



All Companies

03/29/2018

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COMMISSION STATEMENT

April 02, 2018

JERRY SINGLETON
700 THROCKMORTON STREET

BROKER #: 8373
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/15/2018	ALTIERI, NICOLE	-PUTNAM	B	26.78	2.50	0.00	2.50	90.0	2.25
03/15/2018	SHORTER, ANNIE /ARLESTER	-VIRTUS FUNDS	B	30.00	1.50	0.00	1.50	90.0	1.35
02/28/2018	TRAILS	DELAWARE - GENERAL	B	89.98	89.98	0.00	89.98	90.0	80.98
02/28/2018	TRAILS	DELAWARE - GENERAL	B	11.32	11.32	0.00	11.32	90.0	10.19
02/28/2018	TRAILS	DREYFUS - GENERAL	B	0.34	0.34	0.00	0.34	90.0	0.31
02/28/2018	TRAILS	EATON VANCE - GENERAL	B	4.80	4.80	0.00	4.80	90.0	4.32
02/28/2018	TRAILS	EATON VANCE - GENERAL	B	1.54	1.54	0.00	1.54	90.0	1.39
03/09/2018	000000000145894 STEWART, KELLY	FRANKLIN BALANCED FUND - CLASS C	B	1,000.00	10.00	0.00	10.00	90.0	9.00
P									
03/05/2018	000011624774387 JT TEN	FRANKLIN FEDERAL TAX FREE INCM FD C B		200.00	8.00	0.00	8.00	90.0	7.20
03/15/2018	00001728334 SANDAGO, ALLYSON	JOHN HANCOCK GROWTH & INCOME FD CL B		100.00	4.25	0.00	4.25	90.0	3.83
03/15/2018	00004994783 SINEWAY, DANIEL C	-VIRTUS FUNDS	B	300.00	15.00	0.00	15.00	90.0	13.50
03/15/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
03/15/2018	00042508591 UTMA/TX	PUTNAM EQUITY INCOME FD CL A	B	200.00	10.00	0.00	10.00	90.0	9.00
03/12/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A B		950.00	26.13	0.00	26.13	90.0	23.52
03/12/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	5.50	0.00	5.50	90.0	4.95
03/12/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT B		50.00	2.13	0.00	2.13	90.0	1.92
03/20/2018	00063718228 THOMPSON, JOHN	AMERICAN FUNDS WA MUTUAL INVES B		50.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.88	0.00	1.88	90.0	1.69
03/15/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A	B	125.00	3.44	0.00	3.44	90.0	3.10
03/15/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
03/16/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	1.38	0.00	1.38	90.0	1.24
03/15/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.38	0.00	1.38	90.0	1.24
03/15/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	0.50	0.00	0.50	90.0	0.45
03/12/2018	00081489987 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90
03/12/2018	00081490017 STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	1.00	0.00	1.00	90.0	0.90
03/15/2018	00083335257 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
03/12/2018	00085279516 ALTIERI, NICOLE	THE GROWTH FUND OF AMERICA-529A	B	75.00	2.81	0.00	2.81	90.0	2.53
03/15/2018	00086483945 SINGLETON,	THE NEW ECONOMY FUND-C	B	350.00	3.50	0.00	3.50	90.0	3.15

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
ELIZABETH									
03/15/2018	00086502248 RUEDEMAN, KOBIE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	00087833281 GARRISON,	GLOBAL GROWTH PORTFOLIO-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
JAMES/KATE									
03/12/2018	00089307555 JOBE, LISA GLENN	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
03/15/2018	00089704151 DELK, KYLIE/BAYLIE	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
03/16/2018	00431134561 MINGUS, TIM N	PUTNAM GROWTH OPPORTUNITIES FD CL A B		100.00	2.75	0.00	2.75	90.0	2.48
03/05/2018	00551021580 UNIF TRANSFER TO	PUTNAM GROWTH OPPORTUNITIES FD CL A B		25.00	1.25	0.00	1.25	90.0	1.13
M/A, U/TX									
03/05/2018	00551021580 UNIF TRANSFER TO	PUTNAM INVESTORS FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
M/A, U/TX									
03/15/2018	00551326780 ALTIERI,	-PUTNAM	B	17.80	1.25	0.00	1.25	90.0	1.13
KEVIN/VINCENT									
03/12/2018	00671173702 ORENDER, KARI	INVESCO VAN KAMPEN G & I FD	B	25.00	1.25	0.00	1.25	90.0	1.13
03/12/2018	00671173702 ORENDER, KARI	IVK AMERICAN FRANCHISE FUND - CLASS B		25.00	1.25	0.00	1.25	90.0	1.13
03/15/2018	00671187305 FISCHER JT TEN,	IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	4.00	0.00	4.00	90.0	3.60
WILLIAM									
03/01/2018	02000062626 TERRY,	-SCHOLARS EDGE 529 PLAN	B	25.00	0.75	0.00	0.75	90.0	0.68
STACEY/ARDIS									
03/01/2018	02000062626 GRAYSON TERRY	ULTRA CONSERVATIVE PORTFOLIO A	B	25.00	0.75	0.00	0.75	90.0	0.68
BENEFICIARY, ARDIS									
03/15/2018	05013075016 DAVIS, LENORA	DELAWARE - GENERAL	B	25.00	1.25	0.00	1.25	90.0	1.13
03/05/2018	05043109999 YETTER, SUSAN	AIM TECHNOLOGY FUND - CLASS A	B	55.55	1.67	0.00	1.67	90.0	1.50
03/05/2018	05043109999 YETTER, SUSAN	IVK AMERICAN FRANCHISE FUND - CLASS B		55.55	1.67	0.00	1.67	90.0	1.50
03/20/2018	05051919255 THOMPSON, CATHY	INVESCO STRUCTURED CORE FUND -CLASS B		50.00	2.50	0.00	2.50	90.0	2.25
03/20/2018	06910027819 CORNSTUBBLE,	INVESCO VAN KAMPEN G & I FD	B	50.00	2.50	0.00	2.50	90.0	2.25
BARBARA									
03/16/2018	08184753175 CARROLL, MELINDA	MFS EMERGING GROWTH FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/16/2018	08184753824 BROWN, DAVID P.	MFS TOTAL RETURN FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	08697809525 TEDDER, THOMAS V	COLUMBIA SELIGMAN COMM & INFO FD A B		50.00	1.88	0.00	1.88	90.0	1.69
03/05/2018	3301699247 LESSOR, RANDY	OPPENHEIMER GLOBAL FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
03/05/2018	7004732002 ORENDER, KARI A	OPPENHEIMER MAIN STREET INCOME & GR B		25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *				\$5,668.66	\$266.55	\$0.00	\$266.55		\$239.95
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	4,413.52	4,413.52	0.00	4,413.52	90.0	3,972.17
* STOCKS SUBTOTAL *				\$4,413.52	\$4,413.52	\$0.00	\$4,413.52		\$3,972.17

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BROKER TRANSACTIONSPRODUCT CATEGORY: VARIABLE ANNUITIES

03/22/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	2.68	2.68	0.00	2.68	90.0	2.41
03/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	49.91	49.91	0.00	49.91	90.0	44.92
* VARIABLE ANNUITIES SUBTOTAL *				\$52.59	\$52.59	\$0.00	\$52.59		\$47.33

PRODUCT CATEGORY: LIFE INSURANCE

03/10/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13
03/19/2018	DAY, NADEAN W.	NATIONWIDE LIFE	B	1,000.00	16.80	0.00	16.80	90.0	15.12
* LIFE INSURANCE SUBTOTAL *				\$1,125.00	\$18.05	\$0.00	\$18.05		\$16.25

PRODUCT CATEGORY: RIA

01/12/2018	35117029 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	76.66	0.00	76.66	90.0	68.99
01/12/2018	50875792 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	214.00	0.00	214.00	90.0	192.60
01/12/2018	58959215 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
01/12/2018	61321609 WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	343.66	0.00	343.66	90.0	309.29
01/12/2018	69982324 PELIZZONI FAM REV TRST, EUGENE & BECKY	SIGNAL SECURITIES RIA	B	0.00	528.00	0.00	528.00	90.0	475.20
01/12/2018	71160962 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	185.66	0.00	185.66	90.0	167.09
01/12/2018	90838267 KENNEDY TRUST, MARY RUTH	SIGNAL SECURITIES RIA	B	0.00	491.34	0.00	491.34	90.0	442.21
* RIA SUBTOTAL *				\$0.00	\$1,891.32	\$0.00	\$1,891.32		\$1,702.18

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	75.35	0.00	75.35	90.0	67.83
04/02/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	3.79	0.00	3.79	90.0	3.41
04/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	229.93	0.00	229.93	90.0	206.94
04/02/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	21.28	0.00	21.28	90.0	19.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$330.35	\$0.00	\$330.35		\$297.34
*** BROKER TRANSACTIONS TOTAL ***				\$11,259.77	\$6,972.38	\$0.00	\$6,972.38		\$6,275.22

SPLIT TRANSACTIONSBROKER: SINGLETON/SNOW #9575

01/12/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.83	0.00	115.83	90.0	104.25
01/12/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.17	0.00	167.17	90.0	150.45
* SINGLETON/SNOW SUBTOTAL *				\$0.00	\$283.00	\$0.00	\$283.00		\$254.70

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
01/12/2018 15381199	WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018 17599493	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.83	0.00	62.83	90.0	56.55
01/12/2018 31646344	DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018 41564753	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
01/12/2018 41989330	YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	49.83	0.00	49.83	90.0	44.85
01/12/2018 42529214	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.83	0.00	79.83	90.0	71.85
01/12/2018 45771580	WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	130.67	0.00	130.67	90.0	117.60
01/12/2018 51406766	WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.83	0.00	93.83	90.0	84.45
01/12/2018 62437500	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	242.83	0.00	242.83	90.0	218.55
01/12/2018 63181693	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
01/12/2018 67846422	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
01/12/2018 68333401	MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.83	0.00	63.83	90.0	57.45
01/12/2018 69683604	WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
01/12/2018 82910782	LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	90.0	53.70
01/12/2018 86316364	WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	62.50	0.00	62.50	90.0	56.25
* SINGLETON/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$1,197.32</u>	<u>\$0.00</u>	<u>\$1,197.32</u>		<u>\$1,077.60</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$1,480.32</u>	<u>\$0.00</u>	<u>\$1,480.32</u>		<u>\$1,332.30</u>

ADJUSTMENTS

04/02/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-889.50
04/02/2018	HILLTOP: CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-281.76
04/02/2018	HILLTOP: MISC TICKET FEES	0.00	0.00	0.00	0.00	39.50
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-1,131.76</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,275.22
Split Transactions	\$1,332.30
Override Transactions	\$0.00
Adjustments	\$-1,131.76
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$6,475.76
Year-to-date Earnings	\$54,974.53