTAL ***			\$1,020.00	\$5.64	\$0.00	\$5.64		\$5.07

ADJUSTMENTS

02/15/2017		*CONCIERGE SVC: 185701,708,717,744-	0.00					-200.00
02/15/2017		*CONCIERGE SVC: 185956-JORGENSEN	0.00					-10.00
02/15/2017		*CONCIERGE SVC: 186411,186455-HUGGI	0.00					-100.00
02/15/2017		*CONCIERGE SVC: 186459-PACHECO	0.00					-10.00
02/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					731.18
02/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-6.00
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-2.34
02/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-4.70
02/15/2017		*PERSHING: MF PROSPECTUS	0.00					-20.10
02/15/2017		*REGISTRATION FEE: SD REG FEE	0.00					-125.00
02/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-321.96

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$-27.91
Split Transactions	\$5.07
Override Transactions	\$0.00
Adjustments	\$-321.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-344.80
Year-to-date Gross	\$2,454.33
Year-to-date Earnings	\$2,086.10