



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

WILLIAM WALSH
2565 West Maple

BROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/11/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	30.55	0.00	30.55	0.0	0.00
08/11/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.23	0.00	3.23	0.0	0.00
08/09/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.59	0.00	6.59	0.0	0.00
08/09/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.73	0.00	1.73	0.0	0.00
08/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	11.49	0.00	11.49	0.0	0.00
08/09/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	43.54	0.00	43.54	0.0	0.00
08/09/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.00
08/09/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.14	0.00	1.14	0.0	0.00
08/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.11	0.00	14.11	0.0	0.00
08/09/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.99	0.00	34.99	0.0	0.00
08/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	79.77	0.00	79.77	0.0	0.00
08/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.69	0.00	14.69	0.0	0.00
08/09/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.44	0.00	13.44	0.0	0.00
08/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.30	0.00	41.30	0.0	0.00
08/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.89	0.00	15.89	0.0	0.00
08/09/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.33	0.00	4.33	0.0	0.00
08/09/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.38	0.00	0.38	0.0	0.00
08/09/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.69	0.00	4.69	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	5.00	0.00	5.00	0.0	0.00
08/11/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.35	0.00	9.35	0.0	0.00
08/09/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.11	0.00	1.11	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	13.05	0.00	13.05	0.0	0.00
08/09/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.07	0.00	1.07	0.0	0.00
08/09/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	10.29	0.00	10.29	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/09/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.30	0.00	1.30	0.0	0.00
08/09/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	14.03	0.00	14.03	0.0	0.00
08/09/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.44	0.00	2.44	0.0	0.00
08/09/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	13.43	0.00	13.43	0.0	0.00
08/09/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	21.04	0.00	21.04	0.0	0.00
08/09/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.84	0.00	1.84	0.0	0.00
08/08/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.39	0.00	7.39	0.0	0.00
08/09/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	45.47	0.00	45.47	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	8.11	0.00	8.11	0.0	0.00
08/09/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.09	0.00	1.09	0.0	0.00
08/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.71	0.00	4.71	0.0	0.00
08/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.65	0.00	5.65	0.0	0.00
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.82	0.00	1.82	0.0	0.00
08/09/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.18	0.00	8.18	0.0	0.00
08/09/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.45	0.00	0.45	0.0	0.00
08/09/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	26.41	0.00	26.41	0.0	0.00
08/09/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	54.08	0.00	54.08	0.0	0.00
08/09/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.50	0.00	10.50	0.0	0.00
08/09/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.59	0.00	10.59	0.0	0.00
08/09/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.10	0.00	1.10	0.0	0.00
08/09/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.69	0.00	1.69	0.0	0.00
08/09/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	15.11	0.00	15.11	0.0	0.00
08/09/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.31	0.00	0.31	0.0	0.00
08/09/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	6.24	0.00	6.24	0.0	0.00
08/09/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.56	0.00	7.56	0.0	0.00
08/09/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	9.22	0.00	9.22	0.0	0.00
08/09/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.28	0.00	14.28	0.0	0.00
08/09/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.51	0.00	0.51	0.0	0.00
08/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	0.0	0.00
08/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	0.0	0.00
08/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.17	0.00	1.17	0.0	0.00
08/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.23	0.00	0.23	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$658.88	\$0.00	\$658.88		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/05/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,947,688.41	876.23	0.00	876.23	0.0	0.00
09/05/2017	MCCLAIN,SMITH & WENZ	GWL GRP ANNUITY 401K	1,309,530.07	556.10	0.00	556.10	0.0	0.00
09/05/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	858,252.51	364.46	0.00	364.46	0.0	0.00
09/05/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	435,683.35	185.02	0.00	185.02	0.0	0.00
09/05/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	533,256.34	226.45	0.00	226.45	0.0	0.00
09/05/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	355,962.08	151.16	0.00	151.16	0.0	0.00
09/05/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,382,452.86	587.07	0.00	587.07	0.0	0.00
09/05/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	162,691.71	69.09	0.00	69.09	0.0	0.00
09/05/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,753,224.72	744.52	0.00	744.52	0.0	0.00
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	1,020,536.05	223.68	0.00	223.68	0.0	0.00
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,137,701.67	124.68	0.00	124.68	0.0	0.00
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,181,853.74	239.11	0.00	239.11	0.0	0.00
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,916,505.28	639.23	0.00	639.23	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,724,024.87	377.87	0.00	377.87	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	595,280.08	130.47	0.00	130.47	0.0	0.00
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	51,310.21	11.25	0.00	11.25	0.0	0.00
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,405.62	3.16	0.00	3.16	0.0	0.00
/ /	COMMUNITY HOUSE	NWL POOLED FXD	348.32	0.08	0.00	0.08	0.0	0.00
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	484,568.10	127.45	0.00	127.45	0.0	0.00
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	50,233.38	13.21	0.00	13.21	0.0	0.00
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	93,085.70	20.40	0.00	20.40	0.0	0.00
08/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	583.09	0.00	583.09	0.0	0.00
08/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	66.77	0.00	66.77	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$20,008,595.07	\$6,320.55	\$0.00	\$6,320.55		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/31/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.62	0.00	12.62	0.0	0.00
08/31/2017	EVSSON EMP RET PLAN	MUTUAL OF OMAHA INS CO	0.00	154.32	0.00	154.32	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$166.94	\$0.00	\$166.94		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$20,008,595.07	\$7,146.37	\$0.00	\$7,146.37		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$106,659.92
Year-to-date Earnings	\$83,782.97