



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2017

04/18/2017
 Page No. 1

BRION NORBY
 4905 Prairie Lane

BROKER #: N121
 BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
03/10/2017	FREIH, DIANE	COTTONWOOD MULTIFAMILY REIT I-REG A	60,000.00	1,800.00	0.00	1,800.00	90.0	1,620.00
03/22/2017	BARTINGALE, JAMES	LIGHTSTONE VALUE PLUS REAL ESTATE I	54,000.00	0.00	0.00	0.00	90.0	0.00
03/08/2017	FREIH, DIANE	LIGHTSTONE VALUE PLUS REIT III INC	70,000.00	2,450.00	0.00	2,450.00	90.0	2,205.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$184,000.00	\$4,250.00	\$0.00	\$4,250.00		\$3,825.00
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
03/08/2017	RED RIVER SUPPLY	PRINCIPAL LIFE FLEX INV VA	0.00	40.38	0.00	40.38	90.0	36.34
03/17/2017	BARNETT, REBECCA	VANGUARD SMALL-CAP INDEX FUND ADMIR	800.00	0.00	2.42	-2.42	90.0	-2.18
* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$800.00	\$40.38	\$2.42	\$37.96		\$34.16
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
03/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	0.85	0.00	0.85	90.0	0.77
03/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	0.85	0.00	0.85	90.0	0.77
03/15/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	13.70	0.00	13.70	90.0	12.33
03/16/2017	RASMUSSEN, CLINTON	HPI REAL ESTATE FUND V LLC	98,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	KUHN, KRISTINE	HPI REAL ESTATE FUND V LLC	74,000.00	0.00	0.00	0.00	90.0	0.00
03/21/2017	JORGENSEN, BLAINE	LIGHTSTONE VALUE PLUS REAL ESTATE I	100,000.00	0.00	0.00	0.00	90.0	0.00
03/23/2017	KUHN, KENNETH	LIGHTSTONE VALUE PLUS REAL ESTATE I	87,000.00	0.00	0.00	0.00	90.0	0.00
03/14/2017	KUHN, KRISTINE	SHOPOFF COMMERCIAL GRWTH & INC FD 3	44,000.00	1,232.00	0.00	1,232.00	90.0	1,108.80
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$403,500.00	\$1,251.88	\$0.00	\$1,251.88		\$1,126.66
BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336								
03/21/2017	HENNEN, STUART	HPI REAL ESTATE FUND V LLC	75,000.00	0.00	0.00	0.00	90.0	0.00
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$75,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** SPLIT TRANSACTIONS TOTAL ***			\$663,300.00	\$5,542.26	\$2.42	\$5,539.84		\$4,985.82
<u>ADJUSTMENTS</u>								
03/31/2017		*CONCIERGE SVC: 188451 RASMUSSEN	0.00					-20.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$4,985.82
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-909.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,056.71
Year-to-date Gross	\$7,577.06
Year-to-date Earnings	\$6,813.38