



COMMISSION STATEMENT February 15, 2017

DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2017	VON DER LINDE, LAURA	AM FUNDS BOND FUND OF AMERICA C	0.00	4.55	0.00	4.55	80.0	3.64
01/11/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	80.0	2.66
01/11/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.67	0.00	2.67	80.0	2.14
01/11/2017	MILLER, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.24	0.00	5.24	80.0	4.19
01/11/2017	MILLER, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.75	0.00	8.75	80.0	7.00
01/11/2017	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.46	0.00	6.46	80.0	5.17
01/11/2017	VON DER LINDE, PETER	OPPENHEIMER GLOBAL A	0.00	34.19	0.00	34.19	80.0	27.35
01/11/2017	MILLER, PATRICIA	OPPENHEIMER GLOBAL A	0.00	12.11	0.00	12.11	80.0	9.69
01/11/2017	MILLER, PATRICIA	OPPENHEIMER GLOBAL A	0.00	20.37	0.00	20.37	80.0	16.30
01/11/2017	MILLER, PATRICIA	PUTNAM CONV SECURITIES A	0.00	2.61	0.00	2.61	80.0	2.09
01/11/2017	MILLER, PATRICIA	PUTNAM INTL CAPITAL OPPS-A	0.00	2.03	0.00	2.03	80.0	1.62
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$102.30	\$0.00	\$102.30		\$81.85
<u>PRODUCT CATEGORY: RIA</u>								
02/01/2017	VON DER LINDE, ELIZABETH	RBC	0.00	119.76	0.00	119.76	90.0	107.78
02/01/2017	VON DER LINDE, PETER	RBC	0.00	96.59	0.00	96.59	90.0	86.93
02/01/2017	VON DER LINDE, PETER	RBC	0.00	2,308.13	0.00	2,308.13	90.0	2,077.32
02/01/2017	VON DER LINDE, ELIZABETH	RBC	0.00	403.25	0.00	403.25	90.0	362.93
02/01/2017	VON DER LINDE, ELIZABETH	RBC	0.00	914.28	0.00	914.28	90.0	822.85
02/01/2017	VON DER LINDE, ELIZABETH	RBC	0.00	19.92	0.00	19.92	90.0	17.93
02/01/2017	VON DER LINDE, PETER	RBC	0.00	246.84	0.00	246.84	90.0	222.16
02/01/2017	MILLER, PATRICIA	RBC	0.00	552.61	0.00	552.61	90.0	497.35
02/01/2017	MILLER, PATRICIA	RBC	0.00	345.30	0.00	345.30	90.0	310.77
* RIA SUBTOTAL *			\$0.00	\$5,006.68	\$0.00	\$5,006.68		\$4,506.02
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$5,108.98	\$0.00	\$5,108.98		\$4,587.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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ADJUSTMENTS

02/15/2017		*MANAGED FEE EXPENSE: RBC FEES	0.00					-268.61
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-268.61

BROKER COMMISSION TOTALS

Broker Transactions	\$4,587.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-268.61
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$44.51
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,363.77
Year-to-date Gross	\$5,108.98
Year-to-date Earnings	\$4,587.87

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