

**COMMISSION STATEMENT****January 31, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^01/16/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	43,320.26	106.82	0.00	106.82	90.0	0.00
^01/26/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,710.24	83.12	0.00	83.12	90.0	0.00
^01/26/2018	GATER, CAREY	ITS MANAGEMENT	50,015.50	92.50	0.00	92.50	90.0	0.00
^01/15/2018	FIRE POLICE CITY, 401K	PACIFIC FINANCIAL	0.00	1,979.14	0.00	1,979.14	90.0	0.00
^01/22/2018	DORSETT, JERRY	TD AMERITRADE	0.00	97.77	0.00	97.77	90.0	0.00
^01/22/2018	DORSETT, LARA	TD AMERITRADE	0.00	182.34	0.00	182.34	90.0	0.00
^01/22/2018	EISERLE, DAVID	TD AMERITRADE	0.00	673.98	0.00	673.98	90.0	0.00
^01/22/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	71.83	0.00	71.83	90.0	0.00
^01/22/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	119.26	0.00	119.26	90.0	0.00
^01/22/2018	JACOBS, BARRY	TD AMERITRADE	0.00	235.49	0.00	235.49	90.0	0.00
^01/22/2018	KLINE, THOMAS	TD AMERITRADE	0.00	104.09	0.00	104.09	90.0	0.00
^01/22/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	345.57	0.00	345.57	90.0	0.00
* RIA SUBTOTAL *			\$127,046.00	\$4,091.91	\$0.00	\$4,091.91		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$127,046.00	\$4,091.91	\$0.00	\$4,091.91		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

01/16/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	43,320.26	32.05	0.00	32.05	90.0	28.85
01/26/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,710.24	24.94	0.00	24.94	90.0	22.45
01/26/2018	GATER, CAREY	ITS MANAGEMENT	50,015.50	27.75	0.00	27.75	90.0	24.98
01/15/2018	FIRE POLICE CITY, 401K	PACIFIC FINANCIAL	0.00	593.74	0.00	593.74	90.0	534.37
01/22/2018	DORSETT, JERRY	TD AMERITRADE	0.00	29.33	0.00	29.33	90.0	26.40
01/22/2018	DORSETT, LARA	TD AMERITRADE	0.00	54.70	0.00	54.70	90.0	49.23
01/22/2018	EISERLE, DAVID	TD AMERITRADE	0.00	202.19	0.00	202.19	90.0	181.97
01/22/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	21.55	0.00	21.55	90.0	19.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
01/22/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	35.78	0.00	35.78	90.0	32.20
01/22/2018	JACOBS, BARRY	TD AMERITRADE	0.00	70.65	0.00	70.65	90.0	63.59
01/22/2018	KLINE, THOMAS	TD AMERITRADE	0.00	31.23	0.00	31.23	90.0	28.11
01/22/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	103.67	0.00	103.67	90.0	93.30
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$127,046.00	\$1,227.58	\$0.00	\$1,227.58		\$1,104.85
*** SPLIT TRANSACTIONS TOTAL ***			\$127,046.00	\$1,227.58	\$0.00	\$1,227.58		\$1,104.85

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,104.85
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,104.85
Year-to-date Gross	\$2,567.38
Year-to-date Earnings	\$2,310.67

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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