



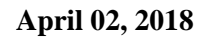
COMMISSION STATEMENT

April 02, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/19/2018	***-**-5506 - LOMBARDO, LEIGH	INVESCO AMERICAN FRANCHISE FUND -CL	300.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 03809219276	BUY 13 Units @22.2400						
03/22/2018	***-**-5506 - LOMBARDO, LEIGH	INVESCO AMERICAN FRANCHISE FUND -CL	300.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 03809219276	BUY 14 Units @21.7200						
03/20/2018	***-**-6759 - TARANTINO, GARY	THE BOND FUND OF AMERICA-A	10.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089449406	BUY 1 Units @13.0400						
03/19/2018	***-**-1748 - LOMBARDO, JAMES	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00083088053	BUY 4 Units @55.6900						
03/20/2018	***-**-6759 - TARANTINO, GARY	THE GROWTH FUND OF AMERICA-A	40.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 00089449406	BUY 1 Units @55.9400						
03/19/2018	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	200.00	8.00	0.00	8.00	80.0	6.40
	ACCOUNT #: 03809219276	BUY 27 Units @7.4800						
03/22/2018	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	100.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 03809219276	BUY 13 Units @7.4800						
* MUTUAL FUNDS SUBTOTAL *			\$1,150.00	\$48.30	\$0.00	\$48.30		\$38.64
*** BROKER TRANSACTIONS TOTAL ***			\$1,150.00	\$48.30	\$0.00	\$48.30		\$38.64



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$38.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$94.30
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$132.94
						Year-to-date Earnings		\$2,341.26