



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 31, 2017**

05/25/2017  
 Page No. 1

MARC LINSKY  
 104 B. Centre Blvd  
  
 Marlton, NJ 08053

BROKER #: L134  
 BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/17/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	404.04	20.20	22.00	-1.80	90.0	-1.62
05/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
05/17/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	97.98	4.90	22.00	-17.10	90.0	-15.39
05/17/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	404.10	20.21	22.00	-1.79	90.0	-1.61
05/17/2017	LINSKY, MARC	AM FUNDS ICA A	2,033.88	76.27	22.00	54.27	90.0	48.84
05/17/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	405.99	20.30	22.00	-1.70	90.0	-1.53
05/17/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,003.40	75.13	22.00	53.13	90.0	47.82
05/17/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	218.47	2.18	22.00	-19.82	90.0	-17.84
05/17/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	-675.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$5,117.86</b>	<b>\$230.44</b>	<b>\$154.00</b>	<b>\$76.44</b>		<b>\$68.80</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/12/2017	SAVASTANO, VICTOR	GENERAL ELECTRIC CO COM	-11,209.40	30.00	22.60	7.40	90.0	6.66
05/12/2017	CARLINO, NANCY	GENERAL ELECTRIC CO COM	-4,719.42	30.00	22.50	7.50	90.0	6.75
* STOCKS SUBTOTAL *			<b>\$-15,928.82</b>	<b>\$60.00</b>	<b>\$45.10</b>	<b>\$14.90</b>		<b>\$13.41</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	9.00
05/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	23.13
05/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	80.66	0.00	80.66	90.0	72.59
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$791.00</b>	<b>\$116.36</b>	<b>\$0.00</b>	<b>\$116.36</b>		<b>\$104.72</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/18/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.85	0.00	0.85	90.0	0.77
04/18/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	11.04	0.00	11.04	90.0	9.94
04/20/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	3.49	0.00	3.49	90.0	3.14
04/18/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.53	0.00	3.53	90.0	3.18
04/18/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.46	0.00	7.46	90.0	6.71

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/18/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
04/18/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.69	0.00	1.69	90.0	1.52
04/18/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
04/18/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	90.0	0.41
04/18/2017	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	90.0	0.84
04/18/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.93	0.00	0.93	90.0	0.84
04/18/2017	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.76	0.00	0.76	90.0	0.68
04/18/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.35	0.00	3.35	90.0	3.02
04/18/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.75	0.00	2.75	90.0	2.48
04/18/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.30	0.00	1.30	90.0	1.17
04/18/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.65	0.00	0.65	90.0	0.59
04/18/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.52	0.00	2.52	90.0	2.27
04/18/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.59	0.00	12.59	90.0	11.33
04/18/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.64	0.00	1.64	90.0	1.48
04/18/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	90.0	0.73
04/18/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.13	0.00	4.13	90.0	3.72
04/18/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.49	0.00	1.49	90.0	1.34
04/18/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.66	0.00	5.66	90.0	5.09
04/18/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.05	0.00	4.05	90.0	3.65
04/18/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.62	0.00	1.62	90.0	1.46
04/18/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	90.0	0.37
04/18/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
04/18/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	90.0	0.53
04/18/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.96	0.00	0.96	90.0	0.86
04/18/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	90.0	0.52
04/18/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.45	0.00	2.45	90.0	2.21
04/18/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.39	0.00	2.39	90.0	2.15
04/18/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.91	0.00	4.91	90.0	4.42
04/18/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.98	0.00	4.98	90.0	4.48
04/18/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.41	0.00	1.41	90.0	1.27
04/18/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.41	0.00	8.41	90.0	7.57
04/18/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.53	0.00	0.53	90.0	0.48
04/18/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.87	0.00	0.87	90.0	0.78

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/18/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.53	0.00	0.53	90.0	0.48
04/18/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
04/18/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
04/18/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.94	0.00	0.94	90.0	0.85
04/18/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.08	0.00	5.08	90.0	4.57
04/18/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	11.81	0.00	11.81	90.0	10.63
04/18/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.58	0.00	4.58	90.0	4.12
04/18/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.39	0.00	4.39	90.0	3.95
04/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.94	0.00	4.94	90.0	4.45
04/18/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.12	0.00	4.12	90.0	3.71
04/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.16	0.00	20.16	90.0	18.14
04/18/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.95	0.00	2.95	90.0	2.66
04/18/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.67	0.00	0.67	90.0	0.60
04/18/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.67	0.00	0.67	90.0	0.60
04/18/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	0.95	0.00	0.95	90.0	0.86
04/18/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.65	0.00	1.65	90.0	1.49
04/18/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.34	0.00	5.34	90.0	4.81
04/18/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.35	0.00	1.35	90.0	1.22
04/18/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.05	0.00	3.05	90.0	2.75
04/18/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	90.0	0.48
04/18/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.86	0.00	0.86	90.0	0.77
04/18/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	90.0	0.47
04/20/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	4.17	0.00	4.17	90.0	3.75
04/18/2017	GARLICK, MILDRED	AMERICAN FUNDS INFLATION LINKED BON	0.00	19.19	0.00	19.19	90.0	17.27
04/27/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	25.76	0.00	25.76	90.0	23.18
04/27/2017	BOWERS, DORA	BLK HIGH YIELD BOND A	0.00	0.17	0.00	0.17	90.0	0.15
04/27/2017	BOWERS, DORA	BLK INFLATION PROTECTED BOND A	0.00	0.17	0.00	0.17	90.0	0.15
04/19/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.32	0.00	1.32	90.0	1.19
04/19/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.15	0.00	4.15	90.0	3.74
04/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	0.96	0.00	0.96	90.0	0.86
04/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	13.85	0.00	13.85	90.0	12.47
04/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.14	0.00	7.14	90.0	6.43
04/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.52	0.00	2.52	90.0	2.27

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/13/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.11	0.00	1.11	90.0	1.00
04/13/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.27	0.00	0.27	90.0	0.24
04/13/2017	JACKSON, SHIRLEY	COLUMBIA EQUITY VALUE A	0.00	0.78	0.00	0.78	90.0	0.70
04/13/2017	BOWERS, DORA	COLUMBIA INTERMEDIATE BOND FD A	0.00	0.74	0.00	0.74	90.0	0.67
04/13/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.30	0.00	0.30	90.0	0.27
04/13/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.83	0.00	0.83	90.0	0.75
04/13/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.33	0.00	2.33	90.0	2.10
04/13/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.02	0.00	1.02	90.0	0.92
04/13/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.80	0.00	10.80	90.0	9.72
04/13/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.20	0.00	0.20	90.0	0.18
04/13/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.35	0.00	2.35	90.0	2.12
04/25/2017	BOWERS, DORA	CREDIT SUISSE COMMODITY RETURN STRA	0.00	0.29	0.00	0.29	90.0	0.26
04/17/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.38	0.00	0.38	90.0	0.34
04/17/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.69	0.00	0.69	90.0	0.62
04/18/2017	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.83	0.00	0.83	90.0	0.75
04/13/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.02	0.00	3.02	90.0	2.72
04/13/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
04/13/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.38	0.00	61.38	90.0	55.24
04/13/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.75	0.00	0.75	90.0	0.68
04/13/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.12	0.00	1.12	90.0	1.01
04/13/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.31	0.00	0.31	90.0	0.28
04/13/2017	BOWERS, DORA	FEDERATED TOTAL RETURN BOND A	0.00	0.68	0.00	0.68	90.0	0.61
04/17/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.74	0.00	7.74	90.0	6.97
04/17/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.56	0.00	8.56	90.0	7.70
04/17/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.51	0.00	1.51	90.0	1.36
04/17/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.87	0.00	5.87	90.0	5.28
04/17/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.26	0.00	0.26	90.0	0.23
04/17/2017	BOWERS, DORA	FIDELITY ADVISOR TOTAL BOND FUND CL	0.00	0.50	0.00	0.50	90.0	0.45
04/17/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.66	0.00	2.66	90.0	2.39
04/20/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	12.32	0.00	12.32	90.0	11.09
04/20/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.54	0.00	3.54	90.0	3.19
04/20/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.16	0.00	1.16	90.0	1.04
04/20/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.41	0.00	5.41	90.0	4.87

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<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/20/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.80	0.00	0.80	90.0	0.72
04/20/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.56	0.00	0.56	90.0	0.50
04/20/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.81	0.00	2.81	90.0	2.53
04/19/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	14.31	0.00	14.31	90.0	12.88
04/19/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	5.37	0.00	5.37	90.0	4.83
04/19/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	2.75	0.00	2.75	90.0	2.48
04/19/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.25	0.00	0.25	90.0	0.23
04/19/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.58	0.00	0.58	90.0	0.52
04/17/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	126.08	0.00	126.08	90.0	113.47
04/17/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	378.25	0.00	378.25	90.0	340.43
04/17/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.17	0.00	12.17	90.0	10.95
04/17/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	17.20	0.00	17.20	90.0	15.48
04/17/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.32	0.00	5.32	90.0	4.79
04/17/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	13.54	0.00	13.54	90.0	12.19
04/17/2017	HALL, GLORIA	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	6.88	0.00	6.88	90.0	6.19
04/17/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.60	0.00	4.60	90.0	4.14
04/18/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.52	0.00	3.52	90.0	3.17
04/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	80.62	0.00	80.62	90.0	72.56
04/18/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	38.78	0.00	38.78	90.0	34.90
04/18/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	32.39	0.00	32.39	90.0	29.15
04/18/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	423.72	0.00	423.72	90.0	381.35
04/18/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	10.66	0.00	10.66	90.0	9.59
04/18/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	6.95	0.00	6.95	90.0	6.26
04/18/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.41	0.00	7.41	90.0	6.67
04/18/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	31.35	0.00	31.35	90.0	28.22
04/18/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	29.26	0.00	29.26	90.0	26.33
04/18/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	10.66	0.00	10.66	90.0	9.59
04/18/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.65	0.00	2.65	90.0	2.39
04/18/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.65	0.00	2.65	90.0	2.39
04/18/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	3.98	0.00	3.98	90.0	3.58
04/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	70.93	0.00	70.93	90.0	63.84
04/18/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	44.23	0.00	44.23	90.0	39.81
04/18/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.35	0.00	20.35	90.0	18.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/18/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	13.98	0.00	13.98	90.0	12.58
04/18/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.36	0.00	20.36	90.0	18.32
04/18/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.07	0.00	11.07	90.0	9.96
04/18/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.19	0.00	4.19	90.0	3.77
04/21/2017	JACKSON, SHIRLEY	HENDERSON GLOBAL EQUITY INCOME FUND	0.00	23.03	0.00	23.03	90.0	20.73
04/19/2017	APPLEGATE, PAMAEALA	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.28	0.00	0.28	90.0	0.25
04/28/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.87	0.00	3.87	90.0	3.48
04/28/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.87	0.00	3.87	90.0	3.48
04/13/2017	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	109.35	0.00	109.35	90.0	98.42
04/13/2017	CHERRY, ANDREW	INVESCO AMERICAN FRANCHISE A	0.00	0.06	0.00	0.06	90.0	0.05
04/13/2017	CHERRY, ANDREW	INVESCO AMERICAN FRANCHISE A	0.00	0.04	0.00	0.04	90.0	0.04
04/13/2017	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	3.50	0.00	3.50	90.0	3.15
04/13/2017	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	230.27	0.00	230.27	90.0	207.24
04/13/2017	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2017	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.43	0.00	0.43	90.0	0.39
04/13/2017	JACKSON, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	115.39	0.00	115.39	90.0	103.85
04/13/2017	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	53.88	0.00	53.88	90.0	48.49
04/13/2017	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.41	0.00	1.41	90.0	1.27
04/13/2017	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	12.54	0.00	12.54	90.0	11.29
04/13/2017	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	11.19	0.00	11.19	90.0	10.07
04/13/2017	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	35.13	0.00	35.13	90.0	31.62
04/13/2017	JACKSON, SHIRLEY	INVESCO INTERNATIONAL GROWTH A	0.00	5.17	0.00	5.17	90.0	4.65
04/13/2017	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.48	0.00	0.48	90.0	0.43
04/13/2017	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	0.96	0.00	0.96	90.0	0.86
04/13/2017	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.20	0.00	9.20	90.0	8.28
04/13/2017	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.39	0.00	0.39	90.0	0.35
04/13/2017	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	14.94	0.00	14.94	90.0	13.45
04/13/2017	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.39	0.00	0.39	90.0	0.35
04/13/2017	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.76	0.00	0.76	90.0	0.68
04/10/2017	BOWERS, DORA	JANUS FUND CLASS A	0.00	1.03	0.00	1.03	90.0	0.93
04/17/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.16	0.00	0.16	90.0	0.14
04/18/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.61	0.00	0.61	90.0	0.55
04/20/2017	JACKSON, SHIRLEY	LORD ABBETT AFFILIATED A	0.00	0.82	0.00	0.82	90.0	0.74

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.92	0.00	2.92	90.0	2.63
04/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.04	0.00	2.04	90.0	1.84
04/20/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	0.00	0.61	0.00	0.61	90.0	0.55
04/20/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.71	0.00	2.71	90.0	2.44
04/20/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.93	0.00	7.93	90.0	7.14
04/20/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.57	0.00	2.57	90.0	2.31
04/20/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	113.54	0.00	113.54	90.0	102.19
04/20/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	116.32	0.00	116.32	90.0	104.69
04/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	27.95	0.00	27.95	90.0	25.16
04/20/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.93	0.00	19.93	90.0	17.94
04/20/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.87	0.00	0.87	90.0	0.78
04/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.15	0.00	5.15	90.0	4.64
04/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.00	0.00	4.00	90.0	3.60
04/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.94	0.00	10.94	90.0	9.85
04/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.95	0.00	5.95	90.0	5.36
04/20/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.21	0.00	6.21	90.0	5.59
04/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.43	0.00	15.43	90.0	13.89
04/20/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
04/20/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.12	0.00	3.12	90.0	2.81
04/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.71	0.00	2.71	90.0	2.44
04/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	1.86	0.00	1.86	90.0	1.67
04/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.49	0.00	1.49	90.0	1.34
04/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.21	0.00	20.21	90.0	18.19
04/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.69	0.00	1.69	90.0	1.52
04/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.73	0.00	2.73	90.0	2.46
04/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.09	0.00	2.09	90.0	1.88
04/20/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.37	0.00	6.37	90.0	5.73
04/20/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	98.08	0.00	98.08	90.0	88.27
04/20/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.71	0.00	11.71	90.0	10.54
04/20/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.86	0.00	6.86	90.0	6.17
04/20/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.35	0.00	6.35	90.0	5.72
04/20/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.89	0.00	12.89	90.0	11.60
04/20/2017	MARINO, CHRISTINA	LORD ABBETT SHORT DURATION INCOME F	0.00	2.92	0.00	2.92	90.0	2.63

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/28/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.89	0.00	11.89	90.0	10.70
04/28/2017	FARBMAN, SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.02	0.00	2.02	90.0	1.82
04/28/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.07	0.00	3.07	90.0	2.76
04/28/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
04/28/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.19	0.00	1.19	90.0	1.07
04/28/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.64	0.00	0.64	90.0	0.58
04/18/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	5.98	0.00	5.98	90.0	5.38
04/18/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	13.65	0.00	13.65	90.0	12.29
04/18/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.97	0.00	7.97	90.0	7.17
04/18/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	13.83	0.00	13.83	90.0	12.45
04/18/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	13.92	0.00	13.92	90.0	12.53
04/18/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.62	0.00	0.62	90.0	0.56
04/18/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.13	0.00	9.13	90.0	8.22
04/18/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.86	0.00	21.86	90.0	19.67
04/18/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	7.33	0.00	7.33	90.0	6.60
04/18/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	17.29	0.00	17.29	90.0	15.56
04/18/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.01	0.00	5.01	90.0	4.51
04/18/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.48	0.00	5.48	90.0	4.93
04/18/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	24.13	0.00	24.13	90.0	21.72
04/18/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	9.85	0.00	9.85	90.0	8.87
04/18/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	8.97	0.00	8.97	90.0	8.07
04/18/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	13.96	0.00	13.96	90.0	12.56
04/20/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.08	0.00	1.08	90.0	0.97
04/20/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.89	0.00	0.89	90.0	0.80
04/20/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.37
04/20/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.54	0.00	0.54	90.0	0.49
04/20/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.29	0.00	2.29	90.0	2.06
04/20/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	2.95	0.00	2.95	90.0	2.66
04/20/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.86	0.00	0.86	90.0	0.77
04/20/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	37.17	0.00	37.17	90.0	33.45
04/20/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.66	0.00	2.66	90.0	2.39
04/20/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.80	0.00	1.80	90.0	1.62
04/20/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	237.96	0.00	237.96	90.0	214.16

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/21/2017	JACKSON, SHIRLEY	PUTNAM GROWTH & INCOME A	0.00	0.91	0.00	0.91	90.0	0.82
04/21/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	11.89	0.00	11.89	90.0	10.70
04/21/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.70	0.00	2.70	90.0	2.43
04/17/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.77	0.00	12.77	90.0	11.49
04/17/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.44	0.00	17.44	90.0	15.70
04/17/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.74	0.00	5.74	90.0	5.17
04/17/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	127.03	0.00	127.03	90.0	114.33
04/17/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	381.09	0.00	381.09	90.0	342.98
04/20/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	12.12	0.00	12.12	90.0	10.91
04/20/2017	JACKSON, SHIRLEY	TEMPLETON GLOBAL BOND C	0.00	15.82	0.00	15.82	90.0	14.24
04/20/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.52	0.00	1.52	90.0	1.37
04/20/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.33	0.00	0.33	90.0	0.30
04/20/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.24	0.00	11.24	90.0	10.12
04/26/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.33	0.00	1.33	90.0	1.20
04/26/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.42	0.00	0.42	90.0	0.38
04/26/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	4.85	0.00	4.85	90.0	4.37
04/18/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	8.18	0.00	8.18	90.0	7.36
04/18/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.05	0.00	22.05	90.0	19.85
04/18/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.89	0.00	10.89	90.0	9.80
04/18/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.17	0.00	0.17	90.0	0.15
04/18/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.01	0.00	17.01	90.0	15.31
04/18/2017	BOWERS, DORA	WELLS FARGO INTL BOND A	0.00	0.14	0.00	0.14	90.0	0.13
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4,186.30</b>	<b>\$0.00</b>	<b>\$4,186.30</b>		<b>\$3,767.84</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/05/2017	MCFADDEN, ANDREW	LANDMARK 2002	0.00	868.63	0.00	868.63	90.0	781.77
05/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	53.81	0.00	53.81	90.0	48.43
05/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	215.83	0.00	215.83	90.0	194.25
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,138.27</b>	<b>\$0.00</b>	<b>\$1,138.27</b>		<b>\$1,024.45</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$-10,019.96</b>	<b>\$5,731.37</b>	<b>\$199.10</b>	<b>\$5,532.27</b>		<b>\$4,979.22</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u></b>								
04/18/2017	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	90.0	0.23
04/18/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.22	0.00	1.22	90.0	1.10
04/18/2017	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.80	0.00	0.80	90.0	0.72
04/18/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	90.0	0.06
04/17/2017	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.13	0.00	1.13	90.0	1.02
04/20/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.10	0.00	0.10	90.0	0.09
04/19/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.58	0.00	0.58	90.0	0.52
04/18/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.45	0.00	3.45	90.0	3.11
05/12/2017	LUCREZIO, VINCENT	LANDMARK 2002	0.00	133.40	0.00	133.40	90.0	120.06
04/20/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.10	0.00	1.10	90.0	0.99
04/20/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.51	0.00	2.51	90.0	2.26
04/20/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.45	0.00	4.45	90.0	4.01
04/21/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.08	0.00	14.08	90.0	12.67
04/21/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.54	0.00	0.54	90.0	0.49
04/17/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.23	0.00	9.23	90.0	8.31
04/18/2017	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.08	0.00	5.08	90.0	4.57
04/18/2017	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.80	0.00	4.80	90.0	4.32
<b>* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$182.79</b>	<b>\$0.00</b>	<b>\$182.79</b>		<b>\$164.53</b>
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
05/17/2017	REILLY, TERRENCE	GPB AUTOMOTIVE PORTFOLIO LP	150,000.00	1,575.00	0.00	1,575.00	90.0	1,417.50
05/17/2017	REILLY, TERRENCE	GPB WASTE MANAGEMENT LP	40,000.00	420.00	0.00	420.00	90.0	378.00
05/17/2017	REILLY, TERRENCE	HPI FUND V	50,000.00	450.00	0.00	450.00	90.0	405.00
04/18/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	90.0	0.19
<b>* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *</b>			<b>\$240,000.00</b>	<b>\$2,445.21</b>	<b>\$0.00</b>	<b>\$2,445.21</b>		<b>\$2,200.69</b>
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
05/18/2017	FLAHERTY, PATRICIA	ALCOA UPSTREAM CORP COM	-754.10	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	ALCOA UPSTREAM CORP COM	-1,750.78	10.50	7.88	2.62	90.0	2.36
04/20/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.17	0.00	1.17	90.0	1.05
04/20/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.67	0.00	4.67	90.0	4.20
04/20/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.08	0.00	3.08	90.0	2.77
04/20/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.23	0.00	2.23	90.0	2.01
05/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,008.04	14.11	7.70	6.41	90.0	5.77

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</b>								
05/18/2017	FLAHERTY, PATRICIA	AMERICAN TOWER REIT COM	-3,848.52	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	AMERICAN TOWER REIT COM	-8,838.07	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	ARCONIC INC COM	-1,955.82	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	ARCONIC INC COM	-4,552.60	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	AT&T INC COM	-3,296.52	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	AT&T INC COM	-7,683.55	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	BAXTER INTL INC COM	-2,433.88	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	BAXTER INTL INC COM	-5,600.53	10.50	7.88	2.62	90.0	2.36
04/12/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.35	0.00	0.35	90.0	0.32
04/12/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.89	0.00	0.89	90.0	0.80
04/12/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.05	0.00	0.05	90.0	0.05
04/12/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	90.0	0.12
05/18/2017	FLAHERTY, PATRICIA	CENTURYLINK INC COM	-1,976.48	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	CENTURYLINK INC COM	-4,562.80	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	CISCO SYSTEMS INC	-3,276.42	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	CISCO SYSTEMS INC	-7,531.79	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	COGNIZANT TECHNOLOGY SOLUTIONS CORP	-2,983.19	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	COGNIZANT TECHNOLOGY SOLUTIONS CORP	-6,988.46	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	CONOCOPHILLIPS COM	-2,085.80	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	CONOCOPHILLIPS COM	-4,836.30	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	COSTCO WHOLESALE CORP NEW COM	-3,236.50	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	COSTCO WHOLESALE CORP NEW COM	-7,493.63	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	CUMMINS INC	-3,178.31	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	CUMMINS INC	-7,229.66	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	CVS CAREMARK CORP	-2,158.57	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	CVS CAREMARK CORP	-4,998.76	10.50	7.88	2.62	90.0	2.36
04/18/2017	BARAG, STANLEY	DEUTSCHE GLOBAL RE	0.00	0.35	0.00	0.35	90.0	0.32
05/18/2017	FLAHERTY, PATRICIA	EXPRESS SCRIPTS HLDG CO COM	-2,046.87	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	EXPRESS SCRIPTS HLDG CO COM	-4,761.80	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	FEDEX CORP COM	-3,187.30	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	FEDEX CORP COM	-7,486.32	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	FORTIS INC COM	-1,896.98	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	FORTIS INC COM	-4,410.69	10.50	7.88	2.62	90.0	2.36

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
05/18/2017	FLAHERTY, PATRICIA	FRANKLIN RESOURCES INC	-2,338.11	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	FRANKLIN RESOURCES INC	-5,350.92	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	GILEAD SCIENCES INC	-1,922.16	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	GILEAD SCIENCES INC	-4,420.28	10.50	7.88	2.62	90.0	2.36
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.10	0.00	1.10	90.0	0.99
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.31	0.00	3.31	90.0	2.98
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.38	0.00	1.38	90.0	1.24
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.13	0.00	4.13	90.0	3.72
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.92	0.00	0.92	90.0	0.83
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.75	0.00	2.75	90.0	2.48
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.10	0.00	1.10	90.0	0.99
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.31	0.00	3.31	90.0	2.98
04/17/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.65	0.00	1.65	90.0	1.49
04/17/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.56	0.00	2.56	90.0	2.30
04/17/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.41	0.00	4.41	90.0	3.97
04/17/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.40	0.00	2.40	90.0	2.16
04/17/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.14	0.00	1.14	90.0	1.03
05/24/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	21,020.86	367.86	7.70	360.16	90.0	324.14
05/14/2017	FLAHERTY, STEPHEN	ING PREMIUM PLUS	0.00	12.18	0.00	12.18	90.0	10.96
05/14/2017	FLAHERTY, PATRICIA	ING PREMIUM PLUS	0.00	6.22	0.00	6.22	90.0	5.60
04/13/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.66	0.00	0.66	90.0	0.59
04/13/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.64	0.00	0.64	90.0	0.58
05/15/2017	SIMPSON TRUST, GERARD & CYNTHI	ISHARES SILVER TR ISHARES	25,009.53	10.50	8.53	1.97	90.0	1.77
05/18/2017	FLAHERTY, PATRICIA	JP MORGAN CHASE & CO COM ISIN#US466	-4,045.54	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	JP MORGAN CHASE & CO COM ISIN#US466	-9,372.84	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	LAS VEGAS SANDS CORP COM	-2,962.13	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	LAS VEGAS SANDS CORP COM	-6,884.66	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	LYONDELLBASELL INDUSTRIES N V ORD S	-2,552.67	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	LYONDELLBASELL INDUSTRIES N V ORD S	-5,971.80	10.50	7.88	2.62	90.0	2.36
05/08/2017	CHURCH, KATHLEEN	METLIFE SHEILD LEVEL SELECTOR EQTY	100,000.00	2,100.00	0.00	2,100.00	90.0	1,890.00
05/18/2017	FLAHERTY, PATRICIA	PRAXAIR INC	-3,050.21	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	PRAXAIR INC	-7,001.28	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	SHIRE PLC SPONS ADR ISIN#US82481R10	-1,144.62	10.50	7.88	2.62	90.0	2.36

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
05/18/2017	FLAHERTY, STEPHEN	SHIRE PLC SPONS ADR ISIN#US82481R10	-2,672.74	10.50	7.88	2.62	90.0	2.36
05/11/2017	WASSERMAN TRUST, NINA	SNAP INC CL A	9,163.85	0.00	7.96	-7.96	90.0	-7.16
05/18/2017	FLAHERTY, PATRICIA	SOUTH JERSEY INDS INC COM	-3,691.98	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	SOUTH JERSEY INDS INC COM	-8,537.60	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	SPDR SER TR S&P BK ETF	-3,551.97	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	SPDR SER TR S&P BK ETF	-8,217.11	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	TAIWAN SEMICONDUCTOR MFG CO LTD-SPO	-4,308.01	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	TAIWAN SEMICONDUCTOR MFG CO LTD-SPO	-9,947.99	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	TARGET CORP	-1,940.12	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	TARGET CORP	-4,519.96	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	TYSON FOODS INC CL A	-4,346.33	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	TYSON FOODS INC CL A	-10,069.38	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	UNITED TECHNOLOGIES CORP COM	-2,969.10	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	UNITED TECHNOLOGIES CORP COM	-6,791.32	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	V F CORP COM	-1,863.85	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	V F CORP COM	-4,311.19	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	VERIZON COMMUNICATIONS COM	-2,469.01	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	VERIZON COMMUNICATIONS COM	-5,661.18	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	WISDOMTREE TR EUROPE HEDGED EQUITY	-2,589.67	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	WISDOMTREE TR EUROPE HEDGED EQUITY	-6,018.20	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, PATRICIA	XCEL ENERGY INC COM	-3,514.36	10.50	7.88	2.62	90.0	2.36
05/18/2017	FLAHERTY, STEPHEN	XCEL ENERGY INC COM	-8,153.45	10.50	7.88	2.62	90.0	2.36
<b>* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *</b>			<b>\$-134,000.46</b>	<b>\$3,227.25</b>	<b>\$536.21</b>	<b>\$2,691.04</b>		<b>\$2,422.09</b>
<b><u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u></b>								
04/18/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.42
04/20/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.80	0.00	2.80	90.0	2.52
04/27/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.39	0.00	2.39	90.0	2.15
04/19/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.85	0.00	0.85	90.0	0.77
04/13/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.36	0.00	3.36	90.0	3.02
04/13/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.33	0.00	1.33	90.0	1.20
04/17/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.09	0.00	2.09	90.0	1.88
04/24/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	5.90	0.00	5.90	90.0	5.31
04/21/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	6.19	0.00	6.19	90.0	5.57

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</b>								
04/21/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.14	0.00	2.14	90.0	1.93
04/21/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.94	0.00	0.94	90.0	0.85
04/13/2017	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	2.18	0.00	2.18	90.0	1.96
04/10/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.04	0.00	3.04	90.0	2.74
04/17/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
04/20/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.97	0.00	0.97	90.0	0.87
04/21/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.70	0.00	1.70	90.0	1.53
04/18/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.96	0.00	0.96	90.0	0.86
04/18/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.51	0.00	0.51	90.0	0.46
04/18/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.17	0.00	0.17	90.0	0.15
<b>* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$38.42</b>	<b>\$0.00</b>	<b>\$38.42</b>		<b>\$34.58</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$105,999.54</b>	<b>\$5,893.67</b>	<b>\$536.21</b>	<b>\$5,357.46</b>		<b>\$4,821.89</b>

**ADJUSTMENTS**

05/31/2017		*FEDERAL EXPRESS: 5-798-27576 5/10/	0.00					-25.65
05/31/2017		*MISC: PNW100022 INACTIVITY FEE	0.00					-5.00
05/31/2017		*PERSHING: MARK UPS	0.00					714.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$683.35</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,979.22
Split Transactions	\$4,821.89
Override Transactions	\$0.00
Adjustments	\$683.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,484.46
Year-to-date Gross	\$379,380.57
Year-to-date Earnings	\$342,659.00