

**COMMISSION STATEMENT****November 30, 2017**THOMAS HALSEY
7261 W. Central Ave.BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/15/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	25.00	1.25	0.00	1.25	85.0	1.06
11/15/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	25.00	1.25	0.00	1.25	85.0	1.06
11/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	9.56
* 529 PLANS SUBTOTAL *			\$350.00	\$13.75	\$0.00	\$13.75		\$11.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/14/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
10/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	140,146.02	116.79	0.00	116.79	85.0	99.27
10/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	108,543.77	90.45	0.00	90.45	85.0	76.88
10/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	88,694.91	73.91	0.00	73.91	85.0	62.82
10/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	236,527.38	197.11	0.00	197.11	85.0	167.54
10/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	101,717.41	84.76	0.00	84.76	85.0	72.05
10/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	143,029.70	119.19	0.00	119.19	85.0	101.31
10/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,894.62	127.41	0.00	127.41	85.0	108.30
10/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	193,064.87	160.89	0.00	160.89	85.0	136.76
10/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	242,339.66	201.95	0.00	201.95	85.0	171.66
10/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	134,841.19	112.37	0.00	112.37	85.0	95.51
10/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	179,826.10	149.86	0.00	149.86	85.0	127.38
10/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	111,025.29	92.52	0.00	92.52	85.0	78.64
10/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	95,931.24	79.94	0.00	79.94	85.0	67.95
10/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	90,207.28	75.17	0.00	75.17	85.0	63.89
10/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	138,386.53	115.32	0.00	115.32	85.0	98.02
10/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	67,570.54	56.31	0.00	56.31	85.0	47.86
10/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,195.76	24.33	0.00	24.33	85.0	20.68
10/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	223,599.94	186.33	0.00	186.33	85.0	158.38
10/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,268.34	58.56	0.00	58.56	85.0	49.78
10/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,268.34	58.56	0.00	58.56	85.0	49.78

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,268.34	58.56	0.00	58.56	85.0	49.78
10/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	109,224.55	91.02	0.00	91.02	85.0	77.37
10/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	201,781.02	168.15	0.00	168.15	85.0	142.93
10/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	432,097.49	360.08	0.00	360.08	85.0	306.07
10/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	95,027.58	79.19	0.00	79.19	85.0	67.31
10/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	408,124.84	340.10	0.00	340.10	85.0	289.09
10/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	74,160.65	61.80	0.00	61.80	85.0	52.53
10/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	118,898.44	99.08	0.00	99.08	85.0	84.22
10/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	125,907.17	104.92	0.00	104.92	85.0	89.18
10/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,963.07	24.14	0.00	24.14	85.0	20.52
10/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	67,449.02	56.21	0.00	56.21	85.0	47.78
10/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,000.92	30.83	0.00	30.83	85.0	26.21
10/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	48,457.15	40.38	0.00	40.38	85.0	34.32
* VARIABLE ANNUITIES SUBTOTAL *			\$4,435,489.13	\$3,698.94	\$0.00	\$3,698.94		\$3,144.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.48	0.00	0.48	85.0	0.41
10/31/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.48	0.00	0.48	85.0	0.41
10/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.26	0.00	1.26	85.0	1.07
10/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	8.56	0.00	8.56	85.0	7.28
10/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.23	0.00	1.23	85.0	1.05
10/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.65	0.00	9.65	85.0	8.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.66	\$0.00	\$21.66		\$18.42
*** DIRECT TRANSACTIONS TOTAL ***			\$4,435,839.13	\$3,734.35	\$0.00	\$3,734.35		\$3,174.21

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
11/30/2017		*ADVANCE: ADVANCE	0.00					-3,040.76
11/30/2017		*OUT OF CYCLE: OUT OF CYCLE	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,140.76

BROKER COMMISSION TOTALS

Broker Transactions	\$3,174.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-3,140.76
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-582.86
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-549.41
Year-to-date Gross	\$59,644.67
Year-to-date Earnings	\$50,859.71

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