

All Companies
STATEMENT
 August 20, 2018

JAMES FULTON
 11276 Rt 322
 SUITE 620
 Shipperville, PA 16254

BROKER #: 183
 BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/30/0201	#66196689901	JOHNSON, PATTY HARTFORD SMART529	STATIC GROWTH A	0.00	0.90	93.0	0.00	0.84	0.84
06/30/0201	#66752257501	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	0.00	0.90	93.0	0.00	0.84	0.84
06/30/0201	#66752257502	BELLIS, KATHRYN HARTFORD SMART529	STATIC GROWTH A	0.00	0.90	93.0	0.00	0.84	0.84
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.70		\$0.00	\$2.52	\$0.00	\$2.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/05/0018	#1010686900	HOOK, LINDA JACKSON 1AB07LNLC		50.00	3.75	93.0	0.00	3.49	3.49
07/30/0201	#142014A85191424871	POWNEY, SECURITY BENEFIT		0.00	2.00	93.0	0.00	1.86	1.86
07/30/0201	#142014A85191424871	POWNEY, SECURITY BENEFIT		0.00	0.84	93.0	0.00	0.78	0.78
07/30/0201	#142014A80169683976	FRAZIER, SECURITY BENEFIT		0.00	1.07	93.0	0.00	1.00	1.00
07/20/2018	#1016965398	MCANINCH, DEBORA ELITE ACCESS		300.00	15.75	93.0	0.00	14.65	14.65
07/31/2018	#1012671012	CALDWELL, BRIAN ELITE ACCESS		26,409.44	8.80	93.0	0.00	8.18	8.18
07/31/2018	#1012670598	MCANINCH, DEBORA ELITE ACCESS		36,346.53	12.12	93.0	0.00	11.27	11.27
07/31/2018	#1013102925	MCGROARTY, ELITE ACCESS		41,598.54	13.87	93.0	0.00	12.90	12.90
* VARIABLE ANNUITIES SUBTOTAL *			\$104,704.51	\$58.20		\$0.00	\$54.13	\$0.00	\$54.13
<u>PRODUCT CATEGORY: RIA</u>									
07/09/2018	#1006389267	MAGNESS, DEAN ABSOLUTE CAP		0.00	743.25	93.0	0.00	691.22	691.22
08/01/2018	#DAV77104	MCANINCH, DEBORA ABSOLUTE CAP		0.00	27.10	93.0	0.00	25.20	25.20
08/01/2018	#1014927936	MCGROARTY, ABSOLUTE CAP		0.00	360.81	93.0	0.00	335.55	335.55
08/01/2018	#1013102925	MCGROARTY, ABSOLUTE CAP		0.00	91.97	93.0	0.00	85.53	85.53
08/01/2018	#1009554650	POWNEY, JAMES ABSOLUTE CAP		0.00	858.20	93.0	0.00	798.13	798.13
* RIA SUBTOTAL *			\$0.00	\$2,081.33		\$0.00	\$1,935.63	\$0.00	\$1,935.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	#	BELLIS, KATHRYN DAIN TRAILER		0.00	0.13	93.0	0.00	0.12	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.13		\$0.00	\$0.12	\$0.00	\$0.12
*** BROKER TRANSACTIONS TOTAL ***			\$104,704.51	\$2,142.36		\$0.00	\$1,992.40	\$0.00	\$1,992.40

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ADJUSTMENTS

08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		ADMIN FEE: ADMIN FEE							-390.00
08/20/2018		CRD FEES: CRD FEES FINRA CE TRACKED							-55.00
		WEB FEE S201							
*** ADJUSTMENTS TOTAL ***									\$-624.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,992.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-624.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,368.40
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