



All Companies

05/14/2018

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COMMISSION STATEMENT**May 15, 2018**DAVID SCOTT RAPOPORT
5546 Rainbow CircleBROKER #: 9076
BRANCH: HOME OFFICE

Santa Rosa, CA 95409

COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/03/2018	000017511221851	SHELDON, FRANKLIN CA HIGH YLD MUNI FD	CL I B	20,000.00	560.00	0.00	560.00	65.0	364.00
	SCOTT/CHRISTINA								
05/01/2018	00087291473	SHELDON, SCOTT M		1,000.00	10.00	0.00	10.00	65.0	6.50
05/03/2018	00087291473	SHELDON, SCOTT M		22,000.00	220.00	0.00	220.00	65.0	143.00
		* MUTUAL FUNDS SUBTOTAL *		\$43,000.00	\$790.00	\$0.00	\$790.00		\$513.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/17/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	58.40	58.40	0.00	58.40	65.0	37.96
		* VARIABLE ANNUITIES SUBTOTAL *		\$58.40	\$58.40	\$0.00	\$58.40		\$37.96
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	2,065.31	0.00	2,065.31	65.0	1,342.41
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,065.31	\$0.00	\$2,065.31		\$1,342.41
		*** BROKER TRANSACTIONS TOTAL ***		\$43,058.40	\$2,913.71	\$0.00	\$2,913.71		\$1,893.87
<u>ADJUSTMENTS</u>									
05/15/2018		CONTINUING ED: 2018 FIRM ELEMENT		0.00	0.00	0.00	0.00		-45.00
05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-72.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,893.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-72.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,821.37
Year-to-date Earnings	\$5,388.96