

COMMISSION STATEMENT

July 31, 2018

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #: P80
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/20/2018 QGW007700	SARNA, MARK	BANK OF AMERICA CORP	B	108,000.00	200.00	0.00	200.00	52.0	104.00
07/20/2018 QGW007700	SARNA, MARK	GENERAL ELECTRIC CO	B	26,000.00	100.00	0.00	100.00	52.0	52.00
07/26/2018 QGW007700	SARNA, MARK	BANK OF AMERICA CORP	S	-15,556.70	25.00	0.00	25.00	52.0	13.00
07/02/2018 QGW007825	SARNA FAMILY LTD	APPLE INC	S	-373,886.00	100.00	0.00	100.00	52.0	52.00
07/05/2018 QGW007825	SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD SPONSORED S	S	-186,843.40	50.00	0.00	50.00	52.0	26.00
07/06/2018 QGW007825	SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD SPONSORED S	S	-191,026.80	50.00	0.00	50.00	52.0	26.00
07/20/2018 QGW007825	SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD SPONSORED B	B	175,000.00	50.00	0.00	50.00	52.0	26.00
07/20/2018 QGW007825	SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD SPONSORED B	B	170,000.00	50.00	0.00	50.00	52.0	26.00
07/20/2018 QGW007825	SARNA FAMILY LTD	APPLE INC	B	350,000.00	100.00	0.00	100.00	52.0	52.00
07/20/2018 QGW007825	SARNA FAMILY LTD	BANK OF AMERICA CORP	B	135,000.00	250.00	0.00	250.00	52.0	130.00
07/20/2018 QGW007825	SARNA FAMILY LTD	GOLDMAN SACHS GROUP INC	B	430,000.00	100.00	0.00	100.00	52.0	52.00
07/25/2018 QGW007825	SARNA FAMILY LTD	FACEBOOK INC CL A	B	202,330.00	50.00	0.00	50.00	52.0	26.00
07/26/2018 QGW007825	SARNA FAMILY LTD	FACEBOOK INC CL A	B	171,566.00	50.00	0.00	50.00	52.0	26.00
* STOCKS SUBTOTAL *				\$1,000,583.10	\$1,175.00	\$0.00	\$1,175.00		\$611.00

PRODUCT CATEGORY: OPTIONS

07/11/2018 QGW007700	SARNA, MARK	CALL 100 CATERPILLAR INC COM EXP 08 B		9,690.00	40.00	0.00	40.00	52.0	20.80
07/13/2018 QGW007700	SARNA, MARK	CALL 100 CATERPILLAR INC COM EXP 08 S		-11,730.00	40.00	0.00	40.00	52.0	20.80
07/17/2018 QGW007700	SARNA, MARK	CALL 100 ARCONIC INC COM EXP 07-20- S		-6,400.00	80.00	0.00	80.00	52.0	41.60
07/17/2018 QGW007700	SARNA, MARK	CALL 100 FREEPORT-MCMORAN INC CL B S		-2,900.00	30.00	0.00	30.00	52.0	15.60
07/17/2018 QGW007700	SARNA, MARK	CALL 100 GENERAL ELECTRIC CO COM EX B		5,670.00	90.00	0.00	90.00	52.0	46.80
07/17/2018 QGW007700	SARNA, MARK	CALL 100 GOLDMAN SACHS GROUP INC CO B		10,880.00	40.00	0.00	40.00	52.0	20.80
07/17/2018 QGW007700	SARNA, MARK	CALL 100 VALE S A ADR ISIN#US91912E S		-2,250.00	30.00	0.00	30.00	52.0	15.60
07/18/2018 QGW007700	SARNA, MARK	CALL 100 GENERAL ELECTRIC CO COM EX S		-800.00	20.00	0.00	20.00	52.0	10.40
07/18/2018 QGW007700	SARNA, MARK	CALL 100 GENERAL ELECTRIC CO COM EX S		800.00	-20.00	0.00	-20.00	52.0	-10.40 C
07/18/2018 QGW007700	SARNA, MARK	CALL 100 GENERAL ELECTRIC CO COM EX S		-800.00	20.00	0.00	20.00	52.0	10.40
07/19/2018 QGW007700	SARNA, MARK	CALL 100 BANK OF AMERICA CORPORATIO B		22,330.00	330.00	0.00	330.00	52.0	171.60
07/20/2018 QGW007700	SARNA, MARK	CALL 100 ARCONIC INC COM EXP 07-20- S		-3,110.00	40.00	0.00	40.00	52.0	20.80
07/20/2018 QGW007700	SARNA, MARK	CALL 100 ALCOA CORP COM EXP 08-17-1 B		9,120.00	120.00	0.00	120.00	52.0	62.40
07/20/2018 QGW007700	SARNA, MARK	CALL 100 BANK OF AMERICA CORPORATIO S		-15,500.00	200.00	0.00	200.00	52.0	104.00
07/20/2018 QGW007700	SARNA, MARK	CALL 100 BANK OF AMERICA CORPORATIO S		-4,140.00	60.00	0.00	60.00	52.0	31.20

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
07/20/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-16,600.00	40.00	0.00	40.00	52.0	20.80
07/20/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-11,800.00	40.00	0.00	40.00	52.0	20.80
07/23/2018	QGW007700	SARNA, MARK	CALL 100	BANK OF AMERICA CORPORATIO S	-460.00	40.00	0.00	40.00	52.0	20.80
07/24/2018	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 08-17-1 S	-14,299.80	120.00	0.00	120.00	52.0	62.40
07/24/2018	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B B	2,820.00	60.00	0.00	60.00	52.0	31.20
07/24/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO S	-18,060.00	40.00	0.00	40.00	52.0	20.80
07/25/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL MTRS CO COM EXP 08 B	4,100.00	60.00	0.00	60.00	52.0	31.20
07/30/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 08-1 S	-12,600.00	40.00	0.00	40.00	52.0	20.80
07/30/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 08-1 B	9,770.00	40.00	0.00	40.00	52.0	20.80
07/30/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL MTRS CO COM EXP 08 S	-6,240.00	80.00	0.00	80.00	52.0	41.60
07/11/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	16,740.00	40.00	0.00	40.00	52.0	20.80
07/11/2018	QGW007825	SARNA FAMILY LTD	CALL 100	CATERPILLAR INC COM EXP 08 B	9,690.00	40.00	0.00	40.00	52.0	20.80
07/11/2018	QGW007825	SARNA FAMILY LTD	CALL 100	MARATHON PETE CORP COM EXP B	6,120.00	40.00	0.00	40.00	52.0	20.80
07/13/2018	QGW007825	SARNA FAMILY LTD	CALL 100	MARATHON PETE CORP COM EXP S	-7,340.00	40.00	0.00	40.00	52.0	20.80
07/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 07-20- S	-3,200.00	40.00	0.00	40.00	52.0	20.80
07/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B S	-2,900.00	30.00	0.00	30.00	52.0	15.60
07/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	1,890.00	30.00	0.00	30.00	52.0	15.60
07/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	21,742.00	80.00	0.00	80.00	52.0	41.60
07/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	VALE S A ADR ISIN#US91912E S	-2,250.00	30.00	0.00	30.00	52.0	15.60
07/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX S	-800.00	20.00	0.00	20.00	52.0	10.40
07/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX S	800.00	-20.00	0.00	-20.00	52.0	-10.40
07/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX S	-800.00	20.00	0.00	20.00	52.0	10.40
07/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	24,360.00	360.00	0.00	360.00	52.0	187.20
07/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 07-20- S	-6,220.00	80.00	0.00	80.00	52.0	41.60
07/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO S	-15,500.00	200.00	0.00	200.00	52.0	104.00
07/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO S	-4,140.00	60.00	0.00	60.00	52.0	31.20
07/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-16,600.00	40.00	0.00	40.00	52.0	20.80
07/20/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-23,600.00	80.00	0.00	80.00	52.0	41.60
07/23/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO S	-460.00	40.00	0.00	40.00	52.0	20.80
07/24/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B B	2,820.00	60.00	0.00	60.00	52.0	31.20
07/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL MTRS CO COM EXP 08 B	4,100.00	60.00	0.00	60.00	52.0	31.20
07/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	CATERPILLAR INC COM EXP 08 S	-13,600.00	40.00	0.00	40.00	52.0	20.80
07/30/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 08-1 B	9,770.00	40.00	0.00	40.00	52.0	20.80
* OPTIONS SUBTOTAL *					\$-51,887.80	\$3,130.00	\$0.00	\$3,130.00		\$1,627.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/31/2018		FEE COUNT - 2		0.00	24.68	0.00	24.68	52.0	12.83	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$24.68	\$0.00	\$24.68		\$12.83
*** BROKER TRANSACTIONS TOTAL ***					\$948,695.30	\$4,329.68	\$0.00	\$4,329.68		\$2,251.43

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,251.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.41
SIPC Assessment	\$-10.82

Check Amount	\$2,215.20
Year-to-date Earnings	\$23,157.64