CONCORDE INVESTMENT SERVICES

COMMISSION STATEMENT July 15, 2017

BROKER #: B132

BRANCH: DAVID MICHAEL BREEDL

DAVID MIKE BREEDLOVE 1024 Pruitt Place

Tyler, TX 75703

06/30/2017

BREEDLOVE, TOMMYE

INVESTMENT GROSS NET BROKER CLEARING DATE CLIENT INVESTMENT AMOUNT COMMISSION RATE COMMISSION COMMISSION CHARGE BROKER TRANSACTIONS PRODUCT CATEGORY: INTERVAL FUNDS 06/19/2017 LADNER TRUST BLUEROCK TOTAL INC & REAL ESTATE 583.33 29.17 90.0 26.25 0.00 26.25 * INTERVAL FUNDS SUBTOTAL * \$583.33 \$26.25 \$26.25 \$29.17 \$0.00 PRODUCT CATEGORY: VARIABLE ANNUITIES JNL ELITE ACCESS W/ LIQUIDITY 3,910.54 3.26 90.0 2.93 0.00 2.93 06/30/2017 BREEDLOVE, TOMMYE 06/30/2017 SPEED, LINDA JNL PERSPECTIVE II 05/05 38,050.60 31.71 90.0 28.54 0.00 28.54 06/30/2017 JNL PERSPECTIVE II 05/05 41,969.22 34.97 90.0 31.47 31.47 CAPEL, JIMMY 0.00 06/30/2017 JNL PERSPECTIVE INVESTOR VUL 198,726.44 74.52 90.0 67.07 0.00 67.07 KELLY, GENE 06/30/2017 KELLY, RACHELL JNL PERSPECTIVE INVESTOR VUL 96,678.73 36.25 90.0 32.63 0.00 32.63 06/30/2017 PRU AS ADVISOR PLAN II - 1996 1,502.64 0.94 90.0 0.85 0.00 0.85 KENNEDY, EVELYN 06/30/2017 KENNEDY, EVELYN PRU AS ADVISOR PLAN II - 1996 1,502.64 0.94 90.0 0.85 0.00 0.85 275.27 06/30/2017 163,122.40 305.85 90.0 275.27 0.00 MARTIN, KATHRYN PRU AS LIFEVEST II 06/30/2017 MARTIN, KATHRYN PRU AS LIFEVEST II 163,122.40 101.95 90.0 91.76 0.00 91.76 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 283,426.58 177.14 90.0 159.43 0.00 159.43 06/30/2017 149,788.51 84.26 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 93.62 90.0 84.26 0.00 06/30/2017 MARTIN, KATHRYN PRU AS XTRA CREDIT SIX 149,788.51 93.62 90.0 84.26 0.00 84.26 06/30/2017 164,851.73 103.03 90.0 92.73 0.00 92.73 GHRIST, TIMOTHY PRU AS XTRA CREDIT SIX 06/30/2017 164,851.73 103.03 90.0 92.73 0.00 92.73 GHRIST, TIMOTHY PRU AS XTRA CREDIT SIX 06/30/2017 GHRIST, TIMOTHY PRU AS XTRA CREDIT SIX 196,348.66 122.72 90.0 110.45 0.00 110.45 06/30/2017 164,851.73 90.0 0.00 92.73 GHRIST, TIMOTHY PRU AS XTRA CREDIT SIX 103.03 92.73

25,727.25

16.08

90.0

14.47

0.00

14.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

PRU AS XTRA CREDIT SIX

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	ATEGORY: VARIABLE ANNUITI	ES	<u> </u>					
06/30/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	25,727.25	16.08	90.0	14.47	0.00	14.47
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,036.80	15.02	90.0	13.52	0.00	13.52
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,036.80	15.02	90.0	13.52	0.00	13.52
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	136,288.26	85.18	90.0	76.66	0.00	76.66
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	136,288.26	85.18	90.0	76.66	0.00	76.66
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,541.44	17.21	90.0	15.49	0.00	15.49
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,541.44	17.21	90.0	15.49	0.00	15.49
06/30/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	13,861.89	8.66	90.0	7.79	0.00	7.79
06/30/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	13,861.89	8.66	90.0	7.79	0.00	7.79
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	49,913.96	31.20	90.0	28.08	0.00	28.08
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	49,913.96	31.20	90.0	28.08	0.00	28.08
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,014.19	23.13	90.0	20.82	0.00	20.82
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,014.19	23.13	90.0	20.82	0.00	20.82
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,469.16	15.29	90.0	13.76	0.00	13.76
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,469.16	15.29	90.0	13.76	0.00	13.76
06/30/2017	BURNS, HENRY	PRU PREMIER RETIREMENT C	12,061.12	30.15	90.0	27.14	0.00	27.14
06/30/2017	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	12,126.92	30.32	90.0	27.29	0.00	27.29
		* VARIABLE ANNUITIES SUBTOTAL *	\$3,583,118.06	\$2,432.31		\$2,189.13	\$0.00	\$2,189.13
PRODUCT CA	ATEGORY: VARIABLE UNIVERSA	AL LIFE						
06/30/2017	MILLER, FOSTER	PHOENIX LIFE VUL	0.00	126.22	90.0	113.60	0.00	113.60
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$126.22		\$113.60	\$0.00	\$113.60
PRODUCT CA	ATEGORY: MUTUAL FUND 12B1	TRAILS						
06/19/2017	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.36	90.0	2.12	0.00	2.12
06/19/2017	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.89	90.0	0.80	0.00	0.80
06/19/2017	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.79	90.0	0.71	0.00	0.71
06/19/2017	CUSSEN, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE	0.00	24.16	90.0	21.74	0.00	21.74
06/19/2017	CUSSEN, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.79	90.0	0.71	0.00	0.71
06/13/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.52	90.0	2.27	0.00	2.27
06/28/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.60	90.0	4.14	0.00	4.14
06/28/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.65	90.0	11.39	0.00	11.39
06/28/2017	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.76	90.0	1.58	0.00	1.58
06/28/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	15.01	90.0	13.51	0.00	13.51

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

GORY: MUTUAL FUND 12B1 TR CAPEL, JIMMY CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	SACTIONS					
CAPEL, JIMMY CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A						
CAPEL TRUST, NARLENE FORTENB							
		0.00	63.88	90.0	57.49	0.00	57.49
אספו. פעיייעע	PIMCO INCOME FUND CLASS A	0.00	15.34	90.0	13.81	0.00	13.81
AFED, DEILLE	PIMCO INCOME FUND CLASS A	0.00	10.65	90.0	9.59	0.00	9.59
ADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	65.71	90.0	59.14	0.00	59.14
SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.23	90.0	2.01	0.00	2.01
SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.13	90.0	5.52	0.00	5.52
ADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	43.38	90.0	39.04	0.00	39.04
SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.16	90.0	1.94	0.00	1.94
SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.93	90.0	5.34	0.00	5.34
MPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.76	90.0	2.48	0.00	2.48
*	MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$283.70		\$255.33	\$0.00	\$255.33
	*** BROKER TRANSACTIONS TOTAL ***	\$3,583,701.39	\$2,871.40		\$2,584.31	\$0.00	\$2,584.31
	ADJUSTMI	ENTS					
	*CONCIERGE SVC: 193971 GONZALES	0.00					-50.00
							-300.00
							-20.00
							-5.97
		0.00					-100.00
		0.00					-100.00
	*TECHNOLOGY: ADMIN - LESLIE DALY	0.00					-100.00
		0.00					-100.00
	*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
5	MITH, JESSE MITH, BETTY ADNER TRUST MITH, JESSE MITH, BETTY MPG-2 INVESTMENTS	MITH, JESSE PIMCO INVESTMENT GRADE CORP BOND A MITH, BETTY PIMCO INVESTMENT GRADE CORP BOND A ADDRET TRUST PIMCO INVESTMENT GRADE CORP BOND A MITH, JESSE PIMCO TOTAL RETURN A PIMCO TOTAL RETURN A POWER INCOME FUND CLASS A * MUTUAL FUND 12B1 TRAILS SUBTOTAL * **** BROKER TRANSACTIONS TOTAL *** ADJUSTMI *CONCIERGE SVC: 193971 GONZALES *E & O INSURANCE: CURRENT MONTH *MARKETING LIBRARY: MARKETING *PERSHING: BOOKS AND RECORDS *TECH: ALBRIDGE FULL *TECHNOLOGY: ADMIN - KAY BREEDLOVE *TECHNOLOGY: ADMIN - LESLIE DALY *TECHNOLOGY: ADMIN - RHODA	MITH, JESSE PIMCO INVESTMENT GRADE CORP BOND A 0.00 MITH, BETTY PIMCO INVESTMENT GRADE CORP BOND A 0.00 ADNER TRUST PIMCO INVESTMENT GRADE CORP BOND A 0.00 MITH, JESSE PIMCO TOTAL RETURN A 0.00 MITH, BETTY PIMCO TOTAL RETURN A 0.00 MPG-2 INVESTMENTS POWER INCOME FUND CLASS A 0.00 **MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 **** BROKER TRANSACTIONS TOTAL **** **3,583,701.39 **CONCIERGE SVC: 193971 GONZALES 0.00 **E & O INSURANCE: CURRENT MONTH 0.00 **MARKETING LIBRARY: MARKETING 0.00 **PERSHING: BOOKS AND RECORDS 0.00 **TECH: ALBRIDGE FULL 0.00 **TECHNOLOGY: ADMIN - KAY BREEDLOVE 0.00 **TECHNOLOGY: ADMIN - LESLIE DALY 0.00 **TECHNOLOGY: ADMIN - RHODA 0.00	MITH, JESSE PIMCO INVESTMENT GRADE CORP BOND A 0.00 2.23 MITH, BETTY PIMCO INVESTMENT GRADE CORP BOND A 0.00 6.13 ADNER TRUST PIMCO INVESTMENT GRADE CORP BOND A 0.00 43.38 MITH, JESSE PIMCO TOTAL RETURN A 0.00 5.93 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 MPG-2 INVESTMENTS POWER INCOME FUND CLASS A 0.00 \$2.76 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$283.70 *** BROKER TRANSACTIONS TOTAL *** \$3,583,701.39 \$2,871.40 *** BROKER TRANSACTIONS TOTAL *** \$0.00 **E & 0 INSURANCE: CURRENT MONTH 0.00 *MARKETING LIBRARY: MARKETING 0.00 *PERSHING: BOOKS AND RECORDS 0.00 *TECHNOLOGY: ADMIN - KAY BREEDLOVE 0.00 *TECHNOLOGY: ADMIN - LESLIE DALY 0.00 *TECHNOLOGY: ADMIN - LESLIE DALY 0.00 *TECHNOLOGY: ADMIN - RHODA 0.00	MITH, JESSE PIMCO INVESTMENT GRADE CORP BOND A 0.00 2.23 90.0 MITH, BETTY PIMCO INVESTMENT GRADE CORP BOND A 0.00 6.13 90.0 ADDRET TRUST PIMCO INVESTMENT GRADE CORP BOND A 0.00 43.38 90.0 MITH, JESSE PIMCO TOTAL RETURN A 0.00 2.16 90.0 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 90.0 MITH, BETTY PIMCO TOTAL RETURN A 0.00 2.76 90.0 **MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$283.70 **** BROKER TRANSACTIONS TOTAL *** *3,583,701.39 \$2,871.40 **ADJUSTMENTS **CONCIERGE SVC: 193971 GONZALES 0.00 **MARKETING LIBRARY: MARKETING 0.00 **MARKETING LIBRARY: MARKETING 0.00 **TECH: ALBRIDGE FULL 0.00 **TECHOLOGY: ADMIN - KAY BREEDLOVE 0.00 **TECHNOLOGY: ADMIN - KAY BREEDLOVE 0.00 **TECHNOLOGY: ADMIN - RHODA 0.00	MITH, JESSE PIMCO INVESTMENT GRADE CORP BOND A 0.00 2.23 90.0 2.01 MITH, BETTY PIMCO INVESTMENT GRADE CORP BOND A 0.00 6.13 90.0 5.52 ADNER TRUST PIMCO INVESTMENT GRADE CORP BOND A 0.00 43.38 90.0 39.04 MITH, JESSE PIMCO TOTAL RETURN A 0.00 2.16 90.0 1.94 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 90.0 5.34 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 90.0 5.34 MAPG-2 INVESTMENTS POWER INCOME FUND CLASS A 0.00 2.76 90.0 2.48 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$283.70 \$255.33 **** BROKER TRANSACTIONS TOTAL *** \$3,583,701.39 \$2,871.40 \$2,584.31 **** BROKER TRANSACTIONS TOTAL *** \$0.00 **E & O INSURANCE: CURRENT MONTH 0.00 *MARKETING LIBRARY: MARKETING 0.00 **PERSHING: BOOKS AND RECORDS 0.00 *TECH: ALBRIDGE FULL 0.00 *TECHNOLOGY: ADMIN - KAY BREEDLOVE 0.00 *TECHNOLOGY: ADMIN - LESLIE DALY 0.00 *TECHNOLOGY: ADMIN - RHODA 0.00	MITH, JESSE PIMCO INVESTMENT GRADE CORP BOND A 0.00 2.23 90.0 2.01 0.00 MITH, BETTY PIMCO INVESTMENT GRADE CORP BOND A 0.00 6.13 90.0 5.52 0.00 ADNER TRUST PIMCO INVESTMENT GRADE CORP BOND A 0.00 43.38 90.0 39.04 0.00 MITH, JESSE PIMCO TOTAL RETURN A 0.00 2.16 90.0 1.94 0.00 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 90.0 5.34 0.00 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 90.0 5.34 0.00 MITH, BETTY PIMCO TOTAL RETURN A 0.00 5.93 90.0 5.34 0.00 MITH, BETTY PIMCO TOTAL RETURN A 0.00 \$2.76 90.0 2.48 0.00 MITH, BETTY POWER INCOME FUND CLASS A 0.00 \$2.83.70 \$255.33 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL *** \$0.00 \$283.70 \$255.33 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL *** \$3,583,701.39 \$2,871.40 \$2,584.31 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL *** \$0.00 \$283.70 \$255.33 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL *** \$0.00 \$283.70 \$2.584.31 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL *** \$0.00 \$283.70 \$2.584.31 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL *** \$0.00 \$2.83.70 \$2.871.40 \$2.584.31 \$0.00 MITH, BETTY POWER TRANSACTIONS TOTAL ***

\$0.00

\$0.00

\$-1,050.97

\$0.00 \$-1,050.97

*** ADJUSTMENTS TOTAL ***

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS \$2,584.31 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions \$-1,050.97 Adjustments Payroll Draw \$0.00 \$0.00 Base Salary \$-402.10 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$1,131.24 Check Amount \$28,332.20 Year-to-date Gross Year-to-date Earnings \$25,455.06