



COMMISSION STATEMENT

February 19, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	***-**-5076 - TORRES, JASON	AMCAP FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 37 Units @32.7900						
02/05/2018	***-**-5076 - TORRES, JASON	AMERICAN BALANCED FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 44 Units @27.4800						
02/05/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	211.28	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 00088244527	BUY 8 Units @27.4800						
02/05/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	211.28	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 00088244527	BUY 8 Units @27.4800						
02/06/2018	***-**-3672 - KAAH, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	71.54	3.04	0.00	3.04	70.0	2.13
	ACCOUNT #: 04000740312	BUY 4 Units @16.2100						
02/06/2018	***-**-3672 - KAAH, HEATHER	AMERICAN FUNDS 2040 TARGET DATE-A	71.54	3.04	0.00	3.04	70.0	2.13
	ACCOUNT #: 04000740312	BUY 4 Units @16.2100						
02/05/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	882.17	14.11	0.00	14.11	70.0	9.88
	ACCOUNT #: 00089463498	BUY 56 Units @15.7900						
02/05/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	264.63	4.23	0.00	4.23	70.0	2.96
	ACCOUNT #: 00089463498	BUY 17 Units @15.7900						
02/05/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	526.64	8.43	0.00	8.43	70.0	5.90
	ACCOUNT #: 04000349982	BUY 28 Units @18.6000						
02/05/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	225.72	3.61	0.00	3.61	70.0	2.53
	ACCOUNT #: 04000349982	BUY 12 Units @18.6000						
02/05/2018	***-**-5076 - TORRES, JASON	AMERICAN MUTUAL FUND-A	2,400.00	38.40	0.00	38.40	70.0	26.88
	ACCOUNT #: 00088000759	BUY 58 Units @41.1100						
02/06/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	5.54	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00088824619	BUY 0 Units @20.9800						
02/06/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD BOND FUND-A	29.53	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 00088824619	BUY 1 Units @20.9800						
02/06/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	24.92	1.06	0.00	1.06	70.0	0.74
	ACCOUNT #: 00088824619	BUY 0 Units @54.5800						
02/06/2018	***-**-6878 - KACUR, JUSTIN	CAPITAL WORLD GROWTH AND INCOME FUN	132.92	5.65	0.00	5.65	70.0	3.96
	ACCOUNT #: 00088824619	BUY 2 Units @54.5800						
02/06/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	8.31	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00088824619	BUY 0 Units @59.9300						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/06/2018	***-**-6878 - KACUR, JUSTIN	EUROPACIFIC GROWTH FUND-A	44.31	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: 00088824619	BUY 1 Units @59.9300						
02/06/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	17.00	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 04000234328	BUY 0 Units @62.7300						
02/06/2018	***-**-8526 - O'LEARY, MARY	FUNDAMENTAL INVESTORS-C	5.10	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 04000234328	BUY 0 Units @62.7300						
02/05/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	58.94	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: 00075179061	BUY 1 Units @44.5900						
02/05/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	44.21	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: 00075179061	BUY 1 Units @44.5900						
02/05/2018	***-**-5076 - TORRES, JASON	NEW PERSPECTIVE FUND-A	600.00	9.60	0.00	9.60	70.0	6.72
	ACCOUNT #: 00088000759	BUY 13 Units @44.5900						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	63.38	1.01	0.00	1.01	70.0	0.71
	ACCOUNT #: 00088394220	BUY 1 Units @44.5900						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	63.38	1.01	0.00	1.01	70.0	0.71
	ACCOUNT #: 00088394220	BUY 1 Units @44.5900						
02/05/2018	***-**-5076 - TORRES, JASON	SMALLCAP WORLD FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 21 Units @57.0900						
02/05/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	58.94	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: 00075179061	BUY 5 Units @12.9800						
02/05/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	44.21	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: 00075179061	BUY 3 Units @12.9800						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	21.13	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: 00088394220	BUY 2 Units @12.9800						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	21.13	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: 00088394220	BUY 2 Units @12.9800						
02/05/2018	***-**-5076 - TORRES, JASON	THE GROWTH FUND OF AMERICA-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 23 Units @51.6400						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	84.51	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00088394220	BUY 2 Units @51.6400						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	84.51	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00088394220	BUY 2 Units @51.6400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/06/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @54.2700						
02/06/2018	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @54.2700						
02/05/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	58.94	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: 00075179061	BUY 3 Units @23.5400						
02/05/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	44.19	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: 00075179061	BUY 2 Units @23.5400						
02/05/2018	***-**-5076 - TORRES, JASON	THE INCOME FUND OF AMERICA-A	600.00	9.60	0.00	9.60	70.0	6.72
	ACCOUNT #: 00088000759	BUY 25 Units @23.5400						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	42.26	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: 00088394220	BUY 2 Units @23.5400						
02/05/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	42.26	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: 00088394220	BUY 2 Units @23.5400						
02/06/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.4300						
02/06/2018	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.4300						
02/05/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	58.94	0.94	0.00	0.94	70.0	0.66
	ACCOUNT #: 00075179061	BUY 1 Units @41.2600						
02/05/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	44.21	0.71	0.00	0.71	70.0	0.50
	ACCOUNT #: 00075179061	BUY 1 Units @41.2600						
02/05/2018	***-**-5076 - TORRES, JASON	THE INVESTMENT COMPANY OF AMERICA-A	2,400.00	38.40	0.00	38.40	70.0	26.88
	ACCOUNT #: 00088000759	BUY 58 Units @41.2600						
02/05/2018	***-**-5076 - TORRES, JASON	THE NEW ECONOMY FUND-A	1,200.00	19.20	0.00	19.20	70.0	13.44
	ACCOUNT #: 00088000759	BUY 26 Units @46.7000						
* MUTUAL FUNDS SUBTOTAL *			\$15,672.80	\$263.28	\$0.00	\$263.28		\$184.38
PRODUCT CATEGORY: 529 PLAN								
02/14/2018	***-**-775P - RYAN, MARY	NYA JPM 529 CONSV AGE-BA A	0.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$10.13	\$0.00	\$10.13		\$7.09



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/09/2018	***-**-3023 - DEWEY, STEVEN	AIG STRATEGIC BOND FUND CLASS A	0.00	2.72	0.00	2.72	70.0	1.90
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131582454	0.00	5.35	0.00	5.35	70.0	3.75
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131618704	0.00	12.48	0.00	12.48	70.0	8.74
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161P805	0.00	5.28	0.00	5.28	70.0	3.70
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161T104	0.00	1.08	0.00	1.08	70.0	0.76
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.76	0.00	5.76	70.0	4.03
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649881	0.00	4.10	0.00	4.10	70.0	2.87
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT CAPITAL ACCUMULATION - A	0.00	3.68	0.00	3.68	70.0	2.58
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT LONG-TERM INCOME FUND	0.00	6.56	0.00	6.56	70.0	4.59
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-0162 - LANGTON,	CALVERT MODERATE ALLOCATION FUND-A	0.00	1.14	0.00	1.14	70.0	0.80
	ACCOUNT #: 00007226027	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SMALL CAP VALUE FUND	0.00	6.76	0.00	6.76	70.0	4.73
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	4.69	0.00	4.69	70.0	3.28
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FUND BOND	0.00	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
02/01/2018	***-**-0485 - WHALEN, STEPHEN	FEDERATED 31421R841	0.00	5.25	0.00	5.25	70.0	3.68
	ACCOUNT #: 06090486215	BUY 0 Units @0.0000						
02/01/2018	***-**-0485 - WHALEN, STEPHEN	FEDERATED MID CAP GROWTH STRATEGIES	0.00	3.54	0.00	3.54	70.0	2.48
	ACCOUNT #: 09999342087	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/14/2018	***-**-775P - RYAN, MARY	NEW YORK 529 TRAILS	0.00	2.39	0.00	2.39	70.0	1.67
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
02/14/2018	***-**-6717 - DEBONIS, BRENDA	NEW YORK 529 TRAILS	0.00	0.63	0.00	0.63	70.0	0.44
	ACCOUNT #: 77423426901	BUY 0 Units @0.0000						
02/09/2018	***-**-3023 - DEWEY, STEVEN	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	5.57	0.00	5.57	70.0	3.90
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
02/02/2018	***-**-5076 - TORRES, JASON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	0.39	0.00	0.39	70.0	0.27
	ACCOUNT #: 00000751783	BUY 0 Units @0.0000						
02/09/2018	***-**-7748 - MCCANNA, GLORIA	WORLD VALUES INTERNATIONAL EQUITY -	0.00	12.58	0.00	12.58	70.0	8.81
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$96.99	\$0.00	\$96.99		\$67.91
	*** BROKER TRANSACTIONS TOTAL ***		\$15,672.80	\$370.40	\$0.00	\$370.40		\$259.38

BROKER COMMISSION TOTALS

Broker Transactions	\$259.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$259.38
Year-to-date Earnings	\$0.00