

COMMISSION STATEMENT

July 15, 2018

 JOYCE BRISKIN-D009
 919 Arizona Ash

 BROKER #: D009
 BRANCH: DMO

San Antonio, TX 78232

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018 (None)	VARIOUS, BRISKIN	MFT OPPENHEIMER	B	0.00	26.33	0.00	26.33	90.0	23.70
07/01/2018 00002998870	REMICK, NORMA	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.70	0.00	0.70	90.0	0.63
07/02/2018 00046474340	KIMBRELL, NANCY	MFT PUTNAM 746745108	B	0.00	37.93	0.00	37.93	90.0	34.14
07/01/2018 00060655993	SARSEP,	MFT MFS LIMITED MATURITY FUND-A	B	0.00	0.06	0.00	0.06	90.0	0.05
07/02/2018 00551152260	NANCY D KIMBRELL	MFT PUTNAM 746745108	B	0.00	0.37	0.00	0.37	90.0	0.33
07/02/2018 00551231899	E RUSSELL LAMBERT	MFT PUTNAM 529 AGE-BASED 2009-A	B	0.00	6.55	0.00	6.55	90.0	5.90
07/02/2018 00551231902	E RUSSELL LAMBERT	MFT PUTNAM 529 AGE-BASED 2006-A	B	0.00	6.26	0.00	6.26	90.0	5.63
07/02/2018 00551231904	A ELIZABETH H	MFT PUTNAM 529 AGE-BASED 2008-A	B	0.00	6.53	0.00	6.53	90.0	5.88
07/02/2018 00551231907	A ELIZABETH H	MFT PUTNAM 529 AGE-BASED 2006-A	B	0.00	6.24	0.00	6.24	90.0	5.62
07/02/2018 00551245484	NANCY D KIMBRELL,	MFT PUTNAM 529 AGE-BASED 2008-A	B	0.00	18.99	0.00	18.99	90.0	17.09
07/02/2018 00551274544	JULIET E BRISKIN,	MFT PUTNAM 529 AGE-BASED 2005-A	B	0.00	6.52	0.00	6.52	90.0	5.87
07/02/2018 00551287150	SMITH,	MFT PUTNAM 529 AGE-BASED 2001-A	B	0.00	90.47	0.00	90.47	90.0	81.42
07/02/2018 00551287155	SMITH,	MFT PUTNAM 529 AGE-BASED 2000-A	B	0.00	97.07	0.00	97.07	90.0	87.36
07/01/2018 03810399026	IKERD, AARON	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	7.39	0.00	7.39	90.0	6.65
07/01/2018 03810613137	SULTEMEIER,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	15.01	0.00	15.01	90.0	13.51
07/01/2018 03810613137	SULTEMEIER,	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	13.93	0.00	13.93	90.0	12.54
07/01/2018 04028967521	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.51	0.00	8.51	90.0	7.66
07/01/2018 04028967521	MARTIN, CHERYL	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	1.74	0.00	1.74	90.0	1.57
07/01/2018 04028968248	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.08	0.00	8.08	90.0	7.27
07/01/2018 04028968248	MARTIN, CHERYL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.85	0.00	7.85	90.0	7.07
07/01/2018 05054640809	PAULSON, NANCY	MFT AIM CHARTER FUND - CLASS A	B	0.00	34.98	0.00	34.98	90.0	31.48
07/01/2018 09898420957	SARSEP,	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.13	0.00	0.13	90.0	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$401.64	\$0.00	\$401.64		\$361.49
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/30/2018 (None)	KIMBRELL, NANCY	VAT ALLIANZ LIFE	B	0.00	30.92	0.00	30.92	90.0	27.83
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$30.92	\$0.00	\$30.92		\$27.83
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$432.56	\$0.00	\$432.56		\$389.32

BROKER COMMISSION TOTALS

Broker Transactions	\$389.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$389.32
Year-to-date Commissions	\$51,727.81