

All Companies  
**STATEMENT**  
June 20, 2018

FRANK AUDITORE  
19 WALDEN DR

BROKER #: 015  
BRANCH: LA BRANCH

EASTON, MA 02375-

COMMISSION STATEMENT for FRANK AUDITORE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/07/2018 #00088451605	WOOSTER, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	B	125.00	5.31	0.00	0.00	5.31	85.0	4.51
05/07/2018 #00088451605	WOOSTER, TIMOTHY	WASHINGTON MUTUAL INVS FD CLASS A	B	125.00	5.31	0.00	0.00	5.31	85.0	4.51
06/01/2018 #04000967880	WOOSTER, ROB	WASHINGTON MUTUAL INVS FD CLASS A	B	50.00	2.50	0.00	0.00	2.50	85.0	2.13
* MUTUAL FUNDS SUBTOTAL *				\$300.00	\$13.12	\$0.00	\$0.00	\$13.12		\$11.15

PRODUCT CATEGORY: STOCKS

04/27/2018 #	SKEIBER, STANLEY	YY INC ADS REPSTG COM CL A	B	3,265.41	129.50	19.95	0.00	109.55	85.0	93.12
05/01/2018 #	GRUSKOWSKI, CRAIG	YY INC ADS REPSTG COM CL A	S	9,574.12	295.00	19.95	0.00	275.05	85.0	233.79
05/15/2018 #	SKEIBER, STANLEY	***HUYA INC	B	1,981.90	85.00	19.95	0.00	65.05	85.0	55.29
05/15/2018 #	AIELLO, MARK	***HUYA INC	B	8,353.08	349.50	19.95	0.00	329.55	85.0	280.12
05/15/2018 #	AIELLO, MARK	YY INC ADS REPSTG COM CL A	S	7,798.69	275.00	19.95	0.00	255.05	85.0	216.79
05/16/2018 #	SKEIBER, STANLEY	***HUYA INC	B	4,149.70	150.00	19.95	0.00	130.05	85.0	110.54
05/16/2018 #	ANN L CRAVEN	***HUYA INC	B	20,319.50	895.00	19.95	0.00	875.05	85.0	743.79
05/16/2018 #	SKEIBER, STANLEY	TENCENT HOLDINGS LIMITED NEW	S	5,360.00	175.00	19.95	0.00	155.05	85.0	131.79
05/17/2018 #	SKEIBER, STANLEY	***HUYA INC	B	22,836.34	995.00	19.95	0.00	975.05	85.0	828.79
05/17/2018 #	SKEIBER, STANLEY	NETEASE INC SPONSORED ADR	S	24,511.16	795.00	19.95	0.00	775.05	85.0	658.79
05/21/2018 #	SKEIBER, STANLEY	***HUYA INC	B	19,476.50	950.00	19.95	0.00	930.05	85.0	790.54
05/21/2018 #	SKEIBER, STANLEY	NETEASE INC SPONSORED ADR	S	23,559.40	795.00	19.95	0.00	775.05	85.0	658.79
05/21/2018 #	SKEIBER, STANLEY	YY INC ADS REPSTG COM CL A	B	2,646.19	85.00	19.95	0.00	65.05	85.0	55.29
05/22/2018 #	KOLESINSKAS, MARK	DREYFUS HIGH YIELD STRATEGIES FUND-	B	12,624.40	495.00	19.95	0.00	475.05	85.0	403.79
05/24/2018 #	SKEIBER, STANLEY	***HUYA INC	B	21,667.80	995.00	19.95	0.00	975.05	85.0	828.79
05/24/2018 #	SKEIBER, STANLEY	NETEASE INC SPONSORED ADR	S	22,973.02	749.50	19.95	0.00	729.55	85.0	620.12
* STOCKS SUBTOTAL *				\$211,097.21	\$8,213.50	\$319.20	\$0.00	\$7,894.30		\$6,710.13

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/07/2018 #01485656068	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	0.76	0.00	0.00	0.76	85.0	0.65
05/07/2018 #01488943000	AUDITORE, MARK	FIDELITY ADV BIOTECHNOLOGY-CL A	B	0.00	14.71	0.00	0.00	14.71	85.0	12.50
05/07/2018 #01485656068	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	0.79	0.00	0.00	0.79	85.0	0.67
05/07/2018 #01488943000	AUDITORE, MARK	FIDELITY ADV HEALTH CARE-CL A	B	0.00	14.63	0.00	0.00	14.63	85.0	12.44
05/09/2018 #	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.83	0.00	0.00	0.83	85.0	0.71
05/09/2018 #	SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.90	0.00	0.00	0.90	85.0	0.77
05/09/2018 #	VALLARIO, DEBRA-ANN	DAIN TRAILER	B	0.00	4.21	0.00	0.00	4.21	85.0	3.58

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/09/2018 #	ROBERTS, FREDERICK	DAIN TRAILER	B	0.00	4.27	0.00	0.00	4.27	85.0	3.63
05/09/2018 #	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	8.10	0.00	0.00	8.10	85.0	6.89
05/09/2018 #	ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	7.43	0.00	0.00	7.43	85.0	6.32
05/09/2018 #	JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	5.97	0.00	0.00	5.97	85.0	5.07
05/09/2018 #	BLISS, JEFFREY	DAIN TRAILER	B	0.00	4.74	0.00	0.00	4.74	85.0	4.03
05/09/2018 #	MACDOUGALL, WILLIAM	DAIN TRAILER	B	0.00	3.75	0.00	0.00	3.75	85.0	3.19
05/09/2018 #	VARIES, CUSTOMER	DAIN TRAILER	B	0.00	0.01	0.00	0.00	0.01	85.0	0.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$71.10	\$0.00	\$0.00	\$71.10		\$60.46
*** BROKER TRANSACTIONS TOTAL ***				\$211,397.21	\$8,297.72	\$319.20	\$0.00	\$7,978.52		\$6,781.74

ADJUSTMENTS

06/20/2018	ADMIN FEE: ADMIN FEE	-320.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$6,781.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,282.74
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