

**COMMISSION STATEMENT****April 30, 2017**

**LAWRENCE HORN**  
 301 E 47TH STREET  
 APT 16J  
 NEW YORK, NY 10017

**BROKER #:**  
**BRANCH:** HOME OFFICE

**COMMISSION STATEMENT for LAWRENCE HORN**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

04/12/2017	QGV005465	NORTH RUN CAPITAL LP	WABASH NATIONAL CORP	B	370,505.90	545.79	10.00	535.79	50.0	262.90
04/06/2017	QGV005630	MORGAN STANLEY	MAGNACHIP SEMICONDUCTOR CORP NEW CO S		-27,280.00	93.00	10.00	83.00	50.0	36.50
04/06/2017	QGV005630	MORGAN STANLEY	TRANSDIGM GROUP INCORPORATED	B	1,360,443.00	180.00	10.00	170.00	50.0	80.00
			<b>* STOCKS SUBTOTAL *</b>		<b>\$1,703,668.90</b>	<b>\$818.79</b>	<b>\$30.00</b>	<b>\$788.79</b>		<b>\$379.40</b>
			<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$1,703,668.90</b>	<b>\$818.79</b>	<b>\$30.00</b>	<b>\$788.79</b>		<b>\$379.40</b>

**ADJUSTMENTS**

04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER: HAMMERSTONE		0.00	0.00	0.00	0.00		-127.50
04/30/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,050.00
04/30/2017		OTHER: OTHER-QUEST		0.00	0.00	0.00	0.00		-50.00
04/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
		<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,252.50</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$379.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.02
SIPC Assessment	\$-15.35

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-889.47</b>
<b>Year-to-date Earnings</b>	<b>\$-889.47</b>