



COMMISSION STATEMENT

February 26, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.2800						
02/15/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.48	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @14.9600						
02/15/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.9600						
02/15/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.4500						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.6300						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @56.7900						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @41.0400						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @66.6500						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.1300						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5900						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @48.4200						
02/15/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @42.8600						
* MUTUAL FUNDS SUBTOTAL *			\$99.48	\$0.99	\$0.00	\$0.99		\$0.91
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/15/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	230.35	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
02/15/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	230.35	2.28	0.00	2.28	90.0	2.05
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
02/15/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	186.33	1.86	0.00	1.86	90.0	1.67
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES (continued)								
02/15/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE 694474693-NFS	186.33	1.84	0.00	1.84	90.0	1.66
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
02/12/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE CHOICE DTCC	495.00	9.90	0.00	9.90	90.0	8.91
	ACCOUNT #: VP60358060	BUY 0 Units @0.0000						
02/15/2018	***-**-7979 - THOMPSON,	PACIFIC LIFE DTCC RENEWAL	500.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: VP60440630	BUY 0 Units @0.0000						
02/20/2018	***-**-6551 - SWARTZ, MICHAEL	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60738000	BUY 0 Units @0.0000						
02/20/2018	***-**-2091 - SPARACO, MICHAEL	PACIFIC LIFE DTCC RENEWAL	1,510.67	30.21	0.00	30.21	90.0	27.19
	ACCOUNT #: VP60570220	BUY 0 Units @0.0000						
02/20/2018	***-**-9211 - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	1,546.50	30.93	0.00	30.93	90.0	27.84
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						
02/20/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE DTCC RENEWAL	412.00	8.24	0.00	8.24	90.0	7.42
	ACCOUNT #: VP60088260	BUY 0 Units @0.0000						
02/20/2018	***-**-0795 - SWARTZ, SHARI	PACIFIC LIFE DTCC RENEWAL	100.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: VP60737990	BUY 0 Units @0.0000						
02/20/2018	***-**-0304 - LOUGHRIDGE,	PACIFIC LIFE DTCC RENEWAL	1,666.00	24.99	0.00	24.99	90.0	22.49
	ACCOUNT #: VP60638610	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$7,163.53	\$124.55	\$0.00	\$124.55		\$112.10

PRODUCT CATEGORY: 529 PLAN

02/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @60.1400						
02/15/2018	***-**-015P - GARCHA,	EUROPACIFIC GROWTH FUND-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584201	BUY 1 Units @60.1400						
02/15/2018	***-**-015P - GARCHA,	THE BOND FUND OF AMERICA-529A	50.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00087584124	BUY 4 Units @13.0800						
02/15/2018	***-**-015P - GARCHA,	THE BOND FUND OF AMERICA-529A	50.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 00087584201	BUY 4 Units @13.0800						
02/15/2018	***-**-015P - GARCHA,	THE GROWTH FUND OF AMERICA-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584124	BUY 1 Units @54.1600						
02/15/2018	***-**-015P - GARCHA,	THE GROWTH FUND OF AMERICA-529A	50.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: 00087584201	BUY 1 Units @54.1600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
02/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3500	50.00	1.88	0.00	1.88	90.0	1.69
02/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.3500	50.00	1.88	0.00	1.88	90.0	1.69
* 529 PLAN SUBTOTAL *			\$400.00	\$14.28	\$0.00	\$14.28		\$12.84
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/20/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	8.75	0.00	8.75	90.0	7.88
02/20/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	7.83	0.00	7.83	90.0	7.05
02/20/2018	***-**-65IO - MAHAMA, NURUDEEN ACCOUNT #: LSR010681	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	90.0	0.27
02/20/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	AMCAP FUND-A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	90.0	1.23
02/26/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	BLACKROCK GLBL SCI & TECH OPPORT CL BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	90.0	1.06
02/20/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	COLUMBIA SELIGMAN COM AND INFO CL A BUY 1 Units @0.0000	0.00	9.95	0.00	9.95	90.0	8.96
02/22/2018	***-**-970I - KLEVER, JAMES ACCOUNT #: 5014176478	DELAWARE INV MF TRAILS BUY 0 Units @0.0000	0.00	8.26	0.00	8.26	90.0	7.43
02/20/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	90.0	1.75
02/20/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	3.91	0.00	3.91	90.0	3.52
02/20/2018	***-**-65IO - MAHAMA, NURUDEEN ACCOUNT #: LSR010681	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	90.0	0.78
02/20/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	90.0	1.01
02/26/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	FEDERATED KAUFMAN SMALL-CAP FUND CL BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	90.0	1.95
02/26/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	FEDERATED KAUFMAN SMALL-CAP FUND CL BUY 1 Units @0.0000	0.00	4.53	0.00	4.53	90.0	4.08



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/26/2018	***-**-0726 - DAHL, KEVIN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.45	0.00	0.45	90.0	0.41
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
02/26/2018	***-**-7034 - DAHL, ROXANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.24	0.00	1.24	90.0	1.12
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
02/20/2018	***-**-0726 - DAHL, KEVIN	FRANKLIN INCOME FUND CLASS C	0.00	115.97	0.00	115.97	90.0	104.37
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
02/26/2018	***-**-0726 - DAHL, KEVIN	GLOBAL FUND A	0.00	1.38	0.00	1.38	90.0	1.24
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
02/26/2018	***-**-7034 - DAHL, ROXANNE	GLOBAL FUND A	0.00	2.69	0.00	2.69	90.0	2.42
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
02/26/2018	***-**-83IN - BARNETT, ROBERT	INTERNATIONAL GROWTH FUND A	0.00	3.62	0.00	3.62	90.0	3.26
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
02/20/2018	***-**-85TR - KELLY TRUST,	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	15.57	0.00	15.57	90.0	14.01
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
02/20/2018	***-**-0726 - DAHL, KEVIN	NEW WORLD FUND-A	0.00	0.24	0.00	0.24	90.0	0.22
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
02/20/2018	***-**-83IN - BARNETT, ROBERT	NEW WORLD FUND-F1	0.00	0.82	0.00	0.82	90.0	0.74
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
02/26/2018	***-**-0726 - DAHL, KEVIN	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.26	0.00	1.26	90.0	1.13
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
02/26/2018	***-**-7034 - DAHL, ROXANNE	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
02/26/2018	***-**-85TR - KELLY TRUST,	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	8.04	0.00	8.04	90.0	7.24
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
02/26/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	178.35	0.00	178.35	90.0	160.51
	ACCOUNT #: 207247219	BUY 0 Units @0.0000						
02/20/2018	***-**-65IO - MAHAMA, NURUDEEN	SMALLCAP WORLD FUND-A	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
02/20/2018	***-**-0726 - DAHL, KEVIN	SMALLCAP WORLD FUND-A	0.00	0.44	0.00	0.44	90.0	0.40
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
02/20/2018	***-**-65IO - MAHAMA, NURUDEEN	THE BOND FUND OF AMERICA-A	0.00	0.69	0.00	0.69	90.0	0.62
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/20/2018	***-**-0726 - DAHL, KEVIN	THE BOND FUND OF AMERICA-A	0.00	0.99	0.00	0.99	90.0	0.89
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
02/20/2018	***-**-6510 - MAHAMA, NURUDEEN	THE GROWTH FUND OF AMERICA-A	0.00	0.94	0.00	0.94	90.0	0.85
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
02/20/2018	***-**-0726 - DAHL, KEVIN	THE GROWTH FUND OF AMERICA-A	0.00	2.04	0.00	2.04	90.0	1.84
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
02/20/2018	***-**-6510 - MAHAMA, NURUDEEN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
02/20/2018	***-**-0726 - DAHL, KEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.97	0.00	1.97	90.0	1.77
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$391.36	\$0.00	\$391.36		\$352.24
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
02/15/2018	***-**-4177 - HIGGS, KRISTINE	PACIFIC LIFE 694474693-NFS	0.00	114.29	0.00	114.29	90.0	102.86
	ACCOUNT #: VP61223630	BUY 0 Units @0.0000						
02/18/2018	***-**-9211 - OSBORNE, DARIN	PACIFIC LIFE DTCC RENEWAL	0.00	297.06	0.00	297.06	90.0	267.35
	ACCOUNT #: VP60570240	BUY 0 Units @0.0000						
02/23/2018	***-**-9797 - CHOI, DANIEL	PACIFIC LIFE DTCC RENEWAL	0.00	13.82	0.00	13.82	90.0	12.44
	ACCOUNT #: VP60754610	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$425.17	\$0.00	\$425.17		\$382.65
	*** BROKER TRANSACTIONS TOTAL ***		\$7,663.01	\$956.35	\$0.00	\$956.35		\$860.74



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$810.00
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$3.31
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$864.05
						Year-to-date Earnings	\$0.00