



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.40	0.00	0.40	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.40	0.00	0.40	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.39	0.00	0.39	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.40	0.00	0.40	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.37	0.00	0.37	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.28	0.00	0.28	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.32	0.00	0.32	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.32	0.00	0.32	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.15	0.00	0.15	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.99	0.00	0.99	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.85	0.00	0.85	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	3.05	0.00	3.05	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	1.60	0.00	1.60	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	2.01	0.00	2.01	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.60	0.00	0.60	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.60	0.00	0.60	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.62	0.00	0.62	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.60	0.00	0.60	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.62	0.00	0.62	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.50	0.00	0.50	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.43	0.00	0.43	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.43	0.00	0.43	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.18	0.00	0.18	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	1.46	0.00	1.46	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	1.18	0.00	1.18	100.0	0.00
^03/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.66	0.00	0.66	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	2.04	0.00	2.04	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	1.65	0.00	1.65	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	1.38	0.00	1.38	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	1.38	0.00	1.38	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	3.05	0.00	3.05	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	1.79	0.00	1.79	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	1.57	0.00	1.57	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	1.98	0.00	1.98	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.59	0.00	0.59	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.59	0.00	0.59	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.62	0.00	0.62	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.59	0.00	0.59	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.68	0.00	0.68	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.51	0.00	0.51	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.44	0.00	0.44	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.44	0.00	0.44	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.16	0.00	0.16	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.24	0.00	1.24	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.07	0.00	1.07	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	2.04	0.00	2.04	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	1.79	0.00	1.79	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	1.38	0.00	1.38	100.0	0.00
^03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	1.38	0.00	1.38	100.0	0.00
^03/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.60	0.00	0.60	100.0	0.00
^03/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.26	0.00	0.26	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,020.00	\$48.63	\$0.00	\$48.63		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,020.00	\$48.63	\$0.00	\$48.63		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2017		*CONCIERGE SVC: 187505 MARISTUEN	0.00					0.00
03/15/2017		*CONCIERGE SVC: 187682, 187686 AAFE	0.00					0.00
03/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					0.00
03/15/2017		*PERSHING: ETF PROSPECTUS	0.00					0.00
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.01

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed