

**COMMISSION STATEMENT****October 31, 2017**KEITH LAYMAN  
4321 FlagstaffBROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/24/2017	TILFORD, DARRELL	T ROWE PRICE GLOBAL MULTI-SECTOR BO	-3,000.00	0.00	0.00	0.00	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-3,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	JORDAN, NEAL	CLS INVESTMENTS	18,337.73	46.22	0.00	46.22	90.0	41.60
10/17/2017	JORDAN, NEAL	CLS INVESTMENTS	5,678.69	12.62	0.00	12.62	90.0	11.36
10/17/2017	JORDAN, NEAL	CLS INVESTMENTS	20,707.95	53.88	0.00	53.88	90.0	48.49
10/17/2017	JORDAN, PAM	CLS INVESTMENTS	18,986.99	47.86	0.00	47.86	90.0	43.07
10/17/2017	ALLEN, MARK	CLS INVESTMENTS	23,578.06	59.43	0.00	59.43	90.0	53.49
10/17/2017	ALLEN, RHONDA	CLS INVESTMENTS	16,755.96	42.23	0.00	42.23	90.0	38.01
10/17/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	13,151.73	33.15	0.00	33.15	90.0	29.84
10/17/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	4,041.06	10.19	0.00	10.19	90.0	9.17
10/17/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	6,112.15	13.34	0.00	13.34	90.0	12.01
10/17/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	49,999.99	128.09	0.00	128.09	90.0	115.28
10/17/2017	ARCHBOLD, DANNY	CLS INVESTMENTS	7,266.87	18.32	0.00	18.32	90.0	16.49
10/17/2017	ARCHBOLD, PAMELA	CLS INVESTMENTS	14,510.56	36.58	0.00	36.58	90.0	32.92
10/17/2017	ARCHBOLD, PAMELA	CLS INVESTMENTS	10,210.89	25.74	0.00	25.74	90.0	23.17
10/17/2017	ARCHBOLD, DANNY	CLS INVESTMENTS	4,866.44	12.27	0.00	12.27	90.0	11.04
10/17/2017	BAILEY, BRUCE	CLS INVESTMENTS	56,578.15	138.33	0.00	138.33	90.0	124.50
10/17/2017	BAILEY, BRUCE	CLS INVESTMENTS	24,223.22	65.33	0.00	65.33	90.0	58.80
10/17/2017	BAILEY, LOIS	CLS INVESTMENTS	60,206.79	147.20	0.00	147.20	90.0	132.48
10/17/2017	BAILEY, LOIS	CLS INVESTMENTS	25,776.77	69.52	0.00	69.52	90.0	62.57
10/17/2017	BAILEY, KEVIN	CLS INVESTMENTS	52,172.83	121.85	0.00	121.85	90.0	109.67
10/17/2017	BAILEY, KEVIN	CLS INVESTMENTS	49,999.99	135.68	0.00	135.68	90.0	122.11
10/17/2017	BUNN, LINDA	CLS INVESTMENTS	6,543.90	16.49	0.00	16.49	90.0	14.84
10/17/2017	BYRUM, GERALD	CLS INVESTMENTS	96,000.76	234.44	0.00	234.44	90.0	211.00
10/17/2017	BYRUM, GERALD	CLS INVESTMENTS	25,148.58	70.92	0.00	70.92	90.0	63.83

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	BYRUM, GERALD	CLS INVESTMENTS	94,866.38	231.66	0.00	231.66	90.0	208.49
10/17/2017	BYRUM, GERALD	CLS INVESTMENTS	24,851.41	70.09	0.00	70.09	90.0	63.08
10/17/2017	CONTI, JAMES	CLS INVESTMENTS	43,261.86	109.05	0.00	109.05	90.0	98.15
10/17/2017	COSTANZA, JOSEPH	CLS INVESTMENTS	21,054.96	53.07	0.00	53.07	90.0	47.76
10/17/2017	DAVID, SCOTT	CLS INVESTMENTS	25,023.37	68.18	0.00	68.18	90.0	61.36
10/17/2017	DAVID, SCOTT	CLS INVESTMENTS	29,289.35	68.73	0.00	68.73	90.0	61.86
10/17/2017	DAVID, SCOTT	CLS INVESTMENTS	24,976.62	68.05	0.00	68.05	90.0	61.25
10/17/2017	DAVID, SCOTT	CLS INVESTMENTS	29,344.17	68.86	0.00	68.86	90.0	61.97
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	15,546.47	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	30,348.08	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	15,980.83	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	27,069.95	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	14,254.61	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, SAMUEL	CLS INVESTMENTS	23,837.16	53.98	0.00	53.98	90.0	48.58
10/17/2017	EAGLESON, SAMUEL	CLS INVESTMENTS	49,999.99	132.13	0.00	132.13	90.0	118.92
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	18,476.69	41.48	0.00	41.48	90.0	37.33
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	131.13	0.00	131.13	90.0	118.02
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	31,210.32	74.66	0.00	74.66	90.0	67.19
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	16,011.63	44.37	0.00	44.37	90.0	39.93
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	27,840.04	66.60	0.00	66.60	90.0	59.94
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	14,282.60	39.57	0.00	39.57	90.0	35.61
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	38,411.01	91.90	0.00	91.90	90.0	82.71
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	19,705.76	54.59	0.00	54.59	90.0	49.13
10/17/2017	FISCHER, HELEN	CLS INVESTMENTS	45,281.49	105.14	0.00	105.14	90.0	94.63
10/17/2017	FISCHER, HELEN	CLS INVESTMENTS	49,999.99	135.01	0.00	135.01	90.0	121.51
10/17/2017	FORTE, ANDREW	CLS INVESTMENTS	942.50	2.38	0.00	2.38	90.0	2.14
10/17/2017	GALENTINE, ASHLEY	CLS INVESTMENTS	41,552.74	99.01	0.00	99.01	90.0	89.11
10/17/2017	GALENTINE, ASHLEY	CLS INVESTMENTS	49,999.99	131.75	0.00	131.75	90.0	118.58
10/17/2017	GALENTINE, STEPHANIE	CLS INVESTMENTS	24,793.15	62.49	0.00	62.49	90.0	56.24
10/17/2017	GEVERINK, ANN	CLS INVESTMENTS	6,345.79	13.95	0.00	13.95	90.0	12.56
10/17/2017	GEVERINK, ANN	CLS INVESTMENTS	36,255.99	93.43	0.00	93.43	90.0	84.09
10/17/2017	GEVERINK, RAYMOND	CLS INVESTMENTS	2,405.57	5.28	0.00	5.28	90.0	4.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	GEVERINK, RAYMOND	CLS INVESTMENTS	13,744.00	35.42	0.00	35.42	90.0	31.88
10/17/2017	GOULD, NORMAN	CLS INVESTMENTS	10,208.55	23.59	0.00	23.59	90.0	21.23
10/17/2017	GOULD, NORMAN	CLS INVESTMENTS	49,999.99	128.16	0.00	128.16	90.0	115.34
10/17/2017	GREENWALT, ROBIN	CLS INVESTMENTS	47,147.23	118.84	0.00	118.84	90.0	106.96
10/17/2017	HOLLIS, TONYUA	CLS INVESTMENTS	47,272.10	119.16	0.00	119.16	90.0	107.24
10/17/2017	HOLLIS, JAMES	CLS INVESTMENTS	35,671.86	84.35	0.00	84.35	90.0	75.92
10/17/2017	HOLLIS, JAMES	CLS INVESTMENTS	25,001.68	68.57	0.00	68.57	90.0	61.71
10/17/2017	HOLLIS, JAMES	CLS INVESTMENTS	35,667.03	84.35	0.00	84.35	90.0	75.92
10/17/2017	HOLLIS, JAMES	CLS INVESTMENTS	24,998.31	68.57	0.00	68.57	90.0	61.71
10/17/2017	HOUSER, TRACY	CLS INVESTMENTS	34,655.28	82.19	0.00	82.19	90.0	73.97
10/17/2017	HOUSER, TRACY	CLS INVESTMENTS	49,999.99	131.19	0.00	131.19	90.0	118.07
10/17/2017	ISLEY, CURTIS	CLS INVESTMENTS	34,298.46	86.45	0.00	86.45	90.0	77.81
10/17/2017	JOHNSON, AMY	CLS INVESTMENTS	6,207.59	15.65	0.00	15.65	90.0	14.09
10/17/2017	JOHNSON, JAMES	CLS INVESTMENTS	16,476.25	41.53	0.00	41.53	90.0	37.38
10/17/2017	KAUFFMAN, KATIE	CLS INVESTMENTS	35,600.91	89.73	0.00	89.73	90.0	80.76
10/17/2017	KAUFFMAN, KATIE	CLS INVESTMENTS	9,566.87	24.11	0.00	24.11	90.0	21.70
10/17/2017	LESHER, DAVID	CLS INVESTMENTS	52,420.18	125.74	0.00	125.74	90.0	113.17
10/17/2017	LESHER, DAVID	CLS INVESTMENTS	24,931.19	69.23	0.00	69.23	90.0	62.31
10/17/2017	LESHER, DAVID	CLS INVESTMENTS	52,709.54	126.43	0.00	126.43	90.0	113.79
10/17/2017	LESHER, DAVID	CLS INVESTMENTS	25,068.80	69.62	0.00	69.62	90.0	62.66
10/17/2017	LIPSCOMB, SAMUEL	CLS INVESTMENTS	65,963.26	155.50	0.00	155.50	90.0	139.95
10/17/2017	LIPSCOMB, SAMUEL	CLS INVESTMENTS	49,999.99	136.79	0.00	136.79	90.0	123.11
10/17/2017	MAIERS, GREGORY	CLS INVESTMENTS	1,851.51	4.21	0.00	4.21	90.0	3.79
10/17/2017	MAIERS, GREGORY	CLS INVESTMENTS	49,999.99	126.47	0.00	126.47	90.0	113.82
10/17/2017	MARTIN, REBECCA	CLS INVESTMENTS	28,246.33	71.19	0.00	71.19	90.0	64.07
10/17/2017	MURPHY, SUSAN	CLS INVESTMENTS	44,822.24	112.98	0.00	112.98	90.0	101.68
10/17/2017	PARROT, CHARLES	CLS INVESTMENTS	38,478.06	96.99	0.00	96.99	90.0	87.29
10/17/2017	PARROT, JUDITH	CLS INVESTMENTS	18,038.29	45.47	0.00	45.47	90.0	40.92
10/17/2017	PEE, MICHAEL	CLS INVESTMENTS	23,519.23	55.25	0.00	55.25	90.0	49.73
10/17/2017	PEE, MICHAEL	CLS INVESTMENTS	49,999.99	130.06	0.00	130.06	90.0	117.05
10/17/2017	PEPPLE, GLEN	CLS INVESTMENTS	158,048.14	384.01	0.00	384.01	90.0	345.61
10/17/2017	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	140.39	0.00	140.39	90.0	126.35
10/17/2017	PLATT, GINA	CLS INVESTMENTS	21,330.60	53.76	0.00	53.76	90.0	48.38

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	PLATT, GINA	CLS INVESTMENTS	8,434.10	21.26	0.00	21.26	90.0	19.13
10/17/2017	PLATT, GINA	CLS INVESTMENTS	4,097.30	8.89	0.00	8.89	90.0	8.00
10/17/2017	PLATT, GINA	CLS INVESTMENTS	49,999.99	127.46	0.00	127.46	90.0	114.71
10/17/2017	RAMEY, TRAVIS	CLS INVESTMENTS	41,770.36	105.29	0.00	105.29	90.0	94.76
10/17/2017	RAMEY, TRAVIS	CLS INVESTMENTS	206.00	0.52	0.00	0.52	90.0	0.47
10/17/2017	RAMEY, JENNIFER	CLS INVESTMENTS	204.90	0.52	0.00	0.52	90.0	0.47
10/17/2017	REESE, BARRY	CLS INVESTMENTS	34,724.67	87.53	0.00	87.53	90.0	78.78
10/17/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	5,402.98	12.27	0.00	12.27	90.0	11.04
10/17/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	10,560.41	27.97	0.00	27.97	90.0	25.17
10/17/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	20,178.29	45.81	0.00	45.81	90.0	41.23
10/17/2017	REMENSCHNEIDER, MARY	CLS INVESTMENTS	39,439.58	104.45	0.00	104.45	90.0	94.01
10/17/2017	RUSK, RANDALL	CLS INVESTMENTS	26,716.69	67.35	0.00	67.35	90.0	60.62
10/17/2017	SCHISLER, SHARON	CLS INVESTMENTS	16,007.65	40.35	0.00	40.35	90.0	36.32
10/17/2017	SENGER, LYNDIA	CLS INVESTMENTS	49,769.84	125.45	0.00	125.45	90.0	112.91
10/17/2017	SHEARIN, DAVID	CLS INVESTMENTS	84,840.67	205.91	0.00	205.91	90.0	185.32
10/17/2017	SHEARIN, DAVID	CLS INVESTMENTS	49,999.99	133.95	0.00	133.95	90.0	120.56
10/17/2017	SHERBURNE, SUSAN	CLS INVESTMENTS	47,915.06	120.77	0.00	120.77	90.0	108.69
10/17/2017	SIEBER, AARON	CLS INVESTMENTS	96,625.97	235.25	0.00	235.25	90.0	211.73
10/17/2017	SIEBER, AARON	CLS INVESTMENTS	49,999.99	134.33	0.00	134.33	90.0	120.90
10/17/2017	SLIGER, RUSTY	CLS INVESTMENTS	10,662.09	26.88	0.00	26.88	90.0	24.19
10/17/2017	SORG, BECKY	CLS INVESTMENTS	14,459.32	33.18	0.00	33.18	90.0	29.86
10/17/2017	SORG, BECKY	CLS INVESTMENTS	21,511.30	57.48	0.00	57.48	90.0	51.73
10/17/2017	SORG, BECKY	CLS INVESTMENTS	19,149.33	43.94	0.00	43.94	90.0	39.55
10/17/2017	SORG, BECKY	CLS INVESTMENTS	28,488.69	76.13	0.00	76.13	90.0	68.52
10/17/2017	TRACEY, JAMES	CLS INVESTMENTS	28,711.19	65.47	0.00	65.47	90.0	58.92
10/17/2017	TRACEY, JAMES	CLS INVESTMENTS	49,999.99	132.93	0.00	132.93	90.0	119.64
10/17/2017	TRESSLER, KENT	CLS INVESTMENTS	11,520.21	25.50	0.00	25.50	90.0	22.95
10/17/2017	TRESSLER, KENT	CLS INVESTMENTS	49,999.99	129.57	0.00	129.57	90.0	116.61
10/17/2017	TULLOCH, CAROLYN	CLS INVESTMENTS	32,399.74	81.66	0.00	81.66	90.0	73.49
10/17/2017	WEAVER, JEFFREY	CLS INVESTMENTS	26,763.93	67.46	0.00	67.46	90.0	60.71
10/17/2017	WEIDES, MARK	CLS INVESTMENTS	28,638.35	68.80	0.00	68.80	90.0	61.92
10/17/2017	WEIDES, MARK	CLS INVESTMENTS	25,303.06	67.16	0.00	67.16	90.0	60.44
10/17/2017	WEIDES, LISA	CLS INVESTMENTS	16,340.44	39.26	0.00	39.26	90.0	35.33

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/17/2017	WEIDES, LISA	CLS INVESTMENTS	14,437.39	38.32	0.00	38.32	90.0	34.49
10/17/2017	WEIDES, LISA	CLS INVESTMENTS	12,044.24	30.36	0.00	30.36	90.0	27.32
10/17/2017	WEIDES, MARK	CLS INVESTMENTS	9,827.19	24.77	0.00	24.77	90.0	22.29
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	57,263.03	-13.07	0.00	-13.07	90.0	-11.76
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	15,546.47	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	30,348.08	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, ROSS	CLS INVESTMENTS	15,980.83	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	27,069.95	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	14,254.61	0.00	0.00	0.00	90.0	0.00
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	37,533.48	-55.96	0.00	-55.96	90.0	-50.36
10/17/2017	EAGLESON, MARTHA	CLS INVESTMENTS	19,764.55	-29.18	0.00	-29.18	90.0	-26.26
10/25/2017	ALLAN, BETTY	ITS MANAGEMENT	40,587.69	76.73	0.00	76.73	90.0	69.06
10/25/2017	BLAIR, BRIAN	ITS MANAGEMENT	38,244.59	96.40	0.00	96.40	90.0	86.76
10/25/2017	BRUNTON, RYAN	ITS MANAGEMENT	55,976.40	105.82	0.00	105.82	90.0	95.24
10/25/2017	CLARK, KATHLEEN	ITS MANAGEMENT	23,156.46	43.78	0.00	43.78	90.0	39.40
10/25/2017	SMITH, DAWN	ITS MANAGEMENT	37,710.67	95.05	0.00	95.05	90.0	85.55
10/25/2017	DISLER, SHARON	ITS MANAGEMENT	33,216.44	62.80	0.00	62.80	90.0	56.52
10/25/2017	ELLIOTT, RANDALL	ITS MANAGEMENT	73,408.05	92.52	0.00	92.52	90.0	83.27
10/25/2017	HUESMAN, PATRICIA	ITS MANAGEMENT	280,399.75	530.07	0.00	530.07	90.0	477.06
10/25/2017	JACKSON, NICK	ITS MANAGEMENT	41,146.66	103.71	0.00	103.71	90.0	93.34
10/25/2017	JAMSCO INC	ITS MANAGEMENT	230,038.04	289.91	0.00	289.91	90.0	260.92
10/25/2017	LAYMAN, ROBERT	ITS MANAGEMENT	3,001.26	3.75	0.00	3.75	90.0	3.38
10/25/2017	MCBRIDE, KEITH	ITS MANAGEMENT	60,127.37	151.56	0.00	151.56	90.0	136.40
10/25/2017	MYERS, HELEN	ITS MANAGEMENT	19,117.22	24.10	0.00	24.10	90.0	21.69
10/25/2017	OAR, WARREN	ITS MANAGEMENT	192,829.01	607.54	0.00	607.54	90.0	546.79
10/25/2017	RICHARDSON, AMY	ITS MANAGEMENT	67,780.09	128.13	0.00	128.13	90.0	115.32
10/25/2017	RICHARDSON, JARRED	ITS MANAGEMENT	67,777.99	128.13	0.00	128.13	90.0	115.32
10/25/2017	ROBINSON, DAVID	ITS MANAGEMENT	28,250.19	35.25	0.00	35.25	90.0	31.73
10/25/2017	ROTHGEB, CRAIG	ITS MANAGEMENT	26,777.80	57.37	0.00	57.37	90.0	51.63
10/25/2017	ROTHGEB, KELLY	ITS MANAGEMENT	13,056.38	26.61	0.00	26.61	90.0	23.95
10/25/2017	SMITH, BRENT	ITS MANAGEMENT	23,391.72	44.22	0.00	44.22	90.0	39.80
10/25/2017	SMITH, NICOLE	ITS MANAGEMENT	42,798.61	107.88	0.00	107.88	90.0	97.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/25/2017	STENDAHL, RANDALL	ITS MANAGEMENT	30,524.49	57.71	0.00	57.71	90.0	51.94
10/25/2017	WHITE, LOU ANN	ITS MANAGEMENT	5,921.52	11.20	0.00	11.20	90.0	10.08
10/25/2017	WILLIAMS, BARBARA	ITS MANAGEMENT	32,891.99	41.46	0.00	41.46	90.0	37.31
10/25/2017	WILLING, AARON	ITS MANAGEMENT	38,797.53	97.79	0.00	97.79	90.0	88.01
10/19/2017	PEE, MICHAEL	QUARTERLY FEE	0.00	191.51	0.00	191.51	90.0	172.36
10/18/2017	ARCHBOLD, DANNY	SAWTOOTH	0.00	983.06	0.00	983.06	90.0	884.75
10/18/2017	BAILEY, BRUCE	SAWTOOTH	0.00	760.52	0.00	760.52	90.0	684.47
10/18/2017	BERCOT, THERESA	SAWTOOTH	0.00	419.98	0.00	419.98	90.0	377.98
10/18/2017	BERGER, LARRY	SAWTOOTH	0.00	514.65	0.00	514.65	90.0	463.19
10/18/2017	BOYD, LARRY	SAWTOOTH	0.00	170.53	0.00	170.53	90.0	153.48
10/18/2017	BOYD, BONNE	SAWTOOTH	0.00	126.81	0.00	126.81	90.0	114.13
10/18/2017	BOYD, BONNE	SAWTOOTH	0.00	113.74	0.00	113.74	90.0	102.37
10/18/2017	BOYD, LARRY	SAWTOOTH	0.00	124.28	0.00	124.28	90.0	111.85
10/18/2017	BRUNTON, WILLIAM	SAWTOOTH	0.00	354.87	0.00	354.87	90.0	319.38
10/18/2017	BUSH, RANDALL	SAWTOOTH	0.00	398.93	0.00	398.93	90.0	359.04
10/18/2017	BUSH, SANDRA	SAWTOOTH	0.00	489.87	0.00	489.87	90.0	440.88
10/18/2017	BYRUM JR, GERALD	SAWTOOTH	0.00	164.06	0.00	164.06	90.0	147.65
10/18/2017	CALKINS, BARBARA	SAWTOOTH	0.00	84.47	0.00	84.47	90.0	76.02
10/18/2017	CLARK, PATRICIA	SAWTOOTH	0.00	138.81	0.00	138.81	90.0	124.93
10/18/2017	CLARK, PATRICIA	SAWTOOTH	0.00	156.79	0.00	156.79	90.0	141.11
10/18/2017	COLCORD, ALNETTA	SAWTOOTH	0.00	872.84	0.00	872.84	90.0	785.56
10/18/2017	DAILEY, RITA	SAWTOOTH	0.00	505.50	0.00	505.50	90.0	454.95
10/18/2017	DELAGARZA, MARK	SAWTOOTH	0.00	261.70	0.00	261.70	90.0	235.53
10/18/2017	DERBYSHIRE, KAREN	SAWTOOTH	0.00	579.30	0.00	579.30	90.0	521.37
10/18/2017	DERBYSHIRE, JAMES	SAWTOOTH	0.00	260.61	0.00	260.61	90.0	234.55
10/18/2017	DIETSCH, RHONDA	SAWTOOTH	0.00	168.96	0.00	168.96	90.0	152.06
10/18/2017	DIETSCH, DANIEL	SAWTOOTH	0.00	184.92	0.00	184.92	90.0	166.43
10/18/2017	DILLIE, MAXIE	SAWTOOTH	0.00	90.43	0.00	90.43	90.0	81.39
10/18/2017	DODGE, CAROLYN	SAWTOOTH	0.00	577.71	0.00	577.71	90.0	519.94
10/18/2017	DURKES, DEBRA	SAWTOOTH	0.00	102.05	0.00	102.05	90.0	91.85
10/18/2017	FLORES, PATRICIA	SAWTOOTH	0.00	414.15	0.00	414.15	90.0	372.74
10/18/2017	GEVERINK, ANN	SAWTOOTH	0.00	141.47	0.00	141.47	90.0	127.32
10/18/2017	GREATHEAD, PHILIP	SAWTOOTH	0.00	233.89	0.00	233.89	90.0	210.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/18/2017	GRIDER, SHARON	SAWTOOTH	0.00	88.97	0.00	88.97	90.0	80.07
10/18/2017	GRIDER, SHARON	SAWTOOTH	0.00	185.38	0.00	185.38	90.0	166.84
10/18/2017	GUNSOLLEY, MARY	SAWTOOTH	0.00	341.04	0.00	341.04	90.0	306.94
10/18/2017	HARMEYER, SHARYN	SAWTOOTH	0.00	681.82	0.00	681.82	90.0	613.64
10/18/2017	HINCKS, ELIZABETH A LIF	SAWTOOTH	0.00	349.82	0.00	349.82	90.0	314.84
10/18/2017	HINCKS, ELIZABETH A LIF	SAWTOOTH	0.00	209.98	0.00	209.98	90.0	188.98
10/18/2017	HODAPP, CYNTHIA	SAWTOOTH	0.00	245.55	0.00	245.55	90.0	221.00
10/18/2017	IGNEY, SHELLEY	SAWTOOTH	0.00	217.28	0.00	217.28	90.0	195.55
10/18/2017	IGNEY, SHELLEY	SAWTOOTH	0.00	114.66	0.00	114.66	90.0	103.19
10/18/2017	ISLEY, MICHAEL	SAWTOOTH	0.00	716.77	0.00	716.77	90.0	645.09
10/18/2017	JORDAN, NEAL	SAWTOOTH	0.00	516.98	0.00	516.98	90.0	465.28
10/18/2017	JORDAN, PAM	SAWTOOTH	0.00	551.31	0.00	551.31	90.0	496.18
10/18/2017	KANOST, RUTH	SAWTOOTH	0.00	215.06	0.00	215.06	90.0	193.55
10/18/2017	KEMP, DAVID	SAWTOOTH	0.00	200.46	0.00	200.46	90.0	180.41
10/18/2017	KLITZMAN, RANDALL	SAWTOOTH	0.00	216.09	0.00	216.09	90.0	194.48
10/18/2017	KLITZMAN, MARY	SAWTOOTH	0.00	233.48	0.00	233.48	90.0	210.13
10/18/2017	LIPSCOMB, JOAN	SAWTOOTH	0.00	589.19	0.00	589.19	90.0	530.27
10/18/2017	LOVETT, DAVID	SAWTOOTH	0.00	191.18	0.00	191.18	90.0	172.06
10/18/2017	LOWDEN, JAMES	SAWTOOTH	0.00	746.14	0.00	746.14	90.0	671.53
10/18/2017	LOWDEN TRUST, KATHERINE	SAWTOOTH	0.00	414.67	0.00	414.67	90.0	373.20
10/18/2017	MAIERS, NANCY	SAWTOOTH	0.00	175.95	0.00	175.95	90.0	158.36
10/18/2017	MAIERS, GREGORY	SAWTOOTH	0.00	149.72	0.00	149.72	90.0	134.75
10/18/2017	MARTIN, NINA	SAWTOOTH	0.00	233.91	0.00	233.91	90.0	210.52
10/18/2017	MAYER, PEGGY	SAWTOOTH	0.00	208.10	0.00	208.10	90.0	187.29
10/18/2017	MILLER, REBECCA	SAWTOOTH	0.00	136.48	0.00	136.48	90.0	122.83
10/18/2017	MILLER, THOMAS	SAWTOOTH	0.00	213.46	0.00	213.46	90.0	192.11
10/18/2017	MILLS, ROBERT	SAWTOOTH	0.00	146.06	0.00	146.06	90.0	131.45
10/18/2017	MULDOON, DANIEL	SAWTOOTH	0.00	291.85	0.00	291.85	90.0	262.67
10/18/2017	MURPHY, WILLIAM	SAWTOOTH	0.00	1,341.98	0.00	1,341.98	90.0	1,207.78
10/18/2017	MURPHY, SUSAN	SAWTOOTH	0.00	707.79	0.00	707.79	90.0	637.01
10/18/2017	NEWBAUER, CAMERON	SAWTOOTH	0.00	190.98	0.00	190.98	90.0	171.88
10/18/2017	PEE, MICHAEL	SAWTOOTH	0.00	720.22	0.00	720.22	90.0	648.20
10/18/2017	PEE, MICHAEL	SAWTOOTH	0.00	169.11	0.00	169.11	90.0	152.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/18/2017	PEPPLE, GLEN	SAWTOOTH	0.00	290.57	0.00	290.57	90.0	261.51
10/18/2017	PEPPLE, NICOLE	SAWTOOTH	0.00	118.00	0.00	118.00	90.0	106.20
10/18/2017	PIER, SPENCER	SAWTOOTH	0.00	52.18	0.00	52.18	90.0	46.96
10/18/2017	RAABE, RICHARD	SAWTOOTH	0.00	133.38	0.00	133.38	90.0	120.04
10/18/2017	REINHART, RUSSELL	SAWTOOTH	0.00	314.47	0.00	314.47	90.0	283.02
10/18/2017	REINHART, ROCHELLE	SAWTOOTH	0.00	15.38	0.00	15.38	90.0	13.84
10/18/2017	REMENSCHNEIDER, MARY	SAWTOOTH	0.00	639.56	0.00	639.56	90.0	575.60
10/18/2017	ROTHGEB, CRAIG	SAWTOOTH	0.00	130.96	0.00	130.96	90.0	117.86
10/18/2017	RUSK, RANDALL	SAWTOOTH	0.00	453.70	0.00	453.70	90.0	408.33
10/18/2017	SAMMETINGER, EVELYN	SAWTOOTH	0.00	186.66	0.00	186.66	90.0	167.99
10/18/2017	SAMMETINGER, EVELYN	SAWTOOTH	0.00	144.53	0.00	144.53	90.0	130.08
10/18/2017	SAUTER, DOROTHY	SAWTOOTH	0.00	37.09	0.00	37.09	90.0	33.38
10/18/2017	SAUTER, DOROTHY	SAWTOOTH	0.00	229.00	0.00	229.00	90.0	206.10
10/18/2017	SAUTER, JOHN	SAWTOOTH	0.00	46.88	0.00	46.88	90.0	42.19
10/18/2017	SCHAEFER, LOLA	SAWTOOTH	0.00	27.02	0.00	27.02	90.0	24.32
10/18/2017	SENGER, SALLY	SAWTOOTH	0.00	346.94	0.00	346.94	90.0	312.25
10/18/2017	SENGER, SALLY	SAWTOOTH	0.00	56.22	0.00	56.22	90.0	50.60
10/18/2017	SENGER, LYNDIA	SAWTOOTH	0.00	349.82	0.00	349.82	90.0	314.84
10/18/2017	SHANK, DEAN	SAWTOOTH	0.00	404.30	0.00	404.30	90.0	363.87
10/18/2017	SIEBER, AARON	SAWTOOTH	0.00	341.07	0.00	341.07	90.0	306.96
10/18/2017	SIMPSON, DAYNA	SAWTOOTH	0.00	128.84	0.00	128.84	90.0	115.96
10/18/2017	SIMPSON, DAYNA	SAWTOOTH	0.00	136.07	0.00	136.07	90.0	122.46
10/18/2017	SORG, BECKY	SAWTOOTH	0.00	412.86	0.00	412.86	90.0	371.57
10/18/2017	STANSBERRY, NANCY	SAWTOOTH	0.00	629.58	0.00	629.58	90.0	566.62
10/18/2017	STOPPENHAGEN, DENNIS	SAWTOOTH	0.00	716.17	0.00	716.17	90.0	644.55
10/18/2017	SULLIVAN, DIAN	SAWTOOTH	0.00	298.18	0.00	298.18	90.0	268.36
10/18/2017	THOMAS, THELMA	SAWTOOTH	0.00	235.80	0.00	235.80	90.0	212.22
10/18/2017	TULLOCH, CAROLYN	SAWTOOTH	0.00	130.23	0.00	130.23	90.0	117.21
10/18/2017	TULLOCH, DAVID	SAWTOOTH	0.00	545.82	0.00	545.82	90.0	491.24
10/18/2017	TYGER, CATHIE	SAWTOOTH	0.00	503.08	0.00	503.08	90.0	452.77
10/18/2017	VAN CLEAVE, BLAKE	SAWTOOTH	0.00	380.98	0.00	380.98	90.0	342.88
10/18/2017	WALLACE, FREDERICK	SAWTOOTH	0.00	210.50	0.00	210.50	90.0	189.45
10/18/2017	WEBER, KAY	SAWTOOTH	0.00	322.76	0.00	322.76	90.0	290.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
10/18/2017	WEIMER, THOMAS	SAWTOOTH	0.00	710.11	0.00	710.11	90.0	639.10
10/18/2017	WILLING, PATRICIA	SAWTOOTH	0.00	231.91	0.00	231.91	90.0	208.72
10/18/2017	WILLING, LEONARD	SAWTOOTH	0.00	110.54	0.00	110.54	90.0	99.49
10/12/2017	BERNDT, JAMIE	TD AMERITRADE	28,286.95	35.65	0.00	35.65	90.0	32.09
10/12/2017	BERNDT, TODD	TD AMERITRADE	233,848.69	294.71	0.00	294.71	90.0	265.24
10/12/2017	REMENSCHNEIDER, DAVID	TD AMERITRADE	342,667.70	863.71	0.00	863.71	90.0	777.34
<b>* RIA SUBTOTAL *</b>			<b>\$6,252,663.08</b>	<b>\$44,810.73</b>	<b>\$0.00</b>	<b>\$44,810.73</b>		<b>\$40,329.71</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$6,249,663.08</b>	<b>\$44,810.73</b>	<b>\$0.00</b>	<b>\$44,810.73</b>		<b>\$40,329.71</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

10/17/2017	ADAMS, TERRY	CLS INVESTMENTS	11,148.32	12.29	0.00	12.29	90.0	11.06
10/17/2017	HAMMAN, RONALD	CLS INVESTMENTS	38,923.82	34.33	0.00	34.33	90.0	30.90
10/17/2017	SCHENKEL, ROBERT	CLS INVESTMENTS	41,223.91	36.37	0.00	36.37	90.0	32.73
10/25/2017	BECKER, PATRICIA	ITS MANAGEMENT	32,691.50	28.84	0.00	28.84	90.0	25.96
10/25/2017	GATER, CAREY	ITS MANAGEMENT	54,048.53	35.77	0.00	35.77	90.0	32.19
10/13/2017	FIRE POLICE CITY, 401K	PRINCIPAL LIFE INS	0.00	922.65	0.00	922.65	90.0	830.39
10/18/2017	DORSETT, JERRY	SAWTOOTH	0.00	34.27	0.00	34.27	90.0	30.84
10/18/2017	DORSETT, LARA	SAWTOOTH	0.00	65.44	0.00	65.44	90.0	58.90
10/18/2017	EISERLE, DAVID	SAWTOOTH	0.00	235.18	0.00	235.18	90.0	211.66
10/18/2017	FOLTZ, SCOTT	SAWTOOTH	0.00	25.04	0.00	25.04	90.0	22.54
10/18/2017	FOLTZ, KATHRYN	SAWTOOTH	0.00	40.81	0.00	40.81	90.0	36.73
10/18/2017	JACOBS, BARRY	SAWTOOTH	0.00	82.87	0.00	82.87	90.0	74.58
10/18/2017	KLINE, THOMAS	SAWTOOTH	0.00	36.37	0.00	36.37	90.0	32.73
10/18/2017	MOUNSEY, CHRISTINE	SAWTOOTH	0.00	118.42	0.00	118.42	90.0	106.58
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$178,036.08</b>	<b>\$1,708.65</b>	<b>\$0.00</b>	<b>\$1,708.65</b>		<b>\$1,537.79</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$178,036.08</b>	<b>\$1,708.65</b>	<b>\$0.00</b>	<b>\$1,708.65</b>		<b>\$1,537.79</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
10/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-12.07
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-12.07

**BROKER COMMISSION TOTALS**

Broker Transactions	\$40,329.71
Split Transactions	\$1,537.79
Override Transactions	\$0.00
Adjustments	\$-12.07
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,855.43
Year-to-date Gross	\$346,666.68
Year-to-date Earnings	\$312,176.72

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