



All Companies

07/30/2018

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COMMISSION STATEMENT

August 01, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	32.05	32.05	0.00	32.05	90.0	28.85
06/30/2018	TRAILS	COLLEGEBOUND 529	B	3.26	3.26	0.00	3.26	90.0	2.93
07/13/2018	BEELEER/CARPENTER, JASON/KELLIE	COLLEGEBOUND 529	B	150.00	5.25	0.00	5.25	90.0	4.73
07/10/2018	00062563099 BURKETT, JAMES	AMERICAN FUNDS WA MUTUAL	INVEST B	150.00	7.50	0.00	7.50	90.0	6.75
07/10/2018	00082796933 YODER, DENNIS	AMERICAN BALANCED FUND-C	B	152.78	1.53	0.00	1.53	90.0	1.38
07/10/2018	00082796933 YODER, DENNIS	CAPITAL INCOME BUILDER-C	B	152.78	1.53	0.00	1.53	90.0	1.38
07/10/2018	00082796933 YODER, DENNIS	CAPITAL WORLD GROWTH AND INCOME FUN B	B	152.77	1.53	0.00	1.53	90.0	1.38
07/16/2018	00084996825 HOLUB, BRANDI L.	CAPITAL WORLD GROWTH AND INCOME FUN B	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00084996825 HOLUB, BRANDI L.	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00084996825 HOLUB, BRANDI L.	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00084996825 HOLUB, BRANDI L.	THE INVESTMENT COMPANY OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00085786312 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	150.00	1.50	0.00	1.50	90.0	1.35
07/16/2018	00085786341 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	200.00	2.00	0.00	2.00	90.0	1.80
07/20/2018	00086029369 CA/TOD	CAPITAL WORLD GROWTH AND INCOME FUN B	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00086625581 HARRIS/BAKER, CONNIE/GRACE	THE INVESTMENT COMPANY OF AMERICA-5	B	50.00	0.50	0.00	0.50	90.0	0.45
07/10/2018	00087474076 SHAFFER, TRACY	AMERICAN BALANCED FUND-C	B	179.00	1.79	0.00	1.79	90.0	1.61
07/10/2018	00087474076 SHAFFER, TRACY	CAPITAL INCOME BUILDER-C	B	179.00	1.79	0.00	1.79	90.0	1.61
07/10/2018	00087474076 SHAFFER, TRACY	CAPITAL WORLD GROWTH AND INCOME FUN B	B	180.00	1.80	0.00	1.80	90.0	1.62
07/16/2018	00088427132 HANNA, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00088435772 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00088682857 ROASA, MICHAEL	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00088682879 ROASA, MICHAEL	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	00089364522 CALLEN, DEAN	CAPITAL WORLD GROWTH AND INCOME-529	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00089364522 CALLEN, DEAN	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00089544673 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	04000893867 KOCHMAN, MACY M.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	04001057885 KOCHMAN, THEODORE P.	FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	04001057885 KOCHMAN, THEODORE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	90.0	2.25

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

P.											
07/17/2018	04001072829	BROWN, ZACHARY	AMERICAN BALANCED FUND-529A	B	500.00	25.00	0.00	25.00	90.0	22.50	
07/17/2018	04001072829	BROWN, ZACHARY	CAPITAL INCOME BUILDER-529A	B	500.00	25.00	0.00	25.00	90.0	22.50	
07/03/2018	2171440263	MARAK, MICHELE	OPPENHEIMER GLOBAL INCOME & GROWTH	B	99.00	0.99	0.00	0.99	90.0	0.89	
07/03/2018	2270564619	MARAK, MICHELE	OPPENHEIMER QUEST VALUE FD	CL C B	99.00	0.99	0.00	0.99	90.0	0.89	
07/03/2018	2322125057	MARAK, MICHELE	OPPENHEIMER STRATEGIC INCOME	FD CL B	102.00	1.02	0.00	1.02	90.0	0.92	
* MUTUAL FUNDS SUBTOTAL *					\$4,431.64	\$133.03	\$0.00	\$133.03		\$119.74	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/01/2018	TRAIL COMMISSIONS		HARTFORD MUTUAL FUNDS FEES	0.00	201.69	0.00	201.69	90.0	181.60
08/01/2018	TRAIL COMMISSIONS		AIG FUNDS FEES	0.00	57.76	0.00	57.76	90.0	51.99
08/01/2018	TRAIL COMMISSIONS		SUN AMERICA LIFE FEES	0.00	83.54	0.00	83.54	90.0	75.19
08/01/2018	TRAIL COMMISSIONS		COLUMBIA THREADNEEDLE FEES	0.00	1.60	0.00	1.60	90.0	1.44
08/01/2018	TRAIL COMMISSIONS		ALLIANCE BERNSTEIN FUNDS FEES	0.00	72.79	0.00	72.79	90.0	65.51
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$417.38	\$0.00	\$417.38	\$375.73
				*** BROKER TRANSACTIONS TOTAL ***	\$4,431.64	\$550.41	\$0.00	\$550.41	\$495.47

ADJUSTMENTS

08/01/2018		TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-7.50
			*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$495.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$487.97
Year-to-date Earnings	\$31,325.43