



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2018

03/13/2018
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R145-RICHARD RODRIGUEZ, JR.
3854 E. County Road 466
Suite A
Oxford, FL 34484

BROKER #: R145
BRANCH: RICHARD RODRIGUEZ JR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
02/09/2018	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.66	0.00	21.66	90.0	19.49
02/09/2018	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.33	0.00	17.33	90.0	15.60
02/21/2018	OSWALD, DOREEN	CARTER VALIDUS MC REIT II CLASS T	22,000.00	660.00	0.00	660.00	90.0	594.00
03/02/2018	OSWALD, DOREEN	MOODY NATIONAL REIT II	42,000.00	2,520.00	0.00	2,520.00	90.0	2,268.00
* REIT SUBTOTAL *			\$64,000.00	\$3,218.99	\$0.00	\$3,218.99		\$2,897.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	HALL, MICHAEL	JNL PERSPECTIVE L	132,238.25	110.20	0.00	110.20	90.0	99.18
02/28/2018	HALL, MICHAEL	JNL PERSPECTIVE L	133,470.74	111.23	0.00	111.23	90.0	100.11
* VARIABLE ANNUITIES SUBTOTAL *			\$265,708.99	\$221.43	\$0.00	\$221.43		\$199.29
*** DIRECT TRANSACTIONS TOTAL ***			\$329,708.99	\$3,440.42	\$0.00	\$3,440.42		\$3,096.38
<u>SPLIT TRANSACTIONS</u>								
BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404								
02/23/2018	KOLARIK TRUST, CLAIRE	JNL PERSPECTIVE II 04/14	39,371.11	1,377.99	0.00	1,377.99	90.0	1,240.19
02/14/2018	EDWARD D JONES & CO CUSTO	PAC LIFE VA 694475211	0.00	9.53	0.00	9.53	90.0	8.58
* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *			\$39,371.11	\$1,387.52	\$0.00	\$1,387.52		\$1,248.77
*** SPLIT TRANSACTIONS TOTAL ***			\$39,371.11	\$1,387.52	\$0.00	\$1,387.52		\$1,248.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
03/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
03/15/2018		*DOCUSIGN: DOCUSIGN	0.00					-18.00
03/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
03/15/2018		*FINRA: ELEC FINGERPRIN - NEWCOMB	0.00					-15.00
03/15/2018		*FINRA: FBI FINGERPRIN - NEWCOMB	0.00					-10.00
03/15/2018		*FINRA: MD INDIVID REG. FEE	0.00					-50.00
03/15/2018		*LASER APP: LASER APP	0.00					-18.00
03/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
03/15/2018		*PERSHING: COST BASIS TRACKING 3 AC	0.00					-3.00
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-5.94
03/15/2018		*TECHNOLOGY: ADMIN - K NEWCOMB	0.00					-130.00
03/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
03/15/2018		*TECHNOLOGY: NEW WORKSTATION SETUP	0.00					-300.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,257.94

BROKER COMMISSION TOTALS

Broker Transactions	\$3,096.38
Split Transactions	\$1,248.77
Override Transactions	\$0.00
Adjustments	\$-1,257.94
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-169.26
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,917.95
Year-to-date Gross	\$25,686.28
Year-to-date Earnings	\$23,117.65

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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