

**COMMISSION STATEMENT****October 15, 2017**JOHN ROSS  
7261 W. Central Ave.BROKER #: R119  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
08/31/2017	LONG, PAUL	GRIFFIN INST'L ACCESS R E FUND	0.00	1.14	0.00	1.14	92.0	1.05
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$1.14</b>	<b>\$0.00</b>	<b>\$1.14</b>		<b>\$1.05</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
10/02/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
10/02/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
10/02/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
10/04/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	300.00	4.80	0.00	4.80	92.0	4.42
10/04/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	30.00	0.48	0.00	0.48	92.0	0.44
10/04/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	300.00	4.80	0.00	4.80	92.0	4.42
10/04/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	150.00	2.40	0.00	2.40	92.0	2.21
09/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
09/25/2017	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
09/25/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
09/27/2017	SEYMOUR, DONALD	AM FUNDS TAX-EXEMPT BOND FUND OF AM	229.97	2.30	0.00	2.30	92.0	2.12
10/02/2017	HUNTER, DEBORAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	92.0	1.96
10/06/2017	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	431.21	4.31	0.00	4.31	92.0	3.97
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	1.93	0.00	1.93	92.0	1.78
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.37	0.00	1.37	92.0	1.26
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.92	0.00	0.92	92.0	0.85
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.31	0.00	1.31	92.0	1.21
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.09	0.00	1.09	92.0	1.00
/ /	DUBOW, ERIC	OPPENHEIMER INTL SMALL CO A	0.00	7.90	0.00	7.90	92.0	7.27
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	7.59	0.00	7.59	92.0	6.98
/ /	MANN, JAMES	OPPENHEIMER MAIN STREET A	0.00	0.25	0.00	0.25	92.0	0.23
10/02/2017	SHOEMAKER, DENNIS	PUTNAM DIVERSIFIED INCOME A	0.00	0.05	0.00	0.05	92.0	0.05

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/02/2017	SHOEMAKER, DENNIS	PUTNAM GROWTH OPP A	0.00	1.44	0.00	1.44	92.0	1.32
10/02/2017	DRAKE, ERIC	PUTNAM GROWTH OPP A	0.00	5.50	0.00	5.50	92.0	5.06
10/02/2017	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	4.49	0.00	4.49	92.0	4.13
10/02/2017	WAGONER JR, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	4.65	0.00	4.65	92.0	4.28
10/02/2017	DRAKE, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	5.02	0.00	5.02	92.0	4.62
10/02/2017	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	4.35	0.00	4.35	92.0	4.00
10/02/2017	PLAN	PUTNAM MULTI-CAP GROWTH B	0.00	2.50	0.00	2.50	92.0	2.30
10/02/2017	PLAN	PUTNAM MULTI-CAP GROWTH B	0.00	2.53	0.00	2.53	92.0	2.33
* MUTUAL FUNDS SUBTOTAL *			\$3,111.46	\$119.82	\$0.00	\$119.82		\$110.27

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

09/29/2017	SMOOT, DOROTHY	ALLIANZ VALUEMARK II	129,217.55	80.76	0.00	80.76	92.0	74.30
09/29/2017	DULINSKY, SHERRY	ALLIANZ VALUEMARK III	4,055.23	2.53	0.00	2.53	92.0	2.33
09/29/2017	WALLS, DIANE	ALLIANZ VALUEMARK III	94,142.11	58.84	0.00	58.84	92.0	54.13
09/29/2017	BENSCH, ARLYN	ALLIANZ VALUEMARK III	828.72	0.52	0.00	0.52	92.0	0.48
09/29/2017	BENSCH, CANDACE	ALLIANZ VALUEMARK III	832.40	0.52	0.00	0.52	92.0	0.48
09/29/2017	KRALL, JERRY	ALLIANZ VALUEMARK IV	2,685.49	1.68	0.00	1.68	92.0	1.55
09/29/2017	BRAITHWAITE, MICHAEL	ALLIANZ VALUEMARK IV	8,649.25	5.41	0.00	5.41	92.0	4.98
09/29/2017	WASSERMAN, JULIE	ALLIANZ VALUEMARK IV	6,003.95	3.75	0.00	3.75	92.0	3.45
09/29/2017	DIXON, RANDALL	ALLIANZ VALUEMARK IV	37,647.53	23.53	0.00	23.53	92.0	21.65
09/29/2017	KRALL, GARY	ALLIANZ VALUEMARK IV	16,955.45	10.60	0.00	10.60	92.0	9.75
09/29/2017	AUBRY, PATRICIA	AXA ACCUM ELITE II (NQ)	20,049.93	50.12	0.00	50.12	92.0	46.11
09/29/2017	JANICKI, KATHERINE	HARTFORD LEADERS (ILA)	11,634.23	7.27	0.00	7.27	92.0	6.69
09/29/2017	BRAGG, TYLER	HARTFORD LEADERS (ILA)	30,029.44	18.77	0.00	18.77	92.0	17.27
09/29/2017	KOZIARSKI, RICHARD	HARTFORD LEADERS OUTLOOK (ILA)	839.77	2.10	0.00	2.10	92.0	1.93
09/29/2017	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	1,092.95	2.73	0.00	2.73	92.0	2.51
09/29/2017	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	1,113.23	2.78	0.00	2.78	92.0	2.56
09/29/2017	IRWIN, JOHN	HARTFORD LEADERS OUTLOOK (ILA)	2,522.28	6.31	0.00	6.31	92.0	5.81
09/29/2017	ROSS, DANIEL	HARTFORD LEADERS OUTLOOK 2	53,584.27	133.96	0.00	133.96	92.0	123.24
09/29/2017	KIEHL, VERNON	HARTFORD LEADERS OUTLOOK 2	27,796.37	69.49	0.00	69.49	92.0	63.93
09/29/2017	BARE, HELEN	HARTFORD LEADERS OUTLOOK 2	8,547.98	21.37	0.00	21.37	92.0	19.66
09/29/2017	BARE III, HARRY	HARTFORD LEADERS OUTLOOK 2	18,692.22	46.73	0.00	46.73	92.0	42.99
09/29/2017	MILLS, SARAH	HARTFORD LEADERS OUTLOOK 2	12,695.96	31.74	0.00	31.74	92.0	29.20
09/30/2017	IRWIN, JOHN	JNL ELITE ACCESS	149,309.52	31.11	0.00	31.11	92.0	28.62

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	DEMKO, VERNA	JNL PERSPECTIVE	52,017.81	10.84	0.00	10.84	92.0	9.97
09/30/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,419.81	1.42	0.00	1.42	92.0	1.31
09/30/2017	LONG, LORI	JNL PERSPECTIVE L	14,765.53	12.30	0.00	12.30	92.0	11.32
09/30/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	32,904.84	27.42	0.00	27.42	92.0	25.23
09/30/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	27,961.89	23.30	0.00	23.30	92.0	21.44
09/30/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,485.52	35.40	0.00	35.40	92.0	32.57
09/30/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,555.78	12.13	0.00	12.13	92.0	11.16
09/30/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	112,084.39	93.40	0.00	93.40	92.0	85.93
09/30/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	39,507.76	32.92	0.00	32.92	92.0	30.29
09/30/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	128,380.42	106.98	0.00	106.98	92.0	98.42
09/30/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	66,133.69	55.11	0.00	55.11	92.0	50.70
09/30/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	62,629.22	52.19	0.00	52.19	92.0	48.01
09/30/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	97,020.84	80.85	0.00	80.85	92.0	74.38
09/30/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	86,388.76	71.99	0.00	71.99	92.0	66.23
09/30/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	72,811.74	60.68	0.00	60.68	92.0	55.83
09/30/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	57,918.90	48.27	0.00	48.27	92.0	44.41
09/30/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	57,555.90	47.96	0.00	47.96	92.0	44.12
09/27/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
09/27/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
09/30/2017	FISCHER, DAVID	NATIONWIDE BOA FUTURE II 32	212,246.00	265.31	0.00	265.31	92.0	244.09
09/30/2017	DOUGHERTY, RICO	NATIONWIDE DESTINATION L2 01	94,439.23	236.10	0.00	236.10	92.0	217.21
09/30/2017	ZINK, ROBERT	NATIONWIDE DESTINATION L2 01	339,511.35	848.78	0.00	848.78	92.0	780.88
09/30/2017	SCHNEIDER, MARGARET	NATIONWIDE DESTINATION LS 96	167,429.54	418.57	0.00	418.57	92.0	385.08
09/30/2017	HAUSFELD, DAVID	NATIONWIDE DESTINATION LS 96	170,411.96	426.03	0.00	426.03	92.0	391.95
09/30/2017	GERMAN, MARILYN	NATIONWIDE DESTINATION LS 96	130,671.22	326.68	0.00	326.68	92.0	300.55
09/30/2017	COUSINO TRUST, SUSAN	NATIONWIDE DESTINATION LS 96	366,998.96	917.50	0.00	917.50	92.0	844.10
09/30/2017	PEAKE, CAROL	NATIONWIDE DESTINATION LS 96	123,130.13	307.83	0.00	307.83	92.0	283.20
09/30/2017	MOORE, GARY	NATIONWIDE DESTINATION LS 96	89,209.74	223.02	0.00	223.02	92.0	205.18
09/30/2017	HEINAMAN, ROBERT	NATIONWIDE DESTINATION LS 96	114,864.09	287.16	0.00	287.16	92.0	264.19
09/30/2017	STEDCKE III, CLYDE	NATIONWIDE DESTINATION LS 96	152,773.45	381.93	0.00	381.93	92.0	351.38
09/30/2017	BRAUN, CHARLENE	NATIONWIDE DESTINATION LS 96	160,368.59	400.92	0.00	400.92	92.0	368.85
09/30/2017	IRWIN, JOHN	NATIONWIDE DESTINATION LS 96	129,858.41	324.65	0.00	324.65	92.0	298.68
09/30/2017	DUBENDORFER, SUSAN	NATIONWIDE DESTINATION LS 96	130,310.77	325.78	0.00	325.78	92.0	299.72

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
09/30/2017	MCBROOM, DENNIS	NATIONWIDE DESTINATION LS 96	128,875.15	322.19	0.00	322.19	92.0	296.41
09/30/2017	BRAUN, WILLIAM	NATIONWIDE DESTINATION LS 96	191,841.89	479.60	0.00	479.60	92.0	441.23
09/30/2017	SZABO, BONITA	NATIONWIDE DESTINATION LS 96	24,566.70	61.42	0.00	61.42	92.0	56.51
09/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	148,351.96	123.63	0.00	123.63	92.0	113.74
09/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	104,945.11	87.45	0.00	87.45	92.0	80.45
09/21/2017	DEMKO, VERNA	TRANSAMERICA LIBERTY	81,429.29	67.86	0.00	67.86	92.0	62.43
09/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	194,862.24	162.39	0.00	162.39	92.0	149.40
09/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,412.45	3.68	0.00	3.68	92.0	3.39
09/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	27,398.25	22.83	0.00	22.83	92.0	21.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,896,450.11</b>	<b>\$8,411.22</b>	<b>\$0.00</b>	<b>\$8,411.22</b>		<b>\$7,738.36</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
09/18/2017	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.72	0.00	2.72	92.0	2.50
09/20/2017	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.98	0.00	4.98	92.0	4.58
09/18/2017	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.76	0.00	4.76	92.0	4.38
09/14/2017	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.14	0.00	0.14	92.0	0.13
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$12.60</b>	<b>\$0.00</b>	<b>\$12.60</b>		<b>\$11.59</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
09/15/2017	HUNTER, DEBORAH	LFG TRAILS	0.00	20.84	0.00	20.84	92.0	19.17
09/30/2017	VALADE, DEANNA	LFG TRAILS	0.00	74.63	0.00	74.63	92.0	68.66
09/30/2017	CURSON, BEVERLY	LFG TRAILS	0.00	29.40	0.00	29.40	92.0	27.05
09/30/2017	FOX, JOSEPH	LFG TRAILS	0.00	12.85	0.00	12.85	92.0	11.82
09/30/2017	SMOOT, C STEVEN	LFG TRAILS	0.00	162.56	0.00	162.56	92.0	149.56
09/30/2017	VALADE, JOHN	LFG TRAILS	0.00	8.20	0.00	8.20	92.0	7.54
09/30/2017	HUNTER, DEBORAH	LFG TRAILS	0.00	14.80	0.00	14.80	92.0	13.62
09/30/2017	SWINDLE, CYNTHIA	LFG TRAILS	0.00	0.64	0.00	0.64	92.0	0.59
09/30/2017	KORAKAS, LARRY	LFG TRAILS	0.00	22.38	0.00	22.38	92.0	20.59
09/26/2017	HEINAMAN, ROBERT	ING PREMIUM PLUS	0.00	221.43	0.00	221.43	92.0	203.72
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$567.73</b>	<b>\$0.00</b>	<b>\$567.73</b>		<b>\$522.32</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,899,561.57</b>	<b>\$9,112.51</b>	<b>\$0.00</b>	<b>\$9,112.51</b>		<b>\$8,383.59</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
09/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
10/02/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	85.0	0.35
10/02/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	1.19
09/17/2017	ZORNOW, BETTY	AMERITAS LIFE TRAILS	0.00	98.58	0.00	98.58	85.0	6.90
08/31/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.24	0.00	0.24	85.0	0.02
08/31/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.24	0.00	0.24	85.0	0.02
08/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.22	0.00	0.22	85.0	0.02
08/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.22	0.00	0.22	85.0	0.02
08/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.23	0.00	1.23	85.0	0.09
08/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	8.24	0.00	8.24	85.0	0.58
08/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.21	0.00	1.21	85.0	0.08
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	0.79
08/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.30	0.00	9.30	85.0	0.65
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.32	0.00	7.32	85.0	0.51
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.44	0.00	3.44	85.0	0.24
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.80	0.00	4.80	85.0	0.34
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.20	0.00	1.20	85.0	0.08
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.33	0.00	6.33	85.0	0.44
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.25	0.00	4.25	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.23	0.00	4.23	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.21	0.00	6.21	85.0	0.43
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.67	0.00	6.67	85.0	0.47
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.84	0.00	8.84	85.0	0.62
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.35	0.00	3.35	85.0	0.23
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.08	0.00	5.08	85.0	0.36
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.04	0.00	6.04	85.0	0.42
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.94	0.00	5.94	85.0	0.42
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.96	0.00	0.96	85.0	0.07
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.98	0.00	13.98	85.0	0.98
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.40	0.00	6.40	85.0	0.45
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.65	0.00	6.65	85.0	0.47
09/29/2017	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	944.18	2.36	0.00	2.36	85.0	0.17
09/30/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	184,897.92	154.08	0.00	154.08	85.0	10.79

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
09/30/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,847.55	15.71	0.00	15.71	85.0	1.10
09/30/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	53,579.38	44.65	0.00	44.65	85.0	3.13
09/30/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	42,367.14	35.31	0.00	35.31	85.0	2.47
10/03/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
09/30/2017	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	96,977.42	242.44	0.00	242.44	85.0	16.97
10/03/2017	FRANTZ, JUDITH	PIONEER CULLEN VALUE FUND A	0.00	17.25	0.00	17.25	85.0	1.21
10/03/2017	FRANTZ, JUDITH	PIONEER EMERGING MARKETS A	0.00	4.69	0.00	4.69	85.0	0.33
10/03/2017	FRANTZ, JUDITH	PIONEER EQUITY INCOME A	0.00	32.58	0.00	32.58	85.0	2.28
10/03/2017	FRANTZ, JUDITH	PIONEER FUND A	0.00	3.98	0.00	3.98	85.0	0.28
10/03/2017	FRANTZ, JUDITH	PIONEER GROWTH OPPORTUNITIES A	0.00	4.22	0.00	4.22	85.0	0.30
10/03/2017	FRANTZ, JUDITH	PIONEER VALUE A	0.00	32.89	0.00	32.89	85.0	2.30
09/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	139,033.54	115.86	0.00	115.86	85.0	8.11
09/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	107,518.63	89.60	0.00	89.60	85.0	6.27
09/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	88,252.63	73.54	0.00	73.54	85.0	5.15
09/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	235,081.44	195.90	0.00	195.90	85.0	13.71
09/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	100,948.45	84.12	0.00	84.12	85.0	5.89
09/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	142,122.13	118.44	0.00	118.44	85.0	8.29
09/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	151,923.65	126.60	0.00	126.60	85.0	8.86
09/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	192,092.03	160.08	0.00	160.08	85.0	11.21
09/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	239,797.79	199.83	0.00	199.83	85.0	13.99
09/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	134,138.10	111.78	0.00	111.78	85.0	7.82
09/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	179,933.79	149.94	0.00	149.94	85.0	10.50
09/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	109,906.20	91.59	0.00	91.59	85.0	6.41
09/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	94,528.72	78.77	0.00	78.77	85.0	5.51
09/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	89,455.26	74.55	0.00	74.55	85.0	5.22
09/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	136,142.97	113.45	0.00	113.45	85.0	7.94
09/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	67,036.42	55.86	0.00	55.86	85.0	3.91
09/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,430.49	24.53	0.00	24.53	85.0	1.72
09/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	219,862.21	183.22	0.00	183.22	85.0	12.83
09/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	69,349.24	57.79	0.00	57.79	85.0	4.05
09/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	69,349.24	57.79	0.00	57.79	85.0	4.05
09/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	69,349.24	57.79	0.00	57.79	85.0	4.05
09/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	107,852.26	89.88	0.00	89.88	85.0	6.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
09/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	198,312.02	165.26	0.00	165.26	85.0	11.57
09/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	427,827.18	356.52	0.00	356.52	85.0	24.96
09/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	94,158.34	78.47	0.00	78.47	85.0	5.49
09/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	405,019.06	337.52	0.00	337.52	85.0	23.63
09/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	75,371.09	62.81	0.00	62.81	85.0	4.40
09/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	118,622.55	98.85	0.00	98.85	85.0	6.92
09/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	123,548.17	102.96	0.00	102.96	85.0	7.21
09/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,657.40	23.88	0.00	23.88	85.0	1.67
09/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	66,735.96	55.61	0.00	55.61	85.0	3.89
09/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,815.60	30.68	0.00	30.68	85.0	2.15
09/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	48,099.35	40.08	0.00	40.08	85.0	2.81
* THOMAS HALSEY SUBTOTAL *			<b>\$4,794,534.74</b>	<b>\$4,516.13</b>	<b>\$0.00</b>	<b>\$4,516.13</b>		<b>\$316.22</b>
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
09/29/2017	FLECK, MARTHA	ALLIANZ VALUEMARK II	26,423.61	16.51	0.00	16.51	90.0	0.33
08/31/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	14.96	0.00	14.96	90.0	0.30
08/31/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.28	0.00	2.28	90.0	0.05
08/31/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.65	0.00	4.65	90.0	0.09
08/31/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.18	0.00	1.18	90.0	0.02
09/30/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,825.66	8.09	0.00	8.09	90.0	0.16
09/30/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,166.61	1.35	0.00	1.35	90.0	0.03
09/30/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	40,333.13	33.61	0.00	33.61	90.0	0.67
09/30/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,654.49	86.38	0.00	86.38	90.0	1.73
09/30/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,237.90	21.86	0.00	21.86	90.0	0.44
09/30/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,407.44	31.17	0.00	31.17	90.0	0.62
09/30/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	131,089.04	109.24	0.00	109.24	90.0	2.18
09/30/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	136,183.19	113.49	0.00	113.49	90.0	2.27
09/30/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	173,539.48	144.62	0.00	144.62	90.0	2.89
09/30/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	25,576.66	21.31	0.00	21.31	90.0	0.43
09/30/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	44,942.27	37.45	0.00	37.45	90.0	0.75
09/30/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	18,703.90	15.59	0.00	15.59	90.0	0.31
09/30/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,008.45	20.01	0.00	20.01	90.0	0.40
09/30/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	167,519.31	139.60	0.00	139.60	90.0	2.79
09/30/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	158,455.84	132.05	0.00	132.05	90.0	2.64

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
09/30/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	153,531.26	127.94	0.00	127.94	90.0	2.56
09/30/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	221,969.38	184.97	0.00	184.97	90.0	3.70
09/30/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,354.33	35.30	0.00	35.30	90.0	0.71
09/30/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	119,927.26	99.94	0.00	99.94	90.0	2.00
09/30/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	112,042.07	93.37	0.00	93.37	90.0	1.87
09/30/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	121,779.57	101.48	0.00	101.48	90.0	2.03
09/30/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,198.85	20.17	0.00	20.17	90.0	0.40
09/30/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	28,187.55	23.49	0.00	23.49	90.0	0.47
09/30/2017	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	76,315.32	190.79	0.00	190.79	90.0	3.82
09/30/2017	OSTER, JOHN	NATIONWIDE DESTINATION L2 01	79,292.40	198.23	0.00	198.23	90.0	3.96
09/30/2017	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	33,583.07	83.96	0.00	83.96	90.0	1.68
09/30/2017	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	128,078.15	320.20	0.00	320.20	90.0	6.40
09/30/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	93,145.22	232.86	0.00	232.86	90.0	4.66
09/30/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	99,431.33	248.58	0.00	248.58	90.0	4.97
09/30/2017	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	90,532.28	226.33	0.00	226.33	90.0	4.53
09/30/2017	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	212,211.95	530.53	0.00	530.53	90.0	10.61
09/30/2017	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	93,790.15	234.48	0.00	234.48	90.0	4.69
09/30/2017	COOPER, MARK	NATIONWIDE DESTINATION LS 96	107,819.08	269.55	0.00	269.55	90.0	5.39
09/30/2017	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	94,891.39	237.23	0.00	237.23	90.0	4.74
09/30/2017	GRIM, SUSAN	NW DESTINATION B2 05	8,422.19	15.79	0.00	15.79	90.0	0.32
09/30/2017	GRUBB, BEVERLY	NWL DESTINATION EV2 01	73,449.73	45.91	0.00	45.91	90.0	0.92
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	2.00	0.00	2.00	90.0	0.04
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.60	0.00	2.60	90.0	0.05
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.63	0.00	1.63	90.0	0.03
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	4.32	0.00	4.32	90.0	0.09
09/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	57,434.90	59.83	0.00	59.83	90.0	1.20
09/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	136,181.98	113.48	0.00	113.48	90.0	2.27
09/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,652.69	3.88	0.00	3.88	90.0	0.08
09/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	259,642.39	216.37	0.00	216.37	90.0	4.33
09/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,325.91	20.27	0.00	20.27	90.0	0.41
09/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,077.87	5.06	0.00	5.06	90.0	0.10
09/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	55,918.80	46.60	0.00	46.60	90.0	0.93
09/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,601.81	78.83	0.00	78.83	90.0	1.58

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
09/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,343.02	40.29	0.00	40.29	90.0	0.81
09/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	32,316.07	26.93	0.00	26.93	90.0	0.54
09/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,128.60	32.61	0.00	32.61	90.0	0.65
09/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,008.16	213.34	0.00	213.34	90.0	4.27
09/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,725.18	131.44	0.00	131.44	90.0	2.63
09/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	79,068.48	65.89	0.00	65.89	90.0	1.32
09/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,589.43	2.16	0.00	2.16	90.0	0.04
09/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	237,633.73	198.03	0.00	198.03	90.0	3.96
09/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,594.79	95.50	0.00	95.50	90.0	1.91
09/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,634.62	2.20	0.00	2.20	90.0	0.04
09/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,053.03	101.71	0.00	101.71	90.0	2.03
09/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	27,003.84	22.50	0.00	22.50	90.0	0.45
09/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,769.09	83.97	0.00	83.97	90.0	1.68
09/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	81,660.31	68.05	0.00	68.05	90.0	1.36
09/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	40,093.56	33.41	0.00	33.41	90.0	0.67
09/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	111,949.59	93.29	0.00	93.29	90.0	1.87
09/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	70,820.76	59.02	0.00	59.02	90.0	1.18
09/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,989.56	83.32	0.00	83.32	90.0	1.67
09/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	172,573.48	143.81	0.00	143.81	90.0	2.88
09/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,771.70	179.81	0.00	179.81	90.0	3.60
09/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,063.81	21.72	0.00	21.72	90.0	0.43
09/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	76,918.17	64.10	0.00	64.10	90.0	1.28
09/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	149,280.18	124.40	0.00	124.40	90.0	2.49
09/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	60,825.09	50.69	0.00	50.69	90.0	1.01
09/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,087.14	25.91	0.00	25.91	90.0	0.52
09/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	114,103.73	95.09	0.00	95.09	90.0	1.90
09/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	167,927.33	139.94	0.00	139.94	90.0	2.80
<b>* ROBERT THOMPSON SUBTOTAL *</b>			<b>\$6,447,788.31</b>	<b>\$7,230.50</b>	<b>\$0.00</b>	<b>\$7,230.50</b>		<b>\$144.63</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$11,242,323.05</b>	<b>\$11,746.63</b>	<b>\$0.00</b>	<b>\$11,746.63</b>		<b>\$460.85</b>

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<b><u>ADJUSTMENTS</u></b>								
10/15/2017		*ALBRIDGE: FULL USER	0.00					-176.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*TECHNOLOGY: ADMIN - DEB RYAN 1/3R	0.00					-43.34
10/15/2017		*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00					-43.34
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-742.68</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,383.59
Split Transactions	\$0.00
Override Transactions	\$460.85
Adjustments	\$-742.68
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$8,101.76</b>
<b>Year-to-date Gross</b>	<b>\$93,946.14</b>
<b>Year-to-date Earnings</b>	<b>\$91,304.29</b>

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