

**COMMISSION STATEMENT****November 30, 2017**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80
11/15/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
* MUTUAL FUNDS SUBTOTAL *			\$175.00	\$0.00	\$24.00	\$-24.00		\$-21.60
*** CLEARING TRANSACTIONS TOTAL ***			\$175.00	\$0.00	\$24.00	\$-24.00		\$-21.60

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
11/14/2017	DERBYSHIRE TRUST, KAREN	BR TOTAL INC & REAL ESTATE FUND C	0.00	23.39	0.00	23.39	90.0	21.05
11/14/2017	DERBYSHIRE, JAMES	BR TOTAL INC & REAL ESTATE FUND C	0.00	23.19	0.00	23.19	90.0	20.87
11/14/2017	HARMEYER, SHARYN	BR TOTAL INC & REAL ESTATE FUND C	0.00	37.08	0.00	37.08	90.0	33.37
11/14/2017	BYRUM, GERALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	41.32	0.00	41.32	90.0	37.19
11/14/2017	BUSH, SANDRA	BR TOTAL INC & REAL ESTATE FUND C	0.00	32.11	0.00	32.11	90.0	28.90
11/14/2017	DIETSCH, RHONDA	BR TOTAL INC & REAL ESTATE FUND C	0.00	27.48	0.00	27.48	90.0	24.73
11/14/2017	PEPPLE, GLEN	BR TOTAL INC & REAL ESTATE FUND C	0.00	13.73	0.00	13.73	90.0	12.36
11/14/2017	WILLING, LEONARD	BR TOTAL INC & REAL ESTATE FUND C	0.00	35.27	0.00	35.27	90.0	31.74
11/14/2017	MURPHY, SUSAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	44.33	0.00	44.33	90.0	39.90
11/14/2017	LOWDEN TRUST, KATHERINE	BR TOTAL INC & REAL ESTATE FUND C	0.00	37.11	0.00	37.11	90.0	33.40
11/14/2017	ZINN, JEANETTE	BR TOTAL INC & REAL ESTATE FUND C	0.00	63.32	0.00	63.32	90.0	56.99
10/31/2017	LAYMAN, VARIOUS	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	95.68	0.00	95.68	90.0	86.11
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$474.01	\$0.00	\$474.01		\$426.61

<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/15/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
11/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.38	0.00	1.38	90.0	1.24
11/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
11/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/15/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
11/15/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
11/14/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
11/21/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	480.00	22.80	0.00	22.80	90.0	20.52
11/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.20	0.00	1.20	90.0	1.08
* 529 PLANS SUBTOTAL *			\$1,087.40	\$40.96	\$0.00	\$40.96		\$36.89

PRODUCT CATEGORY: MUTUAL FUNDS

11/10/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
11/10/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
11/15/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
11/15/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
11/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
11/15/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
11/15/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
11/13/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
11/13/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
11/13/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
11/13/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
11/07/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
11/10/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
11/13/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
11/13/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
11/13/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
11/13/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
11/13/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	143.31	2.29	0.00	2.29	90.0	2.06
11/13/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	143.31	2.29	0.00	2.29	90.0	2.06
11/13/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	146.65	2.35	0.00	2.35	90.0	2.12
11/13/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	146.65	2.35	0.00	2.35	90.0	2.12
11/13/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	65.65	1.05	0.00	1.05	90.0	0.95
11/13/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	65.66	1.05	0.00	1.05	90.0	0.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/13/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	67.32	1.08	0.00	1.08	90.0	0.97
11/13/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	67.33	1.08	0.00	1.08	90.0	0.97
11/15/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
11/15/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
11/10/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
11/15/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
11/15/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
11/16/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
11/13/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	65.66	1.05	0.00	1.05	90.0	0.95
11/13/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	65.65	1.05	0.00	1.05	90.0	0.95
11/13/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	67.33	1.08	0.00	1.08	90.0	0.97
11/13/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	67.32	1.08	0.00	1.08	90.0	0.97
11/08/2017	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	40.00	1.90	0.00	1.90	90.0	1.71
11/14/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
11/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.20	0.00	1.20	90.0	1.08
11/21/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	480.00	22.80	0.00	22.80	90.0	20.52
11/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
11/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
11/08/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
11/14/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
11/21/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	960.00	31.20	0.00	31.20	90.0	28.08
11/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
11/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
11/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
11/21/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	320.00	10.40	0.00	10.40	90.0	9.36
11/08/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	160.00	7.60	0.00	7.60	90.0	6.84
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
11/21/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	480.00	22.80	0.00	22.80	90.0	20.52
11/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.00	0.00	2.00	90.0	1.80
11/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
11/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
11/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
11/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
11/08/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	160.00	7.60	0.00	7.60	90.0	6.84
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75
11/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25
11/21/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	480.00	22.80	0.00	22.80	90.0	20.52
11/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.00	0.00	2.00	90.0	1.80
11/14/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
11/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
11/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
11/15/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
11/15/2017	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	50.00	0.50	0.00	0.50	90.0	0.45
11/15/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
11/15/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
* MUTUAL FUNDS SUBTOTAL *			\$8,097.12	\$276.41	\$0.00	\$276.41		\$248.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$1.00	\$0.00	\$1.00		\$0.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	HODAPP, CYNTHIA	BLACKROCK 19452P784	0.00	0.11	0.00	0.11	90.0	0.10
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.32	0.00	0.32	90.0	0.29
10/31/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.56	0.00	0.56	90.0	0.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.99	\$0.00	\$0.99		\$0.89

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/03/2017	MARTZ, PHILLIS	ING ACCESS	0.00	952.65	0.00	952.65	90.0	857.39
11/08/2017	HEES, CHRISTEY	ING ESII	0.00	71.32	0.00	71.32	90.0	64.19
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,023.97	\$0.00	\$1,023.97		\$921.58
*** DIRECT TRANSACTIONS TOTAL ***			\$9,234.52	\$1,817.34	\$0.00	\$1,817.34		\$1,635.72

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$0.00	\$1.71	\$0.00	\$1.71		\$1.53

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

11/10/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
11/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
11/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	8.75	0.00	8.75	90.0	7.88
11/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
11/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	8.75	0.00	8.75	90.0	7.88
11/14/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.84	0.00	0.84	90.0	0.76
11/17/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
11/17/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
11/17/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
11/17/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
11/17/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
11/17/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
11/17/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
11/17/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
11/14/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	15.57	0.00	15.57	90.0	14.01
10/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	15.75	0.00	15.75	90.0	14.18
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,705.00	\$59.53	\$0.00	\$59.53		\$53.60
*** SPLIT TRANSACTIONS TOTAL ***			\$1,705.00	\$61.24	\$0.00	\$61.24		\$55.13

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM LAYMAN #207 </u>								
11/15/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
		* WILLIAM LAYMAN SUBTOTAL *	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75

<u> ADJUSTMENTS </u>								
11/30/2017		*FINRA: FINRA CE TRACKED WEB FEE	0.00					-55.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

<u> BROKER COMMISSION TOTALS </u>	
Broker Transactions	\$1,614.12
Split Transactions	\$55.13
Override Transactions	\$6.75
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-696.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$924.98
Year-to-date Gross	\$50,925.10
Year-to-date Earnings	\$44,618.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed