



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
Page No. 1

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
07/13/2018	15626417	VILBIG, MOLLYE S		SIGNAL SECURITIES RIA	B	0.00	331.67	0.00	331.67 100.0 331.67
* RIA SUBTOTAL *						\$0.00	\$331.67	\$0.00	\$331.67
*** BROKER TRANSACTIONS TOTAL ***						\$0.00	\$331.67	\$0.00	\$331.67

SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	113.20	45.28	0.00	45.28	100.0	45.28
06/30/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	67.27	26.91	0.00	26.91	100.0	26.91
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	5.38	0.00	5.38	100.0	5.38
07/18/2018	00061397698	RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B	65.00	0.72	0.00	0.72	100.0	0.72
07/16/2018	00061957268	SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	1.10	0.00	1.10	100.0	1.10
07/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B	62.50	0.69	0.00	0.69	100.0	0.69
07/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B	62.50	0.69	0.00	0.69	100.0	0.69
07/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B	62.50	0.69	0.00	0.69	100.0	0.69
07/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B	62.50	0.69	0.00	0.69	100.0	0.69
07/16/2018	00063666255	JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD B	100.00	2.00	0.00	2.00	100.0	2.00
07/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B	50.00	1.00	0.00	1.00	100.0	1.00
07/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.00	0.00	1.00	100.0	1.00
07/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.00	0.00	1.00	100.0	1.00
07/17/2018	00067724745	ANGELO, CONCORDIA/MILES	AMERICAN FUNDS - GENERAL B	1,990.00	23.88	0.00	23.88	100.0	23.88
07/16/2018	00067724745	ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A B	30.00	0.51	0.00	0.51	100.0	0.51
07/16/2018	00067724745	ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A B	30.00	0.36	0.00	0.36	100.0	0.36
07/16/2018	00067724745	ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A B	30.00	0.51	0.00	0.51	100.0	0.51
07/16/2018	00067724745	ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A B	110.00	1.87	0.00	1.87	100.0	1.87

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: ROTHSCHILD/POOL #9629									
07/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B		104.00	1.77	0.00	1.77	100.0	1.77
07/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B		208.00	3.54	0.00	3.54	100.0	3.54
07/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD B		105.00	1.78	0.00	1.78	100.0	1.78
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		253.85	3.05	0.00	3.05	100.0	3.05
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		100.88	1.21	0.00	1.21	100.0	1.21
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		261.55	5.23	0.00	5.23	100.0	5.23
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		103.94	2.08	0.00	2.08	100.0	2.08
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		253.85	5.08	0.00	5.08	100.0	5.08
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		100.88	2.02	0.00	2.02	100.0	2.02
07/16/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.85	0.00	0.85	100.0	0.85
07/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS BD FD OF AMER FD B		50.00	0.60	0.00	0.60	100.0	0.60
07/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.00	0.00	1.00	100.0	1.00
07/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.00	0.00	1.00	100.0	1.00
07/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
07/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	CAPITAL INCOME BUILDER-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE BOND FUND OF AMERICA-529C	B	100.00	0.40	0.00	0.40	100.0	0.40
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE INCOME FUND OF AMERICA-529C	B	50.00	0.20	0.00	0.20	100.0	0.20
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	U.S. GOVERNMENT SECURITIES FUND-529 B		100.00	0.40	0.00	0.40	100.0	0.40
07/20/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE ENROLLMENT FUND-529A	B	50.00	0.40	0.00	0.40	100.0	0.40
07/19/2018	00088056101 PYLE, DYLAN	AMERICAN FUNDS INVESTMENT COMP OF A B		45.00	0.76	0.00	0.76	100.0	0.76
07/02/2018	00090021291 ATCHISON, STEPHEN	MFS - GENERAL	B	100.00	1.60	0.00	1.60	100.0	1.60
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	89.09	0.00	89.09	100.0	89.09
* ROTHSCHILD/POOL SUBTOTAL *				\$5,572.42	\$237.94	\$0.00	\$237.94		\$237.94
BROKER: WILLIAMS/HOUSE #9640									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3.31	1.32	0.00	1.32	100.0	1.32
* WILLIAMS/HOUSE SUBTOTAL *				\$3.31	\$1.32	\$0.00	\$1.32		\$1.32
*** SPLIT TRANSACTIONS TOTAL ***				\$5,575.73	\$239.26	\$0.00	\$239.26		\$239.26

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	163.27	163.27	0.00	163.27		1.63
07/10/2018	JOSE, ANTONIO C.	-INVESCO FAMILY OF FUNDS	B	50.00	2.50	0.00	2.50		0.03
07/16/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70		0.01
07/16/2018	ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44		0.01
07/16/2018	DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	B	283.17	8.50	0.00	8.50		0.09
07/10/2018	TRAILS	LINCOLN BENEFIT LIFE	B	8.84	8.84	0.00	8.84		0.09
07/10/2018	000000006329360 EVANGELISTA, AURELIO/MONIQUE	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/10/2018	000000006330997 EVANGELISTA, AURELIO/BEATRIC	-FRANKLIN TEMPLETON	B	50.00	0.50	0.00	0.50		0.01
07/10/2018	000000006330997 EVANGELISTA, AURELIO/BEATRIC	-FRANKLIN TEMPLETON	B	75.00	0.75	0.00	0.75		0.01
07/10/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	10.00	0.00	10.00		0.10
07/10/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88		0.02
07/10/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88		0.02
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20		0.01
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50		0.02
07/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
07/10/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	7.50	0.00	7.50		0.08
07/16/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88		0.02
07/16/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88		0.02
07/16/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50		0.02
07/16/2018	00062049074 CALUNGSUD, KELLY M.	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88		0.02
07/16/2018	00066945809 BARCENAS, RODOLFO L.	AMERICAN HIGH-INCOME TRUST-C	B	100.00	1.00	0.00	1.00		0.01
07/16/2018	00066945809 BARCENAS, RODOLFO L.	AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10		0.01
07/16/2018	00066945809 BARCENAS, RODOLFO L.	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80		0.01
07/16/2018	00066945809 BARCENAS, RODOLFO L.	THE INCOME FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00		0.01
07/16/2018	00066945809 BARCENAS, RODOLFO L.	THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10		0.01

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<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
07/16/2018	00073580088	FILOTEO-GONZALES, AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83		0.01
		JUDELIA/ISABEL							
07/16/2018	00073580088	FILOTEO-GONZALES, AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83		0.01
		JUDELIA/ISABEL							
07/16/2018	00073580088	FILOTEO-GONZALES, CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83		0.01
		JUDELIA/ISABEL							
07/16/2018	00073580088	FILOTEO-GONZALES, THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83		0.01
		JUDELIA/ISABEL							
07/16/2018	00073580088	FILOTEO-GONZALES, THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83		0.01
		JUDELIA/ISABEL							
07/16/2018	00075871895	SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50		0.03
		LORENCE/DANIEL							
07/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
07/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20		0.01
07/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
07/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50		0.02
07/20/2018	00075984574	GARCIA, RODOLFO C. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
07/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
07/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20		0.01
07/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
07/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50		0.02
07/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
07/16/2018	00081127981	GARCIA, AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90		0.01
		RODOLFO/RODIVIC							
07/16/2018	00081127981	GARCIA, AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13		0.01
		RODOLFO/RODIVIC							
07/16/2018	00081127981	GARCIA, CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90		0.01
		RODOLFO/RODIVIC							
07/16/2018	00081127981	GARCIA, THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13		0.01
		RODOLFO/RODIVIC							
07/16/2018	00081127981	GARCIA, THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13		0.01
		RODOLFO/RODIVIC							
07/16/2018	00082732703	CABRERA, IVY AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25		0.04
07/16/2018	00083580212	MASINAG, AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00		0.03
		NELSON/KEVIN							
07/16/2018	00083580212	MASINAG, AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75		0.04
		NELSON/KEVIN							
07/16/2018	00083580212	MASINAG, CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00		0.03
		NELSON/KEVIN							
07/16/2018	00083580212	MASINAG, THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75		0.04

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
	NELSON/KEVIN								
07/16/2018	00083580212 MASINAG,	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75		0.04
	NELSON/KEVIN								
07/10/2018	00087696729 LINUS L CUNANAN,	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
	MIGUEL								
07/10/2018	00087696759 LINUS L CUNANAN,	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
	MIGUEL								
07/05/2018	08695384489 KYSER, DICK DWAYNE	COLUMBIA SELIGMAN COMM & INFO FD A B		0.00	5.56	0.00	5.56		0.06
07/02/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A B		50.00	1.00	0.00	1.00		0.01
07/02/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B		50.00	1.88	0.00	1.88		0.02
		* JUDE RAMON L. BARCENAS SUBTOTAL *		\$3,837.13	\$292.88	\$0.00	\$292.88		\$3.02
<u> BROKER: THOMPSON/SIGNAL #9622 </u>									
07/13/2018	35296442 DAUM (SEPARATE PROP),	SIGNAL SECURITIES RIA	B	0.00	5,099.00	0.00	5,099.00		331.44
	JERRY F								
		* THOMPSON/SIGNAL SUBTOTAL *		\$0.00	\$5,099.00	\$0.00	\$5,099.00		\$331.44
<u> BROKER: MATTHEW THOMAS KUNZ #9631 </u>									
07/02/2018	GIANNINO, RICHARD	CHET ADVISOR 529 PLAN	B	50.00	1.26	0.00	1.26		0.01
07/13/2018	13999807 ROBINSON, DALE &	SIGNAL SECURITIES RIA	B	0.00	82.00	0.00	82.00		0.82
	SANDRA								
07/13/2018	14454837 KUNZ, GEORGE JAMES	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67		0.08
07/13/2018	19423693 MASSEY, ROY	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00		0.59
07/13/2018	23188870 HARRIS, BETTIE SUE	SIGNAL SECURITIES RIA	B	0.00	47.67	0.00	47.67		0.48
07/13/2018	24243215 HARRIS, BETTIE SUE	SIGNAL SECURITIES RIA	B	0.00	156.67	0.00	156.67		1.57
07/13/2018	30098457 BITTNER, DEBBIE	SIGNAL SECURITIES RIA	B	0.00	47.67	0.00	47.67		0.48
07/13/2018	34357806 BITTNER, MARK &	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00		0.06
	DEBBIE								
07/13/2018	35223174 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	145.33	0.00	145.33		1.45
07/13/2018	35873038 LARGE/OREO, LANCE C.	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33		0.11
	& KARE								
07/13/2018	36130788 HABEGGER, TIM FBO	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67		0.07
	RILEY								
07/13/2018	36635455 GIANNINO, RICHARD &	SIGNAL SECURITIES RIA	B	0.00	181.33	0.00	181.33		1.81
	ELLEN								
07/13/2018	39035372 LAMMERS, JILL	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00		0.44
07/13/2018	41063658 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67		0.08
07/13/2018	43666774 DOSTER, JIM &	SIGNAL SECURITIES RIA	B	0.00	199.00	0.00	199.00		1.99
	STEPHANIE								
07/13/2018	46130317 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00		0.10

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: MATTHEW THOMAS KUNZ #9631									
07/13/2018	47094293 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00		0.37
07/13/2018	47342185 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67		0.58
07/13/2018	50152262 MEYERS REV. LIV TRST, ROBERT S	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00		0.70
07/13/2018	56921472 GIANNINO, MARY ELLEN	SIGNAL SECURITIES RIA	B	0.00	112.00	0.00	112.00		1.12
07/13/2018	61467712 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	52.33	0.00	52.33		0.52
07/13/2018	62130162 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	29.67	0.00	29.67		0.30
07/13/2018	62263883 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	187.00	0.00	187.00		1.87
07/13/2018	63431955 FERRARI, JAMES J	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33		0.02
07/13/2018	63575586 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
07/13/2018	76118748 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00		0.56
07/13/2018	80576825 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33		0.09
07/13/2018	83507537 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00		0.10
07/13/2018	85830018 WALLACE, RONALD G	SIGNAL SECURITIES RIA	B	0.00	865.67	0.00	865.67		8.66
07/13/2018	86727200 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33		0.09
07/13/2018	86846823 LAMMERS, ROBERT & JILL	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33		0.17
07/13/2018	88062363 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00		0.02
07/13/2018	89518307 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
07/13/2018	92937702 WELCH, ITASKA	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00		0.25
07/13/2018	93877838 MCCLEARY, JR, JAMES & PAMELA	SIGNAL SECURITIES RIA	B	0.00	183.67	0.00	183.67		1.84
07/13/2018	95921292 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	129.00	0.00	129.00		1.29
07/13/2018	98256346 LAMMERS, ROBERT & JILL	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
07/13/2018	98398336 GIANNINO, ELLEN	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67		0.04
07/13/2018	98523493 HABEGGER, DENISE M	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00		0.38
07/13/2018	98811946 CREWS-MORRIS, SHONDEANA	SIGNAL SECURITIES RIA	B	0.00	283.67	0.00	283.67		2.84
07/13/2018	99183964 HABEGGER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67		0.25
07/13/2018	99251079 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33		0.17
* MATTHEW THOMAS KUNZ SUBTOTAL *				\$50.00	\$3,290.94	\$0.00	\$3,290.94		\$32.92

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JOHN HOWARD RUSSELL #9632									
07/06/2018	TRAILS	-JOHN HANCOCK	B	15.28	15.28	0.00	15.28		0.15
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	3,975.58	3,975.58	0.00	3,975.58		39.76
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	6,183.03	6,183.03	0.00	6,183.03		61.83
07/16/2018	00073302989 RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50		0.01
07/17/2018	00073404574 SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00		0.01
07/09/2018	00073552220 BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B	B	75.00	2.81	0.00	2.81		0.03
07/09/2018	00073552220 BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	75.00	2.81	0.00	2.81		0.03
07/17/2018	00074657443 SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00		0.01
07/12/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	504.20	10.08	0.00	10.08		0.10
07/12/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	252.10	5.04	0.00	5.04		0.05
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B	B	310.31	6.21	0.00	6.21		0.06
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B	B	103.44	2.07	0.00	2.07		0.02
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	B	558.56	11.17	0.00	11.17		0.11
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B	B	186.18	3.72	0.00	3.72		0.04
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	B	372.38	7.45	0.00	7.45		0.07
07/12/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B	B	124.13	2.48	0.00	2.48		0.02
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	100.00	2.00	0.00	2.00		0.02
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	34.12	0.68	0.00	0.68		0.01
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	B	100.00	2.00	0.00	2.00		0.02
07/12/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B	B	34.12	0.68	0.00	0.68		0.01
07/12/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	62.53	1.25	0.00	1.25		0.01
07/12/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	62.53	1.25	0.00	1.25		0.01
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS EURO PACIFIC GROWT B	B	51.34	1.03	0.00	1.03		0.01
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS EURO PACIFIC GROWT B	B	128.36	2.57	0.00	2.57		0.03
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	154.03	3.08	0.00	3.08		0.03
07/12/2018	00083008236 RUSSWURM, CLAYTON R	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	385.07	7.70	0.00	7.70		0.08
07/12/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	336.67	6.73	0.00	6.73		0.07
07/12/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	168.34	3.37	0.00	3.37		0.03
07/12/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	B	168.33	3.37	0.00	3.37		0.03
07/12/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B	B	336.67	6.73	0.00	6.73		0.07
07/09/2018	00083499515 SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	200.00	2.00	0.00	2.00		0.02
07/16/2018	00084758983 HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
OVERRIDE TRANSACTIONS										
BROKER: JOHN HOWARD RUSSELL #9632										
07/16/2018	00084759195	HOWARD/BEAUDOIN, IRENE/LONDON		GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
07/16/2018	00084759209	HOWARD/BEAUDOIN, IRENE/AUSTIN		GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
07/16/2018	00084759232	HOWARD/BEAUDOIN, IRENE/DAKOTA		THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	0.02
07/17/2018	00085209795	SULLIVAN/MCGRAFF, SHERRY/REECE		NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
07/20/2018	00085797272	RUSSELL/PEEPLES, DAVID/HAVEN		AMERICAN BALANCED FUND-529A	B	50.00	1.38	0.00	1.38	0.01
07/20/2018	00085797295	RUSSELL/PEEPLES, DAVID/KATE		NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	0.01
07/20/2018	00085797300	RUSSELL/PEEPLES, DAVID/ELLE		NEW PERSPECTIVE FUND-529A	B	50.00	1.38	0.00	1.38	0.01
07/16/2018	00087897269	ASHCRAFT OGDEN, ZOIE		FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	0.02
07/20/2018	00088272160	DUNN, CHESTER R.		SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
07/20/2018	00088272160	DUNN, CHESTER R.		SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
07/20/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
07/20/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
07/12/2018	04000752171	BOZEMAN, JENNIFER		NEW WORLD FUND-A	B	43.23	0.86	0.00	0.86	0.01
07/12/2018	04000752171	BOZEMAN, JENNIFER		NEW WORLD FUND-A	B	28.81	0.58	0.00	0.58	0.01
07/05/2018	07210129905	MCMILLIAN, MARILYN		COLUMBIA CAPITAL ALLOC MOD CONS POR B		0.00	2.36	0.00	2.36	0.02
J										
				* JOHN HOWARD RUSSELL SUBTOTAL *		\$16,230.46	\$10,297.65	\$0.00	\$10,297.65	\$102.97
				*** OVERRIDE TRANSACTIONS TOTAL ***		\$20,117.59	\$18,980.47	\$0.00	\$18,980.47	\$470.35

BROKER COMMISSION TOTALS

Broker Transactions	\$415.75
Split Transactions	\$155.18
Override Transactions	\$470.35
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,041.28
Year-to-date Earnings	\$17,050.62