



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
 Page No. 1

MARC LINSKY
 104 B. Centre Blvd

 Marlton, NJ 08053

BROKER #: L134
 BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/09/2017	MCLEAN, WAYNE	BLUEROCK TOTAL INC & REAL ESTATE FU	25,000.00	250.00	22.00	228.00	90.0	205.20
06/09/2017	PETTI, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	33,300.00	333.00	22.00	311.00	90.0	279.90
06/09/2017	MCLEAN, WAYNE	GRIFFIN INST'L ACCESS R E FUND C	25,000.00	250.00	22.00	228.00	90.0	205.20
06/09/2017	PETTI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	33,300.00	333.00	22.00	311.00	90.0	279.90
* INTERVAL FUNDS SUBTOTAL *			\$116,600.00	\$1,166.00	\$88.00	\$1,078.00		\$970.20
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BENDICK, ETHEL	AM FUNDS AMCAP 529A	0.00	2.66	0.00	2.66	90.0	2.39
06/01/2017	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	9.73	0.00	9.73	90.0	8.76
06/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	97.20	0.00	97.20	90.0	87.48
06/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.43	0.00	3.43	90.0	3.09
06/01/2017	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.43	0.00	3.43	90.0	3.09
06/01/2017	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2017	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	9.00
06/01/2017	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	101.04	0.00	101.04	90.0	90.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.74	0.00	1.74	90.0	1.57
06/01/2017	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.84	0.00	5.84	90.0	5.26
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2017	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.09	0.00	1.09	90.0	0.98
06/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.11	0.00	7.11	90.0	6.40
06/01/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.39	0.00	7.39	90.0	6.65
06/01/2017	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.42	0.00	3.42	90.0	3.08
06/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	11.92	0.00	11.92	90.0	10.73
06/01/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	92.22	0.00	92.22	90.0	83.00
06/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.50	0.00	3.50	90.0	3.15
06/01/2017	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.50	0.00	3.50	90.0	3.15
06/01/2017	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.14	0.00	7.14	90.0	6.43
06/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2017	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
06/01/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.46	0.00	7.46	90.0	6.71
06/01/2017	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.64	0.00	7.64	90.0	6.88
06/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.14	0.00	3.14	90.0	2.83
06/01/2017	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.90	0.00	2.90	90.0	2.61
06/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.76	0.00	3.76	90.0	3.38
06/01/2017	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	90.0	3.56
06/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.66	0.00	0.66	90.0	0.59

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.31	0.00	1.31	90.0	1.18
06/01/2017	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	2.94	0.00	2.94	90.0	2.65
06/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	6.01	0.00	6.01	90.0	5.41
06/01/2017	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	6.04	0.00	6.04	90.0	5.44
06/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.54	0.00	2.54	90.0	2.29
06/01/2017	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.54	0.00	2.54	90.0	2.29
06/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.21	0.00	6.21	90.0	5.59
06/01/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.45	0.00	6.45	90.0	5.81
06/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	CENTANNI, RICHARD	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	BOWERS, DORA	BLK HIGH YIELD BOND A	-797.28	0.00	0.00	0.00	90.0	0.00
06/01/2017	BOWERS, DORA	BLK INFLATION PROTECTED BOND A	-792.76	0.00	0.00	0.00	90.0	0.00
06/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	6.87	0.00	6.87	90.0	6.18
06/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.48	0.00	2.48	90.0	2.23
06/01/2017	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.48	0.00	2.48	90.0	2.23
06/01/2017	BOWERS, DORA	COLUMBIA INTERMEDIATE BOND FD A	-3,533.41	0.00	0.00	0.00	90.0	0.00
06/01/2017	BOWERS, DORA	CREDIT SUISSE COMMODITY RETURN STRA	-446.41	0.00	0.00	0.00	90.0	0.00
06/01/2017	BOWERS, DORA	FEDERATED TOTAL RETURN BOND A	-3,229.25	0.00	0.00	0.00	90.0	0.00
06/01/2017	BOWERS, DORA	FIDELITY ADVISOR TOTAL BOND FUND CL	-2,409.00	22.00	22.00	0.00	90.0	0.00
06/09/2017	MCLEAN, WAYNE	RESOURCE REAL ESTATE DIVERSIFIED IN	25,000.00	250.00	22.00	228.00	90.0	205.20
06/09/2017	PETTI, JOHN	RESOURCE REAL ESTATE DIVERSIFIED IN	33,300.00	333.00	22.00	311.00	90.0	279.90
06/01/2017	BOWERS, DORA	WELLS FARGO INTL BOND A	-712.08	0.00	0.00	0.00	90.0	0.00
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	8.77	0.00	8.77	90.0	7.89
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.34	0.00	0.34	90.0	0.31
05/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
05/30/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	6.71	0.00	6.71	90.0	6.04

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/30/2017	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	200.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$48,254.81	\$1,144.68	\$66.00	\$1,078.68		\$970.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/05/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
05/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	259,070.85	215.89	0.00	215.89	90.0	194.30
05/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	260,222.84	216.85	0.00	216.85	90.0	195.17
05/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	408,104.98	340.09	0.00	340.09	90.0	306.08
05/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	517,275.72	431.06	0.00	431.06	90.0	387.95
05/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	104,788.72	87.32	0.00	87.32	90.0	78.59
05/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,060.12	2.93	0.00	2.93	90.0	2.64
05/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,307.28	171.09	0.00	171.09	90.0	153.98
06/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	9.00
06/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	23.13
05/18/2017	CORRIGAN, KELLYANN	METLIFE SHEILD LEVEL SELECTOR EQTY	49,393.67	2,963.62	0.00	2,963.62	90.0	2,667.26
06/05/2017	FARBMAN, SANDRA	METLIFE SHEILD LEVEL SELECTOR EQTY	200,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
05/30/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	145.36	0.00	145.36	90.0	130.82
05/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	111,316.52	92.76	0.00	92.76	90.0	83.48
* VARIABLE ANNUITIES SUBTOTAL *			\$2,130,631.70	\$10,723.67	\$0.00	\$10,723.67		\$9,651.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/09/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.81	0.00	0.81	90.0	0.73
05/09/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	10.82	0.00	10.82	90.0	9.74
05/19/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	3.44	0.00	3.44	90.0	3.10
05/15/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.41	0.00	3.41	90.0	3.07
05/15/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.20	0.00	7.20	90.0	6.48
05/15/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
05/15/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.65	0.00	1.65	90.0	1.49
05/15/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	90.0	0.40
05/15/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	90.0	0.40
05/15/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	0.91	0.00	0.91	90.0	0.82
05/15/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.90	0.00	0.90	90.0	0.81
05/15/2017	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.74	0.00	0.74	90.0	0.67
05/15/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.37	0.00	3.37	90.0	3.03

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.68	0.00	2.68	90.0	2.41
05/15/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.27	0.00	1.27	90.0	1.14
05/15/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.64	0.00	0.64	90.0	0.58
05/15/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.45	0.00	2.45	90.0	2.21
05/15/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.45	0.00	12.45	90.0	11.21
05/15/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.37	0.00	1.37	90.0	1.23
05/15/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	90.0	0.71
05/15/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.02	0.00	4.02	90.0	3.62
05/15/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	0.00	1.45	90.0	1.31
05/15/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.50	0.00	5.50	90.0	4.95
05/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.95	0.00	3.95	90.0	3.56
05/15/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.57	0.00	1.57	90.0	1.41
05/15/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.34	0.00	0.34	90.0	0.31
05/15/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	0.00	0.84	90.0	0.76
05/15/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
05/15/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	90.0	0.51
05/15/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.84
05/15/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	90.0	0.51
05/15/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.39	0.00	2.39	90.0	2.15
05/15/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.33	0.00	2.33	90.0	2.10
05/15/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.78	0.00	4.78	90.0	4.30
05/15/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.85	0.00	4.85	90.0	4.37
05/15/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.36	0.00	1.36	90.0	1.22
05/15/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.12	0.00	8.12	90.0	7.31
05/15/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.51	0.00	0.51	90.0	0.46
05/15/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.84	0.00	0.84	90.0	0.76
05/15/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.51	0.00	0.51	90.0	0.46
05/15/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.52	0.00	0.52	90.0	0.47
05/15/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.52	0.00	0.52	90.0	0.47
05/15/2017	APPLEGATE, PAMAEALA	AM FUNDS ICA A	0.00	0.91	0.00	0.91	90.0	0.82
05/15/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	4.90	0.00	4.90	90.0	4.41
05/15/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	11.40	0.00	11.40	90.0	10.26
05/15/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.42	0.00	4.42	90.0	3.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/15/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.24	0.00	4.24	90.0	3.82
05/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	4.30
05/15/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.99	0.00	3.99	90.0	3.59
05/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.47	0.00	19.47	90.0	17.52
05/15/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.86	0.00	2.86	90.0	2.57
05/15/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.66	0.00	0.66	90.0	0.59
05/15/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.66	0.00	0.66	90.0	0.59
05/15/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	0.94	0.00	0.94	90.0	0.85
05/15/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	1.46
05/15/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.14	0.00	5.14	90.0	4.63
05/15/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.30	0.00	1.30	90.0	1.17
05/15/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.93	0.00	2.93	90.0	2.64
05/15/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.51	0.00	0.51	90.0	0.46
05/15/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.83	0.00	0.83	90.0	0.75
05/15/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	90.0	0.45
05/19/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.77	0.00	3.77	90.0	3.39
05/15/2017	GARLICK, MILDRED	AMERICAN FUNDS INFLATION LINKED BON	0.00	3.47	0.00	3.47	90.0	3.12
05/30/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.44	0.00	19.44	90.0	17.50
05/30/2017	BOWERS, DORA	BLK HIGH YIELD BOND A	0.00	0.16	0.00	0.16	90.0	0.14
05/30/2017	BOWERS, DORA	BLK INFLATION PROTECTED BOND A	0.00	0.16	0.00	0.16	90.0	0.14
05/19/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.29	0.00	1.29	90.0	1.16
05/19/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.05	0.00	4.05	90.0	3.65
05/22/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	0.93	0.00	0.93	90.0	0.84
05/22/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	13.46	0.00	13.46	90.0	12.11
05/22/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	6.93	0.00	6.93	90.0	6.24
05/22/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.46	0.00	2.46	90.0	2.21
05/22/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.06	0.00	1.06	90.0	0.95
05/22/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.26	0.00	0.26	90.0	0.23
05/22/2017	BOWERS, DORA	COLUMBIA INTERMEDIATE BOND FD A	0.00	0.72	0.00	0.72	90.0	0.65
05/22/2017	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.29	0.00	0.29	90.0	0.26
05/22/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.81	0.00	0.81	90.0	0.73
05/22/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.26	0.00	2.26	90.0	2.03
05/22/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.00	0.00	1.00	90.0	0.90

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/22/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.43	0.00	10.43	90.0	9.39
05/22/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.19	0.00	0.19	90.0	0.17
05/22/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.30	0.00	2.30	90.0	2.07
05/15/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.37	0.00	0.37	90.0	0.33
05/15/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.67	0.00	0.67	90.0	0.60
05/11/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	2.94	0.00	2.94	90.0	2.65
05/11/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
05/11/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	60.20	0.00	60.20	90.0	54.18
05/18/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.71	0.00	0.71	90.0	0.64
05/24/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.09	0.00	1.09	90.0	0.98
05/24/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.30	0.00	0.30	90.0	0.27
05/24/2017	BOWERS, DORA	FEDERATED TOTAL RETURN BOND A	0.00	0.66	0.00	0.66	90.0	0.59
05/18/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.50	0.00	7.50	90.0	6.75
05/18/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.36	0.00	8.36	90.0	7.52
05/18/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.47	0.00	1.47	90.0	1.32
05/18/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.73	0.00	5.73	90.0	5.16
05/18/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.25	0.00	0.25	90.0	0.23
05/18/2017	BOWERS, DORA	FIDELITY ADVISOR TOTAL BOND FUND CL	0.00	0.49	0.00	0.49	90.0	0.44
05/18/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.57	0.00	2.57	90.0	2.31
05/11/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	12.12	0.00	12.12	90.0	10.91
05/11/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.53	0.00	3.53	90.0	3.18
05/11/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.12	0.00	1.12	90.0	1.01
05/11/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.22	0.00	5.22	90.0	4.70
05/11/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.77	0.00	0.77	90.0	0.69
05/11/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.54	0.00	0.54	90.0	0.49
05/11/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.73	0.00	2.73	90.0	2.46
05/17/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	13.99	0.00	13.99	90.0	12.59
05/17/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	5.24	0.00	5.24	90.0	4.72
05/17/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	2.69	0.00	2.69	90.0	2.42
05/17/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.24	0.00	0.24	90.0	0.22
05/17/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.56	0.00	0.56	90.0	0.50
05/17/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.69	0.00	12.69	90.0	11.42
05/17/2017	HALL, GLORIA	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	6.45	0.00	6.45	90.0	5.81

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/17/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.31	0.00	4.31	90.0	3.88
05/23/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.39	0.00	3.39	90.0	3.05
05/23/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	77.68	0.00	77.68	90.0	69.91
05/23/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	37.36	0.00	37.36	90.0	33.62
05/23/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	31.48	0.00	31.48	90.0	28.33
05/23/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	408.25	0.00	408.25	90.0	367.43
05/23/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	10.27	0.00	10.27	90.0	9.24
05/23/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	6.69	0.00	6.69	90.0	6.02
05/23/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.13	0.00	7.13	90.0	6.42
05/23/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	30.21	0.00	30.21	90.0	27.19
05/23/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	28.20	0.00	28.20	90.0	25.38
05/23/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	10.27	0.00	10.27	90.0	9.24
05/23/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.56	0.00	2.56	90.0	2.30
05/23/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.56	0.00	2.56	90.0	2.30
05/23/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	3.85	0.00	3.85	90.0	3.47
05/23/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	67.86	0.00	67.86	90.0	61.07
05/23/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	42.32	0.00	42.32	90.0	38.09
05/23/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	19.47	0.00	19.47	90.0	17.52
05/23/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	13.38	0.00	13.38	90.0	12.04
05/23/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	19.48	0.00	19.48	90.0	17.53
05/23/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	0.03	0.00	0.03	90.0	0.03
05/23/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.71	0.00	10.71	90.0	9.64
05/23/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.11	0.00	4.11	90.0	3.70
05/17/2017	APPLEGATE, PAMAELE	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.27	0.00	0.27	90.0	0.24
05/31/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.74	0.00	3.74	90.0	3.37
05/31/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.74	0.00	3.74	90.0	3.37
05/11/2017	BOWERS, DORA	JANUS FUND CLASS A	0.00	0.99	0.00	0.99	90.0	0.89
05/19/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.16	0.00	0.16	90.0	0.14
05/17/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.59	0.00	0.59	90.0	0.53
05/22/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.85	0.00	2.85	90.0	2.57
05/22/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	1.99	0.00	1.99	90.0	1.79
05/22/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	0.00	0.52	0.00	0.52	90.0	0.47
05/22/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.64	0.00	2.64	90.0	2.38

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/22/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.70	0.00	7.70	90.0	6.93
05/22/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.51	0.00	2.51	90.0	2.26
05/22/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	110.78	0.00	110.78	90.0	99.70
05/22/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	113.49	0.00	113.49	90.0	102.14
05/22/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	27.27	0.00	27.27	90.0	24.54
05/22/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.37	0.00	19.37	90.0	17.43
05/22/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.83	0.00	0.83	90.0	0.75
05/22/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.91	0.00	4.91	90.0	4.42
05/22/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.81	0.00	3.81	90.0	3.43
05/22/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.42	0.00	10.42	90.0	9.38
05/22/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.66	0.00	5.66	90.0	5.09
05/22/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.91	0.00	5.91	90.0	5.32
05/22/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.69	0.00	14.69	90.0	13.22
05/22/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
05/22/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.97	0.00	2.97	90.0	2.67
05/22/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.64	0.00	2.64	90.0	2.38
05/22/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	1.81	0.00	1.81	90.0	1.63
05/22/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.45	0.00	1.45	90.0	1.31
05/22/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.64	0.00	19.64	90.0	17.68
05/22/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.64	0.00	1.64	90.0	1.48
05/22/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.65	0.00	2.65	90.0	2.39
05/22/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.03	0.00	2.03	90.0	1.83
05/22/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.17	0.00	6.17	90.0	5.55
05/22/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	95.30	0.00	95.30	90.0	85.77
05/22/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.35	0.00	11.35	90.0	10.22
05/22/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.41	0.00	6.41	90.0	5.77
05/22/2017	BROSIOUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.17	0.00	6.17	90.0	5.55
05/22/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.52	0.00	12.52	90.0	11.27
05/10/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	11.45	0.00	11.45	90.0	10.31
05/10/2017	FARBMAN, SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.94	0.00	1.94	90.0	1.75
05/10/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.95	0.00	2.95	90.0	2.66
05/10/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.47	0.00	0.47	90.0	0.42
05/10/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.16	0.00	1.16	90.0	1.04

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/10/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.63	0.00	0.63	90.0	0.57
05/12/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	5.80	0.00	5.80	90.0	5.22
05/12/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	13.60	0.00	13.60	90.0	12.24
05/12/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.81	0.00	7.81	90.0	7.03
05/12/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	13.49	0.00	13.49	90.0	12.14
05/12/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	13.70	0.00	13.70	90.0	12.33
05/12/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.61	0.00	0.61	90.0	0.55
05/12/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	8.78	0.00	8.78	90.0	7.90
05/12/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.02	0.00	21.02	90.0	18.92
05/12/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	7.13	0.00	7.13	90.0	6.42
05/12/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	16.83	0.00	16.83	90.0	15.15
05/12/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	4.88	0.00	4.88	90.0	4.39
05/12/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.32	0.00	5.32	90.0	4.79
05/12/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	23.71	0.00	23.71	90.0	21.34
05/12/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	9.70	0.00	9.70	90.0	8.73
05/12/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	8.57	0.00	8.57	90.0	7.71
05/12/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	13.34	0.00	13.34	90.0	12.01
05/22/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.06	0.00	1.06	90.0	0.95
05/22/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.89	0.00	0.89	90.0	0.80
05/22/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.37
05/22/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.54	0.00	0.54	90.0	0.49
05/22/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.21	0.00	2.21	90.0	1.99
05/22/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	2.85	0.00	2.85	90.0	2.57
05/22/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.84	0.00	0.84	90.0	0.76
05/22/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	36.22	0.00	36.22	90.0	32.60
05/22/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.56	0.00	2.56	90.0	2.30
05/22/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.73	0.00	1.73	90.0	1.56
05/15/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	230.96	0.00	230.96	90.0	207.86
05/25/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	11.60	0.00	11.60	90.0	10.44
05/25/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.63	0.00	2.63	90.0	2.37
05/15/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.66	0.00	12.66	90.0	11.39
05/15/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.28	0.00	17.28	90.0	15.55
05/15/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.69	0.00	5.69	90.0	5.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/11/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.81	0.00	11.81	90.0	10.63
05/11/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.46	0.00	1.46	90.0	1.31
05/11/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.32	0.00	0.32	90.0	0.29
05/11/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.80	0.00	10.80	90.0	9.72
05/30/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.35	0.00	1.35	90.0	1.22
05/26/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.41	0.00	0.41	90.0	0.37
05/18/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	4.71	0.00	4.71	90.0	4.24
05/16/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	8.02	0.00	8.02	90.0	7.22
05/16/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	21.49	0.00	21.49	90.0	19.34
05/16/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.59	0.00	10.59	90.0	9.53
05/16/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.17	0.00	0.17	90.0	0.15
05/16/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	16.52	0.00	16.52	90.0	14.87
05/16/2017	BOWERS, DORA	WELLS FARGO INTL BOND A	0.00	0.14	0.00	0.14	90.0	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,381.53	\$0.00	\$2,381.53		\$2,143.48
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/22/2017	GUERRIERI, CHARLES	ING ACCESS	0.00	62.10	0.00	62.10	90.0	55.89
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$62.10	\$0.00	\$62.10		\$55.89
*** BROKER TRANSACTIONS TOTAL ***			\$2,295,486.51	\$15,477.98	\$154.00	\$15,323.98		\$13,791.76

SPLIT TRANSACTIONS**BROKER: J30 MARC/MICHAEL LINSKY/FOX #266**

05/15/2017	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.22
05/15/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.19	0.00	1.19	90.0	1.07
05/15/2017	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.79	0.00	0.79	90.0	0.71
05/15/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	90.0	0.06
05/15/2017	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
05/12/2017	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.10	0.00	1.10	90.0	0.99
05/11/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.10	0.00	0.10	90.0	0.09
05/17/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.56	0.00	0.56	90.0	0.50
05/23/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.36	0.00	3.36	90.0	3.02
05/22/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.06	0.00	1.06	90.0	0.95
05/22/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.37	0.00	2.37	90.0	2.13

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u>								
05/22/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.12	0.00	4.12	90.0	3.71
05/22/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.77	0.00	13.77	90.0	12.39
05/25/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.53	0.00	0.53	90.0	0.48
05/15/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.05	0.00	9.05	90.0	8.15
05/15/2017	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	4.97	0.00	4.97	90.0	4.47
05/15/2017	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.69	0.00	4.69	90.0	4.22
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$0.00	\$48.39	\$0.00	\$48.39		\$43.54
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
05/17/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.20	0.00	0.20	90.0	0.18
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.20	\$0.00	\$0.20		\$0.18
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
05/15/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	90.0	0.01
05/19/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.20	0.00	1.20	90.0	1.08
05/19/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.55	0.00	4.55	90.0	4.10
05/19/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.00	0.00	3.00	90.0	2.70
05/19/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.18	0.00	2.18	90.0	1.96
05/23/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.35	0.00	0.35	90.0	0.32
05/23/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.86	0.00	0.86	90.0	0.77
05/23/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.04	0.00	0.04	90.0	0.04
05/23/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	90.0	0.12
05/25/2017	FLAHERTY, STEPHEN	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	4,900.00	0.00	4,900.00	90.0	4,410.00
05/26/2017	FLAHERTY, STEPHEN	GPB AUTOMOTIVE PORTFOLIO LP	200,000.00	0.00	0.00	0.00	90.0	0.00
06/09/2017	FLAHERTY, PATRICIA	GPB WASTE MANAGEMENT LP	50,000.00	1,225.00	0.00	1,225.00	90.0	1,102.50
06/01/2017	FLAHERTY, PATRICIA	GPB WASTE MANAGEMENT LP CLASS A	50,000.00	0.00	0.00	0.00	90.0	0.00
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$500,000.00	\$6,137.32	\$0.00	\$6,137.32		\$5,523.60
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
05/15/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
05/19/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.75	0.00	2.75	90.0	2.48
05/30/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.32	0.00	2.32	90.0	2.09
05/19/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.82	0.00	0.82	90.0	0.74
05/22/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.25	0.00	3.25	90.0	2.93
05/22/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.32	0.00	1.32	90.0	1.19

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
05/15/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.08	0.00	2.08	90.0	1.87
05/15/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	5.66	0.00	5.66	90.0	5.09
05/24/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	6.02	0.00	6.02	90.0	5.42
05/24/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.09	0.00	2.09	90.0	1.88
05/24/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.92	0.00	0.92	90.0	0.83
05/11/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	2.93	0.00	2.93	90.0	2.64
05/19/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.42	0.00	0.42	90.0	0.38
05/22/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.94	0.00	0.94	90.0	0.85
05/22/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.67	0.00	1.67	90.0	1.50
06/02/2017	FIAMINGO, NANCY	PREFERRED APT CMNTYS INC COM	-48,898.80	0.00	13.25	-13.25	90.0	-11.93
05/16/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.93	0.00	0.93	90.0	0.84
05/16/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.47	0.00	0.47	90.0	0.42
05/16/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.15	0.00	0.15	90.0	0.14
* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *			\$-48,898.80	\$35.20	\$13.25	\$21.95		\$19.77
*** SPLIT TRANSACTIONS TOTAL ***			\$451,101.20	\$6,221.11	\$13.25	\$6,207.86		\$5,587.09

ADJUSTMENTS

06/15/2017	*EO INS: CURRENT MONTH INS	0.00	-300.00
06/15/2017	*EO INS: CURRENT MONTH INS	0.00	-105.00
06/15/2017	*EO INS: CURRENT MONTH-T THOMPSON	0.00	-105.00
06/15/2017	*EO INS: N ELIAS	0.00	-105.00
06/15/2017	*FEDERAL EXPRESS: 5-789-87350 5/3	0.00	-143.38
06/15/2017	*FEDERAL EXPRESS: 5-813-34834 5/24	0.00	-25.40
06/15/2017	*FEDERAL EXPRESS: 5-819-99562 5/31	0.00	-17.17
06/15/2017	*FEDERAL EXPRESS: 5-826-43265 6/7	0.00	-107.45
06/15/2017	*FINRA: CE TRACKED WEB FEE CASO	0.00	-55.00
06/15/2017	*FINRA: S7 EXAM MILBERY	0.00	-152.50
06/15/2017	*MARKETING LIBRARY: MARKETING LIBRA	0.00	-45.00
06/15/2017	*MISC: GOLF EVENT	0.00	-1,400.00
06/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-1.50
06/15/2017	*PERSHING: BOOKS & RECORDS	0.00	-2.10

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<u>ADJUSTMENTS</u>								
06/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-3.85
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-16.65
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
06/15/2017		*TECH: ADMIN - T CASO	0.00					-100.00
06/15/2017		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
06/15/2017		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
06/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
06/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,449.29

BROKER COMMISSION TOTALS

Broker Transactions	\$13,791.76
Split Transactions	\$5,587.09
Override Transactions	\$0.00
Adjustments	\$-3,449.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,929.56
Year-to-date Gross	\$401,079.66
Year-to-date Earnings	\$362,037.85

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