

**COMMISSION STATEMENT****October 31, 2017**LOUIS CIAVARELLA  
21 MILITIA HILL DRIVEBROKER #: 47  
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

**COMMISSION STATEMENT for LOUIS CIAVARELLA**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/13/2017	75501666	CARR, CHARLES		FIDELITY ADVISOR SMALL CAP GROWTH F B	2,862.61	0.00	0.00	0.00	50.0	0.00
10/13/2017	75501666	CARR, CHARLES		FIDELITY ADVISOR MID CAP II FUND CL S	2,862.61	0.00	0.00	0.00	50.0	0.00
10/13/2017	75501673	CARR, MARGARET		FIDELITY ADV TECHNOLOGY CL A B	2,221.76	0.00	0.00	0.00	50.0	0.00
10/13/2017	75501673	CARR, MARGARET		FIDELITY ADVISOR GOLD FUND CL A S	2,221.76	0.00	0.00	0.00	50.0	0.00
10/13/2017	75501674	CARR, MARGARET		FIDELITY ADV MID CAP II - CL T S	12,371.78	0.00	0.00	0.00	50.0	0.00
10/13/2017	75501674	CARR, MARGARET		FIDELITY ADVISOR SMALL CAP GROWTH F B	12,371.78	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG		COLUMBIA CONTRARIAN CORE FD CL Z S	7,400.00	0.00	0.00	0.00	50.0	0.00
10/16/2017	75501740	GIOMMI MCDOWELL,		FIDELITY ADVISOR REAL ESTATE FD CL S	36,730.31	0.00	0.00	0.00	50.0	0.00
10/16/2017	75501740	GIOMMI MCDOWELL,		FIDELITY ADVISOR TECHNOLOGY FUND CL B	36,730.31	0.00	0.00	0.00	50.0	0.00
10/16/2017	75501740	GIOMMI MCDOWELL,		FIDELITY ADVISOR BIOTECHNOLOGY CLAS B	36,000.00	0.00	0.00	0.00	50.0	0.00
10/16/2017	75501740	GIOMMI MCDOWELL,		FIDELITY ADVISOR CONSUMER STAPLES F S	36,000.00	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		FIDELITY ADVISOR CONSUMER STAPLES F S	40,081.10	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		MFS GROWTH FUND CL I B	14,570.53	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		MFS SER TR XII EQUITY OPPORTUNITIES S	14,488.08	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		MFS SER TR XII EQUITY OPPORTUNITIES S	14,570.53	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		MFS SER TR XII EQUITY OPPORTUNITIES S	14,488.08	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		MFS SER TR IV GLOBAL NEW DISCOVERY B	14,488.08	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,		MFS SERS TRUST X FOREIGN & COLONIAL B	14,488.08	0.00	0.00	0.00	50.0	0.00
10/27/2017	75501741	GIOMMI MCDOWELL,		COLUMBIA CONTRARIAN CORE FD CL Z S	5,262.00	0.00	0.00	0.00	50.0	0.00
10/27/2017	75501741	GIOMMI MCDOWELL,		INVESCO MID CAP GROWTH FUND CLASS Y S	4,150.00	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		**VIRTUS STRATEGIC GROWTH FUND B	2,500.00	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		EUROPACIFIC GROWTH FUND-A B	5,000.00	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		FIDELITY ADV TECHNOLOGY CL A B	5,000.00	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		NEW WORLD FUND-A B	5,000.00	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		THE NEW ECONOMY FUND-A B	5,000.00	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		THORNBURG INVT TR BETTER WORLD INTL S	2,590.61	0.00	0.00	0.00	50.0	0.00
10/03/2017	75501746	CIAVARELLA, LOUIS		THORNBURG GLOBAL OPPORTUNITIES FUND B	2,590.61	0.00	0.00	0.00	50.0	0.00
10/20/2017	75501749	CIAVARELLA, MELISSA		CALAMOS INVT TR NEW MARKET NEUTRAL B	5,000.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$357,040.62	\$0.00	\$0.00	\$0.00		\$0.00	

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/13/2017	75501670	CARR, MARGARET	ALIBABA GROUP HOLDING LTD SPONSORED B	35,671.32	210.00	0.00	210.00	50.0	105.00
10/13/2017	75501670	CARR, MARGARET	AT&T INC S	89,603.71	250.48	0.00	250.48	50.0	125.24
10/13/2017	75501670	CARR, MARGARET	BAIDU INC SPONSORED ADR REPSTG ORD B	52,431.22	210.00	0.00	210.00	50.0	105.00
10/13/2017	75501670	CARR, MARGARET	EXACT SCIENCES CORP B	23,698.50	220.19	0.00	220.19	50.0	110.10
10/13/2017	75501670	CARR, MARGARET	GENERAL ELECTRIC CO S	117,406.60	337.37	0.00	337.37	50.0	168.69
10/13/2017	75501670	CARR, MARGARET	NVIDIA CORP B	38,898.42	210.00	0.00	210.00	50.0	105.00
10/13/2017	75501670	CARR, MARGARET	TAKE-TWO INTERACTIVE SOFTWARE INC B	20,899.88	210.00	0.00	210.00	50.0	105.00
10/13/2017	75501670	CARR, MARGARET	TENCENT HOLDINGS LIMITED UNSPONSORE B	18,031.90	258.26	0.00	258.26	50.0	129.13
10/13/2017	75501671	CARR, CHARLES	GENERAL ELECTRIC CO S	4,143.78	62.12	0.00	62.12	50.0	31.06
10/13/2017	75501674	CARR, MARGARET	COTY INC COM CL A S	1,520.13	52.14	0.00	52.14	50.0	26.07
10/13/2017	75501674	CARR, MARGARET	EXACT SCIENCES CORP B	2,371.38	69.24	0.00	69.24	50.0	34.62
10/24/2017	75501737	HENDRIX, GREG	BAIDU INC SPONSORED ADR REPSTG ORD B	3,165.96	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG	CONSTELLATION BRANDS INC CL A B	3,182.21	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG	EXACT SCIENCES CORP B	3,707.81	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG	KRAFT HEINZ COMPANY (THE) COMMON ST S	1,388.21	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG	PROSPECT CAPITAL CORPORATION S	2,586.81	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG	TAKE-TWO INTERACTIVE SOFTWARE INC B	3,681.65	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501737	HENDRIX, GREG	WALT DISNEY CO S	5,014.45	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,	NIKE INC CL B S	4,159.60	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,	STARBUCKS CORP S	10,908.66	0.00	0.00	0.00	50.0	0.00
10/17/2017	75501740	GIOMMI MCDOWELL,	WALT DISNEY CO S	9,834.34	0.00	0.00	0.00	50.0	0.00
10/26/2017	75501740	GIOMMI MCDOWELL,	EXACT SCIENCES CORP B	9,797.28	0.00	0.00	0.00	50.0	0.00
10/26/2017	75501740	GIOMMI MCDOWELL,	TAKE-TWO INTERACTIVE SOFTWARE INC B	10,516.42	0.00	0.00	0.00	50.0	0.00
10/04/2017	75501742	CIAVARELLA, LOUIS	TAKE-TWO INTERACTIVE SOFTWARE INC B	5,144.00	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501742	CIAVARELLA, LOUIS	COHERUS BIOSCIENCES INC COM S	3,262.50	0.00	0.00	0.00	50.0	0.00
10/24/2017	75501742	CIAVARELLA, LOUIS	NEW YORK REIT INC COM S	2,289.03	0.00	0.00	0.00	50.0	0.00
10/26/2017	75501742	CIAVARELLA, LOUIS	EXACT SCIENCES CORP B	4,934.66	0.00	0.00	0.00	50.0	0.00
10/25/2017	75501767	HALL, JAMES	ALIBABA GROUP HOLDING LTD SPONSORED B	38,496.22	210.00	0.00	210.00	50.0	105.00
10/25/2017	75501767	HALL, JAMES	LOCKHEED MARTIN CORP S	15,665.50	15.00	0.00	15.00	50.0	7.50
10/25/2017	75501767	HALL, JAMES	MICROSOFT CORP S	23,667.03	15.00	0.00	15.00	50.0	7.50
* STOCKS SUBTOTAL *				\$566,079.18	\$2,329.80	\$0.00	\$2,329.80		\$1,164.91
PRODUCT CATEGORY: VARIABLE ANNUITIES									
10/23/2017	(None)	VARIOUS, CIAVARELLA	GENWORTH B	0.00	63.10	0.00	63.10	50.0	31.55
10/23/2017	(None)	VARIOUS, CIAVARELLA	NATIONWIDE B	0.00	186.26	0.00	186.26	50.0	93.13
10/24/2017	(None)	VARIOUS, CIAVARELLA	FIDELITY B	0.00	1,156.20	0.00	1,156.20	50.0	578.10
10/24/2017	(None)	VARIOUS, CIAVARELLA	FIDELITY B	0.00	581.40	0.00	581.40	50.0	290.70
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$1,986.96	\$0.00	\$1,986.96		\$993.48

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
10/31/2017	VARIOUS, CIAVARELLA	ADVISORY INCOME	B	0.00	-2,916.00	0.00	-2,916.00	50.0	-1,458.00
		<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$-2,916.00</b>	<b>\$0.00</b>	<b>\$-2,916.00</b>		<b>\$-1,458.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
10/16/2017	75501737 HENDRIX, GREG	FAS FEES	B	-361.15	361.15	0.00	361.15	50.0	180.58
10/16/2017	75501740 GIOMMI MCDOWELL,	FAS FEES	B	-1,742.85	1,742.85	0.00	1,742.85	50.0	871.43
10/16/2017	75501741 GIOMMI MCDOWELL,	FAS FEES	B	-747.81	747.81	0.00	747.81	50.0	373.91
10/16/2017	75501742 CIAVARELLA, LOUIS	FAS FEES	B	-99.38	99.38	0.00	99.38	50.0	49.69
10/16/2017	75501749 CIAVARELLA, MELISSA	FAS FEES	B	-248.99	248.99	0.00	248.99	50.0	124.50
10/16/2017	75501752 CIAVARELLA, JAMES	FAS FEES	B	-50.46	50.46	0.00	50.46	50.0	25.23
10/16/2017	75501754 CIAVARELLA, JAMES	FAS FEES	B	-118.56	118.56	0.00	118.56	50.0	59.28
10/16/2017	75501755 MANFREDI, MICHAEL	FAS FEES	B	-857.45	857.45	0.00	857.45	50.0	428.73
10/16/2017	75501757 BERGEN, MIMI	FAS FEES	B	-147.24	147.24	0.00	147.24	50.0	73.62
		<b>* RIA SUBTOTAL *</b>		<b>\$-4,373.89</b>	<b>\$4,373.89</b>	<b>\$0.00</b>	<b>\$4,373.89</b>		<b>\$2,186.97</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/10/2017	75501549 ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.35	0.00	1.35	50.0	0.68
10/10/2017	75501549 ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.63	0.00	1.63	50.0	0.82
10/10/2017	75501549 ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	3.01	0.00	3.01	50.0	1.51
10/10/2017	75501549 ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	5.04	0.00	5.04	50.0	2.52
10/10/2017	75501665 PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	8.15	0.00	8.15	50.0	4.08
10/10/2017	75501665 PILKO, THOMAS	SENTINEL GROUP FDS INC SHORT DURATI B		0.00	14.83	0.00	14.83	50.0	7.42
10/10/2017	75501666 CARR, CHARLES	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	0.57	0.00	0.57	50.0	0.00
10/10/2017	75501666 CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B		0.00	0.84	0.00	0.84	50.0	0.00
10/10/2017	75501670 CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B		0.00	0.79	0.00	0.79	50.0	0.00
10/10/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	1.33	0.00	1.33	50.0	0.67
10/10/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A	B	0.00	2.01	0.00	2.01	50.0	1.01
10/10/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR SER VII BIOTECHNOL B		0.00	0.28	0.00	0.28	50.0	0.00
10/10/2017	75501671 CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.49	0.00	0.49	50.0	0.00
10/10/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	3.08	0.00	3.08	50.0	1.54
10/10/2017	75501671 CARR, CHARLES	FIDELITY FINL TR ADVISOR CONV SECS B		0.00	1.80	0.00	1.80	50.0	0.90
10/10/2017	75501671 CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.26	0.00	1.26	50.0	0.63
10/10/2017	75501673 CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.73	0.00	0.73	50.0	0.00
10/10/2017	75501673 CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.43	0.00	0.43	50.0	0.00
10/10/2017	75501673 CARR, MARGARET	FIDELITY ADVISOR GOLD FUND CL A	B	0.00	0.46	0.00	0.46	50.0	0.00
10/10/2017	75501674 CARR, MARGARET	BLACKROCK SCIENCE & TECH OPPORTUNIT B		0.00	1.43	0.00	1.43	50.0	0.72
10/10/2017	75501674 CARR, MARGARET	EATON VANCE GROWTH FUND CLASS A	B	0.00	1.22	0.00	1.22	50.0	0.61
10/10/2017	75501674 CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B		0.00	5.23	0.00	5.23	50.0	2.62
10/10/2017	75501674 CARR, MARGARET	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.28	0.00	5.28	50.0	2.64
10/10/2017	75501674 CARR, MARGARET	FIDELITY ADV MID CAP II - CL T	B	0.00	4.93	0.00	4.93	50.0	2.47

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR INTL DISCOVERY FD B	0.00	3.26	0.00	3.26	50.0	1.63
10/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T B	0.00	0.76	0.00	0.76	50.0	0.00
10/10/2017	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T B	0.00	4.94	0.00	4.94	50.0	2.47
10/10/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	3.06	0.00	3.06	50.0	1.53
10/10/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID & B	0.00	13.61	0.00	13.61	50.0	6.81
10/10/2017	75501676	REBER, WINCHELL	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	1.12	0.00	1.12	50.0	0.56
10/10/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.07	0.00	1.07	50.0	0.54
10/10/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	0.88	0.00	0.88	50.0	0.00
10/10/2017	75501676	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.08	0.00	1.08	50.0	0.54
10/10/2017	75501676	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C B	0.00	1.37	0.00	1.37	50.0	0.69
10/10/2017	75501676	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.92	0.00	0.92	50.0	0.00
10/10/2017	75501679	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	0.92	0.00	0.92	50.0	0.00
10/10/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	6.35	0.00	6.35	50.0	3.18
10/10/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	7.90	0.00	7.90	50.0	3.95
10/10/2017	75501679	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	2.06	0.00	2.06	50.0	1.03
10/11/2017	75501679	SOIGNOLI, ANTHONY	DELAWARE GROUP TAX FREE USA FUND-CL B	0.00	13.15	0.00	13.15	50.0	6.58
10/10/2017	75501680	REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.23	0.00	1.23	50.0	0.62
10/10/2017	75501680	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C B	0.00	0.82	0.00	0.82	50.0	0.00
10/10/2017	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.31	0.00	0.31	50.0	0.00
10/10/2017	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND B	0.00	1.44	0.00	1.44	50.0	0.72
10/10/2017	75501681	SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.72	0.00	1.72	50.0	0.86
10/10/2017	75501681	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	3.66	0.00	3.66	50.0	1.83
10/10/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	13.73	0.00	13.73	50.0	6.87
10/10/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	4.80	0.00	4.80	50.0	2.40
10/10/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	3.44	0.00	3.44	50.0	1.72
10/10/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.49	0.00	3.49	50.0	1.75
10/10/2017	75501681	SOIGNOLI, ANTHONY	PIMCO EQUITY SER DIVIDEND AND INCOM B	0.00	6.91	0.00	6.91	50.0	3.46
10/10/2017	75501681	SOIGNOLI, ANTHONY	PIMCO INCOME FUND CLASS C B	0.00	6.48	0.00	6.48	50.0	3.24
10/10/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION B	0.00	5.14	0.00	5.14	50.0	2.57
10/10/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH B	0.00	4.67	0.00	4.67	50.0	2.34
10/10/2017	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	7.14	0.00	7.14	50.0	3.57
10/10/2017	75501682	REBER, WINCHELL	INVESCO AMERICAN VALUE FUND CLASS A B	0.00	5.91	0.00	5.91	50.0	2.96
10/10/2017	75501682	REBER, WINCHELL	PUTNAM 746792100 B	0.00	0.73	0.00	0.73	50.0	0.00
10/10/2017	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.58	0.00	4.58	50.0	2.29
10/10/2017	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.69	0.00	1.69	50.0	0.85
10/10/2017	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	2.86	0.00	2.86	50.0	1.43
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.24	0.00	1.24	50.0	0.62
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C B	0.00	2.55	0.00	2.55	50.0	1.28
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A B	0.00	2.78	0.00	2.78	50.0	1.39
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.07	0.00	1.07	50.0	0.54

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	0.96	0.00	0.96	50.0	0.00
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408	B	0.00	2.04	0.00	2.04	50.0	1.02
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	1.12	0.00	1.12	50.0	0.56
10/10/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F B		0.00	2.44	0.00	2.44	50.0	1.22
10/10/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	0.93	0.00	0.93	50.0	0.00
10/10/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	1.07	0.00	1.07	50.0	0.54
10/10/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I B		0.00	0.95	0.00	0.95	50.0	0.00
10/10/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	1.20	0.00	1.20	50.0	0.60
10/10/2017	75501685	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.37	0.00	1.37	50.0	0.69
10/10/2017	75501685	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.57	0.00	0.57	50.0	0.00
10/10/2017	75501686	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	1.68	0.00	1.68	50.0	0.84
10/10/2017	75501686	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	0.96	0.00	0.96	50.0	0.00
10/10/2017	75501686	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.43	0.00	0.43	50.0	0.00
10/10/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367	B	0.00	0.97	0.00	0.97	50.0	0.00
10/10/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474	B	0.00	0.63	0.00	0.63	50.0	0.00
10/10/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	0.73	0.00	0.73	50.0	0.00
10/10/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505	B	0.00	0.06	0.00	0.06	50.0	0.00
10/10/2017	75501687	DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	0.01	0.00	0.01	50.0	0.00
10/10/2017	75501687	DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C	B	0.00	0.71	0.00	0.71	50.0	0.00
10/10/2017	75501688	BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B		0.00	0.91	0.00	0.91	50.0	0.00
10/10/2017	75501689	BERRY, THOMAS	**GAMCO GROWTH FD INC	B	0.00	1.81	0.00	1.81	50.0	0.91
10/10/2017	75501689	BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A	B	0.00	1.07	0.00	1.07	50.0	0.54
10/10/2017	75501690	EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B		0.00	0.73	0.00	0.73	50.0	0.00
10/10/2017	75501691	BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND	B	0.00	2.63	0.00	2.63	50.0	1.32
10/10/2017	75501691	BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR	B	0.00	3.15	0.00	3.15	50.0	1.58
10/10/2017	75501691	BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	9.67	0.00	9.67	50.0	4.84
10/10/2017	75501691	BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC	B	0.00	2.72	0.00	2.72	50.0	1.36
10/10/2017	75501691	BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B		0.00	4.37	0.00	4.37	50.0	2.19
10/10/2017	75501691	BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	2.48	0.00	2.48	50.0	1.24
10/10/2017	75501691	BARGER, WILLIAM	THE NEW ECONOMY FUND-C	B	0.00	9.34	0.00	9.34	50.0	4.67
10/10/2017	75501691	BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B		0.00	1.70	0.00	1.70	50.0	0.85
10/10/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B		0.00	2.01	0.00	2.01	50.0	1.01
10/10/2017	75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH	B	0.00	0.50	0.00	0.50	50.0	0.00
10/10/2017	75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND	B	0.00	0.37	0.00	0.37	50.0	0.00
10/10/2017	75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC	B	0.00	0.28	0.00	0.28	50.0	0.00
10/10/2017	75501693	KRAUS, MICHELLE	GAMCO GOLD FD	B	0.00	0.34	0.00	0.34	50.0	0.00
10/10/2017	75501694	WARD, MARY	TAX-FREE BOND A	B	0.00	12.47	0.00	12.47	50.0	6.24
10/19/2017	75501695	WARD, EDMOND	TOCQUEVILLE GOLD FUND	B	0.00	1.28	0.00	1.28	50.0	0.64
10/10/2017	75501697	WARD, EDMOND	AMERICAN MUTUAL FUND-A	B	0.00	22.99	0.00	22.99	50.0	11.50
10/10/2017	75501698	GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.36	0.00	0.36	50.0	0.00

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75501698	GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.16	0.00	1.16	50.0	0.58
10/10/2017	75501698	GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A	B	0.00	1.29	0.00	1.29	50.0	0.65
10/10/2017	75501698	GROSSO, BETH ANN	THE NEW ECONOMY FUND-A	B	0.00	1.37	0.00	1.37	50.0	0.69
10/10/2017	75501699	WARD, EDMOND	AMERICAN MUTUAL FUND-C	B	0.00	12.52	0.00	12.52	50.0	6.26
10/10/2017	75501699	WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL	B	0.00	49.71	0.00	49.71	50.0	24.86
10/10/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850	B	0.00	1.23	0.00	1.23	50.0	0.62
10/10/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.67	0.00	1.67	50.0	0.84
10/10/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	2.10	0.00	2.10	50.0	1.05
10/10/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	B	0.00	2.28	0.00	2.28	50.0	1.14
10/10/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T	B	0.00	0.15	0.00	0.15	50.0	0.00
10/10/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	2.86	0.00	2.86	50.0	1.43
10/10/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD	B	0.00	1.71	0.00	1.71	50.0	0.86
10/10/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND	B	0.00	0.95	0.00	0.95	50.0	0.00
10/10/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	B	0.00	0.51	0.00	0.51	50.0	0.00
10/10/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	0.24	0.00	0.24	50.0	0.00
10/10/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	0.50	0.00	0.50	50.0	0.00
10/10/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	4.39	0.00	4.39	50.0	2.20
10/10/2017	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	B	0.00	2.28	0.00	2.28	50.0	1.14
10/10/2017	75501746	CIAVARELLA, LOUIS	EATON VANCE GROWTH TR RICHRD BERNST B	B	0.00	2.06	0.00	2.06	50.0	1.03
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	2.23	0.00	2.23	50.0	1.12
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP GROWTH F B	B	0.00	2.20	0.00	2.20	50.0	1.10
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	1.04	0.00	1.04	50.0	0.52
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	B	0.00	2.29	0.00	2.29	50.0	1.15
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834	B	0.00	0.79	0.00	0.79	50.0	0.00
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	3.01	0.00	3.01	50.0	1.51
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	B	0.00	1.06	0.00	1.06	50.0	0.53
10/10/2017	75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP B	B	0.00	2.11	0.00	2.11	50.0	1.06
10/10/2017	75501746	CIAVARELLA, LOUIS	FRK SM CAP GRWTH FND II - CL A	B	0.00	0.39	0.00	0.39	50.0	0.00
10/10/2017	75501746	CIAVARELLA, LOUIS	INVESCO MID CAP GROWTH FUND CLASS A B	B	0.00	7.05	0.00	7.05	50.0	3.53
10/10/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B	B	0.00	0.72	0.00	0.72	50.0	0.00
10/10/2017	75501746	CIAVARELLA, LOUIS	THORNBURG INVT TR BETTER WORLD INTL B	B	0.00	0.53	0.00	0.53	50.0	0.00
10/10/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.57	0.00	0.57	50.0	0.00
10/10/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.20	0.00	1.20	50.0	0.60
10/10/2017	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B	B	0.00	0.47	0.00	0.47	50.0	0.00
10/10/2017	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B	B	0.00	0.77	0.00	0.77	50.0	0.00
10/10/2017	75501758	BERGEN, MIMI	BLK 091928846	B	0.00	0.42	0.00	0.42	50.0	0.00
10/10/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B	B	0.00	2.90	0.00	2.90	50.0	1.45
10/10/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	5.40	0.00	5.40	50.0	2.70
10/10/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	9.66	0.00	9.66	50.0	4.83
10/10/2017	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	5.18	0.00	5.18	50.0	2.59

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
10/10/2017	75501976	WARD, BRANDON		EATON VANCE GLOBAL MACRO ABSOLUTE R B	0.00	12.15	0.00	12.15	50.0 6.08
10/10/2017	75501976	WARD, BRANDON		JANUS INVT FD HENDERSON INTL OPPRTN B	0.00	8.57	0.00	8.57	50.0 4.29
10/10/2017	75501976	WARD, BRANDON		OPPENHEIMERFUNDS 68380E601 B	0.00	4.33	0.00	4.33	50.0 2.17
10/10/2017	75501976	WARD, BRANDON		OPPENHEIMER GOLD & SPECIAL MINERALS B	0.00	20.10	0.00	20.10	50.0 10.05
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$501.14</b>	<b>\$0.00</b>	<b>\$501.14</b>		<b>\$236.65</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$918,745.91</b>	<b>\$6,275.79</b>	<b>\$0.00</b>	<b>\$6,275.79</b>		<b>\$3,124.01</b>

**ADJUSTMENTS**

10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-12.50
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-32.96
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-13.96
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-12.50
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-12.50
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-12.50
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-12.50
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-14.29
10/31/2017		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-12.50
10/31/2017		OTHER: OTHER-TICKET CHARGES LESS TH		0.00	0.00	0.00	0.00		-30.00
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-166.21</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,124.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-166.21
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.49
SIPC Assessment	\$-22.98

<b>Check Amount</b>	<b>\$2,923.33</b>
<b>Year-to-date Earnings</b>	<b>\$8,751.02</b>