



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

JOSEPH MICHALETZ
 41474 Judson Bottom Road

 North Mankato, MI 56003-

BROKER #: M138
 BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
06/22/2017	MANSKE, ANDREW	GPB AUTOMOTIVE PORTFOLIO LP	60,000.00	4,200.00	0.00	4,200.00	93.0	3,906.00
06/23/2017	DIETRICH IRREV TRUST, RAYMOND	GPB AUTOMOTIVE PORTFOLIO LP	250,000.00	17,500.00	0.00	17,500.00	93.0	16,275.00
06/23/2017	BOUDREAU, WILLIAM	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
06/23/2017	BLAKE TRUST, JASON & SANDRA	GPB AUTOMOTIVE PORTFOLIO LP	450,000.00	31,500.00	0.00	31,500.00	93.0	29,295.00
06/23/2017	WILLAERT, DIANNE	GPB WASTE MANAGEMENT LP	200,000.00	14,000.00	0.00	14,000.00	93.0	13,020.00
06/23/2017	BLAKE TRUST, JASON & SANDRA	GPB WASTE MANAGEMENT LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
06/22/2017	ANOTHER ATTIC AT TRA	SEALEY STRATEGIC EQUITY PARTNERS LP	5,000,000.00	122,500.00	0.00	122,500.00	93.0	113,925.00
* PRIVATE PLACEMENT SUBTOTAL *			\$6,110,000.00	\$200,200.00	\$0.00	\$200,200.00		\$186,186.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
06/23/2017	SANGSTER, DONALD	RESOURCE ROYALTY KING WOODS	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
06/23/2017	WARD, JAMES	RESOURCE ROYALTY KING WOODS	125,000.00	7,500.00	0.00	7,500.00	93.0	6,975.00
06/26/2017	CREST, JEROME	RESOURCE ROYALTY KING WOODS	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
06/27/2017	DAVIS, MARK	RESOURCE ROYALTY KING WOODS	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
07/07/2017	MICHALETZ PROPERTIES	RESOURCE ROYALTY KING WOODS	45,669.82	0.00	0.00	0.00	93.0	0.00
07/07/2017	POLZIN, RICHARD	RESOURCE ROYALTY KING WOODS	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
06/23/2017	BLAKE TRUST, JASON & SANDRA	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
06/23/2017	WARD, JAMES	WAVELAND RESOURCE PARTNERS IV	125,000.00	8,750.00	0.00	8,750.00	93.0	8,137.50
06/27/2017	DAVIS, MARK	WAVELAND RESOURCE PARTNERS IV	250,000.00	17,500.00	0.00	17,500.00	93.0	16,275.00
* OIL & GAS SUBTOTAL *			\$945,669.82	\$58,750.00	\$0.00	\$58,750.00		\$54,637.50
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
06/01/2017	FARBER, RODNEY	STARBOARD LEMON GROVE DST	250,000.00	15,000.00	0.00	15,000.00	93.0	13,950.00
06/09/2017	DEMERRITT, JOY	STARBOARD LEMON GROVE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
06/21/2017	BLAKE TRUST, JASON & SANDRA	STARBOARD LEMON GROVE DST	300,000.00	18,000.00	0.00	18,000.00	93.0	16,740.00
06/30/2017	HYLEN, DARRELL	STARBOARD LEMON GROVE DST	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
* 1031 EXCHANGE SUBTOTAL *			\$850,000.00	\$51,000.00	\$0.00	\$51,000.00		\$47,430.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	ROSE, DUANE	AB MUNICIPAL INCOME MN A	0.00	36.57	0.00	36.57	93.0	34.01
/ /	BEEBE, AUDREY	ASCENSUS COLLEGE SAVINGS NEA GRO ST	0.00	150.59	0.00	150.59	93.0	140.05
06/19/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	25.00	0.25	0.00	0.25	93.0	0.23
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	11.95	0.00	11.95	93.0	11.11
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.30	0.00	0.30	93.0	0.28
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.26	0.00	3.26	93.0	3.03
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	6.13	0.00	6.13	93.0	5.70
07/03/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
07/03/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
07/03/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
07/01/2017	IRLBECK, CALEB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	0.72	0.00	0.72	93.0	0.67
07/01/2017	IRLBECK, AVA	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	1.26	0.00	1.26	93.0	1.17
07/01/2017	IRLBECK, KERSTEN	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	1.36	0.00	1.36	93.0	1.26
07/01/2017	IRLBECK, CALEB	MFS GROWTH ALLOCATION 529A	0.00	0.37	0.00	0.37	93.0	0.34
07/01/2017	IRLBECK, AVA	MFS GROWTH ALLOCATION 529A	0.00	0.76	0.00	0.76	93.0	0.71
07/01/2017	BOESCH, DEBRA	MFS RESEARCH A	0.00	60.87	0.00	60.87	93.0	56.61
07/01/2017	LEVIK, LADONNA	MFS RESEARCH A	0.00	9.40	0.00	9.40	93.0	8.74
07/01/2017	URBAN, CURTIS	MFS RESEARCH A	0.00	18.94	0.00	18.94	93.0	17.61
07/01/2017	BURRUS, ALYSSA	MFS RESEARCH A	0.00	0.92	0.00	0.92	93.0	0.86
07/01/2017	URBAN, ANDREW	MFS RESEARCH A	0.00	2.10	0.00	2.10	93.0	1.95
07/01/2017	BOESCH, DEBRA	MFS RESEARCH B	0.00	27.85	0.00	27.85	93.0	25.90
07/01/2017	URBAN, CURTIS	MFS RESEARCH B	0.00	14.70	0.00	14.70	93.0	13.67
07/01/2017	BOESCH, DEBRA	MFS RESEARCH FUND-C	0.00	40.01	0.00	40.01	93.0	37.21
07/05/2017	BOESCH JT TEN, DEBRA	PIONEER INDEPENDENCE A	0.00	7.87	0.00	7.87	93.0	7.32
07/05/2017	GARTNER, MARIE	PIONEER VALUE A	0.00	21.29	0.00	21.29	93.0	19.80
07/03/2017	CORDS, SCOTT	PUTNAM CAPITAL SPECTRUM C	0.00	30.20	0.00	30.20	93.0	28.09
07/03/2017	CORDS, GRETCHEN	PUTNAM CAPITAL SPECTRUM C	0.00	58.71	0.00	58.71	93.0	54.60
07/03/2017	CORDS, RANDALL	PUTNAM EQUITY SPECTRUM C	0.00	44.65	0.00	44.65	93.0	41.52
07/03/2017	HINTON, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	0.99	0.00	0.99	93.0	0.92
07/05/2017	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	6.59	0.00	6.59	93.0	6.13
06/27/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	497.77	-22.00	-4.00	-18.00	93.0	-16.74
06/27/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	-497.78	0.00	22.00	-22.00	93.0	-20.46

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.48	0.00	5.48	93.0	5.10
07/05/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	48.63	0.00	48.63	93.0	45.23
07/05/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	42.32	0.00	42.32	93.0	39.36
07/05/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	35.87	0.00	35.87	93.0	33.36
07/05/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	35.87	0.00	35.87	93.0	33.36
07/05/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	33.02	0.00	33.02	93.0	30.71
07/05/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	32.66	0.00	32.66	93.0	30.37
07/05/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	35.11	0.00	35.11	93.0	32.65
07/05/2017	VOIGHT, KATHERINE	TRANSAMERICA AA GROWTH C	0.00	4.06	0.00	4.06	93.0	3.78
07/05/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.14	0.00	0.14	93.0	0.13
07/05/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	16.30	0.00	16.30	93.0	15.16
07/05/2017	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.72	0.00	1.72	93.0	1.60
07/05/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.53	0.00	5.53	93.0	5.14
07/05/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.70	0.00	1.70	93.0	1.58
07/05/2017	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.30	0.00	1.30	93.0	1.21
07/05/2017	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	38.15	0.00	38.15	93.0	35.48
07/05/2017	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.10	0.00	4.10	93.0	3.81
07/05/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	93.0	1.37
07/05/2017	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	17.50	0.00	17.50	93.0	16.28
07/05/2017	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.56	0.00	4.56	93.0	4.24
07/05/2017	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.56	0.00	4.56	93.0	4.24
07/05/2017	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	28.23	0.00	28.23	93.0	26.25
07/05/2017	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.40	0.00	2.40	93.0	2.23
07/05/2017	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.56	0.00	4.56	93.0	4.24
07/05/2017	MORROW, ELLEN	TRANSAMERICA AA GROWTH C	0.00	79.90	0.00	79.90	93.0	74.31
07/05/2017	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.56	0.00	3.56	93.0	3.31
07/05/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.53	0.00	7.53	93.0	7.00
07/05/2017	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.11	0.00	4.11	93.0	3.82
07/05/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.64	0.00	1.64	93.0	1.53
07/05/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	15.36	0.00	15.36	93.0	14.28
07/05/2017	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.73	0.00	3.73	93.0	3.47
07/05/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.50	0.00	2.50	93.0	2.33
07/05/2017	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	16.39	0.00	16.39	93.0	15.24

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	16.98	0.00	16.98	93.0	15.79
07/05/2017	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	56.10	0.00	56.10	93.0	52.17
07/05/2017	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	5.76	0.00	5.76	93.0	5.36
07/05/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	7.21	0.00	7.21	93.0	6.71
07/05/2017	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.36	0.00	1.36	93.0	1.26
07/05/2017	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.11	0.00	4.11	93.0	3.82
07/05/2017	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.71	0.00	3.71	93.0	3.45
07/05/2017	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	11.39	0.00	11.39	93.0	10.59
07/05/2017	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.46	0.00	1.46	93.0	1.36
07/05/2017	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	9.98	0.00	9.98	93.0	9.28
07/05/2017	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.19	0.00	1.19	93.0	1.11
07/05/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.00	0.00	11.00	93.0	10.23
07/05/2017	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.26	0.00	11.26	93.0	10.47
07/05/2017	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.06	0.00	9.06	93.0	8.43
07/05/2017	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.14	0.00	8.14	93.0	7.57
07/05/2017	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.15	0.00	6.15	93.0	5.72
07/05/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.25	0.00	5.25	93.0	4.88
07/05/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	5.66	0.00	5.66	93.0	5.26
07/05/2017	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	55.01	0.00	55.01	93.0	51.16
07/05/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.46	0.00	5.46	93.0	5.08
07/05/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	19.97	0.00	19.97	93.0	18.57
07/05/2017	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.22	0.00	1.22	93.0	1.13
07/05/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	12.84	0.00	12.84	93.0	11.94
07/05/2017	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.17	0.00	4.17	93.0	3.88
07/05/2017	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	3.93	0.00	3.93	93.0	3.65
07/05/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.04	0.00	9.04	93.0	8.41
07/05/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.66	0.00	3.66	93.0	3.40
07/05/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	7.66	0.00	7.66	93.0	7.12
07/05/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	2.79	0.00	2.79	93.0	2.59
07/05/2017	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.02	0.00	3.02	93.0	2.81
07/05/2017	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.77	0.00	2.77	93.0	2.58
07/05/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	22.20	0.00	22.20	93.0	20.65
07/05/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.00	0.00	6.00	93.0	5.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.00	0.00	6.00	93.0	5.58
07/05/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.03	0.00	1.03	93.0	0.96
07/05/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	1.03	0.00	1.03	93.0	0.96
07/05/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	0.98	0.00	0.98	93.0	0.91
07/05/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.09	0.00	1.09	93.0	1.01
07/05/2017	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	0.99	0.00	0.99	93.0	0.92
07/05/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	0.97	0.00	0.97	93.0	0.90
07/05/2017	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.29	0.00	2.29	93.0	2.13
07/05/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.65	0.00	2.65	93.0	2.46
07/05/2017	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.02	0.00	9.02	93.0	8.39
07/05/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.13	0.00	3.13	93.0	2.91
07/10/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
07/05/2017	CONRAD, MAREDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.10	0.00	6.10	93.0	5.67
07/05/2017	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	39.83	0.00	39.83	93.0	37.04
07/05/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	3.85	0.00	3.85	93.0	3.58
07/05/2017	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.58	0.00	7.58	93.0	7.05
07/05/2017	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	24.12	0.00	24.12	93.0	22.43
07/05/2017	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	26.92	0.00	26.92	93.0	25.04
07/05/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.16	0.00	3.16	93.0	2.94
* MUTUAL FUNDS SUBTOTAL *			\$524.99	\$1,558.82	\$30.00	\$1,528.82		\$1,421.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	SMITH, RANDALL	ALLIANZ ALTERITY OPTIONS	47,353.07	59.19	0.00	59.19	93.0	55.05
06/30/2017	SMITH, JESSICA	ALLIANZ ALTERITY OPTIONS	41,174.72	51.47	0.00	51.47	93.0	47.87
06/30/2017	WEBSTER, RONALD	ALLIANZ VISION BASIC	114,033.11	285.08	0.00	285.08	93.0	265.12
06/30/2017	KENDALL, ARCHIE	ALLIANZ VISION BASIC	428,167.06	1,070.42	0.00	1,070.42	93.0	995.49
06/30/2017	GORDY, DANNY	ALLIANZ VISION BASIC	82,137.18	205.34	0.00	205.34	93.0	190.97
06/30/2017	RAWSON, JOHN	ALLIANZ VISION BASIC	241,693.50	604.23	0.00	604.23	93.0	561.93
06/30/2017	DRAPEAU, GAIL	ALLIANZ VISION BASIC	167,590.58	418.98	0.00	418.98	93.0	389.65
06/30/2017	TURTLE, ERMA	AXA ACCUM 04 ELITE (RO IRA)	266,636.76	766.58	0.00	766.58	93.0	712.92
06/30/2017	SOUKUP, ARLENE	AXA ACCUM 04 ELITE (RO IRA)	28,531.46	82.03	0.00	82.03	93.0	76.29
06/30/2017	PANOS, GEARLDINE	AXA ACCUM 04 ELITE (RO IRA)	69,334.61	216.67	0.00	216.67	93.0	201.50
06/30/2017	CONNOLLY, BARBARA	AXA ACCUM 04 ELITE (RO IRA)	101,195.31	290.94	0.00	290.94	93.0	270.57
06/30/2017	ZIEGLER, DIANNE	AXA ACCUM 04 ELITE (RO IRA)	156,068.70	448.70	0.00	448.70	93.0	417.29

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	GRAFF, GARY	AXA ACCUM 04 ELITE (RO IRA)	124,264.36	357.26	0.00	357.26	93.0	332.25
06/30/2017	NAWROCKI TRUST, ALBIN	AXA ACCUM 04 ELITE (RO IRA)	97,260.55	279.62	0.00	279.62	93.0	260.05
06/30/2017	DRAPEAU, GAIL	AXA ACCUM 04 ELITE (RO IRA)	20,136.55	62.93	0.00	62.93	93.0	58.52
06/30/2017	ANN M KRAHMER, LE	AXA ACCUM 04 PLUS (NQ)	402,653.03	754.97	0.00	754.97	93.0	702.12
06/30/2017	SOWERS, SHARON	AXA ACCUM 04 PLUS (NQ)	45,427.41	85.18	0.00	85.18	93.0	79.22
06/30/2017	CULLEN, ELLEN	AXA ACCUMULATOR (NQ)	110,176.55	206.58	0.00	206.58	93.0	192.12
06/30/2017	TOAY, BARBARA	AXA ACCUMULATOR (NQ)	86,655.15	162.48	0.00	162.48	93.0	151.11
06/30/2017	KELLOGG, J EDWARD	AXA ACCUMULATOR ELITE	495,179.45	1,547.44	0.00	1,547.44	93.0	1,439.12
06/30/2017	HOGSTAD, RONALD	AXA ACCUMULATOR ELITE	134,696.33	420.93	0.00	420.93	93.0	391.46
06/30/2017	DUDAREVITCH FAMILY TRUST	AXA ACCUMULATOR ELITE	34,603.50	99.49	0.00	99.49	93.0	92.53
06/30/2017	JACKSON, ROBERT	AXA ACCUMULATOR ELITE	24,408.19	70.17	0.00	70.17	93.0	65.26
06/30/2017	JOHNSON, DARLENE	AXA ACCUMULATOR ELITE	20,626.58	59.30	0.00	59.30	93.0	55.15
06/30/2017	ANDERSON, DONALD	AXA ACCUMULATOR II SERIES L	84,886.42	212.22	0.00	212.22	93.0	197.36
06/30/2017	JOHNSON, ELOIS	AXA ACCUMULATOR PLUS (NQ)	106,969.41	200.57	0.00	200.57	93.0	186.53
06/30/2017	JANSMA, DWAYNE	AXA ACCUMULATOR SELECT 2004	44,121.68	110.30	0.00	110.30	93.0	102.58
06/30/2017	JANSMA, SUSANNE	AXA ACCUMULATOR SELECT 2004	30,027.56	75.07	0.00	75.07	93.0	69.82
06/30/2017	VOIGHT, RICK	AXA REITREMENT CORNERSTONE 13 L	478,662.85	1,196.66	0.00	1,196.66	93.0	1,112.89
06/30/2017	ROSE, REBECCA	AXA REITREMENT CORNERSTONE 13 L	35,893.85	89.73	0.00	89.73	93.0	83.45
06/30/2017	CORDS, RANDALL	AXA RETIREMENT CORNERSTONE 13 B	59,467.07	37.17	0.00	37.17	93.0	34.57
06/30/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	54,256.05	45.21	0.00	45.21	93.0	42.05
06/30/2017	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	65,195.46	67.91	0.00	67.91	93.0	63.16
06/30/2017	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	154,725.25	161.17	0.00	161.17	93.0	149.89
06/30/2017	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	72,843.59	60.70	0.00	60.70	93.0	56.45
06/30/2017	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	77,491.62	64.58	0.00	64.58	93.0	60.06
06/30/2017	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	129,480.17	107.90	0.00	107.90	93.0	100.35
06/30/2017	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,139.37	6.78	0.00	6.78	93.0	6.31
06/30/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	187,625.97	156.35	0.00	156.35	93.0	145.41
06/30/2017	VANDERHOOF, DONNA	JNL PERSPECTIVE L	313,361.62	261.13	0.00	261.13	93.0	242.85
06/30/2017	GREEN, STEVEN	JNL PERSPECTIVE L	77,611.51	64.68	0.00	64.68	93.0	60.15
06/30/2017	GREEN, STEVEN	JNL PERSPECTIVE L	66,696.47	55.58	0.00	55.58	93.0	51.69
06/30/2017	WOLFF, JEAN	JNL PERSPECTIVE L	74,686.22	62.24	0.00	62.24	93.0	57.88
06/30/2017	WOLFF, JEAN	JNL PERSPECTIVE L	399,101.70	332.58	0.00	332.58	93.0	309.30
06/30/2017	CURTIS, JEFFREY	JNL PERSPECTIVE L	132,765.16	110.64	0.00	110.64	93.0	102.90

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	FUENTEZ, IVAN	JNL PERSPECTIVE L	229,281.37	191.07	0.00	191.07	93.0	177.70
06/30/2017	ROSE, ERIC	JNL PERSPECTIVE L	43,746.96	36.46	0.00	36.46	93.0	33.91
06/30/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE L	145,845.49	121.54	0.00	121.54	93.0	113.03
06/30/2017	MAINE, VINCENT	JNL PERSPECTIVE L	136,094.80	56.71	0.00	56.71	93.0	52.74
06/30/2017	CALABRESE, CAROL	JNL PERSPECTIVE L	248,573.63	207.14	0.00	207.14	93.0	192.64
06/30/2017	SCHRIOCK, LINDA	JNL PERSPECTIVE L	55,149.78	45.96	0.00	45.96	93.0	42.74
06/30/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	23,699.01	19.75	0.00	19.75	93.0	18.37
06/30/2017	MAINE, VINCENT	JNL PERSPECTIVE L	158,631.00	66.10	0.00	66.10	93.0	61.47
06/30/2017	LUTZ, TRACY	JNL PERSPECTIVE L	22,442.79	18.70	0.00	18.70	93.0	17.39
06/30/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	170,960.15	142.47	0.00	142.47	93.0	132.50
06/30/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	103,270.88	86.06	0.00	86.06	93.0	80.04
06/30/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	149,676.36	124.73	0.00	124.73	93.0	116.00
06/30/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	153,381.73	127.82	0.00	127.82	93.0	118.87
06/30/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	83,744.48	69.79	0.00	69.79	93.0	64.90
06/30/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	179,357.72	149.46	0.00	149.46	93.0	139.00
06/30/2017	KOCON, RAYMOND	JNL PERSPECTIVE L	411,982.36	343.32	0.00	343.32	93.0	319.29
06/30/2017	HOGSTAD, MARY	JNL PERSPECTIVE L	143,183.73	119.32	0.00	119.32	93.0	110.97
06/30/2017	ROSE, DENNIS	JNL PERSPECTIVE L	158,332.91	131.94	0.00	131.94	93.0	122.70
06/30/2017	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	437,178.95	364.32	0.00	364.32	93.0	338.82
06/30/2017	LEASE, NATHELLE	JNL PERSPECTIVE L	273,088.44	227.57	0.00	227.57	93.0	211.64
06/30/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	197,095.63	164.25	0.00	164.25	93.0	152.75
06/30/2017	VETTER, JOANN	JNL PERSPECTIVE L	644,438.89	537.03	0.00	537.03	93.0	499.44
06/30/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	443,865.76	369.89	0.00	369.89	93.0	344.00
06/30/2017	VETTER, RICHARD	JNL PERSPECTIVE L	123,048.73	102.54	0.00	102.54	93.0	95.36
06/30/2017	VETTER, JOANN	JNL PERSPECTIVE L	113,053.54	94.21	0.00	94.21	93.0	87.62
06/30/2017	FLOR, PATRICIA	JNL PERSPECTIVE L	1,720,707.40	1,433.92	0.00	1,433.92	93.0	1,333.55
06/30/2017	KRAHMER, LE ANN	JNL PERSPECTIVE L	326,348.49	271.96	0.00	271.96	93.0	252.92
06/30/2017	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	122,854.13	102.38	0.00	102.38	93.0	95.21
06/30/2017	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	170,589.29	142.16	0.00	142.16	93.0	132.21
06/30/2017	SHORE, JERRY	JNL PERSPECTIVE L	848,851.57	707.38	0.00	707.38	93.0	657.86
06/30/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,076,523.94	897.10	0.00	897.10	93.0	834.30
06/30/2017	SHORE, JERRY	JNL PERSPECTIVE L	305,699.63	254.75	0.00	254.75	93.0	236.92
06/30/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	261,035.21	217.53	0.00	217.53	93.0	202.30

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	972,433.87	810.36	0.00	810.36	93.0	753.63
06/30/2017	JOHNSON, ELOIS	JNL PERSPECTIVE L	450,583.72	375.49	0.00	375.49	93.0	349.21
06/30/2017	BERKNESS, RONALD	JNL PERSPECTIVE L	373,206.53	311.01	0.00	311.01	93.0	289.24
06/30/2017	WOLFF, ANITA	JNL PERSPECTIVE L	580,082.49	483.40	0.00	483.40	93.0	449.56
06/30/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	772,057.18	643.38	0.00	643.38	93.0	598.34
06/30/2017	BERKNESS, CAROLE	JNL PERSPECTIVE L	155,290.20	129.41	0.00	129.41	93.0	120.35
06/30/2017	WOLFF, WALTER	JNL PERSPECTIVE L	808,547.52	673.79	0.00	673.79	93.0	626.62
06/30/2017	LARSON, LOIS	JNL PERSPECTIVE L	55,062.50	45.89	0.00	45.89	93.0	42.68
06/30/2017	LARSON, DEAN	JNL PERSPECTIVE L	113,845.31	94.87	0.00	94.87	93.0	88.23
06/30/2017	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	311,315.53	259.43	0.00	259.43	93.0	241.27
06/30/2017	DALLMANN, HOWARD	JNL PERSPECTIVE L	188,383.30	156.99	0.00	156.99	93.0	146.00
06/30/2017	DALLMANN, MARGARET	JNL PERSPECTIVE L	22,656.18	18.88	0.00	18.88	93.0	17.56
06/30/2017	CLOW, SANDRA	JNL PERSPECTIVE L	698,946.85	582.46	0.00	582.46	93.0	541.69
06/30/2017	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	534,643.04	445.54	0.00	445.54	93.0	414.35
06/30/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	347,469.00	289.56	0.00	289.56	93.0	269.29
06/30/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	418,146.07	348.46	0.00	348.46	93.0	324.07
06/30/2017	DOBIE, SHERYL	JNL PERSPECTIVE L	879,628.61	733.02	0.00	733.02	93.0	681.71
06/30/2017	MICHALETZ, GERALD	JNL PERSPECTIVE L	149,175.60	124.31	0.00	124.31	93.0	115.61
06/30/2017	COLEMAN, ELAINE	JNL PERSPECTIVE L	311,333.30	168.64	0.00	168.64	93.0	156.84
06/30/2017	BANWART, ROGER	JNL PERSPECTIVE L	155,294.93	129.41	0.00	129.41	93.0	120.35
06/30/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	104,431.36	87.03	0.00	87.03	93.0	80.94
06/30/2017	COLEMAN, ARNOLD	JNL PERSPECTIVE L	55,524.06	30.08	0.00	30.08	93.0	27.97
06/30/2017	MICHALETZ, FAYE	JNL PERSPECTIVE L	66,427.30	55.36	0.00	55.36	93.0	51.48
06/30/2017	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	308,799.66	257.33	0.00	257.33	93.0	239.32
06/30/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	238,082.51	198.40	0.00	198.40	93.0	184.51
06/30/2017	POST, TERRY	JNL PERSPECTIVE L	192,305.24	160.25	0.00	160.25	93.0	149.03
06/30/2017	HUISMAN, GERALDINE	JNL PERSPECTIVE L	160,010.31	133.34	0.00	133.34	93.0	124.01
06/30/2017	STEVENS, GEORGE	JNL PERSPECTIVE L	298,857.57	249.05	0.00	249.05	93.0	231.62
06/30/2017	BUCK, NORMAN	JNL PERSPECTIVE L	225,397.57	187.83	0.00	187.83	93.0	174.68
06/30/2017	PANOS, GERALDINE	JNL PERSPECTIVE L	114,476.02	95.40	0.00	95.40	93.0	88.72
06/30/2017	SHIRK, PAMELA	JNL PERSPECTIVE L	195,947.06	163.29	0.00	163.29	93.0	151.86
06/30/2017	PAHL, JAMES	JNL PERSPECTIVE L	142,544.08	118.79	0.00	118.79	93.0	110.47
06/30/2017	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	244,376.66	203.65	0.00	203.65	93.0	189.39

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06/30/2017	UTZKA, LANA	JNL PERSPECTIVE L	8,111.42	6.76	0.00	6.76	93.0	6.29
06/30/2017	SHAW, CHARLES	JNL PERSPECTIVE L	132,738.93	110.62	0.00	110.62	93.0	102.88
06/30/2017	WARD, JACQUELINE	JNL PERSPECTIVE L	38,062.70	31.72	0.00	31.72	93.0	29.50
06/30/2017	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	168,099.62	140.08	0.00	140.08	93.0	130.27
06/30/2017	KENDALL, ARCHIE	JNL PERSPECTIVE L	468,942.90	390.79	0.00	390.79	93.0	363.43
06/30/2017	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	135,429.57	112.86	0.00	112.86	93.0	104.96
06/30/2017	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	51,775.13	43.15	0.00	43.15	93.0	40.13
06/30/2017	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	42,791.84	35.66	0.00	35.66	93.0	33.16
06/30/2017	TRINITY EVANGELICAL	JNL PERSPECTIVE L	411,228.66	342.69	0.00	342.69	93.0	318.70
06/30/2017	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	687,567.78	572.97	0.00	572.97	93.0	532.86
06/30/2017	ARNOLD, EVERETT	JNL PERSPECTIVE L	695,982.90	579.99	0.00	579.99	93.0	539.39
06/30/2017	SCHMIDT, LORI	JNL PERSPECTIVE L	119,347.76	99.46	0.00	99.46	93.0	92.50
06/30/2017	SCHMIDT, HAROLD	JNL PERSPECTIVE L	488,184.11	406.82	0.00	406.82	93.0	378.34
06/30/2017	ZIEGLER, DARRELL	JNL PERSPECTIVE L	41,319.65	34.43	0.00	34.43	93.0	32.02
06/30/2017	MOLL TURKEYS INC	JNL PERSPECTIVE L	513,241.95	427.70	0.00	427.70	93.0	397.76
06/30/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	23,740.73	19.78	0.00	19.78	93.0	18.40
06/30/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	105,008.82	87.51	0.00	87.51	93.0	81.38
06/30/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	28,151.73	23.46	0.00	23.46	93.0	21.82
06/30/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	30,974.52	25.81	0.00	25.81	93.0	24.00
06/30/2017	FITZSIMMONS, GARY	JNL PERSPECTIVE L	336,615.31	280.51	0.00	280.51	93.0	260.87
06/30/2017	REED, MARY	JNL PERSPECTIVE L	267,174.95	222.65	0.00	222.65	93.0	207.06
06/30/2017	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	131,580.97	109.65	0.00	109.65	93.0	101.97
06/30/2017	DIETRICH, SHARON	JNL PERSPECTIVE L	204,013.39	170.01	0.00	170.01	93.0	158.11
06/30/2017	KUEHLWEIN, RICHARD	JNL PERSPECTIVE L	52,618.14	43.85	0.00	43.85	93.0	40.78
06/30/2017	JERROW, ROBERT	JNL PERSPECTIVE L	321,322.11	267.77	0.00	267.77	93.0	249.03
06/30/2017	KASTER, JANICE	JNL PERSPECTIVE L	157,225.26	131.02	0.00	131.02	93.0	121.85
06/30/2017	FEAR, DUANE	JNL PERSPECTIVE L	74,363.90	61.97	0.00	61.97	93.0	57.63
06/30/2017	FEAR, LINDA	JNL PERSPECTIVE L	41,894.53	34.91	0.00	34.91	93.0	32.47
06/30/2017	ESLINGER, PATRICIA	JNL PERSPECTIVE L	23,230.43	19.36	0.00	19.36	93.0	18.00
06/30/2017	OLSON, MARK	JNL PERSPECTIVE L	338,400.06	282.00	0.00	282.00	93.0	262.26
06/30/2017	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	299,128.82	249.27	0.00	249.27	93.0	231.82
06/30/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	34,259.51	28.55	0.00	28.55	93.0	26.55
06/30/2017	ZIEGLER, DIANNE	JNL PERSPECTIVE L	14,578.97	12.15	0.00	12.15	93.0	11.30

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06/30/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	369,104.44	307.59	0.00	307.59	93.0	286.06
06/30/2017	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	952,523.96	793.77	0.00	793.77	93.0	738.21
06/30/2017	ANDERSON, DONALD	JNL PERSPECTIVE L	154,223.20	128.52	0.00	128.52	93.0	119.52
06/30/2017	ROY, JAMES	JNL PERSPECTIVE L	204,326.59	170.27	0.00	170.27	93.0	158.35
06/30/2017	OLSON, BRENDA	JNL PERSPECTIVE L	52,135.81	43.45	0.00	43.45	93.0	40.41
06/30/2017	OLSON, BRYCE	JNL PERSPECTIVE L	422,374.54	351.98	0.00	351.98	93.0	327.34
06/30/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	254,215.12	211.85	0.00	211.85	93.0	197.02
06/30/2017	GOFF, WESLEY	JNL PERSPECTIVE L	31,895.85	26.58	0.00	26.58	93.0	24.72
06/30/2017	CONRAD, RONALD	JNL PERSPECTIVE L	128,724.85	107.27	0.00	107.27	93.0	99.76
06/30/2017	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	436,930.64	364.11	0.00	364.11	93.0	338.62
06/30/2017	GRAFF, BRENT	JNL PERSPECTIVE L	58,211.40	48.51	0.00	48.51	93.0	45.11
06/30/2017	FOSTER, RODNEY	JNL PERSPECTIVE L	187,065.65	155.89	0.00	155.89	93.0	144.98
06/30/2017	SKELLY, SCOTT	JNL PERSPECTIVE L	231,592.47	192.99	0.00	192.99	93.0	179.48
06/30/2017	SKELLY, BRENDA	JNL PERSPECTIVE L	25,790.67	21.49	0.00	21.49	93.0	19.99
06/30/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	157,988.98	131.66	0.00	131.66	93.0	122.44
06/30/2017	SEVERSON, STANLEY	JNL PERSPECTIVE L	272,548.45	227.12	0.00	227.12	93.0	211.22
06/30/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	101,251.97	84.38	0.00	84.38	93.0	78.47
06/30/2017	GUNDERSON, HOLLY	JNL PERSPECTIVE L	38,019.19	31.68	0.00	31.68	93.0	29.46
06/30/2017	SEVERSON, JOYCE	JNL PERSPECTIVE L	114,176.85	95.15	0.00	95.15	93.0	88.49
06/30/2017	GUNDERSON, BRIAN	JNL PERSPECTIVE L	93,780.61	78.15	0.00	78.15	93.0	72.68
06/30/2017	SIEBERG, JOSEPH	JNL PERSPECTIVE L	69,947.45	58.29	0.00	58.29	93.0	54.21
06/30/2017	SIEBERG, DIANE	JNL PERSPECTIVE L	24,807.63	20.67	0.00	20.67	93.0	19.22
06/30/2017	CONRAD, DELBERT	JNL PERSPECTIVE L	110,399.86	92.00	0.00	92.00	93.0	85.56
06/30/2017	NELSON, BRENDA	JNL PERSPECTIVE L	233,499.07	194.58	0.00	194.58	93.0	180.96
06/30/2017	DORN, SANDRA	JNL PERSPECTIVE L	316,875.93	264.06	0.00	264.06	93.0	245.58
06/30/2017	HAGEN, LINDA	JNL PERSPECTIVE L	1,681,910.14	1,401.59	0.00	1,401.59	93.0	1,303.48
06/30/2017	HUGGINS, JEANNE	JNL PERSPECTIVE L	185,434.64	154.53	0.00	154.53	93.0	143.71
06/30/2017	THOMPSON, MAX	JNL PERSPECTIVE L	142,870.69	119.06	0.00	119.06	93.0	110.73
06/30/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	168,761.44	140.63	0.00	140.63	93.0	130.79
06/30/2017	THOMPSON, MAX	JNL PERSPECTIVE L	465,115.87	387.60	0.00	387.60	93.0	360.47
06/30/2017	HUGGINS, TONY	JNL PERSPECTIVE L	288,778.56	240.65	0.00	240.65	93.0	223.80
06/30/2017	HOWELL, NICOLE	JNL PERSPECTIVE L	195,132.32	162.61	0.00	162.61	93.0	151.23
06/30/2017	STIERLEN, ROGER	JNL PERSPECTIVE L	42,824.42	35.69	0.00	35.69	93.0	33.19

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	692,643.19	577.20	0.00	577.20	93.0	536.80
06/30/2017	OTTO, JANET	JNL PERSPECTIVE L	24,012.43	20.01	0.00	20.01	93.0	18.61
06/30/2017	OTTO, JAMES	JNL PERSPECTIVE L	575,488.40	479.57	0.00	479.57	93.0	446.00
06/30/2017	BUCK, DELORES	JNL PERSPECTIVE L	249,570.53	207.98	0.00	207.98	93.0	193.42
06/30/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	496,889.33	414.07	0.00	414.07	93.0	385.09
06/30/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	225,344.90	187.79	0.00	187.79	93.0	174.64
06/30/2017	KLEMENT, GARY	JNL PERSPECTIVE L	682,504.14	568.75	0.00	568.75	93.0	528.94
06/30/2017	KLEMENT, GARY	JNL PERSPECTIVE L	678,356.73	565.30	0.00	565.30	93.0	525.73
06/30/2017	CLOW, SANDRA	JNL PERSPECTIVE L	451,383.83	376.15	0.00	376.15	93.0	349.82
06/30/2017	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	708,138.86	590.12	0.00	590.12	93.0	548.81
06/30/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	148,202.35	123.50	0.00	123.50	93.0	114.86
06/30/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	32,378.16	26.98	0.00	26.98	93.0	25.09
06/30/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	62,957.83	52.46	0.00	52.46	93.0	48.79
06/30/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	35,839.41	29.87	0.00	29.87	93.0	27.78
06/30/2017	GARTNER, MARIE	JNL PERSPECTIVE L	159,399.57	132.83	0.00	132.83	93.0	123.53
06/30/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	1,036,567.58	863.81	0.00	863.81	93.0	803.34
06/30/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	199,816.74	166.51	0.00	166.51	93.0	154.85
06/30/2017	FITTERER, RUTH	JNL PERSPECTIVE L	197,737.75	164.78	0.00	164.78	93.0	153.25
06/30/2017	MICHELS, DAVID	JNL PERSPECTIVE L	127,697.01	106.41	0.00	106.41	93.0	98.96
06/30/2017	MICHELS, BEVERLEY	JNL PERSPECTIVE L	302,147.06	251.79	0.00	251.79	93.0	234.16
06/30/2017	HANSON, JOY	JNL PERSPECTIVE L	197,176.31	164.31	0.00	164.31	93.0	152.81
06/30/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	520,766.04	433.97	0.00	433.97	93.0	403.59
06/30/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	582,164.79	485.14	0.00	485.14	93.0	451.18
06/30/2017	ROBINSON, MARILYN	JNL PERSPECTIVE L	132,265.40	110.22	0.00	110.22	93.0	102.50
06/30/2017	FOSTER, RICHARD	JNL PERSPECTIVE L	967,714.28	806.43	0.00	806.43	93.0	749.98
06/30/2017	STAFFEN, ROBERT	JNL PERSPECTIVE L	110,663.71	92.22	0.00	92.22	93.0	85.76
06/30/2017	TURNER, MYRNA	JNL PERSPECTIVE L	176,828.70	147.36	0.00	147.36	93.0	137.04
06/30/2017	TURNER, WENDELL &	JNL PERSPECTIVE L	159,268.61	132.72	0.00	132.72	93.0	123.43
06/30/2017	BENZ, FORREST	JNL PERSPECTIVE L	29,645.53	24.70	0.00	24.70	93.0	22.97
06/30/2017	BENZ, FORREST	JNL PERSPECTIVE L	257,163.22	214.30	0.00	214.30	93.0	199.30
06/30/2017	WEIBLE, DEAN	JNL PERSPECTIVE L	46,115.06	38.43	0.00	38.43	93.0	35.74
06/30/2017	SOUKUP, LAVERN	JNL PERSPECTIVE L	246,860.02	205.72	0.00	205.72	93.0	191.32
06/30/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	115,793.04	96.49	0.00	96.49	93.0	89.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	392,359.57	326.97	0.00	326.97	93.0	304.08
06/30/2017	SHEARER, KAREN	JNL PERSPECTIVE L	116,015.12	96.68	0.00	96.68	93.0	89.91
06/30/2017	SHEARER, DONALD	JNL PERSPECTIVE L	314,465.70	262.05	0.00	262.05	93.0	243.71
06/30/2017	JOHNSON, JESSE	JNL PERSPECTIVE L	108,469.02	90.39	0.00	90.39	93.0	84.06
06/30/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	7,593.08	6.33	0.00	6.33	93.0	5.89
06/30/2017	JOHNSON, KAREN	JNL PERSPECTIVE L	7,589.89	6.32	0.00	6.32	93.0	5.88
06/30/2017	SNYDER, CYNTHIA	JNL PERSPECTIVE L	27,571.73	22.98	0.00	22.98	93.0	21.37
06/30/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	83,109.12	69.26	0.00	69.26	93.0	64.41
06/30/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	22,969.00	19.14	0.00	19.14	93.0	17.80
06/30/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	78,104.27	65.09	0.00	65.09	93.0	60.53
06/30/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	120,352.81	65.19	0.00	65.19	93.0	60.63
06/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	120,352.80	65.19	0.00	65.19	93.0	60.63
06/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	128,657.73	107.21	0.00	107.21	93.0	99.71
06/30/2017	LABRIER, JOHN	JNL PERSPECTIVE L	71,141.61	59.28	0.00	59.28	93.0	55.13
06/30/2017	LABRIER, PAULA	JNL PERSPECTIVE L	14,769.49	12.31	0.00	12.31	93.0	11.45
06/30/2017	WETZELL, PAMELA	JNL PERSPECTIVE L	84,247.10	70.21	0.00	70.21	93.0	65.30
06/30/2017	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	240,578.68	200.48	0.00	200.48	93.0	186.45
06/30/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	41,858.11	34.88	0.00	34.88	93.0	32.44
06/30/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	33,127.66	27.61	0.00	27.61	93.0	25.68
06/30/2017	BOESCH, RODNEY	JOHN HANCOCK VENTURE	143,158.88	29.82	0.00	29.82	93.0	27.73
06/30/2017	JONES, THOMAS	METLIFE SERIES L	164,009.60	410.02	0.00	410.02	93.0	381.32
06/30/2017	BERGLING, PAUL	METLIFE SERIES L	758,729.61	1,896.82	0.00	1,896.82	93.0	1,764.04
06/30/2017	BERGLING, SHARON	METLIFE SERIES L	248,106.51	620.27	0.00	620.27	93.0	576.85
06/30/2017	HUSBYN, ROGER	METLIFE SERIES L	166,526.15	416.32	0.00	416.32	93.0	387.18
06/30/2017	OTTO, CHRISTOPHER	METLIFE SERIES L	91,761.51	229.40	0.00	229.40	93.0	213.34
06/30/2017	SANDER, GLORIAN	METLIFE SERIES L	311,930.92	779.83	0.00	779.83	93.0	725.24
06/30/2017	KIECKER, DENISE	METLIFE SERIES L	106,863.18	267.16	0.00	267.16	93.0	248.46
06/30/2017	ROSS TRUST, MARY JO	METLIFE SERIES L	63,382.40	158.46	0.00	158.46	93.0	147.37
06/30/2017	HANSGEN, DIANE	METLIFE SERIES L	310,144.89	775.36	0.00	775.36	93.0	721.08
06/30/2017	WERKMEISTER, DAVID	METLIFE SERIES L	689,793.42	1,724.48	0.00	1,724.48	93.0	1,603.77
06/30/2017	VETTER, BRIAN	METLIFE SERIES L	156,755.42	391.89	0.00	391.89	93.0	364.46
06/30/2017	OLINGER, THOMAS	METLIFE SERIES L	60,058.50	150.15	0.00	150.15	93.0	139.64
06/30/2017	HINTON, TIMOTHY	METLIFE SERIES L	22,121.19	55.30	0.00	55.30	93.0	51.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	FLOR, PATRICIA	METLIFE SERIES L	97,839.07	244.60	0.00	244.60	93.0	227.48
06/30/2017	FRANKLIN, WILLIAM	METLIFE SERIES L	300,979.16	752.45	0.00	752.45	93.0	699.78
06/30/2017	FRANKLIN, ROSALIE	METLIFE SERIES L	98,272.75	245.68	0.00	245.68	93.0	228.48
06/30/2017	AYERS, KATHRYN	METLIFE SERIES L	386,570.28	966.43	0.00	966.43	93.0	898.78
06/30/2017	ARNOLD, RICHARD	METLIFE SERIES L	133,404.35	333.51	0.00	333.51	93.0	310.16
06/30/2017	ARNOLD, RICHARD	METLIFE SERIES L	442,591.35	1,106.48	0.00	1,106.48	93.0	1,029.03
06/30/2017	WALLACE, LLOYD	METLIFE SERIES L	388,368.04	970.92	0.00	970.92	93.0	902.96
06/30/2017	BRIELMAIER, MARK	METLIFE SERIES L	281,582.60	703.96	0.00	703.96	93.0	654.68
06/30/2017	WEIBLE, DEAN	METLIFE SERIES L	404,950.44	1,012.38	0.00	1,012.38	93.0	941.51
06/30/2017	WOLFF, WALTER	METLIFE SERIES L	35,869.79	89.67	0.00	89.67	93.0	83.39
06/30/2017	WOLFF, LINDA	METLIFE SERIES L	150,236.51	375.59	0.00	375.59	93.0	349.30
06/30/2017	OTTO, JOLENE	METLIFE SERIES L	139,945.97	349.86	0.00	349.86	93.0	325.37
06/30/2017	WALLACE, DEANNE	METLIFE SERIES L	98,807.30	247.02	0.00	247.02	93.0	229.73
06/30/2017	HEIDTMAN, JOYCE	METLIFE SERIES L	93,304.50	233.26	0.00	233.26	93.0	216.93
06/30/2017	BIRK, DAVID	METLIFE SERIES L	1,417,667.14	3,544.17	0.00	3,544.17	93.0	3,296.08
06/30/2017	MAINE, DOUGLAS	METLIFE SERIES L	248,545.70	621.36	0.00	621.36	93.0	577.86
06/30/2017	JONES, LINDA	METLIFE SERIES L	40,465.41	101.16	0.00	101.16	93.0	94.08
06/30/2017	MILLER, KAREN	METLIFE SERIES L	67,109.91	167.77	0.00	167.77	93.0	156.03
06/30/2017	LELAND, CRAIG	METLIFE SERIES L	326,817.11	817.04	0.00	817.04	93.0	759.85
06/30/2017	ROY, JAMES	METLIFE SERIES L	219,734.47	549.34	0.00	549.34	93.0	510.89
06/30/2017	FLO, DAVID	METLIFE SERIES L	560,303.21	1,400.76	0.00	1,400.76	93.0	1,302.71
06/30/2017	MILLER, KAREN	METLIFE SERIES L	344,604.19	861.51	0.00	861.51	93.0	801.20
06/30/2017	BIRK, DAVID	METLIFE SERIES L	139,914.94	349.79	0.00	349.79	93.0	325.30
06/30/2017	KIECKER, MARK	METLIFE SERIES L	157,704.19	394.26	0.00	394.26	93.0	366.66
06/30/2017	BIRK, MARILYN	METLIFE SERIES L	96,559.85	241.40	0.00	241.40	93.0	224.50
06/30/2017	ROSSING, PATRICIA	METLIFE SERIES L	394,903.75	987.26	0.00	987.26	93.0	918.15
06/30/2017	SWANSON, DARLA	METLIFE SERIES L	231,765.28	579.41	0.00	579.41	93.0	538.85
06/30/2017	ERICKSON, RONALD	METLIFE SERIES L	346,242.05	865.61	0.00	865.61	93.0	805.02
06/30/2017	FRANKLIN, WILLIAM	METLIFE SERIES L	255,832.15	639.58	0.00	639.58	93.0	594.81
06/30/2017	TOMM, JODI	METLIFE SERIES L	134,719.74	336.80	0.00	336.80	93.0	313.22
06/30/2017	STANTON, KAREN	METLIFE SERIES L	134,445.82	336.11	0.00	336.11	93.0	312.58
06/30/2017	KELLOGG, J EDWARD	METLIFE SERIES L	516,773.59	1,291.93	0.00	1,291.93	93.0	1,201.49
06/30/2017	HEIDTMAN, JOYCE	METLIFE SERIES L	64,666.58	161.67	0.00	161.67	93.0	150.35

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	BOUDREAU, DIANE	METLIFE USA SERIES VA	362,117.42	905.29	0.00	905.29	93.0	841.92
06/27/2017	SIVANICH, DONALD	PACIFIC LIFE STEP UP VA	0.00	97.72	0.00	97.72	93.0	90.88
06/30/2017	OLSON, BRYCE	PRU AS ADVISOR PLAN III	128,764.11	80.48	0.00	80.48	93.0	74.85
06/30/2017	OLSON, BRENDA	PRU PREMIER RETIREMENT L	83,476.30	208.69	0.00	208.69	93.0	194.08
06/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	23,798.54	19.83	0.00	19.83	93.0	18.44
06/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	455,896.56	379.91	0.00	379.91	93.0	353.32
06/15/2017	PEDERSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	67,351.24	56.13	0.00	56.13	93.0	52.20
06/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	113,297.60	94.41	0.00	94.41	93.0	87.80
06/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	200,790.98	167.33	0.00	167.33	93.0	155.62
06/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	16,923.83	3.53	0.00	3.53	93.0	3.28
06/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	11,646.33	2.43	0.00	2.43	93.0	2.26
06/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	16,678.90	3.47	0.00	3.47	93.0	3.23
06/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	169,479.18	141.23	0.00	141.23	93.0	131.34
06/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	43,191.34	35.99	0.00	35.99	93.0	33.47
06/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	306,932.13	255.78	0.00	255.78	93.0	237.88
06/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	664,773.25	553.98	0.00	553.98	93.0	515.20
06/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	23,083.95	19.24	0.00	19.24	93.0	17.89
06/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,222.52	5.19	0.00	5.19	93.0	4.83
06/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	34,560.21	28.80	0.00	28.80	93.0	26.78
06/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	17,673.05	14.73	0.00	14.73	93.0	13.70
06/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	18,245.61	15.20	0.00	15.20	93.0	14.14
06/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	23,923.44	19.94	0.00	19.94	93.0	18.54
06/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	50,143.29	41.79	0.00	41.79	93.0	38.86
06/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	17,108.63	14.26	0.00	14.26	93.0	13.26
06/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	230,273.27	191.89	0.00	191.89	93.0	178.46
06/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,299,509.68	1,082.92	0.00	1,082.92	93.0	1,007.12
06/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	7,487.59	6.24	0.00	6.24	93.0	5.80
06/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	214,876.61	179.06	0.00	179.06	93.0	166.53
06/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	18,078.73	15.07	0.00	15.07	93.0	14.02
06/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	62,422.05	52.02	0.00	52.02	93.0	48.38
06/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	53,678.81	44.73	0.00	44.73	93.0	41.60
06/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	33,555.21	27.96	0.00	27.96	93.0	26.00
06/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	30,689.07	25.57	0.00	25.57	93.0	23.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	50,977.58	42.48	0.00	42.48	93.0	39.51
06/29/2017	BULLER, HUGH	TRANSAMERICA EXTRA	13,321.50	11.10	0.00	11.10	93.0	10.32
06/29/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	28,961.23	24.13	0.00	24.13	93.0	22.44
06/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	217,464.34	181.22	0.00	181.22	93.0	168.53
06/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	12,275.66	10.23	0.00	10.23	93.0	9.51
06/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	110,155.21	91.80	0.00	91.80	93.0	85.37
06/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	952,539.56	793.78	0.00	793.78	93.0	738.22
06/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	775,556.07	646.30	0.00	646.30	93.0	601.06
06/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	79,095.94	65.91	0.00	65.91	93.0	61.30
06/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	64,522.16	53.77	0.00	53.77	93.0	50.01
06/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	172,556.62	143.80	0.00	143.80	93.0	133.73
06/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,270,126.30	1,058.44	0.00	1,058.44	93.0	984.35
06/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	280,749.10	233.96	0.00	233.96	93.0	217.58
06/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	98,827.74	82.36	0.00	82.36	93.0	76.59
06/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	321,819.30	268.18	0.00	268.18	93.0	249.41
06/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	117,820.86	98.18	0.00	98.18	93.0	91.31
06/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	129,617.48	108.01	0.00	108.01	93.0	100.45
06/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	69,373.29	57.81	0.00	57.81	93.0	53.76
06/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	371,529.27	309.61	0.00	309.61	93.0	287.94
06/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	439,683.73	366.40	0.00	366.40	93.0	340.75
06/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,300.37	11.92	0.00	11.92	93.0	11.09
06/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	486,034.51	405.03	0.00	405.03	93.0	376.68
06/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	152,938.48	127.45	0.00	127.45	93.0	118.53
06/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	653,614.42	544.68	0.00	544.68	93.0	506.55
06/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	49,975.68	41.65	0.00	41.65	93.0	38.73
06/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,060.78	10.88	0.00	10.88	93.0	10.12
06/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	67,944.76	56.62	0.00	56.62	93.0	52.66
06/29/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	222,753.23	185.63	0.00	185.63	93.0	172.64
06/29/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	50,369.39	41.97	0.00	41.97	93.0	39.03
06/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	58,248.66	48.54	0.00	48.54	93.0	45.14
06/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	35,773.56	29.81	0.00	29.81	93.0	27.72
06/17/2017	NAWROCKI TRUST, ALBIN	TRANSAMERICA LIBERTY	40,231.24	33.53	0.00	33.53	93.0	31.18
06/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	81,798.20	68.17	0.00	68.17	93.0	63.40

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	212,343.70	176.95	0.00	176.95	93.0	164.56
06/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	3,776.21	3.15	0.00	3.15	93.0	2.93
* VARIABLE ANNUITIES SUBTOTAL *			\$78,235,832.85	\$92,518.62	\$0.00	\$92,518.62		\$86,042.29
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.85	0.00	0.85	93.0	0.79
06/20/2017	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.24	0.00	0.24	93.0	0.22
06/20/2017	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.64	0.00	0.64	93.0	0.60
06/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.68	0.00	0.68	93.0	0.63
06/20/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	93.0	0.11
06/20/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	93.0	0.74
06/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	93.0	0.94
06/20/2017	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.65	0.00	1.65	93.0	1.53
06/20/2017	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	93.0	0.10
06/20/2017	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.66	0.00	0.66	93.0	0.61
06/20/2017	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.53	0.00	1.53	93.0	1.42
06/20/2017	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	93.0	0.82
06/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	93.0	0.49
06/20/2017	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.42	0.00	1.42	93.0	1.32
06/20/2017	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.66	0.00	0.66	93.0	0.61
06/20/2017	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.72	0.00	1.72	93.0	1.60
06/20/2017	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.13	0.00	0.13	93.0	0.12
06/20/2017	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.33	0.00	0.33	93.0	0.31
06/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.32	0.00	0.32	93.0	0.30
06/20/2017	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	93.0	0.10
06/20/2017	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.64	0.00	0.64	93.0	0.60
06/20/2017	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
06/20/2017	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.48	0.00	0.48	93.0	0.45
06/20/2017	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	93.0	0.47
06/20/2017	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.31	0.00	1.31	93.0	1.22
06/19/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.16	0.00	4.16	93.0	3.87
06/19/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.47	0.00	12.47	93.0	11.60
06/19/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.22	0.00	21.22	93.0	19.73
06/19/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	63.67	0.00	63.67	93.0	59.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/19/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.18	0.00	42.18	93.0	39.23
06/19/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	126.54	0.00	126.54	93.0	117.68
06/19/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.11	0.00	2.11	93.0	1.96
06/19/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.32	0.00	6.32	93.0	5.88
06/19/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.82	0.00	31.82	93.0	29.59
06/19/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	95.47	0.00	95.47	93.0	88.79
06/15/2017	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	21.05	0.00	21.05	93.0	19.58
06/15/2017	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	18.19	0.00	18.19	93.0	16.92
06/15/2017	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.70	0.00	2.70	93.0	2.51
06/15/2017	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.42	0.00	8.42	93.0	7.83
06/15/2017	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	15.98	0.00	15.98	93.0	14.86
06/19/2017	TURNER, WANDA	JH HIGH YIELD A	0.00	1.39	0.00	1.39	93.0	1.29
06/19/2017	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.77	0.00	3.77	93.0	3.51
06/19/2017	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	8.90	0.00	8.90	93.0	8.28
06/19/2017	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.30	0.00	8.30	93.0	7.72
06/14/2017	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.45	0.00	0.45	93.0	0.42
06/14/2017	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.40	0.00	7.40	93.0	6.88
06/14/2017	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.69	0.00	0.69	93.0	0.64
06/14/2017	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	3.83	0.00	3.83	93.0	3.56
06/14/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	12.96	0.00	12.96	93.0	12.05
06/14/2017	SCHLOMANN, DAVID	MFS MODERATE ALLOCATION C	0.00	5.30	0.00	5.30	93.0	4.93
06/14/2017	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	7.96	0.00	7.96	93.0	7.40
06/14/2017	POLTZ, ALAN	MFS RESEARCH A	0.00	0.48	0.00	0.48	93.0	0.45
06/21/2017	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.10	0.00	3.10	93.0	2.88
06/21/2017	REINKE, RONALD	PUTNAM HIGH YIELD TRUST A	0.00	0.46	0.00	0.46	93.0	0.43
06/13/2017	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.60	0.00	0.60	93.0	0.56
06/13/2017	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.87	0.00	3.87	93.0	3.60
06/16/2017	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	25.64	0.00	25.64	93.0	23.85
06/16/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.28	0.00	2.28	93.0	2.12
06/16/2017	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	11.80	0.00	11.80	93.0	10.97
06/16/2017	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	24.09	0.00	24.09	93.0	22.40
06/16/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.17	0.00	3.17	93.0	2.95
06/16/2017	GRUBISH, JAMES	TRANSAMERICA AA GROWTH C	0.00	29.75	0.00	29.75	93.0	27.67

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/16/2017	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	14.73	0.00	14.73	93.0	13.70
06/16/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	70.41	0.00	70.41	93.0	65.48
06/16/2017	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	4.85	0.00	4.85	93.0	4.51
06/16/2017	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	32.59	0.00	32.59	93.0	30.31
06/16/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	67.75	0.00	67.75	93.0	63.01
06/16/2017	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	1.95	0.00	1.95	93.0	1.81
06/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.69	0.00	0.69	93.0	0.64
06/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.73	0.00	0.73	93.0	0.68
06/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.89	0.00	0.89	93.0	0.83
06/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.20	0.00	1.20	93.0	1.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$851.68	\$0.00	\$851.68		\$792.06
*** BROKER TRANSACTIONS TOTAL ***			\$86,142,027.66	\$404,879.12	\$30.00	\$404,849.12		\$376,509.62

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

06/20/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
06/20/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
06/20/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
06/20/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$422.40	\$4.23	\$0.00	\$4.23		\$3.94
*** SPLIT TRANSACTIONS TOTAL ***			\$422.40	\$4.23	\$0.00	\$4.23		\$3.94

OVERRIDE TRANSACTIONS**BROKER: JACK MICHALETZ #287**

06/30/2017	STEPHENS, GREG	ALLIANZ VISION BASIC	61,319.95	153.30	0.00	153.30	0.0	142.57
06/30/2017	ZABEL, DENNIS	ALLIANZ VISION BASIC	124,760.67	311.90	0.00	311.90	0.0	290.07
06/30/2017	GEHRKE, DEBORAH	ALLIANZ VISION BASIC	31,725.75	39.66	0.00	39.66	0.0	36.88
07/03/2017	STEPHENS, GREG	AM GEN POLARIS CHOICE IV	39,480.61	98.70	0.00	98.70	0.0	91.79
06/30/2017	FEMRITE, LYLE	AXA ACCUM 04 ELITE (RO IRA)	79,131.26	217.61	0.00	217.61	0.0	202.38
06/30/2017	VANTOL, LARRY	AXA REITREMENT CORNERSTONE 13 L	42,724.73	106.81	0.00	106.81	0.0	99.33
06/30/2017	STEFFL, JOE	AXA REITREMENT CORNERSTONE 13 L	504,287.64	1,260.72	0.00	1,260.72	0.0	1,172.47

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
06/28/2017	NELSON, DONALD	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	0.0	6,510.00
06/30/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	174,577.51	36.38	0.00	36.38	0.0	33.83
06/30/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	7,966.42	6.64	0.00	6.64	0.0	6.18
06/30/2017	PETERSON, IONE	JNL PERSPECTIVE L	123,332.20	133.60	0.00	133.60	0.0	124.25
06/30/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	113,038.43	94.20	0.00	94.20	0.0	87.61
06/30/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	203,734.75	169.78	0.00	169.78	0.0	157.90
06/30/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	8,777.79	7.31	0.00	7.31	0.0	6.80
06/30/2017	HOL, PATRICIA	JNL PERSPECTIVE L	122,013.10	101.68	0.00	101.68	0.0	94.56
06/30/2017	HAGER, REBECCA	JNL PERSPECTIVE L	60,840.84	50.70	0.00	50.70	0.0	47.15
06/30/2017	MARSH, JAMES	JNL PERSPECTIVE L	37,157.12	30.96	0.00	30.96	0.0	28.79
06/30/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	131,940.67	109.95	0.00	109.95	0.0	102.25
06/30/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	287,357.40	239.46	0.00	239.46	0.0	222.70
06/30/2017	HART, JILLANE	JNL PERSPECTIVE L	93,007.79	77.50	0.00	77.50	0.0	72.08
06/30/2017	HART, KEVIN	JNL PERSPECTIVE L	275,016.46	229.18	0.00	229.18	0.0	213.14
06/30/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	23,537.83	19.61	0.00	19.61	0.0	18.24
06/30/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,020.83	31.68	0.00	31.68	0.0	29.46
06/30/2017	WOLFE JR, THEODORE	MET LIFE USA SERIES C SHARE	684,282.48	2,138.38	0.00	2,138.38	0.0	1,988.69
07/05/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.04
07/03/2017	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	1.17	0.00	1.17	0.0	1.09
07/05/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.05
07/03/2017	CONNOLLY, BARBARA	PUTNAM GROWTH & INCOME A	0.00	0.95	0.00	0.95	0.0	0.88
06/29/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	200.00	9.50	0.00	9.50	0.0	8.84
06/29/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	200.00	9.50	0.00	9.50	0.0	8.84
07/05/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.20	0.00	1.20	0.0	1.12
07/05/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	3.26	0.00	3.26	0.0	3.03
07/05/2017	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.16	0.00	1.16	0.0	1.08
07/05/2017	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.78	0.00	0.78	0.0	0.73
07/05/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	0.62	0.00	0.62	0.0	0.58
06/27/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	140.17	1.40	0.00	1.40	0.0	1.30
06/27/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	140.17	1.40	0.00	1.40	0.0	1.30
06/30/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	49.21	0.49	0.00	0.49	0.0	0.46
06/30/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	49.21	0.49	0.00	0.49	0.0	0.46
06/30/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
06/30/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
06/30/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	69.75	0.70	0.00	0.70	0.0	0.65
06/30/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	69.75	0.70	0.00	0.70	0.0	0.65
06/30/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	87.37	0.87	0.00	0.87	0.0	0.81
06/30/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	87.37	0.87	0.00	0.87	0.0	0.81
07/05/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-8.37
07/05/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.67	0.00	2.67	0.0	2.48
07/05/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.31	0.00	5.31	0.0	4.94
07/05/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	15.67	0.00	15.67	0.0	14.57
07/05/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	47.47	0.00	47.47	0.0	44.15
07/05/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	40.91	0.00	40.91	0.0	38.05
07/05/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.60	0.00	6.60	0.0	6.14
07/05/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	16.58	0.00	16.58	0.0	15.42
07/05/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	16.04	0.00	16.04	0.0	14.92
07/05/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.06	0.00	2.06	0.0	1.92
07/05/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.08	0.00	2.08	0.0	1.93
07/05/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.35	0.00	1.35	0.0	1.26
07/05/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.46	0.00	3.46	0.0	3.22
07/05/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.07	0.00	1.07	0.0	1.00
07/05/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.05	0.00	1.05	0.0	0.98
07/05/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.63	0.00	4.63	0.0	4.31
07/05/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.04	0.00	8.04	0.0	7.48
07/05/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	2.04	0.00	2.04	0.0	1.90
07/05/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	12.59	0.00	12.59	0.0	11.71
07/05/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	14.59	0.00	14.59	0.0	13.57
07/05/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.37	0.00	0.37	0.0	0.34
07/05/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.52	0.00	4.52	0.0	4.20
07/05/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.77	0.00	1.77	0.0	1.65
07/05/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.66	0.00	2.66	0.0	2.47
07/05/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.70	0.00	1.70	0.0	1.58
07/05/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	2.88	0.00	2.88	0.0	2.68
07/05/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.89	0.00	0.89	0.0	0.83
07/05/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.10	0.00	4.10	0.0	3.81

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
06/27/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	195.59	1.96	0.00	1.96	0.0	1.82
06/27/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	195.59	1.96	0.00	1.96	0.0	1.82
07/05/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	4.87	0.00	4.87	0.0	4.53
07/05/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	41.60	0.00	41.60	0.0	38.69
07/05/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	3.23	0.00	3.23	0.0	3.00
07/05/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.14	0.00	6.14	0.0	5.71
07/05/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.25	0.00	1.25	0.0	1.16
07/05/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.26	0.00	2.26	0.0	2.10
07/05/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.25	0.00	9.25	0.0	8.60
07/05/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	0.00	14.40	0.00	14.40	0.0	13.39
07/05/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.02	0.00	6.02	0.0	5.60
07/05/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	1.78	0.00	1.78	0.0	1.66
06/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	16,705.38	13.92	0.00	13.92	0.0	12.95
06/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	17,176.59	14.31	0.00	14.31	0.0	13.31
06/26/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
06/26/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
06/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	83,325.60	69.44	0.00	69.44	0.0	64.58
06/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,571.40	72.98	0.00	72.98	0.0	67.87
* JACK MICHALETZ SUBTOTAL *			\$3,575,231.64	\$13,202.61	\$36.00	\$13,166.61		\$12,245.01
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,575,231.64	\$13,202.61	\$36.00	\$13,166.61		\$12,245.01

ADJUSTMENTS

07/15/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00						1,720.33
07/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
07/15/2017	*EO INS: CURRENT MONTH INS-JACK	0.00						-300.00
07/15/2017	*FINRA: TX IND. REG. FEE JACK	0.00						-35.00
07/15/2017	*PERSHING: A HINTON ADJ FOR TRADE	0.00						-22.00
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-1.50
07/15/2017	*PERSHING: BOOKS AND RECORDS/JACK	0.00						-1.50
07/15/2017	*PERSHING: PROSPECTUS	0.00						-1.55
07/15/2017	*PERSHING: PROSPECTUS	0.00						-6.37

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<u>ADJUSTMENTS</u>								
07/15/2017		*PERSHING: PROSPECTUS	0.00					-2.41
07/15/2017		*TECH: ADMIN - BRIDGET	0.00					-100.00
07/15/2017		*TECH: ADMIN - CHRISTINE	0.00					-100.00
07/15/2017		*TECH: ADMIN - JANE	0.00					-100.00
07/15/2017		*TECH: ADMIN - NICOLE	0.00					-100.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
07/15/2017		*TECH: CURRENT MONTH TECH-BORST	0.00					-100.00
07/15/2017		*TECH: CURRENT MONTH TECH-JACK	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$376,509.62
Split Transactions	\$3.94
Override Transactions	\$12,245.01
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$388,758.57
Year-to-date Gross	\$3,355,767.85
Year-to-date Earnings	\$3,244,600.59

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