

# STATEMENT

## May 20, 2018

KRISTIN FRENCH  
9431 Coppertop Loop NE Suite 2  
SUITE 620  
Bainbridge Island, WA 98110

BROKER #: 125  
BRANCH:

### COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

04/02/2018	#00085024996	DOWNS,	CAPITAL INCOME BUILDER FD CL A	50.00	2.13	90.0	0.00	1.92	0.00	1.92
04/02/2018	#00085024996	DOWNS,	CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.13	90.0	0.00	1.92	0.00	1.92
04/19/2018	#00081788492	SELLERS, CARRIE	CAPITAL WORLD GROWTH & INCOME FUND	715.00	19.66	90.0	0.00	17.69	0.00	17.69
04/19/2018	#00081788492	SELLERS, CARRIE	EURO PACIFIC GROWTH FUND CLASS A	605.00	16.64	90.0	0.00	14.98	0.00	14.98
04/19/2018	#00081788832	SELLERS, DAVID	EURO PACIFIC GROWTH FUND CLASS A	1,300.00	35.75	90.0	0.00	32.18	0.00	32.18
04/19/2018	#00081788492	SELLERS, CARRIE	GROWTH FUND AMERICA INC CLASS A	1,100.00	30.25	90.0	0.00	27.23	0.00	27.23
04/19/2018	#00081788832	SELLERS, DAVID	GROWTH FUND AMERICA INC CLASS A	1,300.00	35.75	90.0	0.00	32.18	0.00	32.18
04/19/2018	#00081788492	SELLERS, CARRIE	INVESTMENT CO AMER CLASS A	1,210.00	33.28	90.0	0.00	29.95	0.00	29.95
04/19/2018	#00081788832	SELLERS, DAVID	INVESTMENT CO AMER CLASS A	1,300.00	35.75	90.0	0.00	32.18	0.00	32.18
04/19/2018	#00081788492	SELLERS, CARRIE	NEW PERSPECTIVE FUND INC CL A	880.00	24.20	90.0	0.00	21.78	0.00	21.78
04/19/2018	#00081788832	SELLERS, DAVID	NEW PERSPECTIVE FUND INC CL A	1,300.00	35.75	90.0	0.00	32.18	0.00	32.18
04/19/2018	#00081788492	SELLERS, CARRIE	WASHINGTON MUTUAL INVS FD CLASS A	990.00	27.23	90.0	0.00	24.51	0.00	24.51
04/19/2018	#00081788832	SELLERS, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	1,300.00	35.75	90.0	0.00	32.18	0.00	32.18
* MUTUAL FUNDS SUBTOTAL *				\$12,100.00	\$334.27		\$0.00	\$300.88	\$0.00	\$300.88

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

03/01/0018	#711693249	NICHOLSEN,	HARTFORD LIFE	0.00	68.64	90.0	0.00	61.78	0.00	61.78
04/05/0018	#I083459LM	KITSSAP ROOFING	VOYA	0.00	1,010.43	90.0	0.00	909.39	0.00	909.39
04/03/2018	#E0737443	KIRKREIT, JUDY	PRUDETIAL TRAILS	0.00	33.34	90.0	0.00	30.01	0.00	30.01
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$1,112.41		\$0.00	\$1,001.18	\$0.00	\$1,001.18

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/10/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.13	90.0	0.00	4.62	0.00	4.62
04/10/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.27	90.0	0.00	2.04	0.00	2.04
04/10/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.46	90.0	0.00	3.11	0.00	3.11
04/10/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	7.44	90.0	0.00	6.70	0.00	6.70
04/10/2018	#	TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.82	90.0	0.00	4.34	0.00	4.34
04/10/2018	#	ARCANDIER INC	DAIN TRAILER	0.00	28.28	90.0	0.00	25.45	0.00	25.45
04/10/2018	#	ARCANDIER INC	DAIN TRAILER	0.00	23.38	90.0	0.00	21.04	0.00	21.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018 #	ARCANDIER INC	DAIN TRAILER	0.00	9.73	90.0	0.00	8.76	0.00	8.76
04/10/2018 #	ARCANDIER INC	DAIN TRAILER	0.00	18.07	90.0	0.00	16.26	0.00	16.26
04/10/2018 #	ARCANDIER INC	DAIN TRAILER	0.00	11.10	90.0	0.00	9.99	0.00	9.99
04/10/2018 #	ARCANDIER INC	DAIN TRAILER	0.00	7.79	90.0	0.00	7.01	0.00	7.01
04/10/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	9.69	90.0	0.00	8.72	0.00	8.72
04/10/2018 #	BRESKE, THOMAS	DAIN TRAILER	0.00	3.27	90.0	0.00	2.94	0.00	2.94
04/10/2018 #	BRESKE, THOMAS	DAIN TRAILER	0.00	3.60	90.0	0.00	3.24	0.00	3.24
04/10/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	8.34	90.0	0.00	7.51	0.00	7.51
04/10/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	2.64	90.0	0.00	2.38	0.00	2.38
04/10/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	10.90	90.0	0.00	9.81	0.00	9.81
04/10/2018 #	S INC, SAGE MEDICAL SO	DAIN TRAILER	0.00	3.98	90.0	0.00	3.58	0.00	3.58
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	22.14	90.0	0.00	19.93	0.00	19.93
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	23.69	90.0	0.00	21.32	0.00	21.32
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	19.72	90.0	0.00	17.75	0.00	17.75
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	26.41	90.0	0.00	23.77	0.00	23.77
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	2.06	90.0	0.00	1.85	0.00	1.85
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	15.30	90.0	0.00	13.77	0.00	13.77
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	8.15	90.0	0.00	7.34	0.00	7.34
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	10.90	90.0	0.00	9.81	0.00	9.81
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	15.81	90.0	0.00	14.23	0.00	14.23
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	8.60	90.0	0.00	7.74	0.00	7.74
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	5.29	90.0	0.00	4.76	0.00	4.76
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	5.08	90.0	0.00	4.57	0.00	4.57
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	10.73	90.0	0.00	9.66	0.00	9.66
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	6.24	90.0	0.00	5.62	0.00	5.62
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	2.71	90.0	0.00	2.44	0.00	2.44
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	17.43	90.0	0.00	15.69	0.00	15.69
04/10/2018 #	BRESKE, PAMELA	DAIN TRAILER	0.00	4.41	90.0	0.00	3.97	0.00	3.97
04/10/2018 #	C, SAN RAY PROPERT	DAIN TRAILER	0.00	2.01	90.0	0.00	1.81	0.00	1.81
04/10/2018 #	C, SAN RAY PROPERT	DAIN TRAILER	0.00	18.11	90.0	0.00	16.30	0.00	16.30
04/10/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	5.45	90.0	0.00	4.91	0.00	4.91
04/10/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	34.78	90.0	0.00	31.30	0.00	31.30
04/10/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	23.12	90.0	0.00	20.81	0.00	20.81
04/10/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	18.68	90.0	0.00	16.81	0.00	16.81
04/10/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	40.67	90.0	0.00	36.60	0.00	36.60
04/10/2018 #	ION, JIM FOGARTY CON	DAIN TRAILER	0.00	42.21	90.0	0.00	37.99	0.00	37.99

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	70.23	90.0	0.00	63.21	0.00	63.21
04/10/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	83.24	90.0	0.00	74.92	0.00	74.92
04/10/2018	# JOHNSON, MARGARET	DAIN TRAILER	0.00	20.78	90.0	0.00	18.70	0.00	18.70
04/10/2018	# JOHNSON, ROGER	DAIN TRAILER	0.00	38.57	90.0	0.00	34.71	0.00	34.71
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	54.72	90.0	0.00	49.25	0.00	49.25
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	9.96	90.0	0.00	8.96	0.00	8.96
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	52.98	90.0	0.00	47.68	0.00	47.68
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	48.56	90.0	0.00	43.70	0.00	43.70
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	29.77	90.0	0.00	26.79	0.00	26.79
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.93	90.0	0.00	3.54	0.00	3.54
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.75	90.0	0.00	1.58	0.00	1.58
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.98	90.0	0.00	1.78	0.00	1.78
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	5.90	90.0	0.00	5.31	0.00	5.31
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.09	90.0	0.00	1.88	0.00	1.88
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.85	90.0	0.00	0.77	0.00	0.77
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.07	90.0	0.00	0.96	0.00	0.96
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.65	90.0	0.00	3.29	0.00	3.29
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.23	90.0	0.00	3.81	0.00	3.81
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	4.06	90.0	0.00	3.65	0.00	3.65
04/10/2018	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.35	90.0	0.00	2.12	0.00	2.12
04/10/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.13	90.0	0.00	3.72	0.00	3.72
04/10/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.61	90.0	0.00	2.35	0.00	2.35
04/10/2018	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	4.27	90.0	0.00	3.84	0.00	3.84
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.10	90.0	0.00	0.09	0.00	0.09
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.46	90.0	0.00	0.41	0.00	0.41
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.19	90.0	0.00	1.07	0.00	1.07
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	1.26	90.0	0.00	1.13	0.00	1.13
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	7.02	90.0	0.00	6.32	0.00	6.32
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	0.21	90.0	0.00	0.19	0.00	0.19
04/10/2018	# WALLS, ALICE	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
04/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	2.60	90.0	0.00	2.34	0.00	2.34
04/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	7.33	90.0	0.00	6.60	0.00	6.60
04/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	2.23	90.0	0.00	2.01	0.00	2.01
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.85	90.0	0.00	2.57	0.00	2.57

COMMISSION STATEMENT for KRISTIN FRENCH

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.32	90.0	0.00	2.09	0.00	2.09
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.59	90.0	0.00	2.33	0.00	2.33
04/10/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.14	90.0	0.00	2.83	0.00	2.83
04/10/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	4.43	90.0	0.00	3.99	0.00	3.99
04/10/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	5.95	90.0	0.00	5.36	0.00	5.36
04/10/2018	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	2.12	90.0	0.00	1.91	0.00	1.91
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.80	90.0	0.00	3.42	0.00	3.42
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.38	90.0	0.00	1.24	0.00	1.24
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	2.96	90.0	0.00	2.66	0.00	2.66
04/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	4.99	90.0	0.00	4.49	0.00	4.49
04/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	5.13	90.0	0.00	4.62	0.00	4.62
04/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	3.66	90.0	0.00	3.29	0.00	3.29
04/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	4.56	90.0	0.00	4.10	0.00	4.10
04/10/2018	# BRADEN, RANDALL	DAIN TRAILER	0.00	1.77	90.0	0.00	1.59	0.00	1.59
04/10/2018	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	2.17	90.0	0.00	1.95	0.00	1.95
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	3.80	90.0	0.00	3.42	0.00	3.42
04/10/2018	# BRADEN, GAIL	DAIN TRAILER	0.00	4.23	90.0	0.00	3.81	0.00	3.81
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.43	90.0	0.00	1.29	0.00	1.29
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.62	90.0	0.00	2.36	0.00	2.36
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	7.00	90.0	0.00	6.30	0.00	6.30
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.82	90.0	0.00	2.54	0.00	2.54
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	6.15	90.0	0.00	5.54	0.00	5.54
04/10/2018	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	4.33	90.0	0.00	3.90	0.00	3.90
04/10/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	2.25	90.0	0.00	2.03	0.00	2.03
04/10/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	3.16	90.0	0.00	2.84	0.00	2.84
04/10/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	1.17	90.0	0.00	1.05	0.00	1.05
04/10/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	3.84	90.0	0.00	3.46	0.00	3.46
04/10/2018	# TODD ALTOM TTEE	DAIN TRAILER	0.00	4.00	90.0	0.00	3.60	0.00	3.60
04/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	0.84	90.0	0.00	0.76	0.00	0.76
04/10/2018	# COATES DESIGN INC	DAIN TRAILER	0.00	1.30	90.0	0.00	1.17	0.00	1.17
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.85	90.0	0.00	1.67	0.00	1.67
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.95	90.0	0.00	2.66	0.00	2.66
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.53	90.0	0.00	1.38	0.00	1.38

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.83	90.0	0.00	2.55	0.00	2.55
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.40	90.0	0.00	4.86	0.00	4.86
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.66	90.0	0.00	1.49	0.00	1.49
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
04/10/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	2.44	90.0	0.00	2.20	0.00	2.20
04/10/2018	# DAHLEM, DARCY	DAIN TRAILER	0.00	8.14	90.0	0.00	7.33	0.00	7.33
04/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	6.20	90.0	0.00	5.58	0.00	5.58
04/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.96	90.0	0.00	1.76	0.00	1.76
04/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	1.76	90.0	0.00	1.58	0.00	1.58
04/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.64	90.0	0.00	2.38	0.00	2.38
04/10/2018	# COATES, MATTHEW	DAIN TRAILER	0.00	2.47	90.0	0.00	2.22	0.00	2.22
04/10/2018	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	5.39	90.0	0.00	4.85	0.00	4.85
04/10/2018	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	0.01	90.0	0.00	0.01	0.00	0.01
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.57	90.0	0.00	4.11	0.00	4.11
04/10/2018	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.35	90.0	0.00	4.82	0.00	4.82
04/10/2018	# WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.89	90.0	0.00	1.70	0.00	1.70
04/10/2018	# SORENSON, LORI	DAIN TRAILER	0.00	0.21	90.0	0.00	0.19	0.00	0.19
04/10/2018	# SORENSON, LORI	DAIN TRAILER	0.00	2.75	90.0	0.00	2.48	0.00	2.48
04/10/2018	# SORENSON, LORI	DAIN TRAILER	0.00	5.80	90.0	0.00	5.22	0.00	5.22
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.73	90.0	0.00	2.46	0.00	2.46
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.81	90.0	0.00	2.53	0.00	2.53
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.04	90.0	0.00	5.44	0.00	5.44
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	1.57	90.0	0.00	1.41	0.00	1.41
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	21.27	90.0	0.00	19.14	0.00	19.14
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	19.80	90.0	0.00	17.82	0.00	17.82
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	4.06	90.0	0.00	3.65	0.00	3.65
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	12.58	90.0	0.00	11.32	0.00	11.32
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	34.32	90.0	0.00	30.89	0.00	30.89
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	9.76	90.0	0.00	8.78	0.00	8.78
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	6.91	90.0	0.00	6.22	0.00	6.22
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	69.61	90.0	0.00	62.65	0.00	62.65
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	9.69	90.0	0.00	8.72	0.00	8.72
04/10/2018	# VELOSYS LLC	DAIN TRAILER	0.00	12.44	90.0	0.00	11.20	0.00	11.20
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.17	90.0	0.00	2.85	0.00	2.85
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.54	90.0	0.00	3.19	0.00	3.19
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	11.19	90.0	0.00	10.07	0.00	10.07

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	5.81	90.0	0.00	5.23	0.00	5.23
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	9.90	90.0	0.00	8.91	0.00	8.91
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	25.25	90.0	0.00	22.73	0.00	22.73
04/10/2018	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	11.15	90.0	0.00	10.04	0.00	10.04
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	19.32	90.0	0.00	17.39	0.00	17.39
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	8.19	90.0	0.00	7.37	0.00	7.37
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	13.93	90.0	0.00	12.54	0.00	12.54
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	14.86	90.0	0.00	13.37	0.00	13.37
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	7.78	90.0	0.00	7.00	0.00	7.00
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	8.06	90.0	0.00	7.25	0.00	7.25
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	10.43	90.0	0.00	9.39	0.00	9.39
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	6.13	90.0	0.00	5.52	0.00	5.52
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	16.19	90.0	0.00	14.57	0.00	14.57
04/10/2018	# JADILE LLC	DAIN TRAILER	0.00	3.92	90.0	0.00	3.53	0.00	3.53
04/10/2018	# SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.67	90.0	0.00	0.60	0.00	0.60
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	15.75	90.0	0.00	14.18	0.00	14.18
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	36.85	90.0	0.00	33.17	0.00	33.17
04/10/2018	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	23.24	90.0	0.00	20.92	0.00	20.92
04/10/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	0.73	90.0	0.00	0.66	0.00	0.66
04/10/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	1.43	90.0	0.00	1.29	0.00	1.29
04/10/2018	# ANN CURRY TTEE	DAIN TRAILER	0.00	0.50	90.0	0.00	0.45	0.00	0.45
04/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.24	90.0	0.00	2.02	0.00	2.02
04/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
04/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	7.41	90.0	0.00	6.67	0.00	6.67
04/10/2018	# GERMAN, BONNIE	DAIN TRAILER	0.00	3.97	90.0	0.00	3.57	0.00	3.57
04/10/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	2.62	90.0	0.00	2.36	0.00	2.36
04/10/2018	# HOLMQUIST, ANN	DAIN TRAILER	0.00	3.04	90.0	0.00	2.74	0.00	2.74
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.79	90.0	0.00	6.11	0.00	6.11
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	15.31	90.0	0.00	13.78	0.00	13.78
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.95	90.0	0.00	2.66	0.00	2.66
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.35	90.0	0.00	3.02	0.00	3.02
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	3.18	90.0	0.00	2.86	0.00	2.86
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	7.08	90.0	0.00	6.37	0.00	6.37
04/10/2018	# HOLMQUIST, JON	DAIN TRAILER	0.00	6.04	90.0	0.00	5.44	0.00	5.44
04/10/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.71	90.0	0.00	2.44	0.00	2.44
04/10/2018	# EUGENE PFLUM TTEE	DAIN TRAILER	0.00	4.05	90.0	0.00	3.65	0.00	3.65

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	5.95	90.0	0.00	5.36	0.00	5.36
04/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	16.78	90.0	0.00	15.10	0.00	15.10
04/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.80	90.0	0.00	2.52	0.00	2.52
04/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	21.89	90.0	0.00	19.70	0.00	19.70
04/10/2018 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	25.11	90.0	0.00	22.60	0.00	22.60
04/10/2018 #	MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	12.75	90.0	0.00	11.48	0.00	11.48
04/10/2018 #	REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	10.78	90.0	0.00	9.70	0.00	9.70
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	2.85	90.0	0.00	2.57	0.00	2.57
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	2.17	90.0	0.00	1.95	0.00	1.95
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	1.17	90.0	0.00	1.05	0.00	1.05
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	1.53	90.0	0.00	1.38	0.00	1.38
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.90	90.0	0.00	0.81	0.00	0.81
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	2.41	90.0	0.00	2.17	0.00	2.17
04/10/2018 #	JOHNSON, JACK	DAIN TRAILER	0.00	0.58	90.0	0.00	0.52	0.00	0.52
04/25/2018 #	VELOSYS LLC	DAIN TRAILER	16,760.00	0.00	90.0	0.00	0.00	0.00	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$16,760.00</b>	<b>\$1,863.19</b>		<b>\$0.00</b>	<b>\$1,677.02</b>	<b>\$0.00</b>	<b>\$1,677.02</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$28,860.00</b>	<b>\$3,309.87</b>		<b>\$0.00</b>	<b>\$2,979.08</b>	<b>\$0.00</b>	<b>\$2,979.08</b>

**ADJUSTMENTS**

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-499.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,979.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$2,480.08</b>
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