

COMMISSION STATEMENT

July 31, 2018

JOE CUMLEY
 9270 Glenwood
 Suite B
 Overland Park, KS 66212

BROKER #: 113971
 BRANCH: D12

COMMISSION STATEMENT for JOE CUMLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/23/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.55	0.00	0.55	85.0	0.47
07/23/2018	mfc113971	VARIOUS, CUMLEY	MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	3.49	0.00	3.49	85.0	2.97
07/23/2018	mfc113971	VARIOUS, CUMLEY	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	2.06	0.00	2.06	85.0	1.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$6.10	\$0.00	\$6.10		\$5.19
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$6.10	\$0.00	\$6.10		\$5.19

OVERRIDE TRANSACTIONS

BROKER: CHELSEA SCHLOSSER-687888 #154

07/12/2018	368461383	BIBLER, PATRICIA	MF LARGE CAP EQUITY A	B	-1,197.55	0.00	5.00	-5.00		-1.00
07/24/2018	368461983	CADY, SHERRY	MF NATIXIS US DIVERS PORTFOLIO A	B	-1,997.55	0.00	5.00	-5.00		-1.00
07/20/2018	677219502	SCHMIDT, DIANNE	MF BLK 091928846	S	25,002.45	1,125.00	5.00	1,120.00		224.00
07/23/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.80	0.00	3.80		0.76
07/23/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	3.81	0.00	3.81		0.76
07/23/2018	mfc687888	VARIOUS,	MFT ALLIANZ OCC RENAISSANCE FUND	B	0.00	7.59	0.00	7.59		1.52
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.66	0.00	5.66		1.13
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	5.68	0.00	5.68		1.14
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.15	0.00	6.15		1.23
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.30	0.00	6.30		1.26
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.61	0.00	6.61		1.32
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	6.84	0.00	6.84		1.37
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	7.01	0.00	7.01		1.40
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.16	0.00	9.16		1.83
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	9.43	0.00	9.43		1.89
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	10.41	0.00	10.41		2.08
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	10.96	0.00	10.96		2.19
07/23/2018	mfc687888	VARIOUS,	MFT BLK 091928846	B	0.00	11.62	0.00	11.62		2.32
07/23/2018	mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	4.11	0.00	4.11		0.82
07/23/2018	mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	5.87	0.00	5.87		1.17
07/23/2018	mfc687888	VARIOUS,	MFT LARGE CAP EQUITY A	B	0.00	6.63	0.00	6.63		1.33

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07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.02	0.00	7.02		1.40
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.27	0.00	7.27		1.45
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	7.39	0.00	7.39		1.48
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.18	0.00	8.18		1.64
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	8.80	0.00	8.80		1.76
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	10.85	0.00	10.85		2.17
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.38	0.00	11.38		2.28
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	11.41	0.00	11.41		2.28
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	12.16	0.00	12.16		2.43
07/23/2018	mfc687888	VARIOUS, MFT LARGE CAP EQUITY A	B	0.00	26.24	0.00	26.24		5.25
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	1.47	0.00	1.47		0.29
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.81	0.00	2.81		0.56
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	2.91	0.00	2.91		0.58
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.40	0.00	4.40		0.88
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.61	0.00	4.61		0.92
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.67	0.00	4.67		0.93
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	4.73	0.00	4.73		0.95
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	5.24	0.00	5.24		1.05
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.21	0.00	6.21		1.24
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	6.32	0.00	6.32		1.26
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.10	0.00	7.10		1.42
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.16	0.00	7.16		1.43
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.28	0.00	7.28		1.46
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.62	0.00	7.62		1.52
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.83	0.00	7.83		1.57
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	7.97	0.00	7.97		1.59
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	8.78	0.00	8.78		1.76
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	10.22	0.00	10.22		2.04
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	11.41	0.00	11.41		2.28
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	14.00	0.00	14.00		2.80
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	15.94	0.00	15.94		3.19
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	18.56	0.00	18.56		3.71
07/23/2018	mfc687888	VARIOUS, MFT NATIXIS US DIVERS PORTFOLIO A	B	0.00	19.31	0.00	19.31		3.86
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	3.98	0.00	3.98		0.80
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.32	0.00	4.32		0.86
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.55	0.00	4.55		0.91
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.68	0.00	4.68		0.94
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	4.77	0.00	4.77		0.95
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.46	0.00	5.46		1.09
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	5.75	0.00	5.75		1.15
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	7.28	0.00	7.28		1.46
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	9.67	0.00	9.67		1.93
07/23/2018	mfc687888	VARIOUS, MFT SENTINEL SMALL COMPANY FUND -	B	0.00	16.21	0.00	16.21		3.24
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	1.23	0.00	1.23		0.25

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07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.23	0.00	5.23		1.05
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	5.88	0.00	5.88		1.18
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	6.37	0.00	6.37		1.27
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	7.83	0.00	7.83		1.57
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	11.18	0.00	11.18		2.24
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.24	0.00	12.24		2.45
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	12.88	0.00	12.88		2.58
07/23/2018	mfc687888	VARIOUS, MFT THE HARTFORD MID CAP FUND-A	B	0.00	15.43	0.00	15.43		3.09
* CHELSEA SCHLOSSER-687888 SUBTOTAL *				\$21,807.35	\$1,694.83	\$15.00	\$1,679.83		\$335.96
*** OVERRIDE TRANSACTIONS TOTAL ***				\$21,807.35	\$1,694.83	\$15.00	\$1,679.83		\$335.96

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5.19
Split Transactions	\$0.00
Override Transactions	\$335.96
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$156.15
Year-to-date Commissions	\$10,340.93