

All Companies
STATEMENT
May 20, 2018

JEFF HAGYARD
5060 CALIFORNIA AVE
SUITE 620
BAKERSFIELD, CA 93309

BROKER #: 214
BRANCH: LA BRANCH

COMMISSION STATEMENT for JEFF HAGYARD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/01/0018	#012470001601 HARY-MARG	487300840	0.00	2.35	85.0	0.00	2.00	0.00	2.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.35		\$0.00	\$2.00	\$0.00	\$2.00
<u>PRODUCT CATEGORY: STOCKS</u>									
04/06/2018	# HAGYARD, SHIRLEY	BLACKROCK RESOURCES & COMMODITIES S	22,821.00	557.03	85.0	0.00	473.48	19.95	453.53
04/06/2018	# HAGYARD, SHIRLEY	BLACKROCK RESOURCES & COMMODITIES S	18,239.05	470.10	85.0	0.00	399.59	19.95	379.64
04/09/2018	# HAGYARD, SHIRLEY	CREDIT SUISSE AG VELOCITYSHARES 3X	14,926.95	389.68	85.0	0.00	331.23	19.95	311.28
04/13/2018	# HAGYARD, SHIRLEY	GENERAL ELECTRIC CO	13,496.00	336.59	85.0	0.00	286.10	19.95	266.15
04/13/2018	# HAGYARD, SHIRLEY	GENERAL ELECTRIC CO	6,747.78	190.09	85.0	0.00	161.58	19.95	141.63
04/20/2018	# HAGYARD, SHIRLEY	GENERAL ELECTRIC CO	29,321.00	587.71	85.0	0.00	499.55	19.95	479.60
04/20/2018	# HAGYARD, SHIRLEY	GENERAL ELECTRIC CO	14,734.00	354.58	85.0	0.00	301.39	19.95	281.44
* STOCKS SUBTOTAL *			\$120,285.78	\$2,885.78		\$0.00	\$2,452.92	\$139.65	\$2,313.27
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/02/2018	#00494634023	PUTNAM 746802883	0.00	0.08	85.0	0.00	0.07	0.00	0.07
04/02/2018	#00267174425 HERBST, SUSAN	PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	0.33	85.0	0.00	0.28	0.00	0.28
04/02/2018	#00271510259	PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	2.87	85.0	0.00	2.44	0.00	2.44
04/02/2018	#00446421060 BENEGARY	PUTNAM EQUITY INCOME FUND NEW-CL A	0.00	0.14	85.0	0.00	0.12	0.00	0.12
04/02/2018	#00321634039 HERBST, SUSAN	PUTNAM FDS TR SMALL CAP GROWTH FD C	0.00	3.61	85.0	0.00	3.07	0.00	3.07
04/02/2018	#00321634039 HERBST, SUSAN	PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	6.10	85.0	0.00	5.19	0.00	5.19
04/02/2018	#00517958094 HERBST, SUSAN	PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	1.71	85.0	0.00	1.45	0.00	1.45
04/02/2018	#00267174425 HERBST, SUSAN	PUTNAM INVESTORS FUND INC CL A	0.00	2.91	85.0	0.00	2.47	0.00	2.47
04/02/2018	#00271510259	PUTNAM MULTI CAP GROWTH FUND CL A	0.00	1.32	85.0	0.00	1.12	0.00	1.12
04/02/2018	#00267174425 HERBST, SUSAN	PUTNAM MULTI-CAP VALUE FUND-A	0.00	7.73	85.0	0.00	6.57	0.00	6.57
04/02/2018	#00271510259	PUTNAM MULTI-CAP VALUE FUND-A	0.00	12.11	85.0	0.00	10.29	0.00	10.29
04/02/2018	#00446421060 BENEGARY	PUTNAM MULTI-CAP VALUE FUND-A	0.00	26.03	85.0	0.00	22.13	0.00	22.13
04/03/2018	#08900008280 BENEGARY	PRINCIPAL EQUITY INCOME FUND	0.00	17.12	85.0	0.00	14.55	0.00	14.55
04/03/2018	#06008444561 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	0.00	20.38	85.0	0.00	17.32	0.00	17.32
04/03/2018	#08900008280 BENEGARY	S A M CONSERVATIVE GROWTH PORT (C)	0.00	7.10	85.0	0.00	6.04	0.00	6.04

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$109.54		\$0.00	\$93.11	\$0.00	\$93.11
		*** BROKER TRANSACTIONS TOTAL ***	\$120,285.78	\$2,997.67		\$0.00	\$2,548.03	\$139.65	\$2,408.38

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,408.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,839.38
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