



Concorde Investment Services, LLC

01/27/2017  
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## COMMISSION STATEMENT

January 31, 2017

ROY PFLEGER II  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: P123  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
12/31/2016	SHEA III, JOHN	GRIFFIN-AMERICAN HC REIT IV T	0.00	0.37	0.00	0.37	75.0	0.28
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$0.37	\$0.00	\$0.37		\$0.28
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$0.37	\$0.00	\$0.37		\$0.28
<b><u>ADJUSTMENTS</u></b>								
01/31/2017		*PERSHING: MARK UPS	0.00					10.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$10.50

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.28
Override Transactions	\$0.00
Adjustments	\$10.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$10.78</b>
<b>Year-to-date Gross</b>	<b>\$252.37</b>
<b>Year-to-date Earnings</b>	<b>\$189.28</b>