



Concorde Investment Services, LLC

01/27/2017  
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# COMMISSION STATEMENT

January 31, 2017

THOMAS SCHNEIDER  
3390 E. Smith Road

BROKER #: S116 Terminated 12/31/2015  
BRANCH: THOMAS SCHNEIDER

Medina, OH 44256

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
01/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	0.0	0.00
01/05/2017	SHIELDS, GERALD	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.79	0.00	3.79	0.0	0.00
01/05/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	5.69	0.00	5.69	0.0	0.00
01/01/2017	HAUMESSER, WILLIAM	INVESCO GROWTH AND INCOME A	0.00	14.53	0.00	14.53	0.0	0.00
01/03/2017	BLACKBURN, GLORIA	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	1.62	0.00	1.62	0.0	0.00
01/03/2017	CHIDSEY, DARRYL	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	10.62	0.00	10.62	0.0	0.00
01/03/2017	MARVIN, SAMANTHA	MFS CORE EQUITY A	0.00	1.06	0.00	1.06	0.0	0.00
01/03/2017	AUMAN, ABBY G M	MFS CORE EQUITY A	0.00	1.08	0.00	1.08	0.0	0.00
01/03/2017	MELLERT, BETH	MFS CORE EQUITY C	0.00	11.34	0.00	11.34	0.0	0.00
01/03/2017	BLACKBURN, W ALLEN	MFS CORE EQUITY C	0.00	5.31	0.00	5.31	0.0	0.00
01/03/2017	AUMAN, ABBY G M	MFS GLOBAL GROWTH A	0.00	1.26	0.00	1.26	0.0	0.00
01/03/2017	BONFIGLIO, DANIEL	MFS GROWTH A	0.00	7.41	0.00	7.41	0.0	0.00
01/03/2017	WRIGHT, JAMES	MFS GROWTH A	0.00	1.22	0.00	1.22	0.0	0.00
01/03/2017	O'CONNOR, CYNTHIA	MFS GROWTH A	0.00	2.80	0.00	2.80	0.0	0.00
01/03/2017	MARVIN, SAMANTHA	MFS GROWTH A	0.00	1.94	0.00	1.94	0.0	0.00
01/03/2017	AUMAN, ABBY G M	MFS GROWTH A	0.00	1.93	0.00	1.93	0.0	0.00
01/03/2017	MELLERT, BETH	MFS GROWTH C	0.00	9.84	0.00	9.84	0.0	0.00
01/03/2017	BLACKBURN, W ALLEN	MFS GROWTH C	0.00	4.39	0.00	4.39	0.0	0.00
01/03/2017	WEIDRICK, RUSSELL	MFS GROWTH C	0.00	8.42	0.00	8.42	0.0	0.00
01/03/2017	PASEK, KEVIN	MFS MASS INVESTORS GROWTH STOCK A	0.00	3.19	0.00	3.19	0.0	0.00
01/03/2017	PASEK, CHRISTINE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.18	0.00	1.18	0.0	0.00
01/03/2017	PASEK, CHRISTINE	MFS MASS INVESTORS GROWTH STOCK A	0.00	10.47	0.00	10.47	0.0	0.00
01/03/2017	MELLERT, BETH	MFS MASS INVESTORS GROWTH STOCK C	0.00	11.60	0.00	11.60	0.0	0.00
01/03/2017	BLACKBURN, W ALLEN	MFS MASS INVESTORS GROWTH STOCK C	0.00	5.20	0.00	5.20	0.0	0.00
01/03/2017	MELLERT, BETH	MFS MIDCAP GROWTH C	0.00	7.96	0.00	7.96	0.0	0.00
01/03/2017	BLACKBURN, W ALLEN	MFS MIDCAP GROWTH C	0.00	3.52	0.00	3.52	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/03/2017	KUSNERAK, SYLVIA	MFS MODERATE ALLOCATION A	0.00	22.98	0.00	22.98	0.0	0.00
01/03/2017	WEIDRICK, RUSSELL	MFS NEW DISCOVERY C	0.00	8.83	0.00	8.83	0.0	0.00
01/03/2017	WRIGHT, JAMES	MFS RESEARCH A	0.00	2.55	0.00	2.55	0.0	0.00
01/03/2017	MARVIN, SAMANTHA	MFS RESEARCH INTL A	0.00	1.11	0.00	1.11	0.0	0.00
01/03/2017	MELLERT, BETH	MFS RESEARCH INTL C	0.00	12.30	0.00	12.30	0.0	0.00
01/03/2017	BLACKBURN, W ALLEN	MFS RESEARCH INTL C	0.00	5.89	0.00	5.89	0.0	0.00
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.00
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00
01/03/2017	SONDLES HOLMAN, KAREN	PUTNAM GLOBAL HEALTH CARE A	0.00	18.58	0.00	18.58	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME A	0.00	3.01	0.00	3.01	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME A	0.00	0.70	0.00	0.70	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME B	0.00	4.95	0.00	4.95	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME B	0.00	2.81	0.00	2.81	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM INTERNATIONAL GROWTH-A	0.00	2.06	0.00	2.06	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM INTERNATIONAL GROWTH-A	0.00	2.42	0.00	2.42	0.0	0.00
01/03/2017	JOHNSON, DANIEL	PUTNAM INTERNATIONAL GROWTH-A	0.00	3.10	0.00	3.10	0.0	0.00
01/03/2017	MILLER, BRADLEY	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.61	0.00	0.61	0.0	0.00
01/03/2017	MILLER, BRIANNA	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.09	0.00	0.09	0.0	0.00
01/03/2017	MILLER, SARAH	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.61	0.00	0.61	0.0	0.00
01/03/2017	MILLER, BRADLEY	PUTNAM INVESTORS A	0.00	0.63	0.00	0.63	0.0	0.00
01/03/2017	MILLER, BRIANNA	PUTNAM INVESTORS A	0.00	0.09	0.00	0.09	0.0	0.00
01/03/2017	MILLER, SARAH	PUTNAM INVESTORS A	0.00	0.63	0.00	0.63	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	7.33	0.00	7.33	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	2.20	0.00	2.20	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	2.67	0.00	2.67	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	5.59	0.00	5.59	0.0	0.00
01/03/2017	LOWE, SARAH	PUTNAM MULTI CAP GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
01/03/2017	EAST, DENISE	PUTNAM MULTI CAP GROWTH A	0.00	0.90	0.00	0.90	0.0	0.00
01/03/2017	JOHNSON, DANIEL	PUTNAM MULTI CAP GROWTH A	0.00	3.63	0.00	3.63	0.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/03/2017	BABIN, WILLIAM	PUTNAM MULTI CAP GROWTH A	0.00	46.01	0.00	46.01	0.0	0.00
01/03/2017	LOWE, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	0.12	0.00	0.12	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	0.00	4.99	0.00	4.99	0.0	0.00
01/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	0.00	2.95	0.00	2.95	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$313.39	\$0.00	\$313.39		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$100.00	\$313.39	\$0.00	\$313.39		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$429.66
Year-to-date Earnings	\$0.00

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