



COMMISSION STATEMENT

April 02, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: RONALD DRAKE</u>								
03/19/2018	***-**-4906 - VAN ALSTINE, ACCOUNT #: 00086106101	AMERICAN BALANCED FUND-A BUY 2 Units @28.5800	50.00	2.50	0.00	2.50	20.0	0.50
03/20/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN BALANCED FUND-A BUY 2 Units @28.5900	50.00	2.50	0.00	2.50	20.0	0.50
03/20/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @27.9300	125.00	3.44	0.00	3.44	20.0	0.69
03/20/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	AMERICAN BALANCED FUND-A BUY 3 Units @27.9300	90.75	2.50	0.00	2.50	20.0	0.50
03/20/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	AMERICAN BALANCED FUND-A BUY 14 Units @27.9300	400.00	11.00	0.00	11.00	20.0	2.20
03/20/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN BALANCED FUND-A BUY 1 Units @28.3700	37.50	1.59	0.00	1.59	20.0	0.32
03/20/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @28.5900	60.00	3.00	0.00	3.00	20.0	0.60
03/26/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	AMERICAN BALANCED FUND-A BUY 17 Units @27.7100	475.00	13.05	0.00	13.05	20.0	2.61
03/20/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6200	50.00	1.50	0.00	1.50	20.0	0.30
03/20/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 16 Units @10.5900	165.00	4.54	0.00	4.54	20.0	0.91
03/20/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5900	25.00	0.69	0.00	0.69	20.0	0.14
03/20/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.5900	100.00	2.75	0.00	2.75	20.0	0.55
03/20/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 00086683340	AMERICAN HIGH-INCOME TRUST-A BUY 7 Units @10.5900	75.00	2.06	0.00	2.06	20.0	0.41
03/20/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.5900	25.50	0.70	0.00	0.70	20.0	0.14
03/20/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6200	52.50	1.58	0.00	1.58	20.0	0.32
03/20/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @63.0900	400.00	11.00	0.00	11.00	20.0	2.20



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
03/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME BUILDER-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00086683340	BUY 2 Units @63.0900						
03/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD BOND FUND-A	75.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00086683340	BUY 4 Units @20.9500						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @54.1500						
03/20/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @54.1500						
03/20/2018	***-**-408B - SNIZEK,	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 2 Units @54.1500						
03/20/2018	***-**-4848 - DOGGETT, AMY	CAPITAL WORLD GROWTH AND INCOME FUN	165.00	4.54	0.00	4.54	20.0	0.91
	ACCOUNT #: 00081620466	BUY 3 Units @54.1500						
03/20/2018	***-**-4932 - BAKER, STEVEN	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00086683340	BUY 2 Units @54.1500						
03/20/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @55.0000						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	20.0	0.17
	ACCOUNT #: 00085839015	BUY 1 Units @59.6400						
04/02/2018	***-**-108X - FOREST, NSPS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.98	0.00	0.98	20.0	0.20
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
04/02/2018	***-**-336J - GETCHELL, DIANNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	20.0	0.01
	ACCOUNT #: LSR011100	BUY 1 Units @0.0000						
04/02/2018	***-**-336I - GETCHELL, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	20.0	0.01
	ACCOUNT #: LSR011101	BUY 1 Units @0.0000						
03/26/2018	***-**-595X - WERNER, FBO	FUNDAMENTAL INVESTORS-A	475.02	13.05	0.00	13.05	20.0	2.61
	ACCOUNT #: LSR010640	BUY 7 Units @64.4100						
04/04/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	124.48	124.48	0.00	124.48	15.0	18.67
	ACCOUNT #: 925287941	BUY 0 Units @0.0000						
04/04/2018	***-**-25FB - DUNN, BRENDAN	LIFEMARK INVESTMENT ADVISORY FEE	59.35	59.35	0.00	59.35	15.0	8.90
	ACCOUNT #: 925287945	BUY 0 Units @0.0000						
04/04/2018	***-**-52FB - DUNN, MICHELE	LIFEMARK INVESTMENT ADVISORY FEE	128.24	128.24	0.00	128.24	15.0	19.24
	ACCOUNT #: 925287955	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
03/20/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	20.0	0.19
	ACCOUNT #: 00085993216	BUY 0 Units @60.5200						
03/20/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 7 Units @13.0100						
03/20/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	20.0	0.51
	ACCOUNT #: 00084481157	BUY 2 Units @54.6300						
03/20/2018	***-**-4848 - DOGGETT, AMY	THE GROWTH FUND OF AMERICA-A	170.00	4.68	0.00	4.68	20.0	0.94
	ACCOUNT #: 00081620466	BUY 3 Units @54.6300						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	20.0	0.20
	ACCOUNT #: 00085839015	BUY 1 Units @54.6300						
03/20/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @54.6300						
03/20/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	20.0	0.96
	ACCOUNT #: 00085154620	BUY 3 Units @54.6300						
03/26/2018	***-**-653X - WERNER, FBO EVAN	THE GROWTH FUND OF AMERICA-A	474.97	13.05	0.00	13.05	20.0	2.61
	ACCOUNT #: LSR010638	BUY 9 Units @53.7300						
03/20/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @23.6700						
03/20/2018	***-**-4932 - BAKER, STEVEN	THE INCOME FUND OF AMERICA-A	150.00	4.13	0.00	4.13	20.0	0.83
	ACCOUNT #: 00086683340	BUY 6 Units @23.6700						
03/20/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 1 Units @23.6700						
03/20/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @24.2300						
03/26/2018	***-**-595X - WERNER, FBO	THE INCOME FUND OF AMERICA-A	475.00	13.05	0.00	13.05	20.0	2.61
	ACCOUNT #: LSR010640	BUY 20 Units @23.4800						
* RONALD DRAKE SUBTOTAL *			\$5,482.06	\$461.95	\$0.00	\$461.95		\$76.81



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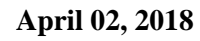
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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI</u>								
03/20/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 4 Units @28.2200						
04/02/2018	***-**-630I - ROMA, JAMES	BLACKROCK ASSET ALLOCATION PORTFOLI	0.00	0.23	0.00	0.23	35.0	0.08
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
04/02/2018	***-**-630I - ROMA, JAMES	BLACKROCK HIGH YIELD BOND FUND CLAS	0.00	5.84	0.00	5.84	35.0	2.04
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
04/02/2018	***-**-630I - ROMA, JAMES	BLACKROCK LARGE CAP CORE FUND CL A	0.00	9.68	0.00	9.68	35.0	3.39
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
03/28/2018	***-**-7556 - WALKER, RANDY	JOHN HANCOCK VENTURE VA MFC GL LS M	0.00	7.84	0.00	7.84	35.0	2.74
		BUY 0 Units @0.0000						
04/04/2018	***-**-39FB - CONTI, ELLEN	LIFEMARK INVESTMENT ADVISORY FEE	196.14	196.14	0.00	196.14	35.0	68.65
	ACCOUNT #: 925300576	BUY 0 Units @0.0000						
04/04/2018	***-**-18FB - GORDON, DIANE	LIFEMARK INVESTMENT ADVISORY FEE	235.84	235.84	0.00	235.84	35.0	82.54
	ACCOUNT #: 925325360	BUY 0 Units @0.0000						
04/04/2018	***-**-1730 - BENZI, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	240.78	240.78	0.00	240.78	35.0	84.27
	ACCOUNT #: 925340599	BUY 0 Units @0.0000						
03/28/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	100.00	4.75	0.00	4.75	35.0	1.66
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
03/28/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	100.00	4.05	0.00	4.05	35.0	1.42
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
* RONALD CIOTOLI SUBTOTAL *			\$972.76	\$708.90	\$0.00	\$708.90		\$248.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$6,454.82	\$1,170.85	\$0.00	\$1,170.85		\$324.91



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						BROKER COMMISSION TOTALS		
						Broker Transactions \$0.00		
						Split Transactions \$0.00		
						Override Transactions \$324.91		
						Adjustments \$0.00		
						Payroll Draw \$0.00		
						Base Salary \$0.00		
						Prior Period Balance \$44.14		
						FINRA Assessment \$0.00		
						SIPC Assessment \$0.00		
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						Net Commission Earned \$369.05		
						Year-to-date Earnings \$6,586.87		