



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

STAN WEBB
 300 N. Main Street
 Suite 309
 Wichita, KS 67202

BROKER #: W121
 BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
08/01/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
07/31/2017	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	92.0	1.27
05/11/2017	STUPASKY, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	4,193.19	209.66	0.00	209.66	92.0	192.89
07/25/2017	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	3.75	0.00	3.75	92.0	3.45
08/01/2017	JONES, RITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	3.00	0.00	3.00	92.0	2.76
05/11/2017	STUPASKY, BRIAN	AM FUNDS FUNDAMENTAL INVESTORS A	5,031.82	251.59	0.00	251.59	92.0	231.46
07/25/2017	KELLEY, LUCIA	AM FUNDS FUNDAMENTAL INVESTORS A	600.00	12.00	0.00	12.00	92.0	11.04
07/25/2017	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	3.75	0.00	3.75	92.0	3.45
05/11/2017	STUPASKY, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	3,354.55	167.73	0.00	167.73	92.0	154.31
07/25/2017	KELLEY, LUCIA	AM FUNDS GROWTH FUND OF AMERICA A	600.00	12.00	0.00	12.00	92.0	11.04
05/11/2017	STUPASKY, BRIAN	AM FUNDS ICA A	4,193.19	209.66	0.00	209.66	92.0	192.89
07/25/2017	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	92.0	3.45
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.51	0.00	1.51	92.0	1.39
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	10.13	0.00	10.13	92.0	9.32
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.85	0.00	1.85	92.0	1.70
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	2.90	0.00	2.90	92.0	2.67
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.81	0.00	1.81	92.0	1.67
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.58	0.00	2.58	92.0	2.37
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.14	0.00	2.14	92.0	1.97
08/01/2017	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.87	0.00	1.87	92.0	1.72
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.74	0.00	3.74	92.0	3.44
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.42	0.00	8.42	92.0	7.75
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.35	0.00	9.35	92.0	8.60
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	9.37	0.00	9.37	92.0	8.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	10.11	0.00	10.11	92.0	9.30
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	13.64	0.00	13.64	92.0	12.55
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	9.71	0.00	9.71	92.0	8.93
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.56	0.00	0.56	92.0	0.52
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.61	0.00	1.61	92.0	1.48
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.14	0.00	2.14	92.0	1.97
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	3.92	0.00	3.92	92.0	3.61
08/01/2017	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.70	0.00	0.70	92.0	0.64
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.41	0.00	0.41	92.0	0.38
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	3.27	0.00	3.27	92.0	3.01
08/01/2017	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.83	0.00	0.83	92.0	0.76
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	8.33	0.00	8.33	92.0	7.66
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	5.59	0.00	5.59	92.0	5.14
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY GROWTH T	0.00	26.65	0.00	26.65	92.0	24.52
/ /	HAYES, BEN	FIDELITY EQUITY GROWTH T	0.00	30.22	0.00	30.22	92.0	27.80
/ /	HEARD, DAVID	FIDELITY EQUITY GROWTH T	0.00	0.99	0.00	0.99	92.0	0.91
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY GROWTH T	0.00	22.65	0.00	22.65	92.0	20.84
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.19	0.00	8.19	92.0	7.53
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.23	0.00	8.23	92.0	7.57
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	31.26	0.00	31.26	92.0	28.76
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	36.07	0.00	36.07	92.0	33.18
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.69	0.00	0.69	92.0	0.63
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.20	0.00	3.20	92.0	2.94
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.11	0.00	3.11	92.0	2.86
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.79	0.00	0.79	92.0	0.73
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.21	0.00	3.21	92.0	2.95
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	4.49	0.00	4.49	92.0	4.13
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.66	0.00	3.66	92.0	3.37
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.62	0.00	1.62	92.0	1.49

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.00	0.00	1.00	92.0	0.92
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.88	0.00	1.88	92.0	1.73
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.56	0.00	3.56	92.0	3.28
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	3.03	0.00	3.03	92.0	2.79
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	4.92	0.00	4.92	92.0	4.53
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.23	0.00	1.23	92.0	1.13
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.56	0.00	0.56	92.0	0.52
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.53	0.00	1.53	92.0	1.41
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	5.71	0.00	5.71	92.0	5.25
07/31/2017	MILLER, WARREN	FRANKLIN BALANCE SHEET INVST A	0.00	13.65	0.00	13.65	92.0	12.56
07/31/2017	LAWRENCE POLICE	FRANKLIN BALANCED A	0.00	3.85	0.00	3.85	92.0	3.54
07/31/2017	BELL, BRAYDEN	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.90	0.00	0.90	92.0	0.83
07/31/2017	WYSSMAN, JOHN	FRANKLIN COREFOLIO 529 PORT A	0.00	1.99	0.00	1.99	92.0	1.83
07/31/2017	BRIMER JR, ALBERT	FRANKLIN DYNATECH A	0.00	11.21	0.00	11.21	92.0	10.31
07/31/2017	ATKINSON, MARSHA	FRANKLIN DYNATECH A	0.00	2.11	0.00	2.11	92.0	1.94
07/31/2017	WEBB, STAN	FRANKLIN DYNATECH A	0.00	2.24	0.00	2.24	92.0	2.06
07/31/2017	HENDERSON, SUSAN	FRANKLIN DYNATECH A	0.00	9.34	0.00	9.34	92.0	8.59
07/31/2017	RHEA, JONNIE	FRANKLIN DYNATECH A	0.00	26.21	0.00	26.21	92.0	24.11
07/31/2017	ATKINSON, MARSHA	FRANKLIN DYNATECH A	0.00	1.16	0.00	1.16	92.0	1.07
07/31/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	0.00	16.35	0.00	16.35	92.0	15.04
07/31/2017	MILLER, WARREN	FRANKLIN GROWTH A	0.00	26.72	0.00	26.72	92.0	24.58
07/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH A	0.00	7.62	0.00	7.62	92.0	7.01
07/31/2017	VALANOS, ELENI	FRANKLIN GROWTH A	0.00	1.03	0.00	1.03	92.0	0.95
07/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH A	0.00	8.78	0.00	8.78	92.0	8.08
07/31/2017	WEBB, STAN	FRANKLIN GROWTH A	0.00	3.06	0.00	3.06	92.0	2.82
07/31/2017	HENDERSON, SUSAN	FRANKLIN GROWTH A	0.00	9.22	0.00	9.22	92.0	8.48
07/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH OPP A	0.00	2.64	0.00	2.64	92.0	2.43
07/31/2017	PETERSON, VICKIE	FRANKLIN HIGH INCOME A	0.00	1.59	0.00	1.59	92.0	1.46
07/31/2017	RHEA, JONNIE	FRANKLIN HIGH INCOME A	0.00	5.33	0.00	5.33	92.0	4.90
07/31/2017	ATKINSON, MARSHA	FRANKLIN HIGH INCOME A	0.00	1.28	0.00	1.28	92.0	1.18
07/31/2017	WEBB, STAN	FRANKLIN HIGH INCOME A	0.00	1.37	0.00	1.37	92.0	1.26
07/31/2017	HENDERSON, SUSAN	FRANKLIN HIGH INCOME A	0.00	4.18	0.00	4.18	92.0	3.85

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	RHEA, JONNIE	FRANKLIN INCOME A	0.00	20.06	0.00	20.06	92.0	18.46
07/31/2017	SMITH, PHILLIP	FRANKLIN INCOME A	0.00	3.91	0.00	3.91	92.0	3.60
07/31/2017	CROSS, MAX	FRANKLIN INCOME A	0.00	48.21	0.00	48.21	92.0	44.35
07/31/2017	LOZANO, RODOLFO	FRANKLIN INCOME A	0.00	3.96	0.00	3.96	92.0	3.64
07/31/2017	WEBB, STAN	FRANKLIN INCOME A	0.00	4.42	0.00	4.42	92.0	4.07
07/31/2017	ATKINSON, MARSHA	FRANKLIN INCOME A	0.00	4.09	0.00	4.09	92.0	3.76
07/31/2017	HENDERSON, SUSAN	FRANKLIN INCOME A	0.00	4.34	0.00	4.34	92.0	3.99
07/31/2017	LEIGHTON, CAROL	FRANKLIN INCOME A	0.00	22.68	0.00	22.68	92.0	20.87
07/31/2017	PETERSEN, RAYMOND	FRANKLIN INCOME A	0.00	1.38	0.00	1.38	92.0	1.27
07/31/2017	DUMBAULD, PATRICIA	FRANKLIN INCOME A	0.00	0.86	0.00	0.86	92.0	0.79
07/31/2017	STEPANEK TRUST, LEAH MAE	FRANKLIN INCOME A	0.00	5.11	0.00	5.11	92.0	4.70
07/31/2017	MILLER, WARREN	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	7.82	0.00	7.82	92.0	7.19
07/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	2.33	0.00	2.33	92.0	2.14
07/31/2017	BELL, BRAYDEN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	5.38	0.00	5.38	92.0	4.95
07/31/2017	WEBB, STAN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.33	0.00	3.33	92.0	3.06
07/31/2017	PETERSON, VICKIE	FRANKLIN MUTUAL SHARES A	0.00	6.19	0.00	6.19	92.0	5.69
07/31/2017	HIEGER, STEVEN	FRANKLIN MUTUAL SHARES A	0.00	6.95	0.00	6.95	92.0	6.39
07/31/2017	BRIMER JR, ALBERT	FRANKLIN MUTUAL SHARES A	0.00	7.92	0.00	7.92	92.0	7.29
07/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL SHARES A	0.00	10.79	0.00	10.79	92.0	9.93
07/31/2017	VALANOS, ELENI	FRANKLIN MUTUAL SHARES A	0.00	3.68	0.00	3.68	92.0	3.39
07/31/2017	RHEA, JONNIE	FRANKLIN MUTUAL SHARES A	0.00	6.96	0.00	6.96	92.0	6.40
07/31/2017	LOZANO, RODOLFO	FRANKLIN MUTUAL SHARES A	0.00	6.34	0.00	6.34	92.0	5.83
07/31/2017	WEBB, STAN	FRANKLIN MUTUAL SHARES A	0.00	5.01	0.00	5.01	92.0	4.61
07/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL SHARES A	0.00	6.10	0.00	6.10	92.0	5.61
07/31/2017	LEIGHTON, CAROL	FRANKLIN MUTUAL SHARES A	0.00	52.62	0.00	52.62	92.0	48.41
07/31/2017	WARRELL, MARK	FRANKLIN MUTUAL SHARES A	0.00	4.86	0.00	4.86	92.0	4.47
07/31/2017	PETERSEN, RAYMOND	FRANKLIN MUTUAL SHARES A	0.00	2.18	0.00	2.18	92.0	2.01
07/31/2017	RAYMER, RICHARD	FRANKLIN MUTUAL SHARES A	0.00	11.42	0.00	11.42	92.0	10.51
07/31/2017	MILLER, WARREN	FRANKLIN MUTUAL SHARES A	0.00	32.81	0.00	32.81	92.0	30.19
07/31/2017	PETERSEN, RAYMOND	FRANKLIN REAL RETURN A	0.00	1.48	0.00	1.48	92.0	1.36
07/31/2017	RHEA, JONNIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	9.86	0.00	9.86	92.0	9.07
07/31/2017	MILLER, WARREN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	5.43	0.00	5.43	92.0	5.00
07/31/2017	BELL, BRAYDEN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.28	0.00	4.28	92.0	3.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	HIEGER, STEVEN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.21	0.00	2.21	92.0	2.03
07/31/2017	VALANOS, ELENI	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.60	0.00	1.60	92.0	1.47
07/31/2017	PETERSON, VICKIE	FRANKLIN US GOVT SEC FUND A	0.00	0.97	0.00	0.97	92.0	0.89
07/31/2017	DUMBAULD, PATRICIA	FRANKLIN US GOVT SEC FUND A	0.00	0.84	0.00	0.84	92.0	0.77
07/31/2017	LAWRENCE POLICE	FRANKLIN UTILITIES A	0.00	47.64	0.00	47.64	92.0	43.83
07/31/2017	PACHANKIS, JOHANNE	FT FOUNDING FUNDS ALLOC A	0.00	1.42	0.00	1.42	92.0	1.31
07/31/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	0.00	1.99	0.00	1.99	92.0	1.83
07/31/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	0.00	9.06	0.00	9.06	92.0	8.34
07/31/2017	MILLER, WARREN	FT MUTUAL BEACON FUND A	0.00	6.62	0.00	6.62	92.0	6.09
07/31/2017	BELL, BRAYDEN	FT MUTUAL EUROPEAN FUND A	0.00	1.68	0.00	1.68	92.0	1.55
07/31/2017	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
07/06/2017	TOPHAM, AARON	MAINSTAY MOD GRTH ALLOC INVESTOR CL	0.00	1.44	0.00	1.44	92.0	1.32
07/06/2017	WOODWARD, ANDREA	MAINSTAY TAX FREE BD INVESTOR CL	0.00	2.01	0.00	2.01	92.0	1.85
07/06/2017	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND B	0.00	0.42	0.00	0.42	92.0	0.39
07/06/2017	REGISTRATION ON FILE, TOD	MAINSTAY TAX FREE BOND FUND B	0.00	1.08	0.00	1.08	92.0	0.99
07/06/2017	REGISTRATION ON FILE, TOD	MAINSTAY TAX FREE BOND FUND C	0.00	10.78	0.00	10.78	92.0	9.92
07/06/2017	TOPHAM, AARON	MAINSTAY TAX FREE BOND FUND C	0.00	1.07	0.00	1.07	92.0	0.98
07/17/2017	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND C	350.00	3.50	0.00	3.50	92.0	3.22
07/17/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
07/31/2017	MAIMER, MELISSA	TEMPLETON CHINA WORLD FUND A	0.00	0.18	0.00	0.18	92.0	0.17
07/31/2017	BRIMER JR, ALBERT	TEMPLETON DEVELOPING MKTS A	0.00	1.85	0.00	1.85	92.0	1.70
07/31/2017	BAKER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	92.0	0.07
07/31/2017	MILLER, WARREN	TEMPLETON DEVELOPING MKTS A	0.00	4.61	0.00	4.61	92.0	4.24
07/31/2017	BRIMER JR, ALBERT	TEMPLETON FOREIGN FUND A	0.00	11.10	0.00	11.10	92.0	10.21
07/31/2017	LEIGHTON, CAROL	TEMPLETON GLOBAL BOND A	0.00	4.94	0.00	4.94	92.0	4.54
07/31/2017	ATKINSON, MARSHA	TEMPLETON GLOBAL OPP A	0.00	1.89	0.00	1.89	92.0	1.74
07/31/2017	RAYMER, RICHARD	TEMPLETON GROWTH A	0.00	1.31	0.00	1.31	92.0	1.21
07/31/2017	BRIMER JR, ALBERT	TEMPLETON GROWTH A	0.00	9.09	0.00	9.09	92.0	8.36
07/31/2017	PETERSON, VICKIE	TEMPLETON GROWTH A	0.00	3.56	0.00	3.56	92.0	3.28
07/31/2017	HIEGER, STEVEN	TEMPLETON GROWTH A	0.00	3.67	0.00	3.67	92.0	3.38
07/31/2017	LAWRENCE POLICE	TEMPLETON GROWTH A	0.00	15.66	0.00	15.66	92.0	14.41
07/31/2017	VALANOS, ELENI	TEMPLETON GROWTH A	0.00	1.05	0.00	1.05	92.0	0.97
07/31/2017	BAKER, KRISTI	TEMPLETON GROWTH A	0.00	0.26	0.00	0.26	92.0	0.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	ELMORE, DAVID	TEMPLETON GROWTH A	0.00	0.64	0.00	0.64	92.0	0.59
07/31/2017	LOZANO, RODOLFO	TEMPLETON GROWTH A	0.00	5.73	0.00	5.73	92.0	5.27
07/31/2017	WEBB, STAN	TEMPLETON GROWTH A	0.00	2.94	0.00	2.94	92.0	2.70
07/31/2017	LEIGHTON, CAROL	TEMPLETON GROWTH A	0.00	42.53	0.00	42.53	92.0	39.13
07/31/2017	WARRELL, MARK	TEMPLETON GROWTH A	0.00	4.42	0.00	4.42	92.0	4.07
07/31/2017	MILLER, WARREN	TEMPLETON GROWTH A	0.00	11.95	0.00	11.95	92.0	10.99
07/31/2017	SMITH, PHILLIP	TEMPLETON GROWTH A	0.00	6.19	0.00	6.19	92.0	5.69
07/31/2017	VALANOS, ELENI	TEMPLETON GROWTH A	0.00	0.79	0.00	0.79	92.0	0.73
07/31/2017	NORTHROP, DANIEL	TEMPLETON WORLD A	0.00	0.42	0.00	0.42	92.0	0.39
07/31/2017	NORTHROP, PATRICIA	TEMPLETON WORLD A	0.00	0.77	0.00	0.77	92.0	0.71
07/31/2017	BELL, BRAYDEN	TEMPLETON WORLD A	0.00	0.72	0.00	0.72	92.0	0.66
* MUTUAL FUNDS SUBTOTAL *			\$19,407.75	\$1,942.31	\$0.00	\$1,942.31		\$1,786.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	SPRAGUE, KRISTY	JNL ELITE ACCESS	30,697.12	12.79	0.00	12.79	92.0	11.77
07/31/2017	BAZIL, RALPH	JNL ELITE ACCESS	55,484.14	11.56	0.00	11.56	92.0	10.64
07/31/2017	CLEARY, MICHAEL	JNL ELITE ACCESS	62,213.54	12.96	0.00	12.96	92.0	11.92
07/31/2017	ARVANITIS, MICHELLE	JNL PERSPECTIVE II 05/05	25,759.53	21.47	0.00	21.47	92.0	19.75
07/31/2017	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	21,383.81	8.91	0.00	8.91	92.0	8.20
07/31/2017	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	185,296.54	77.21	0.00	77.21	92.0	71.03
07/31/2017	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	39,623.58	16.51	0.00	16.51	92.0	15.19
07/31/2017	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	126,005.94	52.50	0.00	52.50	92.0	48.30
07/31/2017	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	102,845.97	42.85	0.00	42.85	92.0	39.42
07/31/2017	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	73,292.61	30.54	0.00	30.54	92.0	28.10
07/31/2017	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	53,351.84	22.23	0.00	22.23	92.0	20.45
07/31/2017	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	77,923.45	32.47	0.00	32.47	92.0	29.87
07/31/2017	PRACHT, MARK	JNL PERSPECTIVE II 05/05	116,604.50	48.59	0.00	48.59	92.0	44.70
07/31/2017	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	157,608.50	65.67	0.00	65.67	92.0	60.42
07/31/2017	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	46,203.80	19.25	0.00	19.25	92.0	17.71
07/31/2017	BROWN, MARY	JNL PERSPECTIVE L	18,356.58	19.89	0.00	19.89	92.0	18.30
07/31/2017	CRANE, BEVERLY	JNL PERSPECTIVE L	154,707.65	167.60	0.00	167.60	92.0	154.19
07/31/2017	DANDURAND, BEVERLY	JNL PERSPECTIVE L	157,291.63	170.40	0.00	170.40	92.0	156.77
07/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	71,048.95	14.80	0.00	14.80	92.0	13.62
07/31/2017	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	34,449.37	21.53	0.00	21.53	92.0	19.81

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	HEARD, DAVID	JOHN HANCOCK VENTURE	14,326.84	8.95	0.00	8.95	92.0	8.23
07/31/2017	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	40,053.49	8.34	0.00	8.34	92.0	7.67
07/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	118,447.63	98.71	0.00	98.71	92.0	90.81
07/31/2017	STECKLINE, REBECCA	OHIO NATL ONCORE LITE	70,470.43	176.18	0.00	176.18	92.0	162.09
07/31/2017	STECKLINE, GREGORY	OHIO NATL ONCORE LITE	65,717.60	164.29	0.00	164.29	92.0	151.15
07/28/2017	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	61,456.64	12.81	0.00	12.81	92.0	11.79
07/28/2017	CRANE, JEANNE	SBL ADVISOR PROGRAM	251.60	0.17	0.00	0.17	92.0	0.16
07/28/2017	BROWN, MARSHALL	SBL ADVISOR PROGRAM	5,341.08	3.56	0.00	3.56	92.0	3.28
07/28/2017	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	42,284.30	28.19	0.00	28.19	92.0	25.93
07/28/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,374.31	13.58	0.00	13.58	92.0	12.49
07/28/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,643.82	4.30	0.00	4.30	92.0	3.96
07/28/2017	PRATT, DAN	SBL ADVISOR PROGRAM	73,429.75	48.96	0.00	48.96	92.0	45.04
07/28/2017	PRATT, DAN	SBL ADVISOR PROGRAM	9,723.42	6.48	0.00	6.48	92.0	5.96
07/28/2017	WILSON, MICHAEL	SBL ADVISOR PROGRAM	12,747.48	2.66	0.00	2.66	92.0	2.45
07/28/2017	SCOTT, MELANIE	SBL ADVISOR PROGRAM	36,663.95	24.44	0.00	24.44	92.0	22.48
07/28/2017	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	25,377.34	16.92	0.00	16.92	92.0	15.57
07/28/2017	GAMMON, RICHARD	SBL ADVISOR PROGRAM	7,296.12	4.86	0.00	4.86	92.0	4.47
07/28/2017	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,166.27	11.44	0.00	11.44	92.0	10.52
07/28/2017	HUGGINS, LINDA	SBL ADVISOR PROGRAM	6,282.09	4.19	0.00	4.19	92.0	3.85
07/28/2017	GREENFIELD, CHARLES	SBL ADVISOR PROGRAM	42.00	0.03	0.00	0.03	92.0	0.03
07/28/2017	CHENNAULT, WILLIAM	SBL ADVISOR PROGRAM	11,664.02	7.78	0.00	7.78	92.0	7.16
07/28/2017	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,618.20	8.41	0.00	8.41	92.0	7.74
07/28/2017	SMITH, MAURICE	SBL ADVISOR PROGRAM	64.37	0.04	0.00	0.04	92.0	0.04
07/28/2017	HAYNES, VICKIE	SBL ADVISOR PROGRAM	10,994.17	7.33	0.00	7.33	92.0	6.74
07/28/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	21,626.89	14.42	0.00	14.42	92.0	13.27
07/28/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	8,128.44	5.42	0.00	5.42	92.0	4.99
07/28/2017	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	12,713.85	8.46	0.00	8.46	92.0	7.78
07/28/2017	NOVAK, JAY	SBL ADVISOR PROGRAM	42,101.22	28.07	0.00	28.07	92.0	25.82
07/28/2017	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,894.26	34.60	0.00	34.60	92.0	31.83
07/28/2017	WOLF, LORRAINE	SBL ADVISOR PROGRAM	2,849.57	1.90	0.00	1.90	92.0	1.75
07/28/2017	HILL, MICHAEL	SBL ADVISOR PROGRAM	14,126.08	9.42	0.00	9.42	92.0	8.67
07/28/2017	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,247.72	3.50	0.00	3.50	92.0	3.22
07/28/2017	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,397.14	33.66	0.00	33.66	92.0	30.97

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/28/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	35,744.68	23.83	0.00	23.83	92.0	21.92
07/28/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	23,930.37	15.95	0.00	15.95	92.0	14.67
07/28/2017	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	53,812.79	35.88	0.00	35.88	92.0	33.01
07/28/2017	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,288.73	37.53	0.00	37.53	92.0	34.53
07/28/2017	LEONARD, ROBERT	SBL ADVISOR PROGRAM	6,168.09	4.11	0.00	4.11	92.0	3.78
07/28/2017	DARR, YVONNE	SBL ADVISOR PROGRAM	24,480.32	16.32	0.00	16.32	92.0	15.01
07/28/2017	TUNG, CHAN	SBL ADVISOR PROGRAM	15,805.24	10.54	0.00	10.54	92.0	9.70
07/28/2017	COOPER, KATHERINE	SBL ADVISOR PROGRAM	7,357.12	4.90	0.00	4.90	92.0	4.51
07/28/2017	DAVIS, JOANNE	SBL ADVISOR PROGRAM	8,290.13	5.53	0.00	5.53	92.0	5.09
07/28/2017	YEAZEL, JOHN	SBL ADVISOR PROGRAM	56,088.52	37.39	0.00	37.39	92.0	34.40
07/28/2017	SAUNDERS, TONI	SBL ADVISOR PROGRAM	2,090.04	1.39	0.00	1.39	92.0	1.28
07/28/2017	POWELL, MATTHEW	SBL ADVISOR PROGRAM	30,552.91	20.37	0.00	20.37	92.0	18.74
07/28/2017	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	34,733.03	23.16	0.00	23.16	92.0	21.31
07/28/2017	SUTTON, LINDA	SBL ADVISOR PROGRAM	2,432.72	1.62	0.00	1.62	92.0	1.49
07/28/2017	MCADAM, DANIEL	SBL SECUREDESIGNS VA	96,086.18	19.98	0.00	19.98	92.0	18.38
07/28/2017	RHEA, JONNIE	SBL SECUREDESIGNS VA	98,232.79	20.43	0.00	20.43	92.0	18.80
07/28/2017	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	23,607.46	8.86	0.00	8.86	92.0	8.15
07/28/2017	BENNETT, CARA	SBL SECUREDESIGNS VA	11,262.45	2.36	0.00	2.36	92.0	2.17
08/03/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
08/03/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$3,079,824.71	\$1,971.45	\$0.00	\$1,971.45		\$1,813.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2017	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	4.06	0.00	4.06	92.0	3.74
07/17/2017	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.56	0.00	2.56	92.0	2.36
07/17/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	92.0	0.29
07/17/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.44	0.00	0.44	92.0	0.40
07/12/2017	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.42	0.00	6.42	92.0	5.91
07/12/2017	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.23	0.00	2.23	92.0	2.05
07/18/2017	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.34	0.00	4.34	92.0	3.99
07/18/2017	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.34	0.00	4.34	92.0	3.99
07/18/2017	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.46	0.00	5.46	92.0	5.02
07/18/2017	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.72	0.00	1.72	92.0	1.58
07/18/2017	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.70	0.00	0.70	92.0	0.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.65	0.00	3.65	92.0	3.36
07/18/2017	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.11	0.00	2.11	92.0	1.94
07/18/2017	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.37	0.00	3.37	92.0	3.10
07/18/2017	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.31	0.00	8.31	92.0	7.65
07/18/2017	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	3.64	0.00	3.64	92.0	3.35
07/18/2017	KONECNY, MARK	AM FUNDS ICA A	0.00	5.99	0.00	5.99	92.0	5.51
07/18/2017	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.79	0.00	0.79	92.0	0.73
07/18/2017	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.59	0.00	0.59	92.0	0.54
07/18/2017	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	7.39	0.00	7.39	92.0	6.80
07/18/2017	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	4.96	0.00	4.96	92.0	4.56
07/18/2017	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.64	0.00	5.64	92.0	5.19
07/19/2017	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	12.76	0.00	12.76	92.0	11.74
07/13/2017	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.09	0.00	0.09	92.0	0.08
07/13/2017	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	5.06	0.00	5.06	92.0	4.66
07/13/2017	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.66	0.00	0.66	92.0	0.61
07/13/2017	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	4.47	0.00	4.47	92.0	4.11
07/18/2017	KONECNY, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	24.04	0.00	24.04	92.0	22.12
07/18/2017	CROSS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	9.61	0.00	9.61	92.0	8.84
07/18/2017	HUCKINS, VERNON	INVESCO BALANCED RISK ALLOCATION A	0.00	9.59	0.00	9.59	92.0	8.82
07/18/2017	HOUSTON, CAMMY	INVESCO BALANCED RISK ALLOCATION A	0.00	12.50	0.00	12.50	92.0	11.50
07/18/2017	ROTHS, GLEN	INVESCO BALANCED RISK ALLOCATION A	0.00	3.84	0.00	3.84	92.0	3.53
07/18/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	7.59	0.00	7.59	92.0	6.98
07/18/2017	KONECNY, MARK	INVESCO CHARTER FUND A	0.00	36.66	0.00	36.66	92.0	33.73
07/18/2017	CROSS, DIANE	INVESCO CHARTER FUND A	0.00	17.66	0.00	17.66	92.0	16.25
07/18/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	24.34	0.00	24.34	92.0	22.39
07/18/2017	ROTHS, GLEN	INVESCO CHARTER FUND A	0.00	3.25	0.00	3.25	92.0	2.99
07/18/2017	GREENING, CHRISTOPHER	INVESCO CHARTER FUND A	0.00	6.46	0.00	6.46	92.0	5.94
07/18/2017	HOUSTON, SAMUEL	INVESCO CHARTER FUND A	0.00	3.33	0.00	3.33	92.0	3.06
07/18/2017	RAY SR TRUST, LAWRENCE & NETT	INVESCO CHARTER FUND A	0.00	8.35	0.00	8.35	92.0	7.68
07/18/2017	CLARK, TERENCE	INVESCO CHARTER FUND A	0.00	7.91	0.00	7.91	92.0	7.28
07/18/2017	GREENING, PATRICIA	INVESCO DEVELOPING MARKETS A	0.00	1.29	0.00	1.29	92.0	1.19
07/18/2017	PRACHT, MARK	INVESCO HIGH YIELD FUND A	0.00	7.59	0.00	7.59	92.0	6.98
07/18/2017	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	23.81	0.00	23.81	92.0	21.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/11/2017	RUBENTHALER, RANDALL	KANSAS MUNICIPAL FUND	0.00	11.67	0.00	11.67	92.0	10.74
07/21/2017	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.27	0.00	2.27	92.0	2.09
07/21/2017	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.47	0.00	0.47	92.0	0.43
07/26/2017	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	6.32	0.00	6.32	92.0	5.81
07/11/2017	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	1.01	0.00	1.01	92.0	0.93
07/11/2017	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.22	0.00	1.22	92.0	1.12
07/14/2017	PRACHT, MARK	RMB MENDON FINANCIAL LNG/SHRT FUND	0.00	15.60	0.00	15.60	92.0	14.35
07/13/2017	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	5.91	0.00	5.91	92.0	5.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$354.35	\$0.00	\$354.35		\$326.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2017	SCHMIDT, ALAN	ING RELIASTAR	0.00	7.56	0.00	7.56	92.0	6.96
07/31/2017	SCHMIDT, SYLVIA	ING RELIASTAR	0.00	8.36	0.00	8.36	92.0	7.69
07/31/2017	SCHMITT, SUSAN	ING RELIASTAR	0.00	5.52	0.00	5.52	92.0	5.08
07/28/2017	SCHMITT, SUSAN	JEFFERSON NAT'L TRAILS	0.00	206.99	0.00	206.99	92.0	190.43
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$228.43	\$0.00	\$228.43		\$210.16
*** BROKER TRANSACTIONS TOTAL ***			\$3,099,232.46	\$4,496.54	\$0.00	\$4,496.54		\$4,136.84
<u>ADJUSTMENTS</u>								
08/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
08/15/2017		*TECH: ADMIN: WILLIAMSON	0.00					-100.00
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-695.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,136.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-695.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,441.84
Year-to-date Gross	\$55,855.56
Year-to-date Earnings	\$51,178.50