



All Companies  
**COMMISSION STATEMENT**  
**April 02, 2018**

ERIC CHARLES GUTH  
3603 Labrador Bay

BROKER #: 9617  
BRANCH: HOME OFFICE

Grapevine, TX 76051-

COMMISSION STATEMENT for ERIC CHARLES GUTH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: RIA</b>									
01/12/2018 11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	420.26	0.00	420.26	90.0	378.23
01/12/2018 13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	90.0	25.92
01/12/2018 17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.86	0.00	1.86	90.0	1.67
01/12/2018 24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	108.54	0.00	108.54	90.0	97.69
01/12/2018 27613913	RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	121.86	0.00	121.86	90.0	109.67
	JEFFREY								
01/12/2018 29396124	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	27.60	0.00	27.60	90.0	24.84
	TAMMY M.								
01/12/2018 32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	32.40	0.00	32.40	90.0	29.16
01/12/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	349.86	0.00	349.86	90.0	314.87
01/12/2018 37351723	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	311.74	0.00	311.74	90.0	280.57
	DIANNE								
01/12/2018 39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	39.46	0.00	39.46	90.0	35.51
01/12/2018 52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	44.66	0.00	44.66	90.0	40.19
	JUDITH								
01/12/2018 58050029	RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	23.20	0.00	23.20	90.0	20.88
	SHANKLAND								
01/12/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	202.14	0.00	202.14	90.0	181.93
01/12/2018 84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	94.14	0.00	94.14	90.0	84.73
	GARBER								
01/12/2018 86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018 89069414	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	1.60	0.00	1.60	90.0	1.44
	TAMMY M.								
01/12/2018 90329067	RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	158.14	0.00	158.14	90.0	142.33
01/12/2018 92709834	RAY, CHARLES A	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018 94409837	RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	9.74	0.00	9.74	90.0	8.77
01/12/2018 98008502	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	3.60	0.00	3.60	90.0	3.24
01/12/2018 98257329	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	365.46	0.00	365.46	90.0	328.91
	DIANNE								
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$2,387.06</b>	<b>\$0.00</b>	<b>\$2,387.06</b>		<b>\$2,148.35</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$2,387.06	\$0.00	\$2,387.06		\$2,148.35
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ADJUSTMENTS

04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,148.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$1,893.35
Year-to-date Earnings	\$11,780.25