



Concorde Investment Services, LLC

05/11/2018

Page No. 1

COMMISSION STATEMENT

May 15, 2018

BRENT, L137 LEE
13423 Kings View Lane

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	KUHN, KENNETH	JNL PERSPECTIVE L	0.00	214.37	0.00	214.37	90.0	192.93
04/30/2018	KUHN, KRISTINE	JNL PERSPECTIVE L	0.00	115.54	0.00	115.54	90.0	103.99
04/30/2018	FREIH, DIANE	JNL PERSPECTIVE L	0.00	88.45	0.00	88.45	90.0	79.61
04/30/2018	KEMPER, SHERRY	JNL PERSPECTIVE L	0.00	174.19	0.00	174.19	90.0	156.77
04/30/2018	RETZLAFF, SANDRA	JNL PERSPECTIVE L	0.00	31.87	0.00	31.87	90.0	28.68
04/30/2018	GRANT, GREGORY	JNL PERSPECTIVE L	0.00	79.57	0.00	79.57	90.0	71.61
04/30/2018	MONETTE, RONALD	JNL PERSPECTIVE L	0.00	50.68	0.00	50.68	90.0	45.61
04/30/2018	LIESENER, MARTY	JNL PERSPECTIVE L	0.00	36.55	0.00	36.55	90.0	32.90
04/30/2018	BARTINGALE, JAMES	JNL PERSPECTIVE L	0.00	52.64	0.00	52.64	90.0	47.38
04/30/2018	MONETTE, RONALD	JNL PERSPECTIVE L	0.00	173.04	0.00	173.04	90.0	155.74
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,016.90	\$0.00	\$1,016.90		\$915.22
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1,016.90	\$0.00	\$1,016.90		\$915.22

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10</u>								
05/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
05/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
05/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
05/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
05/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
05/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
05/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$1,585.00	\$24.17	\$0.00	\$24.17		\$21.76

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
04/19/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.23	0.00	0.23	90.0	0.21
04/03/2018	AAFEDT, PAMELA	MOODY NAT'L REIT II INC	48,000.00	-1,440.00	0.00	-1,440.00	90.0	-1,296.00
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$48,000.00	\$-1,439.77	\$0.00	\$-1,439.77		\$-1,295.79
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
04/19/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	90.0	0.17
04/19/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
04/19/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
04/20/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
05/09/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	-5,000.00	0.00	0.00	0.00	90.0	0.00
04/18/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.06	0.00	0.06	90.0	0.05
04/17/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
01/08/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,734.70	4.30	0.00	4.30	90.0	3.87
01/08/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	641.42	1.59	0.00	1.59	90.0	1.43
01/08/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	554.78	1.38	0.00	1.38	90.0	1.24
01/08/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	538.50	1.33	0.00	1.33	90.0	1.20
01/08/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,734.70	-4.30	0.00	-4.30	90.0	-3.87
01/17/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,777.40	4.40	0.00	4.40	90.0	3.96
01/17/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	675.28	1.67	0.00	1.67	90.0	1.50
01/17/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	616.43	1.53	0.00	1.53	90.0	1.38
01/17/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	485.69	1.20	0.00	1.20	90.0	1.08
01/17/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,777.40	-4.40	0.00	-4.40	90.0	-3.96
01/23/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	633.30	1.57	0.00	1.57	90.0	1.41
01/23/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	587.74	1.46	0.00	1.46	90.0	1.31
01/23/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	578.98	1.44	0.00	1.44	90.0	1.30
01/23/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,800.02	-4.45	0.00	-4.45	90.0	-4.01
01/23/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,800.02	4.45	0.00	4.45	90.0	4.01
01/29/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,774.27	4.39	0.00	4.39	90.0	3.95
01/29/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	650.39	1.61	0.00	1.61	90.0	1.45
01/29/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	593.62	1.47	0.00	1.47	90.0	1.32
01/29/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	530.26	1.31	0.00	1.31	90.0	1.18
01/29/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,774.27	-4.39	0.00	-4.39	90.0	-3.95
02/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,748.66	4.32	0.00	4.32	90.0	3.89
02/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	617.83	1.53	0.00	1.53	90.0	1.38

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
02/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	592.57	1.46	0.00	1.46	90.0	1.31
02/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	538.26	1.33	0.00	1.33	90.0	1.20
02/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,748.66	-4.32	0.00	-4.32	90.0	-3.89
02/12/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	626.12	1.55	0.00	1.55	90.0	1.40
02/12/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	580.09	1.44	0.00	1.44	90.0	1.30
02/12/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	502.63	1.25	0.00	1.25	90.0	1.13
02/12/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,708.84	-4.23	0.00	-4.23	90.0	-3.81
02/12/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,708.84	4.23	0.00	4.23	90.0	3.81
02/20/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,619.06	4.00	0.00	4.00	90.0	3.60
02/20/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	632.20	1.56	0.00	1.56	90.0	1.40
02/20/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	523.52	1.30	0.00	1.30	90.0	1.17
02/20/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	463.34	1.14	0.00	1.14	90.0	1.03
02/20/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,619.06	-4.00	0.00	-4.00	90.0	-3.60
02/27/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,445.98	3.58	0.00	3.58	90.0	3.22
02/27/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	517.65	1.28	0.00	1.28	90.0	1.15
02/27/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	465.05	1.15	0.00	1.15	90.0	1.04
02/27/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	463.28	1.14	0.00	1.14	90.0	1.03
02/27/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	-1,445.98	-3.58	0.00	-3.58	90.0	-3.22
02/28/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	406,481.19	27.94	0.00	27.94	90.0	25.15
02/28/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	233,755.41	16.07	0.00	16.07	90.0	14.46
02/28/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	47,108.49	3.24	0.00	3.24	90.0	2.92
03/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	513.90	1.28	0.00	1.28	90.0	1.15
03/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	513.21	1.27	0.00	1.27	90.0	1.14
03/06/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	461.43	1.14	0.00	1.14	90.0	1.03
03/13/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,244.52	3.08	0.00	3.08	90.0	2.77
03/13/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	466.34	1.15	0.00	1.15	90.0	1.04
03/13/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	410.14	1.02	0.00	1.02	90.0	0.92
03/19/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,512.49	3.75	0.00	3.75	90.0	3.38
03/19/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,309.55	3.24	0.00	3.24	90.0	2.92
03/19/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,203.74	2.98	0.00	2.98	90.0	2.68
03/26/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,272.78	3.16	0.00	3.16	90.0	2.84
03/26/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	277.51	0.68	0.00	0.68	90.0	0.61
03/26/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	263.16	0.65	0.00	0.65	90.0	0.59

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
03/31/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	412,213.69	34.01	0.00	34.01	90.0	30.61
03/31/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	321,193.41	26.50	0.00	26.50	90.0	23.85
03/31/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	37,372.60	3.08	0.00	3.08	90.0	2.77
03/31/2018	ZAK MEDICAL CORP	PRINCIPAL LIFE FLEX INV VA	323,713.58	35.61	0.00	35.61	90.0	32.05
03/31/2018	ZAK MEDICAL CORP	PRINCIPAL LIFE FLEX INV VA	245,300.53	26.98	0.00	26.98	90.0	24.28
03/31/2018	ZAK MEDICAL CORP	PRINCIPAL LIFE FLEX INV VA	38,124.32	4.20	0.00	4.20	90.0	3.78
04/02/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	1,199.39	2.97	0.00	2.97	90.0	2.67
04/02/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	292.93	0.73	0.00	0.73	90.0	0.66
04/02/2018	RED RIVER OILFIELD	PRINCIPAL LIFE FLEX INV VA	278.18	0.69	0.00	0.69	90.0	0.62
04/17/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.56	0.00	0.56	90.0	0.50
04/25/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.05	0.00	0.05	90.0	0.05
05/09/2018	NEWBERRY TRUST, GLORIA	VANGUARD INFL-PROTECTED SECS	-5,982.19	0.00	2.42	-2.42	90.0	-2.18
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$2,079,109.23	\$240.20	\$2.42	\$237.78		\$214.03
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
05/07/2018	LYNDE, STEVEN	ARCTRUST INC	100,000.00	0.00	0.00	0.00	90.0	0.00
05/04/2018	LYNDE, STEVEN	HPI REAL ESTATE FUND VI LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
05/02/2018	SKAARE, LARRY	OASIS PETE INC NEW COM	-1,158.86	8.80	9.00	-0.20	90.0	-0.18
04/10/2018	MANN, REID	SEALEY STRATEGIC EQUITY PARTNERS LP	75,000.00	2,100.00	0.00	2,100.00	90.0	1,890.00
04/19/2018	JORGENSEN, BLAINE	SEALEY STRATEGIC EQUITY PARTNERS LP	240,000.00	6,720.00	0.00	6,720.00	90.0	6,048.00
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$513,841.14	\$8,828.80	\$9.00	\$8,819.80		\$7,937.82
<u>BROKER: BRE/BRI/JEN/TY TRU- L139 LEE/NOR/DO #358</u>								
05/01/2018	SCHMITZ, G JOHN	MOODY DFW DST	200,000.00	3,960.00	0.00	3,960.00	90.0	3,564.00
* BRE/BRI/JEN/TY TRU- L139 LEE/NOR/DO SUBTOTAL *			\$200,000.00	\$3,960.00	\$0.00	\$3,960.00		\$3,564.00
*** SPLIT TRANSACTIONS TOTAL ***			\$2,842,535.37	\$11,613.40	\$11.42	\$11,601.98		\$10,441.82

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<u>ADJUSTMENTS</u>								
05/15/2018		*CONCIERGE SVC: 213871 JORGENSEN	0.00					-20.00
05/15/2018		*CONCIERGE SVC: 214926 SCHMITZ	0.00					-16.50
05/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-6.95
05/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-23.04
05/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-17.32
05/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.52
05/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.80
05/15/2018		*RBC: WEALTHSTATION - BUMGARDNER	0.00					-25.00
05/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
05/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					-100.00
05/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					17.00
		WRONG REP CODE						
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-768.13

BROKER COMMISSION TOTALS

Broker Transactions	\$915.22
Split Transactions	\$10,441.82
Override Transactions	\$0.00
Adjustments	\$-768.13
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.37
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,589.28
Year-to-date Gross	\$56,101.70
Year-to-date Earnings	\$49,935.09

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