



Concorde Investment Services, LLC

01/12/2018

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COMMISSION STATEMENT**January 15, 2018**DAVID MIKE BREEDLOVE
1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132

BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/08/2017	LADNER TRUST	GEM FUNDS TOTAL INC & REAL ESTATE	0.00	13.87	90.0	12.48	0.00	12.48
12/11/2017	LADNER TRUST	GEM FUNDS TOTAL INC & REAL ESTATE	0.00	168.71	90.0	151.84	0.00	151.84
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$182.58		\$164.32	\$0.00	\$164.32
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,253.54	3.54	90.0	3.19	0.00	3.19
12/31/2017	SPEED, LINDA	JNL PERSPECTIVE II 05/05	34,377.30	28.65	90.0	25.79	0.00	25.79
12/31/2017	KELLY, GENE	JNL PERSPECTIVE INVESTOR VUL	228,862.74	85.82	90.0	77.24	0.00	77.24
12/31/2017	KELLY, RACHELL	JNL PERSPECTIVE INVESTOR VUL	111,300.84	41.74	90.0	37.57	0.00	37.57
12/31/2017	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,528.73	0.96	90.0	0.86	0.00	0.86
12/31/2017	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,528.73	0.96	90.0	0.86	0.00	0.86
12/31/2017	MARTIN, KATHRYN	PRU AS LIFEVEST II	169,037.79	105.65	90.0	95.09	0.00	95.09
12/31/2017	MARTIN, KATHRYN	PRU AS LIFEVEST II	169,037.79	316.95	90.0	285.26	0.00	285.26
12/31/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	25,185.91	15.74	90.0	14.17	0.00	14.17
12/31/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	25,185.91	15.74	90.0	14.17	0.00	14.17
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	50,648.61	31.66	90.0	28.49	0.00	28.49
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	50,648.61	31.66	90.0	28.49	0.00	28.49
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	138,553.62	86.60	90.0	77.94	0.00	77.94
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	138,553.62	86.60	90.0	77.94	0.00	77.94
12/31/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	26,122.24	16.33	90.0	14.70	0.00	14.70
12/31/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	26,122.24	16.33	90.0	14.70	0.00	14.70
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,381.07	15.24	90.0	13.72	0.00	13.72
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,381.07	15.24	90.0	13.72	0.00	13.72
12/31/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,192.65	8.87	90.0	7.98	0.00	7.98
12/31/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,192.65	8.87	90.0	7.98	0.00	7.98
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,560.77	23.48	90.0	21.13	0.00	21.13
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,560.77	23.48	90.0	21.13	0.00	21.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,923.27	17.45	90.0	15.71	0.00	15.71
12/31/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,923.27	17.45	90.0	15.71	0.00	15.71
12/31/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	169,774.29	106.11	90.0	95.50	0.00	95.50
12/31/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	202,217.45	126.39	90.0	113.75	0.00	113.75
12/31/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	169,774.29	106.11	90.0	95.50	0.00	95.50
12/31/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	169,774.29	106.11	90.0	95.50	0.00	95.50
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	292,199.02	182.62	90.0	164.36	0.00	164.36
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	154,416.98	96.51	90.0	86.86	0.00	86.86
12/31/2017	BURNS, HENRY	PRU PREMIER RETIREMENT C	12,494.31	31.24	90.0	28.12	0.00	28.12
12/31/2017	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	12,503.17	31.26	90.0	28.13	0.00	28.13
* VARIABLE ANNUITIES SUBTOTAL *			\$3,673,136.40	\$2,476.93		\$2,229.28	\$0.00	\$2,229.28

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/21/2017	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.37	90.0	2.13	0.00	2.13
12/21/2017	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.89	90.0	0.80	0.00	0.80
12/21/2017	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.79	90.0	0.71	0.00	0.71
12/13/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.18	90.0	1.96	0.00	1.96
/ /	KENNEDY, EVELYN	FIDELITY ENERGY T	0.00	2.03	90.0	1.83	0.00	1.83
/ /	KENNEDY, EVELYN	FIDELITY EQUITY GROWTH T	0.00	8.34	90.0	7.51	0.00	7.51
12/19/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.43	90.0	3.99	0.00	3.99
12/19/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.36	90.0	11.12	0.00	11.12
12/19/2017	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.76	90.0	1.58	0.00	1.58
12/19/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	14.69	90.0	13.22	0.00	13.22
12/19/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	64.12	90.0	57.71	0.00	57.71
12/19/2017	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	15.01	90.0	13.51	0.00	13.51
12/19/2017	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	11.21	90.0	10.09	0.00	10.09
12/19/2017	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	65.92	90.0	59.33	0.00	59.33

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/19/2017	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.04	90.0	1.84	0.00	1.84
12/19/2017	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.78	90.0	5.20	0.00	5.20
12/19/2017	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	43.28	90.0	38.95	0.00	38.95
12/19/2017	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	1.91	90.0	1.72	0.00	1.72
12/19/2017	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.53	90.0	4.98	0.00	4.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$264.64		\$238.18	\$0.00	\$238.18
*** DIRECT TRANSACTIONS TOTAL ***			\$3,673,136.40	\$2,924.15		\$2,631.78	\$0.00	\$2,631.78

ADJUSTMENTS

01/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
01/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
01/15/2018		*FINRA: CO INDIVIDUAL REG FEE	0.00					-36.00
01/15/2018		*FINRA: FBI FINGERPRINT DAVIS	0.00					-10.00
01/15/2018		*FINRA: FINGERPRINT DAVIS	0.00					-15.00
01/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
01/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
01/15/2018		*PERSHING: MF PROSPECTUS	0.00					-3.10
01/15/2018		*TECHNOLOGY: ADMIN - K BREEDLOVE	0.00					-130.00
01/15/2018		*TECHNOLOGY: ADMIN - LESLIE DALY	0.00					-135.00
01/15/2018		*TECHNOLOGY: ADMIN - R WILLIAMS	0.00					-130.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,055.10	\$0.00	\$-1,055.10

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,631.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,055.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,576.68
Year-to-date Gross	\$2,924.15
Year-to-date Earnings	\$2,631.78