



COMMISSION STATEMENT

January 29, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/19/2018	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	AIM DIVERSIFIED DIVIDEND FUND - CL SELL 50 Units @20.7400	1,037.00	0.00	18.00	-18.00	100.0	-18.00
01/22/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	GLOBAL OPPORTUNITIES A SELL 161 Units @75.3000	12,123.30	0.00	18.00	-18.00	100.0	-18.00
01/22/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	GLOBAL OPPORTUNITIES A SELL 161 Units @75.3000	12,123.30	0.00	18.00	-18.00	100.0	-18.00
01/23/2018	***-**-27IN - LYNCH, JAIR ACCOUNT #: LSR012262	GLOBAL OPPORTUNITIES A BUY 375 Units @80.0800	29,999.97	1,425.00	18.00	1,407.00	75.0	1,055.25
* MUTUAL FUNDS SUBTOTAL *			\$55,283.57	\$1,425.00	\$72.00	\$1,353.00		\$1,001.25
<u>PRODUCT CATEGORY: STOCKS</u>								
01/22/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	AMBARELLA INC COM USD0.00045 SELL 125 Units @51.9900	6,498.75	135.00	17.50	117.50	75.0	88.13
01/23/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	APPLE INC UNSOLICITED ORDER SELL 50 Units @178.8840	8,944.20	135.00	18.00	117.00	75.0	87.75
01/25/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	APPLE INC UNSOLICITED ORDER SELL 53 Units @173.5700	9,199.21	10.00	8.99	1.01	75.0	0.76
01/25/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	BANK OF AMERICA CORP UNSOLICITED OR BUY 250 Units @32.1450	8,036.25	10.00	11.49	-1.49	100.0	-1.49
01/22/2018	***-**-66IR - STATES, FBO MR ACCOUNT #: LSF000280	CISCO SYSTEMS INC SELL 190 Units @41.4150	7,868.85	10.00	8.99	1.01	75.0	0.76
01/23/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	CISCO SYSTEMS INC SELL 100 Units @42.0630	4,206.30	135.00	18.00	117.00	75.0	87.75
01/24/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	CISCO SYSTEMS INC BUY 15 Units @42.5700	638.55	10.00	8.99	1.01	75.0	0.76
01/24/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	CISCO SYSTEMS INC BUY 27 Units @42.6260	1,150.90	10.00	8.99	1.01	75.0	0.76
01/25/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	CISCO SYSTEMS INC BUY 50 Units @42.0250	2,101.25	10.00	8.99	1.01	75.0	0.76
01/24/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	ENERGOUS CORP COM SELL 43 Units @16.6420	715.61	10.00	9.42	0.58	75.0	0.44
01/24/2018	***-**-066I - STATES, FBO MR ACCOUNT #: LSF000282	ENERGOUS CORP COM SELL 65 Units @16.7120	1,086.28	10.00	9.64	0.36	75.0	0.27



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
01/22/2018	***-**-574I - DAVIS, MISCHA	MCKESSON CORP COM	10,195.26	135.00	16.18	118.82	75.0	89.12
	ACCOUNT #: LSR010630	SELL 59 Units @172.8010						
01/22/2018	***-**-66IR - STATES, FBO MR	NEKTAR THERAPEUTICS	7,643.20	10.00	9.99	0.01	75.0	0.01
	ACCOUNT #: LSF000280	BUY 100 Units @76.4320						
01/22/2018	***-**-574I - DAVIS, MISCHA	NEKTAR THERAPEUTICS	15,660.00	200.00	19.00	181.00	75.0	135.75
	ACCOUNT #: LSR010630	BUY 200 Units @78.3000						
01/22/2018	***-**-27IN - LYNCH, JAIR	NEKTAR THERAPEUTICS	7,608.30	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR012262	BUY 100 Units @76.0830						
01/23/2018	***-**-837I - LEWIS, FBO V	NEKTAR THERAPEUTICS	11,315.10	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010565	BUY 150 Units @75.4340						
01/23/2018	***-**-826I - CLARK, FBO MS	NEKTAR THERAPEUTICS	11,278.05	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010588	BUY 150 Units @75.1870						
01/23/2018	***-**-58IN - LUTZ, SAMUEL	NEKTAR THERAPEUTICS	3,763.50	50.00	16.00	34.00	75.0	25.50
	ACCOUNT #: LSR010594	BUY 50 Units @75.2700						
01/23/2018	***-**-58IN - LUTZ, SAMUEL	NEKTAR THERAPEUTICS	7,525.00	100.00	2.00	98.00	75.0	73.50
	ACCOUNT #: LSR010594	BUY 100 Units @75.2500						
01/24/2018	***-**-587I - KEARNEY, FBO MS	NEKTAR THERAPEUTICS	7,749.00	135.00	17.00	118.00	75.0	88.50
	ACCOUNT #: LSR010590	BUY 100 Units @77.4900						
01/24/2018	***-**-587I - KEARNEY, FBO MS	USG CORP COM NEW	7,835.00	135.00	19.00	116.00	75.0	87.00
	ACCOUNT #: LSR010590	SELL 200 Units @39.1750						
* STOCKS SUBTOTAL *			\$141,018.56	\$1,685.00	\$281.17	\$1,403.83		\$1,052.53

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/29/2018	***-**-66IR - STATES, FBO MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSF000280	BUY 1 Units @0.0000						
01/29/2018	***-**-66IN - STATES, MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSF000281	BUY 1 Units @0.0000						
01/29/2018	***-**-066I - STATES, FBO MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSF000282	BUY 1 Units @0.0000						
01/29/2018	***-**-100I - BRITT, FBO RUTH	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010568	BUY 1 Units @0.0000						
01/29/2018	***-**-537I - BRITT, FBO EDDIE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010574	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-00TR - BRITT, MRS RUTH	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010582	BUY 1 Units @0.0000						
01/29/2018	***-**-826I - CLARK, FBO MS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
01/29/2018	***-**-587I - KEARNEY, FBO MS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010590	BUY 1 Units @0.0000						
01/29/2018	***-**-710I - JACKSON, FBO	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010593	BUY 1 Units @0.0000						
01/29/2018	***-**-58IN - LUTZ, SAMUEL	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
01/29/2018	***-**-735I - ANTOINE, FBO MS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010596	BUY 1 Units @0.0000						
01/29/2018	***-**-35IN - ANTOINE, MS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010597	BUY 1 Units @0.0000						
01/29/2018	***-**-391I - RICHARDS, FBO MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010599	BUY 1 Units @0.0000						
01/29/2018	***-**-91IN - RICHARDS, RMR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010600	BUY 1 Units @0.0000						
01/29/2018	***-**-727O - LYNCH P/ADM,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010614	BUY 1 Units @0.0000						
01/29/2018	***-**-727O - LYNCH P/ADM, MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010615	BUY 1 Units @0.0000						
01/29/2018	***-**-384I - WALKER, EUGENE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010626	BUY 1 Units @0.0000						
01/29/2018	***-**-74IN - DAVIS, MISCHA	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010627	BUY 1 Units @0.0000						
01/29/2018	***-**-574I - DAVIS, MISCHA	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
01/29/2018	***-**-819I - BOYKIN,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010634	BUY 1 Units @0.0000						
01/29/2018	***-**-588I - CHAPMAN, MARY	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSR010647	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/29/2018	***-**-889I - DAVIS, CONCHITA ACCOUNT #: LSR010649	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
01/29/2018	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
01/29/2018	***-**-50IN - WASHINGTON, ACCOUNT #: LSR010660	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
01/29/2018	***-**-549I - WHITE, WILLIAM ACCOUNT #: LSR010690	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	75.0	0.00
01/29/2018	***-**-820X - HIGHSMITH, ACCOUNT #: LSR010767	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	75.0	0.02
01/29/2018	***-**-067X - HIGHSMITH JR, ACCOUNT #: LSR010776	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
01/29/2018	***-**-736X - HIGHSMITH SR, ACCOUNT #: LSR010791	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	75.0	0.02
01/29/2018	***-**-044K - WILLINGHAM, ACCOUNT #: LSR012299	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	75.0	0.22
01/29/2018	***-**-44IR - WILLINGHAM, ACCOUNT #: LSR012298	FRK STRAT INC FND - CL A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	75.0	0.17
01/29/2018	***-**-437K - SIGUE, JOCELYN ACCOUNT #: LSR012251	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.20	0.00	2.20	75.0	1.65
01/29/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
01/29/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	8.30	0.00	8.30	75.0	6.23
01/29/2018	***-**-587I - KEARNEY, FBO MS ACCOUNT #: LSR010590	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	75.0	1.25
01/29/2018	***-**-537I - EDELIN, FBO MS ACCOUNT #: LSR010592	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	75.0	1.73
01/29/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	7.02	0.00	7.02	75.0	5.27
01/29/2018	***-**-35IN - ANTOINE, MS ACCOUNT #: LSR010597	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	75.0	1.51



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-91IN - RICHARDS, RMR ACCOUNT #: LSR010600	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	75.0	1.25
01/29/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	3.45	0.00	3.45	75.0	2.59
01/29/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	7.82	0.00	7.82	75.0	5.87
01/29/2018	***-**-820X - HIGHSMITH, ACCOUNT #: LSR010767	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	75.0	0.82
01/29/2018	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	4.51	0.00	4.51	75.0	3.38
01/29/2018	***-**-736X - HIGHSMITH SR, ACCOUNT #: LSR010791	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	3.77	0.00	3.77	75.0	2.83
01/29/2018	***-**-423I - JONES, BRENDA ACCOUNT #: LSR010837	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	75.0	1.73
01/29/2018	***-**-58TR - HOUSING ACCOUNT #: LSR010838	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
01/29/2018	***-**-1600 - BRANTLEY, JULIUS ACCOUNT #: LSR011043	MAIN STREET FUND A BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	75.0	0.92
01/29/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	75.0	1.22
01/29/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	OPPENHEIMER GLOBAL VALUE FUND CL A BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	75.0	1.22
01/29/2018	***-**-044K - WILLINGHAM, ACCOUNT #: LSR012299	TEMP GLOBAL BOND FND - CL A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	75.0	0.17
01/29/2018	***-**-09IO - BRITT, EDWINA ACCOUNT #: LSR011800	VICTORY S & P 500 INDEX CL A BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	75.0	1.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$58.71	\$0.00	\$58.71		\$44.08
*** BROKER TRANSACTIONS TOTAL ***			\$196,302.13	\$3,168.71	\$353.17	\$2,815.54		\$2,097.86



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$2,097.86
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$2,097.86
Year-to-date Earnings								\$8,157.31