



All Companies

# COMMISSION STATEMENT

August 01, 2018

ROTHSCHILD/POOL

BROKER #: 9629  
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for ROTHSCCHILD/POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	113.20	0.00	0.00	0.00	100.0	0.00
06/30/2018	TRAILS	-PRUDENTIAL MUTUAL FUND	B	67.27	0.00	0.00	0.00	100.0	0.00
07/18/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	0.00	0.00	0.00	100.0	0.00
07/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B	B	62.50	0.00	0.00	0.00	100.0	0.00
07/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B	B	62.50	0.00	0.00	0.00	100.0	0.00
07/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	62.50	0.00	0.00	0.00	100.0	0.00
07/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B	B	62.50	0.00	0.00	0.00	100.0	0.00
07/16/2018	00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	100.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B	B	50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	50.00	0.00	0.00	0.00	100.0	0.00
07/17/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN FUNDS - GENERAL	B	1,990.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	110.00	0.00	0.00	0.00	100.0	0.00
07/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	104.00	0.00	0.00	0.00	100.0	0.00
07/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B	B	208.00	0.00	0.00	0.00	100.0	0.00
07/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	105.00	0.00	0.00	0.00	100.0	0.00
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B	B	253.85	0.00	0.00	0.00	100.0	0.00
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B	B	100.88	0.00	0.00	0.00	100.0	0.00
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B	B	261.55	0.00	0.00	0.00	100.0	0.00
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B	B	103.94	0.00	0.00	0.00	100.0	0.00
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	253.85	0.00	0.00	0.00	100.0	0.00
07/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B	B	100.88	0.00	0.00	0.00	100.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/16/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS BD FD OF AMER FD B		50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C B		100.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C B		100.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C B		100.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	CAPITAL INCOME BUILDER-529C B		100.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE BOND FUND OF AMERICA-529C B		100.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE INCOME FUND OF AMERICA-529C B		50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	U.S. GOVERNMENT SECURITIES FUND-529 B		100.00	0.00	0.00	0.00	100.0	0.00
07/20/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE ENROLLMENT FUND-529A B		50.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	00088056101 PYLE, DYLAN	AMERICAN FUNDS INVESTMENT COMP OF A B		45.00	0.00	0.00	0.00	100.0	0.00
07/02/2018	00090021291 ATCHISON, STEPHEN	MFS - GENERAL B		100.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$5,572.42</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	0.00	0.00	0.00	100.0	0.00
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$5,572.42</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>