

**COMMISSION STATEMENT****July 15, 2018**

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/30/2018	KANAKRI, MARIA	KITE RLTY GROUP TR COM	4,211.94	60.00	14.00	46.00	95.0	43.70
		* STOCKS SUBTOTAL *	\$4,211.94	\$60.00	\$14.00	\$46.00		\$43.70
		*** CLEARING TRANSACTIONS TOTAL ***	\$4,211.94	\$60.00	\$14.00	\$46.00		\$43.70

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
07/03/2018	BURGESS INVESTMENTS, TIMOTHY	BLUEROCK AXIS WEST DST	262,740.74	15,764.44	0.00	15,764.44	95.0	14,976.22
07/03/2018	RAY BYRD LLC	BLUEROCK AXIS WEST DST	816,996.65	49,019.80	0.00	49,019.80	95.0	46,568.81
07/03/2018	RAY BYRD LLC	PASSCO LONGITUDE 82 SARSOTA DST	1,083,828.00	65,029.68	0.00	65,029.68	95.0	61,778.20
		* 1031 EXCHANGES SUBTOTAL *	\$2,163,565.39	\$129,813.92	\$0.00	\$129,813.92		\$123,323.23

<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/31/2018	ORTAGO, PAULA	BR TOTAL INC & REAL ESTATE FUND C	41,342.07	35.11	0.00	35.11	95.0	33.35
05/31/2018	MCKENZIE TRUST, ROBERT & DONNABR	TOTAL INC & REAL ESTATE FUND C	125,955.37	106.97	0.00	106.97	95.0	101.62
05/31/2018	MERRITT REVOCABLE TRUST, KATHYBR	TOTAL INC & REAL ESTATE FUND C	50,583.65	42.96	0.00	42.96	95.0	40.81
05/31/2018	KOPCZYK TRUST, LAWRENCE & PATRBR	TOTAL INC & REAL ESTATE FUND C	80,075.83	68.01	0.00	68.01	95.0	64.61
05/31/2018	SPEARY, ROBERT	BR TOTAL INC & REAL ESTATE FUND C	76,248.33	64.76	0.00	64.76	95.0	61.52
05/31/2018	SUTTON, LYNETTE	BR TOTAL INC & REAL ESTATE FUND C	51,547.17	43.77	0.00	43.77	95.0	41.58
		* INTERVAL FUNDS SUBTOTAL *	\$425,752.42	\$361.58	\$0.00	\$361.58		\$343.49

<u>PRODUCT CATEGORY: 529 PLANS</u>								
06/25/2018	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.88	0.00	1.88	95.0	1.79
07/02/2018	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
07/02/2018	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
07/02/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
07/02/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
07/02/2018	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
06/29/2018	MOELLER, RICHARD	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
06/29/2018	CFGROUP, VARIOUS	BLACK ROCK 67776G527	50.00	0.50	0.00	0.50	95.0	0.48
/ /	WETZEL, DIANNE	BLACK ROCK 67776G543	0.00	0.50	0.00	0.50	95.0	0.48
06/29/2018	WETZEL, DIANNE	BLACK ROCK 67776G543	50.00	0.50	0.00	0.50	95.0	0.48
/ /	MOELLER, RICHARD	BLACKROCK 67776G493	0.00	0.50	0.00	0.50	95.0	0.48
06/18/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	50.00	1.75	0.00	1.75	95.0	1.66
06/18/2018	KUCHAREK, PAUL	BLACKROCK 67776G469	50.00	1.75	0.00	1.75	95.0	1.66
/ /	MOELLER, RICHARD	BLACKROCK 67776G477	0.00	0.50	0.00	0.50	95.0	0.48
* 529 PLANS SUBTOTAL *			\$550.00	\$18.28	\$0.00	\$18.28		\$17.40
PRODUCT CATEGORY: MUTUAL FUNDS								
06/20/2018	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
06/25/2018	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	112.50	4.78	0.00	4.78	95.0	4.54
06/25/2018	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	112.50	4.78	0.00	4.78	95.0	4.54
06/25/2018	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	95.0	1.79
06/25/2018	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	67.50	2.87	0.00	2.87	95.0	2.73
06/27/2018	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	2.55	0.00	2.55	95.0	2.42
06/25/2018	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	67.50	2.87	0.00	2.87	95.0	2.73
07/05/2018	LISIECKI, MARGARET	AM FUNDS AMERICAN MUTUAL A	500.00	18.75	0.00	18.75	95.0	17.81
07/02/2018	RILEY, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	1,575.00	78.75	0.00	78.75	95.0	74.81
07/02/2018	RILEY, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	1,575.00	78.75	0.00	78.75	95.0	74.81
06/25/2018	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	99.00	1.98	0.00	1.98	95.0	1.88
07/02/2018	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
07/03/2018	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
06/25/2018	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	180.00	1.80	0.00	1.80	95.0	1.71
06/29/2018	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
06/29/2018	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
07/02/2018	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
07/02/2018	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	6.38	0.00	6.38	95.0	6.06
07/02/2018	RILEY, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	1,575.00	78.75	0.00	78.75	95.0	74.81
06/25/2018	GARDNER, THERESA	AM FUNDS MORTGAGE A	45.00	1.35	0.00	1.35	95.0	1.28
06/25/2018	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	99.00	1.98	0.00	1.98	95.0	1.88
06/25/2018	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	45.00	1.91	0.00	1.91	95.0	1.81

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	102.00	2.04	0.00	2.04	95.0	1.94
06/29/2018	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
07/02/2018	RILEY, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,575.00	78.75	0.00	78.75	95.0	74.81
06/25/2018	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	0.00	3.75	0.00	3.75	95.0	3.56
06/18/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
06/18/2018	HOOTNER-JONES, ANN	GLOBAL ALLOCATION FUND	100.00	3.75	0.00	3.75	95.0	3.56
06/25/2018	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
06/18/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	450.00	4.50	0.00	4.50	95.0	4.28
* MUTUAL FUNDS SUBTOTAL *			\$9,265.00	\$408.06	\$0.00	\$408.06		\$387.65
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.07	0.00	0.07	95.0	0.07
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.65	0.00	0.65	95.0	0.62
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.80	0.00	0.80	95.0	0.76
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.80	0.00	0.80	95.0	0.76
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.29	0.00	1.29	95.0	1.23
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.52	0.00	1.52	95.0	1.44
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	29.27	0.00	29.27	95.0	27.81
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	2.16	0.00	2.16	95.0	2.05
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	14.62	0.00	14.62	95.0	13.89
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	10.01	0.00	10.01	95.0	9.51
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	2.00	0.00	2.00	95.0	1.90
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.57	0.00	1.57	95.0	1.49
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.30	0.00	2.30	95.0	2.19
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	20.97	0.00	20.97	95.0	19.92
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.09	0.00	0.09	95.0	0.09
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.07	0.00	0.07	95.0	0.07
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.07	0.00	0.07	95.0	0.07
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.15	0.00	3.15	95.0	2.99
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.28	0.00	0.28	95.0	0.27
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.18	0.00	0.18	95.0	0.17
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	7.09	0.00	7.09	95.0	6.74
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	2.13	0.00	2.13	95.0	2.02
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	4.28	0.00	4.28	95.0	4.07
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.59	0.00	3.59	95.0	3.41
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.25	0.00	1.25	95.0	1.19
/ /	ZERILLI, ROSA	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.34	0.00	3.34	95.0	3.17
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.76	0.00	1.76	95.0	1.67
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.74	0.00	0.74	95.0	0.70
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
/ /	KRAUSE, BARBARA	FIRST INVESTORS MULTI STATE INSD TA	0.00	96.54	0.00	96.54	95.0	91.71
06/18/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	94.27	0.00	94.27	95.0	89.56
06/18/2018	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	282.80	0.00	282.80	95.0	268.66
06/18/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.37	0.00	0.37	95.0	0.35
06/18/2018	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.11	0.00	1.11	95.0	1.05
07/03/2018	RASP, LINDA	PIIONEER AMT FREE MUNICIPAL A	0.00	84.51	0.00	84.51	95.0	80.28
07/03/2018	RASP, EVELYN	PIIONEER AMT FREE MUNICIPAL A	0.00	20.21	0.00	20.21	95.0	19.20
07/02/2018	RAJKOVICH, TONI	PUTNAM ASSET ALLOC GROWTH A	0.00	1.38	0.00	1.38	95.0	1.31
07/02/2018	BEDORE, CHARLES	PUTNAM CAPITAL OPPORTUNITIES A	0.00	2.55	0.00	2.55	95.0	2.42
07/02/2018	ALLEGRIANA, JULIE	PUTNAM CAPITAL OPPORTUNITIES A	0.00	3.16	0.00	3.16	95.0	3.00
07/02/2018	SZOCINSKI, CASIMER	PUTNAM DIVERSIFIED INCOME A	0.00	10.78	0.00	10.78	95.0	10.24
07/02/2018	MEHLER, BENE	PUTNAM EQUITY INCOME A	0.00	6.53	0.00	6.53	95.0	6.20
07/02/2018	LORI RUTH THOMASSY, BENE	PUTNAM EQUITY INCOME A	0.00	7.93	0.00	7.93	95.0	7.53
07/02/2018	SCULLY, DOROTHY	PUTNAM EQUITY INCOME A	0.00	43.45	0.00	43.45	95.0	41.28
07/02/2018	BOGLE, DAVID	PUTNAM EQUITY INCOME A	0.00	0.99	0.00	0.99	95.0	0.94
07/02/2018	GUTMAN, THERESE ANNE	PUTNAM EQUITY INCOME A	0.00	12.47	0.00	12.47	95.0	11.85
07/02/2018	JAKUBOWSKI, LORRAINE	PUTNAM EQUITY INCOME A	0.00	92.35	0.00	92.35	95.0	87.73
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME A	0.00	5.32	0.00	5.32	95.0	5.05
07/02/2018	KELSEY, RONALD	PUTNAM EQUITY INCOME A	0.00	0.02	0.00	0.02	95.0	0.02
07/02/2018	SZOCINSKI, CASIMER	PUTNAM EQUITY INCOME A	0.00	15.80	0.00	15.80	95.0	15.01
07/02/2018	JENDRUSINA, ROGER	PUTNAM EQUITY INCOME A	0.00	82.99	0.00	82.99	95.0	78.84
07/02/2018	CATES, CALVIN	PUTNAM EQUITY INCOME A	0.00	0.35	0.00	0.35	95.0	0.33
07/02/2018	RANCOURT, RICHARD	PUTNAM EQUITY INCOME A	0.00	13.37	0.00	13.37	95.0	12.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	RUSHLOW, LAWRENCE	PUTNAM EQUITY INCOME A	0.00	29.62	0.00	29.62	95.0	28.14
07/02/2018	KING, DAVID	PUTNAM EQUITY INCOME A	0.00	7.13	0.00	7.13	95.0	6.77
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME B	0.00	4.58	0.00	4.58	95.0	4.35
07/02/2018	SELLECK, GREGORY	PUTNAM GEORGE PUTNAM BALANCED A	0.00	0.20	0.00	0.20	95.0	0.19
07/02/2018	SCULLY, DOROTHY	PUTNAM GLOBAL EQUITY A	0.00	24.48	0.00	24.48	95.0	23.26
07/02/2018	JOHNSON, ABEN MARTIN	PUTNAM GLOBAL EQUITY A	0.00	10.05	0.00	10.05	95.0	9.55
07/02/2018	WILLISON, VICTORIA	PUTNAM GLOBAL EQUITY A	0.00	6.14	0.00	6.14	95.0	5.83
07/02/2018	SONDLES HOLMAN, KAREN	PUTNAM GLOBAL HEALTH CARE A	0.00	21.62	0.00	21.62	95.0	20.54
07/02/2018	KEELER, MARSHA	PUTNAM GLOBAL HEALTH CARE A	0.00	14.96	0.00	14.96	95.0	14.21
07/02/2018	TUCKER, LINDA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.79	0.00	0.79	95.0	0.75
07/02/2018	DEMERJIAN, CARL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.14	0.00	2.14	95.0	2.03
07/02/2018	SCULLY, DOROTHY	PUTNAM GROWTH OPP A	0.00	27.27	0.00	27.27	95.0	25.91
07/02/2018	BLAIR, PATRICIA	PUTNAM GROWTH OPP A	0.00	11.31	0.00	11.31	95.0	10.74
07/02/2018	VERSTRAETE, GEORGE	PUTNAM GROWTH OPP A	0.00	15.27	0.00	15.27	95.0	14.51
07/02/2018	ELLWOOD, JOHN	PUTNAM GROWTH OPP A	0.00	0.43	0.00	0.43	95.0	0.41
07/02/2018	BUCHANAN, JAMIE	PUTNAM GROWTH OPP A	0.00	11.76	0.00	11.76	95.0	11.17
07/02/2018	KACIR, EVA	PUTNAM GROWTH OPP A	0.00	9.99	0.00	9.99	95.0	9.49
07/02/2018	KOSANKE, JOHN	PUTNAM GROWTH OPP A	0.00	5.70	0.00	5.70	95.0	5.42
07/02/2018	KOSANKE, JOHN	PUTNAM GROWTH OPP A	0.00	10.62	0.00	10.62	95.0	10.09
07/02/2018	BRADLEY, SARAH	PUTNAM GROWTH OPP A	0.00	6.29	0.00	6.29	95.0	5.98
07/02/2018	PROKROPOWICZ, EMILY	PUTNAM GROWTH OPP A	0.00	1.49	0.00	1.49	95.0	1.42
07/02/2018	CARLSON, TYLER	PUTNAM GROWTH OPP A	0.00	0.64	0.00	0.64	95.0	0.61
07/02/2018	CARNAHAN, BRUCE	PUTNAM GROWTH OPP A	0.00	5.99	0.00	5.99	95.0	5.69
07/02/2018	LAI, JOHN	PUTNAM GROWTH OPP A	0.00	3.69	0.00	3.69	95.0	3.51
07/02/2018	LAI, ANGIE	PUTNAM GROWTH OPP A	0.00	3.69	0.00	3.69	95.0	3.51
07/02/2018	BUTLER, PAUL	PUTNAM GROWTH OPP A	0.00	10.37	0.00	10.37	95.0	9.85
07/02/2018	BUTLER, PAUL	PUTNAM GROWTH OPP A	0.00	8.14	0.00	8.14	95.0	7.73
07/02/2018	BUTLER, KATHLEEN	PUTNAM GROWTH OPP A	0.00	3.20	0.00	3.20	95.0	3.04
07/02/2018	BUTLER, KATHLEEN	PUTNAM GROWTH OPP A	0.00	10.90	0.00	10.90	95.0	10.36
07/02/2018	TUCKER, LINDA	PUTNAM GROWTH OPP A	0.00	3.75	0.00	3.75	95.0	3.56
07/02/2018	WEAVER, RONALD	PUTNAM GROWTH OPP A	0.00	8.43	0.00	8.43	95.0	8.01
07/02/2018	ALLEGRIANA, JULIE	PUTNAM GROWTH OPP A	0.00	3.09	0.00	3.09	95.0	2.94
07/02/2018	KOSANKE, JOHN	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.21	0.00	1.21	95.0	1.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	KOSANKE, JOHN	PUTNAM GROWTH OPPORTUNITIES B	0.00	7.74	0.00	7.74	95.0	7.35
07/02/2018	MEHLER, BENE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	4.61	0.00	4.61	95.0	4.38
07/02/2018	LORI RUTH THOMASSY, BENE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	5.56	0.00	5.56	95.0	5.28
07/02/2018	SCULLY, DOROTHY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	22.32	0.00	22.32	95.0	21.20
07/02/2018	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	25.78	0.00	25.78	95.0	24.49
07/02/2018	BAKEWELL, RALPH	PUTNAM HIGH YIELD ADVANTAGE A	0.00	28.41	0.00	28.41	95.0	26.99
07/02/2018	BARR, BETTY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	2.22	0.00	2.22	95.0	2.11
07/02/2018	DEGRANDCHAMP, PAUL	PUTNAM HIGH YIELD ADVANTAGE A	0.00	0.23	0.00	0.23	95.0	0.22
07/02/2018	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	7.22	0.00	7.22	95.0	6.86
07/02/2018	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	4.09	0.00	4.09	95.0	3.89
07/02/2018	BARR, BETTY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	6.07	0.00	6.07	95.0	5.77
07/02/2018	KIVELA, BERNICE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	9.25	0.00	9.25	95.0	8.79
07/02/2018	RUSHLOW, JEAN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	8.67	0.00	8.67	95.0	8.24
07/02/2018	MANNING, JOHN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	66.63	0.00	66.63	95.0	63.30
07/02/2018	KRAUSE, BARBARA	PUTNAM HIGH YIELD ADVANTAGE A	0.00	109.24	0.00	109.24	95.0	103.78
07/02/2018	CIAGLO, BETTY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	7.88	0.00	7.88	95.0	7.49
07/02/2018	WEBB, TODD	PUTNAM INCOME A	0.00	0.07	0.00	0.07	95.0	0.07
07/02/2018	RUSHLOW, JEAN	PUTNAM INTERNATIONAL EQUITY-A	0.00	3.61	0.00	3.61	95.0	3.43
07/02/2018	RUSHLOW, LAWRENCE	PUTNAM INTERNATIONAL EQUITY-A	0.00	3.79	0.00	3.79	95.0	3.60
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM INTERNATIONAL GROWTH-A	0.00	2.79	0.00	2.79	95.0	2.65
07/02/2018	JOHNSON, DANIEL	PUTNAM INTERNATIONAL GROWTH-A	0.00	4.20	0.00	4.20	95.0	3.99
07/02/2018	WEAVER, RONALD	PUTNAM INTERNATIONAL GROWTH-A	0.00	7.87	0.00	7.87	95.0	7.48
07/02/2018	MILLER, BRADLEY	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.82	0.00	0.82	95.0	0.78
07/02/2018	MILLER, BRIANNA	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.12	0.00	0.12	95.0	0.11
07/02/2018	MILLER, SARAH	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.82	0.00	0.82	95.0	0.78
07/02/2018	BEDORE, CHARLES	PUTNAM INTERNATIONAL GROWTH-A	0.00	1.75	0.00	1.75	95.0	1.66
07/02/2018	SCULLY, DOROTHY	PUTNAM INTERNATIONAL VALUE-A	0.00	22.05	0.00	22.05	95.0	20.95
07/02/2018	SCULLY, DOROTHY	PUTNAM INTL CAPITAL OPPS-A	0.00	54.91	0.00	54.91	95.0	52.16
07/02/2018	BEDORE, CHARLES	PUTNAM INTL CAPITAL OPPS-A	0.00	2.79	0.00	2.79	95.0	2.65
07/02/2018	WONCH, LIZ	PUTNAM INVESTORS A	0.00	4.32	0.00	4.32	95.0	4.10
07/02/2018	MILLER, BRADLEY	PUTNAM INVESTORS A	0.00	0.73	0.00	0.73	95.0	0.69
07/02/2018	MILLER, BRIANNA	PUTNAM INVESTORS A	0.00	0.11	0.00	0.11	95.0	0.10
07/02/2018	MILLER, SARAH	PUTNAM INVESTORS A	0.00	0.73	0.00	0.73	95.0	0.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	WONCH, LIZ	PUTNAM INVESTORS B	0.00	2.07	0.00	2.07	95.0	1.97
07/02/2018	TUCKER, LINDA	PUTNAM MULTI CAP GROWTH A	0.00	2.35	0.00	2.35	95.0	2.23
07/02/2018	JAKUBOWSKI, LORRAINE	PUTNAM MULTI CAP GROWTH A	0.00	34.34	0.00	34.34	95.0	32.62
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	12.14	0.00	12.14	95.0	11.53
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	3.03	0.00	3.03	95.0	2.88
07/02/2018	KACIR, EVA	PUTNAM MULTI CAP GROWTH A	0.00	16.32	0.00	16.32	95.0	15.50
07/02/2018	LOWE, SARAH	PUTNAM MULTI CAP GROWTH A	0.00	0.57	0.00	0.57	95.0	0.54
07/02/2018	EAST, DENISE	PUTNAM MULTI CAP GROWTH A	0.00	1.20	0.00	1.20	95.0	1.14
07/02/2018	SZOCINSKI, CASIMER	PUTNAM MULTI CAP GROWTH A	0.00	12.20	0.00	12.20	95.0	11.59
07/02/2018	SZOCINSKI, BILLIE	PUTNAM MULTI CAP GROWTH A	0.00	11.78	0.00	11.78	95.0	11.19
07/02/2018	JENDRUSINA, ROGER	PUTNAM MULTI CAP GROWTH A	0.00	56.36	0.00	56.36	95.0	53.54
07/02/2018	RANCOURT, RICHARD	PUTNAM MULTI CAP GROWTH A	0.00	13.92	0.00	13.92	95.0	13.22
07/02/2018	RANCOURT, RICHARD	PUTNAM MULTI CAP GROWTH A	0.00	7.74	0.00	7.74	95.0	7.35
07/02/2018	JOHNSON, DANIEL	PUTNAM MULTI CAP GROWTH A	0.00	4.98	0.00	4.98	95.0	4.73
07/02/2018	BABIN, WILLIAM	PUTNAM MULTI CAP GROWTH A	0.00	63.43	0.00	63.43	95.0	60.26
07/02/2018	LOWE, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	0.14	0.00	0.14	95.0	0.13
07/02/2018	TUCKER, LINDA	PUTNAM MULTI CAP GROWTH A	0.00	1.66	0.00	1.66	95.0	1.58
07/02/2018	HARRISON, EDWARD	PUTNAM MULTI CAP GROWTH A	0.00	0.71	0.00	0.71	95.0	0.67
07/02/2018	DEMERJIAN, CARL	PUTNAM MULTI CAP GROWTH A	0.00	1.12	0.00	1.12	95.0	1.06
07/02/2018	JOUPPI, JEFFREY	PUTNAM MULTI CAP GROWTH A	0.00	5.62	0.00	5.62	95.0	5.34
07/02/2018	WEAVER, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	4.61	0.00	4.61	95.0	4.38
07/02/2018	WEAVER, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	2.20	0.00	2.20	95.0	2.09
07/02/2018	BEDORE, CHARLES	PUTNAM MULTI CAP GROWTH A	0.00	20.34	0.00	20.34	95.0	19.32
07/02/2018	PLAN, CONVERSION	PUTNAM MULTI CAP GROWTH A	0.00	1.45	0.00	1.45	95.0	1.38
07/02/2018	MIKLEVICH TRUST	PUTNAM MULTI CAP GROWTH A	0.00	33.00	0.00	33.00	95.0	31.35
07/02/2018	WONCH, LIZ	PUTNAM MULTI-CAP CORE	0.00	0.22	0.00	0.22	95.0	0.21
07/02/2018	WONCH, LIZ	PUTNAM MULTI-CAP CORE FUND A	0.00	0.49	0.00	0.49	95.0	0.47
07/02/2018	MILLER, BRADLEY	PUTNAM MULTI-CAP CORE FUND A	0.00	0.08	0.00	0.08	95.0	0.08
07/02/2018	MILLER, BRIANNA	PUTNAM MULTI-CAP CORE FUND A	0.00	0.01	0.00	0.01	95.0	0.01
07/02/2018	MILLER, SARAH	PUTNAM MULTI-CAP CORE FUND A	0.00	0.08	0.00	0.08	95.0	0.08
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	0.00	4.98	0.00	4.98	95.0	4.73
07/02/2018	MOUSSA, PATRICK	PUTNAM MULTI-CAP GROWTH B	0.00	1.76	0.00	1.76	95.0	1.67
07/02/2018	TUCKER, LINDA	PUTNAM RESEARCH A	0.00	1.67	0.00	1.67	95.0	1.59

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	ALLEGRIANA, JULIE	PUTNAM RETIREMENT READY 746859602	0.00	2.51	0.00	2.51	95.0	2.38
07/02/2018	VIVIANO, SAM	PUTNAM TAX-FREE HIGH YIELD-A	0.00	5.40	0.00	5.40	95.0	5.13
07/02/2018	KRAUSE, BARBARA	PUTNAM TAX-FREE HIGH YIELD-A	0.00	114.66	0.00	114.66	95.0	108.93
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	1.19	0.00	1.19	95.0	1.13
07/03/2018	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	33.24	0.00	33.24	95.0	31.58
07/03/2018	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	33.24	0.00	33.24	95.0	31.58
07/03/2018	KING, ALEXANDER	TRANSAMERICA AA GROWTH A	0.00	9.31	0.00	9.31	95.0	8.84
07/03/2018	MOORE, MICHELLE	TRANSAMERICA AA GROWTH A	0.00	13.66	0.00	13.66	95.0	12.98
07/03/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH A	0.00	29.01	0.00	29.01	95.0	27.56
07/03/2018	HESTER, RICHARD	TRANSAMERICA AA GROWTH A	0.00	0.82	0.00	0.82	95.0	0.78
07/03/2018	SOLDAN, JULIE	TRANSAMERICA AA GROWTH A	0.00	11.08	0.00	11.08	95.0	10.53
07/03/2018	KING, NATHAN	TRANSAMERICA AA GROWTH A	0.00	4.06	0.00	4.06	95.0	3.86
07/03/2018	RYAN, JONATHON	TRANSAMERICA AA GROWTH A	0.00	8.91	0.00	8.91	95.0	8.46
07/03/2018	MALAVOLTI, KURT	TRANSAMERICA AA GROWTH A	0.00	11.92	0.00	11.92	95.0	11.32
07/03/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH B	0.00	7.12	0.00	7.12	95.0	6.76
07/03/2018	HESTER, RICHARD	TRANSAMERICA AA GROWTH B	0.00	0.43	0.00	0.43	95.0	0.41
07/03/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	5.00	0.00	5.00	95.0	4.75
07/03/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	6.62	0.00	6.62	95.0	6.29
07/03/2018	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	0.78	0.00	0.78	95.0	0.74
07/03/2018	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	21.17	0.00	21.17	95.0	20.11
07/03/2018	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	2.18	0.00	2.18	95.0	2.07
07/03/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	10.11	0.00	10.11	95.0	9.60
07/03/2018	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.47	0.00	0.47	95.0	0.45
07/03/2018	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.01	0.00	1.01	95.0	0.96
07/03/2018	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	87.66	0.00	87.66	95.0	83.28
07/03/2018	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	20.78	0.00	20.78	95.0	19.74
07/03/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.31	0.00	12.31	95.0	11.69
07/03/2018	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.14	0.00	4.14	95.0	3.93
07/03/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	28.17	0.00	28.17	95.0	26.76
07/03/2018	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	9.25	0.00	9.25	95.0	8.79
07/03/2018	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.57	0.00	10.57	95.0	10.04
07/03/2018	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	39.83	0.00	39.83	95.0	37.84
07/03/2018	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	12.02	0.00	12.02	95.0	11.42

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.51	0.00	12.51	95.0	11.88
07/03/2018	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	20.48	0.00	20.48	95.0	19.46
07/03/2018	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.54	0.00	1.54	95.0	1.46
07/03/2018	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	59.28	0.00	59.28	95.0	56.32
07/03/2018	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	38.31	0.00	38.31	95.0	36.39
07/03/2018	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	47.56	0.00	47.56	95.0	45.18
07/03/2018	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	22.76	0.00	22.76	95.0	21.62
07/03/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	49.61	0.00	49.61	95.0	47.13
07/03/2018	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.55	0.00	13.55	95.0	12.87
07/03/2018	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	50.04	0.00	50.04	95.0	47.54
07/03/2018	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	27.96	0.00	27.96	95.0	26.56
07/03/2018	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	39.37	0.00	39.37	95.0	37.40
07/03/2018	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	24.12	0.00	24.12	95.0	22.91
07/03/2018	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	24.70	0.00	24.70	95.0	23.47
07/03/2018	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.99	0.00	4.99	95.0	4.74
07/03/2018	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	6.23	0.00	6.23	95.0	5.92
07/03/2018	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.55	0.00	13.55	95.0	12.87
07/03/2018	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.25	0.00	5.25	95.0	4.99
07/03/2018	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	14.32	0.00	14.32	95.0	13.60
07/03/2018	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	4.44	0.00	4.44	95.0	4.22
07/03/2018	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.25	0.00	1.25	95.0	1.19
07/03/2018	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	7.88	0.00	7.88	95.0	7.49
07/03/2018	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.46	0.00	14.46	95.0	13.74
07/03/2018	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	4.64	0.00	4.64	95.0	4.41
07/03/2018	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.70	0.00	4.70	95.0	4.47
07/03/2018	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	10.23	0.00	10.23	95.0	9.72
07/03/2018	MERRITT TRUST, LEO	TRANSAMERICA AA MOD GROWTH A	0.00	14.71	0.00	14.71	95.0	13.97
07/03/2018	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.93	0.00	0.93	95.0	0.88
07/03/2018	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	32.65	0.00	32.65	95.0	31.02
07/03/2018	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	26.39	0.00	26.39	95.0	25.07
07/03/2018	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.25	0.00	9.25	95.0	8.79
07/03/2018	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.36	0.00	11.36	95.0	10.79
07/03/2018	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	208.13	0.00	208.13	95.0	197.72

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.51	0.00	9.51	95.0	9.03
07/03/2018	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	21.81	0.00	21.81	95.0	20.72
07/03/2018	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	23.76	0.00	23.76	95.0	22.57
07/03/2018	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.76	0.00	11.76	95.0	11.17
07/03/2018	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.10	0.00	0.10	95.0	0.10
07/03/2018	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	25.57	0.00	25.57	95.0	24.29
07/03/2018	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	58.00	0.00	58.00	95.0	55.10
07/03/2018	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	38.27	0.00	38.27	95.0	36.36
07/03/2018	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	32.24	0.00	32.24	95.0	30.63
07/03/2018	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	44.45	0.00	44.45	95.0	42.23
07/03/2018	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.34	0.00	11.34	95.0	10.77
07/03/2018	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.59	0.00	3.59	95.0	3.41
07/03/2018	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.74	0.00	9.74	95.0	9.25
07/03/2018	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	15.93	0.00	15.93	95.0	15.13
07/03/2018	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	114.17	0.00	114.17	95.0	108.46
07/03/2018	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	54.29	0.00	54.29	95.0	51.58
07/03/2018	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.87	0.00	9.87	95.0	9.38
07/03/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.11	0.00	10.11	95.0	9.60
07/03/2018	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.10	0.00	5.10	95.0	4.85
07/03/2018	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.71	0.00	2.71	95.0	2.57
07/03/2018	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	44.62	0.00	44.62	95.0	42.39
07/03/2018	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.50	0.00	3.50	95.0	3.33
07/03/2018	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	152.53	0.00	152.53	95.0	144.90
07/03/2018	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	54.41	0.00	54.41	95.0	51.69
07/03/2018	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.11	0.00	2.11	95.0	2.00
07/03/2018	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.31	0.00	3.31	95.0	3.14
07/03/2018	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	13.01	0.00	13.01	95.0	12.36
07/03/2018	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.18	0.00	13.18	95.0	12.52
07/03/2018	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	11.34	0.00	11.34	95.0	10.77
07/03/2018	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	113.00	0.00	113.00	95.0	107.35
07/03/2018	DUMOND, PHYLLIS	TRANSAMERICA AA MODERATE A	0.00	11.39	0.00	11.39	95.0	10.82
07/03/2018	MIJAL, SHARON	TRANSAMERICA AA MODERATE A	0.00	13.38	0.00	13.38	95.0	12.71
07/03/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	26.99	0.00	26.99	95.0	25.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.76	0.00	0.76	95.0	0.72
07/03/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	85.27	0.00	85.27	95.0	81.01
07/03/2018	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	4.58	0.00	4.58	95.0	4.35
07/03/2018	MANOLATOS, STEVE	TRANSAMERICA AA MODERATE C	0.00	7.69	0.00	7.69	95.0	7.31
07/03/2018	MADIGAN, JOHN	TRANSAMERICA CAPITAL GROWTH A	0.00	0.78	0.00	0.78	95.0	0.74
07/03/2018	BACHTELL, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	20.99	0.00	20.99	95.0	19.94
07/03/2018	BOYER, KENNETH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	15.90	0.00	15.90	95.0	15.11
07/03/2018	CHATTULANI, DIANA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	10.60	0.00	10.60	95.0	10.07
07/03/2018	HORTON, RICHARD	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.23	0.00	7.23	95.0	6.87
07/03/2018	WONCH, ELIZABETH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.11	0.00	1.11	95.0	1.05
07/03/2018	WILLIAMS, DAVID	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.66	0.00	2.66	95.0	2.53
07/03/2018	WILLIAMS, DAVID	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	9.42	0.00	9.42	95.0	8.95
07/03/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.55	0.00	9.55	95.0	9.07
07/03/2018	DOYLE, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	1.30	0.00	1.30	95.0	1.24
07/03/2018	COOPER, IAN	TRANSAMERICA MULTI MGR INTL A	0.00	5.15	0.00	5.15	95.0	4.89
07/03/2018	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.34	0.00	0.34	95.0	0.32
07/03/2018	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	13.19	0.00	13.19	95.0	12.53
07/03/2018	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	9.25	0.00	9.25	95.0	8.79
07/03/2018	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	35.60	0.00	35.60	95.0	33.82
07/03/2018	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	25.72	0.00	25.72	95.0	24.43
07/03/2018	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	10.80	0.00	10.80	95.0	10.26
07/03/2018	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	5.21	0.00	5.21	95.0	4.95
07/03/2018	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	14.43	0.00	14.43	95.0	13.71
07/03/2018	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	12.51	0.00	12.51	95.0	11.88
07/03/2018	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	15.19	0.00	15.19	95.0	14.43
07/03/2018	MERRITT, GREGORY	TRANSAMERICA MULTI-MANAGED BAL A	0.00	6.34	0.00	6.34	95.0	6.02
07/03/2018	KOGUT, MONICA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.41	0.00	3.41	95.0	3.24
07/03/2018	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.03	0.00	3.03	95.0	2.88
07/03/2018	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.33	0.00	6.33	95.0	6.01
07/03/2018	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.59	0.00	7.59	95.0	7.21
07/03/2018	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	32.40	0.00	32.40	95.0	30.78
07/03/2018	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	112.82	0.00	112.82	95.0	107.18
07/03/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	44.64	0.00	44.64	95.0	42.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.03	0.00	7.03	95.0	6.68
07/03/2018	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.91	0.00	2.91	95.0	2.76
07/03/2018	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.76	0.00	2.76	95.0	2.62
07/03/2018	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.34	0.00	17.34	95.0	16.47
07/03/2018	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.23	0.00	1.23	95.0	1.17
07/03/2018	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.20	0.00	12.20	95.0	11.59
07/03/2018	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.37	0.00	17.37	95.0	16.50
07/03/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	40.71	0.00	40.71	95.0	38.67
07/03/2018	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	47.95	0.00	47.95	95.0	45.55
07/03/2018	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.71	0.00	10.71	95.0	10.17
07/03/2018	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	101.66	0.00	101.66	95.0	96.58
07/03/2018	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	136.31	0.00	136.31	95.0	129.49
07/03/2018	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	77.26	0.00	77.26	95.0	73.40
07/03/2018	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.24	0.00	16.24	95.0	15.43
07/03/2018	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	94.79	0.00	94.79	95.0	90.05
07/03/2018	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	44.17	0.00	44.17	95.0	41.96
07/03/2018	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	21.07	0.00	21.07	95.0	20.02
07/03/2018	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.61	0.00	29.61	95.0	28.13
07/03/2018	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.00	0.00	6.00	95.0	5.70
07/03/2018	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	9.49	0.00	9.49	95.0	9.02
07/03/2018	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	9.50	0.00	9.50	95.0	9.03
07/03/2018	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	36.63	0.00	36.63	95.0	34.80
07/03/2018	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	21.94	0.00	21.94	95.0	20.84
07/03/2018	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	59.46	0.00	59.46	95.0	56.49
07/03/2018	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.58	0.00	4.58	95.0	4.35
07/03/2018	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.49	0.00	5.49	95.0	5.22
07/03/2018	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.89	0.00	1.89	95.0	1.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5,759.59	\$0.00	\$5,759.59		\$5,471.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	MORELLI, MARY	LFG TRAILS	268,329.67	167.71	0.00	167.71	95.0	159.32
06/30/2018	BAYLE, SUSAN	LFG TRAILS	6,128.09	3.83	0.00	3.83	95.0	3.64
06/29/2018	BYERS, SHARRON	GENWORTH TRAILS	115,957.77	19.33	0.00	19.33	95.0	18.36
06/29/2018	EMBACH, KEVIN	GENWORTH TRAILS	86,011.67	71.68	0.00	71.68	95.0	68.10

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	EMBACH, JAMES	GENWORTH TRAILS	86,011.68	71.68	0.00	71.68	95.0	68.10
06/29/2018	ZACK, MAUREEN	GENWORTH TRAILS	86,011.68	71.68	0.00	71.68	95.0	68.10
06/29/2018	HARTOUNIAN, HAGOB	GENWORTH TRAILS	12,292.01	10.24	0.00	10.24	95.0	9.73
06/29/2018	MCCLAIN, DOROTHY	GENWORTH TRAILS	104,284.63	86.90	0.00	86.90	95.0	82.56
06/22/2018	GREGORY, RICHARD	ING PREMIUM PLUS	8,157.22	15.29	0.00	15.29	95.0	14.53
06/30/2018	BERTRAND, C JERRY	JNL ELITE ACCESS	0.00	30.22	0.00	30.22	95.0	28.71
06/30/2018	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	0.00	21.11	0.00	21.11	95.0	20.05
06/30/2018	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	0.00	44.18	0.00	44.18	95.0	41.97
06/30/2018	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	0.00	0.43	0.00	0.43	95.0	0.41
06/30/2018	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	0.00	19.23	0.00	19.23	95.0	18.27
06/30/2018	RANG, MARILYN	JNL PERSPECTIVE II 05/05	0.00	30.32	0.00	30.32	95.0	28.80
06/30/2018	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	0.00	73.38	0.00	73.38	95.0	69.71
06/30/2018	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	0.00	53.47	0.00	53.47	95.0	50.80
06/30/2018	PIROZZO, PETER	JNL PERSPECTIVE L	0.00	64.32	0.00	64.32	95.0	61.10
06/30/2018	LEGGE, GERALD	JNL PERSPECTIVE L	0.00	327.32	0.00	327.32	95.0	310.95
06/30/2018	DIEM, LINDA	JOHN HANCOCK VENTURE	0.00	0.15	0.00	0.15	95.0	0.14
06/30/2018	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	0.00	1.68	0.00	1.68	95.0	1.60
06/30/2018	DANIEL, SABRINA	JOHN HANCOCK VENTURE	0.00	0.06	0.00	0.06	95.0	0.06
06/30/2018	O'CONNOR, FRANCIS	PRU AS ADVISOR PLAN - 1993	0.00	2.79	0.00	2.79	95.0	2.65
06/30/2018	HILDEBRAND, CHRISTINE	PRU AS ADVISOR PLAN - 1993	0.00	4.18	0.00	4.18	95.0	3.97
06/30/2018	MIKLEVICH, MICHAEL	PRU AS ADVISOR PLAN - 1993	0.00	17.70	0.00	17.70	95.0	16.82
06/30/2018	WEHLER, MARY ANN	PRU AS ADVISOR PLAN - 1993	0.00	8.52	0.00	8.52	95.0	8.09
06/30/2018	HATHAWAY, DEBORAH	PRU AS ADVISOR PLAN II - 1996	0.00	0.72	0.00	0.72	95.0	0.68
06/30/2018	AVOURIS, TIMOTHY	PRU AS ADVISOR PLAN II - 1996	0.00	13.83	0.00	13.83	95.0	13.14
06/30/2018	CAVERLY, KEVIN	PRU AS ADVISOR PLAN II - 1996	0.00	1.01	0.00	1.01	95.0	0.96
06/30/2018	HAZARD, JOHN	PRU AS APEX II	0.00	19.28	0.00	19.28	95.0	18.32
06/30/2018	HAZARD, JOHN	PRU AS APEX II	0.00	77.11	0.00	77.11	95.0	73.25
06/30/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	0.00	3.30	0.00	3.30	95.0	3.14
06/30/2018	POLENYCHKO, SHIRLEY	PRU AS APEX II	0.00	13.21	0.00	13.21	95.0	12.55
06/30/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	0.00	152.93	0.00	152.93	95.0	145.28
06/30/2018	BLANKENSHIP, JANET	PRU AS LIFEVEST II	0.00	50.98	0.00	50.98	95.0	48.43
06/30/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	0.00	6.63	0.00	6.63	95.0	6.30
06/30/2018	HOVIS, BARBARA	PRU AS LIFEVEST II	0.00	2.21	0.00	2.21	95.0	2.10

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	0.00	20.48	0.00	20.48	95.0	19.46
06/30/2018	WETZEL, WILLIAM	PRU AS LIFEVEST II	0.00	6.83	0.00	6.83	95.0	6.49
06/30/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	0.00	70.04	0.00	70.04	95.0	66.54
06/30/2018	MERRITT, MARY LOU	PRU AS LIFEVEST II	0.00	23.35	0.00	23.35	95.0	22.18
06/30/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	0.00	24.22	0.00	24.22	95.0	23.01
06/30/2018	LOURIA, PHYLLIS	PRU AS LIFEVEST II	0.00	8.07	0.00	8.07	95.0	7.67
06/30/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	0.00	42.53	0.00	42.53	95.0	40.40
06/30/2018	SARKISIAN, NORAY	PRU AS LIFEVEST II	0.00	14.18	0.00	14.18	95.0	13.47
06/30/2018	YANIS, ROSANN	PRU AS LIFEVEST II	0.00	27.98	0.00	27.98	95.0	26.58
06/30/2018	YANIS, ROSANN	PRU AS LIFEVEST II	0.00	9.33	0.00	9.33	95.0	8.86
06/30/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	0.00	12.02	0.00	12.02	95.0	11.42
06/30/2018	SOBIESKI, JOYCE	PRU AS LIFEVEST II	0.00	4.01	0.00	4.01	95.0	3.81
06/30/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	0.00	30.77	0.00	30.77	95.0	29.23
06/30/2018	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	0.00	30.77	0.00	30.77	95.0	29.23
06/30/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	0.00	17.65	0.00	17.65	95.0	16.77
06/30/2018	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	0.00	17.65	0.00	17.65	95.0	16.77
06/30/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	0.00	69.33	0.00	69.33	95.0	65.86
06/30/2018	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	0.00	69.33	0.00	69.33	95.0	65.86
06/30/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	0.00	26.94	0.00	26.94	95.0	25.59
06/30/2018	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	0.00	26.94	0.00	26.94	95.0	25.59
06/30/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	0.00	13.25	0.00	13.25	95.0	12.59
06/30/2018	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	0.00	13.25	0.00	13.25	95.0	12.59
06/30/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	0.00	45.13	0.00	45.13	95.0	42.87
06/30/2018	BAKER, GENE	PRU AS XTRA CREDIT SIX	0.00	45.13	0.00	45.13	95.0	42.87
06/30/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	0.00	1.97	0.00	1.97	95.0	1.87
06/30/2018	TAMM, JAMES	PRU AS XTRA CREDIT SIX	0.00	1.97	0.00	1.97	95.0	1.87
06/30/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	0.00	6.43	0.00	6.43	95.0	6.11
06/30/2018	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	0.00	6.43	0.00	6.43	95.0	6.11
06/30/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	0.00	4.63	0.00	4.63	95.0	4.40
06/30/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	0.00	4.63	0.00	4.63	95.0	4.40
06/30/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	0.00	5.62	0.00	5.62	95.0	5.34
06/30/2018	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	0.00	5.62	0.00	5.62	95.0	5.34
06/30/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	0.00	11.82	0.00	11.82	95.0	11.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	0.00	11.82	0.00	11.82	95.0	11.23
06/30/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	0.00	6.39	0.00	6.39	95.0	6.07
06/30/2018	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	0.00	6.39	0.00	6.39	95.0	6.07
06/30/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	0.00	25.36	0.00	25.36	95.0	24.09
06/30/2018	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	0.00	25.36	0.00	25.36	95.0	24.09
06/30/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	0.00	79.81	0.00	79.81	95.0	75.82
06/30/2018	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	0.00	79.81	0.00	79.81	95.0	75.82
06/30/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	0.00	33.32	0.00	33.32	95.0	31.65
06/30/2018	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	0.00	33.32	0.00	33.32	95.0	31.65
06/30/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	0.00	4.12	0.00	4.12	95.0	3.91
06/30/2018	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	0.00	4.12	0.00	4.12	95.0	3.91
06/30/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	0.00	17.63	0.00	17.63	95.0	16.75
06/30/2018	DALTON, GRACE	PRU AS XTRA CREDIT SIX	0.00	17.63	0.00	17.63	95.0	16.75
06/30/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	0.00	38.78	0.00	38.78	95.0	36.84
06/30/2018	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	0.00	38.78	0.00	38.78	95.0	36.84
06/30/2018	ANDERSON, JUDITH	PRU PREMIER RETIREMENT B	0.00	36.34	0.00	36.34	95.0	34.52
06/30/2018	GRAMMATICO, DENNIS	PRU PREMIER RETIREMENT B	0.00	143.06	0.00	143.06	95.0	135.91
06/30/2018	HANSEN, SUSAN	PRU PREMIER RETIREMENT B	0.00	51.52	0.00	51.52	95.0	48.94
06/30/2018	PARKE, WALTER	PRU PREMIER RETIREMENT B	0.00	22.81	0.00	22.81	95.0	21.67
06/30/2018	WILLS, KELLEY	PRU PREMIER RETIREMENT B	0.00	33.16	0.00	33.16	95.0	31.50
06/30/2018	KEELER, SUSAN	PRU PREMIER RETIREMENT B	0.00	27.96	0.00	27.96	95.0	26.56
06/30/2018	MURPHY, PHILIP	PRU PREMIER RETIREMENT B	0.00	20.19	0.00	20.19	95.0	19.18
06/30/2018	POLANCE, BARBARA	PRU PREMIER RETIREMENT B	0.00	59.06	0.00	59.06	95.0	56.11
06/30/2018	GEISLER, JUDY	PRU PREMIER RETIREMENT B	0.00	2.12	0.00	2.12	95.0	2.01
06/30/2018	WAGNER II, HARRY	PRU PREMIER RETIREMENT L	0.00	319.37	0.00	319.37	95.0	303.40
06/30/2018	WAGNER, SHARON	PRU PREMIER RETIREMENT L	0.00	321.05	0.00	321.05	95.0	305.00
06/30/2018	NICOLOSI, ROSE	PRU PREMIER RETIREMENT L	0.00	409.82	0.00	409.82	95.0	389.33
06/30/2018	MULRATH, JOHN	PRU PREMIER RETIREMENT L	0.00	136.31	0.00	136.31	95.0	129.49
06/30/2018	SCHROETER, MARY KAY	PRU PREMIER RETIREMENT L	0.00	143.31	0.00	143.31	95.0	136.14
06/30/2018	RAONA, GARY	PRU PREMIER RETIREMENT L	0.00	8.96	0.00	8.96	95.0	8.51
06/30/2018	SPEARY, LISA	PRU PREMIER RETIREMENT L	0.00	85.95	0.00	85.95	95.0	81.65
06/30/2018	FEWLESS, SCOTT	PRU PREMIER RETIREMENT X	0.00	4.38	0.00	4.38	95.0	4.16
06/30/2018	JOHNSON, RODNEY	PRU PREMIER RETIREMENT X	0.00	43.76	0.00	43.76	95.0	41.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2018	DEMAN, KENNETH	PRU PREMIER RETIREMENT X	0.00	19.63	0.00	19.63	95.0	18.65
06/30/2018	KNIGHT, SHARON	PRU PREMIER RETIREMENT X	0.00	21.35	0.00	21.35	95.0	20.28
06/30/2018	TYNAN, SHELLEY	PRU PREMIER RETIREMENT X	0.00	18.96	0.00	18.96	95.0	18.01
06/30/2018	VOGEL, KEITH	PRU PREMIER RETIREMENT X	0.00	24.06	0.00	24.06	95.0	22.86
06/30/2018	DUNK, KATHLEEN	PRU PREMIER RETIREMENT X	0.00	8.86	0.00	8.86	95.0	8.42
06/30/2018	OLSON, MELINDA	PRU PREMIER RETIREMENT X	0.00	29.38	0.00	29.38	95.0	27.91
06/30/2018	HENRY, JACQUELINE	PRU PREMIER RETIREMENT X	0.00	14.46	0.00	14.46	95.0	13.74
06/30/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	0.00	41.22	0.00	41.22	95.0	39.16
06/30/2018	RANG, JAMES	PRU XTRA CREDIT EIGHT	0.00	20.61	0.00	20.61	95.0	19.58
06/30/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	0.00	24.11	0.00	24.11	95.0	22.90
06/30/2018	RYAN, JACK	PRU XTRA CREDIT EIGHT	0.00	12.05	0.00	12.05	95.0	11.45
06/30/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	0.00	20.61	0.00	20.61	95.0	19.58
06/30/2018	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	0.00	10.30	0.00	10.30	95.0	9.79
06/04/2018	SCHROETER, RICHARD	TRANSAMERICA EXTRA	0.00	33.01	0.00	33.01	95.0	31.36
06/14/2018	GRUSH, ERNEST	TRANSAMERICA LANDMARK	0.00	131.42	0.00	131.42	95.0	124.85
06/30/2018	MADSEN, JOYCE	TRANSAMERICA LANDMARK	0.00	4.18	0.00	4.18	95.0	3.97
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$773,184.42	\$5,002.89	\$0.00	\$5,002.89		\$4,752.72
*** DIRECT TRANSACTIONS TOTAL ***			\$3,372,317.23	\$141,364.32	\$0.00	\$141,364.32		\$134,296.09

SPLIT TRANSACTIONS**BROKER: MARK, 103 KOSANKE #3**

06/11/2018	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.44	0.00	0.44	95.0	0.42
06/29/2018	ABULNAR, FRANK	ALLIANZ ALTERITY OPTIONS	0.00	79.89	0.00	79.89	95.0	75.90
06/29/2018	FORKIN, JOHN	ALLIANZ HIGH FIVE BONUS	0.00	239.63	0.00	239.63	95.0	227.65
06/29/2018	ROCCA, MARIA	ALLIANZ REWARDS TRADITIONAL	0.00	19.12	0.00	19.12	95.0	18.16
06/29/2018	LOPICCOLO, ARLENE	ALLIANZ VALUEMARK II	0.00	32.83	0.00	32.83	95.0	31.19
06/29/2018	LEVERETT, MONA	ALLIANZ VALUEMARK II	0.00	37.19	0.00	37.19	95.0	35.33
06/29/2018	SCAGLIONE, DIANA	ALLIANZ VALUEMARK III	0.00	60.20	0.00	60.20	95.0	57.19
06/29/2018	KRACHENFELS, EUGENE	ALLIANZ VISION BASIC	0.00	16.76	0.00	16.76	95.0	15.92
06/11/2018	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	95.0	0.21
06/11/2018	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.78	0.00	2.78	95.0	2.64
06/11/2018	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	95.0	0.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK, 103 KOSANKE #3</u>								
06/11/2018	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	95.0	0.51
06/11/2018	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	13.39	0.00	13.39	95.0	12.72
06/11/2018	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	7.35	0.00	7.35	95.0	6.98
06/11/2018	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.39	0.00	1.39	95.0	1.32
06/11/2018	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.79	0.00	5.79	95.0	5.50
06/11/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.74	0.00	1.74	95.0	1.65
06/11/2018	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.74	0.00	1.74	95.0	1.65
06/11/2018	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.81	0.00	2.81	95.0	2.67
06/11/2018	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	18.23	0.00	18.23	95.0	17.32
06/11/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	11.33	0.00	11.33	95.0	10.76
06/11/2018	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.74	0.00	0.74	95.0	0.70
06/11/2018	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.38	0.00	2.38	95.0	2.26
06/11/2018	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.62	0.00	7.62	95.0	7.24
06/30/2018	KRASS, DELORES	JNL PERSPECTIVE REWARDS	0.00	3.00	0.00	3.00	95.0	2.85
06/30/2018	PRITCHETT, EARL	NATIONWIDE MARKETFLEX	0.00	16.29	0.00	16.29	95.0	15.48
06/11/2018	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.29	0.00	0.29	95.0	0.28
06/19/2018	WHITEFOOT, BEVERLY	TIER REIT INC	2,265.19	40.00	14.00	26.00	95.0	24.70
07/03/2018	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	28.96	0.00	28.96	95.0	27.51
06/26/2018	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.76	0.00	0.76	95.0	0.72
06/26/2018	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.22	0.00	1.22	95.0	1.16
06/01/2018	GRUSH TRUST, GRUSH	XENIA HOTELS & RESORTS INC COM	45,153.62	910.00	14.00	896.00	95.0	851.20
* MARK, 103 KOSANKE SUBTOTAL *			\$47,418.81	\$1,564.83	\$28.00	\$1,536.83		\$1,459.98
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	1.36	0.00	1.36	95.0	1.29
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$1.36	\$0.00	\$1.36		\$1.29
<u>BROKER: PETER JOHNSON #63</u>								
06/29/2018	SUCHYTA, MARY LEE	ALLIANZ VALUEMARK III	0.00	275.98	0.00	275.98	95.0	262.18
* PETER JOHNSON SUBTOTAL *			\$0.00	\$275.98	\$0.00	\$275.98		\$262.18
*** SPLIT TRANSACTIONS TOTAL ***			\$47,418.81	\$1,842.17	\$28.00	\$1,814.17		\$1,723.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
07/02/2018	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	0.0	3.03
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME A	0.00	0.32	0.00	0.32	0.0	0.30
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME B	0.00	1.39	0.00	1.39	0.0	1.32
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM INTERNATIONAL GROWTH-A	0.00	3.28	0.00	3.28	0.0	3.12
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	3.68	0.00	3.68	0.0	3.50
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	3.15	0.00	3.15	0.0	2.99
07/02/2018	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	0.00	1.64	0.00	1.64	0.0	1.56
06/28/2018	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	0.00	3.07	0.00	3.07	0.0	2.92
06/28/2018	KELLER, MICHELLE	SBL ADVISOR PROGRAM	0.00	6.08	0.00	6.08	0.0	5.78
06/28/2018	YOUNG, MARCIA	SBL ADVISOR PROGRAM	0.00	5.32	0.00	5.32	0.0	5.05
06/28/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	0.00	0.76	0.00	0.76	0.0	0.72
06/28/2018	GREGORY, CAROL	SBL ADVISORDESIGNS VA	0.00	3.22	0.00	3.22	0.0	3.06
06/28/2018	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	0.00	4.21	0.00	4.21	0.0	4.00
06/28/2018	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	0.00	1.57	0.00	1.57	0.0	1.49
06/28/2018	FULTON, JOHN	SBL ADVISORDESIGNS VA	0.00	1.73	0.00	1.73	0.0	1.64
06/28/2018	LITTRELL, REX	SBL ADVISORDESIGNS VA	0.00	1.39	0.00	1.39	0.0	1.32
06/28/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	0.00	1.47	0.00	1.47	0.0	1.40
06/28/2018	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	0.00	27.67	0.00	27.67	0.0	26.29
06/28/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	0.00	1.61	0.00	1.61	0.0	1.53
06/28/2018	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	0.00	1.72	0.00	1.72	0.0	1.63
06/28/2018	HARTONG, DEAN	SBL ADVISORDESIGNS VA	0.00	2.28	0.00	2.28	0.0	2.17
06/28/2018	HAWK, DUANE	SBL ADVISORDESIGNS VA	0.00	0.68	0.00	0.68	0.0	0.65
06/28/2018	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	0.00	1.57	0.00	1.57	0.0	1.49
06/28/2018	HAWK, GLENN	SBL ADVISORDESIGNS VA	0.00	1.20	0.00	1.20	0.0	1.14
06/28/2018	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	0.00	1.86	0.00	1.86	0.0	1.77
06/28/2018	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	0.00	1.31	0.00	1.31	0.0	1.24
06/28/2018	BAKER, MARILYN	SBL ADVISORDESIGNS VA	0.00	1.37	0.00	1.37	0.0	1.30
* THOMAS SCHNEIDER SUBTOTAL *			\$75.00	\$86.74	\$0.00	\$86.74		\$82.41
*** OVERRIDE TRANSACTIONS TOTAL ***			\$75.00	\$86.74	\$0.00	\$86.74		\$82.41

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<u>ADJUSTMENTS</u>								
07/15/2018		*EO INS: MONTHY - HEATHER	0.00					-300.00
07/15/2018		*TECHNOLOGY: MONTHLY - HEATHER	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$134,339.79
Split Transactions	\$1,723.45
Override Transactions	\$82.41
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$135,570.65
Year-to-date Gross	\$324,720.22
Year-to-date Earnings	\$319,343.51

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