



Concorde Investment Services, LLC

03/14/2017
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COMMISSION STATEMENT March 15, 2017

WILLIAM WALSH
2565 West Maple

BROKER #: W127
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.71	0.00	6.71	87.0	5.84
02/21/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.44	0.00	1.44	87.0	1.25
02/21/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.03	0.00	1.03	87.0	0.90
02/21/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	10.03	0.00	10.03	87.0	8.73
02/21/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	0.21	0.00	0.21	87.0	0.18
03/01/2017	WALSH, CAROLINE	AM FUNDS AMCAP 529A	0.00	12.77	0.00	12.77	87.0	11.11
03/01/2017	WALSH, BENJAMIN	AM FUNDS AMCAP 529A	0.00	13.70	0.00	13.70	87.0	11.92
03/01/2017	WALSH, DALTON	AM FUNDS AMCAP 529A	0.00	15.88	0.00	15.88	87.0	13.82
03/01/2017	WALSH, CAROLINE	AM FUNDS AMERICAN BALANCED 529A	0.00	11.31	0.00	11.31	87.0	9.84
03/01/2017	WALSH, BENJAMIN	AM FUNDS AMERICAN BALANCED 529A	0.00	12.09	0.00	12.09	87.0	10.52
03/01/2017	WALSH, DALTON	AM FUNDS AMERICAN BALANCED 529A	0.00	13.67	0.00	13.67	87.0	11.89
02/09/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	40.90	0.00	40.90	87.0	35.58
03/01/2017	GUDANOWSKI, GERALDINE	AM FUNDS BOND FUND OF AMERICA C	0.00	41.80	0.00	41.80	87.0	36.37
03/01/2017	CHAWLA, MAHINDER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	7.76	0.00	7.76	87.0	6.75
03/01/2017	WALSH, CAROLINE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.85	0.00	10.85	87.0	9.44
03/01/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.51	0.00	11.51	87.0	10.01
03/01/2017	WALSH, DALTON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	12.76	0.00	12.76	87.0	11.10
03/01/2017	CHAWLA, MAHINDER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.95	0.00	2.95	87.0	2.57
03/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	89.09	0.00	89.09	87.0	77.51
03/01/2017	WALSH, CAROLINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	11.47	0.00	11.47	87.0	9.98
03/01/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	12.24	0.00	12.24	87.0	10.65
03/01/2017	WALSH, DALTON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	13.83	0.00	13.83	87.0	12.03
03/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	83.38	0.00	83.38	87.0	72.54
03/01/2017	SENGSTOCK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	30.34	0.00	30.34	87.0	26.40
03/01/2017	CHAWLA, MAHINDER	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	10.15	0.00	10.15	87.0	8.83
03/01/2017	CHAWLA, MAHINDER	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	6.43	0.00	6.43	87.0	5.59

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	117.77	0.00	117.77	87.0	102.46
03/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	113.93	0.00	113.93	87.0	99.12
03/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	41.79	0.00	41.79	87.0	36.36
03/01/2017	SENGSTOCK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.79	0.00	0.79	87.0	0.69
03/01/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.29	0.00	12.29	87.0	10.69
03/01/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.05	0.00	11.05	87.0	9.61
03/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	115.72	0.00	115.72	87.0	100.68
03/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	112.64	0.00	112.64	87.0	98.00
03/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	41.34	0.00	41.34	87.0	35.97
03/01/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.76	0.00	0.76	87.0	0.66
02/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.69	0.00	12.69	87.0	11.04
02/09/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	87.0	0.09
02/09/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.03	0.00	1.03	87.0	0.90
02/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	42.23	0.00	42.23	87.0	36.74
02/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.35	0.00	14.35	87.0	12.48
02/09/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.59	0.00	31.59	87.0	27.48
02/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	72.03	0.00	72.03	87.0	62.67
02/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.41	0.00	12.41	87.0	10.80
02/09/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.28	0.00	11.28	87.0	9.81
02/09/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.59	0.00	15.59	87.0	13.56
02/09/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.34	0.00	0.34	87.0	0.30
03/01/2017	CHAWLA, MAHINDER	AM FUNDS NEW PERSPECTIVE 529A	0.00	11.80	0.00	11.80	87.0	10.27
03/01/2017	CHAWLA, MAHINDER	AM FUNDS NEW PERSPECTIVE 529C	0.00	8.10	0.00	8.10	87.0	7.05
02/09/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.16	0.00	4.16	87.0	3.62
02/09/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.57	0.00	4.57	87.0	3.98
02/21/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	0.99	0.00	0.99	87.0	0.86
02/21/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.49	0.00	9.49	87.0	8.26
02/21/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.20	0.00	1.20	87.0	1.04
02/21/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.02	0.00	1.02	87.0	0.89
02/21/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	12.04	0.00	12.04	87.0	10.47
02/21/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	12.09	0.00	12.09	87.0	10.52
02/21/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.26	0.00	2.26	87.0	1.97
02/21/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	11.54	0.00	11.54	87.0	10.04

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/21/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	19.48	0.00	19.48	87.0	16.95
02/21/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.70	0.00	1.70	87.0	1.48
02/06/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	6.55	0.00	6.55	87.0	5.70
02/09/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	22.40	0.00	22.40	87.0	19.49
02/09/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	2.91	0.00	2.91	87.0	2.53
02/21/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.36	0.00	4.36	87.0	3.79
02/21/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.23	0.00	5.23	87.0	4.55
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
02/09/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.73	0.00	1.73	87.0	1.51
02/09/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	9.57	0.00	9.57	87.0	8.33
02/09/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	1.17	0.00	1.17	87.0	1.02
02/09/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	67.98	0.00	67.98	87.0	59.14
02/09/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	139.18	0.00	139.18	87.0	121.09
02/09/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	24.76	0.00	24.76	87.0	21.54
02/09/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	24.98	0.00	24.98	87.0	21.73
02/21/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.97	0.00	0.97	87.0	0.84
02/21/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.53	0.00	1.53	87.0	1.33
02/21/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.72	0.00	14.72	87.0	12.81
02/27/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
02/27/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.45	0.00	0.45	87.0	0.39
02/27/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.07	0.00	1.07	87.0	0.93
02/27/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,696.45	\$0.00	\$1,696.45		\$1,475.95

PRODUCT CATEGORY: STOCKS

02/09/2017	WALSH, TIMOTHY	CISCO SYSTEMS INC	12,586.64	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	COMPASS MINERALS INTL INC	11,992.50	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	CRACKER BARREL OLD COUNTRY STORE IN	12,191.99	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	FORD MOTOR CO DEL COM PAR	12,457.00	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	GENERAL MILLS INC COM	12,575.98	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	JOHNSON & JOHNSON COM	11,402.66	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	KIMBERLY CLARK CORP	12,378.46	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	PEPSICO INC COM	11,708.58	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	PRICE T ROWE GROUP INC COM	11,980.12	22.50	22.00	0.50	87.0	0.44

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/09/2017	WALSH, TIMOTHY	TARGET CORP	12,118.72	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	TUPPERWARE BRANDS CORP COM	11,950.60	22.50	22.00	0.50	87.0	0.44
02/09/2017	WALSH, TIMOTHY	VERIZON COMMUNICATIONS COM	12,194.15	22.50	22.00	0.50	87.0	0.44
* STOCKS SUBTOTAL *			\$145,537.40	\$270.00	\$264.00	\$6.00		\$5.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/02/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,604,805.01	699.37	0.00	699.37	87.0	608.45
03/02/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,202,529.61	461.24	0.00	461.24	87.0	401.28
03/02/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	881,879.25	338.26	0.00	338.26	87.0	294.29
03/02/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	392,170.24	150.42	0.00	150.42	87.0	130.87
03/02/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	507,846.89	194.79	0.00	194.79	87.0	169.47
03/02/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	332,207.76	127.42	0.00	127.42	87.0	110.86
03/02/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,202,305.87	461.16	0.00	461.16	87.0	401.21
03/02/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	250,990.86	96.27	0.00	96.27	87.0	83.75
03/02/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,609,719.82	617.43	0.00	617.43	87.0	537.16
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	852,654.35	151.84	0.00	151.84	87.0	132.10
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,014,319.71	90.32	0.00	90.32	87.0	78.58
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,976,006.11	175.95	0.00	175.95	87.0	153.08
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,700,093.44	480.84	0.00	480.84	87.0	418.33
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,495,842.93	266.38	0.00	266.38	87.0	231.75
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	514,423.38	91.61	0.00	91.61	87.0	79.70
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	27,775.91	4.95	0.00	4.95	87.0	4.31
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,143.40	2.52	0.00	2.52	87.0	2.19
/ /	COMMUNITY HOUSE	NWL POOLED FXD	172.62	0.03	0.00	0.03	87.0	0.03
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	436,753.10	93.33	0.00	93.33	87.0	81.20
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	44,389.87	9.49	0.00	9.49	87.0	8.26
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	95,854.21	17.07	0.00	17.07	87.0	14.85
02/28/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	564.50	0.00	564.50	87.0	491.12
02/28/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	60.65	0.00	60.65	87.0	52.77
* VARIABLE ANNUITIES SUBTOTAL *			\$18,156,884.34	\$5,155.84	\$0.00	\$5,155.84		\$4,485.61
*** BROKER TRANSACTIONS TOTAL ***			\$18,302,421.74	\$7,122.29	\$264.00	\$6,858.29		\$5,966.84

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<u>ADJUSTMENTS</u>								
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*RBC: ADMIN	0.00					-25.00
03/15/2017		*RBC: DEBIT BAL WRITEOFF	0.00					-160.66
03/15/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-860.66

BROKER COMMISSION TOTALS

Broker Transactions	\$5,966.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-860.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,106.18
Year-to-date Gross	\$36,414.30
Year-to-date Earnings	\$31,142.91

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