

COMMISSION STATEMENT

January 15, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

DIRECT TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

12/11/2017 (None)	PHAN, NONNIE	MF BLACKROCK	B	0.00	2.50	0.00	2.50	0.0	0.00
12/22/2017 (None)	VARIOUS, HOUSE	MF FIDELITY ADVISOR FDS	B	0.00	5.61	0.00	5.61	0.0	0.00
12/31/2017 (None)	POWELLBETT	MF JOHN HANCOCK FREEDOM	B	0.00	3.71	0.00	3.71	0.0	0.00
01/02/2018 000001950778185	NJOROGE,	MF TEMPLETON GROWTH FUND CLASS A	B	25.00	1.25	0.00	1.25	0.0	0.00
01/05/2018 00060436115	PUDNEY, CHERYL	MF MASS INVESTORS GROWTH STOCK	B	250.00	12.50	0.00	12.50	0.0	0.00
12/28/2017 00061066301	MACK, BENJAMIN	MF FUNDAMENTAL INVESTORS-A	B	108.33	4.06	0.00	4.06	0.0	0.00
12/28/2017 00061066301	MACK, BENJAMIN	MF NEW PERSPECTIVE FUND-A	B	108.33	4.06	0.00	4.06	0.0	0.00
12/28/2017 00061066301	MACK, BENJAMIN	MF SMALLCAP WORLD FUND-A	B	108.33	4.06	0.00	4.06	0.0	0.00
12/28/2017 00061066301	MACK, BENJAMIN	MF THE NEW ECONOMY FUND-A	B	100.00	3.75	0.00	3.75	0.0	0.00
12/28/2017 00064738666	ROWLEY, GREGORY	MF FUNDAMENTAL INVESTORS-A	B	80.00	2.20	0.00	2.20	0.0	0.00
12/26/2017 00067001641	TAN-COLON, JOANA	MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	0.0	0.00
01/02/2018 00067292405	NEAL, GARIUS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	0.0	0.00
01/05/2018 00067884967	WEAVER, KEVIN	MF AMERICAN BALANCED FUND-A	B	500.00	21.25	0.00	21.25	0.0	0.00
01/05/2018 00068766454	COPE, DEANNA	MF AMERICAN BALANCED FUND-A	B	75.00	2.81	0.00	2.81	0.0	0.00
01/05/2018 00068766456	COPE, MARTIN	MF AMERICAN BALANCED FUND-A	B	75.00	2.81	0.00	2.81	0.0	0.00
01/02/2018 00073079936	SHARP, CAMILLE	MF THE INCOME FUND OF AMERICA-529A	B	250.00	10.63	0.00	10.63	0.0	0.00
01/02/2018 00073674881	BOWERFIND/AUTH	MF AMERICAN BALANCED FUND-A	B	50.00	2.13	0.00	2.13	0.0	0.00
01/02/2018 00073674881	BOWERFIND/AUTH	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
01/04/2018 00075954308	ZARR, P	MF CAPITAL WORLD GROWTH AND	B	50.00	2.13	0.00	2.13	0.0	0.00
01/04/2018 00075954308	ZARR, P	MF THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF CAPITAL INCOME BUILDER-A	B	13.33	0.67	0.00	0.67	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF CAPITAL INCOME BUILDER-A	B	26.67	1.33	0.00	1.33	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF CAPITAL WORLD GROWTH AND INCOME	B	26.67	1.33	0.00	1.33	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF CAPITAL WORLD GROWTH AND INCOME	B	53.33	2.67	0.00	2.67	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF EUROPACIFIC GROWTH FUND-A	B	33.33	1.67	0.00	1.67	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF EUROPACIFIC GROWTH FUND-A	B	66.67	3.33	0.00	3.33	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF FUNDAMENTAL INVESTORS-A	B	26.67	1.33	0.00	1.33	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF FUNDAMENTAL INVESTORS-A	B	53.33	2.67	0.00	2.67	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF NEW PERSPECTIVE FUND-A	B	33.33	1.67	0.00	1.67	0.0	0.00
01/02/2018 00082022340	MOORE, TIM	MF NEW PERSPECTIVE FUND-A	B	66.67	3.33	0.00	3.33	0.0	0.00
12/27/2017 00083275074	GAYNOR, MICHELE	MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/02/2018 00084671701	NIBLOCK, LEANN	MF CAPITAL INCOME BUILDER-A	B	50.00	2.50	0.00	2.50	0.0	0.00
01/05/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	33.00	1.65	0.00	1.65	0.0	0.00
01/05/2018 00085852490	BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	0.99	0.05	0.00	0.05	0.0	0.00
01/05/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	33.00	1.65	0.00	1.65	0.0	0.00
01/05/2018 00085852490	BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	0.99	0.05	0.00	0.05	0.0	0.00
01/05/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	34.00	1.70	0.00	1.70	0.0	0.00
01/05/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	1.02	0.05	0.00	0.05	0.0	0.00
12/28/2017 00088444007	SCHAFER, ROBERT	MF BALANCED PORTFOLIO-A	B	458.33	22.92	0.00	22.92	0.0	0.00
01/02/2018 00088868037	STANSBURY, GARET	MF AMCAP FUND-529C	B	50.00	0.50	0.00	0.50	0.0	0.00
01/02/2018 00088868037	STANSBURY, GARET	MF AMERICAN BALANCED FUND-529C	B	150.00	1.50	0.00	1.50	0.0	0.00
01/05/2018 06900197937	GOODWATER,	MF INVESCO AMERICAN FRANCHISE FUND	B	30.00	1.20	0.00	1.20	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$3,271.32	\$150.37	\$0.00	\$150.37		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/29/2017 (None) ORR, SYLVIA	VA VOYA	B	0.00	365.31	0.00	365.31	0.0	0.00
12/31/2017 (None) VARIOUS, HOUSE	VA LINCOLN NATL LIFE	B	0.00	135.70	0.00	135.70	0.0	0.00
01/03/2018 (None) ARROYO, YUN	VA AMERICAN GENERAL	B	0.00	5.25	0.00	5.25	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$506.26	\$0.00	\$506.26		\$0.00

PRODUCT CATEGORY: FIXED ANNUITIES

01/01/2018 (None) NEWTON, HENRY	FA ALLIANZ FIA	B	0.00	34.27	0.00	34.27	0.0	0.00
* FIXED ANNUITIES SUBTOTAL *			\$0.00	\$34.27	\$0.00	\$34.27		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/04/2017 (None)	PHAN, NONNIE	MFT BLACKROCK	B	0.00	3.11	0.00	3.11	0.0	0.00
12/04/2017 (None)	HALLEELIZ	MFT BLACKROCK	B	0.00	3.11	0.00	3.11	0.0	0.00
12/29/2017 (None)	FORREEDSO	MFT VIRTUS	B	0.00	1.94	0.00	1.94	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	MFT NATIXIS	B	0.00	8.88	0.00	8.88	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	MFT OPPENHEIMER	B	0.00	229.82	0.00	229.82	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	MFT OPPENHEIMER	B	0.00	4.11	0.00	4.11	0.0	0.00
01/08/2018 (None)	VARIOUS, HOUSE	MFT FIDELITY ADVISOR FDS	B	0.00	229.84	0.00	229.84	0.0	0.00
01/08/2018 (None)	VARIOUS, HOUSE	MFT FIDELITY ADVISOR FDS	B	0.00	40.31	0.00	40.31	0.0	0.00
01/01/2018 00000515197	PEDERSEN UTMA/MO,	MFT AIM EUROPEAN SMALL COMPANY FUND	B	0.00	1.86	0.00	1.86	0.0	0.00
01/01/2018 00000621995	WALDROP, SUSAN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.21	0.00	0.21	0.0	0.00
01/01/2018 00008013159	ROBERT ARMBRUST &	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	21.81	0.00	21.81	0.0	0.00
01/01/2018 00060408637	DEPAOLO, RINALDO	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	4.56	0.00	4.56	0.0	0.00
01/01/2018 00060417918	HEUP, MICHAEL	MFT MFS NEW DISCOVERY FUND-A	B	0.00	43.52	0.00	43.52	0.0	0.00
01/01/2018 00060428524	FULLEM, R	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	42.71	0.00	42.71	0.0	0.00
01/01/2018 00060436115	PUDNEY, CHERYL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	6.16	0.00	6.16	0.0	0.00
01/01/2018 00060475060	PINKHAM, TIMOTHY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	11.23	0.00	11.23	0.0	0.00
01/01/2018 00060475061	PINKHAM,	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	13.08	0.00	13.08	0.0	0.00
01/01/2018 00060499852	MOORE, SHELLY	MFT MFS GLOBAL EQUITY FUND-A	B	0.00	4.12	0.00	4.12	0.0	0.00
01/01/2018 00060509558	DELEON, MICHELE	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	2.30	0.00	2.30	0.0	0.00
01/01/2018 00060532225	RODRIGUEZ,	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.40	0.00	0.40	0.0	0.00
01/01/2018 00060532993	RODRIGUEZ,	MFT MASS INVESTORS GROWTH STOCK	B	0.00	2.94	0.00	2.94	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/01/2018 00060534871	RODRIGUEZ,	MFT MFS MID CAP GROWTH FUND-A	B	0.00	0.98	0.00	0.98	0.0	0.00
01/01/2018 00060534983	RODRIGUEZ,	MFT MFS INTERNATIONAL GROWTH FUND-A	B	0.00	1.76	0.00	1.76	0.0	0.00
01/01/2018 00060535007	RODRIGUEZ,	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	0.51	0.00	0.51	0.0	0.00
01/01/2018 00060549692	FOSTER, BLAINE	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	0.65	0.00	0.65	0.0	0.00
01/01/2018 00060550012	FOSTER, BLAINE	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.21	0.00	0.21	0.0	0.00
01/01/2018 00060577526	ALMQUIST, DIANA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	2.41	0.00	2.41	0.0	0.00
01/01/2018 00060577786	ALMQUIST, DIANA	MFT MFS VALUE FUND-A	B	0.00	2.76	0.00	2.76	0.0	0.00
01/01/2018 00060579121	ALMQUIST, KELLY	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	1.06	0.00	1.06	0.0	0.00
01/01/2018 00060579273	ALMQUIST, KELLY	MFT MFS VALUE FUND-A	B	0.00	1.19	0.00	1.19	0.0	0.00
01/01/2018 00090004347	CALDCLEUGH, JOHN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	123.36	0.00	123.36	0.0	0.00
01/01/2018 00090006326	DENNIS, PAULA	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	10.30	0.00	10.30	0.0	0.00
01/01/2018 00090046071	MESSINGER, GARY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	31.08	0.00	31.08	0.0	0.00
01/01/2018 00660001401	ROBERT ARMBRUST &	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	132.78	0.00	132.78	0.0	0.00
01/01/2018 00660199086	BAKER, ISABEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	20.92	0.00	20.92	0.0	0.00
01/01/2018 00660279542	PROFIT SHARING	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.13	0.00	0.13	0.0	0.00
01/01/2018 00660284704	GOWEY, DANIEL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	17.50	0.00	17.50	0.0	0.00
01/01/2018 00660284705	GOWEY, JUDY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.97	0.00	7.97	0.0	0.00
01/01/2018 00660357268	EDMONDS, CHARLES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	16.19	0.00	16.19	0.0	0.00
01/01/2018 00660379849	HAMMER, SUSAN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.93	0.00	22.93	0.0	0.00
01/01/2018 00660433734	MARTINSON, HELWIG	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	21.79	0.00	21.79	0.0	0.00
01/01/2018 00660433903	MYLER, JOSEPHINE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	75.36	0.00	75.36	0.0	0.00
01/01/2018 00670120596	MARTINEZ, MELANIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	16.16	0.00	16.16	0.0	0.00
01/01/2018 00670131517	JONES, JOHN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	30.75	0.00	30.75	0.0	0.00
01/01/2018 00670561400	LOUIS JT TEN,	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	5.07	0.00	5.07	0.0	0.00
01/01/2018 00670572497	GIFT TO MIN ACT -	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.44	0.00	0.44	0.0	0.00
01/01/2018 00670631766	KESSARIS, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	32.08	0.00	32.08	0.0	0.00
01/01/2018 00671595505	RUDDICK, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	30.63	0.00	30.63	0.0	0.00
01/01/2018 00671980725	SAN JUAN, BENJIE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.07	0.00	1.07	0.0	0.00
01/01/2018 00672008246	JIMERSON, RONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.78	0.00	0.78	0.0	0.00
01/01/2018 00672028958	GOODWATER,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.03	0.00	1.03	0.0	0.00
01/01/2018 00672244859	SHARON D RHEA,	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	4.07	0.00	4.07	0.0	0.00
01/01/2018 00672244859	SHARON D RHEA,	MFT INVESCO SMALL CAP VALUE FUND	B	0.00	8.55	0.00	8.55	0.0	0.00
01/03/2018 00902154485	COLEMAN JT TEN	MFT PIONEER FUND A	B	0.00	6.06	0.00	6.06	0.0	0.00
01/03/2018 00957551520	TEN WRO SURVSHF,	MFT PIONEER VALUE FUND A	B	0.00	11.61	0.00	11.61	0.0	0.00
01/03/2018 00958527106	ROBERTSON, MARVIN	MFT PIONEER VALUE FUND A	B	0.00	4.46	0.00	4.46	0.0	0.00
01/01/2018 01000160263	PEDERSEN, ANGELA	MFT AIM EUROPEAN SMALL COMPANY FUND	B	0.00	13.88	0.00	13.88	0.0	0.00
01/01/2018 03910267768	PHILLIPS, MATTHEW	MFT AIM CHARTER FUND - CLASS A	B	0.00	28.52	0.00	28.52	0.0	0.00
01/01/2018 03910267768	PHILLIPS, MATTHEW	MFT AIM CHARTER FUND CLASS B	B	0.00	2.51	0.00	2.51	0.0	0.00
01/01/2018 03910267776	PHILLIPS, MATTHEW	MFT AIM SMALL CAP GROWTH FUND -	B	0.00	43.80	0.00	43.80	0.0	0.00
01/01/2018 03910267776	PHILLIPS, MATTHEW	MFT AIM SMALL CAP GROWTH FUND -	B	0.00	4.63	0.00	4.63	0.0	0.00
01/01/2018 03910377153	MCCARTNEY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	8.22	0.00	8.22	0.0	0.00
01/01/2018 03910392665	GUERRA UTMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	14.61	0.00	14.61	0.0	0.00
01/01/2018 04027244005	PARKER, NICOLE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.37	0.00	2.37	0.0	0.00
01/01/2018 04029042472	WETHERELL, JACK	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.07	0.00	0.07	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/01/2018 04030150553	FRAZIER UGMA/OH,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.79	0.00	7.79	0.0	0.00
01/01/2018 04030271060	RIOS, ANTONIO	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.98	0.00	3.98	0.0	0.00
01/01/2018 04039223476	TRAPP, ELLEN	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	1.90	0.00	1.90	0.0	0.00
01/01/2018 04040672778	GUERRA, YVETTE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	14.61	0.00	14.61	0.0	0.00
01/01/2018 04400055004	NANCARROW, PEARL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.79	0.00	3.79	0.0	0.00
01/01/2018 05070731830	TRAPP, ELLEN	MFT AIM EUROPEAN GROWTH FUND -	B	0.00	1.67	0.00	1.67	0.0	0.00
01/01/2018 06605551073	MONFILS JT TEN,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	105.57	0.00	105.57	0.0	0.00
01/01/2018 06605551582	AUNE, JAMES	MFT AIM GOLD & PRECIOUS METALS FD -	B	0.00	2.97	0.00	2.97	0.0	0.00
01/01/2018 06605551582	AUNE, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.55	0.00	4.55	0.0	0.00
01/01/2018 06605551582	AUNE, JAMES	MFT INVESCO GROWTH AND INCOME FUND	B	0.00	6.92	0.00	6.92	0.0	0.00
01/01/2018 06605551582	AUNE, JAMES	MFT INVESCO S&P 500 INDEX FUND -	B	0.00	7.57	0.00	7.57	0.0	0.00
01/01/2018 06700072396	ALBERTS, TOM	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.05	0.00	0.05	0.0	0.00
01/01/2018 06700268696	NESTLER,	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	5.53	0.00	5.53	0.0	0.00
01/01/2018 06700270213	COPIN, CHARLES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.77	0.00	2.77	0.0	0.00
01/01/2018 06700354795	GONZALEZ, ANA	MFT AIM INTERMEDIATE GOVERNMENT FD	B	0.00	0.28	0.00	0.28	0.0	0.00
01/01/2018 06900187019	LOUGH, MICHAEL	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	3.21	0.00	3.21	0.0	0.00
01/01/2018 06900190172	SUESS, DAVID	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.23	0.00	5.23	0.0	0.00
01/01/2018 06900193609	JIMERSON, RONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.96	0.00	0.96	0.0	0.00
01/01/2018 06900196025	RILEY, JENNIFER	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	5.62	0.00	5.62	0.0	0.00
01/01/2018 06900196117	DAVENPORT,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.86	0.00	7.86	0.0	0.00
01/01/2018 06900196118	DAVENPORT, RENZY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.57	0.00	4.57	0.0	0.00
01/01/2018 06900197937	GOODWATER,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	29.44	0.00	29.44	0.0	0.00
01/01/2018 06900198501	STEVEN R CAZALES,	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	2.64	0.00	2.64	0.0	0.00
01/01/2018 06900198598	STEVEN R CAZALES,	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.75	0.00	0.75	0.0	0.00
01/01/2018 06900201788	RUSTEBAKKE,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.05	0.00	1.05	0.0	0.00
01/01/2018 06900203494	CASE, SOPHIA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.73	0.00	0.73	0.0	0.00
01/01/2018 06900208080	HEISSENBUTTEL,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.55	0.00	3.55	0.0	0.00
01/01/2018 06900208392	FISSELL, SHAWN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.47	0.00	1.47	0.0	0.00
01/01/2018 07000115894	BROWN UGMA TX,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	16.68	0.00	16.68	0.0	0.00
01/01/2018 08182639204	ANDERSON, MARK	MFT MFS TOTAL RETURN FUND-A	B	0.00	32.94	0.00	32.94	0.0	0.00
01/01/2018 08187040701	MORELAND,	MFT MFS TECHNOLOGY FUND-C	B	0.00	4.55	0.00	4.55	0.0	0.00
01/01/2018 08187485136	HEUP, MICHAEL	MFT MFS NEW DISCOVERY FUND-B	B	0.00	3.28	0.00	3.28	0.0	0.00
01/01/2018 08187488863	DEPAOLO, RINALDO	MFT MASSACHUSETTS INVESTORS TRUST-B	B	0.00	1.43	0.00	1.43	0.0	0.00
01/01/2018 08188090075	BROWN DDS SARSEP	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	22.74	0.00	22.74	0.0	0.00
01/01/2018 08188411218	RODRIGUEZ,	MFT MFS TOTAL RETURN FUND-C	B	0.00	15.09	0.00	15.09	0.0	0.00
01/01/2018 08188661683	LITTLETON, CLOVER	MFT MFS VALUE FUND-A	B	0.00	2.69	0.00	2.69	0.0	0.00
01/01/2018 08188661687	LITTLETON, CLOVER	MFT MFS CORE EQUITY FUND-A	B	0.00	1.86	0.00	1.86	0.0	0.00
01/01/2018 08188661691	LITTLETON, CLOVER	MFT MFS MID CAP GROWTH FUND-A	B	0.00	1.35	0.00	1.35	0.0	0.00
01/01/2018 08189572800	KEMP, BOB	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	4.29	0.00	4.29	0.0	0.00
01/01/2018 08189572804	KEMP, BOB	MFT MFS RESEARCH FUND-A	B	0.00	4.41	0.00	4.41	0.0	0.00
01/01/2018 08189815192	BROWN DDS SARSEP	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	21.79	0.00	21.79	0.0	0.00
01/01/2018 08191086186	DEVINE, MARC	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.55	0.00	4.55	0.0	0.00
01/01/2018 08191219059	SOMERS, ELLEN	MFT MFS GROWTH FUND-C	B	0.00	43.53	0.00	43.53	0.0	0.00
01/01/2018 08191219059	SOMERS, ELLEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	42.90	0.00	42.90	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/01/2018 08191219059	SOMERS, ELLEN	MFT MFS UTILITIES FUND-C	B	0.00	31.10	0.00	31.10	0.0	0.00
01/01/2018 08191239885	GRIFFITH, EARL	MFT MFS GROWTH FUND-C	B	0.00	11.54	0.00	11.54	0.0	0.00
01/01/2018 08191239885	GRIFFITH, EARL	MFT MFS MID CAP VALUE FUND-C	B	0.00	10.05	0.00	10.05	0.0	0.00
01/01/2018 08191239885	GRIFFITH, EARL	MFT MFS TECHNOLOGY FUND-C	B	0.00	13.42	0.00	13.42	0.0	0.00
01/01/2018 08191239887	GRIFFITH,	MFT MFS GROWTH FUND-C	B	0.00	11.03	0.00	11.03	0.0	0.00
01/01/2018 08191239887	GRIFFITH,	MFT MFS MID CAP VALUE FUND-C	B	0.00	9.95	0.00	9.95	0.0	0.00
01/01/2018 08800124310	CARLMAN, STEPHEN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	20.78	0.00	20.78	0.0	0.00
01/01/2018 09700348171	NESTLER, KENNETH	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.53	0.00	7.53	0.0	0.00
01/01/2018 09890042052	DWYER, KATHI	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	14.29	0.00	14.29	0.0	0.00
01/01/2018 09890045666	STEWART, JAIME	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.51	0.00	0.51	0.0	0.00
01/01/2018 09890060920	SHEAD, CAROLYN	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	0.62	0.00	0.62	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,031.16	\$0.00	\$2,031.16		\$0.00

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/23/2017 (None)	VARIOUS, HOUSE	VAT AMERICAN GENERAL	B	0.00	18.06	0.00	18.06	0.0	0.00
12/26/2017 (None)	VARIOUS, HOUSE	VAT ALLSTATE	B	0.00	9.38	0.00	9.38	0.0	0.00
12/29/2017 (None)	EIGNUS, CATHERINE	VAT ALLIANZ LIFE	B	0.00	18.28	0.00	18.28	0.0	0.00
12/30/2017 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	442.99	0.00	442.99	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	56.04	0.00	56.04	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	120.03	0.00	120.03	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	VAT LINCOLN NATL LIFE	B	0.00	84.83	0.00	84.83	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	VAT NATIONWIDE	B	0.00	6.85	0.00	6.85	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	635.09	0.00	635.09	0.0	0.00
12/31/2017 (None)	VARIOUS, HOUSE	VAT PRUDENTIAL LIFE	B	0.00	1,194.48	0.00	1,194.48	0.0	0.00
01/05/2018 (None)	VARIOUS, HOUSE	VAT AXA LIFE	B	0.00	1,975.54	0.00	1,975.54	0.0	0.00
01/05/2018 (None)	VARIOUS, HOUSE	VAT AXA LIFE	B	0.00	151.35	0.00	151.35	0.0	0.00
01/05/2018 (None)	VARIOUS, HOUSE	VAT AXA LIFE	B	0.00	524.44	0.00	524.44	0.0	0.00
01/05/2018 (None)	VARIOUS, HOUSE	VAT JOHN HANCOCK LIFE	B	0.00	552.93	0.00	552.93	0.0	0.00
01/05/2018 (None)	RYLAND, CONSTANCE	VAT JOHN HANCOCK LIFE	B	0.00	11.23	0.00	11.23	0.0	0.00
12/31/2017 00510042259	VAN DER RAAY,	VAT HARTFORD LIFE	B	0.00	43.75	0.00	43.75	0.0	0.00
12/31/2017 00712280202	STEADMAN, NANCY	VAT HARTFORD LIFE	B	0.00	66.84	0.00	66.84	0.0	0.00
12/31/2017 00712280202	POLSER, JASON	VAT HARTFORD LIFE	B	0.00	35.11	0.00	35.11	0.0	0.00
12/31/2017 00712280202	VARIOUS, HOUSE	VAT HARTFORD LIFE	B	0.00	1,099.58	0.00	1,099.58	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$7,046.80	\$0.00	\$7,046.80		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$3,271.32	\$9,768.86	\$0.00	\$9,768.86		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00