

**COMMISSION STATEMENT****March 31, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^03/13/2018	BROWN, KAY	AMERICAN FUNDS	1,640.74	2.02	0.00	2.02	90.0	0.00
^03/13/2018	BUFFENBARGER, LORETTA	AMERICAN FUNDS	731.70	0.90	0.00	0.90	90.0	0.00
^03/13/2018	BEGHTEL, JOEL	AMERICAN FUNDS	760.80	0.94	0.00	0.94	90.0	0.00
^03/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	372.74	0.46	0.00	0.46	90.0	0.00
^03/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	1,057.75	1.30	0.00	1.30	90.0	0.00
^03/08/2018	DORSETT, JERRY	TD AMERITRADE	39,536.37	-27.16	0.00	-27.16	90.0	0.00
^03/08/2018	DORSETT, LARA	TD AMERITRADE	73,803.10	-50.65	0.00	-50.65	90.0	0.00
* RIA SUBTOTAL *			\$117,903.20	\$-72.19	\$0.00	\$-72.19		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$117,903.20	\$-72.19	\$0.00	\$-72.19		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

03/13/2018	BROWN, KAY	AMERICAN FUNDS	1,640.74	0.61	0.00	0.61	90.0	0.55
03/13/2018	BUFFENBARGER, LORETTA	AMERICAN FUNDS	731.70	0.27	0.00	0.27	90.0	0.24
03/13/2018	BEGHTEL, JOEL	AMERICAN FUNDS	760.80	0.28	0.00	0.28	90.0	0.25
03/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	372.74	0.14	0.00	0.14	90.0	0.13
03/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	1,057.75	0.39	0.00	0.39	90.0	0.35
03/08/2018	DORSETT, JERRY	TD AMERITRADE	39,536.37	-8.15	0.00	-8.15	90.0	-7.34
03/08/2018	DORSETT, LARA	TD AMERITRADE	73,803.10	-15.20	0.00	-15.20	90.0	-13.68
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$117,903.20	\$-21.66	\$0.00	\$-21.66		\$-19.50
*** SPLIT TRANSACTIONS TOTAL ***			\$117,903.20	\$-21.66	\$0.00	\$-21.66		\$-19.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/31/2018		*PERSHING: ETF PROSPECTUS	0.00					-0.01
03/31/2018		*PERSHING: ETF PROSPECTUS	0.00					-2.21
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2.22

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$-19.50
Override Transactions	\$0.00
Adjustments	\$-2.22
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-21.72
Year-to-date Gross	\$5,451.69
Year-to-date Earnings	\$4,906.55

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed