

# STATEMENT

August 20, 2018

**JOSHUA STROUD - LPCM**  
1742 Delrose Ave

**BROKER #: 3018**

BRANCH: LIBERTY PARTNERS CAP

Springfield, OR 97477-

## COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

07/07/0018	#OLSEN OLSEN, BREKKE	PLANNING FEES	B	0.00	1,500.00	0.00	0.00	1,500.00	91.0	1,365.00
07/01/2018	#48814767 BELLAMY, CINDY	CLS INVESTMENT FEES	B	12,321.88	38.82	0.00	0.00	38.82	91.0	35.33
07/01/2018	#54714728 BELLAMY, CINDY	CLS INVESTMENT FEES	B	380.90	1.20	0.00	0.00	1.20	91.0	1.09
07/01/2018	#16206190 CAGE-SOUERS, JUDITH	CLS INVESTMENT FEES	B	35,843.66	112.93	0.00	0.00	112.93	91.0	102.77
07/01/2018	#37856768 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	5,155.00	0.00	0.00	0.00	0.00	91.0	0.00
07/01/2018	#96150584 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	7,608.36	23.97	0.00	0.00	23.97	91.0	21.81
07/01/2018	#37856768 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	46,424.47	137.17	0.00	0.00	137.17	91.0	124.82
07/01/2018	#37856768 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	49,999.99	166.63	0.00	0.00	166.63	91.0	151.63
07/01/2018	#94049922 FREEMAN, RUTH	CLS INVESTMENT FEES	B	6,037.04	19.02	0.00	0.00	19.02	91.0	17.31
07/01/2018	#46810680 FREEMAN, RUTH	CLS INVESTMENT FEES	B	244,748.86	755.43	0.00	0.00	755.43	91.0	687.44
07/01/2018	#46810680 FREEMAN, RUTH	CLS INVESTMENT FEES	B	49,999.99	173.23	0.00	0.00	173.23	91.0	157.64
07/01/2018	#84698266 HOUCK, ROBERT	CLS INVESTMENT FEES	B	155.62	0.49	0.00	0.00	0.49	91.0	0.45
07/01/2018	#84698266 HOUCK, ROBERT	CLS INVESTMENT FEES	B	1,846.54	5.82	0.00	0.00	5.82	91.0	5.30
07/01/2018	#37129559 HOUCK, JENNIFER	CLS INVESTMENT FEES	B	96.27	0.30	0.00	0.00	0.30	91.0	0.27
07/01/2018	#37129559 HOUCK, JENNIFER	CLS INVESTMENT FEES	B	1,142.32	3.60	0.00	0.00	3.60	91.0	3.28
07/01/2018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	16,390.79	47.63	0.00	0.00	47.63	91.0	43.34
07/01/2018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	30,020.51	98.59	0.00	0.00	98.59	91.0	89.72
07/01/2018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	10,908.52	34.37	0.00	0.00	34.37	91.0	31.28
07/01/2018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	19,979.48	62.95	0.00	0.00	62.95	91.0	57.28
07/01/2018	#19656327 LANE, RONALD	CLS INVESTMENT FEES	B	104,641.15	316.90	0.00	0.00	316.90	91.0	288.38
07/01/2018	#19656327 LANE, RONALD	CLS INVESTMENT FEES	B	49,999.99	170.32	0.00	0.00	170.32	91.0	154.99
07/01/2018	#71049951 LEE, LYNN	CLS INVESTMENT FEES	B	50,133.09	148.49	0.00	0.00	148.49	91.0	135.13
07/01/2018	#71049951 LEE, LYNN	CLS INVESTMENT FEES	B	49,999.99	166.99	0.00	0.00	166.99	91.0	151.96
07/01/2018	#11315463 MORTIER, KATHERINE	CLS INVESTMENT FEES	B	72,652.25	208.55	0.00	0.00	208.55	91.0	189.78
07/01/2018	#11315463 MORTIER, KATHERINE	CLS INVESTMENT FEES	B	50,000.00	168.73	0.00	0.00	168.73	91.0	153.54
07/01/2018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	6,908.42	21.50	0.00	0.00	21.50	91.0	19.57
07/01/2018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	1,270.53	4.28	0.00	0.00	4.28	91.0	3.89
07/01/2018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	5,103.01	15.88	0.00	0.00	15.88	91.0	14.45
07/01/2018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	938.50	3.16	0.00	0.00	3.16	91.0	2.88
07/01/2018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	148,098.08	460.80	0.00	0.00	460.80	91.0	419.33
07/01/2018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	27,236.87	91.61	0.00	0.00	91.61	91.0	83.37

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
07/01/2018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	111,761.06	347.75	0.00	0.00	347.75	91.0	316.45
07/01/2018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	20,554.09	69.13	0.00	0.00	69.13	91.0	62.91
07/01/2018	#77800798 PRASAD, MELVIN	CLS INVESTMENT FEES	B	15,316.45	48.26	0.00	0.00	48.26	91.0	43.92
07/01/2018	#30185840 PRASAD, MELVIN	CLS INVESTMENT FEES	B	19,127.36	60.26	0.00	0.00	60.26	91.0	54.84
07/01/2018	#24106033 PRASAD, MELVIN	CLS INVESTMENT FEES	B	506.92	1.60	0.00	0.00	1.60	91.0	1.46
07/01/2018	#54104459467031 RADFORD,	CLS INVESTMENT FEES	B	16,145.18	50.87	0.00	0.00	50.87	91.0	46.29
07/01/2018	#54104459486897 RADFORD,	CLS INVESTMENT FEES	B	15,781.10	49.72	0.00	0.00	49.72	91.0	45.25
07/01/2018	#54104459467031 RADFORD,	CLS INVESTMENT FEES	B	193.25	0.61	0.00	0.00	0.61	91.0	0.56
07/01/2018	#54104459486897 RADFORD,	CLS INVESTMENT FEES	B	151.32	0.48	0.00	0.00	0.48	91.0	0.44
07/01/2018	#58546906667031 THURSTON,	CLS INVESTMENT FEES	B	16,110.18	55.87	0.00	0.00	55.87	91.0	50.84
07/01/2018	#58546906686897 THURSTON,	CLS INVESTMENT FEES	B	6,578.12	20.33	0.00	0.00	20.33	91.0	18.50
07/01/2018	#58546906686897 THURSTON,	CLS INVESTMENT FEES	B	1,270.68	4.41	0.00	0.00	4.41	91.0	4.01
07/01/2018	#58546906667031 THURSTON,	CLS INVESTMENT FEES	B	3,367.73	10.41	0.00	0.00	10.41	91.0	9.47
07/01/2018	#58546906667031 THURSTON,	CLS INVESTMENT FEES	B	650.53	2.25	0.00	0.00	2.25	91.0	2.05
07/01/2018	#58546906686897 THURSTON,	CLS INVESTMENT FEES	B	165,497.42	511.31	0.00	0.00	511.31	91.0	465.29
07/01/2018	#58546906686897 THURSTON,	CLS INVESTMENT FEES	B	31,968.60	110.85	0.00	0.00	110.85	91.0	100.87
07/01/2018	#58546906667031 THURSTON,	CLS INVESTMENT FEES	B	83,400.40	257.66	0.00	0.00	257.66	91.0	234.47
07/01/2018	#59130525 HOUCK, ROBERT	CLS INVESTMENT FEES	B	3,961.85	11.10	0.00	0.00	11.10	91.0	10.10
07/01/2018	#59130525 HOUCK, ROBERT	CLS INVESTMENT FEES	B	47,011.13	149.50	0.00	0.00	149.50	91.0	136.05
07/01/2018	#954056195 BELLAMY, TIMOTHY	CLS INVESTMENT FEES	B	49,999.99	160.70	0.00	0.00	160.70	91.0	146.24
07/01/2018	#954056195 BELLAMY, TIMOTHY	CLS INVESTMENT FEES	B	10,099.81	28.65	0.00	0.00	28.65	91.0	26.07
07/01/2018	#954079656 BUSH, JASON	CLS INVESTMENT FEES	B	65,774.43	196.50	0.00	0.00	196.50	91.0	178.82
07/01/2018	#954079656 BUSH, JASON	CLS INVESTMENT FEES	B	49,999.99	168.27	0.00	0.00	168.27	91.0	153.13
07/01/2018	#954077199 EATON, SHAWN	CLS INVESTMENT FEES	B	91,040.48	278.39	0.00	0.00	278.39	91.0	253.33
07/01/2018	#954077199 EATON, SHAWN	CLS INVESTMENT FEES	B	29,585.87	101.66	0.00	0.00	101.66	91.0	92.51
07/01/2018	#954077247 HANDLEY, JEAN	CLS INVESTMENT FEES	B	64,310.77	191.98	0.00	0.00	191.98	91.0	174.70
07/01/2018	#954077247 HANDLEY, JEAN	CLS INVESTMENT FEES	B	49,999.99	168.17	0.00	0.00	168.17	91.0	153.03
07/01/2018	#954077245 KUEHN, LESLIE	CLS INVESTMENT FEES	B	433,849.39	1,349.98	0.00	0.00	1,349.98	91.0	1,228.48
07/01/2018	#954077245 KUEHN, LESLIE	CLS INVESTMENT FEES	B	49,999.99	174.48	0.00	0.00	174.48	91.0	158.78
07/01/2018	#942071330 KUEHN, PHILLIP	CLS INVESTMENT FEES	B	4,274.63	13.47	0.00	0.00	13.47	91.0	12.26
07/01/2018	#941419811 LANE, VALERIE	CLS INVESTMENT FEES	B	1,625.28	4.10	0.00	0.00	4.10	91.0	3.73
07/01/2018	#954077213 MEIER, DONALD	CLS INVESTMENT FEES	B	35,774.68	112.71	0.00	0.00	112.71	91.0	102.57
07/01/2018	#939284584 MOORE, RYAN	CLS INVESTMENT FEES	B	13,372.27	42.13	0.00	0.00	42.13	91.0	38.34
07/01/2018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	111,978.79	329.01	0.00	0.00	329.01	91.0	299.40
07/01/2018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	46,760.22	141.43	0.00	0.00	141.43	91.0	128.70
07/01/2018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	33,249.36	114.45	0.00	0.00	114.45	91.0	104.15
07/01/2018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	13,884.30	43.75	0.00	0.00	43.75	91.0	39.81
07/01/2018	#941087157 MORTIER, NICHOLAS	CLS INVESTMENT FEES	B	49,999.99	163.31	0.00	0.00	163.31	91.0	148.61
07/01/2018	#941087157 MORTIER, NICHOLAS	CLS INVESTMENT FEES	B	21,990.04	63.51	0.00	0.00	63.51	91.0	57.79
07/01/2018	#941526918	CLS INVESTMENT FEES	B	130,490.93	397.46	0.00	0.00	397.46	91.0	361.69

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
07/01/2018	#941526918	CLS INVESTMENT FEES	B	49,999.99	171.20	0.00	0.00	171.20	91.0	155.79
07/01/2018	#954051931 SCHROTBURG, CHAD	CLS INVESTMENT FEES	B	49,999.99	164.89	0.00	0.00	164.89	91.0	150.05
07/01/2018	#954051931 SCHROTBURG, CHAD	CLS INVESTMENT FEES	B	31,877.61	93.08	0.00	0.00	93.08	91.0	84.70
07/01/2018	#954056215 SHAFER, MICHAEL	CLS INVESTMENT FEES	B	7,495.95	23.62	0.00	0.00	23.62	91.0	21.49
07/01/2018	#939232362 THOMPSON, JASON	CLS INVESTMENT FEES	B	7,450.76	23.47	0.00	0.00	23.47	91.0	21.36
07/01/2018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	96,817.56	197.92	0.00	0.00	197.92	91.0	180.11
07/01/2018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	49,999.99	164.91	0.00	0.00	164.91	91.0	150.07
07/01/2018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	32,047.24	93.59	0.00	0.00	93.59	91.0	85.17
07/01/2018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	20,414.12	64.32	0.00	0.00	64.32	91.0	58.53
07/01/2018	#92116648901 SOPER, DENNIS	FTJ	B	0.00	35.54	0.00	0.00	35.54	91.0	32.34
07/01/2018	#921166489 SOPER, DENNIS	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#921166499 SOPER, DENNIS	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#92116649901 SOPER, DENNIS	FTJ	B	0.00	36.44	0.00	0.00	36.44	91.0	33.16
07/01/2018	#92116649902 SOPER, DENNIS	FTJ	B	0.00	31.25	0.00	0.00	31.25	91.0	28.44
07/01/2018	#921166499 SOPER, DENNIS	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#92116649903 SOPER, DENNIS	FTJ	B	0.00	28.12	0.00	0.00	28.12	91.0	25.59
07/01/2018	#92116649904 SOPER, DENNIS	FTJ	B	0.00	21.87	0.00	0.00	21.87	91.0	19.90
07/01/2018	#92116718801 LEE, LYNN	FTJ	B	0.00	10.34	0.00	0.00	10.34	91.0	9.41
07/01/2018	#921167188 LEE, LYNN	FTJ	B	0.00	0.15	0.00	0.00	0.15	91.0	0.14
07/01/2018	#92116769801 PRUITT, ROSEANNE	FTJ	B	0.00	15.46	0.00	0.00	15.46	91.0	14.07
07/01/2018	#92116769802 PRUITT, ROSEANNE	FTJ	B	0.00	12.80	0.00	0.00	12.80	91.0	11.65
07/01/2018	#92116769803 PRUITT, ROSEANNE	FTJ	B	0.00	12.71	0.00	0.00	12.71	91.0	11.57
07/01/2018	#92116769804 PRUITT, ROSEANNE	FTJ	B	0.00	10.30	0.00	0.00	10.30	91.0	9.37
07/01/2018	#92116770301 PRUITT, ROSEANNE	FTJ	B	0.00	86.43	0.00	0.00	86.43	91.0	78.65
07/01/2018	#921167703 PRUITT, ROSEANNE	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#921168556 CRUMPACKER, VICKIE	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#92116855601 CRUMPACKER,	FTJ	B	0.00	36.36	0.00	0.00	36.36	91.0	33.09
07/01/2018	#92116855602 CRUMPACKER,	FTJ	B	0.00	31.24	0.00	0.00	31.24	91.0	28.43
07/01/2018	#921168556 CRUMPACKER, VICKIE	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#92116855603 CRUMPACKER,	FTJ	B	0.00	27.55	0.00	0.00	27.55	91.0	25.07
07/01/2018	#92116855604 CRUMPACKER,	FTJ	B	0.00	21.26	0.00	0.00	21.26	91.0	19.35
07/01/2018	#92116910501 HASCALL, GREG	FTJ	B	0.00	30.61	0.00	0.00	30.61	91.0	27.86
07/01/2018	#921169105 HASCALL, GREG	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
07/01/2018	#92116910502 HASCALL, GREG	FTJ	B	0.00	24.56	0.00	0.00	24.56	91.0	22.35
07/01/2018	#92116910503 HASCALL, GREG	FTJ	B	0.00	5.34	0.00	0.00	5.34	91.0	4.86
07/01/2018	#92116912901 HASCALL, CONNIE	FTJ	B	0.00	2.50	0.00	0.00	2.50	91.0	2.28
07/01/2018	#92116912902 HASCALL, CONNIE	FTJ	B	0.00	1.98	0.00	0.00	1.98	91.0	1.80
07/01/2018	#92116912903 HASCALL, CONNIE	FTJ	B	0.00	0.49	0.00	0.00	0.49	91.0	0.45
07/01/2018	#92116913901 HASCALL, CONNIE	FTJ	B	0.00	2.64	0.00	0.00	2.64	91.0	2.40
07/01/2018	#92116913902 HASCALL, CONNIE	FTJ	B	0.00	2.10	0.00	0.00	2.10	91.0	1.91

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
07/01/2018	#92116913903	HASCALL, CONNIE	FTJ	B	0.00	0.52	0.00	0.00	0.52	91.0 0.47
07/01/2018	#92116985101	OLSEN, BREKKE	FTJ	B	0.00	8.73	0.00	0.00	8.73	91.0 7.94
07/01/2018	#92116985102	OLSEN, BREKKE	FTJ	B	0.00	7.26	0.00	0.00	7.26	91.0 6.61
07/01/2018	#92116985103	OLSEN, BREKKE	FTJ	B	0.00	1.51	0.00	0.00	1.51	91.0 1.37
07/01/2018	#92116986101	OLSEN, BREKKE	FTJ	B	0.00	0.77	0.00	0.00	0.77	91.0 0.70
07/01/2018	#92116986102	OLSEN, BREKKE	FTJ	B	0.00	0.61	0.00	0.00	0.61	91.0 0.56
07/01/2018	#92116986103	OLSEN, BREKKE	FTJ	B	0.00	0.12	0.00	0.00	0.12	91.0 0.11
07/01/2018	#92117246701	EATON, SHAWN	FTJ	B	0.00	12.24	0.00	0.00	12.24	91.0 11.14
07/01/2018	#92117246702	EATON, SHAWN	FTJ	B	0.00	9.85	0.00	0.00	9.85	91.0 8.96
07/01/2018	#92117246703	EATON, SHAWN	FTJ	B	0.00	2.44	0.00	0.00	2.44	91.0 2.22
07/01/2018	#92117269901	LINBARGER,	FTJ	B	0.00	2.01	0.00	0.00	2.01	91.0 1.83
07/01/2018	#92117274901	MICKELSON, MARITA	FTJ	B	0.00	8.40	0.00	0.00	8.40	91.0 7.64
07/01/2018	#92117274902	MICKELSON, MARITA	FTJ	B	0.00	7.08	0.00	0.00	7.08	91.0 6.44
07/01/2018	#92117274903	MICKELSON, MARITA	FTJ	B	0.00	1.52	0.00	0.00	1.52	91.0 1.38
07/01/2018	#92117277401	BUSH, JASON	FTJ	B	0.00	4.35	0.00	0.00	4.35	91.0 3.96
07/01/2018	#92117277402	BUSH, JASON	FTJ	B	0.00	3.76	0.00	0.00	3.76	91.0 3.42
07/01/2018	#92117277403	BUSH, JASON	FTJ	B	0.00	1.71	0.00	0.00	1.71	91.0 1.56
07/01/2018	#92117279401	GABRIO,	FTJ	B	0.00	5.95	0.00	0.00	5.95	91.0 5.41
07/01/2018	#92117279402	GABRIO,	FTJ	B	0.00	1.03	0.00	0.00	1.03	91.0 0.94
07/01/2018	#92117378801	MICKELSON, MARITA	FTJ	B	0.00	11.70	0.00	0.00	11.70	91.0 10.65
07/01/2018	#92117378802	MICKELSON, MARITA	FTJ	B	0.00	10.01	0.00	0.00	10.01	91.0 9.11
07/01/2018	#92117378803	MICKELSON, MARITA	FTJ	B	0.00	2.11	0.00	0.00	2.11	91.0 1.92
07/01/2018	#92117428701	MCNEELEY, TROY	FTJ	B	0.00	2.72	0.00	0.00	2.72	91.0 2.48
07/01/2018	#92117428702	MCNEELEY, TROY	FTJ	B	0.00	3.44	0.00	0.00	3.44	91.0 3.13
07/01/2018	#92117428703	MCNEELEY, TROY	FTJ	B	0.00	0.85	0.00	0.00	0.85	91.0 0.77
07/01/2018	#92117451701	CALDERON, MATTHEW	FTJ	B	0.00	0.40	0.00	0.00	0.40	91.0 0.36
07/01/2018	#92117490101	PLEICH JTEN,	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
07/01/2018	#92117956501	APPLEGATE, JACK	FTJ	B	0.00	0.45	0.00	0.00	0.45	91.0 0.41
07/01/2018	#92117956502	APPLEGATE, JACK	FTJ	B	0.00	0.36	0.00	0.00	0.36	91.0 0.33
07/01/2018	#92117956503	APPLEGATE, JACK	FTJ	B	0.00	0.08	0.00	0.00	0.08	91.0 0.07
07/01/2018	#92118480501	RICE, ROBERT	FTJ	B	0.00	0.42	0.00	0.00	0.42	91.0 0.38
07/01/2018	#92118480502	RICE, ROBERT	FTJ	B	0.00	0.42	0.00	0.00	0.42	91.0 0.38
07/01/2018	#92118480503	RICE, ROBERT	FTJ	B	0.00	0.20	0.00	0.00	0.20	91.0 0.18
07/01/2018	#92118659601	APPLEGATE,	FTJ	B	0.00	0.22	0.00	0.00	0.22	91.0 0.20
07/01/2018	#92118659602	APPLEGATE,	FTJ	B	0.00	0.13	0.00	0.00	0.13	91.0 0.12
07/01/2018	#92118659603	APPLEGATE,	FTJ	B	0.00	0.03	0.00	0.00	0.03	91.0 0.03
07/01/2018	#92118666101	PARK, SANDY	FTJ	B	0.00	9.93	0.00	0.00	9.93	91.0 9.04
07/01/2018	#92118666102	PARK, SANDY	FTJ	B	0.00	8.02	0.00	0.00	8.02	91.0 7.30
07/01/2018	#92118666103	PARK, SANDY	FTJ	B	0.00	2.01	0.00	0.00	2.01	91.0 1.83
07/01/2018	#92118673101	PARK, SANDY	FTJ	B	0.00	50.68	0.00	0.00	50.68	91.0 46.12

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
07/01/2018	#921186731	PARK, SANDY	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
07/01/2018	#921186731	PARK, SANDY	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
07/01/2018	#92118673102	PARK, SANDY	FTJ	B	0.00	41.23	0.00	0.00	41.23	91.0 37.52
07/01/2018	#92118673103	PARK, SANDY	FTJ	B	0.00	10.31	0.00	0.00	10.31	91.0 9.38
07/01/2018	#92118712701	WEBSTER, JOHN	FTJ	B	0.00	0.33	0.00	0.00	0.33	91.0 0.30
07/01/2018	#92118712702	WEBSTER, JOHN	FTJ	B	0.00	0.26	0.00	0.00	0.26	91.0 0.24
07/01/2018	#92118712703	WEBSTER, JOHN	FTJ	B	0.00	0.06	0.00	0.00	0.06	91.0 0.05
07/01/2018	#92118780401	BAIN, MELISSA	FTJ	B	0.00	12.91	0.00	0.00	12.91	91.0 11.75
07/01/2018	#921187804	BAIN, MELISSA	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
07/01/2018	#92118780402	BAIN, MELISSA	FTJ	B	0.00	10.23	0.00	0.00	10.23	91.0 9.31
07/01/2018	#92118780403	BAIN, MELISSA	FTJ	B	0.00	2.55	0.00	0.00	2.55	91.0 2.32
07/01/2018	#92118790701	HANSEN, HEATHER	FTJ	B	0.00	13.21	0.00	0.00	13.21	91.0 12.02
07/01/2018	#921187907	HANSEN, HEATHER	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
07/01/2018	#92118790702	HANSEN, HEATHER	FTJ	B	0.00	10.57	0.00	0.00	10.57	91.0 9.62
07/01/2018	#92118790703	HANSEN, HEATHER	FTJ	B	0.00	2.52	0.00	0.00	2.52	91.0 2.29
07/01/2018	#92118891901	JOHNSON, MORGAN	FTJ	B	0.00	0.21	0.00	0.00	0.21	91.0 0.19
07/01/2018	#92118891902	JOHNSON, MORGAN	FTJ	B	0.00	0.09	0.00	0.00	0.09	91.0 0.08
07/01/2018	#92118891903	JOHNSON, MORGAN	FTJ	B	0.00	0.03	0.00	0.00	0.03	91.0 0.03
07/01/2018	#92119295801	PARKER, JENNIFER	FTJ	B	0.00	2.24	0.00	0.00	2.24	91.0 2.04
07/01/2018	#92119295802	PARKER, JENNIFER	FTJ	B	0.00	1.14	0.00	0.00	1.14	91.0 1.04
07/01/2018	#92119295803	PARKER, JENNIFER	FTJ	B	0.00	1.08	0.00	0.00	1.08	91.0 0.98
07/01/2018	#92119295804	PARKER, JENNIFER	FTJ	B	0.00	1.24	0.00	0.00	1.24	91.0 1.13
07/01/2018	#92119352001	EATON, SHAWN	FTJ	B	0.00	35.18	0.00	0.00	35.18	91.0 32.01
07/01/2018	#92127568801	BUSH, JASON	FTJ	B	0.00	1.02	0.00	0.00	1.02	91.0 0.93
07/01/2018	#92127568802	BUSH, JASON	FTJ	B	0.00	0.82	0.00	0.00	0.82	91.0 0.75
07/01/2018	#92127568803	BUSH, JASON	FTJ	B	0.00	0.20	0.00	0.00	0.20	91.0 0.18
08/01/2018	#556999	TCA STROUD VAR	DO NOT SELL SECURITIES	B	13,796.48	-40.23	0.00	0.00	-40.23	100.0 -40.23
08/01/2018	#558683	TCA STROUD VAR	DO NOT SELL SECURITIES	B	2,290.57	-6.68	0.00	0.00	-6.68	100.0 -6.68
08/01/2018	#557000	TCA STROUD VAR	DO NOT SELL SECURITIES	B	31,086.26	-90.66	0.00	0.00	-90.66	100.0 -90.66
08/01/2018	#557000	TCA STROUD VAR	LPCM MOD 30/30/40	B	16,434.45	23.97	0.00	0.00	23.97	100.0 23.97
08/01/2018	#558690	TCA STROUD VAR	LPCM MOD 30/30/40	B	65,432.43	95.42	0.00	0.00	95.42	100.0 95.42
08/01/2018	#561379	TCA STROUD VAR	LPCM MOD 30/30/40	B	159,952.25	233.26	0.00	0.00	233.26	100.0 233.26
08/01/2018	#557000	TCA STROUD VAR	LPCM MOD 30/30/40	B	16,434.45	-8.21	0.00	0.00	-8.21	100.0 -8.21
08/01/2018	#558690	TCA STROUD VAR	LPCM MOD 30/30/40	B	65,432.43	-32.71	0.00	0.00	-32.71	100.0 -32.71
08/01/2018	#561379	TCA STROUD VAR	LPCM MOD 30/30/40	B	159,952.25	-79.97	0.00	0.00	-79.97	100.0 -79.97
08/01/2018	#557814	TCA STROUD VAR	TCA NULL MODEL	B	526.92	-0.15	0.00	0.00	-0.15	100.0 -0.15
08/01/2018	#556999	TCA STROUD VAR	TCA NULL MODEL	B	4,328.29	-1.26	0.00	0.00	-1.26	100.0 -1.26
				<b>* RIA SUBTOTAL *</b>	<b>\$3,905,226.64</b>	<b>\$12,875.28</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$12,875.28</b>	<b>\$11,724.91</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$3,905,226.64</b>	<b>\$12,875.28</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$12,875.28</b>	<b>\$11,724.91</b>

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/20/2018		ADMIN FEE: ADMIN FEE								-320.00
08/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
08/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
08/20/2018		ASSISTANT FEE: ASSISTANT FEE								-59.00
08/20/2018		ADVANCE: ADVANCE - ADVANCE FOR CLS & PLANNING FEES								-10,387.18
*** ADJUSTMENTS TOTAL ***										\$-11,120.18

BROKER COMMISSION TOTALS

Broker Transactions	\$11,724.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-11,120.18
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$604.73
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