

All Companies
STATEMENT
August 20, 2018

MICHAEL GORMAN
3030 River Birch

BROKER #: 278
BRANCH: LA BRANCH

Pearland, TX 77584-

COMMISSION STATEMENT for MICHAEL GORMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
07/02/2018	#01448561397	TRINHCUN								
		FA 529 MID CAP PORT-CL A	B	100.00	2.00	0.00	0.00	2.00	92.0	1.84
07/02/2018	#01448531648	TRINHCUN								
		FA 529 MID CAP PORT-CL A	B	200.00	4.00	0.00	0.00	4.00	92.0	3.68
07/02/2018	#01448561397	TRINHCUN								
		FA 529 NEW INSIGHTS PORT-CL A	B	300.00	6.00	0.00	0.00	6.00	92.0	5.52
07/02/2018	#01448531648	TRINHCUN								
		FA 529 NEW INSIGHTS PORT-CL A	B	100.00	2.00	0.00	0.00	2.00	92.0	1.84
07/02/2018	#01448561397	TRINHCUN								
		FA 529 SMALL CAP PORT-CL A	B	100.00	2.00	0.00	0.00	2.00	92.0	1.84
07/02/2018	#01448531648	TRINHCUN								
		FIDELITY STRATIGIC	B	200.00	4.00	0.00	0.00	4.00	92.0	3.68
07/18/2018	#	HANSEN, ROBERT								
		DREYFUS EQUITY INCOME FUND-CLASS I	B	25,000.00	0.00	0.00	0.00	0.00	92.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$26,000.00	\$20.00	\$0.00	\$0.00	\$20.00	\$18.40
<u>PRODUCT CATEGORY: STOCKS</u>										
06/28/2018	#	REMOND, MARIA DE LOURDE								
		KRANESHARES TRUST	S	2,200.00	0.00	0.00	0.00	0.00	92.0	0.00
06/28/2018	#	REMOND, SANJAY								
		KRANESHARES TRUST	S	3,300.00	0.00	0.00	0.00	0.00	92.0	0.00
06/29/2018	#	PHAN, SCOTT								
		BARCLAYS PLC ADR	S	38,841.25	60.00	19.95	0.00	40.05	92.0	36.85
06/29/2018	#	NGUYEN, BINH								
		EXCHANGE TRADED CONCEPTS TR EMQQ EM B	B	2,206.20	60.00	19.95	0.00	40.05	92.0	36.85
06/29/2018	#	NGUYEN, BINH								
		SECTOR SPDR - FINANCIAL	B	2,142.40	60.00	19.95	0.00	40.05	92.0	36.85
06/29/2018	#	PHAN, SCOTT								
		WELLS FARGO & CO	B	39,105.50	60.00	19.95	0.00	40.05	92.0	36.85
07/16/2018	#	HANSEN, ROBERT								
		ISHARES TRUST S&P SMALLCAP 600	B	30,017.75	0.00	0.00	0.00	0.00	92.0	0.00
07/19/2018	#	HOLLINGSWORTH, SHARON								
		NETFLIX COM INC	B	29,537.60	0.00	9.95	0.00	-9.95	92.0	-9.15
				* STOCKS SUBTOTAL *	\$147,350.70	\$240.00	\$89.75	\$0.00	\$150.25	\$138.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
06/30/2018	#1087490000	LA DENTAL II, `								
		JOHN HANCOCK ARA	B	374,541.38	124.61	0.00	0.00	124.61	92.0	114.64
				* VARIABLE ANNUITIES SUBTOTAL *	\$374,541.38	\$124.61	\$0.00	\$0.00	\$124.61	\$114.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
07/09/2018	#01448531648	TRINHCUN								
		FA 529 MID CAP PORT-CL A	B	0.00	2.76	0.00	0.00	2.76	92.0	2.54
07/09/2018	#01448561397	TRINHCUN								
		FA 529 MID CAP PORT-CL A	B	0.00	5.01	0.00	0.00	5.01	92.0	4.61
07/09/2018	#01448531648	TRINHCUN								
		FA 529 NEW INSIGHTS PORT-CL A	B	0.00	6.07	0.00	0.00	6.07	92.0	5.58
07/09/2018	#01448561397	TRINHCUN								
		FA 529 NEW INSIGHTS PORT-CL A	B	0.00	12.54	0.00	0.00	12.54	92.0	11.54
07/09/2018	#01448561397	TRINHCUN								
		FA 529 SMALL CAP PORT-CL A	B	0.00	3.93	0.00	0.00	3.93	92.0	3.62
07/09/2018	#01448531648	TRINHCUN								
		FIDELITY STRATIGIC	B	0.00	1.38	0.00	0.00	1.38	92.0	1.27
07/11/2018	#	CLOPTON, DANIEL								
		DAIN TRAILER	B	0.00	3.10	0.00	0.00	3.10	92.0	2.85

BROKER COMMISSION TOTALS

Broker Transactions	\$616.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-958.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-292.26
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-633.75
------------------------	------------------