



COMMISSION STATEMENT

January 15, 2018

TIMOTHY STATES
 1629 K ST NW STE 300
 SUITE 300
 WASHINGTON, DC 20001

BROKER #: A70
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2018	***-**-735I - ANTOINE, FBO MS	GLOBAL OPPORTUNITIES A	13,199.99	627.00	18.00	609.00	75.0	456.75
	ACCOUNT #: LSR010596	BUY 175 Units @75.2900						
01/10/2018	***-**-384I - WALKER, EUGENE	GLOBAL OPPORTUNITIES A	1,250.04	59.37	18.00	41.37	75.0	31.03
	ACCOUNT #: LSR010626	BUY 16 Units @76.6000						
01/10/2018	***-**-58TR - HOUSING	GLOBAL OPPORTUNITIES A	2,999.96	142.50	18.00	124.50	75.0	93.38
	ACCOUNT #: LSR010838	BUY 39 Units @76.6000						
01/08/2018	***-**-09IO - BRITT, EDWINA	VICTORY S & P 500 INDEX CL A	16,261.34	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011800	SELL 747 Units @21.7600						
* MUTUAL FUNDS SUBTOTAL *			\$33,711.33	\$828.87	\$72.00	\$756.87		\$563.16
<u>PRODUCT CATEGORY: STOCKS</u>								
01/09/2018	***-**-233J - SCALES, DARYL	BANK OF AMERICA CORP UNSOLICITED OR	6,055.00	135.00	19.00	116.00	75.0	87.00
	ACCOUNT #: LSR011378	BUY 200 Units @30.2750						
01/08/2018	***-**-66IN - STATES, MR	CISCO SYSTEMS INC	987.15	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000281	BUY 25 Units @39.4860						
01/08/2018	***-**-066I - STATES, FBO MR	CISCO SYSTEMS INC	1,775.88	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000282	SELL 45 Units @39.4640						
01/09/2018	***-**-09IO - BRITT, EDWINA	CISCO SYSTEMS INC	7,941.00	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR011800	BUY 200 Units @39.7050						
01/09/2018	***-**-100I - BRITT, FBO RUTH	CONOCOPHILLIPS UNSOLICITED ORDER	11,521.00	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010568	BUY 200 Units @57.6050						
01/09/2018	***-**-826I - CLARK, FBO MS	CONOCOPHILLIPS UNSOLICITED ORDER	11,534.00	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010588	BUY 200 Units @57.6700						
01/09/2018	***-**-58IN - LUTZ, SAMUEL	CONOCOPHILLIPS UNSOLICITED ORDER	11,591.00	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010594	BUY 200 Units @57.9550						
01/09/2018	***-**-74IN - DAVIS, MISCHA	CONOCOPHILLIPS UNSOLICITED ORDER	11,617.20	150.00	19.00	131.00	75.0	98.25
	ACCOUNT #: LSR010627	BUY 200 Units @58.0860						
01/09/2018	***-**-233J - SCALES, DARYL	CONOCOPHILLIPS UNSOLICITED ORDER	5,747.00	100.00	17.00	83.00	75.0	62.25
	ACCOUNT #: LSR011378	BUY 100 Units @57.4700						
01/10/2018	***-**-66IN - STATES, MR	ENERGOUS CORP COM	1,004.91	10.00	9.42	0.58	75.0	0.44
	ACCOUNT #: LSF000281	BUY 43 Units @23.3700						
01/10/2018	***-**-066I - STATES, FBO MR	ENERGOUS CORP COM	1,534.13	10.00	9.64	0.36	75.0	0.27
	ACCOUNT #: LSF000282	BUY 65 Units @23.6020						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
01/09/2018	***-**-58IN - LUTZ, SAMUEL	HOME DEPOT INC COM	9,624.60	150.00	16.00	134.00	75.0	100.50
	ACCOUNT #: LSR010594	SELL 50 Units @192.4920						
01/09/2018	***-**-826I - CLARK, FBO MS	MCKESSON CORP COM	11,878.65	150.00	16.50	133.50	75.0	100.13
	ACCOUNT #: LSR010588	SELL 75 Units @158.3820						
01/09/2018	***-**-233J - SCALES, DARYL	MICRON TECHNOLOGY SOLICITED ORDER	9,004.40	35.00	19.00	16.00	75.0	12.00
	ACCOUNT #: LSR011378	BUY 200 Units @45.0220						
01/09/2018	***-**-09IO - BRITT, EDWINA	NVIDIA CORP SOLICITED ORDER	8,131.45	150.00	15.74	134.26	75.0	100.70
	ACCOUNT #: LSR011800	BUY 37 Units @219.7690						
01/09/2018	***-**-233J - SCALES, DARYL	SCHWAB CHARLES CORP NEW SOLICITED O	10,537.00	35.00	18.00	17.00	75.0	12.75
	ACCOUNT #: LSR011378	BUY 200 Units @52.6850						
01/09/2018	***-**-233J - SCALES, DARYL	SPLUNK INC COM USD0.001	18,049.00	100.00	19.00	81.00	75.0	60.75
	ACCOUNT #: LSR011378	BUY 200 Units @90.2450						
01/09/2018	***-**-74IN - DAVIS, MISCHA	SPROUTS FMRS MKT INC COM	10,048.00	150.00	23.00	127.00	75.0	95.25
	ACCOUNT #: LSR010627	SELL 400 Units @25.1200						
01/08/2018	***-**-066I - STATES, FBO MR	UNDER ARMOUR INC COM STK USD0.00033	1,727.57	10.00	10.07	-0.07	100.0	-0.07
	ACCOUNT #: LSF000282	BUY 108 Units @15.9960						
01/10/2018	***-**-066I - STATES, FBO MR	UNDER ARMOUR INC COM STK USD0.00033	1,629.07	10.00	10.07	-0.07	100.0	-0.07
	ACCOUNT #: LSF000282	SELL 108 Units @15.0840						
01/05/2018	***-**-735I - ANTOINE, FBO MS	XPO LOGISTICS INC COM USD0.001	4,508.10	66.67	16.00	50.67	75.0	38.00
	ACCOUNT #: LSR010596	SELL 50 Units @90.1620						
01/05/2018	***-**-735I - ANTOINE, FBO MS	XPO LOGISTICS INC COM USD0.001	9,018.10	133.33	2.00	131.33	75.0	98.50
	ACCOUNT #: LSR010596	SELL 100 Units @90.1810						
01/09/2018	***-**-100I - BRITT, FBO RUTH	XPO LOGISTICS INC COM USD0.001	10,588.05	150.00	17.30	132.70	75.0	99.53
	ACCOUNT #: LSR010568	SELL 115 Units @92.0700						
* STOCKS SUBTOTAL *			\$176,052.26	\$2,165.00	\$349.72	\$1,815.28		\$1,361.45
*** BROKER TRANSACTIONS TOTAL ***			\$209,763.59	\$2,993.87	\$421.72	\$2,572.15		\$1,924.61



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,924.61
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,924.61
						Year-to-date Earnings		\$3,921.47