



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: HOUSE Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	171.61	171.61	0.00	171.61	60.0	102.97
07/16/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50	60.0	1.50
07/16/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50	60.0	1.50
08/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
08/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
08/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
08/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
07/23/2018	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD CL A B		220.00	11.00	0.00	11.00	60.0	6.60
07/16/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD CL A B		100.00	2.00	0.00	2.00	60.0	1.20
07/16/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME FD CL B		100.00	3.75	0.00	3.75	60.0	2.25
* MUTUAL FUNDS SUBTOTAL *				\$1,291.61	\$211.40	\$0.00	\$211.40		\$126.86
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/29/2018	TRAILS	-HARTFORD LIFE	B	51.27	51.27	0.00	51.27	60.0	30.76
07/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
07/24/2018	DEVEZA, NOEMYLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49
06/30/2018	TRAILS	-SECURITY LIFE OF DENVER	B	4.71	4.71	0.00	4.71	60.0	2.83
07/31/2018	TRAILS	-SECURITY LIFE OF DENVER	B	4.73	4.73	0.00	4.73	60.0	2.84
* VARIABLE ANNUITIES SUBTOTAL *				\$116.88	\$62.40	\$0.00	\$62.40		\$37.45
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	761.34	0.00	761.34	60.0	456.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$761.34	\$0.00	\$761.34		\$456.82

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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Override Transactions

*** BROKER TRANSACTIONS TOTAL ***	\$1,408.49	\$1,035.14	\$0.00	\$1,035.14	\$621.13
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BROKER COMMISSION TOTALS

Broker Transactions	\$621.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$621.13
Year-to-date Earnings	\$9,944.16