



All Companies

05/30/2018

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COMMISSION STATEMENT

June 01, 2018

RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/10/2018	ASHLEY, SUSAN	-JOHN HANCOCK FREEDOM 529	B	375.00	3.75	0.00	3.75	90.0	3.38
05/10/2018	ASHLEY, SUSAN	-JOHN HANCOCK FREEDOM 529	B	37.00	3.75	0.00	3.75	90.0	3.38
05/17/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS BD FD OF AMER FD	B	66.25	1.33	0.00	1.33	90.0	1.20
05/17/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	132.50	2.65	0.00	2.65	90.0	2.39
05/17/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	662.50	13.25	0.00	13.25	90.0	11.93
05/17/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS HIGH INCOME TR FD	B	265.00	5.30	0.00	5.30	90.0	4.77
05/17/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS INTERM BD FD OF AMER B	B	66.25	1.33	0.00	1.33	90.0	1.20
05/17/2018	00062824923 CORBET, JEFF L	AMERICAN FUNDS WA MUTUAL	INVES B	132.50	2.65	0.00	2.65	90.0	2.39
05/17/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS BD FD OF AMER FD	B	331.25	6.63	0.00	6.63	90.0	5.97
05/17/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	331.25	6.63	0.00	6.63	90.0	5.97
05/17/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS HIGH INCOME TR FD	B	331.25	6.63	0.00	6.63	90.0	5.97
05/17/2018	00075619572 CORBET, MICHELLE M	AMERICAN FUNDS INTERM BD FD OF AMER B	B	331.25	6.63	0.00	6.63	90.0	5.97
05/17/2018	00083054164 CALHOUN, SHERRI GAIL	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	2.00	0.00	2.00	90.0	1.80
05/16/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVES B	250.00	9.38	0.00	9.38	90.0	8.44
05/09/2018	04000907578 MCKIE, TAMMY/TANNER	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
05/07/2018	07150213625 BLAKE/MANSFIELD, FRANCES/CONNER	-JOHN HANCOCK FREEDOM 529	B	200.00	2.00	0.00	2.00	90.0	1.80
05/01/2018	07150213641 BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
* MUTUAL FUNDS SUBTOTAL *				<u>\$3,912.00</u>	<u>\$80.16</u>	<u>\$0.00</u>	<u>\$80.16</u>		<u>\$72.19</u>

PRODUCT CATEGORY: STOCKS

05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	42.46	42.46	0.00	42.46	90.0	38.21
* STOCKS SUBTOTAL *				<u>\$42.46</u>	<u>\$42.46</u>	<u>\$0.00</u>	<u>\$42.46</u>		<u>\$38.21</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/04/2018	TRAILS	-JOHN HANCOCK	B	106.81	106.81	0.00	106.81	90.0	96.13
05/15/2018	CORBET, JEFFERY L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$406.81</u>	<u>\$124.81</u>	<u>\$0.00</u>	<u>\$124.81</u>		<u>\$112.33</u>

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

04/13/2018	17946488	MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	873.33	0.00	873.33	90.0	786.00
04/13/2018	30932836	BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	150.33	0.00	150.33	90.0	135.30
04/13/2018	77430114	WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	81.67	0.00	81.67	90.0	73.50
04/13/2018	81766746	KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	243.33	0.00	243.33	90.0	219.00
* RIA SUBTOTAL *					<u>\$0.00</u>	<u>\$1,348.66</u>	<u>\$0.00</u>	<u>\$1,348.66</u>		<u>\$1,213.80</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	7.71	0.00	7.71	90.0	6.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$7.71</u>	<u>\$0.00</u>	<u>\$7.71</u>		<u>\$6.94</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$4,361.27</u>	<u>\$1,603.80</u>	<u>\$0.00</u>	<u>\$1,603.80</u>		<u>\$1,443.47</u>

SPLIT TRANSACTIONSBROKER: JOINT ASHLEY/ASHLEY #8030

05/15/2018	TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B	6,708.91	168.62	0.00	168.62	90.0	151.76
04/13/2018	30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B	0.00	151.66	0.00	151.66	90.0	136.49
* JOINT ASHLEY/ASHLEY SUBTOTAL *				<u>\$6,708.91</u>	<u>\$320.28</u>	<u>\$0.00</u>	<u>\$320.28</u>		<u>\$288.25</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$6,708.91</u>	<u>\$320.28</u>	<u>\$0.00</u>	<u>\$320.28</u>		<u>\$288.25</u>

ADJUSTMENTS

06/01/2018	HILLTOP: CUSTOMER WRITE OFF			0.00	0.00	0.00	0.00		-2.00
06/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-29.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,443.47
Split Transactions	\$288.25
Override Transactions	\$0.00
Adjustments	\$-29.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,702.22
Year-to-date Earnings	\$37,887.51