

COMMISSION STATEMENT

April 30, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: P71 P72
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

| | | | | | | | | | | |
|------------|-----------|-------------------|---------------------------------------|---|------------|--------|------|--------|------|-------|
| 03/28/2018 | QGV001100 | AND EDWARD FRENCH | BIOTELEMETRY INC COM | S | -6,063.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 03/28/2018 | QGV001100 | AND EDWARD FRENCH | CYTOSORBENTS CORP COM NEW | S | -3,553.75 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 03/28/2018 | QGV001100 | AND EDWARD FRENCH | ENERGIOUS CORP COM | S | -7,607.50 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/04/2018 | QGV001100 | AND EDWARD FRENCH | CRISPR THERAPEUTICS AG NAMEN-AKT IS B | B | 8,855.80 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/04/2018 | QGV001100 | AND EDWARD FRENCH | FLOOR & DECOR HLDGS INC COM CL A | B | 11,251.98 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/04/2018 | QGV001100 | AND EDWARD FRENCH | TG THERAPEUTICS INC COM | B | 4,237.50 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/09/2018 | QGV001100 | AND EDWARD FRENCH | ARRAY BIOPHARMA INC | S | -7,221.15 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/10/2018 | QGV001100 | AND EDWARD FRENCH | ATLASSIAN CORPORATION PLC CLASS A | B | 11,294.60 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/10/2018 | QGV001100 | AND EDWARD FRENCH | AUTOHOME INC SPONSORED ADR REPST CL B | B | 18,903.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/10/2018 | QGV001100 | AND EDWARD FRENCH | NUTANIX INC CL A | B | 10,595.80 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/11/2018 | QGV001100 | AND EDWARD FRENCH | ATLASSIAN CORPORATION PLC CLASS A | B | 11,779.06 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/11/2018 | QGV001100 | AND EDWARD FRENCH | AXON ENTERPRISE INC COM STOCK | B | 8,600.30 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/11/2018 | QGV001100 | AND EDWARD FRENCH | CRISPR THERAPEUTICS AG NAMEN-AKT IS B | B | 10,077.04 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/12/2018 | QGV001100 | AND EDWARD FRENCH | NANOSTRING TECHNOLOGIES INC | B | 9,299.40 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/16/2018 | QGV001100 | AND EDWARD FRENCH | CARVANA CO CL A | B | 5,102.48 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/16/2018 | QGV001100 | AND EDWARD FRENCH | NEKTAR THERAPEUTICS COM | S | -27,494.40 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/16/2018 | QGV001100 | AND EDWARD FRENCH | PROGENICS PHARMACEUTICALS INC | S | -7,233.80 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/17/2018 | QGV001100 | AND EDWARD FRENCH | KRAKEN ROBOTICS INC COM ISIN#CA5007 B | B | 6,385.00 | 120.00 | 0.00 | 120.00 | 40.0 | 48.00 |
| 04/17/2018 | QGV001100 | AND EDWARD FRENCH | MIX TELEMATICS LTD SPONS ADR ISIN#U B | B | 8,274.75 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/18/2018 | QGV001100 | AND EDWARD FRENCH | RIGEL PHARMACEUTICALS INC COM NEW | S | -7,844.80 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/20/2018 | QGV001100 | AND EDWARD FRENCH | IQIYI INC SPONS ADR ISIN#US46267X10 B | B | 8,970.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/20/2018 | QGV001100 | AND EDWARD FRENCH | NEKTAR THERAPEUTICS COM | S | -17,292.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/20/2018 | QGV001100 | AND EDWARD FRENCH | SEASpan CORP COM | B | 7,497.20 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | ADMA BIOLOGICS INC COM | S | -4,811.90 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | AUTOHOME INC SPONSORED ADR REPST CL S | S | -19,225.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | CARVANA CO CL A | S | -5,708.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | EDITAS MEDICINE INC COM | S | -6,512.40 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | FLEX PHARMA INC COM | S | -5,210.40 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | MOLECULAR TEMPLATES INC COM | S | -16,066.00 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/24/2018 | QGV001100 | AND EDWARD FRENCH | NUTANIX INC CL A | S | -10,767.66 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/26/2018 | QGV001100 | AND EDWARD FRENCH | TG THERAPEUTICS INC COM | B | 7,237.50 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-----------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 04/11/2018 | QGV002439 | Client Not Found | | | | | | | |
| 04/11/2018 | QGV002439 | SPDR GOLD TR GOLD SHS | B | 1,288,000.00 | 300.00 | 0.00 | 300.00 | 40.0 | 120.00 |
| 03/28/2018 | QGW002180 | FRENCH, JOHN | | | | | | | |
| 03/28/2018 | QGW002180 | BIOTELEMETRY INC COM | S | -9,107.25 | 73.00 | 0.00 | 73.00 | 40.0 | 29.20 |
| 04/02/2018 | QGW002180 | FRENCH, JOHN | | | | | | | |
| 04/02/2018 | QGW002180 | SWISS FRANC CURRENCY | S | 411.13 | 0.88 | 0.00 | 0.88 | 40.0 | 0.35 |
| 04/02/2018 | QGW002180 | FRENCH, JOHN | | | | | | | |
| 04/02/2018 | QGW002180 | SWISS FRANC CURRENCY | S | -411.13 | -0.88 | 0.00 | -0.88 | 40.0 | -0.35 C |
| 04/20/2018 | QGW002537 | SHERMAN, STEPHEN | | | | | | | |
| 04/20/2018 | QGW002537 | MEDICINE MAN TECHNOLOGIES INC COM | B | 422.00 | 50.00 | 0.00 | 50.00 | 40.0 | 20.00 |
| 04/20/2018 | QGW002537 | SHERMAN, STEPHEN | | | | | | | |
| 04/20/2018 | QGW002537 | NOVAVAX INC COM | S | -346.64 | 50.00 | 0.00 | 50.00 | 40.0 | 20.00 |
| 04/23/2018 | QGW002719 | HINDMARCH, JAMES | | | | | | | |
| 04/23/2018 | QGW002719 | SILVERCORP METALS INC COM ISIN#CA82 S | S | -8,436.90 | 90.00 | 0.00 | 90.00 | 40.0 | 36.00 |
| 04/12/2018 | QGW002792 | MAHARISHI UNIVERSITY | | | | | | | |
| 04/12/2018 | QGW002792 | ISHARES TR MSCI KLD 400 SOCIAL ETF | S | -49,420.60 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 03/28/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 03/28/2018 | QGW002925 | PROSHARES TRUST PROSHARES ULTRASHOR | S | -12,692.10 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 03/28/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 03/28/2018 | QGW002925 | PROSHARES ULTRASHORT QQQ | S | -3,813.81 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/06/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/06/2018 | QGW002925 | CRISPR THERAPEUTICS AG NAMEN-AKT IS B | B | 8,820.00 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/06/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/06/2018 | QGW002925 | HEWLETT PACKARD ENTERPRISE COMPANY | B | 5,024.34 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/10/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/10/2018 | QGW002925 | INTEL CORP | S | -10,126.72 | 50.00 | 0.00 | 50.00 | 40.0 | 20.00 |
| 04/12/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/12/2018 | QGW002925 | NANOSTRING TECHNOLOGIES INC | B | 4,680.00 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/13/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/13/2018 | QGW002925 | CRISPR THERAPEUTICS AG NAMEN-AKT IS S | S | -10,334.46 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/16/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/16/2018 | QGW002925 | APPLIED OPTOELECTRONICS INC COM | B | 8,589.00 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/17/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/17/2018 | QGW002925 | TANZANIAN ROYALTY EXPLORATION CORPO | B | 1,245.75 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/18/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/18/2018 | QGW002925 | U S SILICA HOLDINGS INC | B | 5,662.84 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/19/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/19/2018 | QGW002925 | APPLIED OPTOELECTRONICS INC COM | S | -9,333.00 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/25/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/25/2018 | QGW002925 | CSX CORPORATION COM | B | 17,847.00 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/26/2018 | QGW002925 | BATHRICK, CHARLES | | | | | | | |
| 04/26/2018 | QGW002925 | U S SILICA HOLDINGS INC | S | -6,025.00 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 03/28/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 03/28/2018 | QGW002974 | PROSHARES TRUST PROSHARES ULTRASHOR | B | 41,850.00 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 03/28/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 03/28/2018 | QGW002974 | PROSHARES TRUST PROSHARES ULTRASHOR | S | -82,726.00 | 200.00 | 0.00 | 200.00 | 40.0 | 80.00 |
| 03/29/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 03/29/2018 | QGW002974 | ANADARKO PETROLEUM CORP | B | 59,612.00 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 03/29/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 03/29/2018 | QGW002974 | LAS VEGAS SANDS CORP | B | 71,146.20 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 03/29/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 03/29/2018 | QGW002974 | LAS VEGAS SANDS CORP | S | -72,115.60 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/02/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/02/2018 | QGW002974 | CONCHO RESOURCES INC | B | 36,479.33 | 100.00 | 0.00 | 100.00 | 40.0 | 40.00 |
| 04/03/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/03/2018 | QGW002974 | ANADARKO PETROLEUM CORP | B | 58,240.50 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/04/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/04/2018 | QGW002974 | PROSHARES TRUST PROSHARES ULTRASHOR | B | 42,135.80 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/05/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/05/2018 | QGW002974 | PROSHARES TRUST PROSHARES ULTRASHOR | S | -40,103.40 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/05/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/05/2018 | QGW002974 | TIDEWATER INC NEW COM | B | 30,504.00 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/06/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/06/2018 | QGW002974 | ANADARKO PETROLEUM CORP | S | -118,574.40 | 200.00 | 0.00 | 200.00 | 40.0 | 80.00 |
| 04/06/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/06/2018 | QGW002974 | CONCHO RESOURCES INC | S | -33,835.53 | 100.00 | 0.00 | 100.00 | 40.0 | 40.00 |
| 04/09/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/09/2018 | QGW002974 | ANADARKO PETROLEUM CORP | B | 60,076.60 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/09/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/09/2018 | QGW002974 | APACHE CORP COMMON | B | 78,270.40 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/09/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/09/2018 | QGW002974 | PROSHARES TRUST PROSHARES ULTRASHOR | B | 41,088.40 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/10/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/10/2018 | QGW002974 | PROSHARES TRUST PROSHARES ULTRASHOR | B | 40,293.20 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/11/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/11/2018 | QGW002974 | CARBO CERAMICS INC COM | B | 8,066.30 | 100.00 | 0.00 | 100.00 | 40.0 | 40.00 |
| 04/11/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/11/2018 | QGW002974 | VANECK VECTORS ETF TR GOLD MINERS E | B | 22,936.30 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/12/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| 04/12/2018 | QGW002974 | ANADARKO PETROLEUM CORP | B | 63,190.60 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |

COMMISSION STATEMENT for BARRY FORST

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-----------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 04/13/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | ANADARKO PETROLEUM CORP | S | -62,784.00 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/13/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | APACHE CORP COMMON | S | -40,160.10 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/18/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | SCHLUMBERGER LTD | B | 35,182.50 | 125.00 | 0.00 | 125.00 | 40.0 | 50.00 |
| 04/18/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | U S SILICA HOLDINGS INC | B | 28,377.40 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | ANADARKO PETROLEUM CORP | S | -66,506.30 | 200.00 | 0.00 | 200.00 | 40.0 | 80.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | APACHE CORP COMMON | S | -42,422.50 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | CARBO CERAMICS INC COM | S | -8,512.50 | 125.00 | 0.00 | 125.00 | 40.0 | 50.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | FLOTEK INDS INC DEL COM | B | 6,651.10 | 100.00 | 0.00 | 100.00 | 40.0 | 40.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | PHILIP MORRIS INTERNATIONAL INC | B | 42,853.30 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | PROSHARES TRUST PROSHARES ULTRASHOR | B | 39,379.90 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/19/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | TIDEWATER INC NEW COM | S | -32,665.30 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/20/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | ANADARKO PETROLEUM CORP | B | 65,990.00 | 175.00 | 0.00 | 175.00 | 40.0 | 70.00 |
| 04/20/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | APACHE CORP COMMON | B | 82,204.00 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/23/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | PHILIP MORRIS INTERNATIONAL INC | S | -41,632.65 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/24/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | PROSHARES TRUST PROSHARES ULTRASHOR | S | -41,060.00 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/24/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | U S SILICA HOLDINGS INC | B | 27,776.60 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/25/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | ANADARKO PETROLEUM CORP | S | -66,463.40 | 175.00 | 0.00 | 175.00 | 40.0 | 70.00 |
| 04/25/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | APACHE CORP COMMON | S | -81,688.80 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/25/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | SCHLUMBERGER LTD | S | -34,248.45 | 125.00 | 0.00 | 125.00 | 40.0 | 50.00 |
| 04/25/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | U S SILICA HOLDINGS INC | S | -56,260.20 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/26/2018 | QGW002974 | CAIN, ANTHONY | | | | | | | |
| | | PROSHARES TRUST PROSHARES ULTRASHOR | B | 40,257.00 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/26/2018 | QGW003287 | KLUTH, KENT | | | | | | | |
| | | AT&T INC | B | 10,040.97 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/20/2018 | QGW003568 | SZTELLE, MATTHEW | | | | | | | |
| | | TANZANIAN ROYALTY EXPLORATION CORPO | B | 4,491.15 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/19/2018 | QGW004392 | VALENTINE, OLIVIA | | | | | | | |
| | | CONOCOPHILLIPS | B | 32,888.30 | 150.00 | 0.00 | 150.00 | 40.0 | 60.00 |
| 04/20/2018 | QGW004392 | VALENTINE, OLIVIA | | | | | | | |
| | | CHEVRON CORPORATION | B | 61,082.80 | 200.00 | 0.00 | 200.00 | 40.0 | 80.00 |
| 04/25/2018 | QGW004392 | VALENTINE, OLIVIA | | | | | | | |
| | | ALIBABA GROUP HOLDING LTD SPONSORED | S | -166,686.90 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 03/28/2018 | QGW008468 | DRUERY, MARIE | | | | | | | |
| | | DOWDUPONT INC COMMON STOCK | S | -7,993.69 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/23/2018 | QGW008468 | DRUERY, MARIE | | | | | | | |
| | | LAZARD GLOBAL TOTAL RETURN & INCOME | S | -9,125.00 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/02/2018 | QGW014425 | JAROSAK, PETER | | | | | | | |
| | | SWISS FRANC CURRENCY | S | 411.13 | 0.87 | 0.00 | 0.87 | 40.0 | 0.35 |
| 04/03/2018 | QGW014425 | JAROSAK, PETER | | | | | | | |
| | | AUSTRALIAN DOLLAR CURRENCY | S | 18,803.47 | 30.09 | 0.00 | 30.09 | 40.0 | 12.04 |
| 04/25/2018 | QGW014458 | B, MICHELLE | | | | | | | |
| | | BELO SUN MNG CORP COM ISIN#CA080558 | S | -2,080.00 | 30.00 | 0.00 | 30.00 | 40.0 | 12.00 |
| 04/25/2018 | QGW014458 | B, MICHELLE | | | | | | | |
| | | BELO SUN MNG CORP COM ISIN#CA080558 | S | -7,670.00 | 110.62 | 0.00 | 110.62 | 40.0 | 44.25 |
| 04/25/2018 | QGW014458 | B, MICHELLE | | | | | | | |
| | | BELO SUN MNG CORP COM ISIN#CA080558 | S | -650.00 | 9.38 | 0.00 | 9.38 | 40.0 | 3.75 |
| 04/23/2018 | QGW021867 | MERGOTT, JONATHAN | | | | | | | |
| | | NEW GOLD INC | S | -1,099.80 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/02/2018 | QGW021990 | STEINMETZ, GEORGE | | | | | | | |
| | | SPDR SER TR S&P BK ETF | S | -46,822.10 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 04/04/2018 | QGW021990 | STEINMETZ, GEORGE | | | | | | | |
| | | ALIBABA GROUP HOLDING LTD SPONSORED | S | -15,220.81 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 04/10/2018 | QGW021990 | STEINMETZ, GEORGE | | | | | | | |
| | | SELECT SECTOR SPDR TRUST SHS BEN IN | B | 27,722.92 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 04/11/2018 | QGW021990 | STEINMETZ, GEORGE | | | | | | | |
| | | GOLDMAN SACHS GROUP INC | B | 12,654.00 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 04/12/2018 | QGW021990 | STEINMETZ, GEORGE | | | | | | | |
| | | BROADCOM INC COMMON STOCK | B | 23,786.97 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 04/17/2018 | QGW021990 | STEINMETZ, GEORGE | | | | | | | |
| | | MERCK & CO INC | B | 14,861.10 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |

COMMISSION STATEMENT for BARRY FORST

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|--------------------------|-----------|-------------------|-----|---------------------------------------|---------------------|--------------------|-------------------|-------------|----------------------|------------|--------|
| BROKER TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | | |
| 04/24/2018 | QGW021990 | STEINMETZ, GEORGE | | GOLDMAN SACHS GROUP INC | S | -26,614.39 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 04/24/2018 | QGW021990 | STEINMETZ, GEORGE | | ISHARES MSCI EMERGING MARKETS ETF | S | -37,210.72 | 60.00 | 0.00 | 60.00 | 40.0 | 24.00 |
| 03/28/2018 | QGW022469 | BARDIN, JOHN | | BRITISH POUND STERLING | S | 544.60 | 1.52 | 0.00 | 1.52 | 40.0 | 0.61 |
| 03/29/2018 | QGW022964 | WOOLMAN, THOMAS | | DOMINION RESOURCES INC VA NEW | B | 338.94 | 50.00 | 0.00 | 50.00 | 40.0 | 20.00 |
| 04/23/2018 | QGW026031 | MERGOTT, JONATHAN | | GOLDCORP INC | S | -2,810.80 | 75.00 | 0.00 | 75.00 | 40.0 | 30.00 |
| 04/20/2018 | QGW038366 | OTTAVINO, MARY | | SPDR SER TR BLOOMBERG BARCLAYS INTL S | | -11,661.12 | 250.00 | 0.00 | 250.00 | 40.0 | 100.00 |
| 04/20/2018 | QGW038366 | OTTAVINO, MARY | | WELLS FARGO & CO NEW DEP SHS SER J_ S | | -5,146.70 | 200.00 | 0.00 | 200.00 | 40.0 | 80.00 |
| 03/28/2018 | QGW039505 | SERGEANT JT TEN, | | KRAKEN ROBOTICS INC COM ISIN#CA5007 B | | 10,364.58 | 415.00 | 0.00 | 415.00 | 40.0 | 166.00 |
| 03/29/2018 | QGW039505 | SERGEANT JT TEN, | | KRAKEN ROBOTICS INC COM ISIN#CA5007 B | | 9,871.40 | 325.00 | 0.00 | 325.00 | 40.0 | 130.00 |
| * STOCKS SUBTOTAL * | | | | | \$1,204,276.70 | \$13,700.48 | \$0.00 | \$13,700.48 | | \$5,480.20 | |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | | |
|------------|--|---------------|--|---|--|-----------------------|--------------------|---------------|--------------------|-------------------|
| 04/30/2018 | | FEE COUNT - 4 | | 0.00 | | 14.82 | 0.00 | 14.82 | 40.0 | 5.93 |
| | | | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | \$0.00 | \$14.82 | \$0.00 | \$14.82 | \$5.93 |
| | | | | *** BROKER TRANSACTIONS TOTAL *** | | \$1,204,276.70 | \$13,715.30 | \$0.00 | \$13,715.30 | \$5,486.13 |

ADJUSTMENTS

| | | | | | | | | |
|------------|--|-------------------------------------|--|----------------------------------|------|---------------|---------------|------------------|
| 04/30/2018 | | : QGW-020216 FEE | | 0.00 | 0.00 | 0.00 | 0.00 | -2.75 |
| 04/30/2018 | | COMMISSION INCOME: ADJUSTMENT- SHAR | | 0.00 | 0.00 | 0.00 | 0.00 | -30.00 |
| 04/30/2018 | | COMMISSION INCOME: ADJUSTMENT -SHAR | | 0.00 | 0.00 | 0.00 | 0.00 | -50.00 |
| 04/30/2018 | | OTHER: COMPLIANCE AND TECHNOLOGY | | 0.00 | 0.00 | 0.00 | 0.00 | -20.00 |
| | | | | *** ADJUSTMENTS TOTAL *** | | \$0.00 | \$0.00 | \$-102.75 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$5,486.13 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-102.75 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-17.14 |
| SIPC Assessment | \$-34.29 |

| | |
|------------------------------|--------------------|
| Check Amount | \$5,331.95 |
| Year-to-date Earnings | \$28,117.80 |