

**COMMISSION STATEMENT****April 15, 2018**

STAN, W121 WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/02/2018	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
04/02/2018	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
* 529 PLANS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$4.60
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/29/2018	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	100.00	2.75	0.00	2.75	92.0	2.53
03/26/2018	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	92.0	2.53
04/06/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
04/06/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
03/26/2018	ROGERS, DEBRA	AM FUNDS FUNDAMENTAL INVESTORS A	5,000.00	187.50	0.00	187.50	92.0	172.50
03/26/2018	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	2.75	0.00	2.75	92.0	2.53
03/26/2018	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	92.0	2.53
04/02/2018	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	100.00	2.75	0.00	2.75	92.0	2.53
04/02/2018	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	100.00	2.75	0.00	2.75	92.0	2.53
04/02/2018	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
03/20/2018	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
03/19/2018	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
03/19/2018	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
03/19/2018	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
03/19/2018	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
03/19/2018	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
03/19/2018	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
03/19/2018	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
03/29/2018	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
* MUTUAL FUNDS SUBTOTAL *			\$7,100.00	\$252.13	\$0.00	\$252.13		\$231.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/20/2018	SCHMIDT, SYLVIA	ADV CENTURY	300.00	12.00	0.00	12.00	92.0	11.04
03/20/2018	SCHMITT, SUSAN	ADV CENTURY	200.00	8.00	0.00	8.00	92.0	7.36
03/29/2018	ROGERS, DEBRA	ALLIANZ ALTERITY ENHANCED	85,748.02	107.19	0.00	107.19	92.0	98.61
03/29/2018	HAMPTON, ANDRE	ALLIANZ REWARDS ENHANCED	10,743.03	13.43	0.00	13.43	92.0	12.36
03/29/2018	DENNY, MICHAEL	ALLIANZ REWARDS ENHANCED	14,214.89	17.77	0.00	17.77	92.0	16.35
03/29/2018	LANDGREN, RICHARD	HARTFORD LEADERS	109,548.21	68.47	0.00	68.47	92.0	62.99
03/31/2018	MCADAM, JEANNETTE	JNL ASCENDER PLUS SELECT 01/10	73,724.75	92.16	0.00	92.16	92.0	84.79
03/31/2018	SPRAGUE, KRISTY	JNL ELITE ACCESS	31,936.29	13.31	0.00	13.31	92.0	12.25
03/31/2018	BAZIL, RALPH	JNL ELITE ACCESS	55,956.58	23.32	0.00	23.32	92.0	21.45
03/31/2018	CLEARY, MICHAEL	JNL ELITE ACCESS	63,954.17	26.65	0.00	26.65	92.0	24.52
03/31/2018	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	12,307.49	5.13	0.00	5.13	92.0	4.72
03/31/2018	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	139,143.45	57.98	0.00	57.98	92.0	53.34
03/31/2018	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	40,077.24	16.70	0.00	16.70	92.0	15.36
03/31/2018	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	125,328.71	52.22	0.00	52.22	92.0	48.04
03/31/2018	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	104,346.05	43.48	0.00	43.48	92.0	40.00
03/31/2018	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	74,104.41	30.88	0.00	30.88	92.0	28.41
03/31/2018	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	51,730.36	21.55	0.00	21.55	92.0	19.83
03/31/2018	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	78,975.33	32.91	0.00	32.91	92.0	30.28
03/31/2018	PRACHT, MARK	JNL PERSPECTIVE II 05/05	115,506.04	48.13	0.00	48.13	92.0	44.28
03/31/2018	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	161,000.10	67.08	0.00	67.08	92.0	61.71
03/31/2018	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	43,288.67	18.04	0.00	18.04	92.0	16.60
03/31/2018	BROWN, MARY	JNL PERSPECTIVE L	8,982.96	9.73	0.00	9.73	92.0	8.95
03/31/2018	CRANE, BEVERLY	JNL PERSPECTIVE L	149,150.47	161.58	0.00	161.58	92.0	148.65
03/31/2018	DANDURAND, BEVERLY	JNL PERSPECTIVE L	158,930.15	172.17	0.00	172.17	92.0	158.40
03/31/2018	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	70,230.66	14.63	0.00	14.63	92.0	13.46
03/31/2018	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	36,372.28	22.73	0.00	22.73	92.0	20.91
03/31/2018	HEARD, DAVID	JOHN HANCOCK VENTURE	15,742.49	9.84	0.00	9.84	92.0	9.05
03/31/2018	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	42,322.22	8.82	0.00	8.82	92.0	8.11
03/31/2018	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	119,706.38	99.76	0.00	99.76	92.0	91.78
03/30/2018	MINGEE, VIRGINIA	NATIONWIDE AM FUT II NOCDSC 24	9,828.24	24.57	0.00	24.57	92.0	22.60
03/30/2018	DANDURAND, ROBERT	NATIONWIDE BOA ACHIEVER 45	74,375.34	46.48	0.00	46.48	92.0	42.76
03/30/2018	KERSTING, LINDA	NATIONWIDE BOA ACHIEVER 45	100,653.13	62.91	0.00	62.91	92.0	57.88
03/30/2018	HART, JOHN	NATIONWIDE BOA FUTURE II 32	15,317.30	9.57	0.00	9.57	92.0	8.80

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/30/2018	DENNY, MICHAEL	NATIONWIDE BOA FUTURE II 32	18,404.63	34.51	0.00	34.51	92.0	31.75
03/30/2018	RARIDEN, RICHARD	NATIONWIDE BOA FUTURE II 32	12,170.54	7.61	0.00	7.61	92.0	7.00
03/30/2018	LOZANO, RODOLFO	NATIONWIDE BOA FUTURE II 32	39,783.31	99.46	0.00	99.46	92.0	91.50
03/30/2018	LEIGHTON, CAROL	NATIONWIDE BOA FUTURE II 32	133,276.81	333.19	0.00	333.19	92.0	306.53
03/30/2018	RUBENTHALER, RANDALL	NATIONWIDE BOA FUTURE II 32	82,173.75	51.36	0.00	51.36	92.0	47.25
03/30/2018	CONRAD, TOBY	NATIONWIDE BOA IVB 01	626.50	0.39	0.00	0.39	92.0	0.36
03/30/2018	CRABTREE, MICHAEL	NATIONWIDE DESTINATION B 16	39,959.51	24.97	0.00	24.97	92.0	22.97
03/30/2018	ESCHENBRENNER, NICHOLAS	NATIONWIDE MARKETFLEX BB	11,180.09	27.95	0.00	27.95	92.0	25.71
03/30/2018	COOK, LLOYD	NATIONWIDE MARKETFLEX BB	802.34	0.50	0.00	0.50	92.0	0.46
03/30/2018	ATKINSON, MARSHA	NATIONWIDE MARKETFLEX BB	113,342.55	70.84	0.00	70.84	92.0	65.17
03/30/2018	BROWN, SHIRLEY	NATIONWIDE MARKETFLEX BB	105,267.30	210.53	0.00	210.53	92.0	193.69
03/30/2018	WIRTH, MARJORIE	NATIONWIDE MARKETFLEX BB	85,263.30	53.29	0.00	53.29	92.0	49.03
03/30/2018	WALTERS, CLARK	NATIONWIDE MARKETFLEX BB	27,725.23	34.66	0.00	34.66	92.0	31.89
03/30/2018	RAYMER, RICHARD	NATIONWIDE MARKETFLEX BB	88,724.03	110.91	0.00	110.91	92.0	102.04
03/31/2018	STEKOLL, BERNARD	PRU AS ADVISOR PLAN II - 1996	133,266.15	83.29	0.00	83.29	92.0	76.63
03/28/2018	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	62,897.38	13.10	0.00	13.10	92.0	12.05
03/28/2018	CRANE, JEANNE	SBL ADVISOR PROGRAM	216.78	0.14	0.00	0.14	92.0	0.13
03/28/2018	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	56,404.21	37.60	0.00	37.60	92.0	34.59
03/28/2018	PERICA, NICK	SBL ADVISOR PROGRAM	20,355.05	13.57	0.00	13.57	92.0	12.48
03/28/2018	PERICA, NICK	SBL ADVISOR PROGRAM	20,650.49	4.30	0.00	4.30	92.0	3.96
03/28/2018	PRATT, DAN	SBL ADVISOR PROGRAM	74,969.53	49.98	0.00	49.98	92.0	45.98
03/28/2018	PRATT, DAN	SBL ADVISOR PROGRAM	9,513.01	6.35	0.00	6.35	92.0	5.84
03/28/2018	WILSON, MICHAEL	SBL ADVISOR PROGRAM	9,770.05	2.04	0.00	2.04	92.0	1.88
03/28/2018	SCOTT, MELANIE	SBL ADVISOR PROGRAM	54,907.38	36.60	0.00	36.60	92.0	33.67
03/28/2018	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	33,116.36	22.08	0.00	22.08	92.0	20.31
03/28/2018	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,144.55	11.43	0.00	11.43	92.0	10.52
03/28/2018	GREENFIELD, CHARLES	SBL ADVISOR PROGRAM	42.03	0.03	0.00	0.03	92.0	0.03
03/28/2018	ALEXANDER, DEBORAH	SBL ADVISOR PROGRAM	3,689.70	2.46	0.00	2.46	92.0	2.26
03/28/2018	SEGRIST, LLOYD	SBL ADVISOR PROGRAM	12,535.24	8.36	0.00	8.36	92.0	7.69
03/28/2018	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,592.93	8.40	0.00	8.40	92.0	7.73
03/28/2018	SMITH, MAURICE	SBL ADVISOR PROGRAM	29.39	0.02	0.00	0.02	92.0	0.02
03/28/2018	HAYNES, VICKIE	SBL ADVISOR PROGRAM	9,059.57	6.04	0.00	6.04	92.0	5.56
03/28/2018	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	33,736.31	22.49	0.00	22.49	92.0	20.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/28/2018	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	8,344.85	5.55	0.00	5.55	92.0	5.11
03/28/2018	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	13,247.93	8.84	0.00	8.84	92.0	8.13
03/28/2018	NOVAK, JAY	SBL ADVISOR PROGRAM	42,098.77	28.07	0.00	28.07	92.0	25.82
03/28/2018	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,934.39	34.62	0.00	34.62	92.0	31.85
03/28/2018	HILL, MICHAEL	SBL ADVISOR PROGRAM	20,019.80	13.35	0.00	13.35	92.0	12.28
03/28/2018	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,011.68	3.34	0.00	3.34	92.0	3.07
03/28/2018	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,386.86	33.66	0.00	33.66	92.0	30.97
03/28/2018	NEFF, SHERRILL	SBL ADVISOR PROGRAM	23,616.44	15.74	0.00	15.74	92.0	14.48
03/28/2018	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	60,732.53	40.49	0.00	40.49	92.0	37.25
03/28/2018	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,332.26	37.55	0.00	37.55	92.0	34.55
03/28/2018	TUNG, CHAN	SBL ADVISOR PROGRAM	23,704.90	15.80	0.00	15.80	92.0	14.54
03/28/2018	COOPER, KATHERINE	SBL ADVISOR PROGRAM	11,024.91	7.35	0.00	7.35	92.0	6.76
03/28/2018	DAVIS, JOANNE	SBL ADVISOR PROGRAM	7,948.68	5.30	0.00	5.30	92.0	4.88
03/28/2018	YEAZEL, JOHN	SBL ADVISOR PROGRAM	62,307.52	41.54	0.00	41.54	92.0	38.22
03/28/2018	SAUNDERS, TONI	SBL ADVISOR PROGRAM	3,763.40	2.51	0.00	2.51	92.0	2.31
03/28/2018	POWELL, MATTHEW	SBL ADVISOR PROGRAM	27,176.00	18.12	0.00	18.12	92.0	16.67
03/28/2018	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	64,617.82	43.08	0.00	43.08	92.0	39.63
03/28/2018	SUTTON, LINDA	SBL ADVISOR PROGRAM	476.07	0.32	0.00	0.32	92.0	0.29
03/28/2018	RHEA, JONNIE	SBL SECUREDESIGNS VA	101,649.05	21.15	0.00	21.15	92.0	19.46
03/28/2018	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	24,879.99	9.33	0.00	9.33	92.0	8.58
03/28/2018	BENNETT, CARA	SBL SECUREDESIGNS VA	11,713.79	2.43	0.00	2.43	92.0	2.24
03/28/2018	MCADAM, DANIEL	SBL SECUREDESIGNS VA	100,243.06	20.84	0.00	20.84	92.0	19.17
04/03/2018	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
04/03/2018	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
03/03/2018	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	1,997.60	1.66	0.00	1.66	92.0	1.53
03/04/2018	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	7,287.21	6.07	0.00	6.07	92.0	5.58
03/24/2018	HOSS, JODENE	TRANSAMERICA PRINCIPIUM	268,270.61	111.78	0.00	111.78	92.0	102.84
* VARIABLE ANNUITIES SUBTOTAL *			\$4,556,317.53	\$3,345.63	\$0.00	\$3,345.63		\$3,077.96

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/14/2018	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	3.91	0.00	3.91	92.0	3.60
03/14/2018	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.62	0.00	2.62	92.0	2.41
03/14/2018	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.32	0.00	0.32	92.0	0.29
03/14/2018	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.45	0.00	0.45	92.0	0.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/21/2018	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.78	0.00	6.78	92.0	6.24
03/21/2018	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.35	0.00	2.35	92.0	2.16
03/15/2018	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.37	0.00	4.37	92.0	4.02
03/15/2018	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.22	0.00	4.22	92.0	3.88
03/15/2018	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.31	0.00	5.31	92.0	4.89
03/15/2018	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.67	0.00	1.67	92.0	1.54
03/15/2018	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.68	0.00	0.68	92.0	0.63
03/15/2018	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.84	0.00	3.84	92.0	3.53
03/15/2018	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.23	0.00	2.23	92.0	2.05
03/15/2018	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.67	0.00	3.67	92.0	3.38
03/15/2018	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.03	0.00	9.03	92.0	8.31
03/15/2018	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	3.82	0.00	3.82	92.0	3.51
03/15/2018	KONECNY, MARK	AM FUNDS ICA A	0.00	6.30	0.00	6.30	92.0	5.80
03/15/2018	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.83	0.00	0.83	92.0	0.76
03/15/2018	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.62	0.00	0.62	92.0	0.57
03/15/2018	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	7.81	0.00	7.81	92.0	7.19
03/15/2018	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	5.20	0.00	5.20	92.0	4.78
03/15/2018	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.95	0.00	5.95	92.0	5.47
/ /	LAGPACAN, RAYMUND	FIDELITY ADV EQUITY GROWTH CL M	0.00	9.69	0.00	9.69	92.0	8.91
/ /	LAGPACAN, RAYMUND	FIDELITY ADV EQUITY GROWTH CL M	0.00	6.50	0.00	6.50	92.0	5.98
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADV EQUITY GROWTH CL M	0.00	31.00	0.00	31.00	92.0	28.52
/ /	HAYES, BEN	FIDELITY ADV EQUITY GROWTH CL M	0.00	35.68	0.00	35.68	92.0	32.83
/ /	HEARD, DAVID	FIDELITY ADV EQUITY GROWTH CL M	0.00	1.14	0.00	1.14	92.0	1.05
/ /	LOTT TRUST, EDITH	FIDELITY ADV EQUITY GROWTH CL M	0.00	26.35	0.00	26.35	92.0	24.24
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.64	0.00	1.64	92.0	1.51
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	11.01	0.00	11.01	92.0	10.13
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.14	0.00	2.14	92.0	1.97
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	3.11	0.00	3.11	92.0	2.86
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.93	0.00	1.93	92.0	1.78
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.77	0.00	2.77	92.0	2.55
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.15	0.00	2.15	92.0	1.98
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.11	0.00	2.11	92.0	1.94
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.82	0.00	3.82	92.0	3.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.76	0.00	8.76	92.0	8.06
03/20/2018	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	12.82	0.00	12.82	92.0	11.79
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.63	0.00	9.63	92.0	8.86
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	10.05	0.00	10.05	92.0	9.25
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	10.85	0.00	10.85	92.0	9.98
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	15.01	0.00	15.01	92.0	13.81
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	10.69	0.00	10.69	92.0	9.83
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.59	0.00	0.59	92.0	0.54
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.86	0.00	1.86	92.0	1.71
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.36	0.00	2.36	92.0	2.17
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	4.49	0.00	4.49	92.0	4.13
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.71	0.00	0.71	92.0	0.65
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.48	0.00	0.48	92.0	0.44
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	4.00	0.00	4.00	92.0	3.68
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.96	0.00	0.96	92.0	0.88
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.60	0.00	8.60	92.0	7.91
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.64	0.00	8.64	92.0	7.95
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	32.81	0.00	32.81	92.0	30.19
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	37.86	0.00	37.86	92.0	34.83
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.56	0.00	0.56	92.0	0.52
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.79	0.00	0.79	92.0	0.73
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.68	0.00	3.68	92.0	3.39
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.12	0.00	3.12	92.0	2.87
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.80	0.00	0.80	92.0	0.74
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.22	0.00	3.22	92.0	2.96
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	2.80	0.00	2.80	92.0	2.58
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.94	0.00	3.94	92.0	3.62
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.74	0.00	1.74	92.0	1.60
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.04	0.00	1.04	92.0	0.96
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.94	0.00	1.94	92.0	1.78
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.69	0.00	3.69	92.0	3.39

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	3.14	0.00	3.14	92.0	2.89
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	5.44	0.00	5.44	92.0	5.00
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.36	0.00	1.36	92.0	1.25
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.69	0.00	0.69	92.0	0.63
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.88	0.00	1.88	92.0	1.73
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	7.04	0.00	7.04	92.0	6.48
03/15/2018	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.09	0.00	0.09	92.0	0.08
03/15/2018	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	4.85	0.00	4.85	92.0	4.46
03/15/2018	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.64	0.00	0.64	92.0	0.59
03/15/2018	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	3.90	0.00	3.90	92.0	3.59
03/19/2018	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	22.95	0.00	22.95	92.0	21.11
03/19/2018	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.15	0.00	2.15	92.0	1.98
04/01/2018	WOODBURN, JOSEPH	MFS CORE EQUITY A	0.00	1.19	0.00	1.19	92.0	1.09
04/01/2018	WOODBURN, JEAN	MFS CORE EQUITY A	0.00	0.95	0.00	0.95	92.0	0.87
03/13/2018	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.43	0.00	0.43	92.0	0.40
04/01/2018	WOODBURN, JOSEPH	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.24	0.00	1.24	92.0	1.14
03/21/2018	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	5.88	0.00	5.88	92.0	5.41
04/03/2018	HAMPTON, ANDRE	PIIONEER BOND FUND A	0.00	8.96	0.00	8.96	92.0	8.24
04/03/2018	CHRISTIAN, ROBERT	PIIONEER FUND A	0.00	70.85	0.00	70.85	92.0	65.18
04/03/2018	FAIRLEY, MICHAEL	PIIONEER FUND A	0.00	26.36	0.00	26.36	92.0	24.25
04/03/2018	HAMPTON, ANNDRE	PIIONEER FUND A	0.00	0.78	0.00	0.78	92.0	0.72
04/03/2018	FAIRLEY, MICHAEL	PIIONEER IBBOTSON MODERATE ALLOC A	0.00	18.95	0.00	18.95	92.0	17.43
04/03/2018	HAMPTON, ANNDRE	PIIONEER IBBOTSON MODERATE ALLOC A	0.00	0.35	0.00	0.35	92.0	0.32
03/12/2018	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	0.94	0.00	0.94	92.0	0.86
03/12/2018	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.14	0.00	1.14	92.0	1.05
04/02/2018	HOOTEN, JENNIFER	PUTNAM CAPITAL SPECTRUM A	0.00	2.27	0.00	2.27	92.0	2.09
04/02/2018	HOOTEN, JENNIFER	PUTNAM DIVERSIFIED INCOME A	0.00	1.21	0.00	1.21	92.0	1.11
04/02/2018	HOOTEN, JENNIFER	PUTNAM EQUITY INCOME A	0.00	5.20	0.00	5.20	92.0	4.78
04/02/2018	STEKOLL, BERNARD	PUTNAM EQUITY INCOME A	0.00	35.12	0.00	35.12	92.0	32.31
04/02/2018	STEKOLL, JOAN	PUTNAM EQUITY INCOME A	0.00	16.50	0.00	16.50	92.0	15.18
04/02/2018	STEKOLL, BERNARD	PUTNAM INTERNATIONAL EQUITY-A	0.00	8.95	0.00	8.95	92.0	8.23
04/02/2018	STEKOLL, BERNARD	PUTNAM MULTI CAP GROWTH A	0.00	5.97	0.00	5.97	92.0	5.49
03/15/2018	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	5.95	0.00	5.95	92.0	5.47

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/03/2018	ATKINSON, MARSHA	TRANSAMERICA AA MOD GROWTH A	0.00	54.38	0.00	54.38	92.0	50.03
04/03/2018	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	0.00	94.47	0.00	94.47	92.0	86.91
04/03/2018	SCHMITT, SUSAN	TRANSAMERICA AA MOD GROWTH A	0.00	9.11	0.00	9.11	92.0	8.38
04/03/2018	SMITH, SHARRILYN	TRANSAMERICA AA MOD GROWTH A	0.00	5.24	0.00	5.24	92.0	4.82
04/03/2018	SMITH, PHILLIP	TRANSAMERICA AA MOD GROWTH A	0.00	12.16	0.00	12.16	92.0	11.19
04/03/2018	ATKINSON, MARSHA	TRANSAMERICA AA MODERATE A	0.00	68.11	0.00	68.11	92.0	62.66
04/03/2018	DANDURAND, BEVERLY	TRANSAMERICA AA MODERATE A	0.00	16.96	0.00	16.96	92.0	15.60
04/03/2018	SMITH, SHARRILYN	TRANSAMERICA AA MODERATE A	0.00	2.52	0.00	2.52	92.0	2.32
04/03/2018	DANDURAND, BEVERLY	TRANSAMERICA CAPITAL GROWTH A	0.00	16.94	0.00	16.94	92.0	15.58
04/03/2018	SMITH, SHARRILYN	TRANSAMERICA CAPITAL GROWTH A	0.00	9.60	0.00	9.60	92.0	8.83
04/03/2018	ATKINSON, MARSHA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	20.32	0.00	20.32	92.0	18.69
04/03/2018	RHEA, JONNIE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	57.67	0.00	57.67	92.0	53.06
04/03/2018	DANDURAND, BEVERLY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.07	0.00	7.07	92.0	6.50
04/03/2018	DANDURAND, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.29	0.00	3.29	92.0	3.03
04/03/2018	SMITH, SHARRILYN	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.72	0.00	3.72	92.0	3.42
04/03/2018	SMITH, PHILLIP	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	5.10	0.00	5.10	92.0	4.69
04/03/2018	FLATTERY, VICKI	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.36	0.00	4.36	92.0	4.01
04/03/2018	OTTAWAY, DANNY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.36	0.00	4.36	92.0	4.01
04/03/2018	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.94	0.00	3.94	92.0	3.62
04/03/2018	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	14.05	0.00	14.05	92.0	12.93
04/03/2018	SCHMITT, ILA	TRANSAMERICA FLEXIBLE INCOME A	0.00	2.84	0.00	2.84	92.0	2.61
04/03/2018	FLATTERY, VICKI	TRANSAMERICA HIGH YIELD BOND A	0.00	2.56	0.00	2.56	92.0	2.36
04/03/2018	OTTAWAY, DANNY	TRANSAMERICA HIGH YIELD BOND A	0.00	2.56	0.00	2.56	92.0	2.36
04/03/2018	SCHMITT, ILA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	7.10	0.00	7.10	92.0	6.53
04/03/2018	MILLER, JANET	TRANSAMERICA MULTI-MANAGED BAL A	0.00	4.13	0.00	4.13	92.0	3.80
04/03/2018	SMITH, PHILLIP	TRANSAMERICA MULTI-MANAGED BAL A	0.00	8.06	0.00	8.06	92.0	7.42
04/03/2018	SMITH TRUST, PHILLIP	TRANSAMERICA SHORT-TERM BOND A	0.00	3.16	0.00	3.16	92.0	2.91
04/03/2018	SMITH, SHARRILYN	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	9.40	0.00	9.40	92.0	8.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,159.08	\$0.00	\$1,159.08		\$1,066.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/29/2018	SCHMIDT, ALAN	ADV CENTURY	37,154.03	7.74	0.00	7.74	92.0	7.12
03/29/2018	SCHMIDT, ALAN	ADV CENTURY	975.42	0.20	0.00	0.20	92.0	0.18
03/29/2018	SCHMIDT, SYLVIA	ADV CENTURY	4,322.50	9.00	0.00	9.00	92.0	8.28

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/29/2018	SCHMIDT, SYLVIA	ADV CENTURY	968.12	0.20	0.00	0.20	92.0	0.18
03/29/2018	SCHMITT, SUSAN	ADV CENTURY	29,211.90	6.09	0.00	6.09	92.0	5.60
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$72,631.97	\$23.23	\$0.00	\$23.23		\$21.36
*** DIRECT TRANSACTIONS TOTAL ***			\$4,636,149.50	\$4,785.07	\$0.00	\$4,785.07		\$4,402.17

ADJUSTMENTS

04/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
04/15/2018	*ALBRIDGE: LIGHT USER	0.00						-76.00
04/15/2018	*CONCIERGE SVC: 212564 LABARGE	0.00						-50.00
04/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2018	*GLOBAL RELAY: FACEBOOK	0.00						-5.00
04/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
04/15/2018	*GLOBAL RELAY: YOUTUBE	0.00						-25.00
04/15/2018	*MARKETING PRO: PRO USER	0.00						-45.00
04/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-703.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,402.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-703.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,699.17
Year-to-date Gross	\$30,621.02
Year-to-date Earnings	\$28,129.86