

## COMMISSION STATEMENT

June 30, 2018

EZRA GRAYMAN  
7 EDGEWOOD AVEBROKER #: M10 M15  
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/08/2018	QGV001035	JPMORGAN CLEARING		GREAT ELM CAP CORP NTS CPN 8.25% QU S	-14,904.00	18.00	10.00	8.00	50.0 4.00
06/12/2018	QGV001035	JPMORGAN CLEARING		GREAT ELM CAP CORP NTS CPN 8.25% QU S	-72,334.08	87.36	10.00	77.36	50.0 38.68
06/18/2018	QGV001035	JPMORGAN CLEARING		GREAT ELM CAP CORP NTS CPN 8.25% QU S	-1,251,141.50	1,532.01	10.00	1,522.01	50.0 761.01
06/21/2018	QGV001035	JPMORGAN CLEARING		FEDERAL NATL MTG ASSN NON CUM PFD S B	51,160.20	84.00	10.00	74.00	50.0 37.00
06/08/2018	QGV005713	MORGAN STANLEY		AIT THERAPEUTICS INC COM B	50,830.00	510.00	10.00	500.00	50.0 250.00
06/18/2018	QGV005713	MORGAN STANLEY		AIT THERAPEUTICS INC COM B	70,032.00	1,200.00	10.00	1,190.00	50.0 595.00
06/18/2018	QGV005713	MORGAN STANLEY		AIT THERAPEUTICS INC COM B	-70,032.00	-1,200.00	-10.00	-1,190.00	50.0 -595.00 C
06/18/2018	QGV005713	MORGAN STANLEY		AIT THERAPEUTICS INC COM B	70,032.00	600.00	10.00	590.00	50.0 295.00
06/21/2018	QGV005713	MORGAN STANLEY		FEDERAL NATL MTG ASSN PFD SER T DIV B	172,500.00	250.00	10.00	240.00	50.0 120.00
06/22/2018	QGV005713	MORGAN STANLEY		AIT THERAPEUTICS INC COM B	237,540.00	2,220.00	10.00	2,210.00	50.0 1,105.00
05/30/2018	QGV006216	LION		UNIVERSAL STAINLESS & ALLOY PRODUCT S	-48,000.00	20.00	10.00	10.00	50.0 5.00
05/31/2018	QGV006216	LION		HANCOCK JAFFE LABORATORIES INC COM S	-40,000.00	80.00	10.00	70.00	50.0 35.00
05/31/2018	QGV006216	LION		SESEN BIO INC COM S	-1,910.00	20.00	10.00	10.00	50.0 5.00
05/31/2018	QGV006216	LION		T2 BIOSYSTEMS INC COM S	-1,958.88	20.00	10.00	10.00	50.0 5.00
05/31/2018	QGV006216	LION		T2 BIOSYSTEMS INC COM S	-79,922.10	102.00	10.00	92.00	50.0 46.00
05/31/2018	QGV006216	LION		UNIVERSAL STAINLESS & ALLOY PRODUCT S	-231,300.30	150.00	10.00	140.00	50.0 70.00
06/01/2018	QGV006216	LION		AMBOW ED HLDG LTD SPONSORED ADR REP S	-220,584.82	250.00	10.00	240.00	50.0 120.00
06/01/2018	QGV006216	LION		METLIFE INC DEPOSITARY SHS REPSTG P S	-125,170.00	50.00	10.00	40.00	50.0 20.00
06/01/2018	QGV006216	LION		UNIVERSAL STAINLESS & ALLOY PRODUCT S	-193,321.59	154.00	10.00	144.00	50.0 72.00
06/01/2018	QGV006216	LION		VERICEL CORP COM S	-16,140.00	20.00	10.00	10.00	50.0 5.00
06/04/2018	QGV006216	LION		AMBOW ED HLDG LTD SPONSORED ADR REP S	-40,446.55	94.13	10.00	84.13	50.0 42.07
06/05/2018	QGV006216	LION		AMBOW ED HLDG LTD SPONSORED ADR REP S	-28,104.66	65.18	10.00	55.18	50.0 27.59
06/05/2018	QGV006216	LION		POLARITYTE INC COM S	-3,975.00	20.00	10.00	10.00	50.0 5.00
06/06/2018	QGV006216	LION		FIRST REP BK SAN FRANCISCO CALIF DE S	-175,350.00	70.00	10.00	60.00	50.0 30.00
06/07/2018	QGV006216	LION		ALTAIR ENGR INC CL A COM S	-269,945.92	76.00	10.00	66.00	50.0 33.00
06/07/2018	QGV006216	LION		ALTAIR ENGR INC CL A COM B	17,825.00	20.00	10.00	10.00	50.0 5.00
06/07/2018	QGV006216	LION		DECIPHERA PHARMACEUTICALS INC COM S	-40,000.00	20.00	10.00	10.00	50.0 5.00
06/07/2018	QGV006216	LION		FIRST INTERNET BANCORP COM S	-167,857.00	100.00	10.00	90.00	50.0 45.00
06/07/2018	QGV006216	LION		IMMUNOGEN INC S	-37,649.85	35.00	10.00	25.00	50.0 12.50
06/07/2018	QGV006216	LION		MADRIGAL PHARMACEUTICALS INC COM B	283,000.00	20.00	10.00	10.00	50.0 5.00
06/07/2018	QGV006216	LION		MIRATI THERAPEUTICS INC COM S	-43,800.00	20.00	10.00	10.00	50.0 5.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/07/2018	QGV006216 LION	OPTINOSE INC COM	S	-48,986.74	22.00	10.00	12.00	50.0	6.00
06/07/2018	QGV006216 LION	VIKING THERAPEUTICS INC COM	S	-33,468.75	35.00	10.00	25.00	50.0	12.50
06/07/2018	QGV006216 LION	ZYMEWORKS INC COM ISIN#CA98985W1023	S	-8,125.00	20.00	10.00	10.00	50.0	5.00
06/08/2018	QGV006216 LION	ADMA BIOLOGICS INC COM	S	-12,690.75	25.00	10.00	15.00	50.0	7.50
06/08/2018	QGV006216 LION	AMBOW ED HLDG LTD SPONSORED ADR REP	S	-37,440.00	90.00	10.00	80.00	50.0	40.00
06/11/2018	QGV006216 LION	AMBOW ED HLDG LTD SPONSORED ADR REP	S	-30,992.25	75.00	10.00	65.00	50.0	32.50
06/11/2018	QGV006216 LION	MADRIGAL PHARMACEUTICALS INC COM	B	282,375.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006216 LION	AMBOW ED HLDG LTD SPONSORED ADR REP	S	-5,857.46	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006216 LION	FAR PT ACQUISITION CORP UNIT 1 COM	S	-5,075.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006216 LION	FLAGSTAR BANCORP INC COM PAR\$ 001	S	-411,221.60	115.00	10.00	105.00	50.0	52.50
06/12/2018	QGV006216 LION	HERCULES CAPITAL INC	S	-12,150.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006216 LION	JERNIGAN CAP INC COM	S	-104,748.05	55.00	10.00	45.00	50.0	22.50
06/12/2018	QGV006216 LION	JERNIGAN CAP INC COM	S	-11,427.06	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006216 LION	KADMON HOLDINGS INC COMMON STOCK \$0	S	-3,620.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006216 LION	MADRIGAL PHARMACEUTICALS INC COM	S	-569,000.00	100.00	10.00	90.00	50.0	45.00
06/13/2018	QGV006216 LION	AMBOW ED HLDG LTD SPONSORED ADR REP	S	-35,861.09	87.40	10.00	77.40	50.0	38.70
06/13/2018	QGV006216 LION	CIRCOR INTL INC COM	S	-13,275.75	20.00	10.00	10.00	50.0	5.00
06/13/2018	QGV006216 LION	CIRCOR INTL INC COM	S	-139,395.38	31.50	10.00	21.50	50.0	10.75
06/13/2018	QGV006216 LION	IMMUNOMEDICS INC COM	S	-85,124.90	35.00	10.00	25.00	50.0	12.50
06/13/2018	QGV006216 LION	MACKINAC FINL CORP COM	S	-16,000.00	20.00	10.00	10.00	50.0	5.00
06/13/2018	QGV006216 LION	MICROVISION INC DEL COM NEW	S	-81,201.33	200.00	10.00	190.00	50.0	95.00
06/14/2018	QGV006216 LION	AMBOW ED HLDG LTD SPONSORED ADR REP	S	-27,064.62	66.00	10.00	56.00	50.0	28.00
06/14/2018	QGV006216 LION	AQUA METALS INC COM	S	-43,000.50	150.00	10.00	140.00	50.0	70.00
06/14/2018	QGV006216 LION	ASURE SOFTWARE INC	S	-135,525.75	75.00	10.00	65.00	50.0	32.50
06/14/2018	QGV006216 LION	ASURE SOFTWARE INC	B	43,858.25	25.00	10.00	15.00	50.0	7.50
06/14/2018	QGV006216 LION	MICROVISION INC DEL COM NEW	S	-12,500.00	100.00	10.00	90.00	50.0	45.00
06/14/2018	QGV006216 LION	U S XPRESS ENTERPRISES INC CL A	S	-8,116.70	20.00	10.00	10.00	50.0	5.00
06/15/2018	QGV006216 LION	AMBOW ED HLDG LTD SPONSORED ADR REP	S	-83,860.54	250.00	10.00	240.00	50.0	120.00
06/15/2018	QGV006216 LION	AVALARA INC COM	S	-10,500.00	20.00	10.00	10.00	50.0	5.00
06/15/2018	QGV006216 LION	CISION LTD REGISTERED SHS ISIN#KYG1	S	-117,531.96	78.00	10.00	68.00	50.0	34.00
06/15/2018	QGV006216 LION	GENCO SHIPPING & TRADING LTD SHS IS	S	-16,800.00	20.00	10.00	10.00	50.0	5.00
06/18/2018	QGV006216 LION	MICROVISION INC DEL COM NEW	S	-55,088.55	200.00	10.00	190.00	50.0	95.00
06/19/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	427,875.00	100.00	10.00	90.00	50.0	45.00
06/19/2018	QGV006216 LION	EASTERLY GOVT PPTYS INC COM	S	-19,600.00	20.00	10.00	10.00	50.0	5.00
06/19/2018	QGV006216 LION	MICROVISION INC DEL COM NEW	B	11,800.00	100.00	10.00	90.00	50.0	45.00
06/19/2018	QGV006216 LION	TELLURIAN INC NEW COM	S	-128,040.00	100.00	10.00	90.00	50.0	45.00
06/20/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-426,250.00	100.00	10.00	90.00	50.0	45.00
06/20/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	199,089.60	120.00	10.00	110.00	50.0	55.00
06/20/2018	QGV006216 LION	EIDOS THERAPEUTICS INC COM	S	-6,000.00	20.00	10.00	10.00	50.0	5.00
06/20/2018	QGV006216 LION	GALMED PHARMACEUTICALS LTD SHS ISIN	S	-205,579.46	137.00	10.00	127.00	50.0	63.50

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
06/20/2018	QGV006216	LION		KINDRED BIOSCIENCES INC COM	S	-34,746.95	35.00	10.00	25.00 50.0 12.50
06/20/2018	QGV006216	LION		LF CAP ACQUISITION CORP UNIT 1 CL A S		-1,046,337.60	200.00	10.00	190.00 50.0 95.00
06/20/2018	QGV006216	LION		OBSEVA SA COM ISIN# CH0346177709	S	-48,360.00	31.00	10.00	21.00 50.0 10.50
06/20/2018	QGV006216	LION		OBSEVA SA COM ISIN# CH0346177709	S	-10,920.00	20.00	10.00	10.00 50.0 5.00
06/21/2018	QGV006216	LION		ADVANCED MICRO DEVICES INC	S	-252,000.00	100.00	10.00	90.00 50.0 45.00
06/21/2018	QGV006216	LION		APTINYX INC COM	S	-100,769.40	108.00	10.00	98.00 50.0 49.00
06/21/2018	QGV006216	LION		AVROBIO INC COM	S	-43,875.00	75.00	10.00	65.00 50.0 32.50
06/21/2018	QGV006216	LION		CANADA GOOSE HLDGS INC COM SHS SUB	S	-316,250.00	100.00	10.00	90.00 50.0 45.00
06/21/2018	QGV006216	LION		CHESAPEAKE ENERGY CORP	S	-994,120.00	300.00	10.00	290.00 50.0 145.00
06/21/2018	QGV006216	LION		ENSTAR GROUP LTD DEPOSITARY SH REPS	S	-196,950.00	100.00	10.00	90.00 50.0 45.00
06/21/2018	QGV006216	LION		ENSTAR GROUP LTD DEPOSITARY SH REPS	S	196,950.00	-100.00	-10.00	-90.00 50.0 -45.00 C
06/21/2018	QGV006216	LION		ENSTAR GROUP LTD DEPOSITARY SH REPS	S	-37,875.00	20.00	10.00	10.00 50.0 5.00
06/21/2018	QGV006216	LION		I3 VERTICALS INC CL A	S	-547,052.45	301.00	10.00	291.00 50.0 145.50
06/21/2018	QGV006216	LION		KEZAR LIFE SCIENCES INC COM	S	-8,755.00	20.00	10.00	10.00 50.0 5.00
06/21/2018	QGV006216	LION		LIMONEIRA CO COM	S	-12,000.00	20.00	10.00	10.00 50.0 5.00
06/21/2018	QGV006216	LION		MAGENTA THERAPEUTICS INC COM	S	-76,646.00	100.00	10.00	90.00 50.0 45.00
06/21/2018	QGV006216	LION		MICROVISION INC DEL COM NEW	S	-41,016.50	200.00	10.00	190.00 50.0 95.00
06/21/2018	QGV006216	LION		RHYTHM PHARMACEUTICALS INC COM	S	-58,470.60	20.00	10.00	10.00 50.0 5.00
06/21/2018	QGV006216	LION		XERIS PHARMACEUTICALS INC COM	S	-17,000.00	20.00	10.00	10.00 50.0 5.00
06/22/2018	QGV006216	LION		CATABASIS PHARMACEUTICALS INC COM	S	-21,775.00	100.00	10.00	90.00 50.0 45.00
06/22/2018	QGV006216	LION		GREEN BRICK PARTNERS INC COM	S	-72,800.00	80.00	10.00	70.00 50.0 35.00
06/22/2018	QGV006216	LION		GREEN BRICK PARTNERS INC COM	B	107,449.62	118.00	10.00	108.00 50.0 54.00
06/22/2018	QGV006216	LION		IBIO INC COM NEW	S	-253,546.25	250.00	10.00	240.00 50.0 120.00
06/22/2018	QGV006216	LION		THE STARS GROUP INC REGISTERED SHS	S	-103,742.24	28.00	10.00	18.00 50.0 9.00
06/26/2018	QGV006216	LION		HERON THERAPEUTICS INC COM	S	-39,500.00	20.00	10.00	10.00 50.0 5.00
06/26/2018	QGV006216	LION		SENSEONICS HLDGS INC COM	S	-4,300.00	20.00	10.00	10.00 50.0 5.00
06/27/2018	QGV006216	LION		HYRECAR INC COM	S	-85,437.00	150.00	10.00	140.00 50.0 70.00
06/27/2018	QGV006216	LION		LOVESAC CO COM	S	-12,500.00	20.00	10.00	10.00 50.0 5.00
06/27/2018	QGV006216	LION		NEON THERAPEUTICS INC COM	S	-24,000.00	20.00	10.00	10.00 50.0 5.00
06/27/2018	QGV006216	LION		NEON THERAPEUTICS INC COM	S	-12,800.00	20.00	10.00	10.00 50.0 5.00
06/27/2018	QGV006216	LION		VERITONE INC COM	B	129,946.50	75.00	10.00	65.00 50.0 32.50
05/30/2018	QGV006224	DAVIS FAMILY OFFICE, CURO GROUP HLDGS HLDGS CORP COM	S	-123,493.67	106.50	10.00	96.50	50.0	48.25
05/30/2018	QGV006224	DAVIS FAMILY OFFICE, MICRON TECHNOLOGY INC	B	316,750.00	100.00	10.00	90.00	50.0	45.00
05/30/2018	QGV006224	DAVIS FAMILY OFFICE, MICRON TECHNOLOGY INC	S	-322,000.00	100.00	10.00	90.00	50.0	45.00
05/31/2018	QGV006224	DAVIS FAMILY OFFICE, GDS HLDGS LTD SPONS ADR ISIN# US361 B		378,850.00	100.00	10.00	90.00	50.0	45.00
05/31/2018	QGV006224	DAVIS FAMILY OFFICE, GDS HLDGS LTD SPONS ADR ISIN# US361 S		-378,250.00	100.00	10.00	90.00	50.0	45.00
05/31/2018	QGV006224	DAVIS FAMILY OFFICE, T2 BIOSYSTEMS INC COM	B	38,750.00	50.00	10.00	40.00	50.0	20.00
05/31/2018	QGV006224	DAVIS FAMILY OFFICE, T2 BIOSYSTEMS INC COM	S	-3,134.20	20.00	10.00	10.00	50.0	5.00
06/01/2018	QGV006224	DAVIS FAMILY OFFICE, METLIFE INC DEPOSITARY SHS REPSTG P S		-125,170.00	50.00	10.00	40.00	50.0	20.00
06/01/2018	QGV006224	DAVIS FAMILY OFFICE, METLIFE INC DEPOSITARY SHS REPSTG P S		-375,510.00	150.00	10.00	140.00	50.0	70.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/01/2018	QGV006224	DAVIS FAMILY OFFICE, T2 BIOSYSTEMS INC COM	S	-40,000.00	50.00	10.00	40.00	50.0	20.00
06/01/2018	QGV006224	DAVIS FAMILY OFFICE, UNIVERSAL STAINLESS & ALLOY PRODUCT S	S	-70,298.76	56.00	10.00	46.00	50.0	23.00
06/01/2018	QGV006224	DAVIS FAMILY OFFICE, VERICEL CORP COM	S	-4,035.00	20.00	10.00	10.00	50.0	5.00
06/04/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	730,750.00	150.00	10.00	140.00	50.0	70.00
06/04/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-740,000.00	150.00	10.00	140.00	50.0	70.00
06/04/2018	QGV006224	DAVIS FAMILY OFFICE, VIKING THERAPEUTICS INC COM	S	-95,000.00	100.00	10.00	90.00	50.0	45.00
06/04/2018	QGV006224	DAVIS FAMILY OFFICE, VIKING THERAPEUTICS INC COM	B	91,250.00	100.00	10.00	90.00	50.0	45.00
06/05/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	366,750.00	100.00	10.00	90.00	50.0	45.00
06/05/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-369,525.00	100.00	10.00	90.00	50.0	45.00
06/05/2018	QGV006224	DAVIS FAMILY OFFICE, POLARITYTE INC COM	S	-26,500.00	20.00	10.00	10.00	50.0	5.00
06/06/2018	QGV006224	DAVIS FAMILY OFFICE, FIRST REP BK SAN FRANCISCO CALIF DE S	S	-100,200.00	40.00	10.00	30.00	50.0	15.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, ALTAIR ENGR INC CL A COM	S	-7,103.84	20.00	10.00	10.00	50.0	5.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, ENTERGY CORP NEW	S	-37,975.00	20.00	10.00	10.00	50.0	5.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, FIRST INTERNET BANCORP COM	S	-67,142.80	40.00	10.00	30.00	50.0	15.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, FIRST REP BK SAN FRANCISCO CALIF DE S	S	-375,000.00	150.00	10.00	140.00	50.0	70.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	B	586,819.00	40.00	10.00	30.00	50.0	15.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	B	612,000.00	40.00	10.00	30.00	50.0	15.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	S	-620,000.00	40.00	10.00	30.00	50.0	15.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, OPTINOSE INC COM	S	-6,680.01	20.00	10.00	10.00	50.0	5.00
06/07/2018	QGV006224	DAVIS FAMILY OFFICE, VIKING THERAPEUTICS INC COM	S	-4,781.25	20.00	10.00	10.00	50.0	5.00
06/08/2018	QGV006224	DAVIS FAMILY OFFICE, ADMA BIOLOGICS INC COM	S	-2,284.34	20.00	10.00	10.00	50.0	5.00
06/08/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	S	-590,000.00	100.00	10.00	90.00	50.0	45.00
06/11/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	B	282,375.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, FAR PT ACQUISITION CORP UNIT 1 COM	S	-4,060.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, FLAGSTAR BANCORP INC COM PAR\$ 001	S	-164,488.64	46.00	10.00	36.00	50.0	18.00
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, HERCULES CAPITAL INC	S	-36,450.00	30.00	10.00	20.00	50.0	10.00
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	B	270,000.00	20.00	10.00	10.00	50.0	5.00
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, MADRIGAL PHARMACEUTICALS INC COM	S	-569,000.00	100.00	10.00	90.00	50.0	45.00
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, WARRIOR MET COAL INC COM	S	-72,275.00	25.00	10.00	15.00	50.0	7.50
06/12/2018	QGV006224	DAVIS FAMILY OFFICE, WARRIOR MET COAL INC COM	B	71,750.00	25.00	10.00	15.00	50.0	7.50
06/13/2018	QGV006224	DAVIS FAMILY OFFICE, CIRCOR INTL INC COM	S	-66,378.75	20.00	10.00	10.00	50.0	5.00
06/13/2018	QGV006224	DAVIS FAMILY OFFICE, MICROVISION INC DEL COM NEW	S	-37,221.00	100.00	10.00	90.00	50.0	45.00
06/13/2018	QGV006224	DAVIS FAMILY OFFICE, MICROVISION INC DEL COM NEW	S	-6,203.50	50.00	10.00	40.00	50.0	20.00
06/13/2018	QGV006224	DAVIS FAMILY OFFICE, RENAISSANCERE HLDGS LTD DEPOSITARY	S	-124,550.00	50.00	10.00	40.00	50.0	20.00
06/13/2018	QGV006224	DAVIS FAMILY OFFICE, RENAISSANCERE HLDGS LTD DEPOSITARY	S	-498,200.00	200.00	10.00	190.00	50.0	95.00
06/13/2018	QGV006224	DAVIS FAMILY OFFICE, USA COMPRESSION PARTNERS LP COM UNI B	S	203,738.75	125.00	10.00	115.00	50.0	57.50
06/14/2018	QGV006224	DAVIS FAMILY OFFICE, U S XPRESS ENTERPRISES INC CL A	S	-8,116.70	20.00	10.00	10.00	50.0	5.00
06/14/2018	QGV006224	DAVIS FAMILY OFFICE, U S XPRESS ENTERPRISES INC CL A	S	-27,596.78	20.00	10.00	10.00	50.0	5.00
06/14/2018	QGV006224	DAVIS FAMILY OFFICE, USA COMPRESSION PARTNERS LP COM UNI S	S	-126,000.00	75.00	10.00	65.00	50.0	32.50
06/15/2018	QGV006224	DAVIS FAMILY OFFICE, AVALARA INC COM	S	-3,500.00	20.00	10.00	10.00	50.0	5.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/15/2018	QGV006224	DAVIS FAMILY OFFICE, AVALARA INC COM	S	-10,500.00	20.00	10.00	10.00	50.0	5.00
06/15/2018	QGV006224	DAVIS FAMILY OFFICE, CISION LTD REGISTERED SHS ISIN#KYG1	S	-48,218.24	32.00	10.00	22.00	50.0	11.00
06/18/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-489,300.00	150.00	10.00	140.00	50.0	70.00
06/18/2018	QGV006224	DAVIS FAMILY OFFICE, USA COMPRESSION PARTNERS LP COM UNI	S	-85,000.00	50.00	10.00	40.00	50.0	20.00
06/19/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	427,875.00	100.00	10.00	90.00	50.0	45.00
06/19/2018	QGV006224	DAVIS FAMILY OFFICE, EASTERLY GOVT PPTYS INC COM	S	-78,400.00	40.00	10.00	30.00	50.0	15.00
06/19/2018	QGV006224	DAVIS FAMILY OFFICE, EASTERLY GOVT PPTYS INC COM	B	48,750.00	25.00	10.00	15.00	50.0	7.50
06/19/2018	QGV006224	DAVIS FAMILY OFFICE, TELLURIAN INC NEW COM	B	58,374.00	60.00	10.00	50.00	50.0	25.00
06/19/2018	QGV006224	DAVIS FAMILY OFFICE, TELLURIAN INC NEW COM	S	-135,800.00	100.00	10.00	90.00	50.0	45.00
06/19/2018	QGV006224	DAVIS FAMILY OFFICE, TELLURIAN INC NEW COM	S	-8,730.00	20.00	10.00	10.00	50.0	5.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-426,250.00	100.00	10.00	90.00	50.0	45.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, EIDOS THERAPEUTICS INC COM	S	-5,500.00	20.00	10.00	10.00	50.0	5.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, EIDOS THERAPEUTICS INC COM	S	-4,000.00	20.00	10.00	10.00	50.0	5.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, GALMED PHARMACEUTICALS LTD SHS ISIN	S	-54,020.88	36.00	10.00	26.00	50.0	13.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, KINDRED BIOSCIENCES INC COM	S	-49,638.50	50.00	10.00	40.00	50.0	20.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, KINDRED BIOSCIENCES INC COM	S	-6,949.39	20.00	10.00	10.00	50.0	5.00
06/20/2018	QGV006224	DAVIS FAMILY OFFICE, OBSEVA SA COM ISIN# CH0346177709	S	-3,120.00	20.00	10.00	10.00	50.0	5.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	329,000.00	100.00	10.00	90.00	50.0	45.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, APTINYX INC COM	S	-9,330.50	20.00	10.00	10.00	50.0	5.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, ENSTAR GROUP LTD DEPOSITARY SH REPS	S	-37,875.00	20.00	10.00	10.00	50.0	5.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, ENSTAR GROUP LTD DEPOSITARY SH REPS	S	37,875.00	-20.00	-10.00	-10.00	50.0	-5.00 C
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, ENSTAR GROUP LTD DEPOSITARY SH REPS	S	-196,950.00	100.00	10.00	90.00	50.0	45.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, LIMONEIRA CO COM	S	-24,000.00	20.00	10.00	10.00	50.0	5.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, RHYTHM PHARMACEUTICALS INC COM	S	-3,654.41	20.00	10.00	10.00	50.0	5.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, TRUPANION INC COM	S	-37,000.00	50.00	10.00	40.00	50.0	20.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, TRUPANION INC COM	B	36,000.00	50.00	10.00	40.00	50.0	20.00
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, VERITONE INC COM	S	-45,002.25	25.00	10.00	15.00	50.0	7.50
06/21/2018	QGV006224	DAVIS FAMILY OFFICE, VERITONE INC COM	B	45,000.00	25.00	10.00	15.00	50.0	7.50
06/22/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-237,000.00	100.00	10.00	90.00	50.0	45.00
06/22/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	235,750.50	100.00	10.00	90.00	50.0	45.00
06/22/2018	QGV006224	DAVIS FAMILY OFFICE, GREEN BRICK PARTNERS INC COM	S	-84,630.00	93.00	10.00	83.00	50.0	41.50
06/22/2018	QGV006224	DAVIS FAMILY OFFICE, IBIO INC COM NEW	S	-38,803.60	100.00	10.00	90.00	50.0	45.00
06/22/2018	QGV006224	DAVIS FAMILY OFFICE, SIGMA LABS INC COM PAR\$.001	S	-34,173.75	150.00	10.00	140.00	50.0	70.00
06/22/2018	QGV006224	DAVIS FAMILY OFFICE, THE STARS GROUP INC REGISTERED SHS	S	-92,627.00	25.00	10.00	15.00	50.0	7.50
06/26/2018	QGV006224	DAVIS FAMILY OFFICE, SENSEONICS HLDGS INC COM	S	-3,870.00	20.00	10.00	10.00	50.0	5.00
06/26/2018	QGV006224	DAVIS FAMILY OFFICE, SENSEONICS HLDGS INC COM	S	-8,600.00	20.00	10.00	10.00	50.0	5.00
06/27/2018	QGV006224	DAVIS FAMILY OFFICE, HYRECAR INC COM	S	-2,466.28	20.00	10.00	10.00	50.0	5.00
06/27/2018	QGV006224	DAVIS FAMILY OFFICE, LOVESAC CO COM	S	-10,000.00	20.00	10.00	10.00	50.0	5.00
06/27/2018	QGV006224	DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM	S	-4,400.00	20.00	10.00	10.00	50.0	5.00
06/27/2018	QGV006224	DAVIS FAMILY OFFICE, NEON THERAPEUTICS INC COM	S	-3,200.00	20.00	10.00	10.00	50.0	5.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/27/2018	QGV006224	DAVIS FAMILY OFFICE, UNITI GROUP INC COMMON STOCK	S	-10,360.00	20.00	10.00	10.00	50.0	5.00
05/31/2018	QGV006349	UBS SECURITIES LLC ONCOLYTICS BIOTECH INC REGISTERED S B		230,954.50	1,050.00	10.00	1,040.00	50.0	520.00
05/31/2018	QGV006414	MERRILL LYNCH PROF METLIFE INC DEPOSITARY SHS REPSTG P S		-1,248,500.00	500.00	10.00	490.00	50.0	245.00
05/31/2018	QGV006414	MERRILL LYNCH PROF METLIFE INC DEPOSITARY SHS REPSTG P B		1,243,400.00	500.00	10.00	490.00	50.0	245.00
06/06/2018	QGV006414	MERRILL LYNCH PROF FIRST REP BK SAN FRANCISCO CALIF DE B		275,660.00	0.00	10.00	-10.00	50.0	-5.00
06/08/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S		-9,900.00	12.00	10.00	2.00	50.0	1.00
06/13/2018	QGV006414	MERRILL LYNCH PROF RENAISSANCERE HLDGS LTD DEPOSITARY B		249,200.00	0.00	10.00	-10.00	50.0	-5.00
06/21/2018	QGV006414	MERRILL LYNCH PROF FEDERAL NATL MTG ASSN PFD SER S DIV B		356,690.00	500.00	10.00	490.00	50.0	245.00
06/25/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S		-31,785.00	39.00	10.00	29.00	50.0	14.50
06/05/2018	QGW001356	ROTARY PARTNERS LLC PEGASI ENERGY RES CORP COM	S	-40.00	0.00	10.00	-10.00	50.0	-5.00
06/05/2018	QGW001356	ROTARY PARTNERS LLC PEGASI ENERGY RES CORP COM	S	40.00	0.00	-10.00	10.00	50.0	5.00 C
06/05/2018	QGW001356	ROTARY PARTNERS LLC PEGASI ENERGY RES CORP COM	S	-10.00	0.00	10.00	-10.00	50.0	-5.00
06/05/2018	QGW001356	ROTARY PARTNERS LLC POWER EFFICIENCY CORP COM PAR \$0.00 S		-445.38	50.00	10.00	40.00	50.0	20.00
06/05/2018	QGW001356	ROTARY PARTNERS LLC PROVISION HLDG INC COM	S	-1,776.65	135.12	10.00	125.12	50.0	62.56
06/05/2018	QGW001356	ROTARY PARTNERS LLC PROVISION HLDG INC COM	S	1,776.65	-135.12	-10.00	-125.12	50.0	-62.56 C
06/05/2018	QGW001356	ROTARY PARTNERS LLC PROVISION HLDG INC COM	S	-1,776.65	80.00	10.00	70.00	50.0	35.00
06/05/2018	QGW001356	ROTARY PARTNERS LLC U S S E CORP COM	S	-205.50	50.00	10.00	40.00	50.0	20.00
06/05/2018	QGW001356	ROTARY PARTNERS LLC VIKING INVTs GROUP INC COM	S	-1,800.00	136.40	10.00	126.40	50.0	63.20
06/05/2018	QGW001356	ROTARY PARTNERS LLC VIKING INVTs GROUP INC COM	S	1,800.00	-136.40	-10.00	-126.40	50.0	-63.20 C
06/05/2018	QGW001356	ROTARY PARTNERS LLC VIKING INVTs GROUP INC COM	S	-1,800.00	80.00	10.00	70.00	50.0	35.00
05/30/2018	QGW014847	ALBANESE, JOHN SUNOPTA INC COM ISIN#CA8676EP1086	S	-123,060.00	900.00	10.00	890.00	50.0	445.00
05/31/2018	QGW014847	ALBANESE, JOHN MACQUARIE INFRASTRUCTURE CORPORATIO S		-483,868.75	750.00	10.00	740.00	50.0	370.00
05/31/2018	QGW014847	ALBANESE, JOHN NEWELL BRANDS INC SHS	B	471,740.00	1,200.00	10.00	1,190.00	50.0	595.00
06/15/2018	QGW014847	ALBANESE, JOHN CAMPBELL SOUP CO	S	-257,285.70	420.00	10.00	410.00	50.0	205.00
06/18/2018	QGW014847	ALBANESE, JOHN HAIN CELESTIAL GROUP INC	B	285,770.00	600.00	10.00	590.00	50.0	295.00
06/22/2018	QGW014847	ALBANESE, JOHN HAIN CELESTIAL GROUP INC	S	-305,100.00	600.00	10.00	590.00	50.0	295.00
06/27/2018	QGW014847	ALBANESE, JOHN BOX INC CL A	B	126,680.00	300.00	10.00	290.00	50.0	145.00
06/05/2018	QGW015042	GINDI, RANDAL CAESARS ENTERTAINMENT CORPORATION	S	-117,500.00	600.00	10.00	590.00	50.0	295.00
06/15/2018	QGW015042	GINDI, RANDAL WILLIAM HILL PLC ADR ORD SHS ISIN#U S		-98,737.80	360.00	10.00	350.00	50.0	175.00
06/14/2018	QGW015075	RARE COIN GALLERIES, ARATANA THERAPEUTICS INC COM	S	-25,068.00	300.00	10.00	290.00	50.0	145.00
06/14/2018	QGW015075	RARE COIN GALLERIES, CAMPBELL SOUP CO	S	-359,937.00	600.00	10.00	590.00	50.0	295.00
06/14/2018	QGW015075	RARE COIN GALLERIES, INTERNATIONAL BUSINESS MACHINES COR S		-146,550.00	60.00	10.00	50.00	50.0	25.00
06/22/2018	QGW015075	RARE COIN GALLERIES, MACQUARIE INFRASTRUCTURE CORPORATIO S		-320,699.25	450.00	10.00	440.00	50.0	220.00
06/27/2018	QGW015075	RARE COIN GALLERIES, BOX INC CL A	B	126,680.00	300.00	10.00	290.00	50.0	145.00
06/18/2018	QGW015299	SCHNEIDER, WILLIAM WALKER INNOVATION INC COM	S	-7,057.50	150.00	10.00	140.00	50.0	70.00
05/30/2018	QGW024622	GOLD, ALAN MICRON TECHNOLOGY INC	S	-61,949.90	125.00	10.00	115.00	50.0	57.50
05/30/2018	QGW024630	GOLD, SUSAN MICRON TECHNOLOGY INC	S	-61,949.90	125.00	10.00	115.00	50.0	57.50
06/18/2018	QGW025033	SCHNEIDER, WILLIAM TWILIO INC CL A	B	15,200.75	125.00	10.00	115.00	50.0	57.50
06/18/2018	QGW036352	LAWRENCE ADAMS LTD BANK OF AMERICA CORP	S	-293,500.00	600.00	10.00	590.00	50.0	295.00
06/18/2018	QGW036352	LAWRENCE ADAMS LTD SNAP INC CLASS A COMMON STOCK	B	71,800.00	300.00	10.00	290.00	50.0	145.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
06/18/2018	QGW036352	LAWRENCE ADAMS LTD	SNAP INC CLASS A COMMON STOCK	B	211,011.00	900.00	10.00	890.00	50.0	445.00
06/20/2018	QGW036352	LAWRENCE ADAMS LTD	BOX INC CL A	B	145,884.00	300.00	10.00	290.00	50.0	145.00
06/20/2018	QGW036352	LAWRENCE ADAMS LTD	SNAP INC CLASS A COMMON STOCK	S	-255,044.00	600.00	10.00	590.00	50.0	295.00
06/27/2018	QGW038432	BRAND, MATTHEW	ZVELO INC COM	B	8,500.00	400.00	10.00	390.00	50.0	195.00
* STOCKS SUBTOTAL *					-11,997,896.13	\$31,076.08	\$2,230.00	\$28,846.08		\$14,423.05
PRODUCT CATEGORY: BONDS										
06/04/2018	QGV001076	CREDIT SUISSE	CITIZENS FINL GROUP INC JR SUB VAR	S	-3,016,200.00	1,800.00	10.00	1,790.00	50.0	895.00
* BONDS SUBTOTAL *					\$-3,016,200.00	\$1,800.00	\$10.00	\$1,790.00		\$895.00
PRODUCT CATEGORY: OPTIONS										
05/31/2018	QGV006216	LION	HANCOCK JAFFE LABORATORIES INC WT P	S	-6,800.00	80.00	10.00	70.00	50.0	35.00
06/06/2018	QGW015042	GINDI, RANDAL	CALL 100 SCIENTIFIC GAMES CORP COM	S	-34,360.00	140.00	10.00	130.00	50.0	65.00
06/06/2018	QGW015042	GINDI, RANDAL	CALL 100 TWITTER INC COM EXP 07-20-	S	-10,429.00	175.00	10.00	165.00	50.0	82.50
06/12/2018	QGW015042	GINDI, RANDAL	CALL 100 THE STARS GROUP INC EXP 08	S	-32,000.00	280.00	10.00	270.00	50.0	135.00
05/31/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	-114,975.32	500.00	10.00	490.00	50.0	245.00
05/31/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	114,975.32	-500.00	-10.00	-490.00	50.0	-245.00
05/31/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	-114,975.32	500.00	10.00	490.00	50.0	245.00
06/01/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	-45,000.00	100.00	10.00	90.00	50.0	45.00
06/04/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	-40,000.00	100.00	10.00	90.00	50.0	45.00
06/05/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	-42,500.00	100.00	10.00	90.00	50.0	45.00
06/11/2018	QGW031668	LION GATE CAPITAL	VIKING THERAPEUTICS INC WTS EXP 04/	S	-39,826.00	100.00	10.00	90.00	50.0	45.00
* OPTIONS SUBTOTAL *					\$-365,890.32	\$1,575.00	\$90.00	\$1,485.00		\$742.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/30/2018			FEE COUNT - 8		0.00	64.02	0.00	64.02	50.0	32.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$64.02	\$0.00	\$64.02		\$32.04
*** BROKER TRANSACTIONS TOTAL ***					-15,379,986.45	\$34,515.10	\$2,330.00	\$32,185.10		\$16,092.59
ADJUSTMENTS										
06/30/2018			ADVANCE: COMMISSION 06302018		0.00	0.00	0.00	0.00		-5,000.00
06/30/2018			OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
06/30/2018			OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
06/30/2018			OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
06/30/2018			OTHER: OTHER-CORE TECH		0.00	0.00	0.00	0.00		-119.80
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-5,256.57

**BROKER COMMISSION TOTALS**

Broker Transactions	\$16,092.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,256.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-43.14
SIPC Assessment	\$-86.29

<b>Check Amount</b>	<b>\$10,706.59</b>
<b>Year-to-date Earnings</b>	<b>\$90,678.74</b>