



# COMMISSION STATEMENT

March 12, 2018

LOUIS HORWIN  
7670 E La Junta Rd  
Scottsdale, AZ 85255

BROKER #: A92  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/07/2018	***-**-648K - PARADY, STEPHEN	CALAMOS CONV &HIGH INCOME FD COM SH	26,165.43	298.00	58.58	239.42	50.0	119.71
	ACCOUNT #: LSR011461	SELL 2179 Units @12.0080						
03/07/2018	***-**-648K - PARADY, STEPHEN	CALAMOS CONV OPP AND INC FD SH BEN	22,390.80	223.26	54.70	168.56	50.0	84.28
	ACCOUNT #: LSR011461	BUY 1985 Units @11.2800						
03/07/2018	***-**-648K - PARADY, STEPHEN	CALAMOS CONV OPP AND INC FD SH BEN	2,479.40	24.74	4.40	20.34	50.0	10.17
	ACCOUNT #: LSR011461	BUY 220 Units @11.2700						
03/07/2018	***-**-648K - PARADY, STEPHEN	CORNERSTONE STRATEGIC VALUE FD COM	31,125.62	311.00	55.10	255.90	50.0	127.95
	ACCOUNT #: LSR011461	SELL 2005 Units @15.5240						
03/07/2018	***-**-648K - PARADY, STEPHEN	CORNERSTONE TOTAL RTRN FD INC COM P	31,231.91	312.00	55.18	256.82	50.0	128.41
	ACCOUNT #: LSR011461	BUY 2009 Units @15.5460						
* STOCKS SUBTOTAL *			<b>\$113,393.16</b>	<b>\$1,169.00</b>	<b>\$227.96</b>	<b>\$941.04</b>		<b>\$470.52</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$113,393.16</b>	<b>\$1,169.00</b>	<b>\$227.96</b>	<b>\$941.04</b>		<b>\$470.52</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$470.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>