

All Companies
STATEMENT
May 20, 2018

KATIE PEPIN
1990 Main Street #750

BROKER #: 150
BRANCH:

Sarasota, FL 34236

COMMISSION STATEMENT for KATIE PEPIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/01/0018	#2000273790 BENSON, LISA	AGES 18 AND OVER A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
01/01/0018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	0.00	5.82	93.0	0.00	5.41	0.00	5.41
02/08/0018	#2000273790 BENSON, LISA	AGES 18 AND OVER A	0.00	0.33	93.0	0.00	0.31	0.00	0.31
02/08/0018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	0.00	5.30	93.0	0.00	4.93	0.00	4.93
03/01/0018	#2000273790 BENSON, LISA	AGES 18 AND OVER A	0.00	0.36	93.0	0.00	0.33	0.00	0.33
03/01/0018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	0.00	6.14	93.0	0.00	5.71	0.00	5.71
03/26/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	300.00	3.00	93.0	0.00	2.79	0.00	2.79
03/26/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	300.00	3.00	93.0	0.00	2.79	0.00	2.79
04/02/2018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	50.00	0.50	93.0	0.00	0.47	0.00	0.47
04/02/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	250.61	2.51	93.0	0.00	2.33	0.00	2.33
04/02/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	292.25	2.92	93.0	0.00	2.72	0.00	2.72
04/02/2018	#04220427306 PENNIN, S	MAIN STREET FUND C	123.41	1.23	93.0	0.00	1.14	0.00	1.14
* MUTUAL FUNDS SUBTOTAL *			\$1,316.27	\$31.48		\$0.00	\$29.27	\$0.00	\$29.27

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/01/0018	#E1059724 GREEN	PRUDETIAL TRAILS	0.00	18.15	93.0	0.00	16.88	0.00	16.88
03/01/0018	#E1075717 KING, ROBERT	PRUDETIAL TRAILS	0.00	96.35	93.0	0.00	89.61	0.00	89.61
03/30/0201	#016217567 REXINGER, JANE	NATIONWIDE DESTINATION	0.00	789.19	93.0	0.00	733.95	0.00	733.95
03/30/0201	#016217576 REXINGER, JANE	NATIONWIDE DESTINATION	0.00	66.24	93.0	0.00	61.60	0.00	61.60
03/30/0201	#016321146 SMITH, NACY	NATIONWIDE DESTINATION	0.00	25.36	93.0	0.00	23.58	0.00	23.58
03/30/0201	#016150757 RUSSELL, SUZANNE	NATIONWIDE DESTINATION	0.00	104.21	93.0	0.00	96.92	0.00	96.92
03/30/0201	#016211151 DOUGLAS, GAIL	NATIONWIDE DESTINATION	0.00	151.05	93.0	0.00	140.48	0.00	140.48
03/30/0201	#016234081 PEYTON, FORREST	NATIONWIDE DESTINATION	0.00	786.73	93.0	0.00	731.66	0.00	731.66
03/30/0201	#016265919 KING, EILEEN	NATIONWIDE DESTINATION	0.00	675.68	93.0	0.00	628.38	0.00	628.38
03/30/0201	#016292965 PEYTON, FORREST	NATIONWIDE DESTINATION	0.00	950.65	93.0	0.00	884.10	0.00	884.10
03/30/0201	#016323825 LITTLE, DALLAS	NATIONWIDE DESTINATION	0.00	141.25	93.0	0.00	131.36	0.00	131.36
03/30/0201	#016249720 BLUES, IRMA KAY	NATIONWIDE DESTINATION	0.00	90.74	93.0	0.00	84.39	0.00	84.39
04/20/0201	#N101466130 FOUT, JEAN	NATIONWIDE RENEWAL	0.00	11.47	93.0	0.00	10.67	0.00	10.67

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/20/2021	#N101514030 FOUT, JEAN	NATIONWIDE RENEWAL	0.00	3.66	93.0	0.00	3.40	0.00	3.40
03/31/2018	#1014526117 TONCOLA,	PERSP L 08/11	114,279.28	95.23	93.0	0.00	88.56	0.00	88.56
04/03/2018	#E1774734	PRUDETIAL TRAILS	0.00	8.24	93.0	0.00	7.66	0.00	7.66
04/03/2018	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	16.22	93.0	0.00	15.08	0.00	15.08
04/03/2018	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	4.05	93.0	0.00	3.77	0.00	3.77
04/03/2018	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	119.73	93.0	0.00	111.35	0.00	111.35
04/03/2018	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	29.93	93.0	0.00	27.83	0.00	27.83
04/03/2018	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	121.15	93.0	0.00	112.67	0.00	112.67
04/03/2018	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	5.64	93.0	0.00	5.25	0.00	5.25
04/03/2018	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	7.30	93.0	0.00	6.79	0.00	6.79
04/03/2018	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	2.43	93.0	0.00	2.26	0.00	2.26
04/03/2018	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	22.55	93.0	0.00	20.97	0.00	20.97
04/03/2018	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	30.29	93.0	0.00	28.17	0.00	28.17
04/06/2018	#2721574 FOUT, JEAN	JOHN HANCOCK LIFE TRAILS	0.00	34.44	93.0	0.00	32.03	0.00	32.03
04/30/2018	#1014526117 TONCOLA,	PERSP L 08/11	116,527.03	97.11	93.0	0.00	90.31	0.00	90.31
* VARIABLE ANNUITIES SUBTOTAL *			\$230,806.31	\$4,505.04		\$0.00	\$4,189.68	\$0.00	\$4,189.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	0.00	66.31	93.0	0.00	61.67	0.00	61.67
03/31/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	0.00	7.98	93.0	0.00	7.42	0.00	7.42
03/31/2018	#04220427306 PENNIN, S	MAIN STREET FUND C	0.00	24.79	93.0	0.00	23.05	0.00	23.05
03/31/2018	#02171504250 PEPIN, KATIE	OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	36.47	93.0	0.00	33.92	0.00	33.92
03/31/2018	#02171730630 BRANDO J M	OPPENHEIMER GLOBAL OPPORTUNITIES FD	0.00	112.15	93.0	0.00	104.30	0.00	104.30
04/03/2018	#00621315594	PIONEER FUND INC-CL A	0.00	10.28	93.0	0.00	9.56	0.00	9.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$257.98		\$0.00	\$239.92	\$0.00	\$239.92
*** BROKER TRANSACTIONS TOTAL ***			\$232,122.58	\$4,794.50		\$0.00	\$4,458.87	\$0.00	\$4,458.87

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ADJUSTMENTS

05/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
05/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,458.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-266.86
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$3,623.01</u>
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