



COMMISSION STATEMENT

May 14, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @34.9500						
04/30/2018	***-**-241R - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 2 Units @28.4500						
04/30/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 04000247689	BUY 2 Units @28.4500						
04/30/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 3 Units @27.7800						
04/30/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @28.2200						
04/30/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @28.4500						
05/01/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 2 Units @27.7900						
04/30/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 04000247689	BUY 5 Units @10.5900						
04/30/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 2 Units @10.5600						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 00085839015	BUY 2 Units @10.5600						
04/30/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 00085993216	BUY 5 Units @10.5900						
05/01/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 5 Units @10.5500						
05/01/2018	***-**-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088370668	BUY 1 Units @42.4200						
05/01/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 1 Units @41.4300						
05/01/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088366890	BUY 1 Units @42.4200						
05/01/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @64.3500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/30/2018	***-**-1909 - BASHANT, MATTHEW	CAPITAL WORLD GROWTH AND INCOME FUN	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @53.6500						
04/30/2018	***-**-7598 - HUMPF, MINDI	CAPITAL WORLD GROWTH AND INCOME FUN	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @53.6500						
04/30/2018	***-**-5556 - BURNS, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085993216	BUY 1 Units @54.4900						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	31.50	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00085839015	BUY 1 Units @58.9500						
04/30/2018	***-**-5556 - BURNS, ROBERT	SMALLCAP WORLD FUND-A	22.50	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 00085993216	BUY 0 Units @59.6500						
04/30/2018	***-**-4639 - SCOONES,	THE BOND FUND OF AMERICA-A	90.75	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00084481157	BUY 7 Units @12.9700						
05/01/2018	***-**-6790 - DRAKE, ROBERT	THE BOND FUND OF AMERICA-A	50.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 00086643595	BUY 4 Units @12.9800						
04/30/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089897908	BUY 1 Units @54.9000						
04/30/2018	***-**-24IR - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089941898	BUY 1 Units @54.9000						
04/30/2018	***-**-4639 - SCOONES,	THE GROWTH FUND OF AMERICA-A	93.50	2.57	0.00	2.57	75.0	1.93
	ACCOUNT #: 00084481157	BUY 2 Units @53.6200						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: 00085839015	BUY 1 Units @53.6200						
04/30/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 0 Units @53.6200						
05/01/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 1 Units @53.8300						
04/30/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: 00085514851	BUY 1 Units @23.6000						
04/30/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: 00085839015	BUY 1 Units @23.6000						
04/30/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	75.0	2.25
	ACCOUNT #: 00087909097	BUY 2 Units @24.1600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/01/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	75.00	2.81	0.00	2.81	75.0	2.11
	ACCOUNT #: 00086643595	BUY 3 Units @23.8000						
		* MUTUAL FUNDS SUBTOTAL *	\$1,570.00	\$57.51	\$0.00	\$57.51		\$43.23
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/30/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN GROWTH FUND CLASS A	0.00	15.05	0.00	15.05	75.0	11.29
	ACCOUNT #: 000060177003031	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN GROWTH FUND CLASS A	0.00	3.38	0.00	3.38	75.0	2.54
	ACCOUNT #: 000060177122300	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN GROWTH FUND CLASS A	0.00	31.50	0.00	31.50	75.0	23.63
	ACCOUNT #: 000060177196078	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN INCOME FUND CLASS A	0.00	7.44	0.00	7.44	75.0	5.58
	ACCOUNT #: 000090236333236	BUY 0 Units @0.0000						
04/30/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN INCOME FUND CLASS A	0.00	12.99	0.00	12.99	75.0	9.74
	ACCOUNT #: 000090236456264	BUY 0 Units @0.0000						
04/30/2018	***-**-00RO - KELLER, BILLIE	FRANKLIN INCOME FUND CLASS A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000090237939359	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN INCOME FUND CLASS A	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 000090237957306	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN INCOME FUND CLASS A	0.00	1.10	0.00	1.10	75.0	0.83
	ACCOUNT #: 000090238038740	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN INCOME FUND CLASS A	0.00	11.92	0.00	11.92	75.0	8.94
	ACCOUNT #: 000090239092353	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	2.86	0.00	2.86	75.0	2.15
	ACCOUNT #: 000090239535847	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRANKLIN INCOME FUND CLASS A	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: 000090239551269	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	FRANKLIN NEW YORK TAX FREE CLASS C	0.00	10.94	0.00	10.94	75.0	8.21
	ACCOUNT #: 000000000426484	BUY 0 Units @0.0000						
04/30/2018	***-**-3756 - GAGNE, JOHN	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	6.06	0.00	6.06	75.0	4.55
	ACCOUNT #: 000011516893059	BUY 0 Units @0.0000						
04/30/2018	***-**-8526 - SPINELI, ELISE	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.13	0.00	2.13	75.0	1.60
	ACCOUNT #: 000011516901730	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN NEW YORK TAX-FREE INCOME F	0.00	2.34	0.00	2.34	75.0	1.76
	ACCOUNT #: 000011516948107	BUY 0 Units @0.0000						
04/30/2018	***-**-5559 - SEYMOUR, DEBORAH	FRANKLIN NY INTERM TERM TAX FREE A	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 000015311057123	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	FRANKLIN NY INTR-TRM TF INC FD	0.00	8.17	0.00	8.17	75.0	6.13
	ACCOUNT #: 0000000000033504	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	33.28	0.00	33.28	75.0	24.96
	ACCOUNT #: 000015815231665	BUY 0 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	19.61	0.00	19.61	75.0	14.71
	ACCOUNT #: 000015813931694	BUY 0 Units @0.0000						
04/30/2018	***-**-0961 - BUDINE, JESSE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.53	0.00	1.53	75.0	1.15
	ACCOUNT #: 000015814136194	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGGETT, AMY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.69	0.00	2.69	75.0	2.02
	ACCOUNT #: 000015814148023	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.62	0.00	9.62	75.0	7.22
	ACCOUNT #: 000015814180311	BUY 0 Units @0.0000						
04/30/2018	***-**-3034 - DRAKE, CATHERINE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.09	0.00	1.09	75.0	0.82
	ACCOUNT #: 000015814181008	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	9.39	0.00	9.39	75.0	7.04
	ACCOUNT #: 000015814241626	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	60.10	0.00	60.10	75.0	45.08
	ACCOUNT #: 000015814353890	BUY 0 Units @0.0000						
04/30/2018	***-**-8321 - HIGGINS, CYNTHIA	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	38.58	0.00	38.58	75.0	28.94
	ACCOUNT #: 000015814404622	BUY 0 Units @0.0000						
04/30/2018	***-**-00RO - KELLER, BILLIE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000015814920058	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	1.80	0.00	1.80	75.0	1.35
	ACCOUNT #: 000015814926861	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.21	0.00	2.21	75.0	1.66
	ACCOUNT #: 000015814954630	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 000015814954705	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-5371 - SOUTHFIELD, ACCOUNT #: 000015815282015	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	22.07	0.00	22.07	75.0	16.55
04/30/2018	***-**-6469 - BENNETT, DAVID ACCOUNT #: 000015815518754	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	5.26	0.00	5.26	75.0	3.95
04/30/2018	***-**-6469 - BENNETT, DAVID ACCOUNT #: 000015815524857	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	0.49	0.00	0.49	75.0	0.37
04/30/2018	***-**-0403 - DONOVAN, HEATHER ACCOUNT #: 000010187500957	FRANKLIN U.S. GOVERNMENT SECURITIES BUY 0 Units @0.0000	0.00	2.07	0.00	2.07	75.0	1.55
04/30/2018	***-**-0403 - DONOVAN, HEATHER ACCOUNT #: 000013912505964	FRK EQUITY INC FND - CL A BUY 0 Units @0.0000	0.00	18.51	0.00	18.51	75.0	13.88
04/30/2018	***-**-0961 - BUDINE, JESSE ACCOUNT #: 000013912529800	FRK EQUITY INC FND - CL A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
04/30/2018	***-**-1787 - ANO, MICHAEL ACCOUNT #: 000013912534634	FRK EQUITY INC FND - CL A BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	75.0	4.23
04/30/2018	***-**-0608 - ANO, CHERYL ACCOUNT #: 000013912540587	FRK EQUITY INC FND - CL A BUY 0 Units @0.0000	0.00	3.46	0.00	3.46	75.0	2.60
04/30/2018	***-**-1787 - ANO, MICHAEL ACCOUNT #: 000050117882954	FRK HIGH INC FND - CL A BUY 0 Units @0.0000	0.00	1.85	0.00	1.85	75.0	1.39
04/30/2018	***-**-0608 - ANO, CHERYL ACCOUNT #: 000050117905563	FRK HIGH INC FND - CL A BUY 0 Units @0.0000	0.00	2.88	0.00	2.88	75.0	2.16
04/30/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 000019413185810	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	75.0	0.34
04/30/2018	***-**-1787 - ANO, MICHAEL ACCOUNT #: 000019413201563	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	1.85	0.00	1.85	75.0	1.39
04/30/2018	***-**-0608 - ANO, CHERYL ACCOUNT #: 000019413236403	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	4.43	0.00	4.43	75.0	3.32
04/30/2018	***-**-8321 - HIGGINS, CYNTHIA ACCOUNT #: 000019413353537	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	12.91	0.00	12.91	75.0	9.68
04/30/2018	***-**-00RO - KELLER, BILLIE ACCOUNT #: 000019413732266	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
04/30/2018	***-**-9692 - KELLER, KENNETH ACCOUNT #: 000019413735729	FRK STRAT INC FND - CL A BUY 0 Units @0.0000	0.00	1.31	0.00	1.31	75.0	0.98



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-9500 - KELLER, BILLIE	FRK STRAT INC FND - CL A	0.00	1.69	0.00	1.69	75.0	1.27
	ACCOUNT #: 000019413751292	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRK STRAT INC FND - CL A	0.00	11.22	0.00	11.22	75.0	8.42
	ACCOUNT #: 000019413960182	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	4.48	0.00	4.48	75.0	3.36
	ACCOUNT #: 000019414041778	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	FRK STRAT INC FND - CL A	0.00	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 000019414044317	BUY 0 Units @0.0000						
04/30/2018	***-**-481J - MERRILL, JASON	FRK TEMP FOUNDING FUNDS ALLOC FND C	0.00	3.03	0.00	3.03	75.0	2.27
	ACCOUNT #: 000000000369520	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGGETT, AMY	FRK TOTAL RETURN FND - CL A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: 000000000938415	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	FRK TOTAL RETURN FND - CL A	0.00	4.65	0.00	4.65	75.0	3.49
	ACCOUNT #: 000000000947097	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	FRK TOTAL RETURN FND - CL A	0.00	5.28	0.00	5.28	75.0	3.96
	ACCOUNT #: 000000000963900	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	FRK TOTAL RETURN FND - CL A	0.00	9.08	0.00	9.08	75.0	6.81
	ACCOUNT #: 000000001004629	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	FRK TOTAL RETURN FND - CL A	0.00	6.35	0.00	6.35	75.0	4.76
	ACCOUNT #: 000000001197399	BUY 0 Units @0.0000						
04/30/2018	***-**-00RO - KELLER, BILLIE	FRK UTIL FND - CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000070188039260	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	FRK UTIL FND - CL A	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: 000070188041136	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	FRK UTIL FND - CL A	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: 000070188050820	BUY 0 Units @0.0000						
05/14/2018	***-**-46IR - SWANCOTT, KELLIE	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.34	0.00	0.34	75.0	0.26
	ACCOUNT #: LSR010508	BUY 1 Units @0.0000						
05/14/2018	***-**-46IR - SWANCOTT, KELLIE	IVK GROWTH AND INCOME FUND - CLASS	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: LSR010508	BUY 1 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	9.28	0.00	9.28	75.0	6.96
	ACCOUNT #: 000000007175262	BUY 0 Units @0.0000						



COMMISSION STATEMENT

May 14, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-0961 - BUDINE, JESSE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.90	0.00	0.90	75.0	0.68
	ACCOUNT #: 000000007475698	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGETT, AMY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.82	0.00	0.82	75.0	0.62
	ACCOUNT #: 000000007485725	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	3.05	0.00	3.05	75.0	2.29
	ACCOUNT #: 000000007508916	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	2.55	0.00	2.55	75.0	1.91
	ACCOUNT #: 000000007556347	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	23.52	0.00	23.52	75.0	17.64
	ACCOUNT #: 000000007691975	BUY 0 Units @0.0000						
04/30/2018	***-**-00RO - KELLER, BILLIE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000000008294530	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.76	0.00	0.76	75.0	0.57
	ACCOUNT #: 000000008301804	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: 000000008332761	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	12.17	0.00	12.17	75.0	9.13
	ACCOUNT #: 000000008780278	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	4.83	0.00	4.83	75.0	3.62
	ACCOUNT #: 000000008962250	BUY 0 Units @0.0000						
04/30/2018	***-**-0961 - BUDINE, JESSE	TEMP FRGN FND - CL A	0.00	0.81	0.00	0.81	75.0	0.61
	ACCOUNT #: 000004978424895	BUY 0 Units @0.0000						
04/30/2018	***-**-0403 - DONOVAN, HEATHER	TEMP GLOBAL BOND FND - CL A	0.00	4.58	0.00	4.58	75.0	3.44
	ACCOUNT #: 000006982468899	BUY 0 Units @0.0000						
04/30/2018	***-**-0961 - BUDINE, JESSE	TEMP GLOBAL BOND FND - CL A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: 000006983356424	BUY 0 Units @0.0000						
04/30/2018	***-**-4848 - DOGETT, AMY	TEMP GLOBAL BOND FND - CL A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 000006983376554	BUY 0 Units @0.0000						
04/30/2018	***-**-1787 - ANO, MICHAEL	TEMP GLOBAL BOND FND - CL A	0.00	4.97	0.00	4.97	75.0	3.73
	ACCOUNT #: 000006983429330	BUY 0 Units @0.0000						
04/30/2018	***-**-3034 - DRAKE, CATHERINE	TEMP GLOBAL BOND FND - CL A	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: 000006983437097	BUY 0 Units @0.0000						



COMMISSION STATEMENT

May 14, 2018

RONALD DRAKE
6968 Bolivar Road
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BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/30/2018	***-**-0608 - ANO, CHERYL	TEMP GLOBAL BOND FND - CL A	0.00	3.09	0.00	3.09	75.0	2.32
	ACCOUNT #: 000006983546669	BUY 0 Units @0.0000						
04/30/2018	***-**-9217 - POLITIS, DEBRA	TEMP GLOBAL BOND FND - CL A	0.00	26.62	0.00	26.62	75.0	19.97
	ACCOUNT #: 000006983894537	BUY 0 Units @0.0000						
04/30/2018	***-**-00RO - KELLER, BILLIE	TEMP GLOBAL BOND FND - CL A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 000006985204145	BUY 0 Units @0.0000						
04/30/2018	***-**-9692 - KELLER, KENNETH	TEMP GLOBAL BOND FND - CL A	0.00	1.31	0.00	1.31	75.0	0.98
	ACCOUNT #: 000006985212585	BUY 0 Units @0.0000						
04/30/2018	***-**-9500 - KELLER, BILLIE	TEMP GLOBAL BOND FND - CL A	0.00	1.67	0.00	1.67	75.0	1.25
	ACCOUNT #: 000006985253074	BUY 0 Units @0.0000						
04/30/2018	***-**-5371 - SOUTHFIELD,	TEMP GLOBAL BOND FND - CL A	0.00	10.98	0.00	10.98	75.0	8.24
	ACCOUNT #: 000006985760625	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	4.40	0.00	4.40	75.0	3.30
	ACCOUNT #: 000006985886089	BUY 0 Units @0.0000						
04/30/2018	***-**-6469 - BENNETT, DAVID	TEMP GLOBAL BOND FND - CL A	0.00	0.28	0.00	0.28	75.0	0.21
	ACCOUNT #: 000006985890265	BUY 0 Units @0.0000						
04/30/2018	***-**-0608 - ANO, CHERYL	TEMPLETON GROWTH FUND CLASS A	0.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: 000007077039626	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$553.43	\$0.00	\$553.43		\$415.21
*** BROKER TRANSACTIONS TOTAL ***			\$1,570.00	\$610.94	\$0.00	\$610.94		\$458.44



BROKER #: A36
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$458.44
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$458.44
						Year-to-date Earnings		\$20,646.55