

COMMISSION STATEMENT

June 15, 2018

TOMMY THOMAS-D007

BROKER #: D007

BRANCH: DMN

, TX

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/31/2018 009626232A	GORDON, HAROLD &	VA L SERIES	B	52,731.43	57.13	0.00	57.13	85.0	48.56
05/31/2018 1009553912	HUYSER, GORDON	VA PERSPECTIVE L	B	554,583.83	462.15	0.00	462.15	85.0	392.83
05/31/2018 1009680191	EATON, BARRY	VA PERSPECTIVE L	B	38,047.40	31.71	0.00	31.71	85.0	26.95
05/31/2018 1009683115	JONES, DIANNE	VA PERSPECTIVE L	B	102,684.70	85.57	0.00	85.57	85.0	72.73
05/31/2018 1010957199	SMART INDIVIDUAL	VA PERSP L 08/11	B	496,116.29	413.43	0.00	413.43	85.0	351.42
05/31/2018 1011344646	MAHURIN, JANETTE	VA PERSP L 08/11	B	60,434.27	50.36	0.00	50.36	85.0	42.81
05/31/2018 1011349192	MAHURIN, JOHN	VA PERSP L 08/11	B	36,014.26	30.01	0.00	30.01	85.0	25.51
05/31/2018 1011363012	MAHURIN, JANETTE	VA PERSP L 08/11	B	28,813.68	24.01	0.00	24.01	85.0	20.41
05/31/2018 1011400821	MAHURIN, JOHN	VA PERSP L 08/11	B	37,923.20	31.60	0.00	31.60	85.0	26.86
05/31/2018 1013867581	GORDON, JOYCE	VA PERSP L 08/11	B	183,876.47	153.23	0.00	153.23	85.0	130.25
05/31/2018 1014010692	SMART HOSPITALIST	VA PERSP L 08/11	B	221,142.99	184.29	0.00	184.29	85.0	156.65
05/31/2018 1014013020	VERNER, MICHAEL	VA PERSP L 08/11	B	157,434.18	131.20	0.00	131.20	85.0	111.52
05/31/2018 1014085366	NGANKEU, EVARISTE	VA PERSP L 08/11	B	272,594.70	227.16	0.00	227.16	85.0	193.09
05/31/2018 1014100446	KAY, ANGELIA	VA PERSP L 08/11	B	113,046.47	94.21	0.00	94.21	85.0	80.08
05/31/2018 1014100489	KAY, ANGELIA	VA PERSP L 08/11	B	36,135.81	30.11	0.00	30.11	85.0	25.59
05/31/2018 1014100497	KAY, ANGELIA	VA PERSP L 08/11	B	588,619.38	490.52	0.00	490.52	85.0	416.94
05/31/2018 1015182330	HAGGARD INDY 401 K	VA PERSP L 08/11	B	123,273.85	102.73	0.00	102.73	85.0	87.32
05/31/2018 1015347453	JANWA EPSE	VA PERSP L 08/11	B	18,060.47	15.05	0.00	15.05	85.0	12.79
05/31/2018 1017602728	BEARD, DARLENE	VA ELITE ACCESS L	B	216,163.51	180.14	0.00	180.14	85.0	153.12
05/31/2018 1017605786	BEARD, DARLENE	VA ELITE ACCESS	B	623,444.95	519.54	0.00	519.54	85.0	441.61
05/31/2018 1018337262	GORDON, JOYCE	VA PERSP L 08/11	B	102,437.63	85.36	0.00	85.36	85.0	72.56
05/31/2018 1019580861	MOORE, THOMAS &	VA PERSP II 01/16	B	85,608.40	71.34	0.00	71.34	85.0	60.64
05/31/2018 1021379936	HUYSER, GORDON	VA PERSP II 01/16	B	55,335.00	1,106.70	0.00	1,106.70	85.0	940.70
* VARIABLE ANNUITIES SUBTOTAL *				\$4,204,522.87	\$4,577.55	\$0.00	\$4,577.55		\$3,890.94

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018 (None)	HUNTER, BARBARA	MFT JOHN HANCOCK FREEDOM	B	0.00	6.34	0.00	6.34	85.0	5.39
05/31/2018 (None)	MASONDANI	MFT JOHN HANCOCK FREEDOM	B	0.00	2.87	0.00	2.87	85.0	2.44
05/31/2018 (None)	MASONCALE	MFT JOHN HANCOCK FREEDOM	B	0.00	2.15	0.00	2.15	85.0	1.83
05/31/2018 (None)	KAYKIM	MFT JOHN HANCOCK FREEDOM	B	0.00	1.81	0.00	1.81	85.0	1.54
06/01/2018 00063440112	EASLEY, JAMES	MFT THE BOND FUND OF AMERICA-A	B	0.00	38.42	0.00	38.42	85.0	32.66

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00063440119	EASLEY, WANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2018 00089274948	DARLENE BEARD	MFT AMCAP FUND-A	B	0.00	35.59	0.00	35.59	85.0	30.25
06/01/2018 00089274948	DARLENE BEARD	MFT FUNDAMENTAL INVESTORS-A	B	0.00	35.50	0.00	35.50	85.0	30.18
06/01/2018 00089274948	DARLENE BEARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	34.64	0.00	34.64	85.0	29.44
06/01/2018 00089274948	DARLENE BEARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	36.58	0.00	36.58	85.0	31.09
06/01/2018 00089454377	DAVID B CRAVEY	MFT AMCAP FUND-A	B	0.00	0.64	0.00	0.64	85.0	0.54
06/01/2018 00089454377	DAVID B CRAVEY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.64	0.00	0.64	85.0	0.54
06/01/2018 00089454377	DAVID B CRAVEY	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.64	0.00	0.64	85.0	0.54
06/01/2018 00089454377	DAVID B CRAVEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.67	0.00	0.67	85.0	0.57
06/01/2018 00089454414	DAVID B CRAVEY	MFT AMCAP FUND-A	B	0.00	0.66	0.00	0.66	85.0	0.56
06/01/2018 00089454414	DAVID B CRAVEY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.65	0.00	0.65	85.0	0.55
06/01/2018 00089454414	DAVID B CRAVEY	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.65	0.00	0.65	85.0	0.55
06/01/2018 00089454414	DAVID B CRAVEY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.69	0.00	0.69	85.0	0.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$199.83	\$0.00	\$199.83		\$169.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/01/2018 (None)	VARIOUS, THOMAS	VAT MANULIFE	B	0.00	1,366.32	0.00	1,366.32	85.0	1,161.37
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,366.32	\$0.00	\$1,366.32		\$1,161.37
*** DIRECT TRANSACTIONS TOTAL ***				\$4,204,522.87	\$6,143.70	\$0.00	\$6,143.70		\$5,222.16

BROKER COMMISSION TOTALS

Broker Transactions	\$5,222.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,222.16
Year-to-date Commissions	\$45,772.71