

**COMMISSION STATEMENT****October 15, 2017****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: G3G

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/06/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	-5,050.00	22.00	22.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-5,050.00	\$22.00	\$22.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^09/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.23	0.00	0.23	100.0	0.00
^09/20/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	100.0	0.00
^09/20/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	100.0	0.00
^09/14/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.79	0.00	1.79	100.0	0.00
^09/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.62	0.00	1.62	100.0	0.00
^09/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.71	0.00	0.71	100.0	0.00
^09/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.68	0.00	0.68	100.0	0.00
^09/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.35	0.00	1.35	100.0	0.00
^09/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.57	0.00	8.57	100.0	0.00
^09/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.60	0.00	7.60	100.0	0.00
^09/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.77	0.00	0.77	100.0	0.00
^09/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.83	0.00	0.83	100.0	0.00
^09/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.59	0.00	0.59	100.0	0.00
^09/19/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	100.0	0.00
^09/15/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.01	0.00	1.01	100.0	0.00
^09/15/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.62	0.00	4.62	100.0	0.00
^09/22/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.20	0.00	2.20	100.0	0.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.68	\$0.00	\$34.68		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$-5,050.00	\$56.68	\$22.00	\$34.68		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*PERSHING: MARK UPS	0.00					0.00
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					0.02
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.02

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.04
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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