



Concorde Asset Management

10/02/2017

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COMMISSION STATEMENT

September 30, 2017

JOSEPH (TERRY) MANN
219 E. 31st Street

BROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	11,698.31	14.74	0.00	14.74	91.0	13.41
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	4,608.04	5.81	0.00	5.81	91.0	5.29
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	9,518.13	12.00	0.00	12.00	91.0	10.92
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	2,406.08	3.03	0.00	3.03	91.0	2.76
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	2,265.09	2.85	0.00	2.85	91.0	2.59
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	1,068.09	1.35	0.00	1.35	91.0	1.23
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	14,498.67	18.27	0.00	18.27	91.0	16.63
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	17,458.65	22.00	0.00	22.00	91.0	20.02
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	1,068.09	1.35	0.00	1.35	91.0	1.23
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	631.77	0.80	0.00	0.80	91.0	0.73
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	4,154.97	5.24	0.00	5.24	91.0	4.77
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	4,403.83	5.55	0.00	5.55	91.0	5.05
09/15/2017	ARCHER, THOMAS	AMERICAN FUNDS	5,788.99	7.30	0.00	7.30	91.0	6.64
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	6,439.65	8.12	0.00	8.12	91.0	7.39
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	1,324.18	1.67	0.00	1.67	91.0	1.52
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	2,408.19	3.03	0.00	3.03	91.0	2.76
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	5,216.90	6.57	0.00	6.57	91.0	5.98
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	1,406.86	1.77	0.00	1.77	91.0	1.61
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	1,370.20	1.73	0.00	1.73	91.0	1.57
09/15/2017	BLANCHARD, MALCOLM	AMERICAN FUNDS	315.05	0.40	0.00	0.40	91.0	0.36
09/15/2017	COX, DENISE	AMERICAN FUNDS	1,761.09	2.22	0.00	2.22	91.0	2.02
09/15/2017	COX, DENISE	AMERICAN FUNDS	1,716.24	2.16	0.00	2.16	91.0	1.97
09/15/2017	COX, DENISE	AMERICAN FUNDS	1,667.75	2.10	0.00	2.10	91.0	1.91
09/15/2017	COX, DENISE	AMERICAN FUNDS	1,811.95	2.28	0.00	2.28	91.0	2.07
09/15/2017	COX, DENISE	AMERICAN FUNDS	1,765.02	2.22	0.00	2.22	91.0	2.02
09/15/2017	CUMMINGS, KRYSTA	AMERICAN FUNDS	27.35	0.03	0.00	0.03	91.0	0.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
09/15/2017	DABBS, LILY	AMERICAN FUNDS	806.53	1.02	0.00	1.02	91.0	0.93
09/15/2017	FUTTERMAN, ROBERT	AMERICAN FUNDS	4,660.78	5.87	0.00	5.87	91.0	5.34
09/15/2017	FUTTERMAN, ROBERT	AMERICAN FUNDS	3,824.50	4.82	0.00	4.82	91.0	4.39
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,582.12	5.77	0.00	5.77	91.0	5.25
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,873.14	6.14	0.00	6.14	91.0	5.59
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,791.55	6.04	0.00	6.04	91.0	5.50
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	8,819.20	11.11	0.00	11.11	91.0	10.11
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,397.01	5.54	0.00	5.54	91.0	5.04
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	9,091.79	11.46	0.00	11.46	91.0	10.43
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,456.59	1.84	0.00	1.84	91.0	1.67
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,428.54	5.58	0.00	5.58	91.0	5.08
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,436.61	4.33	0.00	4.33	91.0	3.94
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	238.55	0.30	0.00	0.30	91.0	0.27
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,242.96	4.09	0.00	4.09	91.0	3.72
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,536.90	4.46	0.00	4.46	91.0	4.06
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	698.30	0.88	0.00	0.88	91.0	0.80
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,342.15	1.69	0.00	1.69	91.0	1.54
09/15/2017	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,440.36	1.82	0.00	1.82	91.0	1.66
09/15/2017	GIBBONS, HEATHER	AMERICAN FUNDS	17,642.22	22.23	0.00	22.23	91.0	20.23
09/15/2017	HEALEY, ASHLEY	AMERICAN FUNDS	246.88	0.31	0.00	0.31	91.0	0.28
09/15/2017	HEALEY, BRIAN	AMERICAN FUNDS	1,104.12	1.39	0.00	1.39	91.0	1.26
09/15/2017	HEALEY, BRIAN	AMERICAN FUNDS	994.73	1.25	0.00	1.25	91.0	1.14
09/15/2017	HEALEY, VAUGHN	AMERICAN FUNDS	246.88	0.31	0.00	0.31	91.0	0.28
09/15/2017	HEALEY, WENDY	AMERICAN FUNDS	338.45	0.43	0.00	0.43	91.0	0.39
09/15/2017	HEALEY, WENDY	AMERICAN FUNDS	480.29	0.61	0.00	0.61	91.0	0.56
09/15/2017	HEALEY, WENDY	AMERICAN FUNDS	568.87	0.72	0.00	0.72	91.0	0.66
09/15/2017	NEUHAUSER, MITCHELL	AMERICAN FUNDS	1,371.39	1.73	0.00	1.73	91.0	1.57
09/15/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS	4,437.20	5.59	0.00	5.59	91.0	5.09
09/15/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS	3,241.56	4.09	0.00	4.09	91.0	3.72
09/15/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS	3,000.88	3.78	0.00	3.78	91.0	3.44
09/15/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS	4,684.28	5.90	0.00	5.90	91.0	5.37
09/15/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS	74.57	0.09	0.00	0.09	91.0	0.08
09/15/2017	NEUHAUSER, JOSEPH	AMERICAN FUNDS	416.29	0.52	0.00	0.52	91.0	0.47

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
09/15/2017	POHLMANN, STEVEN	AMERICAN FUNDS	1,248.48	1.57	0.00	1.57	91.0	1.43
09/15/2017	VOGEL, ROBERT	AMERICAN FUNDS	376.39	0.47	0.00	0.47	91.0	0.43
09/14/2017	GALLAGHER, EMILY	TD AMERITRADE	28,311.98	70.59	0.00	70.59	91.0	64.24
09/14/2017	PAI, YOGISH	TD AMERITRADE	545,101.03	1,359.01	0.00	1,359.01	91.0	1,236.70
09/14/2017	TROWBRIDGE, SCOTT	TD AMERITRADE	100,007.93	249.33	0.00	249.33	91.0	226.89
09/14/2017	TROWBRIDGE, SCOTT	TD AMERITRADE	174,680.79	435.51	0.00	435.51	91.0	396.31
09/14/2017	TROWBRIDGE, JANE	TD AMERITRADE	91,051.72	227.01	0.00	227.01	91.0	206.58
09/14/2017	TROWBRIDGE, SCOTT	TD AMERITRADE	66,498.21	165.79	0.00	165.79	91.0	150.87
09/14/2017	SINGH, RIGINIE	TD AMERITRADE	116,357.48	256.40	0.00	256.40	91.0	233.32
09/14/2017	VERMA, ANAND	TD AMERITRADE	376,233.31	914.20	0.00	914.20	91.0	831.92
* RIA SUBTOTAL *			\$1,711,182.65	\$3,946.18	\$0.00	\$3,946.18		\$3,591.03
*** BROKER TRANSACTIONS TOTAL ***			\$1,711,182.65	\$3,946.18	\$0.00	\$3,946.18		\$3,591.03

BROKER COMMISSION TOTALS

Broker Transactions	\$3,591.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,591.03
Year-to-date Gross	\$177,940.52
Year-to-date Earnings	\$161,925.97

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