

**COMMISSION STATEMENT****August 31, 2017**

ALAN LICHTENSTEIN  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: L133  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
08/15/2017	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	25,631.59	10.68	0.00	10.68	75.0	8.01
		* RIA SUBTOTAL *	\$25,631.59	\$10.68	\$0.00	\$10.68		\$8.01
		*** BROKER TRANSACTIONS TOTAL ***	\$25,631.59	\$10.68	\$0.00	\$10.68		\$8.01
<b><u>ADJUSTMENTS</u></b>								
08/31/2017		*REGISTRATION FEE: NJ RA REG. FEE	0.00					-50.00
08/31/2017		*REGISTRATION FEE: RA PROCESSING FE	0.00					-10.00
08/31/2017		*REGISTRATION FEE: SC RA REG. FEE	0.00					-55.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-115.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-115.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-106.99</b>
<b>Year-to-date Gross</b>	<b>\$317.44</b>
<b>Year-to-date Earnings</b>	<b>\$238.09</b>