

**COMMISSION STATEMENT****January 15, 2018**

ROBERT SMITH  
5000 Meadows Road  
Suite 230  
Lake Oswego, OR 97035

BROKER #: S117  
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/05/2018	AARSETH UGMA, ERIC	UNIVERSAL DISPLAY CORP COM	-5,433.36	108.67	22.50	86.17	92.0	79.28
* STOCKS SUBTOTAL *			\$-5,433.36	\$108.67	\$22.50	\$86.17		\$79.28
*** CLEARING TRANSACTIONS TOTAL ***			\$-5,433.36	\$108.67	\$22.50	\$86.17		\$79.28
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/21/2017	BENNETT, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.26	0.00	5.26	92.0	4.84
12/21/2017	BENNETT, GLENDA	FRANKLIN DYNATECH A	0.00	0.57	0.00	0.57	92.0	0.52
12/21/2017	BENNETT, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.66	0.00	7.66	92.0	7.05
12/21/2017	BENNETT, GLENDA	FRANKLIN GROWTH A	0.00	8.36	0.00	8.36	92.0	7.69
12/21/2017	BENNETT, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.19	0.00	2.19	92.0	2.01
12/21/2017	BENNETT, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.76	0.00	4.76	92.0	4.38
12/21/2017	BENNETT, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.63	0.00	3.63	92.0	3.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$32.43	\$0.00	\$32.43		\$29.83
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$32.43	\$0.00	\$32.43		\$29.83

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
01/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
01/15/2018		*CONCIERGE SVC: 205537 CHAN-TTEES	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 205538 MATHEWS	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 205654 RIPLEY-TTEES	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 206661 MATHEWS	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 207073 LUQUE	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 207105 ROLLO	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 207110 DETERT TEE	0.00					-50.00
01/15/2018		*CONCIERGE SVC: 207313 TILLSON, TTE	0.00					-50.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-130.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,017.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$109.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,017.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-907.89</b>
<b>Year-to-date Gross</b>	<b>\$141.10</b>
<b>Year-to-date Earnings</b>	<b>\$109.11</b>

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