



Concorde Investment Services, LLC

12/28/2017

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**COMMISSION STATEMENT****December 31, 2017**

JIM LUND  
2060 Huntington Drive  
Suite 1  
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015  
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
12/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	0.0	0.00
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	3.08	0.00	3.08	0.0	0.00
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.69	0.00	1.69	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.46	0.00	1.46	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.46	0.00	1.46	0.0	0.00
12/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
12/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.58	0.00	3.58	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.26	0.00	13.26	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.63	0.00	0.63	0.0	0.00
12/15/2017	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	200.00	10.00	0.00	10.00	0.0	0.00
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$550.00</b>	<b>\$57.54</b>	<b>\$0.00</b>	<b>\$57.54</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	0.0	0.00
12/15/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
12/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
12/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
12/08/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	0.00
12/11/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	0.00
12/11/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,380.00</b>	<b>\$40.81</b>	<b>\$0.00</b>	<b>\$40.81</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	15.02	0.00	15.02	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$15.02</b>	<b>\$0.00</b>	<b>\$15.02</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$1,930.00	\$113.37	\$0.00	\$113.37		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$8,391.78</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed