

**COMMISSION STATEMENT****August 15, 2018**

**DAMIAN, D127 DERING**  
 165 Culver Blvd  
 Suite A  
 Playa Del Rey, CA 90293

**BROKER #: D127**  
**BRANCH: DAMIAN DERING**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
07/31/2018	RTJ MANAGEMENT	PT HOTEL VALUE AND INCOME FUND II	200,000.00	12,000.00	0.00	12,000.00	92.0	11,040.00
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$200,000.00</b>	<b>\$12,000.00</b>	<b>\$0.00</b>	<b>\$12,000.00</b>		<b>\$11,040.00</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
07/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
07/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
07/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
08/01/2018	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	50.00	2.00	0.00	2.00	92.0	1.84
07/31/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
07/31/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
		<b>* 529 PLANS SUBTOTAL *</b>	<b>\$1,400.00</b>	<b>\$24.76</b>	<b>\$0.00</b>	<b>\$24.76</b>		<b>\$22.78</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/31/2018	RELIAM INC	ADP TRAILS	0.00	281.63	0.00	281.63	92.0	259.10
06/30/2018	HILL & DALE LEARNING	ADP TRAILS	0.00	53.38	0.00	53.38	92.0	49.11
06/30/2018	CAL MEDICAL SVC	ADP TRAILS	0.00	121.87	0.00	121.87	92.0	112.12
06/30/2018	KVM-TEC INC 401K	ADP TRAILS	0.00	7.25	0.00	7.25	92.0	6.67
06/30/2018	CELESTIAL FREIGHT	ADP TRAILS	0.00	344.79	0.00	344.79	92.0	317.21
06/30/2018	MARTINEZ LAW OFFICE	ADP TRAILS	0.00	117.41	0.00	117.41	92.0	108.02
07/17/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.47	0.00	5.47	92.0	5.03
07/16/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.89	0.00	1.89	92.0	1.74
07/16/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.10	0.00	4.10	92.0	3.77
07/16/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.29	0.00	12.29	92.0	11.31
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.37	0.00	2.37	92.0	2.18

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.72	0.00	1.72	92.0	1.58
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.66	0.00	1.66	92.0	1.53
07/23/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.64	0.00	3.64	92.0	3.35
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$959.47</b>	<b>\$0.00</b>	<b>\$959.47</b>		<b>\$882.72</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/08/2018	GIBSON, CARLA	AM GEN SEASONS ELITE	0.00	1,318.52	0.00	1,318.52	92.0	1,213.04
08/01/2018	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	0.00	176.00	0.00	176.00	92.0	161.92
07/31/2018	LAXER, JACK	JNL PERSPECTIVE L	0.00	45.86	0.00	45.86	92.0	42.19
07/31/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	0.00	50.89	0.00	50.89	92.0	46.82
07/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	0.00	58.81	0.00	58.81	92.0	54.11
07/31/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	0.00	56.88	0.00	56.88	92.0	52.33
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,706.96</b>	<b>\$0.00</b>	<b>\$1,706.96</b>		<b>\$1,570.41</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$201,400.00</b>	<b>\$14,691.19</b>	<b>\$0.00</b>	<b>\$14,691.19</b>		<b>\$13,515.91</b>
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
08/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					697.00
08/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
08/15/2018		*MISC: PASSCO NONCASH REIMBURESMENT SCHWAB CT#6692563	0.00					558.00
08/15/2018		*TECHNOLOGY: ADMIN - CHRYSANTHA COSBY	0.00					-135.00
08/15/2018		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$558.00</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,515.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$558.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,073.91
Year-to-date Gross	\$257,228.90
Year-to-date Earnings	\$236,479.28