



COMMISSION STATEMENT

January 15, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/15/2018	***-**-5723 - PONTURO, ACCOUNT #: 77161355101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	90.0	3.34
01/15/2018	***-**-545P - FELLIN, DIANE ACCOUNT #: 77169971001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	90.0	0.50
01/15/2018	***-**-465P - KALANTZOPOULOS, ACCOUNT #: 77280070001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	90.0	1.12
01/15/2018	***-**-623I - LEIBOWITZ, IRWIN ACCOUNT #: 77287712601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	90.0	0.37
01/15/2018	***-**-895P - POWER, KATHLEEN ACCOUNT #: 77321173601	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	90.0	0.24
01/15/2018	***-**-775P - RHODES, EDWARD ACCOUNT #: 77426669701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.30	0.00	0.30	90.0	0.27
01/15/2018	***-**-515P - PASSARO, MARY ACCOUNT #: 77507742202	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.54	0.00	0.54	90.0	0.49
01/15/2018	***-**-815P - WILSON, JOHN BUY 0 Units @0.0000	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.12	0.00	1.12	90.0	1.01
* 529 PLAN SUBTOTAL *			\$0.00	\$8.14	\$0.00	\$8.14		\$7.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-28RI - MORMINO, STEVEN ACCOUNT #: 03203227719	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	4.60	0.00	4.60	90.0	4.14
12/31/2017	***-**-28RI - MORMINO, STEVEN ACCOUNT #: 07000187368	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	5.34	0.00	5.34	90.0	4.81
12/31/2017	***-**-285P - MORMINO, STEVE ACCOUNT #: 05901086316	REAL ESTATE FUND A BUY 0 Units @0.0000	0.00	3.73	0.00	3.73	90.0	3.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$13.67	\$0.00	\$13.67		\$12.31
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$21.81	\$0.00	\$21.81		\$19.65



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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY MORRISON</u>								
01/15/2018	***-**-9385 - JOSEPH, BRIAN	NEW YORK 529 TRAILS	0.00	2.77	0.00	2.77	90.0	2.49
	ACCOUNT #: 77094508001	BUY 0 Units @0.0000						
01/15/2018	***-**-7673 - MORRISON,	NEW YORK 529 TRAILS	0.00	8.29	0.00	8.29	90.0	7.46
	ACCOUNT #: 77152277401	BUY 0 Units @0.0000						
01/15/2018	***-**-446J - RUBIN	NEW YORK 529 TRAILS	0.00	3.78	0.00	3.78	90.0	3.40
	ACCOUNT #: 77334416801	BUY 0 Units @0.0000						
01/15/2018	***-**-7724 - NANDAA, NEIL	NEW YORK 529 TRAILS	0.00	1.91	0.00	1.91	90.0	1.72
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						
01/15/2018	***-**-18IN - WATSON	NEW YORK 529 TRAILS	0.00	0.51	0.00	0.51	90.0	0.46
	ACCOUNT #: 77398834701	BUY 0 Units @0.0000						
01/15/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS	0.00	13.83	0.00	13.83	90.0	12.45
		BUY 0 Units @0.0000						
01/15/2018	***-**-405P - KITOGRAD, JAY	NEW YORK 529 TRAILS	0.00	1.67	0.00	1.67	90.0	1.50
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
12/31/2017	***-**-66IN - COHEN, ESTA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.28	0.00	0.28	90.0	0.25
	ACCOUNT #: 02802133395	BUY 0 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$0.00	\$33.04	\$0.00	\$33.04		\$29.73
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$33.04	\$0.00	\$33.04		\$29.73

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
12/31/2017	***-**-427I - FISHMAN, ALAN	INTERNATIONAL SMALL COMPANY A	0.00	62.24	0.00	62.24	7.5	4.67
	ACCOUNT #: 08150696707	BUY 0 Units @0.0000						
12/31/2017	***-**-427I - FISHMAN, ALAN	MAIN STREET FUND A	0.00	27.08	0.00	27.08	7.5	2.03
	ACCOUNT #: 07004434301	BUY 0 Units @0.0000						
12/31/2017	***-**-427I - FISHMAN, ALAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	50.86	0.00	50.86	7.5	3.81
	ACCOUNT #: 07852994145	BUY 0 Units @0.0000						
12/31/2017	***-**-427I - FISHMAN, ALAN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	25.76	0.00	25.76	7.5	1.93
	ACCOUNT #: 02512697684	BUY 0 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$165.94	\$0.00	\$165.94		\$12.44



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*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$165.94	\$0.00	\$165.94		\$12.44
BROKER COMMISSION TOTALS								
Broker Transactions								\$19.65
Split Transactions								\$29.73
Override Transactions								\$12.44
Adjustments								\$0.00
Payroll Draw								\$0.00
Base Salary								\$0.00
Prior Period Balance								\$0.00
FINRA Assessment								\$0.00
SIPC Assessment								\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$61.82
Year-to-date Earnings	\$4,428.87