

## COMMISSION STATEMENT

April 03, 2017

BARRY POMP

21 HANCOCK COURT

EAST BRUNSWICK, NJ 08816

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for BARRY POMP

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
02/24/2017	75500367	HALSTEAD, MARK		KINDER MORGAN INC	B	21,227.00	125.00	10.00	115.00	50.0	57.50
02/24/2017	75500367	HALSTEAD, MARK		PHILLIPS 66 COM	B	38,908.80	125.00	10.00	115.00	50.0	57.50
03/21/2017	75500367	HALSTEAD, MARK		OPKO HEALTH INC	B	23,160.00	125.00	10.00	115.00	50.0	57.50
03/07/2017	75500757	FODIMAN, SANFORD		AK STEEL HOLDING CORP	B	19,875.00	75.00	10.00	65.00	50.0	32.50
				* STOCKS SUBTOTAL *		\$103,170.80	\$450.00	\$40.00	\$410.00		\$205.00
PRODUCT CATEGORY: BONDS											
03/20/2017	75500352	POMP, PHILIP		SOCIETE GENERALE LNKD TO THE X DD M B		8,910.00	0.00	10.00	-10.00	50.0	-5.00
03/23/2017	75500356	LITTIG, LAWRENCE		CARRINGTON MTG LN TR SER 2005-NC3 C B		40,899.36	0.00	10.00	-10.00	50.0	-5.00
03/23/2017	75500356	LITTIG, LAWRENCE		CARRINGTON MTG LN TR SER 2005-NC3 C S		-40,899.40	0.00	-10.00	10.00	50.0	5.00 C
03/23/2017	75500356	LITTIG, LAWRENCE		CARRINGTON MTG LN TR SER 2005-NC3 C B		40,899.36	0.00	10.00	-10.00	50.0	-5.00
03/23/2017	75500356	LITTIG, LAWRENCE		MORGAN STANLEY ABS CAPITAL I INC TR B		25,081.92	0.00	10.00	-10.00	50.0	-5.00
01/18/2017	75501230	LITTIG, LAWRENCE		SOUNDVIEW HOME LOAN TRUST MTGPC/SER S		-20,441.00	0.00	-10.00	10.00	50.0	5.00 C
01/18/2017	75501230	LITTIG, LAWRENCE		SOUNDVIEW HOME LOAN TRUST MTGPC/SER B		20,440.96	0.00	10.00	-10.00	50.0	-5.00
03/09/2017	75501230	LITTIG, LAWRENCE		NEW CENTY HOME EQUITY LN TR SERIES B		2,828.19	0.00	10.00	-10.00	50.0	-5.00
				* BONDS SUBTOTAL *		\$77,719.39	\$0.00	\$40.00	\$-40.00		\$-20.00
				*** BROKER TRANSACTIONS TOTAL ***		\$180,890.19	\$450.00	\$80.00	\$370.00		\$185.00

**ADJUSTMENTS**

04/03/2017	OTHER: BLOOMBERG	0.00	0.00	0.00	0.00	-1,905.00
04/03/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-1,925.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$185.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,925.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5,451.00
FINRA Assessment	\$-0.56
SIPC Assessment	\$-8.44

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-7,200.00</b>
<b>Year-to-date Earnings</b>	<b>\$-7,200.00</b>