

All Companies
STATEMENT
June 20, 2018

RON RENSBERGER
707 E 41st Street
Suite 226
Sioux Falls, SD 57105

BROKER #: 076
BRANCH:

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
04/03/0018	#00085194319 GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	0.00	2.02
04/23/2018	#00036366694 PETER, ROSS	IVY BALANCED A	975.00	27.30	95.0	0.00	25.94	0.00	25.94
04/23/2018	#00036366694 PETER, ROSS	IVY BALANCED A	-975.00	-43.88	95.0	0.00	-41.69	0.00	-41.69
04/23/2018	#00035826948 VARIOUS	IVY CORE EQUITY FUND CLASS A	1,300.00	36.40	95.0	0.00	34.58	0.00	34.58
04/23/2018	#00035826948 VARIOUS	IVY CORE EQUITY FUND CLASS A	-1,300.00	-58.50	95.0	0.00	-55.58	0.00	-55.58
04/23/2018	#00036366694 PETER, ROSS	IVY CORE EQUITY FUND CLASS A	650.00	18.20	95.0	0.00	17.29	0.00	17.29
04/23/2018	#00036366694 PETER, ROSS	IVY CORE EQUITY FUND CLASS A	-650.00	-29.25	95.0	0.00	-27.79	0.00	-27.79
04/23/2018	#00035826948 VARIOUS	IVY FD BD FD CL A	1,300.00	36.40	95.0	0.00	34.58	0.00	34.58
04/23/2018	#00035826948 VARIOUS	IVY FD BD FD CL A	-1,300.00	-58.50	95.0	0.00	-55.58	0.00	-55.58
04/23/2018	#00036366694 PETER, ROSS	IVY FD BD FD CL A	975.00	27.30	95.0	0.00	25.94	0.00	25.94
04/23/2018	#00036366694 PETER, ROSS	IVY FD BD FD CL A	-975.00	-43.88	95.0	0.00	-41.69	0.00	-41.69
04/23/2018	#00035826948 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	1,300.00	36.40	95.0	0.00	34.58	0.00	34.58
04/23/2018	#00035826948 VARIOUS	IVY FDS INC HIGH INCOME FD CL A	-1,300.00	-58.50	95.0	0.00	-55.58	0.00	-55.58
04/23/2018	#00036366694 PETER, ROSS	IVY FDS INC HIGH INCOME FD CL A	650.00	18.20	95.0	0.00	17.29	0.00	17.29
04/23/2018	#00036366694 PETER, ROSS	IVY FDS INC HIGH INCOME FD CL A	-650.00	-29.25	95.0	0.00	-27.79	0.00	-27.79
04/23/2018	#00036366694 PETER, ROSS	IVY FDS INC LARGE CAP GROWTH FD CL	650.00	18.20	95.0	0.00	17.29	0.00	17.29
04/23/2018	#00036366694 PETER, ROSS	IVY FDS INC LARGE CAP GROWTH FD CL	-650.00	-29.25	95.0	0.00	-27.79	0.00	-27.79
04/23/2018	#00035826948 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	1,300.00	36.40	95.0	0.00	34.58	0.00	34.58
04/23/2018	#00035826948 VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	-1,300.00	-58.50	95.0	0.00	-55.58	0.00	-55.58
04/23/2018	#00036366694 PETER, ROSS	IVY FDS INC SCIENCE & TECH FD CL A	975.00	27.30	95.0	0.00	25.94	0.00	25.94
04/23/2018	#00036366694 PETER, ROSS	IVY FDS INC SCIENCE & TECH FD CL A	-975.00	-43.88	95.0	0.00	-41.69	0.00	-41.69
04/23/2018	#00036366694 PETER, ROSS	IVY LIMITED-TERM BOND A	650.00	13.00	95.0	0.00	12.35	0.00	12.35
04/23/2018	#00036366694 PETER, ROSS	IVY LIMITED-TERM BOND A	-650.00	-13.00	95.0	0.00	-12.35	0.00	-12.35
04/23/2018	#00035826948 VARIOUS	IVY REAL ESTATE SECURITIES A	1,300.00	36.40	95.0	0.00	34.58	0.00	34.58
04/23/2018	#00035826948 VARIOUS	IVY REAL ESTATE SECURITIES A	-1,300.00	-58.50	95.0	0.00	-55.58	0.00	-55.58
04/23/2018	#00036366694 PETER, ROSS	IVY REAL ESTATE SECURITIES A	975.00	27.30	95.0	0.00	25.94	0.00	25.94
04/23/2018	#00036366694 PETER, ROSS	IVY REAL ESTATE SECURITIES A	-975.00	-43.88	95.0	0.00	-41.69	0.00	-41.69
05/01/2018	#00084595526 LETELLIER,	COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/2018	#00036307156	METZJOAN	INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00035543848	SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.48
05/01/2018	#00036218685	METZKELL	INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00032423005	SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00036143265	HOGUETRAC TL	IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	11.40
05/01/2018	#00035631725	WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/01/2018	#00035746714	STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/01/2018	#00032418293	SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
05/01/2018	#00036143265	HOGUETRAC TL	IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	4.75
05/01/2018	#00032374436	RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	2.38
05/01/2018	#00032418293	SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
05/01/2018	#00036143265	HOGUETRAC TL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	16.15
05/01/2018	#00036187511	BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	2.14
05/01/2018	#00032374436	RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/01/2018	#00032375891	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
05/01/2018	#00032384266	SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
05/01/2018	#00035631725	WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/01/2018	#00032423005	SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
05/01/2018	#00036143265	HOGUETRAC TL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	2.85
05/01/2018	#00036143265	HOGUETRAC TL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	2.85
05/02/2018	#00035485711	WANG, DAVID	INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	4.56
05/02/2018	#00036027743	TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
05/03/2018	#00035579219	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48
05/03/2018	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
05/03/2018	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
05/03/2018	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
05/03/2018	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
05/03/2018	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
05/03/2018	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
05/03/2018	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
05/03/2018	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
05/03/2018	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.67
05/03/2018	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
05/03/2018	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
05/03/2018	#00032398031	KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.75
05/03/2018	#00032398032	KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.32
05/03/2018	#00032398032	KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.86
05/03/2018	#00032398031	KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
05/03/2018	#00032398032	KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
05/04/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
05/04/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
05/04/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
05/07/2018	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	3.75	95.0	0.00	3.56	3.56
05/07/2018	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.25	95.0	0.00	2.14	2.14
05/07/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	3.75	95.0	0.00	3.56	3.56
05/07/2018	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.88	95.0	0.00	1.79	1.79
05/07/2018	#00035579226	BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.48
05/07/2018	#00036140009	BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.95
05/07/2018	#00035998467	GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	1.14
05/07/2018	#00036208319	TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00036208332	TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00036098588	LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	2.38
05/07/2018	#00032398033	ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
05/07/2018	#00032398033	ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/07/2018	#00035998467	GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
05/07/2018	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	185.95	5.21	95.0	0.00	4.95	4.95
05/07/2018	#00036098588	LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	7.13
05/07/2018	#00036208319	TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
05/07/2018	#00036208332	TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
05/07/2018	#00036208319	TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00036208332	TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00035998467	GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
05/07/2018	#00032387551	ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
05/07/2018	#00035578513	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	185.95	5.21	95.0	0.00	4.95	4.95
05/07/2018	#00036208319	TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00036208332	TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00032398033	ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/07/2018	#00036098588	LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/07/2018	#00036264275	WIFF, CARON	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
05/07/2018	#00032387551	ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	0.70	95.0	0.00	0.67	0.67
05/07/2018	#00036208319	TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00036208332	TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00032398033	ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	2.38
05/07/2018	#00035578513	CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	186.02	5.21	95.0	0.00	4.95	4.95
05/07/2018	#00036208319	TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00036208332	TAYLOCALI	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	2.89
05/07/2018	#00086459796	GORHAM,	NEW WORLD FUND INC NEW	100.00	3.75	95.0	0.00	3.56	3.56
05/10/2018	#00035378852	VARIOUS	IVY CORE EQUITY FUND CLASS A	25.00	1.00	95.0	0.00	0.95	0.95
05/10/2018	#00032421732	BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	200.00	9.00	95.0	0.00	8.55	8.55
05/10/2018	#00035378852	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
05/15/2018	#00036325146	TELLI, TERRL	IVY ENERGY A	0.81	0.02	95.0	0.00	0.02	0.02
05/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	100.00	4.50	95.0	0.00	4.28	4.28
05/15/2018	#00032411317	ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00035502928	ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	75.00	3.75	95.0	0.00	3.56	3.56
05/15/2018	#00036077173	BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	79.00	3.56	95.0	0.00	3.38	3.38
05/15/2018	#00032408864	DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
05/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.50	95.0	0.00	4.28	4.28
05/15/2018	#00035864350	HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036149962	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036276384	HOITEN, LAURA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
05/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
05/15/2018	#00036326947	EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036150657	JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	100.00	4.50	95.0	0.00	4.28	4.28
05/15/2018	#00035864350	HALL, JASON	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036149954	SPENNIDA, L	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
05/15/2018	#00036150657	JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	79.00	3.56	95.0	0.00	3.38	3.38
05/17/2018	#00036077197	LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	2.66
05/17/2018	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
05/17/2018	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	2.66
05/17/2018	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
05/18/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
05/18/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/18/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
05/21/2018	#00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	150.00	3.00	95.0	0.00	2.85	2.85
05/21/2018	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
05/21/2018	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85
05/21/2018	#00032408419	DEMPSEY, STEVEN	IVY CORE EQUITY FUND CLASS A	75.00	1.50	95.0	0.00	1.43	1.43
05/21/2018	#00032408419	DEMPSEY, STEVEN	IVY CUNDILL GLOBAL VALUE A	75.00	1.50	95.0	0.00	1.43	1.43
05/21/2018	#00032396121	COOPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00036343490	O'BRIEN,	IVY ENERGY A	200.00	10.00	95.0	0.00	9.50	9.50
05/21/2018	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
05/21/2018	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
05/21/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC HIGH INCOME FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
05/21/2018	#00032388137	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
05/21/2018	#00035436381	GLOVER, KEITH	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
05/21/2018	#00036343490	O'BRIEN,	IVY FDS INC HIGH INCOME FD CL A	200.00	10.00	95.0	0.00	9.50	9.50
05/21/2018	#00032396121	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	5.32
05/21/2018	#00036343490	O'BRIEN,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	10.00	95.0	0.00	9.50	9.50
05/21/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
05/21/2018	#00032388137	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00032396121	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	5.32
05/21/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00035436381	GLOVER, KEITH	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	2.00
05/21/2018	#00032410232	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
05/21/2018	#00035633441	KOCOUREK,	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
05/21/2018	#00036343490	O'BRIEN,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	10.00	95.0	0.00	9.50	9.50
05/21/2018	#00032396121	COOPER TRACY,	IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00035202865	GLOVER, JESSICA	IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	4.20
05/21/2018	#00035436381	GLOVER, KEITH	IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	2.45
05/21/2018	#00032396121	COOPER TRACY,	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
05/21/2018	#00036130945	CHRIS, JANE	IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	2.13
05/25/2018	#00085194319	GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	2.02
05/25/2018	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	92.32	4.62	95.0	0.00	4.39	4.39
05/25/2018	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	92.32	4.62	95.0	0.00	4.39	4.39
05/25/2018	#00035578623	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/25/2018	#00035578629	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
05/25/2018	#00032397882	REEKERS, DONNA IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
05/25/2018	#00036193565	EVANS, LARRL IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
05/25/2018	#00036247691	OKUWEZAKA IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
05/25/2018	#00036247691	OKUWEZAKA IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
05/29/2018	#00083134866	NELSON, GERALD AMERICAN BALANCED FUND INC CLASS A	2,000.00	20.00	95.0	0.00	19.00	0.00	19.00
05/29/2018	#00083134866	NELSON, GERALD AMERICAN HIGH INCOME TR SH BEN INT	2,000.00	20.00	95.0	0.00	19.00	0.00	19.00
05/29/2018	#00083134866	NELSON, GERALD BOND FUND OF AMERICA INC CL A	2,000.00	20.00	95.0	0.00	19.00	0.00	19.00
05/29/2018	#00083134866	NELSON, GERALD CAPITAL WORLD BOND FUND-SBI CLASS A	2,000.00	20.00	95.0	0.00	19.00	0.00	19.00
05/29/2018	#00083134866	NELSON, GERALD INVESTMENT CO AMER CLASS A	2,000.00	20.00	95.0	0.00	19.00	0.00	19.00
05/29/2018	#00035649857	VARIOUS IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
05/29/2018	#00036192778	VARIOUS IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	0.00	3.80
05/29/2018	#00083134866	NELSON, GERALD NEW PERSPECTIVE FUND INC CL A	2,000.00	20.00	95.0	0.00	19.00	0.00	19.00
05/29/2018	#00083134866	NELSON, GERALD NEW WORLD FUND INC NEW	4,000.00	40.00	95.0	0.00	38.00	0.00	38.00
05/29/2018	#00083134866	NELSON, GERALD WASHINGTON MUTUAL INVS FD CLASS A	4,000.00	40.00	95.0	0.00	38.00	0.00	38.00
05/30/2018	#00035492588	EMERSON, BECKY IVY CORE EQUITY FUND CLASS A	125.00	2.50	95.0	0.00	2.38	0.00	2.38
05/30/2018	#00035572064	KEMP, DANIEL IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	0.00	4.75
05/30/2018	#00035492588	EMERSON, BECKY IVY EUROPEAN OPPORTUNITIES A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
05/30/2018	#00035572064	KEMP, DANIEL IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
05/30/2018	#00035572064	KEMP, DANIEL IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	0.00	3.80
05/30/2018	#00035492588	EMERSON, BECKY IVY FDS INC ASSET STRATEGY FD CL A	110.00	2.20	95.0	0.00	2.09	0.00	2.09
05/30/2018	#00035572064	KEMP, DANIEL IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
05/30/2018	#00035492588	EMERSON, BECKY IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
05/30/2018	#00035572064	KEMP, DANIEL IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	0.00	4.75
05/30/2018	#00035572064	KEMP, DANIEL IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
05/30/2018	#00035492588	EMERSON, BECKY IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
05/30/2018	#00035492588	EMERSON, BECKY IVY GLOBAL NATURAL RESOURCES FUND-C	125.00	2.50	95.0	0.00	2.38	0.00	2.38
05/30/2018	#00032370316	CLINTON, PAUL IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/01/2018	#00084595526	LETELLIER, COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33
* MUTUAL FUNDS SUBTOTAL *			\$35,727.37	\$460.38		\$0.00	\$437.60	\$0.00	\$437.60
*** BROKER TRANSACTIONS TOTAL ***			\$35,727.37	\$460.38		\$0.00	\$437.60	\$0.00	\$437.60

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

06/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$437.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-131.40</u>
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