

**COMMISSION STATEMENT****October 31, 2017**JOSEPH (TERRY) MANN
219 E. 31st StreetBROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/17/2017	PARKER, W KERRY	HOWARD CAPITAL	165,839.00	349.41	0.00	349.41	91.0	317.96
10/13/2017	N ATLANTA CONTRACTOR	MID ATLANTIC	38,688.77	96.74	0.00	96.74	91.0	88.03
10/13/2017	WARSTADT, STEVEN	MID ATLANTIC	426,234.76	1,065.59	0.00	1,065.59	91.0	969.69
10/13/2017	NOVA, TEMPUS	MID ATLANTIC	850,399.38	2,019.70	0.00	2,019.70	91.0	1,837.93
10/18/2017	BLANCHARD, SARA	SAWTOOTH	0.00	324.32	0.00	324.32	91.0	295.13
10/18/2017	BLANCHARD, SARA	SAWTOOTH	0.00	419.71	0.00	419.71	91.0	381.94
10/18/2017	BRANSON, BENJAMIN	SAWTOOTH	0.00	55.65	0.00	55.65	91.0	50.64
10/18/2017	CUMMINGS, KRYSTA	SAWTOOTH	0.00	1,620.19	0.00	1,620.19	91.0	1,474.37
10/18/2017	CUMMINGS, KRYSTA	SAWTOOTH	0.00	18.21	0.00	18.21	91.0	16.57
10/18/2017	DABBS, STEVEN	SAWTOOTH	0.00	21.39	0.00	21.39	91.0	19.46
10/18/2017	DEWICK, JOANN	SAWTOOTH	0.00	267.40	0.00	267.40	91.0	243.33
10/18/2017	FOSTER, JOHN	SAWTOOTH	0.00	486.21	0.00	486.21	91.0	442.45
10/18/2017	FOSTER, JOHN	SAWTOOTH	0.00	219.12	0.00	219.12	91.0	199.40
10/18/2017	FOSTER, JOHN	SAWTOOTH	0.00	127.73	0.00	127.73	91.0	116.23
10/18/2017	FOSTER, MARGO	SAWTOOTH	0.00	135.12	0.00	135.12	91.0	122.96
10/18/2017	FOSTER, JOHN	SAWTOOTH	0.00	290.51	0.00	290.51	91.0	264.36
10/18/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	239.63	0.00	239.63	91.0	218.06
10/18/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	524.33	0.00	524.33	91.0	477.14
10/18/2017	GODLESKI, KEVIN	SAWTOOTH	0.00	293.05	0.00	293.05	91.0	266.68
10/18/2017	GODLESKI, KIRSTEN	SAWTOOTH	0.00	206.27	0.00	206.27	91.0	187.71
10/18/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	96.29	0.00	96.29	91.0	87.62
10/18/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	1,219.73	0.00	1,219.73	91.0	1,109.95
10/18/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	768.99	0.00	768.99	91.0	699.78
10/18/2017	GODLESKI, VINCENT	SAWTOOTH	0.00	2,967.58	0.00	2,967.58	91.0	2,700.50
10/18/2017	GODLESKI, KEVIN	SAWTOOTH	0.00	5,215.23	0.00	5,215.23	91.0	4,745.86
10/18/2017	HADAWAY, DEBORAH	SAWTOOTH	0.00	201.20	0.00	201.20	91.0	183.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/18/2017	HEALEY, BRIAN	SAWTOOTH	0.00	75.07	0.00	75.07	91.0	68.31
10/18/2017	HEALEY, BRIAN	SAWTOOTH	0.00	47.90	0.00	47.90	91.0	43.59
10/18/2017	HOFFMAN, ADON	SAWTOOTH	0.00	269.70	0.00	269.70	91.0	245.43
10/18/2017	HOFFMAN, ADON	SAWTOOTH	0.00	121.54	0.00	121.54	91.0	110.60
10/18/2017	JAMES, GILBERT	SAWTOOTH	0.00	171.30	0.00	171.30	91.0	155.88
10/18/2017	KARP, BENJAMIN	SAWTOOTH	0.00	306.74	0.00	306.74	91.0	279.13
10/18/2017	KARP, BENJAMIN	SAWTOOTH	0.00	66.97	0.00	66.97	91.0	60.94
10/18/2017	KASIAN, JUSTIN PHILLIP	SAWTOOTH	0.00	2,692.73	0.00	2,692.73	91.0	2,450.38
10/18/2017	KASIAN, PHYLLIS	SAWTOOTH	0.00	27.40	0.00	27.40	91.0	24.93
10/18/2017	KASIAN, EVAN	SAWTOOTH	0.00	177.02	0.00	177.02	91.0	161.09
10/18/2017	KRAUS, JOHN	SAWTOOTH	0.00	305.27	0.00	305.27	91.0	277.80
10/18/2017	KRAUS, JOHN	SAWTOOTH	0.00	55.02	0.00	55.02	91.0	50.07
10/18/2017	LAPE, ALEXIS	SAWTOOTH	0.00	98.73	0.00	98.73	91.0	89.84
10/18/2017	LAPE, ALEXIS	SAWTOOTH	0.00	108.79	0.00	108.79	91.0	99.00
10/18/2017	LEHMAN, HILDA	SAWTOOTH	0.00	422.53	0.00	422.53	91.0	384.50
10/18/2017	LEHMAN, LAVERN	SAWTOOTH	0.00	296.19	0.00	296.19	91.0	269.53
10/18/2017	LONG, SUSAN	SAWTOOTH	0.00	126.25	0.00	126.25	91.0	114.89
10/18/2017	LONG, SUSAN	SAWTOOTH	0.00	346.35	0.00	346.35	91.0	315.18
10/18/2017	LONG, SUSAN	SAWTOOTH	0.00	1,118.64	0.00	1,118.64	91.0	1,017.96
10/18/2017	LONG, SUSAN	SAWTOOTH	0.00	467.75	0.00	467.75	91.0	425.65
10/18/2017	MANN, BARBARA	SAWTOOTH	0.00	12.84	0.00	12.84	91.0	11.68
10/18/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	634.67	0.00	634.67	91.0	577.55
10/18/2017	MCBRIDE, ALLIS	SAWTOOTH	0.00	87.64	0.00	87.64	91.0	79.75
10/18/2017	MCBRIDE, ALLIS	SAWTOOTH	0.00	436.89	0.00	436.89	91.0	397.57
10/18/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	141.64	0.00	141.64	91.0	128.89
10/18/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	377.92	0.00	377.92	91.0	343.91
10/18/2017	MOORE, THOMAS	SAWTOOTH	0.00	446.56	0.00	446.56	91.0	406.37
10/18/2017	MORGAN, JUDITH	SAWTOOTH	0.00	113.75	0.00	113.75	91.0	103.51
10/18/2017	MORGAN, RONALD	SAWTOOTH	0.00	34.79	0.00	34.79	91.0	31.66
10/18/2017	MORGAN, RONALD	SAWTOOTH	0.00	224.93	0.00	224.93	91.0	204.69
10/18/2017	MORGAN, RONALD	SAWTOOTH	0.00	78.36	0.00	78.36	91.0	71.31
10/18/2017	MOSS, JANICE	SAWTOOTH	0.00	1,311.19	0.00	1,311.19	91.0	1,193.18
10/18/2017	NEUHAUSER, MITCHELL	SAWTOOTH	0.00	2,889.88	0.00	2,889.88	91.0	2,629.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
10/18/2017	NEUHAUSER, MITCH	SAWTOOTH	0.00	196.29	0.00	196.29	91.0	178.62
10/18/2017	NEUHAUSER, MITCH	SAWTOOTH	0.00	196.83	0.00	196.83	91.0	179.12
10/18/2017	NEUHAUSER, STACEY	SAWTOOTH	0.00	1,798.68	0.00	1,798.68	91.0	1,636.80
10/18/2017	NEUHAUSER, STACEY	SAWTOOTH	0.00	80.12	0.00	80.12	91.0	72.91
10/18/2017	OTTENSMEYER, ERIC	SAWTOOTH	0.00	155.82	0.00	155.82	91.0	141.80
10/18/2017	PAI, YOGISH	SAWTOOTH	0.00	614.22	0.00	614.22	91.0	558.94
10/18/2017	PAI, ANITHA	SAWTOOTH	0.00	682.08	0.00	682.08	91.0	620.69
10/18/2017	PARKER, JEANINE	SAWTOOTH	0.00	2,819.30	0.00	2,819.30	91.0	2,565.56
10/18/2017	SAMPLES, FAY	SAWTOOTH	0.00	33.14	0.00	33.14	91.0	30.16
10/18/2017	STEPHENS, DEE	SAWTOOTH	0.00	49.15	0.00	49.15	91.0	44.73
10/18/2017	STEPHENS, DEE	SAWTOOTH	0.00	102.84	0.00	102.84	91.0	93.58
10/18/2017	STEPHENS, DEE	SAWTOOTH	0.00	135.69	0.00	135.69	91.0	123.48
10/18/2017	STONE, ERIN	SAWTOOTH	0.00	377.06	0.00	377.06	91.0	343.12
10/18/2017	TROWBRIDGE, JANE	SAWTOOTH	0.00	239.83	0.00	239.83	91.0	218.25
10/18/2017	SINGH, RIGINIE	SAWTOOTH	0.00	885.53	0.00	885.53	91.0	805.83
10/18/2017	VERMA, ANAND	SAWTOOTH	0.00	729.24	0.00	729.24	91.0	663.61
10/18/2017	VOGEL, ROBERT	SAWTOOTH	0.00	519.57	0.00	519.57	91.0	472.81
10/18/2017	VOGEL, ROBERT	SAWTOOTH	0.00	14.48	0.00	14.48	91.0	13.18
10/18/2017	VOGEL, ROBERT	SAWTOOTH	0.00	2,166.14	0.00	2,166.14	91.0	1,971.19
10/18/2017	WHELDON, ALYSON	SAWTOOTH	0.00	67.89	0.00	67.89	91.0	61.78
10/18/2017	ZARAPKAR, AMUL	SAWTOOTH	0.00	538.20	0.00	538.20	91.0	489.76
10/18/2017	ZARAPKAR, SUMAN	SAWTOOTH	0.00	363.86	0.00	363.86	91.0	331.11
10/18/2017	WARSTADT, STEVEN	SAWTOOTH	0.00	30.53	0.00	30.53	91.0	27.78
10/12/2017	GALLAGHER, MICHAEL	TD AMERITRADE	12,861.61	32.42	0.00	32.42	91.0	29.50
10/12/2017	REARDON, MARK	TD AMERITRADE	23,112.73	58.26	0.00	58.26	91.0	53.02
10/12/2017	NEUHAUSER, MITCHELL & STAC	TD AMERITRADE	28,036.18	70.67	0.00	70.67	91.0	64.31
10/12/2017	NEUHAUSER, MITCHELL & STAC	TD AMERITRADE	65,107.22	164.11	0.00	164.11	91.0	149.34
10/12/2017	GODLESKI, KEVIN	TD AMERITRADE	185,179.77	0.00	0.00	0.00	91.0	0.00
10/12/2017	GODLESKI, KEVIN	TD AMERITRADE	13,993.43	35.27	0.00	35.27	91.0	32.10
10/12/2017	POHLMANN, STEVEN	TD AMERITRADE	135,142.89	340.63	0.00	340.63	91.0	309.97
* RIA SUBTOTAL *			\$1,944,595.74	\$46,840.10	\$0.00	\$46,840.10		\$42,624.45
*** BROKER TRANSACTIONS TOTAL ***			\$1,944,595.74	\$46,840.10	\$0.00	\$46,840.10		\$42,624.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-432.96
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-432.96

BROKER COMMISSION TOTALS

Broker Transactions	\$42,624.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-432.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$42,191.49
Year-to-date Gross	\$224,780.62
Year-to-date Earnings	\$204,550.42

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