



COMMISSION STATEMENT

August 31, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/22/2017	TILFORD, DARRELL	VANGUARD DIVIDEND GROWTH INCOME FUN	-25,253.98	0.00	0.00	0.00	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-25,253.98	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
08/22/2017	SIEBER, AARON	ANHEUSER BUSCH INBEV SA NV SPONSORE	-16,928.26	0.00	0.00	0.00	90.0	0.00
08/22/2017	NICOLET, CHERYL	ANHEUSER BUSCH INBEV SA NV SPONSORE	-22,159.99	0.00	0.00	0.00	90.0	0.00
08/22/2017	NOLL, PRISCILLA	ANHEUSER BUSCH INBEV SA NV SPONSORE	-20,466.34	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	ANHEUSER BUSCH INBEV SA NV SPONSORE	-22,228.77	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	AT&T INC COM	-36,838.43	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	BLACKROCK GLOBAL OPPORTUNITIES EQUI	-5,193.36	0.00	0.00	0.00	90.0	0.00
08/22/2017	SIEBER, AARON	BP PLC SPONS ADR	-26,792.13	0.00	0.00	0.00	90.0	0.00
08/22/2017	RUSK, JULIA	BP PLC SPONS ADR	-7,508.62	0.00	0.00	0.00	90.0	0.00
08/22/2017	VIELKIND, LAWRENCE	BP PLC SPONS ADR	-44,870.82	0.00	0.00	0.00	90.0	0.00
08/22/2017	NOLL, PRISCILLA	BP PLC SPONS ADR	-36,979.95	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	BP PLC SPONS ADR	-37,705.14	0.00	0.00	0.00	90.0	0.00
08/22/2017	DRUHOT, SCOTT	BP PLC SPONS ADR	-32,047.61	0.00	0.00	0.00	90.0	0.00
08/22/2017	SIEBER, AARON	DELTA AIRLINES INC COM NEW	-14,624.25	0.00	0.00	0.00	90.0	0.00
08/22/2017	NICOLET, CHERYL	DELTA AIRLINES INC COM NEW	-15,104.32	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	DELTA AIRLINES INC COM NEW	-5,005.71	0.00	0.00	0.00	90.0	0.00
08/23/2017	VIELKIND, LAWRENCE	FRONTIER COMMUNICATIONS CORP COM NE	-411.21	0.00	0.00	0.00	90.0	0.00
08/22/2017	VIELKIND, LAWRENCE	HSBC HLDGS PLC SPONS ADR NEW	-11,402.66	0.00	0.00	0.00	90.0	0.00
08/22/2017	NOLL, PRISCILLA	HSBC HLDGS PLC SPONS ADR NEW	-11,398.44	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	INVESCO SR INCOME TR COM	-7,535.59	0.00	0.00	0.00	90.0	0.00
08/22/2017	SIEBER, AARON	IRON MTN INC NEW COM	-18,371.90	0.00	0.00	0.00	90.0	0.00
08/22/2017	KLITZMAN, MARY	IRON MTN INC NEW COM	-19,392.33	0.00	0.00	0.00	90.0	0.00
08/22/2017	RUSK, JULIA	IRON MTN INC NEW COM	-9,828.55	0.00	0.00	0.00	90.0	0.00
08/22/2017	VIELKIND, LAWRENCE	IRON MTN INC NEW COM	-33,236.57	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/22/2017	TILFORD, DARRELL	MATTEL INC COM	-5,668.25	0.00	0.00	0.00	90.0	0.00
08/22/2017	RICHEY, BECKY	NEUBERGER BERMAN MLP INCOME FD INC	-4,237.65	0.00	0.00	0.00	90.0	0.00
08/22/2017	RUSK, JULIA	POWERSHARES DWA CONSUMER STAPLES MO	-8,260.24	0.00	0.00	0.00	90.0	0.00
08/22/2017	VIELKIND, LAWRENCE	POWERSHARES DWA CONSUMER STAPLES MO	-25,876.03	0.00	0.00	0.00	90.0	0.00
08/22/2017	NOLL, PRISCILLA	POWERSHARES DWA CONSUMER STAPLES MO	-16,390.60	0.00	0.00	0.00	90.0	0.00
08/22/2017	SIEBER, AARON	SPDR SER TR BARCLAYS HIGH YIELD BD	-19,494.42	0.00	0.00	0.00	90.0	0.00
08/22/2017	GRIME, MARK	SPDR SER TR S&P BIOTECH ETF	-11,349.37	0.00	0.00	0.00	90.0	0.00
08/22/2017	KLITZMAN, MARY	SPDR SER TR S&P BIOTECH ETF	-22,043.19	0.00	0.00	0.00	90.0	0.00
08/22/2017	WEIDES, LISA	SPDR SER TR S&P BIOTECH ETF	-25,493.63	0.00	0.00	0.00	90.0	0.00
08/22/2017	GRIME, MARK	SPDR SER TR S&P PHARMACEUTICALS ETF	-3,911.05	0.00	0.00	0.00	90.0	0.00
08/22/2017	WEIDES, LISA	SPDR SER TR S&P PHARMACEUTICALS ETF	-18,904.20	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$-617,659.58	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
08/15/2017	ASHBAUGH, SUSANNA	ATLAS CAPITAL MANAGEMENT	20,008.62	49.89	0.00	49.89	90.0	44.90
08/15/2017	BUSH, RANDALL	ATLAS CAPITAL MANAGEMENT	77,444.77	157.98	0.00	157.98	90.0	142.18
08/15/2017	BUSH, SANDRA	ATLAS CAPITAL MANAGEMENT	26,389.18	52.28	0.00	52.28	90.0	47.05
08/15/2017	BYRUM, GERALD	ATLAS CAPITAL MANAGEMENT	48,548.88	85.55	0.00	85.55	90.0	77.00
08/15/2017	BYRUM, GERALD	ATLAS CAPITAL MANAGEMENT	37,491.47	66.06	0.00	66.06	90.0	59.45
08/15/2017	COLE, TIMOTHY	ATLAS CAPITAL MANAGEMENT	36,663.29	91.41	0.00	91.41	90.0	82.27
08/15/2017	DIETSCH, DANIEL	ATLAS CAPITAL MANAGEMENT	12,175.94	24.16	0.00	24.16	90.0	21.74
08/15/2017	DIETSCH, RHONDA	ATLAS CAPITAL MANAGEMENT	12,173.06	23.79	0.00	23.79	90.0	21.41
08/15/2017	FEIPEL, ESTHER	ATLAS CAPITAL MANAGEMENT	17,852.97	44.51	0.00	44.51	90.0	40.06
08/15/2017	FORTE, ANTHONY	ATLAS CAPITAL MANAGEMENT	51,314.83	126.95	0.00	126.95	90.0	114.26
08/15/2017	GALENTINE, JOSHUA	ATLAS CAPITAL MANAGEMENT	24,753.05	61.72	0.00	61.72	90.0	55.55
08/15/2017	GREENWALT, PAUL	ATLAS CAPITAL MANAGEMENT	87,934.96	163.16	0.00	163.16	90.0	146.84
08/15/2017	GUNSOLLEY, MARY	ATLAS CAPITAL MANAGEMENT	78,782.30	183.79	0.00	183.79	90.0	165.41
08/15/2017	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	5,573.54	13.90	0.00	13.90	90.0	12.51
08/15/2017	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	1,314.01	3.14	0.00	3.14	90.0	2.83
08/15/2017	HOLLIS, JAMES	ATLAS CAPITAL MANAGEMENT	10,007.25	6.40	0.00	6.40	90.0	5.76
08/15/2017	JOHNSON, JAMES	ATLAS CAPITAL MANAGEMENT	62,273.99	29.70	0.00	29.70	90.0	26.73
08/15/2017	JORDAN, NEAL	ATLAS CAPITAL MANAGEMENT	199,557.11	26.30	0.00	26.30	90.0	23.67
08/15/2017	KEMERLY, JASON	ATLAS CAPITAL MANAGEMENT	35,367.50	63.05	0.00	63.05	90.0	56.75
08/15/2017	LALLO, CHRISTINA	ATLAS CAPITAL MANAGEMENT	292,099.23	590.75	0.00	590.75	90.0	531.68

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
08/15/2017	LIPSCOMB, JOAN	ATLAS CAPITAL MANAGEMENT	57,640.77	29.97	0.00	29.97	90.0	26.97
08/15/2017	LIPSCOMB, SAMUEL	ATLAS CAPITAL MANAGEMENT	58,007.94	26.08	0.00	26.08	90.0	23.47
08/15/2017	MIZNER, FREDRIC	ATLAS CAPITAL MANAGEMENT	21,001.86	52.36	0.00	52.36	90.0	47.12
08/15/2017	MULLINS, CYNTHIA	ATLAS CAPITAL MANAGEMENT	12,775.02	31.61	0.00	31.61	90.0	28.45
08/15/2017	MURPHY, SUSAN	ATLAS CAPITAL MANAGEMENT	361,864.69	704.74	0.00	704.74	90.0	634.27
08/15/2017	MUSSEY, LORI	ATLAS CAPITAL MANAGEMENT	23,627.20	58.91	0.00	58.91	90.0	53.02
08/15/2017	NEWBAUER, CAMERON	ATLAS CAPITAL MANAGEMENT	101,326.42	252.62	0.00	252.62	90.0	227.36
08/15/2017	PLATT, GINA	ATLAS CAPITAL MANAGEMENT	16,192.79	15.89	0.00	15.89	90.0	14.30
08/15/2017	RAABE, MARIANNE	ATLAS CAPITAL MANAGEMENT	14,494.94	35.59	0.00	35.59	90.0	32.03
08/15/2017	ROGERS, BRADLEY	ATLAS CAPITAL MANAGEMENT	2,190.22	2.80	0.00	2.80	90.0	2.52
08/15/2017	ROGERS, JEAN	ATLAS CAPITAL MANAGEMENT	2,200.29	3.76	0.00	3.76	90.0	3.38
08/15/2017	ROSS, DEBRA	ATLAS CAPITAL MANAGEMENT	124,336.81	10.52	0.00	10.52	90.0	9.47
08/15/2017	SAMMETINGER, EVELYN	ATLAS CAPITAL MANAGEMENT	40,404.88	82.23	0.00	82.23	90.0	74.01
08/15/2017	SCHENKE, JOSEPH	ATLAS CAPITAL MANAGEMENT	24,488.38	2.04	0.00	2.04	90.0	1.84
08/15/2017	SIEBER, AARON	ATLAS CAPITAL MANAGEMENT	59,496.21	148.34	0.00	148.34	90.0	133.51
08/15/2017	SORG, MARK	ATLAS CAPITAL MANAGEMENT	39,118.19	88.17	0.00	88.17	90.0	79.35
08/15/2017	SORG, MARK	ATLAS CAPITAL MANAGEMENT	32,256.57	76.97	0.00	76.97	90.0	69.27
08/15/2017	THOMAS, THELMA	ATLAS CAPITAL MANAGEMENT	148,912.12	374.22	0.00	374.22	90.0	336.80
08/15/2017	TULLOCH, DAVID	ATLAS CAPITAL MANAGEMENT	31,158.06	77.68	0.00	77.68	90.0	69.91
08/15/2017	TUTTLE, THOMAS	ATLAS CAPITAL MANAGEMENT	218,630.96	545.08	0.00	545.08	90.0	490.57
08/15/2017	WARSTLER, MARK	ATLAS CAPITAL MANAGEMENT	19,147.76	45.69	0.00	45.69	90.0	41.12
08/15/2017	WILBANKS, GREGORY	ATLAS CAPITAL MANAGEMENT	58,053.92	91.92	0.00	91.92	90.0	82.73
08/15/2017	WILLIAMS, RENETTA	ATLAS CAPITAL MANAGEMENT	11,292.21	11.12	0.00	11.12	90.0	10.01
08/15/2017	WILLING, LEONARD	ATLAS CAPITAL MANAGEMENT	150,933.87	376.28	0.00	376.28	90.0	338.65
08/15/2017	WINANS, BEVERLY	ATLAS CAPITAL MANAGEMENT	18,526.20	46.19	0.00	46.19	90.0	41.57
08/01/2017	JORDAN, NEAL	CLS INVESTMENTS	17,730.07	46.63	0.00	46.63	90.0	41.97
08/01/2017	JORDAN, NEAL	CLS INVESTMENTS	4,875.24	11.26	0.00	11.26	90.0	10.13
08/01/2017	JORDAN, NEAL	CLS INVESTMENTS	20,711.23	56.03	0.00	56.03	90.0	50.43
08/01/2017	JORDAN, PAM	CLS INVESTMENTS	18,453.00	48.53	0.00	48.53	90.0	43.68
08/01/2017	EAGLESON, SAMUEL	CLS INVESTMENTS	18,987.10	50.07	0.00	50.07	90.0	45.06
08/01/2017	EAGLESON, SAMUEL	CLS INVESTMENTS	49,999.99	154.05	0.00	154.05	90.0	138.65
08/01/2017	EAGLESON, SAMUEL	CLS INVESTMENTS	368.00	0.91	0.00	0.91	90.0	0.82
08/01/2017	EAGLESON, MARTHA	CLS INVESTMENTS	57,263.03	246.31	0.00	246.31	90.0	221.68

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
08/01/2017	HOLLIS, TONYUA	CLS INVESTMENTS	24,585.51	0.00	0.00	0.00	90.0	0.00
08/01/2017	HOLLIS, TONYUA	CLS INVESTMENTS	2,496.85	0.00	0.00	0.00	90.0	0.00
08/01/2017	HOLLIS, TONYUA	CLS INVESTMENTS	17,772.63	0.00	0.00	0.00	90.0	0.00
08/01/2017	HOLLIS, TONYUA	CLS INVESTMENTS	114.14	0.00	0.00	0.00	90.0	0.00
08/01/2017	HOLLIS, TONYUA	CLS INVESTMENTS	103.04	0.00	0.00	0.00	90.0	0.00
08/01/2017	RAMEY, TRAVIS	CLS INVESTMENTS	40,099.43	118.65	0.00	118.65	90.0	106.79
08/01/2017	RAMEY, TRAVIS	CLS INVESTMENTS	50.00	0.15	0.00	0.15	90.0	0.14
08/01/2017	RAMEY, TRAVIS	CLS INVESTMENTS	50.00	0.12	0.00	0.12	90.0	0.11
08/01/2017	RAMEY, JENNIFER	CLS INVESTMENTS	50.00	0.15	0.00	0.15	90.0	0.14
08/01/2017	RAMEY, JENNIFER	CLS INVESTMENTS	50.00	0.12	0.00	0.12	90.0	0.11
08/01/2017	SLIGER, RUSTY	CLS INVESTMENTS	9,409.15	29.64	0.00	29.64	90.0	26.68
08/01/2017	SLIGER, RUSTY	CLS INVESTMENTS	276.15	0.76	0.00	0.76	90.0	0.68
08/01/2017	TRACEY, JAMES	CLS INVESTMENTS	27,958.20	55.38	0.00	55.38	90.0	49.84
08/01/2017	TRACEY, JAMES	CLS INVESTMENTS	49,999.99	115.48	0.00	115.48	90.0	103.93
08/16/2017	FISCHER, HELEN	CLS INVESTMENTS	47,728.31	34.00	0.00	34.00	90.0	30.60
08/16/2017	FISCHER, HELEN	CLS INVESTMENTS	922.95	0.61	0.00	0.61	90.0	0.55
08/16/2017	FISCHER, HELEN	CLS INVESTMENTS	40,376.86	30.41	0.00	30.41	90.0	27.37
08/16/2017	LIPSCOMB, SAMUEL	CLS INVESTMENTS	63,784.72	117.53	0.00	117.53	90.0	105.78
08/16/2017	LIPSCOMB, SAMUEL	CLS INVESTMENTS	49,999.99	106.92	0.00	106.92	90.0	96.23
08/16/2017	LIPSCOMB, SAMUEL	CLS INVESTMENTS	31.38	0.04	0.00	0.04	90.0	0.04
08/16/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	5,262.11	9.34	0.00	9.34	90.0	8.41
08/16/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	10,583.02	21.91	0.00	21.91	90.0	19.72
08/16/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	19,598.98	34.79	0.00	34.79	90.0	31.31
08/16/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	39,416.97	81.63	0.00	81.63	90.0	73.47
07/31/2017	HATTERY, KARI	JEFFERSON NATIONAL	17,461.06	65.48	0.00	65.48	90.0	58.93
08/07/2017	KAUZLICK, MICHAEL	JEFFERSON NATIONAL	13.75	0.03	0.00	0.03	90.0	0.03
08/25/2017	GROTE, DONALD	JEFFERSON NATIONAL	134,066.97	335.17	0.00	335.17	90.0	301.65
08/08/2017	REMENSCHNEIDER, DAVID	PERSHING	336,137.25	-1,035.62	0.00	-1,035.62	90.0	-932.06
08/08/2017	BERNDT, TODD	PERSHING	228,852.12	-282.15	0.00	-282.15	90.0	-253.94
08/21/2017	BERNDT, JAMIE	TD AMERITRADE	26,048.74	29.26	0.00	29.26	90.0	26.33
08/21/2017	BERNDT, TODD	TD AMERITRADE	226,095.11	250.87	0.00	250.87	90.0	225.78
08/21/2017	REMENSCHNEIDER, DAVID	TD AMERITRADE	336,536.17	626.97	0.00	626.97	90.0	564.27
* RIA SUBTOTAL *			\$4,730,123.44	\$6,416.70	\$0.00	\$6,416.70		\$5,775.06

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<u>SPLIT TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$4,087,209.88	\$6,416.70	\$0.00	\$6,416.70		\$5,775.06

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
08/22/2017	HAMM, GAIL	ABBOTT LABS COM	-12,678.93	0.00	0.00	0.00	90.0	0.00
08/22/2017	HAMM, GAIL	ANHEUSER BUSCH INBEV SA NV SPONSORE	-22,809.58	0.00	0.00	0.00	90.0	0.00
08/22/2017	HAMM, GAIL	BP PLC SPONS ADR	-28,040.06	0.00	0.00	0.00	90.0	0.00
08/16/2017	ADAMS, TERRY	CLS INVESTMENTS	11,000.00	8.70	0.00	8.70	90.0	7.83
08/22/2017	HAMM, GAIL	IRON MTN INC NEW COM	-30,330.00	0.00	0.00	0.00	90.0	0.00
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$-82,858.57	\$8.70	\$0.00	\$8.70		\$7.83
*** SPLIT TRANSACTIONS TOTAL ***			\$-82,858.57	\$8.70	\$0.00	\$8.70		\$7.83

<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: WILLIAM LAYMAN #207</u>								
08/15/2017	REHM, TAMARA	ATLAS CAPITAL MANAGEMENT	5,500.01	0.30	0.00	0.30	45.0	0.14
08/01/2017	BRUNTON, EMILY	CLS INVESTMENTS	38,274.06	114.29	0.00	114.29	45.0	51.43
08/01/2017	BRUNTON, EMILY	CLS INVESTMENTS	47,193.33	125.10	0.00	125.10	45.0	56.30
08/01/2017	BRUNTON, EMILY	CLS INVESTMENTS	11,697.02	34.28	0.00	34.28	45.0	15.43
08/01/2017	COLE, TIMOTHY	CLS INVESTMENTS	3,000.01	8.71	0.00	8.71	45.0	3.92
08/01/2017	COLE, TIMOTHY	CLS INVESTMENTS	49,999.99	161.18	0.00	161.18	45.0	72.53
08/01/2017	REHM, TAMARA	CLS INVESTMENTS	15,000.00	44.38	0.00	44.38	45.0	19.97
08/01/2017	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	18,000.01	53.45	0.00	53.45	45.0	24.05
08/01/2017	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	49,999.99	164.52	0.00	164.52	45.0	74.03
* WILLIAM LAYMAN SUBTOTAL *			\$238,664.42	\$706.21	\$0.00	\$706.21		\$317.80
*** OVERRIDE TRANSACTIONS TOTAL ***			\$238,664.42	\$706.21	\$0.00	\$706.21		\$317.80

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BROKER COMMISSION TOTALS

Broker Transactions	\$5,775.06
Split Transactions	\$7.83
Override Transactions	\$317.80
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,100.69
Year-to-date Gross	\$262,801.37
Year-to-date Earnings	\$236,697.82