

**COMMISSION STATEMENT****May 15, 2018**

BARRY, L122 LE MAY
 2242 Camden Ave
 Suite 102
 San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
03/31/2018	GARCIA, AL	GRIFFIN-AMERICAN HC REIT IV T	10,000.00	24.66	0.00	24.66	90.0	22.19
		* REIT SUBTOTAL *	\$10,000.00	\$24.66	\$0.00	\$24.66		\$22.19
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
04/04/2018	SPANG, SARA CROSBY	PASSCO HOMEPLACE DST	185,000.00	11,100.00	0.00	11,100.00	90.0	9,990.00
04/30/2018	PERRY TRUST	PASSCO MERRITT AT SUGARLOAF DST	302,961.00	18,177.66	0.00	18,177.66	90.0	16,359.89
		* 1031 EXCHANGES SUBTOTAL *	\$487,961.00	\$29,277.66	\$0.00	\$29,277.66		\$26,349.89
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/26/2018	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP CL	9,660.59	16.13	0.00	16.13	90.0	14.52
04/26/2018	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP CL	48,302.99	80.64	0.00	80.64	90.0	72.58
04/26/2018	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP CL	11,819.03	19.73	0.00	19.73	90.0	17.76
04/27/2018	BARDELL, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.09	0.00	11.09	90.0	9.98
04/27/2018	KACHULIS, CASSANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.11	0.00	1.11	90.0	1.00
04/18/2018	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.02	0.00	1.02	90.0	0.92
04/18/2018	KACHULIS, CASSANDRA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.67	0.00	1.67	90.0	1.50
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$69,782.61	\$131.39	\$0.00	\$131.39		\$118.26
		*** DIRECT TRANSACTIONS TOTAL ***	\$567,743.61	\$29,433.71	\$0.00	\$29,433.71		\$26,490.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2018		*: TECHNOLOGY AI INSIGHT	0.00					-7.00
05/15/2018		*: TECHNOLOGY DOCUSIGN	0.00					-18.00
05/15/2018		*: TECHNOLOGY GLOBAL RELAY - WEBSITE	0.00					-15.00
05/15/2018		*: TECHNOLOGY LASER APP	0.00					-18.00
05/15/2018		*: TECHNOLOGYGLOBAL RELAY - FACEBOOK	0.00					-5.00
05/15/2018		*CONCIERGE SVC: 214793 PERRY, TTEES	0.00					-50.00
05/15/2018		*CONCIERGE SVC: 215723 FELDSTEIN	0.00					-50.00
05/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
05/15/2018		*TECHNOLOGY: ALBRIDGE LIGHT	0.00					-76.00
05/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-721.01

BROKER COMMISSION TOTALS

Broker Transactions	\$26,490.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-721.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25,769.33
Year-to-date Gross	\$173,440.16
Year-to-date Earnings	\$155,974.46

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