

## COMMISSION STATEMENT

April 03, 2017

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #:

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/08/2017	QGW032021	JONES, HARVEY	COMMERCIAL METALS CO	S	-9,505.00	75.00	0.00	75.00	82.5	61.88
03/27/2017	QGW032047	SHIER, ANDY	QUALCOMM INC	B	28,300.00	800.00	0.00	800.00	82.5	660.00
03/24/2017	QGW032054	PAEGLOW, JOSEPH	QUALCOMM INC	B	28,445.00	700.00	0.00	700.00	82.5	577.50
03/23/2017	QGW032070	H OEI, HOWARD	MICRON TECHNOLOGY INC	S	-8,700.00	200.00	0.00	200.00	82.5	165.00
02/24/2017	QGW032104	DAVIDOV, WILLIAM	BARRICK GOLD CORP	B	2,950.50	35.00	0.00	35.00	82.5	28.88
03/01/2017	QGW032104	DAVIDOV, WILLIAM	NUANCE COMMUNICATIONS INC	S	-2,572.52	35.00	0.00	35.00	82.5	28.88
03/02/2017	QGW032104	DAVIDOV, WILLIAM	INTEVAC INC COM	B	2,100.00	35.00	0.00	35.00	82.5	28.88
03/20/2017	QGW032104	DAVIDOV, WILLIAM	AURINIA PHARMACEUTICALS INC COM ISI B		1,317.00	35.00	0.00	35.00	82.5	28.88
03/20/2017	QGW032104	DAVIDOV, WILLIAM	FLEX LTD ORDINARY SHARES	S	-3,340.00	35.00	0.00	35.00	82.5	28.88
03/21/2017	QGW032104	DAVIDOV, WILLIAM	AIR LEASE CORP CL A	S	-4,751.25	35.00	0.00	35.00	82.5	28.88
03/21/2017	QGW032104	DAVIDOV, WILLIAM	AMERICAN EXPRESS COMPANY (N.Y)	S	-7,806.00	100.00	0.00	100.00	82.5	82.50
03/22/2017	QGW032104	DAVIDOV, WILLIAM	DELTA AIR LINES INC DEL COM	S	-4,500.00	35.00	0.00	35.00	82.5	28.88
03/22/2017	QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	B	7,782.00	40.00	0.00	40.00	82.5	33.00
03/24/2017	QGW032104	DAVIDOV, WILLIAM	ORASURE TECHNOLOGIES INC COM	B	3,603.00	40.00	0.00	40.00	82.5	33.00
03/28/2017	QGW032104	DAVIDOV, WILLIAM	AURINIA PHARMACEUTICALS INC COM ISI S		-1,072.65	35.00	0.00	35.00	82.5	28.88
03/28/2017	QGW032104	DAVIDOV, WILLIAM	BIG 5 SPORTS GOODS CORP COM	B	3,587.50	40.00	0.00	40.00	82.5	33.00
02/28/2017	QGW032112	CIARLO, ANTHONY	AMERICAN EXPRESS COMPANY (N.Y)	S	-8,007.00	100.00	0.00	100.00	82.5	82.50
03/03/2017	QGW032120	DAVIDOV, WILLIAM	CYPRESS SEMICONDUCTOR CORP	B	3,375.00	75.00	0.00	75.00	82.5	61.88
03/08/2017	QGW032138	AUCHSTETTER, GERALD	AMERICAN EXPRESS COMPANY (N.Y)	S	-5,558.00	95.00	0.00	95.00	82.5	78.38
03/07/2017	QGW032195	NERY, GENEROSO	GAMCO GLOBAL GOLD NATURAL RESOURCES B		2,466.00	70.00	0.00	70.00	82.5	57.75
03/09/2017	QGW032195	NERY, GENEROSO	COMMERCIAL METALS CO	S	-9,325.00	75.00	0.00	75.00	82.5	61.88
03/09/2017	QGW032195	NERY, GENEROSO	QUALCOMM INC	B	17,304.00	500.00	0.00	500.00	82.5	412.50
03/09/2017	QGW032229	WATSON, ROGER	CENTURYTEL INC	B	4,530.00	130.00	0.00	130.00	82.5	107.25
03/08/2017	QGW032237	PETERSON, SHAWN	COMMERCIAL METALS CO	S	-9,550.00	75.00	0.00	75.00	82.5	61.88
03/27/2017	QGW032237	PETERSON, SHAWN	COTY INC COM CL A	B	14,632.00	300.00	0.00	300.00	82.5	247.50
03/02/2017	QGW032245	D'ADAMO, WILLIAM	PROSHARES TR PROSHARES ULTRAPRO SHO S		-1,921.50	65.00	0.00	65.00	82.5	53.63
03/03/2017	QGW032476	HANNAN, JOHN	KARYOPHARM THERAPEUTICS INC COM	B	2,875.00	75.00	0.00	75.00	82.5	61.88
03/09/2017	QGW032542	CHRIST, PHILIP	GAMCO GLOBAL GOLD NATURAL RESOURCES B		2,430.00	70.00	0.00	70.00	82.5	57.75
03/27/2017	QGW032831	FEDYK, PAUL	QUALCOMM INC	B	5,098.50	150.00	0.00	150.00	82.5	123.75
03/08/2017	QGW033136	MARAGLINO, NICHOLAS	HUNTSMAN CORP	S	-5,537.53	160.00	0.00	160.00	82.5	132.00
03/09/2017	QGW033136	MARAGLINO, NICHOLAS	ALIBABA GROUP HOLDING LTD SPONSORED B		5,350.80	160.00	0.00	160.00	82.5	132.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/23/2017	QGW033227	WHITE, CLARENCE	MERCER INTERNATIONAL INC	S	-5,850.00	75.00	0.00	75.00	82.5	61.88
03/13/2017	QGW033268	SACHS, STEVEN	GENERAL ELECTRIC CO	S	-753.30	5.00	0.00	5.00	82.5	4.13
03/13/2017	QGW033268	SACHS, STEVEN	TELEFLEX INCORPORATED	S	-5,835.00	5.00	0.00	5.00	82.5	4.13
03/23/2017	QGW033581	RYKEN, MICHAEL	QUALCOMM INC	B	19,855.50	575.00	0.00	575.00	82.5	474.38
* STOCKS SUBTOTAL *					\$61,417.05	\$5,035.00	\$0.00	\$5,035.00		\$4,153.97
PRODUCT CATEGORY: BONDS										
02/24/2017	QGW032013	STROPE, DALE	SPRINT CORP GTD FXD RT NTS 7.625% 0 S		-21,900.00	300.00	0.00	300.00	82.5	247.50
* BONDS SUBTOTAL *					\$-21,900.00	\$300.00	\$0.00	\$300.00		\$247.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/15/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C B		0.00	5.30	0.00	5.30	82.5	4.37
03/20/2017	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY B		0.00	0.41	0.00	0.41	82.5	0.34
03/13/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.63	0.00	0.63	82.5	0.52
03/13/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.11	0.00	0.11	82.5	0.09
03/13/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.21	0.00	0.21	82.5	0.17
03/15/2017	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.04	0.00	0.04	82.5	0.03
03/15/2017	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A	B	0.00	0.06	0.00	0.06	82.5	0.05
03/15/2017	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	B	0.00	0.03	0.00	0.03	82.5	0.02
03/15/2017	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	B	0.00	0.05	0.00	0.05	82.5	0.04
03/15/2017	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.06	0.00	0.06	82.5	0.05
03/15/2017	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.03	0.00	0.03	82.5	0.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$6.93	\$0.00	\$6.93		\$5.70
*** BROKER TRANSACTIONS TOTAL ***					\$39,517.05	\$5,341.93	\$0.00	\$5,341.93		\$4,407.17
ADJUSTMENTS										
04/03/2017		OTHER: OTHER-TECHNOLOGY		0.00	0.00	0.00	0.00		0.00	
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,407.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.68
SIPC Assessment	\$-100.16

Check Amount	\$4,300.33
Year-to-date Earnings	\$26,166.40