



Concorde Investment Services, LLC

09/28/2017
Page No. 1

COMMISSION STATEMENT

September 30, 2017

R133-RICH/MARK/DAVE RODRIGUEZ/HUBER/ZUPE
1020 S. Arlington Hts. Rd

BROKER #: R133
BRANCH: TADA WEALTH ADVISORS

Arlington Heights, IL 60005

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
09/14/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	26.03	0.00	26.03	0.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$26.03	\$0.00	\$26.03		\$0.00
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
09/08/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	17.83	0.00	17.83	0.0	0.00
09/08/2017	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	33.42	0.00	33.42	0.0	0.00
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$51.25	\$0.00	\$51.25		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	0.00	0.24	0.00	0.24	0.0	0.00
09/18/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.00
09/01/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	0.00	0.48	0.00	0.48	0.0	0.00
09/18/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.00
09/13/2017	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	-1,754.55	22.00	22.00	0.00	0.0	0.00
09/01/2017	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.27	0.00	0.27	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-1,679.55	\$24.49	\$22.00	\$2.49		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-1,679.55	\$101.77	\$22.00	\$79.77		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$75,107.19
Year-to-date Earnings	\$0.00