

COMMISSION STATEMENT

May 31, 2018

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: A08 W15
BRANCH: FULCRUM GROUP

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/03/2018 75502048	FERRUZZI, KENNETH	CORCEPT THERAPEUTICS INC COM	B	737.87	0.00	0.00	0.00	80.0	0.00
05/01/2018 75502049	MORRIS, MICHAEL	UNITEDHEALTH GROUP INC	S	1,650.88	0.00	0.00	0.00	80.0	0.00
05/02/2018 75502049	MORRIS, MICHAEL	BIOGEN INC	B	2,719.20	0.00	0.00	0.00	80.0	0.00
05/02/2018 75502049	MORRIS, MICHAEL	TWITTER INC	S	1,587.38	0.00	0.00	0.00	80.0	0.00
05/02/2018 75502049	MORRIS, MICHAEL	UNITEDHEALTH GROUP INC	S	701.95	0.00	0.00	0.00	80.0	0.00
05/10/2018 75502049	MORRIS, MICHAEL	NUANCE COMMUNICATIONS INC	B	1,308.21	0.00	0.00	0.00	80.0	0.00
05/18/2018 75502049	MORRIS, MICHAEL	AUTODESK INC	B	690.43	0.00	0.00	0.00	80.0	0.00
05/18/2018 75502049	MORRIS, MICHAEL	LIONS GATE ENTMT CORP CL B NON VTG	B	540.18	0.00	0.00	0.00	80.0	0.00
05/18/2018 75502049	MORRIS, MICHAEL	NUANCE COMMUNICATIONS INC	S	566.23	0.00	0.00	0.00	80.0	0.00
05/18/2018 75502049	MORRIS, MICHAEL	VERTEX PHARMACEUTICALS INC	S	783.95	0.00	0.00	0.00	80.0	0.00
05/18/2018 75502049	MORRIS, MICHAEL	WESTERN DIGITAL CORP	B	592.17	0.00	0.00	0.00	80.0	0.00
05/03/2018 75502050	DIPENICE, WILLIAM	GENERAL DYNAMICS CORP	S	4,831.34	0.00	0.00	0.00	80.0	0.00
05/08/2018 75502050	DIPENICE, WILLIAM	DOVER CORPORATION	B	2,503.97	0.00	0.00	0.00	80.0	0.00
05/09/2018 75502050	DIPENICE, WILLIAM	VERISK ANALYTICS INC COM	S	1,760.49	0.00	0.00	0.00	80.0	0.00
05/11/2018 75502050	DIPENICE, WILLIAM	INTERCONTINENTAL EXCHANGE INC	B	1,714.08	0.00	0.00	0.00	80.0	0.00
05/15/2018 75502050	DIPENICE, WILLIAM	UNIVERSAL DISPLAY CORPORATION	B	1,277.23	0.00	0.00	0.00	80.0	0.00
05/29/2018 75502050	DIPENICE, WILLIAM	APERGY CORPORATION COMMON STOCK	S	568.16	0.00	0.00	0.00	80.0	0.00
05/29/2018 75502050	DIPENICE, WILLIAM	IHS MARKIT LTD SHS	S	49.41	0.00	0.00	0.00	80.0	0.00
05/29/2018 75502050	DIPENICE, WILLIAM	IHS MARKIT LTD SHS	S	1,383.48	0.00	0.00	0.00	80.0	0.00
05/03/2018 75502051	DIPENICE, NINA	CORCEPT THERAPEUTICS INC COM	B	1,475.73	0.00	0.00	0.00	80.0	0.00
05/03/2018 75502083	MAISELL, ADRIANE	CORCEPT THERAPEUTICS INC COM	B	654.02	0.00	0.00	0.00	80.0	0.00

* STOCKS SUBTOTAL *		\$28,096.36	\$0.00	\$0.00	\$0.00	\$0.00
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PRODUCT CATEGORY: RIA

04/01/2018 75502048	FERRUZZI, KENNETH	FAS FEES PT. II/III	B	-534.99	89.16	0.00	89.16	80.0	71.33
04/01/2018 75502049	MORRIS, MICHAEL	FAS FEES PT. II/III	B	-690.10	127.79	0.00	127.79	80.0	102.23
04/01/2018 75502050	DIPENICE, WILLIAM	FAS FEES PT. II/III	B	-457.35	76.22	0.00	76.22	80.0	60.98
04/01/2018 75502051	DIPENICE, NINA	FAS FEES PT. II/III	B	-1,097.95	200.07	0.00	200.07	80.0	160.06
04/01/2018 75502052	DIPENICE, WILLIAM	FAS FEES PT. II/III	B	-120.03	30.00	0.00	30.00	80.0	24.00
04/01/2018 75502083	MAISELL, ADRIANE	FAS FEES PT. II/III	B	-480.69	80.11	0.00	80.11	80.0	64.09

* RIA SUBTOTAL *		\$-3,381.11	\$603.35	\$0.00	\$603.35	\$482.69
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BROKER TRANSACTIONS

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

05/24/2018	75502082 CERCHIARA, ANTHONY	UIT FIRST TRUST ELECTION PORT SER 2 S		20,006.15	0.00	0.00	0.00	80.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$20,006.15	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018		FEE COUNT - 1		0.00	0.03	0.00	0.03	80.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.03	\$0.00	\$0.03		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$44,721.40	\$603.38	\$0.00	\$603.38		\$482.69

ADJUSTMENTS

05/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
05/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
05/31/2018		OTHER: RBC- WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$482.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.75
SIPC Assessment	\$-1.51

Check Amount	\$177.43
Year-to-date Earnings	\$1,017.17