

COMMISSION STATEMENT

January 15, 2018

JOY LAWRENCE-D198

BROKER #: D198

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D198

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/18/2017	00483478258	SCHRAUBEN, DIANA MF PUTNAM 746802800	B	100.00	5.00	0.00	5.00	20.0	1.00
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$5.00	\$0.00	\$5.00		\$1.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/03/2018	00099812633	ANIBLE, PATRICK MFT TRANSAMERICA-IDEX 893961813	B	0.00	0.81	0.00	0.81	20.0	0.16
01/03/2018	00099812633	ANIBLE, PATRICK MFT TRANSAMERICA-IDEX 893962415	B	0.00	1.21	0.00	1.21	20.0	0.24
01/01/2018	03551032252	MCHENRY, WENDY MFT MFS HIGH INCOME FUND-A	B	0.00	5.36	0.00	5.36	20.0	1.07
01/01/2018	03551032261	MCHENRY, ROBERT MFT MFS HIGH INCOME FUND-A	B	0.00	5.36	0.00	5.36	20.0	1.07
01/01/2018	03911432213	FRANKLIN, MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.01	0.00	0.01	20.0	0.00
01/01/2018	03911432213	FRANKLIN, MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.93	0.00	0.93	20.0	0.19
01/01/2018	03911432213	FRANKLIN, MFT INVESCO MID CAP GROWTH FUND -	B	0.00	0.80	0.00	0.80	20.0	0.16
01/01/2018	03911447781	BROWN, SHIANN MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.45	0.00	0.45	20.0	0.09
01/01/2018	03911447781	BROWN, SHIANN MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.15	0.00	0.15	20.0	0.03
01/01/2018	03911447781	BROWN, SHIANN MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.38	0.00	0.38	20.0	0.08
01/01/2018	03911447781	BROWN, SHIANN MFT INVESCO AMERICAN VALUE FUND -	B	0.00	0.36	0.00	0.36	20.0	0.07
01/01/2018	03911447781	BROWN, SHIANN MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	1.91	0.00	1.91	20.0	0.38
01/01/2018	03912667437	ALEXANDER, MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	0.74	0.00	0.74	20.0	0.15
01/01/2018	03912667437	ALEXANDER, MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.92	0.00	6.92	20.0	1.38
01/01/2018	03912667437	ALEXANDER, MFT INVESCO CORPORATE BOND FUND -	B	0.00	0.66	0.00	0.66	20.0	0.13
01/01/2018	03912667437	ALEXANDER, MFT INVESCO VALUE OPPORTUNITIES	B	0.00	1.67	0.00	1.67	20.0	0.33
01/01/2018	03912811308	JOHNSON, BRENDA MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	2.64	0.00	2.64	20.0	0.53
01/01/2018	04039190097	DELISE, KOPAVI MFT AIM MODERATE ALLOCATION - CLASS	B	0.00	5.25	0.00	5.25	20.0	1.05
01/01/2018	04040410880	BROWN, TAYLER MFT AIM SMALL CAP EQUITY FUND -	B	0.00	0.07	0.00	0.07	20.0	0.01
01/01/2018	04040410880	BROWN, TAYLER MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.10	0.00	0.10	20.0	0.02
01/01/2018	04040446660	SOBHA, KUMPATI MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.01	0.00	0.01	20.0	0.00
01/01/2018	04040446660	SOBHA, KUMPATI MFT AIM UTILITIES FUND - CLASS A	B	0.00	0.68	0.00	0.68	20.0	0.14
01/01/2018	04040446660	SOBHA, KUMPATI MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.45	0.00	0.45	20.0	0.09
01/01/2018	04040446660	SOBHA, KUMPATI MFT INVESCO EQUITY AND INCOME FUND	B	0.00	0.56	0.00	0.56	20.0	0.11
01/03/2018	06500158439	ANIBLE, PATRICK MFT TRANSAMERICA-IDEX 893962415	B	0.00	2.33	0.00	2.33	20.0	0.47
01/01/2018	06910159318	MOORE, WILLETTA MFT INVESCO SMALL CAP VALUE FUND	B	0.00	3.30	0.00	3.30	20.0	0.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$43.11	\$0.00	\$43.11		\$8.61

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$48.11	\$0.00	\$48.11		\$9.61

BROKER COMMISSION TOTALS

Broker Transactions	\$9.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00