

COMMISSION STATEMENT

June 15, 2018

JOY LAWRENCE-D098

BROKER #: D098

BRANCH: DMA

, TX

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
05/15/2018	00551135830	CHHEN, KUONG	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
05/15/2018	00551137086	CHHEN, CHAN	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
* MUTUAL FUNDS SUBTOTAL *						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2018	00081129301	WATSON, BARRY	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.00	0.00	1.00	50.0	0.50
06/01/2018	00081129301	WATSON, BARRY	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.95	0.00	1.95	50.0	0.98
06/01/2018	00081129301	WATSON, BARRY	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	1.44	0.00	1.44	50.0	0.72
06/01/2018	00081129301	WATSON, BARRY	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.84	0.00	1.84	50.0	0.92
06/01/2018	00081129301	WATSON, BARRY	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	2.25	0.00	2.25	50.0	1.13
06/01/2018	00081129318	WATSON, REBECCA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.86	0.00	0.86	50.0	0.43
06/01/2018	00081129318	WATSON, REBECCA	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.79	0.00	1.79	50.0	0.90
06/01/2018	00081129318	WATSON, REBECCA	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	1.28	0.00	1.28	50.0	0.64
06/01/2018	00081129318	WATSON, REBECCA	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.57	0.00	1.57	50.0	0.79
06/01/2018	00081129318	WATSON, REBECCA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	1.87	0.00	1.87	50.0	0.94
06/01/2018	00082428346	WATSON, BARRY	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.51	0.00	0.51	50.0	0.26
06/01/2018	00082428346	WATSON, BARRY	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29	50.0	0.15
06/01/2018	00088026407	ELODIA GUERRERO	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.30	0.00	4.30	50.0	2.15
06/01/2018	00088072276	DIETRICH,	MFT	AMERICAN BALANCED FUND-A	B	0.00	12.56	0.00	12.56	50.0	6.28
06/01/2018	00088072276	DIETRICH,	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	13.19	0.00	13.19	50.0	6.60
06/01/2018	00088072276	DIETRICH,	MFT	NEW PERSPECTIVE FUND-A	B	0.00	13.44	0.00	13.44	50.0	6.72
06/01/2018	00088072276	DIETRICH,	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	13.92	0.00	13.92	50.0	6.96
06/01/2018	00088092484	IRMA SAUCEDO	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.70	0.00	2.70	50.0	1.35
06/01/2018	00089684168	ALEXANDER LEE	MFT	AMERICAN BALANCED FUND-A	B	0.00	0.72	0.00	0.72	50.0	0.36
06/01/2018	00089684168	ALEXANDER LEE	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.36	0.00	1.36	50.0	0.68
06/01/2018	00089684168	ALEXANDER LEE	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	1.71	0.00	1.71	50.0	0.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$80.55	\$0.00	\$80.55		\$40.32
*** DIRECT TRANSACTIONS TOTAL ***						\$1,080.00	\$102.15	\$0.00	\$102.15		\$51.12

BROKER COMMISSION TOTALS

Broker Transactions	\$51.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$51.12
Year-to-date Commissions	\$9,925.67