



Concorde Investment Services, LLC

04/03/2018

Page No. 1

**COMMISSION STATEMENT****March 31, 2018**

MARK-H122 HUBER  
6226 Bankers Road  
Suite 4  
Racine, WI 53403

BROKER #: H122  
BRANCH: TADA WEALTH ADVISORS

| DATE   | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>            |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: 529 PLANS</u></b>    |                      |                                     |                      |                     |                    |                   |      |                      |
| 03/12/2018                                   | RACZKA, PHILLIP      | MFS AGGRESS GROWTH ALLOCATION 529A  | 500.00               | 25.00               | 0.00               | 25.00             | 0.0  | 0.00                 |
| 03/20/2018                                   | SWIDER, JULIA        | MFS LIMITED MATURITY FUND 529B      | 50.00                | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |
| 03/12/2018                                   | RACZKA, PHILLIP      | MFS RESEARCH INTERNATIONAL FUND 529 | 500.00               | 25.00               | 0.00               | 25.00             | 0.0  | 0.00                 |
| 03/15/2018                                   | CHOWANIEC, WOJCIECH  | PUTNAM 529 AGE-BASED 2014-A         | 200.00               | 10.00               | 0.00               | 10.00             | 0.0  | 0.00                 |
| <b>* 529 PLANS SUBTOTAL *</b>                |                      |                                     | <b>\$1,250.00</b>    | <b>\$62.00</b>      | <b>\$0.00</b>      | <b>\$62.00</b>    |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS BLENDED RESEARCH CORE C         | 71.48                | 0.71                | 0.00               | 0.71              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS BLENDED RESEARCH CORE C         | 238.26               | 2.38                | 0.00               | 2.38              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS BLENDED RESEARCH CORE C         | 43.88                | 0.44                | 0.00               | 0.44              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS BLENDED RESEARCH CORE C         | 87.75                | 0.88                | 0.00               | 0.88              | 0.0  | 0.00                 |
| 03/12/2018                                   | DABROWSKI, PAUL      | MFS BLENDED RESEARCH CORE FD B      | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 0.00                 |
| 03/12/2018                                   | GUTT, MARTIN         | MFS BLENDED RESEARCH CORE FD B      | 100.00               | 4.00                | 0.00               | 4.00              | 0.0  | 0.00                 |
| 03/16/2018                                   | SIENKIEWICZ, TOMASZ  | MFS BLENDED RESEARCH CORE FD B      | 25.00                | 1.00                | 0.00               | 1.00              | 0.0  | 0.00                 |
| 03/12/2018                                   | DABROWSKI, PAUL      | MFS BOND FUND B                     | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 0.00                 |
| 03/20/2018                                   | LOWISZ, WLADYSLAWA   | MFS CONSERVATIVE ALLOCATION B       | 108.00               | 4.32                | 0.00               | 4.32              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS DIVERSIFIED INCOME FUND C       | 43.86                | 0.44                | 0.00               | 0.44              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS DIVERSIFIED INCOME FUND C       | 87.75                | 0.88                | 0.00               | 0.88              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS DIVERSIFIED INCOME FUND C       | 71.48                | 0.71                | 0.00               | 0.71              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS DIVERSIFIED INCOME FUND C       | 238.26               | 2.38                | 0.00               | 2.38              | 0.0  | 0.00                 |
| 03/09/2018                                   | DABROWSKI, AGNIESZKA | MFS DIVERSIFIED INCOME FUND C       | 135.00               | 1.35                | 0.00               | 1.35              | 0.0  | 0.00                 |
| 03/20/2018                                   | LOWISZ, WLADYSLAWA   | MFS EMERGING MARKETS DEBT FUND B    | 108.00               | 4.32                | 0.00               | 4.32              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS EMERGING MKTS DEBT FUND C       | 53.61                | 0.54                | 0.00               | 0.54              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS EMERGING MKTS DEBT FUND C       | 178.70               | 1.79                | 0.00               | 1.79              | 0.0  | 0.00                 |
| 03/20/2018                                   | TYLMAN, PETER        | MFS EMERGING MKTS DEBT FUND C       | 50.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 03/20/2018                                   | TYLMAN, JOANNA       | MFS EMERGING MKTS DEBT FUND C       | 50.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 03/07/2018                                   | SZAJEWSKI, REGINA    | MFS GLOBAL TOTAL RETURN FUND-B      | 50.09                | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE   | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>            |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| 03/07/2018                                   | SZAJEWSKI, REGINA    | MFS GLOBAL TOTAL RETURN FUND-B      | 333.91               | 13.36               | 0.00               | 13.36             | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA        | MFS GROWTH ALLOCATION B             | 31.24                | 1.25                | 0.00               | 1.25              | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA        | MFS GROWTH ALLOCATION B             | 52.08                | 2.08                | 0.00               | 2.08              | 0.0  | 0.00                 |
| 03/07/2018                                   | SZAJEWSKI, REGINA    | MFS GROWTH ALLOCATION B             | 50.09                | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |
| 03/07/2018                                   | SZAJEWSKI, REGINA    | MFS GROWTH ALLOCATION B             | 333.91               | 13.36               | 0.00               | 13.36             | 0.0  | 0.00                 |
| 03/12/2018                                   | DABROWSKI, PAUL      | MFS GROWTH ALLOCATION B             | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 0.00                 |
| 03/13/2018                                   | BUGAJ, ANNA          | MFS GROWTH ALLOCATION C             | 1,000.00             | 10.00               | 0.00               | 10.00             | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA        | MFS INTERNATIOANL DEVERSIFICATION F | 31.25                | 1.25                | 0.00               | 1.25              | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA        | MFS INTERNATIOANL DEVERSIFICATION F | 52.08                | 2.08                | 0.00               | 2.08              | 0.0  | 0.00                 |
| 03/13/2018                                   | BUGAJ, ANNA          | MFS INTERNATIONAL DIVERSIFICATION C | 1,000.00             | 10.00               | 0.00               | 10.00             | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS INTERNATIONAL NEW DISCOVERY C   | 35.10                | 0.35                | 0.00               | 0.35              | 0.0  | 0.00                 |
| 03/20/2018                                   | LOWISZ, WLADYSLAWA   | MFS MODERATE ALLOCATION B           | 108.00               | 4.32                | 0.00               | 4.32              | 0.0  | 0.00                 |
| 03/09/2018                                   | DABROWSKI, AGNIESZKA | MFS MODERATE ALLOCATION C           | 135.00               | 1.35                | 0.00               | 1.35              | 0.0  | 0.00                 |
| 03/15/2018                                   | BECKER, KARINA       | MFS NEW DISCOVERY C                 | 820.00               | 8.20                | 0.00               | 8.20              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS RESEARCH BOND FUND C            | 71.48                | 0.71                | 0.00               | 0.71              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS RESEARCH BOND FUND C            | 238.26               | 2.38                | 0.00               | 2.38              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS RESEARCH BOND FUND C            | 8.78                 | 0.09                | 0.00               | 0.09              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS RESEARCH BOND FUND C            | 87.75                | 0.88                | 0.00               | 0.88              | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA        | MFS UTILITIES B                     | 31.25                | 1.25                | 0.00               | 1.25              | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA        | MFS UTILITIES B                     | 52.08                | 2.08                | 0.00               | 2.08              | 0.0  | 0.00                 |
| 03/12/2018                                   | DABROWSKI, PAUL      | MFS UTILITIES B                     | 114.50               | 4.58                | 0.00               | 4.58              | 0.0  | 0.00                 |
| 03/12/2018                                   | GUTT, MARTIN         | MFS UTILITIES B                     | 100.00               | 4.00                | 0.00               | 4.00              | 0.0  | 0.00                 |
| 03/16/2018                                   | GRESZTA, LIDIA       | MFS UTILITIES B                     | 300.00               | 12.00               | 0.00               | 12.00             | 0.0  | 0.00                 |
| 03/16/2018                                   | SIENKIEWICZ, TOMASZ  | MFS UTILITIES B                     | 25.00                | 1.00                | 0.00               | 1.00              | 0.0  | 0.00                 |
| 03/16/2018                                   | GRESZTA, LIDIA       | MFS UTILITIES B                     | -300.00              | -12.00              | 0.00               | -12.00            | 0.0  | 0.00                 |
| 03/20/2018                                   | LOWISZ, WLADYSLAWA   | MFS UTILITIES B                     | 108.00               | 4.32                | 0.00               | 4.32              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS UTILITIES C                     | 89.35                | 0.89                | 0.00               | 0.89              | 0.0  | 0.00                 |
| 03/07/2018                                   | WAL, SEBASTIAN       | MFS UTILITIES C                     | 297.82               | 2.98                | 0.00               | 2.98              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS UTILITIES C                     | 43.88                | 0.44                | 0.00               | 0.44              | 0.0  | 0.00                 |
| 03/07/2018                                   | THOMAS, SYLVIA       | MFS UTILITIES C                     | 87.75                | 0.88                | 0.00               | 0.88              | 0.0  | 0.00                 |
| 03/09/2018                                   | DABROWSKI, AGNIESZKA | MFS UTILITIES C                     | 270.00               | 2.70                | 0.00               | 2.70              | 0.0  | 0.00                 |
| 03/13/2018                                   | BUGAJ, ANNA          | MFS UTILITIES C                     | 3,000.00             | 30.00               | 0.00               | 30.00             | 0.0  | 0.00                 |
| 03/15/2018                                   | BECKER, KARINA       | MFS UTILITIES C                     | 2,180.00             | 21.80               | 0.00               | 21.80             | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE   | CLIENT             | INVESTMENT      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>            |                    |                 |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                    |                 |                      |                     |                    |                   |      |                      |
| 03/20/2018                                   | TYLMAN, JOANNA     | MFS UTILITIES C | 50.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 03/20/2018                                   | TYLMAN, PETER      | MFS UTILITIES C | 50.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA      | MFS VALUE B     | 31.25                | 1.25                | 0.00               | 1.25              | 0.0  | 0.00                 |
| 03/07/2018                                   | WIKAR, SYLVIA      | MFS VALUE B     | 52.08                | 2.08                | 0.00               | 2.08              | 0.0  | 0.00                 |
| 03/07/2018                                   | SZAJEWSKI, REGINA  | MFS VALUE B     | 51.60                | 2.06                | 0.00               | 2.06              | 0.0  | 0.00                 |
| 03/07/2018                                   | SZAJEWSKI, REGINA  | MFS VALUE B     | 344.03               | 13.76               | 0.00               | 13.76             | 0.0  | 0.00                 |
| 03/20/2018                                   | LOWISZ, WLADYSLAWA | MFS VALUE B     | 108.00               | 4.32                | 0.00               | 4.32              | 0.0  | 0.00                 |
| 03/20/2018                                   | TYLMAN, PETER      | MFS VALUE C     | 50.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 03/20/2018                                   | TYLMAN, JOANNA     | MFS VALUE C     | 50.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                    |                    |                 | <b>\$13,660.14</b>   | <b>\$218.93</b>     | <b>\$0.00</b>      | <b>\$218.93</b>   |      | <b>\$0.00</b>        |
| *** DIRECT TRANSACTIONS TOTAL ***            |                    |                 | <b>\$14,910.14</b>   | <b>\$280.93</b>     | <b>\$0.00</b>      | <b>\$280.93</b>   |      | <b>\$0.00</b>        |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>     |
| <b>Year-to-date Gross</b>    | <b>\$5,795.00</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>     |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed