

COMMISSION STATEMENT

July 31, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: C20

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/06/2018	QGW031809	SABER, MARK	FTE NETWORKS INC COM PAR \$0.001 NEW B	17,100.00	292.99	17.00	275.99	75.0	202.74
07/25/2018	QGW031809	SABER, MARK	PROSHARES TRUST PROSHARES SHORT S&P S	-28,165.00	386.25	17.00	369.25	75.0	272.69
07/25/2018	QGW031809	SABER, MARK	VUZIX CORP COM NEW B	29,894.85	573.02	17.00	556.02	75.0	412.77
07/06/2018	QGW031833	CASSADY REVOCABLE	FTE NETWORKS INC COM PAR \$0.001 NEW B	16,970.00	291.53	17.00	274.53	75.0	201.65
07/05/2018	QGW031882	MICKES, THOMAS	FTE NETWORKS INC COM PAR \$0.001 NEW B	24,709.84	386.77	17.00	369.77	75.0	273.08
07/06/2018	QGW031882	MICKES, THOMAS	FTE NETWORKS INC COM PAR \$0.001 NEW B	11,840.10	218.07	17.00	201.07	75.0	146.55
07/12/2018	QGW031882	MICKES, THOMAS	FTE NETWORKS INC COM PAR \$0.001 NEW B	5,475.00	110.88	17.00	93.88	75.0	66.16
07/25/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW B	32,535.00	514.28	17.00	497.28	75.0	368.71
07/25/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW B	34,000.00	350.00	17.00	333.00	75.0	245.50
07/05/2018	QGW031957	COLE, PHILLIP	FTE NETWORKS INC COM PAR \$0.001 NEW B	18,300.00	306.40	17.00	289.40	75.0	212.80
07/25/2018	QGW031957	COLE, PHILLIP	PROSHARES TRUST PROSHARES SHORT S&P S	-14,081.70	221.99	17.00	204.99	75.0	149.49
07/25/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW B	13,516.20	246.05	17.00	229.05	75.0	167.54
07/25/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW B	19,800.00	369.07	17.00	352.07	75.0	259.80
* STOCKS SUBTOTAL *				\$181,894.29	\$4,267.30	\$221.00	\$4,046.30		\$2,979.48
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/13/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01- B	3,375.00	189.61	32.50	157.11	75.0	109.71
07/13/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01- B	-3,375.00	-189.61	-32.50	-157.11	75.0	-109.71 C
07/13/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01- B	3,375.00	155.00	32.50	122.50	75.0	83.75
07/13/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	6,750.00	376.02	45.00	331.02	75.0	237.02
07/13/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	-6,750.00	-376.02	-45.00	-331.02	75.0	-237.02 C
07/13/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	6,750.00	325.00	45.00	280.00	75.0	198.75
07/13/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	4,050.00	218.30	35.00	183.30	75.0	128.73
07/13/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	-4,050.00	-218.30	-35.00	-183.30	75.0	-128.73 C
07/13/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	4,050.00	190.00	35.00	155.00	75.0	107.50
* OPTIONS SUBTOTAL *				\$14,175.00	\$670.00	\$112.50	\$557.50		\$390.00
*** BROKER TRANSACTIONS TOTAL ***				\$196,069.29	\$4,937.30	\$333.50	\$4,603.80		\$3,369.48

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ADJUSTMENTS

07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,369.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.17
SIPC Assessment	\$-12.34

Check Amount	\$3,322.97
Year-to-date Earnings	\$54,530.34