



# COMMISSION STATEMENT

## May 07, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/30/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	99.99	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.6900						
04/30/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,324.99	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 90 Units @14.6900						
04/30/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.01	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.6900						
04/23/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.8800						
04/23/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.8800						
04/23/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.8800						
04/23/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.8800						
04/23/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	23.81	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 04000627501	BUY 1 Units @28.1700						
04/23/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	23.81	0.89	0.00	0.89	80.0	0.71
	ACCOUNT #: 04000627501	BUY 1 Units @28.1700						
04/25/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	14.44	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: 04000812765	BUY 1 Units @28.3400						
04/26/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4400						
04/26/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4400						
04/26/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4400						
04/26/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4400						
04/26/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4400						
04/26/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4400						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/26/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.4400						
04/26/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.4400						
05/01/2018	***-**-524X - CONLEY, WILLIAM	AMERICAN BALANCED FUND-C	175.01	10.75	18.00	-7.25	100.0	-7.25
	ACCOUNT #: LSR012483	BUY 7 Units @26.6300						
04/23/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.9700						
04/23/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.41	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 00087513340	BUY 2 Units @12.9700						
04/25/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.2700						
04/30/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.00	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 86 Units @14.2100						
04/30/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	799.99	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 56 Units @14.2100						
04/30/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @14.2100						
04/23/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.5500						
04/23/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.5500						
04/25/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @15.7800						
04/23/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	27.85	1.04	0.00	1.04	80.0	0.83
	ACCOUNT #: 04000627489	BUY 2 Units @16.1300						
04/23/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.1300						
04/25/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 19 Units @16.1800						
04/25/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.1800						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/23/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.8900	45.41	1.25	0.00	1.25	80.0	1.00
04/23/2018	***-**-945P - MORIARTY, ACCOUNT #: 00081526588	AMERICAN FUNDS 2050 TARGET DATE-A BUY 12 Units @15.8900	187.00	5.14	0.00	5.14	80.0	4.11
04/23/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @15.8900	50.30	1.38	0.00	1.38	80.0	1.10
04/23/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @15.8900	100.00	2.75	0.00	2.75	80.0	2.20
04/26/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.8000	75.00	1.20	0.00	1.20	80.0	0.96
04/26/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @12.8000	75.00	1.20	0.00	1.20	80.0	0.96
04/23/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0700	25.00	0.25	0.00	0.25	80.0	0.20
04/23/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @15.0700	22.20	0.22	0.00	0.22	80.0	0.18
04/23/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.0700	240.50	2.41	0.00	2.41	80.0	1.93
04/23/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.0700	66.96	0.67	0.00	0.67	80.0	0.54
04/23/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.0700	45.00	0.45	0.00	0.45	80.0	0.36
04/23/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0700	33.04	0.33	0.00	0.33	80.0	0.26
05/01/2018	***-**-102I - DIPAOLO, EVELYN ACCOUNT #: LSR011455	T ROWE PRICE RET 2020 FUND BUY 333 Units @22.5100	7,499.99	3.00	3.00	0.00	80.0	0.00
04/26/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5500	30.00	0.48	0.00	0.48	80.0	0.38
04/26/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5500	30.00	0.48	0.00	0.48	80.0	0.38
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$14,995.17</b>	<b>\$154.77</b>	<b>\$39.00</b>	<b>\$115.77</b>		<b>\$89.93</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
05/07/2018	***-**-235I - DASILVA, BRANDON CHET ADVISOR 529 TRAILS		0.00	92.86	0.00	92.86	80.0	74.29
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$92.86</b>	<b>\$0.00</b>	<b>\$92.86</b>		<b>\$74.29</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/07/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	71.02	0.00	71.02	80.0	56.82
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
05/07/2018	***-**-8503 - DEPINHO, MARY	SAMMONS MF TRAILS	0.00	30.63	0.00	30.63	80.0	24.50
	ACCOUNT #: 7200004964	BUY 0 Units @0.0000						
05/07/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	12.73	0.00	12.73	80.0	10.18
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
05/07/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	34.72	0.00	34.72	80.0	27.78
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$149.10</b>	<b>\$0.00</b>	<b>\$149.10</b>		<b>\$119.28</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/30/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	33.87	0.00	33.87	80.0	27.10
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
04/30/2018	***-**-3912 - LAFUENTE, CARLOS MASS MUTUAL VA DTCC TRAILS		0.00	197.75	0.00	197.75	80.0	158.20
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$231.62</b>	<b>\$0.00</b>	<b>\$231.62</b>		<b>\$185.30</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$14,995.17</b>	<b>\$628.35</b>	<b>\$39.00</b>	<b>\$589.35</b>		<b>\$468.80</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$468.80
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$468.80
						Year-to-date Earnings		\$33,926.93