



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**April 30, 2017**

04/27/2017  
Page No. 1

JOEL WOODS  
1412 Blue Quail Run  
  
Crowley, TX 76036-

BROKER #: W143  
BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
04/03/2017	KLUCHER, WILLIAM	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,220.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
03/31/2017	SHERRIEB, HAROLD	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	184.93	0.00	184.93	92.0	170.14
		* REIT SUBTOTAL *	\$0.00	\$184.93	\$0.00	\$184.93		\$170.14
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
04/10/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	90.0	0.14
04/10/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.80	0.00	0.80	90.0	0.72
04/10/2017	LYONS, DAVID	BLUEROCK TOTAL INC & REAL ESTATE FU	3,000.00	30.00	0.00	30.00	90.0	27.00
04/10/2017	LYONS, LORA	BLUEROCK TOTAL INC & REAL ESTATE FU	19,500.00	195.00	0.00	195.00	90.0	175.50
		* INTERVAL FUNDS SUBTOTAL *	\$22,500.00	\$225.95	\$0.00	\$225.95		\$203.36
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/17/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	4,000.00	170.00	0.00	170.00	90.0	153.00
04/17/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	4,000.00	170.00	0.00	170.00	90.0	153.00
04/10/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	90.0	2.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	4,000.00	170.00	0.00	170.00	90.0	153.00
04/17/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	4,000.00	170.00	0.00	170.00	90.0	153.00
04/13/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
04/13/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
04/13/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
04/13/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
04/13/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
04/13/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
		* MUTUAL FUNDS SUBTOTAL *	\$16,450.00	\$686.50	\$0.00	\$686.50		\$617.86

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
04/18/2017	KLUCHER, WILLIAM	AXA SABSHRSTRU CAPITAL ST B	5,000.00	250.00	0.00	250.00	90.0	225.00
* VARIABLE ANNUITIES SUBTOTAL *			\$5,000.00	\$250.00	\$0.00	\$250.00		\$225.00
*** BROKER TRANSACTIONS TOTAL ***			\$93,950.00	\$4,847.38	\$0.00	\$4,847.38		\$4,436.36

<b><u> OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
04/10/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.36	0.00	0.36	49.0	0.15
04/17/2017	RADER, LLOYD	COTTONWOOD MULTIFAMILY REIT I-REG A	40,000.00	2,400.00	0.00	2,400.00	49.0	1,032.00
04/03/2017	WISE TRUST, LUCILE	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	49.0	1,505.00
* MARK-S142 ALTS SMITH SUBTOTAL *			\$90,000.00	\$5,900.36	\$0.00	\$5,900.36		\$2,537.15
*** OVERRIDE TRANSACTIONS TOTAL ***			\$90,000.00	\$5,900.36	\$0.00	\$5,900.36		\$2,537.15

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$4,436.36
Split Transactions	\$0.00
Override Transactions	\$2,537.15
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,973.51
Year-to-date Gross	\$26,710.71
Year-to-date Earnings	\$59,962.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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