



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/27/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.4400	75.02	3.19	0.00	3.19	70.0	2.23
02/27/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.4400	75.02	3.19	0.00	3.19	70.0	2.23
02/27/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.4400	75.00	3.19	0.00	3.19	70.0	2.23
02/27/2018	***-**-3672 - KAN, HEATHER ACCOUNT #: 04000740312	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.4400	75.00	3.19	0.00	3.19	70.0	2.23
03/05/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 18 Units @14.1300	250.00	7.50	0.00	7.50	70.0	5.25
03/06/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	AMERICAN MUTUAL FUND-A BUY 796 Units @42.5300	33,850.01	930.87	18.00	912.87	70.0	639.01
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.9400	29.53	0.89	0.00	0.89	70.0	0.62
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @20.9400	5.54	0.17	0.00	0.17	70.0	0.12
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.9400	29.53	0.89	0.00	0.89	70.0	0.62
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @20.9400	5.54	0.17	0.00	0.17	70.0	0.12
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @55.6100	132.92	5.65	0.00	5.65	70.0	3.96
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @55.6100	24.92	1.06	0.00	1.06	70.0	0.74
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @55.6100	132.92	5.65	0.00	5.65	70.0	3.96
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @55.6100	24.92	1.06	0.00	1.06	70.0	0.74
03/06/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	CAPITAL WORLD GROWTH AND INCOME FUN BUY 312 Units @54.2800	16,924.99	465.43	18.00	447.43	70.0	313.20
02/27/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @60.8900	8.31	0.35	0.00	0.35	70.0	0.25



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/27/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @60.8900						
02/27/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @60.8900						
02/27/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @60.8900						
02/20/2018	***-**-0485 - WHALEN, STEPHEN	FEDERATED 31421R841	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06090486215	BUY 3 Units @19.9800						
02/27/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	22.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: 04000234328	BUY 0 Units @63.6400						
02/27/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	6.60	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 04000234328	BUY 0 Units @63.6400						
02/27/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	16.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: 04000234328	BUY 0 Units @63.6400						
02/27/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	4.80	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 04000234328	BUY 0 Units @63.6400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5500						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	334.79	6.70	0.00	6.70	70.0	4.69
	ACCOUNT #: 00011265210	BUY 27 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	138.12	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: 00011265210	BUY 11 Units @12.5500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY CONSERVATIV ALLOCATION CL	865.58	17.31	0.00	17.31	70.0	12.12
	ACCOUNT #: 00011265210	BUY 69 Units @12.5500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	59.72	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: 00011265204	BUY 5 Units @12.9500						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	552.50	26.24	0.00	26.24	70.0	18.37
	ACCOUNT #: 00011265204	BUY 43 Units @12.9500						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	466.08	9.32	0.00	9.32	70.0	6.52
	ACCOUNT #: 00011265210	BUY 33 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	466.08	9.32	0.00	9.32	70.0	6.52
	ACCOUNT #: 00011265210	BUY 33 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	466.08	9.32	0.00	9.32	70.0	6.52
	ACCOUNT #: 00011265210	BUY 33 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	180.27	3.61	0.00	3.61	70.0	2.53
	ACCOUNT #: 00011265210	BUY 13 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	466.08	9.32	0.00	9.32	70.0	6.52
	ACCOUNT #: 00011265210	BUY 33 Units @14.1400						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	74.38	1.49	0.00	1.49	70.0	1.04
	ACCOUNT #: 00011265210	BUY 5 Units @14.1400						
02/20/2018	***-**-8034 - DEBONIS, ALBERT	MAINSTAY MODERATE ALLOCATION CL A	466.08	9.32	0.00	9.32	70.0	6.52
	ACCOUNT #: 00011265210	BUY 33 Units @14.1400						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	32.16	1.53	0.00	1.53	70.0	1.07
	ACCOUNT #: 00011265204	BUY 2 Units @14.5900						
02/20/2018	***-**-6717 - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	297.50	14.13	0.00	14.13	70.0	9.89
	ACCOUNT #: 00011265204	BUY 20 Units @14.5900						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/06/2018	***-**-439I - DAILY, RONI	SMALLCAP WORLD FUND-A	16,925.02	465.43	18.00	447.43	70.0	313.20
	ACCOUNT #: LSR012499	BUY 285 Units @59.3900						
03/06/2018	***-**-439I - DAILY, RONI	THE BOND FUND OF AMERICA-A	50,775.00	1,396.31	18.00	1,378.31	70.0	964.82
	ACCOUNT #: LSR012499	BUY 3894 Units @13.0400						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @55.4000						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @55.4000						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @55.4000						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @55.4000						
03/06/2018	***-**-439I - DAILY, RONI	THE GROWTH FUND OF AMERICA-A	33,850.01	930.87	18.00	912.87	70.0	639.01
	ACCOUNT #: LSR012499	BUY 619 Units @54.6600						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.5400						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.5400						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.5400						
02/27/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.5400						
03/06/2018	***-**-439I - DAILY, RONI	THE NEW ECONOMY FUND-A	16,925.00	465.43	18.00	447.43	70.0	313.20
	ACCOUNT #: LSR012499	BUY 337 Units @50.2600						
02/28/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 01293376129	BUY 5 Units @46.9800						
* MUTUAL FUNDS SUBTOTAL *			\$187,439.03	\$5,223.62	\$108.00	\$5,115.62		\$3,580.93
PRODUCT CATEGORY: 529 PLAN								
03/12/2018	***-**-775P - RYAN, MARY	NEW YORK 529 TRAILS	0.00	1.91	0.00	1.91	70.0	1.34
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
03/12/2018	***-**-6717 - DEBONIS, BRENDA	NEW YORK 529 TRAILS	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: 77423426901	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
03/12/2018	***-**-775P - RYAN, MARY	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$250.00	\$12.59	\$0.00	\$12.59		\$8.82
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	***-**-5076 - TORRES, JASON	AMCAP FUND-A	0.00	3.99	0.00	3.99	70.0	2.79
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-8658 - GILL, THERESA	AMCAP FUND-A	0.00	1.18	0.00	1.18	70.0	0.83
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	0.00	12.89	0.00	12.89	70.0	9.02
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-6809 - FRANCIS, PAUL	AMCAP FUND-A	0.00	19.70	0.00	19.70	70.0	13.79
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
03/01/2018	***-**-5132 - WEBER, FREDERICK	AMCAP FUND-A	0.00	13.56	0.00	13.56	70.0	9.49
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	AMERICAN BALANCED FUND-A	0.00	3.76	0.00	3.76	70.0	2.63
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	AMERICAN BALANCED FUND-A	0.00	46.22	0.00	46.22	70.0	32.35
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	0.00	5.35	0.00	5.35	70.0	3.75
	ACCOUNT #: 00088244527	BUY 0 Units @0.0000						
03/01/2018	***-**-8696 - LAUBACKER,	AMERICAN BALANCED FUND-A	0.00	3.22	0.00	3.22	70.0	2.25
	ACCOUNT #: 00088244533	BUY 0 Units @0.0000						
03/01/2018	***-**-3672 - KAN, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: 04000740312	BUY 0 Units @0.0000						
03/01/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	7.13	0.00	7.13	70.0	4.99
	ACCOUNT #: 00089463498	BUY 0 Units @0.0000						
03/01/2018	***-**-8431 - HOWANIEC, EDWARD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	41.77	0.00	41.77	70.0	29.24
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	5.05	0.00	5.05	70.0	3.54
	ACCOUNT #: 00088210419	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	3.91	0.00	3.91	70.0	2.74
	ACCOUNT #: 00088210429	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-6560 - TALBOT, JENNIFER	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: 00088093126	BUY 0 Units @0.0000						
03/01/2018	***-**-5460 - MILLS, ALEXIS	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	4.16	0.00	4.16	70.0	2.91
	ACCOUNT #: 00088093500	BUY 0 Units @0.0000						
03/01/2018	***-**-1878 - HOWANIEC, DESSA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.13	0.00	1.13	70.0	0.79
	ACCOUNT #: 00088799768	BUY 0 Units @0.0000						
03/01/2018	***-**-3410 - HOWANIEC,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.13	0.00	1.13	70.0	0.79
	ACCOUNT #: 00088802799	BUY 0 Units @0.0000						
03/01/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.66	0.00	1.66	70.0	1.16
	ACCOUNT #: 04000349982	BUY 0 Units @0.0000						
03/01/2018	***-**-0485 - WHALEN, STEPHEN	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.98	0.00	1.98	70.0	1.39
	ACCOUNT #: 00088824582	BUY 0 Units @0.0000						
03/05/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	750.00	15.00	0.00	15.00	70.0	10.50
	ACCOUNT #: LSR011610	BUY 76 Units @9.9300						
03/01/2018	***-**-9982 - BROWN, EDWIN	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	16.98	0.00	16.98	70.0	11.89
	ACCOUNT #: 00089209664	BUY 0 Units @0.0000						
03/01/2018	***-**-8431 - HOWANIEC, EDWARD	AMERICAN HIGH-INCOME TRUST-A	0.00	183.80	0.00	183.80	70.0	128.66
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
03/01/2018	***-**-06IN - GOLDMAN, JUNE	AMERICAN HIGH-INCOME TRUST-A	0.00	143.99	0.00	143.99	70.0	100.79
	ACCOUNT #: 00087856737	BUY 0 Units @0.0000						
03/01/2018	***-**-2582 - AGUIAR, DANA	AMERICAN HIGH-INCOME TRUST-A	0.00	2.11	0.00	2.11	70.0	1.48
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
03/01/2018	***-**-9105 - OCONNOR, BARBARA	AMERICAN HIGH-INCOME TRUST-A	0.00	126.97	0.00	126.97	70.0	88.88
	ACCOUNT #: 00088011557	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	AMERICAN HIGH-INCOME TRUST-A	0.00	55.39	0.00	55.39	70.0	38.77
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-5445 - NORTH, CHARLES	AMERICAN HIGH-INCOME TRUST-A	0.00	124.34	0.00	124.34	70.0	87.04
	ACCOUNT #: 00088143210	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	AMERICAN HIGH-INCOME TRUST-A	0.00	70.42	0.00	70.42	70.0	49.29
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
03/01/2018	***-**-3582 - NORTH, JOANNE	AMERICAN HIGH-INCOME TRUST-A	0.00	21.07	0.00	21.07	70.0	14.75
	ACCOUNT #: 00088269231	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-7131 - PHILLIPS, DAVID ACCOUNT #: 00088295242	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	41.45	0.00	41.45	70.0	29.02
03/01/2018	***-**-7757 - JOHNSON, ACCOUNT #: 00088316251	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	47.35	0.00	47.35	70.0	33.15
03/01/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	2.42	0.00	2.42	70.0	1.69
03/01/2018	***-**-6809 - FRANCIS, PAUL ACCOUNT #: 00088351601	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	9.53	0.00	9.53	70.0	6.67
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088575250	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	42.57	0.00	42.57	70.0	29.80
03/01/2018	***-**-6885 - BUCHANAN, ROGER ACCOUNT #: 00088653800	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	146.52	0.00	146.52	70.0	102.56
03/01/2018	***-**-1878 - HOWANIEC, DESSA ACCOUNT #: 00088798653	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	2.29	0.00	2.29	70.0	1.60
03/01/2018	***-**-5132 - WEBER, FREDERICK ACCOUNT #: 00088817392	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	18.45	0.00	18.45	70.0	12.92
03/01/2018	***-**-2582 - AGUIAR, DANA ACCOUNT #: 00087887914	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	2.37	0.00	2.37	70.0	1.66
03/01/2018	***-**-5076 - TORRES, JASON ACCOUNT #: 00088000759	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	7.88	0.00	7.88	70.0	5.52
03/01/2018	***-**-2538 - GOLDMAN, DANIEL ACCOUNT #: 00088105535	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	73.23	0.00	73.23	70.0	51.26
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088145716	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	21.36	0.00	21.36	70.0	14.95
03/01/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	6.49	0.00	6.49	70.0	4.54
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088575250	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	12.12	0.00	12.12	70.0	8.48
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00089209664	AMERICAN TAX EXEMPT OF NEW YORK CL BUY 0 Units @0.0000	0.00	32.39	0.00	32.39	70.0	22.67
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131582454 BUY 0 Units @0.0000	0.00	4.79	0.00	4.79	70.0	3.35



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT 131582454 BUY 1 Units @0.0000	0.00	2.77	0.00	2.77	70.0	1.94
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131618704 BUY 0 Units @0.0000	0.00	11.10	0.00	11.10	70.0	7.77
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 13161P805 BUY 0 Units @0.0000	0.00	4.61	0.00	4.61	70.0	3.23
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 13161T104 BUY 0 Units @0.0000	0.00	0.98	0.00	0.98	70.0	0.69
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131649402 BUY 0 Units @0.0000	0.00	5.04	0.00	5.04	70.0	3.53
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131649865 BUY 0 Units @0.0000	0.00	3.57	0.00	3.57	70.0	2.50
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131649881 BUY 0 Units @0.0000	0.00	3.63	0.00	3.63	70.0	2.54
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT CAPITAL ACCUMULATION - A BUY 0 Units @0.0000	0.00	3.21	0.00	3.21	70.0	2.25
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT INTRNTL RESPBL INDEX A-NFS BUY 1 Units @0.0000	0.00	8.03	0.00	8.03	70.0	5.62
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT LONG-TERM INCOME FUND BUY 0 Units @0.0000	0.00	5.77	0.00	5.77	70.0	4.04
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT LONG-TERM INCOME FUND BUY 1 Units @0.0000	0.00	5.44	0.00	5.44	70.0	3.81
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT MODERATE ALLOCATION FUND-A BUY 1 Units @0.0000	0.00	2.88	0.00	2.88	70.0	2.02
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SMALL CAP VALUE FUND BUY 0 Units @0.0000	0.00	5.90	0.00	5.90	70.0	4.13
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SMALL CAP VALUE FUND BUY 1 Units @0.0000	0.00	5.36	0.00	5.36	70.0	3.75
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INDEX A BUY 1 Units @0.0000	0.00	5.41	0.00	5.41	70.0	3.79
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	4.17	0.00	4.17	70.0	2.92



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 1 Units @0.0000	0.00	13.67	0.00	13.67	70.0	9.57
03/09/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	70.0	1.88
03/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FUND BOND BUY 1 Units @0.0000	0.00	13.83	0.00	13.83	70.0	9.68
03/01/2018	***-**-8431 - HOWANIEC, EDWARD ACCOUNT #: 00086726246	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	126.95	0.00	126.95	70.0	88.87
03/01/2018	***-**-06IN - GOLDMAN, JUNE ACCOUNT #: 00087856737	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	58.71	0.00	58.71	70.0	41.10
03/01/2018	***-**-2582 - AGUIAR, DANA ACCOUNT #: 00087887914	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.13	0.00	2.13	70.0	1.49
03/01/2018	***-**-9105 - OCONNOR, BARBARA ACCOUNT #: 00088011557	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	46.90	0.00	46.90	70.0	32.83
03/01/2018	***-**-2538 - GOLDMAN, DANIEL ACCOUNT #: 00088105535	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	40.46	0.00	40.46	70.0	28.32
03/01/2018	***-**-5445 - NORTH, CHARLES ACCOUNT #: 00088143210	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	36.20	0.00	36.20	70.0	25.34
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088145716	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	17.79	0.00	17.79	70.0	12.45
03/01/2018	***-**-3582 - NORTH, JOANNE ACCOUNT #: 00088269231	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	6.01	0.00	6.01	70.0	4.21
03/01/2018	***-**-7131 - PHILLIPS, DAVID ACCOUNT #: 00088295242	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	11.03	0.00	11.03	70.0	7.72
03/01/2018	***-**-7757 - JOHNSON, ACCOUNT #: 00088316251	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	11.67	0.00	11.67	70.0	8.17
03/01/2018	***-**-6809 - FRANCIS, PAUL ACCOUNT #: 00088351601	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	6.80	0.00	6.80	70.0	4.76
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088575250	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	10.27	0.00	10.27	70.0	7.19
03/01/2018	***-**-6885 - BUCHANAN, ROGER ACCOUNT #: 00088653800	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	63.17	0.00	63.17	70.0	44.22



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-1878 - HOWANIEC, DESSA	CAPITAL INCOME BUILDER-A	0.00	3.12	0.00	3.12	70.0	2.18
	ACCOUNT #: 00088798653	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	CAPITAL WORLD BOND FUND-A	0.00	34.60	0.00	34.60	70.0	24.22
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	CAPITAL WORLD BOND FUND-A	0.00	8.15	0.00	8.15	70.0	5.71
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
03/01/2018	***-**-7131 - PHILLIPS, DAVID	CAPITAL WORLD BOND FUND-A	0.00	3.42	0.00	3.42	70.0	2.39
	ACCOUNT #: 00088295242	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	CAPITAL WORLD BOND FUND-A	0.00	2.24	0.00	2.24	70.0	1.57
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-6809 - FRANCIS, PAUL	CAPITAL WORLD BOND FUND-A	0.00	3.02	0.00	3.02	70.0	2.11
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	CAPITAL WORLD BOND FUND-A	0.00	4.85	0.00	4.85	70.0	3.40
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
03/01/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	0.00	1.03	0.00	1.03	70.0	0.72
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
03/01/2018	***-**-8658 - GILL, THERESA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.18	0.00	2.18	70.0	1.53
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
03/01/2018	***-**-6809 - FRANCIS, PAUL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.77	0.00	3.77	70.0	2.64
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
03/01/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.48	0.00	5.48	70.0	3.84
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
03/01/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	0.00	1.83	0.00	1.83	70.0	1.28
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	FUNDAMENTAL INVESTORS-A	0.00	53.37	0.00	53.37	70.0	37.36
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-8658 - GILL, THERESA	FUNDAMENTAL INVESTORS-A	0.00	2.56	0.00	2.56	70.0	1.79
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	0.00	7.02	0.00	7.02	70.0	4.91
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-6809 - FRANCIS, PAUL	FUNDAMENTAL INVESTORS-A	0.00	16.80	0.00	16.80	70.0	11.76
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-8266 - GILL, NANCY	GLOBAL GROWTH PORTFOLIO-A	0.00	3.89	0.00	3.89	70.0	2.72
	ACCOUNT #: 00087772146	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	52.20	0.00	52.20	70.0	36.54
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-5132 - WEBER, FREDERICK	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	8.51	0.00	8.51	70.0	5.96
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
03/01/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	0.00	9.44	0.00	9.44	70.0	6.61
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	NEW PERSPECTIVE FUND-A	0.00	1.99	0.00	1.99	70.0	1.39
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	NEW PERSPECTIVE FUND-A	0.00	11.66	0.00	11.66	70.0	8.16
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
03/01/2018	***-**-8658 - GILL, THERESA	NEW PERSPECTIVE FUND-A	0.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
03/01/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	0.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	NEW PERSPECTIVE FUND-A	0.00	6.67	0.00	6.67	70.0	4.67
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	NEW PERSPECTIVE FUND-A	0.00	3.68	0.00	3.68	70.0	2.58
	ACCOUNT #: 00088925352	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	SMALLCAP WORLD FUND-A	0.00	3.85	0.00	3.85	70.0	2.70
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-8658 - GILL, THERESA	SMALLCAP WORLD FUND-A	0.00	1.19	0.00	1.19	70.0	0.83
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	SMALLCAP WORLD FUND-A	0.00	3.08	0.00	3.08	70.0	2.16
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	0.00	5.73	0.00	5.73	70.0	4.01
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
03/01/2018	***-**-2582 - AGUIAR, DANA	THE BOND FUND OF AMERICA-A	0.00	1.91	0.00	1.91	70.0	1.34
	ACCOUNT #: 00087887914	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	THE BOND FUND OF AMERICA-A	0.00	18.06	0.00	18.06	70.0	12.64
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-9982 - BROWN, EDWIN	THE BOND FUND OF AMERICA-A	0.00	16.30	0.00	16.30	70.0	11.41
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	0.00	5.09	0.00	5.09	70.0	3.56
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-6809 - FRANCIS, PAUL	THE BOND FUND OF AMERICA-A	0.00	6.07	0.00	6.07	70.0	4.25
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
03/01/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	0.00	0.44	0.00	0.44	70.0	0.31
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	THE BOND FUND OF AMERICA-A	0.00	9.43	0.00	9.43	70.0	6.60
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
03/01/2018	***-**-6885 - BUCHANAN, ROGER	THE BOND FUND OF AMERICA-A	0.00	20.24	0.00	20.24	70.0	14.17
	ACCOUNT #: 00088653800	BUY 0 Units @0.0000						
03/01/2018	***-**-1878 - HOWANIEC, DESSA	THE BOND FUND OF AMERICA-A	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: 00088798653	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	THE GROWTH FUND OF AMERICA-A	0.00	4.28	0.00	4.28	70.0	3.00
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	THE GROWTH FUND OF AMERICA-A	0.00	27.43	0.00	27.43	70.0	19.20
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	0.00	10.47	0.00	10.47	70.0	7.33
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-6809 - FRANCIS, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	8.30	0.00	8.30	70.0	5.81
	ACCOUNT #: 00088351601	BUY 0 Units @0.0000						
03/01/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	0.00	2.25	0.00	2.25	70.0	1.58
	ACCOUNT #: 00088394220	BUY 0 Units @0.0000						
03/01/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	0.00	2.54	0.00	2.54	70.0	1.78
	ACCOUNT #: 00088824619	BUY 0 Units @0.0000						
03/01/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	0.00	8.18	0.00	8.18	70.0	5.73
	ACCOUNT #: 00075179061	BUY 0 Units @0.0000						
03/01/2018	***-**-8431 - HOWANIEC, EDWARD	THE INCOME FUND OF AMERICA-A	0.00	137.36	0.00	137.36	70.0	96.15
	ACCOUNT #: 00086726246	BUY 0 Units @0.0000						
03/01/2018	***-**-06IN - GOLDMAN, JUNE	THE INCOME FUND OF AMERICA-A	0.00	63.17	0.00	63.17	70.0	44.22
	ACCOUNT #: 00087856737	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-2582 - AGUIAR, DANA ACCOUNT #: 00087887914	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	2.23	0.00	2.23	70.0	1.56
03/01/2018	***-**-5076 - TORRES, JASON ACCOUNT #: 00088000759	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	70.0	1.27
03/01/2018	***-**-9105 - OCONNOR, BARBARA ACCOUNT #: 00088011557	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	48.34	0.00	48.34	70.0	33.84
03/01/2018	***-**-5445 - NORTH, CHARLES ACCOUNT #: 00088143210	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	35.50	0.00	35.50	70.0	24.85
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088145716	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	9.40	0.00	9.40	70.0	6.58
03/01/2018	***-**-8658 - GILL, THERESA ACCOUNT #: 00088214150	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.04	0.00	1.04	70.0	0.73
03/01/2018	***-**-3582 - NORTH, JOANNE ACCOUNT #: 00088269231	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.83	0.00	5.83	70.0	4.08
03/01/2018	***-**-7131 - PHILLIPS, DAVID ACCOUNT #: 00088295242	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	11.73	0.00	11.73	70.0	8.21
03/01/2018	***-**-7757 - JOHNSON, ACCOUNT #: 00088316251	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	12.41	0.00	12.41	70.0	8.69
03/01/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.90	0.00	5.90	70.0	4.13
03/01/2018	***-**-9064 - GUERRERO, NIGEL ACCOUNT #: 00088394220	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	70.0	0.70
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00088575250	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	5.46	0.00	5.46	70.0	3.82
03/01/2018	***-**-6885 - BUCHANAN, ROGER ACCOUNT #: 00088653800	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	67.07	0.00	67.07	70.0	46.95
03/01/2018	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	70.0	0.79
03/01/2018	***-**-9982 - BROWN, EDWIN ACCOUNT #: 00089209664	THE INCOME FUND OF AMERICA-A BUY 0 Units @0.0000	0.00	18.84	0.00	18.84	70.0	13.19
03/01/2018	***-**-2633 - BUTLER, JAIME ACCOUNT #: 00075179061	THE INVESTMENT COMPANY OF AMERICA-A BUY 0 Units @0.0000	0.00	9.56	0.00	9.56	70.0	6.69



COMMISSION STATEMENT

March 12, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-5076 - TORRES, JASON	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.09	0.00	8.09	70.0	5.66
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-5076 - TORRES, JASON	THE NEW ECONOMY FUND-A	0.00	4.12	0.00	4.12	70.0	2.88
	ACCOUNT #: 00088000759	BUY 0 Units @0.0000						
03/01/2018	***-**-2538 - GOLDMAN, DANIEL	THE NEW ECONOMY FUND-A	0.00	26.33	0.00	26.33	70.0	18.43
	ACCOUNT #: 00088105535	BUY 0 Units @0.0000						
03/01/2018	***-**-8658 - GILL, THERESA	THE NEW ECONOMY FUND-A	0.00	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088214150	BUY 0 Units @0.0000						
03/01/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	0.00	7.06	0.00	7.06	70.0	4.94
	ACCOUNT #: 00088334300	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	22.09	0.00	22.09	70.0	15.46
	ACCOUNT #: 00088145716	BUY 0 Units @0.0000						
03/01/2018	***-**-9982 - BROWN, EDWIN	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	12.78	0.00	12.78	70.0	8.95
	ACCOUNT #: 00088575250	BUY 0 Units @0.0000						
03/01/2018	***-**-5132 - WEBER, FREDERICK	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	8.37	0.00	8.37	70.0	5.86
	ACCOUNT #: 00088817392	BUY 0 Units @0.0000						
03/01/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	27.28	0.00	27.28	70.0	19.10
	ACCOUNT #: 01293376129	BUY 0 Units @0.0000						
03/09/2018	***-**-7748 - MCCANNA, GLORIA	WORLD VALUES INTERNATIONAL EQUITY -	0.00	10.97	0.00	10.97	70.0	7.68
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$750.00	\$2,935.88	\$0.00	\$2,935.88		\$2,055.17
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/12/2018	***-**-5233 - DUTCHESS,	MASS MUTUAL VA TRAILS	0.00	47.88	0.00	47.88	70.0	33.52
	ACCOUNT #: 841406	BUY 1 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$47.88	\$0.00	\$47.88		\$33.52
*** BROKER TRANSACTIONS TOTAL ***			\$188,439.03	\$8,219.97	\$108.00	\$8,111.97		\$5,678.44



BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$5,678.44
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00