



Concorde Investment Services, LLC

01/13/2017  
Page No. 1

# COMMISSION STATEMENT

January 17, 2017

ROBIN EDGAR  
1161 WAYZATA BLVD E  
#400  
Wayzata, MN 55391

BROKER #: E119  
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/06/2017	ROZENDAAL, DOUG	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
12/22/2016	ROZENDAAL, DOUG	HPI REAL ESTATE OPP FUND IV LLC	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$200,000.00</b>	<b>\$14,000.00</b>	<b>\$0.00</b>	<b>\$14,000.00</b>		<b>\$12,600.00</b>
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
12/30/2016	KEHN, LEVI	US ENERGY 2016 DRILLING FUND	25,000.00	0.00	0.00	0.00	90.0	0.00
11/17/2016	STRIPLING, KERRY	WAVELAND RESOURCE PARTNERS IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
		<b>* OIL &amp; GAS SUBTOTAL *</b>	<b>\$50,000.00</b>	<b>\$1,750.00</b>	<b>\$0.00</b>	<b>\$1,750.00</b>		<b>\$1,575.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/06/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
01/06/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
01/06/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
12/29/2016	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
12/29/2016	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
01/03/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
01/05/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
01/06/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
01/03/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
01/06/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
01/06/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
12/29/2016	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	51.36	1.41	0.00	1.41	90.0	1.27
12/29/2016	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	68.46	1.88	0.00	1.88	90.0	1.69
12/29/2016	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	59.73	1.64	0.00	1.64	90.0	1.48
12/29/2016	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	79.65	2.19	0.00	2.19	90.0	1.97
01/04/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
01/04/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/04/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	53.65	2.01	0.00	2.01	90.0	1.81
01/04/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	31.25	1.17	0.00	1.17	90.0	1.05
01/04/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	43.13	1.62	0.00	1.62	90.0	1.46
01/04/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	41.87	1.57	0.00	1.57	90.0	1.41
01/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
01/06/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
12/27/2016	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	38.48	1.44	0.00	1.44	90.0	1.30
12/27/2016	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	23.09	0.87	0.00	0.87	90.0	0.78
12/29/2016	PAGE, SUSAN	AM FUNDS GROWTH PORT A	64.71	1.78	0.00	1.78	90.0	1.60
12/29/2016	PAGE, SUSAN	AM FUNDS GROWTH PORT A	48.53	1.33	0.00	1.33	90.0	1.20
12/29/2016	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	68.91	1.90	0.00	1.90	90.0	1.71
12/29/2016	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	91.88	2.53	0.00	2.53	90.0	2.28
12/29/2016	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	60.81	1.67	0.00	1.67	90.0	1.50
12/29/2016	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	45.61	1.25	0.00	1.25	90.0	1.13
01/03/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	42.75	1.60	0.00	1.60	90.0	1.44
01/03/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	25.65	0.96	0.00	0.96	90.0	0.86
01/04/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
01/04/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
01/04/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	128.75	4.83	0.00	4.83	90.0	4.35
01/04/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
01/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
01/06/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
01/06/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
01/06/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
01/03/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
01/06/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
01/06/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
12/29/2016	GROSS, JUDY	AM FUNDS NEW WORLD A	4,720.00	129.80	0.00	129.80	90.0	116.82
12/27/2016	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.32	0.61	0.00	0.61	90.0	0.55
12/27/2016	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.32	0.61	0.00	0.61	90.0	0.55
12/27/2016	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	47.36	1.78	0.00	1.78	90.0	1.60
12/27/2016	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.68	0.89	0.00	0.89	90.0	0.80
12/27/2016	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	39.60	1.49	0.00	1.49	90.0	1.34

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2016	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.76	0.89	0.00	0.89	90.0	0.80
12/27/2016	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
12/27/2016	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
01/03/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	21.36	0.80	0.00	0.80	90.0	0.72
01/03/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	21.36	0.80	0.00	0.80	90.0	0.72
01/03/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	24.60	0.92	0.00	0.92	90.0	0.83
01/03/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	49.20	1.85	0.00	1.85	90.0	1.67
01/04/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.64	2.01	0.00	2.01	90.0	1.81
01/04/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.25	1.17	0.00	1.17	90.0	1.05
01/04/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	193.13	7.24	0.00	7.24	90.0	6.52
01/04/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.25	2.11	0.00	2.11	90.0	1.90
01/04/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	41.88	1.57	0.00	1.57	90.0	1.41
01/04/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	43.13	1.62	0.00	1.62	90.0	1.46
01/05/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
01/06/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
12/29/2016	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
01/06/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	2,000.00	20.00	0.00	20.00	90.0	18.00
/ /	LOW, ANN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	15.58	0.00	15.58	90.0	14.02
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$14,330.11</b>	<b>\$358.67</b>	<b>\$0.00</b>	<b>\$358.67</b>		<b>\$322.83</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/30/2016	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	46,551.84	50.00	22.50	27.50	90.0	24.75
01/05/2017	KOSS-WINN BANC ESOP	ALPHABET INC CAP STK CL C	-47,416.94	50.00	22.50	27.50	90.0	24.75
12/30/2016	KOSS-WINN BANC ESOP	AMAZON COM INC	45,422.64	50.00	22.50	27.50	90.0	24.75
01/05/2017	KOSS-WINN BANC ESOP	AMAZON COM INC	-46,115.04	50.00	22.50	27.50	90.0	24.75
01/06/2017	KOSS-WINN BANC ESOP	BAIDU COM INC SPONS ADR REPSTG ORD	21,888.69	50.00	22.50	27.50	90.0	24.75
01/10/2017	KOSS-WINN BANC ESOP	BAIDU COM INC SPONS ADR REPSTG ORD	-22,581.38	50.00	22.50	27.50	90.0	24.75
01/06/2017	KOSS-WINN BANC ESOP	NVIDIA CORP COM	25,361.38	50.00	22.50	27.50	90.0	24.75
01/10/2017	KOSS-WINN BANC ESOP	NVIDIA CORP COM	-26,608.70	50.00	22.50	27.50	90.0	24.75
<b>* STOCKS SUBTOTAL *</b>			<b>\$-3,497.51</b>	<b>\$400.00</b>	<b>\$180.00</b>	<b>\$220.00</b>		<b>\$198.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	LANGFALD, ARDIS	LFG TRAILS	0.00	55.13	0.00	55.13	90.0	49.62
12/31/2016	LANGFALD, RONALD	LFG TRAILS	0.00	170.39	0.00	170.39	90.0	153.35

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	DOANE, ELDON	LFG TRAILS	0.00	180.35	0.00	180.35	90.0	162.32
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$405.87	\$0.00	\$405.87		\$365.29
*** BROKER TRANSACTIONS TOTAL ***			\$260,832.60	\$16,914.54	\$180.00	\$16,734.54		\$15,061.12

<b><u>ADJUSTMENTS</u></b>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*PERSHING: BOOKS & RECORDS	0.00					-24.09
01/17/2017		*PERSHING: UIT PROSPECTUS	0.00					-2.41
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-601.50

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$15,061.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-601.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,459.62
Year-to-date Gross	\$16,914.54
Year-to-date Earnings	\$15,061.12

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