



All Companies  
**COMMISSION STATEMENT**  
**April 02, 2018**

03/29/2018  
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**WILLIAM L. BROOKMAN**  
1840 Hurstview Drive

**BROKER #: 9081**  
**BRANCH: HOME OFFICE**

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/15/2018	00088728542	CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	B	25.00	0.25	0.00	0.25	90.0	0.23
03/15/2018	00088728542	CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088728542	CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	00088728542	CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	B	25.00	0.25	0.00	0.25	90.0	0.23
* MUTUAL FUNDS SUBTOTAL *					\$200.00	\$2.00	\$0.00	\$2.00		\$1.82
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/09/2018	TRAILS		AMERICAN GENERAL ANNUITY	B	149.25	149.25	0.00	149.25	90.0	134.33
* VARIABLE ANNUITIES SUBTOTAL *					\$149.25	\$149.25	\$0.00	\$149.25		\$134.33
PRODUCT CATEGORY: RIA										
01/12/2018	25632715	DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	118.34	0.00	118.34	90.0	106.51
01/12/2018	49283223	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018	62315710	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
* RIA SUBTOTAL *					\$0.00	\$149.34	\$0.00	\$149.34		\$134.41
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/02/2018	TRAIL COMMISSIONS		WELLS FARGO FUNDS FEES		0.00	3.13	0.00	3.13	90.0	2.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3.13	\$0.00	\$3.13		\$2.82
*** BROKER TRANSACTIONS TOTAL ***					\$349.25	\$303.72	\$0.00	\$303.72		\$273.38

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$273.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$165.88
Year-to-date Earnings	\$8,075.15