



All Companies

03/29/2018

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COMMISSION STATEMENT

April 02, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/12/2018	00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
03/12/2018	00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
03/23/2018	00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
03/23/2018	00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
03/12/2018	00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
03/12/2018	00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
03/23/2018	00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
03/23/2018	00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
03/12/2018	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/23/2018	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/23/2018	00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/19/2018	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/23/2018	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/23/2018	00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/12/2018	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/23/2018	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/23/2018	00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/12/2018	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/19/2018	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/23/2018	00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/23/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/19/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/19/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/23/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
03/23/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/12/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/19/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/19/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/23/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/23/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
03/12/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/12/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	7.40	0.07	0.00	0.07	70.0	0.05
03/19/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/19/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/23/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/23/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
03/12/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	25.73	0.26	0.00	0.26	70.0	0.18
03/12/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	42.88	0.43	0.00	0.43	70.0	0.30
03/19/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	30.50	0.31	0.00	0.31	70.0	0.22
03/19/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	50.84	0.51	0.00	0.51	70.0	0.36
03/23/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	29.40	0.29	0.00	0.29	70.0	0.20
03/23/2018	00089300309	VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C	B	49.00	0.49	0.00	0.49	70.0	0.34
03/12/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
03/12/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/19/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
03/19/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/23/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
03/23/2018	00089330264	GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	32.71	0.33	0.00	0.33	70.0	0.23
03/12/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
03/19/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
03/19/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	36.68	0.37	0.00	0.37	70.0	0.26
03/23/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	21.79	0.22	0.00	0.22	70.0	0.15
03/23/2018	00089330303	DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C	B	24.28	0.24	0.00	0.24	70.0	0.17
03/12/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	17.40	0.17	0.00	0.17	70.0	0.12
03/12/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/19/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/19/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS	2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/23/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS	2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/23/2018	00089330539	HANSFORD, JAMES L. AMERICAN FUNDS	2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/12/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025 TARGET DATE-C	B	27.08	0.27	0.00	0.27	70.0	0.19
03/12/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/19/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025 TARGET DATE-C	B	19.10	0.19	0.00	0.19	70.0	0.13
03/19/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/23/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025 TARGET DATE-C	B	19.86	0.20	0.00	0.20	70.0	0.14
03/23/2018	00089333558	SMITH, SANDRA AMERICAN FUNDS	2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
03/12/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/12/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040 TARGET DATE-C	B	17.28	0.17	0.00	0.17	70.0	0.12
03/19/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040 TARGET DATE-C	B	15.84	0.16	0.00	0.16	70.0	0.11
03/19/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
03/23/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040 TARGET DATE-C	B	19.20	0.19	0.00	0.19	70.0	0.13
03/23/2018	04000050235	RAINBOLT, JOEY AMERICAN FUNDS	2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *					\$4,930.97	\$49.31	\$0.00	\$49.31		\$34.60
PRODUCT CATEGORY: STOCKS										
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES		B	69.79	69.79	0.00	69.79	70.0	48.85
* STOCKS SUBTOTAL *					\$69.79	\$69.79	\$0.00	\$69.79		\$48.85
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	21.81	0.00	21.81	70.0	15.27
04/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES			0.00	4.18	0.00	4.18	70.0	2.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$25.99	\$0.00	\$25.99		\$18.19
*** BROKER TRANSACTIONS TOTAL ***					\$5,000.76	\$145.09	\$0.00	\$145.09		\$101.64
ADJUSTMENTS										
04/02/2018		E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
04/02/2018		HILLTOP: CUSTOMER WRITE OFF			0.00	0.00	0.00	0.00		-43.00
04/02/2018		TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-170.50

BROKER COMMISSION TOTALS

Broker Transactions	\$101.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-170.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-68.86
Year-to-date Earnings	\$4,596.81