

**COMMISSION STATEMENT****July 15, 2018**MEREDITH MCCUTCHEN  
2161 Spring Grove Drive West

BROKER #: M131 Terminated 02/29/2016

BRANCH: MEREDITH MCCUTCHEN

Mobile, AL 36695-

| DATE  | CLIENT             | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                    |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                    |                                     |                      |                     |                    |                   |      |                      |
| 06/15/2018  | MCCUTCHEN, JERRY   | AM FUNDS AMERICAN MUTUAL A          | 0.00                 | 5.17                | 0.00               | 5.17              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, DEBORAH | AM FUNDS AMERICAN MUTUAL A          | 0.00                 | 5.28                | 0.00               | 5.28              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, JERRY   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 3.38                | 0.00               | 3.38              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, DEBORAH | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 3.40                | 0.00               | 3.40              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, JERRY   | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 6.04                | 0.00               | 6.04              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, DEBORAH | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 6.19                | 0.00               | 6.19              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, JERRY   | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 3.94                | 0.00               | 3.94              | 0.0  | 0.00                 |
| 06/15/2018  | MCCUTCHEN, DEBORAH | AM FUNDS NEW PERSPECTIVE A          | 0.00                 | 3.96                | 0.00               | 3.96              | 0.0  | 0.00                 |
| 06/12/2018  | WEST, GLENDA       | CALVERT SHORT DURATION INCOME FUND  | 0.00                 | 4.68                | 0.00               | 4.68              | 0.0  | 0.00                 |
| 06/18/2018  | MCCUTCHEN, JERRY   | DAVIS NEW YORK VENTURE A            | 0.00                 | 0.09                | 0.00               | 0.09              | 0.0  | 0.00                 |
| 06/18/2018  | MCCUTCHEN, JERRY   | OPPENHEIMER GLOBAL A                | 0.00                 | 0.15                | 0.00               | 0.15              | 0.0  | 0.00                 |
| 06/18/2018  | MCCUTCHEN, JERRY   | OPPENHEIMER MAIN STREET OPP A       | 0.00                 | 0.21                | 0.00               | 0.21              | 0.0  | 0.00                 |
| 06/18/2018  | MCCUTCHEN, JERRY   | OPPENHEIMER SMALL & MIDCAP GROWTH A | 0.00                 | 0.23                | 0.00               | 0.23              | 0.0  | 0.00                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>             |                    |                                     | <b>\$0.00</b>        | <b>\$42.72</b>      | <b>\$0.00</b>      | <b>\$42.72</b>    |      | <b>\$0.00</b>        |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>                |                    |                                     | <b>\$0.00</b>        | <b>\$42.72</b>      | <b>\$0.00</b>      | <b>\$42.72</b>    |      | <b>\$0.00</b>        |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>     |
| <b>Year-to-date Gross</b>    | <b>\$2,990.41</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>     |