

**COMMISSION STATEMENT****April 15, 2018**JENNIFER, D134 DONAIS
1260 N. JoplinBROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

Mesa, AZ 85207-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.60	0.00	1.60	90.0	1.44
03/09/2018	SINNESS, LOYE	MFS VALUE A	0.00	0.79	0.00	0.79	90.0	0.71
03/09/2018	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.95	0.00	2.95	90.0	2.66
03/09/2018	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.58	0.00	3.58	90.0	3.22
03/09/2018	SINNESS, LOYE	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.39	0.00	0.39	90.0	0.35
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$9.31	\$0.00	\$9.31		\$8.38
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/15/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.77	0.00	0.77	90.0	0.69
03/15/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.73	0.00	0.73	90.0	0.66
03/15/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.71
03/15/2018	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.73	0.00	0.73	90.0	0.66
03/15/2018	DONAI, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.44	0.00	1.44	90.0	1.30
03/15/2018	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.36	0.00	0.36	90.0	0.32
03/15/2018	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.40	0.00	0.40	90.0	0.36
03/19/2018	DONAI, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.05	0.00	1.05	90.0	0.95
03/19/2018	DONAI, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.56	0.00	4.56	90.0	4.10
03/19/2018	DONAI, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.21	0.00	3.21	90.0	2.89
03/19/2018	DONAI, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.60	0.00	2.60	90.0	2.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.64	\$0.00	\$16.64		\$14.98
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$25.95	\$0.00	\$25.95		\$23.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #10</u>								
04/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
04/02/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	2.59	0.00	2.59	90.0	2.33
04/02/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	1.72	0.00	1.72	90.0	1.55
04/02/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	1.33	0.00	1.33	90.0	1.20
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$1,020.00	\$7.15	\$0.00	\$7.15		\$6.44
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
03/15/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.19	0.00	1.19	90.0	1.07
03/15/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
03/28/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.34	0.00	0.34	90.0	0.31
03/20/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.55	0.00	0.55	90.0	0.50
03/23/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.36	0.00	1.36	90.0	1.22
03/19/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
03/19/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.63	0.00	3.63	90.0	3.27
03/27/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.35	0.00	0.35	90.0	0.32
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$0.00	\$8.11	\$0.00	\$8.11		\$7.31
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
04/02/2018	MANN, REID	HPI REAL ESTATE FUND VI LLC	75,000.00	0.00	0.00	0.00	90.0	0.00
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$75,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** SPLIT TRANSACTIONS TOTAL ***			\$76,020.00	\$15.26	\$0.00	\$15.26		\$13.75

ADJUSTMENTS

04/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
04/15/2018	*CONCIERGE SVC: 211549 LYNDE	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 211585 MANN	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 211589 MANN	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 211724 MANN	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 212290 AAFEDT	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 212303 AAFEDT	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 213025 MANN	0.00	-10.00
04/15/2018	*CONCIERGE SVC: 213031 MANN	0.00	-10.00

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<u>ADJUSTMENTS</u>								
04/15/2018		*CONCIERGE SVC: 213037 MANN	0.00					-10.00
04/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
04/15/2018		*FINRA: NC INDIVIDUAL REG. FEE	0.00					-125.00
04/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.83
04/15/2018		*PERSHING: WIRED FUNDS FEE	0.00					-13.40
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.30
04/15/2018		*RBC: W/O DB 7A502930	0.00					-77.38
04/15/2018		*RBC: WEALTHSTATION	0.00					-25.00
04/15/2018		*RBC: WEALTHSTATION - BUMGARDNER	0.00					-16.75
04/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					-67.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-902.66

BROKER COMMISSION TOTALS

Broker Transactions	\$23.36
Split Transactions	\$13.75
Override Transactions	\$0.00
Adjustments	\$-902.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-865.55
Year-to-date Gross	\$7,810.13
Year-to-date Earnings	\$6,728.27

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