

All Companies  
**STATEMENT**  
**March 20, 2018**

**GLENN GERSON**  
11190 SW Springtree Terrace  
SUITE 205  
Port St. Lucie, FL 34987

**BROKER #: U01**  
**BRANCH: LU BRANCH**

COMMISSION STATEMENT for GLENN GERSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
02/07/2018 #	CHEN, CAI	RIVERNORTH OPPORTUNITIES FD INC COM	10,457.90	243.99	75.0	20.00	202.99	19.95	183.04
<b>* STOCKS SUBTOTAL *</b>			<b>\$10,457.90</b>	<b>\$243.99</b>		<b>\$20.00</b>	<b>\$202.99</b>	<b>\$19.95</b>	<b>\$183.04</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
02/02/2018 #	GRABEL, GARY	PUT CPB 05/18/18 39	3,000.00	138.00	75.0	20.00	123.50	64.95	58.55
02/05/2018 #	GRABEL, GARY	PUT EPR 07/20/18 45	3,000.00	150.00	75.0	20.00	132.50	64.95	67.55
02/05/2018 #	GRABEL, GARY	PUT RGR 04/20/18 40	4,250.00	212.50	75.0	20.00	179.38	64.95	114.43
02/05/2018 #	GRABEL, GARY	PUT VOD 07/20/18 26	3,250.00	162.50	75.0	20.00	141.88	64.95	76.93
02/09/2018 #	GRABEL, GARY	PUT DIS 06/15/18 85	4,050.00	202.50	75.0	20.00	171.88	46.95	124.93
02/27/2018 #	GRABEL, GARY	PUT NLY 07/20/18 10	3,000.00	150.00	75.0	20.00	132.50	64.95	67.55
<b>* OPTIONS SUBTOTAL *</b>			<b>\$20,550.00</b>	<b>\$1,015.50</b>		<b>\$120.00</b>	<b>\$881.64</b>	<b>\$371.70</b>	<b>\$509.94</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/09/2018 #	WEI, HONG SHENG	DAIN TRAILER	0.00	15.17	75.0	0.00	11.38	0.00	11.38
02/09/2018 #	LIANG, YUN PING	DAIN TRAILER	0.00	0.69	75.0	0.00	0.52	0.00	0.52
02/09/2018 #	PAN, CHIN YI	DAIN TRAILER	0.00	0.43	75.0	0.00	0.32	0.00	0.32
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$16.29</b>		<b>\$0.00</b>	<b>\$12.22</b>	<b>\$0.00</b>	<b>\$12.22</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$31,007.90</b>	<b>\$1,275.78</b>		<b>\$140.00</b>	<b>\$1,096.85</b>	<b>\$391.65</b>	<b>\$705.20</b>

COMMISSION STATEMENT for GLENN GERSON

			INVESTMENT	GROSS	NET	CLEARING	BROKER		
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
<u>OVERRIDE TRANSACTIONS</u>									
		PARKIH, DHRUMIL		6,321.06					632.19
		PRASAD, ABHISHEK		1,783.96					178.40
		*** OVERRIDE TRANSACTIONS TOTAL ***		\$8,105.02					\$810.59

## ADJUSTMENTS

03/20/2018	BETA BACK OFFICE: ADMIN FEE	-326.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-48.85
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-50.00
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-46.65
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-49.17
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-47.12
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-29.96
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-36.51
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-39.89
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-44.35
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-41.44
	DEBIT BALANCE	
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-46.69
	DEBIT BALANCE	
*** ADJUSTMENTS TOTAL ***		\$-1,055.63

**BROKER COMMISSION TOTALS**

Broker Transactions	\$705.20
Split Transactions	\$0.00
Override Transactions	\$810.59
Adjustments	\$-1,055.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$460.16</b>
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