

**COMMISSION STATEMENT****February 28, 2018**

JEFF/JASON, K148 KAUFMAN/KEMBLE

BROKER #: K148

BRANCH: JEFFREY KAUFMAN

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^02/09/2018 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 28.87 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^02/09/2018 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 28.87 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^02/12/2018 | LANGE, ARLEN | AM FUNDS AMERICAN BALANCED A | 800.00 | 40.00 | 0.00 | 40.00 | 100.0 | 0.00 |
| ^02/09/2018 | LUKIC, MIROSLAV | AM FUNDS EUROPACIFIC GROWTH FUND A | 28.28 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^02/09/2018 | LUKIC, MIROSLAV | AM FUNDS EUROPACIFIC GROWTH FUND A | 28.27 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^02/09/2018 | SKELTON, SARAH | AM FUNDS EUROPACIFIC GROWTH FUND A | 61.23 | 0.61 | 0.00 | 0.61 | 100.0 | 0.00 |
| ^02/09/2018 | SKELTON, SARAH | AM FUNDS EUROPACIFIC GROWTH FUND A | 61.23 | 0.61 | 0.00 | 0.61 | 100.0 | 0.00 |
| ^02/09/2018 | MCKENZIE, JADA | AM FUNDS GROWTH FUND OF AMERICA A | 70.45 | 0.70 | 0.00 | 0.70 | 100.0 | 0.00 |
| ^02/09/2018 | MCKENZIE, JADA | AM FUNDS GROWTH FUND OF AMERICA A | 70.45 | 0.70 | 0.00 | 0.70 | 100.0 | 0.00 |
| ^02/09/2018 | CARSON, MATTHEW | AM FUNDS GROWTH FUND OF AMERICA A | 78.09 | 0.78 | 0.00 | 0.78 | 100.0 | 0.00 |
| ^02/09/2018 | CARSON, MATTHEW | AM FUNDS GROWTH FUND OF AMERICA A | 78.09 | 0.78 | 0.00 | 0.78 | 100.0 | 0.00 |
| ^02/09/2018 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 24.00 | 0.24 | 0.00 | 0.24 | 100.0 | 0.00 |
| ^02/09/2018 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 18.00 | 0.18 | 0.00 | 0.18 | 100.0 | 0.00 |
| ^02/09/2018 | MAIDA, KAILEY | AM FUNDS GROWTH FUND OF AMERICA A | 10.00 | 0.10 | 0.00 | 0.10 | 100.0 | 0.00 |
| ^02/09/2018 | MAIDA, KAILEY | AM FUNDS GROWTH FUND OF AMERICA A | 7.35 | 0.07 | 0.00 | 0.07 | 100.0 | 0.00 |
| ^02/09/2018 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 76.69 | 0.77 | 0.00 | 0.77 | 100.0 | 0.00 |
| ^02/09/2018 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 76.69 | 0.77 | 0.00 | 0.77 | 100.0 | 0.00 |
| ^02/09/2018 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.70 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |
| ^02/09/2018 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.70 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |
| ^02/09/2018 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 29.13 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^02/09/2018 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 29.13 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^02/09/2018 | CARTER, NICKOLAS | AM FUNDS GROWTH FUND OF AMERICA A | 11.75 | 0.12 | 0.00 | 0.12 | 100.0 | 0.00 |
| ^02/09/2018 | BOEDECKER, SUZANNE | AM FUNDS GROWTH FUND OF AMERICA A | 56.93 | 0.57 | 0.00 | 0.57 | 100.0 | 0.00 |
| ^02/09/2018 | BOEDECKER, SUZANNE | AM FUNDS GROWTH FUND OF AMERICA A | 56.93 | 0.57 | 0.00 | 0.57 | 100.0 | 0.00 |
| ^02/09/2018 | BERGH, AMELIA | AM FUNDS GROWTH FUND OF AMERICA A | 53.93 | 0.54 | 0.00 | 0.54 | 100.0 | 0.00 |
| ^02/09/2018 | BERGH, AMELIA | AM FUNDS GROWTH FUND OF AMERICA A | 53.93 | 0.54 | 0.00 | 0.54 | 100.0 | 0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^02/09/2018 | WILBANKS, DAVID | AM FUNDS GROWTH FUND OF AMERICA A | 79.86 | 0.80 | 0.00 | 0.80 | 100.0 | 0.00 |
| ^02/09/2018 | WILBANKS, DAVID | AM FUNDS GROWTH FUND OF AMERICA A | 79.86 | 0.80 | 0.00 | 0.80 | 100.0 | 0.00 |
| ^02/09/2018 | YARICK, ABIGAIL | AM FUNDS GROWTH FUND OF AMERICA A | 45.68 | 0.46 | 0.00 | 0.46 | 100.0 | 0.00 |
| ^02/09/2018 | YARICK, ABIGAIL | AM FUNDS GROWTH FUND OF AMERICA A | 45.68 | 0.46 | 0.00 | 0.46 | 100.0 | 0.00 |
| ^02/09/2018 | SHIELDS, DANA | AM FUNDS GROWTH FUND OF AMERICA A | 101.21 | 1.01 | 0.00 | 1.01 | 100.0 | 0.00 |
| ^02/09/2018 | SHIELDS, DANA | AM FUNDS GROWTH FUND OF AMERICA A | 43.38 | 0.43 | 0.00 | 0.43 | 100.0 | 0.00 |
| ^02/09/2018 | PINTOR, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 11.47 | 0.11 | 0.00 | 0.11 | 100.0 | 0.00 |
| ^02/09/2018 | PINTOR, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 11.47 | 0.11 | 0.00 | 0.11 | 100.0 | 0.00 |
| ^02/09/2018 | PANHORST, ELIZABETH | AM FUNDS GROWTH FUND OF AMERICA A | 53.56 | 0.54 | 0.00 | 0.54 | 100.0 | 0.00 |
| ^02/09/2018 | PANHORST, ELIZABETH | AM FUNDS GROWTH FUND OF AMERICA A | 53.56 | 0.54 | 0.00 | 0.54 | 100.0 | 0.00 |
| ^02/09/2018 | HELMANDOLLAR, ARIC | AM FUNDS GROWTH FUND OF AMERICA A | 13.46 | 0.13 | 0.00 | 0.13 | 100.0 | 0.00 |
| ^02/09/2018 | HELMANDOLLAR, ARIC | AM FUNDS GROWTH FUND OF AMERICA A | 13.46 | 0.13 | 0.00 | 0.13 | 100.0 | 0.00 |
| ^02/09/2018 | DIXON, CAITLIN | AM FUNDS GROWTH FUND OF AMERICA A | 31.20 | 0.31 | 0.00 | 0.31 | 100.0 | 0.00 |
| ^02/09/2018 | DIXON, CAITLIN | AM FUNDS GROWTH FUND OF AMERICA A | 31.20 | 0.31 | 0.00 | 0.31 | 100.0 | 0.00 |
| ^02/09/2018 | JOHNSON, CHADRIC | AM FUNDS GROWTH FUND OF AMERICA A | 51.72 | 0.52 | 0.00 | 0.52 | 100.0 | 0.00 |
| ^02/09/2018 | JOHNSON, CHADRIC | AM FUNDS GROWTH FUND OF AMERICA A | 51.72 | 0.52 | 0.00 | 0.52 | 100.0 | 0.00 |
| ^02/09/2018 | OLIVER, ASHLEY | AM FUNDS GROWTH FUND OF AMERICA A | 58.44 | 0.58 | 0.00 | 0.58 | 100.0 | 0.00 |
| ^02/09/2018 | OLIVER, ASHLEY | AM FUNDS GROWTH FUND OF AMERICA A | 58.44 | 0.58 | 0.00 | 0.58 | 100.0 | 0.00 |
| ^02/09/2018 | FAGAN, CALEB | AM FUNDS GROWTH FUND OF AMERICA A | 15.54 | 0.16 | 0.00 | 0.16 | 100.0 | 0.00 |
| ^02/09/2018 | FAGAN, CALEB | AM FUNDS GROWTH FUND OF AMERICA A | 15.54 | 0.16 | 0.00 | 0.16 | 100.0 | 0.00 |
| ^02/12/2018 | WHEAT, BRYAN | AM FUNDS GROWTH FUND OF AMERICA A | 229.00 | 11.45 | 0.00 | 11.45 | 100.0 | 0.00 |
| ^02/13/2018 | HEASTON, JENNALEA | AM FUNDS GROWTH FUND OF AMERICA A | 9.14 | 0.46 | 0.00 | 0.46 | 100.0 | 0.00 |
| ^02/13/2018 | HEASTON, JENNALEA | AM FUNDS GROWTH FUND OF AMERICA A | 9.14 | 0.46 | 0.00 | 0.46 | 100.0 | 0.00 |
| ^02/13/2018 | GUTIERREZ, GRISELDA | AM FUNDS GROWTH FUND OF AMERICA A | 26.16 | 1.31 | 0.00 | 1.31 | 100.0 | 0.00 |
| ^02/13/2018 | GUTIERREZ, GRISELDA | AM FUNDS GROWTH FUND OF AMERICA A | 26.15 | 1.31 | 0.00 | 1.31 | 100.0 | 0.00 |
| ^02/15/2018 | MCNERNEY, DYLAN | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^02/15/2018 | MCNERNEY, DASAN | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 5.00 | 0.00 | 5.00 | 100.0 | 0.00 |
| ^02/09/2018 | CULLEY, TRACY | AM FUNDS GROWTH PORT A | 209.76 | 2.10 | 0.00 | 2.10 | 100.0 | 0.00 |
| ^02/09/2018 | CULLEY, TRACY | AM FUNDS GROWTH PORT A | 62.93 | 0.63 | 0.00 | 0.63 | 100.0 | 0.00 |
| ^02/09/2018 | ZELLMER, RIANNON | AM FUNDS ICA A | 14.11 | 0.14 | 0.00 | 0.14 | 100.0 | 0.00 |
| ^02/09/2018 | ZELLMER, RIANNON | AM FUNDS ICA A | 14.11 | 0.14 | 0.00 | 0.14 | 100.0 | 0.00 |
| ^02/09/2018 | WAHLIG, KACEY | AM FUNDS ICA A | 28.87 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |
| ^02/09/2018 | WAHLIG, KACEY | AM FUNDS ICA A | 28.87 | 0.29 | 0.00 | 0.29 | 100.0 | 0.00 |

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|--|----------------------|----------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^02/09/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.91 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^02/09/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.91 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^02/05/2018 | MCKENZIE, JADA | AM FUNDS NEW ECONOMY A | 60.00 | 3.00 | 0.00 | 3.00 | 100.0 | 0.00 |
| ^02/09/2018 | POLSON, CHRISTOPHER | AM FUNDS NEW ECONOMY A | 41.93 | 0.42 | 0.00 | 0.42 | 100.0 | 0.00 |
| ^02/09/2018 | POLSON, CHRISTOPHER | AM FUNDS NEW ECONOMY A | 41.93 | 0.42 | 0.00 | 0.42 | 100.0 | 0.00 |
| ^02/09/2018 | MCNERNEY, DAVID | AM FUNDS NEW PERSPECTIVE A | 1,000.00 | 10.00 | 0.00 | 10.00 | 100.0 | 0.00 |
| ^02/09/2018 | MCNERNEY, DAVID | AM FUNDS NEW PERSPECTIVE A | 123.00 | 1.23 | 0.00 | 1.23 | 100.0 | 0.00 |
| ^02/09/2018 | AHMED, OMAR | AM FUNDS NEW PERSPECTIVE A | 47.93 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^02/09/2018 | AHMED, OMAR | AM FUNDS NEW PERSPECTIVE A | 47.93 | 0.48 | 0.00 | 0.48 | 100.0 | 0.00 |
| ^02/09/2018 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 18.00 | 0.18 | 0.00 | 0.18 | 100.0 | 0.00 |
| ^02/09/2018 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 24.00 | 0.24 | 0.00 | 0.24 | 100.0 | 0.00 |
| ^02/09/2018 | STIDHAM, AUSTIN | AM FUNDS NEW WORLD A | 50.93 | 0.51 | 0.00 | 0.51 | 100.0 | 0.00 |
| ^02/09/2018 | STIDHAM, AUSTIN | AM FUNDS NEW WORLD A | 84.88 | 0.85 | 0.00 | 0.85 | 100.0 | 0.00 |
| ^02/09/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.91 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^02/09/2018 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.91 | 0.19 | 0.00 | 0.19 | 100.0 | 0.00 |
| ^02/09/2018 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 28.27 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^02/09/2018 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 28.28 | 0.28 | 0.00 | 0.28 | 100.0 | 0.00 |
| ^02/12/2018 | LANGE, ARLEN | AM FUNDS SMALLCAP WORLD A | 200.00 | 10.00 | 0.00 | 10.00 | 100.0 | 0.00 |
| ^02/15/2018 | DOOLEY, BRUCE | AM FUNDS SMALLCAP WORLD A | 50.00 | 2.50 | 0.00 | 2.50 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$5,509.10 | \$119.48 | \$0.00 | \$119.48 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$5,509.10 | \$119.48 | \$0.00 | \$119.48 | | \$0.00 |

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BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |