



Concorde Investment Services, LLC

05/11/2017  
Page No. 1

# **COMMISSION STATEMENT** **May 15, 2017**

**HALINA WIKAR**  
1030 Higgins Road  
Suite 205  
Park Ridge, IL 60068

**BROKER #: W126**  
**BRANCH: HALINA WIKAR**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.76	0.00	8.76	55.0	4.82
03/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.76	0.00	8.76	55.0	4.82
03/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.40	0.00	6.40	55.0	3.52
03/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.40	0.00	6.40	55.0	3.52
05/01/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	175.00	8.75	0.00	8.75	55.0	4.81
05/01/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	55.0	0.99
05/02/2017	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.22
04/20/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.11
04/27/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.11
05/01/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	55.0	0.99
05/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	1.10
04/20/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.28
04/20/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.28
04/24/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.17
05/01/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.17
05/02/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.22
04/25/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.11
05/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	1.10
04/20/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	55.0	1.10
04/28/2017	DZIEWIECKA, TERESA	MFS LOW VOLATILITY GLOBAL EQUITY F	20.00	0.20	0.00	0.20	55.0	0.11
04/21/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.28
04/21/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.28
05/02/2017	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.22
04/21/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.28
04/21/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.28
05/02/2017	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.22

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/01/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	175.00	8.75	0.00	8.75	55.0	4.81
05/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	1.10
04/20/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.28
04/20/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.28
04/21/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.39
04/21/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.39
04/24/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.14
05/01/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.14
05/01/2017	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	55.0	0.99
05/02/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.22
04/20/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.28
04/20/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.28
* MUTUAL FUNDS SUBTOTAL *			\$2,120.00	\$71.52	\$0.00	\$71.52		\$39.41
*** BROKER TRANSACTIONS TOTAL ***			\$2,120.00	\$71.52	\$0.00	\$71.52		\$39.41

**SPLIT TRANSACTIONS****BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

04/20/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	2.38	0.00	2.38	55.0	1.31
04/20/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	2.38	0.00	2.38	55.0	1.31
05/01/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	80.00	1.76	0.00	1.76	55.0	0.97
05/01/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	80.00	1.76	0.00	1.76	55.0	0.97
05/01/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	80.00	1.76	0.00	1.76	55.0	0.97
04/20/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	2.38	0.00	2.38	55.0	1.31
05/01/2017	MEI, JENNIFER	MFS RESEARCH B	80.00	1.76	0.00	1.76	55.0	0.97
04/20/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	2.38	0.00	2.38	55.0	1.31
05/01/2017	MEI, JENNIFER	MFS UTILITIES B	80.00	1.76	0.00	1.76	55.0	0.97
04/20/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	2.38	0.00	2.38	55.0	1.31
04/17/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	5.50	0.00	5.50	55.0	3.03
04/10/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.65	0.00	1.65	55.0	0.91
04/05/2017	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	1.65	0.00	1.65	55.0	0.91
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$1,340.00	\$29.50	\$0.00	\$29.50		\$16.25
*** SPLIT TRANSACTIONS TOTAL ***			\$1,340.00	\$29.50	\$0.00	\$29.50		\$16.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-575.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$39.41
Split Transactions	\$16.25
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-519.34</b>
<b>Year-to-date Gross</b>	<b>\$10,715.00</b>
<b>Year-to-date Earnings</b>	<b>\$5,894.46</b>

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