

COMMISSION STATEMENT

July 15, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/05/2018	00068622447	GLORIA D PARRISH	MF	THE GROWTH FUND OF AMERICA-A	B	20.00	0.85	0.00	0.85	80.0	0.68
07/05/2018	00083533648	GLORIA D PARRISH,	MF	CAPITAL WORLD GROWTH AND INCOME	B	40.00	1.70	0.00	1.70	80.0	1.36
07/02/2018	01454269950	ALEXIS M WALKER,	MF	FA 529 PORT 2028 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
07/02/2018	01469591323	ALEXIS M WALKER,	MF	FA 529 PORT 2031 CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
				* MUTUAL FUNDS SUBTOTAL *		\$160.00	\$3.55	\$0.00	\$3.55		\$2.84

PRODUCT CATEGORY: FIXED ANNUITIES

07/01/2018	(None)	ORLANDO, CHRISTOPHER	FA	ALLIANZ FIA	B	0.00	663.96	0.00	663.96	80.0	531.17
07/01/2018	(None)	KLEINBROOK, CHARLES	FA	ALLIANZ FIA	B	0.00	331.89	0.00	331.89	80.0	265.51
07/01/2018	(None)	MAIORANA, MARY	FA	ALLIANZ FIA	B	0.00	509.71	0.00	509.71	80.0	407.77
07/01/2018	(None)	KLEINBROOK, ROBERT	FA	ALLIANZ FIA	B	0.00	148.45	0.00	148.45	80.0	118.76
07/01/2018	(None)	KLEINBROOK, DEBRA	FA	ALLIANZ FIA	B	0.00	131.96	0.00	131.96	80.0	105.57
07/01/2018	(None)	CHRISTOPHER, FRANK	FA	ALLIANZ FIA	B	0.00	123.25	0.00	123.25	80.0	98.60
07/01/2018	(None)	ROUSSEY, DAVID	FA	ALLIANZ FIA	B	0.00	187.04	0.00	187.04	80.0	149.63
07/01/2018	(None)	VIRGIN, WILLIAM	FA	ALLIANZ FIA	B	0.00	378.65	0.00	378.65	80.0	302.92
				* FIXED ANNUITIES SUBTOTAL *		\$0.00	\$2,474.91	\$0.00	\$2,474.91		\$1,979.93

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/02/2018	00421275732	SIPPEL, JEAN	MFT	PUTNAM 746745108	B	0.00	4.06	0.00	4.06	80.0	3.25
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADV LARGE CAP CL C	B	0.00	0.51	0.00	0.51	80.0	0.41
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	1.45	0.00	1.45	80.0	1.16
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR FUNDS	B	0.00	1.11	0.00	1.11	80.0	0.89
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR GLOBAL CAP	B	0.00	0.27	0.00	0.27	80.0	0.22
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADV ASSET MANAGER 70%	B	0.00	0.57	0.00	0.57	80.0	0.46
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADVISOR STOCK SELECTOR	B	0.00	1.49	0.00	1.49	80.0	1.19
07/09/2018	00501548101	BUCKLER, SHANNON	MFT	FIDELITY ADV EUROPE FUND	B	0.00	0.24	0.00	0.24	80.0	0.19
07/09/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADV BALANCED CL C	B	0.00	50.98	0.00	50.98	80.0	40.78
07/09/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADV STRATEGIC INCOME	B	0.00	10.76	0.00	10.76	80.0	8.61
07/09/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADVISOR FLOAT RATE HI	B	0.00	7.71	0.00	7.71	80.0	6.17
07/09/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADVISOR FREEDOM 2015	B	0.00	90.72	0.00	90.72	80.0	72.58
07/09/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADVISOR GOVT INCOME FD	B	0.00	0.15	0.00	0.15	80.0	0.12
07/09/2018	00507290526	BEAUMONT,	MFT	FIDELITY ADV CANADA CL C	B	0.00	8.31	0.00	8.31	80.0	6.65

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07/09/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	66.47	0.00	66.47	80.0	53.18
07/09/2018 00507290526	BEAUMONT,	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	5.22	0.00	5.22	80.0	4.18
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR FUNDS	B	0.00	29.36	0.00	29.36	80.0	23.49
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADV BALANCED CL C	B	0.00	38.30	0.00	38.30	80.0	30.64
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR FUNDS	B	0.00	25.76	0.00	25.76	80.0	20.61
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	31.47	0.00	31.47	80.0	25.18
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	10.99	0.00	10.99	80.0	8.79
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	31.06	0.00	31.06	80.0	24.85
07/09/2018 00512924424	EGGLETON,	MFT FIDELITY ADVISOR VALUE	B	0.00	37.07	0.00	37.07	80.0	29.66
07/09/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	12.53	0.00	12.53	80.0	10.02
07/09/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADVISOR HIGH INC	B	0.00	24.44	0.00	24.44	80.0	19.55
07/09/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	26.99	0.00	26.99	80.0	21.59
07/09/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	18.79	0.00	18.79	80.0	15.03
07/09/2018 00513264127	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	32.98	0.00	32.98	80.0	26.38
07/02/2018 00517197709	JONILYN P JOHNSON	MFT PUTNAM 746444108	B	0.00	18.43	0.00	18.43	80.0	14.74
07/02/2018 00517197709	JONILYN P JOHNSON	MFT PUTNAM 746444850	B	0.00	315.36	0.00	315.36	80.0	252.29
07/09/2018 00520128786	COTEY, JOHN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	26.43	0.00	26.43	80.0	21.14
07/09/2018 00520128810	COTEY, MARIANN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.33	0.00	1.33	80.0	1.06
07/09/2018 00520235862	COTEY, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.65	0.00	1.65	80.0	1.32
07/09/2018 00520235862	COTEY, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	2.16	0.00	2.16	80.0	1.73
07/09/2018 00520236563	COTEY, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.90	0.00	1.90	80.0	1.52
07/09/2018 00520236563	COTEY, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	2.15	0.00	2.15	80.0	1.72
07/09/2018 00528954597	LAI, LICHUN	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.71	0.00	2.71	80.0	2.17
07/09/2018 00536438369	MCCAULEY, JULIE	MFT FIDELITY ADV FREEDOM 2040 CL C	B	0.00	6.60	0.00	6.60	80.0	5.28
07/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM 746802800	B	0.00	0.23	0.00	0.23	80.0	0.18
07/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM 746802883	B	0.00	0.34	0.00	0.34	80.0	0.27
07/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM 746916303	B	0.00	0.13	0.00	0.13	80.0	0.10
07/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM GROWTH OPPORTUNITIES	B	0.00	0.13	0.00	0.13	80.0	0.10
07/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.23	0.00	0.23	80.0	0.18
07/02/2018 00550921152	TO STA TOD RULES,	MFT PUTNAM RESEARCH FUND-C	B	0.00	0.20	0.00	0.20	80.0	0.16
07/02/2018 00551338615	CHHEN, ALEX	MFT PUTNAM ABSOLUTE RETURN 500-A	B	0.00	3.81	0.00	3.81	80.0	3.05
07/02/2018 00551338615	CHHEN, ALEX	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	9.53	0.00	9.53	80.0	7.62
07/02/2018 00551406805	TO STA TOD RULES,	MFT PUTNAM 746745108	B	0.00	4.70	0.00	4.70	80.0	3.76
07/09/2018 00625439310	HOEY, LAURIE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	10.86	0.00	10.86	80.0	8.69
07/09/2018 00625443098	MLINARICH, SUSAN	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	11.69	0.00	11.69	80.0	9.35
07/09/2018 00670088970	MARTIN, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	1.50	0.00	1.50	80.0	1.20
07/09/2018 00670088970	MARTIN, BENE	MFT FA 529 COLLEGE PORT CL C	B	0.00	0.83	0.00	0.83	80.0	0.66
07/09/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR LARGE CAP	B	0.00	0.69	0.00	0.69	80.0	0.55
07/09/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	0.71	0.00	0.71	80.0	0.57
07/09/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	0.77	0.00	0.77	80.0	0.62
07/09/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADV FREEDOM 2025-CL A	B	0.00	1.63	0.00	1.63	80.0	1.30
07/09/2018 00700867492	SAMMUT, ROBERT	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.01	0.00	1.01	80.0	0.81
07/09/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV BALANCED CL C	B	0.00	15.48	0.00	15.48	80.0	12.38
07/09/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV OVERSEAS CL C	B	0.00	5.50	0.00	5.50	80.0	4.40

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07/09/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADVISOR INTL DISCOVERY	B	0.00	5.57	0.00	5.57	80.0	4.46
07/09/2018 00700998602	HOEHNER, YVONNE	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	6.89	0.00	6.89	80.0	5.51
07/09/2018 00700998610	HOEHNER, JEFFREY	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.66	0.00	0.66	80.0	0.53
07/09/2018 00700998610	HOEHNER, JEFFREY	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	0.22	0.00	0.22	80.0	0.18
07/09/2018 00700998610	HOEHNER, JEFFREY	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	8.90	0.00	8.90	80.0	7.12
07/09/2018 00701133928	MILLINER, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.04	0.00	0.04	80.0	0.03
07/09/2018 00701277857	PILONI, ALMA	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	6.71	0.00	6.71	80.0	5.37
07/09/2018 00701688350	DEWITT, BENE	MFT FA 529 PORT 2022 CL A	B	0.00	2.38	0.00	2.38	80.0	1.90
07/09/2018 00702371188	ROBERT P KOHLOFF,	MFT FA 529 COLLEGE PORT CL D	B	0.00	5.08	0.00	5.08	80.0	4.06
07/09/2018 00702371196	ROBERT P KOHLOFF,	MFT FA 529 PORT 2019 CL D	B	0.00	6.58	0.00	6.58	80.0	5.26
07/09/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.01	0.00	3.01	80.0	2.41
07/09/2018 00702462417	KOHLOFF,	MFT FIDELITY ADV SMALL CAP CL T	B	0.00	3.50	0.00	3.50	80.0	2.80
07/09/2018 00702462417	KOHLOFF,	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	3.09	0.00	3.09	80.0	2.47
07/09/2018 00702462417	KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.31	0.00	0.31	80.0	0.25
07/09/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	3.53	0.00	3.53	80.0	2.82
07/09/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	3.58	0.00	3.58	80.0	2.86
07/09/2018 00702462425	ROBERT P KOHLOFF,	MFT FIDELITY GOVERNMENT MKT DAILY	B	0.00	0.43	0.00	0.43	80.0	0.34
07/09/2018 00702669698	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	4.59	0.00	4.59	80.0	3.67
07/09/2018 00702669706	PLAWECKI, JAE	MFT FA 529 COLLEGE PORT CL D	B	0.00	4.35	0.00	4.35	80.0	3.48
07/09/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.32	0.00	0.32	80.0	0.26
07/09/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADVISOR FUNDS	B	0.00	0.76	0.00	0.76	80.0	0.61
07/09/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	0.50	0.00	0.50	80.0	0.40
07/09/2018 00704067081	HICKEY, RUSS	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	0.45	0.00	0.45	80.0	0.36
07/09/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	0.82	0.00	0.82	80.0	0.66
07/09/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.91	0.00	1.91	80.0	1.53
07/09/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	1.26	0.00	1.26	80.0	1.01
07/09/2018 00704067099	HICKEY, SUE	MFT FIDELITY ADV EMERGING MKTS-CL C	B	0.00	1.12	0.00	1.12	80.0	0.90
07/09/2018 00704265347	STANKO, PATRICIA	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	1.76	0.00	1.76	80.0	1.41
07/09/2018 00704660992	HOJNOWSKI, PAMELA	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	14.86	0.00	14.86	80.0	11.89
07/01/2018 01001400917	ELWELL, ROBERT	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	0.01	0.00	0.01	80.0	0.01
07/09/2018 01423897501	PRESTON, THOMAS	MFT FIDELITY ADV INTL SMALL CAP	B	0.00	1.06	0.00	1.06	80.0	0.85
07/09/2018 01425820881	PRESTON, YOLANDA	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	5.04	0.00	5.04	80.0	4.03
07/09/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	10.96	0.00	10.96	80.0	8.77
07/09/2018 01427579634	FURMAN, VICKI	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	10.72	0.00	10.72	80.0	8.58
07/09/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	13.59	0.00	13.59	80.0	10.87
07/09/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.24	0.00	20.24	80.0	16.19
07/09/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADVISOR FUNDS	B	0.00	28.08	0.00	28.08	80.0	22.46
07/09/2018 01433631916	SCHARFENBERG,	MFT FIDELITY ADV FREEDOM 2025 CL C	B	0.00	26.13	0.00	26.13	80.0	20.90
07/09/2018 01437758582	NANCY E KRZISNIK	MFT FA 529 PORT 2019 CL A	B	0.00	3.18	0.00	3.18	80.0	2.54
07/09/2018 01437772005	NANCY E KRZISNIK	MFT FA 529 PORT 2022 CL A	B	0.00	3.37	0.00	3.37	80.0	2.70
07/09/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL A	B	0.00	4.48	0.00	4.48	80.0	3.58
07/09/2018 01437772039	NANCY E KRZISNIK	MFT FA 529 PORT 2025 CL C	B	0.00	3.77	0.00	3.77	80.0	3.02
07/09/2018 01447670405	SZEWCZYK,	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.11	0.00	2.11	80.0	1.69
07/09/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV BALANCED CL C	B	0.00	1.41	0.00	1.41	80.0	1.13

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07/09/2018 01451524043	KLEINBROOK, RAE	MFT FIDELITY ADV ASSET MANAGER 50%	B	0.00	1.45	0.00	1.45	80.0	1.16
07/09/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL A	B	0.00	0.39	0.00	0.39	80.0	0.31
07/09/2018 01454269950	ALEXIS M WALKER,	MFT FA 529 PORT 2028 CL C	B	0.00	2.45	0.00	2.45	80.0	1.96
07/09/2018 01465735031	NORRIS, BENE	MFT FA 529 COLLEGE PORT CL A	B	0.00	0.78	0.00	0.78	80.0	0.62
07/09/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	29.72	0.00	29.72	80.0	23.78
07/09/2018 01467912620	MLINARICH, TERRY	MFT FIDELITY ADV FREEDOM INCOME CL	B	0.00	21.47	0.00	21.47	80.0	17.18
07/09/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL A	B	0.00	0.02	0.00	0.02	80.0	0.02
07/09/2018 01469591323	ALEXIS M WALKER,	MFT FA 529 PORT 2031 CL C	B	0.00	2.55	0.00	2.55	80.0	2.04
07/09/2018 01482972286	GARVOCK, BENE	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	3.90	0.00	3.90	80.0	3.12
07/09/2018 01483364202	DRAHUSHUK, ADAM	MFT FIDELITY ADV FREEDOM 2035 CL C	B	0.00	7.91	0.00	7.91	80.0	6.33
07/09/2018 03900215447	NISSETYLEJ	MFT FIDELITY ADVISOR BALANCED CLASS	B	0.00	3.44	0.00	3.44	80.0	2.75
07/01/2018 03909882817	ABEN, JAMES	MFT AIM CHARTER FUND - CLASS C	B	0.00	16.69	0.00	16.69	80.0	13.35
07/01/2018 03909882817	ABEN, JAMES	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.64	0.00	2.64	80.0	2.11
07/01/2018 03909882817	ABEN, JAMES	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	8.74	0.00	8.74	80.0	6.99
07/01/2018 03911238057	LY, KENNY	MFT AIM CHARTER FUND - CLASS A	B	0.00	12.94	0.00	12.94	80.0	10.35
07/01/2018 03911238057	LY, KENNY	MFT AIM MODERATE ALLOCATION - CLASS	B	0.00	9.64	0.00	9.64	80.0	7.71
07/01/2018 03911238057	LY, KENNY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	10.22	0.00	10.22	80.0	8.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,380.73	\$0.00	\$1,380.73		\$1,104.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/28/2018 (None)	BROWN, KEVIN	VAT SECURITY BENEFIT LIFE	B	0.00	17.39	0.00	17.39	80.0	13.91
06/28/2018 (None)	BROWN, JULIE COTE	VAT SECURITY BENEFIT LIFE	B	0.00	17.62	0.00	17.62	80.0	14.10
06/28/2018 (None)	KLEINBROOK, CHARLES	VAT SECURITY BENEFIT LIFE	B	0.00	17.69	0.00	17.69	80.0	14.15
06/30/2018 (None)	WAGG, THEADORE	VAT PRUDENTIAL LIFE	B	0.00	133.34	0.00	133.34	80.0	106.67
06/30/2018 (None)	WAGG, THEADORE	VAT PRUDENTIAL LIFE	B	0.00	120.83	0.00	120.83	80.0	96.66
06/30/2018 (None)	ROUSSEY, DAVID	VAT PRUDENTIAL LIFE	B	0.00	102.36	0.00	102.36	80.0	81.89
06/30/2018 (None)	VARIOUS, KLEINBROOK	VAT PRUDENTIAL LIFE	B	0.00	6,265.00	0.00	6,265.00	80.0	5,012.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$6,674.23	\$0.00	\$6,674.23		\$5,339.38
*** DIRECT TRANSACTIONS TOTAL ***				\$160.00	\$10,533.42	\$0.00	\$10,533.42		\$8,426.73

BROKER COMMISSION TOTALS

Broker Transactions	\$8,426.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$8,426.73
Year-to-date Commissions	\$54,190.36