



COMMISSION STATEMENT

February 05, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
02/05/2018	***-**-55FB - LAROCK, ANDREA ACCOUNT #: 925396270	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	98.06	98.06	0.00	98.06	80.0	78.45
02/05/2018	***-**-55FB - LAROCK, ANDREA ACCOUNT #: 925394309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	58.68	58.68	0.00	58.68	80.0	46.94
02/05/2018	***-**-90FB - DUARTE, BERTHA ACCOUNT #: 925332301	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	37.13	37.13	0.00	37.13	80.0	29.70
02/05/2018	***-**-20FB - TOWNSEND, ACCOUNT #: 925348773	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	39.80	39.80	0.00	39.80	80.0	31.84
02/05/2018	***-**-20FB - TOWNSEND, ACCOUNT #: 925348380	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.16	22.16	0.00	22.16	80.0	17.73
02/05/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348711	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	122.18	122.18	0.00	122.18	80.0	97.74
02/05/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348770	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	20.32	20.32	0.00	20.32	80.0	16.26
02/05/2018	***-**-99FB - GARCIA, JENNY ACCOUNT #: 925348382	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	142.12	142.12	0.00	142.12	80.0	113.70
02/05/2018	***-**-87FB - GARCIA, ONORIO ACCOUNT #: 925348386	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	47.24	47.24	0.00	47.24	80.0	37.79
02/05/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353402	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.29	24.29	0.00	24.29	80.0	19.43
02/05/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353396	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.20	22.20	0.00	22.20	80.0	17.76
02/05/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353393	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.63	15.63	0.00	15.63	80.0	12.50
02/05/2018	***-**-48FB - WAY, JANET ACCOUNT #: 925367463	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.45	23.45	0.00	23.45	80.0	18.76
02/05/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925378876	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.08	15.08	0.00	15.08	80.0	12.06
02/05/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925379613	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	67.50	67.50	0.00	67.50	80.0	54.00
02/05/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402364	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	11.73	11.73	0.00	11.73	80.0	9.38



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
02/05/2018	***-**-54FB - COOK, DENNIS	LIFEMARK INVESTMENT ADVISORY FEE	19.99	19.99	0.00	19.99	80.0	15.99
	ACCOUNT #: 925402359	BUY 0 Units @0.0000						
02/05/2018	***-**-97FB - GASTON-PIERCE,	LIFEMARK INVESTMENT ADVISORY FEE	25.68	25.68	0.00	25.68	80.0	20.54
	ACCOUNT #: 925305856	BUY 0 Units @0.0000						
02/05/2018	***-**-61FB - LONGSWORTH, CITA	LIFEMARK INVESTMENT ADVISORY FEE	32.02	32.02	0.00	32.02	80.0	25.62
	ACCOUNT #: 925319593	BUY 0 Units @0.0000						
02/05/2018	***-**-46FB - HAYWOOD, CARLA	LIFEMARK INVESTMENT ADVISORY FEE	43.89	43.89	0.00	43.89	80.0	35.11
	ACCOUNT #: 925320080	BUY 0 Units @0.0000						
02/05/2018	***-**-46FB - HAYWOOD, CARLA	LIFEMARK INVESTMENT ADVISORY FEE	17.74	17.74	0.00	17.74	80.0	14.19
	ACCOUNT #: 925320095	BUY 0 Units @0.0000						
02/05/2018	***-**-46FB - HAYWOOD, CARLA	LIFEMARK INVESTMENT ADVISORY FEE	368.70	368.70	0.00	368.70	80.0	294.96
	ACCOUNT #: 925320981	BUY 0 Units @0.0000						
02/05/2018	***-**-85FB - HAYWOOD, JOHN	LIFEMARK INVESTMENT ADVISORY FEE	183.70	183.70	0.00	183.70	80.0	146.96
	ACCOUNT #: 925320991	BUY 0 Units @0.0000						
02/05/2018	***-**-33FB - CLAY, MARIA	LIFEMARK INVESTMENT ADVISORY FEE	85.10	85.10	0.00	85.10	80.0	68.08
	ACCOUNT #: 925323203	BUY 0 Units @0.0000						
02/05/2018	***-**-21FB - VALLE, NORMA	LIFEMARK INVESTMENT ADVISORY FEE	24.02	24.02	0.00	24.02	80.0	19.22
	ACCOUNT #: 925331134	BUY 0 Units @0.0000						
02/05/2018	***-**-21FB - VALLE, NORMA	LIFEMARK INVESTMENT ADVISORY FEE	8.62	8.62	0.00	8.62	80.0	6.90
	ACCOUNT #: 925331129	BUY 0 Units @0.0000						
02/05/2018	***-**-48FB - WONG, WALTER	LIFEMARK INVESTMENT ADVISORY FEE	67.78	67.78	0.00	67.78	80.0	54.22
	ACCOUNT #: 925406630	BUY 0 Units @0.0000						
02/05/2018	***-**-48FB - WONG, WALTER	LIFEMARK INVESTMENT ADVISORY FEE	47.18	47.18	0.00	47.18	80.0	37.74
	ACCOUNT #: 925406639	BUY 0 Units @0.0000						
02/05/2018	***-**-52FB - NGUYEN, VICKIE	LIFEMARK INVESTMENT ADVISORY FEE	5.11	5.11	0.00	5.11	80.0	4.09
	ACCOUNT #: 925344068	BUY 0 Units @0.0000						
02/05/2018	***-**-52FB - NGUYEN, VICKIE	LIFEMARK INVESTMENT ADVISORY FEE	100.31	100.31	0.00	100.31	80.0	80.25
	ACCOUNT #: 925329024	BUY 0 Units @0.0000						
02/05/2018	***-**-61FB - VALLE, EDUARDO	LIFEMARK INVESTMENT ADVISORY FEE	4.91	4.91	0.00	4.91	80.0	3.93
	ACCOUNT #: 925332341	BUY 0 Units @0.0000						
02/05/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	5.11	5.11	0.00	5.11	80.0	4.09
	ACCOUNT #: 925344043	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: RIA (continued)								
02/05/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	38.43	38.43	0.00	38.43	80.0	30.74
	ACCOUNT #: 925336235	BUY 0 Units @0.0000						
02/05/2018	***-**-62FB - METZGER, JEANNE	LIFEMARK INVESTMENT ADVISORY FEE	22.48	22.48	0.00	22.48	80.0	17.98
	ACCOUNT #: 925344624	BUY 0 Units @0.0000						
02/05/2018	***-**-86FB - GALLEGOS,	LIFEMARK INVESTMENT ADVISORY FEE	8.76	8.76	0.00	8.76	80.0	7.01
	ACCOUNT #: 925401872	BUY 0 Units @0.0000						
02/05/2018	***-**-8286 - GALLEGOS,	TD AMERITRADE RIA FEE	0.00	89.59	0.00	89.59	80.0	71.67
		BUY 1 Units @0.0000						
* RIA SUBTOTAL *			\$1,877.10	\$1,966.69	\$0.00	\$1,966.69		\$1,573.33
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
01/31/2018	***-**-4597 - RIVERA,	JACKSON ELITE ACCESS E	0.00	126.34	0.00	126.34	80.0	101.07
	ACCOUNT #: 1017513210	BUY 0 Units @0.0000						
01/31/2018	***-**-9808 - SJOERDSMA, GREGG	JACKSON NATIONAL CUSIP 468495676-NF	0.00	15.60	0.00	15.60	80.0	12.48
	ACCOUNT #: 1015027963	BUY 0 Units @0.0000						
01/31/2018	***-**-4357 - LARKIN, MICHAEL	JACKSON NATIONAL CUSIP 468495676-NF	0.00	86.88	0.00	86.88	80.0	69.50
	ACCOUNT #: 1015047107	BUY 0 Units @0.0000						
01/31/2018	***-**-0215 - JENNINGS,	PERSPECTIVE II DTCC	0.00	24.35	0.00	24.35	80.0	19.48
	ACCOUNT #: 1016519606	BUY 0 Units @0.0000						
01/31/2018	***-**-0339 - NUSE, PHILIP	PERSPECTIVE II DTCC	0.00	30.18	0.00	30.18	80.0	24.14
	ACCOUNT #: 1017175544	BUY 0 Units @0.0000						
01/31/2018	***-**-0339 - NUSE, PHILIP	PERSPECTIVE II DTCC	0.00	132.84	0.00	132.84	80.0	106.27
	ACCOUNT #: 1017175820	BUY 0 Units @0.0000						
01/31/2018	***-**-4843 - GOLLINGER, DAVID	PERSPECTIVE II DTCC	0.00	52.65	0.00	52.65	80.0	42.12
	ACCOUNT #: 1018097431	BUY 0 Units @0.0000						
01/31/2018	***-**-6116 - DOWNS-MINETREE,	PERSPECTIVE II DTCC	0.00	88.53	0.00	88.53	80.0	70.82
	ACCOUNT #: 1018954628	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$557.37	\$0.00	\$557.37		\$445.88
*** BROKER TRANSACTIONS TOTAL ***			\$1,877.10	\$2,524.06	\$0.00	\$2,524.06		\$2,019.21



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
							Broker Transactions	\$2,019.21
							Split Transactions	\$0.00
							Override Transactions	\$0.00
							Adjustments	\$0.00
							Payroll Draw	\$0.00
							Base Salary	\$0.00
							Prior Period Balance	\$0.00
							FINRA Assessment	\$0.00
							SIPC Assessment	\$0.00
							Net Commission Earned	\$2,019.21
							Year-to-date Earnings	\$2,019.21