



Concorde Investment Services, LLC

09/13/2017  
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# **COMMISSION STATEMENT** **September 15, 2017**

**KEITH LAYMAN**  
4321 Flagstaff

**BROKER #: L128**  
**BRANCH: APPLE TREE FINANCIAL**

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
08/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
08/28/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
08/31/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
09/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
09/01/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
08/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
09/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
09/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
08/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
08/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
09/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
09/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
09/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
09/01/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
09/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
08/29/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
09/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
09/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
09/07/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
08/31/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
08/31/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
09/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
08/30/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
09/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
09/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	90.0	1.69

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
08/31/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
09/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
09/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
09/05/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	300.00	12.75	0.00	12.75	90.0	11.48
08/29/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	90.0	3.83
08/30/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87
08/31/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	90.0	0.77
/ /	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.90	0.00	1.90	90.0	1.71
08/31/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
08/31/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
08/31/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
09/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
09/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
09/08/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
09/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
09/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
08/31/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
09/01/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
09/08/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	160.00	7.60	0.00	7.60	90.0	6.84
09/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
09/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
08/31/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
09/01/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
09/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
09/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
09/08/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	160.00	7.60	0.00	7.60	90.0	6.84
08/31/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
08/31/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
09/05/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.52	0.00	2.52	90.0	2.27
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,045.00</b>	<b>\$189.67</b>	<b>\$0.00</b>	<b>\$189.67</b>		<b>\$170.75</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/31/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,136.50	8.39	0.00	8.39	90.0	7.55
08/31/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,790.38	13.66	0.00	13.66	90.0	12.29
08/31/2017	SIEBER, PAUL	JNL PERSPECTIVE II	66,882.90	27.87	0.00	27.87	90.0	25.08
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$119,809.78</b>	<b>\$49.92</b>	<b>\$0.00</b>	<b>\$49.92</b>		<b>\$44.92</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	90.0	0.64
08/15/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.81	0.00	0.81	90.0	0.73
08/15/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	90.0	1.02
08/15/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	90.0	0.40
08/15/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.61	0.00	11.61	90.0	10.45
08/15/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.45
08/15/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.94	0.00	0.94	90.0	0.85
08/15/2017	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.57	0.00	0.57	90.0	0.51
08/15/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.57	0.00	0.57	90.0	0.51
08/15/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	90.0	0.41
08/15/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.77	0.00	0.77	90.0	0.69
08/15/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.50	0.00	0.50	90.0	0.45
08/15/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.71	0.00	0.71	90.0	0.64
08/15/2017	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.46
08/15/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.19	0.00	1.19	90.0	1.07
08/15/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
08/15/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.92	0.00	8.92	90.0	8.03
08/15/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	0.00	0.58	90.0	0.52
08/15/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.42	0.00	0.42	90.0	0.38
08/15/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.16	0.00	2.16	90.0	1.94
08/15/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.70
08/15/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.26	0.00	6.26	90.0	5.63
08/15/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21
08/15/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.00	0.00	5.00	90.0	4.50
08/15/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	90.0	0.52
08/15/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.41	0.00	6.41	90.0	5.77
08/15/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
08/15/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.54	0.00	4.54	90.0	4.09

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/15/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.52	0.00	0.52	90.0	0.47
08/15/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.77	0.00	5.77	90.0	5.19
08/15/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	90.0	0.48
08/15/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.42	0.00	0.42	90.0	0.38
08/15/2017	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.52	0.00	0.52	90.0	0.47
08/15/2017	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.69	0.00	0.69	90.0	0.62
08/15/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.14	0.00	2.14	90.0	1.93
08/15/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.07	0.00	6.07	90.0	5.46
08/15/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.26	0.00	5.26	90.0	4.73
08/15/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.23	0.00	4.23	90.0	3.81
08/15/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.31	0.00	4.31	90.0	3.88
08/31/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.44	0.00	8.44	90.0	7.60
08/14/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.60	0.00	0.60	90.0	0.54
08/14/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.60	0.00	0.60	90.0	0.54
08/14/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
08/14/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
08/14/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.30	0.00	2.30	90.0	2.07
08/16/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.32	0.00	5.32	90.0	4.79
08/16/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.97	0.00	15.97	90.0	14.37
08/16/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.46	0.00	5.46	90.0	4.91
08/16/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.37	0.00	16.37	90.0	14.73
08/16/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.65	0.00	8.65	90.0	7.79
08/16/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.96	0.00	25.96	90.0	23.36
08/16/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.56	0.00	8.56	90.0	7.70
08/16/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.67	0.00	25.67	90.0	23.10
08/16/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.20	0.00	3.20	90.0	2.88
08/16/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.59	0.00	9.59	90.0	8.63
08/16/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.67	0.00	9.67	90.0	8.70
08/16/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.02	0.00	29.02	90.0	26.12
08/16/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.70	0.00	10.70	90.0	9.63
08/16/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.09	0.00	32.09	90.0	28.88
08/16/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.89	0.00	10.89	90.0	9.80
08/16/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.67	0.00	32.67	90.0	29.40

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/16/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.16	0.00	8.16	90.0	7.34
08/16/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.48	0.00	24.48	90.0	22.03
08/16/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.59	0.00	8.59	90.0	7.73
08/16/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.77	0.00	25.77	90.0	23.19
08/16/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.59	0.00	9.59	90.0	8.63
08/16/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.78	0.00	28.78	90.0	25.90
08/15/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.42	0.00	0.42	90.0	0.38
08/15/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.50	0.00	0.50	90.0	0.45
08/15/2017	REMENTSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.53	0.00	0.53	90.0	0.48
08/15/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.33	0.00	0.33	90.0	0.30
08/15/2017	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.69	0.00	0.69	90.0	0.62
08/15/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.63	0.00	0.63	90.0	0.57
08/21/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.83	0.00	0.83	90.0	0.75
08/21/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.29	0.00	5.29	90.0	4.76
08/21/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.95	0.00	1.95	90.0	1.76
08/21/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	31.61	0.00	31.61	90.0	28.45
08/14/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	11.09	0.00	11.09	90.0	9.98
08/17/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.64	0.00	7.64	90.0	6.88
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$518.28</b>	<b>\$0.00</b>	<b>\$518.28</b>		<b>\$466.45</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
08/25/2017	SHANK, DEAN	ING ACCESS	0.00	274.37	0.00	274.37	90.0	246.93
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$274.37</b>	<b>\$0.00</b>	<b>\$274.37</b>		<b>\$246.93</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$124,854.78</b>	<b>\$1,032.24</b>	<b>\$0.00</b>	<b>\$1,032.24</b>		<b>\$929.05</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
08/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	3.93	0.00	3.93	90.0	3.54
08/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	2.72	0.00	2.72	90.0	2.45
08/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	200.61	2.63	0.00	2.63	90.0	2.37
08/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	200.61	2.63	0.00	2.63	90.0	2.37
08/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	5.46	0.00	5.46	90.0	4.91
08/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	2.72	0.00	2.72	90.0	2.45
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
08/15/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.41	0.00	0.41	90.0	0.37
08/15/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
08/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
09/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	50.00	0.87	0.00	0.87	90.0	0.78
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	90.0	0.13
08/28/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	25.00	0.43	0.00	0.43	90.0	0.39
08/28/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	-25.00	-0.43	0.00	-0.43	90.0	-0.39
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
09/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
08/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
08/28/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	25.00	0.43	0.00	0.43	90.0	0.39
08/28/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	-25.00	-0.43	0.00	-0.43	90.0	-0.39
08/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
09/06/2017	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	-2,971.03	7.70	7.70	0.00	90.0	0.00
08/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
09/08/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
09/08/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
09/01/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	90.0	0.51
09/08/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
08/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
09/08/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
08/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
08/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
08/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
09/08/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
09/08/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
09/08/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
09/08/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
08/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.06	0.00	1.06	90.0	0.95
08/17/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.38	0.00	4.38	90.0	3.94
08/21/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
08/21/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.65	0.00	0.65	90.0	0.59
08/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
08/21/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.31	0.00	1.31	90.0	1.18
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$-309.05</b>	<b>\$52.58</b>	<b>\$7.70</b>	<b>\$44.88</b>		<b>\$40.41</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$-309.05</b>	<b>\$52.58</b>	<b>\$7.70</b>	<b>\$44.88</b>		<b>\$40.41</b>

**ADJUSTMENTS**

09/15/2017	*AUDIT FEE: BRANCH EXAM	0.00						-1,432.63
09/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
09/15/2017	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
09/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-85.80
09/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-3.99
09/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00						-1.55
09/15/2017	*TECH: ADMIN J WRIGHT	0.00						-100.00
09/15/2017	*TECH: ALBRIDGE FULL	0.00						-100.00
09/15/2017	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-100.00
09/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
09/15/2017	*TECHNOLOGY: CURRENT MONTH TECH-BIL	0.00						-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-2,973.97</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$929.05
Split Transactions	\$40.41
Override Transactions	\$0.00
Adjustments	\$-2,973.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-2,004.51</b>
<b>Year-to-date Gross</b>	<b>\$38,231.88</b>
<b>Year-to-date Earnings</b>	<b>\$33,277.55</b>