

COMMISSION STATEMENT

June 15, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	60.00	2.40	0.00	2.40	85.0	2.04
05/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	63.00	2.52	0.00	2.52	85.0	2.14
05/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	63.00	2.52	0.00	2.52	85.0	2.14
05/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	84.00	3.36	0.00	3.36	85.0	2.86
05/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	30.00	0.60	0.00	0.60	85.0	0.51
06/05/2018 00064992220	VELA, PATRICIA	MF THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
06/01/2018 00067562789	PEREZ, GRACIELA	MF AMERICAN BALANCED FUND-A	B	141.75	5.32	0.00	5.32	85.0	4.52
06/01/2018 00068501235	GUTIERREZ, OTTO	MF THE BOND FUND OF AMERICA-A	B	191.45	5.26	0.00	5.26	85.0	4.47
06/08/2018 00073352550	SALINAS, MARICELA	MF THE INCOME FUND OF AMERICA-A	B	199.28	8.47	0.00	8.47	85.0	7.20
06/01/2018 00085713380	BENAVIDES, JOHN	MF CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
06/01/2018 00085713380	BENAVIDES, JOHN	MF THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
* MUTUAL FUNDS SUBTOTAL *				\$1,167.48	\$43.64	\$0.00	\$43.64		\$37.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/31/2018 009066455A	STEPHENS, JUNE	VA PERSPECTIVE-RPL	B	41,755.29	8.70	0.00	8.70	85.0	7.40
05/31/2018 009066456A	STEPHENS, SHERMAN	VA PERSPECTIVE-RPL	B	31,103.98	6.48	0.00	6.48	85.0	5.51
05/31/2018 1016582030	BRANNIES,	VA PERSP II 04/14	B	326,402.76	136.00	0.00	136.00	85.0	115.60
* VARIABLE ANNUITIES SUBTOTAL *				\$399,262.03	\$151.18	\$0.00	\$151.18		\$128.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018 00050332808	ROSS, FRANCES	MFT AMERICAN BALANCED FUND-A	B	0.00	33.82	0.00	33.82	85.0	28.75
06/01/2018 00050332808	ROSS, FRANCES	MFT THE INCOME FUND OF AMERICA-A	B	0.00	22.76	0.00	22.76	85.0	19.35
06/01/2018 00050332808	ROSS, FRANCES	MFT THE INVESTMENT COMPANY OF	B	0.00	267.53	0.00	267.53	85.0	227.40
06/01/2018 00050332808	ROSS, FRANCES	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	38.56	0.00	38.56	85.0	32.78
06/01/2018 00064241136	GARZA, NELDA	MFT SMALLCAP WORLD FUND-A	B	0.00	1.61	0.00	1.61	85.0	1.37
06/01/2018 00064241136	GARZA, NELDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.11	0.00	3.11	85.0	2.64
06/01/2018 00064241136	GARZA, NELDA	MFT THE INVESTMENT COMPANY OF	B	0.00	2.93	0.00	2.93	85.0	2.49
06/01/2018 00064241136	GARZA, NELDA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	11.23	0.00	11.23	85.0	9.55
06/01/2018 00064303663	HERNANDEZ, ANNA	MFT AMERICAN BALANCED FUND-A	B	0.00	5.50	0.00	5.50	85.0	4.68
06/01/2018 00064303663	HERNANDEZ, ANNA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	6.04	0.00	6.04	85.0	5.13
06/01/2018 00064303663	HERNANDEZ, ANNA	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.87	0.00	1.87	85.0	1.59
06/01/2018 00064563501	MAUGHAN, ROSARIO	MFT AMCAP FUND-A	B	0.00	22.90	0.00	22.90	85.0	19.47

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00064563501	MAUGHAN, ROSARIO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	20.88	0.00	20.88	85.0	17.75
06/01/2018 00064563501	MAUGHAN, ROSARIO	MFT THE INVESTMENT COMPANY OF	B	0.00	10.49	0.00	10.49	85.0	8.92
06/01/2018 00064722655	BENAVIDES, M	MFT AMERICAN BALANCED FUND-A	B	0.00	4.52	0.00	4.52	85.0	3.84
06/01/2018 00064722655	BENAVIDES, M	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.88	0.00	5.88	85.0	5.00
06/01/2018 00064884007	BURRELL, MARIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.84	0.00	3.84	85.0	3.26
06/01/2018 00064884007	BURRELL, MARIA	MFT THE NEW ECONOMY FUND-A	B	0.00	3.81	0.00	3.81	85.0	3.24
06/01/2018 00064884007	BURRELL, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	78.27	0.00	78.27	85.0	66.53
06/01/2018 00064884008	XIMENEZ, BETTY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.95	0.00	2.95	85.0	2.51
06/01/2018 00064884008	XIMENEZ, BETTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.54	0.00	2.54	85.0	2.16
06/01/2018 00064884008	XIMENEZ, BETTY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.86	0.00	1.86	85.0	1.58
06/01/2018 00064884008	XIMENEZ, BETTY	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.18	0.00	1.18	85.0	1.00
06/01/2018 00064884008	XIMENEZ, BETTY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.55	0.00	2.55	85.0	2.17
06/01/2018 00064884008	XIMENEZ, BETTY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.50	0.00	1.50	85.0	1.28
06/01/2018 00064884008	XIMENEZ, BETTY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.34	0.00	2.34	85.0	1.99
06/01/2018 00064930229	PEREZ, GRACIELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.65	0.00	2.65	85.0	2.25
06/01/2018 00064930229	PEREZ, GRACIELA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.22	0.00	7.22	85.0	6.14
06/01/2018 00064939725	GONZALEZ, EDULFO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2018 00064992220	VELA, PATRICIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.48	0.00	2.48	85.0	2.11
06/01/2018 00064992220	VELA, PATRICIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.97	0.00	3.97	85.0	3.37
06/01/2018 00064992220	VELA, PATRICIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.51	0.00	7.51	85.0	6.38
06/01/2018 00066536308	VILLA, PETER	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	5.09	0.00	5.09	85.0	4.33
06/01/2018 00066536308	VILLA, PETER	MFT THE INVESTMENT COMPANY OF	B	0.00	8.73	0.00	8.73	85.0	7.42
06/01/2018 00066584889	BENAVIDES, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.73	0.00	0.73	85.0	0.62
06/01/2018 00066584889	BENAVIDES, JOHN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.95	0.00	2.95	85.0	2.51
06/01/2018 00066584889	BENAVIDES, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.89	0.00	9.89	85.0	8.41
06/01/2018 00066584889	BENAVIDES, JOHN	MFT THE INVESTMENT COMPANY OF	B	0.00	4.91	0.00	4.91	85.0	4.17
06/01/2018 00066840040	HENDERSON, HAROLD	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	4.39	0.00	4.39	85.0	3.73
06/01/2018 00066840040	HENDERSON, HAROLD	MFT THE INVESTMENT COMPANY OF	B	0.00	6.21	0.00	6.21	85.0	5.28
06/01/2018 00067150884	SHANNON WOLFE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.59	0.00	2.59	85.0	2.20
06/01/2018 00067311891	SALINAS, RUFINO	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	26.83	0.00	26.83	85.0	22.81
06/01/2018 00067311891	SALINAS, RUFINO	MFT AMERICAN MUTUAL FUND-A	B	0.00	14.96	0.00	14.96	85.0	12.72
06/01/2018 00067311891	SALINAS, RUFINO	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.10	0.00	11.10	85.0	9.44
06/01/2018 00067311891	SALINAS, RUFINO	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	16.82	0.00	16.82	85.0	14.30
06/01/2018 00067311891	SALINAS, RUFINO	MFT THE BOND FUND OF AMERICA-A	B	0.00	21.63	0.00	21.63	85.0	18.39
06/01/2018 00067311891	SALINAS, RUFINO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.34	0.00	11.34	85.0	9.64
06/01/2018 00067311891	SALINAS, RUFINO	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.68	0.00	5.68	85.0	4.83
06/01/2018 00067311892	SALINAS, MARY	MFT AMERICAN BALANCED FUND-A	B	0.00	21.47	0.00	21.47	85.0	18.25
06/01/2018 00067311892	SALINAS, MARY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.35	0.00	1.35	85.0	1.15
06/01/2018 00067311892	SALINAS, MARY	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	10.12	0.00	10.12	85.0	8.60
06/01/2018 00067311892	SALINAS, MARY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	19.38	0.00	19.38	85.0	16.47
06/01/2018 00067311892	SALINAS, MARY	MFT LIMITED TERM TAX- EXEMPT BOND	B	0.00	6.00	0.00	6.00	85.0	5.10
06/01/2018 00067311892	SALINAS, MARY	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	11.63	0.00	11.63	85.0	9.89
06/01/2018 00067311892	SALINAS, MARY	MFT SMALLCAP WORLD FUND-A	B	0.00	13.52	0.00	13.52	85.0	11.49
06/01/2018 00067311892	SALINAS, MARY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	22.00	0.00	22.00	85.0	18.70

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06/01/2018 00067311892	SALINAS, MARY	MFT THE INVESTMENT COMPANY OF	B	0.00	14.57	0.00	14.57	85.0	12.38
06/01/2018 00067311892	SALINAS, MARY	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	7.28	0.00	7.28	85.0	6.19
06/01/2018 00067311892	SALINAS, MARY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.75	0.00	21.75	85.0	18.49
06/01/2018 00067311893	SALINAS, MARICELA	MFT AMERICAN BALANCED FUND-A	B	0.00	8.77	0.00	8.77	85.0	7.45
06/01/2018 00067311893	SALINAS, MARICELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	9.62	0.00	9.62	85.0	8.18
06/01/2018 00067311893	SALINAS, MARICELA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	19.25	0.00	19.25	85.0	16.36
06/01/2018 00067311893	SALINAS, MARICELA	MFT THE NEW ECONOMY FUND-A	B	0.00	11.34	0.00	11.34	85.0	9.64
06/01/2018 00067311893	SALINAS, MARICELA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	13.47	0.00	13.47	85.0	11.45
06/01/2018 00067311894	SALINAS, MONICA	MFT AMERICAN BALANCED FUND-A	B	0.00	6.10	0.00	6.10	85.0	5.19
06/01/2018 00067311894	SALINAS, MONICA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	5.05	0.00	5.05	85.0	4.29
06/01/2018 00067311894	SALINAS, MONICA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.67	0.00	8.67	85.0	7.37
06/01/2018 00067311894	SALINAS, MONICA	MFT THE NEW ECONOMY FUND-A	B	0.00	7.28	0.00	7.28	85.0	6.19
06/01/2018 00067311894	SALINAS, MONICA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.97	0.00	8.97	85.0	7.62
06/01/2018 00067355718	JONES, WILLIAM	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	8.29	0.00	8.29	85.0	7.05
06/01/2018 00067355718	JONES, WILLIAM	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	6.21	0.00	6.21	85.0	5.28
06/01/2018 00067355718	JONES, WILLIAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.96	0.00	6.96	85.0	5.92
06/01/2018 00067562789	PEREZ, GRACIELA	MFT AMERICAN BALANCED FUND-A	B	0.00	12.02	0.00	12.02	85.0	10.22
06/01/2018 00067562789	PEREZ, GRACIELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	13.96	0.00	13.96	85.0	11.87
06/01/2018 00067562789	PEREZ, GRACIELA	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.05	0.00	5.05	85.0	4.29
06/01/2018 00067715647	MONTOYA/AUTH PER,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.68	0.00	8.68	85.0	7.38
06/01/2018 00067715649	MONTOYA, MELINDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.91	0.00	13.91	85.0	11.82
06/01/2018 00067715649	MONTOYA, MELINDA	MFT THE INVESTMENT COMPANY OF	B	0.00	11.46	0.00	11.46	85.0	9.74
06/01/2018 00068410545	DURAND, LINDA	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.10	0.00	1.10	85.0	0.94
06/01/2018 00068410545	DURAND, LINDA	MFT THE INVESTMENT COMPANY OF	B	0.00	3.50	0.00	3.50	85.0	2.98
06/01/2018 00068501235	GUTIERREZ, OTTO	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.97	0.00	9.97	85.0	8.47
06/01/2018 00068501235	GUTIERREZ, OTTO	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.67	0.00	14.67	85.0	12.47
06/01/2018 00068501235	GUTIERREZ, OTTO	MFT THE BOND FUND OF AMERICA-A	B	0.00	12.66	0.00	12.66	85.0	10.76
06/01/2018 00068501235	GUTIERREZ, OTTO	MFT THE INCOME FUND OF AMERICA-A	B	0.00	11.48	0.00	11.48	85.0	9.76
06/01/2018 00068501237	GUTIERREZ, MARIA	MFT AMERICAN BALANCED FUND-A	B	0.00	21.48	0.00	21.48	85.0	18.26
06/01/2018 00068501237	GUTIERREZ, MARIA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	13.31	0.00	13.31	85.0	11.31
06/01/2018 00068501237	GUTIERREZ, MARIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.15	0.00	10.15	85.0	8.63
06/01/2018 00068501237	GUTIERREZ, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.98	0.00	5.98	85.0	5.08
06/01/2018 00068777733	BRANNIES,	MFT AMERICAN BALANCED FUND-A	B	0.00	57.10	0.00	57.10	85.0	48.54
06/01/2018 00068777733	BRANNIES,	MFT CAPITAL INCOME BUILDER-A	B	0.00	45.81	0.00	45.81	85.0	38.94
06/01/2018 00068777733	BRANNIES,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	29.80	0.00	29.80	85.0	25.33
06/01/2018 00068777733	BRANNIES,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	51.70	0.00	51.70	85.0	43.95
06/01/2018 00068777733	BRANNIES,	MFT THE INVESTMENT COMPANY OF	B	0.00	47.81	0.00	47.81	85.0	40.64
06/01/2018 00068786791	BENAVIDES,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2018 00068786791	BENAVIDES,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2018 00068826159	LAMBERTH, VIVIAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.10	0.00	7.10	85.0	6.04
06/01/2018 00068826159	LAMBERTH, VIVIAN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.76	0.00	4.76	85.0	4.05
06/01/2018 00068826159	LAMBERTH, VIVIAN	MFT NEW WORLD FUND-A	B	0.00	3.86	0.00	3.86	85.0	3.28
06/01/2018 00073314665	SALINAS, MARY	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.51	0.00	7.51	85.0	6.38
06/01/2018 00073314665	SALINAS, MARY	MFT THE INVESTMENT COMPANY OF	B	0.00	11.67	0.00	11.67	85.0	9.92

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06/01/2018 00073352550	SALINAS, MARICELA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.25	0.00	4.25	85.0	3.61
06/01/2018 00073352550	SALINAS, MARICELA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.89	0.00	16.89	85.0	14.36
06/01/2018 00073352550	SALINAS, MARICELA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.78	0.00	6.78	85.0	5.76
06/01/2018 00073352619	SALINAS, MONICA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.29	0.00	3.29	85.0	2.80
06/01/2018 00073352619	SALINAS, MONICA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	19.10	0.00	19.10	85.0	16.24
06/01/2018 00073572061	JONES, WILLIAM	MFT NEW PERSPECTIVE FUND-F1	B	0.00	16.89	0.00	16.89	85.0	14.36
06/01/2018 00073572061	JONES, WILLIAM	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	17.14	0.00	17.14	85.0	14.57
06/01/2018 00073572061	JONES, WILLIAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.31	0.00	14.31	85.0	12.16
06/01/2018 00073897018	MARTIN, HANNAH	MFT AMERICAN BALANCED FUND-529A	B	0.00	15.72	0.00	15.72	85.0	13.36
06/01/2018 00073897018	MARTIN, HANNAH	MFT CAPITAL WORLD GROWTH AND	B	0.00	12.55	0.00	12.55	85.0	10.67
06/01/2018 00073897018	MARTIN, HANNAH	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	7.14	0.00	7.14	85.0	6.07
06/01/2018 00073897018	MARTIN, HANNAH	MFT THE INVESTMENT COMPANY OF	B	0.00	7.57	0.00	7.57	85.0	6.43
06/01/2018 00073897018	MARTIN, HANNAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.77	0.00	7.77	85.0	6.60
06/01/2018 00073903190	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.67	0.00	3.67	85.0	3.12
06/01/2018 00073903397	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.67	0.00	3.67	85.0	3.12
06/01/2018 00073903408	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.67	0.00	3.67	85.0	3.12
06/01/2018 00073950669	BURRELL, MARIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.58	0.00	3.58	85.0	3.04
06/01/2018 00073950669	BURRELL, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.68	0.00	2.68	85.0	2.28
06/01/2018 00075030097	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	63.57	0.00	63.57	85.0	54.03
06/01/2018 00075030149	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	63.57	0.00	63.57	85.0	54.03
06/01/2018 00075478810	BELL, JACK	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.51	0.00	0.51	85.0	0.43
06/01/2018 00075552570	VILLA, KELLIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.29	0.00	3.29	85.0	2.80
06/01/2018 00081668774	SALINAS, RUFINO	MFT CAPITAL INCOME BUILDER-A	B	0.00	42.08	0.00	42.08	85.0	35.77
06/01/2018 00081668774	SALINAS, RUFINO	MFT INTERMEDIATE BOND FUND OF	B	0.00	6.19	0.00	6.19	85.0	5.26
06/01/2018 00081668774	SALINAS, RUFINO	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.65	0.00	6.65	85.0	5.65
06/01/2018 00081668774	SALINAS, RUFINO	MFT THE INVESTMENT COMPANY OF	B	0.00	8.98	0.00	8.98	85.0	7.63
06/01/2018 00081668774	SALINAS, RUFINO	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	7.26	0.00	7.26	85.0	6.17
06/01/2018 00081668774	SALINAS, RUFINO	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.27	0.00	14.27	85.0	12.13
06/01/2018 00081764945	SHANNON WOLFE,	MFT THE INVESTMENT COMPANY OF	B	0.00	1.25	0.00	1.25	85.0	1.06
06/01/2018 00081987974	AMAYA, BARBARA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.52	0.00	0.52	85.0	0.44
06/01/2018 00081987974	AMAYA, BARBARA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.57	0.00	0.57	85.0	0.48
06/01/2018 00082323763	HOWARD, GARY	MFT AMERICAN BALANCED FUND-A	B	0.00	37.60	0.00	37.60	85.0	31.96
06/01/2018 00082323763	HOWARD, GARY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	16.45	0.00	16.45	85.0	13.98
06/01/2018 00082589900	ENCINIA, ALBERT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2018 00083540133	WOLFE, BRENNNA	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	2.70	0.00	2.70	85.0	2.30
06/01/2018 00083686001	STEELE, KELLY	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.59	0.00	9.59	85.0	8.15
06/01/2018 00083686001	STEELE, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.69	0.00	10.69	85.0	9.09
06/01/2018 00083686001	STEELE, KELLY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.04	0.00	14.04	85.0	11.93
06/01/2018 00083686001	STEELE, KELLY	MFT NEW PERSPECTIVE FUND-A	B	0.00	12.97	0.00	12.97	85.0	11.02
06/01/2018 00083686001	STEELE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.05	0.00	15.05	85.0	12.79
06/01/2018 00084143620	ROBERTS, PATRICIA	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	85.24	0.00	85.24	85.0	72.45
06/01/2018 00084143620	ROBERTS, PATRICIA	MFT SMALLCAP WORLD FUND-A	B	0.00	50.68	0.00	50.68	85.0	43.08
06/01/2018 00084143620	ROBERTS, PATRICIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	177.51	0.00	177.51	85.0	150.88
06/01/2018 00084143620	ROBERTS, PATRICIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	109.34	0.00	109.34	85.0	92.94

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00084183589	VILLA, KELLIE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.50	0.00	9.50	85.0	8.08
06/01/2018 00085713380	BENAVIDES, JOHN	MFT CAPITAL WORLD GROWTH AND	B	0.00	10.98	0.00	10.98	85.0	9.33
06/01/2018 00085713380	BENAVIDES, JOHN	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	12.82	0.00	12.82	85.0	10.90
06/01/2018 00085792100	ZERTUCHE, JOANNE	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018 00085792100	ZERTUCHE, JOANNE	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018 00085792100	ZERTUCHE, JOANNE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018 00085792100	ZERTUCHE, JOANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018 00085792225	CARDONA, REBECCA	MFT NEW WORLD FUND-C	B	0.00	32.06	0.00	32.06	85.0	27.25
06/01/2018 00086533271	VILLA, KELLIE	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.37	0.00	1.37	85.0	1.16
06/01/2018 00086533271	VILLA, KELLIE	MFT AMERICAN HIGH-INCOME TRUST-529A	B	0.00	4.27	0.00	4.27	85.0	3.63
06/01/2018 00086533271	VILLA, KELLIE	MFT THE BOND FUND OF AMERICA-529A	B	0.00	1.72	0.00	1.72	85.0	1.46
06/01/2018 00086533271	VILLA, KELLIE	MFT THE INCOME FUND OF AMERICA-529A	B	0.00	7.22	0.00	7.22	85.0	6.14
06/01/2018 00086533271	VILLA, KELLIE	MFT THE NEW ECONOMY FUND-529A	B	0.00	12.66	0.00	12.66	85.0	10.76
06/01/2018 00087617663	COOPER, CHARLOTTE	MFT THE INVESTMENT COMPANY OF	B	0.00	20.16	0.00	20.16	85.0	17.14
06/01/2018 00088298840	PATRICIA ANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	55.81	0.00	55.81	85.0	47.44
06/01/2018 00089690062	COOPER, CHARLOTTE	MFT THE INVESTMENT COMPANY OF	B	0.00	48.62	0.00	48.62	85.0	41.33
06/01/2018 00089714210	ADAMS, HAROLD	MFT AMERICAN BALANCED FUND-A	B	0.00	2.21	0.00	2.21	85.0	1.88
06/01/2018 00089714210	ADAMS, HAROLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.93	0.00	2.93	85.0	2.49
06/01/2018 00089714210	ADAMS, HAROLD	MFT THE INVESTMENT COMPANY OF	B	0.00	2.63	0.00	2.63	85.0	2.24
06/01/2018 00089946843	STILES /BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	14.54	0.00	14.54	85.0	12.36
06/01/2018 00089946843	STILES /BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.06	0.00	19.06	85.0	16.20
06/01/2018 00089946848	STILES/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	14.54	0.00	14.54	85.0	12.36
06/01/2018 00089946848	STILES/BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.06	0.00	19.06	85.0	16.20
06/01/2018 04000038708	KONRAD, TYLER	MFT AMERICAN BALANCED FUND-529A	B	0.00	26.65	0.00	26.65	85.0	22.65
06/01/2018 04000038708	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	29.29	0.00	29.29	85.0	24.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,720.03	\$0.00	\$2,720.03		\$2,312.10
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
05/18/2018 (None)	SUBLETT, CLAUDEANE	VAT AMERITAS	B	0.00	12.02	0.00	12.02	85.0	10.22
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$12.02	\$0.00	\$12.02		\$10.22
*** DIRECT TRANSACTIONS TOTAL ***				\$400,429.51	\$2,926.87	\$0.00	\$2,926.87		\$2,487.92

BROKER COMMISSION TOTALS

Broker Transactions	\$2,487.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,487.92
Year-to-date Commissions	\$69,817.34