

COMMISSION STATEMENT

January 15, 2018

DEBBIE MUEGGENBORG-D046

BROKER #: D046

BRANCH: DMB

, TX

COMMISSION STATEMENT for DEBBIE MUEGGENBORG-D046

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | |
|------------------------|------------------|----|--------------------------|----------------------------------|-------------------|-----------------|---------------|-----------------|------|-----------------|
| 12/31/2017 (None) | BRAUN, JENNIFER | MF | JOHN HANCOCK FREEDOM | B | 0.00 | 3.72 | 0.00 | 3.72 | 90.0 | 3.35 |
| 01/02/2018 (None) | KETCHER, RACHEL | MF | FIDELITY ADVISOR FDS | B | 0.00 | 2.14 | 0.00 | 2.14 | 90.0 | 1.93 |
| 01/02/2018 04000703594 | FAULKNER, LESLIE | MF | AMERICAN BALANCED FUND-A | B | 5,500.00 | 275.00 | 0.00 | 275.00 | 90.0 | 247.50 |
| | | | | * MUTUAL FUNDS SUBTOTAL * | \$5,500.00 | \$280.86 | \$0.00 | \$280.86 | | \$252.78 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | | |
|-------------------|----------------------|-----|----------------------|---|-------------------|-----------------|---------------|-----------------|------|-----------------|
| 01/08/2018 (None) | VARIOUS, MUEGGENBORG | MFT | FIDELITY ADVISOR FDS | B | 0.00 | 35.18 | 0.00 | 35.18 | 90.0 | 31.66 |
| | | | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$35.18 | \$0.00 | \$35.18 | | \$31.66 |
| | | | | *** DIRECT TRANSACTIONS TOTAL *** | \$5,500.00 | \$316.04 | \$0.00 | \$316.04 | | \$284.44 |

REP LINK TRANSACTIONS
BROKER: DEBBIE MUEGGENBORG-D146 #43

| | | | | | | | | | | |
|------------------------|----------------------|-----|--------------------------|---|-------------------|-----------------|---------------|-----------------|--|-----------------|
| 01/02/2018 04000704685 | FAULKNER, DONALD | MF | AMERICAN BALANCED FUND-A | B | 5,500.00 | 275.00 | 0.00 | 275.00 | | 247.50 |
| 01/08/2018 (None) | VARIOUS, MUEGGENBORG | MFT | FIDELITY ADVISOR FDS | B | 0.00 | 3.71 | 0.00 | 3.71 | | 3.34 |
| | | | | * DEBBIE MUEGGENBORG-D146 SUBTOTAL * | \$5,500.00 | \$278.71 | \$0.00 | \$278.71 | | \$250.84 |
| | | | | *** REP LINK TRANSACTIONS TOTAL *** | \$5,500.00 | \$278.71 | \$0.00 | \$278.71 | | \$250.84 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$535.28 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|-------------------|
| Net Commissions | \$535.28 |
| Year-to-date Commissions | \$1,717.45 |