

Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	727.02

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: TOM BRISTER
32180 INVERNESS DR.
EVERGREEN, CO 80439

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	967.02	967.02		967.02
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00
4/1/2018	Credit	E&O-ALEX BRISTER	-120.00	-120.00		-120.00

COMMISSION STATEMENT March 31, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/19/2018	368429987	HUGHES, CHARLES	MF	FIRST EAGLE GLOBAL FUND CLASS C B	-1,292.55	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *					\$-1,292.55	\$0.00	\$5.00	\$-5.00		\$-4.38
PRODUCT CATEGORY: STOCKS										
03/14/2018	368330542	LADNER, HERSHEL	S	SABRA HEALTH CARE REIT INC	14,577.09	15.00	15.00	0.00	87.5	0.00
03/14/2018	368330542	LADNER, HERSHEL	S	TARGA RESOURCES CORP	14,605.35	15.00	15.00	0.00	87.5	0.00
03/09/2018	368331302	HILL, KAREN JOLLY	S	TARGA RESOURCES CORP	2,017.03	15.00	15.00	0.00	87.5	0.00
03/09/2018	368332548	HILL, AUBREY	S	TARGA RESOURCES CORP	4,885.84	15.00	15.00	0.00	87.5	0.00
03/05/2018	368434452	GREGERSEN, DONNA	S	COHEN & STEERS CLOSED-END	10,144.25	150.00	15.00	135.00	87.5	118.13
03/09/2018	368434452	GREGERSEN, DONNA	S	TARGA RESOURCES CORP	3,059.69	45.00	15.00	30.00	87.5	26.25
03/05/2018	368434462	GREGERSEN, JOHN	S	COHEN & STEERS CLOSED-END	10,144.17	150.00	15.00	135.00	87.5	118.13
03/09/2018	368434462	GREGERSEN, JOHN	S	TARGA RESOURCES CORP	3,196.54	47.00	15.00	32.00	87.5	28.00
03/09/2018	676424960	BRISTER, THOMAS	S	TARGA RESOURCES CORP	10,437.96	15.00	15.00	0.00	87.5	0.00
* STOCKS SUBTOTAL *					\$73,067.92	\$467.00	\$135.00	\$332.00		\$290.51
PRODUCT CATEGORY: MISCELLANEOUS										
03/20/2018	a03564136	BRISTER-D011, TOM	MI	MUTUAL FUND MISC FEE 368429987	0.00	5.00	0.00	5.00	87.5	4.38
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$5.00	\$0.00	\$5.00		\$4.38
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION A	0.00	0.58	0.00	0.58	87.5	0.51
03/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION A	0.00	12.10	0.00	12.10	87.5	10.59
03/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION C	0.00	48.54	0.00	48.54	87.5	42.47
03/21/2018	mfc564136	BRISTER T 564136	MFT	ALLIANZGI GLOBAL ALLOCATION C	0.00	64.18	0.00	64.18	87.5	56.16
03/21/2018	mfc564136	BRISTER T 564136	MFT	FEDERATED EQUITY INCOME FUND	0.00	0.35	0.00	0.35	87.5	0.31
03/21/2018	mfc564136	BRISTER T 564136	MFT	JP MORGAN INCOME BUILDER FD CL	0.00	9.14	0.00	9.14	87.5	8.00
03/21/2018	mfc564136	BRISTER T 564136	MFT	PIMCO SHORT TERM FD CL C	0.00	7.19	0.00	7.19	87.5	6.29
03/21/2018	mfc564136	BRISTER T 564136	MFT	PRINCIPAL INVESTORS SAM CONSERV	0.00	3.50	0.00	3.50	87.5	3.06
03/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	0.00	1.41	0.00	1.41	87.5	1.23
03/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	0.00	1.99	0.00	1.99	87.5	1.74
03/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	0.00	1.99	0.00	1.99	87.5	1.74
03/21/2018	mfc564136	BRISTER T 564136	MFT	RUSSELL LIFEPOINTS GROWTH	0.00	20.73	0.00	20.73	87.5	18.14

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	23.94	0.00	23.94	87.5	20.95
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	25.18	0.00	25.18	87.5	22.03
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	46.98	0.00	46.98	87.5	41.11
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	1.93	0.00	1.93	87.5	1.69
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.33	0.00	2.33	87.5	2.04
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	3.29	0.00	3.29	87.5	2.88
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	36.19	0.00	36.19	87.5	31.67
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	53.92	0.00	53.92	87.5	47.18
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	55.77	0.00	55.77	87.5	48.80
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	93.13	0.00	93.13	87.5	81.49
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	1.71	0.00	1.71	87.5	1.50
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	21.12	0.00	21.12	87.5	18.48
03/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	21.63	0.00	21.63	87.5	18.93
03/21/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	7.77	0.00	7.77	87.5	6.80
03/21/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	3.40	0.00	3.40	87.5	2.98
03/21/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.09	0.00	19.09	87.5	16.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$589.08	\$0.00	\$589.08		\$515.47
*** CLEARING TRANSACTIONS TOTAL ***				\$71,775.37	\$1,061.08	\$140.00	\$921.08		\$805.98

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/15/2018	(None) 0045801164	MF SCHOLARS CHOICE	B	0.00	6.00	0.00	6.00	87.5	5.25
03/15/2018	00064805200 SCHMIDT,	MF NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06
03/15/2018	00064805200 SCHMIDT,	MF THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06
03/15/2018	00086110559 DRAUGHN, DUSTIN	MF THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *				\$440.00	\$24.25	\$0.00	\$24.25		\$21.21

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/15/2018	(None) GREGERSEN	VA PHOENIX LIFE	B	0.00	4.00	0.00	4.00	87.5	3.50
03/15/2018	(None) WOODS	VA PHOENIX LIFE	B	0.00	6.66	0.00	6.66	87.5	5.83
03/16/2018	(None) BRISTER, LYDIA	VA PRUDENTIAL LIFE	B	0.00	10.00	0.00	10.00	87.5	8.75
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$20.66	\$0.00	\$20.66		\$18.08

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/28/2018	(None) VARIOUS, BRISTER T	MFT COLUMBIA THREADNEEDLE	B	0.00	21.50	0.00	21.50	87.5	18.81
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$21.50	\$0.00	\$21.50		\$18.81
*** DIRECT TRANSACTIONS TOTAL ***				\$440.00	\$66.41	\$0.00	\$66.41		\$58.10

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
BROKER: ALEX BRISTER-D010 #14									
03/05/2018 (None)	0045709342	MF SCHOLARS CHOICE	B	0.00	4.50	0.00	4.50		1.69
03/12/2018	00068781179	GERRIE, SARA	MF AMCAP FUND-A	B	300.00	8.25	0.00	8.25	3.09
03/15/2018	00083404474	BARNES, WENDY	MF AMCAP FUND-A	B	500.00	18.75	0.00	18.75	7.03
03/01/2018	676429808	BRISTER, THOMAS	MF AMERICAN FDS AMCAP FD CL F2	S	-1,194.69	0.00	5.00	-5.00	-1.88
03/01/2018	676429808	BRISTER, THOMAS	MF AMERICAN FDS NEW ECONOMY FD CL	S	-2,497.02	0.00	5.00	-5.00	-1.88
03/01/2018	676429808	BRISTER, THOMAS	MF AMERICAN FDS NEW PERSPECTIVE FD	S	-2,416.99	0.00	5.00	-5.00	-1.88
03/01/2018	676429808	BRISTER, THOMAS	MF AMERICAN FDS NEW WORLD FD CL F2	B	-2,292.55	0.00	5.00	-5.00	-1.88
03/01/2018	676429808	BRISTER, THOMAS	MF EUROPACIFIC GROWTH FUND-F2	B	-2,992.55	0.00	5.00	-5.00	-1.88
03/01/2018	676429808	BRISTER, THOMAS	MF HOMESTEAD SMALL CO STOCK FD INV	B	-1,692.55	0.00	5.00	-5.00	-1.88
03/01/2018	676429808	BRISTER, THOMAS	MF VANGUARD STRAT SM CAP EQ FD INV	B	-2,102.15	0.00	5.00	-5.00	-1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/02/2018	a03652653	BRISTER-D010, ALEX	MI MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
03/21/2018	mfc652653	BRISTER A 652653	MFT ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	9.75	0.00	9.75	3.66
03/21/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.44	0.00	2.44	0.92
03/21/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	11.94	0.00	11.94	4.48
03/21/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	31.94	0.00	31.94	11.98
03/21/2018	mfc652653	BRISTER A 652653	MFT ALLIANZGI SHRT DURATION HIGH	B	0.00	7.48	0.00	7.48	2.81
03/21/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	0.38	0.00	0.38	0.14
03/21/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	2.81	0.00	2.81	1.05
03/21/2018	mfc652653	BRISTER A 652653	MFT AMCAP FUND-A	B	0.00	9.08	0.00	9.08	3.41
03/21/2018	mfc652653	BRISTER A 652653	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.26	0.00	0.26	0.10
03/21/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.59	0.00	3.59	1.35
03/21/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.17	0.00	0.17	0.06
03/21/2018	mfc652653	BRISTER A 652653	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.03	0.00	2.03	0.76
03/21/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.57	0.00	3.57	1.34
03/21/2018	mfc652653	BRISTER A 652653	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.16	0.00	0.16	0.06
03/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.30	0.00	0.30	0.11
03/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.85	0.00	2.85	1.07
03/21/2018	mfc652653	BRISTER A 652653	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.21	0.00	3.21	1.20
03/21/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	2.07	0.00	2.07	0.78
03/21/2018	mfc652653	BRISTER A 652653	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	5.43	0.00	5.43	2.04
03/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	0.11	0.00	0.11	0.04
03/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.15	0.00	1.15	0.43
03/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.21	0.00	1.21	0.45
03/21/2018	mfc652653	BRISTER A 652653	MFT NEW PERSPECTIVE FUND-A	B	0.00	1.68	0.00	1.68	0.63

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	2.57	0.00	2.57		0.96
03/21/2018	mfc652653 BRISTER A 652653	MFT NEW PERSPECTIVE FUND-C	B	0.00	24.95	0.00	24.95		9.36
03/21/2018	mfc652653 BRISTER A 652653	MFT NEW WORLD FUND-A	B	0.00	1.43	0.00	1.43		0.54
03/21/2018	mfc652653 BRISTER A 652653	MFT PIM 018918664	B	0.00	4.00	0.00	4.00		1.50
03/21/2018	mfc652653 BRISTER A 652653	MFT PIM 018918664	B	0.00	4.48	0.00	4.48		1.68
03/21/2018	mfc652653 BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	2.34	0.00	2.34		0.88
03/21/2018	mfc652653 BRISTER A 652653	MFT PIMCO STOCKSPLUS A	B	0.00	7.33	0.00	7.33		2.75
03/21/2018	mfc652653 BRISTER A 652653	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.14	0.00	1.14		0.43
03/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	0.14	0.00	0.14		0.05
03/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-A	B	0.00	1.55	0.00	1.55		0.58
03/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	0.31	0.00	0.31		0.12
03/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	0.31	0.00	0.31		0.12
03/21/2018	mfc652653 BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.12	0.00	5.12		1.92
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.60	0.00	1.60		0.60
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.99	0.00	1.99		0.75
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.50	0.00	3.50		1.31
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	10.86	0.00	10.86		4.07
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.23	0.00	0.23		0.09
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.27	0.00	1.27		0.48
03/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.57	0.00	1.57		0.59
03/21/2018	mfc652653 BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.28	0.00	0.28		0.11
* ALEX BRISTER-D010 SUBTOTAL *				\$-14,388.50	\$247.08	\$35.00	\$212.08		\$79.57
*** OVERRIDE TRANSACTIONS TOTAL ***				\$-14,388.50	\$247.08	\$35.00	\$212.08		\$79.57

ADJUSTMENTS

03/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
03/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
03/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	78.37
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$23.37

BROKER COMMISSION TOTALS

Broker Transactions	\$864.08
Split Transactions	\$0.00
Override Transactions	\$79.57
Adjustments	\$23.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$967.02
Year-to-date Commissions	\$8,532.31