



All Companies

04/27/2018  
Page No. 1

# COMMISSION STATEMENT May 01, 2018

RICHARD E. FENGLER  
513 HARBOR LANE

BROKER #: 2990  
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

## COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/31/2018	TRAILS	-VOYA	B	747.17	747.17	0.00	747.17	85.0	635.09
04/13/2018	DILL, SYLVIA	-VOYA	B	500.00	23.75	0.00	23.75	85.0	20.19
04/02/2018	00689654523 BOYLE, JOHN	-FIDELITY ADVISOR FUNDS	B	1,500.00	30.00	0.00	30.00	85.0	25.50
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04/09/2018	00701154544 DUNFORD, GINA	FIDELITY ADV DIVERSIFIED INTL FD-CL B	B	50.00	2.50	0.00	2.50	85.0	2.13
04/10/2018	00701863771 EPPERSON, JOANN/SUSAN	FA 529 PORT 2019-CL A	B	100.00	3.75	0.00	3.75	85.0	3.19
04/02/2018	00704636216 MICHAEL A SCHOEMEHL, BENE	FA 529 PORT 2025-CL A	B	50.00	2.50	0.00	2.50	85.0	2.13
04/09/2018	01481958690 KLING, MELISSA K.	FA 529 PORT 2019-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
04/09/2018	01481958708 KLING, MELISSA K.	FA 529 DIVIDEND GROWTH PORT-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$3,747.17</b>	<b>\$817.67</b>	<b>\$0.00</b>	<b>\$817.67</b>		<b>\$695.03</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	512.44	512.44	0.00	512.44	85.0	435.57
<b>* STOCKS SUBTOTAL *</b>				<b>\$512.44</b>	<b>\$512.44</b>	<b>\$0.00</b>	<b>\$512.44</b>		<b>\$435.57</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/06/2018	TRAILS	-JOHN HANCOCK	B	9.32	9.32	0.00	9.32	85.0	7.92
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	943.37	943.37	0.00	943.37	85.0	801.86
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$952.69</b>	<b>\$952.69</b>	<b>\$0.00</b>	<b>\$952.69</b>		<b>\$809.78</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
04/17/2018	12982384 BEGNOCHE, KELLEN & RACHEL	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	85.0	9.92
04/17/2018	48690341 STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	85.0	157.25
04/17/2018	85905056 WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	490.00	0.00	490.00	85.0	416.50
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$686.67</b>	<b>\$0.00</b>	<b>\$686.67</b>		<b>\$583.67</b>

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	201.65	0.00	201.65	85.0	171.40
05/01/2018 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES	0.00	257.95	0.00	257.95	85.0	219.28
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$459.60	\$0.00	\$459.60		\$390.68
*** BROKER TRANSACTIONS TOTAL ***		\$5,212.30	\$3,429.07	\$0.00	\$3,429.07		\$2,914.73

ADJUSTMENTS

05/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
05/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-108.00
05/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-235.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,914.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,679.23
Year-to-date Earnings	\$24,526.51