

## COMMISSION STATEMENT

April 30, 2018

LAWRENCE HORN  
301 E 47TH STREET  
APT 16J  
NEW YORK, NY 10017

BROKER #: I75 K17 I20 I21 I 22  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/02/2018	QGV006240 GS ARBITRAGE	CENTURYTEL INC	S	-342,927.90	840.00	10.00	830.00	50.0	415.00
04/25/2018	QGV006240 GS ARBITRAGE	AT&T INC	B	351,545.00	400.00	10.00	390.00	50.0	195.00
04/12/2018	QGV006455 BNP PARIBUS PRIME	HARLEY DAVIDSON INC	B	427,337.00	400.00	10.00	390.00	50.0	195.00
* STOCKS SUBTOTAL *				\$435,954.10	\$1,640.00	\$30.00	\$1,610.00		\$805.00

PRODUCT CATEGORY: MISCELLANEOUS

03/26/2018	VARIOUS, HORN	IMPALA ASSET MANGEMENT	B	0.00	25,000.00	0.00	25,000.00	50.0	12,500.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$25,000.00	\$0.00	\$25,000.00		\$12,500.00
*** BROKER TRANSACTIONS TOTAL ***				\$435,954.10	\$26,640.00	\$30.00	\$26,610.00		\$13,305.00

ADJUSTMENTS

04/30/2018	ADVANCE: ADVANCE PAID 05/03/2018			0.00	0.00	0.00	0.00		-200.00
04/30/2018	ADVANCE: ADVANCE PAID 05/04/2018			0.00	0.00	0.00	0.00		-9,800.00
04/30/2018	MISCELLANEOUS: HAMMERSTONE			0.00	0.00	0.00	0.00		-127.50
04/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
04/30/2018	OTHER: OTHER			0.00	0.00	0.00	0.00		-1,050.00
04/30/2018	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-11,202.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,305.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-11,202.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.05
SIPC Assessment	\$-4.10

<b>Check Amount</b>	<b>\$2,096.35</b>
<b>Year-to-date Earnings</b>	<b>\$6,071.60</b>