

**COMMISSION STATEMENT****November 15, 2017**

C148 DAN/MARC FT-CHARLEY/LINSKY

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^11/01/2017	NEELD, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	26,000.00	260.00	0.00	260.00	100.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$26,000.00	\$260.00	\$0.00	\$260.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$26,000.00	\$260.00	\$0.00	\$260.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^10/16/2017	MENZ, COLLEEN	COTTONWOOD RESIDENTIAL 2016 PREFR'D	200,000.00	14,000.00	0.00	14,000.00	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$200,000.00	\$14,000.00	\$0.00	\$14,000.00		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/19/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.92	0.00	0.92	100.0	0.00
^10/13/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.60	0.00	5.60	100.0	0.00
^10/27/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	4.89	0.00	4.89	100.0	0.00
^10/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.73	0.00	1.73	100.0	0.00
^10/17/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.80	0.00	6.80	100.0	0.00
^10/16/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.31	0.00	4.31	100.0	0.00
^10/20/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	11.84	0.00	11.84	100.0	0.00
^10/23/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.91	0.00	1.91	100.0	0.00
^10/18/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.09	0.00	6.09	100.0	0.00
^10/18/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.27	0.00	18.27	100.0	0.00
^10/18/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.05	0.00	7.05	100.0	0.00
^10/18/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.15	0.00	21.15	100.0	0.00
^10/30/2017	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	3.13	0.00	3.13	100.0	0.00
^10/12/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	6.20	0.00	6.20	100.0	0.00
^10/13/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.86	0.00	0.86	100.0	0.00
^10/19/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^10/23/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.35	0.00	3.35	100.0	0.00
^10/12/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.96	0.00	1.96	100.0	0.00
^10/12/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.05	0.00	1.05	100.0	0.00
^10/12/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.33	0.00	0.33	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$107.45	\$0.00	\$107.45		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$200,000.00	\$14,107.45	\$0.00	\$14,107.45		\$0.00

ADJUSTMENTS

11/15/2017	*PERSHING: BOOKS AND RECORDS	0.00					0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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