LIBERTY PARTNERS

# **STATEMENT** February 20, 2018

BEN REYNOSO

222 N Garden St #300 SUITE 620 Visalia, CA 93291

### BROKER #: 174

BRANCH:

#### COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRAN	SACTIONS						
PRODUCT	CATEGORY: MUTUAL FUNDS								
/ /	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	3.78	94.0	0.00	3.55	0.00	3.55
/ /	#074190005734 & TRUST, ARTIS	JP MORGAN	0.00	0.04	94.0	0.00	0.04	0.00	0.04
/ /	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.19	94.0	0.00	0.18	0.00	0.18
12/31/201	17 #0049157621	BLCKRCK	0.00	7.07	94.0	0.00	6.65	0.00	6.65
12/31/201	17 #0047818448 COUNSEL, TR	BLCKRCK	0.00	2.82	94.0	0.00	2.65	0.00	2.65
12/31/201	17 #0048450324 TRUST COMPANY,	BLCKRCK	0.00	12.57	94.0	0.00	11.82	0.00	11.82
12/31/201	17 #0001226331 YOUNG, MICH	BLCKRCK	0.00	1.71	94.0	0.00	1.61	0.00	1.61
12/31/201	17 #0004950242 BLANKENSHIP,	BLCKRCK	0.00	1.56	94.0	0.00	1.47	0.00	1.47
12/31/201	17 #0049157621	BLCKRCK	0.00	7.98	94.0	0.00	7.50	0.00	7.50
12/31/201	17 #0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.30	94.0	0.00	1.22	0.00	1.22
12/31/201	17 #074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.67	94.0	0.00	2.51	0.00	2.51
2/31/201	17 #074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	7.76	94.0	0.00	7.29	0.00	7.29
2/31/201	17 #074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.34	94.0	0.00	0.32	0.00	0.32
2/31/201	17 #074480013753 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.06	94.0	0.00	0.06	0.00	0.06
12/31/201	17 #070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	4.33	94.0	0.00	4.07	0.00	4.07
L2/31/201	17 #070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	9.80	94.0	0.00	9.21	0.00	9.21
12/31/201	17 #067860000212 &TRUPLAN	NUVEEN MID CAP VALUE A	0.00	29.75	94.0	0.00	27.97	0.00	27.97
01/02/201	18 #00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00
01/02/201	18 #00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	85.00	2.34	94.0	0.00	2.20	0.00	2.20
01/02/201	18 #00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00
01/02/201	18 #00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT	CATEGORY: MUTUAL FUNDS									
	8 #00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20	
01/02/201	8 #00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20	
01/02/201	8 #00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	85.00	2.34	94.0	0.00	2.20	0.00	2.20	
01/10/201	8 #00073613500 DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64	
01/10/201	8 #00073612948 DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	0.00	2.64	
01/16/201	8 #00085514756 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514794 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514806 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00086006772 WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514756 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514794 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514806 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00086006772 WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514756 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514794 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00085514806 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
01/16/201	8 #00086006772 WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	0.00	2.07	
02/02/201	8 #00068787876 LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	0.00	6.00	
02/02/201	8 #00081603713 LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	0.00	2.00	
		* MUTUAL FUNDS SUBTOTAL *	\$2,530.00	\$170.85		\$0.00	\$160.64	\$0.00	\$160.64	
PRODUCT	CATEGORY: STOCKS									
01/09/201	8 # ARTIS, RICHARD	GENERAL ELECTRIC CO	18,455.56	50.00	85.0	0.00	42.50	19.95	22.55	
		* STOCKS SUBTOTAL *	\$18,455.56	\$50.00		\$0.00	\$42.50	\$19.95	\$22.55	
PRODUCT	CATEGORY: VARIABLE ANNUITI	ES								
/ /	#105399 BASIC INDUSTRIES	ADP	0.00	0.32	94.0	0.00	0.30	0.00	0.30	
/ /	#105399 BASIC INDUSTRIES	ADP	0.00	0.36	94.0	0.00	0.34	0.00	0.34	
/ /	#105399 BASIC INDUSTRIES	ADP	0.00	0.36	94.0	0.00	0.34	0.00	0.34	
/ /	#105399 BASIC INDUSTRIES	ADP	0.00	0.41	94.0	0.00	0.39	0.00	0.39	
/ /	#105399 BASIC INDUSTRIES	ADP	0.00	0.43	94.0	0.00	0.40	0.00	0.40	
/ /	#105399 BASIC INDUSTRIES	ADP	0.00	0.46	94.0	0.00	0.43	0.00	0.43	
/ /	#105797 TMS & ASSOC 401K	ADP	0.00	1.65	94.0	0.00	1.55	0.00	1.55	
/ /	#105797 TMS & ASSOC 401K	ADP	0.00	2.85	94.0	0.00	2.68	0.00	2.68	
/ /	#105797 TMS & ASSOC 401K	ADP	0.00	2.03	94.0	0.00	1.91	0.00	1.91	
/ /	#105797 TMS & ASSOC 401K	ADP	0.00	2.78	94.0	0.00	2.61	0.00	2.61	

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INVESTMENT

DATE

CLIENT

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INVESTMENT

AMOUNT

GROSS

COMMISSION RATE POSTAGE

NET

COMMISSION

CLEARING

CHARGE

BROKER

COMMISSION

	BROKER	TRANSACTIONS						
PRODUCT CATEGORY: VARIABLE ANNUITI	<u> </u>							
/ / #105797 TMS & ASSOC 401K	ADP	0.00	2.57	94.0	0.00	2.42	0.00	2.42
/ / #105797 TMS & ASSOC 401K	ADP	0.00	2.89	94.0	0.00	2.72	0.00	2.72
01/01/0018 #500002ABZ ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	108.22	94.0	0.00	101.73	0.00	101.73
01/01/0018 #500002ARB ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	14.87	94.0	0.00	13.98	0.00	13.98
01/01/0018 #500002BBI PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	6.61	94.0	0.00	6.21	0.00	6.21
01/01/0018 #500002BLH SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	6.97	94.0	0.00	6.55	0.00	6.55
01/01/0018 #500002BVT MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.26	94.0	0.00	3.06	0.00	3.06
01/01/0018 #500002E26 MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.35	94.0	0.00	7.85	0.00	7.85
01/01/0018 #500002M18 WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.47	94.0	0.00	0.44	0.00	0.44
01/01/0018 #500002P58 JANICES INC	TRANSAMERICA FIN LIFE	0.00	10.36	94.0	0.00	9.74	0.00	9.74
01/01/0018 #500002P59 LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	5.71	94.0	0.00	5.37	0.00	5.37
01/01/0018 #500002R30 , ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.42	94.0	0.00	3.21	0.00	3.21
01/01/0018 #500002S00 HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	43.13	94.0	0.00	40.54	0.00	40.54
01/01/0018 #500002S08 TRANSWEST	TRANSAMERICA FIN LIFE	0.00	84.46	94.0	0.00	79.39	0.00	79.39
01/01/0018 #500002S10 HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	76.93	94.0	0.00	72.31	0.00	72.31
01/01/0018 #500002S24 SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	211.24	94.0	0.00	198.57	0.00	198.57
01/01/0018 #500002U17 NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.04	94.0	0.00	0.04	0.00	0.04
01/01/0018 #500002V01 CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	8.02	94.0	0.00	7.54	0.00	7.54
01/01/0018 #500002W19 NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	132.98	94.0	0.00	125.00	0.00	125.00
01/01/0018 #500002Z47 CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.15	94.0	0.00	4.84	0.00	4.84
01/01/0018 #803625000 BIG PINE PAI	TRANSAMERICA FIN LIFE	0.00	121.53	94.0	0.00	114.24	0.00	114.24
01/04/0018 #01P3579346 OKINO, FUJIE	TRANSAMERICA PRE	0.00	223.11	94.0	0.00	209.72	0.00	209.72
12/23/2017 #129617LB8 EVANS, PAM	TRANSAMERICA LIFE	0.00	39.70	94.0	0.00	37.32	0.00	37.32
12/27/2017 #104571LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	33.71	94.0	0.00	31.69	0.00	31.69
12/28/2017 #104570LB8 GUTIERREZ, LOUIS	TRANSAMERICA LIFE	0.00	42.47	94.0	0.00	39.92	0.00	39.92
12/29/2017 #DA315044 BETTENCOURT, JOHN	ALLIANZ VA	0.00	118.51	94.0	0.00	111.40	0.00	111.40
12/29/2017 #DA312937 HALLAM, DAVID	ALLIANZ VA	0.00	118.70	94.0	0.00	111.58	0.00	111.58
12/29/2017 #DA308135 CONNEALLY, DENNIS	ALLIANZ VA	0.00	183.95	94.0	0.00	172.91	0.00	172.91
12/29/2017 #DA933385 DOERKSEN, DANIEL	ALLIANZ VA	0.00	99.47	94.0	0.00	93.50	0.00	93.50
12/29/2017 #DA933386 DOERKSEN, DANIEL	ALLIANZ VA	0.00	274.24	94.0	0.00	257.79	0.00	257.79
12/29/2017 #DA936249 OGATA, MORRIS	ALLIANZ VA	0.00	307.55	94.0	0.00	289.10	0.00	289.10
12/29/2017 #DA023440 BETTENCOURT, JOHN	ALLIANZ VA	0.00	226.71	94.0	0.00	213.11	0.00	213.11
12/29/2017 #DA030663 CEREGHINO, PAUL	ALLIANZ VA	0.00	181.10	94.0	0.00	170.23	0.00	170.23
12/29/2017 #DAV13176 LOPEZ, ROBIN	ALLIANZ VA	0.00	40.35	94.0	0.00	37.93	0.00	37.93
12/29/2017 #DAX32997 ARTIS HARE & CO	ALLIANZ VA	0.00	88.47	94.0	0.00	83.16	0.00	83.16
12/29/2017 #DAX29769 ARTIS HARE CO 401K		0.00	343.74	94.0	0.00	323.12	0.00	323.12

Page No. 4 COMMISSION STATEMENT for BEN REYNOSO

INVESTMENT GROSS

NET

CLEARING BROKER

DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION
			BROKER TRANSACTIONS						
PRODUCT (	CATEGORY: VARIABLE A	NNUITTES	DROKEN TRANSACTIONS						
	7 #DAX38058 PAUSMA, JAS		0.00	62.54	94.0	0.00	58.79	0.00	58.79
	7 #DAX37587 ARTIS, KATH		0.00	65.76	94.0	0.00	61.81	0.00	61.81
12/29/2017	7 #DAX42006 BLANKENSHIF	P, ALLIANZ VA	0.00	77.69	94.0	0.00	73.03	0.00	73.03
12/29/2017	7 #DAX25190 ANDERSON, S	SHERRIE ALLIANZ VA	0.00	78.60	94.0	0.00	73.88	0.00	73.88
12/29/2017	7 #DAX25191 GARCIA, GER	RARDO ALLIANZ VA	0.00	63.20	94.0	0.00	59.41	0.00	59.41
12/29/2017	7 #DAX23486 MCPHERSON,	VICKIE ALLIANZ VA	0.00	399.21	94.0	0.00	375.26	0.00	375.26
12/29/2017	7 #DAV00394 BENEDICT, R	RICHARD ALLIANZ VA	0.00	98.16	94.0	0.00	92.27	0.00	92.27
12/29/2017	7 #DAV36755 EASTBURN, J	JANICE ALLIANZ VA	0.00	84.89	94.0	0.00	79.80	0.00	79.80
12/29/2017	7 #DAX29913 BEATTY, JAN	NET ALLIANZ VA	0.00	110.47	94.0	0.00	103.84	0.00	103.84
12/29/2017	7 #DAX69568 ROOT, ROGER	R ALLIANZ VA	0.00	175.77	94.0	0.00	165.22	0.00	165.22
12/29/2017	7 #DAX25223 UNDERWOOD,	MICHELE ALLIANZ VA	0.00	154.63	94.0	0.00	145.35	0.00	145.35
12/31/2017	7 #1011649730 REYNOSO,	ADVS II (08/11)	73,067.52	60.89	94.0	0.00	57.24	0.00	57.24
12/31/2017	7 #1015044205 COLLINS,	ELITE ACCESS	21,505.95	17.92	94.0	0.00	16.84	0.00	16.84
12/31/2017	7 #1015975837 DELGADO,	MIGUEL ELITE ACCESS	7,034.27	5.86	94.0	0.00	5.51	0.00	5.51
12/31/2017	7 #1014723311 SCHWAMB,	SHANNON ELITE ACCESS	482,839.22	402.37	94.0	0.00	378.23	0.00	378.23
12/31/2017	7 #1015151290 SALMON	ELITE ACCESS L	116,633.70	97.19	94.0	0.00	91.36	0.00	91.36
12/31/2017	7 #1015154353 SALMON	ELITE ACCESS L	27,690.62	23.08	94.0	0.00	21.70	0.00	21.70
12/31/2017	7 #1016329351 SCHMIDT,	LARRY ELITE ACCESS L	68,046.08	56.71	94.0	0.00	53.31	0.00	53.31
12/31/2017	7 #1010520564 CONTRERAS	S, PERSP L 08/11	17,606.20	14.67	94.0	0.00	13.79	0.00	13.79
12/31/2017	7 #1011931452 JASSO, EU	JSEBIO PERSP L 08/11	124,342.15	103.62	94.0	0.00	97.40	0.00	97.40
12/31/2017	7 #1009421862 PETERSON,	, CARA PERSPECTIVE II	168,652.42	35.14	94.0	0.00	33.03	0.00	33.03
12/31/2017	7 #1008962452 BROWN, SU	JSAN PERSPECTIVE L	36,135.83	30.11	94.0	0.00	28.30	0.00	28.30
12/31/2017	7 #1008329393 DOUGLASS,	, JOHN PERSPECTIVE L	20,101.06	16.75	94.0	0.00	15.75	0.00	15.75
01/31/2018	8 #1011649730 REYNOSO,	ADVS II (08/11)	73,674.63	61.40	94.0	0.00	57.72	0.00	57.72
01/31/2018	8 #1015044205 COLLINS,	ELITE ACCESS	21,984.60	18.32	94.0	0.00	17.22	0.00	17.22
01/31/2018	8 #1015975837 DELGADO,	MIGUEL ELITE ACCESS	7,202.31	6.00	94.0	0.00	5.64	0.00	5.64
01/31/2018	8 #1014723311 SCHWAMB,	SHANNON ELITE ACCESS	491,650.15	409.71	94.0	0.00	385.13	0.00	385.13
01/31/2018	8 #1015151290 SALMON	ELITE ACCESS L	114,252.61	95.21	94.0	0.00	89.50	0.00	89.50
01/31/2018	8 #1015154353 SALMON	ELITE ACCESS L	27,732.33	23.11	94.0	0.00	21.72	0.00	21.72
01/31/2018	8 #1016329351 SCHMIDT,	LARRY ELITE ACCESS L	68,404.33	57.00	94.0	0.00	53.58	0.00	53.58
01/31/2018	8 #1010520564 CONTRERAS	S, PERSP L 08/11	17,617.89	14.68	94.0	0.00	13.80	0.00	13.80
01/31/2018	8 #1011931452 JASSO, EU	JSEBIO PERSP L 08/11	125,426.83	104.52	94.0	0.00	98.25	0.00	98.25
01/31/2018	8 #1009421862 PETERSON,	, CARA PERSPECTIVE II	172,394.88	35.92	94.0	0.00	33.76	0.00	33.76
01/31/2018	8 #1008962452 BROWN, SU	JSAN PERSPECTIVE L	37,052.20	30.88	94.0	0.00	29.03	0.00	29.03
	8 #1008329393 DOUGLASS,		20,284.63	16.90	94.0	0.00	15.89	0.00	15.89

## 02/15/2018

COMMISSION STATEMENT for BEN REYNOSO GROSS NET CLEARING BROKER INVESTMENT DATE CLIENT INVESTMENT AMOUNT COMMISSION RATE POSTAGE COMMISSION CHARGE COMMISSION \* VARIABLE ANNUITIES SUBTOTAL \* \$2,341,332.41 \$6,299.49 \$0.00 \$5,921.54 \$0.00 \$5,921.54 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 12/31/2017 #01980125895 VARIOUS REYNOSO DIVERSIFIED FUND CLASS R 0.00 11.59 94.0 0.00 10.89 0.00 10.89 124.22 94.0 116.77 0.00 12/31/2017 #01980125906 TRUST. DIVERSIFIED FUND CLASS R 0.00 0.00 116.77 12/31/2017 #01980159709 VARIOUS REYNOSO DIVERSIFIED FUND CLASS R 0.00 0.34 94.0 0.00 0.32 0.00 0.32 12/31/2017 #01980173888 VARIOUS REYNOSO DIVERSIFIED FUND CLASS R 0.00 0.21 94.0 0.00 0.20 0.00 0.20 12/31/2017 #08258241266 VARIOUS REYNOSO OPPENHEIMER INTERNATIONAL GROWTH FU 0.00 11.96 94.0 0.00 11.24 0.00 11.24 12/31/2017 #08258241310 VARIOUS REYNOSO OPPENHEIMER INTERNATIONAL GROWTH FU 0.00 16.16 94.0 0.00 15.19 0.00 15.19 01/01/2018 #07122837323 TRUST, PSP & INVESCO EQUALLY WEIGHTED S&P 500 0.00 41.42 94.0 0.00 38.93 0.00 38.93 0.00 20.86 01/01/2018 #07122746255 TRUST. INVESCO INTERNATIONAL GROWTH FD -CL 0.00 22.19 94.0 0.00 20.86 01/02/2018 #05930154297 TRUST, DAVIS NEW YORK VENTURE FUND INC-CL 0.00 8.39 94.0 0.00 7.89 0.00 7.89 01/03/2018 #06500180544 KUNKLRANDG 0.00 5.87 94.0 0.00 5.52 0.00 5.52 TRANSAMERICA DYNAMIC ALLOCATION C 01/10/2018 # RADIANT CHURCH 0.00 0.35 0.00 0.33 0.00 0.33 DAIN TRAILER 94.0 01/10/2018 # FUJIE OKINO TTEE DAIN TRAILER 0.00 53.01 94.0 0.00 49.83 0.00 49.83 01/10/2018 # FUJIE OKINO TTEE DAIN TRAILER 0.00 71.74 94.0 0.00 67.44 0.00 67.44 01/11/2018 #00097193353 VARIOUS REYNOSO IVY HIGH INCOME Y 0.00 11.35 94.0 0.00 10.67 0.00 10.67 01/31/2018 #000000000076594 TRUST, FRANKLIN 345713547 0.00 0.00 94.0 0.00 0.00 0.00 0.00 02/07/2018 #01487226001 TRUST. FIDELITY ADV MID CAP-CL T 0.00 10.15 94.0 0.00 9.54 0.00 9.54 02/07/2018 #01464834835 TRUST, FIDELITY ADV SMALL CAP-CL T 0.00 16.59 94.0 0.00 15.59 0.00 15.59 \* MUTUAL FUND 12B1 TRAILS SUBTOTAL \* \$0.00 \$405.54 \$0.00 \$381.21 \$0.00 \$381.21

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\*\*\* BROKER TRANSACTIONS TOTAL \*\*\* \$2,362,317.97

\*\*\* ADJUSTMENTS TOTAL \*\*\*

	ADUGSTMENTS	
02/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00

\$6,925.88

\$0.00

\$6,505.89

\$19.95

\$6,485.94

\$-499.00

#### BROKER COMMISSION TOTALS \$6,485.94 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-499.00 Payroll Draw \$0.00 Base Salary \$0.00 \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$5,986.94 Check Amount