



Concorde Asset Management

07/17/2017

Page No. 1

COMMISSION STATEMENT

July 15, 2017

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ

BRANCH: TRUWEALTH FINANCIAL

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/06/2017	KEMPER, JEROME	CATALYST HEDGED FUTURES STRATEGY FU	718.72	0.00	0.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$718.72	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/06/2017	FREIH, DIANE	ISHARES TR CORE TOTAL U S BD MKT ET	108.95	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	ISHARES TR CORE TOTAL U S BD MKT ET	653.69	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	ISHARES TR CORE TOTAL U S BD MKT ET	980.53	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	ISHARES TR CORE TOTAL U S BD MKT ET	108.95	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RETZLAFF, SANDRA	ISHARES TR CORE TOTAL U S BD MKT ET	108.95	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	ISHARES TR CORE TOTAL U S BD MKT ET	326.84	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	ISHARES TR RUSSELL 2000 INDEX FD	-697.30	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	ISHARES TR RUSSELL 2000 INDEX FD	-418.38	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	ISHARES TR RUSSELL 2000 INDEX FD	-418.38	0.00	0.00	0.00	100.0	0.00
^07/06/2017	FREIH, DIANE	ISHARES TR S&P 500 INDEX FD	-242.57	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	ISHARES TR S&P 500 INDEX FD	-1,455.42	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	ISHARES TR S&P 500 INDEX FD	-970.28	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RETZLAFF, SANDRA	ISHARES TR S&P 500 INDEX FD	-242.57	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	ISHARES TR S&P 500 INDEX FD	-1,697.99	0.00	0.00	0.00	100.0	0.00
^07/06/2017	FREIH, DIANE	POWERSHARES DB COMMODITY INDEX TRAC	129.69	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	POWERSHARES DB COMMODITY INDEX TRAC	432.30	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	POWERSHARES DB COMMODITY INDEX TRAC	446.71	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	57.64	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	POWERSHARES DB COMMODITY INDEX TRAC	72.05	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RETZLAFF, SANDRA	POWERSHARES DB COMMODITY INDEX TRAC	115.28	0.00	0.00	0.00	100.0	0.00
^07/06/2017	SKAARE, LARRY	POWERSHARES DB COMMODITY INDEX TRAC	72.05	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	POWERSHARES DB COMMODITY INDEX TRAC	-374.40	0.00	0.00	0.00	100.0	0.00
^07/06/2017	FREIH, DIANE	POWERSHARES EXCHANGE-TRADED FD TR I	69.35	0.00	0.00	0.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/06/2017	KEMPER, JEROME	POWERSHARES EXCHANGE-TRADED FD TR I	300.53	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	POWERSHARES EXCHANGE-TRADED FD TR I	254.30	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.24	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	POWERSHARES EXCHANGE-TRADED FD TR I	46.24	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RETZLAFF, SANDRA	POWERSHARES EXCHANGE-TRADED FD TR I	92.47	0.00	0.00	0.00	100.0	0.00
^07/06/2017	SKAARE, LARRY	POWERSHARES EXCHANGE-TRADED FD TR I	46.24	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-947.82	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-315.94	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,579.70	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	SPDR SER TR BARCLAYS HIGH YIELD BD	36.89	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	SPDR SER TR BARCLAYS HIGH YIELD BD	110.66	0.00	0.00	0.00	100.0	0.00
^07/06/2017	FREIH, DIANE	VANGUARD CHARLOTTE FDS TOTAL INTL B	54.09	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.18	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.18	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.18	0.00	0.00	0.00	100.0	0.00
^07/06/2017	FREIH, DIANE	VANGUARD INDEX FDS VANGUARD REIT ET	-163.58	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	VANGUARD INDEX FDS VANGUARD REIT ET	-817.90	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	VANGUARD INDEX FDS VANGUARD REIT ET	163.60	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	VANGUARD INDEX FDS VANGUARD REIT ET	81.80	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	VANGUARD INDEX FDS VANGUARD REIT ET	-981.48	0.00	0.00	0.00	100.0	0.00
^07/06/2017	FREIH, DIANE	VANGUARD INTL EQUITY INDEX FD INC F	1,194.84	0.00	0.00	0.00	100.0	0.00
^07/06/2017	KEMPER, JEROME	VANGUARD INTL EQUITY INDEX FD INC F	3,883.23	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, CLINTON	VANGUARD INTL EQUITY INDEX FD INC F	1,045.49	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	99.57	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RASMUSSEN, PAMELA	VANGUARD INTL EQUITY INDEX FD INC F	398.28	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RETZLAFF, SANDRA	VANGUARD INTL EQUITY INDEX FD INC F	199.14	0.00	0.00	0.00	100.0	0.00
^07/06/2017	SKAARE, LARRY	VANGUARD INTL EQUITY INDEX FD INC F	149.36	0.00	0.00	0.00	100.0	0.00
^07/06/2017	RUFFIE, DAVID	VANGUARD INTL EQUITY INDEX FD INC F	6,272.91	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$7,159.69	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
^07/07/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	354,543.23	1,107.95	0.00	1,107.95	100.0	0.00
* RIA SUBTOTAL *			\$354,543.23	\$1,107.95	\$0.00	\$1,107.95		\$0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

*** BROKER TRANSACTIONS TOTAL ***	\$362,421.64	\$1,107.95	\$0.00	\$1,107.95	\$0.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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