

**COMMISSION STATEMENT****October 31, 2017**

ALAN GEIER  
56 Dutchess Ave.  
Apt. 1  
Poughkeepsie, NY 12601

BROKER #: G122  
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/18/2017	KLEIN, NINA	SAWTOOTH	0.00	912.62	0.00	912.62	80.0	730.10
10/18/2017	KLEIN, NINA	SAWTOOTH	0.00	140.91	0.00	140.91	80.0	112.73
10/18/2017	KLEIN, NINA	SAWTOOTH	0.00	1,721.93	0.00	1,721.93	80.0	1,377.54
* RIA SUBTOTAL *			\$0.00	\$2,775.46	\$0.00	\$2,775.46		\$2,220.37
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2,775.46	\$0.00	\$2,775.46		\$2,220.37
<b><u>ADJUSTMENTS</u></b>								
10/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-13.72
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-13.72

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,220.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-13.72
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,206.65
Year-to-date Gross	\$9,697.60
Year-to-date Earnings	\$7,758.08