

STATEMENT

February 20, 2018

BEN REYNOSO
222 N Garden St #300
SUITE 620
Visalia, CA 93291

BROKER #: 174
BRANCH:

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
/ /	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	3.78	94.0	0.00	3.55	0.00	3.55
/ /	#074190005734 & TRUST, ARTIS	JP MORGAN	0.00	0.04	94.0	0.00	0.04	0.00	0.04
/ /	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.19	94.0	0.00	0.18	0.00	0.18
12/31/2017	#0049157621	BLCKRCK	0.00	7.07	94.0	0.00	6.65	0.00	6.65
12/31/2017	#0047818448 COUNSEL, TR	BLCKRCK	0.00	2.82	94.0	0.00	2.65	0.00	2.65
12/31/2017	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	12.57	94.0	0.00	11.82	0.00	11.82
12/31/2017	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.71	94.0	0.00	1.61	0.00	1.61
12/31/2017	#0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.56	94.0	0.00	1.47	0.00	1.47
12/31/2017	#0049157621	BLCKRCK	0.00	7.98	94.0	0.00	7.50	0.00	7.50
12/31/2017	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.30	94.0	0.00	1.22	0.00	1.22
12/31/2017	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.67	94.0	0.00	2.51	0.00	2.51
12/31/2017	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	7.76	94.0	0.00	7.29	0.00	7.29
12/31/2017	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.34	94.0	0.00	0.32	0.00	0.32
12/31/2017	#074480013753 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.06	94.0	0.00	0.06	0.00	0.06
12/31/2017	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	4.33	94.0	0.00	4.07	0.00	4.07
12/31/2017	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	9.80	94.0	0.00	9.21	0.00	9.21
12/31/2017	#067860000212 &TRUPLAN	NUVEEN MID CAP VALUE A	0.00	29.75	94.0	0.00	27.97	0.00	27.97
01/02/2018	#00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00
01/02/2018	#00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/2018	#00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
01/02/2018	#00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/02/2018	#00085514794	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	2.20
01/02/2018	#00085514806	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	2.20
01/02/2018	#00086006772	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	2.20
01/10/2018	#00073613500	DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	2.64
01/10/2018	#00073612948	DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	2.64
01/16/2018	#00085514756	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514794	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514806	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00086006772	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514756	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514794	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514806	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00086006772	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514756	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514794	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00085514806	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
01/16/2018	#00086006772	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
02/02/2018	#00068787876	LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	6.00
02/02/2018	#00081603713	LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	2.00
* MUTUAL FUNDS SUBTOTAL *			\$2,530.00	\$170.85		\$0.00	\$160.64	\$0.00	\$160.64
<u>PRODUCT CATEGORY: STOCKS</u>									
01/09/2018	#	ARTIS, RICHARD	GENERAL ELECTRIC CO	18,455.56	50.00	85.0	0.00	42.50	22.55
* STOCKS SUBTOTAL *			\$18,455.56	\$50.00		\$0.00	\$42.50	\$19.95	\$22.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/	/	#105399	BASIC INDUSTRIES ADP	0.00	0.32	94.0	0.00	0.30	0.30
/	/	#105399	BASIC INDUSTRIES ADP	0.00	0.36	94.0	0.00	0.34	0.34
/	/	#105399	BASIC INDUSTRIES ADP	0.00	0.36	94.0	0.00	0.34	0.34
/	/	#105399	BASIC INDUSTRIES ADP	0.00	0.41	94.0	0.00	0.39	0.39
/	/	#105399	BASIC INDUSTRIES ADP	0.00	0.43	94.0	0.00	0.40	0.40
/	/	#105399	BASIC INDUSTRIES ADP	0.00	0.46	94.0	0.00	0.43	0.43
/	/	#105797	TMS & ASSOC 401K ADP	0.00	1.65	94.0	0.00	1.55	1.55
/	/	#105797	TMS & ASSOC 401K ADP	0.00	2.85	94.0	0.00	2.68	2.68
/	/	#105797	TMS & ASSOC 401K ADP	0.00	2.03	94.0	0.00	1.91	1.91
/	/	#105797	TMS & ASSOC 401K ADP	0.00	2.78	94.0	0.00	2.61	2.61

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
/ /	#105797 TMS & ASSOC 401K	ADP	0.00	2.57	94.0	0.00	2.42	0.00	2.42
/ /	#105797 TMS & ASSOC 401K	ADP	0.00	2.89	94.0	0.00	2.72	0.00	2.72
01/01/0018	#500002ABZ ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	108.22	94.0	0.00	101.73	0.00	101.73
01/01/0018	#500002ARB ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	14.87	94.0	0.00	13.98	0.00	13.98
01/01/0018	#500002BBI PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	6.61	94.0	0.00	6.21	0.00	6.21
01/01/0018	#500002BLH SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	6.97	94.0	0.00	6.55	0.00	6.55
01/01/0018	#500002BVT MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.26	94.0	0.00	3.06	0.00	3.06
01/01/0018	#500002E26 MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.35	94.0	0.00	7.85	0.00	7.85
01/01/0018	#500002M18 WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.47	94.0	0.00	0.44	0.00	0.44
01/01/0018	#500002P58 JANICES INC	TRANSAMERICA FIN LIFE	0.00	10.36	94.0	0.00	9.74	0.00	9.74
01/01/0018	#500002P59 LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	5.71	94.0	0.00	5.37	0.00	5.37
01/01/0018	#500002R30 , ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.42	94.0	0.00	3.21	0.00	3.21
01/01/0018	#500002S00 HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	43.13	94.0	0.00	40.54	0.00	40.54
01/01/0018	#500002S08 TRANSWEST	TRANSAMERICA FIN LIFE	0.00	84.46	94.0	0.00	79.39	0.00	79.39
01/01/0018	#500002S10 HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	76.93	94.0	0.00	72.31	0.00	72.31
01/01/0018	#500002S24 SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	211.24	94.0	0.00	198.57	0.00	198.57
01/01/0018	#500002U17 NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.04	94.0	0.00	0.04	0.00	0.04
01/01/0018	#500002V01 CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	8.02	94.0	0.00	7.54	0.00	7.54
01/01/0018	#500002W19 NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	132.98	94.0	0.00	125.00	0.00	125.00
01/01/0018	#500002Z47 CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.15	94.0	0.00	4.84	0.00	4.84
01/01/0018	#803625000 BIG PINE PAI	TRANSAMERICA FIN LIFE	0.00	121.53	94.0	0.00	114.24	0.00	114.24
01/04/0018	#01P3579346 OKINO, FUJIE	TRANSAMERICA PRE	0.00	223.11	94.0	0.00	209.72	0.00	209.72
12/23/2017	#129617LB8 EVANS, PAM	TRANSAMERICA LIFE	0.00	39.70	94.0	0.00	37.32	0.00	37.32
12/27/2017	#104571LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	33.71	94.0	0.00	31.69	0.00	31.69
12/28/2017	#104570LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	42.47	94.0	0.00	39.92	0.00	39.92
12/29/2017	#DA315044 BETTENCOURT, JOHN	ALLIANZ VA	0.00	118.51	94.0	0.00	111.40	0.00	111.40
12/29/2017	#DA312937 HALLAM, DAVID	ALLIANZ VA	0.00	118.70	94.0	0.00	111.58	0.00	111.58
12/29/2017	#DA308135 CONNEALLY, DENNIS	ALLIANZ VA	0.00	183.95	94.0	0.00	172.91	0.00	172.91
12/29/2017	#DA933385 DOERKSEN, DANIEL	ALLIANZ VA	0.00	99.47	94.0	0.00	93.50	0.00	93.50
12/29/2017	#DA933386 DOERKSEN, DANIEL	ALLIANZ VA	0.00	274.24	94.0	0.00	257.79	0.00	257.79
12/29/2017	#DA936249 OGATA, MORRIS	ALLIANZ VA	0.00	307.55	94.0	0.00	289.10	0.00	289.10
12/29/2017	#DA023440 BETTENCOURT, JOHN	ALLIANZ VA	0.00	226.71	94.0	0.00	213.11	0.00	213.11
12/29/2017	#DA030663 CEREGHINO, PAUL	ALLIANZ VA	0.00	181.10	94.0	0.00	170.23	0.00	170.23
12/29/2017	#DAV13176 LOPEZ, ROBIN	ALLIANZ VA	0.00	40.35	94.0	0.00	37.93	0.00	37.93
12/29/2017	#DAX32997 ARTIS HARE & CO	ALLIANZ VA	0.00	88.47	94.0	0.00	83.16	0.00	83.16
12/29/2017	#DAX29769 ARTIS HARE CO 401K	ALLIANZ VA	0.00	343.74	94.0	0.00	323.12	0.00	323.12

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/29/2017	#DAX38058 PAUSMA, JASON	ALLIANZ VA	0.00	62.54	94.0	0.00	58.79	0.00	58.79
12/29/2017	#DAX37587 ARTIS, KATHI	ALLIANZ VA	0.00	65.76	94.0	0.00	61.81	0.00	61.81
12/29/2017	#DAX42006 BLANKENSHIP,	ALLIANZ VA	0.00	77.69	94.0	0.00	73.03	0.00	73.03
12/29/2017	#DAX25190 ANDERSON, SHERRIE	ALLIANZ VA	0.00	78.60	94.0	0.00	73.88	0.00	73.88
12/29/2017	#DAX25191 GARCIA, GERARDO	ALLIANZ VA	0.00	63.20	94.0	0.00	59.41	0.00	59.41
12/29/2017	#DAX23486 MCPHERSON, VICKIE	ALLIANZ VA	0.00	399.21	94.0	0.00	375.26	0.00	375.26
12/29/2017	#DAV00394 BENEDICT, RICHARD	ALLIANZ VA	0.00	98.16	94.0	0.00	92.27	0.00	92.27
12/29/2017	#DAV36755 EASTBURN, JANICE	ALLIANZ VA	0.00	84.89	94.0	0.00	79.80	0.00	79.80
12/29/2017	#DAX29913 BEATTY, JANET	ALLIANZ VA	0.00	110.47	94.0	0.00	103.84	0.00	103.84
12/29/2017	#DAX69568 ROOT, ROGER	ALLIANZ VA	0.00	175.77	94.0	0.00	165.22	0.00	165.22
12/29/2017	#DAX25223 UNDERWOOD, MICHELE	ALLIANZ VA	0.00	154.63	94.0	0.00	145.35	0.00	145.35
12/31/2017	#1011649730 REYNOSO,	ADVS II (08/11)	73,067.52	60.89	94.0	0.00	57.24	0.00	57.24
12/31/2017	#1015044205 COLLINS,	ELITE ACCESS	21,505.95	17.92	94.0	0.00	16.84	0.00	16.84
12/31/2017	#1015975837 DELGADO, MIGUEL	ELITE ACCESS	7,034.27	5.86	94.0	0.00	5.51	0.00	5.51
12/31/2017	#1014723311 SCHWAMB, SHANNON	ELITE ACCESS	482,839.22	402.37	94.0	0.00	378.23	0.00	378.23
12/31/2017	#1015151290 SALMON	ELITE ACCESS L	116,633.70	97.19	94.0	0.00	91.36	0.00	91.36
12/31/2017	#1015154353 SALMON	ELITE ACCESS L	27,690.62	23.08	94.0	0.00	21.70	0.00	21.70
12/31/2017	#1016329351 SCHMIDT, LARRY	ELITE ACCESS L	68,046.08	56.71	94.0	0.00	53.31	0.00	53.31
12/31/2017	#1010520564 CONTRERAS,	PERSP L 08/11	17,606.20	14.67	94.0	0.00	13.79	0.00	13.79
12/31/2017	#1011931452 JASSO, EUSEBIO	PERSP L 08/11	124,342.15	103.62	94.0	0.00	97.40	0.00	97.40
12/31/2017	#1009421862 PETERSON, CARA	PERSPECTIVE II	168,652.42	35.14	94.0	0.00	33.03	0.00	33.03
12/31/2017	#1008962452 BROWN, SUSAN	PERSPECTIVE L	36,135.83	30.11	94.0	0.00	28.30	0.00	28.30
12/31/2017	#1008329393 DOUGLASS, JOHN	PERSPECTIVE L	20,101.06	16.75	94.0	0.00	15.75	0.00	15.75
01/31/2018	#1011649730 REYNOSO,	ADVS II (08/11)	73,674.63	61.40	94.0	0.00	57.72	0.00	57.72
01/31/2018	#1015044205 COLLINS,	ELITE ACCESS	21,984.60	18.32	94.0	0.00	17.22	0.00	17.22
01/31/2018	#1015975837 DELGADO, MIGUEL	ELITE ACCESS	7,202.31	6.00	94.0	0.00	5.64	0.00	5.64
01/31/2018	#1014723311 SCHWAMB, SHANNON	ELITE ACCESS	491,650.15	409.71	94.0	0.00	385.13	0.00	385.13
01/31/2018	#1015151290 SALMON	ELITE ACCESS L	114,252.61	95.21	94.0	0.00	89.50	0.00	89.50
01/31/2018	#1015154353 SALMON	ELITE ACCESS L	27,732.33	23.11	94.0	0.00	21.72	0.00	21.72
01/31/2018	#1016329351 SCHMIDT, LARRY	ELITE ACCESS L	68,404.33	57.00	94.0	0.00	53.58	0.00	53.58
01/31/2018	#1010520564 CONTRERAS,	PERSP L 08/11	17,617.89	14.68	94.0	0.00	13.80	0.00	13.80
01/31/2018	#1011931452 JASSO, EUSEBIO	PERSP L 08/11	125,426.83	104.52	94.0	0.00	98.25	0.00	98.25
01/31/2018	#1009421862 PETERSON, CARA	PERSPECTIVE II	172,394.88	35.92	94.0	0.00	33.76	0.00	33.76
01/31/2018	#1008962452 BROWN, SUSAN	PERSPECTIVE L	37,052.20	30.88	94.0	0.00	29.03	0.00	29.03
01/31/2018	#1008329393 DOUGLASS, JOHN	PERSPECTIVE L	20,284.63	16.90	94.0	0.00	15.89	0.00	15.89

BROKER COMMISSION TOTALS

Broker Transactions	\$6,485.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,986.94
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