

COMMISSION STATEMENT

July 31, 2017

MARTIN MCNEILL
25 Pineneck Avenue

BROKER #: 78
BRANCH: HOME OFFICE

Sag Harbor, NY 11963-

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/10/2017 (None)	VARIOUS, MCNEILL	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	190.00	0.00	190.00	40.0	76.00
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$190.00	\$0.00	\$190.00		\$76.00

PRODUCT CATEGORY: STOCKS

06/28/2017	QGV001100	AND EDWARD FRENCH	CELGENE CORP	B	13,371.33	73.00	0.00	73.00	40.0	29.20
06/29/2017	QGV001100	AND EDWARD FRENCH	COGNEX CORP	S	-17,409.00	73.00	0.00	73.00	40.0	29.20
07/03/2017	QGV001100	AND EDWARD FRENCH	BOYD GAMING CORP	S	-7,374.66	73.00	0.00	73.00	40.0	29.20
07/03/2017	QGV001100	AND EDWARD FRENCH	EVERBRIDGE INC COM	S	-23,365.00	73.00	0.00	73.00	40.0	29.20
07/03/2017	QGV001100	AND EDWARD FRENCH	SHOPIFY INC CL A ISIN#CA82509L1076	S	-25,988.55	73.00	0.00	73.00	40.0	29.20
07/05/2017	QGV001100	AND EDWARD FRENCH	AUTOHOME INC SPONSORED ADR REPST CL B		22,791.95	73.00	0.00	73.00	40.0	29.20
07/05/2017	QGV001100	AND EDWARD FRENCH	CARVANA CO CL A	B	18,971.10	73.00	0.00	73.00	40.0	29.20
07/05/2017	QGV001100	AND EDWARD FRENCH	EXELIXIS INC	B	24,886.50	73.00	0.00	73.00	40.0	29.20
07/05/2017	QGV001100	AND EDWARD FRENCH	IRHYTHM TECHNOLOGIES INC COM	B	21,099.95	73.00	0.00	73.00	40.0	29.20
07/05/2017	QGV001100	AND EDWARD FRENCH	TELADOC INC COM	B	17,300.00	73.00	0.00	73.00	40.0	29.20
07/06/2017	QGV001100	AND EDWARD FRENCH	BLUEBIRD BIO INC COM	S	-10,055.00	73.00	0.00	73.00	40.0	29.20
07/07/2017	QGV001100	AND EDWARD FRENCH	TRIVAGO N V SPONS ADS REPSTG CL A S B		21,969.00	73.00	0.00	73.00	40.0	29.20
07/07/2017	QGV001100	AND EDWARD FRENCH	YUM CHINA HOLDINGS INC COM	S	-18,476.40	73.00	0.00	73.00	40.0	29.20
07/10/2017	QGV001100	AND EDWARD FRENCH	MINDBODY INC COM CL A	S	-38,187.15	73.00	0.00	73.00	40.0	29.20
07/17/2017	QGV001100	AND EDWARD FRENCH	YUM CHINA HOLDINGS INC COM	S	-7,387.40	73.00	0.00	73.00	40.0	29.20
07/18/2017	QGV001100	AND EDWARD FRENCH	KITE PHARMA INC COM	B	10,331.00	73.00	0.00	73.00	40.0	29.20
07/21/2017	QGV001100	AND EDWARD FRENCH	ORGANOVO HOLDINGS INC	S	-4,625.20	73.00	0.00	73.00	40.0	29.20
07/24/2017	QGV001100	AND EDWARD FRENCH	ASURE SOFTWARE INC	S	-6,780.30	73.00	0.00	73.00	40.0	29.20
07/24/2017	QGV001100	AND EDWARD FRENCH	IDEAL PWR INC COM	B	5,725.20	73.00	0.00	73.00	40.0	29.20
07/26/2017	QGV001100	AND EDWARD FRENCH	CLEAN DIESEL TECHNOLOGIES INC COM P S		-6,995.70	73.00	0.00	73.00	40.0	29.20
07/26/2017	QGV001100	AND EDWARD FRENCH	KITE PHARMA INC COM	B	11,159.00	73.00	0.00	73.00	40.0	29.20
07/07/2017	QGV002439	YALINEWITZ, BOAZ	BARCLAYS BANK PLC IPATH S&P 500 VIX B		2,635,000.00	3,000.00	0.00	3,000.00	40.0	1,200.00
07/07/2017	QGW002180	FRENCH, JOHN	MEMEX INC COM ISINCA58600T1084	B	93.00	3.65	0.00	3.65	40.0	1.46
07/07/2017	QGW002180	FRENCH, JOHN	MEMEX INC COM ISINCA58600T1084	B	1,767.00	69.35	0.00	69.35	40.0	27.74
07/11/2017	QGW002404	MAHARISHI UNIVERSITY	CVS HEALTH CORPORATION	S	-8,601.95	75.00	0.00	75.00	40.0	30.00
07/11/2017	QGW002701	HIDEAWAY FARM	VANECK VECTORS ETF TR GOLD MINERS E B		10,847.50	75.00	0.00	75.00	40.0	30.00
06/29/2017	QGW002925	BATHRICK, CHARLES	JOHNSON & JOHNSON	B	13,250.00	75.00	0.00	75.00	40.0	30.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/30/2017	QGW002925	BATHRICK, CHARLES							
		ALTABA INC COM	S	-10,822.68	75.00	0.00	75.00	40.0	30.00
07/03/2017	QGW002925	BATHRICK, CHARLES							
		MICROSOFT CORP	S	-13,769.00	75.00	0.00	75.00	40.0	30.00
07/05/2017	QGW002925	BATHRICK, CHARLES							
		ORACLE CORPORATION	S	-9,957.00	75.00	0.00	75.00	40.0	30.00
07/07/2017	QGW002925	BATHRICK, CHARLES							
		COCA COLA COMPANY (THE)	S	-8,896.70	75.00	0.00	75.00	40.0	30.00
07/17/2017	QGW002925	BATHRICK, CHARLES							
		UNITED PARCEL SERVICE INC CL B	B	22,309.30	75.00	0.00	75.00	40.0	30.00
07/20/2017	QGW002925	BATHRICK, CHARLES							
		CANADIAN SOLAR INC	B	5,082.90	75.00	0.00	75.00	40.0	30.00
07/20/2017	QGW002925	BATHRICK, CHARLES							
		JETBLUE AIRWAYS CORP	B	4,643.30	75.00	0.00	75.00	40.0	30.00
07/21/2017	QGW002925	BATHRICK, CHARLES							
		MICROSOFT CORP	B	14,670.00	75.00	0.00	75.00	40.0	30.00
07/21/2017	QGW002925	BATHRICK, CHARLES							
		ORACLE CORPORATION	B	10,165.00	75.00	0.00	75.00	40.0	30.00
07/24/2017	QGW002925	BATHRICK, CHARLES							
		FIRST SOLAR INC	S	-8,970.80	75.00	0.00	75.00	40.0	30.00
07/24/2017	QGW002925	BATHRICK, CHARLES							
		JOHNSON & JOHNSON	S	-26,900.00	75.00	0.00	75.00	40.0	30.00
06/28/2017	QGW002974	CAIN, ANTHONY							
		BAOZUN INC AMERICAN DEPOSITARY SHAR S	S	-43,720.80	250.00	0.00	250.00	40.0	100.00
06/28/2017	QGW002974	CAIN, ANTHONY							
		UNITED STATES STL CORP NEW	S	-22,223.50	150.00	0.00	150.00	40.0	60.00
06/28/2017	QGW002974	CAIN, ANTHONY							
		VERTEX PHARMACEUTICALS INC	S	-64,934.40	150.00	0.00	150.00	40.0	60.00
06/29/2017	QGW002974	CAIN, ANTHONY							
		ALIBABA GROUP HOLDING LTD SPONSORED S	S	-138,960.80	250.00	0.00	250.00	40.0	100.00
06/29/2017	QGW002974	CAIN, ANTHONY							
		APPLE INC	S	-114,739.52	250.00	0.00	250.00	40.0	100.00
07/03/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	S	-150,471.15	250.00	0.00	250.00	40.0	100.00
07/03/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	149,676.45	250.00	0.00	250.00	40.0	100.00
07/05/2017	QGW002974	CAIN, ANTHONY							
		AUTOHOME INC SPONSORED ADR REPST CL B	S	45,272.70	150.00	0.00	150.00	40.0	60.00
07/05/2017	QGW002974	CAIN, ANTHONY							
		TENCENT HOLDINGS LIMITED UNSPONSORE B	B	34,709.80	150.00	0.00	150.00	40.0	60.00
07/06/2017	QGW002974	CAIN, ANTHONY							
		BAIDU INC SPONSORED ADR REPSTG ORD B	B	90,938.00	200.00	0.00	200.00	40.0	80.00
07/10/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	74,728.50	150.00	0.00	150.00	40.0	60.00
07/11/2017	QGW002974	CAIN, ANTHONY							
		CHEVRON CORPORATION	B	51,392.75	150.00	0.00	150.00	40.0	60.00
07/11/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	75,463.63	150.00	0.00	150.00	40.0	60.00
07/11/2017	QGW002974	CAIN, ANTHONY							
		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	53,629.90	150.00	0.00	150.00	40.0	60.00
07/11/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 B	B	68,635.80	150.00	0.00	150.00	40.0	60.00
07/12/2017	QGW002974	CAIN, ANTHONY							
		CHEVRON CORPORATION	S	-51,821.50	150.00	0.00	150.00	40.0	60.00
07/12/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	S	-78,766.25	250.00	0.00	250.00	40.0	100.00
07/12/2017	QGW002974	CAIN, ANTHONY							
		ROYAL DUTCH SHELL PLC SPONSORED ADR S	S	-54,663.40	150.00	0.00	150.00	40.0	60.00
07/13/2017	QGW002974	CAIN, ANTHONY							
		AUTOHOME INC SPONSORED ADR REPST CL S	S	-46,867.70	150.00	0.00	150.00	40.0	60.00
07/13/2017	QGW002974	CAIN, ANTHONY							
		BAIDU INC SPONSORED ADR REPSTG ORD S	S	-93,271.50	200.00	0.00	200.00	40.0	80.00
07/13/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	S	-76,694.48	150.00	0.00	150.00	40.0	60.00
07/13/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	78,767.50	250.00	0.00	250.00	40.0	100.00
07/13/2017	QGW002974	CAIN, ANTHONY							
		TENCENT HOLDINGS LIMITED UNSPONSORE B	B	36,470.00	150.00	0.00	150.00	40.0	60.00
07/13/2017	QGW002974	CAIN, ANTHONY							
		WEIBO CORP SPONS ADR ISIN#US9485961 S	S	-73,144.70	150.00	0.00	150.00	40.0	60.00
07/14/2017	QGW002974	CAIN, ANTHONY							
		NETEASE INC SPONSORED ADR	B	77,356.60	250.00	0.00	250.00	40.0	100.00
07/17/2017	QGW002974	CAIN, ANTHONY							
		BAIDU INC SPONSORED ADR REPSTG ORD B	B	56,445.96	150.00	0.00	150.00	40.0	60.00
07/19/2017	QGW002974	CAIN, ANTHONY							
		AUTOHOME INC SPONSORED ADR REPST CL B	B	46,387.50	150.00	0.00	150.00	40.0	60.00
07/19/2017	QGW002974	CAIN, ANTHONY							
		MICROSOFT CORP	B	73,541.00	60.00	0.00	60.00	40.0	24.00
07/19/2017	QGW002974	CAIN, ANTHONY							
		SINA CORPORATION FORMERLY SINA COM B	B	46,974.55	150.00	0.00	150.00	40.0	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/19/2017	QGW002974	CAIN, ANTHONY		TENCENT HOLDINGS LIMITED UNSPONSORE S	-76,826.00	300.00	0.00	300.00	40.0 120.00
07/20/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD B	57,591.06	150.00	0.00	150.00	40.0 60.00
07/20/2017	QGW002974	CAIN, ANTHONY		SOHU.COM INC COMMON STOCK B	47,601.90	175.00	0.00	175.00	40.0 70.00
07/24/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD S	-59,219.82	150.00	0.00	150.00	40.0 60.00
07/24/2017	QGW002974	CAIN, ANTHONY		CANADIAN SOLAR INC B	16,704.40	150.00	0.00	150.00	40.0 60.00
07/24/2017	QGW002974	CAIN, ANTHONY		NETEASE INC SPONSORED ADR S	-78,398.75	250.00	0.00	250.00	40.0 100.00
07/25/2017	QGW002974	CAIN, ANTHONY		BAOZUN INC AMERICAN DEPOSITARY SHAR B	64,995.80	250.00	0.00	250.00	40.0 100.00
07/25/2017	QGW002974	CAIN, ANTHONY		BAOZUN INC AMERICAN DEPOSITARY SHAR B	32,385.80	150.00	0.00	150.00	40.0 60.00
07/25/2017	QGW002974	CAIN, ANTHONY		NETEASE INC SPONSORED ADR B	77,090.55	250.00	0.00	250.00	40.0 100.00
07/26/2017	QGW002974	CAIN, ANTHONY		BAIDU INC SPONSORED ADR REPSTG ORD B	60,309.90	175.00	0.00	175.00	40.0 70.00
07/26/2017	QGW002974	CAIN, ANTHONY		NETEASE INC SPONSORED ADR S	-156,304.90	350.00	0.00	350.00	40.0 140.00
07/26/2017	QGW002974	CAIN, ANTHONY		WEIBO CORP SPONS ADR ISIN#US9485961 B	76,925.30	175.00	0.00	175.00	40.0 70.00
07/14/2017	QGW003576	AIELLO, JOSEPH		ROYAL GOLD INC S	-80,713.40	125.00	0.00	125.00	40.0 50.00
06/30/2017	QGW004392	VALENTINE, OLIVIA		SOUTHERN CO S	-120,076.75	200.00	0.00	200.00	40.0 80.00
07/11/2017	QGW004392	VALENTINE, OLIVIA		LIGAND PHARMACEUTICALS INC B	118,076.90	250.00	0.00	250.00	40.0 100.00
07/24/2017	QGW004392	VALENTINE, OLIVIA		LIGAND PHARMACEUTICALS INC S	-62,091.05	150.00	0.00	150.00	40.0 60.00
07/06/2017	QGW004665	JOSEPH FRY		CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	5,400.00	100.00	0.00	100.00	40.0 40.00
07/20/2017	QGW008468	DRUERY, MARIE		DU PONT E I DE NEMOURS & CO S	-8,495.02	250.00	0.00	250.00	40.0 100.00
07/06/2017	QGW021867	MERGOTT, JONATHAN		GOLDCORP INC S	-3,193.38	75.00	0.00	75.00	40.0 30.00
06/29/2017	QGW021990	STEINMETZ, GEORGE		VANGUARD INTL EQUITY INDEX FD FTSE S	-43,954.80	75.00	0.00	75.00	40.0 30.00
07/06/2017	QGW021990	STEINMETZ, GEORGE		WISDOMTREE TR EUROPE HEDGED EQUITY S	-21,131.00	60.00	0.00	60.00	40.0 24.00
07/07/2017	QGW021990	STEINMETZ, GEORGE		ISHARES MSCI EMERGING MARKETS ETF S	-18,514.22	60.00	0.00	60.00	40.0 24.00
07/07/2017	QGW021990	STEINMETZ, GEORGE		WISDOMTREE TR EUROPE HEDGED EQUITY S	-18,682.65	60.00	0.00	60.00	40.0 24.00
07/11/2017	QGW021990	STEINMETZ, GEORGE		COLONY NORTHSTAR INC CL A COM B	18,037.50	60.00	0.00	60.00	40.0 24.00
07/12/2017	QGW021990	STEINMETZ, GEORGE		SPDR SER TR S&P BK ETF B	34,989.20	60.00	0.00	60.00	40.0 24.00
07/14/2017	QGW021990	STEINMETZ, GEORGE		ISHARES MSCI BRAZIL CAPPED ETF B	14,739.68	60.00	0.00	60.00	40.0 24.00
07/19/2017	QGW021990	STEINMETZ, GEORGE		BLACKSTONE GROUP L P (THE) COMMON U B	17,473.95	60.00	0.00	60.00	40.0 24.00
07/19/2017	QGW021990	STEINMETZ, GEORGE		DEUTSCHE X-TRACKERS HARVEST CSI 300 B	13,973.10	60.00	0.00	60.00	40.0 24.00
07/21/2017	QGW021990	STEINMETZ, GEORGE		SPDR SER TR S&P BK ETF S	-34,449.28	60.00	0.00	60.00	40.0 24.00
07/21/2017	QGW021990	STEINMETZ, GEORGE		WISDOMTREE TR EUROPE HEDGED EQUITY S	-34,265.94	60.00	0.00	60.00	40.0 24.00
07/06/2017	QGW026031	MERGOTT, JONATHAN		GOLDCORP INC S	-3,831.72	75.00	0.00	75.00	40.0 30.00
07/06/2017	QGW029290	BAUMHARDT, DANECA		GOLDCORP INC S	-5,120.72	75.00	0.00	75.00	40.0 30.00
07/11/2017	QGW029290	BAUMHARDT, DANECA		FIRST MAJESTIC SILVER CORP B	7,815.70	75.00	0.00	75.00	40.0 30.00
* STOCKS SUBTOTAL *				\$2,519,822.82	\$15,391.00	\$0.00	\$15,391.00		\$6,156.40
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/06/2017	QGW004665	JOSEPH FRY		PUT 100 TESLA INC EXP 01-19-18 @220 S	-4,285.00	75.00	0.00	75.00	40.0 30.00
* OPTIONS SUBTOTAL *				\$-4,285.00	\$75.00	\$0.00	\$75.00		\$30.00

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/17/2017	QGW002511	SKELLY, HENRY		COLUMBIA NEW YORK TAX EXEMPT FUND C B	0.00	12.90	0.00	12.90	40.0 5.16
07/17/2017	QGW002776	ROBERTS, JAMES		FEDERATED PRUDENT BEAR FUND CLASS A B	0.00	0.96	0.00	0.96	40.0 0.38
07/21/2017	QGW002776	ROBERTS, JAMES		VOYA GLOBAL EQUITY FUND CLASS A B	0.00	0.44	0.00	0.44	40.0 0.18
07/11/2017	QGW008468	DRUERY, MARIE		LOOMIS SAYLES INVESTMENT GRADE BOND B	0.00	2.01	0.00	2.01	40.0 0.80
07/17/2017	QGW022113	ROBERTS, PAGE		FEDERATED PRUDENT BEAR FUND CLASS A B	0.00	0.44	0.00	0.44	40.0 0.18
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$16.75	\$0.00	\$16.75	\$6.70
				*** BROKER TRANSACTIONS TOTAL ***	\$2,515,537.82	\$15,672.75	\$0.00	\$15,672.75	\$6,269.10

ADJUSTMENTS

07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2017		OTHER: OTHER-OFFICE 360		0.00	0.00	0.00	0.00		-24.00
07/31/2017		OTHER: OTHER-QGV890684		0.00	0.00	0.00	0.00		-112.01
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-156.01

BROKER COMMISSION TOTALS

Broker Transactions	\$6,269.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-156.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-19.59
SIPC Assessment	\$-39.18

Check Amount	\$6,054.32
Year-to-date Earnings	\$10,522.14