

**COMMISSION STATEMENT****July 31, 2018**

WILLIAM, L129 LAYMAN
4321 Flagstaff Cove

BROKER #: L129

BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/17/2018	BROWN, MATTHEW	CLS INVESTMENTS	175,017.49	426.43	0.00	426.43	45.0	191.89
07/17/2018	BROWN, MATTHEW	CLS INVESTMENTS	49,999.99	140.74	0.00	140.74	45.0	63.33
07/17/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,502.10	118.51	0.00	118.51	45.0	53.33
07/17/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,999.99	132.30	0.00	132.30	45.0	59.54
07/17/2018	COLE, TIMOTHY	CLS INVESTMENTS	3,259.66	7.44	0.00	7.44	45.0	3.35
07/17/2018	COLE, TIMOTHY	CLS INVESTMENTS	49,999.99	126.80	0.00	126.80	45.0	57.06
07/17/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	13,829.75	34.86	0.00	34.86	45.0	15.69
07/17/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	11,839.71	29.84	0.00	29.84	45.0	13.43
07/17/2018	REHM, TAMARA	CLS INVESTMENTS	15,644.66	39.43	0.00	39.43	45.0	17.74
07/17/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	18,798.05	43.94	0.00	43.94	45.0	19.77
07/17/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	49,999.99	129.47	0.00	129.47	45.0	58.26
* RIA SUBTOTAL *			\$487,891.38	\$1,229.76	\$0.00	\$1,229.76		\$553.39
*** DIRECT TRANSACTIONS TOTAL ***			\$487,891.38	\$1,229.76	\$0.00	\$1,229.76		\$553.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$553.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$1.16
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$554.55
Year-to-date Gross	\$4,150.77
Year-to-date Earnings	\$1,867.84