

**COMMISSION STATEMENT****January 15, 2018**

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: 1JR
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/05/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	-13,394.15	0.00	0.00	0.00	50.0	0.00
^01/05/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	-2,985.65	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-16,379.80	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-16,379.80	\$0.00	\$0.00	\$0.00		\$0.00

DIRECT TRANSACTIONS

<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^12/14/2017	RENEGAR, ROBERT	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/06/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.65	0.00	2.65	50.0	0.00
^12/06/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.29	0.00	6.29	50.0	0.00
^12/06/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.61	0.00	7.61	50.0	0.00
^12/06/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.65	0.00	2.65	50.0	0.00
^12/13/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.55	0.00	7.55	50.0	0.00
^12/14/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.93	0.00	3.93	50.0	0.00
^12/19/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.11	0.00	8.11	50.0	0.00
^12/19/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.74	0.00	4.74	50.0	0.00
^12/19/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.48	0.00	0.48	50.0	0.00
^12/19/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.43	0.00	0.43	50.0	0.00
^12/19/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.41	0.00	2.41	50.0	0.00
^12/19/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.56	0.00	0.56	50.0	0.00
^12/19/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.63	0.00	0.63	50.0	0.00
^12/19/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	50.0	0.00
^12/20/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.23	0.00	0.23	50.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$48.58	\$0.00	\$48.58	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$50,000.00	\$3,548.58	\$0.00	\$3,548.58	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed