

STATEMENT

February 20, 2018

STEVE DAVENPORT
204-B E Washington St
SUITE 620
Glasgow, KY 42141

BROKER #: 051
BRANCH:

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	164,500.00	50.00	90.0	0.00	45.00	18.00	27.00
12/29/2017 #	BASIL, DANNY	DEERE & CO	157,500.00	40.00	90.0	0.00	36.00	18.00	18.00
01/02/2018 #	BRUTON, WENDELL	ALIBABA GROUP HOLDING LTD SPONSORED	54,017.04	50.00	90.0	0.00	45.00	18.00	27.00
01/03/2018 #	UST, THE PERKINS FAM	FORD MOTOR CO	12,782.60	50.00	90.0	0.00	45.00	18.00	27.00
01/05/2018 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	162,500.00	50.00	90.0	0.00	45.00	18.00	27.00
01/08/2018 #	DAVENPORT, JAMES	RH	93,100.00	50.00	90.0	0.00	45.00	18.00	27.00
01/11/2018 #	UST, THE PERKINS FAM	RANGE RESOURCES CORP	8,711.50	25.00	90.0	0.00	22.50	18.00	4.50
01/11/2018 #	UST, THE PERKINS FAM	UNDER ARMOUR INC CL A	4,657.50	25.00	90.0	0.00	22.50	18.00	4.50
01/11/2018 #	UST, THE PERKINS FAM	UNDER ARMOUR INC CLASS C COMMON STO	4,361.18	25.00	90.0	0.00	22.50	18.00	4.50
01/11/2018 #	UST, THE PERKINS FAM	WALGREEN BOOTS ALLIANCE INC COM	37,618.00	25.00	90.0	0.00	22.50	18.00	4.50
01/16/2018 #	DAVENPORT, JAMES	RH	96,000.00	50.00	90.0	0.00	45.00	18.00	27.00
01/17/2018 #	DAVENPORT, JAMES	RH	93,500.00	50.00	90.0	0.00	45.00	18.00	27.00
01/18/2018 #	UST, THE PERKINS FAM	UNITED STATES STL CORP NEW	9,300.00	40.00	90.0	0.00	36.00	18.00	18.00
01/19/2018 #	UST, THE PERKINS FAM	BANK OF AMERICA CORP	27,000.00	200.00	90.0	0.00	180.00	18.00	162.00
01/19/2018 #	BASIL, DANNY	DEERE & CO	85,000.00	40.00	90.0	0.00	36.00	18.00	18.00
01/19/2018 #	UST, THE PERKINS FAM	ISHARES TRUST MSCI EMERGING	50,250.00	40.00	90.0	0.00	36.00	18.00	18.00
01/19/2018 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	51,000.00	50.00	90.0	0.00	45.00	18.00	27.00
01/19/2018 #	UST, THE PERKINS FAM	UNITED STATES STL CORP NEW	11,700.00	40.00	90.0	0.00	36.00	18.00	18.00
01/22/2018 #	BAILEY, JAMES	GENERAL ELECTRIC CO	9,647.94	75.00	90.0	0.00	67.50	18.00	49.50
01/23/2018 #	UST, THE PERKINS FAM	BROADCOM LIMITED SHS	52,469.00	50.00	90.0	0.00	45.00	18.00	27.00
01/23/2018 #	BASIL, DANNY	DEERE & CO	84,500.00	40.00	90.0	0.00	36.00	18.00	18.00
01/24/2018 #	BRUTON, WENDELL	BIOGEN IDEC INC	104,396.70	50.00	90.0	0.00	45.00	18.00	27.00
01/24/2018 #	BRUTON, WENDELL	CELGENE CORP	31,168.08	50.00	90.0	0.00	45.00	18.00	27.00
01/24/2018 #	DAVENPORT, JAMES	RH	101,400.00	50.00	90.0	0.00	45.00	18.00	27.00
01/24/2018 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	53,400.00	50.00	90.0	0.00	45.00	18.00	27.00
01/25/2018 #	BRUTON, WENDELL	BIOGEN IDEC INC	106,025.25	50.00	90.0	0.00	45.00	18.00	27.00
01/25/2018 #	BASIL, DANNY	CELGENE CORP	51,009.80	50.00	90.0	0.00	45.00	18.00	27.00
01/25/2018 #	DAVENPORT, JAMES	RH	101,500.00	50.00	90.0	0.00	45.00	18.00	27.00

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
01/25/2018 #	DAVENPORT, JAMES	SAGE THERAPEUTICS INC	54,000.00	50.00	90.0	0.00	45.00	18.00	27.00
01/25/2018 #	BRUTON, WENDELL	WHIRLPOOL CORP	111,814.32	50.00	90.0	0.00	45.00	18.00	27.00
01/26/2018 #	BASIL, DANNY	CELGENE CORP	51,500.00	50.00	90.0	0.00	45.00	18.00	27.00
01/26/2018 #	BRUTON, WENDELL	WYNN RESORTS LTD	56,687.76	50.00	90.0	0.00	45.00	18.00	27.00
01/29/2018 #	UST, THE PERKINS FAM	INTEL CORP	24,791.40	50.00	90.0	0.00	45.00	18.00	27.00
* STOCKS SUBTOTAL *			\$2,117,808.07	\$1,665.00		\$0.00	\$1,498.50	\$594.00	\$904.50
PRODUCT CATEGORY: BONDS									
01/02/2018 #	BAILEY, JAMES	GENWORTH FINL INC SR NT	10,050.00	200.00	90.0	0.00	180.00	25.00	155.00
01/03/2018 #	PEDIGO, BILLY	GENWORTH FINL INC SR NT	5,025.00	100.00	90.0	0.00	90.00	25.00	65.00
* BONDS SUBTOTAL *			\$15,075.00	\$300.00		\$0.00	\$270.00	\$50.00	\$220.00
PRODUCT CATEGORY: OPTIONS									
01/02/2018 #	DAVENPORT, JAMES	CALL X 01/05/18 36	1,400.00	75.00	90.0	0.00	67.50	43.00	24.50
01/02/2018 #	UST, THE PERKINS FAM	PUT DE 01/12/18 148	875.00	50.00	90.0	0.00	45.00	24.25	20.75
01/02/2018 #	BASIL, DANNY	PUT DE 12/22/17 152.50	520.00	50.00	90.0	0.00	45.00	24.25	20.75
01/02/2018 #	DAVENPORT, JAMES	PUT SAGE 12/29/17 162.50	3,000.00	50.00	90.0	0.00	45.00	30.50	14.50
01/03/2018 #	CAUDEL, CHARLES	CALL GE 03/16/18 19	250.00	50.00	90.0	0.00	45.00	24.25	20.75
01/03/2018 #	DAVENPORT, JAMES	PUT X 01/05/18 36	500.00	75.00	90.0	0.00	67.50	43.00	24.50
01/04/2018 #	UST, THE PERKINS FAM	CALL PYPL 01/19/18 80	840.00	75.00	90.0	0.00	67.50	36.75	30.75
01/05/2018 #	DAVENPORT, JAMES	CALL X 01/05/18 36	3,500.00	75.00	90.0	0.00	67.50	43.00	24.50
01/05/2018 #	BASIL, DANNY	PUT DE 12/22/17 152.50	750.00	40.00	90.0	0.00	36.00	24.25	11.75
01/05/2018 #	DAVENPORT, JAMES	PUT SPXW 01/12/18 2735	7,500.00	50.00	90.0	0.00	45.00	30.50	14.50
01/08/2018 #	DAVENPORT, JAMES	CALL X 01/12/18 39	1,625.00	100.00	90.0	0.00	90.00	49.25	40.75
01/08/2018 #	BASIL, DANNY	PUT DE 01/12/18 148	625.00	50.00	90.0	0.00	45.00	24.25	20.75
01/08/2018 #	DAVENPORT, JAMES	PUT RH 01/12/18 91	1,500.00	50.00	90.0	0.00	45.00	30.50	14.50
01/08/2018 #	DAVENPORT, JAMES	PUT SPXW 01/12/18 2735	6,000.00	50.00	90.0	0.00	45.00	30.50	14.50
01/09/2018 #	DAVENPORT, JAMES	PUT X 01/12/18 38	625.00	75.00	90.0	0.00	67.50	49.25	18.25
01/11/2018 #	DAVENPORT, JAMES	PUT RH 01/12/18 93	600.00	50.00	90.0	0.00	45.00	30.50	14.50
01/12/2018 #	DAVENPORT, JAMES	CALL X 01/12/18 39	500.00	75.00	90.0	0.00	67.50	49.25	18.25
01/12/2018 #	BASIL, DANNY	PUT DE 01/12/18 148	5,000.00	40.00	90.0	0.00	36.00	24.25	11.75
01/16/2018 #	BASIL, DANNY	CALL DE 01/19/18 170	690.00	50.00	90.0	0.00	45.00	24.25	20.75
01/16/2018 #	BASIL, DANNY	PUT DE 01/19/18 165	375.00	50.00	90.0	0.00	45.00	24.25	20.75
01/16/2018 #	UST, THE PERKINS FAM	PUT DE 01/26/18 167.50	825.00	50.00	90.0	0.00	45.00	24.25	20.75
01/17/2018 #	DAVENPORT, JAMES	CALL SAGE 01/19/18 170	1,746.00	50.00	90.0	0.00	45.00	25.50	19.50
01/17/2018 #	DAVENPORT, JAMES	PUT RH 01/19/18 91.50	1,200.00	50.00	90.0	0.00	45.00	30.50	14.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
01/19/2018 #	UST, THE PERKINS FAM	CALL X	01/19/18 31	21,600.00	75.00	90.0	0.00	67.50	15.75
01/24/2018 #	SIMPSON, TOMMY	PUT TSLA	01/18/19 250	8,601.00	50.00	90.0	0.00	45.00	20.75
01/25/2018 #	BASIL, DANNY	CALL CELG	01/26/18 103	375.00	40.00	90.0	0.00	36.00	11.75
01/25/2018 #	DAVENPORT, JAMES	PUT SAGE	12/29/17 162.50	300.00	50.00	90.0	0.00	45.00	23.25
01/29/2018 #	BASIL, DANNY	PUT CELG	02/02/18 105	912.00	50.00	90.0	0.00	45.00	19.50
01/29/2018 #	UST, THE PERKINS FAM	PUT DE	02/09/18 170	895.00	50.00	90.0	0.00	45.00	20.75
* OPTIONS SUBTOTAL *				\$73,129.00	\$1,645.00	\$0.00	\$1,480.50	\$912.00	\$568.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	2.86	90.0	0.00	2.57	0.00	2.57
01/10/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	3.72	90.0	0.00	3.35	0.00	3.35
01/10/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	4.42	90.0	0.00	3.98	0.00	3.98
01/10/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	5.72	90.0	0.00	5.15	0.00	5.15
01/10/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	5.26	90.0	0.00	4.73	0.00	4.73
01/10/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	6.95	90.0	0.00	6.26	0.00	6.26
01/10/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	6.39	90.0	0.00	5.75	0.00	5.75
01/10/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	8.74	90.0	0.00	7.87	0.00	7.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$44.06	\$0.00	\$39.66	\$0.00	\$39.66
*** BROKER TRANSACTIONS TOTAL ***				\$2,206,012.07	\$3,654.06	\$0.00	\$3,288.66	\$1,556.00	\$1,732.66

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE	-289.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
02/20/2018	QUOTES: QUOTES	-132.00
02/20/2018	CHARGE BACK: CHARGE BACK TRADE	40.00
	CREDIT	

***** ADJUSTMENTS TOTAL *******\$-630.00**

BROKER COMMISSION TOTALS

Broker Transactions	\$1,732.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-630.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,102.66
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