



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
 Page No. 1

DANIEL BUKOVCIK
 101 N. Main Street
 Box 376
 Ovid, MI 48866

BROKER #: B123
 BRANCH: DANIEL BUKOVCIK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	ASHBAUGH, CATHARINE	AM FUNDS AMCAP A	0.00	0.70	90.0	0.63	0.00	0.63
03/01/2017	ASHBAUGH, CHRISTOPHER	AM FUNDS AMCAP A	0.00	1.41	90.0	1.27	0.00	1.27
03/01/2017	FRUCHEY, DONNA	AM FUNDS AMCAP A	0.00	0.53	90.0	0.48	0.00	0.48
03/01/2017	SCHNEIDER, DAWN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.39	90.0	0.35	0.00	0.35
03/01/2017	NIXON, FRED	AM FUNDS AMERICAN BALANCED A	0.00	7.75	90.0	6.98	0.00	6.98
03/01/2017	TEACHNOR-HAUK/AUTH PER,	AM FUNDS AMERICAN BALANCED A	0.00	1.22	90.0	1.10	0.00	1.10
03/01/2017	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	14.88	90.0	13.39	0.00	13.39
03/01/2017	RISLEY, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	9.83	90.0	8.85	0.00	8.85
03/01/2017	ASHBAUGH, CATHARINE	AM FUNDS AMERICAN BALANCED A	0.00	0.53	90.0	0.48	0.00	0.48
03/01/2017	ASHBAUGH, CHRISTOPHER	AM FUNDS AMERICAN BALANCED A	0.00	3.15	90.0	2.84	0.00	2.84
03/01/2017	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	MI/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.70	90.0	0.63	0.00	0.63
03/01/2017	FRUCHEY/BENE, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	2.87	90.0	2.58	0.00	2.58
03/01/2017	RISLEY, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.55	90.0	2.30	0.00	2.30
03/01/2017	GOECKEL, KAY	AM FUNDS AMERICAN BALANCED A	0.00	13.90	90.0	12.51	0.00	12.51
03/01/2017	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED B	0.00	1.27	90.0	1.14	0.00	1.14
03/01/2017	PER, DESTINY	AM FUNDS AMERICAN BALANCED C	0.00	1.95	90.0	1.76	0.00	1.76
03/01/2017	MARTIN, SCOTT	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	18.19	90.0	16.37	0.00	16.37
03/01/2017	MULDER, DONALD	AM FUNDS AMERICAN HIGH-INC MUNI	0.00	314.52	90.0	283.07	0.00	283.07
03/01/2017	LATZ, SONYA	AM FUNDS AMERICAN HIGH-INCOME	0.00	9.86	90.0	8.87	0.00	8.87
03/01/2017	GOOSEN, JEROME	AM FUNDS AMERICAN HIGH-INCOME	0.00	13.54	90.0	12.19	0.00	12.19
03/01/2017	STANTON, DONALD	AM FUNDS AMERICAN HIGH-INCOME	0.00	11.91	90.0	10.72	0.00	10.72
03/01/2017	MULDER, DONALD	AM FUNDS AMERICAN HIGH-INCOME	0.00	31.42	90.0	28.28	0.00	28.28
03/01/2017	BANCROFT, AUDREY	AM FUNDS AMERICAN HIGH-INCOME	0.00	4.52	90.0	4.07	0.00	4.07
03/01/2017	FRUCHEY, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	2.33	90.0	2.10	0.00	2.10
03/01/2017	MCCARTHY, ROSEMARY	AM FUNDS AMERICAN MUTUAL A	0.00	0.62	90.0	0.56	0.00	0.56

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	FRUCHEY, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	1.42	90.0	1.28	0.00	1.28
03/01/2017	BUKOVCIK/SEP, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	8.21	90.0	7.39	0.00	7.39
03/01/2017	GOOSEN, JEROME	AM FUNDS BOND FUND OF AMERICA A	0.00	11.16	90.0	10.04	0.00	10.04
03/01/2017	BOSSEL, COLTER	AM FUNDS CAPITAL INCOME BUILDER	0.00	2.76	90.0	2.48	0.00	2.48
03/01/2017	NURENBURG, JACOB	AM FUNDS CAPITAL INCOME BUILDER	0.00	4.27	90.0	3.84	0.00	3.84
02/23/2017	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	90.0	1.69	0.00	1.69
02/23/2017	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	12.50	0.47	90.0	0.42	0.00	0.42
02/28/2017	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	86.06	3.23	90.0	2.91	0.00	2.91
03/01/2017	HAUK, KALMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.44	90.0	4.90	0.00	4.90
03/01/2017	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.16	90.0	5.54	0.00	5.54
03/01/2017	RISLEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.50	90.0	7.65	0.00	7.65
03/01/2017	FERDEN, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.20	90.0	1.98	0.00	1.98
03/01/2017	LADISKI, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.79	90.0	7.91	0.00	7.91
03/01/2017	CERNY, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.81	90.0	11.53	0.00	11.53
03/01/2017	LEAVITT, COLLIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.64	90.0	1.48	0.00	1.48
03/01/2017	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.31	90.0	7.48	0.00	7.48
03/01/2017	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.96	90.0	7.16	0.00	7.16
03/01/2017	GOOSEN, JEROME	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.57	90.0	10.41	0.00	10.41
03/01/2017	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.80	90.0	1.62	0.00	1.62
03/01/2017	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.72	90.0	0.65	0.00	0.65
03/01/2017	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.10	90.0	0.09	0.00	0.09
03/01/2017	FRUCHEY/BENE, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	90.0	0.39	0.00	0.39
03/01/2017	SCHAFER, BONNIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.06	90.0	3.65	0.00	3.65
03/01/2017	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	39.45	90.0	35.51	0.00	35.51
03/01/2017	MULDER, DONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	69.45	90.0	62.51	0.00	62.51
03/01/2017	RISLEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.25	90.0	2.03	0.00	2.03
03/01/2017	SCOTT, KENNEDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.65	90.0	0.59	0.00	0.59
03/01/2017	CARPENTER, RUTH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.61	90.0	0.55	0.00	0.55
03/01/2017	BANCROFT, AUDREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.49	90.0	4.04	0.00	4.04
03/01/2017	GOECKEL, KAY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.36	90.0	5.72	0.00	5.72
03/01/2017	STOLL, DYLAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.79	90.0	1.61	0.00	1.61
03/01/2017	STOLL, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.00	90.0	1.80	0.00	1.80
03/01/2017	NIXON, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.46	90.0	1.31	0.00	1.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	SWARTHOUT/BENE, JERRY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	90.0	0.47	0.00	0.47
03/01/2017	SWARTHOUT/BENE, NORITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	90.0	0.47	0.00	0.47
03/01/2017	SWARTHOUT/BENE, SHEILA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	90.0	0.47	0.00	0.47
03/01/2017	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.14	90.0	0.13	0.00	0.13
03/01/2017	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER B	0.00	0.62	90.0	0.56	0.00	0.56
02/23/2017	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	40.00	1.20	90.0	1.08	0.00	1.08
03/01/2017	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	0.00	5.75	90.0	5.18	0.00	5.18
03/01/2017	GOOSEN, JEROME	AM FUNDS CAPITAL WORLD BOND A	0.00	11.61	90.0	10.45	0.00	10.45
03/01/2017	SAVAGE, ELIZABETH	AM FUNDS CAPITAL WORLD BOND A	0.00	7.53	90.0	6.78	0.00	6.78
03/01/2017	BOSSEL, COLTER	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.96	90.0	3.56	0.00	3.56
02/23/2017	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC	50.00	1.88	90.0	1.69	0.00	1.69
02/23/2017	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC	80.00	3.00	90.0	2.70	0.00	2.70
02/28/2017	MAHONEY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC	30.00	1.13	90.0	1.02	0.00	1.02
03/01/2017	HAUK, KALMAN	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	6.05	90.0	5.45	0.00	5.45
03/01/2017	GRUBAUGH, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.60	90.0	1.44	0.00	1.44
03/01/2017	ASHBAUGH, CATHARINE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.40	90.0	0.36	0.00	0.36
03/01/2017	ASHBAUGH, CHRISTOPHER	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.78	90.0	1.60	0.00	1.60
03/01/2017	MCCARTHY, ROSEMARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	NETHAWAY, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.22	90.0	2.90	0.00	2.90
03/01/2017	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	8.20	90.0	7.38	0.00	7.38
03/01/2017	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	16.65	90.0	14.99	0.00	14.99
03/01/2017	GOOSEN, JEROME	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	12.50	90.0	11.25	0.00	11.25
03/01/2017	FRUCHEY, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.57	90.0	1.41	0.00	1.41
03/01/2017	SAVAGE, ELIZABETH	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	15.83	90.0	14.25	0.00	14.25
03/01/2017	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.22	90.0	6.50	0.00	6.50
03/01/2017	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	10.50	90.0	9.45	0.00	9.45
03/01/2017	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	9.85	90.0	8.87	0.00	8.87
03/01/2017	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	11.82	90.0	10.64	0.00	10.64
03/01/2017	BOSSEL, COLTER	AM FUNDS EUROPACIFIC GROWTH FUND	0.00	2.95	90.0	2.66	0.00	2.66
02/23/2017	ZALUD, BRIAN	AM FUNDS EUROPACIFIC GROWTH FUND A	37.50	1.59	90.0	1.43	0.00	1.43
02/23/2017	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	25.00	1.25	90.0	1.13	0.00	1.13
03/01/2017	LEN, JURLINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.42	90.0	3.98	0.00	3.98
03/01/2017	BYRNE, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.17	90.0	2.85	0.00	2.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	FRYE, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.89	90.0	0.80	0.00	0.80
03/01/2017	PAKSI, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.02	90.0	1.82	0.00	1.82
03/01/2017	ZALUD, BRIAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.55	90.0	5.90	0.00	5.90
03/01/2017	FABRY, EMILY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.65	90.0	1.49	0.00	1.49
03/01/2017	NETHAWAY, COLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.39	90.0	0.35	0.00	0.35
03/01/2017	HEBELER, CARTER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.36	90.0	0.32	0.00	0.32
03/01/2017	FERDEN, ANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.37	90.0	5.73	0.00	5.73
03/01/2017	STOCKING, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	STOCKING, ELAINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.25	90.0	1.13	0.00	1.13
03/01/2017	LADISKI, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.41	90.0	1.27	0.00	1.27
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.69	90.0	0.62	0.00	0.62
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.69	90.0	0.62	0.00	0.62
03/01/2017	TOMASEK, JENNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.35	90.0	0.32	0.00	0.32
03/01/2017	MARTIN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.70	90.0	2.43	0.00	2.43
03/01/2017	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.66	90.0	3.29	0.00	3.29
03/01/2017	NETHAWAY, LANCE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.62	90.0	1.46	0.00	1.46
03/01/2017	MULDER, DONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.75	90.0	20.48	0.00	20.48
03/01/2017	SWARTHOUT/BENE, JERRY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	90.0	0.15	0.00	0.15
03/01/2017	SWARTHOUT/BENE, NORITA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	90.0	0.15	0.00	0.15
03/01/2017	SWARTHOUT/BENE, SHEILA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	90.0	0.15	0.00	0.15
03/01/2017	FRUCHEY, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.39	90.0	2.15	0.00	2.15
03/01/2017	MCCARTHY, ROSEMARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.86	90.0	3.47	0.00	3.47
03/01/2017	FRUCHEY, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.76	90.0	1.58	0.00	1.58
03/01/2017	RISLEY, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.98	90.0	7.18	0.00	7.18
03/01/2017	BAXTER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	62.84	90.0	56.56	0.00	56.56
03/01/2017	ROBERTS, MONICA	AM FUNDS GROWTH AND INCOME 529A	0.00	3.09	90.0	2.78	0.00	2.78
02/27/2017	MULDER, JAYDEN	AM FUNDS GROWTH AND INCOME A	50.00	2.50	90.0	2.25	0.00	2.25
03/01/2017	MULDER, JAYDEN	AM FUNDS GROWTH AND INCOME A	0.00	1.38	90.0	1.24	0.00	1.24
03/01/2017	BOSSEL, COLTER	AM FUNDS GROWTH FUND OF AMERICA	0.00	3.11	90.0	2.80	0.00	2.80
03/01/2017	MULDER, TERESA	AM FUNDS GROWTH FUND OF AMERICA	0.00	6.59	90.0	5.93	0.00	5.93

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	NURENBURG, JACOB	AM FUNDS GROWTH FUND OF AMERICA	0.00	4.21	90.0	3.79	0.00	3.79
03/01/2017	HAZLE, JESSICA	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.40	90.0	0.36	0.00	0.36
03/01/2017	ROBERTS, MONICA	AM FUNDS GROWTH FUND OF AMERICA	0.00	3.31	90.0	2.98	0.00	2.98
03/01/2017	NIDEFSKI, CAIDEN	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.64	90.0	0.58	0.00	0.58
03/01/2017	NIDEFSKI, CAIDEN	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.64	90.0	0.58	0.00	0.58
02/21/2017	KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	90.0	3.38	0.00	3.38
02/21/2017	KIRBY, DAVE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	90.0	3.38	0.00	3.38
02/21/2017	FRUCHEY, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	125.00	6.25	90.0	5.63	0.00	5.63
02/23/2017	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	90.0	1.13	0.00	1.13
02/23/2017	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	80.00	3.00	90.0	2.70	0.00	2.70
02/23/2017	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.41	90.0	1.27	0.00	1.27
02/23/2017	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.59	90.0	1.43	0.00	1.43
02/28/2017	EKEL, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	90.0	2.25	0.00	2.25
02/28/2017	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	172.13	6.45	90.0	5.81	0.00	5.81
02/28/2017	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.56	90.0	0.50	0.00	0.50
02/28/2017	MAHONEY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.13	90.0	1.02	0.00	1.02
03/01/2017	HAUK, KALMAN	AM FUNDS GROWTH FUND OF AMERICA A	166.00	6.23	90.0	5.61	0.00	5.61
03/01/2017	LONGSTRETH, JOEL	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	90.0	2.25	0.00	2.25
03/01/2017	BESKO, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	90.0	1.13	0.00	1.13
03/01/2017	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	90.0	4.50	0.00	4.50
03/01/2017	SCHLIEF, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.96	90.0	2.66	0.00	2.66
03/01/2017	HAUK, KALMAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.72	90.0	24.05	0.00	24.05
03/01/2017	TEACHNOR-HAUK/AUTH PER,	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.15	90.0	1.94	0.00	1.94
03/01/2017	TEACHNOR-HAUK/AUTH PER,	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	90.0	0.06	0.00	0.06
03/01/2017	BERTRAM, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.02	90.0	4.52	0.00	4.52
03/01/2017	GRUBAUGH, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.32	90.0	10.19	0.00	10.19
03/01/2017	CASLER, SAMANTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.32	90.0	1.19	0.00	1.19
03/01/2017	ASHBAUGH, CATHARINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	90.0	0.21	0.00	0.21
03/01/2017	ASHBAUGH, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.10	90.0	1.89	0.00	1.89
03/01/2017	SKARYD, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.57	90.0	2.31	0.00	2.31
03/01/2017	FRYE, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.20	90.0	1.98	0.00	1.98
03/01/2017	BERTRAM, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.02	90.0	5.42	0.00	5.42
03/01/2017	FABRY, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.38	90.0	2.14	0.00	2.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	HEBELER, CARTER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	90.0	0.50	0.00	0.50
03/01/2017	MARTIN, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.93	90.0	8.94	0.00	8.94
03/01/2017	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.39	90.0	4.85	0.00	4.85
03/01/2017	PER, DESTINY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	90.0	0.06	0.00	0.06
03/01/2017	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.75	90.0	19.58	0.00	19.58
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.73	90.0	1.56	0.00	1.56
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.73	90.0	1.56	0.00	1.56
03/01/2017	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.73	90.0	1.56	0.00	1.56
03/01/2017	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.25	90.0	6.53	0.00	6.53
03/01/2017	FRUCHEY, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.74	90.0	0.67	0.00	0.67
03/01/2017	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.83	90.0	3.45	0.00	3.45
03/01/2017	MARTIN, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.88	90.0	13.39	0.00	13.39
03/01/2017	BUKOVCIK, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.18	90.0	1.96	0.00	1.96
03/01/2017	BUKOVCIK, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.18	90.0	1.96	0.00	1.96
03/01/2017	HAUK, LEGEND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.27	90.0	1.14	0.00	1.14
03/01/2017	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	50.19	90.0	45.17	0.00	45.17
03/01/2017	SMITH, CASSIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.59	90.0	5.03	0.00	5.03
03/01/2017	MULDER, JAYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.31	90.0	1.18	0.00	1.18
03/01/2017	LONGSTRETH, JOEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.29	90.0	1.16	0.00	1.16
03/01/2017	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.31	90.0	2.08	0.00	2.08
03/01/2017	BUKOVCIK, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.31	90.0	2.08	0.00	2.08
03/01/2017	BUKOVCIK, KARSYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.31	90.0	2.08	0.00	2.08
03/01/2017	FEDEWA, DARREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	90.0	1.36	0.00	1.36
03/01/2017	EKEL, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	90.0	0.76	0.00	0.76
03/01/2017	NETHAWAY, RILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	90.0	0.32	0.00	0.32
03/01/2017	EKEL, LAUREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	90.0	1.26	0.00	1.26
03/01/2017	GOECKEL, KAY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.34	90.0	6.61	0.00	6.61
03/01/2017	STOLL, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.33	90.0	2.10	0.00	2.10
03/01/2017	STOLL, JUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	90.0	2.99	0.00	2.99
03/01/2017	KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	90.0	0.60	0.00	0.60
03/01/2017	KIRBY, DAVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	90.0	0.60	0.00	0.60
03/01/2017	FRUCHEY, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	90.0	0.55	0.00	0.55
03/01/2017	SPEERBRECKER, SPENCER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	90.0	1.53	0.00	1.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	BESKO, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	90.0	0.66	0.00	0.66
03/01/2017	STUTZMAN, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	90.0	0.58	0.00	0.58
03/01/2017	HEHRER, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	90.0	0.32	0.00	0.32
03/01/2017	HOUSKA, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	90.0	0.91	0.00	0.91
03/01/2017	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	90.0	0.28	0.00	0.28
03/01/2017	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	90.0	0.47	0.00	0.47
03/01/2017	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	0.00	0.39	90.0	0.35	0.00	0.35
03/01/2017	BOSSEL, COLTER	AM FUNDS ICA 529A	0.00	3.54	90.0	3.19	0.00	3.19
03/01/2017	MULDER, TERESA	AM FUNDS ICA 529A	0.00	3.76	90.0	3.38	0.00	3.38
02/23/2017	ZALUD, BRIAN	AM FUNDS ICA A	37.50	1.59	90.0	1.43	0.00	1.43
02/23/2017	ZALUD, ANN	AM FUNDS ICA A	37.50	1.59	90.0	1.43	0.00	1.43
02/24/2017	WREGGELSWORTH, JOY	AM FUNDS ICA A	275.00	11.69	90.0	10.52	0.00	10.52
02/28/2017	MARTIN, SCOTT	AM FUNDS ICA A	172.12	6.45	90.0	5.81	0.00	5.81
02/28/2017	BYRNE, JAMIE	AM FUNDS ICA A	15.00	0.56	90.0	0.50	0.00	0.50
03/01/2017	LONGSTRETH, JOEL	AM FUNDS ICA A	50.00	2.50	90.0	2.25	0.00	2.25
03/01/2017	SCHLIEF, MICHAEL	AM FUNDS ICA A	0.00	5.14	90.0	4.63	0.00	4.63
03/01/2017	BUKOVCIK/SEP, WILLIAM	AM FUNDS ICA A	0.00	12.56	90.0	11.30	0.00	11.30
03/01/2017	LEN, JURLINE	AM FUNDS ICA A	0.00	9.99	90.0	8.99	0.00	8.99
03/01/2017	HAUK, KALMAN	AM FUNDS ICA A	0.00	6.96	90.0	6.26	0.00	6.26
03/01/2017	ASHBAUGH, HEIDI	AM FUNDS ICA A	0.00	16.23	90.0	14.61	0.00	14.61
03/01/2017	TEACHNOR-HAUK/AUTH PER,	AM FUNDS ICA A	0.00	0.02	90.0	0.02	0.00	0.02
03/01/2017	BERTRAM, ADAM	AM FUNDS ICA A	0.00	0.63	90.0	0.57	0.00	0.57
03/01/2017	FRUCHEY, DONNA	AM FUNDS ICA A	0.00	5.65	90.0	5.09	0.00	5.09
03/01/2017	GALEHOUSE, BARBARA	AM FUNDS ICA A	0.00	3.50	90.0	3.15	0.00	3.15
03/01/2017	FRYE, DENISE	AM FUNDS ICA A	0.00	2.06	90.0	1.85	0.00	1.85
03/01/2017	BERTRAM, ADAM	AM FUNDS ICA A	0.00	8.59	90.0	7.73	0.00	7.73
03/01/2017	PAKSI, JAMES	AM FUNDS ICA A	0.00	2.66	90.0	2.39	0.00	2.39
03/01/2017	LEAVITT, GRACE	AM FUNDS ICA A	0.00	4.42	90.0	3.98	0.00	3.98
03/01/2017	ZALUD, BRIAN	AM FUNDS ICA A	0.00	9.51	90.0	8.56	0.00	8.56
03/01/2017	WREGGELSWORTH, JOY	AM FUNDS ICA A	0.00	9.92	90.0	8.93	0.00	8.93
03/01/2017	FERDEN, ANN	AM FUNDS ICA A	0.00	9.14	90.0	8.23	0.00	8.23
03/01/2017	STOCKING, ERIC	AM FUNDS ICA A	0.00	1.41	90.0	1.27	0.00	1.27
03/01/2017	STOCKING, ELAINE	AM FUNDS ICA A	0.00	1.75	90.0	1.58	0.00	1.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	FRUCHEY, DONNA	AM FUNDS ICA A	0.00	0.39	90.0	0.35	0.00	0.35
03/01/2017	ZALUD, ANN	AM FUNDS ICA A	0.00	3.99	90.0	3.59	0.00	3.59
03/01/2017	MARTIN, LISA	AM FUNDS ICA A	0.00	7.16	90.0	6.44	0.00	6.44
03/01/2017	MARTIN, SCOTT	AM FUNDS ICA A	0.00	48.82	90.0	43.94	0.00	43.94
03/01/2017	SMITH, CASSIE	AM FUNDS ICA A	0.00	1.25	90.0	1.13	0.00	1.13
03/01/2017	LONGSTRETH, JOEL	AM FUNDS ICA A	0.00	1.26	90.0	1.13	0.00	1.13
03/01/2017	FEDEWA, DARREN	AM FUNDS ICA A	0.00	0.96	90.0	0.86	0.00	0.86
03/01/2017	EKEL, NICHOLAS	AM FUNDS ICA A	0.00	0.56	90.0	0.50	0.00	0.50
03/01/2017	EKEL, LAUREN	AM FUNDS ICA A	0.00	0.43	90.0	0.39	0.00	0.39
03/01/2017	SAVAGE, ELIZABETH	AM FUNDS ICA A	0.00	26.74	90.0	24.07	0.00	24.07
03/01/2017	MURPHY, PATRICIA	AM FUNDS ICA A	0.00	4.64	90.0	4.18	0.00	4.18
03/01/2017	STOLL, DYLAN	AM FUNDS ICA A	0.00	1.97	90.0	1.77	0.00	1.77
03/01/2017	STOLL, JUSTIN	AM FUNDS ICA A	0.00	3.28	90.0	2.95	0.00	2.95
03/01/2017	NIXON, CAROLE	AM FUNDS ICA A	0.00	1.62	90.0	1.46	0.00	1.46
03/01/2017	HEHRER, SARAH	AM FUNDS ICA A	0.00	0.34	90.0	0.31	0.00	0.31
03/01/2017	HOUSKA, JEFF	AM FUNDS ICA A	0.00	1.03	90.0	0.93	0.00	0.93
03/01/2017	MARTIN, SCOTT	AM FUNDS ICA A	0.00	0.31	90.0	0.28	0.00	0.28
03/01/2017	BYRNE, JAMIE	AM FUNDS ICA A	0.00	0.51	90.0	0.46	0.00	0.46
03/01/2017	FRUCHEY, DONNA	AM FUNDS ICA B	0.00	0.58	90.0	0.52	0.00	0.52
03/01/2017	SCHLIEF, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.32	90.0	2.09	0.00	2.09
03/01/2017	FRUCHEY, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.00	90.0	2.70	0.00	2.70
03/01/2017	RISLEY, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	84.31	90.0	75.88	0.00	75.88
03/01/2017	MCCARTHY, ROSEMARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.50	90.0	1.35	0.00	1.35
03/01/2017	NETHAWAY, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.42	90.0	3.08	0.00	3.08
03/01/2017	FRUCHEY, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.15	90.0	1.04	0.00	1.04
03/01/2017	STOCKING, RODNEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.15	90.0	1.04	0.00	1.04
03/01/2017	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.90	90.0	0.81	0.00	0.81
03/01/2017	FRUCHEY/BENE, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.65	90.0	3.29	0.00	3.29
03/01/2017	MARTIN, LISA	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.88	90.0	10.69	0.00	10.69
03/01/2017	BUKOVCIK, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.12	90.0	6.41	0.00	6.41
03/01/2017	BUKOVCIK, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.12	90.0	6.41	0.00	6.41
03/01/2017	RISLEY, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.52	90.0	6.77	0.00	6.77
03/01/2017	CARPENTER, RUTH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.46	90.0	3.11	0.00	3.11

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	SAVAGE, ELIZABETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.85	90.0	13.37	0.00	13.37
03/01/2017	MULDER, TERESA	AM FUNDS INCOME FUND OF	0.00	2.21	90.0	1.99	0.00	1.99
03/01/2017	GOOSEN, JEROME	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.46	90.0	1.31	0.00	1.31
03/01/2017	STOCKING, MORGAN	AM FUNDS MORTGAGE A	0.00	0.67	90.0	0.60	0.00	0.60
03/01/2017	BYRNE, JAMIE	AM FUNDS NEW PERSPECTIVE A	0.00	3.65	90.0	3.29	0.00	3.29
03/01/2017	FRUCHEY, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	0.54	90.0	0.49	0.00	0.49
03/01/2017	BAXTER, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	54.48	90.0	49.03	0.00	49.03
03/01/2017	GRUBAUGH, EDWARD	AM FUNDS NEW WORLD A	0.00	1.25	90.0	1.13	0.00	1.13
03/01/2017	SPEERBRECKER, SPENCER	AM FUNDS NEW WORLD A	0.00	0.32	90.0	0.29	0.00	0.29
03/01/2017	ASHBAUGH, CATHARINE	AM FUNDS SMALLCAP WORLD A	0.00	0.49	90.0	0.44	0.00	0.44
03/01/2017	ASHBAUGH, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	1.01	90.0	0.91	0.00	0.91
03/01/2017	STOCKING, MORGAN	AM FUNDS TAX-EXEMPT BOND FUND OF	0.00	3.98	90.0	3.58	0.00	3.58
02/21/2017	KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL	100.00	3.75	90.0	3.38	0.00	3.38
02/21/2017	KIRBY, DAVE	AM FUNDS WASHINGTON MUTUAL	100.00	3.75	90.0	3.38	0.00	3.38
02/21/2017	FRUCHEY, JEFF	AM FUNDS WASHINGTON MUTUAL	125.00	6.25	90.0	5.63	0.00	5.63
02/24/2017	WREGGELSWORTH, JOY	AM FUNDS WASHINGTON MUTUAL	100.00	4.25	90.0	3.83	0.00	3.83
03/01/2017	BESKO, BRADLEY	AM FUNDS WASHINGTON MUTUAL	25.00	1.25	90.0	1.13	0.00	1.13
03/01/2017	BUKOVCIK/SEP, WILLIAM	AM FUNDS WASHINGTON MUTUAL	0.00	4.93	90.0	4.44	0.00	4.44
03/01/2017	GRUBAUGH/, AUDREY	AM FUNDS WASHINGTON MUTUAL	0.00	74.81	90.0	67.33	0.00	67.33
03/01/2017	GRUBAUGH, EDWARD	AM FUNDS WASHINGTON MUTUAL	0.00	10.13	90.0	9.12	0.00	9.12
03/01/2017	WREGGELSWORTH, JOY	AM FUNDS WASHINGTON MUTUAL	0.00	9.12	90.0	8.21	0.00	8.21
03/01/2017	STOCKING, MORGAN	AM FUNDS WASHINGTON MUTUAL	0.00	3.66	90.0	3.29	0.00	3.29
03/01/2017	STEVENS, PATRICIA	AM FUNDS WASHINGTON MUTUAL	0.00	30.15	90.0	27.14	0.00	27.14
03/01/2017	FRUCHEY, DONNA	AM FUNDS WASHINGTON MUTUAL	0.00	0.31	90.0	0.28	0.00	0.28
03/01/2017	MARTIN, SCOTT	AM FUNDS WASHINGTON MUTUAL	0.00	48.47	90.0	43.62	0.00	43.62
03/01/2017	MULDER, JAYDEN	AM FUNDS WASHINGTON MUTUAL	0.00	1.32	90.0	1.19	0.00	1.19
03/01/2017	RISLEY, ROBERT	AM FUNDS WASHINGTON MUTUAL	0.00	6.77	90.0	6.09	0.00	6.09
03/01/2017	KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL	0.00	0.32	90.0	0.29	0.00	0.29
03/01/2017	KIRBY, DAVE	AM FUNDS WASHINGTON MUTUAL	0.00	0.32	90.0	0.29	0.00	0.29
03/01/2017	FRUCHEY, JEFF	AM FUNDS WASHINGTON MUTUAL	0.00	0.78	90.0	0.70	0.00	0.70
03/01/2017	SPEERBRECKER, SPENCER	AM FUNDS WASHINGTON MUTUAL	0.00	1.69	90.0	1.52	0.00	1.52
03/01/2017	BESKO, BRADLEY	AM FUNDS WASHINGTON MUTUAL	0.00	0.72	90.0	0.65	0.00	0.65
03/01/2017	STUTZMAN, VICTORIA	AM FUNDS WASHINGTON MUTUAL	0.00	0.65	90.0	0.59	0.00	0.59

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	NURENBURG, JACOB	AM FUNDS WASHINGTON MUTUAL	0.00	4.04	90.0	3.64	0.00	3.64
/ /	LEE, EUSTAQUIA	HARTFORD 529 AGGRESSIVE GROWTH A	0.00	0.41	90.0	0.37	0.00	0.37
/ /	STUTZMAN, KAREN	HARTFORD 529 CHECKS AND BAL A	0.00	0.09	90.0	0.08	0.00	0.08
/ /	STUTZMAN, KAREN	HARTFORD 529 CHECKS AND BAL A	0.00	0.07	90.0	0.06	0.00	0.06
/ /	MCHALLAM, MYA	HARTFORD 529 DIVIDEND AND GROWTH A	0.00	10.71	90.0	9.64	0.00	9.64
/ /	STUTZMAN, KAREN	HARTFORD 529 EQUITY INCOME A	0.00	2.20	90.0	1.98	0.00	1.98
/ /	STUTZMAN, KAREN	HARTFORD 529 EQUITY INCOME A	0.00	0.30	90.0	0.27	0.00	0.27
/ /	STUTZMAN, KAREN	HARTFORD 529 EQUITY INCOME A	0.00	2.20	90.0	1.98	0.00	1.98
/ /	STUTZMAN, KAREN	HARTFORD 529 EQUITY INCOME A	0.00	0.42	90.0	0.38	0.00	0.38
/ /	O'MALEY, SHIRLEY	HARTFORD 529 STATIC GROWTH A	0.00	0.30	90.0	0.27	0.00	0.27
/ /	O'MALEY, SHIRLEY	HARTFORD 529 STATIC GROWTH A	0.00	0.30	90.0	0.27	0.00	0.27
/ /	O'MALEY, SHIRLEY	HARTFORD 529 STATIC GROWTH A	0.00	1.82	90.0	1.64	0.00	1.64
/ /	O'MALEY, SHIRLEY	HARTFORD 529 STATIC GROWTH A	0.00	0.30	90.0	0.27	0.00	0.27
/ /	O'MALEY, SHIRLEY	HARTFORD 529 STATIC GROWTH A	0.00	0.27	90.0	0.24	0.00	0.24
/ /	DOUBRAVA, THOMAS	HARTFORD 529 STATIC GROWTH A	0.00	0.91	90.0	0.82	0.00	0.82
/ /	DOUBRAVA, THOMAS	HARTFORD 529 STATIC GROWTH A	0.00	0.60	90.0	0.54	0.00	0.54
/ /	BAESE, MARY BETH	HARTFORD BALANCED A	0.00	0.16	90.0	0.14	0.00	0.14
/ /	DARLING, KIMBERLY	HARTFORD BALANCED A	0.00	0.15	90.0	0.14	0.00	0.14
/ /	FOX, KERRI	HARTFORD BALANCED A	0.00	0.19	90.0	0.17	0.00	0.17
02/02/2017	BAESE, MARY BETH	HARTFORD BALANCED A	0.00	0.17	90.0	0.15	0.00	0.15
02/02/2017	DARLING, KIMBERLY	HARTFORD BALANCED A	0.00	0.17	90.0	0.15	0.00	0.15
02/02/2017	FOX, KERRI	HARTFORD BALANCED A	0.00	0.21	90.0	0.19	0.00	0.19
/ /	KIEFFER, DUSTIN	HARTFORD BALANCED ALLOCATION A	0.00	7.73	90.0	6.96	0.00	6.96
/ /	KIEFFER, KIRA	HARTFORD BALANCED ALLOCATION A	0.00	7.53	90.0	6.78	0.00	6.78
/ /	EVANS, ROGER	HARTFORD BALANCED ALLOCATION A	0.00	7.68	90.0	6.91	0.00	6.91
/ /	RADEMACHER, RICKEY	HARTFORD BALANCED ALLOCATION A	0.00	1.75	90.0	1.58	0.00	1.58
/ /	KIEFFER, PATRICIA	HARTFORD BALANCED ALLOCATION A	0.00	54.30	90.0	48.87	0.00	48.87
02/02/2017	RADEMACHER, RICKEY	HARTFORD BALANCED ALLOCATION A	0.00	1.90	90.0	1.71	0.00	1.71
02/02/2017	KIEFFER, PATRICIA	HARTFORD BALANCED ALLOCATION A	0.00	59.10	90.0	53.19	0.00	53.19
02/02/2017	KIEFFER, DUSTIN	HARTFORD BALANCED ALLOCATION A	0.00	8.42	90.0	7.58	0.00	7.58
02/02/2017	KIEFFER, KIRA	HARTFORD BALANCED ALLOCATION A	0.00	8.20	90.0	7.38	0.00	7.38
02/02/2017	EVANS, ROGER	HARTFORD BALANCED ALLOCATION A	0.00	8.35	90.0	7.52	0.00	7.52
/ /	HASSE, RONALD	HARTFORD CAPITAL APP II A	0.00	1.78	90.0	1.60	0.00	1.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HILTON, CYNTHIA	HARTFORD CAPITAL APP II A	0.00	3.29	90.0	2.96	0.00	2.96
/ /	BUKOVCIK, DANIEL	HARTFORD CAPITAL APP II A	0.00	6.57	90.0	5.91	0.00	5.91
/ /	THELEN, TODD	HARTFORD CAPITAL APP II A	0.00	0.37	90.0	0.33	0.00	0.33
/ /	THELEN, ROSANNE	HARTFORD CAPITAL APP II A	0.00	0.69	90.0	0.62	0.00	0.62
02/02/2017	HILTON, CYNTHIA	HARTFORD CAPITAL APP II A	0.00	3.56	90.0	3.20	0.00	3.20
02/02/2017	BUKOVCIK, DANIEL	HARTFORD CAPITAL APP II A	0.00	7.11	90.0	6.40	0.00	6.40
02/02/2017	THELEN, TODD	HARTFORD CAPITAL APP II A	0.00	0.40	90.0	0.36	0.00	0.36
02/02/2017	HASSE, RONALD	HARTFORD CAPITAL APP II A	0.00	1.92	90.0	1.73	0.00	1.73
02/02/2017	THELEN, ROSANNE	HARTFORD CAPITAL APP II A	0.00	0.75	90.0	0.68	0.00	0.68
/ /	HILTON, CYNTHIA	HARTFORD CAPITAL APPRECIATION A	0.00	4.21	90.0	3.79	0.00	3.79
/ /	BUKOVCIK, DANIEL	HARTFORD CAPITAL APPRECIATION A	0.00	21.79	90.0	19.61	0.00	19.61
/ /	BAESE, MARY BETH	HARTFORD CAPITAL APPRECIATION A	0.00	1.79	90.0	1.61	0.00	1.61
/ /	PRICE, SARAH	HARTFORD CAPITAL APPRECIATION A	0.00	0.78	90.0	0.70	0.00	0.70
/ /	ZUNIGA, AMANDA	HARTFORD CAPITAL APPRECIATION A	0.00	12.19	90.0	10.97	0.00	10.97
/ /	HALFMAN, BRENDA	HARTFORD CAPITAL APPRECIATION A	0.00	0.45	90.0	0.41	0.00	0.41
/ /	THORNBURG, FREDERICK	HARTFORD CAPITAL APPRECIATION A	0.00	3.59	90.0	3.23	0.00	3.23
/ /	COOLEY, MARK	HARTFORD CAPITAL APPRECIATION A	0.00	0.20	90.0	0.18	0.00	0.18
/ /	DOUBRAVA, THOMAS	HARTFORD CAPITAL APPRECIATION A	0.00	0.93	90.0	0.84	0.00	0.84
02/02/2017	HILTON, CYNTHIA	HARTFORD CAPITAL APPRECIATION A	0.00	4.52	90.0	4.07	0.00	4.07
02/02/2017	BUKOVCIK, DANIEL	HARTFORD CAPITAL APPRECIATION A	0.00	23.39	90.0	21.05	0.00	21.05
02/02/2017	COOLEY, MARK	HARTFORD CAPITAL APPRECIATION A	0.00	0.22	90.0	0.20	0.00	0.20
02/02/2017	BAESE, MARY BETH	HARTFORD CAPITAL APPRECIATION A	0.00	1.92	90.0	1.73	0.00	1.73
02/02/2017	PRICE, SARAH	HARTFORD CAPITAL APPRECIATION A	0.00	0.84	90.0	0.76	0.00	0.76
02/02/2017	ZUNIGA, AMANDA	HARTFORD CAPITAL APPRECIATION A	0.00	13.08	90.0	11.77	0.00	11.77
02/02/2017	HALFMAN, BRENDA	HARTFORD CAPITAL APPRECIATION A	0.00	0.48	90.0	0.43	0.00	0.43
02/02/2017	THORNBURG, FREDERICK	HARTFORD CAPITAL APPRECIATION A	0.00	3.86	90.0	3.47	0.00	3.47
02/02/2017	DOUBRAVA, THOMAS	HARTFORD CAPITAL APPRECIATION A	0.00	1.00	90.0	0.90	0.00	0.90
/ /	BAESE, MARY BETH	HARTFORD CORPORATE OPPS A	0.00	0.27	90.0	0.24	0.00	0.24
02/02/2017	BAESE, MARY BETH	HARTFORD CORPORATE OPPS A	0.00	0.30	90.0	0.27	0.00	0.27
/ /	RICHARDS, DEVIN	HARTFORD DISCIPLINED EQUITY A	0.00	2.14	90.0	1.93	0.00	1.93
/ /	FEDEWA, MIKE	HARTFORD DISCIPLINED EQUITY A	0.00	3.05	90.0	2.75	0.00	2.75
02/02/2017	RICHARDS, DEVIN	HARTFORD DISCIPLINED EQUITY A	0.00	2.30	90.0	2.07	0.00	2.07
02/02/2017	FEDEWA, MIKE	HARTFORD DISCIPLINED EQUITY A	0.00	3.28	90.0	2.95	0.00	2.95

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HILTON, CYNTHIA	HARTFORD DIVIDEND & GROWTH A	0.00	5.11	90.0	4.60	0.00	4.60
/ /	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	1.01	90.0	0.91	0.00	0.91
/ /	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	0.74	90.0	0.67	0.00	0.67
/ /	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	2.85	90.0	2.57	0.00	2.57
/ /	LADISKI, BROOKE	HARTFORD DIVIDEND & GROWTH A	0.00	0.50	90.0	0.45	0.00	0.45
/ /	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	1.52	90.0	1.37	0.00	1.37
/ /	WEISMILLER, KELSEY	HARTFORD DIVIDEND & GROWTH A	0.00	0.44	90.0	0.40	0.00	0.40
/ /	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	0.00	5.34	90.0	4.81	0.00	4.81
/ /	FEDEWA, MIKE	HARTFORD DIVIDEND & GROWTH A	0.00	5.59	90.0	5.03	0.00	5.03
/ /	THELEN, TODD	HARTFORD DIVIDEND & GROWTH A	0.00	1.07	90.0	0.96	0.00	0.96
/ /	THELEN, ROSANNE	HARTFORD DIVIDEND & GROWTH A	0.00	2.00	90.0	1.80	0.00	1.80
02/02/2017	HILTON, CYNTHIA	HARTFORD DIVIDEND & GROWTH A	0.00	5.55	90.0	5.00	0.00	5.00
02/02/2017	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	3.09	90.0	2.78	0.00	2.78
02/02/2017	LADISKI, BROOKE	HARTFORD DIVIDEND & GROWTH A	0.00	0.54	90.0	0.49	0.00	0.49
02/02/2017	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	1.65	90.0	1.49	0.00	1.49
02/02/2017	THELEN, TODD	HARTFORD DIVIDEND & GROWTH A	0.00	1.16	90.0	1.04	0.00	1.04
02/02/2017	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	1.10	90.0	0.99	0.00	0.99
02/02/2017	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	0.80	90.0	0.72	0.00	0.72
02/02/2017	WEISMILLER, KELSEY	HARTFORD DIVIDEND & GROWTH A	0.00	0.47	90.0	0.42	0.00	0.42
02/02/2017	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	0.00	5.76	90.0	5.18	0.00	5.18
02/02/2017	FEDEWA, MIKE	HARTFORD DIVIDEND & GROWTH A	0.00	6.06	90.0	5.45	0.00	5.45
02/02/2017	THELEN, ROSANNE	HARTFORD DIVIDEND & GROWTH A	0.00	2.17	90.0	1.95	0.00	1.95
03/01/2017	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	150.00	6.00	90.0	5.40	0.00	5.40
/ /	BUKOVCIK/SEP, WILLIAM	HARTFORD GROWTH OPP A	0.00	1.42	90.0	1.28	0.00	1.28
/ /	SCHLIEF, MICHAEL	HARTFORD GROWTH OPP A	0.00	4.79	90.0	4.31	0.00	4.31
/ /	WOODRUFF, RONALD	HARTFORD GROWTH OPP A	0.00	2.60	90.0	2.34	0.00	2.34
/ /	SQUIRES, YVONNE	HARTFORD GROWTH OPP A	0.00	9.79	90.0	8.81	0.00	8.81
/ /	SQUIRES, LARRY	HARTFORD GROWTH OPP A	0.00	9.81	90.0	8.83	0.00	8.83
/ /	BUKOVCIK, DANIEL	HARTFORD GROWTH OPP A	0.00	50.60	90.0	45.54	0.00	45.54
/ /	BAESE, MARY BETH	HARTFORD GROWTH OPP A	0.00	0.31	90.0	0.28	0.00	0.28
/ /	LADISKI, BREANNA	HARTFORD GROWTH OPP A	0.00	0.75	90.0	0.68	0.00	0.68
/ /	BROWN, JACK	HARTFORD GROWTH OPP A	0.00	1.61	90.0	1.45	0.00	1.45
/ /	SIEFFERT, DONALD	HARTFORD GROWTH OPP A	0.00	7.23	90.0	6.51	0.00	6.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FEDEWA, MIKE	HARTFORD GROWTH OPP A	0.00	2.78	90.0	2.50	0.00	2.50
/ /	BROWN, TUCKER	HARTFORD GROWTH OPP A	0.00	0.92	90.0	0.83	0.00	0.83
/ /	CASLER, SUSAN	HARTFORD GROWTH OPP A	0.00	0.40	90.0	0.36	0.00	0.36
/ /	DARLING, KIMBERLY	HARTFORD GROWTH OPP A	0.00	7.85	90.0	7.07	0.00	7.07
/ /	FOX, KERRI	HARTFORD GROWTH OPP A	0.00	12.80	90.0	11.52	0.00	11.52
02/02/2017	BUKOVCIK, DANIEL	HARTFORD GROWTH OPP A	0.00	54.21	90.0	48.79	0.00	48.79
02/02/2017	LADISKI, BREANNA	HARTFORD GROWTH OPP A	0.00	0.81	90.0	0.73	0.00	0.73
02/02/2017	BROWN, JACK	HARTFORD GROWTH OPP A	0.00	1.72	90.0	1.55	0.00	1.55
02/02/2017	BROWN, TUCKER	HARTFORD GROWTH OPP A	0.00	0.98	90.0	0.88	0.00	0.88
02/02/2017	BUKOVCIK/SEP, WILLIAM	HARTFORD GROWTH OPP A	0.00	1.53	90.0	1.38	0.00	1.38
02/02/2017	SCHLIEF, MICHAEL	HARTFORD GROWTH OPP A	0.00	5.14	90.0	4.63	0.00	4.63
02/02/2017	WOODRUFF, RONALD	HARTFORD GROWTH OPP A	0.00	2.83	90.0	2.55	0.00	2.55
02/02/2017	SQUIRES, YVONNE	HARTFORD GROWTH OPP A	0.00	10.49	90.0	9.44	0.00	9.44
02/02/2017	SQUIRES, LARRY	HARTFORD GROWTH OPP A	0.00	10.51	90.0	9.46	0.00	9.46
02/02/2017	PLESKO-BERNATH, CYNTHIA	HARTFORD GROWTH OPP A	0.00	2.62	90.0	2.36	0.00	2.36
02/02/2017	BAESE, MARY BETH	HARTFORD GROWTH OPP A	0.00	0.33	90.0	0.30	0.00	0.30
02/02/2017	SIEFFERT, DONALD	HARTFORD GROWTH OPP A	0.00	7.74	90.0	6.97	0.00	6.97
02/02/2017	FEDEWA, MIKE	HARTFORD GROWTH OPP A	0.00	2.98	90.0	2.68	0.00	2.68
02/02/2017	CASLER, SUSAN	HARTFORD GROWTH OPP A	0.00	0.43	90.0	0.39	0.00	0.39
02/02/2017	DARLING, KIMBERLY	HARTFORD GROWTH OPP A	0.00	8.41	90.0	7.57	0.00	7.57
02/02/2017	FOX, KERRI	HARTFORD GROWTH OPP A	0.00	13.71	90.0	12.34	0.00	12.34
/ /	SIEFFERT, DONALD	HARTFORD INFLATION PLUS A	0.00	8.32	90.0	7.49	0.00	7.49
/ /	HOLCOMB, BRUCE (DCD)	HARTFORD INFLATION PLUS A	0.00	0.79	90.0	0.71	0.00	0.71
/ /	THORNBURG, FREDERICK	HARTFORD INFLATION PLUS A	0.00	2.86	90.0	2.57	0.00	2.57
/ /	BATES, JAMES	HARTFORD INFLATION PLUS A	0.00	5.71	90.0	5.14	0.00	5.14
/ /	SCOTT, FRANCES	HARTFORD INFLATION PLUS A	0.00	1.02	90.0	0.92	0.00	0.92
02/02/2017	SCOTT, FRANCES	HARTFORD INFLATION PLUS A	0.00	1.12	90.0	1.01	0.00	1.01
02/02/2017	SIEFFERT, DONALD	HARTFORD INFLATION PLUS A	0.00	9.18	90.0	8.26	0.00	8.26
02/02/2017	HOLCOMB, BRUCE (DCD)	HARTFORD INFLATION PLUS A	0.00	0.87	90.0	0.78	0.00	0.78
02/02/2017	THORNBURG, FREDERICK	HARTFORD INFLATION PLUS A	0.00	3.15	90.0	2.84	0.00	2.84
02/02/2017	BATES, JAMES	HARTFORD INFLATION PLUS A	0.00	6.30	90.0	5.67	0.00	5.67
/ /	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	1.68	90.0	1.51	0.00	1.51
/ /	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	0.92	90.0	0.83	0.00	0.83

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	WEISMILLER, KELSEY	HARTFORD INT'L GROWTH A	0.00	0.29	90.0	0.26	0.00	0.26
02/02/2017	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	1.81	90.0	1.63	0.00	1.63
02/02/2017	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	0.99	90.0	0.89	0.00	0.89
02/02/2017	WEISMILLER, KELSEY	HARTFORD INT'L GROWTH A	0.00	0.31	90.0	0.28	0.00	0.28
/ /	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.61	90.0	1.45	0.00	1.45
/ /	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.23	90.0	1.11	0.00	1.11
02/02/2017	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.74	90.0	1.57	0.00	1.57
02/02/2017	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.33	90.0	1.20	0.00	1.20
/ /	SIEFFERT, DONALD	HARTFORD TOTAL RETURN BOND A	0.00	6.02	90.0	5.42	0.00	5.42
02/02/2017	SIEFFERT, DONALD	HARTFORD TOTAL RETURN BOND A	0.00	6.63	90.0	5.97	0.00	5.97
* MUTUAL FUNDS SUBTOTAL *			\$2,761.31	\$2,684.53		\$2,416.44	\$0.00	\$2,416.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/24/2017	STOCKING, ELAINE	JNL ELITE ACCESS	3,000.00	135.00	90.0	121.50	0.00	121.50
02/28/2017	FELIU, RAUL	JNL ELITE ACCESS	25,986.35	5.41	90.0	4.87	0.00	4.87
02/28/2017	GOOSEN, JACQUELINE	JNL ELITE ACCESS	15,485.17	3.23	90.0	2.91	0.00	2.91
02/28/2017	STOCKING, ELAINE	JNL ELITE ACCESS	2,192.63	0.46	90.0	0.41	0.00	0.41
02/28/2017	SPITERI, JOHN	JNL ELITE ACCESS	5,308.74	1.11	90.0	1.00	0.00	1.00
02/28/2017	WAGGONER, SHEILA	JNL ELITE ACCESS	17,170.97	3.58	90.0	3.22	0.00	3.22
02/28/2017	SPIESS, CHARLES	JNL PERSPECTIVE	69,204.54	28.84	90.0	25.96	0.00	25.96
02/28/2017	RICHARDS, ROBERT	JNL PERSPECTIVE II 04/14	36,585.69	15.24	90.0	13.72	0.00	13.72
02/28/2017	SCHOMISCH, RENEE	JNL PERSPECTIVE II 04/14	242,093.76	100.87	90.0	90.78	0.00	90.78
02/28/2017	BURGESS, BEVERLY	JNL PERSPECTIVE II 04/14	17,634.49	7.35	90.0	6.62	0.00	6.62
02/28/2017	ROY, JANICE	JNL PERSPECTIVE II 04/14	32,000.69	13.33	90.0	12.00	0.00	12.00
02/28/2017	WINKLER, LORETTA	JNL PERSPECTIVE II 04/14	488,688.06	203.62	90.0	183.26	0.00	183.26
02/28/2017	COOK, DONALD	JNL PERSPECTIVE II 04/14	147,864.91	61.61	90.0	55.45	0.00	55.45
02/28/2017	VINCENT, LEROY	JNL PERSPECTIVE II 04/14	52,849.94	22.02	90.0	19.82	0.00	19.82
02/28/2017	WOODEND, DAVID	JNL PERSPECTIVE II 04/14	312,545.45	130.23	90.0	117.21	0.00	117.21
02/28/2017	HEHRER, SANDRA	JNL PERSPECTIVE II 04/14	33,934.29	14.14	90.0	12.73	0.00	12.73
02/28/2017	BARBOUR, LARRY	JNL PERSPECTIVE II 04/14	52,562.27	21.90	90.0	19.71	0.00	19.71
02/28/2017	SAVAGE, ELIZABETH	JNL PERSPECTIVE II 04/14	7,548.63	3.15	90.0	2.84	0.00	2.84
02/28/2017	THELEN, NEIL	JNL PERSPECTIVE II 04/14	18,016.33	7.51	90.0	6.76	0.00	6.76
02/28/2017	RICHARDS, AMBER	JNL PERSPECTIVE II 04/14	104,812.32	43.67	90.0	39.30	0.00	39.30
02/28/2017	BESKO, DIRK	JNL PERSPECTIVE II 04/14	15,618.46	6.51	90.0	5.86	0.00	5.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	YOUNG, MARY	JNL PERSPECTIVE II 04/14	589,681.92	245.70	90.0	221.13	0.00	221.13
02/28/2017	WAKEFIELD, RONALD	JNL PERSPECTIVE II 04/14	39,705.15	16.54	90.0	14.89	0.00	14.89
02/28/2017	ADE, MARIE	JNL PERSPECTIVE II 04/14	10,872.73	4.53	90.0	4.08	0.00	4.08
02/28/2017	PIGGOTT, PHILIP	JNL PERSPECTIVE II 04/14	314,281.96	130.95	90.0	117.86	0.00	117.86
02/28/2017	SMITH, RICHARD	JNL PERSPECTIVE II 04/14	28,550.44	11.90	90.0	10.71	0.00	10.71
02/28/2017	FEDEWA, LORETTA	JNL PERSPECTIVE II 04/14	52,976.02	22.07	90.0	19.86	0.00	19.86
02/28/2017	STROMER, SCOTT	JNL PERSPECTIVE II 04/14	57,122.01	23.80	90.0	21.42	0.00	21.42
02/28/2017	THELEN, ROSANNE	JNL PERSPECTIVE II 04/14	907,893.96	378.29	90.0	340.46	0.00	340.46
02/28/2017	THOMA, CHRIS	JNL PERSPECTIVE II 04/14	73,138.38	30.47	90.0	27.42	0.00	27.42
02/28/2017	NIXON, KIMBERLY	JNL PERSPECTIVE II 04/14	86,008.94	35.84	90.0	32.26	0.00	32.26
02/28/2017	WAKEFIELD, RONALD	JNL PERSPECTIVE II 04/14	87,435.87	36.43	90.0	32.79	0.00	32.79
02/28/2017	WAGGONER, RUSSELL	JNL PERSPECTIVE II 04/14	83,905.75	34.96	90.0	31.46	0.00	31.46
02/28/2017	REED, JOAN	JNL PERSPECTIVE II 04/14	30,128.55	12.55	90.0	11.30	0.00	11.30
02/28/2017	REED, RICHARD	JNL PERSPECTIVE II 04/14	73,880.49	30.78	90.0	27.70	0.00	27.70
02/28/2017	PENROSE, GARY	JNL PERSPECTIVE II 04/14	82,757.77	34.48	90.0	31.03	0.00	31.03
02/28/2017	BERNATH, THOMAS	JNL PERSPECTIVE II 04/14	19,175.88	7.99	90.0	7.19	0.00	7.19
02/28/2017	TRANN, LISA	JNL PERSPECTIVE II 04/14	8,143.70	3.39	90.0	3.05	0.00	3.05
02/28/2017	HUMPHREY, PATRICIA	JNL PERSPECTIVE II 04/14	9,781.82	4.08	90.0	3.67	0.00	3.67
02/28/2017	STEVENS, PATRICIA	JNL PERSPECTIVE II 04/14	144,176.48	60.07	90.0	54.06	0.00	54.06
02/28/2017	BERNATH, MARGARET	JNL PERSPECTIVE II 04/14	36,409.93	15.17	90.0	13.65	0.00	13.65
02/28/2017	BERNATH, MARGARET	JNL PERSPECTIVE II 04/14	28,137.21	11.72	90.0	10.55	0.00	10.55
02/28/2017	RICHARD, LORI	JNL PERSPECTIVE II 04/14	30,797.03	12.83	90.0	11.55	0.00	11.55
02/28/2017	RECK, CHARLES	JNL PERSPECTIVE II 04/14	395,826.76	164.93	90.0	148.44	0.00	148.44
02/28/2017	RECK, MAXINE	JNL PERSPECTIVE II 04/14	250,408.73	104.34	90.0	93.91	0.00	93.91
02/28/2017	HANUS, PHILLIP	JNL PERSPECTIVE II 04/14	152,776.39	63.66	90.0	57.29	0.00	57.29
02/28/2017	HANUS, SHEILA	JNL PERSPECTIVE II 04/14	32,579.63	13.57	90.0	12.21	0.00	12.21
02/28/2017	HANUS, PHILLIP	JNL PERSPECTIVE II 04/14	42,079.27	17.53	90.0	15.78	0.00	15.78
02/21/2017	RADEMACHER, SUZANNE	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/21/2017	RADEMACHER, RICKEY	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/27/2017	EVANS, ROGER	JNL PERSPECTIVE II 05/05	6,500.00	341.25	90.0	307.13	0.00	307.13
02/27/2017	BARKS, ERRON	JNL PERSPECTIVE II 05/05	6,000.00	315.00	90.0	283.50	0.00	283.50
02/27/2017	BARKS, ERRON	JNL PERSPECTIVE II 05/05	16,000.00	840.00	90.0	756.00	0.00	756.00
02/27/2017	BARKS, MARIE	JNL PERSPECTIVE II 05/05	6,000.00	315.00	90.0	283.50	0.00	283.50

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/28/2017	ASHBAUGH, ROBERT	JNL PERSPECTIVE II 05/05	334,132.83	139.22	90.0	125.30	0.00	125.30
02/28/2017	MILLER, ALAN	JNL PERSPECTIVE II 05/05	36,542.41	15.23	90.0	13.71	0.00	13.71
02/28/2017	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	8,189.09	3.41	90.0	3.07	0.00	3.07
02/28/2017	PLESKO-BERNATH, CYNTHIA	JNL PERSPECTIVE II 05/05	8,781.54	3.66	90.0	3.29	0.00	3.29
02/28/2017	SCHNEIDER, DAWN	JNL PERSPECTIVE II 05/05	27,382.37	11.41	90.0	10.27	0.00	10.27
02/28/2017	LEAVITT, CHRISTOPHER	JNL PERSPECTIVE II 05/05	4,372.88	1.82	90.0	1.64	0.00	1.64
02/28/2017	DEBOER, DIANA	JNL PERSPECTIVE II 05/05	60,899.86	25.37	90.0	22.83	0.00	22.83
02/28/2017	COZIK, MICHELLE	JNL PERSPECTIVE II 05/05	11,252.03	4.69	90.0	4.22	0.00	4.22
02/28/2017	NETHAWAY, BARBARA	JNL PERSPECTIVE II 05/05	30,822.21	12.84	90.0	11.56	0.00	11.56
02/28/2017	AUSTIN, CHARLENE	JNL PERSPECTIVE II 05/05	45,676.37	19.03	90.0	17.13	0.00	17.13
02/28/2017	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	152,894.68	63.71	90.0	57.34	0.00	57.34
02/28/2017	KOERNER, NANCY	JNL PERSPECTIVE II 05/05	97,724.69	40.72	90.0	36.65	0.00	36.65
02/28/2017	BUKOVCIK, GAVIN	JNL PERSPECTIVE II 05/05	42,507.21	17.71	90.0	15.94	0.00	15.94
02/28/2017	BOETTCHER, BRETT	JNL PERSPECTIVE II 05/05	2,438.18	1.02	90.0	0.92	0.00	0.92
02/28/2017	BOETTCHER, BRETT	JNL PERSPECTIVE II 05/05	17,764.20	7.40	90.0	6.66	0.00	6.66
02/28/2017	BATORA, DELORES	JNL PERSPECTIVE II 05/05	30,284.24	12.62	90.0	11.36	0.00	11.36
02/28/2017	STOCKING, RODNEY	JNL PERSPECTIVE II 05/05	281,718.67	117.38	90.0	105.64	0.00	105.64
02/28/2017	MOORE, DONALD	JNL PERSPECTIVE II 05/05	12,663.74	5.28	90.0	4.75	0.00	4.75
02/28/2017	PALEN, LINDA	JNL PERSPECTIVE II 05/05	20,173.43	8.41	90.0	7.57	0.00	7.57
02/28/2017	HERBLET, SCOTT	JNL PERSPECTIVE II 05/05	32,360.10	13.48	90.0	12.13	0.00	12.13
02/28/2017	DEVEREAUX, DARLENE	JNL PERSPECTIVE II 05/05	46,983.92	19.58	90.0	17.62	0.00	17.62
02/28/2017	MEDINA, CESAR	JNL PERSPECTIVE II 05/05	43,452.10	18.11	90.0	16.30	0.00	16.30
02/28/2017	BESKO, DIRK	JNL PERSPECTIVE II 05/05	10,280.86	4.28	90.0	3.85	0.00	3.85
02/28/2017	BESKO, DIRK	JNL PERSPECTIVE II 05/05	113,481.49	47.28	90.0	42.55	0.00	42.55
02/28/2017	LATZ, SONYA	JNL PERSPECTIVE II 05/05	5,183.54	2.16	90.0	1.94	0.00	1.94
02/28/2017	HARROW, WENDY	JNL PERSPECTIVE II 05/05	3,854.31	1.61	90.0	1.45	0.00	1.45
02/28/2017	BISHOP, DAVID	JNL PERSPECTIVE II 05/05	54,955.93	22.90	90.0	20.61	0.00	20.61
02/28/2017	O'BERRY, MICHAEL	JNL PERSPECTIVE II 05/05	210,793.06	87.83	90.0	79.05	0.00	79.05
02/28/2017	WINKLER, JOHN	JNL PERSPECTIVE II 05/05	449,679.77	187.37	90.0	168.63	0.00	168.63
02/28/2017	HOOKER, LINDA	JNL PERSPECTIVE II 05/05	140,798.25	58.67	90.0	52.80	0.00	52.80
02/28/2017	BISHOP, DAVID	JNL PERSPECTIVE II 05/05	22,057.21	9.19	90.0	8.27	0.00	8.27
02/28/2017	DARLING, GARY	JNL PERSPECTIVE II 05/05	137,066.96	57.11	90.0	51.40	0.00	51.40
02/28/2017	GALECKA, CYRIL	JNL PERSPECTIVE II 05/05	137,422.16	57.26	90.0	51.53	0.00	51.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	BURNS, KAREN	JNL PERSPECTIVE II 05/05	143,321.39	59.72	90.0	53.75	0.00	53.75
02/28/2017	COOK, DONALD	JNL PERSPECTIVE II 05/05	4,142.75	1.73	90.0	1.56	0.00	1.56
02/28/2017	GREEN, STEVE	JNL PERSPECTIVE II 05/05	67,518.97	28.13	90.0	25.32	0.00	25.32
02/28/2017	HECKMAN, RUSSELL	JNL PERSPECTIVE II 05/05	102,392.93	42.66	90.0	38.39	0.00	38.39
02/28/2017	HECKMAN, VICKI	JNL PERSPECTIVE II 05/05	5,783.74	2.41	90.0	2.17	0.00	2.17
02/28/2017	HECKMAN, VICKI	JNL PERSPECTIVE II 05/05	238,884.77	99.54	90.0	89.59	0.00	89.59
02/28/2017	VINCENT, DAVID	JNL PERSPECTIVE II 05/05	4,001.34	1.67	90.0	1.50	0.00	1.50
02/28/2017	VINCENT, JAMIE	JNL PERSPECTIVE II 05/05	19,868.47	8.28	90.0	7.45	0.00	7.45
02/28/2017	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	16,682.57	6.95	90.0	6.26	0.00	6.26
02/28/2017	KANTHE, LINDA	JNL PERSPECTIVE II 05/05	65,189.38	27.16	90.0	24.44	0.00	24.44
02/28/2017	COOK, JANET	JNL PERSPECTIVE II 05/05	59,336.49	24.72	90.0	22.25	0.00	22.25
02/28/2017	COOK, DONALD	JNL PERSPECTIVE II 05/05	141,483.13	58.95	90.0	53.06	0.00	53.06
02/28/2017	ADE, MARIE	JNL PERSPECTIVE II 05/05	51,754.94	21.56	90.0	19.40	0.00	19.40
02/28/2017	COOK, DONALD	JNL PERSPECTIVE II 05/05	102,765.59	42.82	90.0	38.54	0.00	38.54
02/28/2017	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	14,706.27	6.13	90.0	5.52	0.00	5.52
02/28/2017	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	15,034.39	6.26	90.0	5.63	0.00	5.63
02/28/2017	KING, MAXINE	JNL PERSPECTIVE II 05/05	77,235.92	32.18	90.0	28.96	0.00	28.96
02/28/2017	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	59,339.63	24.72	90.0	22.25	0.00	22.25
02/28/2017	MCCABE, DAVID	JNL PERSPECTIVE II 05/05	94,334.38	39.31	90.0	35.38	0.00	35.38
02/28/2017	SMITH, DOUGLAS	JNL PERSPECTIVE II 05/05	18,254.88	7.61	90.0	6.85	0.00	6.85
02/28/2017	BUSH, VICTORIA	JNL PERSPECTIVE II 05/05	21,979.05	9.16	90.0	8.24	0.00	8.24
02/28/2017	COSCARELLI, ARTHUR	JNL PERSPECTIVE II 05/05	13,779.39	5.74	90.0	5.17	0.00	5.17
02/28/2017	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	140,857.90	58.69	90.0	52.82	0.00	52.82
02/28/2017	FEDEWA, NEIL	JNL PERSPECTIVE II 05/05	11,479.46	4.78	90.0	4.30	0.00	4.30
02/28/2017	PALEN, LINDA	JNL PERSPECTIVE II 05/05	156,010.09	65.00	90.0	58.50	0.00	58.50
02/28/2017	CONKLIN, SANDRA	JNL PERSPECTIVE II 05/05	13,534.20	5.64	90.0	5.08	0.00	5.08
02/28/2017	CONKLIN, DOUG	JNL PERSPECTIVE II 05/05	65,606.43	27.34	90.0	24.61	0.00	24.61
02/28/2017	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	6,986.69	2.91	90.0	2.62	0.00	2.62
02/28/2017	ATKINS, DEB	JNL PERSPECTIVE II 05/05	7,813.82	3.26	90.0	2.93	0.00	2.93
02/28/2017	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	100,532.45	41.89	90.0	37.70	0.00	37.70
02/28/2017	KURN CZ, KIMBERLY	JNL PERSPECTIVE II 05/05	822.18	0.34	90.0	0.31	0.00	0.31
02/28/2017	MASSA, RODGER	JNL PERSPECTIVE II 05/05	88,534.26	36.89	90.0	33.20	0.00	33.20
02/28/2017	WEISMILLER, SANDRA	JNL PERSPECTIVE II 05/05	971,897.41	404.96	90.0	364.46	0.00	364.46

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	BYWATER, SHEILA	JNL PERSPECTIVE II 05/05	255,636.98	106.52	90.0	95.87	0.00	95.87
02/28/2017	PLOWMAN, DONNA	JNL PERSPECTIVE II 05/05	40,468.30	16.86	90.0	15.17	0.00	15.17
02/28/2017	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	69,855.68	29.11	90.0	26.20	0.00	26.20
02/28/2017	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	64,465.72	26.86	90.0	24.17	0.00	24.17
02/28/2017	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	62,648.38	26.10	90.0	23.49	0.00	23.49
02/28/2017	RADEMACHER, SUZANNE	JNL PERSPECTIVE II 05/05	54,568.29	22.74	90.0	20.47	0.00	20.47
02/28/2017	RADEMACHER, RICKEY	JNL PERSPECTIVE II 05/05	54,568.29	22.74	90.0	20.47	0.00	20.47
02/28/2017	DARLING, KIMBERLY	JNL PERSPECTIVE II 05/05	34,868.04	14.53	90.0	13.08	0.00	13.08
02/28/2017	EVANS, ROGER	JNL PERSPECTIVE II 05/05	42,425.83	17.68	90.0	15.91	0.00	15.91
02/28/2017	BARKS, ERRON	JNL PERSPECTIVE II 05/05	32,003.32	13.33	90.0	12.00	0.00	12.00
02/28/2017	BARKS, MARIE	JNL PERSPECTIVE II 05/05	41,157.05	17.15	90.0	15.44	0.00	15.44
02/28/2017	BARKS, ERRON	JNL PERSPECTIVE II 05/05	45,971.21	19.15	90.0	17.24	0.00	17.24
02/28/2017	BARKS, ERRON	JNL PERSPECTIVE II 05/05	311,380.39	129.74	90.0	116.77	0.00	116.77
02/28/2017	BARKS, MARIE	JNL PERSPECTIVE II 05/05	45,971.21	19.15	90.0	17.24	0.00	17.24
02/28/2017	FINCH, ARLENE	JNL PERSPECTIVE II 05/05	18,342.80	7.64	90.0	6.88	0.00	6.88
02/28/2017	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	70,768.59	29.49	90.0	26.54	0.00	26.54
02/28/2017	ALLEN, DONNA	JNL PERSPECTIVE II 05/05	8,269.05	3.45	90.0	3.11	0.00	3.11
02/28/2017	O'BERRY, CHARLES	JNL PERSPECTIVE II 05/05	106,193.02	44.25	90.0	39.83	0.00	39.83
02/28/2017	RAMIREZ, JOAN	JNL PERSPECTIVE II 05/05	57,439.84	23.93	90.0	21.54	0.00	21.54
02/28/2017	SWENSON, AMY	JNL PERSPECTIVE II 05/05	11,274.20	4.70	90.0	4.23	0.00	4.23
02/28/2017	SWENSON, ERIC	JNL PERSPECTIVE II 05/05	11,734.74	4.89	90.0	4.40	0.00	4.40
02/28/2017	SWENSON, ERIC	JNL PERSPECTIVE II 05/05	5,836.40	2.43	90.0	2.19	0.00	2.19
02/28/2017	FOLTZ, TIMOTHY	JNL PERSPECTIVE II 05/05	6,741.52	2.81	90.0	2.53	0.00	2.53
02/28/2017	MOORE, MARC	JNL PERSPECTIVE II 05/05	439.72	0.18	90.0	0.16	0.00	0.16
02/28/2017	BEALS, TIMOTHY	JNL PERSPECTIVE II 05/05	32,342.60	13.48	90.0	12.13	0.00	12.13
02/28/2017	O'BRIEN, MICHAEL	JNL PERSPECTIVE II 05/05	16,916.70	7.05	90.0	6.35	0.00	6.35
02/28/2017	LEN, JURLINE	JNL PERSPECTIVE II 05/05	116,135.67	48.39	90.0	43.55	0.00	43.55
02/28/2017	LEN, JURLINE	JNL PERSPECTIVE II 05/05	175,317.23	73.05	90.0	65.75	0.00	65.75
02/28/2017	HAZLE, JOHN	JNL PERSPECTIVE II 05/05	11,156.80	4.65	90.0	4.19	0.00	4.19
02/28/2017	COZIK, KEVIN	JNL PERSPECTIVE II 05/05	55,672.72	23.20	90.0	20.88	0.00	20.88
02/28/2017	HARMON, RICHARD	JNL PERSPECTIVE II 05/05	27,724.25	11.55	90.0	10.40	0.00	10.40
02/28/2017	KUS, DONNA	JNL PERSPECTIVE II 05/05	108,428.68	45.18	90.0	40.66	0.00	40.66
02/28/2017	GOOSEN, JEROME	JNL PERSPECTIVE II 05/05	555,812.88	231.59	90.0	208.43	0.00	208.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	DARLING, TARIN	JNL PERSPECTIVE II 05/05	5,296.28	2.21	90.0	1.99	0.00	1.99
02/28/2017	MILLER, PHILLIP	JNL PERSPECTIVE II 05/05	1,130.89	0.47	90.0	0.42	0.00	0.42
02/28/2017	MILLER, VIVIAN	JNL PERSPECTIVE II 05/05	5,739.97	2.39	90.0	2.15	0.00	2.15
02/28/2017	UNTERBRINK, PAUL	JNL PERSPECTIVE II 05/05	116,963.32	48.73	90.0	43.86	0.00	43.86
02/28/2017	BLAIN, VICKIE	JNL PERSPECTIVE II 05/05	80,804.88	33.67	90.0	30.30	0.00	30.30
02/28/2017	BLAIN, VICKIE	JNL PERSPECTIVE II 05/05	75,754.51	31.56	90.0	28.40	0.00	28.40
02/28/2017	SIEFFERT, JUDITH	JNL PERSPECTIVE II 05/05	520,597.58	216.92	90.0	195.23	0.00	195.23
02/28/2017	PAKSI, JOYCE	JNL PERSPECTIVE II 05/05	14,791.94	6.16	90.0	5.54	0.00	5.54
02/28/2017	PAKSI, JOYCE	JNL PERSPECTIVE II 05/05	102,046.32	42.52	90.0	38.27	0.00	38.27
02/28/2017	PAKSI, ROBERT	JNL PERSPECTIVE II 05/05	15,231.95	6.35	90.0	5.72	0.00	5.72
02/28/2017	PAKSI, ROBERT	JNL PERSPECTIVE II 05/05	42,396.54	17.67	90.0	15.90	0.00	15.90
02/28/2017	STUTZMAN, KAREN	JNL PERSPECTIVE II 05/05	38,622.42	16.09	90.0	14.48	0.00	14.48
02/28/2017	SWANCHARA, KIM	JNL PERSPECTIVE II 05/05	37,852.72	15.77	90.0	14.19	0.00	14.19
02/28/2017	SWANCHARA, BEN	JNL PERSPECTIVE II 05/05	37,852.72	15.77	90.0	14.19	0.00	14.19
02/28/2017	BATORA, DELORES	JNL PERSPECTIVE II 05/05	172,505.65	71.88	90.0	64.69	0.00	64.69
02/28/2017	DUNSMORE, CAROL	JNL PERSPECTIVE II 05/05	123,807.51	51.59	90.0	46.43	0.00	46.43
02/28/2017	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	268,803.43	112.00	90.0	100.80	0.00	100.80
02/28/2017	STEHLIK, FRANCES	JNL PERSPECTIVE II 05/05	107,405.76	44.75	90.0	40.28	0.00	40.28
02/28/2017	STEHLIK, FRANCES	JNL PERSPECTIVE II 05/05	35,655.35	14.86	90.0	13.37	0.00	13.37
02/28/2017	WHALEY JR, RICHARD	JNL PERSPECTIVE II 05/05	44,641.34	18.60	90.0	16.74	0.00	16.74
02/28/2017	GOOSEN, JEROME	JNL PERSPECTIVE II 05/05	13,900.96	5.79	90.0	5.21	0.00	5.21
02/28/2017	BAILEY, THOMAS	JNL PERSPECTIVE II 05/05	14,167.89	5.90	90.0	5.31	0.00	5.31
02/28/2017	BAILEY, THOMAS	JNL PERSPECTIVE II 05/05	112,493.39	46.87	90.0	42.18	0.00	42.18
02/28/2017	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	93,419.11	38.92	90.0	35.03	0.00	35.03
02/28/2017	BAILEY, SUSAN	JNL PERSPECTIVE II 05/05	28,388.01	11.83	90.0	10.65	0.00	10.65
02/28/2017	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	41,774.72	17.41	90.0	15.67	0.00	15.67
02/28/2017	WOODMAN, ROBERT	JNL PERSPECTIVE II 05/05	85,938.70	35.81	90.0	32.23	0.00	32.23
02/28/2017	COCKRUM, MARK	JNL PERSPECTIVE II 05/05	69,766.16	26.16	90.0	23.54	0.00	23.54
02/28/2017	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	120,704.88	50.29	90.0	45.26	0.00	45.26
02/28/2017	FOX, JAMES	JNL PERSPECTIVE II 05/05	158,043.61	65.85	90.0	59.27	0.00	59.27
02/28/2017	PERRY, GREGORY	JNL PERSPECTIVE II 05/05	40,921.06	17.05	90.0	15.35	0.00	15.35
02/28/2017	HOUSE, MATTHEW	JNL PERSPECTIVE II 05/05	12,372.45	5.16	90.0	4.64	0.00	4.64
02/28/2017	SMALLDON, JANET	JNL PERSPECTIVE II 05/05	52,108.84	21.71	90.0	19.54	0.00	19.54

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	JOHNSON, DOLLY	JNL PERSPECTIVE II 05/05	10,217.16	4.26	90.0	3.83	0.00	3.83
02/28/2017	FABER, KATHRYN	JNL PERSPECTIVE II 05/05	36,961.96	15.40	90.0	13.86	0.00	13.86
02/28/2017	BUKOVCIK, RONALD	JNL PERSPECTIVE II 05/05	475,963.98	198.32	90.0	178.49	0.00	178.49
02/28/2017	KING, MAXINE	JNL PERSPECTIVE II 05/05	168,325.57	70.14	90.0	63.13	0.00	63.13
02/28/2017	BARTON, EVA	JNL PERSPECTIVE II 05/05	19,437.10	8.10	90.0	7.29	0.00	7.29
02/28/2017	TALBOT, DOUG	JNL PERSPECTIVE II 05/05	12,887.35	5.37	90.0	4.83	0.00	4.83
02/28/2017	TALBOT, DOUG	JNL PERSPECTIVE II 05/05	36,067.64	15.03	90.0	13.53	0.00	13.53
02/28/2017	THELEN, NEIL	JNL PERSPECTIVE II 05/05	197,574.65	82.32	90.0	74.09	0.00	74.09
02/28/2017	CONRAD, DOUGLAS	JNL PERSPECTIVE II 05/05	33,867.84	14.11	90.0	12.70	0.00	12.70
02/28/2017	MARTIN, SCOTT	JNL PERSPECTIVE II 05/05	63,770.59	26.57	90.0	23.91	0.00	23.91
02/28/2017	ROOF, ANNA	JNL PERSPECTIVE II 05/05	135,038.12	56.27	90.0	50.64	0.00	50.64
02/28/2017	ROBERTS, MONICA	JNL PERSPECTIVE II 05/05	6,753.93	2.81	90.0	2.53	0.00	2.53
02/28/2017	DOYLE, DENNIS	JNL PERSPECTIVE II 05/05	48,353.32	20.15	90.0	18.14	0.00	18.14
02/28/2017	SCHRAUBEN, HOLLY	JNL PERSPECTIVE II 05/05	4,158.90	1.73	90.0	1.56	0.00	1.56
02/28/2017	BURNS, KAREN	JNL PERSPECTIVE II 05/05	27,974.21	11.66	90.0	10.49	0.00	10.49
02/28/2017	PEASE, STEVEN	JNL PERSPECTIVE II 05/05	57,241.07	23.85	90.0	21.47	0.00	21.47
02/28/2017	THORNBURG, JANET	JNL PERSPECTIVE II 05/05	79,968.52	33.32	90.0	29.99	0.00	29.99
02/28/2017	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	48,030.36	20.01	90.0	18.01	0.00	18.01
02/28/2017	SCHULTZ, GARY	JNL PERSPECTIVE II 05/05	6,080.88	2.53	90.0	2.28	0.00	2.28
02/28/2017	RITZ, VICKI	JNL PERSPECTIVE II 05/05	22,264.63	9.28	90.0	8.35	0.00	8.35
02/28/2017	KIEFFER, DUSTIN	JNL PERSPECTIVE II 05/05	13,488.13	5.62	90.0	5.06	0.00	5.06
02/28/2017	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	40,691.70	16.95	90.0	15.26	0.00	15.26
02/28/2017	THORNBURG, RICHARD	JNL PERSPECTIVE II 05/05	291,029.68	121.26	90.0	109.13	0.00	109.13
02/28/2017	MEADOWS, SANDRA	JNL PERSPECTIVE II 05/05	120,420.61	50.18	90.0	45.16	0.00	45.16
02/28/2017	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	7,436.97	3.10	90.0	2.79	0.00	2.79
02/28/2017	DANIEL, JOHN	JNL PERSPECTIVE II 05/05	62,717.05	26.13	90.0	23.52	0.00	23.52
02/28/2017	WROBEL, GARY	JNL PERSPECTIVE II 05/05	372,524.18	155.22	90.0	139.70	0.00	139.70
02/28/2017	ZUSSMAN, KELLI	JNL PERSPECTIVE II 05/05	421,861.91	175.78	90.0	158.20	0.00	158.20
02/28/2017	EVANS, ROGER	JNL PERSPECTIVE II 05/05	9,272.14	3.86	90.0	3.47	0.00	3.47
02/28/2017	EVANS, ROGER	JNL PERSPECTIVE II 05/05	46,069.40	19.20	90.0	17.28	0.00	17.28
02/28/2017	LEIBY, PAMELA	JNL PERSPECTIVE II 05/05	93,162.87	38.82	90.0	34.94	0.00	34.94
02/28/2017	LEIBY, PAMELA	JNL PERSPECTIVE II 05/05	28,477.49	11.87	90.0	10.68	0.00	10.68
02/28/2017	SCHMITT, WILLIAM	JNL PERSPECTIVE II 05/05	30,114.12	12.55	90.0	11.30	0.00	11.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	SCHMITT, KELLEY	JNL PERSPECTIVE II 05/05	15,366.14	6.40	90.0	5.76	0.00	5.76
02/28/2017	EKEL, MARY	JNL PERSPECTIVE II 05/05	9,229.04	3.85	90.0	3.47	0.00	3.47
02/28/2017	SHETTLER, CARL	JNL PERSPECTIVE II 05/05	2,712.60	1.13	90.0	1.02	0.00	1.02
02/28/2017	NUTTER, JACK	JNL PERSPECTIVE II 05/05	169,429.98	70.60	90.0	63.54	0.00	63.54
02/28/2017	HUHN, JUDY	JNL PERSPECTIVE II 05/05	117,566.02	48.99	90.0	44.09	0.00	44.09
02/28/2017	DOUBRAVA, STACY	JNL PERSPECTIVE II 05/05	237,129.95	98.80	90.0	88.92	0.00	88.92
02/28/2017	CAIRNS, SCOTT	JNL PERSPECTIVE II 05/05	159,722.25	66.55	90.0	59.90	0.00	59.90
02/28/2017	RADMACHER, ROGER	JNL PERSPECTIVE II 05/05	231,366.81	96.40	90.0	86.76	0.00	86.76
02/28/2017	MASSA, RODGER	JNL PERSPECTIVE II 05/05	3,349.64	1.40	90.0	1.26	0.00	1.26
02/28/2017	PAKSI, JAMES	JNL PERSPECTIVE II 05/05	213,254.92	88.86	90.0	79.97	0.00	79.97
02/28/2017	MILLER, VIVIAN	JNL PERSPECTIVE II 05/05	60,500.65	25.21	90.0	22.69	0.00	22.69
02/28/2017	STANLEY, REGINA	JNL PERSPECTIVE II 05/05	91,904.60	38.29	90.0	34.46	0.00	34.46
02/28/2017	NORDER, MARSHA	JNL PERSPECTIVE II 05/05	29,360.18	12.23	90.0	11.01	0.00	11.01
02/28/2017	COPESE, TAMERA	JNL PERSPECTIVE II 05/05	48,861.47	20.36	90.0	18.32	0.00	18.32
02/28/2017	WINTERS, JODI	JNL PERSPECTIVE II 05/05	17,556.90	7.32	90.0	6.59	0.00	6.59
02/28/2017	ASHBAUGH, ROBERT	JNL PERSPECTIVE II 05/05	14,791.62	6.16	90.0	5.54	0.00	5.54
02/28/2017	BURKETT SR, RICHARD	JNL PERSPECTIVE II 05/05	3,225.77	1.34	90.0	1.21	0.00	1.21
02/28/2017	HAZLE, JAYDEN	JNL PERSPECTIVE II 05/05	7,092.27	2.96	90.0	2.66	0.00	2.66
02/28/2017	STOLL, MARY	JNL PERSPECTIVE II 05/05	3,642.40	1.52	90.0	1.37	0.00	1.37
02/28/2017	ARTHUR, LOYAL	JNL PERSPECTIVE II 05/05	220,605.60	91.92	90.0	82.73	0.00	82.73
02/28/2017	FOX, JAMES	JNL PERSPECTIVE II 05/05	30,547.17	12.73	90.0	11.46	0.00	11.46
02/28/2017	SMALEC, CARLA	JNL PERSPECTIVE II 05/05	110,878.54	46.20	90.0	41.58	0.00	41.58
02/28/2017	HEATHMAN, DAWN	JNL PERSPECTIVE II 05/05	76,437.59	31.85	90.0	28.67	0.00	28.67
02/28/2017	RAMIREZ, NICOLAS	JNL PERSPECTIVE II 05/05	264,559.72	110.23	90.0	99.21	0.00	99.21
02/28/2017	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	70,750.75	29.48	90.0	26.53	0.00	26.53
02/28/2017	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	39,807.50	16.59	90.0	14.93	0.00	14.93
02/28/2017	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	143,402.70	59.75	90.0	53.78	0.00	53.78
02/28/2017	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	3,548.89	1.48	90.0	1.33	0.00	1.33
02/28/2017	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	44,098.65	18.37	90.0	16.53	0.00	16.53
02/28/2017	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	3,525.36	1.47	90.0	1.32	0.00	1.32
02/28/2017	LENON, DAWN	JNL PERSPECTIVE II 05/05	228,978.43	95.41	90.0	85.87	0.00	85.87
02/28/2017	DEVEREAUX, ANDREW	JNL PERSPECTIVE II 05/05	20,635.02	8.60	90.0	7.74	0.00	7.74
02/28/2017	MCCREERY, RODNEY	JNL PERSPECTIVE II 05/05	22,622.32	9.43	90.0	8.49	0.00	8.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	DEVEREAUX, DARLENE	JNL PERSPECTIVE II 05/05	3,179.28	1.32	90.0	1.19	0.00	1.19
02/28/2017	CALVERLEY, ROBERT	JNL PERSPECTIVE II 05/05	128,298.14	53.46	90.0	48.11	0.00	48.11
02/28/2017	WINKLER, DAVID	JNL PERSPECTIVE II 05/05	105,443.78	43.93	90.0	39.54	0.00	39.54
02/28/2017	BATES, JAMES	JNL PERSPECTIVE II 05/05	202,452.33	84.36	90.0	75.92	0.00	75.92
02/28/2017	BOETTCHER, DAWN	JNL PERSPECTIVE II 05/05	20,089.50	8.37	90.0	7.53	0.00	7.53
02/28/2017	RITTER, DEVONA	JNL PERSPECTIVE II 05/05	22,704.63	9.46	90.0	8.51	0.00	8.51
03/01/2017	COSCARELLI, ARTHUR	JNL PERSPECTIVE II 05/05	50.00	2.63	90.0	2.37	0.00	2.37
03/01/2017	HAZLE, JAYDEN	JNL PERSPECTIVE II 05/05	100.00	5.25	90.0	4.73	0.00	4.73
03/06/2017	SCHNEIDER, DAWN	JNL PERSPECTIVE II 05/05	100.00	5.25	90.0	4.73	0.00	4.73
02/28/2017	MARTENS, KEVIN	JNL PERSPECTIVE II 10/04	68,000.65	28.33	90.0	25.50	0.00	25.50
* VARIABLE ANNUITIES SUBTOTAL *			\$22,493,212.76	\$11,976.26		\$10,778.78	\$0.00	\$10,778.78
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
02/16/2017	RECK, CHARLES	LINCOLN LIFE	80,681.77	11,168.66	90.0	10,051.79	0.00	10,051.79
* LIFE INSURANCE SUBTOTAL *			\$80,681.77	\$11,168.66		\$10,051.79	\$0.00	\$10,051.79
*** BROKER TRANSACTIONS TOTAL ***			\$22,576,655.84	\$25,829.45		\$23,247.01	\$0.00	\$23,247.01
<u>ADJUSTMENTS</u>								
03/15/2017		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
03/15/2017		*TECH: CURRENT MONTH TECH-GAVIN	0.00					-100.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-675.00	\$0.00	\$-675.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$23,247.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$22,572.01
Year-to-date Gross	\$64,495.57
Year-to-date Earnings	\$89,698.82