

All Companies  
**STATEMENT**  
**July 20, 2018**

THOMAS MCGUIRE  
16 Wiltshire Dr  
SUITE 620  
Hattiesburg, MS 39402

BROKER #: 131  
BRANCH:

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/20/2018	#08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	0.00	1.86
* MUTUAL FUNDS SUBTOTAL *				\$50.00	\$2.00		\$0.00	\$1.86	\$0.00	\$1.86
PRODUCT CATEGORY: VARIABLE ANNUITIES										
06/09/0018	#2020012509	RAYBURN,	SECURITY BENEFIT	0.00	36.84	93.0	0.00	34.26	0.00	34.26
06/09/0018	#2020016514	SPARKS, MARRETA	SECURITY BENEFIT	0.00	56.66	93.0	0.00	52.69	0.00	52.69
06/09/0018	#2020012433	RAYBURN,	SECURITY BENEFIT	0.00	44.81	93.0	0.00	41.67	0.00	41.67
06/09/0018	#2020012081	RAYBURN,	SECURITY BENEFIT	0.00	41.83	93.0	0.00	38.90	0.00	38.90
06/09/0018	#2020015967	LOTT, LOUIS	SECURITY BENEFIT	0.00	57.05	93.0	0.00	53.06	0.00	53.06
06/30/0201	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.93	93.0	0.00	25.04	0.00	25.04
06/30/0201	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.93	93.0	0.00	25.04	0.00	25.04
06/30/2018	#1018873628	DAVIS, MARY	ELITE ACCESS L	41,605.00	34.67	93.0	0.00	32.24	0.00	32.24
06/30/2018	#009629647A	GRAY, LARRY	L SERIES	60,020.07	65.02	93.0	0.00	60.47	0.00	60.47
* VARIABLE ANNUITIES SUBTOTAL *				\$101,625.07	\$390.74		\$0.00	\$363.37	\$0.00	\$363.37
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2018	#00085409047	DANIELS,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	20.54	93.0	0.00	19.10	0.00	19.10
06/01/2018	#00089032670	CAROSELLO, JANE	COLLEGE 2030 FUND-529A	0.00	5.54	93.0	0.00	5.15	0.00	5.15
06/01/2018	#00085409045	DANIELS,	NEW PERSPECTIVE FUND-529C	0.00	14.02	93.0	0.00	13.04	0.00	13.04
06/01/2018	#00085409045	DANIELS,	THE GROWTH FUND OF AMERICA-529C	0.00	26.02	93.0	0.00	24.20	0.00	24.20
06/01/2018	#00085409047	DANIELS,	THE GROWTH FUND OF AMERICA-529C	0.00	16.61	93.0	0.00	15.45	0.00	15.45
06/08/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	36.22	93.0	0.00	33.68	0.00	33.68
06/08/2018	#	MOSS, CARLA	DAIN TRAILER	0.00	45.23	93.0	0.00	42.06	0.00	42.06
06/11/2018	#	MCGEHEE, DONNA	DAIN TRAILER	0.00	5.17	93.0	0.00	4.81	0.00	4.81
06/11/2018	#	MCGEHEE, DONNA	DAIN TRAILER	0.00	16.74	93.0	0.00	15.57	0.00	15.57
06/11/2018	#	MCGEHEE, DONNA	DAIN TRAILER	0.00	32.74	93.0	0.00	30.45	0.00	30.45
06/11/2018	#	HUNT, MINNIE	DAIN TRAILER	0.00	59.57	93.0	0.00	55.40	0.00	55.40
06/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	13.27	93.0	0.00	12.34	0.00	12.34
06/11/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	68.04	93.0	0.00	63.28	0.00	63.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	119.35	93.0	0.00	111.00	0.00	111.00
06/11/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	79.77	93.0	0.00	74.19	0.00	74.19
06/11/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	16.63	93.0	0.00	15.47	0.00	15.47
06/11/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	1.09	93.0	0.00	1.01	0.00	1.01
06/11/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	28.36	93.0	0.00	26.37	0.00	26.37
06/11/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	6.78	93.0	0.00	6.31	0.00	6.31
06/11/2018 #	MOSS, WILLIAM	DAIN TRAILER	0.00	2.59	93.0	0.00	2.41	0.00	2.41
06/11/2018 #	MOSS, WILLIAM	DAIN TRAILER	0.00	5.45	93.0	0.00	5.07	0.00	5.07
06/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	6.01	93.0	0.00	5.59	0.00	5.59
06/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	59.36	93.0	0.00	55.20	0.00	55.20
06/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	45.19	93.0	0.00	42.03	0.00	42.03
06/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	22.79	93.0	0.00	21.19	0.00	21.19
06/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	13.42	93.0	0.00	12.48	0.00	12.48
06/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	29.39	93.0	0.00	27.33	0.00	27.33
06/11/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	3.27	93.0	0.00	3.04	0.00	3.04
06/11/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.54	93.0	0.00	2.36	0.00	2.36
06/11/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.18	93.0	0.00	2.03	0.00	2.03
06/11/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.10	93.0	0.00	1.95	0.00	1.95
06/11/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	3.22	93.0	0.00	2.99	0.00	2.99
06/11/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.42	93.0	0.00	2.25	0.00	2.25
06/11/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	5.00	93.0	0.00	4.65	0.00	4.65
06/11/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	4.77	93.0	0.00	4.44	0.00	4.44
06/11/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	5.44	93.0	0.00	5.06	0.00	5.06
06/11/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	4.25	93.0	0.00	3.95	0.00	3.95
06/11/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	10.12	93.0	0.00	9.41	0.00	9.41
06/11/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	0.63	93.0	0.00	0.59	0.00	0.59
06/11/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	1.36	93.0	0.00	1.26	0.00	1.26
06/11/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	2.01	93.0	0.00	1.87	0.00	1.87
06/11/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	2.78	93.0	0.00	2.59	0.00	2.59
06/11/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	0.70	93.0	0.00	0.65	0.00	0.65
06/11/2018 #	CAROSELLO, JANE	DAIN TRAILER	0.00	4.29	93.0	0.00	3.99	0.00	3.99
06/11/2018 #	CAROSELLO, JANE	DAIN TRAILER	0.00	2.80	93.0	0.00	2.60	0.00	2.60
06/11/2018 #	CAROSELLO, JANE	DAIN TRAILER	0.00	2.23	93.0	0.00	2.07	0.00	2.07
06/11/2018 #	CAROSELLO, JANE	DAIN TRAILER	0.00	23.11	93.0	0.00	21.49	0.00	21.49
06/11/2018 #	CAROSELLO, JANE	DAIN TRAILER	0.00	20.07	93.0	0.00	18.67	0.00	18.67
06/11/2018 #	DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.97	93.0	0.00	0.90	0.00	0.90

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/11/2018 # DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.69	93.0	0.00	3.43	0.00	3.43
06/11/2018 # DAUGHDRILL, MARY	DAIN TRAILER	0.00	1.01	93.0	0.00	0.94	0.00	0.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$906.85		\$0.00	\$843.36	\$0.00	\$843.36
*** BROKER TRANSACTIONS TOTAL ***		\$101,675.07	\$1,299.59		\$0.00	\$1,208.59	\$0.00	\$1,208.59

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,208.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$639.59
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