



Concorde Investment Services, LLC

01/12/2018

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# COMMISSION STATEMENT

January 15, 2018

BARTHOLOMEW MACKLER  
1359 W. Fairview Lane

BROKER #: M121 Terminated 11/24/2017  
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
01/02/2018	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
		<b>* 529 PLANS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$2.50</b>	<b>\$0.00</b>	<b>\$2.50</b>		<b>\$2.25</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/11/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	34.42	0.00	34.42	90.0	30.98
12/11/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.19	0.00	13.19	90.0	11.87
12/11/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.27	0.00	19.27	90.0	17.34
12/11/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.79	0.00	10.79	90.0	9.71
12/11/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.24	0.00	6.24	90.0	5.62
12/11/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.61	0.00	5.61	90.0	5.05
12/11/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.60	0.00	45.60	90.0	41.04
12/11/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.50	0.00	5.50	90.0	4.95
12/11/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.40	0.00	3.40	90.0	3.06
12/11/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.68	0.00	4.68	90.0	4.21
12/11/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.33	0.00	2.33	90.0	2.10
12/11/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.88	0.00	1.88	90.0	1.69
12/11/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.40	0.00	1.40	90.0	1.26
12/11/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.11	0.00	0.11	90.0	0.10
12/11/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	90.0	0.08
12/11/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.06	0.00	0.06	90.0	0.05
12/11/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.82	0.00	4.82	90.0	4.34
12/11/2017	JOHNSON, WILLIAM	FEDERATED CAPITAL INCOME B	0.00	0.01	0.00	0.01	90.0	0.01
12/11/2017	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.07	0.00	4.07	90.0	3.66
12/11/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.80	0.00	0.80	90.0	0.72
12/11/2017	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.84	0.00	2.84	90.0	2.56
12/11/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.58	0.00	3.58	90.0	3.22
12/11/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	90.0	0.08

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/11/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.71	0.00	0.71	90.0	0.64
12/11/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.44	0.00	0.44	90.0	0.40
12/11/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.92	0.00	0.92	90.0	0.83
12/11/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.78	0.00	0.78	90.0	0.70
12/11/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.29	0.00	1.29	90.0	1.16
12/11/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.97	0.00	0.97	90.0	0.87
12/11/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.25	0.00	7.25	90.0	6.53
12/11/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.36	0.00	5.36	90.0	4.82
12/11/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.65	0.00	6.65	90.0	5.99
12/11/2017	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.67	0.00	1.67	90.0	1.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$196.82</b>	<b>\$0.00</b>	<b>\$196.82</b>		<b>\$177.14</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/06/2017	WHITNEY, PATRICIA	CRACKER BARREL OLD COUNTRY STORE IN	1,145.97	22.00	14.00	8.00	90.0	7.20
12/22/2017	GARBO, PAULETTE	CYTOSORBENTS CORPORATION	4,163.13	22.00	14.00	8.00	90.0	7.20
<b>* STOCKS SUBTOTAL *</b>			<b>\$5,309.10</b>	<b>\$44.00</b>	<b>\$28.00</b>	<b>\$16.00</b>		<b>\$14.40</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/29/2017	MEDIODIA, GIL	ALLIANZ ALTERITY OPTIONS	30,027.26	37.53	0.00	37.53	90.0	33.78
12/31/2017	DAMRON, ROBERT	JNL PERSPECTIVE	297,150.26	61.91	0.00	61.91	90.0	55.72
12/31/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,745.32	0.36	0.00	0.36	90.0	0.32
12/31/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,875.66	1.85	0.00	1.85	90.0	1.67
12/31/2017	ROHLOFF, CARL	JNL PERSPECTIVE	15,787.97	3.29	0.00	3.29	90.0	2.96
12/31/2017	SCHNELL, JAMES	JNL PERSPECTIVE	5,107.34	2.13	0.00	2.13	90.0	1.92
12/31/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	24,150.07	10.06	0.00	10.06	90.0	9.05
12/31/2017	RYAN, NANCY	JNL PERSPECTIVE L	63,349.20	52.79	0.00	52.79	90.0	47.51
12/31/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	51,544.72	42.95	0.00	42.95	90.0	38.66
12/31/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	39,837.31	33.20	0.00	33.20	90.0	29.88
12/31/2017	O'BRIEN, ROBERT	PRU AS ADVISOR PLAN III	103,392.63	64.62	0.00	64.62	90.0	58.16
12/31/2017	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,345.47	18.97	0.00	18.97	90.0	17.07
12/31/2017	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	30,345.47	18.97	0.00	18.97	90.0	17.07
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$701,658.68</b>	<b>\$348.63</b>	<b>\$0.00</b>	<b>\$348.63</b>		<b>\$313.77</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	BORR, DIANE	OPPENHEIMER EQUITY INCOME A	0.00	22.79	0.00	22.79	90.0	20.51
/ /	LOWELL, CATHY	OPPENHEIMER GLOBAL A	0.00	9.75	0.00	9.75	90.0	8.78
/ /	GAFFNEY, PAUL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.59	0.00	0.59	90.0	0.53
/ /	MAPLEY, CRISSELLA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.27	0.00	5.27	90.0	4.74
/ /	WAGNER, CHARLES	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	8.03	0.00	8.03	90.0	7.23
/ /	BORR, RUDOLPH	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.96	0.00	4.96	90.0	4.46
/ /	BORR, DIANE	OPPENHEIMER INTL DIVERSIFIED A	0.00	13.20	0.00	13.20	90.0	11.88
/ /	GAFFNEY, PAUL	OPPENHEIMER MAIN STREET A	0.00	3.58	0.00	3.58	90.0	3.22
/ /	CHATTULANI, DIANA	OPPENHEIMER MAIN STREET A	0.00	13.31	0.00	13.31	90.0	11.98
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$81.48</b>	<b>\$0.00</b>	<b>\$81.48</b>		<b>\$73.33</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/26/2017	WAGNER, CHARLES	PAC LIFE	0.00	161.57	0.00	161.57	90.0	145.41
12/19/2017	BRAMER, GEORGE	PAC LIFE PORTFOLIOS	0.00	73.41	0.00	73.41	90.0	66.07
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$234.98</b>	<b>\$0.00</b>	<b>\$234.98</b>		<b>\$211.48</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$707,017.78</b>	<b>\$908.41</b>	<b>\$28.00</b>	<b>\$880.41</b>		<b>\$792.37</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$792.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$792.37</b>
<b>Year-to-date Gross</b>	<b>\$908.41</b>
<b>Year-to-date Earnings</b>	<b>\$792.37</b>

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