



Concorde Investment Services, LLC

08/14/2017  
Page No. 1

# COMMISSION STATEMENT August 15, 2017

JOSEPH MICHALETZ  
41474 Judson Bottom Road  
  
North Mankato, MI 56003-

BROKER #: M138  
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
08/10/2017	GARLAND, JACK	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
07/13/2017	MILLER, MILLER, BRADS	GPB WASTE MANAGEMENT LP	150,000.00	4,500.00	0.00	4,500.00	93.0	4,185.00
08/10/2017	GARLAND, JACK	GPB WASTE MANAGEMENT LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
07/13/2017	GRIMM, GLEN	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
07/13/2017	MILLER, MILLER, BRADS	HPI FUND V	156,250.00	3,125.00	0.00	3,125.00	93.0	2,906.25
07/13/2017	MILLER, MILLER, BRADS	SEALEY STRATEGIC EQUITY PARTNERS LP	150,000.00	4,500.00	0.00	4,500.00	93.0	4,185.00
08/08/2017	ARNOLD, EVERETT	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
* PRIVATE PLACEMENT SUBTOTAL *			\$756,250.00	\$32,625.00	\$0.00	\$32,625.00		\$30,341.25
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
08/08/2017	LARSON, CEASAR	RESOURCE ROYALTY KING WOODS	240,000.00	14,400.00	0.00	14,400.00	93.0	13,392.00
08/10/2017	GARLAND, JACK	RESOURCE ROYALTY KING WOODS	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/03/2017	EDWARDS, RHONDA	WAVELAND RESOURCE PARTNERS IV	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
* OIL & GAS SUBTOTAL *			\$390,000.00	\$23,900.00	\$0.00	\$23,900.00		\$22,227.00
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
07/28/2017	HOTOVEC, GARY	CANTOR FITZGERALD NET LEASE 8 DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/01/2017	CARLSON TRUST, SANDRA	INLAND DENVER MSA MULTIFAM'Y II DST	149,427.51	7,471.38	0.00	7,471.38	93.0	6,948.38
08/01/2017	CARLSON TRUST, KEVIN	INLAND DENVER MSA MULTIFAM'Y II DST	98,734.46	4,936.72	0.00	4,936.72	93.0	4,591.15
07/26/2017	CLIFT, GEORGE	PASSCO HAVEN DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/03/2017	TIMM FARMS INC	PASSCO HAVEN DST	400,000.00	24,000.00	0.00	24,000.00	93.0	22,320.00
07/28/2017	HOTOVEC, GARY	PASSCO LONGITUDE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/03/2017	TIMM FARMS INC	PASSCO LONGITUDE DST	500,000.00	30,000.00	0.00	30,000.00	93.0	27,900.00
08/11/2017	ANOTHER ATTIC AT PAR	PASSCO VOYAGER DST	2,575,000.00	79,500.00	0.00	79,500.00	93.0	73,935.00
07/13/2017	MITCHELL, ROBERT	STARBOARD LEMON GROVE DST	400,000.00	24,000.00	0.00	24,000.00	93.0	22,320.00
* 1031 EXCHANGES SUBTOTAL *			\$4,423,161.97	\$187,908.10	\$0.00	\$187,908.10		\$174,754.53

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/30/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	1.05	0.00	1.05	93.0	0.98
06/30/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	3.15	0.00	3.15	93.0	2.93
07/18/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	25.00	0.25	0.00	0.25	93.0	0.23
08/01/2017	GRIMM, GLEN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	93.0	0.00
08/02/2017	VOIGT, KEVIN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	93.0	0.00
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	33.66	0.00	33.66	93.0	31.30
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	12.57	0.00	12.57	93.0	11.69
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.31	0.00	0.31	93.0	0.29
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.41	0.00	3.41	93.0	3.17
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	6.49	0.00	6.49	93.0	6.04
08/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
08/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
08/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
07/27/2017	ARNOLD, EVERETT	SEALY STRATEGIC EQUITY PARTNERS LP	50,000.00	0.00	0.00	0.00	93.0	0.00
07/28/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	231.00	2.31	0.00	2.31	93.0	2.15
07/28/2017	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	93.0	10.85
08/02/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.51	0.00	34.51	93.0	32.09
08/02/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.13	0.00	34.13	93.0	31.74
08/02/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	36.79	0.00	36.79	93.0	34.21
08/02/2017	VOIGHT, KATHERINE	TRANSAMERICA AA GROWTH C	0.00	4.24	0.00	4.24	93.0	3.94
08/02/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.15	0.00	0.15	93.0	0.14
08/02/2017	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.80	0.00	1.80	93.0	1.67
08/02/2017	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.36	0.00	1.36	93.0	1.26
08/02/2017	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	39.87	0.00	39.87	93.0	37.08
08/02/2017	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.29	0.00	4.29	93.0	3.99
08/02/2017	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	18.29	0.00	18.29	93.0	17.01
08/02/2017	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.76	0.00	4.76	93.0	4.43
08/02/2017	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.76	0.00	4.76	93.0	4.43
08/02/2017	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	29.50	0.00	29.50	93.0	27.44
08/02/2017	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.51	0.00	2.51	93.0	2.33
08/02/2017	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.77	0.00	4.77	93.0	4.44
08/02/2017	MORROW, ELLEN	TRANSAMERICA AA GROWTH C	0.00	83.50	0.00	83.50	93.0	77.66

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/02/2017	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.72	0.00	3.72	93.0	3.46
08/02/2017	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	93.0	4.00
08/02/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	16.10	0.00	16.10	93.0	14.97
08/02/2017	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.90	0.00	3.90	93.0	3.63
08/02/2017	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	17.13	0.00	17.13	93.0	15.93
08/02/2017	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	17.75	0.00	17.75	93.0	16.51
08/02/2017	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	58.43	0.00	58.43	93.0	54.34
08/02/2017	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.02	0.00	6.02	93.0	5.60
08/02/2017	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.42	0.00	1.42	93.0	1.32
08/02/2017	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.30	0.00	4.30	93.0	4.00
08/02/2017	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.88	0.00	3.88	93.0	3.61
08/02/2017	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	11.91	0.00	11.91	93.0	11.08
08/02/2017	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	93.0	1.41
08/02/2017	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.43	0.00	10.43	93.0	9.70
08/02/2017	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.25	0.00	1.25	93.0	1.16
08/02/2017	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.76	0.00	11.76	93.0	10.94
08/02/2017	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.47	0.00	9.47	93.0	8.81
08/02/2017	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.51	0.00	8.51	93.0	7.91
08/02/2017	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.43	0.00	6.43	93.0	5.98
08/02/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.49	0.00	5.49	93.0	5.11
08/02/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	5.91	0.00	5.91	93.0	5.50
08/02/2017	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	57.49	0.00	57.49	93.0	53.47
08/02/2017	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.28	0.00	1.28	93.0	1.19
08/02/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	13.42	0.00	13.42	93.0	12.48
08/02/2017	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.35	0.00	4.35	93.0	4.05
08/02/2017	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.11	0.00	4.11	93.0	3.82
08/02/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	2.92	0.00	2.92	93.0	2.72
08/02/2017	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.16	0.00	3.16	93.0	2.94
08/02/2017	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	93.0	2.70
08/02/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	23.20	0.00	23.20	93.0	21.58
08/02/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.27	0.00	6.27	93.0	5.83
08/02/2017	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.27	0.00	6.27	93.0	5.83
08/02/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.07	0.00	1.07	93.0	1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/02/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.03	0.00	1.03	93.0	0.96
08/02/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	93.0	1.06
08/02/2017	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	93.0	0.97
08/02/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.01	0.00	1.01	93.0	0.94
08/02/2017	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.40	0.00	2.40	93.0	2.23
08/02/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.77	0.00	2.77	93.0	2.58
08/02/2017	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.42	0.00	9.42	93.0	8.76
08/02/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.36	0.00	3.36	93.0	3.12
08/10/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
08/02/2017	CONRAD, MAREDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.35	0.00	6.35	93.0	5.91
08/02/2017	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	41.49	0.00	41.49	93.0	38.59
08/02/2017	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.89	0.00	7.89	93.0	7.34
08/02/2017	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.13	0.00	25.13	93.0	23.37
08/02/2017	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	23.75	0.00	23.75	93.0	22.09
08/02/2017	MILLER, SCOTT	WAVELAND RESOURCE PARTNERS IV LP	239,992.44	0.00	0.00	0.00	93.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$391,915.10</b>	<b>\$848.95</b>	<b>\$12.00</b>	<b>\$836.95</b>		<b>\$778.41</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/27/2017	ALBERTO, J THOMAS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	27,965.23	22.00	23.65	-1.65	93.0	-1.53
07/27/2017	ALBERTO, J THOMAS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-18,971.77	22.00	23.11	-1.11	93.0	-1.03
<b>* STOCKS SUBTOTAL *</b>			<b>\$8,993.46</b>	<b>\$44.00</b>	<b>\$46.76</b>	<b>\$-2.76</b>		<b>\$-2.56</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	55,204.57	46.00	0.00	46.00	93.0	42.78
07/31/2017	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	66,190.81	68.95	0.00	68.95	93.0	64.12
07/31/2017	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	157,048.62	163.59	0.00	163.59	93.0	152.14
07/31/2017	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	74,002.16	61.67	0.00	61.67	93.0	57.35
07/31/2017	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	78,884.28	65.74	0.00	65.74	93.0	61.14
07/31/2017	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	131,819.19	109.85	0.00	109.85	93.0	102.16
07/31/2017	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,311.78	6.93	0.00	6.93	93.0	6.44
07/31/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	191,543.21	159.62	0.00	159.62	93.0	148.45
07/31/2017	VANDERHOOF, DONNA	JNL PERSPECTIVE L	319,903.95	266.59	0.00	266.59	93.0	247.93
07/31/2017	GREEN, STEVEN	JNL PERSPECTIVE L	81,216.79	67.68	0.00	67.68	93.0	62.94
07/31/2017	GREEN, STEVEN	JNL PERSPECTIVE L	67,800.68	56.50	0.00	56.50	93.0	52.55

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	WOLFF, JEAN	JNL PERSPECTIVE L	76,239.04	63.53	0.00	63.53	93.0	59.08
07/31/2017	WOLFF, JEAN	JNL PERSPECTIVE L	405,714.13	338.10	0.00	338.10	93.0	314.43
07/31/2017	CURTIS, JEFFREY	JNL PERSPECTIVE L	135,212.61	112.68	0.00	112.68	93.0	104.79
07/31/2017	FUENTEZ, IVAN	JNL PERSPECTIVE L	234,048.42	195.04	0.00	195.04	93.0	181.39
07/31/2017	ROSE, ERIC	JNL PERSPECTIVE L	44,656.51	37.21	0.00	37.21	93.0	34.61
07/31/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE L	147,939.01	123.28	0.00	123.28	93.0	114.65
07/31/2017	MAINE, VINCENT	JNL PERSPECTIVE L	137,609.87	57.34	0.00	57.34	93.0	53.33
07/31/2017	CALABRESE, CAROL	JNL PERSPECTIVE L	253,741.80	211.45	0.00	211.45	93.0	196.65
07/31/2017	SCHRIOCK, LINDA	JNL PERSPECTIVE L	56,296.42	46.91	0.00	46.91	93.0	43.63
07/31/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	24,191.74	20.16	0.00	20.16	93.0	18.75
07/31/2017	MAINE, VINCENT	JNL PERSPECTIVE L	161,791.88	67.41	0.00	67.41	93.0	62.69
07/31/2017	LUTZ, TRACY	JNL PERSPECTIVE L	22,909.40	19.09	0.00	19.09	93.0	17.75
07/31/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	173,898.33	144.92	0.00	144.92	93.0	134.78
07/31/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	105,213.89	87.68	0.00	87.68	93.0	81.54
07/31/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	152,288.76	126.91	0.00	126.91	93.0	118.03
07/31/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	156,071.17	130.06	0.00	130.06	93.0	120.96
07/31/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	85,485.63	71.24	0.00	71.24	93.0	66.25
07/31/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	183,638.34	153.03	0.00	153.03	93.0	142.32
07/31/2017	KOCON, RAYMOND	JNL PERSPECTIVE L	418,742.98	348.95	0.00	348.95	93.0	324.52
07/31/2017	HOGSTAD, MARY	JNL PERSPECTIVE L	146,160.71	121.80	0.00	121.80	93.0	113.27
07/31/2017	ROSE, DENNIS	JNL PERSPECTIVE L	161,624.86	134.69	0.00	134.69	93.0	125.26
07/31/2017	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	446,268.46	371.89	0.00	371.89	93.0	345.86
07/31/2017	LEASE, NATHELLE	JNL PERSPECTIVE L	277,761.31	231.47	0.00	231.47	93.0	215.27
07/31/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	200,387.28	166.99	0.00	166.99	93.0	155.30
07/31/2017	VETTER, JOANN	JNL PERSPECTIVE L	657,279.95	547.73	0.00	547.73	93.0	509.39
07/31/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	451,364.66	376.14	0.00	376.14	93.0	349.81
07/31/2017	VETTER, RICHARD	JNL PERSPECTIVE L	124,628.12	103.86	0.00	103.86	93.0	96.59
07/31/2017	VETTER, JOANN	JNL PERSPECTIVE L	114,559.60	95.47	0.00	95.47	93.0	88.79
07/31/2017	FLOR, PATRICIA	JNL PERSPECTIVE L	1,746,922.20	1,455.77	0.00	1,455.77	93.0	1,353.87
07/31/2017	KRAHMER, LE ANN	JNL PERSPECTIVE L	330,940.74	275.78	0.00	275.78	93.0	256.48
07/31/2017	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	125,016.71	104.18	0.00	104.18	93.0	96.89
07/31/2017	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	172,628.13	143.86	0.00	143.86	93.0	133.79
07/31/2017	SHORE, JERRY	JNL PERSPECTIVE L	862,574.71	718.81	0.00	718.81	93.0	668.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,095,474.52	912.90	0.00	912.90	93.0	849.00
07/31/2017	SHORE, JERRY	JNL PERSPECTIVE L	309,869.43	258.22	0.00	258.22	93.0	240.14
07/31/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	264,419.61	220.35	0.00	220.35	93.0	204.93
07/31/2017	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	986,989.72	822.49	0.00	822.49	93.0	764.92
07/31/2017	JOHNSON, ELOIS	JNL PERSPECTIVE L	459,951.93	383.29	0.00	383.29	93.0	356.46
07/31/2017	BERKNESS, RONALD	JNL PERSPECTIVE L	360,020.34	300.02	0.00	300.02	93.0	279.02
07/31/2017	WOLFF, ANITA	JNL PERSPECTIVE L	588,226.40	490.19	0.00	490.19	93.0	455.88
07/31/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	786,208.33	655.17	0.00	655.17	93.0	609.31
07/31/2017	BERKNESS, CAROLE	JNL PERSPECTIVE L	151,067.10	125.89	0.00	125.89	93.0	117.08
07/31/2017	WOLFF, WALTER	JNL PERSPECTIVE L	823,127.30	685.94	0.00	685.94	93.0	637.92
07/31/2017	LARSON, LOIS	JNL PERSPECTIVE L	55,887.16	46.57	0.00	46.57	93.0	43.31
07/31/2017	LARSON, DEAN	JNL PERSPECTIVE L	115,550.34	96.29	0.00	96.29	93.0	89.55
07/31/2017	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	317,788.18	264.82	0.00	264.82	93.0	246.28
07/31/2017	DALLMANN, HOWARD	JNL PERSPECTIVE L	192,300.03	160.25	0.00	160.25	93.0	149.03
07/31/2017	DALLMANN, MARGARET	JNL PERSPECTIVE L	23,127.23	19.27	0.00	19.27	93.0	17.92
07/31/2017	CLOW, SANDRA	JNL PERSPECTIVE L	713,478.85	594.57	0.00	594.57	93.0	552.95
07/31/2017	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	542,650.26	452.21	0.00	452.21	93.0	420.56
07/31/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	352,452.18	293.71	0.00	293.71	93.0	273.15
07/31/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	426,478.03	355.40	0.00	355.40	93.0	330.52
07/31/2017	DOBIE, SHERYL	JNL PERSPECTIVE L	897,917.22	748.26	0.00	748.26	93.0	695.88
07/31/2017	MICHALETZ, GERALD	JNL PERSPECTIVE L	151,154.13	125.96	0.00	125.96	93.0	117.14
07/31/2017	BANWART, ROGER	JNL PERSPECTIVE L	156,844.96	130.70	0.00	130.70	93.0	121.55
07/31/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	106,155.23	88.46	0.00	88.46	93.0	82.27
07/31/2017	MICHALETZ, FAYE	JNL PERSPECTIVE L	67,297.94	56.08	0.00	56.08	93.0	52.15
07/31/2017	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	314,341.75	261.95	0.00	261.95	93.0	243.61
07/31/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	241,808.13	201.51	0.00	201.51	93.0	187.40
07/31/2017	POST, TERRY	JNL PERSPECTIVE L	196,303.51	163.59	0.00	163.59	93.0	152.14
07/31/2017	HUISMAN, GERALDINE	JNL PERSPECTIVE L	163,337.14	136.11	0.00	136.11	93.0	126.58
07/31/2017	STEVENS, GEORGE	JNL PERSPECTIVE L	305,071.20	254.23	0.00	254.23	93.0	236.43
07/31/2017	BUCK, NORMAN	JNL PERSPECTIVE L	228,863.44	190.72	0.00	190.72	93.0	177.37
07/31/2017	PANOS, GERALDINE	JNL PERSPECTIVE L	116,222.23	96.85	0.00	96.85	93.0	90.07
07/31/2017	SHIRK, PAMELA	JNL PERSPECTIVE L	199,851.49	166.54	0.00	166.54	93.0	154.88
07/31/2017	PAHL, JAMES	JNL PERSPECTIVE L	145,507.76	121.26	0.00	121.26	93.0	112.77

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
07/31/2017	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	249,457.57	207.88	0.00	207.88	93.0	193.33
07/31/2017	UTZKA, LANA	JNL PERSPECTIVE L	8,232.90	6.86	0.00	6.86	93.0	6.38
07/31/2017	SHAW, CHARLES	JNL PERSPECTIVE L	134,621.55	112.18	0.00	112.18	93.0	104.33
07/31/2017	WARD, JACQUELINE	JNL PERSPECTIVE L	37,320.92	31.10	0.00	31.10	93.0	28.92
07/31/2017	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	171,225.94	142.69	0.00	142.69	93.0	132.70
07/31/2017	KENDALL, ARCHIE	JNL PERSPECTIVE L	474,894.67	395.75	0.00	395.75	93.0	368.05
07/31/2017	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	137,894.37	114.91	0.00	114.91	93.0	106.87
07/31/2017	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	52,851.60	44.04	0.00	44.04	93.0	40.96
07/31/2017	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	43,681.54	36.40	0.00	36.40	93.0	33.85
07/31/2017	TRINITY EVANGELICAL	JNL PERSPECTIVE L	418,475.62	348.73	0.00	348.73	93.0	324.32
07/31/2017	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	698,488.36	582.07	0.00	582.07	93.0	541.33
07/31/2017	ARNOLD, EVERETT	JNL PERSPECTIVE L	706,886.05	589.07	0.00	589.07	93.0	547.84
07/31/2017	SCHMIDT, LORI	JNL PERSPECTIVE L	121,829.15	101.52	0.00	101.52	93.0	94.41
07/31/2017	SCHMIDT, HAROLD	JNL PERSPECTIVE L	498,334.09	415.28	0.00	415.28	93.0	386.21
07/31/2017	ZIEGLER, DARRELL	JNL PERSPECTIVE L	42,178.74	35.15	0.00	35.15	93.0	32.69
07/31/2017	MOLL TURKEYS INC	JNL PERSPECTIVE L	521,702.13	434.75	0.00	434.75	93.0	404.32
07/31/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	24,234.33	20.20	0.00	20.20	93.0	18.79
07/31/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	106,816.62	89.01	0.00	89.01	93.0	82.78
07/31/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	28,638.15	23.87	0.00	23.87	93.0	22.20
07/31/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	31,509.75	26.26	0.00	26.26	93.0	24.42
07/31/2017	FITZSIMMONS, GARY	JNL PERSPECTIVE L	343,613.98	286.34	0.00	286.34	93.0	266.30
07/31/2017	REED, MARY	JNL PERSPECTIVE L	271,478.59	226.23	0.00	226.23	93.0	210.39
07/31/2017	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	133,772.20	111.48	0.00	111.48	93.0	103.68
07/31/2017	DIETRICH, SHARON	JNL PERSPECTIVE L	207,451.72	172.88	0.00	172.88	93.0	160.78
07/31/2017	KUEHLWEIN, RICHARD	JNL PERSPECTIVE L	53,712.13	44.76	0.00	44.76	93.0	41.63
07/31/2017	JERROW, ROBERT	JNL PERSPECTIVE L	326,765.39	272.30	0.00	272.30	93.0	253.24
07/31/2017	KASTER, JANICE	JNL PERSPECTIVE L	159,923.10	133.27	0.00	133.27	93.0	123.94
07/31/2017	FEAR, DUANE	JNL PERSPECTIVE L	75,469.03	62.89	0.00	62.89	93.0	58.49
07/31/2017	FEAR, LINDA	JNL PERSPECTIVE L	42,636.74	35.53	0.00	35.53	93.0	33.04
07/31/2017	ESLINGER, PATRICIA	JNL PERSPECTIVE L	23,713.42	19.76	0.00	19.76	93.0	18.38
07/31/2017	OLSON, MARK	JNL PERSPECTIVE L	345,435.83	287.86	0.00	287.86	93.0	267.71
07/31/2017	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	305,348.10	254.46	0.00	254.46	93.0	236.65
07/31/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	34,971.81	29.14	0.00	29.14	93.0	27.10

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
07/31/2017	ZIEGLER, DIANNE	JNL PERSPECTIVE L	14,882.09	12.40	0.00	12.40	93.0	11.53
07/31/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	376,778.59	313.98	0.00	313.98	93.0	292.00
07/31/2017	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	971,653.94	809.71	0.00	809.71	93.0	753.03
07/31/2017	ANDERSON, DONALD	JNL PERSPECTIVE L	156,827.35	130.69	0.00	130.69	93.0	121.54
07/31/2017	ROY, JAMES	JNL PERSPECTIVE L	206,964.36	172.47	0.00	172.47	93.0	160.40
07/31/2017	OLSON, BRENDA	JNL PERSPECTIVE L	53,034.73	44.20	0.00	44.20	93.0	41.11
07/31/2017	OLSON, BRYCE	JNL PERSPECTIVE L	429,639.30	358.03	0.00	358.03	93.0	332.97
07/31/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	258,172.52	215.14	0.00	215.14	93.0	200.08
07/31/2017	GOFF, WESLEY	JNL PERSPECTIVE L	32,455.44	27.05	0.00	27.05	93.0	25.16
07/31/2017	CONRAD, RONALD	JNL PERSPECTIVE L	130,552.68	108.79	0.00	108.79	93.0	101.17
07/31/2017	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	446,014.99	371.68	0.00	371.68	93.0	345.66
07/31/2017	GRAFF, BRENT	JNL PERSPECTIVE L	59,421.69	49.52	0.00	49.52	93.0	46.05
07/31/2017	FOSTER, RODNEY	JNL PERSPECTIVE L	190,378.54	158.65	0.00	158.65	93.0	147.54
07/31/2017	SKELLY, SCOTT	JNL PERSPECTIVE L	235,445.25	196.20	0.00	196.20	93.0	182.47
07/31/2017	SKELLY, BRENDA	JNL PERSPECTIVE L	26,219.74	21.85	0.00	21.85	93.0	20.32
07/31/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	160,578.20	133.82	0.00	133.82	93.0	124.45
07/31/2017	SEVERSON, STANLEY	JNL PERSPECTIVE L	278,215.09	231.85	0.00	231.85	93.0	215.62
07/31/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	102,911.35	85.76	0.00	85.76	93.0	79.76
07/31/2017	GUNDERSON, HOLLY	JNL PERSPECTIVE L	38,809.66	32.34	0.00	32.34	93.0	30.08
07/31/2017	SEVERSON, JOYCE	JNL PERSPECTIVE L	116,550.74	97.13	0.00	97.13	93.0	90.33
07/31/2017	GUNDERSON, BRIAN	JNL PERSPECTIVE L	95,730.43	79.78	0.00	79.78	93.0	74.20
07/31/2017	SIEBERG, JOSEPH	JNL PERSPECTIVE L	70,891.28	59.08	0.00	59.08	93.0	54.94
07/31/2017	SIEBERG, DIANE	JNL PERSPECTIVE L	25,119.22	20.93	0.00	20.93	93.0	19.46
07/31/2017	CONRAD, DELBERT	JNL PERSPECTIVE L	111,674.29	93.06	0.00	93.06	93.0	86.55
07/31/2017	NELSON, BRENDA	JNL PERSPECTIVE L	238,151.76	198.46	0.00	198.46	93.0	184.57
07/31/2017	DORN, SANDRA	JNL PERSPECTIVE L	323,464.19	269.55	0.00	269.55	93.0	250.68
07/31/2017	HAGEN, LINDA	JNL PERSPECTIVE L	1,716,093.56	1,430.08	0.00	1,430.08	93.0	1,329.97
07/31/2017	HUGGINS, JEANNE	JNL PERSPECTIVE L	189,290.07	157.74	0.00	157.74	93.0	146.70
07/31/2017	THOMPSON, MAX	JNL PERSPECTIVE L	145,841.15	121.53	0.00	121.53	93.0	113.02
07/31/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	172,270.21	143.56	0.00	143.56	93.0	133.51
07/31/2017	THOMPSON, MAX	JNL PERSPECTIVE L	474,786.22	395.66	0.00	395.66	93.0	367.96
07/31/2017	HUGGINS, TONY	JNL PERSPECTIVE L	294,782.64	245.65	0.00	245.65	93.0	228.45
07/31/2017	HOWELL, NICOLE	JNL PERSPECTIVE L	199,189.38	165.99	0.00	165.99	93.0	154.37

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	STIERLEN, ROGER	JNL PERSPECTIVE L	43,714.79	36.43	0.00	36.43	93.0	33.88
07/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	706,686.81	588.91	0.00	588.91	93.0	547.69
07/31/2017	OTTO, JANET	JNL PERSPECTIVE L	24,380.50	20.32	0.00	20.32	93.0	18.90
07/31/2017	OTTO, JAMES	JNL PERSPECTIVE L	585,327.52	487.77	0.00	487.77	93.0	453.63
07/31/2017	BUCK, DELORES	JNL PERSPECTIVE L	253,317.41	211.10	0.00	211.10	93.0	196.32
07/31/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	505,340.15	421.12	0.00	421.12	93.0	391.64
07/31/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	229,168.46	190.97	0.00	190.97	93.0	177.60
07/31/2017	KLEMENT, GARY	JNL PERSPECTIVE L	691,263.61	576.05	0.00	576.05	93.0	535.73
07/31/2017	KLEMENT, GARY	JNL PERSPECTIVE L	687,127.95	572.61	0.00	572.61	93.0	532.53
07/31/2017	CLOW, SANDRA	JNL PERSPECTIVE L	459,394.03	382.83	0.00	382.83	93.0	356.03
07/31/2017	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	720,264.35	600.22	0.00	600.22	93.0	558.20
07/31/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	150,587.23	125.49	0.00	125.49	93.0	116.71
07/31/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	32,898.20	27.42	0.00	27.42	93.0	25.50
07/31/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	63,978.29	53.32	0.00	53.32	93.0	49.59
07/31/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	36,421.21	30.35	0.00	30.35	93.0	28.23
07/31/2017	GARTNER, MARIE	JNL PERSPECTIVE L	162,713.69	135.59	0.00	135.59	93.0	126.10
07/31/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	1,058,253.88	881.88	0.00	881.88	93.0	820.15
07/31/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	203,971.19	169.98	0.00	169.98	93.0	158.08
07/31/2017	FITTERER, RUTH	JNL PERSPECTIVE L	201,389.55	167.82	0.00	167.82	93.0	156.07
07/31/2017	MICHELS, DAVID	JNL PERSPECTIVE L	130,352.00	108.63	0.00	108.63	93.0	101.03
07/31/2017	MICHELS, BEVERLEY	JNL PERSPECTIVE L	308,429.09	257.02	0.00	257.02	93.0	239.03
07/31/2017	HANSON, JOY	JNL PERSPECTIVE L	201,275.86	167.73	0.00	167.73	93.0	155.99
07/31/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	529,551.57	441.29	0.00	441.29	93.0	410.40
07/31/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	592,022.70	493.35	0.00	493.35	93.0	458.82
07/31/2017	ROBINSON, MARILYN	JNL PERSPECTIVE L	134,616.95	112.18	0.00	112.18	93.0	104.33
07/31/2017	FOSTER, RICHARD	JNL PERSPECTIVE L	986,068.62	821.72	0.00	821.72	93.0	764.20
07/31/2017	STAFFEN, ROBERT	JNL PERSPECTIVE L	112,964.56	94.14	0.00	94.14	93.0	87.55
07/31/2017	TURNER, MYRNA	JNL PERSPECTIVE L	178,606.63	148.84	0.00	148.84	93.0	138.42
07/31/2017	TURNER, WENDELL &	JNL PERSPECTIVE L	161,653.94	134.71	0.00	134.71	93.0	125.28
07/31/2017	BENZ, FORREST	JNL PERSPECTIVE L	29,973.31	24.98	0.00	24.98	93.0	23.23
07/31/2017	BENZ, FORREST	JNL PERSPECTIVE L	260,063.25	216.72	0.00	216.72	93.0	201.55
07/31/2017	WEIBLE, DEAN	JNL PERSPECTIVE L	47,073.85	39.23	0.00	39.23	93.0	36.48
07/31/2017	SOUKUP, LAVERN	JNL PERSPECTIVE L	250,300.57	208.58	0.00	208.58	93.0	193.98

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	117,755.73	98.13	0.00	98.13	93.0	91.26
07/31/2017	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	399,264.47	332.72	0.00	332.72	93.0	309.43
07/31/2017	SHEARER, KAREN	JNL PERSPECTIVE L	118,004.51	98.34	0.00	98.34	93.0	91.46
07/31/2017	SHEARER, DONALD	JNL PERSPECTIVE L	319,572.15	266.31	0.00	266.31	93.0	247.67
07/31/2017	JOHNSON, JESSE	JNL PERSPECTIVE L	110,280.45	91.90	0.00	91.90	93.0	85.47
07/31/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	7,750.95	6.46	0.00	6.46	93.0	6.01
07/31/2017	JOHNSON, KAREN	JNL PERSPECTIVE L	7,747.70	6.46	0.00	6.46	93.0	6.01
07/31/2017	SNYDER, CYNTHIA	JNL PERSPECTIVE L	28,144.98	23.45	0.00	23.45	93.0	21.81
07/31/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	84,587.95	70.49	0.00	70.49	93.0	65.56
07/31/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	23,377.78	19.48	0.00	19.48	93.0	18.12
07/31/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	79,494.03	66.25	0.00	66.25	93.0	61.61
07/31/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	121,948.52	66.06	0.00	66.06	93.0	61.44
07/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	121,948.51	66.06	0.00	66.06	93.0	61.44
07/31/2017	WOLFF, LINDA	JNL PERSPECTIVE L	131,332.69	109.44	0.00	109.44	93.0	101.78
07/31/2017	LABRIER, JOHN	JNL PERSPECTIVE L	72,620.74	60.52	0.00	60.52	93.0	56.28
07/31/2017	LABRIER, PAULA	JNL PERSPECTIVE L	15,076.57	12.56	0.00	12.56	93.0	11.68
07/31/2017	WETZELL, PAMELA	JNL PERSPECTIVE L	85,557.46	71.30	0.00	71.30	93.0	66.31
07/31/2017	JOHNSON, SHERI	JNL PERSPECTIVE L	153,107.60	127.59	0.00	127.59	93.0	118.66
07/31/2017	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	246,908.87	205.76	0.00	205.76	93.0	191.36
07/31/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	42,381.74	35.32	0.00	35.32	93.0	32.85
07/31/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	33,600.52	28.00	0.00	28.00	93.0	26.04
07/31/2017	BOESCH, RODNEY	JOHN HANCOCK VENTURE	146,300.24	30.48	0.00	30.48	93.0	28.35
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$50,263,749.10</b>	<b>\$41,645.65</b>	<b>\$0.00</b>	<b>\$41,645.65</b>		<b>\$38,730.53</b>

**PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE**

08/01/2017	FOELS, BRUCE	TRANSAMERICA LIFE	0.00	1.04	0.00	1.04	93.0	0.97
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.04</b>	<b>\$0.00</b>	<b>\$1.04</b>		<b>\$0.97</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

07/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.83	0.00	0.83	93.0	0.77
07/18/2017	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.24	0.00	0.24	93.0	0.22
07/18/2017	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.63	0.00	0.63	93.0	0.59
07/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.66	0.00	0.66	93.0	0.61
07/18/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.12	0.00	0.12	93.0	0.11

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.78	0.00	0.78	93.0	0.73
07/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	93.0	0.92
07/18/2017	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.62	0.00	1.62	93.0	1.51
07/18/2017	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	93.0	0.10
07/18/2017	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.65	0.00	0.65	93.0	0.60
07/18/2017	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.49	0.00	1.49	93.0	1.39
07/18/2017	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	93.0	0.80
07/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	0.00	0.52	93.0	0.48
07/18/2017	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	0.00	1.40	93.0	1.30
07/18/2017	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	93.0	0.60
07/18/2017	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.69	0.00	1.69	93.0	1.57
07/18/2017	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.12	0.00	0.12	93.0	0.11
07/18/2017	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.32	0.00	0.32	93.0	0.30
07/18/2017	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.31	0.00	0.31	93.0	0.29
07/18/2017	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.10	0.00	0.10	93.0	0.09
07/18/2017	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.62	0.00	0.62	93.0	0.58
07/18/2017	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
07/18/2017	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.47	0.00	0.47	93.0	0.44
07/18/2017	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	93.0	0.47
07/18/2017	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.29	0.00	1.29	93.0	1.20
07/17/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.05	0.00	4.05	93.0	3.77
07/17/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.14	0.00	12.14	93.0	11.29
07/17/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.66	0.00	20.66	93.0	19.21
07/17/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	61.99	0.00	61.99	93.0	57.65
07/17/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.07	0.00	41.07	93.0	38.20
07/17/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	123.21	0.00	123.21	93.0	114.59
07/17/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.05	0.00	2.05	93.0	1.91
07/17/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.15	0.00	6.15	93.0	5.72
07/17/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	30.99	0.00	30.99	93.0	28.82
07/17/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	92.96	0.00	92.96	93.0	86.45
07/14/2017	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	20.75	0.00	20.75	93.0	19.30
07/14/2017	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	17.92	0.00	17.92	93.0	16.67
07/14/2017	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.66	0.00	2.66	93.0	2.47

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/14/2017	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.27	0.00	8.27	93.0	7.69
07/14/2017	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	15.69	0.00	15.69	93.0	14.59
07/18/2017	REILLY, KAREN	INVESCO MIDCAP GROWTH A	0.00	23.77	0.00	23.77	93.0	22.11
07/18/2017	VAN SISTINE, JEANNE	INVESCO MIDCAP GROWTH A	0.00	22.74	0.00	22.74	93.0	21.15
07/18/2017	PLOTZ, ROSANN	INVESCO MIDCAP GROWTH A	0.00	1.71	0.00	1.71	93.0	1.59
07/18/2017	REINKE, RONALD	INVESCO MIDCAP GROWTH A	0.00	9.78	0.00	9.78	93.0	9.10
07/14/2017	TURNER, WANDA	JH HIGH YIELD A	0.00	1.35	0.00	1.35	93.0	1.26
07/14/2017	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.70	0.00	3.70	93.0	3.44
07/14/2017	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	8.72	0.00	8.72	93.0	8.11
07/14/2017	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.13	0.00	8.13	93.0	7.56
07/18/2017	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.44	0.00	0.44	93.0	0.41
07/18/2017	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.38	0.00	7.38	93.0	6.86
07/18/2017	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.69	0.00	0.69	93.0	0.64
07/18/2017	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	3.82	0.00	3.82	93.0	3.55
07/21/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	12.75	0.00	12.75	93.0	11.86
07/21/2017	SCHLOMANN, DAVID	MFS MODERATE ALLOCATION C	0.00	5.20	0.00	5.20	93.0	4.84
07/21/2017	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	7.81	0.00	7.81	93.0	7.26
07/21/2017	POLTZ, ALAN	MFS RESEARCH A	0.00	0.47	0.00	0.47	93.0	0.44
07/25/2017	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.46	0.00	3.46	93.0	3.22
07/17/2017	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.61	0.00	0.61	93.0	0.57
07/17/2017	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.86	0.00	3.86	93.0	3.59
07/20/2017	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	25.03	0.00	25.03	93.0	23.28
07/20/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.24	0.00	2.24	93.0	2.08
07/20/2017	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	11.56	0.00	11.56	93.0	10.75
07/20/2017	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	23.60	0.00	23.60	93.0	21.95
07/20/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.10	0.00	3.10	93.0	2.88
07/20/2017	GRUBISH, JAMES	TRANSAMERICA AA GROWTH C	0.00	29.15	0.00	29.15	93.0	27.11
07/20/2017	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	14.44	0.00	14.44	93.0	13.43
07/20/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	68.99	0.00	68.99	93.0	64.16
07/20/2017	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	4.74	0.00	4.74	93.0	4.41
07/20/2017	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	31.88	0.00	31.88	93.0	29.65
07/20/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	63.97	0.00	63.97	93.0	59.49
07/20/2017	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	1.91	0.00	1.91	93.0	1.78

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/20/2017	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.69	0.00	0.69	93.0	0.64
07/20/2017	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.71	0.00	0.71	93.0	0.66
07/20/2017	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.87	0.00	0.87	93.0	0.81
07/20/2017	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.18	0.00	1.18	93.0	1.10
07/11/2017	FITZSIMMONS, KEVIN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	2.64	0.00	2.64	93.0	2.46
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$890.70</b>	<b>\$0.00</b>	<b>\$890.70</b>		<b>\$828.38</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$56,234,069.63</b>	<b>\$287,863.44</b>	<b>\$58.76</b>	<b>\$287,804.68</b>		<b>\$267,658.51</b>

**SPLIT TRANSACTIONS****BROKER: 46G-JOE/JACK MICHALETZ #290**

07/17/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.31	0.00	33.31	93.0	30.98
07/17/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	99.94	0.00	99.94	93.0	92.94
07/18/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
07/18/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
07/18/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
07/18/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
<b>* 46G-JOE/JACK MICHALETZ SUBTOTAL *</b>			<b>\$422.40</b>	<b>\$137.48</b>	<b>\$0.00</b>	<b>\$137.48</b>		<b>\$127.86</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$422.40</b>	<b>\$137.48</b>	<b>\$0.00</b>	<b>\$137.48</b>		<b>\$127.86</b>

**OVERRIDE TRANSACTIONS****BROKER: JACK MICHALETZ #287**

07/31/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	178,267.76	37.14	0.00	37.14	0.0	34.54
07/31/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,095.85	6.75	0.00	6.75	0.0	6.28
07/31/2017	PETERSON, IONE	JNL PERSPECTIVE L	125,800.39	136.28	0.00	136.28	0.0	126.74
07/31/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	114,548.30	95.46	0.00	95.46	0.0	88.78
07/31/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	206,257.37	171.88	0.00	171.88	0.0	159.85
07/31/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	8,952.70	7.46	0.00	7.46	0.0	6.94
07/31/2017	HOL, PATRICIA	JNL PERSPECTIVE L	124,256.82	103.54	0.00	103.54	0.0	96.29
07/31/2017	HAGER, REBECCA	JNL PERSPECTIVE L	62,105.80	51.75	0.00	51.75	0.0	48.13
07/31/2017	MARSH, JAMES	JNL PERSPECTIVE L	37,929.67	31.61	0.00	31.61	0.0	29.40
07/31/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	134,683.88	112.24	0.00	112.24	0.0	104.38

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
07/31/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	293,331.94	244.44	0.00	244.44	0.0	227.33
07/31/2017	HART, JILLANE	JNL PERSPECTIVE L	94,941.54	79.12	0.00	79.12	0.0	73.58
07/31/2017	HART, KEVIN	JNL PERSPECTIVE L	280,734.41	233.94	0.00	233.94	0.0	217.56
07/31/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,027.21	20.02	0.00	20.02	0.0	18.62
07/31/2017	BEVAN, HELEN	JNL PERSPECTIVE L	38,472.41	32.06	0.00	32.06	0.0	29.82
08/06/2017	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	140.00	0.00	140.00	0.0	130.20
08/07/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.04
08/07/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.05
07/28/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
07/31/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
07/27/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	49.18	0.49	0.00	0.49	0.0	0.46
07/27/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	49.18	0.49	0.00	0.49	0.0	0.46
07/27/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
07/27/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
07/27/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	55.04	0.55	0.00	0.55	0.0	0.51
07/27/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	55.04	0.55	0.00	0.55	0.0	0.51
07/27/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	58.68	0.59	0.00	0.59	0.0	0.55
07/27/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	58.68	0.59	0.00	0.59	0.0	0.55
08/02/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.79	0.00	2.79	0.0	2.59
08/02/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.73	0.00	5.73	0.0	5.33
08/02/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	50.82	0.00	50.82	0.0	47.26
08/02/2017	IRELAND, DANIEL	TRANSAMERICA AA GROWTH C	0.00	44.22	0.00	44.22	0.0	41.12
08/02/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	37.70	0.00	37.70	0.0	35.06
08/02/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	37.70	0.00	37.70	0.0	35.06
08/02/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.55	0.00	5.55	0.0	5.16
08/02/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.03	0.00	17.03	0.0	15.84
08/02/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.77	0.00	5.77	0.0	5.37
08/02/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	0.0	1.66
08/02/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.38	0.00	16.38	0.0	15.23
08/02/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	49.80	0.00	49.80	0.0	46.31
08/02/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.54	0.00	1.54	0.0	1.43
08/02/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	42.94	0.00	42.94	0.0	39.93
08/02/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.90	0.00	6.90	0.0	6.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
08/02/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.87	0.00	7.87	0.0	7.32
08/02/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.72	0.00	1.72	0.0	1.60
08/02/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.61	0.00	2.61	0.0	2.43
08/02/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	0.00	7.54	0.00	7.54	0.0	7.01
08/02/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	17.61	0.00	17.61	0.0	16.38
08/02/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.03	0.00	17.03	0.0	15.84
08/02/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.50	0.00	11.50	0.0	10.70
08/02/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.16	0.00	2.16	0.0	2.01
08/02/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.18	0.00	2.18	0.0	2.03
08/02/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.41	0.00	1.41	0.0	1.31
08/02/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.62	0.00	3.62	0.0	3.37
08/02/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	0.0	1.04
08/02/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.10	0.00	1.10	0.0	1.02
08/02/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.70	0.00	5.70	0.0	5.30
08/02/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	20.87	0.00	20.87	0.0	19.41
08/02/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.45	0.00	9.45	0.0	8.79
08/02/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	4.89	0.00	4.89	0.0	4.55
08/02/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.83	0.00	3.83	0.0	3.56
08/02/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.00	0.00	8.00	0.0	7.44
08/02/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	1.07	0.00	1.07	0.0	1.00
08/02/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.41	0.00	8.41	0.0	7.82
08/02/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	2.50	0.00	2.50	0.0	2.33
08/02/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.16	0.00	13.16	0.0	12.24
08/02/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.29	0.00	15.29	0.0	14.22
08/02/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.46	0.00	0.46	0.0	0.43
08/02/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.72	0.00	4.72	0.0	4.39
08/02/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	1.72
08/02/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.78	0.00	2.78	0.0	2.59
08/02/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.77	0.00	1.77	0.0	1.65
08/02/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.01	0.00	3.01	0.0	2.80
08/02/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.93	0.00	0.93	0.0	0.86
08/02/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.29	0.00	4.29	0.0	3.99
08/03/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JACK MICHALETZ #287</u></b>								
08/03/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
08/03/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
08/03/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
08/07/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-8.37
08/02/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.21	0.00	6.21	0.0	5.78
08/02/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.30	0.00	3.30	0.0	3.07
08/02/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.07	0.00	5.07	0.0	4.72
08/02/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	44.15	0.00	44.15	0.0	41.06
08/02/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	3.73	0.00	3.73	0.0	3.47
08/02/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	6.52	0.00	6.52	0.0	6.06
08/02/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.30	0.00	1.30	0.0	1.21
08/02/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.35	0.00	2.35	0.0	2.19
08/02/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	9.73	0.00	9.73	0.0	9.05
08/02/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	0.00	15.09	0.00	15.09	0.0	14.03
08/02/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.36	0.00	6.36	0.0	5.91
08/02/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	2.24	0.00	2.24	0.0	2.08
07/25/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
07/25/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
* JACK MICHALETZ SUBTOTAL *			<b>\$1,734,468.11</b>	<b>\$2,158.97</b>	<b>\$36.00</b>	<b>\$2,122.97</b>		<b>\$1,974.43</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$1,734,468.11</b>	<b>\$2,158.97</b>	<b>\$36.00</b>	<b>\$2,122.97</b>		<b>\$1,974.43</b>

**ADJUSTMENTS**

08/15/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00						2,095.40
08/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
08/15/2017	*EO INS: CURRENT MONTH INS-JACK	0.00						-300.00
08/15/2017	*FINRA: FBI FINGERPRINT FEE	0.00						-10.00
08/15/2017	*FINRA: FINRA MEMBER ELEC FINGERPRI	0.00						-15.00
08/15/2017	*FINRA: KS INDIVIDUAL REG. FEE	0.00						-60.00
08/15/2017	*MISC: DATA EXTRACTION 7/14	0.00						-350.00
08/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-1.50
08/15/2017	*PERSHING: ETF PROSPECTUS MAILING J	0.00						-3.10

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<b><u>ADJUSTMENTS</u></b>								
08/15/2017		*PERSHING: MF PROSPECTUS MAILING JA	0.00					-5.80
08/15/2017		*TECH: ADMIN - BRIDGET	0.00					-100.00
08/15/2017		*TECH: ADMIN - CHRISTINE	0.00					-100.00
08/15/2017		*TECH: ADMIN - JANE	0.00					-100.00
08/15/2017		*TECH: ADMIN - NICOLE	0.00					-100.00
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
08/15/2017		*TECH: CURRENT MONTH TECH-BORST	0.00					-100.00
08/15/2017		*TECH: CURRENT MONTH TECH-JACK	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$267,658.51
Split Transactions	\$127.86
Override Transactions	\$1,974.43
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$269,760.80
Year-to-date Gross	\$3,786,378.12
Year-to-date Earnings	\$3,647,137.52

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