



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 31, 2018**

08/22/2018

Page No. 1

JOHN, R119 ROSS  
 7261 W. Central Ave.

BROKER #: R119  
 BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/14/2018	HORN, TARA	SPROTT PHYSICAL SILVER TR TR UNIT I	-885.10	30.00	22.50	7.50	92.0	6.90
		* STOCKS SUBTOTAL *	\$-885.10	\$30.00	\$22.50	\$7.50		\$6.90
		*** CLEARING TRANSACTIONS TOTAL ***	\$-885.10	\$30.00	\$22.50	\$7.50		\$6.90
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
07/31/2018	LONG, PAUL	GRIFFIN INST'L ACCESS R E FUND	5,720.31	1.21	0.00	1.21	92.0	1.11
07/31/2018	SANDERSON, STEPHEN	GRIFFIN INST'L ACCESS R E FUND C	10,006.73	8.49	0.00	8.49	92.0	7.81
		* INTERVAL FUNDS SUBTOTAL *	\$15,727.04	\$9.70	\$0.00	\$9.70		\$8.92
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/10/2018	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	92.0	3.91
08/08/2018	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.40	0.00	0.40	92.0	0.37
08/08/2018	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	4.00	0.00	4.00	92.0	3.68
08/08/2018	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	300.00	3.00	0.00	3.00	92.0	2.76
08/10/2018	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	200.00	2.00	0.00	2.00	92.0	1.84
08/16/2018	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
08/10/2018	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.13	0.00	2.13	92.0	1.96
08/08/2018	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	64.13	0.64	0.00	0.64	92.0	0.59
08/10/2018	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	200.00	2.00	0.00	2.00	92.0	1.84
		* MUTUAL FUNDS SUBTOTAL *	\$1,159.13	\$19.67	\$0.00	\$19.67		\$18.10
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/10/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
08/10/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
		* VARIABLE ANNUITIES SUBTOTAL *	\$75.00	\$4.13	\$0.00	\$4.13		\$3.80

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/31/2018	BLAKE, MIRIAM	LORD ABBETT & CO.	129.26	0.05	0.00	0.05	92.0	0.05
07/31/2018	BLAKE, MIRIAM	LORD ABBETT & CO.	8,476.59	5.34	0.00	5.34	92.0	4.91
07/31/2018	BLAKE, MIRIAM	LORD ABBETT & CO.	927.70	3.64	0.00	3.64	92.0	3.35
07/31/2018	ROSSCO INVESTMENT CL	LORD ABBETT & CO.	721.93	0.27	0.00	0.27	92.0	0.25
07/31/2018	BLAKE, MIRIAM	LORD ABBETT & CO.	2,907.50	1.83	0.00	1.83	92.0	1.68
07/31/2018	ROSSCO INVESTMENT CL	LORD ABBETT & CO.	2,461.32	1.55	0.00	1.55	92.0	1.43
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$15,624.30</b>	<b>\$12.68</b>	<b>\$0.00</b>	<b>\$12.68</b>		<b>\$11.67</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/03/2018	SWINDLE, CYNTHIA	ING PREMIUM PLUS	70,764.12	44.23	0.00	44.23	92.0	40.69
07/14/2018	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	0.00	119.22	0.00	119.22	92.0	109.68
07/20/2018	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	0.00	90.63	0.00	90.63	92.0	83.38
07/21/2018	DEMKO, VERNA	TRANSAMERICA LIBERTY	0.00	70.23	0.00	70.23	92.0	64.61
07/22/2018	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	0.00	154.69	0.00	154.69	92.0	142.31
07/27/2018	ROSS, SUSAN	TRANSAMERICA LIBERTY	0.00	3.64	0.00	3.64	92.0	3.35
07/29/2018	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	0.00	22.73	0.00	22.73	92.0	20.91
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$70,764.12</b>	<b>\$505.37</b>	<b>\$0.00</b>	<b>\$505.37</b>		<b>\$464.93</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$103,349.59</b>	<b>\$551.55</b>	<b>\$0.00</b>	<b>\$551.55</b>		<b>\$507.42</b>

**VERRIDE TRANSACTIONS****BROKER: THOMAS, H121 HALSEY #10**

/ /	LEROUX, LAURA	BLACKROCK 67776G519	0.00	11.25	0.00	11.25	85.0	0.79
<b>* THOMAS, H121 HALSEY SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$11.25</b>	<b>\$0.00</b>	<b>\$11.25</b>		<b>\$0.79</b>

**BROKER: THOMAS, H121 HALSEY #82**

08/10/2018	PAPPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	60.00	3.00	0.00	3.00	85.0	0.21
08/13/2018	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	1,966.29	54.07	0.00	54.07	85.0	3.78
08/10/2018	PAPPIO, AARON	AM FUNDS SMALLCAP WORLD A	60.00	3.00	0.00	3.00	85.0	0.21
07/30/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	-50.00	-2.50	0.00	-2.50	85.0	-0.18
07/31/2018	LEROUX, LAURA	BLACKROCK 67776G469	0.00	8.88	0.00	8.88	85.0	0.62
07/31/2018	BUEHRER, SARAH	BLACKROCK 67776G519	0.00	1.61	0.00	1.61	85.0	0.11
07/31/2018	LEROUX, LAURA	BLACKROCK 67776G519	0.00	9.44	0.00	9.44	85.0	0.66
/ /	LEININGER, LISA	BLACKROCK 67776G535	0.00	1.25	0.00	1.25	85.0	0.09

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS, H121 HALSEY #82 </u></b>								
/ /	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.55	0.00	0.55	85.0	0.04
07/31/2018	LEININGER, LISA	BLACKROCK 67776G535	0.00	0.55	0.00	0.55	85.0	0.04
08/15/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	0.09
07/31/2018	BUEHRER, SARAH	GLOBAL ALLOCATION FUND	0.00	1.56	0.00	1.56	85.0	0.11
08/15/2018	MINCK, BEVERLY	TRANSAMERICA B-SHARE	172,000.00	12,384.00	0.00	12,384.00	85.0	866.88
07/02/2018	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	0.00	110.47	0.00	110.47	85.0	7.73
07/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	0.00	94.51	0.00	94.51	85.0	6.62
07/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	0.00	69.89	0.00	69.89	85.0	4.89
07/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	0.00	202.78	0.00	202.78	85.0	14.19
07/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	0.00	87.74	0.00	87.74	85.0	6.14
07/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	0.00	128.45	0.00	128.45	85.0	8.99
07/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	0.00	126.53	0.00	126.53	85.0	8.86
07/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	0.00	163.77	0.00	163.77	85.0	11.46
07/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	0.00	218.88	0.00	218.88	85.0	15.32
07/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	0.00	113.57	0.00	113.57	85.0	7.95
07/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	0.00	147.29	0.00	147.29	85.0	10.31
07/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	0.00	94.04	0.00	94.04	85.0	6.58
07/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	0.00	80.35	0.00	80.35	85.0	5.62
07/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	0.00	75.28	0.00	75.28	85.0	5.27
07/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	0.00	114.64	0.00	114.64	85.0	8.02
07/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	0.00	54.79	0.00	54.79	85.0	3.84
07/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	0.00	23.65	0.00	23.65	85.0	1.66
07/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	0.00	193.96	0.00	193.96	85.0	13.58
07/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	58.88	0.00	58.88	85.0	4.12
07/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	58.88	0.00	58.88	85.0	4.12
07/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	50.56	0.00	50.56	85.0	3.54
07/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	0.00	88.77	0.00	88.77	85.0	6.21
07/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	0.00	174.86	0.00	174.86	85.0	12.24
07/22/2018	AUGUSTYNYIAK JR, ROGER	TRANSAMERICA LIBERTY	0.00	352.62	0.00	352.62	85.0	24.68
07/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	0.00	78.90	0.00	78.90	85.0	5.52
07/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	0.00	337.10	0.00	337.10	85.0	23.60
07/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	0.00	32.71	0.00	32.71	85.0	2.29
07/26/2018	ANNESSER, CAROL	TRANSAMERICA LIBERTY	0.00	89.55	0.00	89.55	85.0	6.27

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS, H121 HALSEY #82 </u></b>								
07/27/2018	DESELMS, KAREN	TRANSAMERICA LIBERTY	0.00	104.75	0.00	104.75	85.0	7.33
07/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	0.00	24.27	0.00	24.27	85.0	1.70
07/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	0.00	56.60	0.00	56.60	85.0	3.96
07/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	29.49	0.00	29.49	85.0	2.06
07/30/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	0.00	40.61	0.00	40.61	85.0	2.84
* THOMAS, H121 HALSEY SUBTOTAL *			\$174,061.29	\$16,145.80	\$0.00	\$16,145.80		\$1,130.17
<b><u> BROKER: ROBERT, T118 THOMPSON #83 </u></b>								
08/20/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
07/29/2018	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	0.00	59.79	0.00	59.79	90.0	1.20
07/04/2018	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	0.00	3.88	0.00	3.88	90.0	0.08
07/05/2018	MOORE, EILEEN	TRANSAMERICA LIBERTY	0.00	217.96	0.00	217.96	90.0	4.36
07/07/2018	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	0.00	4.77	0.00	4.77	90.0	0.10
07/07/2018	FRAZIER, JULIE	TRANSAMERICA LIBERTY	0.00	47.51	0.00	47.51	90.0	0.95
07/08/2018	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	0.00	75.09	0.00	75.09	90.0	1.50
07/09/2018	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	0.00	37.68	0.00	37.68	90.0	0.75
07/12/2018	LABERDEE, LARRY	TRANSAMERICA LIBERTY	0.00	27.94	0.00	27.94	90.0	0.56
07/13/2018	WODA, HELENE	TRANSAMERICA LIBERTY	0.00	30.94	0.00	30.94	90.0	0.62
07/13/2018	FURNEY, DANNY	TRANSAMERICA LIBERTY	0.00	204.32	0.00	204.32	90.0	4.09
07/13/2018	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	0.00	66.46	0.00	66.46	90.0	1.33
07/13/2018	COOPER, DIANE	TRANSAMERICA LIBERTY	0.00	61.04	0.00	61.04	90.0	1.22
07/15/2018	RICE, CHERYL	TRANSAMERICA LIBERTY	0.00	2.14	0.00	2.14	90.0	0.04
07/15/2018	JACOB, MARILYN	TRANSAMERICA LIBERTY	0.00	204.55	0.00	204.55	90.0	4.09
07/16/2018	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	0.00	88.94	0.00	88.94	90.0	1.78
07/16/2018	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	0.00	2.17	0.00	2.17	90.0	0.04
07/16/2018	RICE, SUSAN	TRANSAMERICA LIBERTY	0.00	96.46	0.00	96.46	90.0	1.93
07/17/2018	OSTER, JENNIFER	TRANSAMERICA LIBERTY	0.00	22.03	0.00	22.03	90.0	0.44
07/21/2018	COOPER, DIANE	TRANSAMERICA LIBERTY	0.00	39.44	0.00	39.44	90.0	0.79
07/22/2018	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	0.00	63.19	0.00	63.19	90.0	1.26
07/22/2018	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	0.00	32.44	0.00	32.44	90.0	0.65
07/22/2018	JEFFERS, DAVID	TRANSAMERICA LIBERTY	0.00	92.81	0.00	92.81	90.0	1.86
07/23/2018	SEITZINGER, MARK	TRANSAMERICA LIBERTY	0.00	58.99	0.00	58.99	90.0	1.18
07/23/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	0.00	81.50	0.00	81.50	90.0	1.63
07/24/2018	HALL, WAYNE	TRANSAMERICA LIBERTY	0.00	132.45	0.00	132.45	90.0	2.65

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT, T118 THOMPSON #83</u></b>								
07/24/2018	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	0.00	169.54	0.00	169.54	90.0	3.39
07/26/2018	WENZ, JAMES	TRANSAMERICA LIBERTY	0.00	20.17	0.00	20.17	90.0	0.40
07/26/2018	FISH, SANDRA	TRANSAMERICA LIBERTY	0.00	59.75	0.00	59.75	90.0	1.20
07/26/2018	RICE, PAMELA	TRANSAMERICA LIBERTY	0.00	51.75	0.00	51.75	90.0	1.04
07/27/2018	DAVIS, HOWARD	TRANSAMERICA LIBERTY	0.00	22.99	0.00	22.99	90.0	0.46
07/28/2018	COOPER, MARK	TRANSAMERICA LIBERTY	0.00	93.05	0.00	93.05	90.0	1.86
07/29/2018	SHAFFER, EMILY	TRANSAMERICA LIBERTY	0.00	132.44	0.00	132.44	90.0	2.65
<b>* ROBERT, T118 THOMPSON SUBTOTAL *</b>			<b>\$150.00</b>	<b>\$2,314.31</b>	<b>\$0.00</b>	<b>\$2,314.31</b>		<b>\$46.30</b>
<b><u>BROKER: MATTHEW NAVARRE #423</u></b>								
08/06/2018	COLLINS, VIOLETA	AM FUNDS BOND FUND OF AMERICA A	50.00	1.50	0.00	1.50	92.0	0.41
<b>* MATTHEW NAVARRE SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$1.50</b>	<b>\$0.00</b>	<b>\$1.50</b>		<b>\$0.41</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$174,261.29</b>	<b>\$18,472.86</b>	<b>\$0.00</b>	<b>\$18,472.86</b>		<b>\$1,177.67</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$514.32
Split Transactions	\$0.00
Override Transactions	\$1,177.67
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,691.99
Year-to-date Gross	\$88,766.43
Year-to-date Earnings	\$86,862.18

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