



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 15, 2017**

07/12/2017  
 Page No. 1

MATT BRANSTETTER  
 PO Box 250  
 111 S. Main Street  
 Noble, OK 73068

BROKER #: B116  
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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**BROKER TRANSACTIONS**

**PRODUCT CATEGORY: MUTUAL FUNDS**

06/26/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
07/05/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
07/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	80.0	10.00	0.00	10.00
07/03/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ROLLINS, LISA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.26	80.0	0.21	0.00	0.21
/ /	TREADWAY, TOMMY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.48	80.0	0.38	0.00	0.38
/ /	DOWNING, JOHN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	1.05	80.0	0.84	0.00	0.84
/ /	ACOSTA, JF	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	MELTON, JOY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	DESHIELDS, JAMES	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POORE, NANCY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.22	80.0	0.18	0.00	0.18
/ /	MCFERRON, LINDA	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.30	80.0	0.24	0.00	0.24
/ /	VOCI, ROBERTO	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.69	80.0	0.55	0.00	0.55
/ /	WILLIAMS, JACKIE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.90	80.0	0.72	0.00	0.72

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	WRIGHT, MARK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	POTTER, RK	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ARRINGTON, NANCY	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, KENLEE	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	GUGGENHEIM GOV'T LONG BOND 1.2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BACON-HILL, MICHELE	GUGGENHEIM INVESTMENTS	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	GUGGENHEIM INVESTMENTS	0.00	0.06	80.0	0.05	0.00	0.05
/ /	TREADWAY, TOMMY	GUGGENHEIM INVESTMENTS	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DOWNING, JOHN	GUGGENHEIM INVESTMENTS	0.00	0.27	80.0	0.22	0.00	0.22
/ /	ACOSTA, JF	GUGGENHEIM INVESTMENTS	0.00	0.02	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	GUGGENHEIM INVESTMENTS	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BENSON, KARLA	GUGGENHEIM INVESTMENTS	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	GUGGENHEIM INVESTMENTS	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	GUGGENHEIM INVESTMENTS	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MCFERRON, LINDA	GUGGENHEIM INVESTMENTS	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	GUGGENHEIM INVESTMENTS	0.00	0.18	80.0	0.14	0.00	0.14
/ /	WILLIAMS, JACKIE	GUGGENHEIM INVESTMENTS	0.00	0.23	80.0	0.18	0.00	0.18
/ /	POTTER, G	GUGGENHEIM INVESTMENTS	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, RK	GUGGENHEIM INVESTMENTS	0.00	0.02	80.0	0.02	0.00	0.02
/ /	ARRINGTON, NANCY	GUGGENHEIM INVESTMENTS	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	GUGGENHEIM INVESTMENTS	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	GUGGENHEIM INVESTMENTS	0.00	0.04	80.0	0.03	0.00	0.03
07/03/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	0.00	0.42	80.0	0.34	0.00	0.34
07/03/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	0.00	0.42	80.0	0.34	0.00	0.34
07/03/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	0.00	0.60	80.0	0.48	0.00	0.48
07/03/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.57	80.0	0.46	0.00	0.46
07/03/2017	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	0.00	0.42	80.0	0.34	0.00	0.34
07/03/2017	NEWTON, GEORGE	PUTNAM EQUITY INCOME A	0.00	7.64	80.0	6.11	0.00	6.11
07/03/2017	ALLGIRE, ERNESTINE	PUTNAM EQUITY INCOME A	0.00	1.18	80.0	0.94	0.00	0.94
07/03/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	0.00	1.46	80.0	1.17	0.00	1.17
07/03/2017	TREADWAY, TOMMY	PUTNAM EQUITY SPECTRUM A	0.00	3.58	80.0	2.86	0.00	2.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	FAIRLESS, BENJAMIN	PUTNAM EQUITY SPECTRUM A	0.00	4.04	80.0	3.23	0.00	3.23
07/03/2017	YOSS, JAY	PUTNAM EQUITY SPECTRUM A	0.00	2.68	80.0	2.14	0.00	2.14
07/03/2017	HIPP, DEBRA	PUTNAM EQUITY SPECTRUM A	0.00	0.63	80.0	0.50	0.00	0.50
07/03/2017	HIPP, RONALD	PUTNAM EQUITY SPECTRUM A	0.00	1.99	80.0	1.59	0.00	1.59
07/03/2017	DOWDY, LINDA	PUTNAM EQUITY SPECTRUM A	0.00	0.38	80.0	0.30	0.00	0.30
07/03/2017	DOWNING, JOHN	PUTNAM EQUITY SPECTRUM A	0.00	1.62	80.0	1.30	0.00	1.30
07/03/2017	GREGORY, MANDY	PUTNAM EQUITY SPECTRUM A	0.00	1.75	80.0	1.40	0.00	1.40
07/03/2017	MOORE, TONI	PUTNAM EQUITY SPECTRUM A	0.00	0.45	80.0	0.36	0.00	0.36
07/03/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	0.00	0.04	80.0	0.03	0.00	0.03
07/03/2017	HOUSE, CONNIE	PUTNAM EQUITY SPECTRUM A	0.00	0.30	80.0	0.24	0.00	0.24
07/03/2017	GREGORY, ERIC	PUTNAM EQUITY SPECTRUM A	0.00	0.78	80.0	0.62	0.00	0.62
07/03/2017	MELTON, JOY	PUTNAM EQUITY SPECTRUM A	0.00	0.84	80.0	0.67	0.00	0.67
07/03/2017	HIPP, DEBRA	PUTNAM EQUITY SPECTRUM B	0.00	1.04	80.0	0.83	0.00	0.83
07/03/2017	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM B	0.00	1.07	80.0	0.86	0.00	0.86
07/03/2017	DOWDY, JIMMIE	PUTNAM EQUITY SPECTRUM C	0.00	5.45	80.0	4.36	0.00	4.36
07/03/2017	SMIT, CONRAD	PUTNAM EQUITY SPECTRUM C	0.00	7.28	80.0	5.82	0.00	5.82
07/03/2017	POORE, NANCY	PUTNAM EQUITY SPECTRUM C	0.00	2.60	80.0	2.08	0.00	2.08
07/03/2017	BACON-HILL, MICHELE	PUTNAM EUROPE EQUITY A	0.00	1.06	80.0	0.85	0.00	0.85
07/03/2017	BACON-HILL, MICHELE	PUTNAM GLOBAL CONSUMER A	0.00	1.41	80.0	1.13	0.00	1.13
07/03/2017	CASTLE, DAVID	PUTNAM GLOBAL FINANCIALS A	0.00	0.29	80.0	0.23	0.00	0.23
07/03/2017	TREADWAY, TOMMY	PUTNAM GLOBAL FINANCIALS A	0.00	0.72	80.0	0.58	0.00	0.58
07/03/2017	FAIRLESS, BENJAMIN	PUTNAM GLOBAL FINANCIALS A	0.00	0.84	80.0	0.67	0.00	0.67
07/03/2017	YOSS, JAY	PUTNAM GLOBAL FINANCIALS A	0.00	0.53	80.0	0.42	0.00	0.42
07/03/2017	HIPP, DEBRA	PUTNAM GLOBAL FINANCIALS A	0.00	0.26	80.0	0.21	0.00	0.21
07/03/2017	DOWDY, JIMMIE	PUTNAM GLOBAL FINANCIALS A	0.00	0.28	80.0	0.22	0.00	0.22
07/03/2017	MOORE, TONI	PUTNAM GLOBAL FINANCIALS A	0.00	0.16	80.0	0.13	0.00	0.13
07/03/2017	HOUSE, CONNIE	PUTNAM GLOBAL FINANCIALS A	0.00	0.36	80.0	0.29	0.00	0.29
07/03/2017	MELTON, JOY	PUTNAM GLOBAL FINANCIALS A	0.00	0.26	80.0	0.21	0.00	0.21
07/03/2017	HIPP, RONALD	PUTNAM GLOBAL FINANCIALS B	0.00	0.52	80.0	0.42	0.00	0.42
07/03/2017	CASTLE, DAVID	PUTNAM GLOBAL FINANCIALS B	0.00	0.30	80.0	0.24	0.00	0.24
07/03/2017	DOWNING, JOHN	PUTNAM GLOBAL FINANCIALS M	0.00	1.94	80.0	1.55	0.00	1.55
07/03/2017	BACON-HILL, MICHELE	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.48	80.0	0.38	0.00	0.38
07/03/2017	CASTLE, DAVID	PUTNAM GLOBAL TECH A	0.00	2.40	80.0	1.92	0.00	1.92

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	TREADWAY, TOMMY	PUTNAM GLOBAL TECH A	0.00	4.00	80.0	3.20	0.00	3.20
07/03/2017	ALLGIRE, ERNESTINE	PUTNAM GLOBAL TECH A	0.00	1.20	80.0	0.96	0.00	0.96
07/03/2017	FAIRLESS, BENJAMIN	PUTNAM GLOBAL TECH A	0.00	4.48	80.0	3.58	0.00	3.58
07/03/2017	YOSS, JAY	PUTNAM GLOBAL TECH A	0.00	2.96	80.0	2.37	0.00	2.37
07/03/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	0.00	0.46	80.0	0.37	0.00	0.37
07/03/2017	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	0.90	80.0	0.72	0.00	0.72
07/03/2017	KING, REPAULA	PUTNAM GROWTH OPP A	0.00	0.90	80.0	0.72	0.00	0.72
07/03/2017	BACON-HILL, MICHELE	PUTNAM GROWTH OPP A	0.00	1.06	80.0	0.85	0.00	0.85
07/03/2017	CASTLE, DAVID	PUTNAM GROWTH OPP A	0.00	0.93	80.0	0.74	0.00	0.74
07/03/2017	TREADWAY, TOMMY	PUTNAM GROWTH OPP A	0.00	2.68	80.0	2.14	0.00	2.14
07/03/2017	FAIRLESS, BENJAMIN	PUTNAM GROWTH OPP A	0.00	3.12	80.0	2.50	0.00	2.50
07/03/2017	YOSS, JAY	PUTNAM GROWTH OPP A	0.00	1.94	80.0	1.55	0.00	1.55
07/03/2017	HIPP, DEBRA	PUTNAM GROWTH OPP A	0.00	0.98	80.0	0.78	0.00	0.78
07/03/2017	DOWDY, JIMMIE	PUTNAM GROWTH OPP A	0.00	1.02	80.0	0.82	0.00	0.82
07/03/2017	HERNDON, JAMES	PUTNAM GROWTH OPP A	0.00	0.85	80.0	0.68	0.00	0.68
07/03/2017	MELTON, JOY	PUTNAM GROWTH OPP A	0.00	0.95	80.0	0.76	0.00	0.76
06/19/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	96.52	0.97	80.0	0.78	0.00	0.78
07/03/2017	UNIF TRANSFER TO M/A, U/OK	PUTNAM GROWTH OPP C	0.00	2.35	80.0	1.88	0.00	1.88
07/03/2017	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	0.00	0.09	80.0	0.07	0.00	0.07
07/03/2017	HYER, LISA	PUTNAM GROWTH OPP C	0.00	5.33	80.0	4.26	0.00	4.26
07/03/2017	HIPP, RONALD	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.93	80.0	1.54	0.00	1.54
07/03/2017	CASTLE, DAVID	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.11	80.0	0.89	0.00	0.89
07/03/2017	DOWNING, JOHN	PUTNAM GROWTH OPPORTUNITIES-M	0.00	7.21	80.0	5.77	0.00	5.77
07/03/2017	NEWTON, GEORGE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	4.78	80.0	3.82	0.00	3.82
07/03/2017	BRANCH, MARY	PUTNAM INCOME A	0.00	0.02	80.0	0.02	0.00	0.02
07/03/2017	BACON-HILL, MICHELE	PUTNAM INTL CAPITAL OPPS-A	0.00	1.61	80.0	1.29	0.00	1.29
07/03/2017	NEWTON, MAX	PUTNAM INTL CAPITAL OPPS-A	0.00	0.30	80.0	0.24	0.00	0.24
07/03/2017	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-A	0.00	0.02	80.0	0.02	0.00	0.02
07/03/2017	BRANSTETTER, MATT	PUTNAM INTL CAPITAL OPPS-A	0.00	0.28	80.0	0.22	0.00	0.22
07/03/2017	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-A	0.00	0.05	80.0	0.04	0.00	0.04
07/03/2017	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-B	0.00	0.28	80.0	0.22	0.00	0.22
06/20/2017	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
06/20/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	WALL-POLLAN, LEAH	PUTNAM INTL CAPITAL OPPS-C	0.00	0.28	80.0	0.22	0.00	0.22
07/03/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	0.00	41.55	80.0	33.24	0.00	33.24
07/03/2017	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	0.00	48.40	80.0	38.72	0.00	38.72
07/03/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	4.08	80.0	3.26	0.00	3.26
07/03/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	4.02	80.0	3.22	0.00	3.22
07/03/2017	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	0.00	1.25	80.0	1.00	0.00	1.00
07/03/2017	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	0.00	1.25	80.0	1.00	0.00	1.00
07/03/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	7.20	80.0	5.76	0.00	5.76
07/03/2017	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	0.00	7.20	80.0	5.76	0.00	5.76
07/03/2017	NOBLE PUBLIC SCHOOLS,	PUTNAM INTL CAPITAL OPPS-C	0.00	0.41	80.0	0.33	0.00	0.33
07/03/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	2.82	80.0	2.26	0.00	2.26
07/03/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	1.33	80.0	1.06	0.00	1.06
07/03/2017	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	0.00	0.21	80.0	0.17	0.00	0.17
07/03/2017	MORIELS, FELICIA	PUTNAM INTL CAPITAL OPPS-M	0.00	0.02	80.0	0.02	0.00	0.02
07/03/2017	COLE, CHRISTOPHER	PUTNAM INTL CAPITAL OPPS-M	0.00	0.34	80.0	0.27	0.00	0.27
07/03/2017	LLTD INVESTMENTS LLC	PUTNAM MULTI CAP GROWTH A	0.00	0.44	80.0	0.35	0.00	0.35
07/03/2017	CASTLE, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	2.19	80.0	1.75	0.00	1.75
07/03/2017	TREADWAY, TOMMY	PUTNAM MULTI CAP GROWTH A	0.00	3.65	80.0	2.92	0.00	2.92
07/03/2017	FAIRLESS, BENJAMIN	PUTNAM MULTI CAP GROWTH A	0.00	3.95	80.0	3.16	0.00	3.16
07/03/2017	YOSS, JAY	PUTNAM MULTI CAP GROWTH A	0.00	2.62	80.0	2.10	0.00	2.10
07/03/2017	HIPP, DEBRA	PUTNAM MULTI CAP GROWTH A	0.00	1.97	80.0	1.58	0.00	1.58
07/03/2017	HIPP, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	2.57	80.0	2.06	0.00	2.06
07/03/2017	DOWNING, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	2.58	80.0	2.06	0.00	2.06
07/03/2017	MOORE, TONI	PUTNAM MULTI CAP GROWTH A	0.00	1.35	80.0	1.08	0.00	1.08
07/03/2017	BRANCH, MARY	PUTNAM MULTI CAP GROWTH A	0.00	0.81	80.0	0.65	0.00	0.65
07/03/2017	GREGORY, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	1.25	80.0	1.00	0.00	1.00
07/03/2017	MELTON, JOY	PUTNAM MULTI CAP GROWTH A	0.00	1.22	80.0	0.98	0.00	0.98
07/03/2017	CASTLE, DAVID	PUTNAM MULTI-CAP GROWTH B	0.00	2.49	80.0	1.99	0.00	1.99
07/03/2017	GERHARDT, LEE	PUTNAM MULTI-CAP GROWTH C	0.00	37.36	80.0	29.89	0.00	29.89
07/03/2017	DOWDY, JIMMIE	PUTNAM MULTI-CAP GROWTH C	0.00	5.85	80.0	4.68	0.00	4.68
07/03/2017	BRANCH, MARY	PUTNAM MULTI-CAP GROWTH C	0.00	1.90	80.0	1.52	0.00	1.52
07/03/2017	POORE, NANCY	PUTNAM MULTI-CAP GROWTH C	0.00	3.29	80.0	2.63	0.00	2.63
07/03/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	0.00	0.82	80.0	0.66	0.00	0.66

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	MCFERRON, LARRY	PUTNAM MULTI-CAP GROWTH M	0.00	1.58	80.0	1.26	0.00	1.26
07/03/2017	HUFFMAN, KRISTOPHER	PUTNAM MULTI-CAP GROWTH M	0.00	0.79	80.0	0.63	0.00	0.63
07/03/2017	STANFORD, DAVID	PUTNAM MULTI-CAP GROWTH M	0.00	2.82	80.0	2.26	0.00	2.26
07/03/2017	ALLGIRE, ERNESTINE	PUTNAM SHORT DURATION INCOME A	0.00	1.30	80.0	1.04	0.00	1.04
07/03/2017	BRANSTETTER, MATT	PUTNAM SHORT DURATION INCOME A	0.00	0.54	80.0	0.43	0.00	0.43
07/03/2017	STANFORD, DAVID	PUTNAM SHORT DURATION INCOME A	0.00	0.55	80.0	0.44	0.00	0.44
07/03/2017	MOORE, TONI	PUTNAM SHORT DURATION INCOME A	0.00	1.36	80.0	1.09	0.00	1.09
07/03/2017	MICHENER, DAVID	PUTNAM SHORT DURATION INCOME A	0.00	0.56	80.0	0.45	0.00	0.45
07/03/2017	HOUSE, CONNIE	PUTNAM SHORT DURATION INCOME A	0.00	1.66	80.0	1.33	0.00	1.33
07/03/2017	RIPLEY, ANTHONY	PUTNAM SMALL CAP GROWTH-A	0.00	0.03	80.0	0.02	0.00	0.02
06/19/2017	POORE, NANCY	PUTNAM SMALL CAP GROWTH-C	40.00	0.40	80.0	0.32	0.00	0.32
07/03/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	0.00	51.82	80.0	41.46	0.00	41.46
07/03/2017	VANDEBURG, JENNY	PUTNAM SMALL CAP GROWTH-C	0.00	2.98	80.0	2.38	0.00	2.38
07/03/2017	BRANCH, MARY	PUTNAM SMALL CAP GROWTH-C	0.00	0.67	80.0	0.54	0.00	0.54
07/03/2017	HYER, LISA	PUTNAM SMALL CAP GROWTH-C	0.00	0.52	80.0	0.42	0.00	0.42
07/03/2017	GREGORY, ERIC	PUTNAM SMALL CAP VALUE B	0.00	0.60	80.0	0.48	0.00	0.48
07/03/2017	CANFIELD, LORI	PUTNAM SMALL CAP VALUE C	0.00	4.38	80.0	3.50	0.00	3.50
/ /	WHITETREE, THOMAS	RYDEX BIOTECHNOLOGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX BIOTECHNOLOGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX BIOTECHNOLOGY A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX BIOTECHNOLOGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX BIOTECHNOLOGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX BIOTECHNOLOGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX BIOTECHNOLOGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX BIOTECHNOLOGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX BIOTECHNOLOGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX BIOTECHNOLOGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, TOMMY	RYDEX BIOTECHNOLOGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	DOWNING, JOHN	RYDEX BIOTECHNOLOGY C	0.00	0.31	80.0	0.25	0.00	0.25
/ /	ACOSTA, JF	RYDEX BIOTECHNOLOGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX BIOTECHNOLOGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BENSON, KARLA	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DESHIELDS, JAMES	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	RYDEX BIOTECHNOLOGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	MCFERRON, LINDA	RYDEX BIOTECHNOLOGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	VOCI, ROBERTO	RYDEX BIOTECHNOLOGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	WILLIAMS, JACKIE	RYDEX BIOTECHNOLOGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	POTTER, G	RYDEX BIOTECHNOLOGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, RK	RYDEX BIOTECHNOLOGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	ARRINGTON, NANCY	RYDEX BIOTECHNOLOGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, KENLEE	RYDEX BIOTECHNOLOGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX BIOTECHNOLOGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	TREADWAY, TOMMY	RYDEX DOW 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	0.60	80.0	0.48	0.00	0.48
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX DOW 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POORE, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	MCFERRON, LINDA	RYDEX DOW 2X STRATEGY C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	0.39	80.0	0.31	0.00	0.31
/ /	WILLIAMS, JACKIE	RYDEX DOW 2X STRATEGY C	0.00	0.51	80.0	0.41	0.00	0.41
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ARRINGTON, NANCY	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	WHITETREE, THOMAS	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX EUROPE 1.25X DTGY - A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	LIVELY, DUSTIN	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX EUROPE 1.25X DTGY - A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX EUROPE 1.25X DTGY - A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX EUROPE 1.25X DTGY - A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	ROLLINS, LISA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	TREADWAY, PAMELA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	TREADWAY, TOMMY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	DOWNING, JOHN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.34	80.0	0.27	0.00	0.27
/ /	ACOSTA, JF	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	MELTON, JOY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BENSON, KARLA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DESHIELDS, JAMES	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POORE, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	MCFERRON, LINDA	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	VOCI, ROBERTO	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.23	80.0	0.18	0.00	0.18
/ /	WILLIAMS, JACKIE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.30	80.0	0.24	0.00	0.24
/ /	POTTER, G	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, RK	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON, RICHARD	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	ARRINGTON, NANCY	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX EUROPE 1.25X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	WHITETREE, THOMAS	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUFFMAN, KRISTOPHER	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.25	80.0	0.20	0.00	0.20
/ /	LIVELY, ELIZABETH	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, DUSTIN	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUNTER, TIMOTHY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HARRALD, DONALD	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GOVT LONG BOND 1.2X STRAT	0.00	0.10	80.0	0.08	0.00	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG BANKING A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, TOMMY	RYDEX GUGG BANKING C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX GUGG BANKING C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	VOCI, ROBERTO	RYDEX GUGG BANKING C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	WILLIAMS, JACKIE	RYDEX GUGG BANKING C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX GUGG BANKING C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, TOMMY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.42	80.0	0.34	0.00	0.34
/ /	DOWNING, JOHN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.93	80.0	0.74	0.00	0.74
/ /	ACOSTA, JF	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	BENSON, KARLA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	VOCI, ROBERTO	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.61	80.0	0.49	0.00	0.49
/ /	WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.79	80.0	0.63	0.00	0.63
/ /	WRIGHT, MARK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	ARRINGTON, NANCY	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, KENLEE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	WHITETREE, THOMAS	RYDEX GUGG ELECTRONICS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG ELECTRONICS A	0.00	0.14	80.0	0.11	0.00	0.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HARRALD, DONALD	RYDEX GUGG ELECTRONICS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	ROLLINS, LISA	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	TREADWAY, TOMMY	RYDEX GUGG ELECTRONICS C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	0.57	80.0	0.46	0.00	0.46
/ /	ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX GUGG ELECTRONICS C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	DESHIELDS, JAMES	RYDEX GUGG ELECTRONICS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POORE, NANCY	RYDEX GUGG ELECTRONICS C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	MCFERRON, LINDA	RYDEX GUGG ELECTRONICS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	0.38	80.0	0.30	0.00	0.30
/ /	WILLIAMS, JACKIE	RYDEX GUGG ELECTRONICS C	0.00	0.49	80.0	0.39	0.00	0.39
/ /	WRIGHT, MARK	RYDEX GUGG ELECTRONICS C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.24	80.0	0.19	0.00	0.19
/ /	ARRINGTON, NANCY	RYDEX GUGG ELECTRONICS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG EMG MKTS 2X STRATEGY A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, PAMELA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, TOMMY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	MELTON, JOY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	VOCI, ROBERTO	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	WILLIAMS, JACKIE	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON, RICHARD	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HOLMAN, MEEGAN	RYDEX GUGG EMG MKTS 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.42	80.0	0.34	0.00	0.34
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.31	80.0	1.05	0.00	1.05
/ /	TREADWAY, TOMMY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.61	80.0	2.09	0.00	2.09
/ /	MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	5.69	80.0	4.55	0.00	4.55
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.47	80.0	0.38	0.00	0.38
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.97	80.0	0.78	0.00	0.78
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.37	80.0	0.30	0.00	0.30
/ /	DESHIELDS, JAMES	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.42	80.0	0.34	0.00	0.34
/ /	POORE, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.05	80.0	0.84	0.00	0.84
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.62	80.0	1.30	0.00	1.30
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.76	80.0	3.01	0.00	3.01
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	4.85	80.0	3.88	0.00	3.88
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.49	80.0	0.39	0.00	0.39
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.48	80.0	0.38	0.00	0.38
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.29	80.0	1.03	0.00	1.03
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.24	80.0	0.19	0.00	0.19
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.29	80.0	0.23	0.00	0.23
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.92	80.0	0.74	0.00	0.74
/ /	WHITETREE, THOMAS	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	LIVELY, ELIZABETH	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	HARRALD, DONALD	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.37	80.0	0.30	0.00	0.30
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG VELOCITY 100 A	0.00	1.35	80.0	1.08	0.00	1.08
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.31	80.0	0.25	0.00	0.25
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.31	80.0	0.25	0.00	0.25
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.29	80.0	0.23	0.00	0.23
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.38	80.0	0.30	0.00	0.30
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.52	80.0	0.42	0.00	0.42
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.24	80.0	0.19	0.00	0.19
/ /	TREADWAY, PAMELA	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	TREADWAY, TOMMY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	DOWNING, JOHN	RYDEX INVERSE NASDAQ 100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	MELTON, JOY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POORE, NANCY	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	MCFERRON, LINDA	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	VOCI, ROBERTO	RYDEX INVERSE NASDAQ 100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	WILLIAMS, JACKIE	RYDEX INVERSE NASDAQ 100 2X	0.00	0.04	80.0	0.03	0.00	0.03
/ /	HOLMAN, MEEGAN	RYDEX INVERSE NASDAQ 100 2X	0.00	0.01	80.0	0.01	0.00	0.01
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.22	80.0	0.18	0.00	0.18
/ /	TREADWAY, TOMMY	RYDEX JAPAN 2X STRATEGY C	0.00	0.43	80.0	0.34	0.00	0.34
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	0.93	80.0	0.74	0.00	0.74
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	MELTON, JOY	RYDEX JAPAN 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	DESHIELDS, JAMES	RYDEX JAPAN 2X STRATEGY C	0.00	0.07	80.0	0.06	0.00	0.06
/ /	POORE, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	MCFERRON, LINDA	RYDEX JAPAN 2X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.61	80.0	0.49	0.00	0.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	WILLIAMS, JACKIE	RYDEX JAPAN 2X STRATEGY C	0.00	0.80	80.0	0.64	0.00	0.64
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.19	80.0	0.15	0.00	0.15
/ /	ARRINGTON, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HUFFMAN, KRISTOPHER	RYDEX JAPAN 2X STRATEGY H	0.00	0.22	80.0	0.18	0.00	0.18
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.05	80.0	0.04	0.00	0.04
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.05	80.0	0.04	0.00	0.04
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.05	80.0	0.04	0.00	0.04
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.02	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.08	80.0	0.06	0.00	0.06
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.46	80.0	0.37	0.00	0.37
/ /	TREADWAY, TOMMY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.84	80.0	0.67	0.00	0.67
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.81	80.0	1.45	0.00	1.45
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.15	80.0	0.12	0.00	0.12
/ /	MELTON, JOY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.31	80.0	0.25	0.00	0.25
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	DESHIELDS, JAMES	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POORE, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.39	80.0	0.31	0.00	0.31
/ /	MCFERRON, LINDA	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.51	80.0	0.41	0.00	0.41
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.20	80.0	0.96	0.00	0.96
/ /	WILLIAMS, JACKIE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	1.57	80.0	1.26	0.00	1.26
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.16	80.0	0.13	0.00	0.13
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.15	80.0	0.12	0.00	0.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.41	80.0	0.33	0.00	0.33
/ /	ARRINGTON, NANCY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.29	80.0	0.23	0.00	0.23
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.43	80.0	0.34	0.00	0.34
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.09	80.0	0.07	0.00	0.07
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.17	80.0	0.14	0.00	0.14
/ /	WHITETREE, THOMAS	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	CASTLE, DAVID	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUFFMAN, KRISTOPHER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	LIVELY, ELIZABETH	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	LIVELY, DUSTIN	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HUNTER, TIMOTHY	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	PUCKETT, CHERI	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.02	80.0	0.02	0.00	0.02
/ /	HARRALD, DONALD	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.01	80.0	0.01	0.00	0.01
/ /	SALLEE, MARY	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.02	80.0	0.02	0.00	0.02
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$806.52</b>	<b>\$475.39</b>		<b>\$380.46</b>	<b>\$0.00</b>	<b>\$380.46</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	HERNDON, RONALD	JNL ELITE ACCESS	25,175.66	8.39	80.0	6.71	0.00	6.71
06/30/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	5,859.14	1.22	80.0	0.98	0.00	0.98
06/30/2017	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,098.43	0.44	80.0	0.35	0.00	0.35
06/30/2017	CASTLE, DAVID	JNL ELITE ACCESS	2,644.60	0.55	80.0	0.44	0.00	0.44
06/30/2017	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	2,883.39	0.60	80.0	0.48	0.00	0.48
06/30/2017	PYLE JR, JUSTIN	JNL ELITE ACCESS	28,057.64	5.85	80.0	4.68	0.00	4.68
06/30/2017	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	9,282.92	1.93	80.0	1.54	0.00	1.54
06/30/2017	RAY, RANDY	JNL PERSPECTIVE II 04/14	26,838.54	11.18	80.0	8.94	0.00	8.94
06/30/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	47,400.93	9.88	80.0	7.90	0.00	7.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	8,325.69	6.94	80.0	5.55	0.00	5.55
06/30/2017	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,373.88	0.29	80.0	0.23	0.00	0.23
06/30/2017	HIPP, RONALD	JNL PERSPECTIVE II 05/05	10,012.75	2.09	80.0	1.67	0.00	1.67
06/30/2017	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	8,073.96	1.68	80.0	1.34	0.00	1.34
06/30/2017	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	82,197.22	17.12	80.0	13.70	0.00	13.70
06/30/2017	MCFERRON, LINDA	JNL PERSPECTIVE L	16,668.60	18.06	80.0	14.45	0.00	14.45
06/30/2017	WOODS III, JOHN	JNL PERSPECTIVE L	30,313.35	25.26	80.0	20.21	0.00	20.21
06/30/2017	WOODS III, JOHN	JNL PERSPECTIVE L	10,826.19	11.73	80.0	9.38	0.00	9.38
06/30/2017	DOWDY, LINDA	JNL PERSPECTIVE L	33,168.03	27.64	80.0	22.11	0.00	22.11
06/30/2017	DOWDY, LINDA	JNL PERSPECTIVE L	16,941.28	18.35	80.0	14.68	0.00	14.68
06/30/2017	RICK, JOSEPH	JNL PERSPECTIVE L	11,542.18	9.62	80.0	7.70	0.00	7.70
06/30/2017	RICK, JOSEPH	JNL PERSPECTIVE L	12,311.03	13.34	80.0	10.67	0.00	10.67
06/30/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	7,105.63	5.92	80.0	4.74	0.00	4.74
06/30/2017	WILLIAMS, HARRY	JNL PERSPECTIVE L	11,842.71	12.83	80.0	10.26	0.00	10.26
06/30/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	11,112.85	9.26	80.0	7.41	0.00	7.41
06/30/2017	BRISCOE, TOMMIE	JNL PERSPECTIVE L	10,159.97	11.01	80.0	8.81	0.00	8.81
06/30/2017	WILSON, TIM	JNL PERSPECTIVE L	24,447.45	20.37	80.0	16.30	0.00	16.30
06/30/2017	WILSON, TIM	JNL PERSPECTIVE L	10,045.70	10.88	80.0	8.70	0.00	8.70
06/30/2017	HERNDON, RONALD	JNL PERSPECTIVE L	16,670.05	13.89	80.0	11.11	0.00	11.11
06/30/2017	HERNDON, RONALD	JNL PERSPECTIVE L	9,828.20	10.65	80.0	8.52	0.00	8.52
06/30/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	46,374.01	38.65	80.0	30.92	0.00	30.92
06/30/2017	CANFIELD, OWEN	JNL PERSPECTIVE L	10,293.62	11.15	80.0	8.92	0.00	8.92
06/30/2017	MOORE, TONI	JNL PERSPECTIVE L	227,118.64	246.05	80.0	196.84	0.00	196.84
06/30/2017	MICHENER, DAVID	JNL PERSPECTIVE L	264,237.28	220.20	80.0	176.16	0.00	176.16
06/30/2017	LEMMOND, MARY	JNL PERSPECTIVE L	20,471.52	17.06	80.0	13.65	0.00	13.65
06/30/2017	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,503.11	2.09	80.0	1.67	0.00	1.67
06/30/2017	BRANSTETTER, TODD	JNL PERSPECTIVE L	13,258.99	11.05	80.0	8.84	0.00	8.84
06/30/2017	MCFERRON, LARRY	JNL PERSPECTIVE L	20,265.90	16.89	80.0	13.51	0.00	13.51
06/30/2017	POORE, NANCY	JNL PERSPECTIVE L	49,056.75	40.88	80.0	32.70	0.00	32.70
06/30/2017	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	58,232.22	48.53	80.0	38.82	0.00	38.82
06/30/2017	CASTLE, DAVID	JNL PERSPECTIVE L	117,738.17	98.12	80.0	78.50	0.00	78.50
06/30/2017	FARIS, THERESA ANN	JNL PERSPECTIVE L	34,937.90	29.11	80.0	23.29	0.00	23.29
06/30/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	94,845.45	79.04	80.0	63.23	0.00	63.23

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	MCKIDDY, BOBBY	JNL PERSPECTIVE L	20,426.87	17.02	80.0	13.62	0.00	13.62
06/30/2017	TREADWAY, PAMELA	JNL PERSPECTIVE L	41,683.40	34.74	80.0	27.79	0.00	27.79
06/30/2017	FOX, JERALDINE	JNL PERSPECTIVE L	125,982.91	104.99	80.0	83.99	0.00	83.99
06/30/2017	DOWNING, JOHN	JNL PERSPECTIVE L	12,278.45	10.23	80.0	8.18	0.00	8.18
06/30/2017	ACOSTA, ANNA	JNL PERSPECTIVE L	6,819.17	5.68	80.0	4.54	0.00	4.54
06/30/2017	STANFORD, DAVID	JOHN HANCOCK VENTURE	9,588.84	2.00	80.0	1.60	0.00	1.60
07/01/2017	POWERS, MIKE	NATIONWIDE BOA VISION GROUP 01	458.38	0.29	80.0	0.23	0.00	0.23
07/01/2017	WILSON, TIM	NATIONWIDE BOA VISION GROUP 01	3,774.53	2.36	80.0	1.89	0.00	1.89
07/01/2017	POWERS, MIKE	NATIONWIDE MARKETFLEX BB	591.88	1.48	80.0	1.18	0.00	1.18
07/01/2017	WILSON, TIM	NATIONWIDE MARKETFLEX BB	7,496.26	18.74	80.0	14.99	0.00	14.99
07/01/2017	BACON, RICHARD	NATIONWIDE MARKETFLEX BB	13,510.21	8.44	80.0	6.75	0.00	6.75
06/26/2017	MADEWELL, DOUGLAS	PAC LIFE INNOVATIVE SELECT	0.00	4.09	80.0	3.27	0.00	3.27
06/26/2017	HOWARD, JOSEPH	PAC LIFE PACIFIC CHOICE	0.00	2.78	80.0	2.22	0.00	2.22
06/30/2017	PARA JR, JOSE	PAC LIFE PACIFIC CHOICE	0.00	3.22	80.0	2.58	0.00	2.58
06/26/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	9.77	80.0	7.82	0.00	7.82
06/26/2017	PROCTOR, CHAD	PAC LIFE VALUE	0.00	7.04	80.0	5.63	0.00	5.63
06/26/2017	CRETSINGER, DON	PAC LIFE VALUE	0.00	11.01	80.0	8.81	0.00	8.81
06/26/2017	WHITE, JOANNE	PAC LIFE VALUE	0.00	8.45	80.0	6.76	0.00	6.76
06/26/2017	FISHER, SARA	PAC LIFE VALUE	0.00	8.40	80.0	6.72	0.00	6.72
06/30/2017	JUDKINS, TIFFANY	PAC LIFE VALUE	0.00	48.75	80.0	39.00	0.00	39.00
06/30/2017	BRANCH, MARY	PRU AS LIFEVEST	30,428.10	19.02	80.0	15.22	0.00	15.22
06/30/2017	BRANCH, MARY	PRU AS LIFEVEST	30,428.10	57.05	80.0	45.64	0.00	45.64
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,756,008.63</b>	<b>\$1,531.34</b>		<b>\$1,225.04</b>	<b>\$0.00</b>	<b>\$1,225.04</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/13/2017	LAWTON, E ANN	FRANKLIN UTILITIES A	0.00	3.70	80.0	2.96	0.00	2.96
06/19/2017	LAWTON, E ANN	OPPENHEIMER DEVELOPING MARKETS C	0.00	7.40	80.0	5.92	0.00	5.92
06/15/2017	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	17.54	80.0	14.03	0.00	14.03
06/15/2017	CASTLE, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	12.24	80.0	9.79	0.00	9.79
06/15/2017	ACOSTA, ANNA	POWER DIVIDEND INDEX FUND CLASS C	0.00	17.43	80.0	13.94	0.00	13.94
06/15/2017	LAWTON, E ANN	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.43	80.0	1.14	0.00	1.14
06/15/2017	TREADWAY, TOMMY	POWER DIVIDEND INDEX FUND CLASS C	0.00	9.61	80.0	7.69	0.00	7.69
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$69.35</b>		<b>\$55.47</b>	<b>\$0.00</b>	<b>\$55.47</b>

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$1,756,815.15	\$2,076.08	\$1,660.97	\$0.00	\$1,660.97
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ADJUSTMENTS

07/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00			-300.00
07/15/2017	*MARKETING LIBRARY: MARKETING	0.00			-20.00
07/15/2017	*MISC: LAWTON BOOK BUYOUT #6	0.00			-852.01
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00			-3.99
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00			-275.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$-1,451.00	\$-1,451.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,660.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,451.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$209.97
Year-to-date Gross	\$35,025.59
Year-to-date Earnings	\$27,210.78

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\*\* - Indicates adjustment is not included in Totals or Commissions

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