



COMMISSION STATEMENT

August 20, 2018

MICHAEL PINEDA
2068 N Emerson St
Denver, CO 80205

BROKER #: B14
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/16/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	BOSTON SCIENTIFIC CORP BUY 125 Units @34.2400	4,280.00	67.75	17.50	50.25	75.0	37.69
08/16/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	NRG ENERGY INC COM NEW SELL 200 Units @33.7900	6,758.00	98.48	19.00	79.48	75.0	59.61
* STOCKS SUBTOTAL *			\$11,038.00	\$166.23	\$36.50	\$129.73		\$97.30
<u>PRODUCT CATEGORY: OPTIONS</u>								
08/17/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: LSR012234	CALL (TWTR) TWITTER INC COM JAN 18 BUY 7 Units @300.0000	2,100.00	62.10	25.00	37.10	75.0	27.83
* OPTIONS SUBTOTAL *			\$2,100.00	\$62.10	\$25.00	\$37.10		\$27.83
<u>PRODUCT CATEGORY: 529 PLAN</u>								
08/20/2018	***-**-4660 - DEFFENBACHER, ACCOUNT #: 0045785219	AGE-BASED OPTION AGE 4-6 CLASS A BUY 0 Units @0.0000	28,000.00	350.00	0.00	350.00	75.0	262.50
* 529 PLAN SUBTOTAL *			\$28,000.00	\$350.00	\$0.00	\$350.00		\$262.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/20/2018	***-**-5948 - OCHSNER, DOUGLAS	LORD ABBETT MUTUAL FUND TRAILS BUY 0 Units @0.0000	0.00	3.78	0.00	3.78	75.0	2.84
08/20/2018	***-**-9610 - MOORE, MARVIN ACCOUNT #: 02400107276	LORD ABBETT MUTUAL FUND TRAILS BUY 0 Units @0.0000	0.00	16.94	0.00	16.94	75.0	12.71
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$20.72	\$0.00	\$20.72		\$15.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/16/2018	***-**-8557 - PANUSKA, ACCOUNT #: P82D5556976	AMERICAN GENERA CUSIP 02640M848 BUY 0 Units @0.0000	0.00	95.51	0.00	95.51	75.0	71.63
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.51	\$0.00	\$95.51		\$71.63
*** BROKER TRANSACTIONS TOTAL ***			\$41,138.00	\$694.56	\$61.50	\$633.06		\$474.81



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$474.81
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$474.81
						Year-to-date Earnings		\$32,171.81