

**COMMISSION STATEMENT****November 30, 2017**

**BARRY LE MAY**  
 2242 Camden Ave  
 Suite 102  
 San Jose, CA 95124

**BROKER #: L122**  
**BRANCH: AMBAR FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/13/2017	FELDSTEIN, GARY	HPI FUND V	816,326.53	32,653.06	0.00	32,653.06	90.0	29,387.75
<b>* PRIVATE PLACEMENT SUBTOTAL *</b>			<b>\$816,326.53</b>	<b>\$32,653.06</b>	<b>\$0.00</b>	<b>\$32,653.06</b>		<b>\$29,387.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/22/2017	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP CL	0.00	16.26	0.00	16.26	90.0	14.63
11/22/2017	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP CL	0.00	81.32	0.00	81.32	90.0	73.19
11/22/2017	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP CL	0.00	19.90	0.00	19.90	90.0	17.91
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$117.48</b>	<b>\$0.00</b>	<b>\$117.48</b>		<b>\$105.73</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/31/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	14.91	0.00	14.91	90.0	13.42
10/31/2017	MACKENZIE, MICHELLE	BLK GLOBAL ALLOCATION C	0.00	4.97	0.00	4.97	90.0	4.47
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$19.88</b>	<b>\$0.00</b>	<b>\$19.88</b>		<b>\$17.89</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$816,326.53</b>	<b>\$32,790.42</b>	<b>\$0.00</b>	<b>\$32,790.42</b>		<b>\$29,511.37</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$29,511.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$29,511.37
Year-to-date Gross	\$217,417.81
Year-to-date Earnings	\$195,018.25