



COMMISSION STATEMENT

April 23, 2018

ANDREW KALINOWSKI
400 West Metro Park
Rochester, NY 14623

BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	***-**-575I - PALELLA, CHARLES	EQUITY INCOME FUND, INC. A	250.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 08350895037	BUY 0 Units @0.0000						
04/13/2018	***-**-575I - PALELLA, CHARLES	EQUITY INCOME FUND, INC. A	250.00	10.00	0.00	10.00	95.0	9.50
	ACCOUNT #: 08350895037	BUY 0 Units @0.0000						
04/02/2018	***-**-9281 - RUSSELL, AMY	EQUITY INCOME FUND, INC. C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 08370662827	BUY 0 Units @0.0000						
04/02/2018	***-**-9281 - RUSSELL, AMY	SENIOR FLOATING RATE FUND C	10.00	0.10	0.00	0.10	95.0	0.10
	ACCOUNT #: 02932131646	BUY 0 Units @0.0000						
04/02/2018	***-**-9281 - RUSSELL, AMY	VALUE FUND C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 03770519816	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$560.00	\$20.60	\$0.00	\$20.60		\$19.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/19/2018	***-**-7066 - BENNETT, KALENA	AXA RETIR COR 13.0 CP	69,567.25	4,869.71	0.00	4,869.71	95.0	4,626.22
	ACCOUNT #: 313024009	BUY 0 Units @0.0000						
04/16/2018	***-**-8367 - RAGUSO, PAMELA	PRUDENTIAL VA TRAILS 74429P110	100.00	6.00	0.00	6.00	95.0	5.70
	ACCOUNT #: E1470506	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$69,667.25	\$4,875.71	\$0.00	\$4,875.71		\$4,631.92
<u>PRODUCT CATEGORY: 529 PLAN</u>								
04/23/2018	***-**-605P - BONSIGNORE,	COLLEGEBOUND 529 TRAILS	0.00	5.76	0.00	5.76	95.0	5.47
		BUY 0 Units @0.0000						
04/23/2018	***-**-9069 - HAYES, DAVID	COLLEGEBOUND 529 TRAILS	0.00	4.25	0.00	4.25	95.0	4.04
		BUY 0 Units @0.0000						
04/23/2018	***-**-9069 - HAYES, DAVID	COLLEGEBOUND 529 TRAILS	0.00	13.82	0.00	13.82	95.0	13.13
		BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$23.83	\$0.00	\$23.83		\$22.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/23/2018	***-**-68IN - WARNER, JANET	AMCAP FUND-F1	0.00	0.88	0.00	0.88	95.0	0.84
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
04/23/2018	***-**-6468 - WARNER, JANET	AMCAP FUND-F1	0.00	0.69	0.00	0.69	95.0	0.66
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-007J - SMITH, WILLIAM	AMERICAN BALANCED FUND-A	0.00	0.12	0.00	0.12	95.0	0.11
	ACCOUNT #: LSR011603	BUY 1 Units @0.0000						
04/23/2018	***-**-20UT - SMITH UTMA VA,	AMERICAN BALANCED FUND-A	0.00	0.97	0.00	0.97	95.0	0.92
	ACCOUNT #: LSR011604	BUY 1 Units @0.0000						
04/23/2018	***-**-38IO - GRAHAM	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.70	0.00	1.70	95.0	1.62
	ACCOUNT #: LSR005428	BUY 1 Units @0.0000						
04/23/2018	***-**-950I - JENKINS, JOHN	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.78	0.00	1.78	95.0	1.69
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
04/23/2018	***-**-149I - JENKINS, BARBARA	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.82	0.00	1.82	95.0	1.73
	ACCOUNT #: LSR011620	BUY 1 Units @0.0000						
04/23/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	4.60	0.00	4.60	95.0	4.37
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
04/23/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	0.68	0.00	0.68	95.0	0.65
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
04/23/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	0.71	0.00	0.71	95.0	0.67
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
04/23/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK SMALL CAP GROWTH EQUITY C	0.00	0.91	0.00	0.91	95.0	0.86
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
04/23/2018	***-**-50IN - RAYMOND, TODD	BLACKROCK TOTAL RETURN FUND CL A	0.00	1.45	0.00	1.45	95.0	1.38
	ACCOUNT #: LSR011520	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	CAPITAL GROWTH FUND A	0.00	1.96	0.00	1.96	95.0	1.86
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
04/23/2018	***-**-22IN - SAUTTER, TERRIE	CAPITAL GROWTH FUND A	0.00	2.31	0.00	2.31	95.0	2.19
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
04/23/2018	***-**-22IN - SAUTTER, TERRIE	CAPITAL INCOME BUILDER-A	0.00	6.22	0.00	6.22	95.0	5.91
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
04/23/2018	***-**-950I - JENKINS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.08	0.00	1.08	95.0	1.03
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
04/23/2018	***-**-149I - JENKINS, BARBARA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.11	0.00	1.11	95.0	1.05
	ACCOUNT #: LSR011620	BUY 1 Units @0.0000						
04/23/2018	***-**-68IN - WARNER, JANET	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.52	0.00	0.52	95.0	0.49
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-6468 - WARNER, JANET	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.49	0.00	0.49	95.0	0.47
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
04/13/2018	***-**-276I - CASTROP, SAMUEL	DEUTSCHE GNMA FUND - A	0.00	1.66	0.00	1.66	95.0	1.58
	ACCOUNT #: 00916127221	BUY 0 Units @0.0000						
04/13/2018	***-**-659I - CASTROP, CARLA	DEUTSCHE GNMA FUND - A	0.00	0.19	0.00	0.19	95.0	0.18
	ACCOUNT #: 00916127222	BUY 0 Units @0.0000						
04/23/2018	***-**-546J - MRS CANDICE	FIDELITY ADV NEW INSIGHTS-CL C	0.00	2.64	0.00	2.64	95.0	2.51
	ACCOUNT #: LSR010748	BUY 1 Units @0.0000						
04/23/2018	***-**-61IR - TOTMAN, FBO	FIDELITY ADVISOR CAPITAL DEV CL A	0.00	0.39	0.00	0.39	95.0	0.37
	ACCOUNT #: LSR010019	BUY 1 Units @0.0000						
04/13/2018	***-**-355I - CHRISTENSEN,	FINANCIAL INDUSTRIES A	0.00	0.20	0.00	0.20	95.0	0.19
	ACCOUNT #: 00777231908	BUY 0 Units @0.0000						
04/23/2018	***-**-588X - RUSSELL, JUDY	GOLD & SPECIAL MINERALS A	0.00	1.25	0.00	1.25	95.0	1.19
	ACCOUNT #: LSR011098	BUY 1 Units @0.0000						
04/23/2018	***-**-853J - SAUTTER	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	0.14	0.00	0.14	95.0	0.13
	ACCOUNT #: LSR006386	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	2.05	0.00	2.05	95.0	1.95
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
04/23/2018	***-**-22IN - SAUTTER, TERRIE	GOLDMAN SACHS CORE PLUS FIXED INCOM	0.00	4.56	0.00	4.56	95.0	4.33
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.45	0.00	0.45	95.0	0.43
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
04/23/2018	***-**-22IN - SAUTTER, TERRIE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.82	0.00	1.82	95.0	1.73
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
04/23/2018	***-**-60IN - KALINOWSKI,	JANUS GLOBAL	0.00	0.39	0.00	0.39	95.0	0.37
	ACCOUNT #: LSF000213	BUY 1 Units @0.0000						
04/13/2018	***-**-428J - MYKINS, RONALD	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	0.86	0.00	0.86	95.0	0.82
	ACCOUNT #: 00008994438	BUY 0 Units @0.0000						
04/13/2018	***-**-355I - CHRISTENSEN,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	0.17	0.00	0.17	95.0	0.16
	ACCOUNT #: 00777230696	BUY 0 Units @0.0000						
04/13/2018	***-**-355I - CHRISTENSEN,	LARGE CAP EQUITY A	0.00	0.11	0.00	0.11	95.0	0.10
	ACCOUNT #: 00777230400	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-950I - JENKINS, JOHN	NEW WORLD FUND-A	0.00	0.97	0.00	0.97	95.0	0.92
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
04/23/2018	***-**-6468 - WARNER, JANET	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	0.35	0.00	0.35	95.0	0.33
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
04/23/2018	***-**-68IN - WARNER, JANET	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	0.42	0.00	0.42	95.0	0.40
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
04/23/2018	***-**-68IN - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.70	0.00	0.70	95.0	0.67
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
04/23/2018	***-**-6468 - WARNER, JANET	PIMCO LOW DURATION CLASS A	0.00	0.36	0.00	0.36	95.0	0.34
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
04/23/2018	***-**-68IN - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.76	0.00	0.76	95.0	0.72
	ACCOUNT #: LSR010222	BUY 1 Units @0.0000						
04/23/2018	***-**-6468 - WARNER, JANET	PIMCO TOTAL RETURN CLASS A	0.00	0.40	0.00	0.40	95.0	0.38
	ACCOUNT #: LSR010223	BUY 1 Units @0.0000						
04/23/2018	***-**-107J - SMITH, KRISTEN	PUTNAM EQUITY INCOME FUND-A	0.00	0.44	0.00	0.44	95.0	0.42
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
04/23/2018	***-**-107J - SMITH, KRISTEN	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.92	0.00	0.92	95.0	0.87
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
04/23/2018	***-**-107J - SMITH, KRISTEN	PUTNAM INVESTORS FUND-A	0.00	0.31	0.00	0.31	95.0	0.29
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
04/23/2018	***-**-107J - SMITH, KRISTEN	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	0.62	0.00	0.62	95.0	0.59
	ACCOUNT #: LSR011605	BUY 1 Units @0.0000						
04/23/2018	***-**-43IR - D'ANGELO, DONNA	ROCHESTER FUND MUNICIPALS A	0.00	1.58	0.00	1.58	95.0	1.50
	ACCOUNT #: LSR003301	BUY 1 Units @0.0000						
04/23/2018	***-**-30IN - HALL, CHEREEZE	ROCHESTER FUND MUNICIPALS C	0.00	0.93	0.00	0.93	95.0	0.88
	ACCOUNT #: LSR010611	BUY 1 Units @0.0000						
04/23/2018	***-**-31IN - SMITH, MS VIVICA	ROCHESTER FUND MUNICIPALS C	0.00	0.85	0.00	0.85	95.0	0.81
	ACCOUNT #: LSR011147	BUY 1 Units @0.0000						
04/23/2018	***-**-66IN - BENNETT, KALENA	ROCHESTER FUND MUNICIPALS C	0.00	0.93	0.00	0.93	95.0	0.88
	ACCOUNT #: LSR011317	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	STRUCTURED U.S. EQUITY CLASS A	0.00	1.67	0.00	1.67	95.0	1.59
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-22IN - SAUTTER, TERRIE	STRUCTURED U.S. EQUITY CLASS A	0.00	4.42	0.00	4.42	95.0	4.20
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	THE BOND FUND OF AMERICA-A	0.00	1.66	0.00	1.66	95.0	1.58
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
04/23/2018	***-**-950I - JENKINS, JOHN	THE BOND FUND OF AMERICA-A	0.00	5.26	0.00	5.26	95.0	5.00
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
04/23/2018	***-**-149I - JENKINS, BARBARA	THE BOND FUND OF AMERICA-A	0.00	5.28	0.00	5.28	95.0	5.02
	ACCOUNT #: LSR011620	BUY 1 Units @0.0000						
04/23/2018	***-**-950I - JENKINS, JOHN	THE INCOME FUND OF AMERICA-A	0.00	2.43	0.00	2.43	95.0	2.31
	ACCOUNT #: LSR011619	BUY 1 Units @0.0000						
04/23/2018	***-**-149I - JENKINS, BARBARA	THE INCOME FUND OF AMERICA-A	0.00	2.48	0.00	2.48	95.0	2.36
	ACCOUNT #: LSR011620	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	7.67	0.00	7.67	95.0	7.29
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
04/23/2018	***-**-22IN - SAUTTER, TERRIE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	7.92	0.00	7.92	95.0	7.52
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
04/23/2018	***-**-01IN - SAUTTER, HOWARD	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	8.62	0.00	8.62	95.0	8.19
	ACCOUNT #: LSR011301	BUY 1 Units @0.0000						
04/23/2018	***-**-22IN - SAUTTER, TERRIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	9.48	0.00	9.48	95.0	9.01
	ACCOUNT #: LSR011302	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$114.31	\$0.00	\$114.31		\$108.61
*** BROKER TRANSACTIONS TOTAL ***			\$70,227.25	\$5,034.45	\$0.00	\$5,034.45		\$4,782.75

OVERRIDE TRANSACTIONS

BROKER: BARRY BRESIN

04/23/2018	***-**-717I - MARSJANIK,	RESOURCE REAL ESTATE TRAILS	0.00	22.63	0.00	22.63	15.0	3.39
		BUY 0 Units @0.0000						
04/23/2018	***-**-16IN - STERN, PATRICIA	SAMMONS LIVEWELL MUTUAL FUND	6,500.00	65.00	0.00	65.00	15.0	9.75
	ACCOUNT #: 7200027673	BUY 0 Units @0.0000						
04/23/2018	***-**-4892 - STERN, ROBERT	SAMMONS LIVEWELL MUTUAL FUND	6,500.00	65.00	0.00	65.00	15.0	9.75
	ACCOUNT #: 7200027682	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: BARRY BRESIN (continued)</u>								
04/23/2018	***-**-16IN - STERN, PATRICIA	SAMMONS MF TRAILS	0.00	19.20	0.00	19.20	15.0	2.88
	ACCOUNT #: 7200027673	BUY 0 Units @0.0000						
		* BARRY BRESIN SUBTOTAL *	\$13,000.00	\$171.83	\$0.00	\$171.83		\$25.77
<u>BROKER: FREDERIC SCARPULLA</u>								
04/09/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063412117	BUY 3 Units @32.5300						
04/09/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	10.0	0.11
	ACCOUNT #: 00063451518	BUY 3 Units @32.5300						
04/10/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591533	BUY 8 Units @28.8100						
04/09/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	10.0	0.19
	ACCOUNT #: 00073003754	BUY 7 Units @26.4900						
04/10/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	10.0	0.04
	ACCOUNT #: 00089051066	BUY 2 Units @26.7200						
04/10/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	10.0	0.12
	ACCOUNT #: 00089910177	BUY 4 Units @26.7200						
04/12/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	10.0	0.04
	ACCOUNT #: 00089051066	BUY 2 Units @26.7500						
04/12/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089045192	BUY 1 Units @26.7500						
04/12/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	12.95	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 00089583477	BUY 0 Units @26.7500						
04/23/2018	***-**-14IN - BENEDETTO,	AMERICAN BALANCED FUND-C	0.00	27.04	0.00	27.04	10.0	2.70
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
04/23/2018	***-**-19IN - LIPPA, MARISSA	AMERICAN BALANCED FUND-C	0.00	1.20	0.00	1.20	10.0	0.12
	ACCOUNT #: LSR011011	BUY 1 Units @0.0000						
04/23/2018	***-**-61IO - BONACCI, FBO	AMERICAN BALANCED FUND-C	0.00	5.88	0.00	5.88	10.0	0.59
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
04/23/2018	***-**-497J - WARNEY, KENNETH	AMERICAN BALANCED FUND-C	0.00	3.66	0.00	3.66	10.0	0.37
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
04/23/2018	***-**-58IR - HINDERLAND, GARY	AMERICAN BALANCED FUND-C	0.00	1.40	0.00	1.40	10.0	0.14
	ACCOUNT #: LSR011249	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
04/23/2018	***-**-283I - RICCI, GABRIELLE	AMERICAN BALANCED FUND-C	0.00	2.22	0.00	2.22	10.0	0.22
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
04/23/2018	***-**-95IO - KELLY, COURTNEY	AMERICAN BALANCED FUND-C	0.00	3.04	0.00	3.04	10.0	0.30
	ACCOUNT #: LSR011685	BUY 1 Units @0.0000						
04/23/2018	***-**-18IO - TAYLOR, GREGORY	AMERICAN BALANCED FUND-C	0.00	23.20	0.00	23.20	10.0	2.32
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
04/23/2018	***-**-80IN - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	12.97	0.00	12.97	10.0	1.30
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
04/23/2018	***-**-80IR - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	14.89	0.00	14.89	10.0	1.49
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
04/23/2018	***-**-92IR - CHEN, CYNTHIA	AMERICAN BALANCED FUND-C	0.00	1.20	0.00	1.20	10.0	0.12
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
04/23/2018	***-**-80IR - ROBERTS,	AMERICAN BALANCED FUND-C	0.00	0.19	0.00	0.19	10.0	0.02
	ACCOUNT #: LSR011795	BUY 1 Units @0.0000						
04/23/2018	***-**-11IN - RUSSELL, ALAN	AMERICAN FUND TAX - EXEMPT FUND OF	0.00	0.11	0.00	0.11	10.0	0.01
	ACCOUNT #: LSR011417	BUY 1 Units @0.0000						
04/10/2018	***-**-7063 - ZIOTO, JULIA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 04000322984	BUY 3 Units @32.6400						
04/23/2018	***-**-61IN - OLIVERI, WILLIAM	AMERICAN HIGH INCOME MUNI BOND CLAS	0.00	104.77	0.00	104.77	10.0	10.48
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
04/23/2018	***-**-306I - SINCLAIR,	AMERICAN HIGH-INCOME TRUST-C	0.00	5.23	0.00	5.23	10.0	0.52
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
04/23/2018	***-**-05IO - KIMBLE, LONNY	AMERICAN HIGH-INCOME TRUST-C	0.00	58.35	0.00	58.35	10.0	5.84
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
04/23/2018	***-**-18IO - TAYLOR, GREGORY	AMERICAN HIGH-INCOME TRUST-C	0.00	21.61	0.00	21.61	10.0	2.16
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
04/23/2018	***-**-80IN - MOYER, COLLEEN	AMERICAN HIGH-INCOME TRUST-C	0.00	12.05	0.00	12.05	10.0	1.21
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
04/09/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @38.8600						
04/23/2018	***-**-14IN - BENEDETTO,	AMERICAN MUTUAL FUND-C	0.00	28.28	0.00	28.28	10.0	2.83
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: FREDERIC SCARPULLA (continued) </u>								
04/23/2018	***-**-44IR - ROBERTSON, ACCOUNT #: LSR010558	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	10.0	0.16
04/23/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	7.47	0.00	7.47	10.0	0.75
04/23/2018	***-**-497J - WARNEY, KENNETH ACCOUNT #: LSR011248	AMERICAN MUTUAL FUND-C BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	10.0	0.14
04/02/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 03220387068	CAPITAL APPRECIATION C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/02/2018	***-**-772I - KERHAERT, ACCOUNT #: 03222178044	CAPITAL APPRECIATION C BUY 0 Units @0.0000	75.00	0.75	0.00	0.75	10.0	0.08
04/02/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03222178055	CAPITAL APPRECIATION C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	10.0	0.10
04/02/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03222192157	CAPITAL APPRECIATION C BUY 0 Units @0.0000	68.00	0.68	0.00	0.68	10.0	0.07
04/02/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 03222200176	CAPITAL APPRECIATION C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
04/02/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 03222325444	CAPITAL APPRECIATION C BUY 0 Units @0.0000	61.61	0.62	0.00	0.62	10.0	0.06
04/13/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 03220387068	CAPITAL APPRECIATION C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/13/2018	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03222192157	CAPITAL APPRECIATION C BUY 0 Units @0.0000	68.00	0.68	0.00	0.68	10.0	0.07
04/13/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 03222200176	CAPITAL APPRECIATION C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
04/10/2018	***-**-355P - RUSH, DONNA ACCOUNT #: 00075148100	CAPITAL INCOME BUILDER CLASS C BUY 63 Units @61.2300	3,855.65	38.56	0.00	38.56	10.0	3.86
04/23/2018	***-**-14IN - BENEDETTO, ACCOUNT #: LSR010421	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	94.66	0.00	94.66	10.0	9.47
04/23/2018	***-**-306I - SINCLAIR, ACCOUNT #: LSR010992	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	10.0	0.54
04/23/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	6.71	0.00	6.71	10.0	0.67



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
04/09/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 1 Units @20.5900						
04/09/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 1 Units @20.5900						
04/10/2018	***-**-355P - RUSH, DONNA	CAPITAL WORLD BOND FUND-C	3,855.65	38.56	0.00	38.56	10.0	3.86
	ACCOUNT #: 00075148100	BUY 194 Units @19.9200						
04/10/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	10.0	0.06
	ACCOUNT #: 00074210167	BUY 0 Units @54.8900						
04/10/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @51.1600						
04/12/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @51.1700						
04/23/2018	***-**-92IR - CHEN, CYNTHIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.30	0.00	1.30	10.0	0.13
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
04/02/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
04/13/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
04/02/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	46.21	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						
04/02/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 08370627385	BUY 0 Units @0.0000						
04/09/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063412117	BUY 1 Units @57.5900						
04/09/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	10.0	0.08
	ACCOUNT #: 00063451518	BUY 1 Units @57.5900						
04/23/2018	***-**-63IR - DIAMOND, ANN	FEDERATED STRATEGIC INCOME FUND CLA	0.00	0.64	0.00	0.64	10.0	0.06
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
04/09/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063412117	BUY 2 Units @62.2400						
04/09/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	10.0	0.16
	ACCOUNT #: 00063451518	BUY 2 Units @62.2400						



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 OVERRIDE TRANSACTIONS (continued)								
 BROKER: FREDERIC SCARPULLA (continued)								
04/09/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @60.9800						
04/10/2018	***-**-617I - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591484	BUY 4 Units @61.9800						
04/10/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @61.9800						
04/12/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @62.1700						
04/23/2018	***-**-18IO - TAYLOR, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	25.29	0.00	25.29	10.0	2.53
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
04/23/2018	***-**-80IN - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	14.13	0.00	14.13	10.0	1.41
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
04/23/2018	***-**-80IR - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	8.04	0.00	8.04	10.0	0.80
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
04/23/2018	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	0.00	1.97	0.00	1.97	10.0	0.20
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
04/02/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
04/02/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						
04/02/2018	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
04/02/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
04/02/2018	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
04/02/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
04/02/2018	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	77.02	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
04/13/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
04/13/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
04/23/2018	***-**-14IN - BENEDETTO,	INCOME FUND OF AMERICA CLASS C	0.00	99.82	0.00	99.82	10.0	9.98
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
04/23/2018	***-**-306I - SINCLAIR,	INCOME FUND OF AMERICA CLASS C	0.00	5.49	0.00	5.49	10.0	0.55
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
04/23/2018	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	0.00	59.83	0.00	59.83	10.0	5.98
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
04/09/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 00089563161	BUY 0 Units @38.9400						
04/12/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	12.58	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 00089583477	BUY 0 Units @39.6200						
04/23/2018	***-**-70UT - GALLETTS CUST,	INVESTMENT COMPANY OF AMERICA CLASS	0.00	7.06	0.00	7.06	10.0	0.71
	ACCOUNT #: LSR011191	BUY 1 Units @0.0000						
04/02/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
04/02/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
04/02/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
04/02/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	10.0	0.08
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
04/02/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
04/02/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
04/02/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
04/02/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
04/13/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
04/13/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
04/09/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063412117	BUY 1 Units @44.4800						
04/09/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	10.0	0.03
	ACCOUNT #: 00063451518	BUY 1 Units @44.4800						
04/10/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.6800						
04/12/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @42.7700						
04/23/2018	***-**-92IR - CRISPINO, FBO	NEW PERSPECTIVE FUND-C	0.00	12.54	0.00	12.54	10.0	1.25
	ACCOUNT #: LSR011073	BUY 1 Units @0.0000						
04/23/2018	***-**-61IO - BONACCI, FBO	NEW PERSPECTIVE FUND-C	0.00	5.59	0.00	5.59	10.0	0.56
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
04/23/2018	***-**-825P - CRISPINO, DIANA	NEW PERSPECTIVE FUND-C	0.00	6.00	0.00	6.00	10.0	0.60
	ACCOUNT #: LSR011759	BUY 1 Units @0.0000						
04/18/2018	***-**-2942 - WARNEY, KENNETH	NYA JPM 529 AGGR AGE-BASE C	100.00	4.05	0.00	4.05	10.0	0.41
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
04/18/2018	***-**-2942 - WARNEY, KENNETH	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
04/18/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	277.04	2.78	0.00	2.78	10.0	0.28
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
04/18/2018	***-**-6352 - GINERVA, ENZA	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 77766297801	BUY 0 Units @0.0000						
04/18/2018	***-**-503J - OBI, JEAN	NYA JPM 529 MOD AGE-BASED A	50.00	2.38	0.00	2.38	10.0	0.24
		BUY 0 Units @0.0000						
04/18/2018	***-**-6793 - ESTEP, ARDEN	NYA JPM 529 MOD AGE-BASED A	50.00	0.50	0.00	0.50	10.0	0.05
		BUY 0 Units @0.0000						
04/18/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD AGE-BASED A	50.00	1.52	0.00	1.52	10.0	0.15
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
04/18/2018	***-**-015P - FANTAUZZO,	NYA JPM 529 REALTY INCOME PORTF C	165.00	1.65	0.00	1.65	10.0	0.17
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
04/02/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
04/02/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
04/02/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	500.00	5.00	0.00	5.00	10.0	0.50
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
04/02/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
04/02/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
04/13/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
04/13/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
04/02/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
04/13/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
04/02/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
04/13/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
04/02/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
04/13/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
04/02/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	10.0	0.05
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
04/02/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
04/02/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: FREDERIC SCARPULLA (continued)</u>								
04/02/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02270934318	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	10.0	0.13
04/02/2018	***-**-6836 - SMITH, ADRIENNE ACCOUNT #: 02271182830	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/02/2018	***-**-655P - DAVIS, BERTHA ACCOUNT #: 02271237808	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/13/2018	***-**-6836 - SMITH, ADRIENNE ACCOUNT #: 02271182830	OPPENHEIMER RISING DIVIDENDS FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/23/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	PIMCO TOTAL RETURN CLASS A BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	10.0	0.02
04/02/2018	***-**-772I - KERHAERT, ACCOUNT #: 02592262304	QUEST BALANCED FUND C BUY 0 Units @0.0000	75.00	0.75	0.00	0.75	10.0	0.08
04/02/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02592292741	QUEST BALANCED FUND C BUY 0 Units @0.0000	250.00	2.50	0.00	2.50	10.0	0.25
04/02/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 05920398266	REAL ESTATE FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/02/2018	***-**-2620 - CUSIMANO, MEGAN ACCOUNT #: 05920400103	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/02/2018	***-**-9530 - MILAM, IAN ACCOUNT #: 05920419496	REAL ESTATE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/13/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 05920398266	REAL ESTATE FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	10.0	0.05
04/23/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	ROCH. LIMITED TERM NEW YORK MUNICI BUY 1 Units @0.0000	0.00	106.58	0.00	106.58	10.0	10.66
04/23/2018	***-**-92IR - CHEN, CYNTHIA ACCOUNT #: LSR011412	SELECT VALUE FUND A BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	10.0	0.12
04/02/2018	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02531288969	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	10.0	0.03
04/02/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 02531162106	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02
04/13/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 02531162106	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	20.00	0.20	0.00	0.20	10.0	0.02



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u>								
04/10/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @49.9200	20.40	0.20	0.00	0.20	10.0	0.02
04/12/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.0800	20.40	0.20	0.00	0.20	10.0	0.02
04/02/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	10.0	0.01
04/02/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 02322653794	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	10.0	0.10
04/02/2018	***-**-1314 - BENEDETTO, ACCOUNT #: 02322751089	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	125.00	1.25	0.00	1.25	10.0	0.13
04/13/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	10.0	0.01
04/09/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8200	44.50	0.53	0.00	0.53	10.0	0.05
04/09/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.8200	44.50	0.53	0.00	0.53	10.0	0.05
04/23/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	6.03	0.00	6.03	10.0	0.60
04/09/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.1700	66.75	0.80	0.00	0.80	10.0	0.08
04/09/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.1700	66.75	0.80	0.00	0.80	10.0	0.08
04/10/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @54.4000	12.50	0.63	0.00	0.63	10.0	0.06
04/11/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 4 Units @53.5400	200.00	7.50	0.00	7.50	10.0	0.75
04/11/2018	***-**-975P - COTTONE, MARIA ACCOUNT #: 00086606929	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.5400	51.84	1.94	0.00	1.94	10.0	0.19
04/09/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.6400	10.00	0.10	0.00	0.10	10.0	0.01
04/09/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 4 Units @46.6400	176.00	1.76	0.00	1.76	10.0	0.18



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
04/10/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591484	BUY 5 Units @47.4500						
04/10/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	225.00	2.25	0.00	2.25	10.0	0.23
	ACCOUNT #: 04000591533	BUY 5 Units @47.4500						
04/10/2018	***-**-50IN - BONACCI,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00089947853	BUY 2 Units @47.4500						
04/10/2018	***-**-1307 - BONACCI,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 00087952601	BUY 2 Units @47.4500						
04/12/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	12.57	0.13	0.00	0.13	10.0	0.01
	ACCOUNT #: 00089583477	BUY 0 Units @47.6900						
04/12/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 00089045192	BUY 0 Units @47.6900						
04/23/2018	***-**-497J - WARNEY, KENNETH	THE GROWTH FUND OF AMERICA-C	0.00	1.44	0.00	1.44	10.0	0.14
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
04/23/2018	***-**-283I - RICCI, GABRIELLE	THE GROWTH FUND OF AMERICA-C	0.00	3.98	0.00	3.98	10.0	0.40
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
04/23/2018	***-**-20IN - GIANGRECO, TERRY	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	369.00	0.00	369.00	10.0	36.90
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
04/23/2018	***-**-61IN - OLIVERI, WILLIAM	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	152.92	0.00	152.92	10.0	15.29
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
04/23/2018	***-**-69IN - BUCK, LESLIE	TOUCHSTONE TRAILS	0.00	3.20	0.00	3.20	10.0	0.32
		BUY 0 Units @0.0000						
04/09/2018	***-**-63IN - DIAMOND, ANN	U.S. GOVERNMENT SECURITIES FUND-C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 00085468057	BUY 4 Units @13.3900						
04/02/2018	***-**-9206 - SANDER, DAVID	VALUE FUND C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 03770488829	BUY 0 Units @0.0000						
04/09/2018	***-**-482I - MARCONI, TODD	WASHINGTON MUTUAL INVESTORS FUND-C	5.00	0.05	0.00	0.05	10.0	0.01
	ACCOUNT #: 00089570936	BUY 0 Units @44.0000						
04/09/2018	***-**-435P - BOSCO, SOFIA	WASHINGTON MUTUAL INVESTORS FUND-C	187.00	1.87	0.00	1.87	10.0	0.19
	ACCOUNT #: 00073003754	BUY 4 Units @44.0000						
04/10/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	10.0	0.04
	ACCOUNT #: 00089910177	BUY 1 Units @44.7000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: FREDERIC SCARPULLA (continued)</u> 								
04/10/2018	***-**-305P - MESH, RONALD	WASHINGTON MUTUAL INVESTORS FUND-C	400.00	4.00	0.00	4.00	10.0	0.40
	ACCOUNT #: 00074720484	BUY 9 Units @44.7000						
04/23/2018	***-**-80IR - MOYER, COLLEEN	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	7.81	0.00	7.81	10.0	0.78
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
04/23/2018	***-**-92IR - CHEN, CYNTHIA	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	1.24	0.00	1.24	10.0	0.12
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
04/06/2018	***-**-27IN - TINKLEPAUGH,	WELLS FGO AVTG DIVERSFD CAPITAL BLD	0.00	1.12	0.00	1.12	10.0	0.11
	ACCOUNT #: 01005412974	BUY 0 Units @0.0000						
* FREDERIC SCARPULLA SUBTOTAL *			\$16,965.34	\$1,530.08	\$0.00	\$1,530.08		\$153.21
 <u>BROKER: WILLIAM AGNELLO</u> 								
04/23/2018	***-**-28IN - CHIAVETTA,	AMERICAN BALANCED FUND-A	0.00	2.39	0.00	2.39	25.0	0.60
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
04/23/2018	***-**-93IO - WOJTAS, JEANETTE	AMERICAN HIGH-INCOME TRUST-A	0.00	1.51	0.00	1.51	25.0	0.38
	ACCOUNT #: LSR007323	BUY 1 Units @0.0000						
04/23/2018	***-**-75IO - DELCOUR	AMERICAN HIGH-INCOME TRUST-C	0.00	10.18	0.00	10.18	25.0	2.55
	ACCOUNT #: LSR007358	BUY 1 Units @0.0000						
04/23/2018	***-**-963I - GAMMARIELLO,	FEDERATED REAL RETRN BOND FUND CL A	0.00	1.10	0.00	1.10	25.0	0.28
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
04/23/2018	***-**-963I - GAMMARIELLO,	FEDERATED TOTAL RETURN BOND CL A	0.00	2.03	0.00	2.03	25.0	0.51
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADV HIGH INC ADVANTAGE CL	0.00	15.87	0.00	15.87	25.0	3.97
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
04/23/2018	***-**-11IO - CRANDALL,	FIDELITY ADV HIGH INC ADVANTAGE CL	0.00	16.79	0.00	16.79	25.0	4.20
	ACCOUNT #: LSR007145	BUY 1 Units @0.0000						
04/23/2018	***-**-657I - RIEL, KEVIN	FIDELITY ADV SMALL CAP GROWTH-CL C	0.00	6.69	0.00	6.69	25.0	1.67
	ACCOUNT #: LSR007366	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	16.82	0.00	16.82	25.0	4.21
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - CUP, NICHOLAS	FIDELITY ADVISOR BIOTECHNOLOGY CL C	0.00	8.15	0.00	8.15	25.0	2.04
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
04/23/2018	***-**-36IO - IRWIN, CHARLES	FIDELITY ADVISOR BIOTECHNOLOGY CL C	0.00	11.13	0.00	11.13	25.0	2.78
	ACCOUNT #: LSR007200	BUY 1 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: WILLIAM AGNELLO (continued)</u>								
04/23/2018	***-**-657I - RIEL, KEVIN ACCOUNT #: LSR007366	FIDELITY ADVISOR BIOTECHNOLOGY CL C BUY 1 Units @0.0000	0.00	24.50	0.00	24.50	25.0	6.13
04/23/2018	***-**-43IN - CUP, NICHOLAS ACCOUNT #: LSR006890	FIDELITY ADVISOR FUNDS 316390350 BUY 1 Units @0.0000	0.00	6.77	0.00	6.77	25.0	1.69
04/23/2018	***-**-39IN - IRWIN, DIANE ACCOUNT #: LSR007234	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	5.00	0.00	5.00	25.0	1.25
04/23/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	JANUS HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	4.54	0.00	4.54	25.0	1.14
04/23/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	MAIN STREET FUND A BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	25.0	0.02
04/23/2018	***-**-59T2 - ETHEL CUP IRREV ACCOUNT #: LSR006912	MAINSTAY COMMON STOCK FUND CLASS A BUY 1 Units @0.0000	0.00	7.36	0.00	7.36	25.0	1.84
04/23/2018	***-**-28IN - CHIAVETTA, ACCOUNT #: LSR008842	MAINSTAY FLOATING RATE FUND CL A BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	25.0	0.51
04/23/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	OPPENHEIMER DISCOVERY CLASS A BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	25.0	0.05
04/23/2018	***-**-87IN - IRWIN, IRENE ACCOUNT #: LSR010100	PIMCO EMERG MKTS BOND NFS BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	25.0	0.11
04/23/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	PIMCO FLOATING INCOME FD CL A BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	25.0	0.50
04/23/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	PIMCO GLOBAL BOND BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	25.0	0.41
04/23/2018	***-**-28IN - CUP, ANDREW ACCOUNT #: LSR007137	PIMCO GLOBAL BOND BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	25.0	0.16
04/23/2018	***-**-002I - NFS/FMTC IRA ACCOUNT #: LSR007005	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	2.95	0.00	2.95	25.0	0.74
04/23/2018	***-**-963I - GAMMARIELLO, ACCOUNT #: LSR007102	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	3.27	0.00	3.27	25.0	0.82
04/23/2018	***-**-36IO - IRWIN, CHARLES ACCOUNT #: LSR007200	PIMCO HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	7.15	0.00	7.15	25.0	1.79
04/23/2018	***-**-90IR - NFS/FMTC ROTH ACCOUNT #: LSR007110	PIMCO HIGH YIELD CLASS C BUY 1 Units @0.0000	0.00	3.17	0.00	3.17	25.0	0.79



COMMISSION STATEMENT

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ANDREW KALINOWSKI
400 West Metro Park
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BROKER #: 20
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: WILLIAM AGNELLO (continued)</u>								
04/23/2018	***-**-28IR - CUP, ANDREW	PIMCO HIGH YIELD CLASS C	0.00	3.23	0.00	3.23	25.0	0.81
	ACCOUNT #: LSR007129	BUY 1 Units @0.0000						
04/23/2018	***-**-90IR - NFS/FMTC ROTH	PIMCO HIGH YIELD CLASS C	0.00	1.22	0.00	1.22	25.0	0.31
	ACCOUNT #: LSR007110	BUY 1 Units @0.0000						
04/23/2018	***-**-28IR - CUP, ANDREW	PIMCO HIGH YIELD CLASS C	0.00	1.24	0.00	1.24	25.0	0.31
	ACCOUNT #: LSR007129	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - CUP, NICHOLAS	PIMCO REAL RETURN FUND CLASS A	0.00	1.94	0.00	1.94	25.0	0.49
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
04/23/2018	***-**-28IN - CUP, ANDREW	PIMCO REAL RETURN FUND CLASS A	0.00	1.69	0.00	1.69	25.0	0.42
	ACCOUNT #: LSR007137	BUY 1 Units @0.0000						
04/23/2018	***-**-39IN - IRWIN, DIANE	PIMPCO GLOBAL BOND CL A	0.00	1.22	0.00	1.22	25.0	0.31
	ACCOUNT #: LSR007234	BUY 1 Units @0.0000						
04/23/2018	***-**-439I - IRWIN, DIANE	PIMPCO GLOBAL BOND CL A	0.00	1.12	0.00	1.12	25.0	0.28
	ACCOUNT #: LSR007250	BUY 1 Units @0.0000						
04/23/2018	***-**-93UT - CUP CUST, MARCIE	PORT SERIES: EQUITY INV FD A	0.00	0.37	0.00	0.37	25.0	0.09
	ACCOUNT #: LSR011103	BUY 1 Units @0.0000						
04/23/2018	***-**-75IO - DELCOUR	THE BOND FUND OF AMERICA-A	0.00	5.94	0.00	5.94	25.0	1.49
	ACCOUNT #: LSR007358	BUY 1 Units @0.0000						
04/23/2018	***-**-098I - AQUILINA, MARIAN	THE BOND FUND OF AMERICA-C	0.00	7.08	0.00	7.08	25.0	1.77
	ACCOUNT #: LSR007072	BUY 1 Units @0.0000						
04/23/2018	***-**-963I - GAMMARIELLO,	THE BOND FUND OF AMERICA-C	0.00	17.60	0.00	17.60	25.0	4.40
	ACCOUNT #: LSR007102	BUY 1 Units @0.0000						
04/23/2018	***-**-951I - SCHAEFER,	THE BOND FUND OF AMERICA-C	0.00	5.22	0.00	5.22	25.0	1.31
	ACCOUNT #: LSR007382	BUY 1 Units @0.0000						
04/23/2018	***-**-59T4 - ETHEL CUP TRUST	THE GROWTH FUND OF AMERICA-A	0.00	1.40	0.00	1.40	25.0	0.35
	ACCOUNT #: LSR006955	BUY 1 Units @0.0000						
04/23/2018	***-**-613K - HAGER	THE GROWTH FUND OF AMERICA-A	0.00	3.55	0.00	3.55	25.0	0.89
	ACCOUNT #: LSR006980	BUY 1 Units @0.0000						
04/23/2018	***-**-39IN - IRWIN, DIANE	THE GROWTH FUND OF AMERICA-A	0.00	7.82	0.00	7.82	25.0	1.96
	ACCOUNT #: LSR007234	BUY 1 Units @0.0000						
04/23/2018	***-**-728X - CHIAVETTA, NSPS	THE GROWTH FUND OF AMERICA-A	0.00	2.15	0.00	2.15	25.0	0.54
	ACCOUNT #: LSR008699	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: WILLIAM AGNELLO (continued)</u> 								
04/23/2018	***-**-43IN - CUP, NICHOLAS	THE GROWTH FUND OF AMERICA-C	0.00	25.92	0.00	25.92	25.0	6.48
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
04/23/2018	***-**-13IR - HAGER, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	9.21	0.00	9.21	25.0	2.30
	ACCOUNT #: LSR006971	BUY 1 Units @0.0000						
04/23/2018	***-**-657I - RIEL, KEVIN	THE GROWTH FUND OF AMERICA-C	0.00	44.20	0.00	44.20	25.0	11.05
	ACCOUNT #: LSR007366	BUY 1 Units @0.0000						
04/23/2018	***-**-28IN - CHIAVETTA,	THE GROWTH FUND OF AMERICA-C	0.00	11.94	0.00	11.94	25.0	2.99
	ACCOUNT #: LSR008842	BUY 1 Units @0.0000						
04/23/2018	***-**-43IN - CUP, NICHOLAS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.79	0.00	1.79	25.0	0.45
	ACCOUNT #: LSR006890	BUY 1 Units @0.0000						
		 * WILLIAM AGNELLO SUBTOTAL * 	 \$0.00 	 \$320.23 	 \$0.00 	 \$320.23 		 \$80.14
 <u>BROKER: JAMES LOMBARDO</u> 								
04/23/2018	***-**-166I - BONN, FBO	AMCAP FUND-C	0.00	0.56	0.00	0.56	15.0	0.08
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/12/2018	***-**-0935 - MINEO, JAMES	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	15.0	0.56
	ACCOUNT #: 00073571816	BUY 3 Units @28.5200						
04/12/2018	***-**-2885 - BISHOP, DAVID	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00068915116	BUY 2 Units @28.2000						
04/23/2018	***-**-166I - BONN, FBO	AMERICAN MUTUAL FUND-C	0.00	0.72	0.00	0.72	15.0	0.11
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/13/2018	***-**-8806 - MABRY, REBECCA	CAPITAL INCOME BUILDER-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00082729374	BUY 1 Units @64.0200						
04/23/2018	***-**-166I - BONN, FBO	EUROPACIFIC GROWTH FUND CLASS C	0.00	0.48	0.00	0.48	15.0	0.07
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/10/2018	***-**-98CL - ACOSTA, CLIENTS	EUROPACIFIC GROWTH FUND-A	50.00	2.13	0.00	2.13	15.0	0.32
	ACCOUNT #: 00083299252	BUY 1 Units @60.3500						
04/13/2018	***-**-8806 - MABRY, REBECCA	EUROPACIFIC GROWTH FUND-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00082729374	BUY 1 Units @59.7700						
04/10/2018	***-**-98CL - ACOSTA, CLIENTS	FUNDAMENTAL INVESTORS-A	50.00	2.13	0.00	2.13	15.0	0.32
	ACCOUNT #: 00083299252	BUY 1 Units @65.6000						
04/12/2018	***-**-2885 - BISHOP, DAVID	FUNDAMENTAL INVESTORS-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00068915116	BUY 1 Units @65.4700						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: JAMES LOMBARDO (continued)</u> 								
04/23/2018	***-**-166I - BONN, FBO	FUNDAMENTAL INVESTORS-C	0.00	0.78	0.00	0.78	15.0	0.12
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/23/2018	***-**-166I - BONN, FBO	INCOME FUND OF AMERICA CLASS C	0.00	0.24	0.00	0.24	15.0	0.04
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/12/2018	***-**-2885 - BISHOP, DAVID	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00068915116	BUY 1 Units @46.7000						
04/23/2018	***-**-166I - BONN, FBO	SMALLCAP WORLD FUND-C	0.00	0.41	0.00	0.41	15.0	0.06
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
04/12/2018	***-**-2885 - BISHOP, DAVID	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 00068915116	BUY 1 Units @53.9600						
* JAMES LOMBARDO SUBTOTAL *			\$550.00	\$25.27	\$0.00	\$25.27		\$3.78
*** OVERRIDE TRANSACTIONS TOTAL ***			\$30,515.34	\$2,047.41	\$0.00	\$2,047.41		\$262.90

BROKER COMMISSION TOTALS

Broker Transactions	\$4,782.75
Split Transactions	\$0.00
Override Transactions	\$262.90
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$5,045.65
Year-to-date Earnings	\$42,386.13