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Page No.



## COMMISSION STATEMENT July 31, 2018

JAMES AULENTI 49 Belknap Street BROKER #: A121 Terminated 12/31/2015

BRANCH: JAMES AULENTI

Westborough, MA 01581

| DATE       | CLIENT                 | INVESTMENT                              | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|------------|------------------------|---|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
|            |                        | DIRECT TRANS                            | SACTIONS             |                     |                    |                   |       |                      |
| PRODUCT CA | ATEGORY: MUTUAL FUND 1 |   |                      |                     |                    |                   |       |                      |
| 07/09/2018 | TEODORESCU, ANTON      | ALLIANCEBERNSTEIN GROWTH AND INC A      | 0.00                 | 84.28               | 0.00               | 84.28             | 0.0   | 0.00                 |
|            |                        | * MUTUAL FUND 12B1 TRAILS SUBTOTAL *    | \$0.00               | \$84.28             | \$0.00             | \$84.28           |       | \$0.00               |
|            |                        | *** DIRECT TRANSACTIONS TOTAL ***       | \$0.00               | \$84.28             | \$0.00             | \$84.28           |       | \$0.00               |
|            |                        |   |                      |                     | <u> 1</u>          | BROKER COMMI      | SSION | TOTALS               |
|            |                        |   |                      |                     | Broke              | r Transactions    |       | \$0.00               |
|            |                        |   |                      |                     | Spli               | t Transactions    |       | \$0.00               |
|            |                        |   |                      |                     | Overrid            | e Transactions    |       | \$0.00               |
|            |                        |   |                      |                     |                    | Adjustments       |       | \$0.00               |
|            |                        |   |                      |                     |                    | Payroll Draw      |       | \$0.00               |
|            |                        |   |                      |                     |                    | Base Salary       |       | \$0.00               |
|            |                        |   |                      |                     | Prior              | Period Balance    |       | \$0.00               |
|            |                        |   |                      |                     | FI                 | NRA Assessment    |       | \$0.00               |
|            |                        |   |                      |                     | S                  | IPC Assessment    |       | \$0.00               |
|            |                        | Please Retain for<br>THERE WILL BE NO C |                      |                     |                    | Balance Forward   | i     | \$0.00               |
|            |                        |   |                      |                     | Yea                | r-to-date Gros    | 5     | \$2,652.76           |
|            |                        |   |                      |                     | Year-te            | o-date Earning    | 5     | \$0.00               |