

## COMMISSION STATEMENT

August 31, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

BROKER #: C30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/31/2018	QGW033649	ARLENE M FRANCIS,	CATERPILLAR INC	B	14,180.80	150.00	6.00	144.00	80.0	114.00
08/16/2018	QGW033649	ARLENE M FRANCIS,	TANDEM DIABETES CARE INC COM NEW	B	9,786.00	150.00	6.00	144.00	80.0	114.00
08/02/2018	QGW033805	LYONS, SALLY	THERAPEUTICSMD INC	B	1,020.00	0.00	6.00	-6.00	80.0	-6.00
08/09/2018	QGW033805	LYONS, SALLY	GRAFTECH INTL LTD COM	B	4,000.00	0.00	6.00	-6.00	80.0	-6.00
08/10/2018	QGW033805	LYONS, SALLY	ROKU INC CLASS A COMMON STOCK	S	-14,400.00	210.64	6.00	204.64	80.0	162.51
08/16/2018	QGW033805	LYONS, SALLY	THERAPEUTICSMD INC	S	-1,092.38	25.00	6.00	19.00	80.0	14.00
08/17/2018	QGW033805	LYONS, SALLY	COHERUS BIOSCIENCES INC COM	S	-12,500.00	241.57	6.00	235.57	80.0	187.26
08/17/2018	QGW033805	LYONS, SALLY	YEXT INC COM	S	-11,250.00	190.34	6.00	184.34	80.0	146.27
08/21/2018	QGW033805	LYONS, SALLY	NETAPP INC	B	16,540.76	160.00	6.00	154.00	80.0	122.00
08/21/2018	QGW033805	LYONS, SALLY	TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	12,814.95	200.00	6.00	194.00	80.0	154.00
08/27/2018	QGW033805	LYONS, SALLY	NETAPP INC	S	-17,100.00	75.00	6.00	69.00	80.0	54.00
08/29/2018	QGW033805	LYONS, SALLY	XILINX INC	B	15,438.00	160.00	6.00	154.00	80.0	122.00
08/02/2018	QGW034761	SYMCZAK, STEPHEN	THERAPEUTICSMD INC	B	1,020.00	0.00	6.00	-6.00	80.0	-6.00
08/16/2018	QGW034761	SYMCZAK, STEPHEN	THERAPEUTICSMD INC	S	-1,092.00	25.00	6.00	19.00	80.0	14.00
08/24/2018	QGW034761	SYMCZAK, STEPHEN	IQIYI INC SPONS ADR ISIN#US46267X10	S	-13,750.00	100.00	6.00	94.00	80.0	74.00
08/24/2018	QGW034761	SYMCZAK, STEPHEN	SQUARE INC CLASS A COM	S	-7,800.00	80.73	6.00	74.73	80.0	58.58
08/29/2018	QGW034761	SYMCZAK, STEPHEN	PAYPAL HOLDINGS INC COM	B	18,156.00	160.00	6.00	154.00	80.0	122.00
08/01/2018	QGW035438	RIZZO, GIOVANNI	IQIYI INC SPONS ADR ISIN#US46267X10	B	16,571.75	150.00	6.00	144.00	80.0	114.00
08/02/2018	QGW035438	RIZZO, GIOVANNI	THERAPEUTICSMD INC	B	1,020.00	0.00	6.00	-6.00	80.0	-6.00
08/02/2018	QGW035438	RIZZO, GIOVANNI	WHITING PETROLEUM CORPORATION COMMO	B	18,418.00	200.00	6.00	194.00	80.0	154.00
08/07/2018	QGW035438	RIZZO, GIOVANNI	ROKU INC CLASS A COMMON STOCK	B	19,438.56	150.00	6.00	144.00	80.0	114.00
08/07/2018	QGW035438	RIZZO, GIOVANNI	WHITING PETROLEUM CORPORATION COMMO	S	-19,676.00	100.00	6.00	94.00	80.0	74.00
08/09/2018	QGW035438	RIZZO, GIOVANNI	CENTRAL GARDEN & PET CO CL A NON-VT	B	3,700.00	0.00	6.00	-6.00	80.0	-6.00
08/09/2018	QGW035438	RIZZO, GIOVANNI	ROKU INC CLASS A COMMON STOCK	S	-21,104.00	200.00	6.00	194.00	80.0	154.00
08/13/2018	QGW035438	RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	B	12,715.68	200.00	6.00	194.00	80.0	154.00
08/16/2018	QGW035438	RIZZO, GIOVANNI	THERAPEUTICSMD INC	S	-1,092.02	25.00	6.00	19.00	80.0	14.00
08/17/2018	QGW035438	RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	S	-13,200.00	204.68	6.00	198.68	80.0	157.74
08/21/2018	QGW035438	RIZZO, GIOVANNI	TANDEM DIABETES CARE INC COM NEW	B	14,632.00	200.00	6.00	194.00	80.0	154.00
08/24/2018	QGW035438	RIZZO, GIOVANNI	ABBVIE INC	S	-9,400.00	100.00	6.00	94.00	80.0	74.00
08/24/2018	QGW035438	RIZZO, GIOVANNI	IQIYI INC SPONS ADR ISIN#US46267X10	S	-13,750.00	100.00	6.00	94.00	80.0	74.00
08/29/2018	QGW035438	RIZZO, GIOVANNI	XILINX INC	B	15,438.00	160.00	6.00	154.00	80.0	122.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/31/2018	QGW036493	ARLENE M FRANCIS,		CATERPILLAR INC	B	14,171.27	150.00	6.00	144.00 80.0 114.00
07/31/2018	QGW036493	ARLENE M FRANCIS,		SELECT SECTOR SPDR TRUST THE FINANC S		-23,342.92	50.00	6.00	44.00 80.0 34.00
08/24/2018	QGW036493	ARLENE M FRANCIS,		TANDEM DIABETES CARE INC COM NEW	B	9,582.50	160.00	6.00	154.00 80.0 122.00
08/01/2018	QGW036584	TREIBER, NANCY		WHITING PETROLEUM CORPORATION COMMO B		22,880.00	200.00	6.00	194.00 80.0 154.00
08/02/2018	QGW036584	TREIBER, NANCY		THERAPEUTICSMD INC	B	1,020.00	0.00	6.00	-6.00 80.0 -6.00
08/03/2018	QGW036584	TREIBER, NANCY		ARLO TECHNOLOGIES INC COMMON STOCK B		3,200.00	0.00	6.00	-6.00 80.0 -6.00
08/03/2018	QGW036584	TREIBER, NANCY		WHITING PETROLEUM CORPORATION COMMO S		-23,250.00	312.36	6.00	306.36 80.0 243.89
08/06/2018	QGW036584	TREIBER, NANCY		ARLO TECHNOLOGIES INC COMMON STOCK S		-4,310.00	100.00	6.00	94.00 80.0 74.00
08/08/2018	QGW036584	TREIBER, NANCY		IQIYI INC SPONS ADR ISIN#US46267X10 B		15,138.10	200.00	6.00	194.00 80.0 154.00
08/09/2018	QGW036584	TREIBER, NANCY		CENTRAL GARDEN & PET CO CL A NON-VT B		7,400.00	0.00	6.00	-6.00 80.0 -6.00
08/09/2018	QGW036584	TREIBER, NANCY		GRAFTECH INTL LTD COM	B	4,000.00	0.00	6.00	-6.00 80.0 -6.00
08/10/2018	QGW036584	TREIBER, NANCY		RESTORATION ROBOTICS INC COMMON STO S		-15,635.00	100.00	6.00	94.00 80.0 74.00
08/10/2018	QGW036584	TREIBER, NANCY		RESTORATION ROBOTICS INC COMMON STO B		15,000.00	0.00	6.00	-6.00 80.0 -6.00
08/14/2018	QGW036584	TREIBER, NANCY		YEXT INC COM	S	-12,065.20	100.00	6.00	94.00 80.0 74.00
08/16/2018	QGW036584	TREIBER, NANCY		NETAPP INC	B	15,935.74	150.00	6.00	144.00 80.0 114.00
08/16/2018	QGW036584	TREIBER, NANCY		THERAPEUTICSMD INC	S	-1,092.02	25.00	6.00	19.00 80.0 14.00
08/17/2018	QGW036584	TREIBER, NANCY		COHERUS BIOSCIENCES INC COM	S	-12,500.00	241.57	6.00	235.57 80.0 187.26
08/21/2018	QGW036584	TREIBER, NANCY		TEVA PHARMACEUTICAL INDUSTRIES LIM B		12,786.45	200.00	6.00	194.00 80.0 154.00
08/27/2018	QGW036584	TREIBER, NANCY		NETAPP INC	S	-17,000.00	75.00	6.00	69.00 80.0 54.00
08/28/2018	QGW036584	TREIBER, NANCY		IQIYI INC SPONS ADR ISIN#US46267X10 S		-16,100.00	75.00	6.00	69.00 80.0 54.00
08/29/2018	QGW036584	TREIBER, NANCY		VUZIX CORP COM NEW	B	625.00	17.10	6.00	11.10 80.0 7.68
08/29/2018	QGW036584	TREIBER, NANCY		XILINX INC	B	15,438.00	160.00	6.00	154.00 80.0 122.00
08/02/2018	QGW036592	TREIBER, NANCY		THERAPEUTICSMD INC	B	1,020.00	0.00	6.00	-6.00 80.0 -6.00
08/13/2018	QGW036592	TREIBER, NANCY		YEXT INC COM	S	-16,170.00	50.00	6.00	44.00 80.0 34.00
08/16/2018	QGW036592	TREIBER, NANCY		NETAPP INC	B	15,945.20	150.00	6.00	144.00 80.0 114.00
08/16/2018	QGW036592	TREIBER, NANCY		THERAPEUTICSMD INC	S	-1,094.02	25.00	6.00	19.00 80.0 14.00
08/27/2018	QGW036592	TREIBER, NANCY		NETAPP INC	S	-17,000.00	75.00	6.00	69.00 80.0 54.00
08/29/2018	QGW036592	TREIBER, NANCY		XILINX INC	B	15,438.00	160.00	6.00	154.00 80.0 122.00
08/15/2018	QGW037061	ALONSO, ALICE		SQUARE INC CLASS A COM	S	-12,062.11	50.00	6.00	44.00 80.0 34.00
08/21/2018	QGW037061	ALONSO, ALICE		TEVA PHARMACEUTICAL INDUSTRIES LIM B		12,728.25	200.00	6.00	194.00 80.0 154.00
08/03/2018	QGW038705	SAPIO, ROBERTA		LAM RESEARCH CORP	S	-18,250.00	80.73	6.00	74.73 80.0 58.58
08/06/2018	QGW038705	SAPIO, ROBERTA		ROKU INC CLASS A COMMON STOCK	B	23,935.15	150.00	6.00	144.00 80.0 114.00
08/10/2018	QGW038705	SAPIO, ROBERTA		ROKU INC CLASS A COMMON STOCK	S	-24,500.00	321.68	6.00	315.68 80.0 251.34
08/13/2018	QGW038705	SAPIO, ROBERTA		TANDEM DIABETES CARE INC COM NEW	B	15,887.95	200.00	6.00	194.00 80.0 154.00
08/17/2018	QGW038705	SAPIO, ROBERTA		TANDEM DIABETES CARE INC COM NEW	S	-16,500.00	249.02	6.00	243.02 80.0 193.22
08/21/2018	QGW038705	SAPIO, ROBERTA		TANDEM DIABETES CARE INC COM NEW	B	18,375.00	200.00	6.00	194.00 80.0 154.00
08/23/2018	QGW038705	SAPIO, ROBERTA		SQUARE INC CLASS A COM	S	-15,580.86	150.00	6.00	144.00 80.0 114.00
08/23/2018	QGW038705	SAPIO, ROBERTA		TANDEM DIABETES CARE INC COM NEW	S	-19,500.00	150.00	6.00	144.00 80.0 114.00
08/29/2018	QGW038705	SAPIO, ROBERTA		VUZIX CORP COM NEW	B	6,250.00	171.00	6.00	165.00 80.0 130.80
08/29/2018	QGW038705	SAPIO, ROBERTA		XILINX INC	B	15,438.00	160.00	6.00	154.00 80.0 122.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
07/30/2018	QGW038812 KOHN, ROBERT	WHITING PETROLEUM CORPORATION COMMO B		24,515.00	200.00	6.00	194.00	80.0	154.00
07/30/2018	QGW038812 KOHN, ROBERT	YEXT INC COM	B	21,900.00	200.00	6.00	194.00	80.0	154.00
07/31/2018	QGW038812 KOHN, ROBERT	ROKU INC CLASS A COMMON STOCK	B	22,192.30	160.00	6.00	154.00	80.0	122.00
08/03/2018	QGW038812 KOHN, ROBERT	ROKU INC CLASS A COMMON STOCK	S	-23,000.00	310.50	6.00	304.50	80.0	242.40
08/07/2018	QGW038812 KOHN, ROBERT	ROKU INC CLASS A COMMON STOCK	B	24,306.80	200.00	6.00	194.00	80.0	154.00
08/09/2018	QGW038812 KOHN, ROBERT	GRAFTECH INTL LTD COM	B	4,000.00	0.00	6.00	-6.00	80.0	-6.00
08/09/2018	QGW038812 KOHN, ROBERT	ROKU INC CLASS A COMMON STOCK	S	-26,380.00	200.00	6.00	194.00	80.0	154.00
08/09/2018	QGW038812 KOHN, ROBERT	TANDEM DIABETES CARE INC COM NEW	B	15,577.50	200.00	6.00	194.00	80.0	154.00
08/13/2018	QGW038812 KOHN, ROBERT	YEXT INC COM	S	-23,050.00	75.00	6.00	69.00	80.0	54.00
08/16/2018	QGW038812 KOHN, ROBERT	SPOTIFY TECHNOLOGY SA REGISTERED SH B		19,151.82	150.00	6.00	144.00	80.0	114.00
08/17/2018	QGW038812 KOHN, ROBERT	COHERUS BIOSCIENCES INC COM	S	-10,500.00	196.86	6.00	190.86	80.0	151.49
08/17/2018	QGW038812 KOHN, ROBERT	TANDEM DIABETES CARE INC COM NEW	S	-16,500.00	249.02	6.00	243.02	80.0	193.22
08/20/2018	QGW038812 KOHN, ROBERT	NETAPP INC	B	16,331.04	200.00	6.00	194.00	80.0	154.00
08/21/2018	QGW038812 KOHN, ROBERT	TANDEM DIABETES CARE INC COM NEW	B	18,323.85	200.00	6.00	194.00	80.0	154.00
08/27/2018	QGW038812 KOHN, ROBERT	NETAPP INC	S	-17,100.00	75.00	6.00	69.00	80.0	54.00
08/14/2018	QGW039133 MASTERSON, PATRICIA	NEW YORK MORTGAGE TRUST INC	S	-7,491.84	100.00	6.00	94.00	80.0	74.00
08/16/2018	QGW039133 MASTERSON, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	9,174.88	160.00	6.00	154.00	80.0	122.00
07/30/2018	QGW039158 MASTERSON, PATRICIA	YEXT INC COM	B	10,950.00	150.00	6.00	144.00	80.0	114.00
08/01/2018	QGW039158 MASTERSON, PATRICIA	WHITING PETROLEUM CORPORATION COMMO B		13,570.50	150.00	6.00	144.00	80.0	114.00
08/06/2018	QGW039158 MASTERSON, PATRICIA	WHITING PETROLEUM CORPORATION COMMO S		-14,302.08	100.00	6.00	94.00	80.0	74.00
08/07/2018	QGW039158 MASTERSON, PATRICIA	ROKU INC CLASS A COMMON STOCK	B	12,028.68	150.00	6.00	144.00	80.0	114.00
08/09/2018	QGW039158 MASTERSON, PATRICIA	ROKU INC CLASS A COMMON STOCK	S	-2,638.00	50.00	6.00	44.00	80.0	34.00
08/10/2018	QGW039158 MASTERSON, PATRICIA	ROKU INC CLASS A COMMON STOCK	S	-9,800.00	151.77	6.00	145.77	80.0	115.42
08/13/2018	QGW039158 MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	B	12,778.56	200.00	6.00	194.00	80.0	154.00
08/13/2018	QGW039158 MASTERSON, PATRICIA	YEXT INC COM	S	-11,500.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW039158 MASTERSON, PATRICIA	NEW YORK MORTGAGE TRUST INC	S	-10,301.61	100.00	6.00	94.00	80.0	74.00
08/15/2018	QGW039158 MASTERSON, PATRICIA	SQUARE INC CLASS A COM	S	-7,513.00	50.00	6.00	44.00	80.0	34.00
08/16/2018	QGW039158 MASTERSON, PATRICIA	SHOPIFY INC CL A ISIN#CA82509L1076	B	13,985.00	150.00	6.00	144.00	80.0	114.00
08/17/2018	QGW039158 MASTERSON, PATRICIA	TANDEM DIABETES CARE INC COM NEW	S	-13,200.00	204.68	6.00	198.68	80.0	157.74
08/20/2018	QGW039158 MASTERSON, PATRICIA	NETAPP INC	B	16,312.56	200.00	6.00	194.00	80.0	154.00
08/21/2018	QGW039158 MASTERSON, PATRICIA	TEVA PHARMACEUTICAL INDUSTRIES LIM B		12,880.00	200.00	6.00	194.00	80.0	154.00
08/24/2018	QGW039158 MASTERSON, PATRICIA	NETAPP INC	S	-16,700.00	100.00	6.00	94.00	80.0	74.00
08/29/2018	QGW039158 MASTERSON, PATRICIA	XILINX INC	B	15,438.00	160.00	6.00	154.00	80.0	122.00
08/07/2018	QGW039398 RAGAZZO, RALPH	SPRINT CORPORATION COM SER 1	B	3,060.00	90.00	6.00	84.00	80.0	66.00
08/14/2018	QGW039398 RAGAZZO, RALPH	NEW YORK MORTGAGE TRUST INC	S	-12,486.40	100.00	6.00	94.00	80.0	74.00
08/16/2018	QGW039398 RAGAZZO, RALPH	SHOPIFY INC CL A ISIN#CA82509L1076	B	13,972.58	150.00	6.00	144.00	80.0	114.00
08/17/2018	QGW039398 RAGAZZO, RALPH	COHERUS BIOSCIENCES INC COM	S	-12,500.00	241.57	6.00	235.57	80.0	187.26
08/24/2018	QGW039398 RAGAZZO, RALPH	SQUARE INC CLASS A COM	S	-7,800.00	100.00	6.00	94.00	80.0	74.00
08/24/2018	QGW039398 RAGAZZO, RALPH	TANDEM DIABETES CARE INC COM NEW	B	11,500.47	160.00	6.00	154.00	80.0	122.00
08/29/2018	QGW039398 RAGAZZO, RALPH	TANDEM DIABETES CARE INC COM NEW	S	-12,600.00	75.00	6.00	69.00	80.0	54.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/02/2018	QGW039422	RAGAZZO, KATHLEEN							
		THERAPEUTICSMD INC	B	1,020.00	0.00	6.00	-6.00	80.0	-6.00
08/06/2018	QGW039422	RAGAZZO, KATHLEEN							
		EXXON MOBIL CORPORATION	S	-15,972.76	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW039422	RAGAZZO, KATHLEEN							
		ROKU INC CLASS A COMMON STOCK	B	23,832.50	200.00	6.00	194.00	80.0	154.00
08/09/2018	QGW039422	RAGAZZO, KATHLEEN							
		ICAHN ENTERPRISES LP DEPOSITARY UNI	S	-22,500.00	242.19	6.00	236.19	80.0	187.75
08/10/2018	QGW039422	RAGAZZO, KATHLEEN							
		ROKU INC CLASS A COMMON STOCK	S	-24,250.00	245.30	6.00	239.30	80.0	190.24
08/10/2018	QGW039422	RAGAZZO, KATHLEEN							
		ROKU INC CLASS A COMMON STOCK	S	-24,000.00	245.29	6.00	239.29	80.0	190.23
08/10/2018	QGW039422	RAGAZZO, KATHLEEN							
		TANDEM DIABETES CARE INC COM NEW	B	15,560.00	200.00	6.00	194.00	80.0	154.00
08/13/2018	QGW039422	RAGAZZO, KATHLEEN							
		IQIYI INC SPONS ADR ISIN#US46267X10	B	14,695.00	200.00	6.00	194.00	80.0	154.00
08/14/2018	QGW039422	RAGAZZO, KATHLEEN							
		DROPBOX INC CL A COM	B	29,208.00	300.00	6.00	294.00	80.0	234.00
08/14/2018	QGW039422	RAGAZZO, KATHLEEN							
		NEW YORK MORTGAGE TRUST INC	S	-9,987.36	100.00	6.00	94.00	80.0	74.00
08/14/2018	QGW039422	RAGAZZO, KATHLEEN							
		YEXT INC COM	S	-24,160.60	100.00	6.00	94.00	80.0	74.00
08/16/2018	QGW039422	RAGAZZO, KATHLEEN							
		ATHENEX INC COM	B	16,510.80	150.00	6.00	144.00	80.0	114.00
08/16/2018	QGW039422	RAGAZZO, KATHLEEN							
		SHOPIFY INC CL A ISIN#CA82509L1076	B	13,943.14	150.00	6.00	144.00	80.0	114.00
08/16/2018	QGW039422	RAGAZZO, KATHLEEN							
		THERAPEUTICSMD INC	S	-1,095.00	25.00	6.00	19.00	80.0	14.00
08/17/2018	QGW039422	RAGAZZO, KATHLEEN							
		COHERUS BIOSCIENCES INC COM	S	-12,500.00	241.57	6.00	235.57	80.0	187.26
08/17/2018	QGW039422	RAGAZZO, KATHLEEN							
		TANDEM DIABETES CARE INC COM NEW	S	-16,500.00	249.02	6.00	243.02	80.0	193.22
08/20/2018	QGW039422	RAGAZZO, KATHLEEN							
		NETAPP INC	B	16,282.20	150.00	6.00	144.00	80.0	114.00
08/21/2018	QGW039422	RAGAZZO, KATHLEEN							
		TANDEM DIABETES CARE INC COM NEW	B	18,244.55	200.00	6.00	194.00	80.0	154.00
08/22/2018	QGW039422	RAGAZZO, KATHLEEN							
		ATHENEX INC COM	S	-17,500.00	75.00	6.00	69.00	80.0	54.00
08/23/2018	QGW039422	RAGAZZO, KATHLEEN							
		TANDEM DIABETES CARE INC COM NEW	S	-19,440.00	100.00	6.00	94.00	80.0	74.00
08/24/2018	QGW039422	RAGAZZO, KATHLEEN							
		ALIBABA GROUP HOLDING LTD SPONSORED	B	17,536.57	200.00	6.00	194.00	80.0	154.00
08/24/2018	QGW039422	RAGAZZO, KATHLEEN							
		SQUARE INC CLASS A COM	S	-7,800.00	100.00	6.00	94.00	80.0	74.00
08/27/2018	QGW039422	RAGAZZO, KATHLEEN							
		IQIYI INC SPONS ADR ISIN#US46267X10	S	-15,735.05	100.00	6.00	94.00	80.0	74.00
08/27/2018	QGW039422	RAGAZZO, KATHLEEN							
		NETAPP INC	S	-17,100.00	75.00	6.00	69.00	80.0	54.00
08/29/2018	QGW039422	RAGAZZO, KATHLEEN							
		APHRIA INC COM ISIN#CA03765K1049	B	6,045.00	132.16	6.00	126.16	80.0	99.73
08/29/2018	QGW039422	RAGAZZO, KATHLEEN							
		TOWER SEMICONDUCTOR LTD SHS	B	11,175.00	187.00	6.00	181.00	80.0	143.60
08/29/2018	QGW039422	RAGAZZO, KATHLEEN							
		VUZIX CORP COM NEW	B	6,250.00	171.00	6.00	165.00	80.0	130.80
08/29/2018	QGW039422	RAGAZZO, KATHLEEN							
		XILINX INC	B	15,438.00	160.00	6.00	154.00	80.0	122.00
08/14/2018	QGW039448	PELAK, LAVERNE							
		IQIYI INC SPONS ADR ISIN#US46267X10	S	-7,968.15	75.00	6.00	69.00	80.0	54.00
08/21/2018	QGW039448	PELAK, LAVERNE							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	7,585.50	130.00	6.00	124.00	80.0	98.00
08/09/2018	QGW039455	BIELINKI, LOUISE							
		CENTRAL GARDEN & PET CO CL A NON-VT	B	3,700.00	0.00	6.00	-6.00	80.0	-6.00
08/09/2018	QGW039455	BIELINKI, LOUISE							
		GRAFTECH INTL LTD COM	B	4,000.00	0.00	6.00	-6.00	80.0	-6.00
08/14/2018	QGW039455	BIELINKI, LOUISE							
		NEW YORK MORTGAGE TRUST INC	S	-9,380.25	100.00	6.00	94.00	80.0	74.00
08/24/2018	QGW039455	BIELINKI, LOUISE							
		SQUARE INC CLASS A COM	S	-7,800.00	100.00	6.00	94.00	80.0	74.00
08/23/2018	QGW039513	AMATEL, MARGARET							
		GAMCO GLOBAL GOLD NATURAL RESOURCES	B	4,897.80	138.00	6.00	132.00	80.0	104.40
08/23/2018	QGW039513	AMATEL, MARGARET							
		SACHEM CAPITAL CORP COMMON SHARES	B	4,240.30	132.00	6.00	126.00	80.0	99.60
08/23/2018	QGW039513	AMATEL, MARGARET							
		SUNOCO LP COMMON UNITS REPRESENTING	B	4,851.23	100.00	6.00	94.00	80.0	74.00
08/09/2018	QGW039828	BIELINKI, LOUIS							
		GRAFTECH INTL LTD COM	B	4,000.00	0.00	6.00	-6.00	80.0	-6.00
08/17/2018	QGW039828	BIELINKI, LOUIS							
		COHERUS BIOSCIENCES INC COM	S	-12,500.00	241.57	6.00	235.57	80.0	187.26
08/20/2018	QGW039828	BIELINKI, LOUIS							
		TANDEM DIABETES CARE INC COM NEW	B	12,322.21	200.00	6.00	194.00	80.0	154.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/23/2018	QGW039828	BIELINKI, LOUIS	TANDEM DIABETES CARE INC COM NEW	S	-13,650.00	150.00	6.00	144.00	80.0	114.00
08/24/2018	QGW039828	BIELINKI, LOUIS	SQUARE INC CLASS A COM	S	-7,450.00	100.00	6.00	94.00	80.0	74.00
* STOCKS SUBTOTAL *					\$54,561.82	\$20,350.92	\$918.00	\$19,432.92		\$15,362.75
PRODUCT CATEGORY: BONDS										
08/28/2018	QGW033805	LYONS, SALLY	BARCLAYS BANK PLC FR 8%083030	B	5,000.00	87.50	7.50	80.00	80.0	62.50
* BONDS SUBTOTAL *					\$5,000.00	\$87.50	\$7.50	\$80.00		\$62.50
PRODUCT CATEGORY: OPTIONS										
08/07/2018	QGW033649	ARLENE M FRANCIS,	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-300.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW033649	ARLENE M FRANCIS,	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-320.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW033649	ARLENE M FRANCIS,	CALL 100 TANDEM DIABETES CARE INC C S		-294.99	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW033649	ARLENE M FRANCIS,	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-120.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW033763	LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-375.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW033763	LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-250.00	50.00	6.00	44.00	80.0	34.00
08/24/2018	QGW033763	LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-250.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-300.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 ROKU INC COM CL A EXP 08-1 S		-852.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 ROKU INC COM CL A EXP 08-1 S		852.00	-50.00	-6.00	-44.00	80.0	-34.00 C
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 ROKU INC COM CL A EXP 08-1 S		-852.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-48.00	38.03	6.00	32.03	80.0	24.42
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 YEXT INC COM EXP 08-17-18@ S		-75.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 YEXT INC COM EXP 08-17-18@ S		75.00	-50.00	-6.00	-44.00	80.0	-34.00 C
08/06/2018	QGW033805	LYONS, SALLY	CALL 100 YEXT INC COM EXP 08-17-18@ S		-75.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW033805	LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-200.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW033805	LYONS, SALLY	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-90.00	50.00	6.00	44.00	80.0	34.00
08/24/2018	QGW033805	LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-268.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-300.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-240.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-240.00	50.00	6.00	44.00	80.0	34.00
08/23/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 SQUARE INC CL A EXP 08-24- S		-79.00	50.00	6.00	44.00	80.0	34.00
08/23/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 SQUARE INC CL A EXP 08-24- S		79.00	-50.00	-6.00	-44.00	80.0	-34.00 C
08/23/2018	QGW034761	SYMCZAK, STEPHEN	CALL 100 SQUARE INC CL A EXP 08-24- S		-79.00	10.00	6.00	4.00	80.0	2.00
08/07/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-450.00	65.00	6.00	59.00	80.0	46.00
08/20/2018	QGW034894	MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S		-180.00	65.00	6.00	59.00	80.0	46.00
08/02/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-355.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-145.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 TANDEM DIABETES CARE INC C S		-280.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 IQIYI INC SPONS ADR ISIN#U S		-240.00	50.00	6.00	44.00	80.0	34.00
08/23/2018	QGW035438	RIZZO, GIOVANNI	CALL 100 TANDEM DIABETES CARE INC C S		-940.00	65.00	6.00	59.00	80.0	46.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
07/31/2018	QGW036493	ARLENE M FRANCIS,	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-210.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW036519	SINGH, BHUPINDER	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-260.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW036519	SINGH, BHUPINDER	CALL 100	VIPSHOP HLDGS LTD SPONS AD S	-185.00	50.00	6.00	44.00	80.0	34.00
08/02/2018	QGW036584	TREIBER, NANCY	CALL 100	BARCLAYS BK PLC IPATH S&P B	1,168.00	50.00	6.00	44.00	80.0	34.00
08/02/2018	QGW036584	TREIBER, NANCY	CALL 100	WHITING PETE CORP COM NEW S	-125.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW036584	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-160.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW036584	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-240.00	50.00	6.00	44.00	80.0	34.00
08/24/2018	QGW036584	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U B	555.00	25.00	6.00	19.00	80.0	14.00
08/06/2018	QGW036592	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-225.00	3.00	6.00	-3.00	80.0	-3.60
08/13/2018	QGW036592	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-171.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW036592	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-153.00	50.00	6.00	44.00	80.0	34.00
08/24/2018	QGW036592	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U B	336.00	25.00	6.00	19.00	80.0	14.00
08/28/2018	QGW036592	TREIBER, NANCY	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-315.00	50.00	6.00	44.00	80.0	34.00
08/02/2018	QGW038697	KOHN, SUSAN	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-231.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW038697	KOHN, SUSAN	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-90.00	50.00	6.00	44.00	80.0	34.00
08/24/2018	QGW038697	KOHN, SUSAN	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-195.00	40.00	6.00	34.00	80.0	26.00
08/08/2018	QGW038705	SAPIO, ROBERTA	CALL 100	ROKU INC COM CL A EXP 08-1 S	-1,369.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW038705	SAPIO, ROBERTA	CALL 100	SQUARE INC CL A EXP 08-17- S	-132.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW038705	SAPIO, ROBERTA	CALL 100	TANDEM DIABETES CARE INC C S	-350.00	50.00	6.00	44.00	80.0	34.00
07/31/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-675.00	50.00	6.00	44.00	80.0	34.00
08/01/2018	QGW038812	KOHN, ROBERT	CALL 100	ROKU INC COM CL A EXP 08-0 S	-265.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-375.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-286.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-250.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW038812	KOHN, ROBERT	CALL 100	TWITTER INC COM EXP 10-19- S	-392.00	50.00	6.00	44.00	80.0	34.00
08/13/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-295.00	5.00	6.00	-1.00	80.0	-2.00
08/14/2018	QGW038812	KOHN, ROBERT	CALL 100	TANDEM DIABETES CARE INC C S	-350.00	0.00	6.00	-6.00	80.0	-6.00
08/17/2018	QGW038812	KOHN, ROBERT	CALL 100	SPOTIFY TECHNOLOGY SA REGI S	-95.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-180.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW S	-250.00	50.00	6.00	44.00	80.0	34.00
08/23/2018	QGW038812	KOHN, ROBERT	CALL 100	TANDEM DIABETES CARE INC C S	-1,185.00	65.00	6.00	59.00	80.0	46.00
08/24/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U B	290.00	25.00	6.00	19.00	80.0	14.00
08/24/2018	QGW038812	KOHN, ROBERT	CALL 100	WHITING PETE CORP COM NEW B	835.00	25.00	6.00	19.00	80.0	14.00
08/27/2018	QGW038812	KOHN, ROBERT	CALL 100	SPOTIFY TECHNOLOGY SA REGI S	-225.00	50.00	6.00	44.00	80.0	34.00
08/28/2018	QGW038812	KOHN, ROBERT	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-525.00	50.00	6.00	44.00	80.0	34.00
08/06/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-375.00	50.00	6.00	44.00	80.0	34.00
08/08/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	ROKU INC COM CL A EXP 08-1 S	-534.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-150.00	50.00	6.00	44.00	80.0	34.00
08/14/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	TANDEM DIABETES CARE INC C S	-280.00	50.00	6.00	44.00	80.0	34.00
08/20/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-180.00	50.00	6.00	44.00	80.0	34.00

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/23/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	NETAPP INC COM EXP 08-24-1 S	-70.00	50.00	6.00	44.00	80.0 34.00
08/23/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	NETAPP INC COM EXP 08-24-1 S	70.00	-50.00	-6.00	-44.00	80.0 -34.00 C
08/23/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	NETAPP INC COM EXP 08-24-1 S	-70.00	10.00	6.00	4.00	80.0 2.00
08/24/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U B	290.00	25.00	6.00	19.00	80.0 14.00
08/28/2018	QGW039158	MASTERSON, PATRICIA	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-525.00	50.00	6.00	44.00	80.0 34.00
08/23/2018	QGW039398	RAGAZZO, RALPH	CALL 100	SQUARE INC CL A EXP 08-24- S	-78.00	50.00	6.00	44.00	80.0 34.00
08/23/2018	QGW039398	RAGAZZO, RALPH	CALL 100	SQUARE INC CL A EXP 08-24- S	78.00	-50.00	-6.00	-44.00	80.0 -34.00 C
08/23/2018	QGW039398	RAGAZZO, RALPH	CALL 100	SQUARE INC CL A EXP 08-24- S	-78.00	10.00	6.00	4.00	80.0 2.00
07/31/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-625.00	50.00	6.00	44.00	80.0 34.00
08/06/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	ROKU INC COM CL A EXP 08-1 S	-1,380.00	50.00	6.00	44.00	80.0 34.00
08/06/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-480.00	50.00	6.00	44.00	80.0 34.00
08/08/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	ROKU INC COM CL A EXP 08-1 S	-1,555.00	50.00	6.00	44.00	80.0 34.00
08/13/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-295.00	50.00	6.00	44.00	80.0 34.00
08/14/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-150.00	50.00	6.00	44.00	80.0 34.00
08/14/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	TANDEM DIABETES CARE INC C S	-350.00	50.00	6.00	44.00	80.0 34.00
08/15/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	DROPBOX INC CL A EXP 08-17 S	-370.00	50.00	6.00	44.00	80.0 34.00
08/20/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	BOX INC CL A EXP 09-21-18@ S	-720.00	50.00	6.00	44.00	80.0 34.00
08/20/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	DROPBOX INC CL A EXP 08-24 S	-568.00	50.00	6.00	44.00	80.0 34.00
08/20/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-200.00	50.00	6.00	44.00	80.0 34.00
08/20/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-125.00	50.00	6.00	44.00	80.0 34.00
08/23/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	SQUARE INC CL A EXP 08-24- S	-79.00	50.00	6.00	44.00	80.0 34.00
08/24/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	IQIYI INC SPONS ADR ISIN#U B	320.00	25.00	6.00	19.00	80.0 14.00
08/24/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW B	365.00	25.00	6.00	19.00	80.0 14.00
08/28/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	ALIBABA GROUP HLDG LTD SPO S	-300.00	50.00	6.00	44.00	80.0 34.00
08/28/2018	QGW039422	RAGAZZO, KATHLEEN	CALL 100	WHITING PETE CORP COM NEW S	-650.00	50.00	6.00	44.00	80.0 34.00
08/01/2018	QGW039448	PELAK, LAVERNE	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-222.00	50.00	6.00	44.00	80.0 34.00
08/06/2018	QGW039448	PELAK, LAVERNE	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-213.00	50.00	6.00	44.00	80.0 34.00
08/23/2018	QGW039455	BIELINKI, LOUISE	CALL 100	SQUARE INC CL A EXP 08-24- S	-76.00	50.00	6.00	44.00	80.0 34.00
08/23/2018	QGW039455	BIELINKI, LOUISE	CALL 100	SQUARE INC CL A EXP 08-24- S	76.00	-50.00	-6.00	-44.00	80.0 -34.00 C
08/23/2018	QGW039455	BIELINKI, LOUISE	CALL 100	SQUARE INC CL A EXP 08-24- S	-76.00	10.00	6.00	4.00	80.0 2.00
08/06/2018	QGW039828	BIELINKI, LOUIS	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-308.00	50.00	6.00	44.00	80.0 34.00
08/14/2018	QGW039828	BIELINKI, LOUIS	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-120.00	50.00	6.00	44.00	80.0 34.00
08/20/2018	QGW039828	BIELINKI, LOUIS	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-260.00	50.00	6.00	44.00	80.0 34.00
08/20/2018	QGW039828	BIELINKI, LOUIS	CALL 100	SQUARE INC CL A EXP 08-24- S	-67.00	50.00	6.00	44.00	80.0 34.00
08/24/2018	QGW039828	BIELINKI, LOUIS	CALL 100	IQIYI INC SPONS ADR ISIN#U B	452.00	25.00	6.00	19.00	80.0 14.00
08/28/2018	QGW039828	BIELINKI, LOUIS	CALL 100	IQIYI INC SPONS ADR ISIN#U S	-420.00	50.00	6.00	44.00	80.0 34.00
* OPTIONS SUBTOTAL *				\$-24,064.99	\$4,286.03	\$570.00	\$3,716.03	\$2,858.82	
*** BROKER TRANSACTIONS TOTAL ***				\$35,496.83	\$24,724.45	\$1,495.50	\$23,228.95	\$18,284.07	

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
08/31/2018		COMMISSION INCOME: HAIR SECONDARY		0.00	0.00	0.00	0.00		141.75
08/31/2018		COMMISSION INCOME: CENTA SECONDARY		0.00	0.00	0.00	0.00		59.45
08/31/2018		COMMISSION INCOME: EAF SECONDARY		0.00	0.00	0.00	0.00		70.88
08/31/2018		COMMISSION INCOME: ARLO IPO		0.00	0.00	0.00	0.00		30.24
08/31/2018		COMMISSION INCOME: TXMD SECONDARY		0.00	0.00	0.00	0.00		49.57
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
08/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$193.89

BROKER COMMISSION TOTALS

Broker Transactions	\$18,284.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$193.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-30.91
SIPC Assessment	\$-61.81

Check Amount	\$18,385.24
Year-to-date Earnings	\$111,214.47