

STATEMENT

February 20, 2018

MICHAEL WISE

BROKER #: 279

BRANCH: LA BRANCH

, SC 29464-

COMMISSION STATEMENT for MICHAEL WISE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/02/2018 #00089098695	BECKROGEAN	INCOME PORTFOLIO A	B	13,777.80	516.67	0.00	0.00	516.67	91.0	470.17
01/03/2018 #00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	1,379.41	13.79	0.00	0.00	13.79	91.0	12.55
01/03/2018 #00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	919.61	9.20	0.00	0.00	9.20	91.0	8.37
01/16/2018 #00086892691	THOMASONCA	CAPITAL INCOME BLDR FUND CL C	B	150.00	1.50	0.00	0.00	1.50	91.0	1.37
01/16/2018 #00086892691	THOMASONCA	INCOME FUND AMER INC CL C	B	150.00	1.50	0.00	0.00	1.50	91.0	1.37
01/16/2018 #00086892691	THOMASONCA	INCOME PORTFOLIO-C	B	100.00	1.00	0.00	0.00	1.00	91.0	0.91
01/16/2018 #00086892691	THOMASONCA	PRESERVATION PORTFOLIO-C	B	100.00	1.00	0.00	0.00	1.00	91.0	0.91
01/31/2018 #00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	57.94	0.58	0.00	0.00	0.58	91.0	0.53
01/31/2018 #00089299903	BYRNE-STEE	AMERICAN BALANCED FUND INC CL C	B	57.94	0.58	0.00	0.00	0.58	91.0	0.53
01/31/2018 #00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0	0.06
01/31/2018 #00089098820	ROPER, LEIGH	BOND FUND AMER INC CL C	B	7.15	0.07	0.00	0.00	0.07	91.0	0.06
01/31/2018 #00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	43.31	0.43	0.00	0.00	0.43	91.0	0.39
01/31/2018 #00089102273	WILLIAMSLE	CAPITAL INCOME BLDR FUND CL C	B	43.31	0.43	0.00	0.00	0.43	91.0	0.39
01/31/2018 #00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0	0.13
01/31/2018 #00089098820	ROPER, LEIGH	GROWTH AND INCOME PORFOLIO	B	14.30	0.14	0.00	0.00	0.14	91.0	0.13
01/31/2018 #00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0	0.13
01/31/2018 #00089098820	ROPER, LEIGH	GROWTH FUND AMER INC CL C	B	14.31	0.14	0.00	0.00	0.14	91.0	0.13
01/31/2018 #00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0	0.33
01/31/2018 #00089098820	ROPER, LEIGH	INCOME FUND AMER INC CL C	B	35.77	0.36	0.00	0.00	0.36	91.0	0.33
01/31/2018 #00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	120.00	1.20	0.00	0.00	1.20	91.0	1.09
01/31/2018 #00089098695	BECKROGEAN	INCOME PORTFOLIO-C	B	61.97	0.62	0.00	0.00	0.62	91.0	0.56
01/31/2018 #00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	25.07	0.25	0.00	0.00	0.25	91.0	0.23
01/31/2018 #00089098778	MORE, KAREN	INCOME PORTFOLIO-C	B	25.07	0.25	0.00	0.00	0.25	91.0	0.23
01/31/2018 #00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	35.67	0.36	0.00	0.00	0.36	91.0	0.33
01/31/2018 #00089098811	GRIGGSMARI	INCOME PORTFOLIO-C	B	35.67	0.36	0.00	0.00	0.36	91.0	0.33
01/31/2018 #00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	57.94	0.58	0.00	0.00	0.58	91.0	0.53
01/31/2018 #00089299903	BYRNE-STEE	INCOME PORTFOLIO-C	B	57.94	0.58	0.00	0.00	0.58	91.0	0.53
01/31/2018 #00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	80.00	0.80	0.00	0.00	0.80	91.0	0.73
01/31/2018 #00089098695	BECKROGEAN	PRESERVATION PORTFOLIO-C	B	41.31	0.41	0.00	0.00	0.41	91.0	0.37
01/31/2018 #00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0	0.85
01/31/2018 #00089098717	RHODESPAME	PRESERVATION PORTFOLIO-C	B	93.11	0.93	0.00	0.00	0.93	91.0	0.85

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	#00089098778 MORE, KAREN	PRESERVATION PORTFOLIO-C	B	10.74	0.11	0.00	0.00	0.11	91.0	0.10
01/31/2018	#00089098778 MORE, KAREN	PRESERVATION PORTFOLIO-C	B	10.74	0.11	0.00	0.00	0.11	91.0	0.10
* MUTUAL FUNDS SUBTOTAL *				\$17,670.72	\$555.59	\$0.00	\$0.00	\$555.59		\$505.62

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/08/2018	#IRK129192 ELECTRONIC, PROSPEC	AMERICAN FUNDS	B	0.00	1,968.23	0.00	0.00	1,968.23	91.0	1,791.09
02/07/2018	#00656191574	FIDELITY ADV GOVERNMENT INCOME-CL A B		0.00	18.26	0.00	0.00	18.26	91.0	16.62
02/07/2018	#00656191574	FIDELITY ADVISOR HIGH INCOME ADVANT B		0.00	25.66	0.00	0.00	25.66	91.0	23.35
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,012.15	\$0.00	\$0.00	\$2,012.15		\$1,831.06
*** BROKER TRANSACTIONS TOTAL ***				\$17,670.72	\$2,567.74	\$0.00	\$0.00	\$2,567.74		\$2,336.68

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE									-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE									-179.00
*** ADJUSTMENTS TOTAL ***										\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,336.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,837.68
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