



Concorde Investment Services, LLC

07/27/2017
Page No. 1

COMMISSION STATEMENT July 31, 2017

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/21/2017	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	2,000.00	55.00	0.00	55.00	85.0	46.75
07/19/2017	GERE, AMY	AM FUNDS GROWTH FUND OF AMERICA A	772.53	32.83	0.00	32.83	85.0	27.91
07/21/2017	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	1,994.32	54.84	0.00	54.84	85.0	46.61
07/21/2017	WRAY, KIM	AM FUNDS NEW WORLD A	2,000.00	55.00	0.00	55.00	85.0	46.75
07/19/2017	GERE, AMY	AM FUNDS SMALLCAP WORLD A	772.53	32.83	0.00	32.83	85.0	27.91
07/21/2017	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	2,000.00	55.00	0.00	55.00	85.0	46.75
07/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
07/17/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	1.06
07/17/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	9.56
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.17	0.00	7.17	85.0	6.09
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.29	0.00	3.29	85.0	2.80
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.60	0.00	4.60	85.0	3.91
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.14	0.00	1.14	85.0	0.97
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.02	0.00	6.02	85.0	5.12
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.11	0.00	4.11	85.0	3.49
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.07	0.00	4.07	85.0	3.46
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.96	0.00	5.96	85.0	5.07
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.36	0.00	6.36	85.0	5.41
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.43	0.00	8.43	85.0	7.17
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.18	0.00	3.18	85.0	2.70
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.00	0.00	5.00	85.0	4.25
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.95	0.00	5.95	85.0	5.06
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.86	0.00	5.86	85.0	4.98
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.06	0.00	0.06	85.0	0.05
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.94	0.00	0.94	85.0	0.80

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.94	0.00	13.94	85.0	11.85
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.43	0.00	6.43	85.0	5.47
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.22	0.00	6.22	85.0	5.29
07/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCK PLUS FUND A	0.00	4.06	0.00	4.06	85.0	3.45
07/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPUS C	0.00	0.44	0.00	0.44	85.0	0.37
07/03/2017	PRZYBYLSKI, JOSHUA	PIMCO STOCKSPUS C	0.00	0.79	0.00	0.79	85.0	0.67
* MUTUAL FUNDS SUBTOTAL *			\$9,889.38	\$414.52	\$0.00	\$414.52		\$352.35
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/13/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
07/24/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
07/25/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
* VARIABLE ANNUITIES SUBTOTAL *			\$200.00	\$11.00	\$0.00	\$11.00		\$9.36
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/30/2017	ZORNOW TRUST, BETTY	AMERITAS LIFE TRAILS	0.00	94.15	0.00	94.15	85.0	80.03
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$94.15	\$0.00	\$94.15		\$80.03
*** BROKER TRANSACTIONS TOTAL ***			\$10,089.38	\$519.67	\$0.00	\$519.67		\$441.74

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BROKER COMMISSION TOTALS

Broker Transactions	\$441.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$441.74
Year-to-date Gross	\$38,247.83
Year-to-date Earnings	\$32,672.32