

**COMMISSION STATEMENT****January 15, 2018**

THOMAS JAHNCKE
2050 Main Street
Suite 650
Irvine, CA 92614

BROKER #: J124 Terminated 08/05/2016
BRANCH: THOMAS JAHNCKE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/11/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	1.81	0.00	1.81	0.0	0.00
12/11/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.34	0.00	1.34	0.0	0.00
12/18/2017	JAHNCKE, JUDY	ALLIANZGI NFJ MID- CAP VALUE FUND C	0.00	0.88	0.00	0.88	0.0	0.00
12/15/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	0.0	0.00
12/15/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.97	0.00	1.97	0.0	0.00
12/15/2017	RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.90	0.00	2.90	0.0	0.00
12/15/2017	RUMBLE TRUST, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.10	0.00	5.10	0.0	0.00
12/15/2017	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.35	0.00	1.35	0.0	0.00
12/22/2017	BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	5.43	0.00	5.43	0.0	0.00
12/22/2017	JAHNCKE, JUDY	CALAMOS GROWTH A	0.00	2.52	0.00	2.52	0.0	0.00
12/22/2017	RUMBLE TRUST, JUDITH	CALAMOS GROWTH C	0.00	25.85	0.00	25.85	0.0	0.00
12/19/2017	JAHNCKE, JUDY	CARILLON CLARIVEST CAPITAL APPRECIA	0.00	2.00	0.00	2.00	0.0	0.00
12/22/2017	JAHNCKE, JUDY	DREYFUS RESEARCH GROWTH FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.00
12/14/2017	JAHNCKE TRUST, JUDY	EATON VANCE FLOATING-RATE ADVANTAGE	0.00	4.81	0.00	4.81	0.0	0.00
12/14/2017	RUMBLE TRUST, JUDITH	FEDERATED EQUITY INCOME FUND CLASS	0.00	13.09	0.00	13.09	0.0	0.00
12/13/2017	RUMBLE TRUST, JUDITH	FORWARD REAL ESTATE LONG/SHORT FUND	0.00	1.03	0.00	1.03	0.0	0.00
12/13/2017	JAHNCKE TRUST, JUDY	FORWARD SELECT INCOME FUND CLASS C	0.00	8.54	0.00	8.54	0.0	0.00
12/13/2017	RUMBLE TRUST, JUDITH	FORWARD SELECT INCOME FUND CLASS C	0.00	14.35	0.00	14.35	0.0	0.00
12/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.71	0.00	0.71	0.0	0.00
12/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.12	0.00	2.12	0.0	0.00
12/19/2017	JAHNCKE TRUST, JUDY	MAINSTAY HIGH YIELD CORP BOND A	0.00	0.04	0.00	0.04	0.0	0.00
12/15/2017	JAHNCKE, JUDY	PUTNAM INVESTORS A	0.00	0.36	0.00	0.36	0.0	0.00
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.14	0.00	0.14	0.0	0.00
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.14	0.00	0.14	0.0	0.00
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.41	0.00	0.41	0.0	0.00
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.41	0.00	0.41	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/20/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-0.14	0.00	-0.14	0.0	0.00
12/20/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-0.41	0.00	-0.41	0.0	0.00
12/21/2017	RUMBLE TRUST, JUDITH	SIERRA CORE RETIREMENT FUND CLASS C	0.00	5.68	0.00	5.68	0.0	0.00
12/21/2017	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	3.28	0.00	3.28	0.0	0.00
12/12/2017	JAHNCKE TRUST, JUDY	THORNBURG INT'L VALUE A	0.00	6.89	0.00	6.89	0.0	0.00
12/12/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	2.20	0.00	2.20	0.0	0.00
12/12/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	4.77	0.00	4.77	0.0	0.00
12/12/2017	JAHNCKE, JUDY	THORNBURG INVESTMENT INCOME BUILDER	0.00	0.88	0.00	0.88	0.0	0.00
12/12/2017	JAHNCKE, JUDY	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.33	0.00	0.33	0.0	0.00
12/12/2017	JAHNCKE, JUDY	THORNBURG VALUE CL A	0.00	1.32	0.00	1.32	0.0	0.00
12/12/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	2.48	0.00	2.48	0.0	0.00
12/12/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	7.73	0.00	7.73	0.0	0.00
12/12/2017	JAHNCKE TRUST, JUDY	THORNBURG VALUE CLASS C	0.00	1.31	0.00	1.31	0.0	0.00
12/12/2017	JAHNCKE, JUDY	THORNBURG VALUE CLASS C	0.00	18.30	0.00	18.30	0.0	0.00
12/12/2017	RUMBLE TRUST, JUDITH	THORNBURG VALUE CLASS C	0.00	21.56	0.00	21.56	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$175.13	\$0.00	\$175.13		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$175.13	\$0.00	\$175.13		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$175.13
Year-to-date Earnings	\$0.00