

COMMISSION STATEMENT

January 31, 2018

ANDREW LINDNER
37 GOLDENEYE LANEBROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/27/2017	QGN005274	OLSTEIN ALL CAP	LOWES COMPANIES INC	S	-184,500.00	60.00	6.00	54.00	50.0	27.00
01/03/2018	QGN005274	OLSTEIN ALL CAP	WESTROCK COMPANY COM	S	-294,559.88	134.97	6.00	128.97	50.0	64.49
01/08/2018	QGN005274	OLSTEIN ALL CAP	SNAP-ON INC	S	-360,000.00	60.00	6.00	54.00	50.0	27.00
01/08/2018	QGN005274	OLSTEIN ALL CAP	TEXAS INSTRUMENTS INCORPORATED	S	-220,004.40	60.00	6.00	54.00	50.0	27.00
01/08/2018	QGN005274	OLSTEIN ALL CAP	UNITED TECHNOLOGIES CORP	S	-133,630.10	30.00	6.00	24.00	50.0	12.00
01/08/2018	QGN005274	OLSTEIN ALL CAP	VISA INC CL A COMMON STOCK	S	-239,251.20	60.00	6.00	54.00	50.0	27.00
01/09/2018	QGN005274	OLSTEIN ALL CAP	BB&T CORP	S	-1,308,132.50	750.00	6.00	744.00	50.0	372.00
01/10/2018	QGN005274	OLSTEIN ALL CAP	BB&T CORP	S	-633,590.40	360.00	6.00	354.00	50.0	177.00
01/11/2018	QGN005274	OLSTEIN ALL CAP	DISCOVERY COMMUNICATIONS INC COM SE	S	-227,000.00	300.00	6.00	294.00	50.0	147.00
01/17/2018	QGN005274	OLSTEIN ALL CAP	CATERPILLAR INC	S	-170,000.00	30.00	6.00	24.00	50.0	12.00
01/17/2018	QGN005274	OLSTEIN ALL CAP	CISCO SYS INC	S	-205,001.00	150.00	6.00	144.00	50.0	72.00
01/18/2018	QGN005274	OLSTEIN ALL CAP	MASTERCARD INCORPORATED	S	-825,220.00	150.00	6.00	144.00	50.0	72.00
01/19/2018	QGN005274	OLSTEIN ALL CAP	HARLEY DAVIDSON INC	S	-1,057,800.00	600.00	6.00	594.00	50.0	297.00
01/19/2018	QGN005274	OLSTEIN ALL CAP	SKECHERS USA INC CL A	S	-628,670.64	468.00	6.00	462.00	50.0	231.00
01/22/2018	QGN005274	OLSTEIN ALL CAP	HARLEY DAVIDSON INC	S	-3,361,465.80	1,890.00	6.00	1,884.00	50.0	942.00
01/24/2018	QGN005274	OLSTEIN ALL CAP	MOODYS CORP COM	S	-486,999.90	90.00	6.00	84.00	50.0	42.00
01/25/2018	QGN005274	OLSTEIN ALL CAP	DANAHER CORPORATION	S	-410,460.00	120.00	6.00	114.00	50.0	57.00
01/25/2018	QGN005274	OLSTEIN ALL CAP	DANAHER CORPORATION	S	-205,000.00	60.00	6.00	54.00	50.0	27.00
01/25/2018	QGN005274	OLSTEIN ALL CAP	DANAHER CORPORATION	S	410,460.00	-120.00	-6.00	-114.00	50.0	-57.00
01/25/2018	QGN005274	OLSTEIN ALL CAP	SMUCKER J M COMPANY	S	-661,015.00	150.00	6.00	144.00	50.0	72.00
01/26/2018	QGN005274	OLSTEIN ALL CAP	DANAHER CORPORATION	S	-206,000.00	60.00	6.00	54.00	50.0	27.00
01/19/2018	QGN005282	OPPORTUNITIES,	SKECHERS USA INC CL A	S	-78,583.83	58.50	6.00	52.50	50.0	26.25
01/19/2018	QGN005308	DIOCESE OF ARLINGTON	SKECHERS USA INC CL A	S	-8,059.88	6.00	6.00	0.00	50.0	0.00
12/29/2017	QGV005689	MERRILL LYNCH PROF	NEPTUNE TECHNOLOGIES & BIOSOURCES I	B	271,669.94	4,948.00	6.00	4,942.00	50.0	2,471.00
01/17/2018	QGV005689	MERRILL LYNCH PROF	QUANTERIX CORP COM	S	-414,384.00	800.00	6.00	794.00	50.0	397.00
12/28/2017	QGW896664	RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	289.50	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664	RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	289.50	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664	RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	289.50	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664	RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	290.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664	RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	290.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664	RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	870.00	0.00	6.00	-6.00	50.0	-3.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017	QGW896664 RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	870.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	290.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	2,900.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	290.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	22ND CENTY GROUP INC COM	B	7,821.90	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	450.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	247.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	545.60	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	248.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	396.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	1,200.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	1,680.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	1,200.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	240.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	1,680.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	287.82	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	KINERJAPAY CORP COM	B	3,772.08	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,799.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,799.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	5,596.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	28,000.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	448.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	56.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	28.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	1,092.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,016.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	28,000.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	7,000.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	196.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	4,620.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	1,288.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	420.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	5,600.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	2,800.00	0.00	6.00	-6.00	50.0	-3.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	5,236.00	0.00	6.00	-6.00	50.0	-3.00
12/28/2017	QGW896664 RF LAFFERTY & CO	RIOT BLOCKCHAIN INC COM	B	25,200.00	0.00	6.00	-6.00	50.0	-3.00
* STOCKS SUBTOTAL *				-11,470,767.69	\$11,275.47	\$426.00	\$10,849.47		\$5,424.74
<u>PRODUCT CATEGORY: BONDS</u>									
01/02/2018	QGN001000 WORKERS LOCAL 451	BECTON DICKINSON & CO FXD RT SR NT S		-101,950.00	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001059 OF, INTERNATIONAL	BECTON DICKINSON & CO FXD RT SR NT S		-71,365.00	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN001083 TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.000% 02/1 S		-48,406.25	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001091 STACEY BRAUN	BECTON DICKINSON & CO FXD RT SR NT S		-35,682.50	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001158 CPA'S, SCHULTHEIS &	BECTON DICKINSON & CO FXD RT SR NT S		-50,975.00	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN001273 PUBLIC EMPLOYEES	UNITED STATES TREAS NTS 1.625% 02/1 S		-46,460.94	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001430 WORKERS LOCAL 12,	BECTON DICKINSON & CO FXD RT SR NT S		-25,487.50	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001463 COUNCIL, TEAMSTER	BECTON DICKINSON & CO FXD RT SR NT S		-76,462.50	50.00	5.00	45.00	50.0	22.50
01/26/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS BDS 2.875% 08/1 S		-49,609.38	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS BDS 2.750% 11/1 S		-122,285.16	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001620 LOCAL, WESTCHESTER	BECTON DICKINSON & CO FXD RT SR NT S		-50,975.00	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN001745 RETIREMENT, SOUTHERN	BECTON DICKINSON & CO FXD RT SR NT S		-305,850.00	93.75	5.00	88.75	50.0	44.38
01/02/2018	QGN001968 NO1, BRICKLAYERS	QUALCOMM INC FXD RT NT 4.800% 05/20 S		-16,087.50	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN002172 STACEY BRAUN & ASSOC	BECTON DICKINSON & CO FXD RT SR NT S		-25,487.50	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN002172 STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 11/1 S		-24,515.63	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN002206 817 AFFILIATED WITH	QUALCOMM INC FXD RT NT 4.800% 05/20 S		-42,100.00	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN002248 LOCAL 1205 WELFARE	FIFTH THIRD BANCORP FXD RT SUB NT 4 S		-52,887.50	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN002248 LOCAL 1205 WELFARE	LOCKHEED MARTIN CORP FXD RT SER B 4 S		-52,275.00	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN002248 LOCAL 1205 WELFARE	ORACLE CORP FXD RT SR NT 3.900% 05/ S		-52,202.50	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN002248 LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.500% 08/1 S		-22,988.28	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN002248 LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.250% 08/1 S		-24,210.94	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN003188 OPPENHEIMER & CO	BECTON DICKINSON & CO FXD RT SR NT S		-10,195.00	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN004038 OPPENHEIMER & CO	BECTON DICKINSON & CO FXD RT SR NT S		-101,950.00	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN006041 TEAMSTERS LOCAL 237	BECTON DICKINSON & CO NT 6.000% 05/ S		-28,250.00	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN006223 STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 08/1 S		-24,000.00	50.00	5.00	45.00	50.0	22.50
01/22/2018	QGN006363 STACEY BRAUN & ASSOC	UNION PAC CORP FXD RT NT 3.375% 02/ B		248,625.00	78.13	5.00	73.13	50.0	36.57
01/23/2018	QGN006363 STACEY BRAUN & ASSOC	INTEL CORP SR NT 4.000% 12/15/32 B/ B		323,850.00	93.75	5.00	88.75	50.0	44.38
01/26/2018	QGN006363 STACEY BRAUN & ASSOC	FORD MTR CR CO LLC FXD RT 2.875% 10 S		-300,915.00	93.75	5.00	88.75	50.0	44.38
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	FEDERAL NATL MTG ASSN WITH 10-DAY C B		14,362.50	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	FEDERAL NATL MTG ASSN WITH 10-DAY C B		-14,362.50	-50.00	-5.00	-45.00	50.0	-22.50 C
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	FEDERAL NATL MTG ASSN WITH 10-DAY C B		14,362.50	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.125% 12/3 B		24,671.88	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 07/3 B		24,937.50	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.125% 12/3 B		-24,671.88	-50.00	-5.00	-45.00	50.0	-22.50 C
01/18/2018	QGN006405 STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.125% 12/3 B		24,671.88	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
01/18/2018	QGN006405	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 07/3 B	-24,937.50	-50.00	-5.00	-45.00	50.0	-22.50 C
01/18/2018	QGN006405	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 07/3 B	24,937.50	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.875% 05/1 S	-29,976.56	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN026239	PNC BANK	UNITED STATES TREAS BDS 2.750% 11/1 S	-48,914.06	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 S	-46,250.00	50.00	5.00	45.00	50.0	22.50
01/26/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 07/3 S	-2,496.09	50.00	5.00	45.00	50.0	22.50
01/26/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 07/3 S	2,496.09	-50.00	-5.00	-45.00	50.0	-22.50 C
01/26/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 07/3 S	-24,960.94	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.000% 08/1 S	-24,000.00	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.250% 08/1 S	-9,684.38	50.00	5.00	45.00	50.0	22.50
01/18/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.000% 08/1 S	24,000.00	-50.00	-5.00	-45.00	50.0	-22.50 C
01/02/2018	QGN027088	237, TEAMSTERS	BECTON DICKINSON & CO FXD RT SR NT S	-143,749.50	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN027104	LEAQGUE GRAPHIC	BECTON DICKINSON & CO FXD RT SR NT S	-35,682.50	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 2.875% 05/1 S	-35,453.90	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.500% 08/1 S	-45,976.56	50.00	5.00	45.00	50.0	22.50
01/02/2018	QGN027898	LOCAL 237	BECTON DICKINSON & CO FXD RT SR NT S	-71,365.00	50.00	5.00	45.00	50.0	22.50
01/10/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.500% 02/1 S	-32,375.00	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$-1,651,515.60</u>	<u>\$2,259.38</u>	<u>\$210.00</u>	<u>\$2,049.38</u>		<u>\$1,024.71</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>-13,122,283.29</u>	<u>\$13,534.85</u>	<u>\$636.00</u>	<u>\$12,898.85</u>		<u>\$6,449.45</u>

ADJUSTMENTS

01/31/2018	OTHER: BLOOMBERG	0.00	0.00	0.00	0.00	-996.21
01/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
01/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
01/31/2018	OTHER: OTHER-QGN	0.00	0.00	0.00	0.00	-1.88
01/31/2018	OTHER: OTHER-PERSHING INVOICE	0.00	0.00	0.00	0.00	-96.51
01/31/2018	OTHER: OTHER-OPTIONS PRICE	0.00	0.00	0.00	0.00	-51.45
01/31/2018	OTHER: OTHER-STACEY BRAUN	0.00	0.00	0.00	0.00	-734.30
01/31/2018	OTHER: OTHER-QGN896672	0.00	0.00	0.00	0.00	-268.92
01/31/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-212.50
01/31/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.33
01/31/2018	OTHER: EZ CASTLE	0.00	0.00	0.00	0.00	-55.56
01/31/2018	OTHER: PIVOT	0.00	0.00	0.00	0.00	-68.05
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-2,574.71</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,449.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,574.71
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.92
SIPC Assessment	\$-33.84

Check Amount	\$3,823.98
Year-to-date Earnings	\$3,823.98