



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2017**

06/13/2017  
 Page No. 1

JAMES MAHER  
 3082 Quail Ridge Circle

BROKER #: M127  
 BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
05/22/2017	URSO, DOREEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.31	0.00	20.31	90.0	18.28
05/22/2017	BROWN, MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.31	0.00	20.31	90.0	18.28
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$40.62	\$0.00	\$40.62		\$36.56
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	0.00	9.24	0.00	9.24	90.0	8.32
06/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	0.00	9.24	0.00	9.24	90.0	8.32
05/01/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	2,617.20	20.00	0.00	20.00	90.0	18.00
05/09/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.70	0.00	1.70	90.0	1.53
05/09/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	0.53	0.00	0.53	90.0	0.48
05/09/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.51	0.00	6.51	90.0	5.86
05/09/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.39	0.00	1.39	90.0	1.25
05/09/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	21.02	0.00	21.02	90.0	18.92
05/09/2017	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	0.00	0.92	0.00	0.92	90.0	0.83
05/09/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.28	0.00	9.28	90.0	8.35
06/01/2017	WALSH, KIMBERLY	AM FUNDS AMERICAN BALANCED C	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2017	KALLEBERG, JUSTIN	AM FUNDS AMERICAN BALANCED C	0.00	2.66	0.00	2.66	90.0	2.39
06/01/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS AMERICAN BALANCED C	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS AMERICAN BALANCED C	0.00	1.99	0.00	1.99	90.0	1.79
05/09/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS AMERICAN MUTUAL A	0.00	10.35	0.00	10.35	90.0	9.32
05/09/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.31	0.00	4.31	90.0	3.88
05/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.16	0.00	2.16	90.0	1.94
05/09/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.80	0.00	2.80	90.0	2.52
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS BOND FUND OF AMERICA A	0.00	4.67	0.00	4.67	90.0	4.20

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
05/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
05/30/2017	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	312.50	3.13	0.00	3.13	90.0	2.82
06/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.60	0.00	6.60	90.0	5.94
06/01/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.60	0.00	6.60	90.0	5.94
06/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	18.25	0.00	18.25	90.0	16.43
06/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	21.84	0.00	21.84	90.0	19.66
06/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	21.84	0.00	21.84	90.0	19.66
06/01/2017	HOLMES, KAYLA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.48	0.00	10.48	90.0	9.43
06/01/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	20.16	0.00	20.16	90.0	18.14
06/01/2017	MARSHALL, DEMETRIA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	14.01	0.00	14.01	90.0	12.61
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.34	0.00	5.34	90.0	4.81
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.34	0.00	5.34	90.0	4.81
06/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	21.63	0.00	21.63	90.0	19.47
06/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	19.11	0.00	19.11	90.0	17.20
06/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	17.16	0.00	17.16	90.0	15.44
06/01/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	17.44	0.00	17.44	90.0	15.70
06/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	17.44	0.00	17.44	90.0	15.70
06/01/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.73	0.00	1.73	90.0	1.56
05/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.81	0.00	1.81	90.0	1.63
05/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.87	0.00	1.87	90.0	1.68
06/01/2017	PALMERI, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.47	0.00	1.47	90.0	1.32
05/08/2017	ZETTEL, ANTHONY	AM FUNDS CAPITAL INCOME BUILDER C	24,700.00	267.00	0.00	267.00	90.0	240.30
05/09/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.37	0.00	5.37	90.0	4.83
05/09/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.29	0.00	11.29	90.0	10.16
05/09/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.99	0.00	5.99	90.0	5.39
05/09/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.27	0.00	8.27	90.0	7.44

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.79	0.00	5.79	90.0	5.21
05/09/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	35.81	0.00	35.81	90.0	32.23
05/09/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.73	0.00	6.73	90.0	6.06
05/09/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.67	0.00	1.67	90.0	1.50
05/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.00	0.00	10.00	90.0	9.00
05/09/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.30	0.00	3.30	90.0	2.97
05/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.94	0.00	6.94	90.0	6.25
05/09/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.50	0.00	1.50	90.0	1.35
05/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.06	0.00	11.06	90.0	9.95
05/09/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.58	0.00	1.58	90.0	1.42
05/09/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.42	0.00	2.42	90.0	2.18
05/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.93	0.00	22.93	90.0	20.64
05/09/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.72	0.00	8.72	90.0	7.85
05/09/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.96	0.00	2.96	90.0	2.66
05/09/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.27	0.00	6.27	90.0	5.64
05/09/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.17	0.00	7.17	90.0	6.45
05/09/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.75	0.00	4.75	90.0	4.28
05/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.30	0.00	1.30	90.0	1.17
05/09/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.95	0.00	10.95	90.0	9.86
05/09/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.43	0.00	10.43	90.0	9.39
05/09/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.74	0.00	1.74	90.0	1.57
05/09/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.75	0.00	11.75	90.0	10.58
05/09/2017	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.61	0.00	11.61	90.0	10.45
05/09/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.23	0.00	9.23	90.0	8.31
05/09/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.90	0.00	8.90	90.0	8.01
05/09/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	130.21	0.00	130.21	90.0	117.19
05/30/2017	POUPORE, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	20,878.70	20.00	0.00	20.00	90.0	18.00
06/01/2017	WALSH, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.06	0.00	3.06	90.0	2.75
06/01/2017	KALLEBERG, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.38	0.00	2.38	90.0	2.14
06/01/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.94	0.00	1.94	90.0	1.75
06/01/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.94	0.00	1.94	90.0	1.75
05/09/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.98	0.00	0.98	90.0	0.88
05/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	5.85	0.00	5.85	90.0	5.27

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.06	0.00	1.06	90.0	0.95
05/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.19	0.00	1.19	90.0	1.07
05/09/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.76	0.00	1.76	90.0	1.58
05/09/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.92	0.00	0.92	90.0	0.83
05/09/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.73	0.00	1.73	90.0	1.56
05/09/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	WALSH, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.04	0.00	0.04	90.0	0.04
05/09/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	23.45	0.00	23.45	90.0	21.11
06/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	19.28	0.00	19.28	90.0	17.35
06/01/2017	CARLINO, GIANNI	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	19.28	0.00	19.28	90.0	17.35
06/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2017	MARSHALL, DEMETRIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.86	0.00	14.86	90.0	13.37
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.58	0.00	5.58	90.0	5.02
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.58	0.00	5.58	90.0	5.02
06/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	28.37	0.00	28.37	90.0	25.53
06/01/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	21.21	0.00	21.21	90.0	19.09
06/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.61	0.00	3.61	90.0	3.25
06/01/2017	JAMES, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.61	0.00	3.61	90.0	3.25
06/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	18.02	0.00	18.02	90.0	16.22
06/01/2017	SIMON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	18.02	0.00	18.02	90.0	16.22
05/09/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.64	0.00	0.64	90.0	0.58
05/09/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	90.0	0.14
05/09/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2017	PALMERI, MARIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.24	0.00	1.24	90.0	1.12
05/09/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	17.36	0.00	17.36	90.0	15.62
05/09/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.91	0.00	1.91	90.0	1.72

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	19.30	0.00	19.30	90.0	17.37
05/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	23.30	0.00	23.30	90.0	20.97
05/09/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	13.65	0.00	13.65	90.0	12.29
05/09/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.85	0.00	6.85	90.0	6.17
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.72	0.00	3.72	90.0	3.35
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.06	0.00	1.06	90.0	0.95
05/09/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.99	0.00	4.99	90.0	4.49
05/09/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.43	0.00	8.43	90.0	7.59
05/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.38	0.00	4.38	90.0	3.94
05/09/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.42	0.00	1.42	90.0	1.28
05/09/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	51.78	0.00	51.78	90.0	46.60
05/09/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.40	0.00	7.40	90.0	6.66
05/09/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.86	0.00	4.86	90.0	4.37
06/01/2017	WALSH, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2017	KALLEBERG, JUSTIN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	CARLINO, GIANNI	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	16.92	0.00	16.92	90.0	15.23
06/01/2017	CARLINO, GIANNI	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	16.92	0.00	16.92	90.0	15.23
06/01/2017	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	2.70	0.00	2.70	90.0	2.43
06/01/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	19.75	0.00	19.75	90.0	17.78
06/01/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	10.78	0.00	10.78	90.0	9.70
06/01/2017	MARSHALL, DEMETRIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	12.31	0.00	12.31	90.0	11.08
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.65	0.00	4.65	90.0	4.19
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.65	0.00	4.65	90.0	4.19
06/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	7.28	0.00	7.28	90.0	6.55
06/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	7.28	0.00	7.28	90.0	6.55
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.86	0.00	1.86	90.0	1.67
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.86	0.00	1.86	90.0	1.67
06/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	16.58	0.00	16.58	90.0	14.92
06/01/2017	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	3.38	0.00	3.38	90.0	3.04
06/01/2017	JAMES, ANGELA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	3.38	0.00	3.38	90.0	3.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	17.51	0.00	17.51	90.0	15.76
06/01/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	17.51	0.00	17.51	90.0	15.76
05/09/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.56	0.00	0.56	90.0	0.50
05/09/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.46	0.00	2.46	90.0	2.21
05/09/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.33	0.00	8.33	90.0	7.50
05/09/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.76	0.00	18.76	90.0	16.88
05/09/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.38	0.00	7.38	90.0	6.64
05/09/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.69	0.00	3.69	90.0	3.32
05/09/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.46	0.00	2.46	90.0	2.21
05/09/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	29.97	0.00	29.97	90.0	26.97
05/09/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.11	0.00	10.11	90.0	9.10
05/09/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	24.79	0.00	24.79	90.0	22.31
05/09/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.22	0.00	5.22	90.0	4.70
05/09/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.89	0.00	8.89	90.0	8.00
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.57	0.00	18.57	90.0	16.71
05/09/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.24	0.00	9.24	90.0	8.32
05/09/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.31	0.00	6.31	90.0	5.68
05/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.99	0.00	3.99	90.0	3.59
05/09/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.28	0.00	7.28	90.0	6.55
05/09/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.68	0.00	5.68	90.0	5.11
05/09/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.69	0.00	6.69	90.0	6.02
05/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.68	0.00	3.68	90.0	3.31
05/09/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.92	0.00	0.92	90.0	0.83
05/09/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.95	0.00	0.95	90.0	0.86
05/09/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.92	0.00	0.92	90.0	0.83
05/09/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	19.83	0.00	19.83	90.0	17.85
05/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	45.67	0.00	45.67	90.0	41.10
05/09/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.43	0.00	7.43	90.0	6.69
05/09/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.14	0.00	1.14	90.0	1.03
05/09/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.05	0.00	1.05	90.0	0.95
05/09/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.03	0.00	5.03	90.0	4.53
05/09/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.75	0.00	3.75	90.0	3.38

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
05/09/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.50	0.00	8.50	90.0	7.65
05/09/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.02	0.00	2.02	90.0	1.82
05/09/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.47	0.00	1.47	90.0	1.32
05/09/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.47	0.00	8.47	90.0	7.62
05/09/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.94	0.00	9.94	90.0	8.95
06/01/2017	WALSH, KIMBERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.93	0.00	1.93	90.0	1.74
05/09/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.53	0.00	2.53	90.0	2.28
05/09/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.08	0.00	3.08	90.0	2.77
05/09/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.77	0.00	0.77	90.0	0.69
05/19/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	12,952.77	20.00	0.00	20.00	90.0	18.00
06/01/2017	MOTOR CITY COMPUTER	AM FUNDS EUROPACIFIC GROWTH FUND-R2	0.00	121.23	0.00	121.23	90.0	109.11
05/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.68	0.00	1.68	90.0	1.51
05/09/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.93	0.00	1.93	90.0	1.74
05/09/2017	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	17.47	0.00	17.47	90.0	15.72
05/09/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	178.85	0.00	178.85	90.0	160.97
05/30/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	312.50	3.13	0.00	3.13	90.0	2.82
06/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.40	0.00	11.40	90.0	10.26
06/01/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.40	0.00	11.40	90.0	10.26
06/01/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	28.85	0.00	28.85	90.0	25.97
06/01/2017	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	31.42	0.00	31.42	90.0	28.28
06/01/2017	CARLINO, GIANNI	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	31.42	0.00	31.42	90.0	28.28
06/01/2017	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	15.93	0.00	15.93	90.0	14.34
06/01/2017	MARSHALL, DEMETRIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	19.99	0.00	19.99	90.0	17.99
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	32.92	0.00	32.92	90.0	29.63
06/01/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	32.92	0.00	32.92	90.0	29.63
06/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.82	0.00	9.82	90.0	8.84

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.82	0.00	9.82	90.0	8.84
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	29.35	0.00	29.35	90.0	26.42
06/01/2017	SCACCIA, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	26.06	0.00	26.06	90.0	23.45
06/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	19.03	0.00	19.03	90.0	17.13
06/01/2017	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.09	0.00	4.09	90.0	3.68
06/01/2017	JAMES, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.09	0.00	4.09	90.0	3.68
06/01/2017	PEVOS, DEBRA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.68	0.00	1.68	90.0	1.51
06/01/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	20.47	0.00	20.47	90.0	18.42
06/01/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	20.47	0.00	20.47	90.0	18.42
06/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.88	0.00	1.88	90.0	1.69
06/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
05/09/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.06	0.00	4.06	90.0	3.65
05/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.85	0.00	0.85	90.0	0.77
05/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.68	0.00	11.68	90.0	10.51
05/09/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.58	0.00	5.58	90.0	5.02
05/09/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.62	0.00	4.62	90.0	4.16
05/09/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.76	0.00	3.76	90.0	3.38
05/09/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.78	0.00	1.78	90.0	1.60
05/09/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.50	0.00	9.50	90.0	8.55
06/01/2017	PALMERI, MARIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.04	0.00	3.04	90.0	2.74
06/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.60	0.00	2.60	90.0	2.34
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.41	0.00	11.41	90.0	10.27
05/08/2017	ZETTEL, ANTHONY	AM FUNDS GROWTH FUND OF AMERICA C	25,000.00	270.00	0.00	270.00	90.0	243.00
05/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.28	0.00	15.28	90.0	13.75
05/09/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.39	0.00	10.39	90.0	9.35
05/09/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.09	0.00	5.09	90.0	4.58
05/09/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.76	0.00	27.76	90.0	24.98
05/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	83.77	0.00	83.77	90.0	75.39
05/09/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.80	0.00	3.80	90.0	3.42

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	43.02	0.00	43.02	90.0	38.72
05/09/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.70	0.00	10.70	90.0	9.63
05/09/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.36	0.00	4.36	90.0	3.92
05/09/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.31	0.00	7.31	90.0	6.58
05/09/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.28	0.00	16.28	90.0	14.65
05/09/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.56	0.00	26.56	90.0	23.90
05/09/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.09	0.00	27.09	90.0	24.38
05/09/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.22	0.00	13.22	90.0	11.90
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.53	0.00	8.53	90.0	7.68
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.08	0.00	15.08	90.0	13.57
05/09/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.17	0.00	10.17	90.0	9.15
05/09/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.75	0.00	3.75	90.0	3.38
05/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.82	0.00	4.82	90.0	4.34
05/09/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.20	0.00	14.20	90.0	12.78
05/09/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.46	0.00	11.46	90.0	10.31
05/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.36	0.00	4.36	90.0	3.92
05/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.51	0.00	2.51	90.0	2.26
05/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	95.13	0.00	95.13	90.0	85.62
05/09/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.60	0.00	11.60	90.0	10.44
05/09/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.43	0.00	5.43	90.0	4.89
05/09/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.55	0.00	13.55	90.0	12.20
05/09/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.56	0.00	8.56	90.0	7.70
05/09/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.12	0.00	10.12	90.0	9.11
05/09/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.37	0.00	12.37	90.0	11.13
05/09/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.08	0.00	4.08	90.0	3.67
05/09/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.13	0.00	12.13	90.0	10.92
05/09/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.57	0.00	9.57	90.0	8.61
05/09/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.52	0.00	16.52	90.0	14.87
05/09/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	24.39	0.00	24.39	90.0	21.95
05/09/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	32.29	0.00	32.29	90.0	29.06
05/09/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.08	0.00	15.08	90.0	13.57
06/01/2017	WALSH, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.84	0.00	3.84	90.0	3.46
05/09/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.09	0.00	2.09	90.0	1.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
05/09/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	15.86	0.00	15.86	90.0	14.27
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.40	0.00	4.40	90.0	3.96
05/09/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.90	0.00	2.90	90.0	2.61
05/09/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.88	0.00	2.88	90.0	2.59
05/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.69	0.00	1.69	90.0	1.52
05/09/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.96	0.00	3.96	90.0	3.56
05/09/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.85	0.00	0.85	90.0	0.77
05/09/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.15	0.00	0.15	90.0	0.14
05/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.65	0.00	1.65	90.0	1.49
05/09/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.47	0.00	1.47	90.0	1.32
05/09/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.32	0.00	1.32	90.0	1.19
05/09/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.18	0.00	3.18	90.0	2.86
05/09/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.74	0.00	3.74	90.0	3.37
05/09/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.68	0.00	2.68	90.0	2.41
05/19/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	10,421.15	20.00	0.00	20.00	90.0	18.00
06/01/2017	WALSH, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	MOTOR CITY COMPUTER	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	238.47	0.00	238.47	90.0	214.62
06/01/2017	JAMES, ANGELA	AM FUNDS ICA 529A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	BANNICK, ROBERT	AM FUNDS ICA 529C	0.00	7.52	0.00	7.52	90.0	6.77
06/01/2017	BANNICK, ROBERT	AM FUNDS ICA 529C	0.00	7.52	0.00	7.52	90.0	6.77
06/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
05/09/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.77	0.00	0.77	90.0	0.69
05/09/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.27	0.00	1.27	90.0	1.14
05/09/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	9.45	0.00	9.45	90.0	8.51
06/01/2017	PALMERI, BRENT	AM FUNDS ICA A	0.00	10.07	0.00	10.07	90.0	9.06
05/09/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.06	0.00	7.06	90.0	6.35
05/09/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.46	0.00	8.46	90.0	7.61
05/09/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	PALMERI, MARIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.67	0.00	1.67	90.0	1.50
05/09/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	15.46	0.00	15.46	90.0	13.91
05/09/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.34	0.00	10.34	90.0	9.31
05/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.06	0.00	37.06	90.0	33.35

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.68	0.00	20.68	90.0	18.61
05/09/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	18.33	0.00	18.33	90.0	16.50
05/09/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	36.65	0.00	36.65	90.0	32.99
05/09/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.81	0.00	7.81	90.0	7.03
05/09/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.14	0.00	7.14	90.0	6.43
05/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.53	0.00	1.53	90.0	1.38
05/09/2017	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	0.00	54.57	0.00	54.57	90.0	49.11
05/09/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.65	0.00	7.65	90.0	6.89
05/09/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA C	0.00	3.97	0.00	3.97	90.0	3.57
05/09/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	9.73	0.00	9.73	90.0	8.76
05/09/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.31	0.00	13.31	90.0	11.98
05/09/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	151.83	0.00	151.83	90.0	136.65
05/30/2017	POUPORE, JOHN	AM FUNDS INCOME FUND OF AMERICA C	20,695.11	20.00	0.00	20.00	90.0	18.00
06/01/2017	WALSH, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.00	0.00	1.00	90.0	0.90
05/09/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	11.46	0.00	11.46	90.0	10.31
05/09/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	4.55	0.00	4.55	90.0	4.10
05/09/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.91	0.00	0.91	90.0	0.82
05/09/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.67	0.00	0.67	90.0	0.60
05/09/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.10	0.00	0.10	90.0	0.09
05/09/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.31	0.00	2.31	90.0	2.08
05/19/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	32,000.00	20.00	0.00	20.00	90.0	18.00
06/01/2017	WALSH, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	0.00	17.75	0.00	17.75	90.0	15.98
05/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
05/09/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2017	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	HOLMES, KAYLA	AM FUNDS NEW ECONOMY 529C	0.00	10.10	0.00	10.10	90.0	9.09
06/01/2017	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	3.75	0.00	3.75	90.0	3.38
06/01/2017	JAMES, ANGELA	AM FUNDS NEW ECONOMY 529C	0.00	3.75	0.00	3.75	90.0	3.38
05/09/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	26.90	0.00	26.90	90.0	24.21
05/09/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	6.68	0.00	6.68	90.0	6.01
06/01/2017	SCACCIA, KELLY	AM FUNDS NEW PERSPECTIVE 529C	0.00	26.10	0.00	26.10	90.0	23.49

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	SCACCIA, KELLY	AM FUNDS NEW PERSPECTIVE 529C	0.00	22.15	0.00	22.15	90.0	19.94
05/09/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	16.87	0.00	16.87	90.0	15.18
06/01/2017	CARLINO, GIANNI	AM FUNDS NEW WORLD 529C	0.00	15.07	0.00	15.07	90.0	13.56
06/01/2017	CARLINO, GIANNI	AM FUNDS NEW WORLD 529C	0.00	15.07	0.00	15.07	90.0	13.56
05/09/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	13.39	0.00	13.39	90.0	12.05
05/09/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	4.61	0.00	4.61	90.0	4.15
06/01/2017	ANTHONY, NICHOLAS	AM FUNDS SMALLCAP WORLD A	0.00	6.17	0.00	6.17	90.0	5.55
05/09/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	15.23	0.00	15.23	90.0	13.71
05/09/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.14	0.00	3.14	90.0	2.83
05/09/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.15	0.00	2.15	90.0	1.94
05/09/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.42	0.00	1.42	90.0	1.28
05/09/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.37	0.00	1.37	90.0	1.23
05/09/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	34.03	0.00	34.03	90.0	30.63
05/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.30	0.00	2.30	90.0	2.07
05/09/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	CARROLL, EILEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.01	0.00	4.01	90.0	3.61
06/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.11	0.00	2.11	90.0	1.90
06/01/2017	UNTIL AGE 21, MI/UTMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.14	0.00	2.14	90.0	1.93
05/09/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.84	0.00	0.84	90.0	0.76
05/09/2017	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.54	0.00	0.54	90.0	0.49
05/09/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.38	0.00	2.38	90.0	2.14
06/01/2017	WALSH, KIMBERLY	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.05	0.00	0.05	90.0	0.05
06/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
06/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
05/09/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.04	0.00	1.04	90.0	0.94
05/09/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.89	0.00	0.89	90.0	0.80
05/09/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.58	0.00	1.58	90.0	1.42
05/09/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.20	0.00	11.20	90.0	10.08
05/08/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	18.03	0.00	18.03	90.0	16.23
05/08/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	13.48	0.00	13.48	90.0	12.13
05/08/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	36.86	0.00	36.86	90.0	33.17
05/08/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	66.97	0.00	66.97	90.0	60.27

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	38.88	0.00	38.88	90.0	34.99
05/09/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	6.91	0.00	6.91	90.0	6.22
05/09/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.60	0.00	4.60	90.0	4.14
05/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.19	0.00	7.19	90.0	6.47
05/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	9.58	0.00	9.58	90.0	8.62
05/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	21.35	0.00	21.35	90.0	19.22
05/09/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.71	0.00	6.71	90.0	6.04
05/09/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.01	0.00	9.01	90.0	8.11
05/09/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.15	0.00	10.15	90.0	9.14
05/09/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	21.83	0.00	21.83	90.0	19.65
05/16/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
05/31/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
05/09/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.11	0.00	7.11	90.0	6.40
05/10/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.78	0.00	10.78	90.0	9.70
05/09/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	5.81	0.00	5.81	90.0	5.23
05/09/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	81.80	0.00	81.80	90.0	73.62
05/09/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	0.00	0.12	0.00	0.12	90.0	0.11
05/09/2017	ALBIN, SHELLEY	FRANKLIN BALANCED C	0.00	1.14	0.00	1.14	90.0	1.03
05/09/2017	ALBIN, FREDERICK	FRANKLIN BALANCED C	0.00	1.14	0.00	1.14	90.0	1.03
05/09/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.75	0.00	1.75	90.0	1.58
05/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.90	0.00	3.90	90.0	3.51
05/09/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.43	0.00	2.43	90.0	2.19
05/09/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.23	0.00	16.23	90.0	14.61
05/09/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.65	0.00	0.65	90.0	0.59
05/09/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.81	0.00	0.81	90.0	0.73
05/09/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	6.79	0.00	6.79	90.0	6.11
05/09/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	2.54	0.00	2.54	90.0	2.29
05/09/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.35	0.00	7.35	90.0	6.62
05/09/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.16	0.00	6.16	90.0	5.54
05/09/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.17	0.00	12.17	90.0	10.95
05/09/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.24	0.00	14.24	90.0	12.82
05/09/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.62	0.00	6.62	90.0	5.96
05/09/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.74	0.00	5.74	90.0	5.17

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.11	0.00	7.11	90.0	6.40
05/09/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	4.13	0.00	4.13	90.0	3.72
05/09/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	86.37	0.00	86.37	90.0	77.73
05/09/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.16	0.00	3.16	90.0	2.84
05/09/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.45	0.00	5.45	90.0	4.91
05/09/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.21	0.00	10.21	90.0	9.19
05/09/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	13.51	0.00	13.51	90.0	12.16
05/09/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.39	0.00	5.39	90.0	4.85
05/09/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	11.79	0.00	11.79	90.0	10.61
05/09/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.16	0.00	9.16	90.0	8.24
05/09/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.29	0.00	13.29	90.0	11.96
05/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.57	0.00	7.57	90.0	6.81
05/09/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.49	0.00	6.49	90.0	5.84
05/09/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.29	0.00	4.29	90.0	3.86
05/09/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.08	0.00	2.08	90.0	1.87
05/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.97	0.00	0.97	90.0	0.87
05/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	11.77	0.00	11.77	90.0	10.59
05/09/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.84	0.00	2.84	90.0	2.56
05/09/2017	NUNEZ TRUST, LYNDIA	FRANKLIN INCOME C	0.00	70.66	0.00	70.66	90.0	63.59
05/09/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.61	0.00	4.61	90.0	4.15
05/09/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	14.04	0.00	14.04	90.0	12.64
05/09/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.57	0.00	8.57	90.0	7.71
05/09/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.47	0.00	8.47	90.0	7.62
05/09/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	2.91	0.00	2.91	90.0	2.62
05/09/2017	HENKE, FRANK	FRANKLIN INCOME C	0.00	5.20	0.00	5.20	90.0	4.68
05/09/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.82	0.00	4.82	90.0	4.34
05/09/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.39	0.00	4.39	90.0	3.95
05/09/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	10.29	0.00	10.29	90.0	9.26
05/09/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	5.88	0.00	5.88	90.0	5.29
05/09/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	6.92	0.00	6.92	90.0	6.23
05/09/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.27	0.00	7.27	90.0	6.54
05/09/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.66	0.00	6.66	90.0	5.99
05/09/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.70	0.00	7.70	90.0	6.93

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	5.82	0.00	5.82	90.0	5.24
05/09/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.16	0.00	10.16	90.0	9.14
05/09/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	8.52	0.00	8.52	90.0	7.67
05/09/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	15.45	0.00	15.45	90.0	13.91
05/09/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	20.21	0.00	20.21	90.0	18.19
05/09/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.29	0.00	6.29	90.0	5.66
05/09/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	96.23	0.00	96.23	90.0	86.61
05/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	2.97	0.00	2.97	90.0	2.67
05/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	31.93	0.00	31.93	90.0	28.74
05/09/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	28.12	0.00	28.12	90.0	25.31
05/09/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.12	0.00	10.12	90.0	9.11
05/09/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.19	0.00	1.19	90.0	1.07
05/09/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.39	0.00	5.39	90.0	4.85
05/09/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.07	0.00	4.07	90.0	3.66
05/09/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.44	0.00	5.44	90.0	4.90
05/09/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.25	0.00	0.25	90.0	0.23
05/09/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.31	0.00	1.31	90.0	1.18
05/09/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.18	0.00	2.18	90.0	1.96
05/09/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.67	0.00	0.67	90.0	0.60
05/09/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.25	0.00	1.25	90.0	1.13
05/08/2017	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	25,000.00	270.00	0.00	270.00	90.0	243.00
05/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	21.92	0.00	21.92	90.0	19.73
05/09/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	9.81	0.00	9.81	90.0	8.83
05/09/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	14.24	0.00	14.24	90.0	12.82
05/09/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	15.56	0.00	15.56	90.0	14.00
05/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	23.27	0.00	23.27	90.0	20.94
05/09/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	0.93	0.00	0.93	90.0	0.84
05/09/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	19.42	0.00	19.42	90.0	17.48
05/09/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	5.88	0.00	5.88	90.0	5.29
05/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	11.64	0.00	11.64	90.0	10.48
05/09/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	5.66	0.00	5.66	90.0	5.09
05/09/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	26.54	0.00	26.54	90.0	23.89
05/09/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	6.62	0.00	6.62	90.0	5.96

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
05/09/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.23	0.00	7.23	90.0	6.51
05/09/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.38	0.00	1.38	90.0	1.24
05/09/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.14	0.00	2.14	90.0	1.93
05/09/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	5.91	0.00	5.91	90.0	5.32
05/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	7.67	0.00	7.67	90.0	6.90
05/09/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	53.81	0.00	53.81	90.0	48.43
05/09/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.15	0.00	0.15	90.0	0.14
05/09/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	18.32	0.00	18.32	90.0	16.49
05/09/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	19.07	0.00	19.07	90.0	17.16
05/09/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	22.47	0.00	22.47	90.0	20.22
05/09/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	13.35	0.00	13.35	90.0	12.02
05/09/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	21.00	0.00	21.00	90.0	18.90
05/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.85	0.00	4.85	90.0	4.37
05/09/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	23.38	0.00	23.38	90.0	21.04
05/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	8.44	0.00	8.44	90.0	7.60
05/09/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.51	0.00	8.51	90.0	7.66
05/09/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.03	0.00	4.03	90.0	3.63
05/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	15.59	0.00	15.59	90.0	14.03
05/09/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	23.67	0.00	23.67	90.0	21.30
05/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	15.48	0.00	15.48	90.0	13.93
05/09/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	7.66	0.00	7.66	90.0	6.89
05/09/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	14.14	0.00	14.14	90.0	12.73
05/09/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	52.45	0.00	52.45	90.0	47.21
05/09/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.52	0.00	2.52	90.0	2.27
05/09/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	6.80	0.00	6.80	90.0	6.12
05/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.67	0.00	4.67	90.0	4.20
05/09/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.23	0.00	2.23	90.0	2.01
05/09/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	16.67	0.00	16.67	90.0	15.00
05/09/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	0.85	0.00	0.85	90.0	0.77
05/09/2017	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	37.97	0.00	37.97	90.0	34.17
05/09/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.17	0.00	6.17	90.0	5.55
05/09/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	9.76	0.00	9.76	90.0	8.78
05/09/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	11.94	0.00	11.94	90.0	10.75

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	12.90	0.00	12.90	90.0	11.61
05/09/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.69	0.00	1.69	90.0	1.52
05/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	24.67	0.00	24.67	90.0	22.20
05/09/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	3.92	0.00	3.92	90.0	3.53
05/09/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	3.95	0.00	3.95	90.0	3.56
05/09/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	21.70	0.00	21.70	90.0	19.53
05/09/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	7.63	0.00	7.63	90.0	6.87
05/09/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	4.94	0.00	4.94	90.0	4.45
05/09/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	176.02	0.00	176.02	90.0	158.42
05/09/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.23	0.00	10.23	90.0	9.21
05/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.45	0.00	6.45	90.0	5.81
05/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.17	0.00	12.17	90.0	10.95
05/09/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	10.74	0.00	10.74	90.0	9.67
05/09/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	12.73	0.00	12.73	90.0	11.46
05/09/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	12.64	0.00	12.64	90.0	11.38
05/09/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	7.92	0.00	7.92	90.0	7.13
05/09/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	9.99	0.00	9.99	90.0	8.99
05/09/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	13.96	0.00	13.96	90.0	12.56
05/09/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	10.37	0.00	10.37	90.0	9.33
05/09/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.27	0.00	6.27	90.0	5.64
05/09/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.75	0.00	2.75	90.0	2.48
05/09/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	13.37	0.00	13.37	90.0	12.03
05/09/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.34	0.00	9.34	90.0	8.41
05/09/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	11.68	0.00	11.68	90.0	10.51
05/09/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.18	0.00	5.18	90.0	4.66
05/09/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.33	0.00	7.33	90.0	6.60
05/09/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	29.96	0.00	29.96	90.0	26.96
05/09/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	19.38	0.00	19.38	90.0	17.44
05/09/2017	ALBIN, SHELLEY	FRANKLIN RISING DIVIDENDS C	0.00	5.88	0.00	5.88	90.0	5.29
05/09/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	5.88	0.00	5.88	90.0	5.29
05/09/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	9.66	0.00	9.66	90.0	8.69
05/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	24.89	0.00	24.89	90.0	22.40
05/09/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	12.82	0.00	12.82	90.0	11.54

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	32.59	0.00	32.59	90.0	29.33
05/09/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	12.62	0.00	12.62	90.0	11.36
05/09/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.43	0.00	10.43	90.0	9.39
05/09/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.60	0.00	0.60	90.0	0.54
05/09/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	0.48	0.00	0.48	90.0	0.43
05/09/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.01	0.00	0.01	90.0	0.01
05/09/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.01	0.00	0.01	90.0	0.01
05/09/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.80	0.00	4.80	90.0	4.32
05/09/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	76.20	0.00	76.20	90.0	68.58
05/09/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	21.10	0.00	21.10	90.0	18.99
05/09/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	12.17	0.00	12.17	90.0	10.95
05/09/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.65	0.00	3.65	90.0	3.29
05/09/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.75	0.00	3.75	90.0	3.38
05/09/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.23	0.00	5.23	90.0	4.71
05/09/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.81	0.00	2.81	90.0	2.53
05/09/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	7.89	0.00	7.89	90.0	7.10
05/09/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.31	0.00	0.31	90.0	0.28
05/09/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.21	0.00	6.21	90.0	5.59
05/09/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.26	0.00	0.26	90.0	0.23
05/09/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.27	0.00	0.27	90.0	0.24
05/09/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.27	0.00	0.27	90.0	0.24
05/09/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	1.01	0.00	1.01	90.0	0.91
05/09/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.31	0.00	1.31	90.0	1.18
05/12/2017	EDWARDS-BROWN JT TEN, LINDA	LORD ABBETT AFFILIATED A	0.00	0.78	0.00	0.78	90.0	0.70
05/09/2017	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	27.72	0.00	27.72	90.0	24.95
05/09/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.37	0.00	1.37	90.0	1.23
05/09/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	21.27	0.00	21.27	90.0	19.14
05/09/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	7.16	0.00	7.16	90.0	6.44
05/09/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTY FD	0.00	6.11	0.00	6.11	90.0	5.50
05/09/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTY FD	0.00	21.41	0.00	21.41	90.0	19.27
05/09/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	13.01	0.00	13.01	90.0	11.71
05/09/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.20	0.00	0.20	90.0	0.18
05/09/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.29	0.00	0.29	90.0	0.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.36	0.00	0.36	90.0	0.32
05/09/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	2.64	0.00	2.64	90.0	2.38
05/16/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
05/09/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.71	0.00	7.71	90.0	6.94
05/09/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	15.67	0.00	15.67	90.0	14.10
05/09/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.07	0.00	0.07	90.0	0.06
05/09/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	26.22	0.00	26.22	90.0	23.60
05/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	21.93	0.00	21.93	90.0	19.74
05/09/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.91	0.00	1.91	90.0	1.72
05/09/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.95	0.00	5.95	90.0	5.36
05/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.45	0.00	1.45	90.0	1.31
05/09/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.60	0.00	11.60	90.0	10.44
05/09/2017	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.13	0.00	6.13	90.0	5.52
05/09/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.97	0.00	6.97	90.0	6.27
05/09/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.58	0.00	5.58	90.0	5.02
05/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	15.63	0.00	15.63	90.0	14.07
05/09/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.14	0.00	3.14	90.0	2.83
05/09/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.62	0.00	4.62	90.0	4.16
05/09/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.07	0.00	9.07	90.0	8.16
05/09/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.62	0.00	1.62	90.0	1.46
05/09/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.16	0.00	2.16	90.0	1.94
05/09/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	4.80	0.00	4.80	90.0	4.32
05/09/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.00	0.00	11.00	90.0	9.90
05/09/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.03	0.00	13.03	90.0	11.73
05/09/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.02	0.00	8.02	90.0	7.22
05/08/2017	ZETTEL, ANTHONY	TRANSAMERICA AA MOD GROWTH C	25,000.00	270.00	23.00	247.00	90.0	222.30
05/09/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.46	0.00	5.46	90.0	4.91
05/09/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.30	0.00	12.30	90.0	11.07
05/09/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	34.94	0.00	34.94	90.0	31.45
05/09/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	15.37	0.00	15.37	90.0	13.83
05/01/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	550.00	20.00	23.00	-3.00	90.0	-2.70
05/09/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.12	0.00	11.12	90.0	10.01
05/09/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	11.53	0.00	11.53	90.0	10.38

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/09/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.68	0.00	7.68	90.0	6.91
05/09/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.34	0.00	7.34	90.0	6.61
05/09/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.68	0.00	7.68	90.0	6.91
05/09/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.11	0.00	0.11	90.0	0.10
05/09/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.88	0.00	7.88	90.0	7.09
05/09/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.33	0.00	2.33	90.0	2.10
05/09/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	9.26	0.00	9.26	90.0	8.33
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$201,562.43</b>	<b>\$8,113.12</b>	<b>\$46.00</b>	<b>\$8,067.12</b>		<b>\$7,260.74</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/02/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	173,927.28	110.79	0.00	110.79	90.0	99.71
06/02/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	354,110.70	150.38	0.00	150.38	90.0	135.34
06/02/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,252,397.21	1,539.89	0.00	1,539.89	90.0	1,385.90
06/02/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	379,206.83	161.03	0.00	161.03	90.0	144.93
05/31/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	167,413.03	34.88	0.00	34.88	90.0	31.39
05/31/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	101,656.08	42.36	0.00	42.36	90.0	38.12
05/31/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	45,418.57	18.92	0.00	18.92	90.0	17.03
05/31/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	18,553.39	15.46	0.00	15.46	90.0	13.91
05/31/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	100,687.72	83.91	0.00	83.91	90.0	75.52
05/31/2017	FRASER, SHARON	JNL PERSPECTIVE L	41,338.28	34.45	0.00	34.45	90.0	31.01
05/31/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	43,354.97	36.13	0.00	36.13	90.0	32.52
05/31/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	142,998.44	119.17	0.00	119.17	90.0	107.25
05/31/2017	STOKES, RICHARD	JNL PERSPECTIVE L	77,406.27	64.51	0.00	64.51	90.0	58.06
05/31/2017	STONE, EDWARD	JNL PERSPECTIVE L	90,140.11	75.12	0.00	75.12	90.0	67.61
05/31/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	102,547.79	85.46	0.00	85.46	90.0	76.91
05/31/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	107,481.61	89.57	0.00	89.57	90.0	80.61
05/31/2017	VITALE, PETER	JNL PERSPECTIVE L	134,904.08	112.42	0.00	112.42	90.0	101.18
05/31/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	180,747.84	150.62	0.00	150.62	90.0	135.56
05/31/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	209,873.39	174.89	0.00	174.89	90.0	157.40
05/22/2017	LUJAN, DEBORAH	PRU AS APEX II	20,000.00	0.00	0.00	0.00	90.0	0.00
05/17/2017	HARRIS, JANE	PRU PREMIER RETIREMENT B	130,000.00	7,475.00	0.00	7,475.00	90.0	6,727.50
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$9,874,163.59</b>	<b>\$10,574.96</b>	<b>\$0.00</b>	<b>\$10,574.96</b>		<b>\$9,517.46</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/05/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	0.00	1.36	0.00	1.36	90.0	1.22
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.36</b>	<b>\$0.00</b>	<b>\$1.36</b>		<b>\$1.22</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$10,075,726.02</b>	<b>\$18,730.06</b>	<b>\$46.00</b>	<b>\$18,684.06</b>		<b>\$16,815.98</b>

**ADJUSTMENTS**

06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*FINRA: 5/11 MEMBER DISC. PROC. FEE	0.00					-110.00
06/15/2017		*FINRA: 5/6 MEMBER DISC PROC FEE	0.00					-110.00
06/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
06/15/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
06/15/2017		*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-985.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$16,815.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-985.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,830.98
Year-to-date Gross	\$171,396.36
Year-to-date Earnings	\$153,836.03

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