



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 15, 2017**

05/11/2017  
 Page No. 1

JOHN ROSS  
 7261 W. Central Ave.  
  
 Toledo, OH 43617

BROKER #: R119  
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/28/2017	KOZLOWSKI, KATHLEEN	AM FUNDS AMERICAN BALANCED A	154.12	6.55	0.00	6.55	92.0	6.03
05/02/2017	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
05/01/2017	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
05/01/2017	HUNTER, DEBORAH	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	92.0	1.96
05/01/2017	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
05/02/2017	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
05/02/2017	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
04/28/2017	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
05/02/2017	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
04/26/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
04/26/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
/ /	WAGONER JR, JOHN	DELAWARE SMID CAP GROWTH A	0.00	1.82	0.00	1.82	92.0	1.67
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.25	0.00	1.25	92.0	1.15
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	0.85	0.00	0.85	92.0	0.78
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.25	0.00	1.25	92.0	1.15
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.04	0.00	1.04	92.0	0.96
04/30/2017	HENDERSON, BARBARA	FRANKLIN BALANCED C	0.00	14.38	0.00	14.38	92.0	13.23
04/30/2017	KRALL, GARY	FRANKLIN DYNATECH A	0.00	13.50	0.00	13.50	92.0	12.42
04/30/2017	REEVES, TERRENCE	FRANKLIN GOLD & PRECIOUS METALS A	0.00	0.18	0.00	0.18	92.0	0.17
04/30/2017	HENDERSON, BARBARA	FRANKLIN GOLD & PRECIOUS METALS C	0.00	8.86	0.00	8.86	92.0	8.15
04/30/2017	HENDERSON, BARBARA	FRANKLIN INCOME C	0.00	9.01	0.00	9.01	92.0	8.29
04/30/2017	KRALL, GARY	FRANKLIN MICROCAP VALUE A	0.00	15.89	0.00	15.89	92.0	14.62
04/30/2017	KRALL, GARY	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.32	0.00	3.32	92.0	3.05
04/30/2017	HENDERSON, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	13.74	0.00	13.74	92.0	12.64
04/30/2017	DRAGE, JENE	FRANKLIN US GOVT SEC FUND A	0.00	7.38	0.00	7.38	92.0	6.79
04/30/2017	HENDERSON, BARBARA	FRANKLIN US GOVT SEC FUND C	0.00	11.50	0.00	11.50	92.0	10.58

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/30/2017	HENDERSON, BARBARA	FT MUTUAL SHARES FUND C	0.00	24.62	0.00	24.62	92.0	22.65
04/20/2017	MANN, JAMES	OPPENHEIMER MAIN STREET A	400.00	19.00	0.00	19.00	92.0	17.48
04/30/2017	KRALL, GARY	TEMPLETON DEVELOPING MKTS A	0.00	2.78	0.00	2.78	92.0	2.56
04/30/2017	KRALL, GARY	TEMPLETON FOREIGN SMCO A	0.00	2.84	0.00	2.84	92.0	2.61
04/30/2017	SPRANGEL, CHERYL	TEMPLETON WORLD A	0.00	11.47	0.00	11.47	92.0	10.55
04/30/2017	REEVES, TERRENCE	TEMPLETON WORLD A	0.00	0.24	0.00	0.24	92.0	0.22
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,619.12</b>	<b>\$206.66</b>	<b>\$0.00</b>	<b>\$206.66</b>		<b>\$190.12</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/05/2017	SCHLIEVERT, KAREN	AM GEN SEASONS ELITE	41,228.76	103.07	0.00	103.07	92.0	94.82
04/30/2017	IRWIN, JOHN	JNL ELITE ACCESS	140,877.40	29.35	0.00	29.35	92.0	27.00
04/30/2017	DEMKO, VERNA	JNL PERSPECTIVE	50,541.62	10.53	0.00	10.53	92.0	9.69
04/30/2017	LONG, PAUL	JNL PERSPECTIVE II 05/05	3,456.14	1.44	0.00	1.44	92.0	1.32
04/30/2017	LONG, LORI	JNL PERSPECTIVE L	14,700.33	12.25	0.00	12.25	92.0	11.27
04/30/2017	BENSCH, ARLYN	JNL PERSPECTIVE L	31,584.50	26.32	0.00	26.32	92.0	24.21
04/30/2017	BENSCH, CANDACE	JNL PERSPECTIVE L	26,848.82	22.37	0.00	22.37	92.0	20.58
04/30/2017	KRALL, JERRY	JOHN HANCOCK VENTURE III	42,701.36	35.58	0.00	35.58	92.0	32.73
04/30/2017	KEEFE, GERALD	JOHN HANCOCK VENTURE III	14,252.87	11.88	0.00	11.88	92.0	10.93
04/30/2017	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	110,338.55	91.95	0.00	91.95	92.0	84.59
04/30/2017	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	42,841.49	35.70	0.00	35.70	92.0	32.84
04/30/2017	GARDNER, WM	JOHN HANCOCK VENTURE III	122,772.47	102.31	0.00	102.31	92.0	94.13
04/30/2017	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	62,892.95	52.41	0.00	52.41	92.0	48.22
04/30/2017	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	61,325.86	51.10	0.00	51.10	92.0	47.01
04/30/2017	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	106,899.69	89.08	0.00	89.08	92.0	81.95
04/30/2017	MOORE, GARY	JOHN HANCOCK VENTURE III	84,243.37	70.20	0.00	70.20	92.0	64.58
04/30/2017	IRWIN, JOHN	JOHN HANCOCK VENTURE III	71,296.47	59.41	0.00	59.41	92.0	54.66
04/30/2017	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	55,028.43	45.86	0.00	45.86	92.0	42.19
04/30/2017	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	54,683.82	45.57	0.00	45.57	92.0	41.92
04/25/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
04/26/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
04/14/2017	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	146,167.53	121.81	0.00	121.81	92.0	112.07
04/20/2017	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	100,757.46	83.96	0.00	83.96	92.0	77.24
04/21/2017	DEMKO, VERNA	TRANSAMERICA LIBERTY	79,101.80	65.92	0.00	65.92	92.0	60.65
04/22/2017	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	194,390.97	161.99	0.00	161.99	92.0	149.03

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/27/2017	ROSS, SUSAN	TRANSAMERICA LIBERTY	3,356.13	2.80	0.00	2.80	92.0	2.58
04/29/2017	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	26,866.28	22.39	0.00	22.39	92.0	20.60
* VARIABLE ANNUITIES SUBTOTAL *			\$1,689,345.07	\$1,365.70	\$0.00	\$1,365.70		\$1,256.42
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/31/2017	MCCLOSKEY, DANIEL	STADION INVESTMENTS	0.00	3.52	0.00	3.52	92.0	3.24
03/31/2017	MCCLOSKEY, DANIEL	STADION INVESTMENTS	0.00	10.56	0.00	10.56	92.0	9.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$14.08	\$0.00	\$14.08		\$12.96
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/29/2017	COUSINO, SUSAN	ING PREMIUM PLUS 2005	0.00	31.20	0.00	31.20	92.0	28.70
04/29/2017	SILLIMAN, GRACE	ING PREMIUM PLUS 2005	0.00	10.84	0.00	10.84	92.0	9.97
04/30/2017	WAGONER JR, JOHN	ING PREMIUM PLUS 2005	0.00	19.37	0.00	19.37	92.0	17.82
04/30/2017	WAGONER JR, JOHN	ING PREMIUM PLUS 2005	0.00	19.68	0.00	19.68	92.0	18.11
05/03/2017	SWINDLE, CYNTHIA	ING PREMIUM PLUS 2005	0.00	41.41	0.00	41.41	92.0	38.10
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$122.50	\$0.00	\$122.50		\$112.70
*** BROKER TRANSACTIONS TOTAL ***			\$1,690,964.19	\$1,708.94	\$0.00	\$1,708.94		\$1,572.20
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS HALSEY #82</u></b>								
04/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
05/01/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	85.0	0.35
05/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	1.19
03/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.41	0.00	0.41	85.0	0.03
03/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.41	0.00	0.41	85.0	0.03
03/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.18	0.00	1.18	85.0	0.08
03/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.61	0.00	7.61	85.0	0.53
03/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.17	0.00	1.17	85.0	0.08
03/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.03	0.00	11.03	85.0	0.77
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.01	0.00	7.01	85.0	0.49
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.06	0.00	3.06	85.0	0.21
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.29	0.00	4.29	85.0	0.30
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.08	0.00	1.08	85.0	0.08

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	5.55	0.00	5.55	85.0	0.39
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.75	0.00	3.75	85.0	0.26
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.76	0.00	3.76	85.0	0.26
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.74	0.00	5.74	85.0	0.40
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	5.91	0.00	5.91	85.0	0.41
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	7.83	0.00	7.83	85.0	0.55
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	2.96	0.00	2.96	85.0	0.21
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	4.92	0.00	4.92	85.0	0.34
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.85	0.00	5.85	85.0	0.41
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.77	0.00	5.77	85.0	0.40
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.91	0.00	0.91	85.0	0.06
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.38	0.00	13.38	85.0	0.94
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.31	0.00	6.31	85.0	0.44
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	5.62	0.00	5.62	85.0	0.39
04/30/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	64.18	0.00	64.18	85.0	4.49
04/30/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	35.87	0.00	35.87	85.0	2.51
04/30/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.39	0.00	49.39	85.0	3.46
04/30/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.44	0.00	25.44	85.0	1.78
05/02/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	2,000.00	56.00	0.00	56.00	85.0	3.92
04/30/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	15.69	0.00	15.69	85.0	1.10
04/30/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	64.05	0.00	64.05	85.0	4.48
04/30/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.42	0.00	0.42	85.0	0.03
04/30/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	35.13	0.00	35.13	85.0	2.46
04/30/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	36.40	0.00	36.40	85.0	2.55
04/30/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.53	0.00	9.53	85.0	0.67
04/30/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	177,818.17	148.18	0.00	148.18	85.0	10.37
04/30/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,159.71	15.13	0.00	15.13	85.0	1.06
04/30/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	51,486.67	42.91	0.00	42.91	85.0	3.00
04/30/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	40,712.35	33.93	0.00	33.93	85.0	2.38
04/26/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
05/03/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
04/30/2017	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.90	0.00	0.90	85.0	0.06
04/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	132,244.64	110.20	0.00	110.20	85.0	7.71

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS HALSEY #82 </u></b>								
04/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	101,920.53	84.93	0.00	84.93	85.0	5.95
04/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	84,981.96	70.82	0.00	70.82	85.0	4.96
04/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	224,497.93	187.08	0.00	187.08	85.0	13.10
04/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	96,264.25	80.22	0.00	80.22	85.0	5.62
04/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	128,398.36	107.00	0.00	107.00	85.0	7.49
04/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	144,180.78	120.15	0.00	120.15	85.0	8.41
04/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	184,363.34	153.64	0.00	153.64	85.0	10.75
04/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	221,948.62	184.96	0.00	184.96	85.0	12.95
04/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	129,232.49	107.69	0.00	107.69	85.0	7.54
04/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	174,701.87	145.58	0.00	145.58	85.0	10.19
04/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	106,927.70	89.11	0.00	89.11	85.0	6.24
04/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	92,518.50	77.10	0.00	77.10	85.0	5.40
04/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	86,548.68	72.12	0.00	72.12	85.0	5.05
04/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	134,940.18	112.45	0.00	112.45	85.0	7.87
04/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	65,064.59	54.22	0.00	54.22	85.0	3.80
04/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	28,556.26	23.80	0.00	23.80	85.0	1.67
04/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	206,720.13	172.27	0.00	172.27	85.0	12.06
04/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,171.07	63.48	0.00	63.48	85.0	4.44
04/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,171.07	63.48	0.00	63.48	85.0	4.44
04/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,171.07	63.48	0.00	63.48	85.0	4.44
04/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	185,651.17	154.71	0.00	154.71	85.0	10.83
04/22/2017	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	418,594.10	348.83	0.00	348.83	85.0	24.42
04/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	91,766.45	76.47	0.00	76.47	85.0	5.35
04/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	391,158.28	325.97	0.00	325.97	85.0	22.82
04/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	78,469.27	65.39	0.00	65.39	85.0	4.58
04/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	127,150.57	105.96	0.00	105.96	85.0	7.42
04/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	118,402.06	98.67	0.00	98.67	85.0	6.91
04/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,750.75	23.13	0.00	23.13	85.0	1.62
04/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	61,379.47	51.15	0.00	51.15	85.0	3.58
04/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,649.70	30.54	0.00	30.54	85.0	2.14
04/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	46,884.04	39.07	0.00	39.07	85.0	2.73
* THOMAS HALSEY SUBTOTAL *			\$4,447,256.78	\$4,245.08	\$0.00	\$4,245.08		\$297.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT THOMPSON #83</u></b>								
03/31/2017	SHAFFER, CLARK	ICON FUNDS	0.00	14.29	0.00	14.29	90.0	0.29
03/31/2017	RICE, CHERYL	ICON FUNDS	0.00	2.18	0.00	2.18	90.0	0.04
03/31/2017	GECIK, STANLEY	ICON FUNDS	0.00	4.45	0.00	4.45	90.0	0.09
03/31/2017	RECKNER, GARTH	ICON FUNDS	0.00	1.12	0.00	1.12	90.0	0.02
04/26/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
04/30/2017	RICHARDS, MILDRED	JNL PERSPECTIVE	38,382.45	8.00	0.00	8.00	90.0	0.16
04/30/2017	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,132.62	1.33	0.00	1.33	90.0	0.03
04/30/2017	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	41,768.46	34.81	0.00	34.81	90.0	0.70
04/30/2017	SMITH, FRANK	JOHN HANCOCK VENTURE III	101,583.03	84.65	0.00	84.65	90.0	1.69
04/30/2017	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	26,759.88	22.30	0.00	22.30	90.0	0.45
04/30/2017	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	38,326.87	31.94	0.00	31.94	90.0	0.64
04/30/2017	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	129,562.98	107.97	0.00	107.97	90.0	2.16
04/30/2017	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	138,647.76	115.54	0.00	115.54	90.0	2.31
04/30/2017	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	173,007.56	144.17	0.00	144.17	90.0	2.88
04/30/2017	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	24,821.56	20.68	0.00	20.68	90.0	0.41
04/30/2017	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	46,602.31	38.84	0.00	38.84	90.0	0.78
04/30/2017	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	17,635.43	14.70	0.00	14.70	90.0	0.29
04/30/2017	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,948.78	20.79	0.00	20.79	90.0	0.42
04/30/2017	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	168,946.42	140.79	0.00	140.79	90.0	2.82
04/30/2017	ELWING, LOUISE	JOHN HANCOCK VENTURE III	158,854.95	132.38	0.00	132.38	90.0	2.65
04/30/2017	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	154,646.83	128.87	0.00	128.87	90.0	2.58
04/30/2017	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	217,533.47	181.28	0.00	181.28	90.0	3.63
04/30/2017	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	42,692.32	35.58	0.00	35.58	90.0	0.71
04/30/2017	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	117,530.60	97.94	0.00	97.94	90.0	1.96
04/30/2017	RICE, PAMELA	JOHN HANCOCK VENTURE III	109,710.39	91.43	0.00	91.43	90.0	1.83
04/30/2017	RINGGER, LINDA	JOHN HANCOCK VENTURE III	119,345.89	99.45	0.00	99.45	90.0	1.99
04/30/2017	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,968.62	19.97	0.00	19.97	90.0	0.40
04/30/2017	WODA, HELENE	JOHN HANCOCK VENTURE III	29,290.68	24.41	0.00	24.41	90.0	0.49
03/31/2017	MERZ, JOYCE	STADION INVESTMENTS	0.00	9.57	0.00	9.57	90.0	0.19
03/31/2017	WENZ, JAMES	STADION INVESTMENTS	0.00	4.12	0.00	4.12	90.0	0.08
03/31/2017	JACOB, MARILYN	STADION INVESTMENTS	0.00	22.20	0.00	22.20	90.0	0.44
04/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	55,399.75	57.71	0.00	57.71	90.0	1.15
04/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	132,291.54	110.24	0.00	110.24	90.0	2.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT THOMPSON #83 </u></b>								
04/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,474.46	3.73	0.00	3.73	90.0	0.07
04/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	248,495.91	207.08	0.00	207.08	90.0	4.14
04/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,660.88	19.72	0.00	19.72	90.0	0.39
04/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,004.51	5.00	0.00	5.00	90.0	0.10
04/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	93,235.83	77.70	0.00	77.70	90.0	1.55
04/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,517.33	40.43	0.00	40.43	90.0	0.81
04/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	30,910.53	25.76	0.00	25.76	90.0	0.52
04/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,090.38	32.58	0.00	32.58	90.0	0.65
04/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	253,295.52	211.08	0.00	211.08	90.0	4.22
04/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	155,656.31	129.71	0.00	129.71	90.0	2.59
04/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	76,130.00	63.44	0.00	63.44	90.0	1.27
04/15/2017	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	496.61	0.41	0.00	0.41	90.0	0.01
04/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,553.95	2.13	0.00	2.13	90.0	0.04
04/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	227,093.71	189.24	0.00	189.24	90.0	3.78
04/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	115,410.07	96.18	0.00	96.18	90.0	1.92
04/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,594.35	2.16	0.00	2.16	90.0	0.04
04/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	121,864.55	101.55	0.00	101.55	90.0	2.03
04/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,555.42	22.13	0.00	22.13	90.0	0.44
04/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	98,404.17	82.00	0.00	82.00	90.0	1.64
04/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	82,856.51	69.05	0.00	69.05	90.0	1.38
04/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,379.00	32.82	0.00	32.82	90.0	0.66
04/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	109,423.49	91.19	0.00	91.19	90.0	1.82
04/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	65,341.30	54.45	0.00	54.45	90.0	1.09
04/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	97,539.33	81.28	0.00	81.28	90.0	1.63
04/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	172,645.88	143.87	0.00	143.87	90.0	2.88
04/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	213,194.82	177.66	0.00	177.66	90.0	3.55
04/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,791.11	22.33	0.00	22.33	90.0	0.45
04/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,882.08	64.90	0.00	64.90	90.0	1.30
04/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,040.46	125.03	0.00	125.03	90.0	2.50
04/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	58,831.62	49.03	0.00	49.03	90.0	0.98
04/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	32,212.70	26.84	0.00	26.84	90.0	0.54
04/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	110,487.36	92.07	0.00	92.07	90.0	1.84
04/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	167,325.30	139.44	0.00	139.44	90.0	2.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
		* ROBERT THOMPSON SUBTOTAL *	\$5,112,936.60	\$4,315.82	\$0.00	\$4,315.82		\$86.30
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$9,560,193.38	\$8,560.90	\$0.00	\$8,560.90		\$383.46

<b><u>ADJUSTMENTS</u></b>								
05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.98
05/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					-1.55
05/15/2017		*RENEWAL: NATIONWIDE INS	0.00					-90.00
05/15/2017		*TECHNOLOGY: ADMIN - DEB RYAN	0.00					-100.00
05/15/2017		*TECHNOLOGY: ADMIN - J BOYERS	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-868.53

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$1,572.20
Split Transactions	\$0.00
Override Transactions	\$383.46
Adjustments	\$-868.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,087.13
Year-to-date Gross	\$48,235.99
Year-to-date Earnings	\$46,386.98

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