



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
Page No. 1

WENDY GOODING
4139 S. Scatterfield Rd.

Anderson, IN 46013

BROKER #: G121 Terminated 01/12/2016
BRANCH: WENDY GOODING

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 07/17/2017 | WALLACE, STEVEN | JP MORGAN INVESTOR GRTH & INC C | 100.00 | 1.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$100.00 | \$1.00 | \$0.00 | \$1.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 07/18/2017 | SCOTT, NATALIE | JP MORGAN INVESTOR GROWTH & INCOME | 0.00 | 9.39 | 0.00 | 9.39 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$9.39 | \$0.00 | \$9.39 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$100.00 | \$10.39 | \$0.00 | \$10.39 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|---------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$44.48 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed