



All Companies

07/12/2018  
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# COMMISSION STATEMENT

## July 16, 2018

KAREN CARTER BROOKMAN  
1840 Hurstview Drive

BROKER #: 9102  
BRANCH: HOME OFFICE

Hurst, TX 76054

### COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	10.38	10.38	0.00	10.38	90.0	9.34
06/30/2018	TRAILS	-PUTNAM	B	374.89	374.89	0.00	374.89	90.0	337.40
07/06/2018	0000000000065829	WOOD, RICHARD FRK INTERNATIONAL GROWTH FUND - CLA	B	68,335.93	683.36	0.00	683.36	90.0	615.02
	A								
07/06/2018	0000000000284568	WOOD, RICHARD FRANKLIN BALANCED FUND - CLASS A	B	102,503.90	1,025.04	0.00	1,025.04	90.0	922.54
	A								
07/06/2018	0000000001369661	WOOD, RICHARD FRK AGGRESSIVE GRWTH - CL A	B	68,335.94	683.36	0.00	683.36	90.0	615.02
	A								
07/06/2018	0000000001763731	WOOD, RICHARD FRNKLN FLOATING RATE DLY ACCESS FND	B	34,167.96	256.26	0.00	256.26	90.0	230.63
	A								
07/06/2018	000013712301943	WOOD, RICHARD FRANKLIN CONVERTIBLE FD CL I	B	102,503.90	1,025.04	0.00	1,025.04	90.0	922.54
	A								
07/06/2018	000015815621220	WOOD, RICHARD FRANKLIN RISING DIVIDEND FD CL I	B	102,503.91	1,025.04	0.00	1,025.04	90.0	922.54
	A								
07/06/2018	000019818250971	WOOD, RICHARD FRANKLIN SMALL CAP GROWTH FD CL I	B	68,335.94	683.36	0.00	683.36	90.0	615.02
	A								
07/06/2018	000028212633437	WOOD, RICHARD FRANKLIN VALUE FD CL 1	B	34,167.97	341.68	0.00	341.68	90.0	307.51
	A								
07/06/2018	000080193421179	WOOD, RICHARD FRANKLIN DYNATECH FD CL I	B	102,503.91	1,025.04	0.00	1,025.04	90.0	922.54
	A								
07/02/2018	00067657556	GEIKEN, DARRYL FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/02/2018	00067657556	GEIKEN, DARRYL NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/25/2018	00073558423	ALLDREDGE, FAYE AMERICAN FUNDS WA MUTUAL	INVEST	12,990.00	129.90	0.00	129.90	90.0	116.91
06/25/2018	00082976741	SMITH, NATILLIE J AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
06/25/2018	00082976741	SMITH, NATILLIE J FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
06/25/2018	00082976741	SMITH, NATILLIE J NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
06/25/2018	00082976741	SMITH, NATILLIE J THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
06/25/2018	00082976741	SMITH, NATILLIE J WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
06/25/2018	00083688576	SMITH, JEFFREY L AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
06/25/2018	00083688576	SMITH, JEFFREY L FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
06/25/2018	00083688576	SMITH, JEFFREY L NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/25/2018	00083688576 SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
06/25/2018	00083688576 SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
06/25/2018	00087476466 ALLDREDGE, BARRY	AMERICAN FUNDS NEW ECONOMY FD	B	198.25	1.98	0.00	1.98	90.0	1.78
06/25/2018	00087476466 ALLDREDGE, BARRY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	12,791.75	127.92	0.00	127.92	90.0	115.13
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$710,582.96</b>	<b>\$7,401.83</b>	<b>\$0.00</b>	<b>\$7,401.83</b>		<b>\$6,661.64</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/30/2018	TRAILS	-HARTFORD LIFE	B	837.59	837.59	0.00	837.59	90.0	753.83
06/25/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	40.71	40.71	0.00	40.71	90.0	36.64
07/01/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	373.10	373.10	0.00	373.10	90.0	335.79
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		273.19	0.00	0.00	0.00	90.0	0.00
06/30/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B		273.19	273.19	0.00	273.19	90.0	245.87
06/29/2018	TRAILS	NEW YORK LIFE INSURANCE COMPANY	B	326.73	326.73	0.00	326.73	90.0	294.06
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	203.41	0.00	0.00	0.00	90.0	0.00
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	203.41	203.41	0.00	203.41	90.0	183.07
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$2,531.33</b>	<b>\$2,054.73</b>	<b>\$0.00</b>	<b>\$2,054.73</b>		<b>\$1,849.26</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	240.39	0.00	240.39	90.0	216.35
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$240.39</b>	<b>\$0.00</b>	<b>\$240.39</b>		<b>\$216.35</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$713,114.29</b>	<b>\$9,696.95</b>	<b>\$0.00</b>	<b>\$9,696.95</b>		<b>\$8,727.25</b>
<b><u>ADJUSTMENTS</u></b>									
07/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-127.50</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,727.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$8,599.75
Year-to-date Earnings	\$88,786.71