



Concorde Investment Services, LLC

07/27/2018

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# COMMISSION STATEMENT

July 31, 2018

BRUCE BAXTER  
10385 Wellington Blvd.

BROKER #: B121 Terminated 05/30/2015  
BRANCH: BRUCE BAXTER

Powell, OH 43065

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.15	0.00	0.15	0.0	0.00
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.27	0.00	0.27	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	9.94	0.00	9.94	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$10.54	\$0.00	\$10.54		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	17.19	0.00	17.19	0.0	0.00
07/01/2018	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	9.55	0.00	9.55	0.0	0.00
07/01/2018	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	8.02	0.00	8.02	0.0	0.00
07/01/2018	WARTHMAN, JODY	MFS GROWTH A	0.00	1.00	0.00	1.00	0.0	0.00
07/01/2018	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.31	0.00	5.31	0.0	0.00
07/01/2018	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	6.03	0.00	6.03	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.27	0.00	0.27	0.0	0.00
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.11	0.00	0.11	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.00
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.49	0.00	0.49	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.00
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	29.82	0.00	29.82	0.0	0.00
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$78.11</b>	<b>\$0.00</b>	<b>\$78.11</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$88.65</b>	<b>\$0.00</b>	<b>\$88.65</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$1,140.86</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed