

COMMISSION STATEMENT

January 31, 2018

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #: 53
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
01/04/2018	QGW007700	SARNA, MARK	CAMECO CORP	B	19,558.20	100.00	0.00	100.00	52.00
01/04/2018	QGW007700	SARNA, MARK	MBIA INC	B	23,250.00	150.00	0.00	150.00	52.00
01/08/2018	QGW007700	SARNA, MARK	FEDERAL NATL MTG ASSN PFD SER S DIV B	B	8,330.00	50.00	0.00	50.00	52.00
01/19/2018	QGW007700	SARNA, MARK	BANK OF AMERICA CORP	B	50,000.00	100.00	0.00	100.00	52.00
01/04/2018	QGW007825	SARNA FAMILY LTD	CAMECO CORP	B	19,556.40	100.00	0.00	100.00	52.00
01/04/2018	QGW007825	SARNA FAMILY LTD	MBIA INC	B	23,242.50	150.00	0.00	150.00	52.00
01/08/2018	QGW007825	SARNA FAMILY LTD	FEDERAL NATL MTG ASSN PFD SER S DIV B	B	8,330.00	50.00	0.00	50.00	52.00
01/19/2018	QGW007825	SARNA FAMILY LTD	ALCOA CORPORATION COMMON STOCK	B	5,000.00	22.00	0.00	22.00	52.00
01/19/2018	QGW007825	SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD SPONSORED B	B	150,000.00	50.00	0.00	50.00	52.00
01/19/2018	QGW007825	SARNA FAMILY LTD	ARCONIC INC COM	B	15,000.00	66.00	0.00	66.00	52.00
01/19/2018	QGW007825	SARNA FAMILY LTD	BANK OF AMERICA CORP	B	50,000.00	100.00	0.00	100.00	52.00
01/19/2018	QGW007825	SARNA FAMILY LTD	SCHLUMBERGER LTD	S	-72,500.00	50.00	0.00	50.00	52.00
01/19/2018	QGW007825	SARNA FAMILY LTD	UNITED STATES STL CORP NEW	B	25,000.00	50.00	0.00	50.00	52.00
01/03/2018	QGW020927	KNIGHT, DAVID	BALLARD PWR SYS INC NEW COM ISIN#CA S	S	-1,299.51	50.00	0.00	50.00	52.00
01/03/2018	QGW020927	KNIGHT, DAVID	CREDIT SUISSE AG NASSAU BRH VELOCIT S	S	-7,450.08	50.00	0.00	50.00	52.00
01/09/2018	QGW020927	KNIGHT, DAVID	PROSHARES TR PROSHARES ULTRASHORT T B	B	10,611.00	50.00	0.00	50.00	52.00
01/26/2018	QGW023277	BRAHA, JILL	DOLLAR TREE INC	S	-1,596.70	50.00	0.00	50.00	52.00
01/23/2018	QGW028755	SARNA, MARK	GENERAL ELECTRIC CO	B	8,155.00	30.00	0.00	30.00	52.00
12/29/2017	QGW029993	SARNA, MARK	GENERAL ELECTRIC CO	B	8,728.40	20.00	0.00	20.00	52.00
01/23/2018	QGW036618	SARNA, MARK	GENERAL ELECTRIC CO	B	8,155.00	30.00	0.00	30.00	52.00
* STOCKS SUBTOTAL *				\$350,070.21	\$1,318.00	\$0.00	\$1,318.00		\$685.36

PRODUCT CATEGORY: OPTIONS

12/29/2017	QGW007700	SARNA, MARK	CALL 100 GENERAL	B	5,180.00	60.00	0.00	60.00	52.00
01/04/2018	QGW007700	SARNA, MARK	CALL 100 BANK AMER CORP COM EXP 01- S	S	-4,356.00	40.00	0.00	40.00	52.00
01/04/2018	QGW007700	SARNA, MARK	CALL 100 FREEPORT-MCMORAN INC CL B B	B	950.00	20.00	0.00	20.00	52.00
01/04/2018	QGW007700	SARNA, MARK	CALL 100 RIOT BLOCKCHAIN INC COM EX B	B	4,400.00	40.00	0.00	40.00	52.00
01/04/2018	QGW007700	SARNA, MARK	CALL 100 UNITED STS STL CORP NEW CO B	B	3,250.00	40.00	0.00	40.00	52.00
01/10/2018	QGW007700	SARNA, MARK	CALL 100 UNITED STS STL CORP NEW CO S	S	-14,300.00	40.00	0.00	40.00	52.00
01/12/2018	QGW007700	SARNA, MARK	CALL 100 FACEBOOK INC CL A EXP 02-1 B	B	12,950.00	40.00	0.00	40.00	52.00
01/16/2018	QGW007700	SARNA, MARK	CALL 100 FREEPORT-MCMORAN INC CL B B	B	5,380.00	60.00	0.00	60.00	52.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
01/16/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	4,580.00	60.00	0.00	60.00	52.0 31.20
01/17/2018	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 01- S	-5,200.00	40.00	0.00	40.00	52.0 20.80
01/17/2018	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 02- B	14,350.00	150.00	0.00	150.00	52.0 78.00
01/17/2018	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B S	-7,800.00	40.00	0.00	40.00	52.0 20.80
01/18/2018	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 03-16-1 B	11,360.00	80.00	0.00	80.00	52.0 41.60
01/18/2018	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 01- S	-5,450.00	40.00	0.00	40.00	52.0 20.80
01/19/2018	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 01- S	-6,680.00	40.00	0.00	40.00	52.0 20.80
01/19/2018	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B S	-8,000.00	40.00	0.00	40.00	52.0 20.80
01/19/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	8,130.00	90.00	0.00	90.00	52.0 46.80
01/26/2018	QGW007700	SARNA, MARK	CALL 100	FACEBOOK INC CL A EXP 02-1 S	-2,570.00	30.00	0.00	30.00	52.0 15.60
01/26/2018	QGW007700	SARNA, MARK	CALL 100	WYNN RESORTS LTD COM EXP 0 B	14,200.00	40.00	0.00	40.00	52.0 20.80
01/29/2018	QGW007700	SARNA, MARK	CALL 100	WYNN RESORTS LTD COM EXP 0 B	24,000.00	40.00	0.00	40.00	52.0 20.80
12/29/2017	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL B	5,160.00	60.00	0.00	60.00	52.0 31.20
01/03/2018	QGW007825	SARNA FAMILY LTD	CALL 100	SCHLUMBERGER LTD COM ISIN# S	-1,100.00	30.00	0.00	30.00	52.0 15.60
01/04/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 01- S	-4,370.00	40.00	0.00	40.00	52.0 20.80
01/04/2018	QGW007825	SARNA FAMILY LTD	CALL 100	RIOT BLOCKCHAIN INC COM EX B	4,400.00	40.00	0.00	40.00	52.0 20.80
01/08/2018	QGW007825	SARNA FAMILY LTD	CALL 100	AA FURTHER ADJ (33 ARNC,11 S	-10,900.00	40.00	0.00	40.00	52.0 20.80
01/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 02-1 B	12,950.00	40.00	0.00	40.00	52.0 20.80
01/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B B	7,830.00	90.00	0.00	90.00	52.0 46.80
01/16/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	6,870.00	90.00	0.00	90.00	52.0 46.80
01/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 01- S	-5,210.00	40.00	0.00	40.00	52.0 20.80
01/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 02- B	20,090.00	210.00	0.00	210.00	52.0 109.20
01/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B S	-7,800.00	40.00	0.00	40.00	52.0 20.80
01/17/2018	QGW007825	SARNA FAMILY LTD	CALL 100	UNITED STS STL CORP NEW CO S	-14,500.00	40.00	0.00	40.00	52.0 20.80
01/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 03-16-1 B	11,400.00	80.00	0.00	80.00	52.0 41.60
01/18/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 01- S	-5,450.00	40.00	0.00	40.00	52.0 20.80
01/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 01- S	-6,680.00	40.00	0.00	40.00	52.0 20.80
01/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B S	-8,000.00	40.00	0.00	40.00	52.0 20.80
01/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	8,190.00	90.00	0.00	90.00	52.0 46.80
01/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-2,670.00	30.00	0.00	30.00	52.0 15.60
01/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	2,670.00	-30.00	0.00	-30.00	52.0 -15.60 C
01/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-2,670.00	30.00	0.00	30.00	52.0 15.60
01/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FACEBOOK INC CL A EXP 02-1 S	-2,570.00	30.00	0.00	30.00	52.0 15.60
01/26/2018	QGW007825	SARNA FAMILY LTD	CALL 100	WYNN RESORTS LTD COM EXP 0 B	14,200.00	40.00	0.00	40.00	52.0 20.80
01/29/2018	QGW007825	SARNA FAMILY LTD	CALL 100	WYNN RESORTS LTD COM EXP 0 B	24,000.00	40.00	0.00	40.00	52.0 20.80
01/30/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	3,030.00	40.00	0.00	40.00	52.0 20.80
* OPTIONS SUBTOTAL *				\$103,244.00	\$2,260.00	\$0.00	\$2,260.00		\$1,175.20

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/22/2018	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED BALANCED B	0.00	8.22	0.00	8.22	52.0	4.27
01/11/2018	QGW028755	SARNA, MARK	THORNBURG INVESTMENT INCOME BUILDER B	0.00	17.27	0.00	17.27	52.0	8.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$25.49	\$0.00	\$25.49		\$13.25
*** BROKER TRANSACTIONS TOTAL ***				\$453,314.21	\$3,603.49	\$0.00	\$3,603.49		\$1,873.81

ADJUSTMENTS

01/31/2018	:			0.00	0.00	0.00	0.00		0.00
01/31/2018	ADVANCE:	ADVANCE		0.00	0.00	0.00	0.00		-1,700.00
01/31/2018	OTHER:	COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,720.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,873.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,720.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-601.38
FINRA Assessment	\$-4.50
SIPC Assessment	\$-9.01

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-461.08
Year-to-date Earnings	\$-461.08