



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2018**

04/03/2018  
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HERBERT, A123 ALSTON  
4200 Park Blvd  
Suite 154  
Oakland, CA 94602

BROKER #: A123  
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
03/07/2018	BULKIN, CARLETON	FS INVESTMENT CORP IV CLASS T	0.00	176.29	0.00	176.29	75.0	132.22
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$176.29	\$0.00	\$176.29		\$132.22
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$176.29	\$0.00	\$176.29		\$132.22

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$132.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$70.88
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$203.10
Year-to-date Gross	\$9,969.22
Year-to-date Earnings	\$7,387.66

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed