

Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	2,040.31

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: JOYCE BRISKIN
919 ARIZONA ASH
SAN ANTONIO, TX 78232

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	2,160.31	2,160.31		2,160.31
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

March 31, 2018

JOYCE BRISKIN-D009

BROKER #: D009

BRANCH: DMO

, TX

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

03/26/2018 368255014	ROERINK, BEVERLY	MF	SMALLCAP WORLD FUND-A	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
03/26/2018 368255014	ROERINK, BEVERLY	MF	THORNBURG INVESTMENT INCOME	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
03/26/2018 368255014	ROERINK, BEVERLY	MF	THORNBURG INTERNATIONAL GROWTH	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
03/26/2018 368255014	ROERINK, BEVERLY	MF	THORNBURG CORE GROWTH CL A	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
03/26/2018 368255014	ROERINK, BEVERLY	MF	VALUE A	B	-997.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *					\$-4,987.75	\$0.00	\$25.00	\$-25.00		\$-22.50

PRODUCT CATEGORY: STOCKS

03/01/2018 122134292	ARMSTRONG, SHANNON	S	BHP BILLITON PLC-ADR	S	-6,250.14	126.46	15.00	111.46	90.0	100.31
03/01/2018 122134292	ARMSTRONG, SHANNON	S	BHP BILLITON PLC-ADR	S	-3,907.87	79.04	0.00	79.04	90.0	71.14
03/01/2018 123383825	AKIN, DREW	S	BHP BILLITON PLC-ADR	S	-7,801.76	169.61	15.00	154.61	90.0	139.15
03/01/2018 215652386	DOUGHERTY, JOHN	S	BHP BILLITON PLC-ADR	S	-3,898.17	84.74	0.00	84.74	90.0	76.27
03/01/2018 215652386	DOUGHERTY, JOHN	S	BHP BILLITON PLC-ADR	S	-3,896.70	84.76	15.00	69.76	90.0	62.78
03/01/2018 368438115	PAULSON, ANTHONY	S	BHP BILLITON PLC-ADR	S	-7,793.88	169.49	15.00	154.49	90.0	139.04
03/01/2018 400566872	JBRISKIN HOLDINGS	S	BHP BILLITON PLC-ADR	S	-10,333.11	20.00	15.00	5.00	90.0	4.50
* STOCKS SUBTOTAL *					\$-43,881.63	\$734.10	\$75.00	\$659.10		\$593.19

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/21/2018 mfc156871	BRISKIN 156871	MFT	BLACKROCK ADV SMALL CAP GROW EQ	B	0.00	4.02	0.00	4.02	90.0	3.62
03/21/2018 mfc156871	BRISKIN 156871	MFT	COLUMBIA HIGH YIELD MUNI FD A	B	0.00	3.53	0.00	3.53	90.0	3.18
03/21/2018 mfc156871	BRISKIN 156871	MFT	DELAWARE GROWTH OPPORTUNITIES	B	0.00	4.00	0.00	4.00	90.0	3.60
03/21/2018 mfc156871	BRISKIN 156871	MFT	DELAWARE HIGH YIELD	B	0.00	1.24	0.00	1.24	90.0	1.12
03/21/2018 mfc156871	BRISKIN 156871	MFT	DELAWARE SMALL CAP CORE FD A	B	0.00	2.28	0.00	2.28	90.0	2.05
03/21/2018 mfc156871	BRISKIN 156871	MFT	DELAWARE SMALL CAP VALUE FUND A	B	0.00	1.02	0.00	1.02	90.0	0.92
03/21/2018 mfc156871	BRISKIN 156871	MFT	DELAWARE SMALL CAP VALUE FUND A	B	0.00	8.18	0.00	8.18	90.0	7.36
03/21/2018 mfc156871	BRISKIN 156871	MFT	DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.21	0.00	4.21	90.0	3.79
03/21/2018 mfc156871	BRISKIN 156871	MFT	DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	4.66	0.00	4.66	90.0	4.19
03/21/2018 mfc156871	BRISKIN 156871	MFT	EATON VANCE NATIONAL MUNICIPAL	B	0.00	1.93	0.00	1.93	90.0	1.74
03/21/2018 mfc156871	BRISKIN 156871	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	5.94	0.00	5.94	90.0	5.35
03/21/2018 mfc156871	BRISKIN 156871	MFT	HARTFORD GLOBAL EQUITY INCOME	B	0.00	0.26	0.00	0.26	90.0	0.23
03/21/2018 mfc156871	BRISKIN 156871	MFT	INTERNATIONAL SMALL COMPANY A	B	0.00	16.76	0.00	16.76	90.0	15.08
03/21/2018 mfc156871	BRISKIN 156871	MFT	NEW PERSPECTIVE FUND-A	B	0.00	3.63	0.00	3.63	90.0	3.27

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
03/21/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET	B	0.00	1.97	0.00	1.97	90.0	1.77
03/21/2018	mfc156871	BRISKIN 156871	MFT OPPENHEIMER MAIN STREET SMALL	B	0.00	2.15	0.00	2.15	90.0	1.94
03/21/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.05	0.00	1.05	90.0	0.95
03/21/2018	mfc156871	BRISKIN 156871	MFT QUEST BALANCED A	B	0.00	1.96	0.00	1.96	90.0	1.76
03/21/2018	mfc156871	BRISKIN 156871	MFT SMALLCAP WORLD FUND-A	B	0.00	10.69	0.00	10.69	90.0	9.62
03/21/2018	mfc156871	BRISKIN 156871	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.35	0.00	4.35	90.0	3.92
03/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD MID CAP FUND-A	B	0.00	2.24	0.00	2.24	90.0	2.02
03/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD GROWTH	B	0.00	1.53	0.00	1.53	90.0	1.38
03/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD INTL CAPITAL APP.	B	0.00	0.23	0.00	0.23	90.0	0.21
03/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD SMALL CAP GROWTH	B	0.00	1.02	0.00	1.02	90.0	0.92
03/21/2018	mfc156871	BRISKIN 156871	MFT THE HARTFORD VALUE	B	0.00	0.99	0.00	0.99	90.0	0.89
03/21/2018	mfc156871	BRISKIN 156871	MFT THE INVESTMENT COMPANY OF	B	0.00	55.67	0.00	55.67	90.0	50.10
03/21/2018	mfc156871	BRISKIN 156871	MFT THE NEW ECONOMY FUND-A	B	0.00	5.96	0.00	5.96	90.0	5.36
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.64	0.00	9.64	90.0	8.68
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG CORE GROWTH CL A	B	0.00	9.66	0.00	9.66	90.0	8.69
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	23.21	0.00	23.21	90.0	20.89
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	3.09	0.00	3.09	90.0	2.78
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.33	0.00	1.33	90.0	1.20
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	10.51	0.00	10.51	90.0	9.46
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	21.62	0.00	21.62	90.0	19.46
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INVESTMENT INCOME	B	0.00	39.53	0.00	39.53	90.0	35.58
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	4.64	0.00	4.64	90.0	4.18
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	10.15	0.00	10.15	90.0	9.14
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	11.45	0.00	11.45	90.0	10.31
03/21/2018	mfc156871	BRISKIN 156871	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	18.25	0.00	18.25	90.0	16.43
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.69	0.00	1.69	90.0	1.52
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	5.18	0.00	5.18	90.0	4.66
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	1.51	0.00	1.51	90.0	1.36
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893961813	B	0.00	3.78	0.00	3.78	90.0	3.40
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.93	0.00	0.93	90.0	0.84
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	2.90	0.00	2.90	90.0	2.61
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	2.95	0.00	2.95	90.0	2.66
03/21/2018	mfc156871	BRISKIN 156871	MFT TRANSAMERICA-IDEX 893962415	B	0.00	7.15	0.00	7.15	90.0	6.44
03/21/2018	mfc156871	BRISKIN 156871	MFT VALUE A	B	0.00	10.51	0.00	10.51	90.0	9.46
03/21/2018	mfc156871	BRISKIN 156871	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.51	0.00	0.51	90.0	0.46
03/21/2018	mfc156871	BRISKIN 156871	MFT VOYA SENIOR INCOME FUND C	B	0.00	53.73	0.00	53.73	90.0	48.36
03/21/2018	mfc156871	BRISKIN 156871	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.14	0.00	1.14	90.0	1.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$406.53	\$0.00	\$406.53		\$365.94
*** CLEARING TRANSACTIONS TOTAL ***					\$-48,869.38	\$1,140.63	\$100.00	\$1,040.63		\$936.63

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/2018 (None)	SULTEMEIER, FARRON	MF NEST ADVISOR	B	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2018 (None)	SULTEMEIER, FARRON	MF NEST ADVISOR	B	0.00	0.50	0.00	0.50	90.0	0.45
03/05/2018 (None)	NIX	MF NEST ADVISOR	B	0.00	3.00	0.00	3.00	90.0	2.70
03/05/2018 (None)	NIX	MF NEST ADVISOR	B	0.00	6.00	0.00	6.00	90.0	5.40
03/15/2018 00551287150	SMITH,	MF PUTNAM 529 AGE-BASED 2001-A	B	500.00	10.00	0.00	10.00	90.0	9.00
03/15/2018 00551287155	SMITH,	MF PUTNAM 529 AGE-BASED 2000-A	B	500.00	10.00	0.00	10.00	90.0	9.00
03/15/2018 03810613137	SULTEMEIER,	MF AIM MID-CAP EQUITY FUND CLASS A	B	100.00	4.00	0.00	4.00	90.0	3.60
03/15/2018 03810613137	SULTEMEIER,	MF INVESCO VALUE OPPORTUNITIES	B	100.00	4.00	0.00	4.00	90.0	3.60
* MUTUAL FUNDS SUBTOTAL *				\$1,200.00	\$38.00	\$0.00	\$38.00		\$34.20

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/28/2018 (None)	VARIOUS, BRISKIN	MFT DELWARE	B	0.00	56.36	0.00	56.36	90.0	50.72
03/05/2018 00000112453	STRUNK, VANESSA	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	8.15	0.00	8.15	90.0	7.34
03/05/2018 00000112453	STRUNK, VANESSA	MFT THORNBURG CORE GROWTH CL C	B	0.00	10.58	0.00	10.58	90.0	9.52
03/05/2018 00000113382	CUPIT-BROUGHT,	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	1.96	0.00	1.96	90.0	1.76
03/05/2018 00000113382	CUPIT-BROUGHT,	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.70	0.00	2.70	90.0	2.43
03/05/2018 00000113382	CUPIT-BROUGHT,	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.53	0.00	2.53	90.0	2.28
03/05/2018 00000113382	CUPIT-BROUGHT,	MFT VALUE A	B	0.00	2.67	0.00	2.67	90.0	2.40
03/05/2018 00000113664	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.41	0.00	9.41	90.0	8.47
03/05/2018 00000113664	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.79	0.00	16.79	90.0	15.11
03/05/2018 00000113664	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	13.72	0.00	13.72	90.0	12.35
03/05/2018 00000113664	JACQUELINE J	MFT VALUE A	B	0.00	14.31	0.00	14.31	90.0	12.88
03/05/2018 00000113666	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.41	0.00	9.41	90.0	8.47
03/05/2018 00000113666	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.79	0.00	16.79	90.0	15.11
03/05/2018 00000113666	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	13.72	0.00	13.72	90.0	12.35
03/05/2018 00000113666	JACQUELINE J	MFT VALUE A	B	0.00	14.31	0.00	14.31	90.0	12.88
03/05/2018 00000113668	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.41	0.00	9.41	90.0	8.47
03/05/2018 00000113668	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.79	0.00	16.79	90.0	15.11
03/05/2018 00000113668	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	13.72	0.00	13.72	90.0	12.35
03/05/2018 00000113668	JACQUELINE J	MFT VALUE A	B	0.00	14.31	0.00	14.31	90.0	12.88
03/05/2018 00000113670	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	9.41	0.00	9.41	90.0	8.47
03/05/2018 00000113670	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	16.79	0.00	16.79	90.0	15.11
03/05/2018 00000113670	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	13.72	0.00	13.72	90.0	12.35
03/05/2018 00000113670	JACQUELINE J	MFT VALUE A	B	0.00	14.31	0.00	14.31	90.0	12.88
03/05/2018 00000114566	JACQUELINE J	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	2.53	0.00	2.53	90.0	2.28
03/05/2018 00000114566	JACQUELINE J	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	1.75	0.00	1.75	90.0	1.58
03/05/2018 00000114566	JACQUELINE J	MFT THORNBURG INVESTMENT INCOME	B	0.00	2.33	0.00	2.33	90.0	2.10
03/05/2018 00000114566	JACQUELINE J	MFT THORNBURG CORE GROWTH CL A	B	0.00	2.52	0.00	2.52	90.0	2.27
03/05/2018 00000114566	JACQUELINE J	MFT VALUE A	B	0.00	2.75	0.00	2.75	90.0	2.48
03/05/2018 00000188402	DIAZ, LETICIA	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	0.87	0.00	0.87	90.0	0.78

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/05/2018	00000188402 DIAZ, LETICIA	MFT THORNBURG INVESTMENT INCOME	B	0.00	0.35	0.00	0.35	90.0	0.32
03/05/2018	00000188402 DIAZ, LETICIA	MFT VALUE A	B	0.00	0.88	0.00	0.88	90.0	0.79
03/05/2018	00000188591 SMITH, DANIEL	MFT THORNBURG INTERNATIONAL GROWTH	B	0.00	103.69	0.00	103.69	90.0	93.32
03/05/2018	00000188591 SMITH, DANIEL	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	65.31	0.00	65.31	90.0	58.78
03/05/2018	00000188591 SMITH, DANIEL	MFT THORNBURG INVESTMENT INCOME	B	0.00	91.70	0.00	91.70	90.0	82.53
03/05/2018	00000188591 SMITH, DANIEL	MFT THORNBURG CORE GROWTH CL A	B	0.00	108.15	0.00	108.15	90.0	97.34
03/05/2018	00000188591 SMITH, DANIEL	MFT VALUE A	B	0.00	87.04	0.00	87.04	90.0	78.34
03/05/2018	00203705761 MORGAN, FRANK	MFT THORNBURG GLOBAL OPPORTUNITIES	B	0.00	13.58	0.00	13.58	90.0	12.22
03/05/2018	00203705761 MORGAN, FRANK	MFT THORNBURG INVESTMENT INCOME	B	0.00	18.28	0.00	18.28	90.0	16.45
03/05/2018	00203705761 MORGAN, FRANK	MFT THORNBURG CORE GROWTH CL A	B	0.00	18.61	0.00	18.61	90.0	16.75
03/05/2018	00203705761 MORGAN, FRANK	MFT VALUE A	B	0.00	19.25	0.00	19.25	90.0	17.33
03/05/2018	00203706218 LAMBERT,	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	34.16	0.00	34.16	90.0	30.74
03/05/2018	00203706218 LAMBERT,	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	19.01	0.00	19.01	90.0	17.11
03/05/2018	00203715420 LEWIS, ELIZABETH	MFT THORNBURG INTERNATIONAL VALUE	B	0.00	19.60	0.00	19.60	90.0	17.64
03/05/2018	00203715420 LEWIS, ELIZABETH	MFT THORNBURG INVESTMENT INCOME	B	0.00	49.99	0.00	49.99	90.0	44.99
03/05/2018	00203715420 LEWIS, ELIZABETH	MFT THORNBURG CORE GROWTH CL A	B	0.00	14.99	0.00	14.99	90.0	13.49
03/05/2018	00203715420 LEWIS, ELIZABETH	MFT VALUE A	B	0.00	15.25	0.00	15.25	90.0	13.73
03/05/2018	05400002892 LAMBERT JT TEN,	MFT THORNBURG INVESTMENT INCOME	B	0.00	97.93	0.00	97.93	90.0	88.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,092.39	\$0.00	\$1,092.39		\$983.19
*** DIRECT TRANSACTIONS TOTAL ***				\$1,200.00	\$1,130.39	\$0.00	\$1,130.39		\$1,017.39

ADJUSTMENTS

03/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
03/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
03/31/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	261.29
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$206.29

BROKER COMMISSION TOTALS

Broker Transactions	\$1,954.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$206.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,160.31
Year-to-date Commissions	\$31,771.08