



Concorde Investment Services, LLC

05/11/2017  
Page No. 1

# COMMISSION STATEMENT May 15, 2017

NATHANIEL KUHN  
612 S. Bristol Lane

BROKER #: K134  
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGE</u></b>								
05/05/2017	KELLY, JILL	INLAND BRIGHTON MULTIFAMILY DST	250,000.00	9,375.00	0.00	9,375.00	90.0	8,437.50
05/11/2017	SAUSER, JOSEPH	INLAND HEALTHCARE PF II DST	75,000.00	2,812.50	0.00	2,812.50	90.0	2,531.25
05/11/2017	SAUSER, PEGGY	INLAND HEALTHCARE PF II DST	40,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
05/11/2017	SAUSER ZACHRISON, KORILYN	INLAND RIVERDALE MULITFAMILY DST	150,000.00	5,625.00	0.00	5,625.00	90.0	5,062.50
05/11/2017	KELLY, JILL	INLAND SELF STORAGE PF IV DST	290,645.26	14,532.26	0.00	14,532.26	90.0	13,079.03
05/11/2017	SAUSER, PEGGY	INLAND SELF STORAGE PF IV DST	44,896.52	1,683.62	0.00	1,683.62	90.0	1,515.26
05/11/2017	SAUSER ZACHRISON, KORILYN	INLAND SELF STORAGE PF IV DST	147,937.96	5,547.67	0.00	5,547.67	90.0	4,992.90
05/11/2017	SAUSER TRUST, JOSEPH	INLAND SELF-STORAGE PF II DST	125,202.33	4,695.09	0.00	4,695.09	90.0	4,225.58
05/11/2017	SAUSER ZACHRISON, KORILYN	INLAND SELF-STORAGE PF II DST	120,000.00	4,500.00	0.00	4,500.00	90.0	4,050.00
05/11/2017	SAUSER, JOSEPH	INLAND SELF-STORAGE PF III DST	124,436.65	4,666.37	0.00	4,666.37	90.0	4,199.73
* 1031 EXCHANGE SUBTOTAL *			<b>\$1,368,118.72</b>	<b>\$54,937.51</b>	<b>\$0.00</b>	<b>\$54,937.51</b>		<b>\$49,443.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/28/2017	WHITE, AMANDA	AM FUNDS AMCAP A	32.50	1.38	0.00	1.38	90.0	1.24
05/01/2017	KOVACH, ERIC	AM FUNDS AMCAP A	25.00	1.06	0.00	1.06	90.0	0.95
05/01/2017	RAPATA, DANIEL	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
05/01/2017	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
05/01/2017	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
05/01/2017	RAPATA, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
04/28/2017	WHITE, AMANDA	AM FUNDS FUNDAMENTAL INVESTORS A	32.50	1.38	0.00	1.38	90.0	1.24
05/01/2017	RAPATA, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
05/01/2017	WEIL, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	TUCCINI, MELISSA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	TOWNSEND, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	5.00	0.00	5.00	90.0	4.50
05/01/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	100.00	4.25	0.00	4.25	90.0	3.83
05/01/2017	ALICOT, IRENE	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/24/2017	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
04/28/2017	WHITE, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	32.50	1.38	0.00	1.38	90.0	1.24
05/01/2017	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
05/01/2017	LIEBERMAN, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
05/01/2017	KOVACH, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.06	0.00	1.06	90.0	0.95
05/01/2017	LIVERIS, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	MANSKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	TOWNSEND, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
04/28/2017	WHITE, AMANDA	AM FUNDS ICA A	32.50	1.38	0.00	1.38	90.0	1.24
05/01/2017	KOVACH, ERIC	AM FUNDS ICA A	25.00	1.06	0.00	1.06	90.0	0.95
05/01/2017	RAPATA, DANIEL	AM FUNDS ICA A	50.00	1.38	0.00	1.38	90.0	1.24
05/01/2017	MANSKE, DONNA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
05/01/2017	LIEBERMAN, DAVID	AM FUNDS SMALLCAP WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
05/01/2017	KOVACH, ERIC	AM FUNDS SMALLCAP WORLD A	25.00	1.06	0.00	1.06	90.0	0.95
05/01/2017	RAPATA, DANIEL	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
05/01/2017	LIVERIS, NICHOLAS	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
04/30/2017	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	0.00	6.70	0.00	6.70	90.0	6.03
05/01/2017	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
04/30/2017	MANSKE, DONNA	FT FOUNDING FUNDS ALLOC A	0.00	34.48	0.00	34.48	90.0	31.03
04/30/2017	RUNDELL, MARK	FT GROWTH ALLOC A	0.00	12.33	0.00	12.33	90.0	11.10
04/30/2017	BIEGERT, LAUREN	FT GROWTH ALLOC A	0.00	38.72	0.00	38.72	90.0	34.85
04/30/2017	MUELLNER, MARK	FT GROWTH ALLOC A	0.00	4.47	0.00	4.47	90.0	4.02
04/30/2017	WEIDENFELLER, KIRSTEN	FT GROWTH ALLOC A	0.00	2.44	0.00	2.44	90.0	2.20
04/30/2017	MUELLNER, MARK	FT GROWTH ALLOC A	0.00	2.69	0.00	2.69	90.0	2.42
04/30/2017	GREINER, CARRIE	FT GROWTH ALLOC A	0.00	1.06	0.00	1.06	90.0	0.95
04/30/2017	LIM, SUSIE	FT GROWTH ALLOC A	0.00	13.27	0.00	13.27	90.0	11.94
04/30/2017	DOOGAN, RONALD	FT GROWTH ALLOC A	0.00	7.90	0.00	7.90	90.0	7.11
04/30/2017	WILSON, JOE	FT GROWTH ALLOC A	0.00	1.87	0.00	1.87	90.0	1.68
04/30/2017	DOOGAN, RONALD	FT GROWTH ALLOC A	0.00	2.21	0.00	2.21	90.0	1.99
05/01/2017	WILSON, JOE	FT GROWTH ALLOC A	50.00	2.50	0.00	2.50	90.0	2.25
04/30/2017	CHASE, LINDA	FT MODERATE ALLOCATION FUND C	0.00	26.26	0.00	26.26	90.0	23.63
05/01/2017	CHASE, LINDA	FT MODERATE ALLOCATION FUND C	100.00	1.00	0.00	1.00	90.0	0.90

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	HOGLUND, KRISTEN	HARTFORD BALANCED ALLOCATION FUND C	0.00	0.16	0.00	0.16	90.0	0.14
/ /	LIVERIS, NICHOLAS	HARTFORD BALANCED ALLOCATION FUND C	0.00	14.68	0.00	14.68	90.0	13.21
/ /	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	0.00	4.75	0.00	4.75	90.0	4.28
05/01/2017	LIVERIS, NICHOLAS	HARTFORD BALANCED ALLOCATION FUND C	150.00	1.50	0.00	1.50	90.0	1.35
/ /	SMITH, PATRICK	HARTFORD CAPITAL APPRECIATION A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	KENNEDY, RACHEL	HARTFORD CAPITAL APPRECIATION A	0.00	0.56	0.00	0.56	90.0	0.50
/ /	SANTOS, LOURDES	HARTFORD CAPITAL APPRECIATION C	0.00	2.81	0.00	2.81	90.0	2.53
/ /	MEYER, WILLIAM	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.45	0.00	5.45	90.0	4.91
/ /	LOPEZ, JOSE	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.73	0.00	5.73	90.0	5.16
/ /	RAY, SCOTT	HARTFORD CONSERVATIVE ALLOC C	0.00	2.65	0.00	2.65	90.0	2.39
/ /	SMITH, PATRICK	HARTFORD DIVIDEND & GROWTH A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	0.00	5.30	0.00	5.30	90.0	4.77
/ /	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	0.00	8.03	0.00	8.03	90.0	7.23
05/01/2017	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	10.22	0.00	10.22	90.0	9.20
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	0.00	4.05	0.00	4.05	90.0	3.65
/ /	BAXTER, KIMBERLY	HARTFORD GROWTH ALLOCATION A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	LAMPI, STEPHANIE	HARTFORD GROWTH ALLOCATION A	0.00	4.95	0.00	4.95	90.0	4.46
/ /	EAR, SIEV	HARTFORD GROWTH ALLOCATION A	0.00	2.11	0.00	2.11	90.0	1.90
/ /	STEFFEN, NATHAN	HARTFORD GROWTH ALLOCATION A	0.00	4.60	0.00	4.60	90.0	4.14
/ /	BIEGERT, LAUREN	HARTFORD GROWTH ALLOCATION A	0.00	11.75	0.00	11.75	90.0	10.58
/ /	CRYLEN, ANNE	HARTFORD GROWTH ALLOCATION A	0.00	0.91	0.00	0.91	90.0	0.82
/ /	FLORES, KARINA	HARTFORD GROWTH ALLOCATION A	0.00	0.65	0.00	0.65	90.0	0.59
05/01/2017	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	100.00	4.75	0.00	4.75	90.0	4.28
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION B	0.00	0.18	0.00	0.18	90.0	0.16
/ /	LAMPI, STEPHANIE	HARTFORD GROWTH ALLOCATION B	0.00	0.22	0.00	0.22	90.0	0.20
/ /	STEFFEN, NATHAN	HARTFORD GROWTH OPP A	0.00	1.61	0.00	1.61	90.0	1.45
/ /	KENNEDY, RACHEL	HARTFORD GROWTH OPP A	0.00	0.69	0.00	0.69	90.0	0.62
/ /	SMITH, PATRICK	HARTFORD MIDCAP A	0.00	0.16	0.00	0.16	90.0	0.14
/ /	SMITH, PATRICK	HARTFORD TOTAL RETURN BOND A	0.00	0.07	0.00	0.07	90.0	0.06
/ /	DELLAPORTAS, VASILIS	HARTFORD TOTAL RETURN BOND A	0.00	1.77	0.00	1.77	90.0	1.59
/ /	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.08	0.00	4.08	90.0	3.67

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	0.00	5.51	0.00	5.51	90.0	4.96
05/01/2017	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	VOLKOBBER, SUSAN	UB TRUST FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
04/20/2017	VOLKOBBER, SUSAN	UB TRUST FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
04/11/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
04/11/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
04/11/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
04/25/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
04/25/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
04/25/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
04/07/2017	CROW, ELISSA	UB&T MODERATE AGE BASED 09-10-C	6,666.66	33.33	0.00	33.33	90.0	30.00
04/17/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2017	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
04/17/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
04/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
04/17/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
04/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	25.00	0.75	0.00	0.75	90.0	0.68
04/03/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
04/06/2017	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 0-02-A	200.00	6.00	0.00	6.00	90.0	5.40
04/17/2017	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 0-02-A	500.00	15.00	0.00	15.00	90.0	13.50
04/17/2017	TUCKER, BRANDON	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
04/17/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	30.00	0.90	0.00	0.90	90.0	0.81
04/17/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 0-02-A	300.00	9.00	0.00	9.00	90.0	8.10
04/17/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
04/17/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 0-02-A	10.00	0.30	0.00	0.30	90.0	0.27
04/17/2017	MACK, ALEXANDER	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
04/17/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	150.00	4.50	0.00	4.50	90.0	4.05
04/17/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	30.00	0.90	0.00	0.90	90.0	0.81
04/27/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	2,500.00	75.00	0.00	75.00	90.0	67.50
04/03/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 03-05-A	300.00	9.00	0.00	9.00	90.0	8.10
04/03/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
04/03/2017	SAAM, ROBERT	UBT MODERATE AGE BASED 03-05-A	200.00	6.00	0.00	6.00	90.0	5.40

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/03/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
04/03/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
04/03/2017	SISON, MARYBETH	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
04/03/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
04/03/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
04/03/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
04/03/2017	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
04/03/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
04/07/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
04/17/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
04/17/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 03-05-A	300.00	9.00	0.00	9.00	90.0	8.10
04/17/2017	WILSON, JOE	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
04/17/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
04/17/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
04/17/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
04/17/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
04/17/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
04/17/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
04/17/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
04/17/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
04/17/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
04/17/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
04/21/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 03-05-A	500.00	15.00	0.00	15.00	90.0	13.50
04/27/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	2,500.00	75.00	0.00	75.00	90.0	67.50
04/03/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
04/03/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
04/03/2017	SISON, MARYBETH	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
04/03/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
04/03/2017	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
04/03/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
04/05/2017	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
04/17/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
04/17/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/17/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
04/17/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
04/17/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
04/17/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75
04/21/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	500.00	15.00	0.00	15.00	90.0	13.50
04/21/2017	KARKI, TRISHA	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
04/07/2017	CROW, ELISSA	UBT MODERATE AGE BASED 06-08-C	6,666.66	33.33	0.00	33.33	90.0	30.00
04/03/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
04/03/2017	STETTER, MARIA	UBT MODERATE AGE BASED 09-10-A	50.00	1.50	0.00	1.50	90.0	1.35
04/05/2017	WILKINS, AARON	UBT MODERATE AGE BASED 09-10-A	150.00	4.50	0.00	4.50	90.0	4.05
04/17/2017	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 09-10-A	175.00	5.25	0.00	5.25	90.0	4.73
04/17/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 09-10-A	250.00	7.50	0.00	7.50	90.0	6.75
04/03/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
04/03/2017	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	850.00	25.50	0.00	25.50	90.0	22.95
04/17/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
04/07/2017	CROW, ELISSA	UBT MODERATE AGE BASED 11-12-C	6,666.67	33.33	0.00	33.33	90.0	30.00
04/03/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	100.00	3.00	0.00	3.00	90.0	2.70
04/17/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	250.00	7.50	0.00	7.50	90.0	6.75
04/04/2017	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 15-16-A	150.00	4.50	0.00	4.50	90.0	4.05
04/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	50.00	1.50	0.00	1.50	90.0	1.35
04/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15-16-A	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	50.00	1.50	0.00	1.50	90.0	1.35
04/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 17-18-A	100.00	3.00	0.00	3.00	90.0	2.70
04/05/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	50.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$39,631.99	\$960.52	\$0.00	\$960.52		\$864.52
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/01/2017	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
04/27/2017	LOIKA, GREGORY	SBL ADVISOR PROGRAM	4,911.80	4.08	0.00	4.08	90.0	3.67
04/27/2017	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	4,916.05	4.08	0.00	4.08	90.0	3.67
04/30/2017	EDUCATION, BOARD OF	VOYA RETIREMENT & ANNUITY	0.00	48.19	0.00	48.19	90.0	43.37
* VARIABLE ANNUITIES SUBTOTAL *			\$10,327.85	\$82.60	\$0.00	\$82.60		\$74.34
*** BROKER TRANSACTIONS TOTAL ***			\$1,418,078.56	\$55,980.63	\$0.00	\$55,980.63		\$50,382.61

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\*\* - Indicates adjustment is not included in Totals or Commissions

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
05/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,090.00
05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*FINRA: CE TRACKED WEB FEE	0.00					-55.00
05/15/2017		*FINRA: NJ IND REG FEE	0.00					-60.00
05/15/2017		*TECH: ADMIN-K JALOWIECKI	0.00					-100.00
05/15/2017		*TECH: ADMIN-W CLARK	0.00					-100.00
05/15/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
05/15/2017		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$50,382.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$50,382.61
Year-to-date Gross	\$343,807.22
Year-to-date Earnings	\$309,427.36

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