



COMMISSION STATEMENT

July 23, 2018

STANLEY (DARRELL) KEATHLEY
200 Prosperity Drive
Suite 133
Knoxville, TN 37923

BROKER #: B07
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/23/2018	***-**-20IR - PFAFF, SHEILA	CAPITAL INCOME BUILDER-A	0.00	0.78	0.00	0.78	80.0	0.62
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
07/23/2018	***-**-814I - SELVIDGE, SHELIA	CAPITAL INCOME BUILDER-A	0.00	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: LSR012009	BUY 1 Units @0.0000						
07/23/2018	***-**-825O - BOSSE, PAUL	CAPITAL INCOME BUILDER-A	0.00	2.58	0.00	2.58	80.0	2.06
	ACCOUNT #: LSR012084	BUY 1 Units @0.0000						
07/23/2018	***-**-820J - PFAFF, ROBERT	FIRST EAGLE GLOBAL FUND CLASS A	0.00	1.43	0.00	1.43	80.0	1.14
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
07/23/2018	***-**-29IR - CLARK, RONALD	FIRST EAGLE GLOBAL FUND CLASS A	0.00	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
07/23/2018	***-**-820J - PFAFF, ROBERT	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	0.65	0.00	0.65	80.0	0.52
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
07/23/2018	***-**-397O - BISHOP, RICHARD	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: LSR012040	BUY 1 Units @0.0000						
07/23/2018	***-**-820I - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	3.20	0.00	3.20	80.0	2.56
	ACCOUNT #: LSR011998	BUY 1 Units @0.0000						
07/23/2018	***-**-20IR - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	8.13	0.00	8.13	80.0	6.50
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
07/23/2018	***-**-820J - PFAFF, ROBERT	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	6.16	0.00	6.16	80.0	4.93
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
07/23/2018	***-**-397O - BISHOP, RICHARD	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: LSR012040	BUY 1 Units @0.0000						
07/23/2018	***-**-29IR - CLARK, RONALD	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	5.38	0.00	5.38	80.0	4.30
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
07/23/2018	***-**-926I - VONSTEMPA, JOYCE	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	2.85	0.00	2.85	80.0	2.28
	ACCOUNT #: LSR012180	BUY 1 Units @0.0000						
07/23/2018	***-**-20IR - PFAFF, SHEILA	TRANSAMERICA LARGE CAP VALUE CL A	0.00	3.22	0.00	3.22	80.0	2.58
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
07/23/2018	***-**-20IR - PFAFF, SHEILA	TRS SMALL/MID CAP VALUE A NFS	0.00	7.78	0.00	7.78	80.0	6.22
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$49.66	\$0.00	\$49.66		\$39.71
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$49.66	\$0.00	\$49.66		\$39.71



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<div>BROKER COMMISSION TOTALS</div> <div><div>Broker Transactions</div><div>Split Transactions</div><div>Override Transactions</div><div>Adjustments</div><div>Payroll Draw</div><div>Base Salary</div><div>Prior Period Balance</div><div>FINRA Assessment</div><div>SIPC Assessment</div></div> <div><div>Please Retain for Your Records</div><div>THERE WILL BE NO PAYMENT THIS PERIOD</div></div> <div><div>Balance Forward</div><div>Year-to-date Earnings</div></div> <div><div>\$39.71</div><div>\$0.00</div><div>\$0.00</div><div>\$0.00</div><div>\$0.00</div><div>\$0.00</div><div>\$-18.00</div><div>\$0.00</div><div>\$0.00</div><div>\$21.71</div><div>\$24,796.05</div></div>								