

**COMMISSION STATEMENT****April 15, 2018**

R145-RICHARD RODRIGUEZ, JR.
 3854 E. County Road 466
 Suite A
 Oxford, FL 34484

BROKER #: R145
BRANCH: RICHARD RODRIGUEZ JR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/16/2018	CRISPEN, PAUL	HPI FUND VI LLC	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,000.00	\$0.00	\$3,000.00		\$2,700.00
<u>PRODUCT CATEGORY: REIT</u>								
03/15/2018	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	19.56	0.00	19.56	90.0	17.60
03/15/2018	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	15.65	0.00	15.65	90.0	14.09
03/15/2018	OSWALD, DOREEN	CARTER VALIDUS MC REIT II CLASS T	0.00	4.22	0.00	4.22	90.0	3.80
		* REIT SUBTOTAL *	\$0.00	\$39.43	\$0.00	\$39.43		\$35.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	HALL, MICHAEL	JNL PERSPECTIVE L	127,611.53	106.34	0.00	106.34	90.0	95.71
03/31/2018	HALL, MICHAEL	JNL PERSPECTIVE L	128,800.90	107.33	0.00	107.33	90.0	96.60
		* VARIABLE ANNUITIES SUBTOTAL *	\$256,412.43	\$213.67	\$0.00	\$213.67		\$192.31
		*** DIRECT TRANSACTIONS TOTAL ***	\$306,412.43	\$3,253.10	\$0.00	\$3,253.10		\$2,927.80
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404</u>								
03/31/2018	BROWN, CLAIRE	LINCOLN BENEFIT TRAIL RENEWALS	89,146.15	55.71	0.00	55.71	90.0	50.14
		* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *	\$89,146.15	\$55.71	\$0.00	\$55.71		\$50.14
		*** SPLIT TRANSACTIONS TOTAL ***	\$89,146.15	\$55.71	\$0.00	\$55.71		\$50.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
04/15/2018		*ADVANCE: ADVANCE	0.00					-2,544.25
04/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
04/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
04/15/2018		*DOCUSIGN: DOCUSIGN	0.00					-18.00
04/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
04/15/2018		*FINRA: CE TRACKED WEB FEE S201	0.00					-55.00
04/15/2018		*LASER APP: LASER APP	0.00					-18.00
04/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
04/15/2018		*MISC: ACH FEE	0.00					-25.00
04/15/2018		*OUT OF CYCLE: OUT OF CYCLE	0.00					-100.00
04/15/2018		*PERSHING: COST BASIS TRACKING 3 ACCTS	0.00					-3.00
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
04/15/2018		*TECHNOLOGY: ADMIN - K NEWCOMB	0.00					-130.00
04/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,603.26

BROKER COMMISSION TOTALS

Broker Transactions	\$2,927.80
Split Transactions	\$50.14
Override Transactions	\$0.00
Adjustments	\$-3,603.26
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-30.75
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-656.07
Year-to-date Gross	\$29,004.70
Year-to-date Earnings	\$26,104.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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