



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 17, 2017**

01/13/2017  
 Page No. 1

DELMAR (BO)-M143 MCKINNEY  
 5 Red Bud Trail

BROKER #: M143  
 BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/30/2016	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	253.96	5.08	0.00	5.08	90.0	4.57
12/30/2016	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	8.25	0.17	0.00	0.17	90.0	0.15
12/30/2016	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	270.82	5.42	0.00	5.42	90.0	4.88
12/30/2016	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	105.00	2.10	0.00	2.10	90.0	1.89
12/30/2016	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	253.96	5.08	0.00	5.08	90.0	4.57
12/30/2016	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.25	0.17	0.00	0.17	90.0	0.15
12/30/2016	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	270.84	5.42	0.00	5.42	90.0	4.88
12/30/2016	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	2.10	0.00	2.10	90.0	1.89
12/30/2016	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	325.00	5.20	0.00	5.20	90.0	4.68
12/30/2016	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	253.96	5.08	0.00	5.08	90.0	4.57
12/30/2016	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	8.25	0.17	0.00	0.17	90.0	0.15
12/30/2016	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	270.84	5.42	0.00	5.42	90.0	4.88
12/30/2016	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	105.00	2.10	0.00	2.10	90.0	1.89
01/03/2017	JAMES, BRITTNEY	AM FUNDS GROWTH FUND OF AMERICA A	1,255.63	62.78	0.00	62.78	90.0	56.50
12/30/2016	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	253.97	5.08	0.00	5.08	90.0	4.57
12/30/2016	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.25	0.17	0.00	0.17	90.0	0.15
12/30/2016	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	270.84	5.42	0.00	5.42	90.0	4.88
12/30/2016	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	105.00	2.10	0.00	2.10	90.0	1.89
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	2.58	0.00	2.58	90.0	2.32
/ /	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.54	0.00	0.54	90.0	0.49
/ /	SMITH, MARLO	HARTFORD GROWTH ALLOCATION A	0.00	1.26	0.00	1.26	90.0	1.13
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD MIDCAP A	0.00	0.39	0.00	0.39	90.0	0.35
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$4,132.82</b>	<b>\$123.83</b>	<b>\$0.00</b>	<b>\$123.83</b>		<b>\$111.43</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2016	ESCHBERGER, AGNES	AM GEN POLIRIS II FLEXIBLE	236,302.76	236.30	0.00	236.30	90.0	212.67
01/01/2017	HERNANDEZ, JOHN	ANCHOR ADVISOR 11 FLEX	48,773.27	121.93	0.00	121.93	90.0	109.74
01/01/2017	BLAHA, TRONA	ANCHOR ADVISOR 11 FLEX	1,183,768.39	2,959.42	0.00	2,959.42	90.0	2,663.48
12/30/2016	LAWHON, JACKIE	DIRECTOR ACCESS 416588614	4,895.43	12.24	0.00	12.24	90.0	11.02
12/30/2016	CUMMINGS, ROBERT	DIRECTOR ACCESS 416588614	516,790.17	1,291.98	0.00	1,291.98	90.0	1,162.78
12/30/2016	STAGNER, JEAN	DIRECTOR V 416594521	3,407.96	3.41	0.00	3.41	90.0	3.07
12/30/2016	LOGHRY, DORIS	FED TRIPLE CROWN VA	18,806.37	18.81	0.00	18.81	90.0	16.93
12/30/2016	POTH, VIVIAN	FED TRIPLE CROWN VA	5,238.59	5.24	0.00	5.24	90.0	4.72
12/30/2016	NAIZER, JOHNNIE	FED TRIPLE CROWN VA 34956F786	8,452.89	8.45	0.00	8.45	90.0	7.61
12/30/2016	ROSIPAL, ADELE	HARTFORD DIRECTOR VI (ILA)	2,907.76	2.91	0.00	2.91	90.0	2.62
12/30/2016	MASON, JOHN	HARTFORD DIRECTOR VI (ILA)	30,458.91	30.46	0.00	30.46	90.0	27.41
12/30/2016	LUEDTKE, LOIS	HARTFORD DIRECTOR VI (ILA)	358,630.44	358.63	0.00	358.63	90.0	322.77
12/30/2016	TAISLER, JESSE	HARTFORD DIRECTOR VI (ILA)	10,953.67	10.95	0.00	10.95	90.0	9.86
12/30/2016	BARTOSH, PATRICIA	HARTFORD DIRECTOR VI (ILA)	2,835.32	2.84	0.00	2.84	90.0	2.56
12/30/2016	FUESSEL, NORMAN	HARTFORD DIRECTOR VI (ILA)	180,427.69	180.43	0.00	180.43	90.0	162.39
12/30/2016	ROSIPAL, HERBERT	HARTFORD DIRECTOR VI (ILA)	2,244.92	2.24	0.00	2.24	90.0	2.02
12/30/2016	LUEDTKE, LOIS	HARTFORD DIRECTOR VI (ILA)	179,927.85	179.93	0.00	179.93	90.0	161.94
12/30/2016	WILSON, MARY	HARTFORD DIRECTOR VI (ILA)	62,848.33	62.85	0.00	62.85	90.0	56.57
12/30/2016	SIMCIK, CHESTER	HARTFORD DIRECTOR VII (ILA)	100,083.40	62.55	0.00	62.55	90.0	56.30
12/30/2016	NAIZER, JOHNNIE	HARTFORD DIRECTOR VII (ILA)	19,256.99	12.04	0.00	12.04	90.0	10.84
12/30/2016	CUMMINGS, ROBERT	HARTFORD DIRECTOR VII (ILA)	3,478.61	2.17	0.00	2.17	90.0	1.95
12/30/2016	ESCHBERGER, AGNES	HARTFORD DIRECTOR VII (ILA)	56,834.24	35.52	0.00	35.52	90.0	31.97
12/30/2016	ESCHBERGER, AGNES	HARTFORD DIRECTOR VII (ILA)	108,436.84	67.77	0.00	67.77	90.0	60.99
12/30/2016	MARKERT, DOROTHY	HARTFORD LEADERS	5,410.09	3.38	0.00	3.38	90.0	3.04
12/30/2016	STOLLE, KATHERINE	HARTFORD LEADERS	24,767.30	15.48	0.00	15.48	90.0	13.93
12/30/2016	PEKAR, DAVID	HARTFORD LEADERS	61,856.68	38.66	0.00	38.66	90.0	34.79
12/30/2016	LAWHON, STEVEN	HARTFORD LEADERS	52,006.72	32.50	0.00	32.50	90.0	29.25
12/30/2016	ESCHBERGER, AGNES	HARTFORD LEADERS (ILA)	238,823.76	149.26	0.00	149.26	90.0	134.33
12/30/2016	FERGUSON, LINDA	HARTFORD LEADERS (ILA)	86,853.48	54.28	0.00	54.28	90.0	48.85
12/30/2016	SIMCIK, CHESTER	HARTFORD LEADERS (ILA)	96,056.06	60.04	0.00	60.04	90.0	54.04
12/30/2016	KLEMT, WILLIAM	HARTFORD LEADERS 2	81,596.77	51.00	0.00	51.00	90.0	45.90
12/30/2016	STAGNER, JEAN	HARTFORD LEADERS 2	127,299.91	79.56	0.00	79.56	90.0	71.60
12/30/2016	MORRIS, JANINE	HARTFORD LEADERS 2	93,690.51	58.56	0.00	58.56	90.0	52.70

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/30/2016	ROSIPAL, HERBERT	HARTFORD LEADERS 2	2,933.12	1.83	0.00	1.83	90.0	1.65
12/30/2016	KELLEY, DOLORES	HARTFORD LEADERS 2	3,275.50	2.05	0.00	2.05	90.0	1.85
12/30/2016	KORNEGAY, ALISA	HARTFORD LEADERS EDGE 41659Q324	68,792.37	43.00	0.00	43.00	90.0	38.70
12/30/2016	BARTOSH, PATRICIA	HARTFORD LEADERS EDGE 41659Q324	58,653.71	36.66	0.00	36.66	90.0	32.99
12/30/2016	BLAHA, TRONA	HARTFORD LEADERS EDGE 41659Q324	126,469.49	79.04	0.00	79.04	90.0	71.14
12/30/2016	KORNEGAY, ALISA	HARTFORD LEADERS EDGE 41659Q324	390,644.19	244.15	0.00	244.15	90.0	219.74
12/30/2016	WILSON, MARY	HARTFORD LEADERS EDGE 41659Q324	218,360.07	136.48	0.00	136.48	90.0	122.83
12/30/2016	DROMGOOLE, NONA	HARTFORD LEADERS OUTLOOK 2	374,619.14	936.55	0.00	936.55	90.0	842.90
12/30/2016	KLEMT, RUTH	HARTFORD LIFE DIRECTOR 8	139,080.19	86.93	0.00	86.93	90.0	78.24
12/31/2016	MASON, JOHN	JNL PERSPECTIVE ADV II 5/05	425,931.17	354.94	0.00	354.94	90.0	319.45
12/31/2016	MASON, JOHN	JNL PERSPECTIVE L	423,350.75	352.79	0.00	352.79	90.0	317.51
12/27/2016	MOORE, TERRY	PAC LIFE PORTFOLIOS	0.00	47.97	0.00	47.97	90.0	43.17
12/30/2016	NAIZER, JOHNNIE	PHCM VI 416588408	2,076.70	1.30	0.00	1.30	90.0	1.17
12/31/2016	PRAESEL, ARTHUR	PRU AS APEX II	245,753.37	614.38	0.00	614.38	90.0	552.94
12/31/2016	PRAESEL, ARTHUR	PRU AS APEX II	245,753.37	153.60	0.00	153.60	90.0	138.24
12/31/2016	MCRIGHT, WILLIAM	PRU AS XTRA CREDIT	2,702.16	1.69	0.00	1.69	90.0	1.52
12/31/2016	MCELROY, BENNETT	PRU PREMIER RETIREMENT L	69,311.11	173.28	0.00	173.28	90.0	155.95
12/31/2016	CALDWELL, KENNETH	PRUDENTIALPREMIER VA X SERIES	70,456.97	88.07	0.00	88.07	90.0	79.26
12/31/2016	CALDWELL, MARY	PRUDENTIALPREMIER VA X SERIES	18,517.38	23.15	0.00	23.15	90.0	20.84
12/30/2016	ESCHBERGER, AGNES	THE DIRECTOR M EDGE	248,958.61	155.60	0.00	155.60	90.0	140.04
12/30/2016	ESCHBERGER, AGNES	THE DIRECTOR M EDGE	225,357.24	140.85	0.00	140.85	90.0	126.77
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$7,375,118.69</b>	<b>\$9,886.10</b>	<b>\$0.00</b>	<b>\$9,886.10</b>		<b>\$8,897.54</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	ESCHBERGER, AGNES	LFG TRAILS	0.00	255.44	0.00	255.44	90.0	229.90
12/31/2016	BLAHA, TRONA	LFG TRAILS	0.00	219.78	0.00	219.78	90.0	197.80
12/31/2016	BLAHA, TRONA	LFG TRAILS	0.00	352.37	0.00	352.37	90.0	317.13
12/31/2016	LINDELL, CAROL	LFG TRAILS	0.00	53.60	0.00	53.60	90.0	48.24
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$881.19</b>	<b>\$0.00</b>	<b>\$881.19</b>		<b>\$793.07</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$7,379,251.51</b>	<b>\$10,891.12</b>	<b>\$0.00</b>	<b>\$10,891.12</b>		<b>\$9,802.04</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
01/17/2017		*BRANCH FEE: REFUND BR AUDIT	0.00					1,205.59
01/17/2017		*EO INS: CURRENT MONTH EO INS	0.00					-300.00
01/17/2017		*PERSHING: BOOKS & RECORDS	0.00					-4.50
01/17/2017		*PERSHING: UIT PROSPECTUS	0.00					-34.86
01/17/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$591.23</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,802.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$591.23
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-290.95
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$10,102.32</b>
<b>Year-to-date Gross</b>	<b>\$10,891.12</b>
<b>Year-to-date Earnings</b>	<b>\$9,802.04</b>

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