

Bill Payment Stub

Check Date:	4/25/2018
Check No.:	ACH
Check Amount:	537.17

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: WILLIAM B. DAVIS
P.O. BOX 990
TYRONE, GA 30290

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	672.17	672.17		672.17
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

COMMISSION STATEMENT

April 15, 2018

BARRY DAVIS-D018

BROKER #: D018

BRANCH: DME

, TX

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/26/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
04/02/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
03/28/2018	00067998454	KEARNS, LEAH	MF	NEW PERSPECTIVE FUND-A	B	33.00	1.40	0.00	1.40 70.0 0.98
03/28/2018	00067998454	KEARNS, LEAH	MF	THE GROWTH FUND OF AMERICA-A	B	33.00	1.40	0.00	1.40 70.0 0.98
03/28/2018	00067998454	KEARNS, LEAH	MF	THE INVESTMENT COMPANY OF	B	34.00	1.45	0.00	1.45 70.0 1.02
03/26/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
03/26/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
03/26/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
03/26/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
04/03/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
04/03/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
04/03/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
04/03/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
03/26/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
03/26/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
03/26/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
03/26/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
03/26/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
03/26/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
04/03/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
04/03/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
04/03/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
04/03/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
04/03/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
04/03/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
03/26/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
03/26/2018	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
03/26/2018	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
03/26/2018	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
03/26/2018	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08
03/26/2018	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/26/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
03/26/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
04/03/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
04/03/2018 00086607305	MINSHAW, RICKEY	MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
04/03/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
04/03/2018 00086607305	MINSHAW, RICKEY	MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
04/03/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
04/03/2018 00086607305	MINSHAW, RICKEY	MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
04/03/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
04/03/2018 00086607305	MINSHAW, RICKEY	MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
03/26/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	14.84	0.41	0.00	0.41	70.0	0.29
03/26/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	14.84	0.41	0.00	0.41	70.0	0.29
03/26/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	14.83	0.41	0.00	0.41	70.0	0.29
03/26/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	14.83	0.41	0.00	0.41	70.0	0.29
04/03/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	14.84	0.41	0.00	0.41	70.0	0.29
04/03/2018 00086622199	RICHEY, MICHAEL	MF AMCAP FUND-A	B	14.84	0.41	0.00	0.41	70.0	0.29
04/03/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	14.83	0.41	0.00	0.41	70.0	0.29
04/03/2018 00086622199	RICHEY, MICHAEL	MF THE INVESTMENT COMPANY OF	B	14.83	0.41	0.00	0.41	70.0	0.29
04/02/2018 00088460018	CLOUD, CHASITY	MF NEW PERSPECTIVE FUND-529A	B	250.00	10.63	0.00	10.63	70.0	7.44
04/02/2018 00088460059	CLOUD, CHASITY	MF NEW PERSPECTIVE FUND-529A	B	250.00	10.63	0.00	10.63	70.0	7.44
04/05/2018 00088968626	DAVIS, KATHY	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	70.0	3.15
04/05/2018 00088968626	DAVIS, KATHY	MF NEW WORLD FUND-A	B	150.00	7.50	0.00	7.50	70.0	5.25
* MUTUAL FUNDS SUBTOTAL *				\$1,618.66	\$58.45	\$0.00	\$58.45		\$40.98

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None) VARIOUS, DAVIS	MFT OPPENHEIMER	B	0.00	0.60	0.00	0.60	70.0	0.42
04/06/2018 (None) VARIOUS, DAVIS	MFT FIDELITY ADVISOR FDS	B	0.00	0.37	0.00	0.37	70.0	0.26
04/02/2018 00358329685 PLAN	MFT PUTNAM UTILITIES GROWTH AND	B	0.00	0.48	0.00	0.48	70.0	0.34
04/02/2018 00358329685 PLAN	MFT PUTNAM 746802800	B	0.00	0.34	0.00	0.34	70.0	0.24
04/02/2018 00358329685 PLAN	MFT PUTNAM HEALTH SCIENCES TRUST	B	0.00	0.79	0.00	0.79	70.0	0.55
04/02/2018 00358329685 PLAN	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.58	0.00	0.58	70.0	0.41
04/01/2018 03808435170 WHITAKER, TIMOTHY	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.72	0.00	0.72	70.0	0.50
04/01/2018 03808435170 WHITAKER, TIMOTHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.27	0.00	2.27	70.0	1.59
04/01/2018 03808949931 GARDNER UTMA/GA,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.79	0.00	0.79	70.0	0.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6.94	\$0.00	\$6.94		\$4.86

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

03/28/2018 (None) MUNCHER, DAVID	VAT AMERICAN GENERAL	B	0.00	72.86	0.00	72.86	70.0	51.00
04/05/2018 (None) THOMPSON, ROBERT	VAT AMERICAN GENERAL	B	0.00	464.68	0.00	464.68	70.0	325.28
04/04/2018 VR07021822 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	16.06	0.00	16.06	70.0	11.24
04/02/2018 VR07026642 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	99.24	0.00	99.24	70.0	69.47
03/28/2018 VR07026746 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	241.92	0.00	241.92	70.0	169.34
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$894.76	\$0.00	\$894.76		\$626.33
*** DIRECT TRANSACTIONS TOTAL ***			\$1,618.66	\$960.15	\$0.00	\$960.15		\$672.17

BROKER COMMISSION TOTALS

Broker Transactions	\$672.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$672.17
Year-to-date Commissions	\$40,440.41