



COMMISSION STATEMENT

January 15, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2018	***-**-336I - REEKIE, JANICE	AMERICAN FUNDS 2030 TARGET DATE-C	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 04000004425	BUY 3 Units @14.4700						
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$0.50	\$0.00	\$0.50		\$0.45
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-001I - HUBBARD, ARLENE	OPPENHEIMER CORE BOND FUND A	0.00	9.05	0.00	9.05	90.0	8.15
	ACCOUNT #: 02850962500	BUY 0 Units @0.0000						
12/31/2017	***-**-19IN - MELECA, FRANK	ROCHESTER FUND MUNICIPALS A	0.00	3.79	0.00	3.79	90.0	3.41
	ACCOUNT #: 00019757000	BUY 0 Units @0.0000						
01/15/2018	***-**-6713 - KREMER, JOHN	VIRTUS MF TRAILS	0.00	5.74	0.00	5.74	90.0	5.17
	ACCOUNT #: 00004200781	BUY 0 Units @0.0000						
01/15/2018	***-**-3782 - WEINERT-WILEY,	VIRTUS MF TRAILS	0.00	0.17	0.00	0.17	90.0	0.15
		BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$18.75	\$0.00	\$18.75		\$16.88
		*** BROKER TRANSACTIONS TOTAL ***	\$50.00	\$19.25	\$0.00	\$19.25		\$17.33

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

01/02/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00089976714	BUY 1 Units @33.8400						
01/02/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	15.0	0.21
	ACCOUNT #: 00085099445	BUY 2 Units @28.2400						
01/05/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	15.0	0.76
	ACCOUNT #: 00082570536	BUY 5 Units @28.8400						
01/02/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	15.0	0.21
	ACCOUNT #: 00085099445	BUY 5 Units @10.7600						
01/05/2018	***-**-0911 - SPAZIANI,	AMERICAN HIGH-INCOME TRUST-A	80.00	2.40	0.00	2.40	15.0	0.36
	ACCOUNT #: 00082570536	BUY 7 Units @10.8600						
01/02/2018	***-**-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	15.0	0.38
	ACCOUNT #: 00088370668	BUY 1 Units @43.5800						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
01/02/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN MUTUAL FUND-A BUY 1 Units @42.5600	50.00	1.38	0.00	1.38	15.0	0.21
01/02/2018	***-**-2119 - BENNETT, COLLEEN ACCOUNT #: 00088366890	AMERICAN MUTUAL FUND-A BUY 1 Units @43.5800	50.00	2.50	0.00	2.50	15.0	0.38
12/31/2017	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 03209265036	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	3.53	0.00	3.53	15.0	0.53
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	9.31	0.00	9.31	15.0	1.40
12/31/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	4.66	0.00	4.66	15.0	0.70
12/31/2017	***-**-453B - OHARA, DARLENE ACCOUNT #: 03008795809	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	8.84	0.00	8.84	15.0	1.33
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 03009145719	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	1.03	0.00	1.03	15.0	0.15
12/31/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	0.97	0.00	0.97	15.0	0.15
01/02/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	CAPITAL INCOME BUILDER-A BUY 1 Units @66.9000	50.00	2.50	0.00	2.50	15.0	0.38
12/31/2017	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 02401404062	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	5.65	0.00	5.65	15.0	0.85
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 08351548041	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	2.84	0.00	2.84	15.0	0.43
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 08351548074	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	3.83	0.00	3.83	15.0	0.57
12/31/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	4.97	0.00	4.97	15.0	0.75
12/31/2017	***-**-453B - OHARA, DARLENE ACCOUNT #: 08352560668	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	9.40	0.00	9.40	15.0	1.41
01/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	FUNDAMENTAL INVESTORS-A BUY 2 Units @66.9100	135.00	5.06	0.00	5.06	15.0	0.76
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 01980118393	INTERNATIONAL DIVERSIFIED FUND N BUY 0 Units @0.0000	0.00	1.23	0.00	1.23	15.0	0.18



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 04206247879	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	2.96	0.00	2.96	15.0	0.44
12/31/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 05450490435	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	39.60	0.00	39.60	15.0	5.94
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 05450490490	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	0.00	19.41	0.00	19.41	15.0	2.91
12/31/2017	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 02851702085	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	15.0	0.10
12/31/2017	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 02851702096	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	15.0	0.21
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 02852063622	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	15.0	0.12
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 07859653204	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	6.26	0.00	6.26	15.0	0.94
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 07859845440	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	0.64	0.00	0.64	15.0	0.10
12/31/2017	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 07453729187	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	6.79	0.00	6.79	15.0	1.02
12/31/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.63	0.00	3.63	15.0	0.54
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 01951261139	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	15.0	0.19
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 01951897060	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	2.82	0.00	2.82	15.0	0.42
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 07315104495	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	2.60	0.00	2.60	15.0	0.39
12/31/2017	***-**-5453 - O'HARA, DARLENE ACCOUNT #: 08475741681	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	8.31	0.00	8.31	15.0	1.25
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	7.18	0.00	7.18	15.0	1.08
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 08476711969	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	5.47	0.00	5.47	15.0	0.82



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	6.80	0.00	6.80	15.0	1.02
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	4.47	0.00	4.47	15.0	0.67
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 02305070475	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	15.0	0.04
12/31/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	4.61	0.00	4.61	15.0	0.69
12/31/2017	***-**-453B - OHARA, DARLENE ACCOUNT #: 02306518823	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	8.60	0.00	8.60	15.0	1.29
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 05480060203	PORTFOLIO SERIES: MODERATE INVESTOR BUY 0 Units @0.0000	0.00	10.45	0.00	10.45	15.0	1.57
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 05480060203	PORTFOLIO SERIES: MODERATE INVESTOR BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	15.0	0.13
12/31/2017	***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.20	0.00	5.20	15.0	0.78
12/31/2017	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	15.0	0.76
12/31/2017	***-**-453B - OHARA, DARLENE ACCOUNT #: 02254115661	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	9.58	0.00	9.58	15.0	1.44
12/31/2017	***-**-33IN - KING, JOHN ACCOUNT #: 02254507140	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	2.67	0.00	2.67	15.0	0.40
12/31/2017	***-**-030J - MERRILL, ACCOUNT #: 06000782386	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	15.0	0.16
12/31/2017	***-**-481J - MERRILL, JASON ACCOUNT #: 06000782397	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	15.0	0.15
01/02/2018	***-**-6790 - DRAKE, ROBERT ACCOUNT #: 00086643595	THE BOND FUND OF AMERICA-A BUY 4 Units @13.3600	50.00	1.50	0.00	1.50	15.0	0.23
01/02/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	THE GROWTH FUND OF AMERICA-A BUY 1 Units @51.9800	50.00	1.38	0.00	1.38	15.0	0.21
01/05/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.5600	55.00	2.06	0.00	2.06	15.0	0.31



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/02/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	75.00	2.81	0.00	2.81	15.0	0.42
	ACCOUNT #: 00086643595	BUY 3 Units @24.5400						
01/05/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	15.0	0.76
	ACCOUNT #: 00082570536	BUY 5 Units @24.7700						
12/31/2017	***-**-5453 - O'HARA, DARLENE	VALUE FUND A	0.00	6.08	0.00	6.08	15.0	0.91
	ACCOUNT #: 03751903044	BUY 0 Units @0.0000						
* RONALD DRAKE SUBTOTAL *			\$1,065.00	\$272.32	\$0.00	\$272.32		\$40.89
<u>BROKER: RONALD CIOTOLI</u>								
12/31/2017	***-**-2131 - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	21.38	0.00	21.38	30.0	6.41
	ACCOUNT #: 02704872989	BUY 0 Units @0.0000						
12/31/2017	***-**-2131 - CASTELLUCCI,	CAPITAL APPRECIATION A	0.00	0.07	0.00	0.07	30.0	0.02
	ACCOUNT #: 03203076865	BUY 0 Units @0.0000						
12/31/2017	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	0.00	7.16	0.00	7.16	30.0	2.15
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
12/31/2017	***-**-850I - BERG, SCOTT	CAPITAL APPRECIATION A	0.00	10.90	0.00	10.90	30.0	3.27
	ACCOUNT #: 03210694585	BUY 0 Units @0.0000						
12/31/2017	***-**-258I - JOHNSON,	CAPITAL INCOME A	0.00	28.38	0.00	28.38	30.0	8.51
	ACCOUNT #: 03009150416	BUY 0 Units @0.0000						
12/31/2017	***-**-793I - CIOTOLI, LOUIS	DISCOVERY FUND C	0.00	74.00	0.00	74.00	30.0	22.20
	ACCOUNT #: 07470601535	BUY 0 Units @0.0000						
12/31/2017	***-**-832I - FLETCHER, JAMES	EQUITY INCOME FUND, INC. A	0.00	21.60	0.00	21.60	30.0	6.48
	ACCOUNT #: 08352369477	BUY 0 Units @0.0000						
12/31/2017	***-**-753I - BRYAN, DEBRA	EQUITY INCOME FUND, INC. A	0.00	4.95	0.00	4.95	30.0	1.49
	ACCOUNT #: 08353019005	BUY 0 Units @0.0000						
12/31/2017	***-**-793I - CIOTOLI, LOUIS	EQUITY INCOME FUND, INC. C	0.00	255.99	0.00	255.99	30.0	76.80
	ACCOUNT #: 08370088704	BUY 0 Units @0.0000						
12/31/2017	***-**-832I - FLETCHER, JAMES	GLOBAL FUND A	0.00	12.28	0.00	12.28	30.0	3.68
	ACCOUNT #: 03307051794	BUY 0 Units @0.0000						
12/31/2017	***-**-753I - BRYAN, DEBRA	GLOBAL FUND A	0.00	2.67	0.00	2.67	30.0	0.80
	ACCOUNT #: 03307545892	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	5.49	0.00	5.49	30.0	1.65
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 03330205810	GLOBAL FUND N BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	30.0	0.05
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 08809946992	INTERNATIONAL BOND A BUY 0 Units @0.0000	0.00	5.88	0.00	5.88	30.0	1.76
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 08790164604	INTERNATIONAL BOND FUND N BUY 0 Units @0.0000	0.00	12.67	0.00	12.67	30.0	3.80
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 08790164604	INTERNATIONAL BOND FUND N BUY 0 Units @0.0000	0.00	3.15	0.00	3.15	30.0	0.95
12/31/2017	***-**-850I - BERG, SCOTT ACCOUNT #: 08254805537	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	0.00	9.62	0.00	9.62	30.0	2.89
01/10/2018	***-**-630I - ROMA, JAMES ACCOUNT #: 1200802832	JACKSON 468511530 BUY 0 Units @0.0000	300.00	15.75	0.00	15.75	30.0	4.73
12/31/2017	***-**-258I - JOHNSON, ACCOUNT #: 07006148794	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	30.05	0.00	30.05	30.0	9.02
01/15/2018	***-**-295P - MILLER, PAUL ACCOUNT #: 77043335701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	5.66	0.00	5.66	30.0	1.70
01/15/2018	***-**-354I - MASTRONARDI, ACCOUNT #: 77407712301	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.22	0.00	3.22	30.0	0.97
12/31/2017	***-**-354I - MASTRONARDI, ACCOUNT #: 05502014892	OPP PORT SERIES: ACTIVE ALLOC A BUY 0 Units @0.0000	0.00	17.46	0.00	17.46	30.0	5.24
12/31/2017	***-**-258I - JOHNSON, ACCOUNT #: 02852454661	OPPENHEIMER CORE BOND FUND A BUY 0 Units @0.0000	0.00	27.52	0.00	27.52	30.0	8.26
12/31/2017	***-**-258I - JOHNSON, ACCOUNT #: 05001906223	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	2.41	0.00	2.41	30.0	0.72
12/31/2017	***-**-850I - BERG, SCOTT ACCOUNT #: 08476862416	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	11.92	0.00	11.92	30.0	3.58
12/31/2017	***-**-753I - BRYAN, DEBRA ACCOUNT #: 08477449156	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	0.00	2.49	0.00	2.49	30.0	0.75
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02912380983	OPPENHEIMER SENIOR FLOATING RATE CL BUY 0 Units @0.0000	0.00	6.53	0.00	6.53	30.0	1.96
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	21.29	0.00	21.29	30.0	6.39



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<u> BROKER: RONALD CIOTOLI (continued) </u>								
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 00100013223	OPPENHEIMER SENIOR FLOATING RATE FU BUY 0 Units @0.0000	0.00	10.12	0.00	10.12	30.0	3.04
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02306352283	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	48.33	0.00	48.33	30.0	14.50
12/31/2017	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02306960198	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	9.55	0.00	9.55	30.0	2.87
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 05550672298	PORT SERIES: EQUITY INV FD A BUY 0 Units @0.0000	0.00	20.93	0.00	20.93	30.0	6.28
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 05560094699	PORT SERIES: EQUITY INV FD B BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	30.0	0.38
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	9.66	0.00	9.66	30.0	2.90
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 08380094006	QUEST CAPITAL VALUE FUND, INC. N BUY 0 Units @0.0000	0.00	4.24	0.00	4.24	30.0	1.27
12/31/2017	***-**-850I - BERG, SCOTT ACCOUNT #: 02253463944	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	11.02	0.00	11.02	30.0	3.31
12/31/2017	***-**-832I - FLETCHER, JAMES ACCOUNT #: 02253984684	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	22.53	0.00	22.53	30.0	6.76
12/31/2017	***-**-354I - MASTRONARDI, ACCOUNT #: 02254007586	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	7.12	0.00	7.12	30.0	2.14
12/31/2017	***-**-753I - BRYAN, DEBRA ACCOUNT #: 02254472149	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.13	0.00	5.13	30.0	1.54
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 02350125422	RISING DIVIDENDS FUND, INC. N BUY 0 Units @0.0000	0.00	8.79	0.00	8.79	30.0	2.64
12/31/2017	***-**-717I - EWING, JOSEPH ACCOUNT #: 02350125422	RISING DIVIDENDS FUND, INC. N BUY 0 Units @0.0000	0.00	5.23	0.00	5.23	30.0	1.57
12/31/2017	***-**-354I - MASTRONARDI, ACCOUNT #: 03652709938	ROCHESTER FUND MUNICIPALS A BUY 0 Units @0.0000	0.00	11.58	0.00	11.58	30.0	3.47
12/31/2017	***-**-258I - JOHNSON, ACCOUNT #: 06000784399	SELECT VALUE FUND A BUY 0 Units @0.0000	0.00	29.78	0.00	29.78	30.0	8.93
12/31/2017	***-**-793I - CIOTOLI, LOUIS ACCOUNT #: 02530273031	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	98.48	0.00	98.48	30.0	29.54



COMMISSION STATEMENT

January 15, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
12/31/2017	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	29.27	0.00	29.27	30.0	8.78
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
12/31/2017	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	8.20	0.00	8.20	30.0	2.46
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
01/05/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	30.0	1.14
	ACCOUNT #: 00067346436	BUY 8 Units @24.2700						
01/05/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	30.0	0.34
	ACCOUNT #: 00067346436	BUY 2 Units @24.2700						
* RONALD CIOTOLI SUBTOTAL *			<u>\$547.00</u>	<u>\$966.83</u>	<u>\$0.00</u>	<u>\$966.83</u>		<u>\$290.09</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$1,612.00</u>	<u>\$1,239.15</u>	<u>\$0.00</u>	<u>\$1,239.15</u>		<u>\$330.98</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$17.33
Split Transactions	\$0.00
Override Transactions	\$330.98
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$348.31
Year-to-date Earnings	\$949.52