

All Companies  
**STATEMENT**  
August 20, 2018

RONALD NALVEN  
269 Century Way

BROKER #: 028  
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/0201 #81917742201	BERBERIAN, JOSEPH	COLLEGE BOUND 76220Y679	B	0.00	86.09	0.00	0.00	86.09	86.0	74.04
06/30/0201 #81917742202	BERBERIAN, ANI	COLLEGE BOUND 76220Y844	B	0.00	45.03	0.00	0.00	45.03	86.0	38.73
06/30/0201 #81917442202	BERBERIAN, ANI	COLLEGE BOUND 76220Y877	B	0.00	72.71	0.00	0.00	72.71	86.0	62.53
07/13/2018 #	BOGUCHWAL, STEPHEN	LEGG MASON GLOBAL ASSET MGMT BW GLO S		731.94	0.00	20.00	0.00	-20.00	86.0	-17.20
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$731.94</b>	<b>\$203.83</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$183.83</b>		<b>\$158.10</b>

PRODUCT CATEGORY: STOCKS

06/29/2018 #	BOGUCHWAL, JONATHAN	ISHARES TRUST RUSSELL 2000 INDEX FD B		6,568.40	0.00	0.00	0.00	0.00	90.0	0.00
07/13/2018 #	BOGUCHWAL, STEPHEN	PIEDMONT OFFICE RLTY TR INC COM CL S		1,608.45	0.00	0.00	0.00	0.00	90.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$8,176.85</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/09/0018 #PHR546		VOYA	B	1,177,723.00	981.44	0.00	0.00	981.44	86.0	844.04
06/09/0018 #ZH8660		VOYA	B	6,891,659.00	2,584.37	0.00	0.00	2,584.37	86.0	2,222.56
07/01/0018 #GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 020P01568	B	3,947.60	356.44	0.00	0.00	356.44	86.0	306.54
07/01/0018 #GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 020P01568	B	1,239.81	136.50	0.00	0.00	136.50	86.0	117.39
07/01/0018 #GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	5,435.91	216.58	0.00	0.00	216.58	86.0	186.26
07/01/0018 #GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	37,997.51	489.53	0.00	0.00	489.53	86.0	421.00
07/01/0018 #GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	8,547.83	562.54	0.00	0.00	562.54	86.0	483.78
07/01/0018 #GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	991.74	337.80	0.00	0.00	337.80	86.0	290.51
07/05/0018 #GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 020P01568	B	0.00	328.77	0.00	0.00	328.77	86.0	282.74
07/05/0018 #GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 020P01568	B	879.98	127.50	0.00	0.00	127.50	86.0	109.65
07/05/0018 #GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	5,688.58	201.76	0.00	0.00	201.76	86.0	173.51
07/05/0018 #GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	457.33	0.00	0.00	457.33	86.0	393.30
07/05/0018 #GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	5,809.53	519.58	0.00	0.00	519.58	86.0	446.84
07/05/0018 #GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	120.00	312.80	0.00	0.00	312.80	86.0	269.01
07/09/0018 #VR08039423	GARLICK, GORDO	PACIFIC LIFE TRAILS	B	0.00	276.77	0.00	0.00	276.77	86.0	238.02
07/09/0018 #VR08039424	GARLICK, JUNE	PACIFIC LIFE TRAILS	B	0.00	87.47	0.00	0.00	87.47	86.0	75.22
07/09/0018 #VR08051761		PACIFIC LIFE TRAILS	B	0.00	253.81	0.00	0.00	253.81	86.0	218.28
07/01/2018 #P89D1506893	MILLER, SUSAN	AMERICAN GEN TRAILS	B	0.00	796.89	0.00	0.00	796.89	86.0	685.33
07/02/2018 #P89D0507510	PEREZ, HECTOR	AMERICAN GEN TRAILS	B	0.00	242.22	0.00	0.00	242.22	86.0	208.31
07/06/2018 #40004629TAL	LUTZ, TONI LYNN	TRANS LIFE FTLB06	B	0.00	64.64	0.00	0.00	64.64	86.0	55.59

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
07/31/2018	#1007781489	CIAGLIA, RITA	L SERIES	B	245,850.59	266.34	0.00	0.00	266.34	86.0 229.05
07/31/2018	#1007589308	EHRlich, HAROLD	L SERIES	B	132,143.22	110.12	0.00	0.00	110.12	86.0 94.70
07/31/2018	#1005032888	GALLUCCI,	L SERIES	B	54,202.07	45.17	0.00	0.00	45.17	86.0 38.85
07/31/2018	#1005754573	HERMAN, MICHAEL	L SERIES	B	44,889.64	48.63	0.00	0.00	48.63	86.0 41.82
07/31/2018	#1006254655	JUBINSKI, STACIE	PERSP II	B	4,067.54	1.69	0.00	0.00	1.69	86.0 1.45
07/31/2018	#1011390591	CIAGLIA, RITA	PERSP L 08/11	B	275,671.91	229.73	0.00	0.00	229.73	86.0 197.57
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>					<b>\$8,896,865.46</b>	<b>\$10,036.42</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10,036.42</b>	<b>\$8,631.32</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

07/09/2018	#01446893602	CIAGLIA, RITA	FA GROWTH OPPORTUNITIES C	B	0.00	5.97	0.00	0.00	5.97	86.0 5.13
07/09/2018	#01446893602	CIAGLIA, RITA	TREASURY FUND ADVISOR-CL C	B	0.00	1.48	0.00	0.00	1.48	86.0 1.27
07/11/2018	#	BOGUChWAL, SHERRY	DAIN TRAILER	B	0.00	0.37	0.00	0.00	0.37	86.0 0.32
07/11/2018	#	BOGUChWAL, SHERRY	DAIN TRAILER	B	0.00	1.20	0.00	0.00	1.20	86.0 1.03
07/11/2018	#	BOGUChWAL, SCOTT	DAIN TRAILER	B	0.00	0.43	0.00	0.00	0.43	86.0 0.37
07/11/2018	#	AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.72	0.00	0.00	1.72	86.0 1.48
07/11/2018	#	AMATO, JOHN	DAIN TRAILER	B	0.00	1.68	0.00	0.00	1.68	86.0 1.44
07/11/2018	#	AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	86.0 0.89
07/11/2018	#	MILLER, SUSAN	DAIN TRAILER	B	0.00	4.63	0.00	0.00	4.63	86.0 3.98
07/11/2018	#	MILLER, SUSAN	DAIN TRAILER	B	0.00	1.68	0.00	0.00	1.68	86.0 1.44
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	2.33	0.00	0.00	2.33	86.0 2.00
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	2.97	0.00	0.00	2.97	86.0 2.55
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	86.0 0.83
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.47	0.00	0.00	0.47	86.0 0.40
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	1.22	0.00	0.00	1.22	86.0 1.05
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.64	0.00	0.00	0.64	86.0 0.55
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.50	0.00	0.00	0.50	86.0 0.43
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.97	0.00	0.00	0.97	86.0 0.83
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	1.20	0.00	0.00	1.20	86.0 1.03
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.74	0.00	0.00	0.74	86.0 0.64
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.92	0.00	0.00	0.92	86.0 0.79
07/11/2018	#	CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	86.0 0.89
07/11/2018	#	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	86.0 0.13
07/11/2018	#	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.78	0.00	0.00	0.78	86.0 0.67
07/11/2018	#	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.62	0.00	0.00	0.62	86.0 0.53
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.30	0.00	0.00	0.30	86.0 0.26
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	86.0 0.13
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.38	0.00	0.00	0.38	86.0 0.33
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.20	0.00	0.00	0.20	86.0 0.17
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0 0.14
07/11/2018	#	BOGUChWAL, STEPHEN	DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	86.0 0.27

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/11/2018 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.38	0.00	0.00	0.38	86.0	0.33
07/11/2018 #	JUBINSKI, STACIE	DAIN TRAILER	B	0.00	7.67	0.00	0.00	7.67	86.0	6.60
07/11/2018 #	JUBINSKI, STACIE	DAIN TRAILER	B	0.00	6.11	0.00	0.00	6.11	86.0	5.25
07/11/2018 #	EVA MAGNES MEMORIAL FAMIL	DAIN TRAILER	B	0.00	12.17	0.00	0.00	12.17	86.0	10.47
07/13/2018 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	S	5,037.53	0.00	0.00	0.00	0.00	86.0	0.00
07/13/2018 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	S	1,564.94	0.00	0.00	0.00	0.00	86.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$6,602.47	\$63.52	\$0.00	\$0.00	\$63.52		\$54.62
*** BROKER TRANSACTIONS TOTAL ***				\$8,912,376.72	\$10,303.77	\$20.00	\$0.00	\$10,283.77		\$8,844.04

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
08/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
08/20/2018	ASSISTANT FEE: ASSISTANT FEE	-59.00
*** ADJUSTMENTS TOTAL ***		\$-803.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,844.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-803.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,041.04
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