



COMMISSION STATEMENT

June 11, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------------------------------------|---------------------------------------------------------|------------------------------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u> | | | | | | | | |
| 05/17/2018 | ***-**-4357 - LARKIN, MICHAEL | GWG L BOND - 7 YR BUY 10 Units @1000.0000 | 10,000.00 | 600.00 | 0.00 | 600.00 | 80.0 | 480.00 |
| 05/17/2018 | ***-**-4357 - LARKIN, MICHAEL | GWG L BOND - 3YR BUY 5 Units @1000.0000 | 5,000.00 | 250.00 | 0.00 | 250.00 | 80.0 | 200.00 |
| 05/17/2018 | ***-**-4357 - LARKIN, MICHAEL | GWG L BOND - 5 YR BUY 10 Units @1000.0000 | 10,000.00 | 590.00 | 0.00 | 590.00 | 80.0 | 472.00 |
| * ALTERNATIVE INVESTMENTS SUBTOTAL * | | | \$25,000.00 | \$1,440.00 | \$0.00 | \$1,440.00 | | \$1,152.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 06/05/2018 | ***-**-8130 - COOK, MARYELLEN ACCOUNT #: VR09005396 | PACIFIC LIFE CUSIP 694475625 BUY 0 Units @0.0000 | 300.00 | 16.50 | 0.00 | 16.50 | 80.0 | 13.20 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$300.00 | \$16.50 | \$0.00 | \$16.50 | | \$13.20 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 05/31/2018 | ***-**-4597 - RIVERA, ACCOUNT #: 1017513210 | JACKSON ELITE ACCESS E BUY 0 Units @0.0000 | 0.00 | 121.19 | 0.00 | 121.19 | 80.0 | 96.95 |
| 05/31/2018 | ***-**-9808 - SJOERDSMA, GREGG ACCOUNT #: 1015027963 | JACKSON NATIONAL PERSPECTIVE L SERI BUY 0 Units @0.0000 | 0.00 | 14.97 | 0.00 | 14.97 | 80.0 | 11.98 |
| 05/31/2018 | ***-**-4357 - LARKIN, MICHAEL ACCOUNT #: 1015047107 | JACKSON NATIONAL PERSPECTIVE L SERI BUY 0 Units @0.0000 | 0.00 | 82.03 | 0.00 | 82.03 | 80.0 | 65.62 |
| 05/31/2018 | ***-**-0215 - JENNINGS, ACCOUNT #: 1016519606 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 23.54 | 0.00 | 23.54 | 80.0 | 18.83 |
| 05/31/2018 | ***-**-0339 - NUSE, PHILIP ACCOUNT #: 1017175544 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 28.88 | 0.00 | 28.88 | 80.0 | 23.10 |
| 05/31/2018 | ***-**-0339 - NUSE, PHILIP ACCOUNT #: 1017175820 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 127.17 | 0.00 | 127.17 | 80.0 | 101.74 |
| 05/31/2018 | ***-**-4843 - GOLLINGER, DAVID ACCOUNT #: 1018097431 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 50.82 | 0.00 | 50.82 | 80.0 | 40.66 |
| 05/31/2018 | ***-**-6116 - DOWNS-MINETREE, ACCOUNT #: 1018954628 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 85.43 | 0.00 | 85.43 | 80.0 | 68.34 |
| 05/31/2018 | ***-**-97FB - GASTON-PIERCE, ACCOUNT #: 1018559850 | PERSPECTIVE II DTCC BUY 0 Units @0.0000 | 0.00 | 25.04 | 0.00 | 25.04 | 80.0 | 20.03 |



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|-------------------------------------------------------------------|---------------------------|----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued) | | | | | | | | |
| 05/06/2018 | ***--0742 - SAWYER, DAVID | TRANSAMERICA VA TRAILS 89353N402 | 0.00 | 18.61 | 0.00 | 18.61 | 80.0 | 14.89 |
| | ACCOUNT #: 121352LB8 | BUY 0 Units @0.0000 | | | | | | |
| 05/06/2018 | ***--0742 - SAWYER, DAVID | TRANSAMERICA VA TRAILS 89353N402 | 0.00 | 10.38 | 0.00 | 10.38 | 80.0 | 8.30 |
| | ACCOUNT #: 121353LB8 | BUY 0 Units @0.0000 | | | | | | |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$588.06 | \$0.00 | \$588.06 | | \$470.44 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$25,300.00 | \$2,044.56 | \$0.00 | \$2,044.56 | | \$1,635.64 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,635.64 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Net Commission Earned | \$1,635.64 |
| Year-to-date Earnings | \$18,189.15 |