

**COMMISSION STATEMENT****April 30, 2018**

BARRY, L122 LE MAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/13/2018	YEE 2000 LIV TRUST, VINCENT	TD AMERITRADE	2,686,274.94	6,697.29	0.00	6,697.29	90.0	6,027.56
04/13/2018	YEE CHARITABLE TRUST, VINCENT	TD AMERITRADE	725,057.65	1,807.68	0.00	1,807.68	90.0	1,626.91
04/16/2018	BARDELL, PAULA	TD AMERITRADE	0.00	525.29	0.00	525.29	90.0	472.76
04/16/2018	BARRETT, MARYALYS	TD AMERITRADE	0.00	138.12	0.00	138.12	90.0	124.31
04/16/2018	BHASKARAN, SURESH	TD AMERITRADE	0.00	185.92	0.00	185.92	90.0	167.33
04/16/2018	SALVADORI, DANIELA	TD AMERITRADE	0.00	146.01	0.00	146.01	90.0	131.41
04/16/2018	DE LA ROSA, MARIA	TD AMERITRADE	0.00	248.89	0.00	248.89	90.0	224.00
04/16/2018	DE LA ROSA, RAMON	TD AMERITRADE	0.00	144.64	0.00	144.64	90.0	130.18
04/16/2018	CARPIO, IRMINA	TD AMERITRADE	0.00	1,227.12	0.00	1,227.12	90.0	1,104.41
04/16/2018	CODY, SUZANNA	TD AMERITRADE	0.00	238.96	0.00	238.96	90.0	215.06
04/16/2018	CONLIN, MARGARET	TD AMERITRADE	0.00	133.29	0.00	133.29	90.0	119.96
04/16/2018	DEL ROSARIO, BYRON	TD AMERITRADE	0.00	108.69	0.00	108.69	90.0	97.82
04/16/2018	DEMARTINI, ALLEN	TD AMERITRADE	0.00	273.03	0.00	273.03	90.0	245.73
04/16/2018	HARE, CLAIRE	TD AMERITRADE	0.00	352.21	0.00	352.21	90.0	316.99
04/16/2018	HAUWERT SR, GERARDUS	TD AMERITRADE	0.00	192.54	0.00	192.54	90.0	173.29
04/16/2018	BDH CONSULTANTS	TD AMERITRADE	0.00	517.71	0.00	517.71	90.0	465.94
04/16/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	340.72	0.00	340.72	90.0	306.65
04/16/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	311.08	0.00	311.08	90.0	279.97
04/16/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	265.06	0.00	265.06	90.0	238.55
04/16/2018	HURLEY, KENNETH	TD AMERITRADE	0.00	139.66	0.00	139.66	90.0	125.69
04/16/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	89.92	0.00	89.92	90.0	80.93
04/16/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	160.74	0.00	160.74	90.0	144.67
04/16/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	390.82	0.00	390.82	90.0	351.74
04/16/2018	KACHULIS, CASSANDRA	TD AMERITRADE	0.00	193.03	0.00	193.03	90.0	173.73
04/16/2018	LAKE, DAVID	TD AMERITRADE	0.00	101.32	0.00	101.32	90.0	91.19
04/16/2018	LIU, GRANT	TD AMERITRADE	0.00	87.95	0.00	87.95	90.0	79.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/16/2018	MACKENZIE, MICHELLE	TD AMERITRADE	0.00	165.23	0.00	165.23	90.0	148.71
04/16/2018	NEWMAN, PAUL	TD AMERITRADE	0.00	167.42	0.00	167.42	90.0	150.68
04/16/2018	NEWMAN, PAUL	TD AMERITRADE	0.00	252.57	0.00	252.57	90.0	227.31
04/16/2018	LODI, EDWARD	TD AMERITRADE	0.00	149.72	0.00	149.72	90.0	134.75
04/16/2018	LODI, EDWARD	TD AMERITRADE	0.00	150.28	0.00	150.28	90.0	135.25
04/16/2018	LODI, EDWARD	TD AMERITRADE	0.00	96.79	0.00	96.79	90.0	87.11
04/16/2018	PRYLE, KIM	TD AMERITRADE	0.00	147.48	0.00	147.48	90.0	132.73
04/16/2018	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	133.00	0.00	133.00	90.0	119.70
04/16/2018	RODRIGUEZ, MARCOS	TD AMERITRADE	0.00	159.06	0.00	159.06	90.0	143.15
04/16/2018	SANDHU, KAMINI SHARMA	TD AMERITRADE	0.00	351.97	0.00	351.97	90.0	316.77
04/16/2018	SMART, THOMAS	TD AMERITRADE	0.00	154.27	0.00	154.27	90.0	138.84
04/16/2018	TRAN, TRANG	TD AMERITRADE	0.00	103.41	0.00	103.41	90.0	93.07
04/16/2018	TRAN, TRANG	TD AMERITRADE	0.00	85.38	0.00	85.38	90.0	76.84
04/16/2018	VIZIR, VITALI	TD AMERITRADE	0.00	714.15	0.00	714.15	90.0	642.74
* RIA SUBTOTAL *			\$3,411,332.59	\$17,848.42	\$0.00	\$17,848.42		\$16,063.59
*** DIRECT TRANSACTIONS TOTAL ***			\$3,411,332.59	\$17,848.42	\$0.00	\$17,848.42		\$16,063.59
<u>ADJUSTMENTS</u>								
04/30/2018		*MANAGED FEE EXPENSE: ACCT. MIN. FEE	0.00					-223.84
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-223.84

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BROKER COMMISSION TOTALS

Broker Transactions	\$16,063.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-223.84
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-739.69
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,100.06
Year-to-date Gross	\$37,501.85
Year-to-date Earnings	\$33,751.72