



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
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JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/30/2018	TRAILS	DELAWARE - GENERAL	B	7.74	7.74	0.00	7.74	70.0	5.42
05/03/2018	00002032720 QUALMAN, LESLIE	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	70.0	5.95
05/15/2018	000090238363748 MINATRA, ROYCE	FRANKLIN INCOME FD CL I	B	450.00	18.00	0.00	18.00	70.0	12.60
05/15/2018	00060669572 GAYLORD, CYNTHIA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.88	0.00	1.88	70.0	1.32
05/15/2018	00060669573 GAYLORD, THURMOND	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.88	0.00	1.88	70.0	1.32
05/15/2018	00075438045 LAWYER, BLAKE	AMERICAN BALANCED FUND-529A	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00075438045 LAWYER, BLAKE	CAPITAL INCOME BUILDER-529A	B	100.00	2.75	0.00	2.75	70.0	1.93
05/15/2018	00075438045 LAWYER, BLAKE	SHORT-TERM BOND FUND OF AMERICA-529 B	B	200.00	4.00	0.00	4.00	70.0	2.80
05/15/2018	00075438045 LAWYER, BLAKE	THE INVESTMENT COMPANY OF AMERICA-5 B	B	100.00	2.75	0.00	2.75	70.0	1.93
05/15/2018	00075438141 LAWYER, BLAKE	AMCAP FUND-529A	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00075438141 LAWYER, BLAKE	AMERICAN BALANCED FUND-529A	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00075438141 LAWYER, BLAKE	THE BOND FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00075438141 LAWYER, BLAKE	THE GROWTH FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00075438141 LAWYER, BLAKE	THE INVESTMENT COMPANY OF AMERICA-5 B	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00075438141 LAWYER, BLAKE	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	200.00	5.50	0.00	5.50	70.0	3.85
05/15/2018	00088220301 MINTON, SESSILY/KAIN A.	COLLEGE 2030 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
05/15/2018	00088220301 MINTON, SESSILY/KAIN A.	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	2.50	0.00	2.50	70.0	1.75
05/15/2018	00088662742 BLUMKA, RONALD	AMERICAN FUNDS BALANCED FD	B	500.00	18.75	0.00	18.75	70.0	13.13
05/15/2018	00089743823 MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
05/15/2018	00089743823 MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
05/15/2018	00089743830 MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
05/15/2018	00089743830 MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
* MUTUAL FUNDS SUBTOTAL *				\$3,507.74	\$122.75	\$0.00	\$122.75		\$85.95
<u>PRODUCT CATEGORY: STOCKS</u>									
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	131.42	131.42	0.00	131.42	70.0	91.99
* STOCKS SUBTOTAL *				\$131.42	\$131.42	\$0.00	\$131.42		\$91.99

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/2018	TRAILS	-JACKSON NATIONAL	B	9.32	9.32	0.00	9.32	70.0	6.52
04/30/2018	TRAILS	-JACKSON NATIONAL	B	20.22	20.22	0.00	20.22	70.0	14.15
05/04/2018	TRAILS	-JOHN HANCOCK	B	105.20	105.20	0.00	105.20	70.0	73.64
05/15/2018	GOETZ, CAROLYN S	CANADA LIFE VA	B	171.52	2.58	0.00	2.58	70.0	1.81
04/23/2018	TRAILS	GENWORTH LIFE ANNUITY	B	103.64	103.64	0.00	103.64	70.0	72.55
03/31/2018	TRAILS	INTEGRITY LIFE INSURANCE COMPANY	B	52.97	52.97	0.00	52.97	70.0	37.08
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	206.32	206.32	0.00	206.32	70.0	144.42
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	0.95	0.95	0.00	0.95	70.0	0.67
04/26/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	188.17	188.17	0.00	188.17	70.0	131.72
04/27/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	85.89	85.89	0.00	85.89	70.0	60.12
* VARIABLE ANNUITIES SUBTOTAL *				\$944.20	\$775.26	\$0.00	\$775.26		\$542.68
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	23.01	0.00	23.01	70.0	16.12
06/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	188.35	0.00	188.35	70.0	131.86
06/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	7.19	0.00	7.19	70.0	5.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$218.55	\$0.00	\$218.55		\$153.01
*** BROKER TRANSACTIONS TOTAL ***				\$4,583.36	\$1,247.98	\$0.00	\$1,247.98		\$873.63

SPLIT TRANSACTIONS**BROKER: ROTHSCHILD/POOL #9629**

06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	7.67	0.00	7.67	70.0	5.38
05/18/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	1.07	0.00	1.07	70.0	0.75
05/15/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	1.65	0.00	1.65	70.0	1.16
05/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B		62.50	1.03	0.00	1.03	70.0	0.72
05/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	1.03	0.00	1.03	70.0	0.72
05/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B		62.50	1.03	0.00	1.03	70.0	0.72
05/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	1.03	0.00	1.03	70.0	0.72
05/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.50	0.00	1.50	70.0	1.05
05/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.50	0.00	1.50	70.0	1.05
05/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.50	0.00	1.50	70.0	1.05
05/15/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
05/15/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.54	0.00	0.54	70.0	0.38
05/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
05/15/2018	00067724745 ANGELO,	THE NEW ECONOMY FUND-529A	B	110.00	2.81	0.00	2.81	70.0	1.97

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<u>SPLIT TRANSACTIONS</u>									
BROKER: ROTHSCHILD/POOL #9629									
CONCORDIA/MILES									
05/07/2018	00068080307	PILAND, CALLEY S		AMERICAN FUNDS CAPITAL INCOME BUILD B	104.00	2.65	0.00	2.65	70.0 1.86
05/07/2018	00068080307	PILAND, CALLEY S		AMERICAN FUNDS INVESTMENT COMP OF A B	208.00	5.30	0.00	5.30	70.0 3.71
05/07/2018	00068080307	PILAND, CALLEY S		AMERICAN FUNDS NEW PERSPECTIVE FD B	105.00	2.68	0.00	2.68	70.0 1.88
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS BD FD OF AMER FD B	203.08	3.65	0.00	3.65	70.0 2.56
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS BD FD OF AMER FD B	203.08	3.65	0.00	3.65	70.0 2.56
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	6.28	0.00	6.28	70.0 4.40
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	6.28	0.00	6.28	70.0 4.40
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	6.09	0.00	6.09	70.0 4.26
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	6.09	0.00	6.09	70.0 4.26
05/15/2018	00082838137	HODGES, MICHAEL L		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.28	0.00	1.28	70.0 0.90
05/15/2018	00084382054	ROBERTS, ALEXXA		AMERICAN FUNDS BD FD OF AMER FD B	50.00	0.90	0.00	0.90	70.0 0.63
05/15/2018	00084382054	ROBERTS, ALEXXA		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.50	0.00	1.50	70.0 1.05
05/15/2018	00084382054	ROBERTS, ALEXXA		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.50	0.00	1.50	70.0 1.05
05/15/2018	00085777888	BABAO,		NEW PERSPECTIVE FUND-529C B	100.00	0.60	0.00	0.60	70.0 0.42
ROMMEL/ISABELLE									
05/15/2018	00085777888	BABAO,		THE GROWTH FUND OF AMERICA-529C B	100.00	0.60	0.00	0.60	70.0 0.42
ROMMEL/ISABELLE									
05/15/2018	00085777916	BABAO,		AMERICAN BALANCED FUND-529C B	100.00	0.60	0.00	0.60	70.0 0.42
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO,		CAPITAL INCOME BUILDER-529C B	100.00	0.60	0.00	0.60	70.0 0.42
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO,		THE BOND FUND OF AMERICA-529C B	100.00	0.60	0.00	0.60	70.0 0.42
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO,		THE INCOME FUND OF AMERICA-529C B	50.00	0.30	0.00	0.30	70.0 0.21
ROMMEL/RAPHAEL									
05/15/2018	00085777916	BABAO,		U.S. GOVERNMENT SECURITIES FUND-529 B	100.00	0.60	0.00	0.60	70.0 0.42
ROMMEL/RAPHAEL									
05/07/2018	04000826735	WELBORN, THOMAS A.		AMERICAN FUNDS 2045 TARGET DATE-A B	417.00	10.63	0.00	10.63	70.0 7.44
05/18/2018	04000984802	MCMANN, CAROL		RTMT INCOME PORTFOLIO MODERATE-A B	20,000.00	330.00	0.00	330.00	70.0 231.00
BLANTON									
				* ROTHSCHILD/POOL SUBTOTAL *	\$23,679.80	\$416.28	\$0.00	\$416.28	\$291.44
				*** SPLIT TRANSACTIONS TOTAL ***	\$23,679.80	\$416.28	\$0.00	\$416.28	\$291.44

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/01/2018		POSTAGE: FEDEX CHARGE		0.00	0.00	0.00	0.00		-10.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-10.50

BROKER COMMISSION TOTALS

Broker Transactions	\$876.03
Split Transactions	\$289.04
Override Transactions	\$0.00
Adjustments	\$-10.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,154.57
Year-to-date Earnings	\$28,309.03