

COMMISSION STATEMENT

July 31, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVE

BROKER #: V03 A03
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/06/2018	75501483 SPILLER, HELEN	THE INCOME FUND OF AMERICA-A	S	1,121.61	0.00	0.00	0.00	50.0	0.00
07/10/2018	75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
08/06/2018	75501483 SPILLER, HELEN	THE INCOME FUND OF AMERICA-A	S	1,115.52	0.00	0.00	0.00	50.0	0.00
08/10/2018	75501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
07/17/2018	75501833 GIRGENTI, V JAMES	ROYCE FD SPECIAL EQUITY FD	S	6,818.15	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$10,055.28	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
07/26/2018	75501621 WEXLER, SHEILA	FACEBOOK INC CL A	B	44,648.75	125.00	0.00	125.00	50.0	62.50
07/13/2018	75501629 INOVATIVE INC	ISHARES SILVER SHARES	S	7,422.50	179.90	0.00	179.90	50.0	89.95
08/03/2018	75501629 INOVATIVE INC	HOME DEPOT INC	S	9,780.63	100.00	0.00	100.00	50.0	50.00
08/03/2018	75501629 INOVATIVE INC	NATIONAL GRID PLC SPON ADR NEW 2017	S	4,364.25	95.00	0.00	95.00	50.0	47.50
07/16/2018	75501647 GINSBURG, JANICE	VERU INC COMMON STOCK	S	1,021.80	51.09	0.00	51.09	50.0	25.55
07/16/2018	75501648 GINSBURG, JANICE	ALPS ETF TR ALERIAN MLP	S	5,135.50	50.00	0.00	50.00	50.0	25.00
07/11/2018	75501653 KINSLEY, MARTI	CENTURYTEL INC	S	159.20	50.00	0.00	50.00	50.0	25.00
07/11/2018	75501653 KINSLEY, MARTI	CENTURYTEL INC	B	-159.20	-50.00	0.00	-50.00	50.0	-25.00 C
07/11/2018	75501653 KINSLEY, MARTI	CENTURYTEL INC	S	159.20	20.00	0.00	20.00	50.0	10.00
07/11/2018	75501653 KINSLEY, MARTI	FRONTIER COMMUNICATIONS CORPORATION	S	10.50	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501653 KINSLEY, MARTI	SUNOCO LP COMMON UNITS REPRESENTING	B	2,010.40	55.79	0.00	55.79	50.0	27.90
07/11/2018	75501653 KINSLEY, MARTI	VERU INC COMMON STOCK	S	209.15	50.00	0.00	50.00	50.0	25.00
07/11/2018	75501653 KINSLEY, MARTI	VERU INC COMMON STOCK	B	-209.15	-50.00	0.00	-50.00	50.0	-25.00 C
07/11/2018	75501653 KINSLEY, MARTI	VERU INC COMMON STOCK	S	209.15	20.00	0.00	20.00	50.0	10.00
07/11/2018	75501654 KINSLEY, GEORGE	ALKALINE WATER COMPANY INC (THE) CO	S	37.80	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501654 KINSLEY, GEORGE	AMERICA MOVIL S A B DE C V SPONSORE	S	2,181.42	75.92	0.00	75.92	50.0	37.96
07/11/2018	75501654 KINSLEY, GEORGE	CAMECO CORP	S	2,262.70	92.13	0.00	92.13	50.0	46.07
07/11/2018	75501654 KINSLEY, GEORGE	CITIGROUP INC COM	B	4,280.85	77.25	0.00	77.25	50.0	38.63
07/11/2018	75501654 KINSLEY, GEORGE	FRONTIER COMMUNICATIONS CORPORATION	S	72.94	50.00	0.00	50.00	50.0	25.00
07/11/2018	75501654 KINSLEY, GEORGE	FRONTIER COMMUNICATIONS CORPORATION	B	-72.94	-50.00	0.00	-50.00	50.0	-25.00 C
07/11/2018	75501654 KINSLEY, GEORGE	FRONTIER COMMUNICATIONS CORPORATION	S	72.94	20.00	0.00	20.00	50.0	10.00
07/11/2018	75501654 KINSLEY, GEORGE	NUTRIEN LTD REGISTERED SHS ISIN#CA6	S	2,092.80	57.28	0.00	57.28	50.0	28.64
07/11/2018	75501654 KINSLEY, GEORGE	PLUG POWER INC	S	410.00	50.00	0.00	50.00	50.0	25.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/11/2018	75501654 KINSLEY, GEORGE	PLUG POWER INC	B	-410.00	-50.00	0.00	-50.00	50.0	-25.00 C
07/11/2018	75501654 KINSLEY, GEORGE	PLUG POWER INC	S	410.00	20.00	0.00	20.00	50.0	10.00
07/11/2018	75501654 KINSLEY, GEORGE	RARE ELEMENT RESOURCES LTD	S	16.00	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501655 KINSLEY, GEORGE	VERU INC COMMON STOCK	S	841.61	50.00	0.00	50.00	50.0	25.00
07/13/2018	75501655 KINSLEY, GEORGE	CAMECO CORP	S	405.89	50.00	0.00	50.00	50.0	25.00
07/13/2018	75501655 KINSLEY, GEORGE	FRONTIER COMMUNICATIONS CORPORATION	S	30.66	0.00	0.00	0.00	50.0	0.00
08/08/2018	75501655 KINSLEY, GEORGE	CONSOLIDATED WATER CO LTD	B	1,375.00	50.00	0.00	50.00	50.0	25.00
08/08/2018	75501655 KINSLEY, GEORGE	NATIONAL GRID PLC SPON ADR NEW 2017	S	6,663.74	100.00	0.00	100.00	50.0	50.00
08/08/2018	75501655 KINSLEY, GEORGE	PICO HOLDINGS INC-NEW	S	1,242.50	50.00	0.00	50.00	50.0	25.00
07/11/2018	75501656 KINSLEY, MARTI	DUKE ENERGY CORPORATION HOLDING COM	B	1,364.72	50.00	0.00	50.00	50.0	25.00
07/11/2018	75501656 KINSLEY, MARTI	FRONTIER COMMUNICATIONS CORPORATION	S	31.47	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501656 KINSLEY, MARTI	VERU INC COMMON STOCK	S	1,060.11	50.00	0.00	50.00	50.0	25.00
07/06/2018	75501739 STAUFFER, JUDITH	DANAHER CORPORATION	B	9,935.26	0.00	0.00	0.00	50.0	0.00
07/06/2018	75501739 STAUFFER, JUDITH	DENTSPLY SIRONA INC COM	B	11,397.48	0.00	0.00	0.00	50.0	0.00
07/06/2018	75501739 STAUFFER, JUDITH	INVESCO LTD ORD SHS ISIN#BMG491BT11	S	7,968.72	0.00	0.00	0.00	50.0	0.00
07/06/2018	75501739 STAUFFER, JUDITH	KELLOGG COMPANY	S	14,386.00	0.00	0.00	0.00	50.0	0.00
07/06/2018	75501739 STAUFFER, JUDITH	MONDELEZ INTERNATIONAL INC COM	B	12,715.50	0.00	0.00	0.00	50.0	0.00
07/06/2018	75501739 STAUFFER, JUDITH	VANGUARD INTL EQUITY IND FD FTSE AL	S	10,437.00	0.00	0.00	0.00	50.0	0.00
07/06/2018	75501739 STAUFFER, JUDITH	WALT DISNEY CO	S	10,475.00	0.00	0.00	0.00	50.0	0.00
07/17/2018	75501833 GIRGENTI, V JAMES	OPKO HEALTH INC	B	6,238.00	0.00	0.00	0.00	50.0	0.00
07/05/2018	75501993 ZEGEN, LOUIS	ISHARES FLOATING RATE BOND ETF	S	12,515.25	0.00	0.00	0.00	50.0	0.00
07/05/2018	75501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF	S	11,766.57	0.00	0.00	0.00	50.0	0.00
07/05/2018	75501993 ZEGEN, LOUIS	ISHARES TR S&P MIDCAP 400 GROWTH	S	12,660.49	0.00	0.00	0.00	50.0	0.00
07/05/2018	75501993 ZEGEN, LOUIS	PIMCO ENHANCED SHORT MATURITY ACTIV	S	25,153.40	0.00	0.00	0.00	50.0	0.00
07/05/2018	75501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR	S	12,166.00	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501993 ZEGEN, LOUIS	ISHARES RUSSELL 2000 ETF	B	15,436.68	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501993 ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 VALUE ETF	B	15,469.79	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501993 ZEGEN, LOUIS	ISHARES U S HEALTHCARE ETF	B	9,411.54	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501993 ZEGEN, LOUIS	ISHARES U S ENERGY ETF	B	9,554.41	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501993 ZEGEN, LOUIS	ISHARES U S CONSUMER SERVICES ETF	B	9,502.44	0.00	0.00	0.00	50.0	0.00
07/11/2018	75501993 ZEGEN, LOUIS	ISHARES U S TECHNOLOGY ETF	B	9,572.68	0.00	0.00	0.00	50.0	0.00
07/05/2018	75502182 GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF	S	28,693.50	0.00	0.00	0.00	50.0	0.00
07/05/2018	75502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF	S	26,944.34	0.00	0.00	0.00	50.0	0.00
07/05/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	S	29,389.14	0.00	0.00	0.00	50.0	0.00
07/05/2018	75502182 GIRGENTI, V JAMES	PIMCO ENHANCED SHORT MATURITY ACTIV	S	51,523.90	0.00	0.00	0.00	50.0	0.00
07/05/2018	75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	S	28,246.00	0.00	0.00	0.00	50.0	0.00
07/11/2018	75502182 GIRGENTI, V JAMES	ISHARES RUSSELL 2000 ETF	B	34,689.06	0.00	0.00	0.00	50.0	0.00
07/11/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF	B	34,779.58	0.00	0.00	0.00	50.0	0.00
07/11/2018	75502182 GIRGENTI, V JAMES	ISHARES U S HEALTHCARE ETF	B	21,400.38	0.00	0.00	0.00	50.0	0.00
07/11/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	B	21,398.31	0.00	0.00	0.00	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/11/2018	75502182	GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF	B	21,367.04	0.00	0.00	50.0	0.00
07/11/2018	75502182	GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	B	21,352.09	0.00	0.00	50.0	0.00
07/26/2018	75502182	GIRGENTI, V JAMES	INVESCO QQQ TR UNIT SER 1	B	2,343.12	0.00	0.00	50.0	0.00
07/26/2018	75502182	GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	B	2,394.70	0.00	0.00	50.0	0.00
07/26/2018	75502182	GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	B	2,452.71	0.00	0.00	50.0	0.00
				* STOCKS SUBTOTAL *	\$652,868.97	\$1,439.36	\$0.00	\$1,439.36	\$719.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/10/2018	(None)	VARIOUS, GINSBURG	LINCOLN	B	0.00	26.99	0.00	50.0	13.50
07/11/2018	(None)	VARIOUS, GINSBURG	JACKSON	B	0.00	344.69	0.00	50.0	172.35
				* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$371.68	\$0.00	\$371.68	\$185.85
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018	75501739	STAUFFER, JUDITH	FAS FEES PT. I/III	B	0.00	200.15	0.00	50.0	100.08
07/01/2018	75501744	STAUFFER, ROBERT	FAS FEES PT. I/III	B	-594.01	0.00	0.00	50.0	0.00
07/01/2018	75501744	STAUFFER, ROBERT	FAS FEES PT. I/III	B	-191.18	0.00	0.00	50.0	0.00
07/01/2018	75501744	STAUFFER, ROBERT	FAS FEES PT. I/III	B	-1,013.64	341.55	0.00	50.0	170.78
07/01/2018	75501751	STAUFFER, ROBERT	FAS FEES PT. I/III	B	0.00	64.41	0.00	50.0	32.21
07/01/2018	75501824	ALANCRAIG, DIANE	FAS FEES PT. I/III	B	-362.46	122.13	0.00	50.0	61.07
07/01/2018	75501829	SEPTIEN, ALFREDO	FAS FEES PT. I/III	B	-219.82	74.06	0.00	50.0	37.03
07/01/2018	75501830	SEPTIEN, ALFREDO	FAS FEES PT. I/III	B	-315.44	106.28	0.00	50.0	53.14
07/01/2018	75501832	WEXLER, SHEILA	FAS FEES PT. I/III	B	-473.78	159.64	0.00	50.0	79.82
07/01/2018	75501833	GIRGENTI, V JAMES	FAS FEES PT. I/III	B	-1,321.45	445.27	0.00	50.0	222.64
07/01/2018	75501834	BUGANSKI, TERRI	FAS FEES PT. I/III	B	-843.20	284.12	0.00	50.0	142.06
07/01/2018	75501835	BUGANSKI, TERRI	FAS FEES PT. I/III	B	-93.98	31.66	0.00	50.0	15.83
07/01/2018	75501836	FARKAS, RONALD	FAS FEES PT. I/III	B	-676.17	227.83	0.00	50.0	113.92
07/01/2018	75501837	FARKAS, MARILYN	FAS FEES PT. I/III	B	-1,383.47	466.16	0.00	50.0	233.08
07/01/2018	75501868	ZEGEN, LOUIS	FAS FEES PT. I/III	B	-1,288.49	434.16	0.00	50.0	217.08
07/01/2018	75501869	ZEGEN, LOUIS	FAS FEES PT. I/III	B	-221.92	74.77	0.00	50.0	37.39
07/01/2018	75501879	LIBOVE, MICHAEL	FAS FEES PT. I/III	B	-1,344.22	452.94	0.00	50.0	226.47
07/01/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. I/III	B	-296.93	100.05	0.00	50.0	50.03
07/01/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. I/III	B	-676.67	228.00	0.00	50.0	114.00
				* RIA SUBTOTAL *	\$-11,316.83	\$3,813.18	\$0.00	\$3,813.18	\$1,906.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018			FEE COUNT - 379		0.00	2,662.10	0.00	50.0	1,321.18
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2,662.10	\$0.00	\$2,662.10	\$1,321.18
				*** BROKER TRANSACTIONS TOTAL ***	\$651,607.42	\$8,286.32	\$0.00	\$8,286.32	\$4,133.36

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-75.60
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-64.51
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-92.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-59.03
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-47.33
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-96.85
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-90.20
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-94.10
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QTRLY FEE (LPCM):		0.00	0.00	0.00	0.00		-47.37
07/31/2018		OTHER: TOWER BRIDGE 3Q 1/3		0.00	0.00	0.00	0.00		-116.05
07/31/2018		ADD FEE: REV UNBUNDLED FEES 2/3 3/3		0.00	0.00	0.00	0.00		644.96
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-438.58</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,133.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-438.58
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.36
SIPC Assessment	\$-20.72

Check Amount	\$3,663.70
Year-to-date Earnings	\$26,496.06