



All Companies

03/29/2018

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COMMISSION STATEMENT

April 02, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/12/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	1.06	0.00	1.06	90.0	0.95
03/12/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	3.52	0.00	3.52	90.0	3.17
03/12/2018	00068006068	SHERTZER, LUKE	INTERMEDIATE BOND FUND OF AMERICA-5	B	50.00	1.00	0.00	1.00	90.0	0.90
03/12/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
03/12/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
03/19/2018	00084075735	VILLINES, KARA/ANNA	AMERICAN BALANCED FUND-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	00085020327	PROHASKA, DYLAN	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
03/12/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
03/12/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
03/12/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
03/12/2018	00088535675	CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
03/12/2018	00088535675	CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A	B	80.00	1.28	0.00	1.28	90.0	1.15
03/12/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
03/12/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
03/01/2018	30092111158	RICHARDSON, BRUCE	OPPENHEIMER EQUITY INCOME FD CL A	B	250.00	11.88	0.00	11.88	90.0	10.69
* MUTUAL FUNDS SUBTOTAL *					\$1,812.72	\$37.29	\$0.00	\$37.29		\$33.57

PRODUCT CATEGORY: STOCKS

03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	274.64	274.64	0.00	274.64	90.0	247.18
* STOCKS SUBTOTAL *				\$274.64	\$274.64	\$0.00	\$274.64		\$247.18

PRODUCT CATEGORY: RIA

01/12/2018	11933608	MCADAMS, JOHN W	SIGNAL SECURITIES RIA	B	0.00	393.34	0.00	393.34	90.0	354.01
01/12/2018	12055626	GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	878.34	0.00	878.34	90.0	790.51
01/12/2018	14459187	BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	110.34	0.00	110.34	90.0	99.31
01/12/2018	14607090	MANLEY, RORY	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
01/12/2018	17365377	LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	101.00	0.00	101.00	90.0	90.90
03/15/2018	19010175	STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	B	0.00	152.34	0.00	152.34	90.0	137.11

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	20011253 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	63.00	0.00	63.00	90.0	56.70
01/12/2018	21441902 SANTOS, RAYMOND	SIGNAL SECURITIES RIA	B	0.00	903.66	0.00	903.66	90.0	813.29
01/12/2018	21575254 DOWNS LIV TRUST, WILLIAM/CAROLYN	SIGNAL SECURITIES RIA	B	0.00	1,295.00	0.00	1,295.00	90.0	1,165.50
01/12/2018	23275885 HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	709.34	0.00	709.34	90.0	638.41
01/12/2018	26419203 AHRING, DOROTHY J	SIGNAL SECURITIES RIA	B	0.00	940.34	0.00	940.34	90.0	846.31
01/12/2018	28060052 GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	B	0.00	1,143.66	0.00	1,143.66	90.0	1,029.29
01/12/2018	28106533 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	495.00	0.00	495.00	90.0	445.50
01/12/2018	28416413 BOWDON FAMILY FOUNDATION	SIGNAL SECURITIES RIA	B	0.00	3,622.66	0.00	3,622.66	90.0	3,260.39
01/12/2018	29733972 BOWERS, CINDA I	SIGNAL SECURITIES RIA	B	0.00	959.66	0.00	959.66	90.0	863.69
01/12/2018	30856518 JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	B	0.00	69.34	0.00	69.34	90.0	62.41
01/12/2018	30889813 DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	B	0.00	339.66	0.00	339.66	90.0	305.69
01/12/2018	30958570 DONNELL, MIKE	SIGNAL SECURITIES RIA	B	0.00	89.34	0.00	89.34	90.0	80.41
01/12/2018	31260927 FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	106.66	0.00	106.66	90.0	95.99
01/12/2018	32047427 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
01/12/2018	34663352 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
01/12/2018	34739881 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	219.34	0.00	219.34	90.0	197.41
01/12/2018	35962941 DOWNS, ALEXANDRA M	SIGNAL SECURITIES RIA	B	0.00	171.66	0.00	171.66	90.0	154.49
01/12/2018	37772604 DRONE, CRAIG A	SIGNAL SECURITIES RIA	B	0.00	242.00	0.00	242.00	90.0	217.80
01/12/2018	38803585 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018	39355591 HARSHAW, ERICA	SIGNAL SECURITIES RIA	B	0.00	97.34	0.00	97.34	90.0	87.61
01/12/2018	42907147 JERNIGAN, KYLE	SIGNAL SECURITIES RIA	B	0.00	284.66	0.00	284.66	90.0	256.19
01/12/2018	43177248 ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	643.66	0.00	643.66	90.0	579.29
01/12/2018	43404329 LEFFORGE, SCOTT/JENNIFER	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
01/12/2018	44412032 GETZ, RENEE E	SIGNAL SECURITIES RIA	B	0.00	197.00	0.00	197.00	90.0	177.30
01/12/2018	47335624 HANSEN, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	247.66	0.00	247.66	90.0	222.89
01/12/2018	50230780 BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	663.00	0.00	663.00	90.0	596.70
01/12/2018	50550606 LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	305.34	0.00	305.34	90.0	274.81
01/12/2018	51058096 PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	B	0.00	356.34	0.00	356.34	90.0	320.71
01/12/2018	51559301 DYCK, GARY A/TERESA L	SIGNAL SECURITIES RIA	B	0.00	90.00	0.00	90.00	90.0	81.00
01/12/2018	51666847 WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	B	0.00	849.34	0.00	849.34	90.0	764.41
01/12/2018	52129314 WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	448.34	0.00	448.34	90.0	403.51
01/12/2018	52178933 MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	31.66	0.00	31.66	90.0	28.49
01/12/2018	53917162 MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	702.34	0.00	702.34	90.0	632.11
01/12/2018	54113355 DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	B	0.00	659.00	0.00	659.00	90.0	593.10

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	54825568 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	263.66	0.00	263.66	90.0	237.29
01/12/2018	55524343 MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	B	0.00	510.34	0.00	510.34	90.0	459.31
01/12/2018	56699985 LIKENS, KEVIN A	SIGNAL SECURITIES RIA	B	0.00	420.00	0.00	420.00	90.0	378.00
01/12/2018	57714569 DAVENPORT, CURTIS/SUSAN	SIGNAL SECURITIES RIA	B	0.00	956.66	0.00	956.66	90.0	860.99
01/12/2018	57788308 YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	B	0.00	634.34	0.00	634.34	90.0	570.91
01/12/2018	58441030 HARSHAW, JOHN	SIGNAL SECURITIES RIA	B	0.00	589.34	0.00	589.34	90.0	530.41
01/12/2018	59251730 GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	22.66	0.00	22.66	90.0	20.39
01/12/2018	60681399 HERING, KAREN	SIGNAL SECURITIES RIA	B	0.00	581.34	0.00	581.34	90.0	523.21
01/12/2018	61024092 HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	B	0.00	762.00	0.00	762.00	90.0	685.80
01/12/2018	63201352 MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	B	0.00	65.66	0.00	65.66	90.0	59.09
01/12/2018	68409444 TURNER, LYNN D	SIGNAL SECURITIES RIA	B	0.00	662.66	0.00	662.66	90.0	596.39
01/12/2018	68570849 BLAIR, BRENDA	SIGNAL SECURITIES RIA	B	0.00	116.00	0.00	116.00	90.0	104.40
01/12/2018	68675276 BLAIR BYPASS TRUST	SIGNAL SECURITIES RIA	B	0.00	496.34	0.00	496.34	90.0	446.71
01/12/2018	70913435 BOWDON REV TRUST	SIGNAL SECURITIES RIA	B	0.00	504.66	0.00	504.66	90.0	454.19
01/12/2018	71239113 TURNER, J DWIGHT	SIGNAL SECURITIES RIA	B	0.00	156.34	0.00	156.34	90.0	140.71
01/12/2018	71695806 CHRISTIE, Nanci M	SIGNAL SECURITIES RIA	B	0.00	85.00	0.00	85.00	90.0	76.50
01/12/2018	71762114 BOWDON, HENRY & VIRGINI	SIGNAL SECURITIES RIA	B	0.00	129.00	0.00	129.00	90.0	116.10
01/12/2018	72285366 JOHNSON, ERIC W	SIGNAL SECURITIES RIA	B	0.00	179.66	0.00	179.66	90.0	161.69
01/12/2018	73298229 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	231.34	0.00	231.34	90.0	208.21
01/12/2018	73451449 RALPH, MARK ALAN	SIGNAL SECURITIES RIA	B	0.00	532.00	0.00	532.00	90.0	478.80
01/12/2018	75602403 MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	290.34	0.00	290.34	90.0	261.31
01/12/2018	80644541 TURNER, RITA	SIGNAL SECURITIES RIA	B	0.00	254.34	0.00	254.34	90.0	228.91
01/12/2018	80920481 PEIRSON, WILLIAM/CHRIS	SIGNAL SECURITIES RIA	B	0.00	1,527.00	0.00	1,527.00	90.0	1,374.30
01/12/2018	81013153 BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	B	0.00	236.34	0.00	236.34	90.0	212.71
01/12/2018	81290736 SICKLER, LEE/CHRIS	SIGNAL SECURITIES RIA	B	0.00	122.34	0.00	122.34	90.0	110.11
01/12/2018	81769883 GATLIN, EDWARD E	SIGNAL SECURITIES RIA	B	0.00	127.00	0.00	127.00	90.0	114.30
01/12/2018	81877335 WAMRE, RICK & SALLY	SIGNAL SECURITIES RIA	B	0.00	901.66	0.00	901.66	90.0	811.49
01/12/2018	83450493 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	383.34	0.00	383.34	90.0	345.01
01/12/2018	83813956 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	191.66	0.00	191.66	90.0	172.49
01/12/2018	83898089 MJG PARTNERS LP	SIGNAL SECURITIES RIA	B	0.00	3,711.00	0.00	3,711.00	90.0	3,339.90
01/12/2018	85186147 JONES, LAURA JILL	SIGNAL SECURITIES RIA	B	0.00	118.34	0.00	118.34	90.0	106.51
01/12/2018	85289265 KLEIN, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	372.34	0.00	372.34	90.0	335.11
01/12/2018	85475534 DOWNS, WILLIAM M	SIGNAL SECURITIES RIA	B	0.00	156.00	0.00	156.00	90.0	140.40
01/12/2018	85534947 MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	638.66	0.00	638.66	90.0	574.79
01/12/2018	87153342 BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	98.34	0.00	98.34	90.0	88.51

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
01/12/2018	87804016	LEWIS, JR, JACK F	SIGNAL SECURITIES RIA	B	0.00	92.66	0.00	92.66	90.0 83.39
01/12/2018	88575440	LOHMAN, REBECCA LYNN	SIGNAL SECURITIES RIA	B	0.00	262.00	0.00	262.00	90.0 235.80
01/12/2018	89037743	BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	40.66	0.00	40.66	90.0 36.59
01/12/2018	90630517	GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0 59.40
01/12/2018	90750567	VEGA, ROY (RAUL)	SIGNAL SECURITIES RIA	B	0.00	3,454.34	0.00	3,454.34	90.0 3,108.91
01/12/2018	90906864	SAVAGE, JANICE	SIGNAL SECURITIES RIA	B	0.00	536.34	0.00	536.34	90.0 482.71
01/12/2018	91566568	BOWDON, KENNETH S	SIGNAL SECURITIES RIA	B	0.00	368.66	0.00	368.66	90.0 331.79
01/12/2018	93139099	CHAPMAN, JOE & CARMEN	SIGNAL SECURITIES RIA	B	0.00	713.00	0.00	713.00	90.0 641.70
01/12/2018	93542283	BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	420.34	0.00	420.34	90.0 378.31
01/12/2018	98023364	MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	65.66	0.00	65.66	90.0 59.09
01/12/2018	98899816	STRICKLIN, DONNA A	SIGNAL SECURITIES RIA	B	0.00	378.00	0.00	378.00	90.0 340.20
01/12/2018	98935139	ROBERTS, ROBERT M	SIGNAL SECURITIES RIA	B	0.00	103.00	0.00	103.00	90.0 92.70
01/12/2018	99600192	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	43.66	0.00	43.66	90.0 39.29
* RIA SUBTOTAL *					\$0.00	\$42,350.40	\$0.00	\$42,350.40	\$38,115.40
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	23.63	0.00	23.63	90.0 21.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$23.63	\$0.00	\$23.63	\$21.27
*** BROKER TRANSACTIONS TOTAL ***					\$2,087.36	\$42,685.96	\$0.00	\$42,685.96	\$38,417.42
ADJUSTMENTS									
04/02/2018		TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$38,417.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$38,389.92
Year-to-date Earnings	\$163,261.08