



Concorde Investment Services, LLC

02/14/2017
Page No. 1

COMMISSION STATEMENT

February 15, 2017

STAN WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
02/01/2017	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
01/30/2017	LANDGREN, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	4.13	0.00	4.13	92.0	3.80
01/30/2017	KS/TOD	AM FUNDS AMERICAN BALANCED A	450.00	12.38	0.00	12.38	92.0	11.39
01/31/2017	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	92.0	1.73
01/25/2017	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	3.75	0.00	3.75	92.0	3.45
01/30/2017	KS/TOD	AM FUNDS CAPITAL INCOME BUILDER A	300.00	8.25	0.00	8.25	92.0	7.59
01/25/2017	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	3.75	0.00	3.75	92.0	3.45
01/30/2017	LANDGREN, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	200.00	5.50	0.00	5.50	92.0	5.06
01/30/2017	KS/TOD	AM FUNDS FUNDAMENTAL INVESTORS A	600.00	16.50	0.00	16.50	92.0	15.18
01/23/2017	SCHMIDT, MARSHALL	AM FUNDS NEW WORLD A	30.00	1.50	0.00	1.50	92.0	1.38
01/25/2017	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	92.0	3.45
01/30/2017	LANDGREN, PAMELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	4.13	0.00	4.13	92.0	3.80
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.29	0.00	1.29	92.0	1.19
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	8.64	0.00	8.64	92.0	7.95
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.64	0.00	1.64	92.0	1.51
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	2.47	0.00	2.47	92.0	2.27
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.54	0.00	1.54	92.0	1.42
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.20	0.00	2.20	92.0	2.02
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	50.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.68	0.00	1.68	92.0	1.55
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	9.03	0.00	9.03	92.0	8.31
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	7.78	0.00	7.78	92.0	7.16
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	8.80	0.00	8.80	92.0	8.10
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	8.71	0.00	8.71	92.0	8.01
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	9.40	0.00	9.40	92.0	8.65

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	12.77	0.00	12.77	92.0	11.75
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	9.09	0.00	9.09	92.0	8.36
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.48	0.00	0.48	92.0	0.44
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.52	0.00	1.52	92.0	1.40
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	125.00	2.75	0.00	2.75	92.0	2.53
02/01/2017	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	3.55	0.00	3.55	92.0	3.27
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.66	0.00	0.66	92.0	0.61
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
02/01/2017	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	2.54	0.00	2.54	92.0	2.34
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.70	0.00	0.70	92.0	0.64
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	7.06	0.00	7.06	92.0	6.50
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	4.74	0.00	4.74	92.0	4.36
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY GROWTH T	0.00	22.58	0.00	22.58	92.0	20.77
/ /	HAYES, BEN	FIDELITY EQUITY GROWTH T	0.00	25.26	0.00	25.26	92.0	23.24
/ /	HEARD, DAVID	FIDELITY EQUITY GROWTH T	0.00	0.84	0.00	0.84	92.0	0.77
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY GROWTH T	0.00	19.19	0.00	19.19	92.0	17.65
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	7.91	0.00	7.91	92.0	7.28
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	7.95	0.00	7.95	92.0	7.31
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	30.19	0.00	30.19	92.0	27.77
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	34.84	0.00	34.84	92.0	32.05
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.65	0.00	0.65	92.0	0.60
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.02	0.00	3.02	92.0	2.78
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	1.99	0.00	1.99	92.0	1.83
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.51	0.00	0.51	92.0	0.47
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	2.05	0.00	2.05	92.0	1.89
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.16	0.00	0.16	92.0	0.15
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.48	0.00	3.48	92.0	3.20
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.54	0.00	1.54	92.0	1.42
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	0.95	0.00	0.95	92.0	0.87
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.78	0.00	1.78	92.0	1.64

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.38	0.00	3.38	92.0	3.11
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	2.87	0.00	2.87	92.0	2.64
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	4.56	0.00	4.56	92.0	4.20
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.14	0.00	1.14	92.0	1.05
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.44	0.00	0.44	92.0	0.40
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.21	0.00	1.21	92.0	1.11
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	4.53	0.00	4.53	92.0	4.17
01/31/2017	MILLER, WARREN	FRANKLIN BALANCE SHEET INVST A	0.00	13.16	0.00	13.16	92.0	12.11
01/31/2017	LAWRENCE POLICE	FRANKLIN BALANCED A	0.00	3.70	0.00	3.70	92.0	3.40
01/31/2017	BELL, BRAYDEN	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.82	0.00	0.82	92.0	0.75
01/31/2017	WYSSMAN, JOHN	FRANKLIN COREFOLIO 529 PORT A	0.00	1.78	0.00	1.78	92.0	1.64
01/31/2017	BRIMER JR, ALBERT	FRANKLIN DYNATECH A	0.00	9.23	0.00	9.23	92.0	8.49
01/31/2017	ATKINSON, MARSHA	FRANKLIN DYNATECH A	0.00	1.76	0.00	1.76	92.0	1.62
01/31/2017	WEBB, STAN	FRANKLIN DYNATECH A	0.00	1.87	0.00	1.87	92.0	1.72
01/31/2017	HENDERSON, SUSAN	FRANKLIN DYNATECH A	0.00	7.78	0.00	7.78	92.0	7.16
01/31/2017	RHEA, JONNIE	FRANKLIN DYNATECH A	0.00	21.57	0.00	21.57	92.0	19.84
01/31/2017	ATKINSON, MARSHA	FRANKLIN DYNATECH A	0.00	0.96	0.00	0.96	92.0	0.88
01/31/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	0.00	14.27	0.00	14.27	92.0	13.13
01/31/2017	MILLER, WARREN	FRANKLIN GROWTH A	0.00	23.59	0.00	23.59	92.0	21.70
01/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH A	0.00	6.73	0.00	6.73	92.0	6.19
01/31/2017	VALANOS, ELENI	FRANKLIN GROWTH A	0.00	0.91	0.00	0.91	92.0	0.84
01/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH A	0.00	7.88	0.00	7.88	92.0	7.25
01/31/2017	WEBB, STAN	FRANKLIN GROWTH A	0.00	2.74	0.00	2.74	92.0	2.52
01/31/2017	HENDERSON, SUSAN	FRANKLIN GROWTH A	0.00	8.24	0.00	8.24	92.0	7.58
01/31/2017	HIEGER, STEVEN	FRANKLIN GROWTH A	0.00	2.20	0.00	2.20	92.0	2.02
01/31/2017	ATKINSON, MARSHA	FRANKLIN GROWTH OPP A	0.00	2.28	0.00	2.28	92.0	2.10
01/31/2017	PETERSON, VICKIE	FRANKLIN HIGH INCOME A	0.00	1.51	0.00	1.51	92.0	1.39
01/31/2017	RHEA, JONNIE	FRANKLIN HIGH INCOME A	0.00	6.00	0.00	6.00	92.0	5.52
01/31/2017	HIEGER, STEVEN	FRANKLIN HIGH INCOME A	0.00	1.19	0.00	1.19	92.0	1.09
01/31/2017	ATKINSON, MARSHA	FRANKLIN HIGH INCOME A	0.00	1.23	0.00	1.23	92.0	1.13
01/31/2017	WEBB, STAN	FRANKLIN HIGH INCOME A	0.00	1.31	0.00	1.31	92.0	1.21
01/31/2017	HENDERSON, SUSAN	FRANKLIN HIGH INCOME A	0.00	4.01	0.00	4.01	92.0	3.69
01/31/2017	RHEA, JONNIE	FRANKLIN INCOME A	0.00	18.95	0.00	18.95	92.0	17.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	SMITH, PHILLIP	FRANKLIN INCOME A	0.00	3.69	0.00	3.69	92.0	3.39
01/31/2017	CROSS, MAX	FRANKLIN INCOME A	0.00	45.61	0.00	45.61	92.0	41.96
01/31/2017	LOZANO, RODOLFO	FRANKLIN INCOME A	0.00	3.75	0.00	3.75	92.0	3.45
01/31/2017	WEBB, STAN	FRANKLIN INCOME A	0.00	4.24	0.00	4.24	92.0	3.90
01/31/2017	ATKINSON, MARSHA	FRANKLIN INCOME A	0.00	3.93	0.00	3.93	92.0	3.62
01/31/2017	HENDERSON, SUSAN	FRANKLIN INCOME A	0.00	4.15	0.00	4.15	92.0	3.82
01/31/2017	ANDERSON, ANDRE	FRANKLIN INCOME A	0.00	0.04	0.00	0.04	92.0	0.04
01/31/2017	LEIGHTON, CAROL	FRANKLIN INCOME A	0.00	26.29	0.00	26.29	92.0	24.19
01/31/2017	PETERSEN, RAYMOND	FRANKLIN INCOME A	0.00	1.31	0.00	1.31	92.0	1.21
01/31/2017	DUMBAULD, PATRICIA	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	92.0	0.75
01/31/2017	STEPANEK TRUST, LEAH MAE	FRANKLIN INCOME A	0.00	6.19	0.00	6.19	92.0	5.69
01/31/2017	MILLER, WARREN	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	7.32	0.00	7.32	92.0	6.73
01/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	2.18	0.00	2.18	92.0	2.01
01/31/2017	BELL, BRAYDEN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	4.97	0.00	4.97	92.0	4.57
01/31/2017	WEBB, STAN	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.12	0.00	3.12	92.0	2.87
01/31/2017	PETERSON, VICKIE	FRANKLIN MUTUAL SHARES A	0.00	5.82	0.00	5.82	92.0	5.35
01/31/2017	HIEGER, STEVEN	FRANKLIN MUTUAL SHARES A	0.00	6.53	0.00	6.53	92.0	6.01
01/31/2017	BRIMER JR, ALBERT	FRANKLIN MUTUAL SHARES A	0.00	7.44	0.00	7.44	92.0	6.84
01/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL SHARES A	0.00	10.15	0.00	10.15	92.0	9.34
01/31/2017	VALANOS, ELENI	FRANKLIN MUTUAL SHARES A	0.00	3.46	0.00	3.46	92.0	3.18
01/31/2017	RHEA, JONNIE	FRANKLIN MUTUAL SHARES A	0.00	6.54	0.00	6.54	92.0	6.02
01/31/2017	LOZANO, RODOLFO	FRANKLIN MUTUAL SHARES A	0.00	5.96	0.00	5.96	92.0	5.48
01/31/2017	WEBB, STAN	FRANKLIN MUTUAL SHARES A	0.00	4.77	0.00	4.77	92.0	4.39
01/31/2017	ATKINSON, MARSHA	FRANKLIN MUTUAL SHARES A	0.00	5.82	0.00	5.82	92.0	5.35
01/31/2017	LEIGHTON, CAROL	FRANKLIN MUTUAL SHARES A	0.00	49.47	0.00	49.47	92.0	45.51
01/31/2017	WARRELL, MARK	FRANKLIN MUTUAL SHARES A	0.00	4.57	0.00	4.57	92.0	4.20
01/31/2017	PETERSEN, RAYMOND	FRANKLIN MUTUAL SHARES A	0.00	2.05	0.00	2.05	92.0	1.89
01/31/2017	RAYMER, RICHARD	FRANKLIN MUTUAL SHARES A	0.00	4.80	0.00	4.80	92.0	4.42
01/31/2017	MILLER, WARREN	FRANKLIN MUTUAL SHARES A	0.00	30.85	0.00	30.85	92.0	28.38
01/31/2017	PETERSEN, RAYMOND	FRANKLIN REAL RETURN A	0.00	1.47	0.00	1.47	92.0	1.35
01/31/2017	RHEA, JONNIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	8.97	0.00	8.97	92.0	8.25
01/31/2017	MILLER, WARREN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	4.94	0.00	4.94	92.0	4.54
01/31/2017	BELL, BRAYDEN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.89	0.00	3.89	92.0	3.58

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<u>BROKER TRANSACTIONS</u>								
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01/31/2017	HIEGER, STEVEN	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	2.01	0.00	2.01	92.0	1.85
01/31/2017	VALANOS, ELENI	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.46	0.00	1.46	92.0	1.34
01/31/2017	PETERSON, VICKIE	FRANKLIN US GOVT SEC FUND A	0.00	0.97	0.00	0.97	92.0	0.89
01/31/2017	DUMBAULD, PATRICIA	FRANKLIN US GOVT SEC FUND A	0.00	0.83	0.00	0.83	92.0	0.76
01/31/2017	LAWRENCE POLICE	FRANKLIN UTILITIES A	0.00	43.08	0.00	43.08	92.0	39.63
01/31/2017	PACHANKIS, JOHANNE	FT FOUNDING FUNDS ALLOC A	0.00	1.07	0.00	1.07	92.0	0.98
01/31/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	0.00	1.85	0.00	1.85	92.0	1.70
01/31/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	0.00	7.91	0.00	7.91	92.0	7.28
01/31/2017	MILLER, WARREN	FT MUTUAL BEACON FUND A	0.00	6.04	0.00	6.04	92.0	5.56
01/31/2017	BELL, BRAYDEN	FT MUTUAL EUROPEAN FUND A	0.00	1.51	0.00	1.51	92.0	1.39
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	3.90	0.00	3.90	92.0	3.59
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	3.91	0.00	3.91	92.0	3.60
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.51	0.00	0.51	92.0	0.47
01/31/2017	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
01/17/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.22	0.00	0.22	92.0	0.20
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.36	0.00	5.36	92.0	4.93
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.20	0.00	1.20	92.0	1.10
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.11	0.00	0.11	92.0	0.10
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	2.57	0.00	2.57	92.0	2.36
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	8.11	0.00	8.11	92.0	7.46
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	22.57	0.00	22.57	92.0	20.76
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.43	0.00	0.43	92.0	0.40
01/31/2017	RAYMER, RICHARD	TEMPLETON CHINA WORLD FUND A	0.00	3.32	0.00	3.32	92.0	3.05
01/31/2017	MAIMER, MELISSA	TEMPLETON CHINA WORLD FUND A	0.00	0.17	0.00	0.17	92.0	0.16
01/31/2017	BRIMER JR, ALBERT	TEMPLETON DEVELOPING MKTS A	0.00	1.53	0.00	1.53	92.0	1.41
01/31/2017	RAYMER, RICHARD	TEMPLETON DEVELOPING MKTS A	0.00	2.48	0.00	2.48	92.0	2.28
01/31/2017	BAKER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	92.0	0.07
01/31/2017	MILLER, WARREN	TEMPLETON DEVELOPING MKTS A	0.00	3.81	0.00	3.81	92.0	3.51
01/31/2017	BRIMER JR, ALBERT	TEMPLETON FOREIGN FUND A	0.00	9.93	0.00	9.93	92.0	9.14
01/31/2017	LEIGHTON, CAROL	TEMPLETON GLOBAL BOND A	0.00	4.67	0.00	4.67	92.0	4.30
01/31/2017	ATKINSON, MARSHA	TEMPLETON GLOBAL OPP A	0.00	1.70	0.00	1.70	92.0	1.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	RAYMER, RICHARD	TEMPLETON GROWTH A	0.00	1.18	0.00	1.18	92.0	1.09
01/31/2017	BRIMER JR, ALBERT	TEMPLETON GROWTH A	0.00	8.19	0.00	8.19	92.0	7.53
01/31/2017	PETERSON, VICKIE	TEMPLETON GROWTH A	0.00	3.20	0.00	3.20	92.0	2.94
01/31/2017	HIEGER, STEVEN	TEMPLETON GROWTH A	0.00	3.31	0.00	3.31	92.0	3.05
01/31/2017	LAWRENCE POLICE	TEMPLETON GROWTH A	0.00	14.10	0.00	14.10	92.0	12.97
01/31/2017	VALANOS, ELENI	TEMPLETON GROWTH A	0.00	0.95	0.00	0.95	92.0	0.87
01/31/2017	BAKER, KRISTI	TEMPLETON GROWTH A	0.00	0.24	0.00	0.24	92.0	0.22
01/31/2017	ELMORE, DAVID	TEMPLETON GROWTH A	0.00	0.58	0.00	0.58	92.0	0.53
01/31/2017	LOZANO, RODOLFO	TEMPLETON GROWTH A	0.00	5.16	0.00	5.16	92.0	4.75
01/31/2017	WEBB, STAN	TEMPLETON GROWTH A	0.00	2.68	0.00	2.68	92.0	2.47
01/31/2017	ANDERSON, ANDRE	TEMPLETON GROWTH A	0.00	0.06	0.00	0.06	92.0	0.06
01/31/2017	LEIGHTON, CAROL	TEMPLETON GROWTH A	0.00	38.29	0.00	38.29	92.0	35.23
01/31/2017	WARRELL, MARK	TEMPLETON GROWTH A	0.00	3.98	0.00	3.98	92.0	3.66
01/31/2017	MILLER, WARREN	TEMPLETON GROWTH A	0.00	10.76	0.00	10.76	92.0	9.90
01/31/2017	SMITH, PHILLIP	TEMPLETON GROWTH A	0.00	5.58	0.00	5.58	92.0	5.13
01/31/2017	VALANOS, ELENI	TEMPLETON GROWTH A	0.00	0.71	0.00	0.71	92.0	0.65
01/31/2017	NORTHROP, DANIEL	TEMPLETON WORLD A	0.00	89.60	0.00	89.60	92.0	82.43
01/31/2017	NORTHROP, PATRICIA	TEMPLETON WORLD A	0.00	33.98	0.00	33.98	92.0	31.26
01/31/2017	NORTHROP, DANIEL	TEMPLETON WORLD A	0.00	28.52	0.00	28.52	92.0	26.24
01/31/2017	NORTHROP, DANIEL	TEMPLETON WORLD A	0.00	37.58	0.00	37.58	92.0	34.57
01/31/2017	BELL, BRAYDEN	TEMPLETON WORLD A	0.00	0.66	0.00	0.66	92.0	0.61
* MUTUAL FUNDS SUBTOTAL *			\$2,880.00	\$1,262.50	\$0.00	\$1,262.50		\$1,161.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	SPRAGUE, KRISTY	JNL ELITE ACCESS	28,256.34	5.89	0.00	5.89	92.0	5.42
01/31/2017	BAZIL, RALPH	JNL ELITE ACCESS	53,546.54	11.16	0.00	11.16	92.0	10.27
01/31/2017	CLEARY, MICHAEL	JNL ELITE ACCESS	58,290.97	12.14	0.00	12.14	92.0	11.17
01/31/2017	ARVANITIS, MICHELLE	JNL PERSPECTIVE II 05/05	24,557.01	20.46	0.00	20.46	92.0	18.82
01/31/2017	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	26,982.48	11.24	0.00	11.24	92.0	10.34
01/31/2017	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	211,812.37	88.26	0.00	88.26	92.0	81.20
01/31/2017	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	37,689.36	15.70	0.00	15.70	92.0	14.44
01/31/2017	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	122,458.13	51.02	0.00	51.02	92.0	46.94
01/31/2017	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	97,225.40	40.51	0.00	40.51	92.0	37.27
01/31/2017	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	69,678.07	29.03	0.00	29.03	92.0	26.71

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2017	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	51,118.66	21.30	0.00	21.30	92.0	19.60
01/31/2017	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	76,699.10	31.96	0.00	31.96	92.0	29.40
01/31/2017	PRACHT, MARK	JNL PERSPECTIVE II 05/05	114,214.13	47.59	0.00	47.59	92.0	43.78
01/31/2017	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	151,669.17	63.20	0.00	63.20	92.0	58.14
01/31/2017	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	44,729.43	18.64	0.00	18.64	92.0	17.15
01/31/2017	BROWN, MARY	JNL PERSPECTIVE L	17,631.80	19.10	0.00	19.10	92.0	17.57
01/31/2017	CRANE, BEVERLY	JNL PERSPECTIVE L	148,246.80	160.60	0.00	160.60	92.0	147.75
01/31/2017	DANDURAND, BEVERLY	JNL PERSPECTIVE L	149,467.96	161.92	0.00	161.92	92.0	148.97
01/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	68,136.00	14.19	0.00	14.19	92.0	13.05
01/31/2017	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	31,477.76	19.67	0.00	19.67	92.0	18.10
01/31/2017	HEARD, DAVID	JOHN HANCOCK VENTURE	12,935.26	8.08	0.00	8.08	92.0	7.43
01/31/2017	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	37,115.82	7.73	0.00	7.73	92.0	7.11
01/31/2017	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	111,727.58	93.11	0.00	93.11	92.0	85.66
02/06/2017	GERSTENKORN, CAROLYN	MML CM LIFE PANORAMA PLUS	134,388.49	167.99	0.00	167.99	92.0	154.55
01/30/2017	STECKLINE, REBECCA	OHIO NATL ONCORE LITE	65,873.12	164.68	0.00	164.68	92.0	151.51
01/31/2017	STECKLINE, GREGORY	OHIO NATL ONCORE LITE	61,990.96	154.98	0.00	154.98	92.0	142.58
01/30/2017	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	58,331.61	12.15	0.00	12.15	92.0	11.18
01/30/2017	CRANE, JEANNE	SBL ADVISOR PROGRAM	251.60	0.17	0.00	0.17	92.0	0.16
01/30/2017	BROWN, MARSHALL	SBL ADVISOR PROGRAM	5,341.00	3.56	0.00	3.56	92.0	3.28
01/30/2017	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	42,283.64	28.19	0.00	28.19	92.0	25.93
01/30/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,374.00	13.58	0.00	13.58	92.0	12.49
01/30/2017	PERICA, NICK	SBL ADVISOR PROGRAM	20,640.00	4.30	0.00	4.30	92.0	3.96
01/30/2017	MARTIN, WAYNE	SBL ADVISOR PROGRAM	18,911.82	12.61	0.00	12.61	92.0	11.60
01/30/2017	PRATT, DAN	SBL ADVISOR PROGRAM	70,543.59	47.03	0.00	47.03	92.0	43.27
01/30/2017	PRATT, DAN	SBL ADVISOR PROGRAM	9,288.95	6.19	0.00	6.19	92.0	5.69
01/30/2017	GRUNKE, MARY	SBL ADVISOR PROGRAM	2,952.00	1.97	0.00	1.97	92.0	1.81
01/30/2017	NOWAK, LINDA	SBL ADVISOR PROGRAM	17,941.98	11.96	0.00	11.96	92.0	11.00
01/30/2017	WILSON, MICHAEL	SBL ADVISOR PROGRAM	15,030.00	3.13	0.00	3.13	92.0	2.88
01/30/2017	PACHECO, DANIEL	SBL ADVISOR PROGRAM	18,426.90	12.28	0.00	12.28	92.0	11.30
01/30/2017	SCOTT, MELANIE	SBL ADVISOR PROGRAM	36,663.38	24.44	0.00	24.44	92.0	22.48
01/30/2017	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	45,244.48	30.16	0.00	30.16	92.0	27.75
01/30/2017	GAMMON, RICHARD	SBL ADVISOR PROGRAM	7,296.00	4.86	0.00	4.86	92.0	4.47
01/30/2017	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	17,166.00	11.44	0.00	11.44	92.0	10.52

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/30/2017	HUGGINS, LINDA	SBL ADVISOR PROGRAM	25,282.00	16.85	0.00	16.85	92.0	15.50
01/30/2017	GREENFIELD, CHARLES	SBL ADVISOR PROGRAM	77.00	0.05	0.00	0.05	92.0	0.05
01/30/2017	CHENNAULT, WILLIAM	SBL ADVISOR PROGRAM	11,663.83	7.78	0.00	7.78	92.0	7.16
01/30/2017	ALEXANDER, DEBORAH	SBL ADVISOR PROGRAM	5,115.00	3.41	0.00	3.41	92.0	3.14
01/30/2017	SEGRIST, LLOYD	SBL ADVISOR PROGRAM	12,531.37	8.35	0.00	8.35	92.0	7.68
01/30/2017	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	12,653.00	8.44	0.00	8.44	92.0	7.76
01/30/2017	ROBINSON, ROSALEE	SBL ADVISOR PROGRAM	5,136.00	3.42	0.00	3.42	92.0	3.15
01/30/2017	SMITH, MAURICE	SBL ADVISOR PROGRAM	64.37	0.04	0.00	0.04	92.0	0.04
01/30/2017	HAYNES, VICKIE	SBL ADVISOR PROGRAM	10,994.00	7.33	0.00	7.33	92.0	6.74
01/30/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	34,701.60	23.14	0.00	23.14	92.0	21.29
01/30/2017	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	7,727.03	5.16	0.00	5.16	92.0	4.75
01/30/2017	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	12,514.68	8.34	0.00	8.34	92.0	7.67
01/30/2017	NOVAK, JAY	SBL ADVISOR PROGRAM	42,100.56	28.07	0.00	28.07	92.0	25.82
01/30/2017	ASHER, LEROY	SBL ADVISOR PROGRAM	6,192.00	4.13	0.00	4.13	92.0	3.80
01/30/2017	SAMBOL, JOHN	SBL ADVISOR PROGRAM	10,111.82	6.74	0.00	6.74	92.0	6.20
01/30/2017	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	51,893.45	34.60	0.00	34.60	92.0	31.83
01/30/2017	WOLF, LORRAINE	SBL ADVISOR PROGRAM	5,424.00	3.62	0.00	3.62	92.0	3.33
01/30/2017	HILL, MICHAEL	SBL ADVISOR PROGRAM	14,125.86	9.42	0.00	9.42	92.0	8.67
01/30/2017	GRIFFIN, JOYCE	SBL ADVISOR PROGRAM	18,355.62	12.24	0.00	12.24	92.0	11.26
01/30/2017	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	5,247.64	3.50	0.00	3.50	92.0	3.22
01/30/2017	LAHMANN, JAMES	SBL ADVISOR PROGRAM	40,397.00	33.66	0.00	33.66	92.0	30.97
01/30/2017	WYATT, LINDA	SBL ADVISOR PROGRAM	6,708.00	4.47	0.00	4.47	92.0	4.11
01/30/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	35,744.12	23.83	0.00	23.83	92.0	21.92
01/30/2017	NEFF, SHERRILL	SBL ADVISOR PROGRAM	23,965.00	15.98	0.00	15.98	92.0	14.70
01/30/2017	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	53,811.95	35.87	0.00	35.87	92.0	33.00
01/30/2017	JOHNSON, JUDY	SBL ADVISOR PROGRAM	56,287.85	37.53	0.00	37.53	92.0	34.53
01/30/2017	LEONARD, ROBERT	SBL ADVISOR PROGRAM	6,168.00	4.11	0.00	4.11	92.0	3.78
01/30/2017	DARR, YVONNE	SBL ADVISOR PROGRAM	24,514.94	16.34	0.00	16.34	92.0	15.03
01/30/2017	TUNG, CHAN	SBL ADVISOR PROGRAM	15,805.00	10.54	0.00	10.54	92.0	9.70
01/30/2017	COOPER, KATHERINE	SBL ADVISOR PROGRAM	7,357.00	4.90	0.00	4.90	92.0	4.51
01/30/2017	DAVIS, JOANNE	SBL ADVISOR PROGRAM	8,290.00	5.53	0.00	5.53	92.0	5.09
01/30/2017	YEAZEL, JOHN	SBL ADVISOR PROGRAM	65,150.51	43.43	0.00	43.43	92.0	39.96
01/30/2017	SAUNDERS, TONI	SBL ADVISOR PROGRAM	4,598.00	3.07	0.00	3.07	92.0	2.82

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/30/2017	POWELL, MATTHEW	SBL ADVISOR PROGRAM	30,552.43	20.37	0.00	20.37	92.0	18.74
01/30/2017	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	47,232.46	31.49	0.00	31.49	92.0	28.97
01/30/2017	SUTTON, LINDA	SBL ADVISOR PROGRAM	52,432.68	34.96	0.00	34.96	92.0	32.16
01/30/2017	MASLAK, MICHAEL	SBL ADVISOR PROGRAM	11,410.00	7.61	0.00	7.61	92.0	7.00
01/30/2017	RHEA, JONNIE	SBL SECUREDESIGNS VA	92,775.79	19.31	0.00	19.31	92.0	17.77
01/30/2017	WAGGONER, JOAN	SBL SECUREDESIGNS VA	133,951.15	72.55	0.00	72.55	92.0	66.75
01/30/2017	MCADAM, DANIEL	SBL SECUREDESIGNS VA	89,171.53	18.54	0.00	18.54	92.0	17.06
01/30/2017	BENNETT, CARA	SBL SECUREDESIGNS VA	10,454.85	2.19	0.00	2.19	92.0	2.01
01/30/2017	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	22,276.50	8.35	0.00	8.35	92.0	7.68
02/01/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
02/01/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$3,545,761.25	\$2,326.93	\$0.00	\$2,326.93		\$2,140.76
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/31/2017	WEBB, VARIOUS	ING RELIASTAR	0.00	19.40	0.00	19.40	92.0	17.85
01/31/2017	SCHMIDT, SYLVIA	ING RELIASTAR	0.00	12.00	0.00	12.00	92.0	11.04
01/31/2017	SCHMITT, SUSAN	ING RELIASTAR	0.00	8.00	0.00	8.00	92.0	7.36
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$39.40	\$0.00	\$39.40		\$36.25
*** BROKER TRANSACTIONS TOTAL ***			\$3,548,641.25	\$3,628.83	\$0.00	\$3,628.83		\$3,338.48
<u>ADJUSTMENTS</u>								
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-595.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,338.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-595.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,743.48
Year-to-date Gross	\$9,717.02
Year-to-date Earnings	\$8,939.58