



All Companies

05/14/2018

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COMMISSION STATEMENT

May 15, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	166.93	166.93	0.00	166.93	70.0	116.85
04/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	4.68	4.68	0.00	4.68	70.0	3.28
04/25/2018	00067411342	BROTHERTON, RALPH AMERICAN FUNDS FUNDAMENTAL	INVEST B	24,000.00	384.00	0.00	384.00	70.0	268.80
05/04/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
05/04/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
05/04/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
05/04/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
04/27/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/04/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/04/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/27/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/04/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/27/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/04/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/27/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/04/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
04/27/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
05/04/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/27/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/04/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
05/04/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/27/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/27/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/04/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
05/04/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
04/27/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	54.51	0.55	0.00	0.55	70.0	0.39
04/27/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	32.71	0.33	0.00	0.33	70.0	0.23
05/04/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.50	0.31	0.00	0.31	70.0	0.22
05/04/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	50.84	0.51	0.00	0.51	70.0	0.36
04/27/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
04/27/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
05/04/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
04/27/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	27.80	0.28	0.00	0.28	70.0	0.20
04/27/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
05/04/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	26.93	0.27	0.00	0.27	70.0	0.19
05/04/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	26.93	0.27	0.00	0.27	70.0	0.19
04/27/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/27/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
05/04/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	23.70	0.24	0.00	0.24	70.0	0.17
05/04/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/27/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	18.67	0.19	0.00	0.19	70.0	0.13
04/27/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
05/04/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	19.95	0.20	0.00	0.20	70.0	0.14
04/17/2018	01447765114	BANKS, BRIAN	FIDELITY ADV STRATEGIC INCOME CL C	B	5,000.00	50.00	0.00	50.00	70.0	35.00
04/27/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
04/27/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	19.20	0.19	0.00	0.19	70.0	0.13
05/04/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	24.24	0.24	0.00	0.24	70.0	0.17
05/04/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *					\$32,187.39	\$635.79	\$0.00	\$635.79		\$445.13
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/30/2018	TRAILS	-JOHN HANCOCK		B	504.27	504.27	0.00	504.27	70.0	352.99
04/30/2018	TRAILS	-SECURITY BENEFIT		B	127.85	127.85	0.00	127.85	70.0	89.50
* VARIABLE ANNUITIES SUBTOTAL *					\$632.12	\$632.12	\$0.00	\$632.12		\$442.49
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES			0.00	43.43	0.00	43.43	70.0	30.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$43.43	\$0.00	\$43.43		\$30.39
*** BROKER TRANSACTIONS TOTAL ***					\$32,819.51	\$1,311.34	\$0.00	\$1,311.34		\$918.01

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ADJUSTMENTS

05/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$918.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$790.51
Year-to-date Earnings	\$7,498.20