

# STATEMENT

## February 20, 2018

KRISTIN FRENCH  
9431 Coppertop Loop NE Suite 2  
SUITE 620  
Bainbridge Island, WA 98110

BROKER #: 125  
BRANCH:

### COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
12/31/2017	#711693249 NICHOLSEN,	HARTFORD MF TRAILS	0.00	67.52	90.0	0.00	60.77	0.00	60.77
01/02/2018	#00085024996 DOWNS,	CAPITAL INCOME BUILDER FD CL A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
01/02/2018	#00085024996 DOWNS,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	90.0	0.00	2.25	0.00	2.25
02/02/2018	#00085024996 DOWNS,	CAPITAL INCOME BUILDER FD CL A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
02/02/2018	#00085024996 DOWNS,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	90.0	0.00	2.25	0.00	2.25
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$200.00</b>	<b>\$77.52</b>		<b>\$0.00</b>	<b>\$69.77</b>	<b>\$0.00</b>	<b>\$69.77</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>									
12/31/2017	#E0737443 KIRKREIT, JUDY	PRUDETIAL TRAILS	0.00	34.10	90.0	0.00	30.69	0.00	30.69
01/19/2018	#1009918317 TAYLOR, PHILIP	PERSPECTIVE II	175,000.00	13,125.00	90.0	0.00	11,812.50	0.00	11,812.50
01/22/2018	#1014381445 EUGENE PFLUM	PERSP II 09/12	45,004.68	3,375.35	90.0	0.00	3,037.82	0.00	3,037.82
01/29/2018	#1201643602 KOUWE, KATHY	PII NY 01/16	140,910.00	9,863.70	90.0	0.00	8,877.33	0.00	8,877.33
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$360,914.68</b>	<b>\$26,398.15</b>		<b>\$0.00</b>	<b>\$23,758.34</b>	<b>\$0.00</b>	<b>\$23,758.34</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
01/10/2018	# HONTO INC	DAIN TRAILER	0.00	6.04	90.0	0.00	5.44	0.00	5.44
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.74	90.0	0.00	2.47	0.00	2.47
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.64	90.0	0.00	2.38	0.00	2.38
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.24	90.0	0.00	5.62	0.00	5.62
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	1.56	90.0	0.00	1.40	0.00	1.40
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	22.97	90.0	0.00	20.67	0.00	20.67
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	20.99	90.0	0.00	18.89	0.00	18.89
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	4.03	90.0	0.00	3.63	0.00	3.63
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	12.41	90.0	0.00	11.17	0.00	11.17
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	32.23	90.0	0.00	29.01	0.00	29.01
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	9.71	90.0	0.00	8.74	0.00	8.74
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	6.92	90.0	0.00	6.23	0.00	6.23
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	71.91	90.0	0.00	64.72	0.00	64.72
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	8.97	90.0	0.00	8.07	0.00	8.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	12.21	90.0	0.00	10.99	0.00	10.99
01/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	55.16	90.0	0.00	49.64	0.00	49.64
01/10/2018	# EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	0.73	90.0	0.00	0.66	0.00	0.66
01/10/2018	# EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	0.73	90.0	0.00	0.66	0.00	0.66
01/10/2018	# EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	1.13	90.0	0.00	1.02	0.00	1.02
01/10/2018	# EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	0.95	90.0	0.00	0.86	0.00	0.86
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	17.17	90.0	0.00	15.45	0.00	15.45
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.33	90.0	0.00	3.90	0.00	3.90
01/10/2018	# C, SAN RAY PROPERT	DAIN TRAILER	0.00	20.50	90.0	0.00	18.45	0.00	18.45
01/10/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	4.97	90.0	0.00	4.47	0.00	4.47
01/10/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	34.00	90.0	0.00	30.60	0.00	30.60
01/10/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	23.75	90.0	0.00	21.38	0.00	21.38
01/10/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	18.17	90.0	0.00	16.35	0.00	16.35
01/10/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	40.06	90.0	0.00	36.05	0.00	36.05
01/10/2018	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	41.43	90.0	0.00	37.29	0.00	37.29
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	21.43	90.0	0.00	19.29	0.00	19.29
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	34.70	90.0	0.00	31.23	0.00	31.23
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	16.60	90.0	0.00	14.94	0.00	14.94
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	13.02	90.0	0.00	11.72	0.00	11.72
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	45.34	90.0	0.00	40.81	0.00	40.81
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	29.06	90.0	0.00	26.15	0.00	26.15
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	22.42	90.0	0.00	20.18	0.00	20.18
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	19.59	90.0	0.00	17.63	0.00	17.63
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	45.73	90.0	0.00	41.16	0.00	41.16
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	45.09	90.0	0.00	40.58	0.00	40.58
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	12.89	90.0	0.00	11.60	0.00	11.60
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	19.36	90.0	0.00	17.42	0.00	17.42
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	8.29	90.0	0.00	7.46	0.00	7.46
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	13.53	90.0	0.00	12.18	0.00	12.18
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	14.50	90.0	0.00	13.05	0.00	13.05
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	7.43	90.0	0.00	6.69	0.00	6.69
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	7.88	90.0	0.00	7.09	0.00	7.09
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	9.72	90.0	0.00	8.75	0.00	8.75
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	6.02	90.0	0.00	5.42	0.00	5.42
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	16.16	90.0	0.00	14.54	0.00	14.54
01/10/2018	# JADILE LLC	DAIN TRAILER	0.00	3.99	90.0	0.00	3.59	0.00	3.59

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.83	90.0	0.00	3.45	0.00	3.45
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	8.65	90.0	0.00	7.79	0.00	7.79
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.30	90.0	0.00	3.87	0.00	3.87
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.31	90.0	0.00	2.98	0.00	2.98
01/10/2018	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.91	90.0	0.00	4.42	0.00	4.42
01/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.25	90.0	0.00	2.03	0.00	2.03
01/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	1.90	90.0	0.00	1.71	0.00	1.71
01/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	7.66	90.0	0.00	6.89	0.00	6.89
01/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	4.00	90.0	0.00	3.60	0.00	3.60
01/10/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	2.71	90.0	0.00	2.44	0.00	2.44
01/10/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	3.27	90.0	0.00	2.94	0.00	2.94
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.65	90.0	0.00	5.99	0.00	5.99
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	15.33	90.0	0.00	13.80	0.00	13.80
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.89	90.0	0.00	2.60	0.00	2.60
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.12	90.0	0.00	2.81	0.00	2.81
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.09	90.0	0.00	2.78	0.00	2.78
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.95	90.0	0.00	6.26	0.00	6.26
01/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	5.92	90.0	0.00	5.33	0.00	5.33
01/10/2018	# WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.90	90.0	0.00	1.71	0.00	1.71
01/10/2018	# SORENSON, LORI	DAIN TRAILER	0.00	0.03	90.0	0.00	0.03	0.00	0.03
01/10/2018	# SORENSON, LORI	DAIN TRAILER	0.00	2.74	90.0	0.00	2.47	0.00	2.47
01/10/2018	# SORENSON, LORI	DAIN TRAILER	0.00	5.85	90.0	0.00	5.27	0.00	5.27
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.90	90.0	0.00	3.51	0.00	3.51
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.74	90.0	0.00	1.57	0.00	1.57
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.80	90.0	0.00	2.52	0.00	2.52
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	5.95	90.0	0.00	5.36	0.00	5.36
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.08	90.0	0.00	1.87	0.00	1.87
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.80	90.0	0.00	0.72	0.00	0.72
01/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.04	90.0	0.00	0.94	0.00	0.94
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.55	90.0	0.00	2.30	0.00	2.30
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.49	90.0	0.00	4.04	0.00	4.04
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.77	90.0	0.00	1.59	0.00	1.59
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.59	90.0	0.00	2.33	0.00	2.33
01/10/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	2.46	90.0	0.00	2.21	0.00	2.21
01/10/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	8.19	90.0	0.00	7.37	0.00	7.37

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	6.15	90.0	0.00	5.54	0.00	5.54
01/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.84	90.0	0.00	1.66	0.00	1.66
01/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.70	90.0	0.00	1.53	0.00	1.53
01/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.59	90.0	0.00	2.33	0.00	2.33
01/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.42	90.0	0.00	2.18	0.00	2.18
01/10/2018	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	5.43	90.0	0.00	4.89	0.00	4.89
01/10/2018	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	0.01	90.0	0.00	0.01	0.00	0.01
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.58	90.0	0.00	4.12	0.00	4.12
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.38	90.0	0.00	4.84	0.00	4.84
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.77	90.0	0.00	4.29	0.00	4.29
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.13	90.0	0.00	1.92	0.00	1.92
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.13	90.0	0.00	2.82	0.00	2.82
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	6.55	90.0	0.00	5.90	0.00	5.90
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.72	90.0	0.00	4.25	0.00	4.25
01/10/2018	# ARCANDIER INC	DAIN TRAILER	0.00	28.32	90.0	0.00	25.49	0.00	25.49
01/10/2018	# ARCANDIER INC	DAIN TRAILER	0.00	22.82	90.0	0.00	20.54	0.00	20.54
01/10/2018	# ARCANDIER INC	DAIN TRAILER	0.00	9.52	90.0	0.00	8.57	0.00	8.57
01/10/2018	# ARCANDIER INC	DAIN TRAILER	0.00	18.56	90.0	0.00	16.70	0.00	16.70
01/10/2018	# ARCANDIER INC	DAIN TRAILER	0.00	10.34	90.0	0.00	9.31	0.00	9.31
01/10/2018	# ARCANDIER INC	DAIN TRAILER	0.00	7.58	90.0	0.00	6.82	0.00	6.82
01/10/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	9.77	90.0	0.00	8.79	0.00	8.79
01/10/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	3.25	90.0	0.00	2.93	0.00	2.93
01/10/2018	# BRESKE, THOMAS	DAIN TRAILER	0.00	3.38	90.0	0.00	3.04	0.00	3.04
01/10/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	8.28	90.0	0.00	7.45	0.00	7.45
01/10/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	2.52	90.0	0.00	2.27	0.00	2.27
01/10/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	10.73	90.0	0.00	9.66	0.00	9.66
01/10/2018	# S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	3.97	90.0	0.00	3.57	0.00	3.57
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	22.17	90.0	0.00	19.95	0.00	19.95
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	23.12	90.0	0.00	20.81	0.00	20.81
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	19.28	90.0	0.00	17.35	0.00	17.35
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	27.13	90.0	0.00	24.42	0.00	24.42
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	1.99	90.0	0.00	1.79	0.00	1.79
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	14.25	90.0	0.00	12.83	0.00	12.83
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	7.93	90.0	0.00	7.14	0.00	7.14
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	10.74	90.0	0.00	9.67	0.00	9.67
01/10/2018	# BRESKE, PAMELA	DAIN TRAILER	0.00	15.52	90.0	0.00	13.97	0.00	13.97

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	8.76	90.0	0.00	7.88	0.00	7.88
01/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	5.20	90.0	0.00	4.68	0.00	4.68
01/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	5.09	90.0	0.00	4.58	0.00	4.58
01/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	10.47	90.0	0.00	9.42	0.00	9.42
01/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	6.10	90.0	0.00	5.49	0.00	5.49
01/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	2.63	90.0	0.00	2.37	0.00	2.37
01/10/2018 #	SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
01/10/2018 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	8.14	90.0	0.00	7.33	0.00	7.33
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	10.86	90.0	0.00	9.77	0.00	9.77
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	53.05	90.0	0.00	47.75	0.00	47.75
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	47.38	90.0	0.00	42.64	0.00	42.64
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	29.11	90.0	0.00	26.20	0.00	26.20
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	14.57	90.0	0.00	13.11	0.00	13.11
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	34.32	90.0	0.00	30.89	0.00	30.89
01/10/2018 #	A, DENNIS L YOUNGB	DAIN TRAILER	0.00	21.75	90.0	0.00	19.58	0.00	19.58
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.11	90.0	0.00	2.80	0.00	2.80
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.29	90.0	0.00	2.96	0.00	2.96
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	11.12	90.0	0.00	10.01	0.00	10.01
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	5.79	90.0	0.00	5.21	0.00	5.21
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	9.29	90.0	0.00	8.36	0.00	8.36
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	25.46	90.0	0.00	22.91	0.00	22.91
01/10/2018 #	PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.92	90.0	0.00	9.83	0.00	9.83
01/10/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.62	90.0	0.00	3.26	0.00	3.26
01/10/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.15	90.0	0.00	3.74	0.00	3.74
01/10/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.05	90.0	0.00	3.65	0.00	3.65
01/10/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.37	90.0	0.00	2.13	0.00	2.13
01/10/2018 #	HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.05	90.0	0.00	3.65	0.00	3.65
01/10/2018 #	HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.45	90.0	0.00	2.21	0.00	2.21
01/10/2018 #	HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.01	90.0	0.00	3.61	0.00	3.61
01/10/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	12.44	90.0	0.00	11.20	0.00	11.20
01/10/2018 #	REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	10.47	90.0	0.00	9.42	0.00	9.42
01/10/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.15	90.0	0.00	2.84	0.00	2.84
01/10/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	4.30	90.0	0.00	3.87	0.00	3.87
01/10/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	6.00	90.0	0.00	5.40	0.00	5.40
01/10/2018 #	LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	2.08	90.0	0.00	1.87	0.00	1.87
01/10/2018 #	BRADEN, GAIL	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.83	90.0	0.00	3.45	0.00	3.45
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.78	90.0	0.00	2.50	0.00	2.50
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.37	90.0	0.00	1.23	0.00	1.23
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.90	90.0	0.00	2.61	0.00	2.61
01/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	4.99	90.0	0.00	4.49	0.00	4.49
01/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	5.00	90.0	0.00	4.50	0.00	4.50
01/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	3.57	90.0	0.00	3.21	0.00	3.21
01/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	4.68	90.0	0.00	4.21	0.00	4.21
01/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	1.74	90.0	0.00	1.57	0.00	1.57
01/10/2018	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	4.56	90.0	0.00	4.10	0.00	4.10
01/10/2018	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	3.30	90.0	0.00	2.97	0.00	2.97
01/10/2018	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	6.72	90.0	0.00	6.05	0.00	6.05
01/10/2018	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	3.45	90.0	0.00	3.11	0.00	3.11
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.83	90.0	0.00	3.45	0.00	3.45
01/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	4.17	90.0	0.00	3.75	0.00	3.75
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.32	90.0	0.00	1.19	0.00	1.19
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.56	90.0	0.00	2.30	0.00	2.30
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	7.19	90.0	0.00	6.47	0.00	6.47
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.75	90.0	0.00	2.48	0.00	2.48
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	6.04	90.0	0.00	5.44	0.00	5.44
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	4.36	90.0	0.00	3.92	0.00	3.92
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.68	90.0	0.00	2.41	0.00	2.41
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
01/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
01/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	0.84	90.0	0.00	0.76	0.00	0.76
01/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	1.27	90.0	0.00	1.14	0.00	1.14
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	0.00	2.67
01/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.49	90.0	0.00	1.34	0.00	1.34
01/10/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	66.44	90.0	0.00	59.80	0.00	59.80
01/10/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	78.43	90.0	0.00	70.59	0.00	70.59
01/10/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	20.54	90.0	0.00	18.49	0.00	18.49
01/10/2018	# JOHNSON, ROGER	DAIN TRAILER	0.00	39.26	90.0	0.00	35.33	0.00	35.33
01/10/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.72	90.0	0.00	2.45	0.00	2.45
01/10/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	3.96	90.0	0.00	3.56	0.00	3.56

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
01/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	5.90	90.0	0.00	5.31	0.00	5.31
01/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	16.56	90.0	0.00	14.90	0.00	14.90
01/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.79	90.0	0.00	2.51	0.00	2.51
01/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	20.56	90.0	0.00	18.50	0.00	18.50
01/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	25.31	90.0	0.00	22.78	0.00	22.78
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.45	90.0	0.00	0.41	0.00	0.41
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	1.19	90.0	0.00	1.07	0.00	1.07
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	1.19	90.0	0.00	1.07	0.00	1.07
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	6.35	90.0	0.00	5.72	0.00	5.72
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	0.21	90.0	0.00	0.19	0.00	0.19
01/10/2018 #	WALLS, ALICE	DAIN TRAILER	0.00	2.16	90.0	0.00	1.94	0.00	1.94
01/10/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	2.59	90.0	0.00	2.33	0.00	2.33
01/10/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	7.20	90.0	0.00	6.48	0.00	6.48
01/10/2018 #	COATES DESIGN INC	DAIN TRAILER	0.00	2.18	90.0	0.00	1.96	0.00	1.96
01/10/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	2.22	90.0	0.00	2.00	0.00	2.00
01/10/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.26	90.0	0.00	2.93	0.00	2.93
01/10/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	1.14	90.0	0.00	1.03	0.00	1.03
01/10/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.77	90.0	0.00	3.39	0.00	3.39
01/10/2018 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	4.07	90.0	0.00	3.66	0.00	3.66
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,183.59</b>		<b>\$0.00</b>	<b>\$1,965.36</b>	<b>\$0.00</b>	<b>\$1,965.36</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$361,114.68</b>	<b>\$28,659.26</b>		<b>\$0.00</b>	<b>\$25,793.47</b>	<b>\$0.00</b>	<b>\$25,793.47</b>

**VERRIDE TRANSACTIONS**

DODDS, ERIK EXISTING	627.05	6.27
DODDS-LPCM, ERIK EXISTING	1,750.80	17.53
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$2,377.85</b>	<b>\$23.80</b>

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-260.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
02/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-474.00

BROKER COMMISSION TOTALS

Broker Transactions	\$25,793.47
Split Transactions	\$0.00
Override Transactions	\$23.80
Adjustments	\$-474.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25,343.27
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