



COMMISSION STATEMENT

July 02, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	***-**-653X - WERNER, FBO EVAN	AMERICAN BALANCED FUND-A	474.99	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010638	BUY 17 Units @28.0100						
06/15/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 000000000426484	BUY 14 Units @10.8100						
06/15/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	150.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 000000000033504	BUY 13 Units @11.3500						
06/25/2018	***-**-595X - WERNER, FBO	FUNDAMENTAL INVESTORS-A	475.01	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010640	BUY 7 Units @64.4600						
06/25/2018	***-**-653X - WERNER, FBO EVAN	THE GROWTH FUND OF AMERICA-A	475.00	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010638	BUY 9 Units @55.6600						
06/25/2018	***-**-595X - WERNER, FBO	THE INCOME FUND OF AMERICA-A	475.01	13.05	0.00	13.05	75.0	9.79
	ACCOUNT #: LSR010640	BUY 20 Units @23.4400						
* MUTUAL FUNDS SUBTOTAL *			\$2,200.01	\$55.20	\$0.00	\$55.20		\$41.42
<u>PRODUCT CATEGORY: RIA</u>								
07/02/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	124.76	124.76	0.00	124.76	75.0	93.57
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
07/02/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	59.56	59.56	0.00	59.56	75.0	44.67
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						
07/02/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	128.46	128.46	0.00	128.46	75.0	96.35
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$312.78	\$312.78	\$0.00	\$312.78		\$234.59
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	***-**-108X - FOREST, NSPS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.01	0.00	1.01	75.0	0.76
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/02/2018	***-**-336J - GETCHELL, DIANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR011100	BUY 1 Units @0.0000						
07/02/2018	***-**-336I - GETCHELL, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
07/02/2018	***-**-06IO - MARVIN, BOBBI	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.28	0.00	0.28	75.0	0.21
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.33	\$0.00	\$1.33		\$1.00



COMMISSION STATEMENT

July 02, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS (continued)								
*** BROKER TRANSACTIONS TOTAL ***			\$2,512.79	\$369.31	\$0.00	\$369.31		\$277.01

BROKER COMMISSION TOTALS

Broker Transactions	\$277.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$277.01
Year-to-date Earnings	\$35,770.08