

COMMISSION STATEMENT

July 31, 2017

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #: 6
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/03/2017	QGW001307	SMALL, WAYNE	SYMANTEC CORPORATION	B	5,661.32	164.00	11.00	153.00	85.0	130.05
06/28/2017	QGW001323	KNUDSON, BENJAMIN	ALPS ETF TR EMERGING SECTOR DIVID D B		5,914.95	171.00	11.25	159.75	85.0	135.79
06/28/2017	QGW001323	KNUDSON, BENJAMIN	CENTURYTEL INC	B	5,987.16	174.00	11.20	162.80	85.0	138.38
06/28/2017	QGW001323	KNUDSON, BENJAMIN	OCCIDENTAL PETE CORP	B	5,986.65	174.00	10.50	163.50	85.0	138.98
07/12/2017	QGW001323	KNUDSON, BENJAMIN	GAMCO NATURAL RESOURCES GOLD & INCO B		6,869.10	198.00	15.00	183.00	85.0	155.55
06/28/2017	QGW001604	HERZOG, CHRISTOPHER	LAS VEGAS SANDS CORP	S	-6,496.21	169.00	10.50	158.50	85.0	134.73
07/03/2017	QGW018095	GRAMPP, RAYMOND	SYMANTEC CORPORATION	B	5,641.32	164.00	11.00	153.00	85.0	130.05
07/10/2017	QGW018475	JOHNSON, KENNETH	ALPS ETF TR EMERGING SECTOR DIVID D B		3,049.55	88.00	10.65	77.35	85.0	65.75
06/29/2017	QGW018483	KNUDSON, BENJAMIN	SYMANTEC CORPORATION	B	5,607.76	162.00	11.00	151.00	85.0	128.35
07/17/2017	QGW018483	KNUDSON, BENJAMIN	VERIFONE SYS INC COM	S	-6,948.73	180.00	11.75	168.25	85.0	143.01
07/12/2017	QGW018525	WAYMEL, RAYMOND	TARGET CORP	B	10,187.30	295.00	11.00	284.00	85.0	241.40
07/17/2017	QGW018525	WAYMEL, RAYMOND	VERIFONE SYS INC COM	S	-15,855.76	390.00	14.00	376.00	85.0	319.60
07/19/2017	QGW018525	WAYMEL, RAYMOND	INTERNATIONAL BUSINESS MACHINES COR B		14,736.60	427.00	10.50	416.50	85.0	354.03
06/29/2017	QGW018541	LUNCEFORD, WENDELL	SYMANTEC CORPORATION	B	8,423.40	243.00	11.50	231.50	85.0	196.78
07/12/2017	QGW018541	LUNCEFORD, WENDELL	GAMCO NATURAL RESOURCES GOLD & INCO B		6,833.70	197.00	15.00	182.00	85.0	154.70
07/12/2017	QGW018541	LUNCEFORD, WENDELL	TARGET CORP	B	10,193.30	295.00	11.00	284.00	85.0	241.40
07/18/2017	QGW018541	LUNCEFORD, WENDELL	LASALLE HOTEL PTYS COM SH BEN INT B		9,217.50	268.00	11.50	256.50	85.0	218.03
07/18/2017	QGW018574	STEPANEK, CATHERINE	AT&T INC	B	3,635.65	91.00	10.50	80.50	85.0	68.43
07/17/2017	QGW018582	STEPANEK, DENNIS	AT&T INC	B	5,452.13	136.00	10.75	125.25	85.0	106.46
07/26/2017	QGW018616	CONARD, VICKI	EATON VANCE ENHANCED EQUITY INCOME S		-6,042.08	157.00	12.00	145.00	85.0	123.25
06/29/2017	QGW018624	BRYANT, RONALD	APOLLO COMMERCIAL REAL ESTATE FINAN B		9,214.65	267.00	12.50	254.50	85.0	216.33
07/17/2017	QGW018624	BRYANT, RONALD	LASALLE HOTEL PTYS COM SH BEN INT B		6,157.38	178.00	11.00	167.00	85.0	141.95
06/29/2017	QGW018632	ROHDE, JEFFREY	CENTURYTEL INC	B	4,813.74	139.00	11.00	128.00	85.0	108.80
07/19/2017	QGW018632	ROHDE, JEFFREY	INTERNATIONAL BUSINESS MACHINES COR B		5,890.24	171.00	10.20	160.80	85.0	136.68
07/05/2017	QGW018657	KNAUF, STEPHEN	SYMANTEC CORPORATION	B	5,521.32	160.00	11.00	149.00	85.0	126.65
06/29/2017	QGW018673	DEKKER, KATHIE	CENTURYTEL INC	B	3,613.31	105.00	10.75	94.25	85.0	80.11
07/26/2017	QGW018798	SENTZ, ROBERT	EATON VANCE ENHANCED EQUITY INCOME S		-6,046.72	121.00	12.00	109.00	85.0	92.65
07/05/2017	QGW018830	PENNINGTON, CHARLES	SYMANTEC CORPORATION	B	4,151.49	120.00	10.75	109.25	85.0	92.86
07/17/2017	QGW018913	NUTT, RENEE	LASALLE HOTEL PTYS COM SH BEN INT B		4,621.40	134.00	10.75	123.25	85.0	104.76
07/17/2017	QGW018913	NUTT, RENEE	OCCIDENTAL PETE CORP	B	4,804.40	139.00	10.40	128.60	85.0	109.31
07/24/2017	QGW019044	FOUTS, DAVID	APOLLO COMMERCIAL REAL ESTATE FINAN B		5,006.90	100.00	11.38	88.62	85.0	75.33

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
07/24/2017	QGW019044	FOUTS, DAVID		INVESCO MORTGAGE CAPITAL INC COM	S	-5,028.69	101.00	11.50	89.50 85.0 76.08
06/29/2017	QGW019051	VERTREES, TRACY		ALPS ETF TR EMERGING SECTOR DIVID D B		5,388.07	156.00	11.15	144.85 85.0 123.12
06/29/2017	QGW019051	VERTREES, TRACY		ISHARES MSCI BRAZIL CAPPED ETF	S	-2,015.41	65.00	10.30	54.70 85.0 46.50
06/29/2017	QGW019051	VERTREES, TRACY		ISHARES MSCI SOUTH KOREA CAPPED ETF S		-3,687.76	95.00	10.28	84.72 85.0 72.01
06/28/2017	QGW019119	HAECKER, GREG		OCCIDENTAL PETE CORP	B	5,987.65	174.00	10.50	163.50 85.0 138.98
07/19/2017	QGW019119	HAECKER, GREG		INTERNATIONAL BUSINESS MACHINES COR B		7,375.41	214.00	10.25	203.75 85.0 173.19
06/28/2017	QGW019127	CUSTODIAN, GREG		ABBVIE INC	S	-2,193.96	50.00	10.15	39.85 85.0 33.87
06/28/2017	QGW019135	CUSTODIAN, GREG		ABBVIE INC	S	-2,193.96	50.00	10.15	39.85 85.0 33.87
07/06/2017	QGW019259	MISHRA, AWADESH		ANADARKO PETROLEUM CORP	S	-4,473.00	75.00	10.50	64.50 85.0 54.83
07/06/2017	QGW019259	MISHRA, AWADESH		GOLDCORP INC	S	-6,371.15	96.00	12.50	83.50 85.0 70.98
07/06/2017	QGW019259	MISHRA, AWADESH		LOWES COMPANIES INC	S	-11,566.50	174.00	10.75	163.25 85.0 138.76
07/10/2017	QGW019325	BELLMAN, ALICIA		ALPS ETF TR EMERGING SECTOR DIVID D B		1,642.89	60.00	10.35	49.65 85.0 42.20
07/10/2017	QGW019325	BELLMAN, ALICIA		ISHARES MSCI BRAZIL CAPPED ETF	S	-1,551.22	60.00	10.23	49.77 85.0 42.30
07/18/2017	QGW019382	BENNETT, MARK		LASALLE HOTEL PPTYS COM SH BEN INT B		4,575.00	114.00	10.75	103.25 85.0 87.76
07/26/2017	QGW019382	BENNETT, MARK		EATON VANCE ENHANCED EQUITY INCOME S		-6,044.08	151.00	12.00	139.00 85.0 118.15
07/17/2017	QGW019457	HOLT, RUSSELL		OCCIDENTAL PETE CORP	B	2,719.80	80.00	10.23	69.77 85.0 59.30
06/29/2017	QGW024747	WAYMEL, RAYMOND		SYMANTEC CORPORATION	B	14,034.95	407.00	12.50	394.50 85.0 335.33
07/26/2017	QGW024754	KNUDSON, SUSAN		EATON VANCE ENHANCED EQUITY INCOME S		-9,052.08	235.00	13.00	222.00 85.0 188.70
06/28/2017	QGW024770	HOBGOOD, RUTH		ALPS ETF TR EMERGING SECTOR DIVID D B		7,112.55	184.00	11.50	172.50 85.0 146.63
07/10/2017	QGW024960	ABLES, BRIAN		SYMANTEC CORPORATION	B	2,846.50	82.00	10.50	71.50 85.0 60.78
07/26/2017	QGW024986	PENNINGTON, CHARLES		EATON VANCE ENHANCED EQUITY INCOME S		-6,036.04	156.00	12.00	144.00 85.0 122.40
07/17/2017	QGW025082	LEITI, KEVIN		VERIFONE SYS INC COM	S	-1,981.70	65.00	10.50	54.50 85.0 46.33
07/25/2017	QGW025454	HOFFMAN, PATRICIA		INTERNATIONAL BUSINESS MACHINES COR B		4,407.70	127.00	10.15	116.85 85.0 99.32
07/03/2017	QGW025942	MCEVOY JT TEN,		OCCIDENTAL PETE CORP	B	6,111.77	177.00	10.50	166.50 85.0 141.53
07/12/2017	QGW025942	MCEVOY JT TEN,		GAMCO NATURAL RESOURCES GOLD & INCO B		2,733.76	79.00	12.00	67.00 85.0 56.95
07/18/2017	QGW030686	LUNCEFORD, JOHN		LASALLE HOTEL PPTYS COM SH BEN INT B		4,588.04	133.00	10.75	122.25 85.0 103.91
07/26/2017	QGW030694	ABLES, FRANCES		EATON VANCE ENHANCED EQUITY INCOME S		-6,045.92	157.00	12.00	145.00 85.0 123.25
07/25/2017	QGW031296	PESETTI, KYLIE		OCCIDENTAL PETE CORP	B	1,507.33	60.00	10.13	49.87 85.0 42.39
07/03/2017	QGW031692	BARFIELD, BARBARA		WELLTOWER INC COM	S	-7,523.75	198.00	10.50	187.50 85.0 159.38
07/17/2017	QGW031700	BARFIELD, BARBARA		VERIFONE SYS INC COM	S	-3,966.70	103.00	11.00	92.00 85.0 78.20
* STOCKS SUBTOTAL *					\$117,102.22	\$9,655.00	\$681.95	\$8,973.05	\$7,627.15
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/14/2017	QGW018491	HOBGOOD, ROBIN		BOND A	B	0.00	2.99	0.00	2.99 85.0 2.54
07/14/2017	QGW018574	STEPANEK, CATHERINE		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	5.59	0.00	5.59 85.0 4.75
07/14/2017	QGW018798	SENTZ, ROBERT		LARGE CAP EQUITY A	B	0.00	0.13	0.00	0.13 85.0 0.11
07/14/2017	QGW019150	RICHARDSON, MARY		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	0.08	0.00	0.08 85.0 0.07
07/18/2017	QGW024838	STEPANEK, DENNIS		JP MORGAN LIMITED DURATION BOND FUN B		0.00	19.26	0.00	19.26 85.0 16.37
07/14/2017	QGW024879	DERTOUZOS, JAMES		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	2.54	0.00	2.54 85.0 2.16
07/14/2017	QGW024879	DERTOUZOS, JAMES		REGIONAL BANK A	B	0.00	2.12	0.00	2.12 85.0 1.80

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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$32.71	\$0.00	\$32.71	\$27.80
*** BROKER TRANSACTIONS TOTAL ***	\$117,102.22	\$9,687.71	\$681.95	\$9,005.76	\$7,654.95

ADJUSTMENTS

07/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
07/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-35.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,654.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-35.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.11
SIPC Assessment	\$-24.22

Check Amount	\$7,583.62
Year-to-date Earnings	\$11,873.65