

COMMISSION STATEMENT

June 30, 2018

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: I19 K1H R70

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/25/2018	75501159	EISBERG, JAMES		MATTHEWS ASIA DIVIDEND FUND	S	20,552.40	25.00	10.00	15.00	80.0	12.00
				* MUTUAL FUNDS SUBTOTAL *		\$20,552.40	\$25.00	\$10.00	\$15.00		\$12.00

PRODUCT CATEGORY: STOCKS

05/31/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	67,735.00	0.00	10.00	-10.00	80.0	-8.00
06/01/2018	75500508	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	68,400.00	0.00	10.00	-10.00	80.0	-8.00
05/30/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	144,360.00	0.00	10.00	-10.00	80.0	-8.00
05/30/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	72,180.00	0.00	10.00	-10.00	80.0	-8.00
05/30/2018	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR PROSHARES ULTRASHORT T	S	21,768.00	0.00	10.00	-10.00	80.0	-8.00
05/31/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	71,688.00	0.00	10.00	-10.00	80.0	-8.00
05/31/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	143,376.00	0.00	10.00	-10.00	80.0	-8.00
05/31/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	B	29,796.00	0.00	10.00	-10.00	80.0	-8.00
05/31/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	B	97,538.40	0.00	10.00	-10.00	80.0	-8.00
06/01/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	144,360.00	0.00	10.00	-10.00	80.0	-8.00
06/01/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	144,360.00	0.00	10.00	-10.00	80.0	-8.00
06/01/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	49,248.00	0.00	10.00	-10.00	80.0	-8.00
06/04/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES 20 PLUS YEAR TREASURY BOND	B	71,625.00	0.00	10.00	-10.00	80.0	-8.00
06/04/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	145,224.00	0.00	10.00	-10.00	80.0	-8.00
06/04/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	72,612.00	0.00	10.00	-10.00	80.0	-8.00
06/04/2018	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR TRUST SBI CONSUMER STAP	S	30,042.00	0.00	10.00	-10.00	80.0	-8.00
06/05/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES 20 PLUS YEAR TREASURY BOND	S	71,826.00	0.00	10.00	-10.00	80.0	-8.00
06/05/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	72,480.00	0.00	10.00	-10.00	80.0	-8.00
06/05/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	144,960.00	0.00	10.00	-10.00	80.0	-8.00
06/06/2018	75500514	HAMILTON, CHRISTOPHER	SPDR S&P 500 ETF TRUST	S	49,932.00	0.00	10.00	-10.00	80.0	-8.00
06/07/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	145,795.92	0.00	10.00	-10.00	80.0	-8.00
06/07/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	72,792.00	0.00	10.00	-10.00	80.0	-8.00
06/08/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	73,008.00	0.00	10.00	-10.00	80.0	-8.00
06/08/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	S	146,016.00	0.00	10.00	-10.00	80.0	-8.00
06/08/2018	75500514	HAMILTON, CHRISTOPHER	SELECT SECTOR SPDR TRUST THE UTILIT	B	34,083.00	0.00	10.00	-10.00	80.0	-8.00
06/11/2018	75500514	HAMILTON, CHRISTOPHER	ISHARES INC ISHARES MSCI JAPAN ETF	B	146,472.00	0.00	10.00	-10.00	80.0	-8.00
06/11/2018	75500514	HAMILTON, CHRISTOPHER	SELECT SECTOR SPDR TRUST THE UTILIT	S	34,023.50	0.00	10.00	-10.00	80.0	-8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,921.96	0.00	10.00	-10.00	80.0	-8.00
06/13/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,840.00	0.00	10.00	-10.00	80.0	-8.00
06/14/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,536.00	0.00	10.00	-10.00	80.0	-8.00
06/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	144,768.00	0.00	10.00	-10.00	80.0	-8.00
06/15/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,384.00	0.00	10.00	-10.00	80.0	-8.00
06/15/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	29,189.60	0.00	10.00	-10.00	80.0	-8.00
06/15/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	29,418.40	0.00	10.00	-10.00	80.0	-8.00
06/18/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	71,856.00	0.00	10.00	-10.00	80.0	-8.00
06/19/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	50,737.00	0.00	10.00	-10.00	80.0	-8.00
06/19/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	51,060.00	0.00	10.00	-10.00	80.0	-8.00
06/20/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	141,144.00	0.00	10.00	-10.00	80.0	-8.00
06/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	140,166.72	0.00	10.00	-10.00	80.0	-8.00
06/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	69,636.00	0.00	10.00	-10.00	80.0	-8.00
06/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	139,272.00	0.00	10.00	-10.00	80.0	-8.00
06/27/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	70,080.00	0.00	10.00	-10.00	80.0	-8.00
06/27/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	14,278.64	0.00	10.00	-10.00	80.0	-8.00
05/31/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	97,538.40	50.00	10.00	40.00	80.0	32.00
06/01/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	98,496.00	50.00	10.00	40.00	80.0	32.00
06/14/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	106,800.00	420.00	10.00	410.00	80.0	328.00
06/14/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	-106,800.00	-420.00	-10.00	-410.00	80.0	-328.00 C
06/14/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	106,800.00	50.00	10.00	40.00	80.0	32.00
06/19/2018	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	109,730.64	50.00	10.00	40.00	80.0	32.00
06/25/2018	75500529	KALOS, PAUL JUNIPER PHARMACEUTICALS INC COM	B	27,570.60	50.00	10.00	40.00	80.0	32.00
05/30/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	107,497.00	50.00	10.00	40.00	80.0	32.00
06/07/2018	75500796	KARP, BETH COMCAST CORP CL A	B	129,680.00	80.00	10.00	70.00	80.0	56.00
06/08/2018	75500796	KARP, BETH COMCAST CORP CL A	B	64,076.00	50.00	10.00	40.00	80.0	32.00
06/22/2018	75500796	KARP, BETH J P MORGAN CHASE & CO	B	191,928.60	54.00	10.00	44.00	80.0	35.20
06/22/2018	75500814	KARLIN, RONALD PUMA BIOTECHNOLOGY INC	S	10,585.24	75.00	10.00	65.00	80.0	52.00
05/31/2018	75500854	LERNER, PETER WORKHORSE GROUP INC COM NEW	B	13,125.45	50.00	10.00	40.00	80.0	32.00
06/04/2018	75500854	LERNER, PETER ARADIGM CORPORATION NEW	B	7,497.00	50.00	10.00	40.00	80.0	32.00
06/07/2018	75500913	KARP, RONALD AFFIMED N V COM ISIN#NL0010872420	B	13,185.00	60.00	10.00	50.00	80.0	40.00
06/14/2018	75500913	KARP, RONALD AFFIMED N V COM ISIN#NL0010872420	B	25,000.00	75.00	10.00	65.00	80.0	52.00
06/14/2018	75500913	KARP, RONALD AFFIMED N V COM ISIN#NL0010872420	B	12,737.51	50.00	10.00	40.00	80.0	32.00
06/14/2018	75500913	KARP, RONALD ARCTURUS THERAPEUTICS LTD ORDINARY	S	21,615.50	50.00	10.00	40.00	80.0	32.00
06/14/2018	75500913	KARP, RONALD ARCTURUS THERAPEUTICS LTD ORDINARY	S	17.20	0.00	10.00	-10.00	80.0	-8.00
06/15/2018	75500913	KARP, RONALD AFFIMED N V COM ISIN#NL0010872420	B	20,500.00	75.00	10.00	65.00	80.0	52.00
06/15/2018	75500913	KARP, RONALD ARCTURUS THERAPEUTICS LTD ORDINARY	S	23,125.00	50.00	10.00	40.00	80.0	32.00
05/31/2018	75500921	CZECH, ERIC SPDR S&P 500 ETF TRUST	B	48,769.20	25.00	10.00	15.00	80.0	12.00
06/01/2018	75500921	CZECH, ERIC SPDR S&P 500 ETF TRUST	S	49,248.00	25.00	10.00	15.00	80.0	12.00
06/12/2018	75500926	CONGREGATION DARCHEI INTUITIVE SURGICAL INC COM	S	1,959.32	50.00	10.00	40.00	80.0	32.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/25/2018	75500926	CONGREGATION DARCHEI	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	23,482.00	50.00	10.00	40.00	80.0 32.00
06/25/2018	75500926	CONGREGATION DARCHEI	TWITTER INC	S	114,706.29	50.00	10.00	40.00	80.0 32.00
06/07/2018	75500947	BIEL, LYNN	DOMINION RESOURCES INC VA NEW	B	12,365.32	135.00	10.00	125.00	80.0 100.00
06/07/2018	75500947	BIEL, LYNN	MEDTRONIC PLC COM	B	43,498.50	475.00	10.00	465.00	80.0 372.00
06/07/2018	75500947	BIEL, LYNN	PIMCO CORPORATE & INCOME OPPORTUNIT	S	32,101.10	862.00	10.00	852.00	80.0 681.60
06/07/2018	75500948	BIEL, LYNN	JOHNSON & JOHNSON	B	12,272.98	135.00	10.00	125.00	80.0 100.00
06/07/2018	75500948	BIEL, LYNN	PIMCO CORPORATE & INCOME OPPORTUNIT	S	18,883.00	507.00	10.00	497.00	80.0 397.60
06/04/2018	75500978	LERNER, SUSAN	ARADIGM CORPORATION NEW	B	8,698.26	58.00	10.00	48.00	80.0 38.40
06/05/2018	75500978	LERNER, SUSAN	ARADIGM CORPORATION NEW	B	6,616.68	50.00	10.00	40.00	80.0 32.00
06/07/2018	75501009	L BIEL & S	PIMCO CORPORATE & INCOME OPPORTUNIT	S	22,659.60	609.00	10.00	599.00	80.0 479.20
06/19/2018	75501192	ALLEN GRAFSTEIN	IQIYI INC SPONS ADR ISIN#US46267X10	B	85,500.00	50.00	10.00	40.00	80.0 32.00
06/20/2018	75501192	ALLEN GRAFSTEIN	AT&T INC	B	96,000.00	90.00	10.00	80.00	80.0 64.00
05/30/2018	QGW019903	KARP, SELWYN	J P MORGAN CHASE & CO	B	107,566.50	50.00	10.00	40.00	80.0 32.00
05/30/2018	QGW019903	KARP, SELWYN	VOYAGER THERAPEUTICS INC COM	B	20,303.90	50.00	10.00	40.00	80.0 32.00
05/30/2018	QGW019903	KARP, SELWYN	VOYAGER THERAPEUTICS INC COM	B	10,174.05	25.00	10.00	15.00	80.0 12.00
06/07/2018	QGW019903	KARP, SELWYN	COMCAST CORP CL A	B	64,840.00	50.00	10.00	40.00	80.0 32.00
05/30/2018	QGW019929	KARP, JEFFREY	BED BATH & BEYOND INC	S	-36,301.40	50.00	10.00	40.00	80.0 32.00
06/07/2018	QGW019929	KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	S	-28,698.43	22.87	10.00	12.87	80.0 10.30
06/07/2018	QGW019929	KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	S	-96,425.10	75.00	10.00	65.00	80.0 52.00
06/07/2018	QGW019929	KARP, JEFFREY	TEKLA HEALTHCARE INVS SH BEN INT	S	-2,768.34	2.14	10.00	-7.86	80.0 -6.29
06/14/2018	QGW019929	KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	20,559.20	100.00	10.00	90.00	80.0 72.00
06/14/2018	QGW019929	KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	20,559.20	100.00	10.00	90.00	80.0 72.00
06/15/2018	QGW019929	KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	29,642.00	100.00	10.00	90.00	80.0 72.00
06/15/2018	QGW019929	KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	29,680.00	100.00	10.00	90.00	80.0 72.00
06/21/2018	QGW019929	KARP, JEFFREY	BRF SA SPONSORED ADR	B	20,600.00	50.00	10.00	40.00	80.0 32.00
06/26/2018	QGW019929	KARP, JEFFREY	COEUR MINING INC	B	30,195.60	100.00	10.00	90.00	80.0 72.00
05/30/2018	QGW019937	KARP, BARBARA	VOYAGER THERAPEUTICS INC COM	B	20,348.10	50.00	10.00	40.00	80.0 32.00
05/30/2018	QGW019937	KARP, BARBARA	VOYAGER THERAPEUTICS INC COM	B	-20,348.10	-50.00	-10.00	-40.00	80.0 -32.00 C
05/30/2018	QGW019937	KARP, BARBARA	VOYAGER THERAPEUTICS INC COM	B	10,174.05	25.00	10.00	15.00	80.0 12.00
* STOCKS SUBTOTAL *					\$5,538,555.76	\$5,090.01	\$920.00	\$4,170.01	\$3,336.01
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/19/2018	75500529	KALOS, PAUL	CALL SPY 07/20/18 271	S	2,456.00	50.00	10.00	40.00	80.0 32.00
* OPTIONS SUBTOTAL *					\$2,456.00	\$50.00	\$10.00	\$40.00	\$32.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018			FEE COUNT - 39		0.00	543.17	0.00	543.17	80.0 434.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$543.17	\$0.00	\$543.17	\$434.51
*** BROKER TRANSACTIONS TOTAL ***					\$5,561,564.16	\$5,708.18	\$940.00	\$4,768.18	\$3,814.52

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
06/30/2018		COMMISSION INCOME: SULLIVAN OVERRIDE		0.00	0.00	0.00	0.00		1,693.15
06/30/2018		MISCELLANEOUS: FED INS JUNE 2018		0.00	0.00	0.00	0.00		-538.19
06/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
06/30/2018		OTHER: OTHER-DISABILITY INSURANCE		0.00	0.00	0.00	0.00		-2.04
06/30/2018		OTHER: OTHER-WORKMAN COMP		0.00	0.00	0.00	0.00		-133.34
06/30/2018		OTHER: RBC W/O		0.00	0.00	0.00	0.00		-8.00
06/30/2018		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86
06/30/2018		OTHER: W/O APR & MAY		0.00	0.00	0.00	0.00		-20.00
06/30/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$791.06</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,814.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$791.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.14
SIPC Assessment	\$-14.27

Check Amount	\$4,584.17
Year-to-date Earnings	\$36,023.50