

COMMISSION STATEMENT

August 31, 2018

STEPHEN SUSLOW
14 WEST 12TH STREET
APT 6B
NEW YORK, NY 10011

BROKER #: S01 S22
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/17/2018	75500864	WINICK, JESSE	AT&T INC	S	465,300.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500864	WINICK, JESSE	AT&T INC	B	267,300.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2018	75500881	SUSLOW, RONNIE	AT&T INC	S	396,000.00	0.00	7.00	-7.00	85.0	-7.00
08/29/2018	75500918	SUSLOW, ELISSA	AT&T INC	B	26,400.00	475.43	7.00	468.43	85.0	397.12
07/30/2018	75500933	LAWRENCE, IRA	TENCENT HOLDINGS LIMITED UNSPONSORE	B	46,710.00	10.00	7.00	3.00	85.0	1.50
08/03/2018	75500933	LAWRENCE, IRA	OPERA LIMITED AMERICAN DEPOSITARY	S B	23,240.00	10.00	7.00	3.00	85.0	1.50
08/09/2018	75500933	LAWRENCE, IRA	YANDEX N V CLASS A	B	32,098.70	10.00	7.00	3.00	85.0	1.50
07/31/2018	75501161	LAWRENCE, DAVID	OPERA LIMITED AMERICAN DEPOSITARY	S B	24,200.00	10.00	7.00	3.00	85.0	1.50
08/07/2018	75501161	LAWRENCE, DAVID	OPERA LIMITED AMERICAN DEPOSITARY	S S	27,625.12	10.00	7.00	3.00	85.0	1.50
08/10/2018	75501161	LAWRENCE, DAVID	APPIAN CORP CL A	S	37,390.00	10.00	7.00	3.00	85.0	1.50
08/28/2018	75501161	LAWRENCE, DAVID	OPERA LIMITED AMERICAN DEPOSITARY	S B	25,120.00	10.00	7.00	3.00	85.0	1.50
08/28/2018	75501161	LAWRENCE, DAVID	SYMANTEC CORPORATION	B	20,140.00	10.00	7.00	3.00	85.0	1.50
07/30/2018	75501189	IRA S LAWRENCE TTEE	OPERA LIMITED AMERICAN DEPOSITARY	S B	12,285.80	10.00	7.00	3.00	85.0	1.50
07/30/2018	75501189	IRA S LAWRENCE TTEE	OPERA LIMITED AMERICAN DEPOSITARY	S B	12,140.00	10.00	7.00	3.00	85.0	1.50
08/22/2018	75501196	LAWRENCE, ADELLE	VOYAGER THERAPEUTICS INC COM	S	21,430.90	10.00	7.00	3.00	85.0	1.50
08/08/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	2,448,600.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	14,091,300.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	ALTABA INC COM	B	77,500.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *					\$18,054,780.52	\$585.43	\$126.00	\$459.43		\$371.62

PRODUCT CATEGORY: OPTIONS

08/01/2018	75500791	SUSLOVICH, ESTHER	PUT VZ	08/10/18	49.50	S	147.00	0.00	7.00	-7.00	85.0	-7.00
08/15/2018	75500791	SUSLOVICH, ESTHER	PUT VZ	08/17/18	52	S	105.00	0.00	7.00	-7.00	85.0	-7.00
08/20/2018	75500791	SUSLOVICH, ESTHER	PUT T	08/24/18	32.50	S	200.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2018	75500837	BELL, MARTIN	PUT VZ	08/17/18	50.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2018	75500837	BELL, MARTIN	CALL T	08/31/18	33.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2018	75500837	BELL, MARTIN	CALL T	08/31/18	33.50	B	4,920.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2018	75500837	BELL, MARTIN	PUT T	09/14/18	32.50	S	6,420.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500864	WINICK, JESSE	CALL T	08/17/18	33	S	1,948.70	194.00	7.00	187.00	85.0	157.90
08/06/2018	75500864	WINICK, JESSE	PUT VZ	08/17/18	51	S	800.00	80.00	7.00	73.00	85.0	61.00
08/08/2018	75500864	WINICK, JESSE	PUT VZ	08/17/18	52	S	1,280.00	128.00	7.00	121.00	85.0	101.80

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/20/2018	75500864	WINICK, JESSE	CALL T	08/24/18	33.50	S	360.00	36.00	7.00	29.00	85.0	23.60
08/20/2018	75500864	WINICK, JESSE	PUT T	08/24/18	33	S	1,214.00	121.00	7.00	114.00	85.0	95.85
08/21/2018	75500864	WINICK, JESSE	PUT T	08/31/18	33	S	1,080.00	108.00	7.00	101.00	85.0	84.80
08/23/2018	75500864	WINICK, JESSE	CALL T	09/07/18	33	S	2,532.00	253.00	7.00	246.00	85.0	208.05
08/24/2018	75500864	WINICK, JESSE	CALL WFC	09/07/18	60	S	2,195.00	219.00	7.00	212.00	85.0	179.15
08/29/2018	75500864	WINICK, JESSE	CALL T	09/14/18	33	S	1,189.14	118.00	7.00	111.00	85.0	93.30
08/20/2018	75500867	GREENSPAN, WILLIAM	CALL T	08/31/18	33.50	S	860.00	86.00	7.00	79.00	85.0	66.10
08/30/2018	75500867	GREENSPAN, WILLIAM	CALL T	09/14/18	32.50	S	1,520.00	152.00	7.00	145.00	85.0	122.20
08/03/2018	75500881	SUSLOW, RONNIE	CALL T	08/17/18	33	S	1,299.13	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75500881	SUSLOW, RONNIE	PUT VZ	08/17/18	51	S	800.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/10/18	76.50	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	CALL AABA	08/10/18	72.50	S	700.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	CALL AABA	08/10/18	71.50	S	960.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/10/18	76.50	S	400.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75500881	SUSLOW, RONNIE	PUT AABA	08/10/18	76.50	S	415.08	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500881	SUSLOW, RONNIE	CALL AABA	08/10/18	71.50	S	2,620.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2018	75500881	SUSLOW, RONNIE	CALL WFC	08/24/18	60	S	1,550.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2018	75500881	SUSLOW, RONNIE	PUT T	08/31/18	33	S	1,080.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2018	75500881	SUSLOW, RONNIE	PUT T	08/24/18	33	S	480.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2018	75500881	SUSLOW, RONNIE	CALL T	08/24/18	32.50	S	920.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500881	SUSLOW, RONNIE	CALL T	08/24/18	32.50	B	1,800.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500881	SUSLOW, RONNIE	CALL WFC	09/07/18	60	S	2,195.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500881	SUSLOW, RONNIE	PUT T	09/07/18	33	B	49.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500881	SUSLOW, RONNIE	PUT T	09/07/18	33	S	4,238.89	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500881	SUSLOW, RONNIE	PUT T	08/24/18	33	B	2,861.89	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500881	SUSLOW, RONNIE	PUT T	08/24/18	33	S	28.00	0.00	7.00	-7.00	85.0	-7.00
08/27/2018	75500881	SUSLOW, RONNIE	CALL T	09/07/18	33.50	S	720.00	0.00	7.00	-7.00	85.0	-7.00
08/28/2018	75500881	SUSLOW, RONNIE	CALL T	09/07/18	33.50	S	170.00	0.00	7.00	-7.00	85.0	-7.00
08/29/2018	75500881	SUSLOW, RONNIE	CALL T	09/14/18	33	S	1,783.71	0.00	7.00	-7.00	85.0	-7.00
08/21/2018	75500882	SUSLOW, RONNIE	CALL T	08/31/18	33.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500918	SUSLOW, ELISSA	CALL T	08/17/18	33	S	1,732.17	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500918	SUSLOW, ELISSA	PUT VZ	08/17/18	52	S	1,280.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500918	SUSLOW, ELISSA	PUT VZ	08/10/18	52	S	200.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500918	SUSLOW, ELISSA	PUT AABA	08/17/18	78	S	5,700.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500918	SUSLOW, ELISSA	PUT AABA	08/17/18	78	B	5,400.00	0.00	7.00	-7.00	85.0	-7.00
08/16/2018	75500918	SUSLOW, ELISSA	CALL T	08/17/18	33	B	2,680.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2018	75500918	SUSLOW, ELISSA	CALL T	08/31/18	33.50	S	2,600.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2018	75500918	SUSLOW, ELISSA	CALL WFC	08/24/18	60	S	1,550.00	0.00	7.00	-7.00	85.0	-7.00
08/20/2018	75500918	SUSLOW, ELISSA	CALL T	08/24/18	33.50	S	360.00	0.00	7.00	-7.00	85.0	-7.00
08/21/2018	75500918	SUSLOW, ELISSA	PUT T	08/31/18	33	S	1,080.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/21/2018	75500918	SUSLOW, ELISSA	PUT T	08/24/18	33	S	480.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2018	75500918	SUSLOW, ELISSA	CALL T	09/07/18	33.50	S	960.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2018	75500918	SUSLOW, ELISSA	CALL T	08/31/18	33	S	1,560.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500918	SUSLOW, ELISSA	CALL WFC	09/07/18	60	S	2,195.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500918	SUSLOW, ELISSA	PUT T	09/07/18	33	S	4,186.56	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500918	SUSLOW, ELISSA	PUT T	08/24/18	33	B	2,826.56	0.00	7.00	-7.00	85.0	-7.00
08/29/2018	75500918	SUSLOW, ELISSA	CALL T	09/14/18	33	S	1,189.14	0.00	7.00	-7.00	85.0	-7.00
08/01/2018	75500919	SUSLOW, ELISSA	PUT VZ	08/10/18	49.50	S	133.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/03/18	76	B	5,500.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/03/18	77	B	51,180.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/10/18	77	S	60,120.00	0.00	7.00	-7.00	85.0	-7.00
08/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/10/18	76	S	7,000.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/03/18	75.50	B	32,360.33	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/17/18	75.50	S	35,600.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/10/18	77	B	89,800.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/10/18	76.50	B	59,000.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/10/18	76	B	10,690.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75500954	SUSLOW, STEPHEN	PUT AABA	08/17/18	75.50	B	36,400.00	0.00	7.00	-7.00	85.0	-7.00
08/17/2018	75500954	SUSLOW, STEPHEN	PUT T	08/24/18	33	S	2,080.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2018	75500954	SUSLOW, STEPHEN	PUT T	08/31/18	33	S	2,050.00	0.00	7.00	-7.00	85.0	-7.00
08/23/2018	75500954	SUSLOW, STEPHEN	PUT T	08/24/18	33	B	1,690.00	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500954	SUSLOW, STEPHEN	PUT T	09/07/18	33	S	4,186.56	0.00	7.00	-7.00	85.0	-7.00
08/24/2018	75500954	SUSLOW, STEPHEN	PUT T	08/24/18	33	B	2,826.56	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75500986	SUSLOVICH, JACOB	CALL T	08/17/18	33.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00
08/08/2018	75501098	WINICK FAMILY	PUT VZ	08/17/18	51.50	S	800.00	80.00	7.00	73.00	85.0	61.00
08/20/2018	75501098	WINICK FAMILY	CALL T	08/31/18	33.50	S	860.00	86.00	7.00	79.00	85.0	66.10
08/24/2018	75501098	WINICK FAMILY	CALL WFC	09/07/18	60	S	2,195.00	219.00	7.00	212.00	85.0	179.15
08/30/2018	75501098	WINICK FAMILY	CALL T	09/14/18	32.50	S	1,520.00	152.00	7.00	145.00	85.0	122.20
08/03/2018	75501215	SISTERS TWO LLC	CALL T	08/17/18	33.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00
08/20/2018	75501215	SISTERS TWO LLC	PUT VZ	08/24/18	53	S	520.00	52.00	7.00	45.00	85.0	37.20
08/07/2018	75502117	BLUMA ROTTERMANN	TTEE PUT VZ	08/17/18	51	S	800.00	80.00	7.00	73.00	85.0	61.00
08/20/2018	75502117	BLUMA ROTTERMANN	TTEE PUT VZ	08/24/18	53	S	520.00	52.00	7.00	45.00	85.0	37.20
08/21/2018	75502117	BLUMA ROTTERMANN	TTEE CALL T	08/31/18	33.50	S	600.00	60.00	7.00	53.00	85.0	44.00
08/30/2018	75502117	BLUMA ROTTERMANN	TTEE CALL T	08/31/18	33.50	B	4,920.00	0.00	7.00	-7.00	85.0	-7.00
08/30/2018	75502117	BLUMA ROTTERMANN	TTEE PUT T	09/14/18	32.50	S	6,420.00	0.00	7.00	-7.00	85.0	-7.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	12,258.00	300.00	7.00	293.00	85.0	248.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74	S	16,445.00	300.00	7.00	293.00	85.0	248.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74.50	S	14,000.00	300.00	7.00	293.00	85.0	248.00
08/02/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75.50	S	10,055.00	300.00	7.00	293.00	85.0	248.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	23,610.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	05/11/18	72.50	B	505,428.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74	S	31,044.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74.50	S	26,560.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75.50	S	15,880.00	0.00	7.00	-7.00	85.0	-7.00
08/03/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/03/18	75.50	B	161,801.67	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	3,460.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74	S	9,640.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	74.50	S	7,280.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77	ALTABA I B	547,930.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	B	830,555.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	77	B	112,500.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	B	209,740.00	0.00	7.00	-7.00	85.0	-7.00
08/06/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76	B	203,280.00	0.00	7.00	-7.00	85.0	-7.00
08/07/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	75	S	7,108.00	300.00	7.00	293.00	85.0	248.00
08/08/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/10/18	74	S	2,005.00	100.00	7.00	93.00	85.0	78.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	S	35,216.00	1,760.00	7.00	1,753.00	85.0	1,489.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	77	B	106,080.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	B	83,780.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76	B	1,035,350.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	75.50	B	642,659.00	0.00	7.00	-7.00	85.0	-7.00
08/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/10/18	76.50	S	10,376.92	518.00	7.00	511.00	85.0	433.30
08/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	77.50	B	984,105.00	0.00	7.00	-7.00	85.0	-7.00
08/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	S	29,174.00	1,458.00	7.00	1,451.00	85.0	1,232.30
08/10/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	B	713,400.00	0.00	7.00	-7.00	85.0	-7.00
08/13/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/17/18	72.50	S	10,520.00	526.00	7.00	519.00	85.0	440.10
08/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	08/17/18	78	S	6,320.00	300.00	7.00	293.00	85.0	248.00
08/15/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74	S	7,865.00	393.00	7.00	386.00	85.0	327.05
08/15/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73.50	S	20,097.00	1,004.00	7.00	997.00	85.0	846.40
08/16/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74	S	3,380.00	150.00	7.00	143.00	85.0	120.50
08/16/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74.50	S	6,060.00	300.00	7.00	293.00	85.0	248.00
08/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74	S	2,740.00	137.00	7.00	130.00	85.0	109.45
08/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73.50	S	20,500.00	1,025.00	7.00	1,018.00	85.0	864.25
08/17/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73	S	11,900.00	450.00	7.00	443.00	85.0	375.50
08/20/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74	S	12,100.00	540.00	7.00	533.00	85.0	452.00
08/20/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73	S	9,800.00	300.00	7.00	293.00	85.0	248.00
08/20/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74.50	S	11,260.00	563.00	7.00	556.00	85.0	471.55
08/21/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74	S	10,092.00	450.00	7.00	443.00	85.0	375.50
08/21/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73.50	S	4,882.00	244.00	7.00	237.00	85.0	200.40
08/21/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74.50	S	11,380.00	569.00	7.00	562.00	85.0	476.65
08/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	75.50	S	6,520.00	326.00	7.00	319.00	85.0	270.10

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
08/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73.50	S	9,960.00	498.00	7.00	491.00	85.0	416.30
08/22/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	74.50	S	7,240.00	300.00	7.00	293.00	85.0	248.00
08/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73.50	S	4,200.00	210.00	7.00	203.00	85.0	171.50
08/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72	S	8,660.00	300.00	7.00	293.00	85.0	248.00
08/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	10,440.00	450.00	7.00	443.00	85.0	375.50
08/23/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	73	S	10,200.00	450.00	7.00	443.00	85.0	375.50
08/24/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/24/18	73.50	S	480.00	24.00	7.00	17.00	85.0	13.40
08/24/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	19,721.00	900.00	7.00	893.00	85.0	758.00
08/24/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	71	S	3,378.00	168.00	7.00	161.00	85.0	135.80
08/24/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	1,320.00	66.00	7.00	59.00	85.0	49.10
08/27/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	18,520.00	660.00	7.00	653.00	85.0	554.00
08/27/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72	S	5,820.00	291.00	7.00	284.00	85.0	240.35
08/27/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	3,440.00	172.00	7.00	165.00	85.0	139.20
08/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/07/18	73	S	6,100.00	300.00	7.00	293.00	85.0	248.00
08/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/07/18	73.50	S	6,562.00	328.00	7.00	321.00	85.0	271.80
08/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	8,913.00	445.00	7.00	438.00	85.0	371.25
08/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72	S	2,240.00	112.00	7.00	105.00	85.0	88.20
08/28/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	640.00	32.00	7.00	25.00	85.0	20.20
08/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/07/18	72.50	S	9,840.00	450.00	7.00	443.00	85.0	375.50
08/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/07/18	73	S	7,360.00	368.00	7.00	361.00	85.0	305.80
08/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	6,040.00	302.00	7.00	295.00	85.0	249.70
08/29/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72	S	1,200.00	60.00	7.00	53.00	85.0	44.00
08/30/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/07/18	72	S	5,150.00	257.00	7.00	250.00	85.0	211.45
08/30/2018	75502141	BOSGANG, ALVIN	CALL AABA	09/07/18	72.50	S	420.00	21.00	7.00	14.00	85.0	10.85
08/30/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	72.50	S	6,800.00	340.00	7.00	333.00	85.0	282.00
08/30/2018	75502141	BOSGANG, ALVIN	CALL AABA	08/31/18	71	S	1,305.00	65.00	7.00	58.00	85.0	48.25
* OPTIONS SUBTOTAL *							\$7,223,318.01	\$22,458.00	\$1,092.00	\$21,366.00		\$17,997.30
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS												
08/31/2018	FEE COUNT - 1					0.00	9.23	0.00	9.23	85.0	7.85	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$9.23	\$0.00	\$9.23		\$7.85
*** BROKER TRANSACTIONS TOTAL ***							\$25,278,098.53	\$23,052.66	\$1,218.00	\$21,834.66		\$18,376.77

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/31/2018		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-556.05
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$18,376.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-28.82
SIPC Assessment	\$-57.63

Check Amount	\$17,734.27
Year-to-date Earnings	\$136,141.44