

COMMISSION STATEMENT

July 31, 2018

AARON ARCHAMBO-D166

BROKER #: D166

BRANCH: DMB

, TX

COMMISSION STATEMENT for AARON ARCHAMBO-D166

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

07/10/2018	184072873	CHENG, KOON TAT	MF	OAKMARK INTL FD CL INV	B	-847.55	0.00	5.00	-5.00	90.0	-4.50
07/10/2018	368324927	LOTTRIDGE, DELYCE	MF	OAKMARK INTL FD CL INV	B	-697.55	0.00	5.00	-5.00	90.0	-4.50
07/12/2018	368341892	DICKINSON, SHONNA	MF	LOOMIS SAYLES BOND FD CL R	S	-10,018.79	0.00	5.00	-5.00	90.0	-4.50
07/12/2018	368341892	DICKINSON, SHONNA	MF	OAKMARK FUND	S	-5,015.76	0.00	5.00	-5.00	90.0	-4.50
07/12/2018	368341892	DICKINSON, SHONNA	MF	OPPENHEIMER DEVELOPING MKT FD	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	368438526	JOHNSON, HELEN	MF	OAKMARK INTL FD CL INV	B	-1,097.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	368438526	JOHNSON, HELEN	MF	PIMCO REAL RETURN FUND (A)	B	-1,597.55	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	CARILLON SCOUT MID CAP FUND	S	3,842.45	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	DREYFUS SMALL CAP STOCK INDEX	S	3,842.45	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	HARDING LOEVNER INTL EQUITY FD	S	5,762.45	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	NATIXIS LOOMIS SAYLES INV GRD	S	5,762.45	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	OAKMARK FUND	S	7,682.45	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	OPPENHEIMER SENIOR FLOATING	S	5,762.45	0.00	5.00	-5.00	90.0	-4.50
07/18/2018	584430112	PHILLIPS, BILLY	MF	THORNBURG LTD TERM INCOME FD CL	S	5,762.45	0.00	5.00	-5.00	90.0	-4.50
07/10/2018	646261660	FITZSIMMONS,	MF	MFS GLOBAL EQUITY FD CL I	B	-147.55	0.00	5.00	-5.00	90.0	-4.50
07/17/2018	646261660	FITZSIMMONS,	MF	MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50	90.0	-2.25
07/10/2018	646266202	FITZSIMMONS,	MF	MFS GLOBAL EQUITY FD CL I	B	-147.55	0.00	5.00	-5.00	90.0	-4.50
07/17/2018	646266202	FITZSIMMONS,	MF	MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50	90.0	-2.25
06/29/2018	646277370	FITZSIMMONS, JULIE	MF	AMERICAN FDS BALANCED FD CL F2	S	202.45	0.00	2.50	-2.50	90.0	-2.25
07/19/2018	799767148	WOLKEN, CHERAY	MF	VANGUARD INFLATION PROTECT SEC	S	-7,951.09	0.00	5.00	-5.00	90.0	-4.50
07/19/2018	799767148	WOLKEN, CHERAY	MF	VANGUARD INTERMEDIATE US	S	-3,878.05	0.00	5.00	-5.00	90.0	-4.50
07/19/2018	799767148	WOLKEN, CHERAY	MF	VANGUARD SHORT TERM CORP BOND	B	-5,497.55	0.00	5.00	-5.00	90.0	-4.50

* MUTUAL FUNDS SUBTOTAL *				\$-2,969.59	\$0.00	\$102.50	\$-102.50		\$-92.25
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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018	mfc002080	ARCHAMBO A 002080	MFT	AB HIGH INCOME A	B	0.00	0.99	0.00	0.99	90.0	0.89
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT	AMERICAN BALANCED FUND-F1	B	0.00	4.59	0.00	4.59	90.0	4.13
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT	AMERICAN BALANCED FUND-F1	B	0.00	13.15	0.00	13.15	90.0	11.84
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT	AMERICAN BALANCED FUND-F1	B	0.00	20.81	0.00	20.81	90.0	18.73
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT	BAIRD CORE PLUS BOND FD INV	B	0.00	1.52	0.00	1.52	90.0	1.37
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT	BAIRD CORE PLUS BOND FD INV	B	0.00	8.11	0.00	8.11	90.0	7.30

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.09	0.00	8.09	90.0	7.28
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.76	0.00	10.76	90.0	9.68
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.64	0.00	9.64	90.0	8.68
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	2.87	0.00	2.87	90.0	2.58
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	3.06	0.00	3.06	90.0	2.75
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT CUSIP 926826827	B	0.00	2.13	0.00	2.13	90.0	1.92
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT INTL EQUITY FD	B	0.00	2.46	0.00	2.46	90.0	2.21
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DOMINI IMPACT BOND FD INV	B	0.00	1.95	0.00	1.95	90.0	1.76
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.48	0.00	0.48	90.0	0.43
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.82	0.00	0.82	90.0	0.74
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.00	0.00	1.00	90.0	0.90
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.00	0.00	1.00	90.0	0.90
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.44	0.00	1.44	90.0	1.30
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.60	0.00	1.60	90.0	1.44
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.67	0.00	1.67	90.0	1.50
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.20	0.00	2.20	90.0	1.98
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.73	0.00	5.73	90.0	5.16
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	6.02	0.00	6.02	90.0	5.42
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	3.83	0.00	3.83	90.0	3.45
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	0.47	0.00	0.47	90.0	0.42
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	4.78	0.00	4.78	90.0	4.30
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE	B	0.00	0.87	0.00	0.87	90.0	0.78
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE	B	0.00	2.15	0.00	2.15	90.0	1.94
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.03	0.00	0.03	90.0	0.03
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.59	0.00	1.59	90.0	1.43
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	3.86	0.00	3.86	90.0	3.47
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.68	0.00	4.68	90.0	4.21
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A	B	0.00	4.46	0.00	4.46	90.0	4.01
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.51	0.00	0.51	90.0	0.46
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.54	0.00	0.54	90.0	0.49
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.77	0.00	0.77	90.0	0.69
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.53	0.00	1.53	90.0	1.38
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.53	0.00	1.53	90.0	1.38
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.16	0.00	3.16	90.0	2.84
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.28	0.00	3.28	90.0	2.95
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	12.11	0.00	12.11	90.0	10.90
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT INTERMEDIATE BOND FUND OF	B	0.00	9.48	0.00	9.48	90.0	8.53
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.33	0.00	0.33	90.0	0.30
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	2.05	0.00	2.05	90.0	1.85
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.21	0.00	2.21	90.0	1.99
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NATIXIS OAKMARK INTL FD A	B	0.00	0.78	0.00	0.78	90.0	0.70
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-F1	B	0.00	2.75	0.00	2.75	90.0	2.48
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.59	0.00	1.59	90.0	1.43
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.60	0.00	1.60	90.0	1.44

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07/23/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.97	0.00	1.97	90.0	1.77
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MARKETS	B	0.00	1.29	0.00	1.29	90.0	1.16
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	0.45	0.00	0.45	90.0	0.41
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	4.37	0.00	4.37	90.0	3.93
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	1.24	0.00	1.24	90.0	1.12
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX HIGH YIELD BOND FD INV CL	B	0.00	2.06	0.00	2.06	90.0	1.85
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	0.78	0.00	0.78	90.0	0.70
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PAX SMALL CAP INDIVIDUAL FD INV	B	0.00	2.58	0.00	2.58	90.0	2.32
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	1.69	0.00	1.69	90.0	1.52
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	2.87	0.00	2.87	90.0	2.58
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.46	0.00	1.46	90.0	1.31
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.68	0.00	2.68	90.0	2.41
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.02	0.00	3.02	90.0	2.72
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.35	0.00	3.35	90.0	3.02
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.90	0.00	9.90	90.0	8.91
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.56	0.00	0.56	90.0	0.50
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.98	0.00	0.98	90.0	0.88
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	0.68	0.00	0.68	90.0	0.61
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	1.71	0.00	1.71	90.0	1.54
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT THE BOND FUND OF AMERICA-A	B	0.00	2.32	0.00	2.32	90.0	2.09
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	1.85	0.00	1.85	90.0	1.67
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.74	0.00	5.74	90.0	5.17
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.46	0.00	1.46	90.0	1.31
07/23/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.99	0.00	3.99	90.0	3.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$242.03	\$0.00	\$242.03		\$217.83
*** CLEARING TRANSACTIONS TOTAL ***					\$-2,969.59	\$242.03	\$102.50	\$139.53		\$125.58

BROKER COMMISSION TOTALS

Broker Transactions	\$125.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00