

**COMMISSION STATEMENT****March 15, 2018**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: J1D
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^03/01/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	64,000.00	1,312.00	22.00	1,290.00	100.0	0.00
^03/01/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	75,000.00	1,537.50	22.00	1,515.50	100.0	0.00
^03/01/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	51,000.00	1,045.50	22.00	1,023.50	100.0	0.00
^03/01/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	24,000.00	1,140.00	22.00	1,118.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$214,000.00	\$5,035.00	\$88.00	\$4,947.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$214,000.00	\$5,035.00	\$88.00	\$4,947.00		\$0.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^02/22/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.58	0.00	4.58	100.0	0.00
^02/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.76	0.00	10.76	100.0	0.00
^02/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.27	0.00	32.27	100.0	0.00
^02/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.88	0.00	6.88	100.0	0.00
^02/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.65	0.00	20.65	100.0	0.00
^02/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.58	0.00	10.58	100.0	0.00
^02/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.75	0.00	31.75	100.0	0.00
^02/16/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.47	0.00	4.47	100.0	0.00
^02/21/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	4.25	0.00	4.25	100.0	0.00
^02/21/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.19	0.00	5.19	100.0	0.00
^02/21/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.61	0.00	3.61	100.0	0.00
^02/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.91	0.00	0.91	100.0	0.00
^02/22/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	19.34	0.00	19.34	100.0	0.00
^02/22/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.77	0.00	0.77	100.0	0.00
^02/14/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	143.67	0.00	143.67	100.0	0.00
^02/22/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.66	0.00	4.66	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$304.34	\$0.00	\$304.34	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$304.34	\$0.00	\$304.34	\$0.00

ADJUSTMENTS

03/15/2018	*PERSHING: PERSHING MARKUP	0.00			0.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed