

**COMMISSION STATEMENT****July 15, 2018**

JEFF/DAN/JON PAU FT-G0C NY KIESNO/RAU
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0C
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/15/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.33	0.00	0.33	100.0	0.00
^06/08/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	14.64	0.00	14.64	100.0	0.00
^06/08/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.48	0.00	1.48	100.0	0.00
^06/08/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.91	0.00	4.91	100.0	0.00
^06/08/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.80	0.00	6.80	100.0	0.00
^06/13/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.14	0.00	3.14	100.0	0.00
^06/22/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.10	0.00	4.10	100.0	0.00
^06/22/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.11	0.00	9.11	100.0	0.00
^06/22/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.40	0.00	1.40	100.0	0.00
^06/22/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	100.0	0.00
^06/22/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	6.02	0.00	6.02	100.0	0.00
^06/22/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.49	0.00	3.49	100.0	0.00
^06/15/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.99	0.00	9.99	100.0	0.00
^06/13/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.96	0.00	2.96	100.0	0.00
^06/13/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	3.06	0.00	3.06	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$71.94	\$0.00	\$71.94		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^06/29/2018	GARRETT, DIANA	AXA ACCUM 07 ELITE (RO IRA)	0.00	158.14	0.00	158.14	100.0	0.00
^06/29/2018	EMPIRE ELECTRONICS	AXA STRUCT'D CAP STRATEGIES 16 SER	0.00	425.11	0.00	425.11	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$583.25	\$0.00	\$583.25		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$655.19	\$0.00	\$655.19		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
07/15/2018		*PERSHING: WARRANT EXERCIZE	0.00					0.00
		PNW086205 CUSIP 74039E190						
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed