

COMMISSION STATEMENT
April 26, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/26/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-150.00	0.00	-150.00	-54.83
04/26/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	636.45	0.00	636.45	232.62
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$486.45</u>	<u>\$0.00</u>	<u>\$486.45</u>	<u>\$177.79</u>

PRODUCT CATEGORY: RIA

04/26/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	32,861.84	0.00	32,861.84	12,011.00
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$32,861.84</u>	<u>\$0.00</u>	<u>\$32,861.84</u>	<u>\$12,011.00</u>

PRODUCT CATEGORY: TRAILERS

04/26/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	682.75	0.00	682.75	249.55
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$682.75</u>	<u>\$0.00</u>	<u>\$682.75</u>	<u>\$249.55</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$34,031.04</u>	<u>\$0.00</u>	<u>\$34,031.04</u>	<u>\$12,438.34</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

04/26/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-25.00	0.00	-25.00	-3.36
04/26/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	2,325.81	0.00	2,325.81	312.82
04/26/2018	GALLOVICH (73712), JODI	SAI VARIABLE INCOME	0.00	1,325.71	0.00	1,325.71	178.31
04/26/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	18,204.98	0.00	18,204.98	2,448.57
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$21,831.50</u>	<u>\$0.00</u>	<u>\$21,831.50</u>	<u>\$2,936.34</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$21,831.50</u>	<u>\$0.00</u>	<u>\$21,831.50</u>	<u>\$2,936.34</u>

ADJUSTMENTS

16. SAI SIPC PE 4/20/18							-29.65
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-29.65</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$12,438.34
							Split Transactions \$0.00
							Override Transactions \$2,936.34
							Adjustments \$-29.65
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$15,345.03</u>
							<u>Year-to-date Earnings \$160,907.32</u>