



All Companies

03/14/2018
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COMMISSION STATEMENT

March 15, 2018

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	33.51	0.00	33.51	100.0	33.51
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$33.51	\$0.00	\$33.51		\$33.51
		*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$33.51	\$0.00	\$33.51		\$33.51

SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	105.14	42.06	0.00	42.06	100.0	42.06
02/26/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.20	0.00	0.20	100.0	0.20
02/26/2018	00000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.40	0.00	0.40	100.0	0.40
02/26/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.20	0.00	0.20	100.0	0.20
02/26/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.20	0.00	0.20	100.0	0.20
02/20/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B	B	65.00	0.72	0.00	0.72	100.0	0.72
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,795.29	0.00	1,795.29	100.0	1,795.29
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD	B	62.50	0.69	0.00	0.69	100.0	0.69
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B	B	62.50	0.69	0.00	0.69	100.0	0.69
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	0.69	0.00	0.69	100.0	0.69
02/20/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B	B	62.50	0.69	0.00	0.69	100.0	0.69
03/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	0.85	0.00	0.85	100.0	0.85
03/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	104.00	1.77	0.00	1.77	100.0	1.77
03/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B	B	208.00	3.54	0.00	3.54	100.0	3.54
03/07/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD	B	105.00	1.78	0.00	1.78	100.0	1.78
03/02/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	250.00	1.60	0.00	1.60	100.0	1.60
02/20/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE 2018 FUND-529A	B	50.00	0.70	0.00	0.70	100.0	0.70
03/07/2018	04000826735 WELBORN, THOMAS A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	417.00	7.09	0.00	7.09	100.0	7.09
		* ROTHSCHILD/POOL SUBTOTAL *		\$1,854.14	\$1,859.16	\$0.00	\$1,859.16		\$1,859.16

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
*** SPLIT TRANSACTIONS TOTAL ***				\$1,854.14	\$1,859.16	\$0.00	\$1,859.16		\$1,859.16

VERRIDE TRANSACTIONS**BROKER: JUDE RAMON L. BARCENAS #9620**

02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	144.19	144.19	0.00	144.19		1.44
02/25/2018	TRAILS	-HARTFORD LIFE	B	10.60	10.60	0.00	10.60		0.11
02/28/2018	TRAILS	-HARTFORD LIFE	B	41.47	41.47	0.00	41.47		0.41
12/28/2017	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	41.82	1.36	0.00	1.36		0.01
01/09/2018	CAMAGANACAN, ROSALINA	LINCOLN BENEFIT LIFE	B	48.13	1.57	0.00	1.57		0.02
01/09/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96		0.01
01/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02		0.01
01/17/2018	LABRADOR, THERESA O.	LINCOLN BENEFIT LIFE	B	93.04	3.48	0.00	3.48		0.03
01/18/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	77.00	2.50	0.00	2.50		0.03
01/18/2018	HONRALES, SHIRLEY A.	LINCOLN BENEFIT LIFE	B	93.00	3.02	0.00	3.02		0.03
01/18/2018	GARRIDO, RACHEL E.	LINCOLN BENEFIT LIFE	B	356.00	11.57	0.00	11.57		0.12
01/18/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	49.03	1.59	0.00	1.59		0.02
01/18/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65		0.01
01/18/2018	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13		0.04
01/19/2018	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	96.78	3.15	0.00	3.15		0.03
01/21/2018	TRAILS	LINCOLN BENEFIT LIFE	B	56.76	56.76	0.00	56.76		0.57
01/25/2018	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17		0.03
01/25/2018	TRAILS	LINCOLN BENEFIT LIFE	B	54.45	54.45	0.00	54.45		0.54
01/28/2018	TRAILS	LINCOLN BENEFIT LIFE	B	18.14	18.14	0.00	18.14		0.18
02/01/2018	PABRUADA, MARIA EDNA	LINCOLN BENEFIT LIFE	B	94.82	3.08	0.00	3.08		0.03
02/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64		0.03
01/31/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.50	4.50	0.00	4.50		0.05
02/28/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.31	4.31	0.00	4.31		0.04
02/20/2018	000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50		0.01
02/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50		0.03
02/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50		0.03
03/01/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		0.00	4.79	0.00	4.79		0.05
03/01/2018	00059942585 SEGOVIA, ARTURO	AMERICAN FUNDS EURO PACIFIC GROWT B		0.00	3.74	0.00	3.74		0.04
03/01/2018	00059942585 SEGOVIA, ARTURO	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	5.02	0.00	5.02		0.05
03/01/2018	00059962528 SAPUNTO, CECILIA	AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	5.40	0.00	5.40		0.05
03/01/2018	00059962528 SAPUNTO, CECILIA	AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	18.63	0.00	18.63		0.19
03/01/2018	00059962528 SAPUNTO, CECILIA	AMERICAN FUNDS INVESTMENT COMP OF A B		0.00	10.96	0.00	10.96		0.11
03/01/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	23.12	0.00	23.12		0.23
03/01/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	20.86	0.00	20.86		0.21
03/01/2018	00060664510 LIJAUCO, ROMARICO	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	57.35	0.00	57.35		0.57

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
03/01/2018	00060664510	LIJAUCO, ROMARICO	NEW WORLD FUND-A	B	0.00	43.85	0.00	43.85	0.44
03/01/2018	00060805753	HONRALES, EDWIN	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	19.54	0.00	19.54	0.20
03/01/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	13.26	0.00	13.26	0.13
03/01/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD	BD FD B	0.00	8.05	0.00	8.05	0.08
03/01/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR	FD B	0.00	9.14	0.00	9.14	0.09
03/01/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF	AMERI B	0.00	11.39	0.00	11.39	0.11
03/01/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	15.11	0.00	15.11	0.15
03/01/2018	00061689866	JAVELOSA, SANDRA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	28.48	0.00	28.48	0.28
		M.							
03/01/2018	00061881018	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	0.95	0.00	0.95	0.01
03/01/2018	00061881018	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS CAPITAL WORLD	BD FD B	0.00	0.61	0.00	0.61	0.01
03/01/2018	00061881018	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS HIGH INCOME TR	FD B	0.00	0.69	0.00	0.69	0.01
03/01/2018	00061881018	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS INCOME FD OF	AMERI B	0.00	0.85	0.00	0.85	0.01
03/01/2018	00061881018	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	1.16	0.00	1.16	0.01
03/01/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	6.18	0.00	6.18	0.06
		M.							
03/01/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	7.62	0.00	7.62	0.08
		M.							
03/01/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS HIGH INCOME TR	FD B	0.00	4.79	0.00	4.79	0.05
		M.							
03/01/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS INCOME FD OF	AMERI B	0.00	2.71	0.00	2.71	0.03
		M.							
03/01/2018	00062049074	CALUNGSUD, KELLY	NEW WORLD FUND-A	B	0.00	5.25	0.00	5.25	0.05
		M.							
03/01/2018	00062241870	CRUZ, HARRY	AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	2.33	0.00	2.33	0.02
03/01/2018	00062241870	CRUZ, HARRY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	1.38	0.00	1.38	0.01
03/01/2018	00062356543	MAZA, LEMUEL	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	17.35	0.00	17.35	0.17
03/01/2018	00062720294	MAZA, LEANDRO	AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	2.22	0.00	2.22	0.02
03/01/2018	00062720294	MAZA, LEANDRO	AMERICAN FUNDS HIGH INCOME TR	FD B	0.00	1.41	0.00	1.41	0.01
03/01/2018	00062720294	MAZA, LEANDRO	AMERICAN FUNDS INCOME FD OF	AMERI B	0.00	2.23	0.00	2.23	0.02
03/01/2018	00062720294	MAZA, LEANDRO	NEW WORLD FUND-A	B	0.00	1.34	0.00	1.34	0.01
03/01/2018	00063253307	PAGADUAN, JOY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	3.63	0.00	3.63	0.04
03/01/2018	00063669126	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS BD FD OF AMER	FD B	0.00	6.53	0.00	6.53	0.07
03/01/2018	00063669126	FILOTEO-GONZALES, JUDELIA	AMERICAN FUNDS HIGH INCOME TR	FD B	0.00	8.10	0.00	8.10	0.08

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
	JUDELIA								
03/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS INCOME SER US GOVT B		0.00	6.03	0.00	6.03		0.06
	JUDELIA								
03/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS INTERM BD FD OF AMER B		0.00	5.81	0.00	5.81		0.06
	JUDELIA								
03/01/2018	00063669126	FILOTEO-GONZALES, AMERICAN FUNDS SMALL CAP WORLD FD B		0.00	12.09	0.00	12.09		0.12
	JUDELIA								
03/01/2018	00063819382	UNTIL AGE 18, AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	1.71	0.00	1.71		0.02
	CA/UTMA								
03/01/2018	00064071443	LABRADOR, AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	0.61	0.00	0.61		0.01
	THERESA/DANIEL								
03/01/2018	00064071443	LABRADOR, AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	1.06	0.00	1.06		0.01
	THERESA/DANIEL								
03/01/2018	00064071443	LABRADOR, AMERICAN FUNDS NEW ECONOMY FD B		0.00	1.76	0.00	1.76		0.02
	THERESA/DANIEL								
03/01/2018	00064568964	EVASCO, FLORENIE AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	0.57	0.00	0.57		0.01
03/01/2018	00064568964	EVASCO, FLORENIE AMERICAN FUNDS EURO PACIFIC GROWT B		0.00	0.55	0.00	0.55		0.01
03/01/2018	00064568964	EVASCO, FLORENIE AMERICAN FUNDS GROWTH FUND OF AMERI B		0.00	0.79	0.00	0.79		0.01
03/01/2018	00064568964	EVASCO, FLORENIE AMERICAN FUNDS HIGH INCOME TR FD B		0.00	0.53	0.00	0.53		0.01
03/01/2018	00064568964	EVASCO, FLORENIE NEW WORLD FUND-A B		0.00	0.54	0.00	0.54		0.01
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN FUNDS AMERICAN MUTUAL FD B		0.00	2.08	0.00	2.08		0.02
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	1.20	0.00	1.20		0.01
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN FUNDS HIGH INCOME TR FD B		0.00	1.39	0.00	1.39		0.01
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN FUNDS INCOME FD OF AMERI B		0.00	1.81	0.00	1.81		0.02
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN FUNDS NEW ECONOMY FD B		0.00	2.35	0.00	2.35		0.02
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN HIGH-INCOME TRUST-C B		0.00	15.11	0.00	15.11		0.15
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO AMERICAN MUTUAL FUND-C B		0.00	20.86	0.00	20.86		0.21
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO CAPITAL WORLD BOND FUND-C B		0.00	12.92	0.00	12.92		0.13
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO THE INCOME FUND OF AMERICA-C B		0.00	17.89	0.00	17.89		0.18
	L.								
03/01/2018	00066945809	BARCENAS, RODOLFO THE NEW ECONOMY FUND-C B		0.00	23.43	0.00	23.43		0.23
	L.								

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
03/01/2018	00067188831 CHUA, MARIPAZ	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.99	0.00	1.99		0.02
03/01/2018	00067188831 CHUA, MARIPAZ	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.62	0.00	0.62		0.01
03/01/2018	00067188831 CHUA, MARIPAZ	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	1.69	0.00	1.69		0.02
03/01/2018	00067188831 CHUA, MARIPAZ	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	1.09	0.00	1.09		0.01
03/01/2018	00067188833 LILY M CHUA, MARIA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.10	0.00	1.10		0.01
03/01/2018	00067188833 LILY M CHUA, MARIA	AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	0.78	0.00	0.78		0.01
03/01/2018	00067188833 LILY M CHUA, MARIA	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.85	0.00	0.85		0.01
03/01/2018	00067188833 LILY M CHUA, MARIA	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	0.99	0.00	0.99		0.01
03/01/2018	00067188833 LILY M CHUA, MARIA	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	1.15	0.00	1.15		0.01
03/01/2018	00068666177 HONRALES, EDWIN/RICK	AMCAP FUND-529A	B	0.00	1.72	0.00	1.72		0.02
03/01/2018	00068666177 HONRALES, EDWIN/RICK	AMERICAN BALANCED FUND-529A	B	0.00	1.41	0.00	1.41		0.01
03/01/2018	00068666177 HONRALES, EDWIN/RICK	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	8.63	0.00	8.63		0.09
03/01/2018	00068666177 HONRALES, EDWIN/RICK	EUROPACIFIC GROWTH FUND-529A	B	0.00	9.10	0.00	9.10		0.09
03/01/2018	00068666177 HONRALES, EDWIN/RICK	NEW WORLD FUND-529A	B	0.00	7.42	0.00	7.42		0.07
03/01/2018	00068666177 HONRALES, EDWIN/RICK	THE GROWTH FUND OF AMERICA-529A	B	0.00	10.81	0.00	10.81		0.11
03/01/2018	00068666177 HONRALES, EDWIN/RICK	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	1.36	0.00	1.36		0.01
03/01/2018	00068666179 HONRALES, EDWIN	AMCAP FUND-529A	B	0.00	2.95	0.00	2.95		0.03
03/01/2018	00068666179 HONRALES, EDWIN	AMERICAN BALANCED FUND-529A	B	0.00	2.08	0.00	2.08		0.02
03/01/2018	00068666179 HONRALES, EDWIN	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	10.71	0.00	10.71		0.11
03/01/2018	00068666179 HONRALES, EDWIN	EUROPACIFIC GROWTH FUND-529A	B	0.00	9.63	0.00	9.63		0.10
03/01/2018	00068666179 HONRALES, EDWIN	NEW WORLD FUND-529A	B	0.00	9.41	0.00	9.41		0.09
03/01/2018	00068666179 HONRALES, EDWIN	THE GROWTH FUND OF AMERICA-529A	B	0.00	13.56	0.00	13.56		0.14
03/01/2018	00068666179 HONRALES, EDWIN	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	2.32	0.00	2.32		0.02
03/01/2018	00068666181 HONRALES, EDWIN/MARK	AMCAP FUND-529A	B	0.00	2.87	0.00	2.87		0.03
03/01/2018	00068666181 HONRALES, EDWIN/MARK	AMERICAN BALANCED FUND-529A	B	0.00	2.00	0.00	2.00		0.02
03/01/2018	00068666181 HONRALES, EDWIN/MARK	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	15.02	0.00	15.02		0.15
03/01/2018	00068666181 HONRALES, EDWIN/MARK	EUROPACIFIC GROWTH FUND-529A	B	0.00	16.47	0.00	16.47		0.16
03/01/2018	00068666181 HONRALES, EDWIN/MARK	NEW WORLD FUND-529A	B	0.00	13.02	0.00	13.02		0.13

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
03/01/2018	00068666181 HONRALES, EDWIN/MARK	THE GROWTH FUND OF AMERICA-529A	B	0.00	19.26	0.00	19.26		0.19
03/01/2018	00068666181 HONRALES, EDWIN/MARK	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	2.25	0.00	2.25		0.02
03/01/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	0.00	0.69	0.00	0.69		0.01
03/01/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	0.00	1.43	0.00	1.43		0.01
03/01/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	0.00	0.88	0.00	0.88		0.01
03/01/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN FUNDS - GENERAL	B	0.00	5.47	0.00	5.47		0.05
03/01/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN MUTUAL FUND-529A	B	0.00	4.35	0.00	4.35		0.04
03/01/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	CAPITAL WORLD BOND FUND-529A	B	0.00	4.85	0.00	4.85		0.05
03/01/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE INCOME FUND OF AMERICA-529A	B	0.00	5.58	0.00	5.58		0.06
03/01/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE NEW ECONOMY FUND-529A	B	0.00	8.04	0.00	8.04		0.08
03/01/2018	00075871895 SEGUNDO, LORENCE/DANIEL	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	6.98	0.00	6.98		0.07
03/01/2018	00075871895 SEGUNDO, LORENCE/DANIEL	NEW WORLD FUND-529A	B	0.00	0.83	0.00	0.83		0.01
03/01/2018	00075871895 SEGUNDO, LORENCE/DANIEL	THE GROWTH FUND OF AMERICA-529A	B	0.00	1.00	0.00	1.00		0.01
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
03/01/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	2.56	0.00	2.56		0.03
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20		0.01
03/01/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	1.14	0.00	1.14		0.01
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
03/01/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.35	0.00	1.35		0.01
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50		0.02
03/01/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	2.22	0.00	2.22		0.02
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
03/01/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	2.33	0.00	2.33		0.02
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50		0.02
03/01/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	7.12	0.00	7.12		0.07
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20		0.01
03/01/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	0.00	4.52	0.00	4.52		0.05
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20		0.01
03/01/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	5.12	0.00	5.12		0.05
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50		0.02

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
03/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS INCOME FD OF AMERI B		0.00	6.23	0.00	6.23		0.06
02/20/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50		0.02
03/01/2018	00075984620	GARCIA, MARIVIC D. AMERICAN FUNDS NEW ECONOMY FD	B	0.00	8.08	0.00	8.08		0.08
03/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.98	0.00	1.98		0.02
	JANE								
03/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	1.19	0.00	1.19		0.01
	JANE								
03/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	3.10	0.00	3.10		0.03
	JANE								
03/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS INCOME FD OF AMERI B		0.00	1.73	0.00	1.73		0.02
	JANE								
03/01/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS NEW ECONOMY FD	B	0.00	4.52	0.00	4.52		0.05
	JANE								
03/01/2018	00082591851	POLLARD, PARKER CAPITAL INCOME BUILDER-529A	B	0.00	9.81	0.00	9.81		0.10
03/01/2018	00082591851	POLLARD, PARKER CAPITAL WORLD GROWTH AND INCOME-529 B		0.00	11.64	0.00	11.64		0.12
03/01/2018	00082732703	CABRERA, IVY AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	5.23	0.00	5.23		0.05
03/01/2018	00082732703	CABRERA, IVY AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	1.81	0.00	1.81		0.02
03/01/2018	00082732703	CABRERA, IVY AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	2.05	0.00	2.05		0.02
03/01/2018	00082732703	CABRERA, IVY AMERICAN FUNDS INCOME FD OF AMERI B		0.00	2.54	0.00	2.54		0.03
03/01/2018	00082732703	CABRERA, IVY AMERICAN FUNDS NEW ECONOMY FD	B	0.00	3.45	0.00	3.45		0.03
03/01/2018	00083580212	MASINAG, AMERICAN FUNDS - GENERAL	B	0.00	5.75	0.00	5.75		0.06
	NELSON/KEVIN								
03/01/2018	00083580212	MASINAG, AMERICAN MUTUAL FUND-529A	B	0.00	7.61	0.00	7.61		0.08
	NELSON/KEVIN								
03/01/2018	00083580212	MASINAG, CAPITAL WORLD BOND FUND-529A	B	0.00	5.16	0.00	5.16		0.05
	NELSON/KEVIN								
03/01/2018	00083580212	MASINAG, THE INCOME FUND OF AMERICA-529A	B	0.00	6.74	0.00	6.74		0.07
	NELSON/KEVIN								
03/01/2018	00083580212	MASINAG, THE NEW ECONOMY FUND-529A	B	0.00	8.49	0.00	8.49		0.08
	NELSON/KEVIN								
03/01/2018	00083678452	SUANTE, SOPHIA AMERICAN HIGH-INCOME TRUST-529C	B	0.00	1.59	0.00	1.59		0.02
03/01/2018	00083678452	SUANTE, SOPHIA AMERICAN MUTUAL FUND-529C	B	0.00	2.38	0.00	2.38		0.02
03/01/2018	00083678452	SUANTE, SOPHIA CAPITAL WORLD BOND FUND-529C	B	0.00	1.39	0.00	1.39		0.01
03/01/2018	00083678452	SUANTE, SOPHIA THE INCOME FUND OF AMERICA-529C	B	0.00	2.03	0.00	2.03		0.02
03/01/2018	00083678452	SUANTE, SOPHIA THE NEW ECONOMY FUND-529C	B	0.00	2.74	0.00	2.74		0.03
03/01/2018	00083740054	RYAN, AMERICAN FUNDS INCOME FD OF AMERI B		0.00	0.94	0.00	0.94		0.01
	JEFF/CATHERINE								
03/01/2018	00083864020	SEGOVIA, ARTURO AMERICAN FUNDS CAPITAL WORLD BD FD B		0.00	4.34	0.00	4.34		0.04
03/01/2018	00083864020	SEGOVIA, ARTURO AMERICAN FUNDS INCOME FD OF AMERI B		0.00	6.37	0.00	6.37		0.06
03/01/2018	00083864020	SEGOVIA, ARTURO AMERICAN FUNDS NEW ECONOMY FD	B	0.00	8.69	0.00	8.69		0.09

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
03/01/2018	00084044565 HESKES, ALMA	AMERICAN FUNDS BD FD OF AMER FD	B	0.00	6.43	0.00	6.43		0.06
03/01/2018	00084044565 HESKES, ALMA	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.74	0.00	1.74		0.02
03/01/2018	00084044565 HESKES, ALMA	AMERICAN FUNDS INCOME SER US GOVT	B	0.00	5.99	0.00	5.99		0.06
03/01/2018	00084044565 HESKES, ALMA	AMERICAN FUNDS INTERM BD FD OF AMER	B	0.00	7.34	0.00	7.34		0.07
03/01/2018	00084044565 HESKES, ALMA	AMERICAN FUNDS SMALL CAP WORLD FD	B	0.00	0.84	0.00	0.84		0.01
03/01/2018	00084417702 GOULD, GAYLE	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.61	0.00	0.61		0.01
03/01/2018	00085777888 BABAO, ROMMEL/ISABELLE	AMERICAN BALANCED FUND-529C	B	0.00	7.87	0.00	7.87		0.08
03/01/2018	00085777888 BABAO, ROMMEL/ISABELLE	CAPITAL INCOME BUILDER-529C	B	0.00	2.42	0.00	2.42		0.02
03/01/2018	00085777888 BABAO, ROMMEL/ISABELLE	FUNDAMENTAL INVESTORS-529C	B	0.00	3.48	0.00	3.48		0.03
03/01/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C	B	0.00	18.75	0.00	18.75		0.19
03/01/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C	B	0.00	15.19	0.00	15.19		0.15
03/01/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C	B	0.00	11.47	0.00	11.47		0.11
03/01/2018	00085777916 BABAO, ROMMEL/RAPHAEL	FUNDAMENTAL INVESTORS-529C	B	0.00	20.72	0.00	20.72		0.21
03/01/2018	00085777916 BABAO, ROMMEL/RAPHAEL	INTERMEDIATE BOND FUND OF AMERICA-5	B	0.00	8.67	0.00	8.67		0.09
03/01/2018	00085777916 BABAO, ROMMEL/RAPHAEL	NEW PERSPECTIVE FUND-529C	B	0.00	22.24	0.00	22.24		0.22
03/01/2018	00085777916 BABAO, ROMMEL/RAPHAEL	THE GROWTH FUND OF AMERICA-529C	B	0.00	32.85	0.00	32.85		0.33
03/01/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	0.00	2.57	0.00	2.57		0.03
03/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
03/01/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	0.00	2.15	0.00	2.15		0.02
03/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
03/01/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	0.00	2.73	0.00	2.73		0.03
03/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
03/01/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	0.00	2.81	0.00	2.81		0.03
03/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02
03/01/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	0.00	3.10	0.00	3.10		0.03
03/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
03/01/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	0.00	2.57	0.00	2.57		0.03
03/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
03/01/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	0.00	2.15	0.00	2.15		0.02
03/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
Override Transactions										
BROKER: JUDE RAMON L. BARCENAS #9620										
03/01/2018	00086483875	KIM, ANDREW		AMERICAN MUTUAL FUND-529A	B	0.00	2.73	0.00	2.73	0.03
03/02/2018	00086483875	KIM, ANDREW		AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	0.02
03/01/2018	00086483875	KIM, ANDREW		NEW PERSPECTIVE FUND-529A	B	0.00	2.81	0.00	2.81	0.03
03/02/2018	00086483875	KIM, ANDREW		NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	0.02
03/01/2018	00086483875	KIM, ANDREW		THE NEW ECONOMY FUND-529A	B	0.00	3.10	0.00	3.10	0.03
03/02/2018	00086483875	KIM, ANDREW		THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	0.02
03/01/2018	00086615870	MELLIZA, MARIE-MAY		AMERICAN HIGH-INCOME TRUST-C	B	0.00	3.18	0.00	3.18	0.03
03/01/2018	00086615870	MELLIZA, MARIE-MAY		AMERICAN MUTUAL FUND-C	B	0.00	4.39	0.00	4.39	0.04
03/01/2018	00086615870	MELLIZA, MARIE-MAY		CAPITAL WORLD BOND FUND-C	B	0.00	2.80	0.00	2.80	0.03
03/01/2018	00086615870	MELLIZA, MARIE-MAY		THE INCOME FUND OF AMERICA-C	B	0.00	3.93	0.00	3.93	0.04
03/01/2018	00086615870	MELLIZA, MARIE-MAY		THE NEW ECONOMY FUND-C	B	0.00	5.32	0.00	5.32	0.05
03/01/2018	00086618081	BARROS, FLORA		AMERICAN HIGH-INCOME TRUST-C	B	0.00	21.52	0.00	21.52	0.22
03/01/2018	00086618081	BARROS, FLORA		AMERICAN MUTUAL FUND-C	B	0.00	37.86	0.00	37.86	0.38
03/01/2018	00086618081	BARROS, FLORA		CAPITAL WORLD BOND FUND-C	B	0.00	17.36	0.00	17.36	0.17
03/01/2018	00086618081	BARROS, FLORA		THE INCOME FUND OF AMERICA-C	B	0.00	30.61	0.00	30.61	0.31
03/01/2018	00086618081	BARROS, FLORA		THE NEW ECONOMY FUND-C	B	0.00	44.96	0.00	44.96	0.45
03/01/2018	00086861357	ROMERO, ADRIENNE		AMCAP FUND-529A	B	0.00	3.97	0.00	3.97	0.04
03/01/2018	00086861357	ROMERO, ADRIENNE		AMERICAN BALANCED FUND-529A	B	0.00	3.72	0.00	3.72	0.04
03/01/2018	00086861357	ROMERO, ADRIENNE		AMERICAN FUNDS - GENERAL	B	0.00	4.08	0.00	4.08	0.04
03/01/2018	00086861357	ROMERO, ADRIENNE		AMERICAN MUTUAL FUND-529A	B	0.00	9.69	0.00	9.69	0.10
03/01/2018	00086861357	ROMERO, ADRIENNE		CAPITAL INCOME BUILDER-529A	B	0.00	3.36	0.00	3.36	0.03
03/01/2018	00086861357	ROMERO, ADRIENNE		CAPITAL WORLD BOND FUND-529A	B	0.00	3.59	0.00	3.59	0.04
03/01/2018	00086861357	ROMERO, ADRIENNE		THE INCOME FUND OF AMERICA-529A	B	0.00	8.62	0.00	8.62	0.09
03/01/2018	00086861357	ROMERO, ADRIENNE		THE NEW ECONOMY FUND-529A	B	0.00	6.89	0.00	6.89	0.07
03/01/2018	00086861387	ROMERO, ADRIENNE		AMCAP FUND-529A	B	0.00	3.97	0.00	3.97	0.04
03/01/2018	00086861387	ROMERO, ADRIENNE		AMERICAN BALANCED FUND-529A	B	0.00	3.72	0.00	3.72	0.04
03/01/2018	00086861387	ROMERO, ADRIENNE		AMERICAN FUNDS - GENERAL	B	0.00	4.08	0.00	4.08	0.04
03/01/2018	00086861387	ROMERO, ADRIENNE		AMERICAN MUTUAL FUND-529A	B	0.00	9.69	0.00	9.69	0.10
03/01/2018	00086861387	ROMERO, ADRIENNE		CAPITAL INCOME BUILDER-529A	B	0.00	3.36	0.00	3.36	0.03
03/01/2018	00086861387	ROMERO, ADRIENNE		CAPITAL WORLD BOND FUND-529A	B	0.00	3.59	0.00	3.59	0.04
03/01/2018	00086861387	ROMERO, ADRIENNE		THE INCOME FUND OF AMERICA-529A	B	0.00	8.62	0.00	8.62	0.09
03/01/2018	00086861387	ROMERO, ADRIENNE		THE NEW ECONOMY FUND-529A	B	0.00	6.89	0.00	6.89	0.07
03/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS AMCAP FD	B	0.00	1.93	0.00	1.93	0.02
03/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.86	0.00	1.86	0.02
03/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	1.39	0.00	1.39	0.01
03/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS INCOME FD OF AMERI	B	0.00	1.65	0.00	1.65	0.02
03/01/2018	00087191120	ALONZO, KELLY		AMERICAN FUNDS NEW PERSPECTIVE FD	B	0.00	1.83	0.00	1.83	0.02
03/01/2018	00087340727	ROMERO, CASEY		AMERICAN FUNDS AMCAP FD	B	0.00	1.03	0.00	1.03	0.01
03/01/2018	00087340727	ROMERO, CASEY		AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	0.98	0.00	0.98	0.01
03/01/2018	00087340727	ROMERO, CASEY		AMERICAN FUNDS CAPITAL INCOME BUILD B	B	0.00	0.79	0.00	0.79	0.01

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
03/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.70	0.00	0.70		0.01
03/01/2018	00087340727 ROMERO, CASEY	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	1.12	0.00	1.12		0.01
03/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS AMCAP FD	B	0.00	4.26	0.00	4.26		0.04
03/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	4.05	0.00	4.05		0.04
03/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	0.00	3.47	0.00	3.47		0.03
03/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	3.24	0.00	3.24		0.03
03/01/2018	00087489395 AGNES, NATHAN A CHUA	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	4.47	0.00	4.47		0.04
03/01/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	0.00	2.55	0.00	2.55		0.03
03/01/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	0.00	2.47	0.00	2.47		0.02
03/01/2018	00088170452 CONSULTING, NEW	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	4.93	0.00	4.93		0.05
03/01/2018	00088170452 CONSULTING, NEW	AMERICAN FUNDS INTERM BD FD OF AMER B	B	0.00	2.26	0.00	2.26		0.02
02/22/2018	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD	CL A B	220.00	11.00	0.00	11.00		0.11
03/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	0.00	1.15	0.00	1.15		0.01
03/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	0.00	1.39	0.00	1.39		0.01
03/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS HIGH INCOME TR FD	B	0.00	0.86	0.00	0.86		0.01
03/01/2018	00089231910 CAVA, NIEVES N.	AMERICAN FUNDS INCOME FD OF AMERI B	B	0.00	1.13	0.00	1.13		0.01
03/01/2018	00089231910 CAVA, NIEVES N.	NEW WORLD FUND-A	B	0.00	0.92	0.00	0.92		0.01
03/05/2018	08695384489 KYSER, DICK DWAYNE	COLUMBIA SELIGMAN COMM & INFO FD A B	B	0.00	4.87	0.00	4.87		0.05
* JUDE RAMON L. BARCENAS SUBTOTAL *				\$3,003.42	\$1,810.46	\$0.00	\$1,810.46		\$18.17
BROKER: MATTHEW THOMAS KUNZ #9631									
02/28/2018	TRAILS	CHET ADVISOR 529 PLAN	B	0.93	0.93	0.00	0.93		0.01
03/01/2018	GIANNINO, RICHARD & ELLEN	CHET ADVISOR 529 PLAN	B	50.00	1.26	0.00	1.26		0.01
03/01/2018	00087242535 VOGEL, VICKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.43	0.00	1.43		0.01
03/01/2018	00087242535 VOGEL, VICKE	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	0.00	1.45	0.00	1.45		0.01
03/01/2018	00087242535 VOGEL, VICKE	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	0.00	1.67	0.00	1.67		0.02
03/01/2018	00087242535 VOGEL, VICKE	GLOBAL BALANCED FUND-A	B	0.00	1.49	0.00	1.49		0.01
03/01/2018	04000012560 VOGEL, VICKE L.	AMERICAN FUNDS AMCAP FD	B	0.00	4.18	0.00	4.18		0.04
03/01/2018	04000012560 VOGEL, VICKE L.	AMERICAN FUNDS BALANCED FD	B	0.00	3.85	0.00	3.85		0.04
03/01/2018	04000012560 VOGEL, VICKE L.	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	0.00	4.19	0.00	4.19		0.04
03/01/2018	04000012560 VOGEL, VICKE L.	AMERICAN FUNDS FUNDAMENTAL INVES B	B	0.00	4.16	0.00	4.16		0.04
* MATTHEW THOMAS KUNZ SUBTOTAL *				\$50.93	\$24.61	\$0.00	\$24.61		\$0.23

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
BROKER: JOHN HOWARD RUSSELL #9632									
03/02/2018	TRAILS	-JOHN HANCOCK	B	15.38	15.38	0.00	15.38		0.15
03/01/2018	00059296818 KUETHE, LOURDES R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	31.16	0.00	31.16		0.31
03/01/2018	00059296818 KUETHE, LOURDES R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	15.98	0.00	15.98		0.16
03/01/2018	00059296818 KUETHE, LOURDES R.	AMERICAN FUNDS INVESTMENT COMP OF A	B	0.00	32.21	0.00	32.21		0.32
03/01/2018	00060781247 STINNETT, DOROTHY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	13.34	0.00	13.34		0.13
03/01/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	21.67	0.00	21.67		0.22
03/01/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	76.29	0.00	76.29		0.76
03/01/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL INVES	B	0.00	108.62	0.00	108.62		1.09
03/01/2018	00064112804 FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT	B	0.00	62.17	0.00	62.17		0.62
03/05/2018	00064112804 FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT	B	333.33	9.17	0.00	9.17		0.09
03/01/2018	00066788819 DUNN, CHESTER R	AMERICAN FUNDS EURO PACIFIC GROWT	B	0.00	42.85	0.00	42.85		0.43
03/01/2018	00066848275 DUNN, BECKY	AMERICAN FUNDS FUNDAMENTAL INVES	B	0.00	57.79	0.00	57.79		0.58
03/01/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	0.00	50.30	0.00	50.30		0.50
03/01/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS NEW ECONOMY FD	B	0.00	55.63	0.00	55.63		0.56
03/01/2018	00067425273 GREEN, ARTHUR C	NEW WORLD FUND-A	B	0.00	54.42	0.00	54.42		0.54
03/01/2018	00067781164 THUETT, RONALD/CAELAN	AMERICAN BALANCED FUND-529A	B	0.00	4.32	0.00	4.32		0.04
03/01/2018	00067781164 THUETT, RONALD/CAELAN	AMERICAN BALANCED FUND-529C	B	0.00	2.12	0.00	2.12		0.02
03/01/2018	00067781165 THUETT, RONALD/CAELAN	AMERICAN BALANCED FUND-529C	B	0.00	13.22	0.00	13.22		0.13
03/01/2018	00067852430 SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	0.00	6.73	0.00	6.73		0.07
03/07/2018	00067852430 SADLER, PAULA/TAYLOR	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
03/01/2018	00067852431 SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	0.00	10.20	0.00	10.20		0.10
03/07/2018	00067852431 SADLER, PAULA/HAYDEN	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
03/01/2018	00067852432 SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	0.00	6.73	0.00	6.73		0.07
03/07/2018	00067852432 SADLER, PAULA/MICHAEL	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
03/01/2018	00068322473 BOZEMAN, HELEN J.	AMERICAN FUNDS AMCAP FD	B	0.00	28.07	0.00	28.07		0.28
03/01/2018	00068332091 BOZEMAN, LARRY K.	AMERICAN FUNDS FUNDAMENTAL INVES	B	0.00	21.34	0.00	21.34		0.21
03/01/2018	00068523161 HILDEBRAND, IRMA C	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	6.20	0.00	6.20		0.06
03/01/2018	00068523162 HILDEBRAND, LEROY H	AMERICAN FUNDS CAPITAL INCOME BUILD	B	0.00	6.20	0.00	6.20		0.06
03/01/2018	00068646098 SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	0.00	6.34	0.00	6.34		0.06

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JOHN HOWARD RUSSELL #9632									
03/07/2018	00068646098 SADLER, PAULA/NATALIE	CAPITAL INCOME BUILDER-529A	B	100.00	3.75	0.00	3.75		0.04
03/01/2018	00069051773 SHELBY, LETITIA/ELLEN	AMERICAN MUTUAL FUND-529A	B	0.00	4.46	0.00	4.46		0.04
03/01/2018	00069051773 SHELBY, LETITIA/ELLEN	AMERICAN MUTUAL FUND-529C	B	0.00	2.18	0.00	2.18		0.02
03/01/2018	00069051773 SHELBY, LETITIA/ELLEN	THE INCOME FUND OF AMERICA-529A	B	0.00	11.33	0.00	11.33		0.11
03/01/2018	00069051773 SHELBY, LETITIA/ELLEN	THE INCOME FUND OF AMERICA-529C	B	0.00	5.55	0.00	5.55		0.06
03/01/2018	00069051774 SHELBY, LETITIA/ESTER	AMERICAN MUTUAL FUND-529A	B	0.00	8.95	0.00	8.95		0.09
03/01/2018	00069051774 SHELBY, LETITIA/ESTER	AMERICAN MUTUAL FUND-529C	B	0.00	4.36	0.00	4.36		0.04
03/01/2018	00069051774 SHELBY, LETITIA/ESTER	THE INCOME FUND OF AMERICA-529A	B	0.00	7.55	0.00	7.55		0.08
03/01/2018	00069051774 SHELBY, LETITIA/ESTER	THE INCOME FUND OF AMERICA-529C	B	0.00	3.70	0.00	3.70		0.04
03/01/2018	00069051775 SHELBY, LETITIA/JESAJA	AMERICAN MUTUAL FUND-529A	B	0.00	8.95	0.00	8.95		0.09
03/01/2018	00069051775 SHELBY, LETITIA/JESAJA	AMERICAN MUTUAL FUND-529C	B	0.00	4.36	0.00	4.36		0.04
03/01/2018	00069051775 SHELBY, LETITIA/JESAJA	THE INCOME FUND OF AMERICA-529A	B	0.00	7.55	0.00	7.55		0.08
03/01/2018	00069051775 SHELBY, LETITIA/JESAJA	THE INCOME FUND OF AMERICA-529C	B	0.00	3.70	0.00	3.70		0.04
03/01/2018	00073302989 RUSSELL, DAVID/NICKY	CAPITAL INCOME BUILDER-529C	B	0.00	18.23	0.00	18.23		0.18
03/01/2018	00073302989 RUSSELL, DAVID/NICKY	FUNDAMENTAL INVESTORS-529C	B	0.00	63.76	0.00	63.76		0.64
03/01/2018	00073302989 RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529A	B	0.00	4.59	0.00	4.59		0.05
03/01/2018	00073302989 RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	0.00	72.09	0.00	72.09		0.72
03/01/2018	00073396487 HAMILTON, JAMES/REED	AMERICAN MUTUAL FUND-529C	B	0.00	17.45	0.00	17.45		0.17
03/01/2018	00073396487 HAMILTON, JAMES/REED	THE INCOME FUND OF AMERICA-529C	B	0.00	51.05	0.00	51.05		0.51
02/20/2018	00073404499 SULLIVAN/POTTS, SHERRY/MEGAN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00		0.01

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
03/01/2018	00073404499 SULLIVAN/POTTS, SHERRY/MEGAN	NEW WORLD FUND-529A	B	0.00	4.54	0.00	4.54		0.05
03/01/2018	00073404574 SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	0.00	2.90	0.00	2.90		0.03
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	AMERICAN BALANCED FUND-529A	B	0.00	10.73	0.00	10.73		0.11
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	AMERICAN BALANCED FUND-529C	B	0.00	5.25	0.00	5.25		0.05
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	FUNDAMENTAL INVESTORS-529A	B	0.00	32.83	0.00	32.83		0.33
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	FUNDAMENTAL INVESTORS-529C	B	0.00	15.72	0.00	15.72		0.16
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	NEW WORLD FUND-529A	B	0.00	11.69	0.00	11.69		0.12
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	NEW WORLD FUND-529C	B	0.00	5.58	0.00	5.58		0.06
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	THE BOND FUND OF AMERICA-529A	B	0.00	4.34	0.00	4.34		0.04
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	THE BOND FUND OF AMERICA-529C	B	0.00	2.19	0.00	2.19		0.02
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	THE INCOME FUND OF AMERICA-529A	B	0.00	11.98	0.00	11.98		0.12
03/01/2018	00073548656 ZOBECK, VIRGINIA/MICHAEL	THE INCOME FUND OF AMERICA-529C	B	0.00	5.87	0.00	5.87		0.06
03/01/2018	00073552220 BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529 B	B	0.00	16.91	0.00	16.91		0.17
03/01/2018	00073552220 BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52 B	B	0.00	17.41	0.00	17.41		0.17
03/01/2018	00073622389 SULLIVAN, EDWARD P	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	0.00	4.25	0.00	4.25		0.04
03/01/2018	00073622480 SULLIVAN, SHERRY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	0.00	4.98	0.00	4.98		0.05
03/01/2018	00073627721 STINNETT, DOROTHY/FRANKLI	NEW PERSPECTIVE FUND-529A	B	0.00	15.85	0.00	15.85		0.16
03/01/2018	00073759982 ADCOX, JAMES C/KRISTEN	FUNDAMENTAL INVESTORS-529A	B	0.00	28.40	0.00	28.40		0.28
03/01/2018	00073759982 ADCOX, JAMES C/KRISTEN	FUNDAMENTAL INVESTORS-529C	B	0.00	141.09	0.00	141.09		1.41
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	AMCAP CLASS 529-C	B	0.00	4.25	0.00	4.25		0.04
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	AMCAP FUND-529A	B	0.00	8.02	0.00	8.02		0.08
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	CAPITAL INCOME BUILDER-529A	B	0.00	5.15	0.00	5.15		0.05
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	CAPITAL INCOME BUILDER-529C	B	0.00	2.89	0.00	2.89		0.03
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	NEW PERSPECTIVE FUND-529A	B	0.00	7.98	0.00	7.98		0.08
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	NEW PERSPECTIVE FUND-529C	B	0.00	4.23	0.00	4.23		0.04

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	THE BOND FUND OF AMERICA-529A	B	0.00	0.62	0.00	0.62		0.01
03/01/2018	00073939725 OWEN, SYLVIA/JOHN	THE BOND FUND OF AMERICA-529C	B	0.00	0.52	0.00	0.52		0.01
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	AMCAP CLASS 529-C	B	0.00	1.97	0.00	1.97		0.02
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	AMCAP FUND-529A	B	0.00	4.14	0.00	4.14		0.04
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	AMERICAN MUTUAL FUND-529A	B	0.00	3.57	0.00	3.57		0.04
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	AMERICAN MUTUAL FUND-529C	B	0.00	1.74	0.00	1.74		0.02
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	FUNDAMENTAL INVESTORS-529A	B	0.00	2.25	0.00	2.25		0.02
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	FUNDAMENTAL INVESTORS-529C	B	0.00	10.02	0.00	10.02		0.10
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	NEW PERSPECTIVE FUND-529A	B	0.00	2.14	0.00	2.14		0.02
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	NEW PERSPECTIVE FUND-529C	B	0.00	9.83	0.00	9.83		0.10
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE GROWTH FUND OF AMERICA-529A	B	0.00	4.90	0.00	4.90		0.05
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE GROWTH FUND OF AMERICA-529C	B	0.00	20.74	0.00	20.74		0.21
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE INCOME FUND OF AMERICA-529A	B	0.00	9.77	0.00	9.77		0.10
03/01/2018	00074499567 GONZALES, ANTONIO/GABRIEL	THE INCOME FUND OF AMERICA-529C	B	0.00	5.92	0.00	5.92		0.06
03/01/2018	00074657443 SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	0.00	2.18	0.00	2.18		0.02
03/01/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	0.00	3.58	0.00	3.58		0.04
03/01/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	1.29	0.00	1.29		0.01
03/01/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD GROWT B		0.00	2.12	0.00	2.12		0.02
03/01/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	36.98	0.00	36.98		0.37
03/01/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS FUNDAMENTAL INVES B		0.00	43.48	0.00	43.48		0.43
03/01/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	6.01	0.00	6.01		0.06
03/01/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	2.71	0.00	2.71		0.03
03/01/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS EURO PACIFIC GROWT B		0.00	4.74	0.00	4.74		0.05
03/01/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		0.00	18.12	0.00	18.12		0.18

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JOHN HOWARD RUSSELL #9632									
03/01/2018	00075700331	BOZEMAN, HEATH		AMERICAN FUNDS GROWTH FUND OF AMERI B	0.00	7.47	0.00	7.47	0.07
03/01/2018	00075700331	BOZEMAN, HEATH		AMERICAN FUNDS INCOME FD OF AMERI B	0.00	6.12	0.00	6.12	0.06
03/01/2018	00075700331	BOZEMAN, HEATH		NEW WORLD FUND-A B	0.00	9.62	0.00	9.62	0.10
03/01/2018	00075700360	PRUITT, CAROLE		AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	13.06	0.00	13.06	0.13
03/01/2018	00075700380	COBBS, DONNY		AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	0.58	0.00	0.58	0.01
03/01/2018	00075700380	COBBS, DONNY		AMERICAN FUNDS FUNDAMENTAL INVES B	0.00	0.59	0.00	0.59	0.01
03/01/2018	00081122536	ESTILL, WILLIAM/COLTON		CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	14.11	0.00	14.11	0.14
03/01/2018	00081122536	ESTILL, WILLIAM/COLTON		CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	6.76	0.00	6.76	0.07
03/01/2018	00081122562	ESTILL, WILLIAM/CARTER		CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	9.79	0.00	9.79	0.10
03/01/2018	00081122562	ESTILL, WILLIAM/CARTER		CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	4.69	0.00	4.69	0.05
03/01/2018	00081122597	ESTILL, WILLIAM/CADEN		CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	9.79	0.00	9.79	0.10
03/01/2018	00081122597	ESTILL, WILLIAM/CADEN		CAPITAL WORLD GROWTH AND INCOME-529 B	0.00	4.69	0.00	4.69	0.05
03/01/2018	00081532724	ADCOX, JAMES C/COLTON		FUNDAMENTAL INVESTORS-529A B	0.00	20.58	0.00	20.58	0.21
03/01/2018	00081532724	ADCOX, JAMES C/COLTON		FUNDAMENTAL INVESTORS-529C B	0.00	137.64	0.00	137.64	1.38
03/01/2018	00081860172	BISBEE/QUIJADA, MELANIE/JAMES		AMERICAN MUTUAL FUND-529A B	0.00	27.84	0.00	27.84	0.28
03/01/2018	00081860172	BISBEE/QUIJADA, MELANIE/JAMES		AMERICAN MUTUAL FUND-529C B	0.00	13.57	0.00	13.57	0.14
03/01/2018	00081860172	BISBEE/QUIJADA, MELANIE/JAMES		THE INCOME FUND OF AMERICA-529A B	0.00	20.18	0.00	20.18	0.20
03/01/2018	00081860172	BISBEE/QUIJADA, MELANIE/JAMES		THE INCOME FUND OF AMERICA-529C B	0.00	11.48	0.00	11.48	0.11
03/01/2018	00081860436	08/17/2006, UDT		AMERICAN MUTUAL FUND-529A B	0.00	26.29	0.00	26.29	0.26
03/01/2018	00081860436	08/17/2006, UDT		AMERICAN MUTUAL FUND-529C B	0.00	12.82	0.00	12.82	0.13
03/01/2018	00081860436	08/17/2006, UDT		THE INCOME FUND OF AMERICA-529A B	0.00	22.05	0.00	22.05	0.22
03/01/2018	00081860436	08/17/2006, UDT		THE INCOME FUND OF AMERICA-529C B	0.00	10.80	0.00	10.80	0.11
03/01/2018	00081860460	08/17/2006, UDT		AMERICAN MUTUAL FUND-F1 B	0.00	4.95	0.00	4.95	0.05
03/01/2018	00081860460	08/17/2006, UDT		THE INCOME FUND OF AMERICA-F1 B	0.00	26.64	0.00	26.64	0.27
03/01/2018	00082170622	SHEPHERD, ROY C		AMERICAN FUNDS CAPITAL WORLD GROWT B	0.00	1.11	0.00	1.11	0.01
03/01/2018	00082170687	BOZEMAN, HELEN J.		AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	0.99	0.00	0.99	0.01
03/01/2018	00082281256	BRADBERRY, JEFFERY		AMERICAN FUNDS CAPITAL INCOME BUILD B	0.00	8.18	0.00	8.18	0.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
03/01/2018	00082281256	BRADBERRY, JEFFERY AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	9.89	0.00	9.89		0.10
	L								
03/01/2018	00082281256	BRADBERRY, JEFFERY AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	12.11	0.00	12.11		0.12
	L								
03/01/2018	00082474109	KUETHE, ALLAN AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.18	0.00	1.18		0.01
03/01/2018	00082474109	KUETHE, ALLAN AMERICAN MUTUAL FUND-C	B	0.00	4.38	0.00	4.38		0.04
03/01/2018	00082933133	ADCOX, KRISTEN CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	12.98	0.00	12.98		0.13
03/01/2018	00082933133	ADCOX, KRISTEN CAPITAL WORLD GROWTH AND INCOME-F1	B	0.00	4.49	0.00	4.49		0.04
03/01/2018	00082933133	ADCOX, KRISTEN SMALLCAP WORLD FUND-C	B	0.00	3.87	0.00	3.87		0.04
03/01/2018	00082933133	ADCOX, KRISTEN SMALLCAP WORLD FUND-F1	B	0.00	1.20	0.00	1.20		0.01
03/01/2018	00082933133	ADCOX, KRISTEN THE GROWTH FUND OF AMERICA-C	B	0.00	21.87	0.00	21.87		0.22
03/01/2018	00082933133	ADCOX, KRISTEN THE GROWTH FUND OF AMERICA-F1	B	0.00	7.49	0.00	7.49		0.07
03/01/2018	00082933155	ADCOX, JAMES CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	13.06	0.00	13.06		0.13
	COLTON								
03/01/2018	00082933155	ADCOX, JAMES CAPITAL WORLD GROWTH AND INCOME-F1	B	0.00	4.49	0.00	4.49		0.04
	COLTON								
03/01/2018	00082933155	ADCOX, JAMES SMALLCAP WORLD FUND-C	B	0.00	3.89	0.00	3.89		0.04
	COLTON								
03/01/2018	00082933155	ADCOX, JAMES SMALLCAP WORLD FUND-F1	B	0.00	1.20	0.00	1.20		0.01
	COLTON								
03/01/2018	00082933155	ADCOX, JAMES THE GROWTH FUND OF AMERICA-C	B	0.00	21.94	0.00	21.94		0.22
	COLTON								
03/01/2018	00082933155	ADCOX, JAMES THE GROWTH FUND OF AMERICA-F1	B	0.00	7.49	0.00	7.49		0.07
	COLTON								
03/01/2018	00083008236	RUSSWURM, CLAYTON AMERICAN FUNDS EURO PACIFIC	GROWT B	0.00	11.43	0.00	11.43		0.11
	R								
03/01/2018	00083008236	RUSSWURM, CLAYTON AMERICAN FUNDS GROWTH FUND OF AMERI	B	0.00	46.86	0.00	46.86		0.47
	R								
03/01/2018	00083008373	BOZEMAN, DEREK K AMERICAN FUNDS CAPITAL WORLD	GROWT B	0.00	22.00	0.00	22.00		0.22
03/01/2018	00083008373	BOZEMAN, DEREK K AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	26.81	0.00	26.81		0.27
02/22/2018	00083499515	SEYMORE, BENJAMIN CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00		0.02
03/01/2018	00083499515	SEYMORE, BENJAMIN CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	39.41	0.00	39.41		0.39
03/08/2018	00083499515	SEYMORE, BENJAMIN CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00		0.02
03/01/2018	00083626829	SEYMORE, ROBERT CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50		0.02
03/01/2018	00083626829	SEYMORE, ROBERT CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	18.73	0.00	18.73		0.19
03/01/2018	00083759245	WARD, STACY/CALEB THE INCOME FUND OF AMERICA-529C	B	0.00	6.96	0.00	6.96		0.07
03/01/2018	00083759268	WARD, STACY/JORDAN THE INCOME FUND OF AMERICA-529C	B	0.00	6.45	0.00	6.45		0.06
03/01/2018	00083759277	WARD, THE INCOME FUND OF AMERICA-529C	B	0.00	18.95	0.00	18.95		0.19
	STACY/CHRISTIAN								
03/01/2018	00084602015	BOZEMAN, AMBER THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	7.74	0.00	7.74		0.08

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<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JOHN HOWARD RUSSELL #9632									
03/01/2018	00084635934 GIBSON/KIDD, ANTHONY/RYLAN	CAPITAL WORLD GROWTH AND INCOME-529 B		0.00	23.42	0.00	23.42		0.23
03/01/2018	00084635934 GIBSON/KIDD, ANTHONY/RYLAN	FUNDAMENTAL INVESTORS-529C	B	0.00	0.53	0.00	0.53		0.01
03/05/2018	00084635934 GIBSON/KIDD, ANTHONY/RYLAN	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00		0.02
03/01/2018	00084758983 HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A	B	0.00	6.46	0.00	6.46		0.06
03/01/2018	00084759195 HOWARD/BEAUDOIN, IRENE/LANDON	GLOBAL BALANCED FUND-529A	B	0.00	5.33	0.00	5.33		0.05
03/01/2018	00084759209 HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A	B	0.00	9.05	0.00	9.05		0.09
03/01/2018	00084759232 HOWARD/BEAUDOIN, IRENE/DAKOTA	CAPITAL INCOME BUILDER-529A	B	0.00	0.80	0.00	0.80		0.01
03/01/2018	00084759232 HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A	B	0.00	1.91	0.00	1.91		0.02
03/01/2018	00085119175 YOUNG, VICTORIA JOBE	AMERICAN FUNDS INCOME FD OF AMERI B		0.00	25.92	0.00	25.92		0.26
03/01/2018	00085209795 SULLIVAN/MCGRAFF, SHERRY/REECE	NEW WORLD FUND-529A	B	0.00	1.84	0.00	1.84		0.02
03/01/2018	00085561362 ELLIOTT, ANNE/MADILYN	AMERICAN MUTUAL FUND-529A	B	0.00	5.02	0.00	5.02		0.05
03/01/2018	00085561362 ELLIOTT, ANNE/MADILYN	CAPITAL INCOME BUILDER-529A	B	0.00	5.14	0.00	5.14		0.05
03/01/2018	00085561362 ELLIOTT, ANNE/MADILYN	NEW WORLD FUND-529A	B	0.00	0.92	0.00	0.92		0.01
03/01/2018	00085614632 BOZEMAN, AMBER	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	5.00	0.00	5.00		0.05
03/01/2018	00085618467 ELLIOTT, ANNE/MADILYN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	0.00	1.22	0.00	1.22		0.01
03/01/2018	00085618467 ELLIOTT, ANNE/MADILYN	AMERICAN FUNDS CAPITAL INCOME BUILD B		0.00	4.13	0.00	4.13		0.04
02/20/2018	00085797272 RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88		0.02
03/01/2018	00085797272 RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	0.00	8.89	0.00	8.89		0.09
02/20/2018	00085797295 RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88		0.02
03/01/2018	00085797295 RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	0.00	3.69	0.00	3.69		0.04
02/20/2018	00085797300 RUSSELL/PEEPLES,	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88		0.02

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
	DAVID/ELLE								
03/01/2018	00085797300	RUSSELL/PEEPLES, NEW PERSPECTIVE FUND-529A	B	0.00	3.69	0.00	3.69		0.04
	DAVID/ELLE								
02/20/2018	00087000587	MORGAN, CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
	CHERIE/CHASE								
03/01/2018	00087000587	MORGAN, CAPITAL INCOME BUILDER-529C	B	0.00	29.71	0.00	29.71		0.30
	CHERIE/CHASE								
02/20/2018	00087000599	MORGAN, CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00		0.01
	CHERIE/PIERCE								
03/01/2018	00087000599	MORGAN, CAPITAL INCOME BUILDER-529C	B	0.00	29.71	0.00	29.71		0.30
	CHERIE/PIERCE								
03/01/2018	00087214046	WATSON, CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	1.74	0.00	1.74		0.02
	CROSBY/BETSY								
03/01/2018	00087534865	CRACKEN, KAJUN AMERICAN MUTUAL FUND-529A	B	0.00	1.60	0.00	1.60		0.02
03/01/2018	00087534865	CRACKEN, KAJUN CAPITAL INCOME BUILDER-529A	B	0.00	1.75	0.00	1.75		0.02
03/01/2018	00087621936	MORRIS, JACKSON FUNDAMENTAL INVESTORS-C	B	0.00	1.88	0.00	1.88		0.02
03/01/2018	00087723524	KUETHE, ALLAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	26.66	0.00	26.66		0.27
03/01/2018	00087723529	KUETHE, ALLAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	26.66	0.00	26.66		0.27
03/01/2018	00087723531	KUETHE, ALLAN THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	26.66	0.00	26.66		0.27
03/01/2018	00087897269	ASHCRAFT OGDEN, FUNDAMENTAL INVESTORS-529C	B	0.00	31.76	0.00	31.76		0.32
	ZOIE								
03/01/2018	00088263914	GREESON, JOHN D. CAPITAL INCOME BUILDER-C	B	0.00	1.01	0.00	1.01		0.01
03/01/2018	00088263914	GREESON, JOHN D. EUROPACIFIC GROWTH FUND-C	B	0.00	16.16	0.00	16.16		0.16
03/01/2018	00088263934	DUNN, JOSEPH C. FUNDAMENTAL INVESTORS-C	B	0.00	34.09	0.00	34.09		0.34
03/01/2018	00088263934	DUNN, JOSEPH C. NEW PERSPECTIVE FUND-C	B	0.00	32.96	0.00	32.96		0.33
03/01/2018	00088263943	BISHOP, MASON W. EUROPACIFIC GROWTH FUND-C	B	0.00	8.51	0.00	8.51		0.09
03/01/2018	00088263943	BISHOP, MASON W. NEW PERSPECTIVE FUND-C	B	0.00	8.97	0.00	8.97		0.09
03/01/2018	00088263951	ABNEY, JENNIFER R. FUNDAMENTAL INVESTORS-C	B	0.00	1.90	0.00	1.90		0.02
03/01/2018	00088263951	ABNEY, JENNIFER R. NEW PERSPECTIVE FUND-C	B	0.00	1.67	0.00	1.67		0.02
03/01/2018	00088263961	GENTRY, ANGIE L. EUROPACIFIC GROWTH FUND-C	B	0.00	13.14	0.00	13.14		0.13
03/01/2018	00088263961	GENTRY, ANGIE L. NEW PERSPECTIVE FUND-C	B	0.00	13.87	0.00	13.87		0.14
03/01/2018	00088263979	MESEY, BRIAN K. FUNDAMENTAL INVESTORS-C	B	0.00	8.34	0.00	8.34		0.08
03/01/2018	00088263979	MESEY, BRIAN K. THE GROWTH FUND OF AMERICA-C	B	0.00	12.52	0.00	12.52		0.13
03/01/2018	00088270587	MILLER, CLIFFORD NEW PERSPECTIVE FUND-C	B	0.00	17.95	0.00	17.95		0.18
	C.								
03/01/2018	00088270587	MILLER, CLIFFORD THE GROWTH FUND OF AMERICA-C	B	0.00	18.54	0.00	18.54		0.19
	C.								
03/01/2018	00088272149	DUNN, BECKY CAPITAL INCOME BUILDER-C	B	0.00	22.61	0.00	22.61		0.23
03/01/2018	00088272149	DUNN, BECKY THE BOND FUND OF AMERICA-C	B	0.00	20.39	0.00	20.39		0.20
02/23/2018	00088272160	DUNN, CHESTER R. SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26		0.01

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OVERRIDE TRANSACTIONS									
BROKER: JOHN HOWARD RUSSELL #9632									
02/23/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	0.01
03/01/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	0.00	113.56	0.00	113.56	1.14
03/06/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	0.01
03/06/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	0.01
03/09/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	0.01
03/09/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	0.01
03/01/2018	00088495479	SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	0.00	3.87	0.00	3.87	0.04
02/23/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN	B	79.83	0.80	0.00	0.80	0.01
02/23/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN	B	79.84	0.80	0.00	0.80	0.01
03/01/2018	00088495479	SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	5.24	0.00	5.24	0.05
03/01/2018	00088495479	SECHRIST, STEVE	FUNDAMENTAL INVESTORS-C	B	0.00	1.84	0.00	1.84	0.02
03/01/2018	00088495479	SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	0.00	6.34	0.00	6.34	0.06
03/01/2018	00088560502	GROSSI, ERINN	FUNDAMENTAL INVESTORS-C	B	0.00	52.44	0.00	52.44	0.52
03/01/2018	00088560514	GROSSI, ERINN P.	FUNDAMENTAL INVESTORS-C	B	0.00	36.53	0.00	36.53	0.37
03/01/2018	00088562280	GROSSI, CARY	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	18.36	0.00	18.36	0.18
03/01/2018	00088562280	GROSSI, CARY	EUROPACIFIC GROWTH FUND-529A	B	0.00	2.39	0.00	2.39	0.02
03/01/2018	00088562292	GROSSI, CARY	EUROPACIFIC GROWTH FUND-529A	B	0.00	2.82	0.00	2.82	0.03
03/01/2018	00088562292	GROSSI, CARY	SMALLCAP WORLD FUND-529A	B	0.00	6.97	0.00	6.97	0.07
03/01/2018	00088562292	GROSSI, CARY	THE GROWTH FUND OF AMERICA-529A	B	0.00	7.55	0.00	7.55	0.08
03/01/2018	00088569757	CANTU, SHERRYLE L	FUNDAMENTAL INVESTORS-529C	B	0.00	11.07	0.00	11.07	0.11
03/01/2018	00088577640	BELL, STEVEN B.	FUNDAMENTAL INVESTORS-C	B	0.00	1.33	0.00	1.33	0.01
03/01/2018	00088750083	MCMILLAN, MICHELLE	COLLEGE 2030 FUND-529A	B	0.00	3.53	0.00	3.53	0.04
02/23/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	72.66	0.73	0.00	0.73	0.01
02/23/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	72.66	0.73	0.00	0.73	0.01
03/06/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
03/06/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
03/09/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
03/09/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
03/01/2018	00088800264	BOZEMAN, AMBER	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	1.96	0.00	1.96	0.02
03/01/2018	00088829160	TOTI, CARL/GLORIA	THE INCOME FUND OF AMERICA-529C	B	0.00	47.61	0.00	47.61	0.48
03/01/2018	00088829167	CRAMBLET, VICKI	CAPITAL INCOME BUILDER-529A	B	0.00	2.69	0.00	2.69	0.03
CHURCHWEL									
03/01/2018	00088860885	MEARES, JAN	EUROPACIFIC GROWTH FUND-C	B	0.00	17.70	0.00	17.70	0.18
03/01/2018	00088873429	JANIS, STACY D.	NEW PERSPECTIVE FUND-529A	B	0.00	1.40	0.00	1.40	0.01
03/01/2018	00088873429	JANIS, STACY D.	NEW PERSPECTIVE FUND-529C	B	0.00	0.67	0.00	0.67	0.01
03/01/2018	00088976786	DANIEL, KRISTIN H.	EUROPACIFIC GROWTH FUND-C	B	0.00	49.64	0.00	49.64	0.50
03/01/2018	00089141187	ZOBECK, MARK C.	AMERICAN BALANCED FUND-529A	B	0.00	11.61	0.00	11.61	0.12
03/01/2018	00089141187	ZOBECK, MARK C.	AMERICAN BALANCED FUND-529C	B	0.00	5.68	0.00	5.68	0.06
03/01/2018	00089141187	ZOBECK, MARK C.	FUNDAMENTAL INVESTORS-529A	B	0.00	33.07	0.00	33.07	0.33
03/01/2018	00089141187	ZOBECK, MARK C.	FUNDAMENTAL INVESTORS-529C	B	0.00	15.84	0.00	15.84	0.16

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
03/01/2018	00089141187	ZOBECK, MARK C. NEW WORLD FUND-529A	B	0.00	6.18	0.00	6.18		0.06
03/01/2018	00089141187	ZOBECK, MARK C. NEW WORLD FUND-529C	B	0.00	2.95	0.00	2.95		0.03
03/01/2018	00089141187	ZOBECK, MARK C. THE BOND FUND OF AMERICA-529A	B	0.00	2.19	0.00	2.19		0.02
03/01/2018	00089141187	ZOBECK, MARK C. THE BOND FUND OF AMERICA-529C	B	0.00	1.10	0.00	1.10		0.01
03/01/2018	00089141187	ZOBECK, MARK C. THE INCOME FUND OF AMERICA-529A	B	0.00	5.91	0.00	5.91		0.06
03/01/2018	00089141187	ZOBECK, MARK C. THE INCOME FUND OF AMERICA-529C	B	0.00	2.89	0.00	2.89		0.03
03/01/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	0.00	1.88	0.00	1.88		0.02
03/01/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	0.00	1.98	0.00	1.98		0.02
03/01/2018	00089514707	HAMILTON, JAMES THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
03/01/2018	00089514707	HAMILTON, JAMES THE NEW ECONOMY FUND-529A	B	0.00	0.61	0.00	0.61		0.01
03/01/2018	00089680678	CHAMPION, AUBREY THE NEW ECONOMY FUND-C	B	0.00	14.97	0.00	14.97		0.15
J.									
03/01/2018	00089985511	YOUNG, VICTORIA AMERICAN MUTUAL FUND-C	B	0.00	21.13	0.00	21.13		0.21
JOBE									
03/01/2018	00089985511	YOUNG, VICTORIA FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00		0.01
JOBE									
03/01/2018	00089985511	YOUNG, VICTORIA FUNDAMENTAL INVESTORS-C	B	0.00	0.60	0.00	0.60		0.01
JOBE									
03/01/2018	00089985511	YOUNG, VICTORIA THE INCOME FUND OF AMERICA-C	B	0.00	14.54	0.00	14.54		0.15
JOBE									
03/01/2018	01287072185	GROSSI, CARY AMERICAN FUNDS BALANCED FD	B	0.00	13.05	0.00	13.05		0.13
03/01/2018	04000234902	OTT, BRIAN L. FUNDAMENTAL INVESTORS-529A	B	0.00	0.75	0.00	0.75		0.01
03/05/2018	04000234902	OTT, BRIAN L. FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50		0.13
02/20/2018	04000273061	TORREZ, HEATHER FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75		0.04
02/23/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.42	0.51	0.00	0.51		0.01
02/23/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.42	0.51	0.00	0.51		0.01
03/09/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50		0.01
03/09/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50		0.01
03/05/2018	07210129905	MCMILLIAN, MARILYN COLUMBIA CAPITAL ALLOC MOD CONS POR B		0.00	2.20	0.00	2.20		0.02
J									
* JOHN HOWARD RUSSELL SUBTOTAL *				<u>\$3,981.86</u>	<u>\$3,545.17</u>	<u>\$0.00</u>	<u>\$3,545.17</u>		<u>\$35.58</u>
*** OVERRIDE TRANSACTIONS TOTAL ***				<u>\$7,036.21</u>	<u>\$5,380.24</u>	<u>\$0.00</u>	<u>\$5,380.24</u>		<u>\$53.98</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,824.37
Split Transactions	\$68.30
Override Transactions	\$53.98
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,946.65
Year-to-date Earnings	\$6,627.10