

All Companies
STATEMENT
July 20, 2018

YUPENG FU

BROKER #: 615
BRANCH: LP BRANCH

, CA

COMMISSION STATEMENT for YUPENG FU

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/21/2018	# GAO, LONGDE	RUSSELL INVT CO MLTI ASET GRWTH STR	16,811.00	0.00	80.0	0.00	0.00	20.00	-20.00
06/21/2018	# CHEN, JINBIN	RUSSELL INVT CO MLTI ASET GRWTH STR	5,742.00	0.00	80.0	0.00	0.00	20.00	-20.00
06/21/2018	# WU, ESTHER	RUSSELL INVT CO MLTI ASET GRWTH STR	8,152.00	0.00	80.0	0.00	0.00	20.00	-20.00
06/21/2018	# WEN WU WENDONG WU TTEES	RUSSELL INVT CO MLTI ASET GRWTH STR	13,658.00	0.00	80.0	0.00	0.00	20.00	-20.00
06/21/2018	# LIU, DANNY	RUSSELL INVT CO MLTI ASET GRWTH STR	7,576.00	0.00	80.0	0.00	0.00	20.00	-20.00
* MUTUAL FUNDS SUBTOTAL *			\$51,939.00	\$0.00		\$0.00	\$0.00	\$100.00	\$-100.00
<u>PRODUCT CATEGORY: STOCKS</u>									
06/01/2018	# HUANG, FENGYI	FIREEYE INC COM	12,600.00	294.50	80.0	19.95	255.55	19.95	235.60
06/21/2018	# YU, JIAN	ISHARES CORE S&P 500 ETF	5,547.00	0.00	80.0	0.00	0.00	0.00	0.00
06/26/2018	# WANG, MINA	VANGUARD S&P 500 ETF	250.59	0.00	80.0	0.00	0.00	19.95	-19.95
06/26/2018	# WANG, MINA	VANGUARD SHORT TERM BOND ETF	234.53	0.00	80.0	0.00	0.00	19.95	-19.95
* STOCKS SUBTOTAL *			\$18,632.12	\$294.50		\$19.95	\$255.55	\$59.85	\$195.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/21/2018	# GAO, LONGDE	DAIN TRAILER	5,314.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# CHEN, JINBIN	DAIN TRAILER	2,358.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# WU, ESTHER	DAIN TRAILER	3,893.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# WEN WU WENDONG WU TTEES	DAIN TRAILER	6,537.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# GAO, LONGDE	DAIN TRAILER	6,419.59	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# CHEN, JINBIN	DAIN TRAILER	1,859.28	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# WU, ESTHER	DAIN TRAILER	2,545.96	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# WEN WU WENDONG WU TTEES	DAIN TRAILER	4,253.87	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# LIU, DANNY	DAIN TRAILER	1,256.65	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# GAO, LONGDE	DAIN TRAILER	2,993.63	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# LIU, DANNY	DAIN TRAILER	1,612.29	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# LIU, DANNY	DAIN TRAILER	949.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# GAO, LONGDE	DAIN TRAILER	999.29	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018	# WU, ESTHER	DAIN TRAILER	873.60	0.00	80.0	0.00	0.00	0.00	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/21/2018 #	WEN WU WENDONG WU TTEES	DAIN TRAILER	1,456.41	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	247.31	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	GAO, LONGDE	DAIN TRAILER	2,857.54	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	CHEN, JINBIN	DAIN TRAILER	1,072.74	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WU, ESTHER	DAIN TRAILER	1,584.30	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WEN WU WENDONG WU TTEES	DAIN TRAILER	2,651.85	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	1,646.01	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	GAO, LONGDE	DAIN TRAILER	5,224.78	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	CHEN, JINBIN	DAIN TRAILER	3,382.57	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WU, ESTHER	DAIN TRAILER	4,848.01	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WEN WU WENDONG WU TTEES	DAIN TRAILER	8,119.24	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	880.42	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	GAO, LONGDE	DAIN TRAILER	4,583.88	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	CHEN, JINBIN	DAIN TRAILER	2,388.92	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WU, ESTHER	DAIN TRAILER	3,141.18	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WEN WU WENDONG WU TTEES	DAIN TRAILER	5,242.59	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	957.83	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	2,144.15	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	GAO, LONGDE	DAIN TRAILER	3,042.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	CHEN, JINBIN	DAIN TRAILER	1,272.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WU, ESTHER	DAIN TRAILER	1,596.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WEN WU WENDONG WU TTEES	DAIN TRAILER	2,677.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	671.00	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	GAO, LONGDE	DAIN TRAILER	2,120.95	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	CHEN, JINBIN	DAIN TRAILER	788.47	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WU, ESTHER	DAIN TRAILER	824.04	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	WEN WU WENDONG WU TTEES	DAIN TRAILER	1,373.30	0.00	80.0	0.00	0.00	0.00	0.00
06/21/2018 #	LIU, DANNY	DAIN TRAILER	728.48	0.00	80.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$109,388.13	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$179,959.25	\$294.50		\$19.95	\$255.55	\$159.85	\$95.70

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ADJUSTMENTS

07/20/2018		ADMIN FEE: ADMIN FEE							-390.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
07/20/2018		CIRCLEBLACK: CIRCLEBLACK							-175.00
*** ADJUSTMENTS TOTAL ***									\$-744.00

BROKER COMMISSION TOTALS

Broker Transactions	\$95.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-744.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-648.30
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