

COMMISSION STATEMENT

August 31, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/01/2017 (None)	VARIOUS, WINNICK	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
		* MUTUAL FUNDS SUBTOTAL *		\$0.00	\$2,500.00	\$0.00	\$2,500.00		\$1,375.00
<u>PRODUCT CATEGORY: STOCKS</u>									
08/04/2017	75501342 WINNICK, HILLARY	PBF LOGISTICS LP UNIT LTD PARTNERSH S		52,250.00	20.00	0.00	20.00	55.0	0.00
08/03/2017	75501345 WINNICK, JOELY	PBF LOGISTICS LP UNIT LTD PARTNERSH S		51,875.00	20.00	0.00	20.00	55.0	0.00
08/11/2017	75501345 WINNICK, JOELY	EMBASSY BANCORP INC	B	14,899.00	20.00	0.00	20.00	55.0	0.00
08/18/2017	75501345 WINNICK, JOELY	EMBASSY BANCORP INC	B	17,231.24	20.00	0.00	20.00	55.0	0.00
07/27/2017	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0 B		13,100.00	80.00	0.00	80.00	55.0	44.00
07/31/2017	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0 B		13,200.00	60.00	0.00	60.00	55.0	33.00
08/02/2017	QGV006075 PARTNERS, F/A/O:	CHEMBIO DIAGNOSTICS INC COM PAR \$0 B		18,975.00	90.00	0.00	90.00	55.0	49.50
08/22/2017	QGV006075 PARTNERS, F/A/O:	KKR & CO L P DEL COM UNITS	B	27,749.85	60.00	0.00	60.00	55.0	33.00
08/01/2017	QGV006182 NATIONAL FINANCIAL	AMERICAN RENAL ASSOC HLDGS INC COM S		-128,059.50	225.00	0.00	225.00	55.0	123.75
08/01/2017	QGV006182 NATIONAL FINANCIAL	CARS COM INC COM	B	428,958.25	525.00	0.00	525.00	55.0	288.75
08/22/2017	QGV006182 NATIONAL FINANCIAL	BRIGHTHOUSE FINANCIAL INC COMMON ST S		-573,023.00	300.00	0.00	300.00	55.0	165.00
08/22/2017	QGV006430 JPMORGAN CLEARING	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-312,500.00	625.00	0.00	625.00	55.0	343.75
08/23/2017	QGV006430 JPMORGAN CLEARING	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-313,125.00	625.00	0.00	625.00	55.0	343.75
08/24/2017	QGV006430 JPMORGAN CLEARING	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-313,125.00	625.00	0.00	625.00	55.0	343.75
08/25/2017	QGV006430 JPMORGAN CLEARING	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-125,250.00	250.00	0.00	250.00	55.0	137.50
08/28/2017	QGV006430 JPMORGAN CLEARING	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-188,525.25	375.00	0.00	375.00	55.0	206.25
08/22/2017	QGV006448 MORGAN STANLEY	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-312,500.00	625.00	0.00	625.00	55.0	343.75
08/23/2017	QGV006448 MORGAN STANLEY	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-313,125.00	625.00	0.00	625.00	55.0	343.75
08/24/2017	QGV006448 MORGAN STANLEY	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-313,125.00	625.00	0.00	625.00	55.0	343.75
08/25/2017	QGV006448 MORGAN STANLEY	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-125,250.00	250.00	0.00	250.00	55.0	137.50
08/28/2017	QGV006448 MORGAN STANLEY	AGNC INVT CORP DEP SHS RPSTG 1/1000 S		-188,525.25	375.00	0.00	375.00	55.0	206.25
08/04/2017	QGW031106 TIMYAN, PHILIP	BANK MUT CORP NEW COM	S	-24,393.00	55.00	0.00	55.00	55.0	30.25
08/04/2017	QGW031106 TIMYAN, PHILIP	CAROLINA FINL CORP NEW COM	S	-37,949.47	55.00	0.00	55.00	55.0	30.25
08/08/2017	QGW031106 TIMYAN, PHILIP	FIRST RESOURCE BANK PA	B	44,000.00	51.00	0.00	51.00	55.0	28.05
		* STOCKS SUBTOTAL *		\$-2,586,237.13	\$6,581.00	\$0.00	\$6,581.00		\$3,575.55

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

08/08/2017	QGW034852	HOLMES, MEGAN	PUT 100 MYLAN N V SHS EURO ISIN#NL0 S	-1,680.00	75.00	0.00	75.00	55.0	41.25
08/14/2017	QGW034852	HOLMES, MEGAN	PUT 100 TEVA PHARMACEUTICAL INDUSTR S	-1,300.00	75.00	0.00	75.00	55.0	41.25
08/25/2017	QGW034852	HOLMES, MEGAN	PUT 100 GAMESTOP CORP NEW CLASS A E S	-2,875.00	55.00	0.00	55.00	55.0	30.25
* OPTIONS SUBTOTAL *				\$-5,855.00	\$205.00	\$0.00	\$205.00		\$112.75

PRODUCT CATEGORY: MISCELLANEOUS

08/31/2017	VARIOUS, WINNICK	BARON	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$2,500.00	\$0.00	\$2,500.00		\$1,375.00
*** BROKER TRANSACTIONS TOTAL ***				\$-2,592,092.13	\$11,786.00	\$0.00	\$11,786.00		\$6,438.30

BROKER COMMISSION TOTALS

Broker Transactions	\$6,438.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.61
SIPC Assessment	\$-23.22

Check Amount	\$6,403.47
Year-to-date Earnings	\$8,989.16