



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT April 15, 2017

ROBERT THOMPSON
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: T118
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/31/2017	DEMPSEY, GLENN	AM FUNDS BOND FUND OF AMERICA A	14,124.61	423.74	0.00	423.74	90.0	381.37
04/03/2017	DEMPSEY, CAMERON	AM FUNDS BOND FUND OF AMERICA A	14,128.91	423.87	0.00	423.87	90.0	381.48
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.78	0.00	1.78	90.0	1.60
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.37	0.00	2.37	90.0	2.13
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.57	0.00	1.57	90.0	1.41
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	4.18	0.00	4.18	90.0	3.76
* MUTUAL FUNDS SUBTOTAL *			\$28,253.52	\$857.51	\$0.00	\$857.51		\$771.75

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/31/2017	FLECK, MARTHA	ALLIANZ VALUEMARK II	26,266.74	16.42	0.00	16.42	90.0	14.78
03/29/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	9.12
03/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,289.32	7.98	0.00	7.98	90.0	7.18
03/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,127.14	1.33	0.00	1.33	90.0	1.20
03/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	41,850.36	34.88	0.00	34.88	90.0	31.39
03/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	100,834.05	84.03	0.00	84.03	90.0	75.63
03/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,774.40	22.31	0.00	22.31	90.0	20.08
03/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	38,381.36	31.98	0.00	31.98	90.0	28.78
03/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	128,587.97	107.16	0.00	107.16	90.0	96.44
03/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	138,417.01	115.35	0.00	115.35	90.0	103.82
03/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	171,731.96	143.11	0.00	143.11	90.0	128.80
03/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	24,325.14	20.27	0.00	20.27	90.0	18.24
03/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	46,707.49	38.92	0.00	38.92	90.0	35.03
03/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	17,177.60	14.31	0.00	14.31	90.0	12.88
03/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,761.03	20.63	0.00	20.63	90.0	18.57
03/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	169,108.46	140.92	0.00	140.92	90.0	126.83
03/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	157,683.70	131.40	0.00	131.40	90.0	118.26
03/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,349.51	128.62	0.00	128.62	90.0	115.76

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	215,929.58	179.94	0.00	179.94	90.0	161.95
03/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,616.44	35.51	0.00	35.51	90.0	31.96
03/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	117,912.82	98.26	0.00	98.26	90.0	88.43
03/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	110,031.37	91.69	0.00	91.69	90.0	82.52
03/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	119,739.64	99.78	0.00	99.78	90.0	89.80
03/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,791.90	19.83	0.00	19.83	90.0	17.85
03/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	29,074.72	24.23	0.00	24.23	90.0	21.81
04/01/2017	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	74,661.60	186.65	0.00	186.65	90.0	167.99
04/01/2017	OSTER, JOHN	NATIONWIDE DESTINATION L2 01	75,693.25	189.23	0.00	189.23	90.0	170.31
04/01/2017	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	33,707.92	84.27	0.00	84.27	90.0	75.84
04/01/2017	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	126,072.07	315.18	0.00	315.18	90.0	283.66
04/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	91,593.20	228.98	0.00	228.98	90.0	206.08
04/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	98,947.54	247.37	0.00	247.37	90.0	222.63
04/01/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	94,341.69	235.85	0.00	235.85	90.0	212.27
04/01/2017	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	211,147.11	527.87	0.00	527.87	90.0	475.08
04/01/2017	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	91,850.95	229.63	0.00	229.63	90.0	206.67
04/01/2017	COOPER, MARK	NATIONWIDE DESTINATION LS 96	106,130.32	265.33	0.00	265.33	90.0	238.80
04/01/2017	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	92,929.42	232.32	0.00	232.32	90.0	209.09
04/01/2017	GRIM, SUSAN	NW DESTINATION B2 05	8,000.41	15.00	0.00	15.00	90.0	13.50
04/01/2017	GRUBB, BEVERLY	NWL DESTINATION EV2 01	75,891.95	47.43	0.00	47.43	90.0	42.69
03/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	55,316.77	57.62	0.00	57.62	90.0	51.86
03/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	131,087.90	109.24	0.00	109.24	90.0	98.32
03/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,445.98	3.70	0.00	3.70	90.0	3.33
03/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	246,781.23	205.65	0.00	205.65	90.0	185.09
03/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,527.59	19.61	0.00	19.61	90.0	17.65
03/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,036.51	5.03	0.00	5.03	90.0	4.53
03/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	93,466.06	77.89	0.00	77.89	90.0	70.10
03/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,554.43	40.46	0.00	40.46	90.0	36.41
03/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	30,784.79	25.65	0.00	25.65	90.0	23.09
03/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,181.53	32.65	0.00	32.65	90.0	29.39
03/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	253,963.01	211.64	0.00	211.64	90.0	190.48
03/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	156,232.46	130.19	0.00	130.19	90.0	117.17
03/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	75,973.38	63.31	0.00	63.31	90.0	56.98

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/15/2017	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	502.87	0.42	0.00	0.42	90.0	0.38
03/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,544.35	2.12	0.00	2.12	90.0	1.91
03/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	226,763.28	188.97	0.00	188.97	90.0	170.07
03/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	115,835.18	96.53	0.00	96.53	90.0	86.88
03/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,585.78	2.15	0.00	2.15	90.0	1.94
03/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,125.86	101.77	0.00	101.77	90.0	91.59
03/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,451.71	22.04	0.00	22.04	90.0	19.84
03/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	98,071.21	81.73	0.00	81.73	90.0	73.56
03/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	83,078.93	69.23	0.00	69.23	90.0	62.31
03/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,228.66	32.69	0.00	32.69	90.0	29.42
03/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	108,936.66	90.78	0.00	90.78	90.0	81.70
03/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	62,162.35	51.80	0.00	51.80	90.0	46.62
03/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	97,504.55	81.25	0.00	81.25	90.0	73.13
03/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,120.01	144.27	0.00	144.27	90.0	129.84
03/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,770.88	179.81	0.00	179.81	90.0	161.83
03/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,889.50	22.41	0.00	22.41	90.0	20.17
03/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,851.22	64.88	0.00	64.88	90.0	58.39
03/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,037.29	125.03	0.00	125.03	90.0	112.53
03/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	58,622.68	48.85	0.00	48.85	90.0	43.97
03/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	32,311.15	26.93	0.00	26.93	90.0	24.24
03/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	109,766.33	91.47	0.00	91.47	90.0	82.32
03/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	167,190.14	139.33	0.00	139.33	90.0	125.40
* VARIABLE ANNUITIES SUBTOTAL *			\$6,310,289.37	\$7,071.20	\$0.00	\$7,071.20		\$6,364.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/22/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.36	0.00	4.36	90.0	3.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.36	\$0.00	\$4.36		\$3.92
*** BROKER TRANSACTIONS TOTAL ***			\$6,338,542.89	\$7,933.07	\$0.00	\$7,933.07		\$7,139.83

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<u>ADJUSTMENTS</u>								
04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,139.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,564.83
Year-to-date Gross	\$27,915.03
Year-to-date Earnings	\$25,182.57

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