



All Companies

01/11/2018

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COMMISSION STATEMENT

January 16, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/29/2017	00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
12/29/2017	00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
12/29/2017	00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
12/29/2017	00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
12/29/2017	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
12/29/2017	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
12/29/2017	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
12/29/2017	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
12/29/2017	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
12/29/2017	00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
12/29/2017	00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
12/29/2017	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
12/29/2017	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
12/29/2017	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	27.20	0.27	0.00	0.27	70.0	0.19
12/29/2017	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	45.33	0.45	0.00	0.45	70.0	0.32
12/29/2017	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
12/29/2017	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	37.17	0.37	0.00	0.37	70.0	0.26
12/29/2017	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	37.17	0.37	0.00	0.37	70.0	0.26
12/29/2017	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
12/29/2017	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
12/29/2017	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	15.96	0.16	0.00	0.16	70.0	0.11
12/29/2017	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
12/29/2017	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	21.15	0.21	0.00	0.21	70.0	0.15
12/29/2017	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *					\$2,059.48	\$20.61	\$0.00	\$20.61		\$14.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
11/26/2017	FOX, DANIEL MAX	-HARTFORD LIFE	B	630.00	12.60	0.00	12.60	70.0	8.82
12/31/2017	TRAILS	-JOHN HANCOCK	B	477.06	477.06	0.00	477.06	70.0	333.94
12/22/2017	TRAILS	-PACIFIC LIFE INSURANCE	B	362.84	362.84	0.00	362.84	70.0	253.99
12/01/2017	SEXTON, THOMAS	-SECURITY BENEFIT	B	250.00	12.50	0.00	12.50	70.0	8.75
12/29/2017	TRAILS	-SECURITY BENEFIT	B	128.07	128.07	0.00	128.07	70.0	89.65
12/31/2017	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		3.27	3.27	0.00	3.27	70.0	2.29
12/31/2017	TRAILS	PRUDENTIAL INSURANCE CO.	B	457.20	457.20	0.00	457.20	70.0	320.04
* VARIABLE ANNUITIES SUBTOTAL *				\$2,308.44	\$1,453.54	\$0.00	\$1,453.54		\$1,017.48
*** BROKER TRANSACTIONS TOTAL ***				\$4,367.92	\$1,474.15	\$0.00	\$1,474.15		\$1,031.96

ADJUSTMENTS

01/16/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
01/16/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,031.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-31.20

Check Amount	\$873.26
Year-to-date Earnings	\$873.26