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COMMISSION STATEMENT

January 12, 2018

SYLVIA LUM

BROKER #: 73879

BRANCH: 04-#70A11 HOME OFFIC

, AZ

DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
Compai	y: Securit	ies America						
			BROKER TRANSAC	CTIONS				
PRODUCT	CATEGORY: TRA	ILERS						
01/11/20	18 LUM (73879),	SYLVIA SAI.TRAILS		0.00	192.86	135.00	0.00	135.00
			* TRAILERS SUBTOTAL *	\$0.00	\$192.86	\$135.00	\$0.00	\$135.00
		*** BROKER T	FRANSACTIONS TOTAL ***	\$0.00	\$192.86	\$135.00	\$0.00	\$135.00
						BROKER COMMISSION TOTALS		
					Broker Transactions		\$135.00	
					Split Transactions		\$0.00	
						Override Transactions \$0.00		
					Adjustments \$0.00			
					Prior Period Balance \$0.00			
				NASD Assessment \$0.00				
						SIPC Assess	ment	\$0.00
					Check Am	ount	\$135.00	
						Year-to-date Earn	ings	\$135.00