



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
 Page No. 1

JAMES MAHER
 3082 Quail Ridge Circle

BROKER #: M127
 BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/03/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	4.72	0.00	4.72	90.0	4.25
12/09/2016	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.47	0.00	1.47	90.0	1.32
12/09/2016	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	18.20	0.00	18.20	90.0	16.38
12/09/2016	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	3.88	0.00	3.88	90.0	3.49
12/09/2016	PALMER, TODD	AM FUNDS AMCAP C	0.00	49.06	0.00	49.06	90.0	44.15
12/09/2016	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	0.00	8.84	0.00	8.84	90.0	7.96
12/09/2016	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.07	0.00	1.07	90.0	0.96
12/09/2016	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	12.88	0.00	12.88	90.0	11.59
12/09/2016	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	6.54	0.00	6.54	90.0	5.89
12/09/2016	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	8.48	0.00	8.48	90.0	7.63
12/30/2016	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/30/2016	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.20	0.00	5.20	90.0	4.68
12/09/2016	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.37	0.00	5.37	90.0	4.83
12/09/2016	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	15.48	0.00	15.48	90.0	13.93
12/09/2016	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	32.51	0.00	32.51	90.0	29.26
12/09/2016	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	17.26	0.00	17.26	90.0	15.53
12/09/2016	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	23.83	0.00	23.83	90.0	21.45
12/09/2016	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	16.68	0.00	16.68	90.0	15.01
12/09/2016	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	104.63	0.00	104.63	90.0	94.17
12/09/2016	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.71	0.00	8.71	90.0	7.84

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	23.09	0.00	23.09	90.0	20.78
12/09/2016	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	16.07	0.00	16.07	90.0	14.46
12/09/2016	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	96.17	0.00	96.17	90.0	86.55
12/09/2016	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.50	0.00	9.50	90.0	8.55
12/09/2016	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.20	0.00	12.20	90.0	10.98
12/09/2016	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	19.98	0.00	19.98	90.0	17.98
12/09/2016	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.33	0.00	4.33	90.0	3.90
12/09/2016	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	31.84	0.00	31.84	90.0	28.66
12/09/2016	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	15.16	0.00	15.16	90.0	13.64
12/09/2016	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.98	0.00	6.98	90.0	6.28
12/09/2016	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	66.04	0.00	66.04	90.0	59.44
12/09/2016	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	25.10	0.00	25.10	90.0	22.59
12/09/2016	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	28.51	0.00	28.51	90.0	25.66
12/09/2016	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	18.06	0.00	18.06	90.0	16.25
12/09/2016	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	34.45	0.00	34.45	90.0	31.01
12/09/2016	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	13.68	0.00	13.68	90.0	12.31
12/09/2016	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL INCOME BUILDER C	0.00	321.21	0.00	321.21	90.0	289.09
12/09/2016	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.26	0.00	6.26	90.0	5.63
12/09/2016	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	31.52	0.00	31.52	90.0	28.37
12/09/2016	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	30.03	0.00	30.03	90.0	27.03
12/09/2016	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.03	0.00	5.03	90.0	4.53
12/09/2016	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	154.15	0.00	154.15	90.0	138.74
12/09/2016	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	3.42	0.00	3.42	90.0	3.08
12/09/2016	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	5.05	0.00	5.05	90.0	4.55
12/09/2016	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	3.47	0.00	3.47	90.0	3.12
01/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
12/09/2016	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.77	0.00	1.77	90.0	1.59
12/09/2016	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	90.0	0.37
12/09/2016	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.53	0.00	4.53	90.0	4.08
12/09/2016	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	48.47	0.00	48.47	90.0	43.62
12/09/2016	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.30	0.00	5.30	90.0	4.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	53.89	0.00	53.89	90.0	48.50
12/09/2016	JACK, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.23	0.00	7.23	90.0	6.51
12/09/2016	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	217.54	0.00	217.54	90.0	195.79
12/09/2016	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	19.14	0.00	19.14	90.0	17.23
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	10.40	0.00	10.40	90.0	9.36
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.96	0.00	2.96	90.0	2.66
12/09/2016	BERARD, LISA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	16.46	0.00	16.46	90.0	14.81
12/09/2016	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	13.92	0.00	13.92	90.0	12.53
12/09/2016	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	23.53	0.00	23.53	90.0	21.18
12/09/2016	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	12.24	0.00	12.24	90.0	11.02
12/09/2016	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.96	0.00	3.96	90.0	3.56
12/09/2016	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	144.56	0.00	144.56	90.0	130.10
12/09/2016	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	20.66	0.00	20.66	90.0	18.59
12/09/2016	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	180.60	0.00	180.60	90.0	162.54
12/09/2016	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	13.57	0.00	13.57	90.0	12.21
01/03/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.57	0.00	1.57	90.0	1.41
12/09/2016	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.44	0.00	3.44	90.0	3.10
12/09/2016	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.55	0.00	23.55	90.0	21.20
12/09/2016	JACK, STANLEY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.57	0.00	4.57	90.0	4.11
12/09/2016	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	53.04	0.00	53.04	90.0	47.74
12/09/2016	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.87	0.00	20.87	90.0	18.78
12/09/2016	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.44	0.00	10.44	90.0	9.40
12/09/2016	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.97	0.00	6.97	90.0	6.27
12/09/2016	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	84.73	0.00	84.73	90.0	76.26
12/09/2016	JACK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.46	0.00	6.46	90.0	5.81
12/09/2016	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.58	0.00	28.58	90.0	25.72
12/09/2016	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	70.08	0.00	70.08	90.0	63.07
12/09/2016	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	49.53	0.00	49.53	90.0	44.58
12/09/2016	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	25.14	0.00	25.14	90.0	22.63
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	52.51	0.00	52.51	90.0	47.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	26.12	0.00	26.12	90.0	23.51
12/09/2016	BERARD, BRUCE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.32	0.00	10.32	90.0	9.29
12/09/2016	NIXON, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	13.13	0.00	13.13	90.0	11.82
12/09/2016	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	17.84	0.00	17.84	90.0	16.06
12/09/2016	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	19.98	0.00	19.98	90.0	17.98
12/09/2016	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.59	0.00	20.59	90.0	18.53
12/09/2016	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.54	0.00	16.54	90.0	14.89
12/09/2016	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.91	0.00	18.91	90.0	17.02
12/09/2016	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.42	0.00	10.42	90.0	9.38
12/09/2016	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.61	0.00	2.61	90.0	2.35
12/09/2016	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.67	0.00	2.67	90.0	2.40
12/09/2016	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.61	0.00	2.61	90.0	2.35
12/09/2016	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	56.06	0.00	56.06	90.0	50.45
12/09/2016	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	129.11	0.00	129.11	90.0	116.20
12/09/2016	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	21.01	0.00	21.01	90.0	18.91
12/09/2016	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.23	0.00	3.23	90.0	2.91
12/09/2016	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.96	0.00	2.96	90.0	2.66
12/09/2016	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	14.21	0.00	14.21	90.0	12.79
12/09/2016	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.59	0.00	10.59	90.0	9.53
12/09/2016	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	24.02	0.00	24.02	90.0	21.62
12/09/2016	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.72	0.00	5.72	90.0	5.15
12/09/2016	WOLVERINE HUMAN SCV	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	48.78	0.00	48.78	90.0	43.90
12/09/2016	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.17	0.00	4.17	90.0	3.75
12/09/2016	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.96	0.00	23.96	90.0	21.56
12/09/2016	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.10	0.00	28.10	90.0	25.29
12/09/2016	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	7.12	0.00	7.12	90.0	6.41
12/09/2016	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.58	0.00	4.58	90.0	4.12
12/09/2016	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.24	0.00	5.24	90.0	4.72
12/09/2016	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	205.44	0.00	205.44	90.0	184.90
01/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/03/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
01/03/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.07	0.00	11.07	90.0	9.96
12/09/2016	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	0.00	2.32	90.0	2.09
12/09/2016	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.84	0.00	31.84	90.0	28.66
12/09/2016	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.21	0.00	15.21	90.0	13.69
12/09/2016	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.60	0.00	12.60	90.0	11.34
12/09/2016	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.26	0.00	10.26	90.0	9.23
12/09/2016	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.86	0.00	4.86	90.0	4.37
12/09/2016	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.97	0.00	9.97	90.0	8.97
12/09/2016	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.80	0.00	41.80	90.0	37.62
12/09/2016	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.45	0.00	28.45	90.0	25.61
12/09/2016	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.93	0.00	13.93	90.0	12.54
12/09/2016	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	75.96	0.00	75.96	90.0	68.36
12/09/2016	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	229.22	0.00	229.22	90.0	206.30
12/09/2016	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.40	0.00	10.40	90.0	9.36
12/09/2016	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.99	0.00	8.99	90.0	8.09
12/09/2016	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	107.02	0.00	107.02	90.0	96.32
12/09/2016	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.29	0.00	29.29	90.0	26.36
12/09/2016	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	44.69	0.00	44.69	90.0	40.22
12/09/2016	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.00	0.00	20.00	90.0	18.00
12/09/2016	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	44.56	0.00	44.56	90.0	40.10
12/09/2016	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	72.68	0.00	72.68	90.0	65.41
12/09/2016	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	247.66	0.00	247.66	90.0	222.89
12/09/2016	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	36.16	0.00	36.16	90.0	32.54
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	23.34	0.00	23.34	90.0	21.01
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.52	0.00	33.52	90.0	30.17
12/09/2016	BERARD, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.44	0.00	18.44	90.0	16.60
12/09/2016	NIXON, GREGORY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.08	0.00	19.08	90.0	17.17
12/09/2016	NIXON, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.29	0.00	18.29	90.0	16.46
12/09/2016	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.83	0.00	27.83	90.0	25.05
12/09/2016	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.37	0.00	10.37	90.0	9.33
12/09/2016	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.94	0.00	12.94	90.0	11.65

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.86	0.00	38.86	90.0	34.97
12/09/2016	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.49	0.00	31.49	90.0	28.34
12/09/2016	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.36	0.00	31.36	90.0	28.22
12/09/2016	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.93	0.00	11.93	90.0	10.74
12/09/2016	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	43.25	0.00	43.25	90.0	38.93
12/09/2016	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	22.90	0.00	22.90	90.0	20.61
12/09/2016	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.38	0.00	14.38	90.0	12.94
12/09/2016	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	260.32	0.00	260.32	90.0	234.29
12/09/2016	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.74	0.00	31.74	90.0	28.57
12/09/2016	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	49.67	0.00	49.67	90.0	44.70
12/09/2016	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	40.87	0.00	40.87	90.0	36.78
12/09/2016	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	39.81	0.00	39.81	90.0	35.83
12/09/2016	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	23.42	0.00	23.42	90.0	21.08
12/09/2016	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.68	0.00	27.68	90.0	24.91
12/09/2016	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.74	0.00	4.74	90.0	4.27
12/09/2016	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.85	0.00	33.85	90.0	30.47
12/09/2016	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.15	0.00	11.15	90.0	10.04
12/09/2016	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.20	0.00	33.20	90.0	29.88
12/09/2016	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.18	0.00	26.18	90.0	23.56
12/09/2016	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	45.20	0.00	45.20	90.0	40.68
12/09/2016	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	55.79	0.00	55.79	90.0	50.21
12/09/2016	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.70	0.00	5.70	90.0	5.13
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	12.01	0.00	12.01	90.0	10.81
12/09/2016	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	7.90	0.00	7.90	90.0	7.11
12/09/2016	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.62	0.00	4.62	90.0	4.16
12/09/2016	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.32	0.00	2.32	90.0	2.09
12/09/2016	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.42	0.00	0.42	90.0	0.38
12/09/2016	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.49	0.00	4.49	90.0	4.04
12/09/2016	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.13	0.00	6.13	90.0	5.52
01/03/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	2.13	0.00	2.13	90.0	1.92
12/09/2016	HOPPE, KYLE	AM FUNDS ICA A	0.00	3.49	0.00	3.49	90.0	3.14
12/09/2016	CARROLL, EILEEN	AM FUNDS ICA A	0.00	10.07	0.00	10.07	90.0	9.06

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.12	0.00	20.12	90.0	18.11
12/09/2016	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.86	0.00	23.86	90.0	21.47
12/09/2016	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.94	0.00	3.94	90.0	3.55
12/09/2016	CARROLL, EILEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.48	0.00	3.48	90.0	3.13
12/09/2016	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	44.26	0.00	44.26	90.0	39.83
12/09/2016	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	29.96	0.00	29.96	90.0	26.96
12/09/2016	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	106.07	0.00	106.07	90.0	95.46
12/09/2016	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	59.18	0.00	59.18	90.0	53.26
12/09/2016	JACK, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	4.03	0.00	4.03	90.0	3.63
12/09/2016	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	52.46	0.00	52.46	90.0	47.21
12/09/2016	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	104.90	0.00	104.90	90.0	94.41
12/09/2016	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	74.52	0.00	74.52	90.0	67.07
12/09/2016	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.44	0.00	20.44	90.0	18.40
12/09/2016	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	4.38	0.00	4.38	90.0	3.94
12/09/2016	KNOFF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.14	0.00	1.14	90.0	1.03
12/09/2016	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	0.00	156.19	0.00	156.19	90.0	140.57
12/09/2016	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.91	0.00	21.91	90.0	19.72
12/09/2016	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.84	0.00	37.84	90.0	34.06
12/09/2016	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	27.84	0.00	27.84	90.0	25.06
12/09/2016	WOLVERINE HUMAN SCV	AM FUNDS INCOME FUND OF AMERICA C	0.00	208.11	0.00	208.11	90.0	187.30
12/09/2016	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	180.83	0.00	180.83	90.0	162.75
12/09/2016	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	32.68	0.00	32.68	90.0	29.41
12/09/2016	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.60	0.00	2.60	90.0	2.34
12/09/2016	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	1.92	0.00	1.92	90.0	1.73
01/03/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.74	0.00	0.74	90.0	0.67
12/09/2016	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.74	0.00	3.74	90.0	3.37
12/09/2016	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	73.66	0.00	73.66	90.0	66.29
12/09/2016	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	18.28	0.00	18.28	90.0	16.45
12/09/2016	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	46.54	0.00	46.54	90.0	41.89
12/09/2016	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	37.48	0.00	37.48	90.0	33.73
12/09/2016	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	12.91	0.00	12.91	90.0	11.62
12/09/2016	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	42.53	0.00	42.53	90.0	38.28

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	8.77	0.00	8.77	90.0	7.89
12/09/2016	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	5.99	0.00	5.99	90.0	5.39
12/09/2016	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	3.97	0.00	3.97	90.0	3.57
12/09/2016	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	3.82	0.00	3.82	90.0	3.44
12/09/2016	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	93.95	0.00	93.95	90.0	84.56
12/09/2016	GILLESPIE, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.44	0.00	4.44	90.0	4.00
12/09/2016	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.31	0.00	6.31	90.0	5.68
12/09/2016	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.87	0.00	2.87	90.0	2.58
01/03/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
12/09/2016	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.39	0.00	2.39	90.0	2.15
12/09/2016	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	6.75	0.00	6.75	90.0	6.08
12/02/2016	SCHMID JR TRUST, EDWARD	ASPIRE US GLOBAL INV GOLD & PREC ME	60.00	20.00	23.00	-3.00	90.0	-2.70
12/09/2016	MAHER, SEAMUS	BLK BASIC VALUE	0.00	0.99	0.00	0.99	90.0	0.89
12/09/2016	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.85	0.00	0.85	90.0	0.77
12/09/2016	MAHER, KYLE	BLK BASIC VALUE	0.00	1.51	0.00	1.51	90.0	1.36
12/09/2016	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	10.53	0.00	10.53	90.0	9.48
12/09/2016	SIZEMORE, KATHERINE	BLK WORLD INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
12/07/2016	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	16.51	0.00	16.51	90.0	14.86
12/07/2016	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	12.34	0.00	12.34	90.0	11.11
12/07/2016	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	33.45	0.00	33.45	90.0	30.11
12/07/2016	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	61.33	0.00	61.33	90.0	55.20
12/09/2016	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	36.84	0.00	36.84	90.0	33.16
12/09/2016	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	6.55	0.00	6.55	90.0	5.90
12/09/2016	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.35	0.00	4.35	90.0	3.92
12/09/2016	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	6.82	0.00	6.82	90.0	6.14
12/09/2016	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	9.08	0.00	9.08	90.0	8.17
12/09/2016	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	18.45	0.00	18.45	90.0	16.61
12/09/2016	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	5.80	0.00	5.80	90.0	5.22
12/09/2016	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	7.78	0.00	7.78	90.0	7.00
12/09/2016	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	8.78	0.00	8.78	90.0	7.90
12/09/2016	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	19.81	0.00	19.81	90.0	17.83
12/09/2016	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	12.50	0.13	0.00	0.13	90.0	0.12
12/23/2016	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	12.50	0.13	0.00	0.13	90.0	0.12

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/12/2016	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.49	0.00	10.49	90.0	9.44
12/09/2016	JACK, KELLY	FIDELITY ENERGY C	0.00	0.40	0.00	0.40	90.0	0.36
12/02/2016	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	60.00	20.00	0.00	20.00	90.0	18.00
12/19/2016	WHEELER, WILLARD	FRANKLIN RISING DIVIDENDS C	30,000.00	320.00	0.00	320.00	90.0	288.00
12/05/2016	PIASCIK, SHAWN	FT FOUNDING FDS ALLOC C	15,174.09	20.00	0.00	20.00	90.0	18.00
12/09/2016	CARROLL, EILEEN	JH REGIONAL BANK A	0.00	2.99	0.00	2.99	90.0	2.69
12/09/2016	MAHER, HENRY	MAINSTAY MAP INSTL	0.00	9.89	0.00	9.89	90.0	8.90
/ /	MOTOR CITY COMPUTER	OPPENHEIMER DEV MARKETS (N)	0.00	66.44	0.00	66.44	90.0	59.80
12/09/2016	MOTOR CITY COMPUTER	PIONEER BOND FUND A	99.00	0.99	0.00	0.99	90.0	0.89
12/23/2016	MOTOR CITY COMPUTER	PIONEER BOND FUND A	79.00	0.79	0.00	0.79	90.0	0.71
12/09/2016	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	12.77	0.00	12.77	90.0	11.49
12/09/2016	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	7.27	0.00	7.27	90.0	6.54
12/09/2016	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.05	0.00	5.05	90.0	4.55
12/09/2016	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.37	0.00	11.37	90.0	10.23
12/09/2016	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	32.29	0.00	32.29	90.0	29.06
12/09/2016	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	14.20	0.00	14.20	90.0	12.78
12/09/2016	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	10.41	0.00	10.41	90.0	9.37
12/09/2016	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	10.79	0.00	10.79	90.0	9.71
12/09/2016	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.17	0.00	7.17	90.0	6.45
12/09/2016	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	6.87	0.00	6.87	90.0	6.18
12/09/2016	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.19	0.00	7.19	90.0	6.47
12/09/2016	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.11	0.00	0.11	90.0	0.10
12/09/2016	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.38	0.00	7.38	90.0	6.64
12/09/2016	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.14	0.00	2.14	90.0	1.93
12/09/2016	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	8.35	0.00	8.35	90.0	7.52
* MUTUAL FUNDS SUBTOTAL *			\$46,197.09	\$8,016.89	\$23.00	\$7,993.89		\$7,194.67
<u>PRODUCT CATEGORY: STOCKS</u>								
12/14/2016	IAFRATE, ALICE	DISNEY WALT CO DISNEY COM	208.07	30.00	22.00	8.00	90.0	7.20
12/14/2016	IAFRATE, ALICE	DISNEY WALT CO DISNEY COM	-208.07	-30.00	-22.00	-8.00	90.0	-7.20
12/14/2016	IAFRATE, ALICE	DISNEY WALT CO DISNEY COM	208.07	17.00	22.00	-5.00	90.0	-4.50
12/07/2016	RACHWAL, BARTON	SPDR SER TR WELLS FARGO PFD STOCK E	18,620.35	50.00	0.00	50.00	90.0	45.00
* STOCKS SUBTOTAL *			\$18,828.42	\$67.00	\$22.00	\$45.00		\$40.50

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/04/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	139,578.97	88.91	0.00	88.91	90.0	80.02
01/04/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	314,606.51	133.60	0.00	133.60	90.0	120.24
01/04/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	6,846,523.91	1,453.71	0.00	1,453.71	90.0	1,308.34
01/04/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	302,573.76	128.49	0.00	128.49	90.0	115.64
12/31/2016	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	161,341.89	33.61	0.00	33.61	90.0	30.25
12/31/2016	MAHER, HENRY	JNL PERSPECTIVE II 05/05	99,423.63	41.43	0.00	41.43	90.0	37.29
12/31/2016	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	44,574.35	18.57	0.00	18.57	90.0	16.71
12/31/2016	VEIT, BEVERLY	JNL PERSPECTIVE L	17,800.57	14.83	0.00	14.83	90.0	13.35
12/31/2016	PALMERI, BEVERLY	JNL PERSPECTIVE L	100,225.05	83.52	0.00	83.52	90.0	75.17
12/31/2016	FRASER, SHARON	JNL PERSPECTIVE L	39,911.35	33.26	0.00	33.26	90.0	29.93
12/31/2016	TAYLOR, JAMIE	JNL PERSPECTIVE L	41,364.94	34.47	0.00	34.47	90.0	31.02
12/31/2016	FLOWERS, EDWARD	JNL PERSPECTIVE L	136,444.03	113.70	0.00	113.70	90.0	102.33
12/31/2016	STOKES, RICHARD	JNL PERSPECTIVE L	73,495.74	61.25	0.00	61.25	90.0	55.13
12/31/2016	STONE, EDWARD	JNL PERSPECTIVE L	85,638.51	71.37	0.00	71.37	90.0	64.23
12/31/2016	PALMERI, SAMUEL	JNL PERSPECTIVE L	98,324.68	81.94	0.00	81.94	90.0	73.75
12/31/2016	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	103,120.44	85.93	0.00	85.93	90.0	77.34
12/31/2016	VITALE, PETER	JNL PERSPECTIVE L	128,338.30	106.95	0.00	106.95	90.0	96.26
12/31/2016	BROEREN, ANTHONY	JNL PERSPECTIVE L	173,523.63	144.60	0.00	144.60	90.0	130.14
12/31/2016	CORNWELL, VICTORIA	JNL PERSPECTIVE L	199,397.64	166.16	0.00	166.16	90.0	149.54
12/31/2016	YURIK, DEBRA	PRU AS ADVISOR PLAN III	33,811.39	21.13	0.00	21.13	90.0	19.02
12/31/2016	YURIK, DEBRA	PRU AS ADVISOR PLAN III	33,811.39	21.13	0.00	21.13	90.0	19.02
12/31/2016	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	27,743.00	69.36	0.00	69.36	90.0	62.42
12/31/2016	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	27,743.00	17.34	0.00	17.34	90.0	15.61
12/31/2016	TROKIC, MEVLIDA	PRU AS APEX II	183,717.61	459.29	0.00	459.29	90.0	413.36
12/31/2016	TROKIC, MEVLIDA	PRU AS APEX II	183,717.61	114.82	0.00	114.82	90.0	103.34
12/31/2016	LUJAN, DEBORAH	PRU AS APEX II	67,553.11	168.88	0.00	168.88	90.0	151.99
12/31/2016	LUJAN, DEBORAH	PRU AS APEX II	67,553.11	42.22	0.00	42.22	90.0	38.00
12/31/2016	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	124,695.13	311.74	0.00	311.74	90.0	280.57
12/31/2016	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	124,695.13	77.93	0.00	77.93	90.0	70.14
12/31/2016	HATCHETT, AYANNA	PRU AS APEX II	4,227.87	10.57	0.00	10.57	90.0	9.51
12/31/2016	HATCHETT, AYANNA	PRU AS APEX II	4,227.87	2.64	0.00	2.64	90.0	2.38
12/31/2016	MAHER TRUST, MARY	PRU AS APEX II	147,694.39	369.24	0.00	369.24	90.0	332.32
12/31/2016	MAHER TRUST, MARY	PRU AS APEX II	147,694.39	92.31	0.00	92.31	90.0	83.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	VITALE, PETER	PRU AS APEX II	124,449.36	311.12	0.00	311.12	90.0	280.01
12/31/2016	VITALE, PETER	PRU AS APEX II	124,449.36	77.78	0.00	77.78	90.0	70.00
12/31/2016	HARRISON, SHARI	PRU AS APEX II	27,059.01	67.65	0.00	67.65	90.0	60.89
12/31/2016	HARRISON, SHARI	PRU AS APEX II	27,059.01	16.91	0.00	16.91	90.0	15.22
12/31/2016	PALMERI, BEVERLY	PRU AS APEX II	296,851.64	742.13	0.00	742.13	90.0	667.92
12/31/2016	PALMERI, BEVERLY	PRU AS APEX II	296,851.64	185.53	0.00	185.53	90.0	166.98
12/31/2016	ZERVOS JR, ARISTEDES	PRU AS APEX II	727,630.43	1,819.08	0.00	1,819.08	90.0	1,637.17
12/31/2016	ZERVOS JR, ARISTEDES	PRU AS APEX II	727,630.43	454.77	0.00	454.77	90.0	409.29
12/31/2016	FLEURY, DAVID	PRU AS APEX II	104,027.72	260.07	0.00	260.07	90.0	234.06
12/31/2016	FLEURY, DAVID	PRU AS APEX II	104,027.72	65.02	0.00	65.02	90.0	58.52
12/31/2016	NUNEZ, LYNDIA	PRU AS APEX II	590,140.24	1,106.51	0.00	1,106.51	90.0	995.86
12/31/2016	NUNEZ, LYNDIA	PRU AS APEX II	590,140.24	368.84	0.00	368.84	90.0	331.96
12/31/2016	ELSE, KATHERINE	PRU AS APEX II	108,480.96	271.20	0.00	271.20	90.0	244.08
12/31/2016	ELSE, KATHERINE	PRU AS APEX II	108,480.96	67.80	0.00	67.80	90.0	61.02
12/31/2016	PIASCIK, SHAWN	PRU AS APEX II	103,084.83	257.71	0.00	257.71	90.0	231.94
12/31/2016	PIASCIK, SHAWN	PRU AS APEX II	103,084.83	64.43	0.00	64.43	90.0	57.99
12/31/2016	JACK, LINDA	PRU AS APEX II	56,306.52	140.77	0.00	140.77	90.0	126.69
12/31/2016	JACK, LINDA	PRU AS APEX II	56,306.52	35.19	0.00	35.19	90.0	31.67
12/31/2016	O'DONNELL, JUDITH	PRU AS APEX II	163,382.80	408.46	0.00	408.46	90.0	367.61
12/31/2016	O'DONNELL, JUDITH	PRU AS APEX II	163,382.80	102.11	0.00	102.11	90.0	91.90
12/31/2016	GILMORE, JOHNSON	PRU AS APEX II	1,534.82	3.84	0.00	3.84	90.0	3.46
12/31/2016	GILMORE, JOHNSON	PRU AS APEX II	1,534.82	0.96	0.00	0.96	90.0	0.86
12/31/2016	FINLEY, RENEATHIA	PRU AS APEX II	6,081.14	15.20	0.00	15.20	90.0	13.68
12/31/2016	FINLEY, RENEATHIA	PRU AS APEX II	6,081.14	3.80	0.00	3.80	90.0	3.42
12/31/2016	GILLESPIE, HEATHER	PRU AS APEX II	128,556.13	321.39	0.00	321.39	90.0	289.25
12/31/2016	GILLESPIE, HEATHER	PRU AS APEX II	128,556.13	80.35	0.00	80.35	90.0	72.32
12/31/2016	BARNES, TAI	PRU AS APEX II	28,111.69	70.28	0.00	70.28	90.0	63.25
12/31/2016	BARNES, TAI	PRU AS APEX II	28,111.69	17.57	0.00	17.57	90.0	15.81
12/31/2016	FRASER, SHARON	PRU AS APEX II	34,989.01	87.47	0.00	87.47	90.0	78.72
12/31/2016	FRASER, SHARON	PRU AS APEX II	34,989.01	21.87	0.00	21.87	90.0	19.68
12/31/2016	QUEDNAU, KELLY	PRU AS APEX II	14,466.31	36.17	0.00	36.17	90.0	32.55
12/31/2016	QUEDNAU, KELLY	PRU AS APEX II	14,466.31	9.04	0.00	9.04	90.0	8.14
12/31/2016	ELIAS, PAUL	PRU AS APEX II	73,712.85	184.28	0.00	184.28	90.0	165.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	ELIAS, PAUL	PRU AS APEX II	73,712.85	46.07	0.00	46.07	90.0	41.46
12/31/2016	YURIK, DEBRA	PRU AS APEX II	76,979.04	192.45	0.00	192.45	90.0	173.21
12/31/2016	YURIK, DEBRA	PRU AS APEX II	76,979.04	48.11	0.00	48.11	90.0	43.30
12/31/2016	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	22,083.62	55.21	0.00	55.21	90.0	49.69
12/31/2016	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	22,083.62	13.80	0.00	13.80	90.0	12.42
12/31/2016	MARSACK, KAREN	PRU AS APEX II	69,401.34	173.50	0.00	173.50	90.0	156.15
12/31/2016	MARSACK, KAREN	PRU AS APEX II	69,401.34	43.38	0.00	43.38	90.0	39.04
12/31/2016	MARSACK, KAREN	PRU AS APEX II	477,623.80	1,194.06	0.00	1,194.06	90.0	1,074.65
12/31/2016	MARSACK, KAREN	PRU AS APEX II	477,623.80	298.51	0.00	298.51	90.0	268.66
12/31/2016	TACCONELLI, JOHN	PRU AS APEX II	47,567.19	118.92	0.00	118.92	90.0	107.03
12/31/2016	TACCONELLI, JOHN	PRU AS APEX II	47,567.19	29.73	0.00	29.73	90.0	26.76
12/31/2016	TACCONELLI, JOHN	PRU AS APEX II	97,624.12	244.06	0.00	244.06	90.0	219.65
12/31/2016	TACCONELLI, JOHN	PRU AS APEX II	97,624.12	61.02	0.00	61.02	90.0	54.92
12/31/2016	MARSHALL TRUST, SANDRA	PRU AS APEX II	73,520.64	183.80	0.00	183.80	90.0	165.42
12/31/2016	MARSHALL TRUST, SANDRA	PRU AS APEX II	73,520.64	45.95	0.00	45.95	90.0	41.36
12/31/2016	MARSHALL, SANDRA	PRU AS APEX II	161,026.65	402.57	0.00	402.57	90.0	362.31
12/31/2016	MARSHALL, SANDRA	PRU AS APEX II	161,026.65	100.64	0.00	100.64	90.0	90.58
12/31/2016	STONE, ELAINE	PRU AS APEX II	213,467.71	533.67	0.00	533.67	90.0	480.30
12/31/2016	STONE, ELAINE	PRU AS APEX II	213,467.71	133.42	0.00	133.42	90.0	120.08
12/31/2016	FISCHER, SHIRLEY	PRU AS APEX II	45,651.08	114.13	0.00	114.13	90.0	102.72
12/31/2016	FISCHER, SHIRLEY	PRU AS APEX II	45,651.08	28.53	0.00	28.53	90.0	25.68
12/31/2016	MORRIS, ERNEST	PRU AS APEX II	23,225.46	58.06	0.00	58.06	90.0	52.25
12/31/2016	MORRIS, ERNEST	PRU AS APEX II	23,225.46	14.52	0.00	14.52	90.0	13.07
12/31/2016	BANNICK, ROBERT	PRU AS APEX II	114,919.78	287.30	0.00	287.30	90.0	258.57
12/31/2016	BANNICK, ROBERT	PRU AS APEX II	114,919.78	71.82	0.00	71.82	90.0	64.64
12/31/2016	BERARD, LISA	PRU AS APEX II	65,183.63	40.74	0.00	40.74	90.0	36.67
12/31/2016	BERARD, LISA	PRU AS APEX II	65,183.63	162.96	0.00	162.96	90.0	146.66
12/31/2016	VIAR, DAVID	PRU AS APEX II	18,300.53	45.75	0.00	45.75	90.0	41.18
12/31/2016	VIAR, DAVID	PRU AS APEX II	18,300.53	11.44	0.00	11.44	90.0	10.30
12/31/2016	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	103,409.78	258.52	0.00	258.52	90.0	232.67
12/31/2016	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	103,409.78	64.63	0.00	64.63	90.0	58.17
12/27/2016	DURST, BARBARA	PRU DEFINED INCOME	250,000.00	2,500.00	0.00	2,500.00	90.0	2,250.00
12/31/2016	WHEELER, WILLARD	PRU DEFINED INCOME	530,894.37	1,327.24	0.00	1,327.24	90.0	1,194.52

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	ELSE, KATHERINE	PRU DEFINED INCOME	22,380.67	55.95	0.00	55.95	90.0	50.36
12/31/2016	MARKHAM, PATRICK	PRU DEFINED INCOME	116,515.51	291.29	0.00	291.29	90.0	262.16
12/31/2016	LEFKA, KAYE	PRU DEFINED INCOME	51,215.78	128.04	0.00	128.04	90.0	115.24
12/31/2016	WALSH, LORRAINE	PRU DEFINED INCOME	73,117.18	182.79	0.00	182.79	90.0	164.51
12/31/2016	TAYLOR, JAMIE	PRU DEFINED INCOME	156,176.09	390.44	0.00	390.44	90.0	351.40
12/31/2016	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	104,658.48	261.65	0.00	261.65	90.0	235.49
12/31/2016	LINDSEY, BARBARA	PRU DEFINED INCOME	64,953.25	162.38	0.00	162.38	90.0	146.14
12/31/2016	MARSACK, KAREN	PRU DEFINED INCOME	143,105.48	357.76	0.00	357.76	90.0	321.98
12/31/2016	DINON, MARY	PRU PREMIER RETIREMENT C	80,102.72	200.26	0.00	200.26	90.0	180.23
12/31/2016	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	489,604.57	1,224.01	0.00	1,224.01	90.0	1,101.61
12/31/2016	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	92,580.79	231.45	0.00	231.45	90.0	208.31
12/31/2016	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	182,022.10	455.06	0.00	455.06	90.0	409.55
12/31/2016	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	30,485.42	76.21	0.00	76.21	90.0	68.59
12/31/2016	SIZEMORE, KATHERINE	PRU PREMIER RETIREMENT L	68,832.11	172.08	0.00	172.08	90.0	154.87
12/31/2016	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	74,046.91	185.12	0.00	185.12	90.0	166.61
12/31/2016	KOTT, DIANE	PRU PREMIER RETIREMENT L	142,124.58	355.31	0.00	355.31	90.0	319.78
12/31/2016	DILAY, DENISE	PRU PREMIER RETIREMENT L	250,086.63	625.22	0.00	625.22	90.0	562.70
12/31/2016	DILAY, DANIEL	PRU PREMIER RETIREMENT L	11,453.10	28.63	0.00	28.63	90.0	25.77
12/31/2016	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	202,211.27	505.53	0.00	505.53	90.0	454.98
12/31/2016	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	101,218.23	253.05	0.00	253.05	90.0	227.75
12/31/2016	STONE, EDWARD	PRU PREMIER RETIREMENT L	201,886.95	504.72	0.00	504.72	90.0	454.25
12/31/2016	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	188,688.84	471.72	0.00	471.72	90.0	424.55
12/31/2016	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	21,902.26	54.76	0.00	54.76	90.0	49.28
12/31/2016	IBARRA, JAIME	PRU PREMIER RETIREMENT L	119,327.65	298.32	0.00	298.32	90.0	268.49
12/31/2016	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	41,116.42	102.79	0.00	102.79	90.0	92.51
12/31/2016	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	87,427.22	218.57	0.00	218.57	90.0	196.71
12/31/2016	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	49,820.63	124.55	0.00	124.55	90.0	112.10
12/31/2016	NUNEZ, LYNDIA	PRU PREMIER RETIREMENT L	70,411.96	176.03	0.00	176.03	90.0	158.43
12/31/2016	MAHER, HENRY	PRU PREMIER RETIREMENT L	413,557.03	1,033.89	0.00	1,033.89	90.0	930.50
12/31/2016	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	53,165.46	132.91	0.00	132.91	90.0	119.62
12/31/2016	PALMERI, PAUL	PRU PREMIER RETIREMENT L	35,523.22	88.81	0.00	88.81	90.0	79.93
12/31/2016	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	181,224.82	453.06	0.00	453.06	90.0	407.75
12/31/2016	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	64,976.72	162.44	0.00	162.44	90.0	146.20

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	83,435.35	208.59	0.00	208.59	90.0	187.73
12/31/2016	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	119,163.13	297.91	0.00	297.91	90.0	268.12
12/31/2016	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	103,169.72	257.92	0.00	257.92	90.0	232.13
12/31/2016	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	18,336.66	45.84	0.00	45.84	90.0	41.26
12/31/2016	MCMURRAN-AQUILERA, ROGELICA	PRU PREMIER RETIREMENT L	12,005.07	30.01	0.00	30.01	90.0	27.01
12/31/2016	MARKHAM, JASON	PRU PREMIER RETIREMENT L	16,031.26	40.08	0.00	40.08	90.0	36.07
12/31/2016	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	262,074.24	655.19	0.00	655.19	90.0	589.67
12/31/2016	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	50,481.82	126.20	0.00	126.20	90.0	113.58
12/31/2016	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	209,221.30	523.05	0.00	523.05	90.0	470.75
12/31/2016	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	143,274.63	358.19	0.00	358.19	90.0	322.37
12/31/2016	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	672,040.32	1,680.10	0.00	1,680.10	90.0	1,512.09
12/31/2016	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	64,341.05	160.85	0.00	160.85	90.0	144.77
12/31/2016	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	131,769.18	329.42	0.00	329.42	90.0	296.48
12/31/2016	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	57,394.66	35.87	0.00	35.87	90.0	32.28
12/31/2016	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	57,394.66	107.61	0.00	107.61	90.0	96.85
12/31/2016	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	327,336.15	204.59	0.00	204.59	90.0	184.13
12/31/2016	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	327,336.15	613.76	0.00	613.76	90.0	552.38
12/31/2016	JACK, STANLEY	PRU XTRA CREDIT EIGHT	245,145.06	306.43	0.00	306.43	90.0	275.79
12/31/2016	JACK, STANLEY	PRU XTRA CREDIT EIGHT	245,145.06	153.22	0.00	153.22	90.0	137.90
* VARIABLE ANNUITIES SUBTOTAL *			\$26,594,702.45	\$37,354.34	\$0.00	\$37,354.34		\$33,619.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2016	KOLOMYJEC, LESLIE	LFG TRAILS	0.00	50.71	0.00	50.71	90.0	45.64
12/31/2016	TACCONELLI, JOHN	LFG TRAILS	0.00	62.12	0.00	62.12	90.0	55.91
12/31/2016	HICKSON, ANDREW	LFG TRAILS	0.00	134.28	0.00	134.28	90.0	120.85
12/31/2016	O'DONNELL, JUDITH	LFG TRAILS	0.00	131.73	0.00	131.73	90.0	118.56
12/31/2016	MARSHALL, RONALD	LFG TRAILS	0.00	712.52	0.00	712.52	90.0	641.27
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,091.36	\$0.00	\$1,091.36		\$982.23
*** BROKER TRANSACTIONS TOTAL ***			\$26,659,727.96	\$46,529.59	\$45.00	\$46,484.59		\$41,836.41

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*RBC: ORDER ENTRY	0.00					-65.00
01/17/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
01/17/2017		*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00					-100.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-765.00

BROKER COMMISSION TOTALS

Broker Transactions	\$41,836.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-765.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,071.41
Year-to-date Gross	\$46,529.59
Year-to-date Earnings	\$41,836.41

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed