

COMMISSION STATEMENT

May 31, 2018

STEPHEN MOSS
1336 MARYLAND AVENUE

HAVERTOWN, PA 19082

BROKER #: V05 A05
BRANCH: FULCRUM GROUPCOMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/16/2018 (None)	VARIOUS, MOSS	529 PLAN	B	0.00	3.50	0.00	3.50	50.0	1.75
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$3.50	\$0.00	\$3.50		\$1.75

PRODUCT CATEGORY: STOCKS

05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES FLOATING RATE BOND ETF	B	53,502.75	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES RUSSELL 2000 ETF	B	31,427.21	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF	B	8,596.26	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET	B	31,323.50	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	B	31,443.52	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH	S	22,175.02	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	S	30,723.33	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	S	28,635.13	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	S	31,352.80	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	S	20,480.02	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	S	32,742.32	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	PIMCO ENHANCED SHORT MATURITY ACTIV	B	51,960.32	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	B	31,358.43	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	POWERSHARES GLOBAL EXCHANGE TRADED	S	19,848.84	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	S	21,293.61	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	S	21,754.60	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL	S	21,257.97	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	51,963.48	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	STANDARD & POORS MIDCAP 400 SPDR S&	S	20,801.19	0.00	0.00	0.00	50.0	0.00
05/10/2018	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU	S	21,374.06	0.00	0.00	0.00	50.0	0.00
05/25/2018	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P EMERGING MAR	B	37.52	0.00	0.00	0.00	50.0	0.00
05/25/2018	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	83.54	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					\$584,135.42	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/04/2018 (None)	VARIOUS, MOSS	MASS MUTUAL	B	0.00	9.15	0.00	9.15	50.0	4.58
05/08/2018 (None)	VARIOUS, MOSS	LINCOLN	B	0.00	294.23	0.00	294.23	50.0	147.12
05/09/2018 (None)	VARIOUS, MOSS	JACKSON	B	0.00	15.32	0.00	15.32	50.0	7.66

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

				* VARIABLE ANNUITIES SUBTOTAL *	<u>\$0.00</u>	<u>\$318.70</u>	<u>\$0.00</u>	<u>\$318.70</u>	<u>\$159.36</u>
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	75501796	MOSS, KATHLEEN	FAS FEES PT. II/III	B	-156.97	52.32	0.00	52.32	26.16
04/01/2018	75501797	HERTEN, TIMOTHY	FAS FEES PT. II/III	B	-112.41	37.47	0.00	37.47	18.74
04/01/2018	75501799	HERTEN, TIMOTHY	FAS FEES PT. II/III	B	-105.87	35.29	0.00	35.29	17.65
04/01/2018	75501801	JOHN W SCHULTZ TTEE	FAS FEES PT. II/III	B	-364.06	121.35	0.00	121.35	60.68
04/01/2018	75501802	MCELREAVEY, DANIEL	FAS FEES PT. II/III	B	-188.86	62.95	0.00	62.95	31.48
04/01/2018	75501873	BESETH, DAVID	FAS FEES PT. II/III	B	-411.06	137.02	0.00	137.02	68.51
04/01/2018	75501875	RALPH G BARTO TRUST	FAS FEES PT. II/III	B	-595.44	198.48	0.00	198.48	99.24
04/01/2018	75501877	MORRIS WEISMAN TTEE	FAS FEES PT. II/III	B	-1,625.83	541.94	0.00	541.94	270.97
04/01/2018	75501878	MORRIS WEISMAN TTEE	FAS FEES PT. II/III	B	-209.90	69.96	0.00	69.96	34.98
04/01/2018	75501907	HESTON, EUGENE	FAS FEES PT. II/III	B	-375.29	125.09	0.00	125.09	62.55
04/01/2018	75501922	HAFENMAIER, HELEN	FAS FEES PT. II/III	B	-293.37	97.79	0.00	97.79	48.90
04/01/2018	75501924	CUNNINGHAM, WENDY	FAS FEES PT. II/III	B	-339.20	113.06	0.00	113.06	56.53
04/01/2018	75501937	BERG, ELIZABETH	FAS FEES PT. II/III	B	-346.62	115.54	0.00	115.54	57.77
04/01/2018	75501944	EDWARD W CUNICELLI	FAS FEES PT. II/III	B	-309.11	103.03	0.00	103.03	51.52
04/01/2018	75502025	THIEU, MINH	FAS FEES PT. II/III	B	-468.31	156.10	0.00	156.10	78.05
04/01/2018	75502026	DAVID DIEU TTEE	FAS FEES PT. II/III	B	-314.41	104.80	0.00	104.80	52.40
04/01/2018	75502095	VERBITSKI, THOMAS	FAS FEES PT. II/III	B	-1,017.16	339.05	0.00	339.05	169.53
04/01/2018	75502176	DENNIN, MARY	FAS FEES PT. II/III	B	-230.13	76.71	0.00	76.71	38.36
				* RIA SUBTOTAL *	<u>\$-7,464.00</u>	<u>\$2,487.95</u>	<u>\$0.00</u>	<u>\$2,487.95</u>	<u>\$1,244.02</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018	FEE COUNT - 7	0.00	3.32	0.00	3.32	50.0	0.61
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.32	\$0.00	\$3.32		\$0.61
	*** BROKER TRANSACTIONS TOTAL ***	\$576,671.42	\$2,813.47	\$0.00	\$2,813.47		\$1,405.74

ADJUSTMENTS

05/31/2018	OTHER: 2ND QTR- 2/3 ADV CHARGES	0.00	0.00	0.00	0.00	-253.60
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-253.60</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,405.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-253.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.52
SIPC Assessment	\$-7.03

Check Amount	\$1,141.59
Year-to-date Earnings	\$8,376.08