

**COMMISSION STATEMENT****March 31, 2018**KEITH, L128 LAYMAN  
4321 FlagstaffBROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	6,122.21	7.55	0.00	7.55	80.0	6.04
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	4,593.98	5.66	0.00	5.66	80.0	4.53
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	6,150.23	7.58	0.00	7.58	80.0	6.06
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	6,200.40	7.64	0.00	7.64	80.0	6.11
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	7,844.93	9.67	0.00	9.67	80.0	7.74
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	6,626.10	8.17	0.00	8.17	80.0	6.54
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	7,901.42	9.74	0.00	9.74	80.0	7.79
03/13/2018	ROBERTS, LORETTA	AMERICAN FUNDS	4,218.93	5.20	0.00	5.20	80.0	4.16
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	11,926.67	14.70	0.00	14.70	80.0	11.76
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	9,162.15	11.30	0.00	11.30	80.0	9.04
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	5,631.49	6.94	0.00	6.94	80.0	5.55
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	6,006.92	7.41	0.00	7.41	80.0	5.93
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	7,925.85	9.77	0.00	9.77	80.0	7.82
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	8,070.54	9.95	0.00	9.95	80.0	7.96
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	13,182.44	16.25	0.00	16.25	80.0	13.00
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	9,608.70	11.85	0.00	11.85	80.0	9.48
03/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	4,579.10	5.65	0.00	5.65	80.0	4.52
03/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	5,067.39	6.25	0.00	6.25	80.0	5.00
03/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	3,806.05	4.69	0.00	4.69	80.0	3.75
03/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	4,384.51	5.41	0.00	5.41	80.0	4.33
03/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	3,370.97	4.16	0.00	4.16	80.0	3.33
03/13/2018	HOFFMAN, JOANN	AMERICAN FUNDS	3,238.90	3.99	0.00	3.99	80.0	3.19
03/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,492.44	3.07	0.00	3.07	80.0	2.46
03/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	4,957.82	6.11	0.00	6.11	80.0	4.89
03/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	3,715.14	4.58	0.00	4.58	80.0	3.66
03/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,406.62	2.97	0.00	2.97	80.0	2.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,467.97	3.04	0.00	3.04	80.0	2.43
03/13/2018	HOFFMAN, MARK	AMERICAN FUNDS	2,370.31	2.92	0.00	2.92	80.0	2.34
03/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	935.67	1.15	0.00	1.15	80.0	0.92
03/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	703.68	0.87	0.00	0.87	80.0	0.70
03/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	617.51	0.76	0.00	0.76	80.0	0.61
03/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	1,022.62	1.26	0.00	1.26	80.0	1.01
03/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	1,453.78	1.79	0.00	1.79	80.0	1.43
03/13/2018	AMBRIOLE, WESLEY	AMERICAN FUNDS	1,044.16	1.29	0.00	1.29	80.0	1.03
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	174.71	0.22	0.00	0.22	80.0	0.18
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	238.29	0.29	0.00	0.29	80.0	0.23
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	135.23	0.17	0.00	0.17	80.0	0.14
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	155.53	0.19	0.00	0.19	80.0	0.15
03/13/2018	WILLING, AARON	AMERICAN FUNDS	8,140.00	10.04	0.00	10.04	80.0	8.03
03/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	3,964.93	4.89	0.00	4.89	80.0	3.91
03/13/2018	BRUNTON, EMILY	AMERICAN FUNDS	4,589.69	5.66	0.00	5.66	80.0	4.53
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	6,471.94	7.98	0.00	7.98	80.0	6.38
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	4,958.44	6.11	0.00	6.11	80.0	4.89
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	3,831.74	4.72	0.00	4.72	80.0	3.78
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	4,416.29	5.44	0.00	5.44	80.0	4.35
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	7,081.68	8.73	0.00	8.73	80.0	6.98
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	4,981.70	6.14	0.00	6.14	80.0	4.91
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	5,643.60	6.96	0.00	6.96	80.0	5.57
03/13/2018	SAINSBURY, PATRICIA	AMERICAN FUNDS	3,355.82	4.14	0.00	4.14	80.0	3.31
03/13/2018	SCHAEFER, LOLA	AMERICAN FUNDS	4,762.79	5.87	0.00	5.87	80.0	4.70
03/13/2018	BRUNTON, RYAN	AMERICAN FUNDS	3,013.96	3.72	0.00	3.72	80.0	2.98
03/13/2018	BRUNTON, RYAN	AMERICAN FUNDS	4,348.97	5.36	0.00	5.36	80.0	4.29
03/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	730.71	0.90	0.00	0.90	80.0	0.72
03/13/2018	NEWBAUER, GINGER	AMERICAN FUNDS	602.64	0.74	0.00	0.74	80.0	0.59
03/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	141.84	0.17	0.00	0.17	80.0	0.14
03/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	128.33	0.16	0.00	0.16	80.0	0.13
03/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	148.59	0.18	0.00	0.18	80.0	0.14
03/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	82.93	0.10	0.00	0.10	80.0	0.08
03/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	112.17	0.14	0.00	0.14	80.0	0.11

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/13/2018	DERBYSHIRE, JAMES	AMERICAN FUNDS	146.14	0.18	0.00	0.18	80.0	0.14
03/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,270.74	1.57	0.00	1.57	80.0	1.26
03/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,327.73	1.64	0.00	1.64	80.0	1.31
03/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,550.47	1.91	0.00	1.91	80.0	1.53
03/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,069.08	1.32	0.00	1.32	80.0	1.06
03/13/2018	WARSTLER, MARK	AMERICAN FUNDS	1,469.81	1.81	0.00	1.81	80.0	1.45
03/13/2018	MCBRIDE, KEITH	AMERICAN FUNDS	4,392.69	5.42	0.00	5.42	80.0	4.34
03/13/2018	BAILEY, TERRY	AMERICAN FUNDS	6,148.80	7.58	0.00	7.58	80.0	6.06
03/13/2018	BAILEY, LISA	AMERICAN FUNDS	1,739.76	2.14	0.00	2.14	80.0	1.71
03/13/2018	BAILEY, LISA	AMERICAN FUNDS	2,085.54	2.57	0.00	2.57	80.0	2.06
03/13/2018	BAILEY, LISA	AMERICAN FUNDS	923.35	1.14	0.00	1.14	80.0	0.91
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	102,970.86	126.95	0.00	126.95	80.0	101.56
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	94,530.39	116.54	0.00	116.54	80.0	93.23
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	77,339.19	95.35	0.00	95.35	80.0	76.28
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	73,197.65	90.24	0.00	90.24	80.0	72.19
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	83,519.44	102.97	0.00	102.97	80.0	82.38
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	68,597.57	84.57	0.00	84.57	80.0	67.66
03/13/2018	RAMUS, CHARLES	AMERICAN FUNDS	266,153.60	328.13	0.00	328.13	80.0	262.50
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	20,683.23	25.50	0.00	25.50	80.0	20.40
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,701.62	18.13	0.00	18.13	80.0	14.50
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,581.36	17.98	0.00	17.98	80.0	14.38
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,681.49	18.10	0.00	18.10	80.0	14.48
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,549.49	17.94	0.00	17.94	80.0	14.35
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,602.91	18.00	0.00	18.00	80.0	14.40
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	21,526.07	26.54	0.00	26.54	80.0	21.23
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	22,038.23	27.17	0.00	27.17	80.0	21.74
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	4,599.50	5.67	0.00	5.67	80.0	4.54
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,689.40	18.11	0.00	18.11	80.0	14.49
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	11,108.15	13.69	0.00	13.69	80.0	10.95
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,675.42	18.09	0.00	18.09	80.0	14.47
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	14,726.77	18.16	0.00	18.16	80.0	14.53
03/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	10,129.19	12.49	0.00	12.49	80.0	9.99
03/13/2018	LEVERMAN, THEODORE	AMERICAN FUNDS	11,004.66	13.57	0.00	13.57	80.0	10.86

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	7,908.40	9.75	0.00	9.75	80.0	7.80
03/13/2018	LEVERMAN, PENNY	AMERICAN FUNDS	10,204.21	12.58	0.00	12.58	80.0	10.06
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	3,410.97	4.21	0.00	4.21	80.0	3.37
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	3,392.00	4.18	0.00	4.18	80.0	3.34
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	2,356.82	2.91	0.00	2.91	80.0	2.33
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	1,180.81	1.46	0.00	1.46	80.0	1.17
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	2,911.12	3.59	0.00	3.59	80.0	2.87
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	2,797.84	3.45	0.00	3.45	80.0	2.76
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	1,180.08	1.45	0.00	1.45	80.0	1.16
03/13/2018	HEIDENREICH, PATRICK	AMERICAN FUNDS	2,351.81	2.90	0.00	2.90	80.0	2.32
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	11,247.55	13.87	0.00	13.87	80.0	11.10
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	1,663.15	2.05	0.00	2.05	80.0	1.64
03/13/2018	NEWBAUER, JOSHUA	AMERICAN FUNDS	1,333.20	1.64	0.00	1.64	80.0	1.31
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	15,424.80	19.02	0.00	19.02	80.0	15.22
03/13/2018	ZIMMERMAN, PHYLLIS	AMERICAN FUNDS	17,357.05	21.40	0.00	21.40	80.0	17.12
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	118.94	0.15	0.00	0.15	80.0	0.12
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	94.64	0.12	0.00	0.12	80.0	0.10
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	70.11	0.09	0.00	0.09	80.0	0.07
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	144.76	0.18	0.00	0.18	80.0	0.14
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	122.49	0.15	0.00	0.15	80.0	0.12
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	23.32	0.03	0.00	0.03	80.0	0.02
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	142.39	0.18	0.00	0.18	80.0	0.14
03/13/2018	DERBYSHIRE, KAREN	AMERICAN FUNDS	64.10	0.08	0.00	0.08	80.0	0.06
03/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,962.00	3.65	0.00	3.65	80.0	2.92
03/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,238.48	2.76	0.00	2.76	80.0	2.21
03/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	5,167.65	6.37	0.00	6.37	80.0	5.10
03/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,334.30	2.88	0.00	2.88	80.0	2.30
03/13/2018	SAINSBURY, DALE	AMERICAN FUNDS	2,819.02	3.48	0.00	3.48	80.0	2.78
03/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	19,417.55	23.94	0.00	23.94	80.0	19.15
03/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	7,797.53	9.61	0.00	9.61	80.0	7.69
03/13/2018	RAMUS, DARLENE	AMERICAN FUNDS	6,819.56	8.41	0.00	8.41	80.0	6.73
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	19,229.62	23.71	0.00	23.71	80.0	18.97
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	46,439.95	57.25	0.00	57.25	80.0	45.80

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	18,674.42	23.02	0.00	23.02	80.0	18.42
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	6,716.41	8.28	0.00	8.28	80.0	6.62
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	10,635.25	13.11	0.00	13.11	80.0	10.49
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	17,152.89	21.15	0.00	21.15	80.0	16.92
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	17,411.49	21.47	0.00	21.47	80.0	17.18
03/13/2018	SIEBER, AARON	AMERICAN FUNDS	16,276.96	20.07	0.00	20.07	80.0	16.06
03/13/2018	ASCHLIMAN, BRETT	AMERICAN FUNDS	1,438.90	1.77	0.00	1.77	80.0	1.42
03/13/2018	ASCHLIMAN, BRETT	AMERICAN FUNDS	4,145.36	5.11	0.00	5.11	80.0	4.09
03/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	7,849.08	9.68	0.00	9.68	80.0	7.74
03/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	7,282.97	8.98	0.00	8.98	80.0	7.18
03/13/2018	MESEKE, GILBERT	AMERICAN FUNDS	8,767.07	10.81	0.00	10.81	80.0	8.65
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	746.46	0.92	0.00	0.92	80.0	0.74
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	550.37	0.68	0.00	0.68	80.0	0.54
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	434.70	0.54	0.00	0.54	80.0	0.43
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	478.95	0.59	0.00	0.59	80.0	0.47
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	783.07	0.97	0.00	0.97	80.0	0.78
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	537.17	0.66	0.00	0.66	80.0	0.53
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	593.52	0.73	0.00	0.73	80.0	0.58
03/13/2018	DELAGARZA, DEBRA	AMERICAN FUNDS	327.54	0.40	0.00	0.40	80.0	0.32
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	15,644.21	19.29	0.00	19.29	80.0	15.43
03/13/2018	STEBING, CHRISTEN	AMERICAN FUNDS	2,678.31	3.30	0.00	3.30	80.0	2.64
03/13/2018	JONES, TIM	AMERICAN FUNDS	2,757.14	3.40	0.00	3.40	80.0	2.72
03/13/2018	JONES, TIM	AMERICAN FUNDS	2,046.02	2.52	0.00	2.52	80.0	2.02
03/13/2018	JONES, TIM	AMERICAN FUNDS	1,512.13	1.86	0.00	1.86	80.0	1.49
03/13/2018	JONES, TIM	AMERICAN FUNDS	1,720.58	2.12	0.00	2.12	80.0	1.70
03/13/2018	JONES, TIM	AMERICAN FUNDS	2,932.00	3.61	0.00	3.61	80.0	2.89
03/13/2018	JONES, TIM	AMERICAN FUNDS	2,044.89	2.52	0.00	2.52	80.0	2.02
03/13/2018	JONES, TIM	AMERICAN FUNDS	2,356.13	2.90	0.00	2.90	80.0	2.32
03/13/2018	JONES, TIM	AMERICAN FUNDS	1,216.12	1.50	0.00	1.50	80.0	1.20
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	3,175.05	3.91	0.00	3.91	80.0	3.13
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	2,212.00	2.73	0.00	2.73	80.0	2.18
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	1,481.70	1.83	0.00	1.83	80.0	1.46
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	7,340.75	9.05	0.00	9.05	80.0	7.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	2,358.21	2.91	0.00	2.91	80.0	2.33
03/13/2018	MCFEETERS, VALERIE	AMERICAN FUNDS	3,043.51	3.75	0.00	3.75	80.0	3.00
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	2,830.93	3.49	0.00	3.49	80.0	2.79
03/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	1,927.48	2.38	0.00	2.38	80.0	1.90
03/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	2,108.92	2.60	0.00	2.60	80.0	2.08
03/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	1,686.27	2.08	0.00	2.08	80.0	1.66
03/13/2018	ELIAS, CRISTA	AMERICAN FUNDS	1,562.16	1.93	0.00	1.93	80.0	1.54
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	682.48	0.84	0.00	0.84	80.0	0.67
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	653.58	0.81	0.00	0.81	80.0	0.65
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	482.18	0.59	0.00	0.59	80.0	0.47
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	562.10	0.69	0.00	0.69	80.0	0.55
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	970.72	1.20	0.00	1.20	80.0	0.96
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	2,301.26	2.84	0.00	2.84	80.0	2.27
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	615.93	0.76	0.00	0.76	80.0	0.61
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	732.35	0.90	0.00	0.90	80.0	0.72
03/13/2018	MCFEETERS, RYAN	AMERICAN FUNDS	412.37	0.51	0.00	0.51	80.0	0.41
03/13/2018	URATA, SUSAN	AMERICAN FUNDS	4,377.04	5.40	0.00	5.40	80.0	4.32
03/13/2018	URATA, SUSAN	AMERICAN FUNDS	4,373.58	5.39	0.00	5.39	80.0	4.31
03/13/2018	WHITE, IAN	AMERICAN FUNDS	5,074.16	6.26	0.00	6.26	80.0	5.01
03/13/2018	RATLIFF, MICHAEL	AMERICAN FUNDS	1,037.15	1.28	0.00	1.28	80.0	1.02
03/13/2018	BOUTWELL, SHANE	AMERICAN FUNDS	67,936.22	83.76	0.00	83.76	80.0	67.01
03/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	193.43	0.24	0.00	0.24	80.0	0.19
03/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	199.78	0.25	0.00	0.25	80.0	0.20
03/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	262.98	0.32	0.00	0.32	80.0	0.26
03/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	463.09	0.57	0.00	0.57	80.0	0.46
03/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	477.93	0.59	0.00	0.59	80.0	0.47
03/13/2018	SULLIVAN, DIAN	AMERICAN FUNDS	943.70	1.16	0.00	1.16	80.0	0.93
03/13/2018	LOWE, MELISSA	AMERICAN FUNDS	10,760.00	13.27	0.00	13.27	80.0	10.62
03/13/2018	LOWE, MELISSA	AMERICAN FUNDS	4,228.44	5.21	0.00	5.21	80.0	4.17
03/13/2018	MEADOWS, SHEILA	AMERICAN FUNDS	6,870.20	8.47	0.00	8.47	80.0	6.78
03/13/2018	LAMOTT, KEVIN	AMERICAN FUNDS	309.86	0.38	0.00	0.38	80.0	0.30
03/13/2018	NDLOVU, NKULULEKO	AMERICAN FUNDS	176.66	0.22	0.00	0.22	80.0	0.18
03/13/2018	NDLOVU, NKULULEKO	AMERICAN FUNDS	760.99	0.94	0.00	0.94	80.0	0.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
03/13/2018	NDLOVU, NKULULEKO	AMERICAN FUNDS	626.67	0.77	0.00	0.77	80.0	0.62
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,613.07	1.99	0.00	1.99	80.0	1.59
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,602.43	1.98	0.00	1.98	80.0	1.58
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,072.92	1.32	0.00	1.32	80.0	1.06
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,602.17	1.98	0.00	1.98	80.0	1.58
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,067.47	1.32	0.00	1.32	80.0	1.06
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,095.62	1.35	0.00	1.35	80.0	1.08
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,102.16	1.36	0.00	1.36	80.0	1.09
03/13/2018	ROACH, DUSTIN	AMERICAN FUNDS	1,649.22	2.03	0.00	2.03	80.0	1.62
03/13/2018	ROACH, MARY ANNE	AMERICAN FUNDS	1,573.84	1.94	0.00	1.94	80.0	1.55
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,629.93	2.01	0.00	2.01	80.0	1.61
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,619.20	2.00	0.00	2.00	80.0	1.60
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,084.12	1.34	0.00	1.34	80.0	1.07
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,618.92	2.00	0.00	2.00	80.0	1.60
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,078.61	1.33	0.00	1.33	80.0	1.06
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,107.06	1.36	0.00	1.36	80.0	1.09
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,113.67	1.37	0.00	1.37	80.0	1.10
03/13/2018	ROACH, MARY	AMERICAN FUNDS	1,666.45	2.05	0.00	2.05	80.0	1.64
03/13/2018	WITMER, R KARIN	AMERICAN FUNDS	467.22	0.58	0.00	0.58	80.0	0.46
03/13/2018	WITMER, R KARIN	AMERICAN FUNDS	197.45	0.24	0.00	0.24	80.0	0.19
03/13/2018	WITMER, NEIL	AMERICAN FUNDS	1,330.72	1.64	0.00	1.64	80.0	1.31
03/13/2018	WITMER, NEIL	AMERICAN FUNDS	660.28	0.81	0.00	0.81	80.0	0.65
03/13/2018	WITMER, NEIL	AMERICAN FUNDS	447.36	0.55	0.00	0.55	80.0	0.44
03/13/2018	WITMER, NEIL	AMERICAN FUNDS	879.40	1.08	0.00	1.08	80.0	0.86
03/13/2018	WITMER, NEIL	AMERICAN FUNDS	1,092.18	1.35	0.00	1.35	80.0	1.08
03/13/2018	LOWDEN, JAMES	AMERICAN FUNDS	365.00	0.45	0.00	0.45	80.0	0.36
03/13/2018	ROACH, MARY ANNE	AMERICAN FUNDS	1,740.73	1.31	0.00	1.31	80.0	1.05
02/26/2018	GROTE, DONALD	JEFFERSON NATIONAL	135,623.44	339.06	0.00	339.06	80.0	271.25
<b>* RIA SUBTOTAL *</b>			<b>\$1,917,499.22</b>	<b>\$2,535.07</b>	<b>\$0.00</b>	<b>\$2,535.07</b>		<b>\$2,028.08</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,917,499.22</b>	<b>\$2,535.07</b>	<b>\$0.00</b>	<b>\$2,535.07</b>		<b>\$2,028.08</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
03/13/2018	BROWN, KAY	AMERICAN FUNDS	1,640.74	0.70	0.00	0.70	80.0	0.56
03/13/2018	BUFFENBARGER, LORETTA	AMERICAN FUNDS	731.70	0.31	0.00	0.31	80.0	0.25
03/13/2018	BEGHTEL, JOEL	AMERICAN FUNDS	760.80	0.33	0.00	0.33	80.0	0.26
03/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	372.74	0.16	0.00	0.16	80.0	0.13
03/13/2018	MICHELL, JOSEPH	AMERICAN FUNDS	1,057.75	0.45	0.00	0.45	80.0	0.36
03/08/2018	DORSETT, JERRY	TD AMERITRADE	39,536.37	-9.50	0.00	-9.50	80.0	-7.60
03/08/2018	DORSETT, LARA	TD AMERITRADE	73,803.10	-17.72	0.00	-17.72	80.0	-14.18
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			<b>\$117,903.20</b>	<b>\$-25.27</b>	<b>\$0.00</b>	<b>\$-25.27</b>		<b>\$-20.22</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$117,903.20</b>	<b>\$-25.27</b>	<b>\$0.00</b>	<b>\$-25.27</b>		<b>\$-20.22</b>

<b><u> OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: WILLIAM, L129 LAYMAN #207</u></b>								
03/16/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	7,464.51	5.52	0.00	5.52	45.0	2.48
* WILLIAM, L129 LAYMAN SUBTOTAL *			<b>\$7,464.51</b>	<b>\$5.52</b>	<b>\$0.00</b>	<b>\$5.52</b>		<b>\$2.48</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$7,464.51</b>	<b>\$5.52</b>	<b>\$0.00</b>	<b>\$5.52</b>		<b>\$2.48</b>

<b><u>ADJUSTMENTS</u></b>								
03/31/2018		*PERSHING: ETF PROSPECTUS	0.00					-13.22
03/31/2018		*PERSHING: ETF PROSPECTUS	0.00					-2.58
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-15.80</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,028.08
Split Transactions	\$-20.22
Override Transactions	\$2.48
Adjustments	\$-15.80
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,994.54
Year-to-date Gross	\$95,190.60
Year-to-date Earnings	\$76,680.61