

All Companies
STATEMENT
July 20, 2018

RICHARD KLEIN - LPCM
24 Aster Court

BROKER #: 3292
BRANCH: LIBERTY PARTNERS CAP

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
07/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	105,803.84	216.01	0.00	0.00	216.01	85.0	216.01
07/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	105,803.84	-52.90	0.00	0.00	-52.90	85.0	-52.90
07/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	105,495.38	215.39	0.00	0.00	215.39	85.0	215.39
07/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	105,495.38	-52.74	0.00	0.00	-52.74	85.0	-52.74
* RIA SUBTOTAL *				<u>\$422,598.44</u>	<u>\$325.76</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$325.76</u>		<u>\$325.76</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$422,598.44</u>	<u>\$325.76</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$325.76</u>		<u>\$325.76</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$325.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$325.76
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