

**COMMISSION STATEMENT****December 15, 2017**

BARRY LE MAY
 2242 Camden Ave
 Suite 102
 San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/06/2017	VIZIR, NATALIE	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,300.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/16/2017	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.92	0.00	10.92	90.0	9.83
11/16/2017	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.08	0.00	1.08	90.0	0.97
11/16/2017	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	90.0	0.93
11/22/2017	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.70	0.00	1.70	90.0	1.53
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$14.73	\$0.00	\$14.73		\$13.26
		*** DIRECT TRANSACTIONS TOTAL ***	\$100,000.00	\$7,014.73	\$0.00	\$7,014.73		\$6,313.26
<u>ADJUSTMENTS</u>								
12/15/2017		*: TECHNOLOGY AI INSIGHT	0.00					-7.00
12/15/2017		*: TECHNOLOGY DOCUSIGN	0.00					-18.00
12/15/2017		*: TECHNOLOGY GLOBAL RELAY - WEBSIT	0.00					-15.00
12/15/2017		*: TECHNOLOGY LASER APP	0.00					-18.00
12/15/2017		*: TECHNOLOGYGLOBAL RELAY - FACEBOO	0.00					-5.00
12/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*TECHNOLOGY: ALBRIDGE LIGHT	0.00					-76.00
12/15/2017		*TECHNOLOGY: BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-619.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$6,313.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-619.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,694.26
Year-to-date Gross	\$224,432.54
Year-to-date Earnings	\$201,331.51