

All Companies  
**STATEMENT**  
April 20, 2018

MALVIN JOHNSON  
117 C Street  
SUITE 620  
Vallejo, CA 94950

BROKER #: 036  
BRANCH:

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/05/2018	#00082111667	EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	6.44
03/05/2018	#00082111667	EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	5.45
03/05/2018	#00082111667	EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	5.45
			<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$700.00</b>	<b>\$19.25</b>		<b>\$0.00</b>	<b>\$17.34</b>	<b>\$17.34</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/06/2018	#	WARREN, JANICE	COLONY NORTHSTAR INC CL A COM	1,771.61	88.58	75.0	0.00	66.44	48.44
03/06/2018	#	WARREN, JANICE	FRONTIER COMMUNICATIONS CORPORATION	254.43	50.00	75.0	0.00	37.50	19.50
03/06/2018	#	WARREN, JANICE	NORTHSTAR REALTY EUROPE CORP COM	933.31	50.00	75.0	0.00	37.50	19.50
03/08/2018	#	WARREN, JANICE	VERIZON COMMUNICATIONS	4,845.34	50.00	75.0	0.00	37.50	19.50
			<b>* STOCKS SUBTOTAL *</b>	<b>\$7,804.69</b>	<b>\$238.58</b>		<b>\$0.00</b>	<b>\$178.94</b>	<b>\$106.94</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/01/2018	#00089882702	GOLDFINCH,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.12	90.0	0.00	0.11	0.11
03/01/2018	#00082111667	EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	29.56	90.0	0.00	26.60	26.60
03/01/2018	#00089882702	GOLDFINCH,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.24	90.0	0.00	0.22	0.22
03/01/2018	#00085617485	SANCHEZ,	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.37	90.0	0.00	5.73	5.73
03/01/2018	#00082111667	EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	33.30	90.0	0.00	29.97	29.97
03/01/2018	#00089882702	GOLDFINCH,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.13	90.0	0.00	0.12	0.12
03/01/2018	#00089882702	GOLDFINCH,	INCOME FUND OF AMERICA INC CLASS A	0.00	0.12	90.0	0.00	0.11	0.11
03/01/2018	#00089882702	GOLDFINCH,	INVESTMENT CO AMER CLASS A	0.00	0.19	90.0	0.00	0.17	0.17
03/01/2018	#00089882702	GOLDFINCH,	NEW ECONOMY FUND SBI CLASS A	0.00	0.19	90.0	0.00	0.17	0.17
03/01/2018	#00085617485	SANCHEZ,	NEW ECONOMY FUND SBI CLASS A	0.00	5.37	90.0	0.00	4.83	4.83
03/01/2018	#00082111667	EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	0.00	30.18	90.0	0.00	27.16	27.16
03/01/2018	#00085617485	SANCHEZ,	NEW PERSPECTIVE FUND INC CL A	0.00	6.50	90.0	0.00	5.85	5.85
03/01/2018	#00089882702	GOLDFINCH,	SMALLCAP WORLD FUND INC CLASS A	0.00	0.12	90.0	0.00	0.11	0.11
03/01/2018	#00089882702	GOLDFINCH,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.12	90.0	0.00	0.11	0.11
03/01/2018	#00085617485	SANCHEZ,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	10.95	90.0	0.00	9.86	9.86
03/06/2018	#	WARREN, JANICE	DAIN TRAILER	13,000.00	20.00	90.0	0.00	18.00	18.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	12.59	90.0	0.00	11.33	0.00	11.33
03/09/2018	# BARROW, RITA	DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
03/09/2018	# BARROW, RITA	DAIN TRAILER	0.00	1.15	90.0	0.00	1.04	0.00	1.04
03/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	1.24	90.0	0.00	1.12	0.00	1.12
03/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	1.32	90.0	0.00	1.19	0.00	1.19
03/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	1.76	90.0	0.00	1.58	0.00	1.58
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	1.27	90.0	0.00	1.14	0.00	1.14
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.04	90.0	0.00	1.84	0.00	1.84
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.20	90.0	0.00	1.98	0.00	1.98
03/09/2018	# BRIGGS, KENNETH	DAIN TRAILER	0.00	0.61	90.0	0.00	0.55	0.00	0.55
03/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	1.56	90.0	0.00	1.40	0.00	1.40
03/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.38	90.0	0.00	2.14	0.00	2.14
03/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
03/09/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	3.37	90.0	0.00	3.03	0.00	3.03
03/09/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	3.07	90.0	0.00	2.76	0.00	2.76
03/09/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	17.10	90.0	0.00	15.39	0.00	15.39
03/09/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	1.44	90.0	0.00	1.30	0.00	1.30
03/09/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	5.73	90.0	0.00	5.16	0.00	5.16
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	4.36	90.0	0.00	3.92	0.00	3.92
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	9.74	90.0	0.00	8.77	0.00	8.77
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	3.35	90.0	0.00	3.02	0.00	3.02
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	5.20	90.0	0.00	4.68	0.00	4.68
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	8.33	90.0	0.00	7.50	0.00	7.50
03/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	0.62	90.0	0.00	0.56	0.00	0.56
03/09/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.31	90.0	0.00	2.98	0.00	2.98
03/09/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	7.84	90.0	0.00	7.06	0.00	7.06
03/09/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	25.59	90.0	0.00	23.03	0.00	23.03
03/09/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	26.61	90.0	0.00	23.95	0.00	23.95
03/09/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.70	90.0	0.00	3.33	0.00	3.33
03/09/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	6.98	90.0	0.00	6.28	0.00	6.28
03/09/2018	# BARROW, DIANA	DAIN TRAILER	0.00	0.23	90.0	0.00	0.21	0.00	0.21
03/09/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.31	90.0	0.00	0.28	0.00	0.28
03/09/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.31	90.0	0.00	0.28	0.00	0.28
03/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.21	90.0	0.00	4.69	0.00	4.69
03/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.90	90.0	0.00	4.41	0.00	4.41

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	7.34	90.0	0.00	6.61	0.00	6.61
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	2.12	90.0	0.00	1.91	0.00	1.91
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	4.35	90.0	0.00	3.92	0.00	3.92
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	9.07	90.0	0.00	8.16	0.00	8.16
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	4.18	90.0	0.00	3.76	0.00	3.76
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	7.99	90.0	0.00	7.19	0.00	7.19
03/09/2018 #	WARREN, JANICE	DAIN TRAILER	0.00	11.27	90.0	0.00	10.14	0.00	10.14
03/09/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	3.82	90.0	0.00	3.44	0.00	3.44
03/09/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	6.40	90.0	0.00	5.76	0.00	5.76
03/09/2018 #	KEENE, JAMES	DAIN TRAILER	0.00	0.59	90.0	0.00	0.53	0.00	0.53
03/09/2018 #	C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
03/09/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	7.92	90.0	0.00	7.13	0.00	7.13
03/09/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	10.89	90.0	0.00	9.80	0.00	9.80
03/09/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.39	90.0	0.00	3.95	0.00	3.95
03/09/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.32	90.0	0.00	3.89	0.00	3.89
03/09/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
03/09/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	3.48	90.0	0.00	3.13	0.00	3.13
03/09/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
03/09/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	6.36	90.0	0.00	5.72	0.00	5.72
03/09/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	9.12	90.0	0.00	8.21	0.00	8.21
03/09/2018 #	BRIGGS, MYRA	DAIN TRAILER	0.00	1.39	90.0	0.00	1.25	0.00	1.25
03/27/2018 #	MONTGOMERY, KEVIN	DAIN TRAILER	0.00	0.31	90.0	0.00	0.28	0.00	0.28
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$13,000.00</b>	<b>\$436.49</b>		<b>\$0.00</b>	<b>\$392.88</b>	<b>\$0.00</b>	<b>\$392.88</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$21,504.69</b>	<b>\$694.32</b>		<b>\$0.00</b>	<b>\$589.16</b>	<b>\$72.00</b>	<b>\$517.16</b>

**ADJUSTMENTS**

04/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
04/20/2018	CRD FEES: CRD FEES FL INDIVIDUAL REG FEE	-50.00
04/20/2018	DOCUSIGN: DOCUSIGN	-51.00
04/20/2018	MISCELLANEOUS: MISCELLANEOUS 87706216 WIRE FEE	-15.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-506.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$517.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-506.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$11.16</b>
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