

COMMISSION STATEMENT

April 30, 2018

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: I60 I61 I62
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/09/2018	QGN005274	OLSTEIN ALL CAP	KEYSIGHT TECHNOLOGIES INC COM	S	-119,600.00	69.00	6.00	63.00	50.0	31.50
04/10/2018	QGN005274	OLSTEIN ALL CAP	KEYSIGHT TECHNOLOGIES INC COM	S	-770,852.26	438.00	6.00	432.00	50.0	216.00
04/11/2018	QGN005274	OLSTEIN ALL CAP	SKECHERS USA INC CL A	S	-231,201.30	165.00	6.00	159.00	50.0	79.50
04/17/2018	QGN005274	OLSTEIN ALL CAP	TWENTY FIRST CENTURY FOX INC CLASS	S	-1,298,774.59	1,050.78	6.00	1,044.78	50.0	522.39
04/19/2018	QGN005274	OLSTEIN ALL CAP	KIMBERLY CLARK CORP	B	310,100.10	90.00	6.00	84.00	50.0	42.00
04/20/2018	QGN005274	OLSTEIN ALL CAP	KIMBERLY CLARK CORP	B	496,574.60	148.50	6.00	142.50	50.0	71.25
04/24/2018	QGN005274	OLSTEIN ALL CAP	HORMEL FOODS CORP	S	-361,250.00	300.00	6.00	294.00	50.0	147.00
04/25/2018	QGN005274	OLSTEIN ALL CAP	WILLIS TOWERS WATSON PUBLIC LIMITED	B	297,000.00	60.00	6.00	54.00	50.0	27.00
04/26/2018	QGN005274	OLSTEIN ALL CAP	HENRY SCHEIN INC	S	-301,457.60	120.00	6.00	114.00	50.0	57.00
04/09/2018	QGN005282	OPPORTUNITIES,	KEYSIGHT TECHNOLOGIES INC COM	S	-46,800.00	27.00	6.00	21.00	50.0	10.50
04/10/2018	QGN005282	OPPORTUNITIES,	KEYSIGHT TECHNOLOGIES INC COM	S	-359,027.08	204.00	6.00	198.00	50.0	99.00
04/11/2018	QGN005282	OPPORTUNITIES,	SKECHERS USA INC CL A	S	-84,073.20	60.00	6.00	54.00	50.0	27.00
04/10/2018	QGN005308	DIOCESE OF ARLINGTON	KEYSIGHT TECHNOLOGIES INC COM	S	-18,479.34	10.50	6.00	4.50	50.0	2.25
04/09/2018	QGV005689	MERRILL LYNCH PROF	TELADOC INC COM	B	590,388.00	600.00	6.00	594.00	50.0	297.00
* STOCKS SUBTOTAL *					\$-1,897,452.67	\$3,342.78	\$84.00	\$3,258.78		\$1,629.39

PRODUCT CATEGORY: BONDS

04/02/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.000% 02/1 B		39,025.00	50.00	5.00	45.00	50.0	22.50
04/02/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 02/1 B		48,781.25	50.00	5.00	45.00	50.0	22.50
04/03/2018	QGN002164	PENSION FUND LOCAL	LOCKHEED MARTIN CORP FXD RT SR NT 3 B		124,687.50	50.00	5.00	45.00	50.0	22.50
04/02/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.000% 02/1 B		24,390.63	50.00	5.00	45.00	50.0	22.50
04/24/2018	QGN002248	LOCAL 1205 WELFARE	BRISTOL MYERS SQUIBB CO NT 5.875% 1 S		-35,925.00	50.00	5.00	45.00	50.0	22.50
04/24/2018	QGN002248	LOCAL 1205 WELFARE	CLOROX CO FXD RT SR NT 3.500% 12/15 S		-49,602.50	50.00	5.00	45.00	50.0	22.50
04/24/2018	QGN002248	LOCAL 1205 WELFARE	JPMORGAN CHASE & CO FIXED RT NT 4.6 S		-51,812.50	50.00	5.00	45.00	50.0	22.50
04/24/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 S		-48,843.75	50.00	5.00	45.00	50.0	22.50
04/24/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.000% 08/1 S		-79,687.50	50.00	5.00	45.00	50.0	22.50
04/24/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREASURY NOTE	S	-59,306.25	50.00	5.00	45.00	50.0	22.50
04/25/2018	QGN002248	LOCAL 1205 WELFARE	LOCKHEED MARTIN CORP FXD RT SER B 4 S		-47,512.50	50.00	5.00	45.00	50.0	22.50
04/25/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.125% 08/1 S		-122,636.72	50.00	5.00	45.00	50.0	22.50
04/25/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.000% 02/1 S		-48,625.00	50.00	5.00	45.00	50.0	22.50
04/25/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.375% 01/3 S		-73,593.75	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
04/25/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.500% 08/1 S	-35,537.50	50.00	5.00	45.00	50.0	22.50
04/02/2018	QGN002560	LOCAL UNION # 177,	UNITED STATES TREAS NTS 2.000% 02/1 B	34,146.88	50.00	5.00	45.00	50.0	22.50
03/29/2018	QGN006223	STACEY BRAUN & ASSOC	PRECISION CASTPARTS CORP SR NT 2.50 S	-48,567.50	50.00	5.00	45.00	50.0	22.50
04/18/2018	QGN006363	STACEY BRAUN & ASSOC	BANK MONTREAL MEDIUM TERM SR NTS 1. S	-240,000.00	78.13	5.00	73.13	50.0	36.57
04/19/2018	QGN006363	STACEY BRAUN & ASSOC	MANULIFE FINL CORP SR NT ISIN#US565 S	-151,207.50	50.00	5.00	45.00	50.0	22.50
04/26/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 1.625% 02/1 S	-45,312.50	50.00	5.00	45.00	50.0	22.50
04/02/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.000% 02/1 B	24,390.63	50.00	5.00	45.00	50.0	22.50
04/02/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.000% 02/1 B	24,390.63	50.00	5.00	45.00	50.0	22.50
04/02/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.000% 02/1 B	48,781.25	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$-769,576.70</u>	<u>\$1,178.13</u>	<u>\$115.00</u>	<u>\$1,063.13</u>		<u>\$531.57</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-2,667,029.37</u>	<u>\$4,520.91</u>	<u>\$199.00</u>	<u>\$4,321.91</u>		<u>\$2,160.96</u>

ADJUSTMENTS

04/30/2018	OTHER: BLOOMBERG	0.00	0.00	0.00	0.00	-996.21
04/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
04/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
04/30/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-212.50
04/30/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.33
04/30/2018	OTHER: EZ CASTLE	0.00	0.00	0.00	0.00	-55.56
04/30/2018	OTHER: PIVOT	0.00	0.00	0.00	0.00	-68.05
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-1,421.65</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,160.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,421.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.65
SIPC Assessment	\$-11.30

Check Amount	\$722.36
Year-to-date Earnings	\$17,864.58