

**COMMISSION STATEMENT****April 15, 2018**

JON PAUL, C141 CIRELLI
 14255 US Hwy One
 Suite 283
 Juno Beach, FL 33408

BROKER #: C141
BRANCH: FORTITUDE-JUNO BEACH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
03/15/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	19.56	0.00	19.56	95.0	18.58
		* REIT SUBTOTAL *	\$0.00	\$19.56	\$0.00	\$19.56		\$18.58
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.08	0.00	11.08	95.0	10.53
03/01/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.23	0.00	33.23	95.0	31.57
03/23/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.05	0.00	10.05	95.0	9.55
03/23/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	30.14	0.00	30.14	95.0	28.63
03/19/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.12	0.00	5.12	95.0	4.86
03/19/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.44	0.00	9.44	95.0	8.97
03/23/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.26	0.00	5.26	95.0	5.00
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$104.32	\$0.00	\$104.32		\$99.11
		*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$123.88	\$0.00	\$123.88		\$117.69

SPLIT TRANSACTIONS**BROKER: DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR #251**

03/08/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.33	0.00	2.33	95.0	2.21
		* DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR SUBTOTAL *	\$0.00	\$2.33	\$0.00	\$2.33		\$2.21

BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254

03/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.03	0.00	0.03	95.0	0.03
03/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.08	0.00	0.08	95.0	0.08
03/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.02	0.00	0.02	95.0	0.02
03/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.24	0.00	0.24	95.0	0.23
03/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.20	0.00	0.20	95.0	0.19
03/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.17	0.00	1.17	95.0	1.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
03/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.04	0.00	1.04	95.0	0.99
03/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	95.0	0.09
03/23/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.06	0.00	0.06	95.0	0.06
03/12/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.14	0.00	0.14	95.0	0.13
03/12/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.63	0.00	0.63	95.0	0.60
/ /	MORROUN, STEVEN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.06	0.00	0.06	95.0	0.06
/ /	MORROUN, STEVEN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.08	0.00	0.08	95.0	0.08
03/22/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.27	0.00	0.27	95.0	0.26
04/06/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	-9,319.47	3.30	3.30	0.00	95.0	0.00
04/06/2018	BARRY, ROBERT	VANGUARD WORLD FD VANGUARD MEGA CAP	11,476.02	0.00	3.38	-3.38	95.0	-3.21
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$2,156.55	\$7.41	\$6.68	\$0.73		\$0.72
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
03/28/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.03	0.00	2.03	95.0	1.93
03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.76	0.00	6.76	95.0	6.42
03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.70	0.00	5.70	95.0	5.42
03/01/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.10	0.00	17.10	95.0	16.25
03/01/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.33	0.00	5.33	95.0	5.06
03/01/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.00	0.00	16.00	95.0	15.20
03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.14	0.00	6.14	95.0	5.83
03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.17	0.00	5.17	95.0	4.91
03/23/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.51	0.00	15.51	95.0	14.73
03/23/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.84	0.00	4.84	95.0	4.60
03/23/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.51	0.00	14.51	95.0	13.78
03/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.83	0.00	4.83	95.0	4.59
03/19/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.47	0.00	14.47	95.0	13.75
03/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.09	0.00	3.09	95.0	2.94
03/19/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.26	0.00	9.26	95.0	8.80
03/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.75	0.00	4.75	95.0	4.51
03/19/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.23	0.00	14.23	95.0	13.52
03/19/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.01	0.00	2.01	95.0	1.91
03/19/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.90	0.00	1.90	95.0	1.81
03/19/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.28	0.00	2.28	95.0	2.17
03/19/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.58	0.00	1.58	95.0	1.50

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
03/23/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	95.0	0.37
03/23/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.22	0.00	8.22	95.0	7.81
03/23/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	95.0	0.31
03/12/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	63.45	0.00	63.45	95.0	60.28
03/14/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.08	0.00	2.08	95.0	1.98
* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *			\$0.00	\$231.96	\$0.00	\$231.96		\$220.38
<u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u>								
03/15/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.11	0.00	4.11	95.0	3.90
03/19/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.45	0.00	0.45	95.0	0.43
03/23/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.88	0.00	2.88	95.0	2.74
03/23/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.18	0.00	1.18	95.0	1.12
03/23/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.90	0.00	1.90	95.0	1.81
03/23/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.53	0.00	0.53	95.0	0.50
03/23/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.24	0.00	4.24	95.0	4.03
03/23/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.39	0.00	2.39	95.0	2.27
03/23/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.02	0.00	1.02	95.0	0.97
03/23/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.69	0.00	0.69	95.0	0.66
03/23/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.10	0.00	2.10	95.0	2.00
03/23/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.44	0.00	0.44	95.0	0.42
04/10/2018	DIDOMENICO, JOHN	MOODY DFW DST	73,102.00	1,096.53	0.00	1,096.53	95.0	1,041.70
03/20/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.25	0.00	2.25	95.0	2.14
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$73,102.00	\$1,120.71	\$0.00	\$1,120.71		\$1,064.69
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
03/15/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	95.0	0.07
03/29/2018	GARRETT, DIANA	AXA ACCUM 07 ELITE (RO IRA)	61,916.08	38.70	0.00	38.70	95.0	36.77
03/08/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.00	0.00	2.00	95.0	1.90
03/08/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.32	0.00	0.32	95.0	0.30
03/08/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.07	0.00	1.07	95.0	1.02
03/08/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.48	0.00	1.48	95.0	1.41
03/21/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.72	0.00	0.72	95.0	0.68
03/23/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.94	0.00	0.94	95.0	0.89
03/23/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.09	0.00	2.09	95.0	1.99

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
03/23/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.33	0.00	0.33	95.0	0.31
03/23/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	95.0	0.11
03/23/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.28	0.00	1.28	95.0	1.22
03/23/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.73	0.00	0.73	95.0	0.69
03/12/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.23	0.00	2.23	95.0	2.12
03/14/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.67	0.00	0.67	95.0	0.64
03/14/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.72	0.00	0.72	95.0	0.68
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$61,916.08	\$53.47	\$0.00	\$53.47		\$50.80
<u>BROKER: DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE #259</u>								
03/08/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.16	0.00	3.16	95.0	3.00
03/23/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.65	0.00	0.65	95.0	0.62
* DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$3.81	\$0.00	\$3.81		\$3.62
<u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u>								
03/19/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.21	0.00	0.21	95.0	0.20
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.21	\$0.00	\$0.21		\$0.20
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
03/15/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	95.0	0.07
03/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.70	0.00	2.70	95.0	2.57
03/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	6.33	0.00	6.33	95.0	6.01
03/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.19	0.00	4.19	95.0	3.98
03/16/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.03	0.00	3.03	95.0	2.88
03/16/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.25	0.00	0.25	95.0	0.24
03/16/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.25	0.00	0.25	95.0	0.24
04/02/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	-31,712.28	10.12	10.12	0.00	95.0	0.00
03/01/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.50	0.00	0.50	95.0	0.48
03/01/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.24	0.00	1.24	95.0	1.18
03/01/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.45	0.00	4.45	95.0	4.23
03/01/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.37	0.00	13.37	95.0	12.70
03/23/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.46	0.00	0.46	95.0	0.44
03/23/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.11	0.00	1.11	95.0	1.05
03/23/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.05	0.00	4.05	95.0	3.85
03/23/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.12	0.00	12.12	95.0	11.51

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.11	0.00	1.11	95.0	1.05
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.33	0.00	3.33	95.0	3.16
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.39	0.00	1.39	95.0	1.32
03/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.16	0.00	4.16	95.0	3.95
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.93	0.00	0.93	95.0	0.88
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.77	0.00	2.77	95.0	2.63
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.11	0.00	1.11	95.0	1.05
03/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.33	0.00	3.33	95.0	3.16
03/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.88	0.00	3.88	95.0	3.69
03/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.64	0.00	11.64	95.0	11.06
03/19/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.70	0.00	0.70	95.0	0.67
03/19/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.09	0.00	1.09	95.0	1.04
03/19/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.90	0.00	1.90	95.0	1.81
03/19/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.52	0.00	1.52	95.0	1.44
03/19/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.27	0.00	1.27	95.0	1.21
03/19/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.81	0.00	1.81	95.0	1.72
03/19/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.38	0.00	5.38	95.0	5.11
03/19/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.15	0.00	0.15	95.0	0.14
03/19/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.15	0.00	0.15	95.0	0.14
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$-31,712.28	\$111.86	\$10.12	\$101.74		\$96.66
<u>BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350</u>								
04/09/2018	EDALCO D LLC	EXCHANGERIGHT ELPASO APRTMNT PF DST	2,036,443.78	35,637.77	0.00	35,637.77	95.0	33,855.88
04/06/2018	THEODIVA REALTY CORP	MOODY DFW DST	100,754.72	1,511.32	0.00	1,511.32	95.0	1,435.75
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$2,137,198.50	\$37,149.09	\$0.00	\$37,149.09		\$35,291.63
<u>BROKER: ROB/DAN/JON PAU FT-P128 PECHA/RAUPP #379</u>								
04/06/2018	JT SMITH & SONS, REALTY CORP	MOODY DFW DST	774,475.63	9,293.71	0.00	9,293.71	95.0	8,829.02
* ROB/DAN/JON PAU FT-P128 PECHA/RAUPP SUBTOTAL *			\$774,475.63	\$9,293.71	\$0.00	\$9,293.71		\$8,829.02
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
03/23/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.36	0.00	0.36	95.0	0.34
03/12/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.99	0.00	0.99	95.0	0.94
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.35	\$0.00	\$1.35		\$1.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400								
03/19/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.74	0.00	0.74	95.0	0.70
03/19/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.53	0.00	0.53	95.0	0.50
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$1.27	\$0.00	\$1.27		\$1.20
*** SPLIT TRANSACTIONS TOTAL ***			\$3,017,136.48	\$47,977.18	\$16.80	\$47,960.38		\$45,562.41

<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARC, L134 LINSKY #10								
04/02/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.24
04/02/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	0.16
04/02/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.24
03/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.16
03/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.12
03/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$0.98

BROKER: MARC, L134 LINSKY #263								
03/14/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.83	0.00	0.83	90.0	0.01
03/14/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	12.59	0.00	12.59	90.0	0.20
03/29/2018	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	121,047.89	302.62	0.00	302.62	90.0	4.84
03/29/2018	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	35,551.48	88.88	0.00	88.88	90.0	1.42
03/29/2018	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	277,355.95	693.39	0.00	693.39	90.0	11.09
03/29/2018	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	70,674.58	176.69	0.00	176.69	90.0	2.83
03/29/2018	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	21,185.73	52.96	0.00	52.96	90.0	0.85
03/29/2018	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	138,266.15	345.67	0.00	345.67	90.0	5.53
03/16/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.05	0.00	4.05	90.0	0.06
03/15/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.83	0.00	3.83	90.0	0.06
03/15/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.37	0.00	6.37	90.0	0.10
03/15/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.42	0.00	0.42	90.0	0.01
03/15/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.40	0.00	1.40	90.0	0.02
03/15/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.01
03/15/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.46	0.00	0.46	90.0	0.01
03/15/2018	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	0.94	0.00	0.94	90.0	0.02
03/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.91	0.00	0.91	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/15/2018	APPLEGATE, PAMAELE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	90.0	0.01
03/15/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.72	0.00	3.72	90.0	0.06
03/15/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.96	0.00	2.96	90.0	0.05
03/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.24	0.00	1.24	90.0	0.02
03/15/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	90.0	0.01
03/15/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.71	0.00	2.71	90.0	0.04
03/15/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.68	0.00	12.68	90.0	0.20
03/15/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.51	0.00	1.51	90.0	0.02
04/02/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.18
03/15/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	90.0	0.01
03/15/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.57	0.00	4.57	90.0	0.07
03/15/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	0.02
03/15/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.25	0.00	6.25	90.0	0.10
03/15/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.77	0.00	4.77	90.0	0.08
03/15/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.79	0.00	1.79	90.0	0.03
03/15/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.01
03/15/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	90.0	0.02
03/15/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	90.0	0.03
03/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.02
03/15/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.01
03/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.69	0.00	2.69	90.0	0.04
03/15/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.65	0.00	2.65	90.0	0.04
03/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.42	0.00	5.42	90.0	0.09
03/15/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.51	0.00	5.51	90.0	0.09
03/15/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.47	0.00	1.47	90.0	0.02
03/15/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.78	0.00	8.78	90.0	0.14
03/15/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.43	0.00	0.43	90.0	0.01
03/15/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.51	0.00	0.51	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.91	0.00	0.91	90.0	0.01
03/15/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.01
03/15/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/15/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.01
03/15/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02
03/15/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.27	0.00	5.27	90.0	0.08
03/15/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.33	0.00	12.33	90.0	0.20
03/15/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.78	0.00	4.78	90.0	0.08
03/15/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.58	0.00	4.58	90.0	0.07
03/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.64	0.00	4.64	90.0	0.07
03/15/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.08	0.00	4.08	90.0	0.07
03/15/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.06	0.00	19.06	90.0	0.30
03/15/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.91	0.00	2.91	90.0	0.05
03/15/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.01
03/15/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.01
03/15/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.06	0.00	1.06	90.0	0.02
03/15/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.51	0.00	1.51	90.0	0.02
03/15/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.59	0.00	5.59	90.0	0.09
03/15/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	90.0	0.01
03/15/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.41	0.00	1.41	90.0	0.02
03/15/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	90.0	0.01
03/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.90	0.00	0.90	90.0	0.01
03/15/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	90.0	0.01
04/10/2018	BOND-HILTNER, LINDA	AMAZON COM INC	10,045.70	30.00	22.50	7.50	90.0	0.12
03/16/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.56	0.00	2.56	90.0	0.04
04/10/2018	APPLEBAUM, RHEA	APPLE INC COM	-54,471.53	30.00	22.50	7.50	90.0	0.12
03/29/2018	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	47,363.99	148.01	0.00	148.01	90.0	2.37
03/28/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.38	0.00	17.38	90.0	0.28
03/22/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.44	0.00	1.44	90.0	0.02
03/22/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.54	0.00	4.54	90.0	0.07
03/19/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.04	0.00	1.04	90.0	0.02
03/19/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.09	0.00	14.09	90.0	0.23
03/19/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.28	0.00	7.28	90.0	0.12
03/19/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.65	0.00	2.65	90.0	0.04
03/19/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.04	0.00	1.04	90.0	0.02

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/19/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.32	0.00	0.32	90.0	0.01
03/19/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.91	0.00	0.91	90.0	0.01
03/19/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.26	0.00	2.26	90.0	0.04
03/19/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.03	0.00	1.03	90.0	0.02
03/19/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.92	0.00	10.92	90.0	0.17
03/19/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.21	0.00	2.21	90.0	0.04
03/12/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.40	0.00	0.40	90.0	0.01
03/12/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.54	0.00	0.54	90.0	0.01
03/13/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.23	0.00	3.23	90.0	0.05
03/13/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	57.19	0.00	57.19	90.0	0.92
03/15/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.70	0.00	0.70	90.0	0.01
03/19/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.00	0.00	1.00	90.0	0.02
03/19/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.35	0.00	0.35	90.0	0.01
03/20/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.21	0.00	7.21	90.0	0.12
03/20/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.14	0.00	8.14	90.0	0.13
03/20/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.39	0.00	1.39	90.0	0.02
03/20/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.56	0.00	5.56	90.0	0.09
03/20/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.04	0.00	2.04	90.0	0.03
03/15/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	12.85	0.00	12.85	90.0	0.21
03/15/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.72	0.00	2.72	90.0	0.04
03/15/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.04	0.00	1.04	90.0	0.02
03/15/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	4.86	0.00	4.86	90.0	0.08
03/15/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.72	0.00	0.72	90.0	0.01
03/15/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.53	0.00	0.53	90.0	0.01
03/15/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.60	0.00	2.60	90.0	0.04
03/21/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	16.00	0.00	16.00	90.0	0.26
03/21/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.62	0.00	0.62	90.0	0.01
03/19/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.85	0.00	2.85	90.0	0.05
03/19/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.54	0.00	8.54	90.0	0.14
03/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.41	0.00	4.41	90.0	0.07
03/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.23	0.00	13.23	90.0	0.21
03/19/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.03	0.00	7.03	90.0	0.11
03/19/2018	HASTINGS TURST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.08	0.00	21.08	90.0	0.34

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/19/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.51	0.00	9.51	90.0	0.15
03/19/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.54	0.00	28.54	90.0	0.46
03/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.11	0.00	2.11	90.0	0.03
03/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.34	0.00	6.34	90.0	0.10
03/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.47	0.00	13.47	90.0	0.22
03/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.42	0.00	40.42	90.0	0.65
03/19/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.04	0.00	1.04	90.0	0.02
03/19/2018	BACHMAN, ALLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.11	0.00	3.11	90.0	0.05
03/19/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.71	0.00	5.71	90.0	0.09
03/19/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.12	0.00	17.12	90.0	0.27
03/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.84	0.00	40.84	90.0	0.65
03/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	122.51	0.00	122.51	90.0	1.96
03/19/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.03	0.00	19.03	90.0	0.30
03/19/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	57.08	0.00	57.08	90.0	0.91
03/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.72	0.00	6.72	90.0	0.11
03/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.16	0.00	20.16	90.0	0.32
03/19/2018	FARBMAN TRUST, DANIEL & SANDRAGR	IFFIN INST'L ACCESS R E FUND C	0.00	9.48	0.00	9.48	90.0	0.15
03/19/2018	FARBMAN TRUST, DANIEL & SANDRAGR	IFFIN INST'L ACCESS R E FUND C	0.00	28.45	0.00	28.45	90.0	0.46
03/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.97	0.00	3.97	90.0	0.06
03/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.61	0.00	5.61	90.0	0.09
03/19/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.78	0.00	3.78	90.0	0.06
03/19/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.72	0.00	4.72	90.0	0.08
03/21/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	11.90	0.00	11.90	90.0	0.19
03/21/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.04	0.00	4.04	90.0	0.06
03/19/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.65	0.00	3.65	90.0	0.06
03/19/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	83.17	0.00	83.17	90.0	1.33
03/19/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	40.00	0.00	40.00	90.0	0.64
03/19/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	36.10	0.00	36.10	90.0	0.58
03/19/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	437.12	0.00	437.12	90.0	6.99
03/19/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.00	0.00	11.00	90.0	0.18
03/19/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.16	0.00	7.16	90.0	0.11
03/19/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.64	0.00	7.64	90.0	0.12
03/19/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	32.35	0.00	32.35	90.0	0.52

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/19/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	30.19	0.00	30.19	90.0	0.48
03/19/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.00	0.00	11.00	90.0	0.18
03/19/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	23.13	0.00	23.13	90.0	0.37
03/19/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.36	0.00	10.36	90.0	0.17
03/19/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.63	0.00	2.63	90.0	0.04
03/19/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.63	0.00	2.63	90.0	0.04
03/19/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	3.94	0.00	3.94	90.0	0.06
03/19/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	71.49	0.00	71.49	90.0	1.14
03/19/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	44.59	0.00	44.59	90.0	0.71
03/19/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.51	0.00	20.51	90.0	0.33
03/19/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.09	0.00	14.09	90.0	0.23
03/19/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.52	0.00	20.52	90.0	0.33
03/19/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	2.20	0.00	2.20	90.0	0.04
03/19/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.43	0.00	0.43	90.0	0.01
03/19/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	42.29	0.00	42.29	90.0	0.68
03/19/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	18.93	0.00	18.93	90.0	0.30
03/19/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.04	0.00	10.04	90.0	0.16
03/29/2018	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	16,470.27	41.18	0.00	41.18	90.0	0.66
03/29/2018	KROSNOWSKI, LISA	HARTFORD PHCM V	6,692.64	6.69	0.00	6.69	90.0	0.11
03/19/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	3.84	0.00	3.84	90.0	0.06
03/20/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.01	0.00	1.01	90.0	0.02
04/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.34
03/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	264,759.89	220.63	0.00	220.63	90.0	3.53
03/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	277,924.72	231.60	0.00	231.60	90.0	3.71
03/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	407,803.20	339.84	0.00	339.84	90.0	5.44
03/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	485,651.70	404.71	0.00	404.71	90.0	6.48
03/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	106,499.52	88.75	0.00	88.75	90.0	1.42
03/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,997.12	2.92	0.00	2.92	90.0	0.05
03/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	198,096.31	165.08	0.00	165.08	90.0	2.64
03/19/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.59	0.00	0.59	90.0	0.01
03/31/2018	CHERRY, ADAM	LINCOLN BENEFIT TRAIL RENEWALS	527,367.35	1,318.42	0.00	1,318.42	90.0	21.09
03/31/2018	LINSKY, LISA	LINCOLN BENEFIT TRAIL RENEWALS	101,324.99	253.31	0.00	253.31	90.0	4.05
03/31/2018	PISANI, CARLA	LINCOLN BENEFIT TRAIL RENEWALS	121,105.73	75.69	0.00	75.69	90.0	1.21

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/31/2018	CARLINO, NANCY	LINCOLN BENEFIT TRAIL RENEWALS	69,557.20	173.89	0.00	173.89	90.0	2.78
03/31/2018	SAVASTANO, VICTOR	LINCOLN BENEFIT TRAIL RENEWALS	121,196.46	302.99	0.00	302.99	90.0	4.85
03/31/2018	ROSENBLUM, LUCILLE	LINCOLN BENEFIT TRAIL RENEWALS	679,392.75	1,698.48	0.00	1,698.48	90.0	27.18
03/31/2018	FLYNN, EDWARD	LINCOLN BENEFIT TRAIL RENEWALS	36,799.14	92.00	0.00	92.00	90.0	1.47
03/31/2018	TAROFF, SCOTT	LINCOLN BENEFIT TRAIL RENEWALS	339,488.14	848.52	0.00	848.52	90.0	13.58
03/31/2018	OLIVETI, FRANK	LINCOLN BENEFIT TRAIL RENEWALS	114,806.57	287.02	0.00	287.02	90.0	4.59
03/31/2018	GALERMAN, ALAN	LINCOLN BENEFIT TRAIL RENEWALS	179,741.67	449.35	0.00	449.35	90.0	7.19
03/31/2018	GALERMAN, LIORA	LINCOLN BENEFIT TRAIL RENEWALS	26,344.67	65.86	0.00	65.86	90.0	1.05
03/31/2018	MACDONALD, WILLIAM	LINCOLN BENEFIT TRAIL RENEWALS	159,480.02	398.70	0.00	398.70	90.0	6.38
03/31/2018	KIM, CHUNG	LINCOLN BENEFIT TRAIL RENEWALS	68,013.92	170.03	0.00	170.03	90.0	2.72
03/31/2018	SMITH, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	277,824.05	694.56	0.00	694.56	90.0	11.11
03/31/2018	MURPHY, MARY	LINCOLN BENEFIT TRAIL RENEWALS	329,066.50	822.67	0.00	822.67	90.0	13.16
03/31/2018	DEBRIELLE-HEALEY, ELIZABETH	LINCOLN BENEFIT TRAIL RENEWALS	53,241.41	133.10	0.00	133.10	90.0	2.13
03/31/2018	MURPHY, MARY	LINCOLN BENEFIT TRAIL RENEWALS	33,968.99	84.92	0.00	84.92	90.0	1.36
03/31/2018	SMITH, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	678,692.19	1,696.73	0.00	1,696.73	90.0	27.15
03/31/2018	FELDNER, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	256,069.36	640.17	0.00	640.17	90.0	10.24
03/31/2018	KLEIN, HOWARD	LINCOLN BENEFIT TRAIL RENEWALS	1,624,366.11	4,060.92	0.00	4,060.92	90.0	64.97
03/31/2018	HUDSON TRUST, ROBERT	LINCOLN BENEFIT TRAIL RENEWALS	580,324.92	1,450.81	0.00	1,450.81	90.0	23.21
03/31/2018	CHERRY, ROBERTA	LINCOLN BENEFIT TRAIL RENEWALS	59,741.00	149.35	0.00	149.35	90.0	2.39
03/31/2018	CUNNINGHAM, EDWARD	LINCOLN BENEFIT TRAIL RENEWALS	65,226.77	163.07	0.00	163.07	90.0	2.61
03/31/2018	GUERRIERI, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	7,846.65	19.62	0.00	19.62	90.0	0.31
03/31/2018	KRAMER, LARRY	LINCOLN BENEFIT TRAIL RENEWALS	638,081.75	1,595.20	0.00	1,595.20	90.0	25.52
03/31/2018	CHERRY, ADAM	LINCOLN BENEFIT TRAIL RENEWALS	164,353.30	410.88	0.00	410.88	90.0	6.57
03/31/2018	CRAMER, FRED	LINCOLN BENEFIT TRAIL RENEWALS	143,695.77	359.24	0.00	359.24	90.0	5.75
03/31/2018	FARBMAN, SANDRA	LINCOLN BENEFIT TRAIL RENEWALS	658,695.46	1,646.74	0.00	1,646.74	90.0	26.35
03/31/2018	BALZANO, AUGUSTUS	LINCOLN BENEFIT TRAIL RENEWALS	100,328.22	251.57	0.00	251.57	90.0	4.03
03/31/2018	PLEAT, LEAH	LINCOLN BENEFIT TRAIL RENEWALS	84,872.73	212.18	0.00	212.18	90.0	3.39
03/31/2018	BAUER, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	130,450.56	326.13	0.00	326.13	90.0	5.22
03/31/2018	LA CORTE, CYNTHIA	LINCOLN BENEFIT TRAIL RENEWALS	103,684.96	259.21	0.00	259.21	90.0	4.15
03/31/2018	PERETTI JR, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	42,436.86	106.09	0.00	106.09	90.0	1.70
03/31/2018	FELDNER, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	140,399.00	351.00	0.00	351.00	90.0	5.62
03/31/2018	FELDNER, BARBARA	LINCOLN BENEFIT TRAIL RENEWALS	229,983.64	574.96	0.00	574.96	90.0	9.20
03/31/2018	HARDING, LORETTA	LINCOLN BENEFIT TRAIL RENEWALS	178,767.23	446.92	0.00	446.92	90.0	7.15

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03/31/2018	HARDING, HARRY	LINCOLN BENEFIT TRAIL RENEWALS	76,743.16	191.86	0.00	191.86	90.0	3.07
03/31/2018	LEVIN, JOYCE	LINCOLN BENEFIT TRAIL RENEWALS	54,719.97	136.80	0.00	136.80	90.0	2.19
03/31/2018	LEVIN, BARRY	LINCOLN BENEFIT TRAIL RENEWALS	482,935.19	1,207.34	0.00	1,207.34	90.0	19.32
03/31/2018	PISANI, NICHOLAS	LINCOLN BENEFIT TRAIL RENEWALS	267,202.03	668.01	0.00	668.01	90.0	10.69
03/31/2018	BECKETT, CHRISTINE	LINCOLN BENEFIT TRAIL RENEWALS	481,579.84	1,203.95	0.00	1,203.95	90.0	19.26
03/31/2018	SCARPIGNATO, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	1,910.63	4.78	0.00	4.78	90.0	0.08
03/31/2018	SCARPIGNATO, ANTHONY	LINCOLN BENEFIT TRAIL RENEWALS	132,995.44	332.49	0.00	332.49	90.0	5.32
03/31/2018	NEELD, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	77,758.14	194.40	0.00	194.40	90.0	3.11
03/31/2018	NEELD, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	38,135.40	95.34	0.00	95.34	90.0	1.53
03/31/2018	NEELD, JUDEANN	LINCOLN BENEFIT TRAIL RENEWALS	31,942.32	79.86	0.00	79.86	90.0	1.28
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.80	0.00	2.80	90.0	0.04
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	1.96	0.00	1.96	90.0	0.03
03/19/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.60	0.00	2.60	90.0	0.04
03/19/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.32	0.00	7.32	90.0	0.12
03/19/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.36	0.00	2.36	90.0	0.04
03/19/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	108.25	0.00	108.25	90.0	1.73
03/19/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	110.90	0.00	110.90	90.0	1.77
03/19/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	26.65	0.00	26.65	90.0	0.43
03/19/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	18.31	0.00	18.31	90.0	0.29
03/19/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.86	0.00	0.86	90.0	0.01
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.26	0.00	6.26	90.0	0.10
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.89	0.00	0.89	90.0	0.01
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.70	0.00	7.70	90.0	0.12
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.71	0.00	1.71	90.0	0.03
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.15	0.00	1.15	90.0	0.02
03/19/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.25	0.00	3.25	90.0	0.05
03/19/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	9.99	0.00	9.99	90.0	0.16
03/19/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.43	0.00	5.43	90.0	0.09
03/19/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.67	0.00	5.67	90.0	0.09
03/19/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	13.31	0.00	13.31	90.0	0.21
03/19/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.68	0.00	2.68	90.0	0.04
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.15	0.00	3.15	90.0	0.05
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.53	0.00	0.53	90.0	0.01

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03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.69	0.00	3.69	90.0	0.06
03/19/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.69	0.00	0.69	90.0	0.01
03/19/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	1.91	0.00	1.91	90.0	0.03
03/19/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.36	0.00	1.36	90.0	0.02
03/19/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	18.46	0.00	18.46	90.0	0.30
03/19/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.54	0.00	1.54	90.0	0.02
03/19/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.49	0.00	2.49	90.0	0.04
03/19/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	1.91	0.00	1.91	90.0	0.03
03/19/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.11	0.00	10.11	90.0	0.16
03/19/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	89.58	0.00	89.58	90.0	1.43
03/19/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.21	0.00	10.21	90.0	0.16
03/19/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	4.44	0.00	4.44	90.0	0.07
03/19/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	5.80	0.00	5.80	90.0	0.09
03/19/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.32	0.00	8.32	90.0	0.13
03/19/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.22	0.00	4.22	90.0	0.07
03/19/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	11.77	0.00	11.77	90.0	0.19
03/23/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	15.16	0.00	15.16	90.0	0.24
03/23/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.61	0.00	9.61	90.0	0.15
03/23/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.65	0.00	2.65	90.0	0.04
03/23/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.82	0.00	1.82	90.0	0.03
03/23/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.45	0.00	0.45	90.0	0.01
03/23/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.12	0.00	1.12	90.0	0.02
03/23/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.71	0.00	0.71	90.0	0.01
03/13/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.35	0.00	6.35	90.0	0.10
03/13/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	15.83	0.00	15.83	90.0	0.25
03/13/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.26	0.00	7.26	90.0	0.12
03/13/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.68	0.00	15.68	90.0	0.25
03/13/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	14.80	0.00	14.80	90.0	0.24
03/13/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.64	0.00	0.64	90.0	0.01
03/13/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	8.87	0.00	8.87	90.0	0.14
03/13/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	21.22	0.00	21.22	90.0	0.34
03/13/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.07	0.00	8.07	90.0	0.13
03/13/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	19.03	0.00	19.03	90.0	0.30

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/13/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.52	0.00	5.52	90.0	0.09
03/13/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.77	0.00	5.77	90.0	0.09
03/13/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	26.20	0.00	26.20	90.0	0.42
03/13/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.69	0.00	11.69	90.0	0.19
03/13/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.00	0.00	9.00	90.0	0.14
03/13/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.01	0.00	14.01	90.0	0.22
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.20	0.00	1.20	90.0	0.02
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.16	0.00	1.16	90.0	0.02
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.37	0.00	0.37	90.0	0.01
03/20/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.59	0.00	0.59	90.0	0.01
03/20/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.28	0.00	2.28	90.0	0.04
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	2.94	0.00	2.94	90.0	0.05
03/20/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.92	0.00	0.92	90.0	0.01
03/20/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	37.61	0.00	37.61	90.0	0.60
03/20/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.43	0.00	2.43	90.0	0.04
03/20/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.64	0.00	1.64	90.0	0.03
03/21/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	237.93	0.00	237.93	90.0	3.81
03/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	151.33	0.00	151.33	90.0	2.42
04/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	3.90	0.00	3.90	90.0	0.06
04/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.00	0.00	6.00	90.0	0.10
03/21/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	13.45	0.00	13.45	90.0	0.22
03/21/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.99	0.00	2.99	90.0	0.05
03/05/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.33	0.00	13.33	90.0	0.21
03/05/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.21	0.00	18.21	90.0	0.29
03/05/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.99	0.00	5.99	90.0	0.10
03/15/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	11.77	0.00	11.77	90.0	0.19
03/15/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	16.08	0.00	16.08	90.0	0.26
03/15/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.29	0.00	5.29	90.0	0.08
03/05/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.48	0.00	45.48	90.0	0.73
03/05/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	136.45	0.00	136.45	90.0	2.18
03/15/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	40.14	0.00	40.14	90.0	0.64
03/15/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	120.43	0.00	120.43	90.0	1.93
03/15/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	10.24	0.00	10.24	90.0	0.16

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
03/15/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.54	0.00	1.54	90.0	0.02
03/15/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.33	0.00	0.33	90.0	0.01
03/15/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.18	0.00	10.18	90.0	0.16
03/27/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.48	0.00	1.48	90.0	0.02
03/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	128,167.10	106.81	0.00	106.81	90.0	1.71
03/26/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.46	0.00	0.46	90.0	0.01
03/14/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.95	0.00	5.95	90.0	0.10
03/14/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.49	0.00	9.49	90.0	0.15
03/14/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	20.83	0.00	20.83	90.0	0.33
03/14/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	9.97	0.00	9.97	90.0	0.16
03/14/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.13	0.00	17.13	90.0	0.27
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	7.95	0.00	7.95	90.0	0.13
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.82	0.00	0.82	90.0	0.01
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.67	0.00	0.67	90.0	0.01
* MARC, L134 LINSKY SUBTOTAL *			\$13,346,265.57	\$33,833.99	\$45.00	\$33,788.99		\$540.64
<u> BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282 </u>								
04/06/2018	IPPOLITO, JAMES	EXXON MOBIL CORP COM	9,685.86	205.00	22.50	182.50	90.0	6.02
04/06/2018	KWO, RITA	FORD MOTOR CO DEL COM PAR	19,993.50	199.26	24.70	174.56	90.0	5.76
* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *			\$29,679.36	\$404.26	\$47.20	\$357.06		\$11.78
*** OVERRIDE TRANSACTIONS TOTAL ***			\$13,378,594.93	\$34,299.50	\$92.20	\$34,207.30		\$553.40

 ADJUSTMENTS

04/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
04/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-3.50
04/15/2018		*EO INS: CURRENT MONTH CIERELLI	0.00					-300.00
04/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
04/15/2018		*EO INS: CURRENT MONTH INS	0.00					-138.00
04/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-150.00
04/15/2018		*EO INS: E&O WEATHERBIE	0.00					-150.00
04/15/2018		*FEDERAL EXPRESS: 6-133-25866	0.00					-12.81

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<u>ADJUSTMENTS</u>								
		3/28/18						
04/15/2018		*FEDERAL EXPRESS: 6-140-50242	0.00					-25.45
		4/4/18						
04/15/2018		*FINRA: CE TRACKED WEB FEE S101	0.00					-55.00
04/15/2018		*FINRA: FBI FINGERPRINT - S. FRICKER	0.00					-10.00
04/15/2018		*FINRA: FINRA FINGERPRINT - S. FRICKER	0.00					-15.00
04/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
04/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
04/15/2018		*MISC: C FULMER, A LEEDS	0.00					-540.00
04/15/2018		*MISC: MISC SARAH, FT L, 1031DST	0.00					-205.00
04/15/2018		*MISC: OVERNIGHT FEE 4/15/18	0.00					-40.00
04/15/2018		*MISC: ROY AFLAC 1031DST	0.00					-1,126.00
04/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.71
04/15/2018		*PERSHING: PERSHING MARKUP	0.00					9.00
04/15/2018		*PERSHING: PERSHING MARKUP	0.00					13.80
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BATTAGLIA	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - BUZZETTA	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - CALAMAS	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - GALGANO	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - HENNEFORTH	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - JOHNSON	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - PAVIS	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - PLUME	0.00					-1.50
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00

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<u>ADJUSTMENTS</u>								
		REED						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-5.00
		REED						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-1.50
		SHEA III						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-1.50
		SINISGALLI						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT -	0.00					-1.50
		SKOLNICK						
04/15/2018		*PERSHING: R SPLIT TERRA	0.00					-4.60
		TECH-WASSERMAN						
04/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.45
04/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH	0.00					-479.86
		OVERRIDES PAYABLE RAUPP FROM						
		CIRELLI						
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					558.13
		AND SPLITS FROM LINSKY						
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					5.15
		AND SPLITS FROM LINSKY						
04/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					1,237.92
		PAYABLE CIRELLI FROM PECHA FROM						
		SPLITS						
04/15/2018		*TECH: ADMIN FULMER	0.00					-25.00
04/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
04/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
04/15/2018		*TECH: CURRENT MONTH TECH	0.00					-126.50
04/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-50.00
04/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-90.00
04/15/2018		*TECHNOLOGY: CURRENT MONTH CIRELLI	0.00					-275.00
04/15/2018		*TECHNOLOGY: MONTHLY - WEATHERBIE	0.00					-137.50
04/15/2018		*TECHNOLOGY: WEATHERBIE MONTH END	0.00					137.50
		CHARGE IN ERROR						
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,204.38

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BROKER COMMISSION TOTALS

Broker Transactions	\$117.69
Split Transactions	\$45,562.41
Override Transactions	\$553.40
Adjustments	\$-2,204.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$44,029.12
Year-to-date Gross	\$252,081.91
Year-to-date Earnings	\$241,943.21