



# COMMISSION STATEMENT September 30, 2017

BRENT LEE  
13423 Kings View Lane

BROKER #: L137  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
08/30/2017	KUHN, KYLE	SEI	0.00	15.11	0.00	15.11	90.0	13.60
* RIA SUBTOTAL *			\$0.00	\$15.11	\$0.00	\$15.11		\$13.60
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$15.11	\$0.00	\$15.11		\$13.60

<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- #333</b>								
08/31/2017	ALEXANDER, LAWRENCE	RBC	0.00	149.47	0.00	149.47	90.0	134.52
08/31/2017	LARAMIE, ELAINE	RBC	0.00	2.31	0.00	2.31	90.0	2.08
08/31/2017	ALEXANDER, LAWRENCE	RBC	0.00	-69.86	0.00	-69.86	90.0	-62.87
* JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$81.92	\$0.00	\$81.92		\$73.73
<b>BROKER: BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- #334</b>								
09/21/2017	KINCAID INDUSTRIES	PERSHING	0.00	8.03	0.00	8.03	90.0	7.23
09/21/2017	KINCAID INDUSTRIES	PERSHING	0.00	2.22	0.00	2.22	90.0	2.00
08/31/2017	HUGGINS, JAMES	RBC	0.00	27.32	0.00	27.32	90.0	24.59
08/31/2017	HUGGINS, JAMES	RBC	0.00	99.77	0.00	99.77	90.0	89.79
* BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$137.34	\$0.00	\$137.34		\$123.61

<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
09/21/2017	KRINGEN, MARK	CONNECTICUT ST SER F 5.000% 11/15/2	-29,200.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	DOWAGIAC MICH UN SCH DIST SCH BLDG	-28,162.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	EL PASO TEX INDPT SCH DIST RFDG 5.0	-25,775.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	GRAND FORKS N D SANTN REV RFDG-RESE	-27,812.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	HAWAII ST SER ET 5.000% 10/01/24 B/	-30,187.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	LONGVIEW TEX RFDG 5.000% 06/01/20 B	-27,300.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	NORTH DAKOTA PUB FIN AUTH CAP FING	-29,575.00	0.00	0.00	0.00	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
09/21/2017	KRINGEN, MARK	NORTH DAKOTA ST UNIV REV HSG & AUXI	-29,137.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	SALT RIVER PROJ ARIZ AGRIC IMPT & P	-22,860.00	0.00	0.00	0.00	90.0	0.00
09/25/2017	HEEN, JEREMY	SEI	0.00	162.31	0.00	162.31	90.0	146.08
09/26/2017	HEEN, KRISTA	SEI	0.00	77.69	0.00	77.69	90.0	69.92
09/21/2017	KRINGEN, MARK	UNIVERSITY CINCINNATI OHIO GEN RCPT	-15,022.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	VIRGINIA ST RES AUTH INFRASTRUCTURE	-28,875.00	0.00	0.00	0.00	90.0	0.00
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$-293,907.50</b>	<b>\$240.00</b>	<b>\$0.00</b>	<b>\$240.00</b>		<b>\$216.00</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$-293,907.50</b>	<b>\$459.26</b>	<b>\$0.00</b>	<b>\$459.26</b>		<b>\$413.34</b>

**ADJUSTMENTS**

09/30/2017		*BAL CARRIED FORWARD: 9/15/17	0.00					1,539.63
09/30/2017		*MISC: 9/15 CANCEL/REBILL TRADES	0.00					-1,591.07
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-51.44</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13.60
Split Transactions	\$413.34
Override Transactions	\$0.00
Adjustments	\$-51.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$375.50</b>
<b>Year-to-date Gross</b>	<b>\$34,631.48</b>
<b>Year-to-date Earnings</b>	<b>\$30,189.95</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

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