

COMMISSION STATEMENT

April 15, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/02/2018 (None)	GARTIN, ROBERT	MF OPPENHEIMER	B	0.00	3.00	0.00	3.00	50.0	1.50
03/02/2018 (None)	GARTIN, ROBERT	MF OPPENHEIMER	B	0.00	3.00	0.00	3.00	50.0	1.50
03/26/2018 000005870019840	CAROL	MF TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
04/06/2018 00058011489	FOSTER, EMILIE	MF CAPITAL WORLD GROWTH AND INCOME	B	4,799.16	95.98	0.00	95.98	50.0	47.99
04/03/2018 00068681036	MURPHY, DEBORAH	MF THE NEW ECONOMY FUND-A	B	75.00	3.19	0.00	3.19	50.0	1.60
04/03/2018 00068681036	MURPHY, DEBORAH	MF WASHINGTON MUTUAL INVESTORS	B	75.00	3.19	0.00	3.19	50.0	1.60
03/26/2018 00075390701	MURPHY, DEBORAH	MF INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
03/26/2018 00075396784	CASTANEDA, SANDRA	MF THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
03/26/2018 00083359485	SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
03/26/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
03/26/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
03/26/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
03/26/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
03/29/2018 00086507215	TRAPP, ANDREA	MF AMERICAN BALANCED FUND-A	B	100.00	4.25	0.00	4.25	50.0	2.13
03/26/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
03/26/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
03/27/2018 04000163744	GARZA, ALEXANDER	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
03/27/2018 04000163744	GARZA, ALEXANDER	MF FUNDAMENTAL INVESTORS-A	B	50.00	2.50	0.00	2.50	50.0	1.25
03/27/2018 04000163744	GARZA, ALEXANDER	MF NEW PERSPECTIVE FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
03/26/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
03/26/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
* MUTUAL FUNDS SUBTOTAL *				\$7,169.16	\$163.41	\$0.00	\$163.41		\$81.72

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/31/2018 009062915A	BROWN, SARAH	VA PERSPECTIVE	B	123,547.43	25.74	0.00	25.74	50.0	12.87
03/31/2018 1009661472	BAHAN, MARGARET	VA PERSPECTIVE II	B	117,035.52	73.15	0.00	73.15	50.0	36.58
03/31/2018 1009737665	BARICKMAN,	VA PERSPECTIVE II	B	111,739.98	69.84	0.00	69.84	50.0	34.92
03/31/2018 1013950608	BURNETT, PATRICIA	VA PERSP II 09/12	B	1,117,244.70	931.04	0.00	931.04	50.0	465.52
03/31/2018 1015646752	DORER, VALORIE	VA PERSP II 04/14	B	66,883.01	55.74	0.00	55.74	50.0	27.87
03/31/2018 1015845666	HOGAN, REBECCA	VA PERSP II 04/14	B	57,304.40	47.75	0.00	47.75	50.0	23.88
03/31/2018 1016104708	SCHROEDER, RICHARD	VA PERSP II 04/14	B	240,290.29	200.24	0.00	200.24	50.0	100.12

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03/31/2018	1018926438 WILLIAMS, JOE	VA PERSP II 04/14	B	328,331.78	68.40	0.00	68.40	50.0	34.20
* VARIABLE ANNUITIES SUBTOTAL *				\$2,162,377.11	\$1,471.90	\$0.00	\$1,471.90		\$735.96

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	(None)	VARIOUS, RANDALL SMITH	MFT OPPENHEIMER	B	0.00	395.19	0.00	395.19	50.0	197.60
03/31/2018	(None)	VARIOUS, RANDALL SMITH	MFT SCHOLAR'S EDGE	B	0.00	12.77	0.00	12.77	50.0	6.39
03/31/2018	(None)	CAMMACK, BENJAMIN	MFT SCHOLARS CHOICE	B	0.00	4.61	0.00	4.61	50.0	2.31
04/01/2018	00005627047	SHEPHERD, GARY	MFT AIM ENERGY FUND - CLASS A	B	0.00	8.97	0.00	8.97	50.0	4.49
04/01/2018	00006047615	JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	7.86	0.00	7.86	50.0	3.93
04/01/2018	00006047615	JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	29.53	0.00	29.53	50.0	14.77
04/03/2018	00099707981	GILLHAM TOD,	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	10.99	0.00	10.99	50.0	5.50
04/03/2018	00099707981	GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893961813	B	0.00	11.31	0.00	11.31	50.0	5.66
04/03/2018	00099707981	GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	16.60	0.00	16.60	50.0	8.30
04/03/2018	00099804447	GRAVES TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	0.43	0.00	0.43	50.0	0.22
04/01/2018	00670514319	MARTINEZ, MANUEL	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	11.08	0.00	11.08	50.0	5.54
04/01/2018	00671304303	SANCHEZ, ADRIANA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	70.97	0.00	70.97	50.0	35.49
04/01/2018	00672055601	DOROTHY A LAWLER,	MFT AIM REAL ESTATE FUND - CLASS A	B	0.00	2.46	0.00	2.46	50.0	1.23
04/03/2018	00961633589	MARTINEZ, MANUEL	MFT PIONEER CLASSIC BALANCED FUND A	B	0.00	33.90	0.00	33.90	50.0	16.95
04/03/2018	00963434381	HANSON, SARAH	MFT PIONEER VALUE FUND A	B	0.00	0.95	0.00	0.95	50.0	0.48
04/01/2018	03909106654	COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	14.57	0.00	14.57	50.0	7.29
04/01/2018	03909106654	COLLAS, PAUL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	20.25	0.00	20.25	50.0	10.13
04/01/2018	04025619125	TAYLOR UGMA/TX,	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	2.91	0.00	2.91	50.0	1.46
04/01/2018	04025619125	TAYLOR UGMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	3.82	0.00	3.82	50.0	1.91
04/03/2018	04030010295	MARTINEZ, MANUEL	MFT PIONEER CLASSIC BALANCED FUND A	B	0.00	17.38	0.00	17.38	50.0	8.69
04/01/2018	05002779055	SHEPHERD, KATIE	MFT AIM ENERGY FUND - CLASS A	B	0.00	1.40	0.00	1.40	50.0	0.70
04/01/2018	05024959701	COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	8.78	0.00	8.78	50.0	4.39
04/03/2018	06500021438	GILLHAM (BENE),	MFT TRANSAMERICA HIGH YIELD BOND-A	B	0.00	13.62	0.00	13.62	50.0	6.81
04/03/2018	06500021438	GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	B	0.00	14.99	0.00	14.99	50.0	7.50
04/03/2018	06500027143	CRAWFORD, CARLA	MFT TRANSAMERICA ASSET ALLC-GROWTH	B	0.00	0.33	0.00	0.33	50.0	0.17
04/03/2018	06500159364	GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	B	0.00	17.99	0.00	17.99	50.0	9.00
04/03/2018	06500159365	GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	B	0.00	30.19	0.00	30.19	50.0	15.10
04/01/2018	06910071921	JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.61	0.00	0.61	50.0	0.31
04/01/2018	06910071921	JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	3.50	0.00	3.50	50.0	1.75
04/01/2018	08000434478	JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	5.00	0.00	5.00	50.0	2.50
04/01/2018	08000434478	JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	19.55	0.00	19.55	50.0	9.78
04/05/2018	BRK50026	MARIN, MARISELA	MFT 8504AFRKD	B	0.00	2,062.02	0.00	2,062.02	50.0	1,031.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$2,854.53	\$0.00	\$2,854.53		\$1,427.36

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

03/20/2018 (None) GRAVES	VAT TRANSAMERICA PREMIER	B	0.00	70.37	0.00	70.37	50.0	35.19
03/31/2018 (None) PETER, ELOISE KOLLMAN	VAT LINCOLN NATL LIFE	B	0.00	12.78	0.00	12.78	50.0	6.39
03/31/2018 (None) PETER, ELOISE KOLLMAN	VAT LINCOLN NATL LIFE	B	0.00	74.63	0.00	74.63	50.0	37.32
03/31/2018 (None) PETER, ELOISE KOLLMAN	VAT LINCOLN NATL LIFE	B	0.00	28.01	0.00	28.01	50.0	14.01
03/31/2018 (None) ABBOTT, BEN	VAT LINCOLN NATL LIFE	B	0.00	288.06	0.00	288.06	50.0	144.03
03/31/2018 (None) WHITE, MARGARET	VAT PRUDENTIAL LIFE	B	0.00	38.45	0.00	38.45	50.0	19.23

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04/02/2018 (None)	MEYER	VAT TRANSAMERICA LIFE	B	0.00	730.66	0.00	730.66	50.0	365.33
04/06/2018 (None)	HERAS, JUANITA	VAT JOHN HANCOCK LIFE	B	0.00	2.77	0.00	2.77	50.0	1.39
04/06/2018 (None)	MATHIS, MAGARET	VAT NATIONWIDE	B	0.00	92.53	0.00	92.53	50.0	46.27
04/06/2018 (None)	KAMATH, SUREN	VAT PACIFIC LIFE	B	0.00	97.59	0.00	97.59	50.0	48.80
03/31/2018 00712280202	VARIOUS, RANDALL	VAT HARTFORD LIFE	B	0.00	285.50	0.00	285.50	50.0	142.75
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,721.35	\$0.00	\$1,721.35		\$860.71
*** DIRECT TRANSACTIONS TOTAL ***				\$2,169,546.27	\$6,211.19	\$0.00	\$6,211.19		\$3,105.75

SPLIT TRANSACTIONS**BROKER: RIX/RANDY SMITH/SMITH-D081 #80**

04/06/2018 00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,843.22	48.43	0.00	48.43		24.22
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$4,843.22	\$48.43	\$0.00	\$48.43		\$24.22
*** SPLIT TRANSACTIONS TOTAL ***				\$4,843.22	\$48.43	\$0.00	\$48.43		\$24.22

REP LINK TRANSACTIONS**BROKER: RANDY SMITH-D601 #66**

04/02/2018 08190128650	GIFT MIN ACT-SD,	MF MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
04/01/2018 00090001299	GULF STATES	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	140.23	0.00	140.23		28.05
04/01/2018 00090002000	GULF STATES	MFT MFS TECHNOLOGY FUND-C	B	0.00	240.00	0.00	240.00		48.00
04/01/2018 00090002235	GULF STATES	MFT MFS MID CAP VALUE FUND-C	B	0.00	176.37	0.00	176.37		35.27
04/01/2018 00090002652	GULF STATES	MFT MFS EMERGING MARKETS EQUITY	B	0.00	74.15	0.00	74.15		14.83
04/01/2018 00090011485	GULF STATES	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	146.26	0.00	146.26		29.25
04/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP GROWTH FUND-A	B	0.00	7.67	0.00	7.67		1.53
04/01/2018 08187623236	ST JULIEN, ERIC	MFT MFS MID CAP VALUE FUND-A	B	0.00	24.95	0.00	24.95		4.99
04/01/2018 08189050828	SASSEN, JOHN	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	48.55	0.00	48.55		9.71
04/01/2018 08189189584	CORBETT LIVING	MFT MFS UTILITIES FUND-C	B	0.00	0.95	0.00	0.95		0.19
04/01/2018 08189251981	WARNIE, BARBARA	MFT MFS TECHNOLOGY FUND-C	B	0.00	85.64	0.00	85.64		17.13
04/01/2018 08189384163	DELSA, SUZANNE	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.94	0.00	4.94		0.99
04/01/2018 08189400866	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	0.75	0.00	0.75		0.15
04/01/2018 08189401119	JAMII BARAKA ST	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	4.80	0.00	4.80		0.96
04/01/2018 08189401119	JAMII BARAKA ST	MFT MFS MID CAP VALUE FUND-A	B	0.00	3.15	0.00	3.15		0.63
04/01/2018 08189401123	ST JULIEN,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	8.35	0.00	8.35		1.67
04/01/2018 08189401123	ST JULIEN,	MFT MFS MID CAP VALUE FUND-A	B	0.00	13.78	0.00	13.78		2.76
04/01/2018 08189402020	TAZAMA UPENDO ST.	MFT MFS INTERNATIONAL VALUE FUND-A	B	0.00	3.04	0.00	3.04		0.61
04/01/2018 08189516581	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	10.95	0.00	10.95		2.19
04/01/2018 08190039961	COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	153.85	0.00	153.85		30.77
04/01/2018 08190095532	SASSEN, JOHN	MFT MFS CORE EQUITY FUND-C	B	0.00	38.23	0.00	38.23		7.65
04/01/2018 08190123620	GIFT MIN ACT LA,	MFT MFS UTILITIES FUND-C	B	0.00	14.12	0.00	14.12		2.82
04/01/2018 08190128650	GIFT MIN ACT-SD,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	18.58	0.00	18.58		3.72
04/01/2018 08190129109	FBO JULIETTE G	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	5.63	0.00	5.63		1.13

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04/01/2018 08190129109	FBO JULIETTE G	MFT MFS GROWTH FUND-C	B	0.00	19.49	0.00	19.49		3.90
04/01/2018 08190129110	PEYTON O LEBLANC,	MFT MFS GROWTH FUND-C	B	0.00	23.16	0.00	23.16		4.63
04/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS GROWTH FUND-C	B	0.00	16.26	0.00	16.26		3.25
04/01/2018 08190129112	SARA E CANCIENNE,	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.57	0.00	5.57		1.11
04/01/2018 08190129113	LILLY M SUTTON	MFT MFS GROWTH FUND-C	B	0.00	15.26	0.00	15.26		3.05
04/01/2018 08190129113	LILLY M SUTTON	MFT MFS MID CAP VALUE FUND-C	B	0.00	5.57	0.00	5.57		1.11
04/01/2018 08190130171	PROPERTY,	MFT MFS GROWTH FUND-C	B	0.00	42.35	0.00	42.35		8.47
04/01/2018 08190130171	PROPERTY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	39.48	0.00	39.48		7.90
04/01/2018 08191017415	COCKERHAM, CAROL	MFT MFS MID CAP GROWTH FUND-A	B	0.00	58.20	0.00	58.20		11.64
04/01/2018 08191029111	PRESLIE G LEBLANC	MFT MFS GROWTH FUND-C	B	0.00	19.78	0.00	19.78		3.96
04/01/2018 08191043389	GIFT MIN ACT LA,	MFT MFS MID CAP VALUE FUND-A	B	0.00	6.75	0.00	6.75		1.35
04/01/2018 08191043390	GIFT MIN ACT LA,	MFT MFS TECHNOLOGY FUND-A	B	0.00	9.13	0.00	9.13		1.83
04/01/2018 08191059944	FINN, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	104.96	0.00	104.96		20.99
04/01/2018 08191117462	DELSA, SUZANNE	MFT MFS MID CAP VALUE FUND-C	B	0.00	9.33	0.00	9.33		1.87
04/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS INTERNATIONAL GROWTH FUND-C	B	0.00	51.99	0.00	51.99		10.40
04/01/2018 08191122694	KNIGHT, WILLIAM	MFT MFS MID CAP VALUE FUND-C	B	0.00	50.51	0.00	50.51		10.10
04/01/2018 08191161796	RAINES,	MFT MFS MID CAP VALUE FUND-C	B	0.00	6.52	0.00	6.52		1.30
04/01/2018 08191187109	BEACH, MELISSA	MFT MFS GROWTH FUND-C	B	0.00	35.01	0.00	35.01		7.00
04/01/2018 08191187109	BEACH, MELISSA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	33.53	0.00	33.53		6.71
04/01/2018 08191187109	BEACH, MELISSA	MFT MFS TECHNOLOGY FUND-C	B	0.00	39.68	0.00	39.68		7.94
04/01/2018 08191191313	TICHENOR,	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	10.26	0.00	10.26		2.05
04/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	12.05	0.00	12.05		2.41
04/01/2018 08191191313	TICHENOR,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.14	0.00	12.14		2.43
04/01/2018 08191196742	DI GRADO, JOSEPH	MFT MFS MID CAP VALUE FUND-C	B	0.00	23.55	0.00	23.55		4.71
04/01/2018 08191197834	NOLTING, EVELYN	MFT MFS GROWTH FUND-C	B	0.00	24.25	0.00	24.25		4.85
04/01/2018 08191197834	NOLTING, EVELYN	MFT MFS MID CAP VALUE FUND-C	B	0.00	20.96	0.00	20.96		4.19
04/01/2018 08191197834	NOLTING, EVELYN	MFT MFS UTILITIES FUND-C	B	0.00	16.41	0.00	16.41		3.28
04/01/2018 08191197864	WARNIE, BARBARA	MFT MFS GROWTH FUND-C	B	0.00	74.64	0.00	74.64		14.93
04/01/2018 08191197864	WARNIE, BARBARA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	69.72	0.00	69.72		13.94
04/01/2018 08191197864	WARNIE, BARBARA	MFT MFS UTILITIES FUND-C	B	0.00	32.85	0.00	32.85		6.57
04/01/2018 08191216107	GEE, WANDA	MFT MFS GROWTH FUND-C	B	0.00	72.92	0.00	72.92		14.58
04/01/2018 08191216107	GEE, WANDA	MFT MFS MID CAP VALUE FUND-C	B	0.00	62.13	0.00	62.13		12.43
04/01/2018 08191220624	COCKERHAM, CAROL	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	60.60	0.00	60.60		12.12
04/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP GROWTH FUND-A	B	0.00	8.32	0.00	8.32		1.66
04/01/2018 08191220625	COCKERHAM, ASHLEY	MFT MFS MID CAP VALUE FUND-C	B	0.00	29.75	0.00	29.75		5.95
04/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS INTERNATIONAL NEW DISCOVERY	B	0.00	34.63	0.00	34.63		6.93
04/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP GROWTH FUND-C	B	0.00	23.71	0.00	23.71		4.74
04/01/2018 08191235410	PEREZ, CHRISTINA	MFT MFS MID CAP VALUE FUND-C	B	0.00	42.99	0.00	42.99		8.60
04/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	14.79	0.00	14.79		2.96
04/01/2018 08191250375	BARAKA ST JULIEN,	MFT MFS MID CAP VALUE FUND-C	B	0.00	12.17	0.00	12.17		2.43
04/01/2018 08191250377	UPENDO ST JULIEN,	MFT MFS GROWTH FUND-C	B	0.00	5.39	0.00	5.39		1.08
04/01/2018 08191259308	TAZAMA UPENDO ST.	MFT MFS MID CAP VALUE FUND-A	B	0.00	5.14	0.00	5.14		1.03
04/01/2018 08191265828	LE BLANC, ERIN	MFT MFS MID CAP VALUE FUND-C	B	0.00	63.06	0.00	63.06		12.61
04/01/2018 08191270658	BEAU OUTLAW, JOHN	MFT MFS GROWTH FUND-C	B	0.00	12.35	0.00	12.35		2.47

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP GROWTH FUND-C	B	0.00	42.32	0.00	42.32		8.46
04/01/2018 08191310353	MCELEARNEY,	MFT MFS MID CAP VALUE FUND-C	B	0.00	38.49	0.00	38.49		7.70
04/01/2018 08191375494	FINN, JOHN	MFT MFS GROWTH FUND-C	B	0.00	173.38	0.00	173.38		34.68
04/01/2018 08191395184	FINN, CONNOR	MFT MFS GROWTH FUND-C	B	0.00	8.68	0.00	8.68		1.74
04/01/2018 08191398185	CORBETT, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	B	0.00	43.67	0.00	43.67		8.73
04/01/2018 08191402994	JANICE K BARDEN	MFT MFS GROWTH FUND-C	B	0.00	113.24	0.00	113.24		22.65
04/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP GROWTH FUND-C	B	0.00	107.30	0.00	107.30		21.46
04/01/2018 08191402994	JANICE K BARDEN	MFT MFS MID CAP VALUE FUND-C	B	0.00	111.33	0.00	111.33		22.27
04/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS GROWTH FUND-C	B	0.00	28.52	0.00	28.52		5.70
04/01/2018 08191403238	CALIVA, CAROLINE	MFT MFS MID CAP VALUE FUND-C	B	0.00	14.82	0.00	14.82		2.96
* RANDY SMITH-D601 SUBTOTAL *				\$50.00	\$3,244.50	\$0.00	\$3,244.50		\$648.91
*** REP LINK TRANSACTIONS TOTAL ***				\$50.00	\$3,244.50	\$0.00	\$3,244.50		\$648.91

BROKER COMMISSION TOTALS

Broker Transactions	\$3,754.66
Split Transactions	\$24.22
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,778.88
Year-to-date Commissions	\$30,442.48