

**COMMISSION STATEMENT****April 30, 2018**

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/18/2018	COPPOLA, PAOLO	TD AMERITRADE	0.00	1,958.92	0.00	1,958.92	100.0	1,958.92
04/18/2018	PAVIS, NANCY	TD AMERITRADE	0.00	139.43	0.00	139.43	100.0	139.43
04/18/2018	PAVIS, NANCY	TD AMERITRADE	0.00	1,961.04	0.00	1,961.04	100.0	1,961.04
* RIA SUBTOTAL *			\$0.00	\$4,059.39	\$0.00	\$4,059.39		\$4,059.39
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$4,059.39	\$0.00	\$4,059.39		\$4,059.39
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
04/13/2018	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	25,810.91	10.75	0.00	10.75	75.0	1.34
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$25,810.91	\$10.75	\$0.00	\$10.75		\$1.34
*** OVERRIDE TRANSACTIONS TOTAL ***			\$25,810.91	\$10.75	\$0.00	\$10.75		\$1.34
<u>ADJUSTMENTS</u>								
04/30/2018		*MANAGED FEE EXPENSE: ACCT. MIN. FEE	0.00					-11.78
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-11.78

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$4,059.39
Split Transactions	\$0.00
Override Transactions	\$1.34
Adjustments	\$-11.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,048.95
Year-to-date Gross	\$8,179.29
Year-to-date Earnings	\$8,455.08