

COMMISSION STATEMENT

April 30, 2018

JAY SHARTSIS
54 BIRCHWOOD LANE

BROKER #: P82 P83 P84

BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

04/11/2018	QGW009151	KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	B	9,311.00	149.00	6.00	143.00	50.0	71.50
03/29/2018	QGW009292	OSTOW, MARK	AMAZON.COM INC	B	140,309.70	80.73	6.00	74.73	50.0	37.37
04/03/2018	QGW021461	BURNS, RHONDIE	DIREXION SHARES ETF TRUST DIREXION	S	-14,162.25	0.00	6.00	-6.00	50.0	-3.00
04/11/2018	QGW021461	BURNS, RHONDIE	KIRKLAND LAKE GOLD LTD COM ISIN# CA B		3,405.98	71.12	6.00	65.12	50.0	32.56
* STOCKS SUBTOTAL *					\$138,864.43	\$300.85	\$24.00	\$276.85		\$138.43

PRODUCT CATEGORY: OPTIONS

03/29/2018	QGW009094	KANTO, WILLIAM	CALL 100 ISHARES TR BARCLAYS 20+ YR S		-2,625.00	74.00	7.00	67.00	50.0	33.50
04/03/2018	QGW009151	KOBOLD, MICHAEL	PUT 100 FACEBOOK INC CL A EXP 04-20 S		-4,050.00	130.30	7.00	123.30	50.0	61.65
04/04/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 BUCKLE INC COM EXP 05-18-18 S		-425.00	50.00	7.00	43.00	50.0	21.50
04/06/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 UNITED STS STL CORP NEW CO S		-265.00	50.00	7.00	43.00	50.0	21.50
04/10/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 KRONOS WORLDWIDE INC COM E S		-310.00	50.00	7.00	43.00	50.0	21.50
04/12/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 BUCKLE INC COM EXP 05-18-18 B		275.00	50.00	7.00	43.00	50.0	21.50
04/13/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 KRONOS WORLDWIDE INC COM EX S		-450.00	50.00	7.00	43.00	50.0	21.50
04/13/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 UNITED STS STL CORP NEW COM S		-340.00	50.00	7.00	43.00	50.0	21.50
04/19/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 PROSHARES TR II ULTRA BLOOM S		-260.00	50.00	7.00	43.00	50.0	21.50
04/23/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 CHICAGO BRIDGE & IRON CO N S		-350.00	50.00	7.00	43.00	50.0	21.50
04/24/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 TRINITY INDS INC COM EXP 0 B		320.00	50.00	7.00	43.00	50.0	21.50
03/29/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-06-1 S		-3,726.00	61.09	7.00	54.09	50.0	27.05
04/02/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-20-1 S		-4,100.00	64.79	7.00	57.79	50.0	28.90
04/02/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-20-1 B		3,522.00	59.07	7.00	52.07	50.0	26.04
04/02/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-06-1 B		2,230.00	50.00	7.00	43.00	50.0	21.50
04/02/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-27-1 S		-5,370.00	74.73	7.00	67.73	50.0	33.87
04/19/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-27-1 B		15,388.00	80.73	7.00	73.73	50.0	36.87
04/19/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-27-1 S		-4,265.00	66.42	7.00	59.42	50.0	29.71
04/24/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-27-1 B		1,365.00	50.00	7.00	43.00	50.0	21.50
04/26/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 04-27-1 S		-2,405.00	50.00	7.00	43.00	50.0	21.50
04/10/2018	QGW029514	HUNT, GREGORY	CALL 100 TESLA INC EXP 04-20-18 @30 S		-4,395.00	100.71	7.00	93.71	50.0	46.86
04/10/2018	QGW029514	HUNT, GREGORY	CALL 100 TESLA INC EXP 04-20-18 @32 B		1,926.00	73.74	7.00	66.74	50.0	33.37
04/20/2018	QGW029514	HUNT, GREGORY	CALL 100 WHEATON PRECIOUS METALS CO S		-3,700.00	98.50	7.00	91.50	50.0	45.75
04/25/2018	QGW029514	HUNT, GREGORY	CALL 100 TRINITY INDS INC COM EXP 0 B		1,160.00	62.79	7.00	55.79	50.0	27.90

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
04/27/2018	QGW029514 HUNT, GREGORY	CALL 100 ALIGN TECHNOLOGY INC COM E S		-2,811.00	71.83	7.00	64.83	50.0	32.42
04/27/2018	QGW029514 HUNT, GREGORY	CALL 100 ALIGN TECHNOLOGY INC COM E B		1,611.00	56.04	7.00	49.04	50.0	24.52
		* OPTIONS SUBTOTAL *		\$-12,050.00	\$1,674.74	\$182.00	\$1,492.74		\$746.41
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018		FEE COUNT - 2		0.00	46.16	0.00	46.16	50.0	23.09
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$46.16	\$0.00	\$46.16		\$23.09
		*** BROKER TRANSACTIONS TOTAL ***		\$126,814.43	\$2,021.75	\$206.00	\$1,815.75		\$907.93

ADJUSTMENTS

04/30/2018	MISCELLANEOUS: OPTIONVUE - ANNUALLY	0.00	0.00	0.00	0.00	-1,200.00
04/30/2018	MISCELLANEOUS: VERIZON WIRELESS	0.00	0.00	0.00	0.00	-28.84
04/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
04/30/2018	OTHER: MCMILLIAN	0.00	0.00	0.00	0.00	-195.00
04/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
04/30/2018	OTHER: OTHER--SALARY	0.00	0.00	0.00	0.00	500.00
04/30/2018	OTHER: CBOE	0.00	0.00	0.00	0.00	-250.00
04/30/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-96.36
04/30/2018	OTHER: QUODD	0.00	0.00	0.00	0.00	-65.88
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-1,364.08

BROKER COMMISSION TOTALS

Broker Transactions	\$907.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,364.08
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.53
SIPC Assessment	\$-5.05

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-463.73
Year-to-date Earnings	\$3,990.03