



COMMISSION STATEMENT

March 05, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/20/2018	***-**-351C - SOWERS, CLIENTS	CAPITAL WORLD GROWTH AND INCOME FUN	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @55.2800						
03/05/2018	***-**-776I - RIPLEY, KIM	SAMMONS LIVEWELL MUTUAL FUND	0.00	100.24	0.00	100.24	90.0	90.22
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
03/05/2018	***-**-776I - RIPLEY, KIM	SAMMONS LIVEWELL MUTUAL FUND	0.00	10.77	0.00	10.77	90.0	9.69
	ACCOUNT #: 7200008740	BUY 0 Units @0.0000						
03/05/2018	***-**-002I - RIPLEY, TOM	SAMMONS LIVEWELL MUTUAL FUND	0.00	45.49	0.00	45.49	90.0	40.94
	ACCOUNT #: 7200009079	BUY 0 Units @0.0000						
03/05/2018	***-**-47IO - ZINGARO, EILEEN	SAMMONS LIVEWELL MUTUAL FUND	0.00	69.37	0.00	69.37	90.0	62.43
	ACCOUNT #: 7200015372	BUY 0 Units @0.0000						
02/20/2018	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @54.8300						
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$242.87	\$0.00	\$242.87		\$218.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$1.50	\$0.00	\$1.50		\$1.35
<u>PRODUCT CATEGORY: RIA</u>								
03/06/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	32.19	32.19	0.00	32.19	90.0	28.97
	ACCOUNT #: 925330644	BUY 0 Units @0.0000						
03/06/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	78.67	78.67	0.00	78.67	90.0	70.80
	ACCOUNT #: 925330602	BUY 0 Units @0.0000						
03/06/2018	***-**-99FB - MAY, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	120.96	120.96	0.00	120.96	90.0	108.86
	ACCOUNT #: 925396322	BUY 0 Units @0.0000						
03/06/2018	***-**-62FB - KEYS, RAMONA	LIFEMARK INVESTMENT ADVISORY FEE	133.65	133.65	0.00	133.65	90.0	120.29
	ACCOUNT #: 925408288	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$365.47	\$365.47	\$0.00	\$365.47		\$328.92



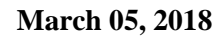
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
02/28/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	199.35	0.00	199.35	90.0	179.42
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
02/28/2018	***-**-395I - PIERSON, GEORGE	GENWORTH 37250G277	0.00	57.08	0.00	57.08	90.0	51.37
	ACCOUNT #: T06441035	BUY 0 Units @0.0000						
02/28/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	2.64	0.00	2.64	90.0	2.38
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
02/28/2018	***-**-9350 - LOWE, JAMES	GENWORTH 37250G277	0.00	84.66	0.00	84.66	90.0	76.19
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
02/28/2018	***-**-32RI - MITCHEN, DORIS	JACKSON ELITE ACCESS	0.00	19.75	0.00	19.75	90.0	17.78
	ACCOUNT #: 1015309900	BUY 0 Units @0.0000						
02/28/2018	***-**-94NQ - REGULSKI, SUSAN	JACKSON ELITE ACCESS	0.00	6.37	0.00	6.37	90.0	5.73
	ACCOUNT #: 1015441751	BUY 0 Units @0.0000						
02/28/2018	***-**-36IN - CLAWSON,	JACKSON ELITE ACCESS	0.00	5.29	0.00	5.29	90.0	4.76
	ACCOUNT #: 1016486066	BUY 0 Units @0.0000						
02/28/2018	***-**-559I - HUBBARD, JAMES	JACKSON NATIONAL PERSPECTIVE II	0.00	31.65	0.00	31.65	90.0	28.49
	ACCOUNT #: 1013454350	BUY 0 Units @0.0000						
02/28/2018	***-**-21IN - KELLER, TERESA	JOHN HANCOCK 41014C168	0.00	6.99	0.00	6.99	90.0	6.29
	ACCOUNT #: 2110977	BUY 0 Units @0.0000						
02/28/2018	***-**-3199 - MAY, DAVID	PERSPECTIVE II DTCC	0.00	40.35	0.00	40.35	90.0	36.32
	ACCOUNT #: 1019236311	BUY 0 Units @0.0000						
02/27/2018	***-**-7693 - WARD, WILLIAM	SUN LIFE REGATTA PLATINUM	0.00	174.49	0.00	174.49	90.0	157.04
	ACCOUNT #: 507907900101137	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$628.62	\$0.00	\$628.62		\$565.77
*** BROKER TRANSACTIONS TOTAL ***			\$865.47	\$1,238.46	\$0.00	\$1,238.46		\$1,114.62



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<div>BROKER COMMISSION TOTALS</div>								
				Broker Transactions				\$1,114.62
				Split Transactions				\$0.00
				Override Transactions				\$0.00
				Adjustments				\$0.00
				Payroll Draw				\$0.00
				Base Salary				\$0.00
				Prior Period Balance				\$0.00
				FINRA Assessment				\$0.00
				SIPC Assessment				\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					<div>Balance Forward\$0.00</div> <div>Year-to-date Earnings\$4,603.75</div>			