

All Companies
STATEMENT
May 20, 2018

YUPENG FU - LPCM

BROKER #: 3615
BRANCH: LPCM

, CA

COMMISSION STATEMENT for YUPENG FU - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
04/13/2018 #	ZHAO, HONG	IAFEES	B	-0.07	0.06	0.00	0.00	0.06	80.0	0.05
04/16/2018 #	LIN, YOU HUA	IAFEES	B	-124.31	102.55	0.00	0.00	102.55	80.0	82.04
04/16/2018 #	LIN, JEFFERSON	IAFEES	B	-201.86	165.63	0.00	0.00	165.63	80.0	132.50
04/16/2018 #	ZHAO, HONG	IAFEES	B	-149.04	114.65	0.00	0.00	114.65	80.0	91.72
04/16/2018 #	CHEN, JINBIN	IAFEES	B	-64.16	52.93	0.00	0.00	52.93	80.0	42.34
04/16/2018 #	WANG, MINA	IAFEES	B	-167.41	138.11	0.00	0.00	138.11	80.0	110.49
04/16/2018 #	HUANG, RICHARD	IAFEES	B	-330.09	249.80	0.00	0.00	249.80	80.0	199.84
04/16/2018 #	YAO, HOUFENG	IAFEES	B	-261.29	214.39	0.00	0.00	214.39	80.0	171.51
04/16/2018 #	LIU, DANNY	IAFEES	B	-277.49	227.68	0.00	0.00	227.68	80.0	182.14
04/16/2018 #	YU, JIAN	IAFEES	B	0.00	710.72	0.00	0.00	710.72	80.0	568.58
04/16/2018 #	WEN WU WENDONG WU TTEES	IAFEES	B	-623.82	522.66	0.00	0.00	522.66	80.0	418.13
04/16/2018 #	TANG, PHAT	IAFEES	B	-293.97	242.52	0.00	0.00	242.52	80.0	194.02
04/16/2018 #	WU, ESTHER	IAFEES	B	-67.13	54.08	0.00	0.00	54.08	80.0	43.26
04/16/2018 #	XU, GUOPING	IAFEES	B	-616.84	521.94	0.00	0.00	521.94	80.0	417.55
04/16/2018 #	LIN, JEFFERSON	IAFEES	B	-213.49	175.17	0.00	0.00	175.17	80.0	140.14
04/16/2018 #	WU, ESTHER	IAFEES	B	-372.43	301.97	0.00	0.00	301.97	80.0	241.58
04/16/2018 #	XIAO, BOB	IAFEES	B	-218.10	179.93	0.00	0.00	179.93	80.0	143.94
04/16/2018 #	CHEN, JINBIN	IAFEES	B	-284.11	234.39	0.00	0.00	234.39	80.0	187.51
04/16/2018 #	OH, SUNG	IAFEES	B	-226.47	186.84	0.00	0.00	186.84	80.0	149.47
04/16/2018 #	LAM, KENNY	IAFEES	B	-195.00	160.87	0.00	0.00	160.87	80.0	128.70
04/16/2018 #	ZHOU, QULI	IAFEES	B	-440.53	365.01	0.00	0.00	365.01	80.0	292.01
04/16/2018 #	GAO, LONGDE	IAFEES	B	-742.53	628.29	0.00	0.00	628.29	80.0	502.63
04/16/2018 #	WANG, JIAN	IAFEES	B	-619.22	508.08	0.00	0.00	508.08	80.0	406.46
04/18/2018 #	HUANG, RICHARD	IAFEES	B	264.80	-200.39	0.00	0.00	-200.39	80.0	-160.31
* MUTUAL FUNDS SUBTOTAL *				\$-6,224.56	\$5,857.88	\$0.00	\$0.00	\$5,857.88		\$4,686.30
*** BROKER TRANSACTIONS TOTAL ***				\$-6,224.56	\$5,857.88	\$0.00	\$0.00	\$5,857.88		\$4,686.30

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ADJUSTMENTS

05/20/2018		ADMIN FEE: ADMIN FEE								-320.00
05/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
05/20/2018		MISCELLANEOUS: MISCELLANEOUS MISSED								-320.00
		ADMIN CHARGE FOR MARCH								
05/20/2018		MISCELLANEOUS: MISCELLANEOUS MISSED								-179.00
		E&O CHARGE FOR MARCH								
*** ADJUSTMENTS TOTAL ***										\$-998.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,686.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-998.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-631.18
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,057.12
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