

COMMISSION STATEMENT

February 28, 2018

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: 14

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/12/2018	75500801	LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER	S	45.00	10.00	0.00	10.00	80.0	8.00
02/12/2018	75500885	DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN S		45.00	10.00	0.00	10.00	80.0	8.00
02/12/2018	75500943	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A S		45.00	10.00	0.00	10.00	80.0	8.00
02/12/2018	75501135	BIJARCHI, T MATTHEW	CALAMOS GROWTH AND INCOME CLASS A S		45.00	10.00	0.00	10.00	80.0	8.00
* MUTUAL FUNDS SUBTOTAL *					\$180.00	\$40.00	\$0.00	\$40.00		\$32.00

PRODUCT CATEGORY: STOCKS

01/31/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	67,656.00	0.00	10.00	-10.00	80.0	-8.00
02/07/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	64,240.80	0.00	10.00	-10.00	80.0	-8.00
01/30/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	58,178.70	0.00	10.00	-10.00	80.0	-8.00
01/31/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	75,646.08	0.00	10.00	-10.00	80.0	-8.00
01/31/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	98,665.00	0.00	10.00	-10.00	80.0	-8.00
02/01/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	B	48,270.92	0.00	10.00	-10.00	80.0	-8.00
02/05/2018	75500514	HAMILTON, CHRISTOPHER ISHARES 20 PLUS YEAR TREASURY BOND	S	48,237.08	0.00	10.00	-10.00	80.0	-8.00
02/06/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,972.00	0.00	10.00	-10.00	80.0	-8.00
02/07/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,324.00	0.00	10.00	-10.00	80.0	-8.00
02/07/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	93,684.50	0.00	10.00	-10.00	80.0	-8.00
02/07/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	B	23,976.70	0.00	10.00	-10.00	80.0	-8.00
02/09/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	70,884.00	0.00	10.00	-10.00	80.0	-8.00
02/12/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,264.00	0.00	10.00	-10.00	80.0	-8.00
02/12/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	54,260.00	0.00	10.00	-10.00	80.0	-8.00
02/12/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	S	24,167.00	0.00	10.00	-10.00	80.0	-8.00
02/14/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	71,184.00	0.00	10.00	-10.00	80.0	-8.00
02/14/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	72,456.00	0.00	10.00	-10.00	80.0	-8.00
02/21/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	145,176.00	0.00	10.00	-10.00	80.0	-8.00
02/21/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	72,610.20	0.00	10.00	-10.00	80.0	-8.00
02/21/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	53,690.00	0.00	10.00	-10.00	80.0	-8.00
02/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	73,992.00	0.00	10.00	-10.00	80.0	-8.00
02/23/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	147,984.00	0.00	10.00	-10.00	80.0	-8.00
02/23/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	54,350.00	0.00	10.00	-10.00	80.0	-8.00
01/30/2018	75500520	FAKLER FAMILY GENERAL ELECTRIC CO	B	6,386.00	63.00	10.00	53.00	80.0	42.40

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	75500520	FAKLER FAMILY		MICROSOFT CORP	S	32,544.75	325.00	10.00	315.00 80.0 252.00
01/30/2018	75500520	FAKLER FAMILY		REALTY INCOME CORP	B	21,047.60	210.00	10.00	200.00 80.0 160.00
02/16/2018	75500528	KALOS, LINDA		OCCIDENTAL PETE CORP	S	60,000.00	50.00	10.00	40.00 80.0 32.00
02/20/2018	75500528	KALOS, LINDA		OCCIDENTAL PETE CORP	B	68,054.00	50.00	10.00	40.00 80.0 32.00
01/31/2018	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	B	98,665.00	50.00	10.00	40.00 80.0 32.00
02/07/2018	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	S	93,684.50	50.00	10.00	40.00 80.0 32.00
02/08/2018	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	B	105,858.40	50.00	10.00	40.00 80.0 32.00
02/23/2018	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	S	102,400.00	50.00	10.00	40.00 80.0 32.00
02/01/2018	75500747	MILNER, ELIZABETH		SPDR S&P 500 ETF TRUST	B	12,671.10	15.00	10.00	5.00 80.0 4.00
02/07/2018	75500747	MILNER, ELIZABETH		SPDR S&P 500 ETF TRUST	S	12,045.15	15.00	10.00	5.00 80.0 4.00
02/01/2018	75500767	HAMILTON, DOUGLAS		SPDR S&P 500 ETF TRUST	B	25,342.20	25.00	10.00	15.00 80.0 12.00
02/07/2018	75500767	HAMILTON, DOUGLAS		SPDR S&P 500 ETF TRUST	S	24,090.30	15.00	10.00	5.00 80.0 4.00
02/05/2018	75500796	KARP, BETH		J P MORGAN CHASE & CO	B	114,327.00	50.00	10.00	40.00 80.0 32.00
02/05/2018	75500796	KARP, BETH		WELLS FARGO & CO	B	118,750.00	60.00	10.00	50.00 80.0 40.00
01/30/2018	75500814	KARLIN, RONALD		CREE INC	S	10,397.76	75.00	10.00	65.00 80.0 52.00
01/31/2018	75500814	KARLIN, RONALD		CELSION CORP COM PAR NEW	S	4,721.00	25.00	10.00	15.00 80.0 12.00
01/31/2018	75500814	KARLIN, RONALD		GOPRO INC CL A	S	1,098.68	0.00	10.00	-10.00 80.0 -8.00
01/31/2018	75500814	KARLIN, RONALD		PACIFIC BIOSCIENCES OF CALIFORNIA I S	S	2,250.40	25.00	10.00	15.00 80.0 12.00
01/31/2018	75500814	KARLIN, RONALD		PUMA BIOTECHNOLOGY INC	S	13,155.68	75.00	10.00	65.00 80.0 52.00
02/01/2018	75500816	KERN, DOUGLAS		SPDR S&P 500 ETF TRUST	B	149,237.40	50.00	10.00	40.00 80.0 32.00
02/07/2018	75500816	KERN, DOUGLAS		SPDR S&P 500 ETF TRUST	S	141,865.10	50.00	10.00	40.00 80.0 32.00
01/30/2018	75500826	HORN, LAWRENCE		THE TRAVELERS COMPANIES INC	S	16,334.48	15.00	10.00	5.00 80.0 4.00
02/21/2018	75500845	GERSHMAN, WENDIE		WAL-MART STORES INC	S	138,000.00	75.00	10.00	65.00 80.0 52.00
02/05/2018	75500886	STRAUSS, HELENE		WELLS FARGO & CO	B	23,758.00	50.00	10.00	40.00 80.0 32.00
02/05/2018	75500887	STRAUSS, HELENE		J P MORGAN CHASE & CO	B	21,666.95	50.00	10.00	40.00 80.0 32.00
01/30/2018	75500892	KLEEGER, RINA		ASTERIAS BIOTHERAPEUTICS INC COMMON S	S	68.88	10.00	10.00	0.00 80.0 0.00
01/30/2018	75500900	LIEBERMAN, TRACY		INTEL CORP	S	49.56	0.00	10.00	-10.00 80.0 -8.00
02/08/2018	75500913	KARP, RONALD		ARCTURUS THERAPEUTICS LTD ORDINARY	B	3,700.93	25.00	10.00	15.00 80.0 12.00
02/09/2018	75500913	KARP, RONALD		ARCTURUS THERAPEUTICS LTD ORDINARY	B	33,160.20	60.00	10.00	50.00 80.0 40.00
02/14/2018	75500913	KARP, RONALD		AFFIMED N V COM ISIN#NL0010872420	B	11,000.00	50.00	10.00	40.00 80.0 32.00
02/14/2018	75500913	KARP, RONALD		PSIVIDA CORP	S	10,400.00	75.00	10.00	65.00 80.0 52.00
02/23/2018	75500913	KARP, RONALD		JOHN HANCOCK FINANCIAL OPPORTUNITY	S	69,089.40	52.00	10.00	42.00 80.0 33.60
02/23/2018	75500913	KARP, RONALD		MACQUARIE INFRASTRUCTURE CORPORATIO	B	76,654.00	60.00	10.00	50.00 80.0 40.00
01/31/2018	75500921	CZECH, ERIC		SPDR S&P 500 ETF TRUST	B	47,923.00	25.00	10.00	15.00 80.0 12.00
02/07/2018	75500921	CZECH, ERIC		SPDR S&P 500 ETF TRUST	S	45,503.90	25.00	10.00	15.00 80.0 12.00
02/02/2018	75500926	CONGREGATION DARCHEI		MASTERCARD INCORPORATED	S	3,493.40	50.00	10.00	40.00 80.0 32.00
02/23/2018	75500947	BIEL, LYNN		DUKE ENERGY CORPORATION HOLDING COM	B	19,086.65	209.00	10.00	199.00 80.0 159.20
02/09/2018	75500972	GRAFSTEIN, RACHEL		PROCTER & GAMBLE CO	B	19,889.95	50.00	10.00	40.00 80.0 32.00
02/01/2018	75500987	MILNER, NATHANIEL		SPDR S&P 500 ETF TRUST	B	12,671.10	15.00	10.00	5.00 80.0 4.00
02/07/2018	75500987	MILNER, NATHANIEL		SPDR S&P 500 ETF TRUST	S	12,045.15	15.00	10.00	5.00 80.0 4.00

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/09/2018	75501000	GRAFSTEIN, RACHEL		PROCTER & GAMBLE CO	B	19,898.30	50.00	10.00	40.00 80.0 32.00
02/08/2018	75501070	TAYLOR, ROBERT		SELECT SECTOR SPDR TRUST THE UTILIT S		240.55	10.00	10.00	0.00 80.0 0.00
02/02/2018	75501341	GRAFSTEIN, LEO		EDISON INTERNATIONAL	B	61,521.00	50.00	10.00	40.00 80.0 32.00
02/05/2018	QGW019903	KARP, SELWYN		J P MORGAN CHASE & CO	B	114,376.60	50.00	10.00	40.00 80.0 32.00
02/15/2018	QGW019903	KARP, SELWYN		CVS HEALTH CORPORATION	B	70,324.00	50.00	10.00	40.00 80.0 32.00
02/15/2018	QGW019903	KARP, SELWYN		TEVA PHARMACEUTICAL INDUSTRIES LIM B		103,920.50	50.00	10.00	40.00 80.0 32.00
01/30/2018	QGW019929	KARP, JEFFREY		GULFPORT ENERGY CORP COM NEW	B	22,575.00	50.00	10.00	40.00 80.0 32.00
01/30/2018	QGW019929	KARP, JEFFREY		GULFPORT ENERGY CORP COM NEW	B	21,997.00	50.00	10.00	40.00 80.0 32.00
01/30/2018	QGW019929	KARP, JEFFREY		GULFPORT ENERGY CORP COM NEW	B	22,378.60	50.00	10.00	40.00 80.0 32.00
02/01/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	B	31,391.20	100.00	10.00	90.00 80.0 72.00
02/05/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	S	-32,200.40	100.00	10.00	90.00 80.0 72.00
02/05/2018	QGW019929	KARP, JEFFREY		GAMESTOP CORP NEW CLASS A	B	32,055.00	60.00	10.00	50.00 80.0 40.00
02/08/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	B	30,840.00	100.00	10.00	90.00 80.0 72.00
02/08/2018	QGW019929	KARP, JEFFREY		GAMESTOP CORP NEW CLASS A	B	32,054.80	60.00	10.00	50.00 80.0 40.00
02/09/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	B	30,100.80	100.00	10.00	90.00 80.0 72.00
02/09/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	B	28,832.40	100.00	10.00	90.00 80.0 72.00
02/14/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	S	-66,080.00	100.00	10.00	90.00 80.0 72.00
02/14/2018	QGW019929	KARP, JEFFREY		COEUR MINING INC	S	-33,729.20	80.00	10.00	70.00 80.0 56.00
02/14/2018	QGW019929	KARP, JEFFREY		GAMESTOP CORP NEW CLASS A	B	31,595.20	60.00	10.00	50.00 80.0 40.00
02/14/2018	QGW019929	KARP, JEFFREY		GULFPORT ENERGY CORP COM NEW	B	33,338.40	50.00	10.00	40.00 80.0 32.00
02/20/2018	QGW019929	KARP, JEFFREY		GAMESTOP CORP NEW CLASS A	B	31,654.20	60.00	10.00	50.00 80.0 40.00
02/22/2018	QGW019929	KARP, JEFFREY		SIBANYE GOLD LTD SPONSORED ADR ISIN B		32,400.00	80.00	10.00	70.00 80.0 56.00
02/05/2018	QGW019937	KARP, BARBARA		WELLS FARGO & CO	B	29,667.50	50.00	10.00	40.00 80.0 32.00
02/15/2018	QGW019937	KARP, BARBARA		CVS HEALTH CORPORATION	B	35,181.60	50.00	10.00	40.00 80.0 32.00
				* STOCKS SUBTOTAL *		\$4,104,289.60	\$3,849.00	\$880.00	\$2,969.00 \$2,375.20
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/20/2018	75500528	KALOS, LINDA		CALL OXY 05/18/18 62.50	S	6,390.00	50.00	10.00	40.00 80.0 32.00
02/08/2018	75500529	KALOS, PAUL		CALL SPY 02/23/18 256	S	4,576.00	50.00	10.00	40.00 80.0 32.00
				* OPTIONS SUBTOTAL *		\$10,966.00	\$100.00	\$20.00	\$80.00 \$64.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	75500765	DIMAGGIO, PHILLIP		PIM 018919704	B	0.00	2.00	0.00	2.00 80.0 1.60
02/26/2018	75500765	DIMAGGIO, PHILLIP		**VICTORY PORTFOLIOS MUNDER	B	0.00	0.93	0.00	0.93 80.0 0.74
02/09/2018	75500766	HAMILTON, DOUGLAS		MFS EMERGING GROWTH FUND CLASS A	B	0.00	26.36	0.00	26.36 80.0 21.09
02/09/2018	75500779	DIPRIMA, ANTHONY		ROCH. LIMITED TERM NEW YORK MUNICIP B		0.00	1.94	0.00	1.94 80.0 1.55
02/09/2018	75500779	DIPRIMA, ANTHONY		ROCHESTER FUND MUNICIPALS A	B	0.00	2.38	0.00	2.38 80.0 1.90
02/26/2018	75500779	DIPRIMA, ANTHONY		**VICTORY PORTFOLIOS MUNDER	B	0.00	0.91	0.00	0.91 80.0 0.73
02/09/2018	75500780	DIPRIMA, ANTHONY		THE GROWTH FUND OF AMERICA-A	B	0.00	2.62	0.00	2.62 80.0 2.10
02/09/2018	75500781	DIPRIMA, ANTHONY		THE GROWTH FUND OF AMERICA-A	B	0.00	4.04	0.00	4.04 80.0 3.23

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/21/2018	75500796	KARP, BETH	**HEARTLAND VALUE FUND INC	B	0.00	138.12	0.00	138.12	80.0	110.50
02/26/2018	75500801	LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.14	0.00	0.14	80.0	0.11
02/09/2018	75500826	HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY	B	0.00	6.31	0.00	6.31	80.0	5.05
02/09/2018	75500826	HORN, LAWRENCE	**VOYA EQUITY TR	B	0.00	5.43	0.00	5.43	80.0	4.34
02/09/2018	75500885	DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	1.12	0.00	1.12	80.0	0.90
02/09/2018	75500885	DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN B	B	0.00	2.32	0.00	2.32	80.0	1.86
02/26/2018	75500885	DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND	B	0.00	0.26	0.00	0.26	80.0	0.21
02/26/2018	75500885	DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.64	0.00	0.64	80.0	0.51
02/21/2018	75500886	STRAUSS, HELENE	**HEARTLAND VALUE FUND INC	B	0.00	12.81	0.00	12.81	80.0	10.25
02/09/2018	75500942	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	4.02	0.00	4.02	80.0	3.22
02/09/2018	75500942	KATEN, DOREEN	CAPITAL APPRECIATION A	B	0.00	4.22	0.00	4.22	80.0	3.38
02/09/2018	75500942	KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA B	B	0.00	4.90	0.00	4.90	80.0	3.92
02/09/2018	75500942	KATEN, DOREEN	JOHN HANCOCK FDS III STRATEGIC GROW B	B	0.00	7.02	0.00	7.02	80.0	5.62
02/09/2018	75500942	KATEN, DOREEN	JOHN HANCOCK FDS II NEW OPPORTUNITI B	B	0.00	4.11	0.00	4.11	80.0	3.29
02/26/2018	75500942	KATEN, DOREEN	**SARATOGA HEALTH AND	B	0.00	8.47	0.00	8.47	80.0	6.78
02/26/2018	75500942	KATEN, DOREEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	6.25	0.00	6.25	80.0	5.00
02/09/2018	75500943	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B	B	0.00	0.52	0.00	0.52	80.0	0.42
02/09/2018	75500947	BIEL, LYNN	EHV 27826L322	B	0.00	21.29	0.00	21.29	80.0	17.03
02/09/2018	75500947	BIEL, LYNN	FRANKLIN NEW YORK TAX-FREE INCOME F B	B	0.00	19.44	0.00	19.44	80.0	15.55
02/09/2018	75500947	BIEL, LYNN	ROCHESTER FUND MUNICIPALS A	B	0.00	33.89	0.00	33.89	80.0	27.11
02/09/2018	75500947	BIEL, LYNN	WESTERN ASSET NEW YORK MUNICIPALS F B	B	0.00	15.78	0.00	15.78	80.0	12.62
02/09/2018	75500965	BLASIUS, MARK	EATON VANCE TAX MANAGED GLOBAL DIVI B	B	0.00	17.21	0.00	17.21	80.0	13.77
02/09/2018	75500965	BLASIUS, MARK	STRATEGIC INCOME A	B	0.00	37.61	0.00	37.61	80.0	30.09
02/09/2018	75500965	BLASIUS, MARK	US GLOBAL LEADERS GROWTH A	B	0.00	18.36	0.00	18.36	80.0	14.69
02/09/2018	75501070	TAYLOR, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.32	0.00	2.32	80.0	1.86
02/09/2018	75501087	MARK & CHARLES	EHV 277902813	B	0.00	18.01	0.00	18.01	80.0	14.41
02/09/2018	75501087	MARK & CHARLES	GOLDMAN SACHS TOLLKEEPER FUND CLASS B	B	0.00	72.14	0.00	72.14	80.0	57.71
02/26/2018	75501087	MARK & CHARLES	**VICTORY PORTFOLIOS	B	0.00	27.94	0.00	27.94	80.0	22.35
02/09/2018	75501097	CARMAN, JOSEPH	FEDERATED MID CAP GROWTH STRATEGIES B	B	0.00	3.99	0.00	3.99	80.0	3.19
02/09/2018	75501097	CARMAN, JOSEPH	FEDERATED INTERNATIONAL SMALL-COMPA B	B	0.00	3.24	0.00	3.24	80.0	2.59
02/09/2018	75501118	BYRNE, MARY	PIONEER CULLEN VALUE FUND CLASS A	B	0.00	3.16	0.00	3.16	80.0	2.53
02/09/2018	75501118	BYRNE, MARY	PIONEER HIGH YIELD FUND A	B	0.00	5.28	0.00	5.28	80.0	4.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$547.50	\$0.00	\$547.50	\$438.02	
*** BROKER TRANSACTIONS TOTAL ***					\$4,115,435.60	\$4,536.50	\$900.00	\$3,636.50	\$2,909.22	

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
02/28/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
02/28/2018		OTHER: OTHER-DISABLITY INSURANCE		0.00	0.00	0.00	0.00		-2.04
02/28/2018		OTHER: OTHER-WORKMAN COMP		0.00	0.00	0.00	0.00		-133.34
02/28/2018		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86
02/28/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-335.90

BROKER COMMISSION TOTALS

Broker Transactions	\$2,909.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-335.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.67
SIPC Assessment	\$-11.34

Check Amount	\$2,556.31
Year-to-date Earnings	\$8,866.67