



# COMMISSION STATEMENT

## July 16, 2018

ALFREDO BALLESTEROS  
21830 Walnut Street  
Wildmar, CA 92595

BROKER #: B10  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-9808 - SJOERDSMA, GREGG	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @28.8000						
07/02/2018	***-**-0385 - SJOERDSMA, ANN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @28.8000						
07/05/2018	***-**-8240 - BREWER, LUCINDA	EUROPACIFIC GROWTH FUND-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @55.7300						
07/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @56.1700						
07/05/2018	***-**-5049 - CRUZ, JOSE	EUROPACIFIC GROWTH FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @56.1700						
07/02/2018	***-**-8240 - BREWER, LUCINDA	FRANKLIN GROWTH FUND CLASS A	60.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 000060177978368	BUY 1 Units @105.2500						
07/02/2018	***-**-8240 - BREWER, LUCINDA	FRANKLIN SMALL-MID CAP GROWTH FUND	60.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 000019818246338	BUY 2 Units @39.7800						
07/02/2018	***-**-8240 - BREWER, LUCINDA	FRK STRAT INC FND - CL A	60.00	2.40	0.00	2.40	80.0	1.92
	ACCOUNT #: 000019414073167	BUY 6 Units @9.9300						
07/02/2018	***-**-8240 - BREWER, LUCINDA	MUTUAL SERIES SHARES CLASS A	60.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 000000007786623	BUY 2 Units @29.7800						
07/05/2018	***-**-8240 - BREWER, LUCINDA	SMALLCAP WORLD FUND-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @61.4800						
07/05/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @61.9700						
07/05/2018	***-**-5049 - CRUZ, JOSE	SMALLCAP WORLD FUND-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @61.9700						
07/02/2018	***-**-8240 - BREWER, LUCINDA	TEMPLETON GROWTH FUND CLASS A	60.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 000001981388416	BUY 2 Units @28.1100						
07/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087919902	BUY 4 Units @13.0300						
07/02/2018	***-**-0385 - SJOERDSMA, ANN	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087919970	BUY 4 Units @13.0300						
07/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 1 Units @57.3800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/02/2018	***-**-0385 - SJOERDSMA, ANN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 1 Units @57.3800						
07/05/2018	***-**-8240 - BREWER, LUCINDA	THE GROWTH FUND OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @57.1100						
07/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @57.5600						
07/05/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @57.5600						
07/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @24.0200						
07/02/2018	***-**-0385 - SJOERDSMA, ANN	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @24.0200						
07/05/2018	***-**-8240 - BREWER, LUCINDA	THE INVESTMENT COMPANY OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @42.7500						
07/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 1 Units @43.0900						
07/05/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @43.0900						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,200.00</b>	<b>\$55.56</b>	<b>\$0.00</b>	<b>\$55.56</b>		<b>\$44.44</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
07/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 3 Units @28.8700						
07/05/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 2 Units @28.8700						
07/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @47.2100						
07/05/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @47.2100						
07/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 1 Units @56.9300						
07/05/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @56.9300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN (continued)</b>								
07/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @42.9700						
07/05/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @42.9700						
* 529 PLAN SUBTOTAL *			\$500.00	\$25.00	\$0.00	\$25.00		\$20.00
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/30/2018	***-**-6399 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100308650	BUY 0 Units @0.0000						
06/30/2018	***-**-6399 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	2.23	0.00	2.23	80.0	1.78
	ACCOUNT #: 00100308661	BUY 0 Units @0.0000						
06/30/2018	***-**-0405 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100308672	BUY 0 Units @0.0000						
06/30/2018	***-**-0405 - STANDRIDGE,	C-STEELPATH MLP ALPHA FUND	0.00	2.23	0.00	2.23	80.0	1.78
	ACCOUNT #: 00100308683	BUY 0 Units @0.0000						
06/30/2018	***-**-6399 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03222367013	BUY 0 Units @0.0000						
06/30/2018	***-**-6399 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	6.33	0.00	6.33	80.0	5.06
	ACCOUNT #: 03222367024	BUY 0 Units @0.0000						
06/30/2018	***-**-0405 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03222367035	BUY 0 Units @0.0000						
06/30/2018	***-**-0405 - STANDRIDGE,	CAPITAL APPRECIATION C	0.00	6.33	0.00	6.33	80.0	5.06
	ACCOUNT #: 03222367046	BUY 0 Units @0.0000						
06/30/2018	***-**-6399 - STANDRIDGE,	CAPITAL INCOME FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03020607739	BUY 0 Units @0.0000						
06/30/2018	***-**-6399 - STANDRIDGE,	CAPITAL INCOME FUND C	0.00	2.73	0.00	2.73	80.0	2.18
	ACCOUNT #: 03020607750	BUY 0 Units @0.0000						
06/30/2018	***-**-0405 - STANDRIDGE,	CAPITAL INCOME FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 03020607761	BUY 0 Units @0.0000						
06/30/2018	***-**-0405 - STANDRIDGE,	CAPITAL INCOME FUND C	0.00	2.73	0.00	2.73	80.0	2.18
	ACCOUNT #: 03020607772	BUY 0 Units @0.0000						
06/30/2018	***-**-6399 - STANDRIDGE,	EQUITY INCOME FUND, INC. C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 08370762762	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08370762773	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	5.95	0.00	5.95	80.0	4.76
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762784	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08370762795	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	5.95	0.00	5.95	80.0	4.76
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297516	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08571297527	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	80.0	1.91
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297538	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08571297549	LIMITED-TERM GOVERNMENT FUND C BUY 0 Units @0.0000	0.00	2.39	0.00	2.39	80.0	1.91
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120008	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08822120019	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	2.80	0.00	2.80	80.0	2.24
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120030	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08822120041	OPPENHEIMER INT'L BOND CLASS C BUY 0 Units @0.0000	0.00	2.80	0.00	2.80	80.0	2.24
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466873	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-6399 - STANDRIDGE, ACCOUNT #: 08170466884	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	7.17	0.00	7.17	80.0	5.74
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466895	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
06/30/2018	***-**-0405 - STANDRIDGE, ACCOUNT #: 08170466906	OPPENHEIMER INTERNATIONAL SMALL COM BUY 0 Units @0.0000	0.00	7.17	0.00	7.17	80.0	5.74
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$59.20</b>	<b>\$0.00</b>	<b>\$59.20</b>		<b>\$47.34</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,700.00</b>	<b>\$139.76</b>	<b>\$0.00</b>	<b>\$139.76</b>		<b>\$111.78</b>



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
						<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions						\$111.78	
Split Transactions						\$0.00	
Override Transactions						\$0.00	
Adjustments						\$0.00	
Payroll Draw						\$0.00	
Base Salary						\$0.00	
Prior Period Balance						\$0.00	
FINRA Assessment						\$0.00	
SIPC Assessment						\$0.00	
Net Commission Earned						\$111.78	
Year-to-date Earnings						\$21,699.16	