



Concorde Investment Services, LLC

11/13/2017

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COMMISSION STATEMENT

November 15, 2017

ROBERT THOMPSON
7261 W. Central Ave.

BROKER #: T118
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/31/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,923.24	8.11	0.00	8.11	90.0	7.30
10/31/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,128.89	1.33	0.00	1.33	90.0	1.20
10/31/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	40,085.15	33.40	0.00	33.40	90.0	30.06
10/31/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	103,258.13	86.05	0.00	86.05	90.0	77.45
10/31/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,119.77	21.77	0.00	21.77	90.0	19.59
10/31/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	37,203.72	31.00	0.00	31.00	90.0	27.90
10/31/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	131,582.56	109.65	0.00	109.65	90.0	98.69
10/31/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	136,104.43	113.42	0.00	113.42	90.0	102.08
10/31/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	172,701.45	143.92	0.00	143.92	90.0	129.53
10/31/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	26,001.72	21.67	0.00	21.67	90.0	19.50
10/31/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	44,653.40	37.21	0.00	37.21	90.0	33.49
10/31/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	19,124.76	15.94	0.00	15.94	90.0	14.35
10/31/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	23,399.94	19.50	0.00	19.50	90.0	17.55
10/31/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	167,156.26	139.30	0.00	139.30	90.0	125.37
10/31/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	157,814.62	131.51	0.00	131.51	90.0	118.36
10/31/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	153,233.13	127.69	0.00	127.69	90.0	114.92
10/31/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	222,765.99	185.64	0.00	185.64	90.0	167.08
10/31/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,266.96	35.22	0.00	35.22	90.0	31.70
10/31/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	120,357.66	100.30	0.00	100.30	90.0	90.27
10/31/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	112,463.88	93.72	0.00	93.72	90.0	84.35
10/31/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	122,216.61	101.85	0.00	101.85	90.0	91.67
10/31/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	24,285.70	20.24	0.00	20.24	90.0	18.22
10/31/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	27,950.92	23.29	0.00	23.29	90.0	20.96
* VARIABLE ANNUITIES SUBTOTAL *			\$1,951,798.89	\$1,601.73	\$0.00	\$1,601.73		\$1,441.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
10/19/2017	SNEAD, SHELLI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
10/11/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.68	0.00	4.68	90.0	4.21
09/30/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	14.80	0.00	14.80	90.0	13.32
09/30/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.26	0.00	2.26	90.0	2.03
09/30/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.61	0.00	4.61	90.0	4.15
09/30/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.16	0.00	1.16	90.0	1.04
09/30/2017	MERZ, JOYCE	STADION INVESTMENTS	0.00	10.14	0.00	10.14	90.0	9.13
09/30/2017	WENZ, JAMES	STADION INVESTMENTS	0.00	4.36	0.00	4.36	90.0	3.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$42.11	\$0.00	\$42.11		\$37.89
*** DIRECT TRANSACTIONS TOTAL ***			\$1,951,798.89	\$1,643.84	\$0.00	\$1,643.84		\$1,479.48

ADJUSTMENTS

11/15/2017	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
11/15/2017	*ALBRIDGE: FULL USER	0.00						-176.00
11/15/2017	*BRANCH FEE: AUDIT FEE	0.00						-453.19
11/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
11/15/2017	*TECHNOLOGY: ADMIN - D RYAN 1/3RD	0.00						-43.33
11/15/2017	*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00						-43.33
11/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,202.85

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,479.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$276.63
Year-to-date Gross	\$83,117.14
Year-to-date Earnings	\$74,864.83