

**COMMISSION STATEMENT****December 15, 2017**ROBERT THOMPSON  
7261 W. Central Ave.BROKER #: T118  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/06/2017	RINGGER, MARK	AM FUNDS BOND FUND OF AMERICA A	20,000.00	550.00	0.00	550.00	90.0	495.00
11/14/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.90	0.00	4.90	90.0	4.41
* MUTUAL FUNDS SUBTOTAL *			<b>\$20,000.00</b>	<b>\$554.90</b>	<b>\$0.00</b>	<b>\$554.90</b>		<b>\$499.41</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/29/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	9.12
11/30/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	39,017.92	8.13	0.00	8.13	90.0	7.32
11/30/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,136.29	1.34	0.00	1.34	90.0	1.21
11/30/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	39,805.67	33.17	0.00	33.17	90.0	29.85
11/30/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,547.20	86.29	0.00	86.29	90.0	77.66
11/30/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	25,980.65	21.65	0.00	21.65	90.0	19.49
11/30/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	36,968.55	30.81	0.00	30.81	90.0	27.73
11/30/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	131,972.62	109.98	0.00	109.98	90.0	98.98
11/30/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	135,715.39	113.10	0.00	113.10	90.0	101.79
11/30/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	171,725.37	143.10	0.00	143.10	90.0	128.79
11/30/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,953.88	21.63	0.00	21.63	90.0	19.47
11/30/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	44,326.07	36.94	0.00	36.94	90.0	33.25
11/30/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	19,198.25	16.00	0.00	16.00	90.0	14.40
11/30/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	23,274.08	19.40	0.00	19.40	90.0	17.46
11/30/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	166,653.70	138.88	0.00	138.88	90.0	124.99
11/30/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	157,047.60	130.87	0.00	130.87	90.0	117.78
11/30/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	152,815.21	127.35	0.00	127.35	90.0	114.62
11/30/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	223,389.62	186.16	0.00	186.16	90.0	167.54
11/30/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,144.47	35.12	0.00	35.12	90.0	31.61
11/30/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	120,694.60	100.58	0.00	100.58	90.0	90.52
11/30/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	112,797.27	94.00	0.00	94.00	90.0	84.60
11/30/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	122,558.76	102.13	0.00	102.13	90.0	91.92

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/30/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,353.68	20.29	0.00	20.29	90.0	18.26
11/30/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	27,691.67	23.08	0.00	23.08	90.0	20.77
11/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	58,437.67	60.87	0.00	60.87	90.0	54.78
11/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	137,969.25	114.97	0.00	114.97	90.0	103.47
11/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,722.66	3.94	0.00	3.94	90.0	3.55
11/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	263,861.16	219.88	0.00	219.88	90.0	197.89
11/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,553.10	20.46	0.00	20.46	90.0	18.41
11/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,048.34	5.04	0.00	5.04	90.0	4.54
11/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	56,965.50	47.47	0.00	47.47	90.0	42.72
11/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,044.95	78.37	0.00	78.37	90.0	70.53
11/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,309.74	40.26	0.00	40.26	90.0	36.23
11/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	33,049.97	27.54	0.00	27.54	90.0	24.79
11/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,263.22	32.72	0.00	32.72	90.0	29.45
11/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,559.49	213.80	0.00	213.80	90.0	192.42
11/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	158,137.73	131.78	0.00	131.78	90.0	118.60
11/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	80,084.85	66.74	0.00	66.74	90.0	60.07
11/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,624.10	2.19	0.00	2.19	90.0	1.97
11/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	241,353.52	201.13	0.00	201.13	90.0	181.02
11/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,519.32	95.43	0.00	95.43	90.0	85.89
11/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,670.13	2.23	0.00	2.23	90.0	2.01
11/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,355.54	101.96	0.00	101.96	90.0	91.76
11/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	27,132.85	22.61	0.00	22.61	90.0	20.35
11/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	101,444.66	84.54	0.00	84.54	90.0	76.09
11/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	81,793.74	68.16	0.00	68.16	90.0	61.34
11/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	40,691.41	33.91	0.00	33.91	90.0	30.52
11/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	113,283.11	94.40	0.00	94.40	90.0	84.96
11/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	72,111.80	60.09	0.00	60.09	90.0	54.08
11/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,360.50	83.63	0.00	83.63	90.0	75.27
11/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	170,016.57	141.68	0.00	141.68	90.0	127.51
11/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,805.23	179.84	0.00	179.84	90.0	161.86
11/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,168.74	21.81	0.00	21.81	90.0	19.63
11/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,047.69	64.21	0.00	64.21	90.0	57.79
11/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	149,778.48	124.82	0.00	124.82	90.0	112.34

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	61,926.83	51.61	0.00	51.61	90.0	46.45
11/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	30,981.99	25.82	0.00	25.82	90.0	23.24
11/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	116,105.00	96.75	0.00	96.75	90.0	87.08
11/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,687.34	140.57	0.00	140.57	90.0	126.51
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$5,248,784.70</b>	<b>\$4,371.36</b>	<b>\$0.00</b>	<b>\$4,371.36</b>		<b>\$3,934.25</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	RINGGER, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	18.27	0.00	18.27	90.0	16.44
12/01/2017	MOORE, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	11.49	0.00	11.49	90.0	10.34
12/01/2017	SCHUMACHER, ROSEMARY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.28	0.00	4.28	90.0	3.85
12/01/2017	MAXFIELD, RICHARD	AM FUNDS BOND FUND OF AMERICA A	0.00	18.69	0.00	18.69	90.0	16.82
12/01/2017	PENNINGTON, STEVE	AM FUNDS BOND FUND OF AMERICA A	0.00	12.50	0.00	12.50	90.0	11.25
12/01/2017	SHAFFER TRUST, EMILY	AM FUNDS BOND FUND OF AMERICA A	0.00	14.64	0.00	14.64	90.0	13.18
12/01/2017	GRAHAM, JUDY	AM FUNDS BOND FUND OF AMERICA A	0.00	7.13	0.00	7.13	90.0	6.42
12/01/2017	DAVIS, HOWARD	AM FUNDS BOND FUND OF AMERICA A	0.00	6.65	0.00	6.65	90.0	5.99
12/01/2017	MICHALSKI, PAIGE	AM FUNDS BOND FUND OF AMERICA A	0.00	7.83	0.00	7.83	90.0	7.05
12/01/2017	HILEMAN, RONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	32.14	0.00	32.14	90.0	28.93
12/01/2017	MOORE CHARIT TRUST, EILEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	16.36	0.00	16.36	90.0	14.72
12/01/2017	BLADEL, JACQUELYN	AM FUNDS BOND FUND OF AMERICA A	0.00	35.28	0.00	35.28	90.0	31.75
12/01/2017	FURNEY, DANNY	AM FUNDS BOND FUND OF AMERICA A	0.00	12.40	0.00	12.40	90.0	11.16
12/01/2017	RICE, GREGG	AM FUNDS BOND FUND OF AMERICA A	0.00	57.81	0.00	57.81	90.0	52.03
12/01/2017	MITTEN, ETTA	AM FUNDS BOND FUND OF AMERICA A	0.00	40.62	0.00	40.62	90.0	36.56
12/01/2017	GRUBB, BEVERLY	AM FUNDS BOND FUND OF AMERICA A	0.00	25.21	0.00	25.21	90.0	22.69
12/01/2017	MICHALSKI, GERALDINE	AM FUNDS BOND FUND OF AMERICA A	0.00	2.08	0.00	2.08	90.0	1.87
12/01/2017	WALTER, JEFFREY	AM FUNDS BOND FUND OF AMERICA A	0.00	6.76	0.00	6.76	90.0	6.08
12/01/2017	MUIR, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	9.09	0.00	9.09	90.0	8.18
12/01/2017	WALTER, JEFFREY	AM FUNDS BOND FUND OF AMERICA A	0.00	2.60	0.00	2.60	90.0	2.34
12/01/2017	BESTOR, SALLY	AM FUNDS BOND FUND OF AMERICA A	0.00	2.69	0.00	2.69	90.0	2.42
12/01/2017	JACOB TRUST, MARILYN	AM FUNDS BOND FUND OF AMERICA A	0.00	33.36	0.00	33.36	90.0	30.02
12/01/2017	RICE, PAMELA	AM FUNDS BOND FUND OF AMERICA A	0.00	11.44	0.00	11.44	90.0	10.30
12/01/2017	ROSSWURM, ELAINE	AM FUNDS BOND FUND OF AMERICA A	0.00	15.07	0.00	15.07	90.0	13.56
12/01/2017	WALTER, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	1.97	0.00	1.97	90.0	1.77
12/01/2017	WALTER, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	1.15	0.00	1.15	90.0	1.04
12/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.13	0.00	4.13	90.0	3.72

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	JACOB TRUST, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.65	0.00	4.65	90.0	4.19
12/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD BOND A	0.00	6.85	0.00	6.85	90.0	6.17
12/01/2017	JEFFERS, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	4.99	0.00	4.99	90.0	4.49
12/01/2017	GAJEWSKI, DAVID	AM FUNDS CAPITAL WORLD BOND A	0.00	3.35	0.00	3.35	90.0	3.02
12/01/2017	JACOB, MARILYN	AM FUNDS CAPITAL WORLD BOND A	0.00	19.10	0.00	19.10	90.0	17.19
12/01/2017	BUEHLER, ARNOLD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.69	0.00	19.69	90.0	17.72
12/01/2017	SCHWEINHAGEN, RUPERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.80	0.00	35.80	90.0	32.22
12/01/2017	NEITZ, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.78	0.00	13.78	90.0	12.40
12/01/2017	PENNINGTON, SANDRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.83	0.00	26.83	90.0	24.15
12/01/2017	MAXFIELD, RICHARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	39.01	0.00	39.01	90.0	35.11
12/01/2017	BESTOR, DONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.76	0.00	17.76	90.0	15.98
12/01/2017	MOORE, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	60.75	0.00	60.75	90.0	54.68
12/01/2017	SCHUMACHER, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.44	0.00	31.44	90.0	28.30
12/01/2017	GRIM, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.07	0.00	1.07	90.0	0.96
12/01/2017	ROSSWURM, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.38	0.00	23.38	90.0	21.04
12/01/2017	RECKNER, GARTH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.87	0.00	1.87	90.0	1.68
12/01/2017	WALTER, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.30	0.00	2.30	90.0	2.07
12/01/2017	SHAFFER, CLARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	50.16	0.00	50.16	90.0	45.14
12/01/2017	SHAFFER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	90.0	0.46
12/01/2017	SHAFFER, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.74	0.00	21.74	90.0	19.57
12/01/2017	RICE, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.48	0.00	3.48	90.0	3.13
12/01/2017	RICE JR, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.28	0.00	5.28	90.0	4.75
12/01/2017	SHAFFER, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.97	0.00	19.97	90.0	17.97
12/01/2017	WALTER, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.91	0.00	1.91	90.0	1.72
12/01/2017	SWEARINGEN, ERIC	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	32.29	0.00	32.29	90.0	29.06
12/01/2017	RINGGER, MARK	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.69	0.00	14.69	90.0	13.22
12/01/2017	JEFFERS, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	10.57	0.00	10.57	90.0	9.51
12/01/2017	FLECK, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.76	0.00	14.76	90.0	13.28
12/01/2017	SHAFFER, JAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	21.74	0.00	21.74	90.0	19.57
12/01/2017	RICE JR, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.27	0.00	0.27	90.0	0.24
12/01/2017	RICE, GREGG	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.19	0.00	3.19	90.0	2.87
12/01/2017	PENNINGTON, STEVE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	29.02	0.00	29.02	90.0	26.12
12/01/2017	RICE, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.38	0.00	4.38	90.0	3.94

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/20/2017	SNEAD, SHELLI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	GRIM, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
12/01/2017	WALTER, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	0.00	2.32	90.0	2.09
12/01/2017	RICE JR, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	90.0	0.71
12/01/2017	WALTER, LAURA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
12/01/2017	SEITZINGER, MARK	AM FUNDS ICA A	0.00	27.30	0.00	27.30	90.0	24.57
12/01/2017	WALTER, JEFFREY	AM FUNDS ICA A	0.00	2.25	0.00	2.25	90.0	2.03
12/01/2017	WALTER, LAURA	AM FUNDS ICA A	0.00	1.86	0.00	1.86	90.0	1.67
12/01/2017	MOORE, EILEEN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	55.93	0.00	55.93	90.0	50.34
12/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	13.81	0.00	13.81	90.0	12.43
12/01/2017	DAVIS, HOWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	12.01	0.00	12.01	90.0	10.81
12/01/2017	SWEARINGEN, ERIC	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.34	0.00	2.34	90.0	2.11
12/01/2017	LYKES, EMMANUEL	AM FUNDS NEW PERSPECTIVE A	0.00	0.85	0.00	0.85	90.0	0.77
12/01/2017	LYKES, EMMANUEL	AM FUNDS NEW PERSPECTIVE A	0.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	NEITZ, CHRISTINA	AM FUNDS NEW WORLD A	0.00	5.08	0.00	5.08	90.0	4.57
12/01/2017	GRIM, SUSAN	AM FUNDS NEW WORLD A	0.00	0.32	0.00	0.32	90.0	0.29
12/01/2017	PENNINGTON, SANDRA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	37.00	0.00	37.00	90.0	33.30
12/01/2017	MAXFIELD, RICHARD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	17.52	0.00	17.52	90.0	15.77
12/01/2017	PENNINGTON, STEVE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	10.96	0.00	10.96	90.0	9.86
12/01/2017	WODA, HELENE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.75	0.00	6.75	90.0	6.08
12/01/2017	MOORE, EILEEN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	22.33	0.00	22.33	90.0	20.10
12/01/2017	JACOB, MARILYN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	7.59	0.00	7.59	90.0	6.83
12/01/2017	SEXTON TRUST, MARY LOU	AM FUNDS SHORT TERM BND FUND OF AM	0.00	9.16	0.00	9.16	90.0	8.24
12/01/2017	SHAFFER, JAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	27.49	0.00	27.49	90.0	24.74
12/01/2017	JEFFERS, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	7.91	0.00	7.91	90.0	7.12
12/01/2017	FLECK, GERALD	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	9.04	0.00	9.04	90.0	8.14
12/01/2017	GRIM, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.34	0.00	0.34	90.0	0.31
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,242.10</b>	<b>\$0.00</b>	<b>\$1,242.10</b>		<b>\$1,117.92</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$5,268,784.70</b>	<b>\$6,168.36</b>	<b>\$0.00</b>	<b>\$6,168.36</b>		<b>\$5,551.58</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: FINRA CE TRACKEIPERSHIGND WEB FE	0.00					-55.00
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*ALBRIDGE: FULL USER	0.00					-176.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*TECHNOLOGY: ADMIN - D RYAN 1H/3RD	0.00					-43.33
12/15/2017		*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00					-43.33
12/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-804.66

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,551.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-804.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$9.13
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,756.05
Year-to-date Gross	\$92,050.54
Year-to-date Earnings	\$82,904.96

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed