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R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT April 03, 2017

LOUIS CIAVARELLA

21 MILITIA HILL DRIVE

CHESTERBROOK, PA 19087

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS							
03/24/2017 75501737 HENDRIX, GREG	FIDELITY ADVISOR CHINA REGION FD IN B	2,000.00	0.00	0.00	0.00	50.0	0.00
03/24/2017 75501737 HENDRIX, GREG	FIDELITY ADVISOR EQUITY GROWTH FUND B	2,000.00	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK	EATON VANCE INCOME FD BOSTON INC IN S	4,226.98	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK	FIDELITY ADVISOR FINANCIAL SERVICES S	10,084.30	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK	FIDELITY ADVISOR INDUSTRIALS CL I S	2,578.95	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK	FIDELITY ADVISOR MATERIALS FUND CL S	2,584.26	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	EATON VANCE INCOME FD BOSTON INC IN S	4,226.98	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	FIDELITY ADV STOCK SELECTOR MID CAP S	4,041.88	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	FIDELITY ADVISOR INDUSTRIALS CL I S	1,988.96	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	FIDELITY ADVISOR MID CAP VALUE FD C S	2,084.16	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	FIDELITY ADVISOR STOCK SELECTOR SMAS	3,649.42	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	MFS SER TR IX MID CAP VALUE FD CL I S	2,197.22	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN	PACIFIC FDS FLTG RATE INCOME FD ADV S	3,978.03	0.00	0.00	0.00	50.0	0.00
03/08/2017 75502020 FEHER, FRANK	EATON VANCE PENNSYLVANIA MUNICIPAL S	5,250.67	0.00	0.00	0.00	50.0	0.00
03/08/2017 75502020 FEHER, FRANK	FIDELITY ADV STRATEGIC DIV & INCOME S	4,093.68	0.00	0.00	0.00	50.0	0.00
03/08/2017 75502020 FEHER, FRANK	MFS TOTAL RETURN FUND-C S	6,178.76	0.00	0.00	0.00	50.0	0.00
	* MUTUAL FUNDS SUBTOTAL *	\$61,164.25	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: STOCKS							
03/24/2017 75501700 DALPORTO, ANN	AMAZON.COM INC S	1,698.09	55.72	0.00	55.72	50.0	27.86
03/24/2017 75501700 DALPORTO, ANN	APPLE INC S	2,688.12	74.42	0.00	74.42	50.0	37.21
03/24/2017 75501700 DALPORTO, ANN	EATON VANCE TAX ADVANTAGED DIVIDEND S	3,067.69	107.93	0.00	107.93	50.0	53.97
03/24/2017 75501700 DALPORTO, ANN	KRAFT HEINZ COMPANY (THE) COMMON ST S	1,650.24	54.76	0.00	54.76	50.0	27.38
03/24/2017 75501700 DALPORTO, ANN	MONDELEZ INTERNATIONAL INC COM S	2,375.47	69.32	0.00	69.32	50.0	34.66
03/24/2017 75501700 DALPORTO, ANN	NETFLIX COM INC S	3,992.80	92.56	0.00	92.56	50.0	46.28
03/03/2017 75501737 HENDRIX, GREG	COTY INC COM CL A S	7,095.26	0.00	0.00	0.00	50.0	0.00
03/03/2017 75501740 GIOMMI MCDOWELL,	COTY INC COM CL A S	7,106.84	0.00	0.00	0.00	50.0	0.00
03/09/2017 75501742 CIAVARELLA, LOUIS	SILICON MOTION TECHNOLOGY CORPORATI B	2,210.75	0.00	0.00	0.00	50.0	0.00
03/15/2017 75501742 CIAVARELLA, LOUIS	NEW YORK REIT INC COM B	2,905.98	0.00	0.00	0.00	50.0	0.00
03/15/2017 75501742 CIAVARELLA, LOUIS	PIMCO GLOBAL STOCKPLUS & INCOME FUN S	3,016.98	0.00	0.00	0.00	50.0	0.00
03/03/2017 75501752 CIAVARELLA, JAMES	COTY INC COM CL A S	2,502.60	0.00	0.00	0.00	50.0	0.00

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0.722/2017 7550018 FIRELY, PRANK GENCEN SERVE THEOR THE HEADER'S 1,552.35 15.00 0.00 15.00 5.00	TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PRODUCT CATEGORY: STOCKS 9/22/2017 75501767 HALL, JAMES		BROKER TRANS	ACTIONS					
03/22/2017 75501767 HALL, JAMES SELECT SECTOR FEDER TRUST THE HEALTH S 11,537,77 210.00 0.00 150.00 50.0 103/22/2017 75501768 HALL, JAMES SELECT SECTOR FEDER TRUST THE HEALTH S 11,532,93 15.00 0.00 15.00 50.0 103/22/2017 75501768 HALL, JAMES JOHNSON & JOHNSON DE TORTON S 1,7612,20 15.00 0.00 10.00 50.0 103/20/2017 75502016 FEDER, FRANK ALLEAREDI CORV & INCORDE FI IC COM S 3,100.05 0.00 0.00 0.00 0.00 50.0 103/20/2017 75502016 FEDER, FRANK ALLEAREDI CORV & INCORDE FI IC COM S 3,100.05 0.00 0.00 0.00 0.00 50.0 103/20/2017 75502016 FEDER, FRANK GRANGER SEA	PRODUCT CATEGORY: STOCKS							
03/23/2017 75501368 FERER, FRANK ALLIANZEL CONV & INCOME FOR ITEMS THE HEALTH'S 11.552.33 15.00 0.00 15.00 50.0 03/06/2017 75502018 FERER, FRANK ALLIANZEL CONV & INCOME FO IL COM S 3,100.05 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK CANCO GLOBAL GOLD NATURAL RESOURCES S 2,181.81 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK CHARLE SEGRETAL EXCENTAGE S 2,1955.34 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK SIEWARES HUSSELD 2000 STF S 2,2955.34 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK SIEWARES HUSSELD 2000 STF S 2,2395.34 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK SIEWARES SAF DEAL CAP GOU ORDINETTS S 2,222.70 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK SIEWARES SAF DEAL CAP GOU ORDINETTS S 2,223.70 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERER, FRANK SIEWARES SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARES SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARES SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF DEAL CAP GOU ORDINETTS S 2,239.24 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF SIEWARE SAF SECONDAL FRANCES SUBMANTAGE S 2,00 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF SEES S B 0.00 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF SEES S B 0.00 0.00 0.00 0.00 0.00 03/06/2017 75502018 FERER, FRANK SIEWARE SAF SEES S S S S S S S S S S S S S S S S S	03/22/2017 75501767 HALL, JAMES	CITIGROUP INC COM B	11.379.77	210.00	0.00	210.00	50.0	105.00
03/26/2017 75502018 FRIER, FRANK GANCO GLORAL GOLD NATURAL RESOURCES S 2,98.14 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK GANCO GLORAL GOLD NATURAL RESOURCES S 2,98.14 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK GANCO GLORAL GOLD NATURAL RESOURCES S 2,98.14 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK GANCO GLORAL GOLD NATURAL RESOURCES S 2,98.14 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK LISHARS NUSSRIL SOOD REP S 2,299.34 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK LISHARS NUSSRIL SOOD REP S 2,293.24 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK LISHARS SUB-SMALL CAP GO VALUE ET S 2,239.24 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK GANCO GROWNH ETS S 2,239.24 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK GANCO GROWNH ETS S 2,239.24 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK SUB-SSRILES TRUST SUBBE BLOOMSHOW AS S 2,242.0 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK SUB-SSRILES TRUST SUBBE BLOOMSHOW AS S 2,242.0 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, FRANK SUB-SSRILES TRUST SUBBE BLOOMSHOW AS S 2,242.0 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, SUBAN GANCO GLORAL GOLD NATURAL RESOURCES S 1,551.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FRIER, SUBAN GANCO GLORAL GOLD NATURAL RESOURCES S 1,551.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FRIER, SUBAN GANCO GLORAL GOLD NATURAL RESOURCES S 1,551.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FRIER, SUBAN GANCO GLORAL GOLD NATURAL RESOURCES S 1,509.61 0.00 0.00 0.00 0.00 0.00 0.00 03/06/2017 75502019 FRIER, SUBAN GANCO GLORAL GOLD NATURAL RESOURCES S 1,509.61 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0								0.00
03/06/2017 75502018 FEHER, FARIK CRINERAL CHICKTIC CO S 2,118.81 0.00								0.00
03/06/2017 75502018 FEHER, FRANK SIMBARS RUSSRIL 2010 RTF S 2,995.34 0.00 0.00 0.00 50.0	03/06/2017 75502018 FEHER, FRANK	ALLIANZGI CONV & INCOME FD II COM S	3,100.05	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK	03/06/2017 75502018 FEHER, FRANK	GAMCO GLOBAL GOLD NATURAL RESOURCES S	2,118.81	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FENER, FRANK	03/06/2017 75502018 FEHER, FRANK	GENERAL ELECTRIC CO S	2,995.34	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FHERE, FRANK ISHARES SEP SHALL CAF 600 GNOWTH ET S 2,282.70 0.00 0.00 0.00 50.0 03/06/2017 75502018 FHERE, FRANK ISHARES SEP SHALL CAF 400 VALUE ETF S 2,239.24 0.00 0.00 0.00 50.0 50.0 03/06/2017 75502018 FERRE, FRANK OPKO HEALTH INC S 2,249.02 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERRE, FRANK SPORS SERIES TRUST SPOR BLOOMERG BA S 502.73 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERRE, FRANK SINDARDA POORS MIDCAP 400 SPDR SK S 2,821.70 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERRE, FRANK SINDARDA POORS MIDCAP 400 SPDR SK S 2,821.70 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FERRE, SUSAN ALET INC S 4,180.01 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FERRER, SUSAN GMCCGLOBAL GOLD NATURAL RESOURCES S 1.651.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FERRER, SUSAN GMCCGLOBAL GOLD NATURAL RESOURCES S 1.651.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FERRER, SUSAN FAS FEES B 0.00 1.00 0.00 0.00 50.0 03/03/23/2017 75502019 FEHRER, SUSAN FAS FEES B 0.00 1.00 0.00 0.00 0.00 50.0 03/03/23/2017 75502019 FEHRER, SUSAN FAS FEES B 0.00 0.00 0.00 0.00 0.00 50.0 03/03/23/2017 75502019 FEHRER, SUSAN UIT FIRST TRUST ELECTION FORT SER 2 S 1.509.81 0.00 0.00 0.00 0.00 50.0 03/08/2017 75502019 FEHRER, SUSAN UIT FIRST TRUST ELECTION FORT SER 2 S 1.509.81 0.00 0.00 0.00 0.00 50.0 03/08/2017 75502019 FEHRER, SUSAN UIT FIRST TRUST ELECTION FORT SER 2 S 1.509.81 0.00 0.00 0.00 0.00 50.0 03/08/2017 75502019 FEHER, SUSAN UIT FIRST TRUST ELECTION FORT SER 2 S 1.509.81 0.00 0.00 0.00 0.00 50.0 03/08/2017 75502019 FEHER, SUSAN UIT FIRST TRUST SUBTOTAL * \$3.019.62 \$0.00	03/06/2017 75502018 FEHER, FRANK	ISHARES RUSSELL 2000 ETF S	2,193.80	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK OFK HEALTH INC S 2,242.02 0.00 0.00 0.00 50.0 50.0 03/06/2017 75502018 FEHER, FRANK OFK HEALTH INC S 2,242.02 0.00 0.00 0.00 50.0 50.0 03/06/2017 75502018 FEHER, FRANK SPOR SERIES TRUST SPOR BLOOMERG BAS 502.73 0.00 0.00 0.00 50.0 03/06/2017 75502018 FEHER, FRANK SINDARD & POORS MIDCAP 400 SPOR SK S 2,821.70 0.00 0.00 0.00 50.0 03/06/2017 75502018 FEHER, SUSAN AITT INC S 4,160.11 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GAMCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GAMCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 0.00 0.00 50.0 0.00 03/06/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 0.00 0.00 50.0 0.00 03/03/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 0.00 0.00 0.00 0.00 0.00 03/03/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 0.00 0.00 0.00 0.00 0.00 03/03/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/06/2017 75502018 FEHER, FRANK	ISHARES S&P SMALL CAP 600 VALUE ETF S	2,221.92	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK OPKO HEALTH INC S 2,242.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FEHER, FRANK STANDARD & FORS MIDCAR 400 SPUR SS S 2,821.70 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502018 FEHER, SUSAN ATT INC S 4.180.01 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ATT INC S 4.180.01 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GANCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ISHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 50.0 50.0 03/06/2017 75502019 FEHER, SUSAN ISHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 50.0 50.0 03/06/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 -13.51 0.00 -13.51 50.0 03/23/2017 75502018 FEHER, FRANK FAS FEES B 0.00 -9.36 0.00 -9.36 50.0 -9.36 50.0 03/23/2017 75502018 FEHER, SUSAN FAS FEES B 0.00 -9.36 0.00 -9.36 50.0 -9.36 50.0 03/23/2017 75502018 FEHER, SUSAN INTERST TRUST ELECTION FORT SER 2 S 1,509.81 0.00 0.00 0.00 50.0 50.0 50.0 50.0 50.	03/06/2017 75502018 FEHER, FRANK	ISHARES S&P SMALL CAP 600 GROWTH ET S	2,282.70	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK STANDARD & POORS MIDCAP 400 SPDR SS S 2,821.70 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN AT&T INC S 4,180.01 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GAMCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GAMCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ISHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ISHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 -13.51 0.00 -13.51 50.0 03/23/2017 75502018 FEHER, FRANK FAS FEES B 0.00 -13.51 0.00 -9.36 50.0 03/23/2017 75502018 FEHER, SUSAN FAS FEES B 0.00 -9.36 0.00 9.22.87 \$0.00 9.	03/06/2017 75502018 FEHER, FRANK	ISHARES S&P MID CAP 400 VALUE ETF S	2,239.24	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502018 FEHER, FRANK STANDARD & FOORS MIDCAP 400 SPDR S& S 2,821.70 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ATET INC S 4,180.01 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GAMCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ISHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN ISHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 5694.71 \$3.00 \$694.71 \$3.00	03/06/2017 75502018 FEHER, FRANK	OPKO HEALTH INC S	2,242.02	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN GARCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GARCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN GARCO GLOBAL GOLD NATURAL RESOURCES S 1,651.02 0.00 0.00 0.00 0.00 50.0 03/06/2017 75502019 FEHER, SUSAN SHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 0.00 5694.71 \$333 \$330 \$400.00 \$4694.71 \$333 \$30.00 \$400.00 \$4694.71 \$333 \$330 \$400.00 \$	03/06/2017 75502018 FEHER, FRANK	SPDR SERIES TRUST SPDR BLOOMBERG BAS	502.73	0.00	0.00	0.00	50.0	0.00
03/06/2017 75502019 FEHER, SUSAN 1SHARES RUSSELL 2000 ETF S 3,016.92 0.00 0.00 0.00 0.00 50.0 23.0 23.0 25.0 25.0 25.0 25.0 25.0 25.0 25.0 25	03/06/2017 75502018 FEHER, FRANK	STANDARD & POORS MIDCAP 400 SPDR S& S	2,821.70	0.00	0.00	0.00	50.0	0.00
STATE STAT	03/06/2017 75502019 FEHER, SUSAN	AT&T INC S	4,180.01	0.00	0.00	0.00	50.0	0.00
**STOCKS SUBTOTAL * \$102,424.98 \$694.71 \$0.00 \$694.71 \$0.00 \$694.71 \$0.00 \$694.71 \$0.00 \$7.00 \$1	03/06/2017 75502019 FEHER, SUSAN	GAMCO GLOBAL GOLD NATURAL RESOURCES S	1,651.02	0.00	0.00	0.00	50.0	0.00
PRODUCT CATEGORY: RIA 03/23/2017 75502018 FEHER, FRANK FAS FEES B 0.00 -3.51 0.00 -9.36 50.0 03/23/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 -9.36 0.00 -9.36 50.0 * RIA SUBTOTAL * \$0.00 \$-2.287 \$0.00 \$0	03/06/2017 75502019 FEHER, SUSAN	ISHARES RUSSELL 2000 ETF S	3,016.92	0.00	0.00	0.00	50.0	0.00
03/23/2017 75502018 FEHER, FRANK FAS FEES B 0.00 -13.51 0.00 -13.51 50.0 03/23/2017 75502019 FEHER, SUSAN FAS FEES B 0.00 -9.36 0.00 -9.36 50.0 * RIA SUBTOTAL * \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00 \$-22.87 \$0.00		* STOCKS SUBTOTAL *	\$102,424.98	\$694.71	\$0.00	\$694.71		\$332.36
B 0.00 -9.36 0.00 -9.36 50.0	PRODUCT CATEGORY: RIA							
*RIA SUBTOTAL * \$0.00 \$-22.87 \$0.00 \$0	03/23/2017 75502018 FEHER, FRANK	FAS FEES B	0.00	-13.51	0.00	-13.51	50.0	0.00
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS 03/08/2017 75502018 FEHER, FRANK UIT FIRST TRUST ELECTION PORT SER 2 S 1,509.81 0.00 0.00 0.00 50.0 0.00 50.0 0.00 0.0	03/23/2017 75502019 FEHER, SUSAN	FAS FEES B	0.00	-9.36	0.00	-9.36	50.0	0.00
03/08/2017 75502018 FEHER, FRANK UIT FIRST TRUST ELECTION PORT SER 2 S 1,509.81 0.00 0.00 0.00 50.0 03/08/2017 75502019 FEHER, SUSAN UIT FIRST TRUST ELECTION PORT SER 2 S 1,509.81 0.00 0.00 50.0 \$0.00 \$0.		* RIA SUBTOTAL *	\$0.00	\$-22.87	\$0.00	\$-22.87		\$0.00
### UNIT INVESTMENT TRUSTS SUBTOTAL * \$3,019.62 \$0.00	PRODUCT CATEGORY: UNIT INVESTMENT	TRUSTS						
* UNIT INVESTMENT TRUSTS SUBTOTAL * \$3,019.62 \$0.00 \$0	03/08/2017 75502018 FEHER, FRANK	UIT FIRST TRUST ELECTION PORT SER 2 S	1,509.81	0.00	0.00	0.00	50.0	0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 03/10/2017 75501549 ALEXANDER, RUTH **VIRTUS REAL ESTATE SEC FDS B 0.00 1.23 0.00 1.23 50.0 03/10/2017 75501549 ALEXANDER, RUTH **VIRTUS STRATEGIC GROWTH FUND B 0.00 1.28 0.00 1.28 50.0 03/10/2017 75501549 ALEXANDER, RUTH OPPENHEIMER ROCHEST PENNSYLVANIA MU B 0.00 1.63 0.00 1.63 50.0 03/10/2017 75501549 ALEXANDER, RUTH ROCHESTER NATIONAL MUNICIPALS A B 0.00 2.65 0.00 2.65 50.0 03/10/2017 75501665 PILKO, THOMAS FEDERATED EQUITY FDS PRUDENT BEAR F B 0.00 8.30 0.00 8.30 50.0 03/10/2017 75501665 PILKO, THOMAS SENTINEL GROUP FDS INC SHORT DURATI B 0.00 13.66 0.00 13.66 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0	03/08/2017 75502019 FEHER, SUSAN	UIT FIRST TRUST ELECTION PORT SER 2 S	1,509.81	0.00	0.00	0.00	50.0	0.00
03/10/2017 75501549 ALEXANDER, RUTH **VIRTUS REAL ESTATE SEC FDS		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$3,019.62	\$0.00	\$0.00	\$0.00		\$0.00
03/10/2017 75501549 ALEXANDER, RUTH **VIRTUS STRATEGIC GROWTH FUND B 0.00 1.28 0.00 1.28 50.0 03/10/2017 75501549 ALEXANDER, RUTH OPPENHEIMER ROCHEST PENNSYLVANIA MU B 0.00 1.63 0.00 1.63 50.0 03/10/2017 75501549 ALEXANDER, RUTH ROCHESTER NATIONAL MUNICIPALS A B 0.00 2.65 0.00 2.65 50.0 03/10/2017 75501665 PILKO, THOMAS FEDERATED EQUITY FDS PRUDENT BEAR F B 0.00 8.30 0.00 8.30 50.0 03/10/2017 75501665 PILKO, THOMAS SENTINEL GROUP FDS INC SHORT DURATI B 0.00 13.66 0.00 13.66 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	PRODUCT CATEGORY: MUTUAL FUND 128	1 TRAILS						
03/10/2017 75501549 ALEXANDER, RUTH OPPENHEIMER ROCHEST PENNSYLVANIA MU B 0.00 1.63 0.00 1.63 50.0 03/10/2017 75501549 ALEXANDER, RUTH ROCHESTER NATIONAL MUNICIPALS A B 0.00 2.65 0.00 2.65 50.0 03/10/2017 75501665 PILKO, THOMAS FEDERATED EQUITY FDS PRUDENT BEAR F B 0.00 8.30 0.00 8.30 50.0 03/10/2017 75501665 PILKO, THOMAS SENTINEL GROUP FDS INC SHORT DURATI B 0.00 13.66 0.00 13.66 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501549 ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS B	0.00	1.23	0.00	1.23	50.0	0.62
03/10/2017 75501549 ALEXANDER, RUTH ROCHESTER NATIONAL MUNICIPALS A B 0.00 2.65 0.00 2.65 50.0 03/10/2017 75501665 PILKO, THOMAS FEDERATED EQUITY FDS PRUDENT BEAR F B 0.00 8.30 0.00 8.30 50.0 03/10/2017 75501665 PILKO, THOMAS SENTINEL GROUP FDS INC SHORT DURATI B 0.00 13.66 0.00 13.66 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501549 ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND B	0.00	1.28	0.00	1.28	50.0	0.64
03/10/2017 75501665 PILKO, THOMAS FEDERATED EQUITY FDS PRUDENT BEAR F B 0.00 8.30 0.00 8.30 50.0 03/10/2017 75501665 PILKO, THOMAS SENTINEL GROUP FDS INC SHORT DURATI B 0.00 13.66 0.00 13.66 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501549 ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B	0.00	1.63	0.00	1.63	50.0	0.82
03/10/2017 75501665 PILKO, THOMAS SENTINEL GROUP FDS INC SHORT DURATI B 0.00 13.66 0.00 13.66 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501549 ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A B	0.00	2.65	0.00	2.65	50.0	1.33
03/10/2017 75501666 CARR, CHARLES FIDELITY ADVISOR MID CAP II FUND CL B 0.00 0.51 0.00 0.51 50.0 03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501665 PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B	0.00	8.30	0.00	8.30	50.0	4.15
03/10/2017 75501666 CARR, CHARLES FIDELITY INVT TR ADVISOR LATIN AMER B 0.00 0.64 0.00 0.64 50.0 03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME FB 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501665 PILKO, THOMAS	SENTINEL GROUP FDS INC SHORT DURATI B	0.00	13.66	0.00	13.66	50.0	6.83
03/10/2017 75501670 CARR, MARGARET MFS PENNSYLVANIA MUNICIPAL BOND FUN B 0.00 0.71 0.00 0.71 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501666 CARR, CHARLES	FIDELITY ADVISOR MID CAP II FUND CL B	0.00	0.51	0.00	0.51	50.0	0.00
03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR MUNICIPAL INCOME F B 0.00 1.18 0.00 1.18 50.0 03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501666 CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B	0.00	0.64	0.00	0.64	50.0	0.00
03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR ENERGY CL A B 0.00 2.17 0.00 2.17 50.0	03/10/2017 75501670 CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B	0.00	0.71	0.00	0.71	50.0	0.00
	03/10/2017 75501671 CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	1.18	0.00	1.18	50.0	0.59
03/10/2017 75501671 CARR, CHARLES FIDELITY ADVISOR SMALL CAP GROWTH F B 0.00 2.97 0.00 2.97 50.0	03/10/2017 75501671 CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A B	0.00	2.17	0.00	2.17	50.0	1.09
	03/10/2017 75501671 CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	2.97	0.00	2.97	50.0	1.49

INVESTMENT

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CLEARING

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BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BPOK	ER TRANS	ACTTONS					
		<u> BROP</u>	EK IKANDI	ACTIOND					
PRODUCT CAT	regory: MUTUAL FUND 1	2B1 TRAILS							
03/10/2017 75	5501671 CARR, CHARLES	FIDELITY FINL TR ADVISOR CO	NV SECS B	0.00	1.88	0.00	1.88	50.0	0.94
03/10/2017 75	5501671 CARR, CHARLES	FIDELITY SECS FD REAL ESTAT	E INCOME B	0.00	1.12	0.00	1.12	50.0	0.56
03/10/2017 75	5501673 CARR, MARGARET	FIDELITY ADVISOR LARGE CAP	STOCK CL B	0.00	0.65	0.00	0.65	50.0	0.00
03/10/2017 75	5501673 CARR, MARGARET	FIDELITY ADVISOR SMALL CAP	GROWTH F B	0.00	0.36	0.00	0.36	50.0	0.00
03/10/2017 75	5501673 CARR, MARGARET	FIDELITY ADVISOR GOLD FUND	CL A B	0.00	0.45	0.00	0.45	50.0	0.00
03/10/2017 75	5501674 CARR, MARGARET	**FIDELITYADVISOR SER VII	В	0.00	2.93	0.00	2.93	50.0	1.47
03/10/2017 75	5501674 CARR, MARGARET	BLACKROCK BALANCED CAP FD C	L A B	0.00	1.21	0.00	1.21	50.0	0.61
03/10/2017 75	5501674 CARR, MARGARET	EATON VANCE INCOME FUND OF	BOSTON C B	0.00	5.78	0.00	5.78	50.0	2.89
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY ADVISOR LARGE CAP	FUND-CL B	0.00	4.67	0.00	4.67	50.0	2.34
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY ADV MID CAP II - C	LT B	0.00	4.38	0.00	4.38	50.0	2.19
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY ADVISOR SER VII FA	SEMICON B	0.00	1.62	0.00	1.62	50.0	0.81
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY ADVISOR UTILITIES	CL T B	0.00	0.62	0.00	0.62	50.0	0.00
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL	Г В	0.00	3.68	0.00	3.68	50.0	1.84
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY ADVISOR INDUSTRIAL	S CL T B	0.00	1.00	0.00	1.00	50.0	0.50
03/10/2017 75	5501674 CARR, MARGARET	FIDELITY FINL TR STRATEGIC	DIVID & B	0.00	12.13	0.00	12.13	50.0	6.07
03/10/2017 75	5501675 BONNES, CHELSEA	**VIRTUS EQUITY TREND FUND	В	0.00	0.38	0.00	0.38	50.0	0.00
03/10/2017 75	5501675 BONNES, CHELSEA	BLACKROCK MULTI ASSET INCOM	E PORTFO B	0.00	1.49	0.00	1.49	50.0	0.75
03/10/2017 75	5501675 BONNES, CHELSEA	FIDELITY ADVISOR GROWTH & I	NCOME FU B	0.00	1.99	0.00	1.99	50.0	1.00
03/10/2017 75	5501675 BONNES, CHELSEA	FIDELITY ADVISOR FUNDS 3160	71505 В	0.00	2.40	0.00	2.40	50.0	1.20
03/10/2017 75	5501675 BONNES, CHELSEA	FIDELITY ADV STRATEGIC DIV	& INCOME B	0.00	0.57	0.00	0.57	50.0	0.00
03/10/2017 75	5501675 BONNES, CHELSEA	FRANKLIN INCOME FUND CLASS	С В	0.00	0.66	0.00	0.66	50.0	0.00
03/10/2017 75	5501676 REBER, WINCHELL	FIDELITY ADV STRATEGIC INCO	ME CL C B	0.00	1.00	0.00	1.00	50.0	0.50
03/10/2017 75	5501676 REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUND	S HEALTH B	0.00	0.88	0.00	0.88	50.0	0.00
03/10/2017 75	5501676 REBER, WINCHELL	FIDELITY ADVISOR CONSUMER S	TAPLES F B	0.00	0.80	0.00	0.80	50.0	0.00
03/10/2017 75	5501676 REBER, WINCHELL	FIDELITY SECS FD REAL ESTAT	E INCOME B	0.00	0.96	0.00	0.96	50.0	0.00
03/10/2017 75	5501676 REBER, WINCHELL	FRANKLIN INCOME FUND CLASS	С В	0.00	1.23	0.00	1.23	50.0	0.62
03/10/2017 75	5501676 REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND	CL C B	0.00	0.84	0.00	0.84	50.0	0.00
03/10/2017 75	5501677 BONNES, MATTHEW	FIDELITY ADVISOR FUNDS 3159	18367 В	0.00	1.15	0.00	1.15	50.0	0.58
03/10/2017 75	5501677 BONNES, MATTHEW	FIDELITY ADVISOR FUNDS 3160	71505 В	0.00	4.21	0.00	4.21	50.0	2.11
03/10/2017 75	5501677 BONNES, MATTHEW	FIDELITY ADV STRATEGIC DIV	& INCOME B	0.00	2.13	0.00	2.13	50.0	1.07
03/10/2017 75	5501679 SOIGNOLI, ANTHON	Y DELAWARE GROUP TAX FREE USA	FUND-CL B	0.00	11.88	0.00	11.88	50.0	5.94
03/10/2017 75	5501679 SOIGNOLI, ANTHON	Y FEDERATED STRATEGIC INCOME	FUND B	0.00	0.83	0.00	0.83	50.0	0.00
03/10/2017 75	5501679 SOIGNOLI, ANTHON	Y FIDELITY ADVISOR MUNICIPAL	INCOME F B	0.00	5.68	0.00	5.68	50.0	2.84
03/10/2017 75	5501679 SOIGNOLI, ANTHON	YY FIDELITY ADV STRATEGIC DIV	& INCOME B	0.00	7.06	0.00	7.06	50.0	3.53
03/10/2017 75	5501679 SOIGNOLI, ANTHON	Y FRANKLIN INCOME FUND CLASS	С В	0.00	1.86	0.00	1.86	50.0	0.93
03/10/2017 75	5501679 SOIGNOLI, ANTHON	Y WESTERN ASSET SHORT DURATIO	N MUNICI B	0.00	1.19	0.00	1.19	50.0	0.60
03/10/2017 75	5501680 REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV	& INCOME B	0.00	1.10	0.00	1.10	50.0	0.55
03/10/2017 75	5501680 REBER, WINCHELL	FRANKLIN INCOME FUND CLASS	С В	0.00	0.74	0.00	0.74	50.0	0.00
03/10/2017 75	5501680 REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND	CL C B	0.00	0.29	0.00	0.29	50.0	0.00
03/10/2017 75	5501681 SOIGNOLI, ANTHON	YY CAPITAL WORLD GROWTH & INCO	ME FUND B	0.00	1.20	0.00	1.20	50.0	0.60

INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for LOUIS CIAVARELLA

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INVESTMENT

AMOUNT

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BROKER

COMMISSION

RATE

TRADE DATE CETENT	INVESTMENT	MOONI	3011112221311			II COMMIDDION
	BROKER TRANSACT	IONS				
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS					
03/10/2017 75501681 SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.42	0.00	1.42 5	0.0 0.7
03/10/2017 75501681 SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND B	0.00	3.32	0.00	3.32 5	0.0 1.6
03/10/2017 75501681 SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	12.27	0.00	12.27 5	0.0 6.1
03/10/2017 75501681 SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	4.14	0.00	4.14 5	0.0 2.0
03/10/2017 75501681 SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C B	0.00	3.09	0.00	3.09 5	0.0 1.5
03/10/2017 75501681 SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.21	0.00	3.21 5	0.0 1.6
03/10/2017 75501681 SOIGNOLI, ANTHONY	SENTINEL GROUP FDS INC SHORT DURATI B	0.00	6.81	0.00	6.81 5	0.0 3.4
03/10/2017 75501682 REBER, WINCHELL	**CLEARBRIDGE APPRECIATION B	0.00	4.46	0.00	4.46 5	0.0 2.2
03/10/2017 75501682 REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH B	0.00	3.96	0.00	3.96 5	0.0 1.9
03/10/2017 75501682 REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B	0.00	6.38	0.00	6.38 5	0.0 3.1
03/10/2017 75501682 REBER, WINCHELL	PUTNAM 746792100 B	0.00	0.67	0.00	0.67 5	0.0
03/10/2017 75501682 REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.23	0.00	4.23 5	0.0 2.1
03/10/2017 75501683 GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A B	0.00	1.42	0.00	1.42 5	0.0 0.7
03/10/2017 75501684 SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	2.38	0.00	2.38 5	0.0 1.1
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.14	0.00	1.14 5	0.0 0.5
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND CB	0.00	2.14	0.00	2.14 5	0.0 1.0
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A B	0.00	2.07	0.00	2.07 5	0.0 1.0
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	0.97	0.00	0.97 5	0.0
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A B	0.00	0.80	0.00	0.80 5	0.0
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.81	0.00	1.81 5	0.0 0.9
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	0.96	0.00	0.96 5	0.0
03/10/2017 75501684 SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	2.21	0.00	2.21 5	0.0 1.1
03/10/2017 75501685 REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C B	0.00	0.83	0.00	0.83 5	0.0
03/10/2017 75501685 REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.88	0.00	0.88 5	0.0
03/10/2017 75501685 REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	0.84	0.00	0.84 5	0.0
03/10/2017 75501685 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.07	0.00	1.07 5	0.0 0.5
03/10/2017 75501685 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B	0.00	1.23	0.00	1.23 5	0.0 0.6
03/10/2017 75501685 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.53	0.00	0.53 5	0.0
03/10/2017 75501686 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.50	0.00	1.50 5	0.0 0.7
03/10/2017 75501686 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C B	0.00	0.86	0.00	0.86 5	0.0
03/10/2017 75501686 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.39	0.00	0.39 5	0.0
03/10/2017 75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367 B	0.00	0.81	0.00	0.81 5	0.0
03/10/2017 75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474 B	0.00	0.51	0.00	0.51 5	0.0
03/10/2017 75501687 DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	0.60	0.00	0.60 5	0.0
03/10/2017 75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505 B	0.00	0.05	0.00	0.05 5	0.0
03/10/2017 75501687 DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C B	0.00	0.64	0.00	0.64 5	0.0
03/10/2017 75501688 BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B	0.00	0.86	0.00	0.86 5	0.0
03/10/2017 75501689 BERRY, THOMAS	**GAMCO GROWTH FD INC B	0.00	1.50	0.00	1.50 5	0.0 0.7
03/10/2017 75501689 BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A B	0.00	0.92	0.00	0.92 5	0.0
03/10/2017 75501690 EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B	0.00	0.68	0.00	0.68 5	0.0

INVESTMENT

TRADE DATE

CLIENT

	DD045D #D3443 65	ITONG					
	BROKER TRANSACT	CIONS					
RODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS						
3/10/2017 75501691 BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND B	0.00	2.37	0.00	2.37	50.0	1.19
3/10/2017 75501691 BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR B	0.00	2.45	0.00	2.45	50.0	1.2
3/10/2017 75501691 BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	8.46	0.00	8.46	50.0	4.2
3/10/2017 75501691 BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC B	0.00	2.46	0.00	2.46	50.0	1.23
3/10/2017 75501691 BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B	0.00	3.85	0.00	3.85	50.0	1.9
3/10/2017 75501691 BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C B	0.00	2.24	0.00	2.24	50.0	1.1
3/10/2017 75501691 BARGER, WILLIAM	THE NEW ECONOMY FUND-C B	0.00	7.48	0.00	7.48	50.0	3.7
3/10/2017 75501691 BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B	0.00	1.52	0.00	1.52	50.0	0.7
3/10/2017 75501692 WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLAB	0.00	1.83	0.00	1.83	50.0	0.9
3/10/2017 75501693 KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH B	0.00	0.02	0.00	0.02	50.0	0.0
3/10/2017 75501693 KRAUS, MICHELLE	**GABELLI UTILITIES FUND B	0.00	0.35	0.00	0.35	50.0	0.0
3/10/2017 75501693 KRAUS, MICHELLE	GABELLI VALUE 25 FD INC B	0.00	0.02	0.00	0.02	50.0	0.0
3/10/2017 75501693 KRAUS, MICHELLE	GAMCO GOLD FD B	0.00	0.01	0.00	0.01	50.0	0.0
3/10/2017 75501694 WARD, MARY	TAX-FREE BOND A B	0.00	11.43	0.00	11.43	50.0	5.7
3/20/2017 75501695 WARD, EDMOND	TOCQUEVILLE GOLD FUND B	0.00	1.23	0.00	1.23	50.0	0.6
3/10/2017 75501697 WARD, EDMOND	AMERICAN MUTUAL FUND-A B	0.00	20.34	0.00	20.34	50.0	10.1
3/10/2017 75501698 GROSSO, BETH ANN	EATON VANCE INCOME FUND OF BOSTON CB	0.00	0.28	0.00	0.28	50.0	0.0
3/10/2017 75501698 GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	0.32	0.00	0.32	50.0	0.0
3/10/2017 75501698 GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A B	0.00	0.97	0.00	0.97	50.0	0.0
3/10/2017 75501698 GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A B	0.00	1.04	0.00	1.04	50.0	0.5
3/10/2017 75501698 GROSSO, BETH ANN	THE NEW ECONOMY FUND-A B	0.00	1.09	0.00	1.09	50.0	0.5
3/10/2017 75501699 WARD, EDMOND	AMERICAN MUTUAL FUND-C B	0.00	11.00	0.00	11.00	50.0	5.5
3/10/2017 75501699 WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL B	0.00	45.13	0.00	45.13	50.0	22.5
3/10/2017 75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850 B	0.00	1.10	0.00	1.10	50.0	0.5
3/10/2017 75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408 B	0.00	1.49	0.00	1.49	50.0	0.7
3/10/2017 75501701 RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC B	0.00	1.83	0.00	1.83	50.0	0.9
3/10/2017 75501701 RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T B	0.00	2.06	0.00	2.06	50.0	1.0
3/10/2017 75501701 RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T B	0.00	0.13	0.00	0.13	50.0	0.0
3/10/2017 75501701 RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T B	0.00	2.38	0.00	2.38	50.0	1.1
3/10/2017 75501701 RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD B	0.00	1.51	0.00	1.51	50.0	0.7
3/10/2017 75501738 MCDOWELL, CARL	**GABELLI UTILITIES FUND B	0.00	0.83	0.00	0.83	50.0	0.0
3/10/2017 75501738 MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	0.00	0.42	0.00	0.42	50.0	0.0
3/10/2017 75501741 GIOMMI MCDOWELL,	NEW PERSPECTIVE FUND INC CL F1 B	0.00	0.72	0.00	0.72	50.0	0.0
3/10/2017 75501743 CIAVARELLA, LOUIS	FIDELITY ADVISOR FOCUS FUNDS FINANC B	0.00	0.09	0.00	0.09		0.0
3/10/2017 75501743 CIAVARELLA, LOUIS	FIDELITY CAP TR ADV STOCK SELECTOR B	0.00	0.12	0.00	0.12		0.0
3/10/2017 75501745 CIAVARELLA, LOUIS	FIDELITY ADV LEVERAGED CO STOCK CL B	0.00	0.16	0.00	0.12		0.0
3/10/2017 75501745 CIAVARELLA, LOUIS	FIDELITY ADVISOR MATERIALS FUND CL B	0.00	0.16	0.00	0.16		0.0
3/10/2017 75501745 CIAVARELLA, LOUIS	FIDELITY ADVISOR GOLD FUND CL A B	0.00	0.10	0.00	0.10		0.0
3/10/2017 75501745 CIAVARELLA, LOUIS	FRANKLIN DYNATECH FUND CLASS A B	0.00	0.10	0.00	0.10	50.0	0.00
							0.0
3/10/2017 75501746 CIAVARELLA, LOUIS	**VIRTUS ENHANCED CORE EQUITY B	0.00	0.20	0.00	0.20	50.0	(

INVESTMENT

AMOUNT

B/S

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Page No.

RATE

BROKER

COMMISSION

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TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: MUTUAL FUND 12B1	-	2110110112					
		0.00	0 01	0.00	0.01	E0 0	0 00
03/10/2017 75501746 CIAVARELLA, LOUIS	**VIRTUS OPPORTUNITIES TR B **VIRTUS STRATEGIC GROWTH FUND B	0.00	0.01	0.00	0.01		0.00
03/10/2017 75501746 CIAVARELLA, LOUIS 03/10/2017 75501746 CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND B BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	0.01 4.84	0.00	0.01 4.84	50.0 50.0	2.42
03/10/2017 75501746 CIAVARELLA, LOUIS	COLUMBIA CONTRARIAN CORE FD CL A B	0.00	3.74	0.00	3.74		1.87
03/10/2017 75501746 CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	0.00	1.90	0.00	1.90	50.0	0.95
03/10/2017 75501746 CIAVARELLA, LOUIS	EATON VANCE LARGE CAP VALUE FUND CL B	0.00	1.83	0.00	1.83	50.0	0.93
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR LARGE CAP STOCK CL B	0.00	1.98	0.00	1.98	50.0	0.92
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	0.00	2.01	0.00	2.01	50.0	1.01
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834 B	0.00	0.63	0.00	0.63	50.0	0.00
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR REAL ESTATE FUND C B	0.00	0.01	0.00	0.03	50.0	0.00
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A B	0.00	0.50	0.00	0.50	50.0	0.00
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR FOCUS FUNDS FINANC B	0.00	1.97	0.00	1.97		0.00
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.97	0.00	1.97		0.99
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP VALUE FD B	0.00	1.92	0.00	1.92	50.0	0.96
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY CAP TR ADV STOCK SELECTOR B	0.00	1.95	0.00	1.95	50.0	0.98
03/10/2017 75501746 CIAVARELLA, LOUIS	FIDELITY DEVONSHIRE TR ADVISOR MID B	0.00	1.97	0.00	1.97		0.99
03/10/2017 75501746 CIAVARELLA, LOUIS	FRK SM CAP GRWTH FND II - CL A B	0.00	0.75	0.00	0.75	50.0	0.00
03/10/2017 75501746 CIAVARELLA, LOUIS	JANUS INVT FD TRITON FD CL A B	0.00	0.20	0.00	0.20	50.0	0.00
03/10/2017 75501746 CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B	0.00	1.89	0.00	1.89	50.0	0.95
03/10/2017 75501746 CIAVARELLA, LOUIS	VIRTUS QUALITY SMALL CAP B	0.00	0.21	0.00	0.21	50.0	0.00
03/10/2017 75501756 MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE B	0.00	0.52	0.00	0.52		0.00
03/10/2017 75501756 MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND B	0.00	1.03	0.00	1.03	50.0	0.52
03/10/2017 75501750 PARTREDI, MICHAEL 03/10/2017 75501757 BERGEN, MIMI	**GABELLI UTILS FD B	0.00	0.25	0.00	0.25	50.0	0.00
03/10/2017 75501757 BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLAB	0.00	0.61	0.00	0.61	50.0	0.00
03/10/2017 75501758 BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B	0.00	0.41	0.00	0.41	50.0	0.00
03/10/2017 75501758 BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.70	0.00	0.70	50.0	0.00
03/10/2017 75501758 BERGEN, MIMI	BLK 091928846 B	0.00	0.34	0.00	0.34	50.0	0.00
03/10/2017 75501760 BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLAB	0.00	2.72	0.00	2.72	50.0	1.36
03/10/2017 75501764 HALL, JOAN	**GABELLI UTILITIES FUND B	0.00	1.72	0.00	1.72		0.86
03/10/2017 75501764 HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367 B	0.00	4.54	0.00	4.54	50.0	2.27
03/10/2017 75501764 HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524 B	0.00	7.21	0.00	7.21	50.0	3.61
03/10/2017 75501764 HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	4.63	0.00		50.0	2.32
03/10/2017 75501976 WARD, BRANDON	**HENDERSON INTERNATIONAL B	0.00	7.13	0.00		50.0	3.57
03/10/2017 75501976 WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B	0.00	11.59	0.00	11.59		5.80
03/10/2017 75501976 WARD, BRANDON	OPPENHEIMERFUNDS 68380E601 B	0.00	3.74	0.00		50.0	1.87
03/10/2017 75501976 WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B	0.00	19.34	0.00	19.34		9.67
03/10/2017 75502020 FEHER, FRANK	FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	0.10	0.00	0.10		0.00
03/10/2017 75502020 FEHER, FRANK	MFS TOTAL RETURN FUND-C B	0.00	0.10	0.00	0.10		0.00
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$452.87	\$0.00			\$209.60

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		В	ROKER TRANS	ACTIONS					
		*** BROKER TRANSACTIO	NS TOTAL ***	\$166,608.85	\$1,124.71	\$0.00	\$1,124.71		\$541.96
						Ē	BROKER COMMI	SSION	TOTALS
						Broke:	r Transactions		\$541.96
						Spli	t Transactions		\$0.00
						Overrid	e Transactions		\$0.00
							Adjustments		\$0.00
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior	Period Balance		\$0.00
						FI	NRA Assessment		\$-1.41
						S	IPC Assessment		\$-21.09
							Check Amount		\$519.46
						Year-to	-date Earnings		\$1,290.21