

COMMISSION STATEMENT

November 30, 2017

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/31/2017	QGW035156	LEONARD, JULIE	MANHATTAN BRDG CAP INC COM	S	-7,878.00	83.00	12.00	71.00	90.0 62.70
10/31/2017	QGW035404	RICCA, MARIA	MANHATTAN BRDG CAP INC COM	S	-18,075.00	175.00	12.00	163.00	90.0 145.50
10/31/2017	QGW035412	AMENITA, PATRICIA	VUZIX CORP COM NEW	S	-9,800.00	98.00	12.00	86.00	90.0 76.20
10/31/2017	QGW035677	KOLLAR, MAURA	MANHATTAN BRDG CAP INC COM	S	-12,600.00	125.00	12.00	113.00	90.0 100.50
10/30/2017	QGW035719	FAMILY 2011	VERIZON COMMUNICATIONS	S	-19,238.00	167.00	12.00	155.00	90.0 138.30
10/31/2017	QGW035719	FAMILY 2011	MANHATTAN BRDG CAP INC COM	S	-12,000.00	162.00	12.00	150.00	90.0 133.80
10/31/2017	QGW035842	SZABO, JOSEPH	MANHATTAN BRDG CAP INC COM	S	-4,820.00	65.00	12.00	53.00	90.0 46.50
* STOCKS SUBTOTAL *					<u>\$-84,411.00</u>	<u>\$875.00</u>	<u>\$84.00</u>	<u>\$791.00</u>	<u>\$703.50</u>
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/31/2017	QGW031783	JACKSON, FRANK	PUT 100 VUZIX CORP COM NEW EXP 12-1 S		-1,300.00	99.00	17.00	82.00	90.0 72.10
10/31/2017	QGW035040	THUILLOT, CHARLES	PUT 100 VUZIX CORP COM NEW EXP 12-1 S		-1,300.00	99.00	17.00	82.00	90.0 72.10
10/31/2017	QGW035198	WULFF, HANS	CALL 100 OPKO HEALTH INC COM EXP 11 S		-1,000.00	99.00	24.50	74.50	90.0 64.60
10/31/2017	QGW035206	SKAHILL, ROBERT	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-2,125.00	99.00	18.25	80.75	90.0 70.85
10/31/2017	QGW035412	AMENITA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,600.00	99.00	17.00	82.00	90.0 72.10
10/31/2017	QGW035552	KMIECIAK, ZBIGNIEW	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,600.00	99.00	17.00	82.00	90.0 72.10
10/31/2017	QGW035636	SEIDEL, ANNE	CALL 100 OPKO HEALTH INC COM EXP 11 S		-400.00	41.00	17.00	24.00	90.0 19.90
10/31/2017	QGW035677	KOLLAR, MAURA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-1,700.00	99.00	17.00	82.00	90.0 72.10
10/31/2017	QGW035743	KUDLIK, CHRIS	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-800.00	80.00	14.50	65.50	90.0 57.50
10/31/2017	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 04- S		-200.00	20.50	14.50	6.00	90.0 3.95
10/31/2017	QGW035982	PANASUK, JOHN	PUT 100 VUZIX CORP COM NEW EXP 12-1 S		-1,300.00	99.00	17.00	82.00	90.0 72.10
10/31/2017	QGW036048	FITZPATRICK, BARRY	CALL 100 OPKO HEALTH INC COM EXP 11 S		-400.00	41.00	17.00	24.00	90.0 19.90
10/31/2017	QGW036063	PICCININNI,	PUT 100 VUZIX CORP COM NEW EXP 01-1 S		-320.00	35.00	13.00	22.00	90.0 18.50
10/31/2017	QGW036394	LIPANI, CHRISTINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-350.00	30.00	15.50	14.50	90.0 11.50
10/31/2017	QGW037103	BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 12-1 S		-1,625.00	99.00	18.25	80.75	90.0 70.85
* OPTIONS SUBTOTAL *					<u>\$-16,020.00</u>	<u>\$1,138.50</u>	<u>\$254.50</u>	<u>\$884.00</u>	<u>\$770.15</u>
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/30/2017 (None)	VARIOUS, BELZ	ADVISOR GUIDED		B	0.00	13.62	12.00	1.62	90.0 0.26
11/30/2017 (None)	VARIOUS, BELZ	GWG HOLDING		B	0.00	9,840.00	12.00	9,828.00	90.0 8,844.00
* VARIABLE ANNUITIES SUBTOTAL *					<u>\$0.00</u>	<u>\$9,853.62</u>	<u>\$24.00</u>	<u>\$9,829.62</u>	<u>\$8,844.26</u>

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MISCELLANEOUS

11/15/2017	VARIOUS, BELZ	OPPENHEIMER	B	0.00	2,274.81	12.00	2,262.81	90.0	2,035.33
11/15/2017	VARIOUS, BELZ	OPPENHEIMER	B	0.00	9.50	12.00	-2.50	90.0	-3.45
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$2,284.31	\$24.00	\$2,260.31		\$2,031.88
*** BROKER TRANSACTIONS TOTAL ***				\$-100,431.00	\$14,151.43	\$386.50	\$13,764.93		\$12,349.79

ADJUSTMENTS

11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
11/30/2017		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-65.00
11/30/2017		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-300.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-399.00

BROKER COMMISSION TOTALS

Broker Transactions	\$12,349.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-399.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.83
SIPC Assessment	\$-29.67

Check Amount	\$11,906.29
Year-to-date Earnings	\$118,926.08