



# COMMISSION STATEMENT February 15, 2017

KEITH LAYMAN  
4321 Flagstaff

BROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/30/2017	WILLIAMS, BEVERLY	FIDELITY SELECT ENERGY PORTFOLIO	-2,701.17	0.00	0.00	0.00	90.0	0.00
02/08/2017	THORNTON, PAUL	INVESCO SMALL CAP VALUE A	-41,852.28	0.00	0.00	0.00	90.0	0.00
02/08/2017	THORNTON, PAUL	VANGUARD PRIME MONEY MARKET FUND IN	-173.69	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-44,727.14</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/30/2017	WILLIAMS, BEVERLY	BP PLC SPONS ADR	-7,386.56	0.00	0.00	0.00	90.0	0.00
01/31/2017	WILCOX, TIMOTHY	COLUMBIA ETF TR CORE BD ETF	-24,641.04	0.00	0.00	0.00	90.0	0.00
01/30/2017	WILLIAMS, BEVERLY	SPDR SER TR BARCLAYS HIGH YIELD BD	-4,022.35	0.00	0.00	0.00	90.0	0.00
01/30/2017	WILLIAMS, BEVERLY	SPDR SER TR BARCLAYS TIIPS ETF	-4,814.57	0.00	0.00	0.00	90.0	0.00
01/31/2017	WILCOX, TIMOTHY	VANGUARD BD INDEX FD INC INTERMEDIA	-15,336.49	0.00	0.00	0.00	90.0	0.00
02/08/2017	THORNTON, PAUL	VANGUARD INDEX FDS VANGUARD TOTAL S	-191,713.47	0.00	0.00	0.00	90.0	0.00
02/08/2017	THORNTON, PAUL	VANGUARD S&P 500 ETF	-3,574.83	0.00	0.00	0.00	90.0	0.00
01/30/2017	WILLIAMS, BEVERLY	VANGUARD SCOTTSDALE FDS VANGUARD SH	-954.74	0.00	0.00	0.00	90.0	0.00
02/08/2017	THORNTON, PAUL	VANGUARD STAR FD VANGUARD TOTAL INT	-26,576.38	0.00	0.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			<b>\$-279,020.43</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
02/09/2017	AMBRIOLE, WESLEY	CLS INVESTMENTS	68,280.64	136.55	0.00	136.55	90.0	122.90
02/09/2017	BYRUM, GERALD	CLS INVESTMENTS	222,218.27	444.44	0.00	444.44	90.0	400.00
02/09/2017	HAFFNER, DOROTHY	CLS INVESTMENTS	55,638.17	120.43	0.00	120.43	90.0	108.39
01/26/2017	LIPSCOMB, SAMUEL	ITS MANAGEMENT	42,833.74	-7.04	0.00	-7.04	90.0	-6.34
01/26/2017	FULLHART, KELL	ITS MANAGEMENT	69,593.00	-27.17	0.00	-27.17	90.0	-24.45
02/02/2017	HATTERY, KARI	JEFFERSON NATIONAL	17,027.79	63.85	0.00	63.85	90.0	57.47
02/10/2017	PEE, MICHAEL	JEFFERSON NATIONAL	0.00	187.53	0.00	187.53	90.0	168.78
* RIA SUBTOTAL *			<b>\$475,591.61</b>	<b>\$918.59</b>	<b>\$0.00</b>	<b>\$918.59</b>		<b>\$826.75</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$151,844.04</b>	<b>\$918.59</b>	<b>\$0.00</b>	<b>\$918.59</b>		<b>\$826.75</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
01/31/2017	BAILEY, LAURIE	ANHEUSER BUSCH INBEV SA NV SPONSORE	2,602.55	0.00	7.87	-7.87	90.0	-7.08
01/31/2017	BAILEY, LAURIE	BP PLC SPONS ADR	3,050.48	0.00	7.87	-7.87	90.0	-7.08
01/31/2017	BAILEY, LAURIE	PUBLIC STORAGE	3,251.79	0.00	7.87	-7.87	90.0	-7.08
01/31/2017	BAILEY, LAURIE	T ROWE PRICE GLOBAL MULTI-SECTOR BO	3,500.00	0.00	0.00	0.00	90.0	0.00
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			<b>\$12,404.82</b>	<b>\$0.00</b>	<b>\$23.61</b>	<b>\$-23.61</b>		<b>\$-21.24</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$12,404.82</b>	<b>\$0.00</b>	<b>\$23.61</b>	<b>\$-23.61</b>		<b>\$-21.24</b>

**ADJUSTMENTS**

02/15/2017	*PERSHING: ETF PROSPECTUS	0.00						-19.75
02/15/2017	*PERSHING: MF PROSPECTUS	0.00						-20.73
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-40.48</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$826.75
Split Transactions	\$-21.24
Override Transactions	\$0.00
Adjustments	\$-40.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$765.03</b>
Year-to-date Gross	<b>\$80,821.25</b>
Year-to-date Earnings	<b>\$72,576.21</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed