

# STATEMENT

## February 20, 2018

**MATTHEW HOLMAN**  
7545 Charmont Dr. #1204  
SUITE 620  
San Diego, CA 92191

**BROKER #: 138**  
**BRANCH:**

### COMMISSION STATEMENT for MATTHEW HOLMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

/ /	#075117000054	PBIOIS	SMALL CAP VALUE FUND	0.00	0.46	93.0	0.00	0.43	0.00	0.43
01/02/0018	#81063864401	DE LOS REYES	COLLEGE BOUND 529	300.00	3.00	93.0	0.00	2.79	0.00	2.79
01/02/0018	#81249375201	DE LOS REYES	COLLEGE BOUND 529	300.00	3.00	93.0	0.00	2.79	0.00	2.79
12/31/2017	#0051528701	PAI TRUST	BLCKRCK	0.00	3.76	93.0	0.00	3.50	0.00	3.50
12/31/2017	#0051528701	PAI TRUST	BLCKRCK	0.00	2.89	93.0	0.00	2.69	0.00	2.69
12/31/2017	#81063864401	DE LOS REYES	COLLEGE BOUND	0.00	68.27	93.0	0.00	63.49	0.00	63.49
12/31/2017	#81249375201	DE LOS REYES	COLLEGE BOUND	0.00	68.27	93.0	0.00	63.49	0.00	63.49
12/31/2017	#81063864401	DE LOS REYES	COLLEGE BOUND 529	0.00	3.61	93.0	0.00	3.36	0.00	3.36
12/31/2017	#81249375201	DE LOS REYES	COLLEGE BOUND 529	0.00	3.61	93.0	0.00	3.36	0.00	3.36
01/05/2018	#000000000915417	DOUP, ERIN	FRANKLIN GROWTH OPPORTUNITIES FUND	300.00	15.00	93.0	0.00	13.95	0.00	13.95
01/05/2018	#000000007275346	DOUP, ERIN	FRK GTH INC ALC 529 PORT CL A	350.00	17.50	93.0	0.00	16.28	0.00	16.28
01/05/2018	#0000000003344811	DOUP, ERIN	FRK TEM FOUNDING FDS ALLOC FUND-CLS	300.00	15.00	93.0	0.00	13.95	0.00	13.95
01/16/2018	#05002827888	DE LOS REYES,	INVESCO REAL ESTATE FUND CL A	200.00	8.00	93.0	0.00	7.44	0.00	7.44
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$1,750.00</b>	<b>\$212.37</b>		<b>\$0.00</b>	<b>\$197.52</b>	<b>\$0.00</b>	<b>\$197.52</b>

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017	#08260187474	LOGEMANN, PAM	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	6.40	93.0	0.00	5.95	0.00	5.95
01/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO ENDEAVOR FUND - CLASS A	0.00	2.10	93.0	0.00	1.95	0.00	1.95
01/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO INTERNATIONAL GROWTH FUND C	0.00	3.63	93.0	0.00	3.38	0.00	3.38
01/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO REAL ESTATE FUND - CLASS B	0.00	1.28	93.0	0.00	1.19	0.00	1.19
01/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO REAL ESTATE FUND CL A	0.00	9.34	93.0	0.00	8.69	0.00	8.69
01/01/2018	#03910993470	VARIOUS HOLMAN	INVESCO REAL ESTATE FUND CL A	0.00	0.86	93.0	0.00	0.80	0.00	0.80
01/01/2018	#05002827888	DE LOS REYES,	INVESCO REAL ESTATE FUND CL A	0.00	49.38	93.0	0.00	45.92	0.00	45.92
01/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	15.32	93.0	0.00	14.25	0.00	14.25
01/01/2018	#01000079235	VARIOUS HOLMAN	INVESCO VAN KAMPEN COMSTOCK FUND CL	0.00	1.35	93.0	0.00	1.26	0.00	1.26
01/04/2018	#04321014942	LOGEMANN, PAM	PIMCO INCOME FUND CLASS D	0.00	3.33	93.0	0.00	3.10	0.00	3.10
01/08/2018	#	MOREY, BRUCE	DAIN TRAILER	0.00	4.00	93.0	0.00	3.72	0.00	3.72
01/10/2018	#	PERRY, ROBERT	DAIN TRAILER	0.00	2.18	93.0	0.00	2.03	0.00	2.03

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
01/10/2018	# BATTAH, SANDRA	DAIN TRAILER	0.00	10.47	93.0	0.00	9.74	0.00	9.74
01/10/2018	# BATTAH, SANDRA	DAIN TRAILER	0.00	5.73	93.0	0.00	5.33	0.00	5.33
01/10/2018	# WALCHER, JEFF	DAIN TRAILER	0.00	0.28	93.0	0.00	0.26	0.00	0.26
01/10/2018	# WALCHER, JEFF	DAIN TRAILER	0.00	10.10	93.0	0.00	9.39	0.00	9.39
01/10/2018	# HOLMAN, ROGER	DAIN TRAILER	0.00	2.48	93.0	0.00	2.31	0.00	2.31
01/10/2018	# HOLMAN, ROGER	DAIN TRAILER	0.00	1.12	93.0	0.00	1.04	0.00	1.04
01/10/2018	# LOGEMANN, PAM	DAIN TRAILER	0.00	1.42	93.0	0.00	1.32	0.00	1.32
01/10/2018	# LOGEMANN, PAM	DAIN TRAILER	0.00	0.44	93.0	0.00	0.41	0.00	0.41
01/10/2018	# KHETARPAL, BINDU	DAIN TRAILER	0.00	7.36	93.0	0.00	6.84	0.00	6.84
01/10/2018	# CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	3.04	93.0	0.00	2.83	0.00	2.83
01/10/2018	# CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	13.52	93.0	0.00	12.57	0.00	12.57
01/10/2018	# CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	15.55	93.0	0.00	14.46	0.00	14.46
01/10/2018	# CONFEREN, SOUTHWESTERN LA	DAIN TRAILER	0.00	31.06	93.0	0.00	28.89	0.00	28.89
01/10/2018	# ETting, PHILLIP	DAIN TRAILER	0.00	6.60	93.0	0.00	6.14	0.00	6.14
01/10/2018	# ETting, PHILLIP	DAIN TRAILER	0.00	2.26	93.0	0.00	2.10	0.00	2.10
01/10/2018	# ETting, PHILLIP	DAIN TRAILER	0.00	0.10	93.0	0.00	0.09	0.00	0.09
01/10/2018	# ETting, PHILLIP	DAIN TRAILER	0.00	2.21	93.0	0.00	2.06	0.00	2.06
01/10/2018	# FLORES, LAURIE	DAIN TRAILER	0.00	6.39	93.0	0.00	5.94	0.00	5.94
01/10/2018	# FLORES, LAURIE	DAIN TRAILER	0.00	0.08	93.0	0.00	0.07	0.00	0.07
01/10/2018	# FLORES, LAURIE	DAIN TRAILER	0.00	6.88	93.0	0.00	6.40	0.00	6.40
01/10/2018	# FLORES, LAURIE	DAIN TRAILER	0.00	4.01	93.0	0.00	3.73	0.00	3.73
01/10/2018	# FLORES, LAURIE	DAIN TRAILER	0.00	3.67	93.0	0.00	3.41	0.00	3.41
01/10/2018	# MOREY, BRUCE	DAIN TRAILER	0.00	11.16	93.0	0.00	10.38	0.00	10.38
01/10/2018	# MOREY, BRUCE	DAIN TRAILER	0.00	27.04	93.0	0.00	25.15	0.00	25.15
01/10/2018	# MOREY, BRUCE	DAIN TRAILER	0.00	16.72	93.0	0.00	15.55	0.00	15.55
01/10/2018	# MOREY, BRUCE	DAIN TRAILER	0.00	8.74	93.0	0.00	8.13	0.00	8.13
01/10/2018	# PERRY, ROBERT	DAIN TRAILER	0.00	0.70	93.0	0.00	0.65	0.00	0.65
01/10/2018	# DE LOS REYES, RAY	DAIN TRAILER	0.00	1.33	93.0	0.00	1.24	0.00	1.24
01/10/2018	# DE LOS REYES, RAY	DAIN TRAILER	0.00	2.56	93.0	0.00	2.38	0.00	2.38
01/10/2018	# DE LOS REYES, RAY	DAIN TRAILER	0.00	1.31	93.0	0.00	1.22	0.00	1.22
01/19/2018	# KHETARPAL, BINDU	DAIN TRAILER	0.00	4.32	93.0	0.00	4.02	0.00	4.02
01/31/2018	#000000000915417 DOUP, ERIN	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	8.28	93.0	0.00	7.70	0.00	7.70
01/31/2018	#000000000089781 HOLMAN	FRANKLIN STRATEGIC SER SMALL CAP GR	0.00	0.02	93.0	0.00	0.02	0.00	0.02
01/31/2018	#000080191582887 UTMA	FRANKLIN TEMPLETON FUNDS DYNATECH S	0.00	2.26	93.0	0.00	2.10	0.00	2.10
01/31/2018	#0000000000618912 ETting,	FRANKLIN TEMPLETON FUNDS MUTUAL FIN	0.00	27.86	93.0	0.00	25.91	0.00	25.91
01/31/2018	#0000000007275346 DOUP, ERIN	FRK GTH INC ALC 529 PORT CL A	0.00	5.70	93.0	0.00	5.30	0.00	5.30

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/31/2018	#000000003344811	DOUP, ERIN FRK TEM FOUNDING FDS ALLOC FUND-CLS	0.00	7.62	93.0	0.00	7.09	0.00	7.09
01/31/2018	#000000001699925	HOLMAN MUTUAL SER FD SHARES FD CL A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
01/31/2018	#000000000425138	ETTING, TEMPLETON CHINA WORLD FUND CLASS A	0.00	9.15	93.0	0.00	8.51	0.00	8.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$368.80		\$0.00	\$343.00	\$0.00	\$343.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,750.00	\$581.17		\$0.00	\$540.52	\$0.00	\$540.52

BROKER COMMISSION TOTALS

Broker Transactions	\$540.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$540.52
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