



COMMISSION STATEMENT

June 04, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/30/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 10 Units @10.1400						
05/30/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	100.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011196	SELL 9 Units @10.6900						
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/31/2018	***-**-925P - FENGLER, DANIEL	CHET 529	100.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
06/04/2018	***-**-26IN - SANTOS, FATIMA	CHET 529	200.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$300.00	\$7.50	\$0.00	\$7.50		\$5.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/31/2018	***-**-642O - DINNERSTEIN,	FIDELITY CASH MM	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011108	BUY 1 Units @0.0000						
05/31/2018	***-**-657I - BERRIEN, ALLEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	70.0	0.03
	ACCOUNT #: LSR010323	BUY 1 Units @0.0000						
05/31/2018	***-**-226O - MAYERSON, MS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
05/31/2018	***-**-889I - BAILLARGEON,	JANUS HENDERSON GLBL EQUITY INC CL	0.00	4.21	0.00	4.21	70.0	2.95
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
05/31/2018	***-**-809O - RICH, JOSEPH	JANUS HENDERSON GLBL EQUITY INC CL	0.00	3.88	0.00	3.88	70.0	2.72
	ACCOUNT #: LSR012491	BUY 1 Units @0.0000						
05/31/2018	***-**-226O - MAYERSON, MS	PACIFIC FUNDS FLOAT RATE INCOME CL	0.00	2.78	0.00	2.78	70.0	1.95
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
05/31/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS FLOAT RATE INCOME CL	0.00	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: LSR011196	BUY 1 Units @0.0000						
05/31/2018	***-**-673I - CZUBIK,	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	2.84	0.00	2.84	70.0	1.99
	ACCOUNT #: LSR010662	BUY 1 Units @0.0000						
05/31/2018	***-**-521I - SCHRYNEMAKERS,	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	4.21	0.00	4.21	70.0	2.95
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-918I - SANCHEZ, THERESA	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	2.68	0.00	2.68	70.0	1.88
	ACCOUNT #: LSR010742	BUY 1 Units @0.0000						
05/31/2018	***-**-18IN - SANCHEZ, THERESA	PACIFIC FUNDS LMT DURATION HIGH INC	0.00	3.18	0.00	3.18	70.0	2.23
	ACCOUNT #: LSR010749	BUY 1 Units @0.0000						
05/31/2018	***-**-736I - UNGER, HELEN	PACIFIC FUNDS STRATEGIC INC FD A	0.00	2.76	0.00	2.76	70.0	1.93
	ACCOUNT #: LSR011196	BUY 1 Units @0.0000						
05/31/2018	***-**-43IN - RISKALLA,	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	2.83	0.00	2.83	70.0	1.98
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
05/31/2018	***-**-57IO - SOCHA, CYNTHIA	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	1.94	0.00	1.94	70.0	1.36
	ACCOUNT #: LSR010500	BUY 1 Units @0.0000						
05/31/2018	***-**-38UT - SANTOS UTMA CT,	PL PORT OPTIMIZATION MOD AGGRESSIVE	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR011266	BUY 1 Units @0.0000						
05/31/2018	***-**-24UT - SANTOS UTMA CT,	PL PORT OPTIMIZATION MOD AGGRESSIVE	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR011267	BUY 1 Units @0.0000						
05/31/2018	***-**-68UT - SANTOS UTMA CT,	PL PORT OPTIMIZATION MOD AGGRESSIVE	0.00	0.48	0.00	0.48	70.0	0.34
	ACCOUNT #: LSR011270	BUY 1 Units @0.0000						
05/31/2018	***-**-71UT - SANTOS UTMA CT,	PL PORT OPTIMIZATION MOD AGGRESSIVE	0.00	0.48	0.00	0.48	70.0	0.34
	ACCOUNT #: LSR011271	BUY 1 Units @0.0000						
05/31/2018	***-**-223I - GAY, LISA	PL PORTFOLIO OPTIMIZATION AGGRESSIV	0.00	1.21	0.00	1.21	70.0	0.85
	ACCOUNT #: LSR011789	BUY 1 Units @0.0000						
05/31/2018	***-**-340I - PERRONE, JOSEPH	PL PORTFOLIO OPTIMIZATION AGGRESSIV	0.00	1.21	0.00	1.21	70.0	0.85
	ACCOUNT #: LSR011803	BUY 1 Units @0.0000						
05/31/2018	***-**-732I - FARGUHAR,	PL PORTFOLIO OPTIMIZATION AGGRESSIV	0.00	2.30	0.00	2.30	70.0	1.61
	ACCOUNT #: LSR011806	BUY 1 Units @0.0000						
05/31/2018	***-**-839X - D'AMICO, AMY	PL PORTFOLIO OPTIMIZATION AGGRESSIV	0.00	3.97	0.00	3.97	70.0	2.78
	ACCOUNT #: LSR012357	BUY 1 Units @0.0000						
05/31/2018	***-**-57IO - SOCHA, CYNTHIA	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	7.16	0.00	7.16	70.0	5.01
	ACCOUNT #: LSR010500	BUY 1 Units @0.0000						
05/31/2018	***-**-340J - PERRONE,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	1.22	0.00	1.22	70.0	0.85
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						
05/31/2018	***-**-223I - GAY, LISA	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: LSR011789	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/31/2018	***-**-0590 - LIBBY, AMY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	2.89	0.00	2.89	70.0	2.02
	ACCOUNT #: LSR012236	BUY 1 Units @0.0000						
05/31/2018	***-**-036I - LIBBY, GREGORY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: LSR012248	BUY 1 Units @0.0000						
05/31/2018	***-**-059I - LIBBY, AMY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	3.17	0.00	3.17	70.0	2.22
	ACCOUNT #: LSR012269	BUY 1 Units @0.0000						
05/31/2018	***-**-036I - LIBBY, GREGORY	PL PORTFOLIO OPTIMIZATION MODERATE	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR012248	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$66.35	\$0.00	\$66.35		\$46.48
*** BROKER TRANSACTIONS TOTAL ***			\$500.00	\$73.85	\$0.00	\$73.85		\$51.73

BROKER COMMISSION TOTALS

Broker Transactions	\$51.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$51.73
Year-to-date Earnings	\$18,581.84