

COMMISSION STATEMENT

September 29, 2017

BARRY POMP

21 HANCOCK COURT

EAST BRUNSWICK, NJ 08816

BROKER #: 8

BRANCH: HOME OFFICE

COMMISSION STATEMENT for BARRY POMP

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
09/07/2017	75500367	HALSTEAD, MARK		BANK OF AMERICA CORP	B	22,850.00	125.00	0.00	125.00	50.0	62.50
				* STOCKS SUBTOTAL *		\$22,850.00	\$125.00	\$0.00	\$125.00		\$62.50
PRODUCT CATEGORY: BONDS											
09/21/2017	75500352	POMP, PHILIP		SOCIETE GENERALE REV CONV LKD TO MS B		3,000.00	0.00	0.00	0.00	50.0	0.00
08/01/2017	75500356	LITTIG, LAWRENCE		MASTR ADJUSTABLE RATE MORTGAGES TRU B		-13,874.80	0.00	0.00	0.00	50.0	0.00
08/01/2017	75500356	LITTIG, LAWRENCE		MASTR ADJUSTABLE RATE MORTGAGES TRU S		13,874.84	0.00	0.00	0.00	50.0	0.00
				* BONDS SUBTOTAL *		\$3,000.04	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MISCELLANEOUS											
09/29/2017	VARIOUS, POMP			755-99826	B	0.00	6,382.08	0.00	6,382.08	50.0	3,191.04
09/29/2017	VARIOUS, POMP			QGV891096	B	0.00	5,166.60	0.00	5,166.60	50.0	2,583.30
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$11,548.68	\$0.00	\$11,548.68		\$5,774.34
				*** BROKER TRANSACTIONS TOTAL ***		\$25,850.04	\$11,673.68	\$0.00	\$11,673.68		\$5,836.84
ADJUSTMENTS											
09/29/2017				OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-952.50
09/29/2017				OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017				OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
09/29/2017				OTHER: OTHER-RBC TICKET CHARGES		0.00	0.00	0.00	0.00		-10.00
09/29/2017				OTHER: OTHER-TICKET CHARGES		0.00	0.00	0.00	0.00		-125.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-1,115.50

BROKER COMMISSION TOTALS

Broker Transactions	\$5,836.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,115.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,117.04
FINRA Assessment	\$-0.16
SIPC Assessment	\$-0.31

Check Amount	\$2,603.83
Year-to-date Earnings	\$8,075.50