

COMMISSION STATEMENT January 15, 2018

SCOTT SICKLES-D060

 BROKER #: D060
 BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/26/2017	000000000701021	BRANNON, MF FRANKLIN MODERATE ALLOCATION	B	51.00	2.55	0.00	2.55	75.0	1.91
12/26/2017	000000012372684	GRIEGO, MF FRANKLIN INCOME FUND CLASS C	B	250.00	2.50	0.00	2.50	75.0	1.88
12/26/2017	000019817879772	BRANNON, MF FRANKLIN SMALL-MID CAP GROWTH	B	49.50	2.48	0.00	2.48	75.0	1.86
12/26/2017	000060177110343	BRANNON, MF FRANKLIN GROWTH FUND CLASS A	B	49.50	2.48	0.00	2.48	75.0	1.86
12/26/2017	00084222411	VAUGHN, JOE MF CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	75.0	2.81
12/26/2017	00084222411	VAUGHN, JOE MF CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	75.0	2.81
01/02/2018	00085552496	MAXIE A SICKLES MF CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
01/04/2018	04000584689	OLGA HOUSAND MF THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
* MUTUAL FUNDS SUBTOTAL *				\$1,000.00	\$21.51	\$0.00	\$21.51		\$16.13
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017	(None)	VARIOUS, SICKLES MFT OPPENHEIMER	B	0.00	26.23	0.00	26.23	75.0	19.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$26.23	\$0.00	\$26.23		\$19.67
*** DIRECT TRANSACTIONS TOTAL ***				\$1,000.00	\$47.74	\$0.00	\$47.74		\$35.80

BROKER COMMISSION TOTALS

Broker Transactions	\$35.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$35.80
Year-to-date Commissions	\$49.83