

# STATEMENT

May 20, 2018

JOSHUA STROUD - LPCM  
1742 Delrose Ave

BROKER #: 3018  
BRANCH: LIBERTY PARTNERS CAP

Springfield, OR 97477-

## COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: RIA

/ /	#557000 TCA STROUD VAR	LPCM MOD 30/30/40	B	16,092.69	23.47	0.00	0.00	23.47	100.0	23.47
/ /	#558690 TCA STROUD VAR	LPCM MOD 30/30/40	B	64,629.88	94.25	0.00	0.00	94.25	100.0	94.25
/ /	#561379 TCA STROUD VAR	LPCM MOD 30/30/40	B	157,977.28	230.38	0.00	0.00	230.38	100.0	230.38
04/05/0018	#48814767 BELLAMY, CINDY	CLS INVESTMENT FEES	B	0.00	39.29	0.00	0.00	39.29	91.0	35.75
04/05/0018	#54714728 BELLAMY, CINDY	CLS INVESTMENT FEES	B	0.00	1.21	0.00	0.00	1.21	91.0	1.10
04/05/0018	#954056195 BELLAMY, TIMOTHY	CLS INVESTMENT FEES	B	0.00	30.91	0.00	0.00	30.91	91.0	28.13
04/05/0018	#954056195 BELLAMY, TIMOTHY	CLS INVESTMENT FEES	B	0.00	159.20	0.00	0.00	159.20	91.0	144.87
04/05/0018	#954079656 BUSH, JASON	CLS INVESTMENT FEES	B	0.00	199.17	0.00	0.00	199.17	91.0	181.24
04/05/0018	#954079656 BUSH, JASON	CLS INVESTMENT FEES	B	0.00	166.56	0.00	0.00	166.56	91.0	151.57
04/05/0018	#941772292 BUSH, SUSAN	CLS INVESTMENT FEES	B	0.00	22.05	0.00	0.00	22.05	91.0	20.07
04/05/0018	#941772292 BUSH, SUSAN	CLS INVESTMENT FEES	B	0.00	50.26	0.00	0.00	50.26	91.0	45.74
04/05/0018	#16206190 CAGE-SOUERS, JUDITH	CLS INVESTMENT FEES	B	0.00	113.99	0.00	0.00	113.99	91.0	103.73
04/05/0018	#96150584 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	0.00	22.42	0.00	0.00	22.42	91.0	20.40
04/05/0018	#37856768 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	0.00	124.18	0.00	0.00	124.18	91.0	113.00
04/05/0018	#37856768 CALDERON, MATTHEW	CLS INVESTMENT FEES	B	0.00	164.43	0.00	0.00	164.43	91.0	149.63
04/05/0018	#954077199 EATON, SHAWN	CLS INVESTMENT FEES	B	0.00	272.16	0.00	0.00	272.16	91.0	247.67
04/05/0018	#954077199 EATON, SHAWN	CLS INVESTMENT FEES	B	0.00	99.87	0.00	0.00	99.87	91.0	90.88
04/05/0018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	0.00	64.23	0.00	0.00	64.23	91.0	58.45
04/05/0018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	0.00	97.29	0.00	0.00	97.29	91.0	88.53
04/05/0018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	0.00	163.34	0.00	0.00	163.34	91.0	148.64
04/05/0018	#954077251 EATON, SHAWN	CLS INVESTMENT FEES	B	0.00	196.68	0.00	0.00	196.68	91.0	178.98
04/05/0018	#94049922 FREEMAN, RUTH	CLS INVESTMENT FEES	B	0.00	19.10	0.00	0.00	19.10	91.0	17.38
04/05/0018	#46810680 FREEMAN, RUTH	CLS INVESTMENT FEES	B	0.00	748.31	0.00	0.00	748.31	91.0	680.96
04/05/0018	#46810680 FREEMAN, RUTH	CLS INVESTMENT FEES	B	0.00	171.36	0.00	0.00	171.36	91.0	155.94
04/05/0018	#954077247 HANDLEY, JEAN	CLS INVESTMENT FEES	B	0.00	189.94	0.00	0.00	189.94	91.0	172.85
04/05/0018	#954077247 HANDLEY, JEAN	CLS INVESTMENT FEES	B	0.00	166.35	0.00	0.00	166.35	91.0	151.38
04/05/0018	#84698266 HOUCK, ROBERT	CLS INVESTMENT FEES	B	0.00	6.39	0.00	0.00	6.39	91.0	5.81
04/05/0018	#37129559 HOUCK, JENNIFER	CLS INVESTMENT FEES	B	0.00	3.95	0.00	0.00	3.95	91.0	3.59
04/05/0018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	0.00	51.08	0.00	0.00	51.08	91.0	46.48
04/05/0018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	0.00	97.41	0.00	0.00	97.41	91.0	88.64
04/05/0018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	0.00	37.07	0.00	0.00	37.07	91.0	33.73

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
04/05/0018	#38145325 KORTE, BRENDA	CLS INVESTMENT FEES	B	0.00	62.57	0.00	0.00	62.57	91.0	56.94
04/05/0018	#954077245 KUEHN, LESLIE	CLS INVESTMENT FEES	B	0.00	1,350.71	0.00	0.00	1,350.71	91.0	1,229.15
04/05/0018	#954077245 KUEHN, LESLIE	CLS INVESTMENT FEES	B	0.00	172.62	0.00	0.00	172.62	91.0	157.08
04/05/0018	#941419811 LANE, VALERIE	CLS INVESTMENT FEES	B	0.00	4.15	0.00	0.00	4.15	91.0	3.78
04/05/0018	#19656327 LANE, RONALD	CLS INVESTMENT FEES	B	0.00	320.00	0.00	0.00	320.00	91.0	291.20
04/05/0018	#19656327 LANE, RONALD	CLS INVESTMENT FEES	B	0.00	168.56	0.00	0.00	168.56	91.0	153.39
04/05/0018	#71049951 LEE, LYNN	CLS INVESTMENT FEES	B	0.00	150.82	0.00	0.00	150.82	91.0	137.25
04/05/0018	#71049951 LEE, LYNN	CLS INVESTMENT FEES	B	0.00	165.31	0.00	0.00	165.31	91.0	150.43
04/05/0018	#954077213 MEIER, DONALD	CLS INVESTMENT FEES	B	0.00	67.96	0.00	0.00	67.96	91.0	61.84
04/05/0018	#954077213 MEIER, DONALD	CLS INVESTMENT FEES	B	0.00	42.31	0.00	0.00	42.31	91.0	38.50
04/05/0018	#954079658 MEIER, DONALD	CLS INVESTMENT FEES	B	0.00	201.61	0.00	0.00	201.61	91.0	183.47
04/05/0018	#954079658 MEIER, DONALD	CLS INVESTMENT FEES	B	0.00	125.54	0.00	0.00	125.54	91.0	114.24
04/05/0018	#939284584 MOORE, RYAN	CLS INVESTMENT FEES	B	0.00	42.57	0.00	0.00	42.57	91.0	38.74
04/05/0018	#941087157 MORTIER, NICHOLAS	CLS INVESTMENT FEES	B	0.00	63.68	0.00	0.00	63.68	91.0	57.95
04/05/0018	#941087157 MORTIER, NICHOLAS	CLS INVESTMENT FEES	B	0.00	161.59	0.00	0.00	161.59	91.0	147.05
04/05/0018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	0.00	329.57	0.00	0.00	329.57	91.0	299.91
04/05/0018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	0.00	114.97	0.00	0.00	114.97	91.0	104.62
04/05/0018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	0.00	140.61	0.00	0.00	140.61	91.0	127.96
04/05/0018	#941305980 MORTIER, DAVID	CLS INVESTMENT FEES	B	0.00	43.61	0.00	0.00	43.61	91.0	39.69
04/05/0018	#11315463 MORTIER, KATHERINE	CLS INVESTMENT FEES	B	0.00	211.40	0.00	0.00	211.40	91.0	192.37
04/05/0018	#11315463 MORTIER, KATHERINE	CLS INVESTMENT FEES	B	0.00	167.01	0.00	0.00	167.01	91.0	151.98
04/05/0018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	456.32	0.00	0.00	456.32	91.0	415.25
04/05/0018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	90.40	0.00	0.00	90.40	91.0	82.26
04/05/0018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	345.24	0.00	0.00	345.24	91.0	314.17
04/05/0018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	68.39	0.00	0.00	68.39	91.0	62.23
04/05/0018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	21.83	0.00	0.00	21.83	91.0	19.87
04/05/0018	#51878198086897 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	4.33	0.00	0.00	4.33	91.0	3.94
04/05/0018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	16.35	0.00	0.00	16.35	91.0	14.88
04/05/0018	#51878198067031 PATE-COVERT,	CLS INVESTMENT FEES	B	0.00	3.23	0.00	0.00	3.23	91.0	2.94
04/05/0018	#77800798 PRASAD, MELVIN	CLS INVESTMENT FEES	B	0.00	48.73	0.00	0.00	48.73	91.0	44.34
04/05/0018	#30185840 PRASAD, MELVIN	CLS INVESTMENT FEES	B	0.00	22.73	0.00	0.00	22.73	91.0	20.68
04/05/0018	#24106033 PRASAD, MELVIN	CLS INVESTMENT FEES	B	0.00	1.61	0.00	0.00	1.61	91.0	1.47
04/05/0018	#54104459467031 RADFORD,	CLS INVESTMENT FEES	B	0.00	0.54	0.00	0.00	0.54	91.0	0.49
04/05/0018	#54104459486897 RADFORD,	CLS INVESTMENT FEES	B	0.00	0.45	0.00	0.00	0.45	91.0	0.41
04/05/0018	#54104459467031 RADFORD,	CLS INVESTMENT FEES	B	0.00	48.35	0.00	0.00	48.35	91.0	44.00
04/05/0018	#54104459486897 RADFORD,	CLS INVESTMENT FEES	B	0.00	47.76	0.00	0.00	47.76	91.0	43.46
04/05/0018	#954051931 SCHROTBERG, CHAD	CLS INVESTMENT FEES	B	0.00	80.02	0.00	0.00	80.02	91.0	72.82
04/05/0018	#954051931 SCHROTBERG, CHAD	CLS INVESTMENT FEES	B	0.00	162.51	0.00	0.00	162.51	91.0	147.88
04/05/0018	#954056215 SHAFER, MICHAEL	CLS INVESTMENT FEES	B	0.00	25.19	0.00	0.00	25.19	91.0	22.92
04/05/0018	#939232362 THOMPSON, JASON	CLS INVESTMENT FEES	B	0.00	22.85	0.00	0.00	22.85	91.0	20.79

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
04/05/0018	#58546906686897	THURSTON, CLS INVESTMENT FEES	B	0.00	19.80	0.00	0.00	19.80	91.0	18.02
04/05/0018	#58546906686897	THURSTON, CLS INVESTMENT FEES	B	0.00	4.33	0.00	0.00	4.33	91.0	3.94
04/05/0018	#58546906667031	THURSTON, CLS INVESTMENT FEES	B	0.00	9.94	0.00	0.00	9.94	91.0	9.05
04/05/0018	#58546906667031	THURSTON, CLS INVESTMENT FEES	B	0.00	2.17	0.00	0.00	2.17	91.0	1.97
04/05/0018	#58546906686897	THURSTON, CLS INVESTMENT FEES	B	0.00	501.69	0.00	0.00	501.69	91.0	456.54
04/05/0018	#58546906686897	THURSTON, CLS INVESTMENT FEES	B	0.00	109.82	0.00	0.00	109.82	91.0	99.94
04/05/0018	#58546906667031	THURSTON, CLS INVESTMENT FEES	B	0.00	251.87	0.00	0.00	251.87	91.0	229.20
04/05/0018	#58546906667031	THURSTON, CLS INVESTMENT FEES	B	0.00	55.14	0.00	0.00	55.14	91.0	50.18
04/05/0018	#711261125	HOFFMAN, ROBERTA CLS INVESTMENT FEES	B	0.00	97.23	0.00	0.00	97.23	91.0	88.48
04/05/0018	#711261123	HOFFMAN, ROBERTA CLS INVESTMENT FEES	B	0.00	97.01	0.00	0.00	97.01	91.0	88.28
04/05/0018	#711261124	HOFFMAN, ROBERTA CLS INVESTMENT FEES	B	0.00	97.31	0.00	0.00	97.31	91.0	88.55
04/05/0018	#711381334	LAWSHE, ANNE CLS INVESTMENT FEES	B	0.00	197.99	0.00	0.00	197.99	91.0	180.17
04/05/0018	#711404618	LAWSHE, ANNE CLS INVESTMENT FEES	B	0.00	353.91	0.00	0.00	353.91	91.0	322.06
04/05/0018	#2020015967	LOTT, LOUIS CLS INVESTMENT FEES	B	0.00	735.23	0.00	0.00	735.23	91.0	669.06
04/19/0018	#557879	TCA FEES	B	0.00	-25.00	0.00	0.00	-25.00	91.0	-22.75
04/01/2018	#92116648901	SOPER, DENNIS FTJ	B	0.00	32.90	0.00	0.00	32.90	91.0	29.94
04/01/2018	#921166489	SOPER, DENNIS FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#92116649901	SOPER, DENNIS FTJ	B	0.00	33.89	0.00	0.00	33.89	91.0	30.84
04/01/2018	#92116649902	SOPER, DENNIS FTJ	B	0.00	29.03	0.00	0.00	29.03	91.0	26.42
04/01/2018	#92116649903	SOPER, DENNIS FTJ	B	0.00	26.64	0.00	0.00	26.64	91.0	24.24
04/01/2018	#92116649904	SOPER, DENNIS FTJ	B	0.00	20.62	0.00	0.00	20.62	91.0	18.76
04/01/2018	#92116718801	LEE, LYNN FTJ	B	0.00	21.70	0.00	0.00	21.70	91.0	19.75
04/01/2018	#92116769801	PRUITT, ROSEANNE FTJ	B	0.00	16.77	0.00	0.00	16.77	91.0	15.26
04/01/2018	#92116769802	PRUITT, ROSEANNE FTJ	B	0.00	14.41	0.00	0.00	14.41	91.0	13.11
04/01/2018	#92116769803	PRUITT, ROSEANNE FTJ	B	0.00	13.18	0.00	0.00	13.18	91.0	11.99
04/01/2018	#92116769804	PRUITT, ROSEANNE FTJ	B	0.00	10.20	0.00	0.00	10.20	91.0	9.28
04/01/2018	#92116770301	PRUITT, ROSEANNE FTJ	B	0.00	91.31	0.00	0.00	91.31	91.0	83.09
04/01/2018	#921167703	PRUITT, ROSEANNE FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0	0.02
04/01/2018	#921168556	CRUMPACKER, VICKIE FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#92116855601	CRUMPACKER, FTJ	B	0.00	33.83	0.00	0.00	33.83	91.0	30.79
04/01/2018	#92116855602	CRUMPACKER, FTJ	B	0.00	29.02	0.00	0.00	29.02	91.0	26.41
04/01/2018	#921168556	CRUMPACKER, VICKIE FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#92116855603	CRUMPACKER, FTJ	B	0.00	26.10	0.00	0.00	26.10	91.0	23.75
04/01/2018	#92116855604	CRUMPACKER, FTJ	B	0.00	20.05	0.00	0.00	20.05	91.0	18.25
04/01/2018	#92116910501	HASCALL, GREG FTJ	B	0.00	28.48	0.00	0.00	28.48	91.0	25.92
04/01/2018	#921169105	HASCALL, GREG FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#92116910502	HASCALL, GREG FTJ	B	0.00	22.81	0.00	0.00	22.81	91.0	20.76
04/01/2018	#92116910503	HASCALL, GREG FTJ	B	0.00	5.04	0.00	0.00	5.04	91.0	4.59
04/01/2018	#92116912901	HASCALL, CONNIE FTJ	B	0.00	2.35	0.00	0.00	2.35	91.0	2.14
04/01/2018	#92116912902	HASCALL, CONNIE FTJ	B	0.00	1.99	0.00	0.00	1.99	91.0	1.81

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
04/01/2018	#92116912903	HASCALL, CONNIE	FTJ	B	0.00	0.30	0.00	0.00	0.30	91.0 0.27
04/01/2018	#92116913901	HASCALL, CONNIE	FTJ	B	0.00	2.57	0.00	0.00	2.57	91.0 2.34
04/01/2018	#92116913902	HASCALL, CONNIE	FTJ	B	0.00	2.02	0.00	0.00	2.02	91.0 1.84
04/01/2018	#92116913903	HASCALL, CONNIE	FTJ	B	0.00	0.34	0.00	0.00	0.34	91.0 0.31
04/01/2018	#92116985101	OLSEN, BREKKE	FTJ	B	0.00	8.13	0.00	0.00	8.13	91.0 7.40
04/01/2018	#92116985102	OLSEN, BREKKE	FTJ	B	0.00	6.76	0.00	0.00	6.76	91.0 6.15
04/01/2018	#92116985103	OLSEN, BREKKE	FTJ	B	0.00	1.43	0.00	0.00	1.43	91.0 1.30
04/01/2018	#92116986101	OLSEN, BREKKE	FTJ	B	0.00	0.53	0.00	0.00	0.53	91.0 0.48
04/01/2018	#92116986102	OLSEN, BREKKE	FTJ	B	0.00	0.42	0.00	0.00	0.42	91.0 0.38
04/01/2018	#92116986103	OLSEN, BREKKE	FTJ	B	0.00	0.08	0.00	0.00	0.08	91.0 0.07
04/01/2018	#92117246701	EATON, SHAWN	FTJ	B	0.00	10.85	0.00	0.00	10.85	91.0 9.87
04/01/2018	#92117246702	EATON, SHAWN	FTJ	B	0.00	9.24	0.00	0.00	9.24	91.0 8.41
04/01/2018	#92117246703	EATON, SHAWN	FTJ	B	0.00	1.99	0.00	0.00	1.99	91.0 1.81
04/01/2018	#92117269901	LINBARGER,	FTJ	B	0.00	0.93	0.00	0.00	0.93	91.0 0.85
04/01/2018	#92117274901	MICKELSON, MARITA	FTJ	B	0.00	7.24	0.00	0.00	7.24	91.0 6.59
04/01/2018	#92117274902	MICKELSON, MARITA	FTJ	B	0.00	6.11	0.00	0.00	6.11	91.0 5.56
04/01/2018	#92117274903	MICKELSON, MARITA	FTJ	B	0.00	1.32	0.00	0.00	1.32	91.0 1.20
04/01/2018	#92117277401	BUSH, JASON	FTJ	B	0.00	4.08	0.00	0.00	4.08	91.0 3.71
04/01/2018	#92117277402	BUSH, JASON	FTJ	B	0.00	3.43	0.00	0.00	3.43	91.0 3.12
04/01/2018	#92117277403	BUSH, JASON	FTJ	B	0.00	1.65	0.00	0.00	1.65	91.0 1.50
04/01/2018	#92117279401	GABRIO,	FTJ	B	0.00	4.08	0.00	0.00	4.08	91.0 3.71
04/01/2018	#921172794	GABRIO, ALEKSANDER	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92117279402	GABRIO,	FTJ	B	0.00	0.65	0.00	0.00	0.65	91.0 0.59
04/01/2018	#92117378801	MICKELSON, MARITA	FTJ	B	0.00	10.89	0.00	0.00	10.89	91.0 9.91
04/01/2018	#92117378802	MICKELSON, MARITA	FTJ	B	0.00	9.29	0.00	0.00	9.29	91.0 8.45
04/01/2018	#92117378803	MICKELSON, MARITA	FTJ	B	0.00	2.00	0.00	0.00	2.00	91.0 1.82
04/01/2018	#92117428701	MCNEELEY, TROY	FTJ	B	0.00	0.41	0.00	0.00	0.41	91.0 0.37
04/01/2018	#92117428702	MCNEELEY, TROY	FTJ	B	0.00	0.34	0.00	0.00	0.34	91.0 0.31
04/01/2018	#92117428703	MCNEELEY, TROY	FTJ	B	0.00	0.06	0.00	0.00	0.06	91.0 0.05
04/01/2018	#92117451701	CALDERON, MATTHEW	FTJ	B	0.00	0.39	0.00	0.00	0.39	91.0 0.35
04/01/2018	#92117490101	PLEICH JT TEN,	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
04/01/2018	#92118666101	PARK, SANDY	FTJ	B	0.00	9.24	0.00	0.00	9.24	91.0 8.41
04/01/2018	#92118666102	PARK, SANDY	FTJ	B	0.00	7.46	0.00	0.00	7.46	91.0 6.79
04/01/2018	#92118666103	PARK, SANDY	FTJ	B	0.00	1.90	0.00	0.00	1.90	91.0 1.73
04/01/2018	#92118673101	PARK, SANDY	FTJ	B	0.00	47.14	0.00	0.00	47.14	91.0 42.90
04/01/2018	#92118673102	PARK, SANDY	FTJ	B	0.00	38.33	0.00	0.00	38.33	91.0 34.88
04/01/2018	#92118673103	PARK, SANDY	FTJ	B	0.00	9.73	0.00	0.00	9.73	91.0 8.85
04/01/2018	#921166499	SOPER, DENNIS	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#921166499	SOPER, DENNIS	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92118480501	RICE, ROBERT	FTJ	B	0.00	0.05	0.00	0.00	0.05	91.0 0.05

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
04/01/2018	#92118480502	RICE, ROBERT	FTJ	B	0.00	0.05	0.00	0.00	0.05	91.0 0.05
04/01/2018	#92118480503	RICE, ROBERT	FTJ	B	0.00	0.03	0.00	0.00	0.03	91.0 0.03
04/01/2018	#92118659601	APPLEGATE,	FTJ	B	0.00	0.03	0.00	0.00	0.03	91.0 0.03
04/01/2018	#92118659602	APPLEGATE,	FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0 0.02
04/01/2018	#92118659603	APPLEGATE,	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92118712701	WEBSTER, JOHN	FTJ	B	0.00	0.05	0.00	0.00	0.05	91.0 0.05
04/01/2018	#921187127	WEBSTER, JOHN	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92118712702	WEBSTER, JOHN	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
04/01/2018	#92118712703	WEBSTER, JOHN	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92118790701	HANSEN, HEATHER	FTJ	B	0.00	8.35	0.00	0.00	8.35	91.0 7.60
04/01/2018	#921187907	HANSEN, HEATHER	FTJ	B	0.00	0.42	0.00	0.00	0.42	91.0 0.38
04/01/2018	#921187907	HANSEN, HEATHER	FTJ	B	0.00	0.34	0.00	0.00	0.34	91.0 0.31
04/01/2018	#92118790702	HANSEN, HEATHER	FTJ	B	0.00	6.70	0.00	0.00	6.70	91.0 6.10
04/01/2018	#92118790703	HANSEN, HEATHER	FTJ	B	0.00	1.61	0.00	0.00	1.61	91.0 1.47
04/01/2018	#921187907	HANSEN, HEATHER	FTJ	B	0.00	0.08	0.00	0.00	0.08	91.0 0.07
04/01/2018	#92118891901	JOHNSON, MORGAN	FTJ	B	0.00	0.03	0.00	0.00	0.03	91.0 0.03
04/01/2018	#92118891902	JOHNSON, MORGAN	FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0 0.02
04/01/2018	#92118891903	JOHNSON, MORGAN	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92116648901	SOPER, DENNIS	FTJ	B	0.00	36.36	0.00	0.00	36.36	91.0 33.09
04/01/2018	#92116649901	SOPER, DENNIS	FTJ	B	0.00	37.34	0.00	0.00	37.34	91.0 33.98
04/01/2018	#92116649902	SOPER, DENNIS	FTJ	B	0.00	32.16	0.00	0.00	32.16	91.0 29.27
04/01/2018	#92116649903	SOPER, DENNIS	FTJ	B	0.00	29.35	0.00	0.00	29.35	91.0 26.71
04/01/2018	#92116649904	SOPER, DENNIS	FTJ	B	0.00	22.77	0.00	0.00	22.77	91.0 20.72
04/01/2018	#92116718801	LEE, LYNN	FTJ	B	0.00	17.25	0.00	0.00	17.25	91.0 15.70
04/01/2018	#921167188	LEE, LYNN	FTJ	B	0.00	0.15	0.00	0.00	0.15	91.0 0.14
04/01/2018	#92116769801	PRUITT, ROSEANNE	FTJ	B	0.00	18.23	0.00	0.00	18.23	91.0 16.59
04/01/2018	#92116769802	PRUITT, ROSEANNE	FTJ	B	0.00	15.43	0.00	0.00	15.43	91.0 14.04
04/01/2018	#92116769803	PRUITT, ROSEANNE	FTJ	B	0.00	14.82	0.00	0.00	14.82	91.0 13.49
04/01/2018	#92116769804	PRUITT, ROSEANNE	FTJ	B	0.00	11.77	0.00	0.00	11.77	91.0 10.71
04/01/2018	#92116770301	PRUITT, ROSEANNE	FTJ	B	0.00	100.62	0.00	0.00	100.62	91.0 91.56
04/01/2018	#92116855601	CRUMPACKER,	FTJ	B	0.00	37.27	0.00	0.00	37.27	91.0 33.92
04/01/2018	#92116855602	CRUMPACKER,	FTJ	B	0.00	32.16	0.00	0.00	32.16	91.0 29.27
04/01/2018	#92116855603	CRUMPACKER,	FTJ	B	0.00	28.75	0.00	0.00	28.75	91.0 26.16
04/01/2018	#92116855604	CRUMPACKER,	FTJ	B	0.00	22.13	0.00	0.00	22.13	91.0 20.14
04/01/2018	#92116910501	HASCALL, GREG	FTJ	B	0.00	31.38	0.00	0.00	31.38	91.0 28.56
04/01/2018	#92116910502	HASCALL, GREG	FTJ	B	0.00	25.28	0.00	0.00	25.28	91.0 23.00
04/01/2018	#92116910503	HASCALL, GREG	FTJ	B	0.00	5.56	0.00	0.00	5.56	91.0 5.06
04/01/2018	#92116912901	HASCALL, CONNIE	FTJ	B	0.00	2.58	0.00	0.00	2.58	91.0 2.35
04/01/2018	#92116912902	HASCALL, CONNIE	FTJ	B	0.00	2.08	0.00	0.00	2.08	91.0 1.89
04/01/2018	#92116912903	HASCALL, CONNIE	FTJ	B	0.00	0.48	0.00	0.00	0.48	91.0 0.44

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
04/01/2018	#92116913901	HASCALL, CONNIE	FTJ	B	0.00	2.71	0.00	0.00	2.71	91.0 2.47
04/01/2018	#92116913902	HASCALL, CONNIE	FTJ	B	0.00	2.17	0.00	0.00	2.17	91.0 1.97
04/01/2018	#92116913903	HASCALL, CONNIE	FTJ	B	0.00	0.54	0.00	0.00	0.54	91.0 0.49
04/01/2018	#92116985101	OLSEN, BREKKE	FTJ	B	0.00	8.95	0.00	0.00	8.95	91.0 8.14
04/01/2018	#92116985102	OLSEN, BREKKE	FTJ	B	0.00	7.48	0.00	0.00	7.48	91.0 6.81
04/01/2018	#92116985103	OLSEN, BREKKE	FTJ	B	0.00	1.58	0.00	0.00	1.58	91.0 1.44
04/01/2018	#92116986101	OLSEN, BREKKE	FTJ	B	0.00	0.63	0.00	0.00	0.63	91.0 0.57
04/01/2018	#92116986102	OLSEN, BREKKE	FTJ	B	0.00	0.50	0.00	0.00	0.50	91.0 0.46
04/01/2018	#92116986103	OLSEN, BREKKE	FTJ	B	0.00	0.10	0.00	0.00	0.10	91.0 0.09
04/01/2018	#92117246701	EATON, SHAWN	FTJ	B	0.00	11.95	0.00	0.00	11.95	91.0 10.87
04/01/2018	#92117246702	EATON, SHAWN	FTJ	B	0.00	10.24	0.00	0.00	10.24	91.0 9.32
04/01/2018	#92117246703	EATON, SHAWN	FTJ	B	0.00	2.19	0.00	0.00	2.19	91.0 1.99
04/01/2018	#92117269901	LINBARGER,	FTJ	B	0.00	1.64	0.00	0.00	1.64	91.0 1.49
04/01/2018	#921172699	LINBARGER, MICHELE	FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0 0.02
04/01/2018	#921172749	MICKELSON, MARITA	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92117274901	MICKELSON, MARITA	FTJ	B	0.00	8.38	0.00	0.00	8.38	91.0 7.63
04/01/2018	#92117274902	MICKELSON, MARITA	FTJ	B	0.00	7.10	0.00	0.00	7.10	91.0 6.46
04/01/2018	#921172749	MICKELSON, MARITA	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92117274903	MICKELSON, MARITA	FTJ	B	0.00	1.54	0.00	0.00	1.54	91.0 1.40
04/01/2018	#92117277401	BUSH, JASON	FTJ	B	0.00	4.48	0.00	0.00	4.48	91.0 4.08
04/01/2018	#92117277402	BUSH, JASON	FTJ	B	0.00	3.83	0.00	0.00	3.83	91.0 3.49
04/01/2018	#92117277403	BUSH, JASON	FTJ	B	0.00	1.80	0.00	0.00	1.80	91.0 1.64
04/01/2018	#92117279401	GABRIO,	FTJ	B	0.00	5.52	0.00	0.00	5.52	91.0 5.02
04/01/2018	#921172794	GABRIO, ALEKSANDER	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
04/01/2018	#921172794	GABRIO, ALEKSANDER	FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0 0.01
04/01/2018	#92117279402	GABRIO,	FTJ	B	0.00	0.90	0.00	0.00	0.90	91.0 0.82
04/01/2018	#92117378801	MICKELSON, MARITA	FTJ	B	0.00	12.00	0.00	0.00	12.00	91.0 10.92
04/01/2018	#92117378802	MICKELSON, MARITA	FTJ	B	0.00	10.30	0.00	0.00	10.30	91.0 9.37
04/01/2018	#92117378803	MICKELSON, MARITA	FTJ	B	0.00	2.20	0.00	0.00	2.20	91.0 2.00
04/01/2018	#92117428701	MCNEELEY, TROY	FTJ	B	0.00	0.95	0.00	0.00	0.95	91.0 0.86
04/01/2018	#921174287	MCNEELEY, TROY	FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0 0.02
04/01/2018	#921174287	MCNEELEY, TROY	FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0 0.02
04/01/2018	#92117428702	MCNEELEY, TROY	FTJ	B	0.00	0.77	0.00	0.00	0.77	91.0 0.70
04/01/2018	#92117428703	MCNEELEY, TROY	FTJ	B	0.00	0.17	0.00	0.00	0.17	91.0 0.15
04/01/2018	#92117451701	CALDERON, MATTHEW	FTJ	B	0.00	0.43	0.00	0.00	0.43	91.0 0.39
04/01/2018	#92117490101	PLEICH JT TEN,	FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0 0.04
04/01/2018	#92118480501	RICE, ROBERT	FTJ	B	0.00	0.13	0.00	0.00	0.13	91.0 0.12
04/01/2018	#92118480502	RICE, ROBERT	FTJ	B	0.00	0.13	0.00	0.00	0.13	91.0 0.12
04/01/2018	#92118480503	RICE, ROBERT	FTJ	B	0.00	0.07	0.00	0.00	0.07	91.0 0.06
04/01/2018	#92118659601	APPLEGATE,	FTJ	B	0.00	0.10	0.00	0.00	0.10	91.0 0.09

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
04/01/2018	#92118659602	APPLEGATE, FTJ	B	0.00	0.06	0.00	0.00	0.06	91.0	0.05
04/01/2018	#92118659603	APPLEGATE, FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0	0.02
04/01/2018	#92118666101	PARK, SANDY FTJ	B	0.00	10.18	0.00	0.00	10.18	91.0	9.26
04/01/2018	#92118666102	PARK, SANDY FTJ	B	0.00	8.26	0.00	0.00	8.26	91.0	7.52
04/01/2018	#92118666103	PARK, SANDY FTJ	B	0.00	2.10	0.00	0.00	2.10	91.0	1.91
04/01/2018	#92118673101	PARK, SANDY FTJ	B	0.00	51.94	0.00	0.00	51.94	91.0	47.27
04/01/2018	#92118673102	PARK, SANDY FTJ	B	0.00	42.44	0.00	0.00	42.44	91.0	38.62
04/01/2018	#92118673103	PARK, SANDY FTJ	B	0.00	10.74	0.00	0.00	10.74	91.0	9.77
04/01/2018	#92118712701	WEBSTER, JOHN FTJ	B	0.00	0.15	0.00	0.00	0.15	91.0	0.14
04/01/2018	#92118712702	WEBSTER, JOHN FTJ	B	0.00	0.12	0.00	0.00	0.12	91.0	0.11
04/01/2018	#92118712703	WEBSTER, JOHN FTJ	B	0.00	0.03	0.00	0.00	0.03	91.0	0.03
04/01/2018	#92118790701	HANSEN, HEATHER FTJ	B	0.00	13.56	0.00	0.00	13.56	91.0	12.34
04/01/2018	#92118790702	HANSEN, HEATHER FTJ	B	0.00	10.88	0.00	0.00	10.88	91.0	9.90
04/01/2018	#92118790703	HANSEN, HEATHER FTJ	B	0.00	2.63	0.00	0.00	2.63	91.0	2.39
04/01/2018	#92118891901	JOHNSON, MORGAN FTJ	B	0.00	0.10	0.00	0.00	0.10	91.0	0.09
04/01/2018	#92118891902	JOHNSON, MORGAN FTJ	B	0.00	0.05	0.00	0.00	0.05	91.0	0.05
04/01/2018	#92118891903	JOHNSON, MORGAN FTJ	B	0.00	0.02	0.00	0.00	0.02	91.0	0.02
04/01/2018	#92117956501	APPLEGATE, JACK FTJ	B	0.00	0.05	0.00	0.00	0.05	91.0	0.05
04/01/2018	#92117956502	APPLEGATE, JACK FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0	0.04
04/01/2018	#92117956503	APPLEGATE, JACK FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#92118780401	BAIN, MELISSA FTJ	B	0.00	10.26	0.00	0.00	10.26	91.0	9.34
04/01/2018	#921187804	BAIN, MELISSA FTJ	B	0.00	0.43	0.00	0.00	0.43	91.0	0.39
04/01/2018	#921187804	BAIN, MELISSA FTJ	B	0.00	0.34	0.00	0.00	0.34	91.0	0.31
04/01/2018	#92118780402	BAIN, MELISSA FTJ	B	0.00	8.14	0.00	0.00	8.14	91.0	7.41
04/01/2018	#92118780403	BAIN, MELISSA FTJ	B	0.00	2.06	0.00	0.00	2.06	91.0	1.87
04/01/2018	#921187804	BAIN, MELISSA FTJ	B	0.00	0.09	0.00	0.00	0.09	91.0	0.08
04/01/2018	#92127568801	BUSH, JASON FTJ	B	0.00	0.20	0.00	0.00	0.20	91.0	0.18
04/01/2018	#921275688	BUSH, JASON FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#921275688	BUSH, JASON FTJ	B	0.00	0.01	0.00	0.00	0.01	91.0	0.01
04/01/2018	#92127568802	BUSH, JASON FTJ	B	0.00	0.16	0.00	0.00	0.16	91.0	0.15
04/01/2018	#92127568803	BUSH, JASON FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0	0.04
05/01/2018	#557000	TCA STROUD VAR LPCM MOD 30/30/40	B	16,092.69	-8.04	0.00	0.00	-8.04	100.0	-8.04
05/01/2018	#558690	TCA STROUD VAR LPCM MOD 30/30/40	B	64,629.88	-32.31	0.00	0.00	-32.31	100.0	-32.31
05/01/2018	#561379	TCA STROUD VAR LPCM MOD 30/30/40	B	157,977.28	-78.98	0.00	0.00	-78.98	100.0	-78.98
05/01/2018	#557814	TCA STROUD VAR TCA PLATFORM FEE	B	528.48	-0.26	0.00	0.00	-0.26	100.0	-0.26
05/01/2018	#558687	TCA STROUD VAR TCA PLATFORM FEE	B	19.98	0.00	0.00	0.00	0.00	100.0	0.00
05/01/2018	#556999	TCA STROUD VAR TCA PLATFORM FEE	B	4,849.75	-2.42	0.00	0.00	-2.42	100.0	-2.42
05/01/2018	#557032	TCA STROUD VAR TCA PLATFORM FEE	B	50.00	-0.02	0.00	0.00	-0.02	100.0	-0.02
* RIA SUBTOTAL *				\$482,847.91	\$13,307.04	\$0.00	\$0.00	\$13,307.04		\$12,129.81

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***				\$482,847.91	\$13,307.04	\$0.00	\$0.00	\$13,307.04		\$12,129.81

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE									-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE									-179.00
05/20/2018	CIRCLEBLACK: CIRCLEBLACK									-175.00
05/20/2018	ADVANCE: ADVANCE FTJ AND CLS									-9,752.10
	ADVANCE									
*** ADJUSTMENTS TOTAL ***										\$-10,426.10

BROKER COMMISSION TOTALS

Broker Transactions	\$12,129.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10,426.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-431.57
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,272.14
--------------	------------