

COMMISSION STATEMENT

July 15, 2018

RANDY SMITH-D601

BROKER #: D601

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/02/2018	08190128650	JOHN J FINN JR	MF	MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
				* MUTUAL FUNDS SUBTOTAL *		\$50.00	\$0.50	\$0.00	\$0.50		\$0.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/01/2018	00060644298	NOLTING, EVELYN	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	4.82	0.00	4.82	20.0	0.96
07/01/2018	00060644300	WARNIE, BARBARA	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	14.85	0.00	14.85	20.0	2.97
07/01/2018	00060644347	FINN, JOHN	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	34.38	0.00	34.38	20.0	6.88
07/01/2018	00060644355	FINN, CONNOR	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	0.74	0.00	0.74	20.0	0.15
07/01/2018	00060644365	JANICE K BARDEN	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	22.52	0.00	22.52	20.0	4.50
07/01/2018	00060644366	CALIVA, CAROLINE	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	3.43	0.00	3.43	20.0	0.69
07/01/2018	00060655204	CORBETT LIVING	MFT	MFS UTILITIES FUND-A	B	0.00	0.19	0.00	0.19	20.0	0.04
07/01/2018	00060655688	NOLTING, EVELYN	MFT	MFS UTILITIES FUND-A	B	0.00	3.20	0.00	3.20	20.0	0.64
07/01/2018	00060655689	WARNIE, BARBARA	MFT	MFS UTILITIES FUND-A	B	0.00	6.41	0.00	6.41	20.0	1.28
07/01/2018	00060656331	SASSEN, JOHN	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	9.34	0.00	9.34	20.0	1.87
07/01/2018	00060656387	DELSA, SUZANNE	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.95	0.00	0.95	20.0	0.19
07/01/2018	00060656420	COCKERHAM, CAROL	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	2.10	0.00	2.10	20.0	0.42
07/01/2018	00060656532	COCKERHAM, CAROL	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	29.59	0.00	29.59	20.0	5.92
07/01/2018	00060657469	WARNIE, BARBARA	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	14.00	0.00	14.00	20.0	2.80
07/01/2018	00060657481	JANICE K BARDEN	MFT	MFS MID CAP GROWTH FUND-A	B	0.00	21.54	0.00	21.54	20.0	4.31
07/01/2018	00060657855	KNIGHT, WILLIAM	MFT	MFS INTERNATIONAL GROWTH FUND-A	B	0.00	10.03	0.00	10.03	20.0	2.01
07/01/2018	00060661917	WARNIE, BARBARA	MFT	MFS TECHNOLOGY FUND-A	B	0.00	17.51	0.00	17.51	20.0	3.50
07/01/2018	00060662730	DELSA, SUZANNE	MFT	MFS MID CAP VALUE FUND-A	B	0.00	1.79	0.00	1.79	20.0	0.36
07/01/2018	00060662737	NOLTING, EVELYN	MFT	MFS MID CAP VALUE FUND-A	B	0.00	4.01	0.00	4.01	20.0	0.80
07/01/2018	00060662746	JANICE K BARDEN	MFT	MFS MID CAP VALUE FUND-A	B	0.00	21.31	0.00	21.31	20.0	4.26
07/01/2018	00060662747	CALIVA, CAROLINE	MFT	MFS MID CAP VALUE FUND-A	B	0.00	2.37	0.00	2.37	20.0	0.47
07/01/2018	00060668470	FINN, JOHN	MFT	MFS DIVERSIFIED INCOME FUND-A	B	0.00	19.97	0.00	19.97	20.0	3.99
07/01/2018	00090001299	GULF STATES	MFT	MFS INTERNATIONAL GROWTH FUND-C	B	0.00	123.40	0.00	123.40	20.0	24.68
07/01/2018	00090002000	GULF STATES	MFT	MFS TECHNOLOGY FUND-C	B	0.00	219.76	0.00	219.76	20.0	43.95
07/01/2018	00090002235	GULF STATES	MFT	MFS MID CAP VALUE FUND-C	B	0.00	153.61	0.00	153.61	20.0	30.72
07/01/2018	00090002652	GULF STATES	MFT	MFS EMERGING MARKETS EQUITY	B	0.00	63.19	0.00	63.19	20.0	12.64
07/01/2018	00090011485	GULF STATES	MFT	MFS INTERNATIONAL NEW DISCOVERY	B	0.00	129.04	0.00	129.04	20.0	25.81

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07/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.05	0.00	8.05	20.0	1.61
07/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP VALUE FUND-A	B	0.00	25.12	0.00	25.12	20.0	5.02
07/01/2018 08189050828	SASSEN, JOHN	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	11.91	0.00	11.91	20.0	2.38
07/01/2018 08189189584	CORBETT LIVING	MFT MFS UTILITIES FUND-C	B	0.00	0.23	0.00	0.23	20.0	0.05
07/01/2018 08189251981	WARNIE, BARBARA	MFT MFS TECHNOLOGY FUND-C	B	0.00	20.93	0.00	20.93	20.0	4.19
07/01/2018 08189384163	DELSA, SUZANNE	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	1.21	0.00	1.21	20.0	0.24
07/01/2018 08189400866	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.76	0.00	0.76	20.0	0.15
07/01/2018 08189401119	JAMII BARAKA ST	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.87	0.00	4.87	20.0	0.97
07/01/2018 08189401119	JAMII BARAKA ST	MFT MFS MID CAP VALUE FUND-A	B	0.00	3.17	0.00	3.17	20.0	0.63
07/01/2018 08189401123	ST JULIEN,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	8.48	0.00	8.48	20.0	1.70
07/01/2018 08189401123	ST JULIEN,	MFT MFS MID CAP VALUE FUND-A	B	0.00	13.87	0.00	13.87	20.0	2.77
07/01/2018 08189402020	TAZAMA UPENDO ST.	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	3.05	0.00	3.05	20.0	0.61
07/01/2018 08189516581	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	2.68	0.00	2.68	20.0	0.54
07/01/2018 08190039961	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	37.75	0.00	37.75	20.0	7.55
07/01/2018 08190095532	SASSEN, JOHN	MFT MFS CORE EQUITY FUND-C	B	0.00	38.71	0.00	38.71	20.0	7.74
07/01/2018 08190123620	CARLOS BOGRAN III	MFT MFS UTILITIES FUND-C	B	0.00	14.47	0.00	14.47	20.0	2.89
07/01/2018 08190128650	JOHN J FINN JR	MFT MFS MID CAP GROWTH FUND-C	B	0.00	19.90	0.00	19.90	20.0	3.98
07/01/2018 08190129109	FBO JULIETTE G	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	5.67	0.00	5.67	20.0	1.13
07/01/2018 08190129109	FBO JULIETTE G	MFT MFS GROWTH FUND-C	B	0.00	20.70	0.00	20.70	20.0	4.14
07/01/2018 08190129110	PEYTON O LEBLANC,	MFT MFS GROWTH FUND-C	B	0.00	24.48	0.00	24.48	20.0	4.90
07/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS GROWTH FUND-C	B	0.00	17.33	0.00	17.33	20.0	3.47
07/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.60	0.00	5.60	20.0	1.12
07/01/2018 08190129113	LILLY M SUTTON	MFT MFS GROWTH FUND-C	B	0.00	16.29	0.00	16.29	20.0	3.26
07/01/2018 08190129113	LILLY M SUTTON	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.60	0.00	5.60	20.0	1.12
07/01/2018 08190130171	PROPERTY,	MFT MFS GROWTH FUND-C	B	0.00	43.91	0.00	43.91	20.0	8.78
07/01/2018 08190130171	PROPERTY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	41.35	0.00	41.35	20.0	8.27
07/01/2018 08191017415	COCKERHAM, CAROL	MFT MFS MID CAP GROWTH FUND-A	B	0.00	61.08	0.00	61.08	20.0	12.22
07/01/2018 08191029111	PRESLIE G LEBLANC	MFT MFS GROWTH FUND-C	B	0.00	20.94	0.00	20.94	20.0	4.19
07/01/2018 08191043389	CARLOS BOGRAN III	MFT MFS MID CAP VALUE FUND-A	B	0.00	6.79	0.00	6.79	20.0	1.36
07/01/2018 08191043390	CARLOS BOGRAN III	MFT MFS TECHNOLOGY FUND-A	B	0.00	9.71	0.00	9.71	20.0	1.94
07/01/2018 08191059944	FINN, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	25.77	0.00	25.77	20.0	5.15
07/01/2018 08191117462	DELSA, SUZANNE	MFT MFS MID CAP VALUE FUND-C	B	0.00	2.23	0.00	2.23	20.0	0.45
07/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	12.57	0.00	12.57	20.0	2.51
07/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS MID CAP VALUE FUND-C	B	0.00	50.74	0.00	50.74	20.0	10.15
07/01/2018 08191161796	RAINES,	MFT MFS MID CAP VALUE FUND-C	B	0.00	6.54	0.00	6.54	20.0	1.31
07/01/2018 08191187109	BEACH, MELISSA	MFT MFS GROWTH FUND-C	B	0.00	36.29	0.00	36.29	20.0	7.26
07/01/2018 08191187109	BEACH, MELISSA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	35.12	0.00	35.12	20.0	7.02
07/01/2018 08191187109	BEACH, MELISSA	MFT MFS TECHNOLOGY FUND-C	B	0.00	42.12	0.00	42.12	20.0	8.42
07/01/2018 08191191313	TICHENOR,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	10.40	0.00	10.40	20.0	2.08
07/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	12.62	0.00	12.62	20.0	2.52
07/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.20	0.00	12.20	20.0	2.44
07/01/2018 08191197834	NOLTING, EVELYN	MFT MFS GROWTH FUND-C	B	0.00	5.86	0.00	5.86	20.0	1.17
07/01/2018 08191197834	NOLTING, EVELYN	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.02	0.00	5.02	20.0	1.00
07/01/2018 08191197834	NOLTING, EVELYN	MFT MFS UTILITIES FUND-C	B	0.00	4.01	0.00	4.01	20.0	0.80

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07/01/2018 08191197864	WARNIE, BARBARA	MFT MFS GROWTH FUND-C	B	0.00	18.05	0.00	18.05	20.0	3.61
07/01/2018 08191197864	WARNIE, BARBARA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	17.07	0.00	17.07	20.0	3.41
07/01/2018 08191197864	WARNIE, BARBARA	MFT MFS UTILITIES FUND-C	B	0.00	8.04	0.00	8.04	20.0	1.61
07/01/2018 08191216107	GEE, WANDA	MFT MFS GROWTH FUND-C	B	0.00	74.77	0.00	74.77	20.0	14.95
07/01/2018 08191216107	GEE, WANDA	MFT MFS MID CAP VALUE FUND-C	B	0.00	61.68	0.00	61.68	20.0	12.34
07/01/2018 08191220624	COCKERHAM, CAROL	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	62.94	0.00	62.94	20.0	12.59
07/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.73	0.00	8.73	20.0	1.75
07/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP VALUE FUND-C	B	0.00	29.90	0.00	29.90	20.0	5.98
07/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	35.12	0.00	35.12	20.0	7.02
07/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	24.83	0.00	24.83	20.0	4.97
07/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP VALUE FUND-C	B	0.00	43.19	0.00	43.19	20.0	8.64
07/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	15.33	0.00	15.33	20.0	3.07
07/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.23	0.00	12.23	20.0	2.45
07/01/2018 08191250377	UPENDO ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	5.59	0.00	5.59	20.0	1.12
07/01/2018 08191259308	TAZAMA UPENDO ST.	MFT MFS MID CAP VALUE FUND-A	B	0.00	5.18	0.00	5.18	20.0	1.04
07/01/2018 08191265828	LE BLANC, ERIN	MFT MFS MID CAP VALUE FUND-C	B	0.00	63.34	0.00	63.34	20.0	12.67
07/01/2018 08191270658	BEAU OUTLAW, JOHN	MFT MFS GROWTH FUND-C	B	0.00	13.24	0.00	13.24	20.0	2.65
07/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	44.33	0.00	44.33	20.0	8.87
07/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP VALUE FUND-C	B	0.00	38.68	0.00	38.68	20.0	7.74
07/01/2018 08191375494	FINNN, JOHN	MFT MFS GROWTH FUND-C	B	0.00	42.34	0.00	42.34	20.0	8.47
07/01/2018 08191395184	FINNN, CONNOR	MFT MFS GROWTH FUND-C	B	0.00	1.25	0.00	1.25	20.0	0.25
07/01/2018 08191398185	CORBETT, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	43.93	0.00	43.93	20.0	8.79
07/01/2018 08191402994	JANICE K BARDEN	MFT MFS GROWTH FUND-C	B	0.00	27.38	0.00	27.38	20.0	5.48
07/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	26.27	0.00	26.27	20.0	5.25
07/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP VALUE FUND-C	B	0.00	26.68	0.00	26.68	20.0	5.34
07/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS GROWTH FUND-C	B	0.00	15.86	0.00	15.86	20.0	3.17
07/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.42	0.00	5.42	20.0	1.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,461.52	\$0.00	\$2,461.52		\$492.32
*** DIRECT TRANSACTIONS TOTAL ***				\$50.00	\$2,462.02	\$0.00	\$2,462.02		\$492.42

BROKER COMMISSION TOTALS

Broker Transactions	\$492.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00