



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
Page No. 1

JENNIFER DONAIS
78955 Via Trieste

BROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2017	EDISS, ALEXANDER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	30.34	0.00	30.34	90.0	27.31
03/01/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.58	0.00	38.58	90.0	34.72
02/16/2017	DONAISS, BRADLEY	ASPIRE BUFFALO MID CAP	13,118.30	0.00	0.00	0.00	90.0	0.00
02/03/2017	DONAISS, BRADLEY	COLUMBIA SELECT LARGE CAP GROWTH FU	25,516.93	0.00	0.00	0.00	90.0	0.00
02/16/2017	DONAISS, JENNIFER	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	9,498.29	0.00	0.00	0.00	90.0	0.00
02/09/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.47	0.00	1.47	90.0	1.32
02/21/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.74	0.00	0.74	90.0	0.67
03/10/2017	DONAISS, JEREMY	PRINCIPAL FUNDS HIGH YIELD II A	-5,968.34	0.00	0.00	0.00	90.0	0.00
02/09/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.52	0.00	2.52	90.0	2.27
02/21/2017	DONAISS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.10	0.00	3.10	90.0	2.79
02/17/2017	DONAISS, JENNIFER	VANGUARD HIGH DIVIDEND YIELD INDEX	8,000.00	0.00	23.00	-23.00	90.0	-20.70
02/16/2017	DONAISS, JENNIFER	VANGUARD SMALL-CAP INDEX FUND	8,000.00	0.00	23.00	-23.00	90.0	-20.70
* MUTUAL FUNDS SUBTOTAL *			\$58,165.18	\$76.75	\$46.00	\$30.75		\$27.68
<u>PRODUCT CATEGORY: STOCKS</u>								
02/16/2017	DONAISS, JENNIFER	SCHWAB STRATEGIC TR INTL EQUITY ETF	8,750.55	199.81	22.00	177.81	90.0	160.03
02/17/2017	DONAISS, BRADLEY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	9,425.93	105.00	22.00	83.00	90.0	74.70
02/17/2017	DONAISS, BRADLEY	SPDR SER TR S&P 600 SMALL CAP GROWT	9,614.25	105.00	0.00	105.00	90.0	94.50
02/16/2017	DONAISS, BRADLEY	VANGUARD INTL EQUITY INDEX FD INC F	9,391.72	199.43	22.00	177.43	90.0	159.69
02/16/2017	DONAISS, BRADLEY	VANGUARD STAR FD VANGUARD TOTAL INT	9,761.76	204.81	22.00	182.81	90.0	164.53
* STOCKS SUBTOTAL *			\$46,944.21	\$814.05	\$88.00	\$726.05		\$653.45
*** BROKER TRANSACTIONS TOTAL ***			\$105,109.39	\$890.80	\$134.00	\$756.80		\$681.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
02/09/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	0.20	0.00	0.20	90.0	0.18
02/09/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$0.00	\$0.25	\$0.00	\$0.25		\$0.23
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	0.61	0.00	0.61	90.0	0.55
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.32	0.00	0.32	90.0	0.29
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.40	0.00	0.40	90.0	0.36
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.10	0.00	0.10	90.0	0.09
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.04	0.00	0.04	90.0	0.04
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.29	0.00	0.29	90.0	0.26
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.41	0.00	0.41	90.0	0.37
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.33	0.00	0.33	90.0	0.30
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.28	0.00	0.28	90.0	0.25
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	0.61	0.00	0.61	90.0	0.55
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.36	0.00	0.36	90.0	0.32
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.31	0.00	0.31	90.0	0.28
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.40	0.00	0.40	90.0	0.36
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.10	0.00	0.10	90.0	0.09
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.03	0.00	0.03	90.0	0.03
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.21	0.00	0.21	90.0	0.19
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.41	0.00	0.41	90.0	0.37
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.36	0.00	0.36	90.0	0.32
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.28	0.00	0.28	90.0	0.25
03/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.05	0.00	0.05	90.0	0.05
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$9.75	\$0.00	\$9.75		\$8.79
*** SPLIT TRANSACTIONS TOTAL ***			\$1,020.00	\$10.00	\$0.00	\$10.00		\$9.02

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<u>ADJUSTMENTS</u>								
03/15/2017		*CONCIERGE SVC: 187468 DONAIS	0.00					-50.00
03/15/2017		*CONCIERGE SVC: 187505 MARISTUEN	0.00					-10.00
03/15/2017		*CONCIERGE SVC: 187682, 187686 AAFE	0.00					-20.00
03/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					588.47
03/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
03/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
03/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-0.60
03/15/2017		*PERSHING: ETF PROSPECTUS	0.00					-2.83
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-3.07
03/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-74.53

BROKER COMMISSION TOTALS

Broker Transactions	\$681.13
Split Transactions	\$9.02
Override Transactions	\$0.00
Adjustments	\$-74.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-544.80
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$70.82
Year-to-date Gross	\$3,355.13
Year-to-date Earnings	\$2,776.25

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