



# COMMISSION STATEMENT

April 09, 2018

ALAN FISHMAN  
3 Buckley Place  
SUITE 615  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/29/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	353.57	0.00	353.57	75.0	265.18
	ACCOUNT #: DAX25472	BUY 0 Units @0.0000						
03/29/2018	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	473.69	0.00	473.69	75.0	355.27
	ACCOUNT #: DAX25507	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817502	0.00	16.48	0.00	16.48	75.0	12.36
	ACCOUNT #: DA737088	BUY 0 Units @0.0000						
03/29/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	167.90	0.00	167.90	75.0	125.93
	ACCOUNT #: DA309184	BUY 0 Units @0.0000						
03/29/2018	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	407.47	0.00	407.47	75.0	305.60
	ACCOUNT #: DA310294	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	90.46	0.00	90.46	75.0	67.85
	ACCOUNT #: DA929914	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	158.24	0.00	158.24	75.0	118.68
	ACCOUNT #: DA930883	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	174.71	0.00	174.71	75.0	131.03
	ACCOUNT #: DA930884	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	748.02	0.00	748.02	75.0	561.02
	ACCOUNT #: DA937680	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	37.30	0.00	37.30	75.0	27.98
	ACCOUNT #: DA941620	BUY 0 Units @0.0000						
03/29/2018	***-**-535P - KOZLOWSKI,	ALLIANZ TRAILS 018817882	0.00	99.27	0.00	99.27	75.0	74.45
	ACCOUNT #: DA370020	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	82.91	0.00	82.91	75.0	62.18
	ACCOUNT #: DA370747	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	37.83	0.00	37.83	75.0	28.37
	ACCOUNT #: DA371348	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	44.78	0.00	44.78	75.0	33.59
	ACCOUNT #: DA371349	BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	49.37	0.00	49.37	75.0	37.03
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						
03/29/2018	***-**-195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	116.24	0.00	116.24	75.0	87.18
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

April 09, 2018

ALAN FISHMAN  
3 Buckley Place  
SUITE 615  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	42.46	0.00	42.46	75.0	31.85
	ACCOUNT #:	DA371478 BUY 0 Units @0.0000						
03/29/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	128.34	0.00	128.34	75.0	96.26
	ACCOUNT #:	DA372127 BUY 0 Units @0.0000						
03/29/2018	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	32.79	0.00	32.79	75.0	24.59
	ACCOUNT #:	DA372611 BUY 0 Units @0.0000						
03/29/2018	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	94.07	0.00	94.07	75.0	70.55
	ACCOUNT #:	DA372612 BUY 0 Units @0.0000						
04/09/2018	***-**-6538 - KOZLOWSKI, MARIA	QUALIFIED PLAN SUMMARY	0.00	176.87	0.00	176.87	75.0	132.65
	ACCOUNT #:	DA370021 BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,532.77</b>	<b>\$0.00</b>	<b>\$3,532.77</b>		<b>\$2,649.60</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$3,532.77</b>	<b>\$0.00</b>	<b>\$3,532.77</b>		<b>\$2,649.60</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$2,649.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$2,649.60</b>
<b>Year-to-date Earnings</b>	<b>\$6,256.28</b>