



COMMISSION STATEMENT

February 12, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/12/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	75.0	1.56
02/12/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	DELAWARE EXTENDED DURATION BOND FUN BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	75.0	1.86
02/12/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	DELAWARE HEALTHCARE FUND CLASS A BUY 1 Units @0.0000	0.00	9.71	0.00	9.71	75.0	7.28
02/12/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	EATON VANCE GROWTH CLASS A BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	75.0	1.28
02/12/2018	***-**-572I - MELIN, LUCILLE ACCOUNT #: LSR011508	EATON VANCE HIGH INCOME FUND CLASS BUY 1 Units @0.0000	0.00	2.62	0.00	2.62	75.0	1.97
02/12/2018	***-**-3590 - HEALY, THOMAS ACCOUNT #: LSR011524	EATON VANCE STOCK FUND A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	75.0	1.13
02/12/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	EATON VANCE WORLDWIDE HEALTH SCIENC BUY 1 Units @0.0000	0.00	3.08	0.00	3.08	75.0	2.31
02/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	2.10	0.00	2.10	75.0	1.58
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV EMERGING MRKTS INC CL BUY 0 Units @0.0000	0.00	2.74	0.00	2.74	75.0	2.06
02/07/2018	***-**-6182 - BOWSER, ACCOUNT #: 00530590686	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.59	0.00	1.59	75.0	1.19
02/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	75.0	0.94
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.74	0.00	1.74	75.0	1.31
02/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
02/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.12	0.00	2.12	75.0	1.59



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.55	0.00	2.55	75.0	1.91
02/07/2018	***-**-6182 - BOWSER, ACCOUNT #: 00530590686	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	1.20	0.00	1.20	75.0	0.90
02/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	75.0	0.02
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV LEVERAGED CO STOCK CL BUY 0 Units @0.0000	0.00	2.91	0.00	2.91	75.0	2.18
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	75.0	1.04
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	2.47	0.00	2.47	75.0	1.85
02/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	2.21	0.00	2.21	75.0	1.66
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	1.46	0.00	1.46	75.0	1.10
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	2.85	0.00	2.85	75.0	2.14
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00700847502	FIDELITY ADV VALUE STRATEGIES-CL A BUY 0 Units @0.0000	0.00	2.76	0.00	2.76	75.0	2.07
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADVISOR DIVERSIFIED INTL F BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	75.0	0.75
02/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADVISOR EMERGING ASIA FUND BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00519256879	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	1.28	0.00	1.28	75.0	0.96
02/07/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 00522408038	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	2.46	0.00	2.46	75.0	1.85
02/07/2018	***-**-8910 - CANALE, MARIA ACCOUNT #: 00536974215	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
02/07/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 00529439069	FIDELITY ADVISORS TRAILS BUY 0 Units @0.0000	0.00	2.85	0.00	2.85	75.0	2.14



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/31/2018	***-**-0804 - GALE, MARY	FRANKLIN GROWTH FUND CLASS A	0.00	5.38	0.00	5.38	75.0	4.04
	ACCOUNT #: 000060173722867	BUY 0 Units @0.0000						
01/31/2018	***-**-5470 - PECAN, VALERIE	FRANKLIN GROWTH FUND CLASS A	0.00	25.39	0.00	25.39	75.0	19.04
	ACCOUNT #: 000060173722917	BUY 0 Units @0.0000						
01/31/2018	***-**-7193 - GALE, WALTER	FRANKLIN GROWTH FUND CLASS A	0.00	8.39	0.00	8.39	75.0	6.29
	ACCOUNT #: 000060173722929	BUY 0 Units @0.0000						
01/31/2018	***-**-6155 - LIPKOWITZ, VICKI	FRANKLIN GROWTH FUND CLASS A	0.00	7.34	0.00	7.34	75.0	5.51
	ACCOUNT #: 000060173722943	BUY 0 Units @0.0000						
01/31/2018	***-**-6155 - LIPKOWITZ, VICKI	FRANKLIN GROWTH FUND CLASS A	0.00	5.67	0.00	5.67	75.0	4.25
	ACCOUNT #: 000060173724719	BUY 0 Units @0.0000						
01/31/2018	***-**-5470 - PECAN, VALERIE	FRANKLIN GROWTH FUND CLASS A	0.00	113.51	0.00	113.51	75.0	85.13
	ACCOUNT #: 000060177360684	BUY 0 Units @0.0000						
01/31/2018	***-**-4546 - KENNEDY,	FRANKLIN GROWTH FUND CLASS A	0.00	1.67	0.00	1.67	75.0	1.25
	ACCOUNT #: 000060177611740	BUY 0 Units @0.0000						
01/31/2018	***-**-3904 - DENNIS, CONNOR	FRANKLIN INTL SMALL CAP GROWTH CL A	0.00	1.45	0.00	1.45	75.0	1.09
	ACCOUNT #: 0000000000012880	BUY 0 Units @0.0000						
01/31/2018	***-**-3982 - VANNI, JEANETTE	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	46.23	0.00	46.23	75.0	34.67
	ACCOUNT #: 000011516818126	BUY 0 Units @0.0000						
01/31/2018	***-**-8645 - MOAN, THERESA	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	31.53	0.00	31.53	75.0	23.65
	ACCOUNT #: 000011516818633	BUY 0 Units @0.0000						
01/31/2018	***-**-4535 - RATHGABER,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	10.41	0.00	10.41	75.0	7.81
	ACCOUNT #: 000015811918366	BUY 0 Units @0.0000						
01/31/2018	***-**-4546 - KENNEDY,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 000015815219405	BUY 0 Units @0.0000						
01/31/2018	***-**-4535 - RATHGABER,	FRK AGGRESSIVE GRWTH - CL A	0.00	7.73	0.00	7.73	75.0	5.80
	ACCOUNT #: 000000000920405	BUY 0 Units @0.0000						
01/31/2018	***-**-7193 - GALE, WALTER	FRK HIGH INC FND - CL A	0.00	2.49	0.00	2.49	75.0	1.87
	ACCOUNT #: 000050117556992	BUY 0 Units @0.0000						
01/31/2018	***-**-0804 - GALE, MARY	FRK HIGH INC FND - CL A	0.00	1.23	0.00	1.23	75.0	0.92
	ACCOUNT #: 000050117557006	BUY 0 Units @0.0000						
01/31/2018	***-**-3904 - DENNIS, CONNOR	FRK REAL EST SEC FND - CL A	0.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 000019212400333	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/31/2018	***-**-7193 - GALE, WALTER	FRK STRAT INC FND - CL A	0.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 000019412654220	BUY 0 Units @0.0000						
01/31/2018	***-**-0804 - GALE, MARY	FRK STRAT INC FND - CL A	0.00	4.70	0.00	4.70	75.0	3.53
	ACCOUNT #: 000019412910503	BUY 0 Units @0.0000						
01/31/2018	***-**-4546 - KENNEDY,	FRK VAL FND - CL A	0.00	2.89	0.00	2.89	75.0	2.17
	ACCOUNT #: 000028212516808	BUY 0 Units @0.0000						
02/12/2018	***-**-8973 - MIRABELL,	INTERNATIONAL GROWTH - CLASS A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00500433776	BUY 0 Units @0.0000						
02/12/2018	***-**-255I - GRAHAM, DEBORAH	MFS BOND FUND CLASS B	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
02/12/2018	***-**-255I - GRAHAM, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
02/12/2018	***-**-6710 - EVA JAYNE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	6.58	0.00	6.58	75.0	4.94
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
02/12/2018	***-**-6710 - EVA JAYNE	MFS INTERNATIONAL VALUE FUND-A	0.00	8.06	0.00	8.06	75.0	6.05
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
02/12/2018	***-**-255I - GRAHAM, DEBORAH	MFS UTILITIES FUND-B	0.00	2.64	0.00	2.64	75.0	1.98
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
01/31/2018	***-**-4546 - KENNEDY,	MUTUAL FINL SRVCS FND - CL A	0.00	2.09	0.00	2.09	75.0	1.57
	ACCOUNT #: 000000001127745	BUY 0 Units @0.0000						
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL FINANCIAL SERVICES CLASS	0.00	1.15	0.00	1.15	75.0	0.86
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-255I - GRAHAM, DEBORAH	PRUDENTIAL HIGH YIELD CLASS B	0.00	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON CONSERV GROWTH	0.00	1.66	0.00	1.66	75.0	1.25
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON EQUITY INCOME C	0.00	1.33	0.00	1.33	75.0	1.00
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON EQUITY OPP CL A	0.00	6.51	0.00	6.51	75.0	4.88
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-1832 - WEISMAN, DORIS	PRUDENTIAL JENNISON HEALTH SCIENCES	0.00	3.71	0.00	3.71	75.0	2.78
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	2.25	0.00	2.25	75.0	1.69
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-255I - GRAHAM, DEBORAH	PRUDENTIAL JENNISON SELECT GROWTH C	0.00	4.05	0.00	4.05	75.0	3.04
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
02/12/2018	***-**-181O - STEVEN A	PRUDENTIAL JENNISON UTILITY CLASS A	0.00	2.45	0.00	2.45	75.0	1.84
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL JENNISON UTILITY CLASS A	0.00	1.27	0.00	1.27	75.0	0.95
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-101I - LEMOINE, STEVEN	PRUDENTIAL TOTAL RETURN BOND CLASS	0.00	0.97	0.00	0.97	75.0	0.73
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
02/12/2018	***-**-255I - GRAHAM, DEBORAH	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	3.72	0.00	3.72	75.0	2.79
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
02/12/2018	***-**-671O - EVA JAYNE	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$60.00	\$403.34	\$0.00	\$403.34		\$302.58
*** BROKER TRANSACTIONS TOTAL ***			\$60.00	\$403.34	\$0.00	\$403.34		\$302.58



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions		\$302.58	
					Split Transactions		\$0.00	
					Override Transactions		\$0.00	
					Adjustments		\$0.00	
					Payroll Draw		\$0.00	
					Base Salary		\$0.00	
					Prior Period Balance		\$0.00	
					FINRA Assessment		\$0.00	
					SIPC Assessment		\$0.00	
					Net Commission Earned		\$302.58	
					Year-to-date Earnings		\$300.33	