

**COMMISSION STATEMENT****September 29, 2017**

**LAWRENCE HORN**  
 301 E 47TH STREET  
 APT 16J  
 NEW YORK, NY 10017

**BROKER #: 46**  
 BRANCH: HOME OFFICE

**COMMISSION STATEMENT for LAWRENCE HORN**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

09/26/2017	QGV004930 GS ARBITRAGE	IMPERVA INC COM	S	-505,313.40	348.00	10.00	338.00	50.0	169.00
09/27/2017	QGV004930 GS ARBITRAGE	IMPERVA INC COM	S	-54,916.99	37.44	10.00	27.44	50.0	13.72
08/29/2017	QGV006240 GS ARBITRAGE	ADVISORY BOARD CO	B	132,437.50	100.00	10.00	90.00	50.0	45.00
09/26/2017	QGV006240 GS ARBITRAGE	AT&T INC	S	-388,751.00	400.00	10.00	390.00	50.0	195.00
* STOCKS SUBTOTAL *				<u>\$-816,543.89</u>	<u>\$885.44</u>	<u>\$40.00</u>	<u>\$845.44</u>		<u>\$422.72</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-816,543.89</u>	<u>\$885.44</u>	<u>\$40.00</u>	<u>\$845.44</u>		<u>\$422.72</u>

**ADJUSTMENTS**

09/29/2017	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
09/29/2017	OTHER: HAMMERSTONE			0.00	0.00	0.00	0.00		-127.50
09/29/2017	OTHER: OTHER			0.00	0.00	0.00	0.00		-1,050.00
09/29/2017	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-1,202.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$422.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-955.84
FINRA Assessment	\$-1.11
SIPC Assessment	\$-2.21

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-1,738.94</b>
<b>Year-to-date Earnings</b>	<b>\$106.37</b>