



COMMISSION STATEMENT

January 01, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-71IO - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
12/26/2017	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	28.60	0.00	28.60	75.0	21.45
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-67IO - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
12/26/2017	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	26.60	0.00	26.60	75.0	19.95
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-63IO - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
12/26/2017	***-**-7340 - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	8.16	0.00	8.16	75.0	6.12
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-67IO - FERNANDEZ, ANA	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
12/26/2017	***-**-71IO - ALBERTO, MICHAEL	INTERNATIONAL EMERGING MARKETS (A)	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
12/26/2017	***-**-2440 - RICHTER, DIANA	LORD ABBETT SHORT DURATION INCOME C	0.00	11.33	0.00	11.33	75.0	8.50
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-7340 - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	23.86	0.00	23.86	75.0	17.90
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-2440 - RICHTER, DIANA	PRINCIPAL EQUITY INC CL C	0.00	23.88	0.00	23.88	75.0	17.91
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-63IO - BARBER,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
12/26/2017	***-**-7340 - RODESCHINI,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	8.08	0.00	8.08	75.0	6.06
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
12/26/2017	***-**-2440 - RICHTER, DIANA	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	45.84	0.00	45.84	75.0	34.38
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
12/26/2017	***-**-17IR - REAGAN, MATTHEW	PRINCIPAL GLOBAL MULTI-STRATEGY CL	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
12/26/2017	***-**-71IO - ALBERTO, MICHAEL	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	19.68	0.00	19.68	75.0	14.76
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/26/2017	***--17IR - REAGAN, MATTHEW	PRINCIPAL INVESTORS SAM STRATEGIC G	0.00	2.18	0.00	2.18	75.0	1.64
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
12/26/2017	***--63IO - BARBER,	WESTERN ASSET CORE BOND FUND CL C	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
12/26/2017	***--244O - RICHTER, DIANA	WESTERN ASSET MANAGED MUNI CL C	0.00	14.24	0.00	14.24	75.0	10.68
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$217.26	\$0.00	\$217.26		\$162.98
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$217.26	\$0.00	\$217.26		\$162.98

BROKER COMMISSION TOTALS

Broker Transactions	\$162.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$90.19
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$253.17
Year-to-date Earnings	\$253.17