

**COMMISSION STATEMENT****November 15, 2017****GARY HUGHES**

1111 2nd Street

Hermosa Beach, CA 90254

BROKER #: H127

BRANCH: GARY HUGHES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/13/2017	STAFFORD TRUST	SEALEY STRATEGIC EQUITY PARTNERS LP	125,000.00	8,750.00	0.00	8,750.00	85.0	7,437.50
		* PRIVATE PLACEMENT SUBTOTAL *	\$125,000.00	\$8,750.00	\$0.00	\$8,750.00		\$7,437.50
		*** DIRECT TRANSACTIONS TOTAL ***	\$125,000.00	\$8,750.00	\$0.00	\$8,750.00		\$7,437.50
<u>ADJUSTMENTS</u>								
11/15/2017		*CONCIERGE SVC: 202487 STAFFORD TEE	0.00					-50.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*TECH: ADMIN J HUGHES	0.00					-100.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-725.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$7,437.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-725.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,712.50
Year-to-date Gross	\$222,919.81
Year-to-date Earnings	\$189,306.84