



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**September 30, 2017**

09/28/2017  
 Page No. 1

DANIEL RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	RASMUSSEN, MELANIE	AM FUNDS AMCAP 529E	0.00	5.19	0.00	5.19	100.0	5.19
09/01/2017	RASMUSSEN, MELANIE	AM FUNDS AMCAP 529E	0.00	5.19	0.00	5.19	100.0	5.19
09/01/2017	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	5.53	0.00	5.53	100.0	5.53
09/01/2017	RASMUSSEN, MELANIE	AM FUNDS GROWTH FUND OF AMERICA-529	0.00	5.53	0.00	5.53	100.0	5.53
09/01/2017	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	4.26	0.00	4.26	100.0	4.26
09/01/2017	RASMUSSEN, MELANIE	CAPITAL INCOME BUILDER-529E	0.00	4.26	0.00	4.26	100.0	4.26
09/01/2017	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	5.45	0.00	5.45	100.0	5.45
09/01/2017	RASMUSSEN, MELANIE	FUNDAMENTAL INVESTORS-529E	0.00	5.45	0.00	5.45	100.0	5.45
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$40.86	\$0.00	\$40.86		\$40.86
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/31/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.59	0.00	2.59	100.0	2.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.59	\$0.00	\$2.59		\$2.59
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$43.45	\$0.00	\$43.45		\$43.45

**SPLIT TRANSACTIONS**

**BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251**

09/11/2017	TK MARSHALL INC	INLAND SELF STORAGE PF V DST	793,056.15	13,481.95	0.00	13,481.95	100.0	13,481.95
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$793,056.15	\$13,481.95	\$0.00	\$13,481.95		\$13,481.95

**BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252**

08/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	24.73	0.00	24.73	100.0	24.73
08/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	26.43	0.00	26.43	100.0	26.43
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$51.16	\$0.00	\$51.16		\$51.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b>								
09/14/2017	CRESPO, ALEJANDRO	NEW YORK REIT INC COM	-6,171.85	7.50	5.82	1.68	100.0	1.68
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			<b>\$-6,171.85</b>	<b>\$7.50</b>	<b>\$5.82</b>	<b>\$1.68</b>		<b>\$1.68</b>
<b><u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u></b>								
09/19/2017	LAMBOS, VASILIOS	SABRA HEALTH CARE REIT INC COM	-772.23	12.50	11.25	1.25	100.0	1.25
09/19/2017	LAMBOS, VASILIOS	VENTAS INC	-8,487.55	12.50	11.25	1.25	100.0	1.25
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			<b>\$-9,259.78</b>	<b>\$25.00</b>	<b>\$22.50</b>	<b>\$2.50</b>		<b>\$2.50</b>
<b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b>								
09/20/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	-8,654.25	0.00	0.00	0.00	100.0	0.00
09/20/2017	RASMUSSEN, MELANIE	PIONEER FUND A	-1,607.76	0.00	0.00	0.00	100.0	0.00
09/20/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	-4,073.92	0.00	0.00	0.00	100.0	0.00
09/20/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	-3,871.55	11.00	11.00	0.00	100.0	0.00
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			<b>\$-18,207.48</b>	<b>\$11.00</b>	<b>\$11.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u></b>								
09/14/2017	LALOR, EDWARD	HIGHLAND CAPITAL NREA GARDENS DST	128,253.94	1,923.81	0.00	1,923.81	100.0	1,923.81
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			<b>\$128,253.94</b>	<b>\$1,923.81</b>	<b>\$0.00</b>	<b>\$1,923.81</b>		<b>\$1,923.81</b>
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
09/25/2017	DAMONE, DENNIS	COTTONWOOD MULTIFAMILY REIT II, INC	32,500.00	0.00	0.00	0.00	100.0	0.00
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			<b>\$32,500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
09/21/2017	WASSERMAN TRUST, NINA	DELTA AIRLINES INC COM NEW	14,449.50	0.00	3.38	-3.38	100.0	-3.38
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			<b>\$14,449.50</b>	<b>\$0.00</b>	<b>\$3.38</b>	<b>\$-3.38</b>		<b>\$-3.38</b>
<b><u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u></b>								
09/20/2017	KRESLAVSKAYA, YEVGENIYA	GPB AUTOMOTIVE PORTFOLIO LP	85,000.00	0.00	0.00	0.00	100.0	0.00
09/20/2017	KRESLAVSKAYA, YEVGENIYA	HPI REAL ESTATE FUND V LLC	30,000.00	0.00	0.00	0.00	100.0	0.00
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			<b>\$115,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u></b>								
09/14/2017	CSD MAIDEN HLDGS	BLUEROCK SONOMA POINTE DST	1,706,350.00	24,854.16	0.00	24,854.16	100.0	24,854.16
09/01/2017	CSD CLOVER HLDGS	INLAND GULF COAST IND'L DST	419,904.00	4,618.94	0.00	4,618.94	100.0	4,618.94
09/14/2017	CSD MAIDEN HLDGS	INLAND GULF COAST IND'L DST	603,000.00	6,633.00	0.00	6,633.00	100.0	6,633.00
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			<b>\$2,729,254.00</b>	<b>\$36,106.10</b>	<b>\$0.00</b>	<b>\$36,106.10</b>		<b>\$36,106.10</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
*** SPLIT TRANSACTIONS TOTAL ***			\$3,778,874.48	\$51,606.52	\$42.70	\$51,563.82		\$51,563.82
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ALAN LICHTENSTEIN #249 </u></b>								
09/14/2017	MUNZER, CRAIG	EXCHANGERIGHT NET LEASED PF 16 DST	154,104.73	10,787.33	0.00	10,787.33	75.0	1,348.42
* ALAN LICHTENSTEIN SUBTOTAL *			\$154,104.73	\$10,787.33	\$0.00	\$10,787.33		\$1,348.42
<b><u> BROKER: MARC LINSKY #263 </u></b>								
09/21/2017	LINSKY, VARIOUS	TRANSAMERICA	735.00	3.68	0.00	3.68	90.0	0.06
09/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	2.89	0.00	2.89	90.0	0.05
09/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.26	0.00	1.26	90.0	0.02
09/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.56	0.00	0.56	90.0	0.01
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.31	0.00	1.31	90.0	0.02
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.31	0.00	1.31	90.0	0.02
09/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.88	0.00	0.88	90.0	0.01
09/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.88	0.00	0.88	90.0	0.01
09/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	10.03	0.00	10.03	90.0	0.17
09/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	112.81	0.00	112.81	90.0	1.92
09/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.55	0.00	3.55	90.0	0.06
09/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.55	0.00	3.55	90.0	0.06
09/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.40	0.00	3.40	90.0	0.06
09/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.36	0.00	3.36	90.0	0.06
09/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.54	0.00	0.54	90.0	0.01
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.37	0.00	1.37	90.0	0.02
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.37	0.00	1.37	90.0	0.02
09/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	116.75	0.00	116.75	90.0	1.98
09/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.83	0.00	0.83	90.0	0.01
09/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.76	0.00	1.76	90.0	0.03
09/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.83	0.00	1.83	90.0	0.03
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.05	0.00	6.05	90.0	0.10
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.01	0.00	1.01	90.0	0.02
09/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.03	0.00	2.03	90.0	0.03
09/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.25	0.00	2.25	90.0	0.04
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.96	0.00	6.96	90.0	0.12

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARC LINSKY #263 </u></b>								
09/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.15	0.00	1.15	90.0	0.02
09/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.49	0.00	7.49	90.0	0.13
09/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.79	0.00	7.79	90.0	0.13
09/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.60	0.00	3.60	90.0	0.06
09/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.71	0.00	0.71	90.0	0.01
09/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.42	0.00	0.42	90.0	0.01
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.29	0.00	1.29	90.0	0.02
09/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.29	0.00	1.29	90.0	0.02
09/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.56	0.00	12.56	90.0	0.21
09/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	110.83	0.00	110.83	90.0	1.88
09/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.72	0.00	3.72	90.0	0.06
09/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.72	0.00	3.72	90.0	0.06
09/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.39	0.00	7.39	90.0	0.13
09/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.42	0.00	8.42	90.0	0.14
09/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.72	0.00	0.72	90.0	0.01
09/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.66	0.00	8.66	90.0	0.15
09/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
09/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.00	0.00	8.00	90.0	0.14
09/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.29	0.00	3.29	90.0	0.06
09/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.04	0.00	3.04	90.0	0.05
09/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.87	0.00	0.87	90.0	0.01
09/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.87	0.00	0.87	90.0	0.01
09/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.93	0.00	3.93	90.0	0.07
09/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	90.0	0.07
09/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	52.34	2.62	22.00	-19.38	90.0	-0.33
09/21/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	425.60	21.28	22.00	-0.72	90.0	-0.01
09/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.68	0.00	0.68	90.0	0.01
09/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.68	0.00	0.68	90.0	0.01
09/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.39	0.00	1.39	90.0	0.02
09/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.14	0.00	3.14	90.0	0.05
09/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.64	0.00	0.64	90.0	0.01
09/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.64	0.00	0.64	90.0	0.01
09/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	6.40	0.00	6.40	90.0	0.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: MARC LINSKY #263</b>								
09/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.43	0.00	0.43	90.0	0.01
09/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.15	0.00	1.15	90.0	0.02
09/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	6.12	0.00	6.12	90.0	0.10
09/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.58	0.00	2.58	90.0	0.04
09/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.58	0.00	2.58	90.0	0.04
09/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.41	0.00	6.41	90.0	0.11
09/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.65	0.00	6.65	90.0	0.11
09/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	90.0	0.01
09/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	90.0	0.01
09/21/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	421.38	21.07	22.00	-0.93	90.0	-0.02
09/01/2017	CENTANNI, RICHARD	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	1.95	0.00	1.95	90.0	0.03
09/12/2017	CHERRY, ANDREW	BLACKROCK LATIN AMERICA FUND INST C	-4,140.26	0.00	22.00	-22.00	90.0	-0.37
09/13/2017	CHERRY, ANDREW	BLACKROCK LATIN AMERICA FUND INST C	-3,697.50	0.00	22.00	-22.00	90.0	-0.37
09/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	7.10	0.00	7.10	90.0	0.12
09/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.58	0.00	2.58	90.0	0.04
09/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.58	0.00	2.58	90.0	0.04
09/13/2017	BUSLER, MARIANNE	GRIFFIN INST'L ACCESS R E FUND C	12,008.30	120.08	0.00	120.08	90.0	2.04
09/14/2017	KROSNOWSKI SR, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	5,006.61	50.07	0.00	50.07	90.0	0.85
09/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	230.58	2.31	22.00	-19.69	90.0	-0.33
09/13/2017	BOND-HILTNER, LINDA	J JILL INC COM	5,038.60	30.00	22.75	7.25	90.0	0.12
09/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	1,170.14	38.03	22.00	16.03	90.0	0.27
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	2,854.00	92.76	22.00	70.76	90.0	1.20
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	4,266.73	138.67	22.00	116.67	90.0	1.98
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	2,211.85	71.89	22.00	49.89	90.0	0.85
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	1,164.12	17.46	22.00	-4.54	90.0	-0.08
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	2,847.08	42.71	22.00	20.71	90.0	0.35
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	2,201.80	33.03	22.00	11.03	90.0	0.19
09/18/2017	ROSENBLUM, LUCILLE	METLIFE SHIELD LEVEL SELECT 6 YR	200,345.10	12,020.71	0.00	12,020.71	90.0	204.35
09/05/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	82.82	0.00	82.82	90.0	1.41
09/11/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.08	0.00	55.08	90.0	0.94
09/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	219.68	0.00	219.68	90.0	3.73
09/25/2017	GARAFOLA, GRACE	TUPPERWARE BRANDS CORP COM	-889.91	30.00	22.50	7.50	90.0	0.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u></b>								
		* MARC LINSKY SUBTOTAL *	\$232,776.56	\$13,673.40	\$331.25	\$13,342.15		\$226.74
<b><u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u></b>								
09/19/2017	DILLON, ROBERT	BIODELIVERY SCIENCES INTL INC COM	11,750.00	119.00	27.00	92.00	90.0	3.13
		* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *	\$11,750.00	\$119.00	\$27.00	\$92.00		\$3.13
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$398,631.29	\$24,579.73	\$358.25	\$24,221.48		\$1,578.29

**ADJUSTMENTS**

09/30/2017		*FEDERAL EXPRESS: 5-927-93341 9/13/	0.00					-21.34
09/30/2017		*MISC: C FULMER, A LEEDS K141	0.00					540.00
09/30/2017		*MISC: C FULMER, A LEEDS C141	0.00					540.00
09/30/2017		*MISC: OVERNIGHT FEE 9/15/17	0.00					-13.34
09/30/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
09/30/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
09/30/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					232.08
09/30/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					251.91
09/30/2017		*OVERRIDE: SPLITS-CIRELLI	0.00					1,160.49
09/30/2017		*PERSHING: MARK UPS	0.00					7.50
09/30/2017		*PERSHING: MARK UPS	0.00					30.00
09/30/2017		*PERSHING: MARK UPS	0.00					15.00
09/30/2017		*PERSHING: MARK UPS	0.00					4.50
09/30/2017		*SPLIT PAYOUT/OVERRIDE: CHARLEY 9/1	0.00					1.23
09/30/2017		*SPLIT PAYOUT/OVERRIDE: KIESNOSKI S	0.00					1,511.51
09/30/2017		*SPLIT PAYOUT/OVERRIDE: KLETT 9/15/	0.00					2.88
09/30/2017		*SPLIT PAYOUT/OVERRIDE: LICHENSTEIN	0.00					0.67
09/30/2017		*SPLIT PAYOUT/OVERRIDE: LINSKY	0.00					226.57
09/30/2017		*SPLIT PAYOUT/OVERRIDE: LINSKY-9/15	0.00					53.76
09/30/2017		*SPLIT PAYOUT/OVERRIDE: MCPHERSON 9	0.00					1.96
09/30/2017		*SPLIT PAYOUT/OVERRIDE: PECHA 9/15/	0.00					93.38
09/30/2017		*SPLIT PAYOUT/OVERRIDE: PFLEGER	0.00					1,641.19
09/30/2017		*SPLIT PAYOUT/OVERRIDE: PFLEGER 9/1	0.00					10,253.59

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$18,985.54
---------------------------	--------	--------	--------	--------	-------------

BROKER COMMISSION TOTALS

Broker Transactions	\$43.45
Split Transactions	\$51,563.82
Override Transactions	\$1,578.29
Adjustments	\$18,985.54
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$72,171.10
Year-to-date Gross	\$1,032,998.05
Year-to-date Earnings	\$1,050,225.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed