

**COMMISSION STATEMENT****February 15, 2018**

FRANK, D116 DUNN
56328 Fireglass Loop
P.O. Box 3628
Bend, OR 97701

BROKER #: D116
BRANCH: FRANK DUNN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: INTERVAL FUNDS</u> | | | | | | | | |
| 12/31/2017 | SCARLATO, MARY | GRIFFIN INST'L ACCESS R E FUND | 48,120.48 | 10.22 | 0.00 | 10.22 | 90.0 | 9.20 |
| 12/31/2017 | BRENT, MARY | GRIFFIN INST'L ACCESS R E FUND C | 48,052.98 | 10.20 | 0.00 | 10.20 | 90.0 | 9.18 |
| * INTERVAL FUNDS SUBTOTAL * | | | \$96,173.46 | \$20.42 | \$0.00 | \$20.42 | | \$18.38 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$96,173.46 | \$20.42 | \$0.00 | \$20.42 | | \$18.38 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 02/15/2018 | | *E & O INSURANCE: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 02/15/2018 | | *FINRA: S65 EXAM | 0.00 | | | | | -175.00 |
| 02/15/2018 | | *TECH-BASIC: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-750.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$18.38 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-750.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------------|
| Balance Forward | \$-731.62 |
| Year-to-date Gross | \$101,075.65 |
| Year-to-date Earnings | \$90,968.09 |