



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

DAVID ZUPEK
 6226 Bankers Rd.
 Ste. 4
 Racine, WI 53403

BROKER #: Z116
 BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
07/07/2017	EYNARD, STEPHEN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$2.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	DEHAHN, RAYMOND	ALLIANZ VISION	11,127.26	27.82	0.00	27.82	90.0	25.04
06/30/2017	DEHAHN, RAYMOND	ALLIANZ VISION	21,204.44	53.01	0.00	53.01	90.0	47.71
06/30/2017	RUSCH, JOSHUA	ALLIANZ VISION	35,256.54	88.14	0.00	88.14	90.0	79.33
06/30/2017	SUS, SANDRA	ALLIANZ VISION	87,731.74	219.33	0.00	219.33	90.0	197.40
06/30/2017	HORNACEK, JOSEPH	ALLIANZ VISION	137,711.07	344.28	0.00	344.28	90.0	309.85
06/30/2017	DENNE, THOMAS	ALLIANZ VISION	76,134.39	190.34	0.00	190.34	90.0	171.31
06/30/2017	SCOTT, DEBRA	AXA ACCUMULATOR PLUS (NQ)	71,115.98	133.34	0.00	133.34	90.0	120.01
07/05/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,420,721.22	583.86	0.00	583.86	90.0	525.47
06/30/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	15,250.20	15.89	0.00	15.89	90.0	14.30
06/30/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	48,488.30	40.41	0.00	40.41	90.0	36.37
06/30/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	59,299.40	49.42	0.00	49.42	90.0	44.48
06/27/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	5.00	0.10	0.00	0.10	90.0	0.09
06/30/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	112,468.38	23.43	0.00	23.43	90.0	21.09
07/03/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	38.00	0.76	0.00	0.76	90.0	0.68
07/10/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	69.43	1.39	0.00	1.39	90.0	1.25
06/30/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	14,736.69	6.14	0.00	6.14	90.0	5.53
06/30/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	19,360.41	8.07	0.00	8.07	90.0	7.26
06/30/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,532.28	4.81	0.00	4.81	90.0	4.33
06/30/2017	HOSS, GAIL	JNL PERSPECTIVE L	72,893.62	78.97	0.00	78.97	90.0	71.07

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	5,917.15	6.41	0.00	6.41	90.0	5.77
06/30/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	85,753.15	92.90	0.00	92.90	90.0	83.61
06/30/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	33,412.83	36.20	0.00	36.20	90.0	32.58
06/30/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	67,068.45	55.89	0.00	55.89	90.0	50.30
06/30/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	54,451.50	63.53	0.00	63.53	90.0	57.18
06/30/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	166,905.62	194.72	0.00	194.72	90.0	175.25
06/30/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	127,504.15	148.75	0.00	148.75	90.0	133.88
06/30/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	41,999.42	49.00	0.00	49.00	90.0	44.10
06/30/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	43,857.63	36.55	0.00	36.55	90.0	32.90
06/30/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	26,050.43	21.71	0.00	21.71	90.0	19.54
06/30/2017	SMITH, BETTY	JNL PERSPECTIVE L	36,719.25	42.84	0.00	42.84	90.0	38.56
06/30/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	11,666.00	9.72	0.00	9.72	90.0	8.75
06/30/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	33,426.58	27.86	0.00	27.86	90.0	25.07
06/30/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	31,215.20	26.01	0.00	26.01	90.0	23.41
06/30/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	32,389.95	26.99	0.00	26.99	90.0	24.29
06/30/2017	SPERER, MARION	JNL PERSPECTIVE L	23,825.95	19.85	0.00	19.85	90.0	17.87
06/30/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	9,110.98	10.63	0.00	10.63	90.0	9.57
06/30/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	23,518.40	27.44	0.00	27.44	90.0	24.70
06/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	31,212.74	33.81	0.00	33.81	90.0	30.43
06/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	29,242.33	31.68	0.00	31.68	90.0	28.51
06/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	30,527.07	25.44	0.00	25.44	90.0	22.90
06/30/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	55,240.60	64.45	0.00	64.45	90.0	58.01
06/30/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	143,751.14	119.79	0.00	119.79	90.0	107.81
06/30/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	138,825.42	115.69	0.00	115.69	90.0	104.12
06/30/2017	RACK, JOAN	JNL PERSPECTIVE L	142,255.85	154.11	0.00	154.11	90.0	138.70
06/30/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	105,259.94	87.72	0.00	87.72	90.0	78.95
06/30/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,594.34	58.83	0.00	58.83	90.0	52.95
06/30/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	169,093.23	140.91	0.00	140.91	90.0	126.82
06/30/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	205,222.37	171.02	0.00	171.02	90.0	153.92
06/30/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	57,316.73	66.87	0.00	66.87	90.0	60.18
06/30/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	100,226.93	116.93	0.00	116.93	90.0	105.24
06/30/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	77,861.24	64.88	0.00	64.88	90.0	58.39
06/30/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	49,190.01	40.99	0.00	40.99	90.0	36.89

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	SHELDON, MARK	JNL PERSPECTIVE L	95,613.43	111.55	0.00	111.55	90.0	100.40
06/30/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	379,505.88	316.25	0.00	316.25	90.0	284.63
06/30/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	634,035.92	739.71	0.00	739.71	90.0	665.74
06/30/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	138,714.12	161.83	0.00	161.83	90.0	145.65
06/30/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	105,394.67	122.96	0.00	122.96	90.0	110.66
06/30/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	224,008.86	261.34	0.00	261.34	90.0	235.21
06/30/2017	FALBO, DIANE	JNL PERSPECTIVE L	664,489.44	775.24	0.00	775.24	90.0	697.72
06/30/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	192,107.85	224.13	0.00	224.13	90.0	201.72
06/30/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	359,570.69	419.50	0.00	419.50	90.0	377.55
06/30/2017	HARRIS, BILLY	JNL PERSPECTIVE L	279,088.00	325.60	0.00	325.60	90.0	293.04
06/30/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	22,054.15	18.38	0.00	18.38	90.0	16.54
06/30/2017	WAYO, DARLENE	JNL PERSPECTIVE L	69,467.73	57.89	0.00	57.89	90.0	52.10
06/30/2017	HOSS, GAIL	JNL PERSPECTIVE L	74,829.39	87.30	0.00	87.30	90.0	78.57
06/30/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	59,232.19	49.36	0.00	49.36	90.0	44.42
06/30/2017	PETERSEN, THOMAS	JNL PERSPECTIVE L	104,930.53	87.44	0.00	87.44	90.0	78.70
06/30/2017	STARK, GLORIA	JNL PERSPECTIVE L	61,171.60	50.98	0.00	50.98	90.0	45.88
06/30/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	241,690.19	201.41	0.00	201.41	90.0	181.27
06/30/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	309,186.20	257.66	0.00	257.66	90.0	231.89
06/30/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	30,511.76	25.43	0.00	25.43	90.0	22.89
06/30/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	220,420.42	183.68	0.00	183.68	90.0	165.31
06/30/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	110,398.61	92.00	0.00	92.00	90.0	82.80
06/30/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	391,397.46	456.63	0.00	456.63	90.0	410.97
06/30/2017	HALL, MICHAEL	JNL PERSPECTIVE L	129,456.58	107.88	0.00	107.88	90.0	97.09
06/30/2017	BARRETT, PERRY	JNL PERSPECTIVE L	149,506.94	124.59	0.00	124.59	90.0	112.13
06/30/2017	ZUPFER, JO	JNL PERSPECTIVE L	91,312.07	76.09	0.00	76.09	90.0	68.48
06/30/2017	BECKER, JOHN	JNL PERSPECTIVE L	120,989.73	100.82	0.00	100.82	90.0	90.74
06/30/2017	BECKER, JOHN	JNL PERSPECTIVE L	149,809.81	124.84	0.00	124.84	90.0	112.36
06/30/2017	HALL, MICHAEL	JNL PERSPECTIVE L	130,660.59	108.88	0.00	108.88	90.0	97.99
06/30/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	120,902.71	100.75	0.00	100.75	90.0	90.68
06/30/2017	STEPHENS, CAROL	JNL PERSPECTIVE L	358,596.14	298.83	0.00	298.83	90.0	268.95
06/30/2017	GLENNAN, DONALD	JNL PERSPECTIVE L	344,881.29	287.40	0.00	287.40	90.0	258.66
06/30/2017	CHAPMAN, GINGER	METLIFE SERIES L	15,100.33	37.75	0.00	37.75	90.0	33.98
06/30/2017	DENNE, FRANCES	PRU AS APEX II	60,326.51	113.11	0.00	113.11	90.0	101.80

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
06/30/2017	DENNE, FRANCES	PRU AS APEX II	60,326.51	37.70	0.00	37.70	90.0	33.93
06/30/2017	HOOTEN, AUDREY	PRU AS APEX II	64,591.55	121.11	0.00	121.11	90.0	109.00
06/30/2017	HOOTEN, AUDREY	PRU AS APEX II	64,591.55	40.37	0.00	40.37	90.0	36.33
06/30/2017	GAFKA, DEBORAH	PRU AS APEX II	146,222.11	365.56	0.00	365.56	90.0	329.00
06/30/2017	GAFKA, DEBORAH	PRU AS APEX II	146,222.11	91.39	0.00	91.39	90.0	82.25
06/30/2017	FALBO, LEWIS	PRU AS APEX II	178,428.11	446.07	0.00	446.07	90.0	401.46
06/30/2017	FALBO, LEWIS	PRU AS APEX II	178,428.11	111.52	0.00	111.52	90.0	100.37
06/30/2017	FALBO, LEWIS	PRU AS APEX II	171,435.67	428.59	0.00	428.59	90.0	385.73
06/30/2017	FALBO, LEWIS	PRU AS APEX II	171,435.67	107.15	0.00	107.15	90.0	96.44
06/30/2017	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	150,269.57	93.92	0.00	93.92	90.0	84.53
06/30/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,405.62	8.51	0.00	8.51	90.0	7.66
06/26/2017	ROGERS, WILMA	RELIASTAR LIFE PROTECTOR ELITE	5,231.20	5.23	0.00	5.23	90.0	4.71
07/05/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
06/03/2017	KOBER, GARY	TRANSAMERICA LIBERTY	212,698.76	177.25	0.00	177.25	90.0	159.53
06/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	163,101.47	135.92	0.00	135.92	90.0	122.33
06/12/2017	KLUENDER, MARK	TRANSAMERICA LIBERTY	134,391.86	111.99	0.00	111.99	90.0	100.79
06/12/2017	BERNHARDT, ROBERT	TRANSAMERICA LIBERTY	158,273.45	131.89	0.00	131.89	90.0	118.70
06/12/2017	KLUENDER, KURT	TRANSAMERICA LIBERTY	127,116.23	105.93	0.00	105.93	90.0	95.34
06/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	109,260.47	91.05	0.00	91.05	90.0	81.95
06/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	316,038.97	263.37	0.00	263.37	90.0	237.03
06/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	79,415.41	66.18	0.00	66.18	90.0	59.56
06/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	34,180.88	28.48	0.00	28.48	90.0	25.63
06/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	32,980.14	27.48	0.00	27.48	90.0	24.73
06/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,162.28	32.64	0.00	32.64	90.0	29.38
06/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	39,550.60	32.96	0.00	32.96	90.0	29.66
06/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,041.78	0.87	0.00	0.87	90.0	0.78
06/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,188.64	0.99	0.00	0.99	90.0	0.89
06/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	180,474.90	150.40	0.00	150.40	90.0	135.36
06/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	64,602.68	53.84	0.00	53.84	90.0	48.46
06/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	156,556.49	130.46	0.00	130.46	90.0	117.41
06/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	180,114.05	150.10	0.00	150.10	90.0	135.09
* VARIABLE ANNUITIES SUBTOTAL *			\$14,286,743.56	\$14,137.96	\$0.00	\$14,137.96		\$12,724.25

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/19/2017	AULER, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.06	0.00	28.06	90.0	25.25
06/14/2017	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	5.62	0.00	5.62	90.0	5.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.68	\$0.00	\$33.68		\$30.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/0147	RYAN, CINDY	LFG TRAILS	31,571.21	78.93	0.00	78.93	90.0	71.04
06/30/2017	HALL, SUZANNE	LFG TRAILS	46,966.91	117.42	0.00	117.42	90.0	105.68
06/30/2017	HAMILTON, RONALD	LFG TRAILS	321,651.37	804.13	0.00	804.13	90.0	723.72
06/30/2017	WAYO, DARLENE	LFG TRAILS	164,546.96	411.37	0.00	411.37	90.0	370.23
06/30/2017	ROBERTSON, MARTIN	LFG TRAILS	70,275.51	175.69	0.00	175.69	90.0	158.12
06/30/2017	BIRKHOLZ, WILLIAM	LFG TRAILS	70,714.09	176.79	0.00	176.79	90.0	159.11
07/05/2017	JOHNSON, ROBERT	ADVANTAGE	0.00	72.98	0.00	72.98	90.0	65.68
07/05/2017	JOHNSON, ROBERT	ADVANTAGE	0.00	52.34	0.00	52.34	90.0	47.11
07/01/2017	HOOTEN, ROBERT	ING ACCESS	0.00	330.35	0.00	330.35	90.0	297.32
06/19/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	123.51	0.00	123.51	90.0	111.16
07/02/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	122.80	0.00	122.80	90.0	110.52
07/04/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	79.96	0.00	79.96	90.0	71.96
07/01/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	32.60	0.00	32.60	90.0	29.34
07/01/2017	VILLASENOR, ANA MARIE	ING PREMIUM PLUS	0.00	4.58	0.00	4.58	90.0	4.12
07/01/2017	VILLASENOR, PEDRO	ING PREMIUM PLUS	0.00	5.08	0.00	5.08	90.0	4.57
06/30/2017	RUSCH, ROGER	LINCOLN BENEFIT LIFE	102,275.93	255.69	0.00	255.69	90.0	230.12
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$808,001.98	\$2,844.22	\$0.00	\$2,844.22		\$2,559.80
*** BROKER TRANSACTIONS TOTAL ***			\$15,144,795.54	\$20,518.36	\$0.00	\$20,518.36		\$18,466.61

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

07/01/2017	DENEK, SABINA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.13	0.00	0.13	90.0	0.12
07/01/2017	STELMASIAK, BERENIKA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	90.0	0.02
07/01/2017	KOENIG, ANNA	MFS AGGRESSIVE GRWTH ALLOC FD-529B	0.00	0.11	0.00	0.11	90.0	0.10
07/01/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	0.00	0.20	0.00	0.20	90.0	0.18
07/01/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	0.00	0.78	0.00	0.78	90.0	0.70
07/01/2017	MARSZALEK, ZOFIA	MFS BLENDED RESEARCH CORE FD B	0.00	1.51	0.00	1.51	90.0	1.36
07/01/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	0.00	0.31	0.00	0.31	90.0	0.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
07/01/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	0.00	1.05	0.00	1.05	90.0	0.95
07/01/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	0.00	1.09	0.00	1.09	90.0	0.98
07/01/2017	DABROWSKI, PAUL	MFS BOND FUND B	0.00	0.71	0.00	0.71	90.0	0.64
07/01/2017	SWIDERSKA, HELENA	MFS CONSERVATIVE ALLOCATION A	0.00	0.89	0.00	0.89	90.0	0.80
07/01/2017	STRZECKA, SYLWIA	MFS CONSERVATIVE ALLOCATION B	0.00	0.04	0.00	0.04	90.0	0.04
07/01/2017	SZWEDO, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	0.00	0.41	0.00	0.41	90.0	0.37
07/01/2017	DENEK, SABINA	MFS DISCOVERY B	0.00	0.12	0.00	0.12	90.0	0.11
07/01/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	0.00	4.65	0.00	4.65	90.0	4.19
07/01/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	0.00	0.18	0.00	0.18	90.0	0.16
07/01/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.86	0.00	0.86	90.0	0.77
07/01/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	0.00	0.90	0.00	0.90	90.0	0.81
07/01/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.04	0.00	0.04	90.0	0.04
07/01/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.04	0.00	0.04	90.0	0.04
07/01/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	0.00	0.53	0.00	0.53	90.0	0.48
07/01/2017	STRZECKA, SYLWIA	MFS GROWTH ALLOCATION B	0.00	0.06	0.00	0.06	90.0	0.05
07/01/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	0.00	0.76	0.00	0.76	90.0	0.68
07/01/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	0.00	1.02	0.00	1.02	90.0	0.92
07/01/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	0.00	1.06	0.00	1.06	90.0	0.95
07/01/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	SZWEDO, MALGORZATA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION B	0.00	0.67	0.00	0.67	90.0	0.60
07/01/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	0.00	0.06	0.00	0.06	90.0	0.05
07/01/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	0.00	0.48	0.00	0.48	90.0	0.43
07/01/2017	ZGAJEWSKI, BARBARA	MFS GROWTH ALLOCATION C	0.00	1.50	0.00	1.50	90.0	1.35
07/01/2017	WIKAR, TYMOTEUSZ	MFS GROWTH B	0.00	0.36	0.00	0.36	90.0	0.32
07/01/2017	PROTTO, CAMILLA	MFS GROWTH B	0.00	0.39	0.00	0.39	90.0	0.35
07/01/2017	SZAJEWSKI, REGINA	MFS GROWTH B	0.00	0.50	0.00	0.50	90.0	0.45
07/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.21	0.00	0.21	90.0	0.19
07/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.21	0.00	0.21	90.0	0.19

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<u>SPLIT TRANSACTIONS</u>								
BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172								
07/01/2017	CHOWANIEC, WOJCIECH	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.69	0.00	0.69	90.0	0.62
07/01/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.07	0.00	0.07	90.0	0.06
07/01/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	0.00	0.54	0.00	0.54	90.0	0.49
07/01/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	0.00	0.56	0.00	0.56	90.0	0.50
07/01/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	0.00	0.43	0.00	0.43	90.0	0.39
07/01/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	0.00	4.69	0.00	4.69	90.0	4.22
07/01/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	0.00	4.10	0.00	4.10	90.0	3.69
07/01/2017	MEI, JENNIFER	MFS RESEARCH B	0.00	0.56	0.00	0.56	90.0	0.50
07/01/2017	DENEK, SABINA	MFS UTILITIES B	0.00	0.17	0.00	0.17	90.0	0.15
07/01/2017	MEI, JENNIFER	MFS UTILITIES B	0.00	0.49	0.00	0.49	90.0	0.44
07/01/2017	STRZECKA, SYLWIA	MFS UTILITIES B	0.00	0.07	0.00	0.07	90.0	0.06
07/01/2017	STELMASIAK, BERENIKA	MFS UTILITIES B	0.00	0.02	0.00	0.02	90.0	0.02
07/01/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	0.00	0.18	0.00	0.18	90.0	0.16
07/01/2017	DABROWSKI, PAUL	MFS UTILITIES B	0.00	0.72	0.00	0.72	90.0	0.65
07/01/2017	GUTT, MARTIN	MFS UTILITIES B	0.00	0.29	0.00	0.29	90.0	0.26
07/01/2017	WALCZYK, ANNA	MFS UTILITIES B	0.00	1.03	0.00	1.03	90.0	0.93
07/01/2017	WALCZYK, MAREK	MFS UTILITIES B	0.00	1.07	0.00	1.07	90.0	0.96
07/01/2017	WALCZYK, SABRINA	MFS UTILITIES B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	TULEWICZ, KRYSZYNA	MFS UTILITIES B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	FELINSKI, DOROTA	MFS UTILITIES B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	SZWEDO, MALGORZATA	MFS UTILITIES B	0.00	0.06	0.00	0.06	90.0	0.05
07/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	0.00	0.25	0.00	0.25	90.0	0.23
07/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	0.00	0.24	0.00	0.24	90.0	0.22
07/01/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES B	0.00	0.67	0.00	0.67	90.0	0.60
07/01/2017	WIKAR, SYLVIA	MFS UTILITIES B	0.00	0.06	0.00	0.06	90.0	0.05
07/01/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	0.00	0.45	0.00	0.45	90.0	0.41
07/01/2017	ZGAJEWSKI, BARBARA	MFS UTILITIES C	0.00	1.44	0.00	1.44	90.0	1.30
07/01/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	0.00	9.45	0.00	9.45	90.0	8.51
07/01/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	0.00	7.14	0.00	7.14	90.0	6.43
07/01/2017	STELMASIAK, BERENIKA	MFS VALUE B	0.00	0.02	0.00	0.02	90.0	0.02
07/01/2017	WALCZYK, ANNA	MFS VALUE B	0.00	1.05	0.00	1.05	90.0	0.95
07/01/2017	WALCZYK, MAREK	MFS VALUE B	0.00	1.09	0.00	1.09	90.0	0.98
07/01/2017	WALCZYK, SABRINA	MFS VALUE B	0.00	0.06	0.00	0.06	90.0	0.05

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
07/01/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	FELINSKI, DOROTA	MFS VALUE B	0.00	0.05	0.00	0.05	90.0	0.05
07/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	0.00	0.22	0.00	0.22	90.0	0.20
07/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	0.00	0.21	0.00	0.21	90.0	0.19
07/01/2017	CHOWANIEC, WOJCIECH	MFS VALUE B	0.00	0.70	0.00	0.70	90.0	0.63
07/01/2017	WIKAR, SYLVIA	MFS VALUE B	0.00	0.07	0.00	0.07	90.0	0.06
07/01/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	0.00	0.46	0.00	0.46	90.0	0.41
07/01/2017	WIKAR, TYMOTEUSZ	MFS VALUE B	0.00	0.36	0.00	0.36	90.0	0.32
07/01/2017	PROTTO, CAMILLA	MFS VALUE B	0.00	0.38	0.00	0.38	90.0	0.34
07/01/2017	SZAJEWSKI, REGINA	MFS VALUE B	0.00	0.29	0.00	0.29	90.0	0.26
07/01/2017	WALUSZKO, SYLWIA	MFS VALUE C	0.00	4.56	0.00	4.56	90.0	4.10
07/03/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	0.00	1.67	0.00	1.67	90.0	1.50
/ /	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	1.25	0.00	1.25	90.0	1.13
06/12/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.05	0.00	1.05	90.0	0.95
/ /	MROCKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	5.12	0.00	5.12	90.0	4.61
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$100.00	\$76.40	\$0.00	\$76.40		\$68.82
*** SPLIT TRANSACTIONS TOTAL ***			\$100.00	\$76.40	\$0.00	\$76.40		\$68.82

VERRIDE TRANSACTIONS

<u>BROKER: R121-RICHARD RODRIGUEZ #88</u>								
06/30/2017	CAPSTRAW, RONALD	JNL PERSPECTIVE L	383,842.20	319.86	0.00	319.86	0.0	287.87
06/30/2017	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	226,420.51	188.68	0.00	188.68	0.0	169.81
* R121-RICHARD RODRIGUEZ SUBTOTAL *			\$610,262.71	\$508.54	\$0.00	\$508.54		\$457.68
<u>BROKER: MICHAEL VENTURINI #127</u>								
06/30/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,714.86	17.26	0.00	17.26	0.0	15.53
06/30/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,736.11	13.95	0.00	13.95	0.0	12.56
* MICHAEL VENTURINI SUBTOTAL *			\$37,450.97	\$31.21	\$0.00	\$31.21		\$28.09
<u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u>								
06/26/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
06/26/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
06/26/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u>								
06/26/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
07/01/2017	KISSH, BARBARA	MFS CORE EQUITY A	0.00	1.54	0.00	1.54	0.0	1.39
 * DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL * 			\$175.00	\$4.55	\$0.00	\$4.55		\$4.09
<u> BROKER: HALINA WIKAR #171 </u>								
07/01/2017	WIKAR, HALINA	MFS LIFETIME 2050 FUND C	0.00	46.68	0.00	46.68	55.0	16.34
07/01/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	4.90	0.00	4.90	55.0	1.72
07/01/2017	WIKAR, MAGDALENA	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	2.67	0.00	2.67	55.0	0.93
07/01/2017	ROMANEK, ELIZABETH	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	4.73	0.00	4.73	55.0	1.66
07/01/2017	TARCZYNSKI, LESTER	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	26.78	0.00	26.78	55.0	9.37
07/01/2017	KWAK, ANNA	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	20.40	0.00	20.40	55.0	7.14
07/01/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	0.00	11.52	0.00	11.52	55.0	4.03
07/01/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	13.85	0.00	13.85	55.0	4.85
07/01/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	0.00	4.13	0.00	4.13	55.0	1.45
07/01/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	7.44	0.00	7.44	55.0	2.60
07/01/2017	KRELLER, KRYSZYNA	MFS BLENDED RESEARCH CORE C	0.00	1.68	0.00	1.68	55.0	0.59
07/01/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	0.00	11.63	0.00	11.63	55.0	4.07
07/01/2017	MADRZYK, PIOTR	MFS BLENDED RESEARCH CORE C	0.00	5.86	0.00	5.86	55.0	2.05
07/01/2017	PIETRZYK, JAN	MFS BLENDED RESEARCH CORE C	0.00	8.39	0.00	8.39	55.0	2.94
07/01/2017	PIETRZYK, DOROTA	MFS BLENDED RESEARCH CORE C	0.00	8.39	0.00	8.39	55.0	2.94
07/01/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	0.00	2.12	0.00	2.12	55.0	0.74
07/03/2017	KRELLER, KRYSZYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.14
07/03/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	55.0	0.63
07/01/2017	KURILO SEP PLAN, EVA	MFS BLENDED RESEARCH CORE FD B	0.00	4.75	0.00	4.75	55.0	1.66
07/01/2017	GRESZTA, LIDIA	MFS BOND FUND B	0.00	4.28	0.00	4.28	55.0	1.50
07/01/2017	KURILO SEP PLAN, EVA	MFS BOND FUND B	0.00	6.98	0.00	6.98	55.0	2.44
07/01/2017	ZGAJEWSKI, MARIUSZ	MFS BOND FUND B	0.00	2.90	0.00	2.90	55.0	1.02
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.36	0.00	0.36	55.0	0.13
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.74	0.00	0.74	55.0	0.26
07/01/2017	MROCZKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION A	0.00	21.63	0.00	21.63	55.0	7.57
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	1.49	0.00	1.49	55.0	0.52
07/01/2017	MROCZKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION B	0.00	2.10	0.00	2.10	55.0	0.74
07/01/2017	DANEK MD SEP PLAN, BEATA	MFS CONSERVATIVE ALLOCATION B	0.00	1.03	0.00	1.03	55.0	0.36
07/01/2017	JUSCINSKA, AGNIESZKA	MFS CONSERVATIVE ALLOCATION B	0.00	5.90	0.00	5.90	55.0	2.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
07/01/2017	JARZAREK, MAGDALENA	MFS CONSERVATIVE ALLOCATION B	0.00	2.80	0.00	2.80	55.0	0.98
07/01/2017	KURILO SEP PLAN, EVA	MFS CONSERVATIVE ALLOCATION B	0.00	1.14	0.00	1.14	55.0	0.40
07/01/2017	KONIECZNY, EWA	MFS CONSERVATIVE ALLOCATION FUND C	0.00	7.28	0.00	7.28	55.0	2.55
07/01/2017	MROCKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION FUND C	0.00	7.23	0.00	7.23	55.0	2.53
07/01/2017	CCJ CONSULTING CO SEP PLA	MFS CORE EQUITY A	0.00	1.33	0.00	1.33	55.0	0.47
07/01/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY A	0.00	2.48	0.00	2.48	55.0	0.87
07/01/2017	BOSOWSKI, EWA	MFS CORE EQUITY C	0.00	17.56	0.00	17.56	55.0	6.15
07/01/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY C	0.00	13.58	0.00	13.58	55.0	4.75
07/01/2017	ZGAJEWSKI, MARIUSZ	MFS DISCOVERY B	0.00	2.53	0.00	2.53	55.0	0.89
07/01/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	0.00	16.42	0.00	16.42	55.0	5.75
07/01/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	0.00	13.50	0.00	13.50	55.0	4.73
07/01/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	0.00	32.40	0.00	32.40	55.0	11.34
07/01/2017	KOZIOL SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	8.52	0.00	8.52	55.0	2.98
07/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	2.04	0.00	2.04	55.0	0.71
07/01/2017	KOZIOL SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	53.21	0.00	53.21	55.0	18.62
07/01/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	0.00	2.66	0.00	2.66	55.0	0.93
07/01/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	0.00	11.05	0.00	11.05	55.0	3.87
07/01/2017	CHOWANIEC, WOJCIECH	MFS DIVERSIFIED INCOME FUND C	0.00	13.87	0.00	13.87	55.0	4.85
07/01/2017	MADRZYK, PIOTR	MFS DIVERSIFIED INCOME FUND C	0.00	5.55	0.00	5.55	55.0	1.94
07/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	2.04	0.00	2.04	55.0	0.71
07/03/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	55.0	0.63
07/01/2017	MALYSZ TRUCKING INC SEP P	MFS EMERGINF MARKETS EQUITY FUND C	0.00	18.94	0.00	18.94	55.0	6.63
07/01/2017	WAL, SEBASTIAN	MFS EMERGINF MARKETS EQUITY FUND C	0.00	3.27	0.00	3.27	55.0	1.14
07/01/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	14.31	0.00	14.31	55.0	5.01
07/01/2017	THOMAS, SYLVIA	MFS EMERGINF MARKETS EQUITY FUND C	0.00	12.89	0.00	12.89	55.0	4.51
07/01/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	7.99	0.00	7.99	55.0	2.80
07/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	0.00	3.17	0.00	3.17	55.0	1.11
07/01/2017	VITALIDEX CORP SEP PLAN	MFS EMERGING MARKETS DEBT FUND B	0.00	4.94	0.00	4.94	55.0	1.73
07/01/2017	KURILO SEP PLAN, EVA	MFS EMERGING MARKETS DEBT FUND B	0.00	2.32	0.00	2.32	55.0	0.81
07/03/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	0.70
07/01/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MARKETS EQUITY A	0.00	1.70	0.00	1.70	55.0	0.60
07/01/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	0.00	5.24	0.00	5.24	55.0	1.83
07/01/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	0.00	13.89	0.00	13.89	55.0	4.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
07/01/2017	WIKAR, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.58	0.00	3.58	55.0	1.25
07/01/2017	SYNOWIEC, LESZEK	MFS EMERGING MKTS DEBT FUND C	0.00	8.43	0.00	8.43	55.0	2.95
07/01/2017	SYNOWIEC, JADWIGA	MFS EMERGING MKTS DEBT FUND C	0.00	14.91	0.00	14.91	55.0	5.22
07/01/2017	MARSZALEK, ZOFIA	MFS EMERGING MKTS DEBT FUND C	0.00	10.05	0.00	10.05	55.0	3.52
07/01/2017	KOPERDOWSKI, BEATA	MFS EMERGING MKTS DEBT FUND C	0.00	8.66	0.00	8.66	55.0	3.03
07/01/2017	KOPERDOWSKI, PIOTR	MFS EMERGING MKTS DEBT FUND C	0.00	8.66	0.00	8.66	55.0	3.03
07/01/2017	KOZIOL SIMPLE PLAN,	MFS EMERGING MKTS DEBT FUND C	0.00	32.39	0.00	32.39	55.0	11.34
07/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	21.24	0.00	21.24	55.0	7.43
07/01/2017	GRESZTA, LIDIA	MFS EMERGING MKTS DEBT FUND C	0.00	61.39	0.00	61.39	55.0	21.49
07/01/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	0.00	12.53	0.00	12.53	55.0	4.39
07/01/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	12.72	0.00	12.72	55.0	4.45
07/01/2017	BECKER, KARINA	MFS EMERGING MKTS DEBT FUND C	0.00	9.44	0.00	9.44	55.0	3.30
07/01/2017	PIETRZYK, JAN	MFS EMERGING MKTS DEBT FUND C	0.00	9.14	0.00	9.14	55.0	3.20
07/01/2017	PIETRZYK, DOROTA	MFS EMERGING MKTS DEBT FUND C	0.00	11.29	0.00	11.29	55.0	3.95
07/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	22.71	0.00	22.71	55.0	7.95
07/01/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	18.29	0.00	18.29	55.0	6.40
07/01/2017	KOPYTKO, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	1.36	0.00	1.36	55.0	0.48
07/01/2017	ROMANEK, ELIZABETH	MFS EMERGING MKTS DEBT FUND C	0.00	0.50	0.00	0.50	55.0	0.18
07/01/2017	GRZEDA, ZBIGNIEW	MFS EMERGING MKTS DEBT FUND C	0.00	29.15	0.00	29.15	55.0	10.20
07/01/2017	KRELLER, KRYSZYNA	MFS EMERGING MKTS DEBT FUND C	0.00	26.21	0.00	26.21	55.0	9.17
07/01/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	17.67	0.00	17.67	55.0	6.18
07/01/2017	ZIEMBINSKI, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	8.15	0.00	8.15	55.0	2.85
07/01/2017	MALYSZ (A MINOR), MICHALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.93	0.00	3.93	55.0	1.38
07/01/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	15.21	0.00	15.21	55.0	5.32
07/01/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	0.00	6.75	0.00	6.75	55.0	2.36
07/03/2017	KRELLER, KRYSZYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.14
07/01/2017	KURILO SEP PLAN, EVA	MFS GLOBAL ALTERNATIVE STRATEGY FD	0.00	0.92	0.00	0.92	55.0	0.32
07/01/2017	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	0.00	165.47	0.00	165.47	55.0	57.91
07/01/2017	MALYSZ, JERZY	MFS GLOBAL NEW DISCOVERY FUND C	0.00	19.99	0.00	19.99	55.0	7.00
07/01/2017	MALYSZ (A MINOR), MICHALINA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	1.25	0.00	1.25	55.0	0.44
07/01/2017	MALYSZ (A MINOR), ANNA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	16.61	0.00	16.61	55.0	5.81
07/01/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	0.00	2.26	0.00	2.26	55.0	0.79
07/01/2017	WAL, SEBASTIAN	MFS GOVERNMENT SECURITIES C	0.00	1.63	0.00	1.63	55.0	0.57

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
07/01/2017	CCJ CONSULTING CO SEP PLA	MFS GROWTH A	0.00	2.56	0.00	2.56	55.0	0.90
07/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	0.00	34.00	0.00	34.00	55.0	11.90
07/01/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION A	0.00	7.26	0.00	7.26	55.0	2.54
07/01/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION B	0.00	10.05	0.00	10.05	55.0	3.52
07/01/2017	KURILO SEP PLAN, EVA	MFS GROWTH ALLOCATION B	0.00	0.53	0.00	0.53	55.0	0.19
07/01/2017	MURZANSKI, ANDRZEJ	MFS GROWTH ALLOCATION C	0.00	17.22	0.00	17.22	55.0	6.03
07/01/2017	DZIEWIECKA, TERESA	MFS GROWTH ALLOCATION C	0.00	112.60	0.00	112.60	55.0	39.41
07/01/2017	WIKAR, HALINA	MFS GROWTH ALLOCATION C	0.00	23.11	0.00	23.11	55.0	8.09
07/01/2017	BOSOWSKI, JANUSZ	MFS GROWTH ALLOCATION C	0.00	16.10	0.00	16.10	55.0	5.64
07/01/2017	BOSOWSKI, EWA	MFS GROWTH ALLOCATION C	0.00	16.35	0.00	16.35	55.0	5.72
07/01/2017	KOPERDOWSKI, PIOTR	MFS GROWTH ALLOCATION C	0.00	15.37	0.00	15.37	55.0	5.38
07/01/2017	KOPERDOWSKI, BEATA	MFS GROWTH ALLOCATION C	0.00	15.37	0.00	15.37	55.0	5.38
07/01/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	92.62	0.00	92.62	55.0	32.42
07/01/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	39.38	0.00	39.38	55.0	13.78
07/01/2017	SWIDER, JULIA	MFS GROWTH ALLOCATION C	0.00	47.18	0.00	47.18	55.0	16.51
07/01/2017	WAL, SEBASTIAN	MFS GROWTH ALLOCATION C	0.00	5.63	0.00	5.63	55.0	1.97
07/01/2017	ZIEMBINSKI, JACEK	MFS GROWTH ALLOCATION C	0.00	4.42	0.00	4.42	55.0	1.55
07/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS GROWTH ALLOCATION C	0.00	8.36	0.00	8.36	55.0	2.93
07/01/2017	BUGAJ, ANNA	MFS GROWTH ALLOCATION C	0.00	8.90	0.00	8.90	55.0	3.12
07/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION C	0.00	5.03	0.00	5.03	55.0	1.76
07/01/2017	MADRZYK, PIOTR	MFS GROWTH ALLOCATION C	0.00	21.10	0.00	21.10	55.0	7.39
07/01/2017	MURZANSKI, EWA	MFS GROWTH ALLOCATION C	0.00	17.22	0.00	17.22	55.0	6.03
07/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	0.00	2.23	0.00	2.23	55.0	0.78
07/01/2017	DUSZCZYK, ALEC	MFS GROWTH ALLOCATION FUND -529B	0.00	14.12	0.00	14.12	55.0	4.94
07/03/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	0.70
07/01/2017	WIKAR, HALINA	MFS GROWTH C	0.00	1.84	0.00	1.84	55.0	0.64
07/01/2017	ZIEMBINSKI, JACEK	MFS GROWTH C	0.00	1.37	0.00	1.37	55.0	0.48
07/01/2017	CHADA, WIESLAW	MFS GROWTH C	0.00	4.60	0.00	4.60	55.0	1.61
07/01/2017	TARCZYNSKI, LESTER	MFS GROWTH C	0.00	13.30	0.00	13.30	55.0	4.66
07/01/2017	MADRZYK, PIOTR	MFS GROWTH C	0.00	5.21	0.00	5.21	55.0	1.82
07/01/2017	KURILO SEP PLAN, EVA	MFS HIGH INCOME FUND B	0.00	1.67	0.00	1.67	55.0	0.58
07/01/2017	VITALIDEX CORP SEP PLAN	MFS HIGH YIELD OPP FUND-B	0.00	3.08	0.00	3.08	55.0	1.08
07/01/2017	GRESZTA, LIDIA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	9.33	0.00	9.33	55.0	3.27

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: HALINA WIKAR #171</u>								
07/01/2017	GRESZTA, LIDIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.75	0.00	5.75	55.0	2.01
07/01/2017	MROCZKOWSKI, AGNES	MFS INTERNATIONAL DIVERSIFICATION A	0.00	25.02	0.00	25.02	55.0	8.76
07/01/2017	WIKAR, HALINA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	21.12	0.00	21.12	55.0	7.39
07/01/2017	MURZANSKI, ANDRZEJ	MFS INTERNATIONAL DIVERSIFICATION C	0.00	14.60	0.00	14.60	55.0	5.11
07/01/2017	BUGAJ, ANNA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	6.73	0.00	6.73	55.0	2.36
07/01/2017	GRZEDA, ZBIGNIEW	MFS INTERNATIONAL DIVERSIFICATION C	0.00	1.38	0.00	1.38	55.0	0.48
07/01/2017	ZIEMBINSKI, JACEK	MFS INTERNATIONAL DIVERSIFICATION C	0.00	6.87	0.00	6.87	55.0	2.40
07/01/2017	MADRZYK, PIOTR	MFS INTERNATIONAL DIVERSIFICATION C	0.00	21.06	0.00	21.06	55.0	7.37
07/01/2017	MURZANSKI, EWA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	14.60	0.00	14.60	55.0	5.11
07/01/2017	PIETRZYK, JAN	MFS INTERNATIONAL DIVERSIFICATION C	0.00	12.44	0.00	12.44	55.0	4.35
07/01/2017	PIETRZYK, DOROTA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	12.44	0.00	12.44	55.0	4.35
07/01/2017	THOMAS, SYLVIA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	5.70	0.00	5.70	55.0	2.00
07/01/2017	WAL, SEBASTIAN	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.63	0.00	3.63	55.0	1.27
07/01/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.72	0.00	3.72	55.0	1.30
07/01/2017	ZIEMBINSKI, JOANNA	MFS INTERNATIONAL VALUE FUND C	0.00	10.82	0.00	10.82	55.0	3.79
07/01/2017	VITALIDEX CORP SEP PLAN	MFS LIFETIME 2050 FUND B	0.00	5.97	0.00	5.97	55.0	2.09
07/01/2017	ZGAJEWSKI, MARIUSZ	MFS LIFETIME 2050 FUND B	0.00	2.85	0.00	2.85	55.0	1.00
07/01/2017	WIKAR, HALINA	MFS LIFETIME RETIREMENT INCOME FUND	0.00	38.03	0.00	38.03	55.0	13.31
07/01/2017	SWIDER, JULIA	MFS LIMITED MATURITY 529A	0.00	0.67	0.00	0.67	55.0	0.23
07/01/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	0.00	1.24	0.00	1.24	55.0	0.43
07/01/2017	DZIEWIECKA, TERESA	MFS LOW VOLATILITY GLOBAL EQUITY F	0.00	1.12	0.00	1.12	55.0	0.39
06/21/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
06/21/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
07/01/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	0.00	0.04	0.00	0.04	55.0	0.01
07/01/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	0.00	13.32	0.00	13.32	55.0	4.66
07/01/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	0.00	13.32	0.00	13.32	55.0	4.66
07/01/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.45	0.00	1.45	55.0	0.51
07/01/2017	BOSOWSKI, JANUSZ	MFS MASS INVESTORS GROWTH STOCK C	0.00	15.70	0.00	15.70	55.0	5.50
07/01/2017	BOSOWSKI, EWA	MFS MASS INVESTORS GROWTH STOCK C	0.00	15.95	0.00	15.95	55.0	5.58
07/01/2017	MARSZALEK, ZOFIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	5.02	0.00	5.02	55.0	1.76
07/01/2017	SYNOWIEC, JADWIGA	MFS MASS INVESTORS GROWTH STOCK C	0.00	9.44	0.00	9.44	55.0	3.30
07/01/2017	KOZIOL SIMPLE PLAN,	MFS MASS INVESTORS GROWTH STOCK C	0.00	82.68	0.00	82.68	55.0	28.94
07/01/2017	SWIDER, JULIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	12.75	0.00	12.75	55.0	4.46

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: HALINA WIKAR #171</u>								
07/01/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS TRUST A	0.00	1.50	0.00	1.50	55.0	0.53
07/01/2017	BOSOWSKI, JANUSZ	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	14.01	0.00	14.01	55.0	4.90
07/01/2017	BOSOWSKI, EWA	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	14.27	0.00	14.27	55.0	4.99
07/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	7.73	0.00	7.73	55.0	2.71
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.42	0.00	0.42	55.0	0.15
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.81	0.00	0.81	55.0	0.28
07/01/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION A	0.00	7.11	0.00	7.11	55.0	2.49
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION B	0.00	1.67	0.00	1.67	55.0	0.58
07/01/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION B	0.00	9.56	0.00	9.56	55.0	3.35
07/01/2017	DANEK MD SEP PLAN, BEATA	MFS MODERATE ALLOCATION B	0.00	1.12	0.00	1.12	55.0	0.39
07/01/2017	JUSCINSKA, AGNIESZKA	MFS MODERATE ALLOCATION B	0.00	6.41	0.00	6.41	55.0	2.24
07/01/2017	JARZAREK, MAGDALENA	MFS MODERATE ALLOCATION B	0.00	3.09	0.00	3.09	55.0	1.08
07/01/2017	DZIEWIECKA, TERESA	MFS MODERATE ALLOCATION C	0.00	106.27	0.00	106.27	55.0	37.19
07/01/2017	BOSOWSKI, JANUSZ	MFS MODERATE ALLOCATION C	0.00	14.60	0.00	14.60	55.0	5.11
07/01/2017	BOSOWSKI, EWA	MFS MODERATE ALLOCATION C	0.00	14.84	0.00	14.84	55.0	5.19
07/01/2017	KOPERDOWSKI, PIOTR	MFS MODERATE ALLOCATION C	0.00	13.74	0.00	13.74	55.0	4.81
07/01/2017	KOPERDOWSKI, BEATA	MFS MODERATE ALLOCATION C	0.00	13.74	0.00	13.74	55.0	4.81
07/01/2017	SYNOWIEC, LESZEK	MFS MODERATE ALLOCATION C	0.00	10.00	0.00	10.00	55.0	3.50
07/01/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	61.74	0.00	61.74	55.0	21.61
07/01/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	13.67	0.00	13.67	55.0	4.78
07/01/2017	SWIDER, JULIA	MFS MODERATE ALLOCATION C	0.00	28.40	0.00	28.40	55.0	9.94
07/01/2017	KRELLER, KRYSZYNA	MFS MODERATE ALLOCATION C	0.00	39.75	0.00	39.75	55.0	13.91
07/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MODERATE ALLOCATION C	0.00	7.99	0.00	7.99	55.0	2.80
07/01/2017	GRZYBEK, MARK	MFS MODERATE ALLOCATION C	0.00	22.96	0.00	22.96	55.0	8.04
07/03/2017	KRELLER, KRYSZYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.14
07/01/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY A	0.00	3.87	0.00	3.87	55.0	1.35
07/01/2017	BOSOWSKI, EWA	MFS NEW DISCOVERY C	0.00	21.36	0.00	21.36	55.0	7.48
07/01/2017	MALYSZ (A MINOR), MICHALINA	MFS NEW DISCOVERY C	0.00	5.08	0.00	5.08	55.0	1.78
07/01/2017	BECKER, KARINA	MFS NEW DISCOVERY C	0.00	15.07	0.00	15.07	55.0	5.27
07/01/2017	MALYSZ, JERZY	MFS NEW DISCOVERY C	0.00	20.01	0.00	20.01	55.0	7.00
07/01/2017	MALYSZ (A MINOR), ANNA	MFS NEW DISCOVERY C	0.00	4.07	0.00	4.07	55.0	1.42
07/01/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY C	0.00	10.08	0.00	10.08	55.0	3.53
06/21/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
06/21/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
07/01/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	0.00	22.23	0.00	22.23	55.0	7.78
07/01/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	0.00	18.43	0.00	18.43	55.0	6.45
07/01/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	0.00	18.43	0.00	18.43	55.0	6.45
07/01/2017	CCJ CONSULTING CO SEP PLA	MFS RESEARCH A	0.00	1.58	0.00	1.58	55.0	0.55
07/01/2017	GRESZTA, LIDIA	MFS RESEARCH BOND FUND B	0.00	5.02	0.00	5.02	55.0	1.76
07/01/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	0.00	15.52	0.00	15.52	55.0	5.43
07/01/2017	WIKAR, HALINA	MFS RESEARCH BOND FUND C	0.00	6.03	0.00	6.03	55.0	2.11
07/01/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	0.00	9.75	0.00	9.75	55.0	3.41
07/01/2017	KRELLER, KRYSZYNA	MFS RESEARCH BOND FUND C	0.00	12.09	0.00	12.09	55.0	4.23
07/03/2017	KRELLER, KRYSZYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.14
07/01/2017	BOSOWSKI, JANUSZ	MFS RESEARCH FUND-C	0.00	19.33	0.00	19.33	55.0	6.77
07/01/2017	BOSOWSKI, EWA	MFS RESEARCH FUND-C	0.00	19.59	0.00	19.59	55.0	6.86
07/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS RESEARCH FUND-C	0.00	7.94	0.00	7.94	55.0	2.78
07/01/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	0.00	4.56	0.00	4.56	55.0	1.60
07/01/2017	KURILO SEP PLAN, EVA	MFS STRATEGIC INCOME FUND B	0.00	1.61	0.00	1.61	55.0	0.56
07/01/2017	GABEROV, VASIL	MFS TECHNOLOGY FUND-C	0.00	17.21	0.00	17.21	55.0	6.02
07/01/2017	GABEROVA, STELLA	MFS TECHNOLOGY FUND-C	0.00	17.21	0.00	17.21	55.0	6.02
07/01/2017	GRESZTA, LIDIA	MFS TOTAL RETURN BOND FUND CLASS A	0.00	8.23	0.00	8.23	55.0	2.88
07/01/2017	WIKAR, HALINA	MFS TOTAL RETURN C	0.00	22.34	0.00	22.34	55.0	7.82
07/01/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	0.69	0.00	0.69	55.0	0.24
07/01/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	5.16	0.00	5.16	55.0	1.81
07/01/2017	GRESZTA, LIDIA	MFS UTILITIES A	0.00	7.14	0.00	7.14	55.0	2.50
07/01/2017	WIKAR, MAGDALENA	MFS UTILITIES A	0.00	2.95	0.00	2.95	55.0	1.03
07/01/2017	MROCZKOWSKI, AGNES	MFS UTILITIES A	0.00	31.07	0.00	31.07	55.0	10.87
07/01/2017	MALYSZ, JERZY	MFS UTILITIES B	0.00	4.15	0.00	4.15	55.0	1.45
07/01/2017	GRESZTA, LIDIA	MFS UTILITIES B	0.00	23.60	0.00	23.60	55.0	8.26
07/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	0.00	3.63	0.00	3.63	55.0	1.27
07/01/2017	MALYSZ (A MINOR), ANNA	MFS UTILITIES B	0.00	3.50	0.00	3.50	55.0	1.23
07/01/2017	MROCZKOWSKI, AGNES	MFS UTILITIES B	0.00	2.33	0.00	2.33	55.0	0.82
07/01/2017	CHREPTOWICZ, MALGORZATA	MFS UTILITIES B	0.00	3.02	0.00	3.02	55.0	1.06
07/01/2017	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	0.00	1.98	0.00	1.98	55.0	0.69
07/01/2017	JUSCINSKA, AGNIESZKA	MFS UTILITIES B	0.00	6.38	0.00	6.38	55.0	2.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
07/01/2017	JARZAREK, MAGDALENA	MFS UTILITIES B	0.00	3.25	0.00	3.25	55.0	1.14
07/01/2017	VITALIDEX CORP SEP PLAN	MFS UTILITIES B	0.00	6.01	0.00	6.01	55.0	2.10
07/01/2017	KURILO SEP PLAN, EVA	MFS UTILITIES B	0.00	10.98	0.00	10.98	55.0	3.84
07/01/2017	REHABILITATION SVS PC SP,	MFS UTILITIES B	0.00	0.02	0.00	0.02	55.0	0.01
07/01/2017	ZGAJEWSKI, MARIUSZ	MFS UTILITIES B	0.00	4.96	0.00	4.96	55.0	1.74
07/03/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	0.70
06/21/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
06/21/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
07/01/2017	GRZEDA, ZBIGNIEW	MFS UTILITIES C	0.00	13.84	0.00	13.84	55.0	4.84
07/01/2017	WILK, TEOFIL	MFS UTILITIES C	0.00	27.91	0.00	27.91	55.0	9.77
07/01/2017	KONIECZNY, EWA	MFS UTILITIES C	0.00	18.62	0.00	18.62	55.0	6.52
07/01/2017	KWAK, ANNA	MFS UTILITIES C	0.00	4.57	0.00	4.57	55.0	1.60
07/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS UTILITIES C	0.00	5.38	0.00	5.38	55.0	1.88
07/01/2017	MURZANSKI, ANDRZEJ	MFS UTILITIES C	0.00	36.50	0.00	36.50	55.0	12.78
07/01/2017	KOPERDOWSKI, BEATA	MFS UTILITIES C	0.00	17.43	0.00	17.43	55.0	6.10
07/01/2017	KOPERDOWSKI, PIOTR	MFS UTILITIES C	0.00	17.43	0.00	17.43	55.0	6.10
07/01/2017	MARSZALEK, ZOFIA	MFS UTILITIES C	0.00	18.74	0.00	18.74	55.0	6.56
07/01/2017	SYNOWIEC, JADWIGA	MFS UTILITIES C	0.00	22.00	0.00	22.00	55.0	7.70
07/01/2017	SZCZESNIAK, EWA	MFS UTILITIES C	0.00	4.43	0.00	4.43	55.0	1.55
07/01/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	0.00	6.75	0.00	6.75	55.0	2.36
07/01/2017	SYNOWIEC, LESZEK	MFS UTILITIES C	0.00	17.40	0.00	17.40	55.0	6.09
07/01/2017	WIKAR, HALINA	MFS UTILITIES C	0.00	97.15	0.00	97.15	55.0	34.00
07/01/2017	KRELLER, KRISTYNA	MFS UTILITIES C	0.00	25.18	0.00	25.18	55.0	8.81
07/01/2017	WAL, SEBASTIAN	MFS UTILITIES C	0.00	62.51	0.00	62.51	55.0	21.88
07/01/2017	BECKER, KARINA	MFS UTILITIES C	0.00	35.53	0.00	35.53	55.0	12.44
07/01/2017	ZIEMBINSKI, JACEK	MFS UTILITIES C	0.00	8.50	0.00	8.50	55.0	2.98
07/01/2017	WROBEL, JACEK	MFS UTILITIES C	0.00	1.30	0.00	1.30	55.0	0.46
07/01/2017	KOZIOL SIMPLE PLAN,	MFS UTILITIES C	0.00	97.10	0.00	97.10	55.0	33.99
07/01/2017	KOZIOL SIMPLE PLAN,	MFS UTILITIES C	0.00	23.00	0.00	23.00	55.0	8.05
07/01/2017	SWIDER, JULIA	MFS UTILITIES C	0.00	25.53	0.00	25.53	55.0	8.94
07/01/2017	TARCZYNSKI, LESTER	MFS UTILITIES C	0.00	102.45	0.00	102.45	55.0	35.86
07/01/2017	GRZYBEK, MARK	MFS UTILITIES C	0.00	37.08	0.00	37.08	55.0	12.98
07/01/2017	DOMANUS, MALGORZATA	MFS UTILITIES C	0.00	12.58	0.00	12.58	55.0	4.40

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
07/01/2017	BUGAJ, ANNA	MFS UTILITIES C	0.00	19.63	0.00	19.63	55.0	6.87
07/01/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES C	0.00	37.65	0.00	37.65	55.0	13.18
07/01/2017	BECKER, KARINA	MFS UTILITIES C	0.00	17.70	0.00	17.70	55.0	6.20
07/01/2017	WIKAR, TYMOTEUSZ	MFS UTILITIES C	0.00	15.18	0.00	15.18	55.0	5.31
07/01/2017	MADRZYK, PIOTR	MFS UTILITIES C	0.00	22.30	0.00	22.30	55.0	7.81
07/01/2017	MURZANSKI, EWA	MFS UTILITIES C	0.00	31.99	0.00	31.99	55.0	11.20
07/01/2017	PIETRZYK, JAN	MFS UTILITIES C	0.00	17.41	0.00	17.41	55.0	6.09
07/01/2017	PIETRZYK, DOROTA	MFS UTILITIES C	0.00	15.35	0.00	15.35	55.0	5.37
07/01/2017	KWAK, ANNA	MFS UTILITIES C	0.00	44.01	0.00	44.01	55.0	15.40
07/01/2017	THOMAS, SYLVIA	MFS UTILITIES C	0.00	23.55	0.00	23.55	55.0	8.24
07/01/2017	GABEROV, VASIL	MFS UTILITIES C	0.00	58.00	0.00	58.00	55.0	20.30
07/01/2017	GABEROVA, STELLA	MFS UTILITIES C	0.00	58.00	0.00	58.00	55.0	20.30
07/01/2017	MROCKOWSKI, AGNES	MFS UTILITIES C	0.00	7.60	0.00	7.60	55.0	2.66
07/01/2017	ZIEMBINSKI, JOANNA	MFS UTILITIES C	0.00	13.89	0.00	13.89	55.0	4.86
07/01/2017	POLLAK, TATIANA	MFS UTILITIES C	0.00	15.03	0.00	15.03	55.0	5.26
07/01/2017	POLLAK, WIESLAW	MFS UTILITIES C	0.00	15.03	0.00	15.03	55.0	5.26
07/01/2017	BOSOWSKI, JANUSZ	MFS UTILITIES C	0.00	21.41	0.00	21.41	55.0	7.49
07/01/2017	BOSOWSKI, EWA	MFS UTILITIES C	0.00	25.84	0.00	25.84	55.0	9.04
07/01/2017	MALYSZ (A MINOR), MICHALINA	MFS UTILITIES C	0.00	4.54	0.00	4.54	55.0	1.59
07/01/2017	TYLMAN, JOANNA	MFS UTILITIES C	0.00	25.60	0.00	25.60	55.0	8.96
07/01/2017	TYLMAN, PETER	MFS UTILITIES C	0.00	26.32	0.00	26.32	55.0	9.21
07/01/2017	JAROSZ, JACEK	MFS UTILITIES C	0.00	8.37	0.00	8.37	55.0	2.93
07/01/2017	JAROSZ, TERESA	MFS UTILITIES C	0.00	8.37	0.00	8.37	55.0	2.93
07/03/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.14
07/03/2017	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	55.0	0.63
07/01/2017	VITALIDEX CORP SEP PLAN	MFS VALUE B	0.00	0.50	0.00	0.50	55.0	0.18
07/01/2017	REHABILITATION SVS PC SP,	MFS VALUE B	0.00	0.08	0.00	0.08	55.0	0.03
07/01/2017	ZGAJEWSKI, MARIUSZ	MFS VALUE B	0.00	2.60	0.00	2.60	55.0	0.91
07/01/2017	MURZANSKI, ANDRZEJ	MFS VALUE C	0.00	5.28	0.00	5.28	55.0	1.85
07/01/2017	BOSOWSKI, JANUSZ	MFS VALUE C	0.00	21.35	0.00	21.35	55.0	7.47
07/01/2017	BOSOWSKI, EWA	MFS VALUE C	0.00	21.63	0.00	21.63	55.0	7.57
07/01/2017	SYNOWIEC, JADWIGA	MFS VALUE C	0.00	7.66	0.00	7.66	55.0	2.68
07/01/2017	WIKAR, HALINA	MFS VALUE C	0.00	40.09	0.00	40.09	55.0	14.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
07/01/2017	SWIDER, JULIA	MFS VALUE C	0.00	26.44	0.00	26.44	55.0	9.25
07/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS VALUE C	0.00	6.25	0.00	6.25	55.0	2.19
07/01/2017	CHADA, WIESLAW	MFS VALUE C	0.00	3.84	0.00	3.84	55.0	1.34
07/01/2017	ZIEMBINSKI, JACEK	MFS VALUE C	0.00	2.61	0.00	2.61	55.0	0.91
07/01/2017	DOMANUS, MALGORZATA	MFS VALUE C	0.00	1.97	0.00	1.97	55.0	0.69
07/01/2017	TYLMAN, PETER	MFS VALUE C	0.00	17.40	0.00	17.40	55.0	6.09
07/01/2017	TYLMAN, JOANNA	MFS VALUE C	0.00	18.95	0.00	18.95	55.0	6.63
07/01/2017	BECKER, KARINA	MFS VALUE C	0.00	5.81	0.00	5.81	55.0	2.03
07/01/2017	MURZANSKI, EWA	MFS VALUE C	0.00	5.28	0.00	5.28	55.0	1.85
* HALINA WIKAR SUBTOTAL *			\$1,230.00	\$4,403.29	\$0.00	\$4,403.29		\$1,541.19
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
07/03/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.07
07/03/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.07
07/03/2017	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.07
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$60.00	\$0.60	\$0.00	\$0.60		\$0.21
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
06/26/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
06/26/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
06/22/2017	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	42.80	0.00	42.80	0.0	38.52
06/22/2017	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	0.0	19.26
06/22/2017	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.12	0.00	17.12	0.0	15.41
06/22/2017	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	59.45	0.00	59.45	0.0	53.51
06/27/2017	WILLIAMS, MARCIA	COTTONWOOD RESIDENTIAL 2016 PREFR'D	75,000.00	5,250.00	0.00	5,250.00	0.0	4,725.00
07/05/2017	HELD, RONALD	ING LANDMARK	0.00	476.32	0.00	476.32	0.0	428.69
06/23/2017	WILLIAMS, MARCIA	SEALEY STRATEGIC EQUITY PARTNERS LP	75,000.00	5,250.00	0.00	5,250.00	0.0	4,725.00
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$150,075.00	\$11,118.59	\$0.00	\$11,118.59		\$10,006.74
<u>BROKER: MARK HUBER #395</u>								
07/10/2017	KELM, MARY	JNL PERSPECTIVE II 04/14	100,000.00	2,000.00	0.00	2,000.00	0.0	1,800.00
* MARK HUBER SUBTOTAL *			\$100,000.00	\$2,000.00	\$0.00	\$2,000.00		\$1,800.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$899,253.68	\$18,066.78	\$0.00	\$18,066.78		\$13,838.00

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<u>ADJUSTMENTS</u>								
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*FINRA: MEMBER DISC PROC FEE	0.00					-110.00
07/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
07/15/2017		*PERSHING: BOOKS AND RECORDS/RODRIG	0.00					-1.98
07/15/2017		*TECH: ADMIN - L JOCIUS	0.00					-100.00
07/15/2017		*TECH: ADMIN - RON HAMILTON	0.00					-100.00
07/15/2017		*TECH: ADMIN: JOHN ZUPEK	0.00					-100.00
07/15/2017		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-100.00
07/15/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-MAR	0.00					-275.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-RIC	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,356.98

BROKER COMMISSION TOTALS

Broker Transactions	\$18,466.61
Split Transactions	\$68.82
Override Transactions	\$13,838.00
Adjustments	\$-2,356.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$30,016.45
Year-to-date Gross	\$122,944.54
Year-to-date Earnings	\$180,800.37

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