



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 15, 2017

03/14/2017
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^02/27/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	40.17	0.00	40.17	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$40.17	\$0.00	\$40.17		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	8.50	0.00	8.50	90.0	0.00
^02/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^03/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	2.02	0.00	2.02	90.0	0.00
^02/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	117.34	4.99	0.00	4.99	90.0	0.00
^02/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	100.33	4.26	0.00	4.26	90.0	0.00
^03/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	1.48	0.00	1.48	90.0	0.00
^02/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	11.77	0.00	11.77	90.0	0.00
^02/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^03/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	2.53	0.00	2.53	90.0	0.00
^03/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	2.88	0.00	2.88	90.0	0.00
^02/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	215.38	9.15	0.00	9.15	90.0	0.00
^02/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	129.23	5.49	0.00	5.49	90.0	0.00
03/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
^03/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	12.27	0.00	12.27	90.0	0.00
^03/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	2.42	0.00	2.42	90.0	0.00
^02/28/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^03/01/2017	WILLIAMS, RENETTA	AM FUNDS AMERICAN BALANCED A	0.00	3.30	0.00	3.30	90.0	0.00
^03/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	90.0	0.00
^03/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	4.69	0.00	4.69	90.0	0.00
^03/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	1.76	0.00	1.76	90.0	0.00
^03/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	2.03	0.00	2.03	90.0	0.00
^03/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	1.42	0.00	1.42	90.0	0.00
^03/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	2.39	0.00	2.39	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^03/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	7.70	0.00	7.70	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN BALANCED A	0.00	3.43	0.00	3.43	90.0	0.00
^03/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	90.0	0.00
^03/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.00
^03/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.14	0.00	1.14	90.0	0.00
^03/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	13.35	0.00	13.35	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.02	0.00	2.02	90.0	0.00
^03/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.00
^03/01/2017	WILLIAMS, RENETTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.56	0.00	1.56	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.80	0.00	1.80	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.27	0.00	1.27	90.0	0.00
^03/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.01	0.00	3.01	90.0	0.00
^03/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	90.0	0.00
^03/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.17	0.00	2.17	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.91	0.00	1.91	90.0	0.00
^03/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.28	0.00	1.28	90.0	0.00
^03/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	90.0	0.00
^03/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.47	0.00	2.47	90.0	0.00
^03/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.08	0.00	1.08	90.0	0.00
^02/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^03/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.00
^03/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.70	0.00	4.70	90.0	0.00
^03/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.65	0.00	2.65	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.78	0.00	1.78	90.0	0.00
^03/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.34	0.00	4.34	90.0	0.00
^03/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.99	0.00	0.99	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	0.00	1.40	90.0	0.00
^03/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.00
^03/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.00
^03/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS ICA A	0.00	2.38	0.00	2.38	90.0	0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
^03/01/2017	WILLIAMS, RENETTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.76	0.00	1.76	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.20	0.00	1.20	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.34	0.00	1.34	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.31	0.00	1.31	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	1.32	0.00	1.32	90.0	0.00
^03/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW WORLD A	0.00	0.95	0.00	0.95	90.0	0.00
^03/01/2017	WOODSON, SHELDON	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.79	0.00	0.79	90.0	0.00
^02/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^02/24/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^02/24/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^02/28/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^02/24/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^02/24/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^02/28/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^02/28/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^02/28/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^02/24/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^02/28/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^02/24/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^02/24/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^02/24/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^02/28/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,096.12	\$199.40	\$0.00	\$199.40		\$3.83
*** BROKER TRANSACTIONS TOTAL ***			\$2,096.12	\$239.57	\$0.00	\$239.57		\$3.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/</u>		<u>#209</u>						
02/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.55	0.00	2.55	90.0	2.30
02/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
03/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	0.61	0.00	0.61	90.0	0.55
02/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	117.34	1.50	0.00	1.50	90.0	1.35
02/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	100.33	1.28	0.00	1.28	90.0	1.15
03/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	0.44	0.00	0.44	90.0	0.40
02/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.53	0.00	3.53	90.0	3.18
02/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
03/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	0.76	0.00	0.76	90.0	0.68
03/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	0.86	0.00	0.86	90.0	0.77
02/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	215.38	2.75	0.00	2.75	90.0	2.48
02/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	129.23	1.65	0.00	1.65	90.0	1.49
03/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	3.68	0.00	3.68	90.0	3.31
03/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	0.73	0.00	0.73	90.0	0.66
02/28/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
03/01/2017	WILLIAMS, RENETTA	AM FUNDS AMERICAN BALANCED A	0.00	0.99	0.00	0.99	90.0	0.89
03/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.31
03/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	90.0	1.27
03/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.39
03/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	90.0	0.65
03/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	2.31	0.00	2.31	90.0	2.08
03/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.93
03/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.31
03/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	4.01	0.00	4.01	90.0	3.61
03/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.61	0.00	0.61	90.0	0.55
03/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	WILLIAMS, RENETTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.54	0.00	0.54	90.0	0.49
03/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.38	0.00	0.38	90.0	0.34

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
03/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.90	0.00	0.90	90.0	0.81
03/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.65	0.00	0.65	90.0	0.59
03/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.57	0.00	0.57	90.0	0.51
03/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.38	0.00	0.38	90.0	0.34
03/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.01	0.00	0.01	90.0	0.01
03/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	SCHENKE, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.74	0.00	0.74	90.0	0.67
03/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	90.0	0.29
02/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
03/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
03/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.41	0.00	1.41	90.0	1.27
03/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2017	SCHENKE, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.30	0.00	1.30	90.0	1.17
03/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2017	WOODSON, SHELDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
03/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	90.0	0.00
03/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
03/01/2017	SCHENKE, JOSEPH	AM FUNDS ICA A	0.00	0.71	0.00	0.71	90.0	0.64
03/01/2017	WILLIAMS, RENETTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2017	SCHENKE, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
03/01/2017	WOODSON, SHELDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2017	WOODSON, SHELDON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.39	0.00	0.39	90.0	0.35
03/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW WORLD A	0.00	0.29	0.00	0.29	90.0	0.26
03/01/2017	WOODSON, SHELDON	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.24	0.00	0.24	90.0	0.22
02/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
02/24/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
02/24/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
02/28/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
02/24/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
02/24/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
02/28/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
02/28/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
02/28/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
02/24/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
02/28/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
02/24/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
02/24/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
02/24/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
02/27/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.05	0.00	12.05	90.0	10.85
02/28/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,996.12	\$70.64	\$0.00	\$70.64		\$63.63
*** SPLIT TRANSACTIONS TOTAL ***			\$1,996.12	\$70.64	\$0.00	\$70.64		\$63.63
<u>ADJUSTMENTS</u>								
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					0.00
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.46
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3.83
Split Transactions	\$63.63
Override Transactions	\$0.00
Adjustments	\$-0.46
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$89.17
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$156.17
Year-to-date Gross	\$308.05
Year-to-date Earnings	\$247.69