



All Companies
COMMISSION STATEMENT
July 02, 2018

JIM CHEN
6300 I-40 West
Ste. 102
Amarillo, TX 79106

BROKER #: 9651
BRANCH: HOME OFFICE

COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/13/2018	15240871 BURNAM, DENNIS D	SIGNAL SECURITIES RIA	0.00	74.66	75.0	56.00	0.00	56.00
04/13/2018	17058809 BOLTON, WILMA	SIGNAL SECURITIES RIA	0.00	113.66	75.0	85.25	0.00	85.25
04/13/2018	18127812 TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	9.00	75.0	6.75	0.00	6.75
04/13/2018	19687002 CARVER, DON	SIGNAL SECURITIES RIA	0.00	116.66	75.0	87.50	0.00	87.50
04/13/2018	20208443 SNYDER, DAVID J	SIGNAL SECURITIES RIA	0.00	52.00	75.0	39.00	0.00	39.00
04/13/2018	21372067 LAI, LISA	SIGNAL SECURITIES RIA	0.00	6.34	75.0	4.76	0.00	4.76
04/13/2018	28820037 GILBERT, PAUL	SIGNAL SECURITIES RIA	0.00	41.34	75.0	31.01	0.00	31.01
04/13/2018	29488506 LAI, LISA	SIGNAL SECURITIES RIA	0.00	101.66	75.0	76.25	0.00	76.25
04/13/2018	33210997 DAVISON, GERALD & CHRIST	SIGNAL SECURITIES RIA	0.00	5.66	75.0	4.25	0.00	4.25
04/13/2018	39815176 CARVER, CINDY L L.	SIGNAL SECURITIES RIA	0.00	94.66	75.0	71.00	0.00	71.00
04/13/2018	49788125 WATSON, KIMBRA R	SIGNAL SECURITIES RIA	0.00	23.00	75.0	17.25	0.00	17.25
04/13/2018	53898308 MEREDITH, JOHN C	SIGNAL SECURITIES RIA	0.00	55.00	75.0	41.25	0.00	41.25
04/13/2018	55407278 SHIEH, RICHARD	SIGNAL SECURITIES RIA	0.00	73.34	75.0	55.01	0.00	55.01
04/13/2018	75737800 TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	28.34	75.0	21.26	0.00	21.26
04/13/2018	77812556 DAVISON, CHRISTINA K	SIGNAL SECURITIES RIA	0.00	6.34	75.0	4.76	0.00	4.76
04/13/2018	87329027 SOUTHWEST CHAPTER NATL AM	SIGNAL SECURITIES RIA	0.00	13.34	75.0	10.01	0.00	10.01
04/13/2018	87410823 DAVISON, GERALD & CHRIST	SIGNAL SECURITIES RIA	0.00	51.34	75.0	38.51	0.00	38.51
* RIA SUBTOTAL *			\$0.00	\$866.34		\$649.82	\$0.00	\$649.82
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$866.34		\$649.82	\$0.00	\$649.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS								
07/02/2018		E & O INSURANCE: 1/2 MONTHLY	0.00	0.00		-100.00	0.00	-100.00
07/02/2018		TECH: 1/2 MONTHLY TECH SERVICES	0.00	0.00		-27.50	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-127.50	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$649.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$30.80

Check Amount	\$553.12
Year-to-date Earnings	\$3,505.10