



COMMISSION STATEMENT

June 11, 2018

STANLEY (DARRELL) KEATHLEY
200 Prosperity Drive
Suite 133
Knoxville, TN 37923

BROKER #: B07
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/04/2018	***-**-20IR - PFAFF, SHEILA	CAPITAL INCOME BUILDER-A	37,914.70	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011999	SELL 623 Units @60.9000						
06/04/2018	***-**-814I - SELVIDGE, SHELIA	CAPITAL INCOME BUILDER-A	8,999.98	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012009	SELL 148 Units @60.9000						
06/06/2018	***-**-20IR - PFAFF, SHEILA	TRS SMALL/MID CAP VALUE A NFS	25,200.00	1,008.00	18.00	990.00	80.0	792.00
	ACCOUNT #: LSR011999	BUY 861 Units @29.2600						
06/06/2018	***-**-20IR - PFAFF, SHEILA	VICTORY RS SMALL CAP GROWTH FUND CL	15,300.03	612.00	18.00	594.00	80.0	475.20
	ACCOUNT #: LSR011999	BUY 154 Units @99.4600						
06/06/2018	***-**-814I - SELVIDGE, SHELIA	VICTORY RS SMALL CAP GROWTH FUND CL	8,999.97	450.00	18.00	432.00	80.0	345.60
	ACCOUNT #: LSR012009	BUY 89 Units @100.7700						
* MUTUAL FUNDS SUBTOTAL *			\$96,414.68	\$2,070.00	\$90.00	\$1,980.00		\$1,576.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/04/2018	***-**-20IR - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	30,000.01	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011999	SELL 1704 Units @17.6100						
06/06/2018	***-**-20IR - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	27,400.00	1,096.00	0.00	1,096.00	80.0	876.80
	ACCOUNT #: LSR011999	BUY 1457 Units @18.8000						
06/11/2018	***-**-820I - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	3.19	0.00	3.19	80.0	2.55
	ACCOUNT #: LSR011998	BUY 1 Units @0.0000						
06/11/2018	***-**-20IR - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	9.27	0.00	9.27	80.0	7.42
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
06/11/2018	***-**-820J - PFAFF, ROBERT	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	5.80	0.00	5.80	80.0	4.64
	ACCOUNT #: LSR012004	BUY 1 Units @0.0000						
06/11/2018	***-**-397O - BISHOP, RICHARD	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	3.34	0.00	3.34	80.0	2.67
	ACCOUNT #: LSR012040	BUY 1 Units @0.0000						
06/11/2018	***-**-29IR - CLARK, RONALD	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	5.36	0.00	5.36	80.0	4.29
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
06/11/2018	***-**-926I - VONSTEMPA, JOYCE	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	2.84	0.00	2.84	80.0	2.27
	ACCOUNT #: LSR012180	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$57,400.01	\$1,125.80	\$0.00	\$1,125.80		\$900.64
*** BROKER TRANSACTIONS TOTAL ***			\$153,814.69	\$3,195.80	\$90.00	\$3,105.80		\$2,477.44



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,477.44
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$25.17
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,502.61
						Year-to-date Earnings		\$22,969.67