



Concorde Investment Services, LLC

09/28/2017

Page No. 1

COMMISSION STATEMENT

September 30, 2017

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/14/2017	KOSS-WINN BANC ESOP	BOURNE SENIORS HOUSING III	40,000.00	2,800.00	0.00	2,800.00	90.0	2,520.00
09/14/2017	GROSS, ROBERT	BOURNE SENIORS HOUSING III	40,000.00	2,800.00	0.00	2,800.00	90.0	2,520.00
09/14/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
09/14/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
09/14/2017	KOSS-WINN BANC ESOP	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
09/14/2017	KOSS-WINN BANC ESOP	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
09/14/2017	KOSS-WINN BANC ESOP	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* PRIVATE PLACEMENT SUBTOTAL *			\$255,000.00	\$17,600.00	\$0.00	\$17,600.00		\$15,840.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	LANGFALD, RONALD	AM FUNDS AMCAP A	0.00	36.80	0.00	36.80	90.0	33.12
09/01/2017	LANGFALD, ARDIS	AM FUNDS AMCAP A	0.00	23.25	0.00	23.25	90.0	20.93
09/01/2017	MOKLESTAD, NANCY	AM FUNDS AMCAP A	0.00	2.01	0.00	2.01	90.0	1.81
09/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMCAP A	0.00	9.34	0.00	9.34	90.0	8.41
09/01/2017	MEINDERS, DAWN	AM FUNDS AMCAP A	0.00	7.88	0.00	7.88	90.0	7.09
09/01/2017	VANDER WILT, BRIAN	AM FUNDS AMCAP A	0.00	3.88	0.00	3.88	90.0	3.49
09/01/2017	GERZEMA, MARLENE	AM FUNDS AMCAP A	0.00	19.08	0.00	19.08	90.0	17.17
09/01/2017	HEMER, EILEEN	AM FUNDS AMCAP A	0.00	37.68	0.00	37.68	90.0	33.91
09/01/2017	POST, KENNETH	AM FUNDS AMCAP A	0.00	5.68	0.00	5.68	90.0	5.11
09/12/2017	POST, KENNETH	AM FUNDS AMCAP A	129.92	3.57	0.00	3.57	90.0	3.21
09/12/2017	POST, KENNETH	AM FUNDS AMCAP A	129.92	3.57	0.00	3.57	90.0	3.21
09/01/2017	LEONARD, GARY	AM FUNDS AMERICAN BALANCED 529A	0.00	14.50	0.00	14.50	90.0	13.05
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS AMERICAN BALANCED A	0.00	17.55	0.00	17.55	90.0	15.80
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN BALANCED A	0.00	31.65	0.00	31.65	90.0	28.49
09/01/2017	DOANE, ELDON	AM FUNDS AMERICAN BALANCED A	0.00	9.40	0.00	9.40	90.0	8.46
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS AMERICAN BALANCED A	0.00	26.44	0.00	26.44	90.0	23.80
09/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	12.78	0.00	12.78	90.0	11.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	6.05	0.00	6.05	90.0	5.45
09/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	5.24	0.00	5.24	90.0	4.72
09/01/2017	MATHISON, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	14.27	0.00	14.27	90.0	12.84
09/01/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	3.35	0.00	3.35	90.0	3.02
09/01/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	0.00	12.88	0.00	12.88	90.0	11.59
09/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.49	0.00	3.49	90.0	3.14
09/01/2017	MAKI, LINDA	AM FUNDS AMERICAN BALANCED A	0.00	5.54	0.00	5.54	90.0	4.99
09/01/2017	GOCHE, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	20.76	0.00	20.76	90.0	18.68
09/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
09/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	90.0	2.62
09/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	3.86	0.00	3.86	90.0	3.47
09/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	63.88	0.00	63.88	90.0	57.49
09/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	5.83	0.00	5.83	90.0	5.25
09/01/2017	HANSON, SCOTT	AM FUNDS AMERICAN BALANCED A	0.00	13.00	0.00	13.00	90.0	11.70
09/01/2017	HANSON, CARRIE	AM FUNDS AMERICAN BALANCED A	0.00	9.59	0.00	9.59	90.0	8.63
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	0.67	0.00	0.67	90.0	0.60
09/01/2017	GRIFFITT, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	10.15	0.00	10.15	90.0	9.14
09/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN BALANCED A	0.00	133.60	0.00	133.60	90.0	120.24
09/01/2017	VENTEICHER, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	7.39	0.00	7.39	90.0	6.65
09/01/2017	KETTWICK, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	26.43	0.00	26.43	90.0	23.79
09/01/2017	PRICE, TERESA	AM FUNDS AMERICAN BALANCED A	0.00	9.48	0.00	9.48	90.0	8.53
09/01/2017	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	16.69	0.00	16.69	90.0	15.02
09/01/2017	MEINDERS, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	8.91	0.00	8.91	90.0	8.02
09/01/2017	NUTTING, STUART	AM FUNDS AMERICAN BALANCED A	0.00	1.56	0.00	1.56	90.0	1.40
09/01/2017	VANDER WILT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.26	0.00	5.26	90.0	4.73
09/01/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	0.00	0.80	0.00	0.80	90.0	0.72
09/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	4.53	0.00	4.53	90.0	4.08
09/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	33.68	0.00	33.68	90.0	30.31
09/01/2017	SCHLAKE, ELROY	AM FUNDS AMERICAN BALANCED A	0.00	8.53	0.00	8.53	90.0	7.68
09/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	23.72	0.00	23.72	90.0	21.35
09/01/2017	UNDERWOOD, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	27.07	0.00	27.07	90.0	24.36
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	12.92	0.00	12.92	90.0	11.63
09/01/2017	CAMPBELL, DENISE	AM FUNDS AMERICAN BALANCED A	0.00	22.11	0.00	22.11	90.0	19.90

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	HEMER, EILEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.29	0.00	0.29	90.0	0.26
09/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.20	0.00	2.20	90.0	1.98
09/01/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	90.0	0.32
09/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	0.00	2.13	0.00	2.13	90.0	1.92
09/01/2017	GROSS, JUDY	AM FUNDS AMERICAN BALANCED A	0.00	4.65	0.00	4.65	90.0	4.19
09/01/2017	GROSS, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	16.69	0.00	16.69	90.0	15.02
09/01/2017	LOW, ANN	AM FUNDS AMERICAN BALANCED A	0.00	16.74	0.00	16.74	90.0	15.07
09/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	19.86	0.00	19.86	90.0	17.87
09/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	9.95	0.00	9.95	90.0	8.96
09/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	48.48	1.33	0.00	1.33	90.0	1.20
09/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	48.48	1.33	0.00	1.33	90.0	1.20
09/15/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
09/01/2017	BRUNS, JOLENE	AM FUNDS AMERICAN BALANCED C	0.00	36.28	0.00	36.28	90.0	32.65
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	22.34	0.00	22.34	90.0	20.11
09/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	30.08	0.00	30.08	90.0	27.07
09/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	68.27	0.00	68.27	90.0	61.44
09/01/2017	LEONARD, GARY	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.58	0.00	1.58	90.0	1.42
09/01/2017	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	0.00	2.37	0.00	2.37	90.0	2.13
09/01/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	23.65	0.00	23.65	90.0	21.29
09/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMERICAN MUTUAL A	0.00	9.03	0.00	9.03	90.0	8.13
09/01/2017	HOLLEY, CASEY	AM FUNDS AMERICAN MUTUAL A	0.00	0.51	0.00	0.51	90.0	0.46
09/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	30.89	0.00	30.89	90.0	27.80
09/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	0.00	2.17	0.00	2.17	90.0	1.95
09/01/2017	JASS, ARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	3.97	0.00	3.97	90.0	3.57
09/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	20.53	0.00	20.53	90.0	18.48
09/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	8.46	0.00	8.46	90.0	7.61
09/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	48.49	1.33	0.00	1.33	90.0	1.20
09/12/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	48.49	1.33	0.00	1.33	90.0	1.20
09/01/2017	AUDINO, JAMES	AM FUNDS BALANCED PORT A	0.00	6.44	0.00	6.44	90.0	5.80
09/01/2017	SCHMIT, MARILYAN	AM FUNDS BALANCED PORT A	0.00	39.59	0.00	39.59	90.0	35.63
09/01/2017	JOHNSON, DONNA	AM FUNDS BALANCED PORT A	0.00	2.66	0.00	2.66	90.0	2.39
09/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS BALANCED PORT A	0.00	18.00	0.00	18.00	90.0	16.20
09/01/2017	SCHROEDER, PHILIP	AM FUNDS BALANCED PORT A	0.00	43.27	0.00	43.27	90.0	38.94

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	TORNQUIST, SCOTT	AM FUNDS BALANCED PORT A	0.00	96.00	0.00	96.00	90.0	86.40
09/01/2017	ENGBRETSON, ROGER	AM FUNDS BALANCED PORT A	0.00	24.63	0.00	24.63	90.0	22.17
09/01/2017	UNDERWOOD, DEBRA	AM FUNDS BALANCED PORT A	0.00	6.59	0.00	6.59	90.0	5.93
09/01/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	0.00	0.36	0.00	0.36	90.0	0.32
09/12/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	134.30	3.69	0.00	3.69	90.0	3.32
09/12/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	134.30	3.69	0.00	3.69	90.0	3.32
09/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
09/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
09/01/2017	KESSLER, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	38.97	0.00	38.97	90.0	35.07
09/01/2017	LEONARD, GARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.81	0.00	10.81	90.0	9.73
09/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	12.94	0.00	12.94	90.0	11.65
09/01/2017	HEMER, JOE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.65	0.00	14.65	90.0	13.19
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.05	0.00	15.05	90.0	13.55
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.68	0.00	21.68	90.0	19.51
09/01/2017	DOANE, ELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.67	0.00	28.67	90.0	25.80
09/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.84	0.00	3.84	90.0	3.46
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.72	0.00	21.72	90.0	19.55
09/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.03	0.00	12.03	90.0	10.83
09/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.34	0.00	5.34	90.0	4.81
09/01/2017	SCHMIT, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	37.39	0.00	37.39	90.0	33.65
09/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.62	0.00	4.62	90.0	4.16
09/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.70	0.00	13.70	90.0	12.33
09/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.01	0.00	11.01	90.0	9.91
09/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.61	0.00	2.61	90.0	2.35
09/01/2017	SCHLICHTING, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.59	0.00	3.59	90.0	3.23
09/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.56	0.00	3.56	90.0	3.20
09/01/2017	MAKI, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.77	0.00	5.77	90.0	5.19
09/01/2017	GOCHE, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.06	0.00	21.06	90.0	18.95
09/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	90.0	0.40
09/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.91	0.00	19.91	90.0	17.92
09/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.48	0.00	3.48	90.0	3.13
09/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.46	0.00	18.46	90.0	16.61
09/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	60.81	0.00	60.81	90.0	54.73

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.80	0.00	8.80	90.0	7.92
09/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.13	0.00	1.13	90.0	1.02
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.47	0.00	5.47	90.0	4.92
09/01/2017	GRIFFITT, JAYNELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.81	0.00	7.81	90.0	7.03
09/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	196.57	0.00	196.57	90.0	176.91
09/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.18	0.00	1.18	90.0	1.06
09/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.39	0.00	8.39	90.0	7.55
09/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.09	0.00	28.09	90.0	25.28
09/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.79	0.00	2.79	90.0	2.51
09/01/2017	PRICE, TERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.25	0.00	19.25	90.0	17.33
09/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	33.12	0.00	33.12	90.0	29.81
09/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.46	0.00	5.46	90.0	4.91
09/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.08	0.00	10.08	90.0	9.07
09/01/2017	NUTTING, STUART	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.15	0.00	2.15	90.0	1.94
09/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.35	0.00	7.35	90.0	6.62
09/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	63.24	0.00	63.24	90.0	56.92
09/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.81	0.00	5.81	90.0	5.23
09/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.97	0.00	12.97	90.0	11.67
09/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.14	0.00	1.14	90.0	1.03
09/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.60	0.00	7.60	90.0	6.84
09/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.96	0.00	1.96	90.0	1.76
09/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.17	0.00	10.17	90.0	9.15
09/01/2017	NUTTING, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.41	0.00	27.41	90.0	24.67
09/01/2017	ROUSE, DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.99	0.00	3.99	90.0	3.59
09/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.84	0.00	4.84	90.0	4.36
09/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.14	0.00	7.14	90.0	6.43
09/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.35	0.00	3.35	90.0	3.02
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.99	0.00	11.99	90.0	10.79
09/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.57	0.00	13.57	90.0	12.21
09/01/2017	LINDBORG, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.07	0.00	5.07	90.0	4.56
09/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.56	0.00	2.56	90.0	2.30
09/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.39	0.00	18.39	90.0	16.55
09/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.12	0.00	6.12	90.0	5.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.97	0.00	1.97	90.0	1.77
09/01/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.39	0.00	17.39	90.0	15.65
09/01/2017	GROSS, JUDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.26	0.00	4.26	90.0	3.83
09/01/2017	GROSS, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.16	0.00	15.16	90.0	13.64
09/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.55	0.00	14.55	90.0	13.10
09/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.05	0.00	8.05	90.0	7.25
09/01/2017	BRUNS, BENNETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.34	0.00	0.34	90.0	0.31
09/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.10	0.00	17.10	90.0	15.39
09/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.93	0.00	7.93	90.0	7.14
09/11/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
09/15/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
09/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.76	0.00	8.76	90.0	7.88
09/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD BOND A	0.00	32.82	0.00	32.82	90.0	29.54
09/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD BOND A	0.00	4.33	0.00	4.33	90.0	3.90
09/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD BOND A	0.00	3.98	0.00	3.98	90.0	3.58
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD BOND C	0.00	23.09	0.00	23.09	90.0	20.78
09/01/2017	LEONARD, GARY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	20.55	0.00	20.55	90.0	18.50
09/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.76	0.00	14.76	90.0	13.28
09/01/2017	HEMER, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	31.87	0.00	31.87	90.0	28.68
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	103.96	0.00	103.96	90.0	93.56
09/01/2017	DOANE, ELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.53	0.00	35.53	90.0	31.98
09/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.94	0.00	19.94	90.0	17.95
09/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.96	0.00	3.96	90.0	3.56
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.50	0.00	23.50	90.0	21.15
09/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.09	0.00	20.09	90.0	18.08
09/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	43.50	0.00	43.50	90.0	39.15
09/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.18	0.00	5.18	90.0	4.66
09/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.59	0.00	17.59	90.0	15.83
09/01/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.89	0.00	3.89	90.0	3.50
09/01/2017	SCHLICHTING, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.17	0.00	21.17	90.0	19.05
09/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	77.21	0.00	77.21	90.0	69.49
09/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.69	0.00	13.69	90.0	12.32
09/01/2017	MAKI, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.31	0.00	4.31	90.0	3.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.56	0.00	0.56	90.0	0.50
09/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.37	0.00	12.37	90.0	11.13
09/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.84	0.00	19.84	90.0	17.86
09/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.20	0.00	3.20	90.0	2.88
09/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.29	0.00	26.29	90.0	23.66
09/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	60.96	0.00	60.96	90.0	54.86
09/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.30	0.00	8.30	90.0	7.47
09/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.05	0.00	4.05	90.0	3.65
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.74	0.00	6.74	90.0	6.07
09/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	160.55	0.00	160.55	90.0	144.50
09/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	90.0	0.02
09/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.85	0.00	8.85	90.0	7.97
09/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	61.99	0.00	61.99	90.0	55.79
09/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.95	0.00	4.95	90.0	4.46
09/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.61	0.00	18.61	90.0	16.75
09/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	38.15	0.00	38.15	90.0	34.34
09/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.97	0.00	10.97	90.0	9.87
09/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.12	0.00	11.12	90.0	10.01
09/01/2017	NUTTING, STUART	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.47	0.00	4.47	90.0	4.02
09/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.30	0.00	8.30	90.0	7.47
09/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	108.85	0.00	108.85	90.0	97.97
09/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.68	0.00	20.68	90.0	18.61
09/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.93	0.00	11.93	90.0	10.74
09/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.29	0.00	1.29	90.0	1.16
09/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.83	0.00	6.83	90.0	6.15
09/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.25	0.00	2.25	90.0	2.03
09/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.41	0.00	15.41	90.0	13.87
09/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	29.85	0.00	29.85	90.0	26.87
09/01/2017	BROWN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.63	0.00	1.63	90.0	1.47
09/01/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.20	0.00	10.20	90.0	9.18
09/01/2017	KETTWICK, TROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.21	0.00	3.21	90.0	2.89
09/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.02	0.00	5.02	90.0	4.52
09/01/2017	NUTTING, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.64	0.00	0.64	90.0	0.58

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
09/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.77	0.00	9.77	90.0	8.79
09/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.81	0.00	11.81	90.0	10.63
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.77	0.00	13.77	90.0	12.39
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.78	0.00	8.78	90.0	7.90
09/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.50	0.00	14.50	90.0	13.05
09/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.83	0.00	3.83	90.0	3.45
09/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	38.80	0.00	38.80	90.0	34.92
09/01/2017	HEMER, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.20	0.00	22.20	90.0	19.98
09/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.30	0.00	0.30	90.0	0.27
09/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.46	0.00	7.46	90.0	6.71
09/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.25	0.00	2.25	90.0	2.03
09/01/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.38	0.00	18.38	90.0	16.54
09/01/2017	GROSS, JUDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.42	0.00	4.42	90.0	3.98
09/01/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.54	0.00	3.54	90.0	3.19
09/01/2017	GROSS, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.72	0.00	15.72	90.0	14.15
09/01/2017	JASS, ARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.86	0.00	6.86	90.0	6.17
09/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.63	0.00	14.63	90.0	13.17
09/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.75	0.00	7.75	90.0	6.98
09/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.23	0.00	15.23	90.0	13.71
09/12/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	42.64	1.17	0.00	1.17	90.0	1.05
09/12/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	85.28	2.35	0.00	2.35	90.0	2.12
09/15/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
09/18/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
09/01/2017	HAGEN, ALAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	20.33	0.00	20.33	90.0	18.30
09/01/2017	HEMER, JOE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.48	0.00	13.48	90.0	12.13
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.55	0.00	4.55	90.0	4.10
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.67	0.00	13.67	90.0	12.30
09/01/2017	AUDINO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.87	0.00	15.87	90.0	14.28
09/01/2017	MATHISON, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.37	0.00	8.37	90.0	7.53
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.76	0.00	5.76	90.0	5.18
09/01/2017	SCHLICHTING, STEVE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.33	0.00	6.33	90.0	5.70
09/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.32	0.00	4.32	90.0	3.89
09/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.09	0.00	3.09	90.0	2.78

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	KESSLER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	20.45	0.00	20.45	90.0	18.41
09/01/2017	NUTTING, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.69	0.00	3.69	90.0	3.32
09/01/2017	GRIFFITT, JAYNELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.62	0.00	8.62	90.0	7.76
09/01/2017	LEONARD TRUST, REA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	34.63	0.00	34.63	90.0	31.17
09/01/2017	KETTWICK, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.25	0.00	16.25	90.0	14.63
09/01/2017	PRICE, TERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.37	0.00	8.37	90.0	7.53
09/01/2017	NUTTING, STUART	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.53	0.00	1.53	90.0	1.38
09/01/2017	NUTTING, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	33.39	0.00	33.39	90.0	30.05
09/01/2017	REIDEL, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.48	0.00	8.48	90.0	7.63
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.48	0.00	9.48	90.0	8.53
09/01/2017	CAMPBELL, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	23.67	0.00	23.67	90.0	21.30
09/01/2017	HEMER, EILEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	23.68	0.00	23.68	90.0	21.31
09/01/2017	UNDERWOOD, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.89	0.00	2.89	90.0	2.60
09/01/2017	JASS, ARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.22	0.00	5.22	90.0	4.70
09/01/2017	LEONARD, GARY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.47	0.00	7.47	90.0	6.72
09/01/2017	LANGFALD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	211.95	0.00	211.95	90.0	190.76
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.05	0.00	15.05	90.0	13.55
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.40	0.00	15.40	90.0	13.86
09/01/2017	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.20	0.00	15.20	90.0	13.68
09/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.31	0.00	0.31	90.0	0.28
09/01/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.81	0.00	20.81	90.0	18.73
09/01/2017	KESSLER, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	24.39	0.00	24.39	90.0	21.95
09/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.44	0.00	1.44	90.0	1.30
09/01/2017	GRIFFITT, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.63	0.00	13.63	90.0	12.27
09/01/2017	LEONARD TRUST, REA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	113.04	0.00	113.04	90.0	101.74
09/01/2017	NUTTING, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	24.75	0.00	24.75	90.0	22.28
09/01/2017	UNDERWOOD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	29.29	0.00	29.29	90.0	26.36
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.47	0.00	10.47	90.0	9.42
09/01/2017	AUDINO, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.58	0.00	15.58	90.0	14.02
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS GLOBAL BALANCED A	0.00	46.67	0.00	46.67	90.0	42.00
09/01/2017	KESSLER, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	20.10	0.00	20.10	90.0	18.09
09/01/2017	LEONARD TRUST, REA	AM FUNDS GLOBAL BALANCED A	0.00	51.86	0.00	51.86	90.0	46.67
09/01/2017	PRICE, TERESA	AM FUNDS GLOBAL BALANCED A	0.00	1.18	0.00	1.18	90.0	1.06

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	ENRIGHT, EDWIN	AM FUNDS GLOBAL BALANCED A	0.00	19.42	0.00	19.42	90.0	17.48
09/01/2017	GERZEMA, MARLENE	AM FUNDS GLOBAL BALANCED A	0.00	30.53	0.00	30.53	90.0	27.48
09/01/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	0.00	2.62	0.00	2.62	90.0	2.36
09/01/2017	LINDBORG, LISA	AM FUNDS GLOBAL BALANCED A	0.00	4.39	0.00	4.39	90.0	3.95
09/01/2017	HEMER, EILEEN	AM FUNDS GLOBAL BALANCED A	0.00	22.81	0.00	22.81	90.0	20.53
09/01/2017	LINDEMANN, ROBIN	AM FUNDS GLOBAL BALANCED A	0.00	6.04	0.00	6.04	90.0	5.44
09/01/2017	LOW, ANN	AM FUNDS GLOBAL BALANCED A	0.00	15.90	0.00	15.90	90.0	14.31
09/11/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
09/01/2017	BRUNS, JOLENE	AM FUNDS GLOBAL BALANCED C	0.00	4.08	0.00	4.08	90.0	3.67
09/01/2017	AUDINO, JAMES	AM FUNDS GROWTH AND INCOME A	0.00	12.89	0.00	12.89	90.0	11.60
09/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS GROWTH AND INCOME A	0.00	18.54	0.00	18.54	90.0	16.69
09/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	30.75	0.00	30.75	90.0	27.68
09/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH AND INCOME A	0.00	192.37	0.00	192.37	90.0	173.13
09/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	17.91	0.00	17.91	90.0	16.12
09/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH AND INCOME A	0.00	48.18	0.00	48.18	90.0	43.36
09/01/2017	UNDERWOOD, RONALD	AM FUNDS GROWTH AND INCOME A	0.00	6.85	0.00	6.85	90.0	6.17
09/01/2017	GIESKING, RANDE	AM FUNDS GROWTH AND INCOME A	0.00	1.39	0.00	1.39	90.0	1.25
09/01/2017	CARLSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	7.08	0.00	7.08	90.0	6.37
09/01/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	0.00	3.00	0.00	3.00	90.0	2.70
09/01/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	0.00	0.33	0.00	0.33	90.0	0.30
09/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	3.58	0.00	3.58	90.0	3.22
09/01/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	0.00	9.22	0.00	9.22	90.0	8.30
09/01/2017	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	0.53	0.00	0.53	90.0	0.48
09/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	2.04	0.00	2.04	90.0	1.84
09/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	1.45	0.00	1.45	90.0	1.31
09/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	0.00	0.65	0.00	0.65	90.0	0.59
09/01/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	0.00	0.62	0.00	0.62	90.0	0.56
09/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	0.00	0.31	0.00	0.31	90.0	0.28
09/01/2017	KEHN, LEVI	AM FUNDS GROWTH AND INCOME A	0.00	3.86	0.00	3.86	90.0	3.47
09/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH AND INCOME A	0.00	9.02	0.00	9.02	90.0	8.12
09/01/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	0.00	0.01	0.00	0.01	90.0	0.01
09/11/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.73	0.74	0.00	0.74	90.0	0.67
09/11/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.73	0.74	0.00	0.74	90.0	0.67

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/12/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	147.65	4.06	0.00	4.06	90.0	3.65
09/12/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	147.65	4.06	0.00	4.06	90.0	3.65
09/12/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	109.81	3.02	0.00	3.02	90.0	2.72
09/12/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	366.03	10.07	0.00	10.07	90.0	9.06
09/18/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.37	0.70	0.00	0.70	90.0	0.63
09/18/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.37	0.70	0.00	0.70	90.0	0.63
09/20/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50
09/01/2017	HEMER, JOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	140.38	0.00	140.38	90.0	126.34
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.60	0.00	3.60	90.0	3.24
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.20	0.00	31.20	90.0	28.08
09/01/2017	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.49	0.00	23.49	90.0	21.14
09/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	53.65	0.00	53.65	90.0	48.29
09/01/2017	BRUNS, MARCUS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.22	0.00	7.22	90.0	6.50
09/01/2017	MATHISON, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.97	0.00	4.97	90.0	4.47
09/01/2017	DAHL, NANCY JOHNSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
09/01/2017	GOCHE, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.35	0.00	9.35	90.0	8.42
09/01/2017	GOCHE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.54	0.00	15.54	90.0	13.99
09/01/2017	HANSON, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.35	0.00	17.35	90.0	15.62
09/01/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.61	0.00	22.61	90.0	20.35
09/01/2017	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.41	0.00	4.41	90.0	3.97
09/01/2017	MOKLESTAD, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.05	0.00	5.05	90.0	4.55
09/01/2017	KESSLER, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.19	0.00	35.19	90.0	31.67
09/01/2017	NUTTING, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	90.0	0.50
09/01/2017	GRIFFITT, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.51	0.00	12.51	90.0	11.26
09/01/2017	LEONARD TRUST, REA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	90.76	0.00	90.76	90.0	81.68
09/01/2017	VENTEICHER, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.46	0.00	10.46	90.0	9.41
09/01/2017	KETTWICK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.58	0.00	9.58	90.0	8.62
09/01/2017	MEINDERS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	90.0	0.65
09/01/2017	NUTTING, STUART	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.82	0.00	2.82	90.0	2.54
09/01/2017	GERZEMA, MARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.64	0.00	26.64	90.0	23.98
09/01/2017	SCHLAKE, ELROY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.48	0.00	5.48	90.0	4.93
09/01/2017	MCCLEES, MARTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.94	0.00	2.94	90.0	2.65
09/01/2017	BRUNS, JOLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.93	0.00	17.93	90.0	16.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	NUTTING, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.56	0.00	22.56	90.0	20.30
09/01/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.61	0.00	12.61	90.0	11.35
09/01/2017	REIDEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.02	0.00	13.02	90.0	11.72
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.07	0.00	11.07	90.0	9.96
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.36	0.00	10.36	90.0	9.32
09/01/2017	CAMPBELL, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.41	0.00	23.41	90.0	21.07
09/01/2017	HEMER, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.45	0.00	38.45	90.0	34.61
09/01/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.39	0.00	2.39	90.0	2.15
09/01/2017	GROSS, ALISON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.57	0.00	18.57	90.0	16.71
09/01/2017	LOW, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.94	0.00	10.94	90.0	9.85
09/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.06	0.00	15.06	90.0	13.55
09/12/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	42.64	1.17	0.00	1.17	90.0	1.05
09/12/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	85.28	2.35	0.00	2.35	90.0	2.12
09/12/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	49.96	1.37	0.00	1.37	90.0	1.23
09/12/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	49.96	1.37	0.00	1.37	90.0	1.23
09/01/2017	AUDINO, JAMES	AM FUNDS GROWTH PORT A	0.00	6.35	0.00	6.35	90.0	5.72
09/01/2017	SCHROEDER, PHILIP	AM FUNDS GROWTH PORT A	0.00	52.49	0.00	52.49	90.0	47.24
09/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	28.47	0.00	28.47	90.0	25.62
09/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH PORT A	0.00	106.14	0.00	106.14	90.0	95.53
09/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH PORT A	0.00	9.16	0.00	9.16	90.0	8.24
09/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	22.39	0.00	22.39	90.0	20.15
09/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	1.66	0.00	1.66	90.0	1.49
09/01/2017	UNDERWOOD, DEBRA	AM FUNDS GROWTH PORT A	0.00	9.81	0.00	9.81	90.0	8.83
09/01/2017	CARLSON, JILL	AM FUNDS GROWTH PORT A	0.00	11.43	0.00	11.43	90.0	10.29
09/01/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	0.00	0.33	0.00	0.33	90.0	0.30
09/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	2.66	0.00	2.66	90.0	2.39
09/01/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.40	0.00	0.40	90.0	0.36
09/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	2.65	0.00	2.65	90.0	2.39
09/01/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	0.00	5.25	0.00	5.25	90.0	4.73
09/01/2017	HARDER, ANGELA	AM FUNDS GROWTH PORT A	0.00	4.19	0.00	4.19	90.0	3.77
09/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH PORT A	0.00	0.79	0.00	0.79	90.0	0.71
09/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	3.06	0.00	3.06	90.0	2.75
09/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	5.43	0.00	5.43	90.0	4.89

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH PORT A	0.00	1.20	0.00	1.20	90.0	1.08
09/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	1.52	0.00	1.52	90.0	1.37
09/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	1.85	0.00	1.85	90.0	1.67
09/01/2017	VANDER WILT, CARA	AM FUNDS GROWTH PORT A	0.00	10.82	0.00	10.82	90.0	9.74
09/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	0.00	0.31	0.00	0.31	90.0	0.28
09/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH PORT A	0.00	1.30	0.00	1.30	90.0	1.17
09/01/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	0.00	1.71	0.00	1.71	90.0	1.54
09/01/2017	KEHN, LEVI	AM FUNDS GROWTH PORT A	0.00	3.49	0.00	3.49	90.0	3.14
09/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH PORT A	0.00	9.26	0.00	9.26	90.0	8.33
09/11/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	30.78	0.85	0.00	0.85	90.0	0.77
09/11/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	51.30	1.41	0.00	1.41	90.0	1.27
09/12/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	179.27	4.93	0.00	4.93	90.0	4.44
09/12/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	298.78	8.22	0.00	8.22	90.0	7.40
09/18/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	65.79	1.81	0.00	1.81	90.0	1.63
09/18/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	39.47	1.09	0.00	1.09	90.0	0.98
09/01/2017	LEONARD, GARY	AM FUNDS ICA 529A	0.00	6.05	0.00	6.05	90.0	5.45
09/01/2017	HEMER, JOE	AM FUNDS ICA A	0.00	67.86	0.00	67.86	90.0	61.07
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS ICA A	0.00	11.17	0.00	11.17	90.0	10.05
09/01/2017	MATHISON, KAREN	AM FUNDS ICA A	0.00	9.14	0.00	9.14	90.0	8.23
09/01/2017	JOHNSON, MICHAEL	AM FUNDS ICA A	0.00	4.10	0.00	4.10	90.0	3.69
09/01/2017	GOCHE, STEVE	AM FUNDS ICA A	0.00	8.24	0.00	8.24	90.0	7.42
09/01/2017	JOHNSON, DONNA	AM FUNDS ICA A	0.00	4.66	0.00	4.66	90.0	4.19
09/01/2017	MOKLESTAD, NANCY	AM FUNDS ICA A	0.00	4.00	0.00	4.00	90.0	3.60
09/01/2017	KESSLER, JUDITH	AM FUNDS ICA A	0.00	1.69	0.00	1.69	90.0	1.52
09/01/2017	KESSLER, ROBERT	AM FUNDS ICA A	0.00	23.02	0.00	23.02	90.0	20.72
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA A	0.00	0.91	0.00	0.91	90.0	0.82
09/01/2017	VENTEICHER, DEBRA	AM FUNDS ICA A	0.00	2.94	0.00	2.94	90.0	2.65
09/01/2017	ALBERT, JOY	AM FUNDS ICA A	0.00	13.85	0.00	13.85	90.0	12.47
09/01/2017	PRICE, TERESA	AM FUNDS ICA A	0.00	3.87	0.00	3.87	90.0	3.48
09/01/2017	GERZEMA, MARLENE	AM FUNDS ICA A	0.00	26.92	0.00	26.92	90.0	24.23
09/01/2017	FIELD, BARRY	AM FUNDS ICA A	0.00	11.82	0.00	11.82	90.0	10.64
09/01/2017	ROUSE, DALE	AM FUNDS ICA A	0.00	5.26	0.00	5.26	90.0	4.73
09/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	18.95	0.00	18.95	90.0	17.06

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	8.31	0.00	8.31	90.0	7.48
09/12/2017	FIELD, BARRY	AM FUNDS ICA A	42.64	1.17	0.00	1.17	90.0	1.05
09/12/2017	FIELD, BARRY	AM FUNDS ICA A	85.27	2.34	0.00	2.34	90.0	2.11
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA F1	0.00	1.48	0.00	1.48	90.0	1.33
09/01/2017	HEMER, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	74.15	0.00	74.15	90.0	66.74
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.31	0.00	16.31	90.0	14.68
09/01/2017	DOANE, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.60	0.00	1.60	90.0	1.44
09/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	24.01	0.00	24.01	90.0	21.61
09/01/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.07	0.00	3.07	90.0	2.76
09/01/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.13	0.00	12.13	90.0	10.92
09/01/2017	JOHNSON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.68	0.00	3.68	90.0	3.31
09/01/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	22.52	0.00	22.52	90.0	20.27
09/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	64.34	0.00	64.34	90.0	57.91
09/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.32	0.00	5.32	90.0	4.79
09/01/2017	LEONARD TRUST, REA	AM FUNDS INCOME FUND OF AMERICA A	0.00	85.18	0.00	85.18	90.0	76.66
09/01/2017	NUTTING, BRETT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
09/01/2017	VENTEICHER, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.68	0.00	1.68	90.0	1.51
09/01/2017	ENRIGHT, EDWIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	32.16	0.00	32.16	90.0	28.94
09/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.83	0.00	5.83	90.0	5.25
09/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	33.04	0.00	33.04	90.0	29.74
09/01/2017	SCHLAKE, ELROY	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.09	0.00	4.09	90.0	3.68
09/01/2017	NUTTING, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	36.73	0.00	36.73	90.0	33.06
09/01/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.25	0.00	10.25	90.0	9.23
09/01/2017	KETTWICK, CAROLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.62	0.00	5.62	90.0	5.06
09/01/2017	REIDEL, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.59	0.00	2.59	90.0	2.33
09/01/2017	LOW, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.61	0.00	9.61	90.0	8.65
09/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.21	0.00	19.21	90.0	17.29
09/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.51	0.00	8.51	90.0	7.66
09/12/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	42.63	1.17	0.00	1.17	90.0	1.05
09/12/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	85.28	2.35	0.00	2.35	90.0	2.12
09/15/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
09/01/2017	LEONARD, GARY	AM FUNDS INCOME FUND OF AMERICA-529	0.00	11.43	0.00	11.43	90.0	10.29
09/01/2017	JOHNSON, DONNA	AM FUNDS INCOME PORTFOLIO A	0.00	2.56	0.00	2.56	90.0	2.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS INTL GROWTH AND INCOME A	0.00	63.44	0.00	63.44	90.0	57.10
09/01/2017	BRUNS, MARCUS	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.28	0.00	5.28	90.0	4.75
09/01/2017	KESSLER, JUDITH	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.90	0.00	7.90	90.0	7.11
09/01/2017	KESSLER, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	28.71	0.00	28.71	90.0	25.84
09/01/2017	LEONARD TRUST, REA	AM FUNDS INTL GROWTH AND INCOME A	0.00	85.18	0.00	85.18	90.0	76.66
09/01/2017	VENTEICHER, DEBRA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.55	0.00	1.55	90.0	1.40
09/01/2017	KETTWICK, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	24.89	0.00	24.89	90.0	22.40
09/01/2017	PRICE, TERESA	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.86	0.00	5.86	90.0	5.27
09/01/2017	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	0.00	30.49	0.00	30.49	90.0	27.44
09/01/2017	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	16.27	0.00	16.27	90.0	14.64
09/01/2017	BROWN, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.87	0.00	2.87	90.0	2.58
09/01/2017	VANDERWILT, TRISHA	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.67	0.00	6.67	90.0	6.00
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.98	0.00	8.98	90.0	8.08
09/01/2017	TORNQUIST, SCOTT	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.48	0.00	7.48	90.0	6.73
09/01/2017	BURNSIDE, KELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	12.11	0.00	12.11	90.0	10.90
09/01/2017	LINDBORG, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.46	0.00	4.46	90.0	4.01
09/01/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	16.36	0.00	16.36	90.0	14.72
09/01/2017	GROSS, JUDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.77	0.00	3.77	90.0	3.39
09/01/2017	JASS, ARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.51	0.00	4.51	90.0	4.06
09/01/2017	LOW, ANN	AM FUNDS INTL GROWTH AND INCOME A	0.00	9.44	0.00	9.44	90.0	8.50
09/01/2017	DOANE, ELDON	AM FUNDS NEW ECONOMY A	0.00	24.95	0.00	24.95	90.0	22.46
09/01/2017	O'CONNELL, LANE	AM FUNDS NEW ECONOMY A	0.00	27.34	0.00	27.34	90.0	24.61
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW ECONOMY A	0.00	20.12	0.00	20.12	90.0	18.11
09/01/2017	AUDINO, JAMES	AM FUNDS NEW ECONOMY A	0.00	20.19	0.00	20.19	90.0	18.17
09/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW ECONOMY A	0.00	20.11	0.00	20.11	90.0	18.10
09/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	15.83	0.00	15.83	90.0	14.25
09/01/2017	KESSLER, JUDITH	AM FUNDS NEW ECONOMY A	0.00	26.53	0.00	26.53	90.0	23.88
09/01/2017	KESSLER, ROBERT	AM FUNDS NEW ECONOMY A	0.00	20.34	0.00	20.34	90.0	18.31
09/01/2017	LEONARD TRUST, REA	AM FUNDS NEW ECONOMY A	0.00	26.53	0.00	26.53	90.0	23.88
09/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW ECONOMY A	0.00	2.73	0.00	2.73	90.0	2.46
09/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	16.33	0.00	16.33	90.0	14.70
09/01/2017	JASS, ARLENE	AM FUNDS NEW ECONOMY A	0.00	8.32	0.00	8.32	90.0	7.49
09/01/2017	LEONARD, GARY	AM FUNDS NEW PERSPECTIVE 529A	0.00	20.18	0.00	20.18	90.0	18.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	HEMER, JOE	AM FUNDS NEW PERSPECTIVE A	0.00	65.40	0.00	65.40	90.0	58.86
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW PERSPECTIVE A	0.00	88.20	0.00	88.20	90.0	79.38
09/01/2017	DOANE, ELDON	AM FUNDS NEW PERSPECTIVE A	0.00	25.10	0.00	25.10	90.0	22.59
09/01/2017	DOANE, LAVONNE	AM FUNDS NEW PERSPECTIVE A	0.00	32.24	0.00	32.24	90.0	29.02
09/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	11.48	0.00	11.48	90.0	10.33
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW PERSPECTIVE A	0.00	56.25	0.00	56.25	90.0	50.63
09/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	39.71	0.00	39.71	90.0	35.74
09/01/2017	WEBER, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	46.61	0.00	46.61	90.0	41.95
09/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	9.40	0.00	9.40	90.0	8.46
09/01/2017	MATHISON, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	13.89	0.00	13.89	90.0	12.50
09/01/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	4.24	0.00	4.24	90.0	3.82
09/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW PERSPECTIVE A	0.00	22.16	0.00	22.16	90.0	19.94
09/01/2017	GOCHE, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	47.44	0.00	47.44	90.0	42.70
09/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	6.99	0.00	6.99	90.0	6.29
09/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	7.87	0.00	7.87	90.0	7.08
09/01/2017	HANSON, CARRIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.61	0.00	2.61	90.0	2.35
09/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	4.31	0.00	4.31	90.0	3.88
09/01/2017	MAKI, LINDA	AM FUNDS NEW PERSPECTIVE A	0.00	5.46	0.00	5.46	90.0	4.91
09/01/2017	GOCHE, STEVE	AM FUNDS NEW PERSPECTIVE A	0.00	10.35	0.00	10.35	90.0	9.32
09/01/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	22.34	0.00	22.34	90.0	20.11
09/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.25	0.00	3.25	90.0	2.93
09/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	1.18	0.00	1.18	90.0	1.06
09/01/2017	KESSLER, JUDITH	AM FUNDS NEW PERSPECTIVE A	0.00	35.12	0.00	35.12	90.0	31.61
09/01/2017	KESSLER, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	41.27	0.00	41.27	90.0	37.14
09/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	4.40	0.00	4.40	90.0	3.96
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	7.08	0.00	7.08	90.0	6.37
09/01/2017	LEONARD TRUST, REA	AM FUNDS NEW PERSPECTIVE A	0.00	160.72	0.00	160.72	90.0	144.65
09/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	0.04	0.00	0.04	90.0	0.04
09/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	8.22	0.00	8.22	90.0	7.40
09/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	62.42	0.00	62.42	90.0	56.18
09/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	6.93	0.00	6.93	90.0	6.24
09/01/2017	PRICE, TERESA	AM FUNDS NEW PERSPECTIVE A	0.00	20.47	0.00	20.47	90.0	18.42
09/01/2017	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	0.00	37.77	0.00	37.77	90.0	33.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	MEINDERS, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	10.16	0.00	10.16	90.0	9.14
09/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	0.00	12.36	0.00	12.36	90.0	11.12
09/01/2017	NUTTING, STUART	AM FUNDS NEW PERSPECTIVE A	0.00	4.78	0.00	4.78	90.0	4.30
09/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	9.89	0.00	9.89	90.0	8.90
09/01/2017	HOLLEY, CASEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.67
09/01/2017	GERZEMA, MARLENE	AM FUNDS NEW PERSPECTIVE A	0.00	21.48	0.00	21.48	90.0	19.33
09/01/2017	SCHLAKE, ELROY	AM FUNDS NEW PERSPECTIVE A	0.00	11.11	0.00	11.11	90.0	10.00
09/01/2017	MCCLEES, MARTIN	AM FUNDS NEW PERSPECTIVE A	0.00	2.91	0.00	2.91	90.0	2.62
09/01/2017	NUTTING, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	38.43	0.00	38.43	90.0	34.59
09/01/2017	BROWN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	1.85	0.00	1.85	90.0	1.67
09/01/2017	KETTWICK, TROY	AM FUNDS NEW PERSPECTIVE A	0.00	3.81	0.00	3.81	90.0	3.43
09/01/2017	KETTWICK, CAROLE	AM FUNDS NEW PERSPECTIVE A	0.00	6.04	0.00	6.04	90.0	5.44
09/01/2017	NUTTING, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	0.63	0.00	0.63	90.0	0.57
09/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	9.60	0.00	9.60	90.0	8.64
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	11.23	0.00	11.23	90.0	10.11
09/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	4.20	0.00	4.20	90.0	3.78
09/01/2017	CAMPBELL, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	29.92	0.00	29.92	90.0	26.93
09/01/2017	HEMER, EILEEN	AM FUNDS NEW PERSPECTIVE A	0.00	23.97	0.00	23.97	90.0	21.57
09/01/2017	UNDERWOOD, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	3.38	0.00	3.38	90.0	3.04
09/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	2.87	0.00	2.87	90.0	2.58
09/01/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	19.51	0.00	19.51	90.0	17.56
09/01/2017	GROSS, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	11.52	0.00	11.52	90.0	10.37
09/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	15.43	0.00	15.43	90.0	13.89
09/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	8.56	0.00	8.56	90.0	7.70
09/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	19.87	0.00	19.87	90.0	17.88
09/18/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
09/01/2017	HEMER, JOE	AM FUNDS NEW WORLD A	0.00	20.47	0.00	20.47	90.0	18.42
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS NEW WORLD A	0.00	5.42	0.00	5.42	90.0	4.88
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW WORLD A	0.00	75.22	0.00	75.22	90.0	67.70
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW WORLD A	0.00	44.88	0.00	44.88	90.0	40.39
09/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	12.60	0.00	12.60	90.0	11.34
09/01/2017	AUDINO, JAMES	AM FUNDS NEW WORLD A	0.00	5.02	0.00	5.02	90.0	4.52
09/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	5.22	0.00	5.22	90.0	4.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW WORLD A	0.00	18.02	0.00	18.02	90.0	16.22
09/01/2017	GOCHE, ANN	AM FUNDS NEW WORLD A	0.00	7.60	0.00	7.60	90.0	6.84
09/01/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	0.00	11.33	0.00	11.33	90.0	10.20
09/01/2017	SCHLICHTING, STEVE	AM FUNDS NEW WORLD A	0.00	5.23	0.00	5.23	90.0	4.71
09/01/2017	GOCHE, STEVE	AM FUNDS NEW WORLD A	0.00	12.36	0.00	12.36	90.0	11.12
09/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	0.00	21.04	0.00	21.04	90.0	18.94
09/01/2017	KESSLER, ROBERT	AM FUNDS NEW WORLD A	0.00	20.41	0.00	20.41	90.0	18.37
09/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW WORLD A	0.00	6.37	0.00	6.37	90.0	5.73
09/01/2017	GRIFFITT, KENNETH	AM FUNDS NEW WORLD A	0.00	12.34	0.00	12.34	90.0	11.11
09/01/2017	LEONARD TRUST, REA	AM FUNDS NEW WORLD A	0.00	101.24	0.00	101.24	90.0	91.12
09/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW WORLD A	0.00	4.02	0.00	4.02	90.0	3.62
09/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW WORLD A	0.00	37.81	0.00	37.81	90.0	34.03
09/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW WORLD A	0.00	2.28	0.00	2.28	90.0	2.05
09/01/2017	PRICE, TERESA	AM FUNDS NEW WORLD A	0.00	19.40	0.00	19.40	90.0	17.46
09/01/2017	MEINDERS, DAWN	AM FUNDS NEW WORLD A	0.00	7.37	0.00	7.37	90.0	6.63
09/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW WORLD A	0.00	12.63	0.00	12.63	90.0	11.37
09/01/2017	SCHLAKE, ELROY	AM FUNDS NEW WORLD A	0.00	7.83	0.00	7.83	90.0	7.05
09/01/2017	BRUNS, JOLENE	AM FUNDS NEW WORLD A	0.00	8.11	0.00	8.11	90.0	7.30
09/01/2017	NUTTING, MARY	AM FUNDS NEW WORLD A	0.00	18.18	0.00	18.18	90.0	16.36
09/01/2017	BROWN, LISA	AM FUNDS NEW WORLD A	0.00	8.66	0.00	8.66	90.0	7.79
09/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW WORLD A	0.00	6.73	0.00	6.73	90.0	6.06
09/01/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	0.00	12.35	0.00	12.35	90.0	11.12
09/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW WORLD A	0.00	6.92	0.00	6.92	90.0	6.23
09/01/2017	TORNQUIST, SCOTT	AM FUNDS NEW WORLD A	0.00	7.61	0.00	7.61	90.0	6.85
09/01/2017	BURNSIDE, KELLY	AM FUNDS NEW WORLD A	0.00	12.15	0.00	12.15	90.0	10.94
09/01/2017	CAMPBELL, DENISE	AM FUNDS NEW WORLD A	0.00	12.80	0.00	12.80	90.0	11.52
09/01/2017	HEMER, EILEEN	AM FUNDS NEW WORLD A	0.00	17.14	0.00	17.14	90.0	15.43
09/01/2017	LINDEMANN, ROBIN	AM FUNDS NEW WORLD A	0.00	5.89	0.00	5.89	90.0	5.30
09/01/2017	UNDERWOOD, RONALD	AM FUNDS NEW WORLD A	0.00	2.54	0.00	2.54	90.0	2.29
09/01/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	0.00	23.71	0.00	23.71	90.0	21.34
09/01/2017	LEONARD, ISSAC	AM FUNDS NEW WORLD A	0.00	9.59	0.00	9.59	90.0	8.63
09/01/2017	LEONARD, CARA	AM FUNDS NEW WORLD A	0.00	6.07	0.00	6.07	90.0	5.46
09/01/2017	KONEFES, ALISON	AM FUNDS NEW WORLD A	0.00	2.71	0.00	2.71	90.0	2.44

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	GROSS, ALISON	AM FUNDS NEW WORLD A	0.00	9.64	0.00	9.64	90.0	8.68
09/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	10.54	0.00	10.54	90.0	9.49
09/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	6.16	0.00	6.16	90.0	5.54
09/11/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
09/18/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
09/01/2017	HEMER, JOE	AM FUNDS SMALLCAP WORLD A	0.00	43.30	0.00	43.30	90.0	38.97
09/01/2017	DOANE TRUST, LAVONNE	AM FUNDS SMALLCAP WORLD A	0.00	3.46	0.00	3.46	90.0	3.11
09/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS SMALLCAP WORLD A	0.00	63.28	0.00	63.28	90.0	56.95
09/01/2017	DOANE, ELDON	AM FUNDS SMALLCAP WORLD A	0.00	24.52	0.00	24.52	90.0	22.07
09/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	34.08	0.00	34.08	90.0	30.67
09/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	11.07	0.00	11.07	90.0	9.96
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS SMALLCAP WORLD A	0.00	27.24	0.00	27.24	90.0	24.52
09/01/2017	AUDINO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	17.89	0.00	17.89	90.0	16.10
09/01/2017	SCHMIT, MARILYN	AM FUNDS SMALLCAP WORLD A	0.00	36.19	0.00	36.19	90.0	32.57
09/01/2017	WEBER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	5.85	0.00	5.85	90.0	5.27
09/01/2017	BRUNS, MARCUS	AM FUNDS SMALLCAP WORLD A	0.00	4.72	0.00	4.72	90.0	4.25
09/01/2017	MATHISON, KAREN	AM FUNDS SMALLCAP WORLD A	0.00	9.18	0.00	9.18	90.0	8.26
09/01/2017	SCHLICHTING, LAURA	AM FUNDS SMALLCAP WORLD A	0.00	20.37	0.00	20.37	90.0	18.33
09/01/2017	GOCHE, ANN	AM FUNDS SMALLCAP WORLD A	0.00	45.68	0.00	45.68	90.0	41.11
09/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.89	0.00	2.89	90.0	2.60
09/01/2017	GOCHE, STEVE	AM FUNDS SMALLCAP WORLD A	0.00	13.59	0.00	13.59	90.0	12.23
09/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.51	0.00	2.51	90.0	2.26
09/01/2017	KESSLER, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	24.62	0.00	24.62	90.0	22.16
09/01/2017	LEONARD TRUST, REA	AM FUNDS SMALLCAP WORLD A	0.00	70.09	0.00	70.09	90.0	63.08
09/01/2017	VENTEICHER, DEBRA	AM FUNDS SMALLCAP WORLD A	0.00	5.78	0.00	5.78	90.0	5.20
09/01/2017	KETTWICK, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	31.15	0.00	31.15	90.0	28.04
09/01/2017	HOLLEY, CASEY	AM FUNDS SMALLCAP WORLD A	0.00	0.74	0.00	0.74	90.0	0.67
09/01/2017	SCHROEDER, PHILIP	AM FUNDS SMALLCAP WORLD A	0.00	13.85	0.00	13.85	90.0	12.47
09/01/2017	NUTTING, MARY	AM FUNDS SMALLCAP WORLD A	0.00	21.49	0.00	21.49	90.0	19.34
09/01/2017	ROUSE, DALE	AM FUNDS SMALLCAP WORLD A	0.00	4.87	0.00	4.87	90.0	4.38
09/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	26.12	0.00	26.12	90.0	23.51
09/01/2017	REIDEL, DENNIS	AM FUNDS SMALLCAP WORLD A	0.00	9.58	0.00	9.58	90.0	8.62
09/01/2017	BURNSIDE, KELLY	AM FUNDS SMALLCAP WORLD A	0.00	15.79	0.00	15.79	90.0	14.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	CAMPBELL, DENISE	AM FUNDS SMALLCAP WORLD A	0.00	19.61	0.00	19.61	90.0	17.65
09/01/2017	HEMER, EILEEN	AM FUNDS SMALLCAP WORLD A	0.00	30.47	0.00	30.47	90.0	27.42
09/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	3.28	0.00	3.28	90.0	2.95
09/01/2017	MOKLESTAD, NANCY	AM FUNDS SMALLCAP WORLD A	0.00	2.75	0.00	2.75	90.0	2.48
09/01/2017	GROSS, ALISON	AM FUNDS SMALLCAP WORLD A	0.00	11.34	0.00	11.34	90.0	10.21
09/11/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
09/01/2017	HAGEN, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	29.47	0.00	29.47	90.0	26.52
09/01/2017	DOANE, ELDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.96	0.00	30.96	90.0	27.86
09/01/2017	O'CONNELL, RUSSELL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	22.47	0.00	22.47	90.0	20.22
09/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.02	0.00	30.02	90.0	27.02
09/01/2017	JOHNSON, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.75	0.00	15.75	90.0	14.18
09/01/2017	JOHNSON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.07	0.00	4.07	90.0	3.66
09/01/2017	VENTEICHER, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.63	0.00	3.63	90.0	3.27
09/01/2017	KETTWICK, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.71	0.00	7.71	90.0	6.94
09/01/2017	ALBERT, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.05	0.00	14.05	90.0	12.65
09/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.00	0.00	14.00	90.0	12.60
09/01/2017	AUDINO, JAMES	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.20	0.00	6.20	90.0	5.58
09/01/2017	NUTTING, MARY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	29.60	0.00	29.60	90.0	26.64
09/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.07	0.00	9.07	90.0	8.16
09/01/2017	ENGBRETSON, ROGER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	41.81	0.00	41.81	90.0	37.63
09/01/2017	ENGBRETSON, LINDA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.63	0.00	9.63	90.0	8.67
09/01/2017	UNDERWOOD, RONALD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.18	0.00	9.18	90.0	8.26
09/01/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.60	0.00	2.60	90.0	2.34
09/01/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	5.48	0.00	5.48	90.0	4.93
09/01/2017	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.06	0.00	6.06	90.0	5.45
09/01/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.07	0.00	4.07	90.0	3.66
09/01/2017	MEYER, TRAVIS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.33	0.00	1.33	90.0	1.20
09/01/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	8.75	0.00	8.75	90.0	7.88
09/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.63	0.00	3.63	90.0	3.27
09/01/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.40	0.00	9.40	90.0	8.46
09/01/2017	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.54	0.00	0.54	90.0	0.49
09/01/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.93	0.00	0.93	90.0	0.84
09/01/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.70	0.00	0.70	90.0	0.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
09/01/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.26	0.00	2.26	90.0	2.03
09/01/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.66	0.00	0.66	90.0	0.59
09/01/2017	KEHN, LEVI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.09	0.00	4.09	90.0	3.68
09/11/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	6.48	0.18	0.00	0.18	90.0	0.16
09/11/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	6.48	0.18	0.00	0.18	90.0	0.16
09/11/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	34.59	0.95	0.00	0.95	90.0	0.86
09/11/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	69.19	1.90	0.00	1.90	90.0	1.71
09/11/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	65.03	1.79	0.00	1.79	90.0	1.61
09/11/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	39.02	1.07	0.00	1.07	90.0	0.96
09/12/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
09/12/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
09/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	6.16	0.00	6.16	90.0	5.54
09/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	2.64	0.00	2.64	90.0	2.38
09/18/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	15.84	0.44	0.00	0.44	90.0	0.40
09/18/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	15.84	0.44	0.00	0.44	90.0	0.40
09/18/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	57.81	1.59	0.00	1.59	90.0	1.43
09/18/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	28.91	0.80	0.00	0.80	90.0	0.72
09/18/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.78	1.48	0.00	1.48	90.0	1.33
09/18/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	32.27	0.89	0.00	0.89	90.0	0.80
09/01/2017	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	2.43	0.00	2.43	90.0	2.19
09/01/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	45.68	0.00	45.68	90.0	41.11
09/01/2017	MEINDERS, DAWN	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.72	0.00	5.72	90.0	5.15
09/01/2017	SCHLAKE, ELROY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.72	0.00	5.72	90.0	5.15
09/01/2017	NUTTING, MARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	12.21	0.00	12.21	90.0	10.99
09/01/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	28.28	0.00	28.28	90.0	25.45
09/01/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	3.38	0.00	3.38	90.0	3.04
09/01/2017	LEONARD, ISSAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	8.55	0.00	8.55	90.0	7.70
09/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.47	0.00	5.47	90.0	4.92
09/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.68	0.00	6.68	90.0	6.01
09/01/2017	LEONARD, ISAAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.33	0.00	5.33	90.0	4.80
09/01/2017	GROSS, ALISON	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	8.72	0.00	8.72	90.0	7.85
09/11/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
* MUTUAL FUNDS SUBTOTAL *			\$5,638.93	\$10,724.93	\$0.00	\$10,724.93		\$9,652.79

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BROKER TRANSACTIONS								
PRODUCT CATEGORY: STOCKS								
09/13/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	-23,301.25	50.00	22.50	27.50	90.0	24.75
09/13/2017	KOSS-WINN BANC ESOP	AMAZON COM INC	-24,866.75	50.00	22.50	27.50	90.0	24.75
09/13/2017	KOSS-WINN BANC ESOP	BITCOIN SVCS INC COM	3,150.00	50.00	27.00	23.00	90.0	20.70
09/13/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	2,904.00	50.00	27.00	23.00	90.0	20.70
09/13/2017	KOSS-WINN BANC ESOP	GLOBAL ARENA HLDG INC COM	4,100.00	50.00	27.00	23.00	90.0	20.70
* STOCKS SUBTOTAL *			\$-38,014.00	\$250.00	\$126.00	\$124.00		\$111.60
*** BROKER TRANSACTIONS TOTAL ***			\$222,624.93	\$28,574.93	\$126.00	\$28,448.93		\$25,604.39

BROKER COMMISSION TOTALS

Broker Transactions	\$25,604.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-41.46
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25,562.93
Year-to-date Gross	\$309,530.92
Year-to-date Earnings	\$276,235.42

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