



# COMMISSION STATEMENT

## May 07, 2018

JEFFREY HANRETTY  
8365 Seneca Turnpike  
New Hartford, NY 13413

BROKER #: B08  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/23/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @34.0000						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @34.0000						
04/23/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @34.0000						
04/23/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @34.0000						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.5900						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.5900						
04/23/2018	***-**-0521 - CRUMRINE, MONICA	AMERICAN BALANCED FUND-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @28.3200						
04/23/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.8400						
04/23/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.8400						
04/23/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	39.56	1.48	0.00	1.48	75.0	1.11
	ACCOUNT #: 00085629087	BUY 3 Units @13.1100						
04/23/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @13.1100						
04/23/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	54.85	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 04000070058	BUY 3 Units @15.7200						
04/23/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	51.91	1.95	0.00	1.95	75.0	1.46
	ACCOUNT #: 04000070058	BUY 3 Units @15.7200						
04/23/2018	***-**-7692 - SCHERMERHORN,	AMERICAN FUNDS BALANCED PORT CL C	159.03	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00087922386	BUY 11 Units @14.7300						
04/23/2018	***-**-7692 - SCHERMERHORN,	AMERICAN FUNDS BALANCED PORT CL C	98.94	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00087922386	BUY 7 Units @14.7300						
04/23/2018	***-**-01IN - MARNELL, CARMEL	CAPITAL APPRECIATION A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 03212148367	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/16/2018	***-**-3335 - SPENCER, DAVID	CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03220677006	BUY 0 Units @0.0000						
04/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @60.8700						
04/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @60.8700						
04/23/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @60.8700						
04/23/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @60.8700						
04/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @60.8700						
04/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @60.8700						
04/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.58	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.6300						
04/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.6300						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.1100						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.1100						
04/23/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @53.1100						
04/23/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @53.1100						
04/23/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @54.2200						
04/23/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @54.2200						
04/23/2018	***-**-0521 - CRUMRINE, MONICA	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00084226912	BUY 0 Units @54.5100						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/23/2018	***-**-4913 - ELSENBECK, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00082651124	BUY 1 Units @54.9400						
04/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @51.2000						
04/23/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @51.2000						
04/23/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @51.2000						
04/23/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @51.2000						
04/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @51.2000						
04/23/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @51.2000						
04/23/2018	***-**-01IN - MARNELL, CARMEL	EMERGING MARKETS INNOVATORS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100109770	BUY 0 Units @0.0000						
04/23/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @64.0000						
04/23/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @64.0000						
04/23/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @62.0400						
04/23/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @62.0400						
04/16/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
04/23/2018	***-**-01IN - MARNELL, CARMEL	GLOBAL FUND A	40.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: 03308022016	BUY 0 Units @0.0000						
04/30/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
04/23/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.6300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/23/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.6300						
04/16/2018	***-**-3335 - SPENCER, DAVID	MAIN STREET FUND C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 07022642860	BUY 0 Units @0.0000						
04/23/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @45.6800						
04/23/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @45.6800						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @68.9900						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @68.9900						
04/23/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @70.4400						
04/23/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @70.4400						
04/23/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @64.8700						
04/23/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @64.8700						
04/16/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
04/23/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER CORE BOND FUND A	40.00	1.60	0.00	1.60	75.0	1.20
	ACCOUNT #: 02852540989	BUY 0 Units @0.0000						
04/30/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
04/16/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
04/23/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER SMALL & MID CAP VALUE F	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02515071616	BUY 0 Units @0.0000						
04/30/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/23/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER STRATEGIC INCOME FD CL	20.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 02307440689	BUY 0 Units @0.0000						
04/23/2018	***-**-01IN - MARNELL, CARMEL	RISING DIVIDENDS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02254838053	BUY 0 Units @0.0000						
04/16/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
04/30/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
04/23/2018	***-**-01IN - MARNELL, CARMEL	SMALL CAP VALUE FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100051250	BUY 0 Units @0.0000						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @58.5100						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @58.5100						
04/23/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @58.5100						
04/23/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @58.5100						
04/23/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @59.7400						
04/23/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @59.7400						
04/23/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.0600						
04/23/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @50.0600						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.8200						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.8200						
04/23/2018	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @12.9900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/23/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @12.9900						
04/23/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @12.9900						
04/23/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.80	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.5000						
04/23/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.5000						
04/23/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.71	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.5000						
04/23/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.5000						
04/23/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.39	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.5000						
04/23/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.5000						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.4100						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.4100						
04/23/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @53.4100						
04/23/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @53.4100						
04/23/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @54.5200						
04/23/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @54.5200						
04/23/2018	***-**-0521 - CRUMRINE, MONICA	THE GROWTH FUND OF AMERICA-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @54.8100						
04/23/2018	***-**-4913 - ELSENBECK, DAVID	THE GROWTH FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00082651124	BUY 1 Units @55.2500						



# COMMISSION STATEMENT

## May 07, 2018

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BROKER #: B08  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/23/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @48.1800						
04/23/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @48.1800						
04/23/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	3.70	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @48.1800						
04/23/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @48.1800						
04/23/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.38	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @48.1800						
04/23/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @48.1800						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @23.5100						
04/23/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.5100						
04/23/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @23.5100						
04/23/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @23.5100						
04/23/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.11	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.2500						
04/23/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.2500						
04/23/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @46.8800						
04/23/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @46.8800						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,705.20</b>	<b>\$71.09</b>	<b>\$0.00</b>	<b>\$71.09</b>		<b>\$53.41</b>



# COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/30/2018	***-**-74IN - GIGLIO, MARIA	HARLEY DAVIDSON INC COM SOLICITED O	5,044.33	0.00	17.44	-17.44	100.0	-17.44
	ACCOUNT #: LSR012031	SELL 122 Units @41.3470						
04/30/2018	***-**-74IN - GIGLIO, MARIA	SONIC HEALTHCARE NPV ISIN #AU000000	2,643.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012031	SELL 150 Units @17.6200						
* STOCKS SUBTOTAL *			<b>\$7,687.33</b>	<b>\$0.00</b>	<b>\$35.44</b>	<b>\$-35.44</b>		<b>\$-35.44</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
05/08/2018	***-**-15FB - KHAN, AFSAR	LIFEMARK INVESTMENT ADVISORY FEE	1,169.46	1,169.46	0.00	1,169.46	75.0	877.10
	ACCOUNT #: 925318399	BUY 0 Units @0.0000						
05/08/2018	***-**-15FB - KHAN, AFSAR	LIFEMARK INVESTMENT ADVISORY FEE	276.56	276.56	0.00	276.56	75.0	207.42
	ACCOUNT #: 925330573	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			<b>\$1,446.02</b>	<b>\$1,446.02</b>	<b>\$0.00</b>	<b>\$1,446.02</b>		<b>\$1,084.52</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/06/2018	***-**-0559 - LASCALA, LEONARD	FA SHORT TERM BOND A	0.00	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-3781 - GOULD, ROBERT	FA SHORT TERM BOND T	0.00	1.06	0.00	1.06	75.0	0.80
	ACCOUNT #: 01490923172	BUY 0 Units @0.0000						
04/06/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV 01480463155	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMPARO, ANDREA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV DIVIDEND GROWTH FD CL	0.00	6.87	0.00	6.87	75.0	5.15
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV EMERGING MKTS CL A	0.00	6.37	0.00	6.37	75.0	4.78
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMPARO, ANDREA	FIDELITY ADV EMERGING MKTS CL A	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV EMERGING MRKTS INC CL	0.00	12.00	0.00	12.00	75.0	9.00
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV ENERGY-CL A	0.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-3781 - GOULD, ROBERT	FIDELITY ADV EQUITY GROWTH CL T	0.00	16.64	0.00	16.64	75.0	12.48
	ACCOUNT #: 01490923172	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV EQUITY INCOME CL A	0.00	8.48	0.00	8.48	75.0	6.36
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV EQUITY VALUE CL A	0.00	14.60	0.00	14.60	75.0	10.95
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV EUROPE FUND CLASS A	0.00	2.42	0.00	2.42	75.0	1.82
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV FLOATING RATE HIGH INC	0.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV FREEDOM 2015-CL C	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
04/06/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV FREEDOM 2020-CL C	0.00	0.47	0.00	0.47	75.0	0.35
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
04/06/2018	***-**-1543 - FALCHI, GARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	1.54	0.00	1.54	75.0	1.16
	ACCOUNT #: 01475399455	BUY 0 Units @0.0000						
04/06/2018	***-**-1970 - CZEBIENIAK,	FIDELITY ADV FREEDOM 2025-CL C	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: 01486582578	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMPANO, ANDREA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMPANO, ANDREA	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.19	0.00	0.19	75.0	0.14
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV GROWTH & INCOME CL A	0.00	12.48	0.00	12.48	75.0	9.36
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-3781 - GOULD, ROBERT	FIDELITY ADV GROWTH OPPORT CL T	0.00	11.65	0.00	11.65	75.0	8.74
	ACCOUNT #: 01490923172	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	6.70	0.00	6.70	75.0	5.03
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV INDUSTRIALS CL A	0.00	8.32	0.00	8.32	75.0	6.24
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV INFLATION PROTECTED BD	0.00	2.39	0.00	2.39	75.0	1.79
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	4.58	0.00	4.58	75.0	3.44
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV INTL DISCOVERY-CL A	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV INTL SMALL CAP OPPS-CL	0.00	8.62	0.00	8.62	75.0	6.47
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	13.55	0.00	13.55	75.0	10.16
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV MATERIALS-CL A	0.00	6.10	0.00	6.10	75.0	4.58
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-5381 - ZARNOCK, MARTIN	FIDELITY ADV MATERIALS-CL A	0.00	1.28	0.00	1.28	75.0	0.96
	ACCOUNT #: 01482293584	BUY 0 Units @0.0000						
04/06/2018	***-**-5381 - ZARNOCK, MARTIN	FIDELITY ADV MID CAP II-CL A	0.00	1.40	0.00	1.40	75.0	1.05
	ACCOUNT #: 01482293584	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV NEW INSIGHTS-CL A	0.00	11.89	0.00	11.89	75.0	8.92
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-5381 - ZARNOCK, MARTIN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.67	0.00	1.67	75.0	1.25
	ACCOUNT #: 01482293584	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STCK SEL LRG CP VAL CL	0.00	8.90	0.00	8.90	75.0	6.68
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STRATEGIC INCOME CL A	0.00	7.83	0.00	7.83	75.0	5.87
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV STRATEGIC REAL RETURN-	0.00	5.88	0.00	5.88	75.0	4.41
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV TECHNOLOGY CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADV TOTAL BOND-CL A	0.00	5.58	0.00	5.58	75.0	4.19
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-7266 - ZUMANO, ANDREA	FIDELITY ADV TOTAL BOND-CL A	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: 03900215235	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADVISOR DIVERSIFIED INTL F	0.00	6.89	0.00	6.89	75.0	5.17
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	5.72	0.00	5.72	75.0	4.29
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-3781 - GOULD, ROBERT	FIDELITY ADVISOR INTERMEDIATE BOND	0.00	1.93	0.00	1.93	75.0	1.45
	ACCOUNT #: 01490923172	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADVISOR REAL ESTATE FUND C	0.00	5.62	0.00	5.62	75.0	4.22
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-0559 - LASCALA, LEONARD	FIDELITY ADVISOR STK SELECTOR ALL C	0.00	11.28	0.00	11.28	75.0	8.46
	ACCOUNT #: 01426932115	BUY 0 Units @0.0000						
04/06/2018	***-**-7401 - RUNDLE, AARON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.16	0.00	1.16	75.0	0.87
	ACCOUNT #: 01483068944	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$231.61</b>	<b>\$0.00</b>	<b>\$231.61</b>		<b>\$173.78</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$11,838.55</b>	<b>\$1,748.72</b>	<b>\$35.44</b>	<b>\$1,713.28</b>		<b>\$1,276.27</b>

### BROKER COMMISSION TOTALS

Broker Transactions	\$1,276.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,276.27</b>
<b>Year-to-date Earnings</b>	<b>\$36,834.97</b>