

**COMMISSION STATEMENT****May 15, 2018**

DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PFLE  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G3G  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
^03/31/2018	SHEA III, JOHN	GRIFFIN-AMERICAN HC REIT IV T	3,000.00	7.40	0.00	7.40	100.0	0.00
* REIT SUBTOTAL *			\$3,000.00	\$7.40	\$0.00	\$7.40		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^04/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.25	0.00	0.25	100.0	0.00
^04/18/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	100.0	0.00
^04/16/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.78	0.00	1.78	100.0	0.00
^04/16/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.53	0.00	1.53	100.0	0.00
^04/16/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.61	0.00	8.61	100.0	0.00
^04/16/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.64	0.00	7.64	100.0	0.00
^04/16/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.62	0.00	0.62	100.0	0.00
^04/11/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.40	0.00	0.40	100.0	0.00
^04/12/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.05	0.00	1.05	100.0	0.00
^04/12/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.64	0.00	4.64	100.0	0.00
^04/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	1.97	0.00	1.97	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$29.11	\$0.00	\$29.11		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
^04/30/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	229,767.80	95.51	0.00	95.51	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$229,767.80	\$95.51	\$0.00	\$95.51		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$232,767.80	\$132.02	\$0.00	\$132.02		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-0.01</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>