

## COMMISSION STATEMENT

June 09, 2017

STEPHEN MOSS  
1336 MARYLAND AVENUEBROKER #: 60  
BRANCH: HOME OFFICE

HVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/02/2017	75501877	MORRIS WEISMAN TTEE	BLACKROCK EQUITY DIVIDEND FUND CL A S	34,375.74	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	DELAWARE EXTENDED DURATION BOND FUN S	30,958.60	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	DELAWARE SMALL CAP VALUE FUND A CLA S	11,428.56	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	DREYFUS MIDCAP INDEX FUND S	7,558.79	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	FIDELITY CAPITAL & INCOME FUND S	28,779.81	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	FIDELITY LEVERAGED COMPANY STOCK FU S	30,457.62	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	FIDELITY SMALL CAP VALUE FD S	10,621.84	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	LOOMIS SAYLES INVESTMENT GRADE BOND S	29,081.22	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	NICHOLAS EQUITY INCOME FUND INC CLA S	38,942.05	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	NUVEEN DIVIDEND VALUE FUND CL I S	55,648.46	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	OPPENHEIMER DEVELOPING MARKETS CLAS S	16,036.31	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	SSGA S&P 500 INDEX FUND S	30,399.31	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	TWEEDY BROWNE FUND INC GLOBAL VALUE S	14,339.05	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	VANGUARD BOND INDEX FUND INC TOTAL S	36,772.80	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501900	STRICKLER, CHARLES	MAINSTAY FDS TR EPOCH US ALL CAP FD B	193.27	9.18	0.00	9.18	50.0	0.00
05/09/2017	75501900	STRICKLER, CHARLES	MAINSTAY FDS TR EPOCH US ALL CAP FD S	14,658.03	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	**GABELLI EQUITY SERIES FUNDS S	10,971.40	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	DELAWARE EXTENDED DURATION BOND FUN S	4,172.15	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	DELAWARE SMALL CAP VALUE FUND A CLA S	1,634.81	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	FIDELITY CAPITAL & INCOME FUND S	4,608.88	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	IVY INTERNATIONAL CORE EQUITY FUND S	2,598.25	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	NUVEEN DIVIDEND VALUE FUND CL I S	15,939.35	0.00	0.00	0.00	50.0	0.00
05/02/2017	75502025	THIEU, MINH	VANGUARD INDEX TRUST SMALL CAPITLZA S	3,974.61	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$434,150.91	\$9.18	\$0.00	\$9.18		\$0.00

PRODUCT CATEGORY: STOCKS

05/15/2017	75501532	LUMSDEN, CECILIA	SPDR RUSSELL 1000 ETF B	112.88	0.00	0.00	0.00	50.0	0.00
05/15/2017	75501532	LUMSDEN, CECILIA	SPDR RUSSELL 1000 ETF S	-112.88	0.00	0.00	0.00	50.0	0.00 C
05/15/2017	75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	37.21	0.00	0.00	0.00	50.0	0.00
05/15/2017	75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA S	-37.21	0.00	0.00	0.00	50.0	0.00 C
05/15/2017	75501532	LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	286.80	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
05/15/2017	75501532	LUMSDEN, CECILIA		SPDR SERIES TRUST SPDR BLOOMBERG BA S	-286.80	0.00	0.00	0.00	50.0 0.00 C
05/15/2017	75501532	LUMSDEN, CECILIA		SPDR SERIES TRUST SPDR BLOOMBERG BA B	56.32	0.00	0.00	0.00	50.0 0.00
05/15/2017	75501532	LUMSDEN, CECILIA		SPDR SERIES TRUST SPDR BLOOMBERG BA S	-56.32	0.00	0.00	0.00	50.0 0.00 C
05/08/2017	75501796	MOSS, KATHLEEN		ISHARES U S INDUSTRIALS ETF B	5,167.60	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501796	MOSS, KATHLEEN		ISHARES U S HEALTHCARE ETF S	5,227.53	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501796	MOSS, KATHLEEN		ISHARES U S CONSUMER GOODS ETF S	5,238.64	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501796	MOSS, KATHLEEN		ISHARES U S CONSUMER SERVICES ETF B	5,137.01	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES FLOATING RATE BOND ETF S	2,286.45	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES IBOXX \$ HIGH YIELD CORPORAT S	2,272.66	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES MSCI EAFE ETF B	1,941.60	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES MSCI EMERGING MARKETS ETF B	1,953.14	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES RUSSELL 2000 ETF S	2,751.40	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES S&P SMALL CAP 600 VALUE ETF S	2,615.54	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES S&P SMALL CAP 600 GROWTH ET S	2,764.98	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES S&P MID CAP 400 VALUE ETF S	2,637.90	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES S&P500 GROWTH INDEX FD B	1,883.42	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES U S UTILITIES ETF B	3,453.84	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES U S INDUSTRIALS ETF B	3,471.39	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES U S HEALTHCARE ETF S	3,824.16	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES U S CONSUMER SERVICES ETF B	3,468.99	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES U S BASIC MATERIALS ETF S	1,575.90	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		ISHARES U S FINANCIALS ETF S	3,548.24	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		POWERSHARES QQQ TRUST SERIES 1 B	1,918.14	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		POWERSHARES PREFERRED PORTFOLIO B	2,367.88	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		SPDR DOW JONES INDL AVERAGE ETF TR B	2,089.70	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		SPDR S&P 500 ETF TRUST B	1,907.20	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		SPDR SER TR BLOOMBERG BARCLAYS INTL B	2,292.45	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		SPDR SERIES TRUST SPDR BLOOMBERG BA S	2,376.40	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		STANDARD & POORS MIDCAP 400 SPDR S& S	2,812.68	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501797	HERTEN, TIMOTHY		VANECK VECTORS ETF TR HIGH YIELD MU B	2,292.53	0.00	0.00	0.00	50.0 0.00
05/04/2017	75501798	HERTEN, TIMOTHY		KITE PHARMA INC COM S	1,092.00	0.00	0.00	0.00	50.0 0.00
05/10/2017	75501798	HERTEN, TIMOTHY		ABERCROMBIE & FITCH CO-CL A S	693.51	0.00	0.00	0.00	50.0 0.00
05/15/2017	75501798	HERTEN, TIMOTHY		COHERUS BIOSCIENCES INC COM B	1,000.13	50.00	0.00	50.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES FLOATING RATE BOND ETF S	8,232.86	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES IBOXX \$ HIGH YIELD CORPORAT S	8,496.23	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES MSCI EAFE ETF B	7,025.03	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES MSCI EMERGING MARKETS ETF B	7,029.16	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES RUSSELL 2000 ETF S	10,244.56	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES S&P SMALL CAP 600 VALUE ETF S	10,008.01	0.00	0.00	0.00	50.0 0.00
05/08/2017	75501873	BESETH, DAVID		ISHARES S&P SMALL CAP 600 GROWTH ET S	10,326.05	0.00	0.00	0.00	50.0 0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
05/08/2017	75501873	BESETH, DAVID	ISHARES S&P MID CAP 400 VALUE ETF	S	10,068.76	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES S&P500 GROWTH INDEX FD	B	7,034.03	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES U S UTILITIES ETF	B	12,555.67	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES U S INDUSTRIALS ETF	B	12,538.21	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES U S HEALTHCARE ETF	S	12,680.00	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES U S CONSUMER SERVICES ETF	B	12,599.28	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES U S BASIC MATERIALS ETF	S	5,823.19	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	ISHARES U S FINANCIALS ETF	S	12,722.57	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	POWERSHARES QQQ TRUST SERIES 1	B	7,571.30	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	POWERSHARES PREFERRED PORTFOLIO	B	8,584.00	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	SPDR DOW JONES INDL AVERAGE ETF TR	B	7,557.84	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	SPDR S&P 500 ETF TRUST	B	6,949.27	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	8,324.12	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	SPDR SERIES TRUST SPDR BLOOMBERG BA S	S	8,228.41	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	STANDARD & POORS MIDCAP 400 SPDR S& S	S	10,076.48	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501873	BESETH, DAVID	VANECK VECTORS ETF TR HIGH YIELD MU B	B	8,325.65	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	ISHARES 7 TO 10 YEAR TREASURY BOND	S	17,229.25	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	ISHARES CORE U S AGGREGATE BD ETF	S	18,069.66	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	ISHARES TRUST ISHARES NATIONAL MUNI S	S	17,332.91	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES GLOBAL EXCHANGE TRADED	S	6,310.26	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES MSCI EAFE ETF	B	15,069.65	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES MSCI EMERGING MARKETS ETF	B	15,084.69	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	B	15,037.24	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S UTILITIES ETF	B	26,958.75	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	B	26,945.08	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	B	26,887.89	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S BASIC MATERIALS ETF	B	15,036.22	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	B	26,921.57	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	B	16,134.92	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES PREFERRED PORTFOLIO	B	18,344.09	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	B	16,259.10	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	B	14,997.78	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	17,837.75	0.00	0.00	0.00	50.0	0.00
05/04/2017	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B	B	17,790.58	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	B	6,943.31	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	S	6,679.68	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER GOODS ETF	S	6,485.60	0.00	0.00	0.00	50.0	0.00
05/02/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	B	6,963.18	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924	CUNNINGHAM, WENDY	ISHARES FLOATING RATE BOND ETF	S	10,062.38	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924	CUNNINGHAM, WENDY	ISHARES IBOXX \$ HIGH YIELD CORPORAT S	S	10,423.61	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES MSCI EAFE ETF	B	5,786.78	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES MSCI EMERGING MARKETS ETF	B	5,785.43	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES RUSSELL 2000 ETF	S	7,196.80	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES S&P SMALL CAP 600 VALUE ETF	S	7,085.44	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES S&P SMALL CAP 600 GROWTH ET	S	7,242.23	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES S&P MID CAP 400 VALUE ETF	S	7,110.24	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES S&P500 GROWTH INDEX FD	B	5,681.76	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES U S UTILITIES ETF	B	10,341.60	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES U S INDUSTRIALS ETF	B	10,335.19	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES U S HEALTHCARE ETF	S	9,189.52	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES U S CONSUMER SERVICES ETF	B	10,277.74	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES U S BASIC MATERIALS ETF	S	3,179.52	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	ISHARES U S FINANCIALS ETF	S	9,079.32	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	POWERSHARES QQQ TRUST SERIES 1	B	6,196.50	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	POWERSHARES PREFERRED PORTFOLIO	B	7,038.10	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	SPDR DOW JONES INDL AVERAGE ETF TR	B	6,087.97	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	SPDR S&P 500 ETF TRUST	B	5,751.84	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	SPDR SER TR BLOOMBERG BARCLAYS INTL	B	6,818.55	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	SPDR SERIES TRUST SPDR BLOOMBERG BA	S	10,056.95	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	STANDARD & POORS MIDCAP 400 SPDR S&	S	7,245.92	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501924 CUNNINGHAM, WENDY	VANECK VECTORS ETF TR HIGH YIELD MU	B	6,825.81	0.00	0.00	0.00	50.0	0.00
05/01/2017	75501932 CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US	S	56.78	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES FLOATING RATE BOND ETF	S	13,162.41	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES IBOXX \$ HIGH YIELD CORPORAT	S	13,575.43	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES MSCI EAFE ETF	B	7,610.45	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES MSCI EMERGING MARKETS ETF	B	7,633.55	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES RUSSELL 2000 ETF	S	9,548.91	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES S&P SMALL CAP 600 VALUE ETF	S	9,309.66	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES S&P SMALL CAP 600 GROWTH ET	S	9,709.57	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES S&P MID CAP 400 VALUE ETF	S	9,470.72	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES S&P500 GROWTH INDEX FD	B	7,573.99	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES U S UTILITIES ETF	B	13,588.05	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES U S INDUSTRIALS ETF	B	13,699.43	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES U S HEALTHCARE ETF	S	11,726.78	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES U S CONSUMER SERVICES ETF	B	13,592.32	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES U S BASIC MATERIALS ETF	S	2,913.24	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	ISHARES U S FINANCIALS ETF	S	11,991.44	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	POWERSHARES QQQ TRUST SERIES 1	B	8,121.35	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	POWERSHARES PREFERRED PORTFOLIO	B	9,313.14	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937 BERG, ELIZABETH	SPDR DOW JONES INDL AVERAGE ETF TR	B	8,186.49	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
05/08/2017	75501937	BERG, ELIZABETH							
		SPDR S&P 500 ETF TRUST	B	7,665.92	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937	BERG, ELIZABETH							
		SPDR SER TR BLOOMBERG BARCLAYS INTL B		9,022.27	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937	BERG, ELIZABETH							
		SPDR SERIES TRUST SPDR BLOOMBERG BA S		13,165.46	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937	BERG, ELIZABETH							
		STANDARD & POORS MIDCAP 400 SPDR S& S		10,070.40	0.00	0.00	0.00	50.0	0.00
05/08/2017	75501937	BERG, ELIZABETH							
		VANECK VECTORS ETF TR HIGH YIELD MU B		9,029.66	0.00	0.00	0.00	50.0	0.00
05/10/2017	75501994	MCCOACH, MARY							
		SPDR RUSSELL 1000 ETF	B	112.62	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		FIDELITY NASDAQ COMPOSITE INDEX FUN S		5,493.09	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		ISHARES MORNINGSTAR SMALL CAP GROWT S		1,853.16	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		ISHARES MSCI EAFE ETF	S	2,496.10	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		ISHARES RUSSELL 2000 ETF	S	1,945.90	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		ISHARES S&P SMALL CAP 600 VALUE ETF S		1,946.42	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		POWERSHARES EXCHANGE TRADED FUND GO S		3,688.10	0.00	0.00	0.00	50.0	0.00
05/01/2017	75502025	THIEU, MINH							
		TESLA MOTORS INC	B	9,885.28	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES MSCI EAFE ETF	B	2,485.20	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES MSCI EMERGING MARKETS ETF	B	2,488.68	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES S&P500 GROWTH INDEX FD	B	2,430.54	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES U S UTILITIES ETF	B	4,396.88	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES U S INDUSTRIALS ETF	B	4,400.62	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES U S CONSUMER SERVICES ETF	B	4,483.62	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES U S BASIC MATERIALS ETF	B	2,492.56	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		ISHARES U S TECHNOLOGY ETF	B	4,486.08	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		POWERSHARES QQQ TRUST SERIES 1	B	2,608.70	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		POWERSHARES PREFERRED PORTFOLIO	B	3,057.27	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		SPDR DOW JONES INDL AVERAGE ETF TR	B	2,514.24	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		SPDR S&P 500 ETF TRUST	B	2,393.50	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		SPDR SER TR BLOOMBERG BARCLAYS INTL B		2,969.66	0.00	0.00	0.00	50.0	0.00
05/05/2017	75502025	THIEU, MINH							
		VANECK VECTORS ETF TR HIGH YIELD MU B		2,940.00	0.00	0.00	0.00	50.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$1,126,487.69</b>	<b>\$50.00</b>	<b>\$0.00</b>	<b>\$50.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
05/11/2017	75501796	MOSS, KATHLEEN							
		FAS FEES	B	0.00	-99.16	0.00	-99.16	50.0	-49.58
05/11/2017	75501796	MOSS, KATHLEEN							
		FAS FEES	B	0.00	99.16	0.00	99.16	50.0	49.58
05/15/2017	75501797	HERTEN, TIMOTHY							
		FAS FEES	B	0.00	52.76	0.00	52.76	50.0	26.38
05/15/2017	75501797	HERTEN, TIMOTHY							
		FAS FEES	B	0.00	-52.76	0.00	-52.76	50.0	-26.38
05/15/2017	75501799	HERTEN, TIMOTHY							
		FAS FEES	B	0.00	54.88	0.00	54.88	50.0	27.44
05/15/2017	75501799	HERTEN, TIMOTHY							
		FAS FEES	B	0.00	-54.88	0.00	-54.88	50.0	-27.44
05/11/2017	75501801	JOHN W SCHULTZ TTEE							
		FAS FEES	B	0.00	-167.66	0.00	-167.66	50.0	-83.83
05/11/2017	75501801	JOHN W SCHULTZ TTEE							
		FAS FEES	B	0.00	167.66	0.00	167.66	50.0	83.83
05/11/2017	75501802	MCELREAVEY, DANIEL							
		FAS FEES	B	0.00	-128.30	0.00	-128.30	50.0	-64.15
05/11/2017	75501802	MCELREAVEY, DANIEL							
		FAS FEES	B	0.00	128.30	0.00	128.30	50.0	64.15

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
05/11/2017	75501873	BESETH, DAVID	FAS FEES	B	0.00	217.66	0.00	217.66	50.0 108.83
05/11/2017	75501873	BESETH, DAVID	FAS FEES	B	0.00	-217.66	0.00	-217.66	50.0 -108.83
05/15/2017	75501875	RALPH G BARTO TRUST	FAS FEES	B	0.00	284.70	0.00	284.70	50.0 142.35
05/15/2017	75501875	RALPH G BARTO TRUST	FAS FEES	B	0.00	-284.70	0.00	-284.70	50.0 -142.35
05/11/2017	75501877	MORRIS WEISMAN TTEE	FAS FEES	B	0.00	1,040.27	0.00	1,040.27	50.0 520.14
05/11/2017	75501877	MORRIS WEISMAN TTEE	FAS FEES	B	0.00	-1,040.27	0.00	-1,040.27	50.0 -520.14
05/11/2017	75501878	MORRIS WEISMAN TTEE	FAS FEES	B	0.00	-106.14	0.00	-106.14	50.0 -53.07
05/11/2017	75501878	MORRIS WEISMAN TTEE	FAS FEES	B	0.00	106.14	0.00	106.14	50.0 53.07
05/11/2017	75501907	HESTON, EUGENE	FAS FEES	B	0.00	-201.73	0.00	-201.73	50.0 -100.87
05/11/2017	75501907	HESTON, EUGENE	FAS FEES	B	0.00	201.73	0.00	201.73	50.0 100.87
05/15/2017	75501922	HAFENMAIER, HELEN	FAS FEES	B	0.00	-126.77	0.00	-126.77	50.0 -63.39
05/15/2017	75501922	HAFENMAIER, HELEN	FAS FEES	B	0.00	126.77	0.00	126.77	50.0 63.39
05/11/2017	75501924	CUNNINGHAM, WENDY	FAS FEES	B	0.00	-178.96	0.00	-178.96	50.0 -89.48
05/11/2017	75501924	CUNNINGHAM, WENDY	FAS FEES	B	0.00	178.96	0.00	178.96	50.0 89.48
05/01/2017	75501932	CUNICELLI, EDWARD	FAS FEES	B	17.35	-17.35	0.00	-17.35	50.0 -8.68
05/15/2017	75501937	BERG, ELIZABETH	FAS FEES	B	0.00	-218.26	0.00	-218.26	50.0 -109.13
05/15/2017	75501937	BERG, ELIZABETH	FAS FEES	B	0.00	218.26	0.00	218.26	50.0 109.13
05/11/2017	75501944	EDWARD W CUNICELLI	FAS FEES	B	0.00	144.62	0.00	144.62	50.0 72.31
05/11/2017	75501944	EDWARD W CUNICELLI	FAS FEES	B	0.00	-144.62	0.00	-144.62	50.0 -72.31
05/02/2017	75501945	EDWARD W CUNICELLI	FAS FEES	B	64.29	-64.29	0.00	-64.29	50.0 -32.15
05/11/2017	75502025	THIEU, MINH	FAS FEES	B	0.00	-234.13	0.00	-234.13	50.0 -117.07
05/11/2017	75502025	THIEU, MINH	FAS FEES	B	0.00	234.13	0.00	234.13	50.0 117.07
05/15/2017	75502095	VERBITSKI, THOMAS	FAS FEES	B	0.00	426.15	0.00	426.15	50.0 213.08
05/15/2017	75502095	VERBITSKI, THOMAS	FAS FEES	B	0.00	-426.15	0.00	-426.15	50.0 -213.08
				<b>* RIA SUBTOTAL *</b>	<b>\$81.64</b>	<b>\$-81.64</b>	<b>\$0.00</b>	<b>\$-81.64</b>	<b>\$-40.83</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
05/09/2017	75501795	SPILLANE, TIMOTHY	FRANKLIN MODERATE ALLOCATION FD CL	B	0.00	0.64	0.00	0.64	50.0 0.00
05/09/2017	75501815	TAMBURRO, ALBERT	**JPMORGAN TR I	B	0.00	1.15	0.00	1.15	50.0 0.58
05/09/2017	75501874	ULIRCUIS, WILLIAM	MFS PENNSYLVANIA MUNICIPAL BOND FUN	B	0.00	0.29	0.00	0.29	50.0 0.00
05/03/2017	75501877	MORRIS WEISMAN TTEE	SSGA S&P 500 INDEX FUND	B	0.00	1.28	0.00	1.28	50.0 0.64
05/09/2017	75501877	MORRIS WEISMAN TTEE	BLACKROCK EQUITY DIVIDEND FUND CL A	B	0.00	6.98	0.00	6.98	50.0 3.49
05/09/2017	75501877	MORRIS WEISMAN TTEE	MFS EMERGING GROWTH FUND CLASS A	B	0.00	3.43	0.00	3.43	50.0 1.72
05/09/2017	75501877	MORRIS WEISMAN TTEE	OPPENHEIMER DEVELOPING MARKETS CLAS	B	0.00	3.19	0.00	3.19	50.0 1.60
05/09/2017	75501877	MORRIS WEISMAN TTEE	WELLS FARGO SPECIALIZED TECHNOLOGY	B	0.00	2.21	0.00	2.21	50.0 1.11
05/10/2017	75501877	MORRIS WEISMAN TTEE	DELAWARE EXTENDED DURATION BOND FUN	B	0.00	6.35	0.00	6.35	50.0 3.18
05/10/2017	75501877	MORRIS WEISMAN TTEE	DELAWARE SMALL CAP VALUE FUND A CLA	B	0.00	2.32	0.00	2.32	50.0 1.16
05/10/2017	75501878	MORRIS WEISMAN TTEE	DELAWARE EXTENDED DURATION BOND FUN	B	0.00	0.25	0.00	0.25	50.0 0.00
05/10/2017	75501878	MORRIS WEISMAN TTEE	DELAWARE SMALL CAP VALUE FUND A CLA	B	0.00	0.11	0.00	0.11	50.0 0.00
05/09/2017	75501900	STRICKLER, CHARLES	MAINSTAY FDS TR EPOCH US ALL CAP FD	B	0.00	2.31	0.00	2.31	50.0 1.16

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/09/2017	75502016	WEST, JEFFREY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.45	0.00	0.45	50.0	0.00
05/09/2017	75502016	WEST, JEFFREY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	50.0	0.00
05/09/2017	75502017	WEST, KELLY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.45	0.00	0.45	50.0	0.00
05/09/2017	75502017	WEST, KELLY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	50.0	0.00
05/09/2017	75502025	THIEU, MINH	**GABELLI EQUITY SERIES FUNDS	B	0.00	2.23	0.00	2.23	50.0	1.12
05/09/2017	75502025	THIEU, MINH	IVY INTERNATIONAL CORE EQUITY FUND B	B	0.00	0.52	0.00	0.52	50.0	0.00
05/10/2017	75502025	THIEU, MINH	DELAWARE EXTENDED DURATION BOND FUN B	B	0.00	0.86	0.00	0.86	50.0	0.00
05/10/2017	75502025	THIEU, MINH	DELAWARE SMALL CAP VALUE FUND A CLA B	B	0.00	0.33	0.00	0.33	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$35.65	\$0.00	\$35.65		\$15.76
*** BROKER TRANSACTIONS TOTAL ***					\$1,560,720.24	\$13.19	\$0.00	\$13.19		\$-25.07

BROKER COMMISSION TOTALS

Broker Transactions	\$-25.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.02
SIPC Assessment	\$-0.25

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-25.34
Year-to-date Earnings	\$3,786.51