

COMMISSION STATEMENT

May 31, 2018

JOHN KILLEEN
306 SCHUNNEMUNK STREETBROKER #: W06
BRANCH: FULCRUM GROUP

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/03/2018	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
05/03/2018	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
05/03/2018	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/03/2018	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
05/03/2018	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	306.25	1.53	0.00	1.53	86.0	1.32
05/17/2018	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
05/17/2018	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/17/2018	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	102.09	0.51	0.00	0.51	86.0	0.44
05/17/2018	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
05/03/2018	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
05/17/2018	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
05/17/2018	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
05/03/2018	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/03/2018	00061736608	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/03/2018	00061736608	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
05/17/2018	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/17/2018	00061736608	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/17/2018	00061736608	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
05/03/2018	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
05/03/2018	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
05/17/2018	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
05/17/2018	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55	86.0	2.19
05/03/2018	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
05/03/2018	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
05/03/2018	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/17/2018	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	510.42	2.55	0.00	2.55	86.0	2.19
05/17/2018	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53	86.0	1.32
05/17/2018	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/03/2018	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45	86.0	0.39

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	00062829276	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
05/03/2018	00062829276	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
05/03/2018	00062829276	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
05/17/2018	00062829276	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
05/17/2018	00062829276	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
05/17/2018	00062829276	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	90.00	0.45	0.00	0.45 86.0 0.39
05/17/2018	00062829276	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	135.00	0.68	0.00	0.68 86.0 0.58
05/03/2018	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
05/03/2018	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
05/03/2018	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
05/17/2018	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
05/17/2018	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36 86.0 0.31
05/17/2018	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47 86.0 0.40
05/03/2018	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/03/2018	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/03/2018	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
05/03/2018	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/03/2018	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/17/2018	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/17/2018	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/17/2018	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	306.25	1.53	0.00	1.53 86.0 1.32
05/17/2018	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/17/2018	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/03/2018	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
05/03/2018	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/03/2018	00063362311	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/03/2018	00063362311	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/03/2018	00063362311	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
05/17/2018	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
05/17/2018	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/17/2018	00063362311	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/17/2018	00063362311	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/17/2018	00063362311	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	255.21	1.28	0.00	1.28 86.0 1.10
05/03/2018	00063795979	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
05/03/2018	00063795979	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
05/03/2018	00063795979	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
05/17/2018	00063795979	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	408.34	2.04	0.00	2.04 86.0 1.75
05/17/2018	00063795979	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	204.16	1.02	0.00	1.02 86.0 0.88
05/17/2018	00063795979	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	408.34	2.04	0.00	2.04 86.0 1.75
05/03/2018	00064217122	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	00064217122	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
05/03/2018	00064217122	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
05/17/2018	00064217122	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	128.41	0.64	0.00	0.64 86.0 0.55
05/17/2018	00064217122	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	51.36	0.26	0.00	0.26 86.0 0.22
05/17/2018	00064217122	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.05	0.39	0.00	0.39 86.0 0.34
05/03/2018	00064217123	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/03/2018	00064217123	HEGARTY, EILEEN		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/03/2018	00064217123	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/03/2018	00064217123	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/03/2018	00064217123	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/17/2018	00064217123	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/17/2018	00064217123	HEGARTY, EILEEN		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/17/2018	00064217123	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/17/2018	00064217123	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
05/17/2018	00064217123	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38 86.0 0.33
04/30/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
04/30/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
04/30/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
04/30/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
04/30/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
04/30/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/07/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
05/07/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/07/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
05/07/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
05/07/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
05/07/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/14/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
05/14/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/14/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
05/14/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
05/14/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
05/14/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/21/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
05/21/2018	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/21/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35 86.0 0.30
05/21/2018	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40 86.0 0.34
05/21/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27 86.0 0.23
05/21/2018	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30 86.0 0.26
05/03/2018	00068080343	HEGARTY, EILEEN		AMCAP FUND-A	B	102.09	0.51	0.00	0.51 86.0 0.44

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	00068080343	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/03/2018	00068080343	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55 86.0 2.19
05/03/2018	00068080343	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/03/2018	00068080343	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/17/2018	00068080343	HEGARTY, EILEEN		AMCAP FUND-A	B	102.09	0.51	0.00	0.51 86.0 0.44
05/17/2018	00068080343	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/17/2018	00068080343	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	510.42	2.55	0.00	2.55 86.0 2.19
05/17/2018	00068080343	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51 86.0 0.44
05/17/2018	00068080343	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	204.17	1.02	0.00	1.02 86.0 0.88
05/03/2018	00068086982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62 86.0 0.53
05/03/2018	00068086982	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62 86.0 0.53
05/17/2018	00068086982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	124.75	0.62	0.00	0.62 86.0 0.53
05/17/2018	00068086982	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	124.76	0.62	0.00	0.62 86.0 0.53
05/03/2018	00070001812	HEGARTY, EILEEN		AMCAP FUND-A	B	19.48	0.10	0.00	0.10 86.0 0.09
05/03/2018	00070001812	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19 86.0 0.16
05/03/2018	00070001812	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
05/03/2018	00070001812	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
05/03/2018	00070001812	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29 86.0 0.25
05/17/2018	00070001812	HEGARTY, EILEEN		AMCAP FUND-A	B	19.48	0.10	0.00	0.10 86.0 0.09
05/17/2018	00070001812	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	38.95	0.19	0.00	0.19 86.0 0.16
05/17/2018	00070001812	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
05/17/2018	00070001812	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	38.95	0.19	0.00	0.19 86.0 0.16
05/17/2018	00070001812	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	58.43	0.29	0.00	0.29 86.0 0.25
05/03/2018	00070004355	HEGARTY, EILEEN		AMCAP FUND-A	B	36.97	0.18	0.00	0.18 86.0 0.15
05/03/2018	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17 86.0 0.15
05/03/2018	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43 86.0 0.37
05/03/2018	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31 86.0 0.27
05/03/2018	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33 86.0 0.28
05/03/2018	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39 86.0 0.34
05/17/2018	00070004355	HEGARTY, EILEEN		AMCAP FUND-A	B	36.97	0.18	0.00	0.18 86.0 0.15
05/17/2018	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	33.27	0.17	0.00	0.17 86.0 0.15
05/17/2018	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	85.03	0.43	0.00	0.43 86.0 0.37
05/17/2018	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	62.85	0.31	0.00	0.31 86.0 0.27
05/17/2018	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	66.55	0.33	0.00	0.33 86.0 0.28
05/17/2018	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	77.64	0.39	0.00	0.39 86.0 0.34
05/03/2018	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.32
05/03/2018	00070004361	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19 86.0 0.16
05/03/2018	00070004361	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18 86.0 0.15
05/03/2018	00070004361	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38 86.0 0.33
05/17/2018	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	74.25	0.37	0.00	0.37 86.0 0.32

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/17/2018	00070004361	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	38.25	0.19	0.00	0.19	86.0	0.16
05/17/2018	00070004361	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	36.00	0.18	0.00	0.18	86.0	0.15
05/17/2018	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
05/03/2018	00070005362	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	4.36	0.02	0.00	0.02	86.0	0.02
05/03/2018	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.71	0.04	0.00	0.04	86.0	0.03
05/03/2018	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	13.07	0.07	0.00	0.07	86.0	0.06
05/03/2018	00070005362	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	17.43	0.09	0.00	0.09	86.0	0.08
05/03/2018	00070005362	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	8.71	0.04	0.00	0.04	86.0	0.03
05/17/2018	00070005362	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	4.44	0.02	0.00	0.02	86.0	0.02
05/17/2018	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.89	0.04	0.00	0.04	86.0	0.03
05/17/2018	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	13.33	0.07	0.00	0.07	86.0	0.06
05/17/2018	00070005362	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	17.77	0.09	0.00	0.09	86.0	0.08
05/17/2018	00070005362	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	8.89	0.04	0.00	0.04	86.0	0.03
05/03/2018	00070005678	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	115.63	0.58	0.00	0.58	86.0	0.50
05/03/2018	00070005678	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	192.71	0.96	0.00	0.96	86.0	0.83
05/03/2018	00070005678	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	385.42	1.93	0.00	1.93	86.0	1.66
05/03/2018	00070005678	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
05/17/2018	00070005678	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	115.63	0.58	0.00	0.58	86.0	0.50
05/17/2018	00070005678	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	192.71	0.96	0.00	0.96	86.0	0.83
05/17/2018	00070005678	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	385.42	1.93	0.00	1.93	86.0	1.66
05/17/2018	00070005678	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
04/30/2018	00075952291	ZOTTOLA, MONICA							
		NEW PERSPECTIVE FUND-A	B	50.00	1.38	0.00	1.38	86.0	1.19
04/30/2018	00075952291	ZOTTOLA, MONICA							
		THE GROWTH FUND OF AMERICA-A	B	50.00	1.38	0.00	1.38	86.0	1.19
05/03/2018	00086545644	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
05/03/2018	00086545644	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/03/2018	00086545644	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/03/2018	00086545644	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/03/2018	00086545644	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
05/03/2018	00086545644	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/17/2018	00086545644	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
05/17/2018	00086545644	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/17/2018	00086545644	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/17/2018	00086545644	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/17/2018	00086545644	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
05/17/2018	00086545644	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	18.75	0.09	0.00	0.09	86.0	0.08
05/03/2018	00087384406	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	770.84	3.85	0.00	3.85	86.0	3.31
05/17/2018	00087384406	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	770.84	3.85	0.00	3.85	86.0	3.31
05/03/2018	00087384433	HEGARTY, EILEEN							
		AMCAP FUND-A	B	408.34	2.04	0.00	2.04	86.0	1.75
05/03/2018	00087384433	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	00087384433	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	00087384433	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/03/2018	00087384433	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/17/2018	00087384433	HEGARTY, EILEEN							
		AMCAP FUND-A	B	408.34	2.04	0.00	2.04	86.0	1.75
05/17/2018	00087384433	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	00087384433	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	00087384433	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/17/2018	00087384433	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	204.17	1.02	0.00	1.02	86.0	0.88
05/03/2018	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	88.15	0.44	0.00	0.44	86.0	0.38
05/03/2018	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	66.12	0.33	0.00	0.33	86.0	0.28
05/03/2018	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	66.11	0.33	0.00	0.33	86.0	0.28
05/17/2018	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	88.15	0.44	0.00	0.44	86.0	0.38
05/17/2018	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	66.12	0.33	0.00	0.33	86.0	0.28
05/17/2018	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	66.11	0.33	0.00	0.33	86.0	0.28
05/03/2018	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
05/17/2018	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
05/03/2018	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	375.00	1.88	0.00	1.88	86.0	1.62
05/03/2018	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	375.00	1.88	0.00	1.88	86.0	1.62
05/17/2018	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	375.00	1.88	0.00	1.88	86.0	1.62
05/17/2018	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	375.00	1.88	0.00	1.88	86.0	1.62
05/03/2018	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
05/03/2018	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
05/03/2018	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
05/17/2018	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
05/17/2018	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
05/17/2018	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
05/03/2018	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/03/2018	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/17/2018	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/17/2018	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/03/2018	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	49.70	0.25	0.00	0.25	86.0	0.22
05/03/2018	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.70	0.25	0.00	0.25	86.0	0.22
05/17/2018	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	49.70	0.25	0.00	0.25	86.0	0.22
05/17/2018	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.70	0.25	0.00	0.25	86.0	0.22
05/03/2018	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/03/2018	00087842379	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	675.00	3.38	0.00	3.38	86.0	2.91
05/17/2018	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
05/17/2018	00087842379	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	675.00	3.38	0.00	3.38	86.0	2.91
05/03/2018	00088877759	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	89.42	0.45	0.00	0.45	86.0	0.39
05/03/2018	00088877759	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	268.26	1.34	0.00	1.34	86.0	1.15
05/17/2018	00088877759	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/17/2018	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
05/03/2018	00089378227	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	30.17	0.15	0.00	0.15	86.0	0.13
05/03/2018	00089378227	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	10.05	0.05	0.00	0.05	86.0	0.04
05/03/2018	00089378227	HEGARTY, EILEEN	NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
05/03/2018	00089378227	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10	86.0	0.09
05/03/2018	00089378227	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
05/17/2018	00089378227	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	30.17	0.15	0.00	0.15	86.0	0.13
05/17/2018	00089378227	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	10.05	0.05	0.00	0.05	86.0	0.04
05/17/2018	00089378227	HEGARTY, EILEEN	NEW WORLD FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
05/17/2018	00089378227	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	20.12	0.10	0.00	0.10	86.0	0.09
05/17/2018	00089378227	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	20.12	0.10	0.00	0.10	86.0	0.09
05/03/2018	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.20
05/03/2018	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.20
05/03/2018	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.13
05/03/2018	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
05/03/2018	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
05/17/2018	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.20
05/17/2018	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.20
05/17/2018	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.13
05/17/2018	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
05/17/2018	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
05/03/2018	00089378412	HEGARTY, EILEEN	AMCAP FUND-A	B	17.94	0.09	0.00	0.09	86.0	0.08
05/03/2018	00089378412	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22	86.0	0.19
05/03/2018	00089378412	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27	86.0	0.23
05/03/2018	00089378412	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22	86.0	0.19
05/03/2018	00089378412	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09	86.0	0.08
05/17/2018	00089378412	HEGARTY, EILEEN	AMCAP FUND-A	B	17.94	0.09	0.00	0.09	86.0	0.08
05/17/2018	00089378412	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	44.84	0.22	0.00	0.22	86.0	0.19
05/17/2018	00089378412	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	53.81	0.27	0.00	0.27	86.0	0.23
05/17/2018	00089378412	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	44.85	0.22	0.00	0.22	86.0	0.19
05/17/2018	00089378412	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	17.94	0.09	0.00	0.09	86.0	0.08
05/15/2018	00089545290	RONGA, DANIEL	THE GROWTH FUND OF AMERICA-A	B	200.00	5.50	0.00	5.50	86.0	4.73
05/03/2018	04000113881	VARIOUS, KILLEEN	AMCAP FUND-A	B	120.34	0.60	0.00	0.60	86.0	0.52
05/03/2018	04000113881	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	36.10	0.18	0.00	0.18	86.0	0.15
05/03/2018	04000113881	VARIOUS, KILLEEN	NEW WORLD FUND-A	B	36.10	0.18	0.00	0.18	86.0	0.15
05/03/2018	04000113881	VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	48.14	0.24	0.00	0.24	86.0	0.21
05/17/2018	04000113881	VARIOUS, KILLEEN	AMCAP FUND-A	B	95.59	0.48	0.00	0.48	86.0	0.41
05/17/2018	04000113881	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	28.67	0.14	0.00	0.14	86.0	0.12
05/17/2018	04000113881	VARIOUS, KILLEEN	NEW WORLD FUND-A	B	28.68	0.14	0.00	0.14	86.0	0.12
05/17/2018	04000113881	VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	38.24	0.19	0.00	0.19	86.0	0.16

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	102.12	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/17/2018	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	102.08	0.51	0.00	0.51	86.0	0.44
05/03/2018	04000114048	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	4,674.38	23.37	0.00	23.37	86.0	20.10
05/15/2018	04000292411	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
05/03/2018	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
05/03/2018	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
05/03/2018	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
05/03/2018	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
05/03/2018	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
05/17/2018	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
05/17/2018	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	231.25	1.16	0.00	1.16	86.0	1.00
05/17/2018	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	77.09	0.39	0.00	0.39	86.0	0.34
05/17/2018	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	308.34	1.54	0.00	1.54	86.0	1.32
05/17/2018	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	77.08	0.39	0.00	0.39	86.0	0.34
05/03/2018	04000772673	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
05/03/2018	04000772673	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
05/03/2018	04000772673	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
05/03/2018	04000772673	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
05/03/2018	04000772673	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
05/17/2018	04000772673	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	20.00	0.10	0.00	0.10	86.0	0.09
05/17/2018	04000772673	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	5.00	0.03	0.00	0.03	86.0	0.03
05/17/2018	04000772673	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/17/2018	04000772673	VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
05/17/2018	04000772673	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	35.00	0.18	0.00	0.18	86.0	0.15
05/03/2018	04000938747	DAWSON, PETER	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/03/2018	04000938747	DAWSON, PETER	NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/03/2018	04000938747	DAWSON, PETER	NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/03/2018	04000938747	DAWSON, PETER	THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/17/2018	04000938747	DAWSON, PETER	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/17/2018	04000938747	DAWSON, PETER	NEW PERSPECTIVE FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/17/2018	04000938747	DAWSON, PETER	NEW WORLD FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
05/17/2018	04000938747	DAWSON, PETER	THE GROWTH FUND OF AMERICA-A	B	80.00	0.40	0.00	0.40	86.0	0.34
* MUTUAL FUNDS SUBTOTAL *					\$41,675.71	\$226.55	\$0.00	\$226.55		\$195.03

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/03/2018	(None)	VARIOUS, KILLEEN	NAT'L INTEGRITY	B	0.00	465.99	0.00	465.99	86.0	400.75
* VARIABLE ANNUITIES SUBTOTAL *					<u>\$0.00</u>	<u>\$465.99</u>	<u>\$0.00</u>	<u>\$465.99</u>		<u>\$400.75</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$41,675.71</u>	<u>\$692.54</u>	<u>\$0.00</u>	<u>\$692.54</u>		<u>\$595.78</u>

ADJUSTMENTS

05/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
05/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
05/31/2018		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-235.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$595.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.87
SIPC Assessment	\$-1.73

Check Amount	\$358.18
Year-to-date Earnings	\$59,491.06