

## COMMISSION STATEMENT

March 31, 2018

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: 56

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	S	3,131.10	5.40	0.00	5.40	80.0	4.32
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	B	-3,131.10	-5.40	0.00	-5.40	80.0	-4.32 C
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	S	18,635.00	32.14	0.00	32.14	80.0	25.71
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	B	-18,635.00	-32.14	0.00	-32.14	80.0	-25.71 C
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	S	239,130.74	412.46	0.00	412.46	80.0	329.97
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	B	-239,131.00	-412.46	0.00	-412.46	80.0	-329.97 C
03/16/2018	75500211 KATHRYN KILGORE	AT&T INC	S	260,896.84	450.00	0.00	450.00	80.0	360.00
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	6,974.00	10.50	0.00	10.50	80.0	8.40
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-6,974.00	-10.50	0.00	-10.50	80.0	-8.40 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	767.14	1.16	0.00	1.16	80.0	0.93
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-767.14	-1.16	0.00	-1.16	80.0	-0.93 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	4,254.14	6.41	0.00	6.41	80.0	5.13
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-4,254.14	-6.41	0.00	-6.41	80.0	-5.13 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	6,974.00	10.50	0.00	10.50	80.0	8.40
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-6,974.00	-10.50	0.00	-10.50	80.0	-8.40 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	1,115.84	1.68	0.00	1.68	80.0	1.34
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-1,115.84	-1.68	0.00	-1.68	80.0	-1.34 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	6,974.00	10.50	0.00	10.50	80.0	8.40
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-6,974.00	-10.50	0.00	-10.50	80.0	-8.40 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	7,810.32	11.76	0.00	11.76	80.0	9.41
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-7,810.32	-11.76	0.00	-11.76	80.0	-9.41 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	7,810.04	11.76	0.00	11.76	80.0	9.41
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-7,810.04	-11.76	0.00	-11.76	80.0	-9.41 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	7,809.98	11.76	0.00	11.76	80.0	9.41
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-7,809.98	-11.76	0.00	-11.76	80.0	-9.41 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	7,809.98	11.75	0.00	11.75	80.0	9.40
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-7,809.98	-11.75	0.00	-11.75	80.0	-9.40 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	7,809.98	11.76	0.00	11.76	80.0	9.41
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-7,809.98	-11.76	0.00	-11.76	80.0	-9.41 C
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	S	7,809.98	11.76	0.00	11.76	80.0	9.41
03/16/2018	75500211 KATHRYN KILGORE	COLGATE PALMOLIVE COMPANY	B	-7,809.98	-11.76	0.00	-11.76	80.0	-9.41 C

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/16/2018	75500211	KATHRYN KILGORE							
		COLGATE PALMOLIVE COMPANY	S	2,789.28	4.20	0.00	4.20	80.0	3.36
03/16/2018	75500211	KATHRYN KILGORE	B	-2,789.28	-4.20	0.00	-4.20	80.0	-3.36 C
03/16/2018	75500211	KATHRYN KILGORE	S	62,757.90	94.50	0.00	94.50	80.0	75.60
03/16/2018	75500211	KATHRYN KILGORE	B	-62,757.90	-94.50	0.00	-94.50	80.0	-75.60 C
03/16/2018	75500211	KATHRYN KILGORE	S	139,466.60	210.00	0.00	210.00	80.0	168.00
03/16/2018	75500211	KATHRYN KILGORE	S	85,104.00	210.00	0.00	210.00	80.0	168.00
02/28/2018	75500237	POMFRET SCHOOL	S	875.19	40.00	0.00	40.00	80.0	32.00
03/16/2018	75500237	POMFRET SCHOOL	S	100,159.75	85.00	0.00	85.00	80.0	68.00
03/22/2018	75500237	POMFRET SCHOOL	S	8,623.79	59.28	0.00	59.28	80.0	47.42
03/21/2018	75500255	HOCHBERGER, ELLEN	S	2,217.60	35.00	0.00	35.00	80.0	28.00
03/21/2018	75500256	HOCHBERGER, ELLEN	S	1,411.20	35.00	0.00	35.00	80.0	28.00
03/21/2018	75500257	HOCHBERGER, ELLEN	S	403.20	35.00	0.00	35.00	80.0	28.00
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	1,620.60	12.60	0.00	12.60	80.0	10.08
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-1,620.60	-12.60	0.00	-12.60	80.0	-10.08 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	131.40	1.02	0.00	1.02	80.0	0.82
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-131.40	-1.02	0.00	-1.02	80.0	-0.82 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	365.00	2.84	0.00	2.84	80.0	2.27
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-365.00	-2.84	0.00	-2.84	80.0	-2.27 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	10,117.80	78.68	0.00	78.68	80.0	62.94
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-10,117.80	-78.68	0.00	-78.68	80.0	-62.94 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	2,555.00	19.87	0.00	19.87	80.0	15.90
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-2,555.00	-19.87	0.00	-19.87	80.0	-15.90 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	18,615.00	144.75	0.00	144.75	80.0	115.80
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	146.00	1.14	0.00	1.14	80.0	0.91
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-146.00	-1.14	0.00	-1.14	80.0	-0.91 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	3,679.20	28.60	0.00	28.60	80.0	22.88
03/06/2018	75500616	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-3,679.20	-28.60	0.00	-28.60	80.0	-22.88 C
03/06/2018	75500616	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	18,246.00	75.00	0.00	75.00	80.0	60.00
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	4,482.20	34.85	0.00	34.85	80.0	27.88
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-4,482.20	-34.85	0.00	-34.85	80.0	-27.88 C
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	438.00	3.41	0.00	3.41	80.0	2.73
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-438.00	-3.41	0.00	-3.41	80.0	-2.73 C
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	8,103.00	63.01	0.00	63.01	80.0	50.41
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-8,103.00	-63.01	0.00	-63.01	80.0	-50.41 C
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	18,615.00	144.75	0.00	144.75	80.0	115.80
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	5,591.80	43.48	0.00	43.48	80.0	34.78
03/06/2018	75500617	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-5,591.80	-43.48	0.00	-43.48	80.0	-34.78 C
03/06/2018	75500617	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	16,786.32	69.00	0.00	69.00	80.0	55.20
03/06/2018	75500617	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-16,786.30	-69.00	0.00	-69.00	80.0	-55.20 C
03/06/2018	75500617	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	18,246.00	75.00	0.00	75.00	80.0	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/06/2018	75500617	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	1,459.68	6.00	0.00	6.00	80.0	4.80
03/06/2018	75500617	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-1,459.68	-6.00	0.00	-6.00	80.0	-4.80 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	3,372.60	26.23	0.00	26.23	80.0	20.98
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-3,372.60	-26.23	0.00	-26.23	80.0	-20.98 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	4,526.00	35.19	0.00	35.19	80.0	28.15
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-4,526.00	-35.19	0.00	-35.19	80.0	-28.15 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	18,615.00	144.75	0.00	144.75	80.0	115.80
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	2,817.80	21.91	0.00	21.91	80.0	17.53
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-2,817.80	-21.91	0.00	-21.91	80.0	-17.53 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	4,380.00	34.06	0.00	34.06	80.0	27.25
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-4,380.00	-34.06	0.00	-34.06	80.0	-27.25 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	438.00	3.41	0.00	3.41	80.0	2.73
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-438.00	-3.41	0.00	-3.41	80.0	-2.73 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	1,460.00	11.35	0.00	11.35	80.0	9.08
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-1,460.00	-11.35	0.00	-11.35	80.0	-9.08 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	1,460.00	11.35	0.00	11.35	80.0	9.08
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-1,460.00	-11.35	0.00	-11.35	80.0	-9.08 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	160.60	1.25	0.00	1.25	80.0	1.00
03/06/2018	75500618	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-160.60	-1.25	0.00	-1.25	80.0	-1.00 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	364.92	1.50	0.00	1.50	80.0	1.20
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-364.92	-1.50	0.00	-1.50	80.0	-1.20 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	1,094.76	4.50	0.00	4.50	80.0	3.60
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-1,094.76	-4.50	0.00	-4.50	80.0	-3.60 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	18,246.00	75.00	0.00	75.00	80.0	60.00
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	182.46	0.75	0.00	0.75	80.0	0.60
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-182.46	-0.75	0.00	-0.75	80.0	-0.60 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	3,649.20	15.00	0.00	15.00	80.0	12.00
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-3,649.20	-15.00	0.00	-15.00	80.0	-12.00 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	182.46	0.75	0.00	0.75	80.0	0.60
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-182.46	-0.75	0.00	-0.75	80.0	-0.60 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	5,291.34	21.75	0.00	21.75	80.0	17.40
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-5,291.34	-21.75	0.00	-21.75	80.0	-17.40 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	1,277.22	5.25	0.00	5.25	80.0	4.20
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-1,277.22	-5.25	0.00	-5.25	80.0	-4.20 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	364.92	1.50	0.00	1.50	80.0	1.20
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-364.92	-1.50	0.00	-1.50	80.0	-1.20 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	547.38	2.25	0.00	2.25	80.0	1.80
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-547.38	-2.25	0.00	-2.25	80.0	-1.80 C
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	2,371.98	9.75	0.00	9.75	80.0	7.80
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-2,371.98	-9.75	0.00	-9.75	80.0	-7.80 C

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	2,919.36	12.00	0.00	12.00	80.0	9.60
03/06/2018	75500618	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-2,919.36	-12.00	0.00	-12.00	80.0	-9.60 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	365.00	2.84	0.00	2.84	80.0	2.27
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-365.00	-2.84	0.00	-2.84	80.0	-2.27 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	18,615.00	144.75	0.00	144.75	80.0	115.80
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	14,716.80	114.44	0.00	114.44	80.0	91.55
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-14,716.80	-114.44	0.00	-114.44	80.0	-91.55 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	43.80	0.34	0.00	0.34	80.0	0.27
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-43.80	-0.34	0.00	-0.34	80.0	-0.27 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	S	3,489.40	27.13	0.00	27.13	80.0	21.70
03/06/2018	75500619	WADSWORTH 1998 FAMILY GENERAL ELECTRIC CO	B	-3,489.40	-27.13	0.00	-27.13	80.0	-21.70 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	5,108.88	21.00	0.00	21.00	80.0	16.80
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-5,108.88	-21.00	0.00	-21.00	80.0	-16.80 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	18,246.00	75.00	0.00	75.00	80.0	60.00
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	364.92	1.50	0.00	1.50	80.0	1.20
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-364.92	-1.50	0.00	-1.50	80.0	-1.20 C
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	B	12,772.20	52.50	0.00	52.50	80.0	42.00
03/06/2018	75500619	WADSWORTH 1998 FAMILY ROCKWELL AUTOMATION INC	S	-12,772.20	-52.50	0.00	-52.50	80.0	-42.00 C
02/28/2018	75501322	GILBERTSON, JAY INTERPUBLIC GRP CO INC	S	402.80	0.00	0.00	0.00	80.0	0.00
02/28/2018	75501322	GILBERTSON, JAY INTERPUBLIC GRP CO INC	S	947.76	0.00	0.00	0.00	80.0	0.00
02/28/2018	75501322	GILBERTSON, JAY INTERPUBLIC GRP CO INC	S	1,374.25	0.00	0.00	0.00	80.0	0.00
02/28/2018	75501322	GILBERTSON, JAY INTERPUBLIC GRP CO INC	S	1,397.94	0.00	0.00	0.00	80.0	0.00
02/28/2018	75501322	GILBERTSON, JAY TEXAS INSTRUMENTS INCORPORATED	B	4,598.35	0.00	0.00	0.00	80.0	0.00
03/01/2018	75501322	GILBERTSON, JAY GARTNER INC	B	3,757.09	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501322	GILBERTSON, JAY ABBVIE INC	S	803.83	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501322	GILBERTSON, JAY ABBVIE INC	S	803.83	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501322	GILBERTSON, JAY EXXON MOBIL CORPORATION	B	1,054.28	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501322	GILBERTSON, JAY PUBLIC SERVICE ENTERPRISE GROUP INC	B	331.70	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501322	GILBERTSON, JAY UNITED PARCEL SERVICE INC CL B	B	420.55	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501322	GILBERTSON, JAY VENTAS INC	B	497.52	0.00	0.00	0.00	80.0	0.00
03/05/2018	75501322	GILBERTSON, JAY MONSTER BEVERAGE CORPORATION NEW	B	616.16	0.00	0.00	0.00	80.0	0.00
03/09/2018	75501322	GILBERTSON, JAY CBRE GROUP INC CL A	S	807.35	0.00	0.00	0.00	80.0	0.00
03/09/2018	75501322	GILBERTSON, JAY DOLLAR TREE INC	B	645.71	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY CSRA INC COM	S	732.06	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY CSRA INC COM	S	1,016.75	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY CSRA INC COM	S	1,098.09	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY CSRA INC COM	S	1,423.45	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY GRUBHUB INC COM	S	999.95	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY TRIPADVISOR INC COM	B	1,314.30	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322	GILBERTSON, JAY ULTA SALON COSMETICS & FRAGRANCE IN	B	1,105.80	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/16/2018	75501322 GILBERTSON, JAY	ULTIMATE SOFTWARE GROUP INC	B	997.93	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322 GILBERTSON, JAY	VEEVA SYSTEMS INC CL A COM	B	617.56	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322 GILBERTSON, JAY	WAGeworks INC	S	184.24	0.00	0.00	0.00	80.0	0.00
03/16/2018	75501322 GILBERTSON, JAY	WAGeworks INC	S	460.61	0.00	0.00	0.00	80.0	0.00
03/19/2018	75501322 GILBERTSON, JAY	DOLBY LABORATORIES INC CL A	B	728.23	0.00	0.00	0.00	80.0	0.00
03/20/2018	75501322 GILBERTSON, JAY	CARTER'S INC	B	766.19	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	ABBVIE INC	S	493.11	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	ABBVIE INC	S	690.35	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	BP P L C SPONSORED ADR (FRM BP AMOC B		903.38	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	CANADIAN IMPERIAL BANK OF COMMERCE B		630.55	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	KRAFT HEINZ COMPANY (THE) COMMON ST S		183.76	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	KRAFT HEINZ COMPANY (THE) COMMON ST S		367.51	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	KRAFT HEINZ COMPANY (THE) COMMON ST S		1,041.29	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	KRAFT HEINZ COMPANY (THE) COMMON ST S		1,102.54	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	MEDIDATA SOLUTIONS INC	S	597.20	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501322 GILBERTSON, JAY	MEDIDATA SOLUTIONS INC	S	1,393.47	0.00	0.00	0.00	80.0	0.00
03/23/2018	75501322 GILBERTSON, JAY	BANKUNITED INC	S	594.06	0.00	0.00	0.00	80.0	0.00
03/26/2018	75501322 GILBERTSON, JAY	LEGG MASON INC	B	1,319.80	0.00	0.00	0.00	80.0	0.00
03/26/2018	75501322 GILBERTSON, JAY	PRESTIGE BRANDS HLDGS INC	B	1,004.99	0.00	0.00	0.00	80.0	0.00
03/26/2018	75501322 GILBERTSON, JAY	TEXAS INSTRUMENTS INCORPORATED	B	421.18	0.00	0.00	0.00	80.0	0.00
03/01/2018	75501761 WRIGHTON, BRYAN	GARTNER INC	B	1,480.06	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501761 WRIGHTON, BRYAN	ABBVIE INC	S	574.17	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501761 WRIGHTON, BRYAN	ASTRAZENECA PLC EACH ADS REPRESENTI S		795.98	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501761 WRIGHTON, BRYAN	EXXON MOBIL CORPORATION	B	75.31	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501761 WRIGHTON, BRYAN	PUBLIC SERVICE ENTERPRISE GROUP INC B		189.54	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501761 WRIGHTON, BRYAN	UNITED PARCEL SERVICE INC CL B	B	420.55	0.00	0.00	0.00	80.0	0.00
03/02/2018	75501761 WRIGHTON, BRYAN	VENTAS INC	B	199.01	0.00	0.00	0.00	80.0	0.00
03/06/2018	75501761 WRIGHTON, BRYAN	VOCERA COMMUNICATIONS INC	B	290.02	0.00	0.00	0.00	80.0	0.00
03/06/2018	75501761 WRIGHTON, BRYAN	WAGeworks INC	B	183.35	0.00	0.00	0.00	80.0	0.00
03/13/2018	75501761 WRIGHTON, BRYAN	SITEONE LANDSCAPE SUPPLY INC COM	S	817.56	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501761 WRIGHTON, BRYAN	BP P L C SPONSORED ADR (FRM BP AMOC B		117.83	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501761 WRIGHTON, BRYAN	CANADIAN IMPERIAL BANK OF COMMERCE B		360.31	0.00	0.00	0.00	80.0	0.00
03/22/2018	75501761 WRIGHTON, BRYAN	FOX FACTORY HOLDING CORP COM	B	222.90	0.00	0.00	0.00	80.0	0.00
03/16/2018	QGN005019 LILLY FDN, LILA	HALLADOR ENERGY COMPANY COM	S	-13,069.40	210.00	0.00	210.00	80.0	168.00
03/16/2018	QGN005019 LILLY FDN, LILA	SPECTRA ENERGY PARTNERS LP	B	18,309.55	90.00	0.00	90.00	80.0	72.00
03/01/2018	QGN005027 GOLDSMITH, ELY &	MACQUARIE INFRASTRUCTURE CORPORATIO B		73,043.82	192.00	0.00	192.00	80.0	153.60
03/01/2018	QGN005027 GOLDSMITH, ELY &	METLIFE INC PFD SER A FLTG RATE CPN S		-75,017.10	300.00	0.00	300.00	80.0	240.00
03/05/2018	QGN005043 HEALY TR DTD	COWEN INC PFD QTRLY CPN 7.35% CALL B		50,739.80	210.00	0.00	210.00	80.0	168.00
03/05/2018	QGN005043 HEALY TR DTD	GOLDMAN SACHS GROUP INC DEP SHS RES S		-22,725.00	120.00	0.00	120.00	80.0	96.00
03/16/2018	QGN005043 HEALY TR DTD	HALLADOR ENERGY COMPANY COM	S	-39,208.20	360.00	0.00	360.00	80.0	288.00

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/16/2018	QGN005043	HEALY TR DTD		SPECTRA ENERGY PARTNERS LP	B	36,619.10	120.00	0.00	120.00 80.0 96.00
03/16/2018	QGN005043	HEALY TR DTD		SPECTRA ENERGY PARTNERS LP	B	-36,619.10	-120.00	0.00	-120.00 80.0 -96.00 C
03/16/2018	QGN005043	HEALY TR DTD		SPECTRA ENERGY PARTNERS LP	B	36,619.10	120.00	0.00	120.00 80.0 96.00
03/16/2018	QGN005076	BRENNAN, LUCY		HALLADOR ENERGY COMPANY COM	S	-39,208.20	360.00	0.00	360.00 80.0 288.00
03/16/2018	QGN005076	BRENNAN, LUCY		SPECTRA ENERGY PARTNERS LP	B	36,619.10	120.00	0.00	120.00 80.0 96.00
03/16/2018	QGN005076	BRENNAN, LUCY		SPECTRA ENERGY PARTNERS LP	B	-36,619.10	-120.00	0.00	-120.00 80.0 -96.00 C
03/16/2018	QGN005076	BRENNAN, LUCY		SPECTRA ENERGY PARTNERS LP	B	36,619.10	120.00	0.00	120.00 80.0 96.00
03/05/2018	QGN005092	FIDUCIARY TRUST CO		MACQUARIE INFRASTRUCTURE CORPORATIO B		19,416.85	90.00	0.00	90.00 80.0 72.00
03/26/2018	QGN005092	FIDUCIARY TRUST CO		GOLDMAN SACHS GROUP INC	S	-25,183.00	42.00	0.00	42.00 80.0 33.60
03/26/2018	QGN005092	FIDUCIARY TRUST CO		HALLADOR ENERGY COMPANY COM	S	-6,810.00	120.00	0.00	120.00 80.0 96.00
03/26/2018	QGN005092	FIDUCIARY TRUST CO		MICROSOFT CORP	S	-18,396.68	54.00	0.00	54.00 80.0 43.20
03/16/2018	QGN005118	BRENNAN, LUCY		HALLADOR ENERGY COMPANY COM	S	-52,277.60	480.00	0.00	480.00 80.0 384.00
03/16/2018	QGN005118	BRENNAN, LUCY		SPECTRA ENERGY PARTNERS LP	B	73,238.20	210.00	0.00	210.00 80.0 168.00
03/26/2018	QGN005464	RF LAFFERTY & CO		APTIV PLC SHS COM	S	-151,178.22	192.00	0.00	192.00 80.0 153.60
03/26/2018	QGN005464	RF LAFFERTY & CO		DANAHER CORPORATION	S	-404,928.37	403.50	0.00	403.50 80.0 322.80
03/16/2018	QGN005548	HENRY L THOMPSON		DOMINION RESOURCES INC VA NEW	B	21,077.52	35.00	0.00	35.00 80.0 28.00
03/16/2018	QGN005555	HENRY L THOMPSON		DOMINION RESOURCES INC VA NEW	B	21,077.52	35.00	0.00	35.00 80.0 28.00
03/22/2018	QGN005555	HENRY L THOMPSON		DOMINION RESOURCES INC VA NEW	B	253,283.13	185.00	0.00	185.00 80.0 148.00
03/22/2018	QGN005555	HENRY L THOMPSON		DUKE ENERGY CORPORATION HOLDING COM S		-254,878.80	165.00	0.00	165.00 80.0 132.00
03/15/2018	QGN005753	PROCTOR, JOHN		HUBBELL INC COM	S	-25,313.58	54.00	0.00	54.00 80.0 43.20
03/16/2018	QGN006009	THOMPSON, MARY		DOMINION RESOURCES INC VA NEW	B	49,180.88	35.00	0.00	35.00 80.0 28.00
03/22/2018	QGN006009	THOMPSON, MARY		DOMINION RESOURCES INC VA NEW	B	68,454.90	50.00	0.00	50.00 80.0 40.00
03/22/2018	QGN006009	THOMPSON, MARY		DUKE ENERGY CORPORATION HOLDING COM S		-77,236.00	50.00	0.00	50.00 80.0 40.00
03/21/2018	QGN006298	WYNN, KEVYN		WYNN RESORTS LTD	S	-262,461.54	50.00	0.00	50.00 80.0 40.00
03/21/2018	QGN006322	WYNN, KEVYN		WYNN RESORTS LTD	S	-3,249.18	20.00	0.00	20.00 80.0 16.00
03/21/2018	QGN006330	WYNN, KEVYN		WYNN RESORTS LTD	S	-3,790.71	20.00	0.00	20.00 80.0 16.00
03/21/2018	QGN006348	WYNN, KEVYN		WYNN RESORTS LTD	S	-1,624.59	20.00	0.00	20.00 80.0 16.00
03/22/2018	QGN006355	401K, JAMES		ADOBE SYSTEMS INC	B	2,015.73	20.00	0.00	20.00 80.0 16.00
03/21/2018	QGN006371	MOZAIC LLC		WYNN RESORTS LTD	S	-50,903.82	20.00	0.00	20.00 80.0 16.00
03/21/2018	QGN006389	MOZAIC LLC		WYNN RESORTS LTD	S	-50,903.82	20.00	0.00	20.00 80.0 16.00
03/21/2018	QGN006397	MOZAIC LLC		WYNN RESORTS LTD	S	-50,001.27	20.00	0.00	20.00 80.0 16.00
03/13/2018	QGN006439	BINGBANGBOOM, INC		APPLE INC	B	19,875.53	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		ISHARES 3 TO 7 YEAR TREASURY BOND E S		-4,197.90	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		PIMCO 0-5 YEAR HIGH YIELD CORPORATE S		-3,491.96	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		PIMCO INVESTMENT GRADE CORPORATE BO S		-3,256.64	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		POWERSHARES DB COMMODITY INDEX TRAC S		-581.70	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		POWERSHARES EXCHANGE-TRADED FD TR F S		-7,416.42	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		PROSHARES TR SHORT TERM USD EMERGIN S		-3,025.62	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		RYDEX ETF TR GUGGENHEIM S&P 500 PUR S		-9,600.71	20.00	0.00	20.00 80.0 16.00
03/20/2018	QGN006447	MARON, TODD		SPDR GOLD TR GOLD SHS	S	-2,112.44	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/20/2018	QGN006447	MARON, TODD		SPDR SER TR BLOOMBERG BARCLAYS INTL S	-5,536.62	20.00	0.00	20.00	16.00
03/20/2018	QGN006447	MARON, TODD		WISDOMTREE TR EMERGING MKTS SMALL C S	-5,206.09	20.00	0.00	20.00	16.00
03/20/2018	QGN006447	MARON, TODD		WISDOMTREE TR U S SMALLCAP EARNINGS S	-9,411.12	20.00	0.00	20.00	16.00
03/20/2018	QGN006447	MARON, TODD		WISDOMTREE TR U S MIDCAP EARNINGS F S	-6,034.32	20.00	0.00	20.00	16.00
03/20/2018	QGN006447	MARON, TODD		WISDOMTREE TR INTL SMALLCAP DIVID F S	-4,991.36	20.00	0.00	20.00	16.00
03/16/2018	QGW006942	FAMILY, SAC	S	APPLE INC	-660,000.00	4,500.00	0.00	4,500.00	3,600.00
03/16/2018	QGW006942	FAMILY, SAC	S	APPLE INC	-1,600,000.00	8,000.00	0.00	8,000.00	6,400.00
03/19/2018	QGW006942	FAMILY, SAC	B	APPLE INC	2,449,781.60	12,500.00	0.00	12,500.00	10,000.00
03/20/2018	QGW006942	FAMILY, SAC	B	ORACLE CORPORATION	469,888.00	5,000.00	0.00	5,000.00	4,000.00
03/08/2018	QGW035909	D'AMICO INSTITUTE OF UNION PACIFIC CORP	S		-19,260.51	47.52	0.00	47.52	38.02
				<b>* STOCKS SUBTOTAL *</b>	<b>\$483,108.73</b>	<b>\$36,838.30</b>	<b>\$0.00</b>	<b>\$36,838.30</b>	<b>\$29,470.64</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
03/09/2018	QGW031544	HEALY, TIMOTHY		PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-12,280.00	40.00	0.00	40.00	32.00
03/09/2018	QGW031544	HEALY, TIMOTHY		PUT 100 SPDR S&P 500 ETF TR TR UNIT B	13,620.00	40.00	0.00	40.00	32.00
03/23/2018	QGW031544	HEALY, TIMOTHY		PUT 100 SPDR S&P 500 ETF TR TR UNIT B	15,310.00	40.00	0.00	40.00	32.00
03/23/2018	QGW031544	HEALY, TIMOTHY		PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-19,320.00	40.00	0.00	40.00	32.00
03/13/2018	QGW031551	HEALY, TIMOTHY		PUT 100 SIGNET JEWELERS LIMITED SHS B	1,550.00	40.00	0.00	40.00	32.00
03/14/2018	QGW031551	HEALY, TIMOTHY		CALL 100 SIGNET JEWELERS LIMITED SH B	1,650.00	40.00	0.00	40.00	32.00
03/14/2018	QGW031551	HEALY, TIMOTHY		PUT 100 SIGNET JEWELERS LIMITED SHS S	-2,200.00	40.00	0.00	40.00	32.00
03/19/2018	QGW031551	HEALY, TIMOTHY		PUT 100 CARNIVAL CORP PAIRED CTF 1 B	900.00	40.00	0.00	40.00	32.00
03/21/2018	QGW031551	HEALY, TIMOTHY		CALL 100 SIGNET JEWELERS LIMITED SH S	-1,850.00	40.00	0.00	40.00	32.00
				<b>* OPTIONS SUBTOTAL *</b>	<b>\$-2,620.00</b>	<b>\$360.00</b>	<b>\$0.00</b>	<b>\$360.00</b>	<b>\$288.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/17/2018	75501322	GILBERTSON, JAY		FAS FEES PT. III/III	-2,049.86	1,268.35	0.00	1,268.35	1,014.68
01/17/2018	75501761	WRIGHTON, BRYAN		FAS FEES PT. III/III	-896.32	554.09	0.00	554.09	443.27
01/18/2018	75501761	WRIGHTON, BRYAN		FAS FEES PT. III/III	13.38	-8.27	0.00	-8.27	-6.62
01/17/2018	75502027	HACKEL, STUART		FAS FEES PT. III/III	0.00	39.64	0.00	39.64	31.71
01/17/2018	75502029	HACKEL, STUART		FAS FEES PT. III/III	0.00	44.69	0.00	44.69	35.75
01/17/2018	75502030	RYNN, SUSAN		FAS FEES PT. III/III	0.00	329.39	0.00	329.39	263.51
01/17/2018	75502031	RYNN, SUSAN		FAS FEES PT. III/III	0.00	7.09	0.00	7.09	5.67
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	36.33	0.00	0.00	0.00	0.00
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	-101.33	0.00	0.00	0.00	0.00
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	-319.68	247.75	0.00	247.75	198.20
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	6.62	0.00	0.00	0.00	0.00
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	-597.17	0.00	0.00	0.00	0.00
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	-19.23	0.00	0.00	0.00	0.00
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	30.23	0.00	0.00	0.00	0.00
01/17/2018	75502032	HACKEL, STUART		FAS FEES PT. III/III	109.28	-84.69	0.00	-84.69	-67.75

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

01/17/2018 75502032 HACKEL, STUART	FAS FEES PT. III/III	B	203.87	0.00	0.00	0.00	80.0	0.00
01/17/2018 75502032 HACKEL, STUART	FAS FEES PT. III/III	B	-87.89	0.00	0.00	0.00	80.0	0.00
* RIA SUBTOTAL *			\$-3,671.77	\$2,398.04	\$0.00	\$2,398.04		\$1,918.42
*** BROKER TRANSACTIONS TOTAL ***			\$476,816.96	\$39,596.34	\$0.00	\$39,596.34		\$31,677.06

BROKER COMMISSION TOTALS

Broker Transactions	\$31,677.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-49.50
SIPC Assessment	\$-98.99

Check Amount	\$31,528.57
Year-to-date Earnings	\$54,422.99