

COMMISSION STATEMENT

July 31, 2017

VAN DREGGE OLMSTEAD
10 RICHARDS DRIVE

BROKER #: 68
BRANCH: HOME OFFICE

WILMINGTON, DE 19810

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/10/2017	75501392	BORISH, MURIEL	PIMCO TOTAL RETURN CLASS A	S	18,637.80	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501462	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	S	2,500.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$21,137.80	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

07/11/2017	75501391	BORISH, MURIEL	KINDER MORGAN INC	S	3,201.96	0.00	0.00	0.00	70.0	0.00
07/14/2017	75501462	OLMSTEAD, ELIZABETH	MYND ANALYTICS INC COMMON STOCK	B	2,494.24	0.00	0.00	0.00	70.0	0.00
07/14/2017	75501462	OLMSTEAD, ELIZABETH	WTS MYND ANALYTICS INC WARRANT	B	4.76	0.00	0.00	0.00	70.0	0.00
07/14/2017	75501475	PETRONIS, GEORGE	MYND ANALYTICS INC COMMON STOCK	B	3,992.88	0.00	0.00	0.00	70.0	0.00
07/14/2017	75501475	PETRONIS, GEORGE	WTS MYND ANALYTICS INC WARRANT	B	7.62	0.00	0.00	0.00	70.0	0.00
07/14/2017	75501726	PENN, SHELDON	MYND ANALYTICS INC COMMON STOCK	B	4,988.48	0.00	0.00	0.00	70.0	0.00
07/14/2017	75501726	PENN, SHELDON	WTS MYND ANALYTICS INC WARRANT	B	9.52	0.00	0.00	0.00	70.0	0.00
07/21/2017	75501726	PENN, SHELDON	ATMOS ENERGY CORP	S	24,000.00	315.00	0.00	315.00	70.0	220.50
07/12/2017	75501727	PENN, SHELDON	AGRIUM INC	B	6,701.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501727	PENN, SHELDON	FACTSET RESEARCH SYSTEMS INC	S	6,530.72	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501727	PENN, SHELDON	GRAINGER W W INC	S	4,273.00	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501727	PENN, SHELDON	HEICO CORPORATION	B	8,457.63	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501727	PENN, SHELDON	SERVICENOW INC COM	B	8,361.14	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501727	PENN, SHELDON	THERMO FISHER SCIENTIFIC INC	B	8,106.93	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501727	PENN, SHELDON	MCCORMICK & CO INC NON-VOTING	S	5,961.15	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501773	SMITH, GLENN	AGRIUM INC	B	3,829.69	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501773	SMITH, GLENN	FACTSET RESEARCH SYSTEMS INC	S	4,081.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501773	SMITH, GLENN	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501773	SMITH, GLENN	HEICO CORPORATION	B	4,997.69	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501773	SMITH, GLENN	SERVICENOW INC COM	B	5,016.68	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501773	SMITH, GLENN	THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501773	SMITH, GLENN	MCCORMICK & CO INC NON-VOTING	S	3,668.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501778	VORMWALD, G ROBERT	AGRIUM INC	B	10,531.64	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501778	VORMWALD, G ROBERT	FACTSET RESEARCH SYSTEMS INC	S	10,612.42	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501778	VORMWALD, G ROBERT	GRAINGER W W INC	S	6,836.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501778	VORMWALD, G ROBERT	HEICO CORPORATION	B	13,455.31	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/12/2017	75501778	VORMWALD, G ROBERT	SERVICENOW INC COM	B	13,377.82	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501778	VORMWALD, G ROBERT	THERMO FISHER SCIENTIFIC INC	B	13,511.55	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501778	VORMWALD, G ROBERT	MCCORMICK & CO INC NON-VOTING	S	9,629.55	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	AGRIUM INC	B	4,308.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	BECTON DICKINSON & CO	S	991.00	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	CATERPILLAR INC	S	544.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	CUMMINS INC	S	830.00	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	EAGLE MATERIALS INC	S	465.55	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	EOG RES INC	S	456.30	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	FACTSET RESEARCH SYSTEMS INC	S	4,081.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	HEICO CORPORATION	B	5,382.13	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	LEAR CORPORATION	S	741.30	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	LOCKHEED MARTIN CORP	S	1,436.25	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	PARKER HANNIFIN CORP	S	823.75	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	SERVICENOW INC COM	B	5,016.68	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501779	OLMSTEAD, VAN	TORO CO	S	355.65	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501779	OLMSTEAD, VAN	MCCORMICK & CO INC NON-VOTING	S	3,668.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501783	HALLAM, DONALD	AGRIUM INC	B	16,754.89	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501783	HALLAM, DONALD	FACTSET RESEARCH SYSTEMS INC	S	17,143.14	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501783	HALLAM, DONALD	GRAINGER W W INC	S	10,255.21	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501783	HALLAM, DONALD	HEICO CORPORATION	B	21,144.06	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501783	HALLAM, DONALD	SERVICENOW INC COM	B	21,181.55	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501783	HALLAM, DONALD	THERMO FISHER SCIENTIFIC INC	B	21,618.48	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501783	HALLAM, DONALD	MCCORMICK & CO INC NON-VOTING	S	15,590.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501790	SZANTO, PETER	AGRIUM INC	B	5,744.53	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501790	SZANTO, PETER	FACTSET RESEARCH SYSTEMS INC	S	5,714.38	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501790	SZANTO, PETER	GRAINGER W W INC	S	3,418.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501790	SZANTO, PETER	HEICO CORPORATION	B	6,919.88	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501790	SZANTO, PETER	SERVICENOW INC COM	B	7,246.32	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501790	SZANTO, PETER	THERMO FISHER SCIENTIFIC INC	B	7,206.16	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501790	SZANTO, PETER	MCCORMICK & CO INC NON-VOTING	S	5,044.05	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	AGRIUM INC	B	2,393.56	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	FACTSET RESEARCH SYSTEMS INC	S	2,449.02	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	GRAINGER W W INC	S	1,709.20	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	HEICO CORPORATION	B	3,075.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	PROOFPOINT INC	S	433.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	SERVICENOW INC COM	B	2,787.05	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501793	WITMAN, RUSSELL	THERMO FISHER SCIENTIFIC INC	B	1,801.54	0.00	0.00	0.00	70.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/12/2017	75501793	WITMAN, RUSSELL	TORO CO	S	355.65	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501793	WITMAN, RUSSELL	MCCORMICK & CO INC NON-VOTING	S	2,292.75	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	AGRIUM INC	B	4,308.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	ALPHABET INC CLASS C CAPITAL STOCK	S	4,706.84	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	CATERPILLAR INC	S	544.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	ELECTRONIC ARTS INC	S	548.45	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	FACTSET RESEARCH SYSTEMS INC	S	4,898.04	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	HEICO CORPORATION	B	5,382.13	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	J P MORGAN CHASE & CO	S	462.68	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	PIONEER NATURAL RESOURCES COMPANY	S	807.90	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	PROOFPOINT INC	S	433.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	S&P GLOBAL INC COM	S	745.90	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	SERVICENOW INC COM	B	5,574.09	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501794	WITMAN, RUSSELL	TORO CO	S	355.65	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501794	WITMAN, RUSSELL	MCCORMICK & CO INC NON-VOTING	S	4,126.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501805	BARBARAS, GLEN	AGRIUM INC	B	4,308.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501805	BARBARAS, GLEN	FACTSET RESEARCH SYSTEMS INC	S	4,081.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501805	BARBARAS, GLEN	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501805	BARBARAS, GLEN	HEICO CORPORATION	B	5,382.13	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501805	BARBARAS, GLEN	SERVICENOW INC COM	B	5,574.09	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501805	BARBARAS, GLEN	THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501805	BARBARAS, GLEN	MCCORMICK & CO INC NON-VOTING	S	4,126.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501808	WRIGHT, PAMELA	AGRIUM INC	B	3,829.69	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501808	WRIGHT, PAMELA	FACTSET RESEARCH SYSTEMS INC	S	4,081.70	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501808	WRIGHT, PAMELA	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501808	WRIGHT, PAMELA	HEICO CORPORATION	B	4,997.69	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501808	WRIGHT, PAMELA	SERVICENOW INC COM	B	5,016.68	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501808	WRIGHT, PAMELA	THERMO FISHER SCIENTIFIC INC	B	4,503.85	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501808	WRIGHT, PAMELA	MCCORMICK & CO INC NON-VOTING	S	3,668.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501817	GELLIS, HOWARD	AGRIUM INC	B	22,499.42	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501817	GELLIS, HOWARD	FACTSET RESEARCH SYSTEMS INC	S	23,673.86	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501817	GELLIS, HOWARD	GRAINGER W W INC	S	13,673.61	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501817	GELLIS, HOWARD	HEICO CORPORATION	B	28,448.38	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501817	GELLIS, HOWARD	SERVICENOW INC COM	B	28,427.86	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501817	GELLIS, HOWARD	THERMO FISHER SCIENTIFIC INC	B	28,824.64	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501817	GELLIS, HOWARD	MCCORMICK & CO INC NON-VOTING	S	20,634.75	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501822	KLAHN, ROBERT	AGRIUM INC	B	4,787.11	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501822	KLAHN, ROBERT	FACTSET RESEARCH SYSTEMS INC	S	4,898.04	0.00	0.00	0.00	70.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/12/2017	75501822	KLAHN, ROBERT							
		GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501822	KLAHN, ROBERT							
		HEICO CORPORATION	B	5,766.56	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501822	KLAHN, ROBERT							
		SERVICENOW INC COM	B	5,574.09	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501822	KLAHN, ROBERT							
		THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501822	KLAHN, ROBERT							
		MCCORMICK & CO INC NON-VOTING	S	4,126.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501858	REMINGTON, THOMAS							
		AGRIUM INC	B	10,531.64	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501858	REMINGTON, THOMAS							
		FACTSET RESEARCH SYSTEMS INC	S	10,612.42	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501858	REMINGTON, THOMAS							
		GRAINGER W W INC	S	5,982.20	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501858	REMINGTON, THOMAS							
		HEICO CORPORATION	B	13,070.88	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501858	REMINGTON, THOMAS							
		SERVICENOW INC COM	B	13,377.82	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501858	REMINGTON, THOMAS							
		THERMO FISHER SCIENTIFIC INC	B	13,511.55	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501858	REMINGTON, THOMAS							
		MCCORMICK & CO INC NON-VOTING	S	9,629.55	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501861	BENJAMIN, WAYNE							
		AGRIUM INC	B	4,787.11	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501861	BENJAMIN, WAYNE							
		FACTSET RESEARCH SYSTEMS INC	S	4,898.04	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501861	BENJAMIN, WAYNE							
		GRAINGER W W INC	S	3,418.40	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501861	BENJAMIN, WAYNE							
		HEICO CORPORATION	B	6,151.00	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501861	BENJAMIN, WAYNE							
		SERVICENOW INC COM	B	6,131.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501861	BENJAMIN, WAYNE							
		THERMO FISHER SCIENTIFIC INC	B	6,305.39	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501861	BENJAMIN, WAYNE							
		MCCORMICK & CO INC NON-VOTING	S	4,585.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501870	ESKENAZI, PHOEBE							
		AGRIUM INC	B	2,872.27	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501870	ESKENAZI, PHOEBE							
		FACTSET RESEARCH SYSTEMS INC	S	3,265.36	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501870	ESKENAZI, PHOEBE							
		GRAINGER W W INC	S	1,709.20	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501870	ESKENAZI, PHOEBE							
		HEICO CORPORATION	B	3,459.94	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501870	ESKENAZI, PHOEBE							
		SERVICENOW INC COM	B	3,344.45	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501870	ESKENAZI, PHOEBE							
		THERMO FISHER SCIENTIFIC INC	B	3,603.08	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501870	ESKENAZI, PHOEBE							
		MCCORMICK & CO INC NON-VOTING	S	2,751.30	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS S&P WORLD EX-US	B	436.80	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS S&P INTERNATIONAL	S	33.32	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR INDEX SHS FDS DOW JONES GLOBAL	B	47.69	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR RUSSELL 2000 ETF	S	83.15	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR SERIES TRUST SPDR BLOOMBERG BA	B	37.20	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR SERIES TRUST SPDR BLOOMBERG BA	B	56.20	0.00	0.00	0.00	70.0	0.00
06/30/2017	75501883	MARTIN, KATHY							
		SPDR SERIES TRUST SPDR BLOOMBERG BA	B	115.30	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501884	MARTIN, KATHY							
		AGRIUM INC	B	7,180.67	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501884	MARTIN, KATHY							
		FACTSET RESEARCH SYSTEMS INC	S	7,347.06	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501884	MARTIN, KATHY							
		GRAINGER W W INC	S	4,273.00	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501884	MARTIN, KATHY							
		HEICO CORPORATION	B	9,226.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501884	MARTIN, KATHY							
		SERVICENOW INC COM	B	9,475.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501884	MARTIN, KATHY							
		THERMO FISHER SCIENTIFIC INC	B	9,007.70	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501884	MARTIN, KATHY							
		MCCORMICK & CO INC NON-VOTING	S	6,878.25	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/11/2017	75501888 KAYLOR, KEVIN	BROADCOM LIMITED SHS	S	1,200.05	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	DOMINOS PIZZA INC	S	1,058.15	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	EAGLE MATERIALS INC	S	453.60	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	ELECTRONIC ARTS INC	S	542.05	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	EOG RES INC	S	453.35	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	FACEBOOK INC CL A	S	776.05	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	FACTSET RESEARCH SYSTEMS INC	S	809.05	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	J P MORGAN CHASE & CO	S	464.00	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	PIONEER NATURAL RESOURCES COMPANY	S	798.28	0.00	0.00	0.00	70.0	0.00
07/11/2017	75501888 KAYLOR, KEVIN	TORO CO	S	353.85	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501888 KAYLOR, KEVIN	AGRIUM INC	B	1,914.84	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501888 KAYLOR, KEVIN	FACTSET RESEARCH SYSTEMS INC	S	1,632.68	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501888 KAYLOR, KEVIN	GRAINGER W W INC	S	854.60	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501888 KAYLOR, KEVIN	HEICO CORPORATION	B	2,306.63	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501888 KAYLOR, KEVIN	SERVICENOW INC COM	B	2,229.64	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501888 KAYLOR, KEVIN	THERMO FISHER SCIENTIFIC INC	B	1,801.54	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501888 KAYLOR, KEVIN	MCCORMICK & CO INC NON-VOTING	S	1,834.20	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501890 KAYLOR, KEVIN	AGRIUM INC	B	9,574.22	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501890 KAYLOR, KEVIN	FACTSET RESEARCH SYSTEMS INC	S	9,796.08	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501890 KAYLOR, KEVIN	GRAINGER W W INC	S	5,982.20	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501890 KAYLOR, KEVIN	HEICO CORPORATION	B	11,917.56	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501890 KAYLOR, KEVIN	SERVICENOW INC COM	B	11,705.59	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501890 KAYLOR, KEVIN	THERMO FISHER SCIENTIFIC INC	B	11,710.01	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501890 KAYLOR, KEVIN	MCCORMICK & CO INC NON-VOTING	S	8,712.45	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501914 NATASHA RAJ AHUJA	AGRIUM INC	B	4,787.11	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501914 NATASHA RAJ AHUJA	FACTSET RESEARCH SYSTEMS INC	S	4,898.04	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501914 NATASHA RAJ AHUJA	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501914 NATASHA RAJ AHUJA	HEICO CORPORATION	B	5,766.56	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501914 NATASHA RAJ AHUJA	SERVICENOW INC COM	B	6,131.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501914 NATASHA RAJ AHUJA	THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501914 NATASHA RAJ AHUJA	MCCORMICK & CO INC NON-VOTING	S	4,126.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501946 ANYA P AHUJA 1999	AGRIUM INC	B	4,787.11	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501946 ANYA P AHUJA 1999	FACTSET RESEARCH SYSTEMS INC	S	4,898.04	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501946 ANYA P AHUJA 1999	GRAINGER W W INC	S	2,563.80	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501946 ANYA P AHUJA 1999	HEICO CORPORATION	B	5,766.56	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501946 ANYA P AHUJA 1999	SERVICENOW INC COM	B	6,131.50	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501946 ANYA P AHUJA 1999	THERMO FISHER SCIENTIFIC INC	B	5,404.62	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501946 ANYA P AHUJA 1999	MCCORMICK & CO INC NON-VOTING	S	4,126.95	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501953 MCCRAY, ROBIN	AGRIUM INC	B	3,350.98	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501953 MCCRAY, ROBIN	FACTSET RESEARCH SYSTEMS INC	S	3,265.36	0.00	0.00	0.00	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
07/12/2017	75501953	MCCRAY, ROBIN		GRAINGER W W INC	S	1,709.20	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501953	MCCRAY, ROBIN		HEICO CORPORATION	B	4,228.81	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501953	MCCRAY, ROBIN		SERVICENOW INC COM	B	4,459.27	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501953	MCCRAY, ROBIN		THERMO FISHER SCIENTIFIC INC	B	4,503.85	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501953	MCCRAY, ROBIN		MCCORMICK & CO INC NON-VOTING	S	3,209.85	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501960	BLUE PELICAN LLC		AGRIUM INC	B	17,233.60	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501960	BLUE PELICAN LLC		FACTSET RESEARCH SYSTEMS INC	S	17,959.48	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501960	BLUE PELICAN LLC		GRAINGER W W INC	S	10,255.21	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501960	BLUE PELICAN LLC		HEICO CORPORATION	B	21,912.94	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501960	BLUE PELICAN LLC		SERVICENOW INC COM	B	21,738.96	0.00	0.00	0.00	70.0	0.00
07/12/2017	75501960	BLUE PELICAN LLC		THERMO FISHER SCIENTIFIC INC	B	21,618.48	0.00	0.00	0.00	70.0	0.00
07/19/2017	75501960	BLUE PELICAN LLC		MCCORMICK & CO INC NON-VOTING	S	16,049.25	0.00	0.00	0.00	70.0	0.00
* STOCKS SUBTOTAL *						\$1,210,160.70	\$315.00	\$0.00	\$315.00		\$220.50
PRODUCT CATEGORY: OPTIONS											
07/03/2017	75501726	PENN, SHELDON		CALL BBY 09/15/17 52.50	B	2,100.00	66.38	0.00	66.38	70.0	0.00
07/03/2017	75501726	PENN, SHELDON		CALL EPD 12/15/17 28	B	375.00	37.50	0.00	37.50	70.0	0.00
07/03/2017	75501726	PENN, SHELDON		CALL EPD 12/15/17 28	S	825.00	37.50	0.00	37.50	70.0	0.00
07/10/2017	75501726	PENN, SHELDON		CALL CC 10/20/17 36	B	1,980.00	64.58	0.00	64.58	70.0	0.00
07/17/2017	75501726	PENN, SHELDON		CALL BBY 09/15/17 52.50	S	1,440.00	54.00	0.00	54.00	70.0	0.00
07/17/2017	75501726	PENN, SHELDON		CALL SPY 01/20/17 222	S	2,220.00	68.19	0.00	68.19	70.0	0.00
07/17/2017	75501726	PENN, SHELDON		CALL SPY 01/20/17 222	B	2,100.00	66.38	0.00	66.38	70.0	0.00
07/19/2017	75501726	PENN, SHELDON		CALL FSLR 09/01/17 47	B	822.00	37.50	0.00	37.50	70.0	0.00
07/24/2017	75501726	PENN, SHELDON		CALL INFO 10/20/17 40	B	2,000.00	64.88	0.00	64.88	70.0	0.00
07/24/2017	75501726	PENN, SHELDON		CALL XLNX 09/15/17 60	S	1,800.00	61.86	0.00	61.86	70.0	0.00
* OPTIONS SUBTOTAL *						\$15,662.00	\$558.77	\$0.00	\$558.77		\$0.00
PRODUCT CATEGORY: RIA											
07/18/2017	75501391	BORISH, MURIEL		FAS FEES	B	-592.96	592.96	0.00	592.96	70.0	415.07
07/18/2017	75501726	PENN, SHELDON		FAS FEES	B	-1,642.65	0.00	0.00	0.00	70.0	0.00
07/18/2017	75501727	PENN, SHELDON		FAS FEES	B	0.00	1,642.65	0.00	1,642.65	70.0	1,149.86
07/18/2017	75501733	OLMSTEAD, CHRISTYN		FAS FEES	B	-652.66	652.66	0.00	652.66	70.0	456.86
07/18/2017	75501769	CHRISTIAN, SARA		FAS FEES	B	-423.65	423.65	0.00	423.65	70.0	296.56
07/18/2017	75501772	OLMSTEAD, ELIZABETH		FAS FEES	B	-221.63	221.63	0.00	221.63	70.0	155.14
07/18/2017	75501773	SMITH, GLENN		FAS FEES	B	-983.15	983.15	0.00	983.15	70.0	688.21
07/18/2017	75501774	SMITH, GLENN		FAS FEES	B	-939.89	939.89	0.00	939.89	70.0	657.92
07/18/2017	75501777	DERR, LEROY		FAS FEES	B	-1,036.95	1,036.95	0.00	1,036.95	70.0	725.87
07/18/2017	75501778	VORMWALD, G ROBERT		FAS FEES	B	-2,569.29	2,569.29	0.00	2,569.29	70.0	1,798.50
07/18/2017	75501779	OLMSTEAD, VAN		FAS FEES	B	-1,025.31	1,025.31	0.00	1,025.31	70.0	717.72
07/18/2017	75501780	OLMSTEAD, VAN		FAS FEES	B	-448.70	448.70	0.00	448.70	70.0	314.09

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/18/2017	75501781 HALLAM, DONALD	FAS FEES	B	-342.85	342.85	0.00	342.85	70.0	240.00
07/18/2017	75501782 HALLAM, DONALD	FAS FEES	B	-330.85	330.85	0.00	330.85	70.0	231.60
07/18/2017	75501783 HALLAM, DONALD	FAS FEES	B	-3,863.48	3,863.48	0.00	3,863.48	70.0	2,704.44
07/18/2017	75501784 HALLAM, DONALD	FAS FEES	B	-1,274.40	1,274.40	0.00	1,274.40	70.0	892.08
07/18/2017	75501790 SZANTO, PETER	FAS FEES	B	-1,392.26	1,392.26	0.00	1,392.26	70.0	974.58
07/18/2017	75501791 SZANTO, PETER	FAS FEES	B	-1,529.24	1,529.24	0.00	1,529.24	70.0	1,070.47
07/18/2017	75501793 WITMAN, RUSSELL	FAS FEES	B	-582.66	582.66	0.00	582.66	70.0	407.86
07/18/2017	75501794 WITMAN, RUSSELL	FAS FEES	B	-1,085.59	1,085.59	0.00	1,085.59	70.0	759.91
07/18/2017	75501805 BARBARAS, GLEN	FAS FEES	B	-1,064.63	1,064.63	0.00	1,064.63	70.0	745.24
07/18/2017	75501807 WRIGHT, PAMELA	FAS FEES	B	-1,956.49	1,956.49	0.00	1,956.49	70.0	1,369.54
07/18/2017	75501808 WRIGHT, PAMELA	FAS FEES	B	-964.45	964.45	0.00	964.45	70.0	675.12
07/18/2017	75501817 GELLIS, HOWARD	FAS FEES	B	-4,792.62	4,792.62	0.00	4,792.62	70.0	3,354.83
07/18/2017	75501818 GELLIS, HOWARD	FAS FEES	B	-3,068.19	3,068.19	0.00	3,068.19	70.0	2,147.73
07/18/2017	75501820 KLAHN, ROBERT	FAS FEES	B	-903.03	903.03	0.00	903.03	70.0	632.12
07/18/2017	75501822 KLAHN, ROBERT	FAS FEES	B	-1,151.53	1,151.53	0.00	1,151.53	70.0	806.07
07/18/2017	75501857 REMINGTON, THOMAS	FAS FEES	B	-250.46	250.46	0.00	250.46	70.0	175.32
07/18/2017	75501858 REMINGTON, THOMAS	FAS FEES	B	-2,514.71	2,514.71	0.00	2,514.71	70.0	1,760.30
07/18/2017	75501859 LOVE, MARGARET	FAS FEES	B	-468.43	468.43	0.00	468.43	70.0	327.90
07/18/2017	75501860 LOVE, MARGARET	FAS FEES	B	-986.74	986.74	0.00	986.74	70.0	690.72
07/18/2017	75501861 BENJAMIN, WAYNE	FAS FEES	B	-1,247.20	1,247.20	0.00	1,247.20	70.0	873.04
07/18/2017	75501862 BENJAMIN, WAYNE	FAS FEES	B	-328.73	328.73	0.00	328.73	70.0	230.11
07/18/2017	75501866 WELAISH, JEFFREY	FAS FEES	B	-1,381.49	1,381.49	0.00	1,381.49	70.0	967.04
07/18/2017	75501870 ESKENAZI, PHOEBE	FAS FEES	B	-691.83	691.83	0.00	691.83	70.0	484.28
07/18/2017	75501884 MARTIN, KATHY	FAS FEES	B	-1,811.26	1,811.26	0.00	1,811.26	70.0	1,267.88
07/18/2017	75501885 MARTIN, KATHY	FAS FEES	B	-716.23	716.23	0.00	716.23	70.0	501.36
07/18/2017	75501888 KAYLOR, KEVIN	FAS FEES	B	-499.39	499.39	0.00	499.39	70.0	349.57
07/18/2017	75501889 KAYLOR, KEVIN	FAS FEES	B	-523.67	523.67	0.00	523.67	70.0	366.57
07/18/2017	75501890 KAYLOR, KEVIN	FAS FEES	B	-2,278.21	2,278.21	0.00	2,278.21	70.0	1,594.75
07/18/2017	75501914 NATASHA RAJ AHUJA	FAS FEES	B	-1,152.55	1,152.55	0.00	1,152.55	70.0	806.79
07/18/2017	75501925 HOWELL, WILLIAM	FAS FEES	B	-265.22	265.22	0.00	265.22	70.0	185.65
07/18/2017	75501926 KILPATRICK, CHARLES	FAS FEES	B	-963.93	963.93	0.00	963.93	70.0	674.75
07/18/2017	75501946 ANYA P AHUJA 1999	FAS FEES	B	-1,151.95	1,151.95	0.00	1,151.95	70.0	806.37
07/18/2017	75501952 HOWELL, WILLIAM	FAS FEES	B	-326.12	326.12	0.00	326.12	70.0	228.28
07/18/2017	75501953 MCCRAY, ROBIN	FAS FEES	B	-810.06	810.06	0.00	810.06	70.0	567.04
07/18/2017	75501954 MCCRAY, GAIL	FAS FEES	B	-235.05	235.05	0.00	235.05	70.0	164.54
07/18/2017	75501960 BLUE PELICAN LLC	FAS FEES	B	-3,968.13	3,968.13	0.00	3,968.13	70.0	2,777.69
07/18/2017	75502088 KLAHN, ROBERT	FAS FEES	B	-1,011.37	1,011.37	0.00	1,011.37	70.0	707.96
* RIA SUBTOTAL *				\$-58,421.84	\$58,421.84	\$0.00	\$58,421.84		\$40,895.30

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/12/2017	75501392	BORISH, MURIEL	PIMCO TOTAL RETURN CLASS A	B	0.00	11.61	0.00	11.61	70.0	8.13
07/12/2017	75501393	BORISH, MURIEL	**VIRTUS MULTI SECTOR SHORT	B	0.00	18.01	0.00	18.01	70.0	12.61
07/12/2017	75501461	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	2.28	0.00	2.28	70.0	1.60
07/12/2017	75501462	OLMSTEAD, ELIZABETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	1.28	0.00	1.28	70.0	0.00
07/12/2017	75501463	ZWASKIS, CAROLYN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.79	0.00	0.79	70.0	0.00
07/12/2017	75501474	PETRONIS, GEORGE	**VIRTUS MULTI SECTOR SHORT	B	0.00	16.75	0.00	16.75	70.0	11.73
07/12/2017	75501498	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.55	0.00	8.55	70.0	5.99
07/12/2017	75501498	LESTARDO, AVON	**VIRTUS MULTI ASSET TREND	B	0.00	1.00	0.00	1.00	70.0	0.00
07/12/2017	75501498	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.55	0.00	2.55	70.0	1.79
07/12/2017	75501498	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	0.05	0.00	0.05	70.0	0.00
07/07/2017	75501499	LESTARDO, AVON	PACIFIC FDS STRATEGIC INCOME FD CL	B	0.00	12.31	0.00	12.31	70.0	8.62
07/12/2017	75501499	LESTARDO, AVON	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	0.46	0.00	0.46	70.0	0.00
07/12/2017	75501499	LESTARDO, AVON	**VIRTUS MULTI SECTOR SHORT	B	0.00	2.00	0.00	2.00	70.0	1.40
07/12/2017	75501499	LESTARDO, AVON	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	1.93	0.00	1.93	70.0	1.35
07/12/2017	75501499	LESTARDO, AVON	FEDERATED WORLD INVT SER INC INTL S B	B	0.00	10.19	0.00	10.19	70.0	7.13
07/12/2017	75501499	LESTARDO, AVON	FRANKLIN INCOME FUND CLASS A	B	0.00	1.69	0.00	1.69	70.0	1.18
07/12/2017	75501502	MATHEUS, JEANMARIE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.41	0.00	3.41	70.0	2.39
07/12/2017	75501610	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	4.08	0.00	4.08	70.0	2.86
07/12/2017	75501610	VORMWALD, G ROBERT	FRK UTIL FND - CL A	B	0.00	2.51	0.00	2.51	70.0	1.76
07/12/2017	75501611	VORMWALD, G ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	1.36	0.00	1.36	70.0	0.00
07/12/2017	75501612	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	3.27	0.00	3.27	70.0	2.29
07/12/2017	75501613	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	1.09	0.00	1.09	70.0	0.00
07/12/2017	75501614	VORMWALD, KATHLEEN	**VIRTUS EQUITY TREND FUND	B	0.00	8.16	0.00	8.16	70.0	5.71
07/12/2017	75501614	VORMWALD, KATHLEEN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	11.76	0.00	11.76	70.0	8.23
07/12/2017	75501726	PENN, SHELDON	**VIRTUS MULTI SECTOR SHORT	B	0.00	21.95	0.00	21.95	70.0	15.37
07/12/2017	75501726	PENN, SHELDON	JANUS GLOBAL UNCONSTRAINED BOND FUN B	B	0.00	4.15	0.00	4.15	70.0	2.91
07/12/2017	75501729	PENN, ELAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	4.01	0.00	4.01	70.0	2.81
07/12/2017	75501729	PENN, ELAYNE	**VIRTUS MULTI SECTOR SHORT	B	0.00	6.33	0.00	6.33	70.0	4.43
07/12/2017	75501729	PENN, ELAYNE	GOLDMAN SACHS TR RISING DIVIDEND GR B	B	0.00	1.67	0.00	1.67	70.0	1.17
07/12/2017	75501729	PENN, ELAYNE	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	23.89	0.00	23.89	70.0	16.72
07/12/2017	75501730	PENN, ELAYNE	FRANKLIN INCOME FUND CLASS C	B	0.00	1.30	0.00	1.30	70.0	0.00
07/12/2017	75501735	GARNETT, ALFRED	DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.99	0.00	2.99	70.0	2.09
07/12/2017	75501770	CHRISTIAN, SARA	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	7.51	0.00	7.51	70.0	5.26
07/12/2017	75501770	CHRISTIAN, SARA	EUROPACIFIC GROWTH FUND-A	B	0.00	69.54	0.00	69.54	70.0	48.68
07/12/2017	75501770	CHRISTIAN, SARA	THE GROWTH FUND OF AMERICA-A	B	0.00	19.43	0.00	19.43	70.0	13.60
07/12/2017	75501775	SMITH, GLENN	**VIRTUS EQUITY TREND FUND	B	0.00	5.34	0.00	5.34	70.0	3.74
07/12/2017	75501776	DERR, LEROY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.94	0.00	9.94	70.0	6.96
07/12/2017	75501776	DERR, LEROY	**VIRTUS MULTI SECTOR SHORT	B	0.00	0.87	0.00	0.87	70.0	0.00
07/12/2017	75501787	PETRONIS, GEORGE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	19.61	0.00	19.61	70.0	13.73
07/12/2017	75501792	WITMAN, RUSSELL	**VIRTUS MULTI SECTOR SHORT	B	0.00	1.75	0.00	1.75	70.0	1.23

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/12/2017	75501806 BARBARAS, GLEN	**VIRTUS MULTI ASSET TREND	B	0.00	46.26	0.00	46.26	70.0	32.38
07/12/2017	75501806 BARBARAS, GLEN	BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	28.27	0.00	28.27	70.0	19.79
07/12/2017	75501809 WRIGHT, PAMELA	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	25.35	0.00	25.35	70.0	17.75
07/12/2017	75501819 KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	19.29	0.00	19.29	70.0	13.50
07/12/2017	75501819 KLAHN, ROBERT	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	52.09	0.00	52.09	70.0	36.46
07/12/2017	75501819 KLAHN, ROBERT	**VIRTUS MULTI SECTOR SHORT	B	0.00	22.88	0.00	22.88	70.0	16.02
07/12/2017	75501819 KLAHN, ROBERT	FEDERATED GLOBAL ALLOCATION FUND CL B		0.00	3.99	0.00	3.99	70.0	2.79
07/12/2017	75501819 KLAHN, ROBERT	JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	62.23	0.00	62.23	70.0	43.56
07/12/2017	75501831 COLACINO, JOSEPH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.38	0.00	9.38	70.0	6.57
07/07/2017	75501842 STRAUGHN, SARAH	PACIFIC FDS CORE INCOME CL A	B	0.00	18.45	0.00	18.45	70.0	12.92
07/12/2017	75501842 STRAUGHN, SARAH	**DEUTSCHE MANAGED MUNICIPAL	B	0.00	32.31	0.00	32.31	70.0	22.62
07/12/2017	75501842 STRAUGHN, SARAH	**GUGGENHEIM FDS TR	B	0.00	3.00	0.00	3.00	70.0	2.10
07/12/2017	75501842 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	6.64	0.00	6.64	70.0	4.65
07/12/2017	75501842 STRAUGHN, SARAH	**VIRTUS MULTI ASSET TREND	B	0.00	9.01	0.00	9.01	70.0	6.31
07/12/2017	75501842 STRAUGHN, SARAH	FEDERATED MUN SECS INCOME TR HIGH Y B		0.00	4.91	0.00	4.91	70.0	3.44
07/12/2017	75501842 STRAUGHN, SARAH	JANUS GLOBAL UNCONSTRAINED BOND FUN B		0.00	12.45	0.00	12.45	70.0	8.72
07/12/2017	75501844 STRAUGHN, SARAH	**VIRTUS EQUITY TREND FUND	B	0.00	2.27	0.00	2.27	70.0	1.59
07/12/2017	75501844 STRAUGHN, SARAH	AIM INTERNATIONAL GROWTH FUND - CL B		0.00	6.59	0.00	6.59	70.0	4.61
07/12/2017	75501844 STRAUGHN, SARAH	LORD ABBETT MID CAP STOCK FUND INC B		0.00	59.55	0.00	59.55	70.0	41.69
07/12/2017	75501844 STRAUGHN, SARAH	LORD ABBETT AFFILIATED FUND INC-CL B		0.00	41.10	0.00	41.10	70.0	28.77
07/12/2017	75501846 STRAUGHN, SARAH	TEMP WORLD FND - CL A	B	0.00	7.75	0.00	7.75	70.0	5.43
07/12/2017	75501846 STRAUGHN, SARAH	THE GROWTH FUND OF AMERICA-A	B	0.00	17.09	0.00	17.09	70.0	11.96
07/12/2017	75501846 STRAUGHN, SARAH	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	17.90	0.00	17.90	70.0	12.53
07/12/2017	75501863 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	9.50	0.00	9.50	70.0	6.65
07/12/2017	75501863 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	26.71	0.00	26.71	70.0	18.70
07/12/2017	75501863 BENJAMIN, WAYNE	INVESCO MID CAP GROWTH FUND CLASS A B		0.00	25.97	0.00	25.97	70.0	18.18
07/12/2017	75501863 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B		0.00	33.96	0.00	33.96	70.0	23.77
07/12/2017	75501864 BENJAMIN, WAYNE	**VIRTUS EQUITY TREND FUND	B	0.00	2.13	0.00	2.13	70.0	1.49
07/12/2017	75501864 BENJAMIN, WAYNE	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	6.58	0.00	6.58	70.0	4.61
07/12/2017	75501864 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C B		0.00	3.30	0.00	3.30	70.0	2.31
07/12/2017	75501864 BENJAMIN, WAYNE	RYDEX SER FDS S&P 500 2X STRATEGY C B		0.00	19.24	0.00	19.24	70.0	13.47
07/12/2017	75501864 BENJAMIN, WAYNE	RYDEX SER FDS NOVA FD CL C	B	0.00	5.08	0.00	5.08	70.0	3.56
07/12/2017	75501865 BENJAMIN, WAYNE	AMCAP FUND-A	B	0.00	5.69	0.00	5.69	70.0	3.98
07/12/2017	75501865 BENJAMIN, WAYNE	RYDEX SECTOR ROTATION FUND CLASS C B		0.00	4.99	0.00	4.99	70.0	3.49
07/12/2017	75501871 ESKENAZI, PHOEBE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.80	0.00	3.80	70.0	2.66
07/12/2017	75501871 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.94	0.00	2.94	70.0	2.06
07/12/2017	75501871 ESKENAZI, PHOEBE	LORD ABBETT MID CAP STOCK FUND INC B		0.00	65.14	0.00	65.14	70.0	45.60
07/12/2017	75501872 ESKENAZI, PHOEBE	**VIRTUS EQUITY TREND FUND	B	0.00	3.13	0.00	3.13	70.0	2.19
07/12/2017	75501872 ESKENAZI, PHOEBE	AMCAP FUND-A	B	0.00	3.18	0.00	3.18	70.0	2.23
07/12/2017	75501872 ESKENAZI, PHOEBE	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	0.99	0.00	0.99	70.0	0.00

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/12/2017	75501872	ESKENAZI, PHOEBE	DELAWARE GROUP HIGH YIELD OPPORTUNI	B	0.00	0.80	0.00	0.80	70.0	0.00
07/12/2017	75501872	ESKENAZI, PHOEBE	FUNDAMENTAL INVESTORS-A	B	0.00	3.02	0.00	3.02	70.0	2.11
07/12/2017	75501880	WINDETT, ROSE	**VIRTUS EQUITY TREND FUND	B	0.00	0.66	0.00	0.66	70.0	0.00
07/12/2017	75501881	MARTIN, KATHY	JANUS INVT FD BALANCED FD CL C	B	0.00	40.02	0.00	40.02	70.0	28.01
07/12/2017	75501882	MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.49	0.00	6.49	70.0	4.54
07/12/2017	75501886	MARTIN, KATHY	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.29	0.00	9.29	70.0	6.50
07/12/2017	75501886	MARTIN, KATHY	**VIRTUS MULTI ASSET TREND	B	0.00	9.99	0.00	9.99	70.0	6.99
07/12/2017	75501891	KAYLOR, KEVIN	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	11.25	0.00	11.25	70.0	7.88
07/12/2017	75501891	KAYLOR, KEVIN	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	10.77	0.00	10.77	70.0	7.54
07/12/2017	75501892	KAYLOR, KRISTENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.36	0.00	4.36	70.0	3.05
07/12/2017	75501893	KLAHN, ROBERT	**VIRTUS EQUITY TREND FUND	B	0.00	2.22	0.00	2.22	70.0	1.55
07/12/2017	75501910	RUTTER, DOUGLAS	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.83	0.00	4.83	70.0	3.38
07/12/2017	75501911	RUTTER, KENNETH	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	4.84	0.00	4.84	70.0	3.39
07/12/2017	75501915	SPARRE, GERI	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	8.96	0.00	8.96	70.0	6.27
07/12/2017	75501927	VASSALOTTI, MARYANN	JANUS INVT FD BALANCED FD CL C	B	0.00	17.71	0.00	17.71	70.0	12.40
07/12/2017	75501928	GELLIS, HOWARD	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	9.80	0.00	9.80	70.0	6.86
07/12/2017	75501935	STAUFFER, EDWARD	RYDEX SERIES FDS EUROPE 1.25X STRAT	B	0.00	0.57	0.00	0.57	70.0	0.00
07/19/2017	75501935	STAUFFER, EDWARD	**KINETICS PARADIGM FUND	B	0.00	1.08	0.00	1.08	70.0	0.00
07/12/2017	75501938	ROSENBERG, DAVID	**VIRTUS EQUITY TREND FUND	B	0.00	2.63	0.00	2.63	70.0	1.84
07/12/2017	75501938	ROSENBERG, DAVID	**VIRTUS MULTI ASSET TREND	B	0.00	9.78	0.00	9.78	70.0	6.85
07/12/2017	75501938	ROSENBERG, DAVID	AMERICAN MUTUAL FUND-A	B	0.00	36.91	0.00	36.91	70.0	25.84
07/12/2017	75501939	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	1.45	0.00	1.45	70.0	1.02
07/12/2017	75501940	ROSENBERG, ELAINE	**VIRTUS EQUITY TREND FUND	B	0.00	6.58	0.00	6.58	70.0	4.61
07/12/2017	75501940	ROSENBERG, ELAINE	**VIRTUS MULTI ASSET TREND	B	0.00	4.47	0.00	4.47	70.0	3.13
07/12/2017	75501941	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	2.31	0.00	2.31	70.0	1.62
07/12/2017	75501943	GIBBS, REBECCA	**VIRTUS EQUITY TREND FUND	B	0.00	4.08	0.00	4.08	70.0	2.86
07/12/2017	75501943	GIBBS, REBECCA	TEMPLETON GROWTH FUND CLASS A	B	0.00	2.19	0.00	2.19	70.0	1.53
07/12/2017	75501955	PENN, EVA	**GUGGENHEIM FDS TR	B	0.00	0.90	0.00	0.90	70.0	0.00
07/12/2017	75501955	PENN, EVA	**VIRTUS MULTI SECTOR SHORT	B	0.00	4.71	0.00	4.71	70.0	3.30
07/12/2017	75501955	PENN, EVA	FRANKLIN INCOME FUND CLASS A	B	0.00	2.33	0.00	2.33	70.0	1.63
07/12/2017	75501955	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	24.60	0.00	24.60	70.0	17.22
07/12/2017	75501955	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	16.87	0.00	16.87	70.0	11.81
07/12/2017	75501955	PENN, EVA	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.41	0.00	2.41	70.0	1.69
07/12/2017	75501956	PENN, EVA	**VIRTUS EQUITY TREND FUND	B	0.00	5.35	0.00	5.35	70.0	3.75
07/12/2017	75501956	PENN, EVA	LORD ABBETT MID CAP STOCK FUND INC	B	0.00	25.30	0.00	25.30	70.0	17.71
07/12/2017	75501956	PENN, EVA	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	9.70	0.00	9.70	70.0	6.79
07/12/2017	75501956	PENN, EVA	RYDEX SECTOR ROTATION FUND CLASS C	B	0.00	7.89	0.00	7.89	70.0	5.52
07/12/2017	75501957	PENN, EVA	**VIRTUS MULTI ASSET TREND	B	0.00	1.16	0.00	1.16	70.0	0.00
07/12/2017	75501967	BENJAMIN, WAYNE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	6.29	0.00	6.29	70.0	4.40
07/12/2017	75501997	LESTARDO, EUGENE	**VIRTUS GLOBAL OPPORTUNITIES	B	0.00	3.41	0.00	3.41	70.0	2.39

COMMISSION STATEMENT for VAN DREGGE OLMSTEAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/12/2017	75501997	LESTARDO, EUGENE	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	3.16	0.00	3.16	70.0	2.21
07/12/2017	75502039	SMITH, DAYNE	**VIRTUS MULTI ASSET TREND B	0.00	55.60	0.00	55.60	70.0	38.92
07/12/2017	75502040	SMITH, MARY BETH	CAPITAL APPRECIATION - CLASS A B	0.00	2.89	0.00	2.89	70.0	2.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,448.09	\$0.00	\$1,448.09		\$1,003.71
*** BROKER TRANSACTIONS TOTAL ***				\$1,188,538.66	\$60,743.70	\$0.00	\$60,743.70		\$42,119.51

ADJUSTMENTS

07/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-20.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$42,119.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-75.93
SIPC Assessment	\$-151.86

Check Amount	\$41,871.72
Year-to-date Earnings	\$43,064.88