



Concorde Investment Services, LLC

07/27/2017

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COMMISSION STATEMENT

July 31, 2017

JAMES PANOS
330 North Street

BROKER #: P116 Terminated 12/31/2015

BRANCH: JAMES PANOS

Boston, MA 02113-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.40	0.00	27.40	0.0	0.00
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.37	0.00	23.37	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.79	0.00	3.79	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.62	0.00	7.62	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	10.52	0.00	10.52	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.30	0.00	4.30	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.83	0.00	5.83	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.70	0.00	11.70	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	4.46	0.00	4.46	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.04	0.00	6.04	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	12.27	0.00	12.27	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	26.63	0.00	26.63	0.0	0.00
07/17/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.00
07/17/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.54	0.00	4.54	0.0	0.00
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.14	0.00	6.14	0.0	0.00
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	11.65	0.00	11.65	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	4.06	0.00	4.06	0.0	0.00
07/17/2017	MACUCH, PAUL	FA 529 PORT 2016 C	50.00	0.50	0.00	0.50	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	59.13	0.00	59.13	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.38	0.00	5.38	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.81	0.00	2.81	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.87	0.00	9.87	0.0	0.00
07/17/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.00
07/17/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	60.49	0.00	60.49	0.0	0.00
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	41.34	0.00	41.34	0.0	0.00
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	24.62	0.00	24.62	0.0	0.00
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.33	0.00	10.33	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.65	0.00	15.65	0.0	0.00
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	24.19	0.00	24.19	0.0	0.00
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.81	0.00	6.81	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.09	0.00	11.09	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.22	0.00	11.22	0.0	0.00
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	27.07	0.00	27.07	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.81	0.00	7.81	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.93	0.00	12.93	0.0	0.00
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.48	0.00	10.48	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	31.37	0.00	31.37	0.0	0.00
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.22	0.00	3.22	0.0	0.00
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	20.27	0.00	20.27	0.0	0.00
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.94	0.00	2.94	0.0	0.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	38.15	0.00	38.15	0.0	0.00
07/17/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	0.00
07/17/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	0.00
07/17/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
07/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
07/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
07/17/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.00
07/17/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	18.27	0.00	18.27	0.0	0.00
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.22	0.00	14.22	0.0	0.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	32.52	0.00	32.52	0.0	0.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	21.69	0.00	21.69	0.0	0.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	18.16	0.00	18.16	0.0	0.00
07/03/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00
07/03/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	0.00
07/03/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.90	0.00	13.90	0.0	0.00
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	5.01	0.00	5.01	0.0	0.00
07/03/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	0.00
07/17/2017	MACUCH, PAUL	FA 529 PORT 2028 C	50.00	0.50	0.00	0.50	0.0	0.00
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	2.93	0.00	2.93	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,940.00	\$754.29	\$0.00	\$754.29		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,940.00	\$754.29	\$0.00	\$754.29		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$17,452.59
Year-to-date Earnings	\$0.00

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