BROKER

All Companies

R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

June 30, 2018

MARCO CASALE

151 BENNINGTON ROAD

FREEHOLD, NJ 07728

### BROKER #: C30

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

### COMMISSION STATEMENT for MARCO CASALE

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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		BROKER TR	ANSA	CTIONS					
PRODUCT CAT	EGORY: STOCKS								
06/15/2018 QG	GW033466 CUSTODIAN, EMILY	JD.COM INC SPONSORED ADR REPSTG CO	M S	-4,358.01	50.00	6.00	44.00	80.0	34.00
06/18/2018 QG	W033466 CUSTODIAN, EMILY	WHITING PETROLEUM CORPORATION COMMO	ОВ	4,843.00	68.00	6.00	62.00	80.0	48.40
06/22/2018 QG	W033466 CUSTODIAN, EMILY	VUZIX CORP COM NEW	В	4,900.00	100.00	6.00	94.00	80.0	74.00
06/22/2018 QG	GW033466 CUSTODIAN, EMILY	WHITING PETROLEUM CORPORATION COMMO	0 S	-5,397.00	50.00	6.00	44.00	80.0	34.00
06/14/2018 QG	W033649 ARLENE M FRANCIS,	DROPBOX INC CL A COM	В	16,145.00	241.00	6.00	235.00	80.0	186.80
06/15/2018 QG	GW033649 ARLENE M FRANCIS,	ALIBABA GROUP HOLDING LTD SPONSORE	D S	-20,000.00	80.73	6.00	74.73	80.0	58.58
06/15/2018 QG	GW033649 ARLENE M FRANCIS,	WHITING PETROLEUM CORPORATION COMMO	0 S	-5,200.00	100.35	6.00	94.35	80.0	74.28
06/18/2018 QG	W033649 ARLENE M FRANCIS,	DROPBOX INC CL A COM	S	-19,500.00	250.00	6.00	244.00	80.0	194.00
06/18/2018 QG	GW033649 ARLENE M FRANCIS,	IQIYI INC SPONS ADR ISIN#US46267X1	0 B	20,589.50	250.00	6.00	244.00	80.0	194.00
06/19/2018 QG	GW033649 ARLENE M FRANCIS,	VUZIX CORP COM NEW	В	6,900.00	150.00	6.00	144.00	80.0	114.00
06/20/2018 QG	GW033649 ARLENE M FRANCIS,	IQIYI INC SPONS ADR ISIN#US46267X1	0 S	-21,748.60	125.00	6.00	119.00	80.0	94.00
06/26/2018 QG	GW033649 ARLENE M FRANCIS,	COHERUS BIOSCIENCES INC COM	В	7,815.00	150.00	6.00	144.00	80.0	114.00
06/27/2018 QG	GW033649 ARLENE M FRANCIS,	SPLUNK INC COM	В	10,249.58	150.00	6.00	144.00	80.0	114.00
06/27/2018 QG	W033730 KIM, IN	DIAMOND OFFSHORE DRILLING INC	S	-20,160.40	50.00	6.00	44.00	80.0	34.00
06/27/2018 QG	W033730 KIM, IN	PATTERSON UTI ENERGY INC	В	18,945.30	175.00	6.00	169.00	80.0	134.00
06/27/2018 QG	W033730 KIM, IN	PATTERSON UTI ENERGY INC	В	-18,945.30	-175.00	-6.00	-169.00	80.0	-134.00
06/27/2018 QG	W033730 KIM, IN	PATTERSON UTI ENERGY INC	В	18,945.30	175.00	6.00	169.00	80.0	134.00
06/18/2018 QG	W033763 LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X1	0 B	20,792.50	250.00	6.00	244.00	80.0	194.00
06/18/2018 QG	W033763 LYONS, SALLY	MAZOR ROBOTICS LTD SPONSORED ADS	S	-17,400.00	150.00	6.00	144.00	80.0	114.00
06/20/2018 QG	W033763 LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X1	0 S	-21,952.30	125.00	6.00	119.00	80.0	94.00
06/21/2018 QG	W033763 LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X1	0 B	20,568.30	250.00	6.00	244.00	80.0	194.00
06/05/2018 QG	W033805 LYONS, SALLY	JD.COM INC SPONSORED ADR REPSTG CO	M S	-19,500.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 QG	W033805 LYONS, SALLY	ROKU INC CLASS A COMMON STOCK	В	19,015.00	200.00	6.00	194.00	80.0	154.00
06/14/2018 QG	W033805 LYONS, SALLY	DROPBOX INC CL A COM	В	16,145.00	241.00	6.00	235.00	80.0	186.80
06/15/2018 QG	W033805 LYONS, SALLY	ROKU INC CLASS A COMMON STOCK	S	-20,000.00	288.14	6.00	282.14	80.0	224.51
06/18/2018 QG	W033805 LYONS, SALLY	DROPBOX INC CL A COM	S	-19,500.00	250.00	6.00	244.00	80.0	194.00
06/18/2018 QG	W033805 LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X1	0 в	20,884.95	250.00	6.00	244.00	80.0	194.00
06/20/2018 QG	W033805 LYONS, SALLY	IQIYI INC SPONS ADR ISIN#US46267X1	0 S	-21,930.10	125.00	6.00	119.00	80.0	94.00
06/21/2018 QG	W033805 LYONS, SALLY	INVIVO THERAPEUTICS HOLDINGS CORP	СВ	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018 QG	W033805 LYONS, SALLY	WTS INVIVO THERAPEUTICS HLDGS CORP	В	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018 QG	W033805 LYONS, SALLY	WTS INVIVO THERAPEUTICS HLDGS CORP	В	-8.00	-50.00	-6.00	-44.00	80.0	-34.00

INVESTMENT

TRADE DATE

CLIENT

### COMMISSION STATEMENT for MARCO CASALE

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No.

RATE

BROKER

COMMISSION

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PRODUCT CATEGORY: STOCKS    PRODUCT CATEGORY: STOCKS  SALINY   VOIZE CORP COM NEW   B   6,679.00   10.00   6.00   14.00   6.00   14.00   114.00   114.00   10.00   1									
		BROKER TI	RANS	ACTIONS					
MATERIAL	PRODUCT CATEGORY: STOCKS								
	06/21/2018 QGW033805 LYONS, SALLY	WTS INVIVO THERAPEUTICS HLDGS CORE	В	8.00	0.00	6.00	-6.00	80.0	-6.00
06/21/22018 CQW0334761 SYNCZAK, STEPHEN   MODER ALMOUR INC CLASS A COMMON STOCK   8   14,405.00   200.00   6.00   44.00   80.0   34.00   06/01/22018 CQW034761 SYNCZAK, STEPHEN   BOKU INC CLASS A COMMON STOCK   8   19,000.00   200.00   6.00   144.00   80.0   144.00   06/01/22018 CQW034761 SYNCZAK, STEPHEN   BOKU INC CLASS A COMMON STOCK   8   19,000.00   100.00   6.00   144.00   80.0   144.00   06/01/22018 CQW034761 SYNCZAK, STEPHEN   BOKU INC CLASS A COMMON STOCK   8   70,250.00   100.00   6.00   6.00   44.00   80.0   144.00   06/21/22018 CQW034761 SYNCZAK, STEPHEN   ABBYLE INC   5   -02,250.00   150.00   6.00   6.00   44.00   80.0   34.00   06/21/22018 CQW034761 SYNCZAK, STEPHEN   ABBYLE INC   5   -02,250.00   150.00   6.00   6.00   44.00   80.0   34.00   06/21/22018 CQW034761 SYNCZAK, STEPHEN   ABBYLE INC   5   -02,250.00   6.	06/22/2018 QGW033805 LYONS, SALLY	VUZIX CORP COM NEW	В	6,875.00	150.00	6.00	144.00	80.0	114.00
0.6701/2018   COMO34761   SYMCZAK, STEPHEN   MORE ARMOUR INC CLASS A COMMON STOCK   1   14,400.00   200.00   6.00   144.00   80.0   134.00   06/05/2018   COMO34761   SYMCZAK, STEPHEN   LAK RESEARCH CORP   8   18,593.60   150.00   6.00   144.00   80.0   144.00   06/05/2018   COMO34761   SYMCZAK, STEPHEN   LAK RESEARCH CORP   8   18,593.60   150.00   6.00   144.00   80.0   144.00   06/05/2018   COMO34761   SYMCZAK, STEPHEN   LAK RESEARCH CORP   8   18,593.60   150.00   6.00   6.00   44.00   80.0   74.00   06/26/2018   COMO34761   SYMCZAK, STEPHEN   COMERGE INC COME   8   79,340.38   55.00   6.00	06/26/2018 QGW033805 LYONS, SALLY	COHERUS BIOSCIENCES INC COM	В	7,925.00	150.00	6.00	144.00	80.0	114.00
66/05/2018 QCN034761 SYMCZAK, STEPHEN	06/21/2018 QGW033821 RUNYAN, RALPH	SACHEM CAPITAL CORP COMMON SHARES	В	4,166.40	131.00	6.00	125.00	80.0	98.80
66/11/2018 QUR034761 SYNCZAK, STEPHENN   LM RESEARCH CORP   8   18,593,60   150.00   6.00   144.00   80.0   74.00   06/12/2018 QUR034761 SYNCZAK, STEPHENN   COKENS 10 COMMAN STOCK   8   -9,340.38   50.00   6.00   44.00   80.0   74.00   06/26/2018 QUR034761 SYNCZAK, STEPHENN   COKENS 10 COKENS 10 COMMAN STOCK   8   -9,340.38   50.00   6.00   144.00   80.0   144.00   80.0   85.10	06/01/2018 QGW034761 SYMCZAK, STEPHEN	UNDER ARMOUR INC CLASS C COMMON ST	.0 S	-14,430.00	50.00	6.00	44.00	80.0	34.00
06/11/2018 QCM034761 SYMCZAK, STEPHENN   ABBYTE INC   S   -9.310.38   50.00   6.00   44.00   80.0   34.00   06/26/2018 QCM034761 SYMCZAK, STEPHENN   ABBYTE INC   COMERUS BIOSCIENCES INC COM   S   7.97.80   150.00   6.00   144.00   80.0   144.00   06/26/2018 QCM034761 SYMCZAK, STEPHENN   ABBYTE INC   COMERUS BIOSCIENCES INC COM   S   7.97.80   150.00   6.00   6.00   44.00   80.0   54.00   06/31/2018 QCM0343761 SYMCZAK, STEPHENN   ARTHELL HOLDING COMP   B   4,916.00   75.00   6.00   69.00   80.0   54.00   06/31/2018 QCM034348 RIZZO, GIOVAINNI   DIAMOND OFFSHORE DRILLING INC   S   -20.173.60   50.00   6.00   44.00   80.0   54.00   06/27/2018 QCM034548 RIZZO, GIOVAINNI   DIAMOND OFFSHORE DRILLING INC   S   -20.173.60   50.00   6.00   289.60   80.0   230.48   06/19/2018 QCM034548 TREIBER, NANCY   WILLIAM CLASS A COMMON STOCK   S   -20.173.60   50.00   6.00   289.60   80.0   230.48   06/19/2018 QCM034564 TREIBER, NANCY   WILLIAM CLASS A COMMON STOCK   S   -20.000.00   50.00   6.00   144.00   80.0   114.00   06/21/2018 QCM034564 TREIBER, NANCY   WILLIAM CHASS A COMMON STOCK   S   -20.000.00   50.00   6.00   144.00   80.0   144.00   66/21/2018 QCM034564 TREIBER, NANCY   WILLIAM CHASS A COMMON STOCK   S   -8.00   50.00   50.00   6.00   44.00   80.0   66/21/2018 QCM034564 TREIBER, NANCY   WILLIAM CHASS A COMMON STOCK   S   -8.00   50.00   6.00   44.00   80.0   66/21/2018 QCM034564 TREIBER, NANCY   WILLIAM CHASS A COMMON STOCK   S   -8.00   50.00   6.00   44.00   80.0   66/21/2018 QCM034564 TREIBER, NANCY   WILLIAM CHASS A COMMON STOCK   S   -9.305.00   50.00   6.00   44.00   80.0   66/21/2018 QCM034564 TREIBER, NANCY   WILLIAM CHASS A COMMON STOCK   S   -9.305.00   50.00   6.00   44.00   80.0   34.00   66/21/2018 QCM034564 TREIBER, NANCY   COMERUS AND CHASS A COMMON STOCK   S   -9.305.00   50.00   6.00   44.00   80.0   34.00   66/21/2018 QCM034564 TREIBER, NANCY   COMERUS A COMMON STOCK   S   -9.305.00   50.00   6.00   44.00   80.0   34.00   66/21/2018 QCM034564 TREIBER, NANCY   COMERUS A COMMON STOCK   S   -9.305.00	06/05/2018 QGW034761 SYMCZAK, STEPHEN	ROKU INC CLASS A COMMON STOCK	В	19,060.00	200.00	6.00	194.00	80.0	154.00
66/26/2018 QCMO34761 SYMCZEK, STEPHEN   COMERUS BLOSCIENCES INC COM   B   7,875.00   150.00   6.00   144.00   80.0   144.00   80.0   144.00   80.0   144.00   80.0   144.00   80.0   144.00   80.0   80.10   80.10   80.11   80.0   80.0	06/11/2018 QGW034761 SYMCZAK, STEPHEN	LAM RESEARCH CORP	В	18,593.60	150.00	6.00	144.00	80.0	114.00
06/26/2018 QGN034594 MCDDERNO, PATRICIA MA STEEL HOLDING CORP B 4,916.00   75.00   6	06/11/2018 QGW034761 SYMCZAK, STEPHEN	ROKU INC CLASS A COMMON STOCK	S	-20,250.00	100.00	6.00	94.00	80.0	74.00
05/31/2018 CQW0354894 MODDERNO, PATRICIA AK STEEL HOLDING CORP B 4,866.60 75.00 6.00 69.00 80.0 54.00 66/31/2018 CQW035438 RIZZO, GLOVANNI AK STEEL HOLDING CORP B 4,866.60 75.00 6.00 6.00 69.00 80.0 54.00 66/07/2018 CQW035438 RIZZO, GLOVANNI DIAMOND OPPSINORE BURLILING INC S -2.01.00 50.00 6.00 44.00 80.0 34.00 66/05/2018 CQW0356584 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 19,987.40 200.00 6.00 144.00 80.0 154.00 66/15/2018 CQW036584 TREIBER, NANCY VUZIX CORP COM NEW B 6,900.00 295.60 6.00 289.60 80.0 230.48 06/19/2018 CQW036584 TREIBER, NANCY VUZIX CORP COM NEW B 6,900.00 155.00 6.00 144.00 80.0 144.00 66/21/2018 CQW036584 TREIBER, NANCY VUZIX CORP COM NEW B 6,900.00 155.00 6.00 44.00 80.0 -6.00 66/21/2018 CQW036584 TREIBER, NANCY WISINIVO THERAFEUTICS HOLDS CORP B 1.592.00 0.00 6.00 44.00 80.0 -6.00 66/21/2018 CQW036584 TREIBER, NANCY WISINIVO THERAFEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 -34.00 C 66/21/2018 CQW036584 TREIBER, NANCY WISINIVO THERAFEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 -34.00 C 66/21/2018 CQW036584 TREIBER, NANCY WISINIVO THERAFEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 -40.00 66/26/2018 CQW036584 TREIBER, NANCY ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 -6.00 66/26/2018 CQW036584 TREIBER, NANCY ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 34.00 66/26/2018 CQW036584 TREIBER, NANCY ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 34.00 66/05/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 34.00 66/05/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 34.00 66/15/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 34.00 66/15/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6.00 44.00 80.0 34.00 66/15/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6.00 6.00 44.00 80.0 34.00 66/15/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6.00 6.00 44.00 80.0 34.00 66/15/2018 CQW0365852 TREIBER, NANCY BOUNT ABSVIE INC S -9.305.00 50.00 6	06/26/2018 QGW034761 SYMCZAK, STEPHEN	ABBVIE INC	S	-9,340.38	50.00	6.00	44.00	80.0	34.00
05/31/2018 QGW035438 RIZZO, GIOVANNI DIAMOND OFFSHORE DRILLING INC S -20,173.60 50.00 6.00 44.00 80.0 34.00 66/05/2018 QGW035548 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 19,987.40 200.00 6.00 194.00 80.0 154.00 66/05/2018 QGW036584 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 19,987.40 200.00 6.00 194.00 80.0 154.00 66/15/2018 QGW036584 TREIBER, NANCY VUEIX CORP COM NEW B 6,990.00 150.00 6.00 144.00 80.0 114.00 66/21/2018 QGW036584 TREIBER, NANCY VUEIX CORP COM NEW B 6,990.00 150.00 6.00 144.00 80.0 114.00 66/21/2018 QGW036584 TREIBER, NANCY WIE INTVO THERAPEUTICS HIDGS CORP B 8.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WIE INTVO THERAPEUTICS HIDGS CORP B 8.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WIE INTVO THERAPEUTICS HIDGS CORP B 8.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WIE INTVO THERAPEUTICS HIDGS CORP B 8.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WIE INTVO THERAPEUTICS HIDGS CORP B 8.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WIE INTVO THERAPEUTICS HIDGS CORP B 8.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY ABBVIE INC S 9.7,805.00 50.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY ABBVIE INC COHERUS BIOSCIENCES INC COM B 7.852.50 150.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 444.00 80.0 34.00 66/21/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 440.00 80.0 34.00 66/15/2018 QGW036592 TREIBER, NANCY WILL CLASS A COMMON STOCK B 11,978.04 170.00 6.00 440.00 80.0 340.00 66/15/2018 QGW036592 TREIBER, NANCY WILL CLASS A COMMON STOCK B 11,978.04 170.00 6.00 440.00 80.0 130.00 66/15/2018 QGW036592 TREIBER, NANCY WILL CORP COM NEW B 14,000 100.00 6.00 6.00 440.00 80.0 130.00 66/15/2018 QGW036592 TREIBER, NANCY WILL CORP COM NEW B 14,000 100.00 6.00 6.00 140.00 80.0 140.00 66/15/2018 QGW036592 TREIBER, NANCY WILL CORP COM NEW B 14,00	06/26/2018 QGW034761 SYMCZAK, STEPHEN	COHERUS BIOSCIENCES INC COM	В	7,875.00	150.00	6.00	144.00	80.0	114.00
06/27/2018 QSM036548 RIZZO, GIOVANNI DIAMOND OFFSHORE DRILLING INC S	05/31/2018 QGW034894 MODDERNO, PATRICIA	AK STEEL HOLDING CORP	В	4,916.00	75.00	6.00	69.00	80.0	54.00
06/05/2018 QRW036584 TREIBER, NANCY	05/31/2018 QGW035438 RIZZO, GIOVANNI	AK STEEL HOLDING CORP	В	4,866.60	75.00	6.00	69.00	80.0	54.00
06/15/2018 QGM036584 TREIBER, NANCY VULIX CORP COM NEW B 6,900.00 150.00 6.00 144.00 80.0 114.00 06/21/2018 QGM036584 TREIBER, NANCY VULIX CORP COM NEW B 6,900.00 150.00 6.00 144.00 80.0 114.00 06/21/2018 QGM036584 TREIBER, NANCY INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/21/2018 QGM036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/21/2018 QGM036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/21/2018 QGM036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/21/2018 QGM036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGM036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGM036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGM036584 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7.852.50 150.00 6.00 44.00 80.0 34.00 06/26/2018 QGM036584 TREIBER, NANCY DIAMOND OFFSHORE DELLLING INC S -20,150.20 50.00 6.00 44.00 80.0 34.00 06/05/2018 QGM036592 TREIBER, NANCY WIS INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 144.00 80.0 34.00 06/15/2018 QGM036592 TREIBER, NANCY WIS INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 144.00 80.0 144.00 80.0 16/15/2018 QGM036592 TREIBER, NANCY WILL CLASS A COMMON STOCK S -12,600.00 190.52 6.00 144.00 80.0 144.00 80.0 16/15/2018 QGM036592 TREIBER, NANCY WILL CLASS A COMMON STOCK S -12,600.00 190.52 6.00 144.00 80.0 144.00 80.0 144.00 80.0 16/15/2018 QGM036592 TREIBER, NANCY WILL CLASS A COMMON STOCK S -12,600.00 190.52 6.00 144.00 80.0 1	06/27/2018 QGW035438 RIZZO, GIOVANNI	DIAMOND OFFSHORE DRILLING INC	S	-20,173.60	50.00	6.00	44.00	80.0	34.00
06/19/2018 QGW036584 TREIBER, NANCY INVIVO THERAPEUTICS HOLDINGS CORP C B 1,592.00 0.00 6.00 -6.00 80.0 -6.00 66/21/2018 QGW036584 TREIBER, NANCY WIS INVIVO THERAPEUTICS HOLDINGS CORP C B 1,592.00 0.00 6.00 -6.00 44.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WIS INVIVO THERAPEUTICS HOLDS CORP B 8.00 -50.00 -6.00 -6.00 -44.00 80.0 34.00 c 66/21/2018 QGW036584 TREIBER, NANCY WIS INVIVO THERAPEUTICS HOLDS CORP B 8.00 0.00 6.00 -6.00 -44.00 80.0 -34.00 C 66/21/2018 QGW036584 TREIBER, NANCY WIS INVIVO THERAPEUTICS HOLDS CORP B 8.00 0.00 6.00 -6.00 44.00 80.0 34.00 c 66/26/2018 QGW036584 TREIBER, NANCY ABBUTE INC S -9,305.00 50.00 6.00 44.00 80.0 34.00 c 66/26/2018 QGW036584 TREIBER, NANCY OCHERUS BIOSCIENCES INC COM B 7,852.50 150.00 6.00 44.00 80.0 34.00 c 66/26/2018 QGW036584 TREIBER, NANCY DIAMOND OFFSIGNE DRILLING INC S -20,150.20 50.00 6.00 44.00 80.0 34.00 c 66/25/2018 QGW036584 TREIBER, NANCY DIAMOND OFFSIGNE DRILLING INC S -20,150.20 50.00 6.00 44.00 80.0 34.00 c 66/25/2018 QGW036592 TREIBER, NANCY DIAMOND OFFSIGNE DRILLING INC S -5,955.00 6.00 44.00 80.0 34.00 c 66/12/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 184.00 80.0 34.00 c 66/12/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 144.02 66/15/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -7,800.00 130.87 6.00 184.00 80.0 144.00 80.0 144.00 66/15/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -7,800.00 130.87 6.00 180.0 140.00 66/18/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -7,800.00 130.87 6.00 180.0 140.00 80.0 140.00 66/18/2018 QGW036592 TREIBER, NANCY ROKU INC SPONS ADR ISINHUS46267X10 S -7,800.00 150.00 6.00 44.00 80.0 140.00 66/18/2018 QGW036592 TREIBER, NANCY ROKU INC SPONS ADR ISINHUS46267X10 S -9,301.73 50.00 6.00 44.00 80.0 140.00 66/26/2018 QGW036592 TREIBER, NANCY ROKU INC SPONS ADR ISINHUS46267X10 S -9,301.73 50.00 6.00 44.00 80.0 140.00 66/26/26/2018 QGW036592 TREIBER, NANCY ROKU INC SPONS ADR IS	06/05/2018 QGW036584 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	В	19,987.40	200.00	6.00	194.00	80.0	154.00
06/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HOLDINGS CORP C B 8.00 50.00 6.00 44.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 66/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 -6.00 66/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 -6.00 66/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HOLDS CORP B 8.00 50.00 6.00 44.00 80.0 34.00 66/26/2018 QGW036584 TREIBER, NANCY ABBYLE INC S -9.005.00 50.00 6.00 44.00 80.0 114.00 80.0 66/26/2018 QGW036584 TREIBER, NANCY COMERUS BIOSCIENCES INC COM B 7.852.50 150.00 6.00 44.00 80.0 114.00 80.0 66/27/2018 QGW036584 TREIBER, NANCY DIMONDO DFSHORE DEILLING INC S -20.150.20 50.00 6.00 44.00 80.0 34.00 80.0 66/25/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 164.00 80.0 34.00 80.0 66/15/2018 QGW036592 TREIBER, NANCY SACHEM CAPITAL CORP COMMON SHARES S -5.925.00 50.00 6.00 44.00 80.0 34.00 80.0 66/15/2018 QGW036592 TREIBER, NANCY WHITTING PETROLEUM COMPONS TOCK B 11,978.04 170.00 6.00 184.52 80.0 146.42 80.0 66/15/2018 QGW036592 TREIBER, NANCY WHITTING PETROLEUM COMPONS TOCK B 10.00 190.52 6.00 184.52 80.0 146.42 80.0 66/15/2018 QGW036592 TREIBER, NANCY WHITTING PETROLEUM COMPONS TOCK B 20.533.90 250.00 6.00 184.52 80.0 130.50 66/210/2018 QGW036592 TREIBER, NANCY WHITTING PETROLEUM COMPONS TOCK B 10.00 190.52 6.00 184.52 80.0 130.50 66/210/2018 QGW036592 TREIBER, NANCY WHITTING PETROLEUM COMPONS TOCK B 10.00 190.52 6.00 184.52 80.0 130.50 66/210/2018 QGW036592 TREIBER, NANCY WHITTING PETROLEUM COMPONS TOCK B 10.00 190.52 6.00 184.00 80.0 144.00 80.0 1	06/15/2018 QGW036584 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	S	-21,000.00	295.60	6.00	289.60	80.0	230.48
06/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B	06/19/2018 QGW036584 TREIBER, NANCY	VUZIX CORP COM NEW	В	6,900.00	150.00	6.00	144.00	80.0	114.00
06/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 0.00 -6.00 -44.00 80.0 -34.00 C 06/21/2018 QGW036584 TREIBER, NANCY WTS INVIVO THERAPEUTICS HLDGS CORP B 8.00 0.00 6.00 -6.00 80.0 -6.00 80.0 -6.00 60/26/2018 QGW036584 TREIBER, NANCY ABBVIE INC S -9,305.00 50.00 6.00 44.00 80.0 144.00 80.0	06/21/2018 QGW036584 TREIBER, NANCY	INVIVO THERAPEUTICS HOLDINGS CORP	СВ	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018 GW036584 TREIBER, NANCY ABBYLE INC S -9,305.00 50.00 6.00 44.00 80.0 34.00 66/26/2018 GW036584 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,852.50 150.00 6.00 144.00 80.0 34.00 60/26/2018 GW036584 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,852.50 150.00 6.00 144.00 80.0 34.00 60/27/2018 GW036584 TREIBER, NANCY DIAMOND OFFSHORE DRILLING INC S -20,150.20 50.00 6.00 144.00 80.0 34.00 60/05/2018 GW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 164.00 80.0 34.00 60/12/2018 GW036592 TREIBER, NANCY SACHEM CAPITAL CORP COMMON SHARES S -5,925.00 50.00 6.00 144.00 80.0 34.00 60/15/2018 GW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 146.42 60/15/2018 GW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 130.87 80.0 146.42 60/18/2018 GW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 130.87 80.0 194.00 60/18/2018 GW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 244.00 80.0 194.00 60/18/2018 GW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 44.00 80.0 74.00 60/20/2018 GW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 194.00 80.0 94.00 60/26/2018 GW036592 TREIBER, NANCY GORD SADR ISIN#US46267X10 S -21,941.85 125.00 6.00 194.00 80.0 94.00 60/26/2018 GW036592 TREIBER, NANCY GORD SADR ISIN#US46267X10 S -9,301.73 50.00 6.00 44.00 80.0 144.00 80.0 144.00 60/26/2018 GW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 144.00 80.0 144.00 60/26/2018 GW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 44.00 80.0 144.00 80.0 144.00 60/26/2018 GW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 44.00 80.0 144.00 80.0 144.00 60/26/2018 GW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 44.00 80.0 144.00 80.0 144.00 60/26/2018 GW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 144.00 80.0 144.00 60/26/2018 GW036592 TREIBER, NANCY SPLUNK INC COM SPLUNK INC COM B 10,244.0	06/21/2018 QGW036584 TREIBER, NANCY	WTS INVIVO THERAPEUTICS HLDGS CORE	В	8.00	50.00	6.00	44.00	80.0	34.00
06/26/2018 QGW036584 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7.852.50 150.00 6.00 144.00 80.0 144	06/21/2018 QGW036584 TREIBER, NANCY	WTS INVIVO THERAPEUTICS HLDGS CORE	В	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/26/2018 QGW036584 TREIBER, NANCY DIAMOND OFFSHORE DRILLING INC S -20,150.20 50.00 6.00 44.00 80.0 34.00 06/27/2018 QGW036584 TREIBER, NANCY DIAMOND OFFSHORE DRILLING INC S -20,150.20 50.00 6.00 44.00 80.0 34.00 06/05/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 164.00 80.0 130.00 06/12/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 146.42 06/15/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 146.42 06/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 244.00 80.0 194.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 44.00 80.0 74.00 06/26/2018 QGW036592 TREIBER, NANCY JUYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 114.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 114.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 44.00 80.0 144.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 6.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM SPLUNK INC COM	06/21/2018 QGW036584 TREIBER, NANCY	WTS INVIVO THERAPEUTICS HLDGS CORE	В	8.00	0.00	6.00	-6.00	80.0	-6.00
06/27/2018 QGW036584 TREIBER, NANCY DIAMOND OFFSHORE DRILLING INC S -20,150.20 50.00 6.00 44.00 80.0 34.00 60/05/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK B 11,978.04 170.00 6.00 164.00 80.0 130.00 66/12/2018 QGW036592 TREIBER, NANCY SACHEM CAPITAL CORP COMMON SHARES S -5,925.00 50.00 6.00 44.00 80.0 34.00 66/15/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 146.42 66/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMO S -7,800.00 136.87 6.00 130.87 80.0 103.50 66/18/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMO S -7,800.00 136.87 6.00 130.87 80.0 103.50 66/19/2018 QGW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 244.00 80.0 194.00 66/19/2018 QGW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 66/20/2018 QGW036592 TREIBER, NANCY UZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 66/26/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 66/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 44.00 80.0 34.00 66/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 66/27/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 114.00 66/14/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 186.80 66/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 199.00 80.0 94.00 66/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 6.00 199.00 80.0 94.00 66/26/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 6.00 144.00 80.0 114.00 66/26/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 6.00 6.00 6.00 6.00 6.00 6.00	06/26/2018 QGW036584 TREIBER, NANCY	ABBVIE INC	S	-9,305.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 QGW036592 TREIBER, NANCY SACHEM CAPITAL CORP COMMON STOCK B 11,978.04 170.00 6.00 164.00 80.0 130.00 06/12/2018 QGW036592 TREIBER, NANCY SACHEM CAPITAL CORP COMMON SHARES S -5,925.00 50.00 6.00 44.00 80.0 34.00 06/15/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 146.42 06/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 244.00 80.0 194.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/20/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 144.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 144.00 80.0 144.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 199.00 80.0 94.00 06/26/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 6.00 144.00 80.0 114.00 06/26/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 6.00 144.00 80.0 144.00 8	06/26/2018 QGW036584 TREIBER, NANCY	COHERUS BIOSCIENCES INC COM	В	7,852.50	150.00	6.00	144.00	80.0	114.00
06/12/2018 QGW036592 TREIBER, NANCY ROKU INC CLASS A COMMON STOCK S -12,600.00 190.52 6.00 184.52 80.0 146.42 06/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMO S -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMO S -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 B 20,533.90 250.00 6.00 244.00 80.0 194.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/20/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 144.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 144.00 80.0 114.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM S 114.00 06/12/2018 QGW036591 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 144.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 144.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 19.00 6.00 44.00 80.0 54.00 06/26/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 6.00 144.00 80.0 114.00 06/26/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 150.00 6.00 144.00 80.0 114.00 06/26/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 6.00 144.00 80	06/27/2018 QGW036584 TREIBER, NANCY	DIAMOND OFFSHORE DRILLING INC	S	-20,150.20	50.00	6.00	44.00	80.0	34.00
06/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS 5 -7,800.00 190.52 6.00 184.52 80.0 146.42 06/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMOS -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY 1QIYI INC SPONS ADR ISIN#US46267X10 B 20,533.90 250.00 6.00 244.00 80.0 194.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/20/2018 QGW036592 TREIBER, NANCY 1QIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/21/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 199.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,4	06/05/2018 QGW036592 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	В	11,978.04	170.00	6.00	164.00	80.0	130.00
06/15/2018 QGW036592 TREIBER, NANCY WHITING PETROLEUM CORPORATION COMMO S -7,800.00 136.87 6.00 130.87 80.0 103.50 06/18/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 B 20,533.90 250.00 6.00 244.00 80.0 194.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/20/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036995 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 114.00	06/12/2018 QGW036592 TREIBER, NANCY	SACHEM CAPITAL CORP COMMON SHARES	S	-5,925.00	50.00	6.00	44.00	80.0	34.00
06/18/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 B 20,533.90 250.00 6.00 244.00 80.0 194.00 06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/20/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 19.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 6.00 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00 114.00	06/15/2018 QGW036592 TREIBER, NANCY	ROKU INC CLASS A COMMON STOCK	S	-12,600.00	190.52	6.00	184.52	80.0	146.42
06/19/2018 QGW036592 TREIBER, NANCY VUZIX CORP COM NEW B 4,140.00 100.00 6.00 94.00 80.0 74.00 06/20/2018 QGW036592 TREIBER, NANCY IQIYI INC SPONS ADR ISIN#US46267X10 S -21,941.85 125.00 6.00 119.00 80.0 94.00 06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 44.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/15/2018 QGW036592 TREIBER, NANCY	WHITING PETROLEUM CORPORATION COMM	IO S	-7,800.00	136.87	6.00	130.87	80.0	103.50
06/20/2018 QGW036592 TREIBER, NANCY	06/18/2018 QGW036592 TREIBER, NANCY	IQIYI INC SPONS ADR ISIN#US46267X1	.0 B	20,533.90	250.00	6.00	244.00	80.0	194.00
06/26/2018 QGW036592 TREIBER, NANCY ABBVIE INC S -9,301.73 50.00 6.00 44.00 80.0 34.00 06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 6.00 19.00 80.0 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/19/2018 QGW036592 TREIBER, NANCY	VUZIX CORP COM NEW	В	4,140.00	100.00	6.00	94.00	80.0	74.00
06/26/2018 QGW036592 TREIBER, NANCY COHERUS BIOSCIENCES INC COM B 7,865.00 150.00 6.00 144.00 80.0 114.00 06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 69.00 80.0 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/20/2018 QGW036592 TREIBER, NANCY	IQIYI INC SPONS ADR ISIN#US46267X1	.0 S	-21,941.85	125.00	6.00	119.00	80.0	94.00
06/27/2018 QGW036592 TREIBER, NANCY SPLUNK INC COM B 10,214.00 150.00 6.00 144.00 80.0 114.00 06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 69.00 80.0 144.00 80.0 114.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/26/2018 QGW036592 TREIBER, NANCY	ABBVIE INC	S	-9,301.73	50.00	6.00	44.00	80.0	34.00
06/12/2018 QGW036915 CASSERINO, PAULA DUNKIN BRANDS GROUP INC S -13,596.68 50.00 6.00 44.00 80.0 34.00 06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 69.00 80.0 54.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/26/2018 QGW036592 TREIBER, NANCY	COHERUS BIOSCIENCES INC COM	В	7,865.00	150.00	6.00	144.00	80.0	114.00
06/14/2018 QGW036915 CASSERINO, PAULA SACHEM CAPITAL CORP COMMON SHARES B 11,909.10 241.00 6.00 235.00 80.0 186.80 06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 69.00 80.0 54.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/27/2018 QGW036592 TREIBER, NANCY	SPLUNK INC COM	В	10,214.00	150.00	6.00	144.00	80.0	114.00
06/26/2018 QGW037061 ALONSO, ALICE KKR & CO L P DEL COM UNITS S -12,235.00 125.00 6.00 119.00 80.0 94.00 05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 69.00 80.0 54.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/12/2018 QGW036915 CASSERINO, PAULA	DUNKIN BRANDS GROUP INC	S	-13,596.68	50.00	6.00	44.00	80.0	34.00
05/31/2018 QGW038697 KOHN, SUSAN AK STEEL HOLDING CORP B 4,790.00 75.00 6.00 69.00 80.0 54.00 06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/14/2018 QGW036915 CASSERINO, PAULA	SACHEM CAPITAL CORP COMMON SHARES	В	11,909.10	241.00	6.00	235.00	80.0	186.80
06/06/2018 QGW038697 KOHN, SUSAN DIAMOND OFFSHORE DRILLING INC B 9,405.00 150.00 6.00 144.00 80.0 114.00	06/26/2018 QGW037061 ALONSO, ALICE	KKR & CO L P DEL COM UNITS	S	-12,235.00	125.00	6.00	119.00	80.0	94.00
	05/31/2018 QGW038697 KOHN, SUSAN	AK STEEL HOLDING CORP	В	4,790.00	75.00	6.00	69.00	80.0	54.00
06/07/0010 OCM030607 VOIM CUCAM DIAMOND OFFCHODE DELL'INCLINC CO 0.70 00 F0.00 C 00 44.00 00 0 24.00	06/06/2018 QGW038697 KOHN, SUSAN	DIAMOND OFFSHORE DRILLING INC	В	9,405.00	150.00	6.00	144.00	80.0	114.00
00/2//2010 QGW0303/ ROTIN, SUSAN DIAMOND OFFSHORE DRILLING INC S -9,9/0.80 50.00 6.00 44.00 80.0 34.00	06/27/2018 QGW038697 KOHN, SUSAN	DIAMOND OFFSHORE DRILLING INC	S	-9,970.80	50.00	6.00	44.00	80.0	34.00
06/27/2018 QGW038697 KOHN, SUSAN SPLUNK INC COM B 10,128.24 150.00 6.00 144.00 80.0 114.00	06/27/2018 QGW038697 KOHN, SUSAN	SPLUNK INC COM	В	10,128.24	150.00	6.00	144.00	80.0	114.00

### COMMISSION STATEMENT for MARCO CASALE

TRADE DATE CLI	LENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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		BROKER	TRANS	ACTIONS					
PRODUCT CATEGOR	RY: STOCKS								
06/01/2018 QGW038	705 SAPIO, ROBERTA	TEVA PHARMACEUTICAL INDUSTRIES L	IMI S	-14,000.00	100.00	6.00	94.00	80.0	74.00
06/05/2018 QGW038	705 SAPIO, ROBERTA	ROKU INC CLASS A COMMON STOCK	В	18,971.05	200.00	6.00	194.00	80.0	154.00
06/06/2018 QGW038	705 SAPIO, ROBERTA	DIAMOND OFFSHORE DRILLING INC	В	9,480.45	150.00	6.00	144.00	80.0	114.00
06/11/2018 QGW038	3705 SAPIO, ROBERTA	ROKU INC CLASS A COMMON STOCK	S	-20,324.90	100.00	6.00	94.00	80.0	74.00
06/12/2018 QGW038	3705 SAPIO, ROBERTA	LAM RESEARCH CORP	В	18,630.33	100.00	6.00	94.00	80.0	74.00
06/26/2018 QGW038	3705 SAPIO, ROBERTA	ABBVIE INC	S	-9,306.34	50.00	6.00	44.00	80.0	34.00
06/27/2018 QGW038	3705 SAPIO, ROBERTA	DIAMOND OFFSHORE DRILLING INC	S	-9,898.50	50.00	6.00	44.00	80.0	34.00
05/31/2018 QGW038	812 KOHN, ROBERT	AK STEEL HOLDING CORP	В	4,790.00	75.00	6.00	69.00	80.0	54.00
06/15/2018 QGW038	812 KOHN, ROBERT	SQUARE INC CLASS A COM	S	-50,000.00	499.28	6.00	493.28	80.0	393.42
06/18/2018 QGW038	812 KOHN, ROBERT	IQIYI INC SPONS ADR ISIN#US46267	X10 B	20,667.35	250.00	6.00	244.00	80.0	194.00
06/18/2018 QGW038	812 KOHN, ROBERT	WHITING PETROLEUM CORPORATION CO	MMO B	24,212.35	250.00	6.00	244.00	80.0	194.00
06/19/2018 QGW038	812 KOHN, ROBERT	DUNKIN BRANDS GROUP INC	S	-24,066.00	50.00	6.00	44.00	80.0	34.00
06/19/2018 QGW038	812 KOHN, ROBERT	VUZIX CORP COM NEW	В	6,875.00	150.00	6.00	144.00	80.0	114.00
06/20/2018 QGW038	812 KOHN, ROBERT	IQIYI INC SPONS ADR ISIN#US46267	X10 S	-21,954.00	125.00	6.00	119.00	80.0	94.00
06/21/2018 QGW038	812 KOHN, ROBERT	INVIVO THERAPEUTICS HOLDINGS COR	PCB	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018 QGW038	812 KOHN, ROBERT	IQIYI INC SPONS ADR ISIN#US46267	X10 B	19,740.30	250.00	6.00	244.00	80.0	194.00
06/21/2018 QGW038	812 KOHN, ROBERT	LAM RESEARCH CORP	В	17,814.22	150.00	6.00	144.00	80.0	114.00
06/21/2018 QGW038	812 KOHN, ROBERT	WTS INVIVO THERAPEUTICS HLDGS CO	RP B	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018 QGW038	812 KOHN, ROBERT	WTS INVIVO THERAPEUTICS HLDGS CO	RP B	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018 QGW038	812 KOHN, ROBERT	WTS INVIVO THERAPEUTICS HLDGS CO	RP B	8.00	0.00	6.00	-6.00	80.0	-6.00
06/22/2018 QGW038	812 KOHN, ROBERT	VUZIX CORP COM NEW	В	7,000.00	150.00	6.00	144.00	80.0	114.00
06/26/2018 QGW038	812 KOHN, ROBERT	ABBVIE INC	S	-9,300.08	50.00	6.00	44.00	80.0	34.00
06/27/2018 QGW038	812 KOHN, ROBERT	DIAMOND OFFSHORE DRILLING INC	S	-20,131.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 QGW038	812 KOHN, ROBERT	SPLUNK INC COM	В	10,100.99	150.00	6.00	144.00	80.0	114.00
05/31/2018 QGW039	158 MASTERSON, PATRICIA	AK STEEL HOLDING CORP	В	4,865.00	75.00	6.00	69.00	80.0	54.00
06/06/2018 QGW039	158 MASTERSON, PATRICIA	TWENTY FIRST CENTURY FOX INC CLA	SS S	-15,618.00	50.00	6.00	44.00	80.0	34.00
06/07/2018 QGW039	158 MASTERSON, PATRICIA	ROKU INC CLASS A COMMON STOCK	В	18,912.50	200.00	6.00	194.00	80.0	154.00
06/12/2018 QGW039	158 MASTERSON, PATRICIA	COCA COLA COMPANY (THE)	S	-8,874.44	50.00	6.00	44.00	80.0	34.00
06/12/2018 QGW039	158 MASTERSON, PATRICIA	ICAHN ENTERPRISES LP DEPOSITARY	UNI S	-7,265.00	50.00	6.00	44.00	80.0	34.00
06/14/2018 QGW039	158 MASTERSON, PATRICIA	DROPBOX INC CL A COM	В	16,165.00	241.00	6.00	235.00	80.0	186.80
06/15/2018 QGW039	158 MASTERSON, PATRICIA	DROPBOX INC CL A COM	S	-19,301.35	250.00	6.00	244.00	80.0	194.00
06/15/2018 QGW039	158 MASTERSON, PATRICIA	ROKU INC CLASS A COMMON STOCK	S	-19,500.00	282.56	6.00	276.56	80.0	220.05
06/18/2018 QGW039	158 MASTERSON, PATRICIA	IQIYI INC SPONS ADR ISIN#US46267	X10 B	20,819.80	250.00	6.00	244.00	80.0	194.00
06/19/2018 QGW039	158 MASTERSON, PATRICIA	VUZIX CORP COM NEW	В	6,875.00	150.00	6.00	144.00	80.0	114.00
06/20/2018 QGW039	158 MASTERSON, PATRICIA	IQIYI INC SPONS ADR ISIN#US46267	X10 S	-21,851.60	125.00	6.00	119.00	80.0	94.00
		INVIVO THERAPEUTICS HOLDINGS COR		1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018 QGW039	158 MASTERSON, PATRICIA	IQIYI INC SPONS ADR ISIN#US46267	X10 B	20,438.35	250.00	6.00	244.00	80.0	194.00
06/21/2018 QGW039	158 MASTERSON, PATRICIA	WTS INVIVO THERAPEUTICS HLDGS CO	RP B	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018 QGW039	158 MASTERSON, PATRICIA	WTS INVIVO THERAPEUTICS HLDGS CO	RP B	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018 QGW039	158 MASTERSON, PATRICIA	WTS INVIVO THERAPEUTICS HLDGS CO	RP B	8.00	0.00	6.00	-6.00	80.0	-6.00

B/S

INVESTMENT

AMOUNT

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

BROKER

COMMISSION

RATE

### COMMISSION STATEMENT for MARCO CASALE

INVESTMENT

TRADE DATE

CLIENT

BROKER TRANSACTIONS							
PRODUCT CATEGORY: STOCKS							
06/26/2018 QGW039158 MASTERSON, PATRICIA	ABBVIE INC S	-9,302.72	50.00	6.00	44.00	80.0	34.00
06/26/2018 QGW039158 MASTERSON, PATRICIA	COHERUS BIOSCIENCES INC COM B	7,749.80	150.00	6.00	144.00	80.0	114.00
06/27/2018 QGW039158 MASTERSON, PATRICIA	SPLUNK INC COM B	10,379.16	150.00	6.00	144.00	80.0	114.00
05/31/2018 QGW039398 RAGAZZO, RALPH	AK STEEL HOLDING CORP B	4,790.00	75.00	6.00	69.00	80.0	54.00
06/12/2018 QGW039398 RAGAZZO, RALPH	ABBVIE INC B	9,941.60	75.00	6.00	69.00	80.0	54.00
06/12/2018 QGW039398 RAGAZZO, RALPH	ICAHN ENTERPRISES LP DEPOSITARY UNI S	-21,684.00	50.00	6.00	44.00	80.0	34.00
06/14/2018 QGW039398 RAGAZZO, RALPH	DROPBOX INC CL A COM B	16,208.85	241.00	6.00	235.00	80.0	186.80
06/15/2018 QGW039398 RAGAZZO, RALPH	DROPBOX INC CL A COM S	-19,380.20	250.00	6.00	244.00	80.0	194.00
06/18/2018 QGW039398 RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10 B	16,721.20	200.00	6.00	194.00	80.0	154.00
06/20/2018 QGW039398 RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10 S	-17,471.24	100.00	6.00	94.00	80.0	74.00
06/22/2018 QGW039398 RAGAZZO, RALPH	VUZIX CORP COM NEW B	6,900.00	150.00	6.00	144.00	80.0	114.00
06/26/2018 QGW039398 RAGAZZO, RALPH	COHERUS BIOSCIENCES INC COM B	7,725.00	150.00	6.00	144.00	80.0	114.00
05/31/2018 QGW039414 RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK B	6,840.00	90.00	6.00	84.00	80.0	66.00
06/14/2018 QGW039414 RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK S	-3,482.60	50.00	6.00	44.00	80.0	34.00
06/15/2018 QGW039414 RAGAZZO, RALPH	ROKU INC CLASS A COMMON STOCK S	-4,050.00	50.00	6.00	44.00	80.0	34.00
06/18/2018 QGW039414 RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10 B	6,343.47	65.00	6.00	59.00	80.0	46.00
06/20/2018 QGW039414 RAGAZZO, RALPH	IQIYI INC SPONS ADR ISIN#US46267X10 S	-6,529.02	50.00	6.00	44.00	80.0	34.00
06/22/2018 QGW039414 RAGAZZO, RALPH	VUZIX CORP COM NEW B	6,950.00	150.00	6.00	144.00	80.0	114.00
05/31/2018 QGW039422 RAGAZZO, KATHLEEN	AK STEEL HOLDING CORP B	4,790.00	75.00	6.00	69.00	80.0	54.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	ATHENEX INC COM B	16,133.40	150.00	6.00	144.00	80.0	114.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	BOX INC CL A B	26,179.80	250.00	6.00	244.00	80.0	194.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	DXC TECHNOLOGY COMPANY COMMON STOCK S	-16,176.20	75.00	6.00	69.00	80.0	54.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	LAM RESEARCH CORP S	-19,750.00	100.00	6.00	94.00	80.0	74.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	PERSPECTA INC COMMON STOCK S	-2,505.33	75.00	6.00	69.00	80.0	54.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	TEVA PHARMACEUTICAL INDUSTRIES LIMI S	-20,000.00	100.00	6.00	94.00	80.0	74.00
06/01/2018 QGW039422 RAGAZZO, KATHLEEN	TEVA PHARMACEUTICAL INDUSTRIES LIMI S	-10,250.00	100.00	6.00	94.00	80.0	74.00
06/04/2018 QGW039422 RAGAZZO, KATHLEEN	ROKU INC CLASS A COMMON STOCK B	18,774.20	210.00	6.00	204.00	80.0	162.00
06/06/2018 QGW039422 RAGAZZO, KATHLEEN	VALEANT PHARMACEUTICALS INTERNATION B	16,814.00	210.00	6.00	204.00	80.0	162.00
06/07/2018 QGW039422 RAGAZZO, KATHLEEN	ATHENEX INC COM S	-16,831.70	50.00	6.00	44.00	80.0	34.00
06/07/2018 QGW039422 RAGAZZO, KATHLEEN	LAM RESEARCH CORP B	18,801.30	200.00	6.00	194.00	80.0	154.00
06/07/2018 QGW039422 RAGAZZO, KATHLEEN	PERSPECTA INC COMMON STOCK B	23,348.00	210.00	6.00	204.00	80.0	162.00
06/07/2018 QGW039422 RAGAZZO, KATHLEEN	VALEANT PHARMACEUTICALS INTERNATION S	-17,570.00	50.00	6.00	44.00	80.0	34.00
06/11/2018 QGW039422 RAGAZZO, KATHLEEN	PERSPECTA INC COMMON STOCK S	-24,118.20	50.00	6.00	44.00	80.0	34.00
06/14/2018 QGW039422 RAGAZZO, KATHLEEN	DROPBOX INC CL A COM B	16,532.95	241.00	6.00	235.00	80.0	186.80
06/15/2018 QGW039422 RAGAZZO, KATHLEEN	DROPBOX INC CL A COM S	-19,408.25	250.00	6.00	244.00	80.0	194.00
06/15/2018 QGW039422 RAGAZZO, KATHLEEN	ROKU INC CLASS A COMMON STOCK S	-20,000.00	288.14	6.00	282.14	80.0	224.51
06/18/2018 QGW039422 RAGAZZO, KATHLEEN	IQIYI INC SPONS ADR ISIN#US46267X10 B	21,095.20	250.00	6.00	244.00	80.0	194.00
06/20/2018 QGW039422 RAGAZZO, KATHLEEN	IQIYI INC SPONS ADR ISIN#US46267X10 S	-21,668.50	50.00	6.00	44.00	80.0	34.00
06/21/2018 QGW039422 RAGAZZO, KATHLEEN	INVIVO THERAPEUTICS HOLDINGS CORP C B	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018 QGW039422 RAGAZZO, KATHLEEN	WTS INVIVO THERAPEUTICS HLDGS CORP B	8.00	50.00	6.00	44.00	80.0	34.00

INVESTMENT GROSS CLEARING NET

BROKER

COMMISSION STATEMENT for MARCO CASALE

TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
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	BROKER TR	RANSA	CTIONS					
PRODUCT CATEGORY: STOCKS								
06/21/2018 QGW039422 RAGAZZO, KATHLEEN	WTS INVIVO THERAPEUTICS HLDGS CORP	В	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018 QGW039422 RAGAZZO, KATHLEEN	WTS INVIVO THERAPEUTICS HLDGS CORP	В	8.00	0.00	6.00	-6.00	80.0	-6.00
06/22/2018 QGW039422 RAGAZZO, KATHLEEN	VUZIX CORP COM NEW	В	6,950.00	150.00	6.00	144.00	80.0	114.00
06/26/2018 QGW039422 RAGAZZO, KATHLEEN	ABBVIE INC	S	-9,299.90	50.00	6.00	44.00	80.0	34.00
06/26/2018 QGW039422 RAGAZZO, KATHLEEN	COHERUS BIOSCIENCES INC COM	В	7,687.50	150.00	6.00	144.00	80.0	114.00
06/26/2018 QGW039422 RAGAZZO, KATHLEEN	SOGOU INC ADR REPSTG CL A SHS ISIN	# В	5,896.70	130.00	6.00	124.00	80.0	98.00
06/27/2018 QGW039422 RAGAZZO, KATHLEEN	DIAMOND OFFSHORE DRILLING INC	S	-20,150.40	50.00	6.00	44.00	80.0	34.00
06/27/2018 QGW039422 RAGAZZO, KATHLEEN	SPLUNK INC COM	В	10,280.14	150.00	6.00	144.00	80.0	114.00
06/12/2018 QGW039448 PELAK, LAVERNE	ICAHN ENTERPRISES LP DEPOSITARY UN	IS	-10,862.10	50.00	6.00	44.00	80.0	34.00
06/14/2018 QGW039448 PELAK, LAVERNE	DROPBOX INC CL A COM	В	9,687.00	150.00	6.00	144.00	80.0	114.00
06/15/2018 QGW039448 PELAK, LAVERNE	DROPBOX INC CL A COM	S	-11,603.91	180.00	6.00	174.00	80.0	138.00
06/18/2018 QGW039448 PELAK, LAVERNE	IQIYI INC SPONS ADR ISIN#US46267X1	0 в	10,629.50	165.00	6.00	159.00	80.0	126.00
06/21/2018 QGW039448 PELAK, LAVERNE	IQIYI INC SPONS ADR ISIN#US46267X1	0 S	-11,020.00	50.00	6.00	44.00	80.0	34.00
06/22/2018 QGW039448 PELAK, LAVERNE	VUZIX CORP COM NEW	В	7,000.00	150.00	6.00	144.00	80.0	114.00
05/31/2018 QGW039455 BIELINKI, LOUISE	AK STEEL HOLDING CORP	В	4,850.00	75.00	6.00	69.00	80.0	54.00
06/01/2018 QGW039455 BIELINKI, LOUISE	BOX INC CL A	В	26,230.00	250.00	6.00	244.00	80.0	194.00
06/01/2018 QGW039455 BIELINKI, LOUISE	DXC TECHNOLOGY COMPANY COMMON STOC	KS	-16,179.80	75.00	6.00	69.00	80.0	54.00
06/01/2018 QGW039455 BIELINKI, LOUISE	PERSPECTA INC COMMON STOCK	S	-2,499.04	75.00	6.00	69.00	80.0	54.00
06/19/2018 QGW039455 BIELINKI, LOUISE	VUZIX CORP COM NEW	В	3,475.00	100.00	6.00	94.00	80.0	74.00
06/26/2018 QGW039455 BIELINKI, LOUISE	ABBVIE INC	S	-9,309.08	50.00	6.00	44.00	80.0	34.00
05/31/2018 QGW039828 BIELINKI, LOUIS	AK STEEL HOLDING CORP	В	4,856.00	75.00	6.00	69.00	80.0	54.00
06/05/2018 QGW039828 BIELINKI, LOUIS	ROKU INC CLASS A COMMON STOCK	В	11,409.00	170.00	6.00	164.00	80.0	130.00
06/15/2018 QGW039828 BIELINKI, LOUIS	ROKU INC CLASS A COMMON STOCK	S	-12,000.00	183.82	6.00	177.82	80.0	141.06
06/18/2018 QGW039828 BIELINKI, LOUIS	IQIYI INC SPONS ADR ISIN#US46267X1	0 в	12,716.64	200.00	6.00	194.00	80.0	154.00
06/18/2018 QGW039828 BIELINKI, LOUIS	SACHEM CAPITAL CORP COMMON SHARES	S	-8,340.60	100.00	6.00	94.00	80.0	74.00
06/19/2018 QGW039828 BIELINKI, LOUIS	VUZIX CORP COM NEW	В	6,887.50	150.00	6.00	144.00	80.0	114.00
06/21/2018 QGW039828 BIELINKI, LOUIS	INVIVO THERAPEUTICS HOLDINGS CORP	СВ	1,592.00	0.00	6.00	-6.00	80.0	-6.00
06/21/2018 QGW039828 BIELINKI, LOUIS	IQIYI INC SPONS ADR ISIN#US46267X1	0 S	-13,224.00	50.00	6.00	44.00	80.0	34.00
06/21/2018 QGW039828 BIELINKI, LOUIS	WTS INVIVO THERAPEUTICS HLDGS CORP	В	8.00	50.00	6.00	44.00	80.0	34.00
06/21/2018 QGW039828 BIELINKI, LOUIS	WTS INVIVO THERAPEUTICS HLDGS CORP	В	-8.00	-50.00	-6.00	-44.00	80.0	-34.00 C
06/21/2018 QGW039828 BIELINKI, LOUIS	WTS INVIVO THERAPEUTICS HLDGS CORP	В	8.00	0.00	6.00	-6.00	80.0	-6.00
06/26/2018 QGW039828 BIELINKI, LOUIS	ABBVIE INC	S	-9,312.68	50.00	6.00	44.00	80.0	34.00
06/26/2018 QGW039828 BIELINKI, LOUIS	COHERUS BIOSCIENCES INC COM	В	7,650.00	150.00	6.00	144.00	80.0	114.00
06/27/2018 QGW039828 BIELINKI, LOUIS	SPLUNK INC COM	В	10,169.37	150.00	6.00	144.00	80.0	114.00
	* STOCKS SUBTOTAL	*	\$-46,913.45	\$21,941.01	\$1,026.00	\$20,915.01		\$16,526.81
PRODUCT CATEGORY: BONDS								
06/27/2018 QGW038812 KOHN, ROBERT	CREDIT SUISSE AG LONDON BRH MTN NT	B	10,000.00	200.00	7.50	192.50	80.0	152.50
06/18/2018 QGW039463 BIELINKI, LOUISE	SOCIETE GENERALE REV CONV LKD TO X		5,000.00	87.50	7.50	80.00	80.0	62.50
,	* BONDS SUBTOTAL		\$15,000.00	\$287.50	\$15.00	\$272.50		\$215.00

## COMMISSION STATEMENT for MARCO CASALE

INVESTMENT

GROSS

CLEARING

NET

BROKER

TRADE DATE	CLIENT	INVESTMENT B/	S AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TRAN	NSACTIONS					
PRODUCT CA	TEGORY: OPTIONS							
06/27/2018 Q	GW033649 ARLENE M FRANCIS,	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,965.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW033649 ARLENE M FRANCIS,	CALL 100 VUZIX CORP COM NEW EXP 07-S	-300.00	50.00	6.00	44.00	80.0	34.00
06/22/2018 Q	GW033763 LYONS, SALLY	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-580.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 Q	GW033805 LYONS, SALLY	CALL 100 ROKU INC COM CL A EXP 06-0 S	-160.00	50.00	6.00	44.00	80.0	34.00
06/11/2018 Q	GW033805 LYONS, SALLY	CALL 100 ROKU INC COM CL A EXP 06-1 S	-525.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW033805 LYONS, SALLY	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,965.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW033805 LYONS, SALLY	CALL 100 VUZIX CORP COM NEW EXP 07-S	-250.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 Q	GW034761 SYMCZAK, STEPHEN	CALL 100 ROKU INC COM CL A EXP 06-0 S	-275.00	50.00	6.00	44.00	80.0	34.00
06/11/2018 Q	GW034761 SYMCZAK, STEPHEN	CALL 100 LAM RESEARCH CORP EXP 06-1 S	-108.00	50.00	6.00	44.00	80.0	34.00
06/18/2018 Q	GW034761 SYMCZAK, STEPHEN	CALL 100 LAM RESEARCH CORP EXP 06-2 S	-122.00	50.00	6.00	44.00	80.0	34.00
06/26/2018 Q	GW034761 SYMCZAK, STEPHEN	CALL 100 LAM RESEARCH CORP EXP 07-1 S	-350.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW034761 SYMCZAK, STEPHEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,960.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 Q	GW034894 MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-300.00	75.00	6.00	69.00	80.0	54.00
06/19/2018 Q	GW034894 MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-55.00	44.82	6.00	38.82	80.0	29.86
06/26/2018 Q	GW034894 MODDERNO, PATRICIA	CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-270.00	75.00	6.00	69.00	80.0	54.00
06/19/2018 Q	GW035438 RIZZO, GIOVANNI	CALL 100 ABBVIE INC COM EXP 07-20-1 S	-91.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 Q	GW036584 TREIBER, NANCY	CALL 100 ROKU INC COM CL A EXP 06-1 S	-405.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW036584 TREIBER, NANCY	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,950.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW036584 TREIBER, NANCY	CALL 100 VUZIX CORP COM NEW EXP 07-S	-250.00	50.00	6.00	44.00	80.0	34.00
06/06/2018 Q	GW036592 TREIBER, NANCY	CALL 100 ROKU INC COM CL A EXP 06-1 S	-189.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW036592 TREIBER, NANCY	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,950.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW036592 TREIBER, NANCY	CALL 100 VUZIX CORP COM NEW EXP 07-S	-183.00	50.00	6.00	44.00	80.0	34.00
06/05/2018 Q	GW038705 SAPIO, ROBERTA	CALL 100 ROKU INC COM CL A EXP 06-0 S	-275.00	50.00	6.00	44.00	80.0	34.00
06/18/2018 Q	GW038705 SAPIO, ROBERTA	CALL 100 LAM RESEARCH CORP EXP 06-2 S	-132.00	50.00	6.00	44.00	80.0	34.00
06/26/2018 Q	GW038705 SAPIO, ROBERTA	CALL 100 LAM RESEARCH CORP EXP 07-1 S	-281.00	50.00	6.00	44.00	80.0	34.00
06/19/2018 Q	GW038812 KOHN, ROBERT	CALL 100 WHITING PETE CORP COM NEW S	-495.00	50.00	6.00	44.00	80.0	34.00
06/22/2018 Q	GW038812 KOHN, ROBERT	CALL 100 IQIYI INC SPONS ADR ISIN#U S	-555.00	50.00	6.00	44.00	80.0	34.00
06/22/2018 Q	GW038812 KOHN, ROBERT	CALL 100 LAM RESEARCH CORP EXP 07-0 S	-160.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW038812 KOHN, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 07-S	-500.00	100.00	6.00	94.00	80.0	74.00
06/07/2018 Q	GW039158 MASTERSON, PATRICI	A CALL 100 ROKU INC COM CL A EXP 06-1 S	-510.00	50.00	6.00	44.00	80.0	34.00
06/11/2018 Q	GW039158 MASTERSON, PATRICI	A CALL 100 VIPSHOP HLDGS LTD SPONS AD S	-150.00	50.00	6.00	44.00	80.0	34.00
06/19/2018 Q	GW039158 MASTERSON, PATRICI	A CALL 100 SUNOCO LP EXP 12-21-18 @27 S	-165.00	50.00	6.00	44.00	80.0	34.00
06/22/2018 Q	GW039158 MASTERSON, PATRICI	A CALL 100 IQIYI INC SPONS ADR ISIN#U S	-520.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW039158 MASTERSON, PATRICI	A CALL 100 COHERUS BIOSCIENCES INC COS	-1,950.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW039158 MASTERSON, PATRICI	A CALL 100 VUZIX CORP COM NEW EXP 07-S	-300.00	50.00	6.00	44.00	80.0	34.00
06/12/2018 Q	GW039398 RAGAZZO, RALPH	CALL 100 ABBVIE INC COM EXP 08-17-1 S	-184.00	50.00	6.00	44.00	80.0	34.00
06/18/2018 Q	GW039398 RAGAZZO, RALPH	CALL 100 CISCO SYSTEMS INC EXP 07-2 S	-120.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW039398 RAGAZZO, RALPH	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,865.00	50.00	6.00	44.00	80.0	34.00
06/27/2018 Q	GW039398 RAGAZZO, RALPH	CALL 100 VUZIX CORP COM NEW EXP 07-S	-300.00	50.00	6.00	44.00	80.0	34.00
06/11/2018 Q	GW039414 RAGAZZO, RALPH	CALL 100 ROKU INC COM CL A EXP 06-1 S	-133.00	50.00	6.00	44.00	80.0	34.00

BROKER

\$106.00

COMMISSION STATEMENT for MARCO CASALE INVESTMENT GROSS NET CLEARING

\*\*\* ADJUSTMENTS TOTAL \*\*\*

TRADE DATE	CLIENT	INVESTMENT B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
		BROKER TRANS	ACTIONS						
PRODUCT CAT	TEGORY: OPTIONS								
06/01/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 BOX INC CL A EXP 07-20-18@ S	-760.00	50.00	6.00	44.00	80.0	34.00	
06/05/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 ROKU INC COM CL A EXP 06-0 S	-150.00	50.00	6.00	44.00	80.0	34.00	
06/08/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 LAM RESEARCH CORP EXP 06-1 S	-147.00	50.00	6.00	44.00	80.0	34.00	
06/11/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 ROKU INC COM CL A EXP 06-1 S	-525.00	50.00	6.00	44.00	80.0	34.00	
06/18/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 LAM RESEARCH CORP EXP 06-2 S	-100.00	50.00	6.00	44.00	80.0	34.00	
06/26/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 LAM RESEARCH CORP EXP 07-1 S	-264.00	50.00	6.00	44.00	80.0	34.00	
06/27/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,845.00	50.00	6.00	44.00	80.0	34.00	
06/27/2018 QC	GW039422 RAGAZZO, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 07-S	-250.00	50.00	6.00	44.00	80.0	34.00	
06/01/2018 QC	GW039455 BIELINKI, LOUISE	CALL 100 BOX INC CL A EXP 07-20-18@ S	-750.00	50.00	6.00	44.00	80.0	34.00	
06/27/2018 QC	GW039455 BIELINKI, LOUISE	CALL 100 VUZIX CORP COM NEW EXP 07-S	-125.00	50.00	6.00	44.00	80.0	34.00	
06/05/2018 QC	GW039828 BIELINKI, LOUIS	CALL 100 ROKU INC COM CL A EXP 06-0 S	-87.00	50.00	6.00	44.00	80.0	34.00	
06/11/2018 QC	GW039828 BIELINKI, LOUIS	CALL 100 ROKU INC COM CL A EXP 06-1 S	-315.00	50.00	6.00	44.00	80.0	34.00	
06/27/2018 QC	GW039828 BIELINKI, LOUIS	CALL 100 COHERUS BIOSCIENCES INC CO S	-1,845.00	50.00	6.00	44.00	80.0	34.00	
06/27/2018 QC	GW039828 BIELINKI, LOUIS	CALL 100 VUZIX CORP COM NEW EXP 07-S	-250.00	50.00	6.00	44.00	80.0	34.00	
		* OPTIONS SUBTOTAL *	\$-30,211.00	\$2,794.82	\$324.00	\$2,470.82		\$1,911.86	
		*** BROKER TRANSACTIONS TOTAL ***	\$-62,124.45	\$25,023.33	\$1,365.00	\$23,658.33		\$18,653.67	
		ADJUSTME	<u>111 12</u>						
06/30/2018		COMMISSION INCOME: INVIVO	0.00	0.00	0.00	0.00		192.00	
06/30/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-150.00	
06/30/2018		OTHER: CREDIT CLEARIGN CHRGS -INVIV	0.00	0.00	0.00	0.00		72.00	
06/30/2018		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00		-8.00	
06/30/2018		:	0.00	0.00	0.00	0.00		0.00	

\$0.00

\$0.00

\$0.00

\$0.00

# Broker Tra Split Tra Override Tra Ad Pay Bra Prior Perior FINRA F SIPC F Che Year-to-date

# BROKER COMMISSION TOTALS

Broker	Transactions	\$18,653.67
Split	Transactions	\$0.00
Override	Transactions	\$0.00
	Adjustments	\$106.00
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior P	eriod Balance	\$0.00
FIN	RA Assessment	\$-31.28
SI	PC Assessment	\$-62.56

Check Amount	\$18,665.83
Year-to-date Earnings	\$131,417.82