

COMMISSION STATEMENT

July 31, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/10/2018	QGW032054	PAEGLOW, JOSEPH	INTUITIVE SURGICAL INC COM	S	-25,050.00	200.00	0.00	200.00	82.5	165.00
06/29/2018	QGW032104	DAVIDOV, WILLIAM	BOYD GAMING CORP	B	3,236.40	25.00	0.00	25.00	82.5	20.63
06/29/2018	QGW032104	DAVIDOV, WILLIAM	CYPRESS SEMICONDUCTOR CORP	S	-3,011.54	25.00	0.00	25.00	82.5	20.63
07/03/2018	QGW032104	DAVIDOV, WILLIAM	ACCO BRANDS CORP	B	1,556.50	50.00	0.00	50.00	82.5	41.25
07/03/2018	QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS	S	-1,577.99	50.00	0.00	50.00	82.5	41.25
07/23/2018	QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS	S	-960.00	50.00	0.00	50.00	82.5	41.25
07/23/2018	QGW032104	DAVIDOV, WILLIAM	VIVINT SOLAR INC COM	B	868.28	50.00	0.00	50.00	82.5	41.25
07/17/2018	QGW032120	DAVIDOV, WILLIAM	GEOPARK LTD USD SHS ISIN#BMG3832710	S	-2,850.00	25.00	0.00	25.00	82.5	20.63
07/18/2018	QGW032120	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	B	2,716.00	25.00	0.00	25.00	82.5	20.63
07/11/2018	QGW032195	NERY, GENEROSO	CBS CORP CLASS B	B	23,700.00	675.00	0.00	675.00	82.5	556.88
07/16/2018	QGW032237	PETERSON, SHAWN	AT&T INC	B	20,696.00	600.00	0.00	600.00	82.5	495.00
07/11/2018	QGW032278	DUFRESNE, WAYNE	CBS CORP CLASS B	B	17,745.00	375.00	0.00	375.00	82.5	309.38
07/11/2018	QGW032278	DUFRESNE, WAYNE	FACEBOOK INC CL A	S	-16,240.00	400.00	0.00	400.00	82.5	330.00
06/28/2018	QGW032344	DYKSTRA, JAMES	BOX INC CL A	S	-12,175.00	100.00	0.00	100.00	82.5	82.50
07/12/2018	QGW032344	DYKSTRA, JAMES	CBS CORP CLASS B	B	14,770.00	400.00	0.00	400.00	82.5	330.00
07/16/2018	QGW032468	HUNDLEY, MICHAEL	CBS CORP CLASS B	B	8,204.00	240.00	0.00	240.00	82.5	198.00
06/29/2018	QGW032476	HANNAN, JOHN	AVITA MED LTD SPONSORED ADR ISIN#US	B	699.30	25.00	0.00	25.00	82.5	20.63
07/20/2018	QGW032476	HANNAN, JOHN	TWITTER INC	S	-2,187.59	50.00	0.00	50.00	82.5	41.25
07/18/2018	QGW032518	OSSWALD, CHARLES	CHARLES SCHWAB CORP NEW	S	-2,703.53	50.00	0.00	50.00	82.5	41.25
07/12/2018	QGW033136	MARAGLINO, NICHOLAS	CBS CORP CLASS B	B	7,978.50	230.00	0.00	230.00	82.5	189.75
07/18/2018	QGW033847	GOMEZ, SUSANNA	AMAZON.COM INC	S	-1,839.70	50.00	0.00	50.00	82.5	41.25
07/18/2018	QGW033847	GOMEZ, SUSANNA	CAESARS ENTERTAINMENT CORPORATION	S	-1,594.04	75.00	0.00	75.00	82.5	61.88
07/18/2018	QGW033847	GOMEZ, SUSANNA	TESLA MOTORS INC	S	-3,516.68	56.82	0.00	56.82	82.5	46.88
07/18/2018	QGW033854	SPATES, JULIUS	ALIBABA GROUP HOLDING LTD SPONSORED	S	-2,675.53	50.00	0.00	50.00	82.5	41.25
07/18/2018	QGW033854	SPATES, JULIUS	APPLE INC	S	-4,568.18	50.00	0.00	50.00	82.5	41.25
07/18/2018	QGW033854	SPATES, JULIUS	THE TRADE DESK INC COM CL A	S	-1,795.50	50.00	0.00	50.00	82.5	41.25
07/05/2018	QGW037202	ELIHU, ALBERT	CBS CORP CLASS B	B	11,286.00	100.00	0.00	100.00	82.5	82.50
07/02/2018	QGW038879	MURDOCK, BRANDI	ADIDAS AG SPONSORED ADR	S	-5,330.50	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI	AMAZON.COM INC	S	-8,479.56	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI	APPLE INC	S	-5,603.45	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI	FACEBOOK INC CL A	S	-8,778.22	50.00	0.00	50.00	82.5	41.25

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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/02/2018	QGW038879	MURDOCK, BRANDI		GSV CAP CORP COM	S	-1,374.00	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI		INTERNATIONAL BUSINESS MACHINES COR	S	-2,799.60	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI		LVMH (MOET HENNESSY LOUIS VUITTON)	S	-4,898.06	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI		NETFLIX COM INC	S	-7,827.65	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI		SNAP INC CLASS A COMMON STOCK	S	-1,312.34	50.00	0.00	50.00	82.5	41.25
07/02/2018	QGW038879	MURDOCK, BRANDI		WALT DISNEY CO	S	-5,220.63	50.00	0.00	50.00	82.5	41.25
				* STOCKS SUBTOTAL *		\$-20,913.31	\$4,576.82	\$0.00	\$4,576.82		\$3,775.92

PRODUCT CATEGORY: BONDS

07/18/2018	QGW033847	GOMEZ, SUSANNA		MORGAN STANLEY MEDIUM TERM SR NTS B S		-2,712.50	75.00	0.00	75.00	82.5	61.88
				* BONDS SUBTOTAL *		\$-2,712.50	\$75.00	\$0.00	\$75.00		\$61.88

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/31/2018				FEE COUNT - 13		0.00	10.80	0.00	10.80	82.5	8.92
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$10.80	\$0.00	\$10.80		\$8.92
				*** BROKER TRANSACTIONS TOTAL ***		\$-23,625.81	\$4,662.62	\$0.00	\$4,662.62		\$3,846.72

ADJUSTMENTS

07/31/2018				OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
07/31/2018				OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-162.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,846.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-162.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.83
SIPC Assessment	\$-11.66

Check Amount	\$3,667.23
Year-to-date Earnings	\$124,673.86