Page No. 1

COMMISSION STATEMENT July 31, 2018

STEPHEN MOSS

R.F. Lafferty & Co., Inc.

1336 MARYLAND AVENUE

Est. 1946

HAVERTOWN, PA 19082

BROKER #: V05 A05

BRANCH: FULCRUM GROUP

## COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS							
07/09/2018 (None) VARIOUS, MOSS	MASS MUTUAL B	0.0	00 148.85	0.00	148.85	50.0	74.43
07/16/2018 (None) VARIOUS, MOSS	529 PLAN B			0.00		50.0	1.75
07/24/2018 (None) VARIOUS, MOSS	529 PLAN B			0.00		50.0	8.44
07/24/2018 (None) VARIOUS, MOSS	MASSMUTUAL B						4.11
or, 21, 2010 (Notic) vinctoos, 11055	* MUTUAL FUNDS SUBTOTAL *	\$0.			<u> </u>	30.0	\$88.73
	MOTORE FORDS SUBTOTAL	<b>40.</b>	γ1//•11	40.00	<b>V</b> 1//•11		<b>400.</b> 73
PRODUCT CATEGORY: STOCKS							
06/29/2018 75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P EMERGING MAR B						0.00
06/29/2018 75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P WORLD EX-US B			0.00		50.0	0.00
06/29/2018 75501532 LUMSDEN, CECILIA	SPDR RUSSELL 1000 ETF S			0.00		50.0	0.00
06/29/2018 75501532 LUMSDEN, CECILIA	SPDR RUSSELL 2000 ETF S	129.2	0.00	0.00	0.00	50.0	0.00
06/29/2018 75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	139.3	0.00	0.00	0.00	50.0	0.00
06/29/2018 75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.3	0.00	0.00	0.00	50.0	0.00
06/29/2018 75501796 MOSS, KATHLEEN	ISHARES U S HEALTHCARE ETF S	2,866.0	0.00	0.00	0.00	50.0	0.00
06/29/2018 75501796 MOSS, KATHLEEN	ISHARES U S CONSUMER SERVICES ETF S	2,923.0	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E INVESCO EXCHANGE TRADED FD TR II IN B	1,193.	74 0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E INVESCO QQQ TR UNIT SER 1	2,659.0	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES FLOATING RATE BOND ETF B	7,639.3	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES MSCI EAFE ETF S	5,454.4	10 0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES MSCI EMERGING MARKETS ETF S	4,955.0	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES RUSSELL 2000 ETF B	9,250.9	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES S&P SMALL CAP 600 VALUE ETF B	9,282.3	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES S&P SMALL CAP 600 GROWTH ET B	9,182.8	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES S&P500 GROWTH INDEX FD B	2,346.8	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES TR S&P MIDCAP 400 GROWTH S	5,773.	75 0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT	E ISHARES U S FINANCIALS ETF S	11,255.	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TTF	E ISHARES U S HEALTHCARE ETF S	5,755.2	0.00	0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TTF	E ISHARES U S ENERGY ETF B			0.00	0.00	50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TTF				0.00		50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT				0.00		50.0	0.00
07/10/2018 75501801 JOHN W SCHULTZ TT		.,		0.00			0.00

INVESTMENT

TRADE DATE

CLIENT

08/13/2018 Page No.

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

2

BROKER

COMMISSION

RATE

07/10/2018 75501801 JOHN W SCHULTZ TYEE SPDE SERIES TRUST SPDE BLOOMERGE BAS 7,455.80 0.00 0.00 0.00 0.00 50.0 0.00 0.700 07/10/2018 75501801 JOHN W SCHULTZ TYEE VARICK VECTORS STY IN HIGH YIELD MUB 7,880.55 0.00 0.00 0.00 0.00 50.0 0.00 0.0										
OFFICE OFFI OFFI OFFI OFFI OFFI OFFI OFFI OFF										
1971/10/2018 75501801 JOHN M SCHILLT TITE   SPER SAF SON ONTE INEL AVERAGE FIT TR S   5,228.75   0.00   0.00   0.00   50.0   0.00   0										
10   10   10   10   10   10   10   10	07/10/2018 75501801 JOHN W SCHULTZ TTEE	ISHARES U S TECHNOLOGY ETF S	7,397.60	0.00	0.00	0.00 50.0	0.00			
107/10/2018 75501801 JOHN W SCHULTZ TITE SPEP SET TE BLOOMERGE BARCLAYS INITS 5 (3.53.74 0.00 0.00 0.00 0.00 50.0 0.00 107/10/2018 75501801 JOHN W SCHULTZ TITE VARKOK VRCTORG WEP TR HIGH YIELD HUB 8 7,880.55 0.00 0.00 0.00 50.0 0.00 107/10/2018 75501801 JURIN LYDIA SCHULTZ TITE VARKOK VRCTORG WEP TR HIGH YIELD HUB 8 7,880.55 0.00 0.00 0.00 0.00 50.0 0.00 10/20/2018 75501813 INKHIN, LYDIA 5 SPEP SET SET SET WORLD EX-US 8 60.00 0.00 0.00 0.00 0.00 50.0 0.00 10/23/2018 75501813 INKHIN, LYDIA 5 SPEP SET SET SET WORLD EX-US 8 60.00 0.00 0.00 0.00 0.00 50.0 0.00 10/23/2018 75501813 INKHIN, LYDIA 5 SPEP SET SET SET WORLD EX-US 8 60.00 0.00 0.00 0.00 0.00 0.00 0.00 0	07/10/2018 75501801 JOHN W SCHULTZ TTEE	SPDR DOW JONES INDL AVERAGE ETF TR S	6,226.75	0.00	0.00	0.00 50.0	0.00			
09/10/2018 75501801 JOHN W SCHULTZ TITE	07/10/2018 75501801 JOHN W SCHULTZ TTEE	SPDR S&P 500 ETF TRUST S	5,573.65	0.00	0.00	0.00 50.0	0.00			
07/10/2018 75501831 STRNIN, LYNDA SPER INDEX SHS FDS SAP EMERGINS MAR B 70.63 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER INDEX SHS FDS SAP EMERGINS MAR B 70.63 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 50.0 0.00 6/29/2018 75501831 STRNIN, LYNDA SPER RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	07/10/2018 75501801 JOHN W SCHULTZ TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL S	6,535.74	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501813 IRWIN, LYNDA SPDR INDEX SHS FDS SAP MORID EX-US B 60.82 0.00 0.00 0.00 0.00 0.00 0.00 0.00	07/10/2018 75501801 JOHN W SCHULTZ TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BAS	7,435.80	0.00	0.00	0.00 50.0	0.00			
96/23/2018 75501813 IRWIN, LYNDA SPER RUSSELL 1000 FTF S 64.00 0.00 0.00 0.00 50.0 0.00 0.00 0.00	07/10/2018 75501801 JOHN W SCHULTZ TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B	7,880.55	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501813 IRNIN, LMNDA SPDR RUSSELL 2000 ETF S 64.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501813 IRNIN, LMNDA SPDR RUSSELL 2000 ETF S 64.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501813 IRNIN, LMNDA SPDR RUSSELL 2000 ETF S 55.74 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRRACH, EDWARD SPDR INDEX SHS PDR SAF WORLD EX-US B 60.82 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRRACH, EDWARD SPDR RUSSELL 1000 ETF S 95.00 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRRACH, EDWARD SPDR RUSSELL 1000 ETF S 95.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	06/29/2018 75501813 IRWIN, LYNDA	SPDR INDEX SHS FDS S&P EMERGING MAR B	70.63	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501813 IRNIN, LYNDA SPOR RUSSELL 2000 ETF S 64.60 0.00 0.00 0.00 5.0 0.00 06/39/2018 75501876 ROWHRACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BB 55.74 0.00 0.00 0.00 5.0 0.00 56.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR INDEX SISS PS SAP MERKEINE MARE 35.31 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR INDEX SISS PS SAP WORLD EX-US B 60.82 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR RUSSELL 1000 ETF S 96.00 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR RUSSELL 2000 ETF S 96.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR RUSSELL 2000 ETF S 32.30 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR RUSSELL 2000 ETF S 32.30 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR RUSSELL 2000 ETF S 32.30 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROWHRACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BA 27.87 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501876 ROWHRACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BA 27.87 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501970 HESTON, EUGENE ISMARES ETGETS TRUST SPOR BLOOMBERG BA B 27.87 0.00 0.00 0.00 0.00 0.00 0.00 07/11/2018 75501970 HESTON, EUGENE ISMARES ETGETS TRUST SPOR BLOOMBERG BA B 27.87 0.00 0.00 0.00 0.00 0.00 0.00 07/11/2018 75501970 HESTON, EUGENE ISMARES SEP SMALL CAP 600 VALUE ETF B 12,564.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	06/29/2018 75501813 IRWIN, LYNDA	SPDR INDEX SHS FDS S&P WORLD EX-US B	60.82	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501876 ROURBACH, EDWARD SPER SERIES TRUST SPER BLOOMERGE BA B 55.74 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER INDEX SHS PEDS SAP WORLD EX US B 60.82 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER INDEX SHS PEDS SAP WORLD EX US B 60.82 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER RUSSELL 1000 ETF S 95.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER RUSSELL 1000 ETF S 95.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER RUSSELL 2000 ETF S 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER RUSSELL 2000 ETF S 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROURBACH, EDWARD SPER RESTIRS TRUST SPER BLOOMERGE BA B 27.87 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501976 HESTION, EUGENE INVESCO EXCHANGE TRADED FOR THI IN B 1.459.04 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES RUSSELL 2000 ETF B 560.34 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES RUSSELL 2000 ETF B 12,564.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES SEEP SMALL CAP 600 VALUE ETF B 12,564.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES SEEP SMALL CAP 600 VALUE ETF B 12,564.01 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES SEEP SMALL CAP 600 VALUE ETF B 12,564.01 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES SEEP SMALL CAP 600 VALUE ETF B 12,564.01 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501970 HESTION, EUGENE ISHARES US SHEARTH STATE ST	06/29/2018 75501813 IRWIN, LYNDA	SPDR RUSSELL 1000 ETF S	64.00	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501376 ROMEBACH, EDWARD SPOR INDEX SHS FOS SLP EMBERGING MAR H 35.31 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501376 ROMEBACH, EDWARD SPOR SURSELL 1000 ETF S 96.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501376 ROMEBACH, EDWARD SPOR SURSELL 1200 ETF S 96.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501376 ROMEBACH, EDWARD SPOR SURSELL 1200 ETF S 95.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501376 ROMEBACH, EDWARD SPOR SURSELL 1200 ETF S 95.00 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501376 ROMEBACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BB 27.87 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501376 ROMEBACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BB 27.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501376 ROMEBACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BB 27.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501376 ROMEBACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BB 27.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501376 RESTON, EUGENE ISHARES FLOATING RATE ROOME ETF B 12,459.04 0.00 0.00 0.00 0.00 0.00 0.00 07/11/2018 75501377 HESTON, EUGENE ISHARES MSCI EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	06/29/2018 75501813 IRWIN, LYNDA	SPDR RUSSELL 2000 ETF S	64.60	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501876 ROHRBACH, EDWARD SPDR INDEX SHS FDS SAP WORLD EX-US B 60.82 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR RUSSELL 1000 ETF S 36.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR RUSSELL 1000 ETF S 32.30 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BAB 27.87 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BAB 27.87 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501907 HESTON, EUGENE INVESCO EXCHANGE TRADED FF TR II IN B 12.459.04 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12.564.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12.564.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12.564.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12.596.24 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12.596.24 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 GROWTH ET B 12.456.10 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES U S FINANCIALS ETF S 5.997.50 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES U S INBUSTRIALS ETF S 5.997.50 0.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES U S INBUSTRIALS ETF S 5.997.50 0.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES U S INBUSTRIALS ETF S 5.997.50 0.00 0.00 0.00 0.00 0.00 0.00 0.00	06/29/2018 75501813 IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	55.74	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501876 ROHRBACH, EDWARD SPER RUSSELL 1000 ETF S 96.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPER RUSSELL 2000 ETF S 32.20 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPER SERIES TRUST SPDR BLOOMBERG BA B 27.87 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BA B 27.87 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BA B 27.80 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SPDR SERIES TRUST SPDR BLOOMBERG BA B 27.80 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE MSCIL EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE MSCIL EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 VALUE ETF B 12,564.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 VALUE ETF B 12,564.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 GROWTH ET B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 GROWTH ET B 6,265.78 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMALL CAP 600 GROWTH ET B 6,265.78 0.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP SMAEL CAP 600 GROWTH ET B 6,265.78 0.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE ISNAESE SAP EMERGING MAR B 35.31 0.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501976 HESTON, EUGENE SPDR SAP SWATE SAP EMERGI	06/29/2018 75501876 ROHRBACH, EDWARD	SPDR INDEX SHS FDS S&P EMERGING MAR B	35.31	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501876 ROHRBACH, EDWARD SPDR RUSSELL 2000 ETF S 32.30 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BAB 27.87 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BAB 27.87 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE INVESCO EXCHANGE TRADED FT II IN B 12,459.04 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MICH SHERGING MARKETS ETF B 10,702.76 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MICH SHERGING MARKETS ETF B 10,702.76 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MICH SHERGING MARKETS ETF B 10,702.76 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MICH SHERGING MARKETS ETF B 12,564.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 CAULUE ETF B 12,564.24 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 GOWTH ETB 12,465.91 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US HEALTHCABE ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US NEWSPERS APEN SAP SMALL SETF S 5,995.84 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US NEWSPERS HERE SUBJECT STATES SAP SMALL SETF S 6,295.84 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SAP SERIES TRUST SPDR BLOOMBERG BAS 12,465.1 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SAP SERIES TRUST SPDR BLOOMBERG BAS 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SAP SERIES TRUST SPDR BLOOMBERG BAS 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SAP SERIES TRUST SPDR BLOOMBERG BAS 12,464.21 0.00 0.00 0.00 0.00 0.00 50.0 0.00 0.0	06/29/2018 75501876 ROHRBACH, EDWARD	SPDR INDEX SHS FDS S&P WORLD EX-US B	60.82	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BAB 27.87 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501876 ROHRBACH, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BAB 27.30 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE INVESCO EXCHANGE TRADED FD TR II IN B 12,459.04 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12,564.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAF SMALL CAP 600 VALUE ETF B 12,596.24 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAF SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,995.84 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US RIALTHCARE ETF B 6,271.30 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SAF SOU ETF TRUST SPDR BLOOMBERG BAS 12,122.60 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SAF SEN SET SER	06/29/2018 75501876 ROHRBACH, EDWARD	SPDR RUSSELL 1000 ETF S	96.00	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501976 ROHRBACH, EDWARD SPOR SERIES TRUST SPOR BLOOMBERG BA B 27.30 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE INVESCO EXCHANGE TRADED FO TR II IN B 12,459.04 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MSCI EMERGINN MARKETS ETF S 10,702.76 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12,564.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 CAULUE ETF B 12,564.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 CAULUE ETF B 12,465.91 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 CAULUE ETF B 12,465.91 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US HEALTHCARE ETF B 6,271.30 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US EMERCY ETF S 5,995.84 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US EMERCY ETF B 6,266.78 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPOR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPOR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPOR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPOR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPOR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPOR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 0.00 50.0 0.00 0.0	06/29/2018 75501876 ROHRBACH, EDWARD	SPDR RUSSELL 2000 ETF S	32.30	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES FLOATING RATE BOND ETF B 560.34 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MSCI EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12,564.00 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12,564.91 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12,465.91 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12,465.91 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US HEALTHCARE ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,426.60 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SERIES TRUST SPDR BLOOMBERG BAS 12,852.65 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SERIES TRUST SPDR BLOOMBERG BAS 12,852.65 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 32.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	06/29/2018 75501876 ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.87	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES MSCI EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES MSCI EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12,596.24 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES S&P SMALL CAP 600 VALUE ETF B 12,596.24 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES S&P SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US SINDUSTRIALS ETF S 5,997.84 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US INDUSTRIALS ETF S 5,995.84 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US INDUSTRIALS ETF S 5,995.84 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,122.60 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR S&P 500 ETF TRUST SPDR BLOOMBERG BAS 12,852.65 0.00 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR S&P 500 ETF TRUST SPDR BLOOMBERG BAS 12,852.65 0.00 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR INDEX SHS FDS S&P EMERGING MAR B 35.31 0.00 0.00 0.00 0.00 50.0 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 32.30 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 32.30 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 32.30 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501945 EDWARD W CUNICELLI SPDR RIDDEX SHS FDS S&P EMERGING MAR B 35.31 0.00 0.00 0.00 0.00 0.00 50.0 0.00 06/29/2018 75501945 EDWARD W CUNICELLI SPDR RIDDEX SHS FDS S&P EMERGING MAR B 35.31 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	06/29/2018 75501876 ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.30	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES MSCI EMERGING MARKETS ETF S 10,702.76 0.00 0.00 0.00 50.0 50.0 0.70 0.711/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 VALUE ETF B 12,566.40 0.00 0.00 0.00 0.00 50.0 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES US SHBALTHCARE ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES US SHERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES US EMERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE ISHARES US EMERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE SPDR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE SPDR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE SPDR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 0.711/2018 75501907 HESTON, EUGENE SPDR SAP 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 0.00 0.0	07/11/2018 75501907 HESTON, EUGENE	INVESCO EXCHANGE TRADED FD TR II IN B	12,459.04	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES RUSSELL 2000 ETF B 12,564.00 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES S&F SMALL CAF 600 VALUE ETF B 12,596.24 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES S&F SMALL CAF 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF B 6.271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US RUSSELL SETF B 6.271.30 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6.266.78 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6.266.78 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6.266.78 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TS 12,122.60 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SET SUS ENERGY ETF S 12,464.21 0.00 0.00 0.00 0.00 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SET SET SUS SET SET SET SET SET SET SET SET SET SE	07/11/2018 75501907 HESTON, EUGENE	ISHARES FLOATING RATE BOND ETF B	560.34	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES S&P SMALL CAP 600 VALUE ETF B 12,596.24 0.00 0.00 0.00 50.0 0.00 0.701/10/18 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 0.00 50.0 0.00 0.701/10/18 75501907 HESTON, EUGENE ISHARES US FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 0.00 50.0 0.00 0.701/10/18 75501907 HESTON, EUGENE ISHARES US HEALTHCARE ETF B 6,271.30 0.00 0.00 0.00 0.00 50.0 0.00 0.701/11/2018 75501907 HESTON, EUGENE ISHARES US INDUSTRIALS ETF S 5,995.84 0.00 0.00 0.00 0.00 50.0 0.00 0.701/11/2018 75501907 HESTON, EUGENE ISHARES US ENERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 0.00 0.0	07/11/2018 75501907 HESTON, EUGENE	ISHARES MSCI EMERGING MARKETS ETF S	10,702.76	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES SAP SMALL CAP 600 GROWTH ET B 12,465.91 0.00 0.00 0.00 50.0 0.00 0.70 0.70 0.70	07/11/2018 75501907 HESTON, EUGENE	ISHARES RUSSELL 2000 ETF B	12,564.00	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES U S FINANCIALS ETF S 5,977.50 0.00 0.00 0.00 0.00 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE ISHARES U S HEALTHCARE ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE ISHARES U S INDUSTRIALS ETF S 5,995.84 0.00 0.00 0.00 0.00 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE ISHARES U S ENERGY ETF B 6,266.78 0.00 0.00 0.00 0.00 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,122.60 0.00 0.00 0.00 0.00 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE SPDR SEP SO ETF TRUST S 12,122.60 0.00 0.00 0.00 0.00 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE SPDR SEP SO ETF TRUST SPDR BLOOMBERG BAS 12,852.65 0.00 0.00 0.00 50.0 50.0 0.00 0.701/2018 75501907 HESTON, EUGENE SPDR SERIES TRUST SPDR BLOOMBERG BAS 12,852.65 0.00 0.00 0.00 0.00 50.0 0.00 0.00 0	07/11/2018 75501907 HESTON, EUGENE	ISHARES S&P SMALL CAP 600 VALUE ETF B	12,596.24	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES U S HEALTHCARE ETF B 6,271.30 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES U S ENERGY ETF B 6,266.78 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE ISHARES U S ENERGY ETF B 6,266.78 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,122.60 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR S&P 500 ETF TRUST S DE SENERGY ETF S 12,464.21 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SERIES TRUST SPDR BLOOMBERG BA S 12,852.65 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE SPDR SERIES TRUST SPDR BLOOMBERG BA S 12,852.65 0.00 0.00 0.00 50.0 50.0 0.00 07/11/2018 75501907 HESTON, EUGENE VANNECK VECTORS ETF TR HIGH YIELD MU B 848.54 0.00 0.00 0.00 50.0 50.0 0.00 0.00 0	07/11/2018 75501907 HESTON, EUGENE	ISHARES S&P SMALL CAP 600 GROWTH ET B	12,465.91	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE ISHARES U S INDUSTRIALS ETF S 5,995.84 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	07/11/2018 75501907 HESTON, EUGENE	ISHARES U S FINANCIALS ETF S	5,977.50	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,122.60 0.00 0.00 0.00 0.00 50.0 0.00 0.00 0	07/11/2018 75501907 HESTON, EUGENE	ISHARES U S HEALTHCARE ETF B	6,271.30	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE SPDR DOW JONES INDL AVERAGE ETF TR S 12,122.60 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	07/11/2018 75501907 HESTON, EUGENE	ISHARES U S INDUSTRIALS ETF S	5,995.84	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE SPDR S&P 500 ETF TRUST S 12,464.21 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	07/11/2018 75501907 HESTON, EUGENE	ISHARES U S ENERGY ETF B	6,266.78	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE SPDR SERIES TRUST SPDR BLOOMBERG BA S 12,852.65 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	07/11/2018 75501907 HESTON, EUGENE	SPDR DOW JONES INDL AVERAGE ETF TR S	12,122.60	0.00	0.00	0.00 50.0	0.00			
07/11/2018 75501907 HESTON, EUGENE VANECK VECTORS ETF TR HIGH YIELD MU B 848.54 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	07/11/2018 75501907 HESTON, EUGENE	SPDR S&P 500 ETF TRUST S	12,464.21	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501932 CUNICELLI, EDWARD SPDR INDEX SHS FDS S&P EMERGING MAR B 35.31 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	07/11/2018 75501907 HESTON, EUGENE	SPDR SERIES TRUST SPDR BLOOMBERG BAS	12,852.65	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 1000 ETF S 64.00 0.00 0.00 0.00 50.0 0.00 0.00 0.00	07/11/2018 75501907 HESTON, EUGENE	VANECK VECTORS ETF TR HIGH YIELD MU B	848.54	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501932 CUNICELLI, EDWARD SPDR RUSSELL 2000 ETF S 32.30 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	06/29/2018 75501932 CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P EMERGING MAR B	35.31	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501932 CUNICELLI, EDWARD SPDR SERIES TRUST SPDR BLOOMBERG BA B 55.74 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0.0	06/29/2018 75501932 CUNICELLI, EDWARD	SPDR RUSSELL 1000 ETF S	64.00	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501945 EDWARD W CUNICELLI SPDR INDEX SHS FDS S&P EMERGING MAR B 176.57 0.00 0.00 0.00 50.0 0.00 0.00 0.00 0	06/29/2018 75501932 CUNICELLI, EDWARD	SPDR RUSSELL 2000 ETF S	32.30	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501945 EDWARD W CUNICELLI SPDR INDEX SHS FDS S&P WORLD EX-US B 152.05 0.00 0.00 0.00 50.0 0.00 0.00 0.00	06/29/2018 75501932 CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	55.74	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501945 EDWARD W CUNICELLI SPDR RUSSELL 1000 ETF S 192.00 0.00 0.00 0.00 50.0 0.00 0.00 0.00	06/29/2018 75501945 EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P EMERGING MAR B	176.57	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501945 EDWARD W CUNICELLI SPDR RUSSELL 1000 ETF S 192.00 0.00 0.00 0.00 50.0 0.00 0.00 0.00	06/29/2018 75501945 EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P WORLD EX-US B	152.05	0.00	0.00	0.00 50.0	0.00			
06/29/2018 75501945 EDWARD W CUNICELLI SPDR RUSSELL 2000 ETF S 129.21 0.00 0.00 0.00 50.0 0.00	06/29/2018 75501945 EDWARD W CUNICELLI	SPDR RUSSELL 1000 ETF S	192.00	0.00	0.00	0.00 50.0	0.00			
	06/29/2018 75501945 EDWARD W CUNICELLI	SPDR RUSSELL 2000 ETF S	129.21	0.00	0.00	0.00 50.0	0.00			
	06/29/2018 75501945 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B	83.61	0.00	0.00	0.00 50.0	0.00			

## COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT  AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CATE	GORY: STOCKS							
06/29/2018 755	01945 EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.30	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	01994 MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US S	30.40	0.00	0.00	0.00	50.0	0.00
08/08/2018 755	01994 MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B	83.30	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P INTERNATIONA B	34.39	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P EMERGING MAR B	141.26	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P WORLD EX-US B	212.87	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR RUSSELL 1000 ETF S	192.00	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR RUSSELL 2000 ETF S	161.51	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	139.35	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02094 VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.30	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02174 SCHMIDT, JAMES	SPDR INDEX SHS FDS DOW JONES GLOBAL S	48.16	0.00	0.00	0.00	50.0	0.00
06/29/2018 755	02174 SCHMIDT, JAMES	SPDR INDEX SHARES FUNDS SPDR S&P EM B	47.42	0.00	0.00	0.00	50.0	0.00
	02174 SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P INTERNATIONA B	34.39	0.00	0.00	0.00	50.0	0.00
	02174 SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P EMERGING MAR B	388.46	0.00	0.00	0.00		0.00
	02174 SCHMIDT, JAMES	SPDR INDEX SHS FDS S&P WORLD EX-US B	334.51	0.00	0.00	0.00		0.00
	02174 SCHMIDT, JAMES	SPDR RUSSELL 1000 ETF S	384.00	0.00	0.00	0.00		0.00
	02174 SCHMIDT, JAMES	SPDR RUSSELL 2000 ETF S	290.72	0.00	0.00	0.00		0.00
	02174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	139.35	0.00	0.00	0.00	50.0	0.00
	02174 SCHMIDT, JAMES	SPDR SERIES TRUST SPDR BLOOMBERG BA B	27.30	0.00	0.00	0.00		0.00
,,		* STOCKS SUBTOTAL *	\$278,770.03	\$0.00	\$0.00	\$0.00		\$0.00
	MODVA WADTADIE AMMITETE		4-11,11111	4	7	4		7
	GORY: VARIABLE ANNUITIE	_	0.00	204 05	0.00	224 05	F0 0	160.45
	one) VARIOUS, MOSS	LINCOLN B	0.00	324.85	0.00	324.85		162.43
07/11/2018 (No.	one) VARIOUS, MOSS	JACKSON B	0.00	15.91	0.00	15.91	50.0	7.96
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$340.76	\$0.00	\$340.76		\$170.39
PRODUCT CATE	GORY: LIFE INSURANCE							
07/16/2018 (No.	one) VARIOUS, MOSS	BRIGHTHOUSE FINANCIAL B	0.00	712.66	0.00	712.66	50.0	356.33
		* LIFE INSURANCE SUBTOTAL *	\$0.00	\$712.66	\$0.00	\$712.66		\$356.33
PRODUCT CATE	GORY: MISCELLANEOUS							
07/31/2018 VA	RIOUS, MOSS	STATE STREET PROG FEE B	0.00	473.22	0.00	473.22	50.0	236.61
		* MISCELLANEOUS SUBTOTAL *	\$0.00	\$473.22	\$0.00	\$473.22		\$236.61
PRODUCT CATE	GORY: RIA							
	01796 MOSS, KATHLEEN	FAS FEES PT. I/III B	-148.63	50.08	0.00	50.08	50.0	25.04
07/01/2018 755	01797 HERTEN, TIMOTHY	FAS FEES PT. I/III B	-114.91	38.71	0.00	38.71	50.0	19.36
01/01/2010 133			-112.20	37.80	0.00	37.80		18.90
	01799 HERTEN, TIMOTHY	FAS FEES PT. I/III B	112.20					
07/01/2018 755		FAS FEES PT. 1/111 B FAS FEES PT. 1/111 B	-381.40	128.51	0.00	128.51		64.26
07/01/2018 755 07/01/2018 755	01799 HERTEN, TIMOTHY 01801 JOHN W SCHULTZ TTEE 01802 MCELREAVEY, DANIEL					128.51		

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: RIA								
07/01/2018 7	5501875 RALPH G BARTO TRUST	FAS FEES PT. I/III	В	-610.84	205.82	0.00	205.82	50.0	102.91
07/01/2018 7	5501877 MORRIS WEISMAN TTEE	FAS FEES PT. I/III	В	-1,697.68	572.04	0.00	572.04	50.0	286.02
07/01/2018 7	5501878 MORRIS WEISMAN TTEE	FAS FEES PT. I/III	В	-215.72	72.68	0.00	72.68	50.0	36.34
07/01/2018 7	5501907 HESTON, EUGENE	FAS FEES PT. I/III	В	-385.16	129.78	0.00	129.78	50.0	64.89
07/01/2018 7	5501922 HAFENMAIER, HELEN	FAS FEES PT. I/III	В	-303.89	102.39	0.00	102.39	50.0	51.20
07/01/2018 7	5501924 CUNNINGHAM, WENDY	FAS FEES PT. I/III	В	-348.21	117.33	0.00	117.33	50.0	58.67
07/01/2018 7	5501937 BERG, ELIZABETH	FAS FEES PT. I/III	В	-332.67	112.09	0.00	112.09	50.0	56.05
07/01/2018 7	5501944 EDWARD W CUNICELLI	FAS FEES PT. I/III	В	-317.06	106.83	0.00	106.83	50.0	53.42
07/01/2018 7	5502025 THIEU, MINH	FAS FEES PT. I/III	В	-499.12	168.18	0.00	168.18	50.0	84.09
07/01/2018 7	5502026 DAVID DIEU TTEE	FAS FEES PT. I/III	В	-332.58	112.06	0.00	112.06	50.0	56.03
07/01/2018 7	5502095 VERBITSKI, THOMAS	FAS FEES PT. I/III	В	-1,040.30	350.53	0.00	350.53	50.0	175.27
07/01/2018 7	5502176 DENNIN, MARY	FAS FEES PT. I/III	В	-236.31	79.62	0.00	79.62	50.0	39.81
			* RIA SUBTOTAL *	\$-7,704.94	\$2,596.14	\$0.00	\$2,596.14		\$1,298.11
PRODUCT CA	TEGORY: MUTUAL FUND 12B1	TRAILS							
07/31/2018		FEE COUNT - 14		0.00	6.78	0.00	6.78	50.0	1.25
		* MUTUAL FUND 12B1	TRAILS SUBTOTAL *	\$0.00	\$6.78	\$0.00	\$6.78		\$1.25
		*** BROKER TRANS	ACTIONS TOTAL ***	\$271,065.09	\$4,307.00	\$0.00	\$4,307.00		\$2,151.42
			ADJUSTME	NTS					
07/21/2010			DIV FIE (IDOM).	0.00	0.00	0.00	0.00		27 50
07/31/2018		DEUNB: UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018 07/31/2018		DEUNB: UNBUNDLED QRT DEUNB: UNBUNDLED ORT		0.00	0.00	0.00	0.00		-37.50 -37.50
		DEUNB: UNBUNDLED ORT	, ,	0.00	0.00	0.00	0.00		-37.50
07/31/2018 07/31/2018		DEUNB: UNBUNDLED ORT	, ,	0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED ORT	, ,	0.00	0.00	0.00	0.00		-38.87
07/31/2018		DEUNB: UNBUNDLED QRT	, ,	0.00	0.00	0.00	0.00		-108.04
07/31/2018		DEUNB: UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED QRI		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED QRI		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB: UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QRT		0.00	0.00	0.00	0.00		-37.50
07/31/2018		DEUNB:UNBUNDLED QRT		0.00	0.00	0.00	0.00		-58.26
0., 01, 2010		220112 ONDONDED ON	(1101)	0.00	0.00	0.00	0.00		30.20

## 08/13/2018 COMMISSION STATEMENT for STEPHEN MOSS Page No.

TRADE DATE	CLIENT	INVESTMENT B/	INVESTMENT  AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTM	ENTS					
07/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):	0.00	0.00	0.00	0.00		-37.50
07/31/2018		ADD FEE: REV- UNBUNDLED FEES 2/3 3/	0.00	0.00	0.00	0.00		517.78
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	•	\$-249.89
					1	BROKER COMM	ISSION	TOTALS
					Broke	r Transactions	3	\$2,151.42
					Spli	t Transactions	5	\$0.00
					Overrid	le Transactions	5	\$0.00
						Adjustments	5	\$-249.89
						Payroll Draw	I	\$0.00
						Base Salary	7	\$0.00
					Prior	Period Balance	2	\$0.00
					FI	NRA Assessment	:	\$-3.90
					S	SIPC Assessment		\$-7.80
						Check Amount	:	\$1,889.83
					Year-to	-date Earnings	;	\$17,279.61