



COMMISSION STATEMENT

April 16, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	ABBOTT LABORATORIES UNSOLICITED ORD BUY 100 Units @58.6550	5,865.50	75.00	17.00	58.00	85.0	49.30
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	ABBOTT LABORATORIES UNSOLICITED ORD BUY 100 Units @58.6600	5,866.00	75.00	2.00	73.00	85.0	62.05
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	ABBVIE INC COM USD0.01 BUY 200 Units @93.3000	18,660.00	200.00	19.00	181.00	85.0	153.85
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	ALPHABET INC CAP STK CL A BUY 40 Units @1027.4200	41,096.80	425.00	18.00	407.00	85.0	345.95
04/09/2018	***-**-323I - ALTENBERGER, ACCOUNT #: LSR012593	CATERPILLAR INC SELL 185 Units @145.7480	26,963.38	495.00	18.70	476.30	85.0	404.86
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	CATERPILLAR INC SELL 1054 Units @147.5850	155,554.59	1,875.00	36.08	1,838.92	85.0	1,563.08
04/09/2018	***-**-23TR - ALTENBERGER ACCOUNT #: LSR012598	CENTURYLINK INC UNSOLICITED ORDER SELL 16 Units @17.3650	277.84	38.00	15.32	22.68	85.0	19.28
04/09/2018	***-**-23TR - ALTENBERGER ACCOUNT #: LSR012598	EXELON CORP COM SELL 8 Units @38.5400	308.32	38.00	15.16	22.84	85.0	19.41
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	FIRSTENERGY CORP COM SELL 179 Units @34.3900	6,155.81	75.00	18.58	56.42	85.0	47.96
04/09/2018	***-**-23TR - ALTENBERGER ACCOUNT #: LSR012598	FRONTIER COMMUNICATIONS CORP COM NE SELL 3 Units @7.8910	23.67	18.66	15.06	3.60	85.0	3.06
04/10/2018	***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460	GENERAL MOTORS CO COM USD0.01 ISIN BUY 500 Units @38.6100	19,305.00	350.00	25.00	325.00	85.0	276.25
04/10/2018	***-**-361J - COOK, ALAN ACCOUNT #: LSR012544	GENERAL MOTORS CO COM USD0.01 ISIN BUY 500 Units @38.5950	19,297.50	350.00	25.00	325.00	85.0	276.25
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	HANCOCK JOHN PFD INC ME FD III BUY 800 Units @17.6600	14,128.00	150.00	31.00	119.00	85.0	101.15
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	HANCOCK JOHN PFD INCOME FD II COM BUY 100 Units @19.8700	1,987.00	54.00	17.00	37.00	85.0	31.45
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	HANCOCK JOHN PFD INCOME FD II COM BUY 400 Units @19.8790	7,951.60	55.29	8.00	47.29	85.0	40.20
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	HANCOCK JOHN PFD INCOME FD II COM BUY 100 Units @19.8790	1,987.90	6.76	2.00	4.76	85.0	4.05



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
04/11/2018	***-**-012I - ALTENBERGER, ACCOUNT #: LSR012599	HANCOCK JOHN PFD INCOME FD SH BEN I BUY 600 Units @20.4830	12,289.80	150.00	27.00	123.00	85.0	104.55
04/11/2018	***-**-23TR - ALTENBERGER ACCOUNT #: LSR012598	NETFLIX COM INC COM UNSOLICITED ORD BUY 25 Units @305.3100	7,632.75	85.00	18.00	67.00	85.0	56.95
04/11/2018	***-**-23TR - ALTENBERGER ACCOUNT #: LSR012598	NETFLIX COM INC COM UNSOLICITED ORD BUY 100 Units @305.2800	30,528.00	340.00	0.00	340.00	85.0	289.00
04/09/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	SECTOR SPDR TR SHS BEN INT FINANCIA BUY 1000 Units @27.4990	27,499.00	495.00	35.00	460.00	85.0	391.00
04/09/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	SECTOR SPDR TR SHS BEN INT FINANCIA BUY 1000 Units @27.4450	27,445.00	495.00	35.00	460.00	85.0	391.00
04/09/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	SECTOR SPDR TR SHS BEN INT FINANCIA BUY 750 Units @27.5300	20,647.50	400.00	30.00	370.00	85.0	314.50
04/11/2018	***-**-12IN - ALTENBERGER, ACCOUNT #: LSR012600	SECTOR SPDR TR SHS BEN INT FINANCIA BUY 550 Units @27.4950	15,122.25	200.00	26.00	174.00	85.0	147.90
04/11/2018	***-**-12IN - ALTENBERGER, ACCOUNT #: LSR012600	SECTOR SPDR TR SHS BEN INT INDUSTRI BUY 200 Units @73.4550	14,691.00	200.00	19.00	181.00	85.0	153.85
04/11/2018	***-**-323I - ALTENBERGER, ACCOUNT #: LSR012593	SECTOR SPDR TR SHS BEN INT TECHNOLO BUY 300 Units @65.7350	19,720.50	200.00	21.00	179.00	85.0	152.15
04/11/2018	***-**-12IN - ALTENBERGER, ACCOUNT #: LSR012600	SECTOR SPDR TR SHS BEN INT TECHNOLO BUY 225 Units @65.7470	14,793.08	200.00	19.50	180.50	85.0	153.43
04/11/2018	***-**-12IN - ALTENBERGER, ACCOUNT #: LSR012600	SELECT SECTOR SPDR TR CONSUMER DISC BUY 150 Units @101.8800	15,282.00	200.00	18.00	182.00	85.0	154.70
04/09/2018	***-**-23TR - ALTENBERGER ACCOUNT #: LSR012598	VODAFONE GROUP SPON ADR REP 10 ORD SELL 45 Units @29.0110	1,305.50	51.69	15.90	35.79	85.0	30.42
* STOCKS SUBTOTAL *			\$532,385.29	\$7,297.40	\$547.30	\$6,750.10		\$5,737.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/16/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	2.69	0.00	2.69	85.0	2.29
04/16/2018	***-**-03IR - RHODE, SUSAN ACCOUNT #: LSR012464	CALVERT GLOBAL WATER FUND CLASS A BUY 1 Units @0.0000	0.00	1.72	0.00	1.72	85.0	1.46
04/16/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	CALVERT GLOBAL WATER FUND CLASS A BUY 1 Units @0.0000	0.00	6.61	0.00	6.61	85.0	5.62



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-496I - MCCOY, SHERIANNE	CALVERT GLOBAL WATER FUND CLASS A	0.00	4.60	0.00	4.60	85.0	3.91
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
04/16/2018	***-**-617I - DVIZAC, LORI	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.10	0.00	2.10	85.0	1.79
	ACCOUNT #: LSR012473	BUY 1 Units @0.0000						
04/16/2018	***-**-434I - DVIZAC, ZACK	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.96	0.00	0.96	85.0	0.82
	ACCOUNT #: LSR012474	BUY 1 Units @0.0000						
04/16/2018	***-**-54IO - FRIES, SHARON	CALVERT GLOBAL WATER FUND CLASS A	0.00	5.35	0.00	5.35	85.0	4.55
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
04/16/2018	***-**-03TR - NEAL TRUST,	CALVERT GLOBAL WATER FUND CLASS A	0.00	3.27	0.00	3.27	85.0	2.78
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
04/16/2018	***-**-691I - HEINZ, MARK	CALVERT GLOBAL WATER FUND CLASS A	0.00	7.04	0.00	7.04	85.0	5.98
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						
04/16/2018	***-**-042I - HEINZ, CHERI	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.32	0.00	2.32	85.0	1.97
	ACCOUNT #: LSR012514	BUY 1 Units @0.0000						
04/16/2018	***-**-411I - WURMNEST,	CALVERT GLOBAL WATER FUND CLASS A	0.00	4.69	0.00	4.69	85.0	3.99
	ACCOUNT #: LSR012516	BUY 1 Units @0.0000						
04/16/2018	***-**-902I - WURMNEST, DIANE	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: LSR012517	BUY 1 Units @0.0000						
04/16/2018	***-**-361J - COOK, ALAN	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.82	0.00	2.82	85.0	2.40
	ACCOUNT #: LSR012544	BUY 1 Units @0.0000						
04/16/2018	***-**-720X - COOK, TAMI	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.12	0.00	1.12	85.0	0.95
	ACCOUNT #: LSR012548	BUY 1 Units @0.0000						
04/16/2018	***-**-55IR - WISE, MARY	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.59	0.00	0.59	85.0	0.50
	ACCOUNT #: LSR012558	BUY 1 Units @0.0000						
04/16/2018	***-**-3709 - TILLER, EDWIN	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.78	0.00	1.78	85.0	1.51
	ACCOUNT #: LSR012570	BUY 1 Units @0.0000						
04/16/2018	***-**-141I - ROHMAN, ARTHUR	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.37	0.00	2.37	85.0	2.01
	ACCOUNT #: LSR012574	BUY 1 Units @0.0000						
04/16/2018	***-**-41IR - ROHMAN, ARTHUR	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.55	0.00	1.55	85.0	1.32
	ACCOUNT #: LSR012575	BUY 1 Units @0.0000						
04/16/2018	***-**-447I - HEINZ-OTTE,	DELAWARE EMERGING MARKETS FUND A CL	0.00	6.76	0.00	6.76	85.0	5.75
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						



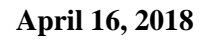
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/16/2018	***-**-54IO - FRIES, SHARON	FRANKLIN INCOME FUND CLASS A	0.00	3.11	0.00	3.11	85.0	2.64
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
04/16/2018	***-**-84IO - COILE, ROBERT	FRK HIGH INC FND - CL A	0.00	1.01	0.00	1.01	85.0	0.86
	ACCOUNT #: LSR012460	BUY 1 Units @0.0000						
04/16/2018	***-**-703I - RHODE, SUSAN	FRK HIGH INC FND - CL A	0.00	2.25	0.00	2.25	85.0	1.91
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						
04/16/2018	***-**-558I - CRANFORD,	FRK HIGH INC FND - CL A	0.00	3.18	0.00	3.18	85.0	2.70
	ACCOUNT #: LSR012466	BUY 1 Units @0.0000						
04/16/2018	***-**-496I - MCCOY, SHERIANNE	FRK HIGH INC FND - CL A	0.00	2.81	0.00	2.81	85.0	2.39
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
04/16/2018	***-**-03TR - NEAL TRUST,	FRK HIGH INC FND - CL A	0.00	3.74	0.00	3.74	85.0	3.18
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
04/16/2018	***-**-691I - HEINZ, MARK	FRK HIGH INC FND - CL A	0.00	3.24	0.00	3.24	85.0	2.75
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						
04/16/2018	***-**-82IN - WINTERS, KEVIN	FRK HIGH INC FND - CL A	0.00	0.61	0.00	0.61	85.0	0.52
	ACCOUNT #: LSR012586	BUY 1 Units @0.0000						
04/16/2018	***-**-902I - WURMNEST, DIANE	IVY ASSET STRATEGY FUND CLASS A	0.00	3.44	0.00	3.44	85.0	2.92
	ACCOUNT #: LSR012517	BUY 1 Units @0.0000						
04/16/2018	***-**-20IR - COOK, TAMI	JPMORGAN SMALL CAP GROWTH CLASS A	0.00	0.50	0.00	0.50	85.0	0.43
	ACCOUNT #: LSR012545	BUY 1 Units @0.0000						
04/16/2018	***-**-61IR - COOK, ALAN	JPMORGAN SMALL CAP GROWTH CLASS A	0.00	0.50	0.00	0.50	85.0	0.43
	ACCOUNT #: LSR012546	BUY 1 Units @0.0000						
04/16/2018	***-**-15TR - REV, ALBERT	NUVEEN HIGH YIELD MUNI CLASS A	0.00	3.35	0.00	3.35	85.0	2.85
	ACCOUNT #: LSR012573	BUY 1 Units @0.0000						
04/16/2018	***-**-447I - HEINZ-OTTE,	RYDEX DOW 2X STRATEGY CL A	0.00	7.40	0.00	7.40	85.0	6.29
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.36	\$0.00	\$95.36		\$81.07
*** BROKER TRANSACTIONS TOTAL ***			\$532,385.29	\$7,392.76	\$547.30	\$6,845.46		\$5,818.67



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$5,818.67
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$5,818.67
						Year-to-date Earnings		\$33,521.56