



Concorde Investment Services, LLC

09/28/2017
Page No. 1

COMMISSION STATEMENT

September 30, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	NORBY, JADIN	AM FUNDS AMERICAN BALANCED A	0.00	0.69	0.00	0.69	90.0	0.62
09/01/2017	NORBY, TREVOR	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.47
09/01/2017	NORBY, JADIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.71	0.00	0.71	90.0	0.64
09/01/2017	NORBY, JADIN	AM FUNDS NEW ECONOMY A	0.00	0.81	0.00	0.81	90.0	0.73
09/01/2017	NORBY, JADIN	AM FUNDS SMALLCAP WORLD A	0.00	0.91	0.00	0.91	90.0	0.82
09/01/2017	NORBY, JADIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.69	0.00	0.69	90.0	0.62
09/01/2017	NORBY, TREVOR	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.51	0.00	0.51	90.0	0.46
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$4.84	\$0.00	\$4.84		\$4.36
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$4.84	\$0.00	\$4.84		\$4.36
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
09/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	4.06	0.00	4.06	90.0	3.65
09/01/2017	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.76	0.00	2.76	90.0	2.48
09/01/2017	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.86	0.00	0.86	90.0	0.77
09/01/2017	KLINE, STEWART	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	5.04	0.00	5.04	90.0	4.54
09/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	3.25	0.00	3.25	90.0	2.93
09/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	2.66	0.00	2.66	90.0	2.39
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$0.00	\$18.63	\$0.00	\$18.63		\$16.76
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- #333</u>								
09/15/2017	HATLESTAD, PATRICK	PRINCIPAL LIFE	0.00	49.24	0.00	49.24	90.0	44.32
* JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL *			\$0.00	\$49.24	\$0.00	\$49.24		\$44.32

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.18	0.00	0.18	90.0	0.16
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.18	0.00	0.18	90.0	0.16
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.18	0.00	0.18	90.0	0.16
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.10	0.00	0.10	90.0	0.09
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.45	0.00	0.45	90.0	0.41
09/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.39	0.00	0.39	90.0	0.35
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.22	0.00	0.22	90.0	0.20
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.20	0.00	0.20	90.0	0.18
09/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.20	0.00	0.20	90.0	0.18
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/20/2017	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.12	0.00	0.12	90.0	0.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.67	0.00	0.67	90.0	0.60
09/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.55	0.00	0.55	90.0	0.50
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.32	0.00	0.32	90.0	0.29
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.24	0.00	0.24	90.0	0.22
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.22	0.00	0.22	90.0	0.20
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.22	0.00	0.22	90.0	0.20
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.12	0.00	0.12	90.0	0.11
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.62	0.00	0.62	90.0	0.56
09/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.54	0.00	0.54	90.0	0.49
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.07	0.00	0.07	90.0	0.06
09/20/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
09/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.30	0.00	0.30	90.0	0.27
09/20/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	0.85	0.00	0.85	90.0	0.77
09/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.15	0.00	0.15	90.0	0.14
09/01/2017	RASMUSSEN, CLINTON	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.09	0.00	0.09	90.0	0.08
09/20/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	50.00	0.85	0.00	0.85	90.0	0.77
09/08/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	17.76	0.00	17.76	90.0	15.98
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$500.00	\$33.73	\$0.00	\$33.73		\$30.33
*** SPLIT TRANSACTIONS TOTAL ***			\$500.00	\$101.60	\$0.00	\$101.60		\$91.41

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ADJUSTMENTS

09/30/2017		*FINRA: MT INDV. REG. FEE	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4.36
Split Transactions	\$91.41
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-509.72
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-463.95
Year-to-date Gross	\$35,220.22
Year-to-date Earnings	\$31,642.40

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