

**COMMISSION STATEMENT****October 31, 2017****J117-PETE/JOAN JOHNSON/FLAM**

1120 E Long Lake Road

Suite 250

Troy, MI 48085

BROKER #: J117

BRANCH: CIS HOME OFFICE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^10/04/2017 | BACK, JULIE | ALGER LARGECAP GROWTH A | 0.00 | 2.47 | 0.00 | 2.47 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, MARK | ALGER LARGECAP GROWTH A | 0.00 | 8.00 | 0.00 | 8.00 | 0.0 | 0.00 |
| ^10/04/2017 | WILSON, EMILEE | ALGER LARGECAP GROWTH A | 0.00 | 0.03 | 0.00 | 0.03 | 0.0 | 0.00 |
| ^10/04/2017 | DRABCZYK, FRANK | ALGER LARGECAP GROWTH A | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, JENNIFER | ALGER LARGECAP GROWTH A | 0.00 | 1.55 | 0.00 | 1.55 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, JULIE | ALGER LARGECAP GROWTH A | 0.00 | 1.78 | 0.00 | 1.78 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, MARK | ALGER LARGECAP GROWTH B | 0.00 | 1.65 | 0.00 | 1.65 | 0.0 | 0.00 |
| ^10/04/2017 | BACK, JULIE | ALGER MIDCAP GROWTH A | 0.00 | 1.07 | 0.00 | 1.07 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, JULIE | ALGER MIDCAP GROWTH A | 0.00 | 0.78 | 0.00 | 0.78 | 0.0 | 0.00 |
| ^10/04/2017 | BACK, JULIE | ALGER SMALLCAP GROWTH A | 0.00 | 1.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, JENNIFER | ALGER SMALLCAP GROWTH A | 0.00 | 2.67 | 0.00 | 2.67 | 0.0 | 0.00 |
| ^10/04/2017 | FEINBERG, JULIE | ALGER SMALLCAP GROWTH A | 0.00 | 0.73 | 0.00 | 0.73 | 0.0 | 0.00 |
| ^09/30/2017 | HARNE, DEXTL | TOUCHSTONE GROWTH OPP A | 0.00 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| ^09/30/2017 | HARNE, DEXTL | TOUCHSTONE MID CAP GROWTH A | 0.00 | 0.07 | 0.00 | 0.07 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$0.00 | \$22.42 | \$0.00 | \$22.42 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$22.42 | \$0.00 | \$22.42 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |