



COMMISSION STATEMENT

July 31, 2017

REBECCA FREEMAN
125 High Rock Ave
Suite 103
Saratoga Springs, NY 12866

BROKER #: F124
BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
07/18/2017	ALPER, PETER	ASSETMARK	105,079.59	331.00	0.00	331.00	90.0	297.90
07/18/2017	ALPER, PETER	ASSETMARK	171,856.06	216.54	0.00	216.54	90.0	194.89
07/18/2017	ALPER, PETER	ASSETMARK	21,114.09	66.51	0.00	66.51	90.0	59.86
07/18/2017	DEVITO, LISA	ASSETMARK	343,668.42	822.74	0.00	822.74	90.0	740.47
07/18/2017	DEVITO, LISA	ASSETMARK	286,255.34	360.68	0.00	360.68	90.0	324.61
07/18/2017	LUNT, MARAJORIE	ASSETMARK	392,781.47	494.90	0.00	494.90	90.0	445.41
07/18/2017	LUNT, MARAJORIE	ASSETMARK	88,485.22	218.75	0.00	218.75	90.0	196.88
07/18/2017	LUNT, MARAJORIE	ASSETMARK	20,353.60	51.29	0.00	51.29	90.0	46.16
07/18/2017	WAITE, BARBARA	ASSETMARK	140,068.24	176.49	0.00	176.49	90.0	158.84
07/18/2017	WAITE, BARBARA	ASSETMARK	93,566.74	117.89	0.00	117.89	90.0	106.10
07/18/2017	WAITE, BARBARA	ASSETMARK	236,798.10	298.37	0.00	298.37	90.0	268.53
07/18/2017	ANDERSON, CAROLYN	TD AMERITRADE	0.00	174.56	0.00	174.56	90.0	157.10
07/18/2017	BERNARD, CRAIG	TD AMERITRADE	0.00	199.50	0.00	199.50	90.0	179.55
07/18/2017	BERNARD, CRAIG	TD AMERITRADE	0.00	694.17	0.00	694.17	90.0	624.75
07/18/2017	BRENNAN, WILLIAM	TD AMERITRADE	0.00	679.46	0.00	679.46	90.0	611.51
07/18/2017	COATS, STEVEN	TD AMERITRADE	0.00	1,222.62	0.00	1,222.62	90.0	1,100.36
07/18/2017	COATS, STEVEN	TD AMERITRADE	0.00	1,083.48	0.00	1,083.48	90.0	975.13
07/18/2017	FOX, JENNIFER	TD AMERITRADE	0.00	918.50	0.00	918.50	90.0	826.65
07/18/2017	FOX, KURT	TD AMERITRADE	0.00	486.22	0.00	486.22	90.0	437.60
07/18/2017	HALL, WILMA	TD AMERITRADE	0.00	40.36	0.00	40.36	90.0	36.32
07/18/2017	HAWKE, SHARON	TD AMERITRADE	0.00	735.89	0.00	735.89	90.0	662.30
07/18/2017	HAWKE, SHARON	TD AMERITRADE	0.00	82.65	0.00	82.65	90.0	74.39
07/18/2017	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	45.77	0.00	45.77	90.0	41.19
07/18/2017	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	302.48	0.00	302.48	90.0	272.23
07/18/2017	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	29.94	0.00	29.94	90.0	26.95
07/18/2017	HIGGINS, ANNE	TD AMERITRADE	0.00	482.77	0.00	482.77	90.0	434.49

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2017	KNOWLTON, JACKSON	TD AMERITRADE	0.00	92.08	0.00	92.08	90.0	82.87
07/18/2017	MARTINEZ, YVONNE	TD AMERITRADE	0.00	32.61	0.00	32.61	90.0	29.35
07/18/2017	MCCLANAHAN, ARTHUR	TD AMERITRADE	0.00	1,187.79	0.00	1,187.79	90.0	1,069.01
07/18/2017	RADER, FRANK	TD AMERITRADE	0.00	828.37	0.00	828.37	90.0	745.53
07/18/2017	STERN, AMELIA	TD AMERITRADE	0.00	550.53	0.00	550.53	90.0	495.48
07/18/2017	STERN, JONATHAN	TD AMERITRADE	0.00	321.72	0.00	321.72	90.0	289.55
07/18/2017	WILSON, GEORGE	TD AMERITRADE	0.00	42.77	0.00	42.77	90.0	38.49
07/18/2017	WILSON, GEORGE	TD AMERITRADE	0.00	824.66	0.00	824.66	90.0	742.19
07/18/2017	WILSON, DELORES	TD AMERITRADE	0.00	56.62	0.00	56.62	90.0	50.96
07/18/2017	HAVLICEK, BRIGITTE HIGGIN	TD AMERITRADE	0.00	48.69	0.00	48.69	90.0	43.82
07/18/2017	HAVLICEK, BRIGITTE HIGGIN	TD AMERITRADE	0.00	14.66	0.00	14.66	90.0	13.19
* RIA SUBTOTAL *			\$1,900,026.87	\$14,334.03	\$0.00	\$14,334.03		\$12,900.61
*** BROKER TRANSACTIONS TOTAL ***			\$1,900,026.87	\$14,334.03	\$0.00	\$14,334.03		\$12,900.61
<u>ADJUSTMENTS</u>								
07/31/2017		*MANAGED FEE EXPENSE: ACCT MINIMUM	0.00					-35.96
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-35.96

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BROKER COMMISSION TOTALS

Broker Transactions	\$12,900.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-35.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,864.65
Year-to-date Gross	\$57,493.01
Year-to-date Earnings	\$51,743.78