



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

JOSEPH WIEGAND
 1220 N. 200 West
 Suite B
 Angola, IN 46703

BROKER #: W128
 BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/20/2017	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	35.45	0.00	35.45	90.0	31.91
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.45	\$0.00	\$35.45		\$31.91
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$35.45	\$0.00	\$35.45		\$31.91

<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.20
04/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
04/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
04/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.36
04/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
05/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	2,500.00	8.75	7.70	1.05	90.0	0.95
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,600.00	12.60	7.70	4.90	90.0	4.41
05/11/2017	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	4,000.00	14.00	7.70	6.30	90.0	5.67
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
05/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	90.0	0.15
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
05/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
05/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
05/11/2017	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	1,500.00	5.25	7.70	-2.45	90.0	-2.21
05/11/2017	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	6,000.00	21.00	7.70	13.30	90.0	11.97
05/12/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.83	0.00	0.83	90.0	0.75
05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
05/19/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
05/19/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
05/19/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
05/19/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
04/13/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.98	0.00	0.98	90.0	0.88
04/17/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.09	0.00	4.09	90.0	3.68
04/21/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
04/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.63	0.00	0.63	90.0	0.57
04/18/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.13	0.00	0.13	90.0	0.12
04/21/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.30	0.00	1.30	90.0	1.17
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$18,930.00	\$93.26	\$38.50	\$54.76		\$49.29
<u>BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267</u>								
05/12/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	1.19	0.00	1.19	90.0	1.07
* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *			\$50.00	\$1.19	\$0.00	\$1.19		\$1.07
*** SPLIT TRANSACTIONS TOTAL ***			\$18,980.00	\$94.45	\$38.50	\$55.95		\$50.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/31/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					586.35
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$586.35

BROKER COMMISSION TOTALS

Broker Transactions	\$31.91
Split Transactions	\$50.36
Override Transactions	\$0.00
Adjustments	\$586.35
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-586.35
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$82.27
Year-to-date Gross	\$1,519.89
Year-to-date Earnings	\$1,257.31

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