

COMMISSION STATEMENT

July 27, 2018

MICHAEL MELBY
3200 N. Central Ave. #200
Phoenix, AZ 85012

BROKER #: 73605
BRANCH: 70A11 - SAI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|--|------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| <u>Company: Securities America</u> | | | | | | | |
| <u>BROKER TRANSACTIONS</u> | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | |
| 07/25/2018 | MELBY (73605), MICHAEL | SAI SECURITES INCOME | 0.00 | 47.52 | 0.00 | 47.52 | 14.26 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$0.00 | \$47.52 | \$0.00 | \$47.52 | \$14.26 |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | |
| 07/25/2018 | MELBY (73605), MICHAEL | FEE BASED | 0.00 | 60,805.06 | 0.00 | 60,805.06 | 18,241.52 |
| 07/25/2018 | MELBY (73605), MICHAEL | FEE BASED | 0.00 | -1,842.24 | 0.00 | -1,842.24 | -552.67 |
| | | * RIA SUBTOTAL * | \$0.00 | \$58,962.82 | \$0.00 | \$58,962.82 | \$17,688.85 |
| <u>PRODUCT CATEGORY: TRAILERS</u> | | | | | | | |
| 07/25/2018 | MELBY (73605), MICHAEL | SAI.TRAILS | 0.00 | 2,048.61 | 0.00 | 2,048.61 | 614.58 |
| | | * TRAILERS SUBTOTAL * | \$0.00 | \$2,048.61 | \$0.00 | \$2,048.61 | \$614.58 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$61,058.95 | \$0.00 | \$61,058.95 | \$18,317.69 |

SPLIT TRANSACTIONS

BROKER: MELBY/SMYTHE #73916

| | | | | | | | |
|------------|----------------------|---------------------------|--------|------------|--------|------------|----------|
| 07/25/2018 | MELBY/SMYTHE (73916) | FEE BASED | 0.00 | 4,688.02 | 0.00 | 2,812.81 | 843.84 |
| 07/25/2018 | MELBY/SMYTHE (73916) | FEE BASED | 0.00 | -223.37 | 0.00 | -134.02 | -40.21 |
| 07/25/2018 | MELBY/SMYTHE (73916) | SAI SECURITES INCOME | 0.00 | 2.75 | 0.00 | 1.65 | 0.50 |
| 07/25/2018 | MELBY/SMYTHE (73916) | SAI.TRAILS | 0.00 | 38.56 | 0.00 | 23.14 | 6.94 |
| | | * MELBY/SMYTHE SUBTOTAL * | \$0.00 | \$4,505.96 | \$0.00 | \$2,703.58 | \$811.07 |

BROKER: MELBY/HUNTER #97651

| | | | | | | | |
|------------|----------------------|---------------------------|--------|----------|--------|---------|---------|
| 07/25/2018 | SOLLE/HUNTER (97651) | SAI PERSHING CHARGES | 0.00 | -75.00 | 0.00 | -45.00 | -13.50 |
| 07/25/2018 | SOLLE/HUNTER (97651) | SAI SECURITES INCOME | 0.00 | 91.28 | 0.00 | 54.77 | 16.43 |
| 07/25/2018 | SOLLE/HUNTER (97651) | SAI.TRAILS | 0.00 | 111.44 | 0.00 | 66.86 | 20.06 |
| | | * MELBY/HUNTER SUBTOTAL * | \$0.00 | \$127.72 | \$0.00 | \$76.63 | \$22.99 |

COMMISSION STATEMENT for MICHAEL MELBY

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|----------------------------------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$0.00 | \$4,633.68 | \$0.00 | \$2,780.21 | \$834.06 |

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|--------|--------|--------|--------|---------|
| 21. SIPC SAI STMT 7/20/18 | | | | | | | -1.57 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-1.57 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$18,317.69 |
| Split Transactions | \$834.06 |
| Override Transactions | \$0.00 |
| Adjustments | \$-1.57 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$19,150.18 |
| Year-to-date Earnings | \$77,465.17 |