



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

KELLERMAN PALMERSHEIM/

BROKER #: 9534
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for KELLERMAN PALMERSHEIM/

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	00083950080 CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	3.06	0.00	3.06	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$175.00	\$3.06	\$0.00	\$3.06		\$0.00

PRODUCT CATEGORY: RIA

01/22/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	75.13	0.00	75.13	0.0	0.00
01/24/2018	HORN, JEFF/OPTIMIZER	SIGNAL SECURITIES RIA	B	0.00	430.50	0.00	430.50	0.0	0.00
01/24/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	336.35	0.00	336.35	0.0	0.00
01/12/2018	10556019 GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	25.20	0.00	25.20	0.0	0.00
DEANN									
01/12/2018	10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	77.93	0.00	77.93	0.0	0.00
01/12/2018	20872135 SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	62.88	0.00	62.88	0.0	0.00
MARIE									
01/12/2018	22163519 ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	12.37	0.00	12.37	0.0	0.00
01/12/2018	30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	87.27	0.00	87.27	0.0	0.00
01/12/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	1,624.00	0.00	1,624.00	0.0	0.00
01/12/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	10.97	0.00	10.97	0.0	0.00
01/12/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	7.82	0.00	7.82	0.0	0.00
01/12/2018	51260395 SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	246.52	0.00	246.52	0.0	0.00
01/12/2018	51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	120.28	0.00	120.28	0.0	0.00
01/12/2018	52007712 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	0.0	0.00
01/12/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	14.47	0.00	14.47	0.0	0.00
01/12/2018	79512456 BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	49.12	0.00	49.12	0.0	0.00
WAYNE									
01/12/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	130.67	0.00	130.67	0.0	0.00
01/12/2018	89721188 HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.47	0.00	0.47	0.0	0.00
01/12/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	93.80	0.00	93.80	0.0	0.00
01/12/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	26.60	0.00	26.60	0.0	0.00
01/12/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	236.02	0.00	236.02	0.0	0.00
01/12/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	3.50	0.00	3.50	0.0	0.00
01/12/2018	99442454 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	15.05	0.00	15.05	0.0	0.00
* RIA SUBTOTAL *				\$0.00	\$3,689.25	\$0.00	\$3,689.25		\$0.00

COMMISSION STATEMENT for KELLERMAN PALMERSHEIM/

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/01/2018	00060514701	WARREN, GLORIA	MFS MA INVS GROWTH STOCK FD CL A	B	0.00	5.76	0.00	5.76	0.0	0.00
01/01/2018	00060514702	WARREN, WILLIAM	MFS MA INVS GROWTH STOCK FD CL A	B	0.00	4.69	0.00	4.69	0.0	0.00
01/01/2018	00060514967	WARREN, GLORIA	MFS TOTAL RETURN FD CL A	B	0.00	5.03	0.00	5.03	0.0	0.00
01/01/2018	00060514968	WARREN, WILLIAM	MFS TOTAL RETURN FD CL A	B	0.00	5.12	0.00	5.12	0.0	0.00
01/01/2018	00060515772	WARREN, WILLIAM	MFS OTC FD CL A	B	0.00	0.63	0.00	0.63	0.0	0.00
01/01/2018	00060515773	WARREN, GLORIA	MFS OTC FD CL A	B	0.00	0.61	0.00	0.61	0.0	0.00
01/01/2018	00060516665	WARREN, WILLIAM	MFS EQUITY INCOME FD CL A	B	0.00	5.56	0.00	5.56	0.0	0.00
01/01/2018	00060596857	WARREN, WILLIAM	MFS OTC FD CL A	B	0.00	2.42	0.00	2.42	0.0	0.00
01/01/2018	08191224871	WARREN, GLORIA	MFS LTD MTY FD CL A	B	0.00	1.27	0.00	1.27	0.0	0.00
01/01/2018	08191224872	WARREN, GLORIA	MFS EMERGING MARKETS DEBT FUND-A	B	0.00	1.29	0.00	1.29	0.0	0.00
01/01/2018	08191224874	WARREN, GLORIA	MFS HIGH YIELD OPPORTUNITIES FUND-A	B	0.00	1.36	0.00	1.36	0.0	0.00
01/01/2018	08191224876	WARREN, WILLIAM	MFS LTD MTY FD CL A	B	0.00	1.68	0.00	1.68	0.0	0.00
01/01/2018	08191224878	WARREN, WILLIAM	MFS EMERGING MARKETS DEBT FUND-A	B	0.00	1.73	0.00	1.73	0.0	0.00
01/01/2018	08191224879	WARREN, WILLIAM	MFS HIGH YIELD OPPORTUNITIES FUND-A	B	0.00	1.82	0.00	1.82	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$38.97	\$0.00	\$38.97		\$0.00
*** BROKER TRANSACTIONS TOTAL ***					\$175.00	\$3,731.28	\$0.00	\$3,731.28		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00