



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-ALLIANCE BERNSTEIN	B	27.28	27.28	0.00	27.28	90.0	24.55	
12/31/2017	TRAILS	-PUTNAM	B	381.83	381.83	0.00	381.83	90.0	343.65	
12/31/2017	TRAILS	COLLEGEBOUND 529	B	3.58	3.58	0.00	3.58	90.0	3.22	
12/31/2017	TRAILS	EATON VANCE - GENERAL	B	22.61	22.61	0.00	22.61	90.0	20.35	
12/31/2017	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	1.62	1.62	0.00	1.62	90.0	1.46	
01/16/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00086656954	ENGEL, RYLEIGH	AMCAP FUND-529A	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086656954	ENGEL, RYLEIGH	AMERICAN BALANCED FUND-529A	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086656954	ENGEL, RYLEIGH	AMERICAN FUNDS - GENERAL	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086656954	ENGEL, RYLEIGH	NEW PERSPECTIVE FUND-529A	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086869732	ALLDREDGE, FAYE	AMCAP FUND-529A	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086869732	ALLDREDGE, FAYE	AMERICAN BALANCED FUND-529A	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086869732	ALLDREDGE, FAYE	AMERICAN FUNDS - GENERAL	B	500.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	00086869732	ALLDREDGE, FAYE	NEW PERSPECTIVE FUND-529A	B	500.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$4,536.92	\$477.92	\$0.00	\$477.92		\$430.13	

PRODUCT CATEGORY: STOCKS

01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	0.88	0.88	0.00	0.88	90.0	0.79
* STOCKS SUBTOTAL *				\$0.88	\$0.88	\$0.00	\$0.88		\$0.79

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	TRAILS	-JACKSON NATIONAL	B	40.52	40.52	0.00	40.52	90.0	36.47
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	6,043.28	6,043.28	0.00	6,043.28	90.0	5,438.95
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	7,670.33	7,670.33	0.00	7,670.33	90.0	6,903.30
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	5,887.47	5,887.47	0.00	5,887.47	90.0	5,298.72
12/29/2017	TRAILS	GENWORTH LIFE ANNUITY	B	397.14	397.14	0.00	397.14	90.0	357.43
12/31/2017	TRAILS	INTEGRITY LIFE INSURANCE COMPANY	B	644.70	644.70	0.00	644.70	90.0	580.23
01/11/2018	TRAILS	LINCOLN BENEFIT LIFE	B	343.89	343.89	0.00	343.89	90.0	309.50
01/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	171.61	171.61	0.00	171.61	90.0	154.45
* VARIABLE ANNUITIES SUBTOTAL *				\$21,198.94	\$21,198.94	\$0.00	\$21,198.94		\$19,079.05

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: RIA											
01/12/2018	12706161	HALVORSON, DAVID E		SIGNAL SECURITIES RIA	B	0.00	361.00	0.00	361.00	90.0	324.90
01/12/2018	37791527	NORIS, SCOTT A		SIGNAL SECURITIES RIA	B	0.00	542.67	0.00	542.67	90.0	488.40
01/12/2018	82968618	BROWN, JACKIE L		SIGNAL SECURITIES RIA	B	0.00	90.33	0.00	90.33	90.0	81.30
				* RIA SUBTOTAL *		\$0.00	\$994.00	\$0.00	\$994.00		\$894.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
02/01/2018	TRAIL COMMISSIONS			AIG FUNDS FEES		0.00	66.57	0.00	66.57	90.0	59.93
02/01/2018	TRAIL COMMISSIONS			SUN AMERICA MUTUAL FUNDS FEES		0.00	58.42	0.00	58.42	90.0	52.58
02/01/2018	TRAIL COMMISSIONS			DAVIS FUNDS FEES		0.00	177.02	0.00	177.02	90.0	159.34
02/01/2018	TRAIL COMMISSIONS			INVESCO LTD FEES		0.00	238.72	0.00	238.72	90.0	214.86
02/01/2018	TRAIL COMMISSIONS			MFS FUND DISTRIBUTORS, INC. FEES		0.00	69.42	0.00	69.42	90.0	62.49
02/01/2018	TRAIL COMMISSIONS			DWS INVESTMENTS SERVICE COMPANY FEE		0.00	4.14	0.00	4.14	90.0	3.73
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$614.29	\$0.00	\$614.29		\$552.93
				*** BROKER TRANSACTIONS TOTAL ***		\$25,736.74	\$23,286.03	\$0.00	\$23,286.03		\$20,957.50

ADJUSTMENTS

02/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
02/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$20,957.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$20,830.00
Year-to-date Earnings	\$24,985.21