



Concorde Investment Services, LLC

01/27/2017  
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# **COMMISSION STATEMENT** **January 31, 2017**

**MARYANN FENBERT**  
1120 E. Long Lake Road  
Suite 100  
Troy, MI 48085

**BROKER #: F118**  
**BRANCH: CONCORDE FINANCIAL G**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/12/2017	MOSS, RYAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	80.0	1.50
01/03/2017	KLIMAN, VIRGINIA	DAVIS GOVERNMENT BOND A	0.00	0.86	0.00	0.86	80.0	0.69
01/03/2017	MAJEROWSKI, MICHAEL	DAVIS GOVERNMENT BOND A	0.00	6.01	0.00	6.01	80.0	4.81
01/03/2017	FENBERT, MARYANN	DAVIS GOVERNMENT BOND A	0.00	13.33	0.00	13.33	80.0	10.66
01/03/2017	MAJEROWSKI, MICHAEL	DAVIS GOVERNMENT BOND B	0.00	0.00	0.00	0.00	80.0	0.00
01/03/2017	VARGO, TRACY	DAVIS NEW YORK VENTURE A	0.00	5.66	0.00	5.66	80.0	4.53
01/03/2017	HOLTER, DOROTHY	DAVIS NEW YORK VENTURE A	0.00	83.32	0.00	83.32	80.0	66.66
01/03/2017	ONEIL, GEORGE	DAVIS NEW YORK VENTURE A	0.00	6.34	0.00	6.34	80.0	5.07
01/03/2017	DEGRANDE, KATHERINE	DAVIS NEW YORK VENTURE A	0.00	4.34	0.00	4.34	80.0	3.47
01/03/2017	WEBER, SANDRA	DAVIS NEW YORK VENTURE A	0.00	29.10	0.00	29.10	80.0	23.28
01/03/2017	FENBERT, THOMAS	DAVIS NEW YORK VENTURE A	0.00	2.60	0.00	2.60	80.0	2.08
01/03/2017	FENBERT, MARYANN	DAVIS NEW YORK VENTURE A	0.00	5.26	0.00	5.26	80.0	4.21
01/03/2017	WEBER, DAVID	DAVIS OPPORTUNITY A	0.00	10.35	0.00	10.35	80.0	8.28
01/03/2017	WEBER, SANDRA	DAVIS OPPORTUNITY A	0.00	10.41	0.00	10.41	80.0	8.33
01/11/2017	MOSS, RYAN	DWS CORE EQUITY FUND A	0.00	3.80	0.00	3.80	80.0	3.04
01/11/2017	DZIUBA, ELIZABETH	DWS DEUTSCHE HIGH INCOME FUND A	0.00	30.23	0.00	30.23	80.0	24.18
01/11/2017	MOSS, RYAN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.61	0.00	2.61	80.0	2.09
01/11/2017	MOSS, RYAN	DWS DEUTSCHE SCIENCE AND TECHNOLOGY	0.00	3.31	0.00	3.31	80.0	2.65
01/01/2017	WEBER, DAVID	INVESCO INTERNATIONAL GROWTH A	0.00	1.90	0.00	1.90	80.0	1.52
/ /	TRIBBLE, GERALD	NUVEEN MI MUNI A	0.00	9.48	0.00	9.48	80.0	7.58
01/03/2017	O'NEIL, ELSIE	PUTNAM DIVERSIFIED INCOME A	0.00	23.62	0.00	23.62	80.0	18.90
01/03/2017	MOSS, MICHAEL	PUTNAM EQUITY INCOME A	0.00	4.32	0.00	4.32	80.0	3.46
01/03/2017	PLAN	PUTNAM EQUITY INCOME A	0.00	0.92	0.00	0.92	80.0	0.74
01/03/2017	DZIUBA, ELIZABETH	PUTNAM GROWTH OPP A	0.00	2.39	0.00	2.39	80.0	1.91
01/03/2017	POWELL, MARCIA	PUTNAM HIGH YIELD ADVANTAGE A	0.00	0.19	0.00	0.19	80.0	0.15
01/03/2017	GABBARD, MICHAEL	PUTNAM HIGH YIELD TRUST A	0.00	24.91	0.00	24.91	80.0	19.93

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/03/2017	MAJEROWSKI, MICHAEL	PUTNAM MI TAX EXEMPT INCOME A	0.00	82.65	0.00	82.65	80.0	66.12
01/03/2017	TRIBBLE, GERALD	PUTNAM MI TAX EXEMPT INCOME A	0.00	17.67	0.00	17.67	80.0	14.14
01/03/2017	MOSS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	5.14	0.00	5.14	80.0	4.11
01/03/2017	TRIBBLE, GERALD	PUTNAM TAX-FREE HIGH YIELD-A	0.00	30.99	0.00	30.99	80.0	24.79
01/03/2017	DZIUBA, ELIZABETH	PUTNAM VOYAGER A	0.00	0.66	0.00	0.66	80.0	0.53
01/04/2017	LANKIN, RICHARD	WELLS FARGO STRATEGIC MUNI A	0.00	8.04	0.00	8.04	80.0	6.43
01/04/2017	TOMAS, TIMOTHY	WELLS FARGO STRATEGIC MUNI A	0.00	1.93	0.00	1.93	80.0	1.54
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$434.22	\$0.00	\$434.22		\$347.38
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$434.22	\$0.00	\$434.22		\$347.38

**BROKER COMMISSION TOTALS**

Broker Transactions	\$347.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$347.38
Year-to-date Gross	\$6,838.04
Year-to-date Earnings	\$5,470.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed