

Bill Payment Stub

Check Date:	6/7/2018
Check No.:	ACH
Check Amount:	8,085.97

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICHARD A. DURAND
12919 KINGS FOREST
SAN ANTONIO, TX 78230

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/31/2018	Bill	COMMISSIONS	8,205.97	8,205.97		8,205.97
6/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT May 31, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018 122579690	AMAYA, BARBARA	MF AMCAP FUND-A	S	15,012.45	412.50	5.00	407.50	85.0	346.38
05/15/2018 122579690	AMAYA, BARBARA	MF AMERICAN BALANCED FUND-A	S	15,012.45	412.50	5.00	407.50	85.0	346.38
05/15/2018 122579690	AMAYA, BARBARA	MF CAPITAL WORLD GROWTH AND INCOME	S	15,012.45	412.50	5.00	407.50	85.0	346.38
05/15/2018 122579690	AMAYA, BARBARA	MF THE GROWTH FUND OF AMERICA-A	S	15,012.45	412.50	5.00	407.50	85.0	346.38
04/27/2018 368317037	ALVAREZ, JOSUE	MF THE HARTFORD CHECKS AND	S	-2,144.73	0.00	5.00	-5.00	85.0	-4.25
05/17/2018 646100327	RITCHIE, MATTHEW	MF THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
* MUTUAL FUNDS SUBTOTAL *				\$58,321.73	\$1,661.39	\$27.50	\$1,633.89		\$1,388.83
<u>PRODUCT CATEGORY: STOCKS</u>									
05/09/2018 123746900	AFT MINERALS LTD	S APACHE CORPORATION	B	82,962.25	400.00	15.00	385.00	85.0	327.25
05/09/2018 123746900	AFT MINERALS LTD	S DEVON ENERGY CORPORATION NEW	B	80,937.45	400.00	15.00	385.00	85.0	327.25
05/21/2018 123746900	AFT MINERALS LTD	S BRISTOL MYERS SQUIBB COMPANY	B	105,479.65	500.00	15.00	485.00	85.0	412.25
05/21/2018 123746900	AFT MINERALS LTD	S OCCIDENTAL PETROLEUM CORP	S	-171,261.32	500.00	15.00	485.00	85.0	412.25
05/22/2018 152918272	BRANNIES, CATHERINE	S ADVANCED MICRO DEVICES INC	S	-51,016.88	500.00	15.00	485.00	85.0	412.25
05/22/2018 152918272	BRANNIES, CATHERINE	S BRISTOL MYERS SQUIBB COMPANY	B	79,582.30	500.00	15.00	485.00	85.0	412.25
05/22/2018 152918272	BRANNIES, CATHERINE	S CONOCOPHILLIPS	S	-106,650.01	500.00	15.00	485.00	85.0	412.25
05/29/2018 152918272	BRANNIES, CATHERINE	S CONOCOPHILLIPS	B	98,952.85	500.00	15.00	485.00	85.0	412.25
05/14/2018 214443197	DURAND, RICHARD	S MDC PARTNERS INC CL A ISIN	B	21,520.45	18.00	15.00	3.00	85.0	2.55
05/04/2018 368492671	KOEBEL, ANDREW	S AT&T INC	S	-4,144.00	60.00	15.00	45.00	85.0	38.25
05/04/2018 368492671	KOEBEL, ANDREW	S INTEL CORPORATION	S	-5,117.44	60.00	15.00	45.00	85.0	38.25
05/04/2018 368492671	KOEBEL, ANDREW	S VERIZON COMMUNICATIONS INC	S	-3,636.62	60.00	15.00	45.00	85.0	38.25
05/23/2018 429910206	KIRKPATRICK,	S BRISTOL MYERS SQUIBB COMPANY	B	79,212.30	550.00	15.00	535.00	85.0	454.75
05/23/2018 429910206	KIRKPATRICK,	S CEVA INC	B	17,476.95	450.00	15.00	435.00	85.0	369.75
04/30/2018 645587824	RANDALL M D,	S OCCIDENTAL PETROLEUM CORP	B	15,643.43	125.00	15.00	110.00	85.0	93.50
05/16/2018 677622535	KRET, DAVID	S GLOBAL BLOCKCHAIN TECHNOLOGIES	B	1,991.45	75.00	15.00	60.00	85.0	51.00
05/15/2018 769035562	VILLA JR (TOD),	S SNAP INC CL-A	B	2,240.19	72.00	15.00	57.00	85.0	48.45
05/15/2018 769068887	VILLA CUST, PETER	S SNAP INC CL-A	B	1,105.44	45.00	15.00	30.00	85.0	25.50
* STOCKS SUBTOTAL *				\$245,278.44	\$5,315.00	\$270.00	\$5,045.00		\$4,288.25

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
PRODUCT CATEGORY: MISCELLANEOUS											
04/30/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368317037	S	0.00	10.00	0.00	10.00	85.0	8.50
05/16/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 122579690	S	0.00	10.00	0.00	10.00	85.0	8.50
05/16/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 122579690	S	0.00	10.00	0.00	10.00	85.0	8.50
05/16/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 122579690	S	0.00	10.00	0.00	10.00	85.0	8.50
05/16/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 122579690	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$50.00	\$0.00	\$50.00	\$42.50		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
05/21/2018	mfc884599	DURAND 884599	MFT	AMCAP FUND-A	B	0.00	2.26	0.00	2.26	85.0	1.92
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.01	0.00	3.01	85.0	2.56
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.39	0.00	3.39	85.0	2.88
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.96	0.00	3.96	85.0	3.37
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.99	0.00	3.99	85.0	3.39
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.03	0.00	4.03	85.0	3.43
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.36	0.00	4.36	85.0	3.71
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.97	0.00	4.97	85.0	4.22
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.97	0.00	4.97	85.0	4.22
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.97	0.00	4.97	85.0	4.22
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.21	0.00	5.21	85.0	4.43
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	6.46	0.00	6.46	85.0	5.49
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	10.49	0.00	10.49	85.0	8.92
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	19.60	0.00	19.60	85.0	16.66
05/21/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	52.81	0.00	52.81	85.0	44.89
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.57	0.00	1.57	85.0	1.33
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.76	0.00	3.76	85.0	3.20
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	5.40	0.00	5.40	85.0	4.59
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	5.70	0.00	5.70	85.0	4.85
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	6.25	0.00	6.25	85.0	5.31
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	1.31	0.00	1.31	85.0	1.11
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	0.42	0.00	0.42	85.0	0.36
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	1.07	0.00	1.07	85.0	0.91
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.09	0.00	1.09	85.0	0.93
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.08	0.00	6.08	85.0	5.17
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.08	0.00	0.08	85.0	0.07
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.85	0.00	0.85	85.0	0.72
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.85	0.00	0.85	85.0	0.72
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.90	0.00	0.90	85.0	0.77
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.05	0.00	1.05	85.0	0.89
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.54	0.00	1.54	85.0	1.31
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.60	0.00	1.60	85.0	1.36
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.61	0.00	1.61	85.0	1.37
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.87	0.00	1.87	85.0	1.59
05/21/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.90	0.00	1.90	85.0	1.62

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.07	0.00	2.07	85.0	1.76
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.52	0.00	2.52	85.0	2.14
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.63	0.00	2.63	85.0	2.24
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	4.33	0.00	4.33	85.0	3.68
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.13	0.00	5.13	85.0	4.36
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.13	0.00	5.13	85.0	4.36
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.13	0.00	5.13	85.0	4.36
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.36	0.00	6.36	85.0	5.41
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.59	0.00	6.59	85.0	5.60
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.91	0.00	6.91	85.0	5.87
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	7.60	0.00	7.60	85.0	6.46
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	19.93	0.00	19.93	85.0	16.94
05/21/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	91.55	0.00	91.55	85.0	77.82
05/21/2018	mfc884599	DURAND 884599	MFT COHEN & STEERS INTL REALTY FD B	0.00	2.49	0.00	2.49	85.0	2.12
05/21/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS C B	0.00	15.52	0.00	15.52	85.0	13.19
05/21/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A B	0.00	0.14	0.00	0.14	85.0	0.12
05/21/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A B	0.00	1.17	0.00	1.17	85.0	0.99
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	0.74	0.00	0.74	85.0	0.63
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	1.06	0.00	1.06	85.0	0.90
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	0.63	0.00	0.63	85.0	0.54
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	1.76	0.00	1.76	85.0	1.50
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.06	0.00	6.06	85.0	5.15
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.18	0.00	6.18	85.0	5.25
05/21/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	12.56	0.00	12.56	85.0	10.68
05/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	3.19	0.00	3.19	85.0	2.71
05/21/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	10.63	0.00	10.63	85.0	9.04
05/21/2018	mfc884599	DURAND 884599	MFT FRK TEMP FOUNDING FUNDS ALLOC B	0.00	4.33	0.00	4.33	85.0	3.68
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	1.44	0.00	1.44	85.0	1.22
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	2.08	0.00	2.08	85.0	1.77
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.40	0.00	0.40	85.0	0.34
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.82	0.00	1.82	85.0	1.55
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.03	0.00	2.03	85.0	1.73
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.05	0.00	2.05	85.0	1.74
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.39	0.00	3.39	85.0	2.88
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.46	0.00	3.46	85.0	2.94
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	4.28	0.00	4.28	85.0	3.64
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	6.83	0.00	6.83	85.0	5.81
05/21/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	22.97	0.00	22.97	85.0	19.52
05/21/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A B	0.00	3.96	0.00	3.96	85.0	3.37
05/21/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A B	0.00	4.41	0.00	4.41	85.0	3.75
05/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	1.51	0.00	1.51	85.0	1.28
05/21/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F B	0.00	2.19	0.00	2.19	85.0	1.86
05/21/2018	mfc884599	DURAND 884599	MFT HARTFORD DIVIDEND & GROWTH FD B	0.00	0.17	0.00	0.17	85.0	0.14
05/21/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND B	0.00	1.25	0.00	1.25	85.0	1.06

COMMISSION STATEMENT for RICHARD DURAND-D020

				INVESTMENT		GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
05/21/2018	mfc884599	DURAND	884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.45	0.00	1.45	85.0	1.23
05/21/2018	mfc884599	DURAND	884599	MFT INTERNATIONAL GROWTH AND	B	0.00	10.88	0.00	10.88	85.0	9.25
05/21/2018	mfc884599	DURAND	884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	1.24	0.00	1.24	85.0	1.05
05/21/2018	mfc884599	DURAND	884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	5.44	0.00	5.44	85.0	4.62
05/21/2018	mfc884599	DURAND	884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.32	0.00	3.32	85.0	2.82
05/21/2018	mfc884599	DURAND	884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.35	0.00	3.35	85.0	2.85
05/21/2018	mfc884599	DURAND	884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	3.01	0.00	3.01	85.0	2.56
05/21/2018	mfc884599	DURAND	884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	3.03	0.00	3.03	85.0	2.58
05/21/2018	mfc884599	DURAND	884599	MFT NEW OPPORTUNITIES A	B	0.00	16.20	0.00	16.20	85.0	13.77
05/21/2018	mfc884599	DURAND	884599	MFT NEW WORLD FUND-A	B	0.00	1.34	0.00	1.34	85.0	1.14
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.18	0.00	1.18	85.0	1.00
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.25	0.00	1.25	85.0	1.06
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.05	0.00	0.05	85.0	0.04
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.46	0.00	0.46	85.0	0.39
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.34	0.00	1.34	85.0	1.14
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	2.76	0.00	2.76	85.0	2.35
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	2.86	0.00	2.86	85.0	2.43
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.66	0.00	3.66	85.0	3.11
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	0.04	0.00	0.04	85.0	0.03
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	0.40	0.00	0.40	85.0	0.34
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.50	0.00	2.50	85.0	2.13
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	0.42	0.00	0.42	85.0	0.36
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.56	0.00	2.56	85.0	2.18
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	0.34	0.00	0.34	85.0	0.29
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER DISCOVERY CLASS A	B	0.00	0.48	0.00	0.48	85.0	0.41
05/21/2018	mfc884599	DURAND	884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.19	0.00	2.19	85.0	1.86
05/21/2018	mfc884599	DURAND	884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.24	0.00	2.24	85.0	1.90
05/21/2018	mfc884599	DURAND	884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.72	0.00	0.72	85.0	0.61
05/21/2018	mfc884599	DURAND	884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.19	0.00	3.19	85.0	2.71
05/21/2018	mfc884599	DURAND	884599	MFT QUEST VALUE A	B	0.00	0.42	0.00	0.42	85.0	0.36
05/21/2018	mfc884599	DURAND	884599	MFT SMALLCAP WORLD FUND-C	B	0.00	1.29	0.00	1.29	85.0	1.10
05/21/2018	mfc884599	DURAND	884599	MFT SMALLCAP WORLD FUND-C	B	0.00	2.51	0.00	2.51	85.0	2.13
05/21/2018	mfc884599	DURAND	884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.32	0.00	0.32	85.0	0.27
05/21/2018	mfc884599	DURAND	884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.34	0.00	0.34	85.0	0.29
05/21/2018	mfc884599	DURAND	884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.94	0.00	0.94	85.0	0.80
05/21/2018	mfc884599	DURAND	884599	MFT SMALLCAP WORLD FUND-A	B	0.00	2.97	0.00	2.97	85.0	2.52
05/21/2018	mfc884599	DURAND	884599	MFT TEMP WORLD FND - CL C	B	0.00	14.61	0.00	14.61	85.0	12.42
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.39	0.00	1.39	85.0	1.18
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.45	0.00	1.45	85.0	1.23
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.11	0.00	2.11	85.0	1.79
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.34	0.00	2.34	85.0	1.99
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.47	0.00	3.47	85.0	2.95
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.33	0.00	5.33	85.0	4.53
05/21/2018	mfc884599	DURAND	884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.85	0.00	5.85	85.0	4.97

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
05/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.85	0.00	5.85	85.0	4.97
05/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.93	0.00	6.93	85.0	5.89
05/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.58	0.00	10.58	85.0	8.99
05/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	26.56	0.00	26.56	85.0	22.58
05/21/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	27.97	0.00	27.97	85.0	23.77
05/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.38	0.00	0.38	85.0	0.32
05/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	0.99	0.00	0.99	85.0	0.84
05/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	2.42	0.00	2.42	85.0	2.06
05/21/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.25	0.00	3.25	85.0	2.76
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.70	0.00	3.70	85.0	3.15
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.18	0.00	4.18	85.0	3.55
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.46	0.00	4.46	85.0	3.79
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.78	0.00	4.78	85.0	4.06
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.28	0.00	5.28	85.0	4.49
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.28	0.00	5.28	85.0	4.49
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.34	0.00	8.34	85.0	7.09
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.52	0.00	8.52	85.0	7.24
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	25.24	0.00	25.24	85.0	21.45
05/21/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	30.66	0.00	30.66	85.0	26.06
05/21/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	45.30	0.00	45.30	85.0	38.51
05/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	144.49	0.00	144.49	85.0	122.82
05/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	41.33	0.00	41.33	85.0	35.13
05/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	615.20	0.00	615.20	85.0	522.92
05/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	42.36	0.00	42.36	85.0	36.01
05/21/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	41.46	0.00	41.46	85.0	35.24
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.62	0.00	0.62	85.0	0.53
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	85.0	0.54
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.89	0.00	0.89	85.0	0.76
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.66	0.00	1.66	85.0	1.41
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.91	0.00	1.91	85.0	1.62
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.42	0.00	2.42	85.0	2.06
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.46	0.00	2.46	85.0	2.09
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.70	0.00	3.70	85.0	3.15
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.88	0.00	3.88	85.0	3.30
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.35	0.00	5.35	85.0	4.55
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.35	0.00	5.35	85.0	4.55
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.35	0.00	5.35	85.0	4.55
05/21/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.94	0.00	6.94	85.0	5.90
05/21/2018	mfc884599	DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.41	0.00	5.41	85.0	4.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,761.29	\$0.00	\$1,761.29		\$1,497.12
*** CLEARING TRANSACTIONS TOTAL ***					\$303,600.17	\$8,787.68	\$297.50	\$8,490.18		\$7,216.70

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018	00067562789 PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0	3.83
05/18/2018	00660109470 HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$250.00	\$8.50	\$0.00	\$8.50		\$7.23
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	(None) VARIOUS, DURAND	MFT HARTFORD MUTUAL FUIND	B	0.00	1.97	0.00	1.97	85.0	1.67
04/30/2018	(None) VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	29.80	0.00	29.80	85.0	25.33
05/03/2018	01556570000 WAGNER JT TEN,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	47.63	0.00	47.63	85.0	40.49
05/03/2018	08021230000 ANDERSON, DEBRA	MFT COLUMBIA DISCIPLINED CORE	B	0.00	3.71	0.00	3.71	85.0	3.15
05/03/2018	08308608103 CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.71	0.00	0.71	85.0	0.60
05/03/2018	09990084509 OF MOULTON TEXAS,	MFT COLUMBIA INCOME FUND CLASS A	B	0.00	4.50	0.00	4.50	85.0	3.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$88.32	\$0.00	\$88.32		\$75.07
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
05/11/2018	(None) DOYLE TOD, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	225.05	0.00	225.05	85.0	191.29
05/18/2018	(None) MATTE, JOSEPH	VAT AMERITAS	B	0.00	5.75	0.00	5.75	85.0	4.89
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$230.80	\$0.00	\$230.80		\$196.18
*** DIRECT TRANSACTIONS TOTAL ***				\$250.00	\$327.62	\$0.00	\$327.62		\$278.48

ADJUSTMENTS

05/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
05/31/2018	:	BROKER WORKSTATION-GIFFEN		0.00	0.00	0.00	0.00		-30.00
05/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
05/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		795.79
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$710.79

BROKER COMMISSION TOTALS

Broker Transactions	\$7,495.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$710.79
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,205.97
Year-to-date Commissions	\$67,329.42