



Concorde Investment Services, LLC

02/27/2018

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COMMISSION STATEMENT

February 28, 2018

J30 MARC/MICHAEL LINSKY/FOX
104 B Centre Blvd.

BROKER #: J30 Terminated 06/30/2016
BRANCH: E STREET FINANCIAL L

Marlton, NJ 08053

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^02/16/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	0.0	0.00
^03/15/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	0.0	0.00
^04/20/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	0.0	0.00
^05/16/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	0.0	0.00
^06/15/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	0.0	0.00
^07/18/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	0.0	0.00
^08/15/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	0.0	0.00
^09/16/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	0.0	0.00
^10/17/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	0.0	0.00
^11/18/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	0.0	0.00
^12/15/2016	HORAN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	0.0	0.00
^02/16/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.08	0.00	1.08	0.0	0.00
^03/15/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.99	0.00	0.99	0.0	0.00
^04/20/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.11	0.00	1.11	0.0	0.00
^05/16/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.10	0.00	1.10	0.0	0.00
^06/15/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.15	0.00	1.15	0.0	0.00
^07/18/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.12	0.00	1.12	0.0	0.00
^08/15/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.18	0.00	1.18	0.0	0.00
^09/16/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.19	0.00	1.19	0.0	0.00
^10/17/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.16	0.00	1.16	0.0	0.00
^11/18/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.20	0.00	1.20	0.0	0.00
^12/15/2016	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.15	0.00	1.15	0.0	0.00
^02/16/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.69	0.00	0.69	0.0	0.00
^03/15/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.62	0.00	0.62	0.0	0.00
^04/20/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.71	0.00	0.71	0.0	0.00
^05/16/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.70	0.00	0.70	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/15/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.72	0.00	0.72	0.0	0.00
^07/18/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.69	0.00	0.69	0.0	0.00
^08/15/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.73	0.00	0.73	0.0	0.00
^09/16/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.76	0.00	0.76	0.0	0.00
^10/17/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.75	0.00	0.75	0.0	0.00
^11/18/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.76	0.00	0.76	0.0	0.00
^12/15/2016	HORAN, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.71	0.00	0.71	0.0	0.00
^02/16/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^03/15/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.05	0.00	0.05	0.0	0.00
^04/20/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^05/16/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^06/15/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^07/18/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^08/15/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	0.0	0.00
^09/16/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	0.0	0.00
^10/17/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^11/18/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.07	0.00	0.07	0.0	0.00
^12/15/2016	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	0.0	0.00
^02/11/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.01	0.00	1.01	0.0	0.00
^03/11/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.92	0.00	0.92	0.0	0.00
^04/14/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.03	0.00	1.03	0.0	0.00
^05/16/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.99	0.00	0.99	0.0	0.00
^06/15/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.01	0.00	1.01	0.0	0.00
^07/18/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.99	0.00	0.99	0.0	0.00
^08/11/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.05	0.00	1.05	0.0	0.00
^09/13/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.09	0.00	1.09	0.0	0.00
^10/19/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.06	0.00	1.06	0.0	0.00
^11/17/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.09	0.00	1.09	0.0	0.00
^12/29/2016	HORAN, STACEY	DELAWARE SELECT GROWTH FUND CLASS A	0.00	1.03	0.00	1.03	0.0	0.00
^02/10/2016	EASLICK, JONATHON	EATON VANCE FLOATING RATE FUND CLAS	0.00	7.60	0.00	7.60	0.0	0.00
^02/10/2016	EASLICK, JONATHON	FEDERATED CAPITAL INCOME FUND CLASS	0.00	4.18	0.00	4.18	0.0	0.00
^03/15/2016	EASLICK, JONATHON	FEDERATED CAPITAL INCOME FUND CLASS	0.00	1.19	0.00	1.19	0.0	0.00
^04/20/2016	EASLICK, JONATHON	FIRST EAGLE GLOBAL C	0.00	7.37	0.00	7.37	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/16/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^03/16/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.08	0.00	0.08	0.0	0.00
^04/15/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^05/13/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^06/14/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^07/14/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^08/15/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^09/14/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.10	0.00	0.10	0.0	0.00
^10/18/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^11/15/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.10	0.00	0.10	0.0	0.00
^12/16/2016	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.09	0.00	0.09	0.0	0.00
^02/16/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.46	0.00	0.46	0.0	0.00
^03/16/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.42	0.00	0.42	0.0	0.00
^04/18/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.48	0.00	0.48	0.0	0.00
^05/12/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.48	0.00	0.48	0.0	0.00
^06/20/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.49	0.00	0.49	0.0	0.00
^07/15/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.48	0.00	0.48	0.0	0.00
^08/17/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.51	0.00	0.51	0.0	0.00
^09/21/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.52	0.00	0.52	0.0	0.00
^10/17/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.50	0.00	0.50	0.0	0.00
^11/21/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.52	0.00	0.52	0.0	0.00
^12/15/2016	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.51	0.00	0.51	0.0	0.00
^02/19/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.33	0.00	3.33	0.0	0.00
^03/22/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.13	0.00	3.13	0.0	0.00
^04/18/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.38	0.00	3.38	0.0	0.00
^05/24/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.31	0.00	3.31	0.0	0.00
^06/21/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.42	0.00	3.42	0.0	0.00
^07/18/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.33	0.00	3.33	0.0	0.00
^08/16/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.47	0.00	3.47	0.0	0.00
^09/19/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.46	0.00	3.46	0.0	0.00
^10/17/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.35	0.00	3.35	0.0	0.00
^11/16/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.48	0.00	3.48	0.0	0.00
^12/19/2016	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.33	0.00	3.33	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^04/14/2016	EASLICK, JONATHON	INVESCO BALANCED-RISK ALLOCATION-C	0.00	5.45	0.00	5.45	0.0	0.00
^04/15/2016	EASLICK, CONNIE	IVY HIGH INCOME FUND CLASS C	0.00	1.32	0.00	1.32	0.0	0.00
^04/15/2016	EASLICK, JONATHON	IVY HIGH INCOME FUND CLASS C	0.00	5.45	0.00	5.45	0.0	0.00
^04/15/2016	EASLICK, JONATHON	IVY HIGH INCOME FUND CLASS C	0.00	1.47	0.00	1.47	0.0	0.00
^02/22/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.87	0.00	0.87	0.0	0.00
^03/22/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.81	0.00	0.81	0.0	0.00
^04/21/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.93	0.00	0.93	0.0	0.00
^05/23/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.91	0.00	0.91	0.0	0.00
^06/21/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.95	0.00	0.95	0.0	0.00
^07/21/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.93	0.00	0.93	0.0	0.00
^08/22/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.98	0.00	0.98	0.0	0.00
^09/21/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.99	0.00	0.99	0.0	0.00
^10/20/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.96	0.00	0.96	0.0	0.00
^11/21/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.98	0.00	0.98	0.0	0.00
^12/21/2016	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	0.98	0.00	0.98	0.0	0.00
^02/22/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.35	0.00	2.35	0.0	0.00
^03/22/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.16	0.00	2.16	0.0	0.00
^04/21/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.41	0.00	2.41	0.0	0.00
^05/23/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.38	0.00	2.38	0.0	0.00
^06/21/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.49	0.00	2.49	0.0	0.00
^07/21/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.44	0.00	2.44	0.0	0.00
^08/22/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.59	0.00	2.59	0.0	0.00
^09/21/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.63	0.00	2.63	0.0	0.00
^10/20/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.56	0.00	2.56	0.0	0.00
^11/21/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.66	0.00	2.66	0.0	0.00
^12/21/2016	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.55	0.00	2.55	0.0	0.00
^02/22/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.09	0.00	4.09	0.0	0.00
^03/22/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.77	0.00	3.77	0.0	0.00
^04/21/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.29	0.00	4.29	0.0	0.00
^05/23/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.28	0.00	4.28	0.0	0.00
^06/21/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.46	0.00	4.46	0.0	0.00
^07/21/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.37	0.00	4.37	0.0	0.00
^08/22/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.63	0.00	4.63	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^09/21/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.69	0.00	4.69	0.0	0.00
^10/20/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.51	0.00	4.51	0.0	0.00
^11/21/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.38	0.00	4.38	0.0	0.00
^12/21/2016	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.34	0.00	4.34	0.0	0.00
^02/25/2016	EASLICK, CONNIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.66	0.00	0.66	0.0	0.00
^02/25/2016	EASLICK, JONATHON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.94	0.00	2.94	0.0	0.00
^02/25/2016	EASLICK, JONATHON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.74	0.00	0.74	0.0	0.00
^03/08/2016	EASLICK, CONNIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.17	0.00	0.17	0.0	0.00
^03/08/2016	EASLICK, JONATHON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.76	0.00	0.76	0.0	0.00
^03/08/2016	EASLICK, JONATHON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.19	0.00	0.19	0.0	0.00
^02/08/2016	EASLICK, JONATHON	MFS UTILITIES C	0.00	4.92	0.00	4.92	0.0	0.00
^03/14/2016	EASLICK, JONATHON	MFS UTILITIES C	0.00	1.43	0.00	1.43	0.0	0.00
^02/22/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.05	0.00	14.05	0.0	0.00
^03/11/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.13	0.00	13.13	0.0	0.00
^04/20/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.07	0.00	14.07	0.0	0.00
^05/18/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.75	0.00	13.75	0.0	0.00
^06/16/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.23	0.00	14.23	0.0	0.00
^07/15/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.82	0.00	13.82	0.0	0.00
^08/12/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.46	0.00	14.46	0.0	0.00
^09/15/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.41	0.00	14.41	0.0	0.00
^10/21/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.92	0.00	13.92	0.0	0.00
^11/18/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.35	0.00	14.35	0.0	0.00
^12/14/2016	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.64	0.00	13.64	0.0	0.00
^02/22/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.43	0.00	0.43	0.0	0.00
^03/22/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.39	0.00	0.39	0.0	0.00
^04/21/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
^05/26/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
^06/23/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.45	0.00	0.45	0.0	0.00
^07/26/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.44	0.00	0.44	0.0	0.00
^08/19/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.47	0.00	0.47	0.0	0.00
^09/20/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.49	0.00	0.49	0.0	0.00
^10/25/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.47	0.00	0.47	0.0	0.00
^11/23/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.48	0.00	0.48	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/23/2016	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.47	0.00	0.47	0.0	0.00
^02/12/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.53	0.00	8.53	0.0	0.00
^03/14/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.76	0.00	7.76	0.0	0.00
^04/18/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.65	0.00	8.65	0.0	0.00
^05/16/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.46	0.00	8.46	0.0	0.00
^06/16/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.85	0.00	8.85	0.0	0.00
^07/18/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.67	0.00	8.67	0.0	0.00
^08/15/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.19	0.00	9.19	0.0	0.00
^09/15/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.40	0.00	9.40	0.0	0.00
^10/17/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.11	0.00	9.11	0.0	0.00
^11/17/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.24	0.00	9.24	0.0	0.00
^12/14/2016	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.83	0.00	8.83	0.0	0.00
^02/09/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.09	0.00	5.09	0.0	0.00
^03/21/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	4.80	0.00	4.80	0.0	0.00
^04/18/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.13	0.00	5.13	0.0	0.00
^05/18/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	4.98	0.00	4.98	0.0	0.00
^06/16/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.15	0.00	5.15	0.0	0.00
^07/22/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.00	0.00	5.00	0.0	0.00
^08/19/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.19	0.00	5.19	0.0	0.00
^09/26/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.19	0.00	5.19	0.0	0.00
^10/19/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.03	0.00	5.03	0.0	0.00
^11/11/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.18	0.00	5.18	0.0	0.00
^12/15/2016	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	4.96	0.00	4.96	0.0	0.00
^02/09/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.32	0.00	4.32	0.0	0.00
^03/21/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.01	0.00	4.01	0.0	0.00
^04/18/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.45	0.00	4.45	0.0	0.00
^05/18/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.38	0.00	4.38	0.0	0.00
^06/16/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.57	0.00	4.57	0.0	0.00
^07/22/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.47	0.00	4.47	0.0	0.00
^08/19/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.73	0.00	4.73	0.0	0.00
^09/26/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.76	0.00	4.76	0.0	0.00
^10/19/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.61	0.00	4.61	0.0	0.00
^11/11/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.76	0.00	4.76	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/15/2016	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.54	0.00	4.54	0.0	0.00
^02/16/2016	EASLICK, JONATHON	TEMPLETON GLOBAL BOND C	0.00	4.43	0.00	4.43	0.0	0.00
^03/16/2016	EASLICK, JONATHON	TEMPLETON GLOBAL BOND C	0.00	1.29	0.00	1.29	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$575.67	\$0.00	\$575.67		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
^02/12/2018	LUCREZIO, VINCENT	LANDMARK 2002	51,538.07	128.85	0.00	128.85	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$51,538.07	\$128.85	\$0.00	\$128.85		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$51,538.07	\$704.52	\$0.00	\$704.52		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed