

**COMMISSION STATEMENT****April 15, 2018****DAN/JEFFREY FT-1JR NY RAUPP/KIES**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: 1JR

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/08/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.34	0.00	2.34	50.0	0.00
^03/08/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.56	0.00	5.56	50.0	0.00
^03/08/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.34	0.00	2.34	50.0	0.00
^03/19/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	6.78	0.00	6.78	50.0	0.00
^03/19/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.66	0.00	3.66	50.0	0.00
^03/19/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.55	0.00	5.55	50.0	0.00
^03/23/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.56	0.00	4.56	50.0	0.00
^03/23/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.47	0.00	0.47	50.0	0.00
^03/23/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.41	0.00	0.41	50.0	0.00
^03/23/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.61	0.00	0.61	50.0	0.00
^03/23/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	50.0	0.00
^03/23/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.21	0.00	0.21	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$32.79	\$0.00	\$32.79		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$32.79	\$0.00	\$32.79		\$0.00

ADJUSTMENTS

04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - CRONIN	0.00						0.00
04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - DEGREGORIO	0.00						0.00
04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - FIVE STAR	0.00						0.00
04/15/2018	*PERSHING: R SPLIT NEW YORK REIT - IAQUINTO	0.00						0.00
04/15/2018	*PERSHING: R SPLIT NEW YORK REIT -	0.00						0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
		ITCHKOW						
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - J KLETT	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - K BELL	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - M KLETT	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - M KLETT	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - MARKLEW	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - PIZZANO	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - RABETZ	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - SCLAR	0.00					0.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - WOLD	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00