



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT

July 15, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
06/26/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
06/28/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	1,000.00	50.00	22.00	28.00	90.0	25.20
06/28/2017	JOHNSON, JAMES	AM FUNDS AMERICAN BALANCED A	4,000.00	200.00	22.00	178.00	90.0	160.20
06/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
06/28/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
06/29/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	75.00	3.19	0.00	3.19	90.0	2.87
06/30/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
06/30/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
07/03/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
06/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
06/30/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
07/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
07/06/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
07/06/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
07/03/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
06/26/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
06/26/2017	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	250.00	12.50	0.00	12.50	90.0	11.25
06/29/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
06/29/2017	MCFEETERS, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.19	0.00	3.19	90.0	2.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/03/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
07/06/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
07/06/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
07/06/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	174.09	2.79	0.00	2.79	90.0	2.51
07/06/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	174.09	2.79	0.00	2.79	90.0	2.51
07/06/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	81.04	1.30	0.00	1.30	90.0	1.17
07/06/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	81.05	1.30	0.00	1.30	90.0	1.17
07/07/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
06/30/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
06/30/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
06/30/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
07/03/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
07/03/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
06/30/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
07/03/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
07/03/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	300.00	12.75	0.00	12.75	90.0	11.48
06/29/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	90.0	3.83
06/30/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87
07/06/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	81.05	1.30	0.00	1.30	90.0	1.17
07/06/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	81.04	1.30	0.00	1.30	90.0	1.17
06/27/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	22.50	1.07	0.00	1.07	90.0	0.96
06/30/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	90.0	0.77
/ /	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.90	0.00	1.90	90.0	1.71
06/30/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
06/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
06/30/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
06/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
06/30/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
07/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
07/07/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
06/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
07/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
07/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
06/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96
06/30/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
07/03/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
07/07/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	160.00	7.60	0.00	7.60	90.0	6.84
07/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
07/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
06/27/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
06/30/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
07/03/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
07/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
07/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
07/07/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	160.00	7.60	0.00	7.60	90.0	6.84
06/30/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
06/30/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	5.00	0.00	5.00	90.0	4.50
06/28/2017	KAUFFMAN, JAN	DODGE & COX INCOME FUND	950.00	0.00	22.00	-22.00	90.0	-19.80
06/28/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	600.00	12.00	22.00	-10.00	90.0	-9.00
06/28/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	1,000.00	20.00	22.00	-2.00	90.0	-1.80
06/28/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	900.00	18.00	22.00	-4.00	90.0	-3.60
06/28/2017	JOHNSON, JAMES	INFLATION LINKED BOND FUND A	4,800.00	96.00	22.00	74.00	90.0	66.60
/ /	JACOT, ROBERT	OPPENHEIMER CAPITAL APPRECIATION A	0.00	25.45	0.00	25.45	90.0	22.91
/ /	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	10.62	0.00	10.62	90.0	9.56
/ /	GOODLANDER, GERALD	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.35	0.00	1.35	90.0	1.22
/ /	MCDONALD, TINA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	2.93	0.00	2.93	90.0	2.64
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	38.36	0.00	38.36	90.0	34.52
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	22.98	0.00	22.98	90.0	20.68
/ /	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	0.00	17.22	0.00	17.22	90.0	15.50
/ /	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	0.00	11.40	0.00	11.40	90.0	10.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ZINN, DIANE	OPPENHEIMER DISCOVERY A	0.00	1.03	0.00	1.03	90.0	0.93
/ /	ZINN, JEFFREY	OPPENHEIMER DISCOVERY A	0.00	1.03	0.00	1.03	90.0	0.93
/ /	JACOT, ROBERT	OPPENHEIMER DISCOVERY A	0.00	26.63	0.00	26.63	90.0	23.97
/ /	STEURY, MICHAEL	OPPENHEIMER EMERG MKTS INNOV	0.00	0.23	0.00	0.23	90.0	0.21
/ /	HODAPP, PETER	OPPENHEIMER EQUITY INCOME A	0.00	3.86	0.00	3.86	90.0	3.47
/ /	ZINN, DIANE	OPPENHEIMER GLOBAL A	0.00	1.14	0.00	1.14	90.0	1.03
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	1.14	0.00	1.14	90.0	1.03
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	2.13	0.00	2.13	90.0	1.92
/ /	MCDONALD, TINA	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	1.26	0.00	1.26	90.0	1.13
/ /	JACOT, CAROL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	9.48	0.00	9.48	90.0	8.53
/ /	JACOT, ROBERT	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	9.48	0.00	9.48	90.0	8.53
/ /	STEURY, MICHAEL	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.03	0.00	4.03	90.0	3.63
/ /	OAR, WARREN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.36	0.00	4.36	90.0	3.92
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	11.25	0.00	11.25	90.0	10.13
/ /	BERNDT, GARY	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	8.12	0.00	8.12	90.0	7.31
/ /	MCDONALD, TINA	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	1.24	0.00	1.24	90.0	1.12
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	16.52	0.00	16.52	90.0	14.87
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.71	0.00	0.71	90.0	0.64
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.21	0.00	4.21	90.0	3.79
/ /	STEURY, MICHAEL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.08	0.00	1.08	90.0	0.97
/ /	OAR, WARREN	OPPENHEIMER INTL BOND A	0.00	3.67	0.00	3.67	90.0	3.30
/ /	JACOT, ROBERT	OPPENHEIMER INTL GROWTH A	0.00	24.72	0.00	24.72	90.0	22.25
/ /	ZINN, DIANE	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.59	0.00	0.59	90.0	0.53
/ /	ZINN, JEFFREY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.59	0.00	0.59	90.0	0.53
/ /	BERNDT, GARY	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.92	0.00	4.92	90.0	4.43
/ /	JACOT, ROBERT	OPPENHEIMER MAIN STREET A	0.00	52.00	0.00	52.00	90.0	46.80
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET OPP A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET OPP A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.65	0.00	1.65	90.0	1.49
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.56	0.00	2.56	90.0	2.30
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.56	0.00	2.56	90.0	2.30
/ /	STEURY, MICHAEL	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	9.34	0.00	9.34	90.0	8.41
/ /	SCHUELKE, THOMAS	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	3.26	0.00	3.26	90.0	2.93

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HARTOG, MAX	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	7.39	0.00	7.39	90.0	6.65
/ /	SHOCK, JACK	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	2.83	0.00	2.83	90.0	2.55
/ /	GOODLANDER, GERALD	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	3.48	0.00	3.48	90.0	3.13
/ /	STEURY, MICHAEL	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	2.46	0.00	2.46	90.0	2.21
/ /	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	8.85	0.00	8.85	90.0	7.97
/ /	ZINN, DIANE	OPPENHEIMER RISING DIVIDENDS A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	ZINN, JEFFREY	OPPENHEIMER RISING DIVIDENDS A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	OAR, WARREN	OPPENHEIMER SENIOR FLOATING RATE A	0.00	3.29	0.00	3.29	90.0	2.96
/ /	ZINN, DIANE	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.89	0.00	1.89	90.0	1.70
/ /	ZINN, JEFFREY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.89	0.00	1.89	90.0	1.70
/ /	STEURY, MICHAEL	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.84	0.00	2.84	90.0	2.56
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	12.11	0.00	12.11	90.0	10.90
/ /	MESEKE, GILBERT	OPPENHEIMER SENIOR FLOATING RATE C	0.00	18.67	0.00	18.67	90.0	16.80
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.85	0.00	5.85	90.0	5.27
/ /	BERNDT, GARY	OPPENHEIMER SENIOR FLOATING RATE C	0.00	8.79	0.00	8.79	90.0	7.91
/ /	MCDONALD, TINA	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.65	0.00	5.65	90.0	5.09
/ /	MCDONALD, TINA	OPPENHEIMER SMALL MID CAP VALUE C	0.00	3.02	0.00	3.02	90.0	2.72
/ /	BERNDT, GARY	OPPENHEIMER US GOVT TRUST C	0.00	5.07	0.00	5.07	90.0	4.56
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	11.34	0.00	11.34	90.0	10.21
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	5.45	0.00	5.45	90.0	4.91
07/05/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	0.00	16.12	0.00	16.12	90.0	14.51
07/05/2017	RUSSELL, TAYLOR	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.35	0.00	0.35	90.0	0.32
07/05/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.92	0.00	1.92	90.0	1.73
07/05/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.05	0.00	13.05	90.0	11.75
07/05/2017	URICK, DANIEL	TRANSAMERICA MULTI-MANAGED BAL A	0.00	2.47	0.00	2.47	90.0	2.22
07/05/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.42	0.00	2.42	90.0	2.18
07/05/2017	URICK, DANIEL	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	2.21	0.00	2.21	90.0	1.99
* MUTUAL FUNDS SUBTOTAL *			\$20,118.20	\$1,137.16	\$154.00	\$983.16		\$884.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,070.60	8.36	0.00	8.36	90.0	7.52
06/30/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,626.16	13.59	0.00	13.59	90.0	12.23
06/30/2017	SIEBER, PAUL	JNL PERSPECTIVE II	66,547.93	27.73	0.00	27.73	90.0	24.96
07/03/2017	CSUHTA, JULIE	NWL BOA NG FP VUL	402.60	8.05	0.00	8.05	90.0	7.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/03/2017	CSUHTA, JULIE	NWL BOA NG FP VUL	434.00	8.68	0.00	8.68	90.0	7.81
06/30/2017	SENGER, LYNDIA	PRU AS LIFEVEST II	104,665.61	65.42	0.00	65.42	90.0	58.88
06/30/2017	SENGER, LYNDIA	PRU AS LIFEVEST II	104,665.61	196.25	0.00	196.25	90.0	176.63
* VARIABLE ANNUITIES SUBTOTAL *			\$329,412.51	\$328.08	\$0.00	\$328.08		\$295.28
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/20/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.69	0.00	0.69	90.0	0.62
06/20/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.80	0.00	0.80	90.0	0.72
06/20/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.11	0.00	1.11	90.0	1.00
06/20/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.39
06/20/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.40	0.00	11.40	90.0	10.26
06/20/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.44
06/20/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	90.0	0.84
06/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.56	0.00	0.56	90.0	0.50
06/20/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	90.0	0.41
06/20/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.76	0.00	0.76	90.0	0.68
06/20/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.49	0.00	0.49	90.0	0.44
06/20/2017	VAN AUKEN, KAREN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.70	0.00	0.70	90.0	0.63
06/20/2017	VAN AUKEN, KAREN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.17	0.00	1.17	90.0	1.05
06/20/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	90.0	0.40
06/20/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.76	0.00	8.76	90.0	7.88
06/20/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.57	0.00	0.57	90.0	0.51
06/20/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	90.0	0.37
06/20/2017	VAN AUKEN, KAREN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.13	0.00	2.13	90.0	1.92
06/20/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.68
06/20/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.07	0.00	6.07	90.0	5.46
06/20/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21
06/20/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.88	0.00	4.88	90.0	4.39
06/20/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.50
06/20/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.22	0.00	6.22	90.0	5.60
06/20/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/20/2017	VAN AUKEN, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/20/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.44	0.00	4.44	90.0	4.00
06/20/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.46
06/20/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.69	0.00	5.69	90.0	5.12
06/20/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	90.0	0.48
06/20/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.42	0.00	0.42	90.0	0.38
06/20/2017	VAN AUKEN, KAREN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.14	0.00	2.14	90.0	1.93
06/20/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	5.85	0.00	5.85	90.0	5.27
06/20/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.10	0.00	5.10	90.0	4.59
06/20/2017	VAN AUKEN, KAREN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.03	0.00	0.03	90.0	0.03
06/20/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.22	0.00	4.22	90.0	3.80
06/20/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.33	0.00	4.33	90.0	3.90
06/30/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.11	0.00	8.11	90.0	7.30
06/13/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.25	0.00	2.25	90.0	2.03
06/20/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.13	0.00	0.13	90.0	0.12
06/20/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18
06/20/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	90.0	0.29
06/20/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18
06/20/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.31	0.00	0.31	90.0	0.28
06/21/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.81	0.00	0.81	90.0	0.73
06/21/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.22	0.00	5.22	90.0	4.70
06/21/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.93	0.00	1.93	90.0	1.74
06/21/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.36	0.00	20.36	90.0	18.32
06/14/2017	ROGERS, BRADLEY	MFS GROWTH B	0.00	0.08	0.00	0.08	90.0	0.07
06/13/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.91	0.00	10.91	90.0	9.82
06/16/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.58	0.00	7.58	90.0	6.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$145.53	\$0.00	\$145.53		\$131.02

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2017	LAYMAN, VARIOUS	LFG TRAILS	0.00	118.15	0.00	118.15	90.0	106.34
07/01/2017	SIEBER, PAUL	ING ESII	0.00	73.28	0.00	73.28	90.0	65.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$191.43	\$0.00	\$191.43		\$172.29
*** BROKER TRANSACTIONS TOTAL ***			\$349,530.71	\$1,802.20	\$154.00	\$1,648.20		\$1,483.52

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.97	0.00	2.97	90.0	2.67
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	2.01	0.00	2.01	90.0	1.81
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	2.01	0.00	2.01	90.0	1.81
06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.71
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	69.23	1.03	0.00	1.03	90.0	0.93
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	115.38	1.71	0.00	1.71	90.0	1.54
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.20
06/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
06/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
06/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.36
06/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
06/28/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	1,000.00	17.50	7.70	9.80	90.0	8.82
06/28/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	75.00	1.31	7.70	-6.39	90.0	-5.75
06/28/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	170.00	2.97	7.70	-4.73	90.0	-4.26
06/28/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	200.00	3.50	7.70	-4.20	90.0	-3.78
06/30/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.16
07/03/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
06/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
06/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
06/28/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.75	7.70	-5.95	90.0	-5.36
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
06/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
06/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/30/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
06/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
06/30/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
06/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
06/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/15/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.01	0.00	1.01	90.0	0.91
06/16/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.25	0.00	4.25	90.0	3.83
06/19/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
06/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.64	0.00	0.64	90.0	0.58
06/20/2017	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,000.00	175.00	0.00	175.00	90.0	157.50
06/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
06/14/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.12	0.00	0.12	90.0	0.11
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.97	0.00	0.97	90.0	0.87
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.42	0.00	1.42	90.0	1.28
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.44	0.00	1.44	90.0	1.30
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.11	0.00	0.11	90.0	0.10

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.12	0.00	0.12	90.0	0.11
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.95	0.00	0.95	90.0	0.86
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.08	0.00	2.08	90.0	1.87
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.66	0.00	2.66	90.0	2.39
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.22	0.00	0.22	90.0	0.20
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.79	0.00	1.79	90.0	1.61
06/28/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.31	0.00	1.31	90.0	1.18
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$53,884.51	\$258.92	\$38.50	\$220.42		\$198.39
*** SPLIT TRANSACTIONS TOTAL ***			\$53,884.51	\$258.92	\$38.50	\$220.42		\$198.39

ADJUSTMENTS

07/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2017	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-3.00
07/15/2017	*PERSHING: PROSPECTUS	0.00						-7.75
07/15/2017	*PERSHING: PROSPECTUS	0.00						-5.41
07/15/2017	*TECH: ADMIN J WRIGHT	0.00						-100.00
07/15/2017	*TECH: ALBRIDGE FULL	0.00						-100.00
07/15/2017	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-100.00
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH-BIL	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,466.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,483.52
Split Transactions	\$198.39
Override Transactions	\$0.00
Adjustments	\$-1,466.16
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$215.75
Year-to-date Gross	\$32,774.31
Year-to-date Earnings	\$28,561.53