



All Companies  
**COMMISSION STATEMENT**  
**April 02, 2018**

03/29/2018  
Page No. 1

**RICHARD E. FENGLER**  
513 HARBOR LANE

**BROKER #: 2990**  
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/15/2018	DILL, SYLVIA K	ING GLOBAL NATURAL RESOURCES CLASS	B	500.00	23.75	0.00	23.75	85.0	20.19
03/19/2018	000000000600306 STEWART, SHARON	FRNKLN FLOATING RATE DLY ACCESS FND	B	25,000.00	250.00	0.00	250.00	85.0	212.50
03/15/2018	00063561880 WALKER, ROBERT A.	FIDELITY ADV STRATEGIC INCOME CL	C B	300.00	3.00	0.00	3.00	85.0	2.55
03/15/2018	00655125367 TURNER, DONNA	FIDELITY ADV CONSUMER STAPLES-CL	A B	125.00	3.44	0.00	3.44	85.0	2.92
03/07/2018	00701154544 DUNFORD, GINA	FIDELITY ADV DIVERSIFIED INTL FD-CL	B	50.00	2.50	0.00	2.50	85.0	2.13
03/12/2018	00701863771 EPPERSON, JOANN/SUSAN	FA 529 PORT 2019-CL	A B	100.00	3.75	0.00	3.75	85.0	3.19
03/15/2018	01476253412 DUNFORD, GINA M.	FA 529 PORT 2019-CL	C B	50.00	0.50	0.00	0.50	85.0	0.43
03/07/2018	01481958690 KLING, MELISSA K.	FA 529 PORT 2019-CL	C B	400.00	4.00	0.00	4.00	85.0	3.40
03/07/2018	01481958708 KLING, MELISSA K.	FA 529 DIVIDEND GROWTH PORT-CL	C B	400.00	4.00	0.00	4.00	85.0	3.40
03/15/2018	01482022975 FENGLER, KELLY	FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$27,025.00</b>	<b>\$295.94</b>	<b>\$0.00</b>	<b>\$295.94</b>		<b>\$251.56</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	189.97	189.97	0.00	189.97	85.0	161.47
<b>* STOCKS SUBTOTAL *</b>				<b>\$189.97</b>	<b>\$189.97</b>	<b>\$0.00</b>	<b>\$189.97</b>		<b>\$161.47</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	949.28	949.28	0.00	949.28	85.0	806.89
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$949.28</b>	<b>\$949.28</b>	<b>\$0.00</b>	<b>\$949.28</b>		<b>\$806.89</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/12/2018	48690341 STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	186.34	0.00	186.34	85.0	158.39
01/12/2018	85905056 WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	489.00	0.00	489.00	85.0	415.65
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$675.34</b>	<b>\$0.00</b>	<b>\$675.34</b>		<b>\$574.04</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	128.46	0.00	128.46	85.0	109.21
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$128.46</b>	<b>\$0.00</b>	<b>\$128.46</b>		<b>\$109.21</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$28,164.25</b>	<b>\$2,238.99</b>	<b>\$0.00</b>	<b>\$2,238.99</b>		<b>\$1,903.17</b>

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ADJUSTMENTS

04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,903.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,775.67
Year-to-date Earnings	\$17,069.92