

STATEMENT

January 20, 2018

JAMES FULTON
11276 Rt 322
SUITE 620
Shipperville, PA 16254

BROKER #: 183
BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	#66196689901	JOHNSON, PATTY HARTFORD SMART529	STATIC GROWTH A	0.00	0.87	93.0	0.00	0.81	0.81
11/30/2017	#66752257501	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	0.00	0.87	93.0	0.00	0.81	0.81
11/30/2017	#66752257502	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	0.00	0.87	93.0	0.00	0.81	0.81
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.61		\$0.00	\$2.43	\$0.00	\$2.43
<u>PRODUCT CATEGORY: STOCKS</u>									
12/26/2017	# JAMES, DELORES	ARCONIC INC COM	5,414.32	141.64	85.0	0.00	120.39	19.95	100.44
12/26/2017	# LAZICH, NATLIJA	MACYS INC	8,092.02	190.24	85.0	0.00	161.70	19.95	141.75
* STOCKS SUBTOTAL *			\$13,506.34	\$331.88		\$0.00	\$282.09	\$39.90	\$242.19
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/ /	#1010686900	HOOK, LINDA	PERSPECTIVE II	50.00	3.75	93.0	0.00	3.49	3.49
10/30/2017	#169683976	FRAZIER, ROBERT	SECURITY BENEFIT	0.00	1.03	93.0	0.00	0.96	0.96
10/30/2017	#191424871	POWNEY, JAMES	SECURITY BENEFIT	0.00	0.75	93.0	0.00	0.70	0.70
11/03/2017	#191424871	POWNEY, JAMES	SECURITY BENEFIT	0.00	2.00	93.0	0.00	1.86	1.86
11/29/2017	#169683976	FRAZIER, ROBERT	SECURITY BENEFIT	0.00	1.04	93.0	0.00	0.97	0.97
11/29/2017	#191424871	POWNEY, JAMES	SECURITY BENEFIT	0.00	0.76	93.0	0.00	0.71	0.71
12/05/2017	#142014A85191424871	POWNEY, SECURITY BENEFIT	SBGTRL	0.00	2.00	93.0	0.00	1.86	1.86
12/20/2017	#1016965398	MCANINCH, DEBORA	ELITE ACCESS	300.00	15.75	93.0	0.00	14.65	14.65
12/28/2017	#142014A80169683976	FRAZIER, SECURITY BENEFIT	SBGTRL	0.00	1.06	93.0	0.00	0.99	0.99
12/28/2017	#142014A85191424871	POWNEY, SECURITY BENEFIT	SBGTRL	0.00	0.78	93.0	0.00	0.73	0.73
* VARIABLE ANNUITIES SUBTOTAL *			\$350.00	\$28.92		\$0.00	\$26.92	\$0.00	\$26.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# POWNEY, SUSAN	DAIN TRAILER	0.00	1.02	93.0	0.00	0.95	0.00	0.95
12/11/2017	# POWNEY, SUSAN	DAIN TRAILER	0.00	7.52	93.0	0.00	6.99	0.00	6.99
12/11/2017	# BELLIS, KATHRYN	DAIN TRAILER	0.00	0.12	93.0	0.00	0.11	0.00	0.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.66		\$0.00	\$8.05	\$0.00	\$8.05

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***			\$13,856.34	\$372.07		\$0.00	\$319.49	\$39.90	\$279.59

ADJUSTMENTS

01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
01/20/2018	ADMIN FEE: ADMIN FEE	-320.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$279.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-253.79
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-473.20
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