

**COMMISSION STATEMENT****November 30, 2017**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/21/2017	DELANEY, MATTHEW	DODGE & COX INCOME FUND	1,200.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	JONES, TIM	FIDELITY SELECT ENERGY PORTFOLIO	5,000.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	FIDELITY SELECT ENERGY PORTFOLIO	20,000.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, MARK	FIDELITY SELECT ENERGY PORTFOLIO	10,000.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, LAURA	FIDELITY SELECT ENERGY PORTFOLIO	4,000.00	0.00	0.00	0.00	90.0	0.00
11/16/2017	OAR, RITA	FIDELITY SELECT ENERGY PORTFOLIO	5,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	SAHARIAN, CAROLYN	FIDELITY SELECT ENERGY PORTFOLIO	9,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	PEPPLE, GLEN	FIDELITY SELECT ENERGY PORTFOLIO	4,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, JOHN	FIDELITY SELECT ENERGY PORTFOLIO	7,500.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	FIDELITY SELECT ENERGY PORTFOLIO	10,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	FIDELITY SELECT ENERGY PORTFOLIO	10,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	WILCOX, TIMOTHY	FIDELITY SELECT ENERGY PORTFOLIO	7,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	FIDELITY SELECT ENERGY PORTFOLIO	5,000.00	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	FIDELITY SELECT ENERGY PORTFOLIO	1,700.00	0.00	0.00	0.00	90.0	0.00
11/20/2017	HARDESTY, MARGARET	FIDELITY SELECT ENERGY PORTFOLIO	2,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	FIDELITY SELECT ENERGY PORTFOLIO	5,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	FIDELITY SELECT ENERGY PORTFOLIO	4,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	FIDELITY SELECT ENERGY PORTFOLIO	10,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	ORT, LEON	FIDELITY SELECT ENERGY PORTFOLIO	4,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	HAHAJ, JUDITH	FIDELITY SELECT ENERGY PORTFOLIO	3,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	FIDELITY SELECT GOLD PORT	30,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	FIDELITY SELECT GOLD PORT	5,000.00	0.00	0.00	0.00	90.0	0.00
11/14/2017	BRZYCKI, MARTIN	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
11/14/2017	NOLL, PRISCILLA	FIDELITY SELECT GOLD PORT	20,000.00	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2017	JONES, TIM	FIDELITY SELECT GOLD PORT	5,000.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	FIDELITY SELECT GOLD PORT	15,000.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, LAURA	FIDELITY SELECT GOLD PORT	4,000.00	0.00	0.00	0.00	90.0	0.00
11/16/2017	KLITZMAN, MARY	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
11/16/2017	FEDLER, CHARLES	FIDELITY SELECT GOLD PORT	5,000.00	0.00	0.00	0.00	90.0	0.00
11/16/2017	OAR, RITA	FIDELITY SELECT GOLD PORT	3,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	SAHARIAN, CAROLYN	FIDELITY SELECT GOLD PORT	5,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	FIDELITY SELECT GOLD PORT	15,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	PEPPLE, GLEN	FIDELITY SELECT GOLD PORT	3,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, JOHN	FIDELITY SELECT GOLD PORT	7,500.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	FIDELITY SELECT GOLD PORT	7,300.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	WILCOX, TIMOTHY	FIDELITY SELECT GOLD PORT	4,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	FIDELITY SELECT GOLD PORT	4,000.00	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	FIDELITY SELECT GOLD PORT	10,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	FIDELITY SELECT GOLD PORT	3,500.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	FIDELITY SELECT GOLD PORT	3,500.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	FIDELITY SELECT GOLD PORT	15,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	LIPSCOMB, SAMUEL	FIDELITY SELECT GOLD PORT	4,000.00	0.00	0.00	0.00	90.0	0.00
11/20/2017	HARDESTY, MARGARET	OPPENHEIMER SENIOR FLOATING RATE FU	-3,065.91	0.00	0.00	0.00	90.0	0.00
11/20/2017	HARDESTY, MARGARET	T ROWE PRICE GLOBAL MULTI-SECTOR BO	3,000.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	T ROWE PRICE GLOBAL MULTI-SECTOR BO	1,100.00	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUZLICK, SHAWN	T ROWE PRICE GLOBAL MULTI-SECTOR BO	4,000.00	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	T ROWE PRICE GLOBAL MULTI-SECTOR BO	4,500.00	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	THE OSTERWEIS STRATEGIC INCOME FUND	-1,421.41	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	THE OSTERWEIS STRATEGIC INCOME FUND	-1,168.19	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	THE OSTERWEIS STRATEGIC INCOME FUND	-1,243.69	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$351,900.80	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
11/14/2017	BRZYCKI, MARTIN	ANHEUSER BUSCH INBEV SA NV SPONSORE	-7,479.82	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	ANHEUSER BUSCH INBEV SA NV SPONSORE	-10,335.02	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	ANHEUSER BUSCH INBEV SA NV SPONSORE	-16,653.74	0.00	0.00	0.00	90.0	0.00
11/15/2017	WILLING, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	-8,527.50	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/16/2017	KLITZMAN, MARY	ANHEUSER BUSCH INBEV SA NV SPONSORE	-10,923.15	0.00	0.00	0.00	90.0	0.00
11/16/2017	FEDLER, CHARLES	ANHEUSER BUSCH INBEV SA NV SPONSORE	-16,697.77	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	ANHEUSER BUSCH INBEV SA NV SPONSORE	-5,746.35	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	ANHEUSER BUSCH INBEV SA NV SPONSORE	-16,729.14	0.00	0.00	0.00	90.0	0.00
11/21/2017	ORT, LEON	ANHEUSER BUSCH INBEV SA NV SPONSORE	-9,807.45	0.00	0.00	0.00	90.0	0.00
11/21/2017	LIPSCOMB, SAMUEL	ANHEUSER BUSCH INBEV SA NV SPONSORE	-6,340.40	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	ANHEUSER BUSCH INBEV SA NV SPONSORE	-4,081.70	0.00	0.00	0.00	90.0	0.00
11/14/2017	BRZYCKI, MARTIN	BP PLC SPONS ADR	19,865.00	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	BP PLC SPONS ADR	29,719.95	0.00	0.00	0.00	90.0	0.00
11/14/2017	NOLL, PRISCILLA	BP PLC SPONS ADR	29,766.75	0.00	0.00	0.00	90.0	0.00
11/14/2017	RICHEY, BECKY	BP PLC SPONS ADR	40,066.70	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	BP PLC SPONS ADR	20,023.25	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	BP PLC SPONS ADR	25,028.22	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	BP PLC SPONS ADR	3,966.70	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	DELTA AIRLINES INC COM NEW	-8,734.25	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	DELTA AIRLINES INC COM NEW	-4,043.20	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	ENERGY TRANSFER PARTNERS L P NEW UN	10,056.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	ENERGY TRANSFER PARTNERS L P NEW UN	5,034.00	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	ENERGY TRANSFER PARTNERS L P NEW UN	10,036.02	0.00	0.00	0.00	90.0	0.00
11/15/2017	WILLING, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	4,971.50	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	ENERGY TRANSFER PARTNERS L P NEW UN	14,850.45	0.00	0.00	0.00	90.0	0.00
11/16/2017	KLITZMAN, MARY	ENERGY TRANSFER PARTNERS L P NEW UN	10,233.60	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	ENERGY TRANSFER PARTNERS L P NEW UN	9,909.72	0.00	0.00	0.00	90.0	0.00
11/17/2017	PEPPLE, GLEN	ENERGY TRANSFER PARTNERS L P NEW UN	2,975.00	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	ENERGY TRANSFER PARTNERS L P NEW UN	9,976.68	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	ENERGY TRANSFER PARTNERS L P NEW UN	4,978.98	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	ENERGY TRANSFER PARTNERS L P NEW UN	5,029.98	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	10,048.62	0.00	0.00	0.00	90.0	0.00
11/22/2017	CLARK, KATHLEEN	ENERGY TRANSFER PARTNERS L P NEW UN	2,628.80	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	ENERGY TRANSFER PARTNERS L P NEW UN	4,025.35	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, RONALD	ENERGY TRANSFER PARTNERS L P NEW UN	989.79	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, CARRIE	ENERGY TRANSFER PARTNERS L P NEW UN	988.80	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	GAMESTOP CORP NEW CLASS A	9,926.04	0.00	0.00	0.00	90.0	0.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/14/2017	LALLO, GARY	IRON MTN INC NEW COM	10,048.68	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	IRON MTN INC NEW COM	-4,468.59	0.00	0.00	0.00	90.0	0.00
11/20/2017	HARDESTY, MARGARET	IRON MTN INC NEW COM	-3,658.23	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, RONALD	IRON MTN INC NEW COM	-2,242.41	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, CARRIE	IRON MTN INC NEW COM	-5,421.11	0.00	0.00	0.00	90.0	0.00
11/20/2017	DEGLER, DONNA	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-15,134.42	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	ISHARES TR CORE HIGH DIVID ETF	19,779.77	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	ISHARES TR CORE HIGH DIVID ETF	19,757.74	0.00	0.00	0.00	90.0	0.00
11/14/2017	NOLL, PRISCILLA	ISHARES TR CORE HIGH DIVID ETF	19,767.83	0.00	0.00	0.00	90.0	0.00
11/14/2017	RICHEY, BECKY	ISHARES TR CORE HIGH DIVID ETF	20,188.85	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	ISHARES TR CORE HIGH DIVID ETF	19,750.10	0.00	0.00	0.00	90.0	0.00
11/15/2017	WILLING, PATRICIA	ISHARES TR CORE HIGH DIVID ETF	12,858.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	ISHARES TR CORE HIGH DIVID ETF	19,659.66	0.00	0.00	0.00	90.0	0.00
11/16/2017	TROUT, CHARLOTTE	ISHARES TR CORE HIGH DIVID ETF	2,151.98	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	ISHARES TR CORE HIGH DIVID ETF	17,225.80	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, JOHN	ISHARES TR CORE HIGH DIVID ETF	7,307.37	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	ISHARES TR CORE HIGH DIVID ETF	24,994.38	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	ISHARES TR CORE HIGH DIVID ETF	9,940.97	0.00	0.00	0.00	90.0	0.00
11/21/2017	ORT, LEON	ISHARES TR CORE HIGH DIVID ETF	3,893.73	0.00	0.00	0.00	90.0	0.00
11/21/2017	HAHAJ, JUDITH	ISHARES TR CORE HIGH DIVID ETF	3,028.55	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	ISHARES TR CORE HIGH DIVID ETF	5,183.40	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	ISHARES TR CORE HIGH DIVID ETF	5,188.09	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUFFMAN, JOHN	ISHARES TR CORE HIGH DIVID ETF	6,916.70	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, CARRIE	ISHARES TR CORE HIGH DIVID ETF	3,026.74	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	ISHARES TR MSCI EAFE INDEX FD	-5,654.86	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	ISHARES TR MSCI EAFE INDEX FD	-553.23	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	ISHARES TR MSCI EAFE INDEX FD	-2,085.90	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	ISHARES TR MSCI EAFE INDEX FD	-2,433.55	0.00	0.00	0.00	90.0	0.00
11/15/2017	JONES, TIM	ISHARES TR S&P 500 GROWTH ETF	-9,949.97	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	ISHARES TR S&P 500 GROWTH ETF	-13,426.36	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	ISHARES TR S&P 500 GROWTH ETF	-3,282.00	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	ISHARES TR S&P 500 GROWTH ETF	-3,745.25	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	ISHARES TR S&P 500 GROWTH ETF	-4,194.68	0.00	0.00	0.00	90.0	0.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/21/2017	LIPSCOMB, SAMUEL	ISHARES TR S&P 500 GROWTH ETF	-2,247.15	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	MATTEL INC COM	19,554.92	0.00	0.00	0.00	90.0	0.00
11/14/2017	BRZYCKI, MARTIN	POWERSHARES DWA CONSUMER STAPLES MO	14,745.98	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	POWERSHARES DWA CONSUMER STAPLES MO	15,079.28	0.00	0.00	0.00	90.0	0.00
11/16/2017	FEDLER, CHARLES	POWERSHARES DWA CONSUMER STAPLES MO	9,967.25	0.00	0.00	0.00	90.0	0.00
11/16/2017	TROUT, CHARLOTTE	POWERSHARES DWA CONSUMER STAPLES MO	1,247.16	0.00	0.00	0.00	90.0	0.00
11/17/2017	SAHARIAN, CAROLYN	POWERSHARES DWA CONSUMER STAPLES MO	4,992.59	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	POWERSHARES DWA CONSUMER STAPLES MO	14,990.06	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, JOHN	POWERSHARES DWA CONSUMER STAPLES MO	4,985.89	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	POWERSHARES DWA CONSUMER STAPLES MO	7,477.08	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	POWERSHARES DWA CONSUMER STAPLES MO	10,280.95	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	POWERSHARES DWA CONSUMER STAPLES MO	2,512.72	0.00	0.00	0.00	90.0	0.00
11/20/2017	HARDESTY, MARGARET	POWERSHARES DWA CONSUMER STAPLES MO	3,142.45	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	POWERSHARES DWA CONSUMER STAPLES MO	4,426.10	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	POWERSHARES DWA CONSUMER STAPLES MO	3,157.83	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	POWERSHARES DWA CONSUMER STAPLES MO	19,904.94	0.00	0.00	0.00	90.0	0.00
11/21/2017	LIPSCOMB, SAMUEL	POWERSHARES DWA CONSUMER STAPLES MO	4,742.25	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUZLICK, SHAWN	POWERSHARES DWA CONSUMER STAPLES MO	5,032.00	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	POWERSHARES DWA CONSUMER STAPLES MO	6,604.50	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	POWERSHARES DWA CONSUMER STAPLES MO	4,736.57	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUFFMAN, JOHN	POWERSHARES DWA CONSUMER STAPLES MO	7,251.62	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, RONALD	POWERSHARES DWA CONSUMER STAPLES MO	5,043.77	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, CARRIE	POWERSHARES DWA CONSUMER STAPLES MO	2,520.70	0.00	0.00	0.00	90.0	0.00
11/14/2017	NOLL, PRISCILLA	POWERSHARES EXCHANGE-TRADED FD TR D	-14,678.20	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	POWERSHARES EXCHANGE-TRADED FD TR D	-31,513.06	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, MARK	POWERSHARES EXCHANGE-TRADED FD TR D	-6,105.60	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, LAURA	POWERSHARES EXCHANGE-TRADED FD TR D	-2,606.04	0.00	0.00	0.00	90.0	0.00
11/16/2017	FEDLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR D	-13,671.91	0.00	0.00	0.00	90.0	0.00
11/16/2017	TROUT, CHARLOTTE	POWERSHARES EXCHANGE-TRADED FD TR D	-1,382.06	0.00	0.00	0.00	90.0	0.00
11/16/2017	OAR, RITA	POWERSHARES EXCHANGE-TRADED FD TR D	-5,206.84	0.00	0.00	0.00	90.0	0.00
11/17/2017	SAHARIAN, CAROLYN	POWERSHARES EXCHANGE-TRADED FD TR D	-5,534.27	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	POWERSHARES EXCHANGE-TRADED FD TR D	-21,397.13	0.00	0.00	0.00	90.0	0.00
11/17/2017	PEPPLE, GLEN	POWERSHARES EXCHANGE-TRADED FD TR D	-4,154.75	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/17/2017	ASHBAUGH, JOHN	POWERSHARES EXCHANGE-TRADED FD TR D	-9,525.28	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	POWERSHARES EXCHANGE-TRADED FD TR D	-9,519.66	0.00	0.00	0.00	90.0	0.00
11/17/2017	WILCOX, TIMOTHY	POWERSHARES EXCHANGE-TRADED FD TR D	-8,374.47	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	POWERSHARES EXCHANGE-TRADED FD TR D	-32,169.62	0.00	0.00	0.00	90.0	0.00
11/21/2017	HAHAJ, JUDITH	POWERSHARES EXCHANGE-TRADED FD TR D	-4,188.73	0.00	0.00	0.00	90.0	0.00
11/22/2017	CLARK, KATHLEEN	POWERSHARES EXCHANGE-TRADED FD TR D	-2,561.97	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	POWERSHARES EXCHANGE-TRADED FD TR D	-6,097.78	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUFFMAN, JOHN	POWERSHARES EXCHANGE-TRADED FD TR D	-5,891.56	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	POWERSHARES EXCHANGE-TRADED FD TR I	29,979.68	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	POWERSHARES EXCHANGE-TRADED FD TR I	19,992.34	0.00	0.00	0.00	90.0	0.00
11/14/2017	BRZYCKI, MARTIN	POWERSHARES EXCHANGE-TRADED FD TR I	14,577.04	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	POWERSHARES EXCHANGE-TRADED FD TR I	39,002.18	0.00	0.00	0.00	90.0	0.00
11/14/2017	NOLL, PRISCILLA	POWERSHARES EXCHANGE-TRADED FD TR I	19,926.50	0.00	0.00	0.00	90.0	0.00
11/14/2017	RICHEY, BECKY	POWERSHARES EXCHANGE-TRADED FD TR I	40,026.64	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	POWERSHARES EXCHANGE-TRADED FD TR I	19,900.10	0.00	0.00	0.00	90.0	0.00
11/15/2017	WILLING, PATRICIA	POWERSHARES EXCHANGE-TRADED FD TR I	15,010.55	0.00	0.00	0.00	90.0	0.00
11/15/2017	JONES, TIM	POWERSHARES EXCHANGE-TRADED FD TR I	5,232.96	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	POWERSHARES EXCHANGE-TRADED FD TR I	19,904.39	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, MARK	POWERSHARES EXCHANGE-TRADED FD TR I	9,960.50	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, LAURA	POWERSHARES EXCHANGE-TRADED FD TR I	3,981.89	0.00	0.00	0.00	90.0	0.00
11/16/2017	KLITZMAN, MARY	POWERSHARES EXCHANGE-TRADED FD TR I	15,088.99	0.00	0.00	0.00	90.0	0.00
11/16/2017	FEDLER, CHARLES	POWERSHARES EXCHANGE-TRADED FD TR I	15,064.33	0.00	0.00	0.00	90.0	0.00
11/16/2017	OAR, RITA	POWERSHARES EXCHANGE-TRADED FD TR I	4,905.55	0.00	0.00	0.00	90.0	0.00
11/17/2017	SAHARIAN, CAROLYN	POWERSHARES EXCHANGE-TRADED FD TR I	10,015.50	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	POWERSHARES EXCHANGE-TRADED FD TR I	20,083.14	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, JOHN	POWERSHARES EXCHANGE-TRADED FD TR I	7,462.43	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	POWERSHARES EXCHANGE-TRADED FD TR I	15,122.60	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	POWERSHARES EXCHANGE-TRADED FD TR I	7,467.13	0.00	0.00	0.00	90.0	0.00
11/17/2017	WILCOX, TIMOTHY	POWERSHARES EXCHANGE-TRADED FD TR I	3,826.16	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	POWERSHARES EXCHANGE-TRADED FD TR I	9,489.74	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	POWERSHARES EXCHANGE-TRADED FD TR I	1,441.46	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	POWERSHARES EXCHANGE-TRADED FD TR I	25,123.76	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	POWERSHARES EXCHANGE-TRADED FD TR I	3,085.50	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/21/2017	KAUZLICK, MICHAEL	POWERSHARES EXCHANGE-TRADED FD TR I	15,989.16	0.00	0.00	0.00	90.0	0.00
11/21/2017	ORT, LEON	POWERSHARES EXCHANGE-TRADED FD TR I	6,568.02	0.00	0.00	0.00	90.0	0.00
11/21/2017	HAHAJ, JUDITH	POWERSHARES EXCHANGE-TRADED FD TR I	4,013.35	0.00	0.00	0.00	90.0	0.00
11/21/2017	LIPSCOMB, SAMUEL	POWERSHARES EXCHANGE-TRADED FD TR I	3,974.30	0.00	0.00	0.00	90.0	0.00
11/22/2017	CLARK, KATHLEEN	POWERSHARES EXCHANGE-TRADED FD TR I	2,543.80	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUZLICK, SHAWN	POWERSHARES EXCHANGE-TRADED FD TR I	3,452.30	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	POWERSHARES EXCHANGE-TRADED FD TR I	5,087.60	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	POWERSHARES EXCHANGE-TRADED FD TR I	7,133.30	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUFFMAN, JOHN	POWERSHARES EXCHANGE-TRADED FD TR I	9,129.75	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, RONALD	POWERSHARES EXCHANGE-TRADED FD TR I	2,921.25	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	PUBLIC STORAGE	-29,786.12	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	PUBLIC STORAGE	-30,803.13	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	PUBLIC STORAGE	-19,088.24	0.00	0.00	0.00	90.0	0.00
11/14/2017	RICHEY, BECKY	PUBLIC STORAGE	-25,505.16	0.00	0.00	0.00	90.0	0.00
11/17/2017	BERBER, CATHY	PUBLIC STORAGE	-46,717.88	0.00	0.00	0.00	90.0	0.00
11/17/2017	GALENTINE, JOSHUA	PUBLIC STORAGE	-14,457.34	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	PUBLIC STORAGE	-16,853.70	0.00	0.00	0.00	90.0	0.00
11/21/2017	LIPSCOMB, SAMUEL	PUBLIC STORAGE	-5,288.75	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUZLICK, SHAWN	PUBLIC STORAGE	-9,479.25	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	PUBLIC STORAGE	-7,372.75	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	PUBLIC STORAGE	-14,746.87	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUFFMAN, JOHN	PUBLIC STORAGE	-6,291.95	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, CARRIE	PUBLIC STORAGE	-3,152.84	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	SELECT SECTOR SPDR TR CONSUMER STAP	30,022.58	0.00	0.00	0.00	90.0	0.00
11/14/2017	VIELKIND, LAWRENCE	SELECT SECTOR SPDR TR CONSUMER STAP	19,935.06	0.00	0.00	0.00	90.0	0.00
11/14/2017	NOLL, PRISCILLA	SELECT SECTOR SPDR TR CONSUMER STAP	30,077.74	0.00	0.00	0.00	90.0	0.00
11/14/2017	RICHEY, BECKY	SELECT SECTOR SPDR TR CONSUMER STAP	50,056.45	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	SELECT SECTOR SPDR TR CONSUMER STAP	30,045.95	0.00	0.00	0.00	90.0	0.00
11/15/2017	JONES, TIM	SELECT SECTOR SPDR TR CONSUMER STAP	4,895.10	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, MARK	SELECT SECTOR SPDR TR CONSUMER STAP	10,082.09	0.00	0.00	0.00	90.0	0.00
11/16/2017	KLITZMAN, MARY	SELECT SECTOR SPDR TR CONSUMER STAP	23,048.68	0.00	0.00	0.00	90.0	0.00
11/16/2017	OAR, RITA	SELECT SECTOR SPDR TR CONSUMER STAP	4,127.93	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	SELECT SECTOR SPDR TR CONSUMER STAP	16,159.07	0.00	0.00	0.00	90.0	0.00

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
11/15/2017	JONES, TIM	SPDR DOW JONES INDL AVERAGE ETF TR	-10,257.15	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	SPDR DOW JONES INDL AVERAGE ETF TR	-27,553.41	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	SPDR DOW JONES INDL AVERAGE ETF TR	-3,043.69	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	SPDR DOW JONES INDL AVERAGE ETF TR	-3,527.55	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	SPDR DOW JONES INDL AVERAGE ETF TR	-3,997.89	0.00	0.00	0.00	90.0	0.00
11/15/2017	JONES, TIM	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-3,292.84	0.00	0.00	0.00	90.0	0.00
11/17/2017	ZEEDYK, JANET	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-6,347.22	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, DIANA	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,700.96	0.00	0.00	0.00	90.0	0.00
11/21/2017	DELANEY, MATTHEW	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-3,038.58	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	SPDR SER TR BARCLAYS HIGH YIELD BD	-10,074.21	0.00	0.00	0.00	90.0	0.00
11/20/2017	HARDESTY, MARGARET	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,509.66	0.00	0.00	0.00	90.0	0.00
11/13/2017	SIEBER, AARON	SPDR SER TR BARCLAYS TIIPS ETF	20,034.64	0.00	0.00	0.00	90.0	0.00
11/14/2017	LALLO, GARY	SPDR SER TR BARCLAYS TIIPS ETF	-49,938.51	0.00	0.00	0.00	90.0	0.00
11/20/2017	BULLER, DOUG	SPDR SER TR BARCLAYS TIIPS ETF	1,697.19	0.00	0.00	0.00	90.0	0.00
11/20/2017	DEGLER, DONNA	SPDR SER TR BARCLAYS TIIPS ETF	14,986.41	0.00	0.00	0.00	90.0	0.00
11/21/2017	ORT, LEON	SPDR SER TR BARCLAYS TIIPS ETF	-4,807.69	0.00	0.00	0.00	90.0	0.00
11/22/2017	KAUZLICK, SHAWN	SPDR SER TR BARCLAYS TIIPS ETF	-3,564.54	0.00	0.00	0.00	90.0	0.00
11/14/2017	BRZYCKI, MARTIN	SPDR SER TR S&P BIOTECH ETF	-20,673.05	0.00	0.00	0.00	90.0	0.00
11/15/2017	WILLING, PATRICIA	SPDR SER TR S&P BIOTECH ETF	-24,758.30	0.00	0.00	0.00	90.0	0.00
11/15/2017	DAILEY, RITA	SPDR SER TR S&P BIOTECH ETF	-28,557.00	0.00	0.00	0.00	90.0	0.00
11/15/2017	GRIME, LAURA	SPDR SER TR S&P BIOTECH ETF	-2,637.70	0.00	0.00	0.00	90.0	0.00
11/16/2017	OAR, RITA	SPDR SER TR S&P BIOTECH ETF	-4,872.80	0.00	0.00	0.00	90.0	0.00
11/17/2017	SAHARIAN, CAROLYN	SPDR SER TR S&P BIOTECH ETF	-8,052.66	0.00	0.00	0.00	90.0	0.00
11/17/2017	PEPPLE, GLEN	SPDR SER TR S&P BIOTECH ETF	-6,080.33	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, JOHN	SPDR SER TR S&P BIOTECH ETF	-13,881.81	0.00	0.00	0.00	90.0	0.00
11/17/2017	ASHBAUGH, ALAN	SPDR SER TR S&P BIOTECH ETF	-13,912.73	0.00	0.00	0.00	90.0	0.00
11/20/2017	HUESMAN, PATRICIA	SPDR SER TR S&P BIOTECH ETF	-47,112.96	0.00	0.00	0.00	90.0	0.00
11/21/2017	KAUZLICK, MICHAEL	SPDR SER TR S&P BIOTECH ETF	-34,556.71	0.00	0.00	0.00	90.0	0.00
11/21/2017	HAHAJ, JUDITH	SPDR SER TR S&P BIOTECH ETF	-6,097.58	0.00	0.00	0.00	90.0	0.00
11/22/2017	CLARK, KATHLEEN	SPDR SER TR S&P BIOTECH ETF	-3,662.55	0.00	0.00	0.00	90.0	0.00
11/22/2017	KLITZMAN, RANDALL	SPDR SER TR S&P BIOTECH ETF	-11,394.60	0.00	0.00	0.00	90.0	0.00
11/13/2017	KLOC, CLIFFORD	SPDR SER TR S&P PHARMACEUTICALS ETF	-10,352.75	0.00	0.00	0.00	90.0	0.00
11/14/2017	BRZYCKI, MARTIN	SPDR SER TR S&P PHARMACEUTICALS ETF	-13,837.76	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
11/17/2017	SAHARIAN, CAROLYN	SPDR SER TR S&P PHARMACEUTICALS ETF	-5,516.54	0.00	0.00	0.00	90.0	0.00
11/22/2017	COMMUNITY GRACE	VANGUARD BD INDEX FD INC INTERMEDIA	-4,719.32	0.00	0.00	0.00	90.0	0.00
11/22/2017	ZAMZOW, RONALD	VANGUARD WORLD FDS VANGUARD HEALTH	-3,807.78	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$362,954.69	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$714,855.49	\$0.00	\$0.00	\$0.00		\$0.00

<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
11/13/2017	ASHBAUGH, SUSANNA	ATLAS CAPITAL MANAGEMENT	20,613.62	51.96	0.00	51.96	90.0	46.76
11/13/2017	BUSH, RANDALL	ATLAS CAPITAL MANAGEMENT	78,664.19	198.28	0.00	198.28	90.0	178.45
11/13/2017	BUSH, SANDRA	ATLAS CAPITAL MANAGEMENT	26,640.38	67.15	0.00	67.15	90.0	60.44
11/13/2017	BYRUM, GERALD	ATLAS CAPITAL MANAGEMENT	49,512.16	124.80	0.00	124.80	90.0	112.32
11/13/2017	BYRUM, GERALD	ATLAS CAPITAL MANAGEMENT	38,233.45	96.37	0.00	96.37	90.0	86.73
11/13/2017	COLE, TIMOTHY	ATLAS CAPITAL MANAGEMENT	37,351.11	94.15	0.00	94.15	90.0	84.74
11/13/2017	DIETSCH, DANIEL	ATLAS CAPITAL MANAGEMENT	12,408.84	31.28	0.00	31.28	90.0	28.15
11/13/2017	DIETSCH, RHONDA	ATLAS CAPITAL MANAGEMENT	12,286.51	30.97	0.00	30.97	90.0	27.87
11/13/2017	FEIPEL, ESTHER	ATLAS CAPITAL MANAGEMENT	17,653.75	44.50	0.00	44.50	90.0	40.05
11/13/2017	FORTE, ANTHONY	ATLAS CAPITAL MANAGEMENT	54,093.21	135.35	0.00	135.35	90.0	121.82
11/13/2017	GALENTINE, JOSHUA	ATLAS CAPITAL MANAGEMENT	25,634.85	64.62	0.00	64.62	90.0	58.16
11/13/2017	GREENWALT, PAUL	ATLAS CAPITAL MANAGEMENT	87,058.20	222.04	0.00	222.04	90.0	199.84
11/13/2017	GUNSOLLEY, MARY	ATLAS CAPITAL MANAGEMENT	78,230.86	147.81	0.00	147.81	90.0	133.03
11/13/2017	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	5,500.17	13.87	0.00	13.87	90.0	12.48
11/13/2017	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	1,284.35	3.24	0.00	3.24	90.0	2.92
11/13/2017	HOLLIS, JAMES	ATLAS CAPITAL MANAGEMENT	10,126.14	25.53	0.00	25.53	90.0	22.98
11/13/2017	JOHNSON, JAMES	ATLAS CAPITAL MANAGEMENT	64,414.45	162.36	0.00	162.36	90.0	146.12
11/13/2017	JORDAN, NEAL	ATLAS CAPITAL MANAGEMENT	202,200.02	509.66	0.00	509.66	90.0	458.69
11/13/2017	KEMERLY, JASON	ATLAS CAPITAL MANAGEMENT	36,711.62	91.89	0.00	91.89	90.0	82.70
11/13/2017	LALLO, CHRISTINA	ATLAS CAPITAL MANAGEMENT	293,848.29	726.84	0.00	726.84	90.0	654.16
11/13/2017	LIPSCOMB, JOAN	ATLAS CAPITAL MANAGEMENT	57,673.53	145.37	0.00	145.37	90.0	130.83
11/13/2017	LIPSCOMB, SAMUEL	ATLAS CAPITAL MANAGEMENT	58,759.27	148.11	0.00	148.11	90.0	133.30
11/13/2017	MIZNER, FREDRIC	ATLAS CAPITAL MANAGEMENT	21,397.41	53.94	0.00	53.94	90.0	48.55
11/13/2017	MULLINS, CYNTHIA	ATLAS CAPITAL MANAGEMENT	13,333.39	33.49	0.00	33.49	90.0	30.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
11/13/2017	MURPHY, SUSAN	ATLAS CAPITAL MANAGEMENT	368,984.06	892.55	0.00	892.55	90.0	803.30
11/13/2017	MUSSEY, LORI	ATLAS CAPITAL MANAGEMENT	23,829.81	60.07	0.00	60.07	90.0	54.06
11/13/2017	NEWBAUER, CAMERON	ATLAS CAPITAL MANAGEMENT	103,235.29	260.21	0.00	260.21	90.0	234.19
11/13/2017	PLATT, GINA	ATLAS CAPITAL MANAGEMENT	16,549.12	41.72	0.00	41.72	90.0	37.55
11/13/2017	RAABE, MARIANNE	ATLAS CAPITAL MANAGEMENT	15,219.82	37.80	0.00	37.80	90.0	34.02
11/13/2017	REMENSCHNEIDER, DAVID	ATLAS CAPITAL MANAGEMENT	21,387.95	34.70	0.00	34.70	90.0	31.23
11/13/2017	REMENSCHNEIDER, DAVID	ATLAS CAPITAL MANAGEMENT	45,723.26	74.18	0.00	74.18	90.0	66.76
11/13/2017	ROGERS, BRADLEY	ATLAS CAPITAL MANAGEMENT	2,667.58	6.24	0.00	6.24	90.0	5.62
11/13/2017	ROGERS, JEAN	ATLAS CAPITAL MANAGEMENT	2,642.10	6.17	0.00	6.17	90.0	5.55
11/13/2017	ROSS, DEBRA	ATLAS CAPITAL MANAGEMENT	127,032.56	320.18	0.00	320.18	90.0	288.16
11/13/2017	SAMMETINGER, EVELYN	ATLAS CAPITAL MANAGEMENT	40,773.25	102.77	0.00	102.77	90.0	92.49
11/13/2017	SCHENKE, JOSEPH	ATLAS CAPITAL MANAGEMENT	25,357.08	63.92	0.00	63.92	90.0	57.53
11/13/2017	SIEBER, AARON	ATLAS CAPITAL MANAGEMENT	61,641.23	155.37	0.00	155.37	90.0	139.83
11/13/2017	SIEBER, AARON	ATLAS CAPITAL MANAGEMENT	184,183.31	154.10	0.00	154.10	90.0	138.69
11/13/2017	SORG, MARK	ATLAS CAPITAL MANAGEMENT	39,858.04	100.47	0.00	100.47	90.0	90.42
11/13/2017	SORG, MARK	ATLAS CAPITAL MANAGEMENT	32,857.27	82.82	0.00	82.82	90.0	74.54
11/13/2017	THOMAS, THELMA	ATLAS CAPITAL MANAGEMENT	144,951.41	368.32	0.00	368.32	90.0	331.49
11/13/2017	TULLOCH, DAVID	ATLAS CAPITAL MANAGEMENT	31,811.39	80.18	0.00	80.18	90.0	72.16
11/13/2017	TUTTLE, THOMAS	ATLAS CAPITAL MANAGEMENT	222,756.82	561.47	0.00	561.47	90.0	505.32
11/13/2017	WARSTLER, MARK	ATLAS CAPITAL MANAGEMENT	19,506.56	49.17	0.00	49.17	90.0	44.25
11/13/2017	WILBANKS, GREGORY	ATLAS CAPITAL MANAGEMENT	59,236.00	149.31	0.00	149.31	90.0	134.38
11/13/2017	WILLIAMS, RENETTA	ATLAS CAPITAL MANAGEMENT	11,422.42	28.79	0.00	28.79	90.0	25.91
11/13/2017	WILLING, LEONARD	ATLAS CAPITAL MANAGEMENT	153,759.21	387.56	0.00	387.56	90.0	348.80
11/13/2017	WINANS, BEVERLY	ATLAS CAPITAL MANAGEMENT	13,781.65	35.63	0.00	35.63	90.0	32.07
11/01/2017	EAGLESON, MARTHA	CLS INVESTMENTS	57,263.03	0.01	0.00	0.01	90.0	0.01
11/01/2017	EAGLESON, ROSS	CLS INVESTMENTS	15,546.47	34.71	0.00	34.71	90.0	31.24
11/01/2017	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	130.51	0.00	130.51	90.0	117.46
11/01/2017	EAGLESON, ROSS	CLS INVESTMENTS	30,348.08	72.54	0.00	72.54	90.0	65.29
11/01/2017	EAGLESON, ROSS	CLS INVESTMENTS	15,980.83	44.24	0.00	44.24	90.0	39.82
11/01/2017	EAGLESON, MARTHA	CLS INVESTMENTS	27,069.95	64.70	0.00	64.70	90.0	58.23
11/01/2017	EAGLESON, MARTHA	CLS INVESTMENTS	14,254.61	39.46	0.00	39.46	90.0	35.51
11/01/2017	EAGLESON, MARTHA	CLS INVESTMENTS	37,533.48	-1.88	0.00	-1.88	90.0	-1.69
11/01/2017	EAGLESON, MARTHA	CLS INVESTMENTS	19,764.55	1.96	0.00	1.96	90.0	1.76

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
11/01/2017	VAN AUKEN, KAREN	CLS INVESTMENTS	29,866.29	72.87	0.00	72.87	90.0	65.58
11/01/2017	VAN AUKEN, KAREN	CLS INVESTMENTS	49,999.99	135.01	0.00	135.01	90.0	121.51
11/01/2017	VAN AUKEN, KAREN	CLS INVESTMENTS	2,284.78	5.29	0.00	5.29	90.0	4.76
10/30/2017	HATTERY, KARI	JEFFERSON NATIONAL	0.00	66.21	0.00	66.21	90.0	59.59
11/08/2017	KAUZLICK, MICHAEL	JEFFERSON NATIONAL	0.00	0.03	0.00	0.03	90.0	0.03
11/27/2017	GROTE, DONALD	JEFFERSON NATIONAL	138,331.14	345.83	0.00	345.83	90.0	311.25
* RIA SUBTOTAL *			\$3,561,040.15	\$8,288.77	\$0.00	\$8,288.77		\$7,459.90
*** DIRECT TRANSACTIONS TOTAL ***			\$3,561,040.15	\$8,288.77	\$0.00	\$8,288.77		\$7,459.90

<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
11/13/2017	BURTNETTE, PATRICIA	AMERICAN HIGH INCOME TRUST CLASS F-	30,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	ANHEUSER BUSCH INBEV SA NV SPONSORE	-15,379.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	AT&T INC COM	9,931.49	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	ENERGY TRANSFER PARTNERS L P NEW UN	14,941.55	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	ISHARES TR CORE HIGH DIVID ETF	24,960.01	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	MATTEL INC COM	15,724.38	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	POWERSHARES EXCHANGE-TRADED FD TR I	30,026.40	0.00	0.00	0.00	90.0	0.00
10/30/2017	FIRE POLICE CITY, DB PLAN	PRINCIPAL LIFE INS	0.00	710.95	0.00	710.95	90.0	639.86
11/13/2017	BURTNETTE, PATRICIA	PRUDENTIAL MUNI HIGH INCOME FUND CL	30,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	BURTNETTE, PATRICIA	SELECT SECTOR SPDR TR CONSUMER STAP	30,000.63	0.00	0.00	0.00	90.0	0.00
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$170,205.46	\$710.95	\$0.00	\$710.95		\$639.86
*** SPLIT TRANSACTIONS TOTAL ***			\$170,205.46	\$710.95	\$0.00	\$710.95		\$639.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM LAYMAN #207 </u>								
11/13/2017	LINN, ROSANNA	ATLAS CAPITAL MANAGEMENT	15,376.77	22.62	0.00	22.62	45.0	10.18
11/13/2017	REHM, TAMARA	ATLAS CAPITAL MANAGEMENT	5,561.99	14.02	0.00	14.02	45.0	6.31
* WILLIAM LAYMAN SUBTOTAL *			\$20,938.76	\$36.64	\$0.00	\$36.64		\$16.49
*** OVERRIDE TRANSACTIONS TOTAL ***			\$20,938.76	\$36.64	\$0.00	\$36.64		\$16.49

ADJUSTMENTS

11/30/2017	*FINRA: AZ RIA REG FEE	0.00						-40.00
11/30/2017	*FINRA: MA RIA REG FEE	0.00						-50.00
11/30/2017	*FINRA: MO RIA REG FEE	0.00						-50.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-140.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,459.90
Split Transactions	\$639.86
Override Transactions	\$16.49
Adjustments	\$-140.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,976.25
Year-to-date Gross	\$355,666.40
Year-to-date Earnings	\$320,292.97

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