

COMMISSION STATEMENT

April 30, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: C20

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/16/2018	QGW031809	SABER, MARK	CATASYS INC COM PAR\$.0001 2017	S	-9,800.00	160.00	17.00	143.00	75.0	103.00
04/16/2018	QGW031809	SABER, MARK	PROSHARES TRUST PROSHARES SHORT S&P B		29,826.60	100.00	17.00	83.00	75.0	58.00
03/29/2018	QGW031833	CASSADY REVOCABLE	CATASYS INC COM PAR\$.0001 2017	S	-9,440.00	120.00	17.00	103.00	75.0	73.00
03/29/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-23,838.50	300.00	17.00	283.00	75.0	208.00
03/29/2018	QGW031882	MICKES, THOMAS	PROSHARES TRUST PROSHARES SHORT S&P B		60,870.00	150.00	17.00	133.00	75.0	95.50
04/02/2018	QGW031882	MICKES, THOMAS	PROSHARES TRUST PROSHARES SHORT S&P S		-156,300.00	750.00	17.00	733.00	75.0	545.50
04/05/2018	QGW031882	MICKES, THOMAS	PROSHARES TRUST PROSHARES SHORT S&P B		90,269.70	300.00	17.00	283.00	75.0	208.00
04/09/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-487.00	8.00	17.00	-9.00	75.0	-11.00
04/09/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-11,353.92	200.00	17.00	183.00	75.0	133.00
04/09/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	487.00	-8.00	-17.00	9.00	75.0	11.00
04/09/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	11,353.92	-200.00	-17.00	-183.00	75.0	-133.00
04/09/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-11,840.75	200.00	17.00	183.00	75.0	133.00
04/10/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-11,875.00	200.00	17.00	183.00	75.0	133.00
04/16/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-14,700.00	240.00	17.00	223.00	75.0	163.00
04/16/2018	QGW031882	MICKES, THOMAS	PROSHARES TRUST PROSHARES SHORT S&P B		59,658.00	200.00	17.00	183.00	75.0	133.00
04/20/2018	QGW031882	MICKES, THOMAS	CATASYS INC COM PAR\$.0001 2017	S	-9,840.00	120.00	17.00	103.00	75.0	73.00
04/25/2018	QGW031882	MICKES, THOMAS	PROSHARES TRUST PROSHARES SHORT S&P S		-60,665.60	150.00	17.00	133.00	75.0	95.50
04/25/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	B	32,497.50	400.00	17.00	383.00	75.0	283.00
04/16/2018	QGW031890	MICKES, THOMAS	NEONODE INC COM NEW	S	-6,750.00	80.00	17.00	63.00	75.0	43.00
03/29/2018	QGW031957	COLE, PHILLIP	CATASYS INC COM PAR\$.0001 2017	S	-7,082.55	90.00	17.00	73.00	75.0	50.50
04/02/2018	QGW031957	COLE, PHILLIP	PROSHARES TRUST PROSHARES SHORT S&P S		-31,260.10	150.00	17.00	133.00	75.0	95.50
04/05/2018	QGW031957	COLE, PHILLIP	PROSHARES TRUST PROSHARES SHORT S&P B		30,080.00	100.00	17.00	83.00	75.0	58.00
04/10/2018	QGW031957	COLE, PHILLIP	CATASYS INC COM PAR\$.0001 2017	S	-7,131.00	100.00	17.00	83.00	75.0	58.00
04/16/2018	QGW031957	COLE, PHILLIP	CATASYS INC COM PAR\$.0001 2017	S	-9,800.00	160.00	17.00	143.00	75.0	103.00
04/16/2018	QGW031957	COLE, PHILLIP	PROSHARES TRUST PROSHARES SHORT S&P B		29,827.90	100.00	17.00	83.00	75.0	58.00
04/25/2018	QGW031957	COLE, PHILLIP	PROSHARES TRUST PROSHARES SHORT S&P S		-30,342.10	75.00	17.00	58.00	75.0	39.25
04/25/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW	B	32,500.00	400.00	17.00	383.00	75.0	283.00
* STOCKS SUBTOTAL *					\$-35,135.90	\$4,645.00	\$391.00	\$4,254.00		\$3,092.75

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

03/29/2018	QGW031833	CASSADY REVOCABLE	PUT 100 CVS HEALTH CORP COM EXP 04- B	3,000.00	90.00	35.00	55.00	75.0	32.50
* OPTIONS SUBTOTAL *				\$3,000.00	\$90.00	\$35.00	\$55.00		\$32.50
*** BROKER TRANSACTIONS TOTAL ***				\$-32,135.90	\$4,735.00	\$426.00	\$4,309.00		\$3,125.25

ADJUSTMENTS

04/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,125.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.92
SIPC Assessment	\$-11.84

Check Amount	\$3,079.49
Year-to-date Earnings	\$26,805.20