

**COMMISSION STATEMENT****June 15, 2018**THOMAS, H121 HALSEY  
7261 W. Central Ave.BROKER #: H121  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
/ /	LEROUX, LAURA	BLACKROCK 67776G469	0.00	35.00	0.00	35.00	85.0	29.75
		<b>* 529 PLANS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$35.00</b>	<b>\$0.00</b>	<b>\$35.00</b>		<b>\$29.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/29/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
06/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	12.75
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$450.00</b>	<b>\$17.50</b>	<b>\$0.00</b>	<b>\$17.50</b>		<b>\$14.88</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	29,173.24	24.31	0.00	24.31	85.0	20.66
05/31/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	162,724.12	176.28	0.00	176.28	85.0	149.84
05/31/2018	NOWAK, DAVID	JOHN HANCOCK VENTURE III	19,196.08	16.00	0.00	16.00	85.0	13.60
05/31/2018	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	54,298.43	45.25	0.00	45.25	85.0	38.46
05/31/2018	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	42,938.78	35.78	0.00	35.78	85.0	30.41
05/30/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
06/04/2018	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
06/11/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
05/02/2018	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	134,640.61	112.20	0.00	112.20	85.0	95.37
05/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	112,768.18	93.97	0.00	93.97	85.0	79.87
05/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	83,675.85	69.73	0.00	69.73	85.0	59.27
05/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	240,066.63	200.06	0.00	200.06	85.0	170.05
05/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	103,828.96	86.52	0.00	86.52	85.0	73.54
05/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	148,350.15	123.63	0.00	123.63	85.0	105.09
05/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	149,286.23	124.41	0.00	124.41	85.0	105.75
05/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	193,779.75	161.48	0.00	161.48	85.0	137.26
05/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	256,722.13	213.94	0.00	213.94	85.0	181.85
05/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	134,818.97	112.35	0.00	112.35	85.0	95.50

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	175,511.53	146.26	0.00	146.26	85.0	124.32
05/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	112,302.10	93.59	0.00	93.59	85.0	79.55
05/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	96,676.28	80.56	0.00	80.56	85.0	68.48
05/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	90,147.67	75.12	0.00	75.12	85.0	63.85
05/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	140,380.61	116.98	0.00	116.98	85.0	99.43
05/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	65,492.62	54.58	0.00	54.58	85.0	46.39
05/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	28,356.65	23.63	0.00	23.63	85.0	20.09
05/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	231,056.51	192.55	0.00	192.55	85.0	163.67
05/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,818.78	59.02	0.00	59.02	85.0	50.17
05/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	70,818.78	59.02	0.00	59.02	85.0	50.17
05/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	65,829.53	54.86	0.00	54.86	85.0	46.63
05/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	105,857.44	88.21	0.00	88.21	85.0	74.98
05/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	209,218.94	174.35	0.00	174.35	85.0	148.20
05/22/2018	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	426,987.74	355.82	0.00	355.82	85.0	302.45
05/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	94,449.87	78.71	0.00	78.71	85.0	66.90
05/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	405,491.04	337.91	0.00	337.91	85.0	287.22
05/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	39,049.91	32.54	0.00	32.54	85.0	27.66
05/26/2018	ANNESSER, CAROL	TRANSAMERICA LIBERTY	110,926.14	92.44	0.00	92.44	85.0	78.57
05/27/2018	DESELMs, KAREN	TRANSAMERICA LIBERTY	125,477.49	104.56	0.00	104.56	85.0	88.88
05/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	29,135.93	24.28	0.00	24.28	85.0	20.64
05/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	67,939.80	56.62	0.00	56.62	85.0	48.13
05/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	35,815.76	29.85	0.00	29.85	85.0	25.37
05/30/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	48,645.00	40.54	0.00	40.54	85.0	34.46
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$4,712,854.23</b>	<b>\$3,978.91</b>	<b>\$0.00</b>	<b>\$3,978.91</b>		<b>\$3,382.09</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ABBE JR, GARY	AM FUNDS AMCAP A	0.00	1.41	0.00	1.41	85.0	1.20
06/01/2018	PAPPIO, AARON	AM FUNDS AMCAP A	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	6.07	0.00	6.07	85.0	5.16
06/01/2018	NOWAK, COLETTE	AM FUNDS AMERICAN BALANCED A	0.00	0.90	0.00	0.90	85.0	0.77
06/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS AMERICAN BALANCED A	0.00	3.90	0.00	3.90	85.0	3.32
06/01/2018	FOSTER, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	85.0	0.43
06/01/2018	WRAY, KIM	AM FUNDS AMERICAN BALANCED A	0.00	11.40	0.00	11.40	85.0	9.69
06/01/2018	PAPPIO, JOE	AM FUNDS AMERICAN BALANCED A	0.00	0.67	0.00	0.67	85.0	0.57

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	4.71	0.00	4.71	85.0	4.00
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	2.02	0.00	2.02	85.0	1.72
06/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	85.0	0.31
06/01/2018	MEREDITH, TERESE	AM FUNDS AMERICAN BALANCED A	0.00	2.47	0.00	2.47	85.0	2.10
06/01/2018	RIEBE, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	4.73	0.00	4.73	85.0	4.02
06/01/2018	KRAMER, DELANEY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2018	KRAMER, KARYS	AM FUNDS AMERICAN BALANCED A	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	85.0	0.61
06/01/2018	ALDRICH, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018	LEININGER, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	2.75	0.00	2.75	85.0	2.34
06/01/2018	PERRY, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	20.33	0.00	20.33	85.0	17.28
06/01/2018	GERE, AMY	AM FUNDS AMERICAN BALANCED A	0.00	3.36	0.00	3.36	85.0	2.86
06/01/2018	BASSETT, ANTHONY	AM FUNDS AMERICAN BALANCED A	0.00	3.67	0.00	3.67	85.0	3.12
06/01/2018	DERR, LUCINDA	AM FUNDS AMERICAN BALANCED A	0.00	4.36	0.00	4.36	85.0	3.71
06/01/2018	ABBE, AMY	AM FUNDS AMERICAN BALANCED A	0.00	1.20	0.00	1.20	85.0	1.02
06/01/2018	ZORNOW, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	0.94	0.00	0.94	85.0	0.80
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	9.65	0.00	9.65	85.0	8.20
06/01/2018	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018	WRAY, KIM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.32	0.00	1.32	85.0	1.12
06/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2018	RICHARDS, STEPHEN	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.91	0.00	1.91	85.0	1.62
06/01/2018	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.21	0.00	0.21	85.0	0.18
06/01/2018	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2018	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.23	0.00	2.23	85.0	1.90
06/01/2018	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	12.34	0.00	12.34	85.0	10.49
06/01/2018	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.36	0.00	0.36	85.0	0.31
06/01/2018	DIENER, RONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	19.97	0.00	19.97	85.0	16.97
06/01/2018	DESELMS, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.70	0.00	1.70	85.0	1.45
06/01/2018	DESELMS, ELEVLYN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.02	0.00	7.02	85.0	5.97
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.92	0.00	3.92	85.0	3.33

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/01/2018	PRZYBYLSKI, JEREMIAH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.44	0.00	0.44	85.0	0.37
06/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2018	BAKER, ELAINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.39	0.00	5.39	85.0	4.58
06/01/2018	NOWAK, COLETTE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.72	0.00	0.72	85.0	0.61
06/01/2018	BAUMGARTNER, DIANA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.54	0.00	4.54	85.0	3.86
06/01/2018	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.23	0.00	1.23	85.0	1.05
06/01/2018	RIEBE, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	85.0	0.63
06/01/2018	HAWBLITZ, PETER	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.47	0.00	3.47	85.0	2.95
06/01/2018	WRAY, KIM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.68	0.00	5.68	85.0	4.83
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.56	0.00	1.56	85.0	1.33
06/01/2018	FRANTZ, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	DESELMS, RAYMOND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.38	0.00	0.38	85.0	0.32
06/01/2018	HALSEY, WILLIAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2018	ABBE JR, GARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.82	0.00	1.82	85.0	1.55
06/01/2018	HARRISON, JERRY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.45	0.00	1.45	85.0	1.23
06/01/2018	DREEZE, MARCELINA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.11	0.00	1.11	85.0	0.94
06/01/2018	PERRY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	17.10	0.00	17.10	85.0	14.54
06/01/2018	VESEY, CAROL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018	DERR, LUCINDA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.89	0.00	1.89	85.0	1.61
06/01/2018	ZORNOW, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	85.0	0.63
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.26	0.00	0.26	85.0	0.22
06/01/2018	MEREDITH, JEFFERY	AM FUNDS AMERICAN MUTUAL A	0.00	2.53	0.00	2.53	85.0	2.15
06/01/2018	BASSETT, ANTHONY	AM FUNDS AMERICAN MUTUAL A	0.00	4.76	0.00	4.76	85.0	4.05
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.29	0.00	3.29	85.0	2.80
06/01/2018	FOSTER, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS BOND FUND OF AMERICA A	0.00	0.83	0.00	0.83	85.0	0.71
06/01/2018	RIEBE, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.33	0.00	3.33	85.0	2.83
06/01/2018	HALSEY, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.16	0.00	0.16	85.0	0.14
06/01/2018	ZORNOW, BETTY	AM FUNDS BOND FUND OF AMERICA A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS BOND FUND OF AMERICA A	0.00	0.18	0.00	0.18	85.0	0.15

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.14	0.00	0.14	85.0	0.12
06/01/2018	DIENER, RONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.36	0.00	9.36	85.0	7.96
06/01/2018	DESELMS, ELEVLYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.93	0.00	8.93	85.0	7.59
06/01/2018	KREMPEC, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	85.0	0.71
06/01/2018	LEININGER, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.36	0.00	1.36	85.0	1.16
06/01/2018	FRANTZ, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.95	0.00	0.95	85.0	0.81
06/01/2018	BAKER, ELAINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.89	0.00	5.89	85.0	5.01
06/01/2018	NOWAK, COLETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018	BAUMGARTNER, DIANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.82	0.00	3.82	85.0	3.25
06/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.52	0.00	2.52	85.0	2.14
06/01/2018	FOSTER, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.39	0.00	1.39	85.0	1.18
06/01/2018	WRAY, KIM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.39	0.00	13.39	85.0	11.38
06/01/2018	EYLER, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.38	0.00	6.38	85.0	5.42
06/01/2018	JOHNSON, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	85.0	0.84
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.43	0.00	1.43	85.0	1.22
06/01/2018	MEREDITH, TERESE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.85	0.00	1.85	85.0	1.57
06/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2018	KRIEGER, RENEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.35	0.00	9.35	85.0	7.95
06/01/2018	RIEBE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.68	0.00	3.68	85.0	3.13
06/01/2018	FISHER, SCOTT TW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.44	0.00	3.44	85.0	2.92
06/01/2018	FRENCH, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22
06/01/2018	FRENCH, RICHARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	85.0	0.22
06/01/2018	DREEZE, MARCELINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.46	0.00	1.46	85.0	1.24
06/01/2018	STACY, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.68	0.00	0.68	85.0	0.58
06/01/2018	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.83	0.00	1.83	85.0	1.56
06/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.93	0.00	6.93	85.0	5.89
06/01/2018	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.02	0.00	3.02	85.0	2.57
06/01/2018	PERRY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.91	0.00	11.91	85.0	10.12
06/01/2018	VESEY, CAROL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2018	DERR, LUCINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.82	0.00	3.82	85.0	3.25
06/01/2018	ABBE, AMY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.48	0.00	1.48	85.0	1.26

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ZORNOW, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.66	0.00	0.66	85.0	0.56
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	85.0	0.20
06/01/2018	DIENER, RONALD	AM FUNDS CAPITAL WORLD BOND A	0.00	9.67	0.00	9.67	85.0	8.22
06/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS CAPITAL WORLD BOND A	0.00	5.84	0.00	5.84	85.0	4.96
06/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS CAPITAL WORLD BOND A	0.00	5.85	0.00	5.85	85.0	4.97
06/01/2018	HALSEY, WILLIAM	AM FUNDS CAPITAL WORLD BOND A	0.00	0.17	0.00	0.17	85.0	0.14
06/01/2018	MI/TOD	AM FUNDS CAPITAL WORLD BOND A	0.00	1.24	0.00	1.24	85.0	1.05
06/01/2018	DIENER, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.80	0.00	2.80	85.0	2.38
06/01/2018	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2018	DESELMS, ELEVLYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.72	0.00	11.72	85.0	9.96
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.70	0.00	8.70	85.0	7.40
06/01/2018	KREMPEC, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	85.0	0.68
06/01/2018	LEININGER, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.28	0.00	2.28	85.0	1.94
06/01/2018	FRANTZ, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2018	BAKER, ELAINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.94	0.00	11.94	85.0	10.15
06/01/2018	JOHNSON, ZACHARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.06	0.00	1.06	85.0	0.90
06/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.03	0.00	3.03	85.0	2.58
06/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.12	0.00	3.12	85.0	2.65
06/01/2018	JOHNSON, CHLOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.08	0.00	1.08	85.0	0.92
06/01/2018	RIEBE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.06	0.00	1.06	85.0	0.90
06/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.57	0.00	1.57	85.0	1.33
06/01/2018	WRAY, KIM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.98	0.00	6.98	85.0	5.93
06/01/2018	EYLER, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.41	0.00	6.41	85.0	5.45
06/01/2018	DESELMS, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	85.0	0.19
06/01/2018	PAPIO, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	85.0	0.40
06/01/2018	PAPIO, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.48	0.00	0.48	85.0	0.41
06/01/2018	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.25	0.00	1.25	85.0	1.06
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.52	0.00	1.52	85.0	1.29
06/01/2018	MEREDITH, JEFFERY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.22	0.00	3.22	85.0	2.74
06/01/2018	KRAMER, TRISTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	85.0	0.45
06/01/2018	ZORNOW, JORDAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.21	0.00	1.21	85.0	1.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	DESELMS, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	85.0	0.26
06/01/2018	KRIEGER, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.82	0.00	9.82	85.0	8.35
06/01/2018	ABBE JR, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2018	HARRISON, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.62	0.00	1.62	85.0	1.38
06/01/2018	DREEZE, MARCELINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.23	0.00	1.23	85.0	1.05
06/01/2018	STACY, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	85.0	0.18
06/01/2018	GRAHAM, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	85.0	0.20
06/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.31	0.00	9.31	85.0	7.91
06/01/2018	MI/TOD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.51	0.00	6.51	85.0	5.53
06/01/2018	LEININGER, KENNETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.79	0.00	2.79	85.0	2.37
06/01/2018	PERRY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.91	0.00	24.91	85.0	21.17
06/01/2018	BASSETT, ANTHONY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.72	0.00	2.72	85.0	2.31
06/01/2018	VESEY, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	85.0	0.40
06/01/2018	DERR, LUCINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.54	0.00	2.54	85.0	2.16
06/01/2018	ABBE, AMY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.91	0.00	0.91	85.0	0.77
06/01/2018	LEININGER, KENNETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	85.0	0.61
06/01/2018	ZORNOW, BETTY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	85.0	0.60
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	85.0	0.27
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	85.0	0.27
06/01/2018	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	85.0	0.68
06/01/2018	JOHNSON, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	85.0	0.68
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2018	FRANTZ, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.34	0.00	0.34	85.0	0.29
06/01/2018	WRAY, KIM	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.93	0.00	5.93	85.0	5.04
06/01/2018	ZORNOW, JORDAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.60	0.00	1.60	85.0	1.36
06/01/2018	FISHER, SCOTT TW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.80	0.00	3.80	85.0	3.23
06/01/2018	LEININGER, KENNETH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.14	0.00	1.14	85.0	0.97
06/01/2018	DIENER, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.28	0.00	8.28	85.0	7.04
06/01/2018	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.37	0.00	1.37	85.0	1.16
06/01/2018	DESELMS, ELEVLYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.91	0.00	12.91	85.0	10.97
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.88	0.00	15.88	85.0	13.50
06/01/2018	KREMPEC, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.25	0.00	1.25	85.0	1.06
06/01/2018	LEININGER, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.95	0.00	2.95	85.0	2.51

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	FOSTER, JOHN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.86	0.00	0.86	85.0	0.73
06/01/2018	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.91	0.00	0.91	85.0	0.77
06/01/2018	BAKER, ELAINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.36	0.00	8.36	85.0	7.11
06/01/2018	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.64	0.00	2.64	85.0	2.24
06/01/2018	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.40	0.00	2.40	85.0	2.04
06/01/2018	WRAY, KIM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.57	0.00	6.57	85.0	5.58
06/01/2018	EYLER, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.07	0.00	15.07	85.0	12.81
06/01/2018	DESELMS, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.48	0.00	2.48	85.0	2.11
06/01/2018	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.00	0.00	3.00	85.0	2.55
06/01/2018	JOHNSON, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.70	0.00	1.70	85.0	1.45
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.61	0.00	2.61	85.0	2.22
06/01/2018	FRANTZ, JUDITH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.45	0.00	0.45	85.0	0.38
06/01/2018	MEREDITH, TERESE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.41	0.00	3.41	85.0	2.90
06/01/2018	MEREDITH, JEFFERY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.73	0.00	2.73	85.0	2.32
06/01/2018	KRAMER, TRISTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.94	0.00	0.94	85.0	0.80
06/01/2018	ZORNOW, JORDAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.03	0.00	3.03	85.0	2.58
06/01/2018	DESELMS, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.48	0.00	0.48	85.0	0.41
06/01/2018	FISHER, SCOTT TW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.68	0.00	5.68	85.0	4.83
06/01/2018	HALSEY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.22	0.00	0.22	85.0	0.19
06/01/2018	LEININGER, ELI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	FRENCH, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.57	0.00	0.57	85.0	0.48
06/01/2018	FRENCH, RICHARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.57	0.00	0.57	85.0	0.48
06/01/2018	KRAMER, DELANEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	85.0	0.24
06/01/2018	ABBE JR, GARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.47	0.00	2.47	85.0	2.10
06/01/2018	KRAMER, KARYS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.35	0.00	0.35	85.0	0.30
06/01/2018	HARRISON, JERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.73	0.00	2.73	85.0	2.32
06/01/2018	DREEZE, MARCELINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.89	0.00	1.89	85.0	1.61
06/01/2018	CANNON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.25	0.00	0.25	85.0	0.21
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.06	0.00	1.06	85.0	0.90
06/01/2018	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.23	0.00	2.23	85.0	1.90
06/01/2018	ALDRICH, SUSAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.88	0.00	12.88	85.0	10.95
06/01/2018	MI/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.39	0.00	7.39	85.0	6.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	PAPIO, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.43	0.00	0.43	85.0	0.37
06/01/2018	LEININGER, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.91	0.00	2.91	85.0	2.47
06/01/2018	PERRY, CAROL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.99	0.00	20.99	85.0	17.84
06/01/2018	GERE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.65	0.00	3.65	85.0	3.10
06/01/2018	BASSETT, ANTHONY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.32	0.00	2.32	85.0	1.97
06/01/2018	DERR, LUCINDA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.90	0.00	5.90	85.0	5.02
06/01/2018	ABBE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	85.0	1.28
06/01/2018	LEININGER, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	LEININGER, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.65	0.00	0.65	85.0	0.55
06/01/2018	ZORNOW, BETTY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.19	0.00	1.19	85.0	1.01
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2018	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	0.00	2.52	0.00	2.52	85.0	2.14
06/01/2018	GERE, AMY	AM FUNDS GLOBAL BALANCED A	0.00	2.10	0.00	2.10	85.0	1.79
06/01/2018	DIENER, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.94	0.00	2.94	85.0	2.50
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.79	0.00	1.79	85.0	1.52
06/01/2018	BAKER, ELAINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.54	0.00	7.54	85.0	6.41
06/01/2018	NOWAK, COLETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	85.0	0.50
06/01/2018	BAUMGARTNER, DIANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	0.00	2.32	85.0	1.97
06/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.20	0.00	3.20	85.0	2.72
06/01/2018	FOSTER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.34	0.00	1.34	85.0	1.14
06/01/2018	WRAY, KIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.87	0.00	4.87	85.0	4.14
06/01/2018	DESELMS, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	85.0	0.43
06/01/2018	KRAMER, DELANEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	85.0	0.26
06/01/2018	KRAMER, KARYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	85.0	0.31
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	85.0	0.16
06/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.42	0.00	4.42	85.0	3.76
06/01/2018	LEININGER, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.26	0.00	1.26	85.0	1.07
06/01/2018	GERE, AMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.63	0.00	3.63	85.0	3.09
06/01/2018	DERR, LUCINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.66	0.00	2.66	85.0	2.26
06/01/2018	DIENER, RONALD	AM FUNDS ICA A	0.00	7.37	0.00	7.37	85.0	6.26
06/01/2018	MEREDITH, TERESE	AM FUNDS ICA A	0.00	2.51	0.00	2.51	85.0	2.13

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ZORNOW, JORDAN	AM FUNDS ICA A	0.00	2.71	0.00	2.71	85.0	2.30
06/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS ICA A	0.00	8.66	0.00	8.66	85.0	7.36
06/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS ICA A	0.00	8.70	0.00	8.70	85.0	7.40
06/01/2018	KRIEGER, RENEE	AM FUNDS ICA A	0.00	11.91	0.00	11.91	85.0	10.12
06/01/2018	GRAHAM, DAVID	AM FUNDS ICA A	0.00	0.32	0.00	0.32	85.0	0.27
06/01/2018	DIENER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.22	0.00	23.22	85.0	19.74
06/01/2018	PRZYBYLSKI, JEREMIAH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.63	0.00	0.63	85.0	0.54
06/01/2018	FOSTER, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.80	0.00	0.80	85.0	0.68
06/01/2018	BAKER, ELAINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.79	0.00	4.79	85.0	4.07
06/01/2018	HAWBLITZ, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.25	0.00	4.25	85.0	3.61
06/01/2018	PAPPIO, STEPHANIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2018	PAPPIO, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.42	0.00	0.42	85.0	0.36
06/01/2018	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.69	0.00	3.69	85.0	3.14
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.82	0.00	2.82	85.0	2.40
06/01/2018	FRANTZ, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.38	0.00	0.38	85.0	0.32
06/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.26	0.00	16.26	85.0	13.82
06/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.53	0.00	16.53	85.0	14.05
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.61	0.00	0.61	85.0	0.52
06/01/2018	ALDRICH, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018	LEININGER, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.21	0.00	3.21	85.0	2.73
06/01/2018	GERE, AMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.07	0.00	3.07	85.0	2.61
06/01/2018	DERR, LUCINDA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.42	0.00	5.42	85.0	4.61
06/01/2018	ZORNOW, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.31	0.00	1.31	85.0	1.11
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.59	0.00	0.59	85.0	0.50
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.59	0.00	0.59	85.0	0.50
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.47	0.00	0.47	85.0	0.40
06/01/2018	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.54	0.00	0.54	85.0	0.46
06/01/2018	DESELMS, ROBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2018	DIENER, RONALD	AM FUNDS INTL GROWTH AND INCOME A	0.00	10.52	0.00	10.52	85.0	8.94
06/01/2018	RIEBE, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.85	0.00	3.85	85.0	3.27
06/01/2018	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.55	0.00	1.55	85.0	1.32
06/01/2018	ALDRICH, SUSAN	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018	LEININGER, KENNETH	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.69	0.00	2.69	85.0	2.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	DESELMs, ELEVLYN	AM FUNDS NEW ECONOMY A	0.00	14.48	0.00	14.48	85.0	12.31
06/01/2018	KRIEGER, RENEE	AM FUNDS NEW ECONOMY A	0.00	12.52	0.00	12.52	85.0	10.64
06/01/2018	FISHER, SCOTT TW	AM FUNDS NEW ECONOMY A	0.00	6.13	0.00	6.13	85.0	5.21
06/01/2018	LEININGER, ELI	AM FUNDS NEW ECONOMY A	0.00	0.37	0.00	0.37	85.0	0.31
06/01/2018	LEININGER, LISA	AM FUNDS NEW ECONOMY A	0.00	0.37	0.00	0.37	85.0	0.31
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.78	0.00	1.78	85.0	1.51
06/01/2018	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.55	0.00	0.55	85.0	0.47
06/01/2018	BAKER, ELAINE	AM FUNDS NEW PERSPECTIVE A	0.00	7.29	0.00	7.29	85.0	6.20
06/01/2018	FOSTER, JOHN	AM FUNDS NEW PERSPECTIVE A	0.00	0.66	0.00	0.66	85.0	0.56
06/01/2018	WRAY, KIM	AM FUNDS NEW PERSPECTIVE A	0.00	4.12	0.00	4.12	85.0	3.50
06/01/2018	KRIEGER, RENEE	AM FUNDS NEW PERSPECTIVE A	0.00	9.40	0.00	9.40	85.0	7.99
06/01/2018	ABBE JR, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.94	0.00	0.94	85.0	0.80
06/01/2018	PAPIO, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2018	BASSETT, ANTHONY	AM FUNDS NEW PERSPECTIVE A	0.00	2.61	0.00	2.61	85.0	2.22
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS NEW WORLD A	0.00	1.56	0.00	1.56	85.0	1.33
06/01/2018	LEININGER, LISA	AM FUNDS NEW WORLD A	0.00	0.66	0.00	0.66	85.0	0.56
06/01/2018	WRAY, KIM	AM FUNDS NEW WORLD A	0.00	5.57	0.00	5.57	85.0	4.73
06/01/2018	EYLER, BARBARA	AM FUNDS NEW WORLD A	0.00	3.65	0.00	3.65	85.0	3.10
06/01/2018	DERR, LUCINDA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.19	0.00	2.19	85.0	1.86
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	7.92	0.00	7.92	85.0	6.73
06/01/2018	LEININGER, LISA	AM FUNDS SMALLCAP WORLD A	0.00	1.18	0.00	1.18	85.0	1.00
06/01/2018	BAKER, ELAINE	AM FUNDS SMALLCAP WORLD A	0.00	6.23	0.00	6.23	85.0	5.30
06/01/2018	WRAY, KIM	AM FUNDS SMALLCAP WORLD A	0.00	7.03	0.00	7.03	85.0	5.98
06/01/2018	EYLER, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	4.15	0.00	4.15	85.0	3.53
06/01/2018	MEREDITH, TERESE	AM FUNDS SMALLCAP WORLD A	0.00	2.36	0.00	2.36	85.0	2.01
06/01/2018	MEREDITH, JEFFERY	AM FUNDS SMALLCAP WORLD A	0.00	1.77	0.00	1.77	85.0	1.50
06/01/2018	ZORNOW, JORDAN	AM FUNDS SMALLCAP WORLD A	0.00	2.71	0.00	2.71	85.0	2.30
06/01/2018	LEININGER, ELI	AM FUNDS SMALLCAP WORLD A	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	FRENCH, VICTORIA	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	85.0	0.19
06/01/2018	FRENCH, RICHARD	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	85.0	0.19
06/01/2018	ABBE JR, GARY	AM FUNDS SMALLCAP WORLD A	0.00	1.32	0.00	1.32	85.0	1.12
06/01/2018	DREEZE, MARCELINA	AM FUNDS SMALLCAP WORLD A	0.00	1.14	0.00	1.14	85.0	0.97
06/01/2018	CANNON, BARBARA	AM FUNDS SMALLCAP WORLD A	0.00	0.18	0.00	0.18	85.0	0.15

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS SMALLCAP WORLD A	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	0.00	2.18	0.00	2.18	85.0	1.85
06/01/2018	ALDRICH, SUSAN	AM FUNDS SMALLCAP WORLD A	0.00	0.01	0.00	0.01	85.0	0.01
06/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS SMALLCAP WORLD A	0.00	11.28	0.00	11.28	85.0	9.59
06/01/2018	MI/TOD	AM FUNDS SMALLCAP WORLD A	0.00	10.54	0.00	10.54	85.0	8.96
06/01/2018	PAPIO, AARON	AM FUNDS SMALLCAP WORLD A	0.00	0.39	0.00	0.39	85.0	0.33
06/01/2018	LEININGER, KENNETH	AM FUNDS SMALLCAP WORLD A	0.00	1.19	0.00	1.19	85.0	1.01
06/01/2018	GERE, AMY	AM FUNDS SMALLCAP WORLD A	0.00	3.07	0.00	3.07	85.0	2.61
06/01/2018	BASSETT, ANTHONY	AM FUNDS SMALLCAP WORLD A	0.00	2.80	0.00	2.80	85.0	2.38
06/01/2018	DERR, LUCINDA	AM FUNDS SMALLCAP WORLD A	0.00	1.75	0.00	1.75	85.0	1.49
06/01/2018	ABBE, AMY	AM FUNDS SMALLCAP WORLD A	0.00	1.22	0.00	1.22	85.0	1.04
06/01/2018	LEININGER, LISA	AM FUNDS SMALLCAP WORLD A	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.95	0.00	5.95	85.0	5.06
06/01/2018	DIENER, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.57	0.00	4.57	85.0	3.88
06/01/2018	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	85.0	0.88
06/01/2018	TANGEMAN, JEANETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.99	0.00	31.99	85.0	27.19
06/01/2018	DESELMS, ELEVLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.72	0.00	10.72	85.0	9.11
06/01/2018	PRZBYLSKI, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.52	0.00	14.52	85.0	12.34
06/01/2018	FILIPEK, BETHANY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	85.0	0.20
06/01/2018	DESELMS, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.74	0.00	4.74	85.0	4.03
06/01/2018	BASSETT, HANNAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.28	0.00	1.28	85.0	1.09
06/01/2018	PRZYBYLSKI, JEREMIAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.29	0.00	1.29	85.0	1.10
06/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.83	0.00	4.83	85.0	4.11
06/01/2018	BEISWENGER, RANDALL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.31	0.00	7.31	85.0	6.21
06/01/2018	COUSINO, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.94	0.00	36.94	85.0	31.40
06/01/2018	KREMPEC, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	LEININGER, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.47	0.00	2.47	85.0	2.10
06/01/2018	FILIPEK, JESSICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.54	0.00	13.54	85.0	11.51
06/01/2018	AUGUSTYNIAK, SETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.48	0.00	0.48	85.0	0.41
06/01/2018	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.81	0.00	0.81	85.0	0.69
06/01/2018	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	85.0	0.88
06/01/2018	BAKER, ELAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.66	0.00	7.66	85.0	6.51
06/01/2018	DIERKSHEIDE, TRACI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.00	0.00	2.00	85.0	1.70

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	JOHNSON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	85.0	0.60
06/01/2018	FRANTZ, KAYLYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.93	0.00	0.93	85.0	0.79
06/01/2018	NOWAK, COLETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.96	0.00	0.96	85.0	0.82
06/01/2018	BAUMGARTNER, DIANA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.29	0.00	6.29	85.0	5.35
06/01/2018	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.33	0.00	2.33	85.0	1.98
06/01/2018	DESELMS, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.32	0.00	2.32	85.0	1.97
06/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	18.18	0.00	18.18	85.0	15.45
06/01/2018	JACKSON, MARNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.94	0.00	14.94	85.0	12.70
06/01/2018	JOHNSON, CHLOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.91	0.00	0.91	85.0	0.77
06/01/2018	BASSETT, JACK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.58	0.00	0.58	85.0	0.49
06/01/2018	COOK, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.71	0.00	0.71	85.0	0.60
06/01/2018	KRIEGER, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.84	0.00	3.84	85.0	3.26
06/01/2018	FRANTZ, KARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018	RIEBE, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.33	0.00	1.33	85.0	1.13
06/01/2018	HAWBLITZ, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.88	0.00	6.88	85.0	5.85
06/01/2018	PRZYBYLSKI, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.94	0.00	4.94	85.0	4.20
06/01/2018	FOSTER, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.00	0.00	2.00	85.0	1.70
06/01/2018	WRAY, KIM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.33	0.00	16.33	85.0	13.88
06/01/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.83	0.00	0.83	85.0	0.71
06/01/2018	DESELMS, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.19	0.00	3.19	85.0	2.71
06/01/2018	THANASIU, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.65	0.00	1.65	85.0	1.40
06/01/2018	PAPIO, STEPHANIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.69	0.00	0.69	85.0	0.59
06/01/2018	PAPIO, JOE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	85.0	0.48
06/01/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.77	0.00	3.77	85.0	3.20
06/01/2018	ZORNOW TRUST, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.98	0.00	2.98	85.0	2.53
06/01/2018	FRANTZ, JUDITH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	85.0	0.44
06/01/2018	MEREDITH, TERESE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.30	0.00	1.30	85.0	1.11
06/01/2018	RICHARDS, STEPHEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.83	0.00	6.83	85.0	5.81
06/01/2018	KRAMER, TRISTON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	85.0	0.64
06/01/2018	HAWBLITZ TRUST, AGNES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.49	0.00	9.49	85.0	8.07
06/01/2018	HAWBLITZ TRUST, PETER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.57	0.00	9.57	85.0	8.13
06/01/2018	KRIEGER, RENEE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.13	0.00	10.13	85.0	8.61
06/01/2018	FISHER, SCOTT TW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.76	0.00	4.76	85.0	4.05

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	LEININGER, ELI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	FRENCH, VICTORIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2018	FRENCH, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	85.0	0.25
06/01/2018	KRAMER, DELANEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	85.0	0.23
06/01/2018	ABBE JR, GARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.17	0.00	2.17	85.0	1.84
06/01/2018	KRAMER, KARYS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	DREEZE, MARCELINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.14	0.00	2.14	85.0	1.82
06/01/2018	STACY, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.21	0.00	0.21	85.0	0.18
06/01/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	85.0	0.14
06/01/2018	SAPP, ANGELAMARIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.36	0.00	1.36	85.0	1.16
06/01/2018	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.93	0.00	2.93	85.0	2.49
06/01/2018	GRAHAM, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	85.0	0.15
06/01/2018	AUGUSTYNIAK, ROGER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.77	0.00	13.77	85.0	11.70
06/01/2018	MI/TOD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.25	0.00	8.25	85.0	7.01
06/01/2018	PAPIO, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	85.0	0.48
06/01/2018	LEININGER, KENNETH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.45	0.00	4.45	85.0	3.78
06/01/2018	PERRY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	22.26	0.00	22.26	85.0	18.92
06/01/2018	GERE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.30	0.00	3.30	85.0	2.81
06/01/2018	AUGUSTYNIAK, VALERIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.10	0.00	0.10	85.0	0.09
06/01/2018	AUGUSTYNIAK, HEATHER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.84	0.00	0.84	85.0	0.71
06/01/2018	BASSETT, ANTHONY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.05	0.00	3.05	85.0	2.59
06/01/2018	VESEY, CAROL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.25	0.00	1.25	85.0	1.06
06/01/2018	DERR, LUCINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.27	0.00	8.27	85.0	7.03
06/01/2018	ABBE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.32	0.00	1.32	85.0	1.12
06/01/2018	LEININGER, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.33	0.00	0.33	85.0	0.28
06/01/2018	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.90	0.00	0.90	85.0	0.77
06/01/2018	ZORNOW, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.36	0.00	1.36	85.0	1.16
06/01/2018	ROTH/BENE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.61	0.00	0.61	85.0	0.52
06/01/2018	WIENS/BENE, MELANIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.61	0.00	0.61	85.0	0.52
06/01/2018	NORNOW/BENE, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.48	0.00	0.48	85.0	0.41
06/01/2018	DERR, LUCINDA	AMERICAN FUNDS CORPORATE BOND FD A	0.00	1.46	0.00	1.46	85.0	1.24
/ /	MARKLEY, JUSTIN	FIDELITY ADV EQUITY GROWTH CL M	0.00	3.95	0.00	3.95	85.0	3.36
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	8.10	0.00	8.10	85.0	6.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	4.13	0.00	4.13	85.0	3.51
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	5.74	0.00	5.74	85.0	4.88
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.91	0.00	6.91	85.0	5.87
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.88	0.00	4.88	85.0	4.15
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.55	0.00	4.55	85.0	3.87
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.53	0.00	6.53	85.0	5.55
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	7.84	0.00	7.84	85.0	6.66
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	10.39	0.00	10.39	85.0	8.83
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.42	0.00	5.42	85.0	4.61
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.46	0.00	6.46	85.0	5.49
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.32	0.00	6.32	85.0	5.37
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	15.53	0.00	15.53	85.0	13.20
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	7.26	0.00	7.26	85.0	6.17
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	8.07	0.00	8.07	85.0	6.86
06/01/2018	DESELMS, ROBERT	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	0.67	0.00	0.67	85.0	0.57
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,509.37</b>	<b>\$0.00</b>	<b>\$1,509.37</b>		<b>\$1,283.08</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$4,713,304.23</b>	<b>\$5,540.78</b>	<b>\$0.00</b>	<b>\$5,540.78</b>		<b>\$4,709.80</b>

**ADJUSTMENTS**

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
06/15/2018	*ALBRIDGE: FULL USER	0.00						-176.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2018	*TECHNOLOGY: ADMIN - D RYAN 1/3RD	0.00						-43.33
06/15/2018	*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00						-43.33
06/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-749.66</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,709.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-749.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$32.08
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,992.22
Year-to-date Gross	\$32,523.32
Year-to-date Earnings	\$27,645.26