

**COMMISSION STATEMENT****July 31, 2017**

ALAN GEIER
56 Dutchess Ave.
Apt. 1
Poughkeepsie, NY 12601

BROKER #: G122
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	KLEIN, NINA	TD AMERITRADE	0.00	717.11	0.00	717.11	80.0	573.69
07/18/2017	KLEIN, NINA	TD AMERITRADE	0.00	131.28	0.00	131.28	80.0	105.02
07/18/2017	KLEIN, NINA	TD AMERITRADE	0.00	1,653.52	0.00	1,653.52	80.0	1,322.82
* RIA SUBTOTAL *			\$0.00	\$2,501.91	\$0.00	\$2,501.91		\$2,001.53
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2,501.91	\$0.00	\$2,501.91		\$2,001.53
<u>ADJUSTMENTS</u>								
07/31/2017		*MANAGED FEE EXPENSE: ACCT MINIMUM	0.00					-14.06
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-14.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,001.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-14.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,987.47
Year-to-date Gross	\$6,922.14
Year-to-date Earnings	\$5,537.71