



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**April 30, 2018**

05/02/2018  
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CARL WORDEN III  
3031 Tisch Way  
Suite 901  
San Jose, CA 95128

BROKER #: W134 Terminated 04/22/2016  
BRANCH: CARL WORDEN

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

**ADJUSTMENTS**

|            |  |                                 |        |        |        |        |  |        |
|------------|--|---------------------------------|--------|--------|--------|--------|--|--------|
| 04/30/2018 |  | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00   |        |        |        |  | 0.00   |
|            |  | *** ADJUSTMENTS TOTAL ***       | \$0.00 | \$0.00 | \$0.00 | \$0.00 |  | \$0.00 |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                |
|------------------------------|----------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>  |
| <b>Year-to-date Gross</b>    | <b>\$36.07</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>  |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed