

**COMMISSION STATEMENT****November 30, 2017**

R145-RICHARD RODRIGUEZ, JR.  
3854 E. County Road 466  
Suite A  
Oxford, FL 34484

BROKER #: R145  
BRANCH: RICHARD RODRIGUEZ JR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
11/01/2017	HALL, MICHAEL	FINANICAL PLANNING	0.00	2,000.00	0.00	2,000.00	90.0	1,800.00
* RIA SUBTOTAL *			\$0.00	\$2,000.00	\$0.00	\$2,000.00		\$1,800.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$2,000.00	\$0.00	\$2,000.00		\$1,800.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,800.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,800.00
Year-to-date Gross	\$2,000.00
Year-to-date Earnings	\$1,800.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed