



Concorde Investment Services, LLC

07/16/2018

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# COMMISSION STATEMENT

July 15, 2018

**ACCOUNT HOUSE**

1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
06/29/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	4,702.50	0.00	0.00	0.00	100.0	0.00
06/29/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-11,000.00	0.00	0.00	0.00	100.0	0.00
06/29/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-4,950.00	0.00	0.00	0.00	100.0	0.00
06/29/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	10,450.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	3.00	-0.72	100.0	-0.72
07/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	3.00	-0.73	100.0	-0.73
07/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
07/06/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-75,000.00	0.00	0.00	0.00	100.0	0.00
07/06/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	74,250.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	3.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
07/10/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	3.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
07/06/2018	BARNES, BRIAN	WILLISTON BASIN/MID- NORTH AMERICA	-5,929.84	200.00	13.00	187.00	100.0	187.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-6,538.27</b>	<b>\$209.55</b>	<b>\$25.00</b>	<b>\$184.55</b>		<b>\$184.55</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	MARKS, STEPHANIE	BLUEROCK RESIDENTIAL GROWTH REIT IN	-3,402.32	170.12	13.57	156.55	100.0	156.55
07/10/2018	CONCORDE, VARIOUS	BP PLC SPONS ADR	14,542.10	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	BP PLC SPONS ADR	-281.46	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	BP PLC SPONS ADR	-14,260.64	0.00	1.00	-1.00	100.0	-1.00
07/06/2018	BARNES, BRIAN	BUCKEYE PARTNERS L P UNIT LTD PARTN	-1,375.44	55.02	13.50	41.52	100.0	41.52
06/26/2018	MATTHIEU, PAUL	CAPITAL ONE FINL NON CUM PFD SER G	-24,482.00	244.82	14.50	230.32	100.0	230.32
06/22/2018	CONCORDE IS	CIM COML TR CORP	44,699.67	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	-44,699.70	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	44,699.67	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	20,000.00	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	27,700.00	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	19.08	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	-19.08	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	19.08	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	8.00	0.00	0.00	0.00	100.0	0.00
06/22/2018	CONCORDE IS	CIM COML TR CORP	11.08	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	DENBURY RES INC COM NEW	19,580.20	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	DENBURY RES INC COM NEW	19,580.20	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-10,414.25	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-770.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-96.25	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-3,638.25	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-4,350.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-7,700.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-3,638.25	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-3,850.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-1,925.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-1,925.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-269.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-2,906.75	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	4,042.50	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	43,408.75	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-3,137.75	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-904.75	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-602.50	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-100.00	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-387.95	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-896.52	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-896.52	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-481.00	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-481.00	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-962.00	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-14.48	0.00	1.00	-1.00	100.0	-1.00
06/29/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	4,820.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-112.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-4,387.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	4,500.00	0.00	0.00	0.00	100.0	0.00
07/06/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-9.45	0.00	1.00	-1.00	100.0	-1.00
07/06/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-45.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-45.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-4,401.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	4,500.00	0.00	0.00	0.00	100.0	0.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-405.00	0.00	1.00	-1.00	100.0	-1.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-405.00	0.00	1.00	-1.00	100.0	-1.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-810.00	0.00	1.00	-1.00	100.0	-1.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-668.00	0.00	1.00	-1.00	100.0	-1.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-668.00	0.00	1.00	-1.00	100.0	-1.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,064.00	0.00	1.00	-1.00	100.0	-1.00
07/09/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	4,020.00	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-80.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-2,000.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,920.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	4,000.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	36.45	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	-1,130.20	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	36.45	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	54.67	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	-164.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	109.34	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	182.24	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	72.90	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	91.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	36.45	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	36.45	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	91.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	-91.15	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	36.45	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	54.67	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	200.46	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	36.45	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	1,385.40	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	273.36	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	109.34	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	-2,788.27	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	492.05	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	54.67	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	54.67	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	91.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	164.02	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	18.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	145.79	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	CONCORDE, VARIOUS	INVESCO ACTIVELY MANAGED EXCHANGE T	182.24	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-45.90	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-45.90	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-22.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-91.79	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-22.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-22.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	2,868.46	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-298.32	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-22.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-114.74	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-68.84	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-917.91	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-22.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-91.79	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-22.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-68.84	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-91.79	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-183.58	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-229.48	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-45.90	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-114.74	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-137.69	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	INVESCO EXCHANGE- TRADED FD TR II S	-183.58	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	19,851.30	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-86.31	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-17,779.86	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-517.86	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-86.31	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-86.31	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-86.31	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-258.93	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-86.31	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-86.31	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-345.24	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-431.55	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	783.44	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-195.88	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-391.76	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	195.86	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-195.88	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-3,721.72	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	2,546.18	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	391.72	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-195.88	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-587.64	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	979.30	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	391.72	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	979.30	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	391.72	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	195.86	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	6,855.80	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	195.86	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	195.86	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-391.76	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-195.88	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-195.88	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-783.52	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	-8,617.84	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	587.58	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE S&P MID-CAP ETF	783.44	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-531.50	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	1,275.60	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-318.90	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-425.20	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	85.52	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	8,124.40	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	4,276.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	1,197.28	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	4,447.04	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	2,779.40	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	4,276.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	42.76	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	-5,131.20	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	-25,228.40	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	4,276.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	855.20	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	-23,090.40	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	17,146.76	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	1,667.64	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	4,276.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	-11,963.85	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	50.91	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	6,007.38	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	50.91	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,854.65	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR INTL SELECT DIVID ETF IN	3,223.22	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR INTL SELECT DIVID ETF IN	1,480.05	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR INTL SELECT DIVID ETF IN	296.01	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR INTL SELECT DIVID ETF IN	98.67	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR INTL SELECT DIVID ETF IN	-5,097.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,918.41	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,192.46	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,096.23	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,096.23	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-3,562.75	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,644.35	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,933.04	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-9,043.91	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,370.29	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,370.29	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,192.46	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,370.29	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,918.41	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-2,192.46	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,096.23	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	51,248.83	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-822.17	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-5,481.16	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-548.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-274.06	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	19,195.05	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/10/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	5,701.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	-24,896.55	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	ISHARES U S ETF TR INT RATE HEDGED	9,715.19	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES U S ETF TR INT RATE HEDGED	384.76	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	ISHARES U S ETF TR INT RATE HEDGED	-10,099.95	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	MATTEL INC COM	-12,193.92	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	MATTEL INC COM	-12,509.28	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	MATTEL INC COM	24,703.20	0.00	0.00	0.00	100.0	0.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,439.20	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,437.16	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,437.17	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,437.16	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	4,874.32	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,437.17	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	487.43	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,437.16	0.00	1.00	-1.00	100.0	-1.00
07/02/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	-19,986.76	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	32.68	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-3,268.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-3,268.40	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-3,268.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-686.28	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	719.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-32.69	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	2,222.54	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	359.53	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	522.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	130.74	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	32.68	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-261.51	0.00	0.00	0.00	100.0	0.00

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	98.05	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	196.11	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	228.79	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	2,582.42	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	32.68	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	424.90	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	130.74	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	130.74	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	817.11	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	98.05	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	326.84	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	32.68	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	196.11	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	163.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	65.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	32.68	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	522.95	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	457.58	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	686.37	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	-2,288.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	882.48	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	196.11	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	98.05	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR PORTFOLIO SMALL CAP ETF	130.74	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-354.16	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,549.95	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-141.66	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-70.83	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-70.83	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	7,437.36	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-531.24	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-283.33	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-283.33	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-70.83	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-141.66	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-212.50	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-424.99	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-70.83	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-70.83	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-920.82	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-70.83	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-177.08	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-35.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-177.08	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-354.16	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,104.38	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-7,588.13	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	9,979.20	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	8,692.50	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-3,492.72	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-6,486.48	0.00	1.00	-1.00	100.0	-1.00
07/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	9,424.66	0.00	1.00	-1.00	100.0	-1.00
07/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,543.94	0.00	1.00	-1.00	100.0	-1.00
07/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-14,968.61	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	14,699.55	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	13,867.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	16,641.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-30,508.50	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-5,768.88	0.00	1.00	-1.00	100.0	-1.00
07/10/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-8,930.67	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-155.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,180.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,491.33	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,180.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,180.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	155.41	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-2,331.23	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-155.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-51.81	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-2,383.03	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	1,243.28	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-103.61	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-51.81	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	259.02	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	518.03	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-14,660.82	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-103.61	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-155.42	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-466.25	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-51.81	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	103.61	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-155.42	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-207.22	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	362.62	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	5,387.55	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-12,173.80	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	3,937.06	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	207.21	0.00	0.00	0.00	100.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$102,335.80</b>	<b>\$469.96</b>	<b>\$156.57</b>	<b>\$313.39</b>		<b>\$313.39</b>
<b>PRODUCT CATEGORY: BONDS</b>								
06/19/2018	CONCORDE, VARIOUS	***SHELL INTERNATIONAL FIN	10,245.20	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	***SHELL INTERNATIONAL FIN	10,245.20	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	AMAZON COM INC	10,068.50	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	AMAZON COM INC	10,068.50	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	CHEVRON CORP NEW	9,618.80	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	CHEVRON CORP NEW	9,618.80	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	GENERAL ELEC CAP CORP	10,015.70	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	GENERAL ELEC CAP CORP	10,015.70	0.00	0.00	0.00	100.0	0.00
05/30/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	15,939.00	0.00	0.00	0.00	100.0	0.00
05/30/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	15,939.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	21,410.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	21,410.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	21,865.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	21,865.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	JPMORGAN CHASE & CO	19,820.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	JPMORGAN CHASE & CO	19,820.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	KLA TENCOR CORP	25,891.75	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	KLA TENCOR CORP	25,891.75	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	MORGAN STANLEY	24,942.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	MORGAN STANLEY	24,942.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	MORGAN STANLEY SR IDX ZRO	128,500.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	MORGAN STANLEY SR IDX ZRO	128,500.00	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	US BANK NATL ASSN MINNEAPOLID	9,862.50	0.00	0.00	0.00	100.0	0.00
06/19/2018	CONCORDE, VARIOUS	US BANK NATL ASSN MINNEAPOLID	9,862.50	0.00	0.00	0.00	100.0	0.00
<b>* BONDS SUBTOTAL *</b>			<b>\$616,356.90</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>			<b>\$712,154.43</b>	<b>\$679.51</b>	<b>\$181.57</b>	<b>\$497.94</b>		<b>\$497.94</b>
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
05/31/2018	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND A	35,239.14	7.48	0.00	7.48	100.0	7.48
05/31/2018	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	6,992.85	1.48	0.00	1.48	100.0	1.48
05/31/2018	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	5,417.07	1.15	0.00	1.15	100.0	1.15
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$47,649.06</b>	<b>\$10.11</b>	<b>\$0.00</b>	<b>\$10.11</b>		<b>\$10.11</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
06/28/2018	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
07/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
07/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
07/02/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
07/02/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
07/02/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
06/28/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
07/05/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
07/02/2018	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	100.0	2.50
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$825.00</b>	<b>\$34.44</b>	<b>\$0.00</b>	<b>\$34.44</b>		<b>\$34.44</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
07/02/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
07/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
07/06/2018	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
07/02/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
07/02/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
07/02/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
07/05/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	150.00	7.50	0.00	7.50	100.0	7.50
07/02/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
07/06/2018	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
07/02/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
07/02/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
06/25/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/25/2018	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
06/28/2018	WHEELER, PAUL	AM FUNDS ICA C	60.00	0.60	0.00	0.60	100.0	0.60
06/28/2018	LOUDERMILK, SCOTT	AM FUNDS ICA C	320.00	3.20	0.00	3.20	100.0	3.20
06/28/2018	LOCKHART, JOSEPH	AM FUNDS ICA C	480.00	4.80	0.00	4.80	100.0	4.80
07/06/2018	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
07/05/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	150.00	7.50	0.00	7.50	100.0	7.50
07/06/2018	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$3,385.00</b>	<b>\$93.30</b>	<b>\$0.00</b>	<b>\$93.30</b>		<b>\$93.30</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/22/2018	LUND, VARIOUS	ADV CENTURY	0.00	36.75	0.00	36.75	100.0	36.75
06/29/2018	LUND, VARIOUS	ADV CENTURY	0.00	440.24	0.00	440.24	100.0	440.24
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$476.99</b>	<b>\$0.00</b>	<b>\$476.99</b>		<b>\$476.99</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
06/21/2018	GOVE, VARIOUS	PAC LIFE VUL	152.00	19.01	0.00	19.01	100.0	19.01
07/02/2018	FOELS, BRUCE	TRANSAMERICA LIFE	41.75	1.04	0.00	1.04	100.0	1.04
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$193.75</b>	<b>\$20.05</b>	<b>\$0.00</b>	<b>\$20.05</b>		<b>\$20.05</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/18/2018	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.63	0.00	1.63	100.0	1.63
06/22/2018	RENEE TRUST (NEWTON), BEVERLY	JAMES ALPHA GLOBAL REAL ESTATE FUND	1,638.00	0.35	0.00	0.35	100.0	0.35
06/22/2018	TWEED, VARIOUS	JAMES ALPHA GLOBAL REAL ESTATE FUND	12,333.56	2.62	0.00	2.62	100.0	2.62
07/03/2018	PEACOCK, RICHARD	PIONEER BOND FUND A	0.00	4.86	0.00	4.86	100.0	4.86
07/03/2018	DEXTER, ROCHELLE	PIONEER BOND FUND A	0.00	0.08	0.00	0.08	100.0	0.08
07/03/2018	ZEHRINGER, JAMES	PIONEER BOND FUND A	0.00	1.37	0.00	1.37	100.0	1.37
07/03/2018	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	0.00	2.11	0.00	2.11	100.0	2.11
07/03/2018	PRICE, JOYCE	PIONEER CLASSIC BALANCED FUND A	0.00	2.75	0.00	2.75	100.0	2.75
07/03/2018	MATHENEY, DONNA	PIONEER CLASSIC BALANCED FUND A	0.00	5.19	0.00	5.19	100.0	5.19
07/03/2018	RATLIFF, DONNA	PIONEER CULLEN VALUE FUND A	0.00	5.91	0.00	5.91	100.0	5.91
07/03/2018	ZEHRINGER, JAMES	PIONEER CULLEN VALUE FUND A	0.00	10.26	0.00	10.26	100.0	10.26
07/03/2018	MYERS, BARBARA	PIONEER EQUITY INCOME A	0.00	3.98	0.00	3.98	100.0	3.98
07/03/2018	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	7.11	0.00	7.11	100.0	7.11
07/03/2018	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	6.01	0.00	6.01	100.0	6.01
07/03/2018	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.53	0.00	2.53	100.0	2.53

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/03/2018	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.42	0.00	0.42	100.0	0.42
07/03/2018	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	10.90	0.00	10.90	100.0	10.90
07/03/2018	DAYTON, ROBERT	PIONEER FUND A	0.00	0.93	0.00	0.93	100.0	0.93
07/03/2018	CURTIS, RAY	PIONEER FUND A	0.00	3.53	0.00	3.53	100.0	3.53
07/03/2018	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.75	0.00	1.75	100.0	1.75
07/03/2018	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.80	0.00	1.80	100.0	1.80
07/03/2018	JONES, JACQUELINE	PIONEER FUND A	0.00	1.81	0.00	1.81	100.0	1.81
07/03/2018	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	7.47	0.00	7.47	100.0	7.47
07/03/2018	RUSH, KAREN	PIONEER FUND A	0.00	6.00	0.00	6.00	100.0	6.00
07/03/2018	DAVIS, AUSTIN	PIONEER FUND A	0.00	1.88	0.00	1.88	100.0	1.88
07/03/2018	CRABTREE, GARY	PIONEER FUND C	0.00	10.86	0.00	10.86	100.0	10.86
07/03/2018	GREGONCZA, RONALD	PIONEER FUND C	0.00	32.16	0.00	32.16	100.0	32.16
07/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	8.62	0.00	8.62	100.0	8.62
07/03/2018	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	2.28	0.00	2.28	100.0	2.28
07/03/2018	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	3.24	0.00	3.24	100.0	3.24
07/03/2018	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.02	0.00	1.02	100.0	1.02
07/03/2018	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	2.02	0.00	2.02	100.0	2.02
07/03/2018	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.32	0.00	2.32	100.0	2.32
07/03/2018	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.09	0.00	1.09	100.0	1.09
07/03/2018	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.29	0.00	1.29	100.0	1.29
07/03/2018	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.19	0.00	2.19	100.0	2.19
07/03/2018	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.31	0.00	1.31	100.0	1.31
07/03/2018	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	5.23	0.00	5.23	100.0	5.23
07/03/2018	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.45	0.00	1.45	100.0	1.45
07/03/2018	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.73	0.00	1.73	100.0	1.73
07/03/2018	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.23	0.00	3.23	100.0	3.23
07/03/2018	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	2.14	0.00	2.14	100.0	2.14
07/03/2018	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	5.14	0.00	5.14	100.0	5.14
07/03/2018	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	6.93	0.00	6.93	100.0	6.93
07/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	4.36	0.00	4.36	100.0	4.36
07/03/2018	MYERS, BARBARA	PIONEER MID CAP VALUE A	0.00	0.29	0.00	0.29	100.0	0.29
07/03/2018	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.48	0.00	3.48	100.0	3.48
07/03/2018	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.59	0.00	1.59	100.0	1.59

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/03/2018	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.35	0.00	6.35	100.0	6.35
07/03/2018	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.95	0.00	3.95	100.0	3.95
07/03/2018	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.08	0.00	6.08	100.0	6.08
07/03/2018	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.15	0.00	3.15	100.0	3.15
07/03/2018	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.56	0.00	1.56	100.0	1.56
07/03/2018	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	0.04	0.00	0.04	100.0	0.04
07/03/2018	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.35	0.00	1.35	100.0	1.35
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$13,971.56</b>	<b>\$219.70</b>	<b>\$0.00</b>	<b>\$219.70</b>		<b>\$219.70</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/29/2018	LI, YUAN	HARTFORD LEADERS ILA	0.00	29.30	0.00	29.30	100.0	29.30
06/29/2018	PIROZZO, KATHLEEN	HARTFORD LEADERS OUTLOOK	0.00	94.74	0.00	94.74	100.0	94.74
06/29/2018	PIROZZO, PETER	HARTFORD LEADERS PLUS	0.00	94.53	0.00	94.53	100.0	94.53
06/29/2018	WHITE, CATHLEEN	HARTFORD LEADERS PLUS	0.00	14.31	0.00	14.31	100.0	14.31
06/29/2018	HUGGINS, STEVE	HARTFORD LIFE DIRECTOR 8	0.00	16.98	0.00	16.98	100.0	16.98
06/28/2018	LIGON, NANCY	ING LANDMARK	41,877.77	130.87	0.00	130.87	100.0	130.87
06/30/2018	PEEK, EUNICE	JOHN HANCOCK VANTAGE	0.00	3.21	0.00	3.21	100.0	3.21
06/30/2018	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	0.00	16.06	0.00	16.06	100.0	16.06
06/22/2018	MOXON, HAROLD	OHIO NATL ONCORE PREMIER	0.00	56.85	0.00	56.85	100.0	56.85
06/25/2018	WAGNER, CHARLES	PAC LIFE	0.00	168.97	0.00	168.97	100.0	168.97
06/28/2018	HALES, WILLIAM	PAC LIFE INNOVATIVE SELECT	0.00	76.53	0.00	76.53	100.0	76.53
06/25/2018	WEST, JERRY	PAC LIFE ONE	0.00	15.80	0.00	15.80	100.0	15.80
06/19/2018	BRAMER, GEORGE	PAC LIFE PORTFOLIOS	0.00	76.97	0.00	76.97	100.0	76.97
06/30/2018	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	0.00	13.23	0.00	13.23	100.0	13.23
06/30/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	0.00	5.40	0.00	5.40	100.0	5.40
06/30/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	0.00	5.40	0.00	5.40	100.0	5.40
06/30/2018	DUSSEAU, KEITH	PRU AS APEX II	0.00	7.53	0.00	7.53	100.0	7.53
06/30/2018	DUSSEAU, KEITH	PRU AS APEX II	0.00	1.88	0.00	1.88	100.0	1.88
06/30/2018	BOOTS, ROBERTA	PRU AS APEX II	0.00	14.25	0.00	14.25	100.0	14.25
06/30/2018	BOOTS, ROBERTA	PRU AS APEX II	0.00	4.75	0.00	4.75	100.0	4.75
06/30/2018	DAILEY, SUZANNE	PRU AS APEX II	0.00	15.20	0.00	15.20	100.0	15.20
06/30/2018	DAILEY, SUZANNE	PRU AS APEX II	0.00	3.80	0.00	3.80	100.0	3.80
06/30/2018	LANE, JOAN	PRU AS APEX II	0.00	6.00	0.00	6.00	100.0	6.00
06/30/2018	LANE, JOAN	PRU AS APEX II	0.00	24.00	0.00	24.00	100.0	24.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2018	GUINN, MARGARET	PRU AS LIFEVEST II	0.00	29.86	0.00	29.86	100.0	29.86
06/30/2018	GUINN, MARGARET	PRU AS LIFEVEST II	0.00	9.95	0.00	9.95	100.0	9.95
06/30/2018	GREENE, DAVID	PRU AS XTRA CREDIT	0.00	6.56	0.00	6.56	100.0	6.56
06/30/2018	HARTONG CR TRUST	PRU AS XTRA CREDIT	0.00	15.00	0.00	15.00	100.0	15.00
06/30/2018	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	0.00	7.91	0.00	7.91	100.0	7.91
06/30/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	0.00	6.39	0.00	6.39	100.0	6.39
06/30/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	0.00	6.39	0.00	6.39	100.0	6.39
06/30/2018	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	0.00	2.99	0.00	2.99	100.0	2.99
06/30/2018	GORDON, DAVID	PRU AS XTRA CREDIT SIX	0.00	91.20	0.00	91.20	100.0	91.20
06/30/2018	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	0.00	7.83	0.00	7.83	100.0	7.83
06/30/2018	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	0.00	13.24	0.00	13.24	100.0	13.24
06/30/2018	MORSE, MARK	PRU AS XTRA CREDIT SIX	0.00	5.36	0.00	5.36	100.0	5.36
06/30/2018	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	0.00	43.00	0.00	43.00	100.0	43.00
06/30/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	0.00	2.50	0.00	2.50	100.0	2.50
06/30/2018	LORENCZ, MARY	PRU PREMIER INVT A	0.00	6.12	0.00	6.12	100.0	6.12
06/30/2018	MITCHELL, JAMES	PRU PREMIER INVT A	0.00	16.49	0.00	16.49	100.0	16.49
06/30/2018	TAMER, FIDAA	PRU PREMIER INVT A	0.00	3.61	0.00	3.61	100.0	3.61
06/30/2018	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	0.00	1.24	0.00	1.24	100.0	1.24
06/30/2018	ANNONI, LINDA	PRU PREMIER RETIREMENT B	0.00	10.33	0.00	10.33	100.0	10.33
06/30/2018	COOPER, FRANK	PRU PREMIER RETIREMENT B	0.00	16.13	0.00	16.13	100.0	16.13
06/30/2018	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	0.00	17.66	0.00	17.66	100.0	17.66
06/30/2018	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	0.00	6.34	0.00	6.34	100.0	6.34
06/30/2018	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	0.00	37.03	0.00	37.03	100.0	37.03
06/30/2018	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	0.00	98.31	0.00	98.31	100.0	98.31
06/30/2018	GAVIN, GARY	PRU PREMIER RETIREMENT L	0.00	110.59	0.00	110.59	100.0	110.59
06/30/2018	GAVIN, LINDA	PRU PREMIER RETIREMENT L	0.00	109.49	0.00	109.49	100.0	109.49
06/30/2018	REA, DIANA	PRU PREMIER RETIREMENT L	0.00	89.42	0.00	89.42	100.0	89.42
06/30/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	0.00	3.19	0.00	3.19	100.0	3.19
06/30/2018	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	0.00	34.64	0.00	34.64	100.0	34.64
06/30/2018	LUCIER, LYNN	PRU PREMIER RETIREMENT X	0.00	13.04	0.00	13.04	100.0	13.04
06/30/2018	WILLS, KAREN	PRU PREMIER RETIREMENT X	0.00	116.47	0.00	116.47	100.0	116.47
06/30/2018	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	0.00	91.83	0.00	91.83	100.0	91.83
06/30/2018	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	0.00	7.41	0.00	7.41	100.0	7.41

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
06/28/2018	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	0.00	0.16	0.00	0.16	100.0	0.16
06/28/2018	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	0.00	2.21	0.00	2.21	100.0	2.21
06/28/2018	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	0.00	1.09	0.00	1.09	100.0	1.09
06/10/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	164.92	0.00	164.92	100.0	164.92
06/23/2018	SAHARA, IRENE	TA LANDMARK VA	0.00	99.68	0.00	99.68	100.0	99.68
06/30/2018	DIXON REV, ROSE	TA LANDMARK VA	0.00	329.93	0.00	329.93	100.0	329.93
06/05/2018	RICE, DORIS	TRANSAMERICA B-SHARE	0.00	74.79	0.00	74.79	100.0	74.79
06/12/2018	SCHOLTEN, JOANNE	TRANSAMERICA B-SHARE	0.00	111.05	0.00	111.05	100.0	111.05
06/02/2018	BREWER, VARIOUS	TRANSAMERICA EXTRA	0.00	29.46	0.00	29.46	100.0	29.46
06/04/2018	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	0.00	85.81	0.00	85.81	100.0	85.81
06/27/2018	LEONARD, NITA	TRANSAMERICA EXTRA	0.00	37.99	0.00	37.99	100.0	37.99
06/12/2018	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	0.00	55.49	0.00	55.49	100.0	55.49
06/24/2018	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	0.00	69.28	0.00	69.28	100.0	69.28
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$41,877.77</b>	<b>\$2,995.94</b>	<b>\$0.00</b>	<b>\$2,995.94</b>		<b>\$2,995.94</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$107,902.14</b>	<b>\$3,850.53</b>	<b>\$0.00</b>	<b>\$3,850.53</b>		<b>\$3,850.53</b>

**VERRIDE TRANSACTIONS****BROKER: JOSEPH, M138 MICHALETZ #10**

06/18/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	25.00	0.25	0.00	0.25	0.0	0.25
/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.22	0.00	0.22	0.0	0.22
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.02	0.00	2.02	0.0	2.02
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.22	0.00	3.22	0.0	3.22
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	125.00	3.79	0.00	3.79	0.0	3.79
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.53	0.00	3.53	0.0	3.53
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	125.00	15.23	0.00	15.23	0.0	15.23
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	125.00	0.72	0.00	0.72	0.0	0.72
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	125.00	2.11	0.00	2.11	0.0	2.11
07/02/2018	DORAN, PATRICK	FA 529 PORT 2019 C	125.00	2.23	0.00	2.23	0.0	2.23
/ /	LORA, MARCOS	FA 529 PORT 2022 C	125.00	6.96	0.00	6.96	0.0	6.96
/ /	LORA, CATALINA	FA 529 PORT 2022 C	125.00	6.96	0.00	6.96	0.0	6.96
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	125.00	2.32	0.00	2.32	0.0	2.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #10 </u></b>								
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.32	0.00	2.32	0.0	2.32
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	125.00	6.96	0.00	6.96	0.0	6.96
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	15.86	0.00	15.86	0.0	15.86
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	125.00	9.49	0.00	9.49	0.0	9.49
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	125.00	5.11	0.00	5.11	0.0	5.11
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	125.00	2.70	0.00	2.70	0.0	2.70
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	125.00	1.34	0.00	1.34	0.0	1.34
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	125.00	7.10	0.00	7.10	0.0	7.10
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.60	0.00	1.60	0.0	1.60
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	125.00	0.76	0.00	0.76	0.0	0.76
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	125.00	3.97	0.00	3.97	0.0	3.97
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	125.00	0.77	0.00	0.77	0.0	0.77
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	10.00	0.00	10.00	0.0	10.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.63	0.00	1.63	0.0	1.63
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.63	0.00	1.63	0.0	1.63
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	3.00	0.00	3.00	0.0	3.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	6.34	0.00	6.34	0.0	6.34
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.56	0.00	2.56	0.0	2.56
07/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	3.00	0.00	3.00	0.0	3.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	250.00	11.86	0.00	11.86	0.0	11.86
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
07/02/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	6.26	0.00	6.26	0.0	6.26
07/02/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.85	0.00	3.85	0.0	3.85
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.60	0.00	3.60	0.0	3.60
07/02/2018	MACK, NEIL	FA 529 PORT 2028 A	50.00	1.40	0.00	1.40	0.0	1.40
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	120.00	13.58	0.00	13.58	0.0	13.58
/ /	MACK, NEIL	FA 529 PORT 2028 C	120.00	2.50	0.00	2.50	0.0	2.50
07/05/2018	MACK, NEIL	FA 529 PORT 2028 C	120.00	12.27	0.00	12.27	0.0	12.27
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.73	0.00	0.73	0.0	0.73
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	1.50	0.00	1.50	0.0	1.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.36	0.00	3.36	0.0	3.36
/ /	SOIORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.86	0.00	1.86	0.0	1.86

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #10</u></b>								
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.75	0.00	3.75	0.0	3.75
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.99	0.00	6.99	0.0	6.99
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	5.96	0.00	5.96	0.0	5.96
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	3.75	0.00	3.75	0.0	3.75
<b>* ERNEST DALE, A122 ANDERSON SUBTOTAL *</b>			<b>\$4,260.00</b>	<b>\$224.05</b>	<b>\$0.00</b>	<b>\$224.05</b>		<b>\$224.05</b>
<b><u>BROKER: DANIEL WOLF #11</u></b>								
06/26/2018	CARIGNAN, NANCY	MML CM LIFE VUL	0.00	6.94	0.00	6.94	0.0	6.94
06/30/2018	MARTIN, RONALD	NW DESTINATION B2 05	0.00	34.14	0.00	34.14	0.0	34.14
06/30/2018	CARIGNAN, JAMES	NW DESTINATION B2 05	0.00	358.33	0.00	358.33	0.0	358.33
<b>* DANIEL WOLF SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$399.41</b>	<b>\$0.00</b>	<b>\$399.41</b>		<b>\$399.41</b>
<b><u>BROKER: ROBERT CHESTER #21</u></b>								
06/25/2018	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.43	0.00	0.43	0.0	0.43
06/25/2018	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.36	0.00	1.36	0.0	1.36
06/13/2018	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.21	0.00	0.21	0.0	0.21
<b>* ROBERT CHESTER SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2.00</b>	<b>\$0.00</b>	<b>\$2.00</b>		<b>\$2.00</b>
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
06/29/2018	GRIBBLE, MARGARET	ALLIANZ VISION BASIC	0.00	69.40	0.00	69.40	0.0	69.40
06/18/2018	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.33	0.00	1.33	0.0	1.33
06/18/2018	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.29	0.00	2.29	0.0	2.29
07/02/2018	COLVARD, DAVID	PUTNAM EUROPE EQUITY A	0.00	0.27	0.00	0.27	0.0	0.27
07/02/2018	COLVARD, DAVID	PUTNAM GLOBAL EQUITY A	0.00	0.05	0.00	0.05	0.0	0.05
07/02/2018	COLVARD, DAVID	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.18	0.00	0.18	0.0	0.18
07/02/2018	COLVARD, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	0.52	0.00	0.52	0.0	0.52
06/18/2018	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.17	0.00	1.17	0.0	1.17
<b>* EDWARD MCMANUS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$75.21</b>	<b>\$0.00</b>	<b>\$75.21</b>		<b>\$75.21</b>
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
06/11/2018	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.45	0.00	27.45	0.0	27.45
06/11/2018	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	0.0	0.47
06/11/2018	GELOW, ASHLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.66	0.00	0.66	0.0	0.66
06/11/2018	GELOW, MARSHALL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.59	0.00	0.59	0.0	0.59

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
06/11/2018	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.04	0.00	5.04	0.0	5.04
06/11/2018	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	0.00	0.80	0.0	0.80
06/11/2018	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.32	0.00	14.32	0.0	14.32
06/11/2018	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.40	0.00	1.40	0.0	1.40
06/11/2018	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	0.90	0.00	0.90	0.0	0.90
06/18/2018	ECKERT'S GREENHOUSE	GOODYEAR TIRE & RUBR CO COM	2,371.20	50.00	14.00	36.00	0.0	36.00
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.57	0.00	0.57	0.0	0.57
06/11/2018	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.80	0.00	0.80	0.0	0.80
06/11/2018	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	0.92	0.00	0.92	0.0	0.92
06/08/2018	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	10,954.37	0.00	15.00	-15.00	0.0	-15.00
06/11/2018	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.18	0.00	9.18	0.0	9.18
06/11/2018	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	0.71	0.00	0.71	0.0	0.71
<b>* ERIC HORNYAK SUBTOTAL *</b>			<b>\$13,325.57</b>	<b>\$113.81</b>	<b>\$29.00</b>	<b>\$84.81</b>		<b>\$84.81</b>
<b><u> BROKER: BARTHOLOMEW MACKLER #35 </u></b>								
06/29/2018	MEDIODIA, GIL	ALLIANZ ALTERITY OPTIONS	0.00	13.67	0.00	13.67	0.0	13.67
06/11/2018	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	35.68	0.00	35.68	0.0	35.68
06/11/2018	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.60	0.00	13.60	0.0	13.60
06/11/2018	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	21.71	0.00	21.71	0.0	21.71
06/11/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.16	0.00	12.16	0.0	12.16
06/11/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.05	0.00	7.05	0.0	7.05
06/11/2018	ODOM, EDDIE	AM FUNDS ICA A	0.00	0.40	0.00	0.40	0.0	0.40
06/11/2018	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.76	0.00	5.76	0.0	5.76
06/11/2018	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.28	0.00	13.28	0.0	13.28
06/11/2018	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.58	0.00	4.58	0.0	4.58
06/12/2018	GULESERIAN, KRISS	CYTOSORBENTS CORPORATION	8,972.42	50.00	14.00	36.00	0.0	36.00
06/13/2018	PREDETEANU, APRIL	CYTOSORBENTS CORPORATION	8,741.90	50.00	14.00	36.00	0.0	36.00
06/13/2018	MAZURKIEWICZ, JOHN	CYTOSORBENTS CORPORATION	2,659.49	50.00	14.00	36.00	0.0	36.00
06/15/2018	WAGNER, MARGARET	CYTOSORBENTS CORPORATION	12,000.00	50.00	14.00	36.00	0.0	36.00
06/11/2018	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.54	0.00	2.54	0.0	2.54
06/11/2018	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	2.05	0.00	2.05	0.0	2.05
06/11/2018	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.53	0.00	1.53	0.0	1.53
06/20/2018	CURL, BRIAN K	DTE ENERGY CO COM	59,476.34	500.00	14.00	486.00	0.0	486.00
06/11/2018	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.14	0.00	0.14	0.0	0.14

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BARTHOLOMEW MACKLER #35 </u></b>								
06/11/2018	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.11	0.00	0.11	0.0	0.11
06/11/2018	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	0.0	0.09
06/11/2018	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	5.02	0.00	5.02	0.0	5.02
06/11/2018	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.28	0.00	4.28	0.0	4.28
06/11/2018	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.83	0.00	0.83	0.0	0.83
06/30/2018	DAMRON, ROBERT	JNL PERSPECTIVE	0.00	61.95	0.00	61.95	0.0	61.95
06/30/2018	JOHNSON, WILLIAM	JNL PERSPECTIVE	0.00	0.36	0.00	0.36	0.0	0.36
06/30/2018	ROHLOFF, CARL	JNL PERSPECTIVE	0.00	3.46	0.00	3.46	0.0	3.46
06/30/2018	SCHNELL, JAMES	JNL PERSPECTIVE	0.00	2.14	0.00	2.14	0.0	2.14
06/30/2018	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	0.00	10.07	0.00	10.07	0.0	10.07
06/30/2018	RYAN, NANCY	JNL PERSPECTIVE L	0.00	50.72	0.00	50.72	0.0	50.72
06/30/2018	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	0.00	40.56	0.00	40.56	0.0	40.56
06/12/2018	ROGERS, J MARY	MACYS INC	24,473.26	50.00	14.00	36.00	0.0	36.00
06/11/2018	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.77	0.00	0.77	0.0	0.77
06/11/2018	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.32	0.00	1.32	0.0	1.32
06/11/2018	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.99	0.00	0.99	0.0	0.99
06/11/2018	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	0.0	0.09
06/11/2018	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.45	0.00	0.45	0.0	0.45
06/11/2018	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.94	0.00	0.94	0.0	0.94
06/11/2018	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.80	0.00	7.80	0.0	7.80
06/11/2018	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.85	0.00	5.85	0.0	5.85
07/02/2018	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	0.30	0.00	0.30	0.0	0.30
07/02/2018	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	6.57	0.00	6.57	0.0	6.57
06/11/2018	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	7.18	0.00	7.18	0.0	7.18
07/02/2018	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.37	0.00	1.37	0.0	1.37
07/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	8.38	0.00	8.38	0.0	8.38
07/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.30	0.00	2.30	0.0	2.30
07/02/2018	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.75	0.00	1.75	0.0	1.75
07/02/2018	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.83	0.00	2.83	0.0	2.83
07/02/2018	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	0.91	0.00	0.91	0.0	0.91
07/02/2018	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	2.22	0.00	2.22	0.0	2.22
07/02/2018	SCHNARS, MICHAEL	PUTNAM MULTI-CAP CORE FUND A	0.00	0.13	0.00	0.13	0.0	0.13
06/11/2018	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.71	0.00	1.71	0.0	1.71

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: BARTHOLOMEW MACKLER #35</u></b>								
07/02/2018	SCHNARS, MICHAEL	PUTNAM U.S. GOVT INCOME TR-A	0.00	1.06	0.00	1.06	0.0	1.06
07/03/2018	MACKLER, PATRICK	TRANSAMERICA AA MODERATE A	0.00	1.18	0.00	1.18	0.0	1.18
07/03/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.29	0.00	9.29	0.0	9.29
07/03/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	30.22	0.00	30.22	0.0	30.22
07/03/2018	MACKLER, PATRICK	TRANSAMERICA MULTI MGR INTL A	0.00	1.01	0.00	1.01	0.0	1.01
* BARTHOLOMEW MACKLER SUBTOTAL *			\$116,323.41	\$1,160.36	\$84.00	\$1,076.36		\$1,076.36
<b><u>BROKER: DANIEL HOLMAN #37</u></b>								
07/03/2018	RAPSKE, KENNETH	PIONEER VALUE A	0.00	15.29	0.00	15.29	0.0	15.29
07/02/2018	WONG TRUST, JANE	PUTNAM EQUITY INCOME A	0.00	39.24	0.00	39.24	0.0	39.24
07/02/2018	WONG TRUST, JANE	PUTNAM INTERNATIONAL GROWTH-A	0.00	23.56	0.00	23.56	0.0	23.56
07/02/2018	WONG TRUST, JANE	PUTNAM SMALL CAP GROWTH-A	0.00	32.50	0.00	32.50	0.0	32.50
* DANIEL HOLMAN SUBTOTAL *			\$0.00	\$110.59	\$0.00	\$110.59		\$110.59
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
06/27/2018	GOLSCH, INVESTMENT LTD	ING ACCESS	21,136.74	52.84	0.00	52.84	0.0	52.84
07/02/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
06/28/2018	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	0.00	5.78	0.00	5.78	0.0	5.78
* BRUCE BAXTER SUBTOTAL *			\$21,236.74	\$63.62	\$0.00	\$63.62		\$63.62
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
06/15/2018	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	6.06	0.00	6.06	0.0	6.06
06/15/2018	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.96	0.00	3.96	0.0	3.96
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.14	0.00	1.14	0.0	1.14
07/02/2018	JOSEPH, CATHERINE	PUTNAM EUROPE EQUITY A	0.00	0.10	0.00	0.10	0.0	0.10
07/02/2018	JOSEPH, CATHERINE	PUTNAM GROWTH OPP A	0.00	0.40	0.00	0.40	0.0	0.40
07/02/2018	JOSEPH, CATHERINE	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.17	0.00	0.17	0.0	0.17
07/02/2018	WRIGHT, ANGELA	PUTNAM MULTI CAP GROWTH A	0.00	23.61	0.00	23.61	0.0	23.61
07/02/2018	JOSEPH, CATHERINE	PUTNAM MULTI CAP GROWTH A	0.00	0.04	0.00	0.04	0.0	0.04
07/02/2018	WRIGHT, ANGELA	PUTNAM MULTI-CAP VALUE A	0.00	4.23	0.00	4.23	0.0	4.23
06/25/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
06/28/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	0.00	4.46	0.00	4.46	0.0	4.46
* MELANIE DICENSO SUBTOTAL *			\$50.00	\$46.17	\$0.00	\$46.17		\$46.17

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOHN BEAN #45 </u></b>								
06/15/2018	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.35	0.00	0.35	0.0	0.35
06/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
06/15/2018	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
06/15/2018	DANIEL, REIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
06/15/2018	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.28	0.00	0.28	0.0	0.28
06/15/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
06/15/2018	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
06/15/2018	DANIEL, REIS	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
06/15/2018	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.92	0.00	0.92	0.0	0.92
06/15/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	0.0	0.19
06/15/2018	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	0.0	0.19
06/15/2018	DANIEL, REIS	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	0.0	0.19
06/20/2018	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.24	0.00	0.24	0.0	0.24
06/20/2018	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.13	0.00	0.13	0.0	0.13
06/20/2018	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.18	0.00	0.18	0.0	0.18
06/12/2018	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.77	0.00	0.77	0.0	0.77
06/12/2018	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.65	0.00	0.65	0.0	0.65
06/12/2018	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.46	0.00	0.46	0.0	0.46
06/12/2018	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.43	0.00	0.43	0.0	0.43
06/12/2018	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.09	0.00	0.09	0.0	0.09
06/12/2018	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.36	0.00	0.36	0.0	0.36
06/12/2018	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.17	0.00	0.17	0.0	0.17
06/18/2018	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
06/18/2018	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.06	0.00	1.06	0.0	1.06
06/18/2018	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	5.29	0.00	5.29	0.0	5.29
<b>* JOHN BEAN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$12.42</b>	<b>\$0.00</b>	<b>\$12.42</b>		<b>\$12.42</b>
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
06/15/2018	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	9.27	0.00	9.27	0.0	9.27
06/29/2018	BRANIK, ROBERT	AXA ACCUM 04 ELITE (RO IRA)	0.00	48.71	0.00	48.71	0.0	48.71
06/29/2018	CLARK, JEAN MARIE	AXA ACCUM 07 ELITE (RO IRA)	0.00	30.19	0.00	30.19	0.0	30.19
06/18/2018	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.29	0.00	2.29	0.0	2.29
06/25/2018	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.03	0.00	1.03	0.0	1.03
06/15/2018	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	8.60	0.00	8.60	0.0	8.60

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
06/30/2018	ZAX TRUST	JNL PERSPECTIVE	0.00	0.08	0.00	0.08	0.0	0.08
06/30/2018	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	0.00	3.70	0.00	3.70	0.0	3.70
06/30/2018	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	0.00	69.34	0.00	69.34	0.0	69.34
06/30/2018	WHITE, LINDA	JNL PERSPECTIVE L	0.00	67.65	0.00	67.65	0.0	67.65
06/30/2018	ENGSTROM, WAYNE	JNL PERSPECTIVE L	0.00	28.11	0.00	28.11	0.0	28.11
06/30/2018	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	0.00	12.03	0.00	12.03	0.0	12.03
06/18/2018	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	11.56	0.00	11.56	0.0	11.56
07/03/2018	ENGSTROM, NOAH	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	5.03	0.00	5.03	0.0	5.03
06/25/2018	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.63	0.00	5.63	0.0	5.63
<b>* ROBERT (RUSTY) TWEED SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$303.22</b>	<b>\$0.00</b>	<b>\$303.22</b>		<b>\$303.22</b>
<b><u>BROKER: JIM LUND #60</u></b>								
06/29/2018	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	0.00	68.86	0.00	68.86	0.0	68.86
06/29/2018	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	0.00	58.38	0.00	58.38	0.0	58.38
06/18/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
<b>* JIM LUND SUBTOTAL *</b>			<b>\$120.00</b>	<b>\$128.44</b>	<b>\$0.00</b>	<b>\$128.44</b>		<b>\$128.44</b>
<b><u>BROKER: JAMES PANOS #61</u></b>								
06/30/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	0.00	69.32	0.00	69.32	0.0	69.32
06/30/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	0.00	89.21	0.00	89.21	0.0	89.21
<b>* JAMES PANOS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$158.53</b>	<b>\$0.00</b>	<b>\$158.53</b>		<b>\$158.53</b>
<b><u>BROKER: JEFFREY BUTLER #93</u></b>								
06/30/2018	CRAWFORD, ROBERT	LFG TRAILS	63,407.65	39.63	0.00	39.63	0.0	39.63
06/29/2018	HOLLIDAY SHOPPING CT, 401K	HARTFORD REITREMENT PLANS	0.00	615.83	0.00	615.83	0.0	615.83
<b>* JEFFREY BUTLER SUBTOTAL *</b>			<b>\$63,407.65</b>	<b>\$655.46</b>	<b>\$0.00</b>	<b>\$655.46</b>		<b>\$655.46</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
06/11/2018	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.81	0.00	4.81	0.0	4.81
06/11/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.87	0.00	5.87	0.0	5.87
06/11/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.33	0.00	2.33	0.0	2.33
06/11/2018	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.74	0.00	11.74	0.0	11.74
06/15/2018	JESTER, CATHERINE	AM FUNDS ICA A	0.00	6.38	0.00	6.38	0.0	6.38
06/18/2018	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.89	0.00	3.89	0.0	3.89
06/12/2018	BEBEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.23	0.00	4.23	0.0	4.23

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
06/29/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	70,925.22	11.82	0.00	11.82	0.0	11.82
06/29/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	4,502.90	0.75	0.00	0.75	0.0	0.75
/ /	MORRISON, ISABELLA	JH 529 COLLEGE PORT A	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	JH 529 COLLEGE PORT A	0.00	0.22	0.00	0.22	0.0	0.22
06/18/2018	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.68	0.00	2.68	0.0	2.68
06/14/2018	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	2.00	0.00	2.00	0.0	2.00
06/14/2018	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	1.00	0.00	1.00	0.0	1.00
06/13/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.73	0.00	2.73	0.0	2.73
* DANIEL COLLINS SUBTOTAL *			\$75,428.12	\$60.67	\$0.00	\$60.67		\$60.67
<b><u> BROKER: G118 GRECO #110 </u></b>								
06/11/2018	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	8.17	0.00	8.17	0.0	8.17
06/11/2018	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.14	0.00	5.14	0.0	5.14
06/11/2018	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	7.21	0.00	7.21	0.0	7.21
06/11/2018	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	7.21	0.00	7.21	0.0	7.21
06/11/2018	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.77	0.00	5.77	0.0	5.77
06/11/2018	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	3.31	0.00	3.31	0.0	3.31
06/12/2018	JONES, KAREN	COLUMBIA PPTY TR INC COM NEW	27,688.84	150.00	22.00	128.00	0.0	128.00
06/11/2018	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	17.49	0.00	17.49	0.0	17.49
06/08/2018	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	7.99	0.00	7.99	0.0	7.99
06/11/2018	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	13.32	0.00	13.32	0.0	13.32
* G118 GRECO SUBTOTAL *			\$27,688.84	\$225.61	\$22.00	\$203.61		\$203.61
<b><u> BROKER: CHRISTIAN HMURA #113 </u></b>								
06/29/2018	HMURA, JEFFREY	ALLIANZ VISION	0.00	156.67	0.00	156.67	0.0	156.67
06/29/2018	HMURA, TRACY	ALLIANZ VISION	0.00	75.39	0.00	75.39	0.0	75.39
* CHRISTIAN HMURA SUBTOTAL *			\$0.00	\$232.06	\$0.00	\$232.06		\$232.06
<b><u> BROKER: ERNEST DALE, A122 ANDERSON #119 </u></b>								
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	18.75	0.00	18.75	0.0	18.75
06/27/2018	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	400.00	15.00	0.00	15.00	0.0	15.00
* ERNEST DALE, A122 ANDERSON SUBTOTAL *			\$400.00	\$33.75	\$0.00	\$33.75		\$33.75

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
06/18/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.13	0.00	3.13	0.0	3.13
06/18/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.10	0.00	3.10	0.0	3.10
06/18/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.37	0.00	2.37	0.0	2.37
06/18/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.63	0.00	3.63	0.0	3.63
06/18/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.05	0.00	10.05	0.0	10.05
06/30/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	0.00	6.92	0.00	6.92	0.0	6.92
06/18/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.85	0.00	0.85	0.0	0.85
06/18/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.16	0.00	0.16	0.0	0.16
06/18/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.66	0.00	0.66	0.0	0.66
07/03/2018	MCCLELLAN, JAY	PIIONEER FLOATING RATE A	0.00	1.69	0.00	1.69	0.0	1.69
07/03/2018	MATHENEY, DONNA	PIIONEER GLOBAL EQUITY A	0.00	0.68	0.00	0.68	0.0	0.68
07/03/2018	KEY, LARRY	PIIONEER GLOBAL EQUITY A	0.00	3.70	0.00	3.70	0.0	3.70
07/03/2018	THOMPSON REYNOLDS JT TEN,	PIIONEER GLOBAL EQUITY A	0.00	2.16	0.00	2.16	0.0	2.16
07/03/2018	MCCLELLAN, JAY	PIIONEER GROWTH OPPORTUNITIES A	0.00	1.17	0.00	1.17	0.0	1.17
07/03/2018	O'NEAL, KELLY	PIIONEER IBBOTSON MODERATE ALLOC A	0.00	0.53	0.00	0.53	0.0	0.53
07/03/2018	O'NEAL, DEAN	PIIONEER IBBOTSON MODERATE ALLOC A	0.00	0.59	0.00	0.59	0.0	0.59
07/03/2018	MCCLELLAN, JAY	PIIONEER INDEPENDENCE A	0.00	1.15	0.00	1.15	0.0	1.15
07/03/2018	MCCLELLAN, JAY	PIIONEER INTERNATIONAL VALUE A	0.00	1.68	0.00	1.68	0.0	1.68
07/03/2018	ZHAO, WENJUN	PIIONEER MULTI-ASSET REAL RETURN A	0.00	0.83	0.00	0.83	0.0	0.83
07/03/2018	MCCLELLAN, JAY	PIIONEER MULTI-ASSET REAL RETURN A	0.00	1.12	0.00	1.12	0.0	1.12
07/03/2018	MCCLELLAN, JAY	PIIONEER VALUE A	0.00	1.14	0.00	1.14	0.0	1.14
06/30/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	0.00	92.65	0.00	92.65	0.0	92.65
06/30/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	0.00	30.88	0.00	30.88	0.0	30.88
06/18/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.37	0.00	2.37	0.0	2.37
06/18/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.13	0.00	3.13	0.0	3.13
06/18/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	0.28	0.00	0.28	0.0	0.28
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.51	0.00	1.51	0.0	1.51
07/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.95	0.00	13.95	0.0	13.95
07/03/2018	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	8.51	0.00	8.51	0.0	8.51
<b> * JAY MCCLELLAN SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$200.59</b>	<b>\$0.00</b>	<b>\$200.59</b>		<b>\$200.59</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JEFFREY GOVE #143</u></b>								
06/27/2018	GUSTAFSON, DENISE	AMERITAS MEDLEY	0.00	12.49	0.00	12.49	0.0	12.49
07/05/2018	WOODS, ROSS	AMERITAS MEDLEY	0.00	108.13	0.00	108.13	0.0	108.13
06/29/2018	CHESLEY, OLE	HARTFORD DIRECTOR VI (ILA)	0.00	0.53	0.00	0.53	0.0	0.53
* JEFFREY GOVE SUBTOTAL *			\$0.00	\$121.15	\$0.00	\$121.15		\$121.15
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
06/21/2018	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.95	0.00	0.95	0.0	0.95
06/21/2018	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.34	0.00	0.34	0.0	0.34
06/13/2018	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.39	0.00	0.39	0.0	0.39
06/13/2018	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.37	0.00	0.37	0.0	0.37
07/02/2018	CARLSON, JEANNE	OHIO NATL ONCORE LITE	0.00	40.20	0.00	40.20	0.0	40.20
06/18/2018	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.35	0.00	0.35	0.0	0.35
* TIMOTHY KULHANEK SUBTOTAL *			\$0.00	\$42.60	\$0.00	\$42.60		\$42.60
<b><u>BROKER: DAVID KOTZ #179</u></b>								
06/19/2018	FRENCH, ELAINE	***SHELL INTERNATIONAL FIN	10,245.20	100.00	28.00	72.00	0.0	72.00
06/11/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.18	0.00	0.18	0.0	0.18
06/11/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.31	0.00	1.31	0.0	1.31
06/11/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.81	0.00	0.81	0.0	0.81
06/11/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.29	0.00	0.29	0.0	0.29
06/11/2018	SWEADOR, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.42	0.00	4.42	0.0	4.42
06/11/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.75	0.00	10.75	0.0	10.75
06/11/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.10	0.00	30.10	0.0	30.10
06/11/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.98	0.00	0.98	0.0	0.98
06/11/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.24	0.00	3.24	0.0	3.24
06/19/2018	FRENCH, ELAINE	AMAZON COM INC	10,068.50	100.00	28.00	72.00	0.0	72.00
06/19/2018	FRENCH, ELAINE	BERKSHIRE HATHAWAY INC DEL	38,000.00	380.00	22.00	358.00	0.0	358.00
06/19/2018	FRENCH, ELAINE	CHEVRON CORP NEW	9,618.80	100.00	28.00	72.00	0.0	72.00
06/19/2018	FRENCH, ELAINE	CROWN CASTLE INTERNATIONAL	10,050.00	100.00	22.00	78.00	0.0	78.00
06/19/2018	FRENCH, ELAINE	DENBURY RES INC COM NEW	19,580.20	210.00	28.00	182.00	0.0	182.00
06/19/2018	FRENCH, ELAINE	DOWDUPONT INC COM	10,002.00	100.00	22.00	78.00	0.0	78.00
06/11/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.46	0.00	2.46	0.0	2.46
06/11/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.79	0.00	1.79	0.0	1.79
06/19/2018	FRENCH, ELAINE	GENERAL ELEC CAP CORP	10,015.70	100.00	28.00	72.00	0.0	72.00

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVID KOTZ #179 </u></b>								
05/30/2018	BROWNELL, STANLEY	HSBC USA INC NEW SR IDX ZRO	15,939.00	300.00	28.00	272.00	0.0	272.00
06/19/2018	FRENCH, ELAINE	HSBC USA INC NEW SR IDX ZRO	21,410.00	200.00	28.00	172.00	0.0	172.00
06/19/2018	FRENCH, ELAINE	HSBC USA INC NEW SR IDX ZRO	21,865.00	200.00	28.00	172.00	0.0	172.00
06/19/2018	FRENCH, ELAINE	JPMORGAN CHASE & CO	19,820.00	190.00	28.00	162.00	0.0	162.00
06/19/2018	FRENCH, ELAINE	KLA TENCOR CORP	25,891.75	250.00	28.00	222.00	0.0	222.00
06/19/2018	FRENCH, ELAINE	MERCK & CO INC NEW COM	9,106.50	90.00	22.00	68.00	0.0	68.00
06/19/2018	FRENCH, ELAINE	MORGAN STANLEY	24,942.00	250.00	28.00	222.00	0.0	222.00
06/19/2018	FRENCH, ELAINE	MORGAN STANLEY SR IDX ZRO	128,500.00	1,300.00	28.00	1,272.00	0.0	1,272.00
06/08/2018	WEITZER, ANDREW	OPKO HEALTH INC	21.25	0.00	22.00	-22.00	0.0	-22.00
06/19/2018	FRENCH, ELAINE	PROCTER & GAMBLE CO COM	7,569.00	75.00	22.00	53.00	0.0	53.00
06/11/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.28	0.00	2.28	0.0	2.28
06/19/2018	FRENCH, ELAINE	US BANK NATL ASSN MINNEAPOLID	9,862.50	100.00	28.00	72.00	0.0	72.00
06/19/2018	FRENCH, ELAINE	VERIZON COMMUNICATIONS COM	8,328.25	80.00	22.00	58.00	0.0	58.00
<b> * DAVID KOTZ SUBTOTAL *</b>			<b>\$410,835.65</b>	<b>\$4,283.61</b>	<b>\$518.00</b>	<b>\$3,765.61</b>		<b>\$3,765.61</b>
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
06/11/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.04	0.00	0.04	0.0	0.04
06/11/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.19	0.00	0.19	0.0	0.19
06/11/2018	WALSH, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	0.0	0.13
06/11/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.08	0.00	10.08	0.0	10.08
06/11/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.45	0.00	0.45	0.0	0.45
06/11/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.60	0.00	6.60	0.0	6.60
06/11/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.01
06/11/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.21	0.00	1.21	0.0	1.21
06/11/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	7.12	0.00	7.12	0.0	7.12
06/11/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.12	0.00	1.12	0.0	1.12
06/11/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	0.67	0.00	0.67	0.0	0.67
06/11/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	2.87	0.00	2.87	0.0	2.87
06/11/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	3.44	0.00	3.44	0.0	3.44
06/29/2018	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
06/11/2018	MURPHY, CAROL	JH REGIONAL BANK A	0.00	5.15	0.00	5.15	0.0	5.15
06/11/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.26	0.00	1.26	0.0	1.26
06/30/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	0.00	2.34	0.00	2.34	0.0	2.34
06/30/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	0.00	2.34	0.00	2.34	0.0	2.34

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
06/30/2018	BJEDOV, MARK	PRU AS APEX II	0.00	12.24	0.00	12.24	0.0	12.24
06/30/2018	BJEDOV, MARK	PRU AS APEX II	0.00	3.06	0.00	3.06	0.0	3.06
06/30/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	0.00	12.14	0.00	12.14	0.0	12.14
06/11/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.29	0.00	0.29	0.0	0.29
06/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.30	0.00	0.30	0.0	0.30
06/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.73	0.00	0.73	0.0	0.73
06/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.52	0.00	0.52	0.0	0.52
* WILLIAM WALSH SUBTOTAL *			\$0.00	\$74.31	\$0.00	\$74.31		\$74.31
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
06/15/2018	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	1.09	0.00	1.09	0.0	1.09
06/15/2018	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.67	0.00	0.67	0.0	0.67
06/15/2018	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.90	0.00	0.90	0.0	0.90
06/15/2018	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.24	0.00	1.24	0.0	1.24
06/15/2018	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.74	0.00	0.74	0.0	0.74
* MICHELE BOYER SUBTOTAL *			\$0.00	\$4.64	\$0.00	\$4.64		\$4.64
<b><u> BROKER: THOMAS JAHNCKE #193 </u></b>								
06/15/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	0.0	1.23
06/15/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	2.01	0.00	2.01	0.0	2.01
06/15/2018	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.36	0.00	1.36	0.0	1.36
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$4.60	\$0.00	\$4.60		\$4.60
<b><u> BROKER: MICHAEL PALMISANO #196 </u></b>								
06/30/2018	SUTTER, DANI-ANN	JNL PERSPECTIVE L	0.00	215.14	0.00	215.14	0.0	215.14
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$215.14	\$0.00	\$215.14		\$215.14
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
06/15/2018	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	5.17	0.00	5.17	0.0	5.17
06/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	5.28	0.00	5.28	0.0	5.28
06/15/2018	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.38	0.00	3.38	0.0	3.38
06/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.40	0.00	3.40	0.0	3.40
06/15/2018	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.04	0.00	6.04	0.0	6.04
06/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.19	0.00	6.19	0.0	6.19
06/15/2018	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.94	0.00	3.94	0.0	3.94

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
06/15/2018	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.96	0.00	3.96	0.0	3.96
06/12/2018	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.68	0.00	4.68	0.0	4.68
06/18/2018	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.09	0.00	0.09	0.0	0.09
06/18/2018	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.15	0.00	0.15	0.0	0.15
06/18/2018	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.21	0.00	0.21	0.0	0.21
06/18/2018	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
<b> * MEREDITH MCCUTCHEN SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$42.72</b>	<b>\$0.00</b>	<b>\$42.72</b>		<b>\$42.72</b>
<b><u> BROKER: GREGORY FRIEL #223 </u></b>								
06/13/2018	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.61	0.00	0.61	0.0	0.61
06/12/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.76	0.00	3.76	0.0	3.76
06/12/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.81	0.00	1.81	0.0	1.81
06/21/2018	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.03	0.00	5.03	0.0	5.03
06/21/2018	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.02	0.00	3.02	0.0	3.02
06/21/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	1.22
06/21/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.48	0.00	0.48	0.0	0.48
06/18/2018	FRIEL, JEREMY	MFS BOND A	0.00	1.18	0.00	1.18	0.0	1.18
06/18/2018	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.22
06/18/2018	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.82	0.00	0.82	0.0	0.82
06/18/2018	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.26	0.00	0.26	0.0	0.26
06/18/2018	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.28	0.00	0.28	0.0	0.28
06/18/2018	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.59	0.00	1.59	0.0	1.59
06/18/2018	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.52	0.00	5.52	0.0	5.52
06/18/2018	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	9.26	0.00	9.26	0.0	9.26
06/18/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.25	0.00	0.25	0.0	0.25
06/18/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	11.75	0.00	11.75	0.0	11.75
06/18/2018	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.52	0.00	3.52	0.0	3.52
<b> * GREGORY FRIEL SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$50.58</b>	<b>\$0.00</b>	<b>\$50.58</b>		<b>\$50.58</b>
<b><u> BROKER: JAMES CRAWFORD #225 </u></b>								
06/18/2018	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.21	0.00	3.21	0.0	3.21
06/30/2018	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	0.00	1.90	0.00	1.90	0.0	1.90
06/30/2018	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	0.00	1.51	0.00	1.51	0.0	1.51
<b> * JAMES CRAWFORD SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$6.62</b>	<b>\$0.00</b>	<b>\$6.62</b>		<b>\$6.62</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: CARL WORDEN III #232 </u></b>								
06/30/2018	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	0.00	8.93	0.00	8.93	0.0	8.93
		* CARL WORDEN III SUBTOTAL *	\$0.00	\$8.93	\$0.00	\$8.93		\$8.93
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
06/30/2018	LUKE, CECILIA	LFG TRAILS	447,440.39	279.65	0.00	279.65	0.0	279.65
07/03/2018	GILSON, ANN	PIONEER VALUE A	0.00	31.22	0.00	31.22	0.0	31.22
		* WILLIAM THOMAS SUBTOTAL *	\$447,440.39	\$310.87	\$0.00	\$310.87		\$310.87
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
06/29/2018	POLL, KELLY	ALLIANZ VISION BASIC	0.00	97.53	0.00	97.53	0.0	97.53
07/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
06/18/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
07/03/2018	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	2.55	0.00	2.55	0.0	2.55
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$277.00	\$102.85	\$0.00	\$102.85		\$102.85
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
06/27/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	100.00	2.00	0.00	2.00	0.0	2.00
06/29/2018	SMITH, RANDALL	ALLIANZ ALTERITY OPTIONS	0.00	63.01	0.00	63.01	0.0	63.01
06/29/2018	SMITH, JESSICA	ALLIANZ ALTERITY OPTIONS	0.00	55.18	0.00	55.18	0.0	55.18
06/29/2018	RAWSON, JOHN	ALLIANZ VISION BASIC	0.00	562.93	0.00	562.93	0.0	562.93
06/29/2018	GORDY, DANNY	ALLIANZ VISION BASIC	0.00	216.85	0.00	216.85	0.0	216.85
06/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.88	0.00	0.88	0.0	0.88
06/15/2018	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.27	0.00	0.27	0.0	0.27
06/15/2018	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.72	0.00	0.72	0.0	0.72
06/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.66	0.00	0.66	0.0	0.66
06/15/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.13	0.00	0.13	0.0	0.13
06/15/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	0.0	0.80
06/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	0.0	1.01
06/15/2018	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.89	0.00	1.89	0.0	1.89
06/15/2018	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.12	0.00	0.12	0.0	0.12
06/15/2018	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.76	0.00	0.76	0.0	0.76
06/15/2018	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	0.0	1.63
06/15/2018	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.99	0.00	0.99	0.0	0.99
06/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.60	0.00	0.60	0.0	0.60
06/15/2018	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	0.0	1.61

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
06/15/2018	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	0.0	0.79
06/15/2018	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.08	0.00	2.08	0.0	2.08
06/15/2018	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.16	0.00	0.16	0.0	0.16
06/15/2018	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.42	0.00	0.42	0.0	0.42
06/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.36	0.00	0.36	0.0	0.36
06/15/2018	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.12	0.00	0.12	0.0	0.12
06/15/2018	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.73	0.00	0.73	0.0	0.73
06/15/2018	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.09	0.00	0.09	0.0	0.09
06/15/2018	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.56	0.00	0.56	0.0	0.56
06/15/2018	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	0.0	0.58
06/15/2018	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.51	0.00	1.51	0.0	1.51
06/18/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	10.77	0.00	10.77	0.0	10.77
/ /	HASKIN, JEAN	ASCENSUS NEA GROWTH STATIC A	0.00	23.26	0.00	23.26	0.0	23.26
06/29/2018	TURTLE, ERMA	AXA ACCUM 04 ELITE (RO IRA)	0.00	815.54	0.00	815.54	0.0	815.54
06/29/2018	GRAFF, GARY	AXA ACCUM 04 ELITE (RO IRA)	0.00	373.24	0.00	373.24	0.0	373.24
06/29/2018	DRAPEAU, GAIL	AXA ACCUM 04 ELITE (RO IRA)	0.00	65.56	0.00	65.56	0.0	65.56
06/29/2018	KELLOGG, J EDWARD	AXA ACCUMULATOR ELITE	0.00	1,688.16	0.00	1,688.16	0.0	1,688.16
06/29/2018	JACKSON, ROBERT	AXA ACCUMULATOR ELITE	0.00	6.40	0.00	6.40	0.0	6.40
06/29/2018	CORDS, RANDALL	AXA RETIREMENT CORNERSTONE 13 B	0.00	39.52	0.00	39.52	0.0	39.52
06/12/2018	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	20.37	0.00	20.37	0.0	20.37
06/12/2018	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	17.60	0.00	17.60	0.0	17.60
06/12/2018	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.97	0.00	2.97	0.0	2.97
06/12/2018	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	9.58	0.00	9.58	0.0	9.58
06/12/2018	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	18.18	0.00	18.18	0.0	18.18
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	0.0	1.00
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	14.85	0.00	14.85	0.0	14.85
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.41	0.00	0.41	0.0	0.41
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.55	0.00	3.55	0.0	3.55
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	8.50	0.00	8.50	0.0	8.50
07/02/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
07/02/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
07/02/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
06/15/2018	TURNER, WANDA	JH HIGH YIELD A	0.00	1.42	0.00	1.42	0.0	1.42

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
06/15/2018	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	4.22	0.00	4.22	0.0	4.22
06/15/2018	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	9.64	0.00	9.64	0.0	9.64
06/15/2018	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.99	0.00	8.99	0.0	8.99
06/30/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	0.00	49.55	0.00	49.55	0.0	49.55
06/30/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	0.00	128.09	0.00	128.09	0.0	128.09
06/30/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	0.00	1,167.16	0.00	1,167.16	0.0	1,167.16
06/30/2018	HASKIN, LARRY	JNL PERSPECTIVE II 04/14	0.00	1,099.00	0.00	1,099.00	0.0	1,099.00
06/30/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	0.00	164.85	0.00	164.85	0.0	164.85
06/30/2018	CURTIS, JEFFREY	JNL PERSPECTIVE L	0.00	82.47	0.00	82.47	0.0	82.47
06/30/2018	FUENTEZ, IVAN	JNL PERSPECTIVE L	0.00	210.06	0.00	210.06	0.0	210.06
06/30/2018	ROSE, ERIC	JNL PERSPECTIVE L	0.00	39.67	0.00	39.67	0.0	39.67
06/30/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE L	0.00	121.33	0.00	121.33	0.0	121.33
06/30/2018	CALABRESE, CAROL	JNL PERSPECTIVE L	0.00	227.72	0.00	227.72	0.0	227.72
06/30/2018	SHORE, JERRY	JNL PERSPECTIVE L	0.00	966.73	0.00	966.73	0.0	966.73
06/30/2018	SHORE, JERRY	JNL PERSPECTIVE L	0.00	265.20	0.00	265.20	0.0	265.20
06/30/2018	DALLMANN, HOWARD	JNL PERSPECTIVE L	0.00	166.72	0.00	166.72	0.0	166.72
06/30/2018	DALLMANN, MARGARET	JNL PERSPECTIVE L	0.00	20.02	0.00	20.02	0.0	20.02
06/30/2018	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	0.00	274.18	0.00	274.18	0.0	274.18
06/30/2018	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	0.00	124.07	0.00	124.07	0.0	124.07
06/30/2018	TRINITY EVANGELICAL	JNL PERSPECTIVE L	0.00	335.78	0.00	335.78	0.0	335.78
06/30/2018	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	0.00	592.14	0.00	592.14	0.0	592.14
06/30/2018	REED, MARY	JNL PERSPECTIVE L	0.00	231.02	0.00	231.02	0.0	231.02
06/30/2018	ESLINGER, PATRICIA	JNL PERSPECTIVE L	0.00	21.19	0.00	21.19	0.0	21.19
06/30/2018	OLSON, MARK	JNL PERSPECTIVE L	0.00	308.99	0.00	308.99	0.0	308.99
06/30/2018	SKELLY, SCOTT	JNL PERSPECTIVE L	0.00	213.58	0.00	213.58	0.0	213.58
06/30/2018	SKELLY, BRENDA	JNL PERSPECTIVE L	0.00	23.75	0.00	23.75	0.0	23.75
06/30/2018	BUCK, DELORES	JNL PERSPECTIVE L	0.00	215.18	0.00	215.18	0.0	215.18
06/30/2018	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	0.00	205.36	0.00	205.36	0.0	205.36
06/30/2018	FITTERER, RUTH	JNL PERSPECTIVE L	0.00	176.20	0.00	176.20	0.0	176.20
06/30/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	0.00	101.58	0.00	101.58	0.0	101.58
06/30/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	0.00	6.94	0.00	6.94	0.0	6.94
06/30/2018	JOHNSON, KAREN	JNL PERSPECTIVE L	0.00	6.94	0.00	6.94	0.0	6.94
06/30/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	0.00	20.03	0.00	20.03	0.0	20.03

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
06/30/2018	LABRIER, JOHN	JNL PERSPECTIVE L	0.00	65.05	0.00	65.05	0.0	65.05
06/30/2018	WETZELL, PAMELA	JNL PERSPECTIVE L	0.00	75.34	0.00	75.34	0.0	75.34
06/30/2018	REITER, KAREN	JNL PERSPECTIVE L	0.00	5.76	0.00	5.76	0.0	5.76
06/22/2018	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.51	0.00	0.51	0.0	0.51
06/22/2018	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	8.40	0.00	8.40	0.0	8.40
06/22/2018	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.84	0.00	0.84	0.0	0.84
06/22/2018	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.68	0.00	4.68	0.0	4.68
07/05/2018	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	0.0	4.05
06/18/2018	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	2.90	0.00	2.90	0.0	2.90
06/18/2018	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	8.25	0.00	8.25	0.0	8.25
06/18/2018	POLTZ, ALAN	MFS RESEARCH A	0.00	0.55	0.00	0.55	0.0	0.55
/ /	HASKIN, JEAN	NEA GROWTH STATIC C	0.00	78.72	0.00	78.72	0.0	78.72
07/03/2018	BOESCH JT TEN, DEBRA	PIONEER INDEPENDENCE A	0.00	9.26	0.00	9.26	0.0	9.26
06/14/2018	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.65	0.00	3.65	0.0	3.65
06/13/2018	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.78	0.00	0.78	0.0	0.78
06/13/2018	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.38	0.00	4.38	0.0	4.38
06/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	0.00	386.13	0.00	386.13	0.0	386.13
06/15/2018	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	26.08	0.00	26.08	0.0	26.08
06/15/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.55	0.00	2.55	0.0	2.55
06/15/2018	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	12.74	0.00	12.74	0.0	12.74
06/15/2018	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	26.00	0.00	26.00	0.0	26.00
06/15/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	4.65	0.00	4.65	0.0	4.65
06/15/2018	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	15.90	0.00	15.90	0.0	15.90
06/15/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	76.02	0.00	76.02	0.0	76.02
06/19/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	0.0	11.67
06/19/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	240.00	2.40	0.00	2.40	0.0	2.40
07/03/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	38.61	0.00	38.61	0.0	38.61
07/03/2018	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.48	0.00	1.48	0.0	1.48
07/03/2018	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	40.80	0.00	40.80	0.0	40.80
07/03/2018	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.39	0.00	4.39	0.0	4.39
07/03/2018	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.87	0.00	4.87	0.0	4.87
07/03/2018	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.56	0.00	2.56	0.0	2.56
07/03/2018	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.40	0.00	4.40	0.0	4.40

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<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
07/03/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.98	0.00	3.98	0.0	3.98
07/03/2018	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	57.66	0.00	57.66	0.0	57.66
07/03/2018	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.40	0.00	4.40	0.0	4.40
07/03/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.95	0.00	3.95	0.0	3.95
07/03/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	12.03	0.00	12.03	0.0	12.03
07/03/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	6.50	0.00	6.50	0.0	6.50
07/03/2018	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.46	0.00	4.46	0.0	4.46
07/03/2018	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.20	0.00	4.20	0.0	4.20
07/03/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.87	0.00	3.87	0.0	3.87
07/03/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.93	0.00	1.93	0.0	1.93
07/03/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	4.29	0.00	4.29	0.0	4.29
07/03/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	0.0	4.34
07/03/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.15	0.00	0.15	0.0	0.15
06/15/2018	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	34.18	0.00	34.18	0.0	34.18
06/15/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	68.15	0.00	68.15	0.0	68.15
07/03/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.07	0.00	25.07	0.0	25.07
07/03/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	21.75	0.00	21.75	0.0	21.75
06/15/2018	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.91	0.00	0.91	0.0	0.91
06/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	0.00	3.99	0.00	3.99	0.0	3.99
06/15/2018	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.87	0.00	0.87	0.0	0.87
06/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	0.00	158.43	0.00	158.43	0.0	158.43
06/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	0.00	553.16	0.00	553.16	0.0	553.16
06/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	21.73	0.00	21.73	0.0	21.73
06/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	0.00	5.88	0.00	5.88	0.0	5.88
06/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	16.67	0.00	16.67	0.0	16.67
06/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	0.00	22.52	0.00	22.52	0.0	22.52
06/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	0.00	190.12	0.00	190.12	0.0	190.12
06/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	0.00	1,195.36	0.00	1,195.36	0.0	1,195.36
06/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	0.00	7.27	0.00	7.27	0.0	7.27
06/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	0.00	182.50	0.00	182.50	0.0	182.50
06/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	0.00	50.77	0.00	50.77	0.0	50.77
06/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	0.00	28.44	0.00	28.44	0.0	28.44
06/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	0.00	207.95	0.00	207.95	0.0	207.95

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
06/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	0.00	11.48	0.00	11.48	0.0	11.48
06/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	0.00	90.39	0.00	90.39	0.0	90.39
06/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	0.00	59.65	0.00	59.65	0.0	59.65
06/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	0.00	159.61	0.00	159.61	0.0	159.61
06/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	0.00	1,151.89	0.00	1,151.89	0.0	1,151.89
06/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	0.00	260.04	0.00	260.04	0.0	260.04
06/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	0.00	277.64	0.00	277.64	0.0	277.64
06/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	0.00	106.53	0.00	106.53	0.0	106.53
06/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	0.00	121.61	0.00	121.61	0.0	121.61
06/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	0.00	65.46	0.00	65.46	0.0	65.46
06/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	0.00	415.18	0.00	415.18	0.0	415.18
06/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	12.33	0.00	12.33	0.0	12.33
06/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	0.00	461.19	0.00	461.19	0.0	461.19
06/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	0.00	138.49	0.00	138.49	0.0	138.49
06/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	0.00	664.61	0.00	664.61	0.0	664.61
06/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	0.00	11.50	0.00	11.50	0.0	11.50
06/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	0.00	60.32	0.00	60.32	0.0	60.32
06/29/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	0.00	192.29	0.00	192.29	0.0	192.29
06/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	0.00	66.44	0.00	66.44	0.0	66.44
06/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	0.00	188.96	0.00	188.96	0.0	188.96
07/03/2018	SCHMIT, DALE	TRANSAMERICA LIFE	15,397.56	9.62	0.00	9.62	0.0	9.62
07/03/2018	FREDERICK, THOMAS	TRANSAMERICA LIFE	711,332.21	444.58	0.00	444.58	0.0	444.58
06/15/2018	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	1.02	0.00	1.02	0.0	1.02
06/15/2018	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.31	0.00	1.31	0.0	1.31
<b>* JOSEPH, M138 MICHALETZ SUBTOTAL *</b>			<b>\$728,806.43</b>	<b>\$20,445.66</b>	<b>\$0.00</b>	<b>\$20,445.66</b>		<b>\$20,445.66</b>
<b><u>BROKER: JACK, M137 MICHALETZ #287</u></b>								
06/15/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.40
06/29/2018	ZABEL, DENNIS	ALLIANZ VISION BASIC	0.00	329.63	0.00	329.63	0.0	329.63
06/29/2018	STEPHENS, GREG	ALLIANZ VISION BASIC	0.00	161.85	0.00	161.85	0.0	161.85
06/15/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	0.0	0.29
07/03/2018	STEPHENS, GREG	AM GEN POLARIS CHOICE IV	0.00	103.08	0.00	103.08	0.0	103.08
06/29/2018	ROSE, REBECCA	AXA REITREMENT CORNERSTONE 13 L	0.00	90.26	0.00	90.26	0.0	90.26
06/29/2018	STEFFL, JOSEPH	AXA REITREMENT CORNERSTONE 13 L	0.00	1,280.42	0.00	1,280.42	0.0	1,280.42

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: JACK, M137 MICHALETZ #287</b>								
06/12/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	5.15	0.00	5.15	0.0	5.15
06/15/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
06/15/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.06
06/15/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.06
06/15/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	0.0	0.32
06/15/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	0.0	0.46
06/15/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.68	0.00	2.68	0.0	2.68
06/30/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	0.00	37.80	0.00	37.80	0.0	37.80
06/30/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	0.00	39.68	0.00	39.68	0.0	39.68
06/30/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	0.00	62.09	0.00	62.09	0.0	62.09
06/30/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	0.00	154.14	0.00	154.14	0.0	154.14
06/30/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	0.00	39.21	0.00	39.21	0.0	39.21
06/30/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	0.00	31.42	0.00	31.42	0.0	31.42
06/30/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	0.00	224.16	0.00	224.16	0.0	224.16
06/30/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	0.00	178.11	0.00	178.11	0.0	178.11
06/30/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	0.00	75.52	0.00	75.52	0.0	75.52
06/30/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	125.54	0.00	125.54	0.0	125.54
06/30/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	28.03	0.00	28.03	0.0	28.03
06/30/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	52.30	0.00	52.30	0.0	52.30
06/30/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	31.09	0.00	31.09	0.0	31.09
06/30/2018	HART, JILLANE	JNL PERSPECTIVE L	0.00	85.04	0.00	85.04	0.0	85.04
06/30/2018	HART, KEVIN	JNL PERSPECTIVE L	0.00	251.18	0.00	251.18	0.0	251.18
06/30/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	0.00	20.86	0.00	20.86	0.0	20.86
06/15/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.04
06/30/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	0.00	222.44	0.00	222.44	0.0	222.44
06/18/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	18.31	0.00	18.31	0.0	18.31
06/18/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	40.42	0.00	40.42	0.0	40.42
06/18/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.72	0.00	4.72	0.0	4.72
06/18/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.62	0.00	12.62	0.0	12.62
06/18/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.43	0.00	0.43	0.0	0.43
06/14/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.86	0.00	2.86	0.0	2.86
06/14/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.69	0.00	0.69	0.0	0.69
06/14/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.76	0.00	0.76	0.0	0.76

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
06/14/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	0.00	0.12	0.00	0.12	0.0	0.12
07/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	4.40	0.00	4.40	0.0	4.40
06/14/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	10.86	0.00	10.86	0.0	10.86
06/14/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.99	0.00	0.99	0.0	0.99
06/13/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	23.89	0.00	23.89	0.0	23.89
06/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	0.00	19.90	0.00	19.90	0.0	19.90
06/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	0.00	95.60	0.00	95.60	0.0	95.60
06/15/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.82	0.00	3.82	0.0	3.82
06/15/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.93	0.00	3.93	0.0	3.93
06/15/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.55	0.00	2.55	0.0	2.55
06/15/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.41	0.00	5.41	0.0	5.41
07/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.34	0.00	1.34	0.0	1.34
07/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.28	0.00	1.28	0.0	1.28
07/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.86	0.00	0.86	0.0	0.86
06/15/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	6.25	0.00	6.25	0.0	6.25
06/15/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	21.06	0.00	21.06	0.0	21.06
06/15/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.28	0.00	18.28	0.0	18.28
06/20/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
06/25/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	177.69	1.78	0.00	1.78	0.0	1.78
06/25/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	177.69	1.78	0.00	1.78	0.0	1.78
06/29/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	69.28	0.69	0.00	0.69	0.0	0.69
06/29/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	69.28	0.69	0.00	0.69	0.0	0.69
07/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.85	0.00	2.85	0.0	2.85
07/03/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.86	0.00	5.86	0.0	5.86
07/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	41.01	0.00	41.01	0.0	41.01
07/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	41.01	0.00	41.01	0.0	41.01
07/03/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.05	0.00	7.05	0.0	7.05
07/03/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.68	0.00	2.68	0.0	2.68
07/03/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.75	0.00	11.75	0.0	11.75
07/03/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.21	0.00	2.21	0.0	2.21
07/03/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.23	0.00	2.23	0.0	2.23
07/03/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.82	0.00	5.82	0.0	5.82
07/03/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.92	0.00	3.92	0.0	3.92

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
07/03/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.19	0.00	8.19	0.0	8.19
07/03/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	26.05	0.00	26.05	0.0	26.05
07/03/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.68	0.00	0.68	0.0	0.68
07/03/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.61	0.00	0.61	0.0	0.61
07/03/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	0.00	0.12	0.00	0.12	0.0	0.12
06/15/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	32.78	0.00	32.78	0.0	32.78
06/25/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	223.45	2.23	0.00	2.23	0.0	2.23
06/25/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	223.45	2.23	0.00	2.23	0.0	2.23
07/03/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.51	0.00	3.51	0.0	3.51
07/03/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.05	0.00	5.05	0.0	5.05
07/03/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.28	0.00	1.28	0.0	1.28
07/03/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.34	0.00	2.34	0.0	2.34
07/03/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	11.09	0.00	11.09	0.0	11.09
07/03/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.73	0.00	7.73	0.0	7.73
07/03/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	4.61	0.00	4.61	0.0	4.61
06/15/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.79	0.00	0.79	0.0	0.79
06/15/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.75	0.00	0.75	0.0	0.75
06/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	0.00	2.76	0.00	2.76	0.0	2.76
06/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	0.00	4.08	0.00	4.08	0.0	4.08
06/15/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.50	0.00	0.50	0.0	0.50
06/15/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	0.0	0.44
06/15/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.66	0.00	1.66	0.0	1.66
06/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	0.00	38.20	0.00	38.20	0.0	38.20
06/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	0.00	289.69	0.00	289.69	0.0	289.69
06/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	42.37	0.00	42.37	0.0	42.37
06/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	0.00	14.53	0.00	14.53	0.0	14.53
06/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	0.00	16.98	0.00	16.98	0.0	16.98
06/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	0.00	50.31	0.00	50.31	0.0	50.31
06/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	0.00	32.60	0.00	32.60	0.0	32.60
06/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	0.00	46.64	0.00	46.64	0.0	46.64
06/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	0.00	11.30	0.00	11.30	0.0	11.30
06/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	0.00	26.58	0.00	26.58	0.0	26.58
06/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	0.00	61.17	0.00	61.17	0.0	61.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
06/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	0.00	90.90	0.00	90.90	0.0	90.90
06/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	0.00	16.23	0.00	16.23	0.0	16.23
06/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	0.00	16.68	0.00	16.68	0.0	16.68
06/25/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
06/25/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
06/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	0.00	43.61	0.00	43.61	0.0	43.61
06/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	0.00	46.26	0.00	46.26	0.0	46.26
06/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	0.00	47.50	0.00	47.50	0.0	47.50
06/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	0.00	73.14	0.00	73.14	0.0	73.14
06/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	0.00	32.18	0.00	32.18	0.0	32.18
06/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	0.00	73.03	0.00	73.03	0.0	73.03
07/03/2018	GARTNER, MARK	TRANSAMERICA LIFE	56,708.02	35.44	0.00	35.44	0.0	35.44
<b> * JACK, M137 MICHALETZ SUBTOTAL * </b>			<b>\$57,798.86</b>	<b>\$5,296.37</b>	<b>\$0.00</b>	<b>\$5,296.37</b>		<b>\$5,296.37</b>
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
03/31/2018	CHAMBERLAIN, MARILYN	PACIFIC INNOV-SELECT	6,574.20	16.44	0.00	16.44	0.0	16.44
06/15/2018	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.22	0.00	0.22	0.0	0.22
06/15/2018	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	0.92	0.00	0.92	0.0	0.92
06/15/2018	LIMCHOC, OSCAR	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	0.0	0.53
06/15/2018	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.66	0.00	3.66	0.0	3.66
06/15/2018	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	4.12	0.00	4.12	0.0	4.12
06/15/2018	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.57	0.00	3.57	0.0	3.57
06/15/2018	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	0.0	0.26
06/15/2018	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	58.78	0.00	58.78	0.0	58.78
06/15/2018	BEBOUT, DARRELL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.13	0.00	5.13	0.0	5.13
06/15/2018	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	12.91	0.00	12.91	0.0	12.91
06/15/2018	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	10.23	0.00	10.23	0.0	10.23
06/20/2018	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE FUND	0.00	0.05	0.00	0.05	0.0	0.05
06/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.19	0.00	2.19	0.0	2.19
06/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.17	0.00	1.17	0.0	1.17
06/15/2018	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	5.59	0.00	5.59	0.0	5.59
06/15/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.12	0.00	2.12	0.0	2.12
06/15/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.14	0.00	1.14	0.0	1.14
06/29/2018	COMPTON, WILLIAM	AXA ACCUM 04 ELITE (RO IRA)	0.00	151.05	0.00	151.05	0.0	151.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
06/25/2018	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.06	0.00	0.06	0.0	0.06
06/08/2018	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL APPRECI	0.00	0.88	0.00	0.88	0.0	0.88
06/13/2018	LIMCHOC, OSCAR	COLUMBIA DIVIDEND INCOME A	0.00	0.51	0.00	0.51	0.0	0.51
06/13/2018	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	0.0	0.05
06/15/2018	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.34	0.00	0.34	0.0	0.34
06/18/2018	LIMCHOC, OSCAR	FRANKLIN INCOME A	0.00	0.31	0.00	0.31	0.0	0.31
06/18/2018	COMPTON, SHARON	FRANKLIN INCOME A	0.00	2.17	0.00	2.17	0.0	2.17
06/18/2018	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	2.51	0.00	2.51	0.0	2.51
06/18/2018	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	7.04	0.00	7.04	0.0	7.04
06/18/2018	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES F	0.00	1.58	0.00	1.58	0.0	1.58
06/18/2018	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	5.50	0.00	5.50	0.0	5.50
07/02/2018	LIMCHOC, OSCAR	ING LANDMARK	18,278.03	57.12	0.00	57.12	0.0	57.12
06/30/2018	LAWVER, JANET	JNL ELITE CHOICE	0.00	15.01	0.00	15.01	0.0	15.01
06/30/2018	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	0.00	939.79	0.00	939.79	0.0	939.79
06/22/2018	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
06/21/2018	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	0.00	0.01	0.0	0.01
06/18/2018	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	8.43	0.00	8.43	0.0	8.43
06/18/2018	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	0.00	0.03	0.0	0.03
06/18/2018	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
06/18/2018	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.88	0.00	3.88	0.0	3.88
06/18/2018	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	59.37	0.00	59.37	0.0	59.37
06/18/2018	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03
06/21/2018	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO OPTIMIZATI	0.00	0.10	0.00	0.10	0.0	0.10
06/11/2018	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND C	0.00	21.95	0.00	21.95	0.0	21.95
06/18/2018	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.58	0.00	3.58	0.0	3.58
06/18/2018	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.77	0.00	6.77	0.0	6.77
06/18/2018	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.19	0.00	5.19	0.0	5.19
06/18/2018	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.98	0.00	2.98	0.0	2.98
06/18/2018	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.36	0.00	7.36	0.0	7.36
06/18/2018	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.37	0.00	2.37	0.0	2.37
06/18/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.87	0.00	5.87	0.0	5.87
06/18/2018	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.92	0.00	7.92	0.0	7.92
06/18/2018	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.09	0.00	6.09	0.0	6.09

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
06/18/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.08	0.00	2.08	0.0	2.08
06/18/2018	MYERS, GARY	TEMPLETON GROWTH A	0.00	31.93	0.00	31.93	0.0	31.93
06/18/2018	MYERS, GARY	TEMPLETON WORLD A	0.00	0.45	0.00	0.45	0.0	0.45
06/11/2018	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH FU	0.00	4.36	0.00	4.36	0.0	4.36
06/11/2018	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY FUN	0.00	2.05	0.00	2.05	0.0	2.05
06/13/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.00	0.03	0.0	0.03
<b> * JAMES, B153 BREWER SUBTOTAL * </b>			<b>\$24,852.23</b>	<b>\$1,495.90</b>	<b>\$0.00</b>	<b>\$1,495.90</b>		<b>\$1,495.90</b>
<b><u> BROKER: MARTIN PROSHEK #355 </u></b>								
06/25/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	0.0	0.08
06/25/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.10	0.00	0.10	0.0	0.10
06/15/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.20	0.00	0.20	0.0	0.20
<b> * MARTIN PROSHEK SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$0.38</b>	<b>\$0.00</b>	<b>\$0.38</b>		<b>\$0.38</b>
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
06/30/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	0.00	97.05	0.00	97.05	0.0	9.71
06/30/2018	KNAACK, JOHN	LFG TRAILS	231,970.98	579.93	0.00	579.93	0.0	57.99
06/30/2018	STRYJEWSKI, DONALD	LFG TRAILS	330,021.70	825.05	0.00	825.05	0.0	82.51
06/30/2018	CHIAPPERINO, LORRAINE	LFG TRAILS	82,264.71	205.66	0.00	205.66	0.0	20.57
06/30/2018	PANDOLFO, CATHERINE	LFG TRAILS	497,842.21	1,244.61	0.00	1,244.61	0.0	124.46
06/30/2018	GORMAN, ANN	LFG TRAILS	406,957.85	1,017.39	0.00	1,017.39	0.0	101.74
06/30/2018	NEVIN, NANCY	LFG TRAILS	168,964.69	422.41	0.00	422.41	0.0	42.24
06/25/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.56	0.00	4.56	0.0	0.46
06/25/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.67	0.00	3.67	0.0	0.37
06/18/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.83	0.00	2.83	0.0	0.28
07/03/2018	PUMA, FRANK	HEALTHCARE TR AMER INC CL A NEW	-19,331.91	90.00	23.09	66.91	0.0	6.69
06/24/2018	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	131,968.99	329.92	0.00	329.92	0.0	32.99
06/18/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.34	0.00	6.34	0.0	0.63
06/21/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.10	0.00	1.10	0.0	0.11
06/21/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.38	0.00	1.38	0.0	0.14
06/21/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.65	0.00	0.65	0.0	0.07
06/21/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.30	0.00	5.30	0.0	0.53
06/21/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.99	0.00	4.99	0.0	0.50
06/21/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.27	0.00	9.27	0.0	0.93

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: L149-ROBERT LUCHSINGER #388</b>								
06/21/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.87	0.00	1.87	0.0	0.19
06/18/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.05	0.00	5.05	0.0	0.51
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.31	0.00	5.31	0.0	0.53
06/13/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.02
06/13/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.64	0.00	0.64	0.0	0.06
06/13/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.38	0.00	5.38	0.0	0.54
06/13/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.24	0.00	2.24	0.0	0.22
06/13/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.07
06/13/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.10	0.00	1.10	0.0	0.11
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$1,830,659.22</b>	<b>\$4,874.59</b>	<b>\$23.09</b>	<b>\$4,851.50</b>		<b>\$485.17</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$3,822,910.11</b>	<b>\$41,587.49</b>	<b>\$676.09</b>	<b>\$40,911.40</b>		<b>\$36,545.07</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,348.47
Split Transactions	\$0.00
Override Transactions	\$36,545.07
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$40,893.54</b>
<b>Year-to-date Gross</b>	<b>\$85,408.59</b>
<b>Year-to-date Earnings</b>	<b>\$312,997.64</b>

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