

COMMISSION STATEMENT

February 28, 2018

JENNIFER HIEBER

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

BROKER #: 88

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JENNIFER HIEBER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
02/01/2018	QGW037236	JACOBSON, HARVEY		FACEBOOK INC CL A	S	-7,780.00	100.00	0.00	100.00 60.0 60.00
02/05/2018	QGW037236	JACOBSON, HARVEY		CVS HEALTH CORPORATION	B	6,797.61	100.00	0.00	100.00 60.0 60.00
02/09/2018	QGW037236	JACOBSON, HARVEY		FACEBOOK INC CL A	B	6,777.40	100.00	0.00	100.00 60.0 60.00
02/12/2018	QGW037236	JACOBSON, HARVEY		APPLE INC	B	6,415.13	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037244	HEIBER, JEAN		CRISPR THERAPEUTICS AG NAMEN-AKT IS S		-24,060.00	400.00	0.00	400.00 60.0 240.00
02/26/2018	QGW037269	EINHORN, GERALD		GLAXOSMITHKLINE PLC SPONSORED ADR(F B		11,060.16	200.00	0.00	200.00 60.0 120.00
02/08/2018	QGW037277	HEIBER, JEAN		GRUBHUB INC COM	B	472,891.50	100.00	0.00	100.00 60.0 60.00
02/20/2018	QGW037277	HEIBER, JEAN		GRUBHUB INC COM	S	-488,250.00	1,000.00	0.00	1,000.00 60.0 600.00
02/20/2018	QGW037277	HEIBER, JEAN		SQUARE INC CLASS A COM	S	-370,000.00	1,000.00	0.00	1,000.00 60.0 600.00
02/13/2018	QGW037285	HEIBER, JEAN		CATERPILLAR INC	B	151,750.00	100.00	0.00	100.00 60.0 60.00
02/14/2018	QGW037285	HEIBER, JEAN		CATERPILLAR INC	S	-78,750.00	100.00	0.00	100.00 60.0 60.00
02/16/2018	QGW037285	HEIBER, JEAN		RIOT BLOCKCHAIN INC COM	B	71,245.00	100.00	0.00	100.00 60.0 60.00
01/30/2018	QGW037301	JACOBSON, HARVEY		RITE AID CORPORATION	B	1,750.00	100.00	0.00	100.00 60.0 60.00
02/05/2018	QGW037301	JACOBSON, HARVEY		CORNING INC	B	6,871.70	100.00	0.00	100.00 60.0 60.00
02/05/2018	QGW037301	JACOBSON, HARVEY		TEVA PHARMACEUTICAL INDUSTRIES LIM B		6,034.98	100.00	0.00	100.00 60.0 60.00
02/09/2018	QGW037301	JACOBSON, HARVEY		BUCKEYE PARTNERS LP UNITS LTD PARTN B		6,307.50	100.00	0.00	100.00 60.0 60.00
02/12/2018	QGW037301	JACOBSON, HARVEY		GENERAL ELECTRIC CO	B	6,007.80	100.00	0.00	100.00 60.0 60.00
02/21/2018	QGW037301	JACOBSON, HARVEY		SCHLUMBERGER LTD	B	8,000.00	80.73	0.00	80.73 60.0 48.44
02/21/2018	QGW037301	JACOBSON, HARVEY		SCHLUMBERGER LTD	B	-8,000.00	-80.73	0.00	-80.73 60.0 -48.44 C
02/21/2018	QGW037301	JACOBSON, HARVEY		SCHLUMBERGER LTD	B	8,000.00	100.00	0.00	100.00 60.0 60.00
02/23/2018	QGW037301	JACOBSON, HARVEY		GENERAL ELECTRIC CO	B	12,000.00	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037343	JT TRUST, HARVEY		ANNALY CAPITAL MANAGEMENT INC	S	-14,579.18	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037343	JT TRUST, HARVEY		APPLE INC	S	-31,315.69	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037343	JT TRUST, HARVEY		ROYAL DUTCH SHELL PLC SPONSORED ADR S		-12,842.68	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037343	JT TRUST, HARVEY		SEADRILL LIMITED SHS	S	-168.06	50.00	0.00	50.00 60.0 30.00
02/26/2018	QGW037343	JT TRUST, HARVEY		VERIZON COMMUNICATIONS	S	-9,728.70	100.00	0.00	100.00 60.0 60.00
02/09/2018	QGW037418	PARTNERS, JACOBSON		CORNING INC	B	5,659.48	100.00	0.00	100.00 60.0 60.00
02/21/2018	QGW037418	PARTNERS, JACOBSON		GENERAL ELECTRIC CO	B	6,251.90	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037459	HIBER, CHRISTINA		CRISPR THERAPEUTICS AG NAMEN-AKT IS S		-24,060.00	400.00	0.00	400.00 60.0 240.00
02/06/2018	QGW037483	HOLBROOK, WILLIAM		AT&T INC	B	36,485.00	100.00	0.00	100.00 60.0 60.00
02/09/2018	QGW037483	HOLBROOK, WILLIAM		AT&T INC	S	-36,000.00	100.00	0.00	100.00 60.0 60.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/15/2018	QGW037483	HOLBROOK, WILLIAM		FORD MOTOR CO PAR \$0.01	B	10,679.90	0.10	0.00	0.10 60.0 0.00
02/15/2018	QGW037483	HOLBROOK, WILLIAM		FORD MOTOR CO PAR \$0.01	B	-10,679.90	-0.10	0.00	-0.10 60.0 0.00 C
02/15/2018	QGW037483	HOLBROOK, WILLIAM		FORD MOTOR CO PAR \$0.01	B	10,679.90	100.00	0.00	100.00 60.0 60.00
02/06/2018	QGW037509	GASTON, DAVID		POWERSHARES QQQ TRUST SERIES 1	B	7,980.42	100.00	0.00	100.00 60.0 60.00
02/06/2018	QGW037509	GASTON, DAVID		VANGUARD INDEX FUNDS VANGUARD VALUE B		5,220.98	100.00	0.00	100.00 60.0 60.00
02/01/2018	QGW037525	HOLBROOK, WILLIAM		MERCK & CO INC	S	-59,633.20	100.00	0.00	100.00 60.0 60.00
02/02/2018	QGW037525	HOLBROOK, WILLIAM		COCA COLA COMPANY (THE)	S	-47,350.00	100.00	0.00	100.00 60.0 60.00
02/06/2018	QGW037525	HOLBROOK, WILLIAM		DOWDUPONT INC COMMON STOCK	B	71,064.90	100.00	0.00	100.00 60.0 60.00
02/09/2018	QGW037525	HOLBROOK, WILLIAM		DOWDUPONT INC COMMON STOCK	S	-68,860.00	100.00	0.00	100.00 60.0 60.00
02/15/2018	QGW037525	HOLBROOK, WILLIAM		AMER ELECTRIC PWR INC	B	65,696.80	100.00	0.00	100.00 60.0 60.00
02/16/2018	QGW037525	HOLBROOK, WILLIAM		AMER ELECTRIC PWR INC	S	-67,316.80	100.00	0.00	100.00 60.0 60.00
02/09/2018	QGW037533	GASTON, DAVID		VANGUARD INTL EQUITY INDEX FD FTSE	B	5,756.00	100.00	0.00	100.00 60.0 60.00
01/31/2018	QGW037541	2011 TRUST,		SQUARE INC CLASS A COM	S	-46,750.00	100.00	0.00	100.00 60.0 60.00
02/16/2018	QGW037541	2011 TRUST,		AK STEEL HOLDING CORP	B	14,490.00	100.00	0.00	100.00 60.0 60.00
02/21/2018	QGW037541	2011 TRUST,		WAL-MART STORES INC	B	92,727.60	100.00	0.00	100.00 60.0 60.00
02/28/2018	QGW037541	2011 TRUST,		SQUARE INC CLASS A COM	S	-47,635.00	100.00	0.00	100.00 60.0 60.00
02/26/2018	QGW037566	WAINE 1992 IRREV TR,		CRISPR THERAPEUTICS AG NAMEN-AKT IS	S	-24,060.00	400.00	0.00	400.00 60.0 240.00
02/09/2018	QGW037723	PICKET, GEOFFREY		WESTERN DIGITAL CORP	B	20,073.00	200.00	0.00	200.00 60.0 120.00
02/26/2018	QGW037855	EATON, GEORGANNE		CRISPR THERAPEUTICS AG NAMEN-AKT IS	S	-24,060.00	400.00	0.00	400.00 60.0 240.00
02/26/2018	QGW037970	MENELL, AMY		GRUBHUB INC COM	S	-9,860.00	200.00	0.00	200.00 60.0 120.00
02/26/2018	QGW037970	MENELL, AMY		US BANCORP DEL	S	-8,316.02	200.00	0.00	200.00 60.0 120.00
02/06/2018	QGW038168	PACA TRUST, BARBARA		CATERPILLAR INC	S	-78,000.00	100.00	0.00	100.00 60.0 60.00
02/06/2018	QGW038168	PACA TRUST, BARBARA		CATERPILLAR INC	B	151,795.50	100.00	0.00	100.00 60.0 60.00
02/16/2018	QGW038168	PACA TRUST, BARBARA		UNITED STATES STL CORP NEW	S	-22,550.00	100.00	0.00	100.00 60.0 60.00
02/23/2018	QGW038168	PACA TRUST, BARBARA		WAL-MART STORES INC	B	92,700.00	100.00	0.00	100.00 60.0 60.00
				* STOCKS SUBTOTAL *		\$-241,435.07	\$8,550.00	\$0.00	\$8,550.00 \$5,130.00

PRODUCT CATEGORY: OPTIONS

02/05/2018	QGW037236	JACOBSON, HARVEY		PUT 100 CVS HEALTH CORP COM EXP 02-	B	1,920.00	70.00	0.00	70.00 60.0 42.00
02/05/2018	QGW037236	JACOBSON, HARVEY		PUT 100 CVS HEALTH CORP COM EXP 08-	S	-2,656.00	70.00	0.00	70.00 60.0 42.00
02/09/2018	QGW037236	JACOBSON, HARVEY		PUT 100 FACEBOOK INC CL A EXP 02-16	S	-1,467.00	70.00	0.00	70.00 60.0 42.00
02/09/2018	QGW037236	JACOBSON, HARVEY		PUT 100 FACEBOOK INC CL A EXP 02-16	B	4,818.00	70.00	0.00	70.00 60.0 42.00
02/09/2018	QGW037236	JACOBSON, HARVEY		PUT 100 FACEBOOK INC CL A EXP 04-20	B	2,061.00	70.00	0.00	70.00 60.0 42.00
02/09/2018	QGW037236	JACOBSON, HARVEY		PUT 100 FACEBOOK INC CL A EXP 04-20	S	-4,044.00	70.00	0.00	70.00 60.0 42.00
02/12/2018	QGW037236	JACOBSON, HARVEY		PUT 100 APPLE INC COM EXP 02-16-18@	B	4,455.00	70.00	0.00	70.00 60.0 42.00
02/12/2018	QGW037236	JACOBSON, HARVEY		PUT 100 APPLE INC COM EXP 03-16-18@	S	-2,271.00	70.00	0.00	70.00 60.0 42.00
02/21/2018	QGW037236	JACOBSON, HARVEY		PUT 100 APPLE INC COM EXP 04-20-18@	B	714.00	70.00	0.00	70.00 60.0 42.00
02/21/2018	QGW037236	JACOBSON, HARVEY		PUT 100 APPLE INC COM EXP 04-20-18@	S	-2,634.00	70.00	0.00	70.00 60.0 42.00
01/31/2018	QGW037301	JACOBSON, HARVEY		CALL 100 RITE AID CORP EXP 07-20-18	S	-240.00	70.00	0.00	70.00 60.0 42.00
01/31/2018	QGW037301	JACOBSON, HARVEY		PUT 100 RITE AID CORP EXP 07-20-18@	S	-1,335.00	70.00	0.00	70.00 60.0 42.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/01/2018	QGW037301 JACOBSON, HARVEY	PUT 100 USG CORP (NEW) COMMON STOCK S		-2,178.00	70.00	0.00	70.00	60.0	42.00
02/05/2018	QGW037301 JACOBSON, HARVEY	PUT 100 CORNING INC COM EXP 02-16-1 B		1,086.00	70.00	0.00	70.00	60.0	42.00
02/05/2018	QGW037301 JACOBSON, HARVEY	PUT 100 CORNING INC COM EXP 08-17-1 S		-1,518.00	70.00	0.00	70.00	60.0	42.00
02/05/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR B		1,602.00	70.00	0.00	70.00	60.0	42.00
02/05/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-1,986.00	70.00	0.00	70.00	60.0	42.00
02/06/2018	QGW037301 JACOBSON, HARVEY	PUT 100 ABBOTT LABS COM EXP 02-16-1 B		45.00	25.00	0.00	25.00	60.0	0.00
02/06/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TIME WARNER INC NEW COM NEW B		60.00	25.00	0.00	25.00	60.0	0.00
02/07/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GILEAD SCIENCES INC EXP 02- B		45.00	25.00	0.00	25.00	60.0	0.00
02/08/2018	QGW037301 JACOBSON, HARVEY	CALL 100 TWITTER INC COM EXP 06-15- S		-1,348.00	70.00	0.00	70.00	60.0	42.00
02/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TWITTER INC COM EXP 03-16-1 B		9.00	4.16	0.00	4.16	60.0	0.00
02/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TWITTER INC COM EXP 06-15-1 B		780.00	70.00	0.00	70.00	60.0	42.00
02/08/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TWITTER INC COM EXP 06-15-1 S		-1,840.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 BUCKEYE PARTNERS L P UNIT L B		6,480.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 BUCKEYE PARTNERS L P UNIT L S		-5,280.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 02-16-18 B		1,200.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 INTEL CORP COM EXP 07-20-18 S		-2,520.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# B		2,536.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# S		-2,968.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# B		-2,536.00	-70.00	0.00	-70.00	60.0	-42.00 C
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# B		2,536.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# S		2,968.00	-70.00	0.00	-70.00	60.0	-42.00 C
02/09/2018	QGW037301 JACOBSON, HARVEY	PUT 100 SCHLUMBERGER LTD COM ISIN# S		-2,968.00	70.00	0.00	70.00	60.0	42.00
02/12/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GENERAL ELECTRIC CO COM EXP B		7,278.00	70.00	0.00	70.00	60.0	42.00
02/12/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GENERAL ELECTRIC CO COM EXP S		-1,677.00	70.00	0.00	70.00	60.0	42.00
02/14/2018	QGW037301 JACOBSON, HARVEY	PUT 100 WESTERN UN CO COM EXP 02-16 B		70.00	25.00	0.00	25.00	60.0	0.00
02/15/2018	QGW037301 JACOBSON, HARVEY	CALL 100 TEVA PHARMACEUTICAL INDUST S		-1,686.00	70.00	0.00	70.00	60.0	42.00
02/26/2018	QGW037301 JACOBSON, HARVEY	PUT 100 GENERAL ELECTRIC CO COM EXP B		2,320.00	70.00	0.00	70.00	60.0	42.00
02/27/2018	QGW037301 JACOBSON, HARVEY	CALL 100 TEVA PHARMACEUTICAL INDUST S		-1,026.00	70.00	0.00	70.00	60.0	42.00
02/27/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-2,321.00	70.00	0.00	70.00	60.0	42.00
02/27/2018	QGW037301 JACOBSON, HARVEY	PUT 100 TEVA PHARMACEUTICAL INDUSTR B		2,696.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 CORNING INC COM EXP 02-16-1 B		1,068.00	70.00	0.00	70.00	60.0	42.00
02/09/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 CORNING INC COM EXP 08-17-1 S		-1,602.00	70.00	0.00	70.00	60.0	42.00
02/21/2018	QGW037418 PARTNERS, JACOBSON	CALL 100 GENERAL ELECTRIC CO COM EX S		-426.00	70.00	0.00	70.00	60.0	42.00
02/21/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP B		6,300.00	70.00	0.00	70.00	60.0	42.00
02/21/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP S		-1,254.00	70.00	0.00	70.00	60.0	42.00
02/26/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP B		4,420.00	70.00	0.00	70.00	60.0	42.00
02/26/2018	QGW037418 PARTNERS, JACOBSON	PUT 100 GENERAL ELECTRIC CO COM EXP S		-2,910.00	70.00	0.00	70.00	60.0	42.00
02/08/2018	QGW038390 LEE, DAVID	PUT 100 SNAP INC CL A EXP 07-20-18@ B		1,410.00	100.00	0.00	100.00	60.0	60.00

* OPTIONS SUBTOTAL *

\$6,186.00

\$3,004.16

\$0.00

\$3,004.16

\$1,740.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/16/2018	QGW037715	JARET, FREDERICA	DAVIS NEW YORK VENTURE CLASS C	B	0.00	2.11	0.00	2.11	60.0 1.27
02/21/2018	QGW037715	JARET, FREDERICA	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.46	0.00	0.46	60.0 0.28
02/16/2018	QGW037764	JARET, FREDERICA	GOLDMAN SACHS EMERGING MARKETS DEBT B		0.00	0.21	0.00	0.21	60.0 0.13
02/22/2018	QGW037764	JARET, FREDERICA	BLACKROCK EQUITY DIVIDEND FUND CL A B		0.00	0.65	0.00	0.65	60.0 0.39
02/14/2018	QGW037806	PICKET, GEOFFREY	AL FRANK FUND INVESTOR CLASS	B	0.00	23.60	0.00	23.60	60.0 14.16
02/21/2018	QGW038218	LOGAN, PHILIP	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	4.33	0.00	4.33	60.0 2.60
02/22/2018	QGW038218	LOGAN, PHILIP	ALLIANZ OCC GROWTH FUND CLASS A	B	0.00	14.97	0.00	14.97	60.0 8.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$46.33	\$0.00	\$46.33		\$27.81
*** BROKER TRANSACTIONS TOTAL ***				\$-235,249.07	\$11,600.49	\$0.00	\$11,600.49		\$6,897.81

ADJUSTMENTS

02/28/2018	OTHER: OTHER-THOMAS ROSS	0.00	0.00	0.00	0.00	-2,500.00
02/28/2018	OTHER: OTHER-PARKING	0.00	0.00	0.00	0.00	-578.10
02/28/2018	OTHER: OTHER-TRUST	0.00	0.00	0.00	0.00	240.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-2,838.10

BROKER COMMISSION TOTALS

Broker Transactions	\$6,897.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,838.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.50
SIPC Assessment	\$-29.00

Check Amount	\$4,016.21
Year-to-date Earnings	\$13,891.61