

COMMISSION STATEMENT

June 30, 2018

STEPHEN SUSLOW
14 WEST 12TH STREET
APT 6B
NEW YORK, NY 10011

BROKER #: S01 S22
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

06/22/2018 75500864	WINICK, JESSE	AT&T INC	B	256,000.00	0.00	7.00	-7.00	85.0	-7.00
06/01/2018 75500933	LAWRENCE, IRA	CELGENE CORP	B	79,058.92	10.00	7.00	3.00	85.0	1.50
06/01/2018 75500933	LAWRENCE, IRA	TESARO INC	B	45,737.80	10.00	7.00	3.00	85.0	1.50
06/04/2018 75500933	LAWRENCE, IRA	CELGENE CORP	S	79,290.00	10.00	7.00	3.00	85.0	1.50
06/20/2018 75500933	LAWRENCE, IRA	TESARO INC	S	46,720.00	10.00	7.00	3.00	85.0	1.50
06/21/2018 75500933	LAWRENCE, IRA	AMGEN INC	B	93,045.00	10.00	7.00	3.00	85.0	1.50
06/21/2018 75500933	LAWRENCE, IRA	PATTERSON COS INC COM	S	24,790.00	10.00	7.00	3.00	85.0	1.50
06/22/2018 75500933	LAWRENCE, IRA	SOGOU INC ADR REPSTG CL A SHS ISIN#	B	24,120.00	10.00	7.00	3.00	85.0	1.50
06/25/2018 75500933	LAWRENCE, IRA	TENCENT HOLDINGS LIMITED UNSPONSORE	B	48,630.00	10.00	7.00	3.00	85.0	1.50
06/01/2018 75501161	LAWRENCE, DAVID	PETROLEO BRASILEIRO SA PETROBRAS SP	B	19,620.00	10.00	7.00	3.00	85.0	1.50
06/06/2018 75501161	LAWRENCE, DAVID	CLOUDERA INC COM	S	34,544.20	10.00	7.00	3.00	85.0	1.50
06/08/2018 75501161	LAWRENCE, DAVID	EXXON MOBIL CORPORATION	S	41,695.00	35.00	7.00	28.00	85.0	22.75
06/11/2018 75501161	LAWRENCE, DAVID	BED BATH & BEYOND INC	B	39,360.00	10.00	7.00	3.00	85.0	1.50
06/20/2018 75501161	LAWRENCE, DAVID	BED BATH & BEYOND INC	S	40,580.00	10.00	7.00	3.00	85.0	1.50
06/20/2018 75501161	LAWRENCE, DAVID	FITBIT INC CLASS A COM	S	14,780.00	10.00	7.00	3.00	85.0	1.50
06/20/2018 75501161	LAWRENCE, DAVID	PETROLEO BRASILEIRO SA PETROBRAS SP	S	19,960.00	10.00	7.00	3.00	85.0	1.50
06/22/2018 75501161	LAWRENCE, DAVID	APPIAN CORP CL A	B	35,310.00	10.00	7.00	3.00	85.0	1.50
05/30/2018 75501189	IRA S LAWRENCE TTEE	NUTANIX INC CL A	S	54,490.00	10.00	7.00	3.00	85.0	1.50
06/01/2018 75501189	IRA S LAWRENCE TTEE	NEOGENOMICS INC	B	24,500.00	10.00	7.00	3.00	85.0	1.50
06/01/2018 75501189	IRA S LAWRENCE TTEE	PROGENICS PHARMACEUTICALS INC	B	16,060.00	10.00	7.00	3.00	85.0	1.50
06/01/2018 75501189	IRA S LAWRENCE TTEE	SESEN BIO INC COM	B	7,403.10	10.00	7.00	3.00	85.0	1.50
06/04/2018 75501189	IRA S LAWRENCE TTEE	PROGENICS PHARMACEUTICALS INC	S	16,560.00	10.00	7.00	3.00	85.0	1.50
06/04/2018 75501189	IRA S LAWRENCE TTEE	SESEN BIO INC COM	S	7,920.00	10.00	7.00	3.00	85.0	1.50
06/20/2018 75501189	IRA S LAWRENCE TTEE	NEOGENOMICS INC	S	26,980.00	10.00	7.00	3.00	85.0	1.50
06/22/2018 75501189	IRA S LAWRENCE TTEE	ACADIA PHARMACEUTICALS INC COM	B	15,810.00	10.00	7.00	3.00	85.0	1.50
06/27/2018 75501189	IRA S LAWRENCE TTEE	VOYAGER THERAPEUTICS INC COM	B	23,210.00	10.00	7.00	3.00	85.0	1.50
06/27/2018 75501189	IRA S LAWRENCE TTEE	VOYAGER THERAPEUTICS INC COM	B	22,510.00	10.00	7.00	3.00	85.0	1.50
06/01/2018 75501196	LAWRENCE, ADELLE	IMMUNOMEDICS INC COM	B	22,840.00	10.00	7.00	3.00	85.0	1.50
06/04/2018 75501196	LAWRENCE, ADELLE	IMMUNOMEDICS INC COM	S	24,890.00	10.00	7.00	3.00	85.0	1.50
06/07/2018 75501196	LAWRENCE, ADELLE	EXXON MOBIL CORPORATION	S	41,470.00	10.00	7.00	3.00	85.0	1.50
06/05/2018 75502141	BOSGANG, ALVIN	CITIGROUP INC COM	B	721,000.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
06/08/2018	75502141 BOSGANG, ALVIN	CITIGROUP INC COM	S	6,528,000.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *				\$8,496,884.02	\$315.00	\$224.00	\$91.00		\$43.75
PRODUCT CATEGORY: OPTIONS									
06/08/2018	75500859 LABELLA, CHARLES	PUT VZ 06/15/18	47	S	320.00	0.00	7.00	-7.00	-7.00
06/01/2018	75500864 WINICK, JESSE	PUT AABA 06/22/18	74.50	S	3,394.40	300.00	7.00	293.00	248.00
06/04/2018	75500864 WINICK, JESSE	PUT AABA 06/22/18	75	S	2,033.33	203.00	7.00	196.00	165.55
06/08/2018	75500864 WINICK, JESSE	PUT T 06/15/18	32	S	924.00	92.00	7.00	85.00	71.20
06/08/2018	75500864 WINICK, JESSE	PUT T 06/22/18	32	S	1,041.00	104.00	7.00	97.00	81.40
06/13/2018	75500864 WINICK, JESSE	PUT T 06/15/18	32	B	787.80	0.00	7.00	-7.00	-7.00
06/14/2018	75500864 WINICK, JESSE	PUT AABA 06/29/18	77.50	S	3,016.67	300.00	7.00	293.00	248.00
06/15/2018	75500864 WINICK, JESSE	PUT VZ 06/22/18	47	S	1,280.00	128.00	7.00	121.00	101.80
06/01/2018	75500867 GREENSPAN, WILLIAM	PUT AABA 06/22/18	74.50	S	3,394.40	300.00	7.00	293.00	248.00
06/04/2018	75500867 GREENSPAN, WILLIAM	PUT AABA 11/03/17	63.50	S	800.00	80.00	7.00	73.00	61.00
05/31/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/15/18	72	S	1,460.00	0.00	7.00	-7.00	-7.00
06/01/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/15/18	73.50	S	2,312.00	0.00	7.00	-7.00	-7.00
06/01/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/22/18	74.50	S	3,394.40	0.00	7.00	-7.00	-7.00
06/01/2018	75500881 SUSLOW, RONNIE	PUT AABA 11/03/17	63.50	S	1,500.00	0.00	7.00	-7.00	-7.00
06/04/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/15/18	75.50	S	1,050.00	0.00	7.00	-7.00	-7.00
06/04/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/22/18	74.50	S	1,500.00	0.00	7.00	-7.00	-7.00
06/04/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/22/18	75	S	2,033.33	0.00	7.00	-7.00	-7.00
06/08/2018	75500881 SUSLOW, RONNIE	PUT T 06/15/18	32.50	S	1,340.00	0.00	7.00	-7.00	-7.00
06/12/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/22/18	77.50	S	1,300.00	0.00	7.00	-7.00	-7.00
06/13/2018	75500881 SUSLOW, RONNIE	PUT T 06/15/18	32.50	B	1,700.00	0.00	7.00	-7.00	-7.00
06/14/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	77.50	S	4,223.33	0.00	7.00	-7.00	-7.00
06/14/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	77.50	S	1,000.00	0.00	7.00	-7.00	-7.00
06/14/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	77	S	780.00	0.00	7.00	-7.00	-7.00
06/14/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	76.50	S	640.00	0.00	7.00	-7.00	-7.00
06/25/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	77.50	B	13,000.00	0.00	7.00	-7.00	-7.00
06/25/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	77.50	B	11,080.00	0.00	7.00	-7.00	-7.00
06/25/2018	75500881 SUSLOW, RONNIE	PUT AABA 06/29/18	77	B	8,680.00	0.00	7.00	-7.00	-7.00
06/06/2018	75500882 SUSLOW, RONNIE	PUT AABA 06/08/18	72.50	B	40.00	0.00	7.00	-7.00	-7.00
06/11/2018	75500882 SUSLOW, RONNIE	PUT AABA 06/15/18	77.50	S	560.00	0.00	7.00	-7.00	-7.00
06/12/2018	75500882 SUSLOW, RONNIE	PUT AABA 06/29/18	77	S	2,500.00	0.00	7.00	-7.00	-7.00
06/14/2018	75500882 SUSLOW, RONNIE	PUT AABA 06/22/18	78.50	S	380.00	0.00	7.00	-7.00	-7.00
05/31/2018	75500918 SUSLOW, ELISSA	PUT AABA 06/08/18	74.50	S	2,020.00	0.00	7.00	-7.00	-7.00
05/31/2018	75500918 SUSLOW, ELISSA	PUT AABA 11/03/17	63.50	S	1,880.00	0.00	7.00	-7.00	-7.00
06/01/2018	75500918 SUSLOW, ELISSA	PUT AABA 06/22/18	74.50	S	3,394.40	0.00	7.00	-7.00	-7.00
06/04/2018	75500918 SUSLOW, ELISSA	PUT AABA 06/15/18	75.50	S	1,050.00	0.00	7.00	-7.00	-7.00
06/04/2018	75500918 SUSLOW, ELISSA	PUT AABA 06/15/18	73.50	S	2,500.00	0.00	7.00	-7.00	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
06/04/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/22/18	74.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
06/04/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/22/18	75	S	2,033.33	0.00	7.00	-7.00	85.0	-7.00
06/04/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/22/18	74	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
06/05/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/15/18	75.50	S	780.00	0.00	7.00	-7.00	85.0	-7.00
06/05/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/15/18	73.50	S	1,040.00	0.00	7.00	-7.00	85.0	-7.00
06/05/2018	75500918	SUSLOW, ELISSA	PUT VZ	06/15/18	47	S	900.00	0.00	7.00	-7.00	85.0	-7.00
06/07/2018	75500918	SUSLOW, ELISSA	PUT T	06/15/18	31.50	S	400.00	0.00	7.00	-7.00	85.0	-7.00
06/07/2018	75500918	SUSLOW, ELISSA	PUT T	06/22/18	31	S	280.00	0.00	7.00	-7.00	85.0	-7.00
06/07/2018	75500918	SUSLOW, ELISSA	PUT T	06/22/18	31.50	S	920.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/22/18	76.50	S	1,240.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500918	SUSLOW, ELISSA	PUT T	06/15/18	32.50	S	1,340.00	0.00	7.00	-7.00	85.0	-7.00
06/11/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	75.50	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
06/13/2018	75500918	SUSLOW, ELISSA	PUT T	06/15/18	31.50	B	377.00	0.00	7.00	-7.00	85.0	-7.00
06/13/2018	75500918	SUSLOW, ELISSA	PUT T	06/15/18	32.50	B	2,220.00	0.00	7.00	-7.00	85.0	-7.00
06/13/2018	75500918	SUSLOW, ELISSA	PUT T	06/22/18	31	B	480.00	0.00	7.00	-7.00	85.0	-7.00
06/14/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	77.50	S	4,434.29	0.00	7.00	-7.00	85.0	-7.00
06/14/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	78.50	S	3,536.00	0.00	7.00	-7.00	85.0	-7.00
06/15/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	77	S	800.00	0.00	7.00	-7.00	85.0	-7.00
06/15/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	76.50	S	340.00	0.00	7.00	-7.00	85.0	-7.00
06/15/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/22/18	75	S	720.00	0.00	7.00	-7.00	85.0	-7.00
06/21/2018	75500918	SUSLOW, ELISSA	PUT T	06/22/18	31.50	B	979.50	0.00	7.00	-7.00	85.0	-7.00
06/25/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	77.50	B	41,500.00	0.00	7.00	-7.00	85.0	-7.00
06/25/2018	75500918	SUSLOW, ELISSA	PUT AABA	06/29/18	78.50	B	37,500.00	0.00	7.00	-7.00	85.0	-7.00
06/07/2018	75500953	SUSLOW, STEPHEN	PUT T	06/15/18	31	S	160.00	0.00	7.00	-7.00	85.0	-7.00
06/11/2018	75500953	SUSLOW, STEPHEN	PUT T	06/15/18	32.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00
06/13/2018	75500953	SUSLOW, STEPHEN	PUT T	06/15/18	32.50	B	680.00	0.00	7.00	-7.00	85.0	-7.00
06/13/2018	75500953	SUSLOW, STEPHEN	PUT T	06/15/18	31	B	160.00	0.00	7.00	-7.00	85.0	-7.00
06/14/2018	75500953	SUSLOW, STEPHEN	PUT AABA	06/22/18	77.50	S	200.00	0.00	7.00	-7.00	85.0	-7.00
05/31/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/08/18	73	S	200.00	0.00	7.00	-7.00	85.0	-7.00
05/31/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/08/18	74	S	720.00	0.00	7.00	-7.00	85.0	-7.00
06/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/15/18	75.50	S	3,900.00	0.00	7.00	-7.00	85.0	-7.00
06/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/22/18	74	S	1,400.00	0.00	7.00	-7.00	85.0	-7.00
06/01/2018	75500954	SUSLOW, STEPHEN	PUT AABA	11/03/17	63.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/15/18	73.50	S	140.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/15/18	73.50	S	200.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/22/18	75	S	460.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/22/18	75.50	S	380.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/22/18	75	S	980.00	0.00	7.00	-7.00	85.0	-7.00
06/12/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/29/18	76.50	S	840.00	0.00	7.00	-7.00	85.0	-7.00
06/12/2018	75500954	SUSLOW, STEPHEN	PUT AABA	06/29/18	76	S	360.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION					
BROKER TRANSACTIONS														
PRODUCT CATEGORY: OPTIONS														
06/12/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	06/29/18	75.50	S	280.00	0.00	7.00	-7.00	85.0	-7.00	
06/14/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	06/29/18	77.50	S	1,420.00	0.00	7.00	-7.00	85.0	-7.00	
06/14/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	06/22/18	75	S	520.00	0.00	7.00	-7.00	85.0	-7.00	
06/14/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	11/03/17	63.50	B	30.00	0.00	7.00	-7.00	85.0	-7.00	
06/22/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	07/06/18	75	S	780.00	0.00	7.00	-7.00	85.0	-7.00	
06/01/2018	75500986	SUSLOVICH, JACOB	PUT	AABA	06/22/18	73.50	S	600.00	0.00	7.00	-7.00	85.0	-7.00	
06/11/2018	75500986	SUSLOVICH, JACOB	PUT	T	06/15/18	32.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00	
06/13/2018	75500986	SUSLOVICH, JACOB	PUT	T	06/15/18	32.50	B	680.00	0.00	7.00	-7.00	85.0	-7.00	
06/01/2018	75501098	WINICK FAMILY	PUT	AABA	06/22/18	74.50	S	3,394.40	300.00	7.00	293.00	85.0	248.00	
06/04/2018	75501098	WINICK FAMILY	PUT	AABA	11/03/17	63.50	S	800.00	80.00	7.00	73.00	85.0	61.00	
06/08/2018	75501098	WINICK FAMILY	PUT	T	06/22/18	31.50	S	640.00	64.00	7.00	57.00	85.0	47.40	
06/21/2018	75501098	WINICK FAMILY	PUT	T	06/22/18	31.50	B	979.50	0.00	7.00	-7.00	85.0	-7.00	
06/11/2018	75501215	SISTERS TWO LLC	PUT	AABA	06/22/18	76.50	S	960.00	96.00	7.00	89.00	85.0	74.60	
06/11/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	AABA	06/22/18	75	S	1,240.00	124.00	7.00	117.00	85.0	98.40
06/11/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	T	06/15/18	32	S	520.00	52.00	7.00	45.00	85.0	37.20
06/13/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	T	06/15/18	32	B	525.20	0.00	7.00	-7.00	85.0	-7.00
06/13/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	T	06/15/18	31	B	160.00	0.00	7.00	-7.00	85.0	-7.00
06/15/2018	75502117	BLUMA ROTTERMANN	TTEE	PUT	VZ	06/22/18	46.50	S	450.00	45.00	7.00	38.00	85.0	31.25
05/31/2018	75502141	BOSGANG, ALVIN	CALL	C	06/22/18	69	S	22,095.00	0.00	7.00	-7.00	85.0	-7.00	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73	S	2,480.00	124.00	7.00	117.00	85.0	98.40	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	2,620.00	120.00	7.00	113.00	85.0	95.00	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	74	S	7,940.00	300.00	7.00	293.00	85.0	248.00	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	23,680.00	750.00	7.00	743.00	85.0	630.50	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/08/18	72.50	S	6,700.00	300.00	7.00	293.00	85.0	248.00	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	AABA	11/03/17	63.50	S	29,795.00	750.00	7.00	743.00	85.0	630.50	
05/31/2018	75502141	BOSGANG, ALVIN	PUT	C	03/03/17	56.50	B	54,019.00	0.00	7.00	-7.00	85.0	-7.00	
06/01/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	33,002.00	1,350.00	7.00	1,343.00	85.0	1,140.50	
06/01/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75.50	S	41,183.00	1,350.00	7.00	1,343.00	85.0	1,140.50	
06/04/2018	75502141	BOSGANG, ALVIN	CALL	C	06/08/18	67.50	S	28,045.00	0.00	7.00	-7.00	85.0	-7.00	
06/04/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76	S	33,000.00	1,050.00	7.00	1,043.00	85.0	885.50	
06/04/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75.50	S	26,970.00	1,050.00	7.00	1,043.00	85.0	885.50	
06/04/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75	S	21,990.00	1,050.00	7.00	1,043.00	85.0	885.50	
06/04/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75.50	S	10,141.00	507.00	7.00	500.00	85.0	423.95	
06/04/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	21,145.00	1,050.00	7.00	1,043.00	85.0	885.50	
06/04/2018	75502141	BOSGANG, ALVIN	PUT	C	03/03/17	56.50	S	15,564.00	0.00	7.00	-7.00	85.0	-7.00	
06/05/2018	75502141	BOSGANG, ALVIN	CALL	C	06/08/18	67.50	S	8,540.00	0.00	7.00	-7.00	85.0	-7.00	
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76.50	S	8,740.00	300.00	7.00	293.00	85.0	248.00	
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76	S	11,343.00	450.00	7.00	443.00	85.0	375.50	
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75.50	S	9,345.00	450.00	7.00	443.00	85.0	375.50	
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75	S	8,380.00	419.00	7.00	412.00	85.0	349.15	

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	1,460.00	73.00	7.00	66.00	85.0	55.05
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	4,760.00	238.00	7.00	231.00	85.0	195.30
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75.50	S	6,300.00	315.00	7.00	308.00	85.0	260.75
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	7,840.00	392.00	7.00	385.00	85.0	326.20
06/05/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	76.50	S	8,720.00	390.00	7.00	383.00	85.0	324.50
06/05/2018	75502141	BOSGANG, ALVIN	PUT	C	03/03/17	56.50	S	4,700.00	0.00	7.00	-7.00	85.0	-7.00
06/06/2018	75502141	BOSGANG, ALVIN	CALL	C	06/08/18	67.50	S	820.00	0.00	7.00	-7.00	85.0	-7.00
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76.50	S	32,940.00	1,200.00	7.00	1,193.00	85.0	1,013.00
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76	S	14,330.00	600.00	7.00	593.00	85.0	503.00
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75.50	S	9,260.00	450.00	7.00	443.00	85.0	375.50
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75	S	2,640.00	132.00	7.00	125.00	85.0	105.20
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	2,360.00	118.00	7.00	111.00	85.0	93.30
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	2,690.00	134.00	7.00	127.00	85.0	106.90
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75.50	S	3,589.00	179.00	7.00	172.00	85.0	145.15
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	4,678.00	239.00	7.00	232.00	85.0	196.15
06/06/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	76.50	S	5,930.00	296.00	7.00	289.00	85.0	244.60
06/06/2018	75502141	BOSGANG, ALVIN	PUT	C	03/03/17	56.50	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76.50	S	5,460.00	120.00	7.00	113.00	85.0	95.00
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76	S	4,540.00	120.00	7.00	113.00	85.0	95.00
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75.50	S	3,780.00	120.00	7.00	113.00	85.0	95.00
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75	S	3,240.00	80.00	7.00	73.00	85.0	61.00
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	1,140.00	57.00	7.00	50.00	85.0	41.45
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	1,500.00	75.00	7.00	68.00	85.0	56.75
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	2,260.00	113.00	7.00	106.00	85.0	89.05
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75.50	S	2,700.00	135.00	7.00	128.00	85.0	107.75
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	75	S	3,340.00	150.00	7.00	143.00	85.0	120.50
06/07/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/22/18	76.50	S	4,280.00	150.00	7.00	143.00	85.0	120.50
06/08/2018	75502141	BOSGANG, ALVIN	CALL	C	06/08/18	67.50	B	92,861.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75502141	BOSGANG, ALVIN	CALL	C	06/22/18	68	S	123,081.00	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76.50	S	9,841.00	330.00	7.00	323.00	85.0	273.50
06/08/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76	S	8,060.00	330.00	7.00	323.00	85.0	273.50
06/08/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75.50	S	9,560.00	478.00	7.00	471.00	85.0	399.30
06/08/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	75	S	5,660.00	283.00	7.00	276.00	85.0	233.55
06/08/2018	75502141	BOSGANG, ALVIN	PUT	C	03/03/17	56.50	B	279,748.50	0.00	7.00	-7.00	85.0	-7.00
06/08/2018	75502141	BOSGANG, ALVIN	PUT	C	06/22/18	69	S	218,163.30	0.00	7.00	-7.00	85.0	-7.00
06/11/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76.50	S	4,186.00	209.00	7.00	202.00	85.0	170.65
06/11/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	76	S	4,620.00	231.00	7.00	224.00	85.0	189.35
06/11/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/15/18	73.50	S	1,060.00	53.00	7.00	46.00	85.0	38.05
06/11/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	77.50	S	13,220.00	450.00	7.00	443.00	85.0	375.50
06/11/2018	75502141	BOSGANG, ALVIN	PUT	AABA	06/29/18	77	S	11,020.00	450.00	7.00	443.00	85.0	375.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
06/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	75	S	2,100.00	105.00	7.00	98.00	85.0	82.25
06/11/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	77.50	S	2,600.00	130.00	7.00	123.00	85.0	103.50
06/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	78.50	S	17,200.00	600.00	7.00	593.00	85.0	503.00
06/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	14,140.00	600.00	7.00	593.00	85.0	503.00
06/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	6,120.00	300.00	7.00	293.00	85.0	248.00
06/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	75	S	1,740.00	87.00	7.00	80.00	85.0	66.95
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	76.50	S	4,360.00	218.00	7.00	211.00	85.0	178.30
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	76	S	3,460.00	173.00	7.00	166.00	85.0	140.05
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	78.50	S	19,540.00	600.00	7.00	593.00	85.0	503.00
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	15,600.00	600.00	7.00	593.00	85.0	503.00
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	6,440.00	300.00	7.00	293.00	85.0	248.00
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77	S	5,400.00	270.00	7.00	263.00	85.0	222.50
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	75	S	2,480.00	124.00	7.00	117.00	85.0	98.40
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	77.50	S	3,201.00	160.00	7.00	153.00	85.0	129.00
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	75	S	6,073.00	303.00	7.00	296.00	85.0	250.55
06/13/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	78.50	S	8,108.00	405.00	7.00	398.00	85.0	337.25
06/14/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	26,605.71	900.00	7.00	893.00	85.0	758.00
06/14/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	78.50	S	14,144.00	600.00	7.00	593.00	85.0	503.00
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	2,300.00	115.00	7.00	108.00	85.0	90.75
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	15,500.00	600.00	7.00	593.00	85.0	503.00
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	78.50	S	9,400.00	450.00	7.00	443.00	85.0	375.50
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	2,780.00	139.00	7.00	132.00	85.0	111.15
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	S	1,540.00	77.00	7.00	70.00	85.0	58.45
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	77.50	S	5,660.00	283.00	7.00	276.00	85.0	233.55
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	78	S	6,840.00	300.00	7.00	293.00	85.0	248.00
06/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/22/18	78.50	S	3,920.00	196.00	7.00	189.00	85.0	159.60
06/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	77	S	6,000.00	300.00	7.00	293.00	85.0	248.00
06/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	77.50	S	14,800.00	600.00	7.00	593.00	85.0	503.00
06/20/2018	75502141	BOSGANG, ALVIN	PUT C	06/22/18	69	B	264,839.00	0.00	7.00	-7.00	85.0	-7.00
06/21/2018	75502141	BOSGANG, ALVIN	CALL C	06/22/18	68	S	7,680.00	384.00	7.00	377.00	85.0	319.40
06/22/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	76	S	9,080.00	450.00	7.00	443.00	85.0	375.50
06/22/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/06/18	76.50	S	25,980.00	1,050.00	7.00	1,043.00	85.0	885.50
06/27/2018	75502141	BOSGANG, ALVIN	CALL C	06/29/18	68.50	S	7,647.00	0.00	7.00	-7.00	85.0	-7.00
06/27/2018	75502141	BOSGANG, ALVIN	CALL C	06/29/18	68	S	10,720.00	0.00	7.00	-7.00	85.0	-7.00
06/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	77.50	B	563,200.00	0.00	7.00	-7.00	85.0	-7.00
06/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	06/29/18	78.50	B	748,400.00	0.00	7.00	-7.00	85.0	-7.00
06/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	77.50	S	715,554.00	0.00	7.00	-7.00	85.0	-7.00
06/27/2018	75502141	BOSGANG, ALVIN	PUT AABA	07/13/18	78	S	531,700.00	0.00	7.00	-7.00	85.0	-7.00
* OPTIONS SUBTOTAL *						\$4,688,454.79	\$33,747.00	\$1,358.00	\$32,389.00		\$27,326.95	

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$13,185,338.81	\$34,062.00	\$1,582.00	\$32,480.00	\$27,370.70
-----------------------------------	-----------------	-------------	------------	-------------	-------------

ADJUSTMENTS

06/30/2018	OTHER: OTHER-HEALTH INSURANCE	0.00	0.00	0.00	0.00	-556.05
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$27,370.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-42.58
SIPC Assessment	\$-85.16

Check Amount	\$26,686.91
Year-to-date Earnings	\$143,444.36