



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
 Page No. 1

DELMAR (BO)-M143 MCKINNEY
 5 Red Bud Trail

BROKER #: M143
 BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	NAIZER, ROSANNE	AM FUNDS AMCAP 529A	0.00	2.35	0.00	2.35	90.0	2.12
03/01/2017	NAIZER, JOHNNIE	AM FUNDS AMCAP 529A	0.00	1.63	0.00	1.63	90.0	1.47
02/27/2017	ASPRA, TRISSA	AM FUNDS AMCAP A	821.88	34.93	22.00	12.93	90.0	11.64
03/01/2017	PRAESEL, ARTHUR	AM FUNDS AMCAP A	0.00	155.52	0.00	155.52	90.0	139.97
03/01/2017	NAIZER, ROSANNE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.42	0.00	1.42	90.0	1.28
03/01/2017	NAIZER, JOHNNIE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.08	0.00	1.08	90.0	0.97
03/01/2017	MCKINNON, BROOKE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.86	0.00	0.86	90.0	0.77
03/01/2017	OHE, EUGENIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.94	0.00	5.94	90.0	5.35
03/01/2017	PEKAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.01	0.00	22.01	90.0	19.81
03/01/2017	PRAESEL, ARTHUR	AM FUNDS CAPITAL INCOME BUILDER A	0.00	52.79	0.00	52.79	90.0	47.51
03/01/2017	NAIZER, ROSANNE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.57	0.00	1.57	90.0	1.41
03/01/2017	NAIZER, JOHNNIE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.07	0.00	0.07	90.0	0.06
02/28/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	105.00	2.10	0.00	2.10	90.0	1.89
02/28/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	270.82	5.42	0.00	5.42	90.0	4.88
02/28/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	8.25	0.17	0.00	0.17	90.0	0.15
02/28/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	253.96	5.08	0.00	5.08	90.0	4.57
03/01/2017	KORNEGAY, ALISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.07	0.00	13.07	90.0	11.76
03/01/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	11.88	0.00	11.88	90.0	10.69
03/01/2017	ENOCHS, LELAND	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	29.76	0.00	29.76	90.0	26.78
03/01/2017	OHE, EUGENIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.76	0.00	5.76	90.0	5.18
03/01/2017	ENOCHS, DONNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.29	0.00	3.29	90.0	2.96
03/01/2017	NAIZER, ROSANNE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.34	0.00	2.34	90.0	2.11
03/01/2017	NAIZER, JOHNNIE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.15	0.00	0.15	90.0	0.14
02/28/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	2.10	0.00	2.10	90.0	1.89
02/28/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	270.84	5.42	0.00	5.42	90.0	4.88
02/28/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.25	0.17	0.00	0.17	90.0	0.15

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	253.96	5.08	0.00	5.08	90.0	4.57
03/01/2017	ENOCHS, LELAND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	45.44	0.00	45.44	90.0	40.90
03/01/2017	ENOCHS, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.96	0.00	3.96	90.0	3.56
02/21/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.60	0.00	1.60	90.0	1.44
02/27/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
02/27/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
03/01/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	13.78	0.00	13.78	90.0	12.40
03/01/2017	NAIZER, ROSANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.79	0.00	2.79	90.0	2.51
03/01/2017	NAIZER, JOHNNIE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.46	0.00	1.46	90.0	1.31
03/01/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.73	0.00	7.73	90.0	6.96
03/01/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.73	0.00	7.73	90.0	6.96
02/27/2017	ASPRA, TRISSA	AM FUNDS GROWTH FUND OF AMERICA A	807.16	34.30	22.00	12.30	90.0	11.07
02/28/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	105.00	2.10	0.00	2.10	90.0	1.89
02/28/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	270.84	5.42	0.00	5.42	90.0	4.88
02/28/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	325.00	5.20	0.00	5.20	90.0	4.68
02/28/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	8.25	0.17	0.00	0.17	90.0	0.15
02/28/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	253.96	5.08	0.00	5.08	90.0	4.57
03/01/2017	PRAESEL, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	180.67	0.00	180.67	90.0	162.60
03/01/2017	KORNEGAY, ALISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.18	0.00	20.18	90.0	18.16
03/01/2017	ENOCHS, LELAND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	43.03	0.00	43.03	90.0	38.73
03/01/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.90	0.00	16.90	90.0	15.21
03/01/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.88	0.00	29.88	90.0	26.89
03/01/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.71	0.00	25.71	90.0	23.14
03/01/2017	ENOCHS, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.89	0.00	3.89	90.0	3.50
03/01/2017	GILLEY, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	148.68	0.00	148.68	90.0	133.81
03/02/2017	LAWHON, JACKIE	AM FUNDS GROWTH FUND OF AMERICA A	-150.00	0.00	12.00	-12.00	90.0	-10.80
03/01/2017	KORNEGAY, ALISA	AM FUNDS ICA A	0.00	19.32	0.00	19.32	90.0	17.39
03/01/2017	PRAESEL, ARTHUR	AM FUNDS INCOME FUND OF AMERICA A	0.00	63.24	0.00	63.24	90.0	56.92
03/01/2017	NAIZER, ROSANNE	AM FUNDS INTL GROWTH AND INCOME 529	0.00	0.79	0.00	0.79	90.0	0.71
03/01/2017	NAIZER, JOHNNIE	AM FUNDS INTL GROWTH AND INCOME 529	0.00	1.03	0.00	1.03	90.0	0.93
03/01/2017	ENOCHS, DONNA	AM FUNDS NEW ECONOMY A	0.00	15.88	0.00	15.88	90.0	14.29
03/01/2017	OHE, EUGENIA	AM FUNDS NEW ECONOMY A	0.00	6.85	0.00	6.85	90.0	6.17
03/01/2017	PRAESEL, ARTHUR	AM FUNDS NEW PERSPECTIVE A	0.00	145.12	0.00	145.12	90.0	130.61

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	OHE, EUGENIA	AM FUNDS NEW PERSPECTIVE A	0.00	6.91	0.00	6.91	90.0	6.22
03/01/2017	NAIZER, ROSANNE	AM FUNDS NEW WORLD 529A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2017	NAIZER, JOHNNIE	AM FUNDS NEW WORLD 529A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2017	OHE, EUGENIA	AM FUNDS SMALLCAP WORLD A	0.00	7.58	0.00	7.58	90.0	6.82
03/01/2017	NAIZER, ROSANNE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.07	0.00	2.07	90.0	1.86
03/01/2017	NAIZER, JOHNNIE	AM FUNDS SMALLCAP WORLD-529A	0.00	1.31	0.00	1.31	90.0	1.18
02/21/2017	BRUECKNER, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	1.60	0.00	1.60	90.0	1.44
02/27/2017	COLLINS, TYLER	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,032.22	51.61	0.00	51.61	90.0	46.45
02/28/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	105.00	2.10	0.00	2.10	90.0	1.89
02/28/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	270.84	5.42	0.00	5.42	90.0	4.88
02/28/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.25	0.17	0.00	0.17	90.0	0.15
02/28/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	253.97	5.08	0.00	5.08	90.0	4.57
03/01/2017	FISCHER, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.49	0.00	14.49	90.0	13.04
03/01/2017	HAMITER, DONNIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.43	0.00	8.43	90.0	7.59
03/01/2017	LOGHRY, DORIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	29.41	0.00	29.41	90.0	26.47
03/01/2017	JAMES, JERRI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.47	0.00	3.47	90.0	3.12
03/01/2017	ENOCHS, LELAND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	38.94	0.00	38.94	90.0	35.05
03/01/2017	JAMES, JERRI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.95	0.00	4.95	90.0	4.46
03/01/2017	OHE, EUGENIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.37	0.00	15.37	90.0	13.83
03/01/2017	ENOCHS, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.87	0.00	3.87	90.0	3.48
03/01/2017	MEYER, KATHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.59	0.00	1.59	90.0	1.43
03/01/2017	SPECKELS, WARREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.82	0.00	2.82	90.0	2.54
03/01/2017	KRIEGEL, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.94	0.00	3.94	90.0	3.55
03/01/2017	LAWHON, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	32.54	0.00	32.54	90.0	29.29
03/01/2017	GILLEY, BEVERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	127.54	0.00	127.54	90.0	114.79
03/01/2017	BRUECKNER, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.94	0.00	12.94	90.0	11.65
03/02/2017	LAWHON, JACKIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	-350.00	0.00	12.00	-12.00	90.0	-10.80
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	2.47	0.00	2.47	90.0	2.22
02/02/2017	MICHNA JT TEN WROS, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	2.65	0.00	2.65	90.0	2.39
/ /	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.51	0.00	0.51	90.0	0.46
/ /	SMITH, MARLO	HARTFORD GROWTH ALLOCATION A	0.00	1.19	0.00	1.19	90.0	1.07
02/02/2017	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.55	0.00	0.55	90.0	0.50
02/02/2017	SMITH, MARLO	HARTFORD GROWTH ALLOCATION A	0.00	1.29	0.00	1.29	90.0	1.16

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MICHNA JT TEN WROS, JIMMY	HARTFORD MIDCAP A	0.00	0.37	0.00	0.37	90.0	0.33
02/02/2017	MICHNA JT TEN WROS, JIMMY	HARTFORD MIDCAP A	0.00	0.40	0.00	0.40	90.0	0.36
* MUTUAL FUNDS SUBTOTAL *			\$5,438.45	\$1,629.13	\$68.00	\$1,561.13		\$1,405.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/09/2017	CHEATHAM, JIMMY	AMER GEN POLARIS II	38,834.09	24.27	0.00	24.27	90.0	21.84
02/28/2017	MASON, JOHN	JNL PERSPECTIVE ADV II 5/05	434,839.67	362.37	0.00	362.37	90.0	326.13
02/28/2017	MASON, JOHN	JNL PERSPECTIVE L	432,290.45	360.24	0.00	360.24	90.0	324.22
02/28/2017	PEMBERTON, PATRICIA	JOHN HANCOCK VENTURE	18,272.70	3.81	0.00	3.81	90.0	3.43
02/23/2017	MCRIGHT, BETTY	PAC LIFE VALUE	0.00	16.72	0.00	16.72	90.0	15.05
* VARIABLE ANNUITIES SUBTOTAL *			\$924,236.91	\$767.41	\$0.00	\$767.41		\$690.67
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
03/01/2017	KLEPAC, MICHAEL	FT UNIT 6398 U S EQUITY CLOSED END	12,489.64	362.23	0.00	362.23	90.0	326.01
03/01/2017	KLEPAC, KATIE	FT UNIT 6398 U S EQUITY CLOSED END	4,993.74	144.83	0.00	144.83	90.0	130.35
02/28/2017	KELLEY, ALLAN	FT UNIT 6403 MLP	10,004.04	315.12	0.00	315.12	90.0	283.61
03/01/2017	KLEPAC, MICHAEL	FT UNIT 6403 MLP	12,491.99	362.25	0.00	362.25	90.0	326.03
03/01/2017	KLEPAC, KATIE	FT UNIT 6403 MLP	4,996.80	144.90	0.00	144.90	90.0	130.41
03/01/2017	KLEPAC, MICHAEL	FT UNIT 6470 TARGET GLOBAL DVD LEAD	12,497.74	249.97	0.00	249.97	90.0	224.97
03/01/2017	KLEPAC, KATIE	FT UNIT 6470 TARGET GLOBAL DVD LEAD	4,990.88	99.82	0.00	99.82	90.0	89.84
03/01/2017	KLEPAC, MICHAEL	FTUNIT 6517 GLOBAL EQUITY INCOME CL	12,497.74	362.46	0.00	362.46	90.0	326.21
03/01/2017	KLEPAC, KATIE	FTUNIT 6517 GLOBAL EQUITY INCOME CL	4,997.09	144.93	0.00	144.93	90.0	130.44
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$79,959.66	\$2,186.51	\$0.00	\$2,186.51		\$1,967.87
*** BROKER TRANSACTIONS TOTAL ***			\$1,009,635.02	\$4,583.05	\$68.00	\$4,515.05		\$4,063.59

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<u>ADJUSTMENTS</u>								
03/15/2017		*EO INS: CURRENT MONTH EO INS	0.00					-300.00
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-1.54
03/15/2017		*PERSHING: UIT PROSPECTUS	0.00					-29.39
03/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-605.93

BROKER COMMISSION TOTALS

Broker Transactions	\$4,063.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-605.93
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,457.66
Year-to-date Gross	\$41,059.06
Year-to-date Earnings	\$36,671.18

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