

**COMMISSION STATEMENT****January 31, 2018**

ALAN GEIER
56 Dutchess Ave.
Apt. 1
Poughkeepsie, NY 12601

BROKER #: G122
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/22/2018	KLEIN, NINA	TD AMERITRADE	0.00	921.51	0.00	921.51	80.0	737.21
01/22/2018	KLEIN, NINA	TD AMERITRADE	0.00	137.34	0.00	137.34	80.0	109.87
01/22/2018	KLEIN, NINA	TD AMERITRADE	0.00	1,777.06	0.00	1,777.06	80.0	1,421.65
* RIA SUBTOTAL *			\$0.00	\$2,835.91	\$0.00	\$2,835.91		\$2,268.73
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$2,835.91	\$0.00	\$2,835.91		\$2,268.73
<u>ADJUSTMENTS</u>								
01/31/2018		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-13.84
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-13.84

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,268.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-13.84
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,254.89
Year-to-date Gross	\$2,835.91
Year-to-date Earnings	\$2,268.73