



# COMMISSION STATEMENT

April 30, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/16/2018	***-**-761I - HUSTEK, PETER	AMCAP FUND-A	41.21	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 00087410644	BUY 1 Units @33.8200						
04/16/2018	***-**-761I - HUSTEK, PETER	AMCAP FUND-A	41.21	0.82	0.00	0.82	80.0	0.66
	ACCOUNT #: 00087410644	BUY 1 Units @33.8200						
04/16/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	200.00	5.50	0.00	5.50	80.0	4.40
	ACCOUNT #: 00067533376	BUY 6 Units @34.1700						
04/16/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067807144	BUY 3 Units @34.1700						
04/16/2018	***-**-985P - PAPST, MEREDITH	AMCAP FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 4 Units @34.1700						
04/20/2018	***-**-716I - PAPST, JASON	AMCAP FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 3 Units @34.3900						
04/16/2018	***-**-0514 - SMITLEY, DONALD	AMERICAN BALANCED FUND-A	152.51	3.05	0.00	3.05	80.0	2.44
	ACCOUNT #: 00089895362	BUY 6 Units @27.7200						
04/16/2018	***-**-0514 - SMITLEY, DONALD	AMERICAN BALANCED FUND-A	91.51	1.83	0.00	1.83	80.0	1.46
	ACCOUNT #: 00089895362	BUY 3 Units @27.7200						
04/16/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
04/16/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.0100						
04/16/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.0100						
04/16/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.0100						
04/16/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	26.09	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 04000627501	BUY 1 Units @28.3000						
04/16/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	26.09	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 04000627501	BUY 1 Units @28.3000						
04/17/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	29.17	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: 04000627501	BUY 1 Units @28.4700						
04/17/2018	***-**-7943 - HAFFORD, CRYSTAL	AMERICAN BALANCED FUND-A	29.17	1.09	0.00	1.09	80.0	0.87
	ACCOUNT #: 04000627501	BUY 1 Units @28.4700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/18/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	19.95	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000812765	BUY 1 Units @28.8500						
04/20/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4500						
04/20/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4500						
04/20/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4500						
04/20/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4500						
04/20/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4500						
04/20/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4500						
04/20/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.4500						
04/20/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.4500						
04/16/2018	***-**-089I - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	280.13	5.60	0.00	5.60	80.0	4.48
	ACCOUNT #: 00084671422	BUY 24 Units @11.8600						
04/16/2018	***-**-089I - RAFAEL, MANUEL	AMERICAN FUNDS 2015 TARGET DATE-A	140.06	2.80	0.00	2.80	80.0	2.24
	ACCOUNT #: 00084671422	BUY 12 Units @11.8600						
04/16/2018	***-**-852I - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	1,442.30	28.85	0.00	28.85	80.0	23.08
	ACCOUNT #: 00084671526	BUY 122 Units @11.8600						
04/16/2018	***-**-852I - ECKER, DAVID	AMERICAN FUNDS 2015 TARGET DATE-A	432.70	8.65	0.00	8.65	80.0	6.92
	ACCOUNT #: 00084671526	BUY 36 Units @11.8600						
04/16/2018	***-**-640I - SILVA, VICTOR	AMERICAN FUNDS 2020 TARGET DATE-A	82.38	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: 00087410616	BUY 6 Units @12.8900						
04/16/2018	***-**-640I - SILVA, VICTOR	AMERICAN FUNDS 2020 TARGET DATE-A	137.29	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00087410616	BUY 11 Units @12.8900						
04/16/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.0300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/16/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @13.0300						
04/16/2018	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	114.22	2.28	0.00	2.28	80.0	1.82
	ACCOUNT #: 00085997645	BUY 8 Units @13.8600						
04/16/2018	***-**-3575 - LUCARELLI, DONNA	AMERICAN FUNDS 2025 TARGET DATE-A	114.22	2.28	0.00	2.28	80.0	1.82
	ACCOUNT #: 00085997645	BUY 8 Units @13.8600						
04/16/2018	***-**-3231 - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	116.46	2.33	0.00	2.33	80.0	1.86
	ACCOUNT #: 00085997891	BUY 8 Units @13.8600						
04/16/2018	***-**-3231 - CAIZA, HILDA	AMERICAN FUNDS 2025 TARGET DATE-A	155.29	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: 00085997891	BUY 11 Units @13.8600						
04/16/2018	***-**-94CL - FERRY, CLIENTS	AMERICAN FUNDS 2025 TARGET DATE-A	86.33	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 00087410573	BUY 6 Units @13.8600						
04/16/2018	***-**-94CL - FERRY, CLIENTS	AMERICAN FUNDS 2025 TARGET DATE-A	86.33	1.73	0.00	1.73	80.0	1.38
	ACCOUNT #: 00087410573	BUY 6 Units @13.8600						
04/18/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.5600						
04/16/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	98.78	1.98	0.00	1.98	80.0	1.58
	ACCOUNT #: 00087410497	BUY 6 Units @15.4300						
04/16/2018	***-**-111I - OJA, MARISSA	AMERICAN FUNDS 2035 TARGET DATE-A	98.78	1.98	0.00	1.98	80.0	1.58
	ACCOUNT #: 00087410497	BUY 6 Units @15.4300						
04/16/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.5900						
04/16/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.5900						
04/18/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @16.1300						
04/16/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	165.00	3.30	0.00	3.30	80.0	2.64
	ACCOUNT #: 00084671437	BUY 10 Units @15.8300						
04/16/2018	***-**-2796 - ESTRELLA, JUDITH	AMERICAN FUNDS 2040 TARGET DATE-A	330.00	6.60	0.00	6.60	80.0	5.28
	ACCOUNT #: 00084671437	BUY 21 Units @15.8300						
04/16/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	28.70	1.08	0.00	1.08	80.0	0.86
	ACCOUNT #: 04000627489	BUY 2 Units @16.1600						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/16/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.1600						
04/17/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	28.68	1.08	0.00	1.08	80.0	0.86
	ACCOUNT #: 04000627489	BUY 2 Units @16.2900						
04/17/2018	***-**-9875 - PETRO JR, JOHN	AMERICAN FUNDS 2040 TARGET DATE-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 04000627489	BUY 3 Units @16.2900						
04/18/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.5500						
04/18/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.5500						
04/16/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	173.10	3.46	0.00	3.46	80.0	2.77
	ACCOUNT #: 00084670745	BUY 11 Units @16.0800						
04/16/2018	***-**-6980 - ANDRADE,	AMERICAN FUNDS 2045 TARGET DATE-A	173.10	3.46	0.00	3.46	80.0	2.77
	ACCOUNT #: 00084670745	BUY 11 Units @16.0800						
04/16/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	129.93	2.60	0.00	2.60	80.0	2.08
	ACCOUNT #: 00084671439	BUY 8 Units @16.0800						
04/16/2018	***-**-6931 - MILANO, ANTHONY	AMERICAN FUNDS 2045 TARGET DATE-A	129.93	2.60	0.00	2.60	80.0	2.08
	ACCOUNT #: 00084671439	BUY 8 Units @16.0800						
04/16/2018	***-**-6979 - ECKER, ASHLEY	AMERICAN FUNDS 2050 TARGET DATE-A	298.05	5.96	0.00	5.96	80.0	4.77
	ACCOUNT #: 00084671531	BUY 19 Units @15.7400						
04/16/2018	***-**-6979 - ECKER, ASHLEY	AMERICAN FUNDS 2050 TARGET DATE-A	298.05	5.96	0.00	5.96	80.0	4.77
	ACCOUNT #: 00084671531	BUY 19 Units @15.7400						
04/16/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.9100						
04/16/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.9100						
04/16/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.9100						
04/16/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.9100						
04/16/2018	***-**-838I - TYSZKA, SEAN	AMERICAN FUNDS 2060 TARGET DATE-A	62.07	1.24	0.00	1.24	80.0	0.99
	ACCOUNT #: 04000234338	BUY 5 Units @12.8900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/16/2018	***-**-838I - TYSZKA, SEAN	AMERICAN FUNDS 2060 TARGET DATE-A	62.07	1.24	0.00	1.24	80.0	0.99
	ACCOUNT #: 04000234338	BUY 5 Units @12.8900						
04/16/2018	***-**-1540 - FROSCENO, KAYLA	AMERICAN FUNDS 2060 TARGET DATE-A	84.15	1.68	0.00	1.68	80.0	1.34
	ACCOUNT #: 04000234351	BUY 7 Units @12.8900						
04/16/2018	***-**-1540 - FROSCENO, KAYLA	AMERICAN FUNDS 2060 TARGET DATE-A	112.20	2.24	0.00	2.24	80.0	1.79
	ACCOUNT #: 04000234351	BUY 9 Units @12.8900						
04/20/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.8300						
04/20/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.8300						
04/16/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.1000						
04/16/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.1000						
04/16/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.1000						
04/16/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.1000						
04/16/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.1000						
04/16/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	32.19	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 00085621852	BUY 2 Units @15.1000						
04/17/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.2200						
04/17/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @15.2200						
04/17/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @15.2200						
04/17/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @15.2200						
04/17/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @15.2200						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/17/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.2200	37.59	0.38	0.00	0.38	80.0	0.30
04/20/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0900	25.00	0.25	0.00	0.25	80.0	0.20
04/20/2018	***-**-2954 - RESTO, NORBERTO ACCOUNT #: 00069029798	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0900	25.00	0.25	0.00	0.25	80.0	0.20
04/20/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 4 Units @15.0900	66.96	0.67	0.00	0.67	80.0	0.54
04/20/2018	***-**-3307 - COUGHLAN, HARRY ACCOUNT #: 00084434107	AMERICAN FUNDS GRWTH & INCOME PORT BUY 16 Units @15.0900	240.50	2.41	0.00	2.41	80.0	1.93
04/20/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 3 Units @15.0900	45.00	0.45	0.00	0.45	80.0	0.36
04/20/2018	***-**-0391 - HERNANDEZ, ACCOUNT #: 00085621852	AMERICAN FUNDS GRWTH & INCOME PORT BUY 2 Units @15.0900	35.98	0.36	0.00	0.36	80.0	0.29
04/16/2018	***-**-88SI - CROSSMAN, ROBERT ACCOUNT #: 00086854812	AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.5400	37.50	0.75	0.00	0.75	80.0	0.60
04/16/2018	***-**-88SI - CROSSMAN, ROBERT ACCOUNT #: 00086854812	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @10.5400	87.50	1.75	0.00	1.75	80.0	1.40
04/16/2018	***-**-88SI - CROSSMAN, ROBERT ACCOUNT #: 00086854812	AMERICAN MUTUAL FUND-A BUY 4 Units @41.2800	175.00	3.50	0.00	3.50	80.0	2.80
04/16/2018	***-**-88SI - CROSSMAN, ROBERT ACCOUNT #: 00086854812	AMERICAN MUTUAL FUND-A BUY 2 Units @41.2800	75.00	1.50	0.00	1.50	80.0	1.20
04/16/2018	***-**-761I - HUSTEK, PETER ACCOUNT #: 00087410644	AMERICAN MUTUAL FUND-A BUY 1 Units @41.2800	30.91	0.62	0.00	0.62	80.0	0.50
04/16/2018	***-**-761I - HUSTEK, PETER ACCOUNT #: 00087410644	AMERICAN MUTUAL FUND-A BUY 1 Units @41.2800	30.91	0.62	0.00	0.62	80.0	0.50
04/16/2018	***-**-88SI - CROSSMAN, ROBERT ACCOUNT #: 00086854812	CAPITAL INCOME BUILDER-A BUY 1 Units @62.8800	87.50	1.75	0.00	1.75	80.0	1.40
04/16/2018	***-**-88SI - CROSSMAN, ROBERT ACCOUNT #: 00086854812	CAPITAL INCOME BUILDER-A BUY 1 Units @62.8800	37.50	0.75	0.00	0.75	80.0	0.60
04/16/2018	***-**-716I - PAPST, JASON ACCOUNT #: 00067533376	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @53.6900	150.00	4.13	0.00	4.13	80.0	3.30



# COMMISSION STATEMENT

April 30, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/16/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067807144	BUY 2 Units @53.6900						
04/16/2018	***-**-985P - PAPST, MEREDITH	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	80.0	2.75
	ACCOUNT #: 00067807144	BUY 2 Units @53.6900						
04/20/2018	***-**-716I - PAPST, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @53.7600						
04/16/2018	***-**-761I - HUSTEK, PETER	EUROPACIFIC GROWTH FUND-A	30.91	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 00087410644	BUY 1 Units @58.5500						
04/16/2018	***-**-761I - HUSTEK, PETER	EUROPACIFIC GROWTH FUND-A	30.91	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 00087410644	BUY 1 Units @58.5500						
04/30/2018	***-**-078I - PELLETIER,	SAMMONS LIVEWELL MUTUAL FUND	458.33	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
04/30/2018	***-**-230I - ADAMAITIS, JOY	SAMMONS LIVEWELL MUTUAL FUND	6,500.00	65.00	0.00	65.00	80.0	52.00
	ACCOUNT #: 7200005523	BUY 0 Units @0.0000						
04/30/2018	***-**-027I - ADAMAITIS,	SAMMONS LIVEWELL MUTUAL FUND	6,500.00	65.00	0.00	65.00	80.0	52.00
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
04/30/2018	***-**-90SI - MACARON, SHADY	SAMMONS MF TRAILS	0.00	69.28	0.00	69.28	80.0	55.42
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
04/30/2018	***-**-078I - PELLETIER,	SAMMONS MF TRAILS	0.00	22.80	0.00	22.80	80.0	18.24
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
04/30/2018	***-**-365I - HENDRICKS,	SAMMONS MF TRAILS	0.00	53.08	0.00	53.08	80.0	42.46
	ACCOUNT #: 7200000751	BUY 0 Units @0.0000						
04/30/2018	***-**-069I - PIRES, DAVID	SAMMONS MF TRAILS	0.00	37.41	0.00	37.41	80.0	29.93
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
04/30/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	17.40	0.00	17.40	80.0	13.92
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
04/30/2018	***-**-124I - YOUNG, SANDRA	SAMMONS MF TRAILS	0.00	20.86	0.00	20.86	80.0	16.69
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
04/30/2018	***-**-118I - MORRO, CHARLOTTE	SAMMONS MF TRAILS	0.00	7.97	0.00	7.97	80.0	6.38
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
04/16/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067533376	BUY 11 Units @13.8700						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/16/2018	***-**-985P - PAPST, MEREDITH	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067807144	BUY 7 Units @13.8700						
04/20/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.5700						
04/20/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.5700						
04/20/2018	***-**-716I - PAPST, JASON	U.S. GOVERNMENT SECURITIES FUND CLA	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 7 Units @13.7800						
04/16/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	200.00	5.50	0.00	5.50	80.0	4.40
	ACCOUNT #: 00067533376	BUY 4 Units @47.3100						
04/16/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067807144	BUY 2 Units @47.3100						
04/16/2018	***-**-985P - PAPST, MEREDITH	WASHINGTON MUTUAL INVESTORS FUND-A	150.00	4.13	0.00	4.13	80.0	3.30
	ACCOUNT #: 00067807144	BUY 3 Units @47.3100						
04/20/2018	***-**-716I - PAPST, JASON	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 00067533376	BUY 2 Units @47.2800						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$26,449.50</b>	<b>\$662.52</b>	<b>\$0.00</b>	<b>\$662.52</b>		<b>\$529.96</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
04/30/2018	***-**-94CL - FERRY, CLIENTS	PRINCIPAL VA TRAILS	0.00	1,784.82	0.00	1,784.82	80.0	1,427.86
		BUY 1 Units @0.0000						
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,784.82</b>	<b>\$0.00</b>	<b>\$1,784.82</b>		<b>\$1,427.86</b>
<b>PRODUCT CATEGORY: RIA</b>								
04/30/2018	***-**-96FB - FOSTER, MELISSA	SYMMETRY RIA	35,938.71	89.85	0.00	89.85	80.0	71.88
	ACCOUNT #: 534689	BUY 0 Units @3.5938						
<b>* RIA SUBTOTAL *</b>			<b>\$35,938.71</b>	<b>\$89.85</b>	<b>\$0.00</b>	<b>\$89.85</b>		<b>\$71.88</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
04/26/2018	***-**-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS	0.00	5.62	0.00	5.62	80.0	4.50
		BUY 0 Units @0.0000						
04/30/2018	***-**-878I - MOSGROVE, KEITH	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011966	BUY 1 Units @0.0000						





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$5.62	\$0.00	\$5.62		\$4.50
		*** BROKER TRANSACTIONS TOTAL ***	\$62,388.21	\$2,542.81	\$0.00	\$2,542.81		\$2,034.20

## BROKER COMMISSION TOTALS

Broker Transactions	\$2,034.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,034.20
Year-to-date Earnings	\$33,458.13