



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**November 15, 2017**

11/13/2017  
Page No. 1

DOUGLAS SNIDER  
1622 170th Pl SE

BROKER #: S123  
BRANCH: DOUGLAS SNIDER

Bothell, WA 98012

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/09/2017	SKALLEY, MICHAEL	CATALYST DYNAMIC ALPHA FUND CLASS A	20,000.00	1,000.00	22.00	978.00	80.0	782.40
10/27/2017	SKALLEY, MICHAEL	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$120,000.00	\$1,000.00	\$22.00	\$978.00		\$782.40
*** CLEARING TRANSACTIONS TOTAL ***			\$120,000.00	\$1,000.00	\$22.00	\$978.00		\$782.40

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/06/2017	SKALLEY, MICHAEL	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	80.0	5,600.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$5,600.00

<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2017	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.83	0.00	2.83	80.0	2.26
10/19/2017	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.23	0.00	15.23	80.0	12.18
10/11/2017	NELSON, JAMES	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	6.41	0.00	6.41	80.0	5.13
10/11/2017	JENSEN, LINDA	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	19.99	0.00	19.99	80.0	15.99
10/11/2017	LIKKEL, MARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	24.98	0.00	24.98	80.0	19.98
10/11/2017	CARLSON, JANET	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	12.98	0.00	12.98	80.0	10.38
10/11/2017	BELLAMY, GARLAND	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	14.38	0.00	14.38	80.0	11.50
10/11/2017	BAILEY, CHERYLNN	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	9.91	0.00	9.91	80.0	7.93
10/11/2017	BAILEY, CHERYL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	25.99	0.00	25.99	80.0	20.79
10/11/2017	SARVIS, GAIL	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	18.31	0.00	18.31	80.0	14.65
10/11/2017	BOYS, CHARLENE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	34.40	0.00	34.40	80.0	27.52
10/11/2017	WHITTALL, JULIE	IVY GLOBAL RISK-MANAGED REAL ESTATE	0.00	10.60	0.00	10.60	80.0	8.48
10/16/2017	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.75	0.00	1.75	80.0	1.40
10/16/2017	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.74	0.00	3.74	80.0	2.99
10/17/2017	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	8.84	0.00	8.84	80.0	7.07

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/17/2017	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	24.03	0.00	24.03	80.0	19.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$234.37	\$0.00	\$234.37		\$187.47
*** DIRECT TRANSACTIONS TOTAL ***			\$100,000.00	\$7,234.37	\$0.00	\$7,234.37		\$5,787.47

**ADJUSTMENTS**

11/15/2017		*ALBRIDGE: LIGHT USER	0.00					-76.00
11/15/2017		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-592.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,569.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-592.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,977.87
Year-to-date Gross	\$20,760.19
Year-to-date Earnings	\$16,329.82

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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