

# COMMISSION STATEMENT

July 31, 2018

ERIC FOREMAN-D023

628 Tupper Drive

BROKER #: D023

BRANCH: DME

Gallatin, TN 37066

## COMMISSION STATEMENT for ERIC FOREMAN-D023

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
07/09/2018	00068396974	TURNER/AUTH PER,	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75 70.0 1.93
07/25/2018	00068637021	MCQUIDDY, LINDA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/25/2018	00068637022	MCQUIDDY, LESLIE	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/09/2018	00068903331	TURNER, TONEY	MF	FUNDAMENTAL INVESTORS-A	B	100.00	2.75	0.00	2.75 70.0 1.93
07/09/2018	00068903331	TURNER, TONEY	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75 70.0 1.93
07/09/2018	00068903331	TURNER, TONEY	MF	THE INVESTMENT COMPANY OF	B	100.00	2.75	0.00	2.75 70.0 1.93
07/16/2018	00073284361	AMMETT	MF	THE GROWTH FUND OF AMERICA-A	B	100.00	2.75	0.00	2.75 70.0 1.93
07/16/2018	00073401998	CRISWELL, SUSAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.13	0.00	2.13 70.0 1.49
07/25/2018	00074205491	WALKER, SIDNEY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/25/2018	00074205491	WALKER, SIDNEY	MF	THE INVESTMENT COMPANY OF	B	50.00	1.88	0.00	1.88 70.0 1.32
07/25/2018	00075947998	ROUSE, MIRIAM	MF	FUNDAMENTAL INVESTORS-A	B	25.00	1.25	0.00	1.25 70.0 0.88
07/25/2018	00075947998	ROUSE, MIRIAM	MF	NEW WORLD FUND-A	B	25.00	1.25	0.00	1.25 70.0 0.88
07/16/2018	00081140284	LEACH, EVA	MF	FUNDAMENTAL INVESTORS-A	B	100.00	5.00	0.00	5.00 70.0 3.50
07/09/2018	00082091034	CADEN I GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13 70.0 1.49
07/25/2018	00082530761	SHAW, JOSHUA	MF	NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/16/2018	00082879845	MORRIS, HENRY	MF	AMERICAN HIGH-INCOME MUNI BOND	B	50.00	1.50	0.00	1.50 70.0 1.05
07/16/2018	00082879845	MORRIS, HENRY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/16/2018	00082879845	MORRIS, HENRY	MF	THE INCOME FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/16/2018	00083592653	DRENNON, MELVIN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/25/2018	00085343084	JOHNSON, SKYE	MF	AMERICAN MUTUAL FUND-529A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/25/2018	00085343084	JOHNSON, SKYE	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/09/2018	00085738162	SYDNEE L GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13 70.0 1.49
07/23/2018	00085757983	CALEB J GRAHAM	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13 70.0 1.49
07/23/2018	00085757998	GRAHAM, CALVIN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13 70.0 1.49
07/16/2018	00086766603	WARNER, ANITA	MF	AMERICAN BALANCED FUND-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/16/2018	00086766603	WARNER, ANITA	MF	FUNDAMENTAL INVESTORS-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/16/2018	00086766603	WARNER, ANITA	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/16/2018	00086766603	WARNER, ANITA	MF	THE INCOME FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88 70.0 1.32
07/16/2018	00086795721	HERDMAN, JONATHAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50 70.0 1.75
07/16/2018	00086795721	HERDMAN, JONATHAN	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50 70.0 1.75
07/25/2018	00087031501	HIBBLER, ALANA	MF	CAPITAL WORLD GROWTH AND INCOME	B	83.33	3.54	0.00	3.54 70.0 2.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
07/25/2018	00087031501	HIBBLER, ALANA	MF	NEW WORLD FUND-A	B	83.33	3.54	0.00	3.54	70.0	2.48
07/25/2018	00087031501	HIBBLER, ALANA	MF	THE GROWTH FUND OF AMERICA-A	B	83.33	3.54	0.00	3.54	70.0	2.48
07/25/2018	00087031501	HIBBLER, ALANA	MF	THE INCOME FUND OF AMERICA-A	B	83.35	3.54	0.00	3.54	70.0	2.48
07/25/2018	00087031501	HIBBLER, ALANA	MF	THE INVESTMENT COMPANY OF	B	83.33	3.54	0.00	3.54	70.0	2.48
07/23/2018	00088711954	GRAHAM, CALVIN	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	70.0	1.49
07/16/2018	00088936710	FLOWERS, ERRON	MF	AMERICAN BALANCED FUND-A	B	62.50	3.13	0.00	3.13	70.0	2.19
07/16/2018	00088936710	FLOWERS, ERRON	MF	NEW PERSPECTIVE FUND-A	B	62.50	3.13	0.00	3.13	70.0	2.19
07/16/2018	00088936710	FLOWERS, ERRON	MF	THE GROWTH FUND OF AMERICA-A	B	62.50	3.13	0.00	3.13	70.0	2.19
07/16/2018	00088936710	FLOWERS, ERRON	MF	THE INVESTMENT COMPANY OF	B	62.50	3.13	0.00	3.13	70.0	2.19
07/20/2018	00498153972	CRATE, ERNESTINE	MF	FIDELITY ADVISOR (FOCUS) HEALTH	B	500.00	13.75	0.00	13.75	70.0	9.63
07/20/2018	00498153972	CRATE, ERNESTINE	MF	FIDELITY ADVISOR FUNDS	B	500.00	13.75	0.00	13.75	70.0	9.63
07/20/2018	00498153972	CRATE, ERNESTINE	MF	FIDELITY ADV MID CAP VALUE CL A	B	500.00	13.75	0.00	13.75	70.0	9.63
* MUTUAL FUNDS SUBTOTAL *						\$3,966.67	\$142.04	\$0.00	\$142.04		\$99.51
*** DIRECT TRANSACTIONS TOTAL ***						\$3,966.67	\$142.04	\$0.00	\$142.04		\$99.51

ADJUSTMENTS TRANSACTIONS

BROKER: #0									
07/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
				* SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00
				*** ADJUSTMENTS TRANSACTIONS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-25.00

BROKER COMMISSION TOTALS

Broker Transactions	\$99.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-25.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$74.51
Year-to-date Commissions	\$3,511.33