



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 15, 2018**

05/11/2018  
Page No. 1

DAVID, Z116 ZUPEK  
1126 Bichara Blvd

BROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
04/20/2018	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	43.32	0.00	43.32	90.0	38.99
04/20/2018	KANE, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	42.98	0.00	42.98	90.0	38.68
* REIT SUBTOTAL *			\$0.00	\$86.30	\$0.00	\$86.30		\$77.67
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
05/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	15.00	0.56	0.00	0.56	90.0	0.50
05/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	15.00	0.56	0.00	0.56	90.0	0.50
05/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	15.00	0.56	0.00	0.56	90.0	0.50
05/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	15.00	0.56	0.00	0.56	90.0	0.50
* 529 PLANS SUBTOTAL *			\$60.00	\$2.24	\$0.00	\$2.24		\$2.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	15.00	0.56	0.00	0.56	90.0	0.50
04/30/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$65.00	\$3.06	\$0.00	\$3.06		\$2.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/28/2018	GROSZ, RUTH	AM GEN POLARIS CHOICE IV	54,030.49	148.58	0.00	148.58	90.0	133.72
04/23/2018	ROGERS, WILMA	RELIASTAR LIFE PROTECTOR ELITE	1,750.00	17.50	0.00	17.50	90.0	15.75
* VARIABLE ANNUITIES SUBTOTAL *			\$55,780.49	\$166.08	\$0.00	\$166.08		\$149.47
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/27/2018	AULER, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.77	0.00	25.77	90.0	23.19
04/16/2018	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	6.18	0.00	6.18	90.0	5.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$31.95	\$0.00	\$31.95		\$28.75

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	0.00	15.13	0.00	15.13	90.0	13.62
04/30/2018	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	0.00	40.26	0.00	40.26	90.0	36.23
04/30/2018	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	0.00	50.20	0.00	50.20	90.0	45.18
04/30/2018	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	0.00	23.47	0.00	23.47	90.0	21.12
04/30/2018	ROST, DAVID	JNL PERSPECTIVE II 04/14	0.00	639.19	0.00	639.19	90.0	575.27
04/30/2018	ZUPEK, ALBERT	JNL PERSPECTIVE II 04/14	0.00	351.38	0.00	351.38	90.0	316.24
04/30/2018	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	0.00	6.54	0.00	6.54	90.0	5.89
04/30/2018	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	0.00	6.05	0.00	6.05	90.0	5.45
04/30/2018	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	0.00	4.84	0.00	4.84	90.0	4.36
04/30/2018	HOSS, GAIL	JNL PERSPECTIVE L	0.00	80.08	0.00	80.08	90.0	72.07
04/30/2018	JEANQUART, ROBERT	JNL PERSPECTIVE L	0.00	4.61	0.00	4.61	90.0	4.15
04/30/2018	PECKMAN, MARCIA	JNL PERSPECTIVE L	0.00	82.19	0.00	82.19	90.0	73.97
04/30/2018	HOOTEN, ROBERT	JNL PERSPECTIVE L	0.00	36.13	0.00	36.13	90.0	32.52
04/30/2018	KAEDING, HAROLD	JNL PERSPECTIVE L	0.00	50.91	0.00	50.91	90.0	45.82
04/30/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	0.00	60.53	0.00	60.53	90.0	54.48
04/30/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	0.00	196.31	0.00	196.31	90.0	176.68
04/30/2018	TASKONIS, RICHARD	JNL PERSPECTIVE L	0.00	141.35	0.00	141.35	90.0	127.22
04/30/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	0.00	45.06	0.00	45.06	90.0	40.55
04/30/2018	EDQUIST, BETTY	JNL PERSPECTIVE L	0.00	34.70	0.00	34.70	90.0	31.23
04/30/2018	FLETCHER, JEWEL	JNL PERSPECTIVE L	0.00	21.60	0.00	21.60	90.0	19.44
04/30/2018	SMITH, BETTY	JNL PERSPECTIVE L	0.00	35.84	0.00	35.84	90.0	32.26
04/30/2018	NONDORF, DENNIS	JNL PERSPECTIVE L	0.00	1.98	0.00	1.98	90.0	1.78
04/30/2018	WILLIAMS, WARNER	JNL PERSPECTIVE L	0.00	19.64	0.00	19.64	90.0	17.68
04/30/2018	ZUPFER, CHARLES	JNL PERSPECTIVE L	0.00	24.22	0.00	24.22	90.0	21.80
04/30/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	0.00	26.91	0.00	26.91	90.0	24.22
04/30/2018	SPERER, MARION	JNL PERSPECTIVE L	0.00	18.09	0.00	18.09	90.0	16.28
04/30/2018	VERBETEN, KAREN	JNL PERSPECTIVE L	0.00	9.94	0.00	9.94	90.0	8.95
04/30/2018	BOLAND, TERRENCE	JNL PERSPECTIVE L	0.00	27.43	0.00	27.43	90.0	24.69
04/30/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	30.07	0.00	30.07	90.0	27.06
04/30/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	27.91	0.00	27.91	90.0	25.12
04/30/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	23.27	0.00	23.27	90.0	20.94
04/30/2018	BODVEN, BRUCE	JNL PERSPECTIVE L	0.00	64.71	0.00	64.71	90.0	58.24
04/30/2018	PAULICK, BERNARD	JNL PERSPECTIVE L	0.00	113.99	0.00	113.99	90.0	102.59

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	0.00	115.59	0.00	115.59	90.0	104.03
04/30/2018	RACK, JOAN	JNL PERSPECTIVE L	0.00	155.09	0.00	155.09	90.0	139.58
04/30/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	0.00	87.42	0.00	87.42	90.0	78.68
04/30/2018	INGHAM, LYNNE	JNL PERSPECTIVE L	0.00	57.39	0.00	57.39	90.0	51.65
04/30/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	0.00	141.38	0.00	141.38	90.0	127.24
04/30/2018	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	0.00	171.02	0.00	171.02	90.0	153.92
04/30/2018	WELLMAN, JAMES	JNL PERSPECTIVE L	0.00	62.67	0.00	62.67	90.0	56.40
04/30/2018	CRAWFORD, JEANNE	JNL PERSPECTIVE L	0.00	117.33	0.00	117.33	90.0	105.60
04/30/2018	THOMPSON, CAROL	JNL PERSPECTIVE L	0.00	60.58	0.00	60.58	90.0	54.52
04/30/2018	SHELDON, SUSAN	JNL PERSPECTIVE L	0.00	38.95	0.00	38.95	90.0	35.06
04/30/2018	SHELDON, MARK	JNL PERSPECTIVE L	0.00	105.96	0.00	105.96	90.0	95.36
04/30/2018	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	0.00	317.62	0.00	317.62	90.0	285.86
04/30/2018	PERONE, PASQUALE	JNL PERSPECTIVE L	0.00	712.34	0.00	712.34	90.0	641.11
04/30/2018	PECKMAN, ROGER	JNL PERSPECTIVE L	0.00	152.49	0.00	152.49	90.0	137.24
04/30/2018	RUFFOLO, BENNY	JNL PERSPECTIVE L	0.00	116.91	0.00	116.91	90.0	105.22
04/30/2018	ZUPEK, DAVID	JNL PERSPECTIVE L	0.00	261.11	0.00	261.11	90.0	235.00
04/30/2018	FALBO, DIANE	JNL PERSPECTIVE L	0.00	774.45	0.00	774.45	90.0	697.01
04/30/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	0.00	225.50	0.00	225.50	90.0	202.95
04/30/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	0.00	421.96	0.00	421.96	90.0	379.76
04/30/2018	HARRIS, BILLY	JNL PERSPECTIVE L	0.00	306.29	0.00	306.29	90.0	275.66
04/30/2018	HEBERLING, DONALD	JNL PERSPECTIVE L	0.00	16.70	0.00	16.70	90.0	15.03
04/30/2018	WAYO, DARLENE	JNL PERSPECTIVE L	0.00	55.50	0.00	55.50	90.0	49.95
04/30/2018	HOSS, GAIL	JNL PERSPECTIVE L	0.00	80.60	0.00	80.60	90.0	72.54
04/30/2018	MUELLER, ROMAYNE	JNL PERSPECTIVE L	0.00	46.18	0.00	46.18	90.0	41.56
04/30/2018	STARK, GLORIA	JNL PERSPECTIVE L	0.00	48.36	0.00	48.36	90.0	43.52
04/30/2018	GIBSON, ROBERT	JNL PERSPECTIVE L	0.00	234.72	0.00	234.72	90.0	211.25
04/30/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	0.00	25.43	0.00	25.43	90.0	22.89
04/30/2018	KELLOGG, MICHAEL	JNL PERSPECTIVE L	0.00	183.38	0.00	183.38	90.0	165.04
04/30/2018	BIRKHOLZ, GAY	JNL PERSPECTIVE L	0.00	91.93	0.00	91.93	90.0	82.74
04/30/2018	HORNACEK, JOSEPH	JNL PERSPECTIVE L	0.00	456.35	0.00	456.35	90.0	410.72
04/30/2018	ZUPFER, JO	JNL PERSPECTIVE L	0.00	72.48	0.00	72.48	90.0	65.23
04/30/2018	BECKER, JOHN	JNL PERSPECTIVE L	0.00	100.45	0.00	100.45	90.0	90.41
04/30/2018	BECKER, JOHN	JNL PERSPECTIVE L	0.00	124.84	0.00	124.84	90.0	112.36

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<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	BAHR, JEFFREY	JNL PERSPECTIVE L	0.00	100.74	0.00	100.74	90.0	90.67
04/30/2018	STEPHENS, CAROL	JNL PERSPECTIVE L	0.00	467.50	0.00	467.50	90.0	420.75
04/30/2018	GLENNAN, DONALD	JNL PERSPECTIVE L	0.00	275.71	0.00	275.71	90.0	248.14
04/30/2018	CAPSTRAW, RONALD	JNL PERSPECTIVE L	0.00	307.77	0.00	307.77	90.0	276.99
04/30/2018	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	0.00	178.13	0.00	178.13	90.0	160.32
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$9,583.35</b>	<b>\$0.00</b>	<b>\$9,583.35</b>		<b>\$8,625.06</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$55,905.49</b>	<b>\$9,872.98</b>	<b>\$0.00</b>	<b>\$9,872.98</b>		<b>\$8,885.70</b>

**SPLIT TRANSACTIONS****BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404**

04/16/2018	EDWARD D JONES & CO CUSTO	PAC LIFE VA 694475211	0.00	9.43	0.00	9.43	90.0	8.49
<b>* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$9.43</b>	<b>\$0.00</b>	<b>\$9.43</b>		<b>\$8.49</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$9.43</b>	<b>\$0.00</b>	<b>\$9.43</b>		<b>\$8.49</b>

**OVERRIDE TRANSACTIONS****BROKER: R133-MARK/DAVE HUBER/ZUPEK #10**

/ /	WIKAR, HALINA	AGGRESSIVE AGE BASED 0 - 02 - A	0.00	3.00	0.00	3.00	0.0	2.70
05/01/2018	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
04/20/2018	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	0.0	1.80
04/16/2018	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	10.00	0.00	10.00	0.0	9.00
<b>* MARK-H122 HUBER SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$17.00</b>	<b>\$0.00</b>	<b>\$17.00</b>		<b>\$15.30</b>

**BROKER: R121-RICHARD RODRIGUEZ #88**

04/30/2018	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	0.00	63.34	0.00	63.34	0.0	57.01
04/30/2018	ROSE, PETER	JNL PERSPECTIVE L	0.00	27.60	0.00	27.60	0.0	24.84
<b>* R121-RICHARD RODRIGUEZ SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$90.94</b>	<b>\$0.00</b>	<b>\$90.94</b>		<b>\$81.85</b>

**BROKER: MARK-H122 HUBER #89**

04/20/2018	STELMASIAK, BERENIKA	MFS AGGRESSIVE GROWTH ALLOCATION B	20.00	0.80	0.00	0.80	0.0	0.72
05/01/2018	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
04/20/2018	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	100.00	4.00	0.00	4.00	0.0	3.60
04/20/2018	DANEK MD SEP PLAN, BEATA	MFS CONSERVATIVE ALLOCATION B	51.00	2.04	0.00	2.04	0.0	1.84

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-H122 HUBER #89</u></b>								
04/20/2018	JUSCINSKA, AGNIESZKA	MFS CONSERVATIVE ALLOCATION B	200.00	8.00	0.00	8.00	0.0	7.20
04/20/2018	JARZAREK, MAGDALENA	MFS CONSERVATIVE ALLOCATION B	100.00	4.00	0.00	4.00	0.0	3.60
04/20/2018	SZWEDO, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	20.00	0.80	0.00	0.80	0.0	0.72
04/20/2018	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
05/01/2018	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
04/20/2018	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	4.32	0.00	4.32	0.0	3.89
05/01/2018	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
04/20/2018	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
04/20/2018	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
04/20/2018	SZWEDO, MALGORZATA	MFS GROWTH ALLOCATION B	30.00	1.20	0.00	1.20	0.0	1.08
04/23/2018	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
04/23/2018	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
04/20/2018	JUSCINSKA, AGNIESZKA	MFS MODERATE ALLOCATION B	200.00	8.00	0.00	8.00	0.0	7.20
04/20/2018	JARZAREK, MAGDALENA	MFS MODERATE ALLOCATION B	100.00	4.00	0.00	4.00	0.0	3.60
04/20/2018	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
04/20/2018	DANEK MD SEP PLAN, BEATA	MFS MODERATE ALLOCATION B	50.00	2.00	0.00	2.00	0.0	1.80
05/01/2018	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
04/23/2018	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
04/23/2018	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
04/20/2018	CHREPTOWICZ, MALGORZATA	MFS UTILITIES B	133.25	5.33	0.00	5.33	0.0	4.80
04/20/2018	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	100.07	4.00	0.00	4.00	0.0	3.60
04/20/2018	JUSCINSKA, AGNIESZKA	MFS UTILITIES B	586.00	23.44	0.00	23.44	0.0	21.10
04/20/2018	JARZAREK, MAGDALENA	MFS UTILITIES B	212.25	8.49	0.00	8.49	0.0	7.64
04/20/2018	STELMASIAK, BERENIKA	MFS UTILITIES B	85.72	3.43	0.00	3.43	0.0	3.09
04/20/2018	SZWEDO, MALGORZATA	MFS UTILITIES B	83.26	3.33	0.00	3.33	0.0	3.00
04/20/2018	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	4.32	0.00	4.32	0.0	3.89
04/20/2018	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	65.80	2.63	0.00	2.63	0.0	2.37
05/01/2018	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
04/20/2018	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
04/20/2018	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
04/23/2018	DOMANUS, MALGORZATA	MFS UTILITIES C	1,000.00	10.00	0.00	10.00	0.0	9.00
04/23/2018	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
04/23/2018	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK-H122 HUBER #89 </u></b>								
05/01/2018	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62
05/01/2018	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18
04/20/2018	STELMASIAK, BERENIKA	MFS VALUE B	20.00	0.80	0.00	0.80	0.0	0.72
04/20/2018	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	4.32	0.00	4.32	0.0	3.89
04/20/2018	DANEK MD SEP PLAN, BEATA	MFS VALUE B	50.00	2.00	0.00	2.00	0.0	1.80
04/20/2018	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
04/20/2018	TYLMAN, JOANNA	MFS VALUE C	3,000.00	30.00	0.00	30.00	0.0	27.00
04/20/2018	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
04/23/2018	DOMANUS, MALGORZATA	MFS VALUE C	1,000.00	10.00	0.00	10.00	0.0	9.00
05/01/2018	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18
* MARK-H122 HUBER SUBTOTAL *			<b>\$9,087.35</b>	<b>\$176.29</b>	<b>\$0.00</b>	<b>\$176.29</b>		<b>\$158.69</b>
<b><u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u></b>								
04/26/2018	WOLF, XANDER	ALGER SPECTRA A	0.00	1.11	0.00	1.11	0.0	1.00
04/26/2018	WOLF, AADRA	ALGER SPECTRA A	0.00	1.11	0.00	1.11	0.0	1.00
04/17/2018	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.12	0.00	1.12	0.0	1.01
04/17/2018	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.26	0.00	1.26	0.0	1.13
04/19/2018	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.54	0.00	0.54	0.0	0.49
04/19/2018	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	0.0	0.39
04/19/2018	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.67	0.00	0.67	0.0	0.60
04/19/2018	BATIO, KAREN	AM FUNDS ICA A	0.00	0.63	0.00	0.63	0.0	0.57
04/19/2018	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	0.0	0.45
04/12/2018	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.48	0.00	0.48	0.0	0.43
04/12/2018	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.48	0.00	0.48	0.0	0.43
04/19/2018	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.41	0.00	1.41	0.0	1.27
04/19/2018	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.58	0.00	1.58	0.0	1.42
04/19/2018	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.97	0.00	0.97	0.0	0.87
04/19/2018	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.97	0.00	0.97	0.0	0.87
04/27/2018	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.89	0.00	5.89	0.0	5.30
04/27/2018	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.26	0.00	11.26	0.0	10.13
04/27/2018	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.60	0.00	12.60	0.0	11.34
04/27/2018	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.39	0.00	4.39	0.0	3.95
04/27/2018	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.39	0.00	4.39	0.0	3.95
04/25/2018	CIPPARRONE, NANCY	BR TOTAL INC & REAL ESTATE FUND A	20,115.09	4.27	0.00	4.27	0.0	3.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>								
04/18/2018	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.85	0.00	2.85	0.0	2.57
04/12/2018	WOLF, XANDER	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	2.02	0.00	2.02	0.0	1.82
04/12/2018	WOLF, AADRA	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	2.02	0.00	2.02	0.0	1.82
04/24/2018	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.60	0.00	0.60	0.0	0.54
04/24/2018	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.60	0.00	0.60	0.0	0.54
<b>* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *</b>			<b>\$20,115.09</b>	<b>\$64.15</b>	<b>\$0.00</b>	<b>\$64.15</b>		<b>\$57.73</b>
<b><u>BROKER: MICHAEL VENTURINI #127</u></b>								
04/30/2018	CAMPOLO, JON	JNL PERSPECTIVE L	0.00	16.75	0.00	16.75	0.0	15.08
04/30/2018	CAMPOLO, JUDITH	JNL PERSPECTIVE L	0.00	13.45	0.00	13.45	0.0	12.11
<b>* MICHAEL VENTURINI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$30.20</b>	<b>\$0.00</b>	<b>\$30.20</b>		<b>\$27.19</b>
<b><u>BROKER: WENDY GOODING #158</u></b>								
04/16/2018	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	10.54	0.00	10.54	0.0	3.69
<b>* WENDY GOODING SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10.54</b>	<b>\$0.00</b>	<b>\$10.54</b>		<b>\$3.69</b>
<b><u>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</u></b>								
04/27/2018	SHAYKIN, STEVEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.40	0.00	13.40	0.0	12.06
04/27/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.44	0.00	2.44	0.0	2.20
04/27/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.22	0.00	2.22	0.0	2.00
04/27/2018	WHEELER, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.74	0.00	1.74	0.0	1.57
04/27/2018	WHEELER, MARSHA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.65	0.00	1.65	0.0	1.49
04/20/2018	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	60.17	0.00	60.17	0.0	54.15
<b>* R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$81.62</b>	<b>\$0.00</b>	<b>\$81.62</b>		<b>\$73.47</b>
<b><u>BROKER: MARK-H130 HUBER #395</u></b>								
05/07/2018	KOBER, GARY	JNL PERSPECTIVE II 04/14	113,366.41	2,267.33	0.00	2,267.33	0.0	2,040.60
<b>* MARK-H130 HUBER SUBTOTAL *</b>			<b>\$113,366.41</b>	<b>\$2,267.33</b>	<b>\$0.00</b>	<b>\$2,267.33</b>		<b>\$2,040.60</b>
<b><u>BROKER: MARK-H150 HUBER #396</u></b>								
05/01/2018	FALBO, LEWIS	ARCTRUST FOLLOW-ON 4	80,000.00	4,800.00	0.00	4,800.00	0.0	4,320.00
04/23/2018	FALBO, LEWIS	BOURN SENIORS HOUSING IV BOR4161BO	80,000.00	5,600.00	0.00	5,600.00	0.0	5,040.00
04/24/2018	NARDINI TRUST, RONALD	HPI REAL ESTATE FUND VI LLC	40,000.00	2,400.00	0.00	2,400.00	0.0	2,160.00
04/26/2018	NARDINI TRUST, BARBARA	HPI REAL ESTATE FUND VI LLC	40,000.00	2,400.00	0.00	2,400.00	0.0	2,160.00
05/01/2018	FALBO, LEWIS	MOODY DFW DST	80,000.00	4,800.00	0.00	4,800.00	0.0	4,320.00
<b>* MARK-H150 HUBER SUBTOTAL *</b>			<b>\$320,000.00</b>	<b>\$20,000.00</b>	<b>\$0.00</b>	<b>\$20,000.00</b>		<b>\$18,000.00</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>ADJUSTMENTS</b>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$462,868.85	\$22,738.07	\$0.00	\$22,738.07		\$20,458.52
<b>ADJUSTMENTS</b>								
05/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
05/15/2018		*AI INSIGHT: AI INSIGHT - MARK	0.00					-7.00
05/15/2018		*ALBRIDGE: FULL USER - DAVID	0.00					-88.00
05/15/2018		*ALBRIDGE: FULL USER - MARK	0.00					-88.00
05/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*E & O INSURANCE: CURRENT MONTH INS-MARK	0.00					-300.00
05/15/2018		*GLOBAL RELAY: FACEBOOK - DAVID	0.00					-2.50
05/15/2018		*GLOBAL RELAY: FACEBOOK - MARK	0.00					-2.50
05/15/2018		*GLOBAL RELAY: WEBSITE - DAVID	0.00					-7.50
05/15/2018		*GLOBAL RELAY: WEBSITE - MARK	0.00					-7.50
05/15/2018		*LASER APP: LASER APP - MARK	0.00					-18.00
05/15/2018		*LASER APP: LASERAPP - DAVID	0.00					-18.00
05/15/2018		*MARKETING PRO: MARKETING PRO - DAVID	0.00					-10.00
05/15/2018		*MARKETING PRO: STANDARD - MARK	0.00					-10.00
05/15/2018		*TECH: ADMIN - L JOCIUS	0.00					-130.00
05/15/2018		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
05/15/2018		*TECH: ADMIN: JOHN ZUPEK	0.00					-60.00
05/15/2018		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
05/15/2018		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
05/15/2018		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,606.00

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\*\* - Indicates adjustment is not included in Totals or Commissions

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,885.70
Split Transactions	\$8.49
Override Transactions	\$20,458.52
Adjustments	\$-1,606.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$27,746.71
Year-to-date Gross	\$169,468.10
Year-to-date Earnings	\$260,626.56