

**COMMISSION STATEMENT****February 28, 2018**

ROY, P123 PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
02/20/2018	BRIDGEHAMPTON CHILD	CANTEL MED CORP COM	-28,431.88	0.00	7.88	-7.88	75.0	-5.91
03/17/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	75.0	0.03
04/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
05/24/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
06/15/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
07/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
08/16/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
09/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
10/19/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
11/18/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
12/16/2016	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
04/22/2016	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	75.0	0.47
04/22/2016	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.04	0.00	1.04	75.0	0.78
08/01/2016	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.63	0.00	0.63	75.0	0.47
08/01/2016	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.05	0.00	1.05	75.0	0.79
10/17/2016	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.64	0.00	0.64	75.0	0.48
10/17/2016	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.07	0.00	1.07	75.0	0.80
07/19/2016	NICASTRO, JOSEPH	INVESCO AMERICAN FRANCHISE A	0.00	0.03	0.00	0.03	75.0	0.02
10/17/2016	NICASTRO, JOSEPH	INVESCO AMERICAN FRANCHISE A	0.00	0.02	0.00	0.02	75.0	0.02
07/25/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.01	0.00	0.01	75.0	0.01
08/15/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.22	0.00	0.22	75.0	0.17
09/15/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.02	0.00	0.02	75.0	0.02
09/15/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.15	0.00	0.15	75.0	0.11
09/15/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.22	0.00	0.22	75.0	0.17
10/14/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.54	0.00	0.54	75.0	0.41
10/14/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.48	0.00	0.48	75.0	0.36

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
10/14/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.22	0.00	0.22	75.0	0.17
11/21/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.55	0.00	0.55	75.0	0.41
11/21/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.50	0.00	0.50	75.0	0.38
11/21/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.22	0.00	0.22	75.0	0.17
12/16/2016	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.55	0.00	0.55	75.0	0.41
12/16/2016	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.49	0.00	0.49	75.0	0.37
12/16/2016	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.22	0.00	0.22	75.0	0.17
02/19/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.25	0.00	0.25	75.0	0.19
02/19/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.35	0.00	0.35	75.0	0.26
03/17/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	75.0	0.17
03/17/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.31	0.00	0.31	75.0	0.23
04/25/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.25	0.00	0.25	75.0	0.19
04/25/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.36	0.00	0.36	75.0	0.27
05/16/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.26	0.00	0.26	75.0	0.20
05/16/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.36	0.00	0.36	75.0	0.27
06/20/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.26	0.00	0.26	75.0	0.20
06/20/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.37	0.00	0.37	75.0	0.28
07/25/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.25	0.00	0.25	75.0	0.19
07/25/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.36	0.00	0.36	75.0	0.27
08/15/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	75.0	0.14
08/15/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.38	0.00	0.38	75.0	0.29
09/15/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.20	0.00	0.20	75.0	0.15
09/15/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.39	0.00	0.39	75.0	0.29
10/14/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	75.0	0.14
10/14/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.37	0.00	0.37	75.0	0.28
11/21/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	75.0	0.14
11/21/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.39	0.00	0.39	75.0	0.29
12/16/2016	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.19	0.00	0.19	75.0	0.14
12/16/2016	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.37	0.00	0.37	75.0	0.28
12/16/2016	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	0.14	0.00	0.14	75.0	0.11
02/19/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.32	0.00	0.32	75.0	0.24
03/17/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.29	0.00	0.29	75.0	0.22
04/25/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.33	0.00	0.33	75.0	0.25

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
05/16/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.33	0.00	0.33	75.0	0.25
06/20/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.34	0.00	0.34	75.0	0.26
07/25/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.33	0.00	0.33	75.0	0.25
08/15/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.24	0.00	0.24	75.0	0.18
09/15/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.24	0.00	0.24	75.0	0.18
10/14/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.23	0.00	0.23	75.0	0.17
11/21/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.24	0.00	0.24	75.0	0.18
12/16/2016	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.24	0.00	0.24	75.0	0.18
02/19/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.23	0.00	0.23	75.0	0.17
02/19/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.16	0.00	0.16	75.0	0.12
03/17/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.21	0.00	0.21	75.0	0.16
03/17/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.15	0.00	0.15	75.0	0.11
04/25/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.24	0.00	0.24	75.0	0.18
04/25/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.17	0.00	0.17	75.0	0.13
05/16/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.24	0.00	0.24	75.0	0.18
05/16/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.17	0.00	0.17	75.0	0.13
06/20/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.25	0.00	0.25	75.0	0.19
06/20/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.18	0.00	0.18	75.0	0.14
07/25/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.24	0.00	0.24	75.0	0.18
07/25/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.17	0.00	0.17	75.0	0.13
08/15/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.25	0.00	0.25	75.0	0.19
08/15/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.18	0.00	0.18	75.0	0.14
09/15/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.26	0.00	0.26	75.0	0.20
09/15/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.18	0.00	0.18	75.0	0.14
10/14/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.25	0.00	0.25	75.0	0.19
10/14/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.18	0.00	0.18	75.0	0.14
11/21/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.26	0.00	0.26	75.0	0.20
11/21/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.18	0.00	0.18	75.0	0.14
12/16/2016	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.26	0.00	0.26	75.0	0.20
12/16/2016	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.18	0.00	0.18	75.0	0.14
02/25/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	75.0	0.11
03/08/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.11	0.00	0.11	75.0	0.08
04/22/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	75.0	0.11

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
05/17/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	75.0	0.11
06/15/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	75.0	0.12
07/14/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	75.0	0.12
08/18/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	75.0	0.14
09/15/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	75.0	0.14
10/21/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.17	0.00	0.17	75.0	0.13
11/09/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	75.0	0.14
12/16/2016	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	75.0	0.12
02/08/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.16	0.00	1.16	75.0	0.87
03/07/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.41	0.00	1.41	75.0	1.06
04/11/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.46	0.00	1.46	75.0	1.10
05/12/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.40	0.00	1.40	75.0	1.05
06/09/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.55	0.00	1.55	75.0	1.16
07/13/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.48	0.00	1.48	75.0	1.11
08/11/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.55	0.00	1.55	75.0	1.16
09/12/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.09	0.00	0.09	75.0	0.07
09/12/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.58	0.00	1.58	75.0	1.19
10/11/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.33	0.00	0.33	75.0	0.25
10/11/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.54	0.00	1.54	75.0	1.16
11/10/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.34	0.00	0.34	75.0	0.26
11/10/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.58	0.00	1.58	75.0	1.19
12/12/2016	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.33	0.00	0.33	75.0	0.25
12/12/2016	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.54	0.00	1.54	75.0	1.16
02/23/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.68	0.00	0.68	75.0	0.51
03/21/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.65	0.00	0.65	75.0	0.49
04/28/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.74	0.00	0.74	75.0	0.56
05/24/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.72	0.00	0.72	75.0	0.54
06/22/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.75	0.00	0.75	75.0	0.56
07/22/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.74	0.00	0.74	75.0	0.56
08/19/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.78	0.00	0.78	75.0	0.59
09/21/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	75.0	0.57
10/20/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.73	0.00	0.73	75.0	0.55
11/22/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.73	0.00	0.73	75.0	0.55

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
12/27/2016	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.70	0.00	0.70	75.0	0.53
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$-28,431.88	\$51.52	\$7.88	\$43.64		\$32.93
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
02/12/2018	POWERS LIVING TRUST, JONATHAN	INLAND CHICAGOLAND SUPERMARKET DST	511,401.05	5,114.01	0.00	5,114.01	75.0	3,835.51
02/21/2018	HASON REV TRUST, KENNETH	INLAND CHICAGOLAND SUPERMARKET DST	1,354,104.17	13,541.04	0.00	13,541.04	75.0	10,155.78
02/22/2018	BLUE SEAL REALTY	INLAND CHICAGOLAND SUPERMARKET DST	4,284,011.94	42,840.12	0.00	42,840.12	75.0	32,130.09
02/22/2018	BLACK SEAL REALTY	INLAND CHICAGOLAND SUPERMARKET DST	800,791.07	8,007.91	0.00	8,007.91	75.0	6,005.93
02/12/2018	POWERS LIVING TRUST, JONATHAN	INLAND ZERO COUPON PHARM'Y VIII DST	128,000.00	1,280.00	0.00	1,280.00	75.0	960.00
10/21/2016	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.18	0.00	0.18	75.0	0.14
11/09/2016	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.33	0.00	0.33	75.0	0.25
12/16/2016	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.32	0.00	0.32	75.0	0.24
12/12/2016	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.58	0.00	1.58	75.0	1.19
10/17/2016	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.04	0.00	0.04	75.0	0.03
11/18/2016	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.07	0.00	0.07	75.0	0.05
12/16/2016	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.07	0.00	0.07	75.0	0.05
10/17/2016	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.08	0.00	0.08	75.0	0.06
11/18/2016	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.15	0.00	0.15	75.0	0.11
12/16/2016	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.14	0.00	0.14	75.0	0.11
10/20/2016	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.09	0.00	0.09	75.0	0.07
11/22/2016	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.16	0.00	0.16	75.0	0.12
12/27/2016	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.15	0.00	0.15	75.0	0.11
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$7,078,308.23	\$70,786.44	\$0.00	\$70,786.44		\$53,089.84
*** SPLIT TRANSACTIONS TOTAL ***			\$7,049,876.35	\$70,837.96	\$7.88	\$70,830.08		\$53,122.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/28/2018		*PERSHING: PERSHING MARKUP	0.00					10.50
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$10.50

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$53,122.77
Override Transactions	\$0.00
Adjustments	\$10.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-156.39
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$52,976.88
Year-to-date Gross	\$85,556.08
Year-to-date Earnings	\$64,149.73

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed