



# COMMISSION STATEMENT

## July 16, 2018

ALAN FISHMAN  
3 Buckley Place  
Hartsdale, NY 10530

BROKER #: 851  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/01/2018	***-**-305P - BLUMEN, ROBERT	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	120.06	0.00	120.06	75.0	90.05
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
07/01/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	8.23	0.00	8.23	75.0	6.17
	ACCOUNT #: 05066338392	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	INTERNATIONAL SMALL COMPANY A	0.00	64.31	0.00	64.31	75.0	48.23
	ACCOUNT #: 08150696707	BUY 0 Units @0.0000						
07/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	77.92	0.00	77.92	75.0	58.44
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
07/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	91.08	0.00	91.08	75.0	68.31
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	MAIN STREET FUND A	0.00	25.58	0.00	25.58	75.0	19.19
	ACCOUNT #: 07004434301	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	49.59	0.00	49.59	75.0	37.19
	ACCOUNT #: 07852994145	BUY 0 Units @0.0000						
06/30/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	24.51	0.00	24.51	75.0	18.38
	ACCOUNT #: 02512697684	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$461.28</b>	<b>\$0.00</b>	<b>\$461.28</b>		<b>\$345.96</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$461.28</b>	<b>\$0.00</b>	<b>\$461.28</b>		<b>\$345.96</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$345.96
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$1.09
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$347.05
						Year-to-date Earnings		\$15,305.86