



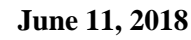
COMMISSION STATEMENT

June 11, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/29/2018	***-**-228I - EMMA, JACQUELINE	37252M108	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00551461545	BUY 5 Units @21.1900						
05/29/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00083468095	BUY 2 Units @28.6700						
06/01/2018	***-**-6802 - NAKANO, AMELIA	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00082853395	BUY 2 Units @28.6700						
06/01/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000460402	BUY 2 Units @28.9000						
06/01/2018	***-**-9634 - STREIT, TERRY	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000460394	BUY 2 Units @28.9000						
06/01/2018	***-**-1748 - LOMBARDO, JAMES	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00087068370	BUY 3 Units @28.9000						
05/21/2018	***-**-5506 - LOMBARDO, LEIGH	INVESCO AMERICAN FRANCHISE FUND -CL	500.00	20.00	0.00	20.00	80.0	16.00
	ACCOUNT #: 03809219276	BUY 22 Units @22.5000						
05/29/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.1200						
06/01/2018	***-**-3040 - KOTARY, BRANDY	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087642268	BUY 4 Units @13.0300						
06/01/2018	***-**-6802 - NAKANO, AMELIA	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00082853395	BUY 4 Units @13.0300						
05/21/2018	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	100.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 03809219276	BUY 14 Units @7.3400						
05/29/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @47.7900						
* MUTUAL FUNDS SUBTOTAL *			\$1,250.00	\$51.69	\$0.00	\$51.69		\$41.35
<u>PRODUCT CATEGORY: 529 PLAN</u>								
06/11/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$0.00	\$0.27	\$0.00	\$0.27		\$0.22
*** BROKER TRANSACTIONS TOTAL ***			\$1,250.00	\$51.96	\$0.00	\$51.96		\$41.57



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$41.57
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$38.04
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$79.61
					Year-to-date Earnings			\$2,902.06