



Concorde Investment Services, LLC

01/12/2018

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COMMISSION STATEMENT**January 15, 2018**THOMAS HALSEY
7261 W. Central Ave.BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/18/2017	LEROUX, LAURA	BLACKROCK 67776G469	1,000.00	35.00	0.00	35.00	85.0	29.75
12/18/2017	BUEHRER, SARAH	BLACKROCK 67776G519	1,500.00	75.00	0.00	75.00	85.0	63.75
* 529 PLANS SUBTOTAL *			\$2,500.00	\$110.00	\$0.00	\$110.00		\$93.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
01/02/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	12.75
12/18/2017	BUEHRER, SARAH	BLACK ROCK 67776G485	1,500.00	75.00	0.00	75.00	85.0	63.75
* MUTUAL FUNDS SUBTOTAL *			\$1,950.00	\$92.50	\$0.00	\$92.50		\$78.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/29/2017	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	965.26	2.41	0.00	2.41	85.0	2.05
12/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	192,250.77	160.21	0.00	160.21	85.0	136.18
12/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	19,354.75	16.13	0.00	16.13	85.0	13.71
12/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	54,590.59	45.49	0.00	45.49	85.0	38.67
12/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	43,169.82	35.97	0.00	35.97	85.0	30.57
12/27/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
12/27/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
12/30/2017	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	93,501.41	233.75	0.00	233.75	85.0	198.69
12/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	135,664.07	113.05	0.00	113.05	85.0	96.09
12/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	111,693.81	93.08	0.00	93.08	85.0	79.12
12/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	84,653.94	70.54	0.00	70.54	85.0	59.96
12/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	241,157.14	200.96	0.00	200.96	85.0	170.82
12/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	103,776.25	86.48	0.00	86.48	85.0	73.51
12/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	145,749.03	121.46	0.00	121.46	85.0	103.24
12/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,866.24	127.39	0.00	127.39	85.0	108.28
12/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	196,423.83	163.69	0.00	163.69	85.0	139.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	249,372.13	207.81	0.00	207.81	85.0	176.64
12/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	137,050.77	114.21	0.00	114.21	85.0	97.08
12/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	180,649.39	150.54	0.00	150.54	85.0	127.96
12/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	112,583.94	93.82	0.00	93.82	85.0	79.75
12/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	97,164.78	80.97	0.00	80.97	85.0	68.82
12/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	91,004.00	75.84	0.00	75.84	85.0	64.46
12/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	141,112.52	117.59	0.00	117.59	85.0	99.95
12/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	68,392.62	56.99	0.00	56.99	85.0	48.44
12/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	29,780.88	24.82	0.00	24.82	85.0	21.10
12/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	228,112.13	190.09	0.00	190.09	85.0	161.58
12/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,088.52	59.24	0.00	59.24	85.0	50.35
12/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,088.52	59.24	0.00	59.24	85.0	50.35
12/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	71,088.52	59.24	0.00	59.24	85.0	50.35
12/20/2017	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	106,721.13	88.93	0.00	88.93	85.0	75.59
12/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	207,342.30	172.79	0.00	172.79	85.0	146.87
12/22/2017	AUGUSTYNYIAK JR, ROGER	TRANSAMERICA LIBERTY	437,861.64	364.88	0.00	364.88	85.0	310.15
12/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	96,052.07	80.04	0.00	80.04	85.0	68.03
12/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	415,386.65	346.16	0.00	346.16	85.0	294.24
12/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	73,309.86	61.09	0.00	61.09	85.0	51.93
12/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	113,092.26	94.24	0.00	94.24	85.0	80.10
12/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	125,427.21	104.52	0.00	104.52	85.0	88.84
12/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	29,477.04	24.56	0.00	24.56	85.0	20.88
12/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	68,687.33	57.24	0.00	57.24	85.0	48.65
12/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,250.33	31.04	0.00	31.04	85.0	26.38
12/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	49,578.97	41.32	0.00	41.32	85.0	35.12
* VARIABLE ANNUITIES SUBTOTAL *			\$4,884,642.42	\$4,236.07	\$0.00	\$4,236.07		\$3,600.66

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/04/2018	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	8.08	0.00	8.08	85.0	6.87
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.78	0.00	3.78	85.0	3.21
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	5.27	0.00	5.27	85.0	4.48
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.79	0.00	6.79	85.0	5.77
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.64	0.00	4.64	85.0	3.94
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.49	0.00	4.49	85.0	3.82

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.47	0.00	6.47	85.0	5.50
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	7.27	0.00	7.27	85.0	6.18
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	9.63	0.00	9.63	85.0	8.19
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.65	0.00	3.65	85.0	3.10
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.53	0.00	5.53	85.0	4.70
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.59	0.00	6.59	85.0	5.60
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.47	0.00	6.47	85.0	5.50
01/02/2018	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	15.40	0.00	15.40	85.0	13.09
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	7.27	0.00	7.27	85.0	6.18
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	7.34	0.00	7.34	85.0	6.24
01/03/2018	FRANTZ, JUDITH	PIIONEER CULLEN VALUE FUND A	0.00	18.27	0.00	18.27	85.0	15.53
01/03/2018	FRANTZ, JUDITH	PIIONEER EMERGING MARKETS A	0.00	2.48	0.00	2.48	85.0	2.11
01/03/2018	FRANTZ, JUDITH	PIIONEER EQUITY INCOME A	0.00	34.38	0.00	34.38	85.0	29.22
01/03/2018	FRANTZ, JUDITH	PIIONEER FUND A	0.00	4.21	0.00	4.21	85.0	3.58
01/03/2018	FRANTZ, JUDITH	PIIONEER GLOBAL EQUITY A	0.00	2.42	0.00	2.42	85.0	2.06
01/03/2018	FRANTZ, JUDITH	PIIONEER GROWTH OPPORTUNITIES A	0.00	4.57	0.00	4.57	85.0	3.88
01/03/2018	FRANTZ, JUDITH	PIIONEER VALUE A	0.00	35.23	0.00	35.23	85.0	29.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$210.23	\$0.00	\$210.23		\$178.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/08/2017	HALSEY, VARIOUS	TOUCHSTONE VA TRAILS	0.00	21.82	0.00	21.82	85.0	18.55
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.82	\$0.00	\$21.82		\$18.55
*** DIRECT TRANSACTIONS TOTAL ***			\$4,889,092.42	\$4,670.62	\$0.00	\$4,670.62		\$3,970.04

ADJUSTMENTS

01/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
01/15/2018	*ALBRIDGE: FULL USER	0.00						-176.00
01/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/15/2018	*TECHNOLOGY: ADMIN - D RYAN 1/3RD	0.00						-43.33
01/15/2018	*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00						-43.33
01/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00

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ADJUSTMENTS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-749.66
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BROKER COMMISSION TOTALS

Broker Transactions	\$3,970.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-749.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,220.38
Year-to-date Gross	\$4,670.62
Year-to-date Earnings	\$3,970.04

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