



All Companies

05/14/2018  
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# COMMISSION STATEMENT May 15, 2018

BRIAN VAN MATTSON  
1020 HIDDEN VALLEY CT.

BROKER #: 9116  
BRANCH: HOME OFFICE

McGregor, TX 76657-

## COMMISSION STATEMENT for BRIAN VAN MATTSON

| TRADE DATE                            | CLIENT                         | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|--------------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>     |                                |                                       |     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS</b> |                                |                                       |     |                      |                     |                    |                   |      |                      |
| 04/30/2018                            | TRAILS                         | -JOHN HANCOCK FREEDOM 529             | B   | 31.52                | 31.52               | 0.00               | 31.52             | 90.0 | 28.37                |
| 05/04/2018                            | 00007218289 OWENS, DOROTHY     | THE HARTFORD DIVIDEND & GROWTH FUND B |     | 100.00               | 4.75                | 0.00               | 4.75              | 90.0 | 4.28                 |
| 04/27/2018                            | 00007230661 HESTON, JIM        | THE HARTFORD CAPITAL APPRECIATION F B |     | 166.66               | 6.67                | 0.00               | 6.67              | 90.0 | 6.00                 |
| 05/02/2018                            | 00062023436 OWENS, WILLIAM     | AMERICAN FUNDS NEW ECONOMY FD         | B   | 100.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
| 05/01/2018                            | 00068810835 PENA, JULIE C.     | THE BOND FUND OF AMERICA-529A         | B   | 50.00                | 1.50                | 0.00               | 1.50              | 90.0 | 1.35                 |
| 05/01/2018                            | 00068810837 PENA, JULIE C.     | AMERICAN FUNDS INVESTMENT COMP OF A B |     | 50.00                | 2.50                | 0.00               | 2.50              | 90.0 | 2.25                 |
| 04/23/2018                            | 00081487492 DEVORSKY, SUZIE R  | CAPITAL INCOME BUILDER-C              | B   | 100.00               | 1.00                | 0.00               | 1.00              | 90.0 | 0.90                 |
| 04/23/2018                            | 00083728788 LACY, TANYA        | AMERICAN BALANCED FUND-C              | B   | 250.00               | 2.50                | 0.00               | 2.50              | 90.0 | 2.25                 |
| 04/23/2018                            | 00083728788 LACY, TANYA        | CAPITAL INCOME BUILDER-C              | B   | 250.00               | 2.50                | 0.00               | 2.50              | 90.0 | 2.25                 |
| 05/01/2018                            | 00085911713 NEMEC, ERIC        | AMCAP FUND-529A                       | B   | 100.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
| 05/01/2018                            | 00085911713 NEMEC, ERIC        | AMERICAN BALANCED FUND-529A           | B   | 150.00               | 3.00                | 0.00               | 3.00              | 90.0 | 2.70                 |
| 05/01/2018                            | 00085911713 NEMEC, ERIC        | THE GROWTH FUND OF AMERICA-529A       | B   | 100.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
| 05/01/2018                            | 00085911713 NEMEC, ERIC        | THE INCOME FUND OF AMERICA-529A       | B   | 150.00               | 3.00                | 0.00               | 3.00              | 90.0 | 2.70                 |
| 05/03/2018                            | 00086066788 CA/TOD             | THE INVESTMENT COMPANY OF AMERICA-C B |     | 50.00                | 0.50                | 0.00               | 0.50              | 90.0 | 0.45                 |
| 04/23/2018                            | 00087392486 HANCOCK, TERRY     | CAPITAL INCOME BUILDER-529C           | B   | 1,000.00             | 10.00               | 0.00               | 10.00             | 90.0 | 9.00                 |
| 04/23/2018                            | 00087392510 HANCOCK, TERRY     | AMERICAN HIGH-INCOME TRUST-529C       | B   | 1,000.00             | 10.00               | 0.00               | 10.00             | 90.0 | 9.00                 |
| 05/01/2018                            | 00087882822 CALLEN, JULIE      | CAPITAL WORLD GROWTH AND INCOME-529 B |     | 200.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
|                                       | MASSINGIL                      |                                       |     |                      |                     |                    |                   |      |                      |
| 05/01/2018                            | 00087882822 CALLEN, JULIE      | THE GROWTH FUND OF AMERICA-529C       | B   | 200.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
|                                       | MASSINGIL                      |                                       |     |                      |                     |                    |                   |      |                      |
| 05/01/2018                            | 00087889028 HOWELL, MICHAEL    | AMERICAN BALANCED FUND-C              | B   | 80.00                | 0.80                | 0.00               | 0.80              | 90.0 | 0.72                 |
| 05/01/2018                            | 00087889028 HOWELL, MICHAEL    | CAPITAL INCOME BUILDER-C              | B   | 90.00                | 0.90                | 0.00               | 0.90              | 90.0 | 0.81                 |
| 05/01/2018                            | 00087889028 HOWELL, MICHAEL    | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 80.00                | 0.80                | 0.00               | 0.80              | 90.0 | 0.72                 |
| 05/01/2018                            | 00088435772 ARRENDELL, LANE R  | THE INVESTMENT COMPANY OF AMERICA-5 B |     | 100.00               | 1.00                | 0.00               | 1.00              | 90.0 | 0.90                 |
| 05/01/2018                            | 00089544673 ARRENDELL, LANE R  | THE INVESTMENT COMPANY OF AMERICA-5 B |     | 100.00               | 1.00                | 0.00               | 1.00              | 90.0 | 0.90                 |
| 04/30/2018                            | 00089909217 KOCHMAN, ANDREW B. | AMERICAN BALANCED FUND-C              | B   | 100.00               | 1.00                | 0.00               | 1.00              | 90.0 | 0.90                 |
| 04/23/2018                            | 04000127021 RITCHEY, JOHN W.   | THE INVESTMENT COMPANY OF AMERICA-C B |     | 200.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
| 04/27/2018                            | 04000594082 DAVIS, CHRISTOPHER | AMERICAN BALANCED FUND-C              | B   | 200.00               | 2.00                | 0.00               | 2.00              | 90.0 | 1.80                 |
| 04/27/2018                            | 04000605805 COLLINS, BIANCA    | AMERICAN BALANCED FUND-C              | B   | 100.00               | 1.00                | 0.00               | 1.00              | 90.0 | 0.90                 |
| * MUTUAL FUNDS SUBTOTAL *             |                                |                                       |     | \$5,098.18           | \$99.94             | \$0.00             | \$99.94           |      | \$89.95              |

COMMISSION STATEMENT for BRIAN VAN MATTSON

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

|                                      |                               |            |          |        |          |      |          |
|--------------------------------------|-------------------------------|------------|----------|--------|----------|------|----------|
| 05/15/2018 TRAIL COMMISSIONS         | HARTFORD MUTUAL FUNDS FEES    | 0.00       | 196.72   | 0.00   | 196.72   | 90.0 | 177.10   |
| 05/15/2018 TRAIL COMMISSIONS         | FRANKLIN TEMPLETON GROUP FEES | 0.00       | 32.79    | 0.00   | 32.79    | 90.0 | 29.52    |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * |                               | \$0.00     | \$229.51 | \$0.00 | \$229.51 |      | \$206.62 |
| *** BROKER TRANSACTIONS TOTAL ***    |                               | \$5,098.18 | \$329.45 | \$0.00 | \$329.45 |      | \$296.57 |

ADJUSTMENTS

|                           |                                  |        |        |        |        |          |
|---------------------------|----------------------------------|--------|--------|--------|--------|----------|
| 05/15/2018                | CONTINUING ED: 2018 FIRM ELEMENT | 0.00   | 0.00   | 0.00   | 0.00   | -45.00   |
| 05/15/2018                | TECH: MONTHLY TECH SERVICES      | 0.00   | 0.00   | 0.00   | 0.00   | -7.50    |
| *** ADJUSTMENTS TOTAL *** |                                  | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-52.50 |

BROKER COMMISSION TOTALS

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$296.57 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$-52.50 |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$244.07    |
| Year-to-date Earnings | \$18,895.57 |