

**COMMISSION STATEMENT****July 15, 2018**

DAN/JON P/JEFF FT-GOB NY RAUPP/CIRE  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G0B  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^07/03/2018	DOLCE, CHARLES	MAINSTAY CUSHING MLP PREMIER FUND C	-57,568.50	22.00	22.00	0.00	100.0	0.00
^07/03/2018	DOLCE, JENNIFER	MAINSTAY CUSHING MLP PREMIER FUND C	-38,600.00	22.00	22.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-96,168.50</b>	<b>\$44.00</b>	<b>\$44.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
^07/03/2018	DOLCE, CHARLES	FIRST TR EXCHANGE-TRADED FD II CLOU	57,092.13	570.92	23.59	547.33	100.0	0.00
^07/03/2018	DOLCE, JENNIFER	FIRST TR EXCHANGE-TRADED FD II CLOU	38,449.13	384.49	23.07	361.42	100.0	0.00
* STOCKS SUBTOTAL *			<b>\$95,541.26</b>	<b>\$955.41</b>	<b>\$46.66</b>	<b>\$908.75</b>		<b>\$0.00</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-627.24</b>	<b>\$999.41</b>	<b>\$90.66</b>	<b>\$908.75</b>		<b>\$0.00</b>

**DIRECT TRANSACTIONS**

<b><u>PRODUCT CATEGORY: REIT</u></b>								
^06/08/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	18.19	0.00	18.19	100.0	0.00
* REIT SUBTOTAL *			<b>\$0.00</b>	<b>\$18.19</b>	<b>\$0.00</b>	<b>\$18.19</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^06/18/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.02	0.00	2.02	100.0	0.00
^06/22/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.54	0.00	12.54	100.0	0.00
^06/22/2018	RYDER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.82	0.00	1.82	100.0	0.00
^06/22/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.15	0.00	5.15	100.0	0.00
^06/22/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.29	0.00	2.29	100.0	0.00
^06/22/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	18.52	0.00	18.52	100.0	0.00
^06/22/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.42	0.00	10.42	100.0	0.00
^06/22/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.53	0.00	4.53	100.0	0.00
^06/22/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.95	0.00	2.95	100.0	0.00
^06/22/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	16.29	0.00	16.29	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^06/22/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.66	0.00	4.66	100.0	0.00
^06/22/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.12	0.00	8.12	100.0	0.00
^06/22/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	10.02	0.00	10.02	100.0	0.00
^06/22/2018	STARK, LINDA	MAINSTAY MAP A	0.00	1.93	0.00	1.93	100.0	0.00
^06/18/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.41	0.00	10.41	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$111.67</b>	<b>\$0.00</b>	<b>\$111.67</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$129.86</b>	<b>\$0.00</b>	<b>\$129.86</b>		<b>\$0.00</b>

**ADJUSTMENTS**

07/15/2018	*PERSHING: PERSHING MARKUP	0.00						0.00
07/15/2018	*PERSHING: BOOKS & RECORDS	0.00						0.00
07/15/2018	*TECH: ADMIN FULMER GOB	0.00						0.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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