

# STATEMENT

## February 20, 2018

**NORM PIETTE**  
2911 Su Siempre Place  
SUITE 620  
Escondido, CA 92025

**BROKER #: 143**  
**BRANCH:**

### COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

01/02/2018	#00088670069	AMCAP FUND INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
01/02/2018	#00067617189	MERRILL, TODD GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
01/02/2018	#00082724941	LAKE, JOSHUA GROWTH FUND AMERICA INC CLASS A	50.00	1.00	93.0	0.00	0.93	0.00	0.93
01/05/2018	#01440268678	AZCONA, VALERIE FA 529 PORT 2025-CL A	50.00	2.50	93.0	0.00	2.33	0.00	2.33
01/11/2018	#00088670069	AMCAP FUND INC CLASS A	100.00	2.00	93.0	0.00	1.86	0.00	1.86
01/11/2018	#00067617189	MERRILL, TODD GROWTH FUND AMERICA INC CLASS A	150.00	3.00	93.0	0.00	2.79	0.00	2.79
01/11/2018	#00082724941	LAKE, JOSHUA GROWTH FUND AMERICA INC CLASS A	50.00	1.00	93.0	0.00	0.93	0.00	0.93
01/16/2018	#00086988893	S TRUST CO R/O AMCAP FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
01/16/2018	#00086988893	S TRUST CO R/O CAPITAL WORLD BOND FUND-529A	50.00	1.38	93.0	0.00	1.28	0.00	1.28
01/16/2018	#01457799623	ROHMER, STEVEN FIDELITY ADV NEW INSIGHTS FD CL T	150.00	4.50	93.0	0.00	4.19	0.00	4.19
01/16/2018	#01479116327	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	225.00	8.44	93.0	0.00	7.85	0.00	7.85
01/18/2018	#01475349922	SMITH, JOSH FIDELITY ADV INDUSTRIALS-CL C	100.00	1.00	93.0	0.00	0.93	0.00	0.93
01/23/2018	#01425568712	MERRILL, TODD FIDELITY ADV NEW INSIGHTS-CL A	350.00	9.63	93.0	0.00	8.96	0.00	8.96
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,575.00</b>	<b>\$40.83</b>		<b>\$0.00</b>	<b>\$37.98</b>	<b>\$0.00</b>	<b>\$37.98</b>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	#1014333661	WESTFALL, TERRI PERSP II 09/12	60,749.25	25.31	93.0	0.00	23.54	0.00	23.54
01/31/2018	#1014333661	WESTFALL, TERRI PERSP II 09/12	62,208.00	25.92	93.0	0.00	24.11	0.00	24.11
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$122,957.25</b>	<b>\$51.23</b>		<b>\$0.00</b>	<b>\$47.65</b>	<b>\$0.00</b>	<b>\$47.65</b>

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018	#000060175940176	BOSSALLER, FRANKLIN GROWTH FUND - CLASS A	0.00	29.41	93.0	0.00	27.35	0.00	27.35
01/31/2018	#000060176499459	BOSSALLER, FRANKLIN GROWTH FUND - CLASS A	0.00	30.84	93.0	0.00	28.68	0.00	28.68
01/31/2018	#000060177808167	BOSSALLER, FRANKLIN GROWTH FUND - CLASS A	0.00	4.43	93.0	0.00	4.12	0.00	4.12
01/31/2018	#000090229344659	BOSSALLER, FRANKLIN INCOME SERIES CL A	0.00	24.30	93.0	0.00	22.60	0.00	22.60
01/31/2018	#000090238971938	FRANKLIN INCOME SERIES CL A	0.00	8.69	93.0	0.00	8.08	0.00	8.08
01/31/2018	#000013813255606	BOSSALLER, FRANKLIN INVESTORS SECS TR ADJUSTAB	0.00	6.21	93.0	0.00	5.78	0.00	5.78
01/31/2018	#000000000029001	BOSSALLER, FRANKLIN INVS SECS TR ADJ U S GOVT	0.00	5.90	93.0	0.00	5.49	0.00	5.49
01/31/2018	#000000000149132	BOSSALLER, FRANKLIN REAL RETURN FUND - CL A	0.00	22.08	93.0	0.00	20.53	0.00	20.53

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/31/2018	#000028211733364	BOSSALLER, FRANKLIN SMALL CAP VALUE FUND CLASS	0.00	17.80	93.0	0.00	16.55	0.00	16.55
01/31/2018	#000019412073456	BOSSALLER, FRANKLIN STRATEGIC INCOME FUND - CL	0.00	13.09	93.0	0.00	12.17	0.00	12.17
01/31/2018	#000019412360962	JACOBS, FRANKLIN STRATEGIC INCOME FUND - CL	0.00	4.89	93.0	0.00	4.55	0.00	4.55
01/31/2018	#000019414022018	BOSSALLER, FRANKLIN STRATEGIC INCOME FUND - CL	0.00	3.68	93.0	0.00	3.42	0.00	3.42
01/31/2018	#000010186224112	BOSSALLER, FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	2.27	93.0	0.00	2.11	0.00	2.11
01/31/2018	#000010186796786	BOSSALLER, FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	2.42	93.0	0.00	2.25	0.00	2.25
01/31/2018	#0000000001383254	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	5.30	93.0	0.00	4.93	0.00	4.93
01/31/2018	#0000000004892877	BOSSALLER, MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	30.51	93.0	0.00	28.37	0.00	28.37
01/31/2018	#0000000005720937	MUTUAL GLOBAL DISCOVERY FUND CL A	0.00	4.65	93.0	0.00	4.32	0.00	4.32
01/31/2018	#0000000002261067	BOSSALLER, MUTUAL SERIES FUND INC BEACON FUND	0.00	33.06	93.0	0.00	30.75	0.00	30.75
01/31/2018	#0000000002719627	MUTUAL SERIES FUND INC BEACON FUND	0.00	14.79	93.0	0.00	13.75	0.00	13.75
02/07/2018	#01440268678	AZCONA, VALERIE FA 529 PORT 2025-CL A	0.00	1.90	93.0	0.00	1.77	0.00	1.77
02/07/2018	#01468237324	VARIOUS PIETTE FA EQUITY INCOME FUND	0.00	0.22	93.0	0.00	0.20	0.00	0.20
02/07/2018	#01430481000	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	8.96	93.0	0.00	8.33	0.00	8.33
02/07/2018	#01436951972	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	1.37	93.0	0.00	1.27	0.00	1.27
02/07/2018	#01436958837	VARIOUS PIETTE FA FOREIGN LARGE GROWTH	0.00	0.70	93.0	0.00	0.65	0.00	0.65
02/07/2018	#01468200199	VARIOUS PIETTE FA INTERNATIONAL GROWTH FUND - CLAS	0.00	1.63	93.0	0.00	1.52	0.00	1.52
02/07/2018	#01430481000	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	5.93	93.0	0.00	5.51	0.00	5.51
02/07/2018	#01436951972	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	1.64	93.0	0.00	1.53	0.00	1.53
02/07/2018	#01436958837	VARIOUS PIETTE FA INTERNATIONAL SMALL CAP FUND	0.00	0.82	93.0	0.00	0.76	0.00	0.76
02/07/2018	#01447744085	VARIOUS PIETTE FA SHORT TERM BOND FUND	0.00	0.38	93.0	0.00	0.35	0.00	0.35
02/07/2018	#01471524056	VARIOUS PIETTE FA SHORT TERM BOND FUND	0.00	0.37	93.0	0.00	0.34	0.00	0.34
02/07/2018	#01447744085	VARIOUS PIETTE FID INSTITUTIONAL ASSET MANAGEMENT	0.00	4.05	93.0	0.00	3.77	0.00	3.77
02/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 20 PERCE	0.00	7.27	93.0	0.00	6.76	0.00	6.76
02/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.94	93.0	0.00	0.87	0.00	0.87
02/07/2018	#01485567885	FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.94	93.0	0.00	0.87	0.00	0.87
02/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 30 PERCE	0.00	0.93	93.0	0.00	0.86	0.00	0.86
02/07/2018	#01425568647	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	20.52	93.0	0.00	19.08	0.00	19.08
02/07/2018	#01425568712	MERRILL, TODD FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	9.38	93.0	0.00	8.72	0.00	8.72
02/07/2018	#01425568902	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.36	93.0	0.00	9.63	0.00	9.63
02/07/2018	#01425569215	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	5.38	93.0	0.00	5.00	0.00	5.00
02/07/2018	#01435869704	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	4.70	93.0	0.00	4.37	0.00	4.37
02/07/2018	#01435869704	VARIOUS PIETTE FIDELITY ADV ASSET MANAGER 85 PERCE	0.00	0.75	93.0	0.00	0.70	0.00	0.70
02/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	12.79	93.0	0.00	11.89	0.00	11.89
02/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	5.28	93.0	0.00	4.91	0.00	4.91
02/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV CONSUMER STAPLES-CL A	0.00	2.65	93.0	0.00	2.46	0.00	2.46

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.53	93.0	0.00	0.49	0.49
02/07/2018	#01485567885		FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.53	93.0	0.00	0.49	0.49
02/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY ADV CONSUMER STAPLES-CL A	0.00	0.52	93.0	0.00	0.48	0.48
02/07/2018	#01475316616	VARIOUS PIETTE	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.20	93.0	0.00	1.12	1.12
02/07/2018	#01475316640	PIETTE, SHARON	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.20	93.0	0.00	1.12	1.12
02/07/2018	#01485567976	VARIOUS PIETTE	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	2.43	93.0	0.00	2.26	2.26
02/07/2018	#01456252806	VARIOUS PIETTE	FIDELITY ADV EMERGING ASIA-CL A	0.00	0.06	93.0	0.00	0.06	0.06
02/07/2018	#01456252848	VARIOUS PIETTE	FIDELITY ADV EMERGING ASIA-CL A	0.00	0.10	93.0	0.00	0.09	0.09
02/07/2018	#01450086176	VARIOUS PIETTE	FIDELITY ADV EMERGING MKTS-CL T	0.00	3.74	93.0	0.00	3.48	3.48
02/07/2018	#01430481000	VARIOUS PIETTE	FIDELITY ADV EQUITY INCOME-CL A	0.00	11.31	93.0	0.00	10.52	10.52
02/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.29	93.0	0.00	1.20	1.20
02/07/2018	#01485567885		FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.30	93.0	0.00	1.21	1.21
02/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	1.28	93.0	0.00	1.19	1.19
02/07/2018	#00701925208	VARIOUS PIETTE	FIDELITY ADV HEALTH CARE-CL A	0.00	17.21	93.0	0.00	16.01	16.01
02/07/2018	#01436951972	VARIOUS PIETTE	FIDELITY ADV HEALTH CARE-CL A	0.00	2.97	93.0	0.00	2.76	2.76
02/07/2018	#01436958837	VARIOUS PIETTE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.49	93.0	0.00	1.39	1.39
02/07/2018	#01475349922	SMITH, JOSH	FIDELITY ADV INDUSTRIALS-CL C	0.00	3.83	93.0	0.00	3.56	3.56
02/07/2018	#01436951972	VARIOUS PIETTE	FIDELITY ADV INFLATION PROTECTED BD	0.00	1.75	93.0	0.00	1.63	1.63
02/07/2018	#01436958837	VARIOUS PIETTE	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.88	93.0	0.00	0.82	0.82
02/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY ADV INFLATION PROTECTED BD	0.00	4.79	93.0	0.00	4.45	4.45
02/07/2018	#01485567885		FIDELITY ADV INFLATION PROTECTED BD	0.00	4.80	93.0	0.00	4.46	4.46
02/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY ADV INFLATION PROTECTED BD	0.00	4.74	93.0	0.00	4.41	4.41
02/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.61	93.0	0.00	0.57	0.57
02/07/2018	#01455708758	SKALKA, VINCENT	FIDELITY ADV INTL DISCOVERY-CL A	0.00	3.75	93.0	0.00	3.49	3.49
02/07/2018	#01456252806	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.05	93.0	0.00	0.05	0.05
02/07/2018	#01456252848	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.10	93.0	0.00	0.09	0.09
02/07/2018	#01475316616	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.16	93.0	0.00	0.15	0.15
02/07/2018	#01475316640	PIETTE, SHARON	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.16	93.0	0.00	0.15	0.15
02/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.28	93.0	0.00	1.19	1.19
02/07/2018	#01471524056	VARIOUS PIETTE	FIDELITY ADV INTL DISCOVERY-CL T	0.00	1.88	93.0	0.00	1.75	1.75
02/07/2018	#01450086176	VARIOUS PIETTE	FIDELITY ADV MATERIALS-CL T	0.00	2.39	93.0	0.00	2.22	2.22
02/07/2018	#01468200199	VARIOUS PIETTE	FIDELITY ADV MID CAP II-CL C	0.00	4.01	93.0	0.00	3.73	3.73
02/07/2018	#00701925208	VARIOUS PIETTE	FIDELITY ADV MID CAP-CL A	0.00	16.04	93.0	0.00	14.92	14.92
02/07/2018	#01468200199	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS CL C	0.00	4.26	93.0	0.00	3.96	3.96
02/07/2018	#01475366827	VARIOUS PIETTE	FIDELITY ADV NEW INSIGHTS CL C	0.00	5.67	93.0	0.00	5.27	5.27
02/07/2018	#01475366850	DELONCANTHF	FIDELITY ADV NEW INSIGHTS CL C	0.00	2.94	93.0	0.00	2.73	2.73

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.51	93.0	0.00	1.40	0.00	1.40
02/07/2018	#01447744085	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	1.78	93.0	0.00	1.66	0.00	1.66
02/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	9.61	93.0	0.00	8.94	0.00	8.94
02/07/2018	#01457799623	ROHMER, STEVEN FIDELITY ADV NEW INSIGHTS FD CL T	0.00	4.82	93.0	0.00	4.48	0.00	4.48
02/07/2018	#01471524056	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS FD CL T	0.00	4.27	93.0	0.00	3.97	0.00	3.97
02/07/2018	#00701925208	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.99	93.0	0.00	2.78	0.00	2.78
02/07/2018	#01425568712	MERRILL, TODD FIDELITY ADV NEW INSIGHTS-CL A	0.00	4.86	93.0	0.00	4.52	0.00	4.52
02/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	13.94	93.0	0.00	12.96	0.00	12.96
02/07/2018	#01442009617	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.94	93.0	0.00	0.87	0.00	0.87
02/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	0.00	10.94	93.0	0.00	10.17	0.00	10.17
02/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV NEW INSIGHTS-CL A	0.00	18.61	93.0	0.00	17.31	0.00	17.31
02/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
02/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.61	93.0	0.00	0.57	0.00	0.57
02/07/2018	#01479116327	JACOBS, DUSTIN FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.39	93.0	0.00	1.29	0.00	1.29
02/07/2018	#01485567794	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.98	93.0	0.00	0.91	0.00	0.91
02/07/2018	#01485567885	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.98	93.0	0.00	0.91	0.00	0.91
02/07/2018	#01485567935	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.97	93.0	0.00	0.90	0.00	0.90
02/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.39	93.0	0.00	2.22	0.00	2.22
02/07/2018	#01450086176	VARIOUS PIETTE FIDELITY ADV SMALL CAP-CL T	0.00	4.90	93.0	0.00	4.56	0.00	4.56
02/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	17.98	93.0	0.00	16.72	0.00	16.72
02/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	2.74	93.0	0.00	2.55	0.00	2.55
02/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.22	93.0	0.00	1.13	0.00	1.13
02/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADV TOTAL BOND-CL A	0.00	8.20	93.0	0.00	7.63	0.00	7.63
02/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	0.14	93.0	0.00	0.13	0.00	0.13
02/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
02/07/2018	#01485567976	VARIOUS PIETTE FIDELITY ADV TOTAL BOND-CL A	0.00	1.06	93.0	0.00	0.99	0.00	0.99
02/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR EMERGING MARKET FU	0.00	1.59	93.0	0.00	1.48	0.00	1.48
02/07/2018	#01468200199	VARIOUS PIETTE FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.74	93.0	0.00	1.62	0.00	1.62
02/07/2018	#01436951972	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	3.17	93.0	0.00	2.95	0.00	2.95
02/07/2018	#01436958837	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	1.59	93.0	0.00	1.48	0.00	1.48
02/07/2018	#01455708758	SKALKA, VINCENT FIDELITY ADVISOR SER 1	0.00	5.51	93.0	0.00	5.12	0.00	5.12
02/07/2018	#01456252806	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.11	93.0	0.00	0.10	0.00	0.10
02/07/2018	#01456252848	VARIOUS PIETTE FIDELITY ADVISOR SER 1	0.00	0.20	93.0	0.00	0.19	0.00	0.19
02/07/2018	#01430481000	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	12.93	93.0	0.00	12.02	0.00	12.02
02/07/2018	#01455086924	JACOBS, DUSTIN FIDELITY ADVISOR SER II MID CAP II	0.00	3.24	93.0	0.00	3.01	0.00	3.01
02/07/2018	#01475316616	VARIOUS PIETTE FIDELITY ADVISOR SER II MID CAP II	0.00	0.89	93.0	0.00	0.83	0.00	0.83

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/07/2018	#01475316640	PIETTE, SHARON	FIDELITY ADVISOR SER II MID CAP II	0.00	0.89	93.0	0.00	0.83	0.83
02/07/2018	#01485567976	VARIOUS PIETTE	FIDELITY ADVISOR SER II MID CAP II	0.00	2.96	93.0	0.00	2.75	2.75
02/07/2018	#01430481000	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	6.16	93.0	0.00	5.73	5.73
02/07/2018	#01436951972	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	2.16	93.0	0.00	2.01	2.01
02/07/2018	#01436958837	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.08	93.0	0.00	1.00	1.00
02/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.43	93.0	0.00	0.40	0.40
02/07/2018	#01455086924	JACOBS, DUSTIN	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.04	93.0	0.00	3.76	3.76
02/07/2018	#01455708758	SKALKA, VINCENT	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	12.34	93.0	0.00	11.48	11.48
02/07/2018	#01456252806	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.27	93.0	0.00	0.25	0.25
02/07/2018	#01456252848	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.47	93.0	0.00	0.44	0.44
02/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.11	93.0	0.00	3.82	3.82
02/07/2018	#01485567885		FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.12	93.0	0.00	3.83	3.83
02/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	4.08	93.0	0.00	3.79	3.79
02/07/2018	#01442009617	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.45	93.0	0.00	0.42	0.42
02/07/2018	#01450086176	VARIOUS PIETTE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.81	93.0	0.00	1.68	1.68
02/07/2018	#01430481000	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	10.86	93.0	0.00	10.10	10.10
02/07/2018	#01475316616	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	2.93	93.0	0.00	2.72	2.72
02/07/2018	#01475316640	PIETTE, SHARON	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	2.93	93.0	0.00	2.72	2.72
02/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.97	93.0	0.00	1.83	1.83
02/07/2018	#01485567885		FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.97	93.0	0.00	1.83	1.83
02/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	1.95	93.0	0.00	1.81	1.81
02/07/2018	#01485567976	VARIOUS PIETTE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	6.98	93.0	0.00	6.49	6.49
02/07/2018	#01475316616	VARIOUS PIETTE	FIDELITY INVT TR ADVISOR EMRGING MK	0.00	0.16	93.0	0.00	0.15	0.15
02/07/2018	#01475316640	PIETTE, SHARON	FIDELITY INVT TR ADVISOR EMRGING MK	0.00	0.16	93.0	0.00	0.15	0.15
02/07/2018	#01485567794	VARIOUS PIETTE	FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.23	93.0	0.00	0.21	0.21
02/07/2018	#01485567885		FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.23	93.0	0.00	0.21	0.21
02/07/2018	#01485567935	VARIOUS PIETTE	FIDELITY INVT TR ADVISOR GBL COMMOD	0.00	0.23	93.0	0.00	0.21	0.21
02/07/2018	#01468598287	VARIOUS PIETTE	FIDELITY LEVERAGED COMPANY STOCK FU	0.00	0.29	93.0	0.00	0.27	0.27
02/07/2018	#01468237324	VARIOUS PIETTE	FIDELITY SMALL CAP BLEND	0.00	0.15	93.0	0.00	0.14	0.14
02/07/2018	#01436951972	VARIOUS PIETTE	TREASURY FUND - DAILY MONEY CLASS	0.00	3.18	93.0	0.00	2.96	2.96
02/07/2018	#01436958837	VARIOUS PIETTE	TREASURY FUND - DAILY MONEY CLASS	0.00	1.74	93.0	0.00	1.62	1.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$716.15		\$0.00	\$665.92	\$0.00	\$665.92
*** BROKER TRANSACTIONS TOTAL ***			\$124,532.25	\$808.21		\$0.00	\$751.55	\$0.00	\$751.55

COMMISSION STATEMENT for NORM PIETTE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$751.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$252.55
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