



All Companies

05/30/2018

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# COMMISSION STATEMENT

June 01, 2018

JAMES M WAGNER  
1616 REDWOOD DR.

BROKER #: 9634  
BRANCH: HOME OFFICE

Corinth, TX 76210-

## COMMISSION STATEMENT for JAMES M WAGNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

04/13/2018	10040858	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	80.0	14.14
04/13/2018	10486345	LORIA, BRIAN & MARY	SIGNAL SECURITIES RIA	B	0.00	464.00	0.00	464.00	80.0	371.20
04/13/2018	11850980	ESHBAUGH, MARIE ANN	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	80.0	8.00
04/13/2018	13943878	BRYANT, TRAVIS/ELIZABET	SIGNAL SECURITIES RIA	B	0.00	55.33	0.00	55.33	80.0	44.26
04/13/2018	17370769	BRYANT, TRAVIS/ELIZABET	SIGNAL SECURITIES RIA	B	0.00	51.67	0.00	51.67	80.0	41.34
04/13/2018	18535467	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	80.0	29.34
04/13/2018	19298013	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33	80.0	53.06
04/13/2018	19469299	HARRIS, AVRILLE	SIGNAL SECURITIES RIA	B	0.00	1.33	0.00	1.33	80.0	1.06
04/13/2018	20830445	BENZING, LINDA TRAMMEL	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	80.0	2.94
04/13/2018	21091566	ERWIN, REBECCA A	SIGNAL SECURITIES RIA	B	0.00	29.67	0.00	29.67	80.0	23.74
04/13/2018	22829176	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	1,126.00	0.00	1,126.00	80.0	900.80
04/13/2018	22918120	KORN, MARTIN & LINDA	SIGNAL SECURITIES RIA	B	0.00	178.67	0.00	178.67	80.0	142.94
04/13/2018	25156947	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	192.33	0.00	192.33	80.0	153.86
04/13/2018	25839119	ESHBAUGH, MARIE ANN	SIGNAL SECURITIES RIA	B	0.00	298.33	0.00	298.33	80.0	238.66
04/13/2018	26186475	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	492.67	0.00	492.67	80.0	394.14
04/13/2018	27001361	LANDEN, DIANNE M	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	80.0	23.46
04/13/2018	29729793	HAMMETT, KIMBERLY Z	SIGNAL SECURITIES RIA	B	0.00	37.67	0.00	37.67	80.0	30.14
04/13/2018	30264063	FLORY, JUDY ANN	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	80.0	15.46
04/13/2018	30943140	BENZING, ALLEN J	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	80.0	3.74
04/13/2018	36980599	FLORY, JEFFREY JAY	SIGNAL SECURITIES RIA	B	0.00	109.00	0.00	109.00	80.0	87.20
04/13/2018	37375718	LORIA, MARY R	SIGNAL SECURITIES RIA	B	0.00	67.00	0.00	67.00	80.0	53.60
04/13/2018	40815510	VALLIERE, RALPH R	SIGNAL SECURITIES RIA	B	0.00	666.00	0.00	666.00	80.0	532.80
04/13/2018	43937292	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	80.0	12.80
04/13/2018	45378328	HUGGINS, SHEILA J	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	80.0	50.94
04/13/2018	47057974	LITTLETON, LYNN	SIGNAL SECURITIES RIA	B	0.00	193.33	0.00	193.33	80.0	154.66
04/13/2018	47494579	KELLY, LINDA MAY	SIGNAL SECURITIES RIA	B	0.00	110.33	0.00	110.33	80.0	88.26
04/13/2018	47600328	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	99.67	0.00	99.67	80.0	79.74
04/13/2018	53797024	LANDEN, DIANNE M	SIGNAL SECURITIES RIA	B	0.00	31.67	0.00	31.67	80.0	25.34

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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

04/13/2018	54775680	SCOTT, DAVID/SARAH	SIGNAL SECURITIES RIA	B	0.00	1,166.33	0.00	1,166.33	80.0	933.06
04/13/2018	54925593	JOHNSON, CAROL L	SIGNAL SECURITIES RIA	B	0.00	746.00	0.00	746.00	80.0	596.80
04/13/2018	57171238	HARRIS, AVRILLE	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	80.0	12.00
04/13/2018	57366997	ZANDER, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	50.67	0.00	50.67	80.0	40.54
04/13/2018	64853567	HARRIS, AVRILLE	SIGNAL SECURITIES RIA	B	0.00	84.00	0.00	84.00	80.0	67.20
04/13/2018	67428720	HANLEY, RHONDA R	SIGNAL SECURITIES RIA	B	0.00	35.00	0.00	35.00	80.0	28.00
04/13/2018	68565893	LORIA, BRIAN & MARY	SIGNAL SECURITIES RIA	B	0.00	501.33	0.00	501.33	80.0	401.06
04/13/2018	69154032	PESENKO, RICHARD D	SIGNAL SECURITIES RIA	B	0.00	140.33	0.00	140.33	80.0	112.26
04/13/2018	70538739	ZUPANCIC, JILL O	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	80.0	15.46
04/13/2018	71473807	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	80.0	18.66
04/13/2018	71490463	PESENKO, RICHARD D	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	80.0	3.74
04/13/2018	72154080	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	80.0	25.06
04/13/2018	74912863	BENZING, ALLEN J	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	80.0	16.54
04/13/2018	75355277	JOHNSON, CAROL L	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	80.0	0.26
04/13/2018	76564602	KORN, MARTIN & LINDA	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	80.0	49.34
04/13/2018	77729049	GOMEZ, ADRIANA H	SIGNAL SECURITIES RIA	B	0.00	152.33	0.00	152.33	80.0	121.86
04/13/2018	82493669	ZUPANCIC, JILL O	SIGNAL SECURITIES RIA	B	0.00	88.67	0.00	88.67	80.0	70.94
04/13/2018	85700172	HUGGINS, SHEILA J	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	80.0	35.20
04/13/2018	89362682	HAMMETT, KIMBERLY Z	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	80.0	17.86
04/13/2018	91197931	BENZING, ALLEN J	SIGNAL SECURITIES RIA	B	0.00	99.33	0.00	99.33	80.0	79.46
04/13/2018	98573966	POLING, REBECCA J	SIGNAL SECURITIES RIA	B	0.00	35.00	0.00	35.00	80.0	28.00
04/13/2018	99643452	HAMMETT, ROBERT	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	80.0	26.94

WILLIAM

\* RIA SUBTOTAL \*

\$0.00

\$7,847.33

\$0.00

\$7,847.33

\$6,277.86

\*\*\* BROKER TRANSACTIONS TOTAL \*\*\*

\$0.00

\$7,847.33

\$0.00

\$7,847.33

\$6,277.86

SPLIT TRANSACTIONSBROKER: WAGNER/BARFIELD #9036

04/13/2018	48948925	PANNECK, DEBRA G.	SIGNAL SECURITIES RIA	B	0.00	74.31	0.00	74.31	80.0	59.45
* WAGNER/BARFIELD SUBTOTAL *					\$0.00	\$74.31	\$0.00	\$74.31		\$59.45
*** SPLIT TRANSACTIONS TOTAL ***					\$0.00	\$74.31	\$0.00	\$74.31		\$59.45

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ADJUSTMENTS

06/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
06/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
06/01/2018		TECH: WAGNER ASSISTANT TECH FEES		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-147.50

BROKER COMMISSION TOTALS

Broker Transactions	\$6,277.86
Split Transactions	\$59.45
Override Transactions	\$0.00
Adjustments	\$-147.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-147.50

Check Amount	\$6,042.31
Year-to-date Earnings	\$37,035.37