



Concorde Investment Services, LLC

07/27/2017  
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# COMMISSION STATEMENT

July 31, 2017

DAVID KERN  
4321 Flagstaff Cove

BROKER #: K123  
BRANCH: DAVID KERN

Fort Wayne, IN 46815

| DATE   | CLIENT               | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                  |                      |                                    |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>       |                      |                                    |                      |                     |                    |                   |      |                      |
| / /  | DAVIS, PATRICIA      | PROFUNDS OIL EQUIP SVCS & DIST SVC | 0.00                 | 0.15                | 0.00               | 0.15              | 90.0 | 0.14                 |
| / /  | COPELAND, DANIEL     | PROFUNDS OIL EQUIP SVCS & DIST SVC | 0.00                 | 0.03                | 0.00               | 0.03              | 90.0 | 0.03                 |
| / /  | DAVIS, PATRICIA      | PROFUNDS OIL EQUIP SVCS & DIST SVC | 0.00                 | 0.46                | 0.00               | 0.46              | 90.0 | 0.41                 |
| / /  | COPELAND, DANIEL     | PROFUNDS OIL EQUIP SVCS & DIST SVC | 0.00                 | 0.09                | 0.00               | 0.09              | 90.0 | 0.08                 |
| / /  | DAVIS, PATRICIA      | PROFUNDS PRECIOUS METALS SVC       | 0.00                 | 1.12                | 0.00               | 1.12              | 90.0 | 1.01                 |
| / /  | COPELAND, DANIEL     | PROFUNDS PRECIOUS METALS SVC       | 0.00                 | 0.22                | 0.00               | 0.22              | 90.0 | 0.20                 |
| / /  | DAVIS, PATRICIA      | PROFUNDS PRECIOUS METALS SVC       | 0.00                 | 3.35                | 0.00               | 3.35              | 90.0 | 3.02                 |
| / /  | COPELAND, DANIEL     | PROFUNDS PRECIOUS METALS SVC       | 0.00                 | 0.66                | 0.00               | 0.66              | 90.0 | 0.59                 |
| / /  | DAVIS, PATRICIA      | PROFUNDS REAL ESTATE SVC           | 0.00                 | 0.02                | 0.00               | 0.02              | 90.0 | 0.02                 |
| / /  | DAVIS, PATRICIA      | PROFUNDS REAL ESTATE SVC           | 0.00                 | 0.06                | 0.00               | 0.06              | 90.0 | 0.05                 |
| / /  | COPELAND, DANIEL     | PROFUNDS REAL ESTATE SVC           | 0.00                 | 0.01                | 0.00               | 0.01              | 90.0 | 0.01                 |
| * MUTUAL FUNDS SUBTOTAL *                          |                      |                                    | \$0.00               | \$6.17              | \$0.00             | \$6.17            |      | \$5.56               |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b> |                      |                                    |                      |                     |                    |                   |      |                      |
| 07/17/2017   | KERN, LEESA          | AMERITAS LOWLOAD VUL               | 657.22               | 0.05                | 0.00               | 0.05              | 90.0 | 0.05                 |
| 07/17/2017   | KERN, HELMBERGER     | AMERITAS LOWLOAD VUL               | 494.16               | 0.04                | 0.00               | 0.04              | 90.0 | 0.04                 |
| 07/17/2017   | WHITELEATHER, TRACY  | JNL ELITE ACCESS                   | 288.51               | 3.61                | 0.00               | 3.61              | 90.0 | 3.25                 |
| 07/17/2017   | FORTNEY, ELIZABETH   | JNL ELITE ACCESS                   | 400.00               | 5.00                | 0.00               | 5.00              | 90.0 | 4.50                 |
| 07/17/2017   | FREISTROFFER, HENRY  | JNL PERSPECTIVE L                  | 3,000.00             | 120.00              | 0.00               | 120.00            | 90.0 | 108.00               |
| / /  | MARATHON LABELS 401K | NWL FLEX ADVANTAGE                 | 279,278.21           | 57.39               | 0.00               | 57.39             | 90.0 | 51.65                |
| / /  | NEW HAVEN PRINT &    | NWL FLEX ADVANTAGE                 | 823,513.96           | 203.06              | 0.00               | 203.06            | 90.0 | 182.75               |
| / /  | MARATHON LABELS 401K | NWL POOLED FXD                     | 51,895.58            | 10.66               | 0.00               | 10.66             | 90.0 | 9.59                 |
| / /  | NEW HAVEN PRINT &    | NWL POOLED FXD                     | 3,063.12             | 0.76                | 0.00               | 0.76              | 90.0 | 0.68                 |
| * VARIABLE ANNUITIES SUBTOTAL *                    |                      |                                    | \$1,162,590.76       | \$400.57            | \$0.00             | \$400.57          |      | \$360.51             |
| *** BROKER TRANSACTIONS TOTAL ***                  |                      |                                    | \$1,162,590.76       | \$406.74            | \$0.00             | \$406.74          |      | \$366.07             |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$366.07 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$0.00   |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |
| FINRA Assessment      | \$0.00   |
| SIPC Assessment       | \$0.00   |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$366.07    |
| Year-to-date Gross    | \$70,398.75 |
| Year-to-date Earnings | \$63,396.59 |