

**COMMISSION STATEMENT****December 31, 2017**

ALAN LICHTENSTEIN  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: L133  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
11/15/2017	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	26,129.39	10.89	0.00	10.89	75.0	8.17
12/15/2017	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	26,240.32	10.93	0.00	10.93	75.0	8.20
* RIA SUBTOTAL *			<b>\$52,369.71</b>	<b>\$21.82</b>	<b>\$0.00</b>	<b>\$21.82</b>		<b>\$16.37</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$52,369.71</b>	<b>\$21.82</b>	<b>\$0.00</b>	<b>\$21.82</b>		<b>\$16.37</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$16.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.96
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$15.41</b>
<b>Year-to-date Gross</b>	<b>\$480.63</b>
<b>Year-to-date Earnings</b>	<b>\$360.49</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed