



COMMISSION STATEMENT

April 30, 2018

HOME OFFICE PASCALE

, NY

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JOHN AMBRUSCO</u>								
04/16/2018	***-**-615P - AMBRUSCO, JOHN	FA 529 PORT 2025-CL A	25.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 00625334479	BUY 1 Units @19.9500						
04/30/2018	***-**-5627 - AMBRUSCO, SUSAN	VICTORY TRAILS	0.00	1.52	0.00	1.52	0.5	0.01
		BUY 0 Units @0.0000						
		* JOHN AMBRUSCO SUBTOTAL *	\$25.00	\$2.77	\$0.00	\$2.77		\$0.02
<u>BROKER: RICHARD SOWERS</u>								
04/30/2018	***-**-935I - LOWE, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.24	0.00	1.24	0.5	0.01
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
04/30/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	100.87	0.00	100.87	0.5	0.50
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
04/30/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	10.86	0.00	10.86	0.5	0.05
	ACCOUNT #: 7200008740	BUY 0 Units @0.0000						
04/30/2018	***-**-002I - RIPLEY, TOM	SAMMONS MF TRAILS	0.00	46.06	0.00	46.06	0.5	0.23
	ACCOUNT #: 7200009079	BUY 0 Units @0.0000						
04/30/2018	***-**-32RI - MITCHEN, DORIS	SAMMONS MF TRAILS	0.00	14.91	0.00	14.91	0.5	0.07
	ACCOUNT #: 7200011766	BUY 0 Units @0.0000						
		* RICHARD SOWERS SUBTOTAL *	\$0.00	\$173.94	\$0.00	\$173.94		\$0.86
<u>BROKER: BERT GOLDBERG</u>								
04/30/2018	***-**-29FB - NORFLEET,	SYMMETRY RIA	14,096.61	35.24	0.00	35.24	0.5	0.18
	ACCOUNT #: 463641	BUY 0 Units @1.4096						
		* BERT GOLDBERG SUBTOTAL *	\$14,096.61	\$35.24	\$0.00	\$35.24		\$0.18
<u>BROKER: LINDA BLACK</u>								
04/30/2018	***-**-20FB - ROTH, RONALD	SYMMETRY RIA	16,812.48	42.03	0.00	42.03	0.5	0.21
	ACCOUNT #: 388297	BUY 0 Units @1.6812						
04/30/2018	***-**-99FB - TARKINGTON,	SYMMETRY RIA	72,260.29	180.65	0.00	180.65	0.5	0.90
	ACCOUNT #: 393324	BUY 0 Units @7.2260						
04/30/2018	***-**-63FB - DEHAVEN, NICOLE	SYMMETRY RIA	31,169.78	77.93	0.00	77.93	0.5	0.39
	ACCOUNT #: 545696	BUY 0 Units @3.1169						
04/30/2018	***-**-86FB - TRACY, ERIN	SYMMETRY RIA	139,330.33	348.33	0.00	348.33	0.5	1.74
	ACCOUNT #: 965006565	BUY 0 Units @13.9330						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: LINDA BLACK (continued)</u>								
04/30/2018	***-**-36FB - HALLORAN, ACCOUNT #: 965007480	SYMMETRY RIA BUY 0 Units @16.0078	160,078.61	400.20	0.00	400.20	0.5	2.00
04/30/2018	***-**-86FB - TOBIN, JAYNE ACCOUNT #: 965908406	SYMMETRY RIA BUY 0 Units @4.2378	42,378.52	105.95	0.00	105.95	0.5	0.53
04/30/2018	***-**-85FB - PARKINS, TAMMY ACCOUNT #: 965912428	SYMMETRY RIA BUY 0 Units @25.9149	259,149.61	647.88	0.00	647.88	0.5	3.24
* LINDA BLACK SUBTOTAL *			\$721,179.62	\$1,802.97	\$0.00	\$1,802.97		\$9.01
<u>BROKER: MICHAEL MINTER</u>								
04/30/2018	***-**-30FB - GEORGE, PAMELA ACCOUNT #: 511192	SYMMETRY RIA BUY 0 Units @3.9537	39,537.72	98.85	0.00	98.85	0.5	0.49
04/30/2018	***-**-59FB - FABIAN, ROBERT ACCOUNT #: 965006407	SYMMETRY RIA BUY 0 Units @4.1973	41,973.80	104.94	0.00	104.94	0.5	0.52
04/30/2018	***-**-51FB - NOLTE, RICHARD ACCOUNT #: 965007102	SYMMETRY RIA BUY 0 Units @9.9886	99,886.98	249.72	0.00	249.72	0.5	1.25
04/30/2018	***-**-66FB - RUCK, DONALD ACCOUNT #: 965008196	SYMMETRY RIA BUY 0 Units @32.0040	320,040.31	800.10	0.00	800.10	0.5	4.00
04/30/2018	***-**-86FB - MEYER, CATHLEEN ACCOUNT #: 965009989	SYMMETRY RIA BUY 0 Units @1.9634	19,634.06	49.09	0.00	49.09	0.5	0.25
04/30/2018	***-**-16FB - MEYER, JAMES ACCOUNT #: 965906803	SYMMETRY RIA BUY 0 Units @10.0017	100,017.64	250.05	0.00	250.05	0.5	1.25
04/30/2018	***-**-80FB - SZELIGA, DIANE ACCOUNT #: 965908293	SYMMETRY RIA BUY 0 Units @1.4817	14,817.45	37.04	0.00	37.04	0.5	0.19
04/30/2018	***-**-34FB - GREINER, TODD ACCOUNT #: 965910067	SYMMETRY RIA BUY 0 Units @5.4855	54,855.03	137.14	0.00	137.14	0.5	0.69
04/30/2018	***-**-77FB - MARKS, WILLIAM ACCOUNT #: 965910540	SYMMETRY RIA BUY 0 Units @9.6074	96,074.56	240.19	0.00	240.19	0.5	1.20
04/30/2018	***-**-39FB - ZEITLER JR, JOHN ACCOUNT #: 965911881	SYMMETRY RIA BUY 0 Units @30.2026	302,026.62	755.07	0.00	755.07	0.5	3.78
04/30/2018	***-**-62FB - ZEITLER, ACCOUNT #: 965911883	SYMMETRY RIA BUY 0 Units @2.7346	27,346.53	68.37	0.00	68.37	0.5	0.34
04/30/2018	***-**-39FB - ZEITLER JR, JOHN ACCOUNT #: 965911886	SYMMETRY RIA BUY 0 Units @2.9627	29,627.91	74.07	0.00	74.07	0.5	0.37



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: MICHAEL MINTER (continued)</u> 								
04/30/2018	***-**-34FB - GREINER, TODD	SYMMETRY RIA	115,855.32	289.64	0.00	289.64	0.5	1.45
	ACCOUNT #: 965912207	BUY 0 Units @11.5855						
04/30/2018	***-**-30FB - RUSCH, SUSAN	SYMMETRY RIA	118,161.68	295.40	0.00	295.40	0.5	1.48
	ACCOUNT #: 965912283	BUY 0 Units @11.8161						
04/30/2018	***-**-51FB - NOLTE, RICHARD	SYMMETRY RIA	3,829.14	9.57	0.00	9.57	0.5	0.05
	ACCOUNT #: 965913719	BUY 0 Units @0.3829						
04/30/2018	***-**-29FB - LASKOWSKI, MARK	SYMMETRY RIA	182,268.91	455.67	0.00	455.67	0.5	2.28
	ACCOUNT #: 965913778	BUY 0 Units @18.2268						
04/30/2018	***-**-92FB - LASKOWSKI,	SYMMETRY RIA	85,992.91	214.98	0.00	214.98	0.5	1.07
	ACCOUNT #: 965913962	BUY 0 Units @8.5992						
04/30/2018	***-**-24FB - SCHOLL, DOROTHY	SYMMETRY RIA	29,542.64	73.86	0.00	73.86	0.5	0.37
	ACCOUNT #: 965915010	BUY 0 Units @2.9542						
04/30/2018	***-**-80FB - SZELIGA, DIANE	SYMMETRY RIA	45,426.52	113.57	0.00	113.57	0.5	0.57
	ACCOUNT #: 965915641	BUY 0 Units @4.5426						
 * MICHAEL MINTER SUBTOTAL * 			\$1,726,915.73	\$4,317.32	\$0.00	\$4,317.32		\$21.60
 <u>BROKER: JOSEPH FERRY</u> 								
04/30/2018	***-**-96FB - FOSTER, MELISSA	SYMMETRY RIA	35,938.71	89.85	0.00	89.85	0.5	0.45
	ACCOUNT #: 534689	BUY 0 Units @3.5938						
 * JOSEPH FERRY SUBTOTAL * 			\$35,938.71	\$89.85	\$0.00	\$89.85		\$0.45
 <u>BROKER: LAMONT PARKER</u> 								
04/30/2018	***-**-77FB - HOLMES, TERRELL	SYMMETRY RIA	11,580.02	9.25	0.00	9.25	0.5	0.05
	ACCOUNT #: 546278	BUY 0 Units @1.1580						
04/30/2018	***-**-90FB - FORREST, RONALD	SYMMETRY RIA	30,631.57	76.58	0.00	76.58	0.5	0.38
	ACCOUNT #: 550594	BUY 0 Units @3.0631						
04/30/2018	***-**-90FB - FORREST, RONALD	SYMMETRY RIA	48,789.86	121.98	0.00	121.98	0.5	0.61
	ACCOUNT #: 39248679	BUY 0 Units @4.8789						
04/30/2018	***-**-53FB - PANASIEWICZ,	SYMMETRY RIA	27,958.06	87.37	0.00	87.37	0.5	0.44
	ACCOUNT #: 965006536	BUY 0 Units @2.7958						
04/30/2018	***-**-53FB - PANASIEWICZ,	SYMMETRY RIA	21,740.72	67.94	0.00	67.94	0.5	0.34
	ACCOUNT #: 965912306	BUY 0 Units @2.1740						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: LAMONT PARKER (continued)</u> 								
04/30/2018	***-**-80FB - PANASIEWICZ, ACCOUNT #: 965914842	SYMMETRY RIA BUY 0 Units @1.5909	15,909.73	39.78	0.00	39.78	0.5	0.20
* LAMONT PARKER SUBTOTAL *			<u>\$156,609.96</u>	<u>\$402.90</u>	<u>\$0.00</u>	<u>\$402.90</u>		<u>\$2.02</u>
 <u>BROKER: ALEX GEORGIEV</u> 								
04/30/2018	***-**-95IN - CHAMBERLAIN, ACCOUNT #: 133673	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	68.17	0.00	68.17	0.5	0.34
04/30/2018	***-**-95IN - CHAMBERLAIN, ACCOUNT #: 133673	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	57.57	0.00	57.57	0.5	0.29
04/30/2018	***-**-95FB - STARTZEL, MARCUS ACCOUNT #: 529134	SYMMETRY RIA BUY 0 Units @2.4342	24,342.79	51.73	0.00	51.73	0.5	0.26
04/30/2018	***-**-594I - DISAVINO, ROSA ACCOUNT #: 538708	SYMMETRY RIA BUY 0 Units @3.0609	30,609.24	65.05	0.00	65.05	0.5	0.33
04/30/2018	***-**-54FB - OTT, JASON ACCOUNT #: 543004	SYMMETRY RIA BUY 0 Units @1.7821	17,821.97	34.51	0.00	34.51	0.5	0.17
04/30/2018	***-**-88FB - MATLAGA, DR. ACCOUNT #: 550832	SYMMETRY RIA BUY 0 Units @8.8540	88,540.24	35.54	0.00	35.54	0.5	0.18
04/30/2018	***-**-267I - MITNICK, DANIEL ACCOUNT #: 554886	SYMMETRY RIA BUY 0 Units @43.2068	432,068.92	550.89	0.00	550.89	0.5	2.75
04/30/2018	***-**-65FB - LUBRANO, MARIA ACCOUNT #: 558146	SYMMETRY RIA BUY 0 Units @0.3840	3,840.74	8.16	0.00	8.16	0.5	0.04
04/30/2018	***-**-75FB - LUBRANO, ACCOUNT #: 558149	SYMMETRY RIA BUY 0 Units @0.5913	5,913.35	12.57	0.00	12.57	0.5	0.06
04/30/2018	***-**-58FB - CALHOUN, KEVEN ACCOUNT #: 965010132	SYMMETRY RIA BUY 0 Units @5.1289	51,289.32	128.22	0.00	128.22	0.5	0.64
04/30/2018	***-**-25FB - LANE, CYNTHIA ACCOUNT #: 965010341	SYMMETRY RIA BUY 0 Units @4.4066	44,066.42	110.17	0.00	110.17	0.5	0.55
* ALEX GEORGIEV SUBTOTAL *			<u>\$698,492.99</u>	<u>\$1,122.58</u>	<u>\$0.00</u>	<u>\$1,122.58</u>		<u>\$5.61</u>



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: ARCHIBALD MCMICHAEL</u> 								
04/30/2018	***-**-93FB - ELLIS, RUTH	SYMMETRY RIA	69,813.81	261.80	0.00	261.80	0.5	1.31
	ACCOUNT #: 965011128	BUY 0 Units @6.9813						
04/30/2018	***-**-051I - HIBBS, RONALD	SYMMETRY RIA	109,759.40	411.60	0.00	411.60	0.5	2.06
	ACCOUNT #: 965011431	BUY 0 Units @10.9759						
04/30/2018	***-**-61IN - HIBBS, MELISSA	SYMMETRY RIA	12,059.52	60.30	0.00	60.30	0.5	0.30
	ACCOUNT #: 965011433	BUY 0 Units @1.2059						
04/30/2018	***-**-51FB - HIBBS, RONALD	SYMMETRY RIA	14,374.02	71.87	0.00	71.87	0.5	0.36
	ACCOUNT #: 965011450	BUY 0 Units @1.4374						
		 * ARCHIBALD MCMICHAEL SUBTOTAL * 	 \$206,006.75 	 \$805.57 	 \$0.00 	 \$805.57 		 \$4.03
 <u>BROKER: JON HEDDLESON</u> 								
04/26/2018	***-**-48FB - SCHWAN, KIMBERLY	ASPIRE RIA	0.00	46.60	0.00	46.60	0.5	0.23
		BUY 1 Units @0.0000						
04/25/2018	***-**-73FB - KELLER, DAVID	INVESTMENT ADVISORY (PARTICIPANTS)	167,258.52	418.15	0.00	418.15	0.5	2.09
	ACCOUNT #: 306175	BUY 0 Units @16.7258						
04/25/2018	***-**-98FB - KUBEC, BONNIE	INVESTMENT ADVISORY (PARTICIPANTS)	193,348.13	483.37	0.00	483.37	0.5	2.42
	ACCOUNT #: 281206	BUY 0 Units @19.3348						
04/25/2018	***-**-67FB - ARMENTROUT,	INVESTMENT ADVISORY (PARTICIPANTS)	176,851.29	442.13	0.00	442.13	0.5	2.21
	ACCOUNT #: 279346	BUY 0 Units @17.6851						
04/25/2018	***-**-61FB - FOSTER, KIMBERLY	INVESTMENT ADVISORY (PARTICIPANTS)	27,237.53	85.12	0.00	85.12	0.5	0.43
	ACCOUNT #: 390801	BUY 0 Units @2.7237						
04/25/2018	***-**-93FB - SMALHEER, LAURA	INVESTMENT ADVISORY (PARTICIPANTS)	35,251.84	110.16	0.00	110.16	0.5	0.55
	ACCOUNT #: 391224	BUY 0 Units @3.5251						
04/25/2018	***-**-51FB - SEMINSKY, DEANNA	INVESTMENT ADVISORY (PARTICIPANTS)	28,008.78	87.53	0.00	87.53	0.5	0.44
	ACCOUNT #: 391263	BUY 0 Units @2.8008						
04/25/2018	***-**-25FB - THOMAS, TRICIA	INVESTMENT ADVISORY (PARTICIPANTS)	60,873.15	190.23	0.00	190.23	0.5	0.95
	ACCOUNT #: 391404	BUY 0 Units @6.0873						
04/25/2018	***-**-25FB - SCHLOSSER, SCOTT	INVESTMENT ADVISORY (PARTICIPANTS)	30,589.75	95.59	0.00	95.59	0.5	0.48
	ACCOUNT #: 391882	BUY 0 Units @3.0589						
04/25/2018	***-**-07FB - WATSON, SANDRA	INVESTMENT ADVISORY (PARTICIPANTS)	33,049.69	103.28	0.00	103.28	0.5	0.52
	ACCOUNT #: 391899	BUY 0 Units @3.3049						
04/25/2018	***-**-03FB - ALFERIO, LEANN	INVESTMENT ADVISORY (PARTICIPANTS)	7,232.28	22.60	0.00	22.60	0.5	0.11
	ACCOUNT #: 392386	BUY 0 Units @0.7232						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-79FB - EBERHARDT, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	47,425.98	148.21	0.00	148.21	0.5	0.74
	ACCOUNT #: 392403	BUY 0 Units @4.7425						
04/25/2018	***-**-22FB - WOLF, JODI	INVESTMENT ADVISORY (PARTICIPANTS)	30,054.18	93.92	0.00	93.92	0.5	0.47
	ACCOUNT #: 392524	BUY 0 Units @3.0054						
04/25/2018	***-**-59FB - JOHNSON, LAURA	INVESTMENT ADVISORY (PARTICIPANTS)	43,044.53	134.51	0.00	134.51	0.5	0.67
	ACCOUNT #: 392599	BUY 0 Units @4.3044						
04/25/2018	***-**-99FB - MILLER, MELANIE	INVESTMENT ADVISORY (PARTICIPANTS)	22,219.29	69.44	0.00	69.44	0.5	0.35
	ACCOUNT #: 392600	BUY 0 Units @2.2219						
04/25/2018	***-**-12FB - COLEMAN, ANGELA	INVESTMENT ADVISORY (PARTICIPANTS)	32,922.86	102.88	0.00	102.88	0.5	0.51
	ACCOUNT #: 393128	BUY 0 Units @3.2922						
04/25/2018	***-**-07FB - INFALVI, ROY	INVESTMENT ADVISORY (PARTICIPANTS)	17,316.05	54.11	0.00	54.11	0.5	0.27
	ACCOUNT #: 393149	BUY 0 Units @1.7316						
04/25/2018	***-**-12FB - KOETH, JUDITH	INVESTMENT ADVISORY (PARTICIPANTS)	37,577.27	117.43	0.00	117.43	0.5	0.59
	ACCOUNT #: 393190	BUY 0 Units @3.7577						
04/25/2018	***-**-79FB - HARTLEY,	INVESTMENT ADVISORY (PARTICIPANTS)	39,232.12	122.60	0.00	122.60	0.5	0.61
	ACCOUNT #: 183022	BUY 0 Units @3.9232						
04/25/2018	***-**-98FB - WHITE, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	25,245.48	78.89	0.00	78.89	0.5	0.39
	ACCOUNT #: 393459	BUY 0 Units @2.5245						
04/25/2018	***-**-84FB - HIGNETT, AMY	INVESTMENT ADVISORY (PARTICIPANTS)	48,914.55	152.86	0.00	152.86	0.5	0.76
	ACCOUNT #: 394224	BUY 0 Units @4.8914						
04/25/2018	***-**-48FB - SCHWAN, KIMBERLY	INVESTMENT ADVISORY (PARTICIPANTS)	54,568.69	170.53	0.00	170.53	0.5	0.85
	ACCOUNT #: 394499	BUY 0 Units @5.4568						
04/25/2018	***-**-50FB - RUEBENSAAL, DAWN	INVESTMENT ADVISORY (PARTICIPANTS)	71,859.83	224.56	0.00	224.56	0.5	1.12
	ACCOUNT #: 394519	BUY 0 Units @7.1859						
04/25/2018	***-**-93FB - HARDOBY, TED	INVESTMENT ADVISORY (PARTICIPANTS)	72,516.67	226.61	0.00	226.61	0.5	1.13
	ACCOUNT #: 394941	BUY 0 Units @7.2516						
04/25/2018	***-**-24FB - YAKUNOVICH,	INVESTMENT ADVISORY (PARTICIPANTS)	49,715.32	155.36	0.00	155.36	0.5	0.78
	ACCOUNT #: 394994	BUY 0 Units @4.9715						
04/25/2018	***-**-37FB - SELZER,	INVESTMENT ADVISORY (PARTICIPANTS)	9,588.67	29.96	0.00	29.96	0.5	0.15
	ACCOUNT #: 395551	BUY 0 Units @0.9588						
04/25/2018	***-**-42FB - BETTS, KIMBERLY	INVESTMENT ADVISORY (PARTICIPANTS)	28,876.51	90.24	0.00	90.24	0.5	0.45
	ACCOUNT #: 395633	BUY 0 Units @2.8876						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-57FB - BOWSHER, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	63,232.30	197.60	0.00	197.60	0.5	0.99
	ACCOUNT #: 395640	BUY 0 Units @6.3232						
04/25/2018	***-**-43FB - GILBERT, SHELLY	INVESTMENT ADVISORY (PARTICIPANTS)	101,187.54	316.21	0.00	316.21	0.5	1.58
	ACCOUNT #: 395643	BUY 0 Units @10.1187						
04/25/2018	***-**-92FB - SHIVELY, WENDY	INVESTMENT ADVISORY (PARTICIPANTS)	35,197.60	109.99	0.00	109.99	0.5	0.55
	ACCOUNT #: 395654	BUY 0 Units @3.5197						
04/25/2018	***-**-92FB - SHIVELY, WENDY	INVESTMENT ADVISORY (PARTICIPANTS)	41,265.84	128.96	0.00	128.96	0.5	0.64
	ACCOUNT #: 395657	BUY 0 Units @4.1265						
04/25/2018	***-**-52FB - BRENNEMAN,	INVESTMENT ADVISORY (PARTICIPANTS)	7,142.57	22.32	0.00	22.32	0.5	0.11
	ACCOUNT #: 232244	BUY 0 Units @0.7142						
04/25/2018	***-**-51IN - BIGLOW, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	31,754.80	99.23	0.00	99.23	0.5	0.50
	ACCOUNT #: 172934	BUY 0 Units @3.1754						
04/25/2018	***-**-47FB - COOPER, MARJOE	INVESTMENT ADVISORY (PARTICIPANTS)	16,396.29	51.24	0.00	51.24	0.5	0.26
	ACCOUNT #: 399930	BUY 0 Units @1.6396						
04/25/2018	***-**-20FB - BOVA, HEATHER	INVESTMENT ADVISORY (PARTICIPANTS)	3,047.99	9.52	0.00	9.52	0.5	0.05
	ACCOUNT #: 401356	BUY 0 Units @0.3047						
04/25/2018	***-**-12FB - MONTEMARANO,	INVESTMENT ADVISORY (PARTICIPANTS)	3,047.87	9.52	0.00	9.52	0.5	0.05
	ACCOUNT #: 401363	BUY 0 Units @0.3047						
04/25/2018	***-**-58FB - MUSSELMAN,	INVESTMENT ADVISORY (PARTICIPANTS)	14,528.31	45.40	0.00	45.40	0.5	0.23
	ACCOUNT #: 401421	BUY 0 Units @1.4528						
04/25/2018	***-**-40FB - VIGLIUCCI,	INVESTMENT ADVISORY (PARTICIPANTS)	3,451.22	10.79	0.00	10.79	0.5	0.05
	ACCOUNT #: 403573	BUY 0 Units @0.3451						
04/25/2018	***-**-13FB - KOENIG, SHELBY	INVESTMENT ADVISORY (PARTICIPANTS)	2,064.24	6.45	0.00	6.45	0.5	0.03
	ACCOUNT #: 403595	BUY 0 Units @0.2064						
04/25/2018	***-**-42FB - JONES, ELIZABETH	INVESTMENT ADVISORY (PARTICIPANTS)	115,364.81	360.52	0.00	360.52	0.5	1.80
	ACCOUNT #: 403605	BUY 0 Units @11.5364						
04/25/2018	***-**-72FB - TODD, JOSEPH	INVESTMENT ADVISORY (PARTICIPANTS)	98,633.17	308.23	0.00	308.23	0.5	1.54
	ACCOUNT #: 406292	BUY 0 Units @9.8633						
04/25/2018	***-**-45FB - FARR, TRICIA	INVESTMENT ADVISORY (PARTICIPANTS)	108,448.19	338.90	0.00	338.90	0.5	1.69
	ACCOUNT #: 408939	BUY 0 Units @10.8448						
04/25/2018	***-**-59FB - GOINGS, TYSON	INVESTMENT ADVISORY (PARTICIPANTS)	729.07	2.28	0.00	2.28	0.5	0.01
	ACCOUNT #: 410497	BUY 0 Units @0.0729						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-92FB - HORVATH, BRENDA	INVESTMENT ADVISORY (PARTICIPANTS)	12,489.73	39.03	0.00	39.03	0.5	0.20
	ACCOUNT #: 411514	BUY 0 Units @1.2489						
04/25/2018	***-**-65FB - THOMAS, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	6,231.92	19.47	0.00	19.47	0.5	0.10
	ACCOUNT #: 419959	BUY 0 Units @0.6231						
04/25/2018	***-**-27FB - MERCER, LORI ANN	INVESTMENT ADVISORY (PARTICIPANTS)	4,459.53	13.94	0.00	13.94	0.5	0.07
	ACCOUNT #: 421544	BUY 0 Units @0.4459						
04/25/2018	***-**-39IN - ENDERLE, CHAD	INVESTMENT ADVISORY (PARTICIPANTS)	7,187.19	22.46	0.00	22.46	0.5	0.11
	ACCOUNT #: 422057	BUY 0 Units @0.7187						
04/25/2018	***-**-79FB - WOODS, JULENE	INVESTMENT ADVISORY (PARTICIPANTS)	2,820.91	8.82	0.00	8.82	0.5	0.04
	ACCOUNT #: 422084	BUY 0 Units @0.2820						
04/25/2018	***-**-15FB - SHURR, DONNA	INVESTMENT ADVISORY (PARTICIPANTS)	6,843.68	21.39	0.00	21.39	0.5	0.11
	ACCOUNT #: 422611	BUY 0 Units @0.6843						
04/25/2018	***-**-15FB - SHURR, DONNA	INVESTMENT ADVISORY (PARTICIPANTS)	6,908.51	21.59	0.00	21.59	0.5	0.11
	ACCOUNT #: 422656	BUY 0 Units @0.6908						
04/25/2018	***-**-53FB - MOORE, MARY	INVESTMENT ADVISORY (PARTICIPANTS)	7,979.27	24.94	0.00	24.94	0.5	0.12
	ACCOUNT #: 422700	BUY 0 Units @0.7979						
04/25/2018	***-**-88FB - THOMS, DANA	INVESTMENT ADVISORY (PARTICIPANTS)	3,628.70	11.34	0.00	11.34	0.5	0.06
	ACCOUNT #: 424845	BUY 0 Units @0.3628						
04/25/2018	***-**-73FB - LIBBY, PRISCILLA	INVESTMENT ADVISORY (PARTICIPANTS)	8,015.02	25.05	0.00	25.05	0.5	0.13
	ACCOUNT #: 425474	BUY 0 Units @0.8015						
04/25/2018	***-**-45FB - TURTON, KRISTINA	INVESTMENT ADVISORY (PARTICIPANTS)	51,692.85	161.54	0.00	161.54	0.5	0.81
	ACCOUNT #: 165291	BUY 0 Units @5.1692						
04/25/2018	***-**-48IN - DANKIK-ABFALL,	INVESTMENT ADVISORY (PARTICIPANTS)	15,729.65	49.16	0.00	49.16	0.5	0.25
	ACCOUNT #: 426423	BUY 0 Units @1.5729						
04/25/2018	***-**-80FB - BAMBRICK,	INVESTMENT ADVISORY (PARTICIPANTS)	8,232.97	25.73	0.00	25.73	0.5	0.13
	ACCOUNT #: 426506	BUY 0 Units @0.8232						
04/25/2018	***-**-79FB - ZUK, ANDREW	INVESTMENT ADVISORY (PARTICIPANTS)	3,766.80	11.77	0.00	11.77	0.5	0.06
	ACCOUNT #: 426585	BUY 0 Units @0.3766						
04/25/2018	***-**-39FB - MCDONALD, JANICE	INVESTMENT ADVISORY (PARTICIPANTS)	12,089.23	37.78	0.00	37.78	0.5	0.19
	ACCOUNT #: 426753	BUY 0 Units @1.2089						
04/25/2018	***-**-90IN - SCHMUHL, MATTHEW	INVESTMENT ADVISORY (PARTICIPANTS)	210,953.08	527.38	0.00	527.38	0.5	2.64
	ACCOUNT #: 429520	BUY 0 Units @21.0953						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-894B - BROWN, JOHN ACCOUNT #: 432499	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @1.0394	10,394.57	32.48	0.00	32.48	0.5	0.16
04/25/2018	***-**-22FB - WANK, MICHELLE ACCOUNT #: 434653	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @2.6431	26,431.89	82.60	0.00	82.60	0.5	0.41
04/25/2018	***-**-43FB - VAN REETH, TODD ACCOUNT #: 439677	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.1317	1,317.19	3.29	0.00	3.29	0.5	0.02
04/25/2018	***-**-535I - YORK, LISA ACCOUNT #: 445319	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.9512	9,512.75	29.73	0.00	29.73	0.5	0.15
04/25/2018	***-**-190I - GRIME, DAN ACCOUNT #: 449436	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.2320	2,320.36	7.25	0.00	7.25	0.5	0.04
04/25/2018	***-**-16FB - HENNEMYRE, JON ACCOUNT #: 450500	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @8.2654	82,654.29	206.64	0.00	206.64	0.5	1.03
04/25/2018	***-**-83FB - ZANE, LISA ACCOUNT #: 453321	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.3210	3,210.17	10.03	0.00	10.03	0.5	0.05
04/25/2018	***-**-23FB - QUIMBY, ACCOUNT #: 453823	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.1070	1,070.86	3.35	0.00	3.35	0.5	0.02
04/25/2018	***-**-50FB - WAGNER, JULIE ACCOUNT #: 454807	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.7232	7,232.42	22.60	0.00	22.60	0.5	0.11
04/25/2018	***-**-59FB - HUTH, EMILY ACCOUNT #: 455843	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.4239	4,239.66	13.25	0.00	13.25	0.5	0.07
04/25/2018	***-**-56FB - CATANIA, ACCOUNT #: 456502	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.1219	1,219.81	3.81	0.00	3.81	0.5	0.02
04/25/2018	***-**-88FB - BIERMAN, ACCOUNT #: 456777	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.3881	3,881.99	12.13	0.00	12.13	0.5	0.06
04/25/2018	***-**-09FB - COATES, DEIDRA ACCOUNT #: 457775	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.7858	7,858.78	24.56	0.00	24.56	0.5	0.12
04/25/2018	***-**-67FB - OLIVER, ANDREA ACCOUNT #: 457777	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.7355	7,355.71	22.99	0.00	22.99	0.5	0.11
04/25/2018	***-**-07FB - VERNON, AMBER ACCOUNT #: 457843	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.4390	4,390.77	13.72	0.00	13.72	0.5	0.07
04/25/2018	***-**-19FB - HUNT, HEATHER ACCOUNT #: 457852	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @4.6107	46,107.07	144.08	0.00	144.08	0.5	0.72



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-29FB - MORRIS, BRITTANY	INVESTMENT ADVISORY (PARTICIPANTS)	4,667.65	14.59	0.00	14.59	0.5	0.07
	ACCOUNT #: 458583	BUY 0 Units @0.4667						
04/25/2018	***-**-30FB - EMERY, BOBBI	INVESTMENT ADVISORY (PARTICIPANTS)	88,800.30	277.50	0.00	277.50	0.5	1.39
	ACCOUNT #: 459454	BUY 0 Units @8.8800						
04/25/2018	***-**-36FB - KUNKLEMAN,	INVESTMENT ADVISORY (PARTICIPANTS)	20,023.98	62.57	0.00	62.57	0.5	0.31
	ACCOUNT #: 465078	BUY 0 Units @2.0023						
04/25/2018	***-**-59FB - PUGHSLEY,	INVESTMENT ADVISORY (PARTICIPANTS)	9,045.99	28.27	0.00	28.27	0.5	0.14
	ACCOUNT #: 465079	BUY 0 Units @0.9045						
04/25/2018	***-**-99FB - SELLATI, LYN	INVESTMENT ADVISORY (PARTICIPANTS)	3,878.00	12.12	0.00	12.12	0.5	0.06
	ACCOUNT #: 465080	BUY 0 Units @0.3878						
04/25/2018	***-**-14FB - CANTU, KAYLA	INVESTMENT ADVISORY (PARTICIPANTS)	1,456.15	4.55	0.00	4.55	0.5	0.02
	ACCOUNT #: 465870	BUY 0 Units @0.1456						
04/25/2018	***-**-70FB - VOIGT, JAKE	INVESTMENT ADVISORY (PARTICIPANTS)	3,646.82	11.40	0.00	11.40	0.5	0.06
	ACCOUNT #: 470306	BUY 0 Units @0.3646						
04/25/2018	***-**-35FB - O'DONNELL,	INVESTMENT ADVISORY (PARTICIPANTS)	1,788.88	5.59	0.00	5.59	0.5	0.03
	ACCOUNT #: 470632	BUY 0 Units @0.1788						
04/25/2018	***-**-72FB - TARQUINIO-ZAJAC,	INVESTMENT ADVISORY (PARTICIPANTS)	54,372.22	169.91	0.00	169.91	0.5	0.85
	ACCOUNT #: 471454	BUY 0 Units @5.4372						
04/25/2018	***-**-79FB - EBERHARDT, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	9,631.28	30.10	0.00	30.10	0.5	0.15
	ACCOUNT #: 476181	BUY 0 Units @0.9631						
04/25/2018	***-**-42FB - MILLER, COURTNEY	INVESTMENT ADVISORY (PARTICIPANTS)	42,213.30	131.92	0.00	131.92	0.5	0.66
	ACCOUNT #: 477729	BUY 0 Units @4.2213						
04/25/2018	***-**-60FB - SCHNEIDER,	INVESTMENT ADVISORY (PARTICIPANTS)	68,909.34	129.21	0.00	129.21	0.5	0.65
	ACCOUNT #: 478413	BUY 0 Units @6.8909						
04/25/2018	***-**-55FB - CZECH, MANDY	INVESTMENT ADVISORY (PARTICIPANTS)	3,142.30	9.82	0.00	9.82	0.5	0.05
	ACCOUNT #: 479407	BUY 0 Units @0.3142						
04/25/2018	***-**-19FB - TATE, JENNIE	INVESTMENT ADVISORY (PARTICIPANTS)	565.67	1.77	0.00	1.77	0.5	0.01
	ACCOUNT #: 479816	BUY 0 Units @0.0565						
04/25/2018	***-**-75FB - HELLAND, LEZLEE	INVESTMENT ADVISORY (PARTICIPANTS)	155,235.15	388.09	0.00	388.09	0.5	1.94
	ACCOUNT #: 481485	BUY 0 Units @15.5235						
04/25/2018	***-**-77FB - MEYERS, MELISSA	INVESTMENT ADVISORY (PARTICIPANTS)	57,564.29	179.89	0.00	179.89	0.5	0.90
	ACCOUNT #: 483910	BUY 0 Units @5.7564						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-86FB - THOMPSON, ACCOUNT #: 484494	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @10.8714	108,714.36	271.79	0.00	271.79	0.5	1.36
04/25/2018	***-**-30FB - JEROUSEK, ACCOUNT #: 485295	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.5588	5,588.58	17.46	0.00	17.46	0.5	0.09
04/25/2018	***-**-50FB - PIEHL, DAVID ACCOUNT #: 486106	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @5.8883	58,883.25	184.01	0.00	184.01	0.5	0.92
04/25/2018	***-**-855I - GRANT, SCOTT ACCOUNT #: 487318	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @2.4364	24,364.07	76.14	0.00	76.14	0.5	0.38
04/25/2018	***-**-02IN - GROBOSKE, LAURA ACCOUNT #: 494882	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @3.8364	38,364.40	119.89	0.00	119.89	0.5	0.60
04/25/2018	***-**-66FB - ROGERS, MELANIE ACCOUNT #: 495266	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.3147	3,147.58	9.84	0.00	9.84	0.5	0.05
04/25/2018	***-**-16IN - ALFERIO, JACOB ACCOUNT #: 495299	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.4811	4,811.61	15.04	0.00	15.04	0.5	0.08
04/25/2018	***-**-8614 - HEDDLESON, LISA ACCOUNT #: 496302	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @4.4680	44,680.73	55.85	0.00	55.85	0.5	0.28
04/25/2018	***-**-50IN - SCHMUHL, GAYLE ACCOUNT #: 497130	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @6.4617	64,617.12	161.54	0.00	161.54	0.5	0.81
04/25/2018	***-**-83FB - BUNJEVAC, BROOKE ACCOUNT #: 497967	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.1062	1,062.60	3.32	0.00	3.32	0.5	0.02
04/25/2018	***-**-94FB - CROSS, KATELYN ACCOUNT #: 498427	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.1548	1,548.81	4.84	0.00	4.84	0.5	0.02
04/25/2018	***-**-75FB - WANOSKY, BRYAN ACCOUNT #: 499232	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.5207	5,207.78	16.27	0.00	16.27	0.5	0.08
04/25/2018	***-**-12FB - CROSSEN, ACCOUNT #: 499429	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.3877	3,877.36	12.12	0.00	12.12	0.5	0.06
04/25/2018	***-**-84FB - EMERINE, CORY ACCOUNT #: 499768	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.1298	1,298.28	4.06	0.00	4.06	0.5	0.02
04/25/2018	***-**-39FB - BUDD, PATRICIA ACCOUNT #: 500249	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @6.7984	67,984.16	212.45	0.00	212.45	0.5	1.06
04/25/2018	***-**-39FB - BUDD, PATRICIA ACCOUNT #: 500250	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @1.0384	10,384.29	32.45	0.00	32.45	0.5	0.16



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-75FB - SKOCZEN, RICHARD	INVESTMENT ADVISORY (PARTICIPANTS)	2,009.99	6.28	0.00	6.28	0.5	0.03
	ACCOUNT #: 502202	BUY 0 Units @0.2009						
04/25/2018	***-**-47FB - CHARNEY, AMY	INVESTMENT ADVISORY (PARTICIPANTS)	27,846.32	69.62	0.00	69.62	0.5	0.35
	ACCOUNT #: 503056	BUY 0 Units @2.7846						
04/25/2018	***-**-09FB - QUIMBY, LYLE	INVESTMENT ADVISORY (PARTICIPANTS)	838.58	2.62	0.00	2.62	0.5	0.01
	ACCOUNT #: 504605	BUY 0 Units @0.0838						
04/25/2018	***-**-24FB - POKINES, JASON	INVESTMENT ADVISORY (PARTICIPANTS)	63,905.69	199.71	0.00	199.71	0.5	1.00
	ACCOUNT #: 505012	BUY 0 Units @6.3905						
04/25/2018	***-**-13FB - DIANETTI, JAMES	INVESTMENT ADVISORY (PARTICIPANTS)	1,752.97	5.48	0.00	5.48	0.5	0.03
	ACCOUNT #: 505059	BUY 0 Units @0.1752						
04/25/2018	***-**-24FB - POKINES, JASON	INVESTMENT ADVISORY (PARTICIPANTS)	11,903.36	37.20	0.00	37.20	0.5	0.19
	ACCOUNT #: 504709	BUY 0 Units @1.1903						
04/25/2018	***-**-79FB - TODD, SANDRA	INVESTMENT ADVISORY (PARTICIPANTS)	6,591.82	20.60	0.00	20.60	0.5	0.10
	ACCOUNT #: 506410	BUY 0 Units @0.6591						
04/25/2018	***-**-96FB - KRAVA, EMILY	INVESTMENT ADVISORY (PARTICIPANTS)	3,425.91	10.71	0.00	10.71	0.5	0.05
	ACCOUNT #: 506656	BUY 0 Units @0.3425						
04/25/2018	***-**-1947 - DUES, JORDANE	INVESTMENT ADVISORY (PARTICIPANTS)	3,314.49	10.36	0.00	10.36	0.5	0.05
	ACCOUNT #: 507396	BUY 0 Units @0.3314						
04/25/2018	***-**-8388 - ESMONDE, LAURA	INVESTMENT ADVISORY (PARTICIPANTS)	1,802.63	5.63	0.00	5.63	0.5	0.03
	ACCOUNT #: 507397	BUY 0 Units @0.1802						
04/25/2018	***-**-72FB - TODD, JOSEPH	INVESTMENT ADVISORY (PARTICIPANTS)	13,640.69	42.63	0.00	42.63	0.5	0.21
	ACCOUNT #: 510090	BUY 0 Units @1.3640						
04/25/2018	***-**-83FB - JACKSON, RODONNA	INVESTMENT ADVISORY (PARTICIPANTS)	727.66	2.27	0.00	2.27	0.5	0.01
	ACCOUNT #: 510721	BUY 0 Units @0.0727						
04/25/2018	***-**-07FB - BOEKE, JESSALYN	INVESTMENT ADVISORY (PARTICIPANTS)	2,210.39	6.91	0.00	6.91	0.5	0.03
	ACCOUNT #: 512330	BUY 0 Units @0.2210						
04/25/2018	***-**-5121 - GRUBE, STEPHANIE	INVESTMENT ADVISORY (PARTICIPANTS)	622.76	1.95	0.00	1.95	0.5	0.01
	ACCOUNT #: 515286	BUY 0 Units @0.0622						
04/25/2018	***-**-6346 - GALLAGHER, TARA	INVESTMENT ADVISORY (PARTICIPANTS)	2,796.95	8.74	0.00	8.74	0.5	0.04
	ACCOUNT #: 517277	BUY 0 Units @0.2796						
04/25/2018	***-**-22FB - GABEL, JEFFREY	INVESTMENT ADVISORY (PARTICIPANTS)	322,942.51	807.36	0.00	807.36	0.5	4.04
	ACCOUNT #: 518726	BUY 0 Units @32.2942						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-84FB - GABEL, KAREN	INVESTMENT ADVISORY (PARTICIPANTS)	40,957.41	102.39	0.00	102.39	0.5	0.51
	ACCOUNT #: 518731	BUY 0 Units @4.0957						
04/25/2018	***-**-82FB - STAINBROOK, PAUL	INVESTMENT ADVISORY (PARTICIPANTS)	430.68	1.35	0.00	1.35	0.5	0.01
	ACCOUNT #: 519442	BUY 0 Units @0.0430						
04/25/2018	***-**-77FB - BINNS, GARY	INVESTMENT ADVISORY (PARTICIPANTS)	70,376.59	175.94	0.00	175.94	0.5	0.88
	ACCOUNT #: 520112	BUY 0 Units @7.0376						
04/25/2018	***-**-71FB - SHOUSE, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	523.01	1.63	0.00	1.63	0.5	0.01
	ACCOUNT #: 522147	BUY 0 Units @0.0523						
04/25/2018	***-**-37FB - KOVAC, WILLIAM	INVESTMENT ADVISORY (PARTICIPANTS)	1,803.47	5.64	0.00	5.64	0.5	0.03
	ACCOUNT #: 526118	BUY 0 Units @0.1803						
04/25/2018	***-**-15FB - KREJCI, REBECCA	INVESTMENT ADVISORY (PARTICIPANTS)	2,074.62	6.48	0.00	6.48	0.5	0.03
	ACCOUNT #: 529553	BUY 0 Units @0.2074						
04/25/2018	***-**-14IN - CIAVARELLI,	INVESTMENT ADVISORY (PARTICIPANTS)	43,080.28	107.70	0.00	107.70	0.5	0.54
	ACCOUNT #: 532185	BUY 0 Units @4.3080						
04/25/2018	***-**-98FB - LESUER, DEBORAH	INVESTMENT ADVISORY (PARTICIPANTS)	47,540.55	118.85	0.00	118.85	0.5	0.59
	ACCOUNT #: 535200	BUY 0 Units @4.7540						
04/25/2018	***-**-54FB - POPA, STEPHEN	INVESTMENT ADVISORY (PARTICIPANTS)	36,136.63	112.93	0.00	112.93	0.5	0.56
	ACCOUNT #: 534784	BUY 0 Units @3.6136						
04/25/2018	***-**-97FB - MICHAEL, ASHLEY	INVESTMENT ADVISORY (PARTICIPANTS)	463.20	1.45	0.00	1.45	0.5	0.01
	ACCOUNT #: 535252	BUY 0 Units @0.0463						
04/25/2018	***-**-43FB - GILBERT, SHELLY	INVESTMENT ADVISORY (PARTICIPANTS)	3,133.78	7.83	0.00	7.83	0.5	0.04
	ACCOUNT #: 538434	BUY 0 Units @0.3133						
04/25/2018	***-**-49FB - SCOTT, DYANA	INVESTMENT ADVISORY (PARTICIPANTS)	382.99	1.20	0.00	1.20	0.5	0.01
	ACCOUNT #: 538540	BUY 0 Units @0.0382						
04/25/2018	***-**-65FB - STOLTZ, CLAIRE	INVESTMENT ADVISORY (PARTICIPANTS)	780.02	1.95	0.00	1.95	0.5	0.01
	ACCOUNT #: 538657	BUY 0 Units @0.0780						
04/25/2018	***-**-16IN - MAGNES, MICHAEL	INVESTMENT ADVISORY (PARTICIPANTS)	105,285.44	263.21	0.00	263.21	0.5	1.32
	ACCOUNT #: 539008	BUY 0 Units @10.5285						
04/25/2018	***-**-19FB - BAILEY,	INVESTMENT ADVISORY (PARTICIPANTS)	435.94	1.36	0.00	1.36	0.5	0.01
	ACCOUNT #: 539242	BUY 0 Units @0.0435						
04/25/2018	***-**-97FB - MCCARTNEY,	INVESTMENT ADVISORY (PARTICIPANTS)	60,084.60	150.21	0.00	150.21	0.5	0.75
	ACCOUNT #: 539428	BUY 0 Units @6.0084						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: JON HEDDLESON (continued)</u>								
04/25/2018	***-**-50FB - JESKIE, LISA	INVESTMENT ADVISORY (PARTICIPANTS)	14,902.94	37.26	0.00	37.26	0.5	0.19
	ACCOUNT #: 540436	BUY 0 Units @1.4902						
04/30/2018	***-**-69IN - FRONZAGLIA,	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.97	0.00	2.97	0.5	0.01
	ACCOUNT #: 232438	BUY 0 Units @0.0000						
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	10.95	0.00	10.95	0.5	0.05
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-20IN - LOWE, CHRISTINE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	25.50	0.00	25.50	0.5	0.13
	ACCOUNT #: 409337	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - SMOLEY, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.93	0.00	2.93	0.5	0.01
	ACCOUNT #: 409344	BUY 0 Units @0.0000						
04/30/2018	***-**-58IN - BOES, BRAD	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	1.09	0.00	1.09	0.5	0.01
	ACCOUNT #: 410485	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - HIATT, RHONDA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.40	0.00	3.40	0.5	0.02
	ACCOUNT #: 403642	BUY 0 Units @0.0000						
04/30/2018	***-**-00FB - LAMMERS, JULIA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.43	0.00	4.43	0.5	0.02
	ACCOUNT #: 433953	BUY 0 Units @0.0000						
04/30/2018	***-**-08IN - MCADOO, STEVEN	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.91	0.00	4.91	0.5	0.02
	ACCOUNT #: 403640	BUY 0 Units @0.0000						
04/30/2018	***-**-64IN - MOORE, DIANA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.50	0.00	3.50	0.5	0.02
	ACCOUNT #: 403639	BUY 0 Units @0.0000						
04/30/2018	***-**-94IN - THEIS, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.17	0.00	4.17	0.5	0.02
	ACCOUNT #: 178729	BUY 0 Units @0.0000						
04/30/2018	***-**-42IN - ZIEMNIK, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.94	0.00	2.94	0.5	0.01
	ACCOUNT #: 199429	BUY 0 Units @0.0000						
04/30/2018	***-**-51IN - WOHLGAMUTH,	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	24.70	0.00	24.70	0.5	0.12
	ACCOUNT #: 209338	BUY 0 Units @0.0000						
04/30/2018	***-**-20IN - LOWE, CHRISTINE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	31.71	0.00	31.71	0.5	0.16
	ACCOUNT #: 409337	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - SMOLEY, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.65	0.00	3.65	0.5	0.02
	ACCOUNT #: 409344	BUY 0 Units @0.0000						
04/30/2018	***-**-42IN - ZIEMNIK, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.66	0.00	3.66	0.5	0.02
	ACCOUNT #: 199429	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	11.21	0.00	11.21	0.5	0.06
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-58IN - BOES, BRAD	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	1.25	0.00	1.25	0.5	0.01
	ACCOUNT #: 410485	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - HIATT, RHONDA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.23	0.00	4.23	0.5	0.02
	ACCOUNT #: 403642	BUY 0 Units @0.0000						
04/30/2018	***-**-00FB - LAMMERS, JULIA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	5.51	0.00	5.51	0.5	0.03
	ACCOUNT #: 433953	BUY 0 Units @0.0000						
04/30/2018	***-**-08IN - MCADOO, STEVEN	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.11	0.00	6.11	0.5	0.03
	ACCOUNT #: 403640	BUY 0 Units @0.0000						
04/30/2018	***-**-64IN - MOORE, DIANA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.36	0.00	4.36	0.5	0.02
	ACCOUNT #: 403639	BUY 0 Units @0.0000						
04/30/2018	***-**-94IN - THEIS, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	5.19	0.00	5.19	0.5	0.03
	ACCOUNT #: 178729	BUY 0 Units @0.0000						
04/30/2018	***-**-98IN - WHEELER, KATIE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	1.15	0.00	1.15	0.5	0.01
	ACCOUNT #: 403613	BUY 0 Units @0.0000						
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.84	0.00	6.84	0.5	0.03
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - HIATT, RHONDA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.93	0.00	6.93	0.5	0.03
	ACCOUNT #: 403642	BUY 0 Units @0.0000						
04/30/2018	***-**-08IN - MCADOO, STEVEN	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	10.00	0.00	10.00	0.5	0.05
	ACCOUNT #: 403640	BUY 0 Units @0.0000						
04/30/2018	***-**-64IN - MOORE, DIANA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	7.12	0.00	7.12	0.5	0.04
	ACCOUNT #: 403639	BUY 0 Units @0.0000						
04/30/2018	***-**-94IN - THEIS, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	8.48	0.00	8.48	0.5	0.04
	ACCOUNT #: 178729	BUY 0 Units @0.0000						
04/30/2018	***-**-98IN - WHEELER, KATIE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	1.08	0.00	1.08	0.5	0.01
	ACCOUNT #: 403613	BUY 0 Units @0.0000						
04/30/2018	***-**-90FB - GILTS, JANINE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.77	0.00	2.77	0.5	0.01
	ACCOUNT #: 501576	BUY 0 Units @0.0000						
04/30/2018	***-**-51IN - WOHLGAMUTH,	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	26.20	0.00	26.20	0.5	0.13
	ACCOUNT #: 209338	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/30/2018	***-**-58IN - BOES, BRAD ACCOUNT #: 410485	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	0.5	0.01
04/30/2018	***-**-29IN - HIATT, RHONDA ACCOUNT #: 403642	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	3.70	0.00	3.70	0.5	0.02
04/30/2018	***-**-00FB - LAMMERS, JULIA ACCOUNT #: 433953	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	4.81	0.00	4.81	0.5	0.02
04/30/2018	***-**-08IN - MCADOO, STEVEN ACCOUNT #: 403640	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	5.34	0.00	5.34	0.5	0.03
04/30/2018	***-**-64IN - MOORE, DIANA ACCOUNT #: 403639	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	3.81	0.00	3.81	0.5	0.02
04/30/2018	***-**-94IN - THEIS, JENNIFER ACCOUNT #: 178729	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	4.52	0.00	4.52	0.5	0.02
04/30/2018	***-**-20IN - LOWE, CHRISTINE ACCOUNT #: 409337	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	27.66	0.00	27.66	0.5	0.14
04/30/2018	***-**-29IN - SMOLEY, DENISE ACCOUNT #: 409344	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	3.18	0.00	3.18	0.5	0.02
04/30/2018	***-**-90FB - GILTS, JANINE ACCOUNT #: 501576	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	0.5	0.01
04/30/2018	***-**-51IN - WOHLGAMUTH, ACCOUNT #: 209338	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	26.16	0.00	26.16	0.5	0.13
04/30/2018	***-**-46IN - SANSON, ANTHONY ACCOUNT #: 216463	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	8.93	0.00	8.93	0.5	0.04
04/30/2018	***-**-42IN - ZIEMNIK, SARA ACCOUNT #: 199429	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	3.19	0.00	3.19	0.5	0.02
04/30/2018	***-**-90FB - GILTS, JANINE ACCOUNT #: 501576	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	0.5	0.01
04/30/2018	***-**-42IN - ZIEMNIK, SARA ACCOUNT #: 199429	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	2.50	0.00	2.50	0.5	0.01
04/30/2018	***-**-51IN - WOHLGAMUTH, ACCOUNT #: 209338	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	27.36	0.00	27.36	0.5	0.14
04/30/2018	***-**-20IN - LOWE, CHRISTINE ACCOUNT #: 409337	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	52.25	0.00	52.25	0.5	0.26



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: JON HEDDLESON (continued)</u>								
04/30/2018	***-**-29IN - SMOLEY, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.00	0.00	6.00	0.5	0.03
	ACCOUNT #: 409344	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - HIATT, RHONDA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.83	0.00	2.83	0.5	0.01
	ACCOUNT #: 403642	BUY 0 Units @0.0000						
04/30/2018	***-**-00FB - LAMMERS, JULIA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.68	0.00	3.68	0.5	0.02
	ACCOUNT #: 433953	BUY 0 Units @0.0000						
04/30/2018	***-**-08IN - MCADOO, STEVEN	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.08	0.00	4.08	0.5	0.02
	ACCOUNT #: 403640	BUY 0 Units @0.0000						
04/30/2018	***-**-64IN - MOORE, DIANA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.90	0.00	2.90	0.5	0.01
	ACCOUNT #: 403639	BUY 0 Units @0.0000						
04/30/2018	***-**-94IN - THEIS, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.45	0.00	3.45	0.5	0.02
	ACCOUNT #: 178729	BUY 0 Units @0.0000						
04/30/2018	***-**-58IN - BOES, BRAD	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	2.05	0.00	2.05	0.5	0.01
	ACCOUNT #: 410485	BUY 0 Units @0.0000						
04/30/2018	***-**-00FB - LAMMERS, JULIA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	9.07	0.00	9.07	0.5	0.05
	ACCOUNT #: 433953	BUY 0 Units @0.0000						
04/30/2018	***-**-42IN - ZIEMNIK, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.01	0.00	6.01	0.5	0.03
	ACCOUNT #: 199429	BUY 0 Units @0.0000						
04/30/2018	***-**-58IN - BOES, BRAD	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	1.09	0.00	1.09	0.5	0.01
	ACCOUNT #: 410485	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - HIATT, RHONDA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.69	0.00	3.69	0.5	0.02
	ACCOUNT #: 403642	BUY 0 Units @0.0000						
04/30/2018	***-**-00FB - LAMMERS, JULIA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.80	0.00	4.80	0.5	0.02
	ACCOUNT #: 433953	BUY 0 Units @0.0000						
04/30/2018	***-**-08IN - MCADOO, STEVEN	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	5.32	0.00	5.32	0.5	0.03
	ACCOUNT #: 403640	BUY 0 Units @0.0000						
04/30/2018	***-**-64IN - MOORE, DIANA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.79	0.00	3.79	0.5	0.02
	ACCOUNT #: 403639	BUY 0 Units @0.0000						
04/30/2018	***-**-94IN - THEIS, JENNIFER	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	4.52	0.00	4.52	0.5	0.02
	ACCOUNT #: 178729	BUY 0 Units @0.0000						
04/30/2018	***-**-42IN - ZIEMNIK, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.20	0.00	3.20	0.5	0.02
	ACCOUNT #: 199429	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: JON HEDDLESON (continued) </u>								
04/30/2018	***-**-20IN - LOWE, CHRISTINE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	27.69	0.00	27.69	0.5	0.14
	ACCOUNT #: 409337	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - SMOLEY, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.19	0.00	3.19	0.5	0.02
	ACCOUNT #: 409344	BUY 0 Units @0.0000						
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	5.97	0.00	5.97	0.5	0.03
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	9.13	0.00	9.13	0.5	0.05
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	41.67	0.00	41.67	0.5	0.21
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-20IN - LOWE, CHRISTINE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	96.80	0.00	96.80	0.5	0.48
	ACCOUNT #: 409337	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - SMOLEY, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	11.13	0.00	11.13	0.5	0.06
	ACCOUNT #: 409344	BUY 0 Units @0.0000						
04/30/2018	***-**-42IN - ZIEMNIK, SARA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.48	0.00	6.48	0.5	0.03
	ACCOUNT #: 199429	BUY 0 Units @0.0000						
04/30/2018	***-**-46IN - SANSON, ANTHONY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	24.23	0.00	24.23	0.5	0.12
	ACCOUNT #: 216463	BUY 0 Units @0.0000						
04/30/2018	***-**-20IN - LOWE, CHRISTINE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	56.29	0.00	56.29	0.5	0.28
	ACCOUNT #: 409337	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - SMOLEY, DENISE	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	6.47	0.00	6.47	0.5	0.03
	ACCOUNT #: 409344	BUY 0 Units @0.0000						
04/30/2018	***-**-06IN - BLAND, SYDNEY	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	1.55	0.00	1.55	0.5	0.01
	ACCOUNT #: 403644	BUY 0 Units @0.0000						
04/30/2018	***-**-58IN - BOES, BRAD	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	3.81	0.00	3.81	0.5	0.02
	ACCOUNT #: 410485	BUY 0 Units @0.0000						
04/30/2018	***-**-29IN - HIATT, RHONDA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	12.91	0.00	12.91	0.5	0.06
	ACCOUNT #: 403642	BUY 0 Units @0.0000						
04/30/2018	***-**-00FB - LAMMERS, JULIA	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	16.80	0.00	16.80	0.5	0.08
	ACCOUNT #: 433953	BUY 0 Units @0.0000						
04/30/2018	***-**-08IN - MCADOO, STEVEN	INVESTMENT ADVISORY (PARTICIPANTS)	0.00	18.64	0.00	18.64	0.5	0.09
	ACCOUNT #: 403640	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/30/2018	***-**-64IN - MOORE, DIANA ACCOUNT #: 403639	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	13.28	0.00	13.28	0.5	0.07
04/30/2018	***-**-94IN - THEIS, JENNIFER ACCOUNT #: 178729	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	15.80	0.00	15.80	0.5	0.08
04/30/2018	***-**-98IN - WHEELER, KATIE ACCOUNT #: 403613	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	1.41	0.00	1.41	0.5	0.01
04/30/2018	***-**-42IN - ZIEMNIK, SARA ACCOUNT #: 199429	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	11.15	0.00	11.15	0.5	0.06
04/30/2018	***-**-90FB - GILTS, JANINE ACCOUNT #: 501576	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	2.78	0.00	2.78	0.5	0.01
04/30/2018	***-**-58IN - BOES, BRAD ACCOUNT #: 410485	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	2.21	0.00	2.21	0.5	0.01
04/30/2018	***-**-29IN - HIATT, RHONDA ACCOUNT #: 403642	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	7.50	0.00	7.50	0.5	0.04
04/30/2018	***-**-00FB - LAMMERS, JULIA ACCOUNT #: 433953	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	9.77	0.00	9.77	0.5	0.05
04/30/2018	***-**-08IN - MCADOO, STEVEN ACCOUNT #: 403640	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	10.83	0.00	10.83	0.5	0.05
04/30/2018	***-**-64IN - MOORE, DIANA ACCOUNT #: 403639	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	7.71	0.00	7.71	0.5	0.04
04/30/2018	***-**-94IN - THEIS, JENNIFER ACCOUNT #: 178729	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	9.17	0.00	9.17	0.5	0.05
04/30/2018	***-**-98IN - WHEELER, KATIE ACCOUNT #: 403613	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	0.5	0.01
04/30/2018	***-**-20IN - LOWE, CHRISTINE ACCOUNT #: 409337	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	21.20	0.00	21.20	0.5	0.11
04/30/2018	***-**-29IN - SMOLEY, DENISE ACCOUNT #: 409344	INVESTMENT ADVISORY (PARTICIPANTS) BUY 0 Units @0.0000	0.00	2.44	0.00	2.44	0.5	0.01
04/30/2018	***-**-66FB - FIATAL, LINDA ACCOUNT #: 965006383	SYMMETRY RIA BUY 0 Units @10.4578	104,578.14	261.45	0.00	261.45	0.5	1.31
04/30/2018	***-**-98FB - WHITE, DENISE ACCOUNT #: 965006706	SYMMETRY RIA BUY 0 Units @6.1967	61,967.65	154.92	0.00	154.92	0.5	0.77



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: JON HEDDLESON (continued)</u>								
04/30/2018	***-**-16FB - HENNEMYRE, JON ACCOUNT #: 965008326	SYMMETRY RIA BUY 0 Units @12.8139	128,139.60	320.35	0.00	320.35	0.5	1.60
04/30/2018	***-**-30FB - HOLLINGSWORTH, ACCOUNT #: 965911764	SYMMETRY RIA BUY 0 Units @3.0955	30,955.95	77.39	0.00	77.39	0.5	0.39
04/30/2018	***-**-18FB - NICOTERA, ACCOUNT #: 965911768	SYMMETRY RIA BUY 0 Units @1.4166	14,166.45	35.42	0.00	35.42	0.5	0.18
04/30/2018	***-**-18FB - NICOTERA, ACCOUNT #: 965911770	SYMMETRY RIA BUY 0 Units @7.7641	77,641.67	194.11	0.00	194.11	0.5	0.97
04/30/2018	***-**-13FB - WHITE, SUZANNE ACCOUNT #: 965911837	SYMMETRY RIA BUY 0 Units @10.7208	107,208.59	268.02	0.00	268.02	0.5	1.34
04/30/2018	***-**-13FB - WHITE, SUZANNE ACCOUNT #: 965911838	SYMMETRY RIA BUY 0 Units @3.3694	33,694.91	84.24	0.00	84.24	0.5	0.42
04/30/2018	***-**-47FB - HEDDLESON, RAY ACCOUNT #: 965912014	SYMMETRY RIA BUY 0 Units @2.5749	25,749.84	64.38	0.00	64.38	0.5	0.32
04/30/2018	***-**-93FB - HEDDLESON, ACCOUNT #: 965912017	SYMMETRY RIA BUY 0 Units @5.5425	55,425.55	138.57	0.00	138.57	0.5	0.69
04/30/2018	***-**-71FB - HLAS, LEE ACCOUNT #: 965912101	SYMMETRY RIA BUY 0 Units @6.5718	65,718.76	164.30	0.00	164.30	0.5	0.82
04/30/2018	***-**-94FB - NICOTERA, ACCOUNT #: 965912327	SYMMETRY RIA BUY 0 Units @2.6761	26,761.18	66.90	0.00	66.90	0.5	0.33
04/30/2018	***-**-67FB - ARMENTROUT, ACCOUNT #: 965912574	SYMMETRY RIA BUY 0 Units @2.5837	25,837.74	64.60	0.00	64.60	0.5	0.32
04/30/2018	***-**-49FB - SCOTT, DYANA ACCOUNT #: 965912624	SYMMETRY RIA BUY 0 Units @2.4313	24,313.65	60.79	0.00	60.79	0.5	0.30
04/30/2018	***-**-51FB - WOLF, STEPHEN ACCOUNT #: 965913353	SYMMETRY RIA BUY 0 Units @13.6478	136,478.11	426.50	0.00	426.50	0.5	2.13
04/30/2018	***-**-03FB - INFALVI, TRACY ACCOUNT #: 965913356	SYMMETRY RIA BUY 0 Units @4.9863	49,863.67	155.82	0.00	155.82	0.5	0.78
04/30/2018	***-**-82FB - EIDAM, DANIEL ACCOUNT #: 965913467	SYMMETRY RIA BUY 0 Units @3.7853	37,853.87	118.29	0.00	118.29	0.5	0.59
04/30/2018	***-**-43FB - GILBERT, SHELLY ACCOUNT #: 965913483	SYMMETRY RIA BUY 0 Units @2.5094	25,094.39	78.42	0.00	78.42	0.5	0.39



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: JON HEDDLESON (continued)</u> 								
04/30/2018	***-**-86FB - BRACCIO, DINA	SYMMETRY RIA	38,751.69	121.10	0.00	121.10	0.5	0.61
	ACCOUNT #: 965913826	BUY 0 Units @3.8751						
04/30/2018	***-**-68FB - SCHLOSSER,	SYMMETRY RIA	30,852.41	77.13	0.00	77.13	0.5	0.39
	ACCOUNT #: 965914014	BUY 0 Units @3.0852						
* JON HEDDLESON SUBTOTAL *			\$5,589,753.09	\$16,595.76	\$0.00	\$16,595.76		\$82.99
 <u>BROKER: DAVID GRIFFITH</u> 								
04/16/2018	***-**-817I - WADSWORTH,	AMERICAN GLOBAL BALANCED CL C	110.00	1.10	0.00	1.10	0.5	0.01
	ACCOUNT #: 00067441742	BUY 3 Units @32.7200						
04/16/2018	***-**-801I - HAGAN III,	AMERICAN GLOBAL BALANCED CL C	160.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: 00088485981	BUY 5 Units @32.7200						
04/05/2018	***-**-953I - DINITTO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 00088593891	BUY 2 Units @50.7600						
04/05/2018	***-**-953I - DINITTO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	-175.00	-1.75	0.00	-1.75	0.5	-0.01
	ACCOUNT #: 00088593891	BUY 3 Units @50.7600						
04/26/2018	***-**-510I - PEREZ, STACEY	CAPITAL WORLD GROWTH AND INCOME FUN	20,000.00	200.00	0.00	200.00	0.5	1.00
	ACCOUNT #: LSR011827	BUY 390 Units @51.2400						
04/26/2018	***-**-22IN - GRACIE, JULIA	ENERGY FUELS INC COM NEW ISIN #CA29	4,186.00	16.49	0.00	16.49	0.5	0.08
	ACCOUNT #: LSR011019	BUY 2300 Units @1.8200						
04/16/2018	***-**-5485 - GAETANO,	EUROPACIFIC GROWTH FUND-A	33.00	1.40	0.00	1.40	0.5	0.01
	ACCOUNT #: 00082975488	BUY 1 Units @60.0900						
04/16/2018	***-**-788I - ALBARRAN, CARLOS	FUNDAMENTAL INVESTORS-C	100.00	1.00	0.00	1.00	0.5	0.01
	ACCOUNT #: 00085186008	BUY 2 Units @62.4400						
04/30/2018	***-**-762I - STORSBERG,	LORD ABBETT FNDAMNTL EQUITY FD CL C	0.00	1.60	0.00	1.60	0.5	0.01
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
04/30/2018	***-**-762I - STORSBERG,	LORD ABBETT GROWTH OPPORTUNITIES CL	0.00	1.71	0.00	1.71	0.5	0.01
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
04/24/2018	***-**-762I - STORSBERG,	LORD ABBETT INTL DIVIDEND INC CL C	8,000.00	13.99	0.00	13.99	0.5	0.07
	ACCOUNT #: LSR011688	BUY 1038 Units @7.7100						
04/24/2018	***-**-762I - STORSBERG,	LORD ABBETT MULTI ASSET BALANCED OP	5,000.00	13.99	0.00	13.99	0.5	0.07
	ACCOUNT #: LSR011688	BUY 433 Units @11.5600						
04/24/2018	***-**-762I - STORSBERG,	LORD ABBETT MULTI ASSET GLOBAL OPP	7,000.00	13.99	0.00	13.99	0.5	0.07
	ACCOUNT #: LSR011688	BUY 682 Units @10.2700						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: DAVID GRIFFITH (continued)</u>								
04/30/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	4.35	0.00	4.35	0.5	0.02
04/30/2018	***-**-61IN - FILEY, JOHN ACCOUNT #: LSR011723	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	0.5	0.01
04/30/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT VALUE OPPORTUNITY FD CL BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	0.5	0.01
04/20/2018	***-**-686I - STRUMPFLER, MARK ACCOUNT #: 00060352385	MFS GLOBAL TOTAL RETURN FUND-B BUY 4 Units @18.3200	75.00	3.00	0.00	3.00	0.5	0.02
04/30/2018	***-**-93JT - WADSWORTH, ACCOUNT #: 77296639001	NYA JPM 529 ALL FIXED INCOME PO A BUY 0 Units @0.0000	100.00	4.05	0.00	4.05	0.5	0.02
04/30/2018	***-**-0862 - REDMOND, EILEEN ACCOUNT #: 77172702501	NYA JPM 529 MOD GROWTH POR C BUY 0 Units @0.0000	125.00	1.27	0.00	1.27	0.5	0.01
04/30/2018	***-**-244I - BURTON, RICHARD ACCOUNT #: LSR011997	PL PORTFOLIO OPTIMIZATION MODERATE BUY 1 Units @0.0000	0.00	1.35	0.00	1.35	0.5	0.01
04/16/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	SMALLCAP WORLD FUND-A BUY 1 Units @60.1300	33.00	1.40	0.00	1.40	0.5	0.01
04/26/2018	***-**-77IR - MARRONE, FBO MR ACCOUNT #: LSR011158	STEIN MART INC COM BUY 3500 Units @1.9400	6,790.00	59.49	0.00	59.49	0.5	0.30
04/26/2018	***-**-77TR - MARRONE TTEE ACCOUNT #: LSR011159	STEIN MART INC COM BUY 6000 Units @1.9400	11,640.00	99.49	0.00	99.49	0.5	0.50
04/30/2018	***-**-25IN - PERRITANO, ACCOUNT #: LSR010924	TA ASSET ALLOC MODERATE PORT CL A BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	0.5	0.01
04/16/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.4900	34.00	1.45	0.00	1.45	0.5	0.01
04/30/2018	***-**-94UT - MISHLANIE, ACCOUNT #: LSR010931	TRANSAMERICA BALANCED - A BUY 1 Units @0.0000	0.00	4.55	0.00	4.55	0.5	0.02
04/30/2018	***-**-41IN - HULL, ELMINA ACCOUNT #: LSR010999	TRANSAMERICA MLP & ENERGY INCOME CL BUY 1 Units @0.0000	0.00	4.00	0.00	4.00	0.5	0.02
04/30/2018	***-**-41IN - HULL, ELMINA ACCOUNT #: LSR010999	TRANSAMERICA MULT MAN ALT BUY 1 Units @0.0000	0.00	3.52	0.00	3.52	0.5	0.02
04/30/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	VOYA GLOBAL REAL ESTATE CLASS C BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	0.5	0.03



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: WILLIAM FRANCIS (continued) </u>								
		* DAVID GRIFFITH SUBTOTAL *	\$63,311.00	\$464.90	\$0.00	\$464.90		\$2.37
<u> BROKER: WILLIAM FRANCIS </u>								
04/25/2018	***-**-47FB - TORRES, SHARI	INVESTMENT ADVISORY (PARTICIPANTS)	46,722.30	116.81	0.00	116.81	0.5	0.58
	ACCOUNT #: 297864	BUY 0 Units @4.6722						
		* WILLIAM FRANCIS SUBTOTAL *	\$46,722.30	\$116.81	\$0.00	\$116.81		\$0.58
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$9,259,051.76	\$25,930.61	\$0.00	\$25,930.61		\$129.72

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$129.72
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$129.72
Year-to-date Earnings	\$8,308.70