

COMMISSION STATEMENT**August 31, 2017**

CHRISTOPHER HAMILTON
 21 WEST STREET
 APT 6J
 NEW YORK, NY 10006

BROKER #: 14
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/31/2017	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	69,095.60	0.00	10.00	-10.00	80.0	-10.00
08/01/2017	75500508	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	69,249.60	0.00	10.00	-10.00	80.0	-10.00
08/10/2017	75500508	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	B	34,732.00	0.00	10.00	-10.00	80.0	-10.00
08/22/2017	75500508	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	S	33,318.40	0.00	10.00	-10.00	80.0	-10.00
07/31/2017	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	B	98,708.00	0.00	10.00	-10.00	80.0	-10.00
08/01/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	B	24,500.00	0.00	10.00	-10.00	80.0	-10.00
08/01/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	55,260.00	0.00	10.00	-10.00	80.0	-10.00
08/01/2017	75500514	HAMILTON, CHRISTOPHER SPDR S&P 500 ETF TRUST	S	98,928.00	0.00	10.00	-10.00	80.0	-10.00
08/02/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	125,954.40	0.00	10.00	-10.00	80.0	-10.00
08/02/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,220.00	0.00	10.00	-10.00	80.0	-10.00
08/03/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	6,166.72	0.00	10.00	-10.00	80.0	-10.00
08/03/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	66,228.00	0.00	10.00	-10.00	80.0	-10.00
08/03/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	132,456.00	0.00	10.00	-10.00	80.0	-10.00
08/03/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	66,094.62	0.00	10.00	-10.00	80.0	-10.00
08/03/2017	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	21,042.00	0.00	10.00	-10.00	80.0	-10.00
08/03/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	55,137.00	0.00	10.00	-10.00	80.0	-10.00
08/04/2017	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	21,426.00	0.00	10.00	-10.00	80.0	-10.00
08/07/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,430.00	0.00	10.00	-10.00	80.0	-10.00
08/08/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	132,144.00	0.00	10.00	-10.00	80.0	-10.00
08/08/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	55,220.00	0.00	10.00	-10.00	80.0	-10.00
08/08/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	B	44,756.00	0.00	10.00	-10.00	80.0	-10.00
08/08/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR GOLD MINERS E	S	44,560.00	0.00	10.00	-10.00	80.0	-10.00
08/09/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,664.00	0.00	10.00	-10.00	80.0	-10.00
08/09/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	B	24,667.00	0.00	10.00	-10.00	80.0	-10.00
08/09/2017	75500514	HAMILTON, CHRISTOPHER ISHARES MSCI HONG KONG ETF	S	24,730.00	0.00	10.00	-10.00	80.0	-10.00
08/09/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,310.00	0.00	10.00	-10.00	80.0	-10.00
08/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	64,980.00	0.00	10.00	-10.00	80.0	-10.00
08/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	64,980.00	0.00	10.00	-10.00	80.0	-10.00
08/10/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	64,980.00	0.00	10.00	-10.00	80.0	-10.00
08/10/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	55,080.00	0.00	10.00	-10.00	80.0	-10.00
08/10/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	B	49,584.50	0.00	10.00	-10.00	80.0	-10.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/14/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,364.00	0.00	10.00	-10.00	80.0	-10.00
08/14/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,390.00	0.00	10.00	-10.00	80.0	-10.00
08/15/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	65,280.00	0.00	10.00	-10.00	80.0	-10.00
08/15/2017	75500514	HAMILTON, CHRISTOPHER SPDR GOLD TR GOLD SHS	B	120,958.40	0.00	10.00	-10.00	80.0	-10.00
08/15/2017	75500514	HAMILTON, CHRISTOPHER SPDR GOLD TR GOLD SHS	S	121,191.40	0.00	10.00	-10.00	80.0	-10.00
08/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	130,992.00	0.00	10.00	-10.00	80.0	-10.00
08/16/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	130,992.00	0.00	10.00	-10.00	80.0	-10.00
08/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,104.00	0.00	10.00	-10.00	80.0	-10.00
08/17/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,104.00	0.00	10.00	-10.00	80.0	-10.00
08/18/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,340.00	0.00	10.00	-10.00	80.0	-10.00
08/18/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	55,347.00	0.00	10.00	-10.00	80.0	-10.00
08/21/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,185.80	0.00	10.00	-10.00	80.0	-10.00
08/21/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,196.00	0.00	10.00	-10.00	80.0	-10.00
08/21/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	55,400.00	0.00	10.00	-10.00	80.0	-10.00
08/22/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,328.00	0.00	10.00	-10.00	80.0	-10.00
08/22/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	S	47,566.40	0.00	10.00	-10.00	80.0	-10.00
08/24/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	130,032.00	0.00	10.00	-10.00	80.0	-10.00
08/25/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	65,269.80	0.00	10.00	-10.00	80.0	-10.00
08/25/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	65,183.04	0.00	10.00	-10.00	80.0	-10.00
08/25/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	54,707.00	0.00	10.00	-10.00	80.0	-10.00
08/28/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	54,510.00	0.00	10.00	-10.00	80.0	-10.00
08/16/2017	75500520	FAKLER FAMILY COLGATE PALMOLIVE COMPANY	B	35,858.96	355.00	10.00	345.00	80.0	276.00
07/31/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	98,708.00	50.00	10.00	40.00	80.0	32.00
08/01/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	98,928.00	50.00	10.00	40.00	80.0	32.00
08/10/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	122,363.70	50.00	10.00	40.00	80.0	32.00
08/10/2017	75500529	KALOS, PAUL VANECK VECTORS ETF TR OIL SVCS ETF	B	49,584.50	50.00	10.00	40.00	80.0	32.00
08/22/2017	75500529	KALOS, PAUL VANECK VECTORS ETF TR OIL SVCS ETF	S	47,566.40	50.00	10.00	40.00	80.0	32.00
08/10/2017	75500747	MILNER, ELIZABETH VANECK VECTORS ETF TR OIL SVCS ETF	B	6,626.50	15.00	10.00	5.00	80.0	4.00
08/22/2017	75500747	MILNER, ELIZABETH VANECK VECTORS ETF TR OIL SVCS ETF	S	6,356.80	15.00	10.00	5.00	80.0	4.00
08/10/2017	75500767	HAMILTON, DOUGLAS VANECK VECTORS ETF TR OIL SVCS ETF	B	12,796.00	15.00	10.00	5.00	80.0	4.00
08/22/2017	75500767	HAMILTON, DOUGLAS VANECK VECTORS ETF TR OIL SVCS ETF	S	12,275.20	15.00	10.00	5.00	80.0	4.00
07/27/2017	75500814	KARLIN, RONALD FACEBOOK INC CL A	S	34,760.00	75.00	10.00	65.00	80.0	52.00
07/31/2017	75500814	KARLIN, RONALD UNIVERSAL DISPLAY CORPORATION	B	60,550.00	75.00	10.00	65.00	80.0	52.00
08/24/2017	75500814	KARLIN, RONALD VALEANT PHARMACEUTICALS INTERNATION	S	7,276.50	75.00	10.00	65.00	80.0	52.00
08/25/2017	75500814	KARLIN, RONALD CELLDX THERAPEUTICS INC	S	706.02	25.00	10.00	15.00	80.0	12.00
08/25/2017	75500814	KARLIN, RONALD COGINT INC COMMON STOCK \$0.0005 PAR	S	2,378.45	25.00	10.00	15.00	80.0	12.00
08/10/2017	75500816	KERN, DOUGLAS VANECK VECTORS ETF TR OIL SVCS ETF	B	74,491.00	50.00	10.00	40.00	80.0	32.00
08/22/2017	75500816	KERN, DOUGLAS VANECK VECTORS ETF TR OIL SVCS ETF	S	71,459.20	50.00	10.00	40.00	80.0	32.00
08/10/2017	75500913	KARP, RONALD TECHNICAL COMMUNICATIONS CORP	S	20,675.20	50.00	10.00	40.00	80.0	32.00
08/11/2017	75500913	KARP, RONALD TECHNICAL COMMUNICATIONS CORP	S	382.20	0.49	10.00	-9.51	80.0	-9.51

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-382.20	-0.49	-10.00	9.51	80.0	9.51 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	15,138.06	50.00	10.00	40.00	80.0	32.00
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-15,138.10	-50.00	-10.00	-40.00	80.0	-32.00 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	2,720.25	121.87	10.00	111.87	80.0	89.50
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-2,720.25	-121.87	-10.00	-111.87	80.0	-89.50 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	12,400.00	0.00	10.00	-10.00	80.0	-10.00
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-12,400.00	0.00	-10.00	10.00	80.0	10.00 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	16,400.00	20.00	10.00	10.00	80.0	8.00
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-16,400.00	-20.00	-10.00	-10.00	80.0	-8.00 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	10,434.70	20.00	10.00	10.00	80.0	8.00
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-10,434.70	-20.00	-10.00	-10.00	80.0	-8.00 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	55,645.07	72.00	10.00	62.00	80.0	49.60
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	B	-55,645.10	-72.00	-10.00	-62.00	80.0	-49.60 C
08/11/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	57,475.22	72.00	10.00	62.00	80.0	49.60
08/11/2017	75500913 KARP, RONALD	TETRAPHASE PHARMACEUTICALS INC COM	B	16,440.00	50.00	10.00	40.00	80.0	32.00
08/14/2017	75500913 KARP, RONALD	TECHNICAL COMMUNICATIONS CORP	S	18,830.80	50.00	10.00	40.00	80.0	32.00
08/17/2017	75500913 KARP, RONALD	AMTECH SYSTEMS INC COM PAR \$0.01	B	41,444.40	50.00	10.00	40.00	80.0	32.00
08/18/2017	75500913 KARP, RONALD	AMTECH SYSTEMS INC COM PAR \$0.01	B	27,900.00	50.00	10.00	40.00	80.0	32.00
07/31/2017	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	B	49,354.00	25.00	10.00	15.00	80.0	12.00
08/01/2017	75500921 CZECH, ERIC	SPDR S&P 500 ETF TRUST	S	49,464.00	25.00	10.00	15.00	80.0	12.00
08/10/2017	75500921 CZECH, ERIC	VANECK VECTORS ETF TR OIL SVCS ETF	B	24,678.00	25.00	10.00	15.00	80.0	12.00
08/22/2017	75500921 CZECH, ERIC	VANECK VECTORS ETF TR OIL SVCS ETF	S	23,673.60	25.00	10.00	15.00	80.0	12.00
08/02/2017	75500926 CONGREGATION DARCHEI	LOCKHEED MARTIN CORP	S	10,268.65	50.00	10.00	40.00	80.0	32.00
08/09/2017	75500926 CONGREGATION DARCHEI	COOPER COS INC NEW	S	485.96	25.00	10.00	15.00	80.0	12.00
08/10/2017	75500931 RON KARP AND/OR	TECHNICAL COMMUNICATIONS CORP	S	39,227.50	55.00	10.00	45.00	80.0	36.00
08/17/2017	75500947 BIEL, LYNN	DIAGEO PLC-SPONSORED ADR REPSTG 4 O S	S	47,604.08	981.00	10.00	971.00	80.0	776.80
08/17/2017	75500947 BIEL, LYNN	WHIRLPOOL CORP	B	34,775.96	382.00	10.00	372.00	80.0	297.60
08/10/2017	75500987 MILNER, NATHANIEL	VANECK VECTORS ETF TR OIL SVCS ETF	B	6,398.00	15.00	10.00	5.00	80.0	4.00
08/22/2017	75500987 MILNER, NATHANIEL	VANECK VECTORS ETF TR OIL SVCS ETF	S	6,137.60	15.00	10.00	5.00	80.0	4.00
08/01/2017	75501192 ALLEN GRAFSTEIN	PRUDENTIAL FINANCIAL INC	S	171,188.17	50.00	10.00	40.00	80.0	32.00
08/03/2017	75501192 ALLEN GRAFSTEIN	PRUDENTIAL FINANCIAL INC	S	55,030.80	50.00	10.00	40.00	80.0	32.00
08/08/2017	75501192 ALLEN GRAFSTEIN	HENRY SCHEIN INC	B	175,500.00	50.00	10.00	40.00	80.0	32.00
08/15/2017	75501192 ALLEN GRAFSTEIN	BUFFALO WILD WINGS INC COM	B	109,750.00	50.00	10.00	40.00	80.0	32.00
07/28/2017	75501341 GRAFSTEIN, LEO	ALTRIA GROUP INC	B	66,668.90	50.00	10.00	40.00	80.0	32.00
07/27/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	32,495.20	100.00	10.00	90.00	80.0	72.00
08/01/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	B	33,378.40	80.00	10.00	70.00	80.0	56.00
08/01/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-41,920.00	80.00	10.00	70.00	80.0	56.00
08/01/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN S	S	-20,964.40	50.00	10.00	40.00	80.0	32.00
08/02/2017	QGW019929 KARP, JEFFREY	AK STEEL HOLDING CORP	B	21,422.80	80.00	10.00	70.00	80.0	56.00
08/02/2017	QGW019929 KARP, JEFFREY	OASIS PETROLEUM INC	B	14,919.80	60.00	10.00	50.00	80.0	40.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/03/2017	QGW019929 KARP, JEFFREY	VALE S A SPONSORED ADR	S	-19,661.60	60.00	10.00	50.00	80.0	40.00
08/08/2017	QGW019929 KARP, JEFFREY	OASIS PETROLEUM INC	S	-16,120.00	60.00	10.00	50.00	80.0	40.00
08/15/2017	QGW019929 KARP, JEFFREY	OASIS PETROLEUM INC	B	14,899.40	60.00	10.00	50.00	80.0	40.00
08/18/2017	QGW019929 KARP, JEFFREY	TAILORED BRANDS INC COM SHS	B	21,052.40	50.00	10.00	40.00	80.0	32.00
08/24/2017	QGW019929 KARP, JEFFREY	TAILORED BRANDS INC COM SHS	S	-23,345.40	50.00	10.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *				\$5,386,855.28	\$3,965.00	\$1,020.00	\$2,945.00		\$2,252.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/10/2017	75500529 KALOS, PAUL	CALL SPY 09/08/17 242	S	2,605.00	50.00	10.00	40.00	80.0	32.00
08/21/2017	75501159 EISBERG, JAMES	CALL EROS 12/15/17 7.50	B	1,000.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$3,605.00	\$100.00	\$20.00	\$80.00		\$64.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/09/2017	75500765 DIMAGGIO, PHILLIP	PIM 018919704	B	0.00	1.77	0.00	1.77	80.0	1.42
08/25/2017	75500765 DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.78	0.00	0.78	80.0	0.62
08/09/2017	75500766 HAMILTON, DOUGLAS	MFS EMERGING GROWTH FUND CLASS A	B	0.00	23.44	0.00	23.44	80.0	18.75
08/09/2017	75500779 DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICI	B	0.00	2.03	0.00	2.03	80.0	1.62
08/09/2017	75500779 DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A	B	0.00	2.44	0.00	2.44	80.0	1.95
08/25/2017	75500779 DIPRIMA, ANTHONY	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.76	0.00	0.76	80.0	0.61
08/09/2017	75500780 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	2.26	0.00	2.26	80.0	1.81
08/09/2017	75500781 DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	3.49	0.00	3.49	80.0	2.79
08/18/2017	75500796 KARP, BETH	**HEARTLAND VALUE FUND INC	B	0.00	128.04	0.00	128.04	80.0	102.43
08/25/2017	75500801 LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.12	0.00	0.12	80.0	0.10
08/09/2017	75500826 HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY	B	0.00	5.56	0.00	5.56	80.0	4.45
08/09/2017	75500826 HORN, LAWRENCE	**VOYA EQUITY TR	B	0.00	4.82	0.00	4.82	80.0	3.86
08/09/2017	75500885 DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A	B	0.00	0.91	0.00	0.91	80.0	0.73
08/09/2017	75500885 DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN	B	0.00	2.02	0.00	2.02	80.0	1.62
08/23/2017	75500885 DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND	B	0.00	0.25	0.00	0.25	80.0	0.20
08/25/2017	75500885 DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.54	0.00	0.54	80.0	0.43
08/18/2017	75500886 STRAUSS, HELENE	**HEARTLAND VALUE FUND INC	B	0.00	12.64	0.00	12.64	80.0	10.11
08/09/2017	75500942 KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A	B	0.00	3.26	0.00	3.26	80.0	2.61
08/09/2017	75500942 KATEN, DOREEN	CAPITAL APPRECIATION A	B	0.00	3.70	0.00	3.70	80.0	2.96
08/09/2017	75500942 KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA	B	0.00	4.16	0.00	4.16	80.0	3.33
08/09/2017	75500942 KATEN, DOREEN	JOHN HANCOCK FDS III STRATEGIC GROW	B	0.00	5.98	0.00	5.98	80.0	4.78
08/09/2017	75500942 KATEN, DOREEN	JOHN HANCOCK FDS II NEW OPPORTUNITI	B	0.00	3.64	0.00	3.64	80.0	2.91
08/23/2017	75500942 KATEN, DOREEN	**SARATOGA HEALTH AND	B	0.00	8.09	0.00	8.09	80.0	6.47
08/25/2017	75500942 KATEN, DOREEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	5.21	0.00	5.21	80.0	4.17
08/09/2017	75500943 KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A	B	0.00	0.42	0.00	0.42	80.0	0.34
08/09/2017	75500947 BIEL, LYNN	EHV 27826L322	B	0.00	21.35	0.00	21.35	80.0	17.08
08/09/2017	75500947 BIEL, LYNN	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	19.67	0.00	19.67	80.0	15.74

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/09/2017	75500947	BIEL, LYNN		ROCHESTER FUND MUNICIPALS A	B	0.00	35.41	0.00	35.41 80.0 28.33
08/09/2017	75500947	BIEL, LYNN		WESTERN ASSET NEW YORK MUNICIPALS F	B	0.00	15.96	0.00	15.96 80.0 12.77
08/09/2017	75500965	BLASIUS, MARK		EATON VANCE TAX MANAGED GLOBAL DIVI	B	0.00	15.88	0.00	15.88 80.0 12.70
08/09/2017	75500965	BLASIUS, MARK		STRATEGIC INCOME A	B	0.00	37.73	0.00	37.73 80.0 30.18
08/09/2017	75500965	BLASIUS, MARK		US GLOBAL LEADERS GROWTH A	B	0.00	17.68	0.00	17.68 80.0 14.14
08/09/2017	75501070	TAYLOR, ROBERT		CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	2.05	0.00	2.05 80.0 1.64
08/09/2017	75501087	MARK & CHARLES		EHV 277902813	B	0.00	17.64	0.00	17.64 80.0 14.11
08/09/2017	75501087	MARK & CHARLES		GOLDMAN SACHS TOLLKEEPER FUND CLASS	B	0.00	65.94	0.00	65.94 80.0 52.75
08/25/2017	75501087	MARK & CHARLES		**VICTORY PORTFOLIOS	B	0.00	29.41	0.00	29.41 80.0 23.53
08/09/2017	75501097	CARMAN, JOSEPH		FEDERATED MID CAP GROWTH STRATEGIES	B	0.00	3.36	0.00	3.36 80.0 2.69
08/09/2017	75501097	CARMAN, JOSEPH		FEDERATED INTERNATIONAL SMALL-COMPA	B	0.00	2.74	0.00	2.74 80.0 2.19
08/09/2017	75501118	BYRNE, MARY		PIONEER CULLEN VALUE FUND CLASS A	B	0.00	3.22	0.00	3.22 80.0 2.58
08/09/2017	75501118	BYRNE, MARY		PIONEER HIGH YIELD FUND A	B	0.00	5.27	0.00	5.27 80.0 4.22
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$519.64	\$0.00	\$519.64 \$415.72
				*** BROKER TRANSACTIONS TOTAL ***		\$5,390,460.28	\$4,584.64	\$1,040.00	\$3,544.64 \$2,731.72

ADJUSTMENTS

08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
08/31/2017		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86
08/31/2017		OTHER: WORKMANS COMPENSATION INSURA		0.00	0.00	0.00	0.00		-136.00
08/31/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$-336.52

BROKER COMMISSION TOTALS

Broker Transactions	\$2,731.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-336.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.73
SIPC Assessment	\$-11.46

Check Amount	\$2,378.01
Year-to-date Earnings	\$7,705.52