



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2017

05/11/2017
 Page No. 1

ACCOUNT HOUSE
 1120 E. LONG LAKE ROAD
 SUITE 207
 TROY, MI 48085-

BROKER #: G999
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
03/30/2017	MEREDITH, VAROUS	US ENERGY GENESIS VI	0.00	12.69	0.00	12.69	100.0	12.69
		* OIL & GAS SUBTOTAL *	\$0.00	\$12.69	\$0.00	\$12.69		\$12.69
<u>PRODUCT CATEGORY: REIT</u>								
03/31/2017	LACHANCE, LINDA	CARTER VALIDUS MC REIT II CLASS T	0.00	12.29	0.00	12.29	100.0	12.29
		* REIT SUBTOTAL *	\$0.00	\$12.29	\$0.00	\$12.29		\$12.29
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/26/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	-50,000.00	0.00	0.00	0.00	100.0	0.00
04/26/2017	CONCORDE, VARIOUS	RESOURCE R E DIVERSIFIED INC FUND A	47,250.00	0.00	0.00	0.00	100.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$-2,750.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
04/28/2017	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
05/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
05/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
05/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
05/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
05/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
05/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-300.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-225.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	114.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-1,500.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-5,000.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	4,787.50	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	1,425.00	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	213.75	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	285.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-120.00	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-40,010.88	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	38,310.42	0.00	0.00	0.00	100.0	0.00
04/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
05/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
05/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
05/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
05/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
05/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
04/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
04/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
05/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
04/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
05/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
05/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
05/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
04/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
05/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
05/04/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
05/05/2017	CONCORDE, VARIOUS	BLACKROCK NATURAL RESOURCES TRUST F	24,072.05	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	BLACKROCK NATURAL RESOURCES TRUST F	-25,009.92	0.00	0.00	0.00	100.0	0.00
04/26/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	50,855.88	0.00	0.00	0.00	100.0	0.00
04/26/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-52,974.88	0.00	0.00	0.00	100.0	0.00
04/30/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	31.14	0.00	31.14	100.0	31.14
04/30/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.16	0.00	7.16	100.0	7.16
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	65,028.07	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-61,776.67	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-65,028.07	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	61,776.67	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-1,000.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	980.00	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/05/2017	CONCORDE, VARIOUS	LORD ABBETT BOND DEBENTURE C	2,475.95	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	LORD ABBETT BOND DEBENTURE C	-2,500.96	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	THE GABELLI UTILITIES FUND CLASS A	24,004.60	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	THE GABELLI UTILITIES FUND CLASS A	-25,004.79	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	445.50	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-450.00	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	WELLS FARGO INDEX ASSET ALLOCATION	24,018.76	0.00	0.00	0.00	100.0	0.00
05/05/2017	CONCORDE, VARIOUS	WELLS FARGO INDEX ASSET ALLOCATION	-25,019.54	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-4,275.11	\$155.18	\$0.00	\$155.18		\$155.18
<u>PRODUCT CATEGORY: STOCKS</u>								
04/27/2017	CONCORDE, VARIOUS	APPLE HOSPITALITY REIT INC COM NEW	-1,938.50	0.00	1.00	-1.00	100.0	-1.00
04/27/2017	CONCORDE, VARIOUS	APPLE HOSPITALITY REIT INC COM NEW	-5,814.00	0.00	1.00	-1.00	100.0	-1.00
04/27/2017	CONCORDE, VARIOUS	APPLE HOSPITALITY REIT INC COM NEW	-27,984.86	0.00	1.00	-1.00	100.0	-1.00
04/27/2017	CONCORDE, VARIOUS	APPLE HOSPITALITY REIT INC COM NEW	-94,962.49	0.00	1.00	-1.00	100.0	-1.00
04/27/2017	CONCORDE, VARIOUS	APPLE HOSPITALITY REIT INC COM NEW	130,700.07	0.00	0.00	0.00	100.0	0.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	50,292.45	0.00	0.00	0.00	100.0	0.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-1,992.00	0.00	1.00	-1.00	100.0	-1.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-1,992.13	0.00	1.00	-1.00	100.0	-1.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-1,992.15	0.00	1.00	-1.00	100.0	-1.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-5,976.45	0.00	1.00	-1.00	100.0	-1.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-19,921.50	0.00	1.00	-1.00	100.0	-1.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-1,992.15	0.00	1.00	-1.00	100.0	-1.00
04/26/2017	CONCORDE, VARIOUS	BARINGS GLOBAL SHORT DURATION HIGH	-16,426.00	0.00	1.00	-1.00	100.0	-1.00
05/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-1,220.00	0.00	0.00	0.00	100.0	0.00
05/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	684.00	0.00	1.00	-1.00	100.0	-1.00
05/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	305.00	0.00	1.00	-1.00	100.0	-1.00
05/08/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	231.75	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/04/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	3,435.84	0.00	1.00	-1.00	100.0	-1.00
05/04/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	11,509.93	0.00	1.00	-1.00	100.0	-1.00
05/04/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-14,945.77	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	2,570.50	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	7,429.18	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-9,999.67	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-49,290.32	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	5,161.00	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	5,161.00	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	5,161.00	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	33,807.30	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	FS INVT CORP COM	977.50	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	FS INVT CORP COM	977.50	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	FS INVT CORP COM	977.50	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	FS INVT CORP COM	977.50	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	FS INVT CORP COM	4,900.00	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	FS INVT CORP COM	-8,810.01	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	GAMESTOP CORP NEW CLASS A	-9,969.69	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	GAMESTOP CORP NEW CLASS A	7,624.18	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	GAMESTOP CORP NEW CLASS A	2,345.50	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	GAMESTOP CORP NEW CLASS A	4,929.78	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	GAMESTOP CORP NEW CLASS A	10,105.68	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	GAMESTOP CORP NEW CLASS A	-15,035.46	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	4,135.00	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	4,135.00	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	1,654.00	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	-9,924.00	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	4,343.88	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	10,859.50	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	HSBC HLDGS PLC SPONS ADR NEW	-15,203.37	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	IRON MTN INC NEW COM	10,535.97	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	IRON MTN INC NEW COM	5,794.32	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	IRON MTN INC NEW COM	-16,330.29	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	-13,949.93	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	-9,894.56	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,198.79	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,198.79	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	1,451.20	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	1,539.16	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,506.61	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	790.86	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,196.84	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,196.83	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	4,393.68	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,196.83	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	MATTEL INC COM	2,174.87	0.00	1.00	-1.00	100.0	-1.00
05/01/2017	CONCORDE, VARIOUS	POWERSHARES DB MULTI SECTOR COMMODI	10,485.88	0.00	0.00	0.00	100.0	0.00
05/01/2017	CONCORDE, VARIOUS	POWERSHARES DB MULTI SECTOR COMMODI	-1,997.12	0.00	1.00	-1.00	100.0	-1.00
05/01/2017	CONCORDE, VARIOUS	POWERSHARES DB MULTI SECTOR COMMODI	-8,488.74	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	9,962.00	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-4,102.00	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-5,860.00	0.00	1.00	-1.00	100.0	-1.00
05/04/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-7,053.00	0.00	1.00	-1.00	100.0	-1.00
05/04/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-7,053.00	0.00	1.00	-1.00	100.0	-1.00
05/04/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-4,231.80	0.00	1.00	-1.00	100.0	-1.00
05/04/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	18,337.80	0.00	0.00	0.00	100.0	0.00
05/02/2017	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	-12,349.47	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	-2,230.28	0.00	1.00	-1.00	100.0	-1.00
05/02/2017	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	14,579.74	0.00	0.00	0.00	100.0	0.00
05/10/2017	CONCORDE, VARIOUS	TIVO CORP COM	8,362.50	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	TIVO CORP COM	8,367.50	0.00	1.00	-1.00	100.0	-1.00
05/10/2017	CONCORDE, VARIOUS	TIVO CORP COM	-16,730.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$1.00	\$0.00	\$60.00	\$-60.00		\$-60.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/01/2017	AUFFANT, KATHERINE	ING RELIASTAR	500.00	20.00	0.00	20.00	100.0	20.00
05/02/2017	STARK, TONI	ING RELIASTAR	1,458.00	76.55	0.00	76.55	100.0	76.55
05/03/2017	MOLIK, DANIEL	ING RELIASTAR	500.00	26.25	0.00	26.25	100.0	26.25
04/30/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	14,401.23	3.00	0.00	3.00	100.0	3.00
04/30/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	18,243.03	15.20	0.00	15.20	100.0	15.20
04/17/2017	DUFOUR, TERENCE	PAC LIFE INNOVATIVE SELECT	0.00	56.20	0.00	56.20	100.0	56.20
04/17/2017	DUFOUR, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	62.67	0.00	62.67	100.0	62.67
04/19/2017	CALA, MARGHERITA	PAC LIFE PACIFIC CHOICE	0.00	13.01	0.00	13.01	100.0	13.01
04/17/2017	REICH, MICHELLE	PRU PREMIER INVT VA C	50.00	0.00	0.00	0.00	100.0	0.00
04/27/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	758.44	0.15	0.00	0.15	100.0	0.15
04/27/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	9,873.51	2.05	0.00	2.05	100.0	2.05
04/27/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,896.25	1.02	0.00	1.02	100.0	1.02
04/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	97,454.04	81.21	0.00	81.21	100.0	81.21
04/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	110,772.96	92.31	0.00	92.31	100.0	92.31
04/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,601.39	40.50	0.00	40.50	100.0	40.50
04/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	69,660.63	58.05	0.00	58.05	100.0	58.05
04/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,549.36	7.96	0.00	7.96	100.0	7.96
04/28/2017	NIEMI TRUST, HARRY	TRANSAMERICA LIBERTY	44,395.61	37.00	0.00	37.00	100.0	37.00
04/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	76,287.37	63.57	0.00	63.57	100.0	63.57
* VARIABLE ANNUITIES SUBTOTAL *			\$507,401.82	\$656.70	\$0.00	\$656.70		\$656.70
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6496 FINLS SELECT PORTFOLIO	-10,459.06	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6496 FINLS SELECT PORTFOLIO	10,155.78	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6497 HEALTH CARE SELECT POR	10,147.45	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6497 HEALTH CARE SELECT POR	-10,450.55	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6515 EQUITY INCOME SELECT P	10,149.22	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6515 EQUITY INCOME SELECT P	-10,452.32	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6543 CAP STRENGTH PORTFOLIO	13,209.99	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6543 CAP STRENGTH PORTFOLIO	-13,604.57	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6602 SMID CAP STRENGTH PORT	-10,452.05	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6602 SMID CAP STRENGTH PORT	10,148.92	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	10,249.33	0.00	17.50	-17.50	100.0	-17.50
05/04/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-10,458.52	0.00	17.50	-17.50	100.0	-17.50

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-1,816.38	\$0.00	\$210.00	\$-210.00		\$-210.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/21/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	9.56	0.00	9.56	100.0	9.56
04/28/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	20.59	0.00	20.59	100.0	20.59
05/02/2017	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
05/02/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
05/02/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
05/05/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	19.02	0.00	19.02	100.0	19.02
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$448.83	\$58.15	\$0.00	\$58.15		\$58.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/02/2017	KACZOR, LISA	ASPIRE AM FUND TRAILS	0.00	5.38	0.00	5.38	100.0	5.38
04/02/2017	GIBBS, SHASHA	ASPIRE AM FUND TRAILS	0.00	15.39	0.00	15.39	100.0	15.39
04/02/2017	GIBBS, SHASHA	ASPIRE AM FUND TRAILS	0.00	15.50	0.00	15.50	100.0	15.50
04/02/2017	WIDENER, SUE	ASPIRE AM FUND TRAILS	0.00	19.74	0.00	19.74	100.0	19.74
04/02/2017	WIDENER, SUE	ASPIRE AM FUND TRAILS	0.00	22.31	0.00	22.31	100.0	22.31
04/02/2017	KNOX, JEFFRY	ASPIRE AM FUND TRAILS	0.00	119.91	0.00	119.91	100.0	119.91
04/02/2017	SCHNEIDER, ARLENE	ASPIRE AM FUND TRAILS	0.00	239.58	0.00	239.58	100.0	239.58
03/31/2017	JAHNCKE, VARIOUS-T	DUNHAM & ASSOCIATES	0.00	207.76	0.00	207.76	100.0	207.76
03/30/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.35	0.00	0.35	100.0	0.35
03/30/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.41	0.00	2.41	100.0	2.41
03/31/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.91	0.00	2.91	100.0	2.91
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$651.24	\$0.00	\$651.24		\$651.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2017	LUND, VARIOUS	ING RELIASTAR	0.00	552.71	0.00	552.71	100.0	552.71
03/30/2017	MONROE, JOHN	METLIFE TRAILS	0.00	13.32	0.00	13.32	100.0	13.32
04/26/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
04/19/2017	RICHARDSON, CALVIN	ALLSTATE TRAILS	0.00	2.26	0.00	2.26	100.0	2.26
04/30/2017	JONES, GREGORY	LANDMARK 2002	0.00	159.83	0.00	159.83	100.0	159.83
04/30/2017	BROPHY, CHARLES	LANDMARK 2002	0.00	654.47	0.00	654.47	100.0	654.47
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,384.84	\$0.00	\$1,384.84		\$1,384.84
*** BROKER TRANSACTIONS TOTAL ***			\$499,010.16	\$2,931.09	\$270.00	\$2,661.09		\$2,661.09

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
05/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
05/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
05/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
05/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
05/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
05/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
05/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
05/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
05/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
05/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
05/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
05/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
05/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
05/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
05/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
05/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
05/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
* ACCOUNT HOUSE SUBTOTAL *			\$2,125.00	\$87.58	\$0.00	\$87.58		\$87.58
<u> BROKER: MATT BRANSTETTER #10 </u>								
05/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
05/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
* MATT BRANSTETTER SUBTOTAL *			\$350.00	\$17.50	\$0.00	\$17.50		\$17.50
<u> BROKER: DANIEL WOLF #11 </u>								
04/25/2017	CARIGNAN, NANCY	MML CM LIFE VUL	49,667.89	6.21	0.00	6.21	0.0	6.21
* DANIEL WOLF SUBTOTAL *			\$49,667.89	\$6.21	\$0.00	\$6.21		\$6.21
<u> BROKER: ROBERT CHESTER #21 </u>								
03/30/2017	BECHTEL, MICHAEL	METLIFE TRAILS	0.00	39.54	0.00	39.54	0.0	39.54
03/30/2017	MYERS, DAVID	METLIFE TRAILS	0.00	37.83	0.00	37.83	0.0	37.83
03/30/2017	FRAZIER, BETTY	METLIFE TRAILS	0.00	22.35	0.00	22.35	0.0	22.35
03/30/2017	BARBARITA, KATHLEEN	METLIFE TRAILS	0.00	10.46	0.00	10.46	0.0	10.46
03/30/2017	MONROE, CAROL	METLIFE TRAILS	0.00	18.03	0.00	18.03	0.0	18.03
03/30/2017	KELLEY, TERESE	METLIFE TRAILS	0.00	150.26	0.00	150.26	0.0	150.26

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT CHESTER #21</u>								
03/30/2017	KELLEY, DENNIS	METLIFE TRAILS	0.00	85.91	0.00	85.91	0.0	85.91
03/30/2017	EVERSON, ROBERT	METLIFE TRAILS	0.00	1.86	0.00	1.86	0.0	1.86
03/31/2017	BARBARITA, JOSEPH	METLIFE TRAILS	0.00	16.78	0.00	16.78	0.0	16.78
* ROBERT CHESTER SUBTOTAL *			\$0.00	\$383.02	\$0.00	\$383.02		\$383.02
<u>BROKER: ERIC HORNYAK #29</u>								
05/05/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	0.0	10.31
05/05/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
05/05/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	0.0	4.50
05/05/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
05/05/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
05/05/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	0.0	6.26
04/11/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	25.59	0.00	25.59	0.0	25.59
04/11/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.55	0.00	0.55	0.0	0.55
04/11/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	0.0	0.50
04/11/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	0.0	0.39
04/11/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.27	0.00	4.27	0.0	4.27
04/11/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	0.00	0.64	0.0	0.64
04/11/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.23	0.00	13.23	0.0	13.23
04/11/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.29	0.00	1.29	0.0	1.29
03/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.02	0.00	1.02	0.0	1.02
03/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.00	0.00	1.00	0.0	1.00
04/11/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	24.59	0.00	24.59	0.0	24.59
04/11/2017	JOHNS, JOHN	INVESCO HIGH YIELD MUNICIPAL A	0.00	6.03	0.00	6.03	0.0	6.03
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.52	0.00	0.52	0.0	0.52
04/11/2017	DEVILLING, LINDA	JP MORGAN INVESTOR BALANCED A	0.00	2.63	0.00	2.63	0.0	2.63
04/11/2017	JOHNS, JOHN	PIMCO TOTAL RETURN C	0.00	22.93	0.00	22.93	0.0	22.93
04/30/2017	CROSSFIRE GRP 401K	TEMPLETON GLOBAL BOND A	0.00	1.57	0.00	1.57	0.0	1.57
04/11/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.32	0.00	27.32	0.0	27.32
04/11/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.12	0.00	9.12	0.0	9.12
04/11/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.73	0.00	21.73	0.0	21.73
* ERIC HORNYAK SUBTOTAL *			\$1,954.00	\$238.20	\$0.00	\$238.20		\$238.20

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS BOAKS #33 </u>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.13	0.00	6.13	0.0	6.13
03/31/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.32	0.00	0.32	0.0	0.32
03/31/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.08	0.00	2.08	0.0	2.08
04/30/2017	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.75	0.00	8.75	0.0	8.75
04/30/2017	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.22	0.00	1.22	0.0	1.22
* THOMAS BOAKS SUBTOTAL *			\$0.00	\$18.50	\$0.00	\$18.50		\$18.50
<u> BROKER: TIMOTHY BROWN #36 </u>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.29	0.00	2.29	0.0	2.29
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	6.86	0.00	6.86	0.0	6.86
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$9.15	\$0.00	\$9.15		\$9.15
<u> BROKER: BRUCE BAXTER #38 </u>								
05/01/2017	RIEGEL, DAVID	ING ACCESS	0.00	0.02	0.00	0.02	0.0	0.02
05/01/2017	LAMBERT, DONNA	ING PREMIUM PLUS	0.00	56.98	0.00	56.98	0.0	56.98
05/01/2017	LAMBERT, JOHN	ING PREMIUM PLUS	0.00	56.98	0.00	56.98	0.0	56.98
05/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.41	0.00	0.41	0.0	0.41
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	6.21	0.00	6.21	0.0	6.21
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	18.63	0.00	18.63	0.0	18.63
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.16	0.00	0.16	0.0	0.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE BAXTER #38</u>								
04/27/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,827.21	5.94	0.00	5.94	0.0	5.94
		* BRUCE BAXTER SUBTOTAL *	\$15,927.21	\$150.97	\$0.00	\$150.97		\$150.97
<u>BROKER: MELANIE DICENSO #43</u>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.00	0.00	1.00	0.0	1.00
04/25/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
04/27/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	15,956.92	3.33	0.00	3.33	0.0	3.33
		* MELANIE DICENSO SUBTOTAL *	\$16,006.92	\$6.33	\$0.00	\$6.33		\$6.33
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
05/03/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.27	0.00	2.27	0.0	2.27
05/03/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.83	0.00	0.83	0.0	0.83
05/03/2017	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	5.99	0.00	5.99	0.0	5.99
05/03/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.02	0.00	5.02	0.0	5.02
04/30/2017	ZAX TRUST	JNL PERSPECTIVE	388.68	0.08	0.00	0.08	0.0	0.08
04/30/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,425.53	3.51	0.00	3.51	0.0	3.51
04/30/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	58,790.58	63.69	0.00	63.69	0.0	63.69
04/30/2017	WHITE, LINDA	JNL PERSPECTIVE L	73,264.29	61.05	0.00	61.05	0.0	61.05
04/30/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	33,924.74	28.27	0.00	28.27	0.0	28.27
04/30/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	16,152.52	13.46	0.00	13.46	0.0	13.46
		* ROBERT (RUSTY) TWEED SUBTOTAL *	\$190,946.34	\$184.17	\$0.00	\$184.17		\$184.17
<u>BROKER: JIM LUND #60</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.70	0.00	2.70	0.0	2.70
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.46	0.00	1.46	0.0	1.46
04/17/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
05/01/2017	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.88	0.00	0.88	0.0	0.88
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.88	0.00	0.88	0.0	0.88
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.88	0.00	0.88	0.0	0.88
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.22	0.00	1.22	0.0	1.22
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.22	0.00	1.22	0.0	1.22
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	1.12	0.00	1.12	0.0	1.12
04/17/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
04/17/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JIM LUND #60								
04/17/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
05/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
05/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.12	0.00	3.12	0.0	3.12
05/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	6.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.16	0.00	12.16	0.0	12.16
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.51	0.00	0.51	0.0	0.51
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
04/30/2017	KENNEY, CLIFF	FRANKLIN BALANCED A	0.00	3.51	0.00	3.51	0.0	3.51
04/30/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.54	0.00	31.54	0.0	31.54
04/30/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.46	0.00	41.46	0.0	41.46
04/30/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	60.89	0.00	60.89	0.0	60.89
04/30/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	66.13	0.00	66.13	0.0	66.13
04/30/2017	LUND, JIM	FRANKLIN INCOME A	0.00	3.76	0.00	3.76	0.0	3.76
04/30/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.83	0.00	0.83	0.0	0.83
04/30/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.13	0.00	31.13	0.0	31.13
04/30/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	195.01	0.00	195.01	0.0	195.01
04/30/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.13	0.00	2.13	0.0	2.13
04/30/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.27
04/30/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.40	0.00	0.40	0.0	0.40
04/30/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	49.80	0.00	49.80	0.0	49.80
04/30/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	76.14	0.00	76.14	0.0	76.14
04/30/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	0.00	0.94	0.00	0.94	0.0	0.94
05/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
04/30/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	22.38	0.00	22.38	0.0	22.38
04/30/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	67.49	0.00	67.49	0.0	67.49
04/30/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	5.24	0.00	5.24	0.0	5.24
04/30/2017	STARK, TONI	FRANKLIN INCOME C	0.00	26.28	0.00	26.28	0.0	26.28
05/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
04/30/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.75	0.00	3.75	0.0	3.75
04/30/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.42	0.00	1.42	0.0	1.42
04/30/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.30	0.00	2.30	0.0	2.30
04/30/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.51	0.00	4.51	0.0	4.51

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #60 </u>								
04/30/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	21.55	0.00	21.55	0.0	21.55
04/30/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	25.48	0.00	25.48	0.0	25.48
04/30/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	78.97	0.00	78.97	0.0	78.97
04/30/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.95	0.00	0.95	0.0	0.95
04/30/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	95.67	0.00	95.67	0.0	95.67
04/30/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	63.40	0.00	63.40	0.0	63.40
05/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
04/30/2017	KENNEY, CLIFF	FT FOUNDING FUNDS ALLOC A	0.00	40.74	0.00	40.74	0.0	40.74
04/30/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	19.46	0.00	19.46	0.0	19.46
04/30/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.26	0.00	5.26	0.0	5.26
04/30/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	0.00	97.72	0.00	97.72	0.0	97.72
04/30/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	3.90	0.00	3.90	0.0	3.90
04/30/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	18.95	0.00	18.95	0.0	18.95
04/30/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	27.90	0.00	27.90	0.0	27.90
04/30/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.18	0.00	1.18	0.0	1.18
* JIM LUND SUBTOTAL *			\$1,495.00	\$1,276.84	\$0.00	\$1,276.84		\$1,276.84
<u> BROKER: JAMES PANOS #61 </u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.01	0.00	27.01	0.0	27.01
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.04	0.00	23.04	0.0	23.04
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	2.60	0.00	2.60	0.0	2.60
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.53	0.00	3.53	0.0	3.53
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.12	0.00	7.12	0.0	7.12
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	9.69	0.00	9.69	0.0	9.69
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.18	0.00	4.18	0.0	4.18
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.66	0.00	5.66	0.0	5.66
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.38	0.00	11.38	0.0	11.38
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	4.15	0.00	4.15	0.0	4.15
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	5.61	0.00	5.61	0.0	5.61
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	11.40	0.00	11.40	0.0	11.40
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	24.48	0.00	24.48	0.0	24.48
04/17/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
04/17/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES PANOS #61 </u>								
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.30	0.00	4.30	0.0	4.30
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	5.82	0.00	5.82	0.0	5.82
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	11.04	0.00	11.04	0.0	11.04
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	3.85	0.00	3.85	0.0	3.85
04/17/2017	MACUCH, PAUL	FA 529 PORT 2016 C	50.00	0.50	0.00	0.50	0.0	0.50
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	58.03	0.00	58.03	0.0	58.03
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.19	0.00	5.19	0.0	5.19
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.75	0.00	2.75	0.0	2.75
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.59	0.00	9.59	0.0	9.59
04/17/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	59.00	0.00	59.00	0.0	59.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	40.06	0.00	40.06	0.0	40.06
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	23.75	0.00	23.75	0.0	23.75
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.08	0.00	10.08	0.0	10.08
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.27	0.00	15.27	0.0	15.27
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	23.60	0.00	23.60	0.0	23.60
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.56	0.00	6.56	0.0	6.56
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.73	0.00	10.73	0.0	10.73
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.86	0.00	10.86	0.0	10.86
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	26.41	0.00	26.41	0.0	26.41
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.53	0.00	7.53	0.0	7.53
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.61	0.00	12.61	0.0	12.61
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.22	0.00	10.22	0.0	10.22
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	30.60	0.00	30.60	0.0	30.60
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.15	0.00	3.15	0.0	3.15
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	19.51	0.00	19.51	0.0	19.51
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.86	0.00	2.86	0.0	2.86
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	37.22	0.00	37.22	0.0	37.22
04/17/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
04/17/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
04/17/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES PANOS #61 </u>								
04/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	17.73	0.00	17.73	0.0	17.73
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	13.79	0.00	13.79	0.0	13.79
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	31.11	0.00	31.11	0.0	31.11
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	20.69	0.00	20.69	0.0	20.69
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	17.17	0.00	17.17	0.0	17.17
05/01/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
05/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
05/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	12.97	0.00	12.97	0.0	12.97
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	4.74	0.00	4.74	0.0	4.74
04/17/2017	MACUCH, PAUL	FA 529 PORT 2028 C	50.00	0.50	0.00	0.50	0.0	0.50
05/01/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	1.96	0.00	1.96	0.0	1.96
04/30/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	86,472.78	72.06	0.00	72.06	0.0	72.06
04/30/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	215,158.47	89.65	0.00	89.65	0.0	89.65
* JAMES PANOS SUBTOTAL *			\$303,571.25	\$892.41	\$0.00	\$892.41		\$892.41
<u> BROKER: RENE CASTRO #92 </u>								
04/30/2017	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	32.95	0.00	32.95	0.0	32.95
* RENE CASTRO SUBTOTAL *			\$0.00	\$32.95	\$0.00	\$32.95		\$32.95
<u> BROKER: JAMES AULENTI #101 </u>								
04/30/2017	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	88.06	0.00	88.06	0.0	88.06
04/30/2017	MILES FAMILY TRUST	FRANKLIN NY TF INCOME FUND CL C	0.00	321.14	0.00	321.14	0.0	321.14
* JAMES AULENTI SUBTOTAL *			\$0.00	\$409.20	\$0.00	\$409.20		\$409.20
<u> BROKER: ERIK BLOOMFELDT #105 </u>								
04/30/2017	DEACON, GALE	JNL PERSPECTIVE L	7,463.45	6.22	0.00	6.22	0.0	6.22
* ERIK BLOOMFELDT SUBTOTAL *			\$7,463.45	\$6.22	\$0.00	\$6.22		\$6.22

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
04/30/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	1.37	0.00	1.37	0.0	1.37
04/30/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	2.13	0.00	2.13	0.0	2.13
04/30/2017	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.61	0.00	0.61	0.0	0.61
05/01/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
05/01/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2017	DONNELL, PATRICK	FRANKLIN REAL RETURN A	0.00	10.42	0.00	10.42	0.0	10.42
04/30/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	1.47	0.00	1.47	0.0	1.47
04/30/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	2.19	0.00	2.19	0.0	2.19
04/30/2017	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.62	0.00	0.62	0.0	0.62
05/01/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
05/01/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2017	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.61	0.00	0.61	0.0	0.61
04/30/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	1.51	0.00	1.51	0.0	1.51
04/30/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	2.20	0.00	2.20	0.0	2.20
05/01/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
05/01/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	1.22	0.00	1.22	0.0	1.22
04/30/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	2.03	0.00	2.03	0.0	2.03
04/30/2017	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.54	0.00	0.54	0.0	0.54
05/01/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
05/01/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
05/01/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
 * DANIEL COLLINS SUBTOTAL * 			\$440.00	\$49.36	\$0.00	\$49.36		\$49.36

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: G118 GRECO #110 </u>								
04/11/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.35	0.00	7.35	0.0	7.35
04/11/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.63	0.00	4.63	0.0	4.63
04/11/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.40	0.00	4.40	0.0	4.40
04/11/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.49	0.00	6.49	0.0	6.49
04/11/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.49	0.00	6.49	0.0	6.49
04/11/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.02	0.00	1.02	0.0	1.02
04/11/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	0.0	0.16
04/11/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.05	0.00	1.05	0.0	1.05
04/11/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	0.99	0.00	0.99	0.0	0.99
04/11/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.03	0.00	5.03	0.0	5.03
04/11/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.88	0.00	0.88	0.0	0.88
04/11/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.67	0.00	2.67	0.0	2.67
04/11/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	15.55	0.00	15.55	0.0	15.55
04/13/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	5.44	0.00	5.44	0.0	5.44
04/11/2017	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	36.24	0.00	36.24	0.0	36.24
04/11/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.65	0.00	12.65	0.0	12.65
* G118 GRECO SUBTOTAL *			\$0.00	\$111.04	\$0.00	\$111.04		\$111.04
<u> BROKER: JAMES KOESTER #117 </u>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
* JAMES KOESTER SUBTOTAL *			\$0.00	\$0.11	\$0.00	\$0.11		\$0.11
<u> BROKER: JAY MCCLELLAN #120 </u>								
04/17/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
05/03/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.71	0.00	2.71	0.0	2.71
04/30/2017	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.81	0.00	8.81	0.0	8.81
04/30/2017	WELLES, CLAUDIA L	FRANKLIN CONSERVATINVE ALLOC A	0.00	46.14	0.00	46.14	0.0	46.14
04/30/2017	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	15.26	0.00	15.26	0.0	15.26
04/30/2017	WELLES, CLAUDIA L	FRANKLIN DYNATECH A	0.00	10.77	0.00	10.77	0.0	10.77
04/30/2017	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	6.49	0.00	6.49	0.0	6.49
04/30/2017	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.17	0.00	3.17	0.0	3.17
04/30/2017	WELLES, CLAUDIA L	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	7.64	0.00	7.64	0.0	7.64
04/30/2017	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.35	0.00	7.35	0.0	7.35
04/30/2017	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	8.46	0.00	8.46	0.0	8.46

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAY MCCLELLAN #120 </u>								
04/30/2017	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	2.72	0.00	2.72	0.0	2.72
04/30/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.47	0.00	6.47	0.0	6.47
04/30/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	9.86	0.00	9.86	0.0	9.86
04/30/2017	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.66	0.00	1.66	0.0	1.66
04/30/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.56	0.00	6.56	0.0	6.56
04/30/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.53	0.00	6.53	0.0	6.53
04/30/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	18,729.20	6.24	0.00	6.24	0.0	6.24
04/17/2017	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	333.33	13.33	0.00	13.33	0.0	13.33
04/17/2017	KEY, LARRY	PIONEER EMERGING MARKETS A	333.33	16.67	0.00	16.67	0.0	16.67
04/17/2017	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	333.34	13.33	0.00	13.33	0.0	13.33
04/30/2017	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.53	0.00	1.53	0.0	1.53
04/30/2017	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.08	0.00	4.08	0.0	4.08
04/30/2017	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	3.41	0.00	3.41	0.0	3.41
04/30/2017	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.60	0.00	5.60	0.0	5.60
04/30/2017	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.42	0.00	4.42	0.0	4.42
04/30/2017	CURTIS, VIRGINIA	TEMPLETON GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
04/30/2017	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	4.96	0.00	4.96	0.0	4.96
04/30/2017	KAISER, CHARLES	TEMPLETON WORLD A	0.00	7.71	0.00	7.71	0.0	7.71
04/30/2017	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	7.60	0.00	7.60	0.0	7.60
 * JAY MCCLELLAN SUBTOTAL * 			\$19,779.20	\$242.04	\$0.00	\$242.04		\$242.04
<u> BROKER: TIMOTHY KULHANEK #145 </u>								
04/25/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
04/30/2017	JOHNSON, BLAKE	TEMPLETON FOREIGN FUND A	0.00	0.36	0.00	0.36	0.0	0.36
04/30/2017	JOHNSON, BLAKE	TEMPLETON WORLD A	0.00	0.46	0.00	0.46	0.0	0.46
 * TIMOTHY KULHANEK SUBTOTAL * 			\$130.00	\$8.62	\$0.00	\$8.62		\$8.62
<u> BROKER: CHARLIE ARRINGTON #174 </u>								
04/30/2017	SHURTZ, TERRI	JNL PERSPECTIVE L	37,519.64	31.27	0.00	31.27	0.0	31.27
 * CHARLIE ARRINGTON SUBTOTAL * 			\$37,519.64	\$31.27	\$0.00	\$31.27		\$31.27
<u> BROKER: BRUCE CARNICK #175 </u>								
04/30/2017	WANG, LIN LIN	TEMPLETON DEVELOPING MKTS A	0.00	6.06	0.00	6.06	0.0	6.06
04/30/2017	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	17.00	0.00	17.00	0.0	17.00
04/30/2017	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	9.59	0.00	9.59	0.0	9.59

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BRUCE CARNICK #175</u>								
04/30/2017	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	7.17	0.00	7.17	0.0	7.17
04/30/2017	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.46	0.00	3.46	0.0	3.46
04/30/2017	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	85.54	0.00	85.54	0.0	85.54
04/30/2017	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	38.57	0.00	38.57	0.0	38.57
04/30/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.53	0.00	1.53	0.0	1.53
04/30/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.31	0.00	1.31	0.0	1.31
04/30/2017	ADAMS, JANET	TEMPLETON WORLD A	0.00	26.83	0.00	26.83	0.0	26.83
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$197.06	\$0.00	\$197.06		\$197.06
<u>BROKER: MICHELE BOYER #189</u>								
04/30/2017	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.92	0.00	2.92	0.0	2.92
04/30/2017	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	4.27	0.00	4.27	0.0	4.27
04/30/2017	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	12.34	0.00	12.34	0.0	12.34
04/30/2017	SOJKA, MICHAEL	FT HARD CURRENCY A	0.00	1.59	0.00	1.59	0.0	1.59
05/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	0.99	0.00	0.99	0.0	0.99
05/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.93	0.00	1.93	0.0	1.93
05/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.88	0.00	0.88	0.0	0.88
* MICHELE BOYER SUBTOTAL *			\$0.00	\$24.92	\$0.00	\$24.92		\$24.92
<u>BROKER: MICHAEL PALMISANO #196</u>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.83	0.00	0.83	0.0	0.83
04/11/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.33	0.00	2.33	0.0	2.33
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.87	0.00	0.87	0.0	0.87
04/11/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	8.25	0.00	8.25	0.0	8.25
04/30/2017	WEGMAN, MARK	JNL PERSPECTIVE L	109,261.38	91.05	0.00	91.05	0.0	91.05
* MICHAEL PALMISANO SUBTOTAL *			\$109,261.38	\$103.33	\$0.00	\$103.33		\$103.33
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
05/03/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	7.79	0.00	7.79	0.0	7.79
05/03/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.09	0.00	7.09	0.0	7.09
04/17/2017	HAMMONS, LEO	PIONEER FUND A	50.00	2.50	0.00	2.50	0.0	2.50
* MEREDITH MCCUTCHEN SUBTOTAL *			\$50.00	\$17.38	\$0.00	\$17.38		\$17.38

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES CRAWFORD #225</u>								
04/30/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,264.89	1.93	0.00	1.93	0.0	1.93
04/30/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,372.74	1.54	0.00	1.54	0.0	1.54
* JAMES CRAWFORD SUBTOTAL *			\$16,637.63	\$3.47	\$0.00	\$3.47		\$3.47
<u>BROKER: CARL WORDEN III #232</u>								
04/30/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,015.23	2.09	0.00	2.09	0.0	2.09
* CARL WORDEN III SUBTOTAL *			\$10,015.23	\$2.09	\$0.00	\$2.09		\$2.09
<u>BROKER: MARIA BONGIOVANNI #237</u>								
04/25/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	0.0	1.50
04/25/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	0.0	1.50
04/30/2017	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.75	0.00	2.75	0.0	2.75
04/30/2017	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.74	0.00	2.74	0.0	2.74
04/30/2017	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.74	0.00	2.74	0.0	2.74
* MARIA BONGIOVANNI SUBTOTAL *			\$300.00	\$11.23	\$0.00	\$11.23		\$11.23
<u>BROKER: WILLIAM THOMAS #239</u>								
04/25/2017	ISBELL, LINDA	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	0.0	2.50
04/25/2017	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	0.0	2.50
04/25/2017	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	0.0	4.25
04/28/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.50
04/25/2017	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	2.50
04/25/2017	ISBELL, LINDA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	0.0	2.50
04/30/2017	HUGHES, ROGER	FRANKLIN INCOME C	0.00	30.81	0.00	30.81	0.0	30.81
04/30/2017	HUGHES, ROGER	FRANKLIN MUTUAL EUROPEAN FUND CL C	0.00	21.06	0.00	21.06	0.0	21.06
04/30/2017	HUGHES, ROGER	FRANKLIN R E SECURITIES FUND - CLAS	0.00	23.70	0.00	23.70	0.0	23.70
04/30/2017	HUGHES, ROGER	FRANKLIN RISING DIVIDENDS C	0.00	20.90	0.00	20.90	0.0	20.90
04/30/2017	HUGHES, ROGER	FRANKLIN SMALL CAP VALUE C	0.00	25.97	0.00	25.97	0.0	25.97
04/30/2017	HUGHES, ROGER	FRANKLIN VALUE INVS TR MIDCAP VALUE	0.00	24.22	0.00	24.22	0.0	24.22
05/05/2017	BROOKS, TAMMY	NWL BOA NG FP VUL	2,500.00	50.00	0.00	50.00	0.0	50.00
04/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
* WILLIAM THOMAS SUBTOTAL *			\$3,150.00	\$217.41	\$0.00	\$217.41		\$217.41

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
03/30/2017	MILLER, MARTIN	METLIFE TRAILS	0.00	78.79	0.00	78.79	0.0	78.79
05/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	1.00
05/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	1.00
04/28/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
03/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.48	0.00	0.48	0.0	0.48
03/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.01
03/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.01
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	29.74	0.00	29.74	0.0	29.74
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.91	0.00	9.91	0.0	9.91
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	24.83	0.00	24.83	0.0	24.83
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.28	0.00	8.28	0.0	8.28
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.76	0.00	2.76	0.0	2.76
03/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.28	0.00	8.28	0.0	8.28
04/30/2017	POTTER, NEIL	FRANKLIN GROWTH A	0.00	10.05	0.00	10.05	0.0	10.05
04/30/2017	GABRIEL, WILLIAM	FRANKLIN GROWTH C	0.00	39.89	0.00	39.89	0.0	39.89
04/30/2017	KOCHANOWSKI, DONALD	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	9.15	0.00	9.15	0.0	9.15
04/30/2017	POTTER, NEIL	FRANKLIN INCOME A	0.00	8.26	0.00	8.26	0.0	8.26
04/30/2017	BAIRD, KATHRYN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	6.59	0.00	6.59	0.0	6.59
04/30/2017	CROCKETT, JAIME	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	11.37	0.00	11.37	0.0	11.37
04/30/2017	GABRIEL, WILLIAM	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	33.20	0.00	33.20	0.0	33.20
04/30/2017	STEVENSON, JANICE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	48.05	0.00	48.05	0.0	48.05
04/30/2017	POTTER, NEIL	FRANKLIN RISING DIVIDENDS A	0.00	27.33	0.00	27.33	0.0	27.33
04/30/2017	STEVENSON, JANICE	FRANKLIN RISING DIVIDENDS C	0.00	51.81	0.00	51.81	0.0	51.81
04/30/2017	STEVENSON, JANICE	FRANKLIN SMALL CAP VALUE C	0.00	24.54	0.00	24.54	0.0	24.54
04/30/2017	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.75	0.00	2.75	0.0	2.75
04/30/2017	STEVENSON, JANICE	FRANKLIN UTILITIES C	0.00	17.11	0.00	17.11	0.0	17.11
04/30/2017	POLL, ROGOR	FT EQUITY INC CL C	0.00	37.89	0.00	37.89	0.0	37.89
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.73	0.00	2.73	0.0	2.73
04/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	4.32
05/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
05/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
04/24/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
04/24/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
04/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
04/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	0.0	10.50
04/30/2017	CROCKETT, JAIME	TEMPLETON GLOBAL BALANCED FUND	0.00	12.00	0.00	12.00	0.0	12.00
04/25/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
05/01/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
05/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	3.87	0.00	3.87	0.0	3.87
04/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,452.86	15.38	0.00	15.38	0.0	15.38
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$19,773.86	\$550.28	\$0.00	\$550.28		\$550.28
<u>BROKER: MICHAEL O'CALLAGHAN #305</u>								
04/30/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	80,732.72	67.28	0.00	67.28	0.0	67.28
* MICHAEL O'CALLAGHAN SUBTOTAL *			\$80,732.72	\$67.28	\$0.00	\$67.28		\$67.28
<u>BROKER: GARRETT MILLS #306</u>								
05/03/2017	MIRON, RALPH	FACEBOOK INC CL A	1,223.42	22.00	22.50	-0.50	0.0	-0.50
05/03/2017	MIRON, RALPH	LYONDELLBASELL INDUSTRIES N V ORD S	-1,412.51	22.00	22.50	-0.50	0.0	-0.50
* GARRETT MILLS SUBTOTAL *			\$-189.09	\$44.00	\$45.00	\$-1.00		\$-1.00
<u>BROKER: MARK-S141 REG SMITH #367</u>								
04/30/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.99	0.00	15.99	48.0	6.72
05/05/2017	BOMMARITO, VICTORIA	FOUNDING FUNDS 529 PORTFOLIO - CLAS	50.00	2.50	0.00	2.50	48.0	1.05
* MARK-S141 REG SMITH SUBTOTAL *			\$50.00	\$18.49	\$0.00	\$18.49		\$7.77
*** OVERRIDE TRANSACTIONS TOTAL ***			\$887,157.63	\$5,418.63	\$45.00	\$5,373.63		\$5,362.91

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,661.09
Split Transactions	\$0.00
Override Transactions	\$5,362.91
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,024.00
Year-to-date Gross	\$36,646.68
Year-to-date Earnings	\$99,495.95