



All Companies

04/27/2018

Page No. 1

COMMISSION STATEMENT**May 01, 2018****DANIEL WILLIAM ASHLEY**
10809 Pebblecreek Court**BROKER #: 5276**
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

04/09/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
04/09/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
04/20/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
04/20/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
04/09/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
04/09/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
04/20/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
04/20/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
04/09/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/13/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/13/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/09/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/09/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/20/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/13/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/20/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/09/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/13/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/13/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/20/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/20/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/09/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/09/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/13/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/13/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/20/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/20/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/09/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	41.65	0.42	0.00	0.42	70.0	0.29
04/09/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	24.99	0.25	0.00	0.25	70.0	0.18
04/13/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	56.35	0.56	0.00	0.56	70.0	0.39
04/13/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	33.81	0.34	0.00	0.34	70.0	0.24
04/20/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	40.43	0.40	0.00	0.40	70.0	0.28
04/20/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	67.38	0.67	0.00	0.67	70.0	0.47
04/09/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
04/13/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/20/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/20/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
04/09/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	96.80	0.97	0.00	0.97	70.0	0.68
04/09/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	96.80	0.97	0.00	0.97	70.0	0.68
04/13/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
04/13/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	31.94	0.32	0.00	0.32	70.0	0.22
04/20/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	24.13	0.24	0.00	0.24	70.0	0.17
04/20/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	24.13	0.24	0.00	0.24	70.0	0.17
04/09/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/09/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	21.60	0.22	0.00	0.22	70.0	0.15
04/13/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/13/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS	2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/20/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS	2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/20/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS	2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/09/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025	TARGET DATE-C	B	58.38	0.58	0.00	0.58	70.0	0.41
04/09/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/13/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025	TARGET DATE-C	B	16.96	0.17	0.00	0.17	70.0	0.12
04/13/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/20/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025	TARGET DATE-C	B	17.81	0.18	0.00	0.18	70.0	0.13
04/20/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/09/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040	TARGET DATE-C	B	18.48	0.18	0.00	0.18	70.0	0.13
04/09/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/13/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/13/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/20/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/20/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *						\$5,294.44	\$52.94	\$0.00	\$52.94		\$37.17
PRODUCT CATEGORY: STOCKS											
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES			B	237.15	237.15	0.00	237.15	70.0	166.01
* STOCKS SUBTOTAL *						\$237.15	\$237.15	\$0.00	\$237.15		\$166.01
PRODUCT CATEGORY: VARIABLE ANNUITIES											
04/06/2018	TRAILS	-JOHN HANCOCK			B	29.74	29.74	0.00	29.74	70.0	20.82
04/02/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT			B	500.00	5.00	0.00	5.00	70.0	3.50
04/03/2018	SEXTON, THOMAS	-SECURITY BENEFIT			B	250.00	12.50	0.00	12.50	70.0	8.75
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL			B	297.09	297.09	0.00	297.09	70.0	207.96
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL			B	1,217.94	1,217.94	0.00	1,217.94	70.0	852.56
* VARIABLE ANNUITIES SUBTOTAL *						\$2,294.77	\$1,562.27	\$0.00	\$1,562.27		\$1,093.59
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES				0.00	24.12	0.00	24.12	70.0	16.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$24.12	\$0.00	\$24.12		\$16.89
*** BROKER TRANSACTIONS TOTAL ***						\$7,826.36	\$1,876.48	\$0.00	\$1,876.48		\$1,313.66

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

05/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-5.00
05/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-132.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,313.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-132.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,181.16
Year-to-date Earnings	\$6,707.69