



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 30, 2017

06/29/2017
Page No. 1

JAMES MAHER
3082 Quail Ridge Circle

BROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: REIT</u> | | | | | | | | |
| 06/14/2017 | ACHAMPI TRUST, ANDREWS | CARTER VALIDUS MC REIT II CLASS A | 150,000.00 | 10,500.00 | 0.00 | 10,500.00 | 90.0 | 9,450.00 |
| 06/13/2017 | ACHAMPI TRUST, ANDREWS | MOODY NATIONAL REIT II | 150,000.00 | 10,500.00 | 0.00 | 10,500.00 | 90.0 | 9,450.00 |
| * REIT SUBTOTAL * | | | \$300,000.00 | \$21,000.00 | \$0.00 | \$21,000.00 | | \$18,900.00 |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 06/15/2017 | URSO, DOREEN | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 6.81 | 0.00 | 6.81 | 90.0 | 6.13 |
| 06/15/2017 | BROWN, MICHAEL | BLUEROCK TOTAL INC & REAL ESTATE FU | 0.00 | 21.19 | 0.00 | 21.19 | 90.0 | 19.07 |
| * INTERVAL FUNDS SUBTOTAL * | | | \$0.00 | \$28.00 | \$0.00 | \$28.00 | | \$25.20 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/05/2017 | MARKHAM, JASON | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | PALMER, ROBERT | AM FUNDS CAPITAL INCOME BUILDER 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL INCOME BUILDER 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 100.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 06/15/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SCACCIA, KELLY | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | PALMER, ROBERT | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS EUROPACIFIC GROWTH FUND 52 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/05/2017 | MARKHAM, JASON | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | LUJAN-LABADIE, JENNIFER | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/15/2017 | SIMON, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA 529 | 50.00 | 0.50 | 0.00 | 0.50 | 90.0 | 0.45 |
| 06/16/2017 | QUSSAR, JAMES | AM FUNDS GROWTH FUND OF AMERICA 529 | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/16/2017 | QUSSAR, JAMES | AM FUNDS ICA 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/16/2017 | QUSSAR, JAMES | AMERICAN FUNDS GRWTH & INC PF 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/16/2017 | QUSSAR, JAMES | AMERICAN FUNDS INCOME PF 529C | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/12/2017 | MOTOR CITY COMPUTER | COLUMBIA SMALLCAP CORE FUND A | 15.00 | 0.15 | 0.00 | 0.15 | 90.0 | 0.14 |
| 05/31/2017 | MOTOR CITY COMPUTER | PIONEER BOND FUND A | 92.50 | 0.93 | 0.00 | 0.93 | 90.0 | 0.84 |
| 06/12/2017 | MOTOR CITY COMPUTER | PIONEER BOND FUND A | 92.50 | 0.93 | 0.00 | 0.93 | 90.0 | 0.84 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$1,750.00 | \$17.51 | \$0.00 | \$17.51 | | \$15.80 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$301,750.00 | \$21,045.51 | \$0.00 | \$21,045.51 | | \$18,941.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$18,941.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|---------------------|
| Check Amount | \$18,941.00 |
| Year-to-date Gross | \$192,441.87 |
| Year-to-date Earnings | \$172,777.03 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed