

All Companies
STATEMENT
May 20, 2018

MIKE MARCOTULLIO
3031 Wynsum Ave
SUITE 100
Merrick, NY 11566

BROKER #: 153
BRANCH:

COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2018 #	GOLDSTEIN, STEVEN	FIRST TRUST EXCHANGE TRADED FD II F	17,283.00	362.54	75.0	0.00	271.91	19.95	251.96
04/03/2018 #	WALRATH, DOUGLAS	AMAZON.COM INC	13,650.00	100.00	75.0	0.00	75.00	19.95	55.05
04/03/2018 #	WALRATH, DOUGLAS	TENET HEALTHCARE CORPORATION	21,758.40	100.00	75.0	0.00	75.00	19.95	55.05
04/03/2018 #	ELEFANTE, ANTONIO	WELLS FARGO & COMPANY 5.125% DPSTRY	6,124.58	100.00	75.0	0.00	75.00	19.95	55.05
04/11/2018 #	LA FEX, THOMAS	FIRST TRUST EXCHANGE TRADED FD II F	10,108.00	229.22	75.0	0.00	171.92	19.95	151.97
04/11/2018 #	WALRATH, DOUGLAS	NETFLIX COM INC	16,662.25	100.00	75.0	0.00	75.00	19.95	55.05
04/11/2018 #	WALRATH, DOUGLAS	SPDR SER TR S&P HOMEBUILDERS ETF	9,736.80	100.00	75.0	0.00	75.00	19.95	55.05
* STOCKS SUBTOTAL *			\$95,323.03	\$1,091.76		\$0.00	\$818.83	\$139.65	\$679.18
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	16.65	75.0	0.00	12.49	0.00	12.49
04/10/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	7.33	75.0	0.00	5.50	0.00	5.50
04/10/2018 #	AUTILIA ZUGARO	DAIN TRAILER	0.00	4.80	75.0	0.00	3.60	0.00	3.60
04/10/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	0.50	75.0	0.00	0.38	0.00	0.38
04/10/2018 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	4.42	75.0	0.00	3.32	0.00	3.32
04/10/2018 #	STANLEY, RICHARD	DAIN TRAILER	0.00	0.01	75.0	0.00	0.01	0.00	0.01
04/10/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	0.79	75.0	0.00	0.59	0.00	0.59
04/10/2018 #	BERRY, ROBERT	DAIN TRAILER	0.00	2.93	75.0	0.00	2.20	0.00	2.20
04/10/2018 #	BAILEY, MARTIN	DAIN TRAILER	0.00	0.78	75.0	0.00	0.59	0.00	0.59
04/10/2018 #	LA FEX, THOMAS	DAIN TRAILER	0.00	1.21	75.0	0.00	0.91	0.00	0.91
04/10/2018 #	RICKS, DONNA	DAIN TRAILER	0.00	1.30	75.0	0.00	0.98	0.00	0.98
04/10/2018 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	3.32	75.0	0.00	2.49	0.00	2.49
04/10/2018 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	0.89	75.0	0.00	0.67	0.00	0.67
04/10/2018 #	PARKS-HALL, MONICA	DAIN TRAILER	0.00	4.91	75.0	0.00	3.68	0.00	3.68
04/10/2018 #	PARKS, DOMINIQUE	DAIN TRAILER	0.00	4.91	75.0	0.00	3.68	0.00	3.68
04/10/2018 #	BUCKNER, TYRONE	DAIN TRAILER	0.00	3.11	75.0	0.00	2.33	0.00	2.33
04/10/2018 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	2.02	75.0	0.00	1.52	0.00	1.52
04/10/2018 #	FREDA, MARTIN	DAIN TRAILER	0.00	0.98	75.0	0.00	0.74	0.00	0.74

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/10/2018 # SFERRAZZA, JOANN	DAIN TRAILER	0.00	1.61	75.0	0.00	1.21	0.00	1.21
04/10/2018 # SFERRAZZA, PAUL	DAIN TRAILER	0.00	0.88	75.0	0.00	0.66	0.00	0.66
04/10/2018 # SFERRAZZA, PAUL	DAIN TRAILER	0.00	6.16	75.0	0.00	4.62	0.00	4.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$69.51		\$0.00	\$52.17	\$0.00	\$52.17
*** BROKER TRANSACTIONS TOTAL ***		\$95,323.03	\$1,161.27		\$0.00	\$871.00	\$139.65	\$731.35

ADJUSTMENTS

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$731.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-550.19
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-387.84