



Concorde Investment Services, LLC

03/13/2018

Page No. 1

COMMISSION STATEMENT

March 15, 2018

K146-JASON KEMBLE
4106 E. Doe Creek Drive

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/26/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
02/26/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/26/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
02/26/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	3.83
02/22/2018	NASHAN, SUSAN	AMERICAN FUNDS RETIREMENT INCOME PO	1,000.00	50.00	0.00	50.00	90.0	45.00
02/22/2018	NASHAN, SUSAN	AMERICAN FUNDS RETIREMENT INCOME PO	-1,000.00	-50.00	0.00	-50.00	90.0	-45.00
02/22/2018	NASHAN, SUSAN	RTMT INCOME PORTFOLIO CONSERVATIVE-	1,000.00	10.00	0.00	10.00	90.0	9.00
* MUTUAL FUNDS SUBTOTAL *			\$1,300.00	\$22.76	\$0.00	\$22.76		\$20.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	HANSEL, NAOMI	AM FUNDS AMERICAN BALANCED A	0.00	17.64	0.00	17.64	90.0	15.88
03/01/2018	JACOBS, JANET	AM FUNDS AMERICAN BALANCED A	0.00	8.08	0.00	8.08	90.0	7.27
03/01/2018	DANIELS, CLINTON	AM FUNDS AMERICAN BALANCED A	0.00	2.30	0.00	2.30	90.0	2.07
03/01/2018	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	0.00	7.62	0.00	7.62	90.0	6.86
03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	1.63	0.00	1.63	90.0	1.47
03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	2.33	0.00	2.33	90.0	2.10
03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	11.38	0.00	11.38	90.0	10.24
03/01/2018	DANIELS, CLINTON	AM FUNDS AMERICAN BALANCED C	0.00	8.72	0.00	8.72	90.0	7.85
03/01/2018	DANIELS, CLINTON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2018	JACOBS, JANET	AM FUNDS GLOBAL BALANCED A	0.00	8.31	0.00	8.31	90.0	7.48
03/01/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.17	0.00	1.17	90.0	1.05
03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.39
03/01/2018	MO/TOD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018	DANIELS, CLINTON	AM FUNDS NEW ECONOMY A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2018	MO/TOD	AM FUNDS NEW ECONOMY A	0.00	0.41	0.00	0.41	90.0	0.37
03/01/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	0.00	0.43	0.00	0.43	90.0	0.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	0.00	1.16	0.00	1.16	90.0	1.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$72.60	\$0.00	\$72.60		\$65.36
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/20/2018	ALLEN, DANNY	PAC LIFE PACIFIC CHOICE	0.00	4,135.82	0.00	4,135.82	90.0	3,722.24
02/23/2018	ALLEN, DANNY	PAC LIFE PACIFIC CHOICE	0.00	6,200.83	0.00	6,200.83	90.0	5,580.75
02/26/2018	ALLEN, DANNY	PAC LIFE PACIFIC CHOICE	0.00	729.96	0.00	729.96	90.0	656.96
03/01/2018	ALLEN, DANNY	PAC LIFE PACIFIC CHOICE	0.00	80.13	0.00	80.13	90.0	72.12
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$11,146.74	\$0.00	\$11,146.74		\$10,032.07
*** DIRECT TRANSACTIONS TOTAL ***			\$1,300.00	\$11,242.10	\$0.00	\$11,242.10		\$10,117.93

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

03/01/2018	DOWNES, STEVEN	AM FUNDS 2025 TARGET DATE-A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.86	0.00	0.86	90.0	0.77
02/20/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.09	0.00	0.09	90.0	0.08
02/20/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.09	0.00	0.09	90.0	0.08
02/20/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	451.89	7.63	0.00	7.63	90.0	6.87
02/21/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	50,000.00	618.75	0.00	618.75	90.0	556.88
03/01/2018	THOMAS, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	3.33	0.00	3.33	90.0	3.00
03/01/2018	THOMAS, DIXIE	AM FUNDS AMERICAN BALANCED A	0.00	3.33	0.00	3.33	90.0	3.00
03/01/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	0.00	3.43	0.00	3.43	90.0	3.09
03/01/2018	ABEL, DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	13.44	0.00	13.44	90.0	12.10
03/01/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	THOMPSON, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	2.02	0.00	2.02	90.0	1.82
03/01/2018	THOMPSON, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.19
03/01/2018	MILLER, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	4.85	0.00	4.85	90.0	4.37
03/01/2018	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	4.82	0.00	4.82	90.0	4.34
03/01/2018	BAILEY, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	0.85	0.00	0.85	90.0	0.77
03/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	8.43	0.00	8.43	90.0	7.59
03/01/2018	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	14.20	0.00	14.20	90.0	12.78

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	13.84	0.00	13.84	90.0	12.46
03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2018	HERREN, SARA	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	90.0	0.78
03/01/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	0.00	1.20	0.00	1.20	90.0	1.08
02/20/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.13	0.00	0.13	90.0	0.12
02/20/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.13	0.00	0.13	90.0	0.12
02/20/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	64.38	0.29	0.00	0.29	90.0	0.26
02/20/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	64.38	0.29	0.00	0.29	90.0	0.26
02/27/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	1,649.73	37.12	0.00	37.12	90.0	33.41
02/27/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	1,798.35	40.46	0.00	40.46	90.0	36.41
03/01/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	BAILEY, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	BAILEY, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	1.47
03/01/2018	GUIER, LUCCA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.08	0.00	0.08	90.0	0.07
02/20/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	45.40	0.20	0.00	0.20	90.0	0.18
02/20/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	45.40	0.20	0.00	0.20	90.0	0.18
02/20/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	60.51	0.27	0.00	0.27	90.0	0.24
02/20/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	60.51	0.27	0.00	0.27	90.0	0.24
02/20/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	9.96	0.00	9.96	90.0	8.96
02/20/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	90.54	1.73	0.00	1.73	90.0	1.56
02/20/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.11	0.00	0.11	90.0	0.10
02/20/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.08	0.00	0.08	90.0	0.07
02/20/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.71	0.26	0.00	0.26	90.0	0.23
02/20/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.71	0.26	0.00	0.26	90.0	0.23
02/20/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
02/20/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
02/20/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
02/20/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
02/20/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	9.96	0.00	9.96	90.0	8.96
02/20/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.37	0.00	0.37	90.0	0.33
02/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.35	0.00	1.35	90.0	1.22

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
02/20/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	25.72	0.12	0.00	0.12	90.0	0.11
02/20/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	13.32	0.06	0.00	0.06	90.0	0.05
02/20/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	55.25	0.25	0.00	0.25	90.0	0.23
02/20/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	55.25	0.25	0.00	0.25	90.0	0.23
02/20/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	44.45	0.20	0.00	0.20	90.0	0.18
02/20/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	44.45	0.20	0.00	0.20	90.0	0.18
02/20/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.22	0.00	0.22	90.0	0.20
02/20/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.22	0.00	0.22	90.0	0.20
02/20/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	39.83	0.18	0.00	0.18	90.0	0.16
02/20/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	39.83	0.18	0.00	0.18	90.0	0.16
02/20/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	75.43	0.34	0.00	0.34	90.0	0.31
02/20/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	75.43	0.34	0.00	0.34	90.0	0.31
02/20/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	58.45	0.26	0.00	0.26	90.0	0.23
02/20/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	25.05	0.11	0.00	0.11	90.0	0.10
02/20/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.78	0.05	0.00	0.05	90.0	0.05
02/20/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.78	0.05	0.00	0.05	90.0	0.05
02/20/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	28.62	0.13	0.00	0.13	90.0	0.12
02/20/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	28.62	0.13	0.00	0.13	90.0	0.12
02/20/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
02/20/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
02/20/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
02/20/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
02/20/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
02/20/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
02/20/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	36.07	0.16	0.00	0.16	90.0	0.14
02/20/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	36.07	0.16	0.00	0.16	90.0	0.14
02/20/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
02/20/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.05	0.00	0.05	90.0	0.05
02/20/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.05	0.00	0.05	90.0	0.05
02/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
02/26/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	5.15	0.00	5.15	90.0	4.64
02/26/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	2,504.22	47.89	0.00	47.89	90.0	43.10
02/27/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	1,649.70	37.12	0.00	37.12	90.0	33.41

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
02/27/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	1,798.32	40.46	0.00	40.46	90.0	36.41
02/28/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
03/01/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.78	0.00	2.78	90.0	2.50
03/01/2018	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
03/01/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
03/01/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.55	0.00	1.55	90.0	1.40
03/01/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	WHITE, PAYTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
03/01/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	BACON, KAYLEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/01/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
03/01/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
03/01/2018	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.01	0.27	0.00	0.27	90.0	0.24
03/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	83.35	0.45	0.00	0.45	90.0	0.41
03/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	62.61	0.34	0.00	0.34	90.0	0.31
03/02/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	62.61	0.34	0.00	0.34	90.0	0.31
03/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	89.59	0.49	0.00	0.49	90.0	0.44
03/02/2018	ALLEY, KAMERON	AM FUNDS GROWTH FUND OF AMERICA A	89.59	0.49	0.00	0.49	90.0	0.44
02/20/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	149.63	0.68	0.00	0.68	90.0	0.61
02/20/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.89	0.20	0.00	0.20	90.0	0.18
03/01/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	0.00	0.04	0.00	0.04	90.0	0.04
02/20/2018	ZELLMER, RIANNON	AM FUNDS ICA A	12.33	0.05	0.00	0.05	90.0	0.05
02/20/2018	ZELLMER, RIANNON	AM FUNDS ICA A	12.33	0.05	0.00	0.05	90.0	0.05
02/20/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.09	0.00	0.09	90.0	0.08
02/20/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.09	0.00	0.09	90.0	0.08
02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.93	0.09	0.00	0.09	90.0	0.08
02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	19.92	0.09	0.00	0.09	90.0	0.08
02/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
03/01/2018	ZELLMER, RIANNON	AM FUNDS ICA A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2018	HAGUE, VICTORIA	AM FUNDS ICA A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	WAHLIG, KACEY	AM FUNDS ICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	0.00	0.10	0.00	0.10	90.0	0.09
03/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	1,033.33	5.58	0.00	5.58	90.0	5.02
03/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	68.89	0.37	0.00	0.37	90.0	0.33
03/01/2018	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.79	0.00	2.79	90.0	2.51
02/20/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	9.96	0.00	9.96	90.0	8.96
02/20/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	90.55	1.73	0.00	1.73	90.0	1.56
02/20/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	9.96	0.00	9.96	90.0	8.96
02/20/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.37	0.00	0.37	90.0	0.33

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
02/20/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.25	0.25	0.00	0.25	90.0	0.23
02/20/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	55.25	0.25	0.00	0.25	90.0	0.23
03/01/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	0.00	0.77	0.00	0.77	90.0	0.69
03/01/2018	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	1.53	0.00	1.53	90.0	1.38
03/01/2018	WHITE, PAYTON	AM FUNDS NEW ECONOMY A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	BAILEY, JUDITH	AM FUNDS NEW ECONOMY A	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	0.04	0.00	0.04	90.0	0.04
02/20/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	3.82	0.00	3.82	90.0	3.44
02/20/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
02/20/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	91.92	0.41	0.00	0.41	90.0	0.37
02/20/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	91.92	0.41	0.00	0.41	90.0	0.37
02/27/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	1,649.70	37.12	0.00	37.12	90.0	33.41
02/27/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	1,798.32	40.46	0.00	40.46	90.0	36.41
03/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
03/01/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2018	WHITE, PAYTON	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	0.00	0.02	0.00	0.02	90.0	0.02
02/20/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.11	0.00	0.11	90.0	0.10
02/20/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.08	0.00	0.08	90.0	0.07
02/20/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	31.72	0.14	0.00	0.14	90.0	0.13
02/20/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	52.87	0.24	0.00	0.24	90.0	0.22
03/01/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2018	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	1.49	0.00	1.49	90.0	1.34
03/01/2018	WHITE, PAYTON	AM FUNDS NEW WORLD A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2018	BAILEY, JUDITH	AM FUNDS NEW WORLD A	0.00	0.29	0.00	0.29	90.0	0.26
03/01/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.92	0.09	0.00	0.09	90.0	0.08
02/20/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	19.93	0.09	0.00	0.09	90.0	0.08
02/20/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
02/20/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
02/27/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	1,649.70	37.12	0.00	37.12	90.0	33.41
02/27/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	1,798.32	40.46	0.00	40.46	90.0	36.41
03/01/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	0.00	0.84	0.00	0.84	90.0	0.76
03/01/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	1.50	0.00	1.50	90.0	1.35
03/01/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
03/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	258.33	1.40	0.00	1.40	90.0	1.26
03/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.22	0.09	0.00	0.09	90.0	0.08
03/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	83.35	0.45	0.00	0.45	90.0	0.41
03/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	50.01	0.27	0.00	0.27	90.0	0.24
03/01/2018	GUIER, LUCCA	AM FUNDS SMALLCAP WORLD-529A	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2018	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.20	0.00	0.20	90.0	0.18
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$75,146.19	\$1,165.34	\$0.00	\$1,165.34		\$1,049.04
*** SPLIT TRANSACTIONS TOTAL ***			\$75,146.19	\$1,165.34	\$0.00	\$1,165.34		\$1,049.04
<u>ADJUSTMENTS</u>								
03/15/2018		*EO INS: CURRENT MONTH INS-KEMBLE	0.00					-300.00
03/15/2018		*MARKETING PRO: MARKETING PRO	0.00					-45.00
03/15/2018		*TECH: CURRENT MONTH TECH-KEMBLE	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-620.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$10,117.93
Split Transactions	\$1,049.04
Override Transactions	\$0.00
Adjustments	\$-620.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,546.97
Year-to-date Gross	\$17,218.63
Year-to-date Earnings	\$15,537.02