

# STATEMENT

## February 20, 2018

RON BARTSCH  
S12 W29085 Summitt Ave  
SUITE 620  
Waukesha, WI 53188

BROKER #: 037  
BRANCH: LM BRANCH

### COMMISSION STATEMENT for RON BARTSCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/02/2018	#02500080766 HERVE, BRAND	INVESTCO ASIA PACIFIC GROWTH	60.00	0.60	95.0	0.00	0.57	0.00	0.57
01/08/2018	#01001229265 HERVEY UTMA/WI,	INVESCO ENERGY FUND CLASS C	60.00	0.60	95.0	0.00	0.57	0.00	0.57
01/08/2018	#01001229230 HERVEY UTMA/WI,	INVESCO TECHNOLOGY FUND CL C	60.00	0.60	95.0	0.00	0.57	0.00	0.57
* MUTUAL FUNDS SUBTOTAL *			<b>\$180.00</b>	<b>\$1.80</b>		<b>\$0.00</b>	<b>\$1.71</b>	<b>\$0.00</b>	<b>\$1.71</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
12/29/2017	# BLE, BALDWIN GROSSE	PROSHARES TR PROSHARES ULTRASHORT T	8,435.85	216.53	95.0	0.00	205.70	18.00	187.70
01/09/2018	# REV TRUST, THOMAS & DORIS	NVIDIA CORP	65,730.00	315.00	95.0	0.00	299.25	18.00	281.25
01/16/2018	# BARTSCH, RONALD	HECLA MINING CO	8,620.00	20.00	95.0	0.00	19.00	18.00	1.00
01/16/2018	# SHORT, MARY	LAS VEGAS SANDS CORP	29,232.00	400.00	95.0	0.00	380.00	18.00	362.00
01/16/2018	# BARTSCH, MICHAEL	LAS VEGAS SANDS CORP	65,772.00	922.00	95.0	0.00	875.90	18.00	857.90
01/16/2018	# GUEX, GREGORY	LAS VEGAS SANDS CORP	29,232.00	300.00	95.0	0.00	285.00	18.00	267.00
01/17/2018	# GUEX, GREGORY	LAS VEGAS SANDS CORP	29,454.96	420.00	95.0	0.00	399.00	18.00	381.00
01/17/2018	# SHORT, MARY	LAS VEGAS SANDS CORP	29,458.96	420.00	95.0	0.00	399.00	18.00	381.00
01/17/2018	# BARTSCH, MICHAEL	LAS VEGAS SANDS CORP	66,357.00	745.15	95.0	0.00	707.89	18.00	689.89
01/19/2018	# DAVIS, KAREN	LAS VEGAS SANDS CORP	28,000.00	420.00	95.0	0.00	399.00	18.00	381.00
01/19/2018	# PRICE, THOMAS	LAS VEGAS SANDS CORP	280,000.00	4,200.00	95.0	0.00	3,990.00	18.00	3,972.00
01/19/2018	# PRICE, THOMAS	MGIC INVESTMENT CORP-WISC	600,000.00	9,000.00	95.0	0.00	8,550.00	18.00	8,532.00
01/19/2018	# PRICE, THOMAS	MGIC INVESTMENT CORP-WISC	780,000.00	11,700.00	95.0	0.00	11,115.00	18.00	11,097.00
01/19/2018	# YOKES, DIANE	MGIC INVESTMENT CORP-WISC	26,000.00	390.00	95.0	0.00	370.50	18.00	352.50
01/19/2018	# MILLER, JOYCE	MGIC INVESTMENT CORP-WISC	13,000.00	180.00	95.0	0.00	171.00	18.00	153.00
* STOCKS SUBTOTAL *			<b>\$2,059,292.77</b>	<b>\$29,648.68</b>		<b>\$0.00</b>	<b>\$28,166.24</b>	<b>\$270.00</b>	<b>\$27,896.24</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
01/03/2018	# PRICE, THOMAS	CALL NVDA 02/16/18 210	2,850.00	101.41	95.0	0.00	96.34	21.75	74.59
01/03/2018	# REV TRUST, THOMAS & DORIS	CALL NVDA 02/16/18 220	5,100.00	167.76	95.0	0.00	159.37	25.50	133.87
01/12/2018	# GUEX, GREGORY	CALL LVS 02/16/18 72.50	620.00	50.00	95.0	0.00	47.50	23.00	24.50
01/12/2018	# SHORT, MARY	CALL LVS 02/16/18 72.50	620.00	50.00	95.0	0.00	47.50	23.00	24.50
01/12/2018	# BARTSCH, MICHAEL	CALL LVS 02/16/18 72.50	1,395.00	69.75	95.0	0.00	66.26	29.25	37.01

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* OPTIONS SUBTOTAL *			\$10,585.00	\$438.92		\$0.00	\$416.97	\$122.50	\$294.47

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/29/2017	#DA712667	WALDERA, HELEN	ALLIANZ VA	0.00	77.54	95.0	0.00	73.66	0.00	73.66
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$77.54		\$0.00	\$73.66	\$0.00	\$73.66

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/01/2018	#02500056426	VARIOUS BARTSCH	INVESCO ASIA PACIFIC GROWTH FD - CL	0.00	2.56	95.0	0.00	2.43	0.00	2.43
01/01/2018	#01001361252	VARIOUS BARTSCH	INVESCO CASH RESERVE SHARES	0.00	0.52	95.0	0.00	0.49	0.00	0.49
01/01/2018	#01001362008	VARIOUS BARTSCH	INVESCO CASH RESERVE SHARES	0.00	0.50	95.0	0.00	0.48	0.00	0.48
01/01/2018	#01000247207	VARIOUS BARTSCH	INVESCO CASH RESERVE SHARES	0.00	2.29	95.0	0.00	2.18	0.00	2.18
01/01/2018	#02500037266	VARIOUS BARTSCH	INVESCO CASH RESERVE SHARES	0.00	2.94	95.0	0.00	2.79	0.00	2.79
01/01/2018	#03911264087	VARIOUS BARTSCH	INVESCO CASH RESERVE SHARES	0.00	5.42	95.0	0.00	5.15	0.00	5.15
01/01/2018	#05060207429	OLSON, MARTHA	INVESCO CASH RESERVE SHARES	0.00	18.70	95.0	0.00	17.77	0.00	17.77
01/01/2018	#01001382598	VARIOUS BARTSCH	INVESCO CHARTER FUND CL A	0.00	4.52	95.0	0.00	4.29	0.00	4.29
01/01/2018	#01000161316	VARIOUS BARTSCH	INVESCO CHARTER FUND CL A	0.00	1.03	95.0	0.00	0.98	0.00	0.98
01/01/2018	#01000162036	VARIOUS BARTSCH	INVESCO DIVERSIFIED DIVIDEND FD CL	0.00	3.77	95.0	0.00	3.58	0.00	3.58
01/01/2018	#02500056426	VARIOUS BARTSCH	INVESCO DIVERSIFIED DIVIDEND FD CL	0.00	2.59	95.0	0.00	2.46	0.00	2.46
01/01/2018	#01001382598	VARIOUS BARTSCH	INVESCO EMERGING MARKETS EQUITY	0.00	5.24	95.0	0.00	4.98	0.00	4.98
01/01/2018	#01001211886	VARIOUS BARTSCH	INVESCO EMERGING MARKETS EQUITY	0.00	4.65	95.0	0.00	4.42	0.00	4.42
01/01/2018	#02500035760	VARIOUS BARTSCH	INVESCO EMERGING MARKETS EQUITY	0.00	3.24	95.0	0.00	3.08	0.00	3.08
01/01/2018	#01000162036	VARIOUS BARTSCH	INVESCO EMERGING MKT LCL CRCY DEBT	0.00	2.41	95.0	0.00	2.29	0.00	2.29
01/01/2018	#02500037266	VARIOUS BARTSCH	INVESCO EMERGING MKT LCL CRCY DEBT	0.00	1.88	95.0	0.00	1.79	0.00	1.79
01/01/2018	#05060207429	OLSON, MARTHA	INVESCO EMERGING MKT LCL CRCY DEBT	0.00	14.25	95.0	0.00	13.54	0.00	13.54
01/01/2018	#01000246661	VARIOUS BARTSCH	INVESCO EMERGING MKT LCL CRCY DEBT	0.00	11.38	95.0	0.00	10.81	0.00	10.81
01/01/2018	#01001211886	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS A	0.00	7.77	95.0	0.00	7.38	0.00	7.38
01/01/2018	#01000161860	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS A	0.00	5.60	95.0	0.00	5.32	0.00	5.32
01/01/2018	#01000162036	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS A	0.00	4.44	95.0	0.00	4.22	0.00	4.22
01/01/2018	#01000247207	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS A	0.00	3.86	95.0	0.00	3.67	0.00	3.67
01/01/2018	#02500051532	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS A	0.00	9.12	95.0	0.00	8.66	0.00	8.66
01/01/2018	#05060207429	OLSON, MARTHA	INVESCO ENERGY FUND CLASS A	0.00	71.61	95.0	0.00	68.03	0.00	68.03
01/01/2018	#01000246661	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS C	0.00	17.72	95.0	0.00	16.83	0.00	16.83
01/01/2018	#01001229265	HERVEY UTMA/WI,	INVESCO ENERGY FUND CLASS C	0.00	6.97	95.0	0.00	6.62	0.00	6.62
01/01/2018	#02500002759	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS C	0.00	15.95	95.0	0.00	15.15	0.00	15.15
01/01/2018	#02500037266	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS C	0.00	25.37	95.0	0.00	24.10	0.00	24.10
01/01/2018	#03911264087	VARIOUS BARTSCH	INVESCO ENERGY FUND CLASS C	0.00	34.64	95.0	0.00	32.91	0.00	32.91
01/01/2018	#01000247229	VARIOUS BARTSCH	INVESCO EUROPEAN GROWTH FUND -CLASS	0.00	3.44	95.0	0.00	3.27	0.00	3.27
01/01/2018	#01001361252	VARIOUS BARTSCH	INVESCO GLOBAL HEALTH CARE FD-CL A	0.00	0.74	95.0	0.00	0.70	0.00	0.70
01/01/2018	#01001362008	VARIOUS BARTSCH	INVESCO GLOBAL HEALTH CARE FD-CL A	0.00	0.72	95.0	0.00	0.68	0.00	0.68
01/01/2018	#01001357750	VARIOUS BARTSCH	INVESCO GLOBAL HEALTH CARE FD-CL A	0.00	0.68	95.0	0.00	0.65	0.00	0.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018	#01000247207	VARIOUS BARTSCH INVESCO GLOBAL HEALTH CARE FD-CL A	0.00	4.16	95.0	0.00	3.95	0.00	3.95
01/01/2018	#01001358412	VARIOUS BARTSCH INVESCO GLOBAL HEALTH CARE FD-CL A	0.00	3.33	95.0	0.00	3.16	0.00	3.16
01/01/2018	#02500037266	VARIOUS BARTSCH INVESCO GLOBAL HEALTH CARE FD-CL A	0.00	3.01	95.0	0.00	2.86	0.00	2.86
01/01/2018	#01001357750	VARIOUS BARTSCH INVESCO GLOBAL OPPORTUNITIES FUND A	0.00	0.80	95.0	0.00	0.76	0.00	0.76
01/01/2018	#01001382598	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	0.82	95.0	0.00	0.78	0.00	0.78
01/01/2018	#01001361252	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	1.10	95.0	0.00	1.05	0.00	1.05
01/01/2018	#01001362008	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	1.61	95.0	0.00	1.53	0.00	1.53
01/01/2018	#01000162036	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	1.53	95.0	0.00	1.45	0.00	1.45
01/01/2018	#01000247207	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	1.87	95.0	0.00	1.78	0.00	1.78
01/01/2018	#02500051532	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	0.87	95.0	0.00	0.83	0.00	0.83
01/01/2018	#03911264087	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS CL A	0.00	1.10	95.0	0.00	1.05	0.00	1.05
01/01/2018	#01000246661	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS FD -	0.00	1.83	95.0	0.00	1.74	0.00	1.74
01/01/2018	#02500002759	VARIOUS BARTSCH INVESCO GOLD & PRECIOUS METALS FD -	0.00	0.58	95.0	0.00	0.55	0.00	0.55
01/01/2018	#02500002759	VARIOUS BARTSCH INVESCO MONEY MARKET FUND - CLASS C	0.00	3.92	95.0	0.00	3.72	0.00	3.72
01/01/2018	#02500037266	VARIOUS BARTSCH INVESCO MONEY MARKET FUND - CLASS C	0.00	42.27	95.0	0.00	40.16	0.00	40.16
01/01/2018	#02500080766	HERVE, BRAND INVESCO MONEY MARKET FUND - CLASS C	0.00	4.69	95.0	0.00	4.46	0.00	4.46
01/01/2018	#01001358412	VARIOUS BARTSCH INVESCO REAL ESTATE FUND CL A	0.00	3.29	95.0	0.00	3.13	0.00	3.13
01/01/2018	#01000247207	VARIOUS BARTSCH INVESCO TECHNOLOGY FUND CL A	0.00	5.52	95.0	0.00	5.24	0.00	5.24
01/01/2018	#01001229230	HERVEY UTMA/WI, INVESCO TECHNOLOGY FUND CL C	0.00	14.72	95.0	0.00	13.98	0.00	13.98
01/01/2018	#03809836434	VARIOUS BARTSCH INVESCO TECHNOLOGY FUND CL C	0.00	8.63	95.0	0.00	8.20	0.00	8.20
01/01/2018	#01001211886	VARIOUS BARTSCH INVESCO U.S. GOVERNMENT FUND CL A	0.00	8.44	95.0	0.00	8.02	0.00	8.02
01/01/2018	#01001361252	VARIOUS BARTSCH INVESCO UTILITIES FUND CL A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
01/01/2018	#01001362008	VARIOUS BARTSCH INVESCO UTILITIES FUND CL A	0.00	0.75	95.0	0.00	0.71	0.00	0.71
01/01/2018	#01001382598	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	13.68	95.0	0.00	13.00	0.00	13.00
01/01/2018	#01001361252	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	4.14	95.0	0.00	3.93	0.00	3.93
01/01/2018	#01001362008	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	4.12	95.0	0.00	3.91	0.00	3.91
01/01/2018	#01001381641	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	0.34	95.0	0.00	0.32	0.00	0.32
01/01/2018	#01001381647	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	3.45	95.0	0.00	3.28	0.00	3.28
01/01/2018	#01001211886	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	9.69	95.0	0.00	9.21	0.00	9.21
01/01/2018	#01001383770	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	1.55	95.0	0.00	1.47	0.00	1.47
01/01/2018	#01000247207	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	4.36	95.0	0.00	4.14	0.00	4.14
01/01/2018	#01001358412	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	3.66	95.0	0.00	3.48	0.00	3.48
01/01/2018	#05012851738	VARIOUS BARTSCH INVESCO VAN KAMPEN AMERICAN FRANCHI	0.00	13.18	95.0	0.00	12.52	0.00	12.52
01/01/2018	#01000246661	VARIOUS BARTSCH INVESTCO ASIA PACIFIC GROWTH	0.00	20.05	95.0	0.00	19.05	0.00	19.05
01/01/2018	#02500080766	HERVE, BRAND INVESTCO ASIA PACIFIC GROWTH	0.00	15.33	95.0	0.00	14.56	0.00	14.56
01/01/2018	#01000162036	VARIOUS BARTSCH SHORT EQUITY FUND CLASS A	0.00	2.74	95.0	0.00	2.60	0.00	2.60

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/03/2018	#00958499628	PIONEER 724010103	0.00	8.02	95.0	0.00	7.62	0.00	7.62
01/10/2018	# STIEHL, EDITH	DAIN TRAILER	0.00	10.22	95.0	0.00	9.71	0.00	9.71
01/10/2018	# WALTER, EARL	DAIN TRAILER	0.00	21.89	95.0	0.00	20.80	0.00	20.80
01/10/2018	# WIED, DEBRA	DAIN TRAILER	0.00	9.16	95.0	0.00	8.70	0.00	8.70
01/10/2018	# WIED, DEBRA	DAIN TRAILER	0.00	6.81	95.0	0.00	6.47	0.00	6.47
01/10/2018	# REV TRUST, THOMAS & DORIS	DAIN TRAILER	0.00	17.88	95.0	0.00	16.99	0.00	16.99
01/10/2018	# GUEX, GREGORY	DAIN TRAILER	0.00	5.46	95.0	0.00	5.19	0.00	5.19
01/10/2018	# GUEX, GREGORY	DAIN TRAILER	0.00	11.93	95.0	0.00	11.33	0.00	11.33
01/10/2018	# MILLER, JOYCE	DAIN TRAILER	0.00	2.30	95.0	0.00	2.19	0.00	2.19
01/10/2018	# MILLER, JOYCE	DAIN TRAILER	0.00	6.83	95.0	0.00	6.49	0.00	6.49
01/10/2018	# WALTER, EARL	DAIN TRAILER	0.00	12.89	95.0	0.00	12.25	0.00	12.25
01/10/2018	# WIED, DENNIS	DAIN TRAILER	0.00	2.00	95.0	0.00	1.90	0.00	1.90
01/10/2018	# WIED, DENNIS	DAIN TRAILER	0.00	5.11	95.0	0.00	4.85	0.00	4.85
01/10/2018	# WIED, MICHAEL	DAIN TRAILER	0.00	2.00	95.0	0.00	1.90	0.00	1.90
01/10/2018	# WIED, MICHAEL	DAIN TRAILER	0.00	5.11	95.0	0.00	4.85	0.00	4.85
01/10/2018	# DAVIS, KAREN	DAIN TRAILER	0.00	3.79	95.0	0.00	3.60	0.00	3.60
01/10/2018	# SHORT, EDDIE	DAIN TRAILER	0.00	190.31	95.0	0.00	180.79	0.00	180.79
01/10/2018	# SHORT, EDDIE	DAIN TRAILER	0.00	0.17	95.0	0.00	0.16	0.00	0.16
01/10/2018	# SHORT, EDDIE	DAIN TRAILER	0.00	64.62	95.0	0.00	61.39	0.00	61.39
01/31/2018	#000000000026625 HERVEY,	FRANKLIN 353533730	0.00	5.70	95.0	0.00	5.42	0.00	5.42
01/31/2018	#000050117552942 KALTENBACH,	FRANKLIN HIGH INCOME FUND A	0.00	1.69	95.0	0.00	1.61	0.00	1.61
01/31/2018	#000090222084217 KALTENBACH,	FRANKLIN INCOME SERIES CL A	0.00	23.30	95.0	0.00	22.14	0.00	22.14
01/31/2018	#0000000000054623 HERVEY,	FRANKLIN INDIA GROWTH FUND - CLASS	0.00	1.59	95.0	0.00	1.51	0.00	1.51
01/31/2018	#000028212312792 HERVEY,	FRANKLIN SMALL CAP VALUE FUND CLASS	0.00	5.05	95.0	0.00	4.80	0.00	4.80
01/31/2018	#0000000007505884 HERVEY,	MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	2.02	95.0	0.00	1.92	0.00	1.92
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$934.25</b>		<b>\$0.00</b>	<b>\$887.57</b>	<b>\$0.00</b>	<b>\$887.57</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,070,057.77</b>	<b>\$31,101.19</b>		<b>\$0.00</b>	<b>\$29,546.15</b>	<b>\$392.50</b>	<b>\$29,153.65</b>

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ADJUSTMENTS

02/20/2018		ADMIN FEE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
02/20/2018		MMWOD:MISCELLANEOUS: WRITE-OFF							-0.78
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-499.78

BROKER COMMISSION TOTALS

Broker Transactions	\$29,153.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$28,653.87
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