



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
Page No. 1

JACK MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	-5,095.52	22.00	22.00	0.00	0.0	0.00
11/06/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	0.00
11/02/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	-5,096.01	22.00	22.00	0.00	0.0	0.00
11/06/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	0.00
11/06/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-9,436.53	\$51.55	\$80.00	\$-28.45		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
11/06/2017	LORENTZ, ANDREW	COTTONWOOD MULTIFAMILY REIT II INC	10,000.00	0.00	0.00	0.00	0.0	0.00
11/02/2017	HAGER, PETER	POWERSHARES QQQ TR UNIT SER 1	5,004.33	50.00	22.50	27.50	0.0	0.00
* STOCKS SUBTOTAL *			\$15,004.33	\$50.00	\$22.50	\$27.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$5,567.80	\$101.55	\$102.50	\$-0.95		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/06/2017	BAARTS, HAROLD	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
10/10/2017	GOFF, WESLEY	COTTONWOOD MULTIFAMILY REIT II	30,000.00	1,800.00	0.00	1,800.00	0.0	0.00
* REIT SUBTOTAL *			\$30,000.00	\$1,800.00	\$0.00	\$1,800.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/03/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	0.00
10/31/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
10/31/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
10/31/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/31/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
10/31/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	94.68	0.95	0.00	0.95	0.0	0.00
10/31/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	94.68	0.95	0.00	0.95	0.0	0.00
10/31/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.65	0.51	0.00	0.51	0.0	0.00
10/31/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.65	0.51	0.00	0.51	0.0	0.00
11/02/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	0.0	0.00
11/02/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.96	0.00	5.96	0.0	0.00
11/02/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	25.55	0.00	25.55	0.0	0.00
11/02/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	22.23	0.00	22.23	0.0	0.00
11/02/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	39.93	0.00	39.93	0.0	0.00
11/02/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	39.93	0.00	39.93	0.0	0.00
11/02/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.77	0.00	5.77	0.0	0.00
11/02/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.72	0.00	17.72	0.0	0.00
11/02/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	6.01	0.00	6.01	0.0	0.00
11/02/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	0.00
11/02/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.04	0.00	17.04	0.0	0.00
11/02/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	52.35	0.00	52.35	0.0	0.00
11/02/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.60	0.00	1.60	0.0	0.00
11/02/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	45.21	0.00	45.21	0.0	0.00
11/02/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.17	0.00	7.17	0.0	0.00
11/02/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.19	0.00	8.19	0.0	0.00
11/02/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	0.0	0.00
11/02/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.72	0.00	2.72	0.0	0.00
11/02/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	3.79	0.00	3.79	0.0	0.00
11/02/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	18.95	0.00	18.95	0.0	0.00
11/02/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	18.22	0.00	18.22	0.0	0.00
11/02/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.96	0.00	11.96	0.0	0.00
11/02/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.24	0.00	2.24	0.0	0.00
11/02/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.27	0.00	2.27	0.0	0.00
11/02/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	0.0	0.00
11/02/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.76	0.00	3.76	0.0	0.00
11/02/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.17	0.00	1.17	0.0	0.00
11/02/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.93	0.00	5.93	0.0	0.00
11/02/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.71	0.00	21.71	0.0	0.00
11/02/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.83	0.00	9.83	0.0	0.00
11/02/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.22	0.00	5.22	0.0	0.00
11/02/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.98	0.00	3.98	0.0	0.00
11/02/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.33	0.00	8.33	0.0	0.00
11/02/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.19	0.00	2.19	0.0	0.00
11/02/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.74	0.00	8.74	0.0	0.00
11/02/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	3.40	0.00	3.40	0.0	0.00
11/02/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.69	0.00	13.69	0.0	0.00
11/02/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.05	0.00	16.05	0.0	0.00
11/02/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.65	0.00	0.65	0.0	0.00
11/02/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.91	0.00	4.91	0.0	0.00
11/02/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.92	0.00	1.92	0.0	0.00
11/02/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.89	0.00	2.89	0.0	0.00
11/02/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	0.00
11/02/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.13	0.00	3.13	0.0	0.00
11/02/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.97	0.00	0.97	0.0	0.00
11/02/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.46	0.00	4.46	0.0	0.00
11/02/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.89	0.00	9.89	0.0	0.00
11/02/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.76	0.00	16.76	0.0	0.00
11/03/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	0.00
11/03/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	0.00
11/03/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
11/03/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
10/23/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	1,000.00	10.00	0.00	10.00	0.0	0.00
11/02/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.78	0.00	6.78	0.0	0.00
11/02/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.40	0.00	3.40	0.0	0.00
11/02/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.23	0.00	5.23	0.0	0.00
11/02/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	47.95	0.00	47.95	0.0	0.00
11/02/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	4.28	0.00	4.28	0.0	0.00
11/02/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.06	0.00	7.06	0.0	0.00
11/02/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.34	0.00	1.34	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/02/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.43	0.00	2.43	0.0	0.00
11/02/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	10.30	0.00	10.30	0.0	0.00
11/02/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.83	0.00	6.83	0.0	0.00
11/02/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.08	0.00	3.08	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,153.18	\$639.38	\$0.00	\$639.38		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/27/2017	JEWISON, KIM	JNL PERSPECTIVE II 04/14	65.16	1.30	0.00	1.30	0.0	0.00
10/31/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	175,529.66	36.56	0.00	36.56	0.0	0.00
10/31/2017	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	159,671.84	33.26	0.00	33.26	0.0	0.00
10/31/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	35,010.99	7.29	0.00	7.29	0.0	0.00
10/31/2017	COLBY, TODD	JNL PERSPECTIVE II 04/14	203,342.21	42.36	0.00	42.36	0.0	0.00
10/31/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,389.33	6.99	0.00	6.99	0.0	0.00
10/31/2017	PETERSON, IONE	JNL PERSPECTIVE L	130,402.32	141.26	0.00	141.26	0.0	0.00
10/31/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	117,167.29	97.64	0.00	97.64	0.0	0.00
10/31/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	210,348.46	175.29	0.00	175.29	0.0	0.00
10/31/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	9,244.85	7.70	0.00	7.70	0.0	0.00
10/31/2017	HOL, PATRICIA	JNL PERSPECTIVE L	128,938.79	107.44	0.00	107.44	0.0	0.00
10/31/2017	HAGER, REBECCA	JNL PERSPECTIVE L	64,390.10	53.66	0.00	53.66	0.0	0.00
10/31/2017	MARSH, JAMES	JNL PERSPECTIVE L	39,302.18	32.75	0.00	32.75	0.0	0.00
10/31/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	139,686.60	116.41	0.00	116.41	0.0	0.00
10/31/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	304,239.44	253.53	0.00	253.53	0.0	0.00
10/31/2017	HART, JILLANE	JNL PERSPECTIVE L	98,456.29	82.04	0.00	82.04	0.0	0.00
10/31/2017	HART, KEVIN	JNL PERSPECTIVE L	291,056.97	242.54	0.00	242.54	0.0	0.00
10/31/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,989.85	20.82	0.00	20.82	0.0	0.00
10/31/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,834.76	32.36	0.00	32.36	0.0	0.00
10/25/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	0.00
10/25/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$2,179,167.09	\$1,496.20	\$0.00	\$1,496.20		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/23/2017	PROKOP, MATTHEW	LINCOLN LIFE	1,200.00	45.00	0.00	45.00	0.0	0.00
11/05/2017	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	4.00	0.00	4.00	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,200.00	\$49.00	\$0.00	\$49.00		\$0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/19/2017	PEMBLE, JOCELYN	AM FUNDS AMCAP 529A	0.00	0.34	0.00	0.34	0.0	0.00
10/19/2017	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	0.0	0.00
10/13/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.61	0.00	14.61	0.0	0.00
10/13/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	43.84	0.00	43.84	0.0	0.00
10/16/2017	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.74	0.00	4.74	0.0	0.00
10/30/2017	ATKINSON, VONDA	INVESCO INTERNATIONAL GROWTH A	0.00	40.53	0.00	40.53	0.0	0.00
10/30/2017	ATKINSON, VONDA	INVESCO MIDCAP GROWTH A	0.00	17.63	0.00	17.63	0.0	0.00
10/13/2017	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.05	0.00	0.05	0.0	0.00
10/13/2017	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.00
10/13/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	0.0	0.00
10/13/2017	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.27	0.00	0.27	0.0	0.00
10/13/2017	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.39	0.00	0.39	0.0	0.00
10/13/2017	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.62	0.00	2.62	0.0	0.00
10/13/2017	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.00
10/16/2017	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	11.47	0.00	11.47	0.0	0.00
10/16/2017	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	36.06	0.00	36.06	0.0	0.00
10/16/2017	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.29	0.00	4.29	0.0	0.00
10/16/2017	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	11.48	0.00	11.48	0.0	0.00
10/19/2017	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	0.0	0.00
10/18/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.51	0.00	2.51	0.0	0.00
10/18/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	2.25	0.00	2.25	0.0	0.00
10/18/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	5.39	0.00	5.39	0.0	0.00
10/18/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	3.55	0.00	3.55	0.0	0.00
10/18/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	2.19	0.00	2.19	0.0	0.00
10/18/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	5.44	0.00	5.44	0.0	0.00
10/18/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	3.56	0.00	3.56	0.0	0.00
10/18/2017	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	9.65	0.00	9.65	0.0	0.00
10/18/2017	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.83	0.00	0.83	0.0	0.00
10/13/2017	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	22.74	0.00	22.74	0.0	0.00
10/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.77	0.00	2.77	0.0	0.00
10/16/2017	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.75	0.00	3.75	0.0	0.00
10/16/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.31	0.00	2.31	0.0	0.00
10/16/2017	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	4.90	0.00	4.90	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/16/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	3.79	0.00	3.79	0.0	0.00
10/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.03	0.00	18.03	0.0	0.00
10/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	14.97	0.00	14.97	0.0	0.00
10/16/2017	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	30.87	0.00	30.87	0.0	0.00
10/16/2017	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.63	0.00	0.63	0.0	0.00
10/16/2017	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.59	0.00	0.59	0.0	0.00
10/16/2017	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.42	0.00	0.42	0.0	0.00
10/16/2017	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.37	0.00	0.37	0.0	0.00
10/16/2017	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.38	0.00	1.38	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$331.99	\$0.00	\$331.99		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$2,262,520.27	\$7,816.57	\$0.00	\$7,816.57		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$204,775.43
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed