

**COMMISSION STATEMENT****December 31, 2017**JOEL WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/01/2017	TOMLINSON, HAROLD	GEM FUNDS TOTAL INC & REAL ESTATE	0.00	10.00	0.00	10.00	92.0	9.20
		* PRIVATE PLACEMENT SUBTOTAL *	\$0.00	\$10.00	\$0.00	\$10.00		\$9.20
<u>PRODUCT CATEGORY: REIT</u>								
11/30/2017	PHIPPS, LESLIE	CARTER VALIDUS MC REIT	0.00	28.51	0.00	28.51	92.0	26.23
11/30/2017	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.41	0.00	13.41	92.0	12.34
11/30/2017	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	62.88	0.00	62.88	92.0	57.85
11/30/2017	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.57	0.00	9.57	92.0	8.80
11/30/2017	HINCKLEY, CYNTHIA	CARTER VALIDUS MC REIT II CLASS T	0.00	2.50	0.00	2.50	92.0	2.30
11/30/2017	HINCKLEY, BRYAN	CARTER VALIDUS MC REIT II CLASS T	0.00	12.89	0.00	12.89	92.0	11.86
		* REIT SUBTOTAL *	\$0.00	\$129.76	\$0.00	\$129.76		\$119.38
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/19/2017	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	0.00	12.69	0.00	12.69	92.0	11.67
12/19/2017	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	7.00	0.00	7.00	92.0	6.44
12/19/2017	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND C	0.00	16.52	0.00	16.52	92.0	15.20
12/19/2017	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	0.00	11.24	0.00	11.24	92.0	10.34
12/19/2017	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	0.00	13.23	0.00	13.23	92.0	12.17
12/19/2017	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	20.65	0.00	20.65	92.0	19.00
12/19/2017	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	0.00	61.24	0.00	61.24	92.0	56.34
12/19/2017	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	0.00	20.61	0.00	20.61	92.0	18.96
12/19/2017	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	0.00	16.48	0.00	16.48	92.0	15.16
12/19/2017	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	0.00	35.21	0.00	35.21	92.0	32.39
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$214.87	\$0.00	\$214.87		\$197.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/15/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
12/15/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
12/15/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
12/15/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
11/29/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	10.00	0.35	0.00	0.35	90.0	0.32
12/01/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
12/01/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	10.00	0.35	0.00	0.35	90.0	0.32
12/15/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	10.00	0.35	0.00	0.35	90.0	0.32
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	16.05	0.00	16.05	90.0	14.45
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	17.22	0.00	17.22	90.0	15.50
* 529 PLANS SUBTOTAL *			\$380.00	\$49.95	\$0.00	\$49.95		\$44.98
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/05/2017	GORDON, JEFFREY	ALLIANZ	0.00	0.50	0.00	0.50	90.0	0.45
12/05/2017	GORDON, JEFFREY	ALLIANZ	0.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	GORDON, JEFFREY	ALLIANZ	0.00	3.00	0.00	3.00	90.0	2.70
12/15/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
12/15/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
11/27/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *			\$150.00	\$9.50	\$0.00	\$9.50		\$8.55
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	WESTMORELAND, SUSAN	FIDELITY ADV SHORT TERM BOND CL A	0.00	0.78	0.00	0.78	90.0	0.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.78	\$0.00	\$0.78		\$0.70
*** DIRECT TRANSACTIONS TOTAL ***			\$530.00	\$414.86	\$0.00	\$414.86		\$380.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
12/01/2017	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	BONGIORNO, VALERIE	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
12/15/2017	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
12/15/2017	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
12/15/2017	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
12/15/2017	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
12/01/2017	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
12/15/2017	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
12/15/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
12/19/2017	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	0.00	4.17	0.00	4.17	0.0	1.75
12/05/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.26
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.08	0.00	0.08	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	SCHONFELD, NANCY	VAN ECK INTERNATIONAL INVESTORS GOL	0.00	7.25	0.00	7.25	48.0	3.05
* MARK-S141 REG SMITH SUBTOTAL *			\$1,050.00	\$51.55	\$0.00	\$51.55		\$21.66
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
12/19/2017	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND A	0.00	0.21	0.00	0.21	49.0	0.09
12/19/2017	ORR, PATRICIA	BR TOTAL INC & REAL ESTATE FUND A	0.00	1.65	0.00	1.65	49.0	0.71
12/19/2017	SPENCER, HAROLD	BR TOTAL INC & REAL ESTATE FUND A	0.00	4.60	0.00	4.60	49.0	1.98
12/19/2017	WILSON TRUST, WOODROW & JOANN	BR TOTAL INC & REAL ESTATE FUND A	0.00	2.08	0.00	2.08	49.0	0.89
12/19/2017	TMICO	BR TOTAL INC & REAL ESTATE FUND A	0.00	31.75	0.00	31.75	49.0	13.65
12/19/2017	TMICO	BR TOTAL INC & REAL ESTATE FUND A	0.00	27.36	0.00	27.36	49.0	11.76
12/19/2017	TMICO	BR TOTAL INC & REAL ESTATE FUND C	0.00	17.63	0.00	17.63	49.0	7.58
* MARK-S142 ALTS SMITH SUBTOTAL *			\$0.00	\$85.28	\$0.00	\$85.28		\$36.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,050.00	\$136.83	\$0.00	\$136.83		\$58.32

BROKER COMMISSION TOTALS

Broker Transactions	\$380.48
Split Transactions	\$0.00
Override Transactions	\$58.32
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$438.80
Year-to-date Gross	\$115,649.68
Year-to-date Earnings	\$174,286.56

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