



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**April 30, 2017**

04/27/2017  
Page No. 1

DANIEL RAUPP  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: R132  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
03/22/2017	KRESLAVSKIY, DIMITRY	GPB AUTOMOTIVE PORTFOLIO LP	164,000.00	11,480.00	0.00	11,480.00	100.0	11,480.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$164,000.00	\$11,480.00	\$0.00	\$11,480.00		\$11,480.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/24/2017	DORSO, FRANK	SEARS HLDGS CORP COM	-7,500.00	0.00	22.90	-22.90	100.0	-22.90
		* STOCKS SUBTOTAL *	\$-7,500.00	\$0.00	\$22.90	\$-22.90		\$-22.90
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/31/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.46	0.00	2.46	100.0	2.46
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2.46	\$0.00	\$2.46		\$2.46
		*** BROKER TRANSACTIONS TOTAL ***	\$156,500.00	\$11,482.46	\$22.90	\$11,459.56		\$11,459.56

**SPLIT TRANSACTIONS**

<b><u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u></b>								
03/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	23.62	0.00	23.62	100.0	23.62
03/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	25.47	0.00	25.47	100.0	25.47
04/25/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	30,996.41	774.91	11.00	763.91	100.0	763.91
04/25/2017	RENEGAR, ROBERT	GLOBAL NET LEASE INC COM	-26,232.33	100.00	11.81	88.19	100.0	88.19
		* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *	\$4,764.08	\$924.00	\$22.81	\$901.19		\$901.19
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
04/21/2017	DEGREGORIO, JOHN	BIODELIVERY SCIENCES INTL INC COM	12,800.00	25.00	6.75	18.25	100.0	18.25
04/24/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	8,200.00	102.50	5.50	97.00	100.0	97.00
04/24/2017	BARBER, STEVE	COLONY NORTHSTAR INC CL A COM	-7,469.25	12.50	5.72	6.78	100.0	6.78
04/24/2017	SOHMER, HARVEY	GLOBAL NET LEASE INC COM	1,128.38	0.00	5.63	-5.63	100.0	-5.63
		* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *	\$14,659.13	\$140.00	\$23.60	\$116.40		\$116.40

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
03/31/2017	SHEA III, JOHN	GRIFFIN AMERICAN HEALTHCARE REIT IV	0.00	2.59	0.00	2.59	100.0	2.59
04/21/2017	LANDGREBE, CAROL	TRANSAMERICA B SHARE NY	135,000.00	3,307.50	0.00	3,307.50	100.0	3,307.50
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			<b>\$135,000.00</b>	<b>\$3,310.09</b>	<b>\$0.00</b>	<b>\$3,310.09</b>		<b>\$3,310.09</b>
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
04/13/2017	BROOKHAVEN 401K	LFG TRAILS	0.00	147.08	0.00	147.08	100.0	147.08
03/31/2017	PALM BEACH	ADP TRAILS	0.00	307.76	0.00	307.76	100.0	307.76
03/31/2017	ENDOCRINOLOGY & DIABE	ADP TRAILS	0.00	5.87	0.00	5.87	100.0	5.87
03/31/2017	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	213.03	0.00	213.03	100.0	213.03
03/31/2017	ORTHODONTIC ASSOC	ADP TRAILS	0.00	1,925.20	0.00	1,925.20	100.0	1,925.20
04/13/2017	DEEZ NUTZ LLC	GPB AUTOMOTIVE PORTFOLIO LP	250,000.00	8,750.00	0.00	8,750.00	100.0	8,750.00
04/20/2017	DEEZ NUTZ LLC	GPB AUTOMOTIVE PORTFOLIO LP	-250,000.00	-8,750.00	0.00	-8,750.00	100.0	-8,750.00
04/20/2017	DEEZ NUTZ LLC	GPB AUTOMOTIVE PORTFOLIO LP	250,000.00	3,750.00	0.00	3,750.00	100.0	3,750.00
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			<b>\$250,000.00</b>	<b>\$6,348.94</b>	<b>\$0.00</b>	<b>\$6,348.94</b>		<b>\$6,348.94</b>
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
03/21/2017	PAVIS, NANCY	GPB AUTOMOTIVE PORTFOLIO LP	80,000.00	2,800.00	0.00	2,800.00	100.0	2,800.00
03/30/2017	DOLCE, CHARLES	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,750.00	0.00	1,750.00	100.0	1,750.00
04/13/2017	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	52.09	0.00	52.09	100.0	52.09
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			<b>\$130,000.00</b>	<b>\$4,602.09</b>	<b>\$0.00</b>	<b>\$4,602.09</b>		<b>\$4,602.09</b>
<b><u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u></b>								
04/18/2017	KURTZ, MICHAEL	BIODELIVERY SCIENCES INTL INC COM	3,300.00	7.50	6.25	1.25	100.0	1.25
04/11/2017	TROVATO, JOSEPH	DENBURY RES INC GTD SR SUB NT 5.500	-46,014.30	0.00	0.00	0.00	100.0	0.00
04/18/2017	FORRAI, LESLIE	PREFERRED APT CMNTYS INC COM	-5,662.52	7.50	5.65	1.85	100.0	1.85
04/11/2017	TROVATO, JOSEPH	RESOLUTE FST PRODS INC GTD SR NT FU	-15,603.45	0.00	0.00	0.00	100.0	0.00
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			<b>\$-63,980.27</b>	<b>\$15.00</b>	<b>\$11.90</b>	<b>\$3.10</b>		<b>\$3.10</b>
<b><u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u></b>								
03/31/2017	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	0.00	2.51	0.00	2.51	100.0	2.51
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			<b>\$0.00</b>	<b>\$2.51</b>	<b>\$0.00</b>	<b>\$2.51</b>		<b>\$2.51</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
04/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,000.35	6.00	3.30	2.70	100.0	2.70
03/31/2017	MORONEY JR, JOHN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	16.46	0.00	16.46	100.0	16.46
03/31/2017	FLAHERTY, PATRICIA	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	14.79	0.00	14.79	100.0	14.79

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
03/31/2017	MORONEY JR, JOHN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	0.92	0.00	0.92	100.0	0.92
03/31/2017	FLAHERTY, STEPHEN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	36.99	0.00	36.99	100.0	36.99
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	65,028.07	0.00	3.30	-3.30	100.0	-3.30
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$66,028.42	\$75.16	\$6.60	\$68.56		\$68.56
*** SPLIT TRANSACTIONS TOTAL ***			\$536,471.36	\$15,417.79	\$64.91	\$15,352.88		\$15,352.88
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JEFFREY KIESNOSKI #243</u></b>								
04/13/2017	DEMIAN, CAROLE	COLONY NORTHSTAR INC CL A COM	-7,756.39	50.00	22.91	27.09	94.0	1.63
* JEFFREY KIESNOSKI SUBTOTAL *			\$-7,756.39	\$50.00	\$22.91	\$27.09		\$1.63
<b><u>BROKER: JON PAUL CIRELLI #246</u></b>								
03/31/2017	BARREDO DMD PLAN, GLORIA	ADP TRAILS	0.00	19.17	0.00	19.17	95.0	0.96
03/31/2017	KURITZKY ARCHITECTUR, PASQUALE	ADP TRAILS	0.00	598.72	0.00	598.72	95.0	29.94
03/31/2017	JARDIN, DEAN	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	246.58	0.00	246.58	95.0	12.33
* JON PAUL CIRELLI SUBTOTAL *			\$0.00	\$864.47	\$0.00	\$864.47		\$43.23
<b><u>BROKER: ALAN LICHTENSTEIN #249</u></b>								
04/18/2017	RUSSO REV TR, EUGENIE	AXA STRUCTURED CAP STRATEGIES 16 SE	30,000.00	1,500.00	0.00	1,500.00	75.0	187.50
04/13/2017	WITKIN, CAROL ANN	LEGGETT & PLATT INC	-11,872.84	0.00	22.50	-22.50	75.0	-2.81
04/12/2017	RUSSO REV TR, EUGENIE	LOOMIS SAYLES STRATEGIC INCOME FUND	-13,321.18	0.00	22.00	-22.00	75.0	-2.75
04/17/2017	WITKIN, CAROL ANN	RETAIL PPTYS AMER INC CL A	-5,093.38	0.00	22.52	-22.52	75.0	-2.82
* ALAN LICHTENSTEIN SUBTOTAL *			\$-287.40	\$1,500.00	\$67.02	\$1,432.98		\$179.12
<b><u>BROKER: MARC LINSKY #263</u></b>								
03/31/2017	CAMARDO, DONNA	ASCENSUS 529 TRAILS	0.00	24.24	0.00	24.24	90.0	0.41
04/17/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	406.98	20.35	22.00	-1.65	90.0	-0.03
04/19/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	46.50	2.33	0.00	2.33	90.0	0.04
04/19/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	43.50	2.18	0.00	2.18	90.0	0.04
04/19/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	46.50	2.33	0.00	2.33	90.0	0.04
04/19/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	39.00	1.95	0.00	1.95	90.0	0.03
04/19/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	57.00	2.85	0.00	2.85	90.0	0.05
04/19/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	67.50	3.38	0.00	3.38	90.0	0.06
04/17/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARC LINSKY #263</u></b>								
04/17/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	96.22	4.81	22.00	-17.19	90.0	-0.29
04/17/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	402.80	20.14	22.00	-1.86	90.0	-0.03
04/17/2017	LINSKY, MARC	AM FUNDS ICA A	2,027.25	76.02	22.00	54.02	90.0	0.92
04/17/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	404.73	20.24	22.00	-1.76	90.0	-0.03
04/17/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,041.48	76.56	22.00	54.56	90.0	0.93
03/17/2017	JACKSON, SHIRLEY	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
04/18/2017	CHERRY, ADAM	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
03/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	0.00	128.91	0.00	128.91	90.0	2.19
04/17/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	214.62	2.15	22.00	-19.85	90.0	-0.34
04/21/2017	MCFADDEN, JOAN	ING LANDMARK	0.00	198.94	0.00	198.94	90.0	3.38
04/03/2017	PISANI, CARLA	LFG CHOICEPLUS ASSURANCE	541.00	25.70	0.00	25.70	90.0	0.44
04/03/2017	PISANI, NICHOLAS	LFG CHOICEPLUS ASSURANCE	250.00	10.00	0.00	10.00	90.0	0.17
04/03/2017	JACKSON, THOMAS	LFG CHOICEPLUS ASSURANCE	500,000.00	23,750.00	0.00	23,750.00	90.0	403.75
04/10/2017	SANDERLIN, CATHERINE	METLIFE SHEILD LEVEL SELECTOR EQTY	24,827.68	1,489.66	0.00	1,489.66	90.0	25.32
04/17/2017	BALZANO, DONNA	METLIFE SHEILD LEVEL SELECTOR EQTY	180,000.00	10,800.00	0.00	10,800.00	90.0	183.60
04/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	53.20	0.00	53.20	90.0	0.90
04/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	214.61	0.00	214.61	90.0	3.65
<b>* MARC LINSKY SUBTOTAL *</b>			<b>\$911,737.76</b>	<b>\$50,941.80</b>	<b>\$154.00</b>	<b>\$50,787.80</b>		<b>\$863.39</b>
<b><u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u></b>								
04/18/2017	KWO, SOPHIA	EATON VANCE TAX ADVANTAGED GLO GLOB	23,785.20	237.75	24.25	213.50	90.0	7.26
04/18/2017	KWO, RITA	FORD MOTOR CO DEL COM PAR	28,990.00	289.90	25.90	264.00	90.0	8.98
04/18/2017	KWO, SOPHIA	FORD MOTOR CO DEL COM PAR	15,942.78	159.44	24.14	135.30	90.0	4.60
04/25/2017	KWO, SOPHIA	FORD MOTOR CO DEL COM PAR	22,362.02	223.67	24.93	198.74	90.0	6.76
<b>* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *</b>			<b>\$91,080.00</b>	<b>\$910.76</b>	<b>\$99.22</b>	<b>\$811.54</b>		<b>\$27.60</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$994,773.97</b>	<b>\$54,267.03</b>	<b>\$343.15</b>	<b>\$53,923.88</b>		<b>\$1,114.97</b>

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<b><u>ADJUSTMENTS</u></b>								
04/30/2017		*FEDERAL EXPRESS: 5-745-60663 3/22	0.00					92.69
04/30/2017		*FEDERAL EXPRESS: 5-775-97501 4/19	0.00					-41.21
04/30/2017		*FEDERAL EXPRESS: MONTHLY ALLOW 55%	0.00					550.00
04/30/2017		*MISC: 4/15 OVERNIGHT FEE	0.00					-13.34
04/30/2017		*MISC: REV. TRADE ERROR 1/8/16	0.00					478.77
04/30/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
04/30/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
04/30/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					88.60
04/30/2017		*OVERRIDE: BRANCH-CIRELLI 4/15/17	0.00					-3,739.19
04/30/2017		*OVERRIDE: BRANCH-CIRELLI 4/15/17	0.00					805.74
04/30/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					36.48
04/30/2017		*OVERRIDE: BRANCH-KIESNOSKI 4/15/17	0.00					-1,195.41
04/30/2017		*OVERRIDE: BRANCH-KIESNOSKI 4/15/17	0.00					191.08
04/30/2017		*OVERRIDE: LINSKY VA OVERRIDE	0.00					621.22
04/30/2017		*PERSHING: MARK UPS	0.00					30.00
04/30/2017		*PERSHING: MARK UPS	0.00					30.00
04/30/2017		*PERSHING: MARK UPS	0.00					30.00
04/30/2017		*PERSHING: MARK UPS	0.00					15.00
04/30/2017		*PERSHING: MARK UPS	0.00					9.00
04/30/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-CIREL	0.00					3,229.19
04/30/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-CIREL	0.00					511.84
04/30/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-KIESN	0.00					1,004.33
04/30/2017		*SPLIT PAYOUT/OVERRIDE: SPLIT-KIESN	0.00					302.84
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$5,489.63

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$11,459.56
Split Transactions	\$15,352.88
Override Transactions	\$1,114.97
Adjustments	\$5,489.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$33,417.04
Year-to-date Gross	\$459,226.94
Year-to-date Earnings	\$466,844.37