



COMMISSION STATEMENT

January 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/22/2017	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 04000004425	BUY 3 Units @14.4400						
12/18/2017	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00701328528	BUY 11 Units @18.6500						
12/28/2017	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00701328528	BUY 5 Units @18.6700						
12/28/2017	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00086583849	BUY 4 Units @13.6500						
		* MUTUAL FUNDS SUBTOTAL *	\$400.00	\$16.50	\$0.00	\$16.50		\$14.85
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/01/2018	***-**-786F - SEELEY, NANCY	SCHOLAR'S EDGE TRAILS	0.00	6.42	0.00	6.42	90.0	5.78
	ACCOUNT #: 2000093784	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$0.00	\$6.42	\$0.00	\$6.42		\$5.78
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/04/2018	***-**-86I - SEELEY, NANCY	BLACKROCK FUNDS	0.00	4.32	0.00	4.32	90.0	3.89
	ACCOUNT #: 5291468659	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$4.32	\$0.00	\$4.32		\$3.89
		*** BROKER TRANSACTIONS TOTAL ***	\$400.00	\$27.24	\$0.00	\$27.24		\$24.52

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

12/18/2017	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00086106101	BUY 2 Units @29.9400						
12/26/2017	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	15.0	0.48
	ACCOUNT #: 00084492487	BUY 4 Units @28.1100						
12/26/2017	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	15.0	0.65
	ACCOUNT #: 00087990221	BUY 4 Units @28.4100						
12/26/2017	***-**-2179 - FOX, RAY	AMERICAN BALANCED FUND-A	1,916.27	81.44	0.00	81.44	15.0	12.22
	ACCOUNT #: 00087972536	BUY 67 Units @28.5600						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
12/27/2017	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN BALANCED FUND-A BUY 2 Units @28.8400	50.00	2.50	0.00	2.50	15.0	0.38
12/27/2017	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @28.1700	90.75	2.50	0.00	2.50	15.0	0.38
12/27/2017	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 1 Units @28.6100	37.50	1.59	0.00	1.59	15.0	0.24
12/27/2017	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @28.8400	60.00	3.00	0.00	3.00	15.0	0.45
12/28/2017	***-**-241R - BERTRAND, JOHN ACCOUNT #: 00089941898	AMERICAN BALANCED FUND-A BUY 2 Units @28.8700	50.00	2.50	0.00	2.50	15.0	0.38
12/29/2017	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @28.1300	125.00	3.44	0.00	3.44	15.0	0.52
12/29/2017	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	AMERICAN BALANCED FUND-A BUY 14 Units @28.1300	400.00	11.00	0.00	11.00	15.0	1.65
12/26/2017	***-**-0249 - ROBERTS, LESLIE ACCOUNT #: 01919000777	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 579 Units @16.0600	9,303.15	465.16	0.00	465.16	15.0	69.77
12/20/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.7500	75.00	2.06	0.00	2.06	15.0	0.31
12/26/2017	***-**-2179 - FOX, RAY ACCOUNT #: 00087972536	AMERICAN HIGH-INCOME TRUST-A BUY 71 Units @10.7500	766.50	23.00	0.00	23.00	15.0	3.45
12/27/2017	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.7600	50.00	1.50	0.00	1.50	15.0	0.23
12/27/2017	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.7400	25.00	0.69	0.00	0.69	15.0	0.10
12/27/2017	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.7400	25.50	0.70	0.00	0.70	15.0	0.11
12/27/2017	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.7600	52.50	1.58	0.00	1.58	15.0	0.24
12/29/2017	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.7500	100.00	2.75	0.00	2.75	15.0	0.41
12/29/2017	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 15 Units @10.7700	165.00	4.95	0.00	4.95	15.0	0.74



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
12/26/2017	***-**-2179 - FOX, RAY ACCOUNT #: 00087972536	AMERICAN MUTUAL FUND-A BUY 27 Units @42.9300	1,148.99	48.83	0.00	48.83	15.0	7.32
12/29/2017	***-**-9500 - KELLER, BILLIE ACCOUNT #: 316022320	AXA EQUI VA 00248C360 BUY 0 Units @0.0000	0.00	19.36	0.00	19.36	15.0	2.90
12/29/2017	***-**-9002 - ANDERSON, BRYAN ACCOUNT #: 316102600	AXA EQUI VA 00248C360 BUY 0 Units @0.0000	0.00	61.64	0.00	61.64	15.0	9.25
12/29/2017	***-**-2456 - VOELKER, ROBERT ACCOUNT #: 316126418	AXA EQUI VA 00248C360 BUY 0 Units @0.0000	0.00	166.30	0.00	166.30	15.0	24.95
12/29/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	60.00	1.80	0.00	1.80	15.0	0.27
12/18/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487	CAPITAL INCOME A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	15.0	1.87
12/18/2017	***-**-030J - MERRILL, ACCOUNT #: 03009145719	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	15.0	0.28
12/27/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	15.0	0.28
12/20/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL INCOME BUILDER-A BUY 2 Units @66.1300	100.00	2.75	0.00	2.75	15.0	0.41
12/26/2017	***-**-7449 - JONES, D ACCOUNT #: 00087990221	CAPITAL INCOME BUILDER-A BUY 1 Units @65.4500	50.00	1.88	0.00	1.88	15.0	0.28
12/26/2017	***-**-2179 - FOX, RAY ACCOUNT #: 00087972536	CAPITAL INCOME BUILDER-A BUY 29 Units @65.7900	1,916.28	81.44	0.00	81.44	15.0	12.22
12/29/2017	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @65.1000	400.00	11.00	0.00	11.00	15.0	1.65
12/20/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD BOND FUND-A BUY 4 Units @20.5600	75.00	2.06	0.00	2.06	15.0	0.31
12/18/2017	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.4900	50.00	1.38	0.00	1.38	15.0	0.21
12/20/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.6400	125.00	3.44	0.00	3.44	15.0	0.52
12/27/2017	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.8400	25.00	0.69	0.00	0.69	15.0	0.10



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
12/27/2017	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	15.0	0.13
	ACCOUNT #: 00085839015	BUY 1 Units @52.8400						
12/27/2017	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	15.0	0.24
	ACCOUNT #: 00085993216	BUY 1 Units @53.6700						
12/29/2017	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085154620	BUY 2 Units @52.9600						
12/29/2017	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	6.19	0.00	6.19	15.0	0.93
	ACCOUNT #: 00081620466	BUY 3 Units @53.5200						
12/31/2017	***-**-59VA - SEYMOUR, DEBORAH	CHOICEPLUS ASSURANCE BONUS	0.00	16.81	0.00	16.81	15.0	2.52
	ACCOUNT #: 921058830	BUY 0 Units @0.0000						
12/31/2017	***-**-57VA - NELSON, IRENE	CHOICEPLUS ASSURANCE BONUS	0.00	27.42	0.00	27.42	15.0	4.11
	ACCOUNT #: 921059039	BUY 0 Units @0.0000						
12/31/2017	***-**-9692 - KELLER, KENNETH	CHOICEPLUS ASSURANCE BONUS	0.00	88.64	0.00	88.64	15.0	13.30
	ACCOUNT #: 921075402	BUY 0 Units @0.0000						
12/18/2017	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	262.50	12.47	0.00	12.47	15.0	1.87
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
12/29/2017	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	76.00	2.28	0.00	2.28	15.0	0.34
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
12/18/2017	***-**-989I - WOODCOCK,	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	15.0	0.21
	ACCOUNT #: 00083279090	BUY 1 Units @59.2700						
12/26/2017	***-**-4427 - ZOGBY, MICHAEL	EUROPACIFIC GROWTH FUND-A	85.00	2.34	0.00	2.34	15.0	0.35
	ACCOUNT #: 00084492487	BUY 1 Units @57.7800						
12/27/2017	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	15.0	0.13
	ACCOUNT #: 00085839015	BUY 1 Units @57.9800						
01/02/2018	***-**-108X - FOREST, NSPS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	15.0	0.01
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
01/02/2018	***-**-034X - JONES, KHRYS TE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.41	0.00	0.41	15.0	0.06
	ACCOUNT #: LSR010532	BUY 1 Units @0.0000						
01/02/2018	***-**-336I - GETCHELL, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	15.0	0.01
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
12/18/2017	***-**-989I - WOODCOCK,	FUNDAMENTAL INVESTORS-A	50.00	1.38	0.00	1.38	15.0	0.21
	ACCOUNT #: 00083279090	BUY 1 Units @68.2900						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
01/04/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287941	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	124.08	124.08	0.00	124.08	15.0	18.61
01/04/2018	***-**-25FB - DUNN, BRENDAN ACCOUNT #: 925287945	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	59.07	59.07	0.00	59.07	15.0	8.86
01/04/2018	***-**-52FB - DUNN, MICHELE ACCOUNT #: 925287955	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	127.64	127.64	0.00	127.64	15.0	19.15
12/31/2017	***-**-61VA - BUDINE, JESSE ACCOUNT #: 924689841	LINCOLN FIN VA 53404B676 BUY 0 Units @0.0000	0.00	106.03	0.00	106.03	15.0	15.90
12/31/2017	***-**-7431 - PATANE, JOANNE ACCOUNT #: 951069509	LINCOLN NATIONAL LIFE CUSIP 5337877 BUY 0 Units @0.0000	0.00	68.11	0.00	68.11	15.0	10.22
12/26/2017	***-**-2179 - FOX, RAY ACCOUNT #: 00087972536	NEW WORLD FUND-A BUY 11 Units @69.9200	768.05	32.64	0.00	32.64	15.0	4.90
12/18/2017	***-**-030J - MERRILL, ACCOUNT #: 05450490490	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	112.50	3.38	0.00	3.38	15.0	0.51
12/27/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 05450490435	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	112.50	3.38	0.00	3.38	15.0	0.51
12/29/2017	***-**-33IN - KING, JOHN ACCOUNT #: 07859653204	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	15.0	0.34
12/18/2017	***-**-030J - MERRILL, ACCOUNT #: 01951261139	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	15.0	0.06
12/27/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	15.0	0.06
12/29/2017	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	15.0	0.34
12/29/2017	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	15.0	0.34
12/18/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	262.50	10.50	0.00	10.50	15.0	1.58
12/29/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	60.00	1.65	0.00	1.65	15.0	0.25
12/18/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	15.0	1.87



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
12/29/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	80.00	2.40	0.00	2.40	15.0	0.36
12/29/2017	***-**-33IN - KING, JOHN ACCOUNT #: 02254507140	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	96.00	2.88	0.00	2.88	15.0	0.43
12/18/2017	***-**-030J - MERRILL, ACCOUNT #: 06000782386	SELECT VALUE FUND A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	15.0	0.28
12/27/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 06000782397	SELECT VALUE FUND A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	15.0	0.28
12/27/2017	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	SMALLCAP WORLD FUND-A BUY 0 Units @58.6800	22.50	0.96	0.00	0.96	15.0	0.14
12/26/2017	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE BOND FUND OF AMERICA-A BUY 5 Units @13.3200	65.00	1.79	0.00	1.79	15.0	0.27
12/27/2017	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 7 Units @13.3600	90.75	2.50	0.00	2.50	15.0	0.38
12/18/2017	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.9600	50.00	1.38	0.00	1.38	15.0	0.21
12/26/2017	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE GROWTH FUND OF AMERICA-A BUY 3 Units @51.3500	150.00	4.13	0.00	4.13	15.0	0.62
12/26/2017	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.8800	50.00	1.88	0.00	1.88	15.0	0.28
12/27/2017	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE GROWTH FUND OF AMERICA-A BUY 2 Units @51.4200	93.50	2.57	0.00	2.57	15.0	0.39
12/27/2017	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @51.4200	25.00	0.69	0.00	0.69	15.0	0.10
12/27/2017	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.4200	36.00	0.99	0.00	0.99	15.0	0.15
12/28/2017	***-**-7823 - BERTRAND, ACCOUNT #: 00089897908	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.8400	50.00	2.50	0.00	2.50	15.0	0.38
12/28/2017	***-**-24IR - BERTRAND, JOHN ACCOUNT #: 00089941898	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.8400	50.00	2.50	0.00	2.50	15.0	0.38
12/29/2017	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @51.3400	175.00	4.81	0.00	4.81	15.0	0.72



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
12/29/2017	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	6.38	0.00	6.38	15.0	0.96
	ACCOUNT #: 00081620466	BUY 3 Units @51.8700						
12/20/2017	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	15.0	0.62
	ACCOUNT #: 00086683340	BUY 6 Units @24.0600						
12/26/2017	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	15.0	0.70
	ACCOUNT #: 00087990221	BUY 5 Units @24.3800						
12/27/2017	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	15.0	0.10
	ACCOUNT #: 00085514851	BUY 1 Units @24.1900						
12/27/2017	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	15.0	0.11
	ACCOUNT #: 00085839015	BUY 1 Units @24.1900						
12/27/2017	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	15.0	0.45
	ACCOUNT #: 00087909097	BUY 2 Units @24.7600						
12/26/2017	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	15.0	0.67
	ACCOUNT #: 00087990221	BUY 2 Units @47.8100						
12/26/2017	***-**-2179 - FOX, RAY	WASHINGTON MUTUAL INVESTORS FUND-A	1,149.00	48.83	0.00	48.83	15.0	7.32
	ACCOUNT #: 00087972536	BUY 24 Units @48.0600						
* RONALD DRAKE SUBTOTAL *			\$23,923.36	\$1,869.84	\$0.00	\$1,869.84		\$280.54
<u> BROKER: RONALD CIOTOLI </u>								
12/27/2017	***-**-9231 - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	30.0	1.13
	ACCOUNT #: 00068752100	BUY 4 Units @28.4600						
12/27/2017	***-**-9181 - GORDON, DIANE	AMERICAN MUTUAL FUND-A	75.00	3.19	0.00	3.19	30.0	0.96
	ACCOUNT #: 00084350764	BUY 2 Units @43.0200						
12/27/2017	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	30.0	0.71
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
12/31/2017	***-**-6301 - ROMA, JAMES	JACKSON 468511530	0.00	45.68	0.00	45.68	30.0	13.70
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
01/04/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	198.22	198.22	0.00	198.22	30.0	59.47
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
01/04/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	210.66	210.66	0.00	210.66	30.0	63.20
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
01/04/2018	***-**-1730 - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	238.73	238.73	0.00	238.73	30.0	71.62
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 08, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD CIOTOLI (continued) </u>								
12/31/2017	***-**-8626 - CIOTOLI, CLIENTS	LINCOLN NATIONAL LIFE CUSIP 5337851	0.00	27.81	0.00	27.81	30.0	8.34
	ACCOUNT #: 951057679	BUY 0 Units @0.0000						
01/04/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	200.00	8.80	0.00	8.80	30.0	2.64
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
12/28/2017	***-**-7556 - WALKER, RANDY	PRINCIPAL VA	0.00	10.85	0.00	10.85	30.0	3.26
		BUY 0 Units @0.0000						
12/22/2017	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	30.0	1.14
	ACCOUNT #: 00067346436	BUY 8 Units @23.8800						
12/22/2017	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	30.0	0.34
	ACCOUNT #: 00067346436	BUY 2 Units @23.8800						
* RONALD CIOTOLI SUBTOTAL *			\$1,319.61	\$755.01	\$0.00	\$755.01		\$226.51
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25,242.97	\$2,624.85	\$0.00	\$2,624.85		\$507.05

 BROKER COMMISSION TOTALS

Broker Transactions	\$24.52
Split Transactions	\$0.00
Override Transactions	\$507.05
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$69.64
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$601.21
Year-to-date Earnings	\$601.21