

**COMMISSION STATEMENT****May 31, 2018**JOEL, W143 WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
05/02/2018	JNJM LLC	HPI REAL ESTATE FUND VI LLC	175,000.00	10,500.00	0.00	10,500.00	92.0	9,660.00
05/09/2018	GRUMBLES, JACK	HPI REAL ESTATE FUND VI LLC	50,000.00	3,000.00	0.00	3,000.00	92.0	2,760.00
* PRIVATE PLACEMENT SUBTOTAL *			\$225,000.00	\$13,500.00	\$0.00	\$13,500.00		\$12,420.00
<u>PRODUCT CATEGORY: REIT</u>								
05/18/2018	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.41	0.00	13.41	92.0	12.34
05/18/2018	PHIPPS, LESLIE	CARTER VALIDUS MC REIT II CLASS T	0.00	28.51	0.00	28.51	92.0	26.23
05/18/2018	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	62.88	0.00	62.88	92.0	57.85
05/18/2018	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.57	0.00	9.57	92.0	8.80
05/18/2018	HINCKLEY, CYNTHIA	CARTER VALIDUS MC REIT II CLASS T	0.00	2.50	0.00	2.50	92.0	2.30
05/18/2018	HINCKLEY, BRYAN	CARTER VALIDUS MC REIT II CLASS T	0.00	12.89	0.00	12.89	92.0	11.86
* REIT SUBTOTAL *			\$0.00	\$129.76	\$0.00	\$129.76		\$119.38
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/07/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	500.00	5.00	0.00	5.00	92.0	4.60
05/21/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	14,029.36	11.53	0.00	11.53	92.0	10.61
05/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	16,505.16	13.56	0.00	13.56	92.0	12.48
05/21/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	25,096.67	20.63	0.00	20.63	92.0	18.98
05/21/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	76,420.41	62.81	0.00	62.81	92.0	57.79
05/21/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	25,052.91	20.59	0.00	20.59	92.0	18.94
05/21/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	20,028.35	16.47	0.00	16.47	92.0	15.15
05/21/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	28,726.62	23.61	0.00	23.61	92.0	21.72
05/21/2018	PERRY CHILDRENS TR, RUDOLPH	BR TOTAL INC & REAL ESTATE FUND C	12,945.72	10.64	0.00	10.64	92.0	9.79
05/21/2018	THREADGILL, VICKY	BR TOTAL INC & REAL ESTATE FUND C	16,148.07	13.27	0.00	13.27	92.0	12.21
05/21/2018	BARFIELD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	3,756.05	3.09	0.00	3.09	92.0	2.84
05/21/2018	LYONS, DAVID	BR TOTAL INC & REAL ESTATE FUND C	2,143.08	1.76	0.00	1.76	92.0	1.62
05/21/2018	LYONS, LORA	BR TOTAL INC & REAL ESTATE FUND C	13,929.82	11.45	0.00	11.45	92.0	10.53

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/21/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	177.62	0.15	0.00	0.15	92.0	0.14
05/21/2018	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND C	20,070.32	16.49	0.00	16.49	92.0	15.17
05/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	63,516.98	13.05	0.00	13.05	92.0	12.01
04/30/2018	MCELROY, MARGARET	GRIFFIN INST'L ACCESS R E FUND	3,803.09	0.78	0.00	0.78	92.0	0.72
* INTERVAL FUNDS SUBTOTAL *			\$342,850.23	\$244.88	\$0.00	\$244.88		\$225.30
<u>PRODUCT CATEGORY: 529 PLANS</u>								
05/07/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	750.00	7.50	0.00	7.50	90.0	6.75
05/07/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	50.00	0.50	0.00	0.50	90.0	0.45
05/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
05/10/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
05/08/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
05/15/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
* 529 PLANS SUBTOTAL *			\$1,070.00	\$20.83	\$0.00	\$20.83		\$18.76
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
05/14/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	17,000.00	170.00	0.00	170.00	90.0	153.00
05/14/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	10,000.00	100.00	0.00	100.00	90.0	90.00
* MUTUAL FUNDS SUBTOTAL *			\$27,100.00	\$275.00	\$0.00	\$275.00		\$247.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/16/2018	GORDON, JEFFREY	AXA EQUITABLE 00248C626	35,500.00	2,130.00	0.00	2,130.00	90.0	1,917.00
05/14/2018	PERRY, RUDOLPH	JNL PERSPECTIVE II 04/14	23,000.00	1,610.00	0.00	1,610.00	90.0	1,449.00
* VARIABLE ANNUITIES SUBTOTAL *			\$58,500.00	\$3,740.00	\$0.00	\$3,740.00		\$3,366.00
*** DIRECT TRANSACTIONS TOTAL ***			\$654,520.23	\$17,910.47	\$0.00	\$17,910.47		\$16,396.94

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
05/15/2018	VOORHEES, CLIFFORD	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
05/23/2018	NORFLEET, SCOTT	AM GEN POLARIS PLATINUM III	245,526.87	17,186.88	0.00	17,186.88	48.0	7,218.49
05/10/2018	ORR POWER OF ATTORNEY,	FRANKLIN INCOME C	26,000.00	260.00	0.00	260.00	48.0	109.20
05/07/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.26
05/14/2018	REEVES, KEN	JNL PERSPECTIVE II 04/14	75,000.00	5,250.00	0.00	5,250.00	48.0	2,205.00
* MARK-S141 REG SMITH SUBTOTAL *			\$347,126.87	\$22,714.26	\$0.00	\$22,714.26		\$9,539.99
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
05/21/2018	ORR POWER OF ATTORNEY,	BR TOTAL INC & REAL ESTATE FUND A	80,066.32	16.45	0.00	16.45	49.0	7.07
05/21/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND A	1,066.17	0.22	0.00	0.22	49.0	0.09
05/21/2018	ORR, PATRICIA	BR TOTAL INC & REAL ESTATE FUND A	8,257.17	1.70	0.00	1.70	49.0	0.73
05/21/2018	ORR, RICHARD	BR TOTAL INC & REAL ESTATE FUND A	19,000.88	3.90	0.00	3.90	49.0	1.68
05/21/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	20,855.60	4.29	0.00	4.29	49.0	1.84
05/21/2018	SPENCER, HAROLD	BR TOTAL INC & REAL ESTATE FUND A	23,015.50	4.73	0.00	4.73	49.0	2.03
05/21/2018	WILSON TRUST, WOODROW & JOANN	BR TOTAL INC & REAL ESTATE FUND A	10,397.32	2.14	0.00	2.14	49.0	0.92
05/07/2018	ELLA W MCCORMICK, TMI	BR TOTAL INC & REAL ESTATE FUND C	66,000.00	660.00	0.00	660.00	49.0	283.80
05/21/2018	WISE TRUST, LUCILE	BR TOTAL INC & REAL ESTATE FUND C	20,007.43	16.44	0.00	16.44	49.0	7.07
05/21/2018	BEST, SAMUEL	BR TOTAL INC & REAL ESTATE FUND C	16,351.48	13.44	0.00	13.44	49.0	5.78
05/21/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	21,991.46	18.08	0.00	18.08	49.0	7.77
05/21/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	34,134.47	28.05	0.00	28.05	49.0	12.06
05/21/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	39,612.29	32.56	0.00	32.56	49.0	14.00
05/21/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	29,948.59	24.61	0.00	24.61	49.0	10.58
05/21/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	16,159.42	13.28	0.00	13.28	49.0	5.71
05/14/2018	REEVES, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	25,000.00	250.00	0.00	250.00	49.0	107.50
* MARK-S142 ALTS SMITH SUBTOTAL *			\$431,864.10	\$1,089.89	\$0.00	\$1,089.89		\$468.63
*** OVERRIDE TRANSACTIONS TOTAL ***			\$778,990.97	\$23,804.15	\$0.00	\$23,804.15		\$10,008.62

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BROKER COMMISSION TOTALS

Broker Transactions	\$16,396.94
Split Transactions	\$0.00
Override Transactions	\$10,008.62
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$26,405.56
Year-to-date Gross	\$107,629.09
Year-to-date Earnings	\$128,830.21