

COMMISSION STATEMENT

January 31, 2018

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: 14

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
12/29/2017	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	69,383.60	0.00	10.00	-10.00	80.0	-8.00
01/04/2018	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	70,618.60	0.00	10.00	-10.00	80.0	-8.00
12/28/2017	75500514	HAMILTON, CHRISTOPHER WISDOMTREE TRUST JAPAN SMALLCAP DIV B		144,572.40	0.00	10.00	-10.00	80.0	-8.00
12/29/2017	75500514	HAMILTON, CHRISTOPHER DIREXION SHS ETF TR DAILY GOLD MINE S	S	11,800.65	0.00	10.00	-10.00	80.0	-8.00
12/29/2017	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	98,738.20	0.00	10.00	-10.00	80.0	-8.00
01/02/2018	75500514	HAMILTON, CHRISTOPHER DIREXION SHS ETF TR DAILY GOLD MINE B	B	11,019.25	0.00	10.00	-10.00	80.0	-8.00
01/02/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TRUST	B	26,250.00	0.00	10.00	-10.00	80.0	-8.00
01/02/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP B	B	56,847.00	0.00	10.00	-10.00	80.0	-8.00
01/02/2018	75500514	HAMILTON, CHRISTOPHER WISDOMTREE TRUST JAPAN SMALLCAP DIV S	S	145,558.80	0.00	10.00	-10.00	80.0	-8.00
01/03/2018	75500514	HAMILTON, CHRISTOPHER DIREXION SHS ETF TR DAILY GOLD MINE S	S	11,261.40	0.00	10.00	-10.00	80.0	-8.00
01/04/2018	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	100,495.70	0.00	10.00	-10.00	80.0	-8.00
01/05/2018	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP S	S	56,930.00	0.00	10.00	-10.00	80.0	-8.00
01/09/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S	S	74,892.50	0.00	10.00	-10.00	80.0	-8.00
01/12/2018	75500514	HAMILTON, CHRISTOPHER UNIVERSAL DISPLAY CORPORATION	B	99,150.00	75.00	10.00	65.00	80.0	52.00
01/12/2018	75500514	HAMILTON, CHRISTOPHER UNIVERSAL DISPLAY CORPORATION	S	-99,150.00	-75.00	-10.00	-65.00	80.0	-52.00 C
01/17/2018	75500514	HAMILTON, CHRISTOPHER SELECT SECTOR SPDR TRUST THE UTILIT B	B	50,355.01	0.00	10.00	-10.00	80.0	-8.00
01/18/2018	75500514	HAMILTON, CHRISTOPHER SELECT SECTOR SPDR TRUST THE UTILIT S	S	50,111.00	0.00	10.00	-10.00	80.0	-8.00
01/18/2018	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF B	B	56,460.00	0.00	10.00	-10.00	80.0	-8.00
01/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S	S	127,520.00	0.00	10.00	-10.00	80.0	-8.00
01/19/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B	B	76,560.00	0.00	10.00	-10.00	80.0	-8.00
01/19/2018	75500514	HAMILTON, CHRISTOPHER PROSHARES TRUST	S	23,321.00	0.00	10.00	-10.00	80.0	-8.00
01/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B	B	77,040.00	0.00	10.00	-10.00	80.0	-8.00
01/25/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B	B	128,400.00	0.00	10.00	-10.00	80.0	-8.00
01/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S	S	77,604.00	0.00	10.00	-10.00	80.0	-8.00
01/26/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF S	S	155,208.00	0.00	10.00	-10.00	80.0	-8.00
01/29/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B	B	153,888.00	0.00	10.00	-10.00	80.0	-8.00
01/29/2018	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF B	B	76,944.00	0.00	10.00	-10.00	80.0	-8.00
01/12/2018	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	S	15,130.08	50.00	10.00	40.00	80.0	32.00
01/12/2018	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	S	115,000.00	50.00	10.00	40.00	80.0	32.00
01/16/2018	75500527	KALOS, PAUL PNC FINANCIAL SVCS GROUP INC	B	153,199.50	50.00	10.00	40.00	80.0	32.00
12/29/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	98,738.20	50.00	10.00	40.00	80.0	32.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/04/2018	75500529	KALOS, PAUL		SPDR S&P 500 ETF TRUST	S	100,495.70	50.00	10.00	40.00 80.0 32.00
01/22/2018	75500766	HAMILTON, DOUGLAS		BROOKFIELD RENEWABLE PARTNERS L P	B	13,300.80	25.00	10.00	15.00 80.0 12.00
01/22/2018	75500786	KATZ, SOPHIE		FIVE STAR QUALITY CARE INC	S	3.05	0.00	10.00	-10.00 80.0 -8.00
12/28/2017	75500796	KARP, BETH		BLACKROCK RESOURCES & COMMODITIES S B		38,319.98	100.00	10.00	90.00 80.0 72.00
12/28/2017	75500796	KARP, BETH		VANECK VECTORS ETF TR RUSSIA ETF	S	42,424.00	60.00	10.00	50.00 80.0 40.00
01/05/2018	75500814	KARLIN, RONALD		UNIVERSAL DISPLAY CORPORATION	S	54,270.00	75.00	10.00	65.00 80.0 52.00
01/05/2018	75500814	KARLIN, RONALD		VALEANT PHARMACEUTICALS INTERNATION S		12,000.00	75.00	10.00	65.00 80.0 52.00
01/12/2018	75500814	KARLIN, RONALD		UNIVERSAL DISPLAY CORPORATION	B	99,150.00	75.00	10.00	65.00 80.0 52.00
01/16/2018	75500814	KARLIN, RONALD		PUMA BIOTECHNOLOGY INC	B	45,650.00	75.00	10.00	65.00 80.0 52.00
01/16/2018	75500814	KARLIN, RONALD		UNIVERSAL DISPLAY CORPORATION	S	99,300.00	75.00	10.00	65.00 80.0 52.00
01/23/2018	75500814	KARLIN, RONALD		UNIVERSAL DISPLAY CORPORATION	B	97,250.00	75.00	10.00	65.00 80.0 52.00
01/25/2018	75500814	KARLIN, RONALD		ACCELERATE DIAGNOSTICS INC COM	S	14,725.00	75.00	10.00	65.00 80.0 52.00
01/11/2018	75500829	GRAFSTEIN, ALLEN		WD 40 CO	S	126,300.00	50.00	10.00	40.00 80.0 32.00
01/29/2018	75500845	GERSHMAN, WENDIE		QUALCOMM INC	S	33,846.50	75.00	10.00	65.00 80.0 52.00
01/12/2018	75500854	LERNER, PETER		ARADIGM CORPORATION NEW	B	32,833.15	150.00	10.00	140.00 80.0 112.00
01/12/2018	75500854	LERNER, PETER		BROOKS AUTOMATION INC NEW COM	B	64,800.00	50.00	10.00	40.00 80.0 32.00
01/12/2018	75500854	LERNER, PETER		NUTANIX INC CL A	B	75,183.20	50.00	10.00	40.00 80.0 32.00
01/12/2018	75500854	LERNER, PETER		ORION ENGINEERED CARBONS S A COM	B	135,631.50	150.00	10.00	140.00 80.0 112.00
01/08/2018	75500913	KARP, RONALD		TREVENA INC	B	9,240.00	25.00	10.00	15.00 80.0 12.00
01/08/2018	75500913	KARP, RONALD		TREVENA INC	B	9,030.02	50.00	10.00	40.00 80.0 32.00
01/08/2018	75500913	KARP, RONALD		TREVENA INC	S	-9,030.02	-50.00	-10.00	-40.00 80.0 -32.00 C
01/08/2018	75500913	KARP, RONALD		TREVENA INC	B	9,030.02	25.00	10.00	15.00 80.0 12.00
01/16/2018	75500913	KARP, RONALD		APTEVO THERAPEUTICS INC COM	B	38,325.60	100.00	10.00	90.00 80.0 72.00
12/29/2017	75500921	CZECH, ERIC		SPDR S&P 500 ETF TRUST	B	48,034.80	25.00	10.00	15.00 80.0 12.00
01/04/2018	75500921	CZECH, ERIC		SPDR S&P 500 ETF TRUST	S	48,889.80	25.00	10.00	15.00 80.0 12.00
12/28/2017	75500926	CONGREGATION DARCHEI		REGENCY CENTERS CORP	S	138,440.00	50.00	10.00	40.00 80.0 32.00
01/19/2018	75500947	BIEL, LYNN		AES CORPORATION	B	34,734.00	382.00	10.00	372.00 80.0 297.60
01/19/2018	75500947	BIEL, LYNN		EMERSON ELECTRIC CO	S	36,759.30	846.00	10.00	836.00 80.0 668.80
01/12/2018	75500978	LERNER, SUSAN		ARADIGM CORPORATION NEW	B	43,547.00	200.00	10.00	190.00 80.0 152.00
01/12/2018	75500978	LERNER, SUSAN		BROOKS AUTOMATION INC NEW COM	B	64,800.00	50.00	10.00	40.00 80.0 32.00
01/12/2018	75500978	LERNER, SUSAN		NEOGEN CORP	B	59,254.60	50.00	10.00	40.00 80.0 32.00
01/12/2018	75500978	LERNER, SUSAN		NUTANIX INC CL A	B	75,183.20	50.00	10.00	40.00 80.0 32.00
01/12/2018	75500978	LERNER, SUSAN		ORION ENGINEERED CARBONS S A COM	B	135,631.50	150.00	10.00	140.00 80.0 112.00
01/12/2018	75500978	LERNER, SUSAN		WHIRLPOOL CORP	B	258,486.90	50.00	10.00	40.00 80.0 32.00
01/19/2018	75500978	LERNER, SUSAN		MINERALS TECHNOLOGIES INC	B	68,490.00	50.00	10.00	40.00 80.0 32.00
01/12/2018	75501171	LERNER, PETER		ARADIGM CORPORATION NEW	B	10.80	0.00	10.00	-10.00 80.0 -8.00
01/12/2018	75501171	LERNER, PETER		ARADIGM CORPORATION NEW	B	32,989.00	150.00	10.00	140.00 80.0 112.00
01/12/2018	75501171	LERNER, PETER		MINERALS TECHNOLOGIES INC	B	264,116.30	105.00	10.00	95.00 80.0 76.00
01/12/2018	75501171	LERNER, PETER		NEOGEN CORP	B	59,322.20	50.00	10.00	40.00 80.0 32.00
01/12/2018	75501171	LERNER, PETER		NUTANIX INC CL A	B	37,591.60	50.00	10.00	40.00 80.0 32.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/12/2018	75501171 LERNER, PETER	ORION ENGINEERED CARBONS S A COM	B	135,631.50	150.00	10.00	140.00	80.0	112.00
01/19/2018	75501171 LERNER, PETER	MINERALS TECHNOLOGIES INC	B	83,745.60	50.01	10.00	40.01	80.0	32.01
01/22/2018	75502076 LIDSKY, SHAWNA	BROOKFIELD RENEWABLE PARTNERS L P	B	3,990.52	25.00	10.00	15.00	80.0	12.00
01/08/2018	QGW019903 KARP, SELWYN	CVS HEALTH CORPORATION	B	77,980.90	50.00	10.00	40.00	80.0	32.00
01/09/2018	QGW019903 KARP, SELWYN	TAHOE RES INC COM ISIN#CA8738681037	B	27,223.20	60.00	10.00	50.00	80.0	40.00
01/09/2018	QGW019903 KARP, SELWYN	TAHOE RES INC COM ISIN#CA8738681037	B	-27,223.20	-60.00	-10.00	-50.00	80.0	-40.00 C
01/22/2018	QGW019903 KARP, SELWYN	GILEAD SCIENCES INC	B	81,079.90	50.00	10.00	40.00	80.0	32.00
12/22/2017	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	B	31,335.20	50.00	10.00	40.00	80.0	32.00
01/02/2018	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	S	-24,696.80	100.00	10.00	90.00	80.0	72.00
01/03/2018	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	S	-25,080.80	100.00	10.00	90.00	80.0	72.00
01/03/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-42,737.60	80.00	10.00	70.00	80.0	56.00
01/04/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	29,538.00	100.00	10.00	90.00	80.0	72.00
01/04/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-42,440.00	80.00	10.00	70.00	80.0	56.00
01/04/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-42,800.00	80.00	10.00	70.00	80.0	56.00
01/08/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	37,499.20	80.00	10.00	70.00	80.0	56.00
01/09/2018	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037	B	27,223.20	60.00	10.00	50.00	80.0	40.00
01/10/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-31,640.00	100.00	10.00	90.00	80.0	72.00
01/10/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-31,647.20	100.00	10.00	90.00	80.0	72.00
01/10/2018	QGW019929 KARP, JEFFREY	VANECK VECTORS ETF TR JR GOLD MINER S	S	-67,540.00	50.00	10.00	40.00	80.0	32.00
01/10/2018	QGW019929 KARP, JEFFREY	VANECK VECTORS ETF TR JR GOLD MINER S	S	-33,762.60	0.00	10.00	-10.00	80.0	-8.00
01/11/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-33,054.40	100.00	10.00	90.00	80.0	72.00
01/11/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-33,162.80	100.00	10.00	90.00	80.0	72.00
01/11/2018	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-33,286.00	100.00	10.00	90.00	80.0	72.00
01/11/2018	QGW019929 KARP, JEFFREY	HECLA MINING COMPANY	S	-36,568.80	100.00	10.00	90.00	80.0	72.00
01/12/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	B	20,320.00	80.00	10.00	70.00	80.0	56.00
01/12/2018	QGW019929 KARP, JEFFREY	GAMESTOP CORP NEW CLASS A	B	17,755.20	50.00	10.00	40.00	80.0	32.00
01/16/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	B	21,232.00	80.00	10.00	70.00	80.0	56.00
01/16/2018	QGW019929 KARP, JEFFREY	GAMESTOP CORP NEW CLASS A	B	17,285.00	50.00	10.00	40.00	80.0	32.00
01/16/2018	QGW019929 KARP, JEFFREY	GLOBAL X FDS GLOBAL X SILVER MINERS S	S	-89,614.65	80.00	10.00	70.00	80.0	56.00
01/17/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	B	20,302.40	80.00	10.00	70.00	80.0	56.00
01/18/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	B	19,500.00	80.00	10.00	70.00	80.0	56.00
01/18/2018	QGW019929 KARP, JEFFREY	ENDEAVOUR SILVER CORP	B	19,561.60	80.00	10.00	70.00	80.0	56.00
01/18/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	40,785.60	80.00	10.00	70.00	80.0	56.00
01/19/2018	QGW019929 KARP, JEFFREY	RITE AID CORPORATION	S	-34,368.00	150.00	10.00	140.00	80.0	112.00
01/23/2018	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	40,360.00	80.00	10.00	70.00	80.0	56.00
01/24/2018	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	57,981.60	100.00	10.00	90.00	80.0	72.00
01/24/2018	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	S	-34,920.20	50.00	10.00	40.00	80.0	32.00
01/24/2018	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	S	-39,235.95	27.78	10.00	17.78	80.0	14.22
01/24/2018	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	S	-86,653.00	50.00	10.00	40.00	80.0	32.00
01/24/2018	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	S	-31,310.92	22.22	10.00	12.22	80.0	9.78

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/25/2018	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	55,600.00	100.00	10.00	90.00	80.0	72.00
01/25/2018	QGW019929 KARP, JEFFREY	SOUTHWESTERN ENERGY CO	B	20,199.60	80.00	10.00	70.00	80.0	56.00
01/25/2018	QGW019929 KARP, JEFFREY	SOUTHWESTERN ENERGY CO	B	20,467.60	80.00	10.00	70.00	80.0	56.00
12/22/2017	QGW019937 KARP, BARBARA	PAN AMERICAN SILVER CORP	B	-31,335.20	-50.00	-10.00	-40.00	80.0	-32.00 C
01/08/2018	QGW019937 KARP, BARBARA	CVS HEALTH CORPORATION	B	38,997.10	50.00	10.00	40.00	80.0	32.00
01/22/2018	QGW019937 KARP, BARBARA	GILEAD SCIENCES INC	B	40,548.50	50.00	10.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *				\$4,995,271.69	\$7,073.01	\$1,090.00	\$5,983.01		\$4,786.41

PRODUCT CATEGORY: OPTIONS

01/16/2018	75500527 KALOS, PAUL	CALL PNC	05/18/18	140	S	15,750.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *						\$15,750.00	\$50.00	\$10.00	\$40.00		\$32.00

PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT

01/12/2018	75500978 LERNER, SUSAN	STATE BK INDIA NEW YORK NY C/D FDIC S				99,817.50	25.00	10.00	15.00	80.0	12.00
01/16/2018	75500978 LERNER, SUSAN	MORGAN STANLEY BK N A UTAH CD C/D F S				29,854.20	0.00	10.00	-10.00	80.0	-8.00
01/19/2018	75500978 LERNER, SUSAN	MORGAN STANLEY BK N A UTAH CD C/D F S				69,825.00	0.00	10.00	-10.00	80.0	-8.00
* CERTIFICATES OF DEPOSIT SUBTOTAL *						\$199,496.70	\$25.00	\$30.00	\$-5.00		\$-4.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018	75500765 DIMAGGIO, PHILLIP	PIM 018919704			B	0.00	1.91	0.00	1.91	80.0	1.53
01/10/2018	75500765 DIMAGGIO, PHILLIP	PIMCO TOTAL RETURN CLASS A			B	0.00	3.53	0.00	3.53	80.0	2.82
01/26/2018	75500765 DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER			B	0.00	0.89	0.00	0.89	80.0	0.71
01/10/2018	75500766 HAMILTON, DOUGLAS	MFS EMERGING GROWTH FUND CLASS A			B	0.00	25.27	0.00	25.27	80.0	20.22
01/10/2018	75500779 DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICIP			B	0.00	1.94	0.00	1.94	80.0	1.55
01/10/2018	75500779 DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A			B	0.00	2.38	0.00	2.38	80.0	1.90
01/26/2018	75500779 DIPRIMA, ANTHONY	**VICTORY PORTFOLIOS MUNDER			B	0.00	0.87	0.00	0.87	80.0	0.70
01/10/2018	75500780 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A			B	0.00	2.47	0.00	2.47	80.0	1.98
01/10/2018	75500781 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A			B	0.00	3.80	0.00	3.80	80.0	3.04
01/18/2018	75500796 KARP, BETH	**HEARTLAND VALUE FUND INC			B	0.00	135.43	0.00	135.43	80.0	108.34
01/26/2018	75500801 LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER			B	0.00	0.13	0.00	0.13	80.0	0.10
01/10/2018	75500826 HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY			B	0.00	6.14	0.00	6.14	80.0	4.91
01/10/2018	75500826 HORN, LAWRENCE	**VOYA EQUITY TR			B	0.00	5.39	0.00	5.39	80.0	4.31
01/10/2018	75500885 DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B			B	0.00	1.04	0.00	1.04	80.0	0.83
01/10/2018	75500885 DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN B			B	0.00	2.22	0.00	2.22	80.0	1.78
01/10/2018	75500885 DEFRANCESCO, STEPHEN	INVESCO AMERICAN FRANCHISE FUND CLA B			B	0.00	2.84	0.00	2.84	80.0	2.27
01/26/2018	75500885 DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER			B	0.00	0.62	0.00	0.62	80.0	0.50
01/30/2018	75500885 DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND			B	0.00	0.24	0.00	0.24	80.0	0.19
01/18/2018	75500886 STRAUSS, HELENE	**HEARTLAND VALUE FUND INC			B	0.00	13.26	0.00	13.26	80.0	10.61
01/10/2018	75500942 KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B			B	0.00	3.74	0.00	3.74	80.0	2.99
01/10/2018	75500942 KATEN, DOREEN	CAPITAL APPRECIATION A			B	0.00	4.00	0.00	4.00	80.0	3.20
01/10/2018	75500942 KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA B			B	0.00	4.62	0.00	4.62	80.0	3.70

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
01/10/2018	75500942	KATEN, DOREEN		JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	6.58	0.00	6.58	80.0	5.26	
01/10/2018	75500942	KATEN, DOREEN		JOHN HANCOCK FDS II NEW OPPORTUNITI B	0.00	3.93	0.00	3.93	80.0	3.14	
01/26/2018	75500942	KATEN, DOREEN		**VICTORY PORTFOLIOS MUNDER	B	0.00	5.97	0.00	5.97	80.0	4.78
01/30/2018	75500942	KATEN, DOREEN		**SARATOGA HEALTH AND	B	0.00	7.97	0.00	7.97	80.0	6.38
01/10/2018	75500943	KATEN, DOREEN		ALLIANZ RCM TECHNOLOGY FUND CLASS A B	0.00	0.48	0.00	0.48	80.0	0.38	
01/10/2018	75500947	BIEL, LYNN		EHV 27826L322	B	0.00	21.35	0.00	21.35	80.0	17.08
01/10/2018	75500947	BIEL, LYNN		FRANKLIN NEW YORK TAX-FREE INCOME F B	0.00	19.50	0.00	19.50	80.0	15.60	
01/10/2018	75500947	BIEL, LYNN		ROCHESTER FUND MUNICIPALS A	B	0.00	33.92	0.00	33.92	80.0	27.14
01/10/2018	75500947	BIEL, LYNN		WESTERN ASSET NEW YORK MUNICIPALS F B	0.00	15.84	0.00	15.84	80.0	12.67	
01/10/2018	75500965	BLASIUS, MARK		EATON VANCE TAX MANAGED GLOBAL DIVI B	0.00	16.46	0.00	16.46	80.0	13.17	
01/10/2018	75500965	BLASIUS, MARK		STRATEGIC INCOME A	B	0.00	37.55	0.00	37.55	80.0	30.04
01/10/2018	75500965	BLASIUS, MARK		US GLOBAL LEADERS GROWTH A	B	0.00	18.00	0.00	18.00	80.0	14.40
01/10/2018	75501063	GEVARYAHU, GILAD		AIM INTERMEDIATE GOVERNMENT FD - CL B	0.00	6.98	0.00	6.98	80.0	5.58	
01/10/2018	75501070	TAYLOR, ROBERT		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.20	0.00	2.20	80.0	1.76	
01/10/2018	75501087	MARK & CHARLES		AIM GLOBAL HEALTH CARE FUND CLASS A B	0.00	31.31	0.00	31.31	80.0	25.05	
01/10/2018	75501087	MARK & CHARLES		EHV 277902813	B	0.00	17.54	0.00	17.54	80.0	14.03
01/10/2018	75501087	MARK & CHARLES		GOLDMAN SACHS TOLLKEEPER FUND CLASS B	0.00	69.82	0.00	69.82	80.0	55.86	
01/26/2018	75501087	MARK & CHARLES		**VICTORY PORTFOLIOS	B	0.00	30.14	0.00	30.14	80.0	24.11
01/10/2018	75501097	CARMAN, JOSEPH		FEDERATED MID CAP GROWTH STRATEGIES B	0.00	3.77	0.00	3.77	80.0	3.02	
01/10/2018	75501097	CARMAN, JOSEPH		FEDERATED INTERNATIONAL SMALL-COMPA B	0.00	3.04	0.00	3.04	80.0	2.43	
01/10/2018	75501118	BYRNE, MARY		PIONEER CULLEN VALUE FUND CLASS A	B	0.00	3.07	0.00	3.07	80.0	2.46
01/10/2018	75501118	BYRNE, MARY		PIONEER HIGH YIELD FUND A	B	0.00	5.26	0.00	5.26	80.0	4.21
01/10/2018	75501135	BIJARCHI, T MATTHEW		CALAMOS GROWTH AND INCOME CLASS A	B	0.00	28.56	0.00	28.56	80.0	22.85
01/10/2018	75501135	BIJARCHI, T MATTHEW		CALAMOS GROWTH FUND CLASS A	B	0.00	10.58	0.00	10.58	80.0	8.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$622.55	\$0.00	\$622.55		\$498.04	
*** BROKER TRANSACTIONS TOTAL ***					\$5,210,518.39	\$7,770.56	\$1,130.00	\$6,640.56		\$5,312.45	

ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
01/31/2018		OTHER: OTHER-DISABLITY INSURANCE		0.00	0.00	0.00	0.00		-2.04
01/31/2018		OTHER: OTHER-WORKMAN COMP		0.00	0.00	0.00	0.00		-133.34
01/31/2018		OTHER: OTHER-UNEMPLOYMENT		0.00	0.00	0.00	0.00		-391.12
01/31/2018		OTHER: OTHER--IRENE		0.00	0.00	0.00	0.00		2,051.53
01/31/2018		OTHER: OTHER-FICA		0.00	0.00	0.00	0.00		-282.75
01/31/2018		OTHER: OTHER-METRO TAX		0.00	0.00	0.00	0.00		-14.71
01/31/2018		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$1,027.05

BROKER COMMISSION TOTALS

Broker Transactions	\$5,312.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,027.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-9.71
SIPC Assessment	\$-19.43

Check Amount	\$6,310.36
Year-to-date Earnings	\$6,310.36