



# COMMISSION STATEMENT

June 18, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/13/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	22.08	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @12.8400						
06/11/2018	***-**-80UT - WILCOX UTMA CT,	PACIFIC FUNDS PORT OP AGGR-GROWTH C	99.99	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: LSR012264	BUY 6 Units @17.2400						
06/13/2018	***-**-674I - RAMSEY, MARION	PL PORTFOLIO OPTIMIZATION MODERATE	0.64	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 0 Units @14.3000						
06/13/2018	***-**-674I - RAMSEY, MARION	PL PORTFOLIO OPTIMIZATION MODERATE	7.17	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 0 Units @14.5200						
06/13/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	60.68	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @24.9400						
06/13/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	135.56	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 23 Units @5.8000						
* MUTUAL FUNDS SUBTOTAL *			\$326.12	\$1.00	\$0.00	\$1.00		\$0.70
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
06/01/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529A	0.00	0.56	0.00	0.56	70.0	0.39
	ACCOUNT #: 00085732525	BUY 0 Units @0.0000						
06/01/2018	***-**-495P - TALLO, ROSE	CAPITAL INCOME BUILDER-529C	0.00	2.24	0.00	2.24	70.0	1.57
	ACCOUNT #: 00083957499	BUY 0 Units @0.0000						
06/18/2018	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	3.80	0.00	3.80	70.0	2.66
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
06/18/2018	***-**-925P - FENGLER, DANIEL	CHET ADVISOR 529 TRAILS	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
06/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.39	0.00	0.39	70.0	0.27
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
06/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.78	0.00	0.78	70.0	0.55
	ACCOUNT #: 01488781475	BUY 0 Units @0.0000						
06/07/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.31	0.00	0.31	70.0	0.22
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
06/07/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/07/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.22	0.00	1.22	70.0	0.85
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
06/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	2.01	0.00	2.01	70.0	1.41
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
06/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
06/07/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.94	0.00	2.94	70.0	2.06
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
06/07/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.86	0.00	2.86	70.0	2.00
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
06/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
06/18/2018	***-**-889I - BAILLARGEON,	JANUS HENDERSON GLBL EQUITY INC CL	0.00	4.32	0.00	4.32	70.0	3.02
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
06/18/2018	***-**-809O - RICH, JOSEPH	JANUS HENDERSON GLBL EQUITY INC CL	0.00	3.99	0.00	3.99	70.0	2.79
	ACCOUNT #: LSR012491	BUY 1 Units @0.0000						
06/14/2018	***-**-57IR - SOCHA, CYNTHIA	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	2.38	0.00	2.38	70.0	1.67
	ACCOUNT #: 00002019641	BUY 0 Units @0.0000						
06/18/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	0.00	0.46	0.00	0.46	70.0	0.32
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
06/18/2018	***-**-714O - MAYERSON, STEVEN	PRUDENTIAL HIGH YIELD CLASS A	0.00	0.85	0.00	0.85	70.0	0.60
	ACCOUNT #: LSR012678	BUY 1 Units @0.0000						
06/18/2018	***-**-809I - RICH, JOSEPH	RESOURCE REAL ESTATES-NFS	0.00	4.40	0.00	4.40	70.0	3.08
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
06/18/2018	***-**-09IR - RICH, JOSEPH	RESOURCE REAL ESTATES-NFS	0.00	1.76	0.00	1.76	70.0	1.23
	ACCOUNT #: LSR010679	BUY 1 Units @0.0000						
06/18/2018	***-**-69IR - RICH, PATRICIA	RESOURCE REAL ESTATES-NFS	0.00	1.75	0.00	1.75	70.0	1.23
	ACCOUNT #: LSR010683	BUY 1 Units @0.0000						
06/18/2018	***-**-069I - RICH, PATRICIA	RESOURCE REAL ESTATES-NFS	0.00	4.15	0.00	4.15	70.0	2.91
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
06/18/2018	***-**-674I - RAMSEY, MARION	RESOURCE REAL ESTATES-NFS	0.00	3.10	0.00	3.10	70.0	2.17
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/18/2018	***-**-84IR - FARQUHAR, TARA	RESOURCE REAL ESTATES-NFS	0.00	0.57	0.00	0.57	70.0	0.40
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
06/18/2018	***-**-732J - FARQUHAR,	RESOURCE REAL ESTATES-NFS	0.00	2.08	0.00	2.08	70.0	1.46
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
06/18/2018	***-**-721I - GUARNA, CATERINA	RESOURCE REAL ESTATES-NFS	0.00	0.92	0.00	0.92	70.0	0.64
	ACCOUNT #: LSR012322	BUY 1 Units @0.0000						
06/18/2018	***-**-889I - BAILLARGEON,	RESOURCE REAL ESTATES-NFS	0.00	8.99	0.00	8.99	70.0	6.29
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
06/18/2018	***-**-714O - MAYERSON, STEVEN	RESOURCE REAL ESTATES-NFS	0.00	0.84	0.00	0.84	70.0	0.59
	ACCOUNT #: LSR012678	BUY 1 Units @0.0000						
06/14/2018	***-**-118I - PIRULLI, DEBORAH	STRATEGIC INCOME A	0.00	5.09	0.00	5.09	70.0	3.56
	ACCOUNT #: 00002034826	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$67.43</b>	<b>\$0.00</b>	<b>\$67.43</b>		<b>\$47.22</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$326.12</b>	<b>\$68.43</b>	<b>\$0.00</b>	<b>\$68.43</b>		<b>\$47.92</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$47.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$70.80
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$118.72
Year-to-date Earnings	\$18,700.56