

All Companies
STATEMENT
July 20, 2018

VIC - TERMINATED SUTTON

BROKER #: 098
BRANCH:

COMMISSION STATEMENT for VIC - TERMINATED SUTTON

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------------------------|--------------|---------------------------------------|-----|----------------------|---------------------|--------------------|---------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | | |
| 06/18/2018 #00550337579 | MASTER, JOYC | PUTNAM GLOBAL INCOME TR CL A | B | 50.00 | 1.75 | 0.00 | 0.00 | 1.75 | 0.0 | 0.00 |
| 06/18/2018 #00550337579 | MASTER, JOYC | PUTNAM U S GOVERNMENT INCOME TRUST- B | | 50.00 | 1.75 | 0.00 | 0.00 | 1.75 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$100.00 | \$3.50 | \$0.00 | \$0.00 | \$3.50 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | | |
| 06/01/2018 #00061887010 | VINEY, LINDA | AMERICAN FDS PORTFOLIO SER GROWTH F B | | 0.00 | 8.97 | 0.00 | 0.00 | 8.97 | 0.0 | 0.00 |
| 06/01/2018 #00063250242 | GWINN, JASON | CAPITAL WORLD BOND FUND-SBI CLASS A B | | 0.00 | 2.44 | 0.00 | 0.00 | 2.44 | 0.0 | 0.00 |
| 06/01/2018 #00063250242 | GWINN, JASON | INTERMEDIATE BOND FUND OF AMERICA-A B | | 0.00 | 1.48 | 0.00 | 0.00 | 1.48 | 0.0 | 0.00 |
| 06/01/2018 #00063250242 | GWINN, JASON | NEW PERSPECTIVE FUND INC CL A | B | 0.00 | 2.70 | 0.00 | 0.00 | 2.70 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$15.59 | \$0.00 | \$0.00 | \$15.59 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$100.00 | \$19.09 | \$0.00 | \$0.00 | \$19.09 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------|---------------|
| Check Amount | \$0.00 |
|---------------------|---------------|