

**COMMISSION STATEMENT****August 15, 2018**

ERNEST DALE, A122 ANDERSON
6484 Los Altos NE

BROKER #: A122 Terminated 10/15/2014
BRANCH: ERNEST (DALE) ANDERS

Rockford, MI 49341-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| / / | VAN BAAL, RUDOLF | ARIEL PROTFOLIO-A | 0.00 | 0.92 | 0.00 | 0.92 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | ARIEL PROTFOLIO-A | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | ARIEL PROTFOLIO-A | 0.00 | 0.20 | 0.00 | 0.20 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | HARBOR CAPITAL APPRECIATION PORT-A | 0.00 | 0.98 | 0.00 | 0.98 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | HARBOR CAPITAL APPRECIATION PORT-A | 0.00 | 0.18 | 0.00 | 0.18 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | HARBOR CAPITAL APPRECIATION PORT-A | 0.00 | 0.22 | 0.00 | 0.22 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | MI 529 TIAA US SMALL CAP PF A | 0.00 | 2.45 | 0.00 | 2.45 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | MI 529 TIAA US SMALL CAP PF A | 0.00 | 0.49 | 0.00 | 0.49 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | MI 529 TIAA US SMALL CAP PF A | 0.00 | 0.61 | 0.00 | 0.61 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | OAKMARK INTERNATIONAL PT A | 0.00 | 2.16 | 0.00 | 2.16 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | OAKMARK INTERNATIONAL PT A | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | OAKMARK INTERNATIONAL PT A | 0.00 | 0.50 | 0.00 | 0.50 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | SANTA BARBARA DIVIDEND GRWTH PT A | 0.00 | 2.35 | 0.00 | 2.35 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | SANTA BARBARA DIVIDEND GRWTH PT A | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | SANTA BARBARA DIVIDEND GRWTH PT A | 0.00 | 0.66 | 0.00 | 0.66 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | TIAA LARGE CAP US EQTY IDX PT A | 0.00 | 2.44 | 0.00 | 2.44 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | TIAA LARGE CAP US EQTY IDX PT A | 0.00 | 0.55 | 0.00 | 0.55 | 0.0 | 0.00 |
| / / | VAN BAAL, RUDOLF | TIAA LARGE CAP US EQTY IDX PT A | 0.00 | 0.68 | 0.00 | 0.68 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$16.47 | \$0.00 | \$16.47 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$16.47 | \$0.00 | \$16.47 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|----------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$86.07 |
| Year-to-date Earnings | \$0.00 |