



Concorde Investment Services, LLC

07/12/2017  
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# COMMISSION STATEMENT

July 15, 2017

TIMOTHY STAUFFER  
333 Fiddlers Cove

BROKER #: S118  
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.31	0.00	0.31	90.0	0.28
07/01/2017	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	2.43	0.00	2.43	90.0	2.19
07/01/2017	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES A	0.00	0.40	0.00	0.40	90.0	0.36
07/01/2017	NUTTLE, CHRIS	MFS EQUITY OPPORTUNITIES B	0.00	0.84	0.00	0.84	90.0	0.76
07/01/2017	BOYLAN, NANCY	MFS UTILITIES C	0.00	9.54	0.00	9.54	90.0	8.59
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.45	0.00	0.45	90.0	0.41
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.08	0.00	1.08	90.0	0.97
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.80	0.00	0.80	90.0	0.72
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.60	0.00	0.60	90.0	0.54
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.81	0.00	0.81	90.0	0.73
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$19.87	\$0.00	\$19.87		\$17.90
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/06/2017	SHERRILL, TIMOTHY	AMPCO PITTSBURGH	-3,836.35	28.00	22.50	5.50	90.0	4.95
07/06/2017	SHERRILL, TIMOTHY	NOW INC COM	-2,179.48	28.00	22.50	5.50	90.0	4.95
07/10/2017	STAUFFER, KAREN	SABRA HEALTH CARE REIT INC COM	10,361.25	0.00	22.68	-22.68	90.0	-20.41
07/10/2017	MISSION CHURCH, AT FORT WAYNE	SABRA HEALTH CARE REIT INC COM	9,205.52	0.00	22.60	-22.60	90.0	-20.34
07/10/2017	MORR TRUST, PATTY	SABRA HEALTH CARE REIT INC COM	9,898.60	28.00	22.64	5.36	90.0	4.82
07/06/2017	SHERRILL, TIMOTHY	TITAN INTL INC ILL COM	-15,421.03	28.00	23.93	4.07	90.0	3.66
* STOCKS SUBTOTAL *			\$8,028.51	\$112.00	\$136.85	\$-24.85		\$-22.37

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	WILD, WILLIAM	JNL ELITE ACCESS	97,023.82	40.43	0.00	40.43	90.0	36.39
06/30/2017	NUTTLE, ALLEN	JNL ELITE ACCESS	27,088.04	5.64	0.00	5.64	90.0	5.08
06/30/2017	MOHR, AMY	JNL ELITE ACCESS	44,783.11	9.33	0.00	9.33	90.0	8.40
06/30/2017	LARKINS, TROY	JNL ELITE ACCESS	47,229.68	9.84	0.00	9.84	90.0	8.86
06/30/2017	ZEHR, PAUL &	JNL ELITE ACCESS	29,570.84	6.16	0.00	6.16	90.0	5.54
06/30/2017	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	27,013.34	5.63	0.00	5.63	90.0	5.07
06/30/2017	COLEMAN, CHERYL	JNL PERSPECTIVE	33,423.15	6.96	0.00	6.96	90.0	6.26
06/30/2017	FLETCHER, MONA	JNL PERSPECTIVE II	28,443.80	11.85	0.00	11.85	90.0	10.67
06/30/2017	LEDERMAN, JOANNE	JNL PERSPECTIVE II	322,935.18	134.56	0.00	134.56	90.0	121.10
06/30/2017	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,078.99	5.87	0.00	5.87	90.0	5.28
06/30/2017	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	83,629.02	34.85	0.00	34.85	90.0	31.37
06/30/2017	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	81,627.16	34.01	0.00	34.01	90.0	30.61
06/30/2017	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	144,026.13	60.01	0.00	60.01	90.0	54.01
06/30/2017	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	77,185.79	32.16	0.00	32.16	90.0	28.94
06/30/2017	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	616,237.91	256.77	0.00	256.77	90.0	231.09
06/30/2017	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	215,087.86	89.62	0.00	89.62	90.0	80.66
06/30/2017	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	141,041.06	58.77	0.00	58.77	90.0	52.89
06/30/2017	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	140,723.77	58.63	0.00	58.63	90.0	52.77
06/30/2017	PARKER, DIANA	JNL PERSPECTIVE II 05/05	83,505.63	34.79	0.00	34.79	90.0	31.31
06/30/2017	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	91,303.33	38.04	0.00	38.04	90.0	34.24
06/30/2017	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	46,031.10	19.18	0.00	19.18	90.0	17.26
06/30/2017	STUCKY, MIKE	JNL PERSPECTIVE II 05/05	85,760.54	35.73	0.00	35.73	90.0	32.16
06/30/2017	GRABER, AMOS	JNL PERSPECTIVE II 05/05	45,618.40	19.01	0.00	19.01	90.0	17.11
06/30/2017	SMITH, KAREN	JOHN HANCOCK VENTURE 2006	150,087.50	125.07	0.00	125.07	90.0	112.56
06/30/2017	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	66,356.03	55.30	0.00	55.30	90.0	49.77
06/30/2017	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	103,610.00	86.34	0.00	86.34	90.0	77.71
06/30/2017	SHERILL, TIMOTHY	JOHN HANCOCK VENTURE III	205,726.06	171.44	0.00	171.44	90.0	154.30
06/30/2017	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	193,000.04	160.83	0.00	160.83	90.0	144.75
07/01/2017	LEDERMAN, JOANNE	NATIONWIDE BOA ACHIEVER 45	279,605.37	349.51	0.00	349.51	90.0	314.56
07/01/2017	HOUSER, MICHAEL	NATIONWIDE BOA ACHIEVER 45	60,655.16	113.73	0.00	113.73	90.0	102.36
07/01/2017	KIRKLAND, CINDY	NATIONWIDE BOA SUCCESSOR 33	2,349.22	5.87	0.00	5.87	90.0	5.28
07/01/2017	THIEME, HEIDI	NATIONWIDE DESTINATION C 01	17,119.73	53.50	0.00	53.50	90.0	48.15
07/01/2017	DAILEY, ANDREA	NATIONWIDE DESTINATION C 01	7,546.40	23.58	0.00	23.58	90.0	21.22

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/01/2017	HITT, ERIN	NATIONWIDE DESTINATION C 01	8,484.52	21.21	0.00	21.21	90.0	19.09
07/01/2017	JACOBS, AMY	NATIONWIDE DESTINATION C 01	10,936.22	27.34	0.00	27.34	90.0	24.61
07/01/2017	HILER, BRITNEY	NATIONWIDE DESTINATION C 01	1,631.08	5.10	0.00	5.10	90.0	4.59
07/01/2017	CRANE, AMY	NATIONWIDE DESTINATION C 01	8,436.19	21.09	0.00	21.09	90.0	18.98
07/01/2017	MATHEWSON, KAYLA	NATIONWIDE DESTINATION C 01	6,826.21	17.07	0.00	17.07	90.0	15.36
07/01/2017	WILLIAMS, ELIZA	NATIONWIDE DESTINATION C 01	10,577.68	26.44	0.00	26.44	90.0	23.80
07/01/2017	LEDERMAN, JOANNE	NATIONWIDE MARKETFLEX BB	30,190.28	37.74	0.00	37.74	90.0	33.97
07/01/2017	BOYLAN TRUST	NATIONWIDE MARKETFLEX BB	46,697.85	116.74	0.00	116.74	90.0	105.07
07/01/2017	RAMIREZ, HERLINDA GARCIA	NATIONWIDE MARKETFLEX BB	27,331.07	68.33	0.00	68.33	90.0	61.50
07/01/2017	DEHAVEN, ELLEN	NATIONWIDE MARKETFLEX BB	18,832.30	23.54	0.00	23.54	90.0	21.19
07/01/2017	NEELEY, JOHN	NATIONWIDE MARKETFLEX BB	23,312.25	29.14	0.00	29.14	90.0	26.23
07/01/2017	ZEHR, BERTHA	NW DESTINATION B2 05	101,338.17	126.67	0.00	126.67	90.0	114.00
07/01/2017	PARKER, MICHAEL	NW DESTINATION B2 05	124,796.52	156.00	0.00	156.00	90.0	140.40
07/01/2017	PARKER, DIANA	NW DESTINATION B2 05	83,253.87	104.07	0.00	104.07	90.0	93.66
07/01/2017	BURD, CHRISTINA	NWL SOLOIST 01	4,335.79	7.05	0.00	7.05	90.0	6.35
07/01/2017	SNYDER, PAIGE	NWL SOLOIST 01	6,798.76	11.05	0.00	11.05	90.0	9.95
07/01/2017	ROBROCK, KYLIA	NWL SOLOIST 01	1,892.24	3.07	0.00	3.07	90.0	2.76
07/01/2017	CIRCLE, MADISON	NWL SOLOIST 01	1,665.75	2.71	0.00	2.71	90.0	2.44
07/01/2017	RINEHOLD, BRANDI	NWL SOLOIST 01	5,040.29	8.19	0.00	8.19	90.0	7.37
07/01/2017	WILLS, LISA	NWL SOLOIST 01	3,583.08	5.82	0.00	5.82	90.0	5.24
06/30/2017	STAUFFER, ARLEN	PAC LIFE INNOVATIVE SELECT	0.00	38.57	0.00	38.57	90.0	34.71
06/30/2017	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	22,048.08	13.78	0.00	13.78	90.0	12.40
06/30/2017	BROOKSHIRE, JEFFEREY	PRU AS LIFEVEST	22,048.08	41.34	0.00	41.34	90.0	37.21
06/29/2017	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,507.89	16.26	0.00	16.26	90.0	14.63
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,198,987.33</b>	<b>\$3,081.33</b>	<b>\$0.00</b>	<b>\$3,081.33</b>		<b>\$2,773.24</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/14/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.00	0.00	5.00	90.0	4.50
06/14/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	4.68	0.00	4.68	90.0	4.21
06/14/2017	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.94	0.00	4.94	90.0	4.45
06/21/2017	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
06/16/2017	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.17	0.00	1.17	90.0	1.05
06/16/2017	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.19	0.00	2.19	90.0	1.97
06/16/2017	STAUFFER, KAREN	TRANSAMERICA TACTICAL INCOME C	0.00	8.76	0.00	8.76	90.0	7.88

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/16/2017	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	3.47	0.00	3.47	90.0	3.12
06/16/2017	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	9.11	0.00	9.11	90.0	8.20
06/16/2017	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.76	0.00	8.76	90.0	7.88
06/16/2017	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.49	0.00	10.49	90.0	9.44
06/16/2017	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.92	0.00	6.92	90.0	6.23
06/16/2017	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.75	0.00	6.75	90.0	6.08
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$72.33</b>	<b>\$0.00</b>	<b>\$72.33</b>		<b>\$65.09</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/24/2017	REICHARD, THOMAS	ING ESII	0.00	66.04	0.00	66.04	90.0	59.44
07/05/2017	REICHARD, DEBRA	ING ESII	0.00	18.79	0.00	18.79	90.0	16.91
06/26/2017	ALLES, DEVON	ING ESII 2002 STD OPT C	0.00	20.01	0.00	20.01	90.0	18.01
07/04/2017	NUTTLE, DARREN	ING PREMIUM PLUS	0.00	3.00	0.00	3.00	90.0	2.70
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$107.84</b>	<b>\$0.00</b>	<b>\$107.84</b>		<b>\$97.06</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,207,230.84</b>	<b>\$3,393.37</b>	<b>\$136.85</b>	<b>\$3,256.52</b>		<b>\$2,930.92</b>
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.96
07/15/2017		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-678.96</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,930.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-678.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,251.96
Year-to-date Gross	\$63,333.59
Year-to-date Earnings	\$56,265.21