



All Companies

05/14/2018  
Page No. 1

# COMMISSION STATEMENT May 15, 2018

RICHARD E. FENGLER  
513 HARBOR LANE

BROKER #: 2990  
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

## COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,525.52	1,525.52	0.00	1,525.52	85.0	1,296.69	
04/25/2018	00002196237	PARKS, EILEEN/EMMA	US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
04/25/2018	00002196244	PARKS, EILEEN/KATHERIN	US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
04/16/2018	00063561880	WALKER, ROBERT A.	FIDELITY ADV STRATEGIC INCOME CL C	B	300.00	3.00	0.00	3.00	85.0	2.55
04/16/2018	00655125367	TURNER, DONNA	FIDELITY ADV CONSUMER STAPLES-CL A	B	125.00	3.44	0.00	3.44	85.0	2.92
04/16/2018	01476253412	DUNFORD, GINA M.	FA 529 PORT 2019-CL C	B	50.00	0.50	0.00	0.50	85.0	0.43
04/16/2018	01482022975	FENGLER, KELLY	FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
04/20/2018	01489907152	BARGAS, JEFFERY/MADISON	FA 529 100% EQUITY PORT-CL C	B	200.00	2.00	0.00	2.00	85.0	1.70
04/26/2018	5450900284	BALLAS, MELISSA L	MODERATE INVESTOR FUND A	B	100.00	4.75	0.00	4.75	85.0	4.04
* MUTUAL FUNDS SUBTOTAL *					\$2,450.52	\$1,542.33	\$0.00	\$1,542.33		\$1,310.98

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	23.10	0.00	23.10	85.0	19.64
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	503.43	0.00	503.43	85.0	427.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$526.53	\$0.00	\$526.53		\$447.57
*** BROKER TRANSACTIONS TOTAL ***				\$2,450.52	\$2,068.86	\$0.00	\$2,068.86		\$1,758.55

### ADJUSTMENTS

05/15/2018	CONTINUING ED: REG ELEMENT		0.00	0.00	0.00	0.00	-55.00
05/15/2018	E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
05/15/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-182.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,758.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-182.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,576.05
Year-to-date Earnings	\$26,102.56