



# COMMISSION STATEMENT

## February 26, 2018

SAMUEL GALATIOTO  
134 Liberty Street  
Tustin, CA 949

BROKER #: A37  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/20/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	FEDERATED STRATEGIC VALUE DIVIDEND BUY 87 Units @5.7700	500.00	5.00	0.00	5.00	70.0	3.50
02/21/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	FEDERATED STRATEGIC VALUE DIVIDEND SELL 2088 Units @5.7200	11,942.99	0.00	18.00	-18.00	100.0	-18.00
02/21/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	FEDERATED STRATEGIC VALUE DIVIDEND SELL 623 Units @5.7200	3,560.72	0.00	18.00	-18.00	100.0	-18.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$16,003.71</b>	<b>\$5.00</b>	<b>\$36.00</b>	<b>\$-31.00</b>		<b>\$-32.50</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
02/21/2018	***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 705 Units @11.3450	7,998.23	159.96	24.00	135.96	70.0	95.17
02/21/2018	***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 750 Units @10.6550	7,991.25	159.83	24.00	135.83	70.0	95.08
02/21/2018	***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 749 Units @10.6680	7,990.33	159.84	24.00	135.84	70.0	95.09
02/21/2018	***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 829 Units @9.6440	7,994.88	159.91	24.00	135.91	70.0	95.14
02/21/2018	***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 822 Units @9.7290	7,997.24	159.96	24.00	135.96	70.0	95.17
02/21/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 3966 Units @11.3450	44,994.27	899.89	24.00	875.89	70.0	613.12
02/21/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 4223 Units @10.6550	44,996.07	899.92	24.00	875.92	70.0	613.14
02/21/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 4218 Units @10.6680	44,997.62	900.12	24.00	876.12	70.0	613.28
02/21/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 4666 Units @9.6440	44,998.90	900.07	24.00	876.07	70.0	613.25
02/21/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 4625 Units @9.7290	44,996.63	900.03	24.00	876.03	70.0	613.22
02/21/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 705 Units @11.3450	7,998.23	159.96	24.00	135.96	70.0	95.17
02/21/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	GUGGENHEIM DEFINED PORTFOLIOS UNIT BUY 750 Units @10.6550	7,991.25	159.83	24.00	135.83	70.0	95.08



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
02/21/2018	***-**-31IO - PLATT, ROGER	GUGGENHEIM DEFINED PORTFOLIOS UNIT	7,990.33	159.84	24.00	135.84	70.0	95.09
	ACCOUNT #: LSR011467	BUY 749 Units @10.6680						
02/21/2018	***-**-31IO - PLATT, ROGER	GUGGENHEIM DEFINED PORTFOLIOS UNIT	7,994.88	159.91	24.00	135.91	70.0	95.14
	ACCOUNT #: LSR011467	BUY 829 Units @9.6440						
02/21/2018	***-**-31IO - PLATT, ROGER	GUGGENHEIM DEFINED PORTFOLIOS UNIT	7,997.24	159.96	24.00	135.96	70.0	95.17
	ACCOUNT #: LSR011467	BUY 822 Units @9.7290						
	* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$304,927.35	\$6,099.03	\$360.00	\$5,739.03		\$4,017.31
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/20/2018	***-**-49TR - CUZICK TRUST,	AIM DEVELOPING MARKETS FUND CLASS A	0.00	3.45	0.00	3.45	70.0	2.42
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
02/20/2018	***-**-49TR - CUZICK TRUST,	AMERICAN BALANCED FUND-A	0.00	8.04	0.00	8.04	70.0	5.63
	ACCOUNT #: LSR010064	BUY 1 Units @0.0000						
02/20/2018	***-**-97IO - WAY, FBO DREW K	AMERICAN BALANCED FUND-A	0.00	0.77	0.00	0.77	70.0	0.54
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
02/20/2018	***-**-69IO - WAY, DEBORAH	AMERICAN BALANCED FUND-A	0.00	0.26	0.00	0.26	70.0	0.18
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
02/26/2018	***-**-97IO - WAY, FBO DREW K	BLACKROCK 09251M108	0.00	1.34	0.00	1.34	70.0	0.94
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
02/26/2018	***-**-97IN - WAY, DREW	BLACKROCK 09251M108	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR010106	BUY 1 Units @0.0000						
02/26/2018	***-**-69IO - WAY, DEBORAH	BLACKROCK 09251M108	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
02/26/2018	***-**-69IO - WAY, DEBORAH	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
02/26/2018	***-**-91IO - BROWN, FBO	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	3.25	0.00	3.25	70.0	2.28
	ACCOUNT #: LSR010370	BUY 1 Units @0.0000						
02/26/2018	***-**-97IO - WAY, FBO DREW K	BLACKROCK LARGE CAP FOCUS GROWTH A	0.00	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
02/26/2018	***-**-97IO - WAY, FBO DREW K	BLACKROCK MULTI ASSET INCOME PORT A	0.00	1.94	0.00	1.94	70.0	1.36
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
02/26/2018	***-**-97IN - WAY, DREW	BLACKROCK MULTI ASSET INCOME PORT A	0.00	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: LSR010106	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	BLACKROCK MULTI ASSET INCOME PORT A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	70.0	0.15
02/26/2018	***-**-91IO - BROWN, FBO ACCOUNT #: LSR010370	BLACKROCK MULTI ASSET INCOME PORT A BUY 1 Units @0.0000	0.00	3.41	0.00	3.41	70.0	2.39
02/20/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	10.82	0.00	10.82	70.0	7.57
02/20/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	9.18	0.00	9.18	70.0	6.43
02/26/2018	***-**-51IR - DIETLIN, FBO ACCOUNT #: LSR011167	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	70.0	0.76
02/26/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	4.20	0.00	4.20	70.0	2.94
02/26/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.43	0.00	2.43	70.0	1.70
02/26/2018	***-**-51IR - DIETLIN, FBO ACCOUNT #: LSR011167	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	70.0	0.60
02/26/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	2.54	0.00	2.54	70.0	1.78
02/26/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	FEDERATED STRATEGIC VALUE DIVIDEND BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	70.0	0.57
02/26/2018	***-**-660J - MILBURN, DON ACCOUNT #: LSR010050	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	70.0	0.00
02/26/2018	***-**-660K - MILBURN, DON ACCOUNT #: LSR010051	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
02/26/2018	***-**-505K - MILBURN, SALLY ACCOUNT #: LSR010052	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	70.0	0.32
02/26/2018	***-**-74IO - SERNA, DEBRA ACCOUNT #: LSR010054	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	4.44	0.00	4.44	70.0	3.11
02/26/2018	***-**-30IO - STILL, GORDON ACCOUNT #: LSR010055	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	70.0	0.92
02/26/2018	***-**-90IO - GIBBONS, JEFFREY ACCOUNT #: LSR010057	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	70.0	0.60



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/26/2018	***-**-85IO - GIBBONS, LEORA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: LSR010059	BUY 1 Units @0.0000						
02/26/2018	***-**-92IO - CUZICK, JOANIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	3.61	0.00	3.61	70.0	2.53
	ACCOUNT #: LSR010065	BUY 1 Units @0.0000						
02/26/2018	***-**-49IO - CUZICK, MICHAEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	5.02	0.00	5.02	70.0	3.51
	ACCOUNT #: LSR010066	BUY 1 Units @0.0000						
02/26/2018	***-**-97IO - WAY, FBO DREW K	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
02/26/2018	***-**-27IO - JANOLO, VICTORIA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR010300	BUY 1 Units @0.0000						
02/26/2018	***-**-71IO - JACOBSON, DEBRA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	15.12	0.00	15.12	70.0	10.58
	ACCOUNT #: LSR010305	BUY 1 Units @0.0000						
02/26/2018	***-**-37IO - PASCUA, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: LSR010716	BUY 1 Units @0.0000						
02/20/2018	***-**-97IO - WAY, FBO DREW K	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	9.13	0.00	9.13	70.0	6.39
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						
02/20/2018	***-**-97IN - WAY, DREW	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	1.89	0.00	1.89	70.0	1.32
	ACCOUNT #: LSR010106	BUY 1 Units @0.0000						
02/20/2018	***-**-69IO - WAY, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	2.65	0.00	2.65	70.0	1.86
	ACCOUNT #: LSR010169	BUY 1 Units @0.0000						
02/20/2018	***-**-71IR - RALSTON, JESSE	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	4.13	0.00	4.13	70.0	2.89
	ACCOUNT #: LSR010206	BUY 1 Units @0.0000						
02/20/2018	***-**-71IO - JACOBSON, DEBRA	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	63.99	0.00	63.99	70.0	44.79
	ACCOUNT #: LSR010305	BUY 1 Units @0.0000						
02/20/2018	***-**-2207 - BARELA, DIANE	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	31.84	0.00	31.84	70.0	22.29
	ACCOUNT #: LSR011405	BUY 1 Units @0.0000						
02/20/2018	***-**-31IR - PLATT, ROGER	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.62	0.00	3.62	70.0	2.53
	ACCOUNT #: LSR011466	BUY 1 Units @0.0000						
02/20/2018	***-**-31IO - PLATT, ROGER	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	26.81	0.00	26.81	70.0	18.77
	ACCOUNT #: LSR011467	BUY 1 Units @0.0000						
02/20/2018	***-**-97IO - WAY, FBO DREW K	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	3.04	0.00	3.04	70.0	2.13
	ACCOUNT #: LSR010105	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-97IN - WAY, DREW ACCOUNT #: LSR010106	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	70.0	0.44
02/20/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62
02/20/2018	***-**-71IR - RALSTON, JESSE ACCOUNT #: LSR010206	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.38	0.00	1.38	70.0	0.97
02/20/2018	***-**-71IO - JACOBSON, DEBRA ACCOUNT #: LSR010305	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	21.33	0.00	21.33	70.0	14.93
02/20/2018	***-**-2207 - BARELA, DIANE ACCOUNT #: LSR011405	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	10.61	0.00	10.61	70.0	7.43
02/20/2018	***-**-31IR - PLATT, ROGER ACCOUNT #: LSR011466	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	70.0	0.85
02/20/2018	***-**-31IO - PLATT, ROGER ACCOUNT #: LSR011467	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	8.94	0.00	8.94	70.0	6.26
02/20/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.82	0.00	0.82	70.0	0.57
02/20/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.27	0.00	0.27	70.0	0.19
02/20/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	4.96	0.00	4.96	70.0	3.47
02/20/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	70.0	0.60
02/20/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	70.0	0.20
02/20/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.65	0.00	4.65	70.0	3.26
02/20/2018	***-**-49TR - CUZICK TRUST, ACCOUNT #: LSR010064	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.97	0.00	3.97	70.0	2.78
02/20/2018	***-**-97IO - WAY, FBO DREW K ACCOUNT #: LSR010105	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.17	0.00	1.17	70.0	0.82
02/20/2018	***-**-69IO - WAY, DEBORAH ACCOUNT #: LSR010169	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	70.0	0.27



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-9110 - BROWN, FBO	THE NEW ECONOMY FUND-A	0.00	1.68	0.00	1.68	70.0	1.18
	ACCOUNT #: LSR010370	BUY 1 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$299.86</b>	<b>\$0.00</b>	<b>\$299.86</b>		<b>\$209.94</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$320,931.06</b>	<b>\$6,403.89</b>	<b>\$396.00</b>	<b>\$6,007.89</b>		<b>\$4,194.75</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$4,194.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-222.58
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$3,972.17</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>