



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2017

04/27/2017
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L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^04/10/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.56	0.00	44.56	90.0	0.00
^03/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	131.12	0.00	131.12	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$175.68	\$0.00	\$175.68		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^04/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^04/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	18.75	0.00	18.75	90.0	0.00
^04/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	18.75	0.00	18.75	90.0	0.00
^04/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	8.75	0.00	8.75	90.0	0.00
^04/17/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	200.00	9.50	0.00	9.50	90.0	0.00
^ / /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.94	0.00	0.94	90.0	0.00
^ / /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.12	0.00	1.12	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	2.06	0.00	2.06	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	20.75	0.00	20.75	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	14.96	0.00	14.96	90.0	0.00
^04/21/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^04/21/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^ / /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.02	0.00	0.02	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	4.75	0.00	4.75	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.11	0.00	3.11	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.09	0.00	3.09	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	16.25	0.00	16.25	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.42	0.00	0.42	90.0	0.00
^04/21/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.34	0.00	4.34	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.42	0.00	0.42	90.0	0.00
^04/21/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^ / /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.90	0.00	0.90	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	4.32	0.00	4.32	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.90	0.00	0.90	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.24	0.00	1.24	90.0	0.00
^04/21/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	2.23	0.00	2.23	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.29	0.00	1.29	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.29	0.00	1.29	90.0	0.00
^04/21/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^04/21/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^04/21/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.49	0.00	3.49	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	1.92	0.00	1.92	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.10	0.00	0.10	90.0	0.00
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	2.02	0.00	2.02	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,455.00	\$159.74	\$0.00	\$159.74		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,455.00	\$335.42	\$0.00	\$335.42		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/17/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
04/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	5.63	0.00	5.63	90.0	5.07
04/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	5.63	0.00	5.63	90.0	5.07
04/17/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	2.63	0.00	2.63	90.0	2.37
04/17/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	200.00	2.85	0.00	2.85	90.0	2.57
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.34	0.00	0.34	90.0	0.31
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.62	0.00	0.62	90.0	0.56
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	6.23	0.00	6.23	90.0	5.61
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	4.49	0.00	4.49	90.0	4.04
04/21/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
04/21/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.43	0.00	1.43	90.0	1.29
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.93	0.00	0.93	90.0	0.84
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.93	0.00	0.93	90.0	0.84
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	4.88	0.00	4.88	90.0	4.39
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.13	0.00	0.13	90.0	0.12
04/21/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.30	0.00	1.30	90.0	1.17
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.13	0.00	0.13	90.0	0.12
04/21/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.27	0.00	0.27	90.0	0.24
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.30	0.00	1.30	90.0	1.17
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.27	0.00	0.27	90.0	0.24
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.37	0.00	0.37	90.0	0.33
04/21/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.67	0.00	0.67	90.0	0.60
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.39	0.00	0.39	90.0	0.35
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.39	0.00	0.39	90.0	0.35
04/21/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
04/21/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
04/21/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
04/10/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.37	0.00	13.37	90.0	12.03
03/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	39.34	0.00	39.34	90.0	35.41
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.05	0.00	1.05	90.0	0.95
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.58	0.00	0.58	90.0	0.52
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.61	0.00	0.61	90.0	0.55
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,455.00	\$100.71	\$0.00	\$100.71		\$90.67
*** SPLIT TRANSACTIONS TOTAL ***			\$1,455.00	\$100.71	\$0.00	\$100.71		\$90.67

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$90.67
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$79.07
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$169.74
Year-to-date Gross	\$499.64
Year-to-date Earnings	\$420.20