

**COMMISSION STATEMENT****July 31, 2018**DAVID, Z116 ZUPEK
1126 Bichara Blvd

BROKER #: Z116

BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|--------------------------------|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 07/16/2018 | MARTIN, CHARMAINE | JNL PERSPECTIVE II 05/05 | 50.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 07/16/2018 | HUDEC, JOANNE | SECURITY LIFE OF DENVER VUL-DB | 300.00 | 7.50 | 0.00 | 7.50 | 90.0 | 6.75 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$350.00 | \$11.25 | \$0.00 | \$11.25 | | \$10.13 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 07/05/2018 | JOHNSON, ROBERT | ADVANTAGE | 72,517.80 | 81.58 | 0.00 | 81.58 | 90.0 | 73.42 |
| 07/02/2018 | CHAPMAN, GINGER | BRIGHTHOUSE | 0.00 | 38.98 | 0.00 | 38.98 | 90.0 | 35.08 |
| 07/04/2018 | HARTGERINK, EDWARD | ING LANDMARK | 28,191.29 | 70.48 | 0.00 | 70.48 | 90.0 | 63.43 |
| 07/05/2018 | JOHNSON, ROBERT | ING LANDMARK | 17,017.00 | 42.54 | 0.00 | 42.54 | 90.0 | 38.29 |
| 07/07/2018 | FALBO, LEWIS | ING LANDMARK | 174,504.39 | 436.26 | 0.00 | 436.26 | 90.0 | 392.63 |
| 07/07/2018 | FALBO, LEWIS | ING LANDMARK | 155,076.02 | 387.69 | 0.00 | 387.69 | 90.0 | 348.92 |
| 07/07/2018 | FALBO, LEWIS | ING LANDMARK | 188,799.81 | 471.95 | 0.00 | 471.95 | 90.0 | 424.76 |
| 07/10/2018 | HARTMAN, EDWARD | ING LANDMARK | 89,430.63 | 223.58 | 0.00 | 223.58 | 90.0 | 201.22 |
| 07/18/2018 | BOLAND, TERRENCE | ING PREMIUM PLUS | 69,781.83 | 130.84 | 0.00 | 130.84 | 90.0 | 117.76 |
| 07/16/2018 | BRUNNER, KENNETH | VOYA RETIREMENT & ANNUITY | 239,646.09 | 149.78 | 0.00 | 149.78 | 90.0 | 134.80 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$1,034,964.86 | \$2,033.68 | \$0.00 | \$2,033.68 | | \$1,830.31 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$1,035,314.86 | \$2,044.93 | \$0.00 | \$2,044.93 | | \$1,840.44 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: MARK-H122 HUBER #10</u> | | | | | | | | |
| 07/16/2018 | RACZKA, PHILLIP | MFS AGGRESS GROWTH ALLOCATION 529A | 150.00 | 7.50 | 0.00 | 7.50 | 0.0 | 6.75 |
| 07/05/2018 | CHOWANIEC, WOJCIECH | MFS GROWTH ALLOCATION 529C | 200.00 | 2.00 | 0.00 | 2.00 | 0.0 | 1.80 |
| 07/16/2018 | RACZKA, PHILLIP | MFS RESEARCH INTERNATIONAL FUND 529 | 150.00 | 7.50 | 0.00 | 7.50 | 0.0 | 6.75 |
| * MARK-H122 HUBER SUBTOTAL * | | | \$500.00 | \$17.00 | \$0.00 | \$17.00 | | \$15.30 |
| <u>BROKER: MARK-H122 HUBER #89</u> | | | | | | | | |
| / / | KOZIOL, CHRISTOPHER | BLACKROCK 67776G469 | 0.00 | 6.61 | 0.00 | 6.61 | 0.0 | 5.95 |
| / / | KOZIOL, CHRISTOPHER | BLACKROCK GROWTH PORTFOLIO CL A | 0.00 | 4.25 | 0.00 | 4.25 | 0.0 | 3.83 |
| 06/30/2018 | KOZIOL, CHRISTOPHER | BLK GROWTH PORT C1 | 0.00 | 5.61 | 0.00 | 5.61 | 0.0 | 5.05 |
| 06/30/2018 | KOZIOL, CHRISTOPHER | BLK GROWTH PORT C1 | 0.00 | 5.61 | 0.00 | 5.61 | 0.0 | 5.05 |
| 07/01/2018 | WIKAR, HALINA | MFS LIFETIME 2050 FUND C | 0.00 | 30.33 | 0.00 | 30.33 | 0.0 | 27.30 |
| 07/01/2018 | RACZKA, PHILLIP | MFS AGGRESS GROWTH ALLOCATION 529A | 0.00 | 6.08 | 0.00 | 6.08 | 0.0 | 5.47 |
| 07/01/2018 | ROMANEK, ELIZABETH | MFS AGGRESSIVE GROWTH ALLOCATION A | 0.00 | 1.12 | 0.00 | 1.12 | 0.0 | 1.01 |
| 07/01/2018 | TARCZYNSKI, LESTER | MFS AGGRESSIVE GROWTH ALLOCATION A | 0.00 | 4.31 | 0.00 | 4.31 | 0.0 | 3.88 |
| 07/01/2018 | KWAK, ANNA | MFS AGGRESSIVE GROWTH ALLOCATION A | 0.00 | 0.46 | 0.00 | 0.46 | 0.0 | 0.41 |
| 07/01/2018 | WIKAR, MAGDALENA | MFS AGGRESSIVE GROWTH ALLOCATION A | 0.00 | 3.07 | 0.00 | 3.07 | 0.0 | 2.76 |
| 07/01/2018 | DENEK, SABINA | MFS AGGRESSIVE GROWTH ALLOCATION B | 0.00 | 0.42 | 0.00 | 0.42 | 0.0 | 0.38 |
| 07/01/2018 | STELMASIAK, BERENIKA | MFS AGGRESSIVE GROWTH ALLOCATION B | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.05 |
| 07/01/2018 | ROMANEK, ELIZABETH | MFS AGGRESSIVE GROWTH ALLOCATION C | 0.00 | 1.40 | 0.00 | 1.40 | 0.0 | 1.26 |
| 07/01/2018 | TARCZYNSKI, LESTER | MFS AGGRESSIVE GROWTH ALLOCATION C | 0.00 | 13.25 | 0.00 | 13.25 | 0.0 | 11.93 |
| 07/01/2018 | KWAK, ANNA | MFS AGGRESSIVE GROWTH ALLOCATION C | 0.00 | 21.38 | 0.00 | 21.38 | 0.0 | 19.24 |
| 07/01/2018 | KOENIG, ANNA | MFS AGGRESSIVE GRWTH ALLOC FD-529B | 0.00 | 0.33 | 0.00 | 0.33 | 0.0 | 0.30 |
| 07/01/2018 | WAL, SEBASTIAN | MFS BLENDED RESEARCH CORE C | 0.00 | 21.31 | 0.00 | 21.31 | 0.0 | 19.18 |
| 07/01/2018 | WIKAR, HALINA | MFS BLENDED RESEARCH CORE C | 0.00 | 18.85 | 0.00 | 18.85 | 0.0 | 16.97 |
| 07/01/2018 | THOMAS, SYLVIA | MFS BLENDED RESEARCH CORE C | 0.00 | 9.04 | 0.00 | 9.04 | 0.0 | 8.14 |
| 07/01/2018 | WIKAR, HALINA | MFS BLENDED RESEARCH CORE C | 0.00 | 1.97 | 0.00 | 1.97 | 0.0 | 1.77 |
| 07/01/2018 | KRELLER, KRISTYNA | MFS BLENDED RESEARCH CORE C | 0.00 | 3.34 | 0.00 | 3.34 | 0.0 | 3.01 |
| 07/01/2018 | BECKER, KARINA | MFS BLENDED RESEARCH CORE C | 0.00 | 19.17 | 0.00 | 19.17 | 0.0 | 17.25 |
| 07/01/2018 | PIETRZYK, JAN | MFS BLENDED RESEARCH CORE C | 0.00 | 10.47 | 0.00 | 10.47 | 0.0 | 9.42 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS BLENDED RESEARCH CORE C | 0.00 | 10.47 | 0.00 | 10.47 | 0.0 | 9.42 |
| 07/01/2018 | KWAK, ANNA | MFS BLENDED RESEARCH CORE C | 0.00 | 4.04 | 0.00 | 4.04 | 0.0 | 3.64 |
| 07/01/2018 | WIKAR, HALINA | MFS BLENDED RESEARCH CORE EQUITY FD | 0.00 | 1.59 | 0.00 | 1.59 | 0.0 | 1.43 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS BLENDED RESEARCH CORE FD B | 0.00 | 5.22 | 0.00 | 5.22 | 0.0 | 4.70 |
| 07/01/2018 | SIENKIEWICZ, TOMASZ | MFS BLENDED RESEARCH CORE FD B | 0.00 | 0.82 | 0.00 | 0.82 | 0.0 | 0.74 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | DABROWSKI, PAUL | MFS BLENDED RESEARCH CORE FD B | 0.00 | 3.52 | 0.00 | 3.52 | 0.0 | 3.17 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS BLENDED RESEARCH CORE FD B | 0.00 | 4.73 | 0.00 | 4.73 | 0.0 | 4.26 |
| 07/01/2018 | GUTT, MARTIN | MFS BLENDED RESEARCH CORE FD B | 0.00 | 1.84 | 0.00 | 1.84 | 0.0 | 1.66 |
| 07/01/2018 | WALCZYK, ANNA | MFS BLENDED RESEARCH CORE FD B | 0.00 | 5.81 | 0.00 | 5.81 | 0.0 | 5.23 |
| 07/01/2018 | WALCZYK, MAREK | MFS BLENDED RESEARCH CORE FD B | 0.00 | 6.03 | 0.00 | 6.03 | 0.0 | 5.43 |
| 07/10/2018 | GUTT, MARTIN | MFS BLENDED RESEARCH CORE FD B | 100.00 | 4.00 | 0.00 | 4.00 | 0.0 | 3.60 |
| 07/16/2018 | SIENKIEWICZ, TOMASZ | MFS BLENDED RESEARCH CORE FD B | 25.00 | 1.00 | 0.00 | 1.00 | 0.0 | 0.90 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS BOND FUND B | 0.00 | 6.91 | 0.00 | 6.91 | 0.0 | 6.22 |
| 07/01/2018 | ZGAJEWSKI, MARIUSZ | MFS BOND FUND B | 0.00 | 3.21 | 0.00 | 3.21 | 0.0 | 2.89 |
| 07/01/2018 | DABROWSKI, PAUL | MFS BOND FUND B | 0.00 | 2.89 | 0.00 | 2.89 | 0.0 | 2.60 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS CONSERVATIVE ALLOCATION A | 0.00 | 0.38 | 0.00 | 0.38 | 0.0 | 0.34 |
| 07/01/2018 | SWIDERSKA, HELENA | MFS CONSERVATIVE ALLOCATION A | 0.00 | 2.51 | 0.00 | 2.51 | 0.0 | 2.26 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS CONSERVATIVE ALLOCATION A | 0.00 | 0.78 | 0.00 | 0.78 | 0.0 | 0.70 |
| 07/01/2018 | KONIECZNY, EWA | MFS CONSERVATIVE ALLOCATION A | 0.00 | 1.44 | 0.00 | 1.44 | 0.0 | 1.30 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 1.74 | 0.00 | 1.74 | 0.0 | 1.57 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 1.19 | 0.00 | 1.19 | 0.0 | 1.07 |
| 07/01/2018 | JUSCINSKA, AGNIESZKA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 6.80 | 0.00 | 6.80 | 0.0 | 6.12 |
| 07/01/2018 | JARZAREK, MAGDALENA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 3.22 | 0.00 | 3.22 | 0.0 | 2.90 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 1.17 | 0.00 | 1.17 | 0.0 | 1.05 |
| 07/01/2018 | STRZECKA, SYLWIA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 0.12 | 0.00 | 0.12 | 0.0 | 0.11 |
| 07/01/2018 | SZWEDO, MALGORZATA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 0.26 | 0.00 | 0.26 | 0.0 | 0.23 |
| 07/01/2018 | LOWISZ, WLADYSLAWA | MFS CONSERVATIVE ALLOCATION B | 0.00 | 2.20 | 0.00 | 2.20 | 0.0 | 1.98 |
| 07/01/2018 | KONIECZNY, EWA | MFS CONSERVATIVE ALLOCATION FUND C | 0.00 | 1.83 | 0.00 | 1.83 | 0.0 | 1.65 |
| 07/01/2018 | CCJ CONSULTING CO SEP PLA | MFS CORE EQUITY A | 0.00 | 1.55 | 0.00 | 1.55 | 0.0 | 1.40 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS CORE EQUITY A | 0.00 | 3.20 | 0.00 | 3.20 | 0.0 | 2.88 |
| 07/01/2018 | BOSOWSKI, EWA | MFS CORE EQUITY A | 0.00 | 0.66 | 0.00 | 0.66 | 0.0 | 0.59 |
| 07/01/2018 | BOSOWSKI, EWA | MFS CORE EQUITY C | 0.00 | 19.60 | 0.00 | 19.60 | 0.0 | 17.64 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS CORE EQUITY C | 0.00 | 17.30 | 0.00 | 17.30 | 0.0 | 15.57 |
| 07/01/2018 | ZGAJEWSKI, MARIUSZ | MFS DISCOVERY B | 0.00 | 2.95 | 0.00 | 2.95 | 0.0 | 2.66 |
| 07/01/2018 | DENEK, SABINA | MFS DISCOVERY B | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.37 |
| 07/01/2018 | WIKAR, HALINA | MFS DIVERSIFIED INCOME A | 0.00 | 1.36 | 0.00 | 1.36 | 0.0 | 1.22 |
| 07/01/2018 | BINKIL, ANNA | MFS DIVERSIFIED INCOME A | 0.00 | 1.64 | 0.00 | 1.64 | 0.0 | 1.48 |
| 07/01/2018 | THOMAS, SYLVIA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 20.46 | 0.00 | 20.46 | 0.0 | 18.41 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | WAL, SEBASTIAN | MFS DIVERSIFIED INCOME FUND C | 0.00 | 20.96 | 0.00 | 20.96 | 0.0 | 18.86 |
| 07/01/2018 | WIKAR, HALINA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 32.12 | 0.00 | 32.12 | 0.0 | 28.91 |
| 07/01/2018 | BINKIL, ANNA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 2.08 | 0.00 | 2.08 | 0.0 | 1.87 |
| 07/01/2018 | KWAK, ANNA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 3.48 | 0.00 | 3.48 | 0.0 | 3.13 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 5.13 | 0.00 | 5.13 | 0.0 | 4.62 |
| 07/01/2018 | BECKER, KARINA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 16.63 | 0.00 | 16.63 | 0.0 | 14.97 |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS DIVERSIFIED INCOME FUND C | 0.00 | 14.03 | 0.00 | 14.03 | 0.0 | 12.63 |
| 07/01/2018 | KWAK, ANNA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 3.48 | 0.00 | 3.48 | 0.0 | 3.13 |
| 07/01/2018 | DABROWSKI, AGNIESZKA | MFS DIVERSIFIED INCOME FUND C | 0.00 | 17.67 | 0.00 | 17.67 | 0.0 | 15.90 |
| 07/09/2018 | DABROWSKI, AGNIESZKA | MFS DIVERSIFIED INCOME FUND C | 135.00 | 1.35 | 0.00 | 1.35 | 0.0 | 1.22 |
| 07/01/2018 | MALYSZ TRUCKING INC SEP P | MFS EMERGINF MARKETS EQUITY FUND C | 0.00 | 5.47 | 0.00 | 5.47 | 0.0 | 4.92 |
| 07/01/2018 | WAL, SEBASTIAN | MFS EMERGINF MARKETS EQUITY FUND C | 0.00 | 3.84 | 0.00 | 3.84 | 0.0 | 3.46 |
| 07/01/2018 | MALYSZ, JERZY | MFS EMERGINF MARKETS EQUITY FUND C | 0.00 | 5.69 | 0.00 | 5.69 | 0.0 | 5.12 |
| 07/01/2018 | THOMAS, SYLVIA | MFS EMERGINF MARKETS EQUITY FUND C | 0.00 | 14.29 | 0.00 | 14.29 | 0.0 | 12.86 |
| 07/01/2018 | MALYSZ, JERZY | MFS EMERGINF MARKETS EQUITY FUND C | 0.00 | 2.29 | 0.00 | 2.29 | 0.0 | 2.06 |
| 07/01/2018 | SYNOWIEC, LESZEK | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.57 | 0.00 | 1.57 | 0.0 | 1.41 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.69 | 0.00 | 1.69 | 0.0 | 1.52 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.44 | 0.00 | 1.44 | 0.0 | 1.30 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.34 | 0.00 | 1.34 | 0.0 | 1.21 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.61 | 0.00 | 1.61 | 0.0 | 1.45 |
| 07/01/2018 | KWAK, ANNA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.90 | 0.00 | 1.90 | 0.0 | 1.71 |
| 07/01/2018 | PIETRZYK, JAN | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 0.95 | 0.00 | 0.95 | 0.0 | 0.86 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.31 | 0.00 | 1.31 | 0.0 | 1.18 |
| 07/01/2018 | KWAK, ANNA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 0.59 | 0.00 | 0.59 | 0.0 | 0.53 |
| 07/01/2018 | GRZEDA, ZBIGNIEW | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 1.68 | 0.00 | 1.68 | 0.0 | 1.51 |
| 07/01/2018 | KRELLER, KRISTYNA | MFS EMERGING MARKETS DEBT FUND A | 0.00 | 2.71 | 0.00 | 2.71 | 0.0 | 2.44 |
| 07/01/2018 | ZAREBA, GRZEGORZ | MFS EMERGING MARKETS DEBT FUND B | 0.00 | 3.51 | 0.00 | 3.51 | 0.0 | 3.16 |
| 07/01/2018 | VITALIDEX CORP SEP PLAN | MFS EMERGING MARKETS DEBT FUND B | 0.00 | 4.90 | 0.00 | 4.90 | 0.0 | 4.41 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS EMERGING MARKETS DEBT FUND B | 0.00 | 2.31 | 0.00 | 2.31 | 0.0 | 2.08 |
| 07/01/2018 | LOWISZ, WLADYSLAWA | MFS EMERGING MARKETS DEBT FUND B | 0.00 | 1.42 | 0.00 | 1.42 | 0.0 | 1.28 |
| 07/01/2018 | MALYSZ (A MINOR), ANNA | MFS EMERGING MARKETS EQUITY A | 0.00 | 2.01 | 0.00 | 2.01 | 0.0 | 1.81 |
| 07/01/2018 | MALYSZ TRUCKING INC SEP P | MFS EMERGING MARKETS EQUITY A | 0.00 | 4.18 | 0.00 | 4.18 | 0.0 | 3.76 |
| 07/01/2018 | MALYSZ, JERZY | MFS EMERGING MARKETS EQUITY A | 0.00 | 2.78 | 0.00 | 2.78 | 0.0 | 2.50 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | THOMAS, SYLVIA | MFS EMERGING MARKETS EQUITY A | 0.00 | 0.21 | 0.00 | 0.21 | 0.0 | 0.19 |
| 07/01/2018 | MALYSZ, JERZY | MFS EMERGING MARKETS EQUITY A | 0.00 | 1.77 | 0.00 | 1.77 | 0.0 | 1.59 |
| 07/01/2018 | ARCIUCH, ARTUR | MFS EMERGING MARKETS EQUITY A | 0.00 | 4.92 | 0.00 | 4.92 | 0.0 | 4.43 |
| 07/01/2018 | WAL, SEBASTIAN | MFS EMERGING MKTS DEBT FUND C | 0.00 | 19.11 | 0.00 | 19.11 | 0.0 | 17.20 |
| 07/01/2018 | WIKAR, HALINA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 3.55 | 0.00 | 3.55 | 0.0 | 3.20 |
| 07/01/2018 | SYNOWIEC, LESZEK | MFS EMERGING MKTS DEBT FUND C | 0.00 | 2.06 | 0.00 | 2.06 | 0.0 | 1.85 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 8.41 | 0.00 | 8.41 | 0.0 | 7.57 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 4.20 | 0.00 | 4.20 | 0.0 | 3.78 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 2.12 | 0.00 | 2.12 | 0.0 | 1.91 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS EMERGING MKTS DEBT FUND C | 0.00 | 2.12 | 0.00 | 2.12 | 0.0 | 1.91 |
| 07/01/2018 | KWAK, ANNA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 14.87 | 0.00 | 14.87 | 0.0 | 13.38 |
| 07/01/2018 | TYLMAN, PETER | MFS EMERGING MKTS DEBT FUND C | 0.00 | 14.37 | 0.00 | 14.37 | 0.0 | 12.93 |
| 07/01/2018 | TYLMAN, JOANNA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 14.38 | 0.00 | 14.38 | 0.0 | 12.94 |
| 07/01/2018 | BECKER, KARINA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 9.36 | 0.00 | 9.36 | 0.0 | 8.42 |
| 07/01/2018 | PIETRZYK, JAN | MFS EMERGING MKTS DEBT FUND C | 0.00 | 6.80 | 0.00 | 6.80 | 0.0 | 6.12 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 7.51 | 0.00 | 7.51 | 0.0 | 6.76 |
| 07/01/2018 | KWAK, ANNA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 20.17 | 0.00 | 20.17 | 0.0 | 18.15 |
| 07/01/2018 | MALYSZ, JERZY | MFS EMERGING MKTS DEBT FUND C | 0.00 | 18.15 | 0.00 | 18.15 | 0.0 | 16.34 |
| 07/01/2018 | GRZEDA, ZBIGNIEW | MFS EMERGING MKTS DEBT FUND C | 0.00 | 22.20 | 0.00 | 22.20 | 0.0 | 19.98 |
| 07/01/2018 | KRELLER, KRYSZYNA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 16.39 | 0.00 | 16.39 | 0.0 | 14.75 |
| 07/01/2018 | MALYSZ (A MINOR), ANNA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 17.52 | 0.00 | 17.52 | 0.0 | 15.77 |
| 07/01/2018 | ZIEMBINSKI, JOANNA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 8.07 | 0.00 | 8.07 | 0.0 | 7.26 |
| 07/01/2018 | MALYSZ (A MINOR), MICHALINA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 3.88 | 0.00 | 3.88 | 0.0 | 3.49 |
| 07/01/2018 | MALYSZ, JERZY | MFS EMERGING MKTS DEBT FUND C | 0.00 | 15.09 | 0.00 | 15.09 | 0.0 | 13.58 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS EMERGING MKTS DEBT FUND C | 0.00 | 10.24 | 0.00 | 10.24 | 0.0 | 9.22 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS GLOBAL ALTERNATIVE STRATEGY FD | 0.00 | 0.92 | 0.00 | 0.92 | 0.0 | 0.83 |
| 07/01/2018 | WIKAR, HALINA | MFS GLOBAL EQUITY A | 0.00 | 20.94 | 0.00 | 20.94 | 0.0 | 18.85 |
| 07/01/2018 | WIKAR, HALINA | MFS GLOBAL EQUITY FUND C | 0.00 | 95.71 | 0.00 | 95.71 | 0.0 | 86.14 |
| 07/01/2018 | MALYSZ, JERZY | MFS GLOBAL NEW DISCOVERY FUND C | 0.00 | 24.19 | 0.00 | 24.19 | 0.0 | 21.77 |
| 07/01/2018 | MALYSZ (A MINOR), MICHALINA | MFS GLOBAL NEW DISCOVERY FUND C | 0.00 | 1.50 | 0.00 | 1.50 | 0.0 | 1.35 |
| 07/01/2018 | MALYSZ (A MINOR), ANNA | MFS GLOBAL NEW DISCOVERY FUND C | 0.00 | 20.10 | 0.00 | 20.10 | 0.0 | 18.09 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS GLOBAL REAL ESTATE FUND -C | 0.00 | 4.09 | 0.00 | 4.09 | 0.0 | 3.68 |
| 07/01/2018 | WALCZYK, ANNA | MFS GLOBAL REAL ESTATE FUND B | 0.00 | 4.97 | 0.00 | 4.97 | 0.0 | 4.47 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: MARK-H122 HUBER #89</u> | | | | | | | | |
| 07/01/2018 | WALCZYK, MAREK | MFS GLOBAL REAL ESTATE FUND B | 0.00 | 5.14 | 0.00 | 5.14 | 0.0 | 4.63 |
| 07/01/2018 | WALCZYK, SABRINA | MFS GLOBAL REAL ESTATE FUND B | 0.00 | 0.33 | 0.00 | 0.33 | 0.0 | 0.30 |
| 07/01/2018 | TULEWICZ, KRYSZYNA | MFS GLOBAL REAL ESTATE FUND B | 0.00 | 0.36 | 0.00 | 0.36 | 0.0 | 0.32 |
| 07/01/2018 | FELINSKI, DOROTA | MFS GLOBAL REAL ESTATE FUND B | 0.00 | 0.37 | 0.00 | 0.37 | 0.0 | 0.33 |
| 07/01/2018 | SZAJEWSKI, REGINA | MFS GLOBAL TOTAL RETURN FUND-B | 0.00 | 2.08 | 0.00 | 2.08 | 0.0 | 1.87 |
| 07/01/2018 | WAL, SEBASTIAN | MFS GOVERNMENT SECURITIES C | 0.00 | 1.60 | 0.00 | 1.60 | 0.0 | 1.44 |
| 07/01/2018 | CCJ CONSULTING CO SEP PLA | MFS GROWTH A | 0.00 | 3.19 | 0.00 | 3.19 | 0.0 | 2.87 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS GROWTH A | 0.00 | 0.32 | 0.00 | 0.32 | 0.0 | 0.29 |
| 07/01/2018 | CHADA, WIESLAW | MFS GROWTH A | 0.00 | 1.09 | 0.00 | 1.09 | 0.0 | 0.98 |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS GROWTH ALLOCATION 529C | 0.00 | 44.79 | 0.00 | 44.79 | 0.0 | 40.31 |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS GROWTH ALLOCATION A | 0.00 | 0.70 | 0.00 | 0.70 | 0.0 | 0.63 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS GROWTH ALLOCATION A | 0.00 | 9.05 | 0.00 | 9.05 | 0.0 | 8.15 |
| 07/01/2018 | WIKAR, HALINA | MFS GROWTH ALLOCATION A | 0.00 | 4.04 | 0.00 | 4.04 | 0.0 | 3.64 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS GROWTH ALLOCATION A | 0.00 | 1.90 | 0.00 | 1.90 | 0.0 | 1.71 |
| 07/01/2018 | BOSOWSKI, EWA | MFS GROWTH ALLOCATION A | 0.00 | 1.88 | 0.00 | 1.88 | 0.0 | 1.69 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS GROWTH ALLOCATION A | 0.00 | 3.24 | 0.00 | 3.24 | 0.0 | 2.92 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS GROWTH ALLOCATION A | 0.00 | 2.68 | 0.00 | 2.68 | 0.0 | 2.41 |
| 07/01/2018 | BINKIL, ANNA | MFS GROWTH ALLOCATION A | 0.00 | 7.63 | 0.00 | 7.63 | 0.0 | 6.87 |
| 07/01/2018 | SWIDER, JULIA | MFS GROWTH ALLOCATION A | 0.00 | 9.96 | 0.00 | 9.96 | 0.0 | 8.96 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS GROWTH ALLOCATION A | 0.00 | 0.93 | 0.00 | 0.93 | 0.0 | 0.84 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS GROWTH ALLOCATION A | 0.00 | 1.76 | 0.00 | 1.76 | 0.0 | 1.58 |
| 07/01/2018 | BUGAJ, ANNA | MFS GROWTH ALLOCATION A | 0.00 | 0.69 | 0.00 | 0.69 | 0.0 | 0.62 |
| 07/01/2018 | MURZANSKI, EWA | MFS GROWTH ALLOCATION A | 0.00 | 0.70 | 0.00 | 0.70 | 0.0 | 0.63 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS GROWTH ALLOCATION B | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.51 |
| 07/01/2018 | MEI, JENNIFER | MFS GROWTH ALLOCATION B | 0.00 | 0.54 | 0.00 | 0.54 | 0.0 | 0.49 |
| 07/01/2018 | STRZECKA, SYLWIA | MFS GROWTH ALLOCATION B | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.15 |
| 07/01/2018 | DABROWSKI, PAUL | MFS GROWTH ALLOCATION B | 0.00 | 3.39 | 0.00 | 3.39 | 0.0 | 3.05 |
| 07/01/2018 | WALCZYK, ANNA | MFS GROWTH ALLOCATION B | 0.00 | 5.63 | 0.00 | 5.63 | 0.0 | 5.07 |
| 07/01/2018 | WALCZYK, MAREK | MFS GROWTH ALLOCATION B | 0.00 | 5.83 | 0.00 | 5.83 | 0.0 | 5.25 |
| 07/01/2018 | WALCZYK, SABRINA | MFS GROWTH ALLOCATION B | 0.00 | 0.38 | 0.00 | 0.38 | 0.0 | 0.34 |
| 07/01/2018 | TULEWICZ, KRYSZYNA | MFS GROWTH ALLOCATION B | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.37 |
| 07/01/2018 | FELINSKI, DOROTA | MFS GROWTH ALLOCATION B | 0.00 | 0.43 | 0.00 | 0.43 | 0.0 | 0.39 |
| 07/01/2018 | SZWEDO, MALGORZATA | MFS GROWTH ALLOCATION B | 0.00 | 0.29 | 0.00 | 0.29 | 0.0 | 0.26 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS GROWTH ALLOCATION B | 0.00 | 4.32 | 0.00 | 4.32 | 0.0 | 3.89 |
| 07/01/2018 | WIKAR, SYLVIA | MFS GROWTH ALLOCATION B | 0.00 | 0.74 | 0.00 | 0.74 | 0.0 | 0.67 |
| 07/01/2018 | SZAJEWSKI, REGINA | MFS GROWTH ALLOCATION B | 0.00 | 1.83 | 0.00 | 1.83 | 0.0 | 1.65 |
| 07/01/2018 | SZAJEWSKI, REGINA | MFS GROWTH ALLOCATION B | 0.00 | 2.18 | 0.00 | 2.18 | 0.0 | 1.96 |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS GROWTH ALLOCATION C | 0.00 | 19.52 | 0.00 | 19.52 | 0.0 | 17.57 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS GROWTH ALLOCATION C | 0.00 | 89.28 | 0.00 | 89.28 | 0.0 | 80.35 |
| 07/01/2018 | WIKAR, HALINA | MFS GROWTH ALLOCATION C | 0.00 | 9.50 | 0.00 | 9.50 | 0.0 | 8.55 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS GROWTH ALLOCATION C | 0.00 | 11.75 | 0.00 | 11.75 | 0.0 | 10.58 |
| 07/01/2018 | BOSOWSKI, EWA | MFS GROWTH ALLOCATION C | 0.00 | 12.41 | 0.00 | 12.41 | 0.0 | 11.17 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS GROWTH ALLOCATION C | 0.00 | 4.08 | 0.00 | 4.08 | 0.0 | 3.67 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS GROWTH ALLOCATION C | 0.00 | 4.08 | 0.00 | 4.08 | 0.0 | 3.67 |
| 07/01/2018 | BINKIL, ANNA | MFS GROWTH ALLOCATION C | 0.00 | 13.19 | 0.00 | 13.19 | 0.0 | 11.87 |
| 07/01/2018 | SWIDER, JULIA | MFS GROWTH ALLOCATION C | 0.00 | 12.52 | 0.00 | 12.52 | 0.0 | 11.27 |
| 07/01/2018 | WAL, SEBASTIAN | MFS GROWTH ALLOCATION C | 0.00 | 6.25 | 0.00 | 6.25 | 0.0 | 5.63 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS GROWTH ALLOCATION C | 0.00 | 1.17 | 0.00 | 1.17 | 0.0 | 1.05 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS GROWTH ALLOCATION C | 0.00 | 2.22 | 0.00 | 2.22 | 0.0 | 2.00 |
| 07/01/2018 | BUGAJ, ANNA | MFS GROWTH ALLOCATION C | 0.00 | 7.11 | 0.00 | 7.11 | 0.0 | 6.40 |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS GROWTH ALLOCATION C | 0.00 | 5.56 | 0.00 | 5.56 | 0.0 | 5.00 |
| 07/01/2018 | MURZANSKI, EWA | MFS GROWTH ALLOCATION C | 0.00 | 19.52 | 0.00 | 19.52 | 0.0 | 17.57 |
| 07/01/2018 | ZGAJEWSKI, BARBARA | MFS GROWTH ALLOCATION C | 0.00 | 4.73 | 0.00 | 4.73 | 0.0 | 4.26 |
| 07/01/2018 | ZAREBA, NATALIA | MFS GROWTH ALLOCATION FUND -529B | 0.00 | 2.83 | 0.00 | 2.83 | 0.0 | 2.55 |
| 07/01/2018 | DUSZCZYK, DOROTA | MFS GROWTH ALLOCATION FUND -529B | 0.00 | 15.35 | 0.00 | 15.35 | 0.0 | 13.82 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS GROWTH B | 0.00 | 2.34 | 0.00 | 2.34 | 0.0 | 2.11 |
| 07/01/2018 | WIKAR, TYMOTEUSZ | MFS GROWTH B | 0.00 | 1.30 | 0.00 | 1.30 | 0.0 | 1.17 |
| 07/01/2018 | SZAJEWSKI, REGINA | MFS GROWTH B | 0.00 | 2.15 | 0.00 | 2.15 | 0.0 | 1.94 |
| 07/01/2018 | WIKAR, HALINA | MFS GROWTH C | 0.00 | 9.15 | 0.00 | 9.15 | 0.0 | 8.24 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS GROWTH C | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.35 |
| 07/01/2018 | CHADA, WIESLAW | MFS GROWTH C | 0.00 | 1.32 | 0.00 | 1.32 | 0.0 | 1.19 |
| 07/01/2018 | TARCZYNSKI, LESTER | MFS GROWTH C | 0.00 | 27.11 | 0.00 | 27.11 | 0.0 | 24.40 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS HIGH INCOME FUND B | 0.00 | 1.69 | 0.00 | 1.69 | 0.0 | 1.52 |
| 07/01/2018 | VITALIDEX CORP SEP PLAN | MFS HIGH YIELD OPP FUND-B | 0.00 | 3.15 | 0.00 | 3.15 | 0.0 | 2.84 |
| 07/01/2018 | CHOWANIEC (A MINOR), MICHAL | MFS INTERNATIOANL DEVERSIFICATION F | 0.00 | 1.08 | 0.00 | 1.08 | 0.0 | 0.97 |
| 07/01/2018 | CHOWANIEC (A MINOR), PAULINA | MFS INTERNATIOANL DEVERSIFICATION F | 0.00 | 1.07 | 0.00 | 1.07 | 0.0 | 0.96 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS INTERNATIOANL DEVERSIFICATION F | 0.00 | 4.62 | 0.00 | 4.62 | 0.0 | 4.16 |
| 07/01/2018 | WIKAR, SYLVIA | MFS INTERNATIOANL DEVERSIFICATION F | 0.00 | 0.80 | 0.00 | 0.80 | 0.0 | 0.72 |
| 07/01/2018 | WIKAR, HALINA | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 4.55 | 0.00 | 4.55 | 0.0 | 4.10 |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 1.18 | 0.00 | 1.18 | 0.0 | 1.06 |
| 07/01/2018 | BUGAJ, ANNA | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 0.46 | 0.00 | 0.46 | 0.0 | 0.41 |
| 07/01/2018 | GRZEDA, ZBIGNIEW | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 0.29 | 0.00 | 0.29 | 0.0 | 0.26 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 1.48 | 0.00 | 1.48 | 0.0 | 1.33 |
| 07/01/2018 | MURZANSKI, EWA | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 1.18 | 0.00 | 1.18 | 0.0 | 1.06 |
| 07/01/2018 | PIETRZYK, JAN | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 1.20 | 0.00 | 1.20 | 0.0 | 1.08 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 1.20 | 0.00 | 1.20 | 0.0 | 1.08 |
| 07/01/2018 | THOMAS, SYLVIA | MFS INTERNATIONAL DIVERSIFICATION A | 0.00 | 0.33 | 0.00 | 0.33 | 0.0 | 0.30 |
| 07/01/2018 | WIKAR, HALINA | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 5.78 | 0.00 | 5.78 | 0.0 | 5.20 |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 15.24 | 0.00 | 15.24 | 0.0 | 13.72 |
| 07/01/2018 | BUGAJ, ANNA | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 5.80 | 0.00 | 5.80 | 0.0 | 5.22 |
| 07/01/2018 | GRZEDA, ZBIGNIEW | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 0.37 | 0.00 | 0.37 | 0.0 | 0.33 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 1.88 | 0.00 | 1.88 | 0.0 | 1.69 |
| 07/01/2018 | MURZANSKI, EWA | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 15.24 | 0.00 | 15.24 | 0.0 | 13.72 |
| 07/01/2018 | PIETRZYK, JAN | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 10.41 | 0.00 | 10.41 | 0.0 | 9.37 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 10.41 | 0.00 | 10.41 | 0.0 | 9.37 |
| 07/01/2018 | THOMAS, SYLVIA | MFS INTERNATIONAL DIVERSIFICATION C | 0.00 | 5.15 | 0.00 | 5.15 | 0.0 | 4.64 |
| 07/01/2018 | WAL, SEBASTIAN | MFS INTERNATIONAL NEW DISCOVERY C | 0.00 | 4.24 | 0.00 | 4.24 | 0.0 | 3.82 |
| 07/01/2018 | THOMAS, SYLVIA | MFS INTERNATIONAL NEW DISCOVERY C | 0.00 | 5.50 | 0.00 | 5.50 | 0.0 | 4.95 |
| 07/01/2018 | ZIEMBINSKI, JOANNA | MFS INTERNATIONAL VALUE FUND C | 0.00 | 11.97 | 0.00 | 11.97 | 0.0 | 10.77 |
| 07/01/2018 | MEI, JENNIFER | MFS LIFETIME 2045 FUND B | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.51 |
| 07/01/2018 | VITALIDEX CORP SEP PLAN | MFS LIFETIME 2050 FUND B | 0.00 | 6.77 | 0.00 | 6.77 | 0.0 | 6.09 |
| 07/01/2018 | ZGAJEWSKI, MARIUSZ | MFS LIFETIME 2050 FUND B | 0.00 | 3.23 | 0.00 | 3.23 | 0.0 | 2.91 |
| 07/01/2018 | WIKAR, HALINA | MFS LIFETIME 2050 FUND-A | 0.00 | 5.59 | 0.00 | 5.59 | 0.0 | 5.03 |
| 07/01/2018 | WIKAR, HALINA | MFS LIFETIME RETIREMENT INCOME FUND | 0.00 | 39.25 | 0.00 | 39.25 | 0.0 | 35.33 |
| 07/01/2018 | SWIDER, JULIA | MFS LIMITED MATURITY 529A | 0.00 | 0.40 | 0.00 | 0.40 | 0.0 | 0.36 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS LOW VOLATIILITY GLOBAL EQUITY F | 0.00 | 1.88 | 0.00 | 1.88 | 0.0 | 1.69 |
| 07/01/2018 | WIKAR, HALINA | MFS LOW VOLATILITY EQUITY FUND C | 0.00 | 12.82 | 0.00 | 12.82 | 0.0 | 11.54 |
| 07/01/2018 | GABEROV, VASIL | MFS LOW VOLATILITY EQUITY FUND C | 0.00 | 15.25 | 0.00 | 15.25 | 0.0 | 13.73 |
| 07/01/2018 | GABEROVA, STELLA | MFS LOW VOLATILITY EQUITY FUND C | 0.00 | 15.25 | 0.00 | 15.25 | 0.0 | 13.73 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | GABEROV, VASIL | MFS LOW VOLATILITY EQUITY FUND-A | 0.00 | 0.21 | 0.00 | 0.21 | 0.0 | 0.19 |
| 07/01/2018 | GABEROVA, STELLA | MFS LOW VOLATILITY EQUITY FUND-A | 0.00 | 0.21 | 0.00 | 0.21 | 0.0 | 0.19 |
| 07/01/2018 | CCJ CONSULTING CO SEP PLA | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 1.72 | 0.00 | 1.72 | 0.0 | 1.55 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 0.87 | 0.00 | 0.87 | 0.0 | 0.78 |
| 07/01/2018 | BOSOWSKI, EWA | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 0.86 | 0.00 | 0.86 | 0.0 | 0.77 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 0.62 | 0.00 | 0.62 | 0.0 | 0.56 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.37 |
| 07/01/2018 | SWIDER, JULIA | MFS MASS INVESTORS GROWTH STOCK A | 0.00 | 2.86 | 0.00 | 2.86 | 0.0 | 2.57 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS MASS INVESTORS GROWTH STOCK C | 0.00 | 16.62 | 0.00 | 16.62 | 0.0 | 14.96 |
| 07/01/2018 | BOSOWSKI, EWA | MFS MASS INVESTORS GROWTH STOCK C | 0.00 | 17.27 | 0.00 | 17.27 | 0.0 | 15.54 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS MASS INVESTORS GROWTH STOCK C | 0.00 | 3.43 | 0.00 | 3.43 | 0.0 | 3.09 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS MASS INVESTORS GROWTH STOCK C | 0.00 | 9.94 | 0.00 | 9.94 | 0.0 | 8.95 |
| 07/01/2018 | SWIDER, JULIA | MFS MASS INVESTORS GROWTH STOCK C | 0.00 | 3.56 | 0.00 | 3.56 | 0.0 | 3.20 |
| 07/01/2018 | CCJ CONSULTING CO SEP PLA | MFS MASS INVESTORS TRUST A | 0.00 | 1.71 | 0.00 | 1.71 | 0.0 | 1.54 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS MASS INVESTORS TRUST A | 0.00 | 0.90 | 0.00 | 0.90 | 0.0 | 0.81 |
| 07/01/2018 | BOSOWSKI, EWA | MFS MASS INVESTORS TRUST A | 0.00 | 0.89 | 0.00 | 0.89 | 0.0 | 0.80 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS MASS INVESTORS TRUST A | 0.00 | 1.66 | 0.00 | 1.66 | 0.0 | 1.49 |
| 07/01/2018 | MEI, JENNIFER | MFS MASS INVESTORS TRUST B | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.51 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS MASSACHUSETTS INVESTORS TRUST C | 0.00 | 13.76 | 0.00 | 13.76 | 0.0 | 12.38 |
| 07/01/2018 | BOSOWSKI, EWA | MFS MASSACHUSETTS INVESTORS TRUST C | 0.00 | 14.41 | 0.00 | 14.41 | 0.0 | 12.97 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS MASSACHUSETTS INVESTORS TRUST C | 0.00 | 2.08 | 0.00 | 2.08 | 0.0 | 1.87 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS MODERATE ALLOCATION A | 0.00 | 0.45 | 0.00 | 0.45 | 0.0 | 0.41 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS MODERATE ALLOCATION A | 0.00 | 0.88 | 0.00 | 0.88 | 0.0 | 0.79 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS MODERATE ALLOCATION A | 0.00 | 9.33 | 0.00 | 9.33 | 0.0 | 8.40 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS MODERATE ALLOCATION A | 0.00 | 1.58 | 0.00 | 1.58 | 0.0 | 1.42 |
| 07/01/2018 | BOSOWSKI, EWA | MFS MODERATE ALLOCATION A | 0.00 | 1.57 | 0.00 | 1.57 | 0.0 | 1.41 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS MODERATE ALLOCATION A | 0.00 | 2.82 | 0.00 | 2.82 | 0.0 | 2.54 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS MODERATE ALLOCATION A | 0.00 | 2.32 | 0.00 | 2.32 | 0.0 | 2.09 |
| 07/01/2018 | SYNOWIEC, LESZEK | MFS MODERATE ALLOCATION A | 0.00 | 2.05 | 0.00 | 2.05 | 0.0 | 1.85 |
| 07/01/2018 | BINKIL, ANNA | MFS MODERATE ALLOCATION A | 0.00 | 2.80 | 0.00 | 2.80 | 0.0 | 2.52 |
| 07/01/2018 | SWIDER, JULIA | MFS MODERATE ALLOCATION A | 0.00 | 5.83 | 0.00 | 5.83 | 0.0 | 5.25 |
| 07/01/2018 | KRELLER, KRYSZYNA | MFS MODERATE ALLOCATION A | 0.00 | 7.58 | 0.00 | 7.58 | 0.0 | 6.82 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS MODERATE ALLOCATION A | 0.00 | 1.64 | 0.00 | 1.64 | 0.0 | 1.48 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | GRZYBEK, MARK | MFS MODERATE ALLOCATION A | 0.00 | 1.87 | 0.00 | 1.87 | 0.0 | 1.68 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS MODERATE ALLOCATION B | 0.00 | 1.95 | 0.00 | 1.95 | 0.0 | 1.76 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS MODERATE ALLOCATION B | 0.00 | 1.34 | 0.00 | 1.34 | 0.0 | 1.21 |
| 07/01/2018 | JUSCINSKA, AGNIESZKA | MFS MODERATE ALLOCATION B | 0.00 | 7.43 | 0.00 | 7.43 | 0.0 | 6.69 |
| 07/01/2018 | JARZAREK, MAGDALENA | MFS MODERATE ALLOCATION B | 0.00 | 3.68 | 0.00 | 3.68 | 0.0 | 3.31 |
| 07/01/2018 | LOWISZ, WLADYSLAWA | MFS MODERATE ALLOCATION B | 0.00 | 2.32 | 0.00 | 2.32 | 0.0 | 2.09 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS MODERATE ALLOCATION B | 0.00 | 0.17 | 0.00 | 0.17 | 0.0 | 0.15 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS MODERATE ALLOCATION C | 0.00 | 77.90 | 0.00 | 77.90 | 0.0 | 70.11 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS MODERATE ALLOCATION C | 0.00 | 10.87 | 0.00 | 10.87 | 0.0 | 9.78 |
| 07/01/2018 | BOSOWSKI, EWA | MFS MODERATE ALLOCATION C | 0.00 | 11.48 | 0.00 | 11.48 | 0.0 | 10.33 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS MODERATE ALLOCATION C | 0.00 | 3.56 | 0.00 | 3.56 | 0.0 | 3.20 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS MODERATE ALLOCATION C | 0.00 | 3.56 | 0.00 | 3.56 | 0.0 | 3.20 |
| 07/01/2018 | SYNOWIEC, LESZEK | MFS MODERATE ALLOCATION C | 0.00 | 2.59 | 0.00 | 2.59 | 0.0 | 2.33 |
| 07/01/2018 | BINKIL, ANNA | MFS MODERATE ALLOCATION C | 0.00 | 3.54 | 0.00 | 3.54 | 0.0 | 3.19 |
| 07/01/2018 | SWIDER, JULIA | MFS MODERATE ALLOCATION C | 0.00 | 7.36 | 0.00 | 7.36 | 0.0 | 6.62 |
| 07/01/2018 | KRELLER, KRYSZYNA | MFS MODERATE ALLOCATION C | 0.00 | 13.97 | 0.00 | 13.97 | 0.0 | 12.57 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS MODERATE ALLOCATION C | 0.00 | 2.07 | 0.00 | 2.07 | 0.0 | 1.86 |
| 07/01/2018 | GRZYBEK, MARK | MFS MODERATE ALLOCATION C | 0.00 | 24.19 | 0.00 | 24.19 | 0.0 | 21.77 |
| 07/01/2018 | DABROWSKI, AGNIESZKA | MFS MODERATE ALLOCATION C | 0.00 | 19.04 | 0.00 | 19.04 | 0.0 | 17.14 |
| 07/01/2018 | WALUSZKO, SYLWIA | MFS MODERATE ALLOCATION C | 0.00 | 12.70 | 0.00 | 12.70 | 0.0 | 11.43 |
| 07/09/2018 | DABROWSKI, AGNIESZKA | MFS MODERATE ALLOCATION C | 135.00 | 1.35 | 0.00 | 1.35 | 0.0 | 1.22 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS NEW DISCOVERY A | 0.00 | 5.26 | 0.00 | 5.26 | 0.0 | 4.73 |
| 07/01/2018 | BOSOWSKI, EWA | MFS NEW DISCOVERY A | 0.00 | 1.99 | 0.00 | 1.99 | 0.0 | 1.79 |
| 07/01/2018 | BOSOWSKI, EWA | MFS NEW DISCOVERY C | 0.00 | 21.19 | 0.00 | 21.19 | 0.0 | 19.07 |
| 07/01/2018 | MALYSZ (A MINOR), MICHALINA | MFS NEW DISCOVERY C | 0.00 | 6.42 | 0.00 | 6.42 | 0.0 | 5.78 |
| 07/01/2018 | BECKER, KARINA | MFS NEW DISCOVERY C | 0.00 | 19.09 | 0.00 | 19.09 | 0.0 | 17.18 |
| 07/01/2018 | MALYSZ, JERZY | MFS NEW DISCOVERY C | 0.00 | 25.34 | 0.00 | 25.34 | 0.0 | 22.81 |
| 07/01/2018 | MALYSZ (A MINOR), ANNA | MFS NEW DISCOVERY C | 0.00 | 5.15 | 0.00 | 5.15 | 0.0 | 4.64 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS NEW DISCOVERY C | 0.00 | 14.50 | 0.00 | 14.50 | 0.0 | 13.05 |
| 07/01/2018 | WIKAR, HALINA | MFS NEW DISCOVERY VALUE FUND C | 0.00 | 24.32 | 0.00 | 24.32 | 0.0 | 21.89 |
| 07/01/2018 | GABEROV, VASIL | MFS NEW DISCOVERY VALUE FUND C | 0.00 | 20.98 | 0.00 | 20.98 | 0.0 | 18.88 |
| 07/01/2018 | GABEROVA, STELLA | MFS NEW DISCOVERY VALUE FUND C | 0.00 | 20.82 | 0.00 | 20.82 | 0.0 | 18.74 |
| 07/01/2018 | WIKAR, HALINA | MFS NEW DISCOVERY VALUE FUND-A | 0.00 | 1.50 | 0.00 | 1.50 | 0.0 | 1.35 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | GABEROV, VASIL | MFS NEW DISCOVERY VALUE FUND-A | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.35 |
| 07/01/2018 | GABEROVA, STELLA | MFS NEW DISCOVERY VALUE FUND-A | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.35 |
| 07/01/2018 | CCJ CONSULTING CO SEP PLA | MFS RESEARCH A | 0.00 | 1.82 | 0.00 | 1.82 | 0.0 | 1.64 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS RESEARCH A | 0.00 | 1.25 | 0.00 | 1.25 | 0.0 | 1.13 |
| 07/01/2018 | BOSOWSKI, EWA | MFS RESEARCH A | 0.00 | 1.24 | 0.00 | 1.24 | 0.0 | 1.12 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS RESEARCH A | 0.00 | 1.73 | 0.00 | 1.73 | 0.0 | 1.56 |
| 07/01/2018 | MEI, JENNIFER | MFS RESEARCH B | 0.00 | 0.56 | 0.00 | 0.56 | 0.0 | 0.50 |
| 07/01/2018 | REHABILITATION SVS PC SP, | MFS RESEARCH BOND FUND B | 0.00 | 0.01 | 0.00 | 0.01 | 0.0 | 0.01 |
| 07/01/2018 | WAL, SEBASTIAN | MFS RESEARCH BOND FUND C | 0.00 | 22.24 | 0.00 | 22.24 | 0.0 | 20.02 |
| 07/01/2018 | WIKAR, HALINA | MFS RESEARCH BOND FUND C | 0.00 | 5.97 | 0.00 | 5.97 | 0.0 | 5.37 |
| 07/01/2018 | THOMAS, SYLVIA | MFS RESEARCH BOND FUND C | 0.00 | 12.36 | 0.00 | 12.36 | 0.0 | 11.12 |
| 07/01/2018 | KRELLER, KRISTYNA | MFS RESEARCH BOND FUND C | 0.00 | 13.11 | 0.00 | 13.11 | 0.0 | 11.80 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS RESEARCH FUND-C | 0.00 | 18.64 | 0.00 | 18.64 | 0.0 | 16.78 |
| 07/01/2018 | BOSOWSKI, EWA | MFS RESEARCH FUND-C | 0.00 | 19.28 | 0.00 | 19.28 | 0.0 | 17.35 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS RESEARCH FUND-C | 0.00 | 2.15 | 0.00 | 2.15 | 0.0 | 1.94 |
| 07/01/2018 | RACZKA, PHILLIP | MFS RESEARCH INTERNATIONAL FUND 529 | 0.00 | 5.61 | 0.00 | 5.61 | 0.0 | 5.05 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS STRATEGIC INCOME FUND B | 0.00 | 1.61 | 0.00 | 1.61 | 0.0 | 1.45 |
| 07/01/2018 | GABEROV, VASIL | MFS TECHNOLOGY FUND-C | 0.00 | 22.56 | 0.00 | 22.56 | 0.0 | 20.30 |
| 07/01/2018 | GABEROVA, STELLA | MFS TECHNOLOGY FUND-C | 0.00 | 22.56 | 0.00 | 22.56 | 0.0 | 20.30 |
| 07/01/2018 | WIKAR, HALINA | MFS TOTAL RETURN A | 0.00 | 1.76 | 0.00 | 1.76 | 0.0 | 1.58 |
| 07/01/2018 | WIKAR, HALINA | MFS TOTAL RETURN C | 0.00 | 16.30 | 0.00 | 16.30 | 0.0 | 14.67 |
| 07/01/2018 | MALYSZ, JERZY | MFS UTILITIES A | 0.00 | 0.73 | 0.00 | 0.73 | 0.0 | 0.66 |
| 07/01/2018 | MALYSZ, JERZY | MFS UTILITIES A | 0.00 | 5.42 | 0.00 | 5.42 | 0.0 | 4.88 |
| 07/01/2018 | WIKAR, MAGDALENA | MFS UTILITIES A | 0.00 | 3.10 | 0.00 | 3.10 | 0.0 | 2.79 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS UTILITIES A | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.47 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS UTILITIES A | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.07 |
| 07/01/2018 | GRZEDA, ZBIGNIEW | MFS UTILITIES A | 0.00 | 2.74 | 0.00 | 2.74 | 0.0 | 2.47 |
| 07/01/2018 | WILK, TEOFIL | MFS UTILITIES A | 0.00 | 5.53 | 0.00 | 5.53 | 0.0 | 4.98 |
| 07/01/2018 | KONIECZNY, EWA | MFS UTILITIES A | 0.00 | 3.69 | 0.00 | 3.69 | 0.0 | 3.32 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS UTILITIES A | 0.00 | 1.07 | 0.00 | 1.07 | 0.0 | 0.96 |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS UTILITIES A | 0.00 | 0.67 | 0.00 | 0.67 | 0.0 | 0.60 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS UTILITIES A | 0.00 | 2.85 | 0.00 | 2.85 | 0.0 | 2.57 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS UTILITIES A | 0.00 | 3.46 | 0.00 | 3.46 | 0.0 | 3.11 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS UTILITIES A | 0.00 | 2.67 | 0.00 | 2.67 | 0.0 | 2.40 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS UTILITIES A | 0.00 | 2.45 | 0.00 | 2.45 | 0.0 | 2.21 |
| 07/01/2018 | SZCZESNIAK, EWA | MFS UTILITIES A | 0.00 | 0.88 | 0.00 | 0.88 | 0.0 | 0.79 |
| 07/01/2018 | SYNOWIEC, LESZEK | MFS UTILITIES A | 0.00 | 3.45 | 0.00 | 3.45 | 0.0 | 3.11 |
| 07/01/2018 | WIKAR, HALINA | MFS UTILITIES A | 0.00 | 2.16 | 0.00 | 2.16 | 0.0 | 1.94 |
| 07/01/2018 | KRELLER, KRISTYNA | MFS UTILITIES A | 0.00 | 2.39 | 0.00 | 2.39 | 0.0 | 2.15 |
| 07/01/2018 | WAL, SEBASTIAN | MFS UTILITIES A | 0.00 | 1.40 | 0.00 | 1.40 | 0.0 | 1.26 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS UTILITIES A | 0.00 | 1.69 | 0.00 | 1.69 | 0.0 | 1.52 |
| 07/01/2018 | BINKIL, ANNA | MFS UTILITIES A | 0.00 | 3.38 | 0.00 | 3.38 | 0.0 | 3.04 |
| 07/01/2018 | SWIDER, JULIA | MFS UTILITIES A | 0.00 | 5.07 | 0.00 | 5.07 | 0.0 | 4.56 |
| 07/01/2018 | TARCZYNSKI, LESTER | MFS UTILITIES A | 0.00 | 13.79 | 0.00 | 13.79 | 0.0 | 12.41 |
| 07/01/2018 | GRZYBEK, MARK | MFS UTILITIES A | 0.00 | 1.91 | 0.00 | 1.91 | 0.0 | 1.72 |
| 07/01/2018 | DOMANUS, MALGORZATA | MFS UTILITIES A | 0.00 | 1.89 | 0.00 | 1.89 | 0.0 | 1.70 |
| 07/01/2018 | BUGAJ, ANNA | MFS UTILITIES A | 0.00 | 0.80 | 0.00 | 0.80 | 0.0 | 0.72 |
| 07/01/2018 | WIKAR, TYMOTEUSZ | MFS UTILITIES A | 0.00 | 0.96 | 0.00 | 0.96 | 0.0 | 0.86 |
| 07/01/2018 | MURZANSKI, EWA | MFS UTILITIES A | 0.00 | 0.66 | 0.00 | 0.66 | 0.0 | 0.59 |
| 07/01/2018 | PIETRZYK, JAN | MFS UTILITIES A | 0.00 | 1.28 | 0.00 | 1.28 | 0.0 | 1.15 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS UTILITIES A | 0.00 | 0.94 | 0.00 | 0.94 | 0.0 | 0.85 |
| 07/01/2018 | KWAK, ANNA | MFS UTILITIES A | 0.00 | 0.42 | 0.00 | 0.42 | 0.0 | 0.38 |
| 07/01/2018 | THOMAS, SYLVIA | MFS UTILITIES A | 0.00 | 0.44 | 0.00 | 0.44 | 0.0 | 0.40 |
| 07/01/2018 | GABEROV, VASIL | MFS UTILITIES A | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.47 |
| 07/01/2018 | GABEROVA, STELLA | MFS UTILITIES A | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.47 |
| 07/01/2018 | JAROSZ, JACEK | MFS UTILITIES A | 0.00 | 1.24 | 0.00 | 1.24 | 0.0 | 1.12 |
| 07/01/2018 | JAROSZ, TERESA | MFS UTILITIES A | 0.00 | 1.24 | 0.00 | 1.24 | 0.0 | 1.12 |
| 07/01/2018 | POLLAK, TATIANA | MFS UTILITIES A | 0.00 | 1.56 | 0.00 | 1.56 | 0.0 | 1.40 |
| 07/01/2018 | POLLAK, WIESLAW | MFS UTILITIES A | 0.00 | 1.56 | 0.00 | 1.56 | 0.0 | 1.40 |
| 07/01/2018 | MALYSZ, JERZY | MFS UTILITIES B | 0.00 | 4.37 | 0.00 | 4.37 | 0.0 | 3.93 |
| 07/01/2018 | ZAREBA, GRZEGORZ | MFS UTILITIES B | 0.00 | 4.22 | 0.00 | 4.22 | 0.0 | 3.80 |
| 07/01/2018 | MALYSZ (A MINOR), ANNA | MFS UTILITIES B | 0.00 | 3.68 | 0.00 | 3.68 | 0.0 | 3.31 |
| 07/01/2018 | CHREPTOWICZ, MALGORZATA | MFS UTILITIES B | 0.00 | 2.91 | 0.00 | 2.91 | 0.0 | 2.62 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS UTILITIES B | 0.00 | 2.19 | 0.00 | 2.19 | 0.0 | 1.97 |
| 07/01/2018 | JUSCINSKA, AGNIESZKA | MFS UTILITIES B | 0.00 | 7.95 | 0.00 | 7.95 | 0.0 | 7.16 |
| 07/01/2018 | JARZAREK, MAGDALENA | MFS UTILITIES B | 0.00 | 4.21 | 0.00 | 4.21 | 0.0 | 3.79 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | VITALIDEX CORP SEP PLAN | MFS UTILITIES B | 0.00 | 6.33 | 0.00 | 6.33 | 0.0 | 5.70 |
| 07/01/2018 | KURILO SEP PLAN, EVA | MFS UTILITIES B | 0.00 | 11.56 | 0.00 | 11.56 | 0.0 | 10.40 |
| 07/01/2018 | REHABILITATION SVS PC SP, | MFS UTILITIES B | 0.00 | 0.02 | 0.00 | 0.02 | 0.0 | 0.02 |
| 07/01/2018 | ZGAJEWSKI, MARIUSZ | MFS UTILITIES B | 0.00 | 5.83 | 0.00 | 5.83 | 0.0 | 5.25 |
| 07/01/2018 | DENEK, SABINA | MFS UTILITIES B | 0.00 | 0.51 | 0.00 | 0.51 | 0.0 | 0.46 |
| 07/01/2018 | MEI, JENNIFER | MFS UTILITIES B | 0.00 | 0.49 | 0.00 | 0.49 | 0.0 | 0.44 |
| 07/01/2018 | STRZECKA, SYLWIA | MFS UTILITIES B | 0.00 | 0.20 | 0.00 | 0.20 | 0.0 | 0.18 |
| 07/01/2018 | STELMASIAK, BERENIKA | MFS UTILITIES B | 0.00 | 0.21 | 0.00 | 0.21 | 0.0 | 0.19 |
| 07/01/2018 | SIENKIEWICZ, TOMASZ | MFS UTILITIES B | 0.00 | 0.73 | 0.00 | 0.73 | 0.0 | 0.66 |
| 07/01/2018 | DABROWSKI, PAUL | MFS UTILITIES B | 0.00 | 3.14 | 0.00 | 3.14 | 0.0 | 2.83 |
| 07/01/2018 | GUTT, MARTIN | MFS UTILITIES B | 0.00 | 1.69 | 0.00 | 1.69 | 0.0 | 1.52 |
| 07/01/2018 | WALCZYK, ANNA | MFS UTILITIES B | 0.00 | 5.43 | 0.00 | 5.43 | 0.0 | 4.89 |
| 07/01/2018 | WALCZYK, MAREK | MFS UTILITIES B | 0.00 | 5.63 | 0.00 | 5.63 | 0.0 | 5.07 |
| 07/01/2018 | WALCZYK, SABRINA | MFS UTILITIES B | 0.00 | 0.36 | 0.00 | 0.36 | 0.0 | 0.32 |
| 07/01/2018 | TULEWICZ, KRZYSTYNA | MFS UTILITIES B | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.35 |
| 07/01/2018 | FELINSKI, DOROTA | MFS UTILITIES B | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.37 |
| 07/01/2018 | SZWEDO, MALGORZATA | MFS UTILITIES B | 0.00 | 0.37 | 0.00 | 0.37 | 0.0 | 0.33 |
| 07/01/2018 | CHOWANIEC (A MINOR), MICHAL | MFS UTILITIES B | 0.00 | 1.07 | 0.00 | 1.07 | 0.0 | 0.96 |
| 07/01/2018 | CHOWANIEC (A MINOR), PAULINA | MFS UTILITIES B | 0.00 | 1.06 | 0.00 | 1.06 | 0.0 | 0.95 |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS UTILITIES B | 0.00 | 4.14 | 0.00 | 4.14 | 0.0 | 3.73 |
| 07/01/2018 | WIKAR, SYLVIA | MFS UTILITIES B | 0.00 | 0.70 | 0.00 | 0.70 | 0.0 | 0.63 |
| 07/01/2018 | LOWISZ, WLADYSLAWA | MFS UTILITIES B | 0.00 | 2.36 | 0.00 | 2.36 | 0.0 | 2.12 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS UTILITIES B | 0.00 | 0.20 | 0.00 | 0.20 | 0.0 | 0.18 |
| 07/10/2018 | GUTT, MARTIN | MFS UTILITIES B | 100.00 | 4.00 | 0.00 | 4.00 | 0.0 | 3.60 |
| 07/16/2018 | SIENKIEWICZ, TOMASZ | MFS UTILITIES B | 25.00 | 1.00 | 0.00 | 1.00 | 0.0 | 0.90 |
| 07/01/2018 | GRZEDA, ZBIGNIEW | MFS UTILITIES C | 0.00 | 3.44 | 0.00 | 3.44 | 0.0 | 3.10 |
| 07/01/2018 | WILK, TEOFIL | MFS UTILITIES C | 0.00 | 6.93 | 0.00 | 6.93 | 0.0 | 6.24 |
| 07/01/2018 | KONIECZNY, EWA | MFS UTILITIES C | 0.00 | 4.62 | 0.00 | 4.62 | 0.0 | 4.16 |
| 07/01/2018 | KWAK, ANNA | MFS UTILITIES C | 0.00 | 6.26 | 0.00 | 6.26 | 0.0 | 5.63 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS UTILITIES C | 0.00 | 1.34 | 0.00 | 1.34 | 0.0 | 1.21 |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS UTILITIES C | 0.00 | 40.13 | 0.00 | 40.13 | 0.0 | 36.12 |
| 07/01/2018 | KOPERDOWSKI, BEATA | MFS UTILITIES C | 0.00 | 4.33 | 0.00 | 4.33 | 0.0 | 3.90 |
| 07/01/2018 | KOPERDOWSKI, PIOTR | MFS UTILITIES C | 0.00 | 4.33 | 0.00 | 4.33 | 0.0 | 3.90 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: MARK-H122 HUBER #89</u> | | | | | | | | |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS UTILITIES C | 0.00 | 8.87 | 0.00 | 8.87 | 0.0 | 7.98 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS UTILITIES C | 0.00 | 13.56 | 0.00 | 13.56 | 0.0 | 12.20 |
| 07/01/2018 | SZCZESNIAK, EWA | MFS UTILITIES C | 0.00 | 1.10 | 0.00 | 1.10 | 0.0 | 0.99 |
| 07/01/2018 | DZIEWIECKA, TERESA | MFS UTILITIES C | 0.00 | 10.26 | 0.00 | 10.26 | 0.0 | 9.23 |
| 07/01/2018 | SYNOWIEC, LESZEK | MFS UTILITIES C | 0.00 | 4.33 | 0.00 | 4.33 | 0.0 | 3.90 |
| 07/01/2018 | WIKAR, HALINA | MFS UTILITIES C | 0.00 | 101.80 | 0.00 | 101.80 | 0.0 | 91.62 |
| 07/01/2018 | KRELLER, KRISTYNA | MFS UTILITIES C | 0.00 | 18.02 | 0.00 | 18.02 | 0.0 | 16.22 |
| 07/01/2018 | WAL, SEBASTIAN | MFS UTILITIES C | 0.00 | 69.10 | 0.00 | 69.10 | 0.0 | 62.19 |
| 07/01/2018 | BECKER, KARINA | MFS UTILITIES C | 0.00 | 42.66 | 0.00 | 42.66 | 0.0 | 38.39 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS UTILITIES C | 0.00 | 2.11 | 0.00 | 2.11 | 0.0 | 1.90 |
| 07/01/2018 | BINKIL, ANNA | MFS UTILITIES C | 0.00 | 10.48 | 0.00 | 10.48 | 0.0 | 9.43 |
| 07/01/2018 | SWIDER, JULIA | MFS UTILITIES C | 0.00 | 6.35 | 0.00 | 6.35 | 0.0 | 5.72 |
| 07/01/2018 | TARCZYNSKI, LESTER | MFS UTILITIES C | 0.00 | 56.49 | 0.00 | 56.49 | 0.0 | 50.84 |
| 07/01/2018 | GRZYBEK, MARK | MFS UTILITIES C | 0.00 | 39.26 | 0.00 | 39.26 | 0.0 | 35.33 |
| 07/01/2018 | DOMANUS, MALGORZATA | MFS UTILITIES C | 0.00 | 6.38 | 0.00 | 6.38 | 0.0 | 5.74 |
| 07/01/2018 | BUGAJ, ANNA | MFS UTILITIES C | 0.00 | 17.28 | 0.00 | 17.28 | 0.0 | 15.55 |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS UTILITIES C | 0.00 | 39.24 | 0.00 | 39.24 | 0.0 | 35.32 |
| 07/01/2018 | BECKER, KARINA | MFS UTILITIES C | 0.00 | 18.47 | 0.00 | 18.47 | 0.0 | 16.62 |
| 07/01/2018 | WIKAR, TYMOTEUSZ | MFS UTILITIES C | 0.00 | 11.96 | 0.00 | 11.96 | 0.0 | 10.76 |
| 07/01/2018 | MURZANSKI, EWA | MFS UTILITIES C | 0.00 | 35.44 | 0.00 | 35.44 | 0.0 | 31.90 |
| 07/01/2018 | PIETRZYK, JAN | MFS UTILITIES C | 0.00 | 17.35 | 0.00 | 17.35 | 0.0 | 15.62 |
| 07/01/2018 | PIETRZYK, DOROTA | MFS UTILITIES C | 0.00 | 16.56 | 0.00 | 16.56 | 0.0 | 14.90 |
| 07/01/2018 | KWAK, ANNA | MFS UTILITIES C | 0.00 | 45.69 | 0.00 | 45.69 | 0.0 | 41.12 |
| 07/01/2018 | THOMAS, SYLVIA | MFS UTILITIES C | 0.00 | 26.83 | 0.00 | 26.83 | 0.0 | 24.15 |
| 07/01/2018 | GABEROV, VASIL | MFS UTILITIES C | 0.00 | 69.22 | 0.00 | 69.22 | 0.0 | 62.30 |
| 07/01/2018 | GABEROVA, STELLA | MFS UTILITIES C | 0.00 | 69.33 | 0.00 | 69.33 | 0.0 | 62.40 |
| 07/01/2018 | JAROSZ, JACEK | MFS UTILITIES C | 0.00 | 5.03 | 0.00 | 5.03 | 0.0 | 4.53 |
| 07/01/2018 | JAROSZ, TERESA | MFS UTILITIES C | 0.00 | 5.03 | 0.00 | 5.03 | 0.0 | 4.53 |
| 07/01/2018 | ZIEMBINSKI, JOANNA | MFS UTILITIES C | 0.00 | 14.46 | 0.00 | 14.46 | 0.0 | 13.01 |
| 07/01/2018 | POLLAK, TATIANA | MFS UTILITIES C | 0.00 | 9.35 | 0.00 | 9.35 | 0.0 | 8.42 |
| 07/01/2018 | POLLAK, WIESLAW | MFS UTILITIES C | 0.00 | 9.35 | 0.00 | 9.35 | 0.0 | 8.42 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS UTILITIES C | 0.00 | 23.70 | 0.00 | 23.70 | 0.0 | 21.33 |
| 07/01/2018 | BOSOWSKI, EWA | MFS UTILITIES C | 0.00 | 28.82 | 0.00 | 28.82 | 0.0 | 25.94 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | MALYSZ (A MINOR), MICHALINA | MFS UTILITIES C | 0.00 | 4.71 | 0.00 | 4.71 | 0.0 | 4.24 |
| 07/01/2018 | TYLMAN, JOANNA | MFS UTILITIES C | 0.00 | 28.57 | 0.00 | 28.57 | 0.0 | 25.71 |
| 07/01/2018 | TYLMAN, PETER | MFS UTILITIES C | 0.00 | 29.98 | 0.00 | 29.98 | 0.0 | 26.98 |
| 07/01/2018 | ZGAJEWSKI, BARBARA | MFS UTILITIES C | 0.00 | 4.24 | 0.00 | 4.24 | 0.0 | 3.82 |
| 07/01/2018 | DABROWSKI, AGNIESZKA | MFS UTILITIES C | 0.00 | 37.01 | 0.00 | 37.01 | 0.0 | 33.31 |
| 07/01/2018 | WALUSZKO, SYLWIA | MFS UTILITIES C | 0.00 | 21.31 | 0.00 | 21.31 | 0.0 | 19.18 |
| 07/09/2018 | DABROWSKI, AGNIESZKA | MFS UTILITIES C | 270.00 | 2.70 | 0.00 | 2.70 | 0.0 | 2.43 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS VALUE A | 0.00 | 2.41 | 0.00 | 2.41 | 0.0 | 2.17 |
| 07/01/2018 | BOSOWSKI, EWA | MFS VALUE A | 0.00 | 2.39 | 0.00 | 2.39 | 0.0 | 2.15 |
| 07/01/2018 | SWIDER, JULIA | MFS VALUE A | 0.00 | 5.35 | 0.00 | 5.35 | 0.0 | 4.82 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS VALUE A | 0.00 | 1.26 | 0.00 | 1.26 | 0.0 | 1.13 |
| 07/01/2018 | CHADA, WIESLAW | MFS VALUE A | 0.00 | 0.77 | 0.00 | 0.77 | 0.0 | 0.69 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS VALUE A | 0.00 | 0.53 | 0.00 | 0.53 | 0.0 | 0.48 |
| 07/01/2018 | VITALIDEX CORP SEP PLAN | MFS VALUE B | 0.00 | 0.37 | 0.00 | 0.37 | 0.0 | 0.33 |
| 07/01/2018 | VITALIDEX CORP SEP PLAN | MFS VALUE B | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.47 |
| 07/01/2018 | REHABILITATION SVS PC SP, | MFS VALUE B | 0.00 | 0.10 | 0.00 | 0.10 | 0.0 | 0.09 |
| 07/01/2018 | ZGAJEWSKI, MARIUSZ | MFS VALUE B | 0.00 | 2.75 | 0.00 | 2.75 | 0.0 | 2.48 |
| 07/01/2018 | STELMASIAK, BERENIKA | MFS VALUE B | 0.00 | 0.06 | 0.00 | 0.06 | 0.0 | 0.05 |
| 07/01/2018 | MARSZALEK, ZOFIA | MFS VALUE B | 0.00 | 2.25 | 0.00 | 2.25 | 0.0 | 2.03 |
| 07/01/2018 | WALCZYK, ANNA | MFS VALUE B | 0.00 | 5.57 | 0.00 | 5.57 | 0.0 | 5.01 |
| 07/01/2018 | WALCZYK, MAREK | MFS VALUE B | 0.00 | 5.77 | 0.00 | 5.77 | 0.0 | 5.19 |
| 07/01/2018 | WALCZYK, SABRINA | MFS VALUE B | 0.00 | 0.37 | 0.00 | 0.37 | 0.0 | 0.33 |
| 07/01/2018 | TULEWICZ, KRYSZYNA | MFS VALUE B | 0.00 | 0.40 | 0.00 | 0.40 | 0.0 | 0.36 |
| 07/01/2018 | FELINSKI, DOROTA | MFS VALUE B | 0.00 | 0.42 | 0.00 | 0.42 | 0.0 | 0.38 |
| 07/01/2018 | CHOWANIEC (A MINOR), MICHAL | MFS VALUE B | 0.00 | 1.00 | 0.00 | 1.00 | 0.0 | 0.90 |
| 07/01/2018 | CHOWANIEC (A MINOR), PAULINA | MFS VALUE B | 0.00 | 0.99 | 0.00 | 0.99 | 0.0 | 0.89 |
| 07/01/2018 | CHOWANIEC, WOJCIECH | MFS VALUE B | 0.00 | 4.34 | 0.00 | 4.34 | 0.0 | 3.91 |
| 07/01/2018 | WIKAR, SYLVIA | MFS VALUE B | 0.00 | 0.72 | 0.00 | 0.72 | 0.0 | 0.65 |
| 07/01/2018 | LOWISZ, WLADYSLAWA | MFS VALUE B | 0.00 | 2.41 | 0.00 | 2.41 | 0.0 | 2.17 |
| 07/01/2018 | WIKAR, TYMOTEUSZ | MFS VALUE B | 0.00 | 1.12 | 0.00 | 1.12 | 0.0 | 1.01 |
| 07/01/2018 | SZAJEWSKI, REGINA | MFS VALUE B | 0.00 | 1.08 | 0.00 | 1.08 | 0.0 | 0.97 |
| 07/01/2018 | SZAJEWSKI, REGINA | MFS VALUE B | 0.00 | 2.17 | 0.00 | 2.17 | 0.0 | 1.95 |
| 07/01/2018 | DANEK MD SEP PLAN, BEATA | MFS VALUE B | 0.00 | 0.16 | 0.00 | 0.16 | 0.0 | 0.14 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: MARK-H122 HUBER #89 </u> | | | | | | | | |
| 07/01/2018 | MURZANSKI, ANDRZEJ | MFS VALUE C | 0.00 | 10.51 | 0.00 | 10.51 | 0.0 | 9.46 |
| 07/01/2018 | BOSOWSKI, JANUSZ | MFS VALUE C | 0.00 | 14.56 | 0.00 | 14.56 | 0.0 | 13.10 |
| 07/01/2018 | BOSOWSKI, EWA | MFS VALUE C | 0.00 | 15.25 | 0.00 | 15.25 | 0.0 | 13.73 |
| 07/01/2018 | SYNOWIEC, JADWIGA | MFS VALUE C | 0.00 | 8.61 | 0.00 | 8.61 | 0.0 | 7.75 |
| 07/01/2018 | WIKAR, HALINA | MFS VALUE C | 0.00 | 53.17 | 0.00 | 53.17 | 0.0 | 47.85 |
| 07/01/2018 | SWIDER, JULIA | MFS VALUE C | 0.00 | 6.82 | 0.00 | 6.82 | 0.0 | 6.14 |
| 07/01/2018 | BYSTROWIZ SEP PLAN, MAREK | MFS VALUE C | 0.00 | 1.61 | 0.00 | 1.61 | 0.0 | 1.45 |
| 07/01/2018 | CHADA, WIESLAW | MFS VALUE C | 0.00 | 0.98 | 0.00 | 0.98 | 0.0 | 0.88 |
| 07/01/2018 | ZIEMBINSKI, JACEK | MFS VALUE C | 0.00 | 0.67 | 0.00 | 0.67 | 0.0 | 0.60 |
| 07/01/2018 | DOMANUS, MALGORZATA | MFS VALUE C | 0.00 | 2.98 | 0.00 | 2.98 | 0.0 | 2.68 |
| 07/01/2018 | TYLMAN, PETER | MFS VALUE C | 0.00 | 21.24 | 0.00 | 21.24 | 0.0 | 19.12 |
| 07/01/2018 | TYLMAN, JOANNA | MFS VALUE C | 0.00 | 22.78 | 0.00 | 22.78 | 0.0 | 20.50 |
| 07/01/2018 | BECKER, KARINA | MFS VALUE C | 0.00 | 6.20 | 0.00 | 6.20 | 0.0 | 5.58 |
| 07/01/2018 | MURZANSKI, EWA | MFS VALUE C | 0.00 | 10.51 | 0.00 | 10.51 | 0.0 | 9.46 |
| 07/01/2018 | WALUSZKO, SYLWIA | MFS VALUE C | 0.00 | 13.94 | 0.00 | 13.94 | 0.0 | 12.55 |
| * MARK-H122 HUBER SUBTOTAL * | | | \$790.00 | \$3,728.66 | \$0.00 | \$3,728.66 | | \$3,355.96 |
| <u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u> | | | | | | | | |
| 06/30/2018 | CIPPARRONE, NANCY | BR TOTAL INC & REAL ESTATE FUND A | 20,339.18 | 4.18 | 0.00 | 4.18 | 0.0 | 3.76 |
| 06/30/2018 | GRANZYK, KATHLEEN | GRIFFIN CAPITAL ESSENTIAL ASSET II | 28,770.99 | 71.73 | 0.00 | 71.73 | 0.0 | 64.56 |
| 07/11/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,500.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/11/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,200.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/12/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,499.61 | 12.85 | 27.00 | -14.15 | 0.0 | -12.74 |
| 07/12/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/12/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,200.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/12/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/12/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,200.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/12/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,600.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/13/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/13/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,650.00 | 15.00 | 27.00 | -12.00 | 0.0 | -10.80 |
| 07/13/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/13/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -3,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/16/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,750.00 | 15.00 | 27.00 | -12.00 | 0.0 | -10.80 |
| 07/16/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,810.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u> | | | | | | | | |
| 07/17/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -56.00 | 0.48 | 25.00 | -24.52 | 0.0 | -22.07 |
| 07/17/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -828.90 | 9.21 | 27.00 | -17.79 | 0.0 | -16.01 |
| 07/17/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -864.00 | 10.80 | 27.00 | -16.20 | 0.0 | -14.58 |
| 07/18/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -440.00 | 6.00 | 27.00 | -21.00 | 0.0 | -18.90 |
| 07/18/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,050.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/18/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/18/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,100.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -855.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,580.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,760.00 | 24.00 | 27.00 | -3.00 | 0.0 | -2.70 |
| 07/19/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -225.79 | 5.01 | 27.00 | -21.99 | 0.0 | -19.79 |
| 07/19/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,550.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,730.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,800.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -4,200.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/19/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,536.00 | 19.20 | 27.00 | -7.80 | 0.0 | -7.02 |
| 07/20/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -4,820.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/20/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,200.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/20/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/20/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -500.00 | 6.00 | 27.00 | -21.00 | 0.0 | -18.90 |
| 07/23/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/23/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/24/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,350.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,800.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,191.60 | 19.86 | 27.00 | -7.14 | 0.0 | -6.43 |
| 07/25/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,800.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -930.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,000.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,850.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,100.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,800.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u> | | | | | | | | |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,850.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,900.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -360.00 | 6.00 | 27.00 | -21.00 | 0.0 | -18.90 |
| 07/25/2018 | RAVEN, JOEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -2,200.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/26/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -450.00 | 7.50 | 27.00 | -19.50 | 0.0 | -17.55 |
| 07/26/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,600.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| 07/26/2018 | ROESKE, MICHAEL | INTERCLOUD SYS INC COM PAR \$0.0001 | -1,700.00 | 30.00 | 27.00 | 3.00 | 0.0 | 2.70 |
| * R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL * | | | \$-53,276.73 | \$1,432.82 | \$1,456.00 | \$-23.18 | | \$-20.87 |
| <u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u> | | | | | | | | |
| 07/19/2018 | KISSH, BARBARA | AM FUNDS AMERICAN BALANCED A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 2.25 |
| 07/01/2018 | KISSH, BARBARA | MFS CORE EQUITY A | 0.00 | 1.78 | 0.00 | 1.78 | 0.0 | 1.60 |
| * DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL * | | | \$50.00 | \$4.28 | \$0.00 | \$4.28 | | \$3.85 |
| <u>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</u> | | | | | | | | |
| 07/18/2018 | CLINGERMAN, JENNIFER | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 2.25 |
| 06/30/2018 | SWAFFORD, WILLIAM | BLACKROCK 67776G469 | 0.00 | 1.04 | 0.00 | 1.04 | 0.0 | 0.94 |
| 06/30/2018 | SWAFFORD, WILLIAM | GLOBAL ALLOCATION FUND | 0.00 | 1.49 | 0.00 | 1.49 | 0.0 | 1.34 |
| 06/30/2018 | PARAGON, CARRIE | GRIFFIN CAPITAL ESSENTIAL ASSET II | 6,713.23 | 16.74 | 0.00 | 16.74 | 0.0 | 15.07 |
| 06/30/2018 | RACK TRUST, JOAN | GRIFFIN CAPITAL ESSENTIAL ASSET II | 14,385.49 | 35.87 | 0.00 | 35.87 | 0.0 | 32.28 |
| 07/05/2018 | HELD, RONALD | ING LANDMARK | 183,506.41 | 458.77 | 0.00 | 458.77 | 0.0 | 412.89 |
| * R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL * | | | \$204,655.13 | \$516.41 | \$0.00 | \$516.41 | | \$464.77 |
| <u>BROKER: MARK-H150 HUBER #396</u> | | | | | | | | |
| 06/30/2018 | GIBSON, PAMELA | GRIFFIN CAPITAL ESSENTIAL ASSET II | 47,951.65 | 119.55 | 0.00 | 119.55 | 0.0 | 107.60 |
| * MARK-H150 HUBER SUBTOTAL * | | | \$47,951.65 | \$119.55 | \$0.00 | \$119.55 | | \$107.60 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$200,670.05 | \$5,818.72 | \$1,456.00 | \$4,362.72 | | \$3,926.61 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,840.44 |
| Split Transactions | \$0.00 |
| Override Transactions | \$3,926.61 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$5,767.05 |
| Year-to-date Gross | \$207,898.29 |
| Year-to-date Earnings | \$299,266.14 |