



COMMISSION STATEMENT

July 31, 2017

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/17/2017	BURTNETTE, PATRICIA	AMERICAN HIGH INCOME TRUST CLASS F-	5,000.00	0.00	0.00	0.00	90.0	0.00
^07/17/2017	BURTNETTE, PATRICIA	DODGE & COX INCOME FUND	2,500.00	0.00	0.00	0.00	90.0	0.00
^07/17/2017	BURTNETTE, PATRICIA	T ROWE PRICE GLOBAL MULTI-SECTOR BO	2,500.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$10,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^07/17/2017	BURTNETTE, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	14,922.64	0.00	0.00	0.00	90.0	0.00
^07/17/2017	BURTNETTE, PATRICIA	BP PLC SPONS ADR	10,011.05	0.00	0.00	0.00	90.0	0.00
^07/17/2017	BURTNETTE, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	5,051.04	0.00	0.00	0.00	90.0	0.00
^07/17/2017	BURTNETTE, PATRICIA	IRON MTN INC NEW COM	9,988.47	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$39,973.20	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
^07/18/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	39,309.89	99.08	0.00	99.08	90.0	0.00
^07/26/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	3,513.59	0.00	3,513.59	90.0	0.00
^07/26/2017	BECKER, PATRICIA	ITS MANAGEMENT	31,652.11	79.78	0.00	79.78	90.0	0.00
^07/26/2017	GATER, CAREY	ITS MANAGEMENT	55,607.00	105.12	0.00	105.12	90.0	0.00
^07/13/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	2,497.09	0.00	2,497.09	90.0	0.00
^07/20/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	2,009.94	0.00	2,009.94	90.0	0.00
^07/18/2017	DORSETT, JERRY	TD AMERITRADE	0.00	96.24	0.00	96.24	90.0	0.00
^07/18/2017	DORSETT, LARA	TD AMERITRADE	0.00	182.34	0.00	182.34	90.0	0.00
^07/18/2017	EISERLE, DAVID	TD AMERITRADE	0.00	659.97	0.00	659.97	90.0	0.00
^07/18/2017	FOLTZ, SCOTT	TD AMERITRADE	0.00	69.35	0.00	69.35	90.0	0.00
^07/18/2017	FOLTZ, KATHRYN	TD AMERITRADE	0.00	110.47	0.00	110.47	90.0	0.00
^07/18/2017	JACOBS, BARRY	TD AMERITRADE	0.00	234.26	0.00	234.26	90.0	0.00
^07/18/2017	KLINE, THOMAS	TD AMERITRADE	0.00	141.80	0.00	141.80	90.0	0.00
^07/18/2017	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	325.71	0.00	325.71	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
* RIA SUBTOTAL *			\$126,569.00	\$10,124.74	\$0.00	\$10,124.74		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$176,542.20	\$10,124.74	\$0.00	\$10,124.74		\$0.00

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/17/2017	BURTNETTE, PATRICIA	AMERICAN HIGH INCOME TRUST CLASS F-	5,000.00	0.00	0.00	0.00	90.0	0.00
07/17/2017	BURTNETTE, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	14,922.64	0.00	0.00	0.00	90.0	0.00
07/17/2017	BURTNETTE, PATRICIA	BP PLC SPONS ADR	10,011.05	0.00	0.00	0.00	90.0	0.00
07/18/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	39,309.89	29.72	0.00	29.72	90.0	26.75
07/17/2017	BURTNETTE, PATRICIA	DODGE & COX INCOME FUND	2,500.00	0.00	0.00	0.00	90.0	0.00
07/17/2017	BURTNETTE, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	5,051.04	0.00	0.00	0.00	90.0	0.00
07/26/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	1,054.08	0.00	1,054.08	90.0	948.67
07/17/2017	BURTNETTE, PATRICIA	IRON MTN INC NEW COM	9,988.47	0.00	0.00	0.00	90.0	0.00
07/26/2017	BECKER, PATRICIA	ITS MANAGEMENT	31,652.11	23.93	0.00	23.93	90.0	21.54
07/26/2017	GATER, CAREY	ITS MANAGEMENT	55,607.00	31.54	0.00	31.54	90.0	28.39
07/13/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	749.13	0.00	749.13	90.0	674.22
07/20/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	602.98	0.00	602.98	90.0	542.68
07/17/2017	BURTNETTE, PATRICIA	T ROWE PRICE GLOBAL MULTI-SECTOR BO	2,500.00	0.00	0.00	0.00	90.0	0.00
07/18/2017	DORSETT, JERRY	TD AMERITRADE	0.00	28.87	0.00	28.87	90.0	25.98
07/18/2017	DORSETT, LARA	TD AMERITRADE	0.00	54.70	0.00	54.70	90.0	49.23
07/18/2017	EISERLE, DAVID	TD AMERITRADE	0.00	197.99	0.00	197.99	90.0	178.19
07/18/2017	FOLTZ, SCOTT	TD AMERITRADE	0.00	20.81	0.00	20.81	90.0	18.73
07/18/2017	FOLTZ, KATHRYN	TD AMERITRADE	0.00	33.14	0.00	33.14	90.0	29.83
07/18/2017	JACOBS, BARRY	TD AMERITRADE	0.00	70.28	0.00	70.28	90.0	63.25
07/18/2017	KLINE, THOMAS	TD AMERITRADE	0.00	42.54	0.00	42.54	90.0	38.29
07/18/2017	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	97.71	0.00	97.71	90.0	87.94
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$176,542.20	\$3,037.42	\$0.00	\$3,037.42		\$2,733.69
*** SPLIT TRANSACTIONS TOTAL ***			\$176,542.20	\$3,037.42	\$0.00	\$3,037.42		\$2,733.69

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$2,733.69
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,733.69
Year-to-date Gross	\$11,350.37
Year-to-date Earnings	\$10,215.36