



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2017

04/18/2017
Page No. 1

JOSEPH MICHALETZ
41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
02/27/2017	GRIMM, DONALD	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	14,000.00	0.00	14,000.00	93.0	13,020.00
03/03/2017	CHESTER, GLENN	GPB AUTOMOTIVE PORTFOLIO LP	240,000.00	16,800.00	0.00	16,800.00	93.0	15,624.00
03/03/2017	CHESTER, GLENN	GPB WASTE MANAGEMENT LP	240,000.00	16,800.00	0.00	16,800.00	93.0	15,624.00
* PRIVATE PLACEMENT SUBTOTAL *			\$680,000.00	\$47,600.00	\$0.00	\$47,600.00		\$44,268.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
03/03/2017	CHESTER, GLENN	RESOURCE ROYALTY BLAINE FISHER	240,000.00	14,400.00	0.00	14,400.00	93.0	13,392.00
03/09/2017	TK LEGACY LLC	RESOURCE ROYALTY BLAINE FISHER	500,000.00	30,000.00	0.00	30,000.00	93.0	27,900.00
* OIL & GAS SUBTOTAL *			\$740,000.00	\$44,400.00	\$0.00	\$44,400.00		\$41,292.00
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
03/09/2017	TK LEGACY LLC	EXCHANGERIGHT CRYSTAL LAKE APTS DST	375,000.00	26,250.00	0.00	26,250.00	93.0	24,412.50
03/09/2017	WARTENBERG, MARILYN	PASSCO BLOCKS DST	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
03/09/2017	LINDL, JEAN	PASSCO BLOCKS DST	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
03/09/2017	TK LEGACY LLC	PASSCO BLOCKS DST	375,000.00	22,500.00	0.00	22,500.00	93.0	20,925.00
08/08/2016	SOMMER, CALVIN	WEST ORANGE FITNESS DST	105,000.00	6,300.00	0.00	6,300.00	93.0	5,859.00
* 1031 EXCHANGE SUBTOTAL *			\$1,155,000.00	\$73,050.00	\$0.00	\$73,050.00		\$67,936.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	0.94	0.00	0.94	93.0	0.87
02/28/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	2.81	0.00	2.81	93.0	2.61
03/14/2017	STEFFEN, MARVIN	JH STRATEGIC GROWTH A	0.00	6.71	0.00	6.71	93.0	6.24
03/14/2017	STEFFEN, MARVIN	JH STRATEGIC INCOME A	0.00	10.29	0.00	10.29	93.0	9.57
03/14/2017	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	7.30	0.00	7.30	93.0	6.79
03/22/2017	IMMANUEL LUTH LADIES	THE OSTERWEIS STRATEGIC INCOME FUND	2,000.00	0.00	22.00	-22.00	93.0	-20.46
03/28/2017	ANDERSEN, CRYSTAL	THE OSTERWEIS STRATEGIC INCOME FUND	5,000.00	0.00	22.00	-22.00	93.0	-20.46
03/28/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	5,000.00	237.50	22.00	215.50	93.0	200.42
03/15/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
* MUTUAL FUNDS SUBTOTAL *			\$12,100.00	\$266.55	\$66.00	\$200.55		\$186.51
<u>PRODUCT CATEGORY: STOCKS</u>								
03/14/2017	VOIGT, KEVIN	CLAYMORE EXCHANGE-TRADED FD TR GUGG	14,002.65	22.00	22.84	-0.84	93.0	-0.78
03/28/2017	ANDERSEN, CRYSTAL	CLAYMORE EXCHANGE-TRADED FD TR GUGG	4,997.42	0.00	22.50	-22.50	93.0	-20.93
03/14/2017	VOIGT, KEVIN	SPDR BLACKSTONE / GSO SENIOR LOAN E	13,962.65	22.00	22.50	-0.50	93.0	-0.47
03/28/2017	ANDERSEN, CRYSTAL	SPDR BLACKSTONE / GSO SENIOR LOAN E	4,976.63	0.00	22.50	-22.50	93.0	-20.93
* STOCKS SUBTOTAL *			\$37,939.35	\$44.00	\$90.34	\$-46.34		\$-43.11
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/07/2017	HANSGEN, DIANE	JNL PERSPECTIVE II 04/14	266,558.34	5,331.17	0.00	5,331.17	93.0	4,957.99
03/15/2017	CLOW, SANDRA	JNL PERSPECTIVE L	13,991.00	559.64	0.00	559.64	93.0	520.47
03/20/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	93.0	20.46
02/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	22,881.83	19.07	0.00	19.07	93.0	17.74
02/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	439,236.15	366.03	0.00	366.03	93.0	340.41
02/15/2017	PEDERSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	65,797.93	54.83	0.00	54.83	93.0	50.99
02/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	107,582.36	89.65	0.00	89.65	93.0	83.37
02/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	191,148.97	159.29	0.00	159.29	93.0	148.14
02/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	16,071.86	3.35	0.00	3.35	93.0	3.12
02/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	10,789.52	2.25	0.00	2.25	93.0	2.09
02/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	15,744.04	3.28	0.00	3.28	93.0	3.05
02/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	156,584.14	130.49	0.00	130.49	93.0	121.36
02/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	40,141.08	33.45	0.00	33.45	93.0	31.11
02/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	284,110.35	236.76	0.00	236.76	93.0	220.19
02/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	677,657.75	564.71	0.00	564.71	93.0	525.18
02/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	21,494.52	17.91	0.00	17.91	93.0	16.66
02/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	5,805.96	4.84	0.00	4.84	93.0	4.50
02/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	32,111.96	26.76	0.00	26.76	93.0	24.89
02/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	16,519.27	13.77	0.00	13.77	93.0	12.81
02/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	17,055.69	14.21	0.00	14.21	93.0	13.22
02/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	22,228.69	18.52	0.00	18.52	93.0	17.22
02/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	47,515.89	39.60	0.00	39.60	93.0	36.83
02/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	16,688.10	13.91	0.00	13.91	93.0	12.94
02/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	225,191.09	187.66	0.00	187.66	93.0	174.52

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,235,342.15	1,029.45	0.00	1,029.45	93.0	957.39
02/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	6,972.49	5.81	0.00	5.81	93.0	5.40
02/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	218,309.32	181.92	0.00	181.92	93.0	169.19
02/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	16,887.48	14.07	0.00	14.07	93.0	13.09
02/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	67,013.59	55.84	0.00	55.84	93.0	51.93
02/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	50,159.51	41.80	0.00	41.80	93.0	38.87
02/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	31,262.32	26.05	0.00	26.05	93.0	24.23
02/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	28,760.12	23.97	0.00	23.97	93.0	22.29
02/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	47,847.11	39.87	0.00	39.87	93.0	37.08
02/28/2017	BULLER, HUGH	TRANSAMERICA EXTRA	12,496.46	10.41	0.00	10.41	93.0	9.68
02/28/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	27,179.78	22.65	0.00	22.65	93.0	21.06
02/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	197,738.65	164.78	0.00	164.78	93.0	153.25
02/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	11,374.83	9.48	0.00	9.48	93.0	8.82
02/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	104,124.04	86.77	0.00	86.77	93.0	80.70
02/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	920,221.82	766.85	0.00	766.85	93.0	713.17
02/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	749,243.02	624.37	0.00	624.37	93.0	580.66
02/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	78,958.87	65.80	0.00	65.80	93.0	61.19
02/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	60,527.97	50.44	0.00	50.44	93.0	46.91
02/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	161,767.59	134.81	0.00	134.81	93.0	125.37
02/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,196,325.40	996.94	0.00	996.94	93.0	927.15
02/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	261,896.31	218.25	0.00	218.25	93.0	202.97
02/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	90,998.10	75.83	0.00	75.83	93.0	70.52
02/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	296,100.42	246.75	0.00	246.75	93.0	229.48
02/04/2017	FITTERER, ROBERT	TRANSAMERICA LANDMARK	110,323.74	91.94	0.00	91.94	93.0	85.50
02/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	112,780.49	93.98	0.00	93.98	93.0	87.40
02/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	114,706.71	95.59	0.00	95.59	93.0	88.90
02/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	64,204.67	53.50	0.00	53.50	93.0	49.76
02/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	354,555.60	295.46	0.00	295.46	93.0	274.78
02/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	411,141.22	342.62	0.00	342.62	93.0	318.64
02/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	13,831.12	11.53	0.00	11.53	93.0	10.72
02/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	454,285.08	378.57	0.00	378.57	93.0	352.07
02/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	142,832.18	119.03	0.00	119.03	93.0	110.70
02/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	593,220.77	494.35	0.00	494.35	93.0	459.75

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	47,740.14	39.78	0.00	39.78	93.0	37.00
02/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	12,251.27	10.21	0.00	10.21	93.0	9.50
02/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	64,068.85	53.39	0.00	53.39	93.0	49.65
02/28/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	212,921.71	177.43	0.00	177.43	93.0	165.01
03/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
02/28/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	47,121.67	39.27	0.00	39.27	93.0	36.52
02/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	58,675.32	48.90	0.00	48.90	93.0	45.48
02/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	34,333.92	28.61	0.00	28.61	93.0	26.61
02/17/2017	NAWROCKI TRUST, ALBIN	TRANSAMERICA LIBERTY	38,938.00	32.45	0.00	32.45	93.0	30.18
02/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	80,975.21	67.48	0.00	67.48	93.0	62.76
02/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	202,258.48	168.55	0.00	168.55	93.0	156.75
02/27/2017	CHRISTOFFER, BYRON	TRANSAMERICA LIBERTY	26,379.25	21.98	0.00	21.98	93.0	20.44
02/27/2017	CHRISTOFFER, KATHRYN	TRANSAMERICA LIBERTY	26,379.25	21.98	0.00	21.98	93.0	20.44
02/27/2017	WARRANT, SHIRLEY	TRANSAMERICA LIBERTY	135,274.98	112.73	0.00	112.73	93.0	104.84
02/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	7,542.26	6.29	0.00	6.29	93.0	5.85
* VARIABLE ANNUITIES SUBTOTAL *			\$11,951,985.73	\$15,625.68	\$0.00	\$15,625.68		\$14,531.93
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
03/07/2017	OTTO, CHRISTOPHER	LINCOLN LIFE	0.00	4.05	0.00	4.05	93.0	3.77
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$4.05	\$0.00	\$4.05		\$3.77
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
03/16/2017	WOLFF, LINDA	LBL VUL	0.00	44.40	0.00	44.40	93.0	41.29
03/16/2017	WOLFF, MITCHELL	LBL VUL	0.00	99.60	0.00	99.60	93.0	92.63
03/16/2017	FOELS, TAMMY	TRANSAMERICA LIFE	0.00	1.01	0.00	1.01	93.0	0.94
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$145.01	\$0.00	\$145.01		\$134.86
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/06/2017	MILLER, KAREN	PAC LIFE VALUE	0.00	245.36	0.00	245.36	93.0	228.18
03/07/2017	SOHRE, DENNIS	PACIFIC LIFE STEP UP VA	0.00	124.28	0.00	124.28	93.0	115.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$369.64	\$0.00	\$369.64		\$343.76
*** BROKER TRANSACTIONS TOTAL ***			\$14,577,025.08	\$181,504.93	\$156.34	\$181,348.59		\$168,654.22

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 46G-JOE/JACK MICHALETZ #290</u>								
03/14/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
03/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
03/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
03/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$422.40	\$4.23	\$0.00	\$4.23		\$3.94
*** SPLIT TRANSACTIONS TOTAL ***			\$422.40	\$4.23	\$0.00	\$4.23		\$3.94

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
03/01/2017	SOUKUP, THOMAS	COTTONWOOD MULTIFAMILY REIT I-REG A	70,000.00	4,200.00	0.00	4,200.00	0.0	3,906.00
03/13/2017	HAGER, REBECCA	COTTONWOOD MULTIFAMILY REIT I-REG A	46,000.00	2,760.00	0.00	2,760.00	0.0	2,566.80
03/14/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.04	0.00	1.04	0.0	0.97
03/14/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.18	0.00	0.18	0.0	0.17
03/14/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.13	0.00	2.13	0.0	1.98
03/14/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.26	0.00	1.26	0.0	1.17
03/14/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.30	0.00	3.30	0.0	3.07
03/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.41	0.00	1.41	0.0	1.31
03/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.25	0.00	1.25	0.0	1.16
03/14/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.20	0.00	0.20	0.0	0.19
03/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.53	0.00	1.53	0.0	1.42
03/14/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.42	0.00	1.42	0.0	1.32
03/14/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.05
03/14/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	5.87	0.00	5.87	0.0	5.46
03/14/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	4.56	0.00	4.56	0.0	4.24
03/14/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.97	0.00	1.97	0.0	1.83
03/14/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.59	0.00	2.59	0.0	2.41
03/14/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.04	0.00	4.04	0.0	3.76
03/14/2017	SCHWARTZ, TRAVIS	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.74	0.00	2.74	0.0	2.55
03/14/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	1.86	0.00	1.86	0.0	1.73
03/14/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.55	0.00	0.55	0.0	0.51
03/14/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	0.0	0.05
03/14/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.18	0.00	1.18	0.0	1.10

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
03/14/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.06	0.00	0.06	0.0	0.06
03/14/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.26	0.00	0.26	0.0	0.24
03/14/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.02	0.00	2.02	0.0	1.88
03/14/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.40	0.00	1.40	0.0	1.30
03/14/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.37	0.00	1.37	0.0	1.27
03/14/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.35	0.00	2.35	0.0	2.19
03/14/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.10	0.00	1.10	0.0	1.02
03/14/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.17	0.00	1.17	0.0	1.09
03/14/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.75	0.00	0.75	0.0	0.70
03/14/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	4.78	0.00	4.78	0.0	4.45
03/14/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.50	0.00	1.50	0.0	1.40
03/14/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.66	0.00	1.66	0.0	1.54
03/21/2017	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	64,092.37	1,281.85	0.00	1,281.85	0.0	1,192.12
03/17/2017	HAGER, REBECCA	JNL PERSPECTIVE L	264,939.84	10,597.59	0.00	10,597.59	0.0	9,855.76
03/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	4.83	0.00	4.83	0.0	4.49
03/14/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.10	0.00	0.10	0.0	0.09
03/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	4.20	0.00	4.20	0.0	3.91
03/14/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
03/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	3.56	0.00	3.56	0.0	3.31
03/14/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-8.84
03/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
03/15/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-10.23
03/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
03/28/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	5,000.00	237.50	22.00	215.50	0.0	200.42
03/06/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	36.36	0.36	0.00	0.36	0.0	0.33
03/06/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	36.36	0.36	0.00	0.36	0.0	0.33
03/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
03/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
03/15/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
03/16/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	43.74	0.44	0.00	0.44	0.0	0.41
03/16/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	43.74	0.44	0.00	0.44	0.0	0.41
03/16/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
03/16/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
03/16/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	46.80	0.47	0.00	0.47	0.0	0.44
03/16/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	46.80	0.47	0.00	0.47	0.0	0.44
03/06/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	0.0	2.05
03/06/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	0.0	6.13
03/06/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	28.73	0.29	0.00	0.29	0.0	0.27
03/06/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	861.98	8.62	0.00	8.62	0.0	8.02
03/06/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	77.18	0.77	0.00	0.77	0.0	0.72
03/06/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	77.18	0.77	0.00	0.77	0.0	0.72
03/06/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.26
03/06/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.26
03/15/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
03/15/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
03/15/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
02/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	15,445.59	12.87	0.00	12.87	0.0	11.97
02/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	15,886.64	13.24	0.00	13.24	0.0	12.31
02/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	80,225.99	66.85	0.00	66.85	0.0	62.17
02/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	85,640.31	71.37	0.00	71.37	0.0	66.37
* JACK MICHALETZ SUBTOTAL *			\$650,996.65	\$19,351.24	\$70.00	\$19,281.24		\$17,931.59
*** OVERRIDE TRANSACTIONS TOTAL ***			\$650,996.65	\$19,351.24	\$70.00	\$19,281.24		\$17,931.59
<u> ADJUSTMENTS </u>								
03/31/2017		*MISC: MEAD TRUST-PASSCO PARKCREST	0.00					5,580.00
03/31/2017		*MISC: MEAD TRUST-STARBOARD MESA RI	0.00					5,580.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$11,160.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$168,654.22
Split Transactions	\$3.94
Override Transactions	\$17,931.59
Adjustments	\$11,160.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$197,749.75
Year-to-date Gross	\$1,189,860.91
Year-to-date Earnings	\$1,164,712.23