



Concorde Investment Services, LLC

04/17/2018

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**COMMISSION STATEMENT****April 15, 2018**

MATT, B116 BRANSTETTER  
 PO Box 250  
 111 S. Main Street  
 Noble, OK 73068

BROKER #: B116  
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/28/2018	STANFORD, DAVID	AMAZON COM INC	-14,324.60	49.00	80.0	39.20	22.50	16.70
* STOCKS SUBTOTAL *			<b>\$-14,324.60</b>	<b>\$49.00</b>		<b>\$39.20</b>	<b>\$22.50</b>	<b>\$16.70</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-14,324.60</b>	<b>\$49.00</b>		<b>\$39.20</b>	<b>\$22.50</b>	<b>\$16.70</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/22/2018	POMA, TAYLOR	PACIFIC LIFE FUNDS	9,000.00	144.00	80.0	115.20	0.00	115.20
03/22/2018	TAYLOR, PATTY	PACIFIC LIFE FUNDS	5,000.00	80.00	80.0	64.00	0.00	64.00
03/26/2018	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
04/05/2018	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
04/02/2018	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
04/03/2018	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	300.00	12.75	80.0	10.20	0.00	10.20
03/26/2018	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
03/19/2018	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	68.15	0.68	80.0	0.54	0.00	0.54
03/20/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
03/20/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40
03/26/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
03/26/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
03/26/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
03/26/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
03/26/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
03/26/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
03/19/2018	POORE, NANCY	PUTNAM SMALL CAP GROWTH-C	40.00	0.40	80.0	0.32	0.00	0.32
04/02/2018	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	100.03	4.00	80.0	3.20	0.00	3.20
* MUTUAL FUNDS SUBTOTAL *			<b>\$15,178.18</b>	<b>\$254.53</b>		<b>\$203.62</b>	<b>\$0.00</b>	<b>\$203.62</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2018	HERNDON, RONALD	JNL ELITE ACCESS	25,511.88	8.50	80.0	6.80	0.00	6.80
03/31/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	6,171.16	1.29	80.0	1.03	0.00	1.03
03/31/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,210.17	0.46	80.0	0.37	0.00	0.37
03/31/2018	CASTLE, DAVID	JNL ELITE ACCESS	2,756.88	0.57	80.0	0.46	0.00	0.46
03/31/2018	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,985.44	0.62	80.0	0.50	0.00	0.50
03/31/2018	PYLE JR, JUSTIN	JNL ELITE ACCESS	29,779.88	6.20	80.0	4.96	0.00	4.96
04/06/2018	YOUNG, KATHY	JNL ELITE ACCESS	15.06	0.79	80.0	0.63	0.00	0.63
04/06/2018	YOUNG, STEPHEN	JNL ELITE ACCESS	0.80	0.04	80.0	0.03	0.00	0.03
03/31/2018	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	9,590.88	2.00	80.0	1.60	0.00	1.60
03/31/2018	ALLGIRE, ERNESTINE	JNL PERSPECTIVE II 04/14	107,027.38	22.30	80.0	17.84	0.00	17.84
03/31/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	41,949.55	8.74	80.0	6.99	0.00	6.99
03/31/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	14,965.78	12.47	80.0	9.98	0.00	9.98
03/31/2018	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,432.24	0.30	80.0	0.24	0.00	0.24
03/31/2018	HIPP, RONALD	JNL PERSPECTIVE II 05/05	10,551.85	8.79	80.0	7.03	0.00	7.03
03/31/2018	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	8,480.88	1.77	80.0	1.42	0.00	1.42
03/31/2018	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	82,971.97	17.29	80.0	13.83	0.00	13.83
03/31/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	9,464.46	7.89	80.0	6.31	0.00	6.31
03/31/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	13,977.98	15.14	80.0	12.11	0.00	12.11
03/31/2018	DOWDY, LINDA	JNL PERSPECTIVE L	50,954.03	42.46	80.0	33.97	0.00	33.97
03/31/2018	DOWDY, LINDA	JNL PERSPECTIVE L	15,687.11	16.99	80.0	13.59	0.00	13.59
03/31/2018	RICK, JOSEPH	JNL PERSPECTIVE L	9,767.44	8.14	80.0	6.51	0.00	6.51
03/31/2018	RICK, JOSEPH	JNL PERSPECTIVE L	14,888.85	16.13	80.0	12.90	0.00	12.90
03/31/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	7,127.55	5.94	80.0	4.75	0.00	4.75
03/31/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,879.26	12.87	80.0	10.30	0.00	10.30
03/31/2018	BRISCOE, TOMMIE	JNL PERSPECTIVE L	21,175.25	22.94	80.0	18.35	0.00	18.35
03/31/2018	WILSON, TIM	JNL PERSPECTIVE L	21,275.13	17.73	80.0	14.18	0.00	14.18
03/31/2018	WILSON, TIM	JNL PERSPECTIVE L	29,062.13	31.48	80.0	25.18	0.00	25.18
03/31/2018	HERNDON, RONALD	JNL PERSPECTIVE L	25,983.80	28.15	80.0	22.52	0.00	22.52
03/31/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	26,687.61	22.24	80.0	17.79	0.00	17.79
03/31/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	31,959.65	34.62	80.0	27.70	0.00	27.70
03/31/2018	MOORE, TONI	JNL PERSPECTIVE L	234,961.08	254.54	80.0	203.63	0.00	203.63
03/31/2018	MICHENER, DAVID	JNL PERSPECTIVE L	276,314.52	230.26	80.0	184.21	0.00	184.21
03/31/2018	LEMMOND, MARY	JNL PERSPECTIVE L	20,980.66	22.73	80.0	18.18	0.00	18.18

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2018	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,604.27	2.82	80.0	2.26	0.00	2.26
03/31/2018	BRANSTETTER, TODD	JNL PERSPECTIVE L	13,943.85	15.11	80.0	12.09	0.00	12.09
03/31/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	5,542.33	4.62	80.0	3.70	0.00	3.70
03/31/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	20,370.75	22.07	80.0	17.66	0.00	17.66
03/31/2018	POORE, NANCY	JNL PERSPECTIVE L	2,759.79	2.30	80.0	1.84	0.00	1.84
03/31/2018	POORE, NANCY	JNL PERSPECTIVE L	50,912.12	55.15	80.0	44.12	0.00	44.12
03/31/2018	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	59,083.39	64.01	80.0	51.21	0.00	51.21
03/31/2018	CASTLE, DAVID	JNL PERSPECTIVE L	119,546.76	99.62	80.0	79.70	0.00	79.70
03/31/2018	FARIS, THERESA ANN	JNL PERSPECTIVE L	35,892.18	29.91	80.0	23.93	0.00	23.93
03/31/2018	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	97,941.01	81.62	80.0	65.30	0.00	65.30
03/31/2018	MCKIDDY, BOBBY	JNL PERSPECTIVE L	20,332.97	16.94	80.0	13.55	0.00	13.55
03/31/2018	FOX, JERALDINE	JNL PERSPECTIVE L	107,871.14	89.89	80.0	71.91	0.00	71.91
03/31/2018	DOWNING, JOHN	JNL PERSPECTIVE L	12,769.52	10.64	80.0	8.51	0.00	8.51
03/31/2018	DOWDY, JIMMIE	JNL PERSPECTIVE L	13,249.97	11.04	80.0	8.83	0.00	8.83
03/31/2018	ACOSTA, ANNA	JNL PERSPECTIVE L	6,993.32	5.83	80.0	4.66	0.00	4.66
03/31/2018	STANFORD, DAVID	JOHN HANCOCK VENTURE	10,060.08	2.10	80.0	1.68	0.00	1.68
03/30/2018	POWERS, MIKE	NATIONWIDE BOA VISION GROUP 01	529.16	0.33	80.0	0.26	0.00	0.26
03/30/2018	WILSON, TIM	NATIONWIDE BOA VISION GROUP 01	4,204.17	2.63	80.0	2.10	0.00	2.10
03/30/2018	POWERS, MIKE	NATIONWIDE MARKETFLEX BB	725.93	1.81	80.0	1.45	0.00	1.45
03/31/2018	BRANCH, MARY	PRU AS LIFEVEST	36,940.96	23.09	80.0	18.47	0.00	18.47
03/31/2018	BRANCH, MARY	PRU AS LIFEVEST	36,940.96	69.26	80.0	55.41	0.00	55.41
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,827,762.94</b>	<b>\$1,493.17</b>		<b>\$1,194.53</b>	<b>\$0.00</b>	<b>\$1,194.53</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

03/31/2018	POMA, TAYLOR	PACIFIC LIFE FUNDS	817,889.08	504.18	80.0	403.34	0.00	403.34
03/31/2018	TAYLOR, PATTY	PACIFIC LIFE FUNDS	107,407.53	66.21	80.0	52.97	0.00	52.97
03/15/2018	SWENSON, ANNE	AM FUNDS AMCAP A	0.00	1.13	80.0	0.90	0.00	0.90
03/15/2018	KRAUS, AMANDA	AM FUNDS AMERICAN BALANCED C	0.00	7.25	80.0	5.80	0.00	5.80
03/15/2018	PAUL, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	1.61	80.0	1.29	0.00	1.29
03/15/2018	DAILEY, TREVA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	80.0	1.62	0.00	1.62
03/15/2018	PAUL, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.66	80.0	1.33	0.00	1.33
03/15/2018	SWENSON, ANNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.51	80.0	1.21	0.00	1.21
03/15/2018	PAUL, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.36	80.0	1.09	0.00	1.09

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/15/2018	REEVES, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.91	80.0	0.73	0.00	0.73
03/15/2018	PAUL, MARY	AM FUNDS NEW ECONOMY A	0.00	1.10	80.0	0.88	0.00	0.88
03/15/2018	DAILEY, TREVA	AM FUNDS NEW ECONOMY A	0.00	3.86	80.0	3.09	0.00	3.09
03/15/2018	REEVES, KATHLEEN	AM FUNDS NEW ECONOMY A	0.00	3.24	80.0	2.59	0.00	2.59
03/15/2018	KRAUS, AMANDA	AM FUNDS NEW ECONOMY C	0.00	7.83	80.0	6.26	0.00	6.26
03/15/2018	SWENSON, WALTER	AM FUNDS NEW PERSPECTIVE A	0.00	1.11	80.0	0.89	0.00	0.89
03/15/2018	LAYFIELD-ALBRITTON, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	1.35	80.0	1.08	0.00	1.08
03/15/2018	DAILEY, TREVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.64	80.0	2.11	0.00	2.11
02/28/2018	ROWE-BRANF	BLACKROCK 67776G469	0.00	0.15	80.0	0.12	0.00	0.12
/ /	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	1.05	80.0	0.84	0.00	0.84
02/28/2018	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.26	80.0	0.21	0.00	0.21
03/15/2018	LAYFIELD-ALBRITTON, KIMBERLY	FRANKLIN SMALL CAP GROWTH C	0.00	1.02	80.0	0.82	0.00	0.82
03/20/2018	KRAUS, TIM	OPPENHEIMER DEVELOPING MARKETS C	0.00	11.14	80.0	8.91	0.00	8.91
03/12/2018	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	17.34	80.0	13.87	0.00	13.87
03/12/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	3.17	80.0	2.54	0.00	2.54
03/12/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.30	80.0	1.04	0.00	1.04
03/12/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	9.06	80.0	7.25	0.00	7.25
04/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	0.00	0.46	80.0	0.37	0.00	0.37
04/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	0.00	0.47	80.0	0.38	0.00	0.38
04/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	0.00	0.65	80.0	0.52	0.00	0.52
04/02/2018	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.62	80.0	0.50	0.00	0.50
04/02/2018	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	0.00	0.23	80.0	0.18	0.00	0.18
04/02/2018	MELTON, JOY	PUTNAM EMERGING MKTS EQ A	0.00	0.77	80.0	0.62	0.00	0.62
04/02/2018	NEWTON, GEORGE	PUTNAM EQUITY INCOME A	0.00	6.46	80.0	5.17	0.00	5.17
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM EQUITY INCOME A	0.00	1.87	80.0	1.50	0.00	1.50
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM EQUITY SPECTRUM A	0.00	2.25	80.0	1.80	0.00	1.80
04/02/2018	DOWDY, LINDA	PUTNAM EQUITY SPECTRUM A	0.00	0.03	80.0	0.02	0.00	0.02
04/02/2018	MOORE, TONI	PUTNAM EQUITY SPECTRUM A	0.00	2.81	80.0	2.25	0.00	2.25
04/02/2018	PLAN	PUTNAM EQUITY SPECTRUM A	0.00	3.37	80.0	2.70	0.00	2.70
04/02/2018	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	0.00	0.08	80.0	0.06	0.00	0.06
04/02/2018	BACON-HILL, MICHELE	PUTNAM EUROPE EQUITY A	0.00	1.14	80.0	0.91	0.00	0.91
04/02/2018	BACON-HILL, MICHELE	PUTNAM GLOBAL CONSUMER A	0.00	1.56	80.0	1.25	0.00	1.25

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL CONSUMER A	0.00	1.77	80.0	1.42	0.00	1.42
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL CONSUMER A	0.00	2.09	80.0	1.67	0.00	1.67
04/02/2018	YOSS, JAY	PUTNAM GLOBAL CONSUMER A	0.00	1.39	80.0	1.11	0.00	1.11
04/02/2018	HIPP, DEBRA	PUTNAM GLOBAL CONSUMER A	0.00	0.65	80.0	0.52	0.00	0.52
04/02/2018	HIPP, RONALD	PUTNAM GLOBAL CONSUMER A	0.00	0.73	80.0	0.58	0.00	0.58
04/02/2018	MOORE, TONI	PUTNAM GLOBAL CONSUMER A	0.00	2.95	80.0	2.36	0.00	2.36
04/02/2018	CASTLE, DAVID	PUTNAM GLOBAL CONSUMER A	0.00	0.56	80.0	0.45	0.00	0.45
04/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL CONSUMER A	0.00	0.82	80.0	0.66	0.00	0.66
04/02/2018	GREGORY, ERIC	PUTNAM GLOBAL CONSUMER B	0.00	0.65	80.0	0.52	0.00	0.52
04/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL CONSUMER C	0.00	2.56	80.0	2.05	0.00	2.05
04/02/2018	DOWNING, JOHN	PUTNAM GLOBAL CONSUMER M	0.00	2.60	80.0	2.08	0.00	2.08
04/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.05	80.0	0.04	0.00	0.04
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.81	80.0	0.65	0.00	0.65
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	2.59	80.0	2.07	0.00	2.07
04/02/2018	YOSS, JAY	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.85	80.0	1.48	0.00	1.48
04/02/2018	HIPP, RONALD	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.10	80.0	0.88	0.00	0.88
04/02/2018	GREGORY, MANDY	PUTNAM GLOBAL INDUSTRIALS-A	0.00	0.92	80.0	0.74	0.00	0.74
04/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.21	80.0	0.97	0.00	0.97
04/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL INDUSTRIALS-A	0.00	1.11	80.0	0.89	0.00	0.89
04/02/2018	HIPP, DEBRA	PUTNAM GLOBAL INDUSTRIALS-B	0.00	1.09	80.0	0.87	0.00	0.87
04/02/2018	CASTLE, DAVID	PUTNAM GLOBAL INDUSTRIALS-B	0.00	0.97	80.0	0.78	0.00	0.78
04/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL INDUSTRIALS-C	0.00	3.37	80.0	2.70	0.00	2.70
04/02/2018	DOWNING, JOHN	PUTNAM GLOBAL INDUSTRIALS-M	0.00	3.93	80.0	3.14	0.00	3.14
04/02/2018	BACON-HILL, MICHELE	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.50	80.0	0.40	0.00	0.40
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	3.73	80.0	2.98	0.00	2.98
04/02/2018	WILLIAMS, JESSIE	PUTNAM GLOBAL TECH A	0.00	2.41	80.0	1.93	0.00	1.93
04/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	0.15	80.0	0.12	0.00	0.12
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	5.51	80.0	4.41	0.00	4.41
04/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	0.79	80.0	0.63	0.00	0.63
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM GLOBAL TECH A	0.00	2.98	80.0	2.38	0.00	2.38
04/02/2018	YOSS, JAY	PUTNAM GLOBAL TECH A	0.00	1.85	80.0	1.48	0.00	1.48
04/02/2018	HIPP, DEBRA	PUTNAM GLOBAL TECH A	0.00	1.41	80.0	1.13	0.00	1.13
04/02/2018	DOWDY, JIMMIE	PUTNAM GLOBAL TECH A	0.00	1.55	80.0	1.24	0.00	1.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/02/2018	DOWNING, JOHN	PUTNAM GLOBAL TECH A	0.00	1.67	80.0	1.34	0.00	1.34
04/02/2018	MOORE, TONI	PUTNAM GLOBAL TECH A	0.00	2.73	80.0	2.18	0.00	2.18
04/02/2018	MICHENER, DAVID	PUTNAM GLOBAL TECH A	0.00	3.07	80.0	2.46	0.00	2.46
04/02/2018	PLAN	PUTNAM GLOBAL TECH A	0.00	6.85	80.0	5.48	0.00	5.48
04/02/2018	HOUSE, CONNIE	PUTNAM GLOBAL TECH A	0.00	1.39	80.0	1.11	0.00	1.11
04/02/2018	GREGORY, ERIC	PUTNAM GLOBAL TECH A	0.00	1.35	80.0	1.08	0.00	1.08
04/02/2018	HIPP, RONALD	PUTNAM GLOBAL TECH B	0.00	1.45	80.0	1.16	0.00	1.16
04/02/2018	CASTLE, DAVID	PUTNAM GLOBAL TECH B	0.00	1.11	80.0	0.89	0.00	0.89
04/02/2018	GRIMMETT, JAMES	PUTNAM GLOBAL TECH C	0.00	16.17	80.0	12.94	0.00	12.94
04/02/2018	CANFIELD, LORI	PUTNAM GLOBAL TECH C	0.00	4.79	80.0	3.83	0.00	3.83
04/02/2018	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	1.08	80.0	0.86	0.00	0.86
04/02/2018	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	1.08	80.0	0.86	0.00	0.86
04/02/2018	BACON-HILL, MICHELE	PUTNAM GROWTH OPP A	0.00	1.27	80.0	1.02	0.00	1.02
04/02/2018	WILLIAMS, JESSIE	PUTNAM GROWTH OPP A	0.00	1.48	80.0	1.18	0.00	1.18
04/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	0.16	80.0	0.13	0.00	0.13
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM GROWTH OPP A	0.00	3.20	80.0	2.56	0.00	2.56
04/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	0.37	80.0	0.30	0.00	0.30
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM GROWTH OPP A	0.00	2.81	80.0	2.25	0.00	2.25
04/02/2018	YOSS, JAY	PUTNAM GROWTH OPP A	0.00	1.85	80.0	1.48	0.00	1.48
04/02/2018	HIPP, DEBRA	PUTNAM GROWTH OPP A	0.00	1.49	80.0	1.19	0.00	1.19
04/02/2018	HERNDON, JAMES	PUTNAM GROWTH OPP A	0.00	1.01	80.0	0.81	0.00	0.81
04/02/2018	DOWNING, JOHN	PUTNAM GROWTH OPP A	0.00	0.01	80.0	0.01	0.00	0.01
04/02/2018	GREGORY, MANDY	PUTNAM GROWTH OPP A	0.00	0.92	80.0	0.74	0.00	0.74
04/02/2018	MOORE, TONI	PUTNAM GROWTH OPP A	0.00	2.46	80.0	1.97	0.00	1.97
04/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	4.18	80.0	3.34	0.00	3.34
04/02/2018	HOUSE, CONNIE	PUTNAM GROWTH OPP A	0.00	1.11	80.0	0.89	0.00	0.89
04/02/2018	MELTON, JOY	PUTNAM GROWTH OPP A	0.00	1.25	80.0	1.00	0.00	1.00
04/02/2018	UNIF TRANSFER TO M/A, U/OK	PUTNAM GROWTH OPP C	0.00	2.91	80.0	2.33	0.00	2.33
04/02/2018	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	0.00	4.26	80.0	3.41	0.00	3.41
04/02/2018	CAMPBELL, CHANDLER	PUTNAM GROWTH OPP C	0.00	0.08	80.0	0.06	0.00	0.06
04/02/2018	GRIMMETT, JAMES	PUTNAM GROWTH OPP C	0.00	7.96	80.0	6.37	0.00	6.37
04/02/2018	DOWDY, JIMMIE	PUTNAM GROWTH OPP C	0.00	3.09	80.0	2.47	0.00	2.47
04/02/2018	HYER, LISA	PUTNAM GROWTH OPP C	0.00	6.30	80.0	5.04	0.00	5.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/02/2018	HIPP, RONALD	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.44	80.0	1.15	0.00	1.15
04/02/2018	CASTLE, DAVID	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.03	80.0	0.82	0.00	0.82
04/02/2018	DOWNING, JOHN	PUTNAM GROWTH OPPORTUNITIES-M	0.00	4.54	80.0	3.63	0.00	3.63
04/02/2018	NEWTON, GEORGE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.65	80.0	2.92	0.00	2.92
04/02/2018	BRANCH, MARY	PUTNAM INCOME A	0.00	0.02	80.0	0.02	0.00	0.02
04/02/2018	BACON-HILL, MICHELE	PUTNAM INTL CAPITAL OPPS-A	0.00	1.87	80.0	1.50	0.00	1.50
04/02/2018	NEWTON, MAX	PUTNAM INTL CAPITAL OPPS-A	0.00	0.34	80.0	0.27	0.00	0.27
04/02/2018	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-A	0.00	0.01	80.0	0.01	0.00	0.01
04/02/2018	BRANSTETTER, MATT	PUTNAM INTL CAPITAL OPPS-A	0.00	0.09	80.0	0.07	0.00	0.07
04/02/2018	WALL-POLLAN, LEAH	PUTNAM INTL CAPITAL OPPS-C	0.00	0.33	80.0	0.26	0.00	0.26
04/02/2018	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	0.00	50.96	80.0	40.77	0.00	40.77
04/02/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	0.00	58.88	80.0	47.10	0.00	47.10
04/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	5.39	80.0	4.31	0.00	4.31
04/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	5.32	80.0	4.26	0.00	4.26
04/02/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	0.00	2.18	80.0	1.74	0.00	1.74
04/02/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	0.00	2.18	80.0	1.74	0.00	1.74
04/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	8.99	80.0	7.19	0.00	7.19
04/02/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	8.99	80.0	7.19	0.00	7.19
04/02/2018	NOBLE PUBLIC SCHOOLS,	PUTNAM INTL CAPITAL OPPS-C	0.00	0.47	80.0	0.38	0.00	0.38
04/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	3.94	80.0	3.15	0.00	3.15
04/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	1.95	80.0	1.56	0.00	1.56
04/02/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	0.18	80.0	0.14	0.00	0.14
04/02/2018	MORIELS, FELICIA	PUTNAM INTL CAPITAL OPPS-M	0.00	0.02	80.0	0.02	0.00	0.02
04/02/2018	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-M	0.00	0.39	80.0	0.31	0.00	0.31
04/02/2018	LLTD INVESTMENTS LLC	PUTNAM MULTI CAP GROWTH A	0.00	0.52	80.0	0.42	0.00	0.42
04/02/2018	WILLIAMS, JESSIE	PUTNAM MULTI CAP GROWTH A	0.00	0.81	80.0	0.65	0.00	0.65
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM MULTI CAP GROWTH A	0.00	4.40	80.0	3.52	0.00	3.52
04/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	0.20	80.0	0.16	0.00	0.16
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM MULTI CAP GROWTH A	0.00	2.72	80.0	2.18	0.00	2.18
04/02/2018	YOSS, JAY	PUTNAM MULTI CAP GROWTH A	0.00	1.86	80.0	1.49	0.00	1.49
04/02/2018	HIPP, DEBRA	PUTNAM MULTI CAP GROWTH A	0.00	0.92	80.0	0.74	0.00	0.74
04/02/2018	HIPP, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	1.17	80.0	0.94	0.00	0.94
04/02/2018	DOWNING, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	0.99	80.0	0.79	0.00	0.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/02/2018	MOORE, TONI	PUTNAM MULTI CAP GROWTH A	0.00	2.99	80.0	2.39	0.00	2.39
04/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	4.75	80.0	3.80	0.00	3.80
04/02/2018	HOUSE, CONNIE	PUTNAM MULTI CAP GROWTH A	0.00	1.09	80.0	0.87	0.00	0.87
04/02/2018	BRANCH, MARY	PUTNAM MULTI CAP GROWTH A	0.00	0.96	80.0	0.77	0.00	0.77
04/02/2018	GREGORY, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	0.95	80.0	0.76	0.00	0.76
04/02/2018	MELTON, JOY	PUTNAM MULTI CAP GROWTH A	0.00	1.22	80.0	0.98	0.00	0.98
04/02/2018	CASTLE, DAVID	PUTNAM MULTI-CAP CORE	0.00	0.64	80.0	0.51	0.00	0.51
04/02/2018	PLAN	PUTNAM MULTI-CAP CORE FUND A	0.00	0.09	80.0	0.07	0.00	0.07
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM MULTI-CAP CORE FUND A	0.00	1.91	80.0	1.53	0.00	1.53
04/02/2018	YOSS, JAY	PUTNAM MULTI-CAP CORE FUND A	0.00	1.08	80.0	0.86	0.00	0.86
04/02/2018	HIPP, RONALD	PUTNAM MULTI-CAP CORE FUND A	0.00	0.66	80.0	0.53	0.00	0.53
04/02/2018	MOORE, TONI	PUTNAM MULTI-CAP CORE FUND A	0.00	1.55	80.0	1.24	0.00	1.24
04/02/2018	GRIMMETT, JAMES	PUTNAM MULTI-CAP CORE FUND C	0.00	8.07	80.0	6.46	0.00	6.46
04/02/2018	DOWNING, JOHN	PUTNAM MULTI-CAP CORE FUND-M	0.00	1.90	80.0	1.52	0.00	1.52
04/02/2018	CASTLE, DAVID	PUTNAM MULTI-CAP GROWTH B	0.00	1.00	80.0	0.80	0.00	0.80
04/02/2018	GERHARDT, LEE	PUTNAM MULTI-CAP GROWTH C	0.00	44.01	80.0	35.21	0.00	35.21
04/02/2018	GRIMMETT, JAMES	PUTNAM MULTI-CAP GROWTH C	0.00	8.29	80.0	6.63	0.00	6.63
04/02/2018	DOWDY, JIMMIE	PUTNAM MULTI-CAP GROWTH C	0.00	3.54	80.0	2.83	0.00	2.83
04/02/2018	BRANCH, MARY	PUTNAM MULTI-CAP GROWTH C	0.00	2.27	80.0	1.82	0.00	1.82
04/02/2018	POORE, NANCY	PUTNAM MULTI-CAP GROWTH C	0.00	1.92	80.0	1.54	0.00	1.54
04/02/2018	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	0.00	0.17	80.0	0.14	0.00	0.14
04/02/2018	MCFERRON, LARRY	PUTNAM MULTI-CAP GROWTH M	0.00	1.93	80.0	1.54	0.00	1.54
04/02/2018	HUFFMAN, KRISTOPHER	PUTNAM MULTI-CAP GROWTH M	0.00	0.94	80.0	0.75	0.00	0.75
04/02/2018	STANFORD, DAVID	PUTNAM MULTI-CAP GROWTH M	0.00	3.39	80.0	2.71	0.00	2.71
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM RESEARCH A	0.00	1.90	80.0	1.52	0.00	1.52
04/02/2018	YOSS, JAY	PUTNAM RESEARCH A	0.00	1.20	80.0	0.96	0.00	0.96
04/02/2018	HIPP, RONALD	PUTNAM RESEARCH A	0.00	0.66	80.0	0.53	0.00	0.53
04/02/2018	DOWNING, JOHN	PUTNAM RESEARCH A	0.00	0.99	80.0	0.79	0.00	0.79
04/02/2018	CASTLE, DAVID	PUTNAM RESEARCH B	0.00	0.63	80.0	0.50	0.00	0.50
04/02/2018	VARNELL, HOPE	PUTNAM SHORT DURATION INCOME A	0.00	0.15	80.0	0.12	0.00	0.12
04/02/2018	WILLIAMS, JESSIE	PUTNAM SHORT DURATION INCOME A	0.00	9.05	80.0	7.24	0.00	7.24
04/02/2018	GRIMMETT, JAMES	PUTNAM SHORT DURATION INCOME A	0.00	1.05	80.0	0.84	0.00	0.84
04/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	2.03	80.0	1.62	0.00	1.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM SHORT DURATION INCOME A	0.00	10.86	80.0	8.69	0.00	8.69
04/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	0.88	80.0	0.70	0.00	0.70
04/02/2018	BRANSTETTER, MATT	PUTNAM SHORT DURATION INCOME A	0.00	0.15	80.0	0.12	0.00	0.12
04/02/2018	STANFORD, DAVID	PUTNAM SHORT DURATION INCOME A	0.00	0.55	80.0	0.44	0.00	0.44
04/02/2018	MOORE, TONI	PUTNAM SHORT DURATION INCOME A	0.00	13.75	80.0	11.00	0.00	11.00
04/02/2018	PLAN	PUTNAM SHORT DURATION INCOME A	0.00	13.66	80.0	10.93	0.00	10.93
04/02/2018	RIPLEY, ANTHONY	PUTNAM SMALL CAP GROWTH-A	0.00	0.03	80.0	0.02	0.00	0.02
04/02/2018	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	0.00	63.38	80.0	50.70	0.00	50.70
04/02/2018	VANDERBURG, JENNY	PUTNAM SMALL CAP GROWTH-C	0.00	3.42	80.0	2.74	0.00	2.74
04/02/2018	BRANCH, MARY	PUTNAM SMALL CAP GROWTH-C	0.00	0.78	80.0	0.62	0.00	0.62
04/02/2018	HYER, LISA	PUTNAM SMALL CAP GROWTH-C	0.00	0.60	80.0	0.48	0.00	0.48
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	1.18	80.0	0.94	0.00	0.94
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM SMALL CAP VALUE A	0.00	1.69	80.0	1.35	0.00	1.35
04/02/2018	FAIRLESS, BENJAMIN	PUTNAM SMALL CAP VALUE A	0.00	2.03	80.0	1.62	0.00	1.62
04/02/2018	YOSS, JAY	PUTNAM SMALL CAP VALUE A	0.00	1.35	80.0	1.08	0.00	1.08
04/02/2018	HIPP, RONALD	PUTNAM SMALL CAP VALUE A	0.00	0.66	80.0	0.53	0.00	0.53
04/02/2018	DOWNING, JOHN	PUTNAM SMALL CAP VALUE A	0.00	0.96	80.0	0.77	0.00	0.77
04/02/2018	MOORE, TONI	PUTNAM SMALL CAP VALUE A	0.00	1.60	80.0	1.28	0.00	1.28
04/02/2018	PLAN	PUTNAM SMALL CAP VALUE A	0.00	2.12	80.0	1.70	0.00	1.70
04/02/2018	CASTLE, DAVID	PUTNAM SMALL CAP VALUE A	0.00	0.01	80.0	0.01	0.00	0.01
04/02/2018	CASTLE, DAVID	PUTNAM SMALL CAP VALUE B	0.00	0.61	80.0	0.49	0.00	0.49
04/02/2018	GRIMMETT, JAMES	PUTNAM SMALL CAP VALUE C	0.00	7.49	80.0	5.99	0.00	5.99
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$925,296.61</b>	<b>\$1,241.28</b>		<b>\$993.06</b>	<b>\$0.00</b>	<b>\$993.06</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,768,237.73</b>	<b>\$2,988.98</b>		<b>\$2,391.21</b>	<b>\$0.00</b>	<b>\$2,391.21</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
04/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*GLOBAL RELAY: FACEBOOK	0.00					-5.00
04/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
04/15/2018		*MARKETING PRO: MARKETING LIBRARY	0.00					-20.00
04/15/2018		*MISC: LAWTON BOOK BUYOUT	0.00					-852.01
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.96
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,382.97</b>	<b>\$0.00</b>	<b>\$-1,382.97</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,407.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,382.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$1,024.94</b>
Year-to-date Gross	<b>\$20,647.09</b>
Year-to-date Earnings	<b>\$16,316.21</b>

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