

## COMMISSION STATEMENT

August 31, 2018

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

07/30/2018	75599821	RF LAFFERTY & CO	AUTODESK INC	B	37,776.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	AUTODESK INC	S	37,776.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	CONSTELLATION BRANDS INC CL A	B	10,466.25	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	CONSTELLATION BRANDS INC CL A	S	10,466.25	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ELECTRONIC ARTS INC	B	44,817.50	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ELECTRONIC ARTS INC	S	44,817.50	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	IAC INTERACTIVECORP COM	B	14,670.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	IAC INTERACTIVECORP COM	S	14,670.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	PERSPECTA INC COMMON STOCK	B	8,632.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	PERSPECTA INC COMMON STOCK	S	4,314.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	PERSPECTA INC COMMON STOCK	S	4,318.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	B	28,000.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	B	5,600.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	B	5,600.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	S	28,000.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	S	1,540.00	0.00	0.00	0.00	80.0	0.00
07/30/2018	75599821	RF LAFFERTY & CO	ZEBRA TECHNOLOGIES CORP CL A	S	9,660.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO S		14,968.40	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		744.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		745.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		746.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		747.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		1,504.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		2,232.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		2,632.00	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		3,007.96	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599821	RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		372.50	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821	RF LAFFERTY & CO	ALLERGAN PLC	B	6,341.71	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/01/2018	75599821 RF LAFFERTY & CO	ALLERGAN PLC	B	5,782.14	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	ALLERGAN PLC	B	5,595.62	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	ALLERGAN PLC	S	17,719.48	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNET	S	68,230.00	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	FIRST TRUST ETF DOW JONES INTERNET	B	68,230.00	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	MSCI INC	S	32,678.00	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	MSCI INC	B	32,677.98	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	REX ENERGY CORPORATION COMMON STOCK	B	24.00	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	REX ENERGY CORPORATION COMMON STOCK	S	24.00	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	TRIANGLE PETROLEUM CORP	B	260.00	0.00	0.00	0.00	80.0	0.00
08/01/2018	75599821 RF LAFFERTY & CO	TRIANGLE PETROLEUM CORP	S	260.00	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	CYBERARK SOFTWARE LTD SHS ISIN#IL00	S	27,792.00	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	CYBERARK SOFTWARE LTD SHS ISIN#IL00	B	27,791.96	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	S	28,026.99	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	B	9,339.00	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	B	9,344.00	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	GUIDEWIRE SOFTWARE INC	B	9,344.00	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E	S	1,031.30	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E	S	1,031.30	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E	S	1,031.30	0.00	0.00	0.00	80.0	0.00
08/08/2018	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E	B	3,093.90	0.00	0.00	0.00	80.0	0.00
08/15/2018	75599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	B	506,200.00	0.00	0.00	0.00	80.0	0.00
08/15/2018	75599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	S	253,100.00	0.00	0.00	0.00	80.0	0.00
08/15/2018	75599821 RF LAFFERTY & CO	IQIYI INC SPONS ADR ISIN#US46267X10	S	253,100.00	0.00	0.00	0.00	80.0	0.00
08/15/2018	75599821 RF LAFFERTY & CO	NETFLIX COM INC	B	967,839.90	0.00	0.00	0.00	80.0	0.00
08/15/2018	75599821 RF LAFFERTY & CO	NETFLIX COM INC	S	967,839.90	0.00	0.00	0.00	80.0	0.00
08/16/2018	75599821 RF LAFFERTY & CO	TIER REIT INC COM	B	94,841.56	0.00	0.00	0.00	80.0	0.00
08/16/2018	75599821 RF LAFFERTY & CO	TIER REIT INC COM	B	94,703.20	0.00	0.00	0.00	80.0	0.00
08/16/2018	75599821 RF LAFFERTY & CO	TIER REIT INC COM	S	47,429.55	0.00	0.00	0.00	80.0	0.00
08/16/2018	75599821 RF LAFFERTY & CO	TIER REIT INC COM	S	47,412.00	0.00	0.00	0.00	80.0	0.00
08/16/2018	75599821 RF LAFFERTY & CO	TIER REIT INC COM	S	47,402.00	0.00	0.00	0.00	80.0	0.00
08/16/2018	75599821 RF LAFFERTY & CO	TIER REIT INC COM	S	47,301.00	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	B	45.00	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	S	7.50	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	S	7.50	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	S	7.50	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	S	7.50	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	S	7.50	0.00	0.00	0.00	80.0	0.00
08/20/2018	75599821 RF LAFFERTY & CO	UNITED ENERGY CORP-NEV NEW	S	7.50	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	S	536,787.10	0.00	0.00	0.00	80.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
08/29/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	268,374.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	ADOBE SYSTEMS INC	B	268,413.10	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	VECTREN CORP	B	71,461.10	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	VECTREN CORP	S	7,146.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	VECTREN CORP	S	7,146.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	VECTREN CORP	S	7,147.00	0.00	0.00	0.00	80.0	0.00
08/29/2018	75599821 RF LAFFERTY & CO	VECTREN CORP	S	50,022.07	0.00	0.00	0.00	80.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$5,240,464.52</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
07/31/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	393,011.84	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	393,211.84	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	1,198,453.20	0.00	0.00	0.00	80.0	0.00
07/31/2018	75599820 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	1,198,753.20	0.00	0.00	0.00	80.0	0.00
08/14/2018	75599820 RF LAFFERTY & CO	DCP MIDSTREAM LP RED PERP PFD UNIT	S	81,800.00	0.00	0.00	0.00	80.0	0.00
08/14/2018	75599820 RF LAFFERTY & CO	DCP MIDSTREAM LP RED PERP PFD UNIT	B	80,200.00	0.00	0.00	0.00	80.0	0.00
08/14/2018	75599820 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 S	S	24,062.50	0.00	0.00	0.00	80.0	0.00
08/14/2018	75599820 RF LAFFERTY & CO	HERTZ CORP SR NT FULLY EXCH FROM 40 B	B	23,562.50	0.00	0.00	0.00	80.0	0.00
08/03/2018	75599826 RF LAFFERTY & CO	JPMORGAN CHASE FINL CO LLC LKD RO S B	B	20,800.00	0.00	0.00	0.00	80.0	0.00
08/28/2018	75599826 RF LAFFERTY & CO	BARCLAYS BANK PLC FR 8%083030	B	195,650.00	0.00	0.00	0.00	80.0	0.00
08/28/2018	75599826 RF LAFFERTY & CO	BARCLAYS BANK PLC FR 8%083030	S	103,162.50	0.00	0.00	0.00	80.0	0.00
08/28/2018	75599826 RF LAFFERTY & CO	BARCLAYS BANK PLC FR 8%083030	S	93,337.50	0.00	0.00	0.00	80.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$3,806,005.08</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
08/27/2018	75599821 RF LAFFERTY & CO	PUT AMD 04/18/19 26	B	8,900.00	0.00	0.00	0.00	80.0	0.00
08/27/2018	75599821 RF LAFFERTY & CO	PUT AMD 04/18/19 26	S	8,900.00	0.00	0.00	0.00	80.0	0.00
08/13/2018	75599822 RF LAFFERTY & CO	CALL BBY 12/21/18 75	B	2,490.00	0.00	0.00	0.00	80.0	0.00
08/13/2018	75599822 RF LAFFERTY & CO	CALL BBY 12/21/18 75	S	2,220.00	0.00	0.00	0.00	80.0	0.00
<b>* OPTIONS SUBTOTAL *</b>				<b>\$22,510.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
08/07/2018	75501740 GIOMMI MCDOWELL,	FAS FEES PT. I/II	B	1,141.47	0.00	0.00	0.00	80.0	0.00
08/07/2018	75501740 GIOMMI MCDOWELL,	FAS FEES PT. II/II	B	1,141.47	0.00	0.00	0.00	80.0	0.00
08/18/2018	75501741 GIOMMI MCDOWELL,	FAS FEES PT. I/II	B	325.75	0.00	0.00	0.00	80.0	0.00
08/18/2018	75501741 GIOMMI MCDOWELL,	FAS FEES PT. II/II	B	325.75	0.00	0.00	0.00	80.0	0.00
07/27/2018	75501861 BENJAMIN, WAYNE	FAS FEES PT. II/III	B	991.36	0.00	0.00	0.00	80.0	0.00
07/27/2018	75501862 BENJAMIN, WAYNE	FAS FEES PT. II/III	B	245.38	0.00	0.00	0.00	80.0	0.00
<b>* RIA SUBTOTAL *</b>				<b>\$4,171.18</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018	FEE COUNT - 11			0.00	148.14	0.00	148.14	80.0	118.51
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$148.14	\$0.00	\$148.14		\$118.51
	*** BROKER TRANSACTIONS TOTAL ***			\$9,073,150.78	\$148.14	\$0.00	\$148.14		\$118.51

BROKER COMMISSION TOTALS

Broker Transactions	\$118.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.19
SIPC Assessment	\$-0.37

Check Amount	\$117.95
Year-to-date Earnings	\$314.89