



COMMISSION STATEMENT

January 15, 2018

BARRY BRESIN11 Walnut Hill Drive
Penfield, NY 14526**BROKER #: 9**

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
01/15/2018	***-**-6421 - BRESIN, ROBIN	NATIONWIDE BOA LIFE PLAN SERIES	0.00	9.22	0.00	9.22	80.0	7.38
	ACCOUNT #: N100026420	BUY 1 Units @0.0000						
		* LIFE INSURANCE SUBTOTAL *	\$0.00	\$9.22	\$0.00	\$9.22		\$7.38
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-459I - MONSON, DAVID	CAPITAL APPRECIATION A	0.00	1.27	0.00	1.27	80.0	1.02
	ACCOUNT #: 03209641225	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	CAPITAL APPRECIATION B	0.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03214417611	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	DISCOVERY FUND N	0.00	8.22	0.00	8.22	80.0	6.58
	ACCOUNT #: 05030064900	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	EQUITY INCOME FUND, INC. A	0.00	25.79	0.00	25.79	80.0	20.63
	ACCOUNT #: 08352726779	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	EQUITY INCOME FUND, INC. A	0.00	7.96	0.00	7.96	80.0	6.37
	ACCOUNT #: 08353042325	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	EQUITY INCOME FUND, INC. B	0.00	3.63	0.00	3.63	80.0	2.90
	ACCOUNT #: 08360372141	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	GLOBAL OPPORTUNITIES A	0.00	33.04	0.00	33.04	80.0	26.43
	ACCOUNT #: 02156718118	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	GLOBAL OPPORTUNITIES A	0.00	7.24	0.00	7.24	80.0	5.79
	ACCOUNT #: 02157358560	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	GLOBAL OPPORTUNITIES B	0.00	5.11	0.00	5.11	80.0	4.09
	ACCOUNT #: 02163199603	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	GLOBAL OPPORTUNITIES B	0.00	1.37	0.00	1.37	80.0	1.10
	ACCOUNT #: 02163269486	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	INTERNATIONAL BOND A	0.00	2.03	0.00	2.03	80.0	1.62
	ACCOUNT #: 08810284076	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	INTERNATIONAL BOND FUND N	0.00	1.47	0.00	1.47	80.0	1.18
	ACCOUNT #: 08790188760	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	INTERNATIONAL GROWTH FUND A	0.00	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 08259643414	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	INTERNATIONAL SMALL COMPANY FUND N	0.00	14.30	0.00	14.30	80.0	11.44
	ACCOUNT #: 08180086526	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-459I - MONSON, DAVID	MAIN STREET FUND N	0.00	4.63	0.00	4.63	80.0	3.70
	ACCOUNT #: 07030194524	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	MAIN STREET SMALL CAP FUND N	0.00	9.46	0.00	9.46	80.0	7.57
	ACCOUNT #: 08510229768	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	OPP PORT SERIES: ACTIVE ALLOC A	0.00	22.41	0.00	22.41	80.0	17.93
	ACCOUNT #: 05502613248	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	OPP PORT SERIES: MOD INV FD A	0.00	20.40	0.00	20.40	80.0	16.32
	ACCOUNT #: 05451268630	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	OPP PORT SERIES: MOD INV FD B	0.00	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: 05460141779	BUY 0 Units @0.0000						
12/31/2017	***-**-84JT - BECKER, SHERMAN	OPP ROCHSTR SH TRM MUNI FND A	0.00	62.70	0.00	62.70	80.0	50.16
	ACCOUNT #: 06210047762	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	2.55	0.00	2.55	80.0	2.04
	ACCOUNT #: 07860596762	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	OPPENHEIMER DISCOVERY CLASS A	0.00	4.08	0.00	4.08	80.0	3.26
	ACCOUNT #: 05005888982	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	OPPENHEIMER GOLD & SPC MINERALS FUN	0.00	3.87	0.00	3.87	80.0	3.10
	ACCOUNT #: 04130157542	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.67	0.00	1.67	80.0	1.34
	ACCOUNT #: 02306892999	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 01911535798	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 02203614732	BUY 0 Units @0.0000						
12/31/2017	***-**-196I - BRESIN, JANET	PORT SERIES: EQUITY INV FD A	0.00	31.62	0.00	31.62	80.0	25.30
	ACCOUNT #: 05550336127	BUY 0 Units @0.0000						
12/31/2017	***-**-513I - CLEMENT, SANDRA	PORTFOLIO SERIES: ACTIVE ALLOCATION	0.00	3.45	0.00	3.45	80.0	2.76
	ACCOUNT #: 05510782067	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	QUEST BALANCED A	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 02576958699	BUY 0 Units @0.0000						
12/31/2017	***-**-595P - MONSON, DAVID	REAL ESTATE FUND A	0.00	0.57	0.00	0.57	80.0	0.46
	ACCOUNT #: 05901279091	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-459I - MONSON, DAVID	REAL ESTATE FUND B	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 05910231187	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	REAL ESTATE FUND N	0.00	2.62	0.00	2.62	80.0	2.10
	ACCOUNT #: 05930110968	BUY 0 Units @0.0000						
12/31/2017	***-**-13IN - CLEMENT, SANDRA	ROCHESTER FUND MUNICIPALS A	0.00	3.86	0.00	3.86	80.0	3.09
	ACCOUNT #: 03652981220	BUY 0 Units @0.0000						
12/31/2017	***-**-196J - TEN WROS NOT TC,	ROCHESTER FUND MUNICIPALS A	0.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 03653211373	BUY 0 Units @0.0000						
12/31/2017	***-**-7195 - SHARON M	ROCHESTER FUND MUNICIPALS A	0.00	8.75	0.00	8.75	80.0	7.00
	ACCOUNT #: 03653214959	BUY 0 Units @0.0000						
12/31/2017	***-**-7197 - LYNN M ABRAHAM,	ROCHESTER FUND MUNICIPALS A	0.00	8.75	0.00	8.75	80.0	7.00
	ACCOUNT #: 03653225497	BUY 0 Units @0.0000						
12/31/2017	***-**-459I - MONSON, DAVID	U.S. GOVERNMENT TRUST B	0.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 02211138830	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$311.88	\$0.00	\$311.88		\$249.54
	*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$321.10	\$0.00	\$321.10		\$256.92



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$256.92
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$256.92
Year-to-date Earnings								\$5,323.65