



COMMISSION STATEMENT

July 16, 2018

BARRY BRESIN11 Walnut Hill Drive
Penfield, NY 14526**BROKER #: 9**

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	***-**-21IN - BRESIN, EMMA	SAMMONS MF TRAILS	0.00	185.89	0.00	185.89	80.0	148.71
	ACCOUNT #: 7200036096	BUY 0 Units @0.0000						
07/03/2018	***-**-939I - BRESIN, HOWARD	SAMMONS MF TRAILS	0.00	320.28	0.00	320.28	80.0	256.22
	ACCOUNT #: 7200036177	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$506.17	\$0.00	\$506.17		\$404.93
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/16/2018	***-**-5777 - BECKER, HILDA	PRUDENTIAL VUL TRAILS	0.00	107.38	0.00	107.38	80.0	85.90
	ACCOUNT #: V1028276	BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$107.38	\$0.00	\$107.38		\$85.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/01/2018	***-**-805P - HALM, MATILDA	AIM CHARTER FUND - CLASS A	0.00	87.34	0.00	87.34	80.0	69.87
	ACCOUNT #: 01001312723	BUY 0 Units @0.0000						
07/01/2018	***-**-805P - HALM, MATILDA	AIM MID-CAP EQUITY FUND CLASS A	0.00	13.78	0.00	13.78	80.0	11.02
	ACCOUNT #: 01001312723	BUY 0 Units @0.0000						
07/09/2018	***-**-716J - STERN, ROBERT	ALLIANCEBERNSTEIN MUNI INCOME-NY A	0.00	10.03	0.00	10.03	80.0	8.02
	ACCOUNT #: 04892903435	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	CAPITAL APPRECIATION A	0.00	2.24	0.00	2.24	80.0	1.79
	ACCOUNT #: 03209641225	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	CAPITAL APPRECIATION B	0.00	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 03214417611	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	DISCOVERY FUND N	0.00	9.13	0.00	9.13	80.0	7.30
	ACCOUNT #: 05030064900	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	EQUITY INCOME FUND, INC. A	0.00	7.93	0.00	7.93	80.0	6.34
	ACCOUNT #: 08353042325	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	GLOBAL OPPORTUNITIES A	0.00	9.58	0.00	9.58	80.0	7.66
	ACCOUNT #: 02157358560	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	GLOBAL OPPORTUNITIES B	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 02163269486	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL BOND A	0.00	2.00	0.00	2.00	80.0	1.60
	ACCOUNT #: 08810284076	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL BOND FUND N	0.00	1.44	0.00	1.44	80.0	1.15
	ACCOUNT #: 08790188760	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL GROWTH FUND A	0.00	1.62	0.00	1.62	80.0	1.30
	ACCOUNT #: 08259643414	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	INTERNATIONAL SMALL COMPANY FUND N	0.00	21.66	0.00	21.66	80.0	17.33
	ACCOUNT #: 08180086526	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	MAIN STREET FUND N	0.00	4.61	0.00	4.61	80.0	3.69
	ACCOUNT #: 07030194524	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	MAIN STREET SMALL CAP FUND N	0.00	9.88	0.00	9.88	80.0	7.90
	ACCOUNT #: 08510229768	BUY 0 Units @0.0000						
06/30/2018	***-**-915P - BECKER, HILDA	OPP ROCHSTR SH TRM MUNI FND A	0.00	62.16	0.00	62.16	80.0	49.73
	ACCOUNT #: 06210059488	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.62	0.00	2.62	80.0	2.10
	ACCOUNT #: 07860596762	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER DISCOVERY CLASS A	0.00	4.54	0.00	4.54	80.0	3.63
	ACCOUNT #: 05005888982	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	OPPENHEIMER GOLD & SPC MINERALS FUN	0.00	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: 04130157542	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.97	0.00	1.97	80.0	1.58
	ACCOUNT #: 02306892999	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 01911535798	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	1.86	0.00	1.86	80.0	1.49
	ACCOUNT #: 02203614732	BUY 0 Units @0.0000						
06/30/2018	***-**-196I - BRESIN, JANET	PORT SERIES: EQUITY INV FD A	0.00	33.58	0.00	33.58	80.0	26.86
	ACCOUNT #: 05550336127	BUY 0 Units @0.0000						
07/16/2018	***-**-4892 - STERN, ROBERT	PRINCIPAL FUNDS TRAILS	0.00	30.17	0.00	30.17	80.0	24.14
		BUY 0 Units @0.0000						
07/02/2018	***-**-13IN - CLEMENT, SANDRA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	16.27	0.00	16.27	80.0	13.02
	ACCOUNT #: 00510129039	BUY 0 Units @0.0000						
07/16/2018	***-**-892K - STERN MD PC	QUALIFIED PLAN SUMMARY	0.00	837.41	0.00	837.41	80.0	669.93
	ACCOUNT #: BRK115201	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
06/30/2018	***-**-459I - MONSON, DAVID	QUEST BALANCED A	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 02576958699	BUY 0 Units @0.0000						
06/30/2018	***-**-595P - MONSON, DAVID	REAL ESTATE FUND A	0.00	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 05901279091	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	REAL ESTATE FUND N	0.00	2.47	0.00	2.47	80.0	1.98
	ACCOUNT #: 05930110968	BUY 0 Units @0.0000						
06/30/2018	***-**-13IN - CLEMENT, SANDRA	ROCHESTER FUND MUNICIPALS A	0.00	4.03	0.00	4.03	80.0	3.22
	ACCOUNT #: 03652981220	BUY 0 Units @0.0000						
06/30/2018	***-**-196J - TEN WROS NOT TC,	ROCHESTER FUND MUNICIPALS A	0.00	1.10	0.00	1.10	80.0	0.88
	ACCOUNT #: 03653211373	BUY 0 Units @0.0000						
06/30/2018	***-**-7195 - SHARON M	ROCHESTER FUND MUNICIPALS A	0.00	9.15	0.00	9.15	80.0	7.32
	ACCOUNT #: 03653214959	BUY 0 Units @0.0000						
06/30/2018	***-**-7197 - LYNN M ABRAHAM,	ROCHESTER FUND MUNICIPALS A	0.00	9.15	0.00	9.15	80.0	7.32
	ACCOUNT #: 03653225497	BUY 0 Units @0.0000						
06/30/2018	***-**-459I - MONSON, DAVID	U.S. GOVERNMENT TRUST B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 02211138830	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,202.58	\$0.00	\$1,202.58		\$962.05
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$1,816.13	\$0.00	\$1,816.13		\$1,452.88



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$1,452.88
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$1,452.88
Year-to-date Earnings								\$37,367.88