



All Companies

03/29/2018  
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# COMMISSION STATEMENT

April 02, 2018

FNB GILMER

BROKER #: 9619  
BRANCH: HOME OFFICE

, TX

## COMMISSION STATEMENT for FNB GILMER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

03/16/2018	00002046284	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	100.00	1.00	0.00	1.00	30.0	0.30
03/16/2018	00002046296	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	200.00	2.00	0.00	2.00	30.0	0.60
03/15/2018	00002397528	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	520.00	5.20	0.00	5.20	30.0	1.56
03/15/2018	00002397536	BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	300.00	3.00	0.00	3.00	30.0	0.90
03/20/2018	00086839205	GRAY, PATTI	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	30.0	0.30
03/12/2018	00087551447	GRIFFITH, ROBERT	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	30.0	0.30
03/05/2018	5470420167	GOMEZ, MARIA	PORTFOLIO SERIES: MODERATE INVESTOR B	B	50.00	0.50	0.00	0.50	30.0	0.15
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$1,370.00</b>	<b>\$13.70</b>	<b>\$0.00</b>	<b>\$13.70</b>		<b>\$4.11</b>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

03/19/2018	TAYLOR, PAUL	BRIGHTHOUSE FINANCIAL	B	100.00	7.00	0.00	7.00	30.0	2.10
03/09/2018	GASTON, JERRY	PRUDENTIAL INSURANCE CO.	B	280,589.90	19,641.29	0.00	19,641.29	30.0	5,892.39
03/09/2018	GRAY, PATTI	PRUDENTIAL INSURANCE CO.	B	100.00	2.00	0.00	2.00	30.0	0.60
* VARIABLE ANNUITIES SUBTOTAL *				\$280,789.90	\$19,650.29	\$0.00	\$19,650.29		\$5,895.09

#### PRODUCT CATEGORY: RIA

01/12/2018	22876508	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	30.0	0.20
01/12/2018	58127539	SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	30.0	4.10
01/12/2018	58588928	DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	30.0	9.90
01/12/2018	60067561	SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	30.0	4.50
01/12/2018	65483992	DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	15.34	0.00	15.34	30.0	4.60
01/12/2018	72066865	TDR CONTRACTORS	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00	30.0	11.40
01/12/2018	73078546	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	30.0	0.90
01/12/2018	77686229	MOORE, GARY/PATRICIA	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	30.0	2.50
01/12/2018	88865815	DEAN, CHRISTIE ANN	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	30.0	3.20
01/12/2018	94358578	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	30.0	5.80
				<b>* RIA SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$157.00</b>	<b>\$0.00</b>	<b>\$157.00</b>		<b>\$47.10</b>

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/14/2018	00001713020	HOOD, DORIS	JOHN HANCOCK TAX FREE BD FD CL A	B	0.00	6.85	0.00	6.85	30.0	2.06
03/14/2018	00002046284	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	12.14	0.00	12.14	30.0	3.64
03/14/2018	00002046289	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	30.45	0.00	30.45	30.0	9.14
03/14/2018	00002046291	WILSON, WESLEY M.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	11.69	0.00	11.69	30.0	3.51
03/14/2018	00002046296	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	12.39	0.00	12.39	30.0	3.72
03/14/2018	00002048865	RODENBAUGH, DANIEL	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	16.38	0.00	16.38	30.0	4.91
03/14/2018	00002048869	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	9.30	0.00	9.30	30.0	2.79
03/14/2018	00002100036	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	24.12	0.00	24.12	30.0	7.24
03/14/2018	00002108329	ORMSBY, KENT W.	FLOATING RATE INCOME C	B	0.00	16.98	0.00	16.98	30.0	5.09
03/14/2018	00002108331	ORMSBY, KENT W.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	4.58	0.00	4.58	30.0	1.37
03/14/2018	00002111652	COOK, WILLIAM B.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	22.24	0.00	22.24	30.0	6.67
03/14/2018	00002111653	COOK, WILLIAM B.	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	129.37	0.00	129.37	30.0	38.81
03/14/2018	00002124014	EMORY, ADAM	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	11.53	0.00	11.53	30.0	3.46
03/14/2018	00002155310	CABRERA, GERARDO	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	8.02	0.00	8.02	30.0	2.41
03/14/2018	00002160101	GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	155.18	0.00	155.18	30.0	46.55
03/14/2018	00002171725	HOOD, DORIS	ALTERNATIVE ASSET ALLOCATION FUND A	B	0.00	6.57	0.00	6.57	30.0	1.97
03/14/2018	00002175516	MURRAY, KC L.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	68.68	0.00	68.68	30.0	20.60
03/14/2018	00002175517	MURRAY, KC L.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	10.09	0.00	10.09	30.0	3.03
03/14/2018	00002177089	MURRAY, KC L.	FLOATING RATE INCOME C	B	0.00	13.70	0.00	13.70	30.0	4.11
03/14/2018	00002177090	MURRAY, KC L.	US GLOBAL LEADERS GROWTH C	B	0.00	18.90	0.00	18.90	30.0	5.67
03/14/2018	00002177091	MURRAY, KC L.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	20.33	0.00	20.33	30.0	6.10
03/14/2018	00002179884	BOWEN, JOHN A.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	20.75	0.00	20.75	30.0	6.23
03/14/2018	00002182326	TO (STA) TOD	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	41.52	0.00	41.52	30.0	12.46
		RULES, SUBJECT								
03/14/2018	00002182327	TO (STA) TOD	TAX-FREE BOND C	B	0.00	32.17	0.00	32.17	30.0	9.65
		RULES, SUBJECT								
03/14/2018	00002182328	TO (STA) TOD	ALTERNATIVE ASSET ALLOCATION C	B	0.00	20.06	0.00	20.06	30.0	6.02
		RULES, SUBJECT								
03/14/2018	00002188800	BEASON, LINDA	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	143.65	0.00	143.65	30.0	43.10
		PRESTON								
03/14/2018	00002189540	BEASON, LINDA	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	116.94	0.00	116.94	30.0	35.08
		PRESTON								
03/14/2018	00002190489	SHULER, SUNNI A.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	0.00	3.44	0.00	3.44	30.0	1.03
03/14/2018	00002191348	COOPER, HAZEL E.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	2.49	0.00	2.49	30.0	0.75
03/14/2018	00002193224	WALLACE, ROY T.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	1.29	0.00	1.29	30.0	0.39
03/14/2018	00002329019	TORRES, ROBERTO	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	59.13	0.00	59.13	30.0	17.74
03/14/2018	00002337567	DAVIS, PAUL J.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	42.78	0.00	42.78	30.0	12.83
03/14/2018	00002397528	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	4.86	0.00	4.86	30.0	1.46
03/14/2018	00002397536	BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	1.86	0.00	1.86	30.0	0.56
03/14/2018	00003370537	GIPSON, RICKIE A.	JOHN HANCOCK SOVEREIGN BALANCED FD	B	0.00	0.21	0.00	0.21	30.0	0.06

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/14/2018	00003370539	GIPSON, RICKIE A.	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	0.05	0.00	0.05	30.0	0.02
03/14/2018	00003370540	GIPSON, RICKIE A.	JOHN HANCOCK STRATEGIC INCOME FD CL B		0.00	0.07	0.00	0.07	30.0	0.02
03/14/2018	00003370541	GIPSON, RICKIE A.	STRATEGIC INCOME OPPORTUNITIES A	B	0.00	0.16	0.00	0.16	30.0	0.05
03/14/2018	00777102664	HOOD, DORIS	JOHN HANCOCK GROWTH & INCOME FD CL B		0.00	22.91	0.00	22.91	30.0	6.87
03/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	8.00	0.00	8.00	30.0	2.40
03/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK SOVEREIGN BD FD CL A B		0.00	1.50	0.00	1.50	30.0	0.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,133.33	\$0.00	\$1,133.33		\$340.02
*** BROKER TRANSACTIONS TOTAL ***					\$282,159.90	\$20,954.32	\$0.00	\$20,954.32		\$6,286.32

BROKER COMMISSION TOTALS

Broker Transactions	\$6,286.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$6,286.32
Year-to-date Earnings	\$8,908.99