



Concorde Investment Services, LLC

03/06/2017  
Page No. 1

# COMMISSION STATEMENT

February 28, 2017

DAVID ZUPEK  
6226 Bankers Rd.  
Ste. 4  
Racine, WI 53403

BROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
02/12/2017	RACK, JOAN	AM GEN POLARIS CHOICE III	56,431.96	141.08	0.00	141.08	90.0	126.97
02/15/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
02/06/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
02/15/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
01/03/2017	KOBER, GARY	TRANSAMERICA LIBERTY	209,459.74	174.55	0.00	174.55	90.0	157.10
01/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	168,953.95	140.79	0.00	140.79	90.0	126.71
01/12/2017	KLUENDER, MARK	TRANSAMERICA LIBERTY	131,206.16	109.34	0.00	109.34	90.0	98.41
01/12/2017	BERNHARDT, ROBERT	TRANSAMERICA LIBERTY	154,684.97	128.90	0.00	128.90	90.0	116.01
01/12/2017	KLUENDER, KURT	TRANSAMERICA LIBERTY	123,945.44	103.29	0.00	103.29	90.0	92.96
01/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	110,072.81	91.73	0.00	91.73	90.0	82.56
01/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	322,116.91	268.43	0.00	268.43	90.0	241.59
01/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	77,519.90	64.60	0.00	64.60	90.0	58.14
01/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	33,456.07	27.88	0.00	27.88	90.0	25.09
01/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	32,339.37	26.95	0.00	26.95	90.0	24.26
01/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,354.93	32.80	0.00	32.80	90.0	29.52
01/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	39,008.19	32.51	0.00	32.51	90.0	29.26
01/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,023.48	0.85	0.00	0.85	90.0	0.77
01/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,137.46	0.95	0.00	0.95	90.0	0.86
01/23/2017	KOBER, JUDITH	TRANSAMERICA LIBERTY	1,023.48	0.85	0.00	0.85	90.0	0.77
01/23/2017	KOBER, JUDITH	TRANSAMERICA LIBERTY	1,023.48	0.85	0.00	0.85	90.0	0.77
01/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	176,432.72	147.03	0.00	147.03	90.0	132.33
01/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	64,780.71	53.98	0.00	53.98	90.0	48.58
01/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	157,332.73	131.11	0.00	131.11	90.0	118.00
01/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	176,117.68	146.76	0.00	146.76	90.0	132.08
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,078,660.14</b>	<b>\$1,858.68</b>	<b>\$0.00</b>	<b>\$1,858.68</b>		<b>\$1,672.85</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
02/04/2017	HARTMAN, BRIAN	ING ESII	0.00	2.60	0.00	2.60	90.0	2.34
02/18/2017	TORNER, LYNN	ING LANDMARK	0.00	329.06	0.00	329.06	90.0	296.15
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$331.66</b>	<b>\$0.00</b>	<b>\$331.66</b>		<b>\$298.49</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,078,660.14</b>	<b>\$2,190.34</b>	<b>\$0.00</b>	<b>\$2,190.34</b>		<b>\$1,971.34</b>

**SPLIT TRANSACTIONS****BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

02/08/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	30.00	0.42	0.00	0.42	90.0	0.38
02/08/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	54.00	0.76	0.00	0.76	90.0	0.68
02/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
02/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
02/16/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
02/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
02/21/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
02/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
02/21/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	1.51	0.00	1.51	90.0	1.36
02/08/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	30.00	0.42	0.00	0.42	90.0	0.38
02/08/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	54.00	0.76	0.00	0.76	90.0	0.68
02/08/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	3.38	0.05	0.00	0.05	90.0	0.05
02/08/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	5.63	0.08	0.00	0.08	90.0	0.07
02/08/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	30.00	0.42	0.00	0.42	90.0	0.38
02/08/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	54.00	0.76	0.00	0.76	90.0	0.68
02/08/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	TULEWICZ, KRYSTYNA	MFS GROWTH ALLOCATION B	3.38	0.05	0.00	0.05	90.0	0.05
02/08/2017	TULEWICZ, KRYSTYNA	MFS GROWTH ALLOCATION B	5.61	0.08	0.00	0.08	90.0	0.07
02/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
02/15/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</b>								
02/21/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
02/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42
02/08/2017	WALCZYK, ANNA	MFS UTILITIES B	30.00	0.42	0.00	0.42	90.0	0.38
02/08/2017	WALCZYK, ANNA	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	WALCZYK, MAREK	MFS UTILITIES B	54.00	0.76	0.00	0.76	90.0	0.68
02/08/2017	WALCZYK, MAREK	MFS UTILITIES B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	3.36	0.05	0.00	0.05	90.0	0.05
02/08/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	5.63	0.08	0.00	0.08	90.0	0.07
02/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
02/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
02/16/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
02/21/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	1.51	0.00	1.51	90.0	1.36
02/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
02/08/2017	WALCZYK, ANNA	MFS VALUE B	30.00	0.42	0.00	0.42	90.0	0.38
02/08/2017	WALCZYK, ANNA	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	WALCZYK, MAREK	MFS VALUE B	54.00	0.76	0.00	0.76	90.0	0.68
02/08/2017	WALCZYK, MAREK	MFS VALUE B	250.00	3.50	0.00	3.50	90.0	3.15
02/08/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	3.38	0.05	0.00	0.05	90.0	0.05
02/08/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	5.63	0.08	0.00	0.08	90.0	0.07
02/15/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
02/21/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	1.51	0.00	1.51	90.0	1.36
<b>* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *</b>			<b>\$4,894.00</b>	<b>\$62.86</b>	<b>\$0.00</b>	<b>\$62.86</b>		<b>\$56.60</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$4,894.00</b>	<b>\$62.86</b>	<b>\$0.00</b>	<b>\$62.86</b>		<b>\$56.60</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK HUBER #89 </u></b>								
01/30/2017	COTE JT TEN, CAROL	TOTAL INCOME & REAL ESTATE FUND A	0.00	13.59	0.00	13.59	0.0	12.23
* MARK HUBER SUBTOTAL *			\$0.00	\$13.59	\$0.00	\$13.59		\$12.23
<b><u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u></b>								
01/30/2017	CIPPARRONE, NANCY	TOTAL INCOME & REAL ESTATE FUND A	0.00	4.22	0.00	4.22	0.0	3.80
01/30/2017	NARDINI TRUST, RONALD	TOTAL INCOME & REAL ESTATE FUND A	0.00	5.14	0.00	5.14	0.0	4.63
01/30/2017	NARDINI TRUST, BARBARA	TOTAL INCOME & REAL ESTATE FUND A	0.00	5.14	0.00	5.14	0.0	4.63
01/30/2017	NARDINI, BARBARA	TOTAL INCOME & REAL ESTATE FUND A	0.00	19.40	0.00	19.40	0.0	17.46
01/30/2017	NARDINI, RONALD	TOTAL INCOME & REAL ESTATE FUND A	0.00	20.77	0.00	20.77	0.0	18.69
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$0.00	\$54.67	\$0.00	\$54.67		\$49.21
<b><u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u></b>								
02/14/2017	MUELLER, KENNETH	ADVANTAGE	0.00	62.83	0.00	62.83	0.0	56.55
02/06/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
02/06/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
02/06/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
02/06/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
02/06/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$225.00	\$68.34	\$0.00	\$68.34		\$61.50
<b><u> BROKER: HALINA WIKAR #171 </u></b>								
01/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.50	0.00	8.50	55.0	2.98
01/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.50	0.00	8.50	55.0	2.98
01/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.32	0.00	6.32	55.0	2.21
01/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.32	0.00	6.32	55.0	2.21
02/13/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
02/21/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
02/08/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
02/15/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
02/21/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
02/13/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
02/21/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	55.0	0.70
02/21/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: HALINA WIKAR #171</u></b>								
02/21/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
02/21/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
02/21/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
02/21/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
02/21/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
* HALINA WIKAR SUBTOTAL *			\$835.00	\$39.49	\$0.00	\$39.49		\$13.90
<b><u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u></b>								
02/06/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
02/06/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
01/31/2017	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	42.80	0.00	42.80	0.0	38.52
01/31/2017	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	0.0	19.26
01/31/2017	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.12	0.00	17.12	0.0	15.41
01/18/2017	ROVERUD, JOAN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
02/16/2017	BOHN, LISA	GPB AUTOMOTIVE PORTFOLIO LP	60,327.86	4,222.95	0.00	4,222.95	0.0	3,800.66
01/18/2017	HOEY, BENJAMIN	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
01/31/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	24.16	0.00	24.16	0.0	21.74
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$160,402.86	\$11,329.93	\$0.00	\$11,329.93		\$10,196.94
*** OVERRIDE TRANSACTIONS TOTAL ***			\$161,462.86	\$11,506.02	\$0.00	\$11,506.02		\$10,333.78

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,971.34
Split Transactions	\$56.60
Override Transactions	\$10,333.78
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,361.72
Year-to-date Gross	\$32,496.85
Year-to-date Earnings	\$52,027.88