

# STATEMENT

## February 20, 2018

ERIK NEW DODDS - LPCM

BROKER #: 3067

BRANCH: LIBERTY PARTNERS CAP

, CA

### COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>										
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>										
01/24/2018 #	DAVENPORT, BLAKE	**MORGAN STANLEY MULTI CAP	B	2,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	**VIRTUS ASSET TR	B	2,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	VRTS SMALL-CAP SUSTAINABLE GROWTH F B		4,068.00	0.00	0.00	0.00	0.00	89.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$9,660.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

### PRODUCT CATEGORY: STOCKS

01/23/2018 #	DAVENPORT, BLAKE	MAIN STREET CAPITAL CORPORATION	S	7,808.75	0.00	0.00	0.00	0.00	89.0	0.00
01/23/2018 #	DAVENPORT, BLAKE	OMEGA HEALTHCARE INVESTORS INC	S	4,137.27	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	APPLE INC	B	3,933.69	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	BARCLAYS PLC ADR	B	3,857.74	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	EQUINIX INC COM PAR \$0.001 REIT	B	3,071.11	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	ISHARES IBOX \$ HIGH YIELD	B	37,046.58	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	ISHARES TRUST DOW JONES US AEROSPAC B		3,049.20	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	ISHARES TRUST MSCI EMERGING	B	4,625.33	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	JOHNSON & JOHNSON	B	3,038.49	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	JPMORGAN CHASE & CO	B	3,123.63	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SECTOR SPDR TRUST	B	9,241.12	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SECTOR SPDR TRUST SHS BEN INT TECHN B		15,426.66	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SELECT SECTOR SPDR FUND	B	9,230.99	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SELECT SECTOR SPDR FUND SHS BEN INT B		10,852.51	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SELECT SECTOR SPDR TRUST SHS BEN IN B		12,309.62	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SPDR SER TR S&P BIOTECH ETF	B	6,157.43	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SPDR SER TR S&P REGL BKG ETF	B	10,793.00	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SPDR SERIES TRUST	B	6,174.93	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	SPDR SERIES TRUST BARCLAYS HIGH YIE B		30,872.48	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	VANGUARD INDEX FUNDS	B	7,751.69	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	VANGUARD INDEX FUNDS SMALL-CAP VALU B		3,033.58	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	VANGUARD SECTOR INDEX FDS	B	15,423.01	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	VANGUARD SECTOR INDEX FDS VANGUARD B		12,308.91	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	VANGUARD SECTOR INDEX FDS VANGUARD B		7,661.72	0.00	0.00	0.00	0.00	89.0	0.00
01/26/2018 #	MILLER, DANIEL	VANGUARD TAX-MANAGED FD MSCI EAFE E B		7,696.61	0.00	0.00	0.00	0.00	89.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
* STOCKS SUBTOTAL *				\$238,626.05	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/23/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	S	5,310.87	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	2,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	2,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	2,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	2,796.00	0.00	0.00	0.00	0.00	89.0	0.00
01/24/2018 #	DAVENPORT, BLAKE	DAIN TRAILER	B	4,068.00	0.00	0.00	0.00	0.00	89.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$20,562.87	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$268,848.92	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$0.00
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