

All Companies  
**STATEMENT**  
**July 20, 2018**

MALVIN JOHNSON  
117 C Street  
SUITE 620  
Vallejo, CA 94950

BROKER #: 036  
BRANCH:

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/05/2018	#00082111667 EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	0.00	6.44
06/05/2018	#00082111667 EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	0.00	5.45
06/05/2018	#00082111667 EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	0.00	5.45
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$700.00</b>	<b>\$19.25</b>		<b>\$0.00</b>	<b>\$17.34</b>	<b>\$0.00</b>	<b>\$17.34</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
06/12/2018	# CARR, PATRICIA	BANK NEW YORK MELLON CORP	5,742.34	19.00	75.0	0.00	14.25	18.00	-3.75
06/12/2018	# CARR, PATRICIA	CHEESECAKE FACTORY INC	5,977.37	19.00	75.0	0.00	14.25	18.00	-3.75
06/12/2018	# BJORNSEN, LONA	GENERAL MOTORS COMPANY	1,998.45	50.00	75.0	0.00	37.50	18.00	19.50
06/12/2018	# CARR, PATRICIA	MCKESSON CORP	2,905.00	19.00	75.0	0.00	14.25	18.00	-3.75
06/12/2018	# CARR, PATRICIA	OMNICOM GROUP INC	5,494.16	19.00	75.0	0.00	14.25	18.00	-3.75
06/12/2018	# CARR, PATRICIA	TJX COMPANIES INC NEW	6,779.97	19.00	75.0	0.00	14.25	18.00	-3.75
06/12/2018	# CARR, PATRICIA	WILLIAMS SONOMA INC	5,844.22	19.00	75.0	0.00	14.25	18.00	-3.75
06/13/2018	#	GENERAL MOTORS COMPANY	4,410.90	101.46	75.0	0.00	76.10	18.00	58.10
06/13/2018	#	KIMBERLY CLARK CORP	4,762.27	103.26	75.0	0.00	77.45	18.00	59.45
06/13/2018	#	SOUTHERN CO	4,336.90	100.43	75.0	0.00	75.32	18.00	57.32
<b>* STOCKS SUBTOTAL *</b>			<b>\$48,251.58</b>	<b>\$469.15</b>		<b>\$0.00</b>	<b>\$351.87</b>	<b>\$180.00</b>	<b>\$171.87</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/30/0201	#954184343 PALMER, FRANCIS	LINCOLN	0.00	58.18	90.0	0.00	52.36	0.00	52.36
06/30/0201	#954195138 DWYER, TIMOTHY	LINCOLN	0.00	155.04	90.0	0.00	139.54	0.00	139.54
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$213.22</b>		<b>\$0.00</b>	<b>\$191.90</b>	<b>\$0.00</b>	<b>\$191.90</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/01/2018	#00089882702 GOLDFINCH,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.13	90.0	0.00	0.12	0.00	0.12
06/01/2018	#00082111667 EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	31.22	90.0	0.00	28.10	0.00	28.10
06/01/2018	#00089882702 GOLDFINCH,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.25	90.0	0.00	0.23	0.00	0.23
06/01/2018	#00085617485 SANCHEZ,	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.50	90.0	0.00	5.85	0.00	5.85
06/01/2018	#00082111667 EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	34.64	90.0	0.00	31.18	0.00	31.18

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00089882702 GOLDFINCH,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.13	90.0	0.00	0.12	0.00	0.12
06/01/2018	#00089882702 GOLDFINCH,	INCOME FUND OF AMERICA INC CLASS A	0.00	0.12	90.0	0.00	0.11	0.00	0.11
06/01/2018	#00089882702 GOLDFINCH,	INVESTMENT CO AMER CLASS A	0.00	0.19	90.0	0.00	0.17	0.00	0.17
06/01/2018	#00089882702 GOLDFINCH,	NEW ECONOMY FUND SBI CLASS A	0.00	0.20	90.0	0.00	0.18	0.00	0.18
06/01/2018	#00085617485 SANCHEZ,	NEW ECONOMY FUND SBI CLASS A	0.00	5.71	90.0	0.00	5.14	0.00	5.14
06/01/2018	#00082111667 EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	0.00	32.11	90.0	0.00	28.90	0.00	28.90
06/01/2018	#00085617485 SANCHEZ,	NEW PERSPECTIVE FUND INC CL A	0.00	6.71	90.0	0.00	6.04	0.00	6.04
06/01/2018	#00089882702 GOLDFINCH,	SMALLCAP WORLD FUND INC CLASS A	0.00	0.13	90.0	0.00	0.12	0.00	0.12
06/01/2018	#00089882702 GOLDFINCH,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.12	90.0	0.00	0.11	0.00	0.11
06/01/2018	#00085617485 SANCHEZ,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.06	90.0	0.00	9.95	0.00	9.95
06/11/2018	# C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.12	90.0	0.00	0.11	0.00	0.11
06/11/2018	# TAYLOR, PHILLIS	DAIN TRAILER	0.00	8.64	90.0	0.00	7.78	0.00	7.78
06/11/2018	# TAYLOR, PHILLIS	DAIN TRAILER	0.00	12.06	90.0	0.00	10.85	0.00	10.85
06/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.34	90.0	0.00	0.31	0.00	0.31
06/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.34	90.0	0.00	0.31	0.00	0.31
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.79	90.0	0.00	5.21	0.00	5.21
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.45	90.0	0.00	4.91	0.00	4.91
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	8.11	90.0	0.00	7.30	0.00	7.30
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	2.40	90.0	0.00	2.16	0.00	2.16
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.94	90.0	0.00	4.45	0.00	4.45
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	10.23	90.0	0.00	9.21	0.00	9.21
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.58	90.0	0.00	4.12	0.00	4.12
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	6.05	90.0	0.00	5.45	0.00	5.45
06/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	12.45	90.0	0.00	11.21	0.00	11.21
06/11/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	2.92	90.0	0.00	2.63	0.00	2.63
06/11/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	7.02	90.0	0.00	6.32	0.00	6.32
06/11/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	10.42	90.0	0.00	9.38	0.00	9.38
06/11/2018	# BRIGGS, MYRA	DAIN TRAILER	0.00	1.54	90.0	0.00	1.39	0.00	1.39
06/11/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	13.94	90.0	0.00	12.55	0.00	12.55
06/11/2018	# KEENE, JAMES	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
06/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.80	90.0	0.00	2.52	0.00	2.52
06/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	1.40	90.0	0.00	1.26	0.00	1.26
06/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.24	90.0	0.00	2.02	0.00	2.02
06/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.51	90.0	0.00	2.26	0.00	2.26
06/11/2018	# BRIGGS, KENNETH	DAIN TRAILER	0.00	0.67	90.0	0.00	0.60	0.00	0.60
06/11/2018	# CLARK, FRANK	DAIN TRAILER	0.00	1.73	90.0	0.00	1.56	0.00	1.56

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018 #	CLARK, FRANK	DAIN TRAILER	0.00	2.66	90.0	0.00	2.39	0.00	2.39
06/11/2018 #	CLARK, FRANK	DAIN TRAILER	0.00	2.23	90.0	0.00	2.01	0.00	2.01
06/11/2018 #	DWYER, TIMOTHY	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
06/11/2018 #	DWYER, TIMOTHY	DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
06/11/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	18.65	90.0	0.00	16.79	0.00	16.79
06/11/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	1.58	90.0	0.00	1.42	0.00	1.42
06/11/2018 #	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	6.32	90.0	0.00	5.69	0.00	5.69
06/11/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	4.81	90.0	0.00	4.33	0.00	4.33
06/11/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	11.03	90.0	0.00	9.93	0.00	9.93
06/11/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	3.66	90.0	0.00	3.29	0.00	3.29
06/11/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	5.82	90.0	0.00	5.24	0.00	5.24
06/11/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	9.23	90.0	0.00	8.31	0.00	8.31
06/11/2018 #	GIBSON, VALITA	DAIN TRAILER	0.00	0.69	90.0	0.00	0.62	0.00	0.62
06/11/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.70	90.0	0.00	3.33	0.00	3.33
06/11/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	8.72	90.0	0.00	7.85	0.00	7.85
06/11/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	28.98	90.0	0.00	26.08	0.00	26.08
06/11/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	29.10	90.0	0.00	26.19	0.00	26.19
06/11/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	4.21	90.0	0.00	3.79	0.00	3.79
06/11/2018 #	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	7.55	90.0	0.00	6.80	0.00	6.80
06/11/2018 #	BARROW, RITA	DAIN TRAILER	0.00	3.05	90.0	0.00	2.75	0.00	2.75
06/11/2018 #	BARROW, RITA	DAIN TRAILER	0.00	1.27	90.0	0.00	1.14	0.00	1.14
06/11/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.36	90.0	0.00	1.22	0.00	1.22
06/11/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.51	90.0	0.00	1.36	0.00	1.36
06/11/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.95	90.0	0.00	1.76	0.00	1.76
06/11/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	4.22	90.0	0.00	3.80	0.00	3.80
06/11/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	7.24	90.0	0.00	6.52	0.00	6.52
06/11/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	3.86	90.0	0.00	3.47	0.00	3.47
06/11/2018 #	BARROW, DIANA	DAIN TRAILER	0.00	0.25	90.0	0.00	0.23	0.00	0.23
06/11/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.82	90.0	0.00	4.34	0.00	4.34
06/11/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.72	90.0	0.00	4.25	0.00	4.25
06/11/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	2.50	90.0	0.00	2.25	0.00	2.25
06/11/2018 #		DAIN TRAILER	0.00	1.69	90.0	0.00	1.52	0.00	1.52
06/11/2018 #		DAIN TRAILER	0.00	1.52	90.0	0.00	1.37	0.00	1.37
06/26/2018 #	MONTGOMERY, KEVIN	DAIN TRAILER	0.00	0.34	90.0	0.00	0.31	0.00	0.31
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$451.69		\$0.00	\$406.62	\$0.00	\$406.62

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*** BROKER TRANSACTIONS TOTAL ***			\$48,951.58	\$1,153.31		\$0.00	\$967.73	\$180.00	\$787.73

ADJUSTMENTS

07/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
07/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-17.17
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-67.17

BROKER COMMISSION TOTALS

Broker Transactions	\$787.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-67.17
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$720.56
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