



Concorde Investment Services, LLC
COMMISSION STATEMENT
October 31, 2017

10/30/2017
Page No. 1

DAVID KOTZ
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------|---------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 09/30/2017 | SHELLEY, SUZANNE | ASCENSUS 529 TRAILS | 0.00 | 30.34 | 0.00 | 30.34 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$30.34 | \$0.00 | \$30.34 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$30.34 | \$0.00 | \$30.34 | | \$0.00 |

| <u>BROKER COMMISSION TOTALS</u> | |
|--|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$51.10 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$51.10 |
| Year-to-date Gross | \$8,820.61 |
| Year-to-date Earnings | \$3,866.34 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed