

COMMISSION STATEMENT May 31, 2018

CARL WORDEN III
3031 Tisch Way
Suite 901
San Jose, CA 95128

BROKER #: W134 Terminated 04/22/2016

BRANCH: CARL WORDEN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|---|----------------------|---------------------|--------------------|-------------------|----------|----------------------|
| | | ADJUSTME | NTS | | | | | |
| 05/31/2018 | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | | | | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| | | | | | <u>1</u> | BROKER COMM | ISSION | TOTALS |
| | | | | | Broke | r Transactions | 5 | \$0.00 |
| | | | | | Spli | t Transactions | 3 | \$0.00 |
| | | | | | Overrid | e Transactions | 5 | \$0.00 |
| | | | | | | Adjustments | 3 | \$0.00 |
| | | | | | | Payroll Drav | √ | \$0.00 |
| | | | | | | Base Salary | 7 | \$0.00 |
| | | | | | Prior | Period Balance | 9 | \$0.00 |
| | | | | | FI | NRA Assessment | 5 | \$0.00 |
| | | | | | S | IPC Assessment | 5 | \$0.00 |
| | | Please Retain for THERE WILL BE NO CHI | | | : | Balance Forwar | rd. | \$0.00 |
| | | | | | Year-to-date Gross | | s | \$44.96 |
| | | | | | Year-t | o-date Earning | ıs | \$0.00 |