

All Companies
STATEMENT
May 20, 2018

JOHN DAVENPORT
800 Connecticut Ave
Suite E401
Norwalk, CT 06854

BROKER #: 030
BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
03/01/0018 #922326161	SMITH, DONALD	LINCOLN CPP2H7	B	0.00	5,401.64	0.00	0.00	5,401.64	89.0	4,807.46
03/01/0018 #959151917	SCHENA, JAMES	LINCOLN AL2001	B	0.00	280.64	0.00	0.00	280.64	89.0	249.77
03/01/0018 #954023288	HAFFNER, SAUL	LINCOLN AL30HA	B	0.00	36.94	0.00	0.00	36.94	89.0	32.88
03/01/0018 #924457513	PEARLMAN, PENNY	LINCOLN CPP2H1	B	0.00	1,348.41	0.00	0.00	1,348.41	89.0	1,200.08
03/01/0018 #924457808	MINICUCCI, ROBERT	LINCOLN CPP2H1	B	0.00	332.97	0.00	0.00	332.97	89.0	296.34
03/01/0018 #924479958	MARX, GEORGE	LINCOLN CPP2H1	B	0.00	569.09	0.00	0.00	569.09	89.0	506.49
03/01/0018 #924521967	CARDUCCI, ANN-MARIE	LINCOLN CPP2H1	B	0.00	247.44	0.00	0.00	247.44	89.0	220.22
03/01/0018 #924523382	HANDWERGER, HAROLD	LINCOLN CPP2H1	B	0.00	141.93	0.00	0.00	141.93	89.0	126.32
03/01/0018 #924529277	LOW, RICHARD	LINCOLN CPP2H1	B	0.00	900.21	0.00	0.00	900.21	89.0	801.19
03/01/0018 #924529278	LOW, RICHARD	LINCOLN CPP2H1	B	0.00	842.20	0.00	0.00	842.20	89.0	749.56
03/01/0018 #924107080	CANTINE, EDWARD	LINCOLN CPPBH1	B	0.00	77.89	0.00	0.00	77.89	89.0	69.32
03/01/0018 #924107213	MENDLESON, BARRY	LINCOLN CPPBH1	B	0.00	794.82	0.00	0.00	794.82	89.0	707.39
03/01/0018 #924114054	GOLDSMITH, PETER	LINCOLN CPPBH1	B	0.00	452.92	0.00	0.00	452.92	89.0	403.10
03/01/0018 #924137413	MENDLESON, BARRY	LINCOLN CPPBH1	B	0.00	380.84	0.00	0.00	380.84	89.0	338.95
03/01/0018 #924137913	STARR, DENNIS	LINCOLN CPPBH1	B	0.00	368.95	0.00	0.00	368.95	89.0	328.37
03/01/0018 #924141947	CANTINE, EDWARD	LINCOLN CPPBH1	B	0.00	136.50	0.00	0.00	136.50	89.0	121.49
03/01/0018 #924144568	SABLE, JESSE	LINCOLN CPPBH1	B	0.00	229.02	0.00	0.00	229.02	89.0	203.83
03/01/0018 #924174104	MENDLESON, BARRY	LINCOLN CPPBH1	B	0.00	741.43	0.00	0.00	741.43	89.0	659.87
03/01/0018 #924174736	GERSON, ARNOLD	LINCOLN CPPBH1	B	0.00	276.58	0.00	0.00	276.58	89.0	246.16
03/01/0018 #924180700	MANNUCCIA,	LINCOLN CPPBH1	B	0.00	176.92	0.00	0.00	176.92	89.0	157.46
03/01/0018 #924301789	MANNUCCIA,	LINCOLN CPPBH1	B	0.00	285.95	0.00	0.00	285.95	89.0	254.50
03/01/0018 #924442681	WALSH, JOAN	LINCOLN CPPVH1	B	0.00	554.52	0.00	0.00	554.52	89.0	493.52
04/09/0018 #922353382	JENSEN, NEIL	LINCOLN CPP	B	150,000.00	10,500.00	0.00	0.00	10,500.00	89.0	9,345.00
03/30/0201 #015952694	SABLE, JESSE	NATIONWIDE DESTINATION	B	0.00	46.66	0.00	0.00	46.66	89.0	41.53
03/30/0201 #015933049	CANTINE, FRANCESCA	NATIONWIDE DESTINATION	B	0.00	48.66	0.00	0.00	48.66	89.0	43.31
03/30/0201 #015933377	CANTINE, EDWARD	NATIONWIDE DESTINATION	B	0.00	315.12	0.00	0.00	315.12	89.0	280.46
03/30/0201 #015942398	GERSON, ARNOLD	NATIONWIDE DESTINATION	B	0.00	117.24	0.00	0.00	117.24	89.0	104.34
03/30/0201 #015944479	GOLDSMITH, PETER	NATIONWIDE DESTINATION	B	0.00	167.29	0.00	0.00	167.29	89.0	148.89
03/31/2018 #1200275344	CASSELS,	PERSP REWARDS	B	536,261.51	111.72	0.00	0.00	111.72	89.0	99.43
03/31/2018 #1200434590	GOLDSMITH, PETER	PERSP REWARDS	B	223,829.99	46.63	0.00	0.00	46.63	89.0	41.50
03/31/2018 #1200286079	SIEGEL, NURIT	PERSP REWARDS	B	309,494.77	64.48	0.00	0.00	64.48	89.0	57.39

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<u>BROKER TRANSACTIONS</u>										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/31/2018	#1010814975	ARTMAN HODGE,	PERSP REWARDS	B	507,819.46	148.11	0.00	0.00	148.11	89.0 131.82
03/31/2018	#1011996341	CARDUCCI,	PERSP REWARDS	B	433,065.93	126.31	0.00	0.00	126.31	89.0 112.42
03/31/2018	#1009751552	HARMAN, JAMES	PERSP REWARDS	B	1,140,772.60	332.73	0.00	0.00	332.73	89.0 296.13
03/31/2018	#1010820258	HODGE, JAMES	PERSP REWARDS	B	315,343.74	91.98	0.00	0.00	91.98	89.0 81.86
03/31/2018	#1010209702	SANDBERG, STUART	PERSP REWARDS	B	204,227.32	59.57	0.00	0.00	59.57	89.0 53.02
03/31/2018	#1011235413	STARR, MICHELE	PERSP REWARDS	B	141,079.90	41.15	0.00	0.00	41.15	89.0 36.62
03/31/2018	#1011501482	YOUNG, ROGER	PERSP REWARDS	B	199,161.92	58.09	0.00	0.00	58.09	89.0 51.70
03/31/2018	#029017881A	FRAIR, JULE	PRPS II (0505)	B	132,343.39	55.14	0.00	0.00	55.14	89.0 49.07
03/31/2018	#029017936A	FRAIR, JULE	PRPS II 0505 RP	B	15,484.68	6.45	0.00	0.00	6.45	89.0 5.74
04/02/2018	#1101059550	WALSH, JOAN	BRIGHTOUSE FINANCIAL	B	0.00	139.04	0.00	0.00	139.04	89.0 123.75
04/02/2018	#1101415414	MENDLESON, BARRY	BRIGHTOUSE FINANCIAL	B	0.00	95.36	0.00	0.00	95.36	89.0 84.87
04/02/2018	#1101416008	SCENA, JAMES	BRIGHTOUSE FINANCIAL	B	0.00	328.95	0.00	0.00	328.95	89.0 292.77
04/02/2018	#1101416016	MENDLESON, BARRY	BRIGHTOUSE FINANCIAL	B	0.00	203.01	0.00	0.00	203.01	89.0 180.68
04/02/2018	#1101418806	STARR, DENNIS	BRIGHTOUSE FINANCIAL	B	0.00	54.38	0.00	0.00	54.38	89.0 48.40
04/02/2018	#1101419457	HALL	BRIGHTOUSE FINANCIAL	B	0.00	11.50	0.00	0.00	11.50	89.0 10.24
04/02/2018	#1101420760	STARR, DENNIS	BRIGHTOUSE FINANCIAL	B	0.00	60.20	0.00	0.00	60.20	89.0 53.58
04/02/2018	#1101423368	SCENA, JAMES	BRIGHTOUSE FINANCIAL	B	0.00	108.34	0.00	0.00	108.34	89.0 96.42
04/02/2018	#1101510321	CARDUCCI,	BRIGHTOUSE FINANCIAL	B	0.00	82.72	0.00	0.00	82.72	89.0 73.62
04/02/2018	#1101512913	CARDUCCI,	BRIGHTOUSE FINANCIAL	B	0.00	53.81	0.00	0.00	53.81	89.0 47.89
04/02/2018	#3202605060	MARX, GEORGE	BRIGHTOUSE FINANCIAL	B	0.00	399.63	0.00	0.00	399.63	89.0 355.67
04/06/2018	#306665230	STOWELL, RONNIE	AXA	B	0.00	145.01	0.00	0.00	145.01	89.0 129.06
04/06/2018	#306667830	STOWELL, JAMES	AXA	B	0.00	118.50	0.00	0.00	118.50	89.0 105.47
04/06/2018	#306675375	MANNUCCIA,	AXA	B	0.00	142.19	0.00	0.00	142.19	89.0 126.55
04/06/2018	#306675378	MANNUCCIA, JANET	AXA	B	0.00	122.98	0.00	0.00	122.98	89.0 109.45
04/06/2018	#307666433	GOLDSMITH, PETER	AXA	B	0.00	336.98	0.00	0.00	336.98	89.0 299.91
04/17/2018	#1016961767		PERSP II 04/14	B	5,500.00	385.00	0.00	0.00	385.00	89.0 342.65
04/17/2018	#1016971304		PERSP II 04/14	B	5,500.00	385.00	0.00	0.00	385.00	89.0 342.65
04/18/2018	#1014477868	HARMAN, JAMES	PERSP II 09/12	B	47,512.00	3,563.40	0.00	0.00	3,563.40	89.0 3,171.43
04/30/2018	#1010814975	ARTMAN HODGE,	PERSP REWARDS	B	522,708.61	152.46	0.00	0.00	152.46	89.0 135.69
04/30/2018	#1011996341	CARDUCCI,	PERSP REWARDS	B	449,223.53	131.02	0.00	0.00	131.02	89.0 116.61
04/30/2018	#1009751552	HARMAN, JAMES	PERSP REWARDS	B	1,183,334.60	345.14	0.00	0.00	345.14	89.0 307.17
04/30/2018	#1010820258	HODGE, JAMES	PERSP REWARDS	B	323,908.23	94.47	0.00	0.00	94.47	89.0 84.08
04/30/2018	#1010209702	SANDBERG, STUART	PERSP REWARDS	B	211,847.00	61.79	0.00	0.00	61.79	89.0 54.99
04/30/2018	#1011235413	STARR, MICHELE	PERSP REWARDS	B	145,157.02	42.34	0.00	0.00	42.34	89.0 37.68
04/30/2018	#1011501482	YOUNG, ROGER	PERSP REWARDS	B	206,592.60	60.26	0.00	0.00	60.26	89.0 53.63
* VARIABLE ANNUITIES SUBTOTAL *					\$7,410,168.80	\$34,538.62	\$0.00	\$0.00	\$34,538.62	\$30,739.41

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
04/10/2018 #	ANTON, KLEANTHES	DAIN TRAILER	B	0.00	0.64	0.00	0.00	0.64	89.0	0.57
04/10/2018 #	FLORIO, EMILY	DAIN TRAILER	B	0.00	24.01	0.00	0.00	24.01	89.0	21.37
04/10/2018 #	FLORIO, EMILY	DAIN TRAILER	B	0.00	23.53	0.00	0.00	23.53	89.0	20.94
04/10/2018 #	DAVENPORT, ANDEW	DAIN TRAILER	B	0.00	2.69	0.00	0.00	2.69	89.0	2.39
04/20/2018 #	CAROLE R ARTMAN	DAIN TRAILER	B	0.00	6.87	0.00	0.00	6.87	89.0	6.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$57.74	\$0.00	\$0.00	\$57.74		\$51.38
*** BROKER TRANSACTIONS TOTAL ***				\$7,410,168.80	\$34,596.36	\$0.00	\$0.00	\$34,596.36		\$30,790.79

ADJUSTMENTS

05/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	ADVANCE: ADVANCE APRIL ADVANCE 4/27	-6,600.86
05/20/2018	ADVANCE: ADVANCE APRIL ADVANCE 4/18	-6,475.09
05/20/2018	ADVANCE: ADVANCE MARCH ADVANCE 4/6	-816.32
05/20/2018	FEDEX: FEDEX	-7.27
05/20/2018	FEDEX: FEDEX 3/28	-7.23
05/20/2018	FEDEX: FEDEX 4/10	-6.77
05/20/2018	FEDEX: FEDEX 4/11	-12.57
05/20/2018	FEDEX: FEDEX 4/12	-11.17
05/20/2018	FEDEX: FEDEX 4/14	-6.77
05/20/2018	FEDEX: FEDEX 4/16	-12.57
05/20/2018	FEDEX: FEDEX 4/16	-28.13
05/20/2018	FEDEX: FEDEX 4/16	-10.29
05/20/2018	FEDEX: FEDEX 4/17	-10.29
05/20/2018	FEDEX: FEDEX 4/17	-10.29
05/20/2018	FEDEX: FEDEX 4/17	-11.17
05/20/2018	FEDEX: FEDEX 4/18	-7.27
05/20/2018	FEDEX: FEDEX 4/19	-12.57
05/20/2018	FEDEX: FEDEX 4/2	-6.66
05/20/2018	FEDEX: FEDEX 4/27	-15.50
05/20/2018	FEDEX: FEDEX 4/3	-6.76
05/20/2018	FEDEX: FEDEX 4/4	-6.76
05/20/2018	FEDEX: FEDEX 4/6	-11.92
05/20/2018	FEDEX: FEDEX 4/6	-11.05
05/20/2018	FEDEX: FEDEX 4/7	-68.94
05/20/2018	OTHER: OTHER ADVANCE PUT INTO	-6,475.10
	ESCROW 4/19	

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ADJUSTMENTS

05/20/2018		OTHER: OTHER ADVANCE PUT INTO								-6,600.86
		ESCROW 4/27								
		*** ADJUSTMENTS TOTAL ***								\$-27,994.18

BROKER COMMISSION TOTALS

Broker Transactions	\$30,790.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27,994.18
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,059.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$736.72