



Concorde Investment Services, LLC

03/06/2017  
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## COMMISSION STATEMENT

February 28, 2017

DAVE/MIKE ZUPEK/VENTURINI  
5350 Vicksburg Dr.

BROKER #: Z117 Terminated 05/15/2015  
BRANCH: TADA WEALTH ADVISORS

Racine, WI 53403-

| DATE   | CLIENT           | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                            |                  |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>                 |                  |                                     |                      |                     |                    |                   |      |                      |
| 02/06/2017   | THOMPSON, TERRY  | AM FUNDS 2015 TARGET DATE A         | 125.00               | 2.50                | 0.00               | 2.50              | 0.0  | 0.00                 |
| 02/06/2017   | KISSH, BARBARA   | AM FUNDS AMERICAN BALANCED A        | 50.00                | 2.50                | 0.00               | 2.50              | 0.0  | 0.00                 |
| 02/06/2017   | VASSH, LYNN      | AM FUNDS FUNDAMENTAL INVESTORS C    | 17.00                | 0.17                | 0.00               | 0.17              | 0.0  | 0.00                 |
| 02/06/2017   | VASSH, LYNN      | AM FUNDS GROWTH FUND OF AMERICA C   | 16.50                | 0.17                | 0.00               | 0.17              | 0.0  | 0.00                 |
| 02/06/2017   | VASSH, LYNN      | AM FUNDS WASHINGTON MUTUAL INVESTOR | 16.50                | 0.17                | 0.00               | 0.17              | 0.0  | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                                    |                  |                                     | \$225.00             | \$5.51              | \$0.00             | \$5.51            |      | \$0.00               |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b> |                  |                                     |                      |                     |                    |                   |      |                      |
| 02/14/2017   | MUELLER, KENNETH | ADVANTAGE                           | 0.00                 | 0.00                | 0.00               | 0.00              | 0.0  | 0.00                 |
| 02/14/2017   | MUELLER, KENNETH | ADVANTAGE                           | 0.00                 | 62.83               | 0.00               | 62.83             | 0.0  | 0.00                 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *                    |                  |                                     | \$0.00               | \$62.83             | \$0.00             | \$62.83           |      | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***                            |                  |                                     | \$225.00             | \$68.34             | \$0.00             | \$68.34           |      | \$0.00               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                 |
|------------------------------|-----------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>   |
| <b>Year-to-date Gross</b>    | <b>\$742.51</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>   |