



COMMISSION STATEMENT

January 22, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	BANK OF AMERICA CORP UNSOLICITED OR BUY 400 Units @30.6990	12,279.60	135.00	23.00	112.00	75.0	84.00
01/17/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	BANK OF AMERICA CORP UNSOLICITED OR BUY 300 Units @30.6960	9,208.80	135.00	21.00	114.00	75.0	85.50
01/18/2018	***-**-1600 - BRANTLEY, JULIUS ACCOUNT #: LSR011043	BANK OF AMERICA CORP UNSOLICITED OR BUY 115 Units @31.3850	3,609.28	50.00	17.30	32.70	75.0	24.53
01/16/2018	***-**-727I - LYNCH, FBO MR ACCOUNT #: LSR010617	CISCO SYSTEMS INC BUY 350 Units @41.0450	14,365.75	150.00	18.00	132.00	75.0	99.00
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	CISCO SYSTEMS INC BUY 100 Units @41.0050	4,100.50	50.00	18.00	32.00	75.0	24.00
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	CISCO SYSTEMS INC BUY 200 Units @41.0100	8,202.00	100.00	0.00	100.00	75.0	75.00
01/18/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	CISCO SYSTEMS INC BUY 105 Units @41.1650	4,322.33	10.00	8.99	1.01	75.0	0.76
01/18/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	CISCO SYSTEMS INC BUY 140 Units @41.2450	5,774.30	135.00	18.00	117.00	75.0	87.75
01/18/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CISCO SYSTEMS INC BUY 150 Units @41.2650	6,189.75	135.00	18.00	117.00	75.0	87.75
01/18/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	CISCO SYSTEMS INC BUY 150 Units @41.2560	6,188.40	135.00	18.00	117.00	75.0	87.75
01/18/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	CISCO SYSTEMS INC BUY 150 Units @41.2550	6,188.25	135.00	18.00	117.00	75.0	87.75
01/18/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	CISCO SYSTEMS INC BUY 130 Units @41.2950	5,368.35	135.00	18.00	117.00	75.0	87.75
01/18/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	CISCO SYSTEMS INC BUY 165 Units @41.1670	6,792.56	135.00	18.00	117.00	75.0	87.75
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	CONOCOPHILLIPS UNSOLICITED ORDER BUY 200 Units @59.5000	11,900.00	135.00	19.00	116.00	75.0	87.00
01/18/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	ENERGOUS CORP COM SELL 240 Units @18.0390	4,329.36	10.00	11.39	-1.39	100.0	-1.39
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	FACEBOOK INC COM USD0.000006 CL A BUY 100 Units @177.2800	17,728.00	150.00	17.00	133.00	75.0	99.75



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
01/16/2018	***-**-7270 - LYNCH P/ADM, ACCOUNT #: LSR010614	KEYCORP NEW COM BUY 300 Units @21.6900	6,507.00	135.00	21.00	114.00	75.0	85.50
01/17/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	MCKESSON CORP COM BUY 100 Units @172.7590	17,275.90	150.00	17.00	133.00	75.0	99.75
01/17/2018	***-**-10IN - RANDALL, ACCOUNT #: LSR012385	MICROSOFT CORP UNSOLICITED ORDER EX BUY 150 Units @89.4350	13,415.25	135.00	18.00	117.00	75.0	87.75
01/18/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	MICROSOFT CORP UNSOLICITED ORDER EX BUY 200 Units @90.4150	18,083.00	150.00	18.00	132.00	75.0	99.00
01/16/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR011801	NEKTAR THERAPEUTICS BUY 200 Units @73.2750	14,655.00	160.00	19.00	141.00	75.0	105.75
01/18/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	UNDER ARMOUR INC COM STK USD0.00033 SELL 500 Units @13.6080	6,804.00	135.00	25.00	110.00	75.0	82.50
01/18/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	UNDER ARMOUR INC COM STK USD0.00033 SELL 350 Units @13.6440	4,775.40	100.00	22.00	78.00	75.0	58.50
01/18/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	UNDER ARMOUR INC COM STK USD0.00033 SELL 400 Units @13.6870	5,474.80	100.00	23.00	77.00	75.0	57.75
01/18/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	UNDER ARMOUR INC COM STK USD0.00033 SELL 400 Units @13.6050	5,442.00	100.00	23.00	77.00	75.0	57.75
01/18/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	UNDER ARMOUR INC COM STK USD0.00033 SELL 400 Units @13.6130	5,445.20	100.00	23.00	77.00	75.0	57.75
01/18/2018	***-**-1600 - BRANTLEY, JULIUS ACCOUNT #: LSR011043	UNDER ARMOUR INC COM STK USD0.00033 SELL 270 Units @13.6200	3,677.40	50.00	20.40	29.60	75.0	22.20
* STOCKS SUBTOTAL *			\$228,102.18	\$3,050.00	\$491.08	\$2,558.92		\$1,918.85

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/01/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: 00777137973	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	75.0	0.38
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	5.24	0.00	5.24	75.0	3.93
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	17.99	0.00	17.99	75.0	13.49
01/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	8.01	0.00	8.01	75.0	6.01



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	22.62	0.00	22.62	75.0	16.97
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 0 Units @0.0000	0.00	18.48	0.00	18.48	75.0	13.86
01/16/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	3.77	0.00	3.77	75.0	2.83
01/16/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.74	0.00	2.74	75.0	2.06
01/16/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	6.33	0.00	6.33	75.0	4.75
01/16/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
01/16/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.51	0.00	2.51	75.0	1.88
01/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	7.08	0.00	7.08	75.0	5.31
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	9.45	0.00	9.45	75.0	7.09
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM GLOBAL GROWTH FUND - CLASS A BUY 0 Units @0.0000	0.00	15.61	0.00	15.61	75.0	11.71
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	19.92	0.00	19.92	75.0	14.94
01/16/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	DAVIS APPRECIATION & INCOME-CLASS A BUY 1 Units @0.0000	0.00	3.17	0.00	3.17	75.0	2.38
01/16/2018	***-**-549I - WHITE, WILLIAM ACCOUNT #: LSR010690	DAVIS NEW YORK VENTURE CLASS A BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
01/16/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	DAVIS NEW YORK VENTURE CLASS A BUY 1 Units @0.0000	0.00	13.59	0.00	13.59	75.0	10.19
01/22/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO SMALL CAP EQUITY FUND-CLASS BUY 0 Units @0.0000	0.00	10.62	0.00	10.62	75.0	7.97



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/01/2018	***-**-35IN - KERR, GAIL ACCOUNT #: 00777141178	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 0 Units @0.0000	0.00	50.78	0.00	50.78	75.0	38.09
01/01/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: 00777135769	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	15.27	0.00	15.27	75.0	11.45
01/01/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: 00777137973	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	10.61	0.00	10.61	75.0	7.96
01/01/2018	***-**-819I - BOYKIN, ACCOUNT #: 00777138169	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 0 Units @0.0000	0.00	19.69	0.00	19.69	75.0	14.77
01/16/2018	***-**-1600 - BRANTLEY, JULIUS ACCOUNT #: LSR011043	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	75.0	0.46
01/16/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	75.0	1.94
01/16/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	75.0	1.94
01/16/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	6.85	0.00	6.85	75.0	5.14
01/16/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	75.0	1.38
01/22/2018	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	75.0	1.44
01/22/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.76	0.00	6.76	75.0	5.07
01/22/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	75.0	1.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$292.12	\$0.00	\$292.12		\$219.13
*** BROKER TRANSACTIONS TOTAL ***			\$228,102.18	\$3,342.12	\$491.08	\$2,851.04		\$2,137.98



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$2,137.98
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$2,137.98
Year-to-date Earnings								\$6,059.45