



Concorde Investment Services, LLC

08/14/2017

Page No. 1

COMMISSION STATEMENT

August 15, 2017

MARYANN FENBERT
1120 E. Long Lake Road
Suite 100
Troy, MI 48085

BROKER #: F118
BRANCH: CONCORDE FINANCIAL G

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------------------|-------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/06/2017 | ROBINSON, HARRY | AM FUNDS INCOME FUND OF AMERICA A | 4,600.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/12/2017 | ROBINSON, HARRY | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 11.50 | 0.00 | 11.50 | 80.0 | 9.20 |
| 07/24/2017 | FENBERT, MICHAEL | AM FUNDS NEW PERSPECTIVE A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| 07/12/2017 | HECHT, ROBERT | DAVIS NEW YORK VENTURE A | 0.00 | 30.88 | 0.00 | 30.88 | 80.0 | 24.70 |
| 07/12/2017 | ROBINSON, HARRY | DAVIS NEW YORK VENTURE A | 0.00 | 4.72 | 0.00 | 4.72 | 80.0 | 3.78 |
| / / | SWEET, ANNE MARIE | FIDELITY ADV GROWTH OPP | 0.00 | 9.35 | 0.00 | 9.35 | 80.0 | 7.48 |
| / / | HECHT, ROBERT | FIDELITY ADV HEALTH CARE T | 0.00 | 4.33 | 0.00 | 4.33 | 80.0 | 3.46 |
| 07/12/2017 | BLOSSER, EDNA | FIDELITY ADV HEALTH CARE T | 0.00 | 9.11 | 0.00 | 9.11 | 80.0 | 7.29 |
| 07/31/2017 | MOSS, MICHAEL | FRANKLIN GOLD & PRECIOUS METALS A | 0.00 | 12.35 | 0.00 | 12.35 | 80.0 | 9.88 |
| 07/31/2017 | FENBERT, MARYANN | FRANKLIN HIGH INCOME A | 0.00 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |
| 07/31/2017 | FENBERT, EDWARD | FRANKLIN HIGH INCOME A | 0.00 | 1.10 | 0.00 | 1.10 | 80.0 | 0.88 |
| 07/12/2017 | DZIUBA, ELIZABETH | FRANKLIN INCOME A | 0.00 | 5.35 | 0.00 | 5.35 | 80.0 | 4.28 |
| 07/31/2017 | HENNESSEE, JOYCE | FRANKLIN INCOME A | 0.00 | 54.19 | 0.00 | 54.19 | 80.0 | 43.35 |
| 07/31/2017 | FENBERT, MARYANN | FRANKLIN INCOME A | 0.00 | 4.60 | 0.00 | 4.60 | 80.0 | 3.68 |
| 07/31/2017 | VARGO, TRACY | FRANKLIN INCOME A | 0.00 | 10.42 | 0.00 | 10.42 | 80.0 | 8.34 |
| 07/31/2017 | LEWIS TRUST, KAREN | FRANKLIN MI TAX FR INCOME A | 0.00 | 4.03 | 0.00 | 4.03 | 80.0 | 3.22 |
| 07/31/2017 | REEVES, JUANITA | FRANKLIN MI TAX FR INCOME A | 0.00 | 2.98 | 0.00 | 2.98 | 80.0 | 2.38 |
| 07/31/2017 | MAJEROWSKI, MAICHAEL | FRANKLIN MI TAX FR INCOME A | 0.00 | 72.42 | 0.00 | 72.42 | 80.0 | 57.94 |
| 07/31/2017 | DZIUBA TRUST, ELIZABETH | FRANKLIN MI TAX FR INCOME A | 0.00 | 3.35 | 0.00 | 3.35 | 80.0 | 2.68 |
| 07/31/2017 | TRIBBLE, GERALD | FRANKLIN MI TAX FR INCOME A | 0.00 | 6.79 | 0.00 | 6.79 | 80.0 | 5.43 |
| 07/31/2017 | MOSS, MICHAEL | FT HARD CURRENCY A | 0.00 | 18.06 | 0.00 | 18.06 | 80.0 | 14.45 |
| 07/12/2017 | HECHT, ROBERT | PIIONEER GROWTH OPPORTUNITIES A | 0.00 | 5.01 | 0.00 | 5.01 | 80.0 | 4.01 |
| 07/31/2017 | MOSS, MICHAEL | TEMPLETON DEVELOPING MKTS A | 0.00 | 18.46 | 0.00 | 18.46 | 80.0 | 14.77 |
| 07/31/2017 | WEBER, DAVID | TEMPLETON FOREIGN FUND A | 0.00 | 6.20 | 0.00 | 6.20 | 80.0 | 4.96 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$4,650.00 | \$297.61 | \$0.00 | \$297.61 | | \$238.08 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$4,650.00 | \$297.61 | \$0.00 | \$297.61 | | \$238.08 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 08/15/2017 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 08/15/2017 | | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-575.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$238.08 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-575.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-1,411.83 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

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THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|-------------|
| Balance Forward | \$-1,748.75 |
| Year-to-date Gross | \$11,731.85 |
| Year-to-date Earnings | \$9,363.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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