



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2017**

02/14/2017  
 Page No. 1

DAVID KOTZ  
 601 Mine Brook Rd

BROKER #: K135  
 BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.91	0.00	3.91	80.0	3.13
01/11/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.87	0.00	0.87	80.0	0.70
01/11/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.03	0.00	9.03	80.0	7.22
01/11/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.14	0.00	2.14	80.0	1.71
01/11/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.14	0.00	2.14	80.0	1.71
01/11/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.00	0.00	8.00	80.0	6.40
01/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	22.70	0.00	22.70	80.0	18.16
01/11/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.16	0.00	2.16	80.0	1.73
01/11/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.28	0.00	0.28	80.0	0.22
01/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.90	0.00	2.90	80.0	2.32
01/11/2017	MCKAY, VERNA	BLACKROCK FUNDS US GOVERNMENT PORTF	0.00	2.28	0.00	2.28	80.0	1.82
01/11/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.63	0.00	1.63	80.0	1.30
01/11/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.24	0.00	2.24	80.0	1.79
01/11/2017	WATT, DUNCAN	INVESCO ENERGY FUND CLASS C	0.00	5.86	0.00	5.86	80.0	4.69
01/11/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.64	0.00	1.64	80.0	1.31
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$67.78</b>	<b>\$0.00</b>	<b>\$67.78</b>		<b>\$54.21</b>

**PRODUCT CATEGORY: STOCKS**

01/10/2017	WEITZER, ANDREW	***GW PHARMACEUTICALS PLC	745.67	50.00	22.00	28.00	80.0	22.40
01/10/2017	WEITZER, ANDREW	***GW PHARMACEUTICALS PLC	-745.67	-50.00	-22.00	-28.00	80.0	-22.40
01/10/2017	WEITZER, ANDREW	***GW PHARMACEUTICALS PLC	745.67	38.40	22.00	16.40	80.0	13.12
01/04/2017	BROWNELL, STANLEY	BP PRUDHOE BAY ROYALTY TRUST	2,560.00	75.73	22.00	53.73	80.0	42.98
01/04/2017	BROWNELL, STANLEY	MPLX LP COM UNIT REPSTG LTD PARTNER	5,423.39	148.63	22.00	126.63	80.0	101.30
01/09/2017	WEITZER, ANDREW	NETFLIX INC COM	1,051.52	50.00	22.00	28.00	80.0	22.40
01/09/2017	WEITZER, ANDREW	NETFLIX INC COM	-1,051.52	-50.00	-22.00	-28.00	80.0	-22.40
01/09/2017	WEITZER, ANDREW	NETFLIX INC COM	1,051.52	45.00	22.00	23.00	80.0	18.40
01/04/2017	BROWNELL, STANLEY	RANGE RESOURCES CORP	6,666.68	159.84	22.00	137.84	80.0	110.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
		* STOCKS SUBTOTAL *	\$16,447.26	\$467.60	\$110.00	\$357.60		\$286.07
		*** BROKER TRANSACTIONS TOTAL ***	\$16,447.26	\$535.38	\$110.00	\$425.38		\$340.28

<b><u>ADJUSTMENTS</u></b>								
02/15/2017		*CONCIERGE SVC: 186145-ALLESCH	0.00					-50.00
02/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,500.00
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
02/15/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
02/15/2017		*TECHNOLOGY: ADMIN - JENNIFER NEIST	0.00					-100.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$685.00

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$340.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$685.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,695.31
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,670.03
Year-to-date Gross	\$654.06
Year-to-date Earnings	\$435.23

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