

# COMMISSION STATEMENT January 15, 2018

KATHERINE SMITH-DM31

 BROKER #: DM31  
 BRANCH: DMW

, TX

**COMMISSION STATEMENT for KATHERINE SMITH-DM31**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/26/2017	00083961559	PAYNE, KELLY	MF	CAPITAL INCOME BUILDER-529A	B	500.00	21.25	0.00	21.25	90.0	19.13
01/02/2018	00084791628	MASSENGALE,	MF	NEW WORLD FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/02/2018	00084791669	MASSENGALE,	MF	NEW WORLD FUND-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/02/2018	00085359820	LOGSDON, TRACI	MF	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/02/2018	00085359860	LOGSDON, TRACI	MF	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
12/28/2017	00088311353	MASSENGALE, LARA	MF	NEW PERSPECTIVE FUND-A	B	50.00	2.13	0.00	2.13	90.0	1.92
12/28/2017	00088311353	MASSENGALE, LARA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
12/28/2017	00088338383	MASSENGALE, JASON	MF	NEW PERSPECTIVE FUND-A	B	50.00	2.13	0.00	2.13	90.0	1.92
12/28/2017	00088338383	MASSENGALE, JASON	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/02/2018	00088996224	LOGSDON, AVARI	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/02/2018	00089500058	PERTLL, JAMES	MF	AMCAP FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/02/2018	00089500058	PERTLL, JAMES	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	90.0	2.25
01/02/2018	04000452396	PERTLL, JAMES	MF	AMCAP FUND-A	B	50.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	04000452396	PERTLL, JAMES	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *						\$1,150.00	\$47.42	\$0.00	\$47.42		\$42.71
*** DIRECT TRANSACTIONS TOTAL ***						\$1,150.00	\$47.42	\$0.00	\$47.42		\$42.71

**BROKER COMMISSION TOTALS**

Broker Transactions	\$42.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$42.71</b>
<b>Year-to-date Commissions</b>	<b>\$4,370.24</b>