

All Companies
STATEMENT
May 20, 2018

LEE THOMAS
530 WESTOVER DR

BROKER #: 056
BRANCH: LA BRANCH

ROXBORO, NC 27573-

COMMISSION STATEMENT for LEE THOMAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
04/16/2018 #04000777216	KELLEY, KENLEY	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	0.00	2.50	75.0	1.88
				* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$0.00	\$2.50	\$1.88
<u>PRODUCT CATEGORY: STOCKS</u>										
04/10/2018 #		3-D SYSTEMS CORP-DEL	S	1,186.34	50.00	19.95	0.00	30.05	75.0	22.54
04/10/2018 #		BIOCRIST PHARMACEUTICALS INC	S	2,237.86	111.89	19.95	0.00	91.94	75.0	68.96
04/10/2018 #		PEPSICO INC	S	2,183.65	65.47	19.95	0.00	45.52	75.0	34.14
04/10/2018 #		YUM CHINA HOLDINGS INC COM	S	2,450.10	70.82	19.95	0.00	50.87	75.0	38.15
04/20/2018 #	NICHOLS, WILLIAM	HEWLETT PACKARD ENTERPRISE COMPANY	S	3,500.74	121.21	19.95	0.00	101.26	75.0	75.95
04/20/2018 #	NICHOLS, WILLIAM	HP INC COM	S	4,465.82	136.32	19.95	0.00	116.37	75.0	87.28
				* STOCKS SUBTOTAL *	\$16,024.51	\$555.71	\$119.70	\$0.00	\$436.01	\$327.02
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
04/02/2018 #1021113693	LENNON, CYNTHIA	PERSP II 01/16	B	250,000.00	13,750.00	0.00	0.00	13,750.00	25.0	3,437.50
04/23/2018 #1021182806	CROWE, BARBARA	PERSP II 01/16	B	71,687.00	5,018.09	0.00	0.00	5,018.09	25.0	1,254.52
04/23/2018 #1021182806	CROWE, BARBARA	PERSP II 01/16	B	71,211.12	4,984.78	0.00	0.00	4,984.78	25.0	1,246.20
04/24/2018 #1021182601	CROWE, BARBARA	PERSP II 01/16	B	21,502.03	1,505.14	0.00	0.00	1,505.14	25.0	376.29
04/24/2018 #1021182610	CROWE, CHRIS	PERSP II 01/16	B	13,365.76	935.60	0.00	0.00	935.60	25.0	233.90
04/25/2018 #1021182776	CROWE, CHRIS	PERSP II 01/16	B	21,599.92	1,511.99	0.00	0.00	1,511.99	25.0	378.00
04/26/2018 #1021182610	CROWE, CHRIS	PERSP II 01/16	B	98,643.82	6,905.07	0.00	0.00	6,905.07	25.0	1,726.27
				* VARIABLE ANNUITIES SUBTOTAL *	\$548,009.65	\$34,610.67	\$0.00	\$0.00	\$34,610.67	\$8,652.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
04/05/2018 #	GLENN, JOHNATHAN	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	75.0	1.49
04/05/2018 #	LENNON, CYNTHIA	DAIN TRAILER	B	0.00	0.61	0.00	0.00	0.61	75.0	0.46
04/05/2018 #	LENNON, CYNTHIA	DAIN TRAILER	B	0.00	8.50	0.00	0.00	8.50	75.0	6.38
04/05/2018 #	LENNON, CYNTHIA	DAIN TRAILER	B	0.00	25.32	0.00	0.00	25.32	75.0	18.99
04/10/2018 #	HAWKINS, DORIS	DAIN TRAILER	B	0.00	3.85	0.00	0.00	3.85	75.0	2.89
04/10/2018 #	NICHOLS, WILLIAM	DAIN TRAILER	B	0.00	8.22	0.00	0.00	8.22	75.0	6.17
04/10/2018 #	NICHOLS, WILLIAM	DAIN TRAILER	B	0.00	4.31	0.00	0.00	4.31	75.0	3.23
04/10/2018 #	THOMAS, BETTY	DAIN TRAILER	B	0.00	2.62	0.00	0.00	2.62	75.0	1.97
04/10/2018 #	THOMAS, BETTY	DAIN TRAILER	B	0.00	1.73	0.00	0.00	1.73	75.0	1.30

BROKER COMMISSION TOTALS

Broker Transactions	\$9,839.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-17,885.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-8,045.73
------------------------	--------------------