

COMMISSION STATEMENT April 16, 2018

BARRY BRESIN

11 Walnut Hill Drive Penfield, NY 14526 BROKER #: 9

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT (CATEGORY: MUTUAL FUND 12B1 T		TRANSACTIONS					
	3 ***-**-805P - HALM, MATILDA ACCOUNT #: 01001312723	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	87.54	0.00	87.54	80.0	70.03
04/01/2018	3 ***-**-805P - HALM, MATILDA ACCOUNT #: 01001312723	AIM MID-CAP EQUITY FUND CLASS A BUY 0 Units @0.0000	0.00	13.98	0.00	13.98	80.0	11.18
04/09/2018	3 ***-**-716J - STERN, ROBERT ACCOUNT #: 04892903435	ALLIANCEBERNSTEIN MUNI INCOME-NY A BUY 0 Units @0.0000	0.00	9.92	0.00	9.92	80.0	7.94
03/31/2018	3 ***-**-4591 - MONSON, DAVID ACCOUNT #: 03209641225	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	1.78	0.00	1.78	80.0	1.42
03/31/2018	3 ***-**-595P - MONSON, DAVID ACCOUNT #: 03214417611	CAPITAL APPRECIATION B BUY 0 Units @0.0000	0.00	0.44	0.00	0.44	80.0	0.35
03/31/2018	3 ***-**-459I - MONSON, DAVID ACCOUNT #: 05030064900	DISCOVERY FUND N BUY 0 Units @0.0000	0.00	8.58	0.00	8.58	80.0	6.86
03/31/2018	3 ***-**-13IN - CLEMENT, SANDRA ACCOUNT #: 08352726779	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	11.50	0.00	11.50	80.0	9.20
03/31/2018	3 ***-**-595P - MONSON, DAVID ACCOUNT #: 08353042325	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	8.01	0.00	8.01	80.0	6.41
03/31/2018	3 ***-**-513I - CLEMENT, SANDRA ACCOUNT #: 08360372141	EQUITY INCOME FUND, INC. B BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	80.0	0.80
03/31/2018	3 ***-**-13IN - CLEMENT, SANDRA ACCOUNT #: 02156718118	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	15.92	0.00	15.92	80.0	12.74
03/31/2018	3 ***-**-4591 - MONSON, DAVID ACCOUNT #: 02157358560	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	8.96	0.00	8.96	80.0	7.17
03/31/2018	3 ***-**-513I - CLEMENT, SANDRA ACCOUNT #: 02163199603	GLOBAL OPPORTUNITIES B BUY 0 Units @0.0000	0.00	1.61	0.00	1.61	80.0	1.29
03/31/2018	3 ***-**-595P - MONSON, DAVID ACCOUNT #: 02163269486	GLOBAL OPPORTUNITIES B BUY 0 Units @0.0000	0.00	0.76	0.00	0.76	80.0	0.61
03/31/2018	3 ***-**-459I - MONSON, DAVID ACCOUNT #: 08810284076	INTERNATIONAL BOND A BUY 0 Units @0.0000	0.00	2.05	0.00	2.05	80.0	1.64
03/31/2018	3 ***-**-4591 - MONSON, DAVID ACCOUNT #: 08790188760	INTERNATIONAL BOND FUND N BUY 0 Units @0.0000	0.00	1.48	0.00	1.48	80.0	1.18
03/31/2018	3 ***-**-459I - MONSON, DAVID ACCOUNT #: 08259643414	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	80.0	1.30



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTIO	NS (contin	ued)				
	8 ***-**-4591 - MONSON, DAVID ACCOUNT #: 08180086526	INTERNATIONAL SMALL COMPANY FUND N BUY 0 Units @0.0000	0.00	17.96	0.00	17.96	80.0	14.37
03/31/201	8 ***-**-4591 - MONSON, DAVID ACCOUNT #: 07030194524	MAIN STREET FUND N BUY 0 Units @0.0000	0.00	4.65	0.00	4.65	80.0	3.72
03/31/201	8 ***-**-4591 - MONSON, DAVID ACCOUNT #: 08510229768	MAIN STREET SMALL CAP FUND N BUY 0 Units @0.0000	0.00	9.68	0.00	9.68	80.0	7.74
03/31/201	8 ***-**-13IN - CLEMENT, SANDRA ACCOUNT #: 05502613248	OPP PORT SERIES: ACTIVE ALLOC A BUY 0 Units @0.0000	0.00	9.95	0.00	9.95	80.0	7.96
03/31/201	8 ***-**-13IN - CLEMENT, SANDRA ACCOUNT #: 05451268630	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	8.96	0.00	8.96	80.0	7.17
03/31/201	8 ***-**-513I - CLEMENT, SANDRA ACCOUNT #: 05460141779	OPP PORT SERIES: MOD INV FD B BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	80.0	0.74
03/31/201	8 ***-**-84JT - BECKER, SHERMAN ACCOUNT #: 06210047762	OPP ROCHSTR SH TRM MUNI FND A BUY 0 Units @0.0000	0.00	28.64	0.00	28.64	80.0	22.91
03/31/201	8 ***-**-915P - BECKER, HILDA ACCOUNT #: 06210059488	OPP ROCHSTR SH TRM MUNI FND A BUY 0 Units @0.0000	0.00	32.71	0.00	32.71	80.0	26.17
03/31/201	8 ***-**-595P - MONSON, DAVID ACCOUNT #: 07860596762	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	2.66	0.00	2.66	80.0	2.13
03/31/201	8 ***-**-595P - MONSON, DAVID ACCOUNT #: 05005888982	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	80.0	3.41
03/31/201	8 ***-**-459I - MONSON, DAVID ACCOUNT #: 04130157542	OPPENHEIMER GOLD & SPC MINERALS FUN BUY 0 Units @0.0000	0.00	3.76	0.00	3.76	80.0	3.01
03/31/201	8 ***-**-595P - MONSON, DAVID ACCOUNT #: 02306892999	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	1.81	0.00	1.81	80.0	1.45
03/31/201	8 ***-**-595P - MONSON, DAVID ACCOUNT #: 01911535798	OPPENHEIMER STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	80.0	0.19
03/31/201	8 ***-**-459I - MONSON, DAVID ACCOUNT #: 02203614732	OPPENHEIMER U.S. GOVT TR CLASS A BUY 0 Units @0.0000	0.00	1.47	0.00	1.47	80.0	1.18
03/31/201	8 ***-**-196I - BRESIN, JANET ACCOUNT #: 05550336127	PORT SERIES: EQUITY INV FD A BUY 0 Units @0.0000	0.00	32.95	0.00	32.95	80.0	26.36
03/31/201	8 ***-**-513I - CLEMENT, SANDRA ACCOUNT #: 05510782067	PORTFOLIO SERIES: ACTIVE ALLOCATION BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	80.0	0.81



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		BROKER TRA	ANSACTIONS (continu	ıed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	RAILS (continued)							
04/16/201	8 ***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000		0.00	19.83	0.00	19.83	80.0	15.86
04/16/201	8 ***-**-010R - LOMBARD, LAURIE	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000		0.00	10.99	0.00	10.99	80.0	8.79
04/02/201	8 ***-**-13IN - CLEMENT, SANDRA ACCOUNT #: 00510129039	PUTNAM HIGH YIELD ADVANTAGE-A BUY 0 Units @0.0000		0.00	16.34	0.00	16.34	80.0	13.07
04/16/201	8 ***-**-892K - STERN MD PC ACCOUNT #: BRK115201	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000		0.00	891.67	0.00	891.67	80.0	713.34
03/31/201	8 ***-**-459I - MONSON, DAVID ACCOUNT #: 02576958699	QUEST BALANCED A BUY 0 Units @0.0000		0.00	0.05	0.00	0.05	80.0	0.04
03/31/201	8 ***-**-595P - MONSON, DAVID ACCOUNT #: 05901279091	REAL ESTATE FUND A BUY 0 Units @0.0000		0.00	0.59	0.00	0.59	80.0	0.47
03/31/201	8 ***-**-459I - MONSON, DAVID ACCOUNT #: 05930110968	REAL ESTATE FUND N BUY 0 Units @0.0000		0.00	2.40	0.00	2.40	80.0	1.92
03/31/201	8 ***-**-13IN - CLEMENT, SANDRA ACCOUNT #: 03652981220	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000		0.00	3.80	0.00	3.80	80.0	3.04
03/31/201	8 ***-**-196J - TEN WROS NOT TC, ACCOUNT #: 03653211373	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000		0.00	1.03	0.00	1.03	80.0	0.82
03/31/201	8 ***-**-7195 - SHARON M ACCOUNT #: 03653214959	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000		0.00	8.62	0.00	8.62	80.0	6.90
03/31/201	8 ***-**-7197 - LYNN M ABRAHAM, ACCOUNT #: 03653225497	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000		0.00	8.61	0.00	8.61	80.0	6.89
04/16/201	8 ***-**-21IN - BRESIN, EMMA ACCOUNT #: 7200036096	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	177.06	0.00	177.06	80.0	141.65
04/16/201	8 ***-**-939I - BRESIN, HOWARD ACCOUNT #: 7200036177	SAMMONS MF TRAILS BUY 0 Units @0.0000		0.00	313.20	0.00	313.20	80.0	250.56
03/31/201	8 ***-**-459I - MONSON, DAVID ACCOUNT #: 02211138830	U.S. GOVERNMENT TRUST B BUY 0 Units @0.0000		0.00	0.51	0.00	0.51	80.0	0.41
		* MUTUAL FUND 12B1 TRAILS SUBTO	TOTAL *	\$0.00	\$1,791.49	\$0.00	\$1,791.49		\$1,433.20
		*** BROKER TRANSACTIONS TOTAL	TAL ***	\$0.00	\$1,791.49	\$0.00	\$1,791.49		\$1,433.20



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						BROKER COMM	ISSION	TOTALS
					Broke	er Transactions	1	\$1,433.20
					Spli	t Transactions		\$0.00
					Overrio	de Transactions	;	\$0.00
						Adjustments	;	\$0.00
						Payroll Draw	,	\$0.00
						Base Salary		\$0.00
					Prior	Period Balance	<u>:</u>	\$0.00
					F	INRA Assessment		\$0.00
					2	SIPC Assessment		\$0.00
					Net Cor	mmission Earned	l	\$1,433.20
					Year-to	o-date Earnings	1	\$23,961.73