

**COMMISSION STATEMENT****March 31, 2018**

ALAN, L133 LICHTENSTEIN  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: L133  
BRANCH: FORTITUDE INVESTMENT

| DATE                                | CLIENT            | INVESTMENT        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|-------------------------------------|-------------------|-------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>   |                   |                   |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: RIA</u></b> |                   |                   |                      |                     |                    |                   |      |                      |
| 03/15/2018                          | WITKIN, CAROL ANN | EQIS CAPITAL MGMT | 25,795.98            | 10.75               | 0.00               | 10.75             | 75.0 | 8.06                 |
| * RIA SUBTOTAL *                    |                   |                   | <b>\$25,795.98</b>   | <b>\$10.75</b>      | <b>\$0.00</b>      | <b>\$10.75</b>    |      | <b>\$8.06</b>        |
| *** DIRECT TRANSACTIONS TOTAL ***   |                   |                   | <b>\$25,795.98</b>   | <b>\$10.75</b>      | <b>\$0.00</b>      | <b>\$10.75</b>    |      | <b>\$8.06</b>        |

**BROKER COMMISSION TOTALS**

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$8.06  |
| Split Transactions    | \$0.00  |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$16.50 |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                 |
|------------------------------|-----------------|
| <b>Balance Forward</b>       | <b>\$24.56</b>  |
| <b>Year-to-date Gross</b>    | <b>\$155.63</b> |
| <b>Year-to-date Earnings</b> | <b>\$116.73</b> |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed