



Concorde Investment Services, LLC
COMMISSION STATEMENT
 August 15, 2017

08/14/2017
 Page No. 1

JON PAUL CIRELLI
 14255 US Hwy One
 Suite 232
 Juno Beach, FL 33408

BROKER #: C141
 BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
07/18/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.71	0.00	20.71	95.0	19.67
		* REIT SUBTOTAL *	\$0.00	\$20.71	\$0.00	\$20.71		\$19.67
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/17/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.78	0.00	10.78	95.0	10.24
07/17/2017	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.33	0.00	32.33	95.0	30.71
07/19/2017	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	16.07	0.00	16.07	95.0	15.27
07/19/2017	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	30.77	0.00	30.77	95.0	29.23
07/21/2017	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.55	0.00	5.55	95.0	5.27
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$95.50	\$0.00	\$95.50		\$90.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	111.50	0.00	111.50	95.0	105.93
07/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	0.00	121.23	0.00	121.23	95.0	115.17
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$232.73	\$0.00	\$232.73		\$221.10
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$348.94	\$0.00	\$348.94		\$331.49

SPLIT TRANSACTIONS

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

07/10/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.54	0.00	2.54	95.0	2.41
		* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *	\$0.00	\$2.54	\$0.00	\$2.54		\$2.41

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

06/30/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	5.06	0.00	5.06	95.0	4.81
07/19/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	95.0	0.03
07/19/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.27	0.00	0.27	95.0	0.26
07/19/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.45	0.00	0.45	95.0	0.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
07/18/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	95.0	0.25
07/18/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.23	0.00	0.23	95.0	0.22
07/18/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.10	0.00	0.10	95.0	0.10
07/18/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	95.0	0.10
07/18/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	95.0	0.18
07/18/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.48	0.00	1.48	95.0	1.41
07/18/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.09	0.00	1.09	95.0	1.04
07/18/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.11	0.00	0.11	95.0	0.10
07/18/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	95.0	0.11
07/18/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	95.0	0.09
07/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	95.0	0.07
07/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	95.0	0.14
07/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.67	0.00	0.67	95.0	0.64
07/21/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	95.0	0.30
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$10.79	\$0.00	\$10.79		\$10.28
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
07/28/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.14	0.00	2.14	95.0	2.03
07/17/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.30	0.00	6.30	95.0	5.99
07/17/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.33	0.00	5.33	95.0	5.06
07/17/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.00	0.00	16.00	95.0	15.20
07/17/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.19	0.00	5.19	95.0	4.93
07/17/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.56	0.00	15.56	95.0	14.78
08/03/2017	SELDEN, MARC	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
07/19/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.46	0.00	11.46	95.0	10.89
07/19/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	34.38	0.00	34.38	95.0	32.66
07/19/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.29	0.00	6.29	95.0	5.98
07/18/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.72	0.00	1.72	95.0	1.63
07/18/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.17	0.00	2.17	95.0	2.06
07/18/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.55	0.00	1.55	95.0	1.47
07/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	95.0	0.39
07/21/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.62	0.00	8.62	95.0	8.19
07/21/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	95.0	0.30
07/13/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	65.63	0.00	65.63	95.0	62.35

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<u>SPLIT TRANSACTIONS</u>								
BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256								
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$100,000.00	\$3,683.07	\$0.00	\$3,683.07		\$3,498.91
BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256								
07/18/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.35	0.00	4.35	95.0	4.13
07/10/2017	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.80	0.00	1.80	95.0	1.71
07/10/2017	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.34	0.00	1.34	95.0	1.27
08/10/2017	MAKRIDES, MARIE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	875.00	0.00	875.00	95.0	831.25
07/19/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.48	0.00	1.48	95.0	1.41
07/21/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.04	0.00	3.04	95.0	2.89
07/21/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.24	0.00	1.24	95.0	1.18
07/21/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.65	0.00	0.65	95.0	0.62
07/21/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.14	0.00	2.14	95.0	2.03
07/21/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.55	0.00	0.55	95.0	0.52
07/21/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.50	0.00	2.50	95.0	2.38
07/21/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.78	0.00	0.78	95.0	0.74
07/21/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.88	0.00	1.88	95.0	1.79
07/21/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.03	0.00	2.03	95.0	1.93
07/21/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.46	0.00	0.46	95.0	0.44
07/21/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.43	0.00	0.43	95.0	0.41
07/13/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.04	0.00	2.04	95.0	1.94
07/21/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.54	0.00	2.54	95.0	2.41
07/12/2017	RASMUSSEN, MELANIE	PIIONEER FUND A	0.00	0.08	0.00	0.08	95.0	0.08
07/12/2017	RASMUSSEN, MELANIE	PIIONEER FUNDAMENTAL GROWTH A	0.00	0.20	0.00	0.20	95.0	0.19
07/21/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.20	0.00	0.20	95.0	0.19
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$50,000.00	\$904.73	\$0.00	\$904.73		\$859.51
BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257								
07/18/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	95.0	0.07
07/10/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.18	0.00	2.18	95.0	2.07
07/10/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.35	0.00	0.35	95.0	0.33
07/10/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.17	0.00	1.17	95.0	1.11
07/10/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.61	0.00	1.61	95.0	1.53
07/14/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.83	0.00	0.83	95.0	0.79
07/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.99	0.00	0.99	95.0	0.94

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
07/21/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.19	0.00	2.19	95.0	2.08
07/21/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	95.0	0.11
07/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.28	0.00	1.28	95.0	1.22
07/21/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.88	0.00	0.88	95.0	0.84
07/13/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.30	0.00	2.30	95.0	2.19
07/13/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.73	0.00	0.73	95.0	0.69
07/13/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.68	0.00	0.68	95.0	0.65
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$15.38	\$0.00	\$15.38		\$14.62
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
07/10/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.45	0.00	3.45	95.0	3.28
07/21/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.63	0.00	0.63	95.0	0.60
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.08	\$0.00	\$4.08		\$3.88
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
07/18/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	95.0	0.20
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.21	\$0.00	\$0.21		\$0.20
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
07/18/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	95.0	0.05
07/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.38	0.00	1.38	95.0	1.31
07/21/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.71	0.00	4.71	95.0	4.47
07/21/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.11	0.00	3.11	95.0	2.95
07/21/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.25	0.00	2.25	95.0	2.14
07/17/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.35	0.00	0.35	95.0	0.33
07/17/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.86	0.00	0.86	95.0	0.82
07/17/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.04	0.00	0.04	95.0	0.04
07/17/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.12	0.00	0.12	95.0	0.11
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.67	0.00	2.67	95.0	2.54
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.00	0.00	8.00	95.0	7.60
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.32	0.00	3.32	95.0	3.15
07/19/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.99	0.00	9.99	95.0	9.49
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.22	0.00	2.22	95.0	2.11
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.67	0.00	6.67	95.0	6.34
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.67	0.00	2.67	95.0	2.54

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
07/19/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.00	0.00	8.00	95.0	7.60
07/19/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.70	0.00	1.70	95.0	1.62
07/19/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.61	0.00	2.61	95.0	2.48
07/19/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.52	0.00	4.52	95.0	4.29
07/19/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.63	0.00	3.63	95.0	3.45
07/19/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.81	0.00	1.81	95.0	1.72
07/19/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.86	0.00	10.86	95.0	10.32
07/18/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.69	0.00	0.69	95.0	0.66
07/18/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.65	0.00	0.65	95.0	0.62
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$0.00	\$82.88	\$0.00	\$82.88		\$78.75
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
07/17/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.23	0.00	1.23	95.0	1.17
07/17/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.58	0.00	2.58	95.0	2.45
07/17/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.73	0.00	7.73	95.0	7.34
07/19/2017	LAROCHE, LAURIE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.44	0.00	1.44	95.0	1.37
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$12.98	\$0.00	\$12.98		\$12.33
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
06/23/2017	WEEKS, GARY	INLAND RIVERDALE MULITFAMILY DST	300,000.00	3,750.00	0.00	3,750.00	95.0	3,562.50
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$300,000.00	\$3,750.00	\$0.00	\$3,750.00		\$3,562.50
<u>BROKER: C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN #392</u>								
08/07/2017	CARROLLTON, STEVE	BLACKROCK GLOBAL OPPORTUNITIES EQUI	-7,383.95	0.00	7.53	-7.53	95.0	-7.15
08/08/2017	CARROLLTON, STEVE	HSBC USA INC MARKET LKD TO INDU 0.0	-21,546.00	0.00	8.91	-8.91	95.0	-8.46
08/07/2017	CARROLLTON, DONNA	NEXTERA ENERGY INC	-38,165.40	0.00	7.43	-7.43	95.0	-7.06
08/07/2017	CARROLLTON, STEVE	PNC FINL SVCS GROUP INC COM	-6,559.01	0.00	7.43	-7.43	95.0	-7.06
08/08/2017	CARROLLTON, STEVE	WELLS FARGO BK N A SIOUX FALLS S D	-25,740.00	0.00	8.91	-8.91	95.0	-8.46
* C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN SUBTOTAL *			\$-99,394.36	\$0.00	\$40.21	\$-40.21		\$-38.19
*** SPLIT TRANSACTIONS TOTAL ***			\$350,605.64	\$8,466.66	\$40.21	\$8,426.45		\$8,005.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
07/26/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	37.50	1.88	0.00	1.88	90.0	0.03
08/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
07/26/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	37.50	1.88	0.00	1.88	90.0	0.03
07/26/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	75.00	3.75	0.00	3.75	90.0	0.06
08/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	0.29
08/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
07/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.93	0.00	4.93	90.0	0.08
07/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.98	0.00	19.98	90.0	0.34
08/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	0.29
08/09/2017	PRATOLA, PRESTON	APOLLO GLOBAL MGMT LLC CL A SHS	-30,196.92	30.00	23.52	6.48	90.0	0.11
07/28/2017	SPECTER TRUST, STEPHANIE	GRIFFIN INST'L ACCESS R E FUND C	36,000.00	360.00	0.00	360.00	90.0	6.12
07/19/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	14.81	0.00	14.81	90.0	0.25
08/09/2017	PRATOLA, PRESTON	INTEL CORP COM	-25,347.00	30.00	23.05	6.95	90.0	0.12
07/18/2017	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.04	0.00	9.04	90.0	0.15
07/18/2017	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	12.91	0.00	12.91	90.0	0.22
07/18/2017	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	15.49	0.00	15.49	90.0	0.26
08/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
07/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	264,553.39	220.46	0.00	220.46	90.0	3.75
07/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	266,402.98	222.00	0.00	222.00	90.0	3.77
07/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	409,791.10	341.49	0.00	341.49	90.0	5.81
07/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	511,343.92	426.12	0.00	426.12	90.0	7.24
07/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	107,076.21	89.23	0.00	89.23	90.0	1.52
07/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,041.83	2.93	0.00	2.93	90.0	0.05
07/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	208,358.39	173.63	0.00	173.63	90.0	2.95
07/21/2017	MCFADDEN, JOAN	LANDMARK 2002	0.00	207.17	0.00	207.17	90.0	3.52
08/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	0.17
08/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	0.44
07/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	27.73	0.00	27.73	90.0	0.47
07/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.04	0.00	15.04	90.0	0.26
07/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	147.87	0.00	147.87	90.0	2.51
07/27/2017	HALL, GLORIA	PORTLAND GEN ELEC CO COM NEW	-680.64	15.00	22.50	-7.50	90.0	-0.13
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	6.96	0.00	6.96	90.0	0.12
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.41	0.00	0.41	90.0	0.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
07/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
07/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.92	0.00	6.92	90.0	0.12
07/28/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	0.09
* MARC LINSKY SUBTOTAL *			\$1,765,259.26	\$2,535.83	\$69.07	\$2,466.76		\$41.93
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
08/09/2017	DILLON, ROBERT	BIODELIVERY SCIENCES INTL INC COM	12,200.00	124.00	27.00	97.00	90.0	3.20
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$12,200.00	\$124.00	\$27.00	\$97.00		\$3.20
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,777,459.26	\$2,659.83	\$96.07	\$2,563.76		\$45.13

ADJUSTMENTS

08/15/2017	*EO INS: CURRENT MONTH INS	0.00	-75.00
08/15/2017	*EO INS: CURRENT MONTH INS	0.00	-105.00
08/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-105.00
08/15/2017	*EO INS: N ELIAS	0.00	-105.00
08/15/2017	*FEDERAL EXPRESS: 5-878-03801 7/26/	0.00	-12.23
08/15/2017	*FEDERAL EXPRESS: 5-885-29775 8/2/1	0.00	-22.66
08/15/2017	*FINRA: CRD REG. APPL. BINNIE	0.00	-100.00
08/15/2017	*FINRA: FINGERPRINT FEE BINNIE	0.00	-29.50
08/15/2017	*FINRA: FIRM ELEMENT CE BINNIE	0.00	-125.00
08/15/2017	*FINRA: S7 EXAM WINDOW BINNIE	0.00	-305.00
08/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
08/15/2017	*MISC: 7/15 OVERNIGHT FEE	0.00	-40.00
08/15/2017	*MISC: 7/31 OVERNIGHT FEE	0.00	-40.00
08/15/2017	*MISC: C FULMER, A LEEDS	0.00	-540.00
08/15/2017	*MISC: CONCORDE PROC./SERVICE FEE B	0.00	-55.00
08/15/2017	*MISC: CREDIT TO K141	0.00	-528.00
08/15/2017	*MISC: ROY AFLAC 1031DST	0.00	-1,126.00
08/15/2017	*MISC: SARAH, FT L, 1031DST	0.00	-205.00
08/15/2017	*OVERRIDE: BRANCH-CIRELLI	0.00	-84.41
08/15/2017	*OVERRIDE: LINSKY	0.00	108.85

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<u>ADJUSTMENTS</u>								
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.75
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.38
08/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.38
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.33
08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.34
08/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.89
08/15/2017		*TECH: ADMIN FULMER	0.00					-25.00
08/15/2017		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-68.75
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
08/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
08/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,958.52

BROKER COMMISSION TOTALS

Broker Transactions	\$331.49
Split Transactions	\$8,005.20
Override Transactions	\$45.13
Adjustments	\$-3,958.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,423.30
Year-to-date Gross	\$366,597.04
Year-to-date Earnings	\$354,077.65

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