

STATEMENT

July 20, 2018

DENNIS JORDAN

BROKER #: 272
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/08/2018	#00088285586	MCNEILANDR	AMCAP FUND INC CLASS A	392.51	10.79	85.0	0.00	9.17	9.17
06/08/2018	#00088285586	MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	300.00	8.25	85.0	0.00	7.01	7.01
06/08/2018	#00088285586	MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	400.00	11.00	85.0	0.00	9.35	9.35
06/08/2018	#00088285586	MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	300.00	8.25	85.0	0.00	7.01	7.01
06/11/2018	#00089576353	MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
06/11/2018	#00089576353	MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	50.00	2.50	85.0	0.00	2.13	2.13
06/20/2018	#00089580827	AKINS-ATEW	NEW WORLD FUND INC NEW	75.00	3.75	85.0	0.00	3.19	3.19
			* MUTUAL FUNDS SUBTOTAL *	\$1,567.51	\$47.04	\$0.00	\$39.99	\$0.00	\$39.99
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00088285586	MCNEILANDR	AMCAP FUND INC CLASS A	0.00	18.56	85.0	0.00	15.78	15.78
06/01/2018	#00088298368		AMCAP FUND INC CLASS A	0.00	28.11	85.0	0.00	23.89	23.89
06/01/2018	#00088531875		AMCAP FUND INC CLASS A	0.00	236.16	85.0	0.00	200.74	200.74
06/01/2018	#00087126553		AMERICAN BALANCED FUND INC CL C	0.00	5.52	85.0	0.00	4.69	4.69
06/01/2018	#00069047920	WHITEMANNO	AMERICAN BALANCED FUND INC CLASS A	0.00	21.62	85.0	0.00	18.38	18.38
06/01/2018	#00084745921		AMERICAN BALANCED FUND INC CLASS A	0.00	11.22	85.0	0.00	9.54	9.54
06/01/2018	#00088285586	MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	0.00	14.42	85.0	0.00	12.26	12.26
06/01/2018	#00088298368		AMERICAN BALANCED FUND INC CLASS A	0.00	26.00	85.0	0.00	22.10	22.10
06/01/2018	#00088531875		AMERICAN BALANCED FUND INC CLASS A	0.00	210.58	85.0	0.00	178.99	178.99
06/01/2018	#00089576353	MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	0.00	1.59	85.0	0.00	1.35	1.35
06/01/2018	#00074222660		CAPITAL INCOME BUILDER FD CL A	0.00	1.31	85.0	0.00	1.11	1.11
06/01/2018	#00075012634		CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.72	85.0	0.00	2.31	2.31
06/01/2018	#00082834272	JOSEPNANCI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.14	85.0	0.00	0.12	0.12
06/01/2018	#00073921242	REECE, CARLISLE	EURO PAC GROWTH FUND CL C	0.00	19.03	85.0	0.00	16.18	16.18
06/01/2018	#00073921301	GRIFFITH-REECE,	EURO PAC GROWTH FUND CL C	0.00	20.14	85.0	0.00	17.12	17.12
06/01/2018	#00073921242	REECE, CARLISLE	EURO PAC GROWTH FUND CL F1	0.00	0.86	85.0	0.00	0.73	0.73
06/01/2018	#00073921301	GRIFFITH-REECE,	EURO PAC GROWTH FUND CL F1	0.00	0.94	85.0	0.00	0.80	0.80
06/01/2018	#00073159521		EURO PACIFIC GROWTH FUND CLASS A	0.00	11.50	85.0	0.00	9.78	9.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00073921242	REECE, CARLISLE	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.60	85.0	0.00	3.91	3.91
06/01/2018	#00073921301	GRIFFITH-REECE,	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.79	85.0	0.00	4.07	4.07
06/01/2018	#00073921242	REECE, CARLISLE	FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.31	85.0	0.00	7.91	7.91
06/01/2018	#00073921301	GRIFFITH-REECE,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.71	85.0	0.00	8.25	8.25
06/01/2018	#00073921324		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.12	85.0	0.00	1.80	1.80
06/01/2018	#00075012634		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.61	85.0	0.00	2.22	2.22
06/01/2018	#00084745921		FUNDAMENTAL INVESTORS INC CLASS A	0.00	12.77	85.0	0.00	10.85	10.85
06/01/2018	#00088285586	MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	0.00	18.67	85.0	0.00	15.87	15.87
06/01/2018	#00088298368		FUNDAMENTAL INVESTORS INC CLASS A	0.00	28.57	85.0	0.00	24.28	24.28
06/01/2018	#00088531875		FUNDAMENTAL INVESTORS INC CLASS A	0.00	239.25	85.0	0.00	203.36	203.36
06/01/2018	#00089576353	MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.69	85.0	0.00	1.44	1.44
06/01/2018	#00089817761		FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.16	85.0	0.00	0.99	0.99
06/01/2018	#00089952032		FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.41	85.0	0.00	0.35	0.35
06/01/2018	#00073921242	REECE, CARLISLE	FUNDAMENTAL INVS INC CL C	0.00	33.19	85.0	0.00	28.21	28.21
06/01/2018	#00073921301	GRIFFITH-REECE,	FUNDAMENTAL INVS INC CL C	0.00	45.02	85.0	0.00	38.27	38.27
06/01/2018	#00073921324		FUNDAMENTAL INVS INC CL C	0.00	7.48	85.0	0.00	6.36	6.36
06/01/2018	#00073921301	GRIFFITH-REECE,	FUNDAMENTAL INVS INC CL F1	0.00	2.18	85.0	0.00	1.85	1.85
06/01/2018	#00073921324		FUNDAMENTAL INVS INC CL F1	0.00	0.51	85.0	0.00	0.43	0.43
06/01/2018	#00073921301	GRIFFITH-REECE,	GROWTH FUND AMER INC CL C	0.00	47.69	85.0	0.00	40.54	40.54
06/01/2018	#00073921301	GRIFFITH-REECE,	GROWTH FUND AMER INC CL F1	0.00	2.36	85.0	0.00	2.01	2.01
06/01/2018	#00073921301	GRIFFITH-REECE,	GROWTH FUND AMERICA INC CLASS A	0.00	9.78	85.0	0.00	8.31	8.31
06/01/2018	#00074222660		GROWTH FUND AMERICA INC CLASS A	0.00	2.70	85.0	0.00	2.30	2.30
06/01/2018	#00073921242	REECE, CARLISLE	INCOME FUND AMER INC CL C	0.00	21.90	85.0	0.00	18.62	18.62
06/01/2018	#00073921301	GRIFFITH-REECE,	INCOME FUND AMER INC CL C	0.00	45.60	85.0	0.00	38.76	38.76
06/01/2018	#00073921301	GRIFFITH-REECE,	INCOME FUND AMER INC CL F1	0.00	2.25	85.0	0.00	1.91	1.91
06/01/2018	#00059389503		INCOME FUND OF AMERICA INC CLASS A	0.00	26.00	85.0	0.00	22.10	22.10
06/01/2018	#00073034236		INCOME FUND OF AMERICA INC CLASS A	0.00	4.40	85.0	0.00	3.74	3.74
06/01/2018	#00073159521		INCOME FUND OF AMERICA INC CLASS A	0.00	11.70	85.0	0.00	9.95	9.95
06/01/2018	#00073921242	REECE, CARLISLE	INCOME FUND OF AMERICA INC CLASS A	0.00	9.20	85.0	0.00	7.82	7.82
06/01/2018	#00073921301	GRIFFITH-REECE,	INCOME FUND OF AMERICA INC CLASS A	0.00	9.59	85.0	0.00	8.15	8.15
06/01/2018	#00073921242	REECE, CARLISLE	INVESTMENT CO AMER CL C	0.00	39.96	85.0	0.00	33.97	33.97
06/01/2018	#00069047920	WHITEMANNO	INVESTMENT CO AMER CLASS A	0.00	42.00	85.0	0.00	35.70	35.70
06/01/2018	#00073921242	REECE, CARLISLE	INVESTMENT CO AMER CLASS A	0.00	7.87	85.0	0.00	6.69	6.69
06/01/2018	#00059389503		NEW ECONOMY FUND SBI CLASS A	0.00	17.75	85.0	0.00	15.09	15.09
06/01/2018	#00073921242	REECE, CARLISLE	NEW ECONOMY FUND SBI CLASS A	0.00	10.68	85.0	0.00	9.08	9.08
06/01/2018	#00073921301	GRIFFITH-REECE,	NEW PERSPECTIVE FUND -F1	0.00	3.20	85.0	0.00	2.72	2.72

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00073921324	NEW PERSPECTIVE FUND -F1	0.00	0.67	85.0	0.00	0.57	0.00	0.57
06/01/2018	#00059389503	NEW PERSPECTIVE FUND INC CL A	0.00	25.66	85.0	0.00	21.81	0.00	21.81
06/01/2018	#00073159521	NEW PERSPECTIVE FUND INC CL A	0.00	18.18	85.0	0.00	15.45	0.00	15.45
06/01/2018	#00073921242 REECE, CARLISLE	NEW PERSPECTIVE FUND INC CL A	0.00	14.85	85.0	0.00	12.62	0.00	12.62
06/01/2018	#00073921301 GRIFFITH-REECE,	NEW PERSPECTIVE FUND INC CL A	0.00	15.48	85.0	0.00	13.16	0.00	13.16
06/01/2018	#00073921324	NEW PERSPECTIVE FUND INC CL A	0.00	2.88	85.0	0.00	2.45	0.00	2.45
06/01/2018	#00084745921	NEW PERSPECTIVE FUND INC CL A	0.00	14.96	85.0	0.00	12.72	0.00	12.72
06/01/2018	#00088285586 MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	0.00	15.16	85.0	0.00	12.89	0.00	12.89
06/01/2018	#00088531875	NEW PERSPECTIVE FUND INC CL A	0.00	236.43	85.0	0.00	200.97	0.00	200.97
06/01/2018	#00089817761	NEW PERSPECTIVE FUND INC CL A	0.00	1.18	85.0	0.00	1.00	0.00	1.00
06/01/2018	#00073921242 REECE, CARLISLE	NEW PERSPECTIVE FUND INC CL C	0.00	37.95	85.0	0.00	32.26	0.00	32.26
06/01/2018	#00073921301 GRIFFITH-REECE,	NEW PERSPECTIVE FUND INC CL C	0.00	67.61	85.0	0.00	57.47	0.00	57.47
06/01/2018	#00073921324	NEW PERSPECTIVE FUND INC CL C	0.00	10.15	85.0	0.00	8.63	0.00	8.63
06/01/2018	#00087126553	NEW PERSPECTIVE FUND INC CL C	0.00	11.18	85.0	0.00	9.50	0.00	9.50
06/01/2018	#00069261763 WHITEMANNO	NEW PERSPECTIVE FUND-529A	0.00	0.18	85.0	0.00	0.15	0.00	0.15
06/01/2018	#00089580827 AKINS-ATEW	NEW WORLD FUND INC NEW	0.00	0.73	85.0	0.00	0.62	0.00	0.62
06/01/2018	#00089952032	NEW WORLD FUND INC NEW	0.00	0.43	85.0	0.00	0.37	0.00	0.37
06/01/2018	#00069261763 WHITEMANNO	THE GROWTH FUND OF AMERICA-529A	0.00	0.15	85.0	0.00	0.13	0.00	0.13
06/01/2018	#00073921242 REECE, CARLISLE	THE INVESTMENT COMPANY OF AMERICA F	0.00	1.86	85.0	0.00	1.58	0.00	1.58
06/01/2018	#00069261763 WHITEMANNO	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.10	85.0	0.00	0.09	0.00	0.09
06/01/2018	#00073921242 REECE, CARLISLE	THE NEW ECONOMY FUND-C	0.00	24.74	85.0	0.00	21.03	0.00	21.03
06/01/2018	#00073921301 GRIFFITH-REECE,	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	44.29	85.0	0.00	37.65	0.00	37.65
06/01/2018	#00073921301 GRIFFITH-REECE,	WASHINGTON MUTUAL INVESTORS FUND-F1	0.00	2.03	85.0	0.00	1.73	0.00	1.73
06/01/2018	#00073034236	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.13	85.0	0.00	3.51	0.00	3.51
06/01/2018	#00073159521	WASHINGTON MUTUAL INVS FD CLASS A	0.00	10.03	85.0	0.00	8.53	0.00	8.53
06/01/2018	#00073921301 GRIFFITH-REECE,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.31	85.0	0.00	7.06	0.00	7.06
06/11/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	1.45	85.0	0.00	1.23	0.00	1.23
06/11/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	5.75	85.0	0.00	4.89	0.00	4.89
06/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	1.70	85.0	0.00	1.45	0.00	1.45
06/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	18.15	85.0	0.00	15.43	0.00	15.43
06/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	26.22	85.0	0.00	22.29	0.00	22.29
06/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	24.91	85.0	0.00	21.17	0.00	21.17
06/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	10.82	85.0	0.00	9.20	0.00	9.20
06/11/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	22.51	85.0	0.00	19.13	0.00	19.13
06/11/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.36	85.0	0.00	13.06	0.00	13.06
06/11/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	10.42	85.0	0.00	8.86	0.00	8.86

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/11/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.58	85.0	0.00	13.24	0.00	13.24
06/11/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	3.54	85.0	0.00	3.01	0.00	3.01
06/11/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.77	85.0	0.00	1.50	0.00	1.50
06/11/2018 #	GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.45	85.0	0.00	1.23	0.00	1.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,098.04		\$0.00	\$1,783.39	\$0.00	\$1,783.39
*** BROKER TRANSACTIONS TOTAL ***			\$1,567.51	\$2,145.08		\$0.00	\$1,823.38	\$0.00	\$1,823.38

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
*** ADJUSTMENTS TOTAL ***		\$-639.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,823.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-639.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-204.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$980.36
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