

**COMMISSION STATEMENT****November 30, 2017**JOHN ROSS
7261 W. Central Ave.BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/31/2017	LONG, PAUL	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	1.16	0.00	1.16	92.0	1.07
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$1.16	\$0.00	\$1.16		\$1.07
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/06/2017	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	92.0	4.60
		* 529 PLANS SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$4.60
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/16/2017	ROSS, MICHELLE L HUDS	AM FUNDS AMERICAN MUTUAL A	1,971.87	54.23	0.00	54.23	92.0	49.89
11/16/2017	ROSS, MICHELLE L HUDS	AM FUNDS BALANCED PORT A	1,508.13	41.47	0.00	41.47	92.0	38.15
11/09/2017	GARDNER, SHANNON	AM FUNDS BOND FUND OF AMERICA A	2,720.00	81.60	0.00	81.60	92.0	75.07
11/16/2017	ROSS, NICOLE	AM FUNDS BOND FUND OF AMERICA A	5,500.00	151.25	0.00	151.25	92.0	139.15
11/16/2017	HUDSON-ROSS, MICHELLE	AM FUNDS BOND FUND OF AMERICA A	5,000.00	137.50	0.00	137.50	92.0	126.50
11/16/2017	ROSS, MICHELLE L HUDS	AM FUNDS CAPITAL WORLD GRTH & INC A	2,000.00	55.00	0.00	55.00	92.0	50.60
11/10/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
11/10/2017	SEYMOUR, DONALD	AM FUNDS EUROPACIFIC GROWTH FUND C	250.00	2.50	0.00	2.50	92.0	2.30
11/16/2017	ROSS, MICHELLE L HUDS	AM FUNDS NEW PERSPECTIVE A	2,000.00	55.00	0.00	55.00	92.0	50.60
11/16/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
11/10/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
11/10/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	250.00	2.50	0.00	2.50	92.0	2.30
11/10/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	428.36	4.28	0.00	4.28	92.0	3.94
11/17/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	500.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$22,303.36	\$597.96	\$0.00	\$597.96		\$550.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/04/2017	SMOOT, C STEVEN	LFG TRAILS	0.00	290.57	0.00	290.57	92.0	267.32
11/10/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
11/10/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/14/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
11/22/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
11/22/2017	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
10/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	149,234.92	124.36	0.00	124.36	92.0	114.41
10/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	106,795.72	89.00	0.00	89.00	92.0	81.88
10/21/2017	DEMKO, VERNA	TRANSAMERICA LIBERTY	82,521.74	68.77	0.00	68.77	92.0	63.27
10/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	195,327.32	162.77	0.00	162.77	92.0	149.75
10/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	4,451.74	3.71	0.00	3.71	92.0	3.41
10/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	27,671.00	23.06	0.00	23.06	92.0	21.22
* VARIABLE ANNUITIES SUBTOTAL *			\$566,292.44	\$778.20	\$0.00	\$778.20		\$715.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/03/2017	SWINDLE, CYNTHIA	ING PREMIUM PLUS 2005	0.00	43.67	0.00	43.67	92.0	40.18
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$43.67	\$0.00	\$43.67		\$40.18
*** DIRECT TRANSACTIONS TOTAL ***			\$588,695.80	\$1,425.99	\$0.00	\$1,425.99		\$1,311.91
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: THOMAS HALSEY #10</u>								
11/15/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	25.00	1.25	0.00	1.25	85.0	0.09
11/15/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	25.00	1.25	0.00	1.25	85.0	0.09
11/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
* THOMAS HALSEY SUBTOTAL *			\$350.00	\$13.75	\$0.00	\$13.75		\$0.97
<u>BROKER: THOMAS HALSEY #82</u>								
10/31/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.48	0.00	0.48	85.0	0.03
10/31/2017	LEININGER, LISA	BLACKROCK FUND 19452P677	0.00	0.48	0.00	0.48	85.0	0.03
10/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.26	0.00	1.26	85.0	0.09
10/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	8.56	0.00	8.56	85.0	0.60
10/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.23	0.00	1.23	85.0	0.09
10/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	9.65	0.00	9.65	85.0	0.68
11/14/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
10/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	140,146.02	116.79	0.00	116.79	85.0	8.18
10/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	108,543.77	90.45	0.00	90.45	85.0	6.33

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS HALSEY #82 </u>								
10/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	88,694.91	73.91	0.00	73.91	85.0	5.17
10/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	236,527.38	197.11	0.00	197.11	85.0	13.80
10/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	101,717.41	84.76	0.00	84.76	85.0	5.93
10/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	143,029.70	119.19	0.00	119.19	85.0	8.34
10/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,894.62	127.41	0.00	127.41	85.0	8.92
10/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	193,064.87	160.89	0.00	160.89	85.0	11.26
10/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	242,339.66	201.95	0.00	201.95	85.0	14.14
10/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	134,841.19	112.37	0.00	112.37	85.0	7.87
10/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	179,826.10	149.86	0.00	149.86	85.0	10.49
10/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	111,025.29	92.52	0.00	92.52	85.0	6.48
10/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	95,931.24	79.94	0.00	79.94	85.0	5.60
10/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	90,207.28	75.17	0.00	75.17	85.0	5.26
10/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	138,386.53	115.32	0.00	115.32	85.0	8.07
10/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	67,570.54	56.31	0.00	56.31	85.0	3.94
10/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,195.76	24.33	0.00	24.33	85.0	1.70
10/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	223,599.94	186.33	0.00	186.33	85.0	13.04
10/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,268.34	58.56	0.00	58.56	85.0	4.10
10/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,268.34	58.56	0.00	58.56	85.0	4.10
10/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,268.34	58.56	0.00	58.56	85.0	4.10
10/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	109,224.55	91.02	0.00	91.02	85.0	6.37
10/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	201,781.02	168.15	0.00	168.15	85.0	11.77
10/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	432,097.49	360.08	0.00	360.08	85.0	25.21
10/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	95,027.58	79.19	0.00	79.19	85.0	5.54
10/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	408,124.84	340.10	0.00	340.10	85.0	23.81
10/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	74,160.65	61.80	0.00	61.80	85.0	4.33
10/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	118,898.44	99.08	0.00	99.08	85.0	6.94
10/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	125,907.17	104.92	0.00	104.92	85.0	7.34
10/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	28,963.07	24.14	0.00	24.14	85.0	1.69
10/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	67,449.02	56.21	0.00	56.21	85.0	3.93
10/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,000.92	30.83	0.00	30.83	85.0	2.16
10/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	48,457.15	40.38	0.00	40.38	85.0	2.83
* THOMAS HALSEY SUBTOTAL *			\$4,435,489.13	\$3,720.60	\$0.00	\$3,720.60		\$260.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT THOMPSON #83 </u>								
11/13/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
10/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	58,004.34	60.42	0.00	60.42	90.0	1.21
10/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	136,750.55	113.96	0.00	113.96	90.0	2.28
10/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,676.79	3.90	0.00	3.90	90.0	0.08
10/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	261,029.83	217.52	0.00	217.52	90.0	4.35
10/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,415.54	20.35	0.00	20.35	90.0	0.41
10/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,056.63	5.05	0.00	5.05	90.0	0.10
10/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	56,310.98	46.93	0.00	46.93	90.0	0.94
10/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,406.17	78.67	0.00	78.67	90.0	1.57
10/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,336.85	40.28	0.00	40.28	90.0	0.81
10/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	32,874.29	27.40	0.00	27.40	90.0	0.55
10/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,324.51	32.77	0.00	32.77	90.0	0.66
10/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,824.02	214.02	0.00	214.02	90.0	4.28
10/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	158,310.14	131.93	0.00	131.93	90.0	2.64
10/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	79,751.84	66.46	0.00	66.46	90.0	1.33
10/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,618.30	2.18	0.00	2.18	90.0	0.04
10/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	240,746.11	200.62	0.00	200.62	90.0	4.01
10/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,906.90	95.76	0.00	95.76	90.0	1.92
10/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,660.39	2.22	0.00	2.22	90.0	0.04
10/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	122,573.28	102.14	0.00	102.14	90.0	2.04
10/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	27,153.52	22.63	0.00	22.63	90.0	0.45
10/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	101,360.62	84.47	0.00	84.47	90.0	1.69
10/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	81,807.11	68.17	0.00	68.17	90.0	1.36
10/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	40,486.60	33.74	0.00	33.74	90.0	0.67
10/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	112,742.74	93.95	0.00	93.95	90.0	1.88
10/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	71,483.27	59.57	0.00	59.57	90.0	1.19
10/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,245.85	83.54	0.00	83.54	90.0	1.67
10/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	171,392.63	142.83	0.00	142.83	90.0	2.86
10/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,932.66	179.94	0.00	179.94	90.0	3.60
10/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,114.31	21.76	0.00	21.76	90.0	0.44
10/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	76,899.76	64.08	0.00	64.08	90.0	1.28
10/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	149,199.31	124.33	0.00	124.33	90.0	2.49
10/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	61,460.89	51.22	0.00	51.22	90.0	1.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT THOMPSON #83</u>								
10/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,037.33	25.86	0.00	25.86	90.0	0.52
10/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	115,043.00	95.87	0.00	95.87	90.0	1.92
10/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,441.14	140.37	0.00	140.37	90.0	2.81
* ROBERT THOMPSON SUBTOTAL *			\$3,291,528.20	\$2,765.04	\$0.00	\$2,765.04		\$55.31
*** OVERRIDE TRANSACTIONS TOTAL ***			\$7,727,367.33	\$6,499.39	\$0.00	\$6,499.39		\$316.73

BROKER COMMISSION TOTALS

Broker Transactions	\$1,311.91
Split Transactions	\$0.00
Override Transactions	\$316.73
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,628.64
Year-to-date Gross	\$105,433.81
Year-to-date Earnings	\$102,244.86

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