

COMMISSION STATEMENT
August 03, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	----------------------

Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	----------------------

Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/01/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-335.00	0.00	-335.00	-122.44
08/01/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	803.55	0.00	803.55	293.70
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$468.55</u>	<u>\$0.00</u>	<u>\$468.55</u>	<u>\$171.26</u>

PRODUCT CATEGORY: TRAILERS

08/01/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	163.43	0.00	163.43	59.73
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$163.43</u>	<u>\$0.00</u>	<u>\$163.43</u>	<u>\$59.73</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$631.98</u>	<u>\$0.00</u>	<u>\$631.98</u>	<u>\$230.99</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

08/01/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	13,740.07	0.00	13,740.07	1,848.04
08/01/2018	GALLOVICH (73712), JODI	SAI VARIABLE INCOME	0.00	1,283.87	0.00	1,283.87	172.68
08/01/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	1,803.18	0.00	1,803.18	242.53
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$16,827.12</u>	<u>\$0.00</u>	<u>\$16,827.12</u>	<u>\$2,263.25</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$16,827.12</u>	<u>\$0.00</u>	<u>\$16,827.12</u>	<u>\$2,263.25</u>

ADJUSTMENTS

16. SIPC 7/27/18 SAI STMT							-76.79
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-76.79</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$230.99
							Split Transactions \$0.00
							Override Transactions \$2,263.25
							Adjustments \$-76.79
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$2,417.45</u>
							<u>Year-to-date Earnings \$281,718.22</u>