



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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PAUL M. THOMPSON
 15455 Dallas Parkway, Ste.600

BROKER #: 9114
 BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/26/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	0.79	0.00	0.79	90.0 0.71
07/26/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	2.64	0.00	2.64	90.0 2.38
07/25/2018	00064607901	LARSON JT TEN,	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0 24.75
		JEFFREY							
07/26/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.38	0.00	1.38	90.0 1.24
07/26/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.38	0.00	1.38	90.0 1.24
08/03/2018	00074947376	MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0 4.78
08/03/2018	00086561092	WISNIEWSKI, ANDREW	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0 0.45
		M.							
07/26/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	0.98	0.00	0.98	90.0 0.88
07/26/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	1.64	0.00	1.64	90.0 1.48
07/26/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.08	0.00	1.08	90.0 0.97
07/26/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.08	0.00	1.08	90.0 0.97
07/26/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B		80.00	0.96	0.00	0.96	90.0 0.86
		D.							
07/26/2018	00088535675	CARPENTER, TERRY	AMERICAN FUNDS INVESTMENT COMP OF A B		80.00	0.96	0.00	0.96	90.0 0.86
		D.							
07/26/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	0.83	0.00	0.83	90.0 0.75
07/26/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	0.83	0.00	0.83	90.0 0.75
08/01/2018	00089423266	MONTIEL, MELISSA	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0 13.50
		DYSON							
08/01/2018	00089423266	MONTIEL, MELISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0 4.50
		DYSON							
07/25/2018	5501744996	LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION B		400.00	12.00	0.00	12.00	90.0 10.80
07/25/2018	5501745018	LARSON, DEBORAH	PORTFOLIO SERIES: ACTIVE ALLOCATION B		400.00	12.00	0.00	12.00	90.0 10.80
* MUTUAL FUNDS SUBTOTAL *					\$3,587.72	\$91.86	\$0.00	\$91.86	\$82.67
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
08/08/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL		B	3,280.59	85.61	0.00	85.61	90.0 77.05
* VARIABLE ANNUITIES SUBTOTAL *					\$3,280.59	\$85.61	\$0.00	\$85.61	\$77.05

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	331.28	0.00	331.28	90.0	298.14
08/15/2018 TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES	0.00	9.78	0.00	9.78	90.0	8.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$341.06	\$0.00	\$341.06		\$306.94
*** BROKER TRANSACTIONS TOTAL ***		\$6,868.31	\$518.53	\$0.00	\$518.53		\$466.66

ADJUSTMENTS

08/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$466.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$439.16
Year-to-date Earnings	\$328,941.24