

**COMMISSION STATEMENT****July 31, 2018**WILLIAM, L129 LAYMAN
4321 Flagstaff Cove

BROKER #: L129

BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
		* MUTUAL FUNDS SUBTOTAL *	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2019 ENROLLMENT PORT CLASS A	0.00	1.64	0.00	1.64	45.0	0.74
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2022 ENROLLMENT PORT CLASS A	0.00	1.26	0.00	1.26	45.0	0.57
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.28	0.00	1.28	45.0	0.58
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	1.03	0.00	1.03	45.0	0.46
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	1.32	0.00	1.32	45.0	0.59
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	1.32	0.00	1.32	45.0	0.59
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2031 ENROLLMENT PORT CLASS A	0.00	0.64	0.00	0.64	45.0	0.29
/ /	BENEFICIAR, CHRISTINE	INA PIMCO TOT RET PORT CLA A	0.00	2.92	0.00	2.92	45.0	1.31
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$11.41	\$0.00	\$11.41		\$5.13
		*** DIRECT TRANSACTIONS TOTAL ***	\$300.00	\$26.41	\$0.00	\$26.41		\$11.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$11.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$58.39
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$70.27
Year-to-date Gross	\$1,108.56
Year-to-date Earnings	\$498.86