

**COMMISSION STATEMENT****August 31, 2018**

DANIEL, R132 RAUPP  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: R132  
 BRANCH: FORTITUDE INVESTMENT

| DATE   | CLIENT           | INVESTMENT           | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|------------------|----------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                            |                  |                      |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |                  |                      |                      |                     |                    |                   |      |                      |
| 07/19/2018   | LIEBMAN, FRANCES | TRANSAMERICA LIBERTY | 0.00                 | 218.15              | 0.00               | 218.15            | 97.0 | 211.61               |
| 07/29/2018   | TRAINOR, EDWARD  | TRANSAMERICA LIBERTY | 0.00                 | 45.72               | 0.00               | 45.72             | 97.0 | 44.35                |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *                    |                  |                      | \$0.00               | \$263.87            | \$0.00             | \$263.87          |      | \$255.96             |
| *** DIRECT TRANSACTIONS TOTAL ***                            |                  |                      | \$0.00               | \$263.87            | \$0.00             | \$263.87          |      | \$255.96             |

**SPLIT TRANSACTIONS****BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253**

|   |                    |                                     |             |        |        |        |      |        |
|---|--------------------|-------------------------------------|-------------|--------|--------|--------|------|--------|
| 08/10/2018                                    | FIVE STAR BUS 401K | FORWARD GROWTH ALLOCATION FUND CLAS | -6,299.84   | 5.50   | 5.50   | 0.00   | 97.0 | 0.00   |
| * JEFFREY/DAN FT- BUM NY KIESNOSKI SUBTOTAL * |                    |                                     | \$-6,299.84 | \$5.50 | \$5.50 | \$0.00 |      | \$0.00 |

**BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254**

|  |                  |                          |             |            |        |            |      |            |
|--|------------------|--------------------------|-------------|------------|--------|------------|------|------------|
| 08/02/2018                                       | O'HARA, LORRAINE | LFG CHOICEPLUS ASSURANCE | -75,804.51  | -1,525.57  | 0.00   | -1,525.57  | 97.0 | -1,479.80  |
| 08/02/2018                                       | O'HARA, LORRAINE | LFG CHOICEPLUS ASSURANCE | 151,609.02  | 3,051.13   | 0.00   | 3,051.13   | 97.0 | 2,959.60   |
| * DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL * |                  |                          | \$75,804.51 | \$1,525.56 | \$0.00 | \$1,525.56 |      | \$1,479.80 |

**BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255**

|  |                       |                      |              |        |         |          |      |          |
|--|-----------------------|----------------------|--------------|--------|---------|----------|------|----------|
| 08/15/2018                                     | PICCIRILLO, ALEXANDER | ALTRIA GROUP INC COM | -6,023.50    | 0.00   | 11.25   | -11.25   | 97.0 | -10.91   |
| 08/15/2018                                     | PICCIRILLO, ALEXANDER | AMAZON COM INC       | -18,790.01   | 0.00   | 11.25   | -11.25   | 97.0 | -10.91   |
| * DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL * |                       |                      | \$-24,813.51 | \$0.00 | \$22.50 | \$-22.50 |      | \$-21.82 |

**BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350**

|  |                  |                                     |             |        |         |          |      |          |
|--|------------------|-------------------------------------|-------------|--------|---------|----------|------|----------|
| 08/10/2018                                     | TRINKLEIN, FRITZ | VANGUARD INTERMED TERM CORP BOND IN | 34,000.00   | 0.00   | 16.50   | -16.50   | 97.0 | -16.01   |
| * DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL * |                  |                                     | \$34,000.00 | \$0.00 | \$16.50 | \$-16.50 |      | \$-16.01 |

**BROKER: DAN/JEFF/JONPAU FT - R148 RAUP/KIES #446**

|  |               |                                 |              |            |        |            |      |            |
|--|---------------|---------------------------------|--------------|------------|--------|------------|------|------------|
| 08/09/2018                                       | HURLEY, NANCY | STARBOARD BADGER MOUNTAIN RANCH | 200,000.00   | 4,800.00   | 0.00   | 4,800.00   | 97.0 | 4,656.00   |
| * DAN/JEFF/JONPAU FT - R148 RAUP/KIES SUBTOTAL * |               |                                 | \$200,000.00 | \$4,800.00 | \$0.00 | \$4,800.00 |      | \$4,656.00 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE   | CLIENT           | INVESTMENT                       | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|------------------|----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>                |                  |                                  |                      |                     |                    |                   |      |                      |
| *** SPLIT TRANSACTIONS TOTAL ***                     |                  |                                  | \$278,691.16         | \$6,331.06          | \$44.50            | \$6,286.56        |      | \$6,097.97           |
| <b><u> OVERRIDE TRANSACTIONS </u></b>                |                  |                                  |                      |                     |                    |                   |      |                      |
| <b><u> BROKER: ALAN, L133 LICHTENSTEIN #249 </u></b> |                  |                                  |                      |                     |                    |                   |      |                      |
| 08/13/2018   | SANTOS, TEODOCIA | PRUDENTIALDEFINED INCOME         | 50,000.00            | 1,875.00            | 0.00               | 1,875.00          | 75.0 | 206.25               |
| * ALAN, L133 LICHTENSTEIN SUBTOTAL *                 |                  |                                  | \$50,000.00          | \$1,875.00          | \$0.00             | \$1,875.00        |      | \$206.25             |
| *** OVERRIDE TRANSACTIONS TOTAL ***                  |                  |                                  | \$50,000.00          | \$1,875.00          | \$0.00             | \$1,875.00        |      | \$206.25             |
| <b><u> ADJUSTMENTS </u></b>                          |                  |                                  |                      |                     |                    |                   |      |                      |
| 08/31/2018   |                  | *MISC: C FULMER, A LEEDS K141    | 0.00                 |                     |                    |                   |      | 540.00               |
| 08/31/2018   |                  | *MISC: C FULMER, A LEEDS C141    | 0.00                 |                     |                    |                   |      | 540.00               |
| 08/31/2018   |                  | *MISC: MISC ROY, AFLAC, 1031DST  | 0.00                 |                     |                    |                   |      | 1,326.00             |
| 08/31/2018   |                  | *MISC: ROY AFLAC 1031DST         | 0.00                 |                     |                    |                   |      | 1,126.00             |
| 08/31/2018   |                  | *MISC: S BURNS TO C141           | 0.00                 |                     |                    |                   |      | -150.00              |
| 08/31/2018   |                  | *MISC: SCHWAB CT# 7108456 PASSCO | 0.00                 |                     |                    |                   |      | 8,100.00             |
|  |                  | NONCASH REIMBURSEMENT            |                      |                     |                    |                   |      |                      |
| 08/31/2018   |                  | *MISC: SCHWAB CT# 7135826 PASSCO | 0.00                 |                     |                    |                   |      | 3,000.00             |
|  |                  | NONCASH REIMBURSEMENT            |                      |                     |                    |                   |      |                      |
| 08/31/2018   |                  | *MISC: T THOMPSON TO C141        | 0.00                 |                     |                    |                   |      | -375.00              |
| *** ADJUSTMENTS TOTAL ***                            |                  |                                  | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$14,107.00          |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |             |
|-----------------------|-------------|
| Broker Transactions   | \$255.96    |
| Split Transactions    | \$6,097.97  |
| Override Transactions | \$206.25    |
| Adjustments           | \$14,107.00 |
| Payroll Draw          | \$0.00      |
| Base Salary           | \$0.00      |
| Prior Period Balance  | \$0.00      |
| FINRA Assessment      | \$0.00      |
| SIPC Assessment       | \$0.00      |

|                       |                |
|-----------------------|----------------|
| Check Amount          | \$20,667.18    |
| Year-to-date Gross    | \$1,333,573.35 |
| Year-to-date Earnings | \$1,338,012.73 |