

## COMMISSION STATEMENT

December 31, 2017

PHYLLIS FATTORUSO  
89-40 151ST AVENUE  
APT 5G  
HOWARD BEACH, NY 11414

BROKER #: 53  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
12/15/2017	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	B	161,000.00	350.00	0.00	350.00	52.0	182.00
12/15/2017	QGW007825	SARNA FAMILY LTD		BANK OF AMERICA CORP	B	46,000.00	100.00	0.00	100.00	52.0	52.00
12/15/2017	QGW007825	SARNA FAMILY LTD		GOLDMAN SACHS GROUP INC	B	225,000.00	50.00	0.00	50.00	52.0	26.00
11/29/2017	QGW020927	KNIGHT, DAVID		PROSHARES TRUST II PROSHARES ULTRA	B	3,966.00	50.00	0.00	50.00	52.0	26.00
12/08/2017	QGW020927	KNIGHT, DAVID		PROSHARES TRUST II PROSHARES ULTRA	S	-3,657.00	50.00	0.00	50.00	52.0	26.00
12/27/2017	QGW020927	KNIGHT, DAVID		CREDIT SUISSE AG NASSAU BRH VELOCIT	B	5,845.50	50.00	0.00	50.00	52.0	26.00
11/29/2017	QGW021909	MASSA, MARY		AMTRUST FINL SVCS INC COM	S	-8,010.39	200.00	0.00	200.00	52.0	104.00
11/29/2017	QGW021909	MASSA, MARY		CHURCH & DWIGHT CO INC	S	-935.22	50.00	0.00	50.00	52.0	26.00
				* STOCKS SUBTOTAL *		\$429,208.89	\$900.00	\$0.00	\$900.00		\$468.00

**PRODUCT CATEGORY: OPTIONS**

11/29/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 12-	S	-5,250.00	40.00	0.00	40.00	52.0	20.80
11/29/2017	QGW007700	SARNA, MARK		CALL 100 FREEPORT-MCMORAN INC CL B	B	4,340.00	60.00	0.00	60.00	52.0	31.20
11/30/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 12-	S	-440.00	20.00	0.00	20.00	52.0	10.40
12/01/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 01-	B	9,450.00	120.00	0.00	120.00	52.0	62.40
12/07/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 12-	S	-11,820.00	80.00	0.00	80.00	52.0	41.60
12/14/2017	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 12-	B	90.00	10.00	0.00	10.00	52.0	5.20
12/14/2017	QGW007700	SARNA, MARK		CALL 100 UNITED STS STL CORP NEW CO	B	6,900.00	40.00	0.00	40.00	52.0	20.80
12/21/2017	QGW007700	SARNA, MARK		CALL 100 RIOT BLOCKCHAIN INC COM EX	B	12,600.00	80.00	0.00	80.00	52.0	41.60
12/26/2017	QGW007700	SARNA, MARK		CALL 100 FREEPORT-MCMORAN INC CL B	S	-640.00	20.00	0.00	20.00	52.0	10.40
12/26/2017	QGW007700	SARNA, MARK		CALL 100 UNITED STS STL CORP NEW CO	S	-1,600.00	30.00	0.00	30.00	52.0	15.60
11/29/2017	QGW007825	SARNA FAMILY LTD		CALL 100 BANK AMER CORP COM EXP 12-	S	-5,250.00	40.00	0.00	40.00	52.0	20.80
11/29/2017	QGW007825	SARNA FAMILY LTD		CALL 100 CHIPOTLE MEXICAN GRILL INC	S	-43,255.00	40.00	0.00	40.00	52.0	20.80
11/29/2017	QGW007825	SARNA FAMILY LTD		CALL 100 FREEPORT-MCMORAN INC CL B	B	4,360.00	60.00	0.00	60.00	52.0	31.20
11/29/2017	QGW007825	SARNA FAMILY LTD		CALL 100 TESLA INC EXP 12-15-17 @28	B	29,900.00	40.00	0.00	40.00	52.0	20.80
11/30/2017	QGW007825	SARNA FAMILY LTD		CALL 100 BANK AMER CORP COM EXP 12-	S	-440.00	20.00	0.00	20.00	52.0	10.40
11/30/2017	QGW007825	SARNA FAMILY LTD		CALL 100 GOLDMAN SACHS GROUP INC CO	S	-3,360.00	40.00	0.00	40.00	52.0	20.80
12/01/2017	QGW007825	SARNA FAMILY LTD		CALL 100 BANK AMER CORP COM EXP 01-	B	9,450.00	120.00	0.00	120.00	52.0	62.40
12/07/2017	QGW007825	SARNA FAMILY LTD		CALL 100 BANK AMER CORP COM EXP 12-	S	-5,910.00	40.00	0.00	40.00	52.0	20.80
12/08/2017	QGW007825	SARNA FAMILY LTD		CALL 100 BANK AMER CORP COM EXP 12-	S	-6,050.00	40.00	0.00	40.00	52.0	20.80
12/12/2017	QGW007825	SARNA FAMILY LTD		CALL 100 TESLA INC EXP 12-15-17 @28	S	-61,000.00	40.00	0.00	40.00	52.0	20.80

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: OPTIONS

12/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	22,610.00	40.00	0.00	40.00	52.0	20.80
12/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 12- B	90.00	10.00	0.00	10.00	52.0	5.20
12/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	6,104.00	40.00	0.00	40.00	52.0	20.80
12/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100	TESLA INC EXP 12-15-17 @28 S	-61,800.00	40.00	0.00	40.00	52.0	20.80
12/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO B	13,800.00	80.00	0.00	80.00	52.0	41.60
12/21/2017	QGW007825	SARNA FAMILY LTD	CALL 100	RIOT BLOCKCHAIN INC COM EX B	12,600.00	80.00	0.00	80.00	52.0	41.60
<b>* OPTIONS SUBTOTAL *</b>					<b>\$-74,521.00</b>	<b>\$1,270.00</b>	<b>\$0.00</b>	<b>\$1,270.00</b>		<b>\$660.40</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/14/2017	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED BALANCED B	0.00	7.82	0.00	7.82	52.0	4.07
12/12/2017	QGW028755	SARNA, MARK	THORNBURG INVESTMENT INCOME BUILDER B	0.00	16.46	0.00	16.46	52.0	8.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$24.28	\$0.00	\$24.28		\$12.63
*** BROKER TRANSACTIONS TOTAL ***				\$354,687.89	\$2,194.28	\$0.00	\$2,194.28		\$1,141.03

ADJUSTMENTS

12/31/2017		ADVANCE: ADVANCE	0.00	0.00	0.00	0.00	-1,150.00
12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
12/31/2017		OTHER: OTHER-CALLS AND PUT PAID IN	0.00	0.00	0.00	0.00	-145.60
12/31/2017		:	0.00	0.00	0.00	0.00	0.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-1,315.60</b>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,141.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,315.60
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-418.58
FINRA Assessment	\$-2.74
SIPC Assessment	\$-5.49

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-601.38</b>
<b>Year-to-date Earnings</b>	<b>\$2,701.48</b>