



COMMISSION STATEMENT

March 26, 2018

GARRETT MORETZ
181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/21/2018	***-**-7260 - FARZALO, STEVE	EATON VANCE NATIONAL MUNICIPAL FUND	8,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011965	SELL 827 Units @9.6700						
		* MUTUAL FUNDS SUBTOTAL *	\$8,000.00	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
03/21/2018	***-**-2650 - RUSSELL, CHARLES	ISHARES IBOXX \$ INVESTOP INVESTMENT	1,275.60	51.19	15.22	35.97	82.0	29.50
	ACCOUNT #: LSR012102	SELL 11 Units @115.9640						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	VANGUARD INDEX FDS MIDCAP GROWTH IN	1,074.38	47.76	15.16	32.60	82.0	26.73
	ACCOUNT #: LSR012102	SELL 8 Units @134.2970						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	VANGUARD INDEX FDS VANGUARD GROWTH	1,182.64	49.60	15.16	34.44	82.0	28.24
	ACCOUNT #: LSR012102	SELL 8 Units @147.8300						
03/21/2018	***-**-2650 - RUSSELL, CHARLES	VANGUARD INDEX FDS VANGUARD SMALL C	1,014.61	46.75	15.12	31.63	82.0	25.94
	ACCOUNT #: LSR012102	SELL 6 Units @169.1010						
		* STOCKS SUBTOTAL *	\$4,547.23	\$195.30	\$60.66	\$134.64		\$110.41
<u>PRODUCT CATEGORY: RIA</u>								
03/22/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	2,141.78	0.00	2,141.78	82.0	1,756.26
		BUY 1 Units @0.0000						
		* RIA SUBTOTAL *	\$0.00	\$2,141.78	\$0.00	\$2,141.78		\$1,756.26
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/26/2018	***-**-071X - HENDRIX, NSPS	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.72	0.00	0.72	82.0	0.59
	ACCOUNT #: LSR012263	BUY 1 Units @0.0000						
03/26/2018	***-**-277I - PECK, GORDON	INTERNATIONAL SMALL COMPANY A	0.00	0.22	0.00	0.22	82.0	0.18
	ACCOUNT #: LSR012201	BUY 1 Units @0.0000						
03/26/2018	***-**-384I - HOLDER, DERRICK	INTERNATIONAL SMALL COMPANY A	0.00	0.22	0.00	0.22	82.0	0.18
	ACCOUNT #: LSR012436	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1.16	\$0.00	\$1.16		\$0.95
		*** BROKER TRANSACTIONS TOTAL ***	\$12,547.23	\$2,338.24	\$78.66	\$2,259.58		\$1,849.62



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$1,849.62
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00