

**COMMISSION STATEMENT****February 28, 2018**

NANCY(DOUG), N118 NEVIN
 600 Johnson Ave.
 Suite B5
 Bohemia, NY 11716

BROKER #: N118
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
02/22/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.19	0.00	3.19	0.0	1.60
03/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	2.99	0.00	2.99	0.0	1.50
04/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.44	0.00	3.44	0.0	1.72
05/24/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.40	0.00	3.40	0.0	1.70
06/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.49	0.00	3.49	0.0	1.75
07/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.39	0.00	3.39	0.0	1.70
08/22/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.60	0.00	3.60	0.0	1.80
09/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.62	0.00	3.62	0.0	1.81
10/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.48	0.00	3.48	0.0	1.74
11/21/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.58	0.00	3.58	0.0	1.79
12/14/2016	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.58	0.00	3.58	0.0	1.79
02/22/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.49	0.00	2.49	0.0	1.25
03/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.29	0.00	2.29	0.0	1.15
04/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.61	0.00	2.61	0.0	1.31
05/24/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.59	0.00	2.59	0.0	1.30
06/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.67	0.00	2.67	0.0	1.34
07/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.60	0.00	2.60	0.0	1.30
08/22/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.76	0.00	2.76	0.0	1.38
09/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.78	0.00	2.78	0.0	1.39
10/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.67	0.00	2.67	0.0	1.34
11/21/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.76	0.00	2.76	0.0	1.38
12/14/2016	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.77	0.00	2.77	0.0	1.39
02/10/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	17.71	0.00	17.71	0.0	8.86
03/16/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	16.22	0.00	16.22	0.0	8.11
04/25/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.36	0.00	18.36	0.0	9.18
05/13/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.25	0.00	18.25	0.0	9.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
06/15/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.76	0.00	18.76	0.0	9.38
07/20/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.22	0.00	18.22	0.0	9.11
08/12/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.43	0.00	19.43	0.0	9.72
09/13/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.81	0.00	19.81	0.0	9.91
10/12/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	18.99	0.00	18.99	0.0	9.50
11/15/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.50	0.00	19.50	0.0	9.75
12/21/2016	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	19.11	0.00	19.11	0.0	9.56
02/16/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.17	0.00	2.17	0.0	1.09
03/16/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.01	0.00	2.01	0.0	1.01
04/15/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.28	0.00	2.28	0.0	1.14
05/13/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.27	0.00	2.27	0.0	1.14
06/14/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.36	0.00	2.36	0.0	1.18
07/14/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.31	0.00	2.31	0.0	1.16
08/15/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.47	0.00	2.47	0.0	1.24
09/14/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.50	0.00	2.50	0.0	1.25
10/18/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.42	0.00	2.42	0.0	1.21
11/15/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.52	0.00	2.52	0.0	1.26
12/16/2016	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.44	0.00	2.44	0.0	1.22
02/10/2018	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	100,351.76	250.88	0.00	250.88	0.0	125.44
02/22/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.55	0.00	1.55	0.0	0.78
03/17/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.38	0.00	1.38	0.0	0.69
04/21/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.56	0.00	1.56	0.0	0.78
05/19/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.55	0.00	1.55	0.0	0.78
06/30/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.59	0.00	1.59	0.0	0.80
07/18/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.52	0.00	1.52	0.0	0.76
08/24/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.60	0.00	1.60	0.0	0.80
09/22/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.67	0.00	1.67	0.0	0.84
10/21/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.63	0.00	1.63	0.0	0.82
11/21/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.68	0.00	1.68	0.0	0.84
12/19/2016	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	1.62	0.00	1.62	0.0	0.81
02/22/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.26	0.00	3.26	0.0	1.63
02/22/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.33	0.00	1.33	0.0	0.67
03/17/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.08	0.00	3.08	0.0	1.54

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: L149-ROBERT LUCHSINGER #388								
03/17/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.26	0.00	1.26	0.0	0.63
04/21/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.30	0.00	3.30	0.0	1.65
04/21/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.35	0.00	1.35	0.0	0.68
05/19/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.22	0.00	3.22	0.0	1.61
05/19/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.31	0.00	1.31	0.0	0.66
06/30/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.35	0.00	3.35	0.0	1.68
06/30/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.37	0.00	1.37	0.0	0.69
07/18/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.33	0.00	3.33	0.0	1.67
07/18/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.36	0.00	1.36	0.0	0.68
08/24/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.52	0.00	3.52	0.0	1.76
08/24/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.44	0.00	1.44	0.0	0.72
09/22/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.54	0.00	3.54	0.0	1.77
09/22/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.45	0.00	1.45	0.0	0.73
10/21/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.44	0.00	3.44	0.0	1.72
10/21/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.41	0.00	1.41	0.0	0.71
11/21/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.56	0.00	3.56	0.0	1.78
11/21/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.45	0.00	1.45	0.0	0.73
12/19/2016	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.39	0.00	3.39	0.0	1.70
12/19/2016	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.38	0.00	1.38	0.0	0.69
02/22/2016	GALLAS, AGNES	OPPENHEIMER STEELPATH MLP INCOME FU	0.00	2.56	0.00	2.56	0.0	1.28
03/17/2016	GALLAS, AGNES	OPPENHEIMER STEELPATH MLP INCOME FU	0.00	0.77	0.00	0.77	0.0	0.39
02/22/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.81	0.00	0.81	0.0	0.41
02/22/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.95	0.00	0.95	0.0	0.48
02/22/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.20	0.00	1.20	0.0	0.60
02/22/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.72	0.00	4.72	0.0	2.36
02/22/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.94	0.00	1.94	0.0	0.97
02/22/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.57	0.00	0.57	0.0	0.29
03/18/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.75	0.00	0.75	0.0	0.38
03/18/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.88	0.00	0.88	0.0	0.44
03/18/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.11	0.00	1.11	0.0	0.56
03/18/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.39	0.00	4.39	0.0	2.20
03/18/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.80	0.00	1.80	0.0	0.90
03/18/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.53	0.00	0.53	0.0	0.27

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: L149-ROBERT LUCHSINGER #388								
04/20/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.04	0.00	1.04	0.0	0.52
04/20/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.96	0.00	0.96	0.0	0.48
04/20/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.21	0.00	1.21	0.0	0.61
04/20/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.77	0.00	4.77	0.0	2.39
04/20/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.96	0.00	1.96	0.0	0.98
04/20/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.57	0.00	0.57	0.0	0.29
05/24/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.10	0.00	1.10	0.0	0.55
05/24/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.94	0.00	0.94	0.0	0.47
05/24/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.18	0.00	1.18	0.0	0.59
05/24/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.67	0.00	4.67	0.0	2.34
05/24/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.92	0.00	1.92	0.0	0.96
05/24/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.56	0.00	0.56	0.0	0.28
06/16/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.15	0.00	1.15	0.0	0.58
06/16/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.98	0.00	0.98	0.0	0.49
06/16/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.24	0.00	1.24	0.0	0.62
06/16/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.87	0.00	4.87	0.0	2.44
06/16/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.00	0.00	2.00	0.0	1.00
06/16/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.29
07/19/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.12	0.00	1.12	0.0	0.56
07/19/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.95	0.00	0.95	0.0	0.48
07/19/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.20	0.00	1.20	0.0	0.60
07/19/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.73	0.00	4.73	0.0	2.37
07/19/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.95	0.00	1.95	0.0	0.98
07/19/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.57	0.00	0.57	0.0	0.29
08/18/2016	QUIGLEY, BARBARA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.67	0.00	0.67	0.0	0.34
08/18/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.99	0.00	0.99	0.0	0.50
08/18/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.25	0.00	1.25	0.0	0.63
08/18/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.92	0.00	4.92	0.0	2.46
08/18/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.02	0.00	2.02	0.0	1.01
08/18/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.59	0.00	0.59	0.0	0.30
09/22/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.00	0.00	1.00	0.0	0.50
09/22/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.26	0.00	1.26	0.0	0.63
09/22/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.95	0.00	4.95	0.0	2.48

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09/22/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.04	0.00	2.04	0.0	1.02
09/22/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.59	0.00	0.59	0.0	0.30
10/20/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.97	0.00	0.97	0.0	0.49
10/20/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.22	0.00	1.22	0.0	0.61
10/20/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.82	0.00	4.82	0.0	2.41
10/20/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.98	0.00	1.98	0.0	0.99
10/20/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.29
11/25/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.01	0.00	1.01	0.0	0.51
11/25/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.27	0.00	1.27	0.0	0.64
11/25/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	5.02	0.00	5.02	0.0	2.51
11/25/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.06	0.00	2.06	0.0	1.03
11/25/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.60	0.00	0.60	0.0	0.30
12/21/2016	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.98	0.00	0.98	0.0	0.49
12/21/2016	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.24	0.00	1.24	0.0	0.62
12/21/2016	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	4.87	0.00	4.87	0.0	2.44
12/21/2016	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.00	0.00	2.00	0.0	1.00
12/21/2016	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.58	0.00	0.58	0.0	0.29
02/22/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	6.92	0.00	6.92	0.0	3.46
03/18/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	6.33	0.00	6.33	0.0	3.17
04/20/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.19	0.00	7.19	0.0	3.60
05/24/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.13	0.00	7.13	0.0	3.57
06/16/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.35	0.00	7.35	0.0	3.68
07/19/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.16	0.00	7.16	0.0	3.58
08/18/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.57	0.00	7.57	0.0	3.79
09/22/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.72	0.00	7.72	0.0	3.86
10/20/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.46	0.00	7.46	0.0	3.73
11/25/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.69	0.00	7.69	0.0	3.85
12/21/2016	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	7.45	0.00	7.45	0.0	3.73
02/02/2018	CIOS, ANNA	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	2.38
02/02/2018	TERMINE, MASON	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	2.38
02/02/2018	CIOS, ROCCO	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	2.38
02/02/2018	TERMINE, MILO	PACIFIC LIFE	100.00	4.75	0.00	4.75	0.0	2.38
02/22/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	0.98	0.00	0.98	0.0	0.49

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: L149-ROBERT LUCHSINGER #388								
02/22/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.56	0.00	1.56	0.0	0.78
03/18/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	0.91	0.00	0.91	0.0	0.46
03/18/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.45	0.00	1.45	0.0	0.73
04/20/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.01	0.00	1.01	0.0	0.51
04/20/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.61	0.00	1.61	0.0	0.81
05/24/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.00	0.00	1.00	0.0	0.50
05/24/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.59	0.00	1.59	0.0	0.80
06/16/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.05	0.00	1.05	0.0	0.53
06/16/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.67	0.00	1.67	0.0	0.84
07/19/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.03	0.00	1.03	0.0	0.52
07/19/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.63	0.00	1.63	0.0	0.82
08/18/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.08	0.00	1.08	0.0	0.54
08/18/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.72	0.00	1.72	0.0	0.86
09/22/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.09	0.00	1.09	0.0	0.55
09/22/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.74	0.00	1.74	0.0	0.87
10/20/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.06	0.00	1.06	0.0	0.53
10/20/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.69	0.00	1.69	0.0	0.85
11/25/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.10	0.00	1.10	0.0	0.55
11/25/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.76	0.00	1.76	0.0	0.88
12/21/2016	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.06	0.00	1.06	0.0	0.53
12/21/2016	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.68	0.00	1.68	0.0	0.84
02/22/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.32	0.00	5.32	0.0	2.66
03/17/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.97	0.00	4.97	0.0	2.49
04/21/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.30	0.00	5.30	0.0	2.65
05/19/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.12	0.00	5.12	0.0	2.56
06/30/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.28	0.00	5.28	0.0	2.64
07/18/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.16	0.00	5.16	0.0	2.58
08/24/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.36	0.00	5.36	0.0	2.68
09/22/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.35	0.00	5.35	0.0	2.68
10/21/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.18	0.00	5.18	0.0	2.59
11/21/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.32	0.00	5.32	0.0	2.66
12/19/2016	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.10	0.00	5.10	0.0	2.55
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.36	0.00	5.36	0.0	2.68

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
02/12/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.10	0.00	0.10	0.0	0.05
02/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.39	0.00	0.39	0.0	0.20
03/15/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.09	0.00	0.09	0.0	0.05
03/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.36	0.00	0.36	0.0	0.18
04/15/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
04/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.41	0.00	0.41	0.0	0.21
05/19/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.10	0.00	0.10	0.0	0.05
05/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.41	0.00	0.41	0.0	0.21
06/15/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
06/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.42	0.00	0.42	0.0	0.21
07/14/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.10	0.00	0.10	0.0	0.05
07/14/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.40	0.00	0.40	0.0	0.20
08/10/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
08/10/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.43	0.00	0.43	0.0	0.22
09/16/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
09/16/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.44	0.00	0.44	0.0	0.22
10/12/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
10/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.43	0.00	0.43	0.0	0.22
11/11/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
11/11/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.44	0.00	0.44	0.0	0.22
12/19/2016	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.11	0.00	0.11	0.0	0.06
12/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.41	0.00	0.41	0.0	0.21
02/12/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.46	0.00	3.46	0.0	1.73
02/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.44	0.00	1.44	0.0	0.72
03/15/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.14	0.00	3.14	0.0	1.57
03/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.31	0.00	1.31	0.0	0.66
04/15/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.55	0.00	3.55	0.0	1.78
04/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.48	0.00	1.48	0.0	0.74
05/19/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.51	0.00	3.51	0.0	1.76
05/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.46	0.00	1.46	0.0	0.73
06/15/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.60	0.00	3.60	0.0	1.80
06/15/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.50	0.00	1.50	0.0	0.75
07/14/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.49	0.00	3.49	0.0	1.75

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: L149-ROBERT LUCHSINGER #388								
07/14/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.45	0.00	1.45	0.0	0.73
08/10/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.68	0.00	3.68	0.0	1.84
08/10/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.53	0.00	1.53	0.0	0.77
09/16/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.77	0.00	3.77	0.0	1.89
09/16/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.57	0.00	1.57	0.0	0.79
10/12/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.63	0.00	3.63	0.0	1.82
10/12/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.51	0.00	1.51	0.0	0.76
11/11/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.74	0.00	3.74	0.0	1.87
11/11/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.56	0.00	1.56	0.0	0.78
12/19/2016	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.67	0.00	3.67	0.0	1.84
12/19/2016	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.53	0.00	1.53	0.0	0.77
02/09/2018	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.62	0.00	1.62	0.0	0.81
02/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.86	0.00	12.86	0.0	6.43
02/09/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	4.13	0.00	4.13	0.0	2.07
02/12/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.69	0.00	0.69	0.0	0.35
03/15/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.64	0.00	0.64	0.0	0.32
04/15/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.70	0.00	0.70	0.0	0.35
05/19/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.69	0.00	0.69	0.0	0.35
06/15/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.36
07/14/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.69	0.00	0.69	0.0	0.35
08/10/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.72	0.00	0.72	0.0	0.36
09/16/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.37
10/12/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.36
11/11/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.37
12/19/2016	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.70	0.00	0.70	0.0	0.35
02/12/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.05	0.00	1.05	0.0	0.53
03/15/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	0.97	0.00	0.97	0.0	0.49
04/15/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.07	0.00	1.07	0.0	0.54
05/19/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.05	0.00	1.05	0.0	0.53
06/15/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.09	0.00	1.09	0.0	0.55
07/14/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.07	0.00	1.07	0.0	0.54
08/10/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.12	0.00	1.12	0.0	0.56
09/16/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.13	0.00	1.13	0.0	0.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
10/12/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.09	0.00	1.09	0.0	0.55
11/11/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.13	0.00	1.13	0.0	0.57
12/19/2016	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.07	0.00	1.07	0.0	0.54
02/09/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.57	0.00	1.57	0.0	0.79
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$100,751.76	\$1,024.14	\$0.00	\$1,024.14		\$512.74
*** OVERRIDE TRANSACTIONS TOTAL ***			\$100,751.76	\$1,024.14	\$0.00	\$1,024.14		\$512.74

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$512.74
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$512.74
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$1,954.63

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