



# COMMISSION STATEMENT

## July 30, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @28.6300	125.00	3.44	0.00	3.44	75.0	2.58
07/23/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 17 Units @29.0800	500.00	21.25	0.00	21.25	75.0	15.94
07/23/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @29.3200	60.00	3.00	0.00	3.00	75.0	2.25
07/25/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	AMERICAN BALANCED FUND-A BUY 4 Units @28.8500	116.00	3.19	0.00	3.19	75.0	2.39
07/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	AMERICAN BALANCED FUND-A BUY 4 Units @29.1500	115.00	4.31	0.00	4.31	75.0	3.23
07/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5600	100.00	2.75	0.00	2.75	75.0	2.06
07/23/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5600	25.50	0.70	0.00	0.70	75.0	0.53
07/23/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 66 Units @10.5900	700.00	21.00	0.00	21.00	75.0	15.75
07/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	CAPITAL INCOME BUILDER-A BUY 1 Units @63.9000	50.00	1.88	0.00	1.88	75.0	1.41
07/23/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.1900	100.00	2.75	0.00	2.75	75.0	2.06
07/23/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.1900	31.50	0.87	0.00	0.87	75.0	0.65
07/23/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	CAPITAL WORLD GROWTH AND INCOME FUN BUY 9 Units @54.0300	500.00	21.25	0.00	21.25	75.0	15.94
07/23/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	EUROPACIFIC GROWTH FUND-A BUY 1 Units @56.0700	31.50	0.87	0.00	0.87	75.0	0.65
07/25/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	EUROPACIFIC GROWTH FUND-A BUY 1 Units @56.9000	85.00	2.34	0.00	2.34	75.0	1.76
07/16/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 000000000426484	FRANKLIN NEW YORK TAX FREE CLASS C BUY 14 Units @10.8300	150.00	1.50	0.00	1.50	75.0	1.13
07/16/2018	***-**-481J - MERRILL, JASON ACCOUNT #: 000000000033504	FRANKLIN NY INTR-TRM TF INC FD BUY 13 Units @11.3900	150.00	1.50	0.00	1.50	75.0	1.13



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/23/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	300.00	12.75	0.00	12.75	75.0	9.56
	ACCOUNT #: 00085993216	BUY 5 Units @63.0000						
07/23/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	75.0	3.61
	ACCOUNT #: 00085154620	BUY 3 Units @57.4800						
07/23/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00085839015	BUY 1 Units @57.4800						
07/25/2018	***-**-4427 - ZOGBY, MICHAEL	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00084492487	BUY 3 Units @58.3200						
07/25/2018	***-**-7449 - JONES, D	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00087990221	BUY 1 Units @58.9300						
07/23/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 00085839015	BUY 1 Units @23.8900						
07/23/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @24.4600						
07/25/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 00087990221	BUY 5 Units @24.3600						
07/25/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	75.0	3.33
	ACCOUNT #: 00087990221	BUY 2 Units @48.3700						
* MUTUAL FUNDS SUBTOTAL *			<b>\$3,879.33</b>	<b>\$129.99</b>	<b>\$0.00</b>	<b>\$129.99</b>		<b>\$97.51</b>
<b>PRODUCT CATEGORY: RIA</b>								
08/01/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	59.45	59.45	0.00	59.45	75.0	44.59
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						
08/01/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	124.55	124.55	0.00	124.55	75.0	93.41
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
08/01/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	128.68	128.68	0.00	128.68	75.0	96.51
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			<b>\$312.68</b>	<b>\$312.68</b>	<b>\$0.00</b>	<b>\$312.68</b>		<b>\$234.51</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/31/2018	***-**-108X - FOREST, NSPS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.81	0.00	0.81	75.0	0.61
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
07/31/2018	***-**-336J - GETCHELL, DIANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR011100	BUY 1 Units @0.0000						
07/31/2018	***-**-336I - GETCHELL, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
07/31/2018	***-**-06IO - MARVIN, BOBBI	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: LSR012683	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.94</b>	<b>\$0.00</b>	<b>\$0.94</b>		<b>\$0.71</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,192.01</b>	<b>\$443.61</b>	<b>\$0.00</b>	<b>\$443.61</b>		<b>\$332.73</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$332.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$332.73</b>
<b>Year-to-date Earnings</b>	<b>\$37,205.44</b>