

All Companies  
**STATEMENT**  
**April 20, 2018**

LPFS HOUSE

BROKER #: ZZQ  
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
02/08/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.15	100.0	0.00	4.15	0.00	4.15
02/08/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.80	100.0	0.00	0.80	0.00	0.80
02/08/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.22	100.0	0.00	2.22	0.00	2.22
02/08/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.43	100.0	0.00	2.43	0.00	2.43
02/08/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	32.34	100.0	0.00	32.34	0.00	32.34
02/08/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	17.81	100.0	0.00	17.81	0.00	17.81
02/08/0018	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.38	100.0	0.00	1.38	0.00	1.38
02/08/0018	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.27	100.0	0.00	0.27	0.00	0.27
02/08/0018	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.74	100.0	0.00	0.74	0.00	0.74
02/08/0018	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.81	100.0	0.00	0.81	0.00	0.81
02/08/0018	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	10.78	100.0	0.00	10.78	0.00	10.78
02/08/0018	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	5.94	100.0	0.00	5.94	0.00	5.94
03/05/0018	#2000344105 SANCHEZ, REBECCA	A - DISCOVERY PORT	25.00	0.75	100.0	0.00	0.75	0.00	0.75
03/05/0018	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
03/05/0018	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	25.00	0.75	100.0	0.00	0.75	0.00	0.75
03/05/0018	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	15.00	0.38	100.0	0.00	0.38	0.00	0.38
03/05/0018	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
03/05/0018	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	50.00	1.25	100.0	0.00	1.25	0.00	1.25
03/05/0018	#2000256391 MILKS, SEAN	SCHOLARS EDGE 64710R404	50.00	1.25	100.0	0.00	1.25	0.00	1.25
03/20/0201	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	125.00	5.06	100.0	0.00	5.06	0.00	5.06
01/17/2018	#05020480458 KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
01/17/2018	#07024242711 KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
01/17/2018	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
01/17/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
01/17/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
01/17/2018	#05520923714 KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.00	0.88
01/17/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
01/17/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/17/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
01/19/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/19/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/22/2018	#05470420772	ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
01/22/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
01/22/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
01/22/2018	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
01/23/2018	#08270873631	BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
01/23/2018	#07024319018	BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
01/23/2018	#02381168002	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.00	0.49
01/23/2018	#07230242748	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
01/26/2018	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
01/26/2018	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	0.00	3.04
01/26/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
01/26/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	0.00	1.01
01/29/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
01/29/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
01/29/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
01/29/2018	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
01/29/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.00	0.88
01/29/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
01/29/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
01/29/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
01/30/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/30/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
01/31/2018	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
02/01/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	0.00	3.53
02/06/2018	#05470420772	ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
02/06/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
02/06/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
02/06/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
02/06/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
02/06/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
02/06/2018	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
02/12/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
02/12/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/12/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.63
02/12/2018	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.75
02/12/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.88
02/12/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
02/12/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
02/12/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
02/15/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38
02/15/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
02/20/2018	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	3.04
02/20/2018	#03211283646	LAMAR, K	OPPENHEIMER CAPITAL APPRECIATION FU	64.03	3.04	100.0	0.00	3.04	3.04
02/20/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	1.01
02/20/2018	#03307227277	LAMAR, K	OPPENHEIMER GLOBAL FUND CL A	21.35	1.01	100.0	0.00	1.01	1.01
02/21/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.44
02/21/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.44
02/21/2018	#05470420772	ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.19
02/21/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.38
02/21/2018	#05520920700	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	3.50
02/21/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	2.25
02/21/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.88
02/21/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	1.13
02/21/2018	#05451039973		PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	6.65
02/21/2018	#02870523776	VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.75
02/21/2018	#02870524579	ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.06
02/23/2018	#000000007583152	BOYKI	FRANKLIN032	-50.00	-0.50	100.0	0.00	-0.50	-0.50
02/23/2018	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	746.78	7.47	100.0	0.00	7.47	7.47
02/23/2018	#07023037287	BELCASTRO,	MAIN STREET FUND C	746.78	7.47	100.0	0.00	7.47	7.47
02/23/2018	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	746.78	7.47	100.0	0.00	7.47	7.47
02/23/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	746.78	7.47	100.0	0.00	7.47	7.47
02/26/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.63
02/26/2018	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.75
02/26/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	1.25
02/26/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.63
02/26/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.25
02/27/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
02/27/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	13.80	0.14	100.0	0.00	0.14	0.14
02/27/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	2.38

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/27/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	2.38
02/28/2018	#00074892549	THURMAN, JEREMY	AM FUNDS 02630R732	100.00	2.75	100.0	0.00	2.75	2.75
02/28/2018	#00068168935	BHAT, ARJUN	AMER FUNDS	337.00	6.74	100.0	0.00	6.74	6.74
02/28/2018	#00068168935	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	92.00	1.84	100.0	0.00	1.84	1.84
02/28/2018	#00068168936	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	50.00	1.00	100.0	0.00	1.00	1.00
02/28/2018	#00068168936	BHAT, ARJUN	COLLEGE 2024 FUND 529A	214.00	4.28	100.0	0.00	4.28	4.28
02/28/2018	#00068168935	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	2.00
02/28/2018	#00068168936	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	2.00
02/28/2018	#08185496308	HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
02/28/2018	#08185496304	HOLLE, RAYMOND	MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	5.43
02/28/2018	#08185496308	HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	5.43
02/28/2018	#00068168935	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	2.00
02/28/2018	#00068168936	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	2.00
03/01/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88
03/01/2018	#00060225535	STILEKRISL	MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	13.75
03/01/2018	#05520921393	VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	3.53
03/01/2018	#07150233245	GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	6.38
03/01/2018	#07150233248	GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	6.38
03/02/2018	#5294788558		BLCKRCK	0.00	0.34	100.0	0.00	0.34	0.34
03/02/2018	#5294788533		BLCKRCK	0.00	0.34	100.0	0.00	0.34	0.34
03/02/2018	#5294788582		BLCKRCK	0.00	0.64	100.0	0.00	0.64	0.64
03/02/2018	#5294788541		BLCKRCK	0.00	0.18	100.0	0.00	0.18	0.18
03/02/2018	#6000067683		DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	3.84	100.0	0.00	3.84	3.84
03/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.39	100.0	0.00	1.39	1.39
03/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.03	100.0	0.00	1.03	1.03
03/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.70	100.0	0.00	0.70	0.70
03/02/2018	#1003016152	SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.00	100.0	0.00	1.00	1.00
03/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	5.97	100.0	0.00	5.97	5.97
03/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.42	100.0	0.00	4.42	4.42
03/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.02	100.0	0.00	3.02	3.02
03/02/2018	#1003023420	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.33	100.0	0.00	4.33	4.33
03/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.57	100.0	0.00	0.57	0.57
03/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.43	100.0	0.00	0.43	0.43
03/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.29	100.0	0.00	0.29	0.29
03/02/2018	#1003023422	DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.42	100.0	0.00	0.42	0.42
03/02/2018	#1003029611	CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.40	100.0	0.00	0.40	0.40

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/02/2018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.30	100.0	0.00	0.30	0.00	0.30
03/02/2018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.20	100.0	0.00	0.20	0.00	0.20
03/02/2018	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.29	100.0	0.00	0.29	0.00	0.29
03/02/2018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.23	100.0	0.00	0.23	0.00	0.23
03/02/2018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.17	100.0	0.00	0.17	0.00	0.17
03/02/2018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.12	100.0	0.00	0.12	0.00	0.12
03/02/2018	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.17	100.0	0.00	0.17	0.00	0.17
03/02/2018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.82	100.0	0.00	1.82	0.00	1.82
03/02/2018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.35	100.0	0.00	1.35	0.00	1.35
03/02/2018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	0.92	100.0	0.00	0.92	0.00	0.92
03/02/2018	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.32	100.0	0.00	1.32	0.00	1.32
03/02/2018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.34	100.0	0.00	2.34	0.00	2.34
03/02/2018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.74	100.0	0.00	1.74	0.00	1.74
03/02/2018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.19	100.0	0.00	1.19	0.00	1.19
03/02/2018	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.70	100.0	0.00	1.70	0.00	1.70
03/02/2018	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49
03/02/2018	#07024319018 BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
03/02/2018	#02381168002 BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.00	0.49
03/02/2018	#07230242748 BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
03/05/2018	#00085008254 NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	50.00	0.50	100.0	0.00	0.50	0.00	0.50
03/05/2018	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.96	100.0	0.00	2.96	0.00	2.96
03/05/2018	#05470420772 ALONGE, A	OPPEN FUNDS	18.75	0.19	100.0	0.00	0.19	0.00	0.19
03/05/2018	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
03/05/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
03/05/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
03/05/2018	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
03/05/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
03/05/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
03/05/2018	#02870524579 ALONGE, A	TOTAL RETURN BOND FUND C	6.25	0.06	100.0	0.00	0.06	0.00	0.06
03/06/2018	#00087188339 MCDOWAN, GEL	AMCAP FUND INC CLASS A	60.00	3.00	100.0	0.00	3.00	0.00	3.00
03/06/2018	#00087188339 MCDOWAN, GEL	CAPITAL WORLD GROWTH & INCOME FUND	60.00	3.00	100.0	0.00	3.00	0.00	3.00
03/06/2018	#00087188339 MCDOWAN, GEL	FUNDAMENTAL INVESTORS INC CLASS A	60.00	3.00	100.0	0.00	3.00	0.00	3.00
03/06/2018	#00087188339 MCDOWAN, GEL	GROWTH FUND AMERICA INC CLASS A	60.00	3.00	100.0	0.00	3.00	0.00	3.00
03/06/2018	#002072166941 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.56	100.0	0.00	0.56	0.00	0.56
03/06/2018	#002072166983 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.26	100.0	0.00	0.26	0.00	0.26
03/06/2018	#002072167021 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.26	100.0	0.00	0.26	0.00	0.26

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/06/2018	#002072167063	PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.26	100.0	0.00	0.26	0.00	0.26
03/06/2018	#00087188339	MCDOWAN, GEL	NEW ECONOMY FUND SBI CLASS A	60.00	3.00	100.0	0.00	3.00	0.00	3.00
03/06/2018	#071502332454	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.08	100.0	0.00	4.08	0.00	4.08
03/06/2018	#071502332483	GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.59	100.0	0.00	4.59	0.00	4.59
03/07/2018	#77720991001	SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	0.00	1.08	100.0	0.00	1.08	0.00	1.08
03/07/2018	#1001382434	CLANCY, DENNIS	PACIFIC FUNDS POMC	50.00	2.38	100.0	0.00	2.38	0.00	2.38
03/07/2018	#66826639101	CERVANTES	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.17	100.0	0.00	0.17	0.00	0.17
03/08/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
03/12/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	0.00	6.88
03/12/2018	#02570974985	DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
03/12/2018	#08270310475	BELCASTRO,	INTERNATIONAL GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
03/12/2018	#07023037287	BELCASTRO,	MAIN STREET FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
03/12/2018	#03202920181	VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
03/12/2018	#02380716551	BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	100.00	1.00	100.0	0.00	1.00	0.00	1.00
03/12/2018	#07230016643	BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	100.00	1.00	100.0	0.00	1.00	0.00	1.00
03/13/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
03/13/2018	#00036257440	REED, JOHN	IVY FDS INC SCIENCE & TECH FD CL A	275.18	3.30	100.0	0.00	3.30	0.00	3.30
03/13/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	43.75	0.44	100.0	0.00	0.44	0.00	0.44
03/13/2018	#07024265492	LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
03/13/2018	#05470420794	MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
03/13/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	87.50	0.88	100.0	0.00	0.88	0.00	0.88
03/13/2018	#05520950642	LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
03/13/2018	#05920334191	LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
03/13/2018	#02870524601	MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
03/15/2018	#04000010563	GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	0.00	10.00
03/15/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
03/15/2018	#00075427348	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
03/15/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
03/15/2018	#00082239930	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
03/15/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
03/15/2018	#00089764435	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#00089764458	CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#04000010563	GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
03/15/2018	#00074892517	THURMAN, JEREMY	AMER FUNDS	200.00	5.50	100.0	0.00	5.50	0.00	5.50
03/15/2018	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	#04000010563	GARRISONJU AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	0.00	7.00
03/15/2018	#00689433449	ARMAND, JUDITH FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
03/15/2018	#00089764435	CHALLASURE FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#00089764458	CHALLASURE FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#04000010563	GARRISONJU FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
03/15/2018	#00035777858	CARIOLA, IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	0.00	1.25
03/15/2018	#00087188339	MCDOWAN, GEL NEW ECONOMY FUND SBI CLASS A	50.00	2.50	100.0	0.00	2.50	0.00	2.50
03/15/2018	#00089764435	CHALLASURE NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#00089764458	CHALLASURE NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#04000010563	GARRISONJU THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
03/15/2018	#00089764435	CHALLASURE THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/15/2018	#00089764458	CHALLASURE THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
03/20/2018	#00086791991	SANTOS, MARILU GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
03/23/2018	#00064989411	CHAVEZTAMI CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
03/23/2018	#00064989411	CHAVEZTAMI CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.00	0.61
03/23/2018	#00064989411	CHAVEZTAMI GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
03/23/2018	#00064989411	CHAVEZTAMI GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
03/23/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
03/23/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.00	0.61
03/26/2018	#00060274030	MARCH, KATHLEEN MFS TOTAL RETURN FUND-A	2,000.00	80.00	100.0	0.00	80.00	0.00	80.00
03/26/2018	#00060274220	MARCH, KATHLEEN MFS VALUE FUND A	4,500.00	180.00	100.0	0.00	180.00	0.00	180.00
03/29/2018	#00074892549	THURMAN, JEREMY AM FUNDS 02630R732	100.00	3.75	100.0	0.00	3.75	0.00	3.75
03/29/2018	#00068168935	BHAT, ARJUN AMER FUNDS	337.00	6.74	100.0	0.00	6.74	0.00	6.74
03/29/2018	#00067575889	REYES, JUAN AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.00	0.50
03/29/2018	#00067575889	REYES, JUAN AMERICAN BALANCED FUND INC CL C	18.40	0.18	100.0	0.00	0.18	0.00	0.18
03/29/2018	#00068168935	BHAT, ARJUN CAPITAL INCOME BUILDER-529A	92.00	1.84	100.0	0.00	1.84	0.00	1.84
03/29/2018	#00068168936	BHAT, ARJUN CAPITAL INCOME BUILDER-529A	50.00	1.00	100.0	0.00	1.00	0.00	1.00
03/29/2018	#00068168936	BHAT, ARJUN COLLEGE 2024 FUND 529A	214.00	4.28	100.0	0.00	4.28	0.00	4.28
03/29/2018	#00068168935	BHAT, ARJUN FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
03/29/2018	#00068168936	BHAT, ARJUN FUNDAMENTAL INVESTORS-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
03/29/2018	#00068168935	BHAT, ARJUN THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
03/29/2018	#00068168936	BHAT, ARJUN THE GROWTH FUND OF AMERICA-529A	100.00	2.00	100.0	0.00	2.00	0.00	2.00
* MUTUAL FUNDS SUBTOTAL *			\$26,129.59	\$826.19		\$0.00	\$826.19	\$0.00	\$826.19

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
02/28/2018 #	SPICER, DOUG	DAYBREAK OIL & GAS INC	26.68	0.00	100.0	0.00	0.00	0.00	0.00
03/08/2018 #	KU, RICK	SMARTHEAT INC	0.00	0.00	100.0	0.00	0.00	0.00	0.00
03/12/2018 #	BICKERS, JOHN	ROYAL DUTCH SHELL PLC SPONSORED ADR	2,904.56	0.00	100.0	0.00	0.00	0.00	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$2,931.24</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
02/27/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2015	92,620.00	0.00	100.0	0.00	0.00	0.00	0.00
02/27/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2015	95,020.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	METROPOLITAN TRANSN AUTH N Y	87,420.75	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	METROPOLITAN TRANSN AUTH N Y	87,420.75	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	N Y TRANSITIONAL FINANCE AUTH	52,536.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	N Y TRANSITIONAL FINANCE AUTH	52,536.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK N Y CITY	92,109.60	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK N Y CITY	92,109.60	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK N Y GO BDS	223,548.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK N Y GO BDS	223,548.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS	242,992.20	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS	242,992.20	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS	100,944.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS	100,944.00	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS COU	132,034.10	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS COU	132,034.10	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS NON	187,689.25	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	NEW YORK ST DORM AUTH REVS NON	187,689.25	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	WISCONSIN ST TRANSN REV REV	190,955.90	0.00	100.0	0.00	0.00	0.00	0.00
03/01/2018 #	NCIAL SVCS LLC, LIBERTY	WISCONSIN ST TRANSN REV REV	190,955.90	0.00	100.0	0.00	0.00	0.00	0.00
03/08/2018 #	NCIAL SVCS LLC, LIBERTY	WEST WINDSOR TWP N J GEN IMPT	108,570.00	0.00	100.0	0.00	0.00	0.00	0.00
03/08/2018 #	NCIAL SVCS LLC, LIBERTY	WEST WINDSOR TWP N J GEN IMPT	110,550.00	0.00	100.0	0.00	0.00	0.00	0.00
03/09/2018 #	NCIAL SVCS LLC, LIBERTY	PUERTO RICO COMWLTH RFDG-PUB GENERA	122,242.50	0.00	100.0	0.00	0.00	0.00	0.00
03/09/2018 #	NCIAL SVCS LLC, LIBERTY	PUERTO RICO COMWLTH RFDG-PUB GENERA	122,857.50	0.00	100.0	0.00	0.00	0.00	0.00
03/15/2018 #	NCIAL SVCS LLC, LIBERTY	COCA COLA ENTERPRISES INC ZERO COUP	47,199.00	0.00	100.0	0.00	0.00	0.00	0.00
03/15/2018 #	NCIAL SVCS LLC, LIBERTY	COCA COLA ENTERPRISES INC ZERO COUP	47,435.00	0.00	100.0	0.00	0.00	0.00	0.00
03/20/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G08672	7,386.00	0.00	100.0	0.00	0.00	0.00	0.00
03/20/2018 #	NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G08672	7,201.35	0.00	100.0	0.00	0.00	0.00	0.00
03/20/2018 #	NCIAL SVCS LLC, LIBERTY	FNMA GTD PASS THRU POOL#257126	3,767.71	0.00	100.0	0.00	0.00	0.00	0.00
03/20/2018 #	NCIAL SVCS LLC, LIBERTY	FNMA GTD PASS THRU POOL#257126	3,864.32	0.00	100.0	0.00	0.00	0.00	0.00



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
03/20/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL 003460M	1,098.79	0.00	100.0	0.00	0.00	0.00	0.00
03/20/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL 003460M	1,126.96	0.00	100.0	0.00	0.00	0.00	0.00
03/26/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL 003149M	923.50	0.00	100.0	0.00	0.00	0.00	0.00
03/26/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL 003149M	909.65	0.00	100.0	0.00	0.00	0.00	0.00
<b>* BONDS SUBTOTAL *</b>			<b>\$3,393,231.88</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/04/0018	#124409LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	329.99	100.0	0.00	329.99	0.00	329.99
02/04/0018	#132926LB8 VAIANELLA	TRANSAMERICA FLDP08	0.00	70.10	100.0	0.00	70.10	0.00	70.10
02/05/0018	#VP60469140 BOREN, GARY	PACIFIC LIFE TRAILS	0.00	0.50	100.0	0.00	0.50	0.00	0.50
02/05/0018	#127463LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	7.59	100.0	0.00	7.59	0.00	7.59
02/05/0018	#123639LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	25.45	100.0	0.00	25.45	0.00	25.45
02/05/0018	#123641LK8 VAIANELLA	TRANS LIFE FL10MS	0.00	24.94	100.0	0.00	24.94	0.00	24.94
02/05/0018	#151587LK8 VAIANELLA	TRANSA FIN LIFE FL27SP	0.00	99.32	100.0	0.00	99.32	0.00	99.32
02/06/0018	#110089LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	118.57	100.0	0.00	118.57	0.00	118.57
02/07/0018	#507707700126339 MORGAN,	DELAWARE LIFE RGTGD12	0.00	96.58	100.0	0.00	96.58	0.00	96.58
02/07/0018	#507707700506551 MULLARKEY,	DELAWARE LIFE RGTGD12	0.00	16.03	100.0	0.00	16.03	0.00	16.03
02/07/0018	#VR01011472 TRUDELL, CAROLYN	PACIFIC LIFE TRAILS	0.00	22.00	100.0	0.00	22.00	0.00	22.00
02/07/0018	#40005742LK2 VAIANELLA	TRANS LIFE FE22MC	0.00	59.98	100.0	0.00	59.98	0.00	59.98
02/08/0018	#E1080714 CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	20.07	100.0	0.00	20.07	0.00	20.07
02/08/0018	#E1226791 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.68	100.0	0.00	8.68	0.00	8.68
02/08/0018	#E1229810 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.38	100.0	0.00	8.38	0.00	8.38
02/08/0018	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	19.90	100.0	0.00	19.90	0.00	19.90
02/08/0018	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	59.71	100.0	0.00	59.71	0.00	59.71
02/08/0018	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	3.11	100.0	0.00	3.11	0.00	3.11
02/08/0018	#E0648375 SCHNEIDER,	PRUDETIAL TRAILS	0.00	9.33	100.0	0.00	9.33	0.00	9.33
02/08/0018	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.59	100.0	0.00	7.59	0.00	7.59
02/08/0018	#E0847719 CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.36	100.0	0.00	30.36	0.00	30.36
02/08/0018	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
02/08/0018	#E0892527 BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.00	0.02
02/08/0018	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	5.92	100.0	0.00	5.92	0.00	5.92
02/08/0018	#E0746868 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	17.75	100.0	0.00	17.75	0.00	17.75
02/08/0018	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.99	100.0	0.00	2.99	0.00	2.99
02/08/0018	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	11.98	100.0	0.00	11.98	0.00	11.98
02/08/0018	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.32	100.0	0.00	8.32	0.00	8.32
02/08/0018	#E0454848 MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.27	100.0	0.00	33.27	0.00	33.27

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/08/0018	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.02	100.0	0.00	14.02	0.00	14.02
02/08/0018	#000611043 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	42.06	100.0	0.00	42.06	0.00	42.06
02/08/0018	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	15.49	100.0	0.00	15.49	0.00	15.49
02/08/0018	#000611048 SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	46.48	100.0	0.00	46.48	0.00	46.48
02/08/0018	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.11	100.0	0.00	2.11	0.00	2.11
02/08/0018	#E0791963 POWELL, MARC	PRUDETIAL TRAILS	0.00	8.46	100.0	0.00	8.46	0.00	8.46
02/08/0018	#40007096LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	19.64	100.0	0.00	19.64	0.00	19.64
03/01/0018	#VM15008207	PACIFIC LIFE TRAILS	0.00	95.60	100.0	0.00	95.60	0.00	95.60
03/03/0018	#P67D2517236 UPCHURCH CHRIS	AMERICAN GEN LIFE	0.00	115.56	100.0	0.00	115.56	0.00	115.56
03/03/0018	#VR01011472 TRUDELL, CAROLYN	PACIFIC LIFE	0.00	22.00	100.0	0.00	22.00	0.00	22.00
03/03/0018	#I1830840W LAWRENCE, LANA	VOYA	0.00	48.94	100.0	0.00	48.94	0.00	48.94
03/03/0018	#I1831310W LAWRENCE, JOHNNY	VOYA	0.00	69.94	100.0	0.00	69.94	0.00	69.94
03/05/0018	#VP60469140 BOREN, GARY	PACIFIC LIFE TRAILS	0.00	0.50	100.0	0.00	0.50	0.00	0.50
03/09/0018	#DA907050 BARKATE	ALLIANZ VA	0.00	17.94	100.0	0.00	17.94	0.00	17.94
03/09/0018	#DA259193 BARKATE	ALLIANZ VA	0.00	56.79	100.0	0.00	56.79	0.00	56.79
03/09/0018	#DA258278 BARKATE	ALLIANZ VA	0.00	12.28	100.0	0.00	12.28	0.00	12.28
03/09/0018	#DA258280 BARKATE	ALLIANZ VA	0.00	29.61	100.0	0.00	29.61	0.00	29.61
03/09/0018	#DA929960 BRADY, MARGARET	ALLIANZ VA	0.00	201.25	100.0	0.00	201.25	0.00	201.25
03/09/0018	#DA930054 BRADY, MARGARET	ALLIANZ VA	0.00	113.58	100.0	0.00	113.58	0.00	113.58
03/09/0018	#DAV78308 PETERSON, JOE	ALLIANZ VA	0.00	641.67	100.0	0.00	641.67	0.00	641.67
03/09/0018	#DAV83746 WELCH, BRENDA	ALLIANZ VA	0.00	50.08	100.0	0.00	50.08	0.00	50.08
03/09/0018	#DAV83638 WELCH SR, JACK	ALLIANZ VA	0.00	106.52	100.0	0.00	106.52	0.00	106.52
03/09/0018	#DAV81975 SNYDER, SUSAN	ALLIANZ VA	0.00	746.58	100.0	0.00	746.58	0.00	746.58
03/09/0018	#DAV18326 KOESTER	ALLIANZ VA	0.00	150.18	100.0	0.00	150.18	0.00	150.18
03/09/0018	#DAA03061 BYRAM, DAVID	ALLIANZ VA	0.00	93.15	100.0	0.00	93.15	0.00	93.15
03/09/0018	#VP65344130 FIEST, MICHAEL	PACIFIC LIFE TRAILS	0.00	3.00	100.0	0.00	3.00	0.00	3.00
03/09/0018	#B16557107106726917 MERCADO,	SECURITY BEENFIT SBGTL	0.00	2.47	100.0	0.00	2.47	0.00	2.47
03/09/0018	#B16557107106726917 MERCADO,	SECURITY BEENFIT SBGTL	0.00	2.47	100.0	0.00	2.47	0.00	2.47
03/09/0018	#B16557107068705771 BARNES,	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
03/09/0018	#B16557107068705771 BARNES,	SECURITY BEENFIT SBGTL	0.00	0.67	100.0	0.00	0.67	0.00	0.67
03/09/0018	#B16557107085585407 ARROYO,	SECURITY BEENFIT SBGTL	0.00	0.31	100.0	0.00	0.31	0.00	0.31
03/09/0018	#B16557107085585407 ARROYO,	SECURITY BEENFIT SBGTL	0.00	0.31	100.0	0.00	0.31	0.00	0.31
03/09/0018	#B16632107134807134 MILANA,	SECURITY BEENFIT SBGTL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
03/09/0018	#B16632107134807134 MILANA,	SECURITY BEENFIT SBGTL	0.00	1.00	100.0	0.00	1.00	0.00	1.00
03/09/0018	#B16632107051722201 MCNAMEE,	SECURITY BEENFIT SBGTL	0.00	2.00	100.0	0.00	2.00	0.00	2.00
03/09/0018	#B16632107051722201 MCNAMEE,	SECURITY BEENFIT SBGTL	0.00	2.00	100.0	0.00	2.00	0.00	2.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/09/0018	#B16632107077700242	RIZZO SECURITY BEENFIT SBGTL	0.00	0.76	100.0	0.00	0.76	0.00	0.76
03/09/0018	#B16632107077700242	RIZZO SECURITY BEENFIT SBGTL	0.00	0.76	100.0	0.00	0.76	0.00	0.76
03/09/0018	#B16557107106726917	MERCADO, SECURITY BEENFIT SBGTL	0.00	18.41	100.0	0.00	18.41	0.00	18.41
03/09/0018	#B16683107069663772	MILANA, SECURITY BEENFIT SBGTL	0.00	0.95	100.0	0.00	0.95	0.00	0.95
03/09/0018	#B16557107068705771	BARNES, SECURITY BEENFIT SBGTL	0.00	1.33	100.0	0.00	1.33	0.00	1.33
03/09/0018	#B16557104099429268	BARNES, SECURITY BEENFIT SBGTL	0.00	14.25	100.0	0.00	14.25	0.00	14.25
03/09/0018	#B16557104085646439	BARNES, SECURITY BEENFIT SBGTL	0.00	5.62	100.0	0.00	5.62	0.00	5.62
03/09/0018	#B16557107085585407	ARROYO, SECURITY BEENFIT SBGTL	0.00	0.63	100.0	0.00	0.63	0.00	0.63
03/09/0018	#B16683107062661009	DEMASI, SECURITY BEENFIT SBGTL	0.00	0.77	100.0	0.00	0.77	0.00	0.77
03/09/0018	#B16632107134807134	MILANA, SECURITY BEENFIT SBGTL	0.00	2.59	100.0	0.00	2.59	0.00	2.59
03/09/0018	#B16683107133661824	FL, UTMA SECURITY BEENFIT SBGTL	0.00	0.11	100.0	0.00	0.11	0.00	0.11
03/09/0018	#B16632107077700242	RIZZO SECURITY BEENFIT SBGTL	0.00	1.89	100.0	0.00	1.89	0.00	1.89
03/09/0018	#B16683104096622808	MILANA, SECURITY BEENFIT SBGTL	0.00	0.96	100.0	0.00	0.96	0.00	0.96
02/20/0201	#VP65344130	FIEST, MICHAEL PACIFIC LIFE TRAILS	0.00	3.00	100.0	0.00	3.00	0.00	3.00
02/01/2018	#124709LK8	VAIANELLA TRANS LIFE FL10MS	0.00	84.50	100.0	0.00	84.50	0.00	84.50
02/04/2018	#106245LK2	VAIANELLA TRANS LIFE FE22MA	0.00	88.98	100.0	0.00	88.98	0.00	88.98
02/07/2018	#127893E2K	VAIANELLA TRANS LIFE FE2DR1	0.00	0.33	100.0	0.00	0.33	0.00	0.33
02/07/2018	#123973LK8	VAIANELLA TRANS LIFE FL10MS	0.00	25.05	100.0	0.00	25.05	0.00	25.05
02/07/2018	#123974LK8	VAIANELLA TRANS LIFE FL10MS	0.00	59.14	100.0	0.00	59.14	0.00	59.14
03/01/2018	#VR02020599	PACIFIC LIFE TRAILS	0.00	4.13	100.0	0.00	4.13	0.00	4.13
03/02/2018	#2486143	WILLIAMS, KATRINA JOHN HANCOCK LIFE-TRAILS	0.00	10.81	100.0	0.00	10.81	0.00	10.81
03/02/2018	#2486144	WILLIAMS, KELLY JOHN HANCOCK LIFE-TRAILS	0.00	5.29	100.0	0.00	5.29	0.00	5.29
03/02/2018	#2557053	VILLALON, MITZI JOHN HANCOCK LIFE-TRAILS	0.00	39.94	100.0	0.00	39.94	0.00	39.94
03/02/2018	#2557056	GREEN, JILL JOHN HANCOCK LIFE-TRAILS	0.00	1.95	100.0	0.00	1.95	0.00	1.95
03/02/2018	#2580265	SHARMA, RAHUL JOHN HANCOCK LIFE-TRAILS	0.00	73.01	100.0	0.00	73.01	0.00	73.01
03/02/2018	#2589636	LINDE, RYAN JOHN HANCOCK LIFE-TRAILS	0.00	10.40	100.0	0.00	10.40	0.00	10.40
03/02/2018	#2790498	YUNGMAHN, WENDELIN JOHN HANCOCK LIFE-TRAILS	0.00	17.98	100.0	0.00	17.98	0.00	17.98
03/02/2018	#95021964	YUNGMAHN, WENDELIN JOHN HANCOCK LIFE-TRAILS	0.00	25.58	100.0	0.00	25.58	0.00	25.58
03/02/2018	#2504052	KOONCE, JANICE JOHN HANCOCK LIFE-TRAILS	0.00	32.16	100.0	0.00	32.16	0.00	32.16
03/02/2018	#2538574	GRALA, MERIA JOHN HANCOCK LIFE-TRAILS	0.00	29.78	100.0	0.00	29.78	0.00	29.78
03/02/2018	#2721796	ASHRAF, IRVING JOHN HANCOCK LIFE-TRAILS	0.00	51.41	100.0	0.00	51.41	0.00	51.41
03/02/2018	#70051358	THOMPSON, GEORGE JOHN HANCOCK LIFE-TRAILS	0.00	68.51	100.0	0.00	68.51	0.00	68.51
03/03/2018	#P55D2533100	STRONG, CHARLEY AMERICAN GEN LIFE	0.00	66.52	100.0	0.00	66.52	0.00	66.52
03/07/2018	#VM15005623	PACIFIC LIFE TRAILS	0.00	19.87	100.0	0.00	19.87	0.00	19.87
03/08/2018	#500002BBB	VISTA, ,OUNTAIN TRANSAMERICA FIN LIFE	0.00	20.35	100.0	0.00	20.35	0.00	20.35
03/08/2018	#500002BKK	HECKMA, DEVON TRANSAMERICA FIN LIFE	0.00	2.06	100.0	0.00	2.06	0.00	2.06

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/08/2018	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	0.00	0.13	100.0	0.00	0.13	0.00	0.13
03/08/2018	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	0.00	7.33	100.0	0.00	7.33	0.00	7.33
03/08/2018	#500002T37 RI, GABRIEL	TRANSAMERICA FIN LIFE	0.00	11.34	100.0	0.00	11.34	0.00	11.34
03/08/2018	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	0.00	43.92	100.0	0.00	43.92	0.00	43.92
03/08/2018	#500002AE0 OILFIELD, TK	TRANSAMERICA FIN LIFE	0.00	0.04	100.0	0.00	0.04	0.00	0.04
03/08/2018	#500002BC0 GONZA, AMPARO	TRANSAMERICA FIN LIFE	0.00	0.07	100.0	0.00	0.07	0.00	0.07
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4,707.39</b>		<b>\$0.00</b>	<b>\$4,707.39</b>	<b>\$0.00</b>	<b>\$4,707.39</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
02/27/2018	# NCIAL SVCS LLC, LIBERTY	WELLS FARGO BANK NATL ASSN CD	99,900.00	0.00	100.0	0.00	0.00	0.00	0.00
02/27/2018	# NCIAL SVCS LLC, LIBERTY	WELLS FARGO BANK NATL ASSN CD	100,000.00	0.00	100.0	0.00	0.00	0.00	0.00
03/23/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	20,000.00	0.00	100.0	0.00	0.00	0.00	0.00
03/23/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	19,400.00	0.00	100.0	0.00	0.00	0.00	0.00
03/23/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	6,825.00	0.00	100.0	0.00	0.00	0.00	0.00
03/23/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA	7,000.00	0.00	100.0	0.00	0.00	0.00	0.00
03/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	24,437.50	0.00	100.0	0.00	0.00	0.00	0.00
03/26/2018	# NCIAL SVCS LLC, LIBERTY	BMO HARRIS BK NATL ASSN	25,000.00	0.00	100.0	0.00	0.00	0.00	0.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>			<b>\$302,562.50</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
03/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT HIGH DIVID EQUITY	75,008.09	0.00	100.0	0.00	0.00	19.95	-19.95
03/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT HIGH DIVID EQUITY	50,008.68	0.00	100.0	0.00	0.00	19.95	-19.95
03/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT HIGH DIVID EQUITY	25,009.27	0.00	100.0	0.00	0.00	19.95	-19.95
03/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT HIGH DIVID EQUITY	147,026.04	0.00	100.0	0.00	0.00	19.95	-19.95
03/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	25,005.16	0.00	100.0	0.00	0.00	19.95	-19.95
03/01/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT S&P TARGET 24 1Q 18	24,692.62	0.00	100.0	0.00	0.00	19.95	-19.95
03/16/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT CAP STRENGTH PORTFOLIO	100,009.69	0.00	100.0	0.00	0.00	19.95	-19.95
03/16/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT CAP STRENGTH PORTFOLIO	100,009.69	0.00	100.0	0.00	0.00	19.95	-19.95
03/16/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT CAP STRENGTH PORTFOLIO	30,005.87	0.00	100.0	0.00	0.00	19.95	-19.95
03/16/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT CAP STRENGTH PORTFOLIO	225,424.10	0.00	100.0	0.00	0.00	19.95	-19.95
03/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT MEGA CAP PORTFOLIO	30,000.53	0.00	100.0	0.00	0.00	19.95	-19.95
03/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT MEGA CAP PORTFOLIO	10,003.53	0.00	100.0	0.00	0.00	19.95	-19.95
03/19/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT MEGA CAP PORTFOLIO	39,203.87	0.00	100.0	0.00	0.00	19.95	-19.95
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$881,407.14</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$259.35</b>	<b>\$-259.35</b>

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/27/2018	#VR01011472	TRUDELL, CAROLYN PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
03/01/2018	#VR02020599	694474883	0.00	4.13	100.0	0.00	4.13	0.00	4.13
03/01/2018	#04000010563	GARRISONJU AM FUNDS	0.00	0.87	100.0	0.00	0.87	0.00	0.87
03/01/2018	#00074892549	THURMAN, JEREMY AM FUNDS 02630R732	0.00	6.77	100.0	0.00	6.77	0.00	6.77
03/01/2018	#00063740422	ORTOLI, COLLEEN AMCAP FUND INC CLASS A	0.00	4.72	100.0	0.00	4.72	0.00	4.72
03/01/2018	#00069124801	LASIERRA DEL AMCAP FUND INC CLASS A	0.00	0.07	100.0	0.00	0.07	0.00	0.07
03/01/2018	#00073644294	WILKINSON, AMCAP FUND INC CLASS A	0.00	8.07	100.0	0.00	8.07	0.00	8.07
03/01/2018	#00073644307	LOGAN, SANDRA AMCAP FUND INC CLASS A	0.00	9.89	100.0	0.00	9.89	0.00	9.89
03/01/2018	#00073985169	STILES, EDWARD AMCAP FUND INC CLASS A	0.00	4.89	100.0	0.00	4.89	0.00	4.89
03/01/2018	#00074105500	BELLO, FERNANDO AMCAP FUND INC CLASS A	0.00	5.88	100.0	0.00	5.88	0.00	5.88
03/01/2018	#00075351853	DUNCANSON, AMCAP FUND INC CLASS A	0.00	64.82	100.0	0.00	64.82	0.00	64.82
03/01/2018	#00081128279	OBLEAS, ALEX AMCAP FUND INC CLASS A	0.00	2.40	100.0	0.00	2.40	0.00	2.40
03/01/2018	#00081177003	DUNCANSON, AMCAP FUND INC CLASS A	0.00	61.62	100.0	0.00	61.62	0.00	61.62
03/01/2018	#00085366483	ELLEGAARD, ERIC AMCAP FUND INC CLASS A	0.00	0.80	100.0	0.00	0.80	0.00	0.80
03/01/2018	#00083665204	SMITH, MARGARET AMCAP FUND INC CLASS A	0.00	5.21	100.0	0.00	5.21	0.00	5.21
03/01/2018	#00068782693	MANGUBAT, AMCAP FUND-529A	0.00	9.61	100.0	0.00	9.61	0.00	9.61
03/01/2018	#00068782695	MANGUBAT, AMCAP FUND-529A	0.00	38.73	100.0	0.00	38.73	0.00	38.73
03/01/2018	#00073849715	CHICK, MADISON AMCAP FUND-529A	0.00	15.16	100.0	0.00	15.16	0.00	15.16
03/01/2018	#00075427348	MONTERO, AMCAP FUND-529A	0.00	19.33	100.0	0.00	19.33	0.00	19.33
03/01/2018	#00082239930	MONTERO, AMCAP FUND-529A	0.00	16.94	100.0	0.00	16.94	0.00	16.94
03/01/2018	#00083636886	KROOP, AIDEN AMCAP FUND-529A	0.00	1.36	100.0	0.00	1.36	0.00	1.36
03/01/2018	#00083636892	KROOP, AIDEN AMCAP FUND-529A	0.00	1.36	100.0	0.00	1.36	0.00	1.36
03/01/2018	#00085191928	MONTERO, AMCAP FUND-529A	0.00	9.30	100.0	0.00	9.30	0.00	9.30
03/01/2018	#00089764435	CHALLASURE AMCAP FUND-529A	0.00	0.83	100.0	0.00	0.83	0.00	0.83
03/01/2018	#00089764458	CHALLASURE AMCAP FUND-529A	0.00	0.83	100.0	0.00	0.83	0.00	0.83
03/01/2018	#00089813030	PUNNAM, AMCAP FUND-529A	0.00	0.74	100.0	0.00	0.74	0.00	0.74
03/01/2018	#00089813049	PUNNAM, AMCAP FUND-529A	0.00	0.74	100.0	0.00	0.74	0.00	0.74
03/01/2018	#04000010563	GARRISONJU AMCAP FUND-529A	0.00	0.90	100.0	0.00	0.90	0.00	0.90
03/01/2018	#00068168935	BHAT, ARJUN AMER FUNDS	0.00	36.70	100.0	0.00	36.70	0.00	36.70
03/01/2018	#00068782693	MANGUBAT, AMER FUNDS	0.00	59.05	100.0	0.00	59.05	0.00	59.05
03/01/2018	#00082585964	REID, MAGGIE AMER FUNDS	0.00	31.09	100.0	0.00	31.09	0.00	31.09
03/01/2018	#00084075231	JOE, DAVID AMER FUNDS	0.00	12.01	100.0	0.00	12.01	0.00	12.01
03/01/2018	#00074892517	THURMAN, JEREMY AMER FUNDS	0.00	5.52	100.0	0.00	5.52	0.00	5.52
03/01/2018	#00067575889	REYES, JUAN AMERICAN BALANCED FUND INC CL C	0.00	39.41	100.0	0.00	39.41	0.00	39.41
03/01/2018	#00075351853	DUNCANSON, AMERICAN BALANCED FUND INC CLASS A	0.00	39.26	100.0	0.00	39.26	0.00	39.26
03/01/2018	#00081177003	DUNCANSON, AMERICAN BALANCED FUND INC CLASS A	0.00	46.39	100.0	0.00	46.39	0.00	46.39

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00085366483	ELLEGAARD, ERIC	AMERICAN BALANCED FUND INC CLASS A	0.00	0.69	100.0	0.00	0.69	0.69
03/01/2018	#00083665204	SMITH, MARGARET	AMERICAN BALANCED FUND INC CLASS A	0.00	9.87	100.0	0.00	9.87	9.87
03/01/2018	#00086048248	GARCIA, JUAN	AMERICAN BALANCED FUND INC CLASS A	0.00	0.53	100.0	0.00	0.53	0.53
03/01/2018	#00087996032	ASHRAF, IRVING	AMERICAN BALANCED FUND INC CLASS A	0.00	4.05	100.0	0.00	4.05	4.05
03/01/2018	#00088004691		AMERICAN BALANCED FUND INC CLASS A	0.00	2.18	100.0	0.00	2.18	2.18
03/01/2018	#00074023647	WENTZ, KELLY	AMERICAN BALANCED FUND INC CLASS A	0.00	3.81	100.0	0.00	3.81	3.81
03/01/2018	#00073458940	DIVVER, ANDREW	AMERICAN BALANCED FUND-529A	0.00	1.40	100.0	0.00	1.40	1.40
03/01/2018	#00073459048	DIVVER, ANDREW	AMERICAN BALANCED FUND-529A	0.00	1.40	100.0	0.00	1.40	1.40
03/01/2018	#00075682479	VARDHAN, VISHNU	AMERICAN BALANCED FUND-529A	0.00	4.50	100.0	0.00	4.50	4.50
03/01/2018	#00083636886	KROOP, AIDEN	AMERICAN BALANCED FUND-529A	0.00	2.11	100.0	0.00	2.11	2.11
03/01/2018	#00083636892	KROOP, AIDEN	AMERICAN BALANCED FUND-529A	0.00	2.11	100.0	0.00	2.11	2.11
03/01/2018	#00084075231	JOE, DAVID	AMERICAN BALANCED FUND-529A	0.00	4.61	100.0	0.00	4.61	4.61
03/01/2018	#00089764435	CHALLASURE	AMERICAN BALANCED FUND-529A	0.00	0.78	100.0	0.00	0.78	0.78
03/01/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	0.00	0.78	100.0	0.00	0.78	0.78
03/01/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND-F1	0.00	9.99	100.0	0.00	9.99	9.99
03/01/2018	#00074884010	THURMAN, JERRY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.25	100.0	0.00	0.25	0.25
03/01/2018	#00081285955	THURMAN, JERRY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.26	100.0	0.00	0.26	0.26
03/01/2018	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	0.00	0.88	100.0	0.00	0.88	0.88
03/01/2018	#00083636886	KROOP, AIDEN	AMERICAN FUNDS 026300509	0.00	0.04	100.0	0.00	0.04	0.04
03/01/2018	#00083636892	KROOP, AIDEN	AMERICAN FUNDS 026300509	0.00	0.04	100.0	0.00	0.04	0.04
03/01/2018	#00087996032	ASHRAF, IRVING	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	3.66	100.0	0.00	3.66	3.66
03/01/2018	#00074884010	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	7.17	100.0	0.00	7.17	7.17
03/01/2018	#00081285134	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	5.24	100.0	0.00	5.24	5.24
03/01/2018	#00081285955	THURMAN, JERRY	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	7.42	100.0	0.00	7.42	7.42
03/01/2018	#00075479261	HAMMANG, THOMAS	AMERICAN FUNDS 643822406	0.00	21.62	100.0	0.00	21.62	21.62
03/01/2018	#00075479261	HAMMANG, THOMAS	AMERICAN FUNDS 831681408	0.00	14.25	100.0	0.00	14.25	14.25
03/01/2018	#00086048248	GARCIA, JUAN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.34	100.0	0.00	0.34	0.34
03/01/2018	#00087996032	ASHRAF, IRVING	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.60	100.0	0.00	0.60	0.60
03/01/2018	#00088004691		AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.70	100.0	0.00	0.70	0.70
03/01/2018	#00067123725	SPECHT,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.27	100.0	0.00	2.27	2.27
03/01/2018	#00069124801	LASIERRA DEL	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.06	100.0	0.00	0.06	0.06
03/01/2018	#00073614025	PLAN, SIMPLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.67	100.0	0.00	0.67	0.67
03/01/2018	#00081177003	DUNCANSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	11.52	100.0	0.00	11.52	11.52
03/01/2018	#00086824800		AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.47	100.0	0.00	5.47	5.47
03/01/2018	#00088004691		AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.21	100.0	0.00	1.21	1.21
03/01/2018	#00074567363	EHRlich,	AMERICAN HIGH-INCOME TRUST-529A	0.00	3.95	100.0	0.00	3.95	3.95

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#00073614025	PLAN, SIMPLE	BOND FUND OF AMERICA INC CL A	0.00	0.36	100.0	0.00	0.36	0.00	0.36
03/01/2018	#00087188339	MCDOWAN, GEL	BOND FUND OF AMERICA INC CL A	0.00	2.34	100.0	0.00	2.34	0.00	2.34
03/01/2018	#00086048248	GARCIA, JUAN	BOND FUND OF AMERICA INC CL A	0.00	0.35	100.0	0.00	0.35	0.00	0.35
03/01/2018	#00086530177		BOND FUND OF AMERICA INC CL A	0.00	1.68	100.0	0.00	1.68	0.00	1.68
03/01/2018	#00086530219		BOND FUND OF AMERICA INC CL A	0.00	1.64	100.0	0.00	1.64	0.00	1.64
03/01/2018	#00086530245		BOND FUND OF AMERICA INC CL A	0.00	1.74	100.0	0.00	1.74	0.00	1.74
03/01/2018	#00086530265		BOND FUND OF AMERICA INC CL A	0.00	1.63	100.0	0.00	1.63	0.00	1.63
03/01/2018	#00087996032	ASHRAF, IRVING	BOND FUND OF AMERICA INC CL A	0.00	0.60	100.0	0.00	0.60	0.00	0.60
03/01/2018	#00088004691		BOND FUND OF AMERICA INC CL A	0.00	0.72	100.0	0.00	0.72	0.00	0.72
03/01/2018	#00068402893	KURZ, KERRY	BOND FUND OF AMERICA INC CL A	0.00	16.88	100.0	0.00	16.88	0.00	16.88
03/01/2018	#00082530869	BUCCINO, MARY	CAPITAL INCOME BUILDER FD CL A	0.00	1.97	100.0	0.00	1.97	0.00	1.97
03/01/2018	#00082811781	NEECE, GINGER	CAPITAL INCOME BUILDER FD CL A	0.00	3.12	100.0	0.00	3.12	0.00	3.12
03/01/2018	#00083614513	SHARIFI-TAKIEH,	CAPITAL INCOME BUILDER FD CL A	0.00	5.48	100.0	0.00	5.48	0.00	5.48
03/01/2018	#00086824800		CAPITAL INCOME BUILDER FD CL A	0.00	6.14	100.0	0.00	6.14	0.00	6.14
03/01/2018	#00087399223		CAPITAL INCOME BUILDER FD CL A	0.00	0.38	100.0	0.00	0.38	0.00	0.38
03/01/2018	#00084301400	SHEPPARD, JIMMY	CAPITAL INCOME BUILDER FD CL A	0.00	24.56	100.0	0.00	24.56	0.00	24.56
03/01/2018	#00085887214	HILLIUS,	CAPITAL INCOME BUILDER FD CL A	0.00	0.81	100.0	0.00	0.81	0.00	0.81
03/01/2018	#00087996032	ASHRAF, IRVING	CAPITAL INCOME BUILDER FD CL A	0.00	1.70	100.0	0.00	1.70	0.00	1.70
03/01/2018	#00068402893	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	0.00	17.51	100.0	0.00	17.51	0.00	17.51
03/01/2018	#00075194331	KURZ, KERRY	CAPITAL INCOME BUILDER FD CL A	0.00	4.03	100.0	0.00	4.03	0.00	4.03
03/01/2018	#00085886363	GARCIA, JUAN	CAPITAL INCOME BUILDER FD CL A	0.00	1.62	100.0	0.00	1.62	0.00	1.62
03/01/2018	#00068168935	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	0.00	13.89	100.0	0.00	13.89	0.00	13.89
03/01/2018	#00068168936	BHAT, ARJUN	CAPITAL INCOME BUILDER-529A	0.00	9.58	100.0	0.00	9.58	0.00	9.58
03/01/2018	#00068782695	MANGUBAT,	CAPITAL INCOME BUILDER-529A	0.00	1.85	100.0	0.00	1.85	0.00	1.85
03/01/2018	#00073458940	DIVVER, ANDREW	CAPITAL INCOME BUILDER-529A	0.00	1.43	100.0	0.00	1.43	0.00	1.43
03/01/2018	#00073459048	DIVVER, ANDREW	CAPITAL INCOME BUILDER-529A	0.00	1.43	100.0	0.00	1.43	0.00	1.43
03/01/2018	#00082585964	REID, MAGGIE	CAPITAL INCOME BUILDER-529A	0.00	6.36	100.0	0.00	6.36	0.00	6.36
03/01/2018	#00083636886	KROOP, AIDEN	CAPITAL INCOME BUILDER-529A	0.00	2.06	100.0	0.00	2.06	0.00	2.06
03/01/2018	#00083636892	KROOP, AIDEN	CAPITAL INCOME BUILDER-529A	0.00	2.06	100.0	0.00	2.06	0.00	2.06
03/01/2018	#00083902726	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	2.41	100.0	0.00	2.41	0.00	2.41
03/01/2018	#00083902768	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	2.41	100.0	0.00	2.41	0.00	2.41
03/01/2018	#00083902778	SINGH, MEHAR	CAPITAL INCOME BUILDER-529A	0.00	3.90	100.0	0.00	3.90	0.00	3.90
03/01/2018	#00084075231	JOE, DAVID	CAPITAL INCOME BUILDER-529A	0.00	4.63	100.0	0.00	4.63	0.00	4.63
03/01/2018	#00082911748	DONNELLY, RONAN	CAPITAL INCOME BUILDER-529A	0.00	0.23	100.0	0.00	0.23	0.00	0.23
03/01/2018	#00074709359	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	6.77	100.0	0.00	6.77	0.00	6.77
03/01/2018	#00074709423	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	8.22	100.0	0.00	8.22	0.00	8.22

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#00074710614	MOORE, JONATHAN	CAPITAL INCOME BUILDER-529A	0.00	8.38	100.0	0.00	8.38	0.00	8.38
03/01/2018	#00073614025	PLAN, SIMPLE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
03/01/2018	#00073851390	DUVAL, LINDA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.72	100.0	0.00	4.72	0.00	4.72
03/01/2018	#00075554876	KOONCE, JANICE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.80	100.0	0.00	4.80	0.00	4.80
03/01/2018	#00086048248	GARCIA, JUAN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.35	100.0	0.00	0.35	0.00	0.35
03/01/2018	#00063740422	ORTOLI, COLLEEN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	11.03	100.0	0.00	11.03	0.00	11.03
03/01/2018	#00073851390	DUVAL, LINDA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.96	100.0	0.00	8.96	0.00	8.96
03/01/2018	#00082530869	BUCCINO, MARY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.07	100.0	0.00	2.07	0.00	2.07
03/01/2018	#00082811781	NEECE, GINGER	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.40	100.0	0.00	0.40	0.00	0.40
03/01/2018	#00087188339	MCDOWAN, GEL	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.01	100.0	0.00	0.01	0.00	0.01
03/01/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	12.88	100.0	0.00	12.88	0.00	12.88
03/01/2018	#00075554876	KOONCE, JANICE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.22	100.0	0.00	7.22	0.00	7.22
03/01/2018	#00083665204	SMITH, MARGARET	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.88	100.0	0.00	1.88	0.00	1.88
03/01/2018	#00087996032	ASHRAF, IRVING	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.70	100.0	0.00	1.70	0.00	1.70
03/01/2018	#00088004691		CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.21	100.0	0.00	1.21	0.00	1.21
03/01/2018	#00074023647	WENTZ, KELLY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	9.26	100.0	0.00	9.26	0.00	9.26
03/01/2018	#00081518553	HUMPHREYS, TORI	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.66	100.0	0.00	8.66	0.00	8.66
03/01/2018	#00083636886	KROOP, AIDEN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.11	100.0	0.00	1.11	0.00	1.11
03/01/2018	#00083636892	KROOP, AIDEN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	1.11	100.0	0.00	1.11	0.00	1.11
03/01/2018	#00083902726	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.58	100.0	0.00	2.58	0.00	2.58
03/01/2018	#00083902768	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.58	100.0	0.00	2.58	0.00	2.58
03/01/2018	#00083902778	SINGH, MEHAR	CAPITAL WORLD GROWTH AND INCOME-529	0.00	4.08	100.0	0.00	4.08	0.00	4.08
03/01/2018	#00082911748	DONNELLY, RONAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.25	100.0	0.00	0.25	0.00	0.25
03/01/2018	#00085008254	NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.87	100.0	0.00	8.87	0.00	8.87
03/01/2018	#00074709359	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	7.87	100.0	0.00	7.87	0.00	7.87
03/01/2018	#00074709423	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.56	100.0	0.00	9.56	0.00	9.56
03/01/2018	#00074710614	MOORE, JONATHAN	CAPITAL WORLD GROWTH AND INCOME-529	0.00	9.81	100.0	0.00	9.81	0.00	9.81
03/01/2018	#00068782695	MANGUBAT,	COLLEGE 2021 FUND-529A	0.00	18.02	100.0	0.00	18.02	0.00	18.02
03/01/2018	#00068168936	BHAT, ARJUN	COLLEGE 2024 FUND 529A	0.00	24.69	100.0	0.00	24.69	0.00	24.69
03/01/2018	#00089813030	PUNNAM,	COLLEGE 2024 FUND 529A	0.00	1.90	100.0	0.00	1.90	0.00	1.90
03/01/2018	#00089813049	PUNNAM,	COLLEGE 2027 FUND-529A	0.00	1.97	100.0	0.00	1.97	0.00	1.97
03/01/2018	#00063740421	ORTOLI, COLLEEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.99	100.0	0.00	6.99	0.00	6.99
03/01/2018	#00067123725	SPECHT,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.39	100.0	0.00	0.39	0.00	0.39
03/01/2018	#00073644310	LOGAN, SANDRA	EURO PACIFIC GROWTH FUND CLASS A	0.00	7.18	100.0	0.00	7.18	0.00	7.18
03/01/2018	#00081128317	OBLEAS, ALEX	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.23	100.0	0.00	2.23	0.00	2.23
03/01/2018	#00082421253	UNTIL AGE 18,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.08	100.0	0.00	1.08	0.00	1.08



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#00082530869	BUCCINO, MARY	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.85	100.0	0.00	1.85	0.00	1.85
03/01/2018	#00083975413	ALTADONNA,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.48	100.0	0.00	0.48	0.00	0.48
03/01/2018	#00084301400	SHEPPARD, JIMMY	EURO PACIFIC GROWTH FUND CLASS A	0.00	27.47	100.0	0.00	27.47	0.00	27.47
03/01/2018	#00087996032	ASHRAF, IRVING	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.67	100.0	0.00	1.67	0.00	1.67
03/01/2018	#00088004691		EURO PACIFIC GROWTH FUND CLASS A	0.00	1.85	100.0	0.00	1.85	0.00	1.85
03/01/2018	#00074884010	THURMAN, JERRY	EURO PACIFIC GROWTH FUND CLASS A	0.00	8.15	100.0	0.00	8.15	0.00	8.15
03/01/2018	#00081285134	THURMAN, JERRY	EURO PACIFIC GROWTH FUND CLASS A	0.00	5.09	100.0	0.00	5.09	0.00	5.09
03/01/2018	#00081285955	THURMAN, JERRY	EURO PACIFIC GROWTH FUND CLASS A	0.00	13.59	100.0	0.00	13.59	0.00	13.59
03/01/2018	#00081518553	HUMPHREYS, TORI	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.28	100.0	0.00	2.28	0.00	2.28
03/01/2018	#00082335059	CADWALLADER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.55	100.0	0.00	0.55	0.00	0.55
03/01/2018	#00082335086	CADWALLADER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.66	100.0	0.00	0.66	0.00	0.66
03/01/2018	#00085886363	GARCIA, JUAN	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.15	100.0	0.00	3.15	0.00	3.15
03/01/2018	#00064344320	SCHORLE,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.52	100.0	0.00	1.52	0.00	1.52
03/01/2018	#00064344321	SCHORLE,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.52	100.0	0.00	1.52	0.00	1.52
03/01/2018	#00058252358	KELLY, RICHARD	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.78	100.0	0.00	3.78	0.00	3.78
03/01/2018	#00083403724	OGLESBY, KAILYN	EUROPACIFIC GROWTH FUND-529A	0.00	0.19	100.0	0.00	0.19	0.00	0.19
03/01/2018	#00074567363	EHRlich,	EUROPACIFIC GROWTH FUND-529A	0.00	4.79	100.0	0.00	4.79	0.00	4.79
03/01/2018	#00074892517	THURMAN, JEREMY	EUROPACIFIC GROWTH FUND-529A	0.00	1.63	100.0	0.00	1.63	0.00	1.63
03/01/2018	#00074892549	THURMAN, JEREMY	EUROPACIFIC GROWTH FUND-529A	0.00	6.52	100.0	0.00	6.52	0.00	6.52
03/01/2018	#00067123725	SPECHT,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.51	100.0	0.00	0.51	0.00	0.51
03/01/2018	#00082811781	NEECE, GINGER	FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.07	100.0	0.00	6.07	0.00	6.07
03/01/2018	#00083614513	SHARIFI-TAKIEH,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	15.73	100.0	0.00	15.73	0.00	15.73
03/01/2018	#00086824800		FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.77	100.0	0.00	4.77	0.00	4.77
03/01/2018	#00087188339	MCDOWAN, GEL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.01	100.0	0.00	0.01	0.00	0.01
03/01/2018	#00083665204	SMITH, MARGARET	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.40	100.0	0.00	5.40	0.00	5.40
03/01/2018	#00087996032	ASHRAF, IRVING	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.72	100.0	0.00	4.72	0.00	4.72
03/01/2018	#00088004691		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.47	100.0	0.00	2.47	0.00	2.47
03/01/2018	#00085886363	GARCIA, JUAN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.86	100.0	0.00	3.86	0.00	3.86
03/01/2018	#00068168935	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	0.00	16.56	100.0	0.00	16.56	0.00	16.56
03/01/2018	#00068168936	BHAT, ARJUN	FUNDAMENTAL INVESTORS-529A	0.00	16.56	100.0	0.00	16.56	0.00	16.56
03/01/2018	#00068440916	MOUKARZEL, ANNA	FUNDAMENTAL INVESTORS-529A	0.00	7.10	100.0	0.00	7.10	0.00	7.10
03/01/2018	#00068440918	MOUKARZEL, ANNA	FUNDAMENTAL INVESTORS-529A	0.00	7.10	100.0	0.00	7.10	0.00	7.10
03/01/2018	#00083636886	KROOP, AIDEN	FUNDAMENTAL INVESTORS-529A	0.00	2.90	100.0	0.00	2.90	0.00	2.90
03/01/2018	#00083636892	KROOP, AIDEN	FUNDAMENTAL INVESTORS-529A	0.00	2.90	100.0	0.00	2.90	0.00	2.90
03/01/2018	#00083902726	SINGH, MEHAR	FUNDAMENTAL INVESTORS-529A	0.00	3.54	100.0	0.00	3.54	0.00	3.54
03/01/2018	#00083902768	SINGH, MEHAR	FUNDAMENTAL INVESTORS-529A	0.00	3.54	100.0	0.00	3.54	0.00	3.54

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00083902778 SINGH, MEHAR	FUNDAMENTAL INVESTORS-529A	0.00	5.77	100.0	0.00	5.77	0.00	5.77
03/01/2018	#00084075231 JOE, DAVID	FUNDAMENTAL INVESTORS-529A	0.00	6.25	100.0	0.00	6.25	0.00	6.25
03/01/2018	#00089764435 CHALLASURE	FUNDAMENTAL INVESTORS-529A	0.00	0.83	100.0	0.00	0.83	0.00	0.83
03/01/2018	#00089764458 CHALLASURE	FUNDAMENTAL INVESTORS-529A	0.00	0.83	100.0	0.00	0.83	0.00	0.83
03/01/2018	#00089813030 PUNNAM,	FUNDAMENTAL INVESTORS-529A	0.00	0.76	100.0	0.00	0.76	0.00	0.76
03/01/2018	#00089813049 PUNNAM,	FUNDAMENTAL INVESTORS-529A	0.00	0.76	100.0	0.00	0.76	0.00	0.76
03/01/2018	#04000010563 GARRISONJU	FUNDAMENTAL INVESTORS-529A	0.00	0.89	100.0	0.00	0.89	0.00	0.89
03/01/2018	#00082011177 UNTIL AGE 21,	GROWTH FUND AMER INC CL C	0.00	1.53	100.0	0.00	1.53	0.00	1.53
03/01/2018	#00086791991 SANTOS, MARILU	GROWTH FUND AMER INC CL C	0.00	6.24	100.0	0.00	6.24	0.00	6.24
03/01/2018	#00063740421 ORTOLI, COLLEEN	GROWTH FUND AMERICA INC CLASS A	0.00	30.15	100.0	0.00	30.15	0.00	30.15
03/01/2018	#00063740422 ORTOLI, COLLEEN	GROWTH FUND AMERICA INC CLASS A	0.00	3.33	100.0	0.00	3.33	0.00	3.33
03/01/2018	#00067123725 SPECHT,	GROWTH FUND AMERICA INC CLASS A	0.00	0.49	100.0	0.00	0.49	0.00	0.49
03/01/2018	#00069124801 LASIERRA DEL	GROWTH FUND AMERICA INC CLASS A	0.00	0.24	100.0	0.00	0.24	0.00	0.24
03/01/2018	#00073117259 BOHN, CATHERINE	GROWTH FUND AMERICA INC CLASS A	0.00	7.11	100.0	0.00	7.11	0.00	7.11
03/01/2018	#00073644310 LOGAN, SANDRA	GROWTH FUND AMERICA INC CLASS A	0.00	9.56	100.0	0.00	9.56	0.00	9.56
03/01/2018	#00075351853 DUNCANSON,	GROWTH FUND AMERICA INC CLASS A	0.00	65.03	100.0	0.00	65.03	0.00	65.03
03/01/2018	#00081128279 OBLEAS, ALEX	GROWTH FUND AMERICA INC CLASS A	0.00	2.33	100.0	0.00	2.33	0.00	2.33
03/01/2018	#00081177003 DUNCANSON,	GROWTH FUND AMERICA INC CLASS A	0.00	56.21	100.0	0.00	56.21	0.00	56.21
03/01/2018	#00082421253 UNTIL AGE 18,	GROWTH FUND AMERICA INC CLASS A	0.00	0.48	100.0	0.00	0.48	0.00	0.48
03/01/2018	#00084997003	GROWTH FUND AMERICA INC CLASS A	0.00	0.80	100.0	0.00	0.80	0.00	0.80
03/01/2018	#00085366483 ELLEGAARD, ERIC	GROWTH FUND AMERICA INC CLASS A	0.00	0.32	100.0	0.00	0.32	0.00	0.32
03/01/2018	#00085729877	GROWTH FUND AMERICA INC CLASS A	0.00	0.69	100.0	0.00	0.69	0.00	0.69
03/01/2018	#00087188339 MCDOWAN, GEL	GROWTH FUND AMERICA INC CLASS A	0.00	0.01	100.0	0.00	0.01	0.00	0.01
03/01/2018	#00087399223	GROWTH FUND AMERICA INC CLASS A	0.00	0.54	100.0	0.00	0.54	0.00	0.54
03/01/2018	#00064989411 CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	0.00	14.68	100.0	0.00	14.68	0.00	14.68
03/01/2018	#00065032342 SHEPPARD/AUTH	GROWTH FUND AMERICA INC CLASS A	0.00	1.14	100.0	0.00	1.14	0.00	1.14
03/01/2018	#00082011177 UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	1.75	100.0	0.00	1.75	0.00	1.75
03/01/2018	#00088004691	GROWTH FUND AMERICA INC CLASS A	0.00	1.13	100.0	0.00	1.13	0.00	1.13
03/01/2018	#00063623058 CHRISP/AUTH	GROWTH FUND AMERICA INC CLASS A	0.00	5.27	100.0	0.00	5.27	0.00	5.27
03/01/2018	#00074023647 WENTZ, KELLY	GROWTH FUND AMERICA INC CLASS A	0.00	8.66	100.0	0.00	8.66	0.00	8.66
03/01/2018	#00089627136	GROWTH FUND AMERICA INC CLASS A	0.00	0.61	100.0	0.00	0.61	0.00	0.61
03/01/2018	#00064344320 SCHORLE,	GROWTH FUND AMERICA INC CLASS A	0.00	3.50	100.0	0.00	3.50	0.00	3.50
03/01/2018	#00064344321 SCHORLE,	GROWTH FUND AMERICA INC CLASS A	0.00	3.50	100.0	0.00	3.50	0.00	3.50
03/01/2018	#00067588886 BROWN, VICKI	INCOME FUND OF AMERICA INC CLASS A	0.00	8.06	100.0	0.00	8.06	0.00	8.06
03/01/2018	#00069124801 LASIERRA DEL	INCOME FUND OF AMERICA INC CLASS A	0.00	0.05	100.0	0.00	0.05	0.00	0.05
03/01/2018	#00073851390 DUVAL, LINDA	INCOME FUND OF AMERICA INC CLASS A	0.00	17.16	100.0	0.00	17.16	0.00	17.16

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00075351853	DUNCANSON, INCOME FUND OF AMERICA INC CLASS A	0.00	23.91	100.0	0.00	23.91	0.00	23.91
03/01/2018	#00081177003	DUNCANSON, INCOME FUND OF AMERICA INC CLASS A	0.00	28.93	100.0	0.00	28.93	0.00	28.93
03/01/2018	#00074615300	SCHARP, JOYCE INCOME FUND OF AMERICA INC CLASS A	0.00	4.74	100.0	0.00	4.74	0.00	4.74
03/01/2018	#00083665204	SMITH, MARGARET INCOME FUND OF AMERICA INC CLASS A	0.00	6.03	100.0	0.00	6.03	0.00	6.03
03/01/2018	#00087996032	ASHRAF, IRVING INCOME FUND OF AMERICA INC CLASS A	0.00	4.51	100.0	0.00	4.51	0.00	4.51
03/01/2018	#00088004691	INCOME FUND OF AMERICA INC CLASS A	0.00	1.15	100.0	0.00	1.15	0.00	1.15
03/01/2018	#00068402893	KURZ, KERRY INCOME FUND OF AMERICA INC CLASS A	0.00	11.66	100.0	0.00	11.66	0.00	11.66
03/01/2018	#00075194307	MC NAAB, SHARON INCOME FUND OF AMERICA INC CLASS A	0.00	15.16	100.0	0.00	15.16	0.00	15.16
03/01/2018	#00087996032	ASHRAF, IRVING INFLATION LINKED BOND FUND-A	0.00	0.50	100.0	0.00	0.50	0.00	0.50
03/01/2018	#00074709359	MOORE, JONATHAN INTERMEDIATE BOND FUND OF AMERICA-5	0.00	4.72	100.0	0.00	4.72	0.00	4.72
03/01/2018	#00074709423	MOORE, JONATHAN INTERMEDIATE BOND FUND OF AMERICA-5	0.00	5.73	100.0	0.00	5.73	0.00	5.73
03/01/2018	#00074710614	MOORE, JONATHAN INTERMEDIATE BOND FUND OF AMERICA-5	0.00	5.75	100.0	0.00	5.75	0.00	5.75
03/01/2018	#00073614025	PLAN, SIMPLE INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.40	100.0	0.00	0.40	0.00	0.40
03/01/2018	#00073851390	DUVAL, LINDA INTERMEDIATE BOND FUND OF AMERICA-A	0.00	3.96	100.0	0.00	3.96	0.00	3.96
03/01/2018	#00075554876	KOONCE, JANICE INTERMEDIATE BOND FUND OF AMERICA-A	0.00	4.52	100.0	0.00	4.52	0.00	4.52
03/01/2018	#00083636886	KROOP, AIDEN INTERNATIONAL GROWTH AND INCOME-529	0.00	0.89	100.0	0.00	0.89	0.00	0.89
03/01/2018	#00083636892	KROOP, AIDEN INTERNATIONAL GROWTH AND INCOME-529	0.00	0.89	100.0	0.00	0.89	0.00	0.89
03/01/2018	#00083665204	SMITH, MARGARET INTERNATIONAL GROWTH AND INCOME-A	0.00	0.86	100.0	0.00	0.86	0.00	0.86
03/01/2018	#00084301400	SHEPPARD, JIMMY INTERNATIONAL GROWTH AND INCOME-A	0.00	24.91	100.0	0.00	24.91	0.00	24.91
03/01/2018	#00084760739	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.07	100.0	0.00	1.07	0.00	1.07
03/01/2018	#00088004691	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.08	100.0	0.00	1.08	0.00	1.08
03/01/2018	#00063740421	ORTOLI, COLLEEN INVESTMENT CO AMER CLASS A	0.00	15.15	100.0	0.00	15.15	0.00	15.15
03/01/2018	#00063740422	ORTOLI, COLLEEN INVESTMENT CO AMER CLASS A	0.00	25.77	100.0	0.00	25.77	0.00	25.77
03/01/2018	#00073503410	STEVENS, EVELYN INVESTMENT CO AMER CLASS A	0.00	20.16	100.0	0.00	20.16	0.00	20.16
03/01/2018	#00073985169	STILES, EDWARD INVESTMENT CO AMER CLASS A	0.00	4.64	100.0	0.00	4.64	0.00	4.64
03/01/2018	#00075351803	DUNCANSON, INVESTMENT CO AMER CLASS A	0.00	10.14	100.0	0.00	10.14	0.00	10.14
03/01/2018	#00081128317	OBLEAS, ALEX INVESTMENT CO AMER CLASS A	0.00	2.75	100.0	0.00	2.75	0.00	2.75
03/01/2018	#00084997003	INVESTMENT CO AMER CLASS A	0.00	0.74	100.0	0.00	0.74	0.00	0.74
03/01/2018	#00085366483	ELLEGAARD, ERIC INVESTMENT CO AMER CLASS A	0.00	0.48	100.0	0.00	0.48	0.00	0.48
03/01/2018	#00085729877	INVESTMENT CO AMER CLASS A	0.00	0.65	100.0	0.00	0.65	0.00	0.65
03/01/2018	#00087938875	INVESTMENT CO AMER CLASS A	0.00	0.88	100.0	0.00	0.88	0.00	0.88
03/01/2018	#00086048248	GARCIA, JUAN INVESTMENT CO AMER CLASS A	0.00	0.62	100.0	0.00	0.62	0.00	0.62
03/01/2018	#00087996032	ASHRAF, IRVING INVESTMENT CO AMER CLASS A	0.00	2.78	100.0	0.00	2.78	0.00	2.78
03/01/2018	#00068402893	KURZ, KERRY INVESTMENT CO AMER CLASS A	0.00	29.23	100.0	0.00	29.23	0.00	29.23
03/01/2018	#00087188339	MCDOWAN, GEL NEW ECONOMY FUND SBI CLASS A	0.00	0.01	100.0	0.00	0.01	0.00	0.01
03/01/2018	#00063740421	ORTOLI, COLLEEN NEW PERSPECTIVE FUND INC CL A	0.00	9.31	100.0	0.00	9.31	0.00	9.31

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00063740422	ORTOLI, COLLEEN	NEW PERSPECTIVE FUND INC CL A	0.00	1.16	100.0	0.00	1.16	1.16
03/01/2018	#00067123725	SPECHT,	NEW PERSPECTIVE FUND INC CL A	0.00	0.48	100.0	0.00	0.48	0.48
03/01/2018	#00085498364	HADDAD, NASSIM	NEW PERSPECTIVE FUND INC CL A	0.00	2.32	100.0	0.00	2.32	2.32
03/01/2018	#00084301400	SHEPPARD, JIMMY	NEW PERSPECTIVE FUND INC CL A	0.00	36.27	100.0	0.00	36.27	36.27
03/01/2018	#00088004691		NEW PERSPECTIVE FUND INC CL A	0.00	1.09	100.0	0.00	1.09	1.09
03/01/2018	#00081518553	HUMPHREYS, TORI	NEW PERSPECTIVE FUND INC CL A	0.00	2.93	100.0	0.00	2.93	2.93
03/01/2018	#00085886363	GARCIA, JUAN	NEW PERSPECTIVE FUND INC CL A	0.00	3.98	100.0	0.00	3.98	3.98
03/01/2018	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	0.00	0.83	100.0	0.00	0.83	0.83
03/01/2018	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	0.00	0.83	100.0	0.00	0.83	0.83
03/01/2018	#00082911748	DONNELLY, RONAN	NEW PERSPECTIVE FUND-529A	0.00	0.28	100.0	0.00	0.28	0.28
03/01/2018	#00073923483	CHRISP, JARED	NEW PERSPECTIVE FUND-529A	0.00	5.70	100.0	0.00	5.70	5.70
03/01/2018	#00073644307	LOGAN, SANDRA	NEW WORLD FUND INC NEW	0.00	8.04	100.0	0.00	8.04	8.04
03/01/2018	#00082530869	BUCCINO, MARY	NEW WORLD FUND INC NEW	0.00	1.16	100.0	0.00	1.16	1.16
03/01/2018	#00084301400	SHEPPARD, JIMMY	NEW WORLD FUND INC NEW	0.00	28.46	100.0	0.00	28.46	28.46
03/01/2018	#00085384932	MARTINO, JOSEPH	NEW WORLD FUND INC NEW	0.00	79.45	100.0	0.00	79.45	79.45
03/01/2018	#00074023647	WENTZ, KELLY	NEW WORLD FUND INC NEW	0.00	6.77	100.0	0.00	6.77	6.77
03/01/2018	#00085886363	GARCIA, JUAN	NEW WORLD FUND INC NEW	0.00	2.41	100.0	0.00	2.41	2.41
03/01/2018	#00068440916	MOUKARZEL, ANNA	NEW WORLD FUND-529A	0.00	5.38	100.0	0.00	5.38	5.38
03/01/2018	#00068440918	MOUKARZEL, ANNA	NEW WORLD FUND-529A	0.00	5.38	100.0	0.00	5.38	5.38
03/01/2018	#00083902726	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	2.13	100.0	0.00	2.13	2.13
03/01/2018	#00083902768	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	2.13	100.0	0.00	2.13	2.13
03/01/2018	#00083902778	SINGH, MEHAR	NEW WORLD FUND-529A	0.00	3.36	100.0	0.00	3.36	3.36
03/01/2018	#00082911748	DONNELLY, RONAN	NEW WORLD FUND-529A	0.00	0.21	100.0	0.00	0.21	0.21
03/01/2018	#00075479261	HAMMANG, THOMAS	SMALLCAP WORLD FUND INC CL C	0.00	16.04	100.0	0.00	16.04	16.04
03/01/2018	#00075351853	DUNCANSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	43.02	100.0	0.00	43.02	43.02
03/01/2018	#00081177003	DUNCANSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	30.08	100.0	0.00	30.08	30.08
03/01/2018	#00082421253	UNTIL AGE 18,	SMALLCAP WORLD FUND INC CLASS A	0.00	0.39	100.0	0.00	0.39	0.39
03/01/2018	#00085366483	ELLEGAARD, ERIC	SMALLCAP WORLD FUND INC CLASS A	0.00	1.67	100.0	0.00	1.67	1.67
03/01/2018	#00085498364	HADDAD, NASSIM	SMALLCAP WORLD FUND INC CLASS A	0.00	2.22	100.0	0.00	2.22	2.22
03/01/2018	#00063755323	EASTON, KENT	SMALLCAP WORLD FUND INC CLASS A	0.00	1.93	100.0	0.00	1.93	1.93
03/01/2018	#00064344320	SCHORLE,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.74	100.0	0.00	1.74	1.74
03/01/2018	#00064344321	SCHORLE,	SMALLCAP WORLD FUND INC CLASS A	0.00	1.74	100.0	0.00	1.74	1.74
03/01/2018	#00085961395	SAENZ, BRANDON	SMALLCAP WORLD FUND-529A	0.00	1.37	100.0	0.00	1.37	1.37
03/01/2018	#00073923483	CHRISP, JARED	SMALLCAP WORLD FUND-529A	0.00	0.48	100.0	0.00	0.48	0.48
03/01/2018	#00085961395	SAENZ, BRANDON	SMALLCAP WORLD FUND-529C	0.00	0.66	100.0	0.00	0.66	0.66
03/01/2018	#00068168935	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	0.00	16.87	100.0	0.00	16.87	16.87

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00068168936	BHAT, ARJUN	THE GROWTH FUND OF AMERICA-529A	0.00	16.84	100.0	0.00	16.84	16.84
03/01/2018	#00068440916	MOUKARZEL, ANNA	THE GROWTH FUND OF AMERICA-529A	0.00	7.54	100.0	0.00	7.54	7.54
03/01/2018	#00068440918	MOUKARZEL, ANNA	THE GROWTH FUND OF AMERICA-529A	0.00	6.29	100.0	0.00	6.29	6.29
03/01/2018	#00083636886	KROOP, AIDEN	THE GROWTH FUND OF AMERICA-529A	0.00	1.47	100.0	0.00	1.47	1.47
03/01/2018	#00083636892	KROOP, AIDEN	THE GROWTH FUND OF AMERICA-529A	0.00	1.47	100.0	0.00	1.47	1.47
03/01/2018	#00084075231	JOE, DAVID	THE GROWTH FUND OF AMERICA-529A	0.00	6.00	100.0	0.00	6.00	6.00
03/01/2018	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	0.00	0.90	100.0	0.00	0.90	0.90
03/01/2018	#00074709359	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	9.86	100.0	0.00	9.86	9.86
03/01/2018	#00074709423	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	12.01	100.0	0.00	12.01	12.01
03/01/2018	#00074710614	MOORE, JONATHAN	THE GROWTH FUND OF AMERICA-529A	0.00	12.40	100.0	0.00	12.40	12.40
03/01/2018	#00085961395	SAENZ, BRANDON	THE GROWTH FUND OF AMERICA-529A	0.00	12.09	100.0	0.00	12.09	12.09
03/01/2018	#00074567363	EHRlich,	THE GROWTH FUND OF AMERICA-529A	0.00	16.98	100.0	0.00	16.98	16.98
03/01/2018	#00087012194	JACKETTA,	THE GROWTH FUND OF AMERICA-529A	0.00	4.61	100.0	0.00	4.61	4.61
03/01/2018	#00085961395	SAENZ, BRANDON	THE GROWTH FUND OF AMERICA-529C	0.00	5.73	100.0	0.00	5.73	5.73
03/01/2018	#00075682479	VARDHAN, VISHNU	THE INCOME FUND OF AMERICA-529A	0.00	2.02	100.0	0.00	2.02	2.02
03/01/2018	#00084075231	JOE, DAVID	THE INCOME FUND OF AMERICA-529A	0.00	4.36	100.0	0.00	4.36	4.36
03/01/2018	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	0.00	0.76	100.0	0.00	0.76	0.76
03/01/2018	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	0.00	0.76	100.0	0.00	0.76	0.76
03/01/2018	#00082911748	DONNELLY, RONAN	THE INCOME FUND OF AMERICA-529A	0.00	0.18	100.0	0.00	0.18	0.18
03/01/2018	#00074709359	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	7.63	100.0	0.00	7.63	7.63
03/01/2018	#00074709423	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	9.26	100.0	0.00	9.26	9.26
03/01/2018	#00074710614	MOORE, JONATHAN	THE INCOME FUND OF AMERICA-529A	0.00	9.49	100.0	0.00	9.49	9.49
03/01/2018	#00068440916	MOUKARZEL, ANNA	THE NEW ECONOMY FUND-529A	0.00	8.17	100.0	0.00	8.17	8.17
03/01/2018	#00068440918	MOUKARZEL, ANNA	THE NEW ECONOMY FUND-529A	0.00	8.17	100.0	0.00	8.17	8.17
03/01/2018	#00068782693	MANGUBAT,	THE NEW ECONOMY FUND-529A	0.00	77.81	100.0	0.00	77.81	77.81
03/01/2018	#00068782695	MANGUBAT,	THE NEW ECONOMY FUND-529A	0.00	39.18	100.0	0.00	39.18	39.18
03/01/2018	#00085961395	SAENZ, BRANDON	THE NEW ECONOMY FUND-529A	0.00	0.06	100.0	0.00	0.06	0.06
03/01/2018	#00085961395	SAENZ, BRANDON	THE NEW ECONOMY FUND-529C	0.00	0.03	100.0	0.00	0.03	0.03
03/01/2018	#00068782693	MANGUBAT,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	68.53	100.0	0.00	68.53	68.53
03/01/2018	#00068782695	MANGUBAT,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	31.73	100.0	0.00	31.73	31.73
03/01/2018	#00081901404	GUTRIDGE, MYKA	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.35	100.0	0.00	2.35	2.35
03/01/2018	#00081904320	GUTRIDGE, MYKA	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	1.50	100.0	0.00	1.50	1.50
03/01/2018	#00085961395	SAENZ, BRANDON	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	10.03	100.0	0.00	10.03	10.03
03/01/2018	#00085961395	SAENZ, BRANDON	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	4.84	100.0	0.00	4.84	4.84
03/01/2018	#00074567363	EHRlich,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	29.43	100.0	0.00	29.43	29.43
03/01/2018	#00067123725	SPECHT,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.42	100.0	0.00	0.42	0.42

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00069124801	LASIERRA DEL WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
03/01/2018	#00074105500	BELLO, FERNANDO WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.22	100.0	0.00	5.22	0.00	5.22
03/01/2018	#00074800445	EYRE, CYNTHIA WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.72	100.0	0.00	4.72	0.00	4.72
03/01/2018	#00075351853	DUNCANSON, WASHINGTON MUTUAL INVS FD CLASS A	0.00	41.31	100.0	0.00	41.31	0.00	41.31
03/01/2018	#00081128279	OBLEAS, ALEX WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.08	100.0	0.00	2.08	0.00	2.08
03/01/2018	#00081177003	DUNCANSON, WASHINGTON MUTUAL INVS FD CLASS A	0.00	20.42	100.0	0.00	20.42	0.00	20.42
03/01/2018	#00082421253	UNTIL AGE 18, WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.85	100.0	0.00	0.85	0.00	0.85
03/01/2018	#00085366483	ELLEGAARD, ERIC WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.28	100.0	0.00	0.28	0.00	0.28
03/01/2018	#00062669982	RADO, JENNIFER WASHINGTON MUTUAL INVS FD CLASS A	0.00	23.77	100.0	0.00	23.77	0.00	23.77
03/01/2018	#00064989411	CHAVEZTAMI WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.95	100.0	0.00	13.95	0.00	13.95
03/01/2018	#00075554876	KOONCE, JANICE WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.52	100.0	0.00	8.52	0.00	8.52
03/01/2018	#00063623058	CHRISP/AUTH WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.17	100.0	0.00	5.17	0.00	5.17
03/01/2018	#00064681035	FORD/AUTH PER, WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.58	100.0	0.00	5.58	0.00	5.58
03/01/2018	#00067480263	FORD/AUTH PER, WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.07	100.0	0.00	4.07	0.00	4.07
03/01/2018	#00074023647	WENTZ, KELLY WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.37	100.0	0.00	0.37	0.00	0.37
03/01/2018	#00056527279	GERDING, MARY WASHINGTON MUTUAL INVS FD CLASS A	0.00	38.39	100.0	0.00	38.39	0.00	38.39
03/06/2018	# VARIES, CUSTOMER	DAIN TRAILER	0.00	0.40	100.0	0.00	0.40	0.00	0.40
03/07/2018	#01468970379	VARIOUS HOUSE FIDELITY ADV HIGH INCOME-CL A	0.00	22.70	100.0	0.00	22.70	0.00	22.70
03/07/2018	#VM15005623	69448B191	0.00	19.87	100.0	0.00	19.87	0.00	19.87
03/07/2018	#00700876071	VARIOUS HOUSE FA 529 COLLEGE PORT-CL D	0.00	1.85	100.0	0.00	1.85	0.00	1.85
03/07/2018	#00511602831	VARIOUS HOUSE FA ADVISOR SHORT TERM BOND FUND	0.00	4.42	100.0	0.00	4.42	0.00	4.42
03/07/2018	#01468970379	VARIOUS HOUSE FA MUNI INCOME A	0.00	23.74	100.0	0.00	23.74	0.00	23.74
03/07/2018	#01468970379	VARIOUS HOUSE FA MUNI INCOME C	0.00	76.94	100.0	0.00	76.94	0.00	76.94
03/07/2018	#01468970379	VARIOUS HOUSE FA REAL ESTATE INCOME FUND	0.00	81.82	100.0	0.00	81.82	0.00	81.82
03/07/2018	#00500331723	VARIOUS HOUSE FA SHORT TERM BOND C	0.00	1.21	100.0	0.00	1.21	0.00	1.21
03/07/2018	#00689683829	VARIOUS HOUSE FIDELITY ADV BALANCED-CL T	0.00	0.44	100.0	0.00	0.44	0.00	0.44
03/07/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.86	100.0	0.00	0.86	0.00	0.86
03/07/2018	#00501330088	VARIOUS HOUSE FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.19	100.0	0.00	0.19	0.00	0.19
03/07/2018	#00063440929	VARIOUS HOUSE FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.76	100.0	0.00	0.76	0.00	0.76
03/07/2018	#00655923407	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.73	100.0	0.00	0.73	0.00	0.73
03/07/2018	#00689433449	ARMAND, JUDITH FIDELITY ADV EQUITY GROWTH-CL T	0.00	11.92	100.0	0.00	11.92	0.00	11.92
03/07/2018	#00689505493	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	17.39	100.0	0.00	17.39	0.00	17.39
03/07/2018	#00689698231	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.44	100.0	0.00	0.44	0.00	0.44
03/07/2018	#00700332646	BAPTISTE, MARIE FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.49	100.0	0.00	0.49	0.00	0.49
03/07/2018	#00700381551	VARIOUS HOUSE FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.26	100.0	0.00	3.26	0.00	3.26
03/07/2018	#00006803894	VARIOUS HOUSE FIDELITY ADV EQUITY INCOME-CL A	0.00	23.86	100.0	0.00	23.86	0.00	23.86

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	0.10	100.0	0.00	0.10	0.10
03/07/2018	#00689697233	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.09	100.0	0.00	1.09	1.09
03/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.11	100.0	0.00	1.11	1.11
03/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL T	0.00	2.19	100.0	0.00	2.19	2.19
03/07/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	0.00	16.09	100.0	0.00	16.09	16.09
03/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.32	100.0	0.00	0.32	0.32
03/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.32	100.0	0.00	0.32	0.32
03/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.06	100.0	0.00	0.06	0.06
03/07/2018	#00689848828		FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.15	100.0	0.00	0.15	0.15
03/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.29	100.0	0.00	0.29	0.29
03/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.14	100.0	0.00	0.14	0.14
03/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV GOLD-CL A	0.00	2.15	100.0	0.00	2.15	2.15
03/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.11
03/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.25	100.0	0.00	0.25	0.25
03/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.23	100.0	0.00	0.23	0.23
03/07/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.25	100.0	0.00	2.25	2.25
03/07/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.12	100.0	0.00	0.12	0.12
03/07/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.31	100.0	0.00	1.31	1.31
03/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.20	100.0	0.00	0.20	0.20
03/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.05	100.0	0.00	0.05	0.05
03/07/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.12	100.0	0.00	0.12	0.12
03/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.62	100.0	0.00	1.62	1.62
03/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.62	100.0	0.00	1.62	1.62
03/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	2.98	100.0	0.00	2.98	2.98
03/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.53	100.0	0.00	0.53	0.53
03/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.52	100.0	0.00	0.52	0.52
03/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.15	100.0	0.00	0.15	0.15
03/07/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.31	100.0	0.00	0.31	0.31
03/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.63	100.0	0.00	0.63	0.63
03/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	76.72	100.0	0.00	76.72	76.72
03/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.17	100.0	0.00	1.17	1.17
03/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.18	100.0	0.00	0.18	0.18
03/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.02	100.0	0.00	1.02	1.02
03/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.44	100.0	0.00	0.44	0.44
03/07/2018	#00062778436	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL A	0.00	3.84	100.0	0.00	3.84	3.84
03/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL T	0.00	0.49	100.0	0.00	0.49	0.49

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.56	100.0	0.00	1.56	0.00	1.56
03/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.39	100.0	0.00	0.39	0.00	0.39
03/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.39	100.0	0.00	0.39	0.00	0.39
03/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.19	100.0	0.00	0.19	0.00	0.19
03/07/2018	#00618264410	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.87	100.0	0.00	1.87	0.00	1.87
03/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV MID CAP-CL A	0.00	8.22	100.0	0.00	8.22	0.00	8.22
03/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.58	100.0	0.00	0.58	0.00	0.58
03/07/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.00	0.03
03/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	17.48	100.0	0.00	17.48	0.00	17.48
03/07/2018	#01487227504	TRUST,	FIDELITY ADV MID CAP-CL T	0.00	2.81	100.0	0.00	2.81	0.00	2.81
03/07/2018	#01487228155	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	0.03	100.0	0.00	0.03	0.00	0.03
03/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV OVERSEAS-CL A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
03/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	80.48	100.0	0.00	80.48	0.00	80.48
03/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	1.30	100.0	0.00	1.30	0.00	1.30
03/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.82	100.0	0.00	0.82	0.00	0.82
03/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.64	100.0	0.00	0.64	0.00	0.64
03/07/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.50	100.0	0.00	0.50	0.00	0.50
03/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.34	100.0	0.00	0.34	0.00	0.34
03/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	32.95	100.0	0.00	32.95	0.00	32.95
03/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV TECHNOLOGY-CL A	0.00	6.62	100.0	0.00	6.62	0.00	6.62
03/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.15	100.0	0.00	0.15	0.00	0.15
03/07/2018	#00655711414	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.02	100.0	0.00	0.02	0.00	0.02
03/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.24	100.0	0.00	0.24	0.00	0.24
03/07/2018	#00689848828		FIDELITY ADV TECHNOLOGY-CL A	0.00	0.18	100.0	0.00	0.18	0.00	0.18
03/07/2018	#00701252348	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.44	100.0	0.00	0.44	0.00	0.44
03/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.39	100.0	0.00	0.39	0.00	0.39
03/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.04	100.0	0.00	1.04	0.00	1.04
03/07/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.68	100.0	0.00	1.68	0.00	1.68
03/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	10.16	100.0	0.00	10.16	0.00	10.16
03/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	4.68	100.0	0.00	4.68	0.00	4.68
03/07/2018	#00689848828		FIDELITY ADV UTILITIES-CL A	0.00	0.20	100.0	0.00	0.20	0.00	0.20
03/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL T	0.00	0.78	100.0	0.00	0.78	0.00	0.78
03/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.35	100.0	0.00	0.35	0.00	0.35
03/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.59	100.0	0.00	1.59	0.00	1.59
03/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.24	100.0	0.00	3.24	0.00	3.24
03/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	7.61	100.0	0.00	7.61	0.00	7.61



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/07/2018	#01475366801	VARIOUS HOUSE FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.40	100.0	0.00	1.40	0.00	1.40
03/07/2018	#00689960367	VARIOUS HOUSE FIDELITY ADVISOR ENERGY CL A	0.00	0.83	100.0	0.00	0.83	0.00	0.83
03/07/2018	#00064946619	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.38	100.0	0.00	0.38	0.00	0.38
03/07/2018	#00065098758	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.33	100.0	0.00	0.33	0.00	0.33
03/07/2018	#00501330088	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.44	100.0	0.00	1.44	0.00	1.44
03/07/2018	#00618721112	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.23	100.0	0.00	0.23	0.00	0.23
03/07/2018	#00618721120	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.26	100.0	0.00	0.26	0.00	0.26
03/07/2018	#00618796379	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.61	100.0	0.00	1.61	0.00	1.61
03/07/2018	#00655495752	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	10.47	100.0	0.00	10.47	0.00	10.47
03/07/2018	#00655609956	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.00	0.25
03/07/2018	#00655610640	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.37	100.0	0.00	0.37	0.00	0.37
03/07/2018	#00689697225	VARIOUS HOUSE FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.90	100.0	0.00	0.90	0.00	0.90
03/07/2018	#00689698223	LORD, SUSAN FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.22	100.0	0.00	0.22	0.00	0.22
03/07/2018	#00700516297	VARIOUS HOUSE FIDELITY ADVISOR SER II MID CAP II	0.00	6.55	100.0	0.00	6.55	0.00	6.55
03/07/2018	#00062990338	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.18	100.0	0.00	0.18	0.00	0.18
03/07/2018	#00064946619	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.10	100.0	0.00	0.10	0.00	0.10
03/07/2018	#00618721120	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.22	100.0	0.00	1.22	0.00	1.22
03/07/2018	#00655071025	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.17	100.0	0.00	5.17	0.00	5.17
03/07/2018	#00655495752	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.86	100.0	0.00	5.86	0.00	5.86
03/07/2018	#00655540011	LAMARRE, MARIE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.69	100.0	0.00	6.69	0.00	6.69
03/07/2018	#00689697225	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.60	100.0	0.00	0.60	0.00	0.60
03/07/2018	#00655923407	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.11	100.0	0.00	2.11	0.00	2.11
03/07/2018	#00689683829	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.52	100.0	0.00	0.52	0.00	0.52
03/07/2018	#00700467251	VARIOUS HOUSE FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	9.36	100.0	0.00	9.36	0.00	9.36
03/07/2018	#01468970379	VARIOUS HOUSE FIDELITY ADVISOR STRATEGIC INCOME F	0.00	24.44	100.0	0.00	24.44	0.00	24.44
03/07/2018	#00063113120	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.11	100.0	0.00	0.11	0.00	0.11
03/07/2018	#00064878069	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.43	100.0	0.00	0.43	0.00	0.43
03/07/2018	#00510641756	VARIOUS HOUSE FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.22	100.0	0.00	3.22	0.00	3.22
03/07/2018	#00689698223	LORD, SUSAN FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.90	100.0	0.00	0.90	0.00	0.90
03/07/2018	#00689848828	FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.23	100.0	0.00	4.23	0.00	4.23
03/07/2018	#00063113120	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.27	100.0	0.00	0.27	0.00	0.27
03/07/2018	#00064946619	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	9.24	100.0	0.00	9.24	0.00	9.24
03/07/2018	#00656147402	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.00	0.01
03/07/2018	#01445570219	VARIOUS HOUSE FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.25	100.0	0.00	0.25	0.00	0.25
03/07/2018	#00510641756	VARIOUS HOUSE FIDELITY INVT TR	0.00	0.97	100.0	0.00	0.97	0.00	0.97
03/07/2018	#01468970379	VARIOUS HOUSE FIDELITY SECS FD REAL ESTATE INCOME	0.00	24.70	100.0	0.00	24.70	0.00	24.70

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/07/2018	#00065427064	VARIOUS HOUSE	TREASURY FUND - DAILY MONEY CLASS	0.00	0.33	100.0	0.00	0.33	0.33
03/09/2018	# MYERS, STANLEY	DAIN TRAILER		0.00	2.44	100.0	0.00	2.44	2.44
03/09/2018	# HERZICK, JERRY	DAIN TRAILER		0.00	3.77	100.0	0.00	3.77	3.77
03/09/2018	# HERZICK, JERRY	DAIN TRAILER		0.00	17.77	100.0	0.00	17.77	17.77
03/09/2018	# MAYER, LEOTA	DAIN TRAILER		0.00	11.34	100.0	0.00	11.34	11.34
03/09/2018	# CASTRO, NANCY	DAIN TRAILER		0.00	6.65	100.0	0.00	6.65	6.65
03/09/2018	# GONZALEZ, GEORGE	DAIN TRAILER		0.00	3.75	100.0	0.00	3.75	3.75
03/09/2018	# GONZALEZ, GEORGE	DAIN TRAILER		0.00	8.59	100.0	0.00	8.59	8.59
03/09/2018	# MCGARRAH, DENNIS	DAIN TRAILER		0.00	1.41	100.0	0.00	1.41	1.41
03/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER		0.00	0.43	100.0	0.00	0.43	0.43
03/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER		0.00	0.99	100.0	0.00	0.99	0.99
03/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER		0.00	0.68	100.0	0.00	0.68	0.68
03/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER		0.00	0.99	100.0	0.00	0.99	0.99
03/09/2018	# HORTON, KATHY	DAIN TRAILER		0.00	22.07	100.0	0.00	22.07	22.07
03/09/2018	# ALVES, ELMIRA	DAIN TRAILER		0.00	7.04	100.0	0.00	7.04	7.04
03/09/2018	# BOWLEY, KAREN	DAIN TRAILER		0.00	0.33	100.0	0.00	0.33	0.33
03/09/2018	# BOWLEY, KAREN	DAIN TRAILER		0.00	0.85	100.0	0.00	0.85	0.85
03/09/2018	# BOWLEY, KAREN	DAIN TRAILER		0.00	2.27	100.0	0.00	2.27	2.27
03/09/2018	# KELLY, STEVEN	DAIN TRAILER		0.00	1.55	100.0	0.00	1.55	1.55
03/09/2018	# KELLY, STEVEN	DAIN TRAILER		0.00	0.48	100.0	0.00	0.48	0.48
03/09/2018	# KELLY, STEVEN	DAIN TRAILER		0.00	0.70	100.0	0.00	0.70	0.70
03/09/2018	# SMITH, MARGARET	DAIN TRAILER		0.00	3.40	100.0	0.00	3.40	3.40
03/09/2018	# ARMSTRONG, MERILEE	DAIN TRAILER		0.00	0.02	100.0	0.00	0.02	0.02
03/09/2018	# BOCK, MARION	DAIN TRAILER		0.00	1.05	100.0	0.00	1.05	1.05
03/09/2018	# JOHNSON, DANIEL	DAIN TRAILER		0.00	3.36	100.0	0.00	3.36	3.36
03/09/2018	# JOHNSON, DANIEL	DAIN TRAILER		0.00	3.47	100.0	0.00	3.47	3.47
03/09/2018	# HAVENER, RANDAL	DAIN TRAILER		0.00	4.81	100.0	0.00	4.81	4.81
03/09/2018	# MYERS, STANLEY	DAIN TRAILER		0.00	12.96	100.0	0.00	12.96	12.96
03/09/2018	# MYERS, STANLEY	DAIN TRAILER		0.00	36.61	100.0	0.00	36.61	36.61
03/09/2018	# MEDALEN, TERRY	DAIN TRAILER		0.00	2.40	100.0	0.00	2.40	2.40
03/09/2018	# MARTINO, JOSEPH	DAIN TRAILER		0.00	2.29	100.0	0.00	2.29	2.29
03/09/2018	# MARTINO, JOSEPH	DAIN TRAILER		0.00	24.36	100.0	0.00	24.36	24.36
03/09/2018	# MARTINO, JOSEPH	DAIN TRAILER		0.00	71.63	100.0	0.00	71.63	71.63
03/09/2018	# MARTINO, JOSEPH	DAIN TRAILER		0.00	50.02	100.0	0.00	50.02	50.02
03/09/2018	# BECKLES, LIONEL	DAIN TRAILER		0.00	0.47	100.0	0.00	0.47	0.47
03/09/2018	# BECKLES, LIONEL	DAIN TRAILER		0.00	2.42	100.0	0.00	2.42	2.42

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# BECKLES, LIONEL	DAIN TRAILER	0.00	0.24	100.0	0.00	0.24	0.00	0.24
03/09/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	4.64	100.0	0.00	4.64	0.00	4.64
03/09/2018	# THORPE, JANE	DAIN TRAILER	0.00	1.36	100.0	0.00	1.36	0.00	1.36
03/09/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	4.53	100.0	0.00	4.53	0.00	4.53
03/09/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.34	100.0	0.00	0.34	0.00	0.34
03/09/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	10.69	100.0	0.00	10.69	0.00	10.69
03/09/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	3.00	100.0	0.00	3.00	0.00	3.00
03/09/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.45	100.0	0.00	0.45	0.00	0.45
03/09/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	1.47	100.0	0.00	1.47	0.00	1.47
03/09/2018	# KEAU, ALEXIS	DAIN TRAILER	0.00	2.35	100.0	0.00	2.35	0.00	2.35
03/09/2018	# BRYANT, MORGAN	DAIN TRAILER	0.00	4.57	100.0	0.00	4.57	0.00	4.57
03/09/2018	# FRENCH, KENNER	DAIN TRAILER	0.00	0.26	100.0	0.00	0.26	0.00	0.26
03/09/2018	# GALLAGHER, JAMES	DAIN TRAILER	0.00	4.86	100.0	0.00	4.86	0.00	4.86
03/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	4.08	100.0	0.00	4.08	0.00	4.08
03/09/2018	# MORRIS, JOHN	DAIN TRAILER	0.00	1.09	100.0	0.00	1.09	0.00	1.09
03/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.01	100.0	0.00	1.01	0.00	1.01
03/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.00	100.0	0.00	2.00	0.00	2.00
03/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	24.65	100.0	0.00	24.65	0.00	24.65
03/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.26	100.0	0.00	1.26	0.00	1.26
03/09/2018	# ARASE, ANNABEL	DAIN TRAILER	0.00	0.75	100.0	0.00	0.75	0.00	0.75
03/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.27	100.0	0.00	1.27	0.00	1.27
03/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.20	100.0	0.00	1.20	0.00	1.20
03/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.59	100.0	0.00	1.59	0.00	1.59
03/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.81	100.0	0.00	1.81	0.00	1.81
03/09/2018	# MORRILL, DONALD	DAIN TRAILER	0.00	1.67	100.0	0.00	1.67	0.00	1.67
03/09/2018	# WROTSLAVSKY, MAGDA	DAIN TRAILER	0.00	0.88	100.0	0.00	0.88	0.00	0.88
03/09/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	6.42	100.0	0.00	6.42	0.00	6.42
03/09/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.72	100.0	0.00	0.72	0.00	0.72
03/09/2018	# VANDEVANter, SARAH	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
03/09/2018	# SARGENT, HARRY	DAIN TRAILER	0.00	3.83	100.0	0.00	3.83	0.00	3.83
03/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	4.84	100.0	0.00	4.84	0.00	4.84
03/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	6.10	100.0	0.00	6.10	0.00	6.10
03/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	4.19	100.0	0.00	4.19	0.00	4.19
03/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	0.33	100.0	0.00	0.33	0.00	0.33
03/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	1.40	100.0	0.00	1.40	0.00	1.40
03/09/2018	# SARGENT, DEBRA	DAIN TRAILER	0.00	1.03	100.0	0.00	1.03	0.00	1.03

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	4.98	100.0	0.00	4.98	0.00	4.98
03/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	2.81	100.0	0.00	2.81	0.00	2.81
03/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.37	100.0	0.00	0.37	0.00	0.37
03/09/2018	# AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.33	100.0	0.00	2.33	0.00	2.33
03/09/2018	# RUTTER, DAVID	DAIN TRAILER	0.00	0.92	100.0	0.00	0.92	0.00	0.92
03/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.63	100.0	0.00	3.63	0.00	3.63
03/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	0.59	100.0	0.00	0.59	0.00	0.59
03/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.08	100.0	0.00	3.08	0.00	3.08
03/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	1.65	100.0	0.00	1.65	0.00	1.65
03/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	18.31	100.0	0.00	18.31	0.00	18.31
03/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	2.86	100.0	0.00	2.86	0.00	2.86
03/09/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	3.63	100.0	0.00	3.63	0.00	3.63
03/09/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	0.59	100.0	0.00	0.59	0.00	0.59
03/09/2018	# SCOTT, JIM	DAIN TRAILER	0.00	11.56	100.0	0.00	11.56	0.00	11.56
03/09/2018	# GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.08	100.0	0.00	2.08	0.00	2.08
03/09/2018	# BOHANAN, LINDA	DAIN TRAILER	0.00	3.98	100.0	0.00	3.98	0.00	3.98
03/09/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	6.33	100.0	0.00	6.33	0.00	6.33
03/09/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	1.78	100.0	0.00	1.78	0.00	1.78
03/09/2018	# STOUT, RHONDA	DAIN TRAILER	0.00	6.80	100.0	0.00	6.80	0.00	6.80
03/09/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.37	100.0	0.00	3.37	0.00	3.37
03/09/2018	# JARVIS, RACHEL	DAIN TRAILER	0.00	3.47	100.0	0.00	3.47	0.00	3.47
03/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.62	100.0	0.00	2.62	0.00	2.62
03/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.06	100.0	0.00	2.06	0.00	2.06
03/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	2.23	100.0	0.00	2.23	0.00	2.23
03/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER	0.00	1.68	100.0	0.00	1.68	0.00	1.68
03/09/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.59	100.0	0.00	1.59	0.00	1.59
03/09/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER	0.00	1.57	100.0	0.00	1.57	0.00	1.57
03/09/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
03/09/2018	# CRAWFORD, JOSHUA	DAIN TRAILER	0.00	1.04	100.0	0.00	1.04	0.00	1.04
03/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	1.26	100.0	0.00	1.26	0.00	1.26
03/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.31	100.0	0.00	0.31	0.00	0.31
03/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.67	100.0	0.00	0.67	0.00	0.67
03/09/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	0.81	100.0	0.00	0.81	0.00	0.81
03/09/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.09	100.0	0.00	0.09	0.00	0.09
03/09/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.11	100.0	0.00	0.11	0.00	0.11
03/09/2018	# MARCINOWSKI, JOHN	DAIN TRAILER	0.00	4.95	100.0	0.00	4.95	0.00	4.95

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/13/2018	#VR01011472	TRUDELL, CAROLYN PL.1	0.00	22.00	100.0	0.00	22.00	0.00	22.00
03/14/2018	#	WILLIS, BARBARA DAIN TRAILER	5,000.00	0.00	100.0	0.00	0.00	0.00	0.00
03/14/2018	#00002132507	VARIOUS BARRONE JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	5.70	100.0	0.00	5.70	0.00	5.70
03/14/2018	#00026023772	VARIOUS HOUSE US GLOBAL LEADERS GROWTH A	0.00	3.39	100.0	0.00	3.39	0.00	3.39
03/15/2018	#	BOWLEY, KAREN DAIN TRAILER	0.00	0.55	100.0	0.00	0.55	0.00	0.55
03/20/2018	#	JENSEN, MARGHERITA DAIN TRAILER	0.00	3.63	100.0	0.00	3.63	0.00	3.63
03/20/2018	#	WILLARD SYLVAN GROVE DAIN TRAILER	0.00	21.51	100.0	0.00	21.51	0.00	21.51
03/21/2018	#VM15008207	69448B191	0.00	95.60	100.0	0.00	95.60	0.00	95.60
03/27/2018	#	SHARMA, MADHU DAIN TRAILER	0.00	1.22	100.0	0.00	1.22	0.00	1.22
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$5,000.00</b>	<b>\$4,154.63</b>		<b>\$0.00</b>	<b>\$4,154.63</b>	<b>\$0.00</b>	<b>\$4,154.63</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,611,262.35</b>	<b>\$9,688.21</b>		<b>\$0.00</b>	<b>\$9,688.21</b>	<b>\$259.35</b>	<b>\$9,428.86</b>

**OVERRIDE TRANSACTIONS**

AITKEN, TODD - TERMINATED	10.19	10.19
GLEDHILL, MIKE - TERMINATED	59.35	59.35
HEADRICK, JEFF - TERMINATED	269.68	269.68
MILLER, DWIGHT - TERMINATED	17.56	17.56
SANCHEZ, ISAAC - TERMINATED	14.68	14.68
SUTTON, VIC - TERMINATED	18.67	18.67
TRESSLER, ANDREW - TERMINATED	10.26	10.26
BERENZ, BRIAN - TERMINATED	5.22	5.22
MCTIER, MAHARI - TERMINATED	6.35	6.35
KOESTER, CHASE - TERMINATED	90.52	90.52
STROUD, JOSHUA - TERMINATED	1.00	1.00
GERSON - HOUSE, GLENN	1.27	1.27
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$504.75</b>	<b>\$504.75</b>

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS									
04/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
04/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
04/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
04/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
04/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):							-25.00
		MANUAL PROCESS FEE							

\*\*\* ADJUSTMENTS TOTAL \*\*\*

\$-66.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,428.86
Split Transactions	\$0.00
Override Transactions	\$504.75
Adjustments	\$-66.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount

\$9,867.61