

All Companies
STATEMENT
July 20, 2018

FRANK AUDITORE
19 WALDEN DR

BROKER #: 015
BRANCH: LA BRANCH

EASTON, MA 02375-

COMMISSION STATEMENT for FRANK AUDITORE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/07/2018 #00088451605	WOOSTER, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	B	125.00	6.25	0.00	0.00	6.25	85.0	5.31
06/07/2018 #00088451605	WOOSTER, TIMOTHY	WASHINGTON MUTUAL INVS FD CLASS A	B	125.00	6.25	0.00	0.00	6.25	85.0	5.31
* MUTUAL FUNDS SUBTOTAL *				\$250.00	\$12.50	\$0.00	\$0.00	\$12.50		\$10.62

PRODUCT CATEGORY: STOCKS

05/30/2018 #	SKEIBER, STANLEY	***HUYA INC	B	2,468.48	95.00	19.95	0.00	75.05	85.0	63.79
06/04/2018 #	BLISS, JEFFREY	***HUYA INC	B	9,192.87	395.00	19.95	0.00	375.05	85.0	318.79
06/04/2018 #	ANN L CRAVEN	MOMO INC ADR	B	25,187.00	985.00	19.95	0.00	965.05	85.0	820.29
06/04/2018 #	BLISS, JEFFREY	YY INC ADS REPSTG COM CL A	S	15,676.45	595.00	19.95	0.00	575.05	85.0	488.79
06/06/2018 #	SKEIBER, STANLEY	***HUYA INC	B	9,176.01	395.00	19.95	0.00	375.05	85.0	318.79
06/06/2018 #	SKEIBER, STANLEY	YY INC ADS REPSTG COM CL A	S	11,403.14	495.00	19.95	0.00	475.05	85.0	403.79
06/08/2018 #	SKEIBER, STANLEY	***HUYA INC	B	10,294.08	495.00	19.95	0.00	475.05	85.0	403.79
06/08/2018 #	SKEIBER, STANLEY	YY INC ADS REPSTG COM CL A	S	11,003.40	495.00	19.95	0.00	475.05	85.0	403.79
06/12/2018 #	SKEIBER, STANLEY	***HUYA INC	B	10,952.94	495.00	19.95	0.00	475.05	85.0	403.79
06/12/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	10,625.70	495.00	19.95	0.00	475.05	85.0	403.79
06/14/2018 #	SKEIBER, STANLEY	***HUYA INC	B	12,196.95	549.50	19.95	0.00	529.55	85.0	450.12
06/14/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	10,540.00	495.00	19.95	0.00	475.05	85.0	403.79
06/15/2018 #	SKEIBER, STANLEY	***HUYA INC	B	4,465.61	175.00	19.95	0.00	155.05	85.0	131.79
06/15/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	5,187.00	195.00	19.95	0.00	175.05	85.0	148.79
06/19/2018 #	SKEIBER, STANLEY	***IQIYI INC	B	8,550.66	395.00	19.95	0.00	375.05	85.0	318.79
06/19/2018 #	SKEIBER, STANLEY	***IQIYI INC	B	39,580.92	1,995.00	19.95	0.00	1,975.05	85.0	1,678.79
06/19/2018 #	ANN L CRAVEN	***IQIYI INC	B	16,049.26	749.50	19.95	0.00	729.55	85.0	620.12
06/19/2018 #	ANN L CRAVEN	AUTOLIV INC	S	7,780.00	295.00	19.95	0.00	275.05	85.0	233.79
06/19/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	10,050.00	475.00	19.95	0.00	455.05	85.0	386.79
06/19/2018 #	SKEIBER, STANLEY	YY INC ADS REPSTG COM CL A	S	44,472.64	1,995.00	19.95	0.00	1,975.05	85.0	1,678.79
06/21/2018 #	SKEIBER, STANLEY	***IQIYI INC	B	15,540.14	695.00	19.95	0.00	675.05	85.0	573.79
06/21/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	15,075.00	695.00	19.95	0.00	675.05	85.0	573.79
06/26/2018 #	ANN L CRAVEN	AUTOLIV INC	S	3,676.25	95.00	19.95	0.00	75.05	85.0	63.79
* STOCKS SUBTOTAL *				\$309,144.50	\$13,744.00	\$458.85	\$0.00	\$13,285.15		\$11,292.33

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/01/2018	#00088451605	WOOSTER, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	B	0.00	3.23	0.00	0.00	3.23	85.0 2.75
06/01/2018	#00088451605	WOOSTER, TIMOTHY	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	3.13	0.00	0.00	3.13	85.0 2.66
06/01/2018	#00089801146	WOOSTER, ROB	WASHINGTON MUTUAL INVS FD CLASS A	B	0.00	0.04	0.00	0.00	0.04	85.0 0.03
06/07/2018	#01485656068	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	0.84	0.00	0.00	0.84	85.0 0.71
06/07/2018	#01488943000	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	14.98	0.00	0.00	14.98	85.0 12.73
06/07/2018	#01485656068	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	0.88	0.00	0.00	0.88	85.0 0.75
06/07/2018	#01488943000	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	14.97	0.00	0.00	14.97	85.0 12.72
06/11/2018	#	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	6.16	0.00	0.00	6.16	85.0 5.24
06/11/2018	#	BLISS, JEFFREY	DAIN TRAILER	B	0.00	5.02	0.00	0.00	5.02	85.0 4.27
06/11/2018	#	MACDOUGALL, WILLIAM	DAIN TRAILER	B	0.00	4.01	0.00	0.00	4.01	85.0 3.41
06/11/2018	#	ROBERTS, FREDERICK	DAIN TRAILER	B	0.00	4.54	0.00	0.00	4.54	85.0 3.86
06/11/2018	#	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	8.49	0.00	0.00	8.49	85.0 7.22
06/11/2018	#	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	7.71	0.00	0.00	7.71	85.0 6.55
06/11/2018	#	VARIES, CUSTOMER	DAIN TRAILER	B	0.00	0.01	0.00	0.00	0.01	85.0 0.01
06/11/2018	#	VALLARIO, DEBRA-ANN	DAIN TRAILER	B	0.00	4.50	0.00	0.00	4.50	85.0 3.83
06/11/2018	#	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.89	0.00	0.00	0.89	85.0 0.76
06/11/2018	#	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	85.0 0.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$80.36	\$0.00	\$0.00	\$80.36	\$68.32
*** BROKER TRANSACTIONS TOTAL ***					\$309,394.50	\$13,836.86	\$458.85	\$0.00	\$13,378.01	\$11,371.27

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-320.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-35.00
	DEBIT BALANCE	
07/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-2.00
	DEBIT BALANCE	
*** ADJUSTMENTS TOTAL ***		\$-536.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,371.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-536.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,835.27
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