

## COMMISSION STATEMENT

November 30, 2017

WILLIAM DIPENICE  
110 SOUTH BUCKHOUT STREET

BROKER #: 74  
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/16/2017	75502052	DIPENICE, WILLIAM	VANGUARD SPECIALIZED PORTFOLIOS MET S	1,000.00	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,000.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

11/02/2017	75502048	FERRUZZI, KENNETH	CRITEO S A SPONSORED ADS	S	836.09	0.00	0.00	0.00	80.0	0.00
11/14/2017	75502048	FERRUZZI, KENNETH	NEVRO CORP COM	B	679.49	0.00	0.00	0.00	80.0	0.00
11/14/2017	75502048	FERRUZZI, KENNETH	SHIRE PLC AMERICAN DEPOSITARY SHARE S	S	1,946.16	0.00	0.00	0.00	80.0	0.00
11/15/2017	75502049	MORRIS, MICHAEL	UNITEDHEALTH GROUP INC	S	1,898.02	0.00	0.00	0.00	80.0	0.00
10/31/2017	75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A B		648.94	0.00	0.00	0.00	80.0	0.00
11/02/2017	75502050	DIPENICE, WILLIAM	CELGENE CORP	S	1,966.89	0.00	0.00	0.00	80.0	0.00
11/02/2017	75502050	DIPENICE, WILLIAM	STARBUCKS CORP	S	1,378.79	0.00	0.00	0.00	80.0	0.00
11/09/2017	75502050	DIPENICE, WILLIAM	EXPEDIA INC	S	2,465.64	0.00	0.00	0.00	80.0	0.00
11/09/2017	75502050	DIPENICE, WILLIAM	MARTIN MARIETTA MATLS INC	B	3,818.31	0.00	0.00	0.00	80.0	0.00
11/09/2017	75502050	DIPENICE, WILLIAM	PHILLIPS 66 COM	S	942.50	0.00	0.00	0.00	80.0	0.00
11/09/2017	75502050	DIPENICE, WILLIAM	ROYAL DUTCH SHELL PLC SPONSORED ADR S	S	1,524.68	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	ACTIVISION BLIZZARD INC	S	317.76	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	AIR PRODUCTS & CHEMICALS INC	S	485.26	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	AMERICAN TOWER CORPORATION REIT	S	440.22	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	AUTODESK INC	S	510.73	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	CHENIERE ENERGY INC COM	S	391.28	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	FACEBOOK INC CL A	S	359.66	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	MICROSOFT CORP	S	411.98	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	VERISK ANALYTICS INC COM	S	458.71	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502050	DIPENICE, WILLIAM	VISA INC CL A COMMON STOCK	S	441.04	0.00	0.00	0.00	80.0	0.00
11/02/2017	75502051	DIPENICE, NINA	CRITEO S A SPONSORED ADS	S	1,634.17	0.00	0.00	0.00	80.0	0.00
11/14/2017	75502051	DIPENICE, NINA	NEVRO CORP COM	B	1,207.97	0.00	0.00	0.00	80.0	0.00
11/14/2017	75502051	DIPENICE, NINA	SHIRE PLC AMERICAN DEPOSITARY SHARE S	S	3,892.32	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA	ABIOMED INC	B	193.69	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA	ACADIA HEALTHCARE CO INC COM	B	29.55	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA	ALEXION PHARMACEUTICALS INC	S	110.25	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA	ALPHABET INC CLASS C CAPITAL STOCK	B	1,020.95	0.00	0.00	0.00	80.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/17/2017	75502051	DIPENICE, NINA							
		AMETEK INC NEW	S	210.57	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		ANSYS INC	B	150.87	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		APPLE INC	S	850.45	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		BIOGEN INC	S	313.22	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		COGNIZANT TECHNOLOGY SOLUTIONS CORP B		73.17	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		COMCAST CORP CL A	S	145.09	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		COSTCO WHOLESALE CORP-NEW	S	170.93	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		ECOLAB INC	S	131.50	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		EOG RES INC	S	101.63	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		FACEBOOK INC CL A	S	717.40	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		FORTIVE CORPORATION COM	S	72.33	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		GLOBUS MEDICAL INC	S	146.82	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		INTERCONTINENTAL EXCHANGE INC	S	66.58	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		LKQ CORPORATION	S	37.74	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		MICROSOFT CORP	S	247.16	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		NEVRO CORP COM	B	80.63	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		PACIRA PHARMACEUTICALS INC	B	201.75	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		PEPSICO INC	S	114.86	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		ROSS STORES INC	S	935.61	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		SALESFORCE.COM INC	S	107.62	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		SNYDERS-LANCE INC COM	S	36.22	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		STRYKER CORP	S	155.66	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		TRANSDIGM GROUP INCORPORATED	B	263.79	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		ULTA SALON COSMETICS & FRAGRANCE IN S		428.84	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		UNITEDHEALTH GROUP INC	S	209.75	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		VF CORPORATION	S	70.79	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		VISA INC CL A COMMON STOCK	S	109.96	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		WASTE CONNECTIONS INC COM	S	139.03	0.00	0.00	0.00	80.0	0.00
11/17/2017	75502051	DIPENICE, NINA							
		XPO LOGISTICS INC COM	B	149.14	0.00	0.00	0.00	80.0	0.00
11/02/2017	75502083	MAISELL, ADRIANE							
		CRITEO S A SPONSORED ADS	S	760.08	0.00	0.00	0.00	80.0	0.00
11/14/2017	75502083	MAISELL, ADRIANE							
		NEVRO CORP COM	B	528.49	0.00	0.00	0.00	80.0	0.00
11/14/2017	75502083	MAISELL, ADRIANE							
		SHIRE PLC AMERICAN DEPOSITARY SHARE S		1,807.15	0.00	0.00	0.00	80.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$39,545.88</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$40,545.88</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

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ADJUSTMENTS

11/30/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
11/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-303.00
Year-to-date Earnings	\$108.20