

**COMMISSION STATEMENT****June 15, 2018**

JEFFREY, K141 KIESNOSKI
 417 East Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: K141
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/07/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.93	0.00	2.93	94.0	2.75
05/17/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.28	0.00	0.28	94.0	0.26
05/30/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.09	0.00	2.09	94.0	1.96
05/30/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.29	0.00	0.29	94.0	0.27
05/21/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	94.0	0.36
05/11/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.29	0.00	2.29	94.0	2.15
05/10/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.16	0.00	1.16	94.0	1.09
05/15/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.05	0.00	1.05	94.0	0.99
05/15/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.10	0.00	0.10	94.0	0.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.57	\$0.00	\$10.57		\$9.92
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$10.57	\$0.00	\$10.57		\$9.92

SPLIT TRANSACTIONS**BROKER: DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR #251**

05/07/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.54	0.00	2.54	94.0	2.39
* DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR SUBTOTAL *			\$0.00	\$2.54	\$0.00	\$2.54		\$2.39

BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252

05/07/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.28	0.00	1.28	94.0	1.20
05/07/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.03	0.00	3.03	94.0	2.85
05/07/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.28	0.00	1.28	94.0	1.20
05/30/2018	PIZZANO, GERALD	COLONY NORTHSTAR CR REAL ESTATE CL	-18,869.45	0.00	11.74	-11.74	94.0	-11.04
05/14/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.61	0.00	3.61	94.0	3.39
05/21/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.96	0.00	1.96	94.0	1.84
05/17/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.98	0.00	2.98	94.0	2.80
05/21/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.23	0.00	2.23	94.0	2.10

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252</u>								
05/21/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.24	0.00	0.24	94.0	0.23
05/21/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	94.0	0.22
05/21/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	94.0	0.31
05/21/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.17	0.00	0.17	94.0	0.16
05/30/2018	PIZZANO, GERALD	NORTHSTAR RLTY EUROPE CORP COM	-2,170.53	0.00	11.25	-11.25	94.0	-10.58
05/25/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	94.0	0.10
* DAN/JEFFREY FT-1JR NY RAUPP/KIES SUBTOTAL *			\$-21,039.98	\$17.45	\$22.99	\$-5.54		\$-5.22
<u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u>								
06/07/2018	PRECISION INTERIORS	ALCOA UPSTREAM CORP COM	-5,867.46	22.50	16.87	5.63	94.0	5.29
06/07/2018	PRECISION INTERIORS	AMARIN CORP PLC SPONS ADR NEW ISIN#	-3,453.00	22.50	17.62	4.88	94.0	4.59
06/07/2018	PRECISION INTERIORS	AMERICAN EXPRESS COMPANY	-31,671.56	112.50	16.87	95.63	94.0	89.89
06/07/2018	PRECISION INTERIORS	APPLIED MATERIALS INC	-58,266.77	187.50	17.79	169.71	94.0	159.53
06/07/2018	PRECISION INTERIORS	ARCONIC INC COM	-6,452.38	22.50	16.90	5.60	94.0	5.26
05/31/2018	SCLAR, STANLEY	AVID BIOSERVICES INC COM	-1,469.54	15.00	17.02	-2.02	94.0	-1.90
06/07/2018	PRECISION INTERIORS	BANK AMER CORP COM	-94,871.78	300.00	20.03	279.97	94.0	263.17
06/07/2018	PRECISION INTERIORS	BARINGS GLOBAL SHORT DURATION HIGH	-22,060.51	75.00	17.83	57.17	94.0	53.74
06/07/2018	PRECISION INTERIORS	BLACKSTONE / GSO STRATEGIC CR FD CO	-22,361.45	75.00	18.08	56.92	94.0	53.50
05/07/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.74	0.00	2.74	94.0	2.58
05/07/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.20	0.00	1.20	94.0	1.13
05/07/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.93	0.00	2.93	94.0	2.75
06/07/2018	PRECISION INTERIORS	CISCO SYSTEMS INC	-24,439.87	75.00	17.13	57.87	94.0	54.40
06/07/2018	PRECISION INTERIORS	CITIGROUP INC COM NEW ISIN#US172967	-22,954.15	75.00	16.87	58.13	94.0	54.64
06/07/2018	PRECISION INTERIORS	COLONY NORTHSTAR CR REAL ESTATE CL	-24,039.69	60.00	17.93	42.07	94.0	39.55
06/07/2018	PRECISION INTERIORS	COLONY NORTHSTAR INC CL A COM	-24,306.94	75.00	20.25	54.75	94.0	51.47
05/14/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.54	0.00	1.54	94.0	1.45
05/14/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.18	0.00	6.18	94.0	5.81
06/07/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	-40,051.00	0.00	0.00	0.00	94.0	0.00
06/07/2018	PRECISION INTERIORS	CORNING INC COM	-15,722.56	52.50	17.11	35.39	94.0	33.27
05/11/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	3.91	0.00	3.91	94.0	3.68
06/07/2018	PRECISION INTERIORS	FRONTIER COMMUNICATIONS CORP COM NE	-1,524.18	0.22	16.87	-16.65	94.0	-15.65
06/07/2018	PRECISION INTERIORS	GENERAL ELECTRIC CO COM	-8,331.85	22.50	17.18	5.32	94.0	5.00
06/07/2018	PRECISION INTERIORS	GLOBAL NET LEASE INC COM	-21,816.68	75.00	17.75	57.25	94.0	53.82
05/17/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.57	0.00	1.57	94.0	1.48

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u>								
05/17/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.58	0.00	2.58	94.0	2.43
06/07/2018	PRECISION INTERIORS	INTEL CORP COM	-36,427.95	112.50	17.23	95.27	94.0	89.55
06/07/2018	PRECISION INTERIORS	JP MORGAN CHASE & CO COM ISIN#US466	-30,022.19	112.50	16.87	95.63	94.0	89.89
05/30/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.38	0.00	0.38	94.0	0.36
06/07/2018	PRECISION INTERIORS	LOCAL CORP COM	-4.50	0.00	20.25	-20.25	94.0	-19.04
05/21/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.54	0.00	0.54	94.0	0.51
05/21/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.17	0.00	1.17	94.0	1.10
05/21/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.27	0.00	2.27	94.0	2.13
05/21/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	94.0	0.27
05/21/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.77	0.00	1.77	94.0	1.66
05/21/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.61	0.00	0.61	94.0	0.57
05/21/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.37	0.00	1.37	94.0	1.29
05/21/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.10	0.00	1.10	94.0	1.03
06/07/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	-9,159.11	0.00	0.00	0.00	94.0	0.00
06/07/2018	PRECISION INTERIORS	NEUBERGER BERMAN MLP INCOME FD INC	-16,156.18	52.50	18.47	34.03	94.0	31.99
05/31/2018	SCLAR, STANLEY	NEW YORK REIT INC COM NEW	-1,821.00	15.00	16.87	-1.87	94.0	-1.76
06/07/2018	PRECISION INTERIORS	NEW YORK REIT INC COM NEW	-2,273.87	22.50	16.87	5.63	94.0	5.29
06/07/2018	PRECISION INTERIORS	NORTHSTAR RLTY EUROPE CORP COM	-1,329.16	0.00	16.87	-16.87	94.0	-15.86
06/07/2018	PRECISION INTERIORS	NUVEEN GLOBAL HIGH INCOME FD	-296.23	0.00	16.87	-16.87	94.0	-15.86
06/07/2018	PRECISION INTERIORS	PFIZER INC COM	-24,385.34	75.00	17.25	57.75	94.0	54.29
05/18/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	15.39	0.00	15.39	94.0	14.47
06/07/2018	PRECISION INTERIORS	VANDA PHARMACEUTICALS INC	-17,967.00	67.50	17.62	49.88	94.0	46.89
06/07/2018	PRECISION INTERIORS	VIRTUS GLOBAL MULTI-SECTOR INCOME F	-14,158.79	52.50	17.64	34.86	94.0	32.77
06/07/2018	PRECISION INTERIORS	VNR FIN CORP COM	-5.35	0.00	16.87	-16.87	94.0	-15.86
06/07/2018	PRECISION INTERIORS	VNR FIN CORP WT PDF UNITHOLDERS EXP	-34.17	0.00	16.87	-16.87	94.0	-15.86
05/31/2018	SCLAR, STANLEY	VOYA GLOBAL EQUITY DIVID & PREM OPP	-58.10	0.00	16.87	-16.87	94.0	-15.86
06/07/2018	PRECISION INTERIORS	VOYA GLOBAL EQUITY DIVID & PREM OPP	-9,803.18	30.00	18.01	11.99	94.0	11.27
* JEFFREY/DAN FT- BUM NY KIESNOSKI SUBTOTAL *			\$-593,563.49	\$1,855.26	\$561.53	\$1,293.73		\$1,216.11
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
05/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	240,596.29	15.00	0.00	15.00	94.0	14.10
05/18/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	94.0	0.04
05/17/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	94.0	0.08
05/30/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	94.0	0.24

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
05/30/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	94.0	0.21
05/30/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.24	0.00	1.24	94.0	1.17
05/30/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.10	0.00	1.10	94.0	1.03
05/30/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	94.0	0.08
05/21/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.06	0.00	0.06	94.0	0.06
05/14/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.15	0.00	0.15	94.0	0.14
05/14/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.67	0.00	0.67	94.0	0.63
05/23/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.05	0.00	0.05	94.0	0.05
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$240,596.29	\$18.97	\$0.00	\$18.97		\$17.83

<u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u>								
06/07/2018	LACASSE FAMILY TRUST,	CONSOLIDATED EDISON INC COM	-39,057.46	18.75	5.70	13.05	94.0	12.27
05/17/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.49	0.00	0.49	94.0	0.46
05/21/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.85	0.00	2.85	94.0	2.68
05/21/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.17	0.00	1.17	94.0	1.10
05/21/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.86	0.00	1.86	94.0	1.75
05/21/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.52	0.00	0.52	94.0	0.49
05/21/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.21	0.00	4.21	94.0	3.96
05/21/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.37	0.00	2.37	94.0	2.23
05/21/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.04	0.00	1.04	94.0	0.98
05/21/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.68	0.00	0.68	94.0	0.64
05/21/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.73	0.00	3.73	94.0	3.51
05/21/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.06	0.00	1.06	94.0	1.00
05/21/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.31	0.00	2.31	94.0	2.17
05/21/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.46	0.00	0.46	94.0	0.43
05/17/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.49	0.00	2.49	94.0	2.34
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$-39,057.46	\$43.99	\$5.70	\$38.29		\$36.01

<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
05/15/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	94.0	0.14
05/07/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.87	0.00	4.87	94.0	4.58
05/07/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.70	0.00	0.70	94.0	0.66
05/07/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.33	0.00	2.33	94.0	2.19
05/07/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.21	0.00	3.21	94.0	3.02

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
05/21/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	1.54	0.00	1.54	94.0	1.45
06/11/2018	CAVEGN, JOSEPH	HPI REAL ESTATE FUND VI LLC	50,000.00	1,500.00	0.00	1,500.00	94.0	1,410.00
05/21/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.87	0.00	1.87	94.0	1.76
05/21/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.14	0.00	4.14	94.0	3.89
05/21/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.64	0.00	0.64	94.0	0.60
05/21/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.23	0.00	0.23	94.0	0.22
05/21/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.78	0.00	2.78	94.0	2.61
05/21/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.60	0.00	1.60	94.0	1.50
05/14/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.81	0.00	4.81	94.0	4.52
05/23/2018	GALGANO, ROBERT	PRU PREMIER RETIREMENT VA B SERIES	250,000.00	8,750.00	0.00	8,750.00	94.0	8,225.00
05/11/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	1.44	0.00	1.44	94.0	1.35
05/11/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.50	0.00	1.50	94.0	1.41
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$300,000.00	\$10,281.81	\$0.00	\$10,281.81		\$9,664.90
<u>BROKER: DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE #259</u>								
05/07/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.44	0.00	3.44	94.0	3.23
05/21/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	94.0	0.67
* DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.15	\$0.00	\$4.15		\$3.90
<u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u>								
05/30/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.52	0.00	0.52	94.0	0.49
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.52	\$0.00	\$0.52		\$0.49
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
05/15/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	94.0	0.05
05/17/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.06	0.00	0.06	94.0	0.06
05/17/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.96	0.00	3.96	94.0	3.72
05/17/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.61	0.00	2.61	94.0	2.45
05/17/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.89	0.00	1.89	94.0	1.78
05/17/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.29	0.00	0.29	94.0	0.27
05/17/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.29	0.00	0.29	94.0	0.27
05/23/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.29	0.00	0.29	94.0	0.27
05/23/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.71	0.00	0.71	94.0	0.67
05/23/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.54	0.00	2.54	94.0	2.39
05/23/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.62	0.00	7.62	94.0	7.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
05/17/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.71	0.00	0.71	94.0	0.67
05/17/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.12	0.00	2.12	94.0	1.99
05/17/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.88	0.00	0.88	94.0	0.83
05/17/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.65	0.00	2.65	94.0	2.49
05/17/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.59	0.00	0.59	94.0	0.55
05/17/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.77	0.00	1.77	94.0	1.66
05/17/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.71	0.00	0.71	94.0	0.67
05/17/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.12	0.00	2.12	94.0	1.99
05/17/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.44	0.00	2.44	94.0	2.29
05/17/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.32	0.00	7.32	94.0	6.88
05/17/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.45	0.00	0.45	94.0	0.42
05/17/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.70	0.00	0.70	94.0	0.66
05/17/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.21	0.00	1.21	94.0	1.14
05/17/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.97	0.00	0.97	94.0	0.91
05/17/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.81	0.00	0.81	94.0	0.76
05/17/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.15	0.00	1.15	94.0	1.08
05/17/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.39	0.00	3.39	94.0	3.19
05/21/2018	MORONEY, PHYLLIS	LINCOLN CHOICEPLUS ASSURANCE	136,000.00	2,111.40	0.00	2,111.40	94.0	1,984.72
05/18/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.18	0.00	0.18	94.0	0.17
05/18/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.18	0.00	0.18	94.0	0.17
06/05/2018	MORONEY, PHYLLIS	TRANSAMERICA B-SHARE	40,000.00	777.60	0.00	777.60	94.0	730.94
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$176,000.00	\$2,939.66	\$0.00	\$2,939.66		\$2,763.27
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
05/21/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.36	0.00	0.36	94.0	0.34
05/14/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.07	0.00	1.07	94.0	1.01
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.43	\$0.00	\$1.43		\$1.35
<u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u>								
05/17/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	94.0	0.43
05/17/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.32	0.00	0.32	94.0	0.30
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$0.78	\$0.00	\$0.78		\$0.73
*** SPLIT TRANSACTIONS TOTAL ***			\$62,935.36	\$15,166.56	\$590.22	\$14,576.34		\$13,701.76

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #10 </u>								
06/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
06/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
06/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	10.00	0.00	10.00	90.0	0.17
05/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	1,000.00	25.00	0.00	25.00	90.0	0.43
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.50	0.00	7.50	90.0	0.13
05/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
05/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	2,000.00	50.00	0.00	50.00	90.0	0.85
* MARC, L134 LINSKY SUBTOTAL *			\$4,950.00	\$136.25	\$0.00	\$136.25		\$2.33
<u> BROKER: ROY, P123 PFLEGER II #244 </u>								
05/17/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.35	0.00	1.35	75.0	0.17
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.35	\$0.00	\$1.35		\$0.17
<u> BROKER: ALAN, L133 LICHTENSTEIN #249 </u>								
05/16/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.15	0.00	3.15	75.0	0.39
05/21/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.45	0.00	1.45	75.0	0.18
05/21/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.74	0.00	2.74	75.0	0.34
05/21/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.42	0.00	3.42	75.0	0.43
05/21/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.40	0.00	1.40	75.0	0.18
05/21/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	75.0	0.06
05/21/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.73	0.00	0.73	75.0	0.09
05/21/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.05	0.00	2.05	75.0	0.26
05/21/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.74	0.00	2.74	75.0	0.34
05/21/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.90	0.00	2.90	75.0	0.36
05/16/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.10	0.00	2.10	75.0	0.26
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$0.00	\$23.13	\$0.00	\$23.13		\$2.89
<u> BROKER: MARC, L134 LINSKY #263 </u>								
06/01/2018	BENDICK, ETHEL	529 AMCAP FUND CLASS A	0.00	3.90	0.00	3.90	90.0	0.07
05/10/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.90	0.00	0.90	90.0	0.02
05/10/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	13.95	0.00	13.95	90.0	0.24
05/17/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.51	0.00	4.51	90.0	0.08
05/15/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.09	0.00	4.09	90.0	0.07
05/15/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.80	0.00	6.80	90.0	0.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
05/15/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.44	0.00	0.44	90.0	0.01
06/01/2018	CANUSO, CANDACE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.35	0.00	1.35	90.0	0.02
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.51	0.00	1.51	90.0	0.03
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.66	0.00	0.66	90.0	0.01
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	0.00	0.34	0.00	0.34	90.0	0.01
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.43	0.00	1.43	90.0	0.02
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN BALANCED 529A	0.00	1.43	0.00	1.43	90.0	0.02
06/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.94	0.00	0.94	90.0	0.02
06/01/2018	PERETTI, FRANCES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.94	0.00	0.94	90.0	0.02
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	4.60	0.00	4.60	90.0	0.08
06/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	0.00	129.34	0.00	129.34	90.0	2.20
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.76	0.00	3.76	90.0	0.06
06/01/2018	CAMPAGNA, MARIE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.76	0.00	3.76	90.0	0.06
05/15/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.48	0.00	1.48	90.0	0.03
05/15/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.01
05/15/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.01
05/15/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	1.00	0.00	1.00	90.0	0.02
06/01/2018	MORETTI, VINCENT	AM FUNDS AMERICAN BALANCED A	0.00	3.62	0.00	3.62	90.0	0.06
06/01/2018	MORETTI, GINA	AM FUNDS AMERICAN BALANCED A	0.00	3.75	0.00	3.75	90.0	0.06
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.66	0.00	0.66	90.0	0.01
06/01/2018	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.31	0.00	0.31	90.0	0.01
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	0.03
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.51	0.00	1.51	90.0	0.03
06/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN MUTUAL 529C	0.00	135.67	0.00	135.67	90.0	2.31
06/01/2018	CANUSO, CANDACE	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.82	0.00	0.82	90.0	0.01
06/01/2018	ALTMAN, SHARON	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.73	0.00	1.73	90.0	0.03
06/01/2018	BENDICK, ETHEL	AM FUNDS BOND FUND OF AMERICA 529A	0.00	2.16	0.00	2.16	90.0	0.04
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.19	0.00	6.19	90.0	0.11
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.04	0.00	1.04	90.0	0.02
06/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.08	0.00	2.08	90.0	0.04
06/01/2018	BENDICK, ETHEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.66	0.00	2.66	90.0	0.05
05/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.02
05/15/2018	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD BOND 529A	0.00	0.98	0.00	0.98	90.0	0.02
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.73	0.00	7.73	90.0	0.13
06/01/2018	CANUSO, CANDACE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.28	0.00	1.28	90.0	0.02
06/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	10.29	0.00	10.29	90.0	0.17
06/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.64	0.00	8.64	90.0	0.15
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.16	0.00	2.16	90.0	0.04
06/01/2018	ALTMAN, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.00	0.00	4.00	90.0	0.07
06/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.88	0.00	0.88	90.0	0.01
06/01/2018	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.58	0.00	0.58	90.0	0.01
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.46	0.00	1.46	90.0	0.02
06/01/2018	HENDRICKSON, CHARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.46	0.00	1.46	90.0	0.02
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.26	0.00	5.26	90.0	0.09
06/01/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	132.85	0.00	132.85	90.0	2.26
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.10	0.00	4.10	90.0	0.07
06/01/2018	CAMPAGNA, MARIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	4.10	0.00	4.10	90.0	0.07
05/15/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.95	0.00	3.95	90.0	0.07
05/15/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.14	0.00	3.14	90.0	0.05
05/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.31	0.00	1.31	90.0	0.02
05/15/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.01
05/15/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.87	0.00	2.87	90.0	0.05
06/01/2018	CENTANNI, RICHARD	AM FUNDS COLLEGE 2030 FUND 529A	0.00	7.85	0.00	7.85	90.0	0.13
05/15/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.48	0.00	13.48	90.0	0.23
06/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	9.39	0.00	9.39	90.0	0.16
06/01/2018	CANUSO, CANDACE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.80	0.00	0.80	90.0	0.01
05/15/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	90.0	0.03
06/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
06/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.75	0.00	14.75	90.0	0.25
06/01/2018	CANUSO, CANDACE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.31	0.00	9.31	90.0	0.16
06/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.83	0.00	3.83	90.0	0.07
06/01/2018	ALTMAN, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.53	0.00	3.53	90.0	0.06
06/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.33	0.00	0.33	90.0	0.01
06/01/2018	PERETTI, FRANCES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.01	0.00	1.01	90.0	0.02
05/15/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
05/15/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.86	0.00	4.86	90.0	0.08
05/15/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	90.0	0.03
05/15/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.66	0.00	6.66	90.0	0.11
05/15/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.55	0.00	4.55	90.0	0.08
05/15/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.91	0.00	1.91	90.0	0.03
05/15/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	90.0	0.01
05/15/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.02
05/15/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.77	0.00	1.77	90.0	0.03
05/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.01
05/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	0.02
05/15/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.01
06/01/2018	MORETTI, VINCENT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.58	0.00	4.58	90.0	0.08
06/01/2018	MORETTI, GINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.82	0.00	4.82	90.0	0.08
05/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.86	0.00	2.86	90.0	0.05
05/15/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.82	0.00	2.82	90.0	0.05
05/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.78	0.00	5.78	90.0	0.10
05/15/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.86	0.00	5.86	90.0	0.10
05/15/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.53	0.00	1.53	90.0	0.03
05/15/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.15	0.00	9.15	90.0	0.16
05/15/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.81	0.00	0.81	90.0	0.01
05/15/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	1.53	0.00	1.53	90.0	0.03
05/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.01
05/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.95	0.00	0.95	90.0	0.02
05/15/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.58	0.00	0.58	90.0	0.01
05/15/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.01
05/15/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.01
05/15/2018	APPLEGATE, PAMAEALA	AM FUNDS ICA A	0.00	1.02	0.00	1.02	90.0	0.02
05/15/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.48	0.00	5.48	90.0	0.09
05/15/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.84	0.00	12.84	90.0	0.22
05/15/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.98	0.00	4.98	90.0	0.08
05/15/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.77	0.00	4.77	90.0	0.08
05/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.01
05/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
05/15/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.08	0.00	3.08	90.0	0.05
06/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.01
06/01/2018	PERETTI, FRANCES	AM FUNDS INCOME FUND OF AMERICA-529	0.00	0.71	0.00	0.71	90.0	0.01
06/01/2018	ALTMAN, SHARON	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.52	0.00	1.52	90.0	0.03
06/01/2018	ALTMAN, SHARON	AM FUNDS NEW ECONOMY 529A	0.00	3.80	0.00	3.80	90.0	0.06
06/01/2018	PERETTI, FRANCES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.72	0.00	0.72	90.0	0.01
05/15/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
05/15/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
05/15/2018	APPLEGATE, PAMAELE	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	90.0	0.02
06/01/2018	CANUSO, CANDACE	AM FUNDS NEW WORLD 529A	0.00	7.18	0.00	7.18	90.0	0.12
05/15/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	0.03
06/01/2018	CANUSO, CANDACE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.42	0.00	0.42	90.0	0.01
06/01/2018	CAMPAGNA, MARIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.69	0.00	0.69	90.0	0.01
06/01/2018	ALTMAN, SHARON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.12	0.00	1.12	90.0	0.02
06/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	3.19	0.00	3.19	90.0	0.05
06/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.50	0.00	2.50	90.0	0.04
06/01/2018	CAMPAGNA, MARIE	AM FUNDS US GOVT SECURITIES 529C	0.00	2.50	0.00	2.50	90.0	0.04
05/15/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.90	0.00	5.90	90.0	0.10
05/15/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.81	0.00	0.81	90.0	0.01
05/15/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.49	0.00	1.49	90.0	0.03
05/15/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	90.0	0.03
05/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	90.0	0.01
05/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.95	0.00	0.95	90.0	0.02
05/15/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	90.0	0.01
06/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.10	0.00	9.10	90.0	0.15
06/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.40	0.00	7.40	90.0	0.13
06/01/2018	PERETTI, FRANCES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.69	0.00	0.69	90.0	0.01
05/17/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.73	0.00	2.73	90.0	0.05
05/16/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.25	0.00	18.25	90.0	0.31
05/17/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.54	0.00	1.54	90.0	0.03
05/17/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.82	0.00	4.82	90.0	0.08
06/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	3.25	0.00	3.25	90.0	0.06
06/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.61	0.00	2.61	90.0	0.04

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
06/01/2018	CAMPAGNA, MARIE	CAPITAL WORLD BOND FUND-529C	0.00	2.61	0.00	2.61	90.0	0.04
06/01/2018	ARMSTRONG, CARTER	COLLEGE 2033 FUND-529A	0.00	3.63	0.00	3.63	90.0	0.06
06/01/2018	CENTANNI, RICHARD	COLLEGE 2033 FUND-529A	0.00	7.87	0.00	7.87	90.0	0.13
06/01/2018	CAMARDO, SHANE	COLLEGE 2033 FUND-529A	0.00	4.03	0.00	4.03	90.0	0.07
05/14/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.14	0.00	1.14	90.0	0.02
05/14/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.39	0.00	15.39	90.0	0.26
05/14/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.82	0.00	7.82	90.0	0.13
05/14/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.93	0.00	2.93	90.0	0.05
05/14/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.09	0.00	1.09	90.0	0.02
05/14/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.30	0.00	0.30	90.0	0.01
05/14/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.34	0.00	0.34	90.0	0.01
05/14/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.97	0.00	0.97	90.0	0.02
05/14/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.41	0.00	2.41	90.0	0.04
05/14/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.11	0.00	1.11	90.0	0.02
05/14/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	11.99	0.00	11.99	90.0	0.20
05/14/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.37	0.00	2.37	90.0	0.04
05/14/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.42	0.00	0.42	90.0	0.01
05/14/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.57	0.00	0.57	90.0	0.01
05/11/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.37	0.00	3.37	90.0	0.06
05/11/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.16	0.00	61.16	90.0	1.04
05/11/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.75	0.00	0.75	90.0	0.01
05/21/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.07	0.00	1.07	90.0	0.02
05/21/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.39	0.00	0.39	90.0	0.01
05/18/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.76	0.00	7.76	90.0	0.13
05/18/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.72	0.00	8.72	90.0	0.15
05/18/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.49	0.00	1.49	90.0	0.03
05/18/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.95	0.00	5.95	90.0	0.10
05/18/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.16	0.00	2.16	90.0	0.04
05/17/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.86	0.00	13.86	90.0	0.24
05/17/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.89	0.00	2.89	90.0	0.05
05/17/2018	HASTINGS TRUST, OSCAR	FRANKLIN INCOME A	0.00	1.10	0.00	1.10	90.0	0.02
05/17/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.13	0.00	5.13	90.0	0.09
05/17/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.76	0.00	0.76	90.0	0.01

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC, L134 LINSKY #263								
05/17/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.57	0.00	0.57	90.0	0.01
05/17/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.79	0.00	2.79	90.0	0.05
05/22/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.03	0.00	17.03	90.0	0.29
05/22/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.66	0.00	0.66	90.0	0.01
05/17/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.31	0.00	13.31	90.0	0.23
05/17/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	39.94	0.00	39.94	90.0	0.68
05/17/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.09	0.00	3.09	90.0	0.05
05/17/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.26	0.00	9.26	90.0	0.16
05/17/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.78	0.00	4.78	90.0	0.08
05/17/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.35	0.00	14.35	90.0	0.24
05/17/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.52	0.00	7.52	90.0	0.13
05/17/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.57	0.00	22.57	90.0	0.38
05/17/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.32	0.00	10.32	90.0	0.18
05/17/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.96	0.00	30.96	90.0	0.53
05/17/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.29	0.00	2.29	90.0	0.04
05/17/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.88	0.00	6.88	90.0	0.12
05/17/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.56	0.00	13.56	90.0	0.23
05/17/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.69	0.00	40.69	90.0	0.69
05/17/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.62	0.00	14.62	90.0	0.25
05/17/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.85	0.00	43.85	90.0	0.75
05/17/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.19	0.00	6.19	90.0	0.11
05/17/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.57	0.00	18.57	90.0	0.32
05/17/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.30	0.00	44.30	90.0	0.75
05/17/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	132.90	0.00	132.90	90.0	2.26
05/17/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.51	0.00	4.51	90.0	0.08
05/17/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.54	0.00	13.54	90.0	0.23
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.14	0.00	1.14	90.0	0.02
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.43	0.00	3.43	90.0	0.06
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.97	0.00	8.97	90.0	0.15
05/17/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.91	0.00	26.91	90.0	0.46
05/17/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.28	0.00	2.28	90.0	0.04
05/17/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.85	0.00	6.85	90.0	0.12
05/17/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.43	0.00	1.43	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
05/17/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.28	0.00	4.28	90.0	0.07
05/17/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.64	0.00	20.64	90.0	0.35
05/17/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	61.92	0.00	61.92	90.0	1.05
05/17/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.29	0.00	7.29	90.0	0.12
05/17/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.86	0.00	21.86	90.0	0.37
05/17/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.42	0.00	10.42	90.0	0.18
05/17/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.26	0.00	31.26	90.0	0.53
05/17/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.10	0.00	4.10	90.0	0.07
05/17/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.29	0.00	12.29	90.0	0.21
05/17/2018	FARBMAN TRUST, DANIEL & SANDRAGR	IFFIN INST'L ACCESS R E FUND C	0.00	10.15	0.00	10.15	90.0	0.17
05/17/2018	FARBMAN TRUST, DANIEL & SANDRAGR	IFFIN INST'L ACCESS R E FUND C	0.00	30.46	0.00	30.46	90.0	0.52
05/17/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.31	0.00	4.31	90.0	0.07
05/17/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.10	0.00	6.10	90.0	0.10
05/17/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.11	0.00	4.11	90.0	0.07
05/17/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.13	0.00	5.13	90.0	0.09
05/31/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.52	0.00	12.52	90.0	0.21
05/31/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.25	0.00	4.25	90.0	0.07
05/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.86	0.00	3.86	90.0	0.07
05/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	87.76	0.00	87.76	90.0	1.49
05/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	42.21	0.00	42.21	90.0	0.72
05/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	38.43	0.00	38.43	90.0	0.65
05/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	461.26	0.00	461.26	90.0	7.84
05/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.60	0.00	11.60	90.0	0.20
05/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.56	0.00	7.56	90.0	0.13
05/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.06	0.00	8.06	90.0	0.14
05/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	34.13	0.00	34.13	90.0	0.58
05/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	31.86	0.00	31.86	90.0	0.54
05/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.61	0.00	11.61	90.0	0.20
05/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.41	0.00	24.41	90.0	0.41
05/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.93	0.00	10.93	90.0	0.19
05/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.79	0.00	2.79	90.0	0.05
05/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.79	0.00	2.79	90.0	0.05
05/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.19	0.00	4.19	90.0	0.07

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
05/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	75.31	0.00	75.31	90.0	1.28
05/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	46.97	0.00	46.97	90.0	0.80
05/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	21.61	0.00	21.61	90.0	0.37
05/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.85	0.00	14.85	90.0	0.25
05/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	21.62	0.00	21.62	90.0	0.37
05/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	22.67	0.00	22.67	90.0	0.39
05/17/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	4.27	0.00	4.27	90.0	0.07
05/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	44.55	0.00	44.55	90.0	0.76
05/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	19.94	0.00	19.94	90.0	0.34
05/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.75	0.00	10.75	90.0	0.18
05/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.11	0.00	4.11	90.0	0.07
05/18/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.08	0.00	1.08	90.0	0.02
06/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
05/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	272,542.61	227.12	0.00	227.12	90.0	3.86
05/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	291,841.88	243.20	0.00	243.20	90.0	4.13
05/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	412,494.26	343.75	0.00	343.75	90.0	5.84
05/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	487,066.02	405.89	0.00	405.89	90.0	6.90
05/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	111,046.63	92.54	0.00	92.54	90.0	1.57
05/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,966.43	2.91	0.00	2.91	90.0	0.05
05/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	199,484.02	166.24	0.00	166.24	90.0	2.83
05/30/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.63	0.00	0.63	90.0	0.01
06/01/2018	PISANI, CARLA	LFG CHOICEPLUS ASSURANCE	541.00	25.70	0.00	25.70	90.0	0.44
06/01/2018	PISANI, NICHOLAS	LFG CHOICEPLUS ASSURANCE	250.00	10.00	0.00	10.00	90.0	0.17
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.99	0.00	2.99	90.0	0.05
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.09	0.00	2.09	90.0	0.04
05/18/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.78	0.00	2.78	90.0	0.05
05/18/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.76	0.00	7.76	90.0	0.13
05/18/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.50	0.00	2.50	90.0	0.04
05/18/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	116.23	0.00	116.23	90.0	1.98
05/18/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	119.08	0.00	119.08	90.0	2.02
05/18/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.61	0.00	28.61	90.0	0.49
05/18/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.40	0.00	19.40	90.0	0.33
05/18/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.90	0.00	0.90	90.0	0.02

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.98	0.00	6.98	90.0	0.12
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.28	0.00	1.28	90.0	0.02
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.17	0.00	8.17	90.0	0.14
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.79	0.00	1.79	90.0	0.03
05/18/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.38	0.00	0.38	90.0	0.01
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.31	0.00	1.31	90.0	0.02
05/18/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.40	0.00	3.40	90.0	0.06
05/18/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.45	0.00	10.45	90.0	0.18
05/18/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.68	0.00	5.68	90.0	0.10
05/18/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.93	0.00	5.93	90.0	0.10
05/18/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	13.91	0.00	13.91	90.0	0.24
05/18/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.81	0.00	2.81	90.0	0.05
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.64	0.00	3.64	90.0	0.06
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.78	0.00	0.78	90.0	0.01
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.03	0.00	4.03	90.0	0.07
05/18/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.81	0.00	0.81	90.0	0.01
05/18/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.05	0.00	2.05	90.0	0.03
05/18/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.47	0.00	1.47	90.0	0.02
05/18/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.90	0.00	19.90	90.0	0.34
05/18/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.67	0.00	1.67	90.0	0.03
05/18/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.69	0.00	2.69	90.0	0.05
05/18/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.06	0.00	2.06	90.0	0.04
05/18/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.78	0.00	10.78	90.0	0.18
05/18/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	96.56	0.00	96.56	90.0	1.64
05/18/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.89	0.00	10.89	90.0	0.19
05/18/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	3.88	0.00	3.88	90.0	0.07
05/18/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.26	0.00	6.26	90.0	0.11
05/18/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.97	0.00	8.97	90.0	0.15
05/18/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.55	0.00	4.55	90.0	0.08
05/18/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.69	0.00	12.69	90.0	0.22
05/21/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.52	0.00	9.52	90.0	0.16
05/21/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.90	0.00	2.90	90.0	0.05
05/21/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.00	0.00	2.00	90.0	0.03

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05/21/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.01
05/21/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.21	0.00	1.21	90.0	0.02
05/21/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.77	0.00	0.77	90.0	0.01
05/11/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY A	0.00	0.45	0.00	0.45	90.0	0.01
05/11/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	4.94	0.00	4.94	90.0	0.08
05/11/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	12.29	0.00	12.29	90.0	0.21
05/11/2018	KROSNOWSKI, THOMAS	MFS EMERGING MARKETS EQUITY A	0.00	1.11	0.00	1.11	90.0	0.02
05/11/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.69	0.00	7.69	90.0	0.13
05/11/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH A	0.00	1.12	0.00	1.12	90.0	0.02
05/11/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	12.27	0.00	12.27	90.0	0.21
05/11/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	11.63	0.00	11.63	90.0	0.20
05/11/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.69	0.00	0.69	90.0	0.01
05/11/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND CLASS	0.00	1.05	0.00	1.05	90.0	0.02
05/11/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS A	0.00	0.64	0.00	0.64	90.0	0.01
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS A	0.00	1.52	0.00	1.52	90.0	0.03
05/11/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	6.92	0.00	6.92	90.0	0.12
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	16.57	0.00	16.57	90.0	0.28
05/11/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH A	0.00	0.58	0.00	0.58	90.0	0.01
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH A	0.00	1.38	0.00	1.38	90.0	0.02
05/11/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH A	0.00	0.40	0.00	0.40	90.0	0.01
05/11/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	6.43	0.00	6.43	90.0	0.11
05/11/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	15.17	0.00	15.17	90.0	0.26
05/11/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	4.40	0.00	4.40	90.0	0.07
05/11/2018	KROSNOWSKI, THOMAS	MFS RESEARCH A	0.00	0.41	0.00	0.41	90.0	0.01
05/11/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	4.46	0.00	4.46	90.0	0.08
05/11/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL A	0.00	1.86	0.00	1.86	90.0	0.03
05/11/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	20.43	0.00	20.43	90.0	0.35
05/11/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND A	0.00	0.85	0.00	0.85	90.0	0.01
05/11/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	9.30	0.00	9.30	90.0	0.16
05/11/2018	SPECTER TRUST, BRADLEY	MFS VALUE A	0.00	0.62	0.00	0.62	90.0	0.01
05/11/2018	SPECTER TRUST, STEPHANIE	MFS VALUE A	0.00	0.96	0.00	0.96	90.0	0.02
05/11/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	6.83	0.00	6.83	90.0	0.12
05/11/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	10.62	0.00	10.62	90.0	0.18

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05/24/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	3.07	0.00	3.07	90.0	0.05
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.32	0.00	1.32	90.0	0.02
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.25	0.00	1.25	90.0	0.02
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.01
05/17/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.63	0.00	0.63	90.0	0.01
05/17/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.38	0.00	2.38	90.0	0.04
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.06	0.00	3.06	90.0	0.05
05/17/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.58	0.00	2.58	90.0	0.04
05/17/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.74	0.00	1.74	90.0	0.03
05/25/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	252.57	0.00	252.57	90.0	4.29
05/29/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.82	0.00	151.82	90.0	2.58
05/15/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	14.22	0.00	14.22	90.0	0.24
05/15/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.20	0.00	3.20	90.0	0.05
05/16/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.88	0.00	12.88	90.0	0.22
05/16/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.59	0.00	17.59	90.0	0.30
05/16/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.79	0.00	5.79	90.0	0.10
05/16/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.84	0.00	43.84	90.0	0.75
05/16/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	131.53	0.00	131.53	90.0	2.24
05/17/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.06	0.00	11.06	90.0	0.19
05/17/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.62	0.00	1.62	90.0	0.03
05/17/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.35	0.00	0.35	90.0	0.01
05/17/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.73	0.00	10.73	90.0	0.18
05/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	126,645.29	105.54	0.00	105.54	90.0	1.79
06/07/2018	KRAMER, LARRY	US BANCORP DEL COM	-18,462.98	30.00	22.53	7.47	90.0	0.13
05/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	90.0	0.01
05/21/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.70	0.00	6.70	90.0	0.11
05/11/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.10	0.00	10.10	90.0	0.17
05/11/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.20	0.00	22.20	90.0	0.38
05/11/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.57	0.00	10.57	90.0	0.18
05/11/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.18	0.00	18.18	90.0	0.31
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	8.25	0.00	8.25	90.0	0.14
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	1.08	0.00	1.08	90.0	0.02
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.73	0.00	0.73	90.0	0.01

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282 </u>								
		* MARC, L134 LINSKY SUBTOTAL *	\$1,897,940.16	\$6,062.40	\$22.53	\$6,039.87		\$102.83
<u> BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282 </u>								
06/04/2018	MAVRAKES, JUDITH	LINCOLN LIFE	6,000.00	420.00	0.00	420.00	90.0	13.86
06/04/2018	MAVRAKES, JUDITH	LINCOLN LIFE	19,054.56	1,333.82	0.00	1,333.82	90.0	44.02
		* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *	\$25,054.56	\$1,753.82	\$0.00	\$1,753.82		\$57.88
<u> BROKER: DANIEL, C142 CHARLEY #338 </u>								
05/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	7,042.74	47.61	0.00	47.61	90.0	0.81
		* DANIEL, C142 CHARLEY SUBTOTAL *	\$7,042.74	\$47.61	\$0.00	\$47.61		\$0.81
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$1,934,987.46	\$8,024.56	\$22.53	\$8,002.03		\$166.91

 ADJUSTMENTS

06/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
06/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
06/15/2018		*AUDIT FEE: FINRA EXAM AND BRANCH INSPECTION	0.00					-247.50
06/15/2018		*EO INS: CURRENT MONTH	0.00					-45.00
06/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
06/15/2018		*EO INS: CURRENT MONTH INS	0.00					-81.00
06/15/2018		*EO INS: CURRENT MONTH KIESNOSKI	0.00					-300.00
06/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
06/15/2018		*EO INS: E&O WEATHERBIE	0.00					-75.00
06/15/2018		*FEDERAL EXPRESS: 6-190-91857 5/23/18	0.00					-7.59
06/15/2018		*FEDERAL EXPRESS: 6-198-22435 5/30/18	0.00					-11.33
06/15/2018		*FEDERAL EXPRESS: 6-204-97039 6/6/18	0.00					-5.84
06/15/2018		*FINRA: FBI FINGERPRINT FEE - C. BENNETT	0.00					-2.50
06/15/2018		*FINRA: FINRA MEM ELEC FING - C.	0.00					-3.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		BENNETT						
06/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
06/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
06/15/2018		*MISC: 5/31/18 OVERNIGHT FEE	0.00					-13.33
06/15/2018		*MISC: C FULMER, A LEEDS	0.00					-540.00
06/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					-1,326.00
06/15/2018		*MISC: MISC SARAH, FT L, 1031DST	0.00					-205.00
06/15/2018		*PERSHING: ADDRESS VERIFICATION LETTERS - PNW088045	0.00					-0.38
06/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.23
06/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.32
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.23
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.78
06/15/2018		*PERSHING: PERSHING MARKUP	0.00					30.00
06/15/2018		*PERSHING: PERSHING MARKUP	0.00					720.00
06/15/2018		*PERSHING: PERSHING MARKUP	0.00					7.50
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.99
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.51
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.59
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.49
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.73
06/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SP	0.00					-153.11
06/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO KIESNOSKI FROM CHARLEY	0.00					0.81
06/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO KIESNOSKI FROM CHARLEY FROM SPLITS	0.00					10.85
06/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO KIESNOSKI FROM LINSKY	0.00					100.58
06/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO KIESNOSKI FROM LINSKY	0.00					12.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		FROM SPLITS						
06/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO KIESNOSKI FROM PFLEGER FROM SPLITS	0.00					5.81
06/15/2018		*TECH: ADMIN FULMER	0.00					-25.00
06/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-42.90
06/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
06/15/2018		*TECH: CURRENT MONTH TECH	0.00					-74.25
06/15/2018		*TECHNOLOGY: ADMIN - C. BENNETT TECH	0.00					-16.25
06/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
06/15/2018		*TECHNOLOGY: ADMIN CARLY	0.00					-25.00
06/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-41.25
06/15/2018		*TECHNOLOGY: CURRENT MONTH KIESNOSKI	0.00					-275.00
06/15/2018		*TECHNOLOGY: MONTHLY - WEATHERBIE	0.00					-68.75
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,001.73

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BROKER COMMISSION TOTALS

Broker Transactions	\$9.92
Split Transactions	\$13,701.76
Override Transactions	\$166.91
Adjustments	\$-3,001.73
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,876.86
Year-to-date Gross	\$265,136.13
Year-to-date Earnings	\$254,002.72