

**COMMISSION STATEMENT****December 31, 2017**

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #: 47  
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

**COMMISSION STATEMENT for LOUIS CIAVARELLA**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/04/2017	75501665	PILKO, THOMAS	TOUCHSTONE FDS GROUP TR ULTRA SHRT S	2,200.00	0.00	0.00	0.00	50.0	0.00
12/19/2017	75501665	PILKO, THOMAS	TOUCHSTONE FDS GROUP TR ULTRA SHRT S	33,884.95	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501666	CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F S	2,833.21	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501666	CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A B	2,833.21	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	EATON VANCE ATLANTA CAPITAL SMID CA B	6,642.68	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	EATON VANCE GROWTH FUND CLASS A S	6,642.68	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON B	5,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T B	5,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & S	6,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & S	5,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & S	5,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & S	5,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & S	4,000.00	0.00	0.00	0.00	50.0	0.00
12/14/2017	75501674	CARR, MARGARET	FIDELITY INVT TR ADVISOR EMRGING MK B	4,000.00	0.00	0.00	0.00	50.0	0.00
12/13/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA S	400.00	0.00	0.00	0.00	50.0	0.00
12/28/2017	75501737	HENDRIX, GREG	FIDELITY ADVISOR MEGA CAP STOCK FD B	2,453.32	0.00	0.00	0.00	50.0	0.00
12/28/2017	75501737	HENDRIX, GREG	FIDELITY ADVISOR FINANCIAL SERVICES B	2,652.09	0.00	0.00	0.00	50.0	0.00
12/28/2017	75501737	HENDRIX, GREG	FIDELITY ADVISOR EQUITY GROWTH FUND S	2,453.32	0.00	0.00	0.00	50.0	0.00
12/28/2017	75501737	HENDRIX, GREG	FIDELITY ADVISOR INDUSTRIALS CL I S	2,652.09	0.00	0.00	0.00	50.0	0.00
12/07/2017	75501746	CIAVARELLA, LOUIS	AIM TECHNOLOGY FUND - CLASS A B	11,773.43	0.00	0.00	0.00	50.0	0.00
12/07/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK SCIENCE & TECH OPPORTUNIT B	17,953.72	0.00	0.00	0.00	50.0	0.00
12/07/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS S	17,953.72	0.00	0.00	0.00	50.0	0.00
12/07/2017	75501746	CIAVARELLA, LOUIS	INVESCO MID CAP GROWTH FUND CLASS AS	11,773.43	0.00	0.00	0.00	50.0	0.00
12/07/2017	75501746	CIAVARELLA, LOUIS	NEW PERSPECTIVE FUND-A B	4,500.00	0.00	0.00	0.00	50.0	0.00
12/07/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND S	6,108.40	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501758	BERGEN, MIMI	BLACKROCK SCIENCE & TECH OPPORTUNIT B	2,163.60	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501758	BERGEN, MIMI	BLK 091928846 S	2,163.60	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$174,037.45	\$0.00	\$0.00	\$0.00		\$0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
11/29/2017	75501683	GROSSO, MARK	GENERAL ELECTRIC CO	S	2,078.58	50.45	0.00	50.45	50.0	25.23
11/29/2017	75501683	GROSSO, MARK	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	2,169.39	50.18	0.00	50.18	50.0	25.09
11/29/2017	75501683	GROSSO, MARK	WALT DISNEY CO	S	1,889.69	50.60	0.00	50.60	50.0	25.30
12/01/2017	75501683	GROSSO, MARK	ALIBABA GROUP HOLDING LTD SPONSORED	B	1,756.28	56.89	0.00	56.89	50.0	28.45
12/01/2017	75501683	GROSSO, MARK	TAKE-TWO INTERACTIVE SOFTWARE INC	B	2,191.80	65.63	0.00	65.63	50.0	32.82
12/20/2017	75501741	GIOMMI MCDOWELL,	EATON VANCE TAX ADVANTAGED DIVIDEND	B	5,799.15	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501757	BERGEN, MIMI	ALIBABA GROUP HOLDING LTD SPONSORED	B	2,055.33	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501757	BERGEN, MIMI	STRATASYS LTD	S	2,140.36	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501757	BERGEN, MIMI	TAKE-TWO INTERACTIVE SOFTWARE INC	B	2,173.00	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501758	BERGEN, MIMI	AT&T INC	S	4,301.22	50.49	0.00	50.49	50.0	25.25
12/01/2017	75501758	BERGEN, MIMI	CHINA MOBILE LIMITED SPONSORED ADR	S	1,767.24	15.00	0.00	15.00	50.0	0.00
12/01/2017	75501758	BERGEN, MIMI	KRAFT HEINZ COMPANY (THE) COMMON ST	S	1,778.98	19.63	0.00	19.63	50.0	0.00
12/01/2017	75501758	BERGEN, MIMI	PROSHARES S&P 500 DIVIDEND ARISTOCR	B	2,514.80	72.02	0.00	72.02	50.0	36.01
12/01/2017	75501759	BERGEN, MIMI	PEMBINA PIPELINE CORP	B	317.16	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					\$32,932.98	\$430.89	\$0.00	\$430.89		\$198.15
PRODUCT CATEGORY: VARIABLE ANNUITIES										
12/31/2017	(None)	VARIOUS, CIAVARELLA	OHIO NATIONAL FINANCIAL	B	0.00	140.68	0.00	140.68	50.0	70.34
12/31/2017	(None)	VARIOUS, CIAVARELLA	OHIO NATIONAL FINANCIAL	B	0.00	170.38	0.00	170.38	50.0	85.19
12/31/2017	(None)	VARIOUS, CIAVARELLA	RBC	B	0.00	1,458.00	0.00	1,458.00	50.0	729.00
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$1,769.06	\$0.00	\$1,769.06		\$884.53
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS										
12/20/2017	75501737	HENDRIX, GREG	UIT FT CORP TAX REFORM SER 4 PORTFO	B	2,673.40	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501740	GIOMMI MCDOWELL,	UIT FT CORP TAX REFORM SER 4 PORTFO	B	10,693.60	0.00	0.00	0.00	50.0	0.00
12/21/2017	75501740	GIOMMI MCDOWELL,	UIT FT SABRIENT BAKERS DOZEN SER 20	B	2,967.69	0.00	0.00	0.00	50.0	0.00
12/21/2017	75501740	GIOMMI MCDOWELL,	UIT FT STRATEGIC INCME SER 71 ADVAN	B	4,868.50	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501741	GIOMMI MCDOWELL,	UIT FT CORP TAX REFORM SER 4 PORTFO	B	2,673.40	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501743	CIAVARELLA, LOUIS	UIT FT CORP TAX REFORM SER 4 PORTFO	B	2,138.72	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501746	CIAVARELLA, LOUIS	UIT FT CORP TAX REFORM SER 4 PORTFO	B	3,208.08	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501749	CIAVARELLA, MELISSA	UIT FT CORP TAX REFORM SER 4 PORTFO	B	2,138.72	0.00	0.00	0.00	50.0	0.00
12/20/2017	75501757	BERGEN, MIMI	UIT FT CORP TAX REFORM SER 4 PORTFO	B	1,604.04	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501758	BERGEN, MIMI	UIT FT STONEBRIDGE PFD INCOME SER 1	B	2,694.41	53.89	0.00	53.89	50.0	26.95
12/01/2017	75501758	BERGEN, MIMI	UIT FT STRATEGIC INCOME SER 70 ADVA	B	2,510.08	50.23	0.00	50.23	50.0	25.12
* UNIT INVESTMENT TRUSTS SUBTOTAL *					\$38,170.64	\$104.12	\$0.00	\$104.12		\$52.07
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/11/2017	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.38	0.00	1.38	50.0	0.69
12/11/2017	75501549	ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.71	0.00	1.71	50.0	0.86
12/11/2017	75501549	ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU	B	0.00	2.88	0.00	2.88	50.0	1.44
12/11/2017	75501549	ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	4.92	0.00	4.92	50.0	2.46

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	75501665	PILKO, THOMAS		FEDERATED EQUITY FDS PRUDENT BEAR F B	0.00	7.90	0.00	7.90	50.0 3.95
12/11/2017	75501665	PILKO, THOMAS		TOUCHSTONE FDS GROUP TR ULTRA SHRT B	0.00	14.83	0.00	14.83	50.0 7.42
12/11/2017	75501666	CARR, CHARLES		FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	0.59	0.00	0.59	50.0 0.00
12/11/2017	75501666	CARR, CHARLES		FIDELITY INVT TR ADVISOR LATIN AMER B	0.00	0.79	0.00	0.79	50.0 0.00
12/11/2017	75501670	CARR, MARGARET		MFS PENNSYLVANIA MUNICIPAL BOND FUN B	0.00	0.79	0.00	0.79	50.0 0.00
12/11/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	1.32	0.00	1.32	50.0 0.66
12/11/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR ENERGY CL A B	0.00	2.16	0.00	2.16	50.0 1.08
12/11/2017	75501671	CARR, CHARLES		FIDELITY ADVISOR SER VII BIOTECHNOL B	0.00	1.96	0.00	1.96	50.0 0.98
12/11/2017	75501671	CARR, CHARLES		FIDELITY ADV TECHNOLOGY CL A B	0.00	3.99	0.00	3.99	50.0 2.00
12/11/2017	75501671	CARR, CHARLES		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.26	0.00	1.26	50.0 0.63
12/11/2017	75501673	CARR, MARGARET		FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.76	0.00	0.76	50.0 0.00
12/11/2017	75501673	CARR, MARGARET		FIDELITY ADV TECHNOLOGY CL A B	0.00	0.48	0.00	0.48	50.0 0.00
12/11/2017	75501673	CARR, MARGARET		FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	0.45	0.00	0.45	50.0 0.00
12/11/2017	75501674	CARR, MARGARET		BLACKROCK SCIENCE & TECH OPPORTUNIT B	0.00	1.59	0.00	1.59	50.0 0.80
12/11/2017	75501674	CARR, MARGARET		EATON VANCE GROWTH FUND CLASS A B	0.00	1.28	0.00	1.28	50.0 0.64
12/11/2017	75501674	CARR, MARGARET		EATON VANCE INCOME FUND OF BOSTON C B	0.00	5.24	0.00	5.24	50.0 2.62
12/11/2017	75501674	CARR, MARGARET		FIDELITY ADV EQUITY GROWTH CL T B	0.00	5.55	0.00	5.55	50.0 2.78
12/11/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR INTL DISCOVERY FD B	0.00	3.34	0.00	3.34	50.0 1.67
12/11/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR UTILITIES CL T B	0.00	0.77	0.00	0.77	50.0 0.00
12/11/2017	75501674	CARR, MARGARET		FIDELITY ADV TECHNOLOGY CL T B	0.00	5.40	0.00	5.40	50.0 2.70
12/11/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	3.02	0.00	3.02	50.0 1.51
12/11/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	5.10	0.00	5.10	50.0 2.55
12/11/2017	75501674	CARR, MARGARET		FIDELITY FINL TR STRATEGIC DIVID & B	0.00	13.95	0.00	13.95	50.0 6.98
12/11/2017	75501676	REBER, WINCHELL		FIDELITY ADV STRATEGIC INCOME CL C B	0.00	1.12	0.00	1.12	50.0 0.56
12/11/2017	75501676	REBER, WINCHELL		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.05	0.00	1.05	50.0 0.53
12/11/2017	75501676	REBER, WINCHELL		FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	0.85	0.00	0.85	50.0 0.00
12/11/2017	75501676	REBER, WINCHELL		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.08	0.00	1.08	50.0 0.54
12/11/2017	75501676	REBER, WINCHELL		FRANKLIN INCOME FUND CLASS C B	0.00	1.37	0.00	1.37	50.0 0.69
12/11/2017	75501676	REBER, WINCHELL		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.91	0.00	0.91	50.0 0.00
12/08/2017	75501679	SOIGNOLI, ANTHONY		DELAWARE GROUP TAX FREE USA FUND-CL B	0.00	13.19	0.00	13.19	50.0 6.60
12/11/2017	75501679	SOIGNOLI, ANTHONY		FEDERATED STRATEGIC INCOME FUND B	0.00	0.91	0.00	0.91	50.0 0.00
12/11/2017	75501679	SOIGNOLI, ANTHONY		FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	6.34	0.00	6.34	50.0 3.17
12/11/2017	75501679	SOIGNOLI, ANTHONY		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	8.09	0.00	8.09	50.0 4.05
12/11/2017	75501679	SOIGNOLI, ANTHONY		FRANKLIN INCOME FUND CLASS C B	0.00	2.07	0.00	2.07	50.0 1.04
12/11/2017	75501680	REBER, WINCHELL		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.26	0.00	1.26	50.0 0.63
12/11/2017	75501680	REBER, WINCHELL		FRANKLIN INCOME FUND CLASS C B	0.00	0.82	0.00	0.82	50.0 0.00
12/11/2017	75501680	REBER, WINCHELL		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.31	0.00	0.31	50.0 0.00
12/11/2017	75501681	SOIGNOLI, ANTHONY		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	1.50	0.00	1.50	50.0 0.75
12/11/2017	75501681	SOIGNOLI, ANTHONY		COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.80	0.00	1.80	50.0 0.90
12/11/2017	75501681	SOIGNOLI, ANTHONY		FEDERATED STRATEGIC INCOME FUND B	0.00	3.66	0.00	3.66	50.0 1.83

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	14.07	0.00	14.07	50.0	7.04
12/11/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	5.01	0.00	5.01	50.0	2.51
12/11/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	3.45	0.00	3.45	50.0	1.73
12/11/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.52	0.00	3.52	50.0	1.76
12/11/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION B	0.00	5.34	0.00	5.34	50.0	2.67
12/11/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH B	0.00	4.89	0.00	4.89	50.0	2.45
12/11/2017	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	7.15	0.00	7.15	50.0	3.58
12/11/2017	75501682	REBER, WINCHELL	PUTNAM 746792100 B	0.00	0.74	0.00	0.74	50.0	0.00
12/11/2017	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.57	0.00	4.57	50.0	2.29
12/11/2017	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.78	0.00	1.78	50.0	0.89
12/11/2017	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	2.99	0.00	2.99	50.0	1.50
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.24	0.00	1.24	50.0	0.62
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C B	0.00	2.99	0.00	2.99	50.0	1.50
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A B	0.00	3.04	0.00	3.04	50.0	1.52
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.13	0.00	1.13	50.0	0.57
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A B	0.00	1.01	0.00	1.01	50.0	0.51
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408 B	0.00	2.09	0.00	2.09	50.0	1.05
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	1.17	0.00	1.17	50.0	0.59
12/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	2.37	0.00	2.37	50.0	1.19
12/11/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	0.93	0.00	0.93	50.0	0.00
12/11/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.06	0.00	1.06	50.0	0.53
12/11/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	0.94	0.00	0.94	50.0	0.00
12/11/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.23	0.00	1.23	50.0	0.62
12/11/2017	75501685	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B	0.00	1.37	0.00	1.37	50.0	0.69
12/11/2017	75501685	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.57	0.00	0.57	50.0	0.00
12/11/2017	75501686	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.72	0.00	1.72	50.0	0.86
12/11/2017	75501686	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B	0.00	0.96	0.00	0.96	50.0	0.00
12/11/2017	75501686	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.42	0.00	0.42	50.0	0.00
12/11/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367 B	0.00	1.13	0.00	1.13	50.0	0.57
12/11/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474 B	0.00	0.59	0.00	0.59	50.0	0.00
12/11/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.72	0.00	0.72	50.0	0.00
12/11/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505 B	0.00	0.07	0.00	0.07	50.0	0.00
12/11/2017	75501687	DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	0.01	0.00	0.01	50.0	0.00
12/11/2017	75501687	DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C B	0.00	0.71	0.00	0.71	50.0	0.00
12/11/2017	75501688	BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B	0.00	0.87	0.00	0.87	50.0	0.00
12/11/2017	75501689	BERRY, THOMAS	**GAMCO GROWTH FD INC B	0.00	1.93	0.00	1.93	50.0	0.97
12/11/2017	75501689	BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A B	0.00	1.11	0.00	1.11	50.0	0.56
12/11/2017	75501690	EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B	0.00	0.73	0.00	0.73	50.0	0.00
12/11/2017	75501691	BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND B	0.00	2.64	0.00	2.64	50.0	1.32
12/11/2017	75501691	BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR B	0.00	3.20	0.00	3.20	50.0	1.60

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	75501691	BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	9.77	0.00	9.77	50.0	4.89
12/11/2017	75501691	BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC B	0.00	2.72	0.00	2.72	50.0	1.36
12/11/2017	75501691	BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	4.32	0.00	4.32	50.0	2.16
12/11/2017	75501691	BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C B	0.00	2.45	0.00	2.45	50.0	1.23
12/11/2017	75501691	BARGER, WILLIAM	THE NEW ECONOMY FUND-C B	0.00	9.93	0.00	9.93	50.0	4.97
12/11/2017	75501691	BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B	0.00	1.70	0.00	1.70	50.0	0.85
12/11/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B	0.00	2.10	0.00	2.10	50.0	1.05
12/11/2017	75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH B	0.00	0.52	0.00	0.52	50.0	0.00
12/11/2017	75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND B	0.00	0.36	0.00	0.36	50.0	0.00
12/11/2017	75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC B	0.00	0.28	0.00	0.28	50.0	0.00
12/11/2017	75501693	KRAUS, MICHELLE	GAMCO GOLD FD B	0.00	0.31	0.00	0.31	50.0	0.00
12/11/2017	75501694	WARD, MARY	TAX-FREE BOND A B	0.00	12.42	0.00	12.42	50.0	6.21
12/22/2017	75501695	WARD, EDMOND	TOCQUEVILLE GOLD FUND B	0.00	1.18	0.00	1.18	50.0	0.59
12/11/2017	75501697	WARD, EDMOND	AMERICAN MUTUAL FUND-A B	0.00	23.61	0.00	23.61	50.0	11.81
12/11/2017	75501698	GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.37	0.00	0.37	50.0	0.00
12/11/2017	75501698	GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.21	0.00	1.21	50.0	0.61
12/11/2017	75501698	GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A B	0.00	1.35	0.00	1.35	50.0	0.68
12/11/2017	75501698	GROSSO, BETH ANN	THE NEW ECONOMY FUND-A B	0.00	1.45	0.00	1.45	50.0	0.73
12/11/2017	75501699	WARD, EDMOND	AMERICAN MUTUAL FUND-C B	0.00	12.87	0.00	12.87	50.0	6.44
12/11/2017	75501699	WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL B	0.00	49.47	0.00	49.47	50.0	24.74
12/11/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850 B	0.00	1.23	0.00	1.23	50.0	0.62
12/11/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.72	0.00	1.72	50.0	0.86
12/11/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	2.12	0.00	2.12	50.0	1.06
12/11/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T B	0.00	2.39	0.00	2.39	50.0	1.20
12/11/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T B	0.00	0.15	0.00	0.15	50.0	0.00
12/11/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T B	0.00	3.01	0.00	3.01	50.0	1.51
12/11/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD B	0.00	1.74	0.00	1.74	50.0	0.87
12/11/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND B	0.00	0.96	0.00	0.96	50.0	0.00
12/11/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	0.00	0.50	0.00	0.50	50.0	0.00
12/11/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING B	0.00	0.26	0.00	0.26	50.0	0.00
12/11/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND B	0.00	1.06	0.00	1.06	50.0	0.53
12/11/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	3.68	0.00	3.68	50.0	1.84
12/11/2017	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	0.00	2.42	0.00	2.42	50.0	1.21
12/11/2017	75501746	CIAVARELLA, LOUIS	EATON VANCE GROWTH TR RICHRD BERNST B	0.00	2.15	0.00	2.15	50.0	1.08
12/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	0.00	2.36	0.00	2.36	50.0	1.18
12/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834 B	0.00	0.83	0.00	0.83	50.0	0.00
12/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A B	0.00	4.40	0.00	4.40	50.0	2.20
12/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING B	0.00	2.41	0.00	2.41	50.0	1.21
12/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	2.31	0.00	2.31	50.0	1.16
12/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP B	0.00	2.18	0.00	2.18	50.0	1.09

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/11/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B	0.00	1.26	0.00	1.26	50.0	0.63
12/11/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE B	0.00	0.57	0.00	0.57	50.0	0.00
12/11/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND B	0.00	1.23	0.00	1.23	50.0	0.62
12/11/2017	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B	0.00	0.49	0.00	0.49	50.0	0.00
12/11/2017	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.77	0.00	0.77	50.0	0.00
12/11/2017	75501758	BERGEN, MIMI	BLK 091928846 B	0.00	0.44	0.00	0.44	50.0	0.00
12/11/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B	0.00	2.89	0.00	2.89	50.0	1.45
12/11/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367 B	0.00	6.31	0.00	6.31	50.0	3.16
12/11/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524 B	0.00	10.54	0.00	10.54	50.0	5.27
12/11/2017	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	5.31	0.00	5.31	50.0	2.66
12/11/2017	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B	0.00	12.24	0.00	12.24	50.0	6.12
12/11/2017	75501976	WARD, BRANDON	JANUS INVT FD HENDERSON INTL OPVRTN B	0.00	8.80	0.00	8.80	50.0	4.40
12/11/2017	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601 B	0.00	4.37	0.00	4.37	50.0	2.19
12/11/2017	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B	0.00	18.69	0.00	18.69	50.0	9.35
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$481.38</b>	<b>\$0.00</b>	<b>\$481.38</b>		<b>\$229.19</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$245,141.07</b>	<b>\$2,785.45</b>	<b>\$0.00</b>	<b>\$2,785.45</b>		<b>\$1,363.94</b>

**ADJUSTMENTS**

12/31/2017	OTHER: OTHER-ADVISORY EXPENSES	0.00	0.00	0.00	0.00	-136.67
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-136.67</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,363.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-136.67
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.48
SIPC Assessment	\$-6.96

<b>Check Amount</b>	<b>\$1,216.83</b>
<b>Year-to-date Earnings</b>	<b>\$10,990.34</b>