



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**August 15, 2017**

08/14/2017  
 Page No. 1

KEITH LAYMAN  
 4321 Flagstaff

BROKER #: L128  
 BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
07/30/2017	LAYMAN, VARIOUS	BR TOTAL INC & REAL ESTATE FUND C	0.00	224.96	0.00	224.96	90.0	202.46
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$224.96</b>	<b>\$0.00</b>	<b>\$224.96</b>		<b>\$202.46</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/24/2017	IN/TOD	AM FUNDS AMCAP A	1,500.00	75.00	0.00	75.00	90.0	67.50
08/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
07/24/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
07/25/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
07/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
07/28/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
07/31/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
08/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
07/24/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
07/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
08/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
07/24/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
07/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
07/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
08/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
08/01/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
08/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
07/24/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
07/24/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
07/31/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
08/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
07/24/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85
07/31/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
07/31/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
08/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
07/31/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
08/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
07/24/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
07/24/2017	IN/TOD	AM FUNDS NEW PERSPECTIVE A	1,500.00	75.00	0.00	75.00	90.0	67.50
07/24/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
08/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
08/01/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
07/31/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
08/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
08/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
08/03/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	300.00	12.75	0.00	12.75	90.0	11.48
07/31/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87
07/31/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	90.0	3.83
/ /	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	0.00	0.86	0.00	0.86	90.0	0.77
07/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.43	0.00	1.43	90.0	1.29
07/27/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	22.50	1.07	0.00	1.07	90.0	0.96
07/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.43	0.00	1.43	90.0	1.29
07/31/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
07/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
07/31/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
07/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
07/26/2017	WILLIAMS, BEVERLY	ASCENSUS INA PIMCO TOTAL RETURN POR	1,250.00	40.63	0.00	40.63	90.0	36.57
07/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
07/31/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
07/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
07/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
07/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/31/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
08/04/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
07/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
07/27/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
07/31/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
08/04/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
07/31/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
07/26/2017	WILLIAMS, BEVERLY	ASCENSUS-INA LG CAP INDEX PF CL A	1,250.00	50.00	0.00	50.00	90.0	45.00
07/31/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
06/30/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.26	0.00	0.26	90.0	0.23
06/30/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.51	0.00	0.51	90.0	0.46
07/27/2017	ELLIOTT, RANDALL	FIDELITY MAGELLAN FUND	-10,000.00	0.00	22.00	-22.00	90.0	-19.80
07/06/2017	ALANZA, SARAH	MAINSTAY BALANCED FUND INVESTOR CLA	0.00	4.67	0.00	4.67	90.0	4.20
07/06/2017	ALANZA, SARAH	MAINSTAY EPOCH US EQUITY YIELD INV	0.00	2.71	0.00	2.71	90.0	2.44
07/06/2017	WILLIAMS, BARBARA	MAINSTAY HIGH YIELD CORP BOND INVES	0.00	0.71	0.00	0.71	90.0	0.64
07/06/2017	ALANZA, SARAH	MAINSTAY ICAP SELECT EQ INVESTORS	0.00	1.94	0.00	1.94	90.0	1.75
07/06/2017	ALANZA, SARAH	MAINSTAY INTRNTL EQTY INVESTOR CLAS	0.00	3.90	0.00	3.90	90.0	3.51
07/06/2017	ALANZA, SARAH	MAINSTAY LRG CAP GROWTH INVESTOR CL	0.00	8.56	0.00	8.56	90.0	7.70
07/06/2017	ALANZA, SARAH	MAINSTAY MAP INVESTOR CLASS	0.00	2.94	0.00	2.94	90.0	2.65
07/06/2017	ALANZA, SARAH	MAINSTAY US SMALL CAP INV	0.00	1.00	0.00	1.00	90.0	0.90
07/17/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
07/17/2017	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
07/17/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
07/17/2017	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	50.00	0.50	0.00	0.50	90.0	0.45
07/31/2017	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	6.26	0.00	6.26	90.0	5.63
07/31/2017	GRIME, LAURA	TEMPLETON FOREIGN FUND A	0.00	6.53	0.00	6.53	90.0	5.88
08/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	90.0	3.60
08/02/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.51	0.00	2.51	90.0	2.26
07/27/2017	ELLIOTT, RANDALL	VANGUARD BALANCED INDEX FUND ADMIRA	10,000.00	0.00	22.00	-22.00	90.0	-19.80
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$11,067.00</b>	<b>\$490.49</b>	<b>\$44.00</b>	<b>\$446.49</b>		<b>\$401.88</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,086.02	8.37	0.00	8.37	90.0	7.53
07/31/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,708.16	13.63	0.00	13.63	90.0	12.27
07/31/2017	SIEBER, PAUL	JNL PERSPECTIVE II	66,715.21	27.80	0.00	27.80	90.0	25.02
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$119,509.39</b>	<b>\$49.80</b>	<b>\$0.00</b>	<b>\$49.80</b>		<b>\$44.82</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.68	0.00	0.68	90.0	0.61
07/18/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.78	0.00	0.78	90.0	0.70
07/18/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.09	0.00	1.09	90.0	0.98
07/18/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.38
07/18/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.18	0.00	11.18	90.0	10.06
07/18/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
07/18/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.91	0.00	0.91	90.0	0.82
07/18/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.55	0.00	0.55	90.0	0.50
07/18/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.45	0.00	0.45	90.0	0.41
07/18/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	90.0	0.67
07/18/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.48	0.00	0.48	90.0	0.43
07/18/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.68	0.00	0.68	90.0	0.61
07/18/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.15	0.00	1.15	90.0	1.04
07/18/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	90.0	0.40
07/18/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.62	0.00	8.62	90.0	7.76
07/18/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.56	0.00	0.56	90.0	0.50
07/18/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	90.0	0.37
07/18/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.08	0.00	2.08	90.0	1.87
07/18/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.74	0.00	0.74	90.0	0.67
07/18/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.97	0.00	5.97	90.0	5.37
07/18/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	90.0	0.20
07/18/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.79	0.00	4.79	90.0	4.31
07/18/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.55	0.00	0.55	90.0	0.50
07/18/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.11	0.00	6.11	90.0	5.50
07/18/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24
07/18/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.36	0.00	4.36	90.0	3.92
07/18/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.46
07/18/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.58	0.00	5.58	90.0	5.02

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	90.0	0.46
07/18/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.40	0.00	0.40	90.0	0.36
07/18/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.07	0.00	2.07	90.0	1.86
07/18/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	5.79	0.00	5.79	90.0	5.21
07/18/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.00	0.00	5.00	90.0	4.50
07/18/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.10	0.00	4.10	90.0	3.69
07/18/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.19	0.00	4.19	90.0	3.77
07/31/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.45	0.00	8.45	90.0	7.61
07/13/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.58	0.00	0.58	90.0	0.52
07/13/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.58	0.00	0.58	90.0	0.52
07/13/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.57	0.00	0.57	90.0	0.51
07/13/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.57	0.00	0.57	90.0	0.51
07/13/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.22	0.00	2.22	90.0	2.00
07/19/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.63	0.00	15.63	90.0	14.07
07/19/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	46.90	0.00	46.90	90.0	42.21
07/19/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.84	0.00	15.84	90.0	14.26
07/19/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	47.51	0.00	47.51	90.0	42.76
07/19/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.11	0.00	25.11	90.0	22.60
07/19/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	75.32	0.00	75.32	90.0	67.79
07/19/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.68	0.00	10.68	90.0	9.61
07/19/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.05	0.00	32.05	90.0	28.85
07/19/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.70	0.00	4.70	90.0	4.23
07/19/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.11	0.00	14.11	90.0	12.70
07/19/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.00	0.00	25.00	90.0	22.50
07/19/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	75.00	0.00	75.00	90.0	67.50
07/19/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.35	0.00	13.35	90.0	12.02
07/19/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.06	0.00	40.06	90.0	36.05
07/19/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.67	0.00	15.67	90.0	14.10
07/19/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	47.02	0.00	47.02	90.0	42.32
07/19/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.45	0.00	17.45	90.0	15.71
07/19/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	52.34	0.00	52.34	90.0	47.11
07/19/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	18.36	0.00	18.36	90.0	16.52
07/19/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	55.09	0.00	55.09	90.0	49.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/19/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.11	0.00	14.11	90.0	12.70
07/19/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	42.34	0.00	42.34	90.0	38.11
07/18/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.41	0.00	0.41	90.0	0.37
07/18/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	90.0	0.29
07/18/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.52	0.00	0.52	90.0	0.47
07/18/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	90.0	0.29
07/18/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.51	0.00	0.51	90.0	0.46
07/18/2017	ELLIOTT, RANDALL	INVESCO AMERICAN FRANCHISE C	0.00	1.71	0.00	1.71	90.0	1.54
07/18/2017	ELLIOTT, RANDALL	INVESCO BALANCED-RISK RTRMNT 2020 C	0.00	1.47	0.00	1.47	90.0	1.32
07/18/2017	ELLIOTT, RANDALL	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	1.67	0.00	1.67	90.0	1.50
07/18/2017	ELLIOTT, RANDALL	INVESCO EMERGING MARKETS EQTY FD CL	0.00	0.62	0.00	0.62	90.0	0.56
07/18/2017	FEDLER, KIMBERLY	INVESCO EQUITY AND INCOME A	0.00	0.47	0.00	0.47	90.0	0.42
07/18/2017	ELLIOTT, RANDALL	INVESCO FLOATING RATE FD-CL C	0.00	0.57	0.00	0.57	90.0	0.51
07/18/2017	ELLIOTT, RANDALL	INVESCO GBL LOW VOLATILITY EQTY YLD	0.00	0.86	0.00	0.86	90.0	0.77
07/18/2017	ELLIOTT, RANDALL	INVESCO INTERNATIONAL TOTAL RETURN	0.00	0.63	0.00	0.63	90.0	0.57
07/18/2017	ELLIOTT, RANDALL	INVESCO US GOVERNMENT FD CL C	0.00	0.64	0.00	0.64	90.0	0.58
07/14/2017	RANEY, GLEN	IVY ASSET STRATEGY FUND CLASS C	0.00	4.97	0.00	4.97	90.0	4.47
07/14/2017	MARTIN, GARY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	1.97	0.00	1.97	90.0	1.77
07/14/2017	MARTIN, DEBERAH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	1.97	0.00	1.97	90.0	1.77
07/21/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.79	0.00	0.79	90.0	0.71
07/21/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.09	0.00	5.09	90.0	4.58
07/21/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.89	0.00	1.89	90.0	1.70
07/21/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	30.54	0.00	30.54	90.0	27.49
07/13/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.61	0.00	10.61	90.0	9.55
07/20/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.38	0.00	7.38	90.0	6.64
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$875.40</b>	<b>\$0.00</b>	<b>\$875.40</b>		<b>\$787.88</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$130,576.39</b>	<b>\$1,640.65</b>	<b>\$44.00</b>	<b>\$1,596.65</b>		<b>\$1,437.04</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	90.0	2.36
07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	90.0	1.63
07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	1.70	0.00	1.70	90.0	1.53
07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	1.70	0.00	1.70	90.0	1.53
07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.64	0.00	3.64	90.0	3.28
07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	90.0	1.63
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
07/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
07/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
07/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.39	0.00	0.39	90.0	0.35
07/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
07/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.16
08/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
07/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
07/31/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.24	0.00	0.24	90.0	0.22
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
07/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
07/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
07/28/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
07/28/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
07/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
07/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
07/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
07/28/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
07/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
07/28/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
07/30/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	15.55	0.00	15.55	90.0	14.00
07/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.01	0.00	1.01	90.0	0.91
07/19/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.19	0.00	4.19	90.0	3.77
07/14/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.17	0.00	0.17	90.0	0.15
07/21/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.62	0.00	0.62	90.0	0.56
07/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
07/26/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.28	0.00	1.28	90.0	1.15
07/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.44	0.00	5.44	90.0	4.90
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$2,143.08</b>	<b>\$58.85</b>	<b>\$0.00</b>	<b>\$58.85</b>		<b>\$52.98</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$2,143.08</b>	<b>\$58.85</b>	<b>\$0.00</b>	<b>\$58.85</b>		<b>\$52.98</b>

**ADJUSTMENTS**

08/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
08/15/2017	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
08/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-19.83
08/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-4.16
08/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00						-7.35
08/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00						-1.63
08/15/2017	*TECH: ADMIN J WRIGHT	0.00						-100.00
08/15/2017	*TECH: ALBRIDGE FULL	0.00						-100.00
08/15/2017	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-100.00
08/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
08/15/2017	*TECHNOLOGY: CURRENT MONTH TECH-BIL	0.00						-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,482.97</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,437.04
Split Transactions	\$52.98
Override Transactions	\$0.00
Adjustments	\$-1,482.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$7.05</b>
<b>Year-to-date Gross</b>	<b>\$35,710.69</b>
<b>Year-to-date Earnings</b>	<b>\$31,151.62</b>