



COMMISSION STATEMENT

January 01, 2018

JEFFREY MORRISON
10 Chadwick Road
Livingston, NJ 07039

BROKER #: 982
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/15/2017	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	200.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 01489789329	BUY 15 Units @13.7400						
12/22/2017	***-**-85IN - YANKILEVICH,	FIDELITY ADV GROWTH & INCOME CL C	249.99	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898	BUY 9 Units @29.1400						
12/22/2017	***-**-85IN - YANKILEVICH,	FIDELITY ADV HEALTH CARE CL C	250.01	1.25	1.50	-0.25	100.0	-0.25
	ACCOUNT #: LSR010898	BUY 7 Units @34.3700						
* MUTUAL FUNDS SUBTOTAL *			\$700.00	\$7.50	\$3.00	\$4.50		\$4.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/18/2017	***-**-6017 - DILL, ROWAN	PACIFIC LIFE 694474693-NFS	210.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: VP65524490	BUY 0 Units @0.0000						
12/18/2017	***-**-6017 - DILL, ROWAN	PACIFIC LIFE 694474693-NFS	210.00	1.05	0.00	1.05	90.0	0.95
	ACCOUNT #: VP65524490	BUY 0 Units @0.0000						
12/21/2017	***-**-7642 - HARRISON, DANIEL	PACIFIC LIFE SE5-NYB DTCC	500.00	7.50	0.00	7.50	90.0	6.75
	ACCOUNT #: VP71028600	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$920.00	\$9.60	\$0.00	\$9.60		\$8.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-7863 - MARK, ROBERT	FIRST EAGLE GLOBAL FUND CLASS C	0.00	13.92	0.00	13.92	90.0	12.53
	ACCOUNT #: LSR100102	BUY 1 Units @0.0000						
12/26/2017	***-**-2838 - WATSON	GLOBAL FUND A	0.00	0.34	0.00	0.34	90.0	0.31
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
12/26/2017	***-**-9481 - WATSON	GLOBAL FUND A	0.00	1.02	0.00	1.02	90.0	0.92
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
12/26/2017	***-**-5165 - WATSON	GLOBAL FUND A	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
12/28/2017	***-**-75IN - WATSON, SANDRA	GRIFFIN INSTITUTIONAL ACCESS RE FUN	0.00	1.12	0.00	1.12	90.0	1.01
	ACCOUNT #: 091119296	BUY 0 Units @0.0000						
12/28/2017	***-**-24IN - BONGORT,	GRIFFIN INSTITUTIONAL ACCESS RE FUN	0.00	1.68	0.00	1.68	90.0	1.51
	ACCOUNT #: 91119450	BUY 0 Units @0.0000						
12/26/2017	***-**-00IR - WHITFIELD, FBO	MASSACHUSETTS INVESTORS TRUST CLASS	0.00	3.16	0.00	3.16	90.0	2.84
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/26/2017	***-**-2838 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: LSR106100	BUY 1 Units @0.0000						
12/26/2017	***-**-9481 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.63	0.00	0.63	90.0	0.57
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
12/26/2017	***-**-5165 - WATSON	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.70	0.00	0.70	90.0	0.63
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
12/26/2017	***-**-1182 - WATSON	OPPENHEIMER DISCOVERY CLASS A	0.00	0.44	0.00	0.44	90.0	0.40
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
12/26/2017	***-**-00IR - WHITFIELD, FBO	OPPENHEIMER GLOBAL CLASS C	0.00	5.03	0.00	5.03	90.0	4.53
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
12/26/2017	***-**-00IR - WHITFIELD, FBO	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	5.90	0.00	5.90	90.0	5.31
	ACCOUNT #: LSR103080	BUY 1 Units @0.0000						
12/26/2017	***-**-4673 - PEPE, JOANN	QUEST BALANCED A	0.00	1.24	0.00	1.24	90.0	1.12
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$36.09	\$0.00	\$36.09		\$32.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/19/2017	***-**-1409 - GRANAT, STEVEN	PACIFIC LIFE ABRB DTCC	0.00	36.29	0.00	36.29	90.0	32.66
	ACCOUNT #: VP71063370	BUY 0 Units @0.0000						
12/19/2017	***-**-1409 - GRANAT, STEVEN	PACIFIC LIFE ABRB DTCC	0.00	9.07	0.00	9.07	90.0	8.16
	ACCOUNT #: VP71063370	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$45.36	\$0.00	\$45.36		\$40.82
	*** BROKER TRANSACTIONS TOTAL ***		\$1,620.00	\$98.55	\$3.00	\$95.55		\$85.97

SPLIT TRANSACTIONS

BROKER: RICHARD PASCALE

12/11/2017	***-**-941I - NICOLOSI, GIOVAN	ALLIANZ OCC GROWTH FUND CLASS A	809.99	19.24	0.00	19.24	90.0	17.32
	ACCOUNT #: 01238084329	BUY 14 Units @56.5400						
12/11/2017	***-**-941I - NICOLOSI, GIOVAN	ALLIANZ OCC GROWTH FUND CLASS A	725.00	17.22	0.00	17.22	90.0	15.50
	ACCOUNT #: 01238084329	BUY 13 Units @56.5400						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: RICHARD PASCALE (continued)</u>								
12/21/2017	***-**-4645 - KALANTZOPOULOS, ACCOUNT #: LSR101427	FIDELITY ADV HEALTH CARE-CL A SELL 77 Units @42.6600	3,268.27	12.50	9.00	3.50	90.0	3.15
12/28/2017	***-**-28IR - MORMINO, STEVEN ACCOUNT #: 7200004040	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	4.19	0.00	4.19	90.0	3.77
12/26/2017	***-**-01I2 - PASCALE, ROBERT ACCOUNT #: LSR003794	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	9.00	0.00	9.00	90.0	8.10
12/26/2017	***-**-71IN - PASCALE, LYNNE ACCOUNT #: LSR003816	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	19.19	0.00	19.19	90.0	17.27
12/18/2017	***-**-0651 - NOSTRAMO, LORI ACCOUNT #: VP71057790	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	102.00	1.02	0.00	1.02	90.0	0.92
12/19/2017	***-**-6385 - MALONEY, DONNA ACCOUNT #: VP71053550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	51.00	0.39	0.00	0.39	90.0	0.35
12/19/2017	***-**-6385 - MALONEY, DONNA ACCOUNT #: VP71053550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	51.00	0.39	0.00	0.39	90.0	0.35
12/18/2017	***-**-8166 - GANZ, CARY ACCOUNT #: VP71031550	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	1,600.00	8.00	0.00	8.00	90.0	7.20
12/18/2017	***-**-8166 - GANZ, CARY ACCOUNT #: VP71031550	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	1,600.00	8.00	0.00	8.00	90.0	7.20
12/18/2017	***-**-8751 - QUEZADA, RITA ACCOUNT #: VP71049780	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	0.00	3.28	0.00	3.28	90.0	2.95
12/18/2017	***-**-8751 - QUEZADA, RITA ACCOUNT #: VP71049780	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	0.00	1.64	0.00	1.64	90.0	1.48
12/18/2017	***-**-8751 - QUEZADA, RITA ACCOUNT #: VP71049780	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	0.00	1.64	0.00	1.64	90.0	1.48
12/26/2017	***-**-9101 - PASCALE, DEBRA ACCOUNT #: LSR107220	PUTNAM ASSET ALLOCATION BALANCED PO BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	90.0	0.90
12/28/2017	***-**-693I - PONTURO, ANTHONY ACCOUNT #: 5007479198	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.40	0.00	0.40	90.0	0.36
12/28/2017	***-**-723I - PONTURO, ACCOUNT #: 5007536658	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	90.0	0.43
12/28/2017	***-**-693I - PONTURO, ANTHONY	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.31	0.00	0.31	90.0	0.28
* RICHARD PASCALE SUBTOTAL *			\$8,207.26	\$107.89	\$9.00	\$98.89		\$89.01



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*** SPLIT TRANSACTIONS TOTAL ***			\$8,207.26	\$107.89	\$9.00	\$98.89		\$89.01
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ALAN FISHMAN </u>								
12/11/2017	***--19IN - BLUMEN, IAN ACCOUNT #: 05066338392	AIM SMALL CAP GROWTH FUND - CLASS A BUY 1 Units @42.7000	25.00	1.25	0.00	1.25	7.5	0.09
12/28/2017	***--17IN - QUARANTO, JAMES ACCOUNT #: 00000159287	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.47	0.00	0.47	7.5	0.04
12/28/2017	***--067J - SOMMO, WILLIAM	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	7.5	0.01
12/28/2017	***--17IN - QUARANTO, JAMES ACCOUNT #: 00000159287	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.24	0.00	1.24	7.5	0.09
12/28/2017	***--955P - VICARI,	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.29	0.00	1.29	7.5	0.10
12/28/2017	***--9623 - SELKIN, ARTHUR ACCOUNT #: 00000164390	VICTORY TRAILS BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	7.5	0.05
12/28/2017	***--690I - LEIFER, JONATHAN	VICTORY TRAILS BUY 0 Units @0.0000	0.00	64.31	0.00	64.31	7.5	4.82
12/28/2017	***--17IN - QUARANTO, JAMES	VICTORY TRAILS BUY 0 Units @0.0000	0.00	1.18	0.00	1.18	7.5	0.09
* ALAN FISHMAN SUBTOTAL *			\$25.00	\$70.62	\$0.00	\$70.62		\$5.29
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25.00	\$70.62	\$0.00	\$70.62		\$5.29



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$85.97
						Split Transactions		\$89.01
						Override Transactions		\$5.29
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$180.27
						Year-to-date Earnings		\$180.27