

COMMISSION STATEMENT

March 31, 2018

STEPHEN MOSS
1336 MARYLAND AVENUE

BROKER #: 60
BRANCH: FULCRUM GROUP

HAVERTOWN, PA 19082

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/09/2018 (None)	VARIOUS, MOSS	MASSMUTUAL	B	0.00	9.08	0.00	9.08	50.0	4.54
03/12/2018 (None)	VARIOUS, MOSS	AMERICAN FUND	B	0.00	3.22	0.00	3.22	50.0	1.61
03/23/2018 (None)	VARIOUS, MOSS	MASSMUTUAL	B	0.00	8.86	0.00	8.86	50.0	4.43
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$21.16	\$0.00	\$21.16		\$10.58

PRODUCT CATEGORY: STOCKS

03/01/2018 75501796	MOSS, KATHLEEN	ISHARES U S ENERGY ETF	S	2,754.76	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501796	MOSS, KATHLEEN	ISHARES U S BASIC MATERIALS ETF	S	4,531.05	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501796	MOSS, KATHLEEN	ISHARES U S FINANCIALS ETF	B	2,771.73	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501796	MOSS, KATHLEEN	ISHARES U S TECHNOLOGY ETF	B	4,348.25	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501802	MCELREAVEY, DANIEL	ISHARES U S ENERGY ETF	S	4,923.17	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501802	MCELREAVEY, DANIEL	ISHARES U S BASIC MATERIALS ETF	S	8,566.31	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501802	MCELREAVEY, DANIEL	ISHARES U S FINANCIALS ETF	B	4,820.40	0.00	0.00	0.00	50.0	0.00
03/01/2018 75501802	MCELREAVEY, DANIEL	ISHARES U S TECHNOLOGY ETF	B	5,571.20	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES FLOATING RATE BOND ETF	B	20,675.55	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES MSCI EAFE ETF	S	9,403.39	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES MSCI EMERGING MARKETS ETF	B	7,281.56	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES S&P500 GROWTH INDEX FD	B	7,581.60	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES TR S&P MIDCAP 400 GROWTH	B	7,786.80	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S HEALTHCARE ETF	S	18,148.60	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S REAL ESTATE ETF	S	7,549.71	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S CONSUMER SERVICES ETF	B	10,359.30	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S INDUSTRIALS ETF	B	10,387.29	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S BASIC MATERIALS ETF	S	18,590.38	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S FINANCIALS ETF	S	7,850.71	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	ISHARES U S TECHNOLOGY ETF	S	9,336.61	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	POWERSHARES QQQ TRUST SERIES 1	B	7,083.71	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	POWERSHARES PREFERRED PORTFOLIO	S	18,580.58	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	SPDR DOW JONES INDL AVERAGE ETF TR	B	7,590.35	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	SPDR S&P 500 ETF TRUST	B	8,842.35	0.00	0.00	0.00	50.0	0.00
03/02/2018 75501875	RALPH G BARTO TRUST	SPDR SER TR BLOOMBERG BARCLAYS INTL S		20,531.40	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/02/2018	75501875	RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BA B	20,664.85	0.00	0.00	0.00	50.0	0.00
03/02/2018	75501875	RALPH G BARTO TRUST	SPDR SERIES TRUST SPDR BLOOMBERG BA B	822.08	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S ENERGY ETF	S 30,379.40	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	S 31,248.08	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	B 30,441.88	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501877	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	B 31,347.37	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S ENERGY ETF	S 7,017.62	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	S 7,177.46	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	B 6,947.82	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501878	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	B 7,250.88	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501907	HESTON, EUGENE	ISHARES U S HEALTHCARE ETF	S 5,994.88	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501907	HESTON, EUGENE	ISHARES U S FINANCIALS ETF	B 6,027.00	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES FLOATING RATE BOND ETF	B 8,404.59	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES MSCI EAFE ETF	S 3,730.86	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES MSCI EMERGING MARKETS ETF	B 3,009.51	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES S&P500 GROWTH INDEX FD	B 3,148.00	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES TR S&P MIDCAP 400 GROWTH	B 6,656.63	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S HEALTHCARE ETF	S 7,142.61	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S UTILITIES ETF	S 6,274.03	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S REAL ESTATE ETF	S 9,262.54	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S CONSUMER SERVICES ETF	B 4,250.80	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S INDUSTRIALS ETF	B 4,245.60	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S BASIC MATERIALS ETF	S 3,495.10	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S FINANCIALS ETF	B 4,157.30	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	ISHARES U S TECHNOLOGY ETF	S 4,129.53	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	POWERSHARES QQQ TRUST SERIES 1	B 3,119.37	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	POWERSHARES PREFERRED PORTFOLIO	S 7,422.75	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	SPDR DOW JONES INDL AVERAGE ETF TR	B 3,192.02	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	SPDR S&P 500 ETF TRUST	B 3,738.70	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	SPDR SER TR BLOOMBERG BARCLAYS INTL S	8,198.47	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	8,411.56	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501922	HAFENMAIER, HELEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	461.25	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES FLOATING RATE BOND ETF	B 7,334.64	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES MSCI EAFE ETF	S 5,732.81	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES MSCI EMERGING MARKETS ETF	B 3,105.70	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES S&P500 GROWTH INDEX FD	B 3,311.91	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES TR S&P MIDCAP 400 GROWTH	B 8,805.98	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES U S HEALTHCARE ETF	S 10,801.64	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES U S UTILITIES ETF	S 9,130.87	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924	CUNNINGHAM, WENDY	ISHARES U S REAL ESTATE ETF	S 13,561.89	0.00	0.00	0.00	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/01/2018	75501924 CUNNINGHAM, WENDY	ISHARES U S CONSUMER SERVICES ETF	B	8,320.39	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	ISHARES U S INDUSTRIALS ETF	B	8,344.23	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	ISHARES U S BASIC MATERIALS ETF	S	5,188.05	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	ISHARES U S FINANCIALS ETF	B	8,338.40	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	ISHARES U S TECHNOLOGY ETF	S	4,636.44	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	POWERSHARES QQQ TRUST SERIES 1	B	2,951.78	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	POWERSHARES PREFERRED PORTFOLIO	S	6,664.61	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	SPDR DOW JONES INDL AVERAGE ETF TR	B	3,192.02	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	SPDR S&P 500 ETF TRUST	B	4,008.60	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	SPDR SER TR BLOOMBERG BARCLAYS INTL S	S	7,374.28	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	7,315.20	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501924 CUNNINGHAM, WENDY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	255.90	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501937 BERG, ELIZABETH	ISHARES U S HEALTHCARE ETF	S	8,853.50	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501937 BERG, ELIZABETH	ISHARES U S FINANCIALS ETF	B	8,697.60	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES FLOATING RATE BOND ETF	B	7,182.53	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES MSCI EAFE ETF	S	4,961.52	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES MSCI EMERGING MARKETS ETF	B	3,576.00	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES S&P500 GROWTH INDEX FD	B	3,774.72	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES TR S&P MIDCAP 400 GROWTH	B	3,859.02	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S CONSUMER GOODS ETF	S	8,973.19	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S HEALTHCARE ETF	S	9,420.84	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S REAL ESTATE ETF	S	4,015.69	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S CONSUMER SERVICES ETF	B	7,930.81	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S INDUSTRIALS ETF	S	1,906.32	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S FINANCIALS ETF	B	8,117.84	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	ISHARES U S TECHNOLOGY ETF	S	3,088.98	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	POWERSHARES QQQ TRUST SERIES 1	B	3,601.35	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	POWERSHARES PREFERRED PORTFOLIO	S	5,778.99	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	SPDR DOW JONES INDL AVERAGE ETF TR	B	3,676.95	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	SPDR S&P 500 ETF TRUST	B	4,274.56	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	SPDR SER TR BLOOMBERG BARCLAYS INTL S	S	6,378.37	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	7,132.32	0.00	0.00	0.00	50.0	0.00
03/01/2018	75501944 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	1,022.20	0.00	0.00	0.00	50.0	0.00
03/20/2018	75501994 MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	B	83.94	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502025 THIEU, MINH	ISHARES U S ENERGY ETF	S	4,416.51	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502025 THIEU, MINH	ISHARES U S TECHNOLOGY ETF	B	7,626.96	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502025 THIEU, MINH	ISHARES U S BASIC MATERIALS ETF	S	7,745.44	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502025 THIEU, MINH	ISHARES U S FINANCIALS ETF	B	4,444.07	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502026 DAVID DIEU TTEE	ISHARES U S ENERGY ETF	S	7,330.02	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502026 DAVID DIEU TTEE	ISHARES U S TECHNOLOGY ETF	B	12,785.71	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/01/2018	75502026	DAVID DIEU TTEE	ISHARES U S BASIC MATERIALS ETF	S	12,847.37	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502026	DAVID DIEU TTEE	ISHARES U S FINANCIALS ETF	B	7,325.48	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502095	VERBITSKI, THOMAS	ISHARES U S HEALTHCARE ETF	S	18,758.28	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502095	VERBITSKI, THOMAS	ISHARES U S FINANCIALS ETF	B	18,707.43	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502176	DENNIN, MARY	ISHARES U S HEALTHCARE ETF	S	4,406.00	0.00	0.00	0.00	50.0	0.00
03/01/2018	75502176	DENNIN, MARY	ISHARES U S FINANCIALS ETF	B	4,463.31	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					\$879,176.46	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/02/2018	(None)	VARIOUS, MOSS	LINCOLN	B	0.00	5,750.00	0.00	5,750.00	50.0	2,875.00
03/02/2018	(None)	VARIOUS, MOSS	LINCOLN	B	0.00	299.24	0.00	299.24	50.0	149.62
03/07/2018	(None)	VARIOUS, MOSS	JACKSON	B	0.00	15.45	0.00	15.45	50.0	7.73
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$6,064.69	\$0.00	\$6,064.69		\$3,032.35
PRODUCT CATEGORY: MISCELLANEOUS										
03/02/2018	VARIOUS, MOSS	COLLEGEBOUND	B	0.00	3.50	0.00	3.50	50.0	1.75	
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$3.50	\$0.00	\$3.50		\$1.75
PRODUCT CATEGORY: RIA										
01/17/2018	75501796	MOSS, KATHLEEN	FAS FEES PT. III/III	B	-52.65	52.65	0.00	52.65	50.0	26.33
01/17/2018	75501797	HERTEN, TIMOTHY	FAS FEES PT. III/III	B	-37.16	37.16	0.00	37.16	50.0	18.58
01/17/2018	75501799	HERTEN, TIMOTHY	FAS FEES PT. III/III	B	-39.59	39.59	0.00	39.59	50.0	19.80
01/17/2018	75501801	JOHN W SCHULTZ TTEE	FAS FEES PT. III/III	B	-117.87	117.87	0.00	117.87	50.0	58.94
01/17/2018	75501802	MCELREAVEY, DANIEL	FAS FEES PT. III/III	B	-72.99	72.99	0.00	72.99	50.0	36.50
01/17/2018	75501873	BESETH, DAVID	FAS FEES PT. III/III	B	-138.43	138.43	0.00	138.43	50.0	69.22
01/17/2018	75501875	RALPH G BARTO TRUST	FAS FEES PT. III/III	B	-198.15	198.15	0.00	198.15	50.0	99.08
01/17/2018	75501877	MORRIS WEISMAN TTEE	FAS FEES PT. III/III	B	-535.43	535.43	0.00	535.43	50.0	267.72
01/17/2018	75501878	MORRIS WEISMAN TTEE	FAS FEES PT. III/III	B	-69.96	69.96	0.00	69.96	50.0	34.98
01/17/2018	75501907	HESTON, EUGENE	FAS FEES PT. III/III	B	-125.90	125.90	0.00	125.90	50.0	62.95
01/17/2018	75501922	HAFENMAIER, HELEN	FAS FEES PT. III/III	B	-96.77	96.77	0.00	96.77	50.0	48.39
01/17/2018	75501924	CUNNINGHAM, WENDY	FAS FEES PT. III/III	B	-113.72	113.72	0.00	113.72	50.0	56.86
01/17/2018	75501937	BERG, ELIZABETH	FAS FEES PT. III/III	B	-135.50	135.50	0.00	135.50	50.0	67.75
01/17/2018	75501944	EDWARD W CUNICELLI	FAS FEES PT. III/III	B	-102.60	102.60	0.00	102.60	50.0	51.30
01/17/2018	75502025	THIEU, MINH	FAS FEES PT. III/III	B	-153.52	153.52	0.00	153.52	50.0	76.76
01/17/2018	75502026	DAVID DIEU TTEE	FAS FEES PT. III/III	B	-104.22	104.22	0.00	104.22	50.0	52.11
01/17/2018	75502095	VERBITSKI, THOMAS	FAS FEES PT. III/III	B	-291.00	291.00	0.00	291.00	50.0	145.50
* RIA SUBTOTAL *					\$-2,385.46	\$2,385.46	\$0.00	\$2,385.46		\$1,192.77

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	FEE COUNT - 7			0.00	3.12	0.00	3.12	50.0	0.57
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.12	\$0.00	\$3.12		\$0.57
	*** BROKER TRANSACTIONS TOTAL ***			\$876,791.00	\$8,477.93	\$0.00	\$8,477.93		\$4,238.02

BROKER COMMISSION TOTALS

Broker Transactions	\$4,238.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.59
SIPC Assessment	\$-21.19

Check Amount	\$4,206.24
Year-to-date Earnings	\$6,791.59