



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
Page No. 1

GARY, H127 HUGHES
1111 2nd Street

Hermosa Beach, CA 90254

BROKER #: H127
BRANCH: GARY HUGHES

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u> | | | | | | | | |
| 03/15/2018 | PETAL TRUST, ROSE | HPI FUND VI LLC | 200,000.00 | 12,000.00 | 0.00 | 12,000.00 | 90.0 | 10,800.00 |
| | | * PRIVATE PLACEMENT SUBTOTAL * | \$200,000.00 | \$12,000.00 | \$0.00 | \$12,000.00 | | \$10,800.00 |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$200,000.00 | \$12,000.00 | \$0.00 | \$12,000.00 | | \$10,800.00 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 04/15/2018 | | *CONCIERGE SVC: 211668 BATO | 0.00 | | | | | -50.00 |
| 04/15/2018 | | *CONCIERGE SVC: 211677 GOLDSTEIN | 0.00 | | | | | -50.00 |
| | | TTEE | | | | | | |
| 04/15/2018 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 04/15/2018 | | *TECH: ADMIN J HUGHES | 0.00 | | | | | -100.00 |
| 04/15/2018 | | *TECHNOLOGY: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-775.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$10,800.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-775.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$10,025.00 |
| Year-to-date Gross | \$330,500.00 |
| Year-to-date Earnings | \$296,637.19 |