



Concorde Investment Services, LLC

12/15/2017

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COMMISSION STATEMENT**December 15, 2017**JENNIFER DONAIS
1260 N. JoplinBROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

Mesa, AZ 85207-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/09/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.67	0.00	1.67	90.0	1.50
11/09/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.84	0.00	0.84	90.0	0.76
11/09/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	3.02	0.00	3.02	90.0	2.72
11/28/2017	DONAIS, BRADLEY	RYDEX NASDAQ-100 FUND INVESTOR CLAS	8,000.00	0.00	0.00	0.00	90.0	0.00
11/09/2017	DONAIS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.70	0.00	3.70	90.0	3.33
11/28/2017	DONAIS, BRADLEY	VOYA SER FD IN	11,054.21	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$19,054.21	\$9.23	\$0.00	\$9.23		\$8.31
<u>PRODUCT CATEGORY: STOCKS</u>								
11/28/2017	RIZIO, HELEN	APPLE INC COM	47,536.67	315.00	22.00	293.00	90.0	263.70
* STOCKS SUBTOTAL *			\$47,536.67	\$315.00	\$22.00	\$293.00		\$263.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	71,427.60	14.88	0.00	14.88	90.0	13.39
* VARIABLE ANNUITIES SUBTOTAL *			\$71,427.60	\$14.88	\$0.00	\$14.88		\$13.39
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	EDISS, ALEXANDER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	33.84	0.00	33.84	90.0	30.46
11/20/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.65	0.00	0.65	90.0	0.59
11/20/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.09	0.00	3.09	90.0	2.78
11/20/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.34	0.00	0.34	90.0	0.31
11/20/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.18	0.00	3.18	90.0	2.86
11/20/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.94	0.00	2.94	90.0	2.65
12/01/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	40.95	0.00	40.95	90.0	36.86
12/01/2017	RIVERA, MARISA	AM FUNDS GROWTH PORT 529A	0.00	6.39	0.00	6.39	90.0	5.75
12/01/2017	RIVERA, MARISA	AM FUNDS GROWTH PORT 529A	0.00	6.39	0.00	6.39	90.0	5.75
11/20/2017	DONAIS, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
11/20/2017	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.52	0.00	1.52	90.0	1.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
11/20/2017	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.68	0.00	1.68	90.0	1.51
11/20/2017	DONAIS, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.18	0.00	1.18	90.0	1.06
11/20/2017	DONAIS, JEREMY	PRINCIPAL LARGECAP S&P 500 INDEX FU	0.00	4.74	0.00	4.74	90.0	4.27
11/20/2017	DONAIS, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.44	0.00	3.44	90.0	3.10
11/20/2017	DONAIS, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.80	0.00	2.80	90.0	2.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$114.69	\$0.00	\$114.69		\$103.24
*** DIRECT TRANSACTIONS TOTAL ***			\$138,018.48	\$453.80	\$22.00	\$431.80		\$388.64

SPLIT TRANSACTIONS**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10**

10/31/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ VOYA 529 AGE 5-8 OPTION CL A	0.00	0.17	0.00	0.17	90.0	0.15
11/01/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ VOYA 529 AGE 5-8 OPTION CL A	0.00	0.43	0.00	0.43	90.0	0.39
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$0.00	\$0.60	\$0.00	\$0.60	\$0.54

BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333

11/20/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.30	0.00	1.30	90.0	1.17
11/22/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.37	0.00	0.37	90.0	0.33
11/20/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.61	0.00	0.61	90.0	0.55
11/20/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
11/20/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.81	0.00	0.81	90.0	0.73
11/20/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	4.06	0.00	4.06	90.0	3.65
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$7.24	\$0.00	\$7.24		\$6.51

BROKER: BJB JEN-BRENT-BRION TRU-DONAIS-LEE- #334

12/08/2017	AGUIRRE TRUST	ISHARES CORE MSCI EMERGING	1,995.48	33.50	15.07	18.43	90.0	16.59
12/08/2017	AGUIRRE TRUST	ISHARES TR BARCLAYS INTER CR BD FD	-2,951.67	50.25	15.07	35.18	90.0	31.66
12/08/2017	AGUIRRE TRUST	ISHARES TR IBOXX USD INVT GRADE COR	-1,088.96	16.75	15.07	1.68	90.0	1.51
12/08/2017	AGUIRRE TRUST	ISHARES TR S&P 500 INDEX FD	2,937.99	50.25	15.07	35.18	90.0	31.66
* BJB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$892.84	\$150.75	\$60.28	\$90.47		\$81.42

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.06	0.00	0.06	90.0	0.05
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.23	0.00	0.23	90.0	0.21
12/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.20	0.00	0.20	90.0	0.18
12/01/2017	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	8.88	0.00	8.88	90.0	7.99
12/01/2017	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	11.84	0.00	11.84	90.0	10.66
12/01/2017	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.91	0.00	9.91	90.0	8.92
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.07	0.00	0.07	90.0	0.06
12/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.36	0.00	0.36	90.0	0.32
12/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.16	0.00	0.16	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.16	0.00	0.16	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.15	0.00	0.15	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.16	0.00	0.16	90.0	0.14
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.07	0.00	0.07	90.0	0.06
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.34	0.00	0.34	90.0	0.31

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
12/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.17	0.00	0.17	90.0	0.15
12/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.09	0.00	0.09	90.0	0.08
12/01/2017	RASMUSSEN, CLINTON	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.15	0.00	0.15	90.0	0.14
11/09/2017	SKAARE, LARRY	FS INVESTMENT CORPORATION IV (CLASS	0.00	8.63	0.00	8.63	90.0	7.77
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$0.00	\$44.55	\$0.00	\$44.55		\$40.10
*** SPLIT TRANSACTIONS TOTAL ***			\$892.84	\$203.14	\$60.28	\$142.86		\$128.57

ADJUSTMENTS

12/15/2017	*: ETF PROSPECTUS MAILING	0.00						-12.31
12/15/2017	*: PERSHING: ETF PROSPECTUS MAILING	0.00						-1.16
12/15/2017	*: RBC WRITE OFF	0.00						-27.30
12/15/2017	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
12/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
12/15/2017	*RBC: CURRENT MONTH	0.00						-25.00
12/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-552.77

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BROKER COMMISSION TOTALS

Broker Transactions	\$388.64
Split Transactions	\$128.57
Override Transactions	\$0.00
Adjustments	\$-552.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$17.25
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-18.31
Year-to-date Gross	\$36,584.28
Year-to-date Earnings	\$32,182.07