

**COMMISSION STATEMENT****January 31, 2018**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/26/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	454.44	0.29	0.00	0.29	90.0	0.26
01/26/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	55,050.35	40.91	0.00	40.91	90.0	36.82
01/26/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	66,580.19	28.27	0.00	28.27	90.0	25.44
01/22/2018	RIEBE, ALAN	TD AMERITRADE	0.00	531.87	0.00	531.87	90.0	478.68
* RIA SUBTOTAL *			\$122,084.98	\$601.34	\$0.00	\$601.34		\$541.20
*** DIRECT TRANSACTIONS TOTAL ***			\$122,084.98	\$601.34	\$0.00	\$601.34		\$541.20

BROKER COMMISSION TOTALS

Broker Transactions	\$541.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$541.20
Year-to-date Gross	\$1,490.23
Year-to-date Earnings	\$1,341.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed