

## COMMISSION STATEMENT

August 31, 2017

BARRY POMP

21 HANCOCK COURT

EAST BRUNSWICK, NJ 08816

BROKER #: 8

BRANCH: HOME OFFICE

COMMISSION STATEMENT for BARRY POMP

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/31/2017 (None) VARIOUS, POMP	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	135.00	0.00	135.00	50.0	67.50
08/31/2017 (None) VARIOUS, POMP	AC ALTERNATIVES DISCIPLINED LONG SH B	0.00	340.48	0.00	340.48	50.0	170.24
* MUTUAL FUNDS SUBTOTAL *		\$0.00	\$475.48	\$0.00	\$475.48		\$237.74

PRODUCT CATEGORY: BONDS

07/31/2017 75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE S	-45,540.00	0.00	0.00	0.00	50.0	0.00 C
07/31/2017 75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE B	45,540.01	0.00	0.00	0.00	50.0	0.00
08/01/2017 75500356 LITTIG, LAWRENCE	MASTR ADJUSTABLE RATE MORTGAGES TRU S	14,238.30	0.00	0.00	0.00	50.0	0.00
08/01/2017 75500356 LITTIG, LAWRENCE	MASTR ADJUSTABLE RATE MORTGAGES TRU B	-14,238.30	0.00	0.00	0.00	50.0	0.00 C
08/01/2017 75500356 LITTIG, LAWRENCE	MASTR ADJUSTABLE RATE MORTGAGES TRU S	13,874.84	0.00	0.00	0.00	50.0	0.00
08/01/2017 75500356 LITTIG, LAWRENCE	MASTR ADJUSTABLE RATE MORTGAGES TRU B	-13,874.80	0.00	0.00	0.00	50.0	0.00 C
08/01/2017 75500356 LITTIG, LAWRENCE	MASTR ADJUSTABLE RATE MORTGAGES TRU S	13,874.84	0.00	0.00	0.00	50.0	0.00
08/02/2017 75500356 LITTIG, LAWRENCE	DEUTSCHE MORT SEC INC MTG LN SERIES S	47,055.19	0.00	0.00	0.00	50.0	0.00
08/02/2017 75500356 LITTIG, LAWRENCE	SOUNDVIEW HOME LN TR SERIES 2005-B S	20,545.66	0.00	0.00	0.00	50.0	0.00
08/02/2017 75500356 LITTIG, LAWRENCE	SOUNDVIEW HOME LN TR SERIES 2005-B B	-20,545.70	0.00	0.00	0.00	50.0	0.00 C
08/02/2017 75500356 LITTIG, LAWRENCE	SOUNDVIEW HOME LN TR SERIES 2005-B S	20,303.92	0.00	0.00	0.00	50.0	0.00
08/21/2017 75500367 HALSTEAD, MARK	ACCREDITED MTG LN TR SERIES 2005-2 S	633,436.77	0.00	0.00	0.00	50.0	0.00
08/21/2017 75500367 HALSTEAD, MARK	ACCREDITED MTG LN TR SERIES 2005-2 B	-633,437.00	0.00	0.00	0.00	50.0	0.00 C
08/21/2017 75500367 HALSTEAD, MARK	ACCREDITED MTG LN TR SERIES 2005-2 S	633,436.79	0.00	0.00	0.00	50.0	0.00
* BONDS SUBTOTAL *		\$714,670.52	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***		\$714,670.52	\$475.48	\$0.00	\$475.48		\$237.74

COMMISSION STATEMENT for BARRY POMP

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
08/31/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-952.50
08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
08/31/2017		OTHER: OTHER -RBC 755-99826 TICKET		0.00	0.00	0.00	0.00		-180.00
08/31/2017		OTHER: OTHER-PERSHING QGV891004 TIC		0.00	0.00	0.00	0.00		-10.00
08/31/2017		OTHER: OTHER-GROSS SALES IN 755-998		0.00	0.00	0.00	0.00		-1,182.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,353.00

BROKER COMMISSION TOTALS

Broker Transactions	\$237.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,353.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.59
SIPC Assessment	\$-1.19

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,117.04
Year-to-date Earnings	\$1,237.59