

**COMMISSION STATEMENT****December 15, 2017****MARC LINSKY**

104 B. Centre Blvd

Marlton, NJ 08053

**BROKER #: L134**

BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
11/29/2017	DAMICO, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	100,006.92	1,000.07	0.00	1,000.07	90.0	900.06
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$100,006.92</b>	<b>\$1,000.07</b>	<b>\$0.00</b>	<b>\$1,000.07</b>		<b>\$900.06</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/08/2017	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	21,000.45	1,050.02	22.00	1,028.02	90.0	925.22
12/06/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	-155.00	0.00	0.00	0.00	90.0	0.00
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$20,845.45</b>	<b>\$1,050.02</b>	<b>\$22.00</b>	<b>\$1,028.02</b>		<b>\$925.22</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/08/2017	APPLEBAUM, RHEA	APPLE INC COM	-2,369.25	30.00	22.50	7.50	90.0	6.75
11/29/2017	GROTTOLA, FRANK	COTTONWOOD RESIDENTIAL INC PFD REIT	100,000.00	0.00	0.00	0.00	90.0	0.00
11/29/2017	DAMICO, JOSEPH	COTTONWOOD RESIDENTIAL INC PFD REIT	100,000.00	0.00	0.00	0.00	90.0	0.00
		<b>* STOCKS SUBTOTAL *</b>	<b>\$197,630.75</b>	<b>\$30.00</b>	<b>\$22.50</b>	<b>\$7.50</b>		<b>\$6.75</b>
		<b>*** CLEARING TRANSACTIONS TOTAL ***</b>	<b>\$318,483.12</b>	<b>\$2,080.09</b>	<b>\$44.50</b>	<b>\$2,035.59</b>		<b>\$1,832.03</b>
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
12/06/2017	DAMICO, JOSEPH	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
11/22/2017	DAMICO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$200,000.00</b>	<b>\$14,000.00</b>	<b>\$0.00</b>	<b>\$14,000.00</b>		<b>\$12,600.00</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
11/28/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	400.00	10.00	0.00	10.00	90.0	9.00
11/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
11/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
		<b>* 529 PLANS SUBTOTAL *</b>	<b>\$850.00</b>	<b>\$21.25</b>	<b>\$0.00</b>	<b>\$21.25</b>		<b>\$19.13</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/30/2017	LINSKY, MARC	CPLUS ASSURANCE LSHR	0.00	35.70	0.00	35.70	90.0	32.13
12/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
11/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	276,350.67	230.29	0.00	230.29	90.0	207.26
11/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	284,887.84	237.41	0.00	237.41	90.0	213.67
11/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	414,413.45	345.34	0.00	345.34	90.0	310.81
11/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	502,242.07	418.54	0.00	418.54	90.0	376.69
11/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	113,759.35	94.80	0.00	94.80	90.0	85.32
11/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,012.48	2.92	0.00	2.92	90.0	2.63
11/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	215,931.72	179.94	0.00	179.94	90.0	161.95
11/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	121,493.55	101.24	0.00	101.24	90.0	91.12
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$1,943,391.13</b>	<b>\$1,667.18</b>	<b>\$0.00</b>	<b>\$1,667.18</b>		<b>\$1,500.48</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

11/09/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.90	0.00	0.90	90.0	0.81
11/09/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	12.54	0.00	12.54	90.0	11.29
11/17/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.19	0.00	4.19	90.0	3.77
12/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	3.02	0.00	3.02	90.0	2.72
11/20/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.90	0.00	3.90	90.0	3.51
11/20/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.51	0.00	6.51	90.0	5.86
11/20/2017	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.42	0.00	0.42	90.0	0.38
12/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.29	0.00	1.29	90.0	1.16
12/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.59	0.00	0.59	90.0	0.53
12/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.37	0.00	1.37	90.0	1.23
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.37	0.00	1.37	90.0	1.23
12/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.90	0.00	0.90	90.0	0.81
12/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.90	0.00	0.90	90.0	0.81
12/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.21	0.00	10.21	90.0	9.19
12/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	118.81	0.00	118.81	90.0	106.93
12/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.62	0.00	3.62	90.0	3.26
12/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.62	0.00	3.62	90.0	3.26
11/20/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
11/20/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.82	0.00	1.82	90.0	1.64
11/20/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.44

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/20/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.44
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.00	0.00	1.00	90.0	0.90
12/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.47	0.00	3.47	90.0	3.12
12/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.59	0.00	3.59	90.0	3.23
12/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.58	0.00	0.58	90.0	0.52
12/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.26	0.00	0.26	90.0	0.23
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.44	0.00	1.44	90.0	1.30
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.44	0.00	1.44	90.0	1.30
12/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	124.27	0.00	124.27	90.0	111.84
12/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.83	0.00	0.83	90.0	0.75
12/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.75	0.00	1.75	90.0	1.58
12/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.82	0.00	1.82	90.0	1.64
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.12	0.00	6.12	90.0	5.51
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.02	0.00	1.02	90.0	0.92
12/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.06	0.00	2.06	90.0	1.85
12/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.27	0.00	2.27	90.0	2.04
11/20/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.00	0.00	1.00	90.0	0.90
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	90.0	0.74
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.21	0.00	7.21	90.0	6.49
12/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.19	0.00	1.19	90.0	1.07
12/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.23	0.00	8.23	90.0	7.41
12/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.06	0.00	8.06	90.0	7.25
12/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.73	0.00	3.73	90.0	3.36
12/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.76	0.00	0.76	90.0	0.68
12/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.48	0.00	0.48	90.0	0.43
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.36	0.00	1.36	90.0	1.22
12/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.36	0.00	1.36	90.0	1.22
12/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.98	0.00	12.98	90.0	11.68
12/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	118.61	0.00	118.61	90.0	106.75
12/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.84	0.00	3.84	90.0	3.46
12/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.84	0.00	3.84	90.0	3.46
11/20/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.88	0.00	3.88	90.0	3.49
11/20/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.09	0.00	3.09	90.0	2.78

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/20/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.30	0.00	1.30	90.0	1.17
11/20/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.73	0.00	0.73	90.0	0.66
11/20/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.82	0.00	2.82	90.0	2.54
12/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.52	0.00	7.52	90.0	6.77
11/20/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.93	0.00	14.93	90.0	13.44
12/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.72	0.00	8.72	90.0	7.85
12/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.74	0.00	0.74	90.0	0.67
11/20/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	90.0	1.40
12/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.42	0.00	10.42	90.0	9.38
12/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.31	0.00	8.31	90.0	7.48
12/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.42	0.00	3.42	90.0	3.08
12/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.16	0.00	3.16	90.0	2.84
12/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.71
12/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.90	0.00	0.90	90.0	0.81
11/20/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.90	0.00	0.90	90.0	0.81
11/20/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.61	0.00	4.61	90.0	4.15
11/20/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.53	0.00	1.53	90.0	1.38
11/20/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.31	0.00	6.31	90.0	5.68
11/20/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.62	0.00	4.62	90.0	4.16
11/20/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.80	0.00	1.80	90.0	1.62
11/20/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
11/20/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.96	0.00	0.96	90.0	0.86
11/20/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.42	0.00	1.42	90.0	1.28
11/20/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.59
11/20/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.96
11/20/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.59
12/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.09	0.00	4.09	90.0	3.68
12/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.31	0.00	4.31	90.0	3.88
11/20/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.72	0.00	2.72	90.0	2.45
11/20/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.67	0.00	2.67	90.0	2.40
11/20/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.47	0.00	5.47	90.0	4.92
11/20/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.56	0.00	5.56	90.0	5.00
11/20/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.52	0.00	1.52	90.0	1.37

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/20/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.08	0.00	9.08	90.0	8.17
11/20/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.22	0.00	0.22	90.0	0.20
11/20/2017	LINSKY, MARC	AM FUNDS ICA A	0.00	0.43	0.00	0.43	90.0	0.39
11/20/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.52
11/20/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.94	0.00	0.94	90.0	0.85
11/20/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.57	0.00	0.57	90.0	0.51
11/20/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.52
11/20/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.52
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.92
11/20/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.46	0.00	5.46	90.0	4.91
11/20/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.75	0.00	12.75	90.0	11.48
11/20/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.95	0.00	4.95	90.0	4.46
11/20/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.74	0.00	4.74	90.0	4.27
11/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.03	0.00	5.03	90.0	4.53
11/20/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.41	0.00	4.41	90.0	3.97
11/20/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.24	0.00	21.24	90.0	19.12
11/20/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.15	0.00	3.15	90.0	2.84
12/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.69	0.00	0.69	90.0	0.62
12/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.69	0.00	0.69	90.0	0.62
12/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.44	0.00	1.44	90.0	1.30
12/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.37	0.00	3.37	90.0	3.03
12/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.54	0.00	0.54	90.0	0.49
12/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.67	0.00	0.67	90.0	0.60
11/20/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.77	0.00	0.77	90.0	0.69
11/20/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.77	0.00	0.77	90.0	0.69
11/20/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.11	0.00	1.11	90.0	1.00
12/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	6.76	0.00	6.76	90.0	6.08
11/20/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.58	0.00	1.58	90.0	1.42
12/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.42	0.00	0.42	90.0	0.38
12/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.14	0.00	1.14	90.0	1.03
12/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	6.04	0.00	6.04	90.0	5.44
12/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.55	0.00	2.55	90.0	2.30
12/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.55	0.00	2.55	90.0	2.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/20/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.82	0.00	5.82	90.0	5.24
11/20/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	90.0	0.19
11/20/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.47	0.00	1.47	90.0	1.32
11/20/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.46	0.00	2.46	90.0	2.21
11/20/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	90.0	0.38
11/20/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.51
11/20/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.94	0.00	0.94	90.0	0.85
11/20/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.51
12/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.13	0.00	7.13	90.0	6.42
12/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.90	0.00	6.90	90.0	6.21
12/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	90.0	0.47
12/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.64	0.00	0.64	90.0	0.58
11/17/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.53	0.00	3.53	90.0	3.18
12/01/2017	ARMSTRONG, CARTER	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	2.25	0.00	2.25	90.0	2.03
12/01/2017	CENTANNI, RICHARD	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	4.21	0.00	4.21	90.0	3.79
12/01/2017	CAMARDO, SHANE	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	0.07	0.00	0.07	90.0	0.06
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	7.17	0.00	7.17	90.0	6.45
11/22/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.42	0.00	18.42	90.0	16.58
11/17/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.47	0.00	1.47	90.0	1.32
11/17/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.61	0.00	4.61	90.0	4.15
12/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	7.06	0.00	7.06	90.0	6.35
12/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.56	0.00	2.56	90.0	2.30
12/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.56	0.00	2.56	90.0	2.30
11/22/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.09	0.00	1.09	90.0	0.98
11/22/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.70	0.00	15.70	90.0	14.13
11/22/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.05	0.00	8.05	90.0	7.25
11/22/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.85	0.00	2.85	90.0	2.57
11/22/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	1.06
11/22/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.30	0.00	0.30	90.0	0.27
11/22/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.34	0.00	0.34	90.0	0.31
11/22/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.95	0.00	0.95	90.0	0.86
11/22/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.45	0.00	2.45	90.0	2.21
11/22/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.08	0.00	1.08	90.0	0.97

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/22/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	11.81	0.00	11.81	90.0	10.63
11/22/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.21	0.00	0.21	90.0	0.19
11/22/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.44	0.00	2.44	90.0	2.20
11/13/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.42	0.00	0.42	90.0	0.38
11/13/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.56	0.00	0.56	90.0	0.50
11/22/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.35	0.00	3.35	90.0	3.02
11/22/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
11/22/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	63.94	0.00	63.94	90.0	57.55
11/17/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.78	0.00	0.78	90.0	0.70
11/16/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.14	0.00	1.14	90.0	1.03
11/16/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.35	0.00	0.35	90.0	0.32
11/20/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.87	0.00	7.87	90.0	7.08
11/20/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.97	0.00	8.97	90.0	8.07
11/20/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.56	0.00	1.56	90.0	1.40
11/20/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.14	0.00	6.14	90.0	5.53
11/20/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.29	0.00	0.29	90.0	0.26
11/20/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.39	0.00	2.39	90.0	2.15
11/13/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.83	0.00	14.83	90.0	13.35
11/13/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.19	0.00	3.19	90.0	2.87
11/13/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.18	0.00	1.18	90.0	1.06
11/13/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.51	0.00	5.51	90.0	4.96
11/13/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
11/13/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.53
11/13/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.84	0.00	2.84	90.0	2.56
11/22/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	16.21	0.00	16.21	90.0	14.59
11/22/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.26	0.00	0.26	90.0	0.23
11/22/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.65	0.00	0.65	90.0	0.59
11/16/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.85	0.00	4.85	90.0	4.37
11/16/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.56	0.00	14.56	90.0	13.10
11/16/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.88	0.00	2.88	90.0	2.59
11/16/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.63	0.00	8.63	90.0	7.77
11/16/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.97	0.00	44.97	90.0	40.47
11/16/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	134.89	0.00	134.89	90.0	121.40

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/16/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.36	0.00	4.36	90.0	3.92
11/16/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.17	0.00	6.17	90.0	5.55
11/16/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.18	0.00	5.18	90.0	4.66
11/22/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.69	0.00	12.69	90.0	11.42
11/22/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.31	0.00	4.31	90.0	3.88
11/20/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.82	0.00	3.82	90.0	3.44
11/20/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	87.13	0.00	87.13	90.0	78.42
11/20/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	41.91	0.00	41.91	90.0	37.72
11/20/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	36.63	0.00	36.63	90.0	32.97
11/20/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	457.92	0.00	457.92	90.0	412.13
11/20/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.52	0.00	11.52	90.0	10.37
11/20/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.51	0.00	7.51	90.0	6.76
11/20/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.00	0.00	8.00	90.0	7.20
11/20/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	33.89	0.00	33.89	90.0	30.50
11/20/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	31.63	0.00	31.63	90.0	28.47
11/20/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.52	0.00	11.52	90.0	10.37
11/20/2017	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.23	0.00	24.23	90.0	21.81
11/20/2017	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.85	0.00	10.85	90.0	9.77
11/20/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.83	0.00	2.83	90.0	2.55
11/20/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.83	0.00	2.83	90.0	2.55
11/20/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.24	0.00	4.24	90.0	3.82
11/20/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	76.02	0.00	76.02	90.0	68.42
11/20/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	47.41	0.00	47.41	90.0	42.67
11/20/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	21.81	0.00	21.81	90.0	19.63
11/20/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.99	0.00	14.99	90.0	13.49
11/20/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	21.82	0.00	21.82	90.0	19.64
11/20/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	0.11	0.00	0.11	90.0	0.10
11/20/2017	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.01	0.00	0.01	90.0	0.01
11/20/2017	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	44.97	0.00	44.97	90.0	40.47
11/20/2017	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.13	0.00	20.13	90.0	18.12
11/20/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.09	0.00	11.09	90.0	9.98
11/20/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.32	0.00	4.32	90.0	3.89
11/30/2017	APPLEGATE, PAMAEALA	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.29	0.00	0.29	90.0	0.26

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/17/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.10	0.00	1.10	90.0	0.99
11/17/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.18	0.00	0.18	90.0	0.16
11/22/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.64	0.00	0.64	90.0	0.58
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.08	0.00	3.08	90.0	2.77
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.15	0.00	2.15	90.0	1.94
11/21/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	0.00	0.04	0.00	0.04	90.0	0.04
11/21/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.86	0.00	2.86	90.0	2.57
11/21/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.17	0.00	8.17	90.0	7.35
11/21/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.62	0.00	2.62	90.0	2.36
11/21/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	119.83	0.00	119.83	90.0	107.85
11/21/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	122.76	0.00	122.76	90.0	110.48
11/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.50	0.00	29.50	90.0	26.55
11/21/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.54	0.00	20.54	90.0	18.49
11/21/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.91	0.00	0.91	90.0	0.82
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.93	0.00	5.93	90.0	5.34
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.22	0.00	0.22	90.0	0.20
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.03	0.00	8.03	90.0	7.23
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.80	0.00	1.80	90.0	1.62
11/21/2017	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.45	0.00	3.45	90.0	3.11
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.12	0.00	1.12	90.0	1.01
11/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.49	0.00	11.49	90.0	10.34
11/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.25	0.00	6.25	90.0	5.63
11/21/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.52	0.00	6.52	90.0	5.87
11/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.26	0.00	15.26	90.0	13.73
11/21/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
11/21/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.07	0.00	3.07	90.0	2.76
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.05	0.00	3.05	90.0	2.75
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.13	0.00	0.13	90.0	0.12
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.00	0.00	4.00	90.0	3.60
11/21/2017	APPLEBAUM, ALVIN	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	1.91
11/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.66	0.00	0.66	90.0	0.59
11/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	1.37
11/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.51	0.00	20.51	90.0	18.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
11/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	1.55
11/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	2.49
11/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	1.91
11/21/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.35	0.00	11.35	90.0	10.22
11/21/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.50	0.00	99.50	90.0	89.55
11/21/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.47	0.00	11.47	90.0	10.32
11/21/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.48	0.00	5.48	90.0	4.93
11/21/2017	BROSIOUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.45	0.00	6.45	90.0	5.81
11/21/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	11.76
11/21/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.25	0.00	9.25	90.0	8.33
11/21/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	4.22
11/20/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.41	0.00	10.41	90.0	9.37
11/20/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.85	0.00	2.85	90.0	2.57
11/20/2017	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.92	0.00	1.92	90.0	1.73
11/20/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.45
11/20/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	1.12
11/20/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.67
11/14/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.66	0.00	6.66	90.0	5.99
11/14/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.45	0.00	16.45	90.0	14.81
11/14/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.21	0.00	8.21	90.0	7.39
11/14/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.81	0.00	15.81	90.0	14.23
11/14/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	15.83	0.00	15.83	90.0	14.25
11/14/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.70	0.00	0.70	90.0	0.63
11/14/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.59	0.00	9.59	90.0	8.63
11/14/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	22.96	0.00	22.96	90.0	20.66
11/14/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.40	0.00	8.40	90.0	7.56
11/14/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	19.82	0.00	19.82	90.0	17.84
11/14/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.75	0.00	5.75	90.0	5.18
11/14/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.03	0.00	6.03	90.0	5.43
11/14/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	27.70	0.00	27.70	90.0	24.93
11/14/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.71	0.00	11.71	90.0	10.54
11/14/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.62	0.00	9.62	90.0	8.66
11/14/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.96	0.00	14.96	90.0	13.46

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.24	0.00	1.24	90.0	1.12
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.07	0.00	1.07	90.0	0.96
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.43	0.00	0.43	90.0	0.39
11/16/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.63	0.00	0.63	90.0	0.57
11/16/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.47	0.00	2.47	90.0	2.22
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.19	0.00	3.19	90.0	2.87
11/16/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.97	0.00	0.97	90.0	0.87
11/16/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	39.79	0.00	39.79	90.0	35.81
11/16/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.68	0.00	2.68	90.0	2.41
11/16/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	1.63
11/20/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	254.06	0.00	254.06	90.0	228.65
11/16/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	13.61	0.00	13.61	90.0	12.25
11/16/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.06	0.00	3.06	90.0	2.75
11/22/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.31	0.00	13.31	90.0	11.98
11/22/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.18	0.00	18.18	90.0	16.36
11/22/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.98	0.00	5.98	90.0	5.38
11/22/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.37	0.00	45.37	90.0	40.83
11/22/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	136.10	0.00	136.10	90.0	122.49
11/13/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	12.16	0.00	12.16	90.0	10.94
11/13/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.64	0.00	1.64	90.0	1.48
11/13/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.32
11/13/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.81	0.00	11.81	90.0	10.63
11/22/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.48	0.00	0.48	90.0	0.43
11/21/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.90	0.00	5.90	90.0	5.31
11/15/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.49	0.00	9.49	90.0	8.54
11/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.24	0.00	23.24	90.0	20.92
11/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.30	0.00	11.30	90.0	10.17
11/15/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.20	0.00	0.20	90.0	0.18
11/15/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.23	0.00	18.23	90.0	16.41
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.72	0.00	4.72	90.0	4.25
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.52	0.00	0.52	90.0	0.47
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,729.20</b>	<b>\$0.00</b>	<b>\$3,729.20</b>		<b>\$3,356.45</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
11/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.92	0.00	151.92	90.0	136.73
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$151.92	\$0.00	\$151.92		\$136.73
		*** DIRECT TRANSACTIONS TOTAL ***	\$2,144,241.13	\$19,569.55	\$0.00	\$19,569.55		\$17,612.79

**SPLIT TRANSACTIONS****BROKER: J30 MARC/MICHAEL LINSKY/FOX #266**

11/20/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.24	0.00	1.24	90.0	1.12
11/20/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.08	0.00	0.08	90.0	0.07
11/20/2017	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.42	0.00	1.42	90.0	1.28
11/13/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.12	0.00	0.12	90.0	0.11
11/22/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.65	0.00	0.65	90.0	0.59
11/20/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.46	0.00	3.46	90.0	3.11
11/21/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.15	0.00	1.15	90.0	1.04
11/21/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.24	0.00	2.24	90.0	2.02
12/05/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	-5,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.01	0.00	4.01	90.0	3.61
11/24/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.40	0.00	14.40	90.0	12.96
11/16/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.61	0.00	0.61	90.0	0.55
11/22/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.24	0.00	9.24	90.0	8.32
11/16/2017	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	4.33	0.00	4.33	90.0	3.90
11/16/2017	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.20	0.00	4.20	90.0	3.78
		* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *	\$-5,000.00	\$47.15	\$0.00	\$47.15		\$42.46

**BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272**

11/22/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.22	0.00	0.22	90.0	0.20
		* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *	\$0.00	\$0.22	\$0.00	\$0.22		\$0.20

**BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273**

11/20/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	90.0	0.06
11/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.81	0.00	1.81	90.0	1.63
11/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.11	0.00	5.11	90.0	4.60
11/17/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.37	0.00	3.37	90.0	3.03
11/17/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.45	0.00	2.45	90.0	2.21

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
11/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.03	0.00	0.03	90.0	0.03
11/17/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.03	0.00	0.03	90.0	0.03
11/16/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.37	0.00	0.37	90.0	0.33
11/16/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.92	0.00	0.92	90.0	0.83
11/16/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.38	0.00	3.38	90.0	3.04
11/16/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.14	0.00	10.14	90.0	9.13
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.93	0.00	0.93	90.0	0.84
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.79	0.00	2.79	90.0	2.51
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.16	0.00	1.16	90.0	1.04
11/16/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.49	0.00	3.49	90.0	3.14
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.77	0.00	0.77	90.0	0.69
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.32	0.00	2.32	90.0	2.09
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.93	0.00	0.93	90.0	0.84
11/16/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.79	0.00	2.79	90.0	2.51
11/16/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.29	0.00	3.29	90.0	2.96
11/16/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.88	0.00	9.88	90.0	8.89
11/16/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.59	0.00	0.59	90.0	0.53
11/16/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.92	0.00	0.92	90.0	0.83
11/16/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.58	0.00	1.58	90.0	1.42
11/16/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.27	0.00	1.27	90.0	1.14
11/16/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.06	0.00	1.06	90.0	0.95
11/16/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.51	0.00	1.51	90.0	1.36
11/16/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.56	0.00	4.56	90.0	4.10
11/21/2017	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.02	0.00	0.02	90.0	0.02
11/21/2017	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.02	0.00	0.02	90.0	0.02
11/20/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	0.00	0.00	0.00	0.00	90.0	0.00
<b>* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$67.56</b>	<b>\$0.00</b>	<b>\$67.56</b>		<b>\$60.80</b>
<b><u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u></b>								
11/20/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.48	0.00	0.48	90.0	0.43
11/20/2017	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.61	0.00	0.61	90.0	0.55
11/20/2017	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	1.38
11/17/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.89	0.00	2.89	90.0	2.60
11/22/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.54	0.00	2.54	90.0	2.29

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u></b>								
11/17/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.91	0.00	0.91	90.0	0.82
11/22/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.55	0.00	3.55	90.0	3.20
11/13/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.23	0.00	2.23	90.0	2.01
11/20/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	6.25	0.00	6.25	90.0	5.63
11/24/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.00	0.00	1.00	90.0	0.90
11/16/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.57	0.00	10.57	90.0	9.51
11/16/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.71	0.00	31.71	90.0	28.54
11/16/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.74	0.00	13.74	90.0	12.37
11/16/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.22	0.00	41.22	90.0	37.10
11/16/2017	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.08	0.00	3.08	90.0	2.77
11/16/2017	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.24	0.00	9.24	90.0	8.32
11/17/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.27	0.00	3.27	90.0	2.94
11/17/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.44	0.00	0.44	90.0	0.40
11/16/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	90.0	0.01
11/24/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.72	0.00	1.72	90.0	1.55
11/15/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.03	0.00	1.03	90.0	0.93
11/15/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.56	0.00	0.56	90.0	0.50
11/15/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.18	0.00	0.18	90.0	0.16
<b>* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$138.76</b>	<b>\$0.00</b>	<b>\$138.76</b>		<b>\$124.91</b>
<b><u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u></b>								
11/20/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	1.88	0.00	1.88	90.0	1.69
11/20/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.67	0.00	0.67	90.0	0.60
11/20/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.33	0.00	0.33	90.0	0.30
11/16/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.69	0.00	1.69	90.0	1.52
11/16/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.20	0.00	1.20	90.0	1.08
<b>* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5.77</b>	<b>\$0.00</b>	<b>\$5.77</b>		<b>\$5.19</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$-5,000.00</b>	<b>\$259.46</b>	<b>\$0.00</b>	<b>\$259.46</b>		<b>\$233.56</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: FEDEX6-009-48966 NOV 29	0.00					-26.02
12/15/2017		*: FEDEX:6-016-38900 DEC 6	0.00					-79.11
12/15/2017		*: NEW SET-UP D. LINSKY	0.00					-250.00
12/15/2017		*: PERSHING MF PROSPECTUS MAILING	0.00					-1.89
12/15/2017		*: PERSHING BOOKS & RECORDS	0.00					-6.00
12/15/2017		*: PERSHING BOOKS & RECORDS	0.00					-0.23
12/15/2017		*: PERSHING: MF PROSPECTUS MAILING	0.00					-2.70
12/15/2017		*: PERSHING: MF PROSPECTUS MAILING	0.00					-1.10
12/15/2017		*: PERSHING:REORG FEE J NAGLE	0.00					-10.00
12/15/2017		*: PERSHING:REORG FEE J SIEMANOWSKI	0.00					-10.00
12/15/2017		*: PERSHING:REORG FEE P APPELATE	0.00					-10.00
12/15/2017		*: PERSHING:V. REORG FEE A BACHMAN	0.00					-50.00
12/15/2017		*: PERSHING:V. REORG FEE F PERETTI	0.00					-50.00
12/15/2017		*: PERSHING:V. REORG FEE L GALERMAN	0.00					-50.00
12/15/2017		*: PERSHING:V. REORG FEE P NEUMAN	0.00					-50.00
12/15/2017		*: PERSHING:V. REORG FEE HOSID	0.00					-50.00
12/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*EO INS: CURRENT MONTH INS	0.00					-105.00
12/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
12/15/2017		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
12/15/2017		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
12/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
12/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
12/15/2017		*TECHNOLOGY: ADMIN D. LINSKY	0.00					-135.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,803.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$19,444.82
Split Transactions	\$233.56
Override Transactions	\$0.00
Adjustments	\$-1,803.30
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,875.08
Year-to-date Gross	\$623,569.35
Year-to-date Earnings	\$558,967.16