

STATEMENT
February 20, 2018

RICHARD KLEIN - LPCM
24 Aster Court

BROKER #: 3292
BRANCH: LIBERTY PARTNERS CAP

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
02/01/0018 #538462 TCA KLEIN VAR		LPCM AGGR 40/40/20	B	113,276.69	-56.64	0.00	0.00	-56.64	85.0	-56.64
02/01/0018 #538462 TCA KLEIN VAR		LPCM MOD 30/30/40	B	110,105.87	-55.05	0.00	0.00	-55.05	85.0	-55.05
02/01/2018 #538462 TCA KLEIN VAR		LPCM AGGR 40/40/20	B	113,276.69	231.27	0.00	0.00	231.27	85.0	196.58
02/01/2018 #538462 TCA KLEIN VAR		LPCM MOD 30/30/40	B	110,105.87	224.80	0.00	0.00	224.80	85.0	191.08
* RIA SUBTOTAL *				<u>\$446,765.12</u>	<u>\$344.38</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$344.38</u>		<u>\$275.97</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$446,765.12</u>	<u>\$344.38</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$344.38</u>		<u>\$275.97</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$275.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$275.97
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