



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
 Page No. 1

BRION NORBY
 4905 Prairie Lane

BROKER #: N121
 BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u> | | | | | | | | |
| 01/03/2017 | KLINE, STEWART | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 180.00 | 3.83 | 0.00 | 3.83 | 90.0 | 3.45 |
| 01/03/2017 | KLINE, STEWART | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 225.00 | 4.78 | 0.00 | 4.78 | 90.0 | 4.30 |
| 01/03/2017 | KLINE, STEWART | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 280.00 | 5.95 | 0.00 | 5.95 | 90.0 | 5.36 |
| 01/03/2017 | KLINE, STEWART | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 160.00 | 3.40 | 0.00 | 3.40 | 90.0 | 3.06 |
| * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL * | | | \$845.00 | \$17.96 | \$0.00 | \$17.96 | | \$16.17 |
| <u>BROKER: JBB JEN-BRENT-BRION TRU-DONALD-LEE- #333</u> | | | | | | | | |
| 12/01/2016 | ALEXANDER TRUST, DAVID & | AB HIGH INCOME MUNICIPAL AD | 130,000.00 | 0.00 | 1.06 | -1.06 | 90.0 | -0.95 |
| 12/09/2016 | SINNESS, STEVEN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 3.18 | 0.00 | 3.18 | 90.0 | 2.86 |
| 12/09/2016 | SINNESS, STEVEN | AM FUNDS EUROPACIFIC GROWTH FUND A | 0.00 | 0.51 | 0.00 | 0.51 | 90.0 | 0.46 |
| 12/09/2016 | SINNESS, STEVEN | AM FUNDS ICA A | 0.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 12/09/2016 | SINNESS, STEVEN | AM FUNDS INCOME FUND OF AMERICA A | 0.00 | 4.69 | 0.00 | 4.69 | 90.0 | 4.22 |
| 12/09/2016 | SINNESS, STEVEN | AM FUNDS NEW WORLD A | 0.00 | 1.27 | 0.00 | 1.27 | 90.0 | 1.14 |
| 12/09/2016 | SINNESS, STEVEN | AM FUNDS SMALLCAP WORLD A | 0.00 | 0.61 | 0.00 | 0.61 | 90.0 | 0.55 |
| 12/01/2016 | ALEXANDER TRUST, DAVID & | BLACKROCK HIGH YIELD | 50,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | KALIL, DANIEL | BLACKROCK STRATEGIC INCOME OPPT POR | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 12/09/2016 | KALIL, DANIEL | BLACKROCK STRATEGIC INCOME OPPT POR | 0.00 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 12/09/2016 | KALIL, DANIEL | BLACKROCK STRATEGIC INCOME OPPT POR | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 12/09/2016 | KALIL, DANIEL | BLACKROCK STRATEGIC INCOME OPPT POR | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 12/09/2016 | KALIL, DANIEL | BLACKROCK STRATEGIC INCOME OPPT POR | 0.00 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 12/09/2016 | MORRIS, PATRICK | COLUMBIA DIVIDEND OPP A | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 12/09/2016 | MORRIS, SANDRA PACKER | COLUMBIA DIVIDEND OPP A | 0.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | KALIL, DANIEL | COLUMBIA INCOME OPPORTUNITIES FUND | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 12/09/2016 | KALIL, DANIEL | COLUMBIA INCOME OPPORTUNITIES FUND | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/09/2016 | KALIL, DANIEL | COLUMBIA INCOME OPPORTUNITIES FUND | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 12/09/2016 | KALIL, DANIEL | COLUMBIA INCOME OPPORTUNITIES FUND | 0.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | MORRIS, PATRICK | COLUMBIA SELECT LARGE CAP GROWTH FU | 0.00 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u> | | | | | | | | |
| 12/09/2016 | MORRIS, SANDRA PACKER | COLUMBIA SELECT LARGE CAP GROWTH FU | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 12/12/2016 | MORRIS, PATRICK | DELAWARE VALUE A | 0.00 | 0.30 | 0.00 | 0.30 | 90.0 | 0.27 |
| 12/12/2016 | MORRIS, SANDRA PACKER | DELAWARE VALUE A | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 12/15/2016 | KALIL, DANIEL | DOUBLELINE TOTAL RETURN BOND FUND C | 0.00 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/15/2016 | MORRIS, PATRICK | DOUBLELINE TOTAL RETURN BOND FUND C | 0.00 | 0.36 | 0.00 | 0.36 | 90.0 | 0.32 |
| 12/15/2016 | MORRIS, SANDRA PACKER | DOUBLELINE TOTAL RETURN BOND FUND C | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 12/09/2016 | KALIL, DANIEL | JOHN HANCOCK GLOBAL ABSOLUTE RETURN | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 12/09/2016 | KALIL, DANIEL | JOHN HANCOCK GLOBAL ABSOLUTE RETURN | 0.00 | 0.03 | 0.00 | 0.03 | 90.0 | 0.03 |
| 12/09/2016 | KALIL, DANIEL | JOHN HANCOCK GLOBAL ABSOLUTE RETURN | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 12/09/2016 | KALIL, DANIEL | JP MORGAN LARGE-CAP GROWTH A | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 12/09/2016 | KALIL, DANIEL | JP MORGAN LARGE-CAP GROWTH A | 0.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 12/09/2016 | KALIL, DANIEL | JP MORGAN LARGE-CAP GROWTH A | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 12/09/2016 | KALIL, DANIEL | JP MORGAN LARGE-CAP GROWTH A | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 12/09/2016 | KALIL, DANIEL | JP MORGAN LARGE-CAP GROWTH A | 0.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/09/2016 | MORRIS, PATRICK | JP MORGAN STRATEGIC INCOME OPPORTUN | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 12/09/2016 | MORRIS, SANDRA PACKER | JP MORGAN STRATEGIC INCOME OPPORTUN | 0.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | MORRIS, PATRICK | LEGG MASON BW ABSOLUTE RETURN OPP F | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 12/09/2016 | MORRIS, SANDRA PACKER | LEGG MASON BW ABSOLUTE RETURN OPP F | 0.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | DONAI, RUTH | METROPOLITAN WEST TOTAL RETURN BOND | 0.00 | 0.19 | 0.00 | 0.19 | 90.0 | 0.17 |
| 01/06/2017 | NEWBERRY TRUST, GLORIA | PRINCIPAL FUNDS CONSERVATIVE GROWTH | -909.30 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/20/2016 | RED RIVER SUPPLY | PRINCIPAL LIFE | 0.00 | 42.68 | 0.00 | 42.68 | 90.0 | 38.41 |
| 12/31/2016 | HATLESTAD, PATRICK | PRINCIPAL LIFE | 0.00 | 16.01 | 0.00 | 16.01 | 90.0 | 14.41 |
| 01/06/2017 | NEWBERRY TRUST, GLORIA | PRINCIPAL S A M CONSERVATIVE BALANC | -2,870.94 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | KALIL, DANIEL | PRUDENTIAL JENNISON MID CAP GROWTH | 0.00 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 12/09/2016 | KALIL, DANIEL | PRUDENTIAL JENNISON MID CAP GROWTH | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 12/09/2016 | KALIL, DANIEL | PRUDENTIAL JENNISON MID CAP GROWTH | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 12/09/2016 | KALIL, DANIEL | PRUDENTIAL JENNISON MID CAP GROWTH | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 12/09/2016 | KALIL, DANIEL | PRUDENTIAL JENNISON MID CAP GROWTH | 0.00 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 12/09/2016 | KALIL, DANIEL | PRUDENTIAL SHORT-TERM CORP BOND CL | 0.00 | 3.90 | 0.00 | 3.90 | 90.0 | 3.51 |
| 12/09/2016 | MORRIS, PATRICK | RIVERNORTH CORE OPPORTUNITY FUND CL | 0.00 | 0.16 | 0.00 | 0.16 | 90.0 | 0.14 |
| 12/09/2016 | MORRIS, SANDRA PACKER | RIVERNORTH CORE OPPORTUNITY FUND CL | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 12/09/2016 | MORRIS, PATRICK | T ROWE PRICE GROWTH STOCK FUND ADVI | 0.00 | 0.31 | 0.00 | 0.31 | 90.0 | 0.28 |
| 12/09/2016 | MORRIS, SANDRA PACKER | T ROWE PRICE GROWTH STOCK FUND ADVI | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |

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|---|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u> | | | | | | | | |
| 12/09/2016 | MORRIS, PATRICK | T ROWE PRICE INT STOCK - ADVISOR CL | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 12/09/2016 | MORRIS, SANDRA PACKER | T ROWE PRICE INT STOCK - ADVISOR CL | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 12/09/2016 | MORRIS, PATRICK | TCW DIVIDEND FOCUSED FUND CLASS N | 0.00 | 0.31 | 0.00 | 0.31 | 90.0 | 0.28 |
| 12/09/2016 | MORRIS, SANDRA PACKER | TCW DIVIDEND FOCUSED FUND CLASS N | 0.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 12/09/2016 | KALIL, DANIEL | WELLS FARGO ADVTG EMERGING MARKETS | 0.00 | 0.04 | 0.00 | 0.04 | 90.0 | 0.04 |
| 12/09/2016 | DONAIIS, RUTH | WESTERN ASSET CORE PLUS BOND FUND C | 0.00 | 0.14 | 0.00 | 0.14 | 90.0 | 0.13 |
| 12/09/2016 | HATLESTAD, PATRICK | WESTERN ASSET CORE PLUS BOND FUND C | 0.00 | 0.34 | 0.00 | 0.34 | 90.0 | 0.31 |
| 12/09/2016 | POWELL, WILLIAM | WESTERN ASSET CORE PLUS BOND FUND C | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| 12/09/2016 | KALIL, DANIEL | WESTERN ASSET CORE PLUS BOND FUND C | 0.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 12/09/2016 | VESTAL, KATHY | WESTERN ASSET CORE PLUS BOND FUND C | 0.00 | 0.23 | 0.00 | 0.23 | 90.0 | 0.21 |
| * JBB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL * | | | \$176,219.76 | \$81.65 | \$1.06 | \$80.59 | | \$72.56 |
| <u>BROKER: BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- #334</u> | | | | | | | | |
| 12/12/2016 | PATOROS, JOHN | BBH CORE SELECT N | 10,000.00 | 0.00 | 1.66 | -1.66 | 90.0 | -1.49 |
| 12/12/2016 | PATOROS, LORI | FIDLEITY ADV STRATEGIC INCOME | 8,000.00 | 0.00 | 1.66 | -1.66 | 90.0 | -1.49 |
| 12/12/2016 | PATOROS, JOHN | RYDEX S&P 500 PURE GROWTH FUND | 8,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/09/2016 | PATOROS, LORI | WESTERN ASSET CORE PLUS BOND FUND C | 0.00 | 0.32 | 0.00 | 0.32 | 90.0 | 0.29 |
| * BJB JEN-BRENT-BRION TRU-DONAIIS-LEE- SUBTOTAL * | | | \$26,000.00 | \$0.32 | \$3.32 | \$-3.00 | | \$-2.69 |
| <u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u> | | | | | | | | |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 111.00 | 1.22 | 0.00 | 1.22 | 90.0 | 1.10 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 58.00 | 0.64 | 0.00 | 0.64 | 90.0 | 0.58 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 73.00 | 0.80 | 0.00 | 0.80 | 90.0 | 0.72 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW ECONOMY 529A | 74.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW ECONOMY 529A | 60.00 | 0.66 | 0.00 | 0.66 | 90.0 | 0.59 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW ECONOMY 529A | 50.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW ECONOMY 529A | 50.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW PERSPECTIVE 529A | 111.00 | 1.22 | 0.00 | 1.22 | 90.0 | 1.10 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW PERSPECTIVE 529A | 65.00 | 0.72 | 0.00 | 0.72 | 90.0 | 0.65 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW PERSPECTIVE 529A | 57.00 | 0.63 | 0.00 | 0.63 | 90.0 | 0.57 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW PERSPECTIVE 529A | 72.00 | 0.79 | 0.00 | 0.79 | 90.0 | 0.71 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW WORLD 529A | 74.00 | 0.82 | 0.00 | 0.82 | 90.0 | 0.74 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW WORLD 529A | 65.00 | 0.72 | 0.00 | 0.72 | 90.0 | 0.65 |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW WORLD 529A | 50.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |

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|--|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u> | | | | | | | | |
| 01/03/2017 | HEEN, JEREMY | AM FUNDS NEW WORLD 529A | 50.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| 12/30/2016 | RASMUSSEN, PAMELA | GRIFFIN CAPITAL ESSENTIAL ASSET REI | 27,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/30/2016 | RASMUSSEN, CLINTON | LIGHTSTONE VALUE PLUS REAL ESTATE I | 48,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 01/04/2017 | RASMUSSEN, CLINTON | LIGHTSTONE VALUE PLUS REIT III INC | 48,000.00 | 1,344.00 | 0.00 | 1,344.00 | 90.0 | 1,209.60 |
| * BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL * | | | \$124,020.00 | \$1,355.24 | \$0.00 | \$1,355.24 | | \$1,219.75 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$327,084.76 | \$1,455.17 | \$4.38 | \$1,450.79 | | \$1,305.79 |

ADJUSTMENTS

| | | | | | | | | |
|---------------------------|----------------------------|------|---------------|---------------|---------------|---------------|--|------------------|
| 01/17/2017 | *EO INS: CURRENT MONTH E&O | 0.00 | | | | | | -300.00 |
| 01/17/2017 | *PERSHING: BOOKS & RECORDS | 0.00 | | | | | | -0.75 |
| 01/17/2017 | *PERSHING: BOOKS & RECORDS | 0.00 | | | | | | -2.40 |
| 01/17/2017 | *PERSHING: ETF PROSPECTUS | 0.00 | | | | | | -8.83 |
| 01/17/2017 | *PERSHING: ETF PROSPECTUS | 0.00 | | | | | | -7.73 |
| 01/17/2017 | *PERSHING: MF PROSPECTUS | 0.00 | | | | | | -26.37 |
| 01/17/2017 | *PERSHING: MF PROSPECTUS | 0.00 | | | | | | -18.89 |
| 01/17/2017 | *TECH: CURRENT MONTH TECH | 0.00 | | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-639.97 |

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BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$1,305.79 |
| Override Transactions | \$0.00 |
| Adjustments | \$-639.97 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$665.82 |
| Year-to-date Gross | \$1,455.17 |
| Year-to-date Earnings | \$1,305.79 |