



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2018**

01/29/2018  
Page No. 1

ROBIN EDGAR  
1161 WAYZATA BLVD E  
#400  
Wayzata, MN 55391

BROKER #: E119  
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/22/2018	KOSS-WINN BANC ESOP	ALLERGAN PLC COM SHS ISIN#IE00BY9D5	-27,896.76	50.00	22.50	27.50	90.0	24.75
01/11/2018	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	-28,755.10	50.00	22.75	27.25	90.0	24.53
01/16/2018	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	27,170.00	50.00	22.75	27.25	90.0	24.53
01/26/2018	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	11,387.50	50.00	22.50	27.50	90.0	24.75
* STOCKS SUBTOTAL *			\$-18,094.36	\$200.00	\$90.50	\$109.50		\$98.56
*** CLEARING TRANSACTIONS TOTAL ***			\$-18,094.36	\$200.00	\$90.50	\$109.50		\$98.56

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/13/2017	TORNQUIST, SCOTT	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/02/2018	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/02/2018	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
12/27/2017	AUDINO, JAMES	GPB AUTOMOTIVE	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
04/14/2017	GROSS, ROBERT	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/22/2018	KESSLER, ROBERT	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/19/2018	EDGAR, ROBIN	HPI FUND V	31,914.89	0.00	0.00	0.00	90.0	0.00
01/19/2018	GROSS, ROBERT	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
01/22/2018	PRICE, TERESA	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* PRIVATE PLACEMENT SUBTOTAL *			\$356,914.89	\$22,250.00	\$0.00	\$22,250.00		\$20,025.00
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
01/16/2018	BROGHAMMER, WILLIAM	US ENERGY 2017 DRILLING PROGRAM	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
01/04/2018	KOSS-WINN BANC ESOP	WAVELAND RESOURCE PARTNERS IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
01/25/2018	MEINDERS, DAWN	WAVELAND RESOURCE PARTNERS IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* OIL & GAS SUBTOTAL *			\$75,000.00	\$5,250.00	\$0.00	\$5,250.00		\$4,725.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/08/2018	POST, KENNETH	AM FUNDS AMCAP A	125.42	3.45	0.00	3.45	90.0	3.11
01/08/2018	POST, KENNETH	AM FUNDS AMCAP A	125.42	3.45	0.00	3.45	90.0	3.11
01/08/2018	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
01/08/2018	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
01/08/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	55.62	1.53	0.00	1.53	90.0	1.38
01/08/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	55.62	1.53	0.00	1.53	90.0	1.38
01/16/2018	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
01/08/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	55.62	1.53	0.00	1.53	90.0	1.38
01/08/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	55.62	1.53	0.00	1.53	90.0	1.38
01/08/2018	CRAWFORD, JON	AM FUNDS BALANCED PORT A	116.98	3.22	0.00	3.22	90.0	2.90
01/08/2018	CRAWFORD, JON	AM FUNDS BALANCED PORT A	116.98	3.22	0.00	3.22	90.0	2.90
01/16/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
01/16/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
01/10/2018	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
01/16/2018	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
01/08/2018	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
01/08/2018	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	69.82	1.92	0.00	1.92	90.0	1.73
01/08/2018	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	96.13	2.64	0.00	2.64	90.0	2.38
01/16/2018	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
01/17/2018	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
01/10/2018	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
01/08/2018	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	234.65	6.45	0.00	6.45	90.0	5.81
01/08/2018	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	2,781.12	76.48	0.00	76.48	90.0	68.83
01/08/2018	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	34.27	0.94	0.00	0.94	90.0	0.85
01/08/2018	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	114.25	3.14	0.00	3.14	90.0	2.83
01/17/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
01/17/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
01/17/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	58.86	2.21	0.00	2.21	90.0	1.99
01/17/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	35.31	1.32	0.00	1.32	90.0	1.19
01/17/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	47.34	1.78	0.00	1.78	90.0	1.60
01/17/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	47.34	1.78	0.00	1.78	90.0	1.60
01/08/2018	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	69.82	1.92	0.00	1.92	90.0	1.73
01/08/2018	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	96.13	2.64	0.00	2.64	90.0	2.38

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/08/2018	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	57.31	1.58	0.00	1.58	90.0	1.42
01/08/2018	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	57.31	1.58	0.00	1.58	90.0	1.42
01/08/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.21	0.80	0.00	0.80	90.0	0.72
01/08/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	48.69	1.34	0.00	1.34	90.0	1.21
01/08/2018	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	287.16	7.90	0.00	7.90	90.0	7.11
01/08/2018	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	298.60	8.21	0.00	8.21	90.0	7.39
01/16/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.26	1.30	0.00	1.30	90.0	1.17
01/16/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.36	0.78	0.00	0.78	90.0	0.70
01/17/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
01/17/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
01/08/2018	FIELD, BARRY	AM FUNDS ICA A	69.82	1.92	0.00	1.92	90.0	1.73
01/08/2018	FIELD, BARRY	AM FUNDS ICA A	96.13	2.64	0.00	2.64	90.0	2.38
01/08/2018	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
01/08/2018	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	69.80	1.92	0.00	1.92	90.0	1.73
01/08/2018	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	96.13	2.64	0.00	2.64	90.0	2.38
01/16/2018	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
01/08/2018	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
01/17/2018	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
01/10/2018	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
01/17/2018	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
01/10/2018	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
01/08/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	19.89	0.55	0.00	0.55	90.0	0.50
01/08/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	19.89	0.55	0.00	0.55	90.0	0.50
01/08/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.06	0.85	0.00	0.85	90.0	0.77
01/08/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	82.82	2.28	0.00	2.28	90.0	2.05
01/16/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.12	0.61	0.00	0.61	90.0	0.55
01/16/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.12	0.61	0.00	0.61	90.0	0.55
01/16/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	70.52	1.94	0.00	1.94	90.0	1.75
01/16/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	26.45	0.73	0.00	0.73	90.0	0.66
01/16/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
01/16/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
01/17/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	58.86	2.21	0.00	2.21	90.0	1.99
01/17/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	35.32	1.32	0.00	1.32	90.0	1.19

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	47.35	1.78	0.00	1.78	90.0	1.60
01/17/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	47.35	1.78	0.00	1.78	90.0	1.60
01/17/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	93.75	3.52	0.00	3.52	90.0	3.17
01/17/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.25	2.11	0.00	2.11	90.0	1.90
01/10/2018	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$8,980.85</b>	<b>\$252.38</b>	<b>\$0.00</b>	<b>\$252.38</b>		<b>\$227.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/24/2018	EDGAR, VARIOUS	PERSHING	0.00	588.56	0.00	588.56	90.0	529.70
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$588.56</b>	<b>\$0.00</b>	<b>\$588.56</b>		<b>\$529.70</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$440,895.74</b>	<b>\$28,340.94</b>	<b>\$0.00</b>	<b>\$28,340.94</b>		<b>\$25,506.98</b>
<b><u>ADJUSTMENTS</u></b>								
01/31/2018		*MISC: OVERPAYMENT OF DUE DILIGENCE	0.00					-225.00
01/31/2018		*MISC: OVERPAYMENT OF DUE DILLIGENC	0.00					-225.00
01/31/2018		*MISC: OVERPAYMENT OF DUE DILLIGENC	0.00					-168.75
01/31/2018		*MISC: OVERPAYMENT OF DUE DILLIGENC	0.00					-225.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-843.75</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$25,605.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-843.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$24,761.79
Year-to-date Gross	\$56,856.42
Year-to-date Earnings	\$51,020.45