

## COMMISSION STATEMENT

December 31, 2017

ARTHUR KITTELSTAD  
3316 N FRONTAGE ROAD

BROKER #: 6  
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/04/2017	QGW001307	SMALL, WAYNE	NUVEEN PREFERRED AND INCOME 2022 TE B	10,200.00	204.00	10.00	194.00	85.0	163.40
12/07/2017	QGW001307	SMALL, WAYNE	GLOBAL X FDS GLOBAL X SUPERDIVIDEND B	7,012.50	140.25	10.00	130.25	85.0	109.21
12/18/2017	QGW001323	KNUDSON, BENJAMIN	ABERDEEN ASIA PACIFIC INCOME FUND I B	4,877.80	141.00	10.00	131.00	85.0	109.85
12/05/2017	QGW001604	HERZOG, CHRISTOPHER	GUESS INC S	-3,498.02	85.00	10.00	75.00	85.0	62.25
12/18/2017	QGW018483	KNUDSON, BENJAMIN	PROSHARES TRUST PROSHARES SHORT S&P B	6,007.00	174.00	10.00	164.00	85.0	137.90
12/05/2017	QGW018491	HOBGOOD, ROBIN	DINEEQUITY INC S	-9,992.00	205.00	10.00	195.00	85.0	164.25
12/13/2017	QGW018525	WAYMEL, RAYMOND	PROSHARES TRUST PROSHARES SHORT S&P B	9,087.00	263.00	10.00	253.00	85.0	213.55
12/19/2017	QGW018525	WAYMEL, RAYMOND	ALASKA AIR GROUP INC B	10,799.85	308.00	10.00	298.00	85.0	251.80
12/13/2017	QGW018541	LUNCEFORD, WENDELL	PROSHARES TRUST PROSHARES SHORT S&P B	4,541.49	131.00	10.00	121.00	85.0	101.35
12/19/2017	QGW018541	LUNCEFORD, WENDELL	ALASKA AIR GROUP INC B	7,206.95	208.00	10.00	198.00	85.0	166.80
12/06/2017	QGW018624	BRYANT, RONALD	DINEEQUITY INC S	-5,067.23	132.00	10.00	122.00	85.0	102.20
12/06/2017	QGW018624	BRYANT, RONALD	GOLDCORP INC S	-4,869.20	104.00	10.00	94.00	85.0	78.40
12/06/2017	QGW018624	BRYANT, RONALD	NUVEEN PREFERRED AND INCOME 2022 TE B	10,200.00	229.00	10.00	219.00	85.0	184.65
12/06/2017	QGW018624	BRYANT, RONALD	TEVA PHARMACEUTICAL INDUSTRIES LIMI S	-3,683.38	75.00	10.00	65.00	85.0	53.75
12/04/2017	QGW018657	KNAUF, STEPHEN	PROSHARES TRUST PROSHARES SHORT S&P B	3,044.52	85.00	10.00	75.00	85.0	62.25
12/05/2017	QGW018798	SENTZ, ROBERT	DINEEQUITY INC S	-5,032.86	100.00	10.00	90.00	85.0	75.00
12/11/2017	QGW018889	DICE, GEORGE	WELLTOWER INC COM B	4,942.80	143.00	10.00	133.00	85.0	111.55
12/05/2017	QGW018947	BAINE, STEVEN	CISCO SYS INC S	-7,480.80	194.00	10.00	184.00	85.0	154.90
12/05/2017	QGW018954	EGGLESTON, LYNN	DINEEQUITY INC S	-3,739.24	97.00	10.00	87.00	85.0	72.45
12/07/2017	QGW019119	HAECKER, GREG	DINEEQUITY INC S	-7,532.25	193.00	10.00	183.00	85.0	154.05
12/07/2017	QGW019119	HAECKER, GREG	NUVEEN PREFERRED AND INCOME 2022 TE B	25,500.00	495.00	10.00	485.00	85.0	410.75
12/07/2017	QGW019119	HAECKER, GREG	OPKO HEALTH INC S	-2,491.25	75.00	10.00	65.00	85.0	53.75
12/27/2017	QGW019382	BENNETT, MARK	ALLIANZGI CONV & INCOME FD COM S	-3,146.54	75.00	10.00	65.00	85.0	53.75
12/27/2017	QGW019382	BENNETT, MARK	SEADRILL LIMITED SHS S	-49.10	20.00	10.00	10.00	85.0	7.00
12/18/2017	QGW024754	KNUDSON, SUSAN	APOLLO COMMERCIAL REAL ESTATE FINAN B	9,384.95	272.00	10.00	262.00	85.0	221.20
12/06/2017	QGW024820	GRAMPP, RAYMOND	NUVEEN PREFERRED AND INCOME 2022 TE B	7,650.00	172.00	10.00	162.00	85.0	136.20
12/20/2017	QGW024895	ROHDE, JEFFREY	NUVEEN PREFERRED AND INCOME 2022 TE B	7,601.94	152.00	10.00	142.00	85.0	119.20
12/04/2017	QGW024986	PENNINGTON, CHARLES	PROSHARES TRUST PROSHARES SHORT S&P B	6,087.30	174.00	10.00	164.00	85.0	137.90
12/05/2017	QGW030686	LUNCEFORD, JOHN	DINEEQUITY INC S	-3,709.66	97.00	10.00	87.00	85.0	72.45
12/05/2017	QGW030686	LUNCEFORD, JOHN	NUVEEN PREFERRED AND INCOME 2022 TE B	7,646.46	189.00	10.00	179.00	85.0	150.65
12/12/2017	QGW031189	JOHNSON, KENNETH	NUVEEN PREFERRED AND INCOME 2022 TE B	2,550.00	51.00	10.00	41.00	85.0	33.35

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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/12/2017	QGW031189	JOHNSON, KENNETH	NUVEEN PREFERRED AND INCOME 2022 TE B	10,224.00	255.00	10.00	245.00	85.0	206.75
12/05/2017	QGW031692	BARFIELD, BARBARA	DINEEQUITY INC S	-4,964.94	130.00	10.00	120.00	85.0	100.50
12/06/2017	QGW035016	GRAMPP, RAYMOND	ALPS ETF TR EMERGING SECTOR DIVID D S	-121.36	15.00	10.00	5.00	85.0	2.75
* STOCKS SUBTOTAL *				<u>\$89,186.73</u>	<u>\$5,383.25</u>	<u>\$340.00</u>	<u>\$5,043.25</u>		<u>\$4,235.76</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/15/2017	QGW018491	HOBGOOD, ROBIN	BOND A B	0.00	2.99	0.00	2.99	85.0	2.54
12/15/2017	QGW018574	STEPANEK, CATHERINE	JOHN HANCOCK FDS II NEW OPPORTUNITI B	0.00	5.93	0.00	5.93	85.0	5.04
12/15/2017	QGW018798	SENTZ, ROBERT	LARGE CAP EQUITY A B	0.00	0.13	0.00	0.13	85.0	0.11
12/15/2017	QGW019150	RICHARDSON, MARY	JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	0.09	0.00	0.09	85.0	0.08
12/14/2017	QGW024838	STEPANEK, DENNIS	JP MORGAN LIMITED DURATION BOND FUN B	0.00	4.49	0.00	4.49	85.0	3.82
12/14/2017	QGW024838	STEPANEK, DENNIS	JP MORGAN LIMITED DURATION BOND FUN B	0.00	5.78	0.00	5.78	85.0	4.91
12/15/2017	QGW024879	DERTOUZOS, JAMES	JOHN HANCOCK FDS II NEW OPPORTUNITI B	0.00	2.70	0.00	2.70	85.0	2.30
12/15/2017	QGW024879	DERTOUZOS, JAMES	REGIONAL BANK A B	0.00	2.25	0.00	2.25	85.0	1.91
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$24.36</u>	<u>\$0.00</u>	<u>\$24.36</u>		<u>\$20.71</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$89,186.73</u>	<u>\$5,407.61</u>	<u>\$340.00</u>	<u>\$5,067.61</u>		<u>\$4,256.47</u>

ADJUSTMENTS

12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
12/31/2017		OTHER: OTHER--STATE REGIST		0.00	0.00	0.00	0.00		-917.00
12/31/2017		OTHER: OTHER-.005 CHARGE		0.00	0.00	0.00	0.00		-44.10
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-996.10</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,256.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-996.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.76
SIPC Assessment	\$-13.52

Check Amount	\$3,240.09
Year-to-date Earnings	\$43,163.44