



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
Page No. 1

JOSEPH MICHALETZ
41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/16/2017	FLO LIV TRUST, SIGNE	BOURNE SENIORS HOUSING III	131,293.32	8,534.07	0.00	8,534.07	93.0	7,936.69
08/10/2017	GRIMM, GLEN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
08/11/2017	VOIGT, KEVIN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
08/10/2017	GARLAND, JACK	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
* PRIVATE PLACEMENT SUBTOTAL *			\$331,293.32	\$21,534.07	\$0.00	\$21,534.07		\$20,026.69
<u>PRODUCT CATEGORY: OIL & GAS</u>								
08/11/2017	MILLER, SCOTT	WAVELAND RESOURCE PARTNERS IV	240,000.00	16,800.00	0.00	16,800.00	93.0	15,624.00
* OIL & GAS SUBTOTAL *			\$240,000.00	\$16,800.00	\$0.00	\$16,800.00		\$15,624.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/15/2017	TK LEGACY LLC	BOURNE POCONO DST	375,000.00	24,375.00	0.00	24,375.00	93.0	22,668.75
08/16/2017	WARTENBERG, MARILYN	BOURNE POCONO DST	150,000.00	9,750.00	0.00	9,750.00	93.0	9,067.50
08/16/2017	GILMAN, GENE	BOURNE POCONO DST	200,000.00	13,000.00	0.00	13,000.00	93.0	12,090.00
08/17/2017	WINGERT, CHARLES	BOURNE POCONO DST	131,814.10	8,567.92	0.00	8,567.92	93.0	7,968.17
08/17/2017	BOHLENDER, RODNEY	BOURNE POCONO DST	400,000.00	26,000.00	0.00	26,000.00	93.0	24,180.00
08/17/2017	HOTOVEC, GARY	BOURNE POCONO DST	135,232.07	8,790.08	0.00	8,790.08	93.0	8,174.77
08/17/2017	CLIFT, GEORGE	BOURNE POCONO DST	100,000.00	6,500.00	0.00	6,500.00	93.0	6,045.00
08/17/2017	ANDERSEN REV LIV TR, CRYSTAL	BOURNE POCONO DST	65,387.55	4,250.19	0.00	4,250.19	93.0	3,952.68
08/17/2017	ANDERSEN REV LIV TR, DWIGHT	BOURNE POCONO DST	65,387.55	4,250.19	0.00	4,250.19	93.0	3,952.68
08/01/2017	TIMM FARMS INC	CANTOR FITZ NET LEASE PF I DST	274,850.00	16,491.00	0.00	16,491.00	93.0	15,336.63
08/01/2017	TIMM FARMS INC	CANTOR FITZ NET LEASE PF V DST	225,150.00	13,509.00	0.00	13,509.00	93.0	12,563.37
08/25/2017	DOBITZ TRUST, DIANE	INLAND SELF STORAGE PF V DST	100,000.00	5,000.00	0.00	5,000.00	93.0	4,650.00
08/25/2017	DOBITZ TRUST, DIANE	INLAND TEXAS HEALTHCARE PF II DST	74,636.17	3,731.81	0.00	3,731.81	93.0	3,470.58
08/16/2017	LOVETT TRUST, JEAN	STARBOARD LEMON GROVE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/17/2017	DOBITZ TRUST, DIANE	STARBOARD LEMON GROVE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
08/25/2017	SHANNAHAN TRUST, JOHN	STARBOARD LEMON GROVE DST	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
* 1031 EXCHANGES SUBTOTAL *			\$2,597,457.44	\$162,215.19	\$0.00	\$162,215.19		\$150,860.13
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	3.29	0.00	3.29	93.0	3.06
07/31/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	1.10	0.00	1.10	93.0	1.02
08/14/2017	STEFFEN, MARVIN	JH STRATEGIC GROWTH A	0.00	0.52	0.00	0.52	93.0	0.48
08/14/2017	STEFFEN, MARVIN	JH STRATEGIC INCOME A	0.00	0.75	0.00	0.75	93.0	0.70
08/14/2017	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	8.27	0.00	8.27	93.0	7.69
08/15/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93
08/15/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	93.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$150.00	\$15.43	\$0.00	\$15.43		\$14.35
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/15/2017	MIKLES, DEBRA	JNL PERSPECTIVE II 04/14	160,000.00	3,200.00	0.00	3,200.00	93.0	2,976.00
08/15/2017	WOLFF, JEAN	JNL PERSPECTIVE L	6,500.00	260.00	0.00	260.00	93.0	241.80
08/18/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	93.0	20.46
07/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	23,890.08	19.91	0.00	19.91	93.0	18.52
07/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	455,966.53	379.97	0.00	379.97	93.0	353.37
07/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	114,668.88	95.56	0.00	95.56	93.0	88.87
07/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	204,119.43	170.10	0.00	170.10	93.0	158.19
07/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	17,310.43	3.61	0.00	3.61	93.0	3.36
07/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	11,755.84	2.45	0.00	2.45	93.0	2.28
07/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	17,039.13	3.55	0.00	3.55	93.0	3.30
07/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	171,202.30	142.67	0.00	142.67	93.0	132.68
07/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	43,454.70	36.21	0.00	36.21	93.0	33.68
07/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	308,835.14	257.36	0.00	257.36	93.0	239.34
07/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	661,364.90	551.14	0.00	551.14	93.0	512.56
07/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	23,227.07	19.36	0.00	19.36	93.0	18.00
07/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,263.75	5.22	0.00	5.22	93.0	4.85
07/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	34,911.34	29.09	0.00	29.09	93.0	27.05
07/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	17,852.60	14.88	0.00	14.88	93.0	13.84
07/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	12,370.97	10.31	0.00	10.31	93.0	9.59
07/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	24,166.49	20.14	0.00	20.14	93.0	18.73
07/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	49,060.40	40.88	0.00	40.88	93.0	38.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	16,631.79	13.86	0.00	13.86	93.0	12.89
07/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	232,384.43	193.65	0.00	193.65	93.0	180.09
07/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,323,076.80	1,102.56	0.00	1,102.56	93.0	1,025.38
07/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	7,646.85	6.37	0.00	6.37	93.0	5.92
07/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	218,756.45	182.30	0.00	182.30	93.0	169.54
07/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	18,398.10	15.33	0.00	15.33	93.0	14.26
07/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	63,436.58	52.86	0.00	52.86	93.0	49.16
07/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	54,562.84	45.47	0.00	45.47	93.0	42.29
07/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	34,170.82	28.48	0.00	28.48	93.0	26.49
07/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	31,167.83	25.97	0.00	25.97	93.0	24.15
07/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	51,857.90	43.21	0.00	43.21	93.0	40.19
07/29/2017	BULLER, HUGH	TRANSAMERICA EXTRA	13,528.20	11.27	0.00	11.27	93.0	10.48
07/29/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	29,447.47	24.54	0.00	24.54	93.0	22.82
07/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	220,074.69	183.40	0.00	183.40	93.0	170.56
07/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	12,335.80	10.28	0.00	10.28	93.0	9.56
07/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	110,667.74	92.22	0.00	92.22	93.0	85.76
07/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	956,463.87	797.05	0.00	797.05	93.0	741.26
07/10/2017	BRAUN, VIVIAN	TRANSAMERICA EXTRA 89352F889	778,751.23	648.96	0.00	648.96	93.0	603.53
07/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	78,781.72	65.65	0.00	65.65	93.0	61.05
07/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	65,517.82	54.60	0.00	54.60	93.0	50.78
07/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	175,219.40	146.02	0.00	146.02	93.0	135.80
07/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,285,277.28	1,071.06	0.00	1,071.06	93.0	996.09
07/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	284,608.93	237.17	0.00	237.17	93.0	220.57
07/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	100,030.72	83.36	0.00	83.36	93.0	77.52
07/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	325,227.84	271.02	0.00	271.02	93.0	252.05
07/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	117,822.56	98.19	0.00	98.19	93.0	91.32
07/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	130,278.51	108.57	0.00	108.57	93.0	100.97
07/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	69,806.33	58.17	0.00	58.17	93.0	54.10
07/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	374,663.55	312.22	0.00	312.22	93.0	290.36
07/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	445,537.14	371.28	0.00	371.28	93.0	345.29
07/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,486.20	12.07	0.00	12.07	93.0	11.23
07/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	495,063.71	412.55	0.00	412.55	93.0	383.67
07/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	155,392.81	129.49	0.00	129.49	93.0	120.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
07/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	675,409.60	562.84	0.00	562.84	93.0	523.44
07/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	50,439.46	42.03	0.00	42.03	93.0	39.09
07/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,279.99	11.07	0.00	11.07	93.0	10.30
07/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	68,851.53	57.38	0.00	57.38	93.0	53.36
07/29/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	226,126.88	188.44	0.00	188.44	93.0	175.25
08/21/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
07/29/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	51,099.51	42.58	0.00	42.58	93.0	39.60
07/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	58,167.79	48.47	0.00	48.47	93.0	45.08
07/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	35,992.43	29.99	0.00	29.99	93.0	27.89
07/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	82,140.96	68.45	0.00	68.45	93.0	63.66
07/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	213,777.00	178.15	0.00	178.15	93.0	165.68
07/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	2,809.86	2.34	0.00	2.34	93.0	2.18
* VARIABLE ANNUITIES SUBTOTAL *			\$12,133,958.90	\$13,439.35	\$0.00	\$13,439.35		\$12,498.60
PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE								
08/07/2017	OTTO, CHRISTOPHER	LINCOLN LIFE	270.00	4.05	0.00	4.05	93.0	3.77
08/21/2017	SCHMIT, DALE	LINCOLN LIFE	0.00	110.85	0.00	110.85	93.0	103.09
08/14/2017	KIRCHNER, PHILLIP	TRANSAMERICA LIFE	1,157.50	28.94	0.00	28.94	93.0	26.91
08/16/2017	FOELS, TAMMY	TRANSAMERICA LIFE	80.17	1.00	0.00	1.00	93.0	0.93
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,507.67	\$144.84	\$0.00	\$144.84		\$134.70
*** BROKER TRANSACTIONS TOTAL ***			\$15,304,367.33	\$214,148.88	\$0.00	\$214,148.88		\$199,158.47
<u>SPLIT TRANSACTIONS</u>								
BROKER: 46G-JOE/JACK MICHALETZ #290								
08/17/2017	OLSON, MARK	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
08/01/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
08/01/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
08/01/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
08/01/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$50,422.40	\$3,504.23	\$0.00	\$3,504.23		\$3,258.94
*** SPLIT TRANSACTIONS TOTAL ***			\$50,422.40	\$3,504.23	\$0.00	\$3,504.23		\$3,258.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
08/07/2017	GEHRKE, ROBERT	AMERITAS MEDLEY	53,056.85	33.16	0.00	33.16	0.0	30.84
08/14/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.27	0.00	1.27	0.0	1.18
08/14/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.22	0.00	0.22	0.0	0.20
08/14/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.41	0.00	2.41	0.0	2.24
08/14/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.37	0.00	1.37	0.0	1.27
08/14/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.90	0.00	3.90	0.0	3.63
08/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.67	0.00	1.67	0.0	1.55
08/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.02	0.00	1.02	0.0	0.95
08/14/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.22	0.00	0.22	0.0	0.20
08/11/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	10.67	0.11	0.00	0.11	0.0	0.10
08/11/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	16.01	0.16	0.00	0.16	0.0	0.15
08/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.80	0.00	1.80	0.0	1.67
08/14/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.86	0.00	1.86	0.0	1.73
08/14/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.05
08/14/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	6.99	0.00	6.99	0.0	6.50
08/14/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.50	0.00	5.50	0.0	5.12
08/14/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.94	0.00	1.94	0.0	1.80
08/14/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.05	0.00	3.05	0.0	2.84
08/14/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.76	0.00	4.76	0.0	4.43
08/14/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.11	0.00	2.11	0.0	1.96
08/14/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.62	0.00	0.62	0.0	0.58
08/14/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.01	0.00	0.01	0.0	0.01
08/14/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.34	0.00	1.34	0.0	1.25
08/14/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.07
08/14/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.30	0.00	0.30	0.0	0.28
08/14/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.46	0.00	2.46	0.0	2.29
08/11/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	16.00	0.16	0.00	0.16	0.0	0.15
08/11/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	10.66	0.11	0.00	0.11	0.0	0.10
08/14/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.70	0.00	1.70	0.0	1.58
08/14/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.83	0.00	1.83	0.0	1.70
08/14/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.67	0.00	2.67	0.0	2.48
08/14/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.30	0.00	1.30	0.0	1.21
08/14/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.33	0.00	1.33	0.0	1.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
08/14/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.84	0.00	0.84	0.0	0.78
08/14/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	6.66	0.00	6.66	0.0	6.19
08/14/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.83	0.00	1.83	0.0	1.70
08/14/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.58	0.00	1.58	0.0	1.47
08/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	6.73	0.00	6.73	0.0	6.26
08/14/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.10
08/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	5.89	0.00	5.89	0.0	5.48
08/14/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
08/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	5.19	0.00	5.19	0.0	4.83
08/14/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-8.84
08/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
08/15/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-10.23
08/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
08/07/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	22.27	0.22	0.00	0.22	0.0	0.20
08/07/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	22.27	0.22	0.00	0.22	0.0	0.20
08/11/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	43.10	0.43	0.00	0.43	0.0	0.40
08/11/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	43.10	0.43	0.00	0.43	0.0	0.40
08/11/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
08/11/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
08/11/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	64.16	0.64	0.00	0.64	0.0	0.60
08/11/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	64.16	0.64	0.00	0.64	0.0	0.60
08/11/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.80	0.51	0.00	0.51	0.0	0.47
08/11/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	50.80	0.51	0.00	0.51	0.0	0.47
08/14/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	145.81	1.46	0.00	1.46	0.0	1.36
08/14/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	145.81	1.46	0.00	1.46	0.0	1.36
08/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
08/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
08/15/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
08/07/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	0.0	6.13
08/07/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	0.0	2.05
08/07/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	61.65	0.62	0.00	0.62	0.0	0.58
08/07/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	61.65	0.62	0.00	0.62	0.0	0.58
08/07/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.26

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
08/07/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	0.0	0.26
08/14/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	190.48	1.90	0.00	1.90	0.0	1.77
08/14/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	190.48	1.90	0.00	1.90	0.0	1.77
08/15/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
08/15/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
08/15/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
07/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	16,951.67	14.13	0.00	14.13	0.0	13.14
07/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	17,428.40	14.52	0.00	14.52	0.0	13.50
08/25/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
08/25/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
07/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	83,589.54	69.66	0.00	69.66	0.0	64.78
07/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	87,659.79	73.05	0.00	73.05	0.0	67.94
* JACK MICHALETZ SUBTOTAL *			\$262,463.17	\$330.91	\$48.00	\$282.91		\$263.13
*** OVERRIDE TRANSACTIONS TOTAL ***			\$262,463.17	\$330.91	\$48.00	\$282.91		\$263.13

BROKER COMMISSION TOTALS

Broker Transactions	\$199,158.47
Split Transactions	\$3,258.94
Override Transactions	\$263.13
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$202,680.54
Year-to-date Gross	\$4,004,031.23
Year-to-date Earnings	\$3,849,818.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed