



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 31, 2017**

05/25/2017  
Page No. 1

**ACCOUNT HOUSE**  
1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**  
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-198,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	198,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-200,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-69,300.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	70,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	70,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-70,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	69,300.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-70,000.00	0.00	0.00	0.00	100.0	0.00
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	383.84	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-404.04	0.00	0.00	0.00	100.0	0.00
05/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
05/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
05/08/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
05/16/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	7,600.00	0.00	0.00	0.00	100.0	0.00
05/16/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-8,000.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED C	2,475.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED C	-2,500.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,564.00	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/11/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN HIGH-INCOME TRUST	-3,600.00	0.00	0.00	0.00	100.0	0.00
05/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
05/15/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	150.00	1.80	0.00	1.80	100.0	1.80
05/11/2017	CONCORDE, VARIOUS	AM FUNDS BOND FUND OF AMERICA C	3,960.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AM FUNDS BOND FUND OF AMERICA C	-4,000.00	0.00	0.00	0.00	100.0	0.00
05/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
05/15/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
05/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
05/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
05/15/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	1.80	0.00	1.80	100.0	1.80
05/17/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
05/17/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
05/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
05/08/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
05/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
05/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
05/12/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
05/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
05/15/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	150.00	1.80	0.00	1.80	100.0	1.80
05/15/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
05/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	93.08	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-97.98	0.00	0.00	0.00	100.0	0.00
05/15/2017	TORNOW, MARGARET	AM FUNDS ICA A	150.00	1.80	0.00	1.80	100.0	1.80
05/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-404.10	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-2,033.88	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	1,957.61	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	383.89	0.00	0.00	0.00	100.0	0.00
05/08/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
05/15/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
05/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
05/15/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
05/08/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
05/15/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/15/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	1.80	0.00	1.80	100.0	1.80
05/15/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
05/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,928.27	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-2,003.40	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	385.69	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-405.99	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	967.72	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,008.04	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AMERICAN EMERGING MARKETS BOND FUND	1,485.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AMERICAN EMERGING MARKETS BOND FUND	-1,500.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AMERICAN FUNDS STRATEGIC BOND FUND	-6,000.00	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	AMERICAN FUNDS STRATEGIC BOND FUND	5,940.00	0.00	0.00	0.00	100.0	0.00
05/12/2017	CONCORDE, VARIOUS	DELAWARE REAL ESTATE INVESTMENT TRU	-20,001.87	0.00	0.00	0.00	100.0	0.00
05/12/2017	CONCORDE, VARIOUS	DELAWARE REAL ESTATE INVESTMENT TRU	19,201.80	0.00	0.00	0.00	100.0	0.00
05/24/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	19,969.82	0.00	0.00	0.00	100.0	0.00
05/24/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-21,020.86	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-218.47	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	216.29	0.00	0.00	0.00	100.0	0.00
05/16/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	2,970.00	0.00	0.00	0.00	100.0	0.00
05/16/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-3,000.00	0.00	0.00	0.00	100.0	0.00
05/15/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
05/15/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
05/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
05/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
05/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$186.88</b>	<b>\$81.66</b>	<b>\$0.00</b>	<b>\$81.66</b>		<b>\$81.66</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/23/2017	CONCORDE, VARIOUS	AT&T INC COM	-3,831.01	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	AT&T INC COM	-22,987.32	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	AT&T INC COM	26,818.33	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/23/2017	CONCORDE, VARIOUS	AVISTA CORP COM	-23,124.48	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	AVISTA CORP COM	-6,306.53	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	AVISTA CORP COM	29,431.01	0.00	0.00	0.00	100.0	0.00
05/16/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	4,000.00	0.00	0.00	0.00	100.0	0.00
05/16/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	-3,400.00	0.00	1.00	-1.00	100.0	-1.00
05/16/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	-600.00	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	CIT GROUP INC NEW COM NEW	-4,747.01	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	CIT GROUP INC NEW COM NEW	-7,073.55	0.00	1.00	-1.00	100.0	-1.00
05/23/2017	CONCORDE, VARIOUS	CIT GROUP INC NEW COM NEW	11,820.55	0.00	0.00	0.00	100.0	0.00
05/12/2017	CONCORDE, VARIOUS	FRONTIER COMMUNICATIONS CORP COM	216.90	0.00	0.00	0.00	100.0	0.00
05/12/2017	CONCORDE, VARIOUS	FRONTIER COMMUNICATIONS CORP COM	-120.50	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	FRONTIER COMMUNICATIONS CORP COM	-96.40	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-1,401.00	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	-9,808.40	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	GENERAL ELECTRIC CO COM	11,209.40	0.00	0.00	0.00	100.0	0.00
05/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	7,757.00	0.00	1.00	-1.00	100.0	-1.00
05/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	32,231.91	0.00	1.00	-1.00	100.0	-1.00
05/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P U S PFD STK INDEX FD	-39,988.88	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	SNAP INC CL A	-9,163.85	0.00	0.00	0.00	100.0	0.00
05/11/2017	CONCORDE, VARIOUS	SNAP INC CL A	1,832.00	0.00	1.00	-1.00	100.0	-1.00
05/11/2017	CONCORDE, VARIOUS	SNAP INC CL A	3,665.98	0.00	1.00	-1.00	100.0	-1.00
05/11/2017	CONCORDE, VARIOUS	SNAP INC CL A	3,665.86	0.00	1.00	-1.00	100.0	-1.00
05/18/2017	CONCORDE, VARIOUS	SOUTH JERSEY INDS INC COM	8,537.60	0.00	0.00	0.00	100.0	0.00
05/18/2017	CONCORDE, VARIOUS	SOUTH JERSEY INDS INC COM	-3,470.01	0.00	1.00	-1.00	100.0	-1.00
05/18/2017	CONCORDE, VARIOUS	SOUTH JERSEY INDS INC COM	-5,067.60	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	UNITED TECHNOLOGIES CORP COM	48,343.08	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	UNITED TECHNOLOGIES CORP COM	60,429.95	0.00	1.00	-1.00	100.0	-1.00
05/12/2017	CONCORDE, VARIOUS	UNITED TECHNOLOGIES CORP COM	-108,773.01	0.00	0.00	0.00	100.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$0.02</b>	<b>\$0.00</b>	<b>\$21.00</b>	<b>\$-21.00</b>		<b>\$-21.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/12/2017	LUND, VARIOUS	ING RELIASTAR	0.00	722.64	0.00	722.64	100.0	722.64
05/04/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	344,183.12	286.82	0.00	286.82	100.0	286.82
05/04/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,287.64	18.57	0.00	18.57	100.0	18.57

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/04/2017	CICHEWICZ, RICHARD	GENWORTH CHOICE VA	39,394.40	32.83	0.00	32.83	100.0	32.83
05/04/2017	GARBOWSKI, CHRISTOPHER	GENWORTH CHOICE VA	30,720.76	25.60	0.00	25.60	100.0	25.60
05/04/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	146,962.84	122.47	0.00	122.47	100.0	122.47
05/04/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	481.07	0.08	0.00	0.08	100.0	0.08
05/04/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	25,771.38	4.30	0.00	4.30	100.0	4.30
04/17/2017	HORIBA, MASATAKE	GENWORTH INS	173,747.00	36.20	0.00	36.20	100.0	36.20
05/15/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
05/15/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
05/10/2017	RAYNUSH, MARTHA	OHIO NATL ONCORE PREMIER	32,843.52	82.11	0.00	82.11	100.0	82.11
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$816,451.73</b>	<b>\$1,332.22</b>	<b>\$0.00</b>	<b>\$1,332.22</b>		<b>\$1,332.22</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
05/23/2017	CONCORDE, VARIOUS	ADVISORS DISCIPLINED TR UNIT 1777 C	-7,145.78	0.00	17.50	-17.50	100.0	-17.50
05/23/2017	CONCORDE, VARIOUS	ADVISORS DISCIPLINED TR UNIT 1777 C	6,992.14	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED-END	11,737.08	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED-END	-11,995.02	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6572 DIVID & INCOME PLUS CL	16,152.85	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6572 DIVID & INCOME PLUS CL	-16,497.67	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6636; SABRIENT BAKERS PF 4	3,902.42	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6636; SABRIENT BAKERS PF 4	7,101.70	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6636; SABRIENT BAKERS PF 4	-3,992.24	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6636; SABRIENT BAKERS PF 4	-13,995.19	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6636; SABRIENT BAKERS PF 4	13,813.31	0.00	17.50	-17.50	100.0	-17.50
05/12/2017	CONCORDE, VARIOUS	FT UNIT 6636; SABRIENT BAKERS PF 4	-7,195.21	0.00	17.50	-17.50	100.0	-17.50
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$-1,121.61</b>	<b>\$0.00</b>	<b>\$210.00</b>	<b>\$-210.00</b>		<b>\$-210.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/09/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
05/12/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	4.38	0.00	4.38	100.0	4.38
05/19/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	4.28	0.00	4.28	100.0	4.28
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$700.00</b>	<b>\$22.66</b>	<b>\$0.00</b>	<b>\$22.66</b>		<b>\$22.66</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/18/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.13	0.00	2.13	100.0	2.13
04/18/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.77	0.00	1.77	100.0	1.77
04/20/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
04/20/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.56	0.00	1.56	100.0	1.56
05/11/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.33	0.00	0.33	100.0	0.33
05/11/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.38	0.00	2.38	100.0	2.38
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$8.18</b>	<b>\$0.00</b>	<b>\$8.18</b>		<b>\$8.18</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/17/2017	GRIBBLE, MARGARET	LANDMARK 2002	0.00	12.47	0.00	12.47	100.0	12.47
04/30/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.04	0.00	13.04	100.0	13.04
05/12/2017	GRAY, NEALEY	PAC LIFE PACIFIC CHOICE	0.00	1.67	0.00	1.67	100.0	1.67
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$27.18</b>	<b>\$0.00</b>	<b>\$27.18</b>		<b>\$27.18</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$816,217.02</b>	<b>\$1,471.90</b>	<b>\$231.00</b>	<b>\$1,240.90</b>		<b>\$1,240.90</b>

**OVERRIDE TRANSACTIONS**

<b><u>BROKER: ACCOUNT HOUSE #1</u></b>								
05/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
05/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
05/08/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
05/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
05/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
05/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
05/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
05/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
05/08/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
05/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
05/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
05/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
05/08/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
05/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
05/08/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ANTHONY GUGLIELME #8</u></b>								
		* ACCOUNT HOUSE SUBTOTAL *	\$1,610.00	\$57.25	\$0.00	\$57.25		\$57.25
<b><u>BROKER: ANTHONY GUGLIELME #8</u></b>								
05/15/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
05/15/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
		* ANTHONY GUGLIELME SUBTOTAL *	\$200.00	\$8.50	\$0.00	\$8.50		\$8.50
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
05/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<b><u>BROKER: MATT BRANSTETTER #10</u></b>								
05/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	80.0	3.75
		* MATT BRANSTETTER SUBTOTAL *	\$100.00	\$3.75	\$0.00	\$3.75		\$3.75
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
05/14/2017	SUSSDORFF, VICKI	AM GEN POLARIS CHOICE IV	43,091.26	107.73	0.00	107.73	0.0	107.73
		* EDWARD MCMANUS SUBTOTAL *	\$43,091.26	\$107.73	\$0.00	\$107.73		\$107.73
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	5.96	0.00	5.96	0.0	5.96
04/30/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.31	0.00	0.31	0.0	0.31
04/30/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.03	0.00	2.03	0.0	2.03
05/01/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
05/16/2017	POHL, JEANNETTE	OHIO NATL ONCORE FLEX	22,566.21	56.42	0.00	56.42	0.0	56.42
		* THOMAS BOAKS SUBTOTAL *	\$22,596.21	\$65.02	\$0.00	\$65.02		\$65.02
<b><u>BROKER: TIMOTHY BROWN #36</u></b>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.25	0.00	2.25	0.0	2.25
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	6.76	0.00	6.76	0.0	6.76
		* TIMOTHY BROWN SUBTOTAL *	\$0.00	\$9.01	\$0.00	\$9.01		\$9.01
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
05/15/2017	LAMBERT, JOHN DOUGLAS	ING ACCESS	0.00	105.50	0.00	105.50	0.0	105.50
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.29	0.00	0.29	0.0	0.29
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	6.12	0.00	6.12	0.0	6.12
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	DENNIS, MARK	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	18.37	0.00	18.37	0.0	18.37
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.27	0.00	0.27	0.0	0.27
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$131.28	\$0.00	\$131.28		\$131.28
<b><u>BROKER: JOHN BEAN #45</u></b>								
05/03/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	1.26	0.00	1.26	0.0	1.26
* JOHN BEAN SUBTOTAL *			\$0.00	\$1.26	\$0.00	\$1.26		\$1.26
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
05/12/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.00	0.00	2.00	0.0	2.00
05/12/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	1.98	0.00	1.98	0.0	1.98
05/12/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.07	0.00	1.07	0.0	1.07
05/12/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.75	0.00	1.75	0.0	1.75
05/12/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	1.81	0.00	1.81	0.0	1.81
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$8.61	\$0.00	\$8.61		\$8.61

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
05/15/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
05/08/2017	HERNANDEZ, MARIA	FA 529 PORT 2025 A	200.00	10.00	0.00	10.00	0.0	10.00
05/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
05/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
05/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
05/15/2017	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
05/11/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	650.00	18.20	0.00	18.20	0.0	18.20
05/15/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
05/10/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
05/09/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
05/09/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
<b>* JIM LUND SUBTOTAL *</b>			<b>\$1,950.00</b>	<b>\$76.08</b>	<b>\$0.00</b>	<b>\$76.08</b>		<b>\$76.08</b>
<b><u>BROKER: JAMES PANOS #61</u></b>								
05/15/2017	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	70.00	0.70	0.00	0.70	0.0	0.70
05/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
05/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
05/15/2017	MACUCH, PAUL	FA 529 PORT 2016 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
05/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
05/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
05/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	50.00	0.50	0.00	0.50	0.0	0.50
<b>* JAMES PANOS SUBTOTAL *</b>			<b>\$1,060.00</b>	<b>\$10.60</b>	<b>\$0.00</b>	<b>\$10.60</b>		<b>\$10.60</b>
<b><u>BROKER: RENE CASTRO #92</u></b>								
05/12/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.31	0.00	2.31	0.0	2.31
05/12/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.00	0.00	9.00	0.0	9.00
<b>* RENE CASTRO SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$11.31</b>	<b>\$0.00</b>	<b>\$11.31</b>		<b>\$11.31</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
05/04/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	69,531.58	11.59	0.00	11.59	0.0	11.59
05/04/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,705.91	0.78	0.00	0.78	0.0	0.78
05/12/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.51	0.00	1.51	0.0	1.51
05/12/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.16	0.00	0.16	0.0	0.16
05/12/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.46	0.00	2.46	0.0	2.46
05/12/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.12	0.00	0.12	0.0	0.12
05/12/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.81	0.00	1.81	0.0	1.81
05/12/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	1.82	0.00	1.82	0.0	1.82
* DANIEL COLLINS SUBTOTAL *			\$74,237.49	\$20.25	\$0.00	\$20.25		\$20.25
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.33	0.00	2.33	0.0	2.33
05/08/2017	CRABTREE, GARY	METLIFE	11,033.11	2.29	0.00	2.29	0.0	2.29
05/08/2017	CRABTREE, GARY	METLIFE	8,344.66	1.74	0.00	1.74	0.0	1.74
05/08/2017	CRABTREE, GARY	METLIFE	6,310.88	1.31	0.00	1.31	0.0	1.31
05/08/2017	CRABTREE, GARY	METLIFE	7,564.62	1.57	0.00	1.57	0.0	1.57
05/08/2017	CRABTREE, GARY	METLIFE	7,171.05	1.49	0.00	1.49	0.0	1.49
05/08/2017	CRABTREE, GARY	METLIFE	35,688.87	7.42	0.00	7.42	0.0	7.42
04/30/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.34	0.00	4.34	0.0	4.34
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.49	0.00	1.49	0.0	1.49
* JAY MCCLELLAN SUBTOTAL *			\$76,113.19	\$23.98	\$0.00	\$23.98		\$23.98
<b><u> BROKER: TIMOTHY KULHANEK #145 </u></b>								
05/18/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	37,100.31	23.19	0.00	23.19	0.0	23.19
05/15/2017	MUCHMORE PHELPS, SUSAN	AMERITAS OVERTURE APPLAUSE II	9,803.46	6.13	0.00	6.13	0.0	6.13
* TIMOTHY KULHANEK SUBTOTAL *			\$46,903.77	\$29.32	\$0.00	\$29.32		\$29.32
<b><u> BROKER: MEREDITH MCCUTCHEEN #221 </u></b>								
05/15/2017	HAMMONS, LEO	PIONEER FUND A	50.00	2.50	0.00	2.50	0.0	2.50
* MEREDITH MCCUTCHEEN SUBTOTAL *			\$50.00	\$2.50	\$0.00	\$2.50		\$2.50
<b><u> BROKER: GREGORY FRIEL #223 </u></b>								
05/12/2017	PIERRE, ANASTAGIA	FRONTIER COMMUNICATIONS CORP COM	-216.90	0.00	22.50	-22.50	0.0	-22.50
05/12/2017	FRIEL, GREGORY	FRONTIER COMMUNICATIONS CORP COM	-192.00	0.00	22.50	-22.50	0.0	-22.50
* GREGORY FRIEL SUBTOTAL *			\$-408.90	\$0.00	\$45.00	\$-45.00		\$-45.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JASON BAIRD #238</u></b>								
03/31/2017	REICH, MICHELLE	PRU PREMIER INVT VA C	1,261.11	3.15	0.00	3.15	0.0	3.15
03/31/2017	REICH, MICHELLE	PRU PREMIER INVT VA C	32,170.64	80.43	0.00	80.43	0.0	80.43
* JASON BAIRD SUBTOTAL *			<b>\$33,431.75</b>	<b>\$83.58</b>	<b>\$0.00</b>	<b>\$83.58</b>		<b>\$83.58</b>
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
05/15/2017	THOMAS, NATALIE	AM FUNDS AMCAP 529A	100.00	4.25	0.00	4.25	0.0	4.25
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	0.0	2.00
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	0.0	0.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
05/16/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	0.0	0.14
05/15/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.50
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: WILLIAM THOMAS #239</b>								
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	0.0	0.14
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.00	0.24	0.00	0.24	0.0	0.24
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.44	0.25	0.00	0.25	0.0	0.25
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/12/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.57	0.25	0.00	0.25	0.0	0.25
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	0.0	0.23
05/16/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	25.20	0.25	0.00	0.25	0.0	0.25
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/12/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	0.0	2.00
05/15/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	0.0	2.00
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	0.0	2.46
05/16/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	0.0	0.60
05/15/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	50.00	0.50	0.00	0.50	0.0	0.50
05/10/2017	BROOKS, CHARLES	NWL BOA NG FP VUL	3,000.00	60.00	0.00	60.00	0.0	60.00
05/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
05/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
* WILLIAM THOMAS SUBTOTAL *			\$9,666.71	\$191.20	\$0.00	\$191.20		\$191.20
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
05/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
05/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
05/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.50
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	3.00
05/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
05/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
05/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
05/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
05/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	4.26
05/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
05/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
05/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
05/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
05/09/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
05/09/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
05/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
05/18/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$2,500.78	\$32.39	\$0.00	\$32.39		\$32.39
*** OVERRIDE TRANSACTIONS TOTAL ***			\$313,202.26	\$878.62	\$45.00	\$833.62		\$833.62

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,240.90
Split Transactions	\$0.00
Override Transactions	\$833.62
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,074.52
Year-to-date Gross	\$38,118.58
Year-to-date Earnings	\$101,570.47