

COMMISSION STATEMENT

July 31, 2018

SCOTT SICKLES-D060
 4200 Ridgcrest Circle
 Suite A4
 Amarillo, TX 79101

BROKER #: D060
 BRANCH: DMC

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/23/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-A	B	0.00	0.92	0.00	0.92	75.0	0.69
07/23/2018	mfc500587 SICKLES 500587	MFT AMERICAN BALANCED FUND-C	B	0.00	7.95	0.00	7.95	75.0	5.96
07/23/2018	mfc500587 SICKLES 500587	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.37	0.00	7.37	75.0	5.53
07/23/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	4.51	0.00	4.51	75.0	3.38
07/23/2018	mfc500587 SICKLES 500587	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.21	0.00	0.21	75.0	0.16
07/23/2018	mfc500587 SICKLES 500587	MFT FRK RISING DIV FND - CL C	B	0.00	12.17	0.00	12.17	75.0	9.13
07/23/2018	mfc500587 SICKLES 500587	MFT FUNDAMENTAL INVESTORS-C	B	0.00	22.79	0.00	22.79	75.0	17.09
07/23/2018	mfc500587 SICKLES 500587	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	22.10	0.00	22.10	75.0	16.58
07/23/2018	mfc500587 SICKLES 500587	MFT MUTUAL DISC FND - CL C	B	0.00	5.14	0.00	5.14	75.0	3.86
07/23/2018	mfc500587 SICKLES 500587	MFT TEMP GLOBAL BOND FND - CL A	B	0.00	1.01	0.00	1.01	75.0	0.76
07/23/2018	mfc500587 SICKLES 500587	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	75.0	0.44
07/23/2018	mfc500587 SICKLES 500587	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	24.88	0.00	24.88	75.0	18.66
07/23/2018	mfc500587 SICKLES 500587	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.75	0.00	8.75	75.0	6.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$118.38	\$0.00	\$118.38		\$88.80
*** CLEARING TRANSACTIONS TOTAL ***				\$0.00	\$118.38	\$0.00	\$118.38		\$88.80

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/23/2018	00082895917 JOHNSON, KRISTY	MF CAPITAL INCOME BUILDER-A	B	34.00	1.70	0.00	1.70	75.0	1.28
07/23/2018	00082895917 JOHNSON, KRISTY	MF THE BOND FUND OF AMERICA-A	B	33.00	0.99	0.00	0.99	75.0	0.74
07/23/2018	00082895917 JOHNSON, KRISTY	MF THE INCOME FUND OF AMERICA-A	B	33.00	1.65	0.00	1.65	75.0	1.24
07/20/2018	00084222411 VAUGHN, JOE	MF CAPITAL INCOME BUILDER-A	B	100.00	3.75	0.00	3.75	75.0	2.81
07/20/2018	00084222411 VAUGHN, JOE	MF CAPITAL WORLD GROWTH AND INCOME	B	100.00	3.75	0.00	3.75	75.0	2.81
07/20/2018	00089635528 BAUTISTA, MOISES	MF FUNDAMENTAL INVESTORS-C	B	500.00	5.00	0.00	5.00	75.0	3.75
07/19/2018	04000032754 SPIVA, HOLLY	MF CAPITAL INCOME BUILDER CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
07/19/2018	04000032754 SPIVA, HOLLY	MF EUROPACIFIC GROWTH FUND CLASS C	B	20.00	0.20	0.00	0.20	75.0	0.15
07/19/2018	04000032754 SPIVA, HOLLY	MF FUNDAMENTAL INVESTORS-C	B	10.00	0.10	0.00	0.10	75.0	0.08
07/19/2018	04000032754 SPIVA, HOLLY	MF NEW WORLD FUND-C	B	20.00	0.20	0.00	0.20	75.0	0.15
07/19/2018	04000032754 SPIVA, HOLLY	MF THE GROWTH FUND OF AMERICA-C	B	20.00	0.20	0.00	0.20	75.0	0.15

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07/19/2018	04000032754 SPIVA, HOLLY	MF WASHINGTON MUTUAL INVESTORS	B	10.00	0.10	0.00	0.10	75.0	0.08
07/16/2018	04000638082 PEREZ, GEORGIA	MF THE NEW ECONOMY FUND-C	B	120.00	1.20	0.00	1.20	75.0	0.90
* MUTUAL FUNDS SUBTOTAL *				\$1,020.00	\$19.04	\$0.00	\$19.04		\$14.29
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/09/2018	BRK123216 PRODUCTIONS,	MFT 8504AFRKD	B	0.00	523.30	0.00	523.30	75.0	392.48
07/09/2018	BRK83663 AFRR FORFEITURE	MFT 8504AFRKD	B	0.00	761.81	0.00	761.81	75.0	571.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,285.11	\$0.00	\$1,285.11		\$963.84
*** DIRECT TRANSACTIONS TOTAL ***				\$1,020.00	\$1,304.15	\$0.00	\$1,304.15		\$978.13

ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
07/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-155.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,066.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-155.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$911.93
Year-to-date Commissions	\$4,421.67