



COMMISSION STATEMENT

March 05, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/28/2018	***-**-065I - LAZIO, THOMAS	AMERICAN FUNDS MORTGAGE FD CL C	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010979	SELL 102 Units @9.8100						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C	2,499.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 75 Units @33.2000						
02/26/2018	***-**-14IO - BUSHEY, MATTHEW	AMERICAN GLOBAL BALANCED CL C	2,499.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011498	SELL 75 Units @33.2000						
02/28/2018	***-**-633J -	AMERICAN GLOBAL BALANCED CL C	4,099.89	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	SELL 126 Units @32.5900						
02/28/2018	***-**-851I - BLAKE, JAMES	AMERICAN GLOBAL BALANCED CL C	19,999.99	218.00	18.00	200.00	0.0	0.00
	ACCOUNT #: LSR012390	BUY 614 Units @32.5900						
02/21/2018	***-**-65CL - GRUENEWALD, K,	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 03222414918	BUY 0 Units @0.0000						
02/21/2018	***-**-633J -	EQUITY INCOME FUND, INC. A	175.00	8.31	0.00	8.31	0.0	0.00
	ACCOUNT #: 08353229688	BUY 0 Units @0.0000						
02/26/2018	***-**-48JT - LEE, DARLENE	EQUITY INCOME FUND, INC. C	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 08370750926	BUY 0 Units @0.0000						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	FRANKLIN INCOME FUND CLASS C	1,500.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 628 Units @2.3900						
02/28/2018	***-**-065I - LAZIO, THOMAS	FRNKLN BALANCE SHEET INVTMNT FND -	1,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010979	SELL 27 Units @36.9800						
02/22/2018	***-**-21IO - RADELL, SANDRA	FUNDAMENTAL INVESTORS-C	750.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011392	SELL 12 Units @62.9300						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	FUNDAMENTAL INVESTORS-C	1,499.97	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 23 Units @64.5400						
02/26/2018	***-**-14IO - BUSHEY, MATTHEW	FUNDAMENTAL INVESTORS-C	2,500.02	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011498	SELL 39 Units @64.5400						
02/27/2018	***-**-861I - SANGER, LAWRENCE	FUNDAMENTAL INVESTORS-C	395.40	0.00	0.00	0.00	0.0	0.00
	ACCOUNT #: LSR011095	SELL 6 Units @63.6400						
02/21/2018	***-**-65CL - GRUENEWALD, K,	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 02171825219	BUY 0 Units @0.0000						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	INCOME FUND OF AMERICA CLASS C	3,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 172 Units @23.2200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/26/2018	***-**-14IO - BUSHEY, MATTHEW	INCOME FUND OF AMERICA CLASS C	1,499.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011498	SELL 65 Units @23.2200						
02/22/2018	***-**-06IN - SATTERLY,	MFS 08191336006	100.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 08191336006	BUY 3 Units @31.8800						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	3,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 195 Units @15.3800						
02/28/2018	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	1,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010979	SELL 66 Units @15.2300						
02/20/2018	***-**-617I - ESCHE, BEVERLY	MFS GLOBAL TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 3 Units @18.5700						
02/20/2018	***-**-53RI - FALCHI, VALERIE	MFS GLOBAL TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191172002	BUY 3 Units @18.5700						
02/22/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	1,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011392	SELL 54 Units @18.5300						
02/26/2018	***-**-094I - KATRENA L	MFS GLOBAL TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191140345	BUY 3 Units @18.7700						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	2,500.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 135 Units @18.5000						
02/20/2018	***-**-617I - ESCHE, BEVERLY	MFS INTERNATIONAL NEW DISCOVERY FUN	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 1 Units @34.2400						
02/15/2018	***-**-213I - GRUENEWALD,	MFS NEW DISCOVERY FUND-B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 08190131132	BUY 4 Units @22.6000						
02/28/2018	***-**-633J -	MFS TECHNOLOGY CLASS C	3,709.44	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	SELL 107 Units @34.7700						
02/28/2018	***-**-51IN - BLAKE, JAMES	MFS TECHNOLOGY CLASS C	6,500.01	83.00	18.00	65.00	0.0	0.00
	ACCOUNT #: LSR012389	BUY 187 Units @34.7700						
02/20/2018	***-**-06IN - SATTERLY,	MFS TECHNOLOGY FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191173302	BUY 1 Units @34.5000						
03/01/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	50.01	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @34.4200						
02/15/2018	***-**-094I - CIRILLO, CARL	MFS UTILITIES FUND-B	35.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: 08190127967	BUY 2 Units @19.5400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/15/2018	***-**-606I - LEWIS, TAMARA	MFS UTILITIES FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191352846	BUY 3 Units @19.5400						
02/20/2018	***-**-617I - ESCHE, BEVERLY	MFS UTILITIES FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191154979	BUY 3 Units @19.4600						
03/01/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	99.99	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: LSR011483	BUY 5 Units @19.0900						
02/28/2018	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	3,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010979	SELL 157 Units @19.0700						
02/28/2018	***-**-51IN - BLAKE, JAMES	MFS UTILITIES FUND-C	6,500.01	83.00	18.00	65.00	0.0	0.00
	ACCOUNT #: LSR012389	BUY 341 Units @19.0700						
02/21/2018	***-**-633J -	OPP PORT SERIES: ACTIVE ALLOC A	175.00	8.31	0.00	8.31	0.0	0.00
	ACCOUNT #: 05502871176	BUY 0 Units @0.0000						
02/21/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	90.98	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
02/22/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	90.98	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
02/26/2018	***-**-45IO - JOHNSON, GREGORY	OPPENHEIMER ACTIVE ALLOCATION FUND	3,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 204 Units @14.6700						
02/26/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	75.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						
02/26/2018	***-**-48JT - LEE, DARLENE	OPPENHEIMER ACTIVE ALLOCATION FUND	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 05521043603	BUY 0 Units @0.0000						
02/26/2018	***-**-48JT - LEE, DARLENE	OPPENHEIMER GLBL REAL ESTATE FUND C	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 00100021715	BUY 0 Units @0.0000						
02/21/2018	***-**-633J -	OPPENHEIMER INTERNATIONAL DIVERSIFI	175.00	8.31	0.00	8.31	0.0	0.00
	ACCOUNT #: 01953330426	BUY 0 Units @0.0000						
02/26/2018	***-**-48JT - LEE, DARLENE	OPPENHEIMER INTERNATIONAL DIVERSIFI	50.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: 01971019612	BUY 0 Units @0.0000						
02/28/2018	***-**-065I - LAZIO, THOMAS	OPPENHEIMER RISING DIVIDENDS FUND C	2,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR010979	SELL 121 Units @16.4900						
02/21/2018	***-**-633J -	OPPENHEIMER SMALL & MID CAP VALUE F	175.00	8.31	0.00	8.31	0.0	0.00
	ACCOUNT #: 02514987422	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/28/2018	***-**-633J -	PUTNAM COMP	2,505.23	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	SELL 67 Units @37.4900						
02/22/2018	***-**-21IO - RADELL, SANDRA	PUTNAM GLOBAL CONSUMER FUND CL B	1,499.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011392	SELL 71 Units @21.2400						
02/15/2018	***-**-63IN - LASCALA, DEBORAH	PUTNAM GLOBAL UTILITIES CL C	100.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00426855622	BUY 8 Units @12.8100						
02/26/2018	***-**-14IO - BUSHEY, MATTHEW	PUTNAM GROWTH OPPORTUNITIES FUND CL	3,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011498	SELL 136 Units @29.3100						
02/28/2018	***-**-633J -	REAL ESTATE FUND C	2,345.69	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	SELL 109 Units @21.5700						
02/28/2018	***-**-633J -	TRANSAMERICA SHORT TERM BOND CL C	3,031.35	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011732	SELL 300 Units @10.1000						
02/26/2018	***-**-51IN - BLAKE, JAMES	VANGUARD HEALTH CARE FUND	4,597.51	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012389	SELL 22 Units @211.0400						
02/26/2018	***-**-51IN - BLAKE, JAMES	VANGUARD LIFE STRGY GRWTH PORT INV	4,204.39	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012389	SELL 122 Units @34.5100						
02/23/2018	***-**-851I - BLAKE, JAMES	VANGUARD TARGET RETIREMENT 2050 INV	23,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012390	SELL 622 Units @36.9800						
02/23/2018	***-**-51IR - BLAKE, JAMES	VANGUARD TARGET RETIREMENT 2050 INV	19,930.81	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012391	SELL 539 Units @36.9800						
02/26/2018	***-**-51IN - BLAKE, JAMES	VANGUARD US GROWTH PRTF INVESTOR	5,086.80	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR012389	SELL 128 Units @39.5900						
* MUTUAL FUNDS SUBTOTAL *			\$147,748.46	\$972.21	\$576.00	\$396.21		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
02/23/2018	***-**-213J - GRUENEWALD,	LOXO ONCOLOGY INC	5,668.10	74.50	16.00	58.50	0.0	0.00
	ACCOUNT #: LSR011616	BUY 50 Units @113.3620						
* STOCKS SUBTOTAL *			\$5,668.10	\$74.50	\$16.00	\$58.50		\$0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/27/2018	***-**-960J - MASTRANGELO, ACCOUNT #: VP71071040	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	274.21	8.23	0.00	8.23	0.0	0.00
02/27/2018	***-**-960J - MASTRANGELO, ACCOUNT #: VP71071040	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	146.79	4.40	0.00	4.40	0.0	0.00
03/01/2018	***-**-8126 - MCCARTHY, BRIANN ACCOUNT #: VP71028570	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	35.92	1.08	0.00	1.08	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$456.92	\$13.71	\$0.00	\$13.71		\$0.00
PRODUCT CATEGORY: 529 PLAN								
02/20/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	THE GROWTH FUND OF AMERICA-529C BUY 1 Units @48.4100	50.00	0.50	0.00	0.50	0.0	0.00
* 529 PLAN SUBTOTAL *			\$50.00	\$0.50	\$0.00	\$0.50		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/05/2018	***-**-6604 - REYNOLDS, DIANE ACCOUNT #: 5290810273	BLACKROCK TRAILS BUY 0 Units @0.0000	0.00	4.68	0.00	4.68	0.0	0.00
03/05/2018	***-**-7110 - FAMOLARO, JAMES ACCOUNT #: LSR011279	CLEARBRIDGE AGGRESSIVE GROWTH A BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	0.0	0.00
03/05/2018	***-**-3110 - HARTZ, CLAUDIA ACCOUNT #: LSR010915	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	5.76	0.00	5.76	0.0	0.00
03/05/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.83	0.00	3.83	0.0	0.00
03/05/2018	***-**-930I - SANGER, KEVIN ACCOUNT #: LSR010941	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	12.73	0.00	12.73	0.0	0.00
03/05/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	5.43	0.00	5.43	0.0	0.00
03/05/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.35	0.00	6.35	0.0	0.00
03/05/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.67	0.00	6.67	0.0	0.00
03/05/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	11.45	0.00	11.45	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/05/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	7.63	0.00	7.63	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/05/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	14.29	0.00	14.29	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/05/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	6.34	0.00	6.34	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/05/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	6.36	0.00	6.36	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/05/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	6.34	0.00	6.34	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/05/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/05/2018	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	6.31	0.00	6.31	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/05/2018	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	12.70	0.00	12.70	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
03/05/2018	***-**-633J -	RESOURCE REAL ESTATE-NFS	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
03/05/2018	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	1.94	0.00	1.94	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
03/05/2018	***-**-31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	1.92	0.00	1.92	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
03/05/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
03/05/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	4.24	0.00	4.24	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/05/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
03/05/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/05/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	2.22	0.00	2.22	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/05/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	3.82	0.00	3.82	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/05/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	2.54	0.00	2.54	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/05/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	4.76	0.00	4.76	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/05/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/05/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/05/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/05/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	1.07	0.00	1.07	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/05/2018	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	2.10	0.00	2.10	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/05/2018	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	4.23	0.00	4.23	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
03/05/2018	***-**-633J -	RESOURCE REAL ESTATE-NFS	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
03/05/2018	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$163.83	\$0.00	\$163.83		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
02/28/2018	***-**-89IN - LEE, ROGER	JACKSON 468511480	0.00	24.22	0.00	24.22	0.0	0.00
	ACCOUNT #: 1200175188	BUY 0 Units @0.0000						
02/27/2018	***-**-960J - MASTRANGELO,	PACIFIC LIFE SE5-NYB DTCC	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: VP71071040	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$25.31	\$0.00	\$25.31		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$153,923.48	\$1,250.06	\$592.00	\$658.06		\$0.00



COMMISSION STATEMENT

March 05, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$0.00
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$0.00
Year-to-date Earnings								\$46,510.43