



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2018

05/30/2018
Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/17/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	350.00	17.50	22.00	-4.50	90.0	0.00
^05/17/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	1,000.00	50.00	22.00	28.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,350.00	\$67.50	\$44.00	\$23.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$1,350.00	\$67.50	\$44.00	\$23.50		\$0.00

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^05/21/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,679.63	43.29	0.00	43.29	90.0	0.00
^04/30/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	52,546.70	43.19	0.00	43.19	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$105,226.33	\$86.48	\$0.00	\$86.48		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^05/14/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	2,000.00	1.63	0.00	1.63	90.0	0.00
^05/14/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	500.00	0.50	0.00	0.50	90.0	0.00
^05/18/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^05/18/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
* 529 PLANS SUBTOTAL *			\$2,600.00	\$5.01	\$0.00	\$5.01		\$0.00

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^05/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^05/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^05/18/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^ / /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^ / /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.39	0.00	0.39	90.0	0.00
^05/18/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^05/18/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^05/18/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,296.75	\$66.50	\$0.00	\$66.50		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/14/2018	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.38	0.00	0.38	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.38	\$0.00	\$0.38		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$109,123.08	\$158.37	\$0.00	\$158.37		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

05/14/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	2,000.00	0.49	0.00	0.49	90.0	0.44
05/14/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	500.00	0.15	0.00	0.15	90.0	0.14
05/18/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
05/18/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,600.00	\$1.50	\$0.00	\$1.50		\$1.36

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

05/17/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	350.00	5.25	6.60	-1.35	90.0	-1.22
05/17/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	1,000.00	15.00	6.60	8.40	90.0	7.56
05/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
05/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
05/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
05/18/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
05/21/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,679.63	12.99	0.00	12.99	90.0	11.69
04/30/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	52,546.70	12.96	0.00	12.96	90.0	11.66
/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.12	0.00	0.12	90.0	0.11
05/18/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
05/18/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
05/18/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
05/14/2018	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$107,873.08	\$66.27	\$13.20	\$53.07		\$47.75

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$110,473.08	\$67.77	\$13.20	\$54.57		\$49.11

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$49.11
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$48.67
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$97.78
Year-to-date Gross	\$685.07
Year-to-date Earnings	\$593.21

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