

**COMMISSION STATEMENT****December 15, 2017**FRED CHASALOW
2523 Hastings Drive

BROKER #: C121 Terminated 12/31/2015

BRANCH: FRED CHASALOW

Belmont, CA 94002-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
11/13/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	2.57	0.00	2.57	72.0	1.85
11/13/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.38	0.00	0.38	72.0	0.27
11/13/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	1.57	0.00	1.57	72.0	1.13
11/16/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.72	0.00	4.72	72.0	3.40
11/16/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.34	0.00	2.34	72.0	1.68
11/16/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.34	0.00	2.34	72.0	1.68
11/16/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.33	0.00	1.33	72.0	0.96
11/16/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.88	0.00	1.88	72.0	1.35
11/16/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.63	0.00	0.63	72.0	0.45
11/16/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.95	0.00	0.95	72.0	0.68
11/20/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.40	0.00	0.40	72.0	0.29
11/17/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	0.92	0.00	0.92	72.0	0.66
11/17/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	3.55	0.00	3.55	72.0	2.56
11/22/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	0.71	0.00	0.71	72.0	0.51
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$0.00	\$24.29	\$0.00	\$24.29		\$17.47
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$24.29	\$0.00	\$24.29		\$17.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$17.47
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$21.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$38.52
Year-to-date Gross	\$7,633.43
Year-to-date Earnings	\$38.52