



Concorde Investment Services, LLC

05/25/2017
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COMMISSION STATEMENT

May 31, 2017

DAVID KERN
4321 Flagstaff Cove

BROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.14	0.00	0.14	90.0	0.13
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.03	0.00	0.03	90.0	0.03
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.41	0.00	0.41	90.0	0.37
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.08	0.00	0.08	90.0	0.07
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.07	0.00	0.07	90.0	0.06
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.21	0.00	0.21	90.0	0.19
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.04	0.00	0.04	90.0	0.04
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	0.60	0.00	0.60	90.0	0.54
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	1.79	0.00	1.79	90.0	1.61
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.35	0.00	0.35	90.0	0.32
/ /	DAVIS, PATRICIA	PROFUNDS REAL ESTATE SVC	0.00	0.12	0.00	0.12	90.0	0.11
/ /	COPELAND, DANIEL	PROFUNDS REAL ESTATE SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS REAL ESTATE SVC	0.00	0.35	0.00	0.35	90.0	0.32
/ /	COPELAND, DANIEL	PROFUNDS REAL ESTATE SVC	0.00	0.07	0.00	0.07	90.0	0.06
/ /	KERN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	1.79	0.00	1.79	90.0	1.61
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$6.20	\$0.00	\$6.20		\$5.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/15/2017	KERN, LEESA	AMERITAS LOWLOAD VUL	979.19	0.08	0.00	0.08	90.0	0.07
05/17/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	701.58	0.06	0.00	0.06	90.0	0.05
05/15/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
05/15/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	262,060.70	53.85	0.00	53.85	90.0	48.47
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	796,395.17	196.37	0.00	196.37	90.0	176.73
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,693.45	10.62	0.00	10.62	90.0	9.56

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,005.24	0.74	0.00	0.74	90.0	0.67
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,118,235.33	\$386.72	\$0.00	\$386.72		\$348.05
		*** BROKER TRANSACTIONS TOTAL ***	\$1,118,235.33	\$392.92	\$0.00	\$392.92		\$353.65

BROKER COMMISSION TOTALS

Broker Transactions	\$353.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$353.65
Year-to-date Gross	\$48,283.45
Year-to-date Earnings	\$43,492.67

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