

**COMMISSION STATEMENT****December 31, 2017**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/19/2017	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	0.00	34.64	0.00	34.64	95.0	32.91
12/19/2017	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	0.00	105.56	0.00	105.56	95.0	100.28
12/19/2017	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	0.00	41.28	0.00	41.28	95.0	39.22
12/19/2017	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	0.00	67.11	0.00	67.11	95.0	63.75
12/19/2017	MODRYCKI, MARGUERITE	BR TOTAL INC & REAL ESTATE FUND C	0.00	1.88	0.00	1.88	95.0	1.79
12/19/2017	MODRYCKI, DONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	2.29	0.00	2.29	95.0	2.18
12/19/2017	MODRYCKI TRUST, DONALD	BR TOTAL INC & REAL ESTATE FUND C	0.00	10.68	0.00	10.68	95.0	10.15
12/19/2017	SPEARY, ROBERT	BR TOTAL INC & REAL ESTATE FUND C	0.00	47.76	0.00	47.76	95.0	45.37
12/17/2017	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	96.75	0.00	96.75	95.0	91.91
12/17/2017	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1,233.59	0.00	1,233.59	95.0	1,171.91
12/17/2017	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	888.04	0.00	888.04	95.0	843.64
12/17/2017	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.15	0.00	41.15	95.0	39.09
12/17/2017	WILMAN, ANN ELAINE	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.51	0.00	22.51	95.0	21.38
12/17/2017	GRUSH, ERNEST	GRIFFIN INST'L ACCESS R E FUND C	0.00	82.81	0.00	82.81	95.0	78.67
12/17/2017	CFGROUP, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	636.44	0.00	636.44	95.0	604.62
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$3,312.49	\$0.00	\$3,312.49		\$3,146.87

PRODUCT CATEGORY: 529 PLANS

11/30/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
12/15/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
12/15/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	4.75
12/15/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75
11/30/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
12/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
12/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
12/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
12/06/2017	NIZIO, MARGARET	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/06/2017	NIZIO, EDMUND	BLACKROCK 67776G493	50.00	0.50	0.00	0.50	95.0	0.48
12/01/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	50.00	1.88	0.00	1.88	95.0	1.79
* 529 PLANS SUBTOTAL *			\$650.00	\$24.91	\$0.00	\$24.91		\$23.68
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/27/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
12/20/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
12/20/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
12/20/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	-60.00	-3.00	0.00	-3.00	95.0	-2.85
11/27/2017	HLADKI, THOMAS	AM FUNDS AMERICAN MUTUAL C	19,975.79	199.76	0.00	199.76	95.0	189.77
12/18/2017	HOOTNER-JONES, ANN	AM FUNDS AMERICAN MUTUAL C	100.00	1.00	0.00	1.00	95.0	0.95
12/18/2017	HOOTNER-JONES, ANN	AM FUNDS AMERICAN MUTUAL C	100.00	1.00	0.00	1.00	95.0	0.95
12/18/2017	HOOTNER-JONES, ANN	AM FUNDS AMERICAN MUTUAL C	100.00	1.00	0.00	1.00	95.0	0.95
12/18/2017	HOLLAND, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	500.00	21.25	0.00	21.25	95.0	20.19
12/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
12/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
12/01/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
12/18/2017	HOLLAND, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	500.00	21.25	0.00	21.25	95.0	20.19
12/11/2017	UNTIL AGE 18, MI/UTMA	AM FUNDS GROWTH FUND OF AMERICA C	200.00	2.00	0.00	2.00	95.0	1.90
12/18/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
11/30/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
12/01/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
12/15/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
12/18/2017	MCGEE, MICHAEL	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
12/11/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
12/11/2017	MOWINSKI, VINCENZO	AM FUNDS NEW WORLD C	200.00	2.00	0.00	2.00	95.0	1.90
12/11/2017	MOWINSKI, SERGIO	AM FUNDS NEW WORLD C	200.00	2.00	0.00	2.00	95.0	1.90
12/11/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
12/15/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
12/15/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
12/15/2017	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
12/15/2017	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
12/18/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
/ /	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	1.88	0.00	1.88	95.0	1.79

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/28/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
11/29/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
11/29/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
12/12/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
12/05/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
11/27/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
12/04/2017	LABAZA, LYNN	OPPENHEIMER DISCOVERY C	3,875.00	38.75	0.00	38.75	95.0	36.81
12/04/2017	LABAZA, LYNN	OPPENHEIMER DISCOVERY C	116.25	1.16	0.00	1.16	95.0	1.10
12/04/2017	LABAZA, JOHN	OPPENHEIMER DISCOVERY C	3,875.00	38.75	0.00	38.75	95.0	36.81
12/04/2017	LABAZA, JOHN	OPPENHEIMER DISCOVERY C	116.25	1.16	0.00	1.16	95.0	1.10
12/04/2017	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES A	450.00	21.38	0.00	21.38	95.0	20.31
12/04/2017	TRBOVICH, NICK	OPPENHEIMER GLOBAL OPPORTUNITIES A	67.50	3.21	0.00	3.21	95.0	3.05
12/04/2017	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES A	350.00	16.63	0.00	16.63	95.0	15.80
12/04/2017	TRBOVICH, MARY	OPPENHEIMER GLOBAL OPPORTUNITIES A	52.50	2.49	0.00	2.49	95.0	2.37
12/04/2017	TRBOVICH, NICK	OPPENHEIMER MAIN STREET A	450.00	21.38	0.00	21.38	95.0	20.31
12/04/2017	TRBOVICH, NICK	OPPENHEIMER MAIN STREET A	67.50	3.21	0.00	3.21	95.0	3.05
12/04/2017	TRBOVICH, MARY	OPPENHEIMER MAIN STREET A	350.00	16.63	0.00	16.63	95.0	15.80
12/04/2017	TRBOVICH, MARY	OPPENHEIMER MAIN STREET A	52.50	2.49	0.00	2.49	95.0	2.37
12/15/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
12/04/2017	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE A	450.00	21.38	0.00	21.38	95.0	20.31
12/04/2017	TRBOVICH, NICK	OPPENHEIMER REAL ESTATE A	67.50	3.21	0.00	3.21	95.0	3.05
12/04/2017	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE A	350.00	16.63	0.00	16.63	95.0	15.80
12/04/2017	TRBOVICH, MARY	OPPENHEIMER REAL ESTATE A	52.50	2.49	0.00	2.49	95.0	2.37
12/04/2017	LABAZA, LYNN	OPPENHEIMER SMALL & MIDCAP GROWTH C	3,875.00	38.75	0.00	38.75	95.0	36.81
12/04/2017	LABAZA, LYNN	OPPENHEIMER SMALL & MIDCAP GROWTH C	116.25	1.16	0.00	1.16	95.0	1.10
12/04/2017	LABAZA, JOHN	OPPENHEIMER SMALL & MIDCAP GROWTH C	3,875.00	38.75	0.00	38.75	95.0	36.81
12/04/2017	LABAZA, JOHN	OPPENHEIMER SMALL & MIDCAP GROWTH C	116.25	1.16	0.00	1.16	95.0	1.10
12/04/2017	TRBOVICH, NICK	OPPENHEIMER VALUE A	450.00	21.38	0.00	21.38	95.0	20.31
12/04/2017	TRBOVICH, NICK	OPPENHEIMER VALUE A	67.50	3.21	0.00	3.21	95.0	3.05
12/04/2017	TRBOVICH, MARY	OPPENHEIMER VALUE A	350.00	16.63	0.00	16.63	95.0	15.80
12/04/2017	TRBOVICH, MARY	OPPENHEIMER VALUE A	52.50	2.49	0.00	2.49	95.0	2.37
12/08/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	124.24	1.24	0.00	1.24	95.0	1.18
12/15/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/15/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
		* MUTUAL FUNDS SUBTOTAL *	\$43,930.03	\$669.76	\$0.00	\$669.76		\$636.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/05/2017	SALES, THOMAS	PRU DEFINED INCOME	335,029.08	12,563.59	0.00	12,563.59	95.0	11,935.41
		* VARIABLE ANNUITIES SUBTOTAL *	\$335,029.08	\$12,563.59	\$0.00	\$12,563.59		\$11,935.41
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
12/06/2017	DINKA, JOHN	PAC LIFE VUL	0.00	50.00	0.00	50.00	95.0	47.50
		* LIFE INSURANCE SUBTOTAL *	\$0.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
12/15/2017	DINKA, DAVID	PAC LIFE VUL	0.00	152.64	0.00	152.64	95.0	145.01
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$152.64	\$0.00	\$152.64		\$145.01
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/30/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	7.27	0.00	7.27	95.0	6.91
11/30/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	3.54	0.00	3.54	95.0	3.36
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.50	0.00	2.50	95.0	2.38
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.07	0.00	2.07	95.0	1.97
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	7.51	0.00	7.51	95.0	7.13
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	6.22	0.00	6.22	95.0	5.91
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	6.02	0.00	6.02	95.0	5.72
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	2.05	0.00	2.05	95.0	1.95
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.09	0.00	2.09	95.0	1.99
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.26	0.00	6.26	95.0	5.95
11/30/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.37	0.00	6.37	95.0	6.05
11/30/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.82	0.00	1.82	95.0	1.73
11/30/2017	YASCH, SANDY	BLK AGG 10-12 AGE BASED A	0.00	0.63	0.00	0.63	95.0	0.60
11/30/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	4.83	0.00	4.83	95.0	4.59
11/30/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.81	0.00	0.81	95.0	0.77
11/30/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.60	0.00	0.60	95.0	0.57
11/30/2017	WILLIT	BLK AGG GROWTH A	0.00	2.08	0.00	2.08	95.0	1.98
11/30/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.13	0.00	0.13	95.0	0.12
11/30/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.04	0.00	0.04	95.0	0.04

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/30/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.51	0.00	0.51	95.0	0.48
11/30/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.17	0.00	0.17	95.0	0.16
11/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.84	0.00	2.84	95.0	2.70
11/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.95	0.00	0.95	95.0	0.90
11/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.87	0.00	0.87	95.0	0.83
11/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.61	0.00	2.61	95.0	2.48
11/30/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.89	0.00	1.89	95.0	1.80
11/30/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.63	0.00	0.63	95.0	0.60
11/30/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.25	0.00	0.25	95.0	0.24
11/30/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.08	0.00	0.08	95.0	0.08
11/30/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	7.67	0.00	7.67	95.0	7.29
11/30/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	7.67	0.00	7.67	95.0	7.29
11/30/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.56	0.00	2.56	95.0	2.43
11/30/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.56	0.00	2.56	95.0	2.43
11/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.48	0.00	0.48	95.0	0.46
11/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.16	0.00	0.16	95.0	0.15
11/30/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	5.09	0.00	5.09	95.0	4.84
11/30/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	3.36	0.00	3.36	95.0	3.19
11/30/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	3.36	0.00	3.36	95.0	3.19
11/30/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.41	0.00	2.41	95.0	2.29
11/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	3.30	0.00	3.30	95.0	3.14
11/30/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	2.10	0.00	2.10	95.0	2.00
11/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.95	0.00	2.95	95.0	2.80
11/30/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.56	0.00	0.56	95.0	0.53
11/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.66	0.00	0.66	95.0	0.63
11/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.97	0.00	1.97	95.0	1.87
11/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.79	0.00	1.79	95.0	1.70
11/30/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.60	0.00	0.60	95.0	0.57
11/30/2017	MOELLER, RICHARD	BLK MOD 13-16 AGE BASED C	0.00	0.20	0.00	0.20	95.0	0.19
11/30/2017	MOELLER, RICHARD	BLK MOD 13-16 AGE BASED C	0.00	0.60	0.00	0.60	95.0	0.57
11/30/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.49	0.00	0.49	95.0	0.47
11/30/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.46	0.00	1.46	95.0	1.39
11/30/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.38	0.00	2.38	95.0	2.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/30/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.88	0.00	0.88	95.0	0.84
11/30/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.39	0.00	0.39	95.0	0.37
11/30/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	2.21	0.00	2.21	95.0	2.10
11/30/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	4.28	0.00	4.28	95.0	4.07
11/30/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.90	0.00	3.90	95.0	3.71
11/30/2017	TRYNAN, SHELLY	BLK MOD 17+ AGE BASED C	0.00	2.80	0.00	2.80	95.0	2.66
11/30/2017	TRYNAN, SHELLY	BLK MOD 17+ AGE BASED C	0.00	0.93	0.00	0.93	95.0	0.88
11/30/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	4.49	0.00	4.49	95.0	4.27
11/30/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	2.28	0.00	2.28	95.0	2.17
11/30/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.35	0.00	3.35	95.0	3.18
11/30/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	6.85	0.00	6.85	95.0	6.51
11/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.41	0.00	0.41	95.0	0.39
11/30/2017	CFGROUP, VARIOUS	BLK MOD 6-9 AGE BASED C	0.00	1.22	0.00	1.22	95.0	1.16
11/30/2017	CFGROUP, VARIOUS	BLK MOD 6-9 AGE BASED C	0.00	3.66	0.00	3.66	95.0	3.48
11/30/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.12	0.00	1.12	95.0	1.06
11/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.14	0.00	0.14	95.0	0.13
11/30/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.34	0.00	3.34	95.0	3.17
11/30/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.11	0.00	1.11	95.0	1.05
12/05/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	9.69	0.00	9.69	95.0	9.21
12/05/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.95	0.00	5.95	95.0	5.65
12/05/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	6.94	0.00	6.94	95.0	6.59
12/05/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	0.98	0.00	0.98	95.0	0.93
12/05/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	8.90	0.00	8.90	95.0	8.46
12/05/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.17	0.00	9.17	95.0	8.71
12/05/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.41	0.00	3.41	95.0	3.24
12/05/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.86	0.00	8.86	95.0	8.42
12/05/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.11	0.00	3.11	95.0	2.95
12/05/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.29	0.00	6.29	95.0	5.98
12/05/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.50	0.00	6.50	95.0	6.18
12/05/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.87	0.00	3.87	95.0	3.68
12/05/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	48.91	0.00	48.91	95.0	46.46
12/05/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	6.12	0.00	6.12	95.0	5.81
12/05/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	5.08	0.00	5.08	95.0	4.83

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/05/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
12/05/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
12/05/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
12/05/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
12/05/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.76	0.00	2.76	95.0	2.62
12/05/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.55	0.00	0.55	95.0	0.52
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.67	0.00	0.67	95.0	0.64
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.67	0.00	0.67	95.0	0.64
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.09	0.00	1.09	95.0	1.04
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.28	0.00	1.28	95.0	1.22
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	26.47	0.00	26.47	95.0	25.15
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	4.09	0.00	4.09	95.0	3.89
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.82	0.00	1.82	95.0	1.73
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	12.69	0.00	12.69	95.0	12.06
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	9.36	0.00	9.36	95.0	8.89
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	5.28	0.00	5.28	95.0	5.02
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.86	0.00	1.86	95.0	1.77
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.12	0.00	0.12	95.0	0.11
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.59	0.00	1.59	95.0	1.51
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	4.17	0.00	4.17	95.0	3.96
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.11	0.00	2.11	95.0	2.00
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	20.26	0.00	20.26	95.0	19.25
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.42	0.00	3.42	95.0	3.25
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.28	0.00	0.28	95.0	0.27
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.16	0.00	7.16	95.0	6.80

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	5.29	0.00	5.29	95.0	5.03
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.90	0.00	1.90	95.0	1.81
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.88	0.00	2.88	95.0	2.74
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.50	0.00	3.50	95.0	3.33
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.22	0.00	1.22	95.0	1.16
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.32	0.00	3.32	95.0	3.15
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.58	0.00	1.58	95.0	1.50
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.66	0.00	0.66	95.0	0.63
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
12/14/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.21	0.00	1.21	95.0	1.15
12/14/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.25	0.00	7.25	95.0	6.89
12/14/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.86	0.00	5.86	95.0	5.57
12/14/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.88	0.00	5.88	95.0	5.59
12/14/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.85	0.00	4.85	95.0	4.61
12/14/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.87	0.00	1.87	95.0	1.78
12/14/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.93	0.00	1.93	95.0	1.83
12/14/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.54	0.00	4.54	95.0	4.31
12/14/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	1.38	0.00	1.38	95.0	1.31
12/14/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	95.0	0.44
12/14/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.10	0.00	0.10	95.0	0.10
12/14/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.75	0.00	0.75	95.0	0.71
12/14/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.75	0.00	0.75	95.0	0.71
12/14/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.69	0.00	1.69	95.0	1.61
12/14/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.69	0.00	1.69	95.0	1.61
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.15	0.00	0.15	95.0	0.14
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.12	0.00	0.12	95.0	0.11
12/11/2017	OBIE, MARCIA	THORNBURG INCOME BUILDER CL A	0.00	5.91	0.00	5.91	95.0	5.61
12/11/2017	OBIE, BRADFORD	THORNBURG INCOME BUILDER CL A	0.00	8.39	0.00	8.39	95.0	7.97
12/11/2017	OBIE, MARCIA	THORNBURG VALUE CL A	0.00	8.11	0.00	8.11	95.0	7.70
12/11/2017	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	15.56	0.00	15.56	95.0	14.78
12/11/2017	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	6.57	0.00	6.57	95.0	6.24
12/05/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.16	0.00	7.16	95.0	6.80
12/05/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.24	0.00	3.24	95.0	3.08

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/05/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.58	0.00	2.58	95.0	2.45
12/05/2017	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.32	0.00	3.32	95.0	3.15
12/05/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.27	0.00	0.27	95.0	0.26
12/05/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.89	0.00	0.89	95.0	0.85
12/05/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.08	0.00	2.08	95.0	1.98
12/05/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.08	0.00	2.08	95.0	1.98
12/05/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	26.33	0.00	26.33	95.0	25.01
12/05/2017	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	27.32	0.00	27.32	95.0	25.95
12/05/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.63	0.00	0.63	95.0	0.60
12/05/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.94	0.00	0.94	95.0	0.89
12/05/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.16	0.00	1.16	95.0	1.10
12/05/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.81	0.00	0.81	95.0	0.77
12/05/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.47	0.00	3.47	95.0	3.30
12/05/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.96	0.00	0.96	95.0	0.91
12/05/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.65	0.00	2.65	95.0	2.52
12/05/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	24.52	0.00	24.52	95.0	23.29
12/05/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.18	0.00	0.18	95.0	0.17
12/05/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.13	0.00	5.13	95.0	4.87
12/05/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.63	0.00	5.63	95.0	5.35
12/05/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.10	0.00	9.10	95.0	8.65
12/05/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.62	0.00	1.62	95.0	1.54
12/05/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	27.52	0.00	27.52	95.0	26.14
12/05/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	36.49	0.00	36.49	95.0	34.67
12/05/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	53.56	0.00	53.56	95.0	50.88
12/05/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.13	0.00	1.13	95.0	1.07
12/05/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.06	0.00	1.06	95.0	1.01
12/05/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.58	0.00	0.58	95.0	0.55
12/05/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	11.54	0.00	11.54	95.0	10.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$783.97	\$0.00	\$783.97		\$744.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/30/2017	BYERS, SHARRON	GENWORTH TRAILS	0.00	18.29	0.00	18.29	95.0	17.38
11/30/2017	EMBACH, KEVIN	GENWORTH TRAILS	0.00	67.55	0.00	67.55	95.0	64.17
11/30/2017	EMBACH, JAMES	GENWORTH TRAILS	0.00	67.55	0.00	67.55	95.0	64.17

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/30/2017	ZACK, MAUREEN	GENWORTH TRAILS	0.00	67.55	0.00	67.55	95.0	64.17
11/30/2017	MCCLAIN, DOROTHY	GENWORTH TRAILS	0.00	82.54	0.00	82.54	95.0	78.41
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$303.48	\$0.00	\$303.48		\$288.30
*** DIRECT TRANSACTIONS TOTAL ***			\$379,609.11	\$17,860.84	\$0.00	\$17,860.84		\$16,967.97

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

12/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
12/05/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.41	0.00	4.41	95.0	4.19
12/05/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.88	0.00	0.88	95.0	0.84
12/05/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
12/05/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.94	0.00	2.94	95.0	2.79
12/05/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.23	0.00	0.23	95.0	0.22
* MARK KOSANKE SUBTOTAL *			\$32.61	\$8.79	\$0.00	\$8.79		\$8.35
*** SPLIT TRANSACTIONS TOTAL ***			\$32.61	\$8.79	\$0.00	\$8.79		\$8.35

OVERRIDE TRANSACTIONS**BROKER: FRANK DUNN #7**

12/17/2017	BRENT, MARY	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.84	0.00	9.84	90.0	0.49
12/17/2017	SCARLATO, MARY	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.85	0.00	9.85	90.0	0.49
* FRANK DUNN SUBTOTAL *			\$0.00	\$19.69	\$0.00	\$19.69		\$0.98

BROKER: THOMAS SCHNEIDER #30

/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.09	0.00	0.09	0.0	0.09
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$0.52	\$0.00	\$0.52		\$0.52
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$20.21	\$0.00	\$20.21		\$1.50

BROKER COMMISSION TOTALS

Broker Transactions	\$16,967.97
Split Transactions	\$8.35
Override Transactions	\$1.50
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,977.82
Year-to-date Gross	\$454,797.93
Year-to-date Earnings	\$438,482.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed