

Concorde 🙆

COMMISSION STATEMENT April 15, 2018

KEITH, L128 LAYMAN 4321 Flagstaff

Fort Wayne, IN 46815

BROKER #: L128

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT	TRANSACTIONS					
PRODUCT CA	TEGORY: RIA							
12/13/2017	BOUTWELL, SHANE	AMERICAN FUNDS	0.00	-43.73	0.00	-43.73	80.0	-34.98
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	-10.36	0.00	-10.36	80.0	-8.29
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	-12.58	0.00	-12.58	80.0	-10.06
12/13/2017	LAMOTT, KEVIN	AMERICAN FUNDS	0.00	-0.06	0.00	-0.06	80.0	-0.05
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,424.80	-19.02	0.00	-19.02	80.0	-15.22
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,357.05	-21.40	0.00	-21.40	80.0	-17.12
03/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	67,936.22	-83.76	0.00	-83.76	80.0	-67.01
03/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	309.86	-0.38	0.00	-0.38	80.0	-0.30
04/04/2018	ORT, LEON	JEFFERSON NATIONAL	84,801.77	318.01	0.00	318.01	80.0	254.41
04/06/2018	DILLIE, MAXIE	JEFFERSON NATIONAL	42,405.45	159.02	0.00	159.02	80.0	127.22
04/06/2019	GUNSOLLEY, MARY	JEFFERSON NATIONAL	43,307.94	162.40	0.00	162.40	80.0	129.92
04/04/2018	WILLING, PATRICIA	PERSHING	240,217.28	898.35	0.00	898.35	80.0	718.68
04/04/2018	JONES, TIM	PERSHING	64,564.39	241.45	0.00	241.45	80.0	193.16
04/04/2018	SIEBER, AARON	PERSHING	246,113.66	920.40	0.00	920.40	80.0	736.32
04/04/2018	JOUPPI, SHARON	PERSHING	237,888.65	889.64	0.00	889.64	80.0	711.71
04/04/2018	DAILEY, RITA	PERSHING	296,517.44	1,108.89	0.00	1,108.89	80.0	887.11
04/04/2018	GRIME, MARK	PERSHING	77,648.67	290.38	0.00	290.38	80.0	232.30
04/04/2018	KLITZMAN, RANDALL	PERSHING	56,176.19	210.08	0.00	210.08	80.0	168.06
04/04/2018	KLITZMAN, MARY	PERSHING	210,756.14	788.17	0.00	788.17	80.0	630.54
04/04/2018	FEDLER, CHARLES	PERSHING	185,262.52	692.83	0.00	692.83	80.0	554.26
04/04/2018	DODGE, CAROLYN	PERSHING	184,640.41	690.50	0.00	690.50	80.0	552.40
04/04/2018	TROUT, CHARLOTTE	PERSHING	22,391.87	83.74	0.00	83.74	80.0	66.99
04/04/2018	OAR, RITA	PERSHING	41,811.83	156.36	0.00	156.36	80.0	125.09
04/04/2018	SAHARIAN, CAROLYN	PERSHING	55,082.92	206.00	0.00	206.00	80.0	164.80
04/04/2018	SHERBURNE, STEVEN	PERSHING	228,741.95	855.43	0.00	855.43	80.0	684.34
04/04/2018	ZINN, ROBERT	PERSHING	174,882.69	545.01	0.00	545.01	80.0	436.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

04/04/2018 PEPFLE, GLEN PERSHING 44,269.34 165.56 0.00 165.56 80.00 04/04/2018 RICHEY, BECKY PERSHING 18,242.53 68.22 0.00 68.22 80.00 04/04/2018 RICHEY, BECKY PERSHING 18,425.53 68.22 0.00 68.22 80.00 04/04/2018 RICHEY, JANET PERSHING 79,977.26 299.09 0.00 299.09 80.00 04/04/2018 ASHBAUGH, JOHN PERSHING 87,238.67 326.25 0.00 326.25 80.00 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 326.25 0.00 126.25 80.00 04/04/2018 NICOLET, CHERYL PERSHING 307,654.92 1,150.55 0.00 1.150.53 80.00 04/04/2018 UNICOX, TIMOTHY PERSHING 307,654.92 1,150.55 0.00 1.150.53 80.00 04/04/2018 RICHEY, CHERYL PERSHING 676.11 2.53 0.00 2.53 80.00 04/04/2018 RICHEY, CHERYL PERSHING 676.11 2.53 0.00 2.53 80.00 04/04/2018 RICHEY, CHERYL PERSHING 65,433.17 64.67 0.00 6.64.67 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 64.67 0.00 6.64.67 80.00 04/04/2018 BEZYCKI, MARTIN PERSHING 65,433.17 64.67 0.00 0.00 0.00 0.00 04/04/2018 BEZYCKI, MARTIN PERSHING 65,433.17 0.00 0.00 0.00 04/04/2018 BEZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 422.48 80.00 04/04/2018 BEZULER, DOUG PERSHING 129,081.90 482.73 0.00 424.28 80.00 04/04/2018 DELLARE, DOUNG PERSHING 149,156.25 0.00 044.24 80.00 04/04/2018 DELLARE, MARGARET PERSHING 15,788.76 59.05 0.00 124.24 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 05.90 05.80 0.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 05.90 05.80 0.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 05.90 05.80 0.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.76 59.05 0.00 05.90 05.80 0.00 04/04/2018 DELLAREY, MARGARET PERSHING 15,788.70 05.00 05.90 0	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/04/2018 JAMSCO INC PERSHING 111,482.58 416.91 0.00 416.91 80.00 4/04/2018 BERBERS, CATHY PERSHING 287,627.00 1,076.39 80.00 1,076.39 80.00 04/04/2018 PERPILS, GIEN PERSHING 44,269.34 165.56 0.00 165.56 80.0 04/04/2018 RICHEY, BECKY PERSHING 18,442.53 86.22 0.00 68.22 80.0 04/04/2018 RICHEY, BECKY PERSHING 85,988.57 321.57 0.00 321.57 80.0 04/04/2018 ASHRAUGH, JOHN PERSHING 79,977.36 299.09 0.00 299.09 80.0 04/04/2018 ASHRAUGH, ALAN PERSHING 79,977.36 299.09 0.00 299.09 80.0 04/04/2018 ASHRAUGH, ALAN PERSHING 87,238.67 330.6.25 0.00 336.25 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 330.6.25 0.00 336.25 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 330.65 0.00 150.39 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 30.00 150.39 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 0.00 150.39 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 0.00 150.39 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 0.00 150.39 80.0 04/04/2018 LOWETT, DAVID PERSHING 87,238.67 0.00 150.39 80.0 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 0.00 0.00 0.00 0.00 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 0.00 0.00 0.00 0.00 04/04/2018 MILCOX, DAVID PERSHING 87,238.67 0.00 0.00 0.00 0.00 0.00 04/04/2018 MILCOX, DAVID PERSHING 85,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 BRZYCKI, MARTIN PERSHING 85,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 BRZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 0.00 0.00 0.00 0.00 04/04/2018 BRZYCKI, MARTIN PERSHING 149,081.90 0.00 0.00 0.00 0.00 0.00 0.00 0.00				DIRECT TRANSACTIONS					
04/04/2018 BERBER, CATHY PERSHING 287,827.00 1,076.39 0.00 1,076.39 8.0.0 04/04/2018 PERPIE, GLEN PERSHING 44,269.34 165.56 0.00 165.56 80.0 04/04/2018 BICHEY, BEKKY PERSHING 18,42.53 68.22 0.00 62.22 80.00 04/04/2018 BERBER, JOHN PERSHING 85,988.57 321.57 0.00 321.57 80.00 04/04/2018 BERBER, JAMET PERSHING 79,977.26 299.09 0.00 299.09 80.0 04/04/2018 BERBER, JAMET PERSHING 87,238.67 326.25 0.00 126.25 80.00 04/04/2018 WILCOX, TIMOTHY PERSHING 87,238.67 326.25 0.00 1.150.39 80.0 04/04/2018 WILCOX, TIMOTHY PERSHING 40,215.01 150.39 0.00 1.150.39 80.0 04/04/2018 UNICOX, TIMOTHY PERSHING 87,238.67 326.25 0.00 1.150.39 80.0 04/04/2018 UNICOX, TIMOTHY PERSHING 87,649.20 1,150.55 0.00 1.150.39 80.0 04/04/2018 UNICOX, TIMOTHY PERSHING 89,155.20 0.00 1.150.39 80.0 04/04/2018 UNICOX, TIMOTHY PERSHING 89,155.20 0.00 1.150.39 80.0 04/04/2018 UNICOX, TIMOTHY PERSHING 89,155.20 0.00 0.00 0.00 0.00 0.00 0.00 0.00	PRODUCT CA	TEGORY: RIA							
04/04/2018 PEPPLE, GLEN PERSHING 44,269.34 165.56 0.00 165.56 0.00 04/04/2018 RICHEY, BECKY PERSHING 18,242.53 68,22 0.00 68.22 00.0 04/04/2018 ZERDEYK, JANET PERSHING 85,988.57 321.57 0.00 321.57 80.0 04/04/2018 ZERDEYK, JANET PERSHING 79,977.26 299.09 0.00 299.09 80.0 04/04/2018 ASHBAUGH, JANET PERSHING 87,238.67 332.57 0.00 326.25 80.0 04/04/2018 ASHBAUGH, JANET PERSHING 87,238.67 336.25 0.00 326.25 80.0 04/04/2018 MILCOUK, TIMOTHY PERSHING 87,238.67 336.25 0.00 1,150.55 80.0 04/04/2018 UNICOUKT, CHERYL PERSHING 307,654.92 1,150.55 0.00 1,150.55 80.0 04/04/2018 UNVERT, CHERYL PERSHING 676.11 2.53 0.00 2.53 80.0 04/04/2018 UNVERT, CHERYL PERSHING 676.11 2.53 0.00 2.53 80.0 04/04/2018 UNVERT, DAVID PERSHING 69,115.23 288.47 0.00 2.53 80.0 04/04/2018 UNVERT, DAVID PERSHING 65,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 UNVERT, DAVID PERSHING 65,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 UNVERT, DAVID PERSHING 65,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 UNVERT, DAVID PERSHING 65,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 UNVERT, DAVID PERSHING 65,433.17 0.00 0.00 0.00 0.00 04/04/2018 UNVERT, DAVID PERSHING 129,081.90 0482.73 80.0 04/04/2018 UNVERT, DAVID PERSHING 129,081.90 0482.73 0.00 044.81 80.0 04/04/2018 UNVERT, DAVID PERSHING 129,081.90 045.82 0.00 044.04 0.00 04/04/2018 UNVERT, DAVID PERSHING 149,081.90 049.00 040.00	04/04/2018	JAMSCO INC	PERSHING	111,482.58	416.91	0.00	416.91	80.0	333.53
04/04/2018 RICHEY, BECKY PERSHING 18,242.53 68.22 0.00 66.22 80.00 04/04/2018 ASHADGH, JOHN PERSHING 85,988.57 321.57 0.00 321.57 80.00 04/04/2018 ZEEDYK, JART PERSHING 79,977.26 299.09 0.00 229.07 80.00 04/04/2018 SAHBADGH, ALAN PERSHING 87,238.67 326.25 0.00 326.25 80.00 04/04/2018 MILCOX, TIMOTHY PERSHING 87,238.67 326.25 0.00 326.25 80.00 04/04/2018 MILCOX, TIMOTHY PERSHING 307,654.92 1,150.55 0.00 1,150.39 80.00 04/04/2018 RICOXT, TIMOTHY PERSHING 307,654.92 1,150.55 0.00 1,150.39 80.00 04/04/2018 RICOXT, DAVID PERSHING 69,115.23 258.47 0.00 258.47 80.00 04/04/2018 RICOXT, DAVID PERSHING 69,115.23 258.47 0.00 258.47 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 -64.67 0.00 6-64.67 80.00 04/04/2018 BEZYCKI, MARTIN PERSHING 65,433.17 -64.67 0.00 640.27 80.00 04/04/2018 BEZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BULLER, DOUG PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BULLER, DOUG PERSHING 129,081.90 482.73 0.00 040.26 80.00 04/04/2018 BULLER, DOUG PERSHING 129,081.90 482.73 0.00 040.27 80.00 04/04/2018 BULLER, DOUG PERSHING 129,081.90 482.73 0.00 040.26 80.00 04/04/2018 BULLER, DOUG PERSHING 129,081.90 140.81 0.00 040.00 0	04/04/2018	BERBER, CATHY	PERSHING	287,827.00	1,076.39	0.00	1,076.39	80.0	861.11
04/04/2018 ASHBAUGH, JOHN PERSHING 85,988.57 321.57 0.00 321.57 80.00 04/04/2018 ZEEUTK, JAINT PERSHING 79,977.66 299.09 0.00 299.09 80.00 04/04/2018 ASHBAUGH, ALAN PERSHING 87,977.66 299.09 0.00 299.09 80.00 04/04/2018 NILCOX, TIMOTHY PERSHING 87,238.67 326.25 0.00 326.25 80.00 04/04/2018 NILCOX, TIMOTHY PERSHING 80,00 15.55 80.00 04/04/2018 NICOLET, CHERYL PERSHING 80,00 15.55 80.00 04/04/2018 LOVETT, DAVID PERSHING 80,00 15.55 80.00 04/04/2018 RUSK, JULIA PERSHING 86,61 2.33 0.00 22.53 80.00 04/04/2018 RUSK, JULIA PERSHING 86,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 RUSK, JULIA PERSHING 86,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 RUSK, JULIA PERSHING 86,433.17 0.00 0.00 0.00 0.00 0.00 04/04/2018 REZYCKI, MARTIN PERSHING 86,433.17 0.00 0.00 0.00 0.00 040.00 04/04/2018 REZYCKI, MARTIN PERSHING 86,433.17 0.00 0.00 0.00 0.00 040.00 04/04/2018 RUSK, JULIA PERSHING 86,433.17 0.00 0.00 0.00 0.00 040.00 040.00 04/04/2018 RUSK, JULIA PERSHING 86,433.17 0.00 0.00 0.00 0.00 040.	04/04/2018	PEPPLE, GLEN	PERSHING	44,269.34	165.56	0.00	165.56	80.0	132.45
04/04/2018 ZEEDYK, JANET PERSHING 79,977.26 299.09 0.00 299.09 8.00 04/04/2018 ASHARDER, ALAN PERSHING 87,238.67 326.25 0.00 326.25 80.0 04/04/2018 NICCURT, CHERYL PERSHING 40,754.94 1,150.55 0.00 15.05.95 80.0 04/04/2018 LOVETT, DAVID PERSHING 676.11 2.53 0.00 259.47 80.0 04/04/2018 RUSK, JULIA PERSHING 676.11 2.53 0.00 259.47 80.0 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 0.00 0.00 64.67 80.0 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 0.00 0.00 482.73 80.0 04/04/2018 BYZYKI, MARTIN PERSHING 129,081.90 482.73 0.00 44.81 80.0 04/04/2018 BYZYKI, MARGARET PERSHING 19,081.90 44.81 80.0 04/04/2018 HARDESTY, MARGARET <	04/04/2018	RICHEY, BECKY	PERSHING	18,242.53	68.22	0.00	68.22	80.0	54.58
04/04/2018	04/04/2018	ASHBAUGH, JOHN	PERSHING	85,988.57	321.57	0.00	321.57	80.0	257.26
04/04/2018	04/04/2018	ZEEDYK, JANET	PERSHING	79,977.26	299.09	0.00	299.09	80.0	239.27
04/04/2018 NICOLET, CHEYLI PERSHING 307,654.92 1,150.55 0.00 1,150.55 80.00 04/04/2018 LOVETT, DAVID PERSHING 676.11 2.53 0.00 2.53 80.00 04/04/2018 EUSK, JULIA PERSHING 69,115.23 258.47 0.00 258.47 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 -64.67 0.00 -64.67 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 -64.67 0.00 0.00 0.00 0.00 04/04/2018 BRZYCKI, MARTIN PERSHING 65,433.17 0.00 0.00 0.00 0.00 040.00 04/04/2018 BRZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BULLER, DOUG PERSHING 11,981.52 44.81 0.00 44.81 80.00 04/04/2018 EUCC, CLIFFORD PERSHING 245,158.02 916.82 0.00 916.82 80.00 04/04/2018 ELDER, DONNA PERSHING 14,216.96 531.85 0.00 518.85 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 14,216.96 531.85 0.00 531.85 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 15,788.76 59.05 0.00 0.00 0.60 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 15,788.76 59.05 0.00 0.00 0.60 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 15,788.70 150.00 0.00 0.60 80.00 04/04/2018 DELANEY, DIANA PERSHING 15,788.70 150.64 0.00 0.00 0.60 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 13,550.11 117.99 0.00 117.94 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 13,550.11 117.99 0.00 117.94 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 13,550.11 117.99 0.00 117.94 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 13,9284.07 520.88 0.00 04/04/2018 DELANEY, MATTHEW PERSHING 11,903.85 118.49 0.00 0418.49 80.00 04/04/2018 BARCH, MELODY PERSHING 11,903.85 148.49 0.00 0418.49 80.00 04/04/2018 BARCH, MELODY PERSHING 13,924.07 150.88 0.00 04/04/2018 BARCH, MELODY PERSHING 19,912.02 0411.04 0.00 0418.49 80.00 04/04/2018 BARCH, MELODY PERSHING 19,912.02 0411.04 0.00 0418.49 80.00 04/04/2018 BARCH, MELODY PERSHING 19,912.02 0411.04 0.00 0418.49 80.00 04/04/2018 BARCH, MELODY PERSHING 19,912.02 0411.04 0.00 0418.49 80.00 04/04/2018 BARCH, MELODY PERSHING 19,912.02 0411.04 0.00 0418.49 80.00 04/04/	04/04/2018	ASHBAUGH, ALAN	PERSHING	87,238.67	326.25	0.00	326.25	80.0	261.00
04/04/2018 LOVETT, DAVID PERSHING 676.11 2.53 0.00 2.53 8.00 04/04/2018 RUSK, JULIA PERSHING 69,115.23 258.47 0.00 258.47 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 -64.67 0.00 -64.67 80.00 04/04/2018 BRZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BRZYCKI, MARTIN PERSHING 11,981.52 44.81 0.00 482.73 80.00 04/04/2018 KLOC, CLIFFORD PERSHING 11,981.52 44.81 0.00 416.82 80.00 04/04/2018 KLOC, CLIFFORD PERSHING 64,830.23 242.45 0.00 916.82 00.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUSMAN, PATRICIA PERSHING 15,788.76 59.05 0.00 106.64 80.00 04/	04/04/2018	WILCOX, TIMOTHY	PERSHING	40,215.01	150.39	0.00	150.39	80.0	120.31
04/04/2018 RUSK, JULIA PERSHING 69,115.23 258.47 0.00 258.47 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 -64.67 0.00 -64.67 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 0.00 0.00 0.00 0.00 04/04.00 04/04/2018 BULLER, DOUG PERSHING 129,081.00 129,081.00 1482.73 0.00 0482.81 80.00 04/04/2018 BULLER, DOUG PERSHING 119,815.2 044.81 0.00 044.81 80.00 04/04/2018 BULLER, DOUG PERSHING 14,081.52 044.81 0.00 044.81 80.00 04/04/2018 BULLER, DOUG PERSHING 14,081.52 044.81 0.00 046.82 80.00 04/04/2018 DEGLER, DONNA PERSHING 64,830.23 042.45 0.00 0404.20 04/04/2018 HARDESTY, MARGARET PERSHING 14,216.96 531.85 0.00 0531.85 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 059.05 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 15,788.76 59.05 0.00 059.05 80.00 04/04/2018 DELANEY, DIANA PERSHING 15,788.76 59.05 0.00 059.05 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 15,788.76 050.00 0.00 0.00 0.00 0.00 04.00 04/04/2018 DELANEY, MARTHEW PERSHING 15,788.76 050.00 0.00 0.00 0.00 04.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 117.99 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 13,95.01 117.99 0.00 050.00 050.66 80.00 04/04/2018 DELANEY, MARTHEW PERSHING 15,95.01 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.00 050.0	04/04/2018	NICOLET, CHERYL	PERSHING	307,654.92	1,150.55	0.00	1,150.55	80.0	920.44
04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 -64.67 0.00 -64.67 80.00 04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 0.00 0.00 0.00 80.00 04/04/2018 BRZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BULLER, DOUG PERSHING 11,981.52 44.81 0.00 44.81 80.00 04/04/2018 BULCC, CLIFFORD PERSHING 245,158.02 916.82 0.00 242.45 80.00 04/04/2018 DEGLER, DONNA PERSHING 64,830.23 242.45 0.00 242.45 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 591.68 80.00 04/04/2018 HABDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 591.69 80.00 04/04/2018 HUSSMAN, PATRICIA PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.	04/04/2018	LOVETT, DAVID	PERSHING	676.11	2.53	0.00	2.53	80.0	2.02
04/04/2018 GALENTINE, JOSHUA PERSHING 65,433.17 0.00 0.00 0.00 0.00 80.00 04/04/2018 BRZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BULLER, DOUG PERSHING 11,981.52 44.81 0.00 44.81 80.00 04/04/2018 KLOC, CLIFFORD PERSHING 245,158.02 916.82 0.00 916.82 80.00 04/04/2018 DEGLER, DONNA PERSHING 64,830.23 242.45 0.00 242.45 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 122,788.76 531.85 0.00 59.05 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUESMAN, PATRICIA PERSHING 159.77 0.60 0.00 1,041.51 80.00 04/04/2018 DELANEY, DIANA PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 319,284.07 52	04/04/2018	RUSK, JULIA	PERSHING	69,115.23	258.47	0.00	258.47	80.0	206.78
04/04/2018 BRZYCKI, MARTIN PERSHING 129,081.90 482.73 0.00 482.73 80.00 04/04/2018 BULLER, DOUG PERSHING 11,981.52 44.81 0.00 44.81 80.00 04/04/2018 KLOC, CLIFFORD PERSHING 245,158.02 916.82 0.00 916.82 80.00 04/04/2018 DEGLER, DONNA PERSHING 64,830.23 242.45 0.00 242.45 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 142,216.96 531.85 0.00 59.05 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUESMAN, PATRICIA PERSHING 159.77 0.60 0.00 1,041.51 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 31,550.11 117.99 0.00 106.64 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 319,284.07 520.88	04/04/2018	GALENTINE, JOSHUA	PERSHING	65,433.17	-64.67	0.00	-64.67	80.0	-51.74
04/04/2018 BULLER, DOUG PERSHING 11,981.52 44.81 0.00 44.81 80.00 04/04/2018 KLOC, CLIFFORD PERSHING 245,158.02 916.82 0.00 916.82 80.00 04/04/2018 DEGLER, DONNA PERSHING 64,830.23 242.45 0.00 242.45 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 142,216.96 531.85 0.00 59.05 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUESMAN, PATRICIA PERSHING 278,499.70 1,041.51 0.00 1,041.51 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 343.76 80.00	04/04/2018	GALENTINE, JOSHUA	PERSHING	65,433.17	0.00	0.00	0.00	80.0	0.00
04/04/2018 KLOC, CLIFFORD PERSHING 245,158.02 916.82 0.00 916.82 80.00 04/04/2018 04/04/2018 DEGLER, DONNA PERSHING 64,830.23 242.45 0.00 242.45 80.00 04/04/2018 04/04/2018 HARDESTY, MARGARET PERSHING 142,216.96 531.85 0.00 531.85 80.00 04/04/2018 04/04/2018 HUESMAN, PATRICIA PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 04/04/2018 LIPSCOMB, SAMUEL PERSHING 278,499.70 1,041.51 0.00 1,041.51 80.00 04/04/2018 04/04/2018 DELANEY, DIANA PERSHING 159.77 0.60 0.00 0.60 80.00 04/06.64 80.00 04/04/2018 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 04/04/2018 DELANY, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56	04/04/2018	BRZYCKI, MARTIN	PERSHING	129,081.90	482.73	0.00	482.73	80.0	386.18
04/04/2018 DEGLER, DONNA PERSHING 64,830.23 242.45 0.00 242.45 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 142,216.96 531.85 0.00 531.85 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUESMAN, PATRICIA PERSHING 278,499.70 1,041.51 0.00 1,041.51 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, DIANA PERSHING 31,550.11 117.99 0.00 106.64 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00	04/04/2018	BULLER, DOUG	PERSHING	11,981.52	44.81	0.00	44.81	80.0	35.85
04/04/2018 HARDESTY, MARGARET PERSHING 142,216.96 531.85 0.00 531.85 80.00 04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUESMAN, PATRICIA PERSHING 278,499.70 1,041.51 0.00 1,041.51 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, DIANA PERSHING 28,515.09 106.64 0.00 106.64 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56	04/04/2018	KLOC, CLIFFORD	PERSHING	245,158.02	916.82	0.00	916.82	80.0	733.46
04/04/2018 HARDESTY, MARGARET PERSHING 15,788.76 59.05 0.00 59.05 80.00 04/04/2018 HUESMAN, PATRICIA PERSHING 278,499.70 1,041.51 0.00 1,041.51 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, DIANA PERSHING 28,515.09 106.64 0.00 106.64 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 25,409.11 95.02 0.00 <td>04/04/2018</td> <td>DEGLER, DONNA</td> <td>PERSHING</td> <td>64,830.23</td> <td>242.45</td> <td>0.00</td> <td>242.45</td> <td>80.0</td> <td>193.96</td>	04/04/2018	DEGLER, DONNA	PERSHING	64,830.23	242.45	0.00	242.45	80.0	193.96
04/04/2018 HUESMAN, PATRICIA PERSHING 278,499.70 1,041.51 0.00 1,041.51 80.00 04/04/2018 LIPSCOMB, SAMUEL PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, DIANA PERSHING 28,515.09 106.64 0.00 106.64 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 <td>04/04/2018</td> <td>HARDESTY, MARGARET</td> <td>PERSHING</td> <td>142,216.96</td> <td>531.85</td> <td>0.00</td> <td>531.85</td> <td>80.0</td> <td>425.48</td>	04/04/2018	HARDESTY, MARGARET	PERSHING	142,216.96	531.85	0.00	531.85	80.0	425.48
04/04/2018 LIPSCOMB, SAMUEL PERSHING 159.77 0.60 0.00 0.60 80.00 04/04/2018 DELANEY, DIANA PERSHING 28,515.09 106.64 0.00 106.64 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 <td< td=""><td>04/04/2018</td><td>HARDESTY, MARGARET</td><td>PERSHING</td><td>15,788.76</td><td>59.05</td><td>0.00</td><td>59.05</td><td>80.0</td><td>47.24</td></td<>	04/04/2018	HARDESTY, MARGARET	PERSHING	15,788.76	59.05	0.00	59.05	80.0	47.24
04/04/2018 DELANEY, DIANA PERSHING 28,515.09 106.64 0.00 106.64 80.00 04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.00	04/04/2018	HUESMAN, PATRICIA	PERSHING	278,499.70	1,041.51	0.00	1,041.51	80.0	833.21
04/04/2018 DELANEY, MATTHEW PERSHING 31,550.11 117.99 0.00 117.99 80.00 04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.0 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.0 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.0 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.0 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.0 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.0 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.0	04/04/2018	LIPSCOMB, SAMUEL	PERSHING	159.77	0.60	0.00	0.60	80.0	0.48
04/04/2018 KAUZLICK, MICHAEL PERSHING 139,284.07 520.88 0.00 520.88 80.00 04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.00	04/04/2018	DELANEY, DIANA	PERSHING	28,515.09	106.64	0.00	106.64	80.0	85.31
04/04/2018 ORT, LEON PERSHING 91,921.30 343.76 0.00 343.76 80.00 04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.00	04/04/2018	DELANEY, MATTHEW	PERSHING	31,550.11	117.99	0.00	117.99	80.0	94.39
04/04/2018 BUNN, LINDA PERSHING 111,903.85 418.49 0.00 418.49 80.00 04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.00	04/04/2018	KAUZLICK, MICHAEL	PERSHING	139,284.07	520.88	0.00	520.88	80.0	416.70
04/04/2018 HAHAJ, JUDITH PERSHING 25,821.17 96.56 0.00 96.56 80.00 04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.00	04/04/2018	ORT, LEON	PERSHING	91,921.30	343.76	0.00	343.76	80.0	275.01
04/04/2018 MARCH, MELODY PERSHING 109,912.02 411.04 0.00 411.04 80.00 04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.00 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.00	04/04/2018	BUNN, LINDA	PERSHING	111,903.85	418.49	0.00	418.49	80.0	334.79
04/04/2018 CLARK, KATHLEEN PERSHING 25,409.11 95.02 0.00 95.02 80.0 04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.0	04/04/2018	HAHAJ, JUDITH	PERSHING	25,821.17	96.56	0.00	96.56	80.0	77.25
04/04/2018 GRIME, LAURA PERSHING 53,335.68 199.46 0.00 199.46 80.0	04/04/2018	MARCH, MELODY	PERSHING	109,912.02	411.04	0.00	411.04	80.0	328.83
	04/04/2018	CLARK, KATHLEEN	PERSHING	25,409.11	95.02	0.00	95.02	80.0	76.02
04/04/2018 VIELKIND, LAWRENCE PERSHING 296,279.06 1,108.00 0.00 1,108.00 80.0	04/04/2018	GRIME, LAURA	PERSHING	53,335.68	199.46	0.00	199.46	80.0	159.57
	04/04/2018	VIELKIND, LAWRENCE	PERSHING	296,279.06	1,108.00	0.00	1,108.00	80.0	886.40
04/04/2018 NOLL, PRISCILLA PERSHING 357,232.92 1,335.95 0.00 1,335.95 80.0	04/04/2018	NOLL, PRISCILLA	PERSHING	357,232.92	1,335.95	0.00	1,335.95	80.0	1,068.76

53,669.00

200.71

0.00

200.71 80.0

160.57

04/04/2018

KAUZLICK, SHAWN

PERSHING

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT		INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			DIRECT TRANS	SACTIONS					
PRODUCT CA	ATEGORY: RIA								
04/04/2018	KLITZMAN, RANDALL	PERSHING		101,731.72	380.45	0.00	380.45	80.0	304.36
04/04/2018	RICHEY, BECKY	PERSHING		427,647.89	1,599.29	0.00	1,599.29	80.0	1,279.43
04/04/2018	COMMUNITY GRACE	PERSHING		89,109.97	333.25	0.00	333.25	80.0	266.60
04/04/2018	KAUFFMAN, JOHN	PERSHING		71,609.33	267.80	0.00	267.80	80.0	214.24
04/04/2018	ZAMZOW, RONALD	PERSHING		27,323.15	102.18	0.00	102.18	80.0	81.74
04/04/2018	ZAMZOW, CARRIE	PERSHING		31,793.91	118.90	0.00	118.90	80.0	95.12
04/04/2018	TUTTLE, THOMAS	PERSHING		102,723.01	384.16	0.00	384.16	80.0	307.33
04/04/2018	DRUHOT, SCOTT	PERSHING		87,808.87	328.38	0.00	328.38	80.0	262.70
04/04/2018	WEIDES, LISA	PERSHING		149,379.58	558.64	0.00	558.64	80.0	446.91
04/04/2018	IGNEY, SHELLEY	PERSHING		169,577.17	634.17	0.00	634.17	80.0	507.34
04/04/2018	BERNDT, BARBARA	PERSHING		41,516.10	155.26	0.00	155.26	80.0	124.21
04/04/2018	HOUSER, DANIEL	PERSHING		31,315.32	117.11	0.00	117.11	80.0	93.69
04/04/2018	ISLEY, TERRY	PERSHING		50,226.04	125.22	0.00	125.22	80.0	100.18
04/04/2018	AMBRIOLE, WESLEY	PERSHING		146,725.45	548.71	0.00	548.71	80.0	438.97
04/04/2018	REED, VICTORIA	PERSHING		222,968.61	833.84	0.00	833.84	80.0	667.07
04/04/2018	NOLL, PRISCILLA	PERSHING		30,309.33	113.35	0.00	113.35	80.0	90.68
04/04/2018	THORNTON, PAUL	PERSHING		417,417.82	1,040.69	0.00	1,040.69	80.0	832.55
04/04/2018	KING, PATRICIA	PERSHING		50,014.74	187.04	0.00	187.04	80.0	149.63
04/04/2018	KING, PATRICIA	PERSHING		91,972.91	343.95	0.00	343.95	80.0	275.16
04/04/2018	VIELKIND, LAWRENCE	PERSHING		54,975.32	205.59	0.00	205.59	80.0	164.47
04/04/2018	LIPSCOMB, JOAN	PERSHING		58,868.38	220.15	0.00	220.15	80.0	176.12
04/04/2018	LIPSCOMB, SAMUEL	PERSHING		58,498.37	218.77	0.00	218.77	80.0	175.02
04/04/2018	LALLO, GARY	PERSHING		337,963.70	842.59	0.00	842.59	80.0	674.07
04/04/2018	THORNTON, PAUL	PERSHING		302,427.44	754.00	0.00	754.00	80.0	603.20
04/04/2018	SHERBURNE, STEVEN	PERSHING		129,721.27	485.12	0.00	485.12	80.0	388.10
04/04/2018	BAEUERLE, JASON	PERSHING		3,500.00	12.80	0.00	12.80	80.0	10.24
04/04/2018	BAEUERLE, JASON	PERSHING		78,477.47	293.48	0.00	293.48	80.0	234.78
04/04/2018	WITMER, R KARIN	PERSHING		8,037.53	16.85	0.00	16.85	80.0	13.48
04/04/2018	WITMER, R KARIN	PERSHING		64,628.05	241.69	0.00	241.69	80.0	193.35
04/04/2018	KAUFFMAN, JAN	PERSHING		25,000.00	26.71	0.00	26.71	80.0	21.37
04/04/2018	KAUFFMAN, JAN	PERSHING		25,004.72	93.51	0.00	93.51	80.0	74.81
03/28/2018	GALENTINE, JOSHUA	TD AMERITRADE		65,197.78	40.19	0.00	40.19	80.0	32.15
			* RIA SUBTOTAL *	\$9,623,814.45	\$33,094.79	\$0.00	\$33,094.79		\$26,475.83

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANS	SACTIONS					
		*** DIRECT TRANSACTIONS TOTAL ***	\$9,623,814.45	\$33,094.79	\$0.00	\$33,094.79		\$26,475.83
		SPLIT TRANS	SACTIONS					
BROKER: L1	31-KEITH/JOE/FCCU LAY	MAN/WIEGAND/ #209						
04/04/2018	HAMM, GAIL	PERSHING	224,895.65	294.36	0.00	294.36	80.0	235.49
04/04/2018	HAMM, GAIL	PERSHING	100,316.52	131.30	0.00	131.30	80.0	105.04
04/04/2018	HAMM, GAIL	PERSHING	112,172.07	146.82	0.00	146.82	80.0	117.46
04/04/2018	EISERLE, DAVID	PERSHING	17,255.08	22.58	0.00	22.58	80.0	18.06
04/04/2018	NOVELL, DEANA	PERSHING	103,220.92	135.10	0.00	135.10	80.0	108.08
04/04/2018	GROTE, DONALD	PERSHING	109,348.68	143.12	0.00	143.12	80.0	114.50
04/04/2018	GROTE, DONALD	PERSHING	29,950.49	39.21	0.00	39.21	80.0	31.37
04/04/2018	CHIDDISTER, LISA	PERSHING	94.22	0.12	0.00	0.12	80.0	0.10
04/04/2018	KAISER, ROBIN	PERSHING	47,922.83	62.72	0.00	62.72	80.0	50.18
04/04/2018	KAISER, ROBERT	PERSHING	15,388.77	20.14	0.00	20.14	80.0	16.11
04/04/2018	GAY, RICHARD	PERSHING	22,742.42	29.76	0.00	29.76	80.0	23.81
04/04/2018	SORG, RICHARD	PERSHING	80,777.03	70.48	0.00	70.48	80.0	56.38
04/04/2018	BURTNETTE, PATRICIA	PERSHING	390,206.69	340.50	0.00	340.50	80.0	272.40
04/04/2018	BAILEY, LAURIE	PERSHING	43,938.37	57.51	0.00	57.51	80.0	46.01
04/04/2018	CAIN, LISA	PERSHING	28,266.04	32.52	0.00	32.52	80.0	26.02
04/04/2018	CAIN, LISA	PERSHING	27,044.11	35.40	0.00	35.40	80.0	28.32
04/04/2018	CAIN, LISA	PERSHING	29,431.83	33.86	0.00	33.86	80.0	27.09
04/04/2018	CAIN, LISA	PERSHING	28,302.74	37.05	0.00	37.05	80.0	29.64
	* L131	-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$1,411,274.46	\$1,632.55	\$0.00	\$1,632.55		\$1,306.06

\$1,411,274.46

\$1,632.55

\$0.00

\$1,632.55

\$1,306.06

*** SPLIT TRANSACTIONS TOTAL ***

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		OVERRIDE TRA	NSACTIONS					
BROKER: WI	LLIAM, L129 LAYMAN	#207						
12/13/2017	BOUTWELL, SHANE	AMERICAN FUNDS	0.00	43.73	0.00	43.73	45.0	19.68
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	10.36	0.00	10.36	45.0	4.66
12/13/2017	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	0.00	12.58	0.00	12.58	45.0	5.66
12/13/2017	LAMOTT, KEVIN	AMERICAN FUNDS	0.00	0.06	0.00	0.06	45.0	0.03
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,424.80	19.02	0.00	19.02	45.0	8.56
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,357.05	21.40	0.00	21.40	45.0	9.63
03/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	67,936.22	83.76	0.00	83.76	45.0	37.69
03/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	309.86	0.38	0.00	0.38	45.0	0.17
		* WILLIAM, L129 LAYMAN SUBTOTAL *	\$101,027.93	\$191.29	\$0.00	\$191.29		\$86.08
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$101,027.93	\$191.29	\$0.00	\$191.29		\$86.08
		ADJUSTM	ENTS					
04/15/2018		*PERSHING: MF PROSPECTUS	0.00					-2.70
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-2.70

BROKER COMMISSION TOTALS \$26,475.83 Broker Transactions \$1,306.06 Split Transactions \$86.08 Override Transactions Adjustments \$-2.70 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$27,865.27 Check Amount \$129,917.94 Year-to-date Gross Year-to-date Earnings \$104,548.58