

**COMMISSION STATEMENT****April 15, 2018**RANDY STOLTZ  
14617 N. 45th PlaceBROKER #: S149  
BRANCH: RANDY STOLTZ

Phoenix, AZ 85032-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
04/11/2018	GREGORY, KENNETH	SEI	11,845.88	2.07	0.00	2.07	90.0	1.86
04/11/2018	GREGORY, KENNETH	SEI	3,336.09	0.95	0.00	0.95	90.0	0.86
04/11/2018	GREGORY, ANDREW	SEI	731.09	0.24	0.00	0.24	90.0	0.22
04/11/2018	GREGORY, KATHRYN	SEI	4,744.80	1.54	0.00	1.54	90.0	1.39
04/11/2018	HELM, MYRANDA	SEI	49,161.70	14.75	0.00	14.75	90.0	13.28
04/11/2018	HELM, CRAIG	SEI	16,893.27	5.07	0.00	5.07	90.0	4.56
04/11/2018	HELM, TAYLOR	SEI	29,414.47	8.82	0.00	8.82	90.0	7.94
04/11/2018	HIGGINS, JOHN	SEI	8,330.82	1.25	0.00	1.25	90.0	1.13
04/11/2018	KIDDER, TINA	SEI	34,234.94	16.95	0.00	16.95	90.0	15.26
04/11/2018	MATSON, GLENDA	SEI	32,283.24	18.65	0.00	18.65	90.0	16.79
04/11/2018	MESSMER, DALE	SEI	10,764.77	1.45	0.00	1.45	90.0	1.31
04/11/2018	POOLE, JOHN	SEI	86,874.20	271.48	0.00	271.48	90.0	244.33
04/11/2018	POOLE, MARILYN	SEI	49,769.43	17.11	0.00	17.11	90.0	15.40
04/11/2018	ROSOL, KARYN	SEI	59,039.51	31.00	0.00	31.00	90.0	27.90
04/11/2018	ROSOL, LAURELYNN	SEI	5,425.32	1.43	0.00	1.43	90.0	1.29
04/11/2018	SCHOUTEN, KATHLEEN	SEI	9,899.27	6.50	0.00	6.50	90.0	5.85
04/04/2018	BARRY, PHILIP	STONEBRIDGE	484,074.00	403.39	0.00	403.39	90.0	363.05
04/04/2018	CROUCH, MARC	STONEBRIDGE	393,899.00	328.25	0.00	328.25	90.0	295.43
04/04/2018	CROUCH, MARC	STONEBRIDGE	481,827.00	401.52	0.00	401.52	90.0	361.37
04/04/2018	CROUCH, MARC	STONEBRIDGE	51,900.00	43.25	0.00	43.25	90.0	38.93
04/04/2018	CROUCH, MARC	STONEBRIDGE	156,421.00	97.76	0.00	97.76	90.0	87.98
04/04/2018	GREGORY, KENNETH	STONEBRIDGE	675,348.00	450.23	0.00	450.23	90.0	405.21
04/04/2018	HELM, CRAIG	STONEBRIDGE	428,137.00	374.62	0.00	374.62	90.0	337.16
04/04/2018	HULLENAAR, ALLEN	STONEBRIDGE	64,983.00	54.15	0.00	54.15	90.0	48.74
04/04/2018	HULLENAAR, JENNIFER	STONEBRIDGE	128,489.00	107.07	0.00	107.07	90.0	96.36
04/04/2018	JONES, CECILIA	STONEBRIDGE	1,027,982.00	770.99	0.00	770.99	90.0	693.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/04/2018	KIDDER, CHRIS	STONEBRIDGE	469,608.00	430.47	0.00	430.47	90.0	387.42
04/04/2018	MATSON, FRED	STONEBRIDGE	111,150.00	106.52	0.00	106.52	90.0	95.87
04/04/2018	MATSON, GLENDA	STONEBRIDGE	79,953.00	76.62	0.00	76.62	90.0	68.96
04/04/2018	MCGAHIE, ROBERT	STONEBRIDGE	261,727.00	76.34	0.00	76.34	90.0	68.71
04/04/2018	MCGAHIE, ROBERT	STONEBRIDGE	160,002.00	46.67	0.00	46.67	90.0	42.00
04/04/2018	MCGAHIE, ROBERT	STONEBRIDGE	595,524.00	173.69	0.00	173.69	90.0	156.32
04/04/2018	MESSMER, SUSAN	STONEBRIDGE	214,272.00	205.34	0.00	205.34	90.0	184.81
04/04/2018	PAGE, STEPHEN	STONEBRIDGE	61,740.00	61.74	0.00	61.74	90.0	55.57
04/04/2018	ROSOL, DAVID	STONEBRIDGE	343,786.00	315.14	0.00	315.14	90.0	283.63
04/04/2018	ROSOL, KARYN	STONEBRIDGE	72,910.00	60.76	0.00	60.76	90.0	54.68
04/04/2018	SCHOUTEN, DONALD	STONEBRIDGE	688,195.00	516.15	0.00	516.15	90.0	464.54
04/09/2018	CARVER, DONALD	STONEBRIDGE	466,603.00	388.84	0.00	388.84	90.0	349.96
04/09/2018	STILES, CAROL	STONEBRIDGE	256,620.00	160.39	0.00	160.39	90.0	144.35
04/09/2018	THOMAS, BRUCE	STONEBRIDGE	102,555.00	64.10	0.00	64.10	90.0	57.69
04/09/2018	THOMAS, BRUCE	STONEBRIDGE	140.84	88.02	0.00	88.02	90.0	79.22
04/09/2018	THOMAS, CHERYL	STONEBRIDGE	209,080.00	130.67	0.00	130.67	90.0	117.60
04/09/2018	THOMAS, KEVIN	STONEBRIDGE	381,360.00	317.80	0.00	317.80	90.0	286.02
04/09/2018	THOMAS, KEVIN	STONEBRIDGE	138,458.00	86.54	0.00	86.54	90.0	77.89
04/09/2018	WEATHERS, MARK	STONEBRIDGE	787,940.00	262.65	0.00	262.65	90.0	236.39
04/09/2018	YOUNG, GARY	STONEBRIDGE	999,591.00	498.03	0.00	498.03	90.0	448.23
<b>* RIA SUBTOTAL *</b>			<b>\$10,707,023.64</b>	<b>\$7,496.97</b>	<b>\$0.00</b>	<b>\$7,496.97</b>		<b>\$6,747.35</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$10,707,023.64</b>	<b>\$7,496.97</b>	<b>\$0.00</b>	<b>\$7,496.97</b>		<b>\$6,747.35</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,747.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,747.35
Year-to-date Gross	\$7,496.97
Year-to-date Earnings	\$6,747.35