

**COMMISSION STATEMENT****August 31, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/06/2018	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	0.00	26.71	0.00	26.71	95.0	25.37
08/06/2018	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	0.00	8.90	0.00	8.90	95.0	8.46
08/06/2018	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	0.00	81.36	0.00	81.36	95.0	77.29
08/06/2018	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	0.00	27.12	0.00	27.12	95.0	25.76
08/06/2018	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	0.00	32.25	0.00	32.25	95.0	30.64
08/06/2018	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	0.00	10.75	0.00	10.75	95.0	10.21
08/06/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	0.00	51.73	0.00	51.73	95.0	49.14
08/06/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	0.00	17.24	0.00	17.24	95.0	16.38
08/06/2018	SPEARY, ROBERT	BR TOTAL INC & REAL ESTATE FUND C	0.00	48.61	0.00	48.61	95.0	46.18
08/06/2018	SPEARY, ROBERT	BR TOTAL INC & REAL ESTATE FUND C	0.00	16.20	0.00	16.20	95.0	15.39
08/06/2018	SUTTON, LYNETTE	BR TOTAL INC & REAL ESTATE FUND C	0.00	33.30	0.00	33.30	95.0	31.64
08/06/2018	SUTTON, LYNETTE	BR TOTAL INC & REAL ESTATE FUND C	0.00	11.10	0.00	11.10	95.0	10.55
08/06/2018	CANNER, JULIE	BR TOTAL INC & REAL ESTATE FUND C	0.00	4.59	0.00	4.59	95.0	4.36
08/06/2018	CANNER, JULIE	BR TOTAL INC & REAL ESTATE FUND C	0.00	1.53	0.00	1.53	95.0	1.45
07/31/2018	WEYCKER, AARON	GRIFFIN INST'L ACCESS R E FUND C	36,204.14	30.75	0.00	30.75	95.0	29.21
07/31/2018	BAKER, GENE	GRIFFIN INST'L ACCESS R E FUND C	55,276.01	46.95	0.00	46.95	95.0	44.60
07/31/2018	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	105,927.55	89.96	0.00	89.96	95.0	85.46
07/31/2018	MILLER, RAYMOND	GRIFFIN INST'L ACCESS R E FUND C	31,765.09	26.97	0.00	26.97	95.0	25.62
07/31/2018	SUTTON, TIMOTHY	GRIFFIN INST'L ACCESS R E FUND C	141,348.34	120.05	0.00	120.05	95.0	114.05
07/31/2018	WAGNER II, HARRY	GRIFFIN INST'L ACCESS R E FUND C	313,016.25	265.85	0.00	265.85	95.0	252.56
07/31/2018	MIERAS-HESSLER, DEBORAH	GRIFFIN INST'L ACCESS R E FUND C	36,338.24	30.87	0.00	30.87	95.0	29.33
07/31/2018	CANNER, JULIE	GRIFFIN INST'L ACCESS R E FUND C	43,191.75	36.68	0.00	36.68	95.0	34.85
07/31/2018	CYRULEWSKI TRUST, LOIS	GRIFFIN INST'L ACCESS R E FUND C	150,414.30	127.75	0.00	127.75	95.0	121.36
07/31/2018	CYRULEWSKI, JIM	GRIFFIN INST'L ACCESS R E FUND C	114,294.52	97.07	0.00	97.07	95.0	92.22
07/31/2018	CYRULEWSKI TRUST, JIM	GRIFFIN INST'L ACCESS R E FUND C	123,630.71	105.00	0.00	105.00	95.0	99.75
07/31/2018	BEAUDETTE, FLOYD	GRIFFIN INST'L ACCESS R E FUND C	52,177.91	44.32	0.00	44.32	95.0	42.10

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/31/2018	YANIS, MATTHEW	GRIFFIN INST'L ACCESS R E FUND C	130,442.45	110.79	0.00	110.79	95.0	105.25
07/31/2018	GALLO, ANTHONY	GRIFFIN INST'L ACCESS R E FUND C	41,346.32	35.12	0.00	35.12	95.0	33.36
07/31/2018	QUICK, ELAINE	GRIFFIN INST'L ACCESS R E FUND C	51,913.92	44.09	0.00	44.09	95.0	41.89
07/31/2018	FERRARI, JACQUELINE	GRIFFIN INST'L ACCESS R E FUND C	72,467.34	61.55	0.00	61.55	95.0	58.47
07/31/2018	CARMODY, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	43,135.20	36.64	0.00	36.64	95.0	34.81
07/31/2018	SIMONY TRUST, JOSEPH & REGINA	GRIFFIN INST'L ACCESS R E FUND C	26,091.09	22.16	0.00	22.16	95.0	21.05
07/31/2018	SIMONY, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	20,920.63	17.77	0.00	17.77	95.0	16.88
07/31/2018	SPEARY, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	25,083.40	21.31	0.00	21.31	95.0	20.24
07/31/2018	KING, DAVID	GRIFFIN INST'L ACCESS R E FUND C	5,101.10	4.33	0.00	4.33	95.0	4.11
07/31/2018	ROBERTS, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	40,717.05	34.59	0.00	34.59	95.0	32.86
07/31/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	9,324.16	7.92	0.00	7.92	95.0	7.52
07/31/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	118,543.98	100.68	0.00	100.68	95.0	95.65
07/31/2018	BADALAMENT, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	89,327.04	75.87	0.00	75.87	95.0	72.08
07/31/2018	ANDERSON TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	190,690.31	161.96	0.00	161.96	95.0	153.86
07/31/2018	DEMAN, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	54,538.08	46.32	0.00	46.32	95.0	44.00
07/31/2018	KEELER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	27,031.60	22.96	0.00	22.96	95.0	21.81
07/31/2018	BUSZEK, PAUL	GRIFFIN INST'L ACCESS R E FUND C	26,763.53	22.73	0.00	22.73	95.0	21.59
07/31/2018	WETZEL, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	10,597.87	9.00	0.00	9.00	95.0	8.55
07/31/2018	ELLIOT, JAMES	GRIFFIN INST'L ACCESS R E FUND C	101,641.17	86.32	0.00	86.32	95.0	82.00
07/31/2018	ELLIOT, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	68,552.37	58.23	0.00	58.23	95.0	55.32
07/31/2018	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	56,989.47	48.40	0.00	48.40	95.0	45.98
07/31/2018	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	25,494.23	21.65	0.00	21.65	95.0	20.57
07/31/2018	FEWLESS, SCOTT	GRIFFIN INST'L ACCESS R E FUND C	15,917.08	13.52	0.00	13.52	95.0	12.84
07/31/2018	BARTOI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	84,803.69	72.03	0.00	72.03	95.0	68.43
07/31/2018	MERRITT REVOCABLE TRUST, KATHY	GRIFFIN INST'L ACCESS R E FUND C	49,601.01	42.13	0.00	42.13	95.0	40.02
07/31/2018	AMMAN, KATHLEEN	GRIFFIN INST'L ACCESS R E FUND C	20,812.33	17.68	0.00	17.68	95.0	16.80
07/31/2018	BAUMGART, ROY MARK	GRIFFIN INST'L ACCESS R E FUND C	76,134.23	64.67	0.00	64.67	95.0	61.44
07/31/2018	SMITH, MARTHA	GRIFFIN INST'L ACCESS R E FUND C	76,659.85	65.11	0.00	65.11	95.0	61.85
07/31/2018	SPEARY, LISA	GRIFFIN INST'L ACCESS R E FUND C	52,158.30	44.29	0.00	44.29	95.0	42.08
07/31/2018	VOGEL, KEITH	GRIFFIN INST'L ACCESS R E FUND C	158,348.83	134.49	0.00	134.49	95.0	127.77
07/31/2018	THOMSON TRUST, DOUGLAS & NANCY	GRIFFIN INST'L ACCESS R E FUND C	106,494.38	90.45	0.00	90.45	95.0	85.93
07/31/2018	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	49,834.07	42.32	0.00	42.32	95.0	40.20
07/31/2018	WILMAN, ANN ELAINE	GRIFFIN INST'L ACCESS R E FUND C	28,356.64	24.08	0.00	24.08	95.0	22.88

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/31/2018	GRUSH, ERNEST	GRIFFIN INST'L ACCESS R E FUND C	104,265.62	88.56	0.00	88.56	95.0	84.13
07/31/2018	BENAGLIO, DEBORAH	GRIFFIN INST'L ACCESS R E FUND C	22,601.48	19.20	0.00	19.20	95.0	18.24
07/31/2018	ETHIER, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	46,146.22	39.19	0.00	39.19	95.0	37.23
07/31/2018	SMITH REV TRUST #2, CHARLES	GRIFFIN INST'L ACCESS R E FUND C	38,486.89	32.69	0.00	32.69	95.0	31.06
07/31/2018	MCKENZIE TRUST, ROBERT & DONNAGRIFFIN	INST'L ACCESS R E FUND C	123,127.55	104.57	0.00	104.57	95.0	99.34
07/31/2018	STAHL, CURTIS	GRIFFIN INST'L ACCESS R E FUND C	27,709.74	23.53	0.00	23.53	95.0	22.35
07/31/2018	BERNARDI TRUST, DONALD &	GRIFFIN INST'L ACCESS R E FUND C	51,355.30	43.61	0.00	43.61	95.0	41.43
07/31/2018	ORTAGO, PAULA	GRIFFIN INST'L ACCESS R E FUND C	43,632.10	37.05	0.00	37.05	95.0	35.20
07/31/2018	KOSANKE, CHARLES W	GRIFFIN INST'L ACCESS R E FUND C	25,967.15	22.05	0.00	22.05	95.0	20.95
07/31/2018	DINKA, JOHN	GRIFFIN INST'L ACCESS R E FUND C	117,501.95	99.80	0.00	99.80	95.0	94.81
07/31/2018	DINKA, CATHERINE	GRIFFIN INST'L ACCESS R E FUND C	110,406.18	93.77	0.00	93.77	95.0	89.08
07/31/2018	BELLINGE, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	88,541.72	75.20	0.00	75.20	95.0	71.44
07/31/2018	RANG, JAMES S & MARIL	GRIFFIN INST'L ACCESS R E FUND C	17,531.83	14.89	0.00	14.89	95.0	14.15
07/31/2018	OLSON, MELINDA	GRIFFIN INST'L ACCESS R E FUND C	34,044.49	28.92	0.00	28.92	95.0	27.47
07/31/2018	HARWOOD TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	25,546.82	21.69	0.00	21.69	95.0	20.61
07/31/2018	PUTZ TRUST, MARILYNN	GRIFFIN INST'L ACCESS R E FUND C	85,522.80	72.64	0.00	72.64	95.0	69.01
07/31/2018	WETZEL, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	25,232.43	21.43	0.00	21.43	95.0	20.36
07/31/2018	KNIGHT TRUST, SHARON	GRIFFIN INST'L ACCESS R E FUND C	10,828.37	9.20	0.00	9.20	95.0	8.74
07/31/2018	EQUITY TRUST CO	GRIFFIN INST'L ACCESS R E FUND C	44,243.51	37.57	0.00	37.57	95.0	35.69
07/31/2018	CANNON TRUST, HENRY & BARBARA	GRIFFIN INST'L ACCESS R E FUND C	130,847.07	111.13	0.00	111.13	95.0	105.57
07/31/2018	KRONNER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	51,894.26	44.08	0.00	44.08	95.0	41.88
* INTERVAL FUNDS SUBTOTAL *			\$4,384,851.01	\$4,095.54	\$0.00	\$4,095.54		\$3,890.76
<u>PRODUCT CATEGORY: 529 PLANS</u>								
08/15/2018	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
08/15/2018	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	4.25	0.00	4.25	95.0	4.04
08/15/2018	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	4.25	0.00	4.25	95.0	4.04
/ /	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	1.00	0.00	1.00	95.0	0.95
08/01/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
08/02/2018	NIZIO, MARGARET	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
08/02/2018	NIZIO, EDMUND	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
08/15/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	300.00	1.75	0.00	1.75	95.0	1.66
08/02/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G519	100.00	0.50	0.00	0.50	95.0	0.48
08/15/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G519	300.00	0.25	0.00	0.25	95.0	0.24

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
* 529 PLANS SUBTOTAL *			\$1,100.00	\$15.63	\$0.00	\$15.63		\$14.87
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/06/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	112.50	4.78	0.00	4.78	95.0	4.54
08/06/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	112.50	4.78	0.00	4.78	95.0	4.54
08/06/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	67.50	2.87	0.00	2.87	95.0	2.73
08/08/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
08/06/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	67.50	2.87	0.00	2.87	95.0	2.73
08/15/2018	DURHAM, JEREMY	AM FUNDS AMERICAN MUTUAL C	250.00	2.50	0.00	2.50	95.0	2.38
08/17/2018	STAFFORD, HAROLD	AM FUNDS AMERICAN MUTUAL C	9,230.65	92.31	0.00	92.31	95.0	87.69
08/06/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	99.00	1.98	0.00	1.98	95.0	1.88
08/06/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	180.00	1.80	0.00	1.80	95.0	1.71
08/14/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
08/15/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
08/06/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	45.00	1.35	0.00	1.35	95.0	1.28
08/06/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	99.00	1.98	0.00	1.98	95.0	1.88
08/10/2018	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
08/06/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	45.00	1.91	0.00	1.91	95.0	1.81
08/06/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	102.00	2.04	0.00	2.04	95.0	1.94
08/10/2018	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
08/14/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
08/15/2018	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
08/15/2018	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
08/15/2018	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
08/15/2018	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
07/16/2018	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	300.00	12.00	0.00	12.00	95.0	11.40
07/16/2018	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
07/16/2018	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
07/16/2018	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
07/30/2018	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
08/10/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
08/17/2018	CHADICK TRUST	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	95.0	3.80
08/01/2018	KUCHAREK, PAUL	GLOBAL ALLOCATION FUND	50.00	1.88	0.00	1.88	95.0	1.79
08/06/2018	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	95.0	2.26
08/15/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	27.56	1.31	0.00	1.31	95.0	1.24
/ /	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	0.00	0.32	0.00	0.32	95.0	0.30
08/08/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	204.00	2.04	0.00	2.04	95.0	1.94
08/08/2018	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	69.92	0.70	0.00	0.70	95.0	0.67
08/08/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	63.32	0.63	0.00	0.63	95.0	0.60
08/08/2018	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	62.36	0.62	0.00	0.62	95.0	0.59
08/15/2018	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	0.00	2.38	0.00	2.38	95.0	2.26
08/15/2018	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	27.56	1.31	0.00	1.31	95.0	1.24
/ /	DITRI, ANN	OPPENHEIMER GLOBAL C	0.00	1.98	0.00	1.98	95.0	1.88
08/08/2018	DITRI, ANN	OPPENHEIMER GLOBAL C	67.86	0.68	0.00	0.68	95.0	0.65
/ /	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	0.32	0.00	0.32	95.0	0.30
08/03/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES C	425.00	4.25	0.00	4.25	95.0	4.04
08/03/2018	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES C	82.50	0.83	0.00	0.83	95.0	0.79
08/03/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES C	150.00	1.50	0.00	1.50	95.0	1.43
08/03/2018	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES C	41.25	0.41	0.00	0.41	95.0	0.39
08/08/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.00	0.30	0.00	0.30	95.0	0.29
08/08/2018	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	29.92	0.30	0.00	0.30	95.0	0.29
08/15/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	0.32	0.00	0.32	95.0	0.30
08/15/2018	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND C	0.00	0.63	0.00	0.63	95.0	0.60
08/08/2018	BAKER, STEVEN	OPPENHEIMER INTL BOND C	62.36	0.62	0.00	0.62	95.0	0.59
08/08/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	62.36	0.62	0.00	0.62	95.0	0.59
08/15/2018	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	200.00	0.63	0.00	0.63	95.0	0.60
/ /	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	0.00	2.38	0.00	2.38	95.0	2.26
08/15/2018	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	27.54	1.31	0.00	1.31	95.0	1.24
08/15/2018	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
08/15/2018	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
/ /	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	0.00	0.50	0.00	0.50	95.0	0.48
08/03/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET C	425.00	4.25	0.00	4.25	95.0	4.04
08/03/2018	TRBOVICH, NICK	OPPENHEIMER MAIN STREET C	82.50	0.83	0.00	0.83	95.0	0.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/03/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET C	150.00	1.50	0.00	1.50	95.0	1.43
08/03/2018	TRBOVICH, MARY	OPPENHEIMER MAIN STREET C	41.25	0.41	0.00	0.41	95.0	0.39
08/08/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	30.00	0.30	0.00	0.30	95.0	0.29
08/08/2018	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	29.92	0.30	0.00	0.30	95.0	0.29
/ /	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	0.00	0.32	0.00	0.32	95.0	0.30
08/15/2018	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	0.00	0.60	0.00	0.60	95.0	0.57
08/08/2018	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	59.83	0.60	0.00	0.60	95.0	0.57
08/08/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	63.32	0.63	0.00	0.63	95.0	0.60
08/08/2018	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	62.36	0.62	0.00	0.62	95.0	0.59
/ /	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE C	0.00	4.25	0.00	4.25	95.0	4.04
08/03/2018	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE C	82.50	0.83	0.00	0.83	95.0	0.79
08/03/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE C	150.00	1.50	0.00	1.50	95.0	1.43
08/03/2018	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE C	41.25	0.41	0.00	0.41	95.0	0.39
08/03/2018	DITRI, ANN	OPPENHEIMER VALUE C	1,000.00	1.98	0.00	1.98	95.0	1.88
08/03/2018	TRBOVICH, NICK	OPPENHEIMER VALUE C	425.00	4.25	0.00	4.25	95.0	4.04
08/03/2018	TRBOVICH, NICK	OPPENHEIMER VALUE C	82.50	0.83	0.00	0.83	95.0	0.79
08/03/2018	TRBOVICH, MARY	OPPENHEIMER VALUE C	150.00	1.50	0.00	1.50	95.0	1.43
08/03/2018	TRBOVICH, MARY	OPPENHEIMER VALUE C	41.25	0.41	0.00	0.41	95.0	0.39
08/08/2018	DITRI, ANN	OPPENHEIMER VALUE C	67.86	0.68	0.00	0.68	95.0	0.65
08/01/2018	WONCH, LIZ	PUTNAM MULTI-CAP CORE FUND A	50.00	2.50	0.00	2.50	95.0	2.38
08/06/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	99.25	0.99	0.00	0.99	95.0	0.94
08/06/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
08/15/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
08/15/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
08/16/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	450.00	4.50	0.00	4.50	95.0	4.28
* MUTUAL FUNDS SUBTOTAL *			\$17,861.79	\$251.88	\$0.00	\$251.88		\$239.33
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/15/2018	CFGROUP, VARIOUS	GENWORTH CHOICE VA	628.14	31.41	0.00	31.41	95.0	29.84
07/15/2018	CFGROUP, VARIOUS	GENWORTH CHOICE VA	511.35	25.57	0.00	25.57	95.0	24.29
* VARIABLE ANNUITIES SUBTOTAL *			\$1,139.49	\$56.98	\$0.00	\$56.98		\$54.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/06/2018	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	CFGROUP, VARIOUS	BLACK ROCK 67776G527	0.00	1.42	0.00	1.42	95.0	1.35
07/31/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	0.00	4.27	0.00	4.27	95.0	4.06
07/31/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	3.62	0.00	3.62	95.0	3.44
07/31/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	1.21	0.00	1.21	95.0	1.15
07/31/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	1.20	0.00	1.20	95.0	1.14
07/31/2018	BUSZEK, RICHARD	BLACK ROCK 67776G527	0.00	3.61	0.00	3.61	95.0	3.43
07/31/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	0.00	0.25	0.00	0.25	95.0	0.24
07/31/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	0.00	0.74	0.00	0.74	95.0	0.70
/ /	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	1.05	0.00	1.05	95.0	1.00
07/31/2018	BUSZEK, RICHARD	BLACK ROCK 67776G543	0.00	2.04	0.00	2.04	95.0	1.94
07/31/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	3.14	0.00	3.14	95.0	2.98
07/31/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	1.13	0.00	1.13	95.0	1.07
07/31/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	3.39	0.00	3.39	95.0	3.22
07/31/2018	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	0.38	0.00	0.38	95.0	0.36
07/31/2018	BAKER, JEAN-ANNE	BLACK ROCK 67776G543	0.00	0.13	0.00	0.13	95.0	0.12
07/31/2018	BUSZEK, RICHARD	BLACK ROCK 67776G543	0.00	0.68	0.00	0.68	95.0	0.65
/ /	NIZIO, MARGARET	BLACKROCK 67776G493	0.00	1.28	0.00	1.28	95.0	1.22
07/31/2018	NIZIO, MARGARET	BLACKROCK 67776G493	0.00	3.85	0.00	3.85	95.0	3.66
07/31/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G493	0.00	0.14	0.00	0.14	95.0	0.13
07/31/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G493	0.00	0.05	0.00	0.05	95.0	0.05
07/31/2018	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.80	0.00	0.80	95.0	0.76
07/31/2018	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.27	0.00	0.27	95.0	0.26
07/31/2018	NIZIO, EDMUND	BLACKROCK 67776G493	0.00	1.28	0.00	1.28	95.0	1.22
07/31/2018	NIZIO, EDMUND	BLACKROCK 67776G493	0.00	3.85	0.00	3.85	95.0	3.66
/ /	GALLAS, JAMES L & ANN M	BLACKROCK 19452P743	0.00	7.55	0.00	7.55	95.0	7.17
/ /	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	4.17	0.00	4.17	95.0	3.96
07/31/2018	MUENCH, DANIEL	BLACKROCK 67776G469	0.00	2.50	0.00	2.50	95.0	2.38
07/31/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	4.15	0.00	4.15	95.0	3.94
07/31/2018	BURKE, KIMBERLY	BLACKROCK 67776G469	0.00	5.71	0.00	5.71	95.0	5.42
07/31/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	0.00	1.53	0.00	1.53	95.0	1.45

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/31/2018	BURKE, KIMBERLY	BLACKROCK 67776G469	0.00	1.32	0.00	1.32	95.0	1.25
/ /	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.87	0.00	0.87	95.0	0.83
07/31/2018	TRYNAN, SHELLY	BLACKROCK 67776G477	0.00	0.50	0.00	0.50	95.0	0.48
07/31/2018	TRYNAN, SHELLY	BLACKROCK 67776G477	0.00	1.51	0.00	1.51	95.0	1.43
07/31/2018	BURKE, KIMBERLY	BLACKROCK 67776G477	0.00	6.52	0.00	6.52	95.0	6.19
07/31/2018	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.29	0.00	0.29	95.0	0.28
07/31/2018	BURKE, KIMBERLY	BLACKROCK 67776G477	0.00	2.17	0.00	2.17	95.0	2.06
/ /	YASCH, SANDY	BLACKROCK 67776G519	0.00	0.68	0.00	0.68	95.0	0.65
07/31/2018	NIZIO, MARGARET	BLACKROCK 67776G519	0.00	1.52	0.00	1.52	95.0	1.44
07/31/2018	NIZIO, EDMUND	BLACKROCK 67776G519	0.00	1.52	0.00	1.52	95.0	1.44
07/31/2018	MERRITT, GREGORY	BLACKROCK 67776G519	0.00	5.43	0.00	5.43	95.0	5.16
07/31/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G519	0.00	1.99	0.00	1.99	95.0	1.89
07/31/2018	BAKER, JEAN-ANNE	BLACKROCK 67776G519	0.00	0.91	0.00	0.91	95.0	0.86
07/31/2018	COOPER, LISA	BLACKROCK 67776G535	0.00	2.47	0.00	2.47	95.0	2.35
07/31/2018	MERRITT, GREGORY	BLACKROCK 67776G535	0.00	5.23	0.00	5.23	95.0	4.97
/ /	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	6.51	0.00	6.51	95.0	6.18
07/31/2018	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	2.17	0.00	2.17	95.0	2.06
07/31/2018	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	2.62	0.00	2.62	95.0	2.49
07/31/2018	BURKE, KIMBERLY	BLACKROCK ENROLMENT CLASS C	0.00	7.86	0.00	7.86	95.0	7.47
07/31/2018	TRYNAN, SHELLY	BLACKROCK ENROLMENT CLASS C	0.00	2.72	0.00	2.72	95.0	2.58
07/31/2018	TRYNAN, SHELLY	BLACKROCK ENROLMENT CLASS C	0.00	0.91	0.00	0.91	95.0	0.86
/ /	WILLIT	BLK AGG GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44
/ /	KING, DAVID	BLK EQUITY DIVIDEND OPTION A	0.00	0.18	0.00	0.18	95.0	0.17
08/03/2018	MERRITT, KATHY	COLUMBIA ACORN A	0.00	11.25	0.00	11.25	95.0	10.69
08/03/2018	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.49	0.00	5.49	95.0	5.22
08/03/2018	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	1.04	0.00	1.04	95.0	0.99
08/03/2018	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	9.35	0.00	9.35	95.0	8.88
08/03/2018	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.63	0.00	9.63	95.0	9.15
08/03/2018	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.95	0.00	3.95	95.0	3.75
08/03/2018	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	9.57	0.00	9.57	95.0	9.09
08/03/2018	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.53	0.00	6.53	95.0	6.20
08/03/2018	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.35	0.00	3.35	95.0	3.18
08/03/2018	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.77	0.00	6.77	95.0	6.43

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/03/2018	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.99	0.00	6.99	95.0	6.64
08/03/2018	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.98	0.00	4.98	95.0	4.73
08/03/2018	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	24.14	0.00	24.14	95.0	22.93
08/03/2018	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	6.80	0.00	6.80	95.0	6.46
08/03/2018	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	5.65	0.00	5.65	95.0	5.37
08/03/2018	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2018	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2018	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2018	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2018	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.87	0.00	2.87	95.0	2.73
08/03/2018	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.21	0.00	0.21	95.0	0.20
07/31/2018	BUSSELL, VARIOUS	DREYFUS	7,824.52	1.66	0.00	1.66	95.0	1.58
07/31/2018	BUSSELL, VARIOUS	DREYFUS	333,491.21	70.81	0.00	70.81	95.0	67.27
07/31/2018	JOHNSON, VARIOUS	DREYFUS	30,129.58	6.40	0.00	6.40	95.0	6.08
07/31/2018	CFGROUP, VARIOUS	DREYFUS	59,164.64	12.56	0.00	12.56	95.0	11.93
07/31/2018	CFGROUP, VARIOUS	DREYFUS	7,976.28	1.69	0.00	1.69	95.0	1.61
08/07/2018	HOFER, KATHLEEN	FEDERATED BOND A	0.00	1.64	0.00	1.64	95.0	1.56
08/07/2018	ELLIOT, PATRICIA	FEDERATED EQUITY INCOME A	0.00	12.12	0.00	12.12	95.0	11.51
08/07/2018	KING, VICTORIA	FEDERATED KAUFMANN FUND A	0.00	12.26	0.00	12.26	95.0	11.65
08/07/2018	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	13.06	0.00	13.06	95.0	12.41
08/07/2018	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	39.18	0.00	39.18	95.0	37.22
08/07/2018	FINCH, BEVERLY	FEDERATED SVCS CORP	0.00	0.32	0.00	0.32	95.0	0.30
08/07/2018	HEGEL, SUSAN	FEDERATED US GOV SEC A	0.00	2.41	0.00	2.41	95.0	2.29
07/31/2018	YASCH, KEN	GLOBAL ALLOCATION FUND	0.00	0.63	0.00	0.63	95.0	0.60
07/31/2018	BURKE, KIMBERLY	GLOBAL ALLOCATION FUND	0.00	6.67	0.00	6.67	95.0	6.34
07/31/2018	YASCH, TONI	GLOBAL ALLOCATION FUND	0.00	0.58	0.00	0.58	95.0	0.55
07/31/2018	COOPER, LISA	GLOBAL ALLOCATION FUND	0.00	4.14	0.00	4.14	95.0	3.93
07/31/2018	MERRITT, GREGORY	GLOBAL ALLOCATION FUND	0.00	2.20	0.00	2.20	95.0	2.09
07/31/2018	COOPER, LISA	GLOBAL ALLOCATION FUND	0.00	3.71	0.00	3.71	95.0	3.52
07/31/2018	KUCHAREK, PAUL	GLOBAL ALLOCATION FUND	0.00	2.60	0.00	2.60	95.0	2.47
07/31/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	5.53	0.00	5.53	95.0	5.25
07/31/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.72	0.00	3.72	95.0	3.53
07/31/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.72	0.00	3.72	95.0	3.53

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/14/2018	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.31	0.00	1.31	95.0	1.24
08/14/2018	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.49	0.00	7.49	95.0	7.12
08/14/2018	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	6.46	0.00	6.46	95.0	6.14
08/14/2018	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	5.51	0.00	5.51	95.0	5.23
08/14/2018	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	2.13	0.00	2.13	95.0	2.02
08/14/2018	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	2.19	0.00	2.19	95.0	2.08
08/14/2018	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	5.16	0.00	5.16	95.0	4.90
08/14/2018	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.54	0.00	0.54	95.0	0.51
08/13/2018	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.44	0.00	7.44	95.0	7.07
08/13/2018	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.15	0.00	3.15	95.0	2.99
08/13/2018	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.54	0.00	2.54	95.0	2.41
08/13/2018	TOTTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.40	0.00	3.40	95.0	3.23
08/13/2018	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.91	0.00	0.91	95.0	0.86
08/13/2018	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.09	0.00	2.09	95.0	1.99
08/13/2018	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.09	0.00	2.09	95.0	1.99
08/13/2018	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	0.81	0.00	0.81	95.0	0.77
08/13/2018	BUSSELL REV LIV TRST, GEROGE	&WELLS FARGO MONEY MKT A	0.00	26.49	0.00	26.49	95.0	25.17
08/13/2018	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.71	0.00	0.71	95.0	0.67
08/13/2018	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.31	0.00	1.31	95.0	1.24
08/13/2018	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.92	0.00	0.92	95.0	0.87
08/13/2018	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	4.24	0.00	4.24	95.0	4.03
08/13/2018	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	1.00	0.00	1.00	95.0	0.95
08/13/2018	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.76	0.00	2.76	95.0	2.62
08/13/2018	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	25.58	0.00	25.58	95.0	24.30
08/13/2018	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.19	0.00	0.19	95.0	0.18
08/13/2018	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.35	0.00	5.35	95.0	5.08
08/13/2018	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.87	0.00	5.87	95.0	5.58
08/13/2018	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.49	0.00	9.49	95.0	9.02
08/13/2018	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.69	0.00	1.69	95.0	1.61
08/13/2018	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	28.70	0.00	28.70	95.0	27.27
08/13/2018	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	38.08	0.00	38.08	95.0	36.18
08/13/2018	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	55.87	0.00	55.87	95.0	53.08
08/13/2018	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.14	0.00	1.14	95.0	1.08

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/13/2018	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.08	0.00	1.08	95.0	1.03
08/13/2018	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.59	0.00	0.59	95.0	0.56
08/13/2018	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	11.70	0.00	11.70	95.0	11.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$438,586.23	\$725.73	\$0.00	\$725.73		\$689.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/04/2018	SCHROETER, RICHARD	TRANSAMERICA EXTRA	0.00	33.08	0.00	33.08	95.0	31.43
07/14/2018	GRUSH, ERNEST	TRANSAMERICA LANDMARK	0.00	131.30	0.00	131.30	95.0	124.74
07/30/2018	MADSEN, JOYCE	TRANSAMERICA LANDMARK	0.00	4.22	0.00	4.22	95.0	4.01
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$168.60	\$0.00	\$168.60		\$160.18
*** DIRECT TRANSACTIONS TOTAL ***			\$4,846,038.52	\$5,364.36	\$0.00	\$5,364.36		\$5,096.20

SPLIT TRANSACTIONS**BROKER: MARK, 103 KOSANKE #3**

08/28/2018	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
08/13/2018	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	5.08	0.00	5.08	95.0	4.83
08/13/2018	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.92	0.00	0.92	95.0	0.87
08/13/2018	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
08/13/2018	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.99	0.00	2.99	95.0	2.84
08/13/2018	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.21	0.00	0.21	95.0	0.20
* MARK, 103 KOSANKE SUBTOTAL *			\$32.61	\$9.53	\$0.00	\$9.53		\$9.05
*** SPLIT TRANSACTIONS TOTAL ***			\$32.61	\$9.53	\$0.00	\$9.53		\$9.05

OVERRIDE TRANSACTIONS**BROKER: THOMAS SCHNEIDER #30**

/ /	D&D TRAILER HAULING INC	PROFUNDS MONEY MARKET-SVC	0.00	0.26	0.00	0.26	0.0	0.25
/ /	GREENE, DAVID	PROFUNDS MONEY MARKET-SVC	0.00	0.26	0.00	0.26	0.0	0.25
/ /	D&D TRAILER HAULING INC	PROFUNDS MONEY MARKET-SVC	0.00	0.58	0.00	0.58	0.0	0.55
/ /	GREENE, DAVID	PROFUNDS MONEY MARKET-SVC	0.00	0.58	0.00	0.58	0.0	0.55
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.19	0.00	0.19	0.0	0.18
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.19	0.00	0.19	0.0	0.18
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT EMG MKT SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.11	0.00	0.11	0.0	0.10
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.11	0.00	0.11	0.0	0.10
/ /	D&D TRAILER HAULING INC	PROFUNDS US GOV PLUS SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS US GOV PLUS SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS US GOV PLUS SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	GREENE, DAVID	PROFUNDS US GOV PLUS SVC	0.00	0.08	0.00	0.08	0.0	0.08
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$2.76	\$0.00	\$2.76		\$2.64
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$2.76	\$0.00	\$2.76		\$2.64

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BROKER COMMISSION TOTALS

Broker Transactions	\$5,096.20
Split Transactions	\$9.05
Override Transactions	\$2.64
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,107.89
Year-to-date Gross	\$421,924.73
Year-to-date Earnings	\$411,733.15