



All Companies
COMMISSION STATEMENT
April 02, 2018

MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
03/01/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
03/01/2018	HUDGINS/HYDINGER, CHRISTY/SUTTON	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
03/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
03/09/2018	TRAILS	-TEXAS 529 PLAN	B	4.83	4.83	0.00	4.83	90.0	4.35
03/09/2018	TRAILS	-TEXAS 529 PLAN	B	0.58	0.58	0.00	0.58	90.0	0.52
03/09/2018	TRAILS	-TEXAS 529 PLAN	B	11.25	11.25	0.00	11.25	90.0	10.13
03/12/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
03/12/2018	PARISH, RUSSELL/CAROLIN	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
03/05/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	123.00	4.61	0.00	4.61	90.0	4.15
03/05/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B	B	360.00	13.50	0.00	13.50	90.0	12.15
03/05/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	79.20	3.96	0.00	3.96	90.0	3.56
03/05/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	132.80	6.64	0.00	6.64	90.0	5.98
03/15/2018	000000000705804 STRAWSER, ROBERT K.	FRK TEMP COREFOLIO ALLOC FND CL - A B	B	200.00	10.00	0.00	10.00	90.0	9.00
03/05/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	91.20	4.56	0.00	4.56	90.0	4.10
03/05/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B	B	91.20	4.56	0.00	4.56	90.0	4.10
03/15/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
03/15/2018	000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	7.33	0.00	7.33	90.0	6.60
03/15/2018	000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
03/05/2018	000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	61.20	3.06	0.00	3.06	90.0	2.75

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/05/2018	000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	88.00	4.40	0.00	4.40	90.0	3.96
03/15/2018	000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
03/15/2018	000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
03/15/2018	000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	7.33	0.00	7.33	90.0	6.60
03/05/2018	000000005773748 DUNKIN, PHILLIP/COLBY	GROWTH ASSET ALLOC 13-16 YRS - CLAS B		50.00	1.40	0.00	1.40	90.0	1.26
03/05/2018	000000006174104 COTE, PATTY S.	FRK TEMP FOUNDING FUNDS ALLOC FND C B		250.00	12.50	0.00	12.50	90.0	11.25
03/15/2018	000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.60	0.00	1.60	90.0	1.44
03/05/2018	000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	560.00	15.68	0.00	15.68	90.0	14.11
03/05/2018	000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	57.60	1.61	0.00	1.61	90.0	1.45
03/05/2018	000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	42.24	1.58	0.00	1.58	90.0	1.42
03/05/2018	000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	107.52	4.03	0.00	4.03	90.0	3.63
03/05/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	43.20	1.21	0.00	1.21	90.0	1.09
03/05/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	420.00	11.76	0.00	11.76	90.0	10.58
03/05/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	80.64	3.02	0.00	3.02	90.0	2.72
03/05/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	31.68	1.19	0.00	1.19	90.0	1.07
03/15/2018	000000007430553 BLADEN, WILLIAM/BRYNJA	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32
03/15/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75
03/15/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.60	0.00	1.60	90.0	1.44
03/05/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	250.00	10.63	0.00	10.63	90.0	9.57
03/16/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
03/05/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
03/05/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	120.00	4.50	0.00	4.50	90.0	4.05
03/15/2018	00001827274 MARTIN,	LIFESTYLE MODERATE PORTFOLIO A	B	100.00	3.75	0.00	3.75	90.0	3.38

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	BECK/HEATHER								
03/05/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD	CL I B	43.20	1.21	0.00	1.21	90.0	1.09
03/05/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD	CL I B	420.00	11.76	0.00	11.76	90.0	10.58
03/05/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD	CL I B	80.64	3.02	0.00	3.02	90.0	2.72
03/05/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD	CL I B	31.68	1.19	0.00	1.19	90.0	1.07
03/15/2018	00002042873 MARTIN, BECK	US GLOBAL LEADERS GROWTH A	B	200.00	7.50	0.00	7.50	90.0	6.75
03/15/2018	00002108774 KIZA, EILEEN	LIFESTYLE BALANCED PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00002109067 KIZA, EILEEN	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
03/12/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME	FD CL B	253.16	7.22	0.00	7.22	90.0	6.50
03/12/2018	00002172270 HALL, HARRISON	JOHN HANCOCK GROWTH & INCOME	FD CL B	82.50	2.35	0.00	2.35	90.0	2.12
03/12/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	253.16	7.22	0.00	7.22	90.0	6.50
03/12/2018	00002172271 HALL, HARRISON	CLASSIC VALUE A	B	82.50	2.35	0.00	2.35	90.0	2.12
03/12/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	253.16	7.22	0.00	7.22	90.0	6.50
03/12/2018	00002172273 HALL, HARRISON	NEW OPPORTUNITIES A	B	82.50	2.35	0.00	2.35	90.0	2.12
03/12/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	126.58	3.61	0.00	3.61	90.0	3.25
03/12/2018	00002172274 HALL, HARRISON	GLOBAL SHAREHOLDER YIELD A	B	41.25	1.18	0.00	1.18	90.0	1.06
03/12/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
03/12/2018	00002172275 HALL, HARRISON	JOHN HANCOCK HIGH YLD BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
03/12/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	126.58	3.80	0.00	3.80	90.0	3.42
03/12/2018	00002172276 HALL, HARRISON	JOHN HANCOCK SOVEREIGN BD FD CL A	B	41.25	1.24	0.00	1.24	90.0	1.12
03/12/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	126.58	1.90	0.00	1.90	90.0	1.71
03/12/2018	00002172277 HALL, HARRISON	FLOATING RATE INCOME A	B	41.25	0.62	0.00	0.62	90.0	0.56
03/12/2018	00002172323 WIGGINS, ASHLEY	LIFESTYLE GROWTH PORTFOLIO A	B	138.75	3.95	0.00	3.95	90.0	3.56
03/12/2018	00002172323 WIGGINS, ASHLEY	LIFESTYLE GROWTH PORTFOLIO A	B	138.75	3.95	0.00	3.95	90.0	3.56
03/12/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	91.66	2.61	0.00	2.61	90.0	2.35
03/12/2018	00002174074 TERRY, BRANDI	LIFESTYLE GROWTH PORTFOLIO A	B	91.66	2.61	0.00	2.61	90.0	2.35
03/12/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A	B	113.00	3.22	0.00	3.22	90.0	2.90
03/12/2018	00002177456 KORKUTOVIC, SEVLIJA	LIFESTYLE MODERATE PORTFOLIO A	B	113.00	3.22	0.00	3.22	90.0	2.90
03/12/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	81.90	2.33	0.00	2.33	90.0	2.10
03/12/2018	00002393597 CUTSHALL, ALISON N.	LIFESTYLE GROWTH PORTFOLIO A	B	81.90	2.33	0.00	2.33	90.0	2.10
03/12/2018	00002393605 EUBANKS, STEPHANIE A.	LIFESTYLE GROWTH PORTFOLIO A	B	95.02	2.71	0.00	2.71	90.0	2.44

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/12/2018	00002393605	EUBANKS, STEPHANIE LIFESTYLE GROWTH PORTFOLIO A	B	95.00	2.71	0.00	2.71	90.0	2.44	
	A.									
03/05/2018	00052854186	HALL, HARRISON	PORTFOLIO 2017-2020A	B	500.00	18.75	0.00	18.75	90.0	16.88
03/15/2018	00052962457	BELCHER, JAMES	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
	W/JAMES C									
03/14/2018	00073345834	VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B	26.40	0.73	0.00	0.73	90.0	0.66	
03/14/2018	00073345834	VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B	26.32	0.72	0.00	0.72	90.0	0.65	
03/16/2018	00075397174	MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2018	00075979142	LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B	100.00	2.00	0.00	2.00	90.0	1.80	
03/16/2018	00081508155	MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
03/14/2018	00082140597	DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B	25.00	0.69	0.00	0.69	90.0	0.62	
03/14/2018	00082140597	DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B	26.40	0.73	0.00	0.73	90.0	0.66	
03/15/2018	00082630504	THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	2.50	0.00	2.50	90.0	2.25	
03/15/2018	00082697643	MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529 B	100.00	4.25	0.00	4.25	90.0	3.83	
03/15/2018	00082910467	BANKS, DENNIS	NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00	90.0	4.50
03/15/2018	00083124311	MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B	75.00	1.50	0.00	1.50	90.0	1.35	
03/15/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06	90.0	0.95
03/15/2018	00084124070	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
03/15/2018	00085752451	MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B	75.00	1.50	0.00	1.50	90.0	1.35	
03/16/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	74.40	2.79	0.00	2.79	90.0	2.51	
03/16/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B	148.81	5.58	0.00	5.58	90.0	5.02	
03/16/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	74.41	2.79	0.00	2.79	90.0	2.51
03/16/2018	00086824865	BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	148.80	5.58	0.00	5.58	90.0	5.02
03/15/2018	00087076225	PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B	50.00	2.13	0.00	2.13	90.0	1.92	
03/15/2018	00087416414	WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0	6.75
03/15/2018	00088409989	PARISH/ALLEN, VICTORIA/JULIE	COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
03/15/2018	00088439412	RICHARDSON, CLAY & COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75	
	BRIANA									
03/15/2018	00088439441	RICHARDSON, CLAY & COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75	
	BRIANA									
03/14/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	150.00	4.13	0.00	4.13	90.0	3.72
03/14/2018	00088625904	SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	81.89	2.25	0.00	2.25	90.0	2.03
03/14/2018	00088628251	GRINDELE, RUSSELL	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73	90.0	0.66

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
G.										
03/14/2018	00088628251	GRINDELE, RUSSELL	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73	90.0	0.66
G.										
03/16/2018	00089201070	PARISH, SAMMY/CALEB	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
03/14/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
03/14/2018	00089316769	JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
03/14/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93	90.0	1.74
03/14/2018	00089357575	CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	28.53	0.78	0.00	0.78	90.0	0.70
03/15/2018	00207266994	INGRAM, MEGAN	PORTFOLIO 2029-2032 A	B	50.00	2.13	0.00	2.13	90.0	1.92
L/MONDRAE										
03/05/2018	01471247542	OWENS, CATHERINE	FIDELITY ADV ASSET MANAGER 85%-CL A	B	458.33	22.92	0.00	22.92	90.0	20.63
J.										
03/15/2018	02000061964	MARTIN, BECK/REBECCA	-SCHOLARS EDGE 529 PLAN	B	0.00	3.00	0.00	3.00	90.0	2.70
03/15/2018	04000405006	HILLMAN, DUSTIN	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
03/15/2018	04718377567	MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A	B	458.33	18.33	0.00	18.33	90.0	16.50
03/05/2018	07000073711	MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO (B	229.16	9.17	0.00	9.17	90.0	8.25
03/05/2018	07000073711	MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY	B	229.17	9.17	0.00	9.17	90.0	8.25
03/15/2018	07004631807	INGRAM, MEGAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
/MORGHAN										
03/05/2018	08900188177	MINTER, MERIDITH	PRINCIPAL INVESTORS SAM CONSERV GRO	B	1,000.00	10.00	0.00	10.00	90.0	9.00
03/01/2018	5551032020	WHITT, CHAD M.	PORTFOLIO SERIES: EQUITY INVESTOR F	B	200.00	9.50	0.00	9.50	90.0	8.55
* MUTUAL FUNDS SUBTOTAL *					\$16,944.09	\$572.97	\$0.00	\$572.97		\$515.78
PRODUCT CATEGORY: STOCKS										
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES		B	118.39	118.39	0.00	118.39	90.0	106.55
* STOCKS SUBTOTAL *					\$118.39	\$118.39	\$0.00	\$118.39		\$106.55
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/15/2018	RICHARDSON, BRIANA	-JACKSON NATIONAL		B	5,000.00	62.50	0.00	62.50	90.0	56.25
* VARIABLE ANNUITIES SUBTOTAL *					\$5,000.00	\$62.50	\$0.00	\$62.50		\$56.25
PRODUCT CATEGORY: RIA										
01/12/2018	11567839	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	7.66	0.00	7.66	90.0	6.89
01/12/2018	11750216	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
01/12/2018	11754268	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0	18.90
01/12/2018	13004139	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	27.66	0.00	27.66	90.0	24.89
01/12/2018	14849603	SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	16.66	0.00	16.66	90.0	14.99
01/12/2018	15090246	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.34	0.00	10.34	90.0	9.31

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 15327103	PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	42.34	0.00	42.34	90.0	38.11
01/12/2018 15535924	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	773.00	0.00	773.00	90.0	695.70
01/12/2018 15961193	RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	141.66	0.00	141.66	90.0	127.49
01/12/2018 16731246	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
01/12/2018 16737554	BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
01/12/2018 16830093	HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,421.34	0.00	1,421.34	90.0	1,279.21
01/12/2018 19861374	GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
01/12/2018 20873922	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	36.34	0.00	36.34	90.0	32.71
01/12/2018 20966370	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	3.66	0.00	3.66	90.0	3.29
01/12/2018 21210794	KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	190.00	0.00	190.00	90.0	171.00
01/12/2018 21603998	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	73.66	0.00	73.66	90.0	66.29
01/12/2018 22006691	MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	591.66	0.00	591.66	90.0	532.49
01/12/2018 23822702	STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	307.00	0.00	307.00	90.0	276.30
01/12/2018 25342680	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
01/12/2018 26871153	VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	90.0	108.00
01/12/2018 27056511	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	155.66	0.00	155.66	90.0	140.09
01/12/2018 27336748	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34	90.0	20.11
01/12/2018 27636763	MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	90.0	17.69
01/12/2018 29148734	POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	173.00	0.00	173.00	90.0	155.70
01/12/2018 29861027	ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018 30118591	PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	187.00	0.00	187.00	90.0	168.30
01/12/2018 30212369	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	67.34	0.00	67.34	90.0	60.61
01/12/2018 30854428	HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	39.34	0.00	39.34	90.0	35.41
01/12/2018 30933785	JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
01/12/2018 31462737	BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	23.34	0.00	23.34	90.0	21.01
01/12/2018 31660678	SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66	90.0	8.69
01/12/2018 31805488	ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018 31811649	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.34	0.00	25.34	90.0	22.81
01/12/2018 32562790	SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	215.34	0.00	215.34	90.0	193.81
01/12/2018 33609517	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
03/15/2018 33752039	RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	417.00	0.00	417.00	90.0	375.30
01/12/2018 33996338	CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 34850080	RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	219.34	0.00	219.34	90.0	197.41
01/12/2018 36226079	PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
01/12/2018 36650710	GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018 36816725	PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	639.00	0.00	639.00	90.0	575.10
01/12/2018 37455579	HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018 37648579	LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
01/12/2018 38767645	LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	168.00	0.00	168.00	90.0	151.20
01/12/2018 38839950	CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	170.34	0.00	170.34	90.0	153.31
01/12/2018 39267061	KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.34	0.00	44.34	90.0	39.91
01/12/2018 39273336	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	90.0	122.40
01/12/2018 39438762	LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	90.0	108.00
01/12/2018 39508930	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018 39898429	BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	50.34	0.00	50.34	90.0	45.31
01/12/2018 40149138	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
01/12/2018 40488610	SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	292.66	0.00	292.66	90.0	263.39
01/12/2018 41387564	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	165.66	0.00	165.66	90.0	149.09
01/12/2018 41427542	MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	262.34	0.00	262.34	90.0	236.11
01/12/2018 41566521	MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
01/12/2018 41607521	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	39.66	0.00	39.66	90.0	35.69
01/12/2018 41778487	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	113.66	0.00	113.66	90.0	102.29
01/12/2018 44608676	MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	51.66	0.00	51.66	90.0	46.49
01/12/2018 44819267	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	127.34	0.00	127.34	90.0	114.61
01/12/2018 45214078	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
01/12/2018 45397194	CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	270.66	0.00	270.66	90.0	243.59
01/12/2018 46403906	ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
01/12/2018 46599623	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	42.34	0.00	42.34	90.0	38.11
01/12/2018 48515094	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
01/12/2018 49301407	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	756.00	0.00	756.00	90.0	680.40
01/12/2018 49711323	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	90.0	3.91
01/12/2018 50668393	MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	59.34	0.00	59.34	90.0	53.41
01/12/2018 50743604	RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	103.66	0.00	103.66	90.0	93.29
01/12/2018 51491652	MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	14.34	0.00	14.34	90.0	12.91

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	52388846 MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
01/12/2018	53175378 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
01/12/2018	53186569 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	90.0	0.59
01/12/2018	54673339 ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018	54771115 HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	337.66	0.00	337.66	90.0	303.89
01/12/2018	54860066 BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	358.66	0.00	358.66	90.0	322.79
01/12/2018	55421837 SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
01/12/2018	55632540 DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	353.00	0.00	353.00	90.0	317.70
01/12/2018	55689243 PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
01/12/2018	56480647 KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	226.34	0.00	226.34	90.0	203.71
01/12/2018	56483663 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	90.0	17.69
01/12/2018	58128434 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	29.34	0.00	29.34	90.0	26.41
01/12/2018	58720438 LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	90.0	3.91
01/12/2018	58847212 HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	75.34	0.00	75.34	90.0	67.81
01/12/2018	59247893 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	204.34	0.00	204.34	90.0	183.91
01/12/2018	59303330 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	100.66	0.00	100.66	90.0	90.59
01/12/2018	59483304 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	319.00	0.00	319.00	90.0	287.10
01/12/2018	60172642 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	78.66	0.00	78.66	90.0	70.79
01/12/2018	60176438 HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	208.34	0.00	208.34	90.0	187.51
01/12/2018	60650863 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	180.34	0.00	180.34	90.0	162.31
01/12/2018	62006841 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
01/12/2018	62721211 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	147.00	0.00	147.00	90.0	132.30
01/12/2018	63523092 BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
01/12/2018	63534306 WATKINS, RICHARD/ ASHLEY	SIGNAL SECURITIES RIA	B	0.00	57.34	0.00	57.34	90.0	51.61
01/12/2018	65038561 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018	65960021 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	2.66	0.00	2.66	90.0	2.39
01/12/2018	66465361 MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
01/12/2018	66475080 PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	77.66	0.00	77.66	90.0	69.89
01/12/2018	66477226 SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018	67017804 COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	16.66	0.00	16.66	90.0	14.99
01/12/2018	67138671 BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
01/12/2018	67763155 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	89.66	0.00	89.66	90.0	80.69

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 69525005	GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	216.34	0.00	216.34	90.0	194.71
01/12/2018 71272747	PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	311.66	0.00	311.66	90.0	280.49
01/12/2018 71349299	WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	441.34	0.00	441.34	90.0	397.21
01/12/2018 71376962	SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	204.00	0.00	204.00	90.0	183.60
01/12/2018 71717760	ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	268.66	0.00	268.66	90.0	241.79
01/12/2018 72586770	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	406.00	0.00	406.00	90.0	365.40
01/12/2018 72722158	PHILP, REBECCA ELIZABE	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	90.0	13.19
01/12/2018 73441084	COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	127.66	0.00	127.66	90.0	114.89
01/12/2018 74258191	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018 74717597	HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	35.00	0.00	35.00	90.0	31.50
01/12/2018 74742534	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
01/12/2018 75508848	GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	15.66	0.00	15.66	90.0	14.09
01/12/2018 75899513	BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
01/12/2018 76384301	RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	38.34	0.00	38.34	90.0	34.51
01/12/2018 77083119	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	60.66	0.00	60.66	90.0	54.59
01/12/2018 78079060	LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00	90.0	34.20
01/12/2018 78489883	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
01/12/2018 80005105	SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	97.34	0.00	97.34	90.0	87.61
01/12/2018 80455638	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
01/12/2018 81582307	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	199.34	0.00	199.34	90.0	179.41
01/12/2018 82214691	HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	151.34	0.00	151.34	90.0	136.21
01/12/2018 82904951	HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	45.66	0.00	45.66	90.0	41.09
01/12/2018 83237717	MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	71.34	0.00	71.34	90.0	64.21
01/12/2018 83318344	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
01/12/2018 83532025	LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
01/12/2018 83978523	ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	62.34	0.00	62.34	90.0	56.11
01/12/2018 84984988	HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	84.34	0.00	84.34	90.0	75.91
01/12/2018 85627507	RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	5.66	0.00	5.66	90.0	5.09
01/12/2018 85704471	FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	1,910.34	0.00	1,910.34	90.0	1,719.31
01/12/2018 86788791	ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018 88805216	WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	33.66	0.00	33.66	90.0	30.29
01/12/2018 89271206	TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	279.34	0.00	279.34	90.0	251.41
01/12/2018 90142952	HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
01/12/2018 90584473	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	50.34	0.00	50.34	90.0	45.31
01/12/2018 91672459	TOWNSEND, MARILYN &	SIGNAL SECURITIES RIA	B	0.00	48.66	0.00	48.66	90.0	43.79

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
LAWSO									
01/12/2018	91748202 HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	8.66	0.00	8.66	90.0	7.79
01/12/2018	91781366 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	38.66	0.00	38.66	90.0	34.79
01/12/2018	92167936 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
01/12/2018	92531509 BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	234.34	0.00	234.34	90.0	210.91
01/12/2018	92627603 BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	93.66	0.00	93.66	90.0	84.29
01/12/2018	95074654 DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	38.34	0.00	38.34	90.0	34.51
01/12/2018	95140665 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
01/12/2018	95683095 LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	51.34	0.00	51.34	90.0	46.21
01/12/2018	95788083 LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	130.00	0.00	130.00	90.0	117.00
01/12/2018	96521624 MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
01/12/2018	97505602 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	90.0	17.41
01/12/2018	97611628 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	308.66	0.00	308.66	90.0	277.79
01/12/2018	97754476 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	33.66	0.00	33.66	90.0	30.29
01/12/2018	98213803 SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	435.00	0.00	435.00	90.0	391.50
01/12/2018	99473573 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$19,969.64</u>	<u>\$0.00</u>	<u>\$19,969.64</u>		<u>\$17,972.66</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	571.80	0.00	571.80	90.0	514.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$571.80</u>	<u>\$0.00</u>	<u>\$571.80</u>		<u>\$514.67</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$22,062.48</u>	<u>\$21,295.30</u>	<u>\$0.00</u>	<u>\$21,295.30</u>		<u>\$19,165.91</u>
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
01/12/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	176.94	0.00	176.94	90.0	159.25
01/12/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	105.34	0.00	105.34	90.0	94.81
01/12/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	15.60	0.00	15.60	90.0	14.04
01/12/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	90.0	6.61
* SNOW/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$305.22</u>	<u>\$0.00</u>	<u>\$305.22</u>		<u>\$274.71</u>

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
01/12/2018 15381199	WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018 17599493	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.83	0.00	62.83	90.0	56.55
01/12/2018 31646344	DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018 41564753	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
01/12/2018 41989330	YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	49.83	0.00	49.83	90.0	44.85
01/12/2018 42529214	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.83	0.00	79.83	90.0	71.85
01/12/2018 45771580	WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	130.67	0.00	130.67	90.0	117.60
01/12/2018 51406766	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.83	0.00	93.83	90.0	84.45
01/12/2018 62437500	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	242.83	0.00	242.83	90.0	218.55
01/12/2018 63181693	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
01/12/2018 67846422	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
01/12/2018 68333401	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.83	0.00	63.83	90.0	57.45
01/12/2018 69683604	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
01/12/2018 82910782	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	90.0	53.70
01/12/2018 86316364	WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	62.50	0.00	62.50	90.0	56.25
* SINGLETON/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$1,197.32</u>	<u>\$0.00</u>	<u>\$1,197.32</u>		<u>\$1,077.60</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$1,502.54</u>	<u>\$0.00</u>	<u>\$1,502.54</u>		<u>\$1,352.31</u>

VERRIDE TRANSACTIONS

<u>BROKER: THOMPSON/SIGNAL #9622</u>									
01/12/2018 35296442	DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,603.66	0.00	5,603.66		308.20
* THOMPSON/SIGNAL SUBTOTAL *				<u>\$0.00</u>	<u>\$5,603.66</u>	<u>\$0.00</u>	<u>\$5,603.66</u>		<u>\$308.20</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$5,603.66</u>	<u>\$0.00</u>	<u>\$5,603.66</u>		<u>\$308.20</u>

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		RENT: 1/2 MONTH		0.00	0.00	0.00	0.00		-125.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-252.50

BROKER COMMISSION TOTALS

Broker Transactions	\$19,165.91
Split Transactions	\$1,352.31
Override Transactions	\$308.20
Adjustments	\$-252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,573.92
Year-to-date Earnings	\$92,425.76