



COMMISSION STATEMENT

January 29, 2018

GRADY GORMAN
7105 West 44th Ave
Wheatridge, CO 80033

BROKER #: A97
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/29/2018 | ***-**-463I - MACKEY, LAURA ACCOUNT #: LSR011920 | HCM DIVIDEND SECTOR PLUS FND CL A-N BUY 1 Units @0.0000 | 0.00 | 2.11 | 0.00 | 2.11 | 75.0 | 1.58 |
| 01/29/2018 | ***-**-463I - MACKEY, LAURA ACCOUNT #: LSR011920 | HCM TACTICAL GROWTH FND CL A-NFS BUY 1 Units @0.0000 | 0.00 | 2.15 | 0.00 | 2.15 | 75.0 | 1.61 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$4.26</u> | <u>\$0.00</u> | <u>\$4.26</u> | | <u>\$3.19</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$4.26</u> | <u>\$0.00</u> | <u>\$4.26</u> | | <u>\$3.19</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$3.19 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$3.19 |
| Year-to-date Earnings | \$1,470.15 |