



# COMMISSION STATEMENT

## May 21, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/07/2018	***-**-94IR - HALL-SPLENDORIO, PIONEER FUND A		50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @30.6200						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$2.50</b>	<b>\$0.00</b>	<b>\$2.50</b>		<b>\$1.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/21/2018	***-**-654I - ROSTAD, BARBARA	AF MODERATE GROWTH AND INCOME-A	0.00	18.75	0.00	18.75	70.0	13.13
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
05/21/2018	***-**-755I - ROSTAD, HOWARD	AF MODERATE GROWTH AND INCOME-A	0.00	5.94	0.00	5.94	70.0	4.16
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
05/21/2018	***-**-1184 - SPORRER, SHIRLEY	AMCAP FUND-A	0.00	2.08	0.00	2.08	70.0	1.46
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
05/21/2018	***-**-0400 - SPORRER, JERALD	AMCAP FUND-A	0.00	3.76	0.00	3.76	70.0	2.63
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - SPORRER, JERALD	AMCAP FUND-A	0.00	4.03	0.00	4.03	70.0	2.82
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
05/21/2018	***-**-0437 - ALLEN, WAYNE	AMCAP FUND-A	0.00	2.40	0.00	2.40	70.0	1.68
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
05/21/2018	***-**-0376 - ALLEN, MISTY	AMCAP FUND-A	0.00	4.43	0.00	4.43	70.0	3.10
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
05/21/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN BALANCED FUND-A	0.00	11.39	0.00	11.39	70.0	7.97
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
05/21/2018	***-**-579I - FREDERICK,	AMERICAN BALANCED FUND-A	0.00	19.59	0.00	19.59	70.0	13.71
	ACCOUNT #: LSR011570	BUY 1 Units @0.0000						
05/21/2018	***-**-0737 - SCHROEDER, JACK	AMERICAN BALANCED FUND-A	0.00	12.65	0.00	12.65	70.0	8.86
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
05/21/2018	***-**-37TR - SCHROEDER TRUST,	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	10.92	0.00	10.92	70.0	7.64
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
05/21/2018	***-**-568I - MARSHALL, BRUCE	BOND A	0.00	10.14	0.00	10.14	70.0	7.10
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
05/21/2018	***-**-0737 - SCHROEDER, JACK	DELAWARE EXTENDED DURATION BOND FUN	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
05/21/2018	***-**-5594 - BISHOP, SHANNON	EUROPACIFIC GROWTH FUND-A	0.00	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-1184 - SPORRER, SHIRLEY FIRST	EAGLE GOLD FUND CLASS A	0.00	0.91	0.00	0.91	70.0	0.64
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
05/21/2018	***-**-184I - SPORRER, SHIRLEY FIRST	EAGLE GOLD FUND CLASS A	0.00	1.47	0.00	1.47	70.0	1.03
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - SPORRER, JERALD	FIRST EAGLE GOLD FUND CLASS A	0.00	1.71	0.00	1.71	70.0	1.20
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
05/21/2018	***-**-654I - ROSTAD, BARBARA	GLOBAL GROWTH PORTFOLIO-A	0.00	6.24	0.00	6.24	70.0	4.37
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
05/21/2018	***-**-755I - ROSTAD, HOWARD	GLOBAL GROWTH PORTFOLIO-A	0.00	2.93	0.00	2.93	70.0	2.05
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
05/21/2018	***-**-24IO - DEWITT, TRACY	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	5.73	0.00	5.73	70.0	4.01
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
05/21/2018	***-**-0383 - MARSHALL,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	7.46	0.00	7.46	70.0	5.22
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
05/21/2018	***-**-568I - MARSHALL, BRUCE	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
05/21/2018	***-**-2425 - BRIAN N CALNAN,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	3.79	0.00	3.79	70.0	2.65
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
05/21/2018	***-**-1184 - SPORRER, SHIRLEY	JOHN HANCOCK GL ABSO RETURN STRATS	0.00	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
05/21/2018	***-**-568J - MARSHALL, BRUCE	JOHN HANCOCK GLOBAL EQUITY FUND CLA	0.00	2.70	0.00	2.70	70.0	1.89
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
05/21/2018	***-**-5712 - RAMSEY, GUSSIE	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	1.89	0.00	1.89	70.0	1.32
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
05/14/2018	***-**-579I - FREDERICK,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00001676185	BUY 0 Units @0.0000						
05/14/2018	***-**-492I - SELL, MICHAEL	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.96	0.00	1.96	70.0	1.37
	ACCOUNT #: 00001722478	BUY 0 Units @0.0000						
05/14/2018	***-**-63IN - SYPHERS, ZACKARY	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.17	0.00	2.17	70.0	1.52
	ACCOUNT #: 00001827112	BUY 0 Units @0.0000						
05/14/2018	***-**-280I - CURD, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.13	0.00	1.13	70.0	0.79
	ACCOUNT #: 00001860711	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-837I - CURTIN, PAUL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.36	0.00	2.36	70.0	1.65
	ACCOUNT #: 00002116172	BUY 0 Units @0.0000						
05/14/2018	***-**-180I - GOODMILLER,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.01	0.00	6.01	70.0	4.21
	ACCOUNT #: 00002330736	BUY 0 Units @0.0000						
05/21/2018	***-**-32IO - VASQUEZ, CHARLES	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
05/21/2018	***-**-24IO - DEWITT, TRACY	LIFESTYLE BALANCED PORTFOLIO A	0.00	23.05	0.00	23.05	70.0	16.14
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
05/21/2018	***-**-0724 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.01	0.00	6.01	70.0	4.21
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
05/21/2018	***-**-1788 - STROMMESEN,	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.87	0.00	3.87	70.0	2.71
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - SPORRER, JERALD	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
05/21/2018	***-**-9761 - MCCARTHY, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
05/21/2018	***-**-568J - MARSHALL, BRUCE	LIFESTYLE BALANCED PORTFOLIO A	0.00	26.75	0.00	26.75	70.0	18.73
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
05/21/2018	***-**-0383 - MARSHALL,	LIFESTYLE BALANCED PORTFOLIO A	0.00	27.66	0.00	27.66	70.0	19.36
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
05/21/2018	***-**-9483 - WYCKOFF,	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.52	0.00	2.52	70.0	1.76
	ACCOUNT #: LSR106887	BUY 1 Units @0.0000						
05/21/2018	***-**-29IO - NORFLEET,	LIFESTYLE CONSERVATIVE PORTFOLIO A	0.00	10.45	0.00	10.45	70.0	7.32
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
05/21/2018	***-**-76IO - SMOAKE, DAVID	LIFESTYLE GROWTH PORTFOLIO A	0.00	11.65	0.00	11.65	70.0	8.16
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
05/21/2018	***-**-1184 - SPORRER, SHIRLEY	LIFESTYLE GROWTH PORTFOLIO A	0.00	0.88	0.00	0.88	70.0	0.62
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
05/21/2018	***-**-5594 - BISHOP, SHANNON	LIFESTYLE GROWTH PORTFOLIO A	0.00	1.27	0.00	1.27	70.0	0.89
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
05/21/2018	***-**-2425 - BRIAN N CALNAN,	LIFESTYLE GROWTH PORTFOLIO A	0.00	7.28	0.00	7.28	70.0	5.10
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-36IN - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	4.89	0.00	4.89	70.0	3.42
	ACCOUNT #: 00001840495	BUY 0 Units @0.0000						
05/14/2018	***-**-9040 - WATSON, MARY	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.29	0.00	9.29	70.0	6.50
	ACCOUNT #: 00002400791	BUY 0 Units @0.0000						
05/14/2018	***-**-4036 - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	1.45	0.00	1.45	70.0	1.02
	ACCOUNT #: 00002493848	BUY 0 Units @0.0000						
05/21/2018	***-**-37TR - SCHROEDER TRUST,	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.60	0.00	9.60	70.0	6.72
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
05/21/2018	***-**-514I - BERGIN, JOSEPH	LIFESTYLE MODERATE PORTFOLIO A	0.00	6.48	0.00	6.48	70.0	4.54
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
05/21/2018	***-**-099X - JAMES L SMITH,	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011494	BUY 1 Units @0.0000						
05/21/2018	***-**-087X - MACHRINA E	LIFESTYLE MODERATE PORTFOLIO A	0.00	0.27	0.00	0.27	70.0	0.19
	ACCOUNT #: LSR011495	BUY 1 Units @0.0000						
05/21/2018	***-**-0737 - SCHROEDER, JACK	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.21	0.00	5.21	70.0	3.65
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
05/21/2018	***-**-568I - MARSHALL, BRUCE	LIFESTYLE MODERATE PORTFOLIO A	0.00	44.31	0.00	44.31	70.0	31.02
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
05/21/2018	***-**-5712 - RAMSEY, GUSSIE	LIFESTYLE MODERATE PORTFOLIO A	0.00	24.09	0.00	24.09	70.0	16.86
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
05/21/2018	***-**-0237 - RAMSEY, WILLIAM	LIFESTYLE MODERATE PORTFOLIO A	0.00	3.99	0.00	3.99	70.0	2.79
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
05/21/2018	***-**-0437 - ALLEN, WAYNE	NEW PERSPECTIVE FUND-A	0.00	2.42	0.00	2.42	70.0	1.69
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
05/21/2018	***-**-0376 - ALLEN, MISTY	NEW PERSPECTIVE FUND-A	0.00	4.45	0.00	4.45	70.0	3.12
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
05/21/2018	***-**-654I - ROSTAD, BARBARA	PRESERVATION PORTFOLIO-A	0.00	6.29	0.00	6.29	70.0	4.40
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
05/21/2018	***-**-755I - ROSTAD, HOWARD	PRESERVATION PORTFOLIO-A	0.00	2.03	0.00	2.03	70.0	1.42
	ACCOUNT #: LSR012427	BUY 1 Units @0.0000						
05/21/2018	***-**-514I - BERGIN, JOSEPH	PUTNAM ABSOLUTE RETURN 300 FUND CLA	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-0437 - ALLEN, WAYNE	THE GROWTH FUND OF AMERICA-A	0.00	2.52	0.00	2.52	70.0	1.76
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
05/21/2018	***-**-0376 - ALLEN, MISTY	THE GROWTH FUND OF AMERICA-A	0.00	4.64	0.00	4.64	70.0	3.25
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
05/21/2018	***-**-184I - SPORRER, SHIRLEY	THE INCOME FUND OF AMERICA-A	0.00	4.05	0.00	4.05	70.0	2.84
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
05/21/2018	***-**-0400 - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	1.04	0.00	1.04	70.0	0.73
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - SPORRER, JERALD	THE INCOME FUND OF AMERICA-A	0.00	7.43	0.00	7.43	70.0	5.20
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
05/21/2018	***-**-0437 - ALLEN, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
05/21/2018	***-**-7347 - WYCKOFF, DAVID	THE INCOME FUND OF AMERICA-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
05/21/2018	***-**-0376 - ALLEN, MISTY	THE INCOME FUND OF AMERICA-A	0.00	3.29	0.00	3.29	70.0	2.30
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
05/21/2018	***-**-184I - SPORRER, SHIRLEY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.03	0.00	3.03	70.0	2.12
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
05/21/2018	***-**-0400 - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.41	0.00	2.41	70.0	1.69
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
05/21/2018	***-**-00IR - SPORRER, JERALD	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.75	0.00	1.75	70.0	1.23
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
05/21/2018	***-**-0437 - ALLEN, WAYNE	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.09	0.00	2.09	70.0	1.46
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
05/21/2018	***-**-0376 - ALLEN, MISTY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.85	0.00	3.85	70.0	2.70
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
05/21/2018	***-**-7347 - WYCKOFF, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: LSR105457	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$450.55</b>	<b>\$0.00</b>	<b>\$450.55</b>		<b>\$315.43</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$50.00</b>	<b>\$453.05</b>	<b>\$0.00</b>	<b>\$453.05</b>		<b>\$317.18</b>



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						<u>BROKER COMMISSION TOTALS</u>		
						Broker Transactions		\$317.18
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$317.18
						Year-to-date Earnings		\$30,656.32