

## COMMISSION STATEMENT

April 30, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #:

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/05/2017	QGV006075	PARTNERS, F/A/O:	PTC INC COM	S	-77,040.00	60.00	0.00	60.00	55.0	33.00
04/13/2017	QGV006075	PARTNERS, F/A/O:	AMERICAS CAR-MART INC COM	S	-52,425.00	60.00	0.00	60.00	55.0	33.00
04/24/2017	QGW031072	KRAFF REVOCABLE	TESLA MOTORS INC	B	309,340.00	200.00	0.00	200.00	55.0	110.00
04/10/2017	QGW031106	TIMYAN, PHILIP	HORIZON BANCORP-IND	S	-25,400.80	55.00	0.00	55.00	55.0	30.25
04/11/2017	QGW031106	TIMYAN, PHILIP	CARTER BK & TR MARTINSVILLE VA COM	S	-25,763.37	55.00	0.00	55.00	55.0	30.25
04/12/2017	QGW031106	TIMYAN, PHILIP	BANK MCKENNEY VA	B	23,000.00	55.00	0.00	55.00	55.0	30.25
04/12/2017	QGW031106	TIMYAN, PHILIP	OLD POINT FINL CORP COM	S	-30,215.40	55.00	0.00	55.00	55.0	30.25
03/30/2017	QGW031130	KOPIN, BENJAMIN	EXTREME NETWORKS INC COM	S	-1,864.40	51.00	0.00	51.00	55.0	28.05
04/21/2017	QGW031130	KOPIN, BENJAMIN	CENTRAL VY CMNTY BANCORP COM	S	-21,100.00	55.00	0.00	55.00	55.0	30.25
* STOCKS SUBTOTAL *					\$98,531.03	\$646.00	\$0.00	\$646.00		\$355.30

PRODUCT CATEGORY: OPTIONS

04/12/2017	QGW034852	HOLMES, MEGAN	PUT 100 TIME INC NEW COM EXP 04-21- S		-1,560.00	75.00	0.00	75.00	55.0	41.25
04/20/2017	QGW034852	HOLMES, MEGAN	PUT 100 PROOFPOINT INC COM EXP 04-2 S		-1,440.00	75.00	0.00	75.00	55.0	41.25
04/20/2017	QGW034852	HOLMES, MEGAN	PUT 100 TIME INC NEW COM EXP 04-21- B		250.00	75.00	0.00	75.00	55.0	41.25
04/26/2017	QGW034852	HOLMES, MEGAN	CALL 100 PBF ENERGY INC CL A EXP 06 S		-2,520.00	75.00	0.00	75.00	55.0	41.25
04/26/2017	QGW034852	HOLMES, MEGAN	PUT 100 SAREPTA THERAPEUTICS INC CO S		-1,200.00	75.00	0.00	75.00	55.0	41.25
* OPTIONS SUBTOTAL *					\$-6,470.00	\$375.00	\$0.00	\$375.00		\$206.25
*** BROKER TRANSACTIONS TOTAL ***					\$92,061.03	\$1,021.00	\$0.00	\$1,021.00		\$561.55

ADJUSTMENTS

04/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-20.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$561.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.28
SIPC Assessment	\$-19.14

<b>Check Amount</b>	<b>\$521.13</b>
<b>Year-to-date Earnings</b>	<b>\$7,796.82</b>