

COMMISSION STATEMENT June 30, 2018

RIX SMITH-D063

 BROKER #: D063
 BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/07/2018 368318726	ALARCON, EDWARD	S	CONSUMER STAPLES SELECT SECTOR	S	-15,061.65	20.00	15.00	5.00	2.40
06/07/2018 368318726	ALARCON, EDWARD	S	INVESCO S&P 500 EQUAL WEIGHT	S	-20,673.48	20.00	15.00	5.00	2.40
06/07/2018 368318726	ALARCON, EDWARD	S	INVESCO S&P 500 EQUAL WEIGHT	S	-32,646.99	20.00	15.00	5.00	2.40
06/12/2018 676349914	SMITH TTEE, RIX	S	ISHARES MSCI MALAYSIA NEW	S	-3,286.53	15.00	15.00	0.00	0.00
06/12/2018 676349914	SMITH TTEE, RIX	S	SEMGROUP CORP-CLASS A	B	2,519.95	15.00	15.00	0.00	0.00
06/15/2018 676349914	SMITH TTEE, RIX	S	SEMGROUP CORP-CLASS A	B	2,459.95	15.00	15.00	0.00	0.00
06/20/2018 677511653	SMITH, DEBORAH	S	DOMINION RESOURCES INC/VA NEW	B	6,668.95	15.00	15.00	0.00	0.00
				* STOCKS SUBTOTAL *	\$-60,019.80	\$120.00	\$105.00	\$15.00	\$7.20
<u>PRODUCT CATEGORY: BONDS</u>									
05/30/2018 338752694	HOGAN-SCHNEIDER	B	ALVIN TEX TAX AND REV CTFS	B	50,127.45	250.00	15.00	235.00	112.80
06/11/2018 677238568	SCHNEIDER, LAURA	B	SAN MARCOS COMB TAX & REV 3%	B	60,592.45	240.00	15.00	225.00	108.00
				* BONDS SUBTOTAL *	\$110,719.90	\$490.00	\$30.00	\$460.00	\$220.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/21/2018 mfc595454	SMITH RIX 595454	MFT DEVELOPING WORLD GROWTH &	B	0.00	1.09	0.00	1.09	48.0	0.52
06/21/2018 mfc595454	SMITH RIX 595454	MFT LORD ABBETT SHORT DURATION	B	0.00	3.09	0.00	3.09	48.0	1.48
06/21/2018 mfc595454	SMITH RIX 595454	MFT TEMP FRGN FND - CL A	B	0.00	3.58	0.00	3.58	48.0	1.72
06/21/2018 mfc595454	SMITH RIX 595454	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.33	0.00	6.33	48.0	3.04
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$14.09	\$0.00	\$14.09	\$6.76
				*** CLEARING TRANSACTIONS TOTAL ***	\$50,700.10	\$624.09	\$135.00	\$489.09	\$234.76

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: RIX/RANDY SMITH/SMITH-D081 #80

06/05/2018	799051949	WARREN (TOD), JANET S		ROYAL CARIBBEAN CRUISES LTD	B	5,133.22	20.00	7.50	12.50	6.00
05/30/2018	676346300	SMITH TTEE, RONALD	B	ALVIN TEX TAX AND REV CTFS	B	15,038.73	75.00	7.50	67.50	32.40
06/18/2018	676365887	SMITH, RANDALL	B	LEANDER TX COMBI TAX & REV CPN:	B	12,041.06	125.00	7.50	117.50	56.40
06/21/2018	mfc913901	SMITH 913901		MFT CAPITAL INCOME BUILDER-A	B	0.00	3.37	0.00	3.37	1.62
06/21/2018	mfc913901	SMITH 913901		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.16	0.00	4.16	2.00
06/21/2018	mfc913901	SMITH 913901		MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.03	0.00	6.03	2.89
06/21/2018	mfc913901	SMITH 913901		MFT GLOBAL BALANCED FUND-A	B	0.00	8.86	0.00	8.86	4.25
06/21/2018	mfc913901	SMITH 913901		MFT INTERNATIONAL GROWTH AND	B	0.00	9.21	0.00	9.21	4.42
06/21/2018	mfc913901	SMITH 913901		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.56	0.00	1.56	0.75
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *						\$32,213.01	\$253.19	\$22.50	\$230.69	\$110.73
*** SPLIT TRANSACTIONS TOTAL ***						\$32,213.01	\$253.19	\$22.50	\$230.69	\$110.73

ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018		:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	602.55
* SUBTOTAL *					\$0.00	\$0.00	\$0.00	\$602.55
*** ADJUSTMENTS TRANSACTIONS TOTAL ***					\$0.00	\$0.00	\$0.00	\$602.55

BROKER COMMISSION TOTALS

Broker Transactions	\$234.76
Split Transactions	\$110.73
Override Transactions	\$0.00
Adjustments	\$602.55
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$948.04
Year-to-date Commissions	\$11,450.20