

All Companies
STATEMENT
 April 20, 2018

RON RENSBERGER
 707 E 41st Street
 Suite 226
 Sioux Falls, SD 57105

BROKER #: 076
 BRANCH:

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/26/2018	#00085194319 GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	0.00	2.02
03/01/2018	#00084595526 LETELLIER,	COLLEGE 2024 FUND 529A	100.00	3.50	95.0	0.00	3.33	0.00	3.33
03/01/2018	#00036307156 METZJOAN	INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00035543848 SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.00	0.48
03/01/2018	#00036218685 METZKELL	INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00032423005 SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00036143265 HOGUETRAC TL	IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	0.00	11.40
03/01/2018	#00035631725 WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/01/2018	#00035746714 STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/01/2018	#00032418293 SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
03/01/2018	#00036143265 HOGUETRAC TL	IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	0.00	4.75
03/01/2018	#00032374436 RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/01/2018	#00032418293 SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00032423005 SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
03/01/2018	#00036143265 HOGUETRAC TL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	0.00	16.15
03/01/2018	#00036187511 BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	0.00	2.14
03/01/2018	#00032374436 RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/01/2018	#00032375891 SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
03/01/2018	#00032384266 SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
03/01/2018	#00035631725 WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/01/2018	#00032423005 SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/01/2018	#00036143265 HOGUETRAC TL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
03/01/2018	#00036143265 HOGUETRAC TL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	0.00	2.85
03/02/2018	#00035485711 WANG, DAVID	INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	0.00	4.56
03/02/2018	#00036027743 TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
03/05/2018	#00086459796 GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	3.75	95.0	0.00	3.56	0.00	3.56

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/05/2018	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.25	95.0	0.00	2.14	2.14
03/05/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	3.75	95.0	0.00	3.56	3.56
03/05/2018	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.88	95.0	0.00	1.79	1.79
03/05/2018	#00035579226	BAKER, JANIE	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.48
03/05/2018	#00036140009	BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.95
03/05/2018	#00035579219	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48
03/05/2018	#00035998467	GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	1.14
03/05/2018	#00036208319	TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
03/05/2018	#00036208332	TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
03/05/2018	#00036098588	LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	2.38
03/05/2018	#00032398033	ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
03/05/2018	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
03/05/2018	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
03/05/2018	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
03/05/2018	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
03/05/2018	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
03/05/2018	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
03/05/2018	#00032398033	ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
03/05/2018	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
03/05/2018	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
03/05/2018	#00035998467	GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
03/05/2018	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	185.97	5.21	95.0	0.00	4.95	4.95
03/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	7.13
03/05/2018	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.67
03/05/2018	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.25
03/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
03/05/2018	#00036208332	TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
03/05/2018	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
03/05/2018	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
03/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
03/05/2018	#00036208332	TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
03/05/2018	#00035998467	GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
03/05/2018	#00032387551	ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
03/05/2018	#00035578513	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	185.97	5.21	95.0	0.00	4.95	4.95
03/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89
03/05/2018	#00036208332	TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	2.89

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/05/2018	#00032398033 ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/05/2018	#00036098588 LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/05/2018	#00036264275 WIPFCAROK	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
03/05/2018	#00032387551 ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	0.70	95.0	0.00	0.67	0.00	0.67
03/05/2018	#00036208319 TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	0.00	2.89
03/05/2018	#00036208332 TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	0.00	2.89
03/05/2018	#00032398033 ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/05/2018	#00032398031 KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.00	0.75
03/05/2018	#00032398032 KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.00	0.32
03/05/2018	#00032398032 KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.00	0.86
03/05/2018	#00035578513 CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	185.98	5.21	95.0	0.00	4.95	0.00	4.95
03/05/2018	#00036208319 TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
03/05/2018	#00036208332 TAYLOCALI	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
03/05/2018	#00032398031 KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
03/05/2018	#00032398032 KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.00	0.25
03/05/2018	#00086459796 GORHAM,	NEW WORLD FUND INC NEW	100.00	3.75	95.0	0.00	3.56	0.00	3.56
03/09/2018	#00035492585 EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
03/09/2018	#00035492585 EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
03/09/2018	#00035492585 EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.00	0.95
03/12/2018	#00035378852 VARIOUS	IVY CORE EQUITY FUND CLASS A	25.00	1.00	95.0	0.00	0.95	0.00	0.95
03/12/2018	#00032421732 BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	200.00	9.00	95.0	0.00	8.55	0.00	8.55
03/12/2018	#00035378852 VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/15/2018	#00036325146 TELLI, TERRL	IVY BALANCED A	1,000.00	20.00	95.0	0.00	19.00	0.00	19.00
03/15/2018	#00036325146 TELLI, TERRL	IVY ENERGY A	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
03/15/2018	#00036325146 TELLI, TERRL	IVY EUROPEAN OPPORTUNITIES A	1,000.00	20.00	95.0	0.00	19.00	0.00	19.00
03/15/2018	#00036325146 TELLI, TERRL	IVY FD BD FD CL A	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
03/15/2018	#00036150657 JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
03/15/2018	#00032411317 ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/15/2018	#00035502928 ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	75.00	3.75	95.0	0.00	3.56	0.00	3.56
03/15/2018	#00036077173 BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/15/2018	#00036150657 JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	79.00	3.56	95.0	0.00	3.38	0.00	3.38
03/15/2018	#00036325146 TELLI, TERRL	IVY FDS INC HIGH INCOME FD CL A	2,000.00	40.00	95.0	0.00	38.00	0.00	38.00
03/15/2018	#00036325146 TELLI, TERRL	IVY FDS INC LARGE CAP GROWTH FD CL	3,000.00	60.00	95.0	0.00	57.00	0.00	57.00
03/15/2018	#00032408864 DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
03/15/2018	#00036150657 JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
03/15/2018	#00035864350 HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38

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<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	#00036149962	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
03/15/2018	#00036276384	HOITEN, LAURA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
03/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
03/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	4.75
03/15/2018	#00036326947	EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
03/15/2018	#00036325146	TELLI, TERRL	IVY FDS INC SCIENCE & TECH FD CL A	2,950.31	59.01	95.0	0.00	56.06	56.06
03/15/2018	#00036325146	TELLI, TERRL	IVY GLOBAL NATURAL RESOURCES FUND-C	2,000.00	40.00	95.0	0.00	38.00	38.00
03/15/2018	#00036325146	TELLI, TERRL	IVY INTERNATIONAL CORE EQUITY A	1,000.00	20.00	95.0	0.00	19.00	19.00
03/15/2018	#00036150657	JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	100.00	4.50	95.0	0.00	4.28	4.28
03/15/2018	#00035864350	HALL, JASON	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
03/15/2018	#00036149954	SPENNIDA, L	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
03/15/2018	#00036325146	TELLI, TERRL	IVY REAL ESTATE SECURITIES A	3,000.00	60.00	95.0	0.00	57.00	57.00
03/15/2018	#00036150657	JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	79.00	3.56	95.0	0.00	3.38	3.38
03/19/2018	#00036077197	LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	2.66
03/19/2018	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
03/19/2018	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	2.66
03/19/2018	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	150.00	3.00	95.0	0.00	2.85	2.85
03/20/2018	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
03/20/2018	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85
03/20/2018	#00032396121	COOPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00036343490	O'BRIEN,	IVY ENERGY A	200.00	10.00	95.0	0.00	9.50	9.50
03/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
03/20/2018	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
03/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
03/20/2018	#00035436381	GLOVER, KEITH	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
03/20/2018	#00036343490	O'BRIEN,	IVY FDS INC HIGH INCOME FD CL A	200.00	10.00	95.0	0.00	9.50	9.50
03/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	5.32
03/20/2018	#00036343490	O'BRIEN,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	10.00	95.0	0.00	9.50	9.50
03/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	5.32
03/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
03/20/2018	#00035436381	GLOVER, KEITH	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	2.00

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/20/2018	#00032410232	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	0.00	1.19
03/20/2018	#00035633441	KOCOUREK, IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
03/20/2018	#00036343490	O'BRIEN, IVY FDS INC SCIENCE & TECH FD CL A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
03/20/2018	#00032396121	COOPER TRACY, IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
03/20/2018	#00035202865	GLOVER, JESSICA IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	0.00	4.20
03/20/2018	#00035436381	GLOVER, KEITH IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	0.00	2.45
03/20/2018	#00032396121	COOPER TRACY, IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	0.00	2.66
03/20/2018	#00036130945	CHRIS, JANE IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	0.00	2.13
03/21/2018	#00032408419	DEMPSEY, STEVEN IVY CORE EQUITY FUND CLASS A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
03/21/2018	#00032408419	DEMPSEY, STEVEN IVY CUNDILL GLOBAL VALUE A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
03/21/2018	#00032408419	DEMPSEY, STEVEN IVY FDS INC HIGH INCOME FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
03/21/2018	#00032408419	DEMPSEY, STEVEN IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
03/23/2018	#00035492585	EMERSON, SHAWN IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
03/23/2018	#00035492585	EMERSON, SHAWN IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.00	0.95
03/23/2018	#00035492585	EMERSON, SHAWN IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.00	0.95
03/26/2018	#00085194319	GREGORY, NANCY GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	0.00	2.02
03/26/2018	#00036253281	REEKERS, DONNA IVY FDS INC ASSET STRATEGY FD CL A	92.32	4.62	95.0	0.00	4.39	0.00	4.39
03/26/2018	#00036253281	REEKERS, DONNA IVY FDS INC ASSET STRATEGY FD CL A	92.32	4.62	95.0	0.00	4.39	0.00	4.39
03/26/2018	#00035578623	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
03/26/2018	#00035578629	CHRISTOPHER, IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
03/26/2018	#00032397882	REEKERS, DONNA IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
03/26/2018	#00036193565	EVANS, LARRL IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
03/26/2018	#00036247691	OKUWEZAKA IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	0.00	2.85
03/26/2018	#00036247691	OKUWEZAKA IVY FDS INC SCIENCE & TECH FD CL A	59.79	2.99	95.0	0.00	2.84	0.00	2.84
03/27/2018	#00036264275	WIPFCAROK IVY FD BD FD CL A	400.00	20.00	95.0	0.00	19.00	0.00	19.00
03/27/2018	#00036264275	WIPFCAROK IVY FDS INC LARGE CAP GROWTH FD CL	400.00	20.00	95.0	0.00	19.00	0.00	19.00
03/27/2018	#00035649857	VARIOUS IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	0.00	1.90
03/27/2018	#00036192778	VARIOUS IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	0.00	3.80
03/27/2018	#00036264275	WIPFCAROK IVY FDS INC SCIENCE & TECH FD CL A	400.00	20.00	95.0	0.00	19.00	0.00	19.00
03/27/2018	#00036264275	WIPFCAROK IVY INTERNATIONAL CORE EQUITY A	400.00	20.00	95.0	0.00	19.00	0.00	19.00
03/29/2018	#00035572064	KEMP, DANIEL IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	0.00	4.75
03/29/2018	#00035572064	KEMP, DANIEL IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
03/29/2018	#00035572064	KEMP, DANIEL IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	0.00	3.80
03/29/2018	#00035572064	KEMP, DANIEL IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90
03/29/2018	#00035572064	KEMP, DANIEL IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	0.00	4.75
03/29/2018	#00035572064	KEMP, DANIEL IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	0.00	1.90

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* MUTUAL FUNDS SUBTOTAL *			\$36,616.66	\$933.13		\$0.00	\$886.71	\$0.00	\$886.71
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00067202325	WANG, YIPING	AMCAP FUND INC CLASS A	0.00	2.09	95.0	0.00	1.99	1.99
03/01/2018	#00073340855	CHRISTOPHER,	AMCAP FUND INC CLASS A	0.00	15.39	95.0	0.00	14.62	14.62
03/01/2018	#00074864213	SEVERY, KEVIN	AMCAP FUND INC CLASS A	0.00	2.31	95.0	0.00	2.19	2.19
03/01/2018	#00083133272	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	6.18	95.0	0.00	5.87	5.87
03/01/2018	#00083134866	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	21.04	95.0	0.00	19.99	19.99
03/01/2018	#00083333075	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	23.84	95.0	0.00	22.65	22.65
03/01/2018	#00083762655	JOHNSON,	AMCAP FUND INC CLASS A	0.00	61.55	95.0	0.00	58.47	58.47
03/01/2018	#00084712825	JOHNSON,	AMCAP FUND INC CLASS A	0.00	4.46	95.0	0.00	4.24	4.24
03/01/2018	#00084595485	MEYERS, SETH	AMER FUNDS	0.00	9.96	95.0	0.00	9.46	9.46
03/01/2018	#00085184968	ERICKSON-CAREY,	AMERICAN BALANCED FUND INC CL C	0.00	18.90	95.0	0.00	17.96	17.96
03/01/2018	#00058662285	LODES, LORAE	AMERICAN BALANCED FUND INC CLASS A	0.00	9.80	95.0	0.00	9.31	9.31
03/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN BALANCED FUND INC CLASS A	0.00	3.53	95.0	0.00	3.35	3.35
03/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN BALANCED FUND INC CLASS A	0.00	3.53	95.0	0.00	3.35	3.35
03/01/2018	#00083133272	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	2.49	95.0	0.00	2.37	2.37
03/01/2018	#00083134866	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	54.95	95.0	0.00	52.20	52.20
03/01/2018	#00083333075	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	0.46	95.0	0.00	0.44	0.44
03/01/2018	#00083762655	JOHNSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	20.76	95.0	0.00	19.72	19.72
03/01/2018	#00084712825	JOHNSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	3.60	95.0	0.00	3.42	3.42
03/01/2018	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	0.00	1.02	95.0	0.00	0.97	0.97
03/01/2018	#00086699399	LODES, LORAE	AMERICAN BALANCED FUND INC CLASS A	0.00	0.96	95.0	0.00	0.91	0.91
03/01/2018	#00084595435	LETELLIER,	AMERICAN FUNDS 02630Y745	0.00	7.74	95.0	0.00	7.35	7.35
03/01/2018	#00089424182	ELLIS, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	42.38	95.0	0.00	40.26	40.26
03/01/2018	#00089428643	ELLIS, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	18.15	95.0	0.00	17.24	17.24
03/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN FUNDS 458809407	0.00	0.27	95.0	0.00	0.26	0.26
03/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN FUNDS 458809407	0.00	0.27	95.0	0.00	0.26	0.26
03/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN FUNDS 831681408	0.00	0.67	95.0	0.00	0.64	0.64
03/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN FUNDS 831681408	0.00	0.66	95.0	0.00	0.63	0.63
03/01/2018	#00067911673	TOP, KRISTEN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	4.80	95.0	0.00	4.56	4.56
03/01/2018	#00073340855	CHRISTOPHER,	AMERICAN FUNDS INCOME SERIES U S GO	0.00	3.54	95.0	0.00	3.36	3.36
03/01/2018	#00085184968	ERICKSON-CAREY,	AMERICAN HIGH INCOME TR CL C	0.00	13.34	95.0	0.00	12.67	12.67
03/01/2018	#00058662285	LODES, LORAE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	7.26	95.0	0.00	6.90	6.90
03/01/2018	#00073340855	CHRISTOPHER,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.30	95.0	0.00	5.04	5.04
03/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.88	95.0	0.00	2.74	2.74
03/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.88	95.0	0.00	2.74	2.74
03/01/2018	#00083133272	NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.55	95.0	0.00	4.32	4.32
03/01/2018	#00083134866	NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	49.60	95.0	0.00	47.12	47.12
03/01/2018	#00083333075	NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	13.89	95.0	0.00	13.20	13.20

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00083762655	JOHNSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	14.73	95.0	0.00	13.99	13.99
03/01/2018	#00084712825	JOHNSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.68	95.0	0.00	2.55	2.55
03/01/2018	#00085194319	GREGORY, NANCY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.84	95.0	0.00	2.70	2.70
03/01/2018	#00086699399	LODES, LORAE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.71	95.0	0.00	0.67	0.67
03/01/2018	#00083134866	NELSON, GERALD	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	5.90	95.0	0.00	5.61	5.61
03/01/2018	#00083133272	NELSON, GERALD	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.48	95.0	0.00	1.41	1.41
03/01/2018	#00083134866	NELSON, GERALD	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.97	95.0	0.00	1.87	1.87
03/01/2018	#00083762655	JOHNSON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	51.47	95.0	0.00	48.90	48.90
03/01/2018	#00084712825	JOHNSON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.06	95.0	0.00	3.86	3.86
03/01/2018	#00084595510	MEYERS, SETH	AMERICAN FUNDS 02629M645	0.00	17.67	95.0	0.00	16.79	16.79
03/01/2018	#00085184945	CAREY, RUDY	BOND FUND AMER INC CL C	0.00	0.62	95.0	0.00	0.59	0.59
03/01/2018	#00085184968	ERICKSON-CAREY,	BOND FUND AMER INC CL C	0.00	10.64	95.0	0.00	10.11	10.11
03/01/2018	#00074864213	SEVERY, KEVIN	BOND FUND AMER INC CL F1	0.00	0.71	95.0	0.00	0.67	0.67
03/01/2018	#00074874449	SEVERY, GARRETT	BOND FUND AMER INC CL F1	0.00	0.71	95.0	0.00	0.67	0.67
03/01/2018	#00067202326	WANG, DAVID	BOND FUND OF AMERICA INC CL A	0.00	5.94	95.0	0.00	5.64	5.64
03/01/2018	#00074864213	SEVERY, KEVIN	BOND FUND OF AMERICA INC CL A	0.00	2.31	95.0	0.00	2.19	2.19
03/01/2018	#00074874449	SEVERY, GARRETT	BOND FUND OF AMERICA INC CL A	0.00	2.31	95.0	0.00	2.19	2.19
03/01/2018	#00083133272	NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	3.54	95.0	0.00	3.36	3.36
03/01/2018	#00083134866	NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	40.88	95.0	0.00	38.84	38.84
03/01/2018	#00083333075	NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	10.65	95.0	0.00	10.12	10.12
03/01/2018	#00083762655	JOHNSON,	BOND FUND OF AMERICA INC CL A	0.00	12.23	95.0	0.00	11.62	11.62
03/01/2018	#00084712825	JOHNSON,	BOND FUND OF AMERICA INC CL A	0.00	3.38	95.0	0.00	3.21	3.21
03/01/2018	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	0.00	0.68	95.0	0.00	0.65	0.65
03/01/2018	#00085184945	CAREY, RUDY	CAPITAL INCOME BLDR FUND CL C	0.00	3.89	95.0	0.00	3.70	3.70
03/01/2018	#00067202326	WANG, DAVID	CAPITAL INCOME BUILDER FD CL A	0.00	15.81	95.0	0.00	15.02	15.02
03/01/2018	#00067911673	TOP, KRISTEN	CAPITAL INCOME BUILDER FD CL A	0.00	0.73	95.0	0.00	0.69	0.69
03/01/2018	#00074864213	SEVERY, KEVIN	CAPITAL INCOME BUILDER FD CL A	0.00	1.80	95.0	0.00	1.71	1.71
03/01/2018	#00083762655	JOHNSON,	CAPITAL INCOME BUILDER FD CL A	0.00	17.95	95.0	0.00	17.05	17.05
03/01/2018	#00084712825	JOHNSON,	CAPITAL INCOME BUILDER FD CL A	0.00	2.86	95.0	0.00	2.72	2.72
03/01/2018	#00085184945	CAREY, RUDY	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.87	95.0	0.00	0.83	0.83
03/01/2018	#00085184968	ERICKSON-CAREY,	CAPITAL WORLD BOND FUND-C	0.00	10.20	95.0	0.00	9.69	9.69
03/01/2018	#00083133272	NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.56	95.0	0.00	2.43	2.43
03/01/2018	#00083134866	NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	34.05	95.0	0.00	32.35	32.35
03/01/2018	#00083333075	NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	9.91	95.0	0.00	9.41	9.41
03/01/2018	#00083762655	JOHNSON,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.44	95.0	0.00	5.17	5.17
03/01/2018	#00084712825	JOHNSON,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.85	95.0	0.00	1.76	1.76

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00058662285	LODES, LORAE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	10.17	95.0	0.00	9.66	9.66
03/01/2018	#00067911673	TOP, KRISTEN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.24	95.0	0.00	1.18	1.18
03/01/2018	#00075380031	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	53.80	95.0	0.00	51.11	51.11
03/01/2018	#00083133272	NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.06	95.0	0.00	4.81	4.81
03/01/2018	#00083134866	NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	31.96	95.0	0.00	30.36	30.36
03/01/2018	#00083333075	NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	16.97	95.0	0.00	16.12	16.12
03/01/2018	#00083762655	JOHNSON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	19.22	95.0	0.00	18.26	18.26
03/01/2018	#00084712825	JOHNSON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.66	95.0	0.00	1.58	1.58
03/01/2018	#00085194319	GREGORY, NANCY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.44	95.0	0.00	2.32	2.32
03/01/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.98	95.0	0.00	0.93	0.93
03/01/2018	#00086699399	LODES, LORAE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.99	95.0	0.00	0.94	0.94
03/01/2018	#00084595455	LETELLIER,	COLLEGE 2021 FUND-529A	0.00	9.80	95.0	0.00	9.31	9.31
03/01/2018	#00084595526	LETELLIER,	COLLEGE 2024 FUND 529A	0.00	10.08	95.0	0.00	9.58	9.58
03/01/2018	#00067911673	TOP, KRISTEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.73	95.0	0.00	0.69	0.69
03/01/2018	#00083762655	JOHNSON,	EURO PACIFIC GROWTH FUND CLASS A	0.00	14.02	95.0	0.00	13.32	13.32
03/01/2018	#00084712825	JOHNSON,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.42	95.0	0.00	1.35	1.35
03/01/2018	#00067911673	TOP, KRISTEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.13	95.0	0.00	2.02	2.02
03/01/2018	#00074864213	SEVERY, KEVIN	FUNDAMENTAL INVS INC CL F1	0.00	1.43	95.0	0.00	1.36	1.36
03/01/2018	#00074874449	SEVERY, GARRETT	FUNDAMENTAL INVS INC CL F1	0.00	1.41	95.0	0.00	1.34	1.34
03/01/2018	#00058662285	LODES, LORAE	GLOBAL BALANCED FUND-A	0.00	8.25	95.0	0.00	7.84	7.84
03/01/2018	#00086699399	LODES, LORAE	GLOBAL BALANCED FUND-A	0.00	0.81	95.0	0.00	0.77	0.77
03/01/2018	#00085184945	CAREY, RUDY	GROWTH FUND AMER INC CL C	0.00	7.58	95.0	0.00	7.20	7.20
03/01/2018	#00074864213	SEVERY, KEVIN	GROWTH FUND AMER INC CL F1	0.00	0.69	95.0	0.00	0.66	0.66
03/01/2018	#00074874449	SEVERY, GARRETT	GROWTH FUND AMER INC CL F1	0.00	0.64	95.0	0.00	0.61	0.61
03/01/2018	#00085184945	CAREY, RUDY	GROWTH FUND AMER INC CL F1	0.00	2.47	95.0	0.00	2.35	2.35
03/01/2018	#00058662285	LODES, LORAE	GROWTH FUND AMERICA INC CLASS A	0.00	12.58	95.0	0.00	11.95	11.95
03/01/2018	#00067202325	WANG, YIPING	GROWTH FUND AMERICA INC CLASS A	0.00	2.18	95.0	0.00	2.07	2.07
03/01/2018	#00067202326	WANG, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	7.84	95.0	0.00	7.45	7.45
03/01/2018	#00067911673	TOP, KRISTEN	GROWTH FUND AMERICA INC CLASS A	0.00	2.04	95.0	0.00	1.94	1.94
03/01/2018	#00073340855	CHRISTOPHER,	GROWTH FUND AMERICA INC CLASS A	0.00	24.86	95.0	0.00	23.62	23.62
03/01/2018	#00074864213	SEVERY, KEVIN	GROWTH FUND AMERICA INC CLASS A	0.00	6.16	95.0	0.00	5.85	5.85
03/01/2018	#00074874449	SEVERY, GARRETT	GROWTH FUND AMERICA INC CLASS A	0.00	6.16	95.0	0.00	5.85	5.85
03/01/2018	#00083133272	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	3.77	95.0	0.00	3.58	3.58
03/01/2018	#00083134866	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	42.69	95.0	0.00	40.56	40.56
03/01/2018	#00083293805	LEHMANN,	GROWTH FUND AMERICA INC CLASS A	0.00	1.08	95.0	0.00	1.03	1.03
03/01/2018	#00083333075	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	23.65	95.0	0.00	22.47	22.47

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00083762655	JOHNSON, GROWTH FUND AMERICA INC CLASS A	0.00	59.52	95.0	0.00	56.54	0.00	56.54
03/01/2018	#00084712825	JOHNSON, GROWTH FUND AMERICA INC CLASS A	0.00	4.51	95.0	0.00	4.28	0.00	4.28
03/01/2018	#00085194319	GREGORY, NANCY GROWTH FUND AMERICA INC CLASS A	0.00	7.72	95.0	0.00	7.33	0.00	7.33
03/01/2018	#00086459796	GORHAM, GROWTH FUND AMERICA INC CLASS A	0.00	1.02	95.0	0.00	0.97	0.00	0.97
03/01/2018	#00086699399	LODES, LORAE GROWTH FUND AMERICA INC CLASS A	0.00	1.23	95.0	0.00	1.17	0.00	1.17
03/01/2018	#00067202324	WANG, DAVID INCOME FUND OF AMERICA INC CLASS A	0.00	3.60	95.0	0.00	3.42	0.00	3.42
03/01/2018	#00067911673	TOP, KRISTEN INCOME FUND OF AMERICA INC CLASS A	0.00	0.84	95.0	0.00	0.80	0.00	0.80
03/01/2018	#00074864213	SEVERY, KEVIN INCOME FUND OF AMERICA INC CLASS A	0.00	3.41	95.0	0.00	3.24	0.00	3.24
03/01/2018	#00074874449	SEVERY, GARRETT INCOME FUND OF AMERICA INC CLASS A	0.00	3.41	95.0	0.00	3.24	0.00	3.24
03/01/2018	#00081956353	WANG, YIPING INCOME FUND OF AMERICA INC CLASS A	0.00	0.78	95.0	0.00	0.74	0.00	0.74
03/01/2018	#00089678353	DONOVAN, INCOME FUND OF AMERICA INC CLASS A	0.00	7.08	95.0	0.00	6.73	0.00	6.73
03/01/2018	#00058662285	LODES, LORAE INVESTMENT CO AMER CLASS A	0.00	11.74	95.0	0.00	11.15	0.00	11.15
03/01/2018	#00074864213	SEVERY, KEVIN INVESTMENT CO AMER CLASS A	0.00	3.80	95.0	0.00	3.61	0.00	3.61
03/01/2018	#00074874449	SEVERY, GARRETT INVESTMENT CO AMER CLASS A	0.00	3.78	95.0	0.00	3.59	0.00	3.59
03/01/2018	#00083133272	NELSON, GERALD INVESTMENT CO AMER CLASS A	0.00	2.12	95.0	0.00	2.01	0.00	2.01
03/01/2018	#00083134866	NELSON, GERALD INVESTMENT CO AMER CLASS A	0.00	28.19	95.0	0.00	26.78	0.00	26.78
03/01/2018	#00083333075	NELSON, GERALD INVESTMENT CO AMER CLASS A	0.00	0.50	95.0	0.00	0.48	0.00	0.48
03/01/2018	#00085194319	GREGORY, NANCY INVESTMENT CO AMER CLASS A	0.00	2.77	95.0	0.00	2.63	0.00	2.63
03/01/2018	#00086699399	LODES, LORAE INVESTMENT CO AMER CLASS A	0.00	1.08	95.0	0.00	1.03	0.00	1.03
03/01/2018	#00073340855	CHRISTOPHER, NEW ECONOMY FUND SBI CLASS A	0.00	9.22	95.0	0.00	8.76	0.00	8.76
03/01/2018	#00083133272	NELSON, GERALD NEW ECONOMY FUND SBI CLASS A	0.00	4.74	95.0	0.00	4.50	0.00	4.50
03/01/2018	#00083134866	NELSON, GERALD NEW ECONOMY FUND SBI CLASS A	0.00	17.87	95.0	0.00	16.98	0.00	16.98
03/01/2018	#00083333075	NELSON, GERALD NEW ECONOMY FUND SBI CLASS A	0.00	24.31	95.0	0.00	23.09	0.00	23.09
03/01/2018	#00074864213	SEVERY, KEVIN NEW PERSPECTIVE FUND -F1	0.00	0.67	95.0	0.00	0.64	0.00	0.64
03/01/2018	#00074874449	SEVERY, GARRETT NEW PERSPECTIVE FUND -F1	0.00	0.66	95.0	0.00	0.63	0.00	0.63
03/01/2018	#00067911673	TOP, KRISTEN NEW PERSPECTIVE FUND INC CL A	0.00	1.48	95.0	0.00	1.41	0.00	1.41
03/01/2018	#00074864213	SEVERY, KEVIN NEW PERSPECTIVE FUND INC CL A	0.00	2.92	95.0	0.00	2.77	0.00	2.77
03/01/2018	#00083133272	NELSON, GERALD NEW PERSPECTIVE FUND INC CL A	0.00	4.37	95.0	0.00	4.15	0.00	4.15
03/01/2018	#00083134866	NELSON, GERALD NEW PERSPECTIVE FUND INC CL A	0.00	39.45	95.0	0.00	37.48	0.00	37.48
03/01/2018	#00083333075	NELSON, GERALD NEW PERSPECTIVE FUND INC CL A	0.00	20.18	95.0	0.00	19.17	0.00	19.17
03/01/2018	#00058662285	LODES, LORAE NEW WORLD FUND INC NEW	0.00	5.40	95.0	0.00	5.13	0.00	5.13
03/01/2018	#00067202324	WANG, DAVID NEW WORLD FUND INC NEW	0.00	3.03	95.0	0.00	2.88	0.00	2.88
03/01/2018	#00067202325	WANG, YIPING NEW WORLD FUND INC NEW	0.00	3.79	95.0	0.00	3.60	0.00	3.60
03/01/2018	#00067202326	WANG, DAVID NEW WORLD FUND INC NEW	0.00	10.97	95.0	0.00	10.42	0.00	10.42
03/01/2018	#00074864213	SEVERY, KEVIN NEW WORLD FUND INC NEW	0.00	0.83	95.0	0.00	0.79	0.00	0.79
03/01/2018	#00074874449	SEVERY, GARRETT NEW WORLD FUND INC NEW	0.00	0.83	95.0	0.00	0.79	0.00	0.79

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/01/2018	#00081956353	WANG, YIPING	NEW WORLD FUND INC NEW	0.00	1.70	95.0	0.00	1.62	1.62
03/01/2018	#00083133272	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	4.86	95.0	0.00	4.62	4.62
03/01/2018	#00083134866	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	76.10	95.0	0.00	72.30	72.30
03/01/2018	#00083293805	LEHMANN,	NEW WORLD FUND INC NEW	0.00	0.78	95.0	0.00	0.74	0.74
03/01/2018	#00083333075	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	14.80	95.0	0.00	14.06	14.06
03/01/2018	#00083762655	JOHNSON,	NEW WORLD FUND INC NEW	0.00	26.12	95.0	0.00	24.81	24.81
03/01/2018	#00084712825	JOHNSON,	NEW WORLD FUND INC NEW	0.00	1.37	95.0	0.00	1.30	1.30
03/01/2018	#00085194319	GREGORY, NANCY	NEW WORLD FUND INC NEW	0.00	1.95	95.0	0.00	1.85	1.85
03/01/2018	#00086459796	GORHAM,	NEW WORLD FUND INC NEW	0.00	0.80	95.0	0.00	0.76	0.76
03/01/2018	#00086699399	LODES, LORAE	NEW WORLD FUND INC NEW	0.00	0.53	95.0	0.00	0.50	0.50
03/01/2018	#00067202324	WANG, DAVID	SMALLCAP WORLD FUND INC CLASS A	0.00	3.47	95.0	0.00	3.30	3.30
03/01/2018	#00067202325	WANG, YIPING	SMALLCAP WORLD FUND INC CLASS A	0.00	6.61	95.0	0.00	6.28	6.28
03/01/2018	#00067202326	WANG, DAVID	SMALLCAP WORLD FUND INC CLASS A	0.00	13.90	95.0	0.00	13.21	13.21
03/01/2018	#00074864213	SEVERY, KEVIN	SMALLCAP WORLD FUND INC CLASS A	0.00	1.12	95.0	0.00	1.06	1.06
03/01/2018	#00074874449	SEVERY, GARRETT	SMALLCAP WORLD FUND INC CLASS A	0.00	1.12	95.0	0.00	1.06	1.06
03/01/2018	#00081956353	WANG, YIPING	SMALLCAP WORLD FUND INC CLASS A	0.00	2.05	95.0	0.00	1.95	1.95
03/01/2018	#00083133272	NELSON, GERALD	SMALLCAP WORLD FUND INC CLASS A	0.00	4.21	95.0	0.00	4.00	4.00
03/01/2018	#00083134866	NELSON, GERALD	SMALLCAP WORLD FUND INC CLASS A	0.00	33.07	95.0	0.00	31.42	31.42
03/01/2018	#00083333075	NELSON, GERALD	SMALLCAP WORLD FUND INC CLASS A	0.00	20.00	95.0	0.00	19.00	19.00
03/01/2018	#00083762655	JOHNSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	29.91	95.0	0.00	28.41	28.41
03/01/2018	#00084712825	JOHNSON,	SMALLCAP WORLD FUND INC CLASS A	0.00	2.03	95.0	0.00	1.93	1.93
03/01/2018	#00085194319	GREGORY, NANCY	SMALLCAP WORLD FUND INC CLASS A	0.00	2.57	95.0	0.00	2.44	2.44
03/01/2018	#00073340855	CHRISTOPHER,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.07	95.0	0.00	13.37	13.37
03/01/2018	#00074864213	SEVERY, KEVIN	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.97	95.0	0.00	3.77	3.77
03/01/2018	#00074874449	SEVERY, GARRETT	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.97	95.0	0.00	3.77	3.77
03/01/2018	#00083133272	NELSON, GERALD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.80	95.0	0.00	1.71	1.71
03/01/2018	#00083134866	NELSON, GERALD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	75.38	95.0	0.00	71.61	71.61
03/01/2018	#00083333075	NELSON, GERALD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.98	95.0	0.00	0.93	0.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,737.05	\$0.00	\$1,650.26	\$0.00	\$1,650.26
*** BROKER TRANSACTIONS TOTAL ***				\$36,616.66	\$2,670.18	\$0.00	\$2,536.97	\$0.00	\$2,536.97

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

04/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
04/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
*** ADJUSTMENTS TOTAL ***									<u>\$-784.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,536.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,752.97
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