

All Companies
STATEMENT
 April 20, 2018

NICK CONSOLES
 1 Merrill Industrial Dr Suite
 SUITE 620
 Hampton, NH 03842

BROKER #: 155
BRANCH:

COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/01/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	31.88	0.32	75.0	0.00	0.24	0.24
03/01/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	19.13	0.19	75.0	0.00	0.14	0.14
03/06/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
03/06/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
03/06/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
03/06/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
03/08/2018	#04000769139	COSTA, JANICE	2055 TARGET DATE RETIREMENT FUND	69.80	3.49	75.0	0.00	2.62	2.62
03/08/2018	#04000769139	COSTA, JANICE	2055 TARGET DATE RETIREMENT FUND	69.80	3.49	75.0	0.00	2.62	2.62
03/08/2018	#04000542764	PATENAUE, RYAN	AMERICAN FUNDS 02631C445	25.54	1.28	75.0	0.00	0.96	0.96
03/08/2018	#04000542764	PATENAUE, RYAN	AMERICAN FUNDS 02631C445	25.54	1.28	75.0	0.00	0.96	0.96
03/08/2018	#04000542766	HUFF, KENNETH	AMERICAN FUNDS 2030 TARGET DATE-A	10.86	0.54	75.0	0.00	0.41	0.41
03/08/2018	#04000542766	HUFF, KENNETH	AMERICAN FUNDS 2030 TARGET DATE-A	10.86	0.54	75.0	0.00	0.41	0.41
03/08/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	274.64	13.73	75.0	0.00	10.30	10.30
03/08/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	82.38	4.12	75.0	0.00	3.09	3.09
03/08/2018	#04000769148	LECLAIR,	AMERICAN FUNDS 2035 TARGET DATE-A	88.90	4.45	75.0	0.00	3.34	3.34
03/08/2018	#04000769148	LECLAIR,	AMERICAN FUNDS 2035 TARGET DATE-A	26.66	1.33	75.0	0.00	1.00	1.00
03/08/2018	#04000542790		AMERICAN FUNDS 2040 TARGET DATE-A	34.17	1.71	75.0	0.00	1.28	1.28
03/08/2018	#04000542790		AMERICAN FUNDS 2040 TARGET DATE-A	34.17	1.71	75.0	0.00	1.28	1.28
03/08/2018	#04000542781		AMERICAN FUNDS 2045 TARGET DATE-A	73.39	3.67	75.0	0.00	2.75	2.75
03/08/2018	#04000542781		AMERICAN FUNDS 2045 TARGET DATE-A	73.39	3.67	75.0	0.00	2.75	2.75
03/08/2018	#04000544326		AMERICAN FUNDS 2045 TARGET DATE-A	124.20	6.21	75.0	0.00	4.66	4.66
03/08/2018	#04000544326		AMERICAN FUNDS 2045 TARGET DATE-A	74.51	3.73	75.0	0.00	2.80	2.80
03/12/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
03/12/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
03/12/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
03/12/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
03/15/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	29.17	0.29	75.0	0.00	0.22	0.22
03/15/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	48.61	0.49	75.0	0.00	0.37	0.37

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/16/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
03/16/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
03/16/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
03/16/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
03/19/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
03/19/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
03/19/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
03/19/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
03/29/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	26.62	0.27	75.0	0.00	0.20	0.20
03/29/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	44.36	0.44	75.0	0.00	0.33	0.33
* MUTUAL FUNDS SUBTOTAL *			\$1,907.94	\$63.07		\$0.00	\$47.33	\$0.00	\$47.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	0.00	10.47	75.0	0.00	7.85	7.85
03/01/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	0.00	3.13	75.0	0.00	2.35	2.35
03/01/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	0.00	12.00	75.0	0.00	9.00	9.00
03/01/2018	#04000769139	COSTA, JANICE	2055 TARGET DATE RETIREMENT FUND	0.00	0.06	75.0	0.00	0.05	0.05
03/01/2018	#04000542764	PATENAUE, RYAN	AMERICAN FUNDS 02631C445	0.00	0.03	75.0	0.00	0.02	0.02
03/01/2018	#04000542767	PERRY, JORDAN	AMERICAN FUNDS 02631C445	0.00	0.02	75.0	0.00	0.02	0.02
03/01/2018	#04000542788		AMERICAN FUNDS 02631C445	0.00	0.02	75.0	0.00	0.02	0.02
03/01/2018	#04000542766	HUFF, KENNETH	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.01	75.0	0.00	0.01	0.01
03/01/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.14	75.0	0.00	0.11	0.11
03/01/2018	#04000769148	LECLAIR,	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	0.05	75.0	0.00	0.04	0.04
03/01/2018	#04000542790		AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.03	75.0	0.00	0.02	0.02
03/01/2018	#04000542781		AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.02	75.0	0.00	0.02	0.02
03/01/2018	#04000544326		AMERICAN FUNDS 2045 TARGET DATE-A	0.00	0.03	75.0	0.00	0.02	0.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$26.01		\$0.00	\$19.53	\$0.00	\$19.53
*** BROKER TRANSACTIONS TOTAL ***			\$1,907.94	\$89.08		\$0.00	\$66.86	\$0.00	\$66.86

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ADJUSTMENTS

04/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
04/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
*** ADJUSTMENTS TOTAL ***									<u>\$-714.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$66.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-714.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,352.27
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-1,999.41</u>
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