

**COMMISSION STATEMENT****November 15, 2017**GREGORY FRIEL  
7500 NW 10th PlaceBROKER #: F123 Terminated 01/31/2016  
BRANCH: GREGORY FRIEL

Plantation, FL 33313-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/17/2017	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.53	0.00	0.53	0.0	0.00
10/17/2017	SAURMAN, PAMELA	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.13	0.00	1.13	0.0	0.00
10/16/2017	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.73	0.00	3.73	0.0	0.00
10/16/2017	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.79	0.00	1.79	0.0	0.00
10/20/2017	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.88	0.00	4.88	0.0	0.00
10/20/2017	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.93	0.00	2.93	0.0	0.00
10/20/2017	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	0.00
10/20/2017	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.47	0.00	0.47	0.0	0.00
10/20/2017	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	12.51	0.00	12.51	0.0	0.00
10/13/2017	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	6.75	0.00	6.75	0.0	0.00
10/13/2017	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	9.91	0.00	9.91	0.0	0.00
10/16/2017	FRIEL, JEREMY	MFS BOND A	0.00	1.18	0.00	1.18	0.0	0.00
10/16/2017	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.00
10/16/2017	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.80	0.00	0.80	0.0	0.00
10/16/2017	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.91	0.00	0.91	0.0	0.00
10/16/2017	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.89	0.00	0.89	0.0	0.00
10/16/2017	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.24	0.00	0.24	0.0	0.00
10/16/2017	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.25	0.00	0.25	0.0	0.00
10/16/2017	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.43	0.00	1.43	0.0	0.00
10/16/2017	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	4.98	0.00	4.98	0.0	0.00
10/16/2017	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	8.56	0.00	8.56	0.0	0.00
10/16/2017	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.23	0.00	0.23	0.0	0.00
10/16/2017	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	10.86	0.00	10.86	0.0	0.00
10/16/2017	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.25	0.00	3.25	0.0	0.00
10/16/2017	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.35	0.00	2.35	0.0	0.00
10/16/2017	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.17	0.00	1.17	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$83.17	\$0.00	\$83.17	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$83.17	\$0.00	\$83.17	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$662.97
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed