



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
 Page No. 1

JOHN ROSS
 7261 W. Central Ave.

 Toledo, OH 43617

BROKER #: R119
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/31/2017	LONG, PAUL	GRIFFIN INST'L ACCESS R E FUND	0.00	1.14	0.00	1.14	92.0	1.05
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$1.14	\$0.00	\$1.14		\$1.05
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/21/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
08/10/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
08/21/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	116.46	3.20	0.00	3.20	92.0	2.94
08/21/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
08/21/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	116.46	3.20	0.00	3.20	92.0	2.94
08/07/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
08/07/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
08/07/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
08/07/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
08/21/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
08/10/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	434.97	4.35	0.00	4.35	92.0	4.00
08/17/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	300.00	3.00	0.00	3.00	92.0	2.76
08/16/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
08/15/2017	MOLNAR, COURTNEY	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	92.0	0.92
08/10/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
08/21/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
08/10/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	500.00	5.00	0.00	5.00	92.0	4.60
08/17/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	92.0	1.84
08/25/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
08/14/2017	BLAKE, MIRIAM	LORD ABBETT AFFILIATED A	0.00	4.90	0.00	4.90	92.0	4.51
08/14/2017	ROSSCO INVESTMENT CL	LORD ABBETT BOND DEBENTURE A	0.00	0.26	0.00	0.26	92.0	0.24
08/14/2017	BLAKE, MIRIAM	LORD ABBETT DEVELOPING GROWTH A	0.00	1.29	0.00	1.29	92.0	1.19
08/14/2017	BLAKE, MIRIAM	LORD ABBETT EMERGING MARKETS A	0.00	0.05	0.00	0.05	92.0	0.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/14/2017	BLAKE, MIRIAM	LORD ABBETT INCOME A	0.00	3.63	0.00	3.63	92.0	3.34
08/14/2017	ROSSCO INVESTMENT CL	LORD ABBETT MID CAP STOCK A	0.00	1.21	0.00	1.21	92.0	1.11
* MUTUAL FUNDS SUBTOTAL *			\$3,762.89	\$89.67	\$0.00	\$89.67		\$82.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/14/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
08/22/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
08/25/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
08/25/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
07/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	148,780.95	123.98	0.00	123.98	92.0	114.06
07/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	104,466.95	87.06	0.00	87.06	92.0	80.10
07/21/2017	DEMKO, VERA	TRANSAMERICA LIBERTY	81,297.72	67.75	0.00	67.75	92.0	62.33
07/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	196,047.23	163.37	0.00	163.37	92.0	150.30
07/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,373.08	3.64	0.00	3.64	92.0	3.35
07/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	27,117.00	22.60	0.00	22.60	92.0	20.79
* VARIABLE ANNUITIES SUBTOTAL *			\$562,437.93	\$487.93	\$0.00	\$487.93		\$448.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/22/2017	YOSHINO, KAREN	ING PREMIUM PLUS	0.00	48.38	0.00	48.38	92.0	44.51
08/03/2017	SWINDLE, CYNTHIA	ING PREMIUM PLUS 2005	0.00	42.56	0.00	42.56	92.0	39.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$90.94	\$0.00	\$90.94		\$83.67
*** BROKER TRANSACTIONS TOTAL ***			\$566,200.82	\$669.68	\$0.00	\$669.68		\$616.08

VERRIDE TRANSACTIONS**BROKER: THOMAS HALSEY #82**

07/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.45	0.00	0.45	85.0	0.03
07/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.45	0.00	0.45	85.0	0.03
08/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
08/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
07/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	8.16	0.00	8.16	85.0	0.57
07/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.22	0.00	1.22	85.0	0.09
08/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
07/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.20	0.00	1.20	85.0	0.08

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS HALSEY #82 </u>								
07/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	10.94	0.00	10.94	85.0	0.77
08/14/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
08/22/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
08/22/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
07/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	136,914.30	114.10	0.00	114.10	85.0	7.99
07/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	106,033.32	88.36	0.00	88.36	85.0	6.19
07/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	87,254.10	72.71	0.00	72.71	85.0	5.09
07/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	230,943.02	192.45	0.00	192.45	85.0	13.47
07/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	99,336.26	82.78	0.00	82.78	85.0	5.79
07/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	139,427.30	116.19	0.00	116.19	85.0	8.13
07/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	149,043.04	124.20	0.00	124.20	85.0	8.69
07/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	189,171.60	157.64	0.00	157.64	85.0	11.03
07/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	235,084.50	195.90	0.00	195.90	85.0	13.71
07/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	132,410.85	110.34	0.00	110.34	85.0	7.72
07/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	178,428.55	148.69	0.00	148.69	85.0	10.41
07/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	108,918.80	90.77	0.00	90.77	85.0	6.35
07/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	94,278.37	78.57	0.00	78.57	85.0	5.50
07/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	88,371.39	73.64	0.00	73.64	85.0	5.15
07/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	134,074.26	111.73	0.00	111.73	85.0	7.82
07/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	66,426.44	55.36	0.00	55.36	85.0	3.88
07/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,730.26	24.78	0.00	24.78	85.0	1.73
07/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	216,016.28	180.01	0.00	180.01	85.0	12.60
07/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,879.75	61.57	0.00	61.57	85.0	4.31
07/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,879.75	61.57	0.00	61.57	85.0	4.31
07/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	73,879.75	61.57	0.00	61.57	85.0	4.31
07/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	196,226.16	163.52	0.00	163.52	85.0	11.45
07/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	427,800.91	356.50	0.00	356.50	85.0	24.96
07/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	93,882.38	78.24	0.00	78.24	85.0	5.48
07/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	397,198.49	331.00	0.00	331.00	85.0	23.17
07/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	75,188.89	62.66	0.00	62.66	85.0	4.39
07/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	121,783.61	101.49	0.00	101.49	85.0	7.10
07/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	122,773.17	102.31	0.00	102.31	85.0	7.16
07/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,458.27	23.72	0.00	23.72	85.0	1.66

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS HALSEY #82</u>								
07/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	66,269.60	55.22	0.00	55.22	85.0	3.87
07/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,964.80	30.80	0.00	30.80	85.0	2.16
07/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	47,819.98	39.85	0.00	39.85	85.0	2.79
* THOMAS HALSEY SUBTOTAL *			\$4,258,418.15	\$3,595.41	\$0.00	\$3,595.41		\$251.68
<u>BROKER: ROBERT THOMPSON #83</u>								
08/09/2017	OH/TOD	AM FUNDS BOND FUND OF AMERICA A	26,000.00	780.00	0.00	780.00	90.0	15.60
07/30/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.90	0.00	4.90	90.0	0.10
07/31/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	15.16	0.00	15.16	90.0	0.30
07/31/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.31	0.00	2.31	90.0	0.05
07/31/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.72	0.00	4.72	90.0	0.09
07/31/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.19	0.00	1.19	90.0	0.02
08/14/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
08/21/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
07/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	56,849.95	59.22	0.00	59.22	90.0	1.18
07/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	134,477.64	112.06	0.00	112.06	90.0	2.24
07/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,595.98	3.83	0.00	3.83	90.0	0.08
07/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	255,950.36	213.29	0.00	213.29	90.0	4.27
07/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,087.74	20.07	0.00	20.07	90.0	0.40
07/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,051.21	5.04	0.00	5.04	90.0	0.10
07/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	54,985.98	45.82	0.00	45.82	90.0	0.92
07/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,094.87	78.41	0.00	78.41	90.0	1.57
07/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,120.03	40.10	0.00	40.10	90.0	0.80
07/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	31,903.85	26.59	0.00	26.59	90.0	0.53
07/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,095.72	32.58	0.00	32.58	90.0	0.65
07/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,283.62	213.57	0.00	213.57	90.0	4.27
07/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,717.39	131.43	0.00	131.43	90.0	2.63
07/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	78,290.38	65.24	0.00	65.24	90.0	1.30
07/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,560.03	2.13	0.00	2.13	90.0	0.04
07/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	234,830.21	195.69	0.00	195.69	90.0	3.91
07/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,752.41	95.63	0.00	95.63	90.0	1.91
07/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,601.30	2.17	0.00	2.17	90.0	0.04
07/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	121,838.06	101.53	0.00	101.53	90.0	2.03
07/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,966.21	22.47	0.00	22.47	90.0	0.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT THOMPSON #83</u>								
07/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,529.25	83.77	0.00	83.77	90.0	1.68
07/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	82,800.66	69.00	0.00	69.00	90.0	1.38
07/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,968.26	33.31	0.00	33.31	90.0	0.67
07/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	111,677.37	93.06	0.00	93.06	90.0	1.86
07/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	70,254.01	58.55	0.00	58.55	90.0	1.17
07/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,374.03	82.81	0.00	82.81	90.0	1.66
07/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,999.82	145.00	0.00	145.00	90.0	2.90
07/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,616.40	179.68	0.00	179.68	90.0	3.59
07/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,872.36	22.39	0.00	22.39	90.0	0.45
07/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,493.04	64.58	0.00	64.58	90.0	1.29
07/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,908.27	125.76	0.00	125.76	90.0	2.52
07/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	60,412.69	50.34	0.00	50.34	90.0	1.01
07/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,461.21	26.22	0.00	26.22	90.0	0.52
07/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	113,411.73	94.51	0.00	94.51	90.0	1.89
07/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,358.01	140.30	0.00	140.30	90.0	2.81
* ROBERT THOMPSON SUBTOTAL *			\$3,295,490.05	\$3,564.69	\$0.00	\$3,564.69		\$71.28
*** OVERRIDE TRANSACTIONS TOTAL ***			\$7,553,908.20	\$7,160.10	\$0.00	\$7,160.10		\$322.96

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BROKER COMMISSION TOTALS

Broker Transactions	\$616.08
Split Transactions	\$0.00
Override Transactions	\$322.96
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$939.04
Year-to-date Gross	\$77,588.32
Year-to-date Earnings	\$75,139.35