

**COMMISSION STATEMENT****November 15, 2017****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
11/01/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-26,000.00	0.00	0.00	0.00	100.0	0.00
11/01/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	25,740.00	0.00	0.00	0.00	100.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-260.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/06/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-2,701.44	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	2,573.00	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	2,593.38	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-2,708.42	0.00	0.00	0.00	100.0	0.00
11/09/2017	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	19,000.00	0.00	0.00	0.00	100.0	0.00
11/09/2017	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	-20,000.00	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-2,700.66	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	2,646.65	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	2,646.65	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-2,700.66	0.00	0.00	0.00	100.0	0.00
11/02/2017	CONCORDE, VARIOUS	NUVEEN NEW YORK MUNICIPAL BOND CLAS	-28,750.00	0.00	0.00	0.00	100.0	0.00
11/02/2017	CONCORDE, VARIOUS	NUVEEN NEW YORK MUNICIPAL BOND CLAS	27,686.25	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
11/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-2,431.18	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	12,036.00	0.00	0.00	0.00	100.0	0.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-506.00	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-505.50	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-505.00	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-1,403.90	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-505.00	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-400.00	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-1,070.00	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-5,000.00	0.00	1.00	-1.00	100.0	-1.00
11/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-2,140.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	49,248.00	0.00	0.00	0.00	100.0	0.00
11/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	-33,427.08	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	-12,312.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	-3,508.92	0.00	1.00	-1.00	100.0	-1.00
11/07/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-4,510.00	0.00	0.00	0.00	100.0	0.00
11/07/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	154.08	0.00	1.00	-1.00	100.0	-1.00
11/07/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	2,250.00	0.00	1.00	-1.00	100.0	-1.00
11/07/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	904.00	0.00	1.00	-1.00	100.0	-1.00
11/07/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	1,202.56	0.00	1.00	-1.00	100.0	-1.00
11/01/2017	CONCORDE, VARIOUS	EXTREME NETWORKS INC COM	1,187.00	0.00	1.00	-1.00	100.0	-1.00
11/01/2017	CONCORDE, VARIOUS	EXTREME NETWORKS INC COM	2,374.00	0.00	1.00	-1.00	100.0	-1.00
11/01/2017	CONCORDE, VARIOUS	EXTREME NETWORKS INC COM	1,187.00	0.00	1.00	-1.00	100.0	-1.00
11/01/2017	CONCORDE, VARIOUS	EXTREME NETWORKS INC COM	2,374.00	0.00	1.00	-1.00	100.0	-1.00
11/01/2017	CONCORDE, VARIOUS	EXTREME NETWORKS INC COM	52,228.00	0.00	1.00	-1.00	100.0	-1.00
11/01/2017	CONCORDE, VARIOUS	EXTREME NETWORKS INC COM	-59,350.00	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	31,806.00	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	94,388.51	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,120.25	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,120.26	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-4,240.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-23,325.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,120.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,120.01	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	48,760.69	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-8,482.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-6,360.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,120.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-46,640.22	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-69,993.33	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-12,726.90	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-848.60	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,121.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-212.15	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,121.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,121.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-4,243.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-4,240.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-1,166.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-4,240.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-6,360.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-20,034.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-6,360.78	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-6,360.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-4,240.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-2,120.12	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	4,240.52	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	6,360.12	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	63,602.70	0.00	0.00	0.00	100.0	0.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	101,292.96	0.00	0.00	0.00	100.0	0.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-5,280.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,112.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,112.00	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,112.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,111.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,111.00	0.00	1.00	-1.00	100.0	-1.00
11/10/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-85,455.00	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	87,622.50	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-8,762.50	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-6,571.51	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-4,294.12	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-8,763.26	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-8,763.34	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-7,799.36	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-613.43	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	30,233.52	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	15,334.01	0.00	0.00	0.00	100.0	0.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-17,524.02	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-61,336.38	0.00	1.00	-1.00	100.0	-1.00
10/26/2017	CONCORDE, VARIOUS	PROCTER & GAMBLE CO COM	-8,762.13	0.00	1.00	-1.00	100.0	-1.00
11/03/2017	CONCORDE, VARIOUS	TIVO CORP COM	-17,494.60	0.00	0.00	0.00	100.0	0.00
11/03/2017	CONCORDE, VARIOUS	TIVO CORP COM	1,747.50	0.00	1.00	-1.00	100.0	-1.00
11/03/2017	CONCORDE, VARIOUS	TIVO CORP COM	1,747.50	0.00	1.00	-1.00	100.0	-1.00
11/03/2017	CONCORDE, VARIOUS	TIVO CORP COM	13,999.60	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$1.10	\$0.00	\$68.00	\$-68.00		\$-68.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
11/06/2017	CONCORDE, VARIOUS	FT UNIT 6908 GLOBAL 6908 GLOBAL EQU	24,504.84	0.00	17.50	-17.50	100.0	-17.50
11/06/2017	CONCORDE, VARIOUS	FT UNIT 6908 GLOBAL 6908 GLOBAL EQU	-25,005.01	0.00	17.50	-17.50	100.0	-17.50
11/07/2017	CONCORDE, VARIOUS	FT UNIT 6908 GLOBAL 6908 GLOBAL EQU	22,487.35	0.00	0.00	0.00	100.0	0.00
11/07/2017	CONCORDE, VARIOUS	FT UNIT 6908 GLOBAL 6908 GLOBAL EQU	-22,946.34	0.00	0.00	0.00	100.0	0.00
11/06/2017	CONCORDE, VARIOUS	FT UNIT 6985 MLP CLOSED END PORTFOL	-25,000.47	0.00	17.50	-17.50	100.0	-17.50
11/06/2017	CONCORDE, VARIOUS	FT UNIT 6985 MLP CLOSED END PORTFOL	24,500.39	0.00	17.50	-17.50	100.0	-17.50
11/07/2017	CONCORDE, VARIOUS	FT UNIT 6985 MLP CLOSED END PORTFOL	-22,947.72	0.00	0.00	0.00	100.0	0.00
11/07/2017	CONCORDE, VARIOUS	FT UNIT 6985 MLP CLOSED END PORTFOL	22,488.70	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-1,918.26	\$0.00	\$70.00	\$-70.00		\$-70.00

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<u>DIRECT TRANSACTIONS</u>								
*** CLEARING TRANSACTIONS TOTAL ***			\$-4,608.34	\$0.00	\$138.00	\$-138.00		\$-138.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
10/30/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
11/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
11/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
11/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
11/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
11/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
11/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
11/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
11/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
11/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
11/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
11/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
11/02/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
10/30/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
11/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
11/02/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
11/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
11/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
11/01/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
10/25/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
10/25/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
11/01/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
11/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
11/01/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
10/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	33.88	0.00	33.88	100.0	33.88
10/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.80	0.00	7.80	100.0	7.80
10/23/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	100.0	0.50
10/23/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	100.0	0.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
* MUTUAL FUNDS SUBTOTAL *			\$2,750.00	\$139.48	\$0.00	\$139.48		\$139.48
<u>PRODUCT CATEGORY: STOCKS</u>								
10/25/2017	CONCORDE IS	ISHARES CORE S&P SMALL CAP ETF	1,937.00	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES CORE S&P SMALL CAP ETF	1,937.00	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES S&P 500 VALUE ETF	10,518.82	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES S&P 500 VALUE ETF	10,518.82	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TIPS BOND ETF	7,466.58	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TIPS BOND ETF	7,466.58	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS 1-3 YR TREAS BD	2,022.60	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS 1-3 YR TREAS BD	2,022.61	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS 20+ YR TREAS BD	1,843.35	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS 20+ YR TREAS BD	1,843.35	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS INTER CR BD FD	658.38	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS INTER CR BD FD	5,378.73	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS INTER CR BD FD	658.38	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR BARCLAYS INTER CR BD FD	5,378.73	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	3,090.05	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	1,817.63	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	1,817.63	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	3,090.05	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	ISHARES TR CORE TOTAL U S BD MKT ET	1,093.05	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	ISHARES TR CORE TOTAL U S BD MKT ET	1,093.06	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR IBOX USD INVT GRADE COR	2,050.20	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR IBOX USD INVT GRADE COR	2,050.20	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR RUSSELL 1000 GROWTH INDE	383.40	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR RUSSELL 1000 GROWTH INDE	8,051.72	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR RUSSELL 1000 GROWTH INDE	383.40	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR RUSSELL 1000 GROWTH INDE	8,051.72	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	ISHARES TR RUSSELL 2000 INDEX FD	2,247.00	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	ISHARES TR RUSSELL 2000 INDEX FD	2,247.00	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	5,626.72	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	5,626.72	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	6,173.52	0.00	0.00	0.00	100.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
10/25/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	17,235.08	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	6,173.52	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	17,235.08	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	POWERSHARES DB COMMODITY INDEX TRAC	152.03	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	POWERSHARES DB COMMODITY INDEX TRAC	152.03	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	393.85	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	393.85	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	POWERSHARES GLOBAL EXCHANGE TRADED	4,840.72	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	POWERSHARES GLOBAL EXCHANGE TRADED	176.93	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	POWERSHARES GLOBAL EXCHANGE TRADED	4,840.72	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	POWERSHARES GLOBAL EXCHANGE TRADED	176.93	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,650.71	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,650.72	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	SPDR SER TR BARCLAYS HIGH YIELD BD	148.68	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	SPDR SER TR BARCLAYS HIGH YIELD BD	148.68	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INDEX FDS VANGUARD GROWTH	268.60	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INDEX FDS VANGUARD GROWTH	3,227.04	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INDEX FDS VANGUARD GROWTH	268.60	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INDEX FDS VANGUARD GROWTH	3,227.04	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	5,489.02	0.00	0.00	0.00	100.0	0.00
10/06/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	5,489.02	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FDS MSCI	132.99	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FDS MSCI	2,090.09	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FDS MSCI	132.99	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD INTL EQUITY INDEX FDS MSCI	2,090.09	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD SCOTTSDALE FUNDS	6,671.39	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD SCOTTSDALE FUNDS	6,671.38	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD TAX-MANAGED INTL FD FTSE D	12,650.23	0.00	0.00	0.00	100.0	0.00
10/25/2017	CONCORDE IS	VANGUARD TAX-MANAGED INTL FD FTSE D	12,650.22	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$230,972.23	\$0.00	\$0.00	\$0.00		\$0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: BONDS</u>								
10/27/2017	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	16,085.64	0.00	0.00	0.00	100.0	0.00
10/27/2017	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	16,085.64	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *			\$32,171.28	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	LUND, VARIOUS	ADV CENTURY	0.00	193.25	0.00	193.25	100.0	193.25
10/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,464.52	3.22	0.00	3.22	100.0	3.22
10/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	19,113.96	15.93	0.00	15.93	100.0	15.93
10/16/2017	DUFOUR, TERENCE	PAC LIFE INNOVATIVE SELECT	0.00	59.51	0.00	59.51	100.0	59.51
10/16/2017	DUFOUR, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	66.36	0.00	66.36	100.0	66.36
10/19/2017	CALA, MARGHERITA	PAC LIFE PACIFIC CHOICE	0.00	13.73	0.00	13.73	100.0	13.73
11/01/2017	BRANDUZZI, CAITLIN	PAC LIFE PACIFIC CHOICE	0.00	5.78	0.00	5.78	100.0	5.78
10/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	100.0	10.50
10/30/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	809.56	0.16	0.00	0.16	100.0	0.16
10/30/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,397.08	2.18	0.00	2.18	100.0	2.18
10/30/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,134.09	1.07	0.00	1.07	100.0	1.07
* VARIABLE ANNUITIES SUBTOTAL *			\$51,069.21	\$371.69	\$0.00	\$371.69		\$371.69
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/20/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	8.85	0.00	8.85	100.0	8.85
10/20/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	9.23	0.00	9.23	100.0	9.23
10/27/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.79	0.00	1.79	100.0	1.79
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$19.87	\$0.00	\$19.87		\$19.87
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	BROWN, LAURA	ASCENSUS 529 TRAILS	0.00	0.04	0.00	0.04	100.0	0.04
10/19/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	0.00	0.84	100.0	0.84
10/19/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	100.0	0.42
10/19/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.95	0.00	1.95	100.0	1.95
10/23/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
10/23/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.56	0.00	1.56	100.0	1.56
10/25/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.52	0.00	2.52	100.0	2.52
10/25/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.35	0.00	0.35	100.0	0.35
09/30/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.97	0.00	2.97	100.0	2.97
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.66	\$0.00	\$10.66		\$10.66

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
10/31/2017	LUND, VARIOUS	ADV CENTURY	0.00	481.01	0.00	481.01	100.0	481.01
10/16/2017	RICHARDSON, CALVIN	ALLSTATE VA TRAILS	0.00	2.27	0.00	2.27	100.0	2.27
10/29/2017	COUSINO, SUSAN	ING PREMIUM PLUS 2005	0.00	33.14	0.00	33.14	100.0	33.14
10/30/2017	JONES, GREGORY	LANDMARK 2002	0.00	169.48	0.00	169.48	100.0	169.48
10/30/2017	BROPHY, CHARLES	LANDMARK 2002	0.00	694.11	0.00	694.11	100.0	694.11
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,380.01	\$0.00	\$1,380.01		\$1,380.01
*** DIRECT TRANSACTIONS TOTAL ***			\$316,962.72	\$1,921.71	\$0.00	\$1,921.71		\$1,921.71

OVERRIDE TRANSACTIONS**BROKER: ANTHONY GUGLIELME #8**

10/30/2017	BATTAGLINI, CHRIS	INVESCO ENERGY FUND CLASS C	0.00	0.84	0.00	0.84	0.0	0.84
* ANTHONY GUGLIELME SUBTOTAL *			\$0.00	\$0.84	\$0.00	\$0.84		\$0.84

BROKER: JAMES PANOS #10

/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.68	0.00	0.68	0.0	0.68
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	1.51	0.00	1.51	0.0	1.51
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	2.06	0.00	2.06	0.0	2.06
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	2.54	0.00	2.54	0.0	2.54
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	0.00	4.02	0.00	4.02	0.0	4.02
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	11.94	0.00	11.94	0.0	11.94
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	6.91	0.00	6.91	0.0	6.91
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	3.61	0.00	3.61	0.0	3.61
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.04	0.00	2.04	0.0	2.04
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	3.09	0.00	3.09	0.0	3.09
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	0.00	4.65	0.00	4.65	0.0	4.65
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	0.93	0.00	0.93	0.0	0.93
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.45	0.00	1.45	0.0	1.45
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.80	0.00	1.80	0.0	1.80
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	5.35	0.00	5.35	0.0	5.35
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.12	0.00	1.12	0.0	1.12
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 CL A	0.00	1.44	0.00	1.44	0.0	1.44
/ /	AHERN, KEVIN	FA 529 PORT 2022 CL A	0.00	3.51	0.00	3.51	0.0	3.51
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.63	0.00	0.63	0.0	0.63

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #10</u>								
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	2.75	0.00	2.75	0.0	2.75
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.58	0.00	0.58	0.0	0.58
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	7.53	0.00	7.53	0.0	7.53
* JAMES PANOS SUBTOTAL *			\$0.00	\$70.14	\$0.00	\$70.14		\$70.14
<u>BROKER: ROBERT CHESTER #21</u>								
10/28/2017	CHESTER, VARIOUS	METLIFE TRAILS	0.00	349.40	0.00	349.40	0.0	349.40
10/27/2017	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.42	0.00	0.42	0.0	0.42
10/27/2017	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.22	0.00	1.22	0.0	1.22
10/13/2017	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.21	0.00	0.21	0.0	0.21
* ROBERT CHESTER SUBTOTAL *			\$0.00	\$351.25	\$0.00	\$351.25		\$351.25
<u>BROKER: EDWARD MCMANUS #25</u>								
10/23/2017	REESE, BARBARA	FRANKLIN HIGH INCOME C	0.00	6.88	0.00	6.88	0.0	6.88
10/23/2017	REESE, BARBARA	FRANKLIN INCOME C	0.00	7.20	0.00	7.20	0.0	7.20
10/17/2017	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.28	0.00	1.28	0.0	1.28
10/17/2017	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.21	0.00	2.21	0.0	2.21
10/16/2017	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.09	0.00	1.09	0.0	1.09
10/13/2017	REESE, BARBARA	THE MULTI-STRATEGY GROWTH & INCOME	0.00	22.04	0.00	22.04	0.0	22.04
* EDWARD MCMANUS SUBTOTAL *			\$0.00	\$40.70	\$0.00	\$40.70		\$40.70
<u>BROKER: ERIC HORNYAK #29</u>								
10/10/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.39	0.00	26.39	0.0	26.39
10/10/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	0.0	0.42
10/10/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.59	0.00	0.59	0.0	0.59
10/10/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	0.0	0.53
10/10/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.44	0.00	4.44	0.0	4.44
10/10/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	0.0	0.67
10/10/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.43	0.00	13.43	0.0	13.43
10/10/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.33	0.00	1.33	0.0	1.33
/ /	CROSSFIRE GROUP Q3AM	BLK HEALTH SCIENCES A	0.00	0.28	0.00	0.28	0.0	0.28
10/10/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	25.39	0.00	25.39	0.0	25.39
10/10/2017	JOHNS, JOHN	INVESCO HIGH YIELD MUNICIPAL A	0.00	6.33	0.00	6.33	0.0	6.33
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.58	0.00	0.58	0.0	0.58
10/10/2017	JOHNS, JOHN	PIMCO TOTAL RETURN C	0.00	24.16	0.00	24.16	0.0	24.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ERIC HORNYAK #29</u>								
10/10/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.51	0.00	27.51	0.0	27.51
10/10/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.94	0.00	8.94	0.0	8.94
10/10/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.28	0.00	21.28	0.0	21.28
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$162.27	\$0.00	\$162.27		\$162.27
<u>BROKER: THOMAS BOAKS #33</u>								
09/30/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.32	0.00	0.32	0.0	0.32
09/30/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.14	0.00	2.14	0.0	2.14
09/30/2017	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.30	0.00	6.30	0.0	6.30
10/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
10/31/2017	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.90	0.00	8.90	0.0	8.90
10/31/2017	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.21	0.00	1.21	0.0	1.21
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$19.17	\$0.00	\$19.17		\$19.17
<u>BROKER: BRUCE BAXTER #38</u>								
10/31/2017	LAMBERT, DONNA	ING PREMIUM PLUS	0.00	57.11	0.00	57.11	0.0	57.11
10/31/2017	LAMBERT, JOHN	ING PREMIUM PLUS	0.00	57.11	0.00	57.11	0.0	57.11
11/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
10/30/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,655.73	5.87	0.00	5.87	0.0	5.87
* BRUCE BAXTER SUBTOTAL *			\$15,755.73	\$125.09	\$0.00	\$125.09		\$125.09
<u>BROKER: MELANIE DICENSO #43</u>								
10/19/2017	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	6.03	0.00	6.03	0.0	6.03
10/19/2017	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.60	0.00	3.60	0.0	3.60
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.11	0.00	1.11	0.0	1.11
10/25/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
10/30/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	17,226.26	3.59	0.00	3.59	0.0	3.59
* MELANIE DICENSO SUBTOTAL *			\$17,276.26	\$16.33	\$0.00	\$16.33		\$16.33
<u>BROKER: JOHN BEAN #45</u>								
10/19/2017	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.34	0.00	0.34	0.0	0.34
10/19/2017	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
10/19/2017	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
10/19/2017	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
10/19/2017	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.27

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JOHN BEAN #45 </u>								
10/19/2017	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
10/19/2017	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
10/19/2017	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
10/19/2017	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.81	0.00	0.81	0.0	0.81
10/19/2017	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	0.0	0.16
10/19/2017	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	0.0	0.16
10/19/2017	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	0.0	0.16
10/20/2017	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
10/20/2017	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.12	0.00	0.12	0.0	0.12
10/20/2017	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.17	0.00	0.17	0.0	0.17
10/16/2017	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.67	0.00	0.67	0.0	0.67
10/16/2017	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.56	0.00	0.56	0.0	0.56
10/16/2017	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.46	0.00	0.46	0.0	0.46
10/16/2017	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.42
11/09/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.34	0.00	1.34	0.0	1.34
10/16/2017	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.08	0.00	0.08	0.0	0.08
10/16/2017	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.31	0.00	0.31	0.0	0.31
10/16/2017	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.15	0.00	0.15	0.0	0.15
10/23/2017	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
10/19/2017	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.04	0.00	1.04	0.0	1.04
10/19/2017	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	5.01	0.00	5.01	0.0	5.01
 * JOHN BEAN SUBTOTAL * 			\$0.00	\$12.92	\$0.00	\$12.92		\$12.92
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
10/19/2017	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.91	0.00	8.91	0.0	8.91
10/13/2017	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.26	0.00	2.26	0.0	2.26
11/03/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.65	0.00	2.65	0.0	2.65
11/03/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.89	0.00	0.89	0.0	0.89
11/03/2017	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	6.99	0.00	6.99	0.0	6.99
11/03/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.98	0.00	5.98	0.0	5.98
11/10/2017	UMBRELLO-WHITE, RAQUEL	DISNEY WALT CO DISNEY COM	-18,340.80	22.00	22.50	-0.50	0.0	-0.50
10/23/2017	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.20	0.00	2.20	0.0	2.20
10/27/2017	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.02	0.00	1.02	0.0	1.02
10/13/2017	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	7.54	0.00	7.54	0.0	7.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
10/31/2017	ZAX TRUST	JNL PERSPECTIVE	386.89	0.08	0.00	0.08	0.0	0.08
10/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,805.84	3.67	0.00	3.67	0.0	3.67
10/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	62,594.75	67.81	0.00	67.81	0.0	67.81
10/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	78,551.04	65.46	0.00	65.46	0.0	65.46
10/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	34,649.11	28.87	0.00	28.87	0.0	28.87
10/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,808.92	13.17	0.00	13.17	0.0	13.17
10/19/2017	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	13.84	0.00	13.84	0.0	13.84
10/24/2017	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.24	0.00	5.24	0.0	5.24
 * ROBERT (RUSTY) TWEED SUBTOTAL * 			\$182,455.75	\$258.58	\$22.50	\$236.08		\$236.08
<u> BROKER: JIM LUND #60 </u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	3.13	0.00	3.13	0.0	3.13
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.71	0.00	1.71	0.0	1.71
11/01/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.48	0.00	1.48	0.0	1.48
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.48	0.00	1.48	0.0	1.48
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.65	0.00	3.65	0.0	3.65
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.59	0.00	13.59	0.0	13.59
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.64	0.00	0.64	0.0	0.64
10/31/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	32.64	0.00	32.64	0.0	32.64
10/31/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	42.18	0.00	42.18	0.0	42.18
10/31/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	63.33	0.00	63.33	0.0	63.33
10/31/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	67.27	0.00	67.27	0.0	67.27
10/31/2017	LUND, JIM	FRANKLIN INCOME A	0.00	4.20	0.00	4.20	0.0	4.20
10/31/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.86	0.00	0.86	0.0	0.86
10/31/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	32.20	0.00	32.20	0.0	32.20
10/31/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	196.91	0.00	196.91	0.0	196.91
10/31/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.42	0.00	2.42	0.0	2.42
10/31/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.27
10/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.42
10/31/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	51.58	0.00	51.58	0.0	51.58
10/31/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	78.86	0.00	78.86	0.0	78.86
10/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.12	0.00	23.12	0.0	23.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #60 </u>								
10/31/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	69.72	0.00	69.72	0.0	69.72
10/31/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	8.70	0.00	8.70	0.0	8.70
10/31/2017	STARK, TONI	FRANKLIN INCOME C	0.00	27.17	0.00	27.17	0.0	27.17
10/31/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.77	0.00	3.77	0.0	3.77
10/31/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.45	0.00	1.45	0.0	1.45
10/31/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.34	0.00	2.34	0.0	2.34
10/31/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.83	0.00	4.83	0.0	4.83
10/31/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.36	0.00	22.36	0.0	22.36
10/31/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.44	0.00	26.44	0.0	26.44
10/31/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	81.93	0.00	81.93	0.0	81.93
10/31/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.98	0.00	0.98	0.0	0.98
10/31/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	102.79	0.00	102.79	0.0	102.79
10/31/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	65.78	0.00	65.78	0.0	65.78
11/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
10/31/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.27	0.00	20.27	0.0	20.27
10/31/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.48	0.00	5.48	0.0	5.48
10/31/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.06	0.00	4.06	0.0	4.06
10/31/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.11	0.00	20.11	0.0	20.11
10/31/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	31.05	0.00	31.05	0.0	31.05
10/31/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.27	0.00	1.27	0.0	1.27
 * JIM LUND SUBTOTAL * 			\$325.00	\$1,133.19	\$0.00	\$1,133.19		\$1,133.19
<u> BROKER: JAMES PANOS #61 </u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	8.03	0.00	8.03	0.0	8.03
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	6.32	0.00	6.32	0.0	6.32
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	4.10	0.00	4.10	0.0	4.10
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	5.53	0.00	5.53	0.0	5.53
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	5.64	0.00	5.64	0.0	5.64
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	6.29	0.00	6.29	0.0	6.29
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	4.40	0.00	4.40	0.0	4.40
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.72	0.00	6.72	0.0	6.72
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	3.49	0.00	3.49	0.0	3.49
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	14.18	0.00	14.18	0.0	14.18
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	2.39	0.00	2.39	0.0	2.39

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	0.83	0.00	0.83	0.0	0.83
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.77	0.00	6.77	0.0	6.77
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	3.28	0.00	3.28	0.0	3.28
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	1.14	0.00	1.14	0.0	1.14
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	11.58	0.00	11.58	0.0	11.58
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	0.00	0.41	0.00	0.41	0.0	0.41
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.55	0.00	0.55	0.0	0.55
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	1.53	0.00	1.53	0.0	1.53
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	16.07	0.00	16.07	0.0	16.07
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	2.91	0.00	2.91	0.0	2.91
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	0.76	0.00	0.76	0.0	0.76
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	4.46	0.00	4.46	0.0	4.46
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	16.55	0.00	16.55	0.0	16.55
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	16.87	0.00	16.87	0.0	16.87
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	12.30	0.00	12.30	0.0	12.30
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	2.82	0.00	2.82	0.0	2.82
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	4.28	0.00	4.28	0.0	4.28
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	6.44	0.00	6.44	0.0	6.44
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	3.72	0.00	3.72	0.0	3.72
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	4.44	0.00	4.44	0.0	4.44
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	4.92	0.00	4.92	0.0	4.92
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	7.41	0.00	7.41	0.0	7.41
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	3.99	0.00	3.99	0.0	3.99
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	2.86	0.00	2.86	0.0	2.86
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	19.31	0.00	19.31	0.0	19.31
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	0.88	0.00	0.88	0.0	0.88
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	11.11	0.00	11.11	0.0	11.11
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	0.80	0.00	0.80	0.0	0.80
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	10.44	0.00	10.44	0.0	10.44
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	3.63	0.00	3.63	0.0	3.63
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	2.83	0.00	2.83	0.0	2.83
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	3.52	0.00	3.52	0.0	3.52
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	2.61	0.00	2.61	0.0	2.61

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES PANOS #61 </u>								
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	1.49	0.00	1.49	0.0	1.49
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	5.03	0.00	5.03	0.0	5.03
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	3.92	0.00	3.92	0.0	3.92
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	21.70	0.00	21.70	0.0	21.70
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	13.52	0.00	13.52	0.0	13.52
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	14.44	0.00	14.44	0.0	14.44
11/01/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
11/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
11/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	0.59	0.00	0.59	0.0	0.59
/ /	MACUCH, PAUL	FA 529 PORT 2028 A	0.00	0.56	0.00	0.56	0.0	0.56
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.58	0.00	13.58	0.0	13.58
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	3.37	0.00	3.37	0.0	3.37
11/01/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	5.18	0.00	5.18	0.0	5.18
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	4.55	0.00	4.55	0.0	4.55
11/01/2017	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	40,000.00	400.00	0.00	400.00	0.0	400.00
10/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	84,777.13	70.65	0.00	70.65	0.0	70.65
10/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,840.92	89.52	0.00	89.52	0.0	89.52
 * JAMES PANOS SUBTOTAL * 			\$340,568.05	\$916.71	\$0.00	\$916.71		\$916.71
<u> BROKER: RENE CASTRO #92 </u>								
10/31/2017	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	33.37	0.00	33.37	0.0	33.37
 * RENE CASTRO SUBTOTAL * 			\$0.00	\$33.37	\$0.00	\$33.37		\$33.37
<u> BROKER: JAMES AULENTI #101 </u>								
10/31/2017	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	90.36	0.00	90.36	0.0	90.36
10/31/2017	MILES FAMILY TRUST	FRANKLIN NY TF INCOME FUND CL C	0.00	318.03	0.00	318.03	0.0	318.03
 * JAMES AULENTI SUBTOTAL * 			\$0.00	\$408.39	\$0.00	\$408.39		\$408.39
<u> BROKER: DANIEL COLLINS #106 </u>								
10/19/2017	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.60	0.00	4.60	0.0	4.60
10/19/2017	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.22	0.00	11.22	0.0	11.22
10/19/2017	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.61	0.00	5.61	0.0	5.61
10/19/2017	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.23	0.00	2.23	0.0	2.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
10/19/2017	JESTER, CATHERINE	AM FUNDS ICA A	0.00	5.67	0.00	5.67	0.0	5.67
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
10/16/2017	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.36	0.00	3.36	0.0	3.36
10/16/2017	BESEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.12	0.00	4.12	0.0	4.12
10/30/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
10/30/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
10/31/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	1.76	0.00	1.76	0.0	1.76
10/31/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	2.57	0.00	2.57	0.0	2.57
10/31/2017	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.68	0.00	0.68	0.0	0.68
10/31/2017	DONNELL, PATRICK	FRANKLIN REAL RETURN A	0.00	10.45	0.00	10.45	0.0	10.45
10/30/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
10/30/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
10/31/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	1.81	0.00	1.81	0.0	1.81
10/31/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	2.55	0.00	2.55	0.0	2.55
10/31/2017	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.66	0.00	0.66	0.0	0.66
10/30/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
10/30/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
10/31/2017	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.62	0.00	0.62	0.0	0.62
10/31/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	1.77	0.00	1.77	0.0	1.77
10/31/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	2.43	0.00	2.43	0.0	2.43
10/30/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
10/30/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
10/30/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
10/31/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	1.56	0.00	1.56	0.0	1.56
10/31/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	2.40	0.00	2.40	0.0	2.40

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
10/31/2017	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.58	0.00	0.58	0.0	0.58
10/19/2017	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.66	0.00	2.66	0.0	2.66
10/23/2017	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	1.95	0.00	1.95	0.0	1.95
10/18/2017	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.91	0.00	0.91	0.0	0.91
10/12/2017	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.70	0.00	2.70	0.0	2.70
* DANIEL COLLINS SUBTOTAL *			\$440.00	\$97.33	\$0.00	\$97.33		\$97.33
<u> BROKER: G118 GRECO #110 </u>								
10/10/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.52	0.00	7.52	0.0	7.52
10/10/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.73	0.00	4.73	0.0	4.73
10/10/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.50	0.00	4.50	0.0	4.50
10/10/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.64	0.00	6.64	0.0	6.64
10/10/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.64	0.00	6.64	0.0	6.64
10/10/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	0.0	0.16
10/10/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.08	0.00	1.08	0.0	1.08
10/10/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.10	0.00	1.10	0.0	1.10
10/10/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.13	0.00	5.13	0.0	5.13
10/10/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	1.01	0.00	1.01	0.0	1.01
10/10/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.97	0.00	0.97	0.0	0.97
10/10/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.92	0.00	2.92	0.0	2.92
10/10/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	15.76	0.00	15.76	0.0	15.76
10/18/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	6.46	0.00	6.46	0.0	6.46
10/10/2017	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	38.76	0.00	38.76	0.0	38.76
10/10/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.89	0.00	12.89	0.0	12.89
* G118 GRECO SUBTOTAL *			\$0.00	\$116.27	\$0.00	\$116.27		\$116.27
<u> BROKER: JAMES KOESTER #117 </u>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.12	0.00	0.12	0.0	0.12
* JAMES KOESTER SUBTOTAL *			\$0.00	\$0.12	\$0.00	\$0.12		\$0.12
<u> BROKER: ERNEST DALE ANDERSON #119 </u>								
10/16/2017	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	625.00	23.44	0.00	23.44	0.0	23.44
10/16/2017	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	625.00	23.44	0.00	23.44	0.0	23.44

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERNEST DALE ANDERSON #119 </u>								
10/16/2017	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	625.00	23.44	0.00	23.44	0.0	23.44
10/16/2017	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	625.00	23.44	0.00	23.44	0.0	23.44
10/16/2017	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	125.00	4.69	0.00	4.69	0.0	4.69
10/16/2017	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	125.00	4.69	0.00	4.69	0.0	4.69
 * ERNEST DALE ANDERSON SUBTOTAL * 			\$3,500.00	\$131.28	\$0.00	\$131.28		\$131.28
<u> BROKER: JAY MCCLELLAN #120 </u>								
10/17/2017	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.67	0.00	0.67	0.0	0.67
10/16/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
11/03/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.18	0.00	3.18	0.0	3.18
10/16/2017	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.62	0.00	0.62	0.0	0.62
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.94	0.00	2.94	0.0	2.94
10/31/2017	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.90	0.00	8.90	0.0	8.90
10/31/2017	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	8.97	0.00	8.97	0.0	8.97
10/31/2017	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	6.84	0.00	6.84	0.0	6.84
10/31/2017	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.80	0.00	2.80	0.0	2.80
10/31/2017	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.48	0.00	7.48	0.0	7.48
10/31/2017	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	9.08	0.00	9.08	0.0	9.08
10/31/2017	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	2.92	0.00	2.92	0.0	2.92
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.95	0.00	6.95	0.0	6.95
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	10.60	0.00	10.60	0.0	10.60
10/31/2017	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.79	0.00	1.79	0.0	1.79
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.05	0.00	7.05	0.0	7.05
10/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.02	0.00	7.02	0.0	7.02
10/18/2017	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.03	0.00	3.03	0.0	3.03
10/18/2017	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.00	0.00	3.00	0.0	3.00
10/18/2017	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.21	0.00	2.21	0.0	2.21
10/18/2017	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.39	0.00	3.39	0.0	3.39
10/18/2017	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.39	0.00	9.39	0.0	9.39
10/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	20,040.47	6.68	0.00	6.68	0.0	6.68

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
10/16/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.54	0.00	0.54	0.0	0.54
10/16/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.32	0.00	0.32	0.0	0.32
10/16/2017	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.61	0.00	0.61	0.0	0.61
10/16/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.20	0.00	2.20	0.0	2.20
10/16/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	2.90
10/16/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.30	0.00	3.30	0.0	3.30
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.63	0.00	1.63	0.0	1.63
10/31/2017	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.81	0.00	1.81	0.0	1.81
10/31/2017	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.83	0.00	4.83	0.0	4.83
10/31/2017	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	4.04	0.00	4.04	0.0	4.04
10/31/2017	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.98	0.00	5.98	0.0	5.98
10/31/2017	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.44	0.00	4.44	0.0	4.44
10/31/2017	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.16	0.00	5.16	0.0	5.16
10/31/2017	KAISER, CHARLES	TEMPLETON WORLD A	0.00	8.02	0.00	8.02	0.0	8.02
10/31/2017	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	7.91	0.00	7.91	0.0	7.91
* JAY MCCLELLAN SUBTOTAL *			\$20,090.47	\$171.70	\$0.00	\$171.70		\$171.70
<u>BROKER: TIMOTHY KULHANEK #145</u>								
10/20/2017	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.99	0.00	0.99	0.0	0.99
10/20/2017	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.35	0.00	0.35	0.0	0.35
10/23/2017	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.38	0.00	0.38	0.0	0.38
10/23/2017	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.39	0.00	0.39	0.0	0.39
10/31/2017	JOHNSON, BLAKE	TEMPLETON FOREIGN FUND A	0.00	0.39	0.00	0.39	0.0	0.39
10/23/2017	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.35	0.00	0.35	0.0	0.35
10/31/2017	JOHNSON, BLAKE	TEMPLETON WORLD A	0.00	0.48	0.00	0.48	0.0	0.48
* TIMOTHY KULHANEK SUBTOTAL *			\$0.00	\$3.33	\$0.00	\$3.33		\$3.33
<u>BROKER: BRUCE CARNICK #175</u>								
10/31/2017	WANG, LIN LIN	TEMPLETON DEVELOPING MKTS A	0.00	7.17	0.00	7.17	0.0	7.17
10/31/2017	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	18.14	0.00	18.14	0.0	18.14
10/31/2017	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	10.23	0.00	10.23	0.0	10.23
10/31/2017	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	7.70	0.00	7.70	0.0	7.70
10/31/2017	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.72	0.00	3.72	0.0	3.72
10/31/2017	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	91.96	0.00	91.96	0.0	91.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE CARNICK #175 </u>								
10/31/2017	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	40.13	0.00	40.13	0.0	40.13
10/31/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.59	0.00	1.59	0.0	1.59
10/31/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.37	0.00	1.37	0.0	1.37
10/31/2017	ADAMS, JANET	TEMPLETON WORLD A	0.00	27.07	0.00	27.07	0.0	27.07
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$209.08	\$0.00	\$209.08		\$209.08
<u> BROKER: DAVID KOTZ #179 </u>								
10/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	16.16	0.00	16.16	0.0	16.16
10/31/2017	SHELLEY, SUZANNE	ASCENSUS 529 TRAILS	0.00	16.16	0.00	16.16	0.0	16.16
10/10/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.30	0.00	4.30	0.0	4.30
10/10/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.96	0.00	0.96	0.0	0.96
10/10/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.40	0.00	25.40	0.0	25.40
10/10/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.95	0.00	8.95	0.0	8.95
10/10/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.71	0.00	9.71	0.0	9.71
10/10/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.59	0.00	2.59	0.0	2.59
10/10/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.91	0.00	2.91	0.0	2.91
10/10/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.88	0.00	0.88	0.0	0.88
10/10/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.73	0.00	1.73	0.0	1.73
10/10/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.38	0.00	2.38	0.0	2.38
10/10/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.98	0.00	1.98	0.0	1.98
* DAVID KOTZ SUBTOTAL *			\$0.00	\$94.11	\$0.00	\$94.11		\$94.11
<u> BROKER: WILLIAM WALSH #187 </u>								
10/30/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	20.58	0.00	20.58	0.0	20.58
10/10/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	29.45	0.00	29.45	0.0	29.45
10/10/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.12	0.00	3.12	0.0	3.12
10/10/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.36	0.00	6.36	0.0	6.36
09/30/2017	CHICAGO WICKER	ADP TRAILS	0.00	3,088.01	0.00	3,088.01	0.0	3,088.01
10/10/2017	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.63	0.00	4.63	0.0	4.63
10/10/2017	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.56	0.00	2.56	0.0	2.56
10/10/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.06
10/10/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	0.0	0.31
10/10/2017	HANSZ, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	37.19	0.00	37.19	0.0	37.19
10/10/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.90	0.00	13.90	0.0	13.90

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM WALSH #187</u>								
10/10/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.11
10/10/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.12	0.00	1.12	0.0	1.12
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.45	0.00	13.45	0.0	13.45
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.61	0.00	15.61	0.0	15.61
10/10/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.46	0.00	14.46	0.0	14.46
10/10/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.23	0.00	13.23	0.0	13.23
10/10/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.43	0.00	34.43	0.0	34.43
10/10/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	40.57	0.00	40.57	0.0	40.57
10/10/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.27	0.00	4.27	0.0	4.27
10/10/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.38	0.00	0.38	0.0	0.38
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.80	0.00	6.80	0.0	6.80
10/10/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.01
10/10/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	9.49	0.00	9.49	0.0	9.49
10/10/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.67	0.00	4.67	0.0	4.67
10/10/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.09	0.00	9.09	0.0	9.09
10/10/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.04	0.00	1.04	0.0	1.04
10/10/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.99	0.00	9.99	0.0	9.99
10/10/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.26	0.00	1.26	0.0	1.26
10/10/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.08	0.00	1.08	0.0	1.08
10/10/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	20.40	0.00	20.40	0.0	20.40
10/10/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.78	0.00	1.78	0.0	1.78
10/10/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	13.60	0.00	13.60	0.0	13.60
10/10/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.36	0.00	2.36	0.0	2.36
10/10/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	13.03	0.00	13.03	0.0	13.03
10/10/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.42	0.00	7.42	0.0	7.42
10/10/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	44.59	0.00	44.59	0.0	44.59
10/10/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.07	0.00	1.07	0.0	1.07
11/02/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,358,166.88	576.76	0.00	576.76	0.0	576.76
10/10/2017	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	1.42	0.00	1.42	0.0	1.42
10/10/2017	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	35.15	0.00	35.15	0.0	35.15
10/10/2017	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	33.58	0.00	33.58	0.0	33.58
10/10/2017	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	82.69	0.00	82.69	0.0	82.69
10/10/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.68	0.00	4.68	0.0	4.68

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM WALSH #187</u>								
10/10/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.61	0.00	5.61	0.0	5.61
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
10/10/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	7.76	0.00	7.76	0.0	7.76
11/11/2017	DARGO, JILL	JOHN HANCOCK FREEDOM 529	0.00	0.01	0.00	0.01	0.0	0.01
10/10/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.44	0.00	0.44	0.0	0.44
10/10/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	53.18	0.00	53.18	0.0	53.18
10/10/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.33	0.00	10.33	0.0	10.33
10/10/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.41	0.00	10.41	0.0	10.41
10/10/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	25.98	0.00	25.98	0.0	25.98
10/10/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.08	0.00	1.08	0.0	1.08
10/10/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.65	0.00	1.65	0.0	1.65
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	62,035.93	13.60	0.00	13.60	0.0	13.60
/ /	COMMUNITY HOUSE	NWL POOLED FXD	404.55	0.09	0.00	0.09	0.0	0.09
10/10/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.63	0.00	14.63	0.0	14.63
10/25/2017	BROOKS, JAMES F & MARGE	PAC LIFE INNOVATIVE SELECT	0.00	642.55	0.00	642.55	0.0	642.55
10/16/2017	SENGSTOCK, FRANK	PAC LIFE PACIFIC CHOICE	0.00	850.52	0.00	850.52	0.0	850.52
10/31/2017	SENGSTOCK, KATHLEEN	PAC LIFE PACIFIC CHOICE	0.00	575.24	0.00	575.24	0.0	575.24
10/10/2017	APPLECON, LLC	PIMCO ALL ASSET A	0.00	446.56	0.00	446.56	0.0	446.56
10/10/2017	YOUNG PROFIT SHARING, RICK	PIMCO ALL ASSET A	0.00	33.21	0.00	33.21	0.0	33.21
10/10/2017	YOUNG-CASH BALANCE, RICK	PIMCO ALL ASSET A	0.00	166.07	0.00	166.07	0.0	166.07
10/10/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.31	0.00	0.31	0.0	0.31
10/10/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	13.95	0.00	13.95	0.0	13.95
10/10/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.50	0.00	0.50	0.0	0.50
10/10/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.38	0.00	7.38	0.0	7.38
10/10/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	9.01	0.00	9.01	0.0	9.01
10/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	0.0	0.22
10/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.48	0.00	0.48	0.0	0.48
10/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.16	0.00	1.16	0.0	1.16
10/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.23	0.00	0.23	0.0	0.23
10/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	589.07	0.00	589.07	0.0	589.07
10/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	69.97	0.00	69.97	0.0	69.97
* WILLIAM WALSH SUBTOTAL *			\$1,420,607.36	\$7,776.97	\$0.00	\$7,776.97		\$7,776.97

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
10/19/2017	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	0.92	0.00	0.92	0.0	0.92
10/19/2017	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.60	0.00	0.60	0.0	0.60
10/19/2017	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.82	0.00	0.82	0.0	0.82
10/19/2017	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	0.0	1.04
10/19/2017	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.67	0.00	0.67	0.0	0.67
10/31/2017	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.58	0.00	2.58	0.0	2.58
10/31/2017	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	3.91	0.00	3.91	0.0	3.91
10/31/2017	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	13.22	0.00	13.22	0.0	13.22
10/16/2017	LILLIGRIDGE, PAMELA	MFS BOND A	0.00	0.16	0.00	0.16	0.0	0.16
10/16/2017	LILLIGRIDGE, PAMELA	MFS GOVERNMENT SECURITIES A	0.00	0.10	0.00	0.10	0.0	0.10
10/16/2017	LILLIGRIDGE, PAMELA	MFS INTERNATIONAL DISCOVERY A	0.00	0.06	0.00	0.06	0.0	0.06
10/16/2017	LILLIGRIDGE, PAMELA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.15	0.00	0.15	0.0	0.15
10/16/2017	LILLIGRIDGE, PAMELA	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.15	0.00	0.15	0.0	0.15
10/16/2017	LILLIGRIDGE, PAMELA	MFS MASS INVESTORS TRUST A	0.00	0.07	0.00	0.07	0.0	0.07
10/16/2017	LILLIGRIDGE, PAMELA	MFS MID-CAP VALUE FUND CLASS A	0.00	0.07	0.00	0.07	0.0	0.07
10/16/2017	LILLIGRIDGE, PAMELA	MFS TOTAL RETURN BOND FUND CLASS A	0.00	0.16	0.00	0.16	0.0	0.16
10/16/2017	LILLIGRIDGE, PAMELA	MFS VALUE A	0.00	0.68	0.00	0.68	0.0	0.68
10/23/2017	BOETTGER, JENNIFER	PIMCO INFLATION RESPONSE MULTI- ASS	0.00	9.89	0.00	9.89	0.0	9.89
10/30/2017	HENDERSON, JUSTINE	STADION TACTICAL DEFENSIVE FUND 852	0.00	24.61	0.00	24.61	0.0	24.61
10/19/2017	BARNES, BRIAN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.26	0.00	3.26	0.0	3.26
 * MICHELE BOYER SUBTOTAL * 			\$0.00	\$63.12	\$0.00	\$63.12		\$63.12
<u> BROKER: THOMAS JAHNCKE #193 </u>								
10/19/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	1.71	0.00	1.71	0.0	1.71
10/19/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.29	0.00	1.29	0.0	1.29
10/20/2017	JAHNCKE, JUDY	ALLIANZGI NFJ MID- CAP VALUE FUND C	0.00	1.34	0.00	1.34	0.0	1.34
10/19/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.22	0.00	1.22	0.0	1.22
10/19/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.99	0.00	1.99	0.0	1.99
10/19/2017	RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	4.43	0.00	4.43	0.0	4.43
10/19/2017	RUMBLE TRUST, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.91	0.00	7.91	0.0	7.91
10/19/2017	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.33	0.00	1.33	0.0	1.33
10/20/2017	BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	5.23	0.00	5.23	0.0	5.23
10/20/2017	JAHNCKE, JUDY	CALAMOS GROWTH A	0.00	3.81	0.00	3.81	0.0	3.81
10/20/2017	RUMBLE TRUST, JUDITH	CALAMOS GROWTH C	0.00	39.23	0.00	39.23	0.0	39.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS JAHNCKE #193</u>								
10/16/2017	JAHNCKE, JUDY	DREYFUS RESEARCH GROWTH FUND CLASS	0.00	0.64	0.00	0.64	0.0	0.64
09/30/2017	GLOVER, NANCY	DUNHAM & ASSOCIATES	0.00	141.21	0.00	141.21	0.0	141.21
10/20/2017	JAHNCKE, JUDY	EAGLE CAPITAL APPRECIATION FUND CLA	0.00	2.09	0.00	2.09	0.0	2.09
10/16/2017	JAHNCKE TRUST, JUDY	EATON VANCE FLOATING-RATE ADVANTAGE	0.00	7.58	0.00	7.58	0.0	7.58
10/17/2017	RUMBLE TRUST, JUDITH	FEDERATED EQUITY INCOME FUND CLASS	0.00	19.81	0.00	19.81	0.0	19.81
10/12/2017	RUMBLE TRUST, JUDITH	FORWARD REAL ESTATE LONG/SHORT FUND	0.00	1.62	0.00	1.62	0.0	1.62
10/12/2017	JAHNCKE TRUST, JUDY	FORWARD SELECT INCOME FUND CLASS C	0.00	13.69	0.00	13.69	0.0	13.69
10/12/2017	RUMBLE TRUST, JUDITH	FORWARD SELECT INCOME FUND CLASS C	0.00	22.79	0.00	22.79	0.0	22.79
10/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.10	0.00	1.10	0.0	1.10
10/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.30	0.00	3.30	0.0	3.30
10/30/2017	RUMBLE TRUST, JUDITH	INVESCO ENERGY FUND CLASS C	0.00	29.91	0.00	29.91	0.0	29.91
10/11/2017	BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	0.07	0.00	0.07	0.0	0.07
10/11/2017	BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	12.87	0.00	12.87	0.0	12.87
10/24/2017	JAHNCKE TRUST, JUDY	MAINSTAY HIGH YIELD CORP BOND A	0.00	0.06	0.00	0.06	0.0	0.06
10/18/2017	JAHNCKE, JUDY	PUTNAM INVESTORS A	0.00	0.54	0.00	0.54	0.0	0.54
10/16/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.22	0.00	0.22	0.0	0.22
10/16/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.65	0.00	0.65	0.0	0.65
10/23/2017	RUMBLE TRUST, JUDITH	SIERRA CORE RETIREMENT FUND CLASS C	0.00	8.91	0.00	8.91	0.0	8.91
10/23/2017	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	3.26	0.00	3.26	0.0	3.26
10/16/2017	JAHNCKE TRUST, JUDY	THORNBURG INT'L VALUE A	0.00	10.62	0.00	10.62	0.0	10.62
10/16/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	2.16	0.00	2.16	0.0	2.16
10/16/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	4.68	0.00	4.68	0.0	4.68
10/16/2017	JAHNCKE, JUDY	THORNBURG INVESTMENT INCOME BUILDER	0.00	1.37	0.00	1.37	0.0	1.37
10/16/2017	JAHNCKE, JUDY	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.50	0.00	0.50	0.0	0.50
10/16/2017	JAHNCKE, JUDY	THORNBURG VALUE CL A	0.00	2.04	0.00	2.04	0.0	2.04
10/16/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	2.43	0.00	2.43	0.0	2.43
10/16/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	7.56	0.00	7.56	0.0	7.56
10/16/2017	JAHNCKE TRUST, JUDY	THORNBURG VALUE CLASS C	0.00	2.03	0.00	2.03	0.0	2.03
10/16/2017	JAHNCKE, JUDY	THORNBURG VALUE CLASS C	0.00	28.41	0.00	28.41	0.0	28.41
10/16/2017	RUMBLE TRUST, JUDITH	THORNBURG VALUE CLASS C	0.00	33.47	0.00	33.47	0.0	33.47
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$435.08	\$0.00	\$435.08		\$435.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHAEL PALMISANO #196</u>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.91	0.00	0.91	0.0	0.91
10/10/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.38	0.00	2.38	0.0	2.38
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.96	0.00	0.96	0.0	0.96
10/10/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	8.91	0.00	8.91	0.0	8.91
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$13.16	\$0.00	\$13.16		\$13.16
<u>BROKER: RICHARD CODY #197</u>								
10/31/2017	SYVERSEN, AMY	MARSICO FLEXIBLE CAPITAL FUND	0.00	0.58	0.00	0.58	0.0	0.58
* RICHARD CODY SUBTOTAL *			\$0.00	\$0.58	\$0.00	\$0.58		\$0.58
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
10/19/2017	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	4.71	0.00	4.71	0.0	4.71
10/19/2017	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	4.81	0.00	4.81	0.0	4.81
10/19/2017	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.00	0.00	3.00	0.0	3.00
10/19/2017	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.02	0.00	3.02	0.0	3.02
10/19/2017	WEEKLEY, GROVER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.02	0.00	0.02	0.0	0.02
10/19/2017	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.07	0.00	5.07	0.0	5.07
10/19/2017	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.19	0.00	5.19	0.0	5.19
10/19/2017	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.47	0.00	3.47	0.0	3.47
10/19/2017	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.49	0.00	3.49	0.0	3.49
10/16/2017	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.54	0.00	4.54	0.0	4.54
11/03/2017	TALBERT, ANN	COLUMBIA LARGECAP GROWTH C	0.00	9.06	0.00	9.06	0.0	9.06
11/03/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	8.12	0.00	8.12	0.0	8.12
10/16/2017	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.08	0.00	0.08	0.0	0.08
10/19/2017	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.13	0.00	0.13	0.0	0.13
10/19/2017	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.22	0.00	0.22	0.0	0.22
10/19/2017	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.22	0.00	0.22	0.0	0.22
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$55.15	\$0.00	\$55.15		\$55.15
<u>BROKER: GREGORY FRIEL #223</u>								
10/17/2017	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.53	0.00	0.53	0.0	0.53
10/17/2017	SAURMAN, PAMELA	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.13	0.00	1.13	0.0	1.13
10/16/2017	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.73	0.00	3.73	0.0	3.73
10/16/2017	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.79	0.00	1.79	0.0	1.79
10/20/2017	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.88	0.00	4.88	0.0	4.88

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: GREGORY FRIEL #223 </u>								
10/20/2017	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.93	0.00	2.93	0.0	2.93
10/20/2017	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	1.22
10/20/2017	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.47	0.00	0.47	0.0	0.47
10/20/2017	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	12.51	0.00	12.51	0.0	12.51
10/13/2017	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.75	0.00	6.75	0.0	6.75
10/13/2017	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.91	0.00	9.91	0.0	9.91
10/16/2017	FRIEL, JEREMY	MFS BOND A	0.00	1.18	0.00	1.18	0.0	1.18
10/16/2017	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.22
10/16/2017	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.80	0.00	0.80	0.0	0.80
10/16/2017	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.91	0.00	0.91	0.0	0.91
10/16/2017	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.89	0.00	0.89	0.0	0.89
10/16/2017	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.24	0.00	0.24	0.0	0.24
10/16/2017	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.25	0.00	0.25	0.0	0.25
10/16/2017	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.43	0.00	1.43	0.0	1.43
10/16/2017	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.98	0.00	4.98	0.0	4.98
10/16/2017	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	8.56	0.00	8.56	0.0	8.56
10/16/2017	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.23	0.00	0.23	0.0	0.23
10/16/2017	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	10.86	0.00	10.86	0.0	10.86
10/16/2017	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.25	0.00	3.25	0.0	3.25
10/16/2017	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.35	0.00	2.35	0.0	2.35
10/16/2017	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.17	0.00	1.17	0.0	1.17
* GREGORY FRIEL SUBTOTAL *			\$0.00	\$83.17	\$0.00	\$83.17		\$83.17
<u> BROKER: JAMES CRAWFORD #225 </u>								
10/23/2017	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.01	0.00	3.01	0.0	3.01
10/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,239.79	1.92	0.00	1.92	0.0	1.92
10/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,352.77	1.53	0.00	1.53	0.0	1.53
* JAMES CRAWFORD SUBTOTAL *			\$16,592.56	\$6.46	\$0.00	\$6.46		\$6.46
<u> BROKER: CARL WORDEN III #232 </u>								
10/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,530.93	8.78	0.00	8.78	0.0	8.78
* CARL WORDEN III SUBTOTAL *			\$10,530.93	\$8.78	\$0.00	\$8.78		\$8.78

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARIA BONGIOVANNI #237</u>								
10/31/2017	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.95	0.00	2.95	0.0	2.95
10/31/2017	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.94	0.00	2.94	0.0	2.94
10/31/2017	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.94	0.00	2.94	0.0	2.94
* MARIA BONGIOVANNI SUBTOTAL *			\$0.00	\$8.83	\$0.00	\$8.83		\$8.83
<u>BROKER: WILLIAM THOMAS #239</u>								
10/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
10/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
* WILLIAM THOMAS SUBTOTAL *			\$500.00	\$10.00	\$0.00	\$10.00		\$10.00
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
10/28/2017	MILLER, MARTIN	METLIFE TRAILS	0.00	26.82	0.00	26.82	0.0	26.82
10/31/2017	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.95	0.00	2.95	0.0	2.95
10/31/2017	POLL, ROGOR	FT EQUITY INC CL C	0.00	49.11	0.00	49.11	0.0	49.11
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	3.55	0.00	3.55	0.0	3.55
10/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	2.88
11/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
11/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
10/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
10/24/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	125.00	5.94	0.00	5.94	0.0	5.94
11/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.29	0.00	4.29	0.0	4.29
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$896.00	\$100.06	\$0.00	\$100.06		\$100.06
<u>BROKER: MICHAEL O'CALLAGHAN #305</u>								
10/10/2017	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	1.14	0.00	1.14	0.0	1.14
10/31/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	85,498.63	71.25	0.00	71.25	0.0	71.25
* MICHAEL O'CALLAGHAN SUBTOTAL *			\$85,498.63	\$72.39	\$0.00	\$72.39		\$72.39
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
10/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	121,883.90	101.57	0.00	101.57	0.0	10.16
10/20/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.04	0.00	4.04	0.0	0.40
10/20/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.25	0.00	3.25	0.0	0.33
10/23/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.71	0.00	2.71	0.0	0.27
10/31/2017	TOOLEY, PATRICIA	FRANKLIN MA TAX FR INCOME A	0.00	26.17	0.00	26.17	0.0	2.62
10/31/2017	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.82	0.00	8.82	0.0	0.88

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: L149-ROBERT LUCHSINGER #388								
10/31/2017	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.56	0.00	12.56	0.0	1.26
10/31/2017	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	19.32	0.00	19.32	0.0	1.93
10/31/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	233,103.64	194.25	0.00	194.25	0.0	19.43
10/19/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.98	0.00	5.98	0.0	0.60
10/23/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.03	0.00	1.03	0.0	0.10
10/23/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.30	0.00	1.30	0.0	0.13
10/23/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.61	0.00	0.61	0.0	0.06
10/23/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.08	0.00	5.08	0.0	0.51
10/23/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.79	0.00	4.79	0.0	0.48
10/23/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.52	0.00	8.52	0.0	0.85
10/23/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.78	0.00	1.78	0.0	0.18
10/19/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.00	0.00	5.00	0.0	0.50
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.02	0.00	5.02	0.0	0.50
10/13/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.14	0.00	0.14	0.0	0.01
10/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.54	0.00	0.54	0.0	0.05
10/13/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.69	0.00	4.69	0.0	0.47
10/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.95	0.00	1.95	0.0	0.20
10/13/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.07
10/13/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.11	0.00	1.11	0.0	0.11
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$354,987.54	\$420.94	\$0.00	\$420.94		\$42.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,470,054.28	\$13,426.86	\$22.50	\$13,404.36		\$13,025.52
<u> ADJUSTMENTS </u>								
11/15/2017		*MISC: MISC 10/31/2017 REJECT	0.00					57.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$57.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,783.71
Split Transactions	\$0.00
Override Transactions	\$13,025.52
Adjustments	\$57.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,866.23
Year-to-date Gross	\$71,992.01
Year-to-date Earnings	\$219,939.07