



Concorde Investment Services, LLC

03/14/2017

Page No. 1

COMMISSION STATEMENT

March 15, 2017

DAVID ZUPEK

6226 Bankers Rd.

Ste. 4

Racine, WI 53403

BROKER #: Z116

BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	GRIFFITH, SARA	AM FUNDS AMCAP A	0.00	23.64	0.00	23.64	90.0	21.28
03/01/2017	RUSCH, JACOB	AM FUNDS AMCAP A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	DENNE, THOMAS	AM FUNDS AMERICAN BALANCED 529A	0.00	1.37	0.00	1.37	90.0	1.23
03/01/2017	BERNHARDT, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.72	0.00	1.72	90.0	1.55
03/01/2017	ROBERTSON, MARTIN	AM FUNDS AMERICAN BALANCED A	0.00	18.48	0.00	18.48	90.0	16.63
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	7.03	0.00	7.03	90.0	6.33
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	22.18	0.00	22.18	90.0	19.96
03/01/2017	HORNACEK, JOSEPH	AM FUNDS BOND FUND OF AMERICA 529A	0.00	33.33	0.00	33.33	90.0	30.00
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	20.89	0.00	20.89	90.0	18.80
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	20.23	0.00	20.23	90.0	18.21
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	20.63	0.00	20.63	90.0	18.57
03/01/2017	RUSCH, JACOB	AM FUNDS BOND FUND OF AMERICA 529A	0.00	35.85	0.00	35.85	90.0	32.27
03/01/2017	SHUSTEK, PEGGY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	15.46	0.00	15.46	90.0	13.91
03/01/2017	SHUSTEK, PEGGY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	15.91	0.00	15.91	90.0	14.32
03/01/2017	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	13.79	0.00	13.79	90.0	12.41
03/01/2017	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	14.60	0.00	14.60	90.0	13.14
03/01/2017	RUGH, BARBARA	AM FUNDS BOND FUND OF AMERICA 529C	0.00	19.15	0.00	19.15	90.0	17.24
03/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	13.37	0.00	13.37	90.0	12.03
03/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	18.46	0.00	18.46	90.0	16.61
03/01/2017	POVKOVICH, DOROTHY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	71.01	0.00	71.01	90.0	63.91
03/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	15.90	0.00	15.90	90.0	14.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	RUSCH, JACOB	AM FUNDS BOND FUND OF AMERICA A	0.00	40.73	0.00	40.73	90.0	36.66
03/01/2017	KRIEDERMANN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.17	0.00	6.17	90.0	5.55
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	HORNACEK, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	SHUSTEK, PEGGY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	HARTGERINK, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	POVKOVICH, DOROTHY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	HORNACEK, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	DENNE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.24	0.00	2.24	90.0	2.02
03/01/2017	SHUSTEK, PEGGY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	HARTGERINK, EDWARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	RUGH, BARBARA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2017	POVKOVICH, DOROTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2017	KRIEDERMANN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.11	0.00	8.11	90.0	7.30
03/01/2017	GRIFFITH, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.23	0.00	23.23	90.0	20.91
03/01/2017	BERNHARDT, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.19	0.00	3.19	90.0	2.87
03/01/2017	RUSCH, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH PORT 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	SHUSTEK, PEGGY	AM FUNDS GROWTH PORT 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	DENNE, THOMAS	AM FUNDS ICA 529A	0.00	1.17	0.00	1.17	90.0	1.05
03/01/2017	BERNHARDT, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.72	0.00	1.72	90.0	1.55
03/01/2017	RUSCH, JACOB	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	HORNACEK, JOSEPH	AM FUNDS NEW ECONOMY 529A	0.00	0.23	0.00	0.23	90.0	0.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	GRIFFITH, SARA	AM FUNDS NEW PERSPECTIVE A	0.00	19.36	0.00	19.36	90.0	17.42
03/01/2017	GRIFFITH, SARA	AM FUNDS SMALLCAP WORLD A	0.00	19.61	0.00	19.61	90.0	17.65
03/01/2017	BERNHARDT, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	2.19	0.00	2.19	90.0	1.97
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	HORNACEK, JOSEPH	AM FUNDS SMALLCAP WORLD-529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	HARTGERINK, EDWARD	AM FUNDS SMALLCAP WORLD-529C	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2017	BERNHARDT, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.43	0.00	4.43	90.0	3.99
03/01/2017	RUSCH, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	NICOLAZZI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	SHUSTEK, PEGGY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	RUGH, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2017	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	POVKOVICH, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2017	GREIG, AMY	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	POVKOVICH, DOROTHY	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	0.41	0.00	0.41	90.0	0.37
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$542.11	\$0.00	\$542.11		\$488.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/24/2017	RUFFOLO, BENNY	AM GEN POLARIS CHOICE III	161,982.01	607.43	0.00	607.43	90.0	546.69
03/01/2017	BODVEN, BRUCE	AM GEN POLARIS CHOICE III	106,645.88	399.92	0.00	399.92	90.0	359.93
03/02/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,361,349.62	522.16	0.00	522.16	90.0	469.94
02/28/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	14,823.75	15.44	0.00	15.44	90.0	13.90
02/28/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	46,404.88	38.67	0.00	38.67	90.0	34.80
02/28/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	56,626.71	47.19	0.00	47.19	90.0	42.47
02/28/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	107,942.09	22.49	0.00	22.49	90.0	20.24
02/28/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	15,065.27	6.28	0.00	6.28	90.0	5.65
02/28/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	20,636.44	8.60	0.00	8.60	90.0	7.74
02/28/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,082.23	4.62	0.00	4.62	90.0	4.16
02/28/2017	HOSS, GAIL	JNL PERSPECTIVE L	69,643.15	75.45	0.00	75.45	90.0	67.91
02/28/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	6,411.56	6.95	0.00	6.95	90.0	6.26
02/28/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	86,393.42	93.59	0.00	93.59	90.0	84.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	32,344.98	35.04	0.00	35.04	90.0	31.54
02/28/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	68,143.12	56.79	0.00	56.79	90.0	51.11
02/28/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	53,423.25	62.33	0.00	62.33	90.0	56.10
02/28/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	160,118.29	186.80	0.00	186.80	90.0	168.12
02/28/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	125,252.86	146.13	0.00	146.13	90.0	131.52
02/28/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	41,484.07	48.40	0.00	48.40	90.0	43.56
02/28/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	43,583.81	36.32	0.00	36.32	90.0	32.69
02/28/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	25,256.93	21.05	0.00	21.05	90.0	18.95
02/28/2017	SMITH, BETTY	JNL PERSPECTIVE L	35,720.03	41.67	0.00	41.67	90.0	37.50
02/28/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	15,113.83	12.59	0.00	12.59	90.0	11.33
02/28/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	43,058.91	35.88	0.00	35.88	90.0	32.29
02/28/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	30,952.72	25.79	0.00	25.79	90.0	23.21
02/28/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	31,215.38	26.01	0.00	26.01	90.0	23.41
02/28/2017	SPERER, MARION	JNL PERSPECTIVE L	22,950.35	19.13	0.00	19.13	90.0	17.22
02/28/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	8,934.19	10.42	0.00	10.42	90.0	9.38
02/28/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	22,654.23	26.43	0.00	26.43	90.0	23.79
02/28/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	29,984.18	32.48	0.00	32.48	90.0	29.23
02/28/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,103.52	30.45	0.00	30.45	90.0	27.41
02/28/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	29,211.54	24.34	0.00	24.34	90.0	21.91
02/28/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	52,869.68	61.68	0.00	61.68	90.0	55.51
02/28/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	140,593.09	117.16	0.00	117.16	90.0	105.44
02/28/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	133,050.86	110.88	0.00	110.88	90.0	99.79
02/28/2017	RACK, JOAN	JNL PERSPECTIVE L	136,379.04	147.74	0.00	147.74	90.0	132.97
02/28/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	100,968.11	84.14	0.00	84.14	90.0	75.73
02/28/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,368.92	58.64	0.00	58.64	90.0	52.78
02/28/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	162,421.27	135.35	0.00	135.35	90.0	121.82
02/28/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	196,408.58	163.67	0.00	163.67	90.0	147.30
02/28/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	55,023.26	64.19	0.00	64.19	90.0	57.77
02/28/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	96,265.13	112.31	0.00	112.31	90.0	101.08
02/28/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	75,100.50	62.58	0.00	62.58	90.0	56.32
02/28/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	48,037.99	40.03	0.00	40.03	90.0	36.03
02/28/2017	SHELDON, MARK	JNL PERSPECTIVE L	93,410.59	108.98	0.00	108.98	90.0	98.08
02/28/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	378,506.96	315.42	0.00	315.42	90.0	283.88

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/28/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	616,621.55	719.39	0.00	719.39	90.0	647.45
02/28/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	135,906.82	158.56	0.00	158.56	90.0	142.70
02/28/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	102,932.49	120.09	0.00	120.09	90.0	108.08
02/28/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	214,442.00	250.18	0.00	250.18	90.0	225.16
02/28/2017	FALBO, DIANE	JNL PERSPECTIVE L	636,177.58	742.21	0.00	742.21	90.0	667.99
02/28/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	183,687.38	214.30	0.00	214.30	90.0	192.87
02/28/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	343,851.76	401.16	0.00	401.16	90.0	361.04
02/28/2017	HARRIS, BILLY	JNL PERSPECTIVE L	267,234.92	311.77	0.00	311.77	90.0	280.59
02/28/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	21,993.46	18.33	0.00	18.33	90.0	16.50
02/28/2017	WAYO, DARLENE	JNL PERSPECTIVE L	68,324.99	56.94	0.00	56.94	90.0	51.25
02/28/2017	HOSS, GAIL	JNL PERSPECTIVE L	71,901.16	83.88	0.00	83.88	90.0	75.49
02/28/2017	ELMER, HARVEY	JNL PERSPECTIVE L	66,024.18	55.02	0.00	55.02	90.0	49.52
02/28/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	56,888.17	47.41	0.00	47.41	90.0	42.67
02/28/2017	PETERSEN, THOMAS	JNL PERSPECTIVE L	104,158.62	86.80	0.00	86.80	90.0	78.12
02/28/2017	STARK, GLORIA	JNL PERSPECTIVE L	59,807.13	49.84	0.00	49.84	90.0	44.86
02/28/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	231,998.05	193.33	0.00	193.33	90.0	174.00
02/28/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	296,060.34	246.72	0.00	246.72	90.0	222.05
02/28/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	29,201.03	24.33	0.00	24.33	90.0	21.90
02/28/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	211,950.34	176.63	0.00	176.63	90.0	158.97
02/28/2017	COTE, WILLIAM	JNL PERSPECTIVE L	89,049.36	74.21	0.00	74.21	90.0	66.79
02/28/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	105,728.94	88.11	0.00	88.11	90.0	79.30
02/28/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	376,059.76	438.74	0.00	438.74	90.0	394.87
02/28/2017	HALL, MICHAEL	JNL PERSPECTIVE L	123,949.72	103.29	0.00	103.29	90.0	92.96
02/28/2017	BARRETT, PERRY	JNL PERSPECTIVE L	145,739.49	121.45	0.00	121.45	90.0	109.31
02/28/2017	ZUPFER, JO	JNL PERSPECTIVE L	87,765.07	73.14	0.00	73.14	90.0	65.83
02/28/2017	BECKER, JOHN	JNL PERSPECTIVE L	115,837.86	96.53	0.00	96.53	90.0	86.88
02/28/2017	BECKER, JOHN	JNL PERSPECTIVE L	143,414.61	119.51	0.00	119.51	90.0	107.56
02/28/2017	HALL, MICHAEL	JNL PERSPECTIVE L	125,081.81	104.23	0.00	104.23	90.0	93.81
02/28/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	115,748.32	96.46	0.00	96.46	90.0	86.81
* VARIABLE ANNUITIES SUBTOTAL *			\$9,799,454.09	\$9,582.17	\$0.00	\$9,582.17		\$8,624.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>								
02/13/2017	VENTURA, LOUIS	ANICO 100% VUL	100,000.00	2,500.00	0.00	2,500.00	100.0	2,500.00
		* MISCELLANEOUS SUBTOTAL *	\$100,000.00	\$2,500.00	\$0.00	\$2,500.00		\$2,500.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/08/2017	FLETCHER, DONALD	ING PREMIUM PLUS	0.00	58.00	0.00	58.00	90.0	52.20
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$58.00	\$0.00	\$58.00		\$52.20
		*** BROKER TRANSACTIONS TOTAL ***	\$9,899,454.09	\$12,682.28	\$0.00	\$12,682.28		\$11,664.23

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

03/07/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	11.89	0.04	0.00	0.04	90.0	0.04
03/07/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	65.00	0.23	0.00	0.23	90.0	0.21
03/07/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	48.72	0.68	0.00	0.68	90.0	0.61
03/07/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	324.85	4.55	0.00	4.55	90.0	4.10
03/06/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	0.70	0.00	0.70	90.0	0.63
03/07/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	27.09	0.38	0.00	0.38	90.0	0.34
03/07/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	45.15	0.63	0.00	0.63	90.0	0.57
03/07/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	324.85	4.55	0.00	4.55	90.0	4.10
03/07/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	48.72	0.68	0.00	0.68	90.0	0.61
03/07/2017	WIKAR, HALINA	MFS GROWTH C	11.89	0.04	0.00	0.04	90.0	0.04
03/07/2017	WIKAR, HALINA	MFS GROWTH C	65.00	0.23	0.00	0.23	90.0	0.21
03/06/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	50.00	0.70	0.00	0.70	90.0	0.63
03/06/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	50.00	0.70	0.00	0.70	90.0	0.63
03/07/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	27.09	0.38	0.00	0.38	90.0	0.34
03/07/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	45.15	0.63	0.00	0.63	90.0	0.57
03/07/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	390.00	1.37	0.00	1.37	90.0	1.23
03/07/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	71.30	0.25	0.00	0.25	90.0	0.23
03/07/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	23.77	0.08	0.00	0.08	90.0	0.07
03/07/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	130.00	0.46	0.00	0.46	90.0	0.41
03/06/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	50.00	0.70	0.00	0.70	90.0	0.63
03/06/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	50.00	0.70	0.00	0.70	90.0	0.63
03/07/2017	WIKAR, SYLVIA	MFS UTILITIES B	45.15	0.63	0.00	0.63	90.0	0.57
03/07/2017	WIKAR, SYLVIA	MFS UTILITIES B	27.09	0.38	0.00	0.38	90.0	0.34

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
03/06/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	50.00	0.70	0.00	0.70	90.0	0.63
03/06/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	50.00	0.70	0.00	0.70	90.0	0.63
03/07/2017	WIKAR, SYLVIA	MFS VALUE B	45.15	0.63	0.00	0.63	90.0	0.57
03/07/2017	WIKAR, SYLVIA	MFS VALUE B	27.09	0.38	0.00	0.38	90.0	0.34
03/07/2017	SZAJEWSKI, REGINA	MFS VALUE B	334.69	4.69	0.00	4.69	90.0	4.22
03/07/2017	SZAJEWSKI, REGINA	MFS VALUE B	50.21	0.70	0.00	0.70	90.0	0.63
02/15/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	3.50	0.00	3.50	90.0	3.15
02/10/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.05	0.00	1.05	90.0	0.95
02/06/2017	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	1.05	0.00	1.05	90.0	0.95
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$3,089.85	\$33.09	\$0.00	\$33.09		\$29.81
*** SPLIT TRANSACTIONS TOTAL ***			\$3,089.85	\$33.09	\$0.00	\$33.09		\$29.81

VERRIDE TRANSACTIONS

<u>BROKER: MARK HUBER #89</u>								
02/27/2017	COTE JT TEN, CAROL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.32	0.00	12.32	0.0	11.09
* MARK HUBER SUBTOTAL *			\$0.00	\$12.32	\$0.00	\$12.32		\$11.09
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
02/27/2017	CIPPARRONE, NANCY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.82	0.00	3.82	0.0	3.44
02/27/2017	NARDINI TRUST, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.66	0.00	4.66	0.0	4.19
02/27/2017	NARDINI, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.59	0.00	17.59	0.0	15.83
02/27/2017	NARDINI, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	18.82	0.00	18.82	0.0	16.94
02/27/2017	NARDINI TRUST, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.66	0.00	4.66	0.0	4.19
03/07/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
03/07/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	3.74
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$1,337.70	\$72.96	\$0.00	\$72.96		\$65.66
<u>BROKER: MICHAEL VENTURINI #127</u>								
02/28/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,814.10	17.34	0.00	17.34	0.0	15.61
02/28/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,736.07	13.95	0.00	13.95	0.0	12.56
* MICHAEL VENTURINI SUBTOTAL *			\$37,550.17	\$31.29	\$0.00	\$31.29		\$28.17

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u>								
02/21/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
03/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	0.54	0.00	0.54	0.0	0.49
03/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	12.18	0.00	12.18	0.0	10.96
03/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	0.09	0.00	0.09	0.0	0.08
03/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	0.06	0.00	0.06	0.0	0.05
02/21/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
03/01/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	8.06	0.00	8.06	0.0	7.25
03/03/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
03/01/2017	FROSCH, TRACEY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	19.54	0.00	19.54	0.0	17.59
03/01/2017	FROSCH, TRACEY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	12.91	0.00	12.91	0.0	11.62
03/01/2017	VASSH, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	0.0	0.17
03/01/2017	VASSH, LYNN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	0.0	0.15
03/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.11	0.00	0.11	0.0	0.10
03/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.07	0.00	0.07	0.0	0.06
02/21/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
03/01/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.99	0.00	0.99	0.0	0.89
03/01/2017	THOMPSON, TERRY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.49	0.00	0.49	0.0	0.44
03/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.11	0.00	0.11	0.0	0.10
03/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.06	0.00	0.06	0.0	0.05
02/21/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
03/01/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.93	0.00	0.93	0.0	0.84
03/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.48	0.00	0.48	0.0	0.43
03/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	0.04	0.00	0.04	0.0	0.04
03/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	0.03	0.00	0.03	0.0	0.03
02/21/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
03/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.25	0.00	0.25	0.0	0.23
03/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.96	0.00	0.96	0.0	0.86
03/01/2017	THOMPSON, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	0.0	0.42
03/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.09	0.00	0.09	0.0	0.08
03/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.07	0.00	0.07	0.0	0.06
03/06/2017	RACK, JOAN	ING LANDMARK	0.00	648.09	0.00	648.09	0.0	583.28
03/07/2017	JARVIS, RICHARD	ING LANDMARK	0.00	628.36	0.00	628.36	0.0	565.52
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$275.00	\$1,343.35	\$0.00	\$1,343.35		\$1,208.99

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: DAN/DAVE JENSEN/ZUPEK #136</u>								
03/01/2017	DJURICH, JOYCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.36	0.00	5.36	0.0	4.82
03/01/2017	DJURICH, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.03	0.00	7.03	0.0	6.33
03/01/2017	BEAUGRAND, TAMI	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.60	0.00	8.60	0.0	7.74
02/28/2017	MILES, FRANK	DELAWARE LIFE TRAILS	0.00	137.02	0.00	137.02	0.0	123.32
* DAN/DAVE JENSEN/ZUPEK SUBTOTAL *			\$0.00	\$158.01	\$0.00	\$158.01		\$142.21
<u>BROKER: WENDY GOODING #158</u>								
02/15/2017	WALLACE, STEVEN	JP MORGAN INVESTOR GRTH & INC C	100.00	1.00	0.00	1.00	0.0	0.35
* WENDY GOODING SUBTOTAL *			\$100.00	\$1.00	\$0.00	\$1.00		\$0.35
<u>BROKER: HALINA WIKAR #171</u>								
03/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS 2025 TARGET DATE R2	0.00	100.88	0.00	100.88	55.0	35.31
03/01/2017	WIKAR, HALINA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.10	0.00	4.10	55.0	1.44
03/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS CAPITAL WORLD BOND R2	0.00	59.04	0.00	59.04	55.0	20.66
03/01/2017	WIKAR, HALINA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.26	0.00	8.26	55.0	2.89
03/01/2017	WIKAR, HALINA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.23	0.00	5.23	55.0	1.83
03/01/2017	WIKAR, HALINA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	10.69	0.00	10.69	55.0	3.74
03/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	165.41	0.00	165.41	55.0	57.89
03/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	126.31	0.00	126.31	55.0	44.21
03/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	133.50	0.00	133.50	55.0	46.73
03/01/2017	WIKAR, HALINA	AM FUNDS NEW ECONOMY C	0.00	2.90	0.00	2.90	55.0	1.02
03/01/2017	WIKAR, HALINA	AM FUNDS NEW WORLD C	0.00	9.76	0.00	9.76	55.0	3.42
03/01/2017	PIETRZYK, JAN	MFS BLENDED RESEARCH CORE C	250.00	2.50	0.00	2.50	55.0	0.88
03/01/2017	PIETRZYK, DOROTA	MFS BLENDED RESEARCH CORE C	250.00	2.50	0.00	2.50	55.0	0.88
03/01/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	50.00	0.50	0.00	0.50	55.0	0.18
03/02/2017	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.14
03/07/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	80.62	0.81	0.00	0.81	55.0	0.28
03/07/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	268.73	2.69	0.00	2.69	55.0	0.94
03/07/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	79.42	0.79	0.00	0.79	55.0	0.28
03/07/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	47.65	0.48	0.00	0.48	55.0	0.17
02/27/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
03/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.18
03/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.18
03/06/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
03/07/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	79.42	0.79	0.00	0.79	55.0	0.28
03/07/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	47.65	0.48	0.00	0.48	55.0	0.17
03/07/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	268.73	2.69	0.00	2.69	55.0	0.94
03/07/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	80.61	0.81	0.00	0.81	55.0	0.28
03/07/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	23.77	0.24	0.00	0.24	55.0	0.08
03/07/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	130.00	1.30	0.00	1.30	55.0	0.46
03/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	0.70
02/22/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
03/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
03/01/2017	PIETRZYK, JAN	MFS EMERGING MKTS DEBT FUND C	500.00	5.00	0.00	5.00	55.0	1.75
03/01/2017	PIETRZYK, DOROTA	MFS EMERGING MKTS DEBT FUND C	500.00	5.00	0.00	5.00	55.0	1.75
03/01/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
03/02/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.14
03/07/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	60.47	0.60	0.00	0.60	55.0	0.21
03/07/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	201.55	2.02	0.00	2.02	55.0	0.71
02/27/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
03/03/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
03/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	0.70
03/01/2017	PIETRZYK, JAN	MFS INTERNATIONAL DIVERSIFICATION C	250.00	2.50	0.00	2.50	55.0	0.88
03/01/2017	PIETRZYK, DOROTA	MFS INTERNATIONAL DIVERSIFICATION C	250.00	2.50	0.00	2.50	55.0	0.88
03/07/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	38.12	0.38	0.00	0.38	55.0	0.13
02/28/2017	DZIEWIECKA, TERESA	MFS LOW VOLATILITY GLOBAL EQUITY F	20.00	0.20	0.00	0.20	55.0	0.07
03/02/2017	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.14
03/02/2017	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.14
03/07/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	80.62	0.81	0.00	0.81	55.0	0.28
03/07/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	268.73	2.69	0.00	2.69	55.0	0.94
03/07/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	9.53	0.10	0.00	0.10	55.0	0.04
03/07/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	79.42	0.79	0.00	0.79	55.0	0.28
03/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	0.70
02/23/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
02/27/2017	JAROSZ, JACEK	MFS UTILITIES C	500.00	5.00	0.00	5.00	55.0	1.75
02/27/2017	JAROSZ, TERESA	MFS UTILITIES C	500.00	5.00	0.00	5.00	55.0	1.75
02/28/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
03/01/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
03/01/2017	PIETRZYK, JAN	MFS UTILITIES C	1,500.00	15.00	0.00	15.00	55.0	5.25
03/01/2017	PIETRZYK, DOROTA	MFS UTILITIES C	1,500.00	15.00	0.00	15.00	55.0	5.25
03/01/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
03/02/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.14
03/06/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
03/07/2017	WIKAR, HALINA	MFS UTILITIES C	47.54	0.48	0.00	0.48	55.0	0.17
03/07/2017	WIKAR, HALINA	MFS UTILITIES C	260.00	2.60	0.00	2.60	55.0	0.91
03/07/2017	WAL, SEBASTIAN	MFS UTILITIES C	100.78	1.01	0.00	1.01	55.0	0.35
03/07/2017	WAL, SEBASTIAN	MFS UTILITIES C	335.93	3.36	0.00	3.36	55.0	1.18
03/07/2017	THOMAS, SYLVIA	MFS UTILITIES C	47.65	0.48	0.00	0.48	55.0	0.17
03/07/2017	THOMAS, SYLVIA	MFS UTILITIES C	79.40	0.79	0.00	0.79	55.0	0.28
03/07/2017	WIKAR, HALINA	MFS VALUE C	47.54	0.48	0.00	0.48	55.0	0.17
03/07/2017	WIKAR, HALINA	MFS VALUE C	260.00	2.60	0.00	2.60	55.0	0.91
03/01/2017	KHOURI DDS PC 401K, RHONDA	U.S. GOVERNMENT SECURITIES FUND-R2	0.00	59.86	0.00	59.86	55.0	20.95
* HALINA WIKAR SUBTOTAL *			\$9,908.88	\$789.56	\$0.00	\$789.56		\$276.44
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
03/01/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	80.00	3.20	0.00	3.20	0.0	1.12
03/01/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	80.00	3.20	0.00	3.20	0.0	1.12
03/01/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	80.00	3.20	0.00	3.20	0.0	1.12
03/01/2017	MEI, JENNIFER	MFS RESEARCH B	80.00	3.20	0.00	3.20	0.0	1.12
03/01/2017	MEI, JENNIFER	MFS UTILITIES B	80.00	3.20	0.00	3.20	0.0	1.12
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$400.00	\$16.00	\$0.00	\$16.00		\$5.60
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
02/21/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
03/01/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	0.00	0.01	0.00	0.01	0.0	0.01
02/21/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
03/01/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	0.00	0.02	0.00	0.02	0.0	0.02
03/01/2017	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.01	0.00	0.01	0.0	0.01
02/28/2017	NICHOLS, EDWARD	MOODY NATIONAL REIT II	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$50,075.00	\$3,501.54	\$0.00	\$3,501.54		\$3,151.39
*** OVERRIDE TRANSACTIONS TOTAL ***			\$99,646.75	\$5,926.03	\$0.00	\$5,926.03		\$4,889.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
03/15/2017		*PERSHING: BOOKS & RECORDS R129	0.00					-1.98
03/15/2017		*TECH: ADMIN - L JOCIUS	0.00					-100.00
03/15/2017		*TECH: ADMIN - RON HAMILTON	0.00					-100.00
03/15/2017		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-100.00
03/15/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-MAR	0.00					-275.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-RIC	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,146.98

BROKER COMMISSION TOTALS

Broker Transactions	\$11,664.23
Split Transactions	\$29.81
Override Transactions	\$4,889.90
Adjustments	\$-2,146.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,436.96
Year-to-date Gross	\$42,712.22
Year-to-date Earnings	\$68,611.82

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