

**COMMISSION STATEMENT****July 31, 2018****REBECCA, F124 FREEMAN**

63 Putnam Street

Suite 202

Saratoga Springs, NY 12866

BROKER #: F124

BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
07/18/2018	ALPER, PETER	ASSETMARK	104,464.80	329.06	0.00	329.06	90.0	296.15
07/18/2018	ALPER, PETER	ASSETMARK	143,104.61	180.31	0.00	180.31	90.0	162.28
07/18/2018	ALPER, PETER	ASSETMARK	903.71	2.85	0.00	2.85	90.0	2.57
07/18/2018	IPPOLITO, LISA	ASSETMARK	391,261.02	936.68	0.00	936.68	90.0	843.01
07/18/2018	IPPOLITO, LISA	ASSETMARK	260,615.76	328.38	0.00	328.38	90.0	295.54
07/18/2018	LUNT, MARJORIE	ASSETMARK	396,762.06	499.92	0.00	499.92	90.0	449.93
07/18/2018	LUNT, MARJORIE	ASSETMARK	38,772.10	97.71	0.00	97.71	90.0	87.94
07/18/2018	WAITE, BARBARA	ASSETMARK	185,638.46	233.90	0.00	233.90	90.0	210.51
07/18/2018	WAITE, BARBARA	ASSETMARK	301,898.32	380.39	0.00	380.39	90.0	342.35
07/17/2018	ANDERSON, CAROLYN	TD AMERITRADE	0.00	154.25	0.00	154.25	90.0	138.83
07/17/2018	BRENNAN, WILLIAM	TD AMERITRADE	0.00	706.67	0.00	706.67	90.0	636.00
07/17/2018	COATS, STEVEN	TD AMERITRADE	0.00	1,279.99	0.00	1,279.99	90.0	1,151.99
07/17/2018	COATS, STEVEN	TD AMERITRADE	0.00	1,074.78	0.00	1,074.78	90.0	967.30
07/17/2018	FOX, JENNIFER	TD AMERITRADE	0.00	1,045.53	0.00	1,045.53	90.0	940.98
07/17/2018	FOX, KURT	TD AMERITRADE	0.00	12.73	0.00	12.73	90.0	11.46
07/17/2018	FRITZ, JEFFREY	TD AMERITRADE	0.00	97.99	0.00	97.99	90.0	88.19
07/17/2018	HALL, WILMA	TD AMERITRADE	0.00	59.88	0.00	59.88	90.0	53.89
07/17/2018	HAWKE, SHARON	TD AMERITRADE	0.00	685.87	0.00	685.87	90.0	617.28
07/17/2018	HAWKE, SHARON	TD AMERITRADE	0.00	79.40	0.00	79.40	90.0	71.46
07/17/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	50.42	0.00	50.42	90.0	45.38
07/17/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	299.51	0.00	299.51	90.0	269.56
07/17/2018	HIGGINS, ALEXANDRA	TD AMERITRADE	0.00	30.89	0.00	30.89	90.0	27.80
07/17/2018	HIGGINS, ANNE	TD AMERITRADE	0.00	473.85	0.00	473.85	90.0	426.47
07/17/2018	HAVLICEK, BRIGITTE	TD AMERITRADE	0.00	19.33	0.00	19.33	90.0	17.40
07/17/2018	HAVLICEK, BRIGITTE	TD AMERITRADE	0.00	8.07	0.00	8.07	90.0	7.26
07/17/2018	HAVLICEK, BRIGITTE	TD AMERITRADE	0.00	69.16	0.00	69.16	90.0	62.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: RIA								
07/17/2018	KNOWLTON, JACKSON	TD AMERITRADE	0.00	110.63	0.00	110.63	90.0	99.57
07/17/2018	MARTINEZ, YVONNE	TD AMERITRADE	0.00	14.77	0.00	14.77	90.0	13.29
07/17/2018	MCCLANAHAN, ARTHUR	TD AMERITRADE	0.00	1,242.49	0.00	1,242.49	90.0	1,118.24
07/17/2018	MCMORRIS, NANCY	TD AMERITRADE	0.00	14.63	0.00	14.63	90.0	13.17
07/17/2018	QUIGLEY, MARY	TD AMERITRADE	0.00	48.37	0.00	48.37	90.0	43.53
07/17/2018	RADER, FRANK	TD AMERITRADE	0.00	788.21	0.00	788.21	90.0	709.39
07/17/2018	SKASKIW, ROBIN	TD AMERITRADE	0.00	321.16	0.00	321.16	90.0	289.04
07/17/2018	STERN, AMELIA	TD AMERITRADE	0.00	576.31	0.00	576.31	90.0	518.68
07/17/2018	STERN, JONATHAN	TD AMERITRADE	0.00	313.89	0.00	313.89	90.0	282.50
07/17/2018	WILSON, GEORGE	TD AMERITRADE	0.00	44.28	0.00	44.28	90.0	39.85
07/17/2018	WILSON, GEORGE	TD AMERITRADE	0.00	854.05	0.00	854.05	90.0	768.65
07/17/2018	WILSON, DELORES	TD AMERITRADE	0.00	58.64	0.00	58.64	90.0	52.78
* RIA SUBTOTAL *			\$1,823,420.84	\$13,524.95	\$0.00	\$13,524.95		\$12,172.46
*** DIRECT TRANSACTIONS TOTAL ***			\$1,823,420.84	\$13,524.95	\$0.00	\$13,524.95		\$12,172.46
ADJUSTMENTS								
07/31/2018	*MANAGED FEE EXPENSE: TDAMERITRADE		0.00					-77.39
	ACCT MIN. FEE							
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-77.39

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BROKER COMMISSION TOTALS

Broker Transactions	\$12,172.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-77.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,095.07
Year-to-date Gross	\$57,973.46
Year-to-date Earnings	\$52,176.22