



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 15, 2018

01/12/2018
Page No. 1

JENNIFER DONAIS
1260 N. Joplin

Mesa, AZ 85207-

BROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/09/2018	RIVERA, MARISA	VANGUARD INTL EQUITY INDEX FDS MSCI	381.88	22.00	22.50	-0.50	90.0	-0.45
		* STOCKS SUBTOTAL *	\$381.88	\$22.00	\$22.50	\$-0.50		\$-0.45
		*** CLEARING TRANSACTIONS TOTAL ***	\$381.88	\$22.00	\$22.50	\$-0.50		\$-0.45
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.63	0.00	1.63	90.0	1.47
12/11/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.80	0.00	0.80	90.0	0.72
12/11/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	3.00	0.00	3.00	90.0	2.70
12/11/2017	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.66	0.00	3.66	90.0	3.29
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$9.09	\$0.00	\$9.09		\$8.18
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	69,464.70	14.47	0.00	14.47	90.0	13.02
		* VARIABLE ANNUITIES SUBTOTAL *	\$69,464.70	\$14.47	\$0.00	\$14.47		\$13.02
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/15/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.65	0.00	0.65	90.0	0.59
12/15/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.07	0.00	3.07	90.0	2.76
12/15/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.34	0.00	0.34	90.0	0.31
12/15/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.15	0.00	3.15	90.0	2.84
12/15/2017	DONAI, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.92	0.00	2.92	90.0	2.63
12/15/2017	DONAI, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	1.36
12/15/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.50	0.00	1.50	90.0	1.35
12/15/2017	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.66	0.00	1.66	90.0	1.49
12/18/2017	DONAI, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.14	0.00	1.14	90.0	1.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/18/2017	DONAIS, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	4.66	0.00	4.66	90.0	4.19
12/18/2017	DONAIS, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.36	0.00	3.36	90.0	3.02
12/18/2017	DONAIS, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.73	0.00	2.73	90.0	2.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$26.69	\$0.00	\$26.69		\$24.03
*** DIRECT TRANSACTIONS TOTAL ***			\$69,464.70	\$50.25	\$0.00	\$50.25		\$45.23

SPLIT TRANSACTIONS**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10**

01/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
01/02/2018	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	2.59	0.00	2.59	90.0	2.33
01/02/2018	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	1.72	0.00	1.72	90.0	1.55
01/02/2018	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	1.33	0.00	1.33	90.0	1.20
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$7.15	\$0.00	\$7.15		\$6.44

BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333

12/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.26	0.00	1.26	90.0	1.13
12/22/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.35	0.00	0.35	90.0	0.32
12/15/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.60	0.00	0.60	90.0	0.54
12/04/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.65	0.00	1.65	90.0	1.49
12/27/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.46	0.00	1.46	90.0	1.31
01/09/2018	SULLICAN, TAMARA	ISHARES TR S&P 500 INDEX FD	276.62	0.00	17.55	-17.55	90.0	-15.80
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
01/09/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	-969.56	0.00	0.00	0.00	90.0	0.00
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.79	0.00	0.79	90.0	0.71
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.94	0.00	3.94	90.0	3.55
01/09/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-500.00	0.00	0.00	0.00	90.0	0.00
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$-1,192.94	\$10.14	\$17.55	\$-7.41		\$-6.67

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

01/02/2018	RUFFIE, DAVID	CONTINENTAL RES INC COM ISIN#US2120	-41,630.42	4.40	4.63	-0.23	90.0	-0.21
12/29/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II	35,000.00	507.50	0.00	507.50	90.0	456.75
12/29/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II	35,000.00	420.00	0.00	420.00	90.0	378.00
12/27/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/02/2018	HAUGEN, STACI	HPI REAL ESTATE FUND V LLC	85,925.00	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$149,294.58	\$931.90	\$4.63	\$927.27		\$834.54
*** SPLIT TRANSACTIONS TOTAL ***			\$149,121.64	\$949.19	\$22.18	\$927.01		\$834.31

ADJUSTMENTS

01/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
01/15/2018		*CONCIERGE SVC: 205546 KASMER	0.00					-10.00
01/15/2018		*CONCIERGE SVC: 205584 HAUGEN	0.00					-10.00
01/15/2018		*CONCIERGE SVC: 205587 HAUGEN	0.00					-10.00
01/15/2018		*CONCIERGE SVC: 206458 ERNST	0.00					-39.00
01/15/2018		*CONCIERGE SVC: 206814 HAUGEN	0.00					-10.00
01/15/2018		*CONCIERGE SVC: 206894 HAUGEN	0.00					-10.00
01/15/2018		*CONCIERGE SVC: 206905 RUFFIE	0.00					-10.00
01/15/2018		*CONCIERGE SVC: 206997 LUCENTI	0.00					-50.00
01/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.17
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.01
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-0.60
01/15/2018		*RBC: CURRENT MONTH	0.00					-25.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-663.78

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BROKER COMMISSION TOTALS

Broker Transactions	\$44.78
Split Transactions	\$834.31
Override Transactions	\$0.00
Adjustments	\$-663.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$215.31
Year-to-date Gross	\$1,021.44
Year-to-date Earnings	\$879.09