

COMMISSION STATEMENT

April 03, 2017

BILLY BEHRENS
183 GRIFFEN AVENUE

BROKER #:
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/10/2017	75501355 BEHRENS, WILLIAM	ADVANCED MICRO DEVICES INC	B	13,500.00	100.00	0.00	100.00	50.0	50.00
03/10/2017	75501355 BEHRENS, WILLIAM	ARBOR REALTY TRUST INC	S	3,882.00	60.00	0.00	60.00	50.0	30.00
03/01/2017	75501360 BEHRENS, MICHAEL	AGNICO-EAGLE MINES LTD	B	41,448.95	150.00	0.00	150.00	50.0	75.00
03/01/2017	75501360 BEHRENS, MICHAEL	ALIBABA GROUP HOLDING LTD SPONSORED S	S	52,460.00	350.00	0.00	350.00	50.0	175.00
03/01/2017	75501360 BEHRENS, MICHAEL	MYLAN N V SHS EURO	S	22,608.00	300.00	0.00	300.00	50.0	150.00
03/01/2017	75501360 BEHRENS, MICHAEL	SEAGATE TECHNOLOGY PLC	S	24,670.00	200.00	0.00	200.00	50.0	100.00
03/01/2017	75501360 BEHRENS, MICHAEL	SILVER WHEATON CORP	B	19,510.00	150.00	0.00	150.00	50.0	75.00
03/03/2017	75501360 BEHRENS, MICHAEL	SNAP INC CLASS A COMMON STOCK	B	13,713.40	125.00	0.00	125.00	50.0	62.50
03/03/2017	75501360 BEHRENS, MICHAEL	SNAP INC CLASS A COMMON STOCK	S	-13,713.40	-125.00	0.00	-125.00	50.0	-62.50 C
03/07/2017	75501360 BEHRENS, MICHAEL	ALIBABA GROUP HOLDING LTD SPONSORED S	S	51,465.00	325.00	0.00	325.00	50.0	162.50
03/07/2017	75501360 BEHRENS, MICHAEL	FACEBOOK INC CL A	S	13,745.00	100.00	0.00	100.00	50.0	50.00
03/09/2017	75501360 BEHRENS, MICHAEL	WESTERN DIGITAL CORP	S	37,067.50	250.00	0.00	250.00	50.0	125.00
03/10/2017	75501360 BEHRENS, MICHAEL	UNIVERSAL DISPLAY CORPORATION	B	41,775.00	150.00	0.00	150.00	50.0	75.00
03/20/2017	75501360 BEHRENS, MICHAEL	ADVANCED MICRO DEVICES INC	B	27,260.00	150.00	0.00	150.00	50.0	75.00
03/21/2017	75501360 BEHRENS, MICHAEL	SEAGATE TECHNOLOGY PLC	S	22,991.00	200.00	0.00	200.00	50.0	100.00
03/27/2017	75501360 BEHRENS, MICHAEL	FITBIT INC CLASS A COM	S	11,463.00	60.00	0.00	60.00	50.0	30.00
03/28/2017	75501360 BEHRENS, MICHAEL	WHITING PETROLEUM CORPORATION	B	8,350.00	75.00	0.00	75.00	50.0	37.50
02/27/2017	75501364 GOBLECK, JASON	HOUSTON AMERICAN ENERGY CORP	S	23.46	0.00	0.00	0.00	50.0	0.00
03/27/2017	75501379 BANDLER, JAMES	VAREX IMAGING CORP COM	B	3,081.51	55.00	0.00	55.00	50.0	27.50
03/10/2017	75501394 ALBERT E HAVERKAMP	UNIVERSAL DISPLAY CORPORATION	B	20,887.50	125.00	0.00	125.00	50.0	62.50
03/27/2017	75501394 ALBERT E HAVERKAMP	FITBIT INC CLASS A COM	S	5,731.00	60.00	0.00	60.00	50.0	30.00
03/03/2017	75501823 HOGAN, MARTIN	SNAP INC CLASS A COMMON STOCK	B	13,713.40	125.00	0.00	125.00	50.0	62.50
03/03/2017	75501823 HOGAN, MARTIN	SNAP INC CLASS A COMMON STOCK	S	-13,713.40	-125.00	0.00	-125.00	50.0	-62.50 C
* STOCKS SUBTOTAL *				\$421,918.92	\$2,860.00	\$0.00	\$2,860.00		\$1,430.00
<u>PRODUCT CATEGORY: BONDS</u>									
03/28/2017	75501435 PINSKY, SHIRLEY	UNITED STATES TREASURY BILL	B	161,310.69	0.00	0.00	0.00	50.0	0.00
03/28/2017	75501436 PINSKY, IRWIN	UNITED STATES TREASURY BILL	B	172,196.68	0.00	0.00	0.00	50.0	0.00
03/28/2017	75501437 PINSKY, IRWIN	UNITED STATES TREASURY BILL	B	79,170.89	0.00	0.00	0.00	50.0	0.00
* BONDS SUBTOTAL *				\$412,678.26	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

03/28/2017	75501360	BEHRENS, MICHAEL	CALL FCX	08/18/17	15	S	570.00	100.00	0.00	100.00	50.0	50.00
03/28/2017	75501360	BEHRENS, MICHAEL	CALL FCX	08/18/17	15	B	-570.00	-100.00	0.00	-100.00	50.0	-50.00
03/28/2017	75501360	BEHRENS, MICHAEL	CALL FCX	08/18/17	15	S	570.00	75.00	0.00	75.00	50.0	37.50
* OPTIONS SUBTOTAL *							\$570.00	\$75.00	\$0.00	\$75.00		\$37.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/10/2017	75501360	BEHRENS, MICHAEL	LORD ABBETT SHORT DURATION INCOME F B	0.00	106.84	0.00	106.84	50.0	53.42
03/10/2017	75501360	BEHRENS, MICHAEL	LORD ABBETT MULTI ASSET INCOME FUND B	0.00	18.76	0.00	18.76	50.0	9.38
03/10/2017	75501394	ALBERT E HAVERKAMP	LORD ABBETT CALIFORNIA TAX FREE INC B	0.00	24.44	0.00	24.44	50.0	12.22
03/10/2017	75501466	CARTOZIAN, JANET	LOOMIS SAYLES STRTEGC INC FD CL C B	0.00	3.32	0.00	3.32	50.0	1.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$153.36	\$0.00	\$153.36		\$76.68
*** BROKER TRANSACTIONS TOTAL ***				\$835,167.18	\$3,088.36	\$0.00	\$3,088.36		\$1,544.18

ADJUSTMENTS

04/03/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,544.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.86
SIPC Assessment	\$-57.91

Check Amount	\$1,462.41
Year-to-date Earnings	\$3,283.81