



COMMISSION STATEMENT

February 05, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/22/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 08352452703	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/22/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08352452714	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
01/22/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 02154900346	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/22/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 02156080382	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
01/22/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 08251985687	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/22/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08152119953	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
01/22/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 08152121988	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/22/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07005702293	MAIN STREET FUND A BUY 0 Units @0.0000	41.25	1.96	0.00	1.96	75.0	1.47
02/05/2018	***-**-7319 - ROTONDI, LAURA	NYA JPM 529 MOD AGE-BASED A BUY 0 Units @0.0000	250.00	11.88	0.00	11.88	75.0	8.91
01/22/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07859297629	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
01/22/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08477443689	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
01/22/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 02514874144	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
01/16/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206550010	PIONEER FUND A BUY 9 Units @31.0300	270.83	8.12	0.00	8.12	75.0	6.09
01/16/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206550010	PIONEER GROWTH OPPORTUNITIES FUND A BUY 6 Units @44.9500	270.83	8.12	0.00	8.12	75.0	6.09
01/30/2018	***-**-3919 - CASTELLANO, ACCOUNT #: 06207028619	PIONEER INDEPENDENCE FUND A BUY 5 Units @20.5400	100.00	5.00	0.00	5.00	75.0	3.75
01/16/2018	***-**-30IR - BARBAGALLO, ACCOUNT #: 06206033026	PIONEER MID CAP VALUE FUND A BUY 4 Units @27.1100	100.00	3.00	0.00	3.00	75.0	2.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-0075 - MEHIT, LAURA	REAL ESTATE FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 05900733095	BUY 0 Units @0.0000						
01/22/2018	***-**-52IN - METZLER, JANET	SELECT VALUE FUND A	37.50	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 06000341495	BUY 0 Units @0.0000						
01/22/2018	***-**-52IN - METZLER, JANET	SMALL- & MID-CAP GROWTH FUND A	37.50	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 07210302126	BUY 0 Units @0.0000						
01/22/2018	***-**-4110 - KAVANAGH,	SMALL- & MID-CAP GROWTH FUND A	37.50	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: 07210729069	BUY 0 Units @0.0000						
01/22/2018	***-**-02IN - MANCHESTER,	SMALL- & MID-CAP GROWTH FUND A	75.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 07210729080	BUY 0 Units @0.0000						
01/22/2018	***-**-0075 - MEHIT, LAURA	SMALL- & MID-CAP GROWTH FUND A	21.25	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: 07210834460	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$1,716.66	\$68.30	\$0.00	\$68.30		\$51.27
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/05/2018	***-**-2479 - HALE,	BLACKROCK FUNDS	0.00	33.43	0.00	33.43	75.0	25.07
	ACCOUNT #: 49702509	BUY 0 Units @0.0000						
02/05/2018	***-**-4535 - RATHGABER,	BLACKROCK FUNDS	0.00	7.89	0.00	7.89	75.0	5.92
	ACCOUNT #: 36592400	BUY 0 Units @0.0000						
02/05/2018	***-**-528I - CALERARO,	BLACKROCK FUNDS	0.00	2.69	0.00	2.69	75.0	2.02
	ACCOUNT #: 4950382	BUY 0 Units @0.0000						
02/05/2018	***-**-5398 - PECAN, JEFFREY	BLACKROCK FUNDS	0.00	10.21	0.00	10.21	75.0	7.66
	ACCOUNT #: 36784882	BUY 0 Units @0.0000						
02/05/2018	***-**-7574 - CRUIOKSHANK,	BLACKROCK FUNDS	0.00	11.09	0.00	11.09	75.0	8.32
	ACCOUNT #: 44400034	BUY 0 Units @0.0000						
02/02/2018	***-**-2635 - HALE, JONATHAN	SALIENT-FORWARD FUNDS TRAILS	0.00	1.46	0.00	1.46	75.0	1.10
		BUY 0 Units @0.0000						
02/05/2018	***-**-70IR - CUTILLO, JAMES	SARATOGA TECHNOLOGY & COMM.CL A-NFS	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: LSR011648	BUY 1 Units @0.0000						
02/01/2018	***-**-3904 - DENNIS, CONNOR	VOYA TRAILS	0.00	0.34	0.00	0.34	75.0	0.26
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$67.84	\$0.00	\$67.84		\$50.90



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		*** BROKER TRANSACTIONS TOTAL ***	\$1,716.66	\$136.14	\$0.00	\$136.14		\$102.17
						<u>BROKER COMMISSION TOTALS</u>		
						Broker Transactions		\$102.17
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						<div><div>Net Commission Earned</div><div>\$102.17</div></div>		
						<div><div>Year-to-date Earnings</div><div>\$51.53</div></div>		