

COMMISSION STATEMENT

February 28, 2018

JAY SHARTSIS
54 BIRCHWOOD LANEBROKER #: 37
BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
02/21/2018	75501786 WRIGHTON, BRYAN	ENTERPRISE PRODUCTS PARTNERS LP	S	20,858.40	75.00	10.00	65.00	50.0	32.50
02/21/2018	75501786 WRIGHTON, BRYAN	HP INC COM	S	4,320.56	50.00	10.00	40.00	50.0	20.00
02/22/2018	QGW008872 CINQUEMANI, FRANK	KROGER CO (THE)	S	-5,460.79	60.00	6.00	54.00	50.0	27.00
02/22/2018	QGW008872 CINQUEMANI, FRANK	SNAP INC CLASS A COMMON STOCK	S	-3,418.54	60.00	6.00	54.00	50.0	27.00
02/05/2018	QGW009094 KANTO, WILLIAM	ISHARES 20 PLUS YEAR TREASURY BOND	B	106,977.24	161.00	6.00	155.00	50.0	77.50
02/08/2018	QGW009094 KANTO, WILLIAM	ISHARES 20 PLUS YEAR TREASURY BOND	B	59,078.75	88.00	6.00	82.00	50.0	41.00
01/30/2018	QGW009151 KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	B	2,190.00	84.12	6.00	78.12	50.0	39.06
02/01/2018	QGW009151 KOBOLD, MICHAEL	APPLE INC	B	16,792.66	80.73	6.00	74.73	50.0	37.37
02/01/2018	QGW009151 KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	S	-8,500.25	174.00	6.00	168.00	50.0	84.00
02/07/2018	QGW009151 KOBOLD, MICHAEL	WPX ENERGY INC	B	4,205.16	85.63	6.00	79.63	50.0	39.82
02/09/2018	QGW009151 KOBOLD, MICHAEL	APPLE INC	S	-15,720.43	50.00	6.00	44.00	50.0	22.00
02/09/2018	QGW009151 KOBOLD, MICHAEL	WPX ENERGY INC	S	-9,177.98	65.00	6.00	59.00	50.0	29.50
02/16/2018	QGW009177 -, MICHAEL KRUEGER	KRONOS WORLDWIDE INC COM	S	-11,250.00	50.00	6.00	44.00	50.0	22.00
02/16/2018	QGW009177 -, MICHAEL KRUEGER	KRONOS WORLDWIDE INC COM	S	11,250.00	-50.00	-6.00	-44.00	50.0	-22.00 C
02/16/2018	QGW009177 -, MICHAEL KRUEGER	KRONOS WORLDWIDE INC COM	S	-11,250.00	50.00	6.00	44.00	50.0	22.00
02/09/2018	QGW009292 OSTOW, MARK	DIREXION SHARES ETF TRUST DIREXION	B	33,740.00	165.00	6.00	159.00	50.0	79.50
02/22/2018	QGW009367 SCHIFF MINOR, NICOLE	KROGER CO (THE)	S	-5,406.72	60.00	6.00	54.00	50.0	27.00
02/22/2018	QGW009367 SCHIFF MINOR, NICOLE	SNAP INC CLASS A COMMON STOCK	S	-3,418.80	60.00	6.00	54.00	50.0	27.00
02/22/2018	QGW009490 PARTNERSHIP, 311	KROGER CO (THE)	S	-13,526.70	60.00	6.00	54.00	50.0	27.00
02/22/2018	QGW009490 PARTNERSHIP, 311	SNAP INC CLASS A COMMON STOCK	S	-17,092.10	80.00	6.00	74.00	50.0	37.00
01/31/2018	QGW009540 CINQUEMANI, FRANK	ALIBABA GROUP HOLDING LTD SPONSORED	B	51,126.15	125.00	6.00	119.00	50.0	59.50
02/07/2018	QGW009540 CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	6,995.00	142.77	6.00	136.77	50.0	68.39
02/07/2018	QGW009540 CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	-6,995.00	-142.77	-6.00	-136.77	50.0	-68.39 C
02/07/2018	QGW009540 CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	6,995.00	62.50	6.00	56.50	50.0	28.25
02/07/2018	QGW009540 CINQUEMANI, FRANK	INTEL CORP	B	22,957.50	75.00	6.00	69.00	50.0	34.50
02/21/2018	QGW009540 CINQUEMANI, FRANK	BITCOIN INVT TR SHS	B	5,526.00	111.45	6.00	105.45	50.0	52.73
02/22/2018	QGW009540 CINQUEMANI, FRANK	KROGER CO (THE)	S	-54,104.80	160.00	6.00	154.00	50.0	77.00
02/22/2018	QGW009540 CINQUEMANI, FRANK	SNAP INC CLASS A COMMON STOCK	S	-34,190.00	160.00	6.00	154.00	50.0	77.00
02/22/2018	QGW021420 CINQUEMANI, FRANK	SNAP INC CLASS A COMMON STOCK	S	-17,090.10	80.00	6.00	74.00	50.0	37.00
02/16/2018	QGW029514 HUNT, GREGORY	VANECK VECTORS ETF TR JR GOLD MINER	B	15,500.00	237.84	6.00	231.84	50.0	115.92
* STOCKS SUBTOTAL *				\$151,910.21	\$2,520.27	\$164.00	\$2,356.27		\$1,178.15

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/05/2018	QGW009094 KANTO, WILLIAM	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-43,650.00	274.00	7.00	267.00	50.0	133.50
02/06/2018	QGW009094 KANTO, WILLIAM	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-4,310.00	99.87	7.00	92.87	50.0	46.44
02/01/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 KRONOS WORLDWIDE INC COM E S		-250.00	50.00	7.00	43.00	50.0	21.50
02/01/2018	QGW009177 -, MICHAEL KRUEGER	PUT 100 KRONOS WORLDWIDE INC COM EX S		-240.00	40.00	7.00	33.00	50.0	16.50
02/01/2018	QGW009177 -, MICHAEL KRUEGER	PUT 100 KRONOS WORLDWIDE INC COM EX S		-65.00	10.00	7.00	3.00	50.0	1.50
02/07/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P S		-275.00	50.00	7.00	43.00	50.0	21.50
02/08/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P B		1,475.00	50.00	7.00	43.00	50.0	21.50
02/09/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 KRONOS WORLDWIDE INC COM E S		-465.00	50.00	7.00	43.00	50.0	21.50
02/09/2018	QGW009177 -, MICHAEL KRUEGER	PUT 100 PROSHARES TR II ULTRA BLOOM S		-350.00	50.00	7.00	43.00	50.0	21.50
02/13/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P S		-285.00	50.00	7.00	43.00	50.0	21.50
02/20/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P S		-260.00	50.00	7.00	43.00	50.0	21.50
02/21/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 BARCLAYS BK PLC IPATH S&P S		-310.00	50.00	7.00	43.00	50.0	21.50
02/21/2018	QGW009177 -, MICHAEL KRUEGER	PUT 100 KRONOS WORLDWIDE INC COM EX S		-375.00	50.00	7.00	43.00	50.0	21.50
02/22/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 AXT INC COM EXP 03-16-18@1 B		50.00	50.00	7.00	43.00	50.0	21.50
02/09/2018	QGW009292 OSTOW, MARK	CALL 100 DIREXION SHS ETF TR DAILY S		-1,800.00	104.94	7.00	97.94	50.0	48.97
02/09/2018	QGW009292 OSTOW, MARK	CALL 100 DIREXION SHS ETF TR DAILY S		1,800.00	-104.94	-7.00	-97.94	50.0	-48.97 C
02/09/2018	QGW009292 OSTOW, MARK	CALL 100 DIREXION SHS ETF TR DAILY S		-1,800.00	85.00	7.00	78.00	50.0	39.00
02/22/2018	QGW009540 CINQUEMANI, FRANK	CALL 100 SANGAMO BIOSCIENCES INC CO S		-1,930.00	106.80	7.00	99.80	50.0	49.90
02/22/2018	QGW009540 CINQUEMANI, FRANK	CALL 100 SANGAMO BIOSCIENCES INC CO S		1,930.00	-106.80	-7.00	-99.80	50.0	-49.90 C
02/22/2018	QGW009540 CINQUEMANI, FRANK	CALL 100 SANGAMO BIOSCIENCES INC CO S		-1,930.00	95.00	7.00	88.00	50.0	44.00
02/12/2018	QGW009581 PRINDLE DDS	CALL 100 WASHINGTON PRIME GROUP NEW S		-4,200.00	244.00	7.00	237.00	50.0	118.50
02/12/2018	QGW009581 PRINDLE DDS	CALL 100 WASHINGTON PRIME GROUP NEW S		4,200.00	-244.00	-7.00	-237.00	50.0	-118.50 C
02/12/2018	QGW009581 PRINDLE DDS	CALL 100 WASHINGTON PRIME GROUP NEW S		-4,200.00	200.00	7.00	193.00	50.0	96.50
01/31/2018	QGW029514 HUNT, GREGORY	CALL 100 LUMBER LIQUIDATORS HLDGS I S		-3,480.00	124.65	7.00	117.65	50.0	58.83
01/31/2018	QGW029514 HUNT, GREGORY	CALL 100 LUMBER LIQUIDATORS HLDGS I B		530.00	50.00	7.00	43.00	50.0	21.50
02/05/2018	QGW029514 HUNT, GREGORY	CALL 100 BARCLAYS BK PLC IPATH S&P S		-10,750.00	174.00	7.00	167.00	50.0	83.50
02/09/2018	QGW029514 HUNT, GREGORY	CALL 100 NVIDIA CORP COM EXP 03-16- B		4,436.00	101.12	7.00	94.12	50.0	47.06
02/09/2018	QGW029514 HUNT, GREGORY	CALL 100 NVIDIA CORP COM EXP 03-16- S		-7,300.00	146.18	7.00	139.18	50.0	69.59
02/12/2018	QGW029514 HUNT, GREGORY	CALL 100 MICRON TECHNOLOGY INC COM S		-1,760.00	122.00	7.00	115.00	50.0	57.50
02/12/2018	QGW029514 HUNT, GREGORY	CALL 100 MICRON TECHNOLOGY INC COM B		480.00	50.00	7.00	43.00	50.0	21.50
02/12/2018	QGW029514 HUNT, GREGORY	CALL 100 MICRON TECHNOLOGY INC COM S		1,760.00	-122.00	-7.00	-115.00	50.0	-57.50 C
02/12/2018	QGW029514 HUNT, GREGORY	CALL 100 MICRON TECHNOLOGY INC COM S		-1,760.00	88.00	7.00	81.00	50.0	40.50
02/16/2018	QGW029514 HUNT, GREGORY	CALL 100 LUMBER LIQUIDATORS HLDGS I B		200.00	50.00	7.00	43.00	50.0	21.50
02/16/2018	QGW029514 HUNT, GREGORY	CALL 100 MICRON TECHNOLOGY INC COM B		3,640.00	115.00	7.00	108.00	50.0	54.00
02/16/2018	QGW029514 HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B		5,780.00	124.00	7.00	117.00	50.0	58.50
02/16/2018	QGW029514 HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 S		-8,240.00	143.00	7.00	136.00	50.0	68.00
* OPTIONS SUBTOTAL *				\$-73,704.00	\$2,469.82	\$196.00	\$2,273.82		\$1,136.92

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/09/2018	75501786	WRIGHTON, BRYAN	**CLEARBRIDGE LARGE CAP VALUE	B	0.00	48.34	0.00	48.34	50.0	24.17
02/22/2018	QGW030561	CERASO, ANTONIO	BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	0.30	0.00	0.30	50.0	0.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$48.64	\$0.00	\$48.64		\$24.32
*** BROKER TRANSACTIONS TOTAL ***					\$78,206.21	\$5,038.73	\$360.00	\$4,678.73		\$2,339.39

ADJUSTMENTS

02/28/2018	:			0.00	0.00	0.00	0.00			-25.90
02/28/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00			-20.00
02/28/2018	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00			-8.00
02/28/2018	OTHER: OTHER--SALARY			0.00	0.00	0.00	0.00			500.00
02/28/2018	OTHER: OTHER-WASHINGTON PRIME--CANC			0.00	0.00	0.00	0.00			-271.42
02/28/2018	OTHER: CBOE			0.00	0.00	0.00	0.00			-250.00
02/28/2018	OTHER: EXCHANGE FEES			0.00	0.00	0.00	0.00			-96.36
02/28/2018	OTHER: QUODD			0.00	0.00	0.00	0.00			-65.88
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-237.56

BROKER COMMISSION TOTALS

Broker Transactions	\$2,339.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-237.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.30
SIPC Assessment	\$-12.60

Check Amount	\$2,082.93
Year-to-date Earnings	\$3,206.59