



# COMMISSION STATEMENT

## May 14, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/30/2018	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @28.4500						
05/01/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @28.0800						
04/30/2018	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @64.4600						
05/01/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @63.5100						
04/30/2018	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @65.6200						
05/08/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 106 Units @11.7500						
04/30/2018	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @47.0700						
05/02/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @53.5500						
05/02/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 9 Units @23.4500						
05/01/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @47.4200						
* MUTUAL FUNDS SUBTOTAL *			\$2,350.00	\$43.51	\$0.00	\$43.51		\$37.01
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/01/2018	***-**-34IR - MCKENNA, EDWARD	JACKSON NAT PERS II OPT E 0-80	35,717.46	714.35	0.00	714.35	85.0	607.20
		BUY 1 Units @36000.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$35,717.46	\$714.35	\$0.00	\$714.35		\$607.20
<b><u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u></b>								
05/14/2018	***-**-949I - GRAHAM, MONA	SAFE RETURN TRAILS	0.00	232.44	0.00	232.44	100.0	232.44
		BUY 1 Units @0.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$0.00	\$232.44	\$0.00	\$232.44		\$232.44



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/14/2018	***-**-036I - CROWL, LINDA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.42	0.00	0.42	85.0	0.36
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
05/14/2018	***-**-831I - REED, JACK	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.70	0.00	0.70	85.0	0.60
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
05/14/2018	***-**-34IR - MCKENNA, EDWARD	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	10.58	0.00	10.58	85.0	8.99
	ACCOUNT #: LSR011376	BUY 1 Units @0.0000						
05/14/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	16.56	0.00	16.56	85.0	14.08
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
05/14/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	21.68	0.00	21.68	85.0	18.43
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						
05/14/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.39	0.00	17.39	85.0	14.78
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
05/14/2018	***-**-181X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.19	0.00	18.19	85.0	15.46
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
05/14/2018	***-**-055X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	21.92	0.00	21.92	85.0	18.63
	ACCOUNT #: LSR011558	BUY 1 Units @0.0000						
05/14/2018	***-**-352I - KUNKEL, RAY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	12.76	0.00	12.76	85.0	10.85
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
05/14/2018	***-**-8100 - BLAUCH, CATHY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.14	0.00	0.14	85.0	0.12
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
05/14/2018	***-**-881I - HOUSER, LORI	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.23	0.00	0.23	85.0	0.20
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
05/14/2018	***-**-13IR - LOYCH, ALICE	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.35	0.00	0.35	85.0	0.30
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
05/14/2018	***-**-81IR - BLEILER, CARLTON	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.09	0.00	0.09	85.0	0.08
	ACCOUNT #: LSR012082	BUY 1 Units @0.0000						
05/14/2018	***-**-872I - GAROFALO,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.31	0.00	0.31	85.0	0.26
	ACCOUNT #: LSR010758	BUY 1 Units @0.0000						
05/14/2018	***-**-244X - REED, GENEVIEVE	AIM HIGH YIELD FUND - CLASS A	0.00	1.63	0.00	1.63	85.0	1.39
	ACCOUNT #: LSR012032	BUY 1 Units @0.0000						
05/14/2018	***-**-8100 - BLAUCH, CATHY	AIM INCOME ALLOCATION FUND - CLASS	0.00	0.24	0.00	0.24	85.0	0.20
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	85.0	0.22
05/14/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	17.10	0.00	17.10	85.0	14.54
05/14/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	64.56	0.00	64.56	85.0	54.88
05/14/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	12.51	0.00	12.51	85.0	10.63
05/14/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	INVESCO BALANCED - RISK ALLOCATION BUY 1 Units @0.0000	0.00	8.64	0.00	8.64	85.0	7.34
05/14/2018	***-**-276I - GASSWINT, SUSAN ACCOUNT #: LSR010633	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	19.53	0.00	19.53	85.0	16.60
05/14/2018	***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	13.85	0.00	13.85	85.0	11.77
05/14/2018	***-**-322I - SHOCKEY, DEBORAH ACCOUNT #: LSR011848	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	4.55	0.00	4.55	85.0	3.87
05/14/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	85.0	0.73
05/14/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASS INVESTORS GROWTH STOCK FUND-A BUY 1 Units @0.0000	0.00	6.28	0.00	6.28	85.0	5.34
05/14/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.30	0.00	6.30	85.0	5.36
05/14/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	40.55	0.00	40.55	85.0	34.47
05/14/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	57.13	0.00	57.13	85.0	48.56
05/14/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011555	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	21.01	0.00	21.01	85.0	17.86
05/14/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	63.41	0.00	63.41	85.0	53.90
05/14/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	46.50	0.00	46.50	85.0	39.53



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-881I - HOUSER, LORI	MFS DIVERSIFIED INCOME FD CL C	0.00	0.31	0.00	0.31	85.0	0.26
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
05/14/2018	***-**-0550 - ILGENFRITZ, TIM	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
05/14/2018	***-**-162I - ANDERSON, THOMAS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
05/14/2018	***-**-881I - HOUSER, LORI	MFS MID CAP VALUE CLASS C	0.00	0.43	0.00	0.43	85.0	0.37
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
05/14/2018	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	18.49	0.00	18.49	85.0	15.72
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
05/14/2018	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	36.97	0.00	36.97	85.0	31.42
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
05/14/2018	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.35	0.00	10.35	85.0	8.80
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
05/14/2018	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	14.15	0.00	14.15	85.0	12.03
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
05/14/2018	***-**-352I - KUNKEL, RAY	MFS TOTAL RETURN FUND-C	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
05/14/2018	***-**-837I - KUNKEL, JANET	MFS TOTAL RETURN FUND-C	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
05/14/2018	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	0.48	0.00	0.48	85.0	0.41
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
05/14/2018	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	7.69	0.00	7.69	85.0	6.54
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$595.17</b>	<b>\$0.00</b>	<b>\$595.17</b>		<b>\$505.95</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$38,067.46</b>	<b>\$1,585.47</b>	<b>\$0.00</b>	<b>\$1,585.47</b>		<b>\$1,382.60</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,382.60
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,382.60
						Year-to-date Earnings		\$48,246.68