



# COMMISSION STATEMENT

April 02, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/04/2018	***-**-80FB - CURD, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	25.44	25.44	0.00	25.44	70.0	17.81
	ACCOUNT #: 925344088	BUY 0 Units @0.0000						
04/04/2018	***-**-33FB - AUDY, JAMES	LIFEMARK INVESTMENT ADVISORY FEE	188.59	188.59	0.00	188.59	70.0	132.01
	ACCOUNT #: 925433071	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			<b>\$214.03</b>	<b>\$214.03</b>	<b>\$0.00</b>	<b>\$214.03</b>		<b>\$149.82</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/02/2018	***-**-37TR - SCHROEDER TRUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.28	0.00	0.28	70.0	0.20
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
04/02/2018	***-**-514I - BERGIN, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
04/02/2018	***-**-32IO - VASQUEZ, CHARLES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
04/02/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
04/02/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
04/02/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.91	0.00	0.91	70.0	0.64
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
04/02/2018	***-**-33IO - AUDY, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	19.24	0.00	19.24	70.0	13.47
	ACCOUNT #: LSR012379	BUY 1 Units @0.0000						
04/02/2018	***-**-654I - ROSTAD, BARBARA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
04/02/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						
04/02/2018	***-**-0724 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
04/02/2018	***-**-1788 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
04/02/2018	***-**-0737 - SCHROEDER, JACK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
04/02/2018	***-**-1184 - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/02/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
04/02/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
04/02/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
04/02/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
04/02/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
04/02/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.11	0.00	0.11	70.0	0.08
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
04/02/2018	***-**-92IO - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
04/02/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
04/02/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.77	0.00	0.77	70.0	0.54
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
04/02/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
04/02/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
04/02/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	3.61	0.00	3.61	70.0	2.53
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
04/02/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
04/02/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.58	0.00	0.58	70.0	0.41
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
04/02/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						
04/02/2018	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.25	0.00	6.25	70.0	4.38
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						



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<u>ADJUSTMENTS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.40	\$0.00	\$34.40		\$24.10
		*** BROKER TRANSACTIONS TOTAL ***	\$214.03	\$248.43	\$0.00	\$248.43		\$173.92
<u>BROKER COMMISSION TOTALS</u>								
								Broker Transactions \$173.92
								Split Transactions \$0.00
								Override Transactions \$0.00
								Adjustments \$0.00
								Payroll Draw \$0.00
								Base Salary \$0.00
								Prior Period Balance \$0.00
								FINRA Assessment \$0.00
								SIPC Assessment \$0.00
Net Commission Earned							\$173.92	
Year-to-date Earnings							\$26,515.16	