

All Companies
STATEMENT
March 20, 2018

DENNIS JORDAN

BROKER #: 272
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS JORDAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/09/2018	#00088285586 MCNEILANDR	AMCAP FUND INC CLASS A	390.38	10.74	85.0	0.00	9.13	0.00	9.13
02/09/2018	#00088285586 MCNEILANDR	AMERICAN BALANCED FUND INC CLASS A	300.00	8.25	85.0	0.00	7.01	0.00	7.01
02/09/2018	#00088285586 MCNEILANDR	FUNDAMENTAL INVESTORS INC CLASS A	400.00	11.00	85.0	0.00	9.35	0.00	9.35
02/09/2018	#00088285586 MCNEILANDR	NEW PERSPECTIVE FUND INC CL A	300.00	8.25	85.0	0.00	7.01	0.00	7.01
02/12/2018	#00089576353 MARSWENDY	AMERICAN BALANCED FUND INC CLASS A	50.00	2.50	85.0	0.00	2.13	0.00	2.13
02/12/2018	#00089576353 MARSWENDY	FUNDAMENTAL INVESTORS INC CLASS A	50.00	2.50	85.0	0.00	2.13	0.00	2.13
02/20/2018	# GRIFFITH-REECE, WENDY	EATON VANCE WORLDWIDE HEALTH SCIENC	2,900.00	145.00	85.0	0.00	123.25	20.00	103.25
02/20/2018	#00089580827 AKINS-ATEW	NEW WORLD FUND INC NEW	75.00	3.75	85.0	0.00	3.19	0.00	3.19
* MUTUAL FUNDS SUBTOTAL *			\$4,465.38	\$191.99		\$0.00	\$163.20	\$20.00	\$143.20
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/30/0201	#16097302 GRIFFITH-REECE,	NATIONWIDE DESTINATION	500.00	10.50	85.0	0.00	8.93	0.00	8.93
* VARIABLE ANNUITIES SUBTOTAL *			\$500.00	\$10.50		\$0.00	\$8.93	\$0.00	\$8.93
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	1.51	85.0	0.00	1.28	0.00	1.28
02/09/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	2.30	85.0	0.00	1.96	0.00	1.96
02/09/2018	# JORDAN, EDWINA	DAIN TRAILER	0.00	5.51	85.0	0.00	4.68	0.00	4.68
02/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	1.73	85.0	0.00	1.47	0.00	1.47
02/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	18.53	85.0	0.00	15.75	0.00	15.75
02/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	27.16	85.0	0.00	23.09	0.00	23.09
02/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	25.69	85.0	0.00	21.84	0.00	21.84
02/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	10.81	85.0	0.00	9.19	0.00	9.19
02/09/2018	# REECE, CARLISLE	DAIN TRAILER	0.00	23.92	85.0	0.00	20.33	0.00	20.33
02/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	16.01	85.0	0.00	13.61	0.00	13.61
02/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	11.00	85.0	0.00	9.35	0.00	9.35
02/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	15.49	85.0	0.00	13.17	0.00	13.17
02/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	2.96	85.0	0.00	2.52	0.00	2.52
02/09/2018	# GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.83	85.0	0.00	1.56	0.00	1.56

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/09/2018 # GRIFFITH-REECE, WENDY	DAIN TRAILER	0.00	1.47	85.0	0.00	1.25	0.00	1.25
02/20/2018 # JORDAN, EDWINA	DAIN TRAILER	3,000.00	0.00	85.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$3,000.00	\$165.92		\$0.00	\$141.05	\$0.00	\$141.05
*** BROKER TRANSACTIONS TOTAL ***		\$7,965.38	\$368.41		\$0.00	\$313.18	\$20.00	\$293.18

ADJUSTMENTS

03/20/2018	ADMIN FEE: ADMIN FEE	-326.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-249.00
*** ADJUSTMENTS TOTAL ***		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$293.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-91.35
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-373.17
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