

COMMISSION STATEMENT

October 31, 2017

JOHN BOYCE
15408 DUNCAN HILL ROADBROKER #: 41
BRANCH: HOME OFFICE

BULTER, MD 21023

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/02/2017	75500360	BOYCE, ANN HAGERTY		ORACLE CORPORATION	B	14,611.98	20.00	10.00	10.00 70.0 4.00
10/06/2017	75500360	BOYCE, ANN HAGERTY		GENERAL MILLS INC	S	10,320.02	40.00	10.00	30.00 70.0 18.00
10/11/2017	75500360	BOYCE, ANN HAGERTY		ULTA SALON COSMETICS & FRAGRANCE IN B		31,000.00	10.00	10.00	0.00 70.0 -3.00
10/12/2017	75500360	BOYCE, ANN HAGERTY		ULTA SALON COSMETICS & FRAGRANCE IN S		79,264.00	40.00	10.00	30.00 70.0 18.00
10/25/2017	75500360	BOYCE, ANN HAGERTY		CUI GLOBAL INC	S	12,400.00	70.00	10.00	60.00 70.0 39.00
10/18/2017	75500361	BOYCE, JOHN C		AMERICAN EXPRESS COMPANY (N.Y)	S	27,616.02	50.00	10.00	40.00 70.0 25.00
10/18/2017	75500361	BOYCE, JOHN C		NATIONAL BEVERAGE CORP	S	19,581.21	50.00	10.00	40.00 70.0 25.00
10/24/2017	75500361	BOYCE, JOHN C		JD.COM INC SPONSORED ADR REPSTG COM B		11,605.98	30.00	10.00	20.00 70.0 11.00
10/25/2017	75500361	BOYCE, JOHN C		CUI GLOBAL INC	S	6,240.00	295.76	10.00	285.76 70.0 197.03
10/26/2017	75500361	BOYCE, JOHN C		VANECK VECTORS ETF TR GOLD MINERS E B		45,016.00	30.00	10.00	20.00 70.0 11.00
10/27/2017	75500361	BOYCE, JOHN C		NUANCE COMMUNICATIONS INC	S	1,508.34	25.00	10.00	15.00 70.0 7.50
10/03/2017	75500366	BOYCE, JOHN C		ALIBABA GROUP HOLDING LTD SPONSORED B		1,935.53	5.00	10.00	-5.00 70.0 -6.50
10/25/2017	75500366	BOYCE, JOHN C		ALIBABA GROUP HOLDING LTD SPONSORED B		8,737.88	20.00	10.00	10.00 70.0 4.00
10/25/2017	75500366	BOYCE, JOHN C		APPLIED OPTOELECTRONICS INC COM	S	10,809.34	30.00	10.00	20.00 70.0 11.00
09/28/2017	75500368	PETR, THOMAS		VERINT SYS INC COM	B	42,370.00	60.00	10.00	50.00 70.0 32.00
10/10/2017	75500368	PETR, THOMAS		CISCO SYS INC	B	100,764.00	160.00	10.00	150.00 70.0 102.00
10/26/2017	75500368	PETR, THOMAS		BAOZUN INC AMERICAN DEPOSITARY SHAR S		450,831.00	750.00	10.00	740.00 70.0 515.00
10/12/2017	75500370	HUBARD, MARGARET		PROSHARES TRUST PROSHARES SHORT S&P S		15,846.50	70.00	10.00	60.00 70.0 39.00
10/05/2017	75500378	CLOUGH, CHARLES EVANS		MARTIN MIDSTREAM PARTNERS L P	B	1,685.00	30.00	10.00	20.00 70.0 11.00
10/17/2017	75500385	HUBARD, JOHN		PJT PARTNERS INC CLASS A COM	B	18,000.00	343.89	10.00	333.89 70.0 230.72
10/17/2017	75500385	HUBARD, JOHN		PJT PARTNERS INC CLASS A COM	S	-18,000.00	-343.89	-10.00	-333.89 70.0 -230.72 C
10/17/2017	75500385	HUBARD, JOHN		PJT PARTNERS INC CLASS A COM	B	18,000.00	100.00	10.00	90.00 70.0 60.00
10/23/2017	75500385	HUBARD, JOHN		WEYERHAEUSER CO	B	6,331.61	30.00	10.00	20.00 70.0 11.00
10/10/2017	75500391	NIEDRINGHAUS, WALLACE		POTASH CORP OF SASKATCHEWAN INC CAN S		18,961.20	30.00	10.00	20.00 70.0 11.00
09/29/2017	75500424	JOHN B HUBARD	TTEE	CITIGROUP INC COM	B	14,519.80	70.00	10.00	60.00 70.0 39.00
10/17/2017	75500424	JOHN B HUBARD	TTEE	PJT PARTNERS INC CLASS A COM	B	58,500.00	100.00	10.00	90.00 70.0 60.00
10/18/2017	75500424	JOHN B HUBARD	TTEE	PROSHARES TRUST PROSHARES SHORT S&P S		15,791.50	50.00	10.00	40.00 70.0 25.00
10/25/2017	75500428	JOHN B HUBARD	TTEE	AT&T INC	S	60,073.43	90.00	10.00	80.00 70.0 53.00
10/25/2017	75500428	JOHN B HUBARD	TTEE	CELGENE CORP	S	5,977.13	25.00	10.00	15.00 70.0 7.50
10/12/2017	75500443	GOOD, TIMOTHY		GENERAL MILLS INC	S	25,715.00	80.00	10.00	70.00 70.0 46.00
10/20/2017	75500443	GOOD, TIMOTHY		COLUMBIA SPORTSWEAR CO	S	27,500.00	60.00	10.00	50.00 70.0 32.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2017	75500443	GOOD, TIMOTHY		COTY INC COM CL A	S	6,174.17	50.00	10.00	40.00 70.0 25.00
10/25/2017	75500443	GOOD, TIMOTHY		GAMCO GLOBAL GOLD NATURAL RESOURCES	S	27,650.00	100.00	10.00	90.00 70.0 60.00
10/20/2017	75500445	GOOD, TIMOTHY		CUI GLOBAL INC	S	21,486.99	140.00	10.00	130.00 70.0 88.00
10/20/2017	75500445	GOOD, TIMOTHY		HUDSON TECHNOLOGIES INC	S	29,812.75	150.00	10.00	140.00 70.0 95.00
10/10/2017	75501338	BOYCE, ROGER F		MARTIN MIDSTREAM PARTNERS L P	B	846.25	10.00	10.00	0.00 70.0 -3.00
10/10/2017	75501338	BOYCE, ROGER F		U S CONCRETE INC COM NEW	B	1,921.25	5.00	10.00	-5.00 70.0 -6.50
09/29/2017	QGV002942	ASSOCIATES, F/A/O		SEALED AIR CORP NEW	B	31,168.82	29.08	10.00	19.08 70.0 10.36
10/04/2017	QGV002942	ASSOCIATES, F/A/O		NATUS MEDICAL INC DEL	B	163,570.32	164.00	10.00	154.00 70.0 104.80
10/05/2017	QGV002942	ASSOCIATES, F/A/O		ACI WORLDWIDE INC	B	93,980.77	160.40	10.00	150.40 70.0 102.28
10/06/2017	QGV002942	ASSOCIATES, F/A/O		BLACK KNIGHT INC COMMON STOCK	S	-62,272.73	57.56	10.00	47.56 70.0 30.29
10/13/2017	QGV002942	ASSOCIATES, F/A/O		FOX FACTORY HOLDING CORP COM	S	-170,535.54	166.00	10.00	156.00 70.0 106.20
10/16/2017	QGV002942	ASSOCIATES, F/A/O		FIDELITY NATIONAL FINANCIAL INC	B	77,327.71	89.60	10.00	79.60 70.0 52.72
10/16/2017	QGV002942	ASSOCIATES, F/A/O		GENERAL MILLS INC	B	317,382.59	244.80	10.00	234.80 70.0 161.36
10/16/2017	QGV002942	ASSOCIATES, F/A/O		MSA SAFETY INC COM	S	-187,301.43	94.76	10.00	84.76 70.0 56.33
10/18/2017	QGV002942	ASSOCIATES, F/A/O		CITIGROUP INC COM	B	65,127.89	35.60	10.00	25.60 70.0 14.92
10/20/2017	QGV002942	ASSOCIATES, F/A/O		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-57,473.67	27.20	10.00	17.20 70.0 9.04
10/20/2017	QGV002942	ASSOCIATES, F/A/O		PORTLAND GEN ELEC CO COM NEW	S	-46,934.58	41.60	10.00	31.60 70.0 19.12
10/20/2017	QGV002942	ASSOCIATES, F/A/O		SONOCO PRODS CO COM	B	48,908.61	37.20	10.00	27.20 70.0 16.04
10/20/2017	QGV002942	ASSOCIATES, F/A/O		VERIZON COMMUNICATIONS	B	30,977.04	25.20	10.00	15.20 70.0 7.64
10/23/2017	QGV002942	ASSOCIATES, F/A/O		CITIGROUP INC COM	B	49,759.85	27.00	10.00	17.00 70.0 8.90
10/23/2017	QGV002942	ASSOCIATES, F/A/O		FIDELITY NATIONAL FINANCIAL INC	B	55,262.62	62.00	10.00	52.00 70.0 33.40
10/23/2017	QGV002942	ASSOCIATES, F/A/O		FOX FACTORY HOLDING CORP COM	S	-133,373.59	124.40	10.00	114.40 70.0 77.08
10/23/2017	QGV002942	ASSOCIATES, F/A/O		OMNICOM GROUP INC	S	-188,289.43	103.20	10.00	93.20 70.0 62.24
10/23/2017	QGV002942	ASSOCIATES, F/A/O		VERIZON COMMUNICATIONS	B	71,129.75	58.00	10.00	48.00 70.0 30.60
10/23/2017	QGV002942	ASSOCIATES, F/A/O		XYLEM INC COM	S	-100,327.03	63.00	10.00	53.00 70.0 34.10
10/26/2017	QGV002942	ASSOCIATES, F/A/O		BLACKROCK INC	S	-4,738.05	0.40	10.00	-9.60 70.0 -9.72
10/26/2017	QGV002942	ASSOCIATES, F/A/O		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-6,378.78	3.00	10.00	-7.00 70.0 -7.90
10/26/2017	QGV002942	ASSOCIATES, F/A/O		CITIGROUP INC COM	B	7,412.00	4.00	10.00	-6.00 70.0 -7.20
10/26/2017	QGV002942	ASSOCIATES, F/A/O		FIDELITY NATIONAL FINANCIAL INC	B	9,664.82	10.60	10.00	0.60 70.0 -2.58
10/26/2017	QGV002942	ASSOCIATES, F/A/O		GENERAL MILLS INC	B	37,249.13	28.80	10.00	18.80 70.0 10.16
10/26/2017	QGV002942	ASSOCIATES, F/A/O		PORTLAND GEN ELEC CO COM NEW	S	-5,273.93	4.60	10.00	-5.40 70.0 -6.78
10/26/2017	QGV002942	ASSOCIATES, F/A/O		SONOCO PRODS CO COM	B	6,032.23	4.60	10.00	-5.40 70.0 -6.78
10/26/2017	QGV002942	ASSOCIATES, F/A/O		VERIZON COMMUNICATIONS	B	4,154.55	3.40	10.00	-6.60 70.0 -7.62
10/04/2017	QGV002959	PERSHING LLC		NATUS MEDICAL INC DEL	B	89,365.25	89.60	10.00	79.60 70.0 52.72
10/05/2017	QGV002959	PERSHING LLC		ACI WORLDWIDE INC	B	99,605.55	170.00	10.00	160.00 70.0 109.00
10/06/2017	QGV002959	PERSHING LLC		BLACK KNIGHT INC COMMON STOCK	S	-24,017.63	22.20	10.00	12.20 70.0 5.54
10/06/2017	QGV002959	PERSHING LLC		GENERAL MILLS INC	B	122,267.35	94.80	10.00	84.80 70.0 56.36
10/13/2017	QGV002959	PERSHING LLC		DENBURY RESOURCES INC NEW HOLDING C	S	-313,726.50	2,550.00	10.00	2,540.00 70.0 1,775.00
10/13/2017	QGV002959	PERSHING LLC		FOX FACTORY HOLDING CORP COM	S	-179,986.90	175.20	10.00	165.20 70.0 112.64
10/16/2017	QGV002959	PERSHING LLC		FIDELITY NATIONAL FINANCIAL INC	B	30,378.74	35.20	10.00	25.20 70.0 14.64

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/16/2017	QGV002959	PERSHING LLC		MSA SAFETY INC COM	S	-723,984.47	366.28	10.00	356.28 70.0 246.40
10/18/2017	QGV002959	PERSHING LLC		CITIGROUP INC COM	B	25,246.20	13.80	10.00	3.80 70.0 -0.34
10/20/2017	QGV002959	PERSHING LLC		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-21,975.23	10.40	10.00	0.40 70.0 -2.72
10/20/2017	QGV002959	PERSHING LLC		PORTLAND GEN ELEC CO COM NEW	S	-17,600.47	15.60	10.00	5.60 70.0 0.92
10/20/2017	QGV002959	PERSHING LLC		SONOCO PRODS CO COM	B	19,984.16	15.20	10.00	5.20 70.0 0.64
10/20/2017	QGV002959	PERSHING LLC		VERIZON COMMUNICATIONS	B	13,767.57	11.20	10.00	1.20 70.0 -2.16
10/23/2017	QGV002959	PERSHING LLC		FOX FACTORY HOLDING CORP COM	S	-140,664.11	131.20	10.00	121.20 70.0 81.84
10/26/2017	QGV002959	PERSHING LLC		ABBOTT LABORATORIES	S	-32,226.54	23.20	10.00	13.20 70.0 6.24
10/26/2017	QGV002959	PERSHING LLC		AVANGRID INC COM	S	-26,291.25	20.80	10.00	10.80 70.0 4.56
10/26/2017	QGV002959	PERSHING LLC		BLACKROCK INC	S	-203,736.15	17.20	10.00	7.20 70.0 2.04
10/26/2017	QGV002959	PERSHING LLC		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-243,669.40	114.60	10.00	104.60 70.0 70.22
10/26/2017	QGV002959	PERSHING LLC		CISCO SYS INC	S	-40,993.80	48.00	10.00	38.00 70.0 23.60
10/26/2017	QGV002959	PERSHING LLC		CITIGROUP INC COM	B	341,322.60	184.20	10.00	174.20 70.0 118.94
10/26/2017	QGV002959	PERSHING LLC		DONNELLEY R R & SONS CO COM NEW	S	-4,185.38	8.40	10.00	-1.60 70.0 -4.12
10/26/2017	QGV002959	PERSHING LLC		DOUGLAS DYNAMICS INC COM	S	-32,811.71	31.20	10.00	21.20 70.0 11.84
10/26/2017	QGV002959	PERSHING LLC		EATON CORPORATION PLC	S	-27,050.43	13.60	10.00	3.60 70.0 -0.48
10/26/2017	QGV002959	PERSHING LLC		FIDELITY NATIONAL FINANCIAL INC	B	301,979.88	331.20	10.00	321.20 70.0 221.84
10/26/2017	QGV002959	PERSHING LLC		GENERAL ELECTRIC CO	S	-9,851.22	18.40	10.00	8.40 70.0 2.88
10/26/2017	QGV002959	PERSHING LLC		GENERAL MILLS INC	B	1,218,356.90	942.00	10.00	932.00 70.0 649.40
10/26/2017	QGV002959	PERSHING LLC		HALLIBURTON COMPANY	S	-18,216.88	17.60	10.00	7.60 70.0 2.32
10/26/2017	QGV002959	PERSHING LLC		HORACE MANN EDUCATORS CORP	S	-21,707.00	19.60	10.00	9.60 70.0 3.72
10/26/2017	QGV002959	PERSHING LLC		HUNTINGTON BANCSHARES INC	S	-24,223.41	34.80	10.00	24.80 70.0 14.36
10/26/2017	QGV002959	PERSHING LLC		INTEL CORP	S	-31,048.28	30.40	10.00	20.40 70.0 11.28
10/26/2017	QGV002959	PERSHING LLC		KNOLL INC COM NEW	S	-17,167.88	31.20	10.00	21.20 70.0 11.84
10/26/2017	QGV002959	PERSHING LLC		MARSH & MCLENNAN COMPANIES INC	S	-32,808.04	16.00	10.00	6.00 70.0 1.20
10/26/2017	QGV002959	PERSHING LLC		MCGRATH RENTCORP	S	-35,574.08	31.20	10.00	21.20 70.0 11.84
10/26/2017	QGV002959	PERSHING LLC		MEREDITH CORP	S	-18,371.90	13.60	10.00	3.60 70.0 -0.48
10/26/2017	QGV002959	PERSHING LLC		MICROSOFT CORP	S	-30,049.26	15.20	10.00	5.20 70.0 0.64
10/26/2017	QGV002959	PERSHING LLC		NATIONAL INSTRUMENTS CORP	S	-28,341.18	25.60	10.00	15.60 70.0 7.92
10/26/2017	QGV002959	PERSHING LLC		PACWEST BANCORP	S	-39,033.60	32.00	10.00	22.00 70.0 12.40
10/26/2017	QGV002959	PERSHING LLC		PEBBLEBROOK HOTEL TRUST	S	-34,505.80	39.20	10.00	29.20 70.0 17.44
10/26/2017	QGV002959	PERSHING LLC		PFIZER INCORPORATED	S	-34,476.86	38.40	10.00	28.40 70.0 16.88
10/26/2017	QGV002959	PERSHING LLC		PORTLAND GEN ELEC CO COM NEW	S	-200,180.21	174.60	10.00	164.60 70.0 112.22
10/26/2017	QGV002959	PERSHING LLC		PROCTER & GAMBLE CO	S	-27,891.94	12.80	10.00	2.80 70.0 -1.04
10/26/2017	QGV002959	PERSHING LLC		ROYAL DUTCH SHELL PLC SPONSORED ADR	S	-34,080.53	21.60	10.00	11.60 70.0 5.12
10/26/2017	QGV002959	PERSHING LLC		SONOCO PRODS CO COM	B	166,804.36	127.20	10.00	117.20 70.0 79.04
10/26/2017	QGV002959	PERSHING LLC		TEXAS INSTRUMENTS INCORPORATED	S	-55,674.32	23.20	10.00	13.20 70.0 6.24
10/26/2017	QGV002959	PERSHING LLC		VERIZON COMMUNICATIONS	B	109,729.09	89.80	10.00	79.80 70.0 52.86
10/05/2017	QGV006034	BANK OF AMERICA		ACI WORLDWIDE INC	B	8,202.81	14.00	10.00	4.00 70.0 -0.20
10/06/2017	QGV006034	BANK OF AMERICA		BLACK KNIGHT INC COMMON STOCK	S	-4,111.13	3.80	10.00	-6.20 70.0 -7.34

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/06/2017	QGV006034	BANK OF AMERICA	BLACK KNIGHT INC COMMON STOCK	S	-24,236.25	22.48	10.00	12.48	70.0	5.74
10/06/2017	QGV006034	BANK OF AMERICA	GENERAL MILLS INC	B	21,667.63	16.80	10.00	6.80	70.0	1.76
10/06/2017	QGV006034	BANK OF AMERICA	GENERAL MILLS INC	B	122,753.74	95.20	10.00	85.20	70.0	56.64
10/13/2017	QGV006034	BANK OF AMERICA	FOX FACTORY HOLDING CORP COM	S	-15,615.30	15.20	10.00	5.20	70.0	0.64
10/16/2017	QGV006034	BANK OF AMERICA	FIDELITY NATIONAL FINANCIAL INC	B	31,859.51	36.80	10.00	26.80	70.0	15.76
10/16/2017	QGV006034	BANK OF AMERICA	FIDELITY NATIONAL FINANCIAL INC	B	5,523.41	6.40	10.00	-3.60	70.0	-5.52
10/16/2017	QGV006034	BANK OF AMERICA	MSA SAFETY INC COM	S	-77,086.91	39.00	10.00	29.00	70.0	17.30
10/18/2017	QGV006034	BANK OF AMERICA	CITIGROUP INC COM	B	4,756.53	2.60	10.00	-7.40	70.0	-8.18
10/20/2017	QGV006034	BANK OF AMERICA	BROADRIDGE FINANCIAL SOLUTIONS INC	S	-17,326.62	8.20	10.00	-1.80	70.0	-4.26
10/20/2017	QGV006034	BANK OF AMERICA	PORTLAND GEN ELEC CO COM NEW	S	-22,564.70	20.00	10.00	10.00	70.0	4.00
10/20/2017	QGV006034	BANK OF AMERICA	SONOCO PRODS CO COM	B	3,733.88	2.84	10.00	-7.16	70.0	-8.01
10/20/2017	QGV006034	BANK OF AMERICA	VERIZON COMMUNICATIONS	B	3,196.04	2.60	10.00	-7.40	70.0	-8.18
10/23/2017	QGV006034	BANK OF AMERICA	FOX FACTORY HOLDING CORP COM	S	-12,865.62	12.00	10.00	2.00	70.0	-1.60
* STOCKS SUBTOTAL *					\$1,178,186.13	\$11,091.16	\$1,220.00	\$9,871.16		\$6,543.82

PRODUCT CATEGORY: OPTIONS

10/06/2017	75500360	BOYCE, ANN HAGERTY	PUT C	09/21/18	67.50	S	3,320.00	30.00	10.00	20.00	70.0	11.00
10/11/2017	75500360	BOYCE, ANN HAGERTY	PUT V	03/16/18	110	S	6,000.00	30.00	10.00	20.00	70.0	11.00
10/20/2017	75500360	BOYCE, ANN HAGERTY	PUT BP	06/15/18	40	S	1,675.00	30.00	10.00	20.00	70.0	11.00
10/25/2017	75500360	BOYCE, ANN HAGERTY	PUT GILD	01/19/18	110	B	21,721.00	30.00	10.00	20.00	70.0	11.00
10/25/2017	75500360	BOYCE, ANN HAGERTY	PUT GILD	09/21/18	100	S	22,900.00	40.00	10.00	30.00	70.0	18.00
10/27/2017	75500360	BOYCE, ANN HAGERTY	PUT GOOG	03/16/18	900	B	3,300.00	25.00	10.00	15.00	70.0	7.50
10/27/2017	75500360	BOYCE, ANN HAGERTY	PUT GOOG	06/15/18	860	S	9,549.00	40.00	10.00	30.00	70.0	18.00
10/10/2017	75500361	BOYCE, JOHN C	PUT BIDU	06/15/18	210	B	4,000.00	20.00	10.00	10.00	70.0	4.00
10/10/2017	75500361	BOYCE, JOHN C	PUT BIDU	06/15/18	250	S	10,600.00	20.00	10.00	10.00	70.0	4.00
10/16/2017	75500361	BOYCE, JOHN C	PUT AAOI	01/19/18	95	B	27,500.00	30.00	10.00	20.00	70.0	11.00
10/02/2017	75500366	BOYCE, JOHN C	CALL GDXJ	11/17/17	36	S	1,800.00	40.00	10.00	30.00	70.0	18.00
10/26/2017	75500366	BOYCE, JOHN C	CALL GDXJ	11/17/17	36	B	240.00	20.00	10.00	10.00	70.0	4.00
10/10/2017	75500368	PETR, THOMAS	PUT NVDA	01/19/18	160	B	8,700.00	80.00	10.00	70.00	70.0	46.00
10/10/2017	75500368	PETR, THOMAS	PUT NVDA	06/15/18	190	S	48,800.00	80.00	10.00	70.00	70.0	46.00
10/20/2017	75500370	HUBARD, MARGARET	CALL GE	03/16/18	24	S	492.00	20.00	10.00	10.00	70.0	4.00
10/23/2017	75500385	HUBARD, JOHN	PUT APD	06/15/18	155	S	9,000.00	40.00	10.00	30.00	70.0	18.00
10/23/2017	75500385	HUBARD, JOHN	PUT APD	01/19/18	145	B	1,650.00	40.00	10.00	30.00	70.0	18.00
10/27/2017	75500385	HUBARD, JOHN	PUT GOOG	06/15/18	860	S	6,386.00	30.00	10.00	20.00	70.0	11.00
10/27/2017	75500385	HUBARD, JOHN	PUT GOOG	01/19/18	900	B	1,060.00	30.00	10.00	20.00	70.0	11.00
09/29/2017	75500424	JOHN B HUBARD TTEE	PUT C	09/21/18	67.50	S	7,800.00	50.00	10.00	40.00	70.0	25.00
10/23/2017	75500424	JOHN B HUBARD TTEE	CALL SPY	01/20/17	222	S	7,835.00	40.00	10.00	30.00	70.0	18.00
10/23/2017	75500424	JOHN B HUBARD TTEE	PUT BABA	06/15/18	130	B	1,425.00	40.00	10.00	30.00	70.0	18.00
10/23/2017	75500424	JOHN B HUBARD TTEE	PUT MSFT	09/21/18	80	S	6,590.00	40.00	10.00	30.00	70.0	18.00
10/23/2017	75500424	JOHN B HUBARD TTEE	PUT MSFT	04/20/18	75	B	2,520.00	40.00	10.00	30.00	70.0	18.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/27/2017	75500424	JOHN B HUBARD TTEE	PUT DWDP	01/19/18 67.50	B 920.00	20.00	10.00	10.00	70.0 4.00
10/27/2017	75500424	JOHN B HUBARD TTEE	PUT GOOG	06/15/18 860	B 4,443.00	30.00	10.00	20.00	70.0 11.00
10/27/2017	75500424	JOHN B HUBARD TTEE	PUT GOOG	06/15/18 860	S 18,708.00	40.00	10.00	30.00	70.0 18.00
10/27/2017	75500424	JOHN B HUBARD TTEE	PUT GOOG	01/19/18 900	B 1,095.00	30.00	10.00	20.00	70.0 11.00
10/25/2017	75500443	GOOD, TIMOTHY	PUT ILMN	01/19/18 190	B 2,755.00	40.00	10.00	30.00	70.0 18.00
10/25/2017	75500443	GOOD, TIMOTHY	PUT ILMN	06/15/18 200	S 9,250.00	40.00	10.00	30.00	70.0 18.00
10/25/2017	75500443	GOOD, TIMOTHY	PUT PXD	06/15/18 155	S 20,700.00	40.00	10.00	30.00	70.0 18.00
10/25/2017	75500443	GOOD, TIMOTHY	PUT PXD	01/19/18 190	B 23,675.00	30.00	10.00	20.00	70.0 11.00
10/10/2017	75501338	BOYCE, ROGER F	PUT NVDA	06/15/18 180	S 1,845.00	20.00	10.00	10.00	70.0 4.00
* OPTIONS SUBTOTAL *				\$298,254.00	\$1,175.00	\$330.00	\$845.00		\$492.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

10/10/2017	75500378	CLOUGH, CHARLES EVANS THE INVESTMENT COMPANY OF AMERICA-A B		0.00	47.39	0.00	47.39	70.0	33.17
10/10/2017	75500389	STEM, RICHARD	COLUMBIA FDS SER TR II MASS SELIGMA B	0.00	3.72	0.00	3.72	70.0	2.60
10/10/2017	75500389	STEM, RICHARD	COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	7.73	0.00	7.73	70.0	5.41
10/10/2017	75500394	SKELTON, NANCY	CALAMOS GROWTH FUND CLASS A	B 0.00	21.62	0.00	21.62	70.0	15.13
10/10/2017	75500394	SKELTON, NANCY	NEW WORLD FUND-A	B 0.00	4.94	0.00	4.94	70.0	3.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$85.40	\$0.00	\$85.40		\$59.77
*** BROKER TRANSACTIONS TOTAL ***				\$1,476,440.13	\$12,351.56	\$1,550.00	\$10,801.56		\$7,096.09

ADJUSTMENTS

10/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
10/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,096.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.44
SIPC Assessment	\$-30.88

Check Amount	\$7,021.77
Year-to-date Earnings	\$45,960.35