



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2017

04/18/2017
 Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
 BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u> | | | | | | | | |
| ^03/09/2017 | LACASSE, RAYMOND | GPB AUTOMOTIVE PORTFOLIO LP | 50,000.00 | 3,500.00 | 0.00 | 3,500.00 | 100.0 | 0.00 |
| | | * PRIVATE PLACEMENT SUBTOTAL * | \$50,000.00 | \$3,500.00 | \$0.00 | \$3,500.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: REIT</u> | | | | | | | | |
| ^02/28/2017 | LOYE, DEDRA | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 16.24 | 0.00 | 16.24 | 100.0 | 0.00 |
| | | * REIT SUBTOTAL * | \$0.00 | \$16.24 | \$0.00 | \$16.24 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^03/21/2017 | PAVIS, NANCY | GPB AUTOMOTIVE PORTFOLIO LP | 20,000.00 | 0.00 | 0.00 | 0.00 | 100.0 | 0.00 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$20,000.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| ^03/16/2017 | SKOLNICK, SHEILA | BYD COMPANY LTD SHS H ISIN#CNE10000 | -579.00 | 21.42 | 12.86 | 8.56 | 100.0 | 0.00 |
| ^03/16/2017 | SKOLNICK, SHEILA | BYD COMPANY LTD SHS H ISIN#CNE10000 | -1,445.00 | 53.58 | 18.66 | 34.92 | 100.0 | 0.00 |
| ^03/16/2017 | SKOLNICK, SHEILA | NEW YORK REIT INC COM | -42,509.28 | 450.00 | 27.00 | 423.00 | 100.0 | 0.00 |
| | | * STOCKS SUBTOTAL * | \$-44,533.28 | \$525.00 | \$58.52 | \$466.48 | | \$0.00 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$25,466.72 | \$4,041.24 | \$58.52 | \$3,982.72 | | \$0.00 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 03/31/2017 | | *PERSHING: MARK UPS | 0.00 | | | | | 0.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.04 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.04 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |