



Concorde Investment Services, LLC
COMMISSION STATEMENT
 June 15, 2017

06/13/2017
 Page No. 1

MATT BRANSTETTER
 PO Box 250
 111 S. Main Street
 Noble, OK 73068

BROKER #: B116
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/01/2017	MITCHELL, DAVID	AM FUNDS AMCAP C	0.00	16.62	80.0	13.30	0.00	13.30
05/25/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
06/01/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	0.00	0.83	80.0	0.66	0.00	0.66
06/01/2017	MITCHELL, DAVID	AM FUNDS AMERICAN BALANCED C	0.00	21.13	80.0	16.90	0.00	16.90
06/01/2017	EVERETT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.10	80.0	3.28	0.00	3.28
06/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.92	80.0	2.34	0.00	2.34
06/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.29	80.0	4.23	0.00	4.23
06/01/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.90	80.0	2.32	0.00	2.32
06/01/2017	BENNETT, BROOKLYNN	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.04	80.0	0.83	0.00	0.83
06/01/2017	LAWTON, KATHY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.73	80.0	2.98	0.00	2.98
06/01/2017	BENNETT, BRIAH	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.12	80.0	3.30	0.00	3.30
06/01/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.03	80.0	1.62	0.00	1.62
06/01/2017	MITCHELL, DAVID	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	17.67	80.0	14.14	0.00	14.14
06/01/2017	FOX, JERALDINE	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	3.98	80.0	3.18	0.00	3.18
06/01/2017	NEWBERN, KAYLI	AM FUNDS GROWTH FUND OF AMERICA	0.00	43.10	80.0	34.48	0.00	34.48
06/01/2017	LAWTON, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.25	80.0	3.40	0.00	3.40
06/01/2017	NEWBERN, KAYLI	AM FUNDS ICA 529A	0.00	2.80	80.0	2.24	0.00	2.24
06/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
06/01/2017	BENNETT, MARLA	AM FUNDS NEW ECONOMY A	0.00	7.94	80.0	6.35	0.00	6.35
06/01/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	0.00	11.64	80.0	9.31	0.00	9.31
06/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW ECONOMY A	0.00	0.68	80.0	0.54	0.00	0.54
06/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	0.00	3.29	80.0	2.63	0.00	2.63
06/01/2017	LAWTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.31	80.0	0.25	0.00	0.25
06/01/2017	SIMS, SAMANTHA	AM FUNDS NEW ECONOMY A	0.00	6.25	80.0	5.00	0.00	5.00
06/01/2017	BENNETT, BRIAH	AM FUNDS NEW ECONOMY C	0.00	2.70	80.0	2.16	0.00	2.16
06/01/2017	BENNETT, MARLA	AM FUNDS NEW PERSPECTIVE A	0.00	13.69	80.0	10.95	0.00	10.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW PERSPECTIVE A	0.00	1.02	80.0	0.82	0.00	0.82
06/01/2017	LAWTON, RONALD	AM FUNDS NEW PERSPECTIVE A	0.00	7.29	80.0	5.83	0.00	5.83
05/22/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
06/01/2017	BENNETT, BRIAH	AM FUNDS NEW PERSPECTIVE C	0.00	4.04	80.0	3.23	0.00	3.23
06/01/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	0.00	1.23	80.0	0.98	0.00	0.98
06/01/2017	BENNETT, BROOKLYNN	AM FUNDS NEW WORLD A	0.00	0.70	80.0	0.56	0.00	0.56
06/01/2017	MITCHELL, DAVID	AM FUNDS NEW WORLD C	0.00	12.34	80.0	9.87	0.00	9.87
06/01/2017	BENNETT, BRIAH	AM FUNDS NEW WORLD C	0.00	2.76	80.0	2.21	0.00	2.21
06/01/2017	BENNETT, MARLA	AM FUNDS SMALLCAP WORLD A	0.00	11.30	80.0	9.04	0.00	9.04
06/01/2017	LAWTON, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	1.50	80.0	1.20	0.00	1.20
06/01/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	0.00	1.83	80.0	1.46	0.00	1.46
06/01/2017	FOX, JERALDINE	AM FUNDS WASHINGTON MUTUAL	0.00	28.41	80.0	22.73	0.00	22.73
06/01/2017	FOX, JERALDINE	AM FUNDS WASHINGTON MUTUAL	0.00	0.64	80.0	0.51	0.00	0.51
/ /	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.88	80.0	0.70	0.00	0.70
04/30/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.22	80.0	0.18	0.00	0.18
04/30/2017	ROWE-BRANF	BLK MOD 13-16 AGE BASED A	0.00	0.15	80.0	0.12	0.00	0.12
05/15/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
05/25/2017	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
05/16/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
06/01/2017	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	1,994.21	99.71	80.0	79.77	0.00	79.77
05/22/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	146.98	1.47	80.0	1.18	0.00	1.18
05/22/2017	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
05/22/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40
05/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
05/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
05/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
05/25/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
05/25/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
05/25/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
05/16/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
/ /	WHITETREE, THOMAS	RYDEX EUROPE 1.25X DTGY - A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX EUROPE 1.25X DTGY - A	0.00	0.27	80.0	0.22	0.00	0.22

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	LIVELY, ELIZABETH	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	RYDEX EUROPE 1.25X DTGY - A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX EUROPE 1.25X DTGY - A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	ROLLINS, LISA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	TREADWAY, TOMMY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.53	80.0	0.42	0.00	0.42
/ /	DOWNING, JOHN	RYDEX EUROPE 1.25X STRATEGY C	0.00	1.13	80.0	0.90	0.00	0.90
/ /	ACOSTA, JF	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	BENSON, KARLA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POORE, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	MCFERRON, LINDA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	VOCI, ROBERTO	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.75	80.0	0.60	0.00	0.60
/ /	WILLIAMS, JACKIE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.99	80.0	0.79	0.00	0.79
/ /	WRIGHT, MARK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	POTTER, RK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BACON, RICHARD	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	ARRINGTON, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	TREADWAY, TOMMY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.39	80.0	0.31	0.00	0.31
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.83	80.0	0.66	0.00	0.66
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.15	80.0	0.12	0.00	0.12

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.56	80.0	0.45	0.00	0.45
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.73	80.0	0.58	0.00	0.58
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	RICK, JOSEPH	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.14	80.0	0.11	0.00	0.11
06/02/2017	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	50.00	2.00	80.0	1.60	0.00	1.60
06/02/2017	WILLIAMS, JACKIE	RYDEX GUGG ELECTRONICS C	815.00	8.15	80.0	6.52	0.00	6.52
/ /	WHITETREE, THOMAS	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	LIVELY, DUSTIN	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUNTER, TIMOTHY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HARRALD, DONALD	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	ROLLINS, LISA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.69	80.0	0.55	0.00	0.55
/ /	ACOSTA, JF	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DESHIELDS, JAMES	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POORE, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.46	80.0	0.37	0.00	0.37
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	WRIGHT, MARK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	POTTER, RK	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON, RICHARD	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	ARRINGTON, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.11	80.0	0.09	0.00	0.09
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.56	80.0	0.45	0.00	0.45
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.75	80.0	1.40	0.00	1.40
/ /	TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.48	80.0	2.78	0.00	2.78
/ /	MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	7.49	80.0	5.99	0.00	5.99
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.62	80.0	0.50	0.00	0.50
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.30	80.0	1.04	0.00	1.04
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.49	80.0	0.39	0.00	0.39
/ /	DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.56	80.0	0.45	0.00	0.45
/ /	POORE, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.40	80.0	1.12	0.00	1.12
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.16	80.0	1.73	0.00	1.73
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	4.97	80.0	3.98	0.00	3.98
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	6.47	80.0	5.18	0.00	5.18
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.65	80.0	0.52	0.00	0.52
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.64	80.0	0.51	0.00	0.51
/ /	RICK, JOSEPH	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.48	80.0	1.18	0.00	1.18
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.29	80.0	0.23	0.00	0.23

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.38	80.0	0.30	0.00	0.30
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.23	80.0	0.98	0.00	0.98
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.27	80.0	0.22	0.00	0.22
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.49	80.0	0.39	0.00	0.39
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	1.81	80.0	1.45	0.00	1.45
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.42	80.0	0.34	0.00	0.34
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.42	80.0	0.34	0.00	0.34
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.38	80.0	0.30	0.00	0.30
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.51	80.0	0.41	0.00	0.41
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.69	80.0	0.55	0.00	0.55
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, TOMMY	RYDEX JAPAN 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	0.25	80.0	0.20	0.00	0.20
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX JAPAN 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	RYDEX JAPAN 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	WILLIAMS, JACKIE	RYDEX JAPAN 2X STRATEGY C	0.00	0.22	80.0	0.18	0.00	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.59	80.0	0.47	0.00	0.47
/ /	TREADWAY, TOMMY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.08	80.0	0.86	0.00	0.86
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	2.29	80.0	1.83	0.00	1.83
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.19	80.0	0.15	0.00	0.15
/ /	MELTON, JOY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.40	80.0	0.32	0.00	0.32
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	DESHIELDS, JAMES	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.21	80.0	0.17	0.00	0.17
/ /	POORE, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.50	80.0	0.40	0.00	0.40
/ /	MCFERRON, LINDA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.66	80.0	0.53	0.00	0.53
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.52	80.0	1.22	0.00	1.22
/ /	WILLIAMS, JACKIE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	2.02	80.0	1.62	0.00	1.62
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.20	80.0	0.16	0.00	0.16
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.19	80.0	0.15	0.00	0.15
/ /	RICK, JOSEPH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.53	80.0	0.42	0.00	0.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ARRINGTON, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.38	80.0	0.30	0.00	0.30
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.55	80.0	0.44	0.00	0.44
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.21	80.0	0.17	0.00	0.17
* MUTUAL FUNDS SUBTOTAL *			\$5,031.19	\$478.89		\$383.18	\$0.00	\$383.18

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/31/2017	HERNDON, RONALD	JNL ELITE ACCESS	9,717.05	3.24	80.0	2.59	0.00	2.59
05/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	5,902.50	1.23	80.0	0.98	0.00	0.98
05/31/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,146.04	0.45	80.0	0.36	0.00	0.36
05/31/2017	CASTLE, DAVID	JNL ELITE ACCESS	2,641.83	0.55	80.0	0.44	0.00	0.44
05/31/2017	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,880.38	0.60	80.0	0.48	0.00	0.48
05/31/2017	PYLE JR, JUSTIN	JNL ELITE ACCESS	27,875.56	5.81	80.0	4.65	0.00	4.65
05/31/2017	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	9,288.35	1.94	80.0	1.55	0.00	1.55
05/31/2017	RAY, RANDY	JNL PERSPECTIVE II 04/14	26,561.30	11.07	80.0	8.86	0.00	8.86
05/31/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	47,553.03	9.91	80.0	7.93	0.00	7.93
05/31/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	8,352.41	6.96	80.0	5.57	0.00	5.57
05/31/2017	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,374.63	0.29	80.0	0.23	0.00	0.23
05/31/2017	HIPP, RONALD	JNL PERSPECTIVE II 05/05	10,018.23	2.09	80.0	1.67	0.00	1.67
05/31/2017	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	7,982.94	1.66	80.0	1.33	0.00	1.33
05/31/2017	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	81,784.04	17.04	80.0	13.63	0.00	13.63
05/31/2017	MCFERRON, LINDA	JNL PERSPECTIVE L	16,737.73	18.13	80.0	14.50	0.00	14.50
05/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	30,168.40	25.14	80.0	20.11	0.00	20.11
05/31/2017	WOODS III, JOHN	JNL PERSPECTIVE L	10,774.43	11.67	80.0	9.34	0.00	9.34
05/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	33,195.66	27.66	80.0	22.13	0.00	22.13
05/31/2017	DOWDY, LINDA	JNL PERSPECTIVE L	16,955.39	18.37	80.0	14.70	0.00	14.70

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	9,789.87	8.16	80.0	6.53	0.00	6.53
05/31/2017	RICK, JOSEPH	JNL PERSPECTIVE L	12,430.83	13.47	80.0	10.78	0.00	10.78
05/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	7,111.55	5.93	80.0	4.74	0.00	4.74
05/31/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,852.58	12.84	80.0	10.27	0.00	10.27
05/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	11,182.18	9.32	80.0	7.46	0.00	7.46
05/31/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,223.36	11.08	80.0	8.86	0.00	8.86
05/31/2017	WILSON, TIM	JNL PERSPECTIVE L	24,467.80	20.39	80.0	16.31	0.00	16.31
05/31/2017	WILSON, TIM	JNL PERSPECTIVE L	10,054.07	10.89	80.0	8.71	0.00	8.71
05/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	16,570.01	13.81	80.0	11.05	0.00	11.05
05/31/2017	HERNDON, RONALD	JNL PERSPECTIVE L	9,769.21	10.58	80.0	8.46	0.00	8.46
05/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	46,095.70	38.41	80.0	30.73	0.00	30.73
05/31/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	10,231.84	11.08	80.0	8.86	0.00	8.86
05/31/2017	MOORE, TONI	JNL PERSPECTIVE L	225,717.69	244.53	80.0	195.62	0.00	195.62
05/31/2017	MICHENER, DAVID	JNL PERSPECTIVE L	263,418.04	219.52	80.0	175.62	0.00	175.62
05/31/2017	LEMOND, MARY	JNL PERSPECTIVE L	20,488.58	17.07	80.0	13.66	0.00	13.66
05/31/2017	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,505.21	2.09	80.0	1.67	0.00	1.67
05/31/2017	BRANSTETTER, TODD	JNL PERSPECTIVE L	13,270.03	11.06	80.0	8.85	0.00	8.85
05/31/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	20,282.78	16.90	80.0	13.52	0.00	13.52
05/31/2017	POORE, NANCY	JNL PERSPECTIVE L	49,097.61	40.91	80.0	32.73	0.00	32.73
05/31/2017	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	58,280.73	48.57	80.0	38.86	0.00	38.86
05/31/2017	CASTLE, DAVID	JNL PERSPECTIVE L	118,171.49	98.48	80.0	78.78	0.00	78.78
05/31/2017	FARIS, THERESA ANN	JNL PERSPECTIVE L	34,969.90	29.14	80.0	23.31	0.00	23.31
05/31/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	94,282.55	78.57	80.0	62.86	0.00	62.86
05/31/2017	MCKIDDY, BOBBY	JNL PERSPECTIVE L	20,445.58	17.04	80.0	13.63	0.00	13.63
05/31/2017	TREADWAY, PAMELA	JNL PERSPECTIVE L	41,897.28	34.91	80.0	27.93	0.00	27.93
05/31/2017	FOX, JERALDINE	JNL PERSPECTIVE L	126,098.23	105.08	80.0	84.06	0.00	84.06
05/31/2017	DOWNING, JOHN	JNL PERSPECTIVE L	12,292.72	10.24	80.0	8.19	0.00	8.19
05/31/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	6,781.17	5.65	80.0	4.52	0.00	4.52
06/05/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	3,500.00	140.00	80.0	112.00	0.00	112.00
05/31/2017	STANFORD, DAVID	JOHN HANCOCK VENTURE	9,562.40	1.99	80.0	1.59	0.00	1.59
05/26/2017	GENTILE, PAULA	PAC LIFE INNOVATIVE SELECT	0.00	93.21	80.0	74.57	0.00	74.57
05/30/2017	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	41.12	80.0	32.90	0.00	32.90
06/01/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	4.13	80.0	3.30	0.00	3.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/23/2017	SULLENS, WILLIAM	PAC LIFE PACIFIC CHOICE	0.00	6.00	80.0	4.80	0.00	4.80
05/24/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	9.68	80.0	7.74	0.00	7.74
06/01/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	2.82	80.0	2.26	0.00	2.26
05/25/2017	MCFERRON, LARRY	PAC LIFE VALUE	0.00	40.01	80.0	32.01	0.00	32.01
05/30/2017	FISHER, SARA	PAC LIFE VALUE	0.00	226.49	80.0	181.19	0.00	181.19
06/01/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	10.56	80.0	8.45	0.00	8.45
06/01/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	7.04	80.0	5.63	0.00	5.63
06/01/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	11.01	80.0	8.81	0.00	8.81
06/01/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	8.45	80.0	6.76	0.00	6.76
06/01/2017	FISHER, SARA	PAC LIFE VALUE	0.00	8.40	80.0	6.72	0.00	6.72
06/02/2017	HOLLAND, CANDACE	PAC LIFE VALUE	0.00	8.16	80.0	6.53	0.00	6.53
* VARIABLE ANNUITIES SUBTOTAL *			\$1,652,750.89	\$1,928.60		\$1,542.88	\$0.00	\$1,542.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	ALLGIRE, ERNESTINE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.84	80.0	0.67	0.00	0.67
05/11/2017	ALLGIRE, ERNESTINE	FRANKLIN UTILITIES A	0.00	0.88	80.0	0.70	0.00	0.70
05/11/2017	LAWTON, E ANN	FRANKLIN UTILITIES A	0.00	3.56	80.0	2.85	0.00	2.85
05/22/2017	LAWTON, E ANN	OPPENHEIMER DEVELOPING MARKETS C	0.00	6.85	80.0	5.48	0.00	5.48
05/11/2017	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	17.23	80.0	13.78	0.00	13.78
05/11/2017	CASTLE, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	12.02	80.0	9.62	0.00	9.62
05/11/2017	ACOSTA, ANNA	POWER DIVIDEND INDEX FUND CLASS C	0.00	17.12	80.0	13.70	0.00	13.70
05/11/2017	ALLGIRE, ERNESTINE	POWER DIVIDEND INDEX FUND CLASS C	0.00	0.85	80.0	0.68	0.00	0.68
05/11/2017	LAWTON, E ANN	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.40	80.0	1.12	0.00	1.12
05/11/2017	TREADWAY, TOMMY	POWER DIVIDEND INDEX FUND CLASS C	0.00	9.44	80.0	7.55	0.00	7.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$70.19		\$56.15	\$0.00	\$56.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/19/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	30.13	80.0	24.10	0.00	24.10
05/19/2017	SALLEE, MARY	PAC LIFE PACIFIC CHOICE	0.00	42.77	80.0	34.22	0.00	34.22
05/19/2017	JOHNSON, LAURENCE	PAC LIFE PACIFIC CHOICE	0.00	92.53	80.0	74.02	0.00	74.02
05/15/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	455.92	80.0	364.74	0.00	364.74
05/15/2017	JOHNSON, LAURENCE	PAC LIFE VALUE	0.00	46.78	80.0	37.42	0.00	37.42
05/15/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	312.03	80.0	249.62	0.00	249.62
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$980.16		\$784.12	\$0.00	\$784.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$1,657,782.08	\$3,457.84	\$2,766.33	\$0.00	\$2,766.33
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ADJUSTMENTS

06/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00			-300.00
06/15/2017	*MARKETING LIBRARY: MARKETING	0.00			-20.00
06/15/2017	*PERSHING: BOOKS & RECORDS	0.00			-3.96
06/15/2017	*PERSHING: ETF PROSPECTUS MAILING	0.00			-6.20
06/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00			-275.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$-605.16	\$-605.16

BROKER COMMISSION TOTALS

Broker Transactions	\$2,766.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-605.16
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,161.17
Year-to-date Gross	\$32,244.88
Year-to-date Earnings	\$25,031.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed