

## COMMISSION STATEMENT

February 28, 2018

VAN DREGGE OLMSTEAD  
10 RICHARDS DRIVEBROKER #: 68  
BRANCH: HOME OFFICE

WILMINGTON, DE 19810

## COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/02/2018	75501498	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN S	7,000.00	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501880	WINDETT, ROSE	**VIRTUS EQUITY TREND FUND	100.00	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501915	SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	4,500.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$11,600.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

01/31/2018	75501475	PETRONIS, GEORGE	3-D SYSTEMS CORP-DEL	B	2,551.65	112.07	0.00	112.07	70.0	78.45
02/02/2018	75501475	PETRONIS, GEORGE	STRATASYS LTD	B	4,200.00	118.62	0.00	118.62	70.0	83.03
02/09/2018	75501475	PETRONIS, GEORGE	MACYS INC	B	4,631.32	124.62	0.00	124.62	70.0	87.23
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI MATERIAL B		3,011.24	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TRUST FIDELITY M S		18,916.56	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY COVINGTON TR MSCI INFORMAT B		2,349.84	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER DISCRETIONAR B		1,648.30	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI FINANCIALS INDEX ETF B		1,893.30	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI ENERGY INDEX ETF	B	3,246.79	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI CONSUMER STAPLES INDE B		2,478.19	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI INDUSTRIALS INDEX ETF B		2,567.48	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501733	OLMSTEAD, CHRISTYN	FIDELITY MSCI HEALTH CARE INDEX ETF B		1,873.40	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI MATERIAL B		981.19	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TRUST FIDELITY M S		6,104.28	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY COVINGTON TR MSCI INFORMAT B		749.95	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER DISCRETIONAR B		522.63	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI FINANCIALS INDEX ETF B		604.25	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI ENERGY INDEX ETF	B	1,044.29	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI CONSUMER STAPLES INDE B		782.58	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI INDUSTRIALS INDEX ETF B		792.90	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501772	OLMSTEAD, ELIZABETH	FIDELITY MSCI HEALTH CARE INDEX ETF B		597.89	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI MATERIAL B		3,992.44	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY COVINGTON TRUST FIDELITY M S		25,087.92	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY COVINGTON TR MSCI INFORMAT B		3,149.78	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY MSCI CONSUMER DISCRETIONAR B		2,130.73	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/06/2018	75501774	SMITH, GLENN	FIDELITY MSCI FINANCIALS INDEX ETF B	2,537.83	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY MSCI ENERGY INDEX ETF B	4,310.07	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY MSCI CONSUMER STAPLES INDE B	3,260.77	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY MSCI HEALTH CARE INDEX ETF B	2,511.15	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501774	SMITH, GLENN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	3,360.37	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI MATERIAL B	2,097.72	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TRUST FIDELITY M S	13,013.52	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY COVINGTON TR MSCI INFORMAT B	1,599.89	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,125.67	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI FINANCIALS INDEX ETF B	1,329.34	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI ENERGY INDEX ETF B	2,221.49	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI CONSUMER STAPLES INDE B	1,695.60	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI HEALTH CARE INDEX ETF B	1,315.36	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501780	OLMSTEAD, VAN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	1,736.82	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT B	1,099.92	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI MATERIAL B	1,421.04	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY COVINGTON TRUST FIDELITY M S	8,944.00	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR B	763.85	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY MSCI FINANCIALS INDEX ETF B	886.23	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY MSCI ENERGY INDEX ETF B	1,537.96	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY MSCI CONSUMER STAPLES INDE B	1,141.27	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY MSCI HEALTH CARE INDEX ETF B	876.91	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501782	HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF B	1,208.22	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI INFORMAT B	4,599.68	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY COVINGTON TR MSCI MATERIAL B	5,887.15	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY COVINGTON TRUST FIDELITY M S	36,938.72	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY MSCI CONSUMER DISCRETIONAR B	3,135.79	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY MSCI FINANCIALS INDEX ETF B	3,706.04	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY MSCI ENERGY INDEX ETF B	6,341.69	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY MSCI CONSUMER STAPLES INDE B	4,825.94	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY MSCI HEALTH CARE INDEX ETF B	3,706.93	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501784	HALLAM, DONALD	FIDELITY MSCI INDUSTRIALS INDEX ETF B	4,983.92	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501787	PETRONIS, GEORGE	AMERICAN OUTDOOR BRANDS CORP COM B	3,587.16	119.37	0.00	119.37	70.0	83.56
01/31/2018	75501787	PETRONIS, GEORGE	FORD MOTOR CO PAR \$0.01 B	2,746.75	115.33	0.00	115.33	70.0	80.73
02/06/2018	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI INFORMAT B	5,349.63	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TR MSCI MATERIAL B	6,800.67	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA	FIDELITY COVINGTON TRUST FIDELITY M S	42,975.92	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI CONSUMER DISCRETIONAR B	3,578.01	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI FINANCIALS INDEX ETF B	4,229.72	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA	FIDELITY MSCI ENERGY INDEX ETF B	7,348.01	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/06/2018	75501807	WRIGHT, PAMELA		FIDELITY MSCI CONSUMER STAPLES INDE B	5,543.31	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA		FIDELITY MSCI HEALTH CARE INDEX ETF B	4,264.97	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501807	WRIGHT, PAMELA		FIDELITY MSCI INDUSTRIALS INDEX ETF B	5,739.06	0.00	0.00	0.00	70.0	0.00
02/26/2018	75501807	WRIGHT, PAMELA		FIDELITY COVINGTON TR MSCI MATERIAL S	8,479.92	0.00	0.00	0.00	70.0	0.00
02/26/2018	75501807	WRIGHT, PAMELA		FIDELITY MSCI CONSUMER STAPLES INDE S	8,503.51	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		BECTON DICKINSON & CO	1,091.10	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		CATERPILLAR INC	801.60	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		CELANESE CORPORATION SERIES A	518.81	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		EAGLE MATERIALS INC	517.90	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		HEICO CORPORATION	403.05	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		J P MORGAN CHASE & CO	1,162.53	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		LAM RESEARCH CORP	955.16	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		LOCKHEED MARTIN CORP	1,779.50	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		NORTHROP GRUMMAN CORP	1,746.01	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		PARKER HANNIFIN CORP	911.10	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		PROOFPOINT INC	526.75	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		S&P GLOBAL INC COM	951.31	0.00	0.00	0.00	70.0	0.00
02/23/2018	75501808	WRIGHT, PAMELA		SERVICENOW INC COM	796.21	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TR MSCI INFORMAT B	10,449.27	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TR MSCI MATERIAL B	13,364.51	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY COVINGTON TRUST FIDELITY M S	87,159.28	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY MSCI CONSUMER DISCRETIONAR B	6,914.81	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY MSCI FINANCIALS INDEX ETF B	8,177.45	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY MSCI ENERGY INDEX ETF	14,468.17	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY MSCI HEALTH CARE INDEX ETF B	8,250.92	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY MSCI CONSUMER STAPLES INDE B	10,825.76	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501818	GELLIS, HOWARD		FIDELITY MSCI INDUSTRIALS INDEX ETF B	11,251.59	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TR MSCI INFORMAT B	3,299.77	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TR MSCI MATERIAL B	4,161.61	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY COVINGTON TRUST FIDELITY M S	26,138.84	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY MSCI CONSUMER DISCRETIONAR B	2,251.33	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY MSCI FINANCIALS INDEX ETF B	2,618.40	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY MSCI ENERGY INDEX ETF	4,480.96	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY MSCI HEALTH CARE INDEX ETF B	2,630.73	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY MSCI CONSUMER STAPLES INDE B	3,391.20	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501820	KLAHN, ROBERT		FIDELITY MSCI INDUSTRIALS INDEX ETF B	3,549.16	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS		FIDELITY COVINGTON TR MSCI INFORMAT B	899.94	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS		FIDELITY COVINGTON TR MSCI MATERIAL B	1,150.36	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS		FIDELITY COVINGTON TRUST FIDELITY M S	7,267.00	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS		FIDELITY MSCI CONSUMER DISCRETIONAR B	603.04	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
02/06/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI FINANCIALS INDEX ETF B	725.09	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI ENERGY INDEX ETF B	1,234.16	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI HEALTH CARE INDEX ETF B	757.33	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI CONSUMER STAPLES INDE B	913.02	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501857	REMINGTON, THOMAS	FIDELITY MSCI INDUSTRIALS INDEX ETF B	981.68	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT B	1,749.88	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI MATERIAL B	2,165.39	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY COVINGTON TRUST FIDELITY M S	13,572.52	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,165.87	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY MSCI FINANCIALS INDEX ETF B	1,369.62	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY MSCI ENERGY INDEX ETF B	2,335.41	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY MSCI HEALTH CARE INDEX ETF B	1,355.22	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY MSCI CONSUMER STAPLES INDE B	1,793.42	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501859	LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF B	1,812.34	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI INFORMAT B	3,599.75	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY COVINGTON TR MSCI MATERIAL B	4,567.62	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY COVINGTON TRUST FIDELITY M S	28,598.44	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY MSCI CONSUMER DISCRETIONAR B	2,412.14	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY MSCI FINANCIALS INDEX ETF B	2,860.09	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY MSCI ENERGY INDEX ETF B	4,917.66	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY MSCI HEALTH CARE INDEX ETF B	2,869.88	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY MSCI CONSUMER STAPLES INDE B	3,749.89	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501860	LOVE, MARGARET	FIDELITY MSCI INDUSTRIALS INDEX ETF B	3,851.21	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI INFORMAT B	5,049.65	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TR MSCI MATERIAL B	6,360.83	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY COVINGTON TRUST FIDELITY M S	40,046.76	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI CONSUMER DISCRETIONAR B	3,377.00	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI FINANCIALS INDEX ETF B	3,988.02	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI ENERGY INDEX ETF B	6,892.32	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI HEALTH CARE INDEX ETF B	4,025.81	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI CONSUMER STAPLES INDE B	5,217.23	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501866	WELAISH, JEFFREY	FIDELITY MSCI INDUSTRIALS INDEX ETF B	5,399.25	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY COVINGTON TR MSCI INFORMAT B	2,549.82	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY COVINGTON TR MSCI MATERIAL B	3,214.25	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY COVINGTON TRUST FIDELITY M S	20,258.16	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,688.50	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY MSCI FINANCIALS INDEX ETF B	2,014.15	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY MSCI ENERGY INDEX ETF B	3,474.64	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY MSCI HEALTH CARE INDEX ETF B	2,032.83	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501885	MARTIN, KATHY	FIDELITY MSCI CONSUMER STAPLES INDE B	2,608.62	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
02/06/2018	75501885	MARTIN, KATHY	FIDELITY MSCI INDUSTRIALS INDEX ETF B	2,718.50	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501888	KAYLOR, KEVIN	AMERISOURCEBERGEN CORP B	1,497.00	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501888	KAYLOR, KEVIN	BECTON DICKINSON & CO S	1,212.45	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501888	KAYLOR, KEVIN	BIOGEN INC B	1,745.10	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501888	KAYLOR, KEVIN	CHARLES RIV LABORATORIES INTL INC C S	2,118.80	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501888	KAYLOR, KEVIN	HEICO CORPORATION S	402.70	0.00	0.00	0.00	70.0	0.00
01/31/2018	75501888	KAYLOR, KEVIN	OWENS CORNING S	466.51	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI INFORMAT B	1,899.87	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY COVINGTON TR MSCI MATERIAL B	2,402.23	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY COVINGTON TRUST FIDELITY M S	14,958.84	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI CONSUMER DISCRETIONAR B	1,286.48	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI FINANCIALS INDEX ETF B	1,490.47	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI ENERGY INDEX ETF B	2,563.26	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI HEALTH CARE INDEX ETF B	1,474.80	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI CONSUMER STAPLES INDE B	1,923.85	0.00	0.00	0.00	70.0	0.00
02/06/2018	75501889	KAYLOR, KEVIN	FIDELITY MSCI INDUSTRIALS INDEX ETF B	2,001.12	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI INFORMAT B	3,699.74	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY COVINGTON TR MSCI MATERIAL B	4,669.12	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY COVINGTON TRUST FIDELITY M S	29,313.96	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI CONSUMER DISCRETIONAR B	2,492.55	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI FINANCIALS INDEX ETF B	2,900.38	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI ENERGY INDEX ETF B	5,050.57	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI HEALTH CARE INDEX ETF B	2,949.60	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI CONSUMER STAPLES INDE B	3,815.10	0.00	0.00	0.00	70.0	0.00
02/06/2018	75502088	KLAHN, ROBERT	FIDELITY MSCI INDUSTRIALS INDEX ETF B	3,964.49	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *				\$891,214.49	\$590.01	\$0.00	\$590.01		\$413.00
PRODUCT CATEGORY: OPTIONS									
02/02/2018	75501726	PENN, SHELDON	CALL DECK 03/16/18 75 S	5,940.00	113.18	0.00	113.18	70.0	79.23
02/05/2018	75501726	PENN, SHELDON	CALL CDNS 05/18/18 41 S	645.00	37.50	0.00	37.50	70.0	26.25
02/05/2018	75501726	PENN, SHELDON	CALL LVS 06/15/18 70 B	2,385.00	70.67	0.00	70.67	70.0	49.47
02/07/2018	75501726	PENN, SHELDON	PUT TAP 04/20/18 90 S	4,080.00	88.88	0.00	88.88	70.0	62.22
02/12/2018	75501726	PENN, SHELDON	CALL ETN 07/20/18 77.50 B	2,190.00	67.74	0.00	67.74	70.0	47.42
02/20/2018	75501726	PENN, SHELDON	CALL TOL 06/15/18 45 B	1,410.00	52.88	0.00	52.88	70.0	37.02
02/20/2018	75501726	PENN, SHELDON	PUT CYBR 04/20/18 50 S	960.00	37.50	0.00	37.50	70.0	26.25
02/26/2018	75501726	PENN, SHELDON	CALL EBAY 07/20/18 43 B	1,080.00	40.50	0.00	40.50	70.0	28.35
02/26/2018	75501726	PENN, SHELDON	CALL LVS 06/15/18 70 S	2,040.00	65.48	0.00	65.48	70.0	45.84
* OPTIONS SUBTOTAL *				\$20,730.00	\$574.33	\$0.00	\$574.33		\$402.05

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
02/28/2018	VARIOUS, OLMSTEAD	HANCOCK	B	0.00	321.09	0.00	321.09	70.0	224.76
02/28/2018	VARIOUS, OLMSTEAD	HANCOCK	B	1.00	1.00	0.00	1.00	70.0	0.70
02/28/2018	VARIOUS, OLMSTEAD	NATIONWIDE	B	0.00	40.80	0.00	40.80	70.0	28.56
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$1.00</b>	<b>\$362.89</b>	<b>\$0.00</b>	<b>\$362.89</b>		<b>\$254.02</b>

**PRODUCT CATEGORY: RIA**

01/17/2018	75501391	BORISH, MURIEL	FAS FEES PT. II/III	B	-196.32	196.32	0.00	196.32	70.0	137.42
01/17/2018	75501727	PENN, SHELDON	FAS FEES PT. II/III	B	-595.09	595.09	0.00	595.09	70.0	416.56
01/17/2018	75501733	OLMSTEAD, CHRISTYN	FAS FEES PT. II/III	B	-234.79	234.79	0.00	234.79	70.0	164.35
01/17/2018	75501772	OLMSTEAD, ELIZABETH	FAS FEES PT. II/III	B	-75.79	75.79	0.00	75.79	70.0	53.05
01/17/2018	75501773	SMITH, GLENN	FAS FEES PT. II/III	B	-359.26	359.26	0.00	359.26	70.0	251.48
01/17/2018	75501774	SMITH, GLENN	FAS FEES PT. II/III	B	-311.29	311.29	0.00	311.29	70.0	217.90
01/17/2018	75501778	VORMWALD, G ROBERT	FAS FEES PT. II/III	B	-928.60	928.60	0.00	928.60	70.0	650.02
01/17/2018	75501779	OLMSTEAD, VAN	FAS FEES PT. II/III	B	-374.57	374.57	0.00	374.57	70.0	262.20
01/17/2018	75501780	OLMSTEAD, VAN	FAS FEES PT. II/III	B	-161.43	161.43	0.00	161.43	70.0	113.00
01/17/2018	75501781	HALLAM, DONALD	FAS FEES PT. II/III	B	-175.11	175.11	0.00	175.11	70.0	122.58
01/17/2018	75501782	HALLAM, DONALD	FAS FEES PT. II/III	B	-110.88	110.88	0.00	110.88	70.0	77.62
01/17/2018	75501783	HALLAM, DONALD	FAS FEES PT. II/III	B	-1,383.70	1,383.70	0.00	1,383.70	70.0	968.59
01/17/2018	75501784	HALLAM, DONALD	FAS FEES PT. II/III	B	-458.46	458.46	0.00	458.46	70.0	320.92
01/10/2018	75501790	SZANTO, PETER	FAS FEES PT. II/III	B	-56.26	56.26	0.00	56.26	70.0	39.38
01/10/2018	75501791	SZANTO, PETER	FAS FEES PT. II/III	B	-58.56	58.56	0.00	58.56	70.0	40.99
01/17/2018	75501793	WITMAN, RUSSELL	FAS FEES PT. II/III	B	-213.98	213.98	0.00	213.98	70.0	149.79
02/01/2018	75501793	WITMAN, RUSSELL	FAS FEES PT. I/III	B	213.98	-213.98	0.00	-213.98	70.0	-149.79
02/01/2018	75501793	WITMAN, RUSSELL	FAS FEES PT. II/III	B	213.98	-213.98	0.00	-213.98	70.0	-149.79
02/01/2018	75501793	WITMAN, RUSSELL	FAS FEES PT. III/III	B	213.98	-213.98	0.00	-213.98	70.0	-149.79
01/17/2018	75501794	WITMAN, RUSSELL	FAS FEES PT. II/III	B	-398.12	398.12	0.00	398.12	70.0	278.68
01/17/2018	75501805	BARBARAS, GLEN	FAS FEES PT. II/III	B	-388.97	388.97	0.00	388.97	70.0	272.28
01/17/2018	75501807	WRIGHT, PAMELA	FAS FEES PT. II/III	B	-674.14	674.14	0.00	674.14	70.0	471.90
01/17/2018	75501808	WRIGHT, PAMELA	FAS FEES PT. II/III	B	-266.24	266.24	0.00	266.24	70.0	186.37
01/17/2018	75501817	GELLIS, HOWARD	FAS FEES PT. II/III	B	-1,673.66	1,673.66	0.00	1,673.66	70.0	1,171.56
01/17/2018	75501818	GELLIS, HOWARD	FAS FEES PT. II/III	B	-1,070.53	1,070.53	0.00	1,070.53	70.0	749.37
01/17/2018	75501820	KLAHN, ROBERT	FAS FEES PT. II/III	B	-324.73	324.73	0.00	324.73	70.0	227.31
01/17/2018	75501822	KLAHN, ROBERT	FAS FEES PT. II/III	B	-420.31	420.31	0.00	420.31	70.0	294.22
01/17/2018	75501857	REMINGTON, THOMAS	FAS FEES PT. II/III	B	-90.09	90.09	0.00	90.09	70.0	63.06
01/17/2018	75501858	REMINGTON, THOMAS	FAS FEES PT. II/III	B	-909.16	909.16	0.00	909.16	70.0	636.41
01/17/2018	75501859	LOVE, MARGARET	FAS FEES PT. II/III	B	-168.51	168.51	0.00	168.51	70.0	117.96
01/17/2018	75501860	LOVE, MARGARET	FAS FEES PT. II/III	B	-354.98	354.98	0.00	354.98	70.0	248.49
01/17/2018	75501861	BENJAMIN, WAYNE	FAS FEES PT. II/III	B	-453.39	453.39	0.00	453.39	70.0	317.37
01/17/2018	75501862	BENJAMIN, WAYNE	FAS FEES PT. II/III	B	-116.10	116.10	0.00	116.10	70.0	81.27
01/17/2018	75501866	WELAISH, JEFFREY	FAS FEES PT. II/III	B	-496.99	496.99	0.00	496.99	70.0	347.89

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/17/2018	75501870	ESKENAZI, PHOEBE	FAS FEES PT. II/III	B	-252.09	252.09	0.00	252.09	70.0	176.46
01/17/2018	75501884	MARTIN, KATHY	FAS FEES PT. II/III	B	-658.87	658.87	0.00	658.87	70.0	461.21
01/17/2018	75501885	MARTIN, KATHY	FAS FEES PT. II/III	B	-251.38	251.38	0.00	251.38	70.0	175.97
01/17/2018	75501888	KAYLOR, KEVIN	FAS FEES PT. II/III	B	-140.79	140.79	0.00	140.79	70.0	98.55
01/17/2018	75501889	KAYLOR, KEVIN	FAS FEES PT. II/III	B	-185.71	185.71	0.00	185.71	70.0	130.00
01/17/2018	75501890	KAYLOR, KEVIN	FAS FEES PT. II/III	B	-827.14	827.14	0.00	827.14	70.0	579.00
01/17/2018	75501914	NATASHA RAJ AHUJA	FAS FEES PT. II/III	B	-420.76	420.76	0.00	420.76	70.0	294.53
01/17/2018	75501925	HOWELL, WILLIAM	FAS FEES PT. II/III	B	-89.48	89.48	0.00	89.48	70.0	62.64
01/17/2018	75501926	KILPATRICK, CHARLES	FAS FEES PT. II/III	B	-338.10	338.10	0.00	338.10	70.0	236.67
01/17/2018	75501946	ANYA P AHUJA 1999	FAS FEES PT. II/III	B	-420.57	420.57	0.00	420.57	70.0	294.40
01/17/2018	75501952	HOWELL, WILLIAM	FAS FEES PT. II/III	B	-115.96	115.96	0.00	115.96	70.0	81.17
01/17/2018	75501953	MCCRAY, ROBIN	FAS FEES PT. II/III	B	-197.50	197.50	0.00	197.50	70.0	138.25
01/17/2018	75501954	MCCRAY, GAIL	FAS FEES PT. II/III	B	-82.42	82.42	0.00	82.42	70.0	57.69
01/17/2018	75501960	BLUE PELICAN LLC	FAS FEES PT. II/III	B	-1,420.05	1,420.05	0.00	1,420.05	70.0	994.04
01/17/2018	75502088	KLAHN, ROBERT	FAS FEES PT. II/III	B	-363.86	363.86	0.00	363.86	70.0	254.70
* RIA SUBTOTAL *					\$-18,622.75	\$18,622.75	\$0.00	\$18,622.75		\$13,035.90
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75501461	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	2.59	0.00	2.59	70.0	1.81
02/09/2018	75501462	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.89	0.00	0.89	70.0	0.00
02/09/2018	75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	1.04	0.00	1.04	70.0	0.73
02/09/2018	75501498	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.51	0.00	8.51	70.0	5.96
02/09/2018	75501498	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.03	0.00	3.03	70.0	2.12
02/09/2018	75501498	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	0.05	0.00	0.05	70.0	0.00
02/09/2018	75501499	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.53	0.00	0.53	70.0	0.00
02/09/2018	75501499	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.27	0.00	2.27	70.0	1.59
02/09/2018	75501499	LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B	B	0.00	12.51	0.00	12.51	70.0	8.76
02/09/2018	75501499	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	1.80	0.00	1.80	70.0	1.26
02/09/2018	75501502	MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.97	0.00	3.97	70.0	2.78
02/09/2018	75501610	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	4.86	0.00	4.86	70.0	3.40
02/09/2018	75501610	VORMWALD, G ROBERT	FRK UTIL FND - CL A	B	0.00	2.47	0.00	2.47	70.0	1.73
02/09/2018	75501611	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	1.62	0.00	1.62	70.0	1.13
02/09/2018	75501612	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	3.89	0.00	3.89	70.0	2.72
02/09/2018	75501613	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	1.30	0.00	1.30	70.0	0.91
02/09/2018	75501614	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	9.72	0.00	9.72	70.0	6.80
02/09/2018	75501614	VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	13.97	0.00	13.97	70.0	9.78
02/09/2018	75501726	PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	4.39	0.00	4.39	70.0	3.07
02/09/2018	75501729	PENN, ELAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	4.78	0.00	4.78	70.0	3.35
02/09/2018	75501729	PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B	B	0.00	2.02	0.00	2.02	70.0	1.41
02/09/2018	75501729	PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	25.37	0.00	25.37	70.0	17.76

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	75501730 PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C	B	0.00	1.42	0.00	1.42	70.0	0.99
02/09/2018	75501735 GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.66	0.00	3.66	70.0	2.56
02/09/2018	75501775 SMITH, GLENN	**VIRTUS EQUITY TREND FUND	B	0.00	6.36	0.00	6.36	70.0	4.45
02/09/2018	75501787 PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	22.83	0.00	22.83	70.0	15.98
02/09/2018	75501806 BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	B	0.00	51.44	0.00	51.44	70.0	36.01
02/09/2018	75501806 BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND IN B	B	0.00	31.54	0.00	31.54	70.0	22.08
02/09/2018	75501809 WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	25.98	0.00	25.98	70.0	18.19
02/09/2018	75501819 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	22.97	0.00	22.97	70.0	16.08
02/09/2018	75501819 KLAHN, ROBERT	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	60.75	0.00	60.75	70.0	42.53
02/09/2018	75501819 KLAHN, ROBERT	**VIRTUS MULTI SECTOR SHORT	B	0.00	23.92	0.00	23.92	70.0	16.74
02/09/2018	75501819 KLAHN, ROBERT	FEDERATED GLOBAL ALLOCATION FUND CL B	B	0.00	4.62	0.00	4.62	70.0	3.23
02/09/2018	75501819 KLAHN, ROBERT	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	65.83	0.00	65.83	70.0	46.08
02/09/2018	75501831 COLACINO, JOSEPH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.94	0.00	10.94	70.0	7.66
02/09/2018	75501863 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	11.31	0.00	11.31	70.0	7.92
02/09/2018	75501863 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	31.73	0.00	31.73	70.0	22.21
02/09/2018	75501863 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B	B	0.00	45.84	0.00	45.84	70.0	32.09
02/09/2018	75501864 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	2.54	0.00	2.54	70.0	1.78
02/09/2018	75501864 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	7.82	0.00	7.82	70.0	5.47
02/09/2018	75501864 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C B	B	0.00	3.96	0.00	3.96	70.0	2.77
02/09/2018	75501864 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B	B	0.00	25.98	0.00	25.98	70.0	18.19
02/09/2018	75501864 BENJAMIN, WAYNE	RYDEX SER FDS NOVA FD CL C	B	0.00	6.26	0.00	6.26	70.0	4.38
02/09/2018	75501865 BENJAMIN, WAYNE	AMCAP FUND-A	B	0.00	6.90	0.00	6.90	70.0	4.83
02/09/2018	75501871 ESKENAZI, PHOEBE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.44	0.00	4.44	70.0	3.11
02/09/2018	75501871 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.50	0.00	3.50	70.0	2.45
02/09/2018	75501871 ESKENAZI, PHOEBE	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	69.17	0.00	69.17	70.0	48.42
02/09/2018	75501872 ESKENAZI, PHOEBE	**VIRTUS EQUITY TREND FUND	B	0.00	3.73	0.00	3.73	70.0	2.61
02/09/2018	75501872 ESKENAZI, PHOEBE	AMCAP FUND-A	B	0.00	3.86	0.00	3.86	70.0	2.70
02/09/2018	75501872 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.18	0.00	1.18	70.0	0.83
02/09/2018	75501872 ESKENAZI, PHOEBE	DELAWARE GROUP HIGH YIELD OPPORTUNI B	B	0.00	0.85	0.00	0.85	70.0	0.00
02/09/2018	75501872 ESKENAZI, PHOEBE	FUNDAMENTAL INVESTORS-A	B	0.00	3.64	0.00	3.64	70.0	2.55
02/09/2018	75501880 WINDETT, ROSE	**VIRTUS EQUITY TREND FUND	B	0.00	0.79	0.00	0.79	70.0	0.00
02/09/2018	75501881 MARTIN, KATHY	JANUS INVT FD BALANCED FD CL C	B	0.00	45.21	0.00	45.21	70.0	31.65
02/09/2018	75501882 MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	7.57	0.00	7.57	70.0	5.30
02/09/2018	75501886 MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.84	0.00	10.84	70.0	7.59
02/09/2018	75501886 MARTIN, KATHY	**VIRTUS MULTI ASSET TREND	B	0.00	11.15	0.00	11.15	70.0	7.81
02/09/2018	75501891 KAYLOR, KEVIN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	13.12	0.00	13.12	70.0	9.18
02/09/2018	75501891 KAYLOR, KEVIN	LORD ABBETT MID CAP STOCK FUND INC B	B	0.00	11.44	0.00	11.44	70.0	8.01
02/09/2018	75501892 KAYLOR, KRISTENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	5.08	0.00	5.08	70.0	3.56
02/09/2018	75501893 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	2.64	0.00	2.64	70.0	1.85
02/09/2018	75501910 RUTTER, DOUGLAS	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.42	0.00	6.42	70.0	4.49



COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75501911	RUTTER, KENNETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.43	0.00	6.43	70.0	4.50
02/09/2018	75501915	SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	10.45	0.00	10.45	70.0	7.32
02/09/2018	75501927	VASSALOTTI, MARYANN	JANUS INVT FD BALANCED FD CL C	B	0.00	20.01	0.00	20.01	70.0	14.01
02/09/2018	75501928	GELLIS, HOWARD	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	11.42	0.00	11.42	70.0	7.99
02/09/2018	75501935	STAUFFER, EDWARD	RYDEX SERIES FDS EUROPE 1.25X STRAT B	B	0.00	0.66	0.00	0.66	70.0	0.00
02/21/2018	75501935	STAUFFER, EDWARD	**KINETICS PARADIGM FUND	B	0.00	1.42	0.00	1.42	70.0	0.99
02/09/2018	75501938	ROSENBERG, DAVID	**VIRTUS EQUITY TREND FUND	B	0.00	3.13	0.00	3.13	70.0	2.19
02/09/2018	75501938	ROSENBERG, DAVID	**VIRTUS MULTI ASSET TREND	B	0.00	10.93	0.00	10.93	70.0	7.65
02/09/2018	75501938	ROSENBERG, DAVID	AMERICAN MUTUAL FUND-A	B	0.00	43.31	0.00	43.31	70.0	30.32
02/09/2018	75501939	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	1.61	0.00	1.61	70.0	1.13
02/09/2018	75501940	ROSENBERG, ELAINE	**VIRTUS EQUITY TREND FUND	B	0.00	7.84	0.00	7.84	70.0	5.49
02/09/2018	75501940	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	4.99	0.00	4.99	70.0	3.49
02/09/2018	75501941	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	2.75	0.00	2.75	70.0	1.93
02/09/2018	75501943	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	4.86	0.00	4.86	70.0	3.40
02/09/2018	75501943	GIBBS, REBECCA	TEMPLETON GROWTH FUND CLASS A	B	0.00	2.54	0.00	2.54	70.0	1.78
02/09/2018	75501955	PENN, EVA	**GUGGENHEIM FDS TR	B	0.00	0.94	0.00	0.94	70.0	0.00
02/09/2018	75501955	PENN, EVA	FRANKLIN INCOME FUND CLASS A	B	0.00	2.55	0.00	2.55	70.0	1.79
02/09/2018	75501955	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	26.12	0.00	26.12	70.0	18.28
02/09/2018	75501955	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	18.80	0.00	18.80	70.0	13.16
02/09/2018	75501955	PENN, EVA	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.45	0.00	2.45	70.0	1.72
02/09/2018	75501956	PENN, EVA	**VIRTUS EQUITY TREND FUND	B	0.00	6.37	0.00	6.37	70.0	4.46
02/09/2018	75501956	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	26.87	0.00	26.87	70.0	18.81
02/09/2018	75501956	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	10.81	0.00	10.81	70.0	7.57
02/09/2018	75501956	PENN, EVA	RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	9.47	0.00	9.47	70.0	6.63
02/09/2018	75501957	PENN, EVA	**VIRTUS MULTI ASSET TREND	B	0.00	1.29	0.00	1.29	70.0	0.90
02/09/2018	75501967	BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	7.33	0.00	7.33	70.0	5.13
02/09/2018	75501997	LESTARDO, EUGENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.97	0.00	3.97	70.0	2.78
02/09/2018	75501997	LESTARDO, EUGENE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	3.71	0.00	3.71	70.0	2.60
02/09/2018	75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND	B	0.00	61.82	0.00	61.82	70.0	43.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,105.66	\$0.00	\$1,105.66		\$770.69
*** BROKER TRANSACTIONS TOTAL ***					\$904,922.74	\$21,255.64	\$0.00	\$21,255.64		\$14,875.66

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

02/28/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)		0.00	0.00	0.00	0.00		32.96
02/28/2018		OTHER: OTHER-ADVISORY FEES		0.00	0.00	0.00	0.00		-5,571.24
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-5,538.28

BROKER COMMISSION TOTALS

Broker Transactions	\$14,875.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,538.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.12
SIPC Assessment	\$-52.23

Check Amount	\$9,259.03
Year-to-date Earnings	\$18,886.94