

## COMMISSION STATEMENT

April 30, 2017

CLOSED Z-ACCOUNT

BROKER #:

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/29/2017	75599821 RF LAFFERTY & CO	CIVEO CORP CDA COM	S	8,790.00	0.00	0.00	0.00	80.0	0.00
03/29/2017	75599821 RF LAFFERTY & CO	CIVEO CORP CDA COM	B	8,789.70	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	AMERICAN SUPERCONDUCTOR CORPORATION	S	6,870.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	AMERICAN SUPERCONDUCTOR CORPORATION	B	6,870.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	BROOKFIELD BUSINESS PARTNERS L P LI B		741.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	BROOKFIELD BUSINESS PARTNERS L P LI S		741.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	CRIMSON WINE GROUP LTD	B	372.80	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	CRIMSON WINE GROUP LTD	S	372.80	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	S	15,520.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	B	4,811.20	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	IDEXX LABORATORIES CORP	B	10,708.80	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	S	2,488.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	12.43	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	12.43	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	12.43	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	12.44	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	12.44	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	185.08	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	247.68	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	24.86	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	24.86	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	24.86	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	335.61	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	37.29	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	410.19	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	44.78	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	49.72	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	62.15	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	62.20	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	MAST THERAPEUTICS INC COM	B	916.32	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO S		9,572.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/31/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		941.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		8,631.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	SAN JUAN BASIN ROYALTY TR-UBI	S	14,152.80	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	SAN JUAN BASIN ROYALTY TR-UBI	B	12,052.83	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599821 RF LAFFERTY & CO	SAN JUAN BASIN ROYALTY TR-UBI	B	2,100.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	B	19,245.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,924.50	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,924.50	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,924.50	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,925.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,925.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,925.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	38.50	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	5,772.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	ALLY FINL INC COM	S	1,886.01	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	S	23,490.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	B	23,490.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	NOKIA 654902204	B	26,125.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	NOKIA 654902204	S	522.50	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	NOKIA 654902204	S	8,360.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599821 RF LAFFERTY & CO	NOKIA 654902204	S	17,242.50	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	5,258.25	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	5,258.25	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	5,258.25	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	5,258.25	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	212.50	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	212.50	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	212.50	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	212.50	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	267.34	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	850.00	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	1,073.13	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	12.60	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	17,980.20	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	MIDDLEBY CORP	B	10,709.60	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599821 RF LAFFERTY & CO	MIDDLEBY CORP	S	10,709.60	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	INMED PHARMACEUTICALS INC COM	S	5,730.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	INMED PHARMACEUTICALS INC COM	S	3,294.75	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	INMED PHARMACEUTICALS INC COM	S	5,720.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	INMED PHARMACEUTICALS INC COM	S	3,289.00	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/07/2017	75599821 RF LAFFERTY & CO	INMED PHARMACEUTICALS INC COM	B	9,009.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	INMED PHARMACEUTICALS INC COM	B	9,025.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	MARINA BIOTECH INC	B	4,500.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	MARINA BIOTECH INC	S	2,250.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599821 RF LAFFERTY & CO	MARINA BIOTECH INC	S	2,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	CALUMET SPECIALTY PRODS PARTNERS L	S	5,542.50	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	CALUMET SPECIALTY PRODS PARTNERS L	B	5,542.50	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	CENTURYTEL INC	B	497,300.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	CENTURYTEL INC	S	373,050.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	CENTURYTEL INC	S	124,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	GENUINE PARTS CO	S	9,029.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	GENUINE PARTS CO	B	9,029.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	S	28,200.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	2,820.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	2,820.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	2,820.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	2,820.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	5,640.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	9,475.20	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S	B	1,804.80	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	S	4,600.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	690.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	920.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	920.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	1,380.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	230.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	230.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	TAG OIL LTD	B	230.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	B	942,185.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	141,335.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	141,270.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	141,330.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	141,450.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	141,270.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	141,270.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599821 RF LAFFERTY & CO	YAHOO INC	S	94,260.00	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599821 RF LAFFERTY & CO	PETROFRONTIER CORP	S	546.65	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599821 RF LAFFERTY & CO	PETROFRONTIER CORP	B	546.65	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	B	17,875.00	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	CANTEL MEDICAL CORPORATION	S	17,875.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/17/2017	75599821 RF LAFFERTY & CO	CHARLES SCHWAB CORP NEW	B	37,690.00	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	CHARLES SCHWAB CORP NEW	S	37,690.10	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	NEW AGE METALS INC COM	S	624.98	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	NEW AGE METALS INC COM	B	24.98	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	NEW AGE METALS INC COM	B	600.00	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S B		22,820.00	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S S		4,564.00	0.00	0.00	0.00	80.0	0.00
04/17/2017	75599821 RF LAFFERTY & CO	PEABODY ENERGY CORPORATION COMMON S S		18,256.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	B	23,460.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	S	2,346.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	S	2,346.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	S	2,346.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	G-III APPAREL GROUP LTD	S	16,422.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	PETROFRONTIER CORP	S	1,964.60	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599821 RF LAFFERTY & CO	PETROFRONTIER CORP	B	1,964.60	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	8,000.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	8,000.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	8,000.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	B	8,020.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	200.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	200.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	200.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	200.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	272.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	3,000.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	4.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	400.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	4,000.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	5,000.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	5,264.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	568.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	60.00	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599821 RF LAFFERTY & CO	DOVER MOTORSPORTS INC	S	12,652.00	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	S	27,703.50	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	B	5,539.50	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	B	5,541.00	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	B	7,388.00	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	B	7,388.00	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599821 RF LAFFERTY & CO	SS&C TECHNOLOGIES HOLDINGS INC	B	1,847.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	240,286.22	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	139,698.02	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	60,212.24	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	862,948.30	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	52,615.37	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	130,694.32	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	B	15,053.06	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	94,932.07	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,065.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,065.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,065.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,065.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,130.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	56,260.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,136.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	112,544.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,142.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	4,221.30	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	52,062.70	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	56,284.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,136.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	112,544.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,073.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,146.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	42,219.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	56,292.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	19,970.88	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	8,157.12	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	112,512.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	70,315.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,068.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	56,272.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	84,396.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,069.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	42,207.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,071.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,142.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,142.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	14,069.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,138.00	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	28,138.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	139,332.60	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	422.21	0.00	0.00	0.00	80.0	0.00
04/24/2017	75599821 RF LAFFERTY & CO	ISHARES RUSSELL 3000 ETF	S	703.53	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		14,393.33	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		7,291.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		28,024.10	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		4,789.83	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		67,224.48	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		30,478.59	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		11,605.22	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		22,876.82	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		10,342.23	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		21,756.81	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		19,612.11	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		21,971.28	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		9,341.37	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		5,528.56	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		9,698.82	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		284,935.54	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		14,275.30	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		7,243.73	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		27,762.57	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		4,758.43	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		66,799.89	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		30,187.25	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		11,517.22	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		22,670.74	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		10,244.27	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		21,549.33	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		19,488.35	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		21,852.42	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		9,244.09	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		5,485.84	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		9,638.10	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		282,717.52	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		5,379.82	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		2,720.13	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		10,427.18	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		1,843.65	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		25,599.48	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		11,394.34	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		4,321.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		8,523.09	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		3,868.64	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		8,130.18	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		7,465.26	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		8,371.97	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		3,475.73	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		2,055.21	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		3,747.74	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		107,324.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		5,067.27	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		2,533.63	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		9,807.61	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		1,757.20	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		24,396.44	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		10,706.64	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		4,004.78	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		8,050.42	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		3,636.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		7,641.76	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		7,110.52	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		7,927.82	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		3,269.20	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		1,961.52	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		3,555.26	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		101,427.06	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		5,180.32	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		2,623.37	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		10,227.81	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		1,892.81	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		26,300.08	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		10,991.57	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		4,084.48	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		8,235.38	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		3,752.41	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		7,836.89	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		7,670.86	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		8,567.45	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		3,387.13	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		1,992.43	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		3,818.83	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		106,561.84	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		110,522.28	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		5,566.11	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		2,833.05	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		10,832.25	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		1,899.81	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		26,197.38	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		11,765.49	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		4,399.56	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		8,865.78	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		3,999.60	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		8,399.16	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		7,632.57	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		8,532.48	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		3,599.64	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		2,166.45	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		3,832.95	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		14,035.53	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		7,124.53	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		27,508.08	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		4,794.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		67,129.81	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		29,701.74	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		11,356.55	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		22,286.01	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		10,075.30	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		21,218.30	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		19,587.62	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		21,955.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		9,104.65	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		5,396.79	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		9,687.04	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		280,962.93	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		101,170.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		5,022.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		2,545.60	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		9,838.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		1,754.40	0.00	0.00	0.00	80.0	0.00



COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		24,286.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		10,664.00	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		4,024.80	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		8,015.20	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		3,646.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		7,602.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		7,086.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		7,946.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		3,268.00	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		1,926.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		3,543.20	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		5,486.79	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		2,759.82	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		10,645.03	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		1,872.74	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		26,349.74	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		11,597.83	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		4,369.72	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		8,706.59	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		3,909.75	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		8,279.47	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		7,688.08	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		8,608.02	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		3,581.20	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		2,102.72	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		3,844.04	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		109,801.54	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		5,965.82	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		3,017.60	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		11,688.85	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		2,011.73	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		27,713.32	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		12,660.03	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		4,717.16	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		9,469.01	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		4,266.26	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		9,052.79	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		8,081.61	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		9,052.79	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		3,884.72	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		2,289.21	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		4,058.15	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		117,929.00	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		5,607.52	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		2,857.34	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		10,929.31	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		1,892.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		26,430.36	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		11,857.94	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		4,393.15	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		8,893.46	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		4,035.99	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		8,464.86	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		7,714.81	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		8,643.44	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		3,607.39	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		2,143.00	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		3,857.40	0.00	0.00	0.00	80.0	0.00
04/03/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		111,328.95	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		45,788.76	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		45,788.77	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		46,291.01	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		46,291.01	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		47,623.74	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		47,623.74	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		46,275.62	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		46,275.62	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		46,498.55	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		46,498.55	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		47,818.68	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		47,818.68	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		45,008.76	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		45,008.76	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		45,606.14	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		45,606.14	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$10,840,608.94	\$0.00	\$0.00	\$0.00		\$0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
04/05/2017	75599820 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 B		6,894.70	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599820 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 S		-6,894.70	0.00	0.00	0.00	80.0	0.00 C
04/05/2017	75599820 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 S		6,894.70	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599820 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 B		6,894.70	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599820 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI B		25,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599820 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI S		-25,250.00	0.00	0.00	0.00	80.0	0.00 C
04/10/2017	75599820 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI S		25,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599820 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI B		-25,250.00	0.00	0.00	0.00	80.0	0.00 C
03/24/2017	75599826 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC -N STEP 20 B		-30,000.00	0.00	0.00	0.00	80.0	0.00 C
03/24/2017	75599826 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC -N STEP 20 S		30,000.00	0.00	0.00	0.00	80.0	0.00
03/29/2017	75599826 RF LAFFERTY & CO	***CREDIT SUISSE LONDON SR NT B		7,825.00	0.00	0.00	0.00	80.0	0.00
03/29/2017	75599826 RF LAFFERTY & CO	***CREDIT SUISSE LONDON SR NT S		8,200.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	BEAR STEARNS ASSET BK SEC TR SERIES S		23,446.85	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	BEAR STEARNS ASSET BK SEC TR SERIES B		23,313.25	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	SOCIETE GENERALE LNKD TO THE X DD M S		15,680.00	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		14,612.49	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		14,748.80	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		-14,612.50	0.00	0.00	0.00	80.0	0.00 C
03/31/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		-14,748.80	0.00	0.00	0.00	80.0	0.00 C
03/31/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		14,508.57	0.00	0.00	0.00	80.0	0.00
03/31/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		14,643.91	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599826 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 B		6,825.00	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599826 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 S		6,894.70	0.00	0.00	0.00	80.0	0.00
04/05/2017	75599826 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 B		-6,894.70	0.00	0.00	0.00	80.0	0.00 C
04/05/2017	75599826 RF LAFFERTY & CO	EXCO RES INC SR NT 7.5 DUE 09/15/20 S		6,894.70	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES B		30,302.42	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES S		30,443.36	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES S		-30,302.40	0.00	0.00	0.00	80.0	0.00 C
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES B		-30,443.40	0.00	0.00	0.00	80.0	0.00 C
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES B		30,233.80	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES S		30,374.42	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES S		-30,233.80	0.00	0.00	0.00	80.0	0.00 C
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES B		-30,374.40	0.00	0.00	0.00	80.0	0.00 C
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES B		30,233.77	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	BANC AMER ALTERNATIVE LN TR SERIES S		30,374.39	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	STRUCTURED ASSET INVESTMENT LOAN TR S		176,610.52	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	STRUCTURED ASSET INVESTMENT LOAN TR B		117,590.93	0.00	0.00	0.00	80.0	0.00
04/06/2017	75599826 RF LAFFERTY & CO	STRUCTURED ASSET INVESTMENT LOAN TR B		58,795.46	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S		38,378.38	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B		38,234.91	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B		-38,378.40	0.00	0.00	0.00	80.0	0.00 C
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S		-38,234.90	0.00	0.00	0.00	80.0	0.00 C
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S		36,864.58	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B		36,726.77	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B		-36,864.60	0.00	0.00	0.00	80.0	0.00 C
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S		-36,726.80	0.00	0.00	0.00	80.0	0.00 C
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE S		36,864.58	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	CREDIT SUISSE FIRST BOSTON MORTGAGE B		36,726.77	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES B		13,343.17	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES S		13,459.00	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES S		-13,343.20	0.00	0.00	0.00	80.0	0.00 C
04/07/2017	75599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES B		-13,459.00	0.00	0.00	0.00	80.0	0.00 C
04/07/2017	75599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES B		13,295.17	0.00	0.00	0.00	80.0	0.00
04/07/2017	75599826 RF LAFFERTY & CO	RESIDENTIAL ASSET MTG PRODS SERIES S		13,410.58	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 B		45,050.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S		46,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI S		25,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI B		25,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI S		25,250.00	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE & CO PERP 10PFD-V FI B		-25,250.00	0.00	0.00	0.00	80.0	0.00 C
04/10/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		28,992.51	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		29,127.74	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		-28,992.50	0.00	0.00	0.00	80.0	0.00 C
04/10/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		-29,127.70	0.00	0.00	0.00	80.0	0.00 C
04/10/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		28,786.33	0.00	0.00	0.00	80.0	0.00
04/10/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		28,920.60	0.00	0.00	0.00	80.0	0.00
04/11/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 B		44,875.00	0.00	0.00	0.00	80.0	0.00
04/11/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S		17,950.00	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	FEDL HOME LOAN MTG CORP#S50017 SER S		66,750.13	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	FEDL HOME LOAN MTG CORP#S50017 SER B		66,607.96	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,573.87	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S		25,715.95	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S		-25,573.90	0.00	0.00	0.00	80.0	0.00 C
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B		-25,716.00	0.00	0.00	0.00	80.0	0.00 C
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,502.24	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S		25,643.92	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S		-25,502.20	0.00	0.00	0.00	80.0	0.00 C
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B		-25,643.90	0.00	0.00	0.00	80.0	0.00 C
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,502.24	0.00	0.00	0.00	80.0	0.00
04/13/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S		25,643.92	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
04/18/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S		9,100.00	0.00	0.00	0.00	80.0	0.00
04/18/2017	75599826 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S		18,225.00	0.00	0.00	0.00	80.0	0.00
04/25/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY ABS CAPITAL I INC TR B		24,369.97	0.00	0.00	0.00	80.0	0.00
04/25/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY ABS CAPITAL I INC TR S		24,645.34	0.00	0.00	0.00	80.0	0.00
04/25/2017	75599826 RF LAFFERTY & CO	SOCIETE GENERALE 13% CONTINGENT INC B		24,375.00	0.00	0.00	0.00	80.0	0.00
04/25/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE B		69,604.48	0.00	0.00	0.00	80.0	0.00
04/25/2017	75599826 RF LAFFERTY & CO	STRUCTURED ADJUSTABLE RATE MORTGAGE S		69,754.49	0.00	0.00	0.00	80.0	0.00
04/26/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT B		14,892.45	0.00	0.00	0.00	80.0	0.00
04/26/2017	75599826 RF LAFFERTY & CO	WELLS FARGO MORTGAGE BACKED SECURIT S		15,001.95	0.00	0.00	0.00	80.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$1,365,833.64</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
04/21/2017	75599822 RF LAFFERTY & CO	PUT APC 01/19/18 75 B		16,490.00	0.00	0.00	0.00	80.0	0.00
04/21/2017	75599822 RF LAFFERTY & CO	PUT APC 01/19/18 75 S		16,500.00	0.00	0.00	0.00	80.0	0.00
<b>* OPTIONS SUBTOTAL *</b>				<b>\$32,990.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
04/25/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO S		92,506.25	0.00	0.00	0.00	80.0	0.00
04/25/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO B		91,912.50	0.00	0.00	0.00	80.0	0.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>				<b>\$184,418.75</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
04/19/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER S		3,501.89	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER B		3,393.34	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER S		2,000.68	0.00	0.00	0.00	80.0	0.00
04/19/2017	75599825 RF LAFFERTY & CO	UIT GUGGENHEIM DEFINED PORTFOL SER B		1,955.66	0.00	0.00	0.00	80.0	0.00
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>				<b>\$10,851.57</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/11/2017	75500400 SKELTON, NANCY	THE NEW ECONOMY FUND-A	B	0.00	2.09	0.00	2.09	80.0	1.67
04/11/2017	75502020 FEHER, FRANK	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	0.02	0.00	0.02	80.0	0.02
04/11/2017	75502020 FEHER, FRANK	MFS TOTAL RETURN FUND-C	B	0.00	0.03	0.00	0.03	80.0	0.02
04/11/2017	75502069 SCRUGGS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	0.01	0.00	0.01	80.0	0.01
04/11/2017	75502097 DAVID OATES ADMIN	COLUMBIA SELIGMAN COMMUNICATIONS AN B		0.00	0.20	0.00	0.20	80.0	0.16
04/11/2017	75502097 DAVID OATES ADMIN	PUTNAM 746802800	B	0.00	0.17	0.00	0.17	80.0	0.14
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$2.52</b>	<b>\$0.00</b>	<b>\$2.52</b>		<b>\$2.02</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$12,434,702.90</b>	<b>\$2.52</b>	<b>\$0.00</b>	<b>\$2.52</b>		<b>\$2.02</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$-0.05

<b>Check Amount</b>	<b>\$1.97</b>
<b>Year-to-date Earnings</b>	<b>\$6.49</b>