

COMMISSION STATEMENT

March 31, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVE

BROKER #: 35
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/26/2018	00084570136	ALANCRAIG, SUSAN	NEW WORLD FUND-C	B	6,500.00	65.00	0.00	65.00	50.0	32.50
03/09/2018	75501483	SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
03/05/2018	75501657	DLUGOSZ, JOHN	AMERICAN HIGH-INCOME TRUST-A	S	12,503.53	0.00	0.00	0.00	50.0	0.00
03/09/2018	75501879	LIBOVE, MICHAEL	DEUTSCHE SHORT DURATION FUND CL S	S	17,836.33	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502085	GINSBURG, JANICE	**PRUDENTIAL JENNISON 20/20	S	10,292.97	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502085	GINSBURG, JANICE	**VICTORY PORTFOLIOS MUNDER	S	5,517.90	0.00	0.00	0.00	50.0	0.00
03/12/2018	75502161	LORI LERNER EXEC	AB INCOME FUND ADVISOR CLASS	S	80.93	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$53,231.66	\$65.00	\$0.00	\$65.00		\$32.50

PRODUCT CATEGORY: STOCKS

02/27/2018	75501647	GINSBURG, JANICE	ALPHABET INC CLASS A COMMON STOCK	B	16,769.25	50.00	0.00	50.00	50.0	25.00
03/14/2018	75501647	GINSBURG, JANICE	THE TRADE DESK INC COM CL A	B	5,905.56	50.00	0.00	50.00	50.0	25.00
02/27/2018	75501654	KINSLEY, GEORGE	CISCO SYS INC	B	4,550.00	93.06	0.00	93.06	50.0	46.53
03/01/2018	75501739	STAUFFER, JUDITH	ROCKWELL COLLINS INC DEL COM STK	S	7,439.04	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501739	STAUFFER, JUDITH	ROCKWELL COLLINS INC DEL COM STK	S	6,336.96	0.00	0.00	0.00	50.0	0.00
03/09/2018	75501879	LIBOVE, MICHAEL	KNOWLES CORPORATION	S	570.28	0.00	0.00	0.00	50.0	0.00
03/09/2018	75501879	LIBOVE, MICHAEL	KRAFT HEINZ COMPANY (THE) COMMON ST S	S	4,711.18	0.00	0.00	0.00	50.0	0.00
02/27/2018	75501964	WELLER, PATRICK	ALPS ETF TR ALERIAN MLP	S	780.75	50.00	0.00	50.00	50.0	25.00
02/27/2018	75501964	WELLER, PATRICK	APPLE INC	S	18,795.00	130.13	0.00	130.13	50.0	65.07
02/27/2018	75501964	WELLER, PATRICK	POWERSHARES EXCHANGE TRADED FD TR I S	S	4,771.00	94.50	0.00	94.50	50.0	47.25
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF	B	8,495.94	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD	B	8,507.95	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 VALUE ETF B	B	7,011.90	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES TR S&P MIDCAP 400 GROWTH	B	6,968.80	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES U S CONSUMER SERVICES ETF	B	10,269.15	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES U S INDUSTRIALS ETF	B	10,346.90	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES U S BASIC MATERIALS ETF	B	6,551.32	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES U S FINANCIALS ETF	B	10,301.73	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	ISHARES U S TECHNOLOGY ETF	B	10,293.56	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1	B	8,422.98	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993	ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR B	B	15,345.00	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/14/2018	75501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR	S	-15,345.00	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR	B	12,870.00	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST	B	15,445.86	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST	S	-15,445.90	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST	B	14,066.77	0.00	0.00	0.00	50.0	0.00
03/14/2018	75501993 ZEGEN, LOUIS	STANDARD & POORS MIDCAP 400 SPDR S& B		6,340.64	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	ISHARES FLOATING RATE BOND ETF	B	23,228.59	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF	S	8,273.37	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD	S	8,052.21	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	ISHARES U S TECHNOLOGY ETF	S	9,684.31	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1	S	7,941.06	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR	S	5,985.50	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST	S	6,565.00	0.00	0.00	0.00	50.0	0.00
03/26/2018	75501993 ZEGEN, LOUIS	SPDR SER TR BLOOMBERG BARCLAYS INTL B		23,237.22	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	B	9,159.35	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF B		28,341.59	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	B	28,297.08	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	S	-28,297.10	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	B	15,046.86	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	B	20,565.30	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	S	-20,565.30	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	S	1,848.51	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	B	-1,848.51	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	S	8,137.80	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	B	-8,137.80	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF	B	11,116.55	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF	B	20,502.29	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF	B	20,505.23	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	B	11,187.27	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF	B	47,374.64	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	B	4,285.94	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	B	28,233.13	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	B	28,350.65	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& B		26,746.49	0.00	0.00	0.00	50.0	0.00
03/15/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	S	13,267.93	0.00	0.00	0.00	50.0	0.00
03/15/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	B	-13,267.90	0.00	0.00	0.00	50.0	0.00 C
03/15/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	S	10,369.15	0.00	0.00	0.00	50.0	0.00
03/15/2018	75502182 GIRGENTI, V JAMES	ISHARES U S ENERGY ETF	B	-10,369.20	0.00	0.00	0.00	50.0	0.00 C
03/16/2018	75502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF	B	18,372.12	0.00	0.00	0.00	50.0	0.00
03/16/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF S		13,251.50	0.00	0.00	0.00	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/16/2018	75502182	GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& S	12,669.12	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	8,971.19	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF	1,630.53	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF	11,007.35	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF	1,678.03	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	10,671.94	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF	31,837.94	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	13,442.56	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	4,899.80	0.00	0.00	0.00	50.0	0.00
03/19/2018	75502182	GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	4,846.68	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF	40,599.10	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF	17,943.17	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	17,385.69	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	17,140.56	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	14,345.40	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	14,417.15	0.00	0.00	0.00	50.0	0.00
03/26/2018	75502182	GIRGENTI, V JAMES	SPDR SER TR BLOOMBERG BARCLAYS INTL B	40,554.33	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$830,557.99	\$467.69	\$0.00	\$467.69		\$233.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/02/2018	(None)	VARIOUS, GINSBURG	LINCOLN	0.00	26.18	0.00	26.18	50.0	13.09
03/07/2018	(None)	VARIOUS, GINSBURG	JACKSON	0.00	122.52	0.00	122.52	50.0	61.26
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$148.70	\$0.00	\$148.70		\$74.35
<u>PRODUCT CATEGORY: RIA</u>									
01/17/2018	75501739	STAUFFER, JUDITH	FAS FEES PT. III/III	0.00	197.00	0.00	197.00	50.0	98.50
01/17/2018	75501744	STAUFFER, ROBERT	FAS FEES PT. III/III	-197.00	0.00	0.00	0.00	50.0	0.00
01/17/2018	75501744	STAUFFER, ROBERT	FAS FEES PT. III/III	-332.27	332.27	0.00	332.27	50.0	166.14
01/17/2018	75501744	STAUFFER, ROBERT	FAS FEES PT. III/III	-62.50	0.00	0.00	0.00	50.0	0.00
01/17/2018	75501751	STAUFFER, ROBERT	FAS FEES PT. III/III	0.00	62.50	0.00	62.50	50.0	31.25
01/17/2018	75501824	ALANCRAIG, DIANE	FAS FEES PT. III/III	-114.82	114.82	0.00	114.82	50.0	57.41
01/17/2018	75501828	ALANCRAIG, DIANE	FAS FEES PT. III/III	-62.14	62.14	0.00	62.14	50.0	31.07
01/17/2018	75501829	SEPTIEN, ALFREDO	FAS FEES PT. III/III	-56.25	56.25	0.00	56.25	50.0	28.13
01/17/2018	75501830	SEPTIEN, ALFREDO	FAS FEES PT. III/III	-102.83	102.83	0.00	102.83	50.0	51.42
01/17/2018	75501832	WEXLER, SHEILA	FAS FEES PT. III/III	-140.48	140.48	0.00	140.48	50.0	70.24
01/17/2018	75501833	GIRGENTI, V JAMES	FAS FEES PT. III/III	-394.00	394.00	0.00	394.00	50.0	197.00
01/17/2018	75501834	BUGANSKI, TERRI	FAS FEES PT. III/III	-268.13	268.13	0.00	268.13	50.0	134.07
01/17/2018	75501835	BUGANSKI, TERRI	FAS FEES PT. III/III	-30.59	30.59	0.00	30.59	50.0	15.30
01/17/2018	75501836	FARKAS, RONALD	FAS FEES PT. III/III	-219.42	219.42	0.00	219.42	50.0	109.71
01/17/2018	75501837	FARKAS, MARILYN	FAS FEES PT. III/III	-443.78	443.78	0.00	443.78	50.0	221.89

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

01/17/2018	75501868	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-425.38	425.38	0.00	425.38	50.0	212.69
01/17/2018	75501869	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-76.20	76.20	0.00	76.20	50.0	38.10
01/17/2018	75501879	LIBOVE, MICHAEL	FAS FEES PT. III/III	B	-426.24	426.24	0.00	426.24	50.0	213.12
03/12/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. I/III	B	-22.15	22.15	0.00	22.15	50.0	11.08
03/12/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. II/III	B	-22.15	22.15	0.00	22.15	50.0	11.08
03/12/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-22.15	22.15	0.00	22.15	50.0	11.08
03/13/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. I/III	B	0.00	-21.05	0.00	-21.05	50.0	-10.53
03/13/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. II/III	B	0.00	-21.05	0.00	-21.05	50.0	-10.53
03/13/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. III/III	B	0.00	-21.05	0.00	-21.05	50.0	-10.53
03/13/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. I/III	B	0.00	21.05	0.00	21.05	50.0	10.53
03/13/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. II/III	B	0.00	21.05	0.00	21.05	50.0	10.53
03/13/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. III/III	B	0.00	21.05	0.00	21.05	50.0	10.53
03/16/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. I/III	B	-40.13	40.13	0.00	40.13	50.0	20.07
03/16/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. II/III	B	-40.13	40.13	0.00	40.13	50.0	20.07
03/16/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. III/III	B	-40.13	40.13	0.00	40.13	50.0	20.07
* RIA SUBTOTAL *					<u>\$-3,538.87</u>	<u>\$3,538.87</u>	<u>\$0.00</u>	<u>\$3,538.87</u>		<u>\$1,769.49</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018		FEE COUNT - 198			0.00	916.91	0.00	916.91	50.0	451.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$916.91</u>	<u>\$0.00</u>	<u>\$916.91</u>		<u>\$451.15</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$880,250.78</u>	<u>\$5,137.17</u>	<u>\$0.00</u>	<u>\$5,137.17</u>		<u>\$2,561.34</u>

ADJUSTMENTS

03/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)			0.00	0.00	0.00	0.00		-16.67
03/31/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)			0.00	0.00	0.00	0.00		-16.90
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-33.57</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,561.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-33.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.42
SIPC Assessment	\$-12.84

Check Amount	\$2,508.51
Year-to-date Earnings	\$7,023.65