

All Companies
STATEMENT
April 20, 2018

STEVE DAVENPORT
204-B E Washington St
SUITE 620
Glasgow, KY 42141

BROKER #: 051
BRANCH:

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/27/2018 #	DAVENPORT, JAMES	CATERPILLAR INC	162,900.00	50.00	90.0	0.00	45.00	18.00	27.00
03/01/2018 #	BRUTON, WENDELL	BOEING CO	104,968.50	50.00	90.0	0.00	45.00	18.00	27.00
03/01/2018 #	BRUTON, WENDELL	NVIDIA CORP	69,546.72	50.00	90.0	0.00	45.00	18.00	27.00
03/01/2018 #	BRUTON, WENDELL	UNITED STATES STL CORP NEW	23,660.69	50.00	90.0	0.00	45.00	18.00	27.00
03/08/2018 #	BRUTON, WENDELL	DOLLAR TREE INC	27,908.94	50.00	90.0	0.00	45.00	18.00	27.00
03/09/2018 #	BRUTON, WENDELL	BOEING CO	106,261.20	50.00	90.0	0.00	45.00	18.00	27.00
03/09/2018 #	DAVENPORT, JAMES	GOLDMAN SACHS GROUP INC	133,750.00	50.00	90.0	0.00	45.00	18.00	27.00
03/09/2018 #	BRUTON, WENDELL	MICROSOFT CORP	47,865.00	50.00	90.0	0.00	45.00	18.00	27.00
03/09/2018 #	BRUTON, WENDELL	NVIDIA CORP	73,350.12	50.00	90.0	0.00	45.00	18.00	27.00
03/12/2018 #	BRUTON, WENDELL	MICRON TECHNOLOGY INC	29,885.00	50.00	90.0	0.00	45.00	18.00	27.00
03/12/2018 #	BRUTON, WENDELL	ULTA BEAUTY INC COMMON STOCK	62,418.00	50.00	90.0	0.00	45.00	18.00	27.00
03/13/2018 #	DAVENPORT, JAMES	GOLDMAN SACHS GROUP INC	134,750.00	50.00	90.0	0.00	45.00	18.00	27.00
03/13/2018 #	UST, THE PERKINS FAM	UNITED STATES STL CORP NEW	42,450.00	50.00	90.0	0.00	45.00	18.00	27.00
03/14/2018 #	BRUTON, WENDELL	***CHINA LODGING GROUP LTD	39,514.92	50.00	90.0	0.00	45.00	18.00	27.00
03/16/2018 #	DAVENPORT, JAMES	CELGENE CORP	92,000.00	50.00	90.0	0.00	45.00	18.00	27.00
03/16/2018 #	CAUDEL, CHARLES	TWITTER INC	12,500.00	100.00	90.0	0.00	90.00	18.00	72.00
03/16/2018 #	BRUTON, WENDELL	ULTA BEAUTY INC COMMON STOCK	65,943.00	50.00	90.0	0.00	45.00	18.00	27.00
03/21/2018 #	UST, THE PERKINS FAM	UNITED STATES STL CORP NEW	77,910.00	200.00	90.0	0.00	180.00	18.00	162.00
03/22/2018 #	BRUTON, WENDELL	BOEING CO	96,110.64	50.00	90.0	0.00	45.00	18.00	27.00
03/22/2018 #	BRUTON, WENDELL	CATERPILLAR INC	44,182.50	50.00	90.0	0.00	45.00	18.00	27.00
03/22/2018 #	CAUDEL, CHARLES	UNITED STATES STL CORP NEW	10,829.38	50.00	90.0	0.00	45.00	18.00	27.00
03/23/2018 #	DAVENPORT, JAMES	CELGENE CORP	87,500.00	50.00	90.0	0.00	45.00	18.00	27.00
03/23/2018 #	DAVENPORT, JAMES	CELGENE CORP	90,000.00	50.00	90.0	0.00	45.00	18.00	27.00
03/23/2018 #	UST, THE PERKINS FAM	HOME DEPOT INC	52,922.58	50.00	90.0	0.00	45.00	18.00	27.00
03/23/2018 #	UST, THE PERKINS FAM	HOME DEPOT INC	-52,922.60	-50.00	90.0	0.00	-45.00	18.00	-63.00
03/23/2018 #	UST, THE PERKINS FAM	HOME DEPOT INC	52,861.44	50.00	90.0	0.00	45.00	18.00	27.00
03/23/2018 #	DAVENPORT, JAMES	WHIRLPOOL CORP	78,750.00	50.00	90.0	0.00	45.00	18.00	27.00
03/26/2018 #	DAVENPORT, JAMES	CELGENE CORP	259,500.00	50.00	90.0	0.00	45.00	18.00	27.00

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/26/2018 #	DAVENPORT, JAMES	WHIRLPOOL CORP	76,900.00	50.00	90.0	0.00	45.00	18.00	27.00
* STOCKS SUBTOTAL *			<u>\$2,104,216.03</u>	<u>\$1,550.00</u>		<u>\$0.00</u>	<u>\$1,395.00</u>	<u>\$522.00</u>	<u>\$873.00</u>
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/28/2018 #	DAVENPORT, JAMES	CALL CAT 03/02/18 165	540.00	60.00	90.0	0.00	54.00	36.75	17.25
02/28/2018 #	DAVENPORT, JAMES	PUT VRX 03/02/18 17	1,323.00	75.00	90.0	0.00	67.50	55.50	12.00
03/01/2018 #	DAVENPORT, JAMES	CALL VRX 03/02/18 16	600.00	75.00	90.0	0.00	67.50	55.50	12.00
03/01/2018 #	DAVENPORT, JAMES	PUT CAT 03/02/18 160	855.00	60.00	90.0	0.00	54.00	36.75	17.25
03/02/2018 #	DAVENPORT, JAMES	PUT CAT 03/02/18 160	13,000.00	50.00	90.0	0.00	45.00	30.50	14.50
03/02/2018 #	DAVENPORT, JAMES	PUT CAT 03/02/18 162.50	7,750.00	60.00	90.0	0.00	54.00	24.25	29.75
03/02/2018 #	DAVENPORT, JAMES	PUT VRX 03/02/18 17	7,200.00	75.00	90.0	0.00	67.50	55.50	12.00
03/07/2018 #	DAVENPORT, JAMES	PUT CELG 03/09/18 89	1,000.00	50.00	90.0	0.00	45.00	30.50	14.50
03/08/2018 #	DAVENPORT, JAMES	CALL GS 03/09/18 267.50	754.00	50.00	90.0	0.00	45.00	24.25	20.75
03/12/2018 #	UST, THE PERKINS FAM	CALL AVGO 03/16/18 265	1,084.00	50.00	90.0	0.00	45.00	21.00	24.00
03/12/2018 #	UST, THE PERKINS FAM	CALL PYPL 04/20/18 85	1,568.00	75.00	90.0	0.00	67.50	36.75	30.75
03/12/2018 #	DAVENPORT, JAMES	PUT CELG 03/16/18 92	1,000.00	50.00	90.0	0.00	45.00	30.50	14.50
03/12/2018 #	DAVENPORT, JAMES	PUT GS 03/16/18 267.50	750.00	50.00	90.0	0.00	45.00	24.25	20.75
03/12/2018 #	UST, THE PERKINS FAM	PUT MU 03/16/18 59.50	1,180.00	50.00	90.0	0.00	45.00	30.50	14.50
03/13/2018 #	DAVENPORT, JAMES	CALL GS 03/16/18 270	625.00	50.00	90.0	0.00	45.00	24.25	20.75
03/14/2018 #	DAVENPORT, JAMES	CALL GS 03/16/18 272.50	750.00	75.00	90.0	0.00	67.50	30.50	37.00
03/15/2018 #	DAVENPORT, JAMES	CALL CELG 03/16/18 92.50	300.00	50.00	90.0	0.00	45.00	30.50	14.50
03/15/2018 #	DAVENPORT, JAMES	CALL GS 03/16/18 270	680.00	50.00	90.0	0.00	45.00	30.50	14.50
03/15/2018 #	DAVENPORT, JAMES	CALL GS 03/16/18 272.50	220.00	50.00	90.0	0.00	45.00	30.50	14.50
03/16/2018 #	DAVENPORT, JAMES	CALL GS 03/16/18 270	1,500.00	50.00	90.0	0.00	45.00	24.25	20.75
03/16/2018 #	UST, THE PERKINS FAM	CALL X 05/18/18 45	1,520.00	50.00	90.0	0.00	45.00	30.50	14.50
03/16/2018 #	DAVENPORT, JAMES	PUT GS 03/16/18 267.50	250.00	50.00	90.0	0.00	45.00	24.25	20.75
03/19/2018 #	DAVENPORT, JAMES	CALL CELG 03/23/18 92	500.00	50.00	90.0	0.00	45.00	30.50	14.50
03/19/2018 #	DAVENPORT, JAMES	CALL WHR 03/23/18 160	1,000.00	50.00	90.0	0.00	45.00	24.25	20.75
03/19/2018 #	DAVENPORT, JAMES	PUT CELG 03/23/18 90	1,400.00	50.00	90.0	0.00	45.00	30.50	14.50
03/20/2018 #	DAVENPORT, JAMES	CALL CELG 03/23/18 91	430.00	50.00	90.0	0.00	45.00	30.50	14.50
03/20/2018 #	DAVENPORT, JAMES	CALL WHR 03/23/18 160	300.00	50.00	90.0	0.00	45.00	24.25	20.75
03/22/2018 #	DAVENPORT, JAMES	CALL CELG 03/23/18 87.50	520.00	50.00	90.0	0.00	45.00	30.50	14.50
03/22/2018 #	CAUDEL, CHARLES	CALL X 04/20/18 40	225.00	50.00	90.0	0.00	45.00	21.75	23.25
03/23/2018 #	DAVENPORT, JAMES	CALL CELG 03/23/18 87.50	250.00	50.00	90.0	0.00	45.00	30.50	14.50
03/26/2018 #	SIMPSON, TOMMY	PUT TSLA 06/15/18 250	4,608.00	40.00	90.0	0.00	36.00	24.25	11.75
03/27/2018 #	DAVENPORT, JAMES	PUT CELG 03/29/18 87	750.00	50.00	90.0	0.00	45.00	30.50	14.50

COMMISSION STATEMENT for STEVE DAVENPORT

					INVESTMENT	GROSS				NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT			AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION	
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
03/27/2018 #	DAVENPORT, JAMES	PUT	WHR	03/29/18	152.50	1,700.00	50.00	90.0	0.00	45.00	30.50	14.50
03/28/2018 #	DAVENPORT, JAMES	CALL	CELG	03/29/18	88	400.00	50.00	90.0	0.00	45.00	30.50	14.50
03/28/2018 #	DAVENPORT, JAMES	CALL	WHR	03/29/18	152.50	650.00	50.00	90.0	0.00	45.00	30.50	14.50
* OPTIONS SUBTOTAL *					\$57,182.00	\$1,895.00	\$0.00			\$1,705.50	\$1,086.75	\$618.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#00952792958	MERCER, DAVID	CAPITAL INCOME BUILDER FD CL A	0.00	8.49	90.0	0.00	7.64	0.00	7.64
03/01/2018	#00977327337	MERCER, DAVID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.61	90.0	0.00	5.05	0.00	5.05
03/01/2018	#00085657915	CHILDRESS,	GROWTH FUND AMERICA INC CLASS A	0.00	0.64	90.0	0.00	0.58	0.00	0.58
03/01/2018	#00058670847	MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	3.88	90.0	0.00	3.49	0.00	3.49
03/01/2018	#00058670848	MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	37.71	90.0	0.00	33.94	0.00	33.94
03/01/2018	#00067060664	MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	11.03	90.0	0.00	9.93	0.00	9.93
03/01/2018	#00067060665	MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	11.03	90.0	0.00	9.93	0.00	9.93
03/01/2018	#00935794930	MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	28.10	90.0	0.00	25.29	0.00	25.29
03/01/2018	#00935797570	MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	0.19	90.0	0.00	0.17	0.00	0.17
03/09/2018	#	ANDERSON, JOHN	DAIN TRAILER	0.00	5.20	90.0	0.00	4.68	0.00	4.68
03/09/2018	#	ANDERSON, JOHN	DAIN TRAILER	0.00	4.74	90.0	0.00	4.27	0.00	4.27
03/09/2018	#	ANDERSON, RUTH	DAIN TRAILER	0.00	6.32	90.0	0.00	5.69	0.00	5.69
03/09/2018	#	ANDERSON, RUTH	DAIN TRAILER	0.00	5.75	90.0	0.00	5.18	0.00	5.18
03/09/2018	#	ANDERSON, RUTH	DAIN TRAILER	0.00	8.13	90.0	0.00	7.32	0.00	7.32
03/09/2018	#	ANDERSON, JOHN	DAIN TRAILER	0.00	2.54	90.0	0.00	2.29	0.00	2.29
03/09/2018	#	ANDERSON, JOHN	DAIN TRAILER	0.00	3.35	90.0	0.00	3.02	0.00	3.02
03/09/2018	#	ANDERSON, JOHN	DAIN TRAILER	0.00	4.01	90.0	0.00	3.61	0.00	3.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$146.72		\$0.00	\$132.08	\$0.00	\$132.08
*** BROKER TRANSACTIONS TOTAL ***				\$2,161,398.03	\$3,591.72		\$0.00	\$3,232.58	\$1,608.75	\$1,623.83

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
04/20/2018	QUOTES: QUOTES	-132.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
	*** ADJUSTMENTS TOTAL ***	<u>\$-916.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,623.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-916.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$707.83
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