

COMMISSION STATEMENT

April 30, 2018

STEPHEN SUSLOW
14 WEST 12TH STREET
APT 6B
NEW YORK, NY 10011

BROKER #: S01 S22
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/26/2018	75500881	SUSLOW, RONNIE	AT&T INC	B	80,500.00	0.00	7.00	-7.00	85.0	-7.00
03/29/2018	75500918	SUSLOW, ELISSA	AT&T INC	B	252,000.00	0.00	7.00	-7.00	85.0	-7.00
04/04/2018	75500918	SUSLOW, ELISSA	AT&T INC	B	35,510.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75500918	SUSLOW, ELISSA	AT&T INC	S	88,750.00	0.00	7.00	-7.00	85.0	-7.00
04/09/2018	75500918	SUSLOW, ELISSA	AT&T INC	B	88,175.00	0.00	7.00	-7.00	85.0	-7.00
04/18/2018	75500933	LAWRENCE, IRA	INTERNATIONAL BUSINESS MACHINES COR	B	74,373.83	10.00	7.00	3.00	85.0	1.50
03/29/2018	75500986	SUSLOVICH, JACOB	AT&T INC	B	252,000.00	0.00	7.00	-7.00	85.0	-7.00
04/09/2018	75500986	SUSLOVICH, JACOB	AT&T INC	B	35,100.00	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75501161	LAWRENCE, DAVID	CLOUDERA INC COM	B	28,406.84	10.00	7.00	3.00	85.0	1.50
04/19/2018	75501161	LAWRENCE, DAVID	EXXON MOBIL CORPORATION	B	39,605.00	10.00	7.00	3.00	85.0	1.50
04/13/2018	75501196	LAWRENCE, ADELLE	DIREXION SHARES ETF TRUST DIREXION	S	27,580.00	35.00	7.00	28.00	85.0	22.75
04/19/2018	75501196	LAWRENCE, ADELLE	EXXON MOBIL CORPORATION	B	39,605.00	10.00	7.00	3.00	85.0	1.50
03/29/2018	75501215	SISTERS TWO LLC	AT&T INC	B	286,308.76	0.00	7.00	-7.00	85.0	-7.00
03/29/2018	75502141	BOSGANG, ALVIN	CITIGROUP INC COM	S	172,500.00	0.00	7.00	-7.00	85.0	-7.00
03/29/2018	75502141	BOSGANG, ALVIN	WELLS FARGO & CO	S	390,000.00	0.00	7.00	-7.00	85.0	-7.00
04/03/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	2,019,600.00	0.00	7.00	-7.00	85.0	-7.00
04/05/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	43,200.00	0.00	7.00	-7.00	85.0	-7.00
04/13/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	174,800.00	0.00	7.00	-7.00	85.0	-7.00
04/13/2018	75502141	BOSGANG, ALVIN	CITIGROUP INC COM	S	676,200.00	0.00	7.00	-7.00	85.0	-7.00
04/16/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	105,700.00	0.00	7.00	-7.00	85.0	-7.00
04/16/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	2,781,600.00	0.00	7.00	-7.00	85.0	-7.00
04/16/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	573,750.00	0.00	7.00	-7.00	85.0	-7.00
04/25/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	443,300.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *					\$8,708,564.43	\$75.00	\$161.00	\$-86.00		\$-97.25

PRODUCT CATEGORY: OPTIONS

03/29/2018	75500881	SUSLOW, RONNIE	PUT T	04/06/18	36	S	3,680.00	0.00	7.00	-7.00	85.0	-7.00
04/02/2018	75500881	SUSLOW, RONNIE	PUT T	04/06/18	36	B	4,535.00	0.00	7.00	-7.00	85.0	-7.00
04/16/2018	75500881	SUSLOW, RONNIE	PUT T	04/27/18	34.50	S	1,920.00	0.00	7.00	-7.00	85.0	-7.00
04/19/2018	75500881	SUSLOW, RONNIE	CALL T	04/27/18	36	S	1,829.33	0.00	7.00	-7.00	85.0	-7.00
04/20/2018	75500881	SUSLOW, RONNIE	CALL T	04/27/18	36	S	1,284.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
04/23/2018	75500881	SUSLOW, RONNIE	CALL C	05/04/18	73	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
04/23/2018	75500881	SUSLOW, RONNIE	CALL T	04/27/18	36.50	S	640.00	0.00	7.00	-7.00	85.0	-7.00
04/24/2018	75500881	SUSLOW, RONNIE	CALL C	05/04/18	73	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
04/25/2018	75500881	SUSLOW, RONNIE	CALL T	04/27/18	36.50	S	1,840.00	0.00	7.00	-7.00	85.0	-7.00
04/27/2018	75500881	SUSLOW, RONNIE	CALL C	05/11/18	72	S	620.00	0.00	7.00	-7.00	85.0	-7.00
04/03/2018	75500918	SUSLOW, ELISSA	CALL T	04/13/18	35.50	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75500918	SUSLOW, ELISSA	CALL T	04/06/18	35.50	B	1,470.00	0.00	7.00	-7.00	85.0	-7.00
04/09/2018	75500918	SUSLOW, ELISSA	CALL T	04/13/18	35.50	S	850.00	0.00	7.00	-7.00	85.0	-7.00
04/13/2018	75500918	SUSLOW, ELISSA	CALL T	04/20/18	35.50	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
04/13/2018	75500918	SUSLOW, ELISSA	CALL T	04/20/18	36	S	800.00	0.00	7.00	-7.00	85.0	-7.00
04/16/2018	75500918	SUSLOW, ELISSA	PUT T	04/20/18	35	S	640.00	0.00	7.00	-7.00	85.0	-7.00
04/19/2018	75500918	SUSLOW, ELISSA	CALL T	04/27/18	36	S	3,658.67	0.00	7.00	-7.00	85.0	-7.00
04/19/2018	75500918	SUSLOW, ELISSA	PUT T	04/20/18	35	B	1,259.00	0.00	7.00	-7.00	85.0	-7.00
04/23/2018	75500918	SUSLOW, ELISSA	CALL T	04/27/18	36	S	680.00	0.00	7.00	-7.00	85.0	-7.00
04/25/2018	75500918	SUSLOW, ELISSA	CALL C	05/04/18	72.50	S	1,280.00	0.00	7.00	-7.00	85.0	-7.00
04/25/2018	75500918	SUSLOW, ELISSA	PUT T	04/27/18	34	S	1,600.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75500986	SUSLOVICH, JACOB	CALL T	04/13/18	35.50	S	2,030.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75500986	SUSLOVICH, JACOB	CALL T	04/06/18	35.50	B	980.00	0.00	7.00	-7.00	85.0	-7.00
04/09/2018	75500986	SUSLOVICH, JACOB	CALL T	04/13/18	35.50	S	340.00	0.00	7.00	-7.00	85.0	-7.00
04/13/2018	75500986	SUSLOVICH, JACOB	CALL T	04/20/18	35.50	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
04/19/2018	75500986	SUSLOVICH, JACOB	CALL T	04/27/18	36.50	S	3,280.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75501215	SISTERS TWO LLC	CALL T	04/13/18	35.50	S	2,320.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75501215	SISTERS TWO LLC	CALL T	04/06/18	35.50	B	1,120.00	0.00	7.00	-7.00	85.0	-7.00
04/13/2018	75501215	SISTERS TWO LLC	CALL T	04/20/18	35.50	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
04/19/2018	75501215	SISTERS TWO LLC	CALL T	04/27/18	36.50	S	3,280.00	0.00	7.00	-7.00	85.0	-7.00
03/29/2018	75502141	BOSGANG, ALVIN	CALL C	04/06/18	71	S	3,160.00	150.00	7.00	143.00	85.0	120.50
03/29/2018	75502141	BOSGANG, ALVIN	CALL C	04/06/18	71.50	S	2,120.00	106.00	7.00	99.00	85.0	83.10
03/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	70	S	1,100.00	55.00	7.00	48.00	85.0	39.75
03/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	70.50	S	2,400.00	120.00	7.00	113.00	85.0	95.00
03/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	71	S	620.00	30.00	7.00	23.00	85.0	18.50
03/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	71.50	S	3,200.00	150.00	7.00	143.00	85.0	120.50
03/29/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	70.50	S	4,000.00	150.00	7.00	143.00	85.0	120.50
04/03/2018	75502141	BOSGANG, ALVIN	CALL C	04/06/18	70	S	2,016.00	100.00	7.00	93.00	85.0	78.00
04/03/2018	75502141	BOSGANG, ALVIN	CALL C	04/06/18	69	S	4,494.00	147.00	7.00	140.00	85.0	117.95
04/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	75.50	S	150,960.00	200.00	7.00	193.00	85.0	163.00
04/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	76	S	392,880.00	309.00	7.00	302.00	85.0	255.65
04/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	75.50	S	320,652.00	223.00	7.00	216.00	85.0	182.55
04/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	75.50	B	146,944.80	0.00	7.00	-7.00	85.0	-7.00
04/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	76	B	386,700.00	0.00	7.00	-7.00	85.0	-7.00
04/04/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	75.50	B	316,192.00	0.00	7.00	-7.00	85.0	-7.00

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
04/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	04/20/18	70	S	22,100.00	405.00	7.00	398.00	85.0	337.25
04/06/2018	75502141	BOSGANG, ALVIN	CALL C	04/13/18	69	S	11,368.00	147.00	7.00	140.00	85.0	117.95
04/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	71	S	135,992.14	670.00	7.00	663.00	85.0	562.50
04/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	70	B	9,400.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	70.50	B	28,700.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	71	B	6,660.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	71.50	B	38,300.00	0.00	7.00	-7.00	85.0	-7.00
04/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	70.50	B	39,526.06	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	04/20/18	70	B	40,010.00	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/04/18	72	S	114,841.00	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	75.50	B	101,748.00	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	76	B	95,555.00	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	75.50	B	197,884.00	0.00	7.00	-7.00	85.0	-7.00
04/17/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/04/18	72	S	182,089.00	0.00	7.00	-7.00	85.0	-7.00
04/18/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	71	S	2,920.00	146.00	7.00	139.00	85.0	117.10
04/18/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	71.50	S	6,080.00	300.00	7.00	293.00	85.0	248.00
04/19/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	71	S	2,020.00	100.00	7.00	93.00	85.0	78.00
04/20/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	72.50	S	6,060.00	300.00	7.00	293.00	85.0	248.00
04/20/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	73	S	6,600.00	330.00	7.00	323.00	85.0	273.50
04/20/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	73.50	S	4,660.00	233.00	7.00	226.00	85.0	191.05
04/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	71	B	6,984.00	0.00	7.00	-7.00	85.0	-7.00
04/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	71.50	B	11,219.00	0.00	7.00	-7.00	85.0	-7.00
04/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	74	B	746,200.00	0.00	7.00	-7.00	85.0	-7.00
04/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	05/04/18	72	S	594,800.00	0.00	7.00	-7.00	85.0	-7.00
04/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/27/18	71.50	S	26,519.00	300.00	7.00	293.00	85.0	248.00
04/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/27/18	71	S	69,648.00	1,050.00	7.00	1,043.00	85.0	885.50
04/24/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	72.50	S	5,180.00	259.00	7.00	252.00	85.0	213.15
04/25/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	72	S	2,760.00	138.00	7.00	131.00	85.0	110.30
04/26/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	71.50	S	5,662.00	283.00	7.00	276.00	85.0	233.55
04/26/2018	75502141	BOSGANG, ALVIN	CALL C	05/04/18	72	S	2,880.00	144.00	7.00	137.00	85.0	115.40
04/26/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	72	S	4,600.00	230.00	7.00	223.00	85.0	188.50
04/26/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	72.50	S	3,400.00	170.00	7.00	163.00	85.0	137.50
04/26/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	73	S	2,400.00	120.00	7.00	113.00	85.0	95.00
04/27/2018	75502141	BOSGANG, ALVIN	CALL C	05/11/18	72.50	S	10,600.00	530.00	7.00	523.00	85.0	443.50
04/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	75.50	S	172,950.74	1,205.00	7.00	1,198.00	85.0	1,017.25
04/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/27/18	71.50	B	25,369.92	0.00	7.00	-7.00	85.0	-7.00
04/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/27/18	71	B	92,860.00	0.00	7.00	-7.00	85.0	-7.00
* OPTIONS SUBTOTAL *						\$4,626,020.66	\$8,800.00	\$574.00	\$8,226.00	\$6,906.00		

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018		FEE COUNT - 1		0.00	20.61	0.00	20.61	85.0	17.52
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$20.61	\$0.00	\$20.61		\$17.52
		*** BROKER TRANSACTIONS TOTAL ***		\$13,334,585.09	\$8,895.61	\$735.00	\$8,160.61		\$6,826.27

ADJUSTMENTS

04/30/2018		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-556.05
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$6,826.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.12
SIPC Assessment	\$-22.24

Check Amount	\$6,236.86
Year-to-date Earnings	\$12,188.51