

COMMISSION STATEMENT

July 31, 2018

 REYNALDO BATISTA-073300
 3207 Fitzgerald Drive

 BROKER #: D367
 BRANCH: D12

Montgomery, TX 77356

COMMISSION STATEMENT for REYNALDO BATISTA-073300

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/26/2018 430551797	KOLLAJA, KEITH	MF FEDERATED GOVERNMENT	S	-142.74	0.00	5.00	-5.00	90.0	-4.50
07/26/2018 430551797	KOLLAJA, KEITH	MF PRUDENTIAL SHORT TERM CORP BD	S	-434.58	0.00	5.00	-5.00	90.0	-4.50
07/26/2018 430551797	KOLLAJA, KEITH	MF PRUDENTIAL TOTAL RETURN BD Q	S	-553.88	0.00	5.00	-5.00	90.0	-4.50
07/26/2018 800401215	WISCHNEWSKY JR TOD,	MF AMERICAN BALANCED FUND-A	S	-9,758.00	0.00	5.00	-5.00	90.0	-4.50
07/26/2018 800401215	WISCHNEWSKY JR TOD,	MF CAPITAL WORLD GROWTH AND INCOME	S	-11,471.71	0.00	5.00	-5.00	90.0	-4.50
07/26/2018 800401215	WISCHNEWSKY JR TOD,	MF THE INVESTMENT COMPANY OF	S	-11,138.17	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-33,499.08	\$0.00	\$30.00	\$-30.00		\$-27.00
PRODUCT CATEGORY: STOCKS									
07/26/2018 430551797	KOLLAJA, KEITH	S FACEBOOK INC-CLASS A	B	1,125.08	60.00	15.00	45.00	90.0	40.50
07/26/2018 646118651	RITTIERODT, DAVID	S FACEBOOK INC-CLASS A	B	7,922.89	141.68	15.00	126.68	90.0	114.01
07/26/2018 646118651	RITTIERODT, DAVID	S UNION PACIFIC CORPORATION	S	-5,066.82	95.61	15.00	80.61	90.0	72.55
07/26/2018 800401215	WISCHNEWSKY JR TOD,	S FACEBOOK INC-CLASS A	B	28,881.53	405.54	15.00	390.54	90.0	351.49
* STOCKS SUBTOTAL *				\$32,862.68	\$702.83	\$60.00	\$642.83		\$578.55
PRODUCT CATEGORY: MISCELLANEOUS									
07/27/2018 a03073300	VARIOUS,	MI MUTUAL FUND MISC FEE 800401215	S	0.00	5.00	0.00	5.00	90.0	4.50
07/27/2018 a03073300	VARIOUS,	MI MUTUAL FUND MISC FEE 800401215	S	0.00	5.00	0.00	5.00	90.0	4.50
07/27/2018 a03073300	VARIOUS,	MI MUTUAL FUND MISC FEE 800401215	S	0.00	5.00	0.00	5.00	90.0	4.50
07/27/2018 a03073300	VARIOUS,	MI MUTUAL FUND MISC FEE 430551797	S	0.00	5.00	0.00	5.00	90.0	4.50
07/27/2018 a03073300	VARIOUS,	MI MUTUAL FUND MISC FEE 430551797	S	0.00	5.00	0.00	5.00	90.0	4.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$25.00	\$0.00	\$25.00		\$22.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/23/2018 mfc073300	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.40	0.00	0.40	90.0	0.36
07/23/2018 mfc073300	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	1.20	0.00	1.20	90.0	1.08
07/23/2018 mfc073300	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	1.55	0.00	1.55	90.0	1.40
07/23/2018 mfc073300	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	1.97	0.00	1.97	90.0	1.77
07/23/2018 mfc073300	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	10.55	0.00	10.55	90.0	9.50
07/23/2018 mfc073300	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.95	0.00	3.95	90.0	3.56
07/23/2018 mfc073300	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.35	0.00	4.35	90.0	3.92
07/23/2018 mfc073300	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.79	0.00	4.79	90.0	4.31

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07/23/2018	mfc073300	VARIOUS, MFT CAPITAL INCOME BUILDER-A	B	0.00	6.84	0.00	6.84	90.0	6.16
07/23/2018	mfc073300	VARIOUS, MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.34	0.00	2.34	90.0	2.11
07/23/2018	mfc073300	VARIOUS, MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.15	0.00	0.15	90.0	0.14
07/23/2018	mfc073300	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	1.58	0.00	1.58	90.0	1.42
07/23/2018	mfc073300	VARIOUS, MFT THE BOND FUND OF AMERICA-A	B	0.00	5.39	0.00	5.39	90.0	4.85
07/23/2018	mfc073300	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30	90.0	0.27
07/23/2018	mfc073300	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.91	0.00	0.91	90.0	0.82
07/23/2018	mfc073300	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.64	0.00	3.64	90.0	3.28
07/23/2018	mfc073300	VARIOUS, MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.35	0.00	6.35	90.0	5.72
07/23/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	0.44	0.00	0.44	90.0	0.40
07/23/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	1.36	0.00	1.36	90.0	1.22
07/23/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	2.15	0.00	2.15	90.0	1.94
07/23/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	2.23	0.00	2.23	90.0	2.01
07/23/2018	mfc073300	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	2.70	0.00	2.70	90.0	2.43
07/23/2018	mfc073300	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.60	0.00	3.60	90.0	3.24
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$68.74	\$0.00	\$68.74		\$61.91
*** CLEARING TRANSACTIONS TOTAL ***				\$-636.40	\$796.57	\$90.00	\$706.57		\$635.96

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$635.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-65.90
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$385.06
Year-to-date Commissions	\$6,355.81