



Concorde Investment Services, LLC

02/19/2018

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COMMISSION STATEMENT**February 15, 2018**ROBERT, T118 THOMPSON
7261 W. Central Ave.BROKER #: T118
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/29/2018 | LABERDEE, JOYCE | AM FUNDS BOND FUND OF AMERICA A | 20,000.00 | 600.00 | 0.00 | 600.00 | 90.0 | 540.00 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$20,000.00 | \$600.00 | \$0.00 | \$600.00 | | \$540.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 01/31/2018 | RICHARDS, MILDRED | JNL PERSPECTIVE | 34,668.21 | 7.22 | 0.00 | 7.22 | 90.0 | 6.50 |
| 02/05/2018 | MICHALSKI, PAIGE | JNL PERSPECTIVE | 150.00 | 10.13 | 0.00 | 10.13 | 90.0 | 9.12 |
| 01/31/2018 | FLECK, GERALD | JNL PERSPECTIVE II 05/05 | 2,148.22 | 1.34 | 0.00 | 1.34 | 90.0 | 1.21 |
| 01/31/2018 | WENZ, PATRICIA | JOHN HANCOCK VENTURE III | 39,309.29 | 32.76 | 0.00 | 32.76 | 90.0 | 29.48 |
| 01/31/2018 | SMITH, FRANK | JOHN HANCOCK VENTURE III | 104,300.15 | 86.92 | 0.00 | 86.92 | 90.0 | 78.23 |
| 01/31/2018 | MURANYI, MARILYN | JOHN HANCOCK VENTURE III | 25,743.41 | 21.45 | 0.00 | 21.45 | 90.0 | 19.31 |
| 01/31/2018 | SCHUMACHER, EDWARD | JOHN HANCOCK VENTURE III | 36,558.97 | 30.47 | 0.00 | 30.47 | 90.0 | 27.42 |
| 01/31/2018 | MAXFIELD, DARALEE | JOHN HANCOCK VENTURE III | 127,911.74 | 106.59 | 0.00 | 106.59 | 90.0 | 95.93 |
| 01/31/2018 | GRUBB, BEVERLY | JOHN HANCOCK VENTURE III | 136,132.55 | 113.44 | 0.00 | 113.44 | 90.0 | 102.10 |
| 01/31/2018 | GRAHAM, JUDY | JOHN HANCOCK VENTURE III | 170,047.11 | 141.71 | 0.00 | 141.71 | 90.0 | 127.54 |
| 01/31/2018 | HUBAY, BERNICE | JOHN HANCOCK VENTURE III | 27,102.19 | 22.59 | 0.00 | 22.59 | 90.0 | 20.33 |
| 01/31/2018 | LABERDEE, PAUL | JOHN HANCOCK VENTURE III | 43,745.68 | 36.45 | 0.00 | 36.45 | 90.0 | 32.81 |
| 01/31/2018 | SZAFAROWICZ, JOSEPH | JOHN HANCOCK VENTURE III | 20,276.87 | 16.90 | 0.00 | 16.90 | 90.0 | 15.21 |
| 01/31/2018 | MUIR TRUST, JOHN & JOANN | JOHN HANCOCK VENTURE III | 23,059.24 | 19.22 | 0.00 | 19.22 | 90.0 | 17.30 |
| 01/31/2018 | HILEMAN, RONALD | JOHN HANCOCK VENTURE III | 165,928.67 | 138.27 | 0.00 | 138.27 | 90.0 | 124.44 |
| 01/31/2018 | ELWING, LOUISE | JOHN HANCOCK VENTURE III | 155,765.41 | 129.80 | 0.00 | 129.80 | 90.0 | 116.82 |
| 01/31/2018 | DOUGHERTY, ELNORA | JOHN HANCOCK VENTURE III | 150,703.03 | 125.59 | 0.00 | 125.59 | 90.0 | 113.03 |
| 01/31/2018 | MAXFIELD, RICHARD | JOHN HANCOCK VENTURE III | 222,937.98 | 185.78 | 0.00 | 185.78 | 90.0 | 167.20 |
| 01/31/2018 | GAJEWSKI, WILLADEANE | JOHN HANCOCK VENTURE III | 41,970.91 | 34.98 | 0.00 | 34.98 | 90.0 | 31.48 |
| 01/31/2018 | BUEHLER, ARNOLD | JOHN HANCOCK VENTURE III | 121,572.24 | 101.31 | 0.00 | 101.31 | 90.0 | 91.18 |
| 01/31/2018 | RICE, PAMELA | JOHN HANCOCK VENTURE III | 113,656.10 | 94.71 | 0.00 | 94.71 | 90.0 | 85.24 |
| 01/31/2018 | RINGGER, LINDA | JOHN HANCOCK VENTURE III | 123,449.95 | 102.87 | 0.00 | 102.87 | 90.0 | 92.58 |
| 01/31/2018 | SEITZINGER, MARK | JOHN HANCOCK VENTURE III | 24,530.77 | 20.44 | 0.00 | 20.44 | 90.0 | 18.40 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------|-------------------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 01/31/2018 | WODA, HELENE | JOHN HANCOCK VENTURE III | 27,020.27 | 22.52 | 0.00 | 22.52 | 90.0 | 20.27 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$1,938,688.96 | \$1,603.46 | \$0.00 | \$1,603.46 | | \$1,443.13 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/18/2018 | SNEAD, SHELLI | AM FUNDS GROWTH FUND OF AMERICA A | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 12/31/2017 | RICE, CHERYL | ICON BOND FUND C | 2,954.42 | 2.51 | 0.00 | 2.51 | 90.0 | 2.26 |
| 12/31/2017 | GECIK, STANLEY | ICON BOND FUND C | 6,028.97 | 5.12 | 0.00 | 5.12 | 90.0 | 4.61 |
| 12/31/2017 | RECKNER, GARTH | ICON BOND FUND C | 1,517.54 | 1.29 | 0.00 | 1.29 | 90.0 | 1.16 |
| 10/31/2017 | SHAFFER, CLARK | ICON FUND C | 18,611.04 | 15.81 | 0.00 | 15.81 | 90.0 | 14.23 |
| 10/31/2017 | RICE, CHERYL | ICON FUND C | 2,842.19 | 2.41 | 0.00 | 2.41 | 90.0 | 2.17 |
| 10/31/2017 | GECIK, STANLEY | ICON FUND C | 5,792.18 | 4.92 | 0.00 | 4.92 | 90.0 | 4.43 |
| 10/31/2017 | RECKNER, GARTH | ICON FUND C | 1,463.52 | 1.24 | 0.00 | 1.24 | 90.0 | 1.12 |
| 12/31/2017 | SHAFFER, CLARK | ICON FUND C | 19,389.06 | 16.47 | 0.00 | 16.47 | 90.0 | 14.82 |
| 12/31/2017 | MERZ, JOYCE | STADION CORE ADVANTAGE A | 16,783.55 | 10.58 | 0.00 | 10.58 | 90.0 | 9.52 |
| 12/31/2017 | WENZ, JAMES | STADION CORE ADVANTAGE A | 7,284.67 | 4.59 | 0.00 | 4.59 | 90.0 | 4.13 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$82,667.14 | \$65.05 | \$0.00 | \$65.05 | | \$58.55 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$2,041,356.10 | \$2,268.51 | \$0.00 | \$2,268.51 | | \$2,041.68 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 02/15/2018 | | *AI INSIGHT: CURRENT MONTH | 0.00 | | | | | -7.00 |
| 02/15/2018 | | *ALBRIDGE: FULL USER | 0.00 | | | | | -176.00 |
| 02/15/2018 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 02/15/2018 | | *TECHNOLOGY: ADMIN - D RYAN 1H/3RD | 0.00 | | | | | -43.33 |
| 02/15/2018 | | *TECHNOLOGY: ADMIN - J BOYERS 1/3RD | 0.00 | | | | | -43.33 |
| 02/15/2018 | | *TECHNOLOGY: CURRENT MONTH BASIC | 0.00 | | | | | -180.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-749.66 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,041.68 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-749.66 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$1,292.02 |
| Year-to-date Gross | \$10,928.69 |
| Year-to-date Earnings | \$10,238.81 |