



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT

April 15, 2017

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.73	0.00	2.73	0.0	0.00
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.47	0.00	1.47	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.90	0.00	0.90	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.90	0.00	0.90	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.90	0.00	0.90	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.23	0.00	1.23	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.23	0.00	1.23	0.0	0.00
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	1.11	0.00	1.11	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.17	0.00	3.17	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.46	0.00	12.46	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.51	0.00	0.51	0.0	0.00
04/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
04/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
04/03/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
04/07/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	650.00	18.20	0.00	18.20	0.0	0.00
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	15.26	0.00	15.26	0.0	0.00
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	27.22	0.00	27.22	0.0	0.00
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	7.34	0.00	7.34	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	15.86	0.00	15.86	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	0.68	0.00	0.68	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	7.81	0.00	7.81	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.22	0.00	0.22	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,350.00	\$129.20	\$0.00	\$129.20		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	105,853.33	66.16	0.00	66.16	0.0	0.00
03/31/2017	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	89,959.78	56.22	0.00	56.22	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$195,813.11	\$122.38	\$0.00	\$122.38		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$197,163.11	\$251.58	\$0.00	\$251.58		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$3,586.62
Year-to-date Earnings	\$0.00

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