



# COMMISSION STATEMENT

August 13, 2018

JOHN BUSEY  
12705 Century Dr Suite A  
Suite A  
Alpharetta, GA 30009

BROKER #: B13  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/30/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @20.8400	54.07	1.22	0.00	1.22	83.0	1.01
07/30/2018	***-**-0357 - LE BAR, ADAM ACCOUNT #: 00777141446	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 3 Units @20.8400	54.07	1.22	0.00	1.22	83.0	1.01
07/30/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @20.8400	19.88	0.45	0.00	0.45	83.0	0.37
07/30/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @20.8400	19.88	0.45	0.00	0.45	83.0	0.37
07/30/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 4 Units @39.5600	149.99	3.37	0.00	3.37	83.0	2.80
07/30/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM GLOBAL HEALTH CARE FUND CLASS A BUY 1 Units @39.5600	45.00	1.01	0.00	1.01	83.0	0.84
07/30/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 7 Units @4.1800	28.84	0.65	0.00	0.65	83.0	0.54
07/30/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM HIGH YIELD FUND - CLASS A BUY 4 Units @4.1800	17.30	0.39	0.00	0.39	83.0	0.32
07/30/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 5 Units @4.1800	19.88	0.45	0.00	0.45	83.0	0.37
07/30/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM HIGH YIELD FUND - CLASS A BUY 5 Units @4.1800	19.88	0.45	0.00	0.45	83.0	0.37
07/30/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 3 Units @22.0400	57.68	1.30	0.00	1.30	83.0	1.08
07/30/2018	***-**-9583 - STARCHER, MARTIN ACCOUNT #: 00777018377	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.0400	34.61	0.78	0.00	0.78	83.0	0.65
07/30/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 7 Units @22.0400	150.03	3.38	0.00	3.38	83.0	2.81
07/30/2018	***-**-7046 - LE BAR, CHARLES ACCOUNT #: 05064446577	AIM MID-CAP EQUITY FUND CLASS A BUY 2 Units @22.0400	45.01	1.01	0.00	1.01	83.0	0.84
07/30/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM REAL ESTATE FUND - CLASS A BUY 1 Units @21.5700	19.88	0.45	0.00	0.45	83.0	0.37
07/30/2018	***-**-6448 - HAYES, SHEILA ACCOUNT #: 00777182102	AIM REAL ESTATE FUND - CLASS A BUY 1 Units @21.5700	19.88	0.45	0.00	0.45	83.0	0.37



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/30/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	19.86	0.45	0.00	0.45	83.0	0.37
	ACCOUNT #: 00777182102	BUY 0 Units @51.8800						
07/30/2018	***-**-6448 - HAYES, SHEILA	AIM TECHNOLOGY FUND - CLASS A	19.86	0.45	0.00	0.45	83.0	0.37
	ACCOUNT #: 00777182102	BUY 0 Units @51.8800						
07/30/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	54.07	1.22	0.00	1.22	83.0	1.01
	ACCOUNT #: 00777141446	BUY 5 Units @11.0400						
07/30/2018	***-**-0357 - LE BAR, ADAM	INVESCO BALANCED - RISK ALLOCATION	54.07	1.22	0.00	1.22	83.0	1.01
	ACCOUNT #: 00777141446	BUY 5 Units @11.0400						
07/30/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	75.00	1.69	0.00	1.69	83.0	1.40
	ACCOUNT #: 00777180430	BUY 9 Units @8.0700						
07/30/2018	***-**-0655 - ARNOLD, JOHN	INVESCO BALANCED-RISK RTRMNT 2040 -	70.45	1.59	0.00	1.59	83.0	1.32
	ACCOUNT #: 00777180430	BUY 9 Units @8.0700						
07/30/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.7300						
07/30/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2040 -	33.60	0.34	0.00	0.34	83.0	0.28
	ACCOUNT #: 00777180437	BUY 4 Units @7.7300						
07/30/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	100.00	2.25	0.00	2.25	83.0	1.87
	ACCOUNT #: 00777168436	BUY 13 Units @7.9100						
07/30/2018	***-**-9092 - REYNOLDS,	INVESCO BALANCED-RISK RTRMNT 2050 -	84.72	1.91	0.00	1.91	83.0	1.59
	ACCOUNT #: 00777168436	BUY 11 Units @7.9100						
07/30/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 3 Units @7.5600						
07/30/2018	***-**-8722 - GAYLE, MICHAEL	INVESCO BALANCED-RISK RTRMNT 2050 -	26.40	0.26	0.00	0.26	83.0	0.22
	ACCOUNT #: 00777180437	BUY 3 Units @7.5600						
07/30/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	25.00	0.56	0.00	0.56	83.0	0.46
	ACCOUNT #: 00777180430	BUY 1 Units @26.0200						
07/30/2018	***-**-0655 - ARNOLD, JOHN	INVESCO CONVERTIBLE SECURITIES FUND	23.48	0.53	0.00	0.53	83.0	0.44
	ACCOUNT #: 00777180430	BUY 1 Units @26.0200						
07/30/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	57.69	1.30	0.00	1.30	83.0	1.08
	ACCOUNT #: 00777018377	BUY 4 Units @15.0300						
07/30/2018	***-**-9583 - STARCHER, MARTIN	INVESCO VAN KAMPEN VALUE OPP CLASS	34.61	0.78	0.00	0.78	83.0	0.65
	ACCOUNT #: 00777018377	BUY 2 Units @15.0300						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
07/30/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	149.98	3.37	0.00	3.37	83.0	2.80
	ACCOUNT #: 05064446577	BUY 10 Units @15.0300						
07/30/2018	***-**-7046 - LE BAR, CHARLES	INVESCO VAN KAMPEN VALUE OPP CLASS	44.99	1.01	0.00	1.01	83.0	0.84
	ACCOUNT #: 05064446577	BUY 3 Units @15.0300						
* MUTUAL FUNDS SUBTOTAL *			<u>\$1,689.66</u>	<u>\$36.56</u>	<u>\$0.00</u>	<u>\$36.56</u>		<u>\$30.33</u>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/07/2018	***-**-58IN - PRICE, ROSALYNNE	FIDELITY ADVISOR EQUITY INCOME CL T	0.00	4.46	0.00	4.46	83.0	3.70
	ACCOUNT #: 00656045945	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$4.46</u>	<u>\$0.00</u>	<u>\$4.46</u>		<u>\$3.70</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$1,689.66</u>	<u>\$41.02</u>	<u>\$0.00</u>	<u>\$41.02</u>		<u>\$34.03</u>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$34.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$34.03
Year-to-date Earnings	\$25,849.90