



Concorde Investment Services, LLC

11/13/2017

Page No. 1

COMMISSION STATEMENT

November 15, 2017

TIMOTHY STAUFFER
333 Fiddlers Cove

BROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/01/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.34	0.00	0.34	90.0	0.31
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.51	0.00	0.51	90.0	0.46
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.17	0.00	1.17	90.0	1.05
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.86	0.00	0.86	90.0	0.77
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.27	0.00	0.27	90.0	0.24
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.62	0.00	0.62	90.0	0.56
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.85	0.00	0.85	90.0	0.77
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$6.97	\$0.00	\$6.97		\$6.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	WILD, WILLIAM	JNL ELITE ACCESS	99,249.55	41.35	0.00	41.35	90.0	37.22
10/31/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,659.06	5.76	0.00	5.76	90.0	5.18
10/31/2017	MOHR, AMY	JNL ELITE ACCESS	45,760.27	9.53	0.00	9.53	90.0	8.58
10/31/2017	LARKINS, TROY	JNL ELITE ACCESS	48,313.11	10.07	0.00	10.07	90.0	9.06
10/31/2017	ZEHR, PAUL	JNL ELITE ACCESS	30,603.09	6.38	0.00	6.38	90.0	5.74
10/31/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	29,078.53	6.06	0.00	6.06	90.0	5.45
10/31/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	35,576.41	7.41	0.00	7.41	90.0	6.67
10/31/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,760.87	11.98	0.00	11.98	90.0	10.78
10/31/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	316,084.02	131.70	0.00	131.70	90.0	118.53
10/31/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,328.15	5.97	0.00	5.97	90.0	5.37
10/31/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	81,729.10	34.05	0.00	34.05	90.0	30.65
10/31/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	79,483.94	33.12	0.00	33.12	90.0	29.81
10/31/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	148,546.43	61.89	0.00	61.89	90.0	55.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
10/31/2017	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	79,537.26	33.14	0.00	33.14	90.0	29.83
10/31/2017	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	634,772.08	264.49	0.00	264.49	90.0	238.04
10/31/2017	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	219,420.26	91.43	0.00	91.43	90.0	82.29
10/31/2017	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	319,351.47	133.06	0.00	133.06	90.0	119.75
10/31/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	220,398.75	91.83	0.00	91.83	90.0	82.65
10/31/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	143,853.17	59.94	0.00	59.94	90.0	53.95
10/31/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	143,094.57	59.62	0.00	59.62	90.0	53.66
10/31/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	87,301.60	36.38	0.00	36.38	90.0	32.74
10/31/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	96,210.93	40.09	0.00	40.09	90.0	36.08
10/31/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	47,295.12	19.71	0.00	19.71	90.0	17.74
10/31/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	89,275.96	37.20	0.00	37.20	90.0	33.48
10/31/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	46,597.21	19.42	0.00	19.42	90.0	17.48
10/31/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	155,951.29	129.96	0.00	129.96	90.0	116.96
10/31/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	68,980.26	57.48	0.00	57.48	90.0	51.73
10/31/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	107,708.72	89.76	0.00	89.76	90.0	80.78
10/31/2017	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	213,859.54	178.22	0.00	178.22	90.0	160.40
10/31/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	200,364.73	166.97	0.00	166.97	90.0	150.27
10/16/2017	BLANCHARD, SUZETTE	PAC LIFE INNOVATIVE SELECT	0.00	615.96	0.00	615.96	90.0	554.36
10/30/2017	CROWDER, CHAR	PAC LIFE INNOVATIVE SELECT	0.00	104.33	0.00	104.33	90.0	93.90
10/30/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,362.74	16.14	0.00	16.14	90.0	14.53
* VARIABLE ANNUITIES SUBTOTAL *			\$3,878,508.19	\$2,610.40	\$0.00	\$2,610.40		\$2,349.36

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/18/2017	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.19	0.00	2.19	90.0	1.97
10/18/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.19	0.00	2.19	90.0	1.97
10/18/2017	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.68	0.00	8.68	90.0	7.81
10/18/2017	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.76	0.00	8.76	90.0	7.88
10/18/2017	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.75	0.00	1.75	90.0	1.58
10/18/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.36	0.00	1.36	90.0	1.22
10/18/2017	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	11.95	0.00	11.95	90.0	10.76
10/18/2017	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.99	0.00	1.99	90.0	1.79
10/18/2017	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.29	0.00	1.29	90.0	1.16
10/18/2017	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.29	0.00	1.29	90.0	1.16
10/30/2017	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.39	0.00	0.39	90.0	0.35

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/30/2017	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	2.46	0.00	2.46	90.0	2.21
10/30/2017	MOHR, AMY	INVESCO UTILITIES A	0.00	1.97	0.00	1.97	90.0	1.77
10/26/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.01	0.00	5.01	90.0	4.51
10/26/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.70	0.00	4.70	90.0	4.23
10/26/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.93	0.00	4.93	90.0	4.44
10/24/2017	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.08	0.00	0.08	90.0	0.07
10/16/2017	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.18	0.00	1.18	90.0	1.06
10/16/2017	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.20	0.00	2.20	90.0	1.98
10/16/2017	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.11	0.00	8.11	90.0	7.30
10/16/2017	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.87	0.00	8.87	90.0	7.98
10/16/2017	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.62	0.00	8.62	90.0	7.76
10/16/2017	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.33	0.00	10.33	90.0	9.30
10/16/2017	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.81	0.00	6.81	90.0	6.13
10/16/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.65	0.00	6.65	90.0	5.99
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$113.76	\$0.00	\$113.76		\$102.38
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/19/2017	NUTTLE, JANNETTA	ING PREMIUM PLUS 2005	0.00	9.63	0.00	9.63	90.0	8.67
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.63	\$0.00	\$9.63		\$8.67
*** DIRECT TRANSACTIONS TOTAL ***			\$3,878,723.19	\$2,740.76	\$0.00	\$2,740.76		\$2,466.69
<u>ADJUSTMENTS</u>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
11/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-5.94
11/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-713.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$2,466.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-713.94
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,752.75
Year-to-date Gross	\$135,157.05
Year-to-date Earnings	\$120,623.36