



All Companies

02/14/2018
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COMMISSION STATEMENT February 15, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	137.69	137.69	0.00	137.69	90.0	123.92
01/31/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	6,100.16	99.96	0.00	99.96	90.0	89.96
01/22/2018	000000001127897 Kelson, Melissa I.	FRANKLIN MUTUAL FINCL SERVICES FD C B	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	000000001254933 Heiberger, John R.	FRK SM CAP GRWTH FND II - CL A	B	45.00	1.69	0.00	1.69	90.0	1.52
01/22/2018	000000001632482 Johnsen, Lacey	FRK SM CAP GRWTH FND II - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
01/22/2018	000000006924512 Johnsen, Lacey	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
01/22/2018	000000006951519 Parks, Judy	MUTUAL DISC FND - CL A	B	200.00	5.60	0.00	5.60	90.0	5.04
02/01/2018	000000007332416 Heiberger, John R.	MUTUAL DISC FND - CL A	B	34.00	1.28	0.00	1.28	90.0	1.15
01/22/2018	000000007699440 Fossen, Naomi	FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
02/01/2018	000000008260349 Heiberger, John R.	TEMPLETON DEVELOPING MARKETS TRUST B	B	71.00	2.66	0.00	2.66	90.0	2.39
01/22/2018	000000008776484 Fossen, Naomi	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
02/01/2018	000013912608568 Heiberger, John	FRANKLIN SPECIAL EQUITY INCOME FD C B	B	129.00	4.84	0.00	4.84	90.0	4.36
01/22/2018	000015813753367 Johnsen, Lacey	FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18
02/01/2018	000015813926201 Heiberger, John R.	FRANKLIN RISING DIVIDEND FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
01/22/2018	000019818141653 Fossen, Naomi	FRANKLIN SMALL CAP GROWTH FD CL I	B	114.00	5.70	0.00	5.70	90.0	5.13
02/01/2018	000028212458892 Heiberger, John	FRANKLIN VALUE FD CL 1	B	129.00	4.84	0.00	4.84	90.0	4.36
01/22/2018	000028212528031 Fossen, Naomi	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
01/22/2018	000028212612217 Johnsen, Lacey	FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13
02/01/2018	000060177413865 Heiberger,	FRANKLIN GROWTH FD CL I	B	129.00	4.84	0.00	4.84	90.0	4.36

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
JOHN									
01/22/2018	000060177612639 KELSON, MELISSA I.	FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	000080192865093 HEIBERGER, JOHN R.	FRANKLIN DYNATECH FD CL I	B	34.00	1.28	0.00	1.28	90.0	1.15
01/25/2018	00035709393 DYER, ROBBIE	IVY BALANCED A	B	100.00	5.00	0.00	5.00	90.0	4.50
01/22/2018	00035718011 PATTEN, PHILIP	IVY LARGE CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
01/22/2018	00035718011 PATTEN, PHILIP	IVY MID CAP GROWTH A	B	152.76	7.64	0.00	7.64	90.0	6.88
01/22/2018	00035718011 PATTEN, PHILIP	IVY SCIENCE & TECH A	B	152.76	7.64	0.00	7.64	90.0	6.88
01/16/2018	00035738763 NUTTBROCK, MEGAN PUTZIER	IVY ASSET STRATEGY A	B	834.69	41.73	0.00	41.73	90.0	37.56
01/16/2018	00035820046 HERMAN, BARRY	IVY DIVIDEND OPP A	B	500.00	25.00	0.00	25.00	90.0	22.50
01/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY ASSET STRATEGY A	B	110.00	4.95	0.00	4.95	90.0	4.46
01/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY INTERNATIONAL CORE EQUITY A	B	110.00	4.95	0.00	4.95	90.0	4.46
01/16/2018	00035837032 WEISCHEDEL, VANESSA BRANDLI	IVY VALUE A	B	110.00	4.95	0.00	4.95	90.0	4.46
01/25/2018	00035888441 MITCHELL, RANI/BRIAN	IVY BALANCED C	B	600.00	6.00	0.00	6.00	90.0	5.40
01/16/2018	00035932391 KILEY, ELIZABETH	IVY LARGE CAP GROWTH A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/22/2018	00035993369 GESINGER, AUSTIN	IVY ASSET STRATEGY A	B	100.00	5.00	0.00	5.00	90.0	4.50
01/22/2018	00035993369 GESINGER, AUSTIN	IVY SCIENCE & TECH A	B	100.00	5.00	0.00	5.00	90.0	4.50
01/29/2018	00036080289 DUNN, ANGELA	IVY CORE EQUITY A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00036173189 TRANS MIN ACT NM, UNIF	IVY CORE EQUITY A	B	162.08	4.54	0.00	4.54	90.0	4.09
01/16/2018	00036182725 KILEY, EMILY/CHANDRA	IVY VALUE A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	00036277542 STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	4.68	0.00	4.68	90.0	4.21
01/16/2018	00036277546 STELLWAGEN, JAY T.	IVY DIVIDEND OPP A	B	167.00	4.68	0.00	4.68	90.0	4.21
01/16/2018	00036338714 JOHNSON, JACOB F.	IVY EUROPEAN OPPORTUNITIES A	B	225.49	11.27	0.00	11.27	90.0	10.14
01/16/2018	00036338714 JOHNSON, JACOB F.	IVY SMALL CAP VALUE A	B	229.00	11.45	0.00	11.45	90.0	10.31
01/24/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		155.00	4.26	0.00	4.26	90.0	3.83
01/24/2018	00067038919 MINOR, BRUCE	AMERICAN FUNDS INVESTMENT COMP OF A B		93.00	2.56	0.00	2.56	90.0	2.30
02/01/2018	00073331273 LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0	0.75
02/01/2018	00073331273 LEIVESTAD, DALE L.	CAPITAL WORLD GROWTH AND INCOME FUN B		82.50	0.83	0.00	0.83	90.0	0.75
02/01/2018	00073331273 LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0	0.77
02/01/2018	00073331273 LEIVESTAD, DALE L.	THE GROWTH FUND OF AMERICA-C	B	85.00	0.85	0.00	0.85	90.0	0.77
02/01/2018	00073331273 LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
02/01/2018	00073331273 LEIVESTAD, DALE L.	WASHINGTON MUTUAL INVESTORS FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	00073968645 BECKER, RYAN	AMERICAN FUNDS BALANCED FD	B	100.00	3.75	0.00	3.75	90.0	3.38
01/22/2018	00073988659 KELLER, JANELLE	AMERICAN FUNDS INVESTMENT COMP OF A B		75.00	2.06	0.00	2.06	90.0	1.85
	FLOTTME								
01/22/2018	00073988659 KELLER, JANELLE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.75	0.00	2.75	90.0	2.48
	FLOTTME								
01/22/2018	00073988659 KELLER, JANELLE	AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.06	0.00	2.06	90.0	1.85
	FLOTTME								
01/22/2018	00073990739 KELLER, JANELLE	AMERICAN FUNDS BALANCED FD	B	250.00	6.88	0.00	6.88	90.0	6.19
	FLOTTME								
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS AMCAP FD	B	75.00	1.50	0.00	1.50	90.0	1.35
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	60.00	1.20	0.00	1.20	90.0	1.08
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS BD FD OF AMER FD	B	336.00	6.72	0.00	6.72	90.0	6.05
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES B		45.00	0.90	0.00	0.90	90.0	0.81
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS FUNDAMENTAL INVES B		288.00	5.76	0.00	5.76	90.0	5.18
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B		144.00	2.88	0.00	2.88	90.0	2.59
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B		30.00	0.60	0.00	0.60	90.0	0.54
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS INTERM BD FD OF AMER B		144.00	2.88	0.00	2.88	90.0	2.59
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	45.00	0.90	0.00	0.90	90.0	0.81
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS NEW PERSPECTIVE FD	B	144.00	2.88	0.00	2.88	90.0	2.59
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	45.00	0.90	0.00	0.90	90.0	0.81
02/06/2018	00074802215 DIAMOND, KENNETH	AMERICAN FUNDS SMALL CAP WORLD FD	B	144.00	2.88	0.00	2.88	90.0	2.59
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	60.21	1.20	0.00	1.20	90.0	1.08
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS AMCAP FD	B	60.21	1.20	0.00	1.20	90.0	1.08
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	33.45	0.67	0.00	0.67	90.0	0.60
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS BALANCED FD	B	33.45	0.67	0.00	0.67	90.0	0.60
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER B		20.07	0.40	0.00	0.40	90.0	0.36
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	AMERICAN FUNDS INTERM BD FD OF AMER B		20.07	0.40	0.00	0.40	90.0	0.36
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	20.07	0.40	0.00	0.40	90.0	0.36
02/06/2018	00074802242 REGAN-HAMILTON, JENNIFER	NEW WORLD FUND-A	B	20.07	0.40	0.00	0.40	90.0	0.36
02/05/2018	00075013619 BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
02/05/2018	00075013619 BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD B		76.25	2.10	0.00	2.10	90.0	1.89
02/05/2018	00075013619 BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/06/2018	00075529111	AUSTIN, MARGARET	AMERICAN FUNDS CAPITAL WORLD GROWT B	3,349.12	66.98	0.00	66.98	90.0	60.28
02/05/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL INVES B	76.25	2.10	0.00	2.10	90.0	1.89
02/05/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI B	76.25	2.10	0.00	2.10	90.0	1.89
02/05/2018	00081536505	BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD B	76.25	2.10	0.00	2.10	90.0	1.89
02/05/2018	00081782572	LEWIS, BRENDA R.	AMERICAN FUNDS CAPITAL WORLD GROWT B	150.00	7.50	0.00	7.50	90.0	6.75
01/31/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS CAPITAL WORLD GROWT B	30.00	1.50	0.00	1.50	90.0	1.35
01/31/2018	00081964721	JOHNSON, DARIN	AMERICAN FUNDS WA MUTUAL INVES B	20.00	1.00	0.00	1.00	90.0	0.90
01/22/2018	00082638926	YAMASHITA, MARK/EMILY	AMERICAN FUNDS GROWTH FUND OF AMERI B	150.00	6.38	0.00	6.38	90.0	5.74
02/01/2018	00083183068	BECKER, GLYNIS	AMERICAN FUNDS BALANCED FD B	100.00	2.75	0.00	2.75	90.0	2.48
02/01/2018	00083271700	STEVENSON, SIERRA	AMERICAN FUNDS GROWTH FUND OF AMERI B	200.00	10.00	0.00	10.00	90.0	9.00
02/08/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS BALANCED FD B	50.00	1.00	0.00	1.00	90.0	0.90
02/08/2018	00084627739	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS AMCAP FD B	136.00	2.72	0.00	2.72	90.0	2.45
02/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL INCOME BUILD B	135.00	2.70	0.00	2.70	90.0	2.43
02/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS CAPITAL WORLD GROWT B	135.00	2.70	0.00	2.70	90.0	2.43
02/05/2018	00084800513	GESINGER, DEEDRA	AMERICAN FUNDS EURO PACIFIC GROWT B	67.50	1.35	0.00	1.35	90.0	1.22
02/05/2018	00084800513	GESINGER, DEEDRA	NEW WORLD FUND-A B	67.50	1.35	0.00	1.35	90.0	1.22
02/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS AMCAP FD B	38.40	0.77	0.00	0.77	90.0	0.69
02/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS AMCAP FD B	412.00	8.24	0.00	8.24	90.0	7.42
02/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD B	19.20	0.38	0.00	0.38	90.0	0.34
02/06/2018	00084818800	DIAMOND, KARI	AMERICAN FUNDS BD FD OF AMER FD B	206.00	4.12	0.00	4.12	90.0	3.71
02/06/2018	00084818800	DIAMOND, KARI	NEW WORLD FUND-A B	38.40	0.77	0.00	0.77	90.0	0.69
02/06/2018	00084818800	DIAMOND, KARI	NEW WORLD FUND-A B	412.00	8.24	0.00	8.24	90.0	7.42
02/01/2018	00085191838	WILEN, BRETT	AMERICAN FUNDS AMCAP FD B	50.00	2.13	0.00	2.13	90.0	1.92
02/01/2018	00085192010	WILEN, DEBBIE L.	AMERICAN FUNDS BALANCED FD B	50.00	2.13	0.00	2.13	90.0	1.92
02/05/2018	00085231984	HOLLAND, GREGG	AMERICAN FUNDS AMCAP FD B	50.00	1.88	0.00	1.88	90.0	1.69
02/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS BALANCED FD B	67.00	2.51	0.00	2.51	90.0	2.26
02/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS EURO PACIFIC GROWT B	66.00	2.48	0.00	2.48	90.0	2.23
02/05/2018	00085232020	HOLLAND, RANA	AMERICAN FUNDS INCOME FD OF AMERI B	67.00	2.51	0.00	2.51	90.0	2.26
01/25/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD B	63.12	2.37	0.00	2.37	90.0	2.13
01/26/2018	00085493543	BARBER, PEGGY	NEW PERSPECTIVE FUND-529A B	50.00	1.88	0.00	1.88	90.0	1.69
01/26/2018	00085493586	BARBER, PEGGY	NEW PERSPECTIVE FUND-529A B	50.00	1.88	0.00	1.88	90.0	1.69
01/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS AMCAP FD B	100.00	2.00	0.00	2.00	90.0	1.80
01/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS CAPITAL WORLD GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
01/25/2018	00085574413	MAKOWESKI, MARCIA	AMERICAN FUNDS INTERM BD FD OF AMER B	241.00	4.82	0.00	4.82	90.0	4.34
01/25/2018	00085574413	MAKOWESKI, MARCIA	GLOBAL BALANCED FUND-A B	100.00	2.00	0.00	2.00	90.0	1.80
01/22/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS AMERICAN MUTUAL FD B	135.25	2.71	0.00	2.71	90.0	2.44
01/22/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS BD FD OF AMER FD B	135.25	2.71	0.00	2.71	90.0	2.44
01/22/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS INCOME SER US GOVT B	135.25	2.71	0.00	2.71	90.0	2.44

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018	00085574568	MAKOWESKI, PETE							
		GLOBAL BALANCED FUND-A	B	135.25	2.71	0.00	2.71	90.0	2.44
02/05/2018	00085599661	UNTIL AGE 18,							
		AMERICAN FUNDS AMCAP FD	B	2,200.00	60.50	0.00	60.50	90.0	54.45
		SD/UTMA							
02/05/2018	00085612530	UNTIL AGE 18,							
		AMERICAN FUNDS FUNDAMENTAL	INVES B	1,700.00	46.75	0.00	46.75	90.0	42.08
		SD/UTMA							
02/01/2018	00085889464	TRIBBY, TYLER M.							
		THE GROWTH FUND OF AMERICA-C	B	2,000.00	20.00	0.00	20.00	90.0	18.00
01/22/2018	00085956994	MASSIE, CLAY							
		AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.39	2.86	0.00	2.86	90.0	2.57
02/05/2018	00085956994	MASSIE, CLAY							
		AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.39	2.86	0.00	2.86	90.0	2.57
01/22/2018	00085956994	MASSIE, CLAY							
		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	76.39	2.86	0.00	2.86	90.0	2.57
02/05/2018	00085956994	MASSIE, CLAY							
		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	76.39	2.86	0.00	2.86	90.0	2.57
01/22/2018	00085956994	MASSIE, CLAY							
		AMERICAN FUNDS GROWTH FUND OF AMERI B	B	76.39	2.86	0.00	2.86	90.0	2.57
02/05/2018	00085956994	MASSIE, CLAY							
		AMERICAN FUNDS GROWTH FUND OF AMERI B	B	76.39	2.86	0.00	2.86	90.0	2.57
02/06/2018	00086593760	DARROW, LINETTE							
		AMCAP FUND-C	B	126.15	1.26	0.00	1.26	90.0	1.13
02/06/2018	00086593760	DARROW, LINETTE							
		AMCAP FUND-C	B	126.15	1.26	0.00	1.26	90.0	1.13
01/22/2018	00086689358	YAMASHITA, SUZANNE							
		AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
02/07/2018	00086734816	JACOBS, COREY							
		AMERICAN MUTUAL FUND-C	B	83.50	0.84	0.00	0.84	90.0	0.76
02/07/2018	00086734816	JACOBS, COREY							
		CAPITAL INCOME BUILDER-C	B	83.50	0.84	0.00	0.84	90.0	0.76
02/07/2018	00086734849	JACOBS, CONNIE							
		AMERICAN FUNDS AMCAP FD	B	183.00	6.86	0.00	6.86	90.0	6.17
02/07/2018	00086734849	JACOBS, CONNIE							
		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	183.00	6.86	0.00	6.86	90.0	6.17
02/01/2018	00086832083	MARCOV, ERIK							
		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	1.38	0.00	1.38	90.0	1.24
02/01/2018	00086832083	MARCOV, ERIK							
		AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.38	0.00	1.38	90.0	1.24
01/22/2018	00086842890	BROWN, TAMI							
		AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/22/2018	00086944211	VAHRENKAMP, JAMES							
		AMERICAN FUNDS BALANCED FD	B	6,500.00	130.00	0.00	130.00	90.0	117.00
01/22/2018	00086944225	VAHRENKAMP, JANET							
		AMERICAN FUNDS AMCAP FD	B	3,250.00	65.00	0.00	65.00	90.0	58.50
01/22/2018	00086944225	VAHRENKAMP, JANET							
		AMERICAN FUNDS INCOME FD OF AMERI B	B	3,250.00	65.00	0.00	65.00	90.0	58.50
01/25/2018	00086957629	WILLIAMS, MELANIE							
		AMERICAN FUNDS AMCAP FD	B	31.78	1.19	0.00	1.19	90.0	1.07
02/01/2018	00087005799	LECY,							
		AMERICAN FUNDS FUNDAMENTAL	INVES B	200.00	4.00	0.00	4.00	90.0	3.60
		WILLA/DOUGLAS							
02/05/2018	00087056600	OUKROP, NANETTE							
		AMERICAN FUNDS AMCAP FD	B	55.00	1.51	0.00	1.51	90.0	1.36
02/05/2018	00087056600	OUKROP, NANETTE							
		AMERICAN FUNDS AMCAP FD	B	170.00	4.68	0.00	4.68	90.0	4.21
02/05/2018	00087056600	OUKROP, NANETTE							
		AMERICAN FUNDS WA MUTUAL	INVES B	55.00	1.51	0.00	1.51	90.0	1.36
02/05/2018	00087056600	OUKROP, NANETTE							
		AMERICAN FUNDS WA MUTUAL	INVES B	170.00	4.68	0.00	4.68	90.0	4.21
02/05/2018	00087056638	OUKROP, SHELDON							
		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	85.00	2.34	0.00	2.34	90.0	2.11
02/05/2018	00087056638	OUKROP, SHELDON							
		AMERICAN FUNDS GROWTH FUND OF AMERI B	B	55.00	1.51	0.00	1.51	90.0	1.36
02/05/2018	00087056638	OUKROP, SHELDON							
		AMERICAN FUNDS GROWTH FUND OF AMERI B	B	85.00	2.34	0.00	2.34	90.0	2.11
02/05/2018	00087056638	OUKROP, SHELDON							
		AMERICAN FUNDS INCOME FD OF AMERI B	B	85.00	2.34	0.00	2.34	90.0	2.11
02/05/2018	00087056638	OUKROP, SHELDON							
		NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	90.0	1.36
02/05/2018	00087056638	OUKROP, SHELDON							
		NEW WORLD FUND-A	B	85.00	2.34	0.00	2.34	90.0	2.11
01/22/2018	00087062366	KRANTZ/SHOWALTER,							
		WASHINGTON MUTUAL INVESTORS FUND-52 B	B	50.00	2.50	0.00	2.50	90.0	2.25
		SANDRA L/KAMDEN							

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018	00087062378	SHOWALTER, DELANIE FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0	2.11
02/05/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS CAPITAL WORLD GROWT B	B	55.00	2.34	0.00	2.34	90.0	2.11
02/05/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS GROWTH FUND OF AMERI B	B	55.00	2.34	0.00	2.34	90.0	2.11
02/05/2018	00087108999	FLOTTMEYER, BRENDA AMERICAN FUNDS WA MUTUAL INVES B	B	55.00	2.34	0.00	2.34	90.0	2.11
01/25/2018	00087313331	HINMAN, KATHERINE GLOBAL BALANCED FUND-A	B	75.00	2.81	0.00	2.81	90.0	2.53
01/25/2018	00087313382	HINMAN, MICHAEL AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/01/2018	00087351491	BEITELSPACHER/AUTH AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
	PER, AMANDA								
02/01/2018	00087351561	BEITELSPACHER/AUTH AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
	PER, AMANDA								
01/29/2018	00087391906	WILSON, DONNELLE GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/29/2018	00087391906	WILSON, DONNELLE THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/24/2018	00087396195	DAHL, DAVID AMERICAN FUNDS FUNDAMENTAL INVES B	B	53.00	1.99	0.00	1.99	90.0	1.79
01/24/2018	00087396195	DAHL, DAVID AMERICAN FUNDS GROWTH FUND OF AMERI B	B	53.00	1.99	0.00	1.99	90.0	1.79
01/24/2018	00087396195	DAHL, DAVID AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
01/24/2018	00087396195	DAHL, DAVID NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
01/24/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
01/24/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS CAPITAL WORLD GROWT B	B	65.00	2.44	0.00	2.44	90.0	2.20
01/24/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS SMALL CAP WORLD FD B	B	64.00	2.40	0.00	2.40	90.0	2.16
01/24/2018	00087396210	DAHL, AMANDA AMERICAN FUNDS WA MUTUAL INVES B	B	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2018	00087429551	BEITELSPACHER, AMERICAN FUNDS NEW PERSPECTIVE FD B	B	100.00	2.75	0.00	2.75	90.0	2.48
	AMANDA								
02/01/2018	00087537035	JUENEMAN, CORY AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
02/06/2018	00087574970	BANE, REBEKAH AMERICAN FUNDS FUNDAMENTAL INVES B	B	54.00	1.49	0.00	1.49	90.0	1.34
02/06/2018	00087574970	BANE, REBEKAH AMERICAN FUNDS FUNDAMENTAL INVES B	B	432.00	11.88	0.00	11.88	90.0	10.69
02/06/2018	00087574970	BANE, REBEKAH AMERICAN FUNDS GROWTH FUND OF AMERI B	B	54.00	1.49	0.00	1.49	90.0	1.34
02/06/2018	00087578524	BANE, DANIEL AMERICAN FUNDS NEW PERSPECTIVE FD B	B	54.00	1.49	0.00	1.49	90.0	1.34
02/06/2018	00087578524	BANE, DANIEL AMERICAN FUNDS SMALL CAP WORLD FD B	B	54.00	1.49	0.00	1.49	90.0	1.34
02/06/2018	00087578524	BANE, DANIEL AMERICAN FUNDS SMALL CAP WORLD FD B	B	432.00	11.88	0.00	11.88	90.0	10.69
01/30/2018	00087677954	RULAND, RICHARD AMERICAN FUNDS 2035 TARGET DATE-A B	B	350.13	17.51	0.00	17.51	90.0	15.76
01/30/2018	00087677954	RULAND, RICHARD AMERICAN FUNDS 2035 TARGET DATE-A B	B	350.13	17.51	0.00	17.51	90.0	15.76
01/26/2018	00087753902	BARBER, PEGGY THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
02/01/2018	00087785552	LECY/AUTH PER, AMERICAN FUNDS AMERICAN MUTUAL FD B	B	100.00	2.00	0.00	2.00	90.0	1.80
	DOUG								
02/01/2018	00087785552	LECY/AUTH PER, AMERICAN FUNDS GROWTH FUND OF AMERI B	B	100.00	2.00	0.00	2.00	90.0	1.80
	DOUG								
01/23/2018	00087863239	RABELL, HANSEL AMERICAN FUNDS AMCAP FD	B	114.58	4.30	0.00	4.30	90.0	3.87
01/23/2018	00087863239	RABELL, HANSEL AMERICAN FUNDS INVESTMENT COMP OF A B	B	114.58	4.30	0.00	4.30	90.0	3.87
01/23/2018	00087863239	RABELL, HANSEL AMERICAN FUNDS NEW PERSPECTIVE FD B	B	114.58	4.30	0.00	4.30	90.0	3.87

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/23/2018	00087863239 RABELL, HANSEL	NEW WORLD FUND-A	B	114.58	4.30	0.00	4.30	90.0	3.87
01/23/2018	00087863278 RABELL/AUTH PER, JUANITA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	83.33	3.12	0.00	3.12	90.0	2.81
01/23/2018	00087863278 RABELL/AUTH PER, JUANITA	AMERICAN FUNDS WA MUTUAL	INVES B	83.33	3.12	0.00	3.12	90.0	2.81
01/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.58	4.30	0.00	4.30	90.0	3.87
01/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.58	4.30	0.00	4.30	90.0	3.87
01/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	4.30	0.00	4.30	90.0	3.87
01/23/2018	00087863341 RABELL, JUANITA	AMERICAN FUNDS INCOME FD OF AMERI	B	114.58	4.30	0.00	4.30	90.0	3.87
02/05/2018	00087898062 LAMB, LEANN/COLE	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
02/05/2018	00087898079 LAMB, LEANN/LUKE	THE INCOME FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
02/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	00087985987 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.00	0.00	1.00	90.0	0.90
02/02/2018	00087986056 MCGINLEY/AUTH PER, JOHN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.00	0.00	1.00	90.0	0.90
01/25/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30	90.0	0.27
01/25/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.52	0.37	0.00	0.37	90.0	0.33
01/25/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.51	0.37	0.00	0.37	90.0	0.33
01/22/2018	00088419853 SCHMIDT, ROSS L.	AMERICAN FUNDS BALANCED FD	B	200.00	10.00	0.00	10.00	90.0	9.00
02/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC GROWT	B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF AMERI	B	57.00	2.14	0.00	2.14	90.0	1.93
02/01/2018	00088586646 O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
01/29/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
01/29/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
01/29/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF AMERI	B	62.50	1.25	0.00	1.25	90.0	1.13
01/29/2018	00088586746 MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
02/02/2018	00088586774 MATTHEW, KAITLYNN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	1,000.00	20.00	0.00	20.00	90.0	18.00
02/05/2018	00088586870 SCHAUER, JACKSON/AMIE	AMERICAN FUNDS WA MUTUAL	INVES B	2,200.00	60.50	0.00	60.50	90.0	54.45
02/01/2018	00088631278 FLOTTMEYER, SUSAN	AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	5.00	0.00	5.00	90.0	4.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
J.										
02/05/2018	00088631455	DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	00088631490	DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	00088631520	DYKSTRA, TYLER A.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	00088631530	DYKSTRA, AYREN K.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/01/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	00088677711	CAPPS, JANE	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
02/05/2018	00088677720	CAPPS, DAVID D.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.38	0.00	1.38	90.0	1.24
01/26/2018	00088758453	KOOL, HEIDI J.	AMERICAN FUNDS BALANCED FD	B	2,000.00	85.00	0.00	85.00	90.0	76.50
02/05/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	71.96	3.60	0.00	3.60	90.0	3.24
02/05/2018	00088883631	LEWIS, MICAH	AMERICAN FUNDS FUNDAMENTAL	INVES B	71.96	3.60	0.00	3.60	90.0	3.24
01/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
01/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
01/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
01/31/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
02/05/2018	00089007318	WUDEL, JOSHUA J.	AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/05/2018	00089007351	WUDEL, KATELYN J.	WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/29/2018	00089032683	SAMUELSON, JOSEPH	AMCAP FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
P.										
01/29/2018	00089032683	SAMUELSON, JOSEPH	CAPITAL WORLD GROWTH AND INCOME FUN	B	125.00	1.25	0.00	1.25	90.0	1.13
P.										
01/29/2018	00089032683	SAMUELSON, JOSEPH	NEW PERSPECTIVE FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
P.										
01/29/2018	00089032683	SAMUELSON, JOSEPH	THE INCOME FUND OF AMERICA-C	B	125.00	1.25	0.00	1.25	90.0	1.13
P.										
01/25/2018	00089145111	BENGIS, RONALD A.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	00089145111	BENGIS, RONALD A.	INTERNATIONAL GROWTH AND INCOME A	B	100.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	00089179098	OLSON, TREVIS A.	AMERICAN BALANCED FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
01/25/2018	00089179098	OLSON, TREVIS A.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
02/01/2018	00089187466	BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.13	0.00	2.13	90.0	1.92
02/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
02/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
02/02/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
02/02/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
02/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
02/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	4.25	0.00	4.25	90.0	3.83
02/05/2018	00089408180	HAAN, LUCAS J.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	4.25	0.00	4.25	90.0	3.83

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/05/2018	00089408180	HAAN, LUCAS J. AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	4.25	0.00	4.25	90.0	3.83
02/05/2018	00089408276	HAAN, LUCAS J. AMERICAN FUNDS WA MUTUAL	INVES B	167.00	7.10	0.00	7.10	90.0	6.39
01/22/2018	00089423246	YAMASHITA, MARK M. AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.13	0.00	2.13	90.0	1.92
01/25/2018	00089436102	BOHLMANN, JACOB B. AMERICAN FUNDS BALANCED FD	B	55.23	2.07	0.00	2.07	90.0	1.86
01/22/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
01/29/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
02/07/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
	K.								
01/29/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS AMCAP FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
01/29/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS CAPITAL INCOME BUILD B	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
01/29/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS CAPITAL WORLD GROWT B	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
01/29/2018	00089449562	THOURON, JEFFREY AMERICAN FUNDS NEW PERSPECTIVE FD	B	104.25	5.21	0.00	5.21	90.0	4.69
	J.								
02/08/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS SMALL CAP WORLD FD	B	41.50	2.08	0.00	2.08	90.0	1.87
02/08/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS SMALL CAP WORLD FD	B	41.50	2.08	0.00	2.08	90.0	1.87
02/08/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS WA MUTUAL	INVES B	41.50	2.08	0.00	2.08	90.0	1.87
02/08/2018	00089449602	THOURON, MARIA E. AMERICAN FUNDS WA MUTUAL	INVES B	41.50	2.08	0.00	2.08	90.0	1.87
02/08/2018	00089449610	THOURON, JEFFREY AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
	J.								
02/08/2018	00089449610	THOURON, JEFFREY AMERICAN FUNDS FUNDAMENTAL	INVES B	62.55	3.13	0.00	3.13	90.0	2.82
	J.								
02/08/2018	00089449610	THOURON, JEFFREY INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
	J.								
02/08/2018	00089449610	THOURON, JEFFREY INTERNATIONAL GROWTH AND INCOME A	B	62.55	3.13	0.00	3.13	90.0	2.82
	J.								
01/22/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
01/22/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	1.88	0.00	1.88	90.0	1.69
01/26/2018	00089560352	BLAIN, SABRINA K. AMERICAN FUNDS FUNDAMENTAL	INVES B	75.00	3.75	0.00	3.75	90.0	3.38
01/26/2018	00089560352	BLAIN, SABRINA K. AMERICAN FUNDS GROWTH FUND OF AMERI B	B	75.00	3.75	0.00	3.75	90.0	3.38
01/26/2018	00089560473	BLAIN, AUSTIN W. AMERICAN FUNDS AMCAP FD	B	75.00	3.75	0.00	3.75	90.0	3.38
01/26/2018	00089560473	BLAIN, AUSTIN W. AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
01/26/2018	00089641204	KOOL, HEIDI J. AMERICAN FUNDS WA MUTUAL	INVES B	2,000.00	85.00	0.00	85.00	90.0	76.50
02/01/2018	00089822720	SIGMAN, KARINA AMERICAN FUNDS AMCAP FD	B	125.00	6.25	0.00	6.25	90.0	5.63
02/01/2018	00089822720	SIGMAN, KARINA AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	6.25	0.00	6.25	90.0	5.63
02/01/2018	00089822751	SIGMAN, JOSHUA AMERICAN FUNDS GROWTH FUND OF AMERI B	B	125.00	6.25	0.00	6.25	90.0	5.63

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/01/2018	00089822751	SIGMAN, JOSHUA	AMERICAN FUNDS WA MUTUAL	INVES B	125.00	6.25	0.00	6.25	90.0	5.63
02/05/2018	00089840115	ATKINS, KEVIN	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/05/2018	00089840115	ATKINS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/05/2018	00089896690	HAAN, MARY	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0	3.83
02/05/2018	00089896690	HAAN, MARY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25	90.0	3.83
02/05/2018	00089896690	HAAN, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	4.25	0.00	4.25	90.0	3.83
02/05/2018	00089896690	HAAN, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	100.00	4.25	0.00	4.25	90.0	3.83
01/22/2018	00089987457	LOEHR, TRUETT	THE GROWTH FUND OF AMERICA-C	B	175.74	1.76	0.00	1.76	90.0	1.58
01/22/2018	00089987511	LOEHR, DELYSIA	AMCAP FUND-C	B	135.00	1.35	0.00	1.35	90.0	1.22
02/05/2018	00927850848	SCHAUER, AMIE	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
02/05/2018	00927850850	MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	35.00	1.75	0.00	1.75	90.0	1.58
02/05/2018	00927850853	MG TRUST, MG	AMERICAN FUNDS AMCAP FD	B	28.75	0.79	0.00	0.79	90.0	0.71
02/05/2018	00930305469	SCHAUER, AMIE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	25.00	1.25	0.00	1.25	90.0	1.13
02/05/2018	00938506074	SCHAUER, AMIE	AMERICAN FUNDS INCOME FD OF AMERI	B	25.00	1.25	0.00	1.25	90.0	1.13
02/05/2018	00940999894	SCHAUER, AMIE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	25.00	0.69	0.00	0.69	90.0	0.62
02/05/2018	00950644891	MG TRUST, MG	AMERICAN FUNDS BALANCED FD	B	28.75	0.79	0.00	0.79	90.0	0.71
02/05/2018	00955344971	MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	35.00	1.75	0.00	1.75	90.0	1.58
02/05/2018	00955361781	MG TRUST, MG	AMERICAN FUNDS NEW ECONOMY FD	B	28.75	0.79	0.00	0.79	90.0	0.71
02/05/2018	00978061831	MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD	GROWT B	30.00	1.50	0.00	1.50	90.0	1.35
02/05/2018	00978061834	MG TRUST, MG	AMERICAN FUNDS CAPITAL WORLD	GROWT B	28.75	0.79	0.00	0.79	90.0	0.71
01/22/2018	01000170691	WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS	B	37.50	0.38	0.00	0.38	90.0	0.34
01/22/2018	01000170691	WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL	B	37.50	0.38	0.00	0.38	90.0	0.34
01/22/2018	01000170691	WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
01/22/2018	01000170691	WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
01/18/2018	0100094370	ROLAND, DAVID R.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	271.00	5.42	0.00	5.42	90.0	4.88
02/01/2018	01001178157	DOBBS, ROBERT	AIM 81 GLOBAL AGGRESSIVE GROWTH FD	B	112.50	3.38	0.00	3.38	90.0	3.04
02/01/2018	01001178157	DOBBS, ROBERT	AIM ENERGY FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0	3.04
02/01/2018	01001178157	DOBBS, ROBERT	IVK AMERICAN FRANCHISE FUND - CLASS	B	112.50	3.38	0.00	3.38	90.0	3.04
01/25/2018	01001213096	GRIENCEWIC, LANA	IVK AMERICAN FRANCHISE FUND - CLASS	B	458.00	13.74	0.00	13.74	90.0	12.37
02/01/2018	01001328204	DOBBS, ROBERT T.	AIM UTILITIES FUND - CLASS A	B	112.50	3.38	0.00	3.38	90.0	3.04
01/29/2018	01001349524	WOOD, REMINGTON W.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	50.00	2.50	0.00	2.50	90.0	2.25
01/29/2018	01001349524	WOOD, REMINGTON W.	AIM EUROPEAN DEVELOPMENT FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/29/2018	01001349524	WOOD, REMINGTON W.	INVESCO ENDEAVOR FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/29/2018	01001349524	WOOD, REMINGTON W.	IVK SMALL CAP GROWTH FUND - CLASS A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/22/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM ASIAN GROWTH FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
01/22/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	115.00	5.75	0.00	5.75	90.0	5.18
01/22/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK MID CAP GROWTH FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018	01001362660 VAN ASMA, STEPHANIE R.	IVK SMALL CAP GROWTH FUND - CLASS A B		114.00	5.70	0.00	5.70	90.0	5.13
01/22/2018	01001362691 VAN ASMA, NICHOLAS AIM EUROPEAN DEVELOPMENT FD CL A A.		B	114.00	5.70	0.00	5.70	90.0	5.13
01/22/2018	01001362691 VAN ASMA, NICHOLAS AIM MID CAP GROWTH FD CL A A.		B	115.00	5.75	0.00	5.75	90.0	5.18
01/22/2018	01001362691 VAN ASMA, NICHOLAS AIM SMALL CAP EQUITY FUND - CLASS A B A.			114.00	5.70	0.00	5.70	90.0	5.13
01/22/2018	01001362691 VAN ASMA, NICHOLAS AIM UTILITIES FUND - CLASS A A.		B	115.00	5.75	0.00	5.75	90.0	5.18
01/29/2018	01001373221 HARRIS, ALAN/KATHLEEN	AIM ASIAN GROWTH FD CL C	B	75.00	0.75	0.00	0.75	90.0	0.68
01/29/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO DIVERSIFIED DIVIDEND FD -CL B		75.00	0.75	0.00	0.75	90.0	0.68
01/29/2018	01001373221 HARRIS, ALAN/KATHLEEN	INVESCO MULTI-ASSET INFLATION FUND- B		75.00	0.75	0.00	0.75	90.0	0.68
01/29/2018	01001373221 HARRIS, ALAN/KATHLEEN	IVK MID CAP GROWTH FUND - CLASS C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/01/2018	01001380933 VAN ASMA, STEVEN K.	AIM ASIAN GROWTH FD CL C	B	114.50	1.15	0.00	1.15	90.0	1.04
02/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO DIVERSIFIED DIVIDEND FD -CL B		114.50	1.15	0.00	1.15	90.0	1.04
02/01/2018	01001380933 VAN ASMA, STEVEN K.	INVESCO SMALL CAP DISCOVERY FUND-CL B		114.50	1.15	0.00	1.15	90.0	1.04
02/01/2018	01001380933 VAN ASMA, STEVEN K.	IVK AMERICAN VALUE FUND - CLASS C	B	114.50	1.15	0.00	1.15	90.0	1.04
01/22/2018	01001381289 VAN ASMA, NICHOLAS INVESCO S&P 500 INDEX FUND - CLASS B A.			250.00	2.50	0.00	2.50	90.0	2.25
01/22/2018	01001381289 VAN ASMA, NICHOLAS INVESCO S&P 500 INDEX FUND - CLASS B A.			250.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	01334056911 DUTTON, MARCIA A	AMERICAN FUNDS 2015 TARGET DATE-A	B	75.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV DYNAMIC STRATEGIES-CL	B	100.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADV STRATEGIC DIV & INCOME	B	100.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS EQUITY GRWTH FD	C B	100.00	3.75	0.00	3.75	90.0	3.38
01/25/2018	01457245916 PRINTZ, JUSTIN	FIDELITY ADVISORS TECHNOLOGY FD	CL B	100.00	3.75	0.00	3.75	90.0	3.38
01/22/2018	03809167046 WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	67.62	2.03	0.00	2.03	90.0	1.83
01/22/2018	03809167046 WRIGHT, SANDRA	AIM GLOBAL REAL ESTATE FUND - CLASS	B	67.62	0.68	0.00	0.68	90.0	0.61
01/22/2018	03809167046 WRIGHT, SANDRA	AIM UTILITIES FUND - CLASS C	B	67.62	0.68	0.00	0.68	90.0	0.61
01/22/2018	03809167046 WRIGHT, SANDRA	IVK AMERICAN FRANCHISE FUND - CLASS	B	67.62	0.68	0.00	0.68	90.0	0.61
02/01/2018	04000092124 CAMMACK, RYAN L.	THE GROWTH FUND OF AMERICA-529A	B	400.00	20.00	0.00	20.00	90.0	18.00

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/01/2018	04000092197	CAMMACK, RYAN L.	AMCAP FUND-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
01/22/2018	04000100624	BUREMAN, JANA	AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	3.75	0.00	3.75	90.0	3.38
02/01/2018	04000100852	O'BRIEN, RYAN A.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	166.00	8.30	0.00	8.30	90.0	7.47
02/05/2018	04000120125	SCHAEFFER, STACEY J.	THE GROWTH FUND OF AMERICA-529C	B	167.00	1.67	0.00	1.67	90.0	1.50
02/05/2018	04000128174	YOUNG-JOHNSON, TAMMY	AMERICAN FUNDS AMCAP FD	B	100.00	5.00	0.00	5.00	90.0	4.50
02/05/2018	04000135188	LOUNSBURY, JESSICA	AMERICAN FUNDS 2050 TARGET DATE-A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/05/2018	04000135188	LOUNSBURY, JESSICA	AMERICAN FUNDS 2050 TARGET DATE-A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/01/2018	04000135598	JESSEN, ALEX	AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	04000135598	JESSEN, ALEX	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/06/2018	04000155969	ANDERSON, RYAN	AMERICAN MUTUAL FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/06/2018	04000155969	ANDERSON, RYAN	NEW WORLD FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/06/2018	04000155969	ANDERSON, RYAN	THE GROWTH FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/06/2018	04000155969	ANDERSON, RYAN	THE INCOME FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
02/06/2018	04000180007	STOCKS, CYNTHIA A.	AMERICAN MUTUAL FUND-C	B	195.58	1.96	0.00	1.96	90.0	1.76
02/06/2018	04000180007	STOCKS, CYNTHIA A.	AMERICAN MUTUAL FUND-C	B	195.58	1.96	0.00	1.96	90.0	1.76
02/01/2018	04000182059	MARCOV, ERIK	AMERICAN FUNDS AMCAP FD	B	125.00	3.44	0.00	3.44	90.0	3.10
02/01/2018	04000182059	MARCOV, ERIK	AMERICAN FUNDS EURO PACIFIC GROWT	B	125.00	3.44	0.00	3.44	90.0	3.10
02/01/2018	04000182059	MARCOV, ERIK	AMERICAN FUNDS NEW PERSPECTIVE FD	B	125.00	3.44	0.00	3.44	90.0	3.10
02/01/2018	04000182059	MARCOV, ERIK	AMERICAN FUNDS WA MUTUAL INVES	B	125.00	3.44	0.00	3.44	90.0	3.10
01/23/2018	04000211973	SIMBURGER, SHANDA J.	FUNDAMENTAL INVESTORS-C	B	114.58	1.15	0.00	1.15	90.0	1.04
01/23/2018	04000211973	SIMBURGER, SHANDA J.	NEW PERSPECTIVE FUND-C	B	114.58	1.15	0.00	1.15	90.0	1.04
01/23/2018	04000211973	SIMBURGER, SHANDA J.	NEW WORLD FUND-C	B	114.58	1.15	0.00	1.15	90.0	1.04
01/23/2018	04000211973	SIMBURGER, SHANDA J.	THE GROWTH FUND OF AMERICA-C	B	114.58	1.15	0.00	1.15	90.0	1.04
01/22/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	51.11	2.56	0.00	2.56	90.0	2.30
01/22/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	21.38	1.07	0.00	1.07	90.0	0.96
01/24/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	4.02	0.20	0.00	0.20	90.0	0.18
01/29/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	13.03	0.65	0.00	0.65	90.0	0.59
02/01/2018	04000219045	VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	82.27	4.11	0.00	4.11	90.0	3.70
02/05/2018	04000219045	VEAZEY,	AMERICAN FUNDS GROWTH FUND OF AMERI	B	1.89	0.09	0.00	0.09	90.0	0.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	CHRISTOPHER								
02/07/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		568.74	28.44	0.00	28.44	90.0	25.60
02/08/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI B		8.01	0.40	0.00	0.40	90.0	0.36
01/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		51.10	2.56	0.00	2.56	90.0	2.30
01/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		21.38	1.07	0.00	1.07	90.0	0.96
01/24/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		4.01	0.20	0.00	0.20	90.0	0.18
01/29/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		13.03	0.65	0.00	0.65	90.0	0.59
02/01/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		82.28	4.11	0.00	4.11	90.0	3.70
02/05/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		1.88	0.09	0.00	0.09	90.0	0.08
02/07/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		568.74	28.44	0.00	28.44	90.0	25.60
02/08/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A B		8.01	0.40	0.00	0.40	90.0	0.36
01/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		52.65	2.63	0.00	2.63	90.0	2.37
01/22/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		22.03	1.10	0.00	1.10	90.0	0.99
01/24/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		4.13	0.21	0.00	0.21	90.0	0.19
01/29/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		13.43	0.67	0.00	0.67	90.0	0.60
02/01/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		84.77	4.24	0.00	4.24	90.0	3.82
02/05/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		1.94	0.10	0.00	0.10	90.0	0.09
02/07/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		585.97	29.30	0.00	29.30	90.0	26.37
02/08/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD B		8.25	0.41	0.00	0.41	90.0	0.37
01/29/2018	04000253117 SAMUELSON, JOSEPH P.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	04000380192 DEVRIES, BRIAN	AMERICAN FUNDS BALANCED FD	B	400.00	15.00	0.00	15.00	90.0	13.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/08/2018	04000407504 FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A	B	18.07	0.90	0.00	0.90	90.0	0.81
02/08/2018	04000407504 FISCHER, COURTNEY M.	AMERICAN FUNDS 2055 TARGET DATE-A	B	18.07	0.90	0.00	0.90	90.0	0.81
01/22/2018	04000486090 CRILE, JODI	GROWTH PORTFOLIO-A	B	458.00	22.90	0.00	22.90	90.0	20.61
01/22/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73	90.0	5.16
01/22/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	5.73	0.00	5.73	90.0	5.16
01/22/2018	04000489063 CRILE, JOHN M.	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	5.73	0.00	5.73	90.0	5.16
01/22/2018	04000489063 CRILE, JOHN M.	NEW WORLD FUND-A	B	114.50	5.73	0.00	5.73	90.0	5.16
02/01/2018	04000537184 HENRY, MAYLEN N.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2018	04000537195 HENRY, COHEN E.	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS AMCAP FD	B	114.50	5.73	0.00	5.73	90.0	5.16
02/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS AMERICAN MUTUAL FD	B	114.50	5.73	0.00	5.73	90.0	5.16
02/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.50	5.73	0.00	5.73	90.0	5.16
02/05/2018	04000557891 BAKKE, MARC	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.50	5.73	0.00	5.73	90.0	5.16
02/05/2018	04000558446 BAKKE, MARC	AMERICAN FUNDS GROWTH FUND OF AMERI	B	250.00	12.50	0.00	12.50	90.0	11.25
01/29/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS FUNDAMENTAL INVES	B	60.00	3.00	0.00	3.00	90.0	2.70
01/29/2018	04000590000 POND, CAMERON L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	60.00	3.00	0.00	3.00	90.0	2.70
02/08/2018	04000660392 BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.87	3.84	0.00	3.84	90.0	3.46
01/29/2018	04000669878 KAU, TIMOTHY	GLOBAL GROWTH PORTFOLIO-C	B	83.50	0.84	0.00	0.84	90.0	0.76
02/05/2018	04000744496 DYKSTRA, TYLER A.	AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
01/29/2018	04000763502 LILLY, ALANA E.	AMERICAN FUNDS AMCAP FD	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763502 LILLY, ALANA E.	AMERICAN FUNDS EURO PACIFIC GROWT	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763502 LILLY, ALANA E.	AMERICAN FUNDS FUNDAMENTAL INVES	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763502 LILLY, ALANA E.	AMERICAN FUNDS INCOME FD OF AMERI	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763505 LILLY, ARTHUR W.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763505 LILLY, ARTHUR W.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763505 LILLY, ARTHUR W.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	04000763505 LILLY, ARTHUR W.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	1,250.00	62.50	0.00	62.50	90.0	56.25
01/29/2018	08000006896 KENDALL, DOUGLAS	PRINCIPAL INVESTORS SAM BALANCED (A	B	120.79	5.74	0.00	5.74	90.0	5.17
01/18/2018	2155239124 ROLAND `, ANGELA B.	OPPENHEIMER GLOBAL INCOME & GROWTH	B	114.50	2.29	0.00	2.29	90.0	2.06
01/22/2018	2514869381 HURD, JASON D.	OPPENHEIMER QUEST SMALL CAP VALUE	B	50.00	2.38	0.00	2.38	90.0	2.14
01/18/2018	2514915922 ROLAND `, ANGELA B.	OPPENHEIMER QUEST SMALL CAP VALUE	B	114.50	2.29	0.00	2.29	90.0	2.06
01/18/2018	3208894842 ROLAND, DAVID R.	OPPENHEIMER CAPITAL APPREC FD CL A	B	114.50	2.29	0.00	2.29	90.0	2.06
01/16/2018	4206310777 RUTSTEIN, ANDREA	OPPENHEIMER MAIN STREET INCOME & GR	B	100.00	4.75	0.00	4.75	90.0	4.28
01/18/2018	5901188814 ROLAND, DAVID R.	REAL ESTATE FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
01/18/2018	7005978745 ROLAND `, ANGELA B.	OPPENHEIMER MAIN STREET INCOME & GR	B	114.50	2.29	0.00	2.29	90.0	2.06
01/22/2018	7007956861 HURD, JASON D.	OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.38	0.00	2.38	90.0	2.14
01/22/2018	7021921711 SMITH, ELLA J.	OPPENHEIMER MAIN STREET INCOME& GRO	B	300.00	3.00	0.00	3.00	90.0	2.70
01/22/2018	7210873719 HURD, JASON D.	SMALL- & MID-CAP GROWTH FUND A	B	50.00	2.38	0.00	2.38	90.0	2.14

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/18/2018	7210955361	ROLAND, DAVID R.	SMALL- & MID-CAP GROWTH FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
01/16/2018	7211015300	RUTSTEIN, ROBERT	SMALL- & MID-CAP GROWTH FUND A	B	100.00	4.75	0.00	4.75	90.0	4.28
01/18/2018	7315596613	ROLAND, DAVID R.	MAIN STREET OPPORTUNITY FUND A	B	271.00	5.42	0.00	5.42	90.0	4.88
01/18/2018	8259515891	ROLAND, DAVID R.	OPPENHEIMER INTL GROWTH FD CL A	B	271.00	5.42	0.00	5.42	90.0	4.88
01/22/2018	8259592627	HURD, JASON D.	OPPENHEIMER INTL GROWTH FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
01/18/2018	8353137442	ROLAND, DAVID R.	OPPENHEIMER QUEST CAPITAL	VALUE B	271.00	5.42	0.00	5.42	90.0	4.88
01/18/2018	8477487359	ROLAND `, ANGELA B.	MAIN STREET SMALL CAP FUND A	B	114.50	2.29	0.00	2.29	90.0	2.06
* MUTUAL FUNDS SUBTOTAL *					\$89,730.84	\$2,898.32	\$0.00	\$2,898.32		\$2,608.92
PRODUCT CATEGORY: VARIABLE ANNUITIES										
01/31/2018	TRAILS	-JACKSON NATIONAL	B	22.08	22.08	0.00	22.08	90.0	19.87	
02/02/2018	TRAILS	-JOHN HANCOCK	B	72.05	72.05	0.00	72.05	90.0	64.85	
12/28/2017	TRAILS	METROPOLITAN LIFE AND AFFILIATED CO	B	13.86	13.86	0.00	13.86	90.0	12.47	
* VARIABLE ANNUITIES SUBTOTAL *					\$107.99	\$107.99	\$0.00	\$107.99		\$97.19
PRODUCT CATEGORY: LIFE INSURANCE										
02/09/2018	STACY, KELLY A.	NATIONWIDE LIFE	B	125.00	3.75	0.00	3.75	90.0	3.38	
* LIFE INSURANCE SUBTOTAL *					\$125.00	\$3.75	\$0.00	\$3.75		\$3.38
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	1,959.06	0.00	1,959.06	90.0	1,763.26	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,959.06	\$0.00	\$1,959.06		\$1,763.26
*** BROKER TRANSACTIONS TOTAL ***					\$89,963.83	\$4,969.12	\$0.00	\$4,969.12		\$4,472.75
OVERRIDE TRANSACTIONS										
BROKER: KELSEY MCDANIEL #9660										
02/06/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS AMCAP FD	B	26.68	1.33	0.00	1.33		0.27
	J.									
02/06/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS AMCAP FD	B	26.68	1.33	0.00	1.33		0.27
	J.									
02/06/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	26.68	1.33	0.00	1.33		0.27
	J.									
02/06/2018	00089549050	COTTINGHAM, MYLIE	AMERICAN FUNDS WA MUTUAL	INVES B	26.68	1.33	0.00	1.33		0.27
	J.									
02/08/2018	04000660392	BENDER, NELL P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.87	3.84	0.00	3.84		0.77
01/23/2018	04000753760	POTTER, JAMIE M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	5,500.00	275.00	0.00	275.00		55.00
01/23/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00		1.00
	W.									
01/23/2018	04000753810	POTTER III, DONALD	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	5.00	0.00	5.00		1.00

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONS

BROKER: KELSEY MCDANIEL #9660

W.									
01/23/2018	04000753810	POTTER III, DONALD AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	5.00	0.00	5.00		1.00
W.									
01/23/2018	04000753810	POTTER III, DONALD NEW WORLD FUND-A	B	100.00	5.00	0.00	5.00		1.00
W.									
* KELSEY MCDANIEL SUBTOTAL *				\$6,083.59	\$304.16	\$0.00	\$304.16		\$60.85
*** OVERRIDE TRANSACTIONS TOTAL ***				\$6,083.59	\$304.16	\$0.00	\$304.16		\$60.85

ADJUSTMENTS

02/15/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
02/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,472.75
Split Transactions	\$0.00
Override Transactions	\$60.85
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$4,406.10
Year-to-date Earnings	\$76,131.98