BROKER

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

January 31, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKE	R TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
12/22/2017 7	5599821 RF LAFFERTY & C	SQUARE INC CLASS A COM	S	-50,011.00	0.00	0.00	0.00	80.0	0.00
12/22/2017 7	5599821 RF LAFFERTY & C	SQUARE INC CLASS A COM	В	45,214.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN N Y DIVIDEND ADVANTAGE	MUN FS	68,710.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN N Y DIVIDEND ADVANTAGE	MUN F B	27,460.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN N Y DIVIDEND ADVANTAGE	MUN F B	20,625.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN N Y DIVIDEND ADVANTAGE	MUN F B	20,625.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN NEW YORK AMT FREE MUNCI	PAL IS	89,970.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN NEW YORK AMT FREE MUNCI	PAL IB	12,850.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN NEW YORK AMT FREE MUNCI	PAL IB	12,840.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN NEW YORK AMT FREE MUNCI	PAL IB	51,440.00	0.00	0.00	0.00	80.0	0.00
12/28/2017 7	5599821 RF LAFFERTY & C	NUVEEN NEW YORK AMT FREE MUNCI	PAL IB	12,840.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O ALLERGAN PLC	S	4,107.38	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O ALLERGAN PLC	S	4,107.38	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O ALLERGAN PLC	S	4,107.38	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O ALLERGAN PLC	В	12,322.13	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O BUNGE LTD	S	3,364.49	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O BUNGE LTD	S	3,364.49	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O BUNGE LTD	В	6,728.98	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	DEVON ENERGY CORPORATION NEW	В	4,155.34	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	DEVON ENERGY CORPORATION NEW	В	4,155.34	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	DEVON ENERGY CORPORATION NEW	В	4,155.34	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	DEVON ENERGY CORPORATION NEW	S	12,466.02	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O FORTERRA INC COM	S	11,210.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O FORTERRA INC COM	S	11,210.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O FORTERRA INC COM	В	22,420.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O HECLA MINING COMPANY	S	810.90	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O HECLA MINING COMPANY	S	1,192.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O HECLA MINING COMPANY	S	1,590.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O HECLA MINING COMPANY	S	1,987.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O HECLA MINING COMPANY	S	3,164.10	0.00	0.00	0.00	80.0	0.00
12/29/2017 7	5599821 RF LAFFERTY & C	O HECLA MINING COMPANY	S	19,850.00	0.00	0.00	0.00	80.0	0.00

02/28/2018 Page No. 2

INVESTMENT

GROSS

CLEARING

NET

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			BROKER TRANSA	CTIONS					
PRODITCT CAT	regory: stocks								
-	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	1,191.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	1,191.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	1,985.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	2,326.42	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	3,628.58	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	5,423.02	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	1,194.97	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (S	1,814.29	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	1,889.72	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	11,910.30	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	396.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	5,148.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	658.19	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	793.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	793.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	134.81	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	1,586.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	15,840.40	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & (CO HECLA MINING COMPANY	S	397.50	0.00	0.00	0.00	80.0	0.00

PRODUCT CATEGORY: STOCKS 12/39/2017 76569821 Mr LAPPMENT & CO	TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: STOCKS										_
12/29/2017 7559981 RF LAPPERTY & CO HECLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 7559981 RF LAPPERTY & CO HECLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00			BROKE	ER TRANSA	CTIONS					
12/29/2017 7559981 RF LAPPERTY & CO HECLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 7559981 RF LAPPERTY & CO HECLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00	DDODIIGE GIE	TOODII. GEOGRA								
12/29/2017 75599821 RF LAPPERTY & CO					E05 00	0.00	0.00	0.00	00.0	0.00
12/29/2017 75599821 RF LAPPERTY & CO HECLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00										
12/29/2017 75599821 RF LAFFERTY & CO HICLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HICLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00										
12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 795.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00										
12/29/2017 75599821 RF LAFFERTY & CO										
12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY 8 795.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00										
12/29/2017 75599821 RF LAPFERTY & CO HECLA MINING COMPANY B 114,698.22 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY B 114,698.23 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 397.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 397.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 397.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F S 137.650.63 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 11,066.96 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,785.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,785.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY &										
12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY B 114,698.32 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 397.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 397.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 397.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO HECLA MINING COMPANY S 3,970.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F S 13,650.63 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F S 13,750.63 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 110,096 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,758.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,758.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,758.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,758.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,758.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.60 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.60 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.60 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO MIVEEN N N Y DIVIDEND ADVANTAGE MUN F										
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12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,777.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 16,499.00 0.00 0.00 0.00 0.00 80.0 0.00 0.00	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	13,758.70	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,777.30 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.60 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.60 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.67 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 27,548.62 0.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I S 64,286.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	13,760.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 16,499.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 27,548.62 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	13,777.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,759.60 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 27,548.62 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 80.0 0.00 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	13,777.30	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 13,775.67 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 27,548.62 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I S 64,286.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	16,499.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN N Y DIVIDEND ADVANTAGE MUN F B 27,548.62 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 0.00	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	13,759.60	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL IS 64,286.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL IB 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL IB 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL IB 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL IB 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	13,775.67	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 0.00	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	27,548.62	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUN	CIPAL IS	64,286.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 19,285.50 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUN	CIPAL I B	19,285.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO NUVEEN NEW YORK AMT FREE MUNCIPAL I B 6,430.00 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUN	CIPAL I B	19,285.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,406.70 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUN	CIPAL I B	19,285.50	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST B 3,716.40 0.00 0.00 0.00 0.00 80.0 0.00 12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUN	CIPAL I B	6,430.00	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599821 RF LAFFERTY & CO RIBBON COMMUNICATIONS INC COMMON ST S 7,123.10 0.00 0.00 0.00 0.00 80.0 0.00 0.00 0	12/29/2017 75	5599821 RF LAFFERTY & CO	RIBBON COMMUNICATIONS INC CO	MMON ST B	3,406.70	0.00	0.00	0.00	80.0	0.00
01/02/2018 75599821 RF LAFFERTY & CO AUTODESK INC S 10,672.00 0.00 0.00 0.00 80.0 0.00 01/02/2018 75599821 RF LAFFERTY & CO AUTODESK INC B 4,482.24 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0.0	12/29/2017 75	5599821 RF LAFFERTY & CO	RIBBON COMMUNICATIONS INC CO	MMON ST B	3,716.40	0.00	0.00	0.00	80.0	0.00
01/02/2018 75599821 RF LAFFERTY & CO AUTODESK INC B 4,482.24 0.00 0.00 0.00 80.0 0.00 0.00 0.00 0.0	12/29/2017 75	5599821 RF LAFFERTY & CO	RIBBON COMMUNICATIONS INC CO	MMON ST S	7,123.10	0.00	0.00	0.00	80.0	0.00
01/02/2018 75599821 RF LAFFERTY & CO AUTODESK INC B 6,189.76 0.00 0.00 0.00 80.0 0.00	01/02/2018 75	5599821 RF LAFFERTY & CO	AUTODESK INC	S	10,672.00	0.00	0.00	0.00	80.0	0.00
	01/02/2018 75	5599821 RF LAFFERTY & CO	AUTODESK INC	В	4,482.24	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599821 RF LAFFERTY & CO	01/02/2018 75	5599821 RF LAFFERTY & CO	AUTODESK INC	В	6,189.76	0.00	0.00	0.00	80.0	0.00
	01/03/2018 75	5599821 RF LAFFERTY & CO	INTIVA BIOPHARMA INC COMMON	STOCK B	1,650.00	0.00	0.00	0.00	80.0	0.00

INVESTMENT

GROSS CLEARING NET

Page No. 3

Page No. 4

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROK	ER TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
01/03/2018 75	5599821 RF LAFFERTY & CO	INTIVA BIOPHARMA INC COMMON	STOCK S	1,650.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ROYAL DUTCH SHELL PLC SPONSO		2,057.75	0.00	0.00	0.00	80.0	0.00
01/03/2018 75	5599821 RF LAFFERTY & CO	ROYAL DUTCH SHELL PLC SPONSO	RED ADR B	1,157.75	0.00	0.00	0.00	80.0	0.00
01/03/2018 75	5599821 RF LAFFERTY & CO	ROYAL DUTCH SHELL PLC SPONSO	RED ADR S	-1,157.75	0.00	0.00	0.00	80.0	0.00 C
01/03/2018 75	5599821 RF LAFFERTY & CO	ROYAL DUTCH SHELL PLC SPONSO	RED ADR B	2,057.75	0.00	0.00	0.00	80.0	0.00
01/03/2018 75	5599821 RF LAFFERTY & CO	ROYAL DUTCH SHELL PLC SPONSO	RED ADR S	4,115.50	0.00	0.00	0.00	80.0	0.00
01/04/2018 75	5599821 RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC	COM B	30,816.50	0.00	0.00	0.00	80.0	0.00
01/04/2018 75	5599821 RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC	COM S	6,218.00	0.00	0.00	0.00	80.0	0.00
01/04/2018 75	5599821 RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC	COM S	6,218.00	0.00	0.00	0.00	80.0	0.00
01/04/2018 75	5599821 RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC	COM S	1,116.00	0.00	0.00	0.00	80.0	0.00
01/04/2018 75	5599821 RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC	COM S	5,987.77	0.00	0.00	0.00	80.0	0.00
01/04/2018 75	5599821 RF LAFFERTY & CO	MATINAS BIOPHARMA HLDGS INC	COM S	11,276.73	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN FS	49,599.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN FB	12,420.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	9,639.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAG	E MUN F B	27,540.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CO	om s	64,800.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CO	OM S	64,800.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CO	DM B	2,592.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CC	DM B	5,184.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CC	DM B	57,024.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CC	DM B	57,542.40	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	BROOKS AUTOMATION INC NEW CO	M B	7,257.60	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	S	264,116.30	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	750.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	7,500.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	7,500.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	825.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	825.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	900.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	13,350.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	15,000.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	15,000.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	15,000.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	21,675.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	225.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	22,500.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	6,750.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	MINERALS TECHNOLOGIES INC	В	136,316.40	0.00	0.00	0.00	80.0	0.00
01/12/2018 75	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	S	75,183.20	0.00	0.00	0.00	80.0	0.00

Page No. 5 02/28/2018

INVESTMENT

GROSS

CLEARING

NET

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE	CLIENI	INVESIMENT	<i>D</i> / <i>B</i>	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIE	COMMISSION
		DDOVED W	ר אונים	N CITTONIC					
		BROKER TI	RANSA	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	S	75,183.20	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	S	37,591.60	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,757.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,757.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,757.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,757.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,757.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,757.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,758.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,759.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,759.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,759.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,759.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	109,040.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	11,277.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	262.99	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	NUTANIX INC CL A	В	3,494.01	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER T	RANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS									
	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	2,707.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	2,707.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	2,707.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	2,707.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	5,415.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	5,415.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	5,415.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	5,415.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	S	135,631.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	S	135,631.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	S	135,631.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO		CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,710.00	0.00	0.00	0.00	80.0	0.00

Page No. 7 02/28/2018

TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER T	RANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS									
	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	5,415.00	0.00	0.00	0.00	80.0	0.00
	75599821 RF LAFFERTY & CO		CARBONS S A COM	В	5,415.00	0.00	0.00	0.00	80.0	0.00
	'5599821 RF LAFFERTY & CO		CARBONS S A COM	В	2,707.50	0.00	0.00	0.00	80.0	0.00
	'5599821 RF LAFFERTY & CO		CARBONS S A COM	В	2,710.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,710.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,710.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,710.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	5,415.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	6,287.20	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	921.40	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	921.40	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,715.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	27,150.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	27,150.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	27,150.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	27,150.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	515.85	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	5,430.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	5,430.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	5,430.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	5,430.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	597.30	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	6,027.30	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	8,145.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	8,253.60	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	841.65	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	841.65	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	1,086.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	1,086.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	1,086.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	1,086.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	10,860.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	1,601.85	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	'5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	190.05	0.00	0.00	0.00	80.0	0.00
	'5599821 RF LAFFERTY & CO	ORION ENGINEERED		В	190.05	0.00	0.00	0.00		0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED	CARBONS S A COM	В	2,117.70	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TE	RANS	ACTIONS					
PRODUCT CAT	regory: stocks								
01/12/2018 7	5599821 RF LAFFERTY & CO	ORION ENGINEERED CARBONS S A COM	В	23,349.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	PIONEER NATURAL RESOURCES COMPANY	В	42,797.44	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	PIONEER NATURAL RESOURCES COMPANY	S	42,797.44	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	В	8,841.60	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	27.70	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	277.00	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	55.30	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	7,954.56	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	83.10	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	110.80	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	165.90	0.00	0.00	0.00	80.0	0.00
01/12/2018 7	5599821 RF LAFFERTY & CO	SEADRILL LIMITED SHS	S	166.20	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	S	38,325.60	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 7	5599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC COM	В	322.00	0.00	0.00	0.00	80.0	0.00

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BR	OKER TRANS	ACTIONS					
PRODUCT CATEGORY: STOCKS								
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	O APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	644.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	966.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	966.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	966.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	966.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	1,610.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	2,254.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	8,190.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	1,575.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	1,575.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	APTEVO THERAPEUTICS INC	COM B	2,835.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	COMSCORE INC COM	S	6,062.50	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599821 RF LAFFERTY & CO	COMSCORE INC COM	В	6,062.50	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	В	845.00	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	M101 CORP COMMON STOCK	S	845.00	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	13,629.90	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	1,635.54	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	11,994.40	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN FS	81,728.41	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN FS	21,794.24	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	13,628.70	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	13,628.70	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	16,332.00	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	17,693.00	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO		NTAGE MUN F B	6,809.25	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVA	NTAGE MUN F B	8,171.16	0.00	0.00	0.00	80.0	0.00
01/18/2018 75599821 RF LAFFERTY & CO	O MPLX LP COM UNIT REPSTG 1	LTD PARTNER B	451,231.20	0.00	0.00	0.00	80.0	0.00
01/18/2018 75599821 RF LAFFERTY & CO	O MPLX LP COM UNIT REPSTG 1	LTD PARTNER S	-451,231.00	0.00	0.00	0.00	80.0	0.00 C
01/18/2018 75599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG 1	LTD PARTNER B	451,394.04	0.00	0.00	0.00	80.0	0.00

				INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROK	ER TRANSA	ACTIONS					
PRODUCT CATE	EGORY: STOCKS								
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	3,762.00	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,762.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,762.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,763.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,763.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,763.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,764.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,764.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,764.00	0.00	0.00	0.00		0.00
					0.00	0.00			0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		3,765.00			0.00		
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		5,643.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		7,524.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		7,526.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		7,526.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		7,528.00	0.00	0.00	0.00		0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD		7,528.00	0.00	0.00	0.00		0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	76,348.30	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	11,286.00	0.00	0.00	0.00	80.0	0.00
	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	1,881.00	0.00	0.00	0.00	80.0	0.00
)1/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	18,820.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 755	599821 RF LAFFERTY & CO	MPLX LP COM UNIT REPSTG LTD	PARTNER S	224,531.70	0.00	0.00		80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ALLERGAN PLC	S	29,662.03	0.00	0.00	0.00	80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ALLERGAN PLC	В	29,662.03	0.00	0.00	0.00	80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAE	AN ETF B	127,520.00	0.00	0.00	0.00	80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAE	AN ETF S	31,880.00	0.00	0.00	0.00	80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAE	PAN ETF S	31,880.00	0.00	0.00	0.00	80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAE	AN ETF S	31,880.00	0.00	0.00	0.00	80.0	0.00
01/19/2018 755	599821 RF LAFFERTY & CO	ISHARES INC ISHARES MSCI JAE	AN ETF S	31,880.00	0.00	0.00	0.00	80.0	0.00
01/22/2018 755	599821 RF LAFFERTY & CO	NVIDIA CORP	S	699,826.20	0.00	0.00	0.00	80.0	0.00
01/22/2018 755	599821 RF LAFFERTY & CO	NVIDIA CORP	В	23,326.73	0.00	0.00		80.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	CHILINI	THE TIME		11100111	COMMISSION	011111011	COLLIEBTON	14112	COMMISSION
		BROKER TI	RANS	ACTIONS					
ספ∧חזוכיד כ∡יז	'EGORY: STOCKS	<u> </u>							
	5599821 RF LAFFERTY & CO	NVIDIA CORP	В	233,268.50	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	NVIDIA CORP	В	233,208.30	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	NVIDIA CORP	В	14,229.31	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	NVIDIA CORP	В	195,721.92	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	SNAP INC CLASS A COMMON STOCK	В	27,660.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	SNAP INC CLASS A COMMON STOCK	S	27,660.00	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATI		66,431.90	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATI		33,215.95	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATI		33,074.55	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATI		33,074.55	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATI		99,647.85	0.00	0.00	0.00	80.0	0.00
	5599821 RF LAFFERTY & CO	MACQUARIE INFRASTRUCTURE CORPORATI		66,149.10	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	CENTURYTEL INC	S	26,805.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	CENTURYTEL INC	В	26,804.85	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	В	357,219.80	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	980.50	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	1,960.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	1,960.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	1,960.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	23,520.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	24,500.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
)1/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	26,460.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	2,940.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	3,920.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 75	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	4,900.00	0.00	0.00	0.00	80.0	0.00

INVESTMENT

GROSS

CLEARING

NET

Page No. 11

Page No. 12

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
01/25/2018 7	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	6,732.60	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	882.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	COLONY NORTHSTAR INC CL A COM	S	88,925.20	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	KINERJAPAY CORP COM	S	2,708.60	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	KINERJAPAY CORP COM	В	140.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	KINERJAPAY CORP COM	В	1,189.50	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	KINERJAPAY CORP COM	В	1,379.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	PACIFIC BIOSCIENCES OF CALIFORN	IA I S	3,070.00	0.00	0.00	0.00	80.0	0.00
01/25/2018 7	5599821 RF LAFFERTY & CO	PACIFIC BIOSCIENCES OF CALIFORN	IA I B	3,069.90	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	FIREEYE INC COM	S	15,680.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	FIREEYE INC COM	В	3,135.98	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	FIREEYE INC COM	В	12,543.92	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	В	3,196.60	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	В	990.95	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	В	9,589.80	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	S	20,170.55	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	GENERAL ELECTRIC CO	В	6,393.20	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	S	18,632.43	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	10,649.90	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	1,060.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	1,065.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	1,065.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	1,065.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	1,065.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	170.40	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	2,066.10	0.00	0.00	0.00	80.0	0.00
01/26/2018 7	5599821 RF LAFFERTY & CO	RED LION HOTELS CORP COM	В	426.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFO	RMAT B	375,284.02	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELE	COMM S	71,816.24	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELE	COMM B	71,816.24	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	16,296.17	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	14,798.09	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	31,203.87	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	46,878.89	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	28,500.02	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	31,971.18	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	7,928.85	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL S	14,176.94	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATE	RIAL B	377,515.71	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFO	RMAT S	20,605.06	0.00	0.00	0.00	80.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	022247	1111201111111		12100111	COLUMNICA	CIMMOD	COLLIEBBION	14112	
		BROKER T	'RANSA	ACTIONS					
PRODUCT CAT	regory: stocks								
-	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	9,727.26	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM		40,216.47	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM		6,641.73	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM		27,299.09	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM		13,911.03	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM		43,563.49	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	22,017.08	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	16,264.40	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	14,747.78	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	31,116.78	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	46,753.61	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	28,449.62	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	31,901.23	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	7,896.86	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORM	MAT S	14,172.52	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	20,644.25	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	9,755.78	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	40,301.96	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	6,650.01	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	27,367.33	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	15,236.55	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	43,700.04	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATER	IAL S	22,105.79	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	20,430.90	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	9,660.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	39,895.80	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	6,592.95	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	27,096.30	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	20,672.40	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	43,252.65	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	21,879.90	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	16,156.35	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	14,659.05	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	30,887.85	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	46,416.30	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	28,231.35	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	31,660.65	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	7,848.75	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MS	14,055.30	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	5599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY	MB	379,396.50	0.00	0.00	0.00	80.0	0.00

INVESTMENT

GROSS

CLEARING

NET

Page No. 13

Page No. 14 02/28/2018

INVESTMENT

GROSS

CLEARING

NET

		BR	OKER TRANS	ACTIONS					
PRODUCT CAT	EGORY: STOCKS								
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	16,476.11	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	14,928.86	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	31,488.61	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	47,295.64	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	28,770.47	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	32,283.14	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	7,987.15	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	14,301.60	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF B	384,428.63	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	20,833.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	9,835.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	40,670.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	6,723.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	27,597.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	17,139.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	44,073.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	22,285.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	16,475.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	14,940.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	31,457.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	47,268.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	28,759.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE	INDEX ETF S	32,245.50	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS	INDEX ETF S	14,249.91	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS	S INDEX ETF B	379,529.22	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	20,624.64	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	9,746.40	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	40,285.12	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	6,665.28	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	27,352.80	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	13,079.04	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	43,659.68	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	22,091.84	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	EX ETF S	16,306.88	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	EX ETF S	14,797.76	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	31,188.48	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	EX ETF S	46,845.60	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	X ETF S	28,505.60	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE	EX ETF S	31,964.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDE		7,922.88	0.00	0.00	0.00		0.00

Page No. 15 02/28/2018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
									_
		<u>B</u>	ROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: STOCKS								
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY IND	EX ETF S	14,189.92	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INC	EX ETF B	375,225.92	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	20,825.13	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	9,827.12	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	40,646.65	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	6,732.62	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	27,599.58	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	18,901.53	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	44,075.69	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS	INDEX ETF S	22,288.75	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	20,712.54	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	9,794.30	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	40,461.71	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	6,703.48	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	27,496.30	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	15,654.83	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	43,873.66	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	22,197.74	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	16,377.36	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	14,892.16	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	31,349.80	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	47,084.90	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	28,620.24	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	32,112.47	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIAL	S INDEX ETF S	7,947.84	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	20,629.45	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	9,748.42	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	40,328.54	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	6,674.23	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	27,384.58	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	16,099.06	0.00	0.00	0.00	80.0	0.00
01/09/2018 7	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D	ISCRETIONAR S	43,726.33	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		22,126.09	0.00	0.00		80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		16,301.31	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		14,804.66	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		31,227.32	0.00	0.00	0.00		0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		46,921.88	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		28,517.17	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		31,995.87	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER D		7,928.18	0.00	0.00		80.0	0.00
-1,00,0010 /		- IDDITE TOOL CONSOMER D		.,,20.10	0.00	0.00	0.00	55.5	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
•									_
		ВБ	OKER TRANSA	CTIONS					
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-	EGORY: STOCKS								
01/09/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER D	ISCRETIONAR S	14,197.91	0.00	0.00		80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER D	ISCRETIONAR B	378,611.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI HEALTH CAR	E INDEX ETF S	7,968.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI HEALTH CAR	E INDEX ETF S	14,317.50	0.00	0.00	0.00	80.0	0.00
	599823 RF LAFFERTY & C		E INDEX ETF B	382,588.50	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY COVINGTON TR MS	CI UTILITIE B	68,403.47	0.00	0.00		80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY COVINGTON TR MS	CI UTILITIE S	68,403.48	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	20,451.06	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	9,678.34	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	39,944.54	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	6,600.42	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	27,119.88	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	17,715.13	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	43,296.05	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	21,921.62	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	16,176.17	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	14,671.41	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER ST	TAPLES INDE S	30,915.98	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER ST	TAPLES INDE S	46,476.57	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	28,282.65	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	31,702.56	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	7,865.79	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE S	14,055.83	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O FIDELITY MSCI CONSUMER S	TAPLES INDE B	376,874.00	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	185,190.38	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	87,390.13	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	361,614.35	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	59,721.16	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	247,377.09	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	392,022.83	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	199,161.84	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	142,454.14	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	132,865.88	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	279,977.17	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	424,075.01	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	247,103.14	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	287,099.88	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	70,953.12	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	В	127,386.87	0.00	0.00	0.00	80.0	0.00
01/10/2018 75	599823 RF LAFFERTY & C	O SPDR S&P 500 ETF TRUST	S	3,244,392.98	0.00	0.00	0.00	80.0	0.00

INVESTMENT

GROSS CLEARING NET

Page No. 16

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS					
PRODUCT CAT	regory: stocks		-						
The state of the s	5599823 RF LAFFERTY & CO	BIOGEN INC	S	7,365.80	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	BIOGEN INC	S	3,682.90	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	BIOGEN INC	S	25,780.29	0.00	0.00	0.00	80.0	0.00
	5599823 RF LAFFERTY & CO	BIOGEN INC	В	208,083.79	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	9,207.25	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	5,524.35	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	16,573.05	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	5,524.35	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	25,780.29	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	5,524.35	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	3,682.90	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	33,146.09	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	7,365.80	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	14,731.60	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	7,365.80	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	3,682.90	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	11,048.70	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	14,731.60	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	BIOGEN INC	S	7,365.80	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	8,631.98	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	5,394.99	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	14,026.97	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	5,394.99	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	22,119.46	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	5,394.99	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	3,776.49	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	29,672.45	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	5,934.49	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	13,487.48	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	6,473.99	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	3,776.49	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	9,710.98	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	12,408.48	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	5,934.49	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	5,934.49	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	2,697.50	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CB	22,658.96	0.00	0.00	0.00	80.0	0.00
01/26/2018 75	5599823 RF LAFFERTY & CO	CHARLES RIV LABORAT	ORIES INTL INC CS	183,429.66	0.00	0.00	0.00	80.0	0.00
		* :	STOCKS SUBTOTAL *	\$21,958,096.96	\$0.00	\$0.00	\$0.00		\$0.00

Page No. 18

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: BONDS							
12/28/2017 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE B	100,229.91	0.00	0.00	0.00	80.0	0.00
12/28/2017 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE S	100,429.91	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	198,108.18	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	198,358.18	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL S	1,190,135.64	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL B	1,188,635.64	0.00	0.00	0.00	80.0	0.00
01/08/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE S	99,982.16	0.00	0.00	0.00	80.0	0.00
01/08/2018 75599820 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE B	99,682.16	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599820 RF LAFFERTY & CO	GAP INC/THE SR UNSECURED B	26,500.00	0.00	0.00	0.00	80.0	0.00
01/17/2018 75599820 RF LAFFERTY & CO	GAP INC/THE SR UNSECURED S	26,750.00	0.00	0.00	0.00	80.0	0.00
01/08/2018 75599822 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE B	99,893.15	0.00	0.00	0.00	80.0	0.00
01/08/2018 75599822 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE S	99,778.74	0.00	0.00	0.00	80.0	0.00
12/29/2017 75599826 RF LAFFERTY & CO	SOCIETE GENERALE S	11,250.00	0.00	0.00	0.00	80.0	0.00
01/02/2018 75599826 RF LAFFERTY & CO	***INTL BANK RECON & DEVELOP S	15,625.00	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 10% LNKD TO B	17,875.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75599826 RF LAFFERTY & CO	GS FIN CORP B	24,975.00	0.00	0.00	0.00	80.0	0.00
01/09/2018 75599826 RF LAFFERTY & CO	GS FIN CORP S	25,177.50	0.00	0.00	0.00	80.0	0.00
01/10/2018 75599826 RF LAFFERTY & CO	GS FIN CORP B	49,025.00	0.00	0.00	0.00	80.0	0.00
01/10/2018 75599826 RF LAFFERTY & CO	GS FIN CORP S	49,422.50	0.00	0.00	0.00	80.0	0.00
01/18/2018 75599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT B	14,625.00	0.00	0.00	0.00	80.0	0.00
01/18/2018 75599826 RF LAFFERTY & CO	GENWORTH FINL INC SR NT S	14,700.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12 LINKED TO B	35,250.00	0.00	0.00	0.00	80.0	0.00
01/26/2018 75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 12 LINKED TO S	36,250.00	0.00	0.00	0.00	80.0	0.00
01/29/2018 75599826 RF LAFFERTY & CO	MORGAN STANLEY TGT CPN 10 LINKED TO B	17,500.00	0.00	0.00	0.00	80.0	0.00
	* BONDS SUBTOTAL *	\$3,740,158.67	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: CERTIFICATES OF	DEPOSIT						
01/03/2018 75599820 RF LAFFERTY & CO	GOLDMAN SACHS BK USA NY CD 2.8 C/D B	15,000.00	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	GOLDMAN SACHS BK USA NY CD 2.8 C/D S	15,000.00	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	HORIZON BK WAVERLY NEB CD 2.8% C/D B	7,960.00	0.00	0.00	0.00	80.0	0.00
01/03/2018 75599820 RF LAFFERTY & CO	HORIZON BK WAVERLY NEB CD 2.8% C/D S	7,960.00	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599820 RF LAFFERTY & CO	MORGAN STANLEY BK N A UTAH CD C/D F B	29,854.20	0.00	0.00	0.00	80.0	0.00
01/16/2018 75599820 RF LAFFERTY & CO	MORGAN STANLEY BK N A UTAH CD C/D F S	29,854.20	0.00	0.00	0.00	80.0	0.00
	* CERTIFICATES OF DEPOSIT SUBTOTAL *	\$105,628.40	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: UNIT INVESTMENT	TRUSTS						
12/28/2017 75599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	11,049.20	0.00	0.00	0.00	80.0	0.00
12/28/2017 75599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	2,209.84	0.00	0.00	0.00	80.0	0.00
12/28/2017 75599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	2,209.84	0.00	0.00	0.00	80.0	0.00
12/28/2017 75599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	3,314.76	0.00	0.00	0.00	80.0	0.00

Page No. 19 02/28/2018

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: UNIT INVESTMENT	TRUSTS						
-	'5599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	1,104.92	0.00	0.00	0.00	80.0	0.00
	75599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	4,419.68	0.00	0.00	0.00	80.0	0.00
	75599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO S	11,049.20	0.00	0.00	0.00	80.0	0.00
	5599825 RF LAFFERTY & CO	UIT FT CORP TAX REFORM SER 4 PORTFO B	34,650.24	0.00	0.00	0.00	80.0	0.00
, -,	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	1,990.22	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN B	1,950.42	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	9,951.10	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	1,990.22	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	2,985.33	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	3,980.44	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	9,951.10	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN S	3,980.44	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT STRATEGIC INCME SER 71 ADVAN B	32,181.93	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FIRST TRUST SER SABRIENT BAKERS B	16,099.15	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FIRST TRUST SER SABRIENT BAKERS B	23,175.43	0.00	0.00	0.00	80.0	0.00
01/04/2018 7	'5599825 RF LAFFERTY & CO	UIT FIRST TRUST SER SABRIENT BAKERS S	39,274.58	0.00	0.00	0.00	80.0	0.00
01/04/2018 7	'5599825 RF LAFFERTY & CO	UIT FIRST TRUST SER SABRIENT BAKERS B	10,798.06	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FIRST TRUST SER SABRIENT BAKERS S	10,798.06	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZEN SER 20 S	10,791.48	0.00	0.00	0.00	80.0	0.00
	'5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZEN SER 20 B	10,791.48	0.00	0.00	0.00	80.0	0.00
01/04/2018 7	'5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZEN SER 20 S	16,089.76	0.00	0.00	0.00	80.0	0.00
01/04/2018 7	'5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZEN SER 20 S	23,168.83	0.00	0.00	0.00	80.0	0.00
01/04/2018 7	5599825 RF LAFFERTY & CO	UIT FT SABRIENT BAKERS DOZEN SER 20 B	38,767.74	0.00	0.00	0.00	80.0	0.00
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$338,723.45	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CA	TEGORY: MUTUAL FUND 12B	1 TRAILS						
01/10/2018 7	5500245 BLUMENTHAL, MARK	**DEUTSCHE SCIENCE AND B	0.00	0.15	0.00	0.15	80.0	0.12
01/10/2018 7	'5500961 HIRTH, ASHER	AIM MID-CAP EQUITY FUND CLASS A B	0.00	2.21	0.00	2.21	80.0	1.77
01/10/2018 7	'5500961 HIRTH, ASHER	CALAMOS GROWTH FUND CLASS A B	0.00	1.00	0.00	1.00	80.0	0.80
01/10/2018 7	'5500961 HIRTH, ASHER	INVESCO VALUE OPPORTUNITIES CLASS A B	0.00	2.79	0.00	2.79	80.0	2.23
01/08/2018 7	5501842 STRAUGHN, SARAH	PACIFIC FDS CORE INCOME CL A B	0.00	5.17	0.00	5.17	80.0	4.14
01/10/2018 7	5501842 STRAUGHN, SARAH	**DEUTSCHE MANAGED MUNICIPAL B	0.00	9.06	0.00	9.06	80.0	7.25
01/10/2018 7	5501844 STRAUGHN, SARAH	AIM INTERNATIONAL GROWTH FUND - CL B	0.00	1.87	0.00	1.87	80.0	1.50
01/10/2018 7	5597077 DAVIS, MARGARET	AIM ASIA PACIFIC GROWTH FUND - CL A B	0.00	1.77	0.00	1.77	80.0	1.42
01/10/2018 7	5597077 DAVIS, MARGARET	AIM ENERGY FUND - CLASS A B	0.00	1.17	0.00	1.17	80.0	0.94
01/10/2018 7	5597077 DAVIS, MARGARET	INVESCO AMERICAN FRANCHISE FUND CLA B	0.00	3.76	0.00	3.76	80.0	3.01
01/10/2018 7	5597132 SHAVITZ, EVELYN	FRANKLIN TAX FREE TR FEDERAL INTER B	0.00	0.15	0.00	0.15	80.0	0.12
01/10/2018 7	5597181 BOL, BEVERLY	HANCOCK JOHN CAP SER GRTR CHINA OPP B	0.00	2.44	0.00	2.44	80.0	1.95
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$31.54	\$0.00	\$31.54		\$25.25

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT	B/S	AMOTINIT	COMMISSION	CHARGE	COMMISSION	PATE	COMMISSION

BR	OKER	TRANSACTIONS

*** BROKER TRANSACTIONS TOTAL ***	\$26,142,607.48	\$31.54	\$0.00	\$31.54	\$25.25

BROKER COMMISSION TOTALS Broker Transactions \$25.25 Split Transactions \$0.00 verride Transactions \$0.00

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Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.04
SIPC Assessment	\$-0.08

Check Amount	\$25.13
Year-to-date Earnings	\$25.13