



Concorde Investment Services, LLC

06/12/2018

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COMMISSION STATEMENT**June 15, 2018**

OVERRIDE REJECT

BROKER #: C999

BRANCH: CIS HOME OFFICE

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/07/2018	KRAMER, LARRY	US BANCORP DEL COM	-18,462.98	30.00	22.53	7.47	0.0	0.00
		* STOCKS SUBTOTAL *	\$-18,462.98	\$30.00	\$22.53	\$7.47		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-18,462.98	\$30.00	\$22.53	\$7.47		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.21	0.00	0.21	0.0	0.00
05/30/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.21	0.00	0.21	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$41.26	\$0.42	\$0.00	\$0.42		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.32	0.00	0.32	0.0	0.00
05/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	0.0	0.00
05/17/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.09	0.00	3.09	0.0	0.00
05/17/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.26	0.00	9.26	0.0	0.00
05/17/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.19	0.00	6.19	0.0	0.00
05/17/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.57	0.00	18.57	0.0	0.00
05/17/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.64	0.00	20.64	0.0	0.00
05/17/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	61.92	0.00	61.92	0.0	0.00
05/17/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.42	0.00	10.42	0.0	0.00
05/17/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.26	0.00	31.26	0.0	0.00
05/17/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.13	0.00	5.13	0.0	0.00
05/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	22.67	0.00	22.67	0.0	0.00
05/18/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.61	0.00	28.61	0.0	0.00
05/18/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	13.91	0.00	13.91	0.0	0.00
05/31/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	2.06	0.00	2.06	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$235.37	\$0.00	\$235.37		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/31/2018	EDUCATION, BOARD OF	VOYA RETIREMENT & ANNUITY	8,510.00	46.65	0.00	46.65	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$8,510.00	\$46.65	\$0.00	\$46.65		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$8,551.26	\$282.44	\$0.00	\$282.44		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,163.71
Year-to-date Earnings	\$0.00

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