



Concorde Investment Services, LLC

05/25/2017

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COMMISSION STATEMENT

May 31, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
05/15/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
05/15/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
05/15/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
05/15/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
05/15/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
05/15/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	51.14	0.51	0.00	0.51	90.0	0.46
05/15/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	38.35	0.38	0.00	0.38	90.0	0.34
05/15/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
05/15/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
05/15/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	33.79	0.34	0.00	0.34	90.0	0.31
05/15/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	33.79	0.34	0.00	0.34	90.0	0.31
05/15/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/15/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/15/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.12	0.42	0.00	0.42	90.0	0.38
05/15/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.12	0.42	0.00	0.42	90.0	0.38
05/12/2017	DAVIS, SALLY	AM FUNDS AMERICAN BALANCED A	644.39	10.31	0.00	10.31	90.0	9.28
05/15/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	1,104.80	11.05	0.00	11.05	90.0	9.95
05/15/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	331.44	3.31	0.00	3.31	90.0	2.98
05/15/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	238.21	2.38	0.00	2.38	90.0	2.14
05/15/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	102.09	1.02	0.00	1.02	90.0	0.92
05/15/2017	GRIFFIN, SHAWN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
05/15/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	60.25	0.60	0.00	0.60	90.0	0.54
05/15/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	60.25	0.60	0.00	0.60	90.0	0.54
05/15/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	236.74	2.37	0.00	2.37	90.0	2.13
05/15/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	71.02	0.71	0.00	0.71	90.0	0.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	63.29	0.63	0.00	0.63	90.0	0.57
05/15/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	23.73	0.24	0.00	0.24	90.0	0.22
05/15/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
05/15/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	142.02	1.42	0.00	1.42	90.0	1.28
05/15/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
05/15/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
05/15/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.10	0.34	0.00	0.34	90.0	0.31
05/15/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.10	0.34	0.00	0.34	90.0	0.31
05/15/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	18.13	0.18	0.00	0.18	90.0	0.16
05/15/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	18.13	0.18	0.00	0.18	90.0	0.16
05/15/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	236.74	2.37	0.00	2.37	90.0	2.13
05/15/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	71.02	0.71	0.00	0.71	90.0	0.64
05/12/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
05/15/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	47.46	0.47	0.00	0.47	90.0	0.42
05/15/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	17.80	0.18	0.00	0.18	90.0	0.16
05/15/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
05/15/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
05/15/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	47.06	0.47	0.00	0.47	90.0	0.42
05/15/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	47.06	0.47	0.00	0.47	90.0	0.42
05/15/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	46.08	0.46	0.00	0.46	90.0	0.41
05/15/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	46.08	0.46	0.00	0.46	90.0	0.41
05/11/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
05/11/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
05/12/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	184.62	3.69	0.00	3.69	90.0	3.32
05/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	36.26	0.36	0.00	0.36	90.0	0.32
05/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	36.26	0.36	0.00	0.36	90.0	0.32
05/15/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
05/15/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
05/15/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	47.46	0.47	0.00	0.47	90.0	0.42
05/15/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	17.80	0.18	0.00	0.18	90.0	0.16
05/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
05/15/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
05/15/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	12.88	0.13	0.00	0.13	90.0	0.12

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	12.88	0.13	0.00	0.13	90.0	0.12
05/15/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
05/15/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
05/15/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	79.99	0.80	0.00	0.80	90.0	0.72
05/15/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	79.99	0.80	0.00	0.80	90.0	0.72
05/15/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
05/15/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
05/15/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	26.61	0.27	0.00	0.27	90.0	0.24
05/15/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	26.61	0.27	0.00	0.27	90.0	0.24
05/15/2017	DILLON, EMMA	AM FUNDS ICA A	19.80	0.20	0.00	0.20	90.0	0.18
05/15/2017	DILLON, EMMA	AM FUNDS ICA A	14.85	0.15	0.00	0.15	90.0	0.14
05/15/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	113.40	1.13	0.00	1.13	90.0	1.02
05/15/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	37.80	0.38	0.00	0.38	90.0	0.34
05/15/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
05/15/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
05/12/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.62	3.69	0.00	3.69	90.0	3.32
05/15/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
05/15/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
05/15/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
05/15/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
05/15/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	36.26	0.36	0.00	0.36	90.0	0.32
05/15/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	36.25	0.36	0.00	0.36	90.0	0.32
05/15/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
05/15/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
05/15/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	12.88	0.13	0.00	0.13	90.0	0.12
05/15/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	12.88	0.13	0.00	0.13	90.0	0.12
05/15/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
05/15/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
05/15/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
05/15/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
05/12/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	369.22	7.38	0.00	7.38	90.0	6.64
05/15/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
05/15/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	45.96	0.46	0.00	0.46	90.0	0.41
05/15/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	45.96	0.46	0.00	0.46	90.0	0.41
05/15/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	17.18	0.17	0.00	0.17	90.0	0.15
05/15/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	17.18	0.17	0.00	0.17	90.0	0.15
05/15/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
05/15/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
05/15/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
05/15/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
05/15/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.20	0.30	0.00	0.30	90.0	0.27
05/15/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.21	0.30	0.00	0.30	90.0	0.27
* MUTUAL FUNDS SUBTOTAL *			\$11,175.32	\$139.32	\$0.00	\$139.32		\$125.40
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/08/2017	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
05/08/2017	GUIER, CLINT	PAC LIFE VUL	0.00	9.34	0.00	9.34	90.0	8.41
05/08/2017	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
05/08/2017	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
05/08/2017	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/10/2017	HARLAN, BARBARA	PAC LIFE VUL	0.00	15.18	0.00	15.18	90.0	13.66
05/11/2017	DANIELS, BEVERLY	PAC LIFE VUL	0.00	12.98	0.00	12.98	90.0	11.68
05/12/2017	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
05/12/2017	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
05/12/2017	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
05/15/2017	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
05/15/2017	MURRAY, JEFFREY	PAC LIFE VUL	584.57	584.57	0.00	584.57	90.0	526.11
05/17/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
05/18/2017	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/18/2017	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$4,351.24	\$696.90	\$0.00	\$696.90		\$627.21
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/15/2017	DOWNS, JAMES	GUARDIAN LIFE INS TRAILS	0.00	51.72	0.00	51.72	90.0	46.55
05/17/2017	CALHOUN, ALBERT	ING ESII	0.00	5.78	0.00	5.78	90.0	5.20
05/08/2017	THOMPSON, TERRY	PAC LIFE PACIFIC CHOICE	0.00	0.63	0.00	0.63	90.0	0.57

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON KAUFMAN/KEMBLE #349								
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$58.13	\$0.00	\$58.13		\$52.32
		*** BROKER TRANSACTIONS TOTAL ***	\$15,526.56	\$894.35	\$0.00	\$894.35		\$804.93

<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON KAUFMAN/KEMBLE #349								
05/15/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	46.16	0.25	0.00	0.25	90.0	0.23
05/15/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	46.16	0.25	0.00	0.25	90.0	0.23
05/15/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.24	0.12	0.00	0.12	90.0	0.11
05/15/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.24	0.12	0.00	0.12	90.0	0.11
05/15/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.80	0.14	0.00	0.14	90.0	0.13
05/15/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.60	0.10	0.00	0.10	90.0	0.09
05/15/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/15/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.43	0.04	0.00	0.04	90.0	0.04
05/15/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.90	0.26	0.00	0.26	90.0	0.23
05/15/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.90	0.26	0.00	0.26	90.0	0.23
05/15/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
05/15/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
05/15/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.56	0.23	0.00	0.23	90.0	0.21
05/15/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.56	0.23	0.00	0.23	90.0	0.21
05/15/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	12.05	0.07	0.00	0.07	90.0	0.06
05/15/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	12.05	0.07	0.00	0.07	90.0	0.06
05/15/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.14	0.00	0.14	90.0	0.13
05/15/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.14	0.00	0.14	90.0	0.13
05/15/2017	ZELLMER, RIANNON	AM FUNDS ICA A	8.91	0.05	0.00	0.05	90.0	0.05
05/15/2017	ZELLMER, RIANNON	AM FUNDS ICA A	8.91	0.05	0.00	0.05	90.0	0.05
05/15/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.25	0.12	0.00	0.12	90.0	0.11
05/15/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.25	0.12	0.00	0.12	90.0	0.11
05/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
05/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
05/15/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.80	0.14	0.00	0.14	90.0	0.13
05/15/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.60	0.10	0.00	0.10	90.0	0.09
05/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/15/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
05/15/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.14	0.00	0.14	90.0	0.13
05/15/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.14	0.00	0.14	90.0	0.13
05/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$747.83	\$5.22	\$0.00	\$5.22		\$4.74
*** SPLIT TRANSACTIONS TOTAL ***			\$747.83	\$5.22	\$0.00	\$5.22		\$4.74

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JASON KEMBLE #348</u>								
05/09/2017	ENDERS, JAMES	AM FUNDS AMERICAN BALANCED A	15,878.32	793.92	0.00	793.92	90.0	317.57
05/11/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	7,000.00	350.00	0.00	350.00	90.0	140.00
05/11/2017	MO/TOD	AM FUNDS BOND FUND OF AMERICA A	6,000.00	180.00	0.00	180.00	90.0	72.00
05/11/2017	MO/TOD	AM FUNDS GLOBAL BALANCED A	7,000.00	350.00	0.00	350.00	90.0	140.00
05/10/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
05/10/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
05/08/2017	THOMPSON, TERRY	PAC LIFE PACIFIC CHOICE	0.00	0.63	0.00	0.63	90.0	0.25
05/09/2017	QUINN, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	2,100.00	0.00	2,100.00	90.0	840.00
* JASON KEMBLE SUBTOTAL *			\$36,078.32	\$3,784.55	\$0.00	\$3,784.55		\$1,513.82
*** OVERRIDE TRANSACTIONS TOTAL ***			\$36,078.32	\$3,784.55	\$0.00	\$3,784.55		\$1,513.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$804.93
Split Transactions	\$4.74
Override Transactions	\$1,513.82
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,323.49
Year-to-date Gross	\$67,567.48
Year-to-date Earnings	\$68,426.37