



COMMISSION STATEMENT

January 22, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMCAP FUND-A BUY 3 Units @34.1100	90.00	2.48	0.00	2.48	70.0	1.74
01/11/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN BALANCED FUND-A SELL 7 Units @27.6200	200.00	0.00	18.00	-18.00	100.0	-18.00
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 43 Units @15.7800	675.01	18.56	0.00	18.56	70.0	12.99
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 34 Units @19.6700	675.00	18.56	0.00	18.56	70.0	12.99
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GRWTH & INCOME PORT BUY 69 Units @16.2400	1,124.99	30.93	0.00	30.93	70.0	21.65
01/18/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 78 Units @14.3500	1,125.00	30.93	0.00	30.93	70.0	21.65
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	AMERICAN MUTUAL FUND-A BUY 1 Units @43.4800	50.00	1.38	0.00	1.38	70.0	0.97
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT INTRNTL RESPBL INDEX A-NFS BUY 969 Units @24.9100	24,132.01	301.65	18.00	283.65	70.0	198.56
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT LONG-TERM INCOME FUND BUY 919 Units @17.5000	16,086.00	201.07	18.00	183.07	70.0	128.15
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SMALL CAP VALUE FUND BUY 645 Units @24.9400	16,086.00	201.07	18.00	183.07	70.0	128.15
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INDEX A BUY 682 Units @23.5800	16,087.01	201.08	18.00	183.08	70.0	128.16
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 880 Units @45.7100	40,221.01	502.76	18.00	484.76	70.0	339.33
01/12/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FUND BOND BUY 2457 Units @16.3700	40,221.01	502.76	18.00	484.76	70.0	339.33
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	FUNDAMENTAL INVESTORS-A BUY 1 Units @67.1700	50.00	1.38	0.00	1.38	70.0	0.97
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE BOND FUND OF AMERICA-A BUY 6 Units @13.3000	75.00	2.06	0.00	2.06	70.0	1.44
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8200	70.00	1.93	0.00	1.93	70.0	1.35



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BROKER TRANSACTIONS (continued)								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.6900	50.00	1.38	0.00	1.38	70.0	0.97
01/16/2018	***-**-3569 - ANDERSON, ACCOUNT #: 00088334300	THE NEW ECONOMY FUND-A BUY 1 Units @48.4000	65.00	1.79	0.00	1.79	70.0	1.25
01/18/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: 01293376129	WASHINGTON MUTUAL INVESTORS FUND-A BUY 5 Units @48.6300	252.36	4.04	0.00	4.04	70.0	2.83
* MUTUAL FUNDS SUBTOTAL *			\$157,335.40	\$2,025.81	\$126.00	\$1,899.81		\$1,324.48
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/19/2018	***-**-775P - RYAN, MARY ACCOUNT #: 77321355401	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	250.00	10.13	0.00	10.13	70.0	7.09
* 529 PLAN SUBTOTAL *			\$250.00	\$10.13	\$0.00	\$10.13		\$7.09
<u>PRODUCT CATEGORY: FINANCIAL PLANNING</u>								
01/18/2018	***-**-NNFP - OCONNOR, BARBARA	FINANCIAL PLAN BUY 0 Units @0.0000	0.00	250.00	0.00	250.00	70.0	175.00
01/19/2018	***-**-23FB - DEWEY, STEVEN	FINANCIAL PLAN BUY 0 Units @0.0000	0.00	300.00	0.00	300.00	70.0	210.00
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$550.00	\$0.00	\$550.00		\$385.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/08/2018	***-**-3023 - DEWEY, STEVEN ACCOUNT #: 00009247327	AIG STRATEGIC BOND FUND CLASS A BUY 0 Units @0.0000	0.00	2.71	0.00	2.71	70.0	1.90
01/08/2018	***-**-0485 - WHALEN, STEPHEN ACCOUNT #: 04752066331	ALLIANCE BERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	4.65	0.00	4.65	70.0	3.26
01/08/2018	***-**-75IR - BORYK, CHRISTINA ACCOUNT #: 04892912375	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	37.62	0.00	37.62	70.0	26.33
01/08/2018	***-**-1389 - CUSSICK, STACI ACCOUNT #: 04892917193	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	39.30	0.00	39.30	70.0	27.51
01/08/2018	***-**-75IR - BORYK, CHRISTINA ACCOUNT #: 04892912375	ALLIANCEBERNSTEIN GLO GOVT INC TRST BUY 0 Units @0.0000	0.00	49.09	0.00	49.09	70.0	34.36
01/08/2018	***-**-0485 - WHALEN, STEPHEN ACCOUNT #: 04752066331	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 0 Units @0.0000	0.00	6.99	0.00	6.99	70.0	4.89



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/08/2018	***-**-75IR - BORYK, CHRISTINA	ALLIANCEBERNSTEIN UTILITY INCOME A	0.00	42.51	0.00	42.51	70.0	29.76
	ACCOUNT #: 04892912375	BUY 0 Units @0.0000						
01/08/2018	***-**-1389 - CUSSICK, STACI	ALLIANCEBERNSTEIN UTILITY INCOME A	0.00	6.23	0.00	6.23	70.0	4.36
	ACCOUNT #: 04892917193	BUY 0 Units @0.0000						
01/22/2018	***-**-53IO - GIL, OMER	AMCAP FUND-A	0.00	1.24	0.00	1.24	70.0	0.87
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
01/22/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	0.00	11.93	0.00	11.93	70.0	8.35
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/22/2018	***-**-091I - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	2.15	0.00	2.15	70.0	1.51
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
01/22/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	0.00	14.99	0.00	14.99	70.0	10.49
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/22/2018	***-**-91IR - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	0.62	0.00	0.62	70.0	0.43
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
01/22/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
01/22/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
01/22/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
01/22/2018	***-**-62UT - MAINVILLE UTMA	AMERICAN FUNDS INCOME PORT CL A	0.00	0.13	0.00	0.13	70.0	0.09
	ACCOUNT #: LSR011645	BUY 1 Units @0.0000						
01/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	900.00	18.00	0.00	18.00	70.0	12.60
	ACCOUNT #: LSR011898	BUY 90 Units @9.9800						
01/22/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
01/22/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	0.00	1.21	0.00	1.21	70.0	0.85
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
01/22/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
01/22/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	1.25	0.00	1.25	70.0	0.88
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/22/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	0.43	0.00	0.43	70.0	0.30
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
01/22/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
01/22/2018	***-**-091I - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	1.77	0.00	1.77	70.0	1.24
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
01/22/2018	***-**-55IO - KOMAR, EDWARD	AMERICAN HIGH-INCOME TRUST-A	0.00	53.88	0.00	53.88	70.0	37.72
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
01/22/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	0.00	3.51	0.00	3.51	70.0	2.46
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/22/2018	***-**-91IR - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	0.62	0.00	0.62	70.0	0.43
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
01/22/2018	***-**-53IO - GIL, OMER	AMERICAN MUTUAL FUND-A	0.00	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
01/22/2018	***-**-55IO - KOMAR, EDWARD	AMERICAN MUTUAL FUND-A	0.00	52.67	0.00	52.67	70.0	36.87
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
01/22/2018	***-**-47FB - TORRES, SHARI	AMERICAN MUTUAL FUND-A	0.00	15.44	0.00	15.44	70.0	10.81
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
01/22/2018	***-**-91IR - GLASSFORD,	AMERICAN MUTUAL FUND-A	0.00	0.62	0.00	0.62	70.0	0.43
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131582454	0.00	5.32	0.00	5.32	70.0	3.72
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/12/2018	***-**-162K - LANGTON,	CALVERT 131582454	8,041.01	100.51	0.00	100.51	70.0	70.36
	ACCOUNT #: LSR012318	BUY 286 Units @28.1400						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131618704	0.00	12.42	0.00	12.42	70.0	8.69
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161P805	0.00	5.08	0.00	5.08	70.0	3.56
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 13161T104	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649402	0.00	5.82	0.00	5.82	70.0	4.07
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649865	0.00	3.81	0.00	3.81	70.0	2.67
	ACCOUNT #: 00007223602	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT 131649881	0.00	3.87	0.00	3.87	70.0	2.71
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT CAPITAL ACCUMULATION - A	0.00	3.54	0.00	3.54	70.0	2.48
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT LONG-TERM INCOME FUND	0.00	6.59	0.00	6.59	70.0	4.61
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/10/2018	***-**-0162 - LANGTON,	CALVERT MODERATE ALLOCATION FUND-A	0.00	3.16	0.00	3.16	70.0	2.21
	ACCOUNT #: 00007226027	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SMALL CAP VALUE FUND	0.00	6.52	0.00	6.52	70.0	4.56
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FD EQUITY	0.00	4.46	0.00	4.46	70.0	3.12
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/10/2018	***-**-7748 - MCCANNA, GLORIA	CALVERT SOCIAL INVESTMENT FUND BOND	0.00	3.01	0.00	3.01	70.0	2.11
	ACCOUNT #: 00000613527	BUY 0 Units @0.0000						
01/22/2018	***-**-032I - JOHNSON, DARLENE	CAPITAL INCOME BUILDER-A	0.00	2.60	0.00	2.60	70.0	1.82
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						
01/22/2018	***-**-55IO - KOMAR, EDWARD	CAPITAL INCOME BUILDER-A	0.00	49.91	0.00	49.91	70.0	34.94
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
01/22/2018	***-**-55IO - KOMAR, EDWARD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	55.12	0.00	55.12	70.0	38.58
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
01/22/2018	***-**-53IO - GIL, OMER	FUNDAMENTAL INVESTORS-A	0.00	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
01/22/2018	***-**-91IR - GLASSFORD,	FUNDAMENTAL INVESTORS-A	0.00	1.56	0.00	1.56	70.0	1.09
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
01/22/2018	***-**-39UT - CHAUVIN UTMA NY,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.67	0.00	0.67	70.0	0.47
	ACCOUNT #: LSR011657	BUY 1 Units @0.0000						
01/22/2018	***-**-53IO - GIL, OMER	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
01/02/2018	***-**-4618 - BROWN, ZACHARY	MAINSTAY MOD GROWTH ALLOC INVESTOR	100.00	4.75	0.00	4.75	70.0	3.33
	ACCOUNT #: 00055720031	BUY 6 Units @16.6900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	70.0	0.53
01/02/2018	***-**-9351 - AGUIAR, JOSEPH ACCOUNT #: 00551352400	PUTNAM ABSOLUTE RETURN 700-A BUY 0 Units @0.0000	0.00	19.40	0.00	19.40	70.0	13.58
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM ABSOLUTE RETURN 700-A BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	70.0	0.51
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM AMERICAN GOVERNMENT INCOME F BUY 0 Units @0.0000	0.00	1.76	0.00	1.76	70.0	1.23
01/02/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM CAP. OPPORTUNITIES-A BUY 0 Units @0.0000	0.00	10.75	0.00	10.75	70.0	7.53
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM DIVERSIFIED INCOME FUND CLAS BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	70.0	2.42
01/02/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	32.32	0.00	32.32	70.0	22.62
01/02/2018	***-**-8786 - RUBIN, SCOTT ACCOUNT #: 00551359332	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	192.30	0.00	192.30	70.0	134.61
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	3.25	0.00	3.25	70.0	2.28
01/02/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM HIGH YIELD ADVANTAGE-A BUY 0 Units @0.0000	0.00	28.81	0.00	28.81	70.0	20.17
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM HIGH YIELD ADVANTAGE-A BUY 0 Units @0.0000	0.00	2.23	0.00	2.23	70.0	1.56
01/02/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM INTERNATIONAL EQUITY-A BUY 0 Units @0.0000	0.00	12.41	0.00	12.41	70.0	8.69
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM INTL GROWTH & INC-A BUY 0 Units @0.0000	0.00	2.15	0.00	2.15	70.0	1.51
01/02/2018	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	11.84	0.00	11.84	70.0	8.29
01/02/2018	***-**-1507 - WHALEN, BONNIE ACCOUNT #: 00551390576	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	3.20	0.00	3.20	70.0	2.24
01/02/2018	***-**-8786 - RUBIN, SCOTT ACCOUNT #: 00551359332	PUTNAM SMALL CAP GROWTH FUND CLASS BUY 0 Units @0.0000	0.00	179.57	0.00	179.57	70.0	125.70



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	70.0	0.34
01/08/2018	***-**-3023 - DEWEY, STEVEN ACCOUNT #: 00009247327	SUNAMERICA FOCUSED ALPHA GROWTH CL BUY 0 Units @0.0000	0.00	5.22	0.00	5.22	70.0	3.65
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	20.19	0.00	20.19	70.0	14.13
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.65	0.00	9.65	70.0	6.76
01/22/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	70.0	1.29
01/22/2018	***-**-60IN - TALBOT, JENNIFER ACCOUNT #: LSR011386	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	70.0	0.31
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.23	0.00	8.23	70.0	5.76
01/02/2018	***-**-5076 - TORRES, JASON ACCOUNT #: 00000751783	THE HARTFORD DIVIDEND & GROWTH FUND BUY 0 Units @0.0000	0.00	0.37	0.00	0.37	70.0	0.26
01/22/2018	***-**-032I - JOHNSON, DARLENE ACCOUNT #: LSR011358	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.88	0.00	2.88	70.0	2.02
01/22/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	70.0	0.34
01/22/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	28.42	0.00	28.42	70.0	19.89
01/22/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.93	0.00	0.93	70.0	0.65
01/22/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	2.52	0.00	2.52	70.0	1.76
01/22/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	12.10	0.00	12.10	70.0	8.47
01/10/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	WORLD VALUES INTERNATIONAL EQUITY - BUY 0 Units @0.0000	0.00	11.99	0.00	11.99	70.0	8.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$9,041.01	\$1,263.41	\$0.00	\$1,263.41		\$884.40
*** BROKER TRANSACTIONS TOTAL ***			\$166,626.41	\$3,849.35	\$126.00	\$3,723.35		\$2,600.97



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,600.97
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,600.97
						Year-to-date Earnings		\$5,439.32