

## COMMISSION STATEMENT

June 30, 2018

PHYLLIS FATTORUSO  
89-40 151ST AVENUE  
APT 5G  
HOWARD BEACH, NY 11414

BROKER #: P80  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
05/31/2018	QGW007700	SARNA, MARK		VALE S A SPONSORED ADR	S	-13,653.90	50.00	0.00	50.00 52.0 26.00
06/05/2018	QGW007700	SARNA, MARK		CAMECO CORP	S	-11,483.30	50.00	0.00	50.00 52.0 26.00
06/05/2018	QGW007700	SARNA, MARK		CAMECO CORP	S	-11,423.00	50.00	0.00	50.00 52.0 26.00
06/12/2018	QGW007700	SARNA, MARK		IQIYI INC SPONS ADR ISIN#US46267X10 B		34,562.70	50.00	0.00	50.00 52.0 26.00
06/12/2018	QGW007700	SARNA, MARK		TERRASCEND CORP REGISTERED SHS ISIN B		17,594.00	250.00	0.00	250.00 52.0 130.00
06/21/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-29,283.40	50.00	0.00	50.00 52.0 26.00
06/21/2018	QGW007700	SARNA, MARK		LEXINFINTech HOLDINGS LTD SHS SPONS S		-14,983.60	50.00	0.00	50.00 52.0 26.00
06/25/2018	QGW007700	SARNA, MARK		BANK OF AMERICA CORP	S	-28,371.00	50.00	0.00	50.00 52.0 26.00
05/31/2018	QGW007825	SARNA FAMILY LTD		OCCIDENTAL PETE CORP	S	-83,840.20	50.00	0.00	50.00 52.0 26.00
06/05/2018	QGW007825	SARNA FAMILY LTD		CAMECO CORP	S	-11,422.60	50.00	0.00	50.00 52.0 26.00
06/12/2018	QGW007825	SARNA FAMILY LTD		IQIYI INC SPONS ADR ISIN#US46267X10 B		34,562.70	50.00	0.00	50.00 52.0 26.00
06/12/2018	QGW007825	SARNA FAMILY LTD		TERRASCEND CORP REGISTERED SHS ISIN B		17,594.00	250.00	0.00	250.00 52.0 130.00
06/15/2018	QGW007825	SARNA FAMILY LTD		GOLDMAN SACHS GROUP INC	B	430,000.00	100.00	0.00	100.00 52.0 52.00
06/19/2018	QGW007825	SARNA FAMILY LTD		APPLE INC	S	-371,458.40	100.00	0.00	100.00 52.0 52.00
06/19/2018	QGW007825	SARNA FAMILY LTD		GOLDMAN SACHS GROUP INC	S	-456,219.20	100.00	0.00	100.00 52.0 52.00
06/22/2018	QGW007825	SARNA FAMILY LTD		IQIYI INC SPONS ADR ISIN#US46267X10 B		36,809.70	50.00	0.00	50.00 52.0 26.00
06/25/2018	QGW007825	SARNA FAMILY LTD		ALIBABA GROUP HOLDING LTD SPONSORED S		-380,463.60	100.00	0.00	100.00 52.0 52.00
06/25/2018	QGW007825	SARNA FAMILY LTD		TWITTER INC	S	-176,966.00	200.00	0.00	200.00 52.0 104.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$-1,018,445.10</b>		<b>\$1,650.00</b>	<b>\$0.00</b>	<b>\$1,650.00</b>	<b>\$858.00</b>

**PRODUCT CATEGORY: OPTIONS**

06/01/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO B		16,899.00	40.00	0.00	40.00 52.0 20.80
06/04/2018	QGW007700	SARNA, MARK		CALL 100 TESLA INC EXP 06-15-18 @30 S		-4,482.00	40.00	0.00	40.00 52.0 20.80
06/05/2018	QGW007700	SARNA, MARK		CALL 100 TESLA INC EXP 06-15-18 @30 B		2,050.00	30.00	0.00	30.00 52.0 15.60
06/06/2018	QGW007700	SARNA, MARK		CALL 100 GOLDMAN SACHS GROUP INC CO S		-1,837.00	30.00	0.00	30.00 52.0 15.60
06/11/2018	QGW007700	SARNA, MARK		CALL 100 TESLA INC EXP 06-15-18 @27 S		-57,600.00	40.00	0.00	40.00 52.0 20.80
06/12/2018	QGW007700	SARNA, MARK		CALL 100 BANK OF AMERICA CORPORATIO B		4,240.00	60.00	0.00	60.00 52.0 31.20
06/15/2018	QGW007700	SARNA, MARK		CALL 100 ARCONIC INC COM EXP 07-20- B		6,137.40	90.00	0.00	90.00 52.0 46.80
06/15/2018	QGW007700	SARNA, MARK		CALL 100 ARCONIC INC COM EXP 06-15- S		-4,800.00	90.00	0.00	90.00 52.0 46.80
06/15/2018	QGW007700	SARNA, MARK		CALL 100 BANK AMER CORP COM EXP 06- S		-1,980.00	100.00	0.00	100.00 52.0 52.00
06/15/2018	QGW007700	SARNA, MARK		CALL 100 BANK OF AMERICA CORPORATIO B		11,250.00	150.00	0.00	150.00 52.0 78.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
06/15/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX S	-1,020.00	60.00	0.00	60.00	52.0	31.20
06/15/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX S	-3,990.00	90.00	0.00	90.00	52.0	46.80
06/15/2018	QGW007700	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	15,480.00	270.00	0.00	270.00	52.0	140.40
06/19/2018	QGW007700	SARNA, MARK	CALL 100	GOLDMAN SACHS GROUP INC CO B	10,600.00	40.00	0.00	40.00	52.0	20.80
06/25/2018	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 07-20-1 B	3,084.50	40.00	0.00	40.00	52.0	20.80
06/25/2018	QGW007700	SARNA, MARK	CALL 100	FREEMPORT-MCMORAN INC CL B B	1,750.00	30.00	0.00	30.00	52.0	15.60
06/25/2018	QGW007700	SARNA, MARK	CALL 100	VALE S A ADR ISIN#US91912E B	1,590.00	30.00	0.00	30.00	52.0	15.60
06/26/2018	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 07-20-1 S	-4,528.00	40.00	0.00	40.00	52.0	20.80
06/01/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	16,899.00	40.00	0.00	40.00	52.0	20.80
06/04/2018	QGW007825	SARNA FAMILY LTD	CALL 100	TESLA INC EXP 06-15-18 @30 S	-4,482.00	40.00	0.00	40.00	52.0	20.80
06/05/2018	QGW007825	SARNA FAMILY LTD	CALL 100	TESLA INC EXP 06-15-18 @30 B	2,050.00	30.00	0.00	30.00	52.0	15.60
06/06/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO S	-1,837.00	30.00	0.00	30.00	52.0	15.60
06/11/2018	QGW007825	SARNA FAMILY LTD	CALL 100	TESLA INC EXP 06-15-18 @27 S	-57,600.00	40.00	0.00	40.00	52.0	20.80
06/12/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	4,240.00	60.00	0.00	60.00	52.0	31.20
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 07-20- B	6,137.40	90.00	0.00	90.00	52.0	46.80
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ARCONIC INC COM EXP 06-15- S	-4,800.00	90.00	0.00	90.00	52.0	46.80
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK AMER CORP COM EXP 06- S	-1,650.00	120.00	0.00	120.00	52.0	62.40
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	BANK OF AMERICA CORPORATIO B	13,500.00	180.00	0.00	180.00	52.0	93.60
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX S	-1,020.00	60.00	0.00	60.00	52.0	31.20
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX S	-3,990.00	90.00	0.00	90.00	52.0	46.80
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	35,000.00	80.00	0.00	80.00	52.0	41.60
06/15/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	15,480.00	270.00	0.00	270.00	52.0	140.40
06/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	APPLE INC COM EXP 07-20-18 B	21,560.00	80.00	0.00	80.00	52.0	41.60
06/19/2018	QGW007825	SARNA FAMILY LTD	CALL 100	GOLDMAN SACHS GROUP INC CO B	21,200.00	80.00	0.00	80.00	52.0	41.60
06/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 07-20-1 B	3,084.50	40.00	0.00	40.00	52.0	20.80
06/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALCOA CORP COM EXP 07-20-1 S	-3,439.00	40.00	0.00	40.00	52.0	20.80
06/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	20,710.00	40.00	0.00	40.00	52.0	20.80
06/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	FREEMPORT-MCMORAN INC CL B B	1,750.00	30.00	0.00	30.00	52.0	15.60
06/25/2018	QGW007825	SARNA FAMILY LTD	CALL 100	VALE S A ADR ISIN#US91912E B	1,590.00	30.00	0.00	30.00	52.0	15.60
06/27/2018	QGW007825	SARNA FAMILY LTD	CALL 100	ALIBABA GROUP HLDG LTD SPO B	14,017.00	40.00	0.00	40.00	52.0	20.80
* OPTIONS SUBTOTAL *					\$91,243.80	\$2,870.00	\$0.00	\$2,870.00		\$1,492.40
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/30/2018		FEE COUNT - 2		0.00	25.53	0.00	25.53	52.0	13.28	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$25.53	\$0.00	\$25.53		\$13.28
*** BROKER TRANSACTIONS TOTAL ***					\$-927,201.30	\$4,545.53	\$0.00	\$4,545.53		\$2,363.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

06/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,363.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.68
SIPC Assessment	\$-11.36

Check Amount	\$2,326.64
Year-to-date Earnings	\$16,289.16