

Bill Payment Stub

| | |
|---------------|----------|
| Check Date: | 4/6/2018 |
| Check No.: | ACH |
| Check Amount: | 2,811.16 |

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: CECIL A. MORGAN JR.
1701 RIVER RUN ROAD STE 1119
FORT WORTH, TX 76107

| Date | Type | Reference | Original Amt. | Balance | Discount | Payment |
|-----------|--------|-------------|---------------|----------|----------|----------|
| 3/31/2018 | Bill | COMMISSIONS | 2,931.16 | 2,931.16 | | 2,931.16 |
| 4/1/2018 | Credit | E&O | -120.00 | -120.00 | | -120.00 |

COMMISSION STATEMENT

March 31, 2018

CECIL MORGAN-DM32

BROKER #: DM32

BRANCH: DMW

, TX

COMMISSION STATEMENT for CECIL MORGAN-DM32

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|--------------|---------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| CLEARING TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 03/14/2018 368344366 | WOOD, GARY | MF AMERICAN BALANCED FUND-A | S | 49,527.45 | 990.50 | 5.00 | 985.50 | 90.0 | 886.95 |
| 03/14/2018 368344366 | WOOD, GARY | MF CAPITAL INCOME BUILDER-A | S | 49,527.45 | 990.50 | 2.50 | 988.00 | 90.0 | 889.20 |
| 03/14/2018 368344366 | WOOD, GARY | MF THE GROWTH FUND OF AMERICA-A | S | 49,527.45 | 990.50 | 5.00 | 985.50 | 90.0 | 886.95 |
| 03/14/2018 800013453 | WOOD, ELJEAN | MF CAPITAL INCOME BUILDER-A | S | 9,777.45 | 195.50 | 2.50 | 193.00 | 90.0 | 173.70 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$158,359.80 | \$3,167.00 | \$15.00 | \$3,152.00 | | \$2,836.80 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|----------------------|---------------|---------------------------------------|---|------|-------|------|-------|------|-------|
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 5.00 | 0.00 | 5.00 | 90.0 | 4.50 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 14.06 | 0.00 | 14.06 | 90.0 | 12.65 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 22.34 | 0.00 | 22.34 | 90.0 | 20.11 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 27.18 | 0.00 | 27.18 | 90.0 | 24.46 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 29.58 | 0.00 | 29.58 | 90.0 | 26.62 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 2.90 | 0.00 | 2.90 | 90.0 | 2.61 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 3.66 | 0.00 | 3.66 | 90.0 | 3.29 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 5.45 | 0.00 | 5.45 | 90.0 | 4.91 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 7.20 | 0.00 | 7.20 | 90.0 | 6.48 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 7.63 | 0.00 | 7.63 | 90.0 | 6.87 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 26.27 | 0.00 | 26.27 | 90.0 | 23.64 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 33.53 | 0.00 | 33.53 | 90.0 | 30.18 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 1.77 | 0.00 | 1.77 | 90.0 | 1.59 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 4.82 | 0.00 | 4.82 | 90.0 | 4.34 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 5.83 | 0.00 | 5.83 | 90.0 | 5.25 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 6.05 | 0.00 | 6.05 | 90.0 | 5.45 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 10.50 | 0.00 | 10.50 | 90.0 | 9.45 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 14.30 | 0.00 | 14.30 | 90.0 | 12.87 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 17.32 | 0.00 | 17.32 | 90.0 | 15.59 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT CAPITAL WORLD GROWTH AND INCOME B | B | 0.00 | 36.04 | 0.00 | 36.04 | 90.0 | 32.44 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT DEUTSCHE CROCI | B | 0.00 | 0.68 | 0.00 | 0.68 | 90.0 | 0.61 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 1.10 | 0.00 | 1.10 | 90.0 | 0.99 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.38 |
| 03/21/2018 mfc796465 | MORGAN 796465 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 3.84 | 0.00 | 3.84 | 90.0 | 3.46 |

COMMISSION STATEMENT for CECIL MORGAN-DM32

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------|----------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 5.30 | 0.00 | 5.30 | 90.0 | 4.77 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 15.95 | 0.00 | 15.95 | 90.0 | 14.36 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT GLOBAL BALANCED FUND-A | B | 0.00 | 14.29 | 0.00 | 14.29 | 90.0 | 12.86 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 2.76 | 0.00 | 2.76 | 90.0 | 2.48 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT NEW WORLD FUND-A | B | 0.00 | 3.62 | 0.00 | 3.62 | 90.0 | 3.26 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 1.70 | 0.00 | 1.70 | 90.0 | 1.53 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 6.03 | 0.00 | 6.03 | 90.0 | 5.43 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 22.07 | 0.00 | 22.07 | 90.0 | 19.86 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 4.88 | 0.00 | 4.88 | 90.0 | 4.39 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 1.92 | 0.00 | 1.92 | 90.0 | 1.73 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.74 | 0.00 | 2.74 | 90.0 | 2.47 |
| 03/21/2018 | mfc796465 MORGAN 796465 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 7.61 | 0.00 | 7.61 | 90.0 | 6.85 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$376.34 | \$0.00 | \$376.34 | | \$338.73 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$158,359.80 | \$3,543.34 | \$15.00 | \$3,528.34 | | \$3,175.53 |

ADJUSTMENTS

| | | | | | | | |
|----------------------------------|---|------------------------------|---------------|---------------|---------------|---------------|------------------|
| 03/31/2018 | : | BROKER WORKSTATION | 0.00 | 0.00 | 0.00 | 0.00 | -30.00 |
| 03/31/2018 | : | CUSTOMER WRITE OFF 368357331 | 0.00 | 0.00 | 0.00 | 0.00 | -59.00 |
| 03/31/2018 | : | E-MAIL ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | -25.00 |
| 03/31/2018 | : | QUODD CHARGES | 0.00 | 0.00 | 0.00 | 0.00 | -130.37 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-244.37 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$3,175.53 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-244.37 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$2,931.16 |
| Year-to-date Commissions | \$35,161.03 |