

**COMMISSION STATEMENT****March 31, 2018**JEFFREY, K119 KAUFMAN
801 Gray Oak DriveBROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
03/13/2018	BROCKSMITH, RACHEL	AMERICAN FUNDS	1,920.21	2.37	0.00	2.37	90.0	2.13
03/13/2018	MEDLEY, LISA	AMERICAN FUNDS	937.66	1.16	0.00	1.16	90.0	1.04
03/13/2018	MEDLEY, LISA	AMERICAN FUNDS	876.70	1.08	0.00	1.08	90.0	0.97
03/02/2018	MEDLEY, LISA	TD AMERITRADE	312,910.38	325.77	0.00	325.77	90.0	293.19
03/02/2018	ELDER, RONALD	TD AMERITRADE	325,530.83	285.40	0.00	285.40	90.0	256.86
* RIA SUBTOTAL *			\$642,175.78	\$615.78	\$0.00	\$615.78		\$554.19
*** DIRECT TRANSACTIONS TOTAL ***			\$642,175.78	\$615.78	\$0.00	\$615.78		\$554.19

BROKER COMMISSION TOTALS

Broker Transactions	\$554.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$554.19
Year-to-date Gross	\$15,234.68
Year-to-date Earnings	\$13,711.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed