

# STATEMENT

## February 20, 2018

HENRY RAMSEY  
1224 Saint Michael St.

BROKER #: 082  
BRANCH:

Gonzales, TX 78629

### COMMISSION STATEMENT for HENRY RAMSEY

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	#000024541118	ROWE, LIGHT	NUVEEN FLAGSHIP ALL AMERICAN MUNICI	0.00	162.75	75.0	0.00	122.06	0.00	122.06
01/05/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	600.00	24.00	75.0	0.00	18.00	0.00	18.00
* MUTUAL FUNDS SUBTOTAL *				\$600.00	\$186.75		\$0.00	\$140.06	\$0.00	\$140.06

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/01/2018	#06900204626	VARIOUS RAMSEY	INVESCO GOLD & PRECIOUS METALS CL A	0.00	163.20	75.0	0.00	122.40	0.00	122.40
01/01/2018	#06900206858	VARIOUS RAMSEY	INVESCO MONEY MARKET FUND - CLASS A	0.00	11.17	75.0	0.00	8.38	0.00	8.38
01/01/2018	#00672050453	VARIOUS RAMSEY	INVESCO U.S. GOVERNMENT FUND CL A	0.00	25.46	75.0	0.00	19.10	0.00	19.10
01/01/2018	#00672050863	VARIOUS RAMSEY	INVESCO U.S. GOVERNMENT FUND CL A	0.00	25.46	75.0	0.00	19.10	0.00	19.10
01/01/2018	#00672050453	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.99	75.0	0.00	5.24	0.00	5.24
01/01/2018	#00672050863	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.99	75.0	0.00	5.24	0.00	5.24
01/01/2018	#06900142636	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	6.90	75.0	0.00	5.18	0.00	5.18
01/01/2018	#06900231444	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	7.02	75.0	0.00	5.27	0.00	5.27
01/01/2018	#06900231445	VARIOUS RAMSEY	INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	4.67	75.0	0.00	3.50	0.00	3.50
01/01/2018	#06900212605	VARIOUS RAMSEY	INVESCO VAN KAMPEN GROWTH & INCOME	0.00	64.79	75.0	0.00	48.59	0.00	48.59
01/01/2018	#01001362698	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	149.28	75.0	0.00	111.96	0.00	111.96
01/01/2018	#00061558072	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	182.64	75.0	0.00	136.98	0.00	136.98
01/01/2018	#01001249897	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	5.20	75.0	0.00	3.90	0.00	3.90
01/01/2018	#01001271347	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	143.87	75.0	0.00	107.90	0.00	107.90
01/01/2018	#01001300082	MONDRAGON, ABEL	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	50.47	75.0	0.00	37.85	0.00	37.85
01/01/2018	#01001341265		INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	41.85	75.0	0.00	31.39	0.00	31.39
01/01/2018	#06900213267	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	54.61	75.0	0.00	40.96	0.00	40.96
01/01/2018	#06910640475	VARIOUS RAMSEY	INVESCO VAN KAMPEN HIGH YIELD MUNIC	0.00	326.92	75.0	0.00	245.19	0.00	245.19
01/01/2018	#00671330717	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	0.49	75.0	0.00	0.37	0.00	0.37
01/01/2018	#06900143812	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	4.87	75.0	0.00	3.65	0.00	3.65
01/01/2018	#06900196722	VARIOUS RAMSEY	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	2.79	75.0	0.00	2.09	0.00	2.09
01/01/2018	#01001341265		INVESCO VAN KAMPEN MUNICIPAL INCOME	0.00	108.81	75.0	0.00	81.61	0.00	81.61
01/03/2018	#00965249498		PIONEER 724010103	0.00	63.14	75.0	0.00	47.36	0.00	47.36

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018	#000013013668198	COONER JT	FRANKLIN TEMPLETON FUNDS HI YLD TX	0.00	7.73	75.0	0.00	5.80	0.00	5.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,465.32		\$0.00	\$1,099.01	\$0.00	\$1,099.01
*** BROKER TRANSACTIONS TOTAL ***				\$600.00	\$1,652.07		\$0.00	\$1,239.07	\$0.00	\$1,239.07

ADJUSTMENTS

02/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,239.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-362.43
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$377.64
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