



Concorde Investment Services, LLC

08/22/2018

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COMMISSION STATEMENT**August 31, 2018**

JEFF/JASON, K148 KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^08/15/2018	BAILEY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	0.00
		* 529 PLANS SUBTOTAL *	\$100.00	\$1.00	\$0.00	\$1.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^08/10/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	40.00	0.00	40.00	100.0	0.00
^08/08/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.28	0.00	0.28	100.0	0.00
^08/08/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.28	0.00	0.28	100.0	0.00
^08/08/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	40.44	0.40	0.00	0.40	100.0	0.00
^08/08/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	40.44	0.40	0.00	0.40	100.0	0.00
^08/08/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	40.44	0.40	0.00	0.40	100.0	0.00
^08/08/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	40.44	0.40	0.00	0.40	100.0	0.00
^08/08/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	73.98	0.74	0.00	0.74	100.0	0.00
^08/08/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	73.98	0.74	0.00	0.74	100.0	0.00
^08/08/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	100.0	0.00
^08/08/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.24	0.00	0.24	100.0	0.00
^08/08/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.94	0.57	0.00	0.57	100.0	0.00
^08/08/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.94	0.57	0.00	0.57	100.0	0.00
^08/08/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^08/08/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.12	0.00	0.12	100.0	0.00
^08/08/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^08/08/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.29	0.00	0.29	100.0	0.00
^08/08/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.31	0.56	0.00	0.56	100.0	0.00
^08/08/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.31	0.56	0.00	0.56	100.0	0.00
^08/08/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.49	0.00	0.49	100.0	0.00
^08/08/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.49	0.00	0.49	100.0	0.00
^08/08/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	14.74	0.15	0.00	0.15	100.0	0.00
^08/08/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	14.74	0.15	0.00	0.15	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^08/08/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	25.97	0.26	0.00	0.26	100.0	0.00
^08/08/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	60.61	0.61	0.00	0.61	100.0	0.00
^08/08/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.53	0.08	0.00	0.08	100.0	0.00
^08/08/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.53	0.08	0.00	0.08	100.0	0.00
^08/08/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	56.10	0.56	0.00	0.56	100.0	0.00
^08/08/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	56.10	0.56	0.00	0.56	100.0	0.00
^08/08/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.35	0.00	0.35	100.0	0.00
^08/08/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	34.63	0.35	0.00	0.35	100.0	0.00
^08/08/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^08/08/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.43	0.00	0.43	100.0	0.00
^08/08/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^08/08/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.31	0.00	0.31	100.0	0.00
^08/08/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.40	0.00	0.40	100.0	0.00
^08/08/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.40	0.00	0.40	100.0	0.00
^08/09/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	89.91	3.37	0.00	3.37	100.0	0.00
^08/09/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	19.53	0.00	19.53	100.0	0.00
^08/09/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	19.53	0.00	19.53	100.0	0.00
^08/09/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.73	0.00	0.73	100.0	0.00
^08/10/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	11.45	0.00	11.45	100.0	0.00
^08/14/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	16.09	0.80	0.00	0.80	100.0	0.00
^08/14/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	16.09	0.80	0.00	0.80	100.0	0.00
^08/15/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	300.00	3.60	0.00	3.60	100.0	0.00
^08/15/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^08/15/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^08/08/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	61.18	0.61	0.00	0.61	100.0	0.00
^08/08/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	203.93	2.04	0.00	2.04	100.0	0.00
^08/08/2018	ZELLMER, RIANNON	AM FUNDS ICA A	23.49	0.23	0.00	0.23	100.0	0.00
^08/08/2018	ZELLMER, RIANNON	AM FUNDS ICA A	23.49	0.23	0.00	0.23	100.0	0.00
^08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.19	0.00	0.19	100.0	0.00
^08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.19	0.00	0.19	100.0	0.00
^08/06/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	100.0	0.00
^08/08/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	45.78	0.46	0.00	0.46	100.0	0.00
^08/08/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	45.78	0.46	0.00	0.46	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^08/09/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	89.92	3.37	0.00	3.37	100.0	0.00
^08/09/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	19.53	0.00	19.53	100.0	0.00
^08/09/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.73	0.00	0.73	100.0	0.00
^08/09/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	19.53	0.00	19.53	100.0	0.00
^08/08/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^08/08/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	3.13	0.00	3.13	100.0	0.00
^08/08/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.18	0.00	0.18	100.0	0.00
^08/08/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.24	0.00	0.24	100.0	0.00
^08/08/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	25.97	0.26	0.00	0.26	100.0	0.00
^08/08/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	43.29	0.43	0.00	0.43	100.0	0.00
^08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.19	0.00	0.19	100.0	0.00
^08/08/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.19	0.00	0.19	100.0	0.00
^08/08/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.28	0.00	0.28	100.0	0.00
^08/08/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.28	0.00	0.28	100.0	0.00
^08/10/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	10.00	0.00	10.00	100.0	0.00
^08/15/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	300.00	3.60	0.00	3.60	100.0	0.00
^08/15/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$6,912.38	\$196.44	\$0.00	\$196.44		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$7,012.38	\$197.44	\$0.00	\$197.44		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00