

STATEMENT

January 20, 2018

RICHARD KLEIN - LPCM
24 Aster Court

BROKER #: 3292

BRANCH: LIBERTY PARTNERS CAP

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
01/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	108,893.12	-54.45	0.00	0.00	-54.45	85.0	-46.28
01/01/2018 #538462	TCA KLEIN VAR	LPCM AGGR 40/40/20	B	108,893.12	222.33	0.00	0.00	222.33	85.0	188.98
01/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	106,938.29	-53.47	0.00	0.00	-53.47	85.0	-45.45
01/01/2018 #538462	TCA KLEIN VAR	LPCM MOD 30/30/40	B	106,938.29	218.33	0.00	0.00	218.33	85.0	185.58
* RIA SUBTOTAL *				<u>\$431,662.82</u>	<u>\$332.74</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$332.74</u>		<u>\$282.83</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$431,662.82</u>	<u>\$332.74</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$332.74</u>		<u>\$282.83</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$282.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$282.83
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