

June 25, 2018

MICHAEL GAROFALO

54 Brookmeadow Circle Shrewsbury, PA 17361 BROKER #: A17

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION RA	BROKER E COMMISSION			
BROKER TRANSACTIONS										
PRODUCT	CATEGORY: MUTUAL FUNDS									
06/18/201	.8 ***-**-574I - MCLAUGHLIN, JOHN ACCOUNT #: LSR011683	N AMERICAN 2035 TARGET DATE RETIREMNT BUY 1662 Units @15.0400	25,000.00	250.00	18.00	232.00 85	.0 197.20			
06/20/201	8 ***-**-949I - KLOKIS, DENISE ACCOUNT #: LSR010542	AMERICAN 2035 TARGET DATE RETIREMNT BUY 165 Units @15.0200	2,478.56	0.00	6.00	-6.00 100	.0 -6.00			
06/11/201	8 ***-**-5144 - WINTER, LAURA ACCOUNT #: 00084354308	AMERICAN BALANCED FUND-C BUY 7 Units @27.3000	200.00	2.00	0.00	2.00 85	.0 1.70			
06/20/201	.8 ***-**-153J - SHOCKEY, JEFFREY ACCOUNT #: 00075824133	AMERICAN FUNDS 2025 TARGET DATE-A BUY 121 Units @14.0900	1,700.00	46.75	0.00	46.75 85	.0 39.74			
06/13/201	.8 ***-**-5144 - WINTER, LAURA ACCOUNT #: 00084354308	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 727 Units @13.6000	9,893.34	98.93	0.00	98.93 85	.0 84.09			
06/04/201	.8 ***-**-36IR - HERDSON, JOHN ACCOUNT #: 03222003518	CAPITAL APPRECIATION C BUY 0 Units @0.0000	63.36	0.63	0.00	0.63 85	.0 0.54			
06/20/201	8 ***-**-949I - KLOKIS, DENISE ACCOUNT #: LSR010542	CAPITAL INCOME BUILDER CLASS C SELL 41 Units @60.1400	2,478.55	0.00	6.00	-6.00 100	.0 -6.00			
06/19/201	.8 ***-**-54IN - SCHMIDT, JENNY ACCOUNT #: 00082865142	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @50.6700	150.00	1.50	0.00	1.50 85	.0 1.28			
06/04/201	.8 ***-**-36IR - HERDSON, JOHN ACCOUNT #: 08370183502	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	63.36	0.63	0.00	0.63 85	.0 0.54			
06/15/201	.8 ***-**-32IR - SHAW, WILLIAM ACCOUNT #: 00082011931	EUROPACIFIC GROWTH FUND-A BUY 1 Units @56.8700	50.00	1.38	0.00	1.38 85	.0 1.17			
06/11/201	.8 ***-**-5144 - WINTER, LAURA ACCOUNT #: 00084354308	FUNDAMENTAL INVESTORS-C BUY 3 Units @64.1400	200.00	2.00	0.00	2.00 85	.0 1.70			
06/19/201	.8 ***-**-54IN - SCHMIDT, JENNY ACCOUNT #: 00082865142	INCOME FUND OF AMERICA CLASS C BUY 4 Units @22.4900	100.00	1.00	0.00	1.00 85	.0 0.85			
06/04/201	.8 ***-**-36IR - HERDSON, JOHN ACCOUNT #: 02592150962	QUEST BALANCED FUND C BUY 0 Units @0.0000	65.28	0.65	0.00	0.65 85	.0 0.55			
06/04/201	.8 ***-**-79IR - WILSON, DELEIGH ACCOUNT #: 00073333458	THE GROWTH FUND OF AMERICA-A BUY 4 Units @56.0100	200.00	5.50	0.00	5.50 85	.0 4.68			
06/18/201	.8 ***-**-09IR - WILSON, EDWARD ACCOUNT #: 00073333515	THE GROWTH FUND OF AMERICA-A BUY 4 Units @57.0100	200.00	5.50	0.00	5.50 85	.0 4.68			
06/04/201	8 ***-**-791R - WILSON, DELEIGH ACCOUNT #: 00073333458	THE INCOME FUND OF AMERICA-A BUY 8 Units @23.9300	200.00	5.50	0.00	5.50 85	.0 4.68			



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		BROKER TRANSACTI	ONS (continu	ued)				
PRODUCT	CATEGORY: MUTUAL FUNDS (cont	inued)						
06/18/201	8 ***-**-09IR - WILSON, EDWARD ACCOUNT #: 00073333515	THE INCOME FUND OF AMERICA-A BUY 8 Units @23.7000	200.00	5.50	0.00	5.50	85.0	4.68
06/15/201	8 ***-**-72IR - SHAW, SUSAN ACCOUNT #: 00082013491	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @42.3500	50.00	1.38	0.00	1.38	85.0	1.17
		* MUTUAL FUNDS SUBTOTAL *	\$43,292.45	\$428.85	\$30.00	\$398.85		\$337.25
PRODUCT	CATEGORY: EQUITY INDEXED ANN	<b>WITIES</b>						
06/01/201	8 ***-**-48IN - JOHNSON, MARY	AMERICAN LEGEND III 50 TRAIL BUY 1 Units @86209.9400	86,209.94	2,586.30	0.00	2,586.30	100.0	2,586.30
	•	* EQUITY INDEXED ANNUITIES SUBTOTAL *	\$86,209.94	\$2,586.30	\$0.00	\$2,586.30		\$2,586.30
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	RAILS						
06/25/201	8 ***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	85.0	0.37
06/25/201	8 ***-**-831I - REED, JACK ACCOUNT #: LSR010577	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	85.0	0.61
06/25/201	8 ***-**-34IR - MCKENNA, EDWARD ACCOUNT #: LSR011376	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	10.92	0.00	10.92	85.0	9.28
06/25/201	8 ***-**-373X - MANGANELLO, TIRA ACCOUNT #: LSR011551	A AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	17.10	0.00	17.10	85.0	14.54
06/25/201	8 ***-**-373X - MANGANELLO, TIRA ACCOUNT #: LSR011552	A AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	22.38	0.00	22.38	85.0	19.02
06/25/201	8 ***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011553	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	17.96	0.00	17.96	85.0	15.27
06/25/201	8 ***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011555	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	18.78	0.00	18.78	85.0	15.96
06/25/201	8 ***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011558	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	22.63	0.00	22.63	85.0	19.24
06/25/201	8 ***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	13.18	0.00	13.18	85.0	11.20
06/25/201	8 ***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	85.0	0.13



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		BROKER TRANSACTIO	ONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	RAILS (continued)						
06/25/2018	8 ***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	85.0	0.20
06/25/2018	8 ***-**-13IR - LOYCH, ALICE ACCOUNT #: LSR012051	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	85.0	0.31
06/25/2018	8 ***-**-811R - BLEILER, CARLTON ACCOUNT #: LSR012082	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	85.0	0.08
06/25/2018	8 ***-**-872I - GAROFALO, ACCOUNT #: LSR010758	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	85.0	0.27
06/25/2018	8 ***-**-244X - REED, GENEVIEVE ACCOUNT #: LSR012032	AIM HIGH YIELD FUND - CLASS A BUY 1 Units @0.0000	0.00	1.68	0.00	1.68	85.0	1.43
06/25/2018	8 ***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	85.0	0.24
06/25/2018	8 ***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	85.0	0.26
06/25/2018	8 ***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	17.57	0.00	17.57	85.0	14.93
06/25/2018	8 ***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	65.95	0.00	65.95	85.0	56.06
06/25/2018	8 ***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	12.86	0.00	12.86	85.0	10.93
06/25/2018	8 ***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	6.05	0.00	6.05	85.0	5.14
06/25/2018	8 ***-**-276I - GASSWINT, SUSAN ACCOUNT #: LSR010633	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	30.54	0.00	30.54	85.0	25.96
06/25/2018	8 ***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	GLOBAL FUND A BUY 1 Units @0.0000	0.00	10.05	0.00	10.05	85.0	8.54
06/25/2018	8 ***-**-651R - NORNHOLD, ACCOUNT #: LSR012005	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	85.0	0.20
06/25/2018	8 ***-**-934I - BEAVERSON, MARY ACCOUNT #: LSR010467	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	10.67	0.00	10.67	85.0	9.07
06/25/2018	8 ***-**-184I - BEAVERSON, ROYCE ACCOUNT #: LSR010468	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	13.91	0.00	13.91	85.0	11.82



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		BROKER	TRANSACTIONS	(continue	ed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1		1144(0110110140	(001101114	<del>54,</del>				
	018 ***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544		FD CL C	0.00	55.65	0.00	55.65	85.0	47.30
06/25/20	018 ***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	68.49	0.00	68.49	85.0	58.22
06/25/20	018 ***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	64.05	0.00	64.05	85.0	54.44
06/25/20	018 ***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011554	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	22.55	0.00	22.55	85.0	19.17
06/25/20	018 ***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011556	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	22.37	0.00	22.37	85.0	19.01
06/25/20	018 ***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	17.72	0.00	17.72	85.0	15.06
06/25/20	018 ***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	13.63	0.00	13.63	85.0	11.59
06/25/20	018 ***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	0.36	0.00	0.36	85.0	0.31
06/25/20	018 ***-**-14IR - SCHMIDT, DAVID ACCOUNT #: LSR011865	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	0.43	0.00	0.43	85.0	0.37
06/25/20	018 ***-**-830J - STRAUB, JANE ACCOUNT #: LSR011950	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	1.10	0.00	1.10	85.0	0.94
06/25/20	018 ***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	0.64	0.00	0.64	85.0	0.54
06/25/20	018 ***-**-381J - BLEILER, CONNIE ACCOUNT #: LSR012081	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	0.42	0.00	0.42	85.0	0.36
06/25/20	018 ***-**-162I - ANDERSON, THOMAS ACCOUNT #: LSR012358	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	0.15	0.00	0.15	85.0	0.13
06/25/20	018 ***-**-837I - KUNKEL, JANET ACCOUNT #: LSR012410	HARTFORD BALANCED INCOME BUY 1 Units @0.0000	FD CL C	0.00	0.06	0.00	0.06	85.0	0.05
06/25/20	018 ***-**-211R - ILGENFRITZ, ACCOUNT #: LSR011824	HARTFORD CHECKS AND BALAN BUY 1 Units @0.0000	NCED FUND C	0.00	1.42	0.00	1.42	85.0	1.21
06/25/20	018 ***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	HARTFORD CORE EQUITY CLASS BUY 1 Units @0.0000	SS C	0.00	58.94	0.00	58.94	85.0	50.10



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		BROKER TRANSACTION	ONS (continu	ıed)				
	CATEGORY: MUTUAL FUND 12B1 7 8 ***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	67.66	0.00	67.66	85.0	57.51
06/25/201	8 ***-**-2600 - ILGENFRITZ, TOD ACCOUNT #: LSR011549	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	21.81	0.00	21.81	85.0	18.54
06/25/201	8 ***-**-373X - MANGANELLO, TIRA ACCOUNT #: LSR011551	A HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	20.87	0.00	20.87	85.0	17.74
06/25/201	.8 ***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	15.63	0.00	15.63	85.0	13.29
06/25/201	8 ***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	14.75	0.00	14.75	85.0	12.54
06/25/201	8 ***-**-67IR - NORNHOLD, DENNIS ACCOUNT #: LSR012006	S HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	85.0	0.07
06/25/201	.8 ***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	85.0	0.27
06/25/201	.8 ***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	85.0	0.17
06/25/201	8 ***-**-51IR - BLEILER, CONNIE ACCOUNT #: LSR012077	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	85.0	0.06
06/25/201	8 ***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	INVESCO BALANCED - RISK ALLOCATION BUY 1 Units @0.0000	0.00	9.06	0.00	9.06	85.0	7.70
06/25/201	.8 ***-**-276I - GASSWINT, SUSAN ACCOUNT #: LSR010633	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	20.38	0.00	20.38	85.0	17.32
06/25/201	8 ***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	14.45	0.00	14.45	85.0	12.28
06/25/201	.8 ***-**-322I - SHOCKEY, DEBORAL ACCOUNT #: LSR011848	H INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	5.84	0.00	5.84	85.0	4.96
06/25/201	.8 ***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	85.0	0.77
06/25/201	8 ***-**-334I - MCKENNA, EDWARD ACCOUNT #: LSR011377	LEGG MASON VALUE TRUST CL C BUY 1 Units @0.0000	0.00	6.58	0.00	6.58	85.0	5.59
06/25/201	8 ***-**-5661 - DURKIN, FBO MRS ACCOUNT #: LSR010544	MAIN STREET FUND A BUY 1 Units @0.0000	0.00	11.58	0.00	11.58	85.0	9.84



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			CTIONS (contin	ued)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	FRAILS (continued)						
06/25/201	.8 ***-**-0550 - ILGENFRITZ, TIM ACCOUNT #: LSR011557	NUVEEN REAL ASSET INCOME FUND C SH BUY 1 Units @0.0000	R 0.00	19.83	0.00	19.83	85.0	16.86
06/25/201	8 ***-**-162I - ANDERSON, THOMAS ACCOUNT #: LSR012358	S PIMCO DIVERSIFIED INCOME FND CL A-B BUY 1 Units @0.0000	0.00	6.65	0.00	6.65	85.0	5.65
06/25/201	8 ***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	39.23	0.00	39.23	85.0	33.35
06/25/201	.8 ***-**-831I - REED, JACK ACCOUNT #: LSR010577	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	9.29	0.00	9.29	85.0	7.90
06/25/201	.8 ***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	15.09	0.00	15.09	85.0	12.83
06/25/201	8 ***-**-831I - REED, JACK ACCOUNT #: LSR010577	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	3.57	0.00	3.57	85.0	3.03
06/25/201	8 ***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011553	Y PRINCIPAL SMALL-MID CAP DIV INCOME BUY 1 Units @0.0000	0.00	10.08	0.00	10.08	85.0	8.57
06/25/201	.8 ***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	PRINCIPAL SMALL-MID CAP DIV INCOME BUY 1 Units @0.0000	0.00	9.98	0.00	9.98	85.0	8.48
06/25/201	.8 ***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	PUTNAM EQUITY INCOME FUND-C BUY 1 Units @0.0000	0.00	25.92	0.00	25.92	85.0	22.03
06/25/201	.8 ***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	QUEST OPPORTUNITY VALUE A BUY 1 Units @0.0000	0.00	6.89	0.00	6.89	85.0	5.86
06/25/201	.8 ***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	THE HARTFORD ADVISERS FUND-C BUY 1 Units @0.0000	0.00	29.49	0.00	29.49	85.0	25.07
06/25/201	.8 ***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	THE HARTFORD ADVISERS FUND-C BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	85.0	0.06
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL	* \$0.00	\$1,009.59	\$0.00	\$1,009.59		\$858.20
		*** BROKER TRANSACTIONS TOTAL **	\$129,502.39	\$4,024.74	\$30.00	\$3,994.74		\$3,781.75



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						BROKER COMMI	SSION	TOTALS
					Broke	er Transactions		\$3,781.75
					Spl	it Transactions		\$0.00
					Overrio	de Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					F	INRA Assessment		\$0.00
					:	SIPC Assessment		\$0.00
					Net Cor	mmission Earned		\$3,781.75
					Year-to	o-date Earnings		\$63,269.85