



Concorde Investment Services, LLC

05/11/2017
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COMMISSION STATEMENT

May 15, 2017

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
04/27/2017	RUPPE SMITH, LYNN MARIE	GPB WASTE MANAGEMENT LP	27,000.00	0.00	0.00	0.00	90.0	0.00
05/05/2017	GAERISCH, LYNNE	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
* PRIVATE PLACEMENT SUBTOTAL *			\$77,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
04/21/2017	JCTIC LLC	INLAND DALLAS MULTIFAMILY DST	300,000.00	15,000.00	0.00	15,000.00	90.0	13,500.00
03/30/2017	HUNTER TRUST, TACY	INLAND HEALTHCARE PF II DST	638,883.91	31,944.20	0.00	31,944.20	90.0	28,749.78
05/05/2017	DEEK & BABS LLC	INLAND HEALTHCARE PF II DST	100,000.00	5,000.00	0.00	5,000.00	90.0	4,500.00
05/05/2017	DEEK & BABS LLC	INLAND SELF-STORAGE PF III DST	25,000.00	1,250.00	0.00	1,250.00	90.0	1,125.00
* 1031 EXCHANGE SUBTOTAL *			\$1,063,883.91	\$53,194.20	\$0.00	\$53,194.20		\$47,874.78
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/27/2017	RUPPE SMITH, LYNN	GPB WASTE MANAGEMENT LP CLASS A	27,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$27,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>								
05/05/2017	RUPPE SMITH, LYNN MARIE	DD GPB HOLDINGS	27,000.00	337.50	0.00	337.50	50.0	168.75
05/05/2017	GAERISCH TRUST	DD GPB HOLDINGS	50,000.00	625.00	0.00	625.00	50.0	312.50
04/27/2017	JCTIC LLC	DD INLAND DALLAS MF DST	300,000.00	3,750.00	0.00	3,750.00	50.0	1,875.00
04/27/2017	HUNTER TRUST, TACY	DD INLAND HC PF II DST	638,883.91	7,986.04	0.00	7,986.04	50.0	3,993.02
05/11/2017	DEEK & BABS LLC	DD INLAND HC PF II DST	100,000.00	1,250.00	0.00	1,250.00	50.0	625.00
05/11/2017	DEEK & BABS LLC	DD INLAND SELF STRG PF III DST	25,000.00	312.50	0.00	312.50	50.0	156.25
* MISCELLANEOUS SUBTOTAL *			\$1,140,883.91	\$14,261.04	\$0.00	\$14,261.04		\$7,130.52
*** BROKER TRANSACTIONS TOTAL ***			\$2,308,767.82	\$70,955.24	\$0.00	\$70,955.24		\$58,155.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2017		*ADVANCE: ADVANCE	0.00					-48,017.80
05/15/2017		*CONCIERGE SVC: 190023 ROONEY TTEE	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 190045 HUNTER TTEE	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 190187 LUQUE	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 190484 DUNN JR	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 190632 ROONEY TTEE	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 190931 CRNCICH	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 190932 HECKAMAN	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 191374 SMITH	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 191546 ROLLO	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 191637 GAERISCH	0.00					-50.00
05/15/2017		*CONCIERGE SVC: 191649 GAERISCH TTE	0.00					-50.00
05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*OUT OF CYCLE PROCESSING FEE: OUT O	0.00					-100.00
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-7.44
05/15/2017		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-49,350.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$58,155.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-49,350.24
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,805.06
Year-to-date Gross	\$341,290.91
Year-to-date Earnings	\$338,059.91