



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**November 15, 2017**

11/13/2017  
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MARK-S142 ALTS SMITH

BROKER #: S142  
BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
10/24/2017	INGRAM, RONALD	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	49.0	3,430.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$3,430.00
*** DIRECT TRANSACTIONS TOTAL ***			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$3,430.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,430.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$28.85
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,458.85
Year-to-date Gross	\$24,906.91
Year-to-date Earnings	\$12,189.67

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed