

Bill Payment Stub

Check Date:	6/7/2018
Check No.:	ACH
Check Amount:	492.71

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: TOM BRISTER
32180 INVERNESS DR.
EVERGREEN, CO 80439

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/31/2018	Bill	COMMISSIONS	732.71	732.71		732.71
6/1/2018	Credit	E&O	-120.00	-120.00		-120.00
6/1/2018	Credit	E&O-ALEX BRISTER	-120.00	-120.00		-120.00

COMMISSION STATEMENT May 31, 2018

TOM BRISTER-D011

BROKER #: D011

BRANCH: DMD

, TX

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/22/2018 368429987	HUGHES, CHARLES	MF FIRST EAGLE GLOBAL FUND CLASS C	S	-11,152.18	0.00	5.00	-5.00	87.5	-4.38
05/22/2018 368429987	HUGHES, CHARLES	MF JP MORGAN INCOME BUILDER FD CL	S	-12,248.11	0.00	5.00	-5.00	87.5	-4.38
05/22/2018 368429987	HUGHES, CHARLES	MF TEMPLETON GLOBAL TOTAL RETURN	S	-6,933.68	0.00	5.00	-5.00	87.5	-4.38
* MUTUAL FUNDS SUBTOTAL *				\$-30,333.97	\$0.00	\$15.00	\$-15.00		\$-13.14
<u>PRODUCT CATEGORY: STOCKS</u>									
05/11/2018 154105444	BAKER, CLAUDE	S COHEN & STEERS REIT AND	B	5,096.36	75.00	15.00	60.00	87.5	52.50
05/17/2018 368434427	BRISTER, THOMAS	S WILLIAMS PARTNERS LP NEW	S	-17,739.34	15.00	15.00	0.00	87.5	0.00
05/17/2018 676424960	BRISTER, THOMAS	S WILLIAMS PARTNERS LP NEW	S	-19,892.51	15.00	15.00	0.00	87.5	0.00
05/18/2018 677639743	GRAHAM, DAVID	S WILLIAMS PARTNERS LP NEW	S	-10,921.34	15.00	15.00	0.00	87.5	0.00
* STOCKS SUBTOTAL *				\$-43,456.83	\$120.00	\$60.00	\$60.00		\$52.50
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
05/23/2018 a03564136	BRISTER-D011, TOM	MI MUTUAL FUND MISC FEE 368429987	S	0.00	15.00	0.00	15.00	87.5	13.13
05/23/2018 a03564136	BRISTER-D011, TOM	MI MUTUAL FUND MISC FEE 368429987	S	0.00	15.00	0.00	15.00	87.5	13.13
05/23/2018 a03564136	BRISTER-D011, TOM	MI MUTUAL FUND MISC FEE 368429987	S	0.00	15.00	0.00	15.00	87.5	13.13
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$45.00	\$0.00	\$45.00		\$39.39
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/21/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	0.61	0.00	0.61	87.5	0.53
05/21/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION A	B	0.00	12.78	0.00	12.78	87.5	11.18
05/21/2018 mfc564136	BRISTER T 564136	MFT ALLIANZGI GLOBAL ALLOCATION C	B	0.00	51.29	0.00	51.29	87.5	44.88
05/21/2018 mfc564136	BRISTER T 564136	MFT FEDERATED EQUITY INCOME FUND	B	0.00	0.37	0.00	0.37	87.5	0.32
05/21/2018 mfc564136	BRISTER T 564136	MFT JP MORGAN INCOME BUILDER FD CL	B	0.00	9.75	0.00	9.75	87.5	8.53
05/21/2018 mfc564136	BRISTER T 564136	MFT PIMCO SHORT TERM FD CL C	B	0.00	7.69	0.00	7.69	87.5	6.73
05/21/2018 mfc564136	BRISTER T 564136	MFT PRINCIPAL INVESTORS SAM CONSERV	B	0.00	3.87	0.00	3.87	87.5	3.39
05/21/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	1.51	0.00	1.51	87.5	1.32
05/21/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.12	0.00	2.12	87.5	1.86
05/21/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	2.12	0.00	2.12	87.5	1.86
05/21/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	22.15	0.00	22.15	87.5	19.38
05/21/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	25.58	0.00	25.58	87.5	22.38
05/21/2018 mfc564136	BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	26.90	0.00	26.90	87.5	23.54

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS GROWTH	B	0.00	50.20	0.00	50.20	87.5	43.93
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.07	0.00	2.07	87.5	1.81
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	2.49	0.00	2.49	87.5	2.18
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	3.53	0.00	3.53	87.5	3.09
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	38.78	0.00	38.78	87.5	33.93
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	57.78	0.00	57.78	87.5	50.56
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	59.76	0.00	59.76	87.5	52.29
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	99.80	0.00	99.80	87.5	87.33
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS EQTY GROWTH	B	0.00	1.83	0.00	1.83	87.5	1.60
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	22.65	0.00	22.65	87.5	19.82
05/21/2018	mfc564136 BRISTER T 564136	MFT RUSSELL LIFEPOINTS MOD STRATEGY	B	0.00	23.20	0.00	23.20	87.5	20.30
05/21/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	8.16	0.00	8.16	87.5	7.14
05/21/2018	mfc564136 BRISTER T 564136	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	3.66	0.00	3.66	87.5	3.20
05/21/2018	mfc564136 BRISTER T 564136	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	20.14	0.00	20.14	87.5	17.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$560.79	\$0.00	\$560.79		\$490.70
*** CLEARING TRANSACTIONS TOTAL ***				\$-73,790.80	\$725.79	\$75.00	\$650.79		\$569.45

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/15/2018 (None) 0045801164	MF	SCHOLARS CHOICE	B	200.00	6.00	0.00	6.00	87.5	5.25
05/15/2018 00064805200 SCHMIDT,	MF	NEW PERSPECTIVE FUND-A	B	70.00	3.50	0.00	3.50	87.5	3.06
05/15/2018 00064805200 SCHMIDT,	MF	THE INVESTMENT COMPANY OF	B	70.00	3.50	0.00	3.50	87.5	3.06
05/15/2018 00086110559 DRAUGHN, DUSTIN	MF	THE INVESTMENT COMPANY OF	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *				\$640.00	\$24.25	\$0.00	\$24.25		\$21.21

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/15/2018 (None) WOODS	VA	PHOENIX LIFE	B	0.00	6.66	0.00	6.66	87.5	5.83
05/15/2018 (None) GREGERSEN	VA	PHOENIX LIFE	B	0.00	4.00	0.00	4.00	87.5	3.50
05/18/2018 (None) BRISTER, LYDIA	VA	PRUDENTIAL LIFE	B	200.00	10.00	0.00	10.00	87.5	8.75
* VARIABLE ANNUITIES SUBTOTAL *				\$200.00	\$20.66	\$0.00	\$20.66		\$18.08

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/03/2018 08699897631 MOINAT, IRIS	MFT	COLUMBIA TAX-EXEMPT FUND-A	B	0.00	23.09	0.00	23.09	87.5	20.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$23.09	\$0.00	\$23.09		\$20.20

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

05/18/2018 (None) BLACKWELL, JACQU	VAT	AMERITAS	B	0.00	9.48	0.00	9.48	87.5	8.30
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$9.48	\$0.00	\$9.48		\$8.30
*** DIRECT TRANSACTIONS TOTAL ***				\$840.00	\$77.48	\$0.00	\$77.48		\$67.79

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
OVERRIDE TRANSACTIONS										
BROKER: ALEX BRISTER-D010 #14										
05/14/2018	00068781179	GERRIE, SARA	MF	AMCAP FUND-A	B	300.00	8.25	0.00	8.25	3.09
05/15/2018	00083404474	BARNES, WENDY	MF	AMCAP FUND-A	B	500.00	18.75	0.00	18.75	7.03
05/24/2018	368436068	SELDOMRIDGE,	MF	AMCAP FUND-C	S	11,007.45	0.00	5.00	-5.00	-1.88
05/24/2018	368436068	SELDOMRIDGE,	MF	WASHINGTON MUTUAL INVESTORS	S	11,002.45	0.00	5.00	-5.00	-1.88
05/11/2018	676429808	BRISTER, THOMAS	MF	VANGUARD STRAT SM CAP EQ FD INV	S	5,007.45	0.00	2.50	-2.50	-0.94
05/14/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 676429808	S	0.00	5.00	0.00	5.00	1.88
05/25/2018	a03652653	BRISTER-D010, ALEX	MI	MUTUAL FUND MISC FEE 368436068	S	0.00	5.00	0.00	5.00	1.88
05/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZ NFJ INTL VALUE FD CL C	B	0.00	10.28	0.00	10.28	3.86
05/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI GLOBAL ALLOCATION A	B	0.00	2.59	0.00	2.59	0.97
05/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	12.54	0.00	12.54	4.70
05/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI NFJ DIVIDEND VALUE C	B	0.00	33.54	0.00	33.54	12.58
05/21/2018	mfc652653	BRISTER A 652653	MFT	ALLIANZGI SHRT DURATION HIGH	B	0.00	7.97	0.00	7.97	2.99
05/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	0.40	0.00	0.40	0.15
05/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	3.00	0.00	3.00	1.13
05/21/2018	mfc652653	BRISTER A 652653	MFT	AMCAP FUND-A	B	0.00	9.70	0.00	9.70	3.64
05/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.28	0.00	0.28	0.11
05/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.80	0.00	3.80	1.43
05/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.18	0.00	0.18	0.07
05/21/2018	mfc652653	BRISTER A 652653	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.15	0.00	2.15	0.81
05/21/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	3.79	0.00	3.79	1.42
05/21/2018	mfc652653	BRISTER A 652653	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.17	0.00	0.17	0.06
05/21/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	0.32	0.00	0.32	0.12
05/21/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	3.00	0.00	3.00	1.13
05/21/2018	mfc652653	BRISTER A 652653	MFT	FUNDAMENTAL INVESTORS-A	B	0.00	3.37	0.00	3.37	1.26
05/21/2018	mfc652653	BRISTER A 652653	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	2.15	0.00	2.15	0.81
05/21/2018	mfc652653	BRISTER A 652653	MFT	INVESTMENT COMPANY OF AMERICA	B	0.00	5.64	0.00	5.64	2.12
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	0.12	0.00	0.12	0.05
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.22	0.00	1.22	0.46
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.29	0.00	1.29	0.48
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-A	B	0.00	1.79	0.00	1.79	0.67
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-C	B	0.00	2.73	0.00	2.73	1.02
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW PERSPECTIVE FUND-C	B	0.00	26.50	0.00	26.50	9.94
05/21/2018	mfc652653	BRISTER A 652653	MFT	NEW WORLD FUND-A	B	0.00	1.52	0.00	1.52	0.57
05/21/2018	mfc652653	BRISTER A 652653	MFT	PIM 018918664	B	0.00	4.21	0.00	4.21	1.58
05/21/2018	mfc652653	BRISTER A 652653	MFT	PIM 018918664	B	0.00	4.72	0.00	4.72	1.77
05/21/2018	mfc652653	BRISTER A 652653	MFT	PIMCO STOCKSPLUS A	B	0.00	2.45	0.00	2.45	0.92
05/21/2018	mfc652653	BRISTER A 652653	MFT	PIMCO STOCKSPLUS A	B	0.00	7.69	0.00	7.69	2.88
05/21/2018	mfc652653	BRISTER A 652653	MFT	SHORT-TERM BOND FUND OF AMERICA	B	0.00	1.23	0.00	1.23	0.46
05/21/2018	mfc652653	BRISTER A 652653	MFT	SMALLCAP WORLD FUND-A	B	0.00	0.15	0.00	0.15	0.06
05/21/2018	mfc652653	BRISTER A 652653	MFT	SMALLCAP WORLD FUND-A	B	0.00	1.66	0.00	1.66	0.62

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	4.66	0.00	4.66		1.75
05/21/2018	mfc652653 BRISTER A 652653	MFT SMALLCAP WORLD FUND-C	B	0.00	4.66	0.00	4.66		1.75
05/21/2018	mfc652653 BRISTER A 652653	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.45	0.00	5.45		2.04
05/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.66	0.00	1.66		0.62
05/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	2.08	0.00	2.08		0.78
05/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	3.65	0.00	3.65		1.37
05/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	11.31	0.00	11.31		4.24
05/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	0.24	0.00	0.24		0.09
05/21/2018	mfc652653 BRISTER A 652653	MFT THE INVESTMENT COMPANY OF	B	0.00	1.63	0.00	1.63		0.61
05/21/2018	mfc652653 BRISTER A 652653	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.30	0.00	0.30		0.11
* ALEX BRISTER-D010 SUBTOTAL *				\$27,817.35	\$234.79	\$12.50	\$222.29		\$83.38
*** OVERRIDE TRANSACTIONS TOTAL ***				\$27,817.35	\$234.79	\$12.50	\$222.29		\$83.38

ADJUSTMENTS

05/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
05/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
05/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	67.09
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$12.09

BROKER COMMISSION TOTALS

Broker Transactions	\$637.24
Split Transactions	\$0.00
Override Transactions	\$83.38
Adjustments	\$12.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$732.71
Year-to-date Commissions	\$13,661.57