

All Companies  
**STATEMENT**  
**January 20, 2018**

RICHARD KLEIN  
24 Aster Court

BROKER #: 292  
BRANCH: LA BRANCH

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

12/27/2017 # LINNEHAN, RICHARD	EV ENERGY PARTNERS L P UNITS REPSTG S	530.56		12.00	19.95	0.00	-7.95	85.0	-6.76
12/27/2017 # LINNEHAN, RICHARD	GREAT ELM CAPITAL CORP COMMON STOCK S	1,954.48		46.00	19.95	0.00	26.05	85.0	22.14
12/27/2017 # LINNEHAN, RICHARD	ZAGG INC S	20,500.00		492.00	19.95	0.00	472.05	85.0	401.24
* STOCKS SUBTOTAL *		<u>\$22,985.04</u>		<u>\$550.00</u>	<u>\$59.85</u>	<u>\$0.00</u>	<u>\$490.15</u>		<u>\$416.62</u>
*** BROKER TRANSACTIONS TOTAL ***		<u>\$22,985.04</u>		<u>\$550.00</u>	<u>\$59.85</u>	<u>\$0.00</u>	<u>\$490.15</u>		<u>\$416.62</u>

ADJUSTMENTS

01/20/2018	ADMIN FEE: ADMIN FEE								-289.00
*** ADJUSTMENTS TOTAL ***									<u>\$-289.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$416.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-289.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$127.62
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