



All Companies
COMMISSION STATEMENT
April 02, 2018

03/29/2018
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KEITH A. BARFIELD
 407 HIGHLAND WAY

BROKER #: 9639
 BRANCH: HOME OFFICE

Coppell, TX 75019-

COMMISSION STATEMENT for KEITH A. BARFIELD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/12/2018	00089560448	MENDOZA, GUADALUPE AMERICAN FUNDS BALANCED FD	B	2,000.00	100.00	0.00	100.00	80.0	80.00
03/19/2018	04000578443	RICHEY, ALICIA S. SHORT-TERM BOND FUND OF AMERICA - A B		12,700.00	254.00	0.00	254.00	80.0	203.20
* MUTUAL FUNDS SUBTOTAL *				<u>\$14,700.00</u>	<u>\$354.00</u>	<u>\$0.00</u>	<u>\$354.00</u>		<u>\$283.20</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$14,700.00</u>	<u>\$354.00</u>	<u>\$0.00</u>	<u>\$354.00</u>		<u>\$283.20</u>

SPLIT TRANSACTIONS

BROKER: WAGNER/BARFIELD #9036

01/12/2018	48948925	PANNECK, DEBRA G.	SIGNAL SECURITIES RIA	B	0.00	40.25	0.00	40.25	80.0	32.20
* WAGNER/BARFIELD SUBTOTAL *					<u>\$0.00</u>	<u>\$40.25</u>	<u>\$0.00</u>	<u>\$40.25</u>		<u>\$32.20</u>
*** SPLIT TRANSACTIONS TOTAL ***					<u>\$0.00</u>	<u>\$40.25</u>	<u>\$0.00</u>	<u>\$40.25</u>		<u>\$32.20</u>

ADJUSTMENTS

04/02/2018		CHECK RECEIVED: 3/14/18		0.00	0.00	0.00	0.00		146.97
04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$19.47</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$283.20
Split Transactions	\$32.20
Override Transactions	\$0.00
Adjustments	\$19.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$334.87
Year-to-date Earnings	\$3,604.01