

COMMISSION STATEMENT

August 31, 2017

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #: 6
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/23/2017	QGW018525	WAYMEL, RAYMOND	CELESTICA INC	B	11,439.80	331.00	15.00	316.00	85.0	266.35
07/31/2017	QGW018541	LUNCEFORD, WENDELL	ALTRIA GROUP INC	B	6,492.50	188.00	10.50	177.50	85.0	149.30
07/31/2017	QGW018541	LUNCEFORD, WENDELL	INTERNATIONAL BUSINESS MACHINES COR	B	7,214.15	210.00	10.25	199.75	85.0	168.25
08/01/2017	QGW018541	LUNCEFORD, WENDELL	SACHEM CAPITAL CORP COMMON SHARES	S	-9,780.00	147.00	20.00	127.00	85.0	104.95
08/21/2017	QGW018541	LUNCEFORD, WENDELL	CELESTICA INC	B	11,356.20	329.00	15.00	314.00	85.0	264.65
08/23/2017	QGW018541	LUNCEFORD, WENDELL	GLOBAL X FDS GLOBAL X SUPERDIVIDEND	B	25,287.60	732.00	15.00	717.00	85.0	607.20
08/14/2017	QGW018558	PAGLIA, JAMES	INTERNATIONAL BUSINESS MACHINES COR	B	2,848.36	82.00	10.10	71.90	85.0	59.60
07/27/2017	QGW018590	NEWTON, ROBERT	EATON VANCE ENHANCED EQUITY INCOME	S	-10,474.38	235.00	13.50	221.50	85.0	186.25
08/08/2017	QGW018657	KNAUF, STEPHEN	ALVARION LTD	S	-0.34	0.00	10.50	-10.50	85.0	-10.50
08/08/2017	QGW018657	KNAUF, STEPHEN	INNOVARO INC COM	S	-7.05	0.00	17.50	-17.50	85.0	-17.50
08/08/2017	QGW018657	KNAUF, STEPHEN	LOCAL CORPORATION COM	S	-0.70	0.00	15.00	-15.00	85.0	-15.00
08/09/2017	QGW018673	DEKKER, KATHIE	GUESS INC	S	-3,440.05	85.00	11.25	73.75	85.0	61.00
08/17/2017	QGW018822	BERWANGER, CAROL	GUESS INC	S	-2,566.02	75.00	11.00	64.00	85.0	52.75
08/01/2017	QGW018954	EGGLESTON, LYNN	INTERNATIONAL BUSINESS MACHINES COR	B	4,353.45	126.00	10.15	115.85	85.0	96.95
07/27/2017	QGW019119	HAECCKER, GREG	EATON VANCE ENHANCED EQUITY INCOME	S	-21,750.19	566.00	17.20	548.80	85.0	463.90
08/02/2017	QGW024747	WAYMEL, RAYMOND	LASALLE HOTEL PPTYS COM SH BEN INT	B	8,624.52	250.00	11.50	238.50	85.0	201.00
08/10/2017	QGW024747	WAYMEL, RAYMOND	SACHEM CAPITAL CORP COMMON SHARES	S	-14,550.00	145.00	25.00	120.00	85.0	98.25
08/09/2017	QGW024754	KNUDSON, SUSAN	GUESS INC	S	-4,131.03	97.00	11.50	85.50	85.0	70.95
08/01/2017	QGW024770	HOBGOOD, RUTH	INTERNATIONAL BUSINESS MACHINES COR	B	7,242.41	188.00	10.25	177.75	85.0	149.55
08/22/2017	QGW024853	LEO P LEBEL, SEP	INTERNATIONAL BUSINESS MACHINES COR	B	4,932.06	143.00	10.18	132.82	85.0	111.37
08/09/2017	QGW024986	PENNINGTON, CHARLES	GUESS INC	S	-4,143.24	97.00	11.50	85.50	85.0	70.95
08/09/2017	QGW025264	BELLMAN, ALICIA	GUESS INC	S	-2,758.22	75.00	11.00	64.00	85.0	52.75
08/01/2017	QGW025306	DIAZ, WANDA	INTERNATIONAL BUSINESS MACHINES COR	B	5,072.84	147.00	10.18	136.82	85.0	114.77
08/16/2017	QGW025942	MCEVOY JT TEN,	G-III APPAREL GROUP LTD	S	-3,605.48	90.00	10.75	79.25	85.0	65.75
08/01/2017	QGW028367	CONARD, JULIE	INTERNATIONAL BUSINESS MACHINES COR	B	2,900.00	85.00	10.10	74.90	85.0	62.15
08/28/2017	QGW029597	M. PESSETTI JT TEN,	INTERNATIONAL BUSINESS MACHINES COR	B	2,011.38	75.00	10.07	64.93	85.0	53.68
08/28/2017	QGW029886	KONKEN, LUCY	G-III APPAREL GROUP LTD	S	-3,991.53	98.00	10.75	87.25	85.0	72.55
08/18/2017	QGW031692	BARFIELD, BARBARA	SACHEM CAPITAL CORP COMMON SHARES	S	-7,275.00	75.00	17.50	57.50	85.0	46.25
08/22/2017	QGW031700	BARFIELD, BARBARA	CELESTICA INC	B	4,022.55	119.00	11.75	107.25	85.0	89.40
* STOCKS SUBTOTAL *					\$15,324.59	\$4,790.00	\$373.98	\$4,416.02		\$3,697.52

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
08/18/2017	QGW018491	HOBGOOD, ROBIN	B	0.00	3.09	0.00	3.09	85.0	2.63
08/18/2017	QGW018574	STEPANEK, CATHERINE	B	0.00	5.86	0.00	5.86	85.0	4.98
08/18/2017	QGW018798	SENTZ, ROBERT	B	0.00	0.13	0.00	0.13	85.0	0.11
08/18/2017	QGW019150	RICHARDSON, MARY	B	0.00	0.08	0.00	0.08	85.0	0.07
08/15/2017	QGW024838	STEPANEK, DENNIS	B	0.00	19.91	0.00	19.91	85.0	16.92
08/18/2017	QGW024879	DERTOUZOS, JAMES	B	0.00	2.67	0.00	2.67	85.0	2.27
08/18/2017	QGW024879	DERTOUZOS, JAMES	B	0.00	2.24	0.00	2.24	85.0	1.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$33.98	\$0.00	\$33.98		\$28.88
*** BROKER TRANSACTIONS TOTAL ***				\$15,324.59	\$4,823.98	\$373.98	\$4,450.00		\$3,726.40

ADJUSTMENTS

08/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
08/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
08/31/2017	OTHER: OTHER -ADJUSTMENT TO TICKET	0.00	0.00	0.00	0.00	52.21
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$17.21

BROKER COMMISSION TOTALS

Broker Transactions	\$3,726.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$17.21
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.03
SIPC Assessment	\$-12.06

Check Amount	\$3,725.52
Year-to-date Earnings	\$15,599.17