



# COMMISSION STATEMENT

## January 22, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @27.3100						
01/19/2018	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 00082865142	BUY 3 Units @52.9700						
01/16/2018	***-**-72IR - SHAW, SUSAN	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @60.6700						
01/10/2018	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 3 Units @63.5900						
01/19/2018	***-**-54IN - SCHMIDT, JENNY	INCOME FUND OF AMERICA CLASS C	100.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: 00082865142	BUY 4 Units @23.6700						
01/17/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 4 Units @54.2800						
01/17/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	4,702.99	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010468	SELL 196 Units @23.9600						
01/17/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 8 Units @24.8300						
01/16/2018	***-**-32IR - SHAW, WILLIAM	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @43.3500						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,852.99</b>	<b>\$20.26</b>	<b>\$0.00</b>	<b>\$20.26</b>		<b>\$17.23</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/16/2018	***-**-036I - CROWL, LINDA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.26	0.00	0.26	85.0	0.22
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
01/16/2018	***-**-831I - REED, JACK	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.43	0.00	0.43	85.0	0.37
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/16/2018	***-**-34IR - MCKENNA, EDWARD	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.15	0.00	0.15	85.0	0.13
	ACCOUNT #: LSR011376	BUY 1 Units @0.0000						
01/16/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.73	0.00	17.73	85.0	15.07
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
01/16/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	23.21	0.00	23.21	85.0	19.73
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						
01/16/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.71	0.00	18.71	85.0	15.90
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011555	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	19.37	0.00	19.37	85.0	16.46
01/16/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011558	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	23.81	0.00	23.81	85.0	20.24
01/16/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	4.92	0.00	4.92	85.0	4.18
01/16/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.09	0.00	0.09	85.0	0.08
01/16/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.14	0.00	0.14	85.0	0.12
01/16/2018	***-**-13IR - LOYCH, ALICE ACCOUNT #: LSR012051	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	85.0	0.19
01/16/2018	***-**-81IR - BLEILER, CARLTON ACCOUNT #: LSR012082	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	85.0	0.04
01/16/2018	***-**-872I - GAROFALO, ACCOUNT #: LSR010758	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	85.0	0.28
01/16/2018	***-**-244X - REED, GENEVIEVE ACCOUNT #: LSR012032	AIM HIGH YIELD FUND - CLASS A BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	85.0	1.44
01/16/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	85.0	0.13
01/16/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	AIM INCOME ALLOCATION FUND - CLASS BUY 1 Units @0.0000	0.00	0.16	0.00	0.16	85.0	0.14
01/16/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	18.69	0.00	18.69	85.0	15.89
01/16/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	71.49	0.00	71.49	85.0	60.77
01/16/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	AIM UTILITIES FUND - CLASS C BUY 1 Units @0.0000	0.00	13.67	0.00	13.67	85.0	11.62
01/22/2018	***-**-831I - REED, JACK ACCOUNT #: LSR010577	AMCAP FUND-A BUY 1 Units @0.0000	0.00	11.56	0.00	11.56	85.0	9.83
01/22/2018	***-**-036I - KAKAREKA, PAUL ACCOUNT #: LSR011085	AMCAP FUND-C BUY 1 Units @0.0000	0.00	18.93	0.00	18.93	85.0	16.09



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.82	0.00	4.82	85.0	4.10
01/22/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	75.06	0.00	75.06	85.0	63.80
01/22/2018	***-**-59IR - FREY, RYAN ACCOUNT #: LSR011056	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	9.26	0.00	9.26	85.0	7.87
01/22/2018	***-**-036I - KAKAREKA, PAUL ACCOUNT #: LSR011085	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	35.49	0.00	35.49	85.0	30.17
01/22/2018	***-**-97IR - ENEDY, EMILY ACCOUNT #: LSR010676	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	2.99	0.00	2.99	85.0	2.54
01/22/2018	***-**-31IR - ENEDY, JOHN ACCOUNT #: LSR010677	AMERICAN FUNDS 2030 TARGET DATE-C BUY 1 Units @0.0000	0.00	2.99	0.00	2.99	85.0	2.54
01/22/2018	***-**-697J - ENEDY, EMILY ACCOUNT #: LSR010675	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.56	0.00	3.56	85.0	3.03
01/22/2018	***-**-376X - WAGNER, LINDA ACCOUNT #: LSR010737	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	33.71	0.00	33.71	85.0	28.65
01/22/2018	***-**-14IR - MCKENNA, DONNA ACCOUNT #: LSR011375	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	13.79	0.00	13.79	85.0	11.72
01/22/2018	***-**-697J - ENEDY, EMILY ACCOUNT #: LSR010675	AMERICAN FUNDS GROWTH PORT CL C BUY 1 Units @0.0000	0.00	3.46	0.00	3.46	85.0	2.94
01/22/2018	***-**-285I - ACKERMAN, SEAN ACCOUNT #: LSR010998	AMERICAN FUNDS GROWTH PORT CL C BUY 1 Units @0.0000	0.00	11.00	0.00	11.00	85.0	9.35
01/22/2018	***-**-265I - NORNHOLD, ACCOUNT #: LSR010794	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	24.40	0.00	24.40	85.0	20.74
01/22/2018	***-**-057I - PLAPPERT, ACCOUNT #: LSR010833	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	41.58	0.00	41.58	85.0	35.34
01/22/2018	***-**-057I - PLAPPERT, ACCOUNT #: LSR010833	AMERICAN FUNDS INCOME PORT CL C BUY 1 Units @0.0000	0.00	14.93	0.00	14.93	85.0	12.69
01/22/2018	***-**-8540 - FREY, RYAN ACCOUNT #: LSR011528	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	13.91	0.00	13.91	85.0	11.82
01/22/2018	***-**-2600 - ILGENFRITZ, TOD ACCOUNT #: LSR011549	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	44.13	0.00	44.13	85.0	37.51



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	CAPITAL APPRECIATION - CLASS C BUY 1 Units @0.0000	0.00	8.09	0.00	8.09	85.0	6.88
01/16/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	CAPITAL APPRECIATION - CLASS C BUY 1 Units @0.0000	0.00	23.72	0.00	23.72	85.0	20.16
01/22/2018	***-**-949I - LICHT, FBO MS ACCOUNT #: LSR010542	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	85.0	1.84
01/22/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	12.01	0.00	12.01	85.0	10.21
01/22/2018	***-**-184I - BEAVERSON, ROYCE ACCOUNT #: LSR010468	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	9.65	0.00	9.65	85.0	8.20
01/22/2018	***-**-831I - REED, JACK ACCOUNT #: LSR010577	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.78	0.00	0.78	85.0	0.66
01/22/2018	***-**-992I - SMITH, FBO MR ACCOUNT #: LSR010578	EATON VANCE SHT DUR STRATEGIC INCOM BUY 1 Units @0.0000	0.00	4.12	0.00	4.12	85.0	3.50
01/22/2018	***-**-4000 - ROMIG, MARK ACCOUNT #: LSR011567	EV ATLANTA SELECT EQUITY FUND CL C BUY 1 Units @0.0000	0.00	14.52	0.00	14.52	85.0	12.34
01/22/2018	***-**-65IR - NORNHOLD, ACCOUNT #: LSR012005	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	85.0	0.10
01/22/2018	***-**-934I - BEAVERSON, MARY ACCOUNT #: LSR010467	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	10.99	0.00	10.99	85.0	9.34
01/22/2018	***-**-184I - BEAVERSON, ROYCE ACCOUNT #: LSR010468	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	14.34	0.00	14.34	85.0	12.19
01/22/2018	***-**-566I - DURKIN, FBO MRS ACCOUNT #: LSR010544	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	57.35	0.00	57.35	85.0	48.75
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	70.58	0.00	70.58	85.0	59.99
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	66.00	0.00	66.00	85.0	56.10
01/22/2018	***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011554	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	23.70	0.00	23.70	85.0	20.15
01/22/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011556	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	23.51	0.00	23.51	85.0	19.98



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-055X - ILGENFRITZ, ACCOUNT #: LSR011559	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	18.45	0.00	18.45	85.0	15.68
01/22/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.24	0.00	5.24	85.0	4.45
01/22/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	85.0	0.15
01/22/2018	***-**-14IR - SCHMIDT, DAVID ACCOUNT #: LSR011865	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	85.0	0.20
01/22/2018	***-**-830J - STRAUB, JANE ACCOUNT #: LSR011950	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	85.0	0.42
01/22/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	85.0	0.31
01/22/2018	***-**-381J - BLEILER, CONNIE ACCOUNT #: LSR012081	HARTFORD BALANCED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	85.0	0.19
01/22/2018	***-**-21IR - ILGENFRITZ, ACCOUNT #: LSR011824	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	85.0	0.44
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	57.21	0.00	57.21	85.0	48.63
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	71.74	0.00	71.74	85.0	60.98
01/22/2018	***-**-2600 - ILGENFRITZ, TOD ACCOUNT #: LSR011549	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	21.17	0.00	21.17	85.0	17.99
01/22/2018	***-**-373X - MANGANELLO, TIRA ACCOUNT #: LSR011551	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	20.36	0.00	20.36	85.0	17.31
01/22/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	5.50	0.00	5.50	85.0	4.68
01/22/2018	***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	HARTFORD CORE EQUITY CLASS C BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	85.0	0.19
01/22/2018	***-**-67IR - NORNHOLD, DENNIS ACCOUNT #: LSR012006	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	85.0	0.03
01/22/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	85.0	0.15



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-8100 - BLAUCH, CATHY ACCOUNT #: LSR011859	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	85.0	0.06
01/22/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.11	0.00	0.11	85.0	0.09
01/22/2018	***-**-51IR - BLEILER, CONNIE ACCOUNT #: LSR012077	HARTFORD SCHRODERS US SM/MID OPPOR BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	85.0	0.03
01/22/2018	***-**-949I - LICHT, FBO MS ACCOUNT #: LSR010542	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	85.0	2.49
01/22/2018	***-**-697J - ENEDY, EMILY ACCOUNT #: LSR010675	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	3.51	0.00	3.51	85.0	2.98
01/16/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	INVESCO BALANCED - RISK ALLOCATION BUY 1 Units @0.0000	0.00	8.94	0.00	8.94	85.0	7.60
01/16/2018	***-**-036I - CROWL, LINDA ACCOUNT #: LSR010466	INVESCO MULTI ASSET INCOME FD CL C BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	85.0	0.27
01/16/2018	***-**-276I - GASSWINT, SUSAN ACCOUNT #: LSR010633	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	20.39	0.00	20.39	85.0	17.33
01/16/2018	***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.52	0.00	0.52	85.0	0.44
01/16/2018	***-**-322I - SHOCKEY, DEBORAH ACCOUNT #: LSR011848	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.21	0.00	0.21	85.0	0.18
01/16/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	85.0	0.47
01/16/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	IVY MANAGED INTERNAT IONAL OPPS CL BUY 1 Units @0.0000	0.00	161.53	0.00	161.53	85.0	137.30
01/22/2018	***-**-334I - MCKENNA, EDWARD ACCOUNT #: LSR011377	LEGG MASON VALUE TRUST CL C BUY 1 Units @0.0000	0.00	6.72	0.00	6.72	85.0	5.71
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565430	MASS INVESTORS GROWTH STOCK FUND-A BUY 0 Units @0.0000	0.00	2.78	0.00	2.78	85.0	2.36
01/22/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASS INVESTORS GROWTH STOCK FUND-A BUY 1 Units @0.0000	0.00	6.31	0.00	6.31	85.0	5.36
01/22/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.48	0.00	6.48	85.0	5.51



# COMMISSION STATEMENT

January 22, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	39.75	0.00	39.75	85.0	33.79
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	56.01	0.00	56.01	85.0	47.61
01/22/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011555	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	20.79	0.00	20.79	85.0	17.67
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	67.21	0.00	67.21	85.0	57.13
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	49.29	0.00	49.29	85.0	41.90
01/22/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	0.16	0.00	0.16	85.0	0.14
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 09896329355	MFS GOVERNMENT SECURITIES FUND-A BUY 0 Units @0.0000	0.00	17.07	0.00	17.07	85.0	14.51
01/22/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	MFS MID CAP VALUE CLASS C BUY 1 Units @0.0000	0.00	0.19	0.00	0.19	85.0	0.16
01/22/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	19.52	0.00	19.52	85.0	16.59
01/22/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	39.04	0.00	39.04	85.0	33.18
01/22/2018	***-**-260X - ILGENFRITZ, CORY ACCOUNT #: LSR011553	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	10.93	0.00	10.93	85.0	9.29
01/22/2018	***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	0.62	0.00	0.62	85.0	0.53
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 00060126092	MFS TOTAL RETURN FUND-A BUY 0 Units @0.0000	0.00	9.50	0.00	9.50	85.0	8.08
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565426	MFS UTILITIES FUND-A BUY 0 Units @0.0000	0.00	4.82	0.00	4.82	85.0	4.10
01/01/2018	***-**-58IN - GAROFALO, ACCOUNT #: 08188565434	MFS VALUE FUND-A BUY 0 Units @0.0000	0.00	4.26	0.00	4.26	85.0	3.62
01/22/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	MFS VALUE FUND-C BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	85.0	0.17



# COMMISSION STATEMENT

## January 22, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-0550 - ILGENFRITZ, TIM	NUVEEN REAL ASSET INCOME FUND C SHR	0.00	20.33	0.00	20.33	85.0	17.28
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
01/22/2018	***-**-260X - ILGENFRITZ, CORY	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	10.08	0.00	10.08	85.0	8.57
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
01/22/2018	***-**-055X - ILGENFRITZ,	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.99	0.00	9.99	85.0	8.49
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
01/16/2018	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	7.81	0.00	7.81	85.0	6.64
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
01/22/2018	***-**-831I - REED, JACK	THE GROWTH FUND OF AMERICA-A	0.00	6.12	0.00	6.12	85.0	5.20
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/22/2018	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	0.13	0.00	0.13	85.0	0.11
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
01/22/2018	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
01/22/2018	***-**-83IN - SCHWARTZ, EMILY	THE INCOME FUND OF AMERICA-A	0.00	11.80	0.00	11.80	85.0	10.03
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
01/22/2018	***-**-934I - BEAVERSON, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.52	0.00	4.52	85.0	3.84
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
01/22/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	0.00	10.40	0.00	10.40	85.0	8.84
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
01/22/2018	***-**-831I - REED, JACK	THE INCOME FUND OF AMERICA-A	0.00	13.43	0.00	13.43	85.0	11.42
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
01/22/2018	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	12.56	0.00	12.56	85.0	10.68
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
01/22/2018	***-**-653J - MYERS, AND	THE INCOME FUND OF AMERICA-A	0.00	1.84	0.00	1.84	85.0	1.56
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
01/22/2018	***-**-054I - GAROFALO,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.07	0.00	8.07	85.0	6.86
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
01/22/2018	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.06	0.00	10.06	85.0	8.55
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
01/22/2018	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.99	0.00	4.99	85.0	4.24
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						





# COMMISSION STATEMENT

January 22, 2018

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54 Brookmeadow Circle  
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BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
01/22/2018	***-**-653J - MYERS, AND ACCOUNT #: LSR011521	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	85.0	1.79
01/22/2018	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	3.78	0.00	3.78	85.0	3.21
01/16/2018	***-**-0550 - ILGENFRITZ, TIM ACCOUNT #: LSR011557	WELLS FARGO INDEX ASSET ALLOC C BUY 1 Units @0.0000	0.00	21.16	0.00	21.16	85.0	17.99
01/16/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	WELLS FARGO SPECIAL MID CAP CL C BUY 1 Units @0.0000	0.00	5.69	0.00	5.69	85.0	4.84
01/16/2018	***-**-831I - KAKAREKA, ACCOUNT #: LSR011084	WELLS FARGO SPECIAL SMALL CAP VALUE BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	85.0	0.95
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,851.38</b>	<b>\$0.00</b>	<b>\$1,851.38</b>		<b>\$1,573.69</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/13/2018	***-**-597I - LEAR, DIANE ACCOUNT #: R080334-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.79	0.00	14.79	85.0	12.57
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$14.79</b>	<b>\$0.00</b>	<b>\$14.79</b>		<b>\$12.57</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$5,852.99</b>	<b>\$1,886.43</b>	<b>\$0.00</b>	<b>\$1,886.43</b>		<b>\$1,603.49</b>



BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,603.49
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,603.49
						Year-to-date Earnings		\$14,090.65