

All Companies
STATEMENT
 August 20, 2018

NICK CONSOLES
 1 Merrill Industrial Dr Suite
 SUITE 620
 Hampton, NH 03842

BROKER #: 155
BRANCH:

COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/02/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	34.53	0.35	75.0	0.00	0.26	0.26
07/02/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	20.72	0.21	75.0	0.00	0.16	0.16
07/10/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
07/10/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
07/10/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
07/10/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.11
07/19/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
07/19/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
07/19/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	15.30	0.15	75.0	0.00	0.11	0.11
07/19/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	25.50	0.26	75.0	0.00	0.20	0.20
07/19/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
07/19/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	13.86	0.14	75.0	0.00	0.11	0.11
07/19/2018	#04000769139	COSTA, JANICE	2055 TARGET DATE RETIREMENT FUND	279.20	13.96	75.0	0.00	10.47	10.47
07/19/2018	#04000769139	COSTA, JANICE	2055 TARGET DATE RETIREMENT FUND	279.20	13.96	75.0	0.00	10.47	10.47
07/19/2018	#04000542764	PATENAUE, RYAN	AMERICAN FUNDS 02631C445	135.98	6.80	75.0	0.00	5.10	5.10
07/19/2018	#04000542764	PATENAUE, RYAN	AMERICAN FUNDS 02631C445	135.98	6.80	75.0	0.00	5.10	5.10
07/19/2018	#04000542766	HUFF, KENNETH	AMERICAN FUNDS 2030 TARGET DATE-A	39.13	1.96	75.0	0.00	1.47	1.47
07/19/2018	#04000542766	HUFF, KENNETH	AMERICAN FUNDS 2030 TARGET DATE-A	39.13	1.96	75.0	0.00	1.47	1.47
07/19/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	1,187.35	59.37	75.0	0.00	44.53	44.53
07/19/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	356.18	17.81	75.0	0.00	13.36	13.36
07/19/2018	#04000769148	LECLAIR,	AMERICAN FUNDS 2035 TARGET DATE-A	132.35	6.62	75.0	0.00	4.97	4.97
07/19/2018	#04000769148	LECLAIR,	AMERICAN FUNDS 2035 TARGET DATE-A	842.36	42.12	75.0	0.00	31.59	31.59
07/19/2018	#04000542790		AMERICAN FUNDS 2040 TARGET DATE-A	159.10	7.96	75.0	0.00	5.97	5.97
07/19/2018	#04000542790		AMERICAN FUNDS 2040 TARGET DATE-A	159.10	7.96	75.0	0.00	5.97	5.97
07/19/2018	#04000542781		AMERICAN FUNDS 2045 TARGET DATE-A	203.62	10.18	75.0	0.00	7.64	7.64
07/19/2018	#04000542781		AMERICAN FUNDS 2045 TARGET DATE-A	203.62	10.18	75.0	0.00	7.64	7.64
07/19/2018	#04000544326		AMERICAN FUNDS 2045 TARGET DATE-A	300.06	15.00	75.0	0.00	11.25	11.25
07/19/2018	#04000544326		AMERICAN FUNDS 2045 TARGET DATE-A	500.14	25.01	75.0	0.00	18.76	18.76

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/20/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
07/20/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
07/20/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
07/20/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
07/27/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
07/27/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
07/27/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
07/27/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	150.00	1.50	75.0	0.00	1.13	1.13
* MUTUAL FUNDS SUBTOTAL *				\$5,860.43	\$256.76	\$0.00	\$192.63	\$0.00	\$192.63
*** BROKER TRANSACTIONS TOTAL ***				\$5,860.43	\$256.76	\$0.00	\$192.63	\$0.00	\$192.63

ADJUSTMENTS

08/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$192.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-306.37