

# COMMISSION STATEMENT

June 30, 2018

ELLIS DPM

BROKER #:

BRANCH: DPM

, TX

## COMMISSION STATEMENT for ELLIS DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/01/2018 492704957	JR, JOSEPH MAZZUCCO MF	AIM GLOBAL REAL ESTATE FUND -	S	-3,452.97	0.00	5.00	-5.00	0.0	0.00
06/01/2018 492704957	JR, JOSEPH MAZZUCCO MF	PIMCO REAL RETURN FUND (A)	S	-36,674.38	0.00	5.00	-5.00	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-40,127.35</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$-10.00</b>		<b>\$0.00</b>
<b>PRODUCT CATEGORY: MISCELLANEOUS</b>									
06/04/2018 a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 492704957	S	0.00	10.00	0.00	10.00	0.0	0.00
06/04/2018 a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 492704957	S	0.00	10.00	0.00	10.00	0.0	0.00
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$20.00</b>		<b>\$0.00</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT MAINSTAY LARGE CAP GROWTH FUND	B	0.00	0.25	0.00	0.25	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT NATIXIS GATEWAY FD	B	0.00	1.32	0.00	1.32	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PIMCO COMMODITY REAL RETURN	B	0.00	0.11	0.00	0.11	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PIMCO REAL RETURN FUND (A)	B	0.00	7.01	0.00	7.01	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PIONEER CULLEN VALUE FUND CLASS	B	0.00	0.33	0.00	0.33	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PUTNAM 746802859	B	0.00	0.19	0.00	0.19	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT PUTNAM CAPITAL SPECTRUM FD A	B	0.00	1.56	0.00	1.56	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT ROYCE SMALLER CO GROWTH FD INV	B	0.00	0.35	0.00	0.35	0.0	0.00
06/21/2018 mfc189886	ELLIS-D335, VARIOUS	MFT VAN ECK GLOBAL HARD ASSETS FD	B	0.00	0.15	0.00	0.15	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$11.27</b>	<b>\$0.00</b>	<b>\$11.27</b>		<b>\$0.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-40,127.35</b>	<b>\$31.27</b>	<b>\$10.00</b>	<b>\$21.27</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>