

COMMISSION STATEMENT

July 31, 2018

ARNETT DPM

BROKER #:

BRANCH: DWA

, TX

COMMISSION STATEMENT for ARNETT DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/16/2018 153519101	BOULIANNE KESSLER,	MF VANGUARD EQUITY INCOME FD	S	10,002.45	0.00	5.00	-5.00	0.0	0.00
07/05/2018 368288519	MOLTER, DANE	MF VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
07/05/2018 368294569	MOLTER, RACHEL	MF VANGUARD EQUITY INCOME FD	S	452.45	0.00	2.50	-2.50	0.0	0.00
07/23/2018 368353272	TARRH, LLOYD	MF VANGUARD DIV APPR INDEX FD INV	B	-74,997.55	0.00	5.00	-5.00	0.0	0.00
07/11/2018 429964612	KIRBY, LINDA	MF VANGUARD SMALL CAP INDEX FD ADM	B	-1,997.55	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583761003	PERRY, KELLY	MF VANGUARD BALANCED INDEX FD	S	-16,260.76	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583761003	PERRY, KELLY	MF VANGUARD FEDERAL MONEY MARKET	S	-44,739.30	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583761003	PERRY, KELLY	MF VANGUARD INTL GROWTH FD	S	-9,245.99	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583761003	PERRY, KELLY	MF VANGUARD US GROWTH FD	S	-27,191.52	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583778780	PERRY, KELLY	MF VANGUARD BALANCED INDEX FD	S	-15,674.46	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583778780	PERRY, KELLY	MF VANGUARD STAR FUND	S	-5,653.14	0.00	5.00	-5.00	0.0	0.00
07/13/2018 583778780	PERRY, KELLY	MF VANGUARD US GROWTH FD	S	-6,438.27	0.00	5.00	-5.00	0.0	0.00
07/25/2018 646263838	O'BRYAN, THOMAS	MF VANGUARD GROWTH INDEX FD	S	252.45	0.00	2.50	-2.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$-191,038.74	\$0.00	\$57.50	\$-57.50		\$0.00
PRODUCT CATEGORY: STOCKS									
07/13/2018 153519101	BOULIANNE KESSLER,	S ISHARES CORE MSCI EAFE ETF	B	2,954.78	15.00	15.00	0.00	0.0	0.00
07/13/2018 153519101	BOULIANNE KESSLER,	S VANGUARD SMALL-CAP VALUE ETF	B	2,931.41	15.00	15.00	0.00	0.0	0.00
07/16/2018 153519101	BOULIANNE KESSLER,	S ISHARES CORE MSCI EMERGING	B	1,967.31	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$7,853.50	\$45.00	\$45.00	\$0.00		\$0.00
PRODUCT CATEGORY: BONDS									
07/16/2018 153519101	BOULIANNE KESSLER,	B AUTOZONE INC SR NT 4% 20	B	9,247.95	19.98	15.00	4.98	0.0	0.00
07/24/2018 368353272	TARRH, LLOYD	B MONSANTO CO NEW SR GLBL NT 21	B	29,405.41	19.80	15.00	4.80	0.0	0.00
07/25/2018 368353272	TARRH, LLOYD	B KRAFT HEINZ FOODS CO SR NT 3.5%	B	44,995.80	19.80	15.00	4.80	0.0	0.00
06/29/2018 646248902	KESSLER, ROGER	B MICROSOFT CORP GLOBAL ISIN	B	10,235.33	20.00	15.00	5.00	0.0	0.00
06/29/2018 646248902	KESSLER, ROGER	B XEROX CORPORATION CALL @ MAKE	B	15,190.21	19.95	15.00	4.95	0.0	0.00
07/03/2018 646248902	KESSLER, ROGER	B TIME WARNER CABLE INC CALLABLE	B	25,507.72	20.00	15.00	5.00	0.0	0.00
07/23/2018 768696333	VONGRIMMENSTEIN,	B VERIZON COMMUNICATIONS INC FR	B	9,715.79	20.00	15.00	5.00	0.0	0.00
* BONDS SUBTOTAL *				\$144,298.21	\$139.53	\$105.00	\$34.53		\$0.00

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PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS									
06/29/2018	184926334	CHIASSON LIV TRUST, UIT FIRST TRUST MUNICIPAL INC	B	34,999.06	0.00	10.00	-10.00	0.0	0.00
05/30/2018	400591930	JEFFERY, ROBERT UIT FIRST TRUST MUNICIPAL INC	B	-50,057.15	-51.09	-10.00	-41.09	0.0	0.00
05/30/2018	400591930	JEFFERY, ROBERT UIT FIRST TRUST MUNICIPAL INC	B	50,006.06	0.00	10.00	-10.00	0.0	0.00
07/24/2018	768696333	VONGRIMMENSTEIN, UIT FIRST TRUST DIVD & INC SELECT	B	17,996.21	0.00	10.00	-10.00	0.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *				\$52,944.18	\$-51.09	\$20.00	\$-71.09		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/23/2018	mfc037813	VARIOUS, ARNETT MFT AIM ENERGY FUND - CLASS A	B	0.00	1.27	0.00	1.27	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	0.94	0.00	0.94	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	2.69	0.00	2.69	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	6.54	0.00	6.54	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	8.96	0.00	8.96	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	12.58	0.00	12.58	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	13.17	0.00	13.17	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-A	B	0.00	16.61	0.00	16.61	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	31.79	0.00	31.79	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.70	0.00	2.70	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.21	0.00	5.21	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL WORLD GROWTH AND	B	0.00	5.65	0.00	5.65	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.68	0.00	1.68	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	0.95	0.00	0.95	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT DREYFUS MIDCAP INDEX FD INV	B	0.00	2.54	0.00	2.54	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	6.85	0.00	6.85	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FIRST EAGLE OVERSEAS FUND CLASS B	B	0.00	1.02	0.00	1.02	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FIRST EAGLE OVERSEAS FUND CLASS B	B	0.00	13.76	0.00	13.76	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FRANKLIN INCOME FUND CLASS C	B	0.00	20.56	0.00	20.56	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.08	0.00	0.08	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FRANKLIN INCOME FUND CLASS A	B	0.00	4.68	0.00	4.68	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FRANKLIN RISING DIVIDENDS FD	B	0.00	0.46	0.00	0.46	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT FUNDAMENTAL INVESTORS FUND	B	0.00	5.42	0.00	5.42	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT GABELLI SMALL CAP GROWTH FD CL	B	0.00	1.10	0.00	1.10	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT GOLD & SPECIAL MINERALS A	B	0.00	0.60	0.00	0.60	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.17	0.00	2.17	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	4.24	0.00	4.24	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT HARBOR INTERNATIONAL FD INV CL	B	0.00	3.61	0.00	3.61	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	27.88	0.00	27.88	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT JP MORGAN INVESTOR GROWTH FD CL	B	0.00	4.21	0.00	4.21	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT MFS TOTAL RETURN FUND-A	B	0.00	6.50	0.00	6.50	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT MUTUAL SERIES SHARES CLASS A	B	0.00	0.55	0.00	0.55	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT MUTUAL SERIES SHARES CLASS A	B	0.00	0.55	0.00	0.55	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT NEW WORLD FUND-A	B	0.00	18.85	0.00	18.85	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	1.39	0.00	1.39	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	2.19	0.00	2.19	0.0	0.00

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07/23/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	6.35	0.00	6.35	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	18.24	0.00	18.24	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT THE BOND FUND OF AMERICA-A	B	0.00	0.44	0.00	0.44	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.50	0.00	1.50	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	63.87	0.00	63.87	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.04	0.00	1.04	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT THE INCOME FUND OF AMERICA-A	B	0.00	5.52	0.00	5.52	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	0.71	0.00	0.71	0.0	0.00
07/23/2018	mfc037813	VARIOUS, ARNETT	MFT VOYA GLOBAL REAL ESTATE FUND -	B	0.00	1.03	0.00	1.03	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$338.65	\$0.00	\$338.65		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***					\$14,057.15	\$472.09	\$227.50	\$244.59		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00