

## COMMISSION STATEMENT

May 31, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: C25

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

05/21/2018	QGW032013	STROPE, DALE	NUTRIEN LTD REGISTERED SHS ISIN#CA6 S	-20,880.00	100.00	0.00	100.00	82.5	82.50
05/25/2018	QGW032013	STROPE, DALE	WHIRLPOOL CORP	B 22,780.50	475.00	0.00	475.00	82.5	391.88
04/30/2018	QGW032021	JONES, HARVEY	WHIRLPOOL CORP	B 12,239.47	150.00	0.00	150.00	82.5	123.75
05/18/2018	QGW032021	JONES, HARVEY	CENTURYTEL INC	S -9,641.80	300.00	0.00	300.00	82.5	247.50
05/18/2018	QGW032021	JONES, HARVEY	JUNIPER NETWORKS	S -26,680.10	750.00	0.00	750.00	82.5	618.75
05/18/2018	QGW032021	JONES, HARVEY	SALESFORCE.COM INC	S -15,242.41	500.00	0.00	500.00	82.5	412.50
05/18/2018	QGW032021	JONES, HARVEY	TEVA PHARMACEUTICAL INDUSTRIES LIM S	-17,026.96	590.00	0.00	590.00	82.5	486.75
05/21/2018	QGW032021	JONES, HARVEY	WHIRLPOOL CORP	S -12,979.70	75.00	0.00	75.00	82.5	61.88
04/27/2018	QGW032039	SANDERS, RALPH	AT&T INC	B 16,690.00	500.00	0.00	500.00	82.5	412.50
04/27/2018	QGW032039	SANDERS, RALPH	GLAXOSMITHKLINE PLC SPONSORED ADR(F S	-8,080.02	100.00	0.00	100.00	82.5	82.50
05/03/2018	QGW032047	SHIER, ANDY	ABBVIE INC	B 9,900.00	120.00	0.00	120.00	82.5	99.00
05/24/2018	QGW032047	SHIER, ANDY	WHIRLPOOL CORP	B 30,578.74	500.00	0.00	500.00	82.5	412.50
05/07/2018	QGW032054	PAEGLOW, JOSEPH	ABBVIE INC	B 9,860.40	120.00	0.00	120.00	82.5	99.00
05/02/2018	QGW032096	CHARLTON, JOHN	SQUARE INC CLASS A COM	B 9,555.00	225.00	0.00	225.00	82.5	185.63
05/08/2018	QGW032096	CHARLTON, JOHN	SQUARE INC CLASS A COM	S -11,214.00	100.00	0.00	100.00	82.5	82.50
04/27/2018	QGW032104	DAVIDOV, WILLIAM	CYPRESS SEMICONDUCTOR CORP	S -3,457.30	30.00	0.00	30.00	82.5	24.75
04/27/2018	QGW032104	DAVIDOV, WILLIAM	UNDER ARMOUR INC CLASS C COMMON STO B	3,565.00	35.00	0.00	35.00	82.5	28.88
05/01/2018	QGW032104	DAVIDOV, WILLIAM	COMTECH TELECOMMUNICATIONS CORP	S -4,532.00	25.00	0.00	25.00	82.5	20.63
05/01/2018	QGW032104	DAVIDOV, WILLIAM	INTEL CORP	B 7,114.50	35.00	0.00	35.00	82.5	28.88
05/01/2018	QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	S -2,627.60	25.00	0.00	25.00	82.5	20.63
05/04/2018	QGW032104	DAVIDOV, WILLIAM	UNDER ARMOUR INC CLASS C COMMON STO S	-3,542.00	25.00	0.00	25.00	82.5	20.63
05/08/2018	QGW032104	DAVIDOV, WILLIAM	GENCO SHIPPING & TRADING LTD SHS IS S	-1,725.00	35.00	0.00	35.00	82.5	28.88
05/08/2018	QGW032104	DAVIDOV, WILLIAM	SQUARE INC CLASS A COM	B 5,100.00	40.00	0.00	40.00	82.5	33.00
04/30/2018	QGW032120	DAVIDOV, WILLIAM	STMICROELECTRONICS NV SHS N Y REGIS S	-2,180.30	30.00	0.00	30.00	82.5	24.75
05/07/2018	QGW032120	DAVIDOV, WILLIAM	AMC ENTMT HLDGS INC CL A COM	B 4,730.00	25.00	0.00	25.00	82.5	20.63
05/07/2018	QGW032120	DAVIDOV, WILLIAM	BOYD GAMING CORP	S -3,677.85	25.00	0.00	25.00	82.5	20.63
05/09/2018	QGW032120	DAVIDOV, WILLIAM	AMC ENTMT HLDGS INC CL A COM	S -4,455.00	25.00	0.00	25.00	82.5	20.63
05/09/2018	QGW032120	DAVIDOV, WILLIAM	DELEK US HOLDINGS INC COMMON STOCK B	4,282.58	25.00	0.00	25.00	82.5	20.63
05/14/2018	QGW032120	DAVIDOV, WILLIAM	GENCO SHIPPING & TRADING LTD SHS IS S	-2,327.00	40.00	0.00	40.00	82.5	33.00
05/14/2018	QGW032120	DAVIDOV, WILLIAM	SUNRUN INC COM	B 3,362.97	35.00	0.00	35.00	82.5	28.88
05/21/2018	QGW032120	DAVIDOV, WILLIAM	GEOPARK LTD USD SHS ISIN#BMG3832710 B	2,554.08	25.00	0.00	25.00	82.5	20.63

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
05/21/2018	QGW032120	DAVIDOV, WILLIAM	SUNRUN INC COM	S	-3,291.99	25.00	0.00	25.00	82.5	20.63
05/01/2018	QGW032138	AUCHSTETTER, GERALD	WHIRLPOOL CORP	B	7,848.39	100.00	0.00	100.00	82.5	82.50
05/02/2018	QGW032195	NERY, GENEROSO	ABBVIE INC	B	9,942.57	120.00	0.00	120.00	82.5	99.00
05/02/2018	QGW032195	NERY, GENEROSO	WHIRLPOOL CORP	B	15,310.35	120.00	0.00	120.00	82.5	99.00
05/04/2018	QGW032195	NERY, GENEROSO	INTUITIVE SURGICAL INC COM	B	22,195.00	550.00	0.00	550.00	82.5	453.75
05/01/2018	QGW032237	PETERSON, SHAWN	ABBVIE INC	B	10,058.40	100.00	0.00	100.00	82.5	82.50
05/10/2018	QGW032237	PETERSON, SHAWN	BOYD GAMING CORP	S	-13,759.64	100.00	0.00	100.00	82.5	82.50
05/15/2018	QGW032237	PETERSON, SHAWN	IQIYI INC SPONS ADR ISIN#US46267X10 B		10,934.70	350.00	0.00	350.00	82.5	288.75
05/25/2018	QGW032237	PETERSON, SHAWN	QUALCOMM INC	S	-15,750.00	50.00	0.00	50.00	82.5	41.25
05/02/2018	QGW032344	DYKSTRA, JAMES	BARCLAYS BANK PLC IPATH S&P 500 VIX S		-6,062.25	100.00	0.00	100.00	82.5	82.50
05/02/2018	QGW032344	DYKSTRA, JAMES	JUNIPER NETWORKS	S	-7,500.00	100.00	0.00	100.00	82.5	82.50
05/02/2018	QGW032344	DYKSTRA, JAMES	WHIRLPOOL CORP	B	13,018.32	120.00	0.00	120.00	82.5	99.00
05/07/2018	QGW032344	DYKSTRA, JAMES	ABBVIE INC	B	9,862.28	120.00	0.00	120.00	82.5	99.00
05/09/2018	QGW032344	DYKSTRA, JAMES	FACEBOOK INC CL A	S	-21,960.00	100.00	0.00	100.00	82.5	82.50
05/17/2018	QGW032344	DYKSTRA, JAMES	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		12,980.00	350.00	0.00	350.00	82.5	288.75
05/03/2018	QGW032351	COLLINS, DONNIE	ABBVIE INC	B	9,887.13	120.00	0.00	120.00	82.5	99.00
04/27/2018	QGW032674	ONESTO, RICHARD	AMAZON.COM INC	S	-8,262.69	35.00	0.00	35.00	82.5	28.88
05/09/2018	QGW032674	ONESTO, RICHARD	CORINDUS VASCULAR ROBOTICS INC COMM S		-2,009.28	25.00	0.00	25.00	82.5	20.63
05/16/2018	QGW032674	ONESTO, RICHARD	MAZOR ROBOTICS LTD SPONSORED ADS	S	-18,057.00	45.00	0.00	45.00	82.5	37.13
05/18/2018	QGW032674	ONESTO, RICHARD	CORINDUS VASCULAR ROBOTICS INC COMM S		-1,635.40	35.00	0.00	35.00	82.5	28.88
05/18/2018	QGW032674	ONESTO, RICHARD	INTUITIVE SURGICAL INC COM	S	-13,709.69	45.00	0.00	45.00	82.5	37.13
05/22/2018	QGW032674	ONESTO, RICHARD	MAZOR ROBOTICS LTD SPONSORED ADS	S	-11,380.00	45.00	0.00	45.00	82.5	37.13
05/14/2018	QGW033136	MARAGLINO, NICHOLAS	MICROSOFT CORP	S	-10,371.05	100.00	0.00	100.00	82.5	82.50
05/15/2018	QGW033136	MARAGLINO, NICHOLAS	SALESFORCE.COM INC	B	10,200.00	265.00	0.00	265.00	82.5	218.63
05/02/2018	QGW033151	DEROIN, JOHN	WHIRLPOOL CORP	B	7,894.80	100.00	0.00	100.00	82.5	82.50
05/03/2018	QGW033870	BROWN, KENNETH	ABBVIE INC	B	9,898.02	120.00	0.00	120.00	82.5	99.00
05/09/2018	QGW033870	BROWN, KENNETH	WALT DISNEY CO	B	19,940.00	550.00	0.00	550.00	82.5	453.75
05/01/2018	QGW034142	JANKLOW, DONALD	WHIRLPOOL CORP	B	7,034.40	100.00	0.00	100.00	82.5	82.50
05/03/2018	QGW036907	RICHARDS, ALAN	ABBVIE INC	B	9,876.24	120.00	0.00	120.00	82.5	99.00
05/16/2018	QGW038754	H OEI, HOWARD	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		2,890.00	35.00	0.00	35.00	82.5	28.88
05/16/2018	QGW038754	H OEI, HOWARD	SANGAMO BIOSCIENCES INC COM	B	1,914.00	35.00	0.00	35.00	82.5	28.88
05/25/2018	QGW038754	H OEI, HOWARD	CRISPR THERAPEUTICS AG NAMEN-AKT IS B		3,417.42	50.00	0.00	50.00	82.5	41.25
05/25/2018	QGW038754	H OEI, HOWARD	SANGAMO BIOSCIENCES INC COM	B	2,028.00	50.00	0.00	50.00	82.5	41.25
* STOCKS SUBTOTAL *					\$55,425.23	\$9,365.00	\$0.00	\$9,365.00		\$7,726.25
PRODUCT CATEGORY: BONDS										
05/18/2018	QGW032021	JONES, HARVEY	HERTZ CORP SR NT FULLY EXCH FROM 40 S		-17,808.40	456.60	0.00	456.60	82.5	376.70
* BONDS SUBTOTAL *					\$-17,808.40	\$456.60	\$0.00	\$456.60		\$376.70

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BROKER TRANSACTIONSPRODUCT CATEGORY: OPTIONS

05/04/2018	QGW032674	ONESTO, RICHARD	PUT 100 ALIBABA GROUP HLDG LTD SPON S	-505.00	25.00	0.00	25.00	82.5	20.63
05/14/2018	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR S	-4,365.00	25.00	0.00	25.00	82.5	20.63
05/14/2018	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR S	-2,166.00	25.00	0.00	25.00	82.5	20.63
05/18/2018	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR B	1,980.00	35.00	0.00	35.00	82.5	28.88
05/09/2018	QGW033417	O'BRIEN, PAUL	CALL 100 OVERSTOCK COM INC DEL COM S	-9,500.00	100.00	0.00	100.00	82.5	82.50
* OPTIONS SUBTOTAL *				<u>\$-14,556.00</u>	<u>\$210.00</u>	<u>\$0.00</u>	<u>\$210.00</u>		<u>\$173.27</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018			FEE COUNT - 11	0.00	9.72	0.00	9.72	82.5	8.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$9.72</u>	<u>\$0.00</u>	<u>\$9.72</u>		<u>\$8.00</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$23,060.83</u>	<u>\$10,041.32</u>	<u>\$0.00</u>	<u>\$10,041.32</u>		<u>\$8,284.22</u>

ADJUSTMENTS

05/31/2018			FEDEX CHARGE: C OGDEN	0.00	0.00	0.00	0.00		-8.08
05/31/2018			FEDEX CHARGE: P BOND	0.00	0.00	0.00	0.00		-13.73
05/31/2018			COMMISSION INCOME: QGW014458 -ABBV	0.00	0.00	0.00	0.00		50.00
05/31/2018			COMMISSION INCOME: QGW014458 WHR	0.00	0.00	0.00	0.00		50.00
05/31/2018			OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-150.00
05/31/2018			OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00		-12.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-83.81</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$8,284.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-83.81
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.55
SIPC Assessment	\$-25.10

Check Amount	\$8,162.76
Year-to-date Earnings	\$42,114.13