

**COMMISSION STATEMENT****December 15, 2017**

JOHN KLETT
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K142
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	-3,000.00	0.00	0.00	0.00	75.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-3,000.00	\$0.00	\$0.00	\$0.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-3,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/22/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.68	0.00	7.68	75.0	5.76
11/16/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	4.08	0.00	4.08	75.0	3.06
11/16/2017	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.17	0.00	6.17	75.0	4.63
11/20/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.12	0.00	5.12	75.0	3.84
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$23.05	\$0.00	\$23.05		\$17.29
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$23.05	\$0.00	\$23.05		\$17.29
<u>ADJUSTMENTS</u>								
12/15/2017		*EO INS: CURRENT MONTH	0.00					-300.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$17.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,041.25
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,598.96
Year-to-date Gross	\$365.05
Year-to-date Earnings	\$184.67