



# COMMISSION STATEMENT

## July 16, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/10/2018	***-**-90IO - ADOVASIO, LUKE	AQR LQGE CAP MULTI STYLE FD CL R6-	699.99	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000192	SELL 38 Units @18.2900						
07/10/2018	***-**-90IO - ADOVASIO, LUKE	DFS US CORE EQUITY II-NFS	2,109.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000192	SELL 94 Units @22.5400						
07/10/2018	***-**-90IO - ADOVASIO, LUKE	DFS US VECTOR EQUITY PRF INSTL CL-	1,510.99	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000192	SELL 75 Units @20.1200						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$4,319.98</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/12/2018	***-**-20IO - BARBER, SAMUEL	AMERICAN INTL GROUP INC COM NEW SOL	1,631.31	54.00	9.29	44.71	75.0	33.53
	ACCOUNT #: LSF000307	BUY 30 Units @54.3770						
07/12/2018	***-**-20IO - BARBER, SAMUEL	AT&T INC COM	1,606.25	54.00	9.49	44.51	75.0	33.38
	ACCOUNT #: LSF000307	BUY 50 Units @32.1250						
07/12/2018	***-**-20IO - BARBER, SAMUEL	SI FINANCIAL GROUP INC MD COM USD0.	44.40	0.75	9.02	-8.27	100.0	-8.27
	ACCOUNT #: LSF000307	BUY 3 Units @14.8000						
07/12/2018	***-**-20IO - BARBER, SAMUEL	SI FINANCIAL GROUP INC MD COM USD0.	1,805.60	60.20	10.21	49.99	75.0	37.49
	ACCOUNT #: LSF000307	BUY 122 Units @14.8000						
07/11/2018	***-**-76FB - MANDEL, MICHAEL	VANGUARD INDEX FDS VANGUARD GROWTH	614.09	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000216	SELL 4 Units @153.5220						
07/10/2018	***-**-20IO - BARBER, SAMUEL	VILLAGE BK &TR FINANCIAL CORP COM N	202.80	38.00	9.05	28.95	75.0	21.71
	ACCOUNT #: LSF000307	BUY 6 Units @33.8000						
07/11/2018	***-**-20IO - BARBER, SAMUEL	VILLAGE BK &TR FINANCIAL CORP COM N	1,352.00	52.48	9.39	43.09	75.0	32.32
	ACCOUNT #: LSF000307	BUY 40 Units @33.8000						
<b>* STOCKS SUBTOTAL *</b>			<b>\$7,256.45</b>	<b>\$259.43</b>	<b>\$56.45</b>	<b>\$202.98</b>		<b>\$150.16</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
07/17/2018	***-**-29FB - MOORE, ERIN	MORNINGSTAR ADVISOR FEE	76.71	76.71	0.00	76.71	75.0	57.53
	ACCOUNT #: 330350826	BUY 0 Units @0.0000						
07/17/2018	***-**-24FB - IMBRIALE, DONALD	MORNINGSTAR ADVISOR FEE	840.15	840.15	0.00	840.15	75.0	630.11
	ACCOUNT #: 676741062	BUY 0 Units @0.0000						
07/17/2018	***-**-57FB - LINDSEY, HEATHER	MORNINGSTAR ADVISOR FEE	412.13	412.13	0.00	412.13	75.0	309.10
	ACCOUNT #: 676743318	BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: RIA (continued)</u></b>								
07/17/2018	***-**-34FB - RODESCHINI, ACCOUNT #: 676745675	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	1.00	1.00	0.00	1.00	75.0	0.75
07/17/2018	***-**-04FB - WARD, DANIELLE ACCOUNT #: 676746221	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	196.84	196.84	0.00	196.84	75.0	147.63
07/17/2018	***-**-31FB - BARNARD, ACCOUNT #: 676749188	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	512.99	512.99	0.00	512.99	75.0	384.74
07/17/2018	***-**-45FB - RODESCHINI, LISA ACCOUNT #: 676753759	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	129.62	129.62	0.00	129.62	75.0	97.22
07/17/2018	***-**-74IO - BRENNAN, JOHN ACCOUNT #: 676784503	MORNINGSTAR ADVISOR FEE BUY 0 Units @0.0000	615.41	615.41	0.00	615.41	75.0	461.56
07/10/2018	***-**-90IO - ADOVASIO, LUKE	NFS MANAGED FEES BUY 1 Units @273923.090	0.00	794.00	0.00	794.00	75.0	595.50
07/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES BUY 1 Units @25346.3800	0.00	95.83	0.00	95.83	75.0	71.87
07/10/2018	***-**-76FB - MANDEL, MICHAEL	NFS MANAGED FEES BUY 1 Units @73767.8700	0.00	278.90	0.00	278.90	75.0	209.18
07/10/2018	***-**-74IO - BRENNAN, JOHN	NFS MANAGED FEES BUY 1 Units @741673.760	0.00	2,402.94	0.00	2,402.94	75.0	1,802.21
07/10/2018	***-**-176J - MANDEL, MICHAEL	NFS MANAGED FEES BUY 1 Units @428527.720	0.00	1,296.15	0.00	1,296.15	75.0	972.11
<b>* RIA SUBTOTAL *</b>			<b>\$2,784.85</b>	<b>\$7,652.67</b>	<b>\$0.00</b>	<b>\$7,652.67</b>		<b>\$5,739.51</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
07/16/2018	***-**-0853 - BOYER, THOMAS ACCOUNT #: 77467864401	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
<b>* 529 PLAN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.45</b>	<b>\$0.00</b>	<b>\$1.45</b>		<b>\$1.09</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/16/2018	***-**-20CL - BARBER, CLIENTS ACCOUNT #: 68971123701	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	26.54	0.00	26.54	75.0	19.91
07/16/2018	***-**-5290 - ADOVASIO, LUKE	CHET ADVISOR 529 TRAILS BUY 0 Units @0.0000	0.00	2.22	0.00	2.22	75.0	1.67
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$28.76</b>	<b>\$0.00</b>	<b>\$28.76</b>		<b>\$21.58</b>



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<div>ADJUSTMENTS (continued)</div>								
*** BROKER TRANSACTIONS TOTAL ***			\$14,361.28	\$7,942.31	\$56.45	\$7,885.86		\$5,912.34
<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$5,912.34
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					<div>Net Commission Earned</div>			\$5,912.34
					<div>Year-to-date Earnings</div>			\$32,558.77