



Concorde Investment Services, LLC

01/29/2018

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COMMISSION STATEMENT**January 31, 2018**BARTHOLOMEW MACKLER
1359 W. Fairview LaneBROKER #: M121 Terminated 11/24/2017
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
01/07/2018	LAW, KEVIN	ALLIANZ FIXED INDEXED ANNUITY	0.00	112.13	0.00	112.13	0.0	0.00
* FIXED INDEX ANNUITIES SUBTOTAL *			\$0.00	\$112.13	\$0.00	\$112.13		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/18/2018	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	1.00	0.00	1.00	0.0	0.00
01/16/2018	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	0.0	0.00
01/19/2018	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	0.0	0.00
01/16/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	0.00
01/18/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	0.00
01/18/2018	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.00	0.00	2.00	0.0	0.00
01/18/2018	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	0.00
01/19/2018	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	0.0	0.00
01/18/2018	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	0.0	0.00
01/18/2018	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	0.0	0.00
* 529 PLANS SUBTOTAL *			\$550.00	\$11.00	\$0.00	\$11.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/08/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	0.0	0.00
01/16/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$12.50	\$0.00	\$12.50		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/13/2018	SANDERS, OLIVER	AM GEN POLARIS CHOICE III	59,268.53	148.17	0.00	148.17	0.0	0.00
01/02/2018	FOXWORTH, ROBERT	BRIGHTHOUSE	82,515.19	206.29	0.00	206.29	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$141,783.72	\$354.46	\$0.00	\$354.46		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/16/2018	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$65.00	\$0.65	\$0.00	\$0.65		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/04/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	19.72	0.00	19.72	0.0	0.00
01/04/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.68	0.00	8.68	0.0	0.00
01/04/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	8.62	0.00	8.62	0.0	0.00
01/02/2018	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.50	0.00	4.50	0.0	0.00
01/02/2018	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	13.39	0.00	13.39	0.0	0.00
01/02/2018	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	11.21	0.00	11.21	0.0	0.00
01/02/2018	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND A	0.00	3.70	0.00	3.70	0.0	0.00
01/02/2018	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	2.87	0.00	2.87	0.0	0.00
01/02/2018	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	21.17	0.00	21.17	0.0	0.00
01/02/2018	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.52	0.00	1.52	0.0	0.00
01/02/2018	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	7.47	0.00	7.47	0.0	0.00
01/02/2018	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.93	0.00	0.93	0.0	0.00
01/02/2018	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.67	0.00	4.67	0.0	0.00
01/02/2018	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	16.08	0.00	16.08	0.0	0.00
01/02/2018	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	9.19	0.00	9.19	0.0	0.00
01/02/2018	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	15.58	0.00	15.58	0.0	0.00
01/02/2018	NICHOLS, THOMAS	DAVIS OPPORTUNITY A	0.00	9.73	0.00	9.73	0.0	0.00
01/16/2018	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	14.52	0.00	14.52	0.0	0.00
01/16/2018	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	22.06	0.00	22.06	0.0	0.00
01/16/2018	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.97	0.00	5.97	0.0	0.00
01/16/2018	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	15.86	0.00	15.86	0.0	0.00
01/16/2018	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.39	0.00	6.39	0.0	0.00
01/16/2018	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.67	0.00	2.67	0.0	0.00
01/16/2018	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	12.02	0.00	12.02	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.49	0.00	1.49	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	6.40	0.00	6.40	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.36	0.00	1.36	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	8.08	0.00	8.08	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.30	0.00	2.30	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.62	0.00	1.62	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/02/2018	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.79	0.00	2.79	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	0.98	0.00	0.98	0.0	0.00
01/02/2018	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	2.07	0.00	2.07	0.0	0.00
01/03/2018	MACKLER, PATRICK	TRANSAMERICA AA MODERATE A	0.00	1.18	0.00	1.18	0.0	0.00
01/03/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.70	0.00	9.70	0.0	0.00
01/03/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.55	0.00	31.55	0.0	0.00
01/03/2018	MACKLER, PATRICK	TRANSAMERICA MULTI MGR INTL A	0.00	1.01	0.00	1.01	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$309.05	\$0.00	\$309.05		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/01/2018	MARKEL, DENNIS	MFS GROWTH A	0.00	23.24	0.00	23.24	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$23.24	\$0.00	\$23.24		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$142,698.72	\$823.03	\$0.00	\$823.03		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,619.31
Year-to-date Earnings	\$792.37

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