Bill Payment Stub

Check Date: 5/7/2018
Check No.: ACH
Check Amount: 7,514.42

Dominion Investor Services P.O. Box 4768 Horseshoe Bay, TX 78657-4768 Paid To: KIMBERLY A. MELTON 2802 N. HIGHLAND AVE. SUITE B JACKSON, TN 38305

| Date | Type | Reference | Original Amt. | Balance | Discount | Payment |
|-----------|--------|-------------|---------------|----------|----------|----------|
| 4/30/2018 | Bill | COMMISSIONS | 7,634.42 | 7,634.42 | | 7,634.42 |
| 5/1/2018 | Credit | E&O | -120.00 | -120.00 | | -120.00 |

Page No. 1

COMMISSION STATEMENT April 30, 2018

KIM MELTON-D097

BROKER #: D097 BRANCH: DME

, TX

COMMISSION STATEMENT for KIM MELTON-D097

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------|---------------------------|------------------------------|-----------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | CLEAR | ING TRAN | SACTIONS | | | | | |
| PRODUCT CA | TEGORY: MUTUAL FUNDS | | | | | | | | |
| 04/12/2018 4 | 60884746 LANIER (TOD), | MF AMCAP FUND-A | S | 15,012.45 | 637.50 | 2.50 | 635.00 | 85.0 | 539.75 |
| 04/12/2018 4 | 60884746 LANIER (TOD), | MF NEW PERSPECTIVE FUND-A | S | 15,012.45 | 637.50 | 2.50 | 635.00 | 85.0 | 539.75 |
| | | * MUTUAL FUNDS SU | JBTOTAL * | \$30,024.90 | \$1,275.00 | \$5.00 | \$1,270.00 | | \$1,079.50 |
| PRODUCT CA | TEGORY: MISCELLANEOUS | | | | | | | | |
| 04/13/2018 a | 03133900 MELTON-D097, KIM | MI MUTUAL FUND MISC FEE 460 | 884746 S | 0.00 | 10.00 | 0.00 | 10.00 | 85.0 | 8.50 |
| 04/13/2018 a | 03133900 MELTON-D097, KIM | MI MUTUAL FUND MISC FEE 460 | 884746 S | 0.00 | 10.00 | 0.00 | 10.00 | 85.0 | 8.50 |
| | | * MISCELLANEOUS SU | JBTOTAL * | \$0.00 | \$20.00 | \$0.00 | \$20.00 | | \$17.00 |
| פארטווכיד כישי | TEGORY: MUTUAL FUND 12E | TPATIS | | | | | | | |
| | fc133900 MELTON 133900 | MFT AIM DIVERSIFIED DIVIDEND | FUND - B | 0.00 | 4.30 | 0.00 | 4.30 | 85.0 | 3.66 |
| | fc133900 MELTON 133900 | MFT AIM GLOBAL GROWTH FUND - | | 0.00 | 4.27 | 0.00 | 4.27 | 85.0 | 3.63 |
| | fc133900 MELTON 133900 | MFT AMCAP FUND-A | В | 0.00 | 1.14 | 0.00 | 1.14 | 85.0 | 0.97 |
| | fc133900 MELTON 133900 | MFT AMCAP FUND-A | В | 0.00 | 2.27 | 0.00 | 2.27 | 85.0 | 1.93 |
| | fc133900 MELTON 133900 | MFT AMCAP FUND-A | В | 0.00 | 2.80 | 0.00 | 2.80 | 85.0 | 2.38 |
| | fc133900 MELTON 133900 | MFT BLACKROCK MULTI-ASSET IN | _ | 0.00 | 15.36 | 0.00 | 15.36 | 85.0 | 13.06 |
| | fc133900 MELTON 133900 | MFT BLACKROCK BALANCED CAPIT | | 0.00 | 8.90 | 0.00 | 8.90 | 85.0 | 7.57 |
| | fc133900 MELTON 133900 | MFT CAPITAL INCOME BUILDER-A | | 0.00 | 1.81 | 0.00 | 1.81 | 85.0 | 1.54 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FRANKLIN INCOME FUND CLA | SS C B | 0.00 | 7.60 | 0.00 | 7.60 | 85.0 | 6.46 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FRANKLIN INCOME FUND CLA | SS C B | 0.00 | 10.74 | 0.00 | 10.74 | 85.0 | 9.13 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FRANKLIN INCOME FUND CLA | SS C B | 0.00 | 25.73 | 0.00 | 25.73 | 85.0 | 21.87 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FRANKLIN INCOME FUND CLA | SS A B | 0.00 | 0.66 | 0.00 | 0.66 | 85.0 | 0.56 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FRANKLIN INCOME FUND CLA | SS A B | 0.00 | 0.84 | 0.00 | 0.84 | 85.0 | 0.71 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FRANKLIN INCOME FUND CLA | SS A B | 0.00 | 1.17 | 0.00 | 1.17 | 85.0 | 0.99 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT FUNDAMENTAL INVESTORS-A | В | 0.00 | 2.71 | 0.00 | 2.71 | 85.0 | 2.30 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT INVESCO AMERICAN VALUE F | UND - B | 0.00 | 4.33 | 0.00 | 4.33 | 85.0 | 3.68 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT INVESCO HIGH YIELD MUNIC | IPAL FD B | 0.00 | 152.17 | 0.00 | 152.17 | 85.0 | 129.34 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT INVESCO HIGH YIELD MUNIC | IPAL FD B | 0.00 | 168.18 | 0.00 | 168.18 | 85.0 | 142.95 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT INVESCO HIGH YIELD MUNIC | IPAL FD B | 0.00 | 510.98 | 0.00 | 510.98 | 85.0 | 434.33 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT INVESCO HIGH YIELD MUNIC | IPAL FD B | 0.00 | 6.54 | 0.00 | 6.54 | 85.0 | 5.56 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT INVESCO HIGH YIELD MUNIC | IPAL FD B | 0.00 | 150.10 | 0.00 | 150.10 | 85.0 | 127.59 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT JP MORGAN INCOME BUILDER | FD CL B | 0.00 | 4.57 | 0.00 | 4.57 | 85.0 | 3.88 |

| 05/02/2018 | | COMMI | SSION STATEMENT for | VIM MET TON-DO | 7 | | | F | Page No. 2 |
|--------------|----------------------------|-------------------------|---------------------|----------------|------------|----------|------------|------|------------|
| | | COMMI | SSION STATEMENT TO | INVESTMENT | GROSS | CLEARING | NET | | BROKER |
| TRADE DATE | CLIENT | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT LARGE CAP EQUITY | A B | 0.00 | 1.61 | 0.00 | 1.61 | 85.0 | 1.37 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT LORD ABBETT HIGH | YIELD MUNI B | 0.00 | 10.78 | 0.00 | 10.78 | 85.0 | 9.16 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT NEW PERSPECTIVE E | TUND-A B | 0.00 | 1.11 | 0.00 | 1.11 | 85.0 | 0.94 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT NEW PERSPECTIVE E | TUND-A B | 0.00 | 2.20 | 0.00 | 2.20 | 85.0 | 1.87 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT NEW PERSPECTIVE E | TUND-A B | 0.00 | 2.43 | 0.00 | 2.43 | 85.0 | 2.07 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT NEW WORLD FUND-A | В | 0.00 | 3.40 | 0.00 | 3.40 | 85.0 | 2.89 |
| 04/20/2018 m | fc133900 MELTON 133900 | MFT THE INVESTMENT CO | MPANY OF B | 0.00 | 1.89 | 0.00 | 1.89 | 85.0 | 1.61 |
| | | * MUTUAL FUND 12B1 T | RAILS SUBTOTAL * | \$0.00 | \$1,110.59 | \$0.00 | \$1,110.59 | | \$944.00 |
| | | *** CLEARING TRANSA | CTIONS TOTAL *** | \$30,024.90 | \$2,405.59 | \$5.00 | \$2,400.59 | , | \$2,040.50 |
| | | | DIRECT TRANS | ACTIONS | | | | | |
| PRODUCT CA | TEGORY: MUTUAL FUNDS | | | | | | | | |
| 04/17/2018 0 | 0086089092 DONALD, STACEY | MF CAPITAL INCOME BU | JILDER-A B | 114.58 | 3.15 | 0.00 | 3.15 | 85.0 | 2.68 |
| 04/17/2018 0 | 0086089092 DONALD, STACEY | MF CAPITAL WORLD GRO | WTH AND INCOME B | 114.58 | 3.15 | 0.00 | 3.15 | 85.0 | 2.68 |
| 04/17/2018 0 | 0086089092 DONALD, STACEY | MF FUNDAMENTAL INVES | STORS-A B | 114.58 | 3.15 | 0.00 | 3.15 | 85.0 | 2.68 |
| 04/17/2018 0 | 0086089092 DONALD, STACEY | MF NEW WORLD FUND-A | В | 114.58 | 3.15 | 0.00 | 3.15 | 85.0 | 2.68 |
| 04/16/2018 0 | 0086489661 GAMBILL, MARILY | N MF CAPITAL INCOME BU | JILDER-A B | 17.00 | 0.85 | 0.00 | 0.85 | 85.0 | 0.72 |
| 04/16/2018 0 | 0086489661 GAMBILL, MARILY | N MF FUNDAMENTAL INVES | STORS-A B | 16.50 | 0.83 | 0.00 | 0.83 | 85.0 | 0.71 |
| 04/16/2018 0 | 0086489661 GAMBILL, MARILY | N MF NEW WORLD FUND-A | В | 16.50 | 0.83 | 0.00 | 0.83 | 85.0 | 0.71 |
| 04/17/2018 0 | 0086995134 BALTHROP, HEATH | ER MF CAPITAL INCOME BU | JILDER-A B | 31.25 | 1.17 | 0.00 | 1.17 | 85.0 | 0.99 |
| 04/17/2018 0 | 0086995134 BALTHROP, HEATH | ER MF FUNDAMENTAL INVES | STORS-A B | 62.50 | 2.34 | 0.00 | 2.34 | 85.0 | 1.99 |
| 04/17/2018 0 | 0086995134 BALTHROP, HEATH | ER MF NEW WORLD FUND-A | В | 31.25 | 1.17 | 0.00 | 1.17 | 85.0 | 0.99 |
| 04/13/2018 0 | 0087246327 WYATT, WAYLON | MF CAPITAL INCOME BU | JILDER-A B | 371.25 | 10.21 | 0.00 | 10.21 | 85.0 | 8.68 |
| 04/13/2018 0 | 0087246327 WYATT, WAYLON | MF FUNDAMENTAL INVES | STORS-A B | 866.25 | 23.82 | 0.00 | 23.82 | 85.0 | 20.25 |
| 04/13/2018 0 | 0087246327 WYATT, WAYLON | MF NEW WORLD FUND-A | В | 990.00 | 27.23 | 0.00 | 27.23 | 85.0 | 23.15 |
| 04/13/2018 0 | 0087246327 WYATT, WAYLON | MF SMALLCAP WORLD FU | IND-A B | 247.50 | 6.81 | 0.00 | 6.81 | 85.0 | 5.79 |
| 04/16/2018 0 | 0087273693 ACUFF, SONYA | MF CAPITAL INCOME BU | JILDER-A B | 60.00 | 2.55 | 0.00 | 2.55 | 85.0 | 2.17 |
| 04/16/2018 0 | 0087273693 ACUFF, SONYA | MF FUNDAMENTAL INVES | STORS-A B | 150.00 | 6.38 | 0.00 | 6.38 | 85.0 | 5.42 |
| 04/16/2018 0 | 0087273693 ACUFF, SONYA | MF NEW WORLD FUND-A | В | 90.00 | 3.83 | 0.00 | 3.83 | 85.0 | 3.26 |
| 04/19/2018 0 | 0087337064 HASZ, MARIA | MF FUNDAMENTAL INVES | STORS-A B | 75.00 | 3.75 | 0.00 | 3.75 | 85.0 | 3.19 |
| 04/19/2018 0 | 0087337064 HASZ, MARIA | MF NEW WORLD FUND-A | В | 75.00 | 3.75 | 0.00 | 3.75 | 85.0 | 3.19 |
| 04/10/2018 0 | 0087408901 MCCULLAR, WHITN | EY MF CAPITAL INCOME BU | JILDER-A B | 90.00 | 3.83 | 0.00 | 3.83 | 85.0 | 3.26 |
| 04/10/2018 0 | 0087408901 MCCULLAR, WHITN | EY MF FUNDAMENTAL INVES | STORS-A B | 260.00 | 11.05 | 0.00 | 11.05 | 85.0 | 9.39 |
| 04/13/2018 0 | 0087581792 YOUNG, WILL | MF CAPITAL INCOME BU | JILDER-A B | 330.48 | 9.09 | 0.00 | 9.09 | 85.0 | 7.73 |
| 04/13/2018 0 | 0087581792 YOUNG, WILL | MF CAPITAL INCOME BU | JILDER-A B | 330.48 | 9.09 | 0.00 | 9.09 | 85.0 | 7.73 |
| 04/16/2018 0 | 0087874320 CASEY ESSARY | MF FUNDAMENTAL INVES | STORS-A B | 25.00 | 1.25 | 0.00 | 1.25 | 85.0 | 1.06 |
| 04/16/2018 0 | 0087874320 CASEY ESSARY | MF GLOBAL BALANCED H | 'UND-A B | 25.00 | 1.25 | 0.00 | 1.25 | 85.0 | 1.06 |
| | | | | | | | | | |

В

В

MF THE NEW ECONOMY FUND-A

MF THE INCOME FUND OF AMERICA-A

MF THE INCOME FUND OF AMERICA-A

MF THE INCOME FUND OF AMERICA-529A B

MF THE INCOME FUND OF AMERICA-529A B

25.00

50.00

50.00

50.00

50.00

1.25

2.13

2.13

2.13

2.13

0.00

0.00

0.00

0.00

0.00

04/16/2018 00087874320 CASEY ESSARY

04/16/2018 00088950824 HILL, LACEY

04/16/2018 00088950847 HILL, LACEY

04/16/2018 00088958030 HILL, LACEY

04/16/2018 00088950743 HILL, JONATHAN

1.06

1.81

1.81

1.81

1.81

1.25 85.0

2.13 85.0

2.13 85.0

2.13 85.0

2.13 85.0

05/02/2018 Page No. 3

| MAINTAINED MAI | TRADE DATE CLIENT | | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---|----|--------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| MAINTENNESS NATURES, NATURES | 04/16/2018 00089033081 BENNETT, DEBBIE | MF | AMERICAN BALANCED FUND-C | В | 180.00 | 1.80 | 0.00 | 1.80 | 85.0 | 1.53 |
| A-11/2-2018 000989977867 VALCH, CONTILL MF MEMICAN BALLANDE FUND-C B 120-00 1.00 0.00 1.00 85-0 0.06 | 04/16/2018 00089033081 BENNETT, DEBBIE | MF | INCOME FUND OF AMERICA CLASS C | В | 120.00 | 1.20 | 0.00 | 1.20 | 85.0 | 1.02 |
| 44/10/2018 00089977892 VLACH, RETNAMED MF MACAP FUND—C 1 112.50 1.13 0.00 1.15 8.00 0.96 04/10/2018 00089977892 VLACH, RETNAMED MF RETNAMESTANT, INVESTORS 3 112.50 1.13 0.00 1.15 8.00 0.96 04/10/2018 00089977892 VLACH, RETNAMED MF MACAP FUND—C 3 150.00 1.50 0.00 1.50 0.00 1.50 0.96 04/10/2018 00089977892 VLACH, RETNAMED MF MACAP FUND—C 3 150.00 1.50 0.00 1.50 0.00 0.15 0.96 04/10/2018 00089977892 VLACH, RETNAMED MF FUNDAMESTANT ENVESTORS 5 112.50 1.50 0.00 0.10 0.50 0.96 04/10/2018 0009977892 VLACH, RETNAMED MF MACAP FUND—C 8 500.00 0.50 0.00 0.00 0.50 0.96 04/10/2018 0000971844 FEAXIER, SELLIES MF MACAP FUND—C 8 1.00 0.15 0.00 0.15 0.00 0.15 0.00 0.15 04/10/2018 0000971844 FEAXIER, SELLIES MF CAPITAL MONED BOOKER AND INCOME 9 1.00 0.15 0.00 0.15 0.00 0.15 0.00 0.15 04/10/2018 0000971844 FEAXIER, SELLIES MF CAPITAL MONED BOOKER AND INCOME 9 1.00 0.15 0.0 | 04/16/2018 00089471835 WALTERS, NOLAN | MF | FUNDAMENTAL INVESTORS-A | В | 100.00 | 4.25 | 0.00 | 4.25 | 85.0 | 3.61 |
| 0.4111/2.018 00089977897 VLACH, EMPARAD MF MACAF PURD-C | 04/10/2018 00089977876 VLACH, CYNTHIA | MF | AMCAP FUND-C | В | 112.50 | 1.13 | 0.00 | 1.13 | 85.0 | 0.96 |
| 44/10/2018 00089977982 VIACH, BERNAD MP ANEXES PUND-C B 110.50 1.13 0.00 1.13 5.0 0.96 | 04/10/2018 00089977876 VLACH, CYNTHIA | MF | AMERICAN BALANCED FUND-C | В | 150.00 | 1.50 | 0.00 | 1.50 | 85.0 | 1.28 |
| MATRICAN BARNASCH MATRICAN BERNARD MP MARRICAN BARNASCH MATRICAN BARNASCH | 04/10/2018 00089977876 VLACH, CYNTHIA | MF | FUNDAMENTAL INVESTORS-C | В | 112.50 | 1.13 | 0.00 | 1.13 | 85.0 | 0.96 |
| MAINTACABLE COMMUNES TERROR | 04/10/2018 00089977892 VLACH, BERNARD | MF | AMCAP FUND-C | В | 112.50 | 1.13 | 0.00 | 1.13 | 85.0 | 0.96 |
| A.T. ELROPENN GROWTH FUND - | 04/10/2018 00089977892 VLACH, BERNARD | MF | AMERICAN BALANCED FUND-C | В | 150.00 | 1.50 | 0.00 | 1.50 | 85.0 | 1.28 |
| ALTH CHOOLEN SERVICES BENNIE SELLOS MF ALTH EUROPEAN GROWTH FUND - B 500.00 25.00 0.00 25.00 85.0 21.25 | 04/10/2018 00089977892 VLACH, BERNARD | MF | FUNDAMENTAL INVESTORS-C | В | 112.50 | 1.13 | 0.00 | 1.13 | 85.0 | 0.96 |
| 04/17/2018 04000471844 FRAXIER, SELLUS | 04/16/2018 02500080343 DENNIS E SANDERS | MF | AIM EUROPEAN GROWTH FUND - | В | 500.00 | 25.00 | 0.00 | 25.00 | 85.0 | 21.25 |
| 04/17/2018 04000471844 FRAXIER, SELLUS MF CAPITAL INCOME BUILDER CLASS C B 20.00 0.00 0.00 0.00 0.00 0.00 0.15 8.0 0.13 04/17/2018 04000471844 FRAXIER, SELLUS MF CAPITAL WORLD GROWTH AND INCOME B 25.00 0.15 0.00 0.15 85.0 0.21 04/17/2018 04000471844 FRAXIER, SELLUS MF CHEMENTS. C B 25.00 0.15 0.00 0.15 85.0 0.21 04/17/2018 04000471844 FRAXIER, SELLUS MF CHEMENTS. C B 15.00 0.15 0.00 0.15 85.0 0.21 04/17/2018 04000471844 FRAXIER, SELLUS MF CHEMENTS. C B 15.00 0.15 0.00 0.15 85.0 0.21 04/17/2018 04000483654 LUNN, JOSH MF CAMCAP FUND OF AMERICA-C B 10.00 0.15 0.00 0.15 85.0 0.00 04/16/2018 04000483654 LUNN, JOSH MF CAMCAP FUND OF AMERICA-C B 1114.58 5.73 0.00 5.73 85.0 0.85 04/16/2018 04000483654 LUNN, JOSH MF CAMCAP FUND AND AMERICA B 114.58 5.73 0.00 5.73 85.0 0.85 04/16/2018 04000483654 LUNN, JOSH MF CAMCAP FUND AMERICA B 114.58 5.73 0.00 5.73 85.0 0.58 04/16/2018 04000483654 LUNN, JOSH MF CAMCAP FUND AMERICA B 114.58 5.73 0.00 5.73 85.0 0.58 04/16/2018 04000586094 FOWLER, STEVE MF COLLEGE 2010 FUND A B 100.00 0.00 0.00 0.00 0.00 0.57 85.0 0.58 04/20/2018 04000566094 FOWLER, STEVE MF COLLEGE 2010 FUND AMERICA CLASS C B 80.00 0.00 0.00 0.00 0.00 0.00 0.00 0 | 04/16/2018 03810917009 SANDERS, DENNIS | MF | AIM EUROPEAN GROWTH FUND - | В | 500.00 | 25.00 | 0.00 | 25.00 | 85.0 | 21.25 |
| 04/17/2018 04000471844 FRAXIER, SELLUS MF CAPITAL MORLD GROWTH AND INCOME B 15.00 0.15 0.00 0.15 85.0 0.13 04/17/2018 04000471844 FRAXIER, SELLUS MF NEW PERSPECTIVE FUND-C B 15.00 0.25 0.00 0.25 85.0 0.23 04/17/2018 04000471844 PRAXIER, SELLUS MF NEW PERSPECTIVE FUND-C B 15.00 0.15 0.00 0.15 85.0 0.23 04/17/2018 04000471844 PRAXIER, SELLUS MF NEW PERSPECTIVE FUND-C B 10.00 0.10 0.00 0.10 85.0 0.09 04/16/2018 04000471844 PRAXIER, SELLUS MF NEW PERSPECTIVE FUND-C B 10.00 0.10 0.00 0.10 85.0 0.09 04/16/2018 04000483654 LURN, JOSH MF WF CAPITAL MORLD GROWTH AND INCOME B 11.58 5.73 0.00 5.73 85.0 4.875 04/16/2018 04000483654 LURN, JOSH MF WF CAPITAL MORLD GROWTH AND INCOME B 137.50 6.88 0.00 6.88 85.0 5.88 04/16/2018 04000483654 LURN, JOSH MF NEW PERSPECTIVE FUND-A B 137.50 6.88 0.00 6.88 85.0 5.88 04/16/2018 04000483654 LURN, JOSH MF NEW PERSPECTIVE FUND-A B 137.50 6.89 0.00 5.73 85.0 4.875 04/16/2018 04000566094 FOWLER, STEVE MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 3.50 85.0 2.98 04/20/2018 04000566094 FOWLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FOWLER, STEVE MF INCOME PUND OF AMERICAC CLASS C B 80.00 0.80 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FOWLER, STEVE MF INCOME PUND OF AMERICAC CLASS C B 80.00 0.80 0.00 0.60 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF RESPECTIVE FUND-C B 80.00 0.80 0.00 0.00 0.60 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF MF RESPECTIVE FUND-C B 80.00 0.80 0.00 0.00 0.60 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF WFRESPECTIVE FUND-C B 100.00 0.00 0.00 0.00 0.60 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF WFRESPECTIVE FUND-C B 100.00 0.00 0.00 0.00 0.00 0.00 0.00 | 04/17/2018 04000471844 FRAXIER, SELLUS | MF | AMCAP FUND-C | В | 15.00 | 0.15 | 0.00 | 0.15 | 85.0 | 0.13 |
| 04/17/2018 04000471844 FRAXIER, SELLUS MF 100 MERICA-C B 10.00 0.15 0.00 0.15 85.0 0.13 04/17/2018 04000471844 FRAXIER, SELLUS MF 100 MERICA-C B 10.00 0.10 0.00 0.10 85.0 0.09 04/16/2018 04000483654 LUNN, JOSH MF ARCAP FUND-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000483654 LUNN, JOSH MF CRIMBARITAL INVESTORS-A B 137.50 6.88 0.00 6.88 85.0 5.85 04/16/2018 04000483654 LUNN, JOSH MF CRIMBARITAL INVESTORS-A B 137.50 6.88 0.00 6.88 85.0 5.85 04/16/2018 04000483654 LUNN, JOSH MF CRIMBARITAL INVESTORS-A B 137.50 6.88 0.00 5.85 04/16/2018 04000566094 FORLER, STEVE MF CRIMBARITAL INVESTORS-C B 100.00 1.00 0.00 0.00 85.0 0.05 0.00 0.00 0.00 0 | 04/17/2018 04000471844 FRAXIER, SELLUS | MF | CAPITAL INCOME BUILDER CLASS C | В | 20.00 | 0.20 | 0.00 | 0.20 | 85.0 | 0.17 |
| 04/17/2018 04000471844 FRAXIER, SELLUS MF NEW PERSPECTIVE FUND-C B 15.00 0.15 0.00 0.15 85.0 0.03 04/17/2018 04000481844 FRAXIER, SELLUS MF THE GROWTH FUND OF AMERICA-C B 10.00 0.10 0.00 0.10 85.0 0.09 04/16/2018 04000483654 LUNN, JOSH MF CAPITAL WORLD GROWTH AND INCOME B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000483654 LUNN, JOSH MF CAPITAL WINESTORS-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000483654 LUNN, JOSH MF CAPITAL WINESTORS-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000503196 PARIS, OLIVER MF COLLEGE 2030 FUND-529A B 114.58 5.73 0.00 5.73 85.0 4.87 04/20/2018 04000566094 FONLER, STEVE MF CAPITAL WINESTORS-C B 100.00 1.00 0.00 0.00 0.00 85.0 85.0 4.20 04/20/2018 04000566094 FONLER, STEVE MF CAPITAL WINESTORS-C B 100.00 0.00 0.00 0.00 0.00 85.0 0.05 0.00 0.00 | 04/17/2018 04000471844 FRAXIER, SELLUS | MF | CAPITAL WORLD GROWTH AND INCOM | ЕВ | 15.00 | 0.15 | 0.00 | 0.15 | 85.0 | 0.13 |
| 04/17/2018 04000437634 LINN, JOSH MF AMCAF FUND. A B 114.58 5.73 0.00 5.73 85.0 0.487 04/16/2018 04000438564 LINN, JOSH MF CARITAL NORLD GROWTH AND INCOME B 114.58 5.73 0.00 5.73 85.0 3.89 04/16/2018 04000438564 LINN, JOSH MF CARITAL NORLD GROWTH AND INCOME B 137.50 6.88 0.00 6.88 85.0 3.89 04/16/2018 04000438564 LINN, JOSH MF PUNDAMENTAL INVESTORS-A B 137.50 6.88 0.00 5.83 85.0 5.85 04/16/2018 04000438564 LINN, JOSH MF NEW PERSPECTIVE FUND-A B 100.00 3.50 0.00 5.73 85.0 4.87 04/16/2018 04000593196 PARIS, OLIVER MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 5.73 85.0 2.98 04/20/2018 04000556094 FOWLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 1.00 0.00 0.00 0.60 85.0 0.50 04/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND-C B 800.00 0.00 0.00 0.00 0.00 85.0 0.00 0.0 | 04/17/2018 04000471844 FRAXIER, SELLUS | MF | FUNDAMENTAL INVESTORS-C | В | 25.00 | 0.25 | 0.00 | 0.25 | 85.0 | 0.21 |
| 04/16/2018 04000483654 LUNN, JOSH MF AMCAP FUND-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000483654 LUNN, JOSH MF CAPITAL WORLD GROWTH AND INCOME B 91.66 4.58 0.00 4.58 85.0 3.89 04/16/2018 04000483654 LUNN, JOSH MF FUNDAMENTAL INVESTORS-A B 127.50 6.88 0.00 5.73 85.0 4.87 04/16/2018 04000483654 LUNN, JOSH MF NEW PERSPECTIVE FUND-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000563136 PARIS, OLIVER MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 3.50 85.0 2.98 04/20/2018 0400056094 FOWLER, STEVE MF AMERICAN BLANCED FUND-C B 6.00 0.00 0.00 0.00 85.0 0.00 85.0 0.00 0.0 | 04/17/2018 04000471844 FRAXIER, SELLUS | MF | NEW PERSPECTIVE FUND-C | В | 15.00 | 0.15 | 0.00 | 0.15 | 85.0 | 0.13 |
| 04/16/2018 04000483654 LUNN, JOSH MF CAPITAL WORLD GROWTH AND INCOME B 91.66 4.58 0.00 4.58 85.0 3.89 04/16/2018 04000483654 LUNN, JOSH MF PUNDAMENTAL INVESTORS-A B 137.50 6.88 0.00 6.88 85.0 5.85 04/16/2018 04000483654 LUNN, JOSH MF NEW PERSPECTIVE FUND-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000503195 PARIS, OLIVER MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 3.50 85.0 2.98 04/20/2018 04000566094 FONLER, STEVE MF COLLEGE 2030 FUND-529A B 100.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FONLER, STEVE MF PUNDAMENTAL INVESTORS-C B 80.00 0.60 0.60 0.00 0.60 85.0 0.85 04/20/2018 04000566094 FONLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 80.00 0.60 0.00 0.80 85.0 0.85 04/20/2018 04000566094 FONLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 80.00 0.60 0.00 0.80 85.0 0.68 04/20/2018 04000566094 FONLER, STEVE MF INE GROWTH FUND OF AMERICA CLASS C B 80.00 0.60 0.00 0.60 85.0 0.85 04/20/2018 04000566094 FONLER, STEVE MF THE GROWTH FUND OF AMERICA CLASS C B 80.00 0.60 0.00 0.60 85.0 0.85 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 75.00 0.00 0.00 0.00 0.60 85.0 0.85 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 105.00 5.25 0.00 5.25 0.00 5.25 85.0 0.46 04/13/2018 04000574031 JEFFERY O MF NACAP FUND-C B 10.00 0.00 0.00 0.00 0.00 0.00 0.00 0 | 04/17/2018 04000471844 FRAXIER, SELLUS | MF | THE GROWTH FUND OF AMERICA-C | В | 10.00 | 0.10 | 0.00 | 0.10 | 85.0 | 0.09 |
| 04/16/2018 04000483654 LUNN, JOSH MF FUNDAMENTAL INVESTORS-A B 137.50 6.88 0.00 6.88 85.0 5.85 04/16/2018 04000483654 LUNN, JOSH MF NEW PERSPECTIVE FUND-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 0400053694 FARIS, OLIVER MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 3.50 85.0 2.98 04/20/2018 04000566094 FONLER, STEVE MF COLLEGE 2030 FUND-C B 100.00 1.00 0.00 1.00 85.0 0.51 04/20/2018 04000566094 FONLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 1.00 0.00 1.00 85.0 0.86 04/20/2018 04000566094 FONLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 0.60 0.60 0.00 0.80 85.0 0.68 04/20/2018 04000566094 FONLER, STEVE MF NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FONLER, STEVE MF NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000574031 JEFFERY O MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 85.0 0.51 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 100.00 1.00 0.00 1.00 85.0 0.10 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND-A B 105.00 5.25 0.00 5.25 85.0 0.416 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAF FUND-C B 650.00 6.50 0.00 6.50 85.0 1.00 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL INCRED FUND-C B 650.00 6.50 0.00 6.50 85.0 1.00 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL MORLD GROWTH AND INCRED B 975.00 9.75 0.00 9.75 85.0 1.38 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL MORLD GROWTH AND INCRED B 975.00 9.75 0.00 9.75 85.0 1.38 04/19/2018 04000824788 TOWNSEND, SUSAN MF | 04/16/2018 04000483654 LUNN, JOSH | MF | AMCAP FUND-A | В | 114.58 | 5.73 | 0.00 | 5.73 | 85.0 | 4.87 |
| 04/16/2018 04000483654 LUNN, JOSH MF NEW PERSPECTIVE FUND-A B 114.58 5.73 0.00 5.73 85.0 4.87 04/16/2018 04000503196 PARIS, OLIVER MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 3.50 85.0 2.98 04/20/2018 04000566094 FOWLER, STEVE MF AMERICAN BALANCED FUND-C B 60.00 1.00 0.00 1.00 85.0 0.88 04/20/2018 04000566094 FOWLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 1.00 0.00 1.00 85.0 0.88 04/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 80.00 0.80 0.00 0.00 0.80 85.0 0.88 04/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 80.00 0.80 0.00 0.00 0.60 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF NEW PERSPECTIVE FUND-C B 60.00 0.00 0.00 0.00 1.00 85.0 0.88 04/20/2018 04000566094 FOWLER, STEVE MF THE GROWTH FUND OF AMERICA-C B 10.00 1.00 0.00 1.00 85.0 0.88 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 100.00 1.00 0.00 1.00 3.75 85.0 3.19 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 100.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000584788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000584788 TOWNSEND, SUSAN MF AMCAP FUND-C B 650.00 6.50 0.00 13.00 85.0 14.00 04/19/2018 04000584788 TOWNSEND, SUSAN MF AMCAP FUND-C B 650.00 6.50 0.00 9.75 85.0 85.0 04/19/2018 04000584788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,625.00 16.25 0.00 9.75 85.0 85.0 04/19/2018 04000584788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,625.00 9.75 0.00 9.75 85.0 85.0 04/19/2018 04000584788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 9.75 0.00 9.75 85.0 85.0 85.0 04/19/2018 04000584788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 9.75 0.00 9.75 85.0 85.0 85.0 04/19/2018 04000584788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 9.75 0.00 9.75 85.0 85.0 85.0 04/19/2018 04000584788 TOWNSEND, SUSAN MF THE BOND FUND FUNDAMENTAL INVESTORS-C B 1,625.00 9.75 0.00 9.75 85.0 85.0 85.0 85.0 85.0 85.0 85.0 85. | 04/16/2018 04000483654 LUNN, JOSH | MF | CAPITAL WORLD GROWTH AND INCOM | ЕВ | 91.66 | 4.58 | 0.00 | 4.58 | 85.0 | 3.89 |
| 04/16/2018 04000503196 PARIS, OLIVER MF COLLEGE 2030 FUND-529A B 100.00 3.50 0.00 3.50 85.0 2.98 04/20/2018 04000566094 FOWLER, STEVE MF ENDAMENTAL INVESTORS-C B 100.00 1.00 0.00 1.00 85.0 0.85 0.85 0.4/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 100.00 0.60 0.00 0.00 1.00 85.0 0.85 0.4/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 100.00 0.60 0.00 0.00 0.60 85.0 0.66 0.4/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 100.00 0.60 0.00 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FOWLER, STEVE MF THE GROWTH FUND OF AMERICA-C B 100.00 0.60 0.00 0.00 0.60 85.0 0.85 0.4/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 100.00 1.00 0.00 1.00 85.0 0.85 0.4/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 120.00 6.00 0.00 6.00 85.0 5.10 0.4/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 100.00 1.00 0.00 1.00 85.0 5.25 85.0 0.4/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAF FUND-C B 1.300.00 1.300 0.00 1.300 85.0 11.00 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 85.0 85.0 0.00 0.00 0.00 0.00 0.0 | 04/16/2018 04000483654 LUNN, JOSH | MF | FUNDAMENTAL INVESTORS-A | В | 137.50 | 6.88 | 0.00 | 6.88 | 85.0 | 5.85 |
| 04/20/2018 04000566094 FOWLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 1.00 0.00 1.00 85.0 0.85 04/20/2018 04000566094 FOWLER, STEVE MF FUNDAMENTAL INVESTORS-C B 100.00 1.00 0.00 1.00 85.0 0.85 04/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA-C B 100.00 0.00 0.00 0.00 0.00 0.00 0.00 | 04/16/2018 04000483654 LUNN, JOSH | MF | NEW PERSPECTIVE FUND-A | В | 114.58 | 5.73 | 0.00 | 5.73 | 85.0 | 4.87 |
| 04/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 80.00 0.80 0.00 0.80 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF INCOME FUND OF AMERICA CLASS C B 80.00 0.80 0.00 0.80 85.0 0.68 04/20/2018 04000566094 FOWLER, STEVE MF NEW PERSPECTIVE FUND—C B 60.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FOWLER, STEVE MF THE GROWTH FUND OF AMERICA—C B 100.00 1.00 0.00 1.00 85.0 0.85 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER—A B 75.00 3.75 0.00 3.75 85.0 3.19 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS—A B 120.00 6.00 0.00 6.00 85.0 5.10 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND—A B 105.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000574031 JEFFERY O MF NEW WORLD FUND—A B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAF FUND—C B 650.00 6.50 0.00 6.50 85.0 5.53 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND—C B 650.00 6.50 0.00 6.50 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS—C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS—C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS—C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS—C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND—C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND—C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND—C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND—C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS—C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS—C B 130.00 1.30 0.00 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXA | 04/16/2018 04000503196 PARIS, OLIVER | MF | COLLEGE 2030 FUND-529A | В | 100.00 | 3.50 | 0.00 | 3.50 | 85.0 | 2.98 |
| 04/20/2018 04000566094 FOWLER, STEVE MF NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FOWLER, STEVE MF NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 85.0 0.51 04/20/2018 04000566094 FOWLER, STEVE MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 85.0 0.85 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 75.00 3.75 0.00 3.75 85.0 3.19 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 120.00 6.00 0.00 6.00 85.0 5.10 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND-A B 105.00 5.25 0.00 5.25 85.0 4.66 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 650.00 6.50 0.00 6.50 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.90 0.00 0.98 85 | 04/20/2018 04000566094 FOWLER, STEVE | MF | AMERICAN BALANCED FUND-C | В | 60.00 | 0.60 | 0.00 | 0.60 | 85.0 | 0.51 |
| 04/20/2018 04000566094 FOWLER, STEVE MF NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.00 1.00 85.0 0.85 04/13/2018 0400056094 FOWLER, STEVE MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 85.0 0.85 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 75.00 3.75 0.00 3.75 85.0 3.19 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 120.00 6.00 0.00 6.00 5.25 85.0 4.46 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 82.9 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 9.75 0.00 9.75 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.00 0.00 0.98 85.0 0.00 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORL | 04/20/2018 04000566094 FOWLER, STEVE | MF | FUNDAMENTAL INVESTORS-C | В | 100.00 | 1.00 | 0.00 | 1.00 | 85.0 | 0.85 |
| 04/20/2018 04000566094 FOWLER, STEVE MF THE GROWTH FUND OF AMERICA-C B 100.00 1.00 0.00 1.00 85.0 0.85 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 75.00 3.75 0.00 3.75 85.0 3.19 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 120.00 6.00 0.00 6.00 85.0 5.10 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 105.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 9.75 0.00 9.75 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 0.00 0.00 0.00 0.00 0. | 04/20/2018 04000566094 FOWLER, STEVE | MF | INCOME FUND OF AMERICA CLASS C | В | 80.00 | 0.80 | 0.00 | 0.80 | 85.0 | 0.68 |
| 04/13/2018 04000574031 JEFFERY O MF CAPITAL INCOME BUILDER-A B 75.00 3.75 0.00 3.75 85.0 3.19 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 120.00 6.00 0.00 6.00 85.0 5.10 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND-A B 105.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 650.00 6.50 0.00 6.50 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 0.00 0.00 0.00 85.0 0.00 0.00 0.0 | 04/20/2018 04000566094 FOWLER, STEVE | MF | NEW PERSPECTIVE FUND-C | В | 60.00 | 0.60 | 0.00 | 0.60 | 85.0 | 0.51 |
| 04/13/2018 04000574031 JEFFERY O MF FUNDAMENTAL INVESTORS-A B 120.00 6.00 0.00 6.00 85.0 5.10 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND-A B 105.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND-C B 650.00 6.50 0.00 6.50 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 195.00 1.95 0.00 1.95 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 0.00 0.00 0.00 85.0 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 0.00 0.00 0.00 0.00 85.0 1.30 0.00 1.30 0.00 0.00 0.00 0.00 0. | 04/20/2018 04000566094 FOWLER, STEVE | MF | THE GROWTH FUND OF AMERICA-C | В | 100.00 | 1.00 | 0.00 | 1.00 | 85.0 | 0.85 |
| 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND-A B 105.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 650.00 6.50 0.00 6.50 85.0 5.53 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 9.75 0.00 9.75 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 0.00 0.00 0.00 85.0 17.00 | 04/13/2018 04000574031 JEFFERY O | MF | CAPITAL INCOME BUILDER-A | В | 75.00 | 3.75 | 0.00 | 3.75 | 85.0 | 3.19 |
| 04/13/2018 04000574031 JEFFERY O MF NEW WORLD FUND-A B 105.00 5.25 0.00 5.25 85.0 4.46 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 650.00 6.50 0.00 6.50 85.0 5.53 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AMERICA-C B 130.00 0.00 0.00 0.00 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AMERICA-C B 130.00 0.00 0.00 0.00 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AMERICA-C B 130.00 0.00 0.00 0.00 0.00 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AMERICA-C B 130.00 0.00 0.00 0.00 0.00 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AMERICA-C B 130.00 0.00 0.00 0.00 0.00 85.0 1.11 04/16/2018 04 | 04/13/2018 04000574031 JEFFERY O | MF | FUNDAMENTAL INVESTORS-A | В | 120.00 | 6.00 | 0.00 | 6.00 | 85.0 | 5.10 |
| 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMCAP FUND-C B 1,300.00 13.00 0.00 13.00 85.0 11.05 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 650.00 6.50 0.00 6.50 85.0 5.53 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 9.75 0.00 9.75 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 0.00 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 0.00 85.0 1.11 04/16/2018 04000849053 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 0.00 20.00 85.0 17.00 | 04/13/2018 04000574031 JEFFERY O | MF | NEW WORLD FUND-A | В | 105.00 | | 0.00 | 5.25 | 85.0 | 4.46 |
| 04/19/2018 04000824788 TOWNSEND, SUSAN MF AMERICAN BALANCED FUND-C B 650.00 6.50 0.00 6.50 85.0 5.53 04/19/2018 04000824788 TOWNSEND, SUSAN MF CAPITAL WORLD GROWTH AND INCOME B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04000849053 SANDERS UTMA/MS, MF AMEUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | 04/19/2018 04000824788 TOWNSEND, SUSAN | MF | | В | 1,300.00 | 13.00 | 0.00 | | 85.0 | 11.05 |
| 04/19/2018 04000824788 TOWNSEND, SUSAN MF FUNDAMENTAL INVESTORS-C B 1,625.00 16.25 0.00 16.25 85.0 13.81 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 195.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | 04/19/2018 04000824788 TOWNSEND, SUSAN | MF | AMERICAN BALANCED FUND-C | В | | 6.50 | 0.00 | 6.50 | 85.0 | 5.53 |
| 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | 04/19/2018 04000824788 TOWNSEND, SUSAN | MF | CAPITAL WORLD GROWTH AND INCOM | ЕВ | 975.00 | 9.75 | 0.00 | 9.75 | 85.0 | 8.29 |
| 04/19/2018 04000824788 TOWNSEND, SUSAN MF NEW PERSPECTIVE FUND-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/19/2018 04000824788 TOWNSEND, SUSAN MF THE BOND FUND OF AMERICA-C B 975.00 9.75 0.00 9.75 85.0 8.29 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 975.00 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | 04/19/2018 04000824788 TOWNSEND, SUSAN | MF | FUNDAMENTAL INVESTORS-C | В | 1,625.00 | 16.25 | 0.00 | 16.25 | 85.0 | 13.81 |
| 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | 04/19/2018 04000824788 TOWNSEND, SUSAN | MF | NEW PERSPECTIVE FUND-C | В | | | 0.00 | | 85.0 | 8.29 |
| 04/20/2018 04000849053 ALEXANDER, MF AMCAP FUND-C B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | 04/19/2018 04000824788 TOWNSEND, SUSAN | MF | THE BOND FUND OF AMERICA-C | В | 975.00 | 9.75 | 0.00 | 9.75 | 85.0 | 8.29 |
| 04/20/2018 04000849053 ALEXANDER, MF AMERICAN BALANCED FUND-C B 195.00 1.95 0.00 1.95 85.0 1.66 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | | | | | | | | | | |
| 04/20/2018 04000849053 ALEXANDER, MF CAPITAL WORLD GROWTH AND INCOME B 97.50 0.98 0.00 0.98 85.0 0.83 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | | | | | | | | | | |
| 04/20/2018 04000849053 ALEXANDER, MF FUNDAMENTAL INVESTORS-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | | | | | | | | | | |
| 04/20/2018 04000849053 ALEXANDER, MF THE BOND FUND OF AMERICA-C B 130.00 1.30 0.00 1.30 85.0 1.11 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | | | | | | | | | | |
| 04/16/2018 04040692503 SANDERS UTMA/MS, MF AIM EUROPEAN GROWTH FUND - B 400.00 20.00 0.00 20.00 85.0 17.00 | | | | | | | | | | |
| | | | | | | | | | | |
| - 01/10/2010 010/10/2011 $-$ 0.00 $-$ | 04/16/2018 04040692511 SANDERS, DEBRA | MF | AIM EUROPEAN GROWTH FUND - | В | 500.00 | 25.00 | 0.00 | | | 21.25 |
| 04/16/2018 08191285397 YODER, MARK MF MFS AGGRESSIVE GROWTH B 541.66 21.67 0.00 21.67 85.0 18.42 | | | | | | | | | | |

COMMISSION STATEMENT for KIM MELTON-D097

| TRADE DATE CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------------------|-------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 04/16/2018 08191328972 YODER, ANGELA | MF MFS GROWTH ALLOCATION FUND-A | В | 520.83 | 26.04 | 0.00 | 26.04 | 85.0 | 22.13 |
| | * MUTUAL FUNDS SUBTOR | AL * | \$17,465.09 | \$428.86 | \$0.00 | \$428.86 | | \$364.59 |
| PRODUCT CATEGORY: VARIABLE ANNUITIE | s | | | | | | | |
| 04/20/2018 1012458572 PARRIS, DENISE | VA PERSP L 08/11 | В | 6,500.00 | 260.00 | 0.00 | 260.00 | 85.0 | 221.00 |
| 04/20/2018 1012458580 PARRIS, BENSON | VA PERSP L 08/11 | В | 6,500.00 | 260.00 | 0.00 | 260.00 | 85.0 | 221.00 |
| | * VARIABLE ANNUITIES SUBTOT | AL * | \$13,000.00 | \$520.00 | \$0.00 | \$520.00 | | \$442.00 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 | TRAILS | | | | | | | |
| 03/29/2018 (None) MOSEL, JOHN | MFT BLACKROCK | В | 0.00 | 1.35 | 0.00 | 1.35 | 85.0 | 1.15 |
| 03/29/2018 (None) SOMERBETTJ | MFT BLACKROCK | В | 0.00 | 1.43 | 0.00 | 1.43 | 85.0 | 1.22 |
| 03/29/2018 (None) PRICE, KENNETH | MFT BLACKROCK | В | 0.00 | 4.54 | 0.00 | 4.54 | 85.0 | 3.86 |
| 03/29/2018 (None) PRICE, CAROL | MFT BLACKROCK | В | 0.00 | 4.55 | 0.00 | 4.55 | 85.0 | 3.87 |
| 03/29/2018 (None) MOSEL, JOHN | MFT BLACKROCK | В | 0.00 | 1.30 | 0.00 | 1.30 | 85.0 | 1.11 |
| 03/29/2018 (None) MOSEL, JOHN | MFT BLACKROCK | В | 0.00 | 4.05 | 0.00 | 4.05 | 85.0 | 3.44 |
| 03/29/2018 (None) SOMERBETTJ | MFT BLACKROCK | В | 0.00 | 4.28 | 0.00 | 4.28 | 85.0 | 3.64 |
| 03/29/2018 (None) PRICE, KENNETH | MFT BLACKROCK | В | 0.00 | 13.63 | 0.00 | 13.63 | 85.0 | 11.59 |
| 03/29/2018 (None) PRICE, CAROL | MFT BLACKROCK | В | 0.00 | 13.65 | 0.00 | 13.65 | 85.0 | 11.60 |
| 03/29/2018 (None) MOSEL, JOHN | MFT BLACKROCK | В | 0.00 | 3.90 | 0.00 | 3.90 | 85.0 | 3.32 |
| 03/31/2018 (None) HOPKINSVILLE ELEVATOR | MFT FIDELITY ADVISOR 401K | В | 0.00 | 5,037.23 | 0.00 | 5,037.23 | 85.0 | 4,281.65 |
| 03/31/2018 (None) COX, JOEL | MFT VIRTUS | В | 0.00 | 15.80 | 0.00 | 15.80 | 85.0 | 13.43 |
| 03/31/2018 (None) EVANS, MARY | MFT VIRTUS | В | 0.00 | 5.12 | 0.00 | 5.12 | 85.0 | 4.35 |
| 03/31/2018 (None) EVANS, MARY | MFT VIRTUS | В | 0.00 | 7.94 | 0.00 | 7.94 | 85.0 | 6.75 |
| 04/13/2018 00088935832 TAYLOR, JACKSON | MFT DEUTSCHE HIGH INCOME FUND-A | В | 0.00 | 46.49 | 0.00 | 46.49 | 85.0 | 39.52 |
| 04/13/2018 00089683456 TAYLOR, JACKSON | MFT DEUTSCHE UNCONSTRAINED INCOM | Е В | 0.00 | 17.52 | 0.00 | 17.52 | 85.0 | 14.89 |
| | * MUTUAL FUND 12B1 TRAILS SUBTO | AL * | \$0.00 | \$5,182.78 | \$0.00 | \$5,182.78 | | \$4,405.39 |
| PRODUCT CATEGORY: VARIABLE ANNUITY | 12B1 TRAILS | | | | | | | |
| 04/11/2018 VM15007782 EMISON, STEVEN | VAT PACIFIC LIFE VA 69448B191 | В | 0.00 | 242.65 | 0.00 | 242.65 | 85.0 | 206.25 |
| * V | ARIABLE ANNUITY 12B1 TRAILS SUBTO | AL * | \$0.00 | \$242.65 | \$0.00 | \$242.65 | | \$206.25 |
| | *** DIRECT TRANSACTIONS TOTAL | . *** | \$30,465.09 | \$6,374.29 | \$0.00 | \$6,374.29 | , | \$5,418.23 |
| | | | | | | | | |
| | REP LINK | TRAN | SACTIONS | | | | | |
| BROKER: KIM MELTON-D179 #136 | | | | | | | | |
| 04/05/2018 (None) WHITE, JENNIFER | MF SCHOLAR'S EDGE | В | 0.00 | 2.50 | 0.00 | 2.50 | | 2.13 |
| 03/31/2018 (None) LEGGETT, ARCHIE | MFT API | В | 0.00 | 14.04 | 0.00 | 14.04 | | 11.93 |
| 03/31/2018 (None) TRAPP FAMILY TRUST, | MFT API | В | 0.00 | 22.14 | 0.00 | 22.14 | | 18.82 |
| 03/31/2018 (None) COX, JOEL | MFT API | В | 0.00 | 8.70 | 0.00 | 8.70 | | 7.40 |
| 03/31/2018 (None) LEE, KELLY | MFT API | В | 0.00 | 25.13 | 0.00 | 25.13 | | 21.36 |
| 03/31/2018 (None) RUSHING, MITCHELL | MFT API | В | 0.00 | 0.73 | 0.00 | 0.73 | | 0.62 |
| 03/31/2018 (None) ATWOOD, JOSEPH | MFT API | В | 0.00 | 0.40 | 0.00 | 0.40 | | 0.34 |
| 03/31/2018 (None) WINDHAM, JERRY | MFT API | В | 0.00 | 10.91 | 0.00 | 10.91 | | 9.27 |
| 03/31/2018 (None) KEADY, CATHERINE | MFT API | В | 0.00 | 22.39 | 0.00 | 22.39 | | 19.03 |

COMMISSION STATEMENT for KIM MELTON-D097

| | COMMISSION | STATEMENT TO | INVESTMENT | GROSS | CLEARING | NET | | BROKER |
|---------------------------------------|---------------------------|--------------|------------|------------|------------|----------------|-------|-------------|
| TRADE DATE CLIENT | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| 03/31/2018 (None) DATRES, MARY ANN | MFT API | В | 0.00 | 2.15 | 0.00 | 2.15 | | 1.83 |
| 03/31/2018 (None) COX, JOEL | MFT API | В | 0.00 | 10.41 | 0.00 | 10.41 | | 8.85 |
| 03/31/2018 (None) BLAYLOCK, SHERYL | MFT API | В | 0.00 | 14.44 | 0.00 | 14.44 | | 12.27 |
| 03/31/2018 (None) NATION, JEANNE | MFT API | В | 0.00 | 0.62 | 0.00 | 0.62 | | 0.53 |
| 03/31/2018 (None) MCKAY, SUE WOOD | MFT API | В | 0.00 | 8.89 | 0.00 | 8.89 | | 7.56 |
| 03/31/2018 (None) ROBICHEAUX, LAUREN | MFT API | В | 0.00 | 3.35 | 0.00 | 3.35 | | 2.85 |
| 03/31/2018 (None) OSBORN, ARCHIE | MFT API | В | 0.00 | 33.59 | 0.00 | 33.59 | | 28.55 |
| 03/31/2018 (None) TOLLISON, BILLY | MFT API | В | 0.00 | 5.85 | 0.00 | 5.85 | | 4.97 |
| 03/31/2018 (None) LEGGETT, ARCHIE | MFT API | В | 0.00 | 10.70 | 0.00 | 10.70 | | 9.10 |
| 03/31/2018 (None) KILBURN, DANIEL | MFT API | В | 0.00 | 1.10 | 0.00 | 1.10 | | 0.94 |
| 03/31/2018 (None) CAFFEY, ONA | MFT API | В | 0.00 | 36.46 | 0.00 | 36.46 | | 30.99 |
| 03/31/2018 (None) TRAPP FAMILY TRUST, | MFT API | В | 0.00 | 17.23 | 0.00 | 17.23 | | 14.65 |
| 03/31/2018 (None) LEGGETT, ARCHIE | MFT API | В | 0.00 | 11.09 | 0.00 | 11.09 | | 9.43 |
| 03/31/2018 (None) TRAPP FAMILY TRUST, | MFT API | В | 0.00 | 8.55 | 0.00 | 8.55 | | 7.27 |
| | * KIM MELTON-D179 | SUBTOTAL * | \$0.00 | \$271.37 | \$0.00 | \$271.37 | | \$230.69 |
| | *** REP LINK TRANSACTIONS | TOTAL *** | \$0.00 | \$271.37 | \$0.00 | \$271.37 | | \$230.69 |
| 04/30/2018 | : BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 04/30/2018 | : E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| | *** ADJUSTMENTS | S TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-55.00 |
| | | | | | F | ROKER COMM | SSION | TOTALS |
| | | | | | Broke: | r Transactions | | \$7,689.42 |
| | | | | | Spli | t Transactions | | \$0.00 |
| | | | | | _ | e Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$-55.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | Drior | Period Balance | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | NRA Assessment | | \$0.00 |
| | | | | | | IPC Assessment | | Ş0.00 |
| | | | | | N | et Commissions | | \$7,634.42 |
| | | | | | Year-to-da | te Commissions | | \$53,043.10 |