



BROKER #: 684 Terminated 12/31/2009
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------|------------|---------------------------------|---------------------|--------------------|-----------------------|--------|----------------------|
| <div>ADJUSTMENTS</div> | | | | | | | | |
| / | / | | PRIOR PERIOD BALANCE(SEE BELOW) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$0.00 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | Balance Forward | | \$0.00 |
| | | | | | | Year-to-date Earnings | | \$0.00 |