

## COMMISSION STATEMENT

May 31, 2018

DANIEL SIEGEL  
99 WINGED FOOT DRIVE

LIVINGSTON, NJ 07039

BROKER #: R25  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/11/2018	QGW001497	KLUGMAN, ROBERT	PUTNAM PREMIER INCOME TRUST SHARES	S	-5,420.10	149.00	10.00	139.00	80.0	111.20
05/14/2018	QGW001497	KLUGMAN, ROBERT	KEYCORP NEW	B	8,119.96	139.00	10.00	129.00	80.0	103.20
05/22/2018	QGW001497	KLUGMAN, ROBERT	CARLYLE GROUP L P COM UNITS REPSTG	S	-8,880.00	142.00	10.00	132.00	80.0	105.60
05/11/2018	QGW009805	KELLY, ROBERT	PUTNAM PREMIER INCOME TRUST SHARES	S	-5,420.10	149.00	10.00	139.00	80.0	111.20
05/22/2018	QGW009805	KELLY, ROBERT	CARLYLE GROUP L P COM UNITS REPSTG	S	-8,880.00	142.00	10.00	132.00	80.0	105.60
05/14/2018	QGW009896	GREENBURG, JON	CARLYLE GROUP L P COM UNITS REPSTG	S	-10,812.50	63.00	10.00	53.00	80.0	42.40
05/14/2018	QGW009896	GREENBURG, JON	VODAFONE GROUP PLC SPONSORED ADR NO	S	-11,320.04	50.00	10.00	40.00	80.0	32.00
05/14/2018	QGW020919	KELLY, ROBERT	KEYCORP NEW	B	8,119.96	139.00	10.00	129.00	80.0	103.20
05/14/2018	QGW020935	KANTOR, SUSAN	KEYCORP NEW	B	6,089.97	79.00	10.00	69.00	80.0	55.20
05/11/2018	QGW022246	SUGARMAN, GAIL	PUTNAM PREMIER INCOME TRUST SHARES	S	-5,420.10	149.00	10.00	139.00	80.0	111.20
05/14/2018	QGW022246	SUGARMAN, GAIL	KEYCORP NEW	B	6,089.97	119.00	10.00	109.00	80.0	87.20
05/22/2018	QGW022246	SUGARMAN, GAIL	CARLYLE GROUP L P COM UNITS REPSTG	S	-8,880.00	142.00	10.00	132.00	80.0	105.60
05/11/2018	QGW023772	PATRICK E OBRIEN,	PUTNAM PREMIER INCOME TRUST SHARES	S	-5,420.10	149.00	10.00	139.00	80.0	111.20
05/14/2018	QGW023772	PATRICK E OBRIEN,	KEYCORP NEW	B	6,089.97	119.00	10.00	109.00	80.0	87.20
05/22/2018	QGW023772	PATRICK E OBRIEN,	CARLYLE GROUP L P COM UNITS REPSTG	S	-8,880.00	142.00	10.00	132.00	80.0	105.60
* STOCKS SUBTOTAL *					<u>\$-44,823.11</u>	<u>\$1,872.00</u>	<u>\$150.00</u>	<u>\$1,722.00</u>		<u>\$1,377.60</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018		FEE COUNT - 1			0.00	1.64	0.00	1.64	80.0	1.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$1.64</u>	<u>\$0.00</u>	<u>\$1.64</u>		<u>\$1.31</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-44,823.11</u>	<u>\$1,873.64</u>	<u>\$150.00</u>	<u>\$1,723.64</u>		<u>\$1,378.91</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,378.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.34
SIPC Assessment	\$-4.68

<b>Check Amount</b>	<b>\$1,371.89</b>
<b>Year-to-date Earnings</b>	<b>\$9,225.86</b>