



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 31, 2017

07/27/2017
Page No. 1

JOEL WOODS
1412 Blue Quail Run
Crowley, TX 76036-

BROKER #: W143
BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
06/30/2017	SHERRIEB, HAROLD	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	186.99	0.00	186.99	92.0	172.03
		* REIT SUBTOTAL *	\$0.00	\$186.99	\$0.00	\$186.99		\$172.03
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/14/2017	WOODS, VARIOUS	BLUEROCK TOTAL INC & RE FUND	0.00	1.39	0.00	1.39	0.0	0.00
07/14/2017	PHIPPS TRAD 0016025, CHRISTEEN	BLUEROCK TOTAL INC & RE FUND	0.00	12.33	0.00	12.33	0.0	0.00
07/17/2017	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	1,000.00	10.00	0.00	10.00	0.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$1,000.00	\$23.72	\$0.00	\$23.72		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	-4,000.00	-170.00	0.00	-170.00	90.0	-153.00
04/17/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
07/17/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	-4,000.00	-170.00	0.00	-170.00	90.0	-153.00
04/17/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	42.50	0.00	42.50	90.0	38.25
07/17/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
07/17/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
07/10/2017	WILSON, TED	AM FUNDS CAPITAL WORLD GRTH & INC C	2,027.65	20.28	0.00	20.28	90.0	18.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	-4,000.00	-170.00	0.00	-170.00	90.0	-153.00
04/17/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	1,000.00	42.50	0.00	42.50	90.0	38.25
07/10/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
04/17/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
04/17/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	-4,000.00	-170.00	0.00	-170.00	90.0	-153.00
04/17/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	1,000.00	42.50	0.00	42.50	90.0	38.25
/ /	SOMMERS, MARY	CALAMOS GLOBAL GROWTH & INCOME C	0.00	2.02	0.00	2.02	90.0	1.82
/ /	SOMMERS, MARY	CALAMOS GROWTH C	0.00	27.34	0.00	27.34	90.0	24.61
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	15.72	0.00	15.72	90.0	14.15
/ /	AMOS, ALEXANDER	FIDELITY ADVISORS FD 529 PORT 2016	0.00	16.86	0.00	16.86	90.0	15.17
07/01/2017	TMICO TRUST CO	INVESCO BALANCED RISK ALLOCATION A	0.00	5.72	0.00	5.72	90.0	5.15
07/01/2017	ROGERS, HELEN	INVESCO BALANCED RISK ALLOCATION A	0.00	27.79	0.00	27.79	90.0	25.01
07/01/2017	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	4.53	0.00	4.53	90.0	4.08
07/01/2017	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	3.11	0.00	3.11	90.0	2.80
07/01/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	30.11	0.00	30.11	90.0	27.10
07/01/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	0.00	0.16	0.00	0.16	90.0	0.14
07/01/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	0.61	0.00	0.61	90.0	0.55
07/01/2017	HEZEL, KATHLEEN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	87.21	0.00	87.21	90.0	78.49
07/01/2017	VECCHIO, JOSEPH	INVESCO BALANCED-RISK ALLOCATION-C	0.00	29.56	0.00	29.56	90.0	26.60
07/01/2017	KMAK, SHANNON	INVESCO BALANCED-RISK ALLOCATION-C	0.00	29.85	0.00	29.85	90.0	26.87
07/01/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	0.00	33.77	0.00	33.77	90.0	30.39
07/01/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	14.37	0.00	14.37	90.0	12.93
07/01/2017	HUGGETT, PAUL	INVESCO BALANCED-RISK ALLOCATION-C	0.00	87.94	0.00	87.94	90.0	79.15
07/01/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	3.32	0.00	3.32	90.0	2.99
07/01/2017	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	15.46	0.00	15.46	90.0	13.91
07/01/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	30.13	0.00	30.13	90.0	27.12
07/01/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	111.17	0.00	111.17	90.0	100.05
07/01/2017	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	109.75	0.00	109.75	90.0	98.78

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/01/2017	WILSON, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	10.90	0.00	10.90	90.0	9.81
07/14/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
07/14/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
07/14/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/14/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
07/17/2017	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	22.80	0.23	0.00	0.23	90.0	0.21
* MUTUAL FUNDS SUBTOTAL *			\$2,700.45	\$738.04	\$0.00	\$738.04		\$664.26
*** BROKER TRANSACTIONS TOTAL ***			\$3,700.45	\$948.75	\$0.00	\$948.75		\$836.29
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
07/17/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	2.13	0.00	2.13	48.0	0.89
07/01/2017	BRIDGES, JANIS	INVESCO BALANCED RISK ALLOCATION A	0.00	13.41	0.00	13.41	48.0	5.63
07/01/2017	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	4.94	0.00	4.94	48.0	2.07
07/01/2017	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	14.36	0.00	14.36	48.0	6.03
07/01/2017	WALLACE, SHEILA	INVESCO BALANCED RISK ALLOCATION A	0.00	2.55	0.00	2.55	48.0	1.07
07/01/2017	GODWIN, SANDRA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	37.53	0.00	37.53	48.0	15.76
07/01/2017	TMICO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	42.14	0.00	42.14	48.0	17.70
07/01/2017	WALLACE, CHARLES	INVESCO BALANCED-RISK ALLOCATION-C	0.00	25.88	0.00	25.88	48.0	10.87
07/01/2017	DODD, AIMEE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	55.51	0.00	55.51	48.0	23.31
07/03/2017	WARD, SHERRY	PIMCO INCOME FUND C	0.00	2.49	0.00	2.49	48.0	1.05
07/03/2017	WARD, SHERRY	PIMCO INCOME FUND C	0.00	6.47	0.00	6.47	48.0	2.72
* MARK-S141 REG SMITH SUBTOTAL *			\$50.00	\$207.41	\$0.00	\$207.41		\$87.10
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
07/14/2017	SMITH, VARIOUS-MARK	BLUEROCK TOTAL INC & RE FUND	0.00	9.07	0.00	9.07	0.0	3.90
* MARK-S142 ALTS SMITH SUBTOTAL *			\$0.00	\$9.07	\$0.00	\$9.07		\$3.90
*** OVERRIDE TRANSACTIONS TOTAL ***			\$50.00	\$216.48	\$0.00	\$216.48		\$91.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$836.29
Split Transactions	\$0.00
Override Transactions	\$91.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$927.29
Year-to-date Gross	\$43,008.76
Year-to-date Earnings	\$78,445.95