

COMMISSION STATEMENT

July 31, 2018

LAWRENCE HORN
301 E 47TH STREET
APT 16J
NEW YORK, NY 10017

BROKER #: I 20 I75 K17 I21 I 2
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

06/29/2018	QGV006240 GS ARBITRAGE	CONVERGYS CORP COM	B	249,172.00	400.00	10.00	390.00	50.0	195.00
07/06/2018	QGV006240 GS ARBITRAGE	LADDER CAP CORP CL A	S	-96,960.60	240.00	10.00	230.00	50.0	115.00
* STOCKS SUBTOTAL *				<u>\$152,211.40</u>	<u>\$640.00</u>	<u>\$20.00</u>	<u>\$620.00</u>		<u>\$310.00</u>

PRODUCT CATEGORY: MISCELLANEOUS

07/13/2018	VARIOUS, HORN	TIEDEMANN INVESTMENT	B	0.00	10,000.00	0.00	10,000.00	50.0	5,000.00
* MISCELLANEOUS SUBTOTAL *				<u>\$0.00</u>	<u>\$10,000.00</u>	<u>\$0.00</u>	<u>\$10,000.00</u>		<u>\$5,000.00</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$152,211.40</u>	<u>\$10,640.00</u>	<u>\$20.00</u>	<u>\$10,620.00</u>		<u>\$5,310.00</u>

ADJUSTMENTS

07/31/2018	OTHER: HAMMERSTONE -MAY			0.00	0.00	0.00	0.00		-127.50
07/31/2018	OTHER: HAMMERSTONE- JUN			0.00	0.00	0.00	0.00		-127.50
07/31/2018	OTHER: BLOOMBERG			0.00	0.00	0.00	0.00		-1,050.00
07/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
07/31/2018	OTHER: HAMMERSTONE			0.00	0.00	0.00	0.00		-127.50
07/31/2018	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-1,457.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,310.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,457.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.80
SIPC Assessment	\$-1.60

Check Amount	\$3,850.10
Year-to-date Earnings	\$83,424.28