

# Bill Payment Stub

Check Date:	6/7/2018
Check No.:	ACH
Check Amount:	4,358.61

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: KRIS B. LLOYD, SR.  
4265 SAN FELIPE ROAD SUITE 1100  
HOUSTON, TX 77027

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
5/15/2018	Bill	COMMISSIONS	0.61	0.61		0.61
5/31/2018	Bill	COMMISSIONS	4,478.00	4,478.00		4,478.00
6/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT May 31, 2018

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

## COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>CLEARING TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
05/11/2018 368286211	MCCOWAN, BRENDA	MF THE HARTFORD CHECKS AND	S	-32,989.54	0.00	5.00	-5.00	90.0	-4.50
05/04/2018 368333602	LASTER, RICHARD	MF GROWTH AND INCOME PORTFOLIO-A	B	-9,997.55	0.00	5.00	-5.00	90.0	-4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-42,987.09</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$-10.00</b>		<b>\$-9.00</b>
<b>PRODUCT CATEGORY: STOCKS</b>									
05/29/2018 153940372	TOWNES, BETTY	S HORMEL FOODS CORPORATION	S	-3,532.91	104.60	15.00	89.60	90.0	80.64
05/21/2018 184105032	COMBS, GORDON	S ADVANCED MICRO DEVICES INC	S	-1,225.41	64.62	15.00	49.62	90.0	44.66
05/21/2018 184116694	COMBS, ELIZABETH	S ADVANCED MICRO DEVICES INC	S	-3,772.22	108.24	15.00	93.24	90.0	83.92
05/21/2018 184121542	COMBS TTEE, GORDON	S ADVANCED MICRO DEVICES INC	S	-6,313.03	146.94	15.00	131.94	90.0	118.75
05/21/2018 216051151	DAVID HOLLRAH IND	S ADVANCED MICRO DEVICES INC	S	-25,713.19	286.02	15.00	271.02	90.0	243.92
05/29/2018 216051151	DAVID HOLLRAH IND	S CORNING INC	B	13,478.05	162.30	15.00	147.30	90.0	132.57
05/29/2018 216051151	DAVID HOLLRAH IND	S HORMEL FOODS CORPORATION	S	-18,002.21	210.10	15.00	195.10	90.0	175.59
05/21/2018 338107996	HOLLRAH, DAVID	S ADVANCED MICRO DEVICES INC	S	-25,711.41	286.00	15.00	271.00	90.0	243.90
05/29/2018 338107996	HOLLRAH, DAVID	S CORNING INC	B	13,478.66	162.31	15.00	147.31	90.0	132.58
05/29/2018 338107996	HOLLRAH, DAVID	S HORMEL FOODS CORPORATION	S	-7,180.91	103.55	15.00	88.55	90.0	79.70
05/21/2018 368259575	ROY, JOHN	S ADVANCED MICRO DEVICES INC	S	-6,428.81	148.70	15.00	133.70	90.0	120.33
05/11/2018 368311550	LLOYD, SHIRLEY	S GOGOLD RESOURCES INC ISIN	B	412.15	0.00	15.00	-15.00	90.0	-13.50
05/04/2018 368333602	LASTER, RICHARD	S VICTORY PORTFOLIOS II CEMP US	B	9,217.90	185.45	15.00	170.45	90.0	153.41
05/21/2018 368333602	LASTER, RICHARD	S ADVANCED MICRO DEVICES INC	S	-5,061.99	127.89	15.00	112.89	90.0	101.60
05/21/2018 368489261	COMBS, GORDON	S ADVANCED MICRO DEVICES INC	S	-6,322.88	147.09	15.00	132.09	90.0	118.88
05/21/2018 461271145	LLOYD, KEITH	S ADVANCED MICRO DEVICES INC	S	-6,502.97	20.00	15.00	5.00	90.0	4.50
05/21/2018 461905394	LASTER, RICHARD	S ADVANCED MICRO DEVICES INC	S	-1,230.73	64.90	15.00	49.90	90.0	44.91
05/21/2018 583778526	CULVER, ELOISE	S ADVANCED MICRO DEVICES INC	S	-6,360.31	147.66	15.00	132.66	90.0	119.39
05/21/2018 645219153	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	S	-6,419.21	148.55	15.00	133.55	90.0	120.20
05/29/2018 645219153	ROYER TTEE, MARY	S CORNING INC	B	10,870.32	209.87	15.00	194.87	90.0	175.38
05/29/2018 645219153	ROYER TTEE, MARY	S HORMEL FOODS CORPORATION	S	-3,539.80	104.71	15.00	89.71	90.0	80.74
05/21/2018 645219578	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	S	-6,418.91	148.55	15.00	133.55	90.0	120.20
05/29/2018 645219578	ROYER TTEE, MARY	S CORNING INC	B	13,576.82	249.87	15.00	234.87	90.0	211.38
05/29/2018 645219578	ROYER TTEE, MARY	S HORMEL FOODS CORPORATION	S	-7,134.04	159.44	15.00	144.44	90.0	130.00
05/21/2018 645219949	ROYER, MARY	S ADVANCED MICRO DEVICES INC	S	-6,426.30	148.66	15.00	133.66	90.0	120.29
05/29/2018 645219949	ROYER, MARY	S CORNING INC	B	10,867.48	209.83	15.00	194.83	90.0	175.35

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/29/2018 645219949	ROYER, MARY	S HORMEL FOODS CORPORATION	S	-7,134.04	159.44	15.00	144.44	90.0	130.00
05/21/2018 645225418	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	S	-3,833.32	109.18	15.00	94.18	90.0	84.76
05/29/2018 645225418	ROYER TTEE, MARY	S CORNING INC	B	10,874.38	209.93	15.00	194.93	90.0	175.44
05/29/2018 645225418	ROYER TTEE, MARY	S HORMEL FOODS CORPORATION	S	-3,537.83	104.68	15.00	89.68	90.0	80.71
05/21/2018 645300697	ROYER, KENNETH	S ADVANCED MICRO DEVICES INC	S	-7,719.10	168.35	15.00	153.35	90.0	138.02
05/29/2018 645300697	ROYER, KENNETH	S CORNING INC	B	10,875.39	209.94	15.00	194.94	90.0	175.45
05/29/2018 645300697	ROYER, KENNETH	S HORMEL FOODS CORPORATION	S	-3,534.88	104.63	15.00	89.63	90.0	80.67
05/21/2018 677260790	SCHULTZE, HARRY	S ADVANCED MICRO DEVICES INC	S	-6,327.81	147.16	15.00	132.16	90.0	118.94
05/21/2018 677360654	SCHEPS-DERR, KIM	S ADVANCED MICRO DEVICES INC	S	-5,132.56	128.96	15.00	113.96	90.0	102.56
05/21/2018 800452988	WOO, SHIAO	S ADVANCED MICRO DEVICES INC	S	-5,129.21	128.91	15.00	113.91	90.0	102.52
* STOCKS SUBTOTAL *				<b>\$-101,994.84</b>	<b>\$5,327.03</b>	<b>\$540.00</b>	<b>\$4,787.03</b>		<b>\$4,308.36</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/21/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	5.28	0.00	5.28	90.0	4.75
05/21/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	7.89	0.00	7.89	90.0	7.10
05/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.49	0.00	0.49	90.0	0.44
05/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	3.12	0.00	3.12	90.0	2.81
05/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	12.74	0.00	12.74	90.0	11.47
05/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.56	0.00	0.56	90.0	0.50
05/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.13	0.00	1.13	90.0	1.02
05/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	2.95	0.00	2.95	90.0	2.66
05/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	5.81	0.00	5.81	90.0	5.23
05/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	14.77	0.00	14.77	90.0	13.29
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.14	0.00	0.14	90.0	0.13
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.40	0.00	0.40	90.0	0.36
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53	90.0	0.48
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.77	0.00	0.77	90.0	0.69
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.91	0.00	0.91	90.0	0.82
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.31	0.00	1.31	90.0	1.18
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.67	0.00	1.67	90.0	1.50
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.82	0.00	1.82	90.0	1.64
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.24	0.00	2.24	90.0	2.02
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.52	0.00	4.52	90.0	4.07
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.28	0.00	5.28	90.0	4.75
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.31	0.00	5.31	90.0	4.78
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	6.36	0.00	6.36	90.0	5.72
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.75	0.00	7.75	90.0	6.98
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.86	0.00	7.86	90.0	7.07
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.99	0.00	10.99	90.0	9.89
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.34	0.00	4.34	90.0	3.91
05/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.84	0.00	7.84	90.0	7.06
05/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.31	0.00	3.31	90.0	2.98
05/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.97	0.00	3.97	90.0	3.57

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.28	0.00	4.28	90.0	3.85
05/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.32	0.00	6.32	90.0	5.69
05/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	7.44	0.00	7.44	90.0	6.70
05/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	23.57	0.00	23.57	90.0	21.21
05/21/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.46	0.00	4.46	90.0	4.01
05/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	1.69	0.00	1.69	90.0	1.52
05/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	3.97	0.00	3.97	90.0	3.57
05/21/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	4.06	0.00	4.06	90.0	3.65
05/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.80	0.00	0.80	90.0	0.72
05/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.21	0.00	1.21	90.0	1.09
05/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.55	0.00	4.55	90.0	4.10
05/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.98	0.00	5.98	90.0	5.38
05/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
05/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	6.67	0.00	6.67	90.0	6.00
05/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	7.65	0.00	7.65	90.0	6.89
05/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
05/21/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.88	0.00	7.88	90.0	7.09
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.55	0.00	0.55	90.0	0.50
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.60	0.00	0.60	90.0	0.54
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.78	0.00	0.78	90.0	0.70
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.89	0.00	0.89	90.0	0.80
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.47	0.00	1.47	90.0	1.32
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.84	0.00	1.84	90.0	1.66
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.37	0.00	3.37	90.0	3.03
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.10	0.00	4.10	90.0	3.69
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.50	0.00	4.50	90.0	4.05
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.85	0.00	4.85	90.0	4.37
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.61	0.00	5.61	90.0	5.05
05/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.84	0.00	7.84	90.0	7.06
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$259.57</b>	<b>\$0.00</b>	<b>\$259.57</b>		<b>\$233.64</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-144,981.93</b>	<b>\$5,586.60</b>	<b>\$550.00</b>	<b>\$5,036.60</b>		<b>\$4,533.00</b>

ADJUSTMENTS

05/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
05/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-55.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,533.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4,478.00</b>
<b>Year-to-date Commissions</b>	<b>\$27,013.78</b>