



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/06/2017	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	20,000.00	550.00	0.00	550.00	85.0	467.50
09/06/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	10,000.00	275.00	0.00	275.00	85.0	233.75
09/06/2017	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	10,000.00	275.00	0.00	275.00	85.0	233.75
09/06/2017	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	10,000.00	275.00	0.00	275.00	85.0	233.75
09/06/2017	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	20,000.00	550.00	0.00	550.00	85.0	467.50
09/06/2017	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	15,000.00	412.50	0.00	412.50	85.0	350.63
08/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
09/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
09/06/2017	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	4,986.67	137.13	0.00	137.13	85.0	116.56
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	9.56
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.43	0.00	7.43	85.0	6.32
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.47	0.00	3.47	85.0	2.95
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.85	0.00	4.85	85.0	4.12
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.20	0.00	1.20	85.0	1.02
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.37	0.00	6.37	85.0	5.41
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.30	0.00	4.30	85.0	3.66
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.28	0.00	4.28	85.0	3.64
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.41	0.00	6.41	85.0	5.45
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.76	0.00	6.76	85.0	5.75
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.96	0.00	8.96	85.0	7.62
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.39	0.00	3.39	85.0	2.88
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.19	0.00	5.19	85.0	4.41
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.17	0.00	6.17	85.0	5.24
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.07	0.00	6.07	85.0	5.16
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.06	0.00	0.06	85.0	0.05
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.97	0.00	0.97	85.0	0.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	14.19	0.00	14.19	85.0	12.06
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.56	0.00	6.56	85.0	5.58
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	6.65	0.00	6.65	85.0	5.65
* MUTUAL FUNDS SUBTOTAL *			\$90,436.67	\$2,608.66	\$0.00	\$2,608.66		\$2,217.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	181,940.66	151.62	0.00	151.62	85.0	128.88
08/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,694.51	15.58	0.00	15.58	85.0	13.24
08/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	53,144.32	44.29	0.00	44.29	85.0	37.65
08/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	42,023.12	35.02	0.00	35.02	85.0	29.77
09/07/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
08/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	137,951.09	114.96	0.00	114.96	85.0	97.72
08/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	106,695.43	88.91	0.00	88.91	85.0	75.57
08/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	87,636.79	73.03	0.00	73.03	85.0	62.08
08/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	232,886.11	194.07	0.00	194.07	85.0	164.96
08/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	99,798.90	83.17	0.00	83.17	85.0	70.69
08/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	140,503.71	117.09	0.00	117.09	85.0	99.53
08/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	150,193.62	125.16	0.00	125.16	85.0	106.39
08/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	190,063.71	158.39	0.00	158.39	85.0	134.63
08/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	237,290.51	197.74	0.00	197.74	85.0	168.08
08/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	132,846.84	110.71	0.00	110.71	85.0	94.10
08/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	178,609.84	148.84	0.00	148.84	85.0	126.51
08/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	109,076.35	90.90	0.00	90.90	85.0	77.27
08/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	93,597.61	78.00	0.00	78.00	85.0	66.30
08/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	88,620.55	73.85	0.00	73.85	85.0	62.77
08/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	134,539.12	112.12	0.00	112.12	85.0	95.30
08/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	66,686.32	55.57	0.00	55.57	85.0	47.23
08/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,873.53	24.89	0.00	24.89	85.0	21.16
08/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	217,647.40	181.37	0.00	181.37	85.0	154.16
08/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,476.97	59.56	0.00	59.56	85.0	50.63
08/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,476.97	59.56	0.00	59.56	85.0	50.63
08/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,476.97	59.56	0.00	59.56	85.0	50.63
08/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	107,388.01	89.49	0.00	89.49	85.0	76.07
08/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	197,698.59	164.75	0.00	164.75	85.0	140.04

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	427,685.73	356.40	0.00	356.40	85.0	302.94
08/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	93,945.23	78.29	0.00	78.29	85.0	66.55
08/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	403,169.68	335.97	0.00	335.97	85.0	285.57
08/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	75,171.79	62.64	0.00	62.64	85.0	53.24
08/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	118,469.61	98.72	0.00	98.72	85.0	83.91
08/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	122,966.91	102.47	0.00	102.47	85.0	87.10
08/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,592.92	23.83	0.00	23.83	85.0	20.26
08/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	66,582.78	55.49	0.00	55.49	85.0	47.17
08/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,938.43	30.78	0.00	30.78	85.0	26.16
08/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	47,886.07	39.91	0.00	39.91	85.0	33.92
* VARIABLE ANNUITIES SUBTOTAL *			\$4,671,296.70	\$3,895.45	\$0.00	\$3,895.45		\$3,311.15
*** BROKER TRANSACTIONS TOTAL ***			\$4,761,733.37	\$6,504.11	\$0.00	\$6,504.11		\$5,528.52
<u>ADJUSTMENTS</u>								
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$5,528.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$18.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,972.22
Year-to-date Gross	\$49,089.86
Year-to-date Earnings	\$41,888.09