

**COMMISSION STATEMENT****February 15, 2018**JAMES, M127 MAHER
3082 Quail Ridge CircleBROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/01/2018	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
01/26/2018	HOLMES, KAYLA	AM FUNDS CAPITAL INCOME BUILDER 529	166.67	1.67	0.00	1.67	90.0	1.50
01/30/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/30/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
02/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
02/01/2018	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
02/01/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
02/02/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
02/01/2018	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
02/02/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/01/2018	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
01/26/2018	HOLMES, KAYLA	AM FUNDS NEW ECONOMY 529C	166.67	1.67	0.00	1.67	90.0	1.50
02/01/2018	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/02/2018	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/02/2018	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
* 529 PLANS SUBTOTAL *			\$1,258.34	\$12.62	\$0.00	\$12.62		\$11.40
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2018	MAHER, HENRY	AM CENT CORPORATE BOND	0.00	27.79	0.00	27.79	90.0	25.01
01/17/2018	MAHER, HENRY	AM CENT EQUITY INCOME	0.00	34.82	0.00	34.82	90.0	31.34
01/17/2018	NUNEZ TRUST, LYNDIA	AM CENT MUTUAL GROWTH	0.00	18.96	0.00	18.96	90.0	17.06
01/17/2018	NUNEZ TRUST, LYNDIA	AM CENT MUTUAL GROWTH	0.00	56.89	0.00	56.89	90.0	51.20
01/17/2018	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	57.64	0.00	57.64	90.0	51.88
01/17/2018	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	19.21	0.00	19.21	90.0	17.29
01/17/2018	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	5.16	0.00	5.16	90.0	4.64
01/17/2018	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	1.72	0.00	1.72	90.0	1.55
01/17/2018	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	10.94	0.00	10.94	90.0	9.85
01/17/2018	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	32.83	0.00	32.83	90.0	29.55
01/17/2018	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	3.13	0.00	3.13	90.0	2.82
01/17/2018	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	9.38	0.00	9.38	90.0	8.44
01/17/2018	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	14.48	0.00	14.48	90.0	13.03
01/17/2018	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	43.43	0.00	43.43	90.0	39.09
01/17/2018	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	43.88	0.00	43.88	90.0	39.49
01/17/2018	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	14.63	0.00	14.63	90.0	13.17
01/17/2018	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	4.30	0.00	4.30	90.0	3.87
01/17/2018	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	1.43	0.00	1.43	90.0	1.29
01/17/2018	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	2.73	0.00	2.73	90.0	2.46
01/17/2018	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	8.18	0.00	8.18	90.0	7.36
01/17/2018	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	13.60	0.00	13.60	90.0	12.24
01/17/2018	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	40.79	0.00	40.79	90.0	36.71
01/17/2018	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	17.91	0.00	17.91	90.0	16.12
01/17/2018	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	53.72	0.00	53.72	90.0	48.35
01/10/2018	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	2.01	0.00	2.01	90.0	1.81
01/10/2018	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	7.67	0.00	7.67	90.0	6.90
01/10/2018	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.63	0.00	1.63	90.0	1.47
01/10/2018	PALMER, TODD	AM FUNDS AMCAP C	0.00	24.75	0.00	24.75	90.0	22.28
01/10/2018	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	24.49	0.00	24.49	90.0	22.04

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	10.25	0.00	10.25	90.0	9.23
01/10/2018	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.40	0.00	0.40	90.0	0.36
01/10/2018	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.52	0.00	4.52	90.0	4.07
01/10/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.26	0.00	2.26	90.0	2.03
01/10/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.93	0.00	2.93	90.0	2.64
01/10/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.03	0.00	2.03	90.0	1.83
01/10/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.09	0.00	2.09	90.0	1.88
01/10/2018	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	39.11	0.00	39.11	90.0	35.20
01/10/2018	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.48	0.00	7.48	90.0	6.73
01/10/2018	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.70	0.00	2.70	90.0	2.43
01/10/2018	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	25.51	0.00	25.51	90.0	22.96
01/10/2018	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.70	0.00	9.70	90.0	8.73
01/10/2018	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.60	0.00	11.60	90.0	10.44
01/10/2018	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.94	0.00	1.94	90.0	1.75
01/10/2018	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.20	0.00	9.20	90.0	8.28
01/10/2018	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.44	0.00	6.44	90.0	5.80
01/10/2018	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.72	0.00	7.72	90.0	6.95
01/10/2018	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.67	0.00	1.67	90.0	1.50
01/10/2018	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.98	0.00	5.98	90.0	5.38
01/10/2018	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	23.40	0.00	23.40	90.0	21.06
01/10/2018	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.56	0.00	12.56	90.0	11.30
01/10/2018	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.67	0.00	6.67	90.0	6.00
01/10/2018	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	144.88	0.00	144.88	90.0	130.39
01/10/2018	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.67	0.00	3.67	90.0	3.30
01/10/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.98	0.00	6.98	90.0	6.28
01/10/2018	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.89	0.00	12.89	90.0	11.60
01/10/2018	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.54	0.00	9.54	90.0	8.59
01/10/2018	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.92	0.00	12.92	90.0	11.63
01/10/2018	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.09	0.00	10.09	90.0	9.08
01/10/2018	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.90	0.00	9.90	90.0	8.91
01/10/2018	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	23.42	0.00	23.42	90.0	21.08
01/10/2018	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.56	0.00	1.56	90.0	1.40
01/10/2018	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.97	0.00	1.97	90.0	1.77

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.47	0.00	1.47	90.0	1.32
01/10/2018	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.19	0.00	1.19	90.0	1.07
01/10/2018	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.33	0.00	1.33	90.0	1.20
01/10/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	3.09	0.00	3.09	90.0	2.78
01/10/2018	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.61	0.00	0.61	90.0	0.55
01/10/2018	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.32	0.00	1.32	90.0	1.19
01/10/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	9.33	0.00	9.33	90.0	8.40
01/10/2018	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.77	0.00	2.77	90.0	2.49
01/10/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.21	0.00	1.21	90.0	1.09
01/10/2018	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.93	0.00	1.93	90.0	1.74
01/10/2018	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.76	0.00	0.76	90.0	0.68
01/10/2018	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	90.0	0.16
01/10/2018	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	22.74	0.00	22.74	90.0	20.47
01/10/2018	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	61.01	0.00	61.01	90.0	54.91
01/10/2018	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.93	0.00	9.93	90.0	8.94
01/10/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.17	0.00	5.17	90.0	4.65
01/10/2018	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.73	0.00	5.73	90.0	5.16
01/10/2018	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.26	0.00	2.26	90.0	2.03
01/10/2018	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.72	0.00	8.72	90.0	7.85
01/10/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	23.07	0.00	23.07	90.0	20.76
01/10/2018	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.08	0.00	8.08	90.0	7.27
01/10/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.39	0.00	4.39	90.0	3.95
01/10/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.26	0.00	1.26	90.0	1.13
01/10/2018	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.88	0.00	5.88	90.0	5.29
01/10/2018	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.68	0.00	0.68	90.0	0.61
01/10/2018	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.48	0.00	1.48	90.0	1.33
01/10/2018	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	36.16	0.00	36.16	90.0	32.54
01/10/2018	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	12.20	0.00	12.20	90.0	10.98
01/10/2018	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	29.91	0.00	29.91	90.0	26.92
01/10/2018	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.92	0.00	23.92	90.0	21.53
01/10/2018	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	55.10	0.00	55.10	90.0	49.59
01/10/2018	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.97	0.00	8.97	90.0	8.07
01/10/2018	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.99	0.00	11.99	90.0	10.79

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.91	0.00	8.91	90.0	8.02
01/10/2018	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.46	0.00	4.46	90.0	4.01
01/10/2018	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.97	0.00	2.97	90.0	2.67
01/10/2018	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.81	0.00	4.81	90.0	4.33
01/10/2018	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.79	0.00	8.79	90.0	7.91
01/10/2018	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.85	0.00	6.85	90.0	6.17
01/10/2018	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.98	0.00	7.98	90.0	7.18
01/10/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.45	0.00	4.45	90.0	4.01
01/10/2018	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.11	0.00	1.11	90.0	1.00
01/10/2018	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.14	0.00	1.14	90.0	1.03
01/10/2018	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.23	0.00	10.23	90.0	9.21
01/10/2018	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.64	0.00	22.64	90.0	20.38
01/10/2018	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.25	0.00	10.25	90.0	9.23
01/10/2018	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.44	0.00	2.44	90.0	2.20
01/10/2018	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.73	0.00	10.73	90.0	9.66
01/10/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.63	0.00	4.63	90.0	4.17
01/10/2018	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.15	0.00	11.15	90.0	10.04
01/10/2018	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.61	0.00	7.61	90.0	6.85
01/10/2018	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.38	0.00	1.38	90.0	1.24
01/10/2018	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.26	0.00	1.26	90.0	1.13
01/10/2018	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.06	0.00	6.06	90.0	5.45
01/10/2018	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.94	0.00	0.94	90.0	0.85
01/10/2018	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.45	0.00	0.45	90.0	0.41
01/10/2018	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.13	0.00	1.13	90.0	1.02
01/10/2018	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	5.31	0.00	5.31	90.0	4.78
01/10/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.99	0.00	1.99	90.0	1.79
01/10/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.28	0.00	2.28	90.0	2.05
01/10/2018	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	210.86	0.00	210.86	90.0	189.77
01/10/2018	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	22.88	0.00	22.88	90.0	20.59
01/10/2018	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.86	0.00	4.86	90.0	4.37
01/10/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.02	0.00	1.02	90.0	0.92
01/10/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.96	0.00	13.96	90.0	12.56
01/10/2018	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.50	0.00	4.50	90.0	4.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.18	0.00	12.18	90.0	10.96
01/10/2018	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.67	0.00	6.67	90.0	6.00
01/10/2018	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.52	0.00	5.52	90.0	4.97
01/10/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	51.13	0.00	51.13	90.0	46.02
01/10/2018	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.18	0.00	5.18	90.0	4.66
01/10/2018	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.35	0.00	19.35	90.0	17.42
01/10/2018	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.57	0.00	31.57	90.0	28.41
01/10/2018	NUNEZ TRUST, LYNDIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	113.07	0.00	113.07	90.0	101.76
01/10/2018	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.78	0.00	13.78	90.0	12.40
01/10/2018	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.70	0.00	14.70	90.0	13.23
01/10/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.84	0.00	4.84	90.0	4.36
01/10/2018	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.42	0.00	14.42	90.0	12.98
01/10/2018	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.37	0.00	11.37	90.0	10.23
01/10/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.52	0.00	4.52	90.0	4.07
01/10/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.88	0.00	16.88	90.0	15.19
01/10/2018	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.62	0.00	13.62	90.0	12.26
01/10/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.18	0.00	5.18	90.0	4.66
01/10/2018	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.16	0.00	18.16	90.0	16.34
01/10/2018	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	32.99	0.00	32.99	90.0	29.69
01/10/2018	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	94.96	0.00	94.96	90.0	85.46
01/10/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.02	0.00	12.02	90.0	10.82
01/10/2018	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.71	0.00	15.71	90.0	14.14
01/10/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.14	0.00	10.14	90.0	9.13
01/10/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.09	0.00	12.09	90.0	10.88
01/10/2018	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.45	0.00	4.45	90.0	4.01
01/10/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.15	0.00	7.15	90.0	6.44
01/10/2018	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.33	0.00	14.33	90.0	12.90
01/10/2018	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.17	0.00	10.17	90.0	9.15
01/10/2018	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.63	0.00	19.63	90.0	17.67
01/10/2018	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.99	0.00	28.99	90.0	26.09
01/10/2018	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.38	0.00	38.38	90.0	34.54
01/10/2018	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.92	0.00	17.92	90.0	16.13

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.99	0.00	26.99	90.0	24.29
01/16/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	8,072.19	20.00	0.00	20.00	90.0	18.00
01/10/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.56	0.00	1.56	90.0	1.40
01/10/2018	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.18	0.00	2.18	90.0	1.96
01/10/2018	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.02	0.00	1.02	90.0	0.92
01/10/2018	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.18	0.00	0.18	90.0	0.16
01/10/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.97	0.00	1.97	90.0	1.77
01/10/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.50	0.00	2.50	90.0	2.25
01/10/2018	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.57	0.00	1.57	90.0	1.41
01/10/2018	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.44	0.00	3.44	90.0	3.10
01/10/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.02	0.00	2.02	90.0	1.82
01/10/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.73	0.00	4.73	90.0	4.26
01/10/2018	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.20	0.00	3.20	90.0	2.88
01/10/2018	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	27.03	0.00	27.03	90.0	24.33
01/10/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.26	0.00	5.26	90.0	4.73
01/10/2018	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.46	0.00	3.46	90.0	3.11
01/10/2018	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.42	0.00	5.42	90.0	4.88
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.47	0.00	4.47	90.0	4.02
01/16/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	7,773.54	20.00	0.00	20.00	90.0	18.00
01/10/2018	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.90	0.00	0.90	90.0	0.81
01/10/2018	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.47	0.00	1.47	90.0	1.32
01/10/2018	CARROLL, EILEEN	AM FUNDS ICA A	0.00	11.94	0.00	11.94	90.0	10.75
01/10/2018	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.49	0.00	9.49	90.0	8.54
01/10/2018	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.55	0.00	1.55	90.0	1.40
01/10/2018	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.62	0.00	7.62	90.0	6.86
01/03/2018	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	30,000.00	0.00	0.00	0.00	90.0	0.00
01/10/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	23.09	0.00	23.09	90.0	20.78
01/10/2018	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.47	0.00	20.47	90.0	18.42
01/10/2018	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	40.92	0.00	40.92	90.0	36.83
01/10/2018	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	60.93	0.00	60.93	90.0	54.84
01/10/2018	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.55	0.00	8.55	90.0	7.70
01/10/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	41.38	0.00	41.38	90.0	37.24
01/10/2018	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.97	0.00	7.97	90.0	7.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.71	0.00	1.71	90.0	1.54
01/10/2018	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	17.27	0.00	17.27	90.0	15.54
01/10/2018	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.86	0.00	10.86	90.0	9.77
01/10/2018	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	169.53	0.00	169.53	90.0	152.58
01/10/2018	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.86	0.00	14.86	90.0	13.37
01/10/2018	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.11	0.00	0.11	90.0	0.10
01/10/2018	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	1.02	0.00	1.02	90.0	0.92
01/10/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.75	0.00	0.75	90.0	0.68
01/10/2018	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	7.30	0.00	7.30	90.0	6.57
01/10/2018	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.71	0.00	3.71	90.0	3.34
01/10/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
01/10/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.28	0.00	1.28	90.0	1.15
01/10/2018	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	33.44	0.00	33.44	90.0	30.10
01/10/2018	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	8.30	0.00	8.30	90.0	7.47
01/10/2018	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	9.92	0.00	9.92	90.0	8.93
01/30/2018	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	18,200.00	25.00	0.00	25.00	90.0	22.50
01/10/2018	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.54	0.00	5.54	90.0	4.99
01/10/2018	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	17.97	0.00	17.97	90.0	16.17
01/10/2018	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.70	0.00	3.70	90.0	3.33
01/10/2018	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.68	0.00	1.68	90.0	1.51
01/10/2018	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.62	0.00	1.62	90.0	1.46
01/10/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.72	0.00	2.72	90.0	2.45
01/10/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.24	0.00	1.24	90.0	1.12
01/10/2018	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	40.04	0.00	40.04	90.0	36.04
01/19/2018	MARIN REV TRUST, NICHOLAS	AMERICAN BEACON BALANCED FUND C	0.00	42.85	0.00	42.85	90.0	38.57
01/19/2018	OLIVER, GINA	AMERICAN BEACON BALANCED FUND C	0.00	20.37	0.00	20.37	90.0	18.33
01/19/2018	OLIVER, WILLIAM	AMERICAN BEACON BALANCED FUND C	0.00	14.35	0.00	14.35	90.0	12.92
01/19/2018	MCCRACKEN, ROBERT	AMERICAN BEACON BALANCED FUND C	0.00	5.16	0.00	5.16	90.0	4.64
01/19/2018	MARKHAM, JASON	AMERICAN BEACON BALANCED FUND C	0.00	60.91	0.00	60.91	90.0	54.82
01/19/2018	HICKSON, MARK	AMERICAN BEACON BALANCED FUND C	0.00	4.30	0.00	4.30	90.0	3.87
01/19/2018	MAHER TRUST, MARY	AMERICAN BEACON SOUND PT FLOAT'G RA	0.00	65.40	0.00	65.40	90.0	58.86
01/10/2018	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.87	0.00	0.87	90.0	0.78
01/10/2018	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.96	0.00	0.96	90.0	0.86

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.70	0.00	2.70	90.0	2.43
01/10/2018	MAHER, KYLE	BLK BASIC VALUE	0.00	1.77	0.00	1.77	90.0	1.59
01/10/2018	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.99	0.00	0.99	90.0	0.89
01/10/2018	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	12.49	0.00	12.49	90.0	11.24
01/10/2018	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	41.38	0.00	41.38	90.0	37.24
01/10/2018	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	19.97	0.00	19.97	90.0	17.97
01/10/2018	HICKSON, ANDREW	COLUMBIA BALANCED FUND CLASS C	0.00	16.16	0.00	16.16	90.0	14.54
01/10/2018	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	46.89	0.00	46.89	90.0	42.20
01/10/2018	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	11.56	0.00	11.56	90.0	10.40
01/10/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	8.68	0.00	8.68	90.0	7.81
01/10/2018	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	8.34	0.00	8.34	90.0	7.51
01/10/2018	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	5.54	0.00	5.54	90.0	4.99
01/10/2018	NUNEZ TRUST, LYNDA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	21.68	0.00	21.68	90.0	19.51
01/10/2018	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.31	0.00	10.31	90.0	9.28
01/10/2018	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.15	0.00	9.15	90.0	8.24
01/10/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.82	0.00	6.82	90.0	6.14
01/10/2018	NUNEZ TRUST, LYNDA	COLUMBIA SER TR II MASS	0.00	24.54	0.00	24.54	90.0	22.09
01/30/2018	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	30.00	0.30	0.00	0.30	90.0	0.27
01/10/2018	MAHER, HENRY	DAVIS FINANCIAL A	0.00	8.43	0.00	8.43	90.0	7.59
01/12/2018	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	11.60	0.00	11.60	90.0	10.44
01/10/2018	EBERHARD, KARIN	DEUTSCHE CORE EQUITY FUND CLASS C	0.00	0.60	0.00	0.60	90.0	0.54
01/16/2018	JACK, KELLY	DEUTSCHE CORE EQUITY FUND CLASS C	15,500.00	175.00	0.00	175.00	90.0	157.50
01/10/2018	MAHER, HENRY	DEUTSCHE WORLD DIVIDEND FUND-C	0.00	132.88	0.00	132.88	90.0	119.59
01/10/2018	MAHER, HENRY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	81.31	0.00	81.31	90.0	73.18
01/10/2018	FRASER, SHARON	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	39.05	0.00	39.05	90.0	35.15
01/10/2018	MARIN CUST ACCT, NICHOLAS	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	42.59	0.00	42.59	90.0	38.33
01/10/2018	LUJAN-LABADIE, JENNIFER	DWSE HIGH INCOME FUND C	0.00	41.88	0.00	41.88	90.0	37.69
01/10/2018	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	6.20	0.00	6.20	90.0	5.58
01/10/2018	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	89.98	0.00	89.98	90.0	80.98
01/10/2018	JACK, STANLEY	FRANKLIN CORFOLIO	0.00	0.03	0.00	0.03	90.0	0.03
01/10/2018	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.49	0.00	1.49	90.0	1.34
01/10/2018	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.07	0.00	2.07	90.0	1.86
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.33	0.00	3.33	90.0	3.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	EBERHARD, KARIN	FRANKLIN GROWTH C	0.00	0.55	0.00	0.55	90.0	0.50
01/10/2018	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	17.25	0.00	17.25	90.0	15.53
01/10/2018	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.69	0.00	0.69	90.0	0.62
01/10/2018	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.86	0.00	0.86	90.0	0.77
01/08/2018	PIASCIK, SHAWN	FRANKLIN INCOME C	16,000.00	20.00	0.00	20.00	90.0	18.00
01/10/2018	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.82	0.00	5.82	90.0	5.24
01/10/2018	DAVIS, ALTON	FRANKLIN INCOME C	0.00	6.49	0.00	6.49	90.0	5.84
01/10/2018	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.89	0.00	9.89	90.0	8.90
01/10/2018	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	14.36	0.00	14.36	90.0	12.92
01/10/2018	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	3.06	0.00	3.06	90.0	2.75
01/10/2018	NUNEZ TRUST, LYNDIA	FRANKLIN INCOME C	0.00	76.32	0.00	76.32	90.0	68.69
01/10/2018	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.98	0.00	4.98	90.0	4.48
01/10/2018	DARR, CRAIG	FRANKLIN INCOME C	0.00	7.14	0.00	7.14	90.0	6.43
01/10/2018	HOPPE, KYLE	FRANKLIN INCOME C	0.00	8.31	0.00	8.31	90.0	7.48
01/10/2018	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.28	0.00	6.28	90.0	5.65
01/10/2018	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.41	0.00	3.41	90.0	3.07
01/10/2018	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.88	0.00	5.88	90.0	5.29
01/10/2018	PALMERI, PAUL	FRANKLIN INCOME C	0.00	11.03	0.00	11.03	90.0	9.93
01/10/2018	MAHER, HENRY	FRANKLIN INCOME C	0.00	14.15	0.00	14.15	90.0	12.74
01/10/2018	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.25	0.00	2.25	90.0	2.03
01/10/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	1.02	0.00	1.02	90.0	0.92
01/10/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.72	0.00	12.72	90.0	11.45
01/10/2018	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.35	0.00	6.35	90.0	5.72
01/10/2018	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.86	0.00	7.86	90.0	7.07
01/10/2018	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.33	0.00	7.33	90.0	6.60
01/10/2018	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.70	0.00	7.70	90.0	6.93
01/10/2018	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.66	0.00	6.66	90.0	5.99
01/10/2018	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	13.15	0.00	13.15	90.0	11.84
01/10/2018	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	15.38	0.00	15.38	90.0	13.84
01/10/2018	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	7.15	0.00	7.15	90.0	6.44
01/10/2018	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	6.20	0.00	6.20	90.0	5.58
01/10/2018	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.68	0.00	7.68	90.0	6.91
01/10/2018	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	5.20	0.00	5.20	90.0	4.68

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.74	0.00	4.74	90.0	4.27
01/10/2018	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	103.93	0.00	103.93	90.0	93.54
01/10/2018	DE FIORE, LAWRENCE	FRANKLIN INCOME C	0.00	0.21	0.00	0.21	90.0	0.19
01/10/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	8.17	0.00	8.17	90.0	7.35
01/10/2018	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	7.02	0.00	7.02	90.0	6.32
01/10/2018	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.63	0.00	4.63	90.0	4.17
01/10/2018	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	9.51	0.00	9.51	90.0	8.56
01/10/2018	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	9.26	0.00	9.26	90.0	8.33
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.88	0.00	8.88	90.0	7.99
01/10/2018	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.14	0.00	3.14	90.0	2.83
01/10/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.98	0.00	10.98	90.0	9.88
01/10/2018	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	10.11	0.00	10.11	90.0	9.10
01/10/2018	PALMER, TODD	FRANKLIN INCOME C	0.00	16.69	0.00	16.69	90.0	15.02
01/10/2018	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	21.18	0.00	21.18	90.0	19.06
01/10/2018	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.59	0.00	6.59	90.0	5.93
01/11/2018	DE FIORE, LAWRENCE	FRANKLIN INCOME C	26,079.13	20.00	0.00	20.00	90.0	18.00
01/19/2018	DAVIS, ALTON	FRANKLIN INCOME C	12,047.05	20.00	0.00	20.00	90.0	18.00
01/30/2018	GILLESPIE, HEATHER	FRANKLIN INCOME C	7,500.00	25.00	0.00	25.00	90.0	22.50
01/10/2018	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	33.47	0.00	33.47	90.0	30.12
01/10/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	0.00	0.20	0.00	0.20	90.0	0.18
01/11/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	26,974.64	20.00	0.00	20.00	90.0	18.00
01/10/2018	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.91	0.00	10.91	90.0	9.82
01/10/2018	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	30.31	0.00	30.31	90.0	27.28
01/10/2018	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.55	0.00	5.55	90.0	5.00
01/10/2018	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.22	0.00	1.22	90.0	1.10
01/10/2018	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.19	0.00	4.19	90.0	3.77
01/10/2018	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.60	0.00	5.60	90.0	5.04
01/10/2018	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.29	0.00	0.29	90.0	0.26
01/10/2018	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.54	0.00	1.54	90.0	1.39
01/10/2018	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.57	0.00	2.57	90.0	2.31
01/10/2018	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.47	0.00	1.47	90.0	1.32
01/10/2018	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	9.20	0.00	9.20	90.0	8.28
01/10/2018	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	25.77	0.00	25.77	90.0	23.19

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	22.39	0.00	22.39	90.0	20.15
01/10/2018	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	26.38	0.00	26.38	90.0	23.74
01/10/2018	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.96	0.00	2.96	90.0	2.66
01/10/2018	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.99	0.00	7.99	90.0	7.19
01/10/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	5.48	0.00	5.48	90.0	4.93
01/10/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.62	0.00	2.62	90.0	2.36
01/10/2018	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	19.58	0.00	19.58	90.0	17.62
01/10/2018	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	5.14	0.00	5.14	90.0	4.63
01/10/2018	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	46.04	0.00	46.04	90.0	41.44
01/10/2018	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	7.25	0.00	7.25	90.0	6.53
01/10/2018	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	12.25	0.00	12.25	90.0	11.03
01/10/2018	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	11.73	0.00	11.73	90.0	10.56
01/10/2018	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	16.39	0.00	16.39	90.0	14.75
01/10/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	12.18	0.00	12.18	90.0	10.96
01/10/2018	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	7.36	0.00	7.36	90.0	6.62
01/10/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	3.23	0.00	3.23	90.0	2.91
01/10/2018	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	15.70	0.00	15.70	90.0	14.13
01/10/2018	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	10.97	0.00	10.97	90.0	9.87
01/10/2018	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	13.71	0.00	13.71	90.0	12.34
01/10/2018	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.93	0.00	5.93	90.0	5.34
01/10/2018	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.78	0.00	7.78	90.0	7.00
01/10/2018	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	8.67	0.00	8.67	90.0	7.80
01/10/2018	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.61	0.00	1.61	90.0	1.45
01/10/2018	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.51	0.00	2.51	90.0	2.26
01/10/2018	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	10.80	0.00	10.80	90.0	9.72
01/10/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	9.00	0.00	9.00	90.0	8.10
01/10/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	63.17	0.00	63.17	90.0	56.85
01/10/2018	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	21.27	0.00	21.27	90.0	19.14
01/10/2018	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	10.29	0.00	10.29	90.0	9.26
01/10/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	16.61	0.00	16.61	90.0	14.95
01/10/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	61.58	0.00	61.58	90.0	55.42
01/10/2018	OLIVER, WILLIAM	FRANKLIN RISING DIVIDENDS C	0.00	0.38	0.00	0.38	90.0	0.34
01/10/2018	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	12.01	0.00	12.01	90.0	10.81

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	7.57	0.00	7.57	90.0	6.81
01/10/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.61	0.00	12.61	90.0	11.35
01/10/2018	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.25	0.00	10.25	90.0	9.23
01/10/2018	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	15.21	0.00	15.21	90.0	13.69
01/10/2018	DE FIORE, LAWRENCE	FRANKLIN RISING DIVIDENDS C	0.00	0.71	0.00	0.71	90.0	0.64
01/10/2018	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.62	0.00	0.62	90.0	0.56
01/10/2018	EBERHARD, KARIN	FRANKLIN RISING DIVIDENDS C	0.00	0.53	0.00	0.53	90.0	0.48
01/10/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	25.73	0.00	25.73	90.0	23.16
01/10/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	9.73	0.00	9.73	90.0	8.76
01/10/2018	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	16.27	0.00	16.27	90.0	14.64
01/10/2018	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	17.78	0.00	17.78	90.0	16.00
01/10/2018	JACK, STANLEY	FRANKLIN RISING DIVIDENDS C	0.00	0.43	0.00	0.43	90.0	0.39
01/10/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	27.31	0.00	27.31	90.0	24.58
01/10/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	1.09	0.00	1.09	90.0	0.98
01/10/2018	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	24.00	0.00	24.00	90.0	21.60
01/10/2018	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	7.07	0.00	7.07	90.0	6.36
01/10/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	13.66	0.00	13.66	90.0	12.29
01/10/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.81	0.00	6.81	90.0	6.13
01/10/2018	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.60	0.00	4.60	90.0	4.14
01/10/2018	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.64	0.00	4.64	90.0	4.18
01/10/2018	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	31.14	0.00	31.14	90.0	28.03
01/10/2018	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.95	0.00	8.95	90.0	8.06
01/10/2018	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.80	0.00	5.80	90.0	5.22
01/10/2018	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	20.28	0.00	20.28	90.0	18.25
01/10/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.40	0.00	0.40	90.0	0.36
01/10/2018	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.27	0.00	0.27	90.0	0.24
01/10/2018	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	15.68	0.00	15.68	90.0	14.11
01/10/2018	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	24.66	0.00	24.66	90.0	22.19
01/10/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	7.95	0.00	7.95	90.0	7.16
01/10/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	30.85	0.00	30.85	90.0	27.77
01/10/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.91	0.00	9.91	90.0	8.92
01/10/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	15.06	0.00	15.06	90.0	13.55
01/10/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	0.10	0.00	0.10	90.0	0.09

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.73	0.00	4.73	90.0	4.26
01/10/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	18.31	0.00	18.31	90.0	16.48
01/10/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	27.79	0.00	27.79	90.0	25.01
01/10/2018	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.45	0.00	11.45	90.0	10.31
01/10/2018	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	14.09	0.00	14.09	90.0	12.68
01/10/2018	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	15.15	0.00	15.15	90.0	13.64
01/10/2018	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.98	0.00	1.98	90.0	1.78
01/10/2018	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.60	0.00	8.60	90.0	7.74
01/10/2018	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	35.17	0.00	35.17	90.0	31.65
01/10/2018	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	22.75	0.00	22.75	90.0	20.48
01/10/2018	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	11.34	0.00	11.34	90.0	10.21
01/10/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	29.75	0.00	29.75	90.0	26.78
01/10/2018	WHEELER, WILLARD	FRANKLIN RISING DIVIDENDS C	0.00	12.22	0.00	12.22	90.0	11.00
01/10/2018	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	15.05	0.00	15.05	90.0	13.55
01/10/2018	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	38.26	0.00	38.26	90.0	34.43
01/10/2018	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	14.81	0.00	14.81	90.0	13.33
01/10/2018	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	12.25	0.00	12.25	90.0	11.03
01/10/2018	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	25.42	0.00	25.42	90.0	22.88
01/10/2018	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	5.48	0.00	5.48	90.0	4.93
01/10/2018	PALMER, TODD	FRANKLIN SMALL CAP VALUE C	0.00	0.90	0.00	0.90	90.0	0.81
01/10/2018	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	80.17	0.00	80.17	90.0	72.15
01/10/2018	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	13.28	0.00	13.28	90.0	11.95
01/10/2018	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	4.09	0.00	4.09	90.0	3.68
01/10/2018	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	23.03	0.00	23.03	90.0	20.73
01/10/2018	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.98	0.00	3.98	90.0	3.58
01/19/2018	DAVIS, ALTON	FRANKLIN UTILITIES C	22,327.88	20.00	0.00	20.00	90.0	18.00
01/10/2018	DE FIORE, LAWRENCE	FT EQUITY INC CL C	0.00	0.31	0.00	0.31	90.0	0.28
01/10/2018	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.71	0.00	5.71	90.0	5.14
01/10/2018	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	3.07	0.00	3.07	90.0	2.76
01/10/2018	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.62	0.00	8.62	90.0	7.76
01/10/2018	MAHER, KYLE	INVESCO MIDCAP GROWTH A	0.00	1.33	0.00	1.33	90.0	1.20
01/10/2018	MAHER, HENRY	IVY ASSET STRATEGY FUND CLASS A	0.00	7.11	0.00	7.11	90.0	6.40
01/10/2018	MAHER, MAIGHDLIN	IVY ASSET STRATEGY FUND CLASS A	0.00	0.30	0.00	0.30	90.0	0.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.31	0.00	0.31	90.0	0.28
01/10/2018	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.35	0.00	0.35	90.0	0.32
01/10/2018	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.50	0.00	1.50	90.0	1.35
01/10/2018	KORESKEY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	29.38	0.00	29.38	90.0	26.44
01/10/2018	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.45	0.00	1.45	90.0	1.31
01/10/2018	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	22.73	0.00	22.73	90.0	20.46
01/10/2018	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.81	0.00	6.81	90.0	6.13
01/10/2018	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	23.86	0.00	23.86	90.0	21.47
01/10/2018	MAHER, HENRY	MAINSTAY MAP A	0.00	14.22	0.00	14.22	90.0	12.80
01/10/2018	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.24	0.00	0.24	90.0	0.22
01/10/2018	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.35	0.00	0.35	90.0	0.32
01/10/2018	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.42	0.00	0.42	90.0	0.38
01/10/2018	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.85	0.00	1.85	90.0	1.67
01/10/2018	PROKOP, STANLEY	PIMCO FUNDS ALL ASSET C	0.00	339.96	0.00	339.96	90.0	305.96
01/10/2018	MARIN REV TRUST, NICHOLAS	PIMCO GNMA C	0.00	47.67	0.00	47.67	90.0	42.90
01/10/2018	MAHER, HENRY	PIMCO INCOME FUND C	0.00	108.96	0.00	108.96	90.0	98.06
01/10/2018	MAHER, HENRY	PIMCO LOW DURATION C	0.00	66.34	0.00	66.34	90.0	59.71
01/10/2018	COLASUONNO, RALPH	PIMCO REAL RETURN C	0.00	19.09	0.00	19.09	90.0	17.18
01/10/2018	PALMERI, DANIEL	PIMCO REAL RETURN C	0.00	3.61	0.00	3.61	90.0	3.25
01/10/2018	LOUGHLIN, DENNIS	PIMCO REAL RETURN C	0.00	10.29	0.00	10.29	90.0	9.26
01/10/2018	BELONGIE, GINGER	PIMCO REAL RETURN C	0.00	9.29	0.00	9.29	90.0	8.36
01/10/2018	QUSSAR, KELLY	PIMCO REAL RETURN C	0.00	9.63	0.00	9.63	90.0	8.67
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	PIMCO REAL RETURN C	0.00	23.92	0.00	23.92	90.0	21.53
01/10/2018	BUREK, ROSANNE	PIMCO STOCKPLUS TOTAL RETURN A	0.00	3.98	0.00	3.98	90.0	3.58
01/10/2018	MAHER, HENRY	PIMCO TOTAL RETURN A	0.00	30.05	0.00	30.05	90.0	27.05
01/10/2018	MARIN REV TRUST, NICHOLAS	PIMCO TOTAL RETURN C	0.00	36.75	0.00	36.75	90.0	33.08
01/10/2018	HOPPE, KYLE	PIMCO TOTAL RETURN C	0.00	14.32	0.00	14.32	90.0	12.89
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	PIMCO TOTAL RETURN C	0.00	51.97	0.00	51.97	90.0	46.77
01/30/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	185.00	1.85	0.00	1.85	90.0	1.67
01/10/2018	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	8.68	0.00	8.68	90.0	7.81
01/10/2018	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	17.41	0.00	17.41	90.0	15.67
01/10/2018	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
01/10/2018	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	30.20	0.00	30.20	90.0	27.18

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	25.27	0.00	25.27	90.0	22.74
01/10/2018	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.96	0.00	1.96	90.0	1.76
01/10/2018	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.86	0.00	11.86	90.0	10.67
01/10/2018	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.27	0.00	6.27	90.0	5.64
01/10/2018	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	7.13	0.00	7.13	90.0	6.42
01/10/2018	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	6.08	0.00	6.08	90.0	5.47
01/10/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.48	0.00	1.48	90.0	1.33
01/10/2018	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.21	0.00	3.21	90.0	2.89
01/10/2018	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.71	0.00	5.71	90.0	5.14
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	15.99	0.00	15.99	90.0	14.39
01/10/2018	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	5.25	0.00	5.25	90.0	4.73
01/10/2018	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.46	0.00	5.46	90.0	4.91
01/10/2018	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.85	0.00	1.85	90.0	1.67
01/10/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.46	0.00	2.46	90.0	2.21
01/10/2018	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	10.31	0.00	10.31	90.0	9.28
01/10/2018	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	12.51	0.00	12.51	90.0	11.26
01/10/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.37	0.00	13.37	90.0	12.03
01/10/2018	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	9.14	0.00	9.14	90.0	8.23
01/10/2018	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	13.69	0.00	13.69	90.0	12.32
01/10/2018	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	38.89	0.00	38.89	90.0	35.00
01/10/2018	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	17.10	0.00	17.10	90.0	15.39
01/10/2018	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.40	0.00	8.40	90.0	7.56
01/10/2018	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
01/10/2018	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.62	0.00	8.62	90.0	7.76
01/10/2018	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	8.40	0.00	8.40	90.0	7.56
01/10/2018	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	8.03	0.00	8.03	90.0	7.23
01/10/2018	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.69	0.00	11.69	90.0	10.52
01/10/2018	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.70	0.00	2.70	90.0	2.43
01/10/2018	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.97	0.00	10.97	90.0	9.87
* MUTUAL FUNDS SUBTOTAL *			\$190,689.43	\$7,675.15	\$0.00	\$7,675.15		\$6,907.78

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/23/2018	MAHER, HENRY	FREEPORT-MCMORAN COPPER & GOLD INC	16,366.75	40.00	22.00	18.00	90.0	16.20
01/23/2018	MAHER, HENRY	GENERAL ELECTRIC CO COM	6,400.49	40.00	22.00	18.00	90.0	16.20
01/23/2018	MAHER, HENRY	HERC HOLDINGS INC	401.04	40.00	22.00	18.00	90.0	16.20
01/23/2018	MAHER, HENRY	HERTZ GLOBAL HLDGS INC	480.40	40.00	22.00	18.00	90.0	16.20
01/23/2018	MAHER, HENRY	INTERNATIONAL BUSINESS MACHS CORP C	1,317.84	40.00	22.00	18.00	90.0	16.20
01/19/2018	DAVIS, ALTON	LENDINGCLUB CORPORATION	8,040.20	50.00	22.00	28.00	90.0	25.20
01/23/2018	MAHER, HENRY	LIBERTY MEDIA CORP DEL COM SER C BR	287.52	40.00	22.00	18.00	90.0	16.20
* STOCKS SUBTOTAL *			\$33,294.24	\$290.00	\$154.00	\$136.00		\$122.40
<u>PRODUCT CATEGORY: OPTIONS</u>								
01/17/2018	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	16.41	0.00	16.41	90.0	14.77
* OPTIONS SUBTOTAL *			\$0.00	\$16.41	\$0.00	\$16.41		\$14.77
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/02/2018	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	238,251.77	151.76	0.00	151.76	90.0	136.58
02/02/2018	RACHWAL, BARTON	GWL GRP ANNUITY 401K	387,852.21	164.70	0.00	164.70	90.0	148.23
02/02/2018	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	8,205,098.38	1,742.18	0.00	1,742.18	90.0	1,567.96
02/02/2018	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	486,849.68	206.74	0.00	206.74	90.0	186.07
02/02/2018	RACHWAL PC, BARTON	GWL NET ASSET VALUE 401K	100,305.76	21.30	0.00	21.30	90.0	19.17
01/31/2018	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	37,263.94	31.05	0.00	31.05	90.0	27.95
01/31/2018	NUNEZ, LYNDIA	JNL ELITE ACCESS W/ LIQUIDITY	128,974.95	107.48	0.00	107.48	90.0	96.73
01/31/2018	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	71,437.95	14.88	0.00	14.88	90.0	13.39
01/31/2018	TREVINO, PATRICIA	JNL PERSPECTIVE II 04/14	60,431.58	12.59	0.00	12.59	90.0	11.33
01/31/2018	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	193,426.77	40.30	0.00	40.30	90.0	36.27
01/31/2018	MAHER, HENRY	JNL PERSPECTIVE II 05/05	112,039.12	46.68	0.00	46.68	90.0	42.01
01/31/2018	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	52,990.57	22.08	0.00	22.08	90.0	19.87
01/31/2018	VEIT, BEVERLY	JNL PERSPECTIVE L	20,679.07	17.23	0.00	17.23	90.0	15.51
01/31/2018	PALMERI, BEVERLY	JNL PERSPECTIVE L	105,597.83	88.00	0.00	88.00	90.0	79.20
01/31/2018	FRASER, SHARON	JNL PERSPECTIVE L	45,484.89	37.90	0.00	37.90	90.0	34.11
01/31/2018	TAYLOR, JAMIE	JNL PERSPECTIVE L	48,145.47	40.12	0.00	40.12	90.0	36.11
01/31/2018	FLOWERS, EDWARD	JNL PERSPECTIVE L	158,919.73	132.43	0.00	132.43	90.0	119.19
01/31/2018	STOKES, RICHARD	JNL PERSPECTIVE L	88,525.85	73.77	0.00	73.77	90.0	66.39
01/31/2018	STONE, EDWARD	JNL PERSPECTIVE L	96,976.61	80.81	0.00	80.81	90.0	72.73
01/31/2018	PALMERI, SAMUEL	JNL PERSPECTIVE L	111,307.45	92.76	0.00	92.76	90.0	83.48

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	116,457.25	97.05	0.00	97.05	90.0	87.35
01/31/2018	VITALE, PETER	JNL PERSPECTIVE L	148,481.75	123.73	0.00	123.73	90.0	111.36
01/31/2018	BROEREN, ANTHONY	JNL PERSPECTIVE L	204,740.22	170.62	0.00	170.62	90.0	153.56
01/31/2018	KRALIK, GEORGEANNA	JNL PERSPECTIVE L	122,382.12	101.99	0.00	101.99	90.0	91.79
01/31/2018	CORNWELL, VICTORIA	JNL PERSPECTIVE L	221,903.38	184.92	0.00	184.92	90.0	166.43
* VARIABLE ANNUITIES SUBTOTAL *			\$11,564,524.30	\$3,803.07	\$0.00	\$3,803.07		\$3,422.77
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	LOCKWOOD, SUSAN	F/T GROWTH PORT 529 CL C	0.00	51.76	0.00	51.76	90.0	46.58
01/31/2018	LOCKWOOD, SUSAN	F/T MUTUAL SHARES PORT 529 CL C	0.00	39.92	0.00	39.92	90.0	35.93
01/31/2018	LOCKWOOD, SUSAN	F/T S&P 500 INDEX PORT CL C	0.00	62.97	0.00	62.97	90.0	56.67
01/31/2018	LOCKWOOD, SUSAN	F/T SAMLL MID CAP GROWTH PORT 529 C	0.00	18.63	0.00	18.63	90.0	16.77
01/31/2018	LOCKWOOD, SUSAN	FRANKLIN INCOME 529 PORTFOLIO C	0.00	33.75	0.00	33.75	90.0	30.38
01/31/2018	MOTOR CITY COMPUTER	FRANKLIN LOW DURATION TOTAL RETURN	0.00	31.66	0.00	31.66	90.0	28.49
01/31/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	0.00	25.38	0.00	25.38	90.0	22.84
01/31/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.39	0.00	3.39	90.0	3.05
01/31/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	22.55	0.00	22.55	90.0	20.30
01/31/2018	LEPZINSKI, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	6.86	0.00	6.86	90.0	6.17
01/31/2018	FLEURY, BETH	FRANKLIN RISING DIVIDENDS C	0.00	19.25	0.00	19.25	90.0	17.33
01/31/2018	LORIA, KENNETH	FT FOUNDING FDS ALLOC C	0.00	14.30	0.00	14.30	90.0	12.87
01/31/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	0.00	42.99	0.00	42.99	90.0	38.69
01/31/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	0.00	3.65	0.00	3.65	90.0	3.29
01/31/2018	HEILMANN, VICTORIA	TEMPLETON GROWTH A	0.00	5.80	0.00	5.80	90.0	5.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$382.86	\$0.00	\$382.86		\$344.58
*** DIRECT TRANSACTIONS TOTAL ***			\$11,789,766.31	\$12,180.11	\$154.00	\$12,026.11		\$10,823.70

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<u>ADJUSTMENTS</u>								
02/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
02/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
02/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
02/15/2018		*RBC: ADMIN WEALTH STATION	0.00					-25.00
02/15/2018		*RBC: CURRENT MONTH	0.00					-25.00
02/15/2018		*RBC: ORDER ENTRY	0.00					-65.00
02/15/2018		*RBC: WRITEOFF - FLEURY	0.00					-11.21
02/15/2018		*TECHNOLOGY: ADM - ZAREFA MARINI	0.00					-130.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-834.21

BROKER COMMISSION TOTALS

Broker Transactions	\$10,823.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-834.21
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,989.49
Year-to-date Gross	\$64,237.51
Year-to-date Earnings	\$57,616.38

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