



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

10/20/2017	TRAILS	-JOHN HANCOCK FUNDS	B	257.07	257.07	0.00	257.07	100.0	257.07
11/21/2017	TRAILS	-JOHN HANCOCK FUNDS	B	269.48	269.48	0.00	269.48	100.0	269.48
12/14/2017	TRAILS	-JOHN HANCOCK FUNDS	B	192.53	192.53	0.00	192.53	100.0	192.53
01/16/2018	TRAILS	-JOHN HANCOCK FUNDS	B	198.81	198.81	0.00	198.81	100.0	198.81
* MUTUAL FUNDS SUBTOTAL *				<u>\$917.89</u>	<u>\$917.89</u>	<u>\$0.00</u>	<u>\$917.89</u>		<u>\$917.89</u>

PRODUCT CATEGORY: RIA

01/12/2018	15626417	VILBIG, MOLLYE S	SIGNAL SECURITIES RIA	B	0.00	347.33	0.00	347.33	100.0	347.33
* RIA SUBTOTAL *					<u>\$0.00</u>	<u>\$347.33</u>	<u>\$0.00</u>	<u>\$347.33</u>		<u>\$347.33</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$917.89</u>	<u>\$1,265.22</u>	<u>\$0.00</u>	<u>\$1,265.22</u>		<u>\$1,265.22</u>

SPLIT TRANSACTIONS

BROKER: SINGLETON/POOL #9626

02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	394.46	0.00	394.46	100.0	394.46
* SINGLETON/POOL SUBTOTAL *				<u>\$0.00</u>	<u>\$394.46</u>	<u>\$0.00</u>	<u>\$394.46</u>		<u>\$394.46</u>

BROKER: ROTHSCHILD/POOL #9629

12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	115.17	46.07	0.00	46.07	100.0	46.07
12/29/2017	TRAILS	-PRUDENTIAL MUTUAL FUND	B	65.29	26.12	0.00	26.12	100.0	26.12
01/18/2018	00061397698	RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B	65.00	0.72	0.00	0.72	100.0	0.72
01/16/2018	00061957268	SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	1.10	0.00	1.10	100.0	1.10
01/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B	62.50	0.69	0.00	0.69	100.0	0.69
01/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B	62.50	0.69	0.00	0.69	100.0	0.69
01/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B	62.50	0.69	0.00	0.69	100.0	0.69
01/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B	62.50	0.69	0.00	0.69	100.0	0.69
01/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B	50.00	1.00	0.00	1.00	100.0	1.00
01/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.00	0.00	1.00	100.0	1.00
01/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.00	0.00	1.00	100.0	1.00
01/16/2018	00067724745	ANGELO,	AMERICAN FUNDS - GENERAL B	30.00	0.36	0.00	0.36	100.0	0.36

CONCORDIA/MILES

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ROTHSCHILD/POOL #9629</u>									
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.36	0.00	0.36	100.0	0.36
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	30.00	0.51	0.00	0.51	100.0	0.51
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	49.50	0.60	0.00	0.60	100.0	0.60
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	49.50	0.60	0.00	0.60	100.0	0.60
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		51.00	1.02	0.00	1.02	100.0	1.02
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		51.00	1.02	0.00	1.02	100.0	1.02
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	49.50	0.99	0.00	0.99	100.0	0.99
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	49.50	0.99	0.00	0.99	100.0	0.99
01/16/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B		250.00	1.60	0.00	1.60	100.0	1.60
01/16/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.85	0.00	0.85	100.0	0.85
01/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS BD FD OF AMER FD	B	50.00	0.60	0.00	0.60	100.0	0.60
01/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.00	0.00	1.00	100.0	1.00
01/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.00	0.00	1.00	100.0	1.00
* ROTHSCHILD/POOL SUBTOTAL *				\$1,645.46	\$92.29	\$0.00	\$92.29		\$92.29
<u>BROKER: WILLIAMS/HOUSE #9640</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3.46	1.38	0.00	1.38	100.0	1.38
* WILLIAMS/HOUSE SUBTOTAL *				\$3.46	\$1.38	\$0.00	\$1.38		\$1.38
*** SPLIT TRANSACTIONS TOTAL ***				\$1,648.92	\$488.13	\$0.00	\$488.13		\$488.13

VERRIDE TRANSACTIONS**BROKER: JUDE RAMON L. BARCENAS #9620**

12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	155.31	155.31	0.00	155.31		1.55
01/16/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	283.17	8.50	0.00	8.50		0.09
01/16/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44		0.01
01/16/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70		0.01
12/31/2017	TRAILS	-PUTNAM	B	19.63	19.63	0.00	19.63		0.20
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	487.84	487.84	0.00	487.84		4.88
01/12/2018	TRAILS	LINCOLN BENEFIT LIFE	B	8.85	8.85	0.00	8.85		0.09
01/24/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	27.73	27.73	0.00	27.73		0.28
01/10/2018	000000006329360 EVANGELISTA,	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50		0.01

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
	AURELIO/MONIQUE								
01/10/2018	000000006329360	EVANGELISTA, 529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50		0.01
	AURELIO/MONIQUE								
01/10/2018	000000006330997	EVANGELISTA, 529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75		0.01
	AURELIO/BEATRIC								
01/01/2018	00001418805	RUPERT, ALLEN AIM HIGH YIELD FUND - CLASS A	B	0.00	4.28	0.00	4.28		0.04
01/16/2018	00006634371	JOSE, ANICIA P. FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50		0.03
01/16/2018	00006634379	JOSE, ANTONIO C. FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50		0.03
01/10/2018	00059867817	AUSTRIA, FELIX AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50		0.03
01/10/2018	00060555032	JOSE, ANTONIO C. AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88		0.02
01/10/2018	00060555033	JOSE, ANICIA P. AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88		0.02
01/10/2018	00061616683	GERIO, EMMA B. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
01/10/2018	00061616683	GERIO, EMMA B. AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20		0.01
01/10/2018	00061616683	GERIO, EMMA B. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
01/10/2018	00061616683	GERIO, EMMA B. AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50		0.02
01/10/2018	00061616683	GERIO, EMMA B. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
01/10/2018	00061689866	JAVELOSA, SANDRA AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	8.50	0.00	8.50		0.09
	M.								
01/16/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88		0.02
	M.								
01/16/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88		0.02
	M.								
01/16/2018	00062049074	CALUNGSUD, KELLY AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50		0.02
	M.								
01/16/2018	00062049074	CALUNGSUD, KELLY NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88		0.02
	M.								
01/16/2018	00064071443	LABRADOR, AMERICAN FUNDS AMERICAN MUTUAL FD	B	20.00	1.00	0.00	1.00		0.01
	THERESA/DANIEL								
01/16/2018	00064071443	LABRADOR, AMERICAN FUNDS CAPITAL WORLD BD FD B		20.00	0.60	0.00	0.60		0.01
	THERESA/DANIEL								
01/16/2018	00064071443	LABRADOR, AMERICAN FUNDS HIGH INCOME TR FD	B	20.00	0.60	0.00	0.60		0.01
	THERESA/DANIEL								
01/16/2018	00064071443	LABRADOR, AMERICAN FUNDS INCOME FD OF AMERI B		20.00	1.00	0.00	1.00		0.01
	THERESA/DANIEL								
01/16/2018	00064071443	LABRADOR, AMERICAN FUNDS NEW ECONOMY FD	B	20.00	1.00	0.00	1.00		0.01
	THERESA/DANIEL								
01/16/2018	00066945809	BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C	B	110.00	1.10	0.00	1.10		0.01
	L.								
01/16/2018	00066945809	BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C	B	100.00	1.00	0.00	1.00		0.01
	L.								

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<u>VERRIDE TRANSACTIONS</u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
01/16/2018	00066945809 BARCENAS, RODOLFO L.	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80		0.01
01/16/2018	00066945809 BARCENAS, RODOLFO L.	THE INCOME FUND OF AMERICA-C	B	110.00	1.10	0.00	1.10		0.01
01/16/2018	00066945809 BARCENAS, RODOLFO L.	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00		0.01
01/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60		0.01
01/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00		0.01
01/12/2018	00073447265 JUMAO-AS, LAUREN	CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60		0.01
01/12/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00		0.01
01/12/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00		0.01
01/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83		0.01
01/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83		0.01
01/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83		0.01
01/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83		0.01
01/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83		0.01
01/16/2018	00075871895 SEGUNDO, LORENCE/DANIEL	CAPITAL WORLD GROWTH AND INCOME-529	B	50.00	2.50	0.00	2.50		0.03
01/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90		0.01
01/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13		0.01
01/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90		0.01
01/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13		0.01
01/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13		0.01
01/10/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50		0.02
01/10/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50		0.03
01/16/2018	00082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25		0.04
01/16/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00		0.03

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
01/16/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75		0.04
01/16/2018	00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00		0.03
01/16/2018	00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75		0.04
01/16/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75		0.04
01/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C	B	100.00	1.00	0.00	1.00		0.01
01/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00		0.01
01/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C	B	50.00	0.50	0.00	0.50		0.01
01/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00		0.01
01/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	NEW PERSPECTIVE FUND-529C	B	100.00	1.00	0.00	1.00		0.01
01/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00		0.02
01/10/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
01/10/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
01/01/2018	00115756356 OBRIEN, DAVID	AIM TECHNOLOGY FUND - CLASS A	B	0.00	3.86	0.00	3.86		0.04
01/01/2018	01000184916 MATSUMOTO, SACHIKO	AIM ASIAN GROWTH FD CL A	B	0.00	2.14	0.00	2.14		0.02
01/01/2018	01000184916 MATSUMOTO, SACHIKO	AIM HIGH YIELD FUND - CLASS A	B	0.00	13.86	0.00	13.86		0.14
01/01/2018	01000184916 MATSUMOTO, SACHIKO	INVESCO ENDEAVOR FUND - CLASS A	B	0.00	2.41	0.00	2.41		0.02
01/01/2018	01000184916 MATSUMOTO, SACHIKO	IVK AMERICAN VALUE FUND - CLASS A	B	0.00	3.19	0.00	3.19		0.03
01/01/2018	01000184916 MATSUMOTO, SACHIKO	IVK CORPORATE BOND FUND - CLASS A	B	0.00	1.80	0.00	1.80		0.02
01/01/2018	01000184916 MATSUMOTO, SACHIKO	IVK SMALL CAP VALUE FUND - CLASS A	B	0.00	1.03	0.00	1.03		0.01
01/01/2018	01000227835 RYAN, JEFFREY	AIM ASIAN GROWTH FD CL C	B	0.00	40.65	0.00	40.65		0.41
01/01/2018	01000227835 RYAN, JEFFREY	AIM DEVELOPING MARKETS FUND - CLASS B		0.00	201.95	0.00	201.95		2.02
01/01/2018	01000227835 RYAN, JEFFREY	AIM ENERGY FUND - CLASS C	B	0.00	99.15	0.00	99.15		0.99
01/01/2018	01000227835 RYAN, JEFFREY	AIM EUROPEAN DEVELOPMENT FD CL C	B	0.00	208.40	0.00	208.40		2.08
01/01/2018	01000227835 RYAN, JEFFREY	AIM MID CAP CORE EQUITY FUND - CL C	B	0.00	37.17	0.00	37.17		0.37
01/01/2018	01000227835 RYAN, JEFFREY	INVESCO HIGH YIELD FUND - CLASS C	B	0.00	215.45	0.00	215.45		2.15
01/01/2018	01001253631 CONSULTING, NEW	AIM ASIAN GROWTH FD CL C	B	0.00	5.84	0.00	5.84		0.06
01/01/2018	01001253631 CONSULTING, NEW	INVESCO ENDEAVOR FUND - CLASS C	B	0.00	17.36	0.00	17.36		0.17
01/01/2018	01001253631 CONSULTING, NEW	INVESCO HIGH YIELD FUND - CLASS C	B	0.00	4.50	0.00	4.50		0.05

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
01/01/2018	01001253631	CONSULTING, NEW	IVK AMERICAN VALUE FUND - CLASS C	B	0.00	18.14	0.00	18.14	0.18
01/01/2018	01001279023	KIM, ANDREW	AIM ASIAN GROWTH FD CL A	B	0.00	0.76	0.00	0.76	0.01
01/01/2018	01001279023	KIM, ANDREW	AIM HIGH YIELD FUND - CLASS A	B	0.00	0.71	0.00	0.71	0.01
01/01/2018	01001279023	KIM, ANDREW	INVESCO ENDEAVOR FUND - CLASS A	B	0.00	0.85	0.00	0.85	0.01
01/01/2018	01001279023	KIM, ANDREW	IVK AMERICAN VALUE FUND - CLASS A	B	0.00	0.83	0.00	0.83	0.01
01/01/2018	01001279023	KIM, ANDREW	IVK CORPORATE BOND FUND - CLASS A	B	0.00	0.74	0.00	0.74	0.01
01/01/2018	03810772545	PAGADUAN, JOY	IVK VALUE OPPORTUNITIES FUND -CLASS B	B	0.00	1.01	0.00	1.01	0.01
01/01/2018	03810919013	SOSING, CARMENCITA	AIM ASIAN GROWTH FD CL A	B	0.00	8.58	0.00	8.58	0.09
01/01/2018	03810919013	SOSING, CARMENCITA	AIM DEVELOPING MARKETS FD CL A	B	0.00	6.06	0.00	6.06	0.06
01/01/2018	03810919013	SOSING, CARMENCITA	AIM ENERGY FUND - CLASS A	B	0.00	3.19	0.00	3.19	0.03
01/01/2018	03810919013	SOSING, CARMENCITA	AIM HIGH YIELD FUND - CLASS A	B	0.00	6.91	0.00	6.91	0.07
01/01/2018	03810919013	SOSING, CARMENCITA	AIM MID CAP GROWTH FD CL A	B	0.00	7.75	0.00	7.75	0.08
01/01/2018	03811125735	BLAS, WILSON	AIM ASIAN GROWTH FD CL A	B	0.00	2.07	0.00	2.07	0.02
01/01/2018	03811125735	BLAS, WILSON	AIM ASIAN GROWTH FD CL B	B	0.00	0.61	0.00	0.61	0.01
01/01/2018	03811125735	BLAS, WILSON	AIM DEVELOPING MARKETS FD CL A	B	0.00	1.48	0.00	1.48	0.01
01/01/2018	03811125735	BLAS, WILSON	AIM ENERGY FUND - CLASS A	B	0.00	0.75	0.00	0.75	0.01
01/01/2018	03811125735	BLAS, WILSON	AIM HIGH YIELD FUND - CLASS A	B	0.00	1.59	0.00	1.59	0.02
01/01/2018	03811125735	BLAS, WILSON	AIM HIGH YIELD FUND - CLASS B	B	0.00	0.52	0.00	0.52	0.01
01/01/2018	03811125735	BLAS, WILSON	AIM MID CAP CORE EQUITY B	B	0.00	0.59	0.00	0.59	0.01
01/01/2018	03811125735	BLAS, WILSON	AIM MID CAP GROWTH FD CL A	B	0.00	1.78	0.00	1.78	0.02
01/01/2018	03811318249	RYAN, JEFFREY	AIM ASIAN GROWTH FD CL A	B	0.00	0.72	0.00	0.72	0.01
01/01/2018	03811318249	RYAN, JEFFREY	AIM DEVELOPING MARKETS FD CL A	B	0.00	0.52	0.00	0.52	0.01
01/01/2018	03811318249	RYAN, JEFFREY	AIM HIGH YIELD FUND - CLASS A	B	0.00	0.56	0.00	0.56	0.01
01/01/2018	03811318249	RYAN, JEFFREY	AIM MID CAP GROWTH FD CL A	B	0.00	0.62	0.00	0.62	0.01
01/01/2018	03911131500	JAVELOSA, SANDRA	IVK VALUE OPPORTUNITIES FUND -CLASS B	B	0.00	2.13	0.00	2.13	0.02
01/01/2018	03911377848	GERARD M ROCELES, ERWIN	IVK VALUE OPPORTUNITIES FUND -CLASS B	B	0.00	1.34	0.00	1.34	0.01
01/01/2018	04038841757	JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	0.00	2.83	0.00	2.83	0.03
01/10/2018	04038841757	JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50	0.03
01/01/2018	04040054761	UY, LORNA	AIM ASIAN GROWTH FD CL A	B	0.00	1.32	0.00	1.32	0.01
01/04/2018	08695384489	KYSER, DICK DWAYNE	COLUMBIA SELIGMAN COMM & INFO FD A	B	0.00	5.28	0.00	5.28	0.05
01/02/2018	2203006069	TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00	0.01
01/02/2018	2304545753	TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88	0.02
* JUDE RAMON L. BARCENAS SUBTOTAL *					\$4,964.38	\$1,761.45	\$0.00	\$1,761.45	\$17.76
<u> BROKER: THOMPSON/SIGNAL #9622 </u>									
01/12/2018	35296442	DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,603.67	0.00	5,603.67	364.24
* THOMPSON/SIGNAL SUBTOTAL *					\$0.00	\$5,603.67	\$0.00	\$5,603.67	\$364.24

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: MATTHEW THOMAS KUNZ #9631									
01/02/2018	GIANNINO, ELLEN	CHET ADVISOR 529 PLAN	B	50.00	1.26	0.00	1.26		0.01
01/03/2018	TRAILS	OHIO LIFE	B	88.63	88.63	0.00	88.63		0.89
01/12/2018	11304428 OREO, KARENLEE M	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00		0.07
01/12/2018	14454837 KUNZ, GEORGE JAMES	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
01/12/2018	18165138 GARCIA, ERICK	SIGNAL SECURITIES RIA	B	0.00	24.33	0.00	24.33		0.24
01/12/2018	19423693 MASSEY, ROY	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00		0.70
01/12/2018	30098457 BITTNER, DEBBIE	SIGNAL SECURITIES RIA	B	0.00	47.67	0.00	47.67		0.48
01/12/2018	34357806 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33		0.05
01/12/2018	35223174 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	145.33	0.00	145.33		1.45
01/12/2018	35873038 LARGE/OREO, LANCE C. & KARE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33		0.05
01/12/2018	36130788 HABEGGER, TIM FBO RILEY	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00		0.07
01/12/2018	36635455 GIANNINO, RICHARD & ELLEN	SIGNAL SECURITIES RIA	B	0.00	181.33	0.00	181.33		1.81
01/12/2018	39035372 LAMMERS, JILL	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00		0.44
01/12/2018	41063658 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
01/12/2018	43666774 DOSTER, JIM & STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	199.67	0.00	199.67		2.00
01/12/2018	46130317 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33		0.10
01/12/2018	47094293 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	37.33	0.00	37.33		0.37
01/12/2018	47342185 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67		0.56
01/12/2018	50152262 MEYERS REV. LIV TRST, ROBERT S	SIGNAL SECURITIES RIA	B	0.00	70.33	0.00	70.33		0.70
01/12/2018	56921472 GIANNINO, MARY ELLEN	SIGNAL SECURITIES RIA	B	0.00	113.33	0.00	113.33		1.13
01/12/2018	61467712 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33		0.51
01/12/2018	62130162 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	29.67	0.00	29.67		0.30
01/12/2018	62263883 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	187.33	0.00	187.33		1.87
01/12/2018	63431955 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33		0.03
01/12/2018	63575586 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
01/12/2018	74491798 LARGE/OREO, LANCE C. & KARE	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00		0.07
01/12/2018	76118748 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	56.33	0.00	56.33		0.56
01/12/2018	80576825 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33		0.09
01/12/2018	83507537 BITTNER, MARK &	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: MATTHEW THOMAS KUNZ #9631 </u>									
	DEBBIE								
01/12/2018	85830018 WALLACE, RONALD G	SIGNAL SECURITIES RIA	B	0.00	920.33	0.00	920.33		9.20
01/12/2018	86727200 MCCLEARY, JR, JAMES &	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67		0.10
	PAMELA								
01/12/2018	86846823 LAMMERS, ROBERT &	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33		0.17
	JILL								
01/12/2018	88062363 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33		0.02
01/12/2018	88968596 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33		0.04
01/12/2018	89518307 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
01/12/2018	92937702 WELCH, ITASKA	SIGNAL SECURITIES RIA	B	0.00	32.67	0.00	32.67		0.33
01/12/2018	93877838 MCCLEARY, JR, JAMES &	SIGNAL SECURITIES RIA	B	0.00	177.00	0.00	177.00		1.77
	PAMELA								
01/12/2018	95921292 BITTNER, MARK &	SIGNAL SECURITIES RIA	B	0.00	130.00	0.00	130.00		1.30
	DEBBIE								
01/12/2018	98256346 LAMMERS, ROBERT &	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
	JILL								
01/12/2018	98398336 GIANNINO, ELLEN	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67		0.04
01/12/2018	98523493 HABEGGER, DENISE M	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00		0.34
01/12/2018	98811946 CREWS-MORRIS,	SIGNAL SECURITIES RIA	B	0.00	285.00	0.00	285.00		2.85
	SHONDEANA								
01/12/2018	99183964 HABEGGER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67		0.25
01/12/2018	99251079 POPHAM, RICHARD	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33		0.17
	(RICK)								
		* MATTHEW THOMAS KUNZ SUBTOTAL *		\$138.63	\$3,196.52	\$0.00	\$3,196.52		\$31.93
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
01/05/2018	TRAILS	-JOHN HANCOCK	B	15.33	15.33	0.00	15.33		0.15
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	7,972.75	7,972.75	0.00	7,972.75		79.73
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,535.89	2,535.89	0.00	2,535.89		25.36
01/16/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	87.27	1.05	0.00	1.05		0.01
01/16/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	87.27	1.05	0.00	1.05		0.01
01/16/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	62.33	0.75	0.00	0.75		0.01
01/16/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	62.33	0.75	0.00	0.75		0.01
01/16/2018	00062722452 POFF, RICKY L	GLOBAL BALANCED FUND-A	B	62.33	0.75	0.00	0.75		0.01
01/16/2018	00062722452 POFF, RICKY L	GLOBAL BALANCED FUND-A	B	62.33	0.75	0.00	0.75		0.01
01/16/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	2.40	0.00	2.40		0.02
01/16/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	129.00	1.55	0.00	1.55		0.02
01/16/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL INVES	B	200.00	2.40	0.00	2.40		0.02
01/16/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL INVES	B	129.00	1.55	0.00	1.55		0.02
01/08/2018	00064112804 FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT	B	333.33	9.17	0.00	9.17		0.09

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JOHN HOWARD RUSSELL #9632									
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	186.90	2.24	0.00	2.24	0.02
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	186.90	2.24	0.00	2.24	0.02
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	186.90	2.24	0.00	2.24	0.02
01/16/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	186.90	2.24	0.00	2.24	0.02
01/16/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	192.56	2.31	0.00	2.31	0.02
01/16/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	192.56	2.31	0.00	2.31	0.02
01/08/2018	00067852430	SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	0.04
01/08/2018	00067852431	SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	0.04
01/08/2018	00067852432	SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	0.04
01/08/2018	00068646098	SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75	0.04
01/16/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	0.01
01/17/2018	00073404574	SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
01/11/2018	00073552220	BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	2.81	0.00	2.81	0.03
01/11/2018	00073552220	BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	2.81	0.00	2.81	0.03
01/17/2018	00074657443	SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
01/16/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	122.71	1.47	0.00	1.47	0.01
01/16/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	122.71	1.47	0.00	1.47	0.01
01/16/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD	B	49.08	0.59	0.00	0.59	0.01
01/16/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD	B	49.08	0.59	0.00	0.59	0.01
01/16/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT	B	73.63	0.88	0.00	0.88	0.01
01/16/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT	B	73.63	0.88	0.00	0.88	0.01
01/16/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	93.00	1.12	0.00	1.12	0.01
01/16/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	69.76	0.84	0.00	0.84	0.01
01/16/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT	B	69.76	0.84	0.00	0.84	0.01
01/12/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	252.10	5.04	0.00	5.04	0.05
01/12/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	504.20	10.08	0.00	10.08	0.10
01/12/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	242.88	4.86	0.00	4.86	0.05

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
01/12/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	97.16	1.94	0.00	1.94	0.02
01/12/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL	INVES B	437.20	8.74	0.00	8.74	0.09
01/12/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL	INVES B	174.89	3.50	0.00	3.50	0.04
01/12/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF	AMERI B	291.47	5.83	0.00	5.83	0.06
01/12/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF	AMERI B	116.60	2.33	0.00	2.33	0.02
01/12/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME	BUILD B	100.00	2.00	0.00	2.00	0.02
01/12/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME	BUILD B	49.73	0.99	0.00	0.99	0.01
01/12/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	2.00	0.00	2.00	0.02
01/12/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL	INVES B	49.73	0.99	0.00	0.99	0.01
01/12/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD	GROWT B	72.58	1.45	0.00	1.45	0.01
01/12/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD	GROWT B	72.58	1.45	0.00	1.45	0.01
01/16/2018	00082474109	KUETHE, ALLAN	AMERICAN MUTUAL FUND-C	B	300.00	3.00	0.00	3.00	0.03
01/12/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC	GROWT B	151.67	3.03	0.00	3.03	0.03
	R								
01/12/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC	GROWT B	60.67	1.21	0.00	1.21	0.01
	R								
01/12/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF	AMERI B	455.01	9.10	0.00	9.10	0.09
	R								
01/12/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF	AMERI B	182.00	3.64	0.00	3.64	0.04
	R								
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	179.10	3.58	0.00	3.58	0.04
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	179.10	3.58	0.00	3.58	0.04
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	179.10	3.58	0.00	3.58	0.04
01/12/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	179.10	3.58	0.00	3.58	0.04
01/08/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00	0.02
01/16/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50	0.02
01/16/2018	00084758983	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/LAUREN.								
01/16/2018	00084759195	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/LANDON								
01/16/2018	00084759209	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/AUSTIN								
01/16/2018	00084759232	HOWARD/BEAUDOIN,	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/DAKOTA								
01/17/2018	00085209795	SULLIVAN/MCGRAFF,	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
	SHERRY/REECE								
01/16/2018	00087897269	ASHCRAFT OGDEN,	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	0.02
	ZOIE								
01/19/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	87.70	0.88	0.00	0.88	0.01
01/19/2018	00088263914	GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	87.70	0.88	0.00	0.88	0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONS

BROKER: JOHN HOWARD RUSSELL #9632

01/19/2018	00088263914	GREESON, JOHN D. EURO PACIFIC GROWTH FUND-C	B	178.07	1.78	0.00	1.78		0.02
01/19/2018	00088263914	GREESON, JOHN D. EURO PACIFIC GROWTH FUND-C	B	178.07	1.78	0.00	1.78		0.02
01/12/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
01/12/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
01/19/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
01/19/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
01/16/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	117.34	1.41	0.00	1.41		0.01
01/16/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	96.59	1.16	0.00	1.16		0.01
01/16/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	117.34	1.41	0.00	1.41		0.01
01/16/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	96.59	1.16	0.00	1.16		0.01
01/16/2018	00089986176	YOUNG, MARCUS FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00		0.01
01/16/2018	04000108054	HAMILTON, JAMES FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50		0.01
01/16/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53		0.02
01/16/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53		0.02
01/16/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	144.00	1.44	0.00	1.44		0.01
01/16/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	144.00	1.44	0.00	1.44		0.01
01/12/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.81	0.52	0.00	0.52		0.01
01/12/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.81	0.52	0.00	0.52		0.01
01/04/2018	07210129905	MCMILLIAN, MARILYN COLUMBIA CAPITAL ALLOC MOD CONS POR B		0.00	2.44	0.00	2.44		0.02
J									
01/08/2018	BRK104641	HAMILTON OD, JAMES AMERICAN FUNDS - 401K	B	0.00	1,035.99	0.00	1,035.99		10.36
* JOHN HOWARD RUSSELL SUBTOTAL *				\$21,709.37	\$11,751.89	\$0.00	\$11,751.89		\$117.57
*** OVERRIDE TRANSACTIONS TOTAL ***				\$26,812.38	\$22,313.53	\$0.00	\$22,313.53		\$531.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,659.63
Split Transactions	\$93.72
Override Transactions	\$531.50
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-84.90

Check Amount	\$2,199.95
Year-to-date Earnings	\$2,944.64