



Concorde Investment Services, LLC

03/06/2017  
Page No. 1

# COMMISSION STATEMENT

February 28, 2017

JASON BAIRD  
2565 W. Maple Road

BROKER #: B149 Terminated 12/31/2016  
BRANCH: SECURE ASSET FINANCI

Troy, MI

| DATE   | CLIENT                | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                       |                       |                                     |                      |                     |                    |                   |      |                      |
| <b>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</b> |                       |                                     |                      |                     |                    |                   |      |                      |
| 02/15/2017   | VANDERWAL, ELIZABETH  | AM FUNDS AMERICAN BALANCED C        | 50.00                | 0.12                | 0.00               | 0.12              | 0.0  | 0.00                 |
| 02/17/2017   | FEY, MICHAEL          | AM FUNDS AMERICAN BALANCED C        | 50.00                | 0.12                | 0.00               | 0.12              | 0.0  | 0.00                 |
| 02/15/2017   | THOMPSON, MARGARET    | AM FUNDS CAPITAL WORLD GRTH & INC C | 200.00               | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 02/15/2017   | BRACHER, DOUGLAS      | AM FUNDS INCOME FUND OF AMERICA-529 | 50.00                | 0.12                | 0.00               | 0.12              | 0.0  | 0.00                 |
| 02/15/2017   | BOWLBY, TAMIE         | AMERICAN FUND COLLEGE 2027 FUND 529 | 200.00               | 1.75                | 0.00               | 1.75              | 0.0  | 0.00                 |
| 02/15/2017   | BOWLBY, BRET          | AMERICAN FUNDS COLLEGE 2024 FD-529A | 250.00               | 2.18                | 0.00               | 2.18              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLACKROCK 19452P735                 | 0.00                 | 0.03                | 0.00               | 0.03              | 0.0  | 0.00                 |
| / /  | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 0.75                | 0.00               | 0.75              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 7.21                | 0.00               | 7.21              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 5.91                | 0.00               | 5.91              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 0.63                | 0.00               | 0.63              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 1.89                | 0.00               | 1.89              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 2.40                | 0.00               | 2.40              | 0.0  | 0.00                 |
| 01/31/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 0.00                 | 1.97                | 0.00               | 1.97              | 0.0  | 0.00                 |
| 02/15/2017   | WAX, LUBENA           | BLK EQUITY DIVIDEND C               | 300.00               | 0.75                | 0.00               | 0.75              | 0.0  | 0.00                 |
| 02/15/2017   | POLL, ROGOR           | FT EQUITY INC CL C                  | 600.00               | 1.50                | 0.00               | 1.50              | 0.0  | 0.00                 |
| 02/07/2017   | BRINICH, EVA          | NW LA YOUR LIFE PROTECTION          | 100.00               | 0.25                | 0.00               | 0.25              | 0.0  | 0.00                 |
| 02/15/2017   | COCHRILL, MELANIE     | NWL NEXT GEN II FP VUL              | 30.00                | 0.22                | 0.00               | 0.22              | 0.0  | 0.00                 |
| 02/16/2017   | BAIRD, KATHRYN        | NWL NEXT GEN II FP VUL              | 142.00               | 1.06                | 0.00               | 1.06              | 0.0  | 0.00                 |
| 02/15/2017   | HINCHLIFFE, ERIC      | NWL YOURLIFE ACCUM VUL              | 210.13               | 0.52                | 0.00               | 0.52              | 0.0  | 0.00                 |
| 02/15/2017   | GREENBLATT JR, ROLAND | NWL YOURLIFE ACCUM VUL              | 110.58               | 0.27                | 0.00               | 0.27              | 0.0  | 0.00                 |
| 02/15/2017   | RICCI, SARAH          | NWL YOURLIFE ACCUM VUL              | 492.24               | 1.23                | 0.00               | 1.23              | 0.0  | 0.00                 |
| 02/16/2017   | RICCI, SARAH          | NWL YOURLIFE ACCUM VUL              | 88.83                | 0.22                | 0.00               | 0.22              | 0.0  | 0.00                 |
| 02/13/2017   | REICH, MICHELLE       | OPPENHEIMER FUND 68385E200          | 50.00                | 0.12                | 0.00               | 0.12              | 0.0  | 0.00                 |
| 02/13/2017   | REICH, MICHELLE       | OPPENHEIMER PTF SERIES EQUITY INVES | 50.00                | 0.12                | 0.00               | 0.12              | 0.0  | 0.00                 |
| 02/17/2017   | JOHNSTONE, WILLIAM    | PAC LIFE VUL                        | 152.00               | 0.38                | 0.00               | 0.38              | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE  | CLIENT                   | INVESTMENT                     | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                              |                          |                                |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b> |                          |                                |                      |                     |                    |                   |      |                      |
| 02/15/2017  | REICH, MICHELLE          | PRU PREMIER INVT VA C          | 50.00                | 0.00                | 0.00               | 0.00              | 0.0  | 0.00                 |
| 02/16/2017  | BONGIOVANNI TOD, CLARICE | TRANSAMERICA AA CONSERVATIVE A | 250.00               | 2.97                | 0.00               | 2.97              | 0.0  | 0.00                 |
| 01/01/2017  | THOMPSON, MARGARET       | TRANSAMERICA LIBERTY           | 17,869.76            | 3.72                | 0.00               | 3.72              | 0.0  | 0.00                 |
| * B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *               |                          |                                | <b>\$21,295.54</b>   | <b>\$38.91</b>      | <b>\$0.00</b>      | <b>\$38.91</b>    |      | <b>\$0.00</b>        |
| *** SPLIT TRANSACTIONS TOTAL ***                              |                          |                                | <b>\$21,295.54</b>   | <b>\$38.91</b>      | <b>\$0.00</b>      | <b>\$38.91</b>    |      | <b>\$0.00</b>        |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>     |
| <b>Year-to-date Gross</b>    | <b>\$2,927.92</b> |
| <b>Year-to-date Earnings</b> | <b>\$2,601.20</b> |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed