

**COMMISSION STATEMENT****August 31, 2018**

LAWRENCE HORN  
301 E 47TH STREET  
APT 16J  
NEW YORK, NY 10017

BROKER #: I 20 I75 K17 I21 I 2  
BRANCH: HOME OFFICE

**COMMISSION STATEMENT for LAWRENCE HORN**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

08/02/2018	QGV005630	MORGAN STANLEY	AON PLC SHS CL A	ISIN#GB00B5BTOK07	B	958,496.04	204.00	10.00	194.00	50.0	97.00
08/02/2018	QGV005630	MORGAN STANLEY	MARSH & MCLENNAN COMPANIES INC		B	954,087.15	345.00	10.00	335.00	50.0	167.50
07/31/2018	QGV006240	GS ARBITRAGE	EXPRESS SCRIPTS HOLDING COMPANY		S	-401,403.00	200.00	10.00	190.00	50.0	95.00
08/14/2018	QGV006240	GS ARBITRAGE	AT&T INC		B	322,690.00	400.00	10.00	390.00	50.0	195.00
				<b>* STOCKS SUBTOTAL *</b>		<b>\$1,833,870.19</b>	<b>\$1,149.00</b>	<b>\$40.00</b>	<b>\$1,109.00</b>		<b>\$554.50</b>

**PRODUCT CATEGORY: MISCELLANEOUS**

08/29/2018	VARIOUS, HORN	TACONIC CAPITAL ADVISORS			B	0.00	10,000.00	0.00	10,000.00	50.0	5,000.00
				<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$10,000.00</b>	<b>\$0.00</b>	<b>\$10,000.00</b>		<b>\$5,000.00</b>
				<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$1,833,870.19</b>	<b>\$11,149.00</b>	<b>\$40.00</b>	<b>\$11,109.00</b>		<b>\$5,554.50</b>

**ADJUSTMENTS**

08/31/2018		FEE ACTIVITY: CT INDIVIDUAL REG. FE				0.00	0.00	0.00	0.00		-125.00
08/31/2018		OTHER: BLOOMBERG				0.00	0.00	0.00	0.00		-1,050.00
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY				0.00	0.00	0.00	0.00		-20.00
08/31/2018		OTHER: HAMMERSTONE				0.00	0.00	0.00	0.00		-127.50
08/31/2018		OTHER: OTHER-360 OFFICE				0.00	0.00	0.00	0.00		-5.00
				<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,327.50</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,554.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,327.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.44
SIPC Assessment	\$-2.87

Check Amount	\$4,222.69
Year-to-date Earnings	\$26,394.82