

All Companies
STATEMENT
July 20, 2018

KATIE PEPIN
1990 Main Street #750

BROKER #: 150
BRANCH:

Sarasota, FL 34236

COMMISSION STATEMENT for KATIE PEPIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/25/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	300.00	3.00	93.0	0.00	2.79	0.00	2.79
05/25/2018	#07330736461 PEPIN, KATIE	OPPENHEIMER MAIN STR SELECT FD CL C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
06/01/2018	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	50.00	0.50	93.0	0.00	0.47	0.00	0.47
06/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	250.61	2.51	93.0	0.00	2.33	0.00	2.33
06/01/2018	#04220290191 PEPIN, KATIE	MAIN STREET FUND C	292.25	2.92	93.0	0.00	2.72	0.00	2.72
06/01/2018	#04220427306 PENNIN, S	MAIN STREET FUND C	123.41	1.23	93.0	0.00	1.14	0.00	1.14
06/15/2018	#04220424622 PENNIN, S	MAIN STREET FUND C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
* MUTUAL FUNDS SUBTOTAL *			\$1,216.27	\$12.16		\$0.00	\$11.31	\$0.00	\$11.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/07/0018	#N101466130 FOUT, JEAN	NATIONWIDE RENEWAL	0.00	11.47	93.0	0.00	10.67	0.00	10.67
06/07/0018	#N101514030 FOUT, JEAN	NATIONWIDE RENEWAL	0.00	3.66	93.0	0.00	3.40	0.00	3.40
06/30/0201	#E1059724 GREEN	PRUDETIAL TRAILS	0.00	18.18	93.0	0.00	16.91	0.00	16.91
06/30/0201	#E1075717 KING, ROBERT	PRUDETIAL TRAILS	0.00	94.79	93.0	0.00	88.15	0.00	88.15
06/30/0201	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	16.22	93.0	0.00	15.08	0.00	15.08
06/30/0201	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	2.43	93.0	0.00	2.26	0.00	2.26
06/30/0201	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	119.98	93.0	0.00	111.58	0.00	111.58
06/30/0201	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	29.99	93.0	0.00	27.89	0.00	27.89
06/30/0201	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	4.05	93.0	0.00	3.77	0.00	3.77
06/30/0201	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	22.24	93.0	0.00	20.68	0.00	20.68
06/30/0201	#E1774734	PRUDETIAL TRAILS	0.00	8.41	93.0	0.00	7.82	0.00	7.82
06/30/0201	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	5.56	93.0	0.00	5.17	0.00	5.17
06/30/0201	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	116.67	93.0	0.00	108.50	0.00	108.50
06/30/0201	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	29.17	93.0	0.00	27.13	0.00	27.13
06/30/0201	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	7.30	93.0	0.00	6.79	0.00	6.79
06/01/2018	#2721574 FOUT, JEAN	JOHN HANCOCK LIFE TRAILS	0.00	34.64	93.0	0.00	32.22	0.00	32.22
06/30/2018	#1014526117 TONCOLA,	PERSP L 08/11	118,000.89	98.33	93.0	0.00	91.45	0.00	91.45
* VARIABLE ANNUITIES SUBTOTAL *			\$118,000.89	\$623.09		\$0.00	\$579.47	\$0.00	\$579.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***			\$119,217.16	\$635.25		\$0.00	\$590.78	\$0.00	\$590.78

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$590.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21.78
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