

COMMISSION STATEMENT

June 15, 2018

SCOTT SICKLES-D060

BROKER #: D060

BRANCH: DMC

, TX

COMMISSION STATEMENT for SCOTT SICKLES-D060

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
05/31/2018	000000001042631	WEIR, DEBBIE	MF	FRK AGGRESSIVE GRWTH - CL A	B	250.00	9.38	0.00	9.38	75.0	7.04
06/01/2018	00085552496	MAXIE A SICKLES	MF	CAPITAL INCOME BUILDER CLASS C	B	300.00	3.00	0.00	3.00	75.0	2.25
06/04/2018	04000584689	OLGA HOUSAND	MF	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	75.0	0.75
				* MUTUAL FUNDS SUBTOTAL *		\$650.00	\$13.38	\$0.00	\$13.38		\$10.04

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	00082100637	SUZEWITS, MARIA	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	0.20	0.00	0.20	75.0	0.15
06/01/2018	00082100637	SUZEWITS, MARIA	MFT	INCOME FUND OF AMERICA CLASS C	B	0.00	0.02	0.00	0.02	75.0	0.02
06/01/2018	00082100637	SUZEWITS, MARIA	MFT	THE BOND FUND OF AMERICA-C	B	0.00	0.08	0.00	0.08	75.0	0.06
06/01/2018	00082889269	GIBBS, LORI	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.23	0.00	1.23	75.0	0.92
06/01/2018	00082889269	GIBBS, LORI	MFT	THE BOND FUND OF AMERICA-A	B	0.00	0.89	0.00	0.89	75.0	0.67
06/01/2018	00082889269	GIBBS, LORI	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	1.25	0.00	1.25	75.0	0.94
06/01/2018	00082895917	JOHNSON, KRISTY	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.63	0.00	3.63	75.0	2.72
06/01/2018	00082895917	JOHNSON, KRISTY	MFT	THE BOND FUND OF AMERICA-A	B	0.00	2.85	0.00	2.85	75.0	2.14
06/01/2018	00082895917	JOHNSON, KRISTY	MFT	THE INCOME FUND OF AMERICA-A	B	0.00	3.81	0.00	3.81	75.0	2.86
06/01/2018	00083035369	MENG, DEBRA	MFT	AMERICAN BALANCED FUND-A	B	0.00	1.74	0.00	1.74	75.0	1.31
06/01/2018	00083035369	MENG, DEBRA	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.33	0.00	1.33	75.0	1.00
06/01/2018	00083035369	MENG, DEBRA	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.59	0.00	1.59	75.0	1.19
06/01/2018	00083035369	MENG, DEBRA	MFT	THE GROWTH FUND OF AMERICA-A	B	0.00	2.04	0.00	2.04	75.0	1.53
06/01/2018	00084116303	SPIVA, HOLLY	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	7.11	0.00	7.11	75.0	5.33
06/01/2018	00084116303	SPIVA, HOLLY	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	7.72	0.00	7.72	75.0	5.79
06/01/2018	00084116303	SPIVA, HOLLY	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	5.12	0.00	5.12	75.0	3.84
06/01/2018	00084116303	SPIVA, HOLLY	MFT	NEW WORLD FUND-C	B	0.00	7.40	0.00	7.40	75.0	5.55
06/01/2018	00084116303	SPIVA, HOLLY	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	10.81	0.00	10.81	75.0	8.11
06/01/2018	00084116303	SPIVA, HOLLY	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	4.42	0.00	4.42	75.0	3.32
06/01/2018	00084118996	DAVIS, JANET	MFT	CAPITAL WORLD BOND FUND-C	B	0.00	21.73	0.00	21.73	75.0	16.30
06/01/2018	00084118996	DAVIS, JANET	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	40.16	0.00	40.16	75.0	30.12
06/01/2018	00084118996	DAVIS, JANET	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	22.43	0.00	22.43	75.0	16.82
06/01/2018	00084118996	DAVIS, JANET	MFT	FUNDAMENTAL INVESTORS-C	B	0.00	28.28	0.00	28.28	75.0	21.21
06/01/2018	00084118996	DAVIS, JANET	MFT	THE GROWTH FUND OF AMERICA-C	B	0.00	49.16	0.00	49.16	75.0	36.87
06/01/2018	00084222411	VAUGHN, JOE	MFT	CAPITAL INCOME BUILDER-A	B	0.00	17.69	0.00	17.69	75.0	13.27

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06/01/2018 00084222411	VAUGHN, JOE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	21.78	0.00	21.78	75.0	16.34
06/01/2018 00084770563	SPIVA, SAMANTHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.47	0.00	3.47	75.0	2.60
06/01/2018 00084785305	MCCOWN, GINA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	75.0	0.08
06/01/2018 00085367391	BRANNON,	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.44	0.00	2.44	75.0	1.83
06/01/2018 00085367391	BRANNON,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.94	0.00	2.94	75.0	2.21
06/01/2018 00085367391	BRANNON,	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.31	0.00	3.31	75.0	2.48
06/01/2018 00085552496	MAXIE A SICKLES	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	19.99	0.00	19.99	75.0	14.99
06/01/2018 00085552496	MAXIE A SICKLES	MFT CAPITAL INCOME BUILDER-F1	B	0.00	2.49	0.00	2.49	75.0	1.87
06/01/2018 00085552496	MAXIE A SICKLES	MFT INCOME FUND OF AMERICA CLASS C	B	0.00	18.97	0.00	18.97	75.0	14.23
06/01/2018 00085552496	MAXIE A SICKLES	MFT THE BOND FUND OF AMERICA-C	B	0.00	14.15	0.00	14.15	75.0	10.61
06/01/2018 00085552496	MAXIE A SICKLES	MFT THE BOND FUND OF AMERICA-F1	B	0.00	2.29	0.00	2.29	75.0	1.72
06/01/2018 00085552496	MAXIE A SICKLES	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	2.89	0.00	2.89	75.0	2.17
06/01/2018 00086907129	SICKLES, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.57	0.00	15.57	75.0	11.68
06/01/2018 00087696393	CHARLES MICHAEL	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	42.02	0.00	42.02	75.0	31.52
06/01/2018 00087696393	CHARLES MICHAEL	MFT NEW WORLD FUND-C	B	0.00	28.01	0.00	28.01	75.0	21.01
06/01/2018 00087696393	CHARLES MICHAEL	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	43.80	0.00	43.80	75.0	32.85
06/01/2018 00087696393	CHARLES MICHAEL	MFT THE NEW ECONOMY FUND-C	B	0.00	49.10	0.00	49.10	75.0	36.83
06/01/2018 00088702320	SICKLES, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.49	0.00	9.49	75.0	7.12
06/01/2018 00089635528	BAUTISTA, MOISES	MFT FUNDAMENTAL INVESTORS-C	B	0.00	7.71	0.00	7.71	75.0	5.78
06/01/2018 04000032754	SPIVA, HOLLY	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	0.18	0.00	0.18	75.0	0.14
06/01/2018 04000032754	SPIVA, HOLLY	MFT EUROPACIFIC GROWTH FUND CLASS C	B	0.00	0.20	0.00	0.20	75.0	0.15
06/01/2018 04000032754	SPIVA, HOLLY	MFT FUNDAMENTAL INVESTORS-C	B	0.00	0.10	0.00	0.10	75.0	0.08
06/01/2018 04000032754	SPIVA, HOLLY	MFT NEW WORLD FUND-C	B	0.00	0.21	0.00	0.21	75.0	0.16
06/01/2018 04000032754	SPIVA, HOLLY	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	0.21	0.00	0.21	75.0	0.16
06/01/2018 04000032754	SPIVA, HOLLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.37	0.00	1.37	75.0	1.03
06/01/2018 04000612038	CARLSON, EDEN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.16	0.00	0.16	75.0	0.12
06/01/2018 04000650197	MONFORT, RONALD	MFT AMCAP FUND-A	B	0.00	0.28	0.00	0.28	75.0	0.21
06/01/2018 04000650197	MONFORT, RONALD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	75.0	0.21
06/01/2018 04000650593	MONFORT, TINA	MFT RTMT INCOME PORTFOLIO	B	0.00	0.57	0.00	0.57	75.0	0.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$536.78	\$0.00	\$536.78		\$402.65
*** DIRECT TRANSACTIONS TOTAL ***				\$650.00	\$550.16	\$0.00	\$550.16		\$412.69

BROKER COMMISSION TOTALS

Broker Transactions	\$412.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$412.69
Year-to-date Commissions	\$3,466.37