



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017

Page No. 1

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	9.68	0.00	9.68	92.0	8.91
03/01/2017	KUSUNOKI, MIKE	AM FUNDS AMERICAN BALANCED 529C	0.00	9.61	0.00	9.61	92.0	8.84
03/01/2017	BERNARD, AVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.02	0.00	1.02	92.0	0.94
03/01/2017	BERNARD, AVA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.37	0.00	3.37	92.0	3.10
03/01/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	30.69	0.00	30.69	92.0	28.23
03/01/2017	BERNARD, AVA	AM FUNDS NEW WORLD 529A	0.00	2.61	0.00	2.61	92.0	2.40
03/01/2017	BERNARD, JASON	AM FUNDS SMALLCAP WORLD A	0.00	1.78	0.00	1.78	92.0	1.64
03/01/2017	BERNARD, AVA	AM FUNDS SMALLCAP WORLD-529A	0.00	1.81	0.00	1.81	92.0	1.67
03/01/2017	BERNARD, AVA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.05	0.00	3.05	92.0	2.81
02/21/2017	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	92.0	0.46
02/21/2017	MITSCH, JAY	OPPENHEIMER INTL GROWTH C	50.00	0.50	0.00	0.50	92.0	0.46
02/21/2017	MITSCH, JAY	OPPENHEIMER MAIN ST SMALL & MID CAP	50.00	0.50	0.00	0.50	92.0	0.46
02/16/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
02/21/2017	MITSCH, JAY	OPPENHEIMER RISING DIVIDENDS C	50.00	0.50	0.00	0.50	92.0	0.46
02/21/2017	MITSCH, JAY	OPPENHEIMER SMALL & MIDCAP GROWTH C	50.00	0.50	0.00	0.50	92.0	0.46
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$750.00</b>	<b>\$71.12</b>	<b>\$0.00</b>	<b>\$71.12</b>		<b>\$65.44</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/01/2017	CASANOVA, JESSE	PULSE BIOSCIENCES INC COM	-20,101.05	201.01	24.25	176.76	92.0	162.62
03/01/2017	DERING, DAMIAN	PULSE BIOSCIENCES INC COM	-19,978.35	0.00	24.25	-24.25	92.0	-22.31
03/01/2017	CASANOVA, JESSE	SUNEDISON INC COM	-411.00	25.00	27.00	-2.00	92.0	-1.84
03/01/2017	DERING, DAMIAN	SUNEDISON INC COM	-411.00	0.00	27.00	-27.00	92.0	-24.84
<b>* STOCKS SUBTOTAL *</b>			<b>\$-40,901.40</b>	<b>\$226.01</b>	<b>\$102.50</b>	<b>\$123.51</b>		<b>\$113.63</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/01/2017	FARFAN, FRANK	AM GEN SEASONS ELITE	83,104.76	207.76	0.00	207.76	92.0	191.14
02/28/2017	LAXER, JACK	JNL PERSPECTIVE L	53,318.72	44.43	0.00	44.43	92.0	40.88

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/28/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	59,185.44	49.32	0.00	49.32	92.0	45.37
02/28/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	75,314.43	62.76	0.00	62.76	92.0	57.74
02/28/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	75,642.24	63.04	0.00	63.04	92.0	58.00
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$346,565.59</b>	<b>\$427.31</b>	<b>\$0.00</b>	<b>\$427.31</b>		<b>\$393.13</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$306,414.19</b>	<b>\$724.44</b>	<b>\$102.50</b>	<b>\$621.94</b>		<b>\$572.20</b>

**ADJUSTMENTS**

03/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					677.20
03/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-2.20
03/15/2017		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-100.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$572.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$572.20</b>
Year-to-date Gross	<b>\$29,180.71</b>
Year-to-date Earnings	<b>\$26,622.59</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed