



All Companies

05/30/2018

Page No. 1

COMMISSION STATEMENT

June 01, 2018

JOHN HOWARD RUSSELL
4618 118TH STREET

BROKER #: 9632
BRANCH: HOME OFFICE

Lubbock, TX 79424-

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/15/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	55.10	0.66	0.00	0.66	85.0	0.56
05/15/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	55.10	0.66	0.00	0.66	85.0	0.56
05/15/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	23.61	0.28	0.00	0.28	85.0	0.24
05/15/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	23.60	0.28	0.00	0.28	85.0	0.24
05/15/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	39.35	0.47	0.00	0.47	85.0	0.40
05/15/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	39.36	0.47	0.00	0.47	85.0	0.40
05/15/2018	00062722452	POFF, RICKY L	GLOBAL BALANCED FUND-A	B	39.36	0.47	0.00	0.47	85.0	0.40
05/15/2018	00062722452	POFF, RICKY L	GLOBAL BALANCED FUND-A	B	39.36	0.47	0.00	0.47	85.0	0.40
05/15/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	2.40	0.00	2.40	85.0	2.04
05/15/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	84.00	1.01	0.00	1.01	85.0	0.86
05/15/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	B	200.00	2.40	0.00	2.40	85.0	2.04
05/15/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	B	84.00	1.01	0.00	1.01	85.0	0.86
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	156.68	1.88	0.00	1.88	85.0	1.60
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	156.68	1.88	0.00	1.88	85.0	1.60
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	156.68	1.88	0.00	1.88	85.0	1.60
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	156.68	1.88	0.00	1.88	85.0	1.60
05/15/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	161.43	1.94	0.00	1.94	85.0	1.65
05/15/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	161.43	1.94	0.00	1.94	85.0	1.65
05/15/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
05/17/2018	00073404574	SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
05/14/2018	00073552220	BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	2.81	0.00	2.81	85.0	2.39
05/14/2018	00073552220	BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	2.81	0.00	2.81	85.0	2.39
05/17/2018	00074657443	SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
05/15/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	101.77	1.22	0.00	1.22	85.0	1.04
05/15/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	101.77	1.22	0.00	1.22	85.0	1.04
05/15/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD	B	40.71	0.49	0.00	0.49	85.0	0.42

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
D									
05/15/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD B		40.71	0.49	0.00	0.49	85.0	0.42
D									
05/15/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD GROWT B		61.06	0.73	0.00	0.73	85.0	0.62
D									
05/15/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD GROWT B		61.06	0.73	0.00	0.73	85.0	0.62
D									
05/15/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD B		119.92	1.44	0.00	1.44	85.0	1.22
05/15/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD B		35.98	0.43	0.00	0.43	85.0	0.37
05/15/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		89.95	1.08	0.00	1.08	85.0	0.92
05/15/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		26.98	0.32	0.00	0.32	85.0	0.27
05/15/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		89.95	1.08	0.00	1.08	85.0	0.92
05/15/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		26.99	0.32	0.00	0.32	85.0	0.27
05/10/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		201.68	4.03	0.00	4.03	85.0	3.43
05/10/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		403.36	8.07	0.00	8.07	85.0	6.86
05/10/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		81.96	1.64	0.00	1.64	85.0	1.39
05/10/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		245.86	4.92	0.00	4.92	85.0	4.18
05/10/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		147.51	2.95	0.00	2.95	85.0	2.51
05/10/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		442.56	8.85	0.00	8.85	85.0	7.52
05/10/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		98.35	1.97	0.00	1.97	85.0	1.67
05/10/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		295.04	5.90	0.00	5.90	85.0	5.02
05/10/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		80.00	1.60	0.00	1.60	85.0	1.36
05/10/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		33.99	0.68	0.00	0.68	85.0	0.58
05/10/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		80.00	1.60	0.00	1.60	85.0	1.36
05/10/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		33.99	0.68	0.00	0.68	85.0	0.58
05/10/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		52.82	1.06	0.00	1.06	85.0	0.90
05/10/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		52.82	1.06	0.00	1.06	85.0	0.90
05/10/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B		45.51	0.91	0.00	0.91	85.0	0.77
R									
05/10/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B		113.79	2.28	0.00	2.28	85.0	1.94
R									
05/10/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B		136.55	2.73	0.00	2.73	85.0	2.32
R									
05/10/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B		341.36	6.83	0.00	6.83	85.0	5.81
R									
05/10/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B		150.55	3.01	0.00	3.01	85.0	2.56
05/10/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B		310.35	6.21	0.00	6.21	85.0	5.28
05/10/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B		150.55	3.01	0.00	3.01	85.0	2.56
05/10/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B		310.35	6.21	0.00	6.21	85.0	5.28
05/08/2018	00083499515 SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	85.0	1.70

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/16/2018	00083626829 SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B		150.00	1.50	0.00	1.50	85.0	1.28
05/07/2018	00084635934 GIBSON/KIDD, ANTHONY/RYLAN	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
05/15/2018	00084758983 HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
05/15/2018	00084759195 HOWARD/BEAUDOIN, IRENE/LANDON	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
05/15/2018	00084759209 HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
05/15/2018	00084759232 HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
05/17/2018	00085209795 SULLIVAN/MCGRAFF, SHERRY/REECE	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
05/07/2018	00087621936 MORRIS, JACKSON	FUNDAMENTAL INVESTORS-C	B	25.00	0.25	0.00	0.25	85.0	0.21
05/15/2018	00087897269 ASHCRAFT OGDEN, ZOIE	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
05/07/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	22.17	0.22	0.00	0.22	85.0	0.19
05/07/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	22.17	0.22	0.00	0.22	85.0	0.19
05/15/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	37.85	0.38	0.00	0.38	85.0	0.32
05/15/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	37.85	0.38	0.00	0.38	85.0	0.32
05/18/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	22.73	0.23	0.00	0.23	85.0	0.20
05/18/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	22.73	0.23	0.00	0.23	85.0	0.20
05/07/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	45.02	0.45	0.00	0.45	85.0	0.38
05/07/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	45.02	0.45	0.00	0.45	85.0	0.38
05/15/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	76.84	0.77	0.00	0.77	85.0	0.65
05/15/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	76.84	0.77	0.00	0.77	85.0	0.65
05/18/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	46.16	0.46	0.00	0.46	85.0	0.39
05/18/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	46.16	0.46	0.00	0.46	85.0	0.39
05/07/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/07/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/15/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/15/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/18/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/18/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/07/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/07/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/15/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/15/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/18/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
05/18/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/07/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/07/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/15/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/15/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/18/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/18/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
05/07/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/07/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/15/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/15/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/18/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/18/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
05/07/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/07/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/15/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/15/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/18/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/18/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/07/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/07/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/15/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/15/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/18/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/18/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
05/07/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/07/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/15/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/15/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/18/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/18/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
05/07/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/07/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/15/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/15/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/18/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/18/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
05/07/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
05/07/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018	00088270587 MILLER, CLIFFORD	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/15/2018	00088270587 MILLER, CLIFFORD	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/18/2018	00088270587 MILLER, CLIFFORD	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/18/2018	00088270587 MILLER, CLIFFORD	NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/07/2018	00088270587 MILLER, CLIFFORD	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/07/2018	00088270587 MILLER, CLIFFORD	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/15/2018	00088270587 MILLER, CLIFFORD	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/15/2018	00088270587 MILLER, CLIFFORD	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/18/2018	00088270587 MILLER, CLIFFORD	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/18/2018	00088270587 MILLER, CLIFFORD	THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22	85.0	0.19
	C.								
05/07/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/07/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
05/15/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/15/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
05/18/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/18/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
05/07/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/07/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
05/15/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/15/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
05/18/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
05/18/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
05/07/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	85.0	0.64
05/07/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	85.0	0.64
05/15/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
05/15/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
05/18/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	85.0	0.77
05/18/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	85.0	0.77
05/07/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
05/07/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/15/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/18/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/18/2018	00088495479	SECHRIST, STEVE		CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/07/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14 85.0 0.12
05/07/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14 85.0 0.12
05/15/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14 85.0 0.12
05/15/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14 85.0 0.12
05/18/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14 85.0 0.12
05/18/2018	00088495479	SECHRIST, STEVE		CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14 85.0 0.12
05/07/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/07/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07 85.0 0.06
05/15/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/15/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07 85.0 0.06
05/18/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07 85.0 0.06
05/18/2018	00088495479	SECHRIST, STEVE		THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07 85.0 0.06
05/07/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62 85.0 0.53
05/07/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62 85.0 0.53
05/15/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62 85.0 0.53
05/15/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62 85.0 0.53
05/18/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62 85.0 0.53
05/18/2018	00088753150	MCBRIDE, STEVEN M.		AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62 85.0 0.53
05/07/2018	00089144953	RODRIGUEZ, JOHNATAN		SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15 85.0 0.13
05/07/2018	00089144953	RODRIGUEZ, JOHNATAN		SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15 85.0 0.13
05/15/2018	00089144953	RODRIGUEZ, JOHNATAN		SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15 85.0 0.13
05/15/2018	00089144953	RODRIGUEZ, JOHNATAN		SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15 85.0 0.13
05/18/2018	00089144953	RODRIGUEZ, JOHNATAN		SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15 85.0 0.13
05/18/2018	00089144953	RODRIGUEZ, JOHNATAN		SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15 85.0 0.13
05/15/2018	00089506836	HAWKINS, SAMUEL L.		AMERICAN FUNDS FUNDAMENTAL	INVES B	103.65	1.24	0.00	1.24 85.0 1.05
05/15/2018	00089506836	HAWKINS, SAMUEL L.		AMERICAN FUNDS FUNDAMENTAL	INVES B	71.65	0.86	0.00	0.86 85.0 0.73
05/15/2018	00089506836	HAWKINS, SAMUEL L.		AMERICAN FUNDS NEW ECONOMY FD	B	103.65	1.24	0.00	1.24 85.0 1.05
05/15/2018	00089506836	HAWKINS, SAMUEL L.		AMERICAN FUNDS NEW ECONOMY FD	B	71.65	0.86	0.00	0.86 85.0 0.73
05/07/2018	00089680678	CHAMPION, AUBREY J.		THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25 85.0 0.21

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/07/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
05/15/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
05/15/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
05/18/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
05/18/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
05/15/2018	00089986176	YOUNG, MARCUS	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
05/15/2018	04000108054	HAMILTON, JAMES	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
05/15/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	166.50	1.67	0.00	1.67	85.0	1.42
05/15/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	166.50	1.67	0.00	1.67	85.0	1.42
05/07/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
05/07/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
05/15/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
05/15/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
05/18/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
05/18/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
05/07/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.32	0.18	0.00	0.18	85.0	0.15
05/07/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.32	0.18	0.00	0.18	85.0	0.15
05/15/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.09	0.18	0.00	0.18	85.0	0.15
05/15/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.09	0.18	0.00	0.18	85.0	0.15
05/18/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.07	0.18	0.00	0.18	85.0	0.15
05/18/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.07	0.18	0.00	0.18	85.0	0.15
05/07/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		24.05	0.24	0.00	0.24	85.0	0.20
05/07/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		24.05	0.24	0.00	0.24	85.0	0.20
05/15/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
05/15/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
05/18/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		44.46	0.44	0.00	0.44	85.0	0.37
05/18/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		44.46	0.44	0.00	0.44	85.0	0.37
05/15/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12
05/15/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/07/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
05/07/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
05/15/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50	85.0	0.43
05/15/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50	85.0	0.43
05/18/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.28	0.48	0.00	0.48	85.0	0.41
05/18/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.28	0.48	0.00	0.48	85.0	0.41
05/15/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	1.20	0.00	1.20	85.0	1.02
	D.								
05/15/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVES B	76.80	0.92	0.00	0.92	85.0	0.78
	D.								
05/10/2018	04000752171	BOZEMAN, JENNIFER NEW WORLD FUND-A	B	28.05	0.56	0.00	0.56	85.0	0.48
05/10/2018	04000752171	BOZEMAN, JENNIFER NEW WORLD FUND-A	B	42.05	0.84	0.00	0.84	85.0	0.71
		* MUTUAL FUNDS SUBTOTAL *		\$12,872.15	\$188.04	\$0.00	\$188.04		\$159.89
PRODUCT CATEGORY: VARIABLE ANNUITIES									
05/04/2018	TRAILS	-JOHN HANCOCK	B	15.18	15.18	0.00	15.18	85.0	12.90
		* VARIABLE ANNUITIES SUBTOTAL *		\$15.18	\$15.18	\$0.00	\$15.18		\$12.90
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	2.34	0.00	2.34	85.0	1.99
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2.34	\$0.00	\$2.34		\$1.99
		*** BROKER TRANSACTIONS TOTAL ***		\$12,887.33	\$205.56	\$0.00	\$205.56		\$174.78
ADJUSTMENTS									
06/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
06/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$174.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-47.24

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.04
Year-to-date Earnings	\$23,838.55