



COMMISSION STATEMENT

July 30, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	200.00	6.00	0.00	6.00	95.0	5.70
	ACCOUNT #: 00701328528	BUY 11 Units @18.2200						
		* MUTUAL FUNDS SUBTOTAL *	\$200.00	\$6.00	\$0.00	\$6.00		\$5.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/30/2018	***-**-86I` - SEELEY, NANCY	BLACKROCK TRAILS	0.00	2.10	0.00	2.10	95.0	2.00
	ACCOUNT #: 5291468659	BUY 0 Units @0.0000						
07/30/2018	***-**-86I` - SEELEY, NANCY	BLACKROCK TRAILS	0.00	2.07	0.00	2.07	95.0	1.97
	ACCOUNT #: 5291468667	BUY 0 Units @0.0000						
07/30/2018	***-**-00IN - LAMENDOLA,	NUVEEN TRAILS	0.00	11.67	0.00	11.67	95.0	11.09
	ACCOUNT #: 09960001801	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$15.84	\$0.00	\$15.84		\$15.06
		*** BROKER TRANSACTIONS TOTAL ***	\$200.00	\$21.84	\$0.00	\$21.84		\$20.76

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

07/23/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @28.6300						
07/23/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	500.00	21.25	0.00	21.25	20.0	4.25
	ACCOUNT #: 00085993216	BUY 17 Units @29.0800						
07/23/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @29.3200						
07/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	20.0	0.64
	ACCOUNT #: 00084492487	BUY 4 Units @28.8500						
07/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	20.0	0.86
	ACCOUNT #: 00087990221	BUY 4 Units @29.1500						
07/23/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 9 Units @10.5600						
07/23/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 2 Units @10.5600						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
07/23/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	700.00	21.00	0.00	21.00	20.0	4.20
	ACCOUNT #: 00085993216	BUY 66 Units @10.5900						
07/25/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @63.9000						
07/23/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 2 Units @53.1900						
07/23/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @53.1900						
07/23/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	500.00	21.25	0.00	21.25	20.0	4.25
	ACCOUNT #: 00085993216	BUY 9 Units @54.0300						
07/23/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @56.0700						
07/25/2018	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	20.0	0.47
	ACCOUNT #: 00084492487	BUY 1 Units @56.9000						
07/31/2018	***-**-108X - FOREST, NSPS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.81	0.00	0.81	20.0	0.16
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/31/2018	***-**-336I - GETCHELL, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	20.0	0.01
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
07/31/2018	***-**-06IO - MARVIN, BOBBI	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	20.0	0.02
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
07/16/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	150.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 000000000426484	BUY 14 Units @10.8300						
07/16/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	150.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 000000000033504	BUY 13 Units @11.3900						
08/01/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	59.45	59.45	0.00	59.45	15.0	8.92
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						
08/01/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	124.55	124.55	0.00	124.55	15.0	18.68
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
08/01/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	128.68	128.68	0.00	128.68	15.0	19.30
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						
07/23/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	300.00	12.75	0.00	12.75	20.0	2.55
	ACCOUNT #: 00085993216	BUY 5 Units @63.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
07/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @57.4800	175.00	4.81	0.00	4.81	20.0	0.96
07/23/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @57.4800	36.00	0.99	0.00	0.99	20.0	0.20
07/25/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE GROWTH FUND OF AMERICA-A BUY 3 Units @58.3200	150.00	4.13	0.00	4.13	20.0	0.83
07/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.9300	50.00	1.88	0.00	1.88	20.0	0.38
07/23/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 1 Units @23.8900	25.50	0.70	0.00	0.70	20.0	0.14
07/23/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.4600	60.00	3.00	0.00	3.00	20.0	0.60
07/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE INCOME FUND OF AMERICA-A BUY 5 Units @24.3600	125.00	4.69	0.00	4.69	20.0	0.94
07/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @48.3700	118.33	4.44	0.00	4.44	20.0	0.89
* RONALD DRAKE SUBTOTAL *			\$4,192.01	\$443.61	\$0.00	\$443.61		\$73.10
<u> BROKER: RONALD CIOTOLI </u>								
07/31/2018	***-**-630I - ROMA, JAMES ACCOUNT #: LSR011401	BLACKROCK ASSET ALLOCATION PORTFOLI BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	35.0	0.09
07/31/2018	***-**-630I - ROMA, JAMES ACCOUNT #: LSR011401	BLACKROCK HIGH YIELD BOND FUND CLAS BUY 1 Units @0.0000	0.00	6.32	0.00	6.32	35.0	2.21
07/31/2018	***-**-630I - ROMA, JAMES ACCOUNT #: LSR011401	BLACKROCK LARGE CAP CORE FUND CL A BUY 1 Units @0.0000	0.00	10.58	0.00	10.58	35.0	3.70
08/01/2018	***-**-39FB - CONTI, ELLEN ACCOUNT #: 925300576	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	197.79	197.79	0.00	197.79	35.0	69.23
08/01/2018	***-**-18FB - GORDON, DIANE ACCOUNT #: 925325360	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	238.84	238.84	0.00	238.84	35.0	83.59
08/01/2018	***-**-1730 - BENZI, ROBERT ACCOUNT #: 925340599	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	243.87	243.87	0.00	243.87	35.0	85.35
07/12/2018	***-**-295P - MILLER, PAUL ACCOUNT #: 77043335702	NYA JPM 529 CONSV AGE-BA A BUY 0 Units @0.0000	100.00	4.05	0.00	4.05	35.0	1.42



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: RONALD CIOTOLI (continued)</u> 								
07/12/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	100.00	4.75	0.00	4.75	35.0	1.66
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
		* RONALD CIOTOLI SUBTOTAL *	<u>\$880.50</u>	<u>\$706.46</u>	<u>\$0.00</u>	<u>\$706.46</u>		<u>\$247.25</u>
		*** OVERRIDE TRANSACTIONS TOTAL ***	<u>\$5,072.51</u>	<u>\$1,150.07</u>	<u>\$0.00</u>	<u>\$1,150.07</u>		<u>\$320.35</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$20.76
Split Transactions	\$0.00
Override Transactions	\$320.35
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$341.11
Year-to-date Earnings	\$17,053.86