



COMMISSION STATEMENT

April 09, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/09/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
04/05/2018	***-**-8090 - RICH, JOSEPH	FIDELITY ADV INDUSTRIALS CL A	4,995.74	249.78	18.00	231.78	70.0	162.25
	ACCOUNT #: LSR012491	BUY 113 Units @44.0600						
03/29/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	111.23	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012322	SELL 16 Units @7.0900						
04/02/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	111.19	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012322	SELL 16 Units @7.0800						
04/05/2018	***-**-8090 - RICH, JOSEPH	PUTNAM TAX-FREE HIGH YIELD FUND CLA	4,995.75	174.85	18.00	156.85	70.0	109.80
	ACCOUNT #: LSR012491	BUY 386 Units @12.9500						
* MUTUAL FUNDS SUBTOTAL *			\$10,413.91	\$429.63	\$36.00	\$393.63		\$275.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/02/2018	***-**-26IR - CORDONE, EILEEN	LANDMARK VARIABLE ANNUITY	150.00	10.80	0.00	10.80	70.0	7.56
	ACCOUNT #: 119193LK8	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$150.00	\$10.80	\$0.00	\$10.80		\$7.56
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/09/2018	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	3.72	0.00	3.72	70.0	2.60
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
04/09/2018	***-**-925P - FENGLER, DANIEL	CHET ADVISOR 529 TRAILS	0.00	1.99	0.00	1.99	70.0	1.39
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
04/09/2018	***-**-041J - PARADIS, EDWARD	PACIFIC LIFE FUNDS TRAILS	0.00	8.00	0.00	8.00	70.0	5.60
		BUY 0 Units @0.0000						
04/09/2018	***-**-0934 - FENGLER, SOPHIA	PACIFIC LIFE FUNDS TRAILS	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 2000128274	BUY 0 Units @0.0000						
04/09/2018	***-**-6049 - BRANT, CAMRON	PACIFIC LIFE FUNDS TRAILS	0.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 2000128283	BUY 0 Units @0.0000						
04/09/2018	***-**-041J - PARADIS, EDWARD	PACIFIC LIFE FUNDS TRAILS	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 2000128309	BUY 0 Units @0.0000						
04/09/2018	***-**-6049 - BRANT, CAMRON	PACIFIC LIFE FUNDS TRAILS	0.00	1.92	0.00	1.92	70.0	1.34
	ACCOUNT #: 2000128283	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/09/2018	***-**-41UT - FENGLER, ACCOUNT #: 1001411331	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.89	0.00	0.89	70.0	0.62
04/09/2018	***-**-041J - PARADIS, EDWARD ACCOUNT #: 2000115607	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	10.92	0.00	10.92	70.0	7.64
04/09/2018	***-**-15CL - BRODER, CLIENTS	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	3.92	0.00	3.92	70.0	2.74
04/09/2018	***-**-4150 - PARADIS, CAMILLE ACCOUNT #: 2000128292	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	70.0	0.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$35.66	\$0.00	\$35.66		\$24.94
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/31/2018	***-**-246I - DEPOALO, PETER ACCOUNT #: E0997928	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	124.47	0.00	124.47	70.0	87.13
03/31/2018	***-**-69IR - RICH, PATRICIA ACCOUNT #: E0962772	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	7.09	0.00	7.09	70.0	4.96
03/31/2018	***-**-69IR - RICH, PATRICIA ACCOUNT #: E0962772	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	7.09	0.00	7.09	70.0	4.96
03/31/2018	***-**-846I - BREEN, KENNETH ACCOUNT #: 922228517	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	203.61	0.00	203.61	70.0	142.53
03/31/2018	***-**-216I - COOK, CHARLES ACCOUNT #: 922305048	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	667.42	0.00	667.42	70.0	467.19
03/31/2018	***-**-673I - CZUBIK, ACCOUNT #: 924686423	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	564.46	0.00	564.46	70.0	395.12
03/31/2018	***-**-56NQ - LIBBY, BRIAN ACCOUNT #: 924692121	LINCOLN FINANCIAL CP ASSURANCE SERI BUY 0 Units @0.0000	0.00	3.48	0.00	3.48	70.0	2.44
03/31/2018	***-**-951I - MURPHY, DEBRA ACCOUNT #: E1331899	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	75.60	0.00	75.60	70.0	52.92
03/31/2018	***-**-962I - MATERA, PATRICK ACCOUNT #: E1341347	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	295.18	0.00	295.18	70.0	206.63
03/31/2018	***-**-287I - AMANTE, JAMES ACCOUNT #: E1574098	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	54.27	0.00	54.27	70.0	37.99
03/31/2018	***-**-647I - MCCANN, EDWARD ACCOUNT #: E1250308	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	338.80	0.00	338.80	70.0	237.16



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
03/31/2018	***-**-543I - RISKALLA, ACCOUNT #: E1256206	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	419.09	0.00	419.09	70.0	293.36
03/31/2018	***-**-1111 - ROMANOVSKY, ACCOUNT #: E1352162	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	312.70	0.00	312.70	70.0	218.89
03/31/2018	***-**-223I - GAY, LISA ACCOUNT #: E1057593	PRUDENTIAL PREMIER RETIREMENT X BUY 0 Units @0.0000	0.00	83.49	0.00	83.49	70.0	58.44
03/31/2018	***-**-52IN - BRODER, RUTH ACCOUNT #: E0763037	PRUDENTIAL VA TRAILS 74430A235 BUY 0 Units @0.0000	0.00	288.48	0.00	288.48	70.0	201.94
03/31/2018	***-**-52IN - BRODER, RUTH ACCOUNT #: E0763037	PRUDENTIAL VA TRAILS 74430A235 BUY 0 Units @0.0000	0.00	72.12	0.00	72.12	70.0	50.48
04/09/2018	***-**-9632 - H3 PET SUPPLY ACCOUNT #: E1567244	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	321.78	0.00	321.78	70.0	225.24
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,839.13	\$0.00	\$3,839.13		\$2,687.38
*** BROKER TRANSACTIONS TOTAL ***			\$10,563.91	\$4,315.22	\$36.00	\$4,279.22		\$2,995.43

BROKER COMMISSION TOTALS

Broker Transactions	\$2,887.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-16.96
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,978.47
Year-to-date Earnings	\$15,759.05