



Concorde Investment Services, LLC

06/13/2017
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COMMISSION STATEMENT **June 15, 2017**

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ALDRICH, JAMI	AM FUNDS AMCAP A	0.00	0.40	0.00	0.40	85.0	0.34
06/01/2017	ABBE JR, GARY	AM FUNDS AMCAP A	0.00	1.70	0.00	1.70	85.0	1.45
06/01/2017	PAPIO, AARON	AM FUNDS AMCAP A	0.00	0.57	0.00	0.57	85.0	0.48
05/22/2017	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	1,000.00	42.50	0.00	42.50	85.0	36.13
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	5.53	0.00	5.53	85.0	4.70
06/01/2017	NOWAK, COLETTE	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	85.0	0.70
06/01/2017	PRZBYLSKI, JOSHUA	AM FUNDS AMERICAN BALANCED A	0.00	3.55	0.00	3.55	85.0	3.02
06/01/2017	FOSTER, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	85.0	0.39
06/01/2017	WRAY, KIM	AM FUNDS AMERICAN BALANCED A	0.00	10.38	0.00	10.38	85.0	8.82
06/01/2017	PAPIO, JOE	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	85.0	0.52
06/01/2017	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	85.0	0.22
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	9.33	0.00	9.33	85.0	7.93
06/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	85.0	0.29
06/01/2017	MEREDITH, TERESE	AM FUNDS AMERICAN BALANCED A	0.00	2.25	0.00	2.25	85.0	1.91
06/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	4.29	0.00	4.29	85.0	3.65
06/01/2017	KRAMER, DELANEY	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	85.0	0.18
06/01/2017	KRAMER, KARYS	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	85.0	0.60
06/01/2017	ALDRICH, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	85.0	0.18
06/01/2017	LEININGER, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	2.95	0.00	2.95	85.0	2.51
06/01/2017	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	6.85	0.00	6.85	85.0	5.82
06/01/2017	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	9.17	0.00	9.17	85.0	7.79
06/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.01	0.00	1.01	85.0	0.86
06/01/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.25	0.00	1.25	85.0	1.06
06/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.40	0.00	0.40	85.0	0.34
06/01/2017	RICHARDS, STEPHEN	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.81	0.00	1.81	85.0	1.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.20	0.00	0.20	85.0	0.17
06/01/2017	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.17	0.00	0.17	85.0	0.14
06/01/2017	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.12	0.00	2.12	85.0	1.80
06/01/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	5.91	0.00	5.91	85.0	5.02
06/01/2017	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.34	0.00	0.34	85.0	0.29
06/01/2017	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	19.27	0.00	19.27	85.0	16.38
06/01/2017	DESELMS, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.64	0.00	1.64	85.0	1.39
06/01/2017	DESELMS, ELEVLYN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.78	0.00	6.78	85.0	5.76
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.78	0.00	3.78	85.0	3.21
06/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.67	0.00	0.67	85.0	0.57
06/01/2017	BAKER, ELAINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.20	0.00	5.20	85.0	4.42
06/01/2017	NOWAK, COLETTE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2017	BAUMGARTNER, DIANA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.60	0.00	5.60	85.0	4.76
06/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.19	0.00	1.19	85.0	1.01
06/01/2017	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.71	0.00	0.71	85.0	0.60
06/01/2017	HAWBLITZ, PETER	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.35	0.00	3.35	85.0	2.85
06/01/2017	WRAY, KIM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.33	0.00	5.33	85.0	4.53
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.71	0.00	7.71	85.0	6.55
06/01/2017	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.26	0.00	0.26	85.0	0.22
06/01/2017	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.37	0.00	0.37	85.0	0.31
06/01/2017	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2017	ABBE JR, GARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.75	0.00	1.75	85.0	1.49
06/01/2017	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.40	0.00	1.40	85.0	1.19
06/01/2017	DREEZE, MARCELINA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.73	0.00	0.73	85.0	0.62
06/01/2017	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.49	0.00	1.49	85.0	1.27
06/01/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	10.59	0.00	10.59	85.0	9.00
06/01/2017	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.73	0.00	0.73	85.0	0.62
06/01/2017	MEREDITH, JEFFERY	AM FUNDS AMERICAN MUTUAL A	0.00	2.28	0.00	2.28	85.0	1.94
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.30	0.00	3.30	85.0	2.81
06/01/2017	FOSTER, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS BOND FUND OF AMERICA A	0.00	4.25	0.00	4.25	85.0	3.61
06/01/2017	RIEBE, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.33	0.00	3.33	85.0	2.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	HALSEY, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.16	0.00	0.16	85.0	0.14
06/01/2017	DIENER, RONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.82	0.00	8.82	85.0	7.50
06/01/2017	DESELMS, ELEVLYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.42	0.00	8.42	85.0	7.16
06/01/2017	KREMPEC, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.78	0.00	0.78	85.0	0.66
06/01/2017	LEININGER, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.28	0.00	1.28	85.0	1.09
06/01/2017	FRANTZ, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.25	0.00	1.25	85.0	1.06
06/01/2017	BAKER, ELAINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.56	0.00	5.56	85.0	4.73
06/01/2017	NOWAK, COLETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.16	0.00	1.16	85.0	0.99
06/01/2017	BAUMGARTNER, DIANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.50	0.00	4.50	85.0	3.83
06/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.37	0.00	2.37	85.0	2.01
06/01/2017	FOSTER, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.10	0.00	3.10	85.0	2.64
06/01/2017	WRAY, KIM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.56	0.00	13.56	85.0	11.53
06/01/2017	EYLER, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.01	0.00	6.01	85.0	5.11
06/01/2017	JOHNSON, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	85.0	0.82
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.46	0.00	12.46	85.0	10.59
06/01/2017	MEREDITH, TERESE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.74	0.00	1.74	85.0	1.48
06/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2017	KRIEGER, RENEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.82	0.00	8.82	85.0	7.50
06/01/2017	RIEBE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.72	0.00	3.72	85.0	3.16
06/01/2017	FISHER, SCOTT TW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.24	0.00	3.24	85.0	2.75
06/01/2017	FRENCH, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2017	FRENCH, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.47	0.00	0.47	85.0	0.40
06/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.55	0.00	0.55	85.0	0.47
06/01/2017	KRAL, ADAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.37	0.00	2.37	85.0	2.01
06/01/2017	DREEZE, MARCELINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	85.0	1.17
06/01/2017	STACY, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22
06/01/2017	CANNON, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.47	0.00	0.47	85.0	0.40
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2017	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.90	0.00	0.90	85.0	0.77
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.53	0.00	6.53	85.0	5.55
06/01/2017	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.18	0.00	4.18	85.0	3.55
06/01/2017	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.40	0.00	5.40	85.0	4.59

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	VESEY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.65	0.00	0.65	85.0	0.55
06/01/2017	DIENER, RONALD	AM FUNDS CAPITAL WORLD BOND A	0.00	9.22	0.00	9.22	85.0	7.84
06/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS CAPITAL WORLD BOND A	0.00	5.67	0.00	5.67	85.0	4.82
06/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS CAPITAL WORLD BOND A	0.00	5.67	0.00	5.67	85.0	4.82
06/01/2017	HALSEY, WILLIAM	AM FUNDS CAPITAL WORLD BOND A	0.00	0.16	0.00	0.16	85.0	0.14
06/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.31	0.00	0.31	85.0	0.26
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2017	MI/TOD	AM FUNDS CAPITAL WORLD BOND A	0.00	1.48	0.00	1.48	85.0	1.26
06/01/2017	DIENER, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.39	0.00	2.39	85.0	2.03
06/01/2017	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	85.0	0.39
06/01/2017	DESELMS, ELEVLYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.01	0.00	10.01	85.0	8.51
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.43	0.00	7.43	85.0	6.32
06/01/2017	KREMPEC, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.68	0.00	0.68	85.0	0.58
06/01/2017	LEININGER, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.94	0.00	1.94	85.0	1.65
06/01/2017	FRANTZ, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	85.0	0.39
06/01/2017	BAKER, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.20	0.00	10.20	85.0	8.67
06/01/2017	JOHNSON, ZACHARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.91	0.00	0.91	85.0	0.77
06/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.59	0.00	2.59	85.0	2.20
06/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.67	0.00	2.67	85.0	2.27
06/01/2017	JOHNSON, CHLOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.92	0.00	0.92	85.0	0.78
06/01/2017	RIEBE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.45	0.00	1.45	85.0	1.23
06/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.34	0.00	1.34	85.0	1.14
06/01/2017	WRAY, KIM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.50	0.00	6.50	85.0	5.53
06/01/2017	EYLER, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.48	0.00	5.48	85.0	4.66
06/01/2017	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	85.0	0.38
06/01/2017	PAPIO, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	85.0	0.34
06/01/2017	PAPIO, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	85.0	0.35
06/01/2017	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.11	0.00	1.11	85.0	0.94
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.39	0.00	8.39	85.0	7.13
06/01/2017	MEREDITH, JEFFERY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.75	0.00	2.75	85.0	2.34
06/01/2017	KRAMER, TRISTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	85.0	0.38
06/01/2017	ZORNOW, JORDAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.03	0.00	1.03	85.0	0.88
06/01/2017	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	85.0	0.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	KRIEGER, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.40	0.00	8.40	85.0	7.14
06/01/2017	LEININGER, CHRISTAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	85.0	0.52
06/01/2017	ABBE JR, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	85.0	0.39
06/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	85.0	0.31
06/01/2017	BELKOFER, STACEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.38	0.00	0.38	85.0	0.32
06/01/2017	HARRISON, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.39	0.00	1.39	85.0	1.18
06/01/2017	DREEZE, MARCELINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.05	0.00	1.05	85.0	0.89
06/01/2017	STACY, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2017	CANNON, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	85.0	0.38
06/01/2017	GRAHAM, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	85.0	0.16
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.96	0.00	7.96	85.0	6.77
06/01/2017	MI/TOD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.64	0.00	5.64	85.0	4.79
06/01/2017	LEININGER, KENNETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.81	0.00	2.81	85.0	2.39
06/01/2017	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.86	0.00	9.86	85.0	8.38
06/01/2017	VESEY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	85.0	0.34
06/01/2017	FRANTZ, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2017	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.96	0.00	4.96	85.0	4.22
06/01/2017	ZORNOW, JORDAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.34	0.00	1.34	85.0	1.14
06/01/2017	FISHER, SCOTT TW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.18	0.00	3.18	85.0	2.70
06/01/2017	LEININGER, KENNETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.36	0.00	1.36	85.0	1.16
06/01/2017	DIENER, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.13	0.00	7.13	85.0	6.06
06/01/2017	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.18	0.00	1.18	85.0	1.00
06/01/2017	DESELMS, ELEVLYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.12	0.00	11.12	85.0	9.45
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.15	0.00	17.15	85.0	14.58
06/01/2017	KREMPEC, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.08	0.00	1.08	85.0	0.92
06/01/2017	LEININGER, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.54	0.00	2.54	85.0	2.16
06/01/2017	FOSTER, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.74	0.00	0.74	85.0	0.63
06/01/2017	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.01	0.00	1.01	85.0	0.86
06/01/2017	BAKER, ELAINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.21	0.00	7.21	85.0	6.13
06/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.27	0.00	2.27	85.0	1.93
06/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.07	0.00	2.07	85.0	1.76
06/01/2017	WRAY, KIM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.30	0.00	6.30	85.0	5.36
06/01/2017	EYLER, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.99	0.00	12.99	85.0	11.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.44	0.00	2.44	85.0	2.07
06/01/2017	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.38	0.00	0.38	85.0	0.32
06/01/2017	JOHNSON, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.52	0.00	1.52	85.0	1.29
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.74	0.00	13.74	85.0	11.68
06/01/2017	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2017	MEREDITH, TERESE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.94	0.00	2.94	85.0	2.50
06/01/2017	MEREDITH, JEFFERY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.35	0.00	2.35	85.0	2.00
06/01/2017	KRAMER, TRISTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.81	0.00	0.81	85.0	0.69
06/01/2017	ZORNOW, JORDAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.61	0.00	2.61	85.0	2.22
06/01/2017	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2017	LEININGER, CHRISTAH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.56	0.00	0.56	85.0	0.48
06/01/2017	FISHER, SCOTT TW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.89	0.00	4.89	85.0	4.16
06/01/2017	HALSEY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.19	0.00	0.19	85.0	0.16
06/01/2017	LEININGER, ZACHARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2017	LEININGER, ELI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2017	ALDRICH, JAMI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.40	0.00	0.40	85.0	0.34
06/01/2017	FRENCH, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.49	0.00	0.49	85.0	0.42
06/01/2017	FRENCH, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.49	0.00	0.49	85.0	0.42
06/01/2017	KRAMER, DELANEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.24	0.00	0.24	85.0	0.20
06/01/2017	ABBE JR, GARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.92	0.00	3.92	85.0	3.33
06/01/2017	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2017	BELKOFER, STACEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2017	KRAMER, KARYS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2017	HARRISON, JERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.35	0.00	2.35	85.0	2.00
06/01/2017	DREEZE, MARCELINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	85.0	1.39
06/01/2017	STACY, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2017	CANNON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.65	0.00	0.65	85.0	0.55
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.96	0.00	0.96	85.0	0.82
06/01/2017	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.15	0.00	1.15	85.0	0.98
06/01/2017	ALDRICH, SUSAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.09	0.00	11.09	85.0	9.43
06/01/2017	MI/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.17	0.00	7.17	85.0	6.09
06/01/2017	PAPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.34	0.00	0.34	85.0	0.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	LEININGER, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.93	0.00	2.93	85.0	2.49
06/01/2017	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.42	0.00	9.42	85.0	8.01
05/22/2017	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	450.00	19.13	0.00	19.13	85.0	16.26
06/01/2017	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	0.00	0.41	0.00	0.41	85.0	0.35
06/01/2017	DIENER, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.42	0.00	2.42	85.0	2.06
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.74	0.00	3.74	85.0	3.18
06/01/2017	BAKER, ELAINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.19	0.00	6.19	85.0	5.26
06/01/2017	NOWAK, COLETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.49	0.00	0.49	85.0	0.42
06/01/2017	BAUMGARTNER, DIANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.74	0.00	2.74	85.0	2.33
06/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.63	0.00	2.63	85.0	2.24
06/01/2017	FOSTER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.10	0.00	1.10	85.0	0.94
06/01/2017	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.43	0.00	3.43	85.0	2.92
06/01/2017	DESELMs, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	85.0	0.35
06/01/2017	KRAMER, DELANEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2017	BELKOFER, STACEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2017	KRAMER, KARYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	0.00	0.31	85.0	0.26
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	85.0	0.17
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.63	0.00	3.63	85.0	3.09
06/01/2017	LEININGER, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.44	0.00	1.44	85.0	1.22
06/01/2017	DIENER, RONALD	AM FUNDS ICA A	0.00	6.49	0.00	6.49	85.0	5.52
06/01/2017	MEREDITH, TERESE	AM FUNDS ICA A	0.00	2.21	0.00	2.21	85.0	1.88
06/01/2017	ZORNOW, JORDAN	AM FUNDS ICA A	0.00	2.39	0.00	2.39	85.0	2.03
06/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS ICA A	0.00	8.19	0.00	8.19	85.0	6.96
06/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS ICA A	0.00	8.23	0.00	8.23	85.0	7.00
06/01/2017	KRIEGER, RENEE	AM FUNDS ICA A	0.00	10.49	0.00	10.49	85.0	8.92
06/01/2017	BELKOFER, STACEY	AM FUNDS ICA A	0.00	0.63	0.00	0.63	85.0	0.54
06/01/2017	GRAHAM, DAVID	AM FUNDS ICA A	0.00	0.28	0.00	0.28	85.0	0.24
05/22/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	500.00	21.25	0.00	21.25	85.0	18.06
06/01/2017	DIENER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.58	0.00	21.58	85.0	18.34
06/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.58	0.00	0.58	85.0	0.49
06/01/2017	FOSTER, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.74	0.00	0.74	85.0	0.63
06/01/2017	BAKER, ELAINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.45	0.00	4.45	85.0	3.78
06/01/2017	HAWBLITZ, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.95	0.00	3.95	85.0	3.36

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	PAPIO, STEPHANIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.36	0.00	0.36	85.0	0.31
06/01/2017	PAPIO, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2017	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.32	0.00	13.32	85.0	11.32
06/01/2017	FRANTZ, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.35	0.00	0.35	85.0	0.30
06/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.89	0.00	15.89	85.0	13.51
06/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.15	0.00	16.15	85.0	13.73
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.62	0.00	0.62	85.0	0.53
06/01/2017	ALDRICH, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2017	LEININGER, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.44	0.00	3.44	85.0	2.92
06/01/2017	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2017	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.70	0.00	0.70	85.0	0.60
06/01/2017	DIENER, RONALD	AM FUNDS INTL GROWTH AND INCOME A	0.00	9.03	0.00	9.03	85.0	7.68
06/01/2017	RIEBE, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.54	0.00	3.54	85.0	3.01
06/01/2017	KRAL, ADAM	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.38	0.00	1.38	85.0	1.17
06/01/2017	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.57	0.00	0.57	85.0	0.48
06/01/2017	ALDRICH, SUSAN	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2017	LEININGER, KENNETH	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.73	0.00	2.73	85.0	2.32
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS MORTGAGE A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2017	DESELMS, ELEVLYN	AM FUNDS NEW ECONOMY A	0.00	11.21	0.00	11.21	85.0	9.53
06/01/2017	KRIEGER, RENEE	AM FUNDS NEW ECONOMY A	0.00	9.69	0.00	9.69	85.0	8.24
06/01/2017	FISHER, SCOTT TW	AM FUNDS NEW ECONOMY A	0.00	4.74	0.00	4.74	85.0	4.03
06/01/2017	LEININGER, ZACHARY	AM FUNDS NEW ECONOMY A	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2017	LEININGER, ELI	AM FUNDS NEW ECONOMY A	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.20	0.00	3.20	85.0	2.72
06/01/2017	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.46	0.00	0.46	85.0	0.39
06/01/2017	BAKER, ELAINE	AM FUNDS NEW PERSPECTIVE A	0.00	6.06	0.00	6.06	85.0	5.15
06/01/2017	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.55	0.00	0.55	85.0	0.47
06/01/2017	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	0.00	3.11	0.00	3.11	85.0	2.64
06/01/2017	KRIEGER, RENEE	AM FUNDS NEW PERSPECTIVE A	0.00	7.81	0.00	7.81	85.0	6.64
06/01/2017	ABBE JR, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	85.0	0.67
06/01/2017	PAPIO, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	0.32	0.00	0.32	85.0	0.27
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS NEW WORLD A	0.00	2.95	0.00	2.95	85.0	2.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	LEININGER, LISA	AM FUNDS NEW WORLD A	0.00	0.55	0.00	0.55	85.0	0.47
06/01/2017	WRAY, KIM	AM FUNDS NEW WORLD A	0.00	4.48	0.00	4.48	85.0	3.81
06/01/2017	EYLER, BARBARA	AM FUNDS NEW WORLD A	0.00	3.05	0.00	3.05	85.0	2.59
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	9.19	0.00	9.19	85.0	7.81
06/01/2017	LEININGER, LISA	AM FUNDS SMALLCAP WORLD A	0.00	1.00	0.00	1.00	85.0	0.85
06/01/2017	BAKER, ELAINE	AM FUNDS SMALLCAP WORLD A	0.00	5.24	0.00	5.24	85.0	4.45
06/01/2017	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	0.00	5.92	0.00	5.92	85.0	5.03
06/01/2017	EYLER, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	3.49	0.00	3.49	85.0	2.97
06/01/2017	MEREDITH, TERESE	AM FUNDS SMALLCAP WORLD A	0.00	1.99	0.00	1.99	85.0	1.69
06/01/2017	MEREDITH, JEFFERY	AM FUNDS SMALLCAP WORLD A	0.00	1.49	0.00	1.49	85.0	1.27
06/01/2017	ZORNOW, JORDAN	AM FUNDS SMALLCAP WORLD A	0.00	2.28	0.00	2.28	85.0	1.94
06/01/2017	LEININGER, ZACHARY	AM FUNDS SMALLCAP WORLD A	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2017	LEININGER, ELI	AM FUNDS SMALLCAP WORLD A	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2017	ALDRICH, JAMI	AM FUNDS SMALLCAP WORLD A	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2017	FRENCH, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.19	0.00	0.19	85.0	0.16
06/01/2017	FRENCH, RICHARD	AM FUNDS SMALLCAP WORLD A	0.00	0.19	0.00	0.19	85.0	0.16
06/01/2017	ABBE JR, GARY	AM FUNDS SMALLCAP WORLD A	0.00	2.67	0.00	2.67	85.0	2.27
06/01/2017	BELKOFER, STACEY	AM FUNDS SMALLCAP WORLD A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2017	KRAL, ADAM	AM FUNDS SMALLCAP WORLD A	0.00	1.85	0.00	1.85	85.0	1.57
06/01/2017	DREEZE, MARCELINA	AM FUNDS SMALLCAP WORLD A	0.00	0.96	0.00	0.96	85.0	0.82
06/01/2017	CANNON, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS SMALLCAP WORLD A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2017	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	0.00	0.91	0.00	0.91	85.0	0.77
06/01/2017	ALDRICH, SUSAN	AM FUNDS SMALLCAP WORLD A	0.00	0.20	0.00	0.20	85.0	0.17
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS SMALLCAP WORLD A	0.00	9.93	0.00	9.93	85.0	8.44
06/01/2017	MI/TOD	AM FUNDS SMALLCAP WORLD A	0.00	8.87	0.00	8.87	85.0	7.54
06/01/2017	PAPIO, AARON	AM FUNDS SMALLCAP WORLD A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2017	LEININGER, KENNETH	AM FUNDS SMALLCAP WORLD A	0.00	1.42	0.00	1.42	85.0	1.21
05/22/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	447.88	19.03	0.00	19.03	85.0	16.18
05/30/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
06/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
06/01/2017	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.28	0.00	3.28	85.0	2.79
06/01/2017	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.98	0.00	3.98	85.0	3.38

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.10	0.00	1.10	85.0	0.94
06/01/2017	TANGEMAN, JEANETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.91	0.00	28.91	85.0	24.57
06/01/2017	DESELMS, ELEVLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.34	0.00	9.34	85.0	7.94
06/01/2017	PRZBYLSKI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.64	0.00	12.64	85.0	10.74
06/01/2017	FILIPEK, BETHANY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.64	0.00	2.64	85.0	2.24
06/01/2017	DESELMS, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.13	0.00	4.13	85.0	3.51
06/01/2017	BASSETT, HANNAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.11	0.00	1.11	85.0	0.94
06/01/2017	PRZYBYLSKI, JEREMIAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	85.0	0.96
06/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.21	0.00	4.21	85.0	3.58
06/01/2017	BEISWENGER, RANDALL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.37	0.00	6.37	85.0	5.41
06/01/2017	COUSINO, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.84	0.00	30.84	85.0	26.21
06/01/2017	TAYLOR, GERALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.69	0.00	11.69	85.0	9.94
06/01/2017	KREMPEC, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2017	LEININGER, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.15	0.00	2.15	85.0	1.83
06/01/2017	FILIPEK, JESSICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	19.80	0.00	19.80	85.0	16.83
06/01/2017	AUGUSTYNIAK, SETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2017	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	85.0	0.60
06/01/2017	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.90	0.00	0.90	85.0	0.77
06/01/2017	BAKER, ELAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.67	0.00	6.67	85.0	5.67
06/01/2017	DIERKSHEIDE, TRACI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.74	0.00	1.74	85.0	1.48
06/01/2017	JOHNSON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	85.0	0.53
06/01/2017	FRANTZ, KAYLYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.81	0.00	0.81	85.0	0.69
06/01/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	85.0	0.60
06/01/2017	NOWAK, COLETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.85	0.00	0.85	85.0	0.72
06/01/2017	BAUMGARTNER, DIANA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.21	0.00	7.21	85.0	6.13
06/01/2017	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.03	0.00	2.03	85.0	1.73
06/01/2017	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.02	0.00	2.02	85.0	1.72
06/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.97	0.00	9.97	85.0	8.47
06/01/2017	JACKSON, MARNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.02	0.00	13.02	85.0	11.07
06/01/2017	JOHNSON, CHLOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.80	0.00	0.80	85.0	0.68
06/01/2017	BASSETT, JACK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	85.0	0.43
06/01/2017	COOK, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	85.0	0.53
06/01/2017	KRIEGER, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.35	0.00	3.35	85.0	2.85

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	FRANTZ, KARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.66	0.00	0.66	85.0	0.56
06/01/2017	RIEBE, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.98	0.00	1.98	85.0	1.68
06/01/2017	HAWBLITZ, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.99	0.00	5.99	85.0	5.09
06/01/2017	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.31	0.00	4.31	85.0	3.66
06/01/2017	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.17	0.00	3.17	85.0	2.69
06/01/2017	WRAY, KIM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.23	0.00	14.23	85.0	12.10
06/01/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	85.0	0.40
06/01/2017	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.78	0.00	2.78	85.0	2.36
06/01/2017	THANASIU, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.44	0.00	1.44	85.0	1.22
06/01/2017	PAPIO, STEPHANIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.61	0.00	0.61	85.0	0.52
06/01/2017	PAPIO, JOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	85.0	0.43
06/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.70	0.00	0.70	85.0	0.60
06/01/2017	ZORNOW TRUST, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	18.80	0.00	18.80	85.0	15.98
06/01/2017	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.45	0.00	0.45	85.0	0.38
06/01/2017	MEREDITH, TERESE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	85.0	0.96
06/01/2017	RICHARDS, STEPHEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.52	0.00	4.52	85.0	3.84
06/01/2017	KRAMER, TRISTON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.65	0.00	0.65	85.0	0.55
06/01/2017	HAWBLITZ TRUST, AGNES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.91	0.00	8.91	85.0	7.57
06/01/2017	HAWBLITZ TRUST, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.98	0.00	8.98	85.0	7.63
06/01/2017	KRIEGER, RENEE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.82	0.00	8.82	85.0	7.50
06/01/2017	FISHER, SCOTT TW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.15	0.00	4.15	85.0	3.53
06/01/2017	LEININGER, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2017	LEININGER, ELI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2017	ALDRICH, JAMI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.36	0.00	0.36	85.0	0.31
06/01/2017	FRENCH, VICTORIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2017	FRENCH, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2017	KRAMER, DELANEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2017	ABBE JR, GARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.48	0.00	3.48	85.0	2.96
06/01/2017	BELKOFER, STACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.43	0.00	0.43	85.0	0.37
06/01/2017	KRAMER, KARYS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2017	KRAL, ADAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.04	0.00	3.04	85.0	2.58
06/01/2017	DREEZE, MARCELINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.87	0.00	1.87	85.0	1.59
06/01/2017	STACY, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.36	0.00	0.36	85.0	0.31

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	85.0	0.43
06/01/2017	SAPP, ANGELAMARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.24	0.00	1.24	85.0	1.05
06/01/2017	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.26	0.00	1.26	85.0	1.07
06/01/2017	GRAHAM, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.16	0.00	0.16	85.0	0.14
06/01/2017	AUGUSTYNIAK, ROGER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.87	0.00	11.87	85.0	10.09
06/01/2017	MI/TOD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.27	0.00	8.27	85.0	7.03
06/01/2017	PAPIO, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	85.0	0.43
06/01/2017	LEININGER, KENNETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.32	0.00	4.32	85.0	3.67
06/01/2017	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.27	0.00	15.27	85.0	12.98
06/01/2017	AUGUSTYNIAK, VALERIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2017	AUGUSTYNIAK, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.14	0.00	4.14	85.0	3.52
06/01/2017	VESEY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.09	0.00	1.09	85.0	0.93
04/30/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.40	0.00	0.40	85.0	0.34
04/30/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.40	0.00	0.40	85.0	0.34
04/30/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.45	0.00	7.45	85.0	6.33
04/30/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.14	0.00	1.14	85.0	0.97
04/30/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.14	0.00	1.14	85.0	0.97
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	9.56
04/30/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	10.77	0.00	10.77	85.0	9.15
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.34	0.00	7.34	85.0	6.24
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.30	0.00	3.30	85.0	2.81
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.62	0.00	4.62	85.0	3.93
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.14	0.00	1.14	85.0	0.97
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.07	0.00	6.07	85.0	5.16
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.11	0.00	4.11	85.0	3.49
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.14	0.00	4.14	85.0	3.52
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.96	0.00	5.96	85.0	5.07
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.42	0.00	6.42	85.0	5.46
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.51	0.00	8.51	85.0	7.23
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.21	0.00	3.21	85.0	2.73
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.12	0.00	5.12	85.0	4.35
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.09	0.00	6.09	85.0	5.18
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.00	0.00	6.00	85.0	5.10

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.06	0.00	0.06	85.0	0.05
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.95	0.00	0.95	85.0	0.81
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	14.15	0.00	14.15	85.0	12.03
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.53	0.00	6.53	85.0	5.55
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.25	0.00	6.25	85.0	5.31
06/01/2017	DESELMS, ROBERT	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	0.66	0.00	0.66	85.0	0.56
* MUTUAL FUNDS SUBTOTAL *			\$2,847.88	\$1,470.50	\$0.00	\$1,470.50		\$1,250.09

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	178,496.10	148.75	0.00	148.75	85.0	126.44
05/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,313.51	15.26	0.00	15.26	85.0	12.97
05/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	52,061.23	43.38	0.00	43.38	85.0	36.87
05/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	41,166.68	34.31	0.00	34.31	85.0	29.16
05/25/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
06/07/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
05/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	133,312.81	111.09	0.00	111.09	85.0	94.43
05/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	103,431.36	86.19	0.00	86.19	85.0	73.26
05/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	85,641.63	71.37	0.00	71.37	85.0	60.66
05/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	227,041.46	189.20	0.00	189.20	85.0	160.82
05/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	97,581.63	81.32	0.00	81.32	85.0	69.12
05/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	133,307.24	111.09	0.00	111.09	85.0	94.43
05/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	145,970.69	121.64	0.00	121.64	85.0	103.39
05/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	185,831.96	154.86	0.00	154.86	85.0	131.63
05/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	229,168.20	190.97	0.00	190.97	85.0	162.32
05/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	130,338.23	108.62	0.00	108.62	85.0	92.33
05/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	176,504.75	147.09	0.00	147.09	85.0	125.03
05/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	107,680.78	89.73	0.00	89.73	85.0	76.27
05/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	93,592.03	77.99	0.00	77.99	85.0	66.29
05/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	87,332.09	72.78	0.00	72.78	85.0	61.86
05/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	133,906.96	111.59	0.00	111.59	85.0	94.85
05/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	65,523.84	54.60	0.00	54.60	85.0	46.41
05/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,053.42	24.21	0.00	24.21	85.0	20.58
05/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	209,629.38	174.69	0.00	174.69	85.0	148.49
05/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,445.51	62.04	0.00	62.04	85.0	52.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,445.51	62.04	0.00	62.04	85.0	52.73
05/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	74,445.51	62.04	0.00	62.04	85.0	52.73
05/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	189,073.00	157.56	0.00	157.56	85.0	133.93
05/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	423,541.88	352.95	0.00	352.95	85.0	300.01
05/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	92,532.73	77.11	0.00	77.11	85.0	65.54
05/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	393,138.10	327.62	0.00	327.62	85.0	278.48
05/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	74,465.79	62.05	0.00	62.05	85.0	52.74
05/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	127,236.73	106.03	0.00	106.03	85.0	90.13
05/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	120,623.32	100.52	0.00	100.52	85.0	85.44
05/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,115.04	23.43	0.00	23.43	85.0	19.92
05/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	65,463.86	54.55	0.00	54.55	85.0	46.37
05/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,927.39	30.77	0.00	30.77	85.0	26.15
05/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	47,277.07	39.40	0.00	39.40	85.0	33.49
* VARIABLE ANNUITIES SUBTOTAL *			\$4,486,767.42	\$3,747.09	\$0.00	\$3,747.09		\$3,185.02
*** BROKER TRANSACTIONS TOTAL ***			\$4,489,615.30	\$5,217.59	\$0.00	\$5,217.59		\$4,435.11

ADJUSTMENTS

06/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2017	*FINRA: CE TRACKED WEB FEE	0.00						-55.00
06/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-630.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,435.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-630.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$16.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,821.47
Year-to-date Gross	\$31,766.98
Year-to-date Earnings	\$27,163.54