



Concorde Investment Services, LLC

03/13/2018

Page No. 1

# COMMISSION STATEMENT

March 15, 2018

KEITH, L128 LAYMAN  
4321 Flagstaff

BROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/07/2018	JOHNSON, JAMES	FIDELITY COVINGTON TR MSCI ENERGY I	8,032.36	0.00	22.64	-22.64	80.0	-18.11
03/06/2018	JOHNSON, JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	12,258.82	0.00	22.50	-22.50	80.0	-18.00
03/07/2018	JOHNSON, JAMES	VANGUARD S&P 500 ETF	6,976.26	0.00	22.50	-22.50	80.0	-18.00
* STOCKS SUBTOTAL *			<b>\$27,267.44</b>	<b>\$0.00</b>	<b>\$67.64</b>	<b>\$-67.64</b>		<b>\$-54.11</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$27,267.44</b>	<b>\$0.00</b>	<b>\$67.64</b>	<b>\$-67.64</b>		<b>\$-54.11</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
02/27/2018	PEPPLE, GLEN	BR TOTAL INC & REAL ESTATE FUND C	16,423.17	13.95	0.00	13.95	80.0	11.16
02/27/2018	WILLING, LEONARD	BR TOTAL INC & REAL ESTATE FUND C	42,188.65	35.83	0.00	35.83	80.0	28.66
02/27/2018	MURPHY, SUSAN	BR TOTAL INC & REAL ESTATE FUND C	53,032.63	45.04	0.00	45.04	80.0	36.03
02/27/2018	LOWDEN TRUST, KATHERINE	BR TOTAL INC & REAL ESTATE FUND C	44,400.13	37.71	0.00	37.71	80.0	30.17
02/27/2018	ZINN, JEANETTE	BR TOTAL INC & REAL ESTATE FUND C	75,778.63	64.36	0.00	64.36	80.0	51.49
02/27/2018	DERBYSHIRE TRUST, KAREN	BR TOTAL INC & REAL ESTATE FUND C	27,983.34	23.77	0.00	23.77	80.0	19.02
02/27/2018	DERBYSHIRE, JAMES	BR TOTAL INC & REAL ESTATE FUND C	27,728.00	23.55	0.00	23.55	80.0	18.84
02/27/2018	HARMEYER, SHARYN	BR TOTAL INC & REAL ESTATE FUND C	44,358.20	37.68	0.00	37.68	80.0	30.14
02/27/2018	BYRUM, GERALD	BR TOTAL INC & REAL ESTATE FUND C	49,435.06	41.99	0.00	41.99	80.0	33.59
02/27/2018	BUSH, SANDRA	BR TOTAL INC & REAL ESTATE FUND C	38,415.71	32.63	0.00	32.63	80.0	26.10
02/27/2018	DIETSCH, RHONDA	BR TOTAL INC & REAL ESTATE FUND C	32,877.33	27.92	0.00	27.92	80.0	22.34
01/31/2018	LAYMAN, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	43,310.95	36.79	0.00	36.79	80.0	29.43
01/31/2018	ZINN, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	70,822.03	60.15	0.00	60.15	80.0	48.12
01/31/2018	LAYMAN, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	52,977.27	45.00	0.00	45.00	80.0	36.00
* INTERVAL FUNDS SUBTOTAL *			<b>\$619,731.10</b>	<b>\$526.37</b>	<b>\$0.00</b>	<b>\$526.37</b>		<b>\$421.09</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: 529 PLANS</b>								
02/23/2018	GEVERINK, ANN	INA AMER FDS EUROPAC GROWT A	30.00	1.20	0.00	1.20	80.0	0.96
02/28/2018	SAYA, CHRISTINE	INA AMER FDS EUROPAC GROWT A	2.50	0.12	0.00	0.12	80.0	0.10
03/08/2018	SIEGER, NORMAN	INA AMER FDS EUROPAC GROWT A	42.00	2.00	0.00	2.00	80.0	1.60
02/23/2018	GEVERINK, ANN	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.00	0.00	2.00	80.0	1.60
02/28/2018	SAYA, CHRISTINE	INA BOSTON COMPANY DYNAMIC LARGE CA	8.75	0.42	0.00	0.42	80.0	0.34
03/02/2018	GALENTINE, ASHLEY	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
03/07/2018	GOODMAN, LAUREN	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
03/07/2018	GOODMAN, LAUREN	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
03/08/2018	SIEGER, NORMAN	INA BOSTON COMPANY DYNAMIC LARGE CA	168.00	7.98	0.00	7.98	80.0	6.38
02/23/2018	GEVERINK, ANN	INA DIAMOND HILL SM-MID CAP PORTF A	30.00	1.20	0.00	1.20	80.0	0.96
02/28/2018	FREEZE, CORTNEY	INA DIAMOND HILL SM-MID CAP PORTF A	18.00	0.86	0.00	0.86	80.0	0.69
02/21/2018	LINSKY, MARCIA	INA SHORT TERM TREAS INDEX PORT A	1,500.00	48.75	0.00	48.75	80.0	39.00
03/07/2018	GOODMAN, LAUREN	INA SHORT TERM TREAS INDEX PORT A	50.00	1.63	0.00	1.63	80.0	1.30
03/07/2018	GOODMAN, LAUREN	INA SHORT TERM TREAS INDEX PORT A	50.00	1.63	0.00	1.63	80.0	1.30
02/21/2018	LINSKY, MARCIA	INA TIPS INDEX PORT CLASS A	750.00	24.38	0.00	24.38	80.0	19.50
03/07/2018	GOODMAN, LAUREN	INA TIPS INDEX PORT CLASS A	50.00	1.63	0.00	1.63	80.0	1.30
03/07/2018	GOODMAN, LAUREN	INA TIPS INDEX PORT CLASS A	50.00	1.63	0.00	1.63	80.0	1.30
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$2,949.25</b>	<b>\$102.57</b>	<b>\$0.00</b>	<b>\$102.57</b>		<b>\$82.03</b>

**PRODUCT CATEGORY: MUTUAL FUNDS**

03/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	80.0	3.40
02/20/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	300.00	3.60	0.00	3.60	80.0	2.88
02/23/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	80.0	1.10
02/28/2018	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	80.0	1.54
02/28/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	80.0	4.00
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
02/20/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	300.00	3.60	0.00	3.60	80.0	2.88
02/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	80.0	1.43
02/28/2018	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	80.0	1.32
03/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	80.0	1.80
02/20/2018	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	80.0	2.00
02/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	80.0	1.65

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/28/2018	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	80.0	1.10
02/28/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	80.0	1.70
03/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	80.0	1.80
03/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	80.0	11.00
03/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	80.0	1.50
02/23/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	80.0	1.65
02/26/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	80.0	1.70
02/28/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	80.0	3.40
03/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
02/20/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	300.00	3.60	0.00	3.60	80.0	2.88
02/23/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	80.0	1.21
02/28/2018	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	80.0	1.10
02/28/2018	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	80.0	0.80
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	80.0	0.80
02/20/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	300.00	3.60	0.00	3.60	80.0	2.88
03/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	4.00
02/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	80.0	1.43
02/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	80.0	1.43
03/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
02/28/2018	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	80.0	0.80
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	80.0	0.96
03/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
02/28/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	80.0	3.40
02/21/2018	LINSKY, MARCIA	ASCENSUS INA PIMCO TOTAL RETURN POR	750.00	24.38	0.00	24.38	80.0	19.50
02/23/2018	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	80.0	1.04
02/28/2018	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	80.0	0.13
03/07/2018	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	80.0	1.30
03/07/2018	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	80.0	1.30
03/08/2018	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	42.00	1.37	0.00	1.37	80.0	1.10
02/23/2018	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.00	0.00	2.00	80.0	1.60

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/28/2018	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	80.0	0.34
03/02/2018	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	80.0	1.90
03/08/2018	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	168.00	7.98	0.00	7.98	80.0	6.38
02/28/2018	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	80.0	0.26
02/28/2018	FREEZE, CORTNEY	INA INTER EQTY INDEX PORTF A	25.00	1.19	0.00	1.19	80.0	0.95
02/28/2018	FREEZE, CORTNEY	INA LG CAP INDEX PORT CLASS A	47.00	2.23	0.00	2.23	80.0	1.78
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$6,055.75</b>	<b>\$175.24</b>	<b>\$0.00</b>	<b>\$175.24</b>		<b>\$140.12</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/28/2018	POSTMA, THELMA	JNL PERSPECTIVE II	20,380.89	8.49	0.00	8.49	80.0	6.79
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$20,380.89</b>	<b>\$8.49</b>	<b>\$0.00</b>	<b>\$8.49</b>		<b>\$6.79</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	WALLACE, BARBARA	AM FUNDS AMCAP A	0.00	0.55	0.00	0.55	80.0	0.44
03/01/2018	SAINSBURY, DALE	AM FUNDS AMCAP A	0.00	1.37	0.00	1.37	80.0	1.10
03/01/2018	CSUHTA, JULIE	AM FUNDS AMCAP A	0.00	6.82	0.00	6.82	80.0	5.46
03/01/2018	WIGGINS, CHRISTA	AM FUNDS AMCAP A	0.00	1.71	0.00	1.71	80.0	1.37
03/01/2018	LOWDEN, KATHERINE	AM FUNDS AMCAP A	0.00	9.68	0.00	9.68	80.0	7.74
03/01/2018	IN/TOD	AM FUNDS AMCAP A	0.00	1.76	0.00	1.76	80.0	1.41
03/01/2018	IN/TOD	AM FUNDS AMCAP A	0.00	0.40	0.00	0.40	80.0	0.32
03/01/2018	SLATER, JUSTIN	AM FUNDS AMCAP F1	0.00	0.11	0.00	0.11	80.0	0.09
03/01/2018	GOODMAN, LAUREN	AM FUNDS AMERICAN BALANCED 529A	0.00	14.46	0.00	14.46	80.0	11.57
03/01/2018	GOODMAN, LAUREN	AM FUNDS AMERICAN BALANCED 529A	0.00	11.99	0.00	11.99	80.0	9.59
03/01/2018	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	0.00	8.04	0.00	8.04	80.0	6.43
02/21/2018	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.77	0.00	0.77	80.0	0.62
02/21/2018	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.89	0.00	0.89	80.0	0.71
02/21/2018	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.24	0.00	1.24	80.0	0.99
02/21/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	80.0	0.38
02/21/2018	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	12.73	0.00	12.73	80.0	10.18
02/21/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.54	0.00	0.54	80.0	0.43
02/21/2018	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.74	0.00	0.74	80.0	0.59
03/01/2018	PIERCE, MARILYN	AM FUNDS AMERICAN BALANCED A	0.00	6.01	0.00	6.01	80.0	4.81
03/01/2018	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	0.00	10.57	0.00	10.57	80.0	8.46
03/01/2018	SHANK, DEAN	AM FUNDS AMERICAN BALANCED A	0.00	1.39	0.00	1.39	80.0	1.11

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	80.0	0.66
03/01/2018	BROCKMANO, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	80.0	1.13
03/01/2018	MARTZ, PHILLIS	AM FUNDS AMERICAN BALANCED A	0.00	12.16	0.00	12.16	80.0	9.73
03/01/2018	WINDSOR, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	3.94	0.00	3.94	80.0	3.15
03/01/2018	SEWARD, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	1.65	0.00	1.65	80.0	1.32
03/01/2018	MEIER, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	2.43	0.00	2.43	80.0	1.94
03/01/2018	BURNS, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	2.59	0.00	2.59	80.0	2.07
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS AMERICAN BALANCED A	0.00	10.07	0.00	10.07	80.0	8.06
03/01/2018	MILLER, JULIE	AM FUNDS AMERICAN BALANCED A	0.00	8.84	0.00	8.84	80.0	7.07
03/01/2018	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	0.00	18.69	0.00	18.69	80.0	14.95
03/01/2018	ZINN, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	6.33	0.00	6.33	80.0	5.06
03/01/2018	ISLEY, CATHY	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	80.0	0.24
03/01/2018	KAGE, JENNIFER	AM FUNDS AMERICAN BALANCED A	0.00	11.74	0.00	11.74	80.0	9.39
03/01/2018	AMARO, SOPHIA	AM FUNDS AMERICAN BALANCED A	0.00	0.73	0.00	0.73	80.0	0.58
03/01/2018	THOMAS, BRADFORD	AM FUNDS AMERICAN BALANCED A	0.00	7.55	0.00	7.55	80.0	6.04
03/01/2018	NICOLET, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	25.25	0.00	25.25	80.0	20.20
03/01/2018	MULDOON, MARGARET	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	80.0	1.22
03/01/2018	WILKIN, CYNTHIA	AM FUNDS AMERICAN BALANCED A	0.00	15.24	0.00	15.24	80.0	12.19
03/01/2018	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	10.74	0.00	10.74	80.0	8.59
03/01/2018	DAVIS, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	2.95	0.00	2.95	80.0	2.36
03/01/2018	WILKIN, CYNTHIA	AM FUNDS AMERICAN BALANCED A	0.00	3.51	0.00	3.51	80.0	2.81
03/01/2018	MURPHY, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	1.39	0.00	1.39	80.0	1.11
03/01/2018	ALLAN, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	4.63	0.00	4.63	80.0	3.70
03/01/2018	GOODMAN, STEPHANIE	AM FUNDS AMERICAN BALANCED A	0.00	3.72	0.00	3.72	80.0	2.98
03/01/2018	COCKROFT, EDYTHE	AM FUNDS AMERICAN BALANCED A	0.00	12.41	0.00	12.41	80.0	9.93
03/01/2018	SIEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	3.90	0.00	3.90	80.0	3.12
03/01/2018	BUSH, SANDRA	AM FUNDS AMERICAN BALANCED A	0.00	0.96	0.00	0.96	80.0	0.77
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	0.00	12.08	0.00	12.08	80.0	9.66
03/01/2018	MARCH, MELODY	AM FUNDS AMERICAN BALANCED A	0.00	3.37	0.00	3.37	80.0	2.70
03/01/2018	FLEEGER, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	1.85	0.00	1.85	80.0	1.48
03/01/2018	CASEY, GISELA	AM FUNDS AMERICAN BALANCED A	0.00	9.41	0.00	9.41	80.0	7.53
03/01/2018	MCARDLE, DANIEL	AM FUNDS AMERICAN BALANCED A	0.00	37.44	0.00	37.44	80.0	29.95
03/01/2018	ALLAN, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	3.55	0.00	3.55	80.0	2.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	WHEELER, MARY	AM FUNDS AMERICAN BALANCED A	0.00	2.88	0.00	2.88	80.0	2.30
03/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	2.01	0.00	2.01	80.0	1.61
03/01/2018	AMARO, ETHAN	AM FUNDS AMERICAN BALANCED A	0.00	1.45	0.00	1.45	80.0	1.16
03/01/2018	NICOLET, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	13.20	0.00	13.20	80.0	10.56
03/01/2018	KUMFER, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	14.69	0.00	14.69	80.0	11.75
03/01/2018	HELLER, ADAM	AM FUNDS AMERICAN BALANCED A	0.00	7.00	0.00	7.00	80.0	5.60
03/01/2018	MEIER, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.68	0.00	0.68	80.0	0.54
03/01/2018	BLAIR, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	7.00	0.00	7.00	80.0	5.60
03/01/2018	LAYMAN, VICKI	AM FUNDS AMERICAN BALANCED A	0.00	10.57	0.00	10.57	80.0	8.46
03/01/2018	SIEGER, RODNEY	AM FUNDS AMERICAN BALANCED A	0.00	5.69	0.00	5.69	80.0	4.55
03/01/2018	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	10.14	0.00	10.14	80.0	8.11
03/01/2018	DURKES, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	KILLION, NICHOLAS	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	80.0	0.22
03/01/2018	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	0.00	7.27	0.00	7.27	80.0	5.82
03/01/2018	THURSTON, TIMOTHY	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	80.0	0.17
03/01/2018	FREEZE, MONTE	AM FUNDS AMERICAN BALANCED A	0.00	1.31	0.00	1.31	80.0	1.05
03/01/2018	BYRUM JR, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	2.45	0.00	2.45	80.0	1.96
03/01/2018	FEIPEL, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	80.0	0.37
03/01/2018	SIEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	80.0	0.34
03/01/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	0.00	4.93	0.00	4.93	80.0	3.94
03/01/2018	MCGUIRE, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	4.49	0.00	4.49	80.0	3.59
03/01/2018	VLOT, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	6.03	0.00	6.03	80.0	4.82
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	5.28	0.00	5.28	80.0	4.22
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	2.96	0.00	2.96	80.0	2.37
03/01/2018	LOWDEN, KATHERINE	AM FUNDS AMERICAN BALANCED A	0.00	37.55	0.00	37.55	80.0	30.04
03/01/2018	RICKER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	3.45	0.00	3.45	80.0	2.76
03/01/2018	RICKER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.35	0.00	2.35	80.0	1.88
03/01/2018	COCKROFT, EDYA	AM FUNDS AMERICAN BALANCED A	0.00	2.79	0.00	2.79	80.0	2.23
03/01/2018	RATTY, MINDY	AM FUNDS AMERICAN BALANCED A	0.00	0.60	0.00	0.60	80.0	0.48
03/01/2018	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	2.42	0.00	2.42	80.0	1.94
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	CAPPLEMAN, WADE	AM FUNDS AMERICAN BALANCED A	0.00	1.65	0.00	1.65	80.0	1.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	RATTY, SKYLER	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	80.0	0.74
03/01/2018	RATTY, MARY	AM FUNDS AMERICAN BALANCED A	0.00	3.49	0.00	3.49	80.0	2.79
03/01/2018	SHERBURNE, STEVEN	AM FUNDS AMERICAN BALANCED A	0.00	45.72	0.00	45.72	80.0	36.58
03/01/2018	PEPPLE, EVELYN	AM FUNDS AMERICAN BALANCED A	0.00	2.70	0.00	2.70	80.0	2.16
03/01/2018	PEPPLE, GRADEN	AM FUNDS AMERICAN BALANCED A	0.00	1.57	0.00	1.57	80.0	1.26
03/01/2018	MCFEETERS, VALERIE	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	LINSKY, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	9.78	0.00	9.78	80.0	7.82
03/01/2018	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	1.77	0.00	1.77	80.0	1.42
03/01/2018	MATTHEWS, GAIL	AM FUNDS AMERICAN BALANCED A	0.00	2.42	0.00	2.42	80.0	1.94
03/01/2018	REMENSCHNEIDER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	25.02	0.00	25.02	80.0	20.02
03/01/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	80.0	0.24
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN BALANCED A	0.00	1.24	0.00	1.24	80.0	0.99
03/01/2018	LUDWIG, KENDRA	AM FUNDS AMERICAN BALANCED A	0.00	30.88	0.00	30.88	80.0	24.70
03/01/2018	PEARSON, JOAN	AM FUNDS AMERICAN BALANCED A	0.00	7.07	0.00	7.07	80.0	5.66
03/01/2018	PEARSON, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	15.72	0.00	15.72	80.0	12.58
03/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	3.16	0.00	3.16	80.0	2.53
03/01/2018	STEBING, ADDISON	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	80.0	0.35
03/01/2018	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	5.00	0.00	5.00	80.0	4.00
03/01/2018	RHEN, JOLYN	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	80.0	0.41
03/01/2018	GREEN, KYLE	AM FUNDS AMERICAN BALANCED A	0.00	1.57	0.00	1.57	80.0	1.26
03/01/2018	HINTON, EMILY	AM FUNDS AMERICAN BALANCED A	0.00	4.36	0.00	4.36	80.0	3.49
03/01/2018	JINES, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	1.96	0.00	1.96	80.0	1.57
03/01/2018	DURKES, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	7.64	0.00	7.64	80.0	6.11
03/01/2018	WHITE, TAYLOR	AM FUNDS AMERICAN BALANCED A	0.00	1.17	0.00	1.17	80.0	0.94
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	1.77	0.00	1.77	80.0	1.42
03/01/2018	HOSKINS, AUSTIN	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	80.0	0.34
03/01/2018	ABERCROMBIE, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.92	0.00	0.92	80.0	0.74
03/01/2018	LOWDEN, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	3.24	0.00	3.24	80.0	2.59
03/01/2018	HERBER, MARK	AM FUNDS AMERICAN BALANCED A	0.00	12.53	0.00	12.53	80.0	10.02
03/01/2018	O'CONNELL, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	3.88	0.00	3.88	80.0	3.10
03/01/2018	DRUHOT, LESLIE	AM FUNDS AMERICAN BALANCED A	0.00	0.81	0.00	0.81	80.0	0.65
03/01/2018	WILSON, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.15	0.00	2.15	80.0	1.72
03/01/2018	HENRY, JEANNE	AM FUNDS AMERICAN BALANCED A	0.00	4.81	0.00	4.81	80.0	3.85

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	LYTLE, KEAN	AM FUNDS AMERICAN BALANCED A	0.00	2.85	0.00	2.85	80.0	2.28
03/01/2018	KAUFFMAN, ALLISON	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	CAPPLEMAN, WADE	AM FUNDS AMERICAN BALANCED A	0.00	0.74	0.00	0.74	80.0	0.59
03/01/2018	HERBER, MARK	AM FUNDS AMERICAN BALANCED A	0.00	3.13	0.00	3.13	80.0	2.50
03/01/2018	MURPHY, SHARI	AM FUNDS AMERICAN BALANCED A	0.00	68.25	0.00	68.25	80.0	54.60
03/01/2018	MURPHY, SHARI	AM FUNDS AMERICAN BALANCED A	0.00	4.08	0.00	4.08	80.0	3.26
03/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	0.81	0.00	0.81	80.0	0.65
03/01/2018	MURPHY, LOU	AM FUNDS AMERICAN BALANCED A	0.00	1.40	0.00	1.40	80.0	1.12
03/01/2018	MURPHY, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	7.04	0.00	7.04	80.0	5.63
03/01/2018	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	0.00	2.22	0.00	2.22	80.0	1.78
03/01/2018	RAMEY, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	1.56	0.00	1.56	80.0	1.25
03/01/2018	RAMEY, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	1.54	0.00	1.54	80.0	1.23
03/01/2018	ZEEDYK, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.00	0.00	2.00	80.0	1.60
03/01/2018	IN/TOD	AM FUNDS AMERICAN BALANCED A	0.00	2.87	0.00	2.87	80.0	2.30
03/01/2018	FOSNAUGH, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	2.55	0.00	2.55	80.0	2.04
03/01/2018	UNTIL AGE 21, IN/UTMA	AM FUNDS AMERICAN BALANCED A	0.00	0.70	0.00	0.70	80.0	0.56
03/01/2018	PELFREY, KRISTEN	AM FUNDS AMERICAN BALANCED A	0.00	0.57	0.00	0.57	80.0	0.46
03/01/2018	PELFREY, TODD	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	80.0	0.90
03/01/2018	BENEFICIARY, ELLIOTT	AM FUNDS AMERICAN BALANCED A	0.00	7.53	0.00	7.53	80.0	6.02
03/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	80.0	0.22
03/01/2018	BURNS-PAITAN, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	0.89	0.00	0.89	80.0	0.71
03/01/2018	IN/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
03/01/2018	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	NORRIS, DANAE	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	80.0	0.06
03/01/2018	SAINSBURY, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
03/01/2018	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED C	0.00	9.29	0.00	9.29	80.0	7.43
03/01/2018	NEWBAUER, SARAH	AM FUNDS AMERICAN BALANCED C	0.00	4.11	0.00	4.11	80.0	3.29
02/21/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.47	0.00	0.47	80.0	0.38
02/21/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	PATTERSON, JANITA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.16	0.00	5.16	80.0	4.13
03/01/2018	SHANK, DEAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.52	0.00	1.52	80.0	1.22
03/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.89	0.00	0.89	80.0	0.71
03/01/2018	BROCKMANO, EDWARD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.19	0.00	0.19	80.0	0.15

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.04	0.00	7.04	80.0	5.63
03/01/2018	PATTERSON, JANITA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	8.91	0.00	8.91	80.0	7.13
03/01/2018	WILKIN, CYNTHIA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.13	0.00	7.13	80.0	5.70
03/01/2018	COCKROFT, EDYTHE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	SIEBER, PAUL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.43	0.00	4.43	80.0	3.54
03/01/2018	BUSH, SANDRA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.69	0.00	4.69	80.0	3.75
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.74	0.00	5.74	80.0	4.59
03/01/2018	RATTY, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.87	0.00	1.87	80.0	1.50
03/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.77	0.00	3.77	80.0	3.02
03/01/2018	KUMFER, JOSEPH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	9.46	0.00	9.46	80.0	7.57
03/01/2018	HELLER, ADAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.09	0.00	4.09	80.0	3.27
03/01/2018	SHANK, CAROLE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	80.0	0.59
03/01/2018	BLAIR, BRIAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.40	0.00	3.40	80.0	2.72
03/01/2018	LOWDEN, KATHERINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	25.25	0.00	25.25	80.0	20.20
03/01/2018	COCKROFT, EDYA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.43	0.00	0.43	80.0	0.34
03/01/2018	RATTY, MARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.07	0.00	2.07	80.0	1.66
03/01/2018	SHADY, SCOT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.03	0.00	0.03	80.0	0.02
03/01/2018	COCKROFT, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.31	0.00	1.31	80.0	1.05
03/01/2018	DURKES, DEBRA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.23	0.00	3.23	80.0	2.58
03/01/2018	COGDELL, CARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.97	0.00	4.97	80.0	3.98
03/01/2018	LOWDEN, JAMES	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.85	0.00	3.85	80.0	3.08
03/01/2018	HERBER, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.69	0.00	6.69	80.0	5.35
03/01/2018	LYTLE, KEAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.91	0.00	0.91	80.0	0.73
03/01/2018	PELFREY, KRISTEN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	PELFREY, TODD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.53	0.00	0.53	80.0	0.42
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	14.27	0.00	14.27	80.0	11.42
03/01/2018	LINSKY, MARCIA	AM FUNDS AMERICAN MUTUAL A	0.00	10.22	0.00	10.22	80.0	8.18
03/01/2018	SHADY, SCOT	AM FUNDS AMERICAN MUTUAL A	0.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	BAILEY, RICHARD	AM FUNDS BALANCED PORT A	0.00	157.14	0.00	157.14	80.0	125.71
02/21/2018	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	SHANK, DEAN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.10	0.00	1.10	80.0	0.88
03/01/2018	SHANK, CAROLE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.65	0.00	0.65	80.0	0.52
03/01/2018	SIEBER, PAUL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.16	0.00	3.16	80.0	2.53

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	NEACE, VICTORIA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	80.0	0.38
03/01/2018	RATTY, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	1.17	0.00	1.17	80.0	0.94
03/01/2018	SHANK, CAROLE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	COCKROFT, EDYA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.80	0.00	0.80	80.0	0.64
03/01/2018	RATTY, MARY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.94	0.00	1.94	80.0	1.55
03/01/2018	PEARSON, JOAN	AM FUNDS BOND FUND OF AMERICA A	0.00	4.62	0.00	4.62	80.0	3.70
03/01/2018	PEARSON, EDWIN	AM FUNDS BOND FUND OF AMERICA A	0.00	10.40	0.00	10.40	80.0	8.32
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS BOND FUND OF AMERICA A	0.00	0.10	0.00	0.10	80.0	0.08
03/01/2018	COGDELL, CARY	AM FUNDS BOND FUND OF AMERICA A	0.00	3.54	0.00	3.54	80.0	2.83
03/01/2018	LOWDEN, JAMES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.63	0.00	2.63	80.0	2.10
03/01/2018	O'CONNELL, KATHLEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.53	0.00	1.53	80.0	1.22
03/01/2018	HELLER, ADAM	AM FUNDS BOND FUND OF AMERICA A	0.00	2.02	0.00	2.02	80.0	1.62
03/01/2018	RAMEY, MELISSA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	80.0	0.38
03/01/2018	IN/TOD	AM FUNDS BOND FUND OF AMERICA A	0.00	1.46	0.00	1.46	80.0	1.17
03/01/2018	PELFREY, KRISTEN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.49	0.00	0.49	80.0	0.39
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS BOND FUND OF AMERICA C	0.00	15.93	0.00	15.93	80.0	12.74
03/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.42	0.00	2.42	80.0	1.94
03/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.02	0.00	2.02	80.0	1.62
03/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	7.18	0.00	7.18	80.0	5.74
03/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.17	0.00	0.17	80.0	0.14
02/21/2018	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.49	0.00	1.49	80.0	1.19
02/21/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	80.0	0.66
02/21/2018	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.54	0.00	9.54	80.0	7.63
03/01/2018	POORMAN, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.55	0.00	2.55	80.0	2.04
03/01/2018	PIERCE, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.53	0.00	4.53	80.0	3.62
03/01/2018	TIMMS, JOAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.67	0.00	22.67	80.0	18.14
03/01/2018	PINNELL, J MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	80.0	0.79
03/01/2018	SIMPSON, LYLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.02	0.00	5.02	80.0	4.02
03/01/2018	SIMPSON, KATHRYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.51	0.00	6.51	80.0	5.21
03/01/2018	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.85	0.00	3.85	80.0	3.08
03/01/2018	BROCKMANO, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	80.0	0.64
03/01/2018	MARTZ, PHILLIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.90	0.00	11.90	80.0	9.52
03/01/2018	WINDSOR, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	80.0	1.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	MURPHY, DANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.49	0.00	0.49	80.0	0.39
03/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.08	0.00	2.08	80.0	1.66
03/01/2018	BURNS, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.22	0.00	3.22	80.0	2.58
03/01/2018	BURNS, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.94	0.00	0.94	80.0	0.75
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.65	0.00	2.65	80.0	2.12
03/01/2018	MILLER, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.72	0.00	6.72	80.0	5.38
03/01/2018	BOKHART, GORDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.63	0.00	6.63	80.0	5.30
03/01/2018	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.23	0.00	8.23	80.0	6.58
03/01/2018	MARCH, MELODY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.39	0.00	1.39	80.0	1.11
03/01/2018	OAKES, ERIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.05	0.00	1.05	80.0	0.84
03/01/2018	MILLER, DANIEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	0.00	1.86	80.0	1.49
03/01/2018	PATEL, GITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.54	0.00	8.54	80.0	6.83
03/01/2018	CLARK, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	80.0	0.78
03/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.46	0.00	6.46	80.0	5.17
03/01/2018	CSUHTA, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.13	0.00	2.13	80.0	1.70
03/01/2018	NICOLET, CHERYL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.64	0.00	24.64	80.0	19.71
03/01/2018	WILKIN, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.54	0.00	7.54	80.0	6.03
03/01/2018	MCARDLE, SHAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	72.83	0.00	72.83	80.0	58.26
03/01/2018	MCARDLE, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.13	0.00	7.13	80.0	5.70
03/01/2018	GOODMAN, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.10	0.00	1.10	80.0	0.88
03/01/2018	URICK, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.35	0.00	3.35	80.0	2.68
03/01/2018	BUSH, SANDRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.73	0.00	0.73	80.0	0.58
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.86	0.00	5.86	80.0	4.69
03/01/2018	O'LAUGHLIN, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.03	0.00	5.03	80.0	4.02
03/01/2018	ALLAN, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.39	0.00	2.39	80.0	1.91
03/01/2018	LAWSON TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.95	0.00	20.95	80.0	16.76
03/01/2018	KUNKEL, AMELIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.15	0.00	2.15	80.0	1.72
03/01/2018	DEATRICK, AMANDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.70	0.00	13.70	80.0	10.96
03/01/2018	MUSKIN, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	80.0	0.22
03/01/2018	MUSKIN, VERONICA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.57	0.00	3.57	80.0	2.86
03/01/2018	OAKES, ERIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	80.0	0.32
03/01/2018	MULDOON, MARGARET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.46	0.00	1.46	80.0	1.17
03/01/2018	COGDELL, CARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.14	0.00	2.14	80.0	1.71

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	COGDELL, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.26	0.00	1.26	80.0	1.01
03/01/2018	NICOLET, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.51	0.00	18.51	80.0	14.81
03/01/2018	KUMFER, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.18	0.00	5.18	80.0	4.14
03/01/2018	HERBER, TRINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.10	0.00	3.10	80.0	2.48
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	80.0	0.38
03/01/2018	OTIS, JASON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	80.0	0.38
03/01/2018	SAMELS, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	80.0	0.14
03/01/2018	LINSKY, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.20	0.00	30.20	80.0	24.16
03/01/2018	STANSBERRY, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.59	0.00	1.59	80.0	1.27
03/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.22	0.00	0.22	80.0	0.18
03/01/2018	OAKES, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.22	0.00	0.22	80.0	0.18
03/01/2018	BLAIR, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.53	0.00	2.53	80.0	2.02
03/01/2018	ZINN, JEANNETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.77	0.00	7.77	80.0	6.22
03/01/2018	HEES, TAMERA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.15	0.00	3.15	80.0	2.52
03/01/2018	LAYMAN, VICKI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.31	0.00	3.31	80.0	2.65
03/01/2018	SIEGER, RODNEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.95	0.00	9.95	80.0	7.96
03/01/2018	HERRIMAN, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.67	0.00	0.67	80.0	0.54
03/01/2018	DURKES, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.93	0.00	0.93	80.0	0.74
03/01/2018	PATEL, JASWANT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.48	0.00	4.48	80.0	3.58
03/01/2018	JENTGEN, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.60	0.00	0.60	80.0	0.48
03/01/2018	BYRUM JR, GERALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.48	0.00	1.48	80.0	1.18
03/01/2018	FEIPEL, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.40	0.00	0.40	80.0	0.32
03/01/2018	EAGLESON, AMBER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	80.0	0.77
03/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.32	0.00	4.32	80.0	3.46
03/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.04	0.00	3.04	80.0	2.43
03/01/2018	MCGUIRE, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.61	0.00	3.61	80.0	2.89
03/01/2018	VLOT, KATHRYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.91	0.00	4.91	80.0	3.93
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	80.0	0.98
03/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	80.0	1.59
03/01/2018	COCKROFT, EDYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.52	0.00	0.52	80.0	0.42
03/01/2018	EAGLESON, MYSTEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.78	0.00	0.78	80.0	0.62

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	LINSKY, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.29	0.00	9.29	80.0	7.43
03/01/2018	HOEFFEL, GREGGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	80.0	0.70
03/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	CACCAMO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.09	0.00	2.09	80.0	1.67
03/01/2018	HINTON, EMILY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.70	0.00	1.70	80.0	1.36
03/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.03	0.00	7.03	80.0	5.62
03/01/2018	HERBER, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.64	0.00	4.64	80.0	3.71
03/01/2018	HELLER, ADAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.81	0.00	0.81	80.0	0.65
03/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.66	0.00	0.66	80.0	0.53
03/01/2018	RAMEY, MELISSA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	80.0	0.60
03/01/2018	IN/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.65	0.00	1.65	80.0	1.32
03/01/2018	PELFREY, TODD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.36	0.00	0.36	80.0	0.29
03/01/2018	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.63	0.00	1.63	80.0	1.30
03/01/2018	LAYMAN, VICKI	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.12	0.00	2.12	80.0	1.70
03/01/2018	DRUHOT, SCOTT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.20	0.00	0.20	80.0	0.16
02/21/2018	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.43	0.00	0.43	80.0	0.34
03/01/2018	SHANK, DEAN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.70	0.00	0.70	80.0	0.56
03/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	80.0	0.33
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS CAPITAL WORLD BOND A	0.00	2.14	0.00	2.14	80.0	1.71
03/01/2018	COCKROFT, EDYTHE	AM FUNDS CAPITAL WORLD BOND A	0.00	4.55	0.00	4.55	80.0	3.64
03/01/2018	SIEBER, PAUL	AM FUNDS CAPITAL WORLD BOND A	0.00	2.03	0.00	2.03	80.0	1.62
03/01/2018	RATTY, MICHAEL	AM FUNDS CAPITAL WORLD BOND A	0.00	1.13	0.00	1.13	80.0	0.90
03/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL WORLD BOND A	0.00	1.10	0.00	1.10	80.0	0.88
03/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.34	0.00	0.34	80.0	0.27
03/01/2018	COCKROFT, EDYA	AM FUNDS CAPITAL WORLD BOND A	0.00	0.40	0.00	0.40	80.0	0.32
03/01/2018	RATTY, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	COGDELL, CARY	AM FUNDS CAPITAL WORLD BOND A	0.00	2.71	0.00	2.71	80.0	2.17
03/01/2018	LOWDEN, JAMES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.77	0.00	1.77	80.0	1.42
03/01/2018	PELFREY, KRISTEN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.33	0.00	0.33	80.0	0.26
02/21/2018	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.20	0.00	2.20	80.0	1.76
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD BOND C	0.00	11.49	0.00	11.49	80.0	9.19
03/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.62	0.00	2.62	80.0	2.10
03/01/2018	GOODMAN, LAUREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.18	0.00	2.18	80.0	1.74

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.38	0.00	4.38	80.0	3.50
03/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.36	0.00	0.36	80.0	0.29
02/21/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.88	0.00	0.88	80.0	0.70
02/21/2018	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.09	0.00	7.09	80.0	5.67
02/21/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	80.0	0.22
03/01/2018	POORMAN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.79	0.00	2.79	80.0	2.23
03/01/2018	PIERCE, MARILYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.99	0.00	2.99	80.0	2.39
03/01/2018	TIMMS, JOAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.23	0.00	24.23	80.0	19.38
03/01/2018	PINNELL, J MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.12	0.00	1.12	80.0	0.90
03/01/2018	SIMPSON, LYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.22	0.00	8.22	80.0	6.58
03/01/2018	SIMPSON, KATHRYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.22	0.00	8.22	80.0	6.58
03/01/2018	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.21	0.00	4.21	80.0	3.37
03/01/2018	SHANK, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	80.0	0.37
03/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	80.0	0.22
03/01/2018	BROCKMANO, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.87	0.00	0.87	80.0	0.70
03/01/2018	WINDSOR, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.46	0.00	2.46	80.0	1.97
03/01/2018	MURPHY, DANA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	80.0	0.37
03/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.92	0.00	0.92	80.0	0.74
03/01/2018	BURNS, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.57	0.00	2.57	80.0	2.06
03/01/2018	FRANKS, PATRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.41	0.00	1.41	80.0	1.13
03/01/2018	MILLER, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.66	0.00	3.66	80.0	2.93
03/01/2018	BOKHART, GORDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.73	0.00	7.73	80.0	6.18
03/01/2018	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.70	0.00	8.70	80.0	6.96
03/01/2018	OAKES, ERIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.21	0.00	1.21	80.0	0.97
03/01/2018	PATEL, GITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.90	0.00	16.90	80.0	13.52
03/01/2018	CLARK, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.07	0.00	1.07	80.0	0.86
03/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.45	0.00	5.45	80.0	4.36
03/01/2018	CSUHTA, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.34	0.00	2.34	80.0	1.87
03/01/2018	THOMAS, BRADFORD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.11	0.00	7.11	80.0	5.69
03/01/2018	NICOLET, CHERYL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.57	0.00	31.57	80.0	25.26
03/01/2018	WILKIN, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.21	0.00	8.21	80.0	6.57
03/01/2018	WILKIN, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.21	0.00	2.21	80.0	1.77
03/01/2018	MURPHY, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.28	0.00	1.28	80.0	1.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	MCARDLE, SHAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	36.76	0.00	36.76	80.0	29.41
03/01/2018	MCARDLE, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.63	0.00	5.63	80.0	4.50
03/01/2018	GOODMAN, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.19	0.00	1.19	80.0	0.95
03/01/2018	URICK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	80.0	1.06
03/01/2018	SIEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.29	0.00	1.29	80.0	1.03
03/01/2018	WIGGINS, CHRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	80.0	0.26
03/01/2018	BIDDLE, DENESE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.96	0.00	0.96	80.0	0.77
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.41	0.00	6.41	80.0	5.13
03/01/2018	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.97	0.00	6.97	80.0	5.58
03/01/2018	RATTY, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	TRESSLER, KENT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.46	0.00	10.46	80.0	8.37
03/01/2018	CASEY, GISELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.43	0.00	7.43	80.0	5.94
03/01/2018	O'LAUGHLIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.33	0.00	12.33	80.0	9.86
03/01/2018	LAWSON TRUST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.89	0.00	23.89	80.0	19.11
03/01/2018	ROEMER, SHERRI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	ZINN, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.54	0.00	2.54	80.0	2.03
03/01/2018	KUNKEL, AMELIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.46	0.00	2.46	80.0	1.97
03/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.70	0.00	1.70	80.0	1.36
03/01/2018	DEATRICK, AMANDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.04	0.00	16.04	80.0	12.83
03/01/2018	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.64	0.00	6.64	80.0	5.31
03/01/2018	OAKES, ERIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	80.0	0.22
03/01/2018	MULDOON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.63	0.00	1.63	80.0	1.30
03/01/2018	COGDELL, CARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.77	0.00	6.77	80.0	5.42
03/01/2018	COGDELL, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.65	0.00	2.65	80.0	2.12
03/01/2018	NICOLET, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.03	0.00	7.03	80.0	5.62
03/01/2018	BROOKS, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	80.0	0.30
03/01/2018	KUMFER, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.79	0.00	5.79	80.0	4.63
03/01/2018	HERBER, TRINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.50	0.00	5.50	80.0	4.40
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.55	0.00	0.55	80.0	0.44
03/01/2018	OTIS, JASON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.52	0.00	0.52	80.0	0.42
03/01/2018	SAMELS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	80.0	0.14
03/01/2018	LINSKY, MARCIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	64.34	0.00	64.34	80.0	51.47
03/01/2018	STANSBERRY, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.62	0.00	2.62	80.0	2.10

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	MEIER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	OAKES, ANDREW	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	80.0	0.23
03/01/2018	SHANK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	80.0	0.18
03/01/2018	BLAIR, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.73	0.00	2.73	80.0	2.18
03/01/2018	ZINN, JEANNETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.51	0.00	8.51	80.0	6.81
03/01/2018	BLAIR, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.10	0.00	5.10	80.0	4.08
03/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	HEES, TAMERA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.51	0.00	3.51	80.0	2.81
03/01/2018	RHEN, DRAKE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.21	0.00	2.21	80.0	1.77
03/01/2018	DAVID, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	80.0	0.31
03/01/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	80.0	0.66
03/01/2018	LEGAULT, RACHEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.80	0.00	5.80	80.0	4.64
03/01/2018	SIEGER, RODNEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.98	0.00	0.98	80.0	0.78
03/01/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.20	0.00	1.20	80.0	0.96
03/01/2018	PATEL, JASWANT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.63	0.00	6.63	80.0	5.30
03/01/2018	JENTGEN, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	80.0	0.56
03/01/2018	KILLION, NICHOLAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.08	0.00	6.08	80.0	4.86
03/01/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.11	0.00	5.11	80.0	4.09
03/01/2018	THURSTON, TIMOTHY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	80.0	0.18
03/01/2018	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.55	0.00	3.55	80.0	2.84
03/01/2018	FREEZE, MONTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.47	0.00	2.47	80.0	1.98
03/01/2018	BYRUM JR, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.65	0.00	1.65	80.0	1.32
03/01/2018	FEIPEL, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.98	0.00	0.98	80.0	0.78
03/01/2018	EAGLESON, AMBER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.44	0.00	0.44	80.0	0.35
03/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.70	0.00	5.70	80.0	4.56
03/01/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.57	0.00	3.57	80.0	2.86
03/01/2018	MCGUIRE, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.65	0.00	1.65	80.0	1.32
03/01/2018	VLOT, KATHRYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.77	0.00	2.77	80.0	2.22
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.77	0.00	3.77	80.0	3.02
03/01/2018	WEAVER, JACOB	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.86	0.00	0.86	80.0	0.69
03/01/2018	LOWDEN, KATHERINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.82	0.00	13.82	80.0	11.06

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.95	0.00	0.95	80.0	0.76
03/01/2018	RICKER, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.89	0.00	0.89	80.0	0.71
03/01/2018	EAGLESON, MYSTEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.35	0.00	1.35	80.0	1.08
03/01/2018	RATTY, MINDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.12	0.00	1.12	80.0	0.90
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.88	0.00	5.88	80.0	4.70
03/01/2018	RATTY, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.93	0.00	0.93	80.0	0.74
03/01/2018	LINSKY, MARCIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.70	0.00	10.70	80.0	8.56
03/01/2018	GETTYS, JARRAD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.27	0.00	1.27	80.0	1.02
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	SHADY, SCOT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.63	0.00	3.63	80.0	2.90
03/01/2018	COCKROFT, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	HINTON, EMILY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.84	0.00	1.84	80.0	1.47
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	80.0	0.66
03/01/2018	HOSKINS, AUSTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.95	0.00	0.95	80.0	0.76
03/01/2018	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.04	0.00	7.04	80.0	5.63
03/01/2018	LOWDEN, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.09	0.00	1.09	80.0	0.87
03/01/2018	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.10	0.00	6.10	80.0	4.88
03/01/2018	HELLER, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.90	0.00	0.90	80.0	0.72
03/01/2018	RAMEY, MELISSA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.81	0.00	0.81	80.0	0.65
03/01/2018	PELFREY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	80.0	0.16
03/01/2018	PELFREY, TODD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	80.0	0.16
03/01/2018	SULTAN, LYDIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	80.0	0.05
03/01/2018	DRUHOT, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.63	0.00	0.63	80.0	0.50
03/01/2018	LAYMAN, VICKI	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.12	0.00	1.12	80.0	0.90
03/01/2018	DRUHOT, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.76	0.00	0.76	80.0	0.61
03/01/2018	FREER, TYLER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.24	0.00	1.24	80.0	0.99
03/01/2018	BURNS, MARCIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.45	0.00	1.45	80.0	1.16
03/01/2018	BAILEY, LOIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.00	0.00	16.00	80.0	12.80
03/01/2018	MURPHY, NICOLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.78	0.00	0.78	80.0	0.62
03/01/2018	CASTLEMAN, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.81	0.00	5.81	80.0	4.65
03/01/2018	MILUM, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	MCARDLE, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.60	0.00	16.60	80.0	13.28
03/01/2018	MCARDLE, LINDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.56	0.00	2.56	80.0	2.05

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	NEACE, VICTORIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.64	0.00	0.64	80.0	0.51
03/01/2018	FLEEGER, JANICE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.71	0.00	1.71	80.0	1.37
03/01/2018	MCARDLE, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.03	0.00	5.03	80.0	4.02
03/01/2018	LAWSON TRUST	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	19.81	0.00	19.81	80.0	15.85
03/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.80	0.00	9.80	80.0	7.84
03/01/2018	ZINN, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.12	0.00	2.12	80.0	1.70
03/01/2018	KUNKEL, AMELIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.13	0.00	2.13	80.0	1.70
03/01/2018	DEATRICK, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.06	0.00	14.06	80.0	11.25
03/01/2018	BROOKS, SHARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.33	0.00	0.33	80.0	0.26
03/01/2018	SHERIDAN, BROOKE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	SIEGER, RODNEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.93	0.00	0.93	80.0	0.74
03/01/2018	PATEL, JASWANT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.99	0.00	5.99	80.0	4.79
03/01/2018	THURSTON, TIMOTHY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	80.0	0.18
03/01/2018	RATTY, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.82	0.00	0.82	80.0	0.66
03/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.32	0.00	0.32	80.0	0.26
03/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.50	0.00	2.50	80.0	2.00
03/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.87	0.00	0.87	80.0	0.70
03/01/2018	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.19	0.00	1.19	80.0	0.95
03/01/2018	NEWBAUER, CAMERON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.32	0.00	3.32	80.0	2.66
02/21/2018	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.76	0.00	5.76	80.0	4.61
03/01/2018	POORMAN, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.75	0.00	3.75	80.0	3.00
03/01/2018	PIERCE, MARILYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.88	0.00	3.88	80.0	3.10
03/01/2018	TIMMS, JOAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	31.87	0.00	31.87	80.0	25.50
03/01/2018	PINNELL, J MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.24	0.00	1.24	80.0	0.99
03/01/2018	SIMPSON, LYLE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.71	0.00	9.71	80.0	7.77
03/01/2018	SIMPSON, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.49	0.00	12.49	80.0	9.99
03/01/2018	MARTZ, PHILLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.74	0.00	11.74	80.0	9.39
03/01/2018	WINDSOR, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.98	0.00	2.98	80.0	2.38
03/01/2018	MURPHY, DANA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.55	0.00	0.55	80.0	0.44
03/01/2018	MEIER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.19	0.00	1.19	80.0	0.95
03/01/2018	BURNS, THOMAS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.81	0.00	0.81	80.0	0.65
03/01/2018	BURNS, MARCIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.32	0.00	10.32	80.0	8.26
03/01/2018	MILLER, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.89	0.00	4.89	80.0	3.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	BOKHART, GORDON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.74	0.00	9.74	80.0	7.79
03/01/2018	OAKES, ERIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	80.0	1.25
03/01/2018	MILLER, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.89	0.00	2.89	80.0	2.31
03/01/2018	PATEL, GITA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.54	0.00	8.54	80.0	6.83
03/01/2018	NICOLET, CHERYL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.37	0.00	9.37	80.0	7.50
03/01/2018	CLARK, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.37	0.00	2.37	80.0	1.90
03/01/2018	MURPHY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.74	0.00	0.74	80.0	0.59
03/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.59	0.00	6.59	80.0	5.27
03/01/2018	CSUHTA, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.09	0.00	3.09	80.0	2.47
03/01/2018	NICOLET, CHERYL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	36.73	0.00	36.73	80.0	29.38
03/01/2018	COCKROFT, EDYTHE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.82	0.00	4.82	80.0	3.86
03/01/2018	URICK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	80.0	1.25
03/01/2018	NEACE, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.99	0.00	1.99	80.0	1.59
03/01/2018	ROEMER, SHERRI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.54	0.00	3.54	80.0	2.83
03/01/2018	MUSKIN, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.38	0.00	0.38	80.0	0.30
03/01/2018	MUSKIN, VERONICA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	OAKES, ERIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.36	0.00	0.36	80.0	0.29
03/01/2018	MULDOON, MARGARET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.13	0.00	2.13	80.0	1.70
03/01/2018	COGDELL, CARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.89	0.00	4.89	80.0	3.91
03/01/2018	COGDELL, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.15	0.00	2.15	80.0	1.72
03/01/2018	NICOLET, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.42	0.00	8.42	80.0	6.74
03/01/2018	HERBER, TRINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.66	0.00	6.66	80.0	5.33
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	OTIS, JASON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.68	0.00	0.68	80.0	0.54
03/01/2018	SAMELS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.23	0.00	0.23	80.0	0.18
03/01/2018	STANSBERRY, NANCY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.43	0.00	3.43	80.0	2.74
03/01/2018	MEIER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.31	0.00	0.31	80.0	0.25
03/01/2018	NEWBAUER, COLSTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	80.0	0.26
03/01/2018	OAKES, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.40	0.00	0.40	80.0	0.32
03/01/2018	ZINN, JEANNETTE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.86	0.00	9.86	80.0	7.89
03/01/2018	HEES, TAMERA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.69	0.00	4.69	80.0	3.75
03/01/2018	HERRIMAN, JANET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	80.0	1.27
03/01/2018	BYRUM JR, GERALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.48	0.00	1.48	80.0	1.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	FEIPEL, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.36	0.00	1.36	80.0	1.09
03/01/2018	EAGLESON, AMBER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.58	0.00	0.58	80.0	0.46
03/01/2018	EAGLESON, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.64	0.00	4.64	80.0	3.71
03/01/2018	MCGUIRE, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.20	0.00	2.20	80.0	1.76
03/01/2018	VLOT, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.66	0.00	3.66	80.0	2.93
03/01/2018	LOWDEN, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	23.35	0.00	23.35	80.0	18.68
03/01/2018	RICKER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.16	0.00	1.16	80.0	0.93
03/01/2018	RICKER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.10	0.00	1.10	80.0	0.88
03/01/2018	EAGLESON, MYSTEE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.72	0.00	1.72	80.0	1.38
03/01/2018	RATTY, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.11	0.00	1.11	80.0	0.89
03/01/2018	HOEFFEL, GREGGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.56	0.00	1.56	80.0	1.25
03/01/2018	MURPHY, SHARI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	73.78	0.00	73.78	80.0	59.02
03/01/2018	MURPHY, SHARI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.56	0.00	4.56	80.0	3.65
03/01/2018	MURPHY, LOU	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.54	0.00	2.54	80.0	2.03
03/01/2018	MURPHY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.99	0.00	8.99	80.0	7.19
03/01/2018	NEWBAUER, SARAH	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	16.37	0.00	16.37	80.0	13.10
03/01/2018	LAYMAN, VICKI	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	1.24	0.00	1.24	80.0	0.99
03/01/2018	SLATER, JUSTIN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.85	0.00	0.85	80.0	0.68
03/01/2018	GOODMAN, LAUREN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.64	0.00	2.64	80.0	2.11
03/01/2018	GOODMAN, LAUREN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.20	0.00	2.20	80.0	1.76
03/01/2018	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.36	0.00	0.36	80.0	0.29
02/21/2018	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	80.0	0.54
02/21/2018	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.43	0.00	7.43	80.0	5.94
02/21/2018	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	80.0	0.26
03/01/2018	POORMAN, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	80.0	1.58
03/01/2018	TIMMS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.22	0.00	22.22	80.0	17.78
03/01/2018	PINNELL, J MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.12	0.00	4.12	80.0	3.30
03/01/2018	FISCHER, HELEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.91	0.00	4.91	80.0	3.93
03/01/2018	SIMPSON, LYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.54	0.00	11.54	80.0	9.23
03/01/2018	SIMPSON, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.46	0.00	10.46	80.0	8.37
03/01/2018	PATTERSON, JANITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.88	0.00	4.88	80.0	3.90
03/01/2018	SHANK, DEAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.51	0.00	0.51	80.0	0.41
03/01/2018	SHANK, CAROLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	80.0	0.23

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.16	0.00	3.16	80.0	2.53
03/01/2018	DISBRO, JON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.82	0.00	2.82	80.0	2.26
03/01/2018	MARTZ, PHILLIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.86	0.00	6.86	80.0	5.49
03/01/2018	MURPHY, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	80.0	0.40
03/01/2018	MORHART, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.14	0.00	2.14	80.0	1.71
03/01/2018	SWAIDNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	80.0	0.69
03/01/2018	MCDUGAL, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.14	0.00	2.14	80.0	1.71
03/01/2018	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	MURPHY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.31	0.00	7.31	80.0	5.85
03/01/2018	BURNS, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.76	0.00	1.76	80.0	1.41
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.50	0.00	3.50	80.0	2.80
03/01/2018	FRANKS, PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.35	0.00	5.35	80.0	4.28
03/01/2018	MILLER, JULIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.54	0.00	2.54	80.0	2.03
03/01/2018	BOKHART, GORDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.85	0.00	6.85	80.0	5.48
03/01/2018	WALLACE, FREDERICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.60	0.00	1.60	80.0	1.28
03/01/2018	PATTERSON, JANITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.43	0.00	8.43	80.0	6.74
03/01/2018	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	80.0	0.85
03/01/2018	BAILEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.15	0.00	20.15	80.0	16.12
03/01/2018	MURPHY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	80.0	0.58
03/01/2018	MAY, ZACHARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	80.0	0.26
03/01/2018	PEPPLE, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.21	0.00	1.21	80.0	0.97
03/01/2018	WUKASCH, ZACHARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.29	0.00	3.29	80.0	2.63
03/01/2018	PATEL, GITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.74	0.00	16.74	80.0	13.39
03/01/2018	NICOLET, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.57	0.00	8.57	80.0	6.86
03/01/2018	SWAIDNER, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.58	0.00	5.58	80.0	4.46
03/01/2018	CLARK, KATHLEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.82	0.00	3.82	80.0	3.06
03/01/2018	MURPHY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	WEEKS, VAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	80.0	0.22
03/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.65	0.00	8.65	80.0	6.92
03/01/2018	ZINN, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.09	0.00	5.09	80.0	4.07
03/01/2018	ISLEY, CATHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	80.0	0.23
03/01/2018	ZINN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.49	0.00	11.49	80.0	9.19
03/01/2018	KAGE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.49	0.00	6.49	80.0	5.19

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	CASTLEMAN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.34	0.00	5.34	80.0	4.27
03/01/2018	WINFIELD, APRIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.19	0.00	4.19	80.0	3.35
03/01/2018	MAHONY, KAMI LYNN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.19	0.00	4.19	80.0	3.35
03/01/2018	NICOLET, CHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	37.54	0.00	37.54	80.0	30.03
03/01/2018	MULDOON, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.84	0.00	1.84	80.0	1.47
03/01/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.32	0.00	9.32	80.0	7.46
03/01/2018	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.94	0.00	15.94	80.0	12.75
03/01/2018	PEARSON, SHIRLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.04	0.00	2.04	80.0	1.63
03/01/2018	MILUM, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.45	0.00	10.45	80.0	8.36
03/01/2018	MCARDLE, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	44.88	0.00	44.88	80.0	35.90
03/01/2018	MCARDLE, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.79	0.00	6.79	80.0	5.43
03/01/2018	GOODMAN, STEPHANIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	GOODMAN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	27.39	0.00	27.39	80.0	21.91
03/01/2018	URICK, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.80	0.00	3.80	80.0	3.04
03/01/2018	SIEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.47	0.00	1.47	80.0	1.18
03/01/2018	HECKLER, MARTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.72	0.00	3.72	80.0	2.98
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.03	0.00	7.03	80.0	5.62
03/01/2018	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.50	0.00	1.50	80.0	1.20
03/01/2018	FLEEGER, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.33	0.00	2.33	80.0	1.86
03/01/2018	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.56	0.00	8.56	80.0	6.85
03/01/2018	RATTY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.91	0.00	1.91	80.0	1.53
03/01/2018	MCARDLE, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.98	0.00	29.98	80.0	23.98
03/01/2018	LAWSON TRUST	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.24	0.00	23.24	80.0	18.59
03/01/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.64	0.00	8.64	80.0	6.91
03/01/2018	WHEELER, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	KUNKEL, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.87	0.00	2.87	80.0	2.30
03/01/2018	COCKROFT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.01	0.00	2.01	80.0	1.61
03/01/2018	MUSKIN, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.37	0.00	6.37	80.0	5.10
03/01/2018	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	0.00	0.21	80.0	0.17
03/01/2018	HOEFFEL, GREGGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.63	0.00	1.63	80.0	1.30
03/01/2018	MULDOON, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.46	0.00	1.46	80.0	1.17
03/01/2018	COGDELL, CARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	80.0	0.80

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	COGDELL, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	0.00	2.32	80.0	1.86
03/01/2018	NICOLET, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.45	0.00	21.45	80.0	17.16
03/01/2018	KUMFER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.96	0.00	6.96	80.0	5.57
03/01/2018	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.35	0.00	8.35	80.0	6.68
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.48	0.00	0.48	80.0	0.38
03/01/2018	OTIS, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	80.0	0.30
03/01/2018	HELLER, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.60	0.00	2.60	80.0	2.08
03/01/2018	SAMELS, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
03/01/2018	LINSKY, MARCIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	44.36	0.00	44.36	80.0	35.49
03/01/2018	WUKASCH, LILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.14	0.00	1.14	80.0	0.91
03/01/2018	STANSBERRY, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	0.00	3.49	80.0	2.79
03/01/2018	OAKES, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	80.0	0.33
03/01/2018	SHANK, CAROLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	BLAIR, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.13	0.00	3.13	80.0	2.50
03/01/2018	ZINN, JEANNETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.66	0.00	6.66	80.0	5.33
03/01/2018	NEWBAUER, COLSTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.33	0.00	0.33	80.0	0.26
03/01/2018	HEES, TAMERA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.21	0.00	3.21	80.0	2.57
03/01/2018	RHEN, MASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.99	0.00	2.99	80.0	2.39
03/01/2018	SIEGER, RODNEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.55	0.00	14.55	80.0	11.64
03/01/2018	LIPSCOMB, GRACE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.46	0.00	4.46	80.0	3.57
03/01/2018	HERRIMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.60	0.00	1.60	80.0	1.28
03/01/2018	PATEL, JASWANT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.86	0.00	9.86	80.0	7.89
03/01/2018	JENTGEN, STEPHANIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	80.0	0.75
03/01/2018	NEWBAUER, COLSTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	0.00	0.84	80.0	0.67
03/01/2018	HERRIMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.51	0.00	2.51	80.0	2.01
03/01/2018	THURSTON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	80.0	0.34
03/01/2018	FREEZE, MONTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.16	0.00	3.16	80.0	2.53
03/01/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.75	0.00	6.75	80.0	5.40
03/01/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.19	0.00	3.19	80.0	2.55
03/01/2018	VLOT, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.88	0.00	4.88	80.0	3.90
03/01/2018	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.15	0.00	2.15	80.0	1.72
03/01/2018	EAGLESON, MYSTEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.82	0.00	1.82	80.0	1.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	RATTY, MINDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	0.00	1.40	80.0	1.12
03/01/2018	LIPSCOMB, SOPHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.84	0.00	2.84	80.0	2.27
03/01/2018	GETTYS, JARRAD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.64	0.00	1.64	80.0	1.31
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	80.0	0.26
03/01/2018	SHADY, SCOT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.22	0.00	2.22	80.0	1.78
03/01/2018	MCARDLE, LAURA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	80.0	0.70
03/01/2018	COCKROFT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	80.0	0.70
03/01/2018	LAPHAM, DARRELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	80.0	1.79
03/01/2018	HINTON, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.10	0.00	2.10	80.0	1.68
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	80.0	0.80
03/01/2018	HOSKINS, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	0.00	1.40	80.0	1.12
03/01/2018	NEWBAUER, CHLOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.24	0.00	1.24	80.0	0.99
03/01/2018	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.32	0.00	7.32	80.0	5.86
03/01/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.81	0.00	6.81	80.0	5.45
03/01/2018	HAVERT, CHANDRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.01	0.00	3.01	80.0	2.41
03/01/2018	LOWDEN, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.24	0.00	1.24	80.0	0.99
03/01/2018	HERBER, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.59	0.00	5.59	80.0	4.47
03/01/2018	HELLER, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	80.0	0.74
03/01/2018	MURPHY, SHARI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	73.56	0.00	73.56	80.0	58.85
03/01/2018	MURPHY, SHARI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.53	0.00	4.53	80.0	3.62
03/01/2018	MURPHY, LOU	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.70	0.00	2.70	80.0	2.16
03/01/2018	MURPHY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.31	0.00	9.31	80.0	7.45
03/01/2018	LEGUALT, OLIVER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	RAMEY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.83	0.00	0.83	80.0	0.66
03/01/2018	PELFREY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	80.0	0.16
03/01/2018	PELFREY, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	80.0	0.16
03/01/2018	BURNS-PAITAN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	80.0	0.04
03/01/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	80.0	0.42
03/01/2018	SULTAN, LYDIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	80.0	0.06
03/01/2018	DRUHOT, SCOTT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.36	0.00	0.36	80.0	0.29
03/01/2018	DRUHOT, LESLIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.82	0.00	18.82	80.0	15.06
03/01/2018	NEWBAUER, SARAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.98	0.00	13.98	80.0	11.18
03/01/2018	DRUHOT, SCOTT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.16	0.00	0.16	80.0	0.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	DRUHOT, LESLIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.80	0.00	1.80	80.0	1.44
03/01/2018	BAILEY, RICHARD	AM FUNDS GROWTH PORT A	0.00	67.09	0.00	67.09	80.0	53.67
03/01/2018	GOODMAN, LAUREN	AM FUNDS ICA 529A	0.00	2.53	0.00	2.53	80.0	2.02
03/01/2018	NEWBAUER, CAMERON	AM FUNDS ICA 529A	0.00	4.48	0.00	4.48	80.0	3.58
02/21/2018	ZINN, ROBERT	AM FUNDS ICA A	0.00	5.18	0.00	5.18	80.0	4.14
03/01/2018	TUCKER, CHARLOTTE	AM FUNDS ICA A	0.00	1.19	0.00	1.19	80.0	0.95
03/01/2018	TUCKER, SEAN	AM FUNDS ICA A	0.00	1.19	0.00	1.19	80.0	0.95
03/01/2018	FREER, TYLER	AM FUNDS ICA A	0.00	1.53	0.00	1.53	80.0	1.22
03/01/2018	TIMMS, JOAN	AM FUNDS ICA A	0.00	31.81	0.00	31.81	80.0	25.45
03/01/2018	WINDSOR, WILLIAM	AM FUNDS ICA A	0.00	3.00	0.00	3.00	80.0	2.40
03/01/2018	DISBRO, JON	AM FUNDS ICA A	0.00	1.82	0.00	1.82	80.0	1.46
03/01/2018	NEWBAUER, JOSHUA	AM FUNDS ICA A	0.00	0.95	0.00	0.95	80.0	0.76
03/01/2018	BURNS, THOMAS	AM FUNDS ICA A	0.00	0.72	0.00	0.72	80.0	0.58
03/01/2018	MILLER, JULIE	AM FUNDS ICA A	0.00	3.09	0.00	3.09	80.0	2.47
03/01/2018	PATEL, GITA	AM FUNDS ICA A	0.00	15.03	0.00	15.03	80.0	12.02
03/01/2018	MIZNER, FREDRIC	AM FUNDS ICA A	0.00	1.88	0.00	1.88	80.0	1.50
03/01/2018	KAGE, JENNIFER	AM FUNDS ICA A	0.00	5.25	0.00	5.25	80.0	4.20
03/01/2018	THOMAS, BRADFORD	AM FUNDS ICA A	0.00	8.41	0.00	8.41	80.0	6.73
03/01/2018	SHIPLEY, SANDRA	AM FUNDS ICA A	0.00	10.02	0.00	10.02	80.0	8.02
03/01/2018	GRIME, STEPHEN	AM FUNDS ICA A	0.00	22.76	0.00	22.76	80.0	18.21
03/01/2018	PEARSON, SHIRLEY	AM FUNDS ICA A	0.00	2.41	0.00	2.41	80.0	1.93
03/01/2018	MILUM, JUDITH	AM FUNDS ICA A	0.00	4.47	0.00	4.47	80.0	3.58
03/01/2018	MCARDLE, SHAWN	AM FUNDS ICA A	0.00	41.25	0.00	41.25	80.0	33.00
03/01/2018	MCARDLE, LINDA	AM FUNDS ICA A	0.00	6.14	0.00	6.14	80.0	4.91
03/01/2018	MCARDLE, DANIEL	AM FUNDS ICA A	0.00	15.26	0.00	15.26	80.0	12.21
03/01/2018	LAWSON TRUST	AM FUNDS ICA A	0.00	27.23	0.00	27.23	80.0	21.78
03/01/2018	KUNKEL, AMELIA	AM FUNDS ICA A	0.00	2.32	0.00	2.32	80.0	1.86
03/01/2018	DEATRICK, AMANDA	AM FUNDS ICA A	0.00	18.38	0.00	18.38	80.0	14.70
03/01/2018	MULDOON, MARGARET	AM FUNDS ICA A	0.00	1.04	0.00	1.04	80.0	0.83
03/01/2018	COGDELL, JENNIFER	AM FUNDS ICA A	0.00	3.16	0.00	3.16	80.0	2.53
03/01/2018	BROOKS, SHARON	AM FUNDS ICA A	0.00	0.30	0.00	0.30	80.0	0.24
03/01/2018	SHERIDAN, BROOKE	AM FUNDS ICA A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	GRIME, STEPHEN	AM FUNDS ICA A	0.00	5.67	0.00	5.67	80.0	4.54

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	HERRIMAN, JANET	AM FUNDS ICA A	0.00	1.35	0.00	1.35	80.0	1.08
03/01/2018	PATEL, JASWANT	AM FUNDS ICA A	0.00	8.92	0.00	8.92	80.0	7.14
03/01/2018	JENTGEN, STEPHANIE	AM FUNDS ICA A	0.00	0.77	0.00	0.77	80.0	0.62
03/01/2018	THURSTON, TIMOTHY	AM FUNDS ICA A	0.00	0.20	0.00	0.20	80.0	0.16
03/01/2018	BYRUM JR, GERALD	AM FUNDS ICA A	0.00	1.37	0.00	1.37	80.0	1.10
03/01/2018	FEIPEL, EDWARD	AM FUNDS ICA A	0.00	1.49	0.00	1.49	80.0	1.19
03/01/2018	EAGLESON, AMBER	AM FUNDS ICA A	0.00	0.47	0.00	0.47	80.0	0.38
03/01/2018	EAGLESON, JOSEPH	AM FUNDS ICA A	0.00	4.28	0.00	4.28	80.0	3.42
03/01/2018	MCGUIRE, KATHLEEN	AM FUNDS ICA A	0.00	2.00	0.00	2.00	80.0	1.60
03/01/2018	VLOT, KATHRYN	AM FUNDS ICA A	0.00	3.50	0.00	3.50	80.0	2.80
03/01/2018	RICKER, REBECCA	AM FUNDS ICA A	0.00	1.06	0.00	1.06	80.0	0.85
03/01/2018	RICKER, REBECCA	AM FUNDS ICA A	0.00	1.00	0.00	1.00	80.0	0.80
03/01/2018	EAGLESON, MYSTEE	AM FUNDS ICA A	0.00	1.62	0.00	1.62	80.0	1.30
03/01/2018	DEJOHN, SHARLEEN	AM FUNDS ICA A	0.00	12.34	0.00	12.34	80.0	9.87
03/01/2018	LAYMAN, VICKI	AM FUNDS ICA F1	0.00	1.12	0.00	1.12	80.0	0.90
02/21/2018	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.57	0.00	0.57	80.0	0.46
02/21/2018	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.29	0.00	6.29	80.0	5.03
03/01/2018	POORMAN, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.68	0.00	1.68	80.0	1.34
03/01/2018	PIERCE, MARILYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.07	0.00	4.07	80.0	3.26
03/01/2018	TIMMS, JOAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	17.65	0.00	17.65	80.0	14.12
03/01/2018	PINNELL, J MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.15	0.00	3.15	80.0	2.52
03/01/2018	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.04	0.00	4.04	80.0	3.23
03/01/2018	BROCKMANO, EDWARD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	80.0	0.14
03/01/2018	MARTZ, PHILLIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.66	0.00	13.66	80.0	10.93
03/01/2018	WINDSOR, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.20	0.00	2.20	80.0	1.76
03/01/2018	DISBRO, JON	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.88	0.00	6.88	80.0	5.50
03/01/2018	MEIER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.84	0.00	1.84	80.0	1.47
03/01/2018	BURNS, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.34	0.00	1.34	80.0	1.07
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.55	0.00	3.55	80.0	2.84
03/01/2018	MILLER, JULIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.02	0.00	6.02	80.0	4.82
03/01/2018	BOKHART, GORDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	80.0	4.10
03/01/2018	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.31	0.00	7.31	80.0	5.85
03/01/2018	OAKES, ERIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.80	0.00	0.80	80.0	0.64

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	PATEL, GITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.88	0.00	6.88	80.0	5.50
03/01/2018	CLARK, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.05	0.00	3.05	80.0	2.44
03/01/2018	HUNTER, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.22	0.00	1.22	80.0	0.98
03/01/2018	CSUHTA, JULIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.55	0.00	1.55	80.0	1.24
03/01/2018	NICOLET, CHERYL	AM FUNDS INCOME FUND OF AMERICA A	0.00	24.93	0.00	24.93	80.0	19.94
03/01/2018	MULDOON, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.55	0.00	1.55	80.0	1.24
03/01/2018	URICK, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.53	0.00	2.53	80.0	2.02
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.48	0.00	4.48	80.0	3.58
03/01/2018	HARRIS, SHARON	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.30	0.00	1.30	80.0	1.04
03/01/2018	ALLAN, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.59	0.00	2.59	80.0	2.07
03/01/2018	MUSKIN, VERONICA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.73	0.00	3.73	80.0	2.98
03/01/2018	MULDOON, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.15	0.00	1.15	80.0	0.92
03/01/2018	COGDELL, CARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.69	0.00	0.69	80.0	0.55
03/01/2018	COGDELL, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.70	0.00	1.70	80.0	1.36
03/01/2018	NICOLET, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	17.04	0.00	17.04	80.0	13.63
03/01/2018	KUMFER, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.55	0.00	5.55	80.0	4.44
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.37	0.00	0.37	80.0	0.30
03/01/2018	OTIS, JASON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.28	0.00	0.28	80.0	0.22
03/01/2018	MEIER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	80.0	0.39
03/01/2018	BLAIR, BRIAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.66	0.00	2.66	80.0	2.13
03/01/2018	ZINN, JEANNETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.59	0.00	5.59	80.0	4.47
03/01/2018	HEES, TAMERA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.53	0.00	2.53	80.0	2.02
03/01/2018	LAYMAN, VICKI	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.66	0.00	3.66	80.0	2.93
03/01/2018	DURKES, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.52	0.00	0.52	80.0	0.42
03/01/2018	HERRIMAN, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.94	0.00	1.94	80.0	1.55
03/01/2018	BYRUM JR, GERALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.18	0.00	1.18	80.0	0.94
03/01/2018	FEIPEL, EDWARD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.43	0.00	0.43	80.0	0.34
03/01/2018	EAGLESON, AMBER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.87	0.00	0.87	80.0	0.70
03/01/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.93	0.00	3.93	80.0	3.14
03/01/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.29	0.00	2.29	80.0	1.83
03/01/2018	MCGUIRE, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	VLOT, KATHRYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.18	0.00	4.18	80.0	3.34
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.37	0.00	1.37	80.0	1.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	POORMAN, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.92	0.00	2.92	80.0	2.34
03/01/2018	LOWDEN, KATHERINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	24.24	0.00	24.24	80.0	19.39
03/01/2018	RICKER, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.70	0.00	0.70	80.0	0.56
03/01/2018	RICKER, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.75	0.00	1.75	80.0	1.40
03/01/2018	RATTY, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	LINSKY, MARCIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.62	0.00	9.62	80.0	7.70
03/01/2018	HOEFFEL, GREGGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.87	0.00	0.87	80.0	0.70
03/01/2018	COCKROFT, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.75	0.00	0.75	80.0	0.60
03/01/2018	CACCAMO, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.21	0.00	1.21	80.0	0.97
03/01/2018	HERBER, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.83	0.00	4.83	80.0	3.86
03/01/2018	MURPHY, SHARI	AM FUNDS INCOME FUND OF AMERICA A	0.00	68.41	0.00	68.41	80.0	54.73
03/01/2018	MURPHY, SHARI	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.08	0.00	4.08	80.0	3.26
03/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.78	0.00	0.78	80.0	0.62
03/01/2018	RAMEY, MELISSA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.75	0.00	0.75	80.0	0.60
03/01/2018	PELFREY, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.37	0.00	0.37	80.0	0.30
03/01/2018	BURNS-PAITAN, PATRICIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.07	0.00	0.07	80.0	0.06
03/01/2018	WALLACE, FREDERICK	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.47	0.00	0.47	80.0	0.38
03/01/2018	LAYMAN, VICKI	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.22	0.00	2.22	80.0	1.78
03/01/2018	GOODMAN, LAUREN	AM FUNDS INCOME FUND OF AMERICA-529	0.00	2.02	0.00	2.02	80.0	1.62
03/01/2018	NEWBAUER, CAMERON	AM FUNDS INCOME FUND OF AMERICA-529	0.00	5.94	0.00	5.94	80.0	4.75
02/21/2018	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.52	0.00	0.52	80.0	0.42
02/21/2018	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.42	0.00	0.42	80.0	0.34
02/21/2018	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.16	0.00	3.16	80.0	2.53
03/01/2018	SHANK, DEAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.05	0.00	1.05	80.0	0.84
03/01/2018	SHANK, CAROLE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	BROCKMANO, EDWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.45	0.00	0.45	80.0	0.36
03/01/2018	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	5.79	0.00	5.79	80.0	4.63
03/01/2018	WILKIN, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	6.83	0.00	6.83	80.0	5.46
03/01/2018	COCKROFT, EDYTHE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.43	0.00	4.43	80.0	3.54
03/01/2018	SIEBER, PAUL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.04	0.00	3.04	80.0	2.43
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.85	0.00	3.85	80.0	3.08
03/01/2018	RATTY, MICHAEL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.65	0.00	1.65	80.0	1.32

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	COCKROFT, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.16	0.00	1.16	80.0	0.93
03/01/2018	KUMFER, JOSEPH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	6.17	0.00	6.17	80.0	4.94
03/01/2018	HELLER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	7.11	0.00	7.11	80.0	5.69
03/01/2018	PER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.36	0.00	1.36	80.0	1.09
03/01/2018	SHANK, CAROLE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	80.0	0.41
03/01/2018	BLAIR, BRIAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.08	0.00	2.08	80.0	1.66
03/01/2018	SIEBER, PAUL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.58	0.00	0.58	80.0	0.46
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.73	0.00	4.73	80.0	3.78
03/01/2018	COCKROFT, EDYA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.78	0.00	0.78	80.0	0.62
03/01/2018	RATTY, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.82	0.00	1.82	80.0	1.46
03/01/2018	LINSKY, MARCIA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	8.10	0.00	8.10	80.0	6.48
03/01/2018	COCKROFT, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.62	0.00	0.62	80.0	0.50
03/01/2018	CACCAMO, MICHAEL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.91	0.00	1.91	80.0	1.53
03/01/2018	HINTON, EMILY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.90	0.00	1.90	80.0	1.52
03/01/2018	DURKES, DEBRA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.17	0.00	3.17	80.0	2.54
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.26	0.00	1.26	80.0	1.01
03/01/2018	COGDELL, CARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.65	0.00	2.65	80.0	2.12
03/01/2018	LOWDEN, JAMES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.57	0.00	2.57	80.0	2.06
03/01/2018	HERBER, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.09	0.00	4.09	80.0	3.27
03/01/2018	LYTLE, KEAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.54	0.00	1.54	80.0	1.23
03/01/2018	HELLER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.61	0.00	2.61	80.0	2.09
03/01/2018	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.47	0.00	1.47	80.0	1.18
03/01/2018	PELFREY, KRISTEN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.49	0.00	0.49	80.0	0.39
03/01/2018	PELFREY, TODD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.33	0.00	0.33	80.0	0.26
02/21/2018	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.81	0.00	0.81	80.0	0.65
03/01/2018	WILKIN, CYNTHIA	AM FUNDS MORTGAGE A	0.00	6.82	0.00	6.82	80.0	5.46
03/01/2018	RATTY, MICHAEL	AM FUNDS MORTGAGE A	0.00	1.15	0.00	1.15	80.0	0.92
03/01/2018	CACCAMO, MICHAEL	AM FUNDS MORTGAGE A	0.00	1.91	0.00	1.91	80.0	1.53
03/01/2018	O'CONNELL, KATHLEEN	AM FUNDS MORTGAGE A	0.00	1.55	0.00	1.55	80.0	1.24
02/21/2018	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.11	0.00	2.11	80.0	1.69
03/01/2018	MARTZ, PHILLIS	AM FUNDS NEW ECONOMY A	0.00	10.98	0.00	10.98	80.0	8.78
03/01/2018	SWAIDNER, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	2.75	0.00	2.75	80.0	2.20
03/01/2018	SWAIDNER, DAVID	AM FUNDS NEW ECONOMY A	0.00	2.77	0.00	2.77	80.0	2.22

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	MURPHY, DAVID	AM FUNDS NEW ECONOMY A	0.00	4.86	0.00	4.86	80.0	3.89
03/01/2018	MORHART, JAMES	AM FUNDS NEW ECONOMY A	0.00	4.36	0.00	4.36	80.0	3.49
03/01/2018	WOEHNKER, JOSEPH	AM FUNDS NEW ECONOMY A	0.00	1.66	0.00	1.66	80.0	1.33
03/01/2018	WALLACE, BARBARA	AM FUNDS NEW ECONOMY A	0.00	4.66	0.00	4.66	80.0	3.73
03/01/2018	FLEEGER, JANICE	AM FUNDS NEW ECONOMY A	0.00	1.81	0.00	1.81	80.0	1.45
03/01/2018	MCARDLE, DANIEL	AM FUNDS NEW ECONOMY A	0.00	14.58	0.00	14.58	80.0	11.66
03/01/2018	LAWSON TRUST	AM FUNDS NEW ECONOMY A	0.00	23.03	0.00	23.03	80.0	18.42
03/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	0.00	6.85	0.00	6.85	80.0	5.48
03/01/2018	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	0.00	1.11	0.00	1.11	80.0	0.89
02/21/2018	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.89	0.00	6.89	80.0	5.51
03/01/2018	POORMAN, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.84	0.00	1.84	80.0	1.47
03/01/2018	TIMMS, JOAN	AM FUNDS NEW PERSPECTIVE A	0.00	19.69	0.00	19.69	80.0	15.75
03/01/2018	PINNELL, J MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.85	0.00	3.85	80.0	3.08
03/01/2018	FISCHER, HELEN	AM FUNDS NEW PERSPECTIVE A	0.00	5.45	0.00	5.45	80.0	4.36
03/01/2018	O'LAUGHLIN, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	64.06	0.00	64.06	80.0	51.25
03/01/2018	SIMPSON, LYLE	AM FUNDS NEW PERSPECTIVE A	0.00	10.68	0.00	10.68	80.0	8.54
03/01/2018	SIMPSON, KATHRYN	AM FUNDS NEW PERSPECTIVE A	0.00	9.58	0.00	9.58	80.0	7.66
03/01/2018	MURPHY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	5.73	0.00	5.73	80.0	4.58
03/01/2018	AMARO, ALEXANDER	AM FUNDS NEW PERSPECTIVE A	0.00	3.63	0.00	3.63	80.0	2.90
03/01/2018	SAINSBURY, DALE	AM FUNDS NEW PERSPECTIVE A	0.00	1.64	0.00	1.64	80.0	1.31
03/01/2018	BURNS, MARCIA	AM FUNDS NEW PERSPECTIVE A	0.00	9.12	0.00	9.12	80.0	7.30
03/01/2018	WOEHNKER, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	2.12	0.00	2.12	80.0	1.70
03/01/2018	MILLER, JULIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.28	0.00	2.28	80.0	1.82
03/01/2018	BOKHART, GORDON	AM FUNDS NEW PERSPECTIVE A	0.00	6.15	0.00	6.15	80.0	4.92
03/01/2018	OAKES, ERIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.98	0.00	0.98	80.0	0.78
03/01/2018	PATEL, GITA	AM FUNDS NEW PERSPECTIVE A	0.00	11.14	0.00	11.14	80.0	8.91
03/01/2018	HUNTER, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	1.59	0.00	1.59	80.0	1.27
03/01/2018	NICOLET, CHERYL	AM FUNDS NEW PERSPECTIVE A	0.00	37.20	0.00	37.20	80.0	29.76
03/01/2018	URICK, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	3.24	0.00	3.24	80.0	2.59
03/01/2018	LAWSON TRUST	AM FUNDS NEW PERSPECTIVE A	0.00	20.21	0.00	20.21	80.0	16.17
03/01/2018	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	0.00	5.67	0.00	5.67	80.0	4.54
03/01/2018	OAKES, ERIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.33	0.00	0.33	80.0	0.26
03/01/2018	MULDOON, MARGARET	AM FUNDS NEW PERSPECTIVE A	0.00	1.30	0.00	1.30	80.0	1.04

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	COGDELL, CARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.75	0.00	0.75	80.0	0.60
03/01/2018	COGDELL, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	2.06	0.00	2.06	80.0	1.65
03/01/2018	NICOLET, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	19.69	0.00	19.69	80.0	15.75
03/01/2018	HERBER, TRINA	AM FUNDS NEW PERSPECTIVE A	0.00	6.59	0.00	6.59	80.0	5.27
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	0.44	0.00	0.44	80.0	0.35
03/01/2018	SAMELS, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	80.0	0.14
03/01/2018	STANSBERRY, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	3.15	0.00	3.15	80.0	2.52
03/01/2018	OAKES, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	0.35	0.00	0.35	80.0	0.28
03/01/2018	ZINN, JEANNETTE	AM FUNDS NEW PERSPECTIVE A	0.00	6.21	0.00	6.21	80.0	4.97
03/01/2018	HEES, TAMERA	AM FUNDS NEW PERSPECTIVE A	0.00	2.79	0.00	2.79	80.0	2.23
03/01/2018	HERRIMAN, JANET	AM FUNDS NEW PERSPECTIVE A	0.00	1.38	0.00	1.38	80.0	1.10
03/01/2018	PATEL, JASWANT	AM FUNDS NEW PERSPECTIVE A	0.00	7.07	0.00	7.07	80.0	5.66
03/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	5.30	0.00	5.30	80.0	4.24
03/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	2.67	0.00	2.67	80.0	2.14
03/01/2018	VLOT, KATHRYN	AM FUNDS NEW PERSPECTIVE A	0.00	1.55	0.00	1.55	80.0	1.24
03/01/2018	EAGLESON, MYSTEE	AM FUNDS NEW PERSPECTIVE A	0.00	1.50	0.00	1.50	80.0	1.20
03/01/2018	HOEFFEL, GREGGORY	AM FUNDS NEW PERSPECTIVE A	0.00	1.42	0.00	1.42	80.0	1.14
03/01/2018	NEWBAUER, CAMERON	AM FUNDS NEW PERSPECTIVE A	0.00	9.39	0.00	9.39	80.0	7.51
03/01/2018	IN/TOD	AM FUNDS NEW PERSPECTIVE A	0.00	2.11	0.00	2.11	80.0	1.69
03/01/2018	IN/TOD	AM FUNDS NEW PERSPECTIVE A	0.00	0.47	0.00	0.47	80.0	0.38
03/01/2018	DRUHOT, SCOTT	AM FUNDS NEW PERSPECTIVE C	0.00	0.21	0.00	0.21	80.0	0.17
03/01/2018	DRUHOT, SCOTT	AM FUNDS NEW PERSPECTIVE F-1	0.00	0.11	0.00	0.11	80.0	0.09
02/21/2018	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	6.08	0.00	6.08	80.0	4.86
03/01/2018	TUCKER, CHARLOTTE	AM FUNDS NEW WORLD A	0.00	1.42	0.00	1.42	80.0	1.14
03/01/2018	TUCKER, SEAN	AM FUNDS NEW WORLD A	0.00	1.42	0.00	1.42	80.0	1.14
03/01/2018	FREER, TYLER	AM FUNDS NEW WORLD A	0.00	1.17	0.00	1.17	80.0	0.94
03/01/2018	POORMAN, MICHAEL	AM FUNDS NEW WORLD A	0.00	1.40	0.00	1.40	80.0	1.12
03/01/2018	TIMMS, JOAN	AM FUNDS NEW WORLD A	0.00	13.17	0.00	13.17	80.0	10.54
03/01/2018	PINNELL, J MICHAEL	AM FUNDS NEW WORLD A	0.00	3.17	0.00	3.17	80.0	2.54
03/01/2018	SIMPSON, LYLE	AM FUNDS NEW WORLD A	0.00	7.96	0.00	7.96	80.0	6.37
03/01/2018	SIMPSON, KATHRYN	AM FUNDS NEW WORLD A	0.00	7.64	0.00	7.64	80.0	6.11
03/01/2018	MILLER, JULIE	AM FUNDS NEW WORLD A	0.00	1.53	0.00	1.53	80.0	1.22
03/01/2018	BOKHART, GORDON	AM FUNDS NEW WORLD A	0.00	4.81	0.00	4.81	80.0	3.85

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	OAKES, ERIN	AM FUNDS NEW WORLD A	0.00	0.75	0.00	0.75	80.0	0.60
03/01/2018	MUSSEY, LORI	AM FUNDS NEW WORLD A	0.00	2.71	0.00	2.71	80.0	2.17
03/01/2018	MURPHY, SUSAN	AM FUNDS NEW WORLD A	0.00	0.68	0.00	0.68	80.0	0.54
03/01/2018	PATEL, GITA	AM FUNDS NEW WORLD A	0.00	3.90	0.00	3.90	80.0	3.12
03/01/2018	CLARK, KATHLEEN	AM FUNDS NEW WORLD A	0.00	2.64	0.00	2.64	80.0	2.11
03/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	0.00	4.76	0.00	4.76	80.0	3.81
03/01/2018	RAMUS, ERIC	AM FUNDS NEW WORLD A	0.00	1.17	0.00	1.17	80.0	0.94
03/01/2018	RAMUS, CHAD	AM FUNDS NEW WORLD A	0.00	1.03	0.00	1.03	80.0	0.82
03/01/2018	RAMUS, MEGAN	AM FUNDS NEW WORLD A	0.00	1.49	0.00	1.49	80.0	1.19
03/01/2018	RAMUS, MICHELLE	AM FUNDS NEW WORLD A	0.00	1.72	0.00	1.72	80.0	1.38
03/01/2018	GRIME, STEPHEN	AM FUNDS NEW WORLD A	0.00	12.56	0.00	12.56	80.0	10.05
03/01/2018	MCARDLE, SHAWN	AM FUNDS NEW WORLD A	0.00	5.06	0.00	5.06	80.0	4.05
03/01/2018	MCARDLE, LINDA	AM FUNDS NEW WORLD A	0.00	4.88	0.00	4.88	80.0	3.90
03/01/2018	GOODMAN, STEPHANIE	AM FUNDS NEW WORLD A	0.00	0.83	0.00	0.83	80.0	0.66
03/01/2018	URICK, DAVID	AM FUNDS NEW WORLD A	0.00	2.25	0.00	2.25	80.0	1.80
03/01/2018	HECKLER, MARTHA	AM FUNDS NEW WORLD A	0.00	2.09	0.00	2.09	80.0	1.67
03/01/2018	LAWSON TRUST	AM FUNDS NEW WORLD A	0.00	22.91	0.00	22.91	80.0	18.33
03/01/2018	ROEMER, SHERRI	AM FUNDS NEW WORLD A	0.00	6.00	0.00	6.00	80.0	4.80
03/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	0.00	11.19	0.00	11.19	80.0	8.95
03/01/2018	KUNKEL, AMELIA	AM FUNDS NEW WORLD A	0.00	2.50	0.00	2.50	80.0	2.00
03/01/2018	AMARO, ETHAN	AM FUNDS NEW WORLD A	0.00	1.36	0.00	1.36	80.0	1.09
03/01/2018	DEATRICK, AMANDA	AM FUNDS NEW WORLD A	0.00	14.63	0.00	14.63	80.0	11.70
03/01/2018	MUSKIN, ANDREW	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	MUSKIN, VERONICA	AM FUNDS NEW WORLD A	0.00	2.54	0.00	2.54	80.0	2.03
03/01/2018	OAKES, ERIN	AM FUNDS NEW WORLD A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	MULDOON, MARGARET	AM FUNDS NEW WORLD A	0.00	0.95	0.00	0.95	80.0	0.76
03/01/2018	COGDELL, CARY	AM FUNDS NEW WORLD A	0.00	1.33	0.00	1.33	80.0	1.06
03/01/2018	COGDELL, JENNIFER	AM FUNDS NEW WORLD A	0.00	2.43	0.00	2.43	80.0	1.94
03/01/2018	NICOLET, MICHAEL	AM FUNDS NEW WORLD A	0.00	14.85	0.00	14.85	80.0	11.88
03/01/2018	BROOKS, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	BROOKS, COURTNEY	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	BROOKS, ALLISON	AM FUNDS NEW WORLD A	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	BROOKS, SHARON	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	80.0	0.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	HERBER, TRINA	AM FUNDS NEW WORLD A	0.00	5.03	0.00	5.03	80.0	4.02
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS NEW WORLD A	0.00	0.34	0.00	0.34	80.0	0.27
03/01/2018	OTIS, JASON	AM FUNDS NEW WORLD A	0.00	0.35	0.00	0.35	80.0	0.28
03/01/2018	SAMELS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.27	0.00	0.27	80.0	0.22
03/01/2018	STANSBERRY, NANCY	AM FUNDS NEW WORLD A	0.00	2.27	0.00	2.27	80.0	1.82
03/01/2018	NEWBAUER, COLSTON	AM FUNDS NEW WORLD A	0.00	0.21	0.00	0.21	80.0	0.17
03/01/2018	OAKES, ANDREW	AM FUNDS NEW WORLD A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	ZINN, JEANNETTE	AM FUNDS NEW WORLD A	0.00	5.50	0.00	5.50	80.0	4.40
03/01/2018	SHERIDAN, BROOKE	AM FUNDS NEW WORLD A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	NEWBAUER, COLSTON	AM FUNDS NEW WORLD A	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	GRIME, STEPHEN	AM FUNDS NEW WORLD A	0.00	4.12	0.00	4.12	80.0	3.30
03/01/2018	HEES, TAMERA	AM FUNDS NEW WORLD A	0.00	1.95	0.00	1.95	80.0	1.56
03/01/2018	SIEGER, RODNEY	AM FUNDS NEW WORLD A	0.00	0.85	0.00	0.85	80.0	0.68
03/01/2018	HERRIMAN, JANET	AM FUNDS NEW WORLD A	0.00	1.01	0.00	1.01	80.0	0.81
03/01/2018	THURSTON, TIMOTHY	AM FUNDS NEW WORLD A	0.00	0.23	0.00	0.23	80.0	0.18
03/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	0.00	4.39	0.00	4.39	80.0	3.51
03/01/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	0.00	1.83	0.00	1.83	80.0	1.46
03/01/2018	VLOT, KATHRYN	AM FUNDS NEW WORLD A	0.00	1.04	0.00	1.04	80.0	0.83
03/01/2018	WEAVER, JACOB	AM FUNDS NEW WORLD A	0.00	0.73	0.00	0.73	80.0	0.58
03/01/2018	COCKROFT, EDYA	AM FUNDS NEW WORLD A	0.00	0.53	0.00	0.53	80.0	0.42
03/01/2018	EAGLESON, MYSTEE	AM FUNDS NEW WORLD A	0.00	1.05	0.00	1.05	80.0	0.84
03/01/2018	NEWBAUER, CAMERON	AM FUNDS NEW WORLD A	0.00	6.55	0.00	6.55	80.0	5.24
03/01/2018	DRUHOT, SCOTT	AM FUNDS NEW WORLD C	0.00	0.23	0.00	0.23	80.0	0.18
03/01/2018	DRUHOT, SCOTT	AM FUNDS NEW WORLD FUND F1	0.00	0.65	0.00	0.65	80.0	0.52
03/01/2018	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.12	0.00	3.12	80.0	2.50
03/01/2018	SHANK, DEAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.03	0.00	1.03	80.0	0.82
03/01/2018	SHANK, CAROLE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	5.72	0.00	5.72	80.0	4.58
03/01/2018	NICOLET, CHERYL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	14.00	0.00	14.00	80.0	11.20
03/01/2018	WILKIN, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.84	0.00	6.84	80.0	5.47
03/01/2018	COCKROFT, EDYTHE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.91	0.00	2.91	80.0	2.33
03/01/2018	SIEBER, PAUL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.01	0.00	3.01	80.0	2.41
03/01/2018	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.87	0.00	3.87	80.0	3.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	RATTY, MICHAEL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.61	0.00	1.61	80.0	1.29
03/01/2018	COCKROFT, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.84	0.00	1.84	80.0	1.47
03/01/2018	KUMFER, JOSEPH	AM FUNDS SHORT TERM BND FUND OF AM	0.00	6.18	0.00	6.18	80.0	4.94
03/01/2018	HELLER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	7.11	0.00	7.11	80.0	5.69
03/01/2018	PER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.38	0.00	1.38	80.0	1.10
03/01/2018	SHANK, CAROLE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.50	0.00	0.50	80.0	0.40
03/01/2018	BLAIR, BRIAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.05	0.00	2.05	80.0	1.64
03/01/2018	SIEBER, PAUL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.52	0.00	0.52	80.0	0.42
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.59	0.00	4.59	80.0	3.67
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.27	0.00	2.27	80.0	1.82
03/01/2018	RATTY, MARY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.78	0.00	1.78	80.0	1.42
03/01/2018	LINSKY, MARCIA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	12.12	0.00	12.12	80.0	9.70
03/01/2018	COCKROFT, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	CACCAMO, MICHAEL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.87	0.00	2.87	80.0	2.30
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.34	0.00	1.34	80.0	1.07
03/01/2018	COGDELL, CARY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.42	0.00	4.42	80.0	3.54
03/01/2018	LOWDEN, JAMES	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.58	0.00	2.58	80.0	2.06
03/01/2018	HERBER, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.09	0.00	4.09	80.0	3.27
03/01/2018	LYTLE, KEAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.55	0.00	1.55	80.0	1.24
03/01/2018	HELLER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.96	0.00	1.96	80.0	1.57
03/01/2018	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.48	0.00	1.48	80.0	1.18
03/01/2018	PELFREY, KRISTEN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.50	0.00	0.50	80.0	0.40
03/01/2018	PELFREY, TODD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.50	0.00	0.50	80.0	0.40
03/01/2018	PATEL, GITA	AM FUNDS SMALLCAP WORLD A	0.00	4.57	0.00	4.57	80.0	3.66
03/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	27.67	0.00	27.67	80.0	22.14
03/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	9.56	0.00	9.56	80.0	7.65
03/01/2018	PATEL, JASWANT	AM FUNDS SMALLCAP WORLD A	0.00	3.52	0.00	3.52	80.0	2.82
03/01/2018	MURPHY, SHARI	AM FUNDS SMALLCAP WORLD A	0.00	71.56	0.00	71.56	80.0	57.25
03/01/2018	MURPHY, SHARI	AM FUNDS SMALLCAP WORLD A	0.00	4.43	0.00	4.43	80.0	3.54
03/01/2018	MURPHY, LOU	AM FUNDS SMALLCAP WORLD A	0.00	2.54	0.00	2.54	80.0	2.03
03/01/2018	MURPHY, WILLIAM	AM FUNDS SMALLCAP WORLD A	0.00	9.26	0.00	9.26	80.0	7.41
03/01/2018	LOWDEN, KATHERINE	AM FUNDS TAX-EXEMPT BOND FUND OF AM	0.00	15.49	0.00	15.49	80.0	12.39
02/21/2018	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.17	0.00	4.17	80.0	3.34

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	HELLER, ADAM	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	3.55	0.00	3.55	80.0	2.84
03/01/2018	SIEBER, PAUL	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.64	0.00	0.64	80.0	0.51
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.17	0.00	4.17	80.0	3.34
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	2.53	0.00	2.53	80.0	2.02
03/01/2018	LINSKY, MARCIA	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	7.94	0.00	7.94	80.0	6.35
03/01/2018	CACCAMO, MICHAEL	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.91	0.00	1.91	80.0	1.53
03/01/2018	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.38	0.00	1.38	80.0	1.10
03/01/2018	O'CONNELL, KATHLEEN	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.50	0.00	1.50	80.0	1.20
03/01/2018	HELLER, ADAM	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.96	0.00	1.96	80.0	1.57
03/01/2018	CAPPLEMAN, BETTIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	11.88	0.00	11.88	80.0	9.50
03/01/2018	POORMAN, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.49	0.00	2.49	80.0	1.99
03/01/2018	PINNELL, J MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	80.0	0.90
03/01/2018	FISCHER, HELEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.01	0.00	0.01	80.0	0.01
03/01/2018	WILLING, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.95	0.00	3.95	80.0	3.16
03/01/2018	TULLOCH, CAROLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.77	0.00	0.77	80.0	0.62
03/01/2018	SIMPSON, LYLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.84	0.00	9.84	80.0	7.87
03/01/2018	SIMPSON, KATHRYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.13	0.00	10.13	80.0	8.10
03/01/2018	BROCKMANO, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.07	0.00	0.07	80.0	0.06
03/01/2018	CALKINS, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.89	0.00	1.89	80.0	1.51
03/01/2018	DILLIE, KERI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.68	0.00	7.68	80.0	6.14
03/01/2018	MORHART, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.22	0.00	2.22	80.0	1.78
03/01/2018	MORHART, KAY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.57	0.00	4.57	80.0	3.66
03/01/2018	MEIER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	80.0	0.82
03/01/2018	SWAIDNER, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.01	0.00	4.01	80.0	3.21
03/01/2018	MCDUGAL, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.60	0.00	1.60	80.0	1.28
03/01/2018	WIKTOROWICZ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.21	0.00	1.21	80.0	0.97
03/01/2018	MURPHY, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.05	0.00	4.05	80.0	3.24
03/01/2018	AMARO, ALEXANDER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.34	0.00	9.34	80.0	7.47
03/01/2018	BRUNTON, JOSEI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	BRUNTON, AKTSGA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	BURNS, MARCIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.11	0.00	1.11	80.0	0.89
03/01/2018	MORHART, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.74	0.00	3.74	80.0	2.99
03/01/2018	VIELKIND, LAWRENCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.22	0.00	3.22	80.0	2.58

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	WOEHNKER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.28	0.00	1.28	80.0	1.02
03/01/2018	FRANKS, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.80	0.00	0.80	80.0	0.64
03/01/2018	MILLER, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.70	0.00	9.70	80.0	7.76
03/01/2018	BOKHART, GORDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.92	0.00	8.92	80.0	7.14
03/01/2018	OAKES, ERIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.28	0.00	1.28	80.0	1.02
03/01/2018	BRUNTON, GABRIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	80.0	0.42
03/01/2018	WILLIAM, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	80.0	0.42
03/01/2018	CLARK, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.41	0.00	2.41	80.0	1.93
03/01/2018	MURPHY, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	80.0	0.34
03/01/2018	ZINN, DIANE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.19	0.00	4.19	80.0	3.35
03/01/2018	SORG, RIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.72	0.00	2.72	80.0	2.18
03/01/2018	CSUHTA, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.23	0.00	3.23	80.0	2.58
03/01/2018	AMARO, SOPHIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.37	0.00	7.37	80.0	5.90
03/01/2018	WINFIELD, APRIL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	MAHONY, KAMI LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.28	0.00	3.28	80.0	2.62
03/01/2018	NICOLET, CHERYL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.82	0.00	13.82	80.0	11.06
03/01/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.52	0.00	8.52	80.0	6.82
03/01/2018	WILKIN, CYNTHIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.41	0.00	2.41	80.0	1.93
03/01/2018	COCKROFT, EDYTHE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.60	0.00	4.60	80.0	3.68
03/01/2018	URICK, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.98	0.00	5.98	80.0	4.78
03/01/2018	WIGGINS, CHRISTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.27	0.00	2.27	80.0	1.82
03/01/2018	RATTY, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.73	0.00	1.73	80.0	1.38
03/01/2018	AMARO, ETHAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	80.0	1.23
03/01/2018	MUSKIN, VERONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.62	0.00	3.62	80.0	2.90
03/01/2018	MULDOON, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.85	0.00	0.85	80.0	0.68
03/01/2018	COGDELL, CARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.66	0.00	1.66	80.0	1.33
03/01/2018	NICOLET, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.10	0.00	7.10	80.0	5.68
03/01/2018	HERBER, TRINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.99	0.00	5.99	80.0	4.79
03/01/2018	DERBYSHIRE, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	80.0	0.40
03/01/2018	SAMELS, GREGORY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	80.0	0.14
03/01/2018	STANSBERRY, NANCY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.26	0.00	3.26	80.0	2.61
03/01/2018	MEIER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	80.0	0.10
03/01/2018	OAKES, ANDREW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.32	0.00	0.32	80.0	0.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	ZINN, JEANNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.36	0.00	9.36	80.0	7.49
03/01/2018	HEES, TAMERA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.47	0.00	4.47	80.0	3.58
03/01/2018	FLORENCE, SHIRLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.17	0.00	1.17	80.0	0.94
03/01/2018	LOWDEN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.73	0.00	13.73	80.0	10.98
03/01/2018	DEJOHN, SHARLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.24	0.00	12.24	80.0	9.79
03/01/2018	NEWBAUER, CAMERON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.01	0.00	5.01	80.0	4.01
03/01/2018	MURPHY, LOU	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.14	0.00	2.14	80.0	1.71
03/01/2018	MURPHY, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.34	0.00	8.34	80.0	6.67
03/01/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	80.0	0.42
03/01/2018	BRUNTON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.07	0.00	1.07	80.0	0.86
03/01/2018	ZINN, JEFFREY	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.96	0.00	2.96	80.0	2.37
03/01/2018	LAYMAN, VICKI	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.45	0.00	2.45	80.0	1.96
03/01/2018	WILKIN, CYNTHIA	AMERICAN FUNDS CORPORATE BOND FD A	0.00	7.05	0.00	7.05	80.0	5.64
03/01/2018	RAMEY, MELISSA	AMERICAN FUNDS CORPORATE BOND FD A	0.00	0.44	0.00	0.44	80.0	0.35
02/21/2018	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.32	0.00	4.32	80.0	3.46
01/31/2018	HODAPP, CYNTHIA	BLACKROCK 19452P784	0.00	0.12	0.00	0.12	80.0	0.10
01/31/2018	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	80.0	0.30
01/31/2018	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.60	0.00	0.60	80.0	0.48
02/28/2018	LAYMAN, VARIOUS	DREYFUS	43,443.33	8.33	0.00	8.33	80.0	6.66
02/22/2018	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.68	0.00	0.68	80.0	0.54
02/22/2018	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.68	0.00	0.68	80.0	0.54
02/22/2018	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.62	0.00	0.62	80.0	0.50
02/22/2018	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.62	0.00	0.62	80.0	0.50
02/22/2018	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.63	0.00	2.63	80.0	2.10
02/16/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.32	0.00	5.32	80.0	4.26
02/16/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.95	0.00	15.95	80.0	12.76
02/16/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.59	0.00	5.59	80.0	4.47
02/16/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.78	0.00	16.78	80.0	13.42
02/16/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.87	0.00	8.87	80.0	7.10
02/16/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.61	0.00	26.61	80.0	21.29
02/16/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.77	0.00	8.77	80.0	7.02
02/16/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.32	0.00	26.32	80.0	21.06
02/16/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.28	0.00	3.28	80.0	2.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/16/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.84	0.00	9.84	80.0	7.87
02/16/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.92	0.00	9.92	80.0	7.94
02/16/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.75	0.00	29.75	80.0	23.80
02/16/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.97	0.00	10.97	80.0	8.78
02/16/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.90	0.00	32.90	80.0	26.32
02/16/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.16	0.00	11.16	80.0	8.93
02/16/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	33.49	0.00	33.49	80.0	26.79
02/16/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.37	0.00	8.37	80.0	6.70
02/16/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.10	0.00	25.10	80.0	20.08
02/16/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.81	0.00	8.81	80.0	7.05
02/16/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.42	0.00	26.42	80.0	21.14
02/16/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.84	0.00	9.84	80.0	7.87
02/16/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.51	0.00	29.51	80.0	23.61
02/16/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.54	0.00	5.54	80.0	4.43
02/16/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.61	0.00	16.61	80.0	13.29
02/21/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.21	0.00	0.21	80.0	0.17
02/21/2018	KAUFFMAN, JAN	INFLATION LINKED BOND FUND A	0.00	1.25	0.00	1.25	80.0	1.00
02/21/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	1.06	0.00	1.06	80.0	0.85
02/21/2018	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.64	0.00	0.64	80.0	0.51
02/21/2018	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.53	0.00	0.53	80.0	0.42
02/21/2018	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.83	0.00	0.83	80.0	0.66
03/01/2018	NICOLET, CHERYL	INFLATION LINKED BOND FUND A	0.00	13.93	0.00	13.93	80.0	11.14
03/01/2018	WILKIN, CYNTHIA	INFLATION LINKED BOND FUND A	0.00	6.83	0.00	6.83	80.0	5.46
03/01/2018	HELLER, ADAM	INFLATION LINKED BOND FUND A	0.00	7.37	0.00	7.37	80.0	5.90
03/01/2018	PER, ADAM	INFLATION LINKED BOND FUND A	0.00	1.89	0.00	1.89	80.0	1.51
03/01/2018	LINSKY, MARCIA	INFLATION LINKED BOND FUND A	0.00	12.34	0.00	12.34	80.0	9.87
03/01/2018	CACCAMO, MICHAEL	INFLATION LINKED BOND FUND A	0.00	3.84	0.00	3.84	80.0	3.07
03/01/2018	LYTLE, KEAN	INFLATION LINKED BOND FUND A	0.00	1.56	0.00	1.56	80.0	1.25
03/01/2018	HELLER, ADAM	INFLATION LINKED BOND FUND A	0.00	2.71	0.00	2.71	80.0	2.17
03/01/2018	RAMEY, MELISSA	INFLATION LINKED BOND FUND A	0.00	0.68	0.00	0.68	80.0	0.54
03/01/2018	RAMEY, MELISSA	INFLATION LINKED BOND FUND A	0.00	0.79	0.00	0.79	80.0	0.63
03/01/2018	IN/TOD	INFLATION LINKED BOND FUND A	0.00	1.48	0.00	1.48	80.0	1.18
03/01/2018	BENEFICIARY, ELLIOTT	INFLATION LINKED BOND FUND A	0.00	0.45	0.00	0.45	80.0	0.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/22/2018	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.86	0.00	0.86	80.0	0.69
02/22/2018	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.53	0.00	5.53	80.0	4.42
02/22/2018	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.99	0.00	1.99	80.0	1.59
02/22/2018	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	31.73	0.00	31.73	80.0	25.38
02/22/2018	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	12.10	0.00	12.10	80.0	9.68
02/14/2018	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.73	0.00	7.73	80.0	6.18
03/02/2018	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.34	0.00	2.34	80.0	1.87
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$43,443.33</b>	<b>\$6,110.93</b>	<b>\$0.00</b>	<b>\$6,110.93</b>		<b>\$4,888.64</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/25/2018	SHANK, DEAN	ING ACCESS	90,074.57	281.48	0.00	281.48	80.0	225.18
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$90,074.57</b>	<b>\$281.48</b>	<b>\$0.00</b>	<b>\$281.48</b>		<b>\$225.18</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$782,634.89</b>	<b>\$7,205.08</b>	<b>\$0.00</b>	<b>\$7,205.08</b>		<b>\$5,763.85</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

02/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	100.00	1.66	0.00	1.66	80.0	1.33
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.17	0.00	0.17	80.0	0.14
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.17	0.00	0.17	80.0	0.14
02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
02/23/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
02/28/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.10	0.00	0.10	80.0	0.08
02/28/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
03/09/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.84	0.00	0.84	80.0	0.67
02/28/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	70.00	0.24	0.00	0.24	80.0	0.19
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$400.00</b>	<b>\$4.34</b>	<b>\$0.00</b>	<b>\$4.34</b>		<b>\$3.49</b>

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	80.0	2.10
02/28/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
03/01/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	2.22	0.00	2.22	80.0	1.78
02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	1.49	0.00	1.49	80.0	1.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
02/28/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	113.58	1.49	0.00	1.49	80.0	1.19
03/01/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	1.65	0.00	1.65	80.0	1.32
02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.64	0.00	3.64	80.0	2.91
02/28/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
03/01/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	2.99	0.00	2.99	80.0	2.39
03/01/2018	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.53	0.00	1.53	80.0	1.22
03/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	5.69	0.00	5.69	80.0	4.55
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	80.0	0.26
02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	80.0	0.17
02/21/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	80.0	0.09
02/21/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
02/21/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	80.0	0.36
02/21/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	80.0	0.11
03/01/2018	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.45	0.00	0.45	80.0	0.36
03/01/2018	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.89	0.00	1.89	80.0	1.51
03/01/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	1.21	0.00	1.21	80.0	0.97
03/01/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	1.63	0.00	1.63	80.0	1.30
03/01/2018	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.56	0.00	0.56	80.0	0.45
03/01/2018	ROBERTSON, RACHEL	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	80.0	0.01
03/01/2018	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	80.0	0.30
03/01/2018	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	80.0	0.10
03/01/2018	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.44	0.00	1.44	80.0	1.15
03/01/2018	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.05	0.00	1.05	80.0	0.84
03/01/2018	OTENEY, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	BAILEY, BENJAMIN	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
03/01/2018	SILKWORTH, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.32	0.00	2.32	80.0	1.86
03/02/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	175.00	3.06	0.00	3.06	80.0	2.45
03/01/2018	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	5.32	0.00	5.32	80.0	4.26
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	80.0	0.18
02/21/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.25	0.00	0.25	80.0	0.20
03/01/2018	IN/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.54	0.00	0.54	80.0	0.43
03/01/2018	STANLEY, LAURA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.24	0.00	0.24	80.0	0.19

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
02/21/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	80.0	0.01
03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	STANLEY, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	80.0	0.22
02/20/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	8.75	0.00	8.75	80.0	7.00
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	80.0	0.11
03/01/2018	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.31	0.00	1.31	80.0	1.05
03/01/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	80.0	0.34
03/01/2018	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.94	0.00	0.94	80.0	0.75
03/01/2018	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.34	0.00	0.34	80.0	0.27
03/01/2018	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	80.0	0.02
03/01/2018	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	80.0	0.23
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	80.0	0.17
03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	80.0	0.70
03/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.52	0.00	0.52	80.0	0.42
02/20/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	8.75	0.00	8.75	80.0	7.00
02/21/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
02/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	80.0	0.70
02/28/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	80.0	4.90
03/01/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	80.0	0.37
03/01/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.29	0.00	2.29	80.0	1.83
03/01/2018	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.16	0.00	1.16	80.0	0.93
03/01/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.75	0.00	2.75	80.0	2.20
03/01/2018	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	80.0	0.34
03/01/2018	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	80.0	0.00
03/01/2018	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	80.0	0.12
03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	80.0	0.49
03/01/2018	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.60	0.00	0.60	80.0	0.48
03/01/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	80.0	0.30
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
03/01/2018	STANLEY, LAURA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.27	0.00	0.27	80.0	0.22
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	STANLEY, LAURA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.24	0.00	0.24	80.0	0.19
03/01/2018	IN/TOD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.29	0.00	0.29	80.0	0.23

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
03/01/2018	STANLEY, LAURA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.33	0.00	0.33	80.0	0.26
03/01/2018	IN/TOD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.44	0.00	0.44	80.0	0.35
02/21/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	80.0	0.17
02/23/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	80.0	0.08
02/28/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	80.0	0.19
03/09/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	80.0	0.08
02/28/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	80.0	0.33
02/27/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,516.94	15.61	0.00	15.61	80.0	12.49
02/16/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.22	0.00	1.22	80.0	0.98
02/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	5.08	0.00	5.08	80.0	4.06
02/23/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.20	0.00	0.20	80.0	0.16
02/16/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.71	0.00	0.71	80.0	0.57
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.57	0.00	0.57	80.0	0.46
02/23/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.13	0.00	0.13	80.0	0.10
03/09/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.13	0.00	0.13	80.0	0.10
02/23/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	80.0	0.51
02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
02/23/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
03/09/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	80.0	0.51
03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
03/09/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
02/28/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	80.0	0.08
02/21/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.26	0.00	0.26	80.0	0.21
03/01/2018	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.34	0.00	0.34	80.0	0.27
03/01/2018	STANLEY, LAURA	INFLATION LINKED BOND FUND A	0.00	0.33	0.00	0.33	80.0	0.26
03/01/2018	IN/TOD	INFLATION LINKED BOND FUND A	0.00	0.52	0.00	0.52	80.0	0.42
02/27/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.32	0.00	1.32	80.0	1.06
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$55,457.94</b>	<b>\$122.16</b>	<b>\$0.00</b>	<b>\$122.16</b>		<b>\$97.77</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$55,857.94</b>	<b>\$126.50</b>	<b>\$0.00</b>	<b>\$126.50</b>		<b>\$101.26</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM, L129 LAYMAN #207 </u></b>								
03/01/2018	LAYMAN, GEOFFREY	AM FUNDS AMERICAN BALANCED A	0.00	1.69	0.00	1.69	45.0	0.76
03/01/2018	DIRIG, SARAH	AM FUNDS AMERICAN BALANCED A	0.00	0.70	0.00	0.70	45.0	0.32
03/01/2018	PALADINO, KAITLYN	AM FUNDS AMERICAN BALANCED A	0.00	1.88	0.00	1.88	45.0	0.85
03/01/2018	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	0.00	1.04	0.00	1.04	45.0	0.47
02/28/2018	SCHULER, CINDY	MASS MUTUAL 575769484	138,593.07	115.49	0.00	115.49	45.0	51.97
<b>* WILLIAM, L129 LAYMAN SUBTOTAL *</b>			<b>\$138,593.07</b>	<b>\$120.80</b>	<b>\$0.00</b>	<b>\$120.80</b>		<b>\$54.37</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$138,593.07</b>	<b>\$120.80</b>	<b>\$0.00</b>	<b>\$120.80</b>		<b>\$54.37</b>

**ADJUSTMENTS**

03/15/2018	*ALBRIDGE: LIGH USER	0.00						-76.00
03/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
03/15/2018	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
03/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
03/15/2018	*LASER APP: CURRENT MONTH - KEITH	0.00						-18.00
03/15/2018	*LASER APP: LASER APP - BILL	0.00						-18.00
03/15/2018	*PERSHING: BLOCK TRADE REBALANCE	0.00						-42.00
03/15/2018	*PERSHING: COST BASIS TRACKING 130	0.00						-130.00
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-3.96
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-0.69
03/15/2018	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-130.00
03/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
03/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC -	0.00						-180.00
03/15/2018	*TECHNOLOGY: LIMITED ADMIN - S BONA	0.00						-60.00
03/15/2018	*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00						-60.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,513.65</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,709.74
Split Transactions	\$101.26
Override Transactions	\$54.37
Adjustments	\$-1,513.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,351.72
Year-to-date Gross	\$14,328.99
Year-to-date Earnings	\$11,606.98