

## COMMISSION STATEMENT

January 31, 2018

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: 51

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/12/2018	QGW016198	BEHETTE, ANTHONY	CHINA XD PLASTICS CO LTD COM	S	-1,715.63	65.00	10.00	55.00	45.0	24.75
01/12/2018	QGW016198	BEHETTE, ANTHONY	ING GROEP N V PERP DEBT SECS CPN-6.	S	-6,420.85	61.00	10.00	51.00	45.0	22.95
01/12/2018	QGW016198	BEHETTE, ANTHONY	SOCIAL REALITY INC COM CL A NEW	B	7,980.00	120.00	10.00	110.00	45.0	49.50
01/24/2018	QGW016628	KRAUSS, SHARON	SPDR S&P 500 ETF TRUST	B	28,442.92	55.00	10.00	45.00	45.0	20.25
* STOCKS SUBTOTAL *					\$28,286.44	\$301.00	\$40.00	\$261.00		\$117.45
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/19/2018	QGW016115	MAYER, MURRAY	MFS GOVERNMENT SECURITIES FUND CLAS	B	0.00	48.38	0.00	48.38	45.0	21.77
01/22/2018	QGW016123	DEMSKO, DARYL	PUTNAM HIGH-YIELD FUND CLASS A	B	0.00	11.28	0.00	11.28	45.0	5.08
01/16/2018	QGW016131	TUERS, ERIC	SELECTED AMERICAN SHARES CLASS S	B	0.00	1.31	0.00	1.31	45.0	0.59
01/12/2018	QGW016347	AGAR REVOCABLE TRST,	NUVEEN CORE BOND FUND CLASS A	B	0.00	6.31	0.00	6.31	45.0	2.84
01/18/2018	QGW016412	MERGED TRUST, CARA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	21.18	0.00	21.18	45.0	9.53
01/18/2018	QGW016511	JASON, LAURIE	THE BOND FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	45.0	0.00
01/22/2018	QGW016628	KRAUSS, SHARON	FRANKLIN INCOME FUND CLASS C	B	0.00	21.39	0.00	21.39	45.0	9.63
01/16/2018	QGW024150	GORDON, ARLENE	SELECTED AMERICAN SHARES CLASS S	B	0.00	5.86	0.00	5.86	45.0	2.64
01/19/2018	QGW025553	KRAUSS, NANCY	OLSTEIN ALL CAP VALUE FUND CLASS C	B	0.00	47.79	0.00	47.79	45.0	21.51
01/16/2018	QGW036626	MIRZOEFF, DANA	SELECTED AMERICAN SHARES CLASS S	B	0.00	7.07	0.00	7.07	45.0	3.18
01/19/2018	QGW036626	MIRZOEFF, DANA	OLSTEIN ALL CAP VALUE FUND CLASS C	B	0.00	61.68	0.00	61.68	45.0	27.76
01/18/2018	QGW038721	GST TRUST, HEATHER	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	12.22	0.00	12.22	45.0	5.50
01/18/2018	QGW038739	A. BAKER	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	5.71	0.00	5.71	45.0	2.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$250.19	\$0.00	\$250.19		\$112.60
*** BROKER TRANSACTIONS TOTAL ***					\$28,286.44	\$551.19	\$40.00	\$511.19		\$230.05

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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$230.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$477.47
FINRA Assessment	\$-0.69
SIPC Assessment	\$-1.38

Check Amount	\$677.45
Year-to-date Earnings	\$677.45