



COMMISSION STATEMENT

May 28, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/14/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	4.92	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922927	BUY 0 Units @35.0600						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	19.76	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: 00063922927	BUY 1 Units @35.0600						
05/14/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 5 Units @35.0600						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	2.47	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 00063922927	BUY 0 Units @42.4000						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	9.87	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: 00063922927	BUY 0 Units @42.4000						
05/14/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @42.4000						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	2.47	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 00063922927	BUY 0 Units @54.7700						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	9.87	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: 00063922927	BUY 0 Units @54.7700						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	4.94	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922927	BUY 0 Units @66.0200						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	19.74	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: 00063922927	BUY 0 Units @66.0200						
05/14/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 2 Units @66.0200						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	4.94	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922927	BUY 0 Units @55.2800						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	19.74	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: 00063922927	BUY 0 Units @55.2800						
05/14/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @55.2800						
05/14/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 6 Units @23.9100						
05/14/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @42.6200						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/14/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	4.94	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00063922927	BUY 0 Units @50.8400						
05/14/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	19.74	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: 00063922927	BUY 0 Units @50.8400						
05/14/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @50.8400						
05/14/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 3 Units @48.1000						
* MUTUAL FUNDS SUBTOTAL *			<u>\$1,416.90</u>	<u>\$38.96</u>	<u>\$0.00</u>	<u>\$38.96</u>		<u>\$27.28</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$1,416.90</u>	<u>\$38.96</u>	<u>\$0.00</u>	<u>\$38.96</u>		<u>\$27.28</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$27.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$27.28
Year-to-date Earnings	\$30,656.32