

STATEMENT

February 20, 2018

STEVE GULA
17 Galahad Dr
Suite 100
Manalapan, NJ 07726-2613

BROKER #: 182
BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/03/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
01/03/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
01/03/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
01/03/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
01/03/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
01/03/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/03/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/03/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
01/03/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/03/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/03/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/03/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/03/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/03/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
01/03/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/03/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
01/03/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
01/03/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
01/03/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
01/03/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
01/03/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
01/04/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
01/04/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/04/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
01/04/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
01/04/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
01/04/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	10.65	0.17	93.0	0.00	0.16	0.16
01/04/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/04/2018	#00086957363	WITTER, AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
01/04/2018	#00087729341	ALFONZONEL BOND FUND OF AMERICA INC CL A	3.84	0.06	93.0	0.00	0.06	0.00	0.06
01/04/2018	#00086971602	DAVIS, CLARE CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/04/2018	#00087729341	ALFONZONEL CAPITAL INCOME BUILDER FD CL A	3.84	0.06	93.0	0.00	0.06	0.00	0.06
01/04/2018	#00086957305	CHIN, STACEY CAPITAL WORLD GROWTH & INCOME FUND	10.65	0.17	93.0	0.00	0.16	0.00	0.16
01/04/2018	#00086957312	CHIN, HENRY CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
01/04/2018	#00086957363	WITTER, EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
01/04/2018	#00086971602	DAVIS, CLARE EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/04/2018	#00087729341	ALFONZONEL EURO PACIFIC GROWTH FUND CLASS A	1.92	0.03	93.0	0.00	0.03	0.00	0.03
01/04/2018	#00086957305	CHIN, STACEY FUNDAMENTAL INVESTORS INC CLASS A	10.65	0.17	93.0	0.00	0.16	0.00	0.16
01/04/2018	#00086957312	CHIN, HENRY FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
01/04/2018	#00086957363	WITTER, FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
01/04/2018	#00087729341	ALFONZONEL FUNDAMENTAL INVESTORS INC CLASS A	5.76	0.09	93.0	0.00	0.08	0.00	0.08
01/04/2018	#00087729341	ALFONZONEL GLOBAL BALANCED FUND-A	3.84	0.06	93.0	0.00	0.06	0.00	0.06
01/04/2018	#00086971602	DAVIS, CLARE GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/04/2018	#00086957363	WITTER, INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
01/04/2018	#00086957286	DAUS, ROXANNE INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
01/04/2018	#00086957317	BASILICATO, INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
01/04/2018	#00086971602	DAVIS, CLARE INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/04/2018	#00086957363	WITTER, NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
01/04/2018	#00086971602	DAVIS, CLARE NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/04/2018	#00086957286	DAUS, ROXANNE SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
01/04/2018	#00086957305	CHIN, STACEY WASHINGTON MUTUAL INVS FD CLASS A	10.65	0.17	93.0	0.00	0.16	0.00	0.16
01/04/2018	#00086957312	CHIN, HENRY WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
01/08/2018	#	MATAGRANO, RITA EATON VANCE DIVIDEND BUILDER	16,000.00	880.00	93.0	0.00	818.40	20.00	798.40
01/08/2018	#01464755659	SWOPE, STEVEN FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
01/08/2018	#01464755659	SWOPE, STEVEN FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
01/08/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
01/08/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
01/08/2018	#01464755675	TREVINO, SERGIO FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
01/08/2018	#01464755675	TREVINO, SERGIO FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
01/08/2018	#01471830404	JARREGARTA FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
01/08/2018	#01471830404	JARREGARTA FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
01/08/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
01/08/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
01/08/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/08/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
01/10/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
01/10/2018	#00086832260 MUZTAF, A	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/10/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/10/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
01/10/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
01/10/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
01/10/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
01/10/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
01/10/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
01/16/2018	#00086957342 O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
01/16/2018	#00086957363 WITTER, A	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
01/16/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
01/16/2018	#00086957286 DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	0.00	1.79
01/16/2018	#00086957349 SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
01/16/2018	#00086832260 MUZTAF, A	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/16/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/16/2018	#00086957317 BASILICATO, A	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
01/16/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
01/16/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
01/16/2018	#00086957305 CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	10.65	0.17	93.0	0.00	0.16	0.00	0.16
01/16/2018	#00086957312 CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
01/16/2018	#00086957363 WITTER, A	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
01/16/2018	#00087729341 ALFONZONEL	BOND FUND OF AMERICA INC CL A	3.84	0.06	93.0	0.00	0.06	0.00	0.06
01/16/2018	#00086971602 DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/16/2018	#00087729341 ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	3.84	0.06	93.0	0.00	0.06	0.00	0.06
01/16/2018	#00086957305 CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	10.65	0.17	93.0	0.00	0.16	0.00	0.16
01/16/2018	#00086957312 CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
01/16/2018	#00086957363 WITTER, A	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
01/16/2018	#00086971602 DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
01/16/2018	#00087729341 ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	1.92	0.03	93.0	0.00	0.03	0.00	0.03
01/16/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
01/16/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
01/16/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
01/16/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
01/16/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/16/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/16/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/16/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/16/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/16/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
01/16/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
01/16/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
01/16/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	10.65	0.17	93.0	0.00	0.16	0.16
01/16/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
01/16/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/16/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	5.76	0.09	93.0	0.00	0.08	0.08
01/16/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	3.84	0.06	93.0	0.00	0.06	0.06
01/16/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
01/16/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/16/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
01/16/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60
01/16/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
01/16/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
01/16/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
01/16/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
01/16/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
01/16/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.60
01/16/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
01/16/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
01/16/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	10.65	0.17	93.0	0.00	0.16	0.16
01/16/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
01/18/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
01/18/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/18/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
01/18/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
01/18/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
01/18/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	12.11	0.19	93.0	0.00	0.18	0.18
01/18/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
01/18/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/18/2018	#00086971611	COTTER, SEAN	BOND FUND OF AMERICA INC CL A	898.46	14.38	93.0	0.00	13.37	13.37
01/18/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	3.52	0.06	93.0	0.00	0.06	0.06

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/18/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
01/18/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	3.52	0.06	93.0	0.00	0.06	0.06
01/18/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	12.11	0.19	93.0	0.00	0.18	0.18
01/18/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
01/18/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
01/18/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
01/18/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	1.76	0.03	93.0	0.00	0.03	0.03
01/18/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	12.12	0.19	93.0	0.00	0.18	0.18
01/18/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
01/18/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/18/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	5.28	0.08	93.0	0.00	0.07	0.07
01/18/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	3.52	0.06	93.0	0.00	0.06	0.06
01/18/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
01/18/2018	#00086971611	COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	1,123.08	17.97	93.0	0.00	16.71	16.71
01/18/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
01/18/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60
01/18/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
01/18/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
01/18/2018	#00086971611	COTTER, SEAN	INVESTMENT CO AMER CLASS A	1,796.92	28.75	93.0	0.00	26.74	26.74
01/18/2018	#00086971611	COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	673.84	10.78	93.0	0.00	10.03	10.03
01/18/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
01/18/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
01/18/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.60
01/18/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	12.11	0.19	93.0	0.00	0.18	0.18
01/18/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
01/23/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/23/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/23/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/23/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
01/23/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/23/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/23/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/23/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/23/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
01/23/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/23/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/23/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
01/23/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
01/23/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
01/24/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
01/24/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/24/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/24/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
01/24/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
01/24/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
01/24/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
01/24/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
01/24/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
01/31/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
01/31/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/31/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
01/31/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	171.19	4.71	93.0	0.00	4.38	0.00	4.38
01/31/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
01/31/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
01/31/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	342.37	9.42	93.0	0.00	8.76	0.00	8.76
01/31/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	171.18	3.42	93.0	0.00	3.18	0.00	3.18
01/31/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
02/01/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
02/01/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
02/01/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
02/01/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
02/01/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	0.00	1.79
02/01/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	0.00	1.79
02/01/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
02/01/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
02/01/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
02/01/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
02/01/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.36	0.18	93.0	0.00	0.17	0.00	0.17
02/01/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	12.11	0.19	93.0	0.00	0.18	0.00	0.18
02/01/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
02/01/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
02/01/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/01/2018	#00086971611	COTTER, SEAN	BOND FUND OF AMERICA INC CL A	898.46	14.38	93.0	0.00	13.37	13.37
02/01/2018	#00086971611	COTTER, SEAN	BOND FUND OF AMERICA INC CL A	922.39	14.76	93.0	0.00	13.73	13.73
02/01/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	3.52	0.06	93.0	0.00	0.06	0.06
02/01/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	2.56	0.04	93.0	0.00	0.04	0.04
02/01/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
02/01/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
02/01/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	3.52	0.06	93.0	0.00	0.06	0.06
02/01/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	2.56	0.04	93.0	0.00	0.04	0.04
02/01/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.36	0.18	93.0	0.00	0.17	0.17
02/01/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	12.11	0.19	93.0	0.00	0.18	0.18
02/01/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
02/01/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
02/01/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
02/01/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
02/01/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/01/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/01/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	1.76	0.03	93.0	0.00	0.03	0.03
02/01/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	1.28	0.02	93.0	0.00	0.02	0.02
02/01/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.34	0.18	93.0	0.00	0.17	0.17
02/01/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	12.12	0.19	93.0	0.00	0.18	0.18
02/01/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
02/01/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
02/01/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/01/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/01/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	5.28	0.08	93.0	0.00	0.07	0.07
02/01/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	3.84	0.06	93.0	0.00	0.06	0.06
02/01/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	3.52	0.06	93.0	0.00	0.06	0.06
02/01/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	2.56	0.04	93.0	0.00	0.04	0.04
02/01/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/01/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/01/2018	#00086971611	COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	1,123.08	17.97	93.0	0.00	16.71	16.71
02/01/2018	#00086971611	COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	1,152.99	18.45	93.0	0.00	17.16	17.16
02/01/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/01/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/01/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
02/01/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
02/01/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
02/01/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
02/01/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
02/01/2018	#00086971611 COTTER, SEAN	INVESTMENT CO AMER CLASS A	1,796.92	28.75	93.0	0.00	26.74	0.00	26.74
02/01/2018	#00086971611 COTTER, SEAN	INVESTMENT CO AMER CLASS A	1,844.78	29.52	93.0	0.00	27.45	0.00	27.45
02/01/2018	#00086971611 COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	673.84	10.78	93.0	0.00	10.03	0.00	10.03
02/01/2018	#00086971611 COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	691.79	11.07	93.0	0.00	10.30	0.00	10.30
02/01/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
02/01/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
02/01/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
02/01/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
02/01/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
02/01/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
02/01/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.36	0.18	93.0	0.00	0.17	0.00	0.17
02/01/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	12.11	0.19	93.0	0.00	0.18	0.00	0.18
02/01/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
02/01/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
* MUTUAL FUNDS SUBTOTAL *			\$41,126.49	\$1,340.59		\$0.00	\$1,246.91	\$20.00	\$1,226.91
<u>PRODUCT CATEGORY: STOCKS</u>									
01/02/2018	# GULA, STEVEN	VERIZON COMMUNICATIONS	42,658.40	582.36	85.0	0.00	495.01	19.95	475.06
01/08/2018	# EDITH RELI REV TRUS	GABELLI EQUITY TRUST INC	31,607.00	788.75	85.0	0.00	670.44	19.95	650.49
01/16/2018	# LAPERSONERIE, MICHELLE	S&P GLOBAL INC COM	17,678.48	105.00	85.0	0.00	89.25	19.95	69.30
01/18/2018	# GULA, STEVEN	FIDELITY MSCI ENERGY INDEX ETF	42,483.40	665.21	85.0	0.00	565.43	19.95	545.48
01/18/2018	# EDITH RELI REV TRUS	VANGUARD SECTOR INDEX FDS VANGUARD	22,161.02	210.00	85.0	0.00	178.50	19.95	158.55
01/25/2018	# EDITH RELI REV TRUS	VANGUARD SECTOR INDEX FDS VANGUARD	44,716.04	420.00	85.0	0.00	357.00	19.95	337.05
* STOCKS SUBTOTAL *			\$201,304.34	\$2,771.32		\$0.00	\$2,355.63	\$119.70	\$2,235.93
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/08/2018	# RELI, LINDA	DAIN TRAILER	1,500.00	80.00	93.0	0.00	74.40	0.00	74.40
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.95	93.0	0.00	2.74	0.00	2.74
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	9.50	93.0	0.00	8.84	0.00	8.84
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	0.42	93.0	0.00	0.39	0.00	0.39
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.56	93.0	0.00	2.38	0.00	2.38

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.33	93.0	0.00	3.10	0.00	3.10
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	12.13	93.0	0.00	11.28	0.00	11.28
01/10/2018	# EDITH RELI REV TRUS	DAIN TRAILER	0.00	16.36	93.0	0.00	15.21	0.00	15.21
01/10/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	5.10	93.0	0.00	4.74	0.00	4.74
01/10/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	11.96	93.0	0.00	11.12	0.00	11.12
01/10/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	15.40	93.0	0.00	14.32	0.00	14.32
01/10/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	5.10	93.0	0.00	4.74	0.00	4.74
01/10/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	7.58	93.0	0.00	7.05	0.00	7.05
01/10/2018	# ROGERS, BARBARA	DAIN TRAILER	0.00	15.38	93.0	0.00	14.30	0.00	14.30
01/10/2018	# ZUBECK, CHARLOTTE	DAIN TRAILER	0.00	0.15	93.0	0.00	0.14	0.00	0.14
01/10/2018	# VALVO, JOHN	DAIN TRAILER	0.00	4.25	93.0	0.00	3.95	0.00	3.95
01/10/2018	# VALVO, JOHN	DAIN TRAILER	0.00	1.72	93.0	0.00	1.60	0.00	1.60
01/10/2018	# RELI, LINDA	DAIN TRAILER	0.00	1.04	93.0	0.00	0.97	0.00	0.97
01/10/2018	# BOYD, KENNETH	DAIN TRAILER	0.00	9.42	93.0	0.00	8.76	0.00	8.76
01/10/2018	# BOYD, KENNETH	DAIN TRAILER	0.00	16.49	93.0	0.00	15.34	0.00	15.34
01/10/2018	# ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.71	93.0	0.00	0.66	0.00	0.66
01/10/2018	# ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.73	93.0	0.00	1.61	0.00	1.61
01/10/2018	# DURDEN, LEEANNE	DAIN TRAILER	0.00	1.10	93.0	0.00	1.02	0.00	1.02
01/10/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	2.63	93.0	0.00	2.45	0.00	2.45
01/10/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	5.41	93.0	0.00	5.03	0.00	5.03
01/10/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	5.34	93.0	0.00	4.97	0.00	4.97
01/10/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	7.86	93.0	0.00	7.31	0.00	7.31
01/16/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.36	93.0	0.00	0.33	0.00	0.33
01/16/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	1.32	93.0	0.00	1.23	0.00	1.23
01/16/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	6.59	93.0	0.00	6.13	0.00	6.13
01/16/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	1.29	93.0	0.00	1.20	0.00	1.20
01/16/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	2.61	93.0	0.00	2.43	0.00	2.43
01/26/2018	# MULLALLY, JOHN	DAIN TRAILER	0.00	2.54	93.0	0.00	2.36	0.00	2.36
01/31/2018	#000000001108543	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	47.88	93.0	0.00	44.53	0.00	44.53
01/31/2018	#000011516322287 SCARSELLA,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	2.83	93.0	0.00	2.63	0.00	2.63
01/31/2018	#000011517005844 CIRILLO,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	15.88	93.0	0.00	14.77	0.00	14.77
01/31/2018	#000011517023498 EST O	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	47.89	93.0	0.00	44.54	0.00	44.54
02/07/2018	#01456912318 VARIOUS GULA	FIDELITY ADV HIGH INCOME-CL A	0.00	7.10	93.0	0.00	6.60	0.00	6.60
02/07/2018	#01456912318 VARIOUS GULA	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.99	93.0	0.00	10.22	0.00	10.22
02/07/2018	#01447415207 VARIOUS GULA	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.49	93.0	0.00	0.46	0.00	0.46
02/07/2018	#01456912318 VARIOUS GULA	FIDELITY ADV EQUITY INCOME-CL A	0.00	8.13	93.0	0.00	7.56	0.00	7.56

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/07/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	0.00	3.99	93.0	0.00	3.71	3.71
02/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	0.00	4.89	93.0	0.00	4.55	4.55
02/07/2018	#01464755600	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.45	93.0	0.00	0.42	0.42
02/07/2018	#01464755626	VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	1.53	93.0	0.00	1.42	1.42
02/07/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.57	93.0	0.00	0.53	0.53
02/07/2018	#01464755691	VARIOUS GULA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.04
02/07/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.01	93.0	0.00	0.01	0.01
02/07/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.24	93.0	0.00	0.22	0.22
02/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.61	93.0	0.00	1.50	1.50
02/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.89	93.0	0.00	0.83	0.83
02/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	0.00	5.71	93.0	0.00	5.31	5.31
02/07/2018	#01464755600	VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.56	93.0	0.00	0.52	0.52
02/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADV HEALTH CARE-CL A	0.00	1.86	93.0	0.00	1.73	1.73
02/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.58	93.0	0.00	0.54	0.54
02/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	0.97	93.0	0.00	0.90	0.90
02/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	2.40	93.0	0.00	2.23	2.23
02/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.95	93.0	0.00	0.88	0.88
02/07/2018	#01447415207	VARIOUS GULA	FIDELITY ADV UTILITIES-CL A	0.00	0.48	93.0	0.00	0.45	0.45
02/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.58	93.0	0.00	0.54	0.54
02/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.19	93.0	0.00	1.11	1.11
02/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	2.11	93.0	0.00	1.96	1.96
02/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.22	93.0	0.00	0.20	0.20
02/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.70	93.0	0.00	1.58	1.58
02/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.55	93.0	0.00	0.51	0.51
02/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	7.35	93.0	0.00	6.84	6.84
02/07/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.11	93.0	0.00	0.10	0.10
02/07/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.47	93.0	0.00	1.37	1.37
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$1,500.00	\$444.53	\$0.00	\$413.41	\$0.00	\$413.41
*** BROKER TRANSACTIONS TOTAL ***				\$243,930.83	\$4,556.44	\$0.00	\$4,015.95	\$139.70	\$3,876.25

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
02/20/2018		ADMIN FEE: ADMIN FEE							-320.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,876.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,377.25
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