



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2018

05/11/2018
Page No. 1

JOEL, W143 WOODS
1412 Blue Quail Run

BROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/06/2018	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.60	0.00	8.60	90.0	7.74
04/10/2018	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	7.63	0.00	7.63	90.0	6.87
04/10/2018	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	5.96	0.00	5.96	90.0	5.36
04/10/2018	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	12.30	0.00	12.30	90.0	11.07
04/10/2018	RICHTER, ROBERT	HARTFORD MIDCAP A	0.00	6.13	0.00	6.13	90.0	5.52
04/10/2018	THREADGILL, HEATHER	INVESCO BALANCED-RISK ALLOCATION-C	0.00	9.29	0.00	9.29	90.0	8.36
04/10/2018	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	128.74	0.00	128.74	90.0	115.87
04/10/2018	CONDRAY, GADA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	35.38	0.00	35.38	90.0	31.84
04/10/2018	THREADGILL, MICHELLE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	222.53	0.00	222.53	90.0	200.28
04/10/2018	MELTON, PAMELA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	83.55	0.00	83.55	90.0	75.20
04/10/2018	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	3.55	0.00	3.55	90.0	3.20
04/10/2018	CONDRAY, GADA	PIMCO INCOME FUND C	0.00	19.77	0.00	19.77	90.0	17.79
04/10/2018	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.04	0.00	5.04	90.0	4.54
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$548.47	\$0.00	\$548.47		\$493.64
*** CLEARING TRANSACTIONS TOTAL ***			\$0.00	\$548.47	\$0.00	\$548.47		\$493.64

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
04/27/2018	JNJM LLC	BOURN SENIORS HOUSING IV BOR4161BO	175,000.00	12,250.00	0.00	12,250.00	92.0	11,270.00
04/26/2018	SHERRIEB, HAROLD	HPI REAL ESTATE FUND VI LLC	100,000.00	6,000.00	0.00	6,000.00	92.0	5,520.00
04/30/2018	ALEXANDER, RAMONA	HPI REAL ESTATE FUND VI LLC	50,000.00	3,000.00	0.00	3,000.00	92.0	2,760.00
* PRIVATE PLACEMENT SUBTOTAL *			\$325,000.00	\$21,250.00	\$0.00	\$21,250.00		\$19,550.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
04/20/2018	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.86	0.00	13.86	92.0	12.75
04/20/2018	PHIPPS, LESLIE	CARTER VALIDUS MC REIT II CLASS T	0.00	29.46	0.00	29.46	92.0	27.10
04/20/2018	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	64.98	0.00	64.98	92.0	59.78
04/20/2018	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.89	0.00	9.89	92.0	9.10
04/20/2018	HINCKLEY, CYNTHIA	CARTER VALIDUS MC REIT II CLASS T	0.00	2.58	0.00	2.58	92.0	2.37
04/20/2018	HINCKLEY, BRYAN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.32	0.00	13.32	92.0	12.25
04/16/2018	NORRIS, GLORIA KAY	COTTONWOOD MULTIFAMILY REIT II	35,000.00	2,100.00	0.00	2,100.00	92.0	1,932.00
04/17/2018	PERRY CHILDRENS TR, RUDOLPH	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	92.0	2,760.00
04/24/2018	CONNELL, BILLIE	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	92.0	552.00
* REIT SUBTOTAL *			\$95,000.00	\$5,834.09	\$0.00	\$5,834.09		\$5,367.35
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/25/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	63,075.94	13.39	0.00	13.39	92.0	12.32
04/25/2018	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND C	20,190.87	17.15	0.00	17.15	92.0	15.78
04/25/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	13,940.31	11.84	0.00	11.84	92.0	10.89
04/25/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	16,400.39	13.93	0.00	13.93	92.0	12.82
04/25/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	25,247.40	21.44	0.00	21.44	92.0	19.72
04/25/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	75,935.32	64.49	0.00	64.49	92.0	59.33
04/25/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	25,203.38	21.40	0.00	21.40	92.0	19.69
04/25/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	20,148.64	17.11	0.00	17.11	92.0	15.74
04/25/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	28,544.25	24.24	0.00	24.24	92.0	22.30
04/25/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	30,128.46	25.59	0.00	25.59	92.0	23.54
04/25/2018	PERRY CHILDRENS TR, RUDOLPH	BR TOTAL INC & REAL ESTATE FUND C	12,863.56	10.92	0.00	10.92	92.0	10.05
04/25/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	16,056.84	13.64	0.00	13.64	92.0	12.55
04/25/2018	THREADGILL, VICKY	BR TOTAL INC & REAL ESTATE FUND C	16,045.56	13.63	0.00	13.63	92.0	12.54
04/25/2018	BARFIELD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	2,170.47	1.84	0.00	1.84	92.0	1.69
* INTERVAL FUNDS SUBTOTAL *			\$365,951.39	\$270.61	\$0.00	\$270.61		\$248.96
<u>PRODUCT CATEGORY: 529 PLANS</u>								
05/02/2018	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
04/30/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
05/01/2018	ST JOHN, FINN	COLLEGE 2033 FUND-529A	100.00	3.50	0.00	3.50	90.0	3.15
05/01/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
/ /	AMOS, ALEXANDER	FA 529 COLLEGE PORT OLD CL A	0.00	16.01	0.00	16.01	90.0	14.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	AMOS, ALEXANDER	FA 529 COLLEGE PORT OLD CL A	0.00	17.17	0.00	17.17	90.0	15.45
* 529 PLANS SUBTOTAL *			\$245.00	\$43.63	\$0.00	\$43.63		\$39.28
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	GORDON, JEFFREY	ALLIANZ FUNDS	300.00	3.00	0.00	3.00	90.0	2.70
04/25/2018	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2018	HEZEL, KATHLEEN	INVESCO BALANCED-RISK ALLOCATION-C	36.62	0.37	0.00	0.37	90.0	0.33
* MUTUAL FUNDS SUBTOTAL *			\$386.62	\$3.87	\$0.00	\$3.87		\$3.48
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/27/2018	MODLIN, MARK	AXA EQUITABLE 00248C626	10,000.00	600.00	0.00	600.00	90.0	540.00
04/30/2018	LILES, ROBERT	JOHN HANCOCK VENTURE III	178,845.41	149.04	0.00	149.04	90.0	134.14
04/30/2018	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	69,362.79	57.80	0.00	57.80	90.0	52.02
* VARIABLE ANNUITIES SUBTOTAL *			\$258,208.20	\$806.84	\$0.00	\$806.84		\$726.16
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	WESTMORELAND, SUSAN	FIDELITY ADV SHORT TERM BOND CL A	0.00	0.77	0.00	0.77	90.0	0.69
04/30/2018	GONZALEZ, JENNIFER	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	2.30	0.00	2.30	90.0	2.07
04/30/2018	GONZALEZ, JENNIFER	FRANKLIN MUTL SHRS 529 PORT CL A	0.00	2.46	0.00	2.46	90.0	2.21
04/30/2018	SHERRIEB, HAROLD	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	20.71	0.00	20.71	90.0	18.64
04/30/2018	BRAKE, WALTER	FT FOUNDING FUNDS ALLOC A	0.00	0.62	0.00	0.62	90.0	0.56
04/30/2018	SHAW, DEBRA	FT FOUNDING FUNDS ALLOC A	0.00	1.69	0.00	1.69	90.0	1.52
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.43	0.00	1.43	90.0	1.29
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.43	0.00	1.43	90.0	1.29
04/30/2018	SHERRIEB, HAROLD	TEMPLETON FOREIGN FUND A	0.00	32.14	0.00	32.14	90.0	28.93
04/30/2018	GONZALEZ, JENNIFER	TEMPLETON GROWTH 529 A	0.00	2.36	0.00	2.36	90.0	2.12
05/02/2018	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.23	0.00	4.23	90.0	3.81
05/02/2018	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	15.69	0.00	15.69	90.0	14.12
05/02/2018	PERRY CHILDRENS TR, RUDOLPH	TRANSAMERICA MLP & ENERGY INC - C	0.00	12.00	0.00	12.00	90.0	10.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$97.83	\$0.00	\$97.83		\$88.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	HENSLEE, CHARLES	JNL PERSPECTIVE	0.00	2.10	0.00	2.10	90.0	1.89
04/30/2018	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	0.00	42.68	0.00	42.68	90.0	38.41
04/30/2018	CADY, KENDA	JNL PERSPECTIVE L	0.00	32.64	0.00	32.64	90.0	29.38

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
04/30/2018	HEIL, ROBERT	JNL PERSPECTIVE L	0.00	65.50	0.00	65.50	90.0	58.95
04/30/2018	PARKIN, DAVID	JNL PERSPECTIVE L	0.00	67.73	0.00	67.73	90.0	60.96
04/30/2018	TOMLINSON, HAROLD	JNL PERSPECTIVE L	0.00	119.67	0.00	119.67	90.0	107.70
04/30/2018	MARKS, FRANCES	JNL PERSPECTIVE L	0.00	45.07	0.00	45.07	90.0	40.56
04/30/2018	MARKS, RICHARD	JNL PERSPECTIVE L	0.00	45.22	0.00	45.22	90.0	40.70
04/30/2018	SENESE, CATHERINE	JNL PERSPECTIVE L	0.00	6.73	0.00	6.73	90.0	6.06
04/30/2018	HARVEY, HELEN	JNL PERSPECTIVE L	0.00	82.63	0.00	82.63	90.0	74.37
04/30/2018	SENESE, CARMEN	JNL PERSPECTIVE L	0.00	21.92	0.00	21.92	90.0	19.73
04/30/2018	HARVEY, JESSE	JNL PERSPECTIVE L	0.00	102.18	0.00	102.18	90.0	91.96
04/30/2018	IRBY, MALCOLM DON & E	JNL PERSPECTIVE L	0.00	20.76	0.00	20.76	90.0	18.68
04/30/2018	PERRY, JANINE	JNL PERSPECTIVE L	0.00	20.97	0.00	20.97	90.0	18.87
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$675.80	\$0.00	\$675.80		\$608.22
*** DIRECT TRANSACTIONS TOTAL ***			\$1,044,791.21	\$28,982.67	\$0.00	\$28,982.67		\$26,631.50

VERRIDE TRANSACTIONS**BROKER: MARK-S141 REG SMITH #367**

05/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
05/01/2018	BONGIORNO, VALERIE	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
04/10/2018	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	4.32	0.00	4.32	48.0	1.81
05/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
04/10/2018	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
04/10/2018	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
04/10/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.58	0.00	6.58	48.0	2.76
05/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.05
04/10/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.70	0.00	3.70	48.0	1.55
04/10/2018	WITKOWSKI, CHET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.57	0.00	0.57	48.0	0.24
05/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	48.0	1.05
05/01/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
04/10/2018	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.72	0.00	1.72	48.0	0.72
05/01/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
04/10/2018	WITKOWSKI, CHET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	48.0	0.24
05/01/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
05/01/2018	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
04/10/2018	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	4.29	0.00	4.29	48.0	1.80
04/10/2018	WITKOWSKI, CHET	AM FUNDS ICA A	0.00	0.56	0.00	0.56	48.0	0.24
04/10/2018	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	48.0	0.07
04/10/2018	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	48.0	0.07
04/10/2018	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.17	0.00	2.17	48.0	0.91
04/10/2018	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.52	0.00	3.52	48.0	1.48
04/10/2018	WITKOWSKI, CHET	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.55	0.00	0.55	48.0	0.23
04/10/2018	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
04/10/2018	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
04/10/2018	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.09
04/10/2018	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.09
04/30/2018	TMICO	FRANKLIN INCOME C	0.00	7.73	0.00	7.73	48.0	3.25
04/30/2018	SPURGIN, LISBETH	FRANKLIN TOTAL RETURN FUNDS -CL C	0.00	5.28	0.00	5.28	48.0	2.22
04/30/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	0.00	0.17	0.00	0.17	48.0	0.07
04/30/2018	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	28.01	0.00	28.01	48.0	11.76
04/10/2018	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	8.15	0.00	8.15	48.0	3.42
04/30/2018	BRIDGES, JANIS	JNL ELITE ACCESS	0.00	89.03	0.00	89.03	48.0	37.39
04/30/2018	RHODES, VIRGINIA	JNL ELITE ACCESS	0.00	64.09	0.00	64.09	48.0	26.92
04/30/2018	CROWTHER, JOHN	JNL ELITE ACCESS	0.00	12.38	0.00	12.38	48.0	5.20
04/30/2018	NOBLES, VERLIE	JNL PERSPECTIVE L	0.00	33.50	0.00	33.50	48.0	14.07
04/30/2018	REEDER, WESLEY	JNL PERSPECTIVE L	0.00	19.45	0.00	19.45	48.0	8.17
04/30/2018	SPURGIN, BRENT	JNL PERSPECTIVE L	0.00	39.34	0.00	39.34	48.0	16.52
04/30/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	0.00	41.54	0.00	41.54	48.0	17.45
04/30/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	0.00	42.32	0.00	42.32	48.0	17.77
04/30/2018	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	30,350.85	25.29	0.00	25.29	48.0	10.62
04/10/2018	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.65	0.00	0.65	48.0	0.27
04/10/2018	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.96	0.00	0.96	48.0	0.40
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
04/27/2018	BURNS, ANNE	SEC BEN 814121158	35,664.76	29.71	0.00	29.71	48.0	12.48

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-S141 REG SMITH #367 </u>								
05/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.35	0.00	10.35	48.0	4.35
05/02/2018	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.18	0.00	4.18	48.0	1.76
* MARK-S141 REG SMITH SUBTOTAL *			\$66,465.61	\$514.50	\$0.00	\$514.50		\$216.07
<u> BROKER: MARK-S142 ALTS SMITH #368 </u>								
04/25/2018	ORR POWER OF ATTORNEY,	BR TOTAL INC & REAL ESTATE FUND A	79,510.36	16.88	0.00	16.88	49.0	7.26
04/25/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND A	1,058.76	0.22	0.00	0.22	49.0	0.09
04/25/2018	ORR, PATRICIA	BR TOTAL INC & REAL ESTATE FUND A	8,199.85	1.74	0.00	1.74	49.0	0.75
04/25/2018	ORR, RICHARD	BR TOTAL INC & REAL ESTATE FUND A	18,868.93	4.01	0.00	4.01	49.0	1.72
04/25/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	20,710.78	4.40	0.00	4.40	49.0	1.89
04/25/2018	SPENCER, HAROLD	BR TOTAL INC & REAL ESTATE FUND A	22,855.69	4.85	0.00	4.85	49.0	2.09
04/25/2018	WILSON TRUST, WOODROW & JOANN	BR TOTAL INC & REAL ESTATE FUND A	10,325.13	2.19	0.00	2.19	49.0	0.94
04/25/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	39,360.85	33.43	0.00	33.43	49.0	14.37
04/25/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	33,917.80	28.81	0.00	28.81	49.0	12.39
04/25/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	21,851.86	18.56	0.00	18.56	49.0	7.98
04/25/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	16,247.68	13.80	0.00	13.80	49.0	5.93
04/25/2018	WISE TRUST, LUCILE	BR TOTAL INC & REAL ESTATE FUND C	20,127.60	17.09	0.00	17.09	49.0	7.35
* MARK-S142 ALTS SMITH SUBTOTAL *			\$293,035.29	\$145.98	\$0.00	\$145.98		\$62.76
*** OVERRIDE TRANSACTIONS TOTAL ***			\$359,500.90	\$660.48	\$0.00	\$660.48		\$278.83
<u> ADJUSTMENTS </u>								
05/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
05/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*FINRA: FINRA CE TRACKED WEB FEE S201	0.00					-55.00
05/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
05/15/2018		*RBC: W/O 7A503138 HEIHN	0.00					-25.00
05/15/2018		*RBC: W/O 7A503146 PHILLIPS	0.00					-25.00
05/15/2018		*RBC: W/O DB 7A503147 PHILLIPS	0.00					-25.00
05/15/2018		*RBC: WEALTH STATION	0.00					-25.00
05/15/2018		*TECH: CURRENT MONTH BASIC	0.00					-180.00
05/15/2018		*TECHNOLOGY: ADMIN - CHRISTINA	0.00					-130.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/15/2018	POOLE	*TECHNOLOGY: ADMIN - JAMIE CONDRAY	0.00					-130.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-920.00

BROKER COMMISSION TOTALS

Broker Transactions	\$27,125.14
Split Transactions	\$0.00
Override Transactions	\$278.83
Adjustments	\$-920.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$26,483.97
Year-to-date Gross	\$89,718.62
Year-to-date Earnings	\$102,424.65

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed