



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 15, 2018**

08/14/2018  
Page No. 1

KEITH, L128 LAYMAN  
4321 Flagstaff

BROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
07/31/2018	RAMUS, CHARLES	BLUEROCK TOTAL INC & REAL ESTATE FU	76,000.00	760.00	0.00	760.00	80.0	608.00
		* INTERVAL FUNDS SUBTOTAL *	\$76,000.00	\$760.00	\$0.00	\$760.00		\$608.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/31/2018	FEDLER, CHARLES	ISHARES TR FLTG RATE NT ETF	1,275.25	0.00	22.50	-22.50	80.0	-18.00
		* STOCKS SUBTOTAL *	\$1,275.25	\$0.00	\$22.50	\$-22.50		\$-18.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$77,275.25	\$760.00	\$22.50	\$737.50		\$590.00

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
07/24/2018	GEVERINK, ANN	INA AMER FDS EUROPAC GROWT A	30.00	1.20	0.00	1.20	80.0	0.96
07/31/2018	SAYA, CHRISTINE	INA AMER FDS EUROPAC GROWT A	18.00	0.12	0.00	0.12	80.0	0.10
/ /	GEVERINK, ANN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.00	0.00	2.00	80.0	1.60
07/31/2018	SAYA, CHRISTINE	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.42	0.00	0.42	80.0	0.34
08/03/2018	GALENTINE, ASHLEY	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
/ /	GEVERINK, ANN	INA DIAMOND HILL SM-MID CAP PORTF A	0.00	1.20	0.00	1.20	80.0	0.96
/ /	FREEZE, CORTNEY	INA DIAMOND HILL SM-MID CAP PORTF A	0.00	0.86	0.00	0.86	80.0	0.69
		* 529 PLANS SUBTOTAL *	\$128.00	\$8.18	\$0.00	\$8.18		\$6.55

<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/01/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	80.0	3.40
07/23/2018	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	80.0	1.10
07/30/2018	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	80.0	4.00
08/01/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
07/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	80.0	1.43
08/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	80.0	1.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/23/2018	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	80.0	1.65
07/30/2018	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	80.0	1.70
08/01/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	80.0	1.50
08/01/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	10.00	0.00	10.00	80.0	8.00
08/01/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	80.0	1.50
07/23/2018	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	80.0	1.65
07/24/2018	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	80.0	1.70
07/24/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	143.86	2.30	0.00	2.30	80.0	1.84
07/24/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	143.86	2.30	0.00	2.30	80.0	1.84
07/24/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/24/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	143.09	2.29	0.00	2.29	80.0	1.83
07/27/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	143.09	2.29	0.00	2.29	80.0	1.83
07/27/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	164.52	2.63	0.00	2.63	80.0	2.10
07/27/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	164.52	2.63	0.00	2.63	80.0	2.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/30/2018	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	80.0	3.40
07/31/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	141.87	2.27	0.00	2.27	80.0	1.82
07/31/2018	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	141.87	2.27	0.00	2.27	80.0	1.82
07/31/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
07/31/2018	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	86.55	1.38	0.00	1.38	80.0	1.10
08/01/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
07/23/2018	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	80.0	1.21
08/01/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	4.00
07/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	80.0	1.43
07/23/2018	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	80.0	1.43
08/01/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	80.0	1.50
08/01/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	10.00	0.00	10.00	80.0	8.00
08/01/2018	RAMUS, ERIC	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	80.0	2.00
08/01/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	10.00	0.00	10.00	80.0	8.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/24/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/24/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/27/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/30/2018	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	80.0	3.40
07/31/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/31/2018	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	86.55	1.38	0.00	1.38	80.0	1.10
07/31/2018	FREEZE, CORTNEY	INA CORE BOND INDEX PORT CLASS A	70.00	0.33	0.00	0.33	80.0	0.26
/ /	FREEZE, CORTNEY	INA INTER EQTY INDEX PORTF A	0.00	1.19	0.00	1.19	80.0	0.95
/ /	FREEZE, CORTNEY	INA LG CAP INDEX PORT CLASS A	0.00	2.23	0.00	2.23	80.0	1.78
07/31/2018	WILLIAMS, BEVERLY	INA LG CAP INDEX PORT CLASS A	1,250.00	50.00	0.00	50.00	80.0	40.00
07/24/2018	GEVERINK, ANN	INA PIMCO TOT RET PORT CLA A	50.00	1.30	0.00	1.30	80.0	1.04
07/31/2018	SAYA, CHRISTINE	INA PIMCO TOT RET PORT CLA A	5.00	0.16	0.00	0.16	80.0	0.13
07/31/2018	WILLIAMS, BEVERLY	INA PIMCO TOT RET PORT CLA A	70.00	40.63	0.00	40.63	80.0	32.50
07/24/2018	GEVERINK, ANN	INA T ROWE PRICE LG CAP GROWTH PO A	30.00	2.00	0.00	2.00	80.0	1.60
07/31/2018	SAYA, CHRISTINE	INA T ROWE PRICE LG CAP GROWTH PO A	30.00	0.42	0.00	0.42	80.0	0.34
08/03/2018	GALENTINE, ASHLEY	INA T ROWE PRICE LG CAP GROWTH PO A	50.00	2.38	0.00	2.38	80.0	1.90
07/16/2018	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	80.0	3.80
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	14.00	0.00	14.00	80.0	11.20
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	11.00	0.00	11.00	80.0	8.80
/ /	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	9.50	0.00	9.50	80.0	7.60
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	16.00	0.00	16.00	80.0	12.80
07/23/2018	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	1,600.00	13.00	0.00	13.00	80.0	10.40
08/03/2018	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	80.0	3.20
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$8,826.48</b>	<b>\$297.74</b>	<b>\$0.00</b>	<b>\$297.74</b>		<b>\$238.08</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/17/2018	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.74	0.00	0.74	80.0	0.59
07/17/2018	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.18	0.00	1.18	80.0	0.94
07/17/2018	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.21	0.00	1.21	80.0	0.97
07/17/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	80.0	0.37
07/17/2018	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	12.18	0.00	12.18	80.0	9.74

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/17/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	80.0	0.42
07/17/2018	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
07/17/2018	ROGERS, BRADLEY	AM FUNDS AMERICAN BALANCED A	0.00	1.71	0.00	1.71	80.0	1.37
07/17/2018	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	80.0	0.37
07/17/2018	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.76	0.00	0.76	80.0	0.61
07/17/2018	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.58	0.00	0.58	80.0	0.46
07/17/2018	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	80.0	1.10
07/17/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.77	0.00	0.77	80.0	0.62
07/17/2018	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.83	0.00	8.83	80.0	7.06
07/17/2018	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	80.0	0.33
07/17/2018	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.07	0.00	2.07	80.0	1.66
07/17/2018	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	80.0	0.66
07/17/2018	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.71	0.00	6.71	80.0	5.37
07/17/2018	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	80.0	0.20
07/17/2018	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.49	0.00	5.49	80.0	4.39
07/17/2018	KAUFFMAN, JAN	AM FUNDS GLOBAL BALANCED A	0.00	0.77	0.00	0.77	80.0	0.62
07/17/2018	KAUFFMAN, JOHN	AM FUNDS GLOBAL BALANCED A	0.00	0.77	0.00	0.77	80.0	0.62
07/17/2018	FEDLER, CHARLES	AM FUNDS GLOBAL BALANCED A	0.00	0.58	0.00	0.58	80.0	0.46
07/17/2018	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	80.0	0.54
07/17/2018	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.48	0.00	7.48	80.0	5.98
07/17/2018	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	80.0	0.26
07/17/2018	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.94	0.00	4.94	80.0	3.95
07/17/2018	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.54	0.00	0.54	80.0	0.43
07/17/2018	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.91	0.00	5.91	80.0	4.73
07/17/2018	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.50	0.00	0.50	80.0	0.40
07/17/2018	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.40	0.00	0.40	80.0	0.32
07/17/2018	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.59	0.00	0.59	80.0	0.47
07/17/2018	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.78	0.00	0.78	80.0	0.62
07/17/2018	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.02	0.00	2.02	80.0	1.62
07/17/2018	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.72	0.00	6.72	80.0	5.38
07/17/2018	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.62	0.00	5.62	80.0	4.50
07/17/2018	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	3.98	0.00	3.98	80.0	3.18
07/17/2018	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.16	0.00	4.16	80.0	3.33

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/31/2018	GRIME, LAURA	FRANKLIN DYNATECH A	0.00	1.44	0.00	1.44	80.0	1.15
07/23/2018	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.64	0.00	0.64	80.0	0.51
07/23/2018	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.64	0.00	0.64	80.0	0.51
07/31/2018	GRIME, LAURA	FRANKLIN GROWTH OPP A	0.00	1.44	0.00	1.44	80.0	1.15
07/23/2018	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	80.0	0.47
07/23/2018	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	80.0	0.47
07/23/2018	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.48	0.00	2.48	80.0	1.98
07/16/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.17	0.00	5.17	80.0	4.14
07/16/2018	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.51	0.00	15.51	80.0	12.41
07/16/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.53	0.00	5.53	80.0	4.42
07/16/2018	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.60	0.00	16.60	80.0	13.28
07/16/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.77	0.00	8.77	80.0	7.02
07/16/2018	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.32	0.00	26.32	80.0	21.06
07/16/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.68	0.00	8.68	80.0	6.94
07/16/2018	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.03	0.00	26.03	80.0	20.82
07/16/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.24	0.00	3.24	80.0	2.59
07/16/2018	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.73	0.00	9.73	80.0	7.78
07/16/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.81	0.00	9.81	80.0	7.85
07/16/2018	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.43	0.00	29.43	80.0	23.54
07/16/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.85	0.00	10.85	80.0	8.68
07/16/2018	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.54	0.00	32.54	80.0	26.03
07/16/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.04	0.00	11.04	80.0	8.83
07/16/2018	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	33.12	0.00	33.12	80.0	26.50
07/16/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.27	0.00	8.27	80.0	6.62
07/16/2018	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.82	0.00	24.82	80.0	19.86
07/16/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.71	0.00	8.71	80.0	6.97
07/16/2018	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.13	0.00	26.13	80.0	20.90
07/16/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.73	0.00	9.73	80.0	7.78
07/16/2018	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.18	0.00	29.18	80.0	23.34
07/16/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.48	0.00	5.48	80.0	4.38
07/16/2018	HINCKS, ELIZABETH A LIF	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.43	0.00	16.43	80.0	13.14
07/17/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.31	0.00	0.31	80.0	0.25
07/17/2018	KAUFFMAN, JAN	INFLATION LINKED BOND FUND A	0.00	1.21	0.00	1.21	80.0	0.97

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/17/2018	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	1.04	0.00	1.04	80.0	0.83
07/17/2018	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	1.12	0.00	1.12	80.0	0.90
07/17/2018	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.52	0.00	0.52	80.0	0.42
07/17/2018	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.80	0.00	0.80	80.0	0.64
07/23/2018	FEDLER, KIMBERLY	INVESCO EQUITY AND INCOME A	0.00	0.50	0.00	0.50	80.0	0.40
07/13/2018	RANEY, GLEN	IVY ASSET STRATEGY FUND CLASS C	0.00	5.59	0.00	5.59	80.0	4.47
07/13/2018	MARTIN, GARY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.22	0.00	2.22	80.0	1.78
07/13/2018	MARTIN, DEBERAH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	2.22	0.00	2.22	80.0	1.78
07/23/2018	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.28	0.00	5.28	80.0	4.22
07/23/2018	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.82	0.00	0.82	80.0	0.66
07/23/2018	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.89	0.00	1.89	80.0	1.51
07/23/2018	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	30.97	0.00	30.97	80.0	24.78
07/06/2018	ALANZA, SARAH	MAINSTAY BALANCED FUND INVESTOR CLA	0.00	4.70	0.00	4.70	80.0	3.76
07/06/2018	ALANZA, SARAH	MAINSTAY EPOCH US EQUITY YIELD INV	0.00	5.03	0.00	5.03	80.0	4.02
07/06/2018	WILLIAMS, BARBARA	MAINSTAY HIGH YIELD CORP BOND INVES	0.00	0.71	0.00	0.71	80.0	0.57
07/06/2018	ALANZA, SARAH	MAINSTAY INTRNTL EQTY INVESTOR CLAS	0.00	4.52	0.00	4.52	80.0	3.62
07/06/2018	ALANZA, SARAH	MAINSTAY LARGE CAP GROWTH FUND A	0.00	10.51	0.00	10.51	80.0	8.41
07/06/2018	ALANZA, SARAH	MAINSTAY MAP INVESTOR CLASS	0.00	3.07	0.00	3.07	80.0	2.46
07/06/2018	ALANZA, SARAH	MAINSTAY US SMALL CAP INV	0.00	1.01	0.00	1.01	80.0	0.81
07/31/2018	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	10.73	0.00	10.73	80.0	8.58
07/16/2018	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.42	0.00	7.42	80.0	5.94
08/02/2018	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.63	0.00	2.63	80.0	2.10
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$587.76</b>	<b>\$0.00</b>	<b>\$587.76</b>		<b>\$470.21</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	POSTMA, THELMA	JNL PERSPECTIVE II	0.00	8.56	0.00	8.56	80.0	6.85
07/31/2018	SENGER, LYNDA	PRU AS LIFEVEST II	0.00	23.72	0.00	23.72	80.0	18.98
07/31/2018	SENGER, LYNDA	PRU AS LIFEVEST II	0.00	71.17	0.00	71.17	80.0	56.94
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$103.45</b>	<b>\$0.00</b>	<b>\$103.45</b>		<b>\$82.77</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$8,954.48</b>	<b>\$997.13</b>	<b>\$0.00</b>	<b>\$997.13</b>		<b>\$797.61</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u></b>								
07/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	8.25	1.66	0.00	1.66	80.0	1.33
/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.10	0.00	0.10	80.0	0.08
07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
07/27/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.84	0.00	0.84	80.0	0.67
07/31/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
07/31/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	25.00	0.24	0.00	0.24	80.0	0.19
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$208.25</b>	<b>\$3.59</b>	<b>\$0.00</b>	<b>\$3.59</b>		<b>\$2.88</b>
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
07/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	80.0	2.10
07/31/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
07/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	149.13	1.95	0.00	1.95	80.0	1.56
07/31/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	149.13	1.95	0.00	1.95	80.0	1.56
07/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	69.23	0.91	0.00	0.91	80.0	0.73
07/31/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	80.0	1.45
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	80.0	0.30
07/17/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	80.0	0.17
07/17/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	80.0	0.12
07/17/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	80.0	0.18
07/17/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	80.0	0.34
07/17/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	80.0	0.15
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	80.0	0.26
07/26/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	80.0	1.74
07/17/2018	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	0.00	0.79	0.00	0.79	80.0	0.63
07/17/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.24	0.00	0.24	80.0	0.19
07/17/2018	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.34	0.00	0.34	80.0	0.27
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.32	0.00	0.32	80.0	0.26
07/31/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	-3,449.94	0.00	7.70	-7.70	80.0	-6.16
07/31/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	-4,554.96	0.00	7.70	-7.70	80.0	-6.16
07/17/2018	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	0.00	1.13	0.00	1.13	80.0	0.90
07/17/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	80.0	0.02
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	80.0	0.11
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.20	0.00	0.20	80.0	0.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
08/01/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	80.0	0.70
07/17/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	80.0	0.04
07/17/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	80.0	0.15
07/30/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	80.0	0.70
07/30/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	80.0	4.90
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	80.0	0.14
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	80.0	0.20
07/17/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.19	0.00	0.19	80.0	0.15
07/17/2018	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	0.00	0.42	0.00	0.42	80.0	0.34
07/17/2018	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	0.00	0.87	0.00	0.87	80.0	0.70
07/19/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.32	0.00	1.32	80.0	1.06
07/16/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	5.06	0.00	5.06	80.0	4.05
07/19/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	80.0	0.14
07/24/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.71	0.00	0.71	80.0	0.57
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.57	0.00	0.57	80.0	0.46
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.13	0.00	0.13	80.0	0.10
/ /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	0.64	0.00	0.64	80.0	0.51
07/27/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
07/27/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.41	0.00	0.41	80.0	0.33
07/27/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.10	0.00	0.10	80.0	0.08
/ /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	0.24	0.00	0.24	80.0	0.19
07/31/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.10	0.00	0.10	80.0	0.08
07/31/2018	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	25.00	0.41	0.00	0.41	80.0	0.33
07/17/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	80.0	0.26
07/31/2018	CRAWFORD, JOHN	ISHARES TR FLTG RATE NT ETF	2,040.40	0.00	7.87	-7.87	80.0	-6.30
07/31/2018	CRAWFORD, JOHN	ISHARES TR S&P U S PFD STK INDEX FD	1,880.00	0.00	7.87	-7.87	80.0	-6.30
07/31/2018	BRAUTZSCH, CHRIS	ISHARES TR S&P U S PFD STK INDEX FD	3,384.00	0.00	7.87	-7.87	80.0	-6.30
07/31/2018	CRAWFORD, JOHN	ISHARES U S ETF TR INT RATE HEDGED	1,940.40	0.00	7.87	-7.87	80.0	-6.30
07/20/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.23	0.00	1.23	80.0	0.98
07/31/2018	BRAUTZSCH, CHRIS	SPDR DOW JONES INDL AVERAGE ETF TR	2,536.90	17.50	7.87	9.63	80.0	7.70
07/31/2018	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.71	0.00	5.71	80.0	4.57
07/31/2018	CRAWFORD, GERALD	VANGUARD S&P 500 ETF	774.06	0.00	7.87	-7.87	80.0	-6.30
07/31/2018	BRAUTZSCH, CHRIS	VANGUARD S&P 500 ETF	3,870.30	0.00	7.87	-7.87	80.0	-6.30

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM, L129 LAYMAN #207 </u></b>								
		* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/	\$9,926.32	\$63.38	\$70.49	\$-7.11		\$-5.71
		SUBTOTAL *						
		*** SPLIT TRANSACTIONS TOTAL ***	\$10,134.57	\$66.97	\$70.49	\$-3.52		\$-2.83
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM, L129 LAYMAN #207 </u></b>								
07/31/2018	SCHULER, CINDY	MASS MUTUAL 575769484	0.00	115.80	0.00	115.80	45.0	52.11
		* WILLIAM, L129 LAYMAN SUBTOTAL *	\$0.00	\$115.80	\$0.00	\$115.80		\$52.11
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$0.00	\$115.80	\$0.00	\$115.80		\$52.11
<b><u> ADJUSTMENTS </u></b>								
08/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
08/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*EO INSURANCE: CURRENT MONTH	0.00					-300.00
		INS-BILL						
08/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
08/15/2018		*LASER APP: LASER APP - BILL	0.00					-18.00
08/15/2018		*LASER APP: LASER APP - KEITH	0.00					-18.00
08/15/2018		*PERSHING: BLOCK TRADE REBALANCE	0.00					-42.00
08/15/2018		*PERSHING: COST BASIS TRACKING 130	0.00					-130.00
		ACCTS						
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-1.55
08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-23.80
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-14.94
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.69
08/15/2018		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-130.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC -	0.00					-180.00
		BILL						
08/15/2018		*TECHNOLOGY: LIMITED ADMIN - S	0.00					-60.00
		BONANNON						

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

08/15/2018		*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00					-60.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,549.98

BROKER COMMISSION TOTALS

Broker Transactions	\$1,387.61
Split Transactions	\$-2.83
Override Transactions	\$52.11
Adjustments	\$-1,549.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-113.09
Year-to-date Gross	\$32,228.36
Year-to-date Earnings	\$28,955.18

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed