

COMMISSION STATEMENT

October 31, 2017

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

10/23/2017 (None)	VARIOUS, BELZ	PUTNAM HIGH-YIELD FUND CLASS A	B	0.00	8.26	0.00	8.26	90.0	7.43
10/24/2017 (None)	VARIOUS, BELZ	AC ALTERNATIVES DISCIPLINED LONG SH	B	0.00	1,039.25	0.00	1,039.25	90.0	935.33
09/28/2017 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	11.88	0.00	11.88	90.0	10.69
10/17/2017 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	8.91	0.00	8.91	90.0	8.02
10/04/2017 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
10/19/2017 000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
09/28/2017 000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	0.00	5.00	90.0	4.50
10/17/2017 000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	0.00	5.00	90.0	4.50
10/04/2017 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C	B	362.50	10.15	0.00	10.15	90.0	9.14
10/19/2017 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C	B	362.50	10.15	0.00	10.15	90.0	9.14
10/04/2017 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	4.06	0.00	4.06	90.0	3.65
10/19/2017 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	145.00	4.06	0.00	4.06	90.0	3.65
09/28/2017 000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	6.25	0.00	6.25	90.0	5.63
10/10/2017 000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	125.00	6.25	0.00	6.25	90.0	5.63
09/28/2017 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	11.88	0.00	11.88	90.0	10.69
10/17/2017 000015813591680	SAVELIS, LAILA	FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	11.88	0.00	11.88	90.0	10.69
10/04/2017 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
10/19/2017 000019411906629	COLEMAN,	FRK STRAT INC FND - CL A	B	108.75	3.05	0.00	3.05	90.0	2.75
09/28/2017 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	0.00	5.00	90.0	4.50
10/10/2017 000090230176100	SULLIVAN,	FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	0.00	5.00	90.0	4.50
10/09/2017 00056956375	FERRADO, MICHAEL	AMCAP FUND-A	B	300.00	12.75	0.00	12.75	90.0	11.48
09/29/2017 00061842239	SCHENK, STEVEN	AMCAP FUND-A	B	100.00	3.75	0.00	3.75	90.0	3.38
10/16/2017 00063004460	SCHENK, STEVEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.69	0.00	4.69	90.0	4.22
10/03/2017 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	6.19	0.00	6.19	90.0	5.57
10/03/2017 00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	6.19	0.00	6.19	90.0	5.57
10/16/2017 00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	225.00	6.19	0.00	6.19	90.0	5.57
10/16/2017 00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	6.19	0.00	6.19	90.0	5.57
10/16/2017 00084040075	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME FUN	B	100.00	4.25	0.00	4.25	90.0	3.83
10/16/2017 00084040075	WILLIAMS, ALYSSA	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	4.25	0.00	4.25	90.0	3.83
10/16/2017 00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
10/16/2017 00089866552	UNTIL AGE 21,	AMCAP FUND-A	B	125.00	4.69	0.00	4.69	90.0	4.22

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/19/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	4.13	0.00	4.13	90.0 3.72
09/19/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		150.00	4.13	0.00	4.13	90.0 3.72
10/03/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	4.13	0.00	4.13	90.0 3.72
10/03/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		150.00	4.13	0.00	4.13	90.0 3.72
10/16/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	4.13	0.00	4.13	90.0 3.72
10/16/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		150.00	4.13	0.00	4.13	90.0 3.72
10/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.75	0.00	2.75	90.0 2.48
10/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	4.13	0.00	4.13	90.0 3.72
10/11/2017	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B		150.00	4.13	0.00	4.13	90.0 3.72
09/18/2017	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.63	0.00	5.63	90.0 5.07
10/16/2017	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.63	0.00	5.63	90.0 5.07
09/26/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.25	0.00	1.25	90.0 1.13
09/26/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B		25.00	1.25	0.00	1.25	90.0 1.13
10/11/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.25	0.00	1.25	90.0 1.13
10/11/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B		25.00	1.25	0.00	1.25	90.0 1.13
10/23/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.25	0.00	1.25	90.0 1.13
10/23/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A B		25.00	1.25	0.00	1.25	90.0 1.13
09/19/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	5.00	0.00	5.00	90.0 4.50
09/19/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B		100.00	5.00	0.00	5.00	90.0 4.50
10/03/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	5.00	0.00	5.00	90.0 4.50
10/03/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B		100.00	5.00	0.00	5.00	90.0 4.50
10/16/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	5.00	0.00	5.00	90.0 4.50
10/16/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A B		100.00	5.00	0.00	5.00	90.0 4.50
09/26/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	0.00	7.10	90.0 6.39
09/26/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		174.00	4.79	0.00	4.79	90.0 4.31
09/26/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	0.00	4.62	90.0 4.16
10/11/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	0.00	7.10	90.0 6.39
10/11/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		174.00	4.79	0.00	4.79	90.0 4.31
10/11/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	0.00	4.62	90.0 4.16
10/23/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	0.00	7.10	90.0 6.39
10/23/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		174.00	4.79	0.00	4.79	90.0 4.31
10/23/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	0.00	4.62	90.0 4.16
09/18/2017	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.63	0.00	5.63	90.0 5.07
10/16/2017	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.63	0.00	5.63	90.0 5.07
09/19/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.25	0.00	1.25	90.0 1.13
09/19/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.50	0.00	2.50	90.0 2.25
09/19/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.25	0.00	1.25	90.0 1.13
10/03/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.25	0.00	1.25	90.0 1.13
10/03/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.50	0.00	2.50	90.0 2.25
10/03/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.25	0.00	1.25	90.0 1.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
10/16/2017	00551218283 C GARRUPPO,	PUTNAM 746802800	B	25.00	1.25	0.00	1.25	90.0	1.13
10/16/2017	00551218283 C GARRUPPO,	PUTNAM 746763200	B	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	00551218283 C GARRUPPO,	PUTNAM-746764109	B	25.00	1.25	0.00	1.25	90.0	1.13
09/26/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	0.00	2.50	90.0	2.25
09/26/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	0.00	2.00	90.0	1.80
09/26/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	0.00	2.50	90.0	2.25
09/26/2017	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	0.00	3.00	90.0	2.70
10/11/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	0.00	2.50	90.0	2.25
10/11/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	0.00	2.00	90.0	1.80
10/11/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	0.00	2.50	90.0	2.25
10/11/2017	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	0.00	3.00	90.0	2.70
10/23/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	0.00	2.50	90.0	2.25
10/23/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	0.00	2.00	90.0	1.80
10/23/2017	00551279988 AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	0.00	2.50	90.0	2.25
10/23/2017	00551279988 AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	0.00	3.00	90.0	2.70
09/19/2017	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.25	0.00	1.25	90.0	1.13
09/19/2017	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	40.00	2.00	0.00	2.00	90.0	1.80
09/19/2017	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.75	0.00	1.75	90.0	1.58
10/16/2017	00551371986 ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.25	0.00	1.25	90.0	1.13
10/16/2017	00551371986 ROSSO, RONALD	PUTNAM 746802800	B	40.00	2.00	0.00	2.00	90.0	1.80
10/16/2017	00551371986 ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.75	0.00	1.75	90.0	1.58
* MUTUAL FUNDS SUBTOTAL *				\$11,250.00	\$1,425.16	\$0.00	\$1,425.16		\$1,282.81
<u>PRODUCT CATEGORY: STOCKS</u>									
10/13/2017	QGW031783 JACKSON, FRANK	OPKO HEALTH INC	S	-9,750.00	141.38	12.00	129.38	90.0	115.24
10/20/2017	QGW031783 JACKSON, FRANK	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW031783 JACKSON, FRANK	SACHEM CAPITAL CORP COMMON SHARES	B	25,000.00	0.00	12.00	-12.00	90.0	-12.00
10/23/2017	QGW033375 AMENITA, PATRICIA	MANHATTAN BRDG CAP INC COM	S	-8,850.00	90.00	12.00	78.00	90.0	69.00
10/25/2017	QGW033375 AMENITA, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	9,200.00	0.00	12.00	-12.00	90.0	-12.00
10/24/2017	QGW034027 HALL, JONATHAN	MANHATTAN BRDG CAP INC COM	S	-14,750.00	185.00	12.00	173.00	90.0	154.50
10/25/2017	QGW034027 HALL, JONATHAN	SACHEM CAPITAL CORP COMMON SHARES	B	19,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW034415 CHAIMOVITCH, BERNARD	SACHEM CAPITAL CORP COMMON SHARES	B	29,600.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035040 THUILOT, CHARLES	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0	-12.00
10/23/2017	QGW035040 THUILOT, CHARLES	FAT BRANDS INC COMMON STOCK	S	-6,482.65	75.00	12.00	63.00	90.0	55.50
10/25/2017	QGW035040 THUILOT, CHARLES	SACHEM CAPITAL CORP COMMON SHARES	B	32,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035123 BOWLEY, KEVIN	SACHEM CAPITAL CORP COMMON SHARES	B	25,000.00	0.00	12.00	-12.00	90.0	-12.00
10/06/2017	QGW035156 LEONARD, JULIE	GWG HLDGS INC COM	S	-20,700.00	225.00	12.00	213.00	90.0	190.50
10/06/2017	QGW035156 LEONARD, JULIE	MANHATTAN BRDG CAP INC COM	S	-1,122.00	10.53	12.00	-1.47	90.0	-2.52
10/06/2017	QGW035156 LEONARD, JULIE	NEW YORK REIT INC COM	S	-7,830.00	92.00	12.00	80.00	90.0	70.80
10/25/2017	QGW035156 LEONARD, JULIE	PENTAIR PLC SHS	S	-28,517.16	330.00	12.00	318.00	90.0	285.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2017	QGW035156	LEONARD, JULIE	SACHEM CAPITAL CORP COMMON SHARES	B	28,199.50	695.00	12.00	683.00	90.0 613.50
10/20/2017	QGW035172	O'NEILL, RORY	FAT BRANDS INC COMMON STOCK	B	8,400.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035172	O'NEILL, RORY	SACHEM CAPITAL CORP COMMON SHARES	B	8,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035180	PUE, MARYANN	SACHEM CAPITAL CORP COMMON SHARES	B	16,900.00	0.00	12.00	-12.00	90.0 -12.00
10/13/2017	QGW035198	WULFF, HANS	OPKO HEALTH INC	B	22,750.00	250.25	12.00	238.25	90.0 213.23
10/20/2017	QGW035198	WULFF, HANS	FAT BRANDS INC COMMON STOCK	B	16,800.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035198	WULFF, HANS	SACHEM CAPITAL CORP COMMON SHARES	B	8,300.00	265.00	12.00	253.00	90.0 226.50
10/25/2017	QGW035198	WULFF, HANS	SACHEM CAPITAL CORP COMMON SHARES	B	16,114.00	459.00	12.00	447.00	90.0 401.10
10/25/2017	QGW035206	SKAHILL, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	B	31,500.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035214	BIANCO, BARBARA	SACHEM CAPITAL CORP COMMON SHARES	B	10,600.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035222	BIANCO, BARBARA	SACHEM CAPITAL CORP COMMON SHARES	B	13,800.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035248	BELZ, ERIC	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035248	BELZ, ERIC	SACHEM CAPITAL CORP COMMON SHARES	B	24,000.00	0.00	12.00	-12.00	90.0 -12.00
10/13/2017	QGW035255	BROCHARD, MARIA	OPKO HEALTH INC	S	-26,000.00	286.00	12.00	274.00	90.0 245.40
10/25/2017	QGW035255	BROCHARD, MARIA	SACHEM CAPITAL CORP COMMON SHARES	B	36,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035313	SIERRA, KENNETH	MANHATTAN BRDG CAP INC COM	S	-5,687.60	42.66	12.00	30.66	90.0 26.39
10/25/2017	QGW035313	SIERRA, KENNETH	SACHEM CAPITAL CORP COMMON SHARES	B	8,862.70	285.00	12.00	273.00	90.0 244.50
10/26/2017	QGW035313	SIERRA, KENNETH	MANHATTAN BRDG CAP INC COM	S	-8,378.00	62.86	12.00	50.86	90.0 44.57
10/27/2017	QGW035313	SIERRA, KENNETH	MANHATTAN BRDG CAP INC COM	S	-6,578.50	49.38	12.00	37.38	90.0 32.44
10/27/2017	QGW035313	SIERRA, KENNETH	SACHEM CAPITAL CORP COMMON SHARES	B	18,800.00	355.00	12.00	343.00	90.0 307.50
10/25/2017	QGW035347	MODUGNO, ROCCO	SACHEM CAPITAL CORP COMMON SHARES	B	20,000.00	0.00	12.00	-12.00	90.0 -12.00
10/24/2017	QGW035354	MODUGNO, JUDITH	RLJ LODGING TR COM	S	-13,298.51	85.00	12.00	73.00	90.0 64.50
10/25/2017	QGW035354	MODUGNO, JUDITH	SACHEM CAPITAL CORP COMMON SHARES	B	21,800.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035362	MOON, STEVEN	SACHEM CAPITAL CORP COMMON SHARES	B	1,100.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035388	FAY, WALTER	SACHEM CAPITAL CORP COMMON SHARES	B	40,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035404	RICCA, MARIA	SACHEM CAPITAL CORP COMMON SHARES	B	10,200.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035412	AMENITA, PATRICIA	FAT BRANDS INC COMMON STOCK	B	18,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035412	AMENITA, PATRICIA	SACHEM CAPITAL CORP COMMON SHARES	B	6,300.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035461	RODRIGUEZ, LUCILLE	SACHEM CAPITAL CORP COMMON SHARES	B	2,340.74	110.00	12.00	98.00	90.0 87.00
10/25/2017	QGW035479	ROBERTS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	23,600.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035487	O'BRIEN, THOMAS	SACHEM CAPITAL CORP COMMON SHARES	B	35,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035503	SIMPSON, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	8,057.00	230.00	12.00	218.00	90.0 195.00
10/25/2017	QGW035503	SIMPSON, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	-8,057.00	-230.00	-12.00	-218.00	90.0 -195.00 C
10/25/2017	QGW035503	SIMPSON, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	8,057.00	20.00	12.00	8.00	90.0 6.00
10/25/2017	QGW035503	SIMPSON, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	-8,057.00	-20.00	-12.00	-8.00	90.0 -6.00 C
10/20/2017	QGW035511	LYDEN, MARY	FAT BRANDS INC COMMON STOCK	B	24,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035511	LYDEN, MARY	SACHEM CAPITAL CORP COMMON SHARES	B	72,000.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035537	LYDEN, MARY	POTASH CORP OF SASKATCHEWAN INC CAN S	S	-30,600.00	269.28	12.00	257.28	90.0 230.35
10/25/2017	QGW035537	LYDEN, MARY	SACHEM CAPITAL CORP COMMON SHARES	B	20,000.00	0.00	12.00	-12.00	90.0 -12.00
10/26/2017	QGW035537	LYDEN, MARY	SACHEM CAPITAL CORP COMMON SHARES	B	36,090.00	745.00	12.00	733.00	90.0 658.50

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/13/2017	QGW035545	KMIECIAK, INES	OPKO HEALTH INC	S	-13,000.00	167.70	12.00	155.70	90.0 138.93
10/23/2017	QGW035545	KMIECIAK, INES	MANHATTAN BRDG CAP INC COM	S	-11,800.00	145.00	12.00	133.00	90.0 118.50
10/24/2017	QGW035545	KMIECIAK, INES	NABORS INDUSTRIES LTD	S	-12,621.60	65.00	12.00	53.00	90.0 46.50
10/25/2017	QGW035545	KMIECIAK, INES	SACHEM CAPITAL CORP COMMON SHARES	B	30,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035545	KMIECIAK, INES	SACHEM CAPITAL CORP COMMON SHARES	B	16,114.00	345.00	12.00	333.00	90.0 298.50
10/20/2017	QGW035552	KMIECIAK, ZBIGNIEW	FAT BRANDS INC COMMON STOCK	B	24,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035552	KMIECIAK, ZBIGNIEW	MANHATTAN BRDG CAP INC COM	S	-6,950.20	64.79	12.00	52.79	90.0 46.31
10/25/2017	QGW035552	KMIECIAK, ZBIGNIEW	SACHEM CAPITAL CORP COMMON SHARES	B	35,000.00	0.00	12.00	-12.00	90.0 -12.00
10/26/2017	QGW035552	KMIECIAK, ZBIGNIEW	MANHATTAN BRDG CAP INC COM	S	-4,849.80	45.21	12.00	33.21	90.0 28.69
10/25/2017	QGW035560	AMENITA, FREDERICK	SACHEM CAPITAL CORP COMMON SHARES	B	10,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035578	MUSSLER, LINDA	BANK OF AMERICA CORP	S	-19,349.96	265.00	12.00	253.00	90.0 226.50
10/25/2017	QGW035578	MUSSLER, LINDA	SACHEM CAPITAL CORP COMMON SHARES	B	20,142.50	485.00	12.00	473.00	90.0 424.50
10/20/2017	QGW035594	DUSATKO, ANNE	FAT BRANDS INC COMMON STOCK	B	24,000.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035594	DUSATKO, ANNE	OPKO HEALTH INC	B	21,000.00	231.00	12.00	219.00	90.0 195.90
10/25/2017	QGW035594	DUSATKO, ANNE	SACHEM CAPITAL CORP COMMON SHARES	B	80,000.00	0.00	12.00	-12.00	90.0 -12.00
10/06/2017	QGW035602	MURPHY, CHRISTIAN	ALPHABET INC CLASS A COMMON STOCK	S	-9,803.04	81.00	12.00	69.00	90.0 60.90
10/20/2017	QGW035602	MURPHY, CHRISTIAN	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0 -12.00
10/23/2017	QGW035602	MURPHY, CHRISTIAN	FAT BRANDS INC COMMON STOCK	S	-3,889.59	55.00	12.00	43.00	90.0 37.50
10/25/2017	QGW035602	MURPHY, CHRISTIAN	SACHEM CAPITAL CORP COMMON SHARES	B	7,400.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035610	DAVIS, VICTORIA	POTASH CORP OF SASKATCHEWAN INC CAN S	S	-21,600.00	237.60	12.00	225.60	90.0 201.84
10/25/2017	QGW035610	DAVIS, VICTORIA	SACHEM CAPITAL CORP COMMON SHARES	B	16,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035628	SPECTOR, VICTORIA	SACHEM CAPITAL CORP COMMON SHARES	B	44,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035636	SEIDEL, ANNE	SACHEM CAPITAL CORP COMMON SHARES	B	45,000.00	0.00	12.00	-12.00	90.0 -12.00
10/13/2017	QGW035644	MONGELUZZI, LINDA	OPKO HEALTH INC	S	-16,250.00	209.63	12.00	197.63	90.0 176.67
10/20/2017	QGW035644	MONGELUZZI, LINDA	FAT BRANDS INC COMMON STOCK	B	30,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035644	MONGELUZZI, LINDA	SACHEM CAPITAL CORP COMMON SHARES	B	72,000.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035651	YONGE, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	10,250.50	15.00	12.00	3.00	90.0 1.50
10/20/2017	QGW035669	KOLLAR, ROBERT	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW035669	KOLLAR, ROBERT	KRATOS DEFENSE & SEC SOLUTIONS	S	-25,000.00	275.00	12.00	263.00	90.0 235.50
10/25/2017	QGW035669	KOLLAR, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	B	25,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035677	KOLLAR, MAURA	MANHATTAN BRDG CAP INC COM	S	-5,310.00	48.00	12.00	36.00	90.0 31.20
10/25/2017	QGW035677	KOLLAR, MAURA	SACHEM CAPITAL CORP COMMON SHARES	B	3,400.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035677	KOLLAR, MAURA	SACHEM CAPITAL CORP COMMON SHARES	B	4,834.20	160.00	12.00	148.00	90.0 132.00
10/13/2017	QGW035685	EICHENHOLZ, SONDR	OPKO HEALTH INC	S	-19,500.00	251.55	12.00	239.55	90.0 214.40
10/23/2017	QGW035685	EICHENHOLZ, SONDR	MANHATTAN BRDG CAP INC COM	S	-9,386.90	40.64	12.00	28.64	90.0 24.58
10/25/2017	QGW035685	EICHENHOLZ, SONDR	MANHATTAN BRDG CAP INC COM	S	-590.00	5.50	12.00	-6.50	90.0 -7.05
10/25/2017	QGW035685	EICHENHOLZ, SONDR	SACHEM CAPITAL CORP COMMON SHARES	B	24,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW035685	EICHENHOLZ, SONDR	SACHEM CAPITAL CORP COMMON SHARES	B	16,114.00	345.00	12.00	333.00	90.0 298.50
10/25/2017	QGW035685	EICHENHOLZ, SONDR	SACHEM CAPITAL CORP COMMON SHARES	B	-16,114.00	-345.00	-12.00	-333.00	90.0 -298.50 C
10/23/2017	QGW035693	MASSA, WILLIAM	MANHATTAN BRDG CAP INC COM	S	-14,215.50	115.00	12.00	103.00	90.0 91.50

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/23/2017	QGW035693	MASSA, WILLIAM							
		NABORS INDUSTRIES LTD	S	-13,080.20	85.00	12.00	73.00	90.0	64.50
10/25/2017	QGW035693	MASSA, WILLIAM							
		SACHEM CAPITAL CORP COMMON SHARES	B	26,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035701	SZARKA, MICHAEL							
		SACHEM CAPITAL CORP COMMON SHARES	B	18,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035719	FAMILY 2011							
		FAT BRANDS INC COMMON STOCK	B	21,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035719	FAMILY 2011							
		SACHEM CAPITAL CORP COMMON SHARES	B	34,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035727	HERDTER, CYNTHIA							
		SACHEM CAPITAL CORP COMMON SHARES	B	17,200.00	0.00	12.00	-12.00	90.0	-12.00
10/27/2017	QGW035727	HERDTER, CYNTHIA							
		OPKO HEALTH INC	S	-13,000.00	167.70	12.00	155.70	90.0	138.93
10/25/2017	QGW035735	STELLATO, MICHAEL							
		SACHEM CAPITAL CORP COMMON SHARES	B	100,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035743	KUDLIK, CHRIS							
		SACHEM CAPITAL CORP COMMON SHARES	B	4,200.00	0.00	12.00	-12.00	90.0	-12.00
10/26/2017	QGW035743	KUDLIK, CHRIS							
		MANHATTAN BRDG CAP INC COM	S	-4,590.20	45.12	12.00	33.12	90.0	28.61
10/27/2017	QGW035743	KUDLIK, CHRIS							
		MANHATTAN BRDG CAP INC COM	S	-10,159.80	99.86	12.00	87.86	90.0	77.87
10/20/2017	QGW035768	MASSA, PATRICIA							
		FAT BRANDS INC COMMON STOCK	B	16,800.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035768	MASSA, PATRICIA							
		SACHEM CAPITAL CORP COMMON SHARES	B	10,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035776	ZIMMERMAN, FRANCINE							
		FAT BRANDS INC COMMON STOCK	B	21,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035776	ZIMMERMAN, FRANCINE							
		SACHEM CAPITAL CORP COMMON SHARES	B	40,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035784	SHEEHAN, DAVID							
		SACHEM CAPITAL CORP COMMON SHARES	B	33,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035792	NOLTE, DONNA							
		SACHEM CAPITAL CORP COMMON SHARES	B	10,400.00	0.00	12.00	-12.00	90.0	-12.00
10/13/2017	QGW035834	CHAUDHRY, JAHANZEB							
		OPKO HEALTH INC	S	-13,000.00	167.70	12.00	155.70	90.0	138.93
10/20/2017	QGW035834	CHAUDHRY, JAHANZEB							
		FAT BRANDS INC COMMON STOCK	B	36,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035834	CHAUDHRY, JAHANZEB							
		SACHEM CAPITAL CORP COMMON SHARES	B	140,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035842	SZABO, JOSEPH							
		FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035842	SZABO, JOSEPH							
		SACHEM CAPITAL CORP COMMON SHARES	B	8,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035859	SZABO, JOSEPH							
		SACHEM CAPITAL CORP COMMON SHARES	B	4,400.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035867	ROGERS, MICHELE							
		FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035875	HARRISON, DENNIS							
		FAT BRANDS INC COMMON STOCK	B	10,800.00	0.00	12.00	-12.00	90.0	-12.00
10/23/2017	QGW035875	HARRISON, DENNIS							
		FAT BRANDS INC COMMON STOCK	S	-5,186.12	60.00	12.00	48.00	90.0	42.00
10/25/2017	QGW035875	HARRISON, DENNIS							
		SACHEM CAPITAL CORP COMMON SHARES	B	5,800.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035883	BUDKEVICS, YANA							
		FAT BRANDS INC COMMON STOCK	B	8,400.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW035917	BOVE, DOMINICK							
		FAT BRANDS INC COMMON STOCK	B	21,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035917	BOVE, DOMINICK							
		SACHEM CAPITAL CORP COMMON SHARES	B	32,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035925	MOTT, LINDA							
		SACHEM CAPITAL CORP COMMON SHARES	B	12,200.00	0.00	12.00	-12.00	90.0	-12.00
10/13/2017	QGW035982	PANASUK, JOHN							
		OPKO HEALTH INC	S	-19,500.00	251.55	12.00	239.55	90.0	214.40
10/20/2017	QGW035982	PANASUK, JOHN							
		FAT BRANDS INC COMMON STOCK	B	18,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW035982	PANASUK, JOHN							
		SACHEM CAPITAL CORP COMMON SHARES	B	50,000.00	0.00	12.00	-12.00	90.0	-12.00
10/11/2017	QGW035990	HERDTER, RICHARD							
		OPKO HEALTH INC	S	-1,300.00	45.00	12.00	33.00	90.0	28.50
10/13/2017	QGW035990	HERDTER, RICHARD							
		OPKO HEALTH INC	S	-18,200.00	234.78	12.00	222.78	90.0	199.30
10/20/2017	QGW035990	HERDTER, RICHARD							
		KRATOS DEFENSE & SEC SOLUTIONS	S	-26,250.00	288.75	12.00	276.75	90.0	247.88
10/25/2017	QGW035990	HERDTER, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	26,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036030	BALLO, CATHERINE							
		FAT BRANDS INC COMMON STOCK	B	18,000.00	0.00	12.00	-12.00	90.0	-12.00
10/23/2017	QGW036030	BALLO, CATHERINE							
		FAT BRANDS INC COMMON STOCK	S	-6,482.65	55.00	12.00	43.00	90.0	37.50

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
10/25/2017	QGW036030	BALLO, CATHERINE	SACHEM CAPITAL CORP COMMON SHARES	B	30,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036048	FITZPATRICK, BARRY	SACHEM CAPITAL CORP COMMON SHARES	B	4,028.50	110.00	12.00	98.00	90.0	87.00
10/25/2017	QGW036055	MATACOTTA, CORINNA	SACHEM CAPITAL CORP COMMON SHARES	B	16,114.00	459.00	12.00	447.00	90.0	401.10
10/23/2017	QGW036071	HENNEMANN, DENNIS	MANHATTAN BRDG CAP INC COM	S	-10,620.00	110.00	12.00	98.00	90.0	87.00
10/25/2017	QGW036071	HENNEMANN, DENNIS	SACHEM CAPITAL CORP COMMON SHARES	B	26,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036089	HENNEMANN, PATRICIA	KRATOS DEFENSE & SEC SOLUTIONS	S	-31,250.00	275.00	12.00	263.00	90.0	235.50
10/18/2017	QGW036105	ARDOLINO, SUSAN	GWG HLDGS INC COM	B	3,434.00	75.00	12.00	63.00	90.0	55.50
10/18/2017	QGW036105	ARDOLINO, SUSAN	GWG HLDGS INC COM	B	-3,434.00	-75.00	-12.00	-63.00	90.0	-55.50
10/23/2017	QGW036105	ARDOLINO, SUSAN	MANHATTAN BRDG CAP INC COM	S	-19,071.00	110.00	12.00	98.00	90.0	87.00
10/25/2017	QGW036105	ARDOLINO, SUSAN	SACHEM CAPITAL CORP COMMON SHARES	B	10,000.00	0.00	12.00	-12.00	90.0	-12.00
10/23/2017	QGW036113	ARDOLINO, SUSAN	BANK OF AMERICA CORP	S	-16,159.95	155.00	12.00	143.00	90.0	127.50
10/25/2017	QGW036113	ARDOLINO, SUSAN	SACHEM CAPITAL CORP COMMON SHARES	B	16,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036121	CZACHOR, MICHAEL	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036121	CZACHOR, MICHAEL	SACHEM CAPITAL CORP COMMON SHARES	B	6,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036154	CALLAHAN, PATRICK	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036154	CALLAHAN, PATRICK	PAYPAL HOLDINGS INC COM	S	-26,000.00	286.00	12.00	274.00	90.0	245.40
10/25/2017	QGW036154	CALLAHAN, PATRICK	SACHEM CAPITAL CORP COMMON SHARES	B	42,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036188	CALAPA, JANET	SACHEM CAPITAL CORP COMMON SHARES	B	3,100.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036196	SHANKS, RICHARD	SACHEM CAPITAL CORP COMMON SHARES	B	3,700.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036212	TUIFEL, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	3,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036238	LENTO, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	11,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036246	LENTO, REGINA	SACHEM CAPITAL CORP COMMON SHARES	B	2,700.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036279	MIKO, ARTHUR	MANHATTAN BRDG CAP INC COM	S	-4,640.00	60.00	12.00	48.00	90.0	42.00
10/25/2017	QGW036279	MIKO, ARTHUR	SACHEM CAPITAL CORP COMMON SHARES	B	4,431.35	110.00	12.00	98.00	90.0	87.00
10/24/2017	QGW036287	DEGRANDIS, JOHN	FORD MOTOR CO PAR \$0.01	S	-9,780.00	55.00	12.00	43.00	90.0	37.50
10/25/2017	QGW036287	DEGRANDIS, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	17,600.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036311	FAST, KENNETH	SACHEM CAPITAL CORP COMMON SHARES	B	10,000.00	0.00	12.00	-12.00	90.0	-12.00
10/20/2017	QGW036329	WOLF, KIM	POTASH CORP OF SASKATCHEWAN INC CAN S	S	-36,000.00	316.80	12.00	304.80	90.0	273.12
10/25/2017	QGW036329	WOLF, KIM	SACHEM CAPITAL CORP COMMON SHARES	B	50,000.00	0.00	12.00	-12.00	90.0	-12.00
10/25/2017	QGW036337	FARREN, JOSEPHINE	SACHEM CAPITAL CORP COMMON SHARES	B	3,600.00	0.00	12.00	-12.00	90.0	-12.00
10/18/2017	QGW036345	GALATI, VINCENT	GWG HLDGS INC COM	B	60.00	1.12	12.00	-10.88	90.0	-10.99
10/18/2017	QGW036345	GALATI, VINCENT	GWG HLDGS INC COM	B	3,434.00	75.00	12.00	63.00	90.0	55.50
10/23/2017	QGW036345	GALATI, VINCENT	MANHATTAN BRDG CAP INC COM	S	-11,850.00	110.00	12.00	98.00	90.0	87.00
10/25/2017	QGW036345	GALATI, VINCENT	SACHEM CAPITAL CORP COMMON SHARES	B	13,300.00	0.00	12.00	-12.00	90.0	-12.00
10/23/2017	QGW036378	RENDEROS-LLANO, ANA	GWG HLDGS INC COM	B	4,000.00	82.00	12.00	70.00	90.0	61.80
10/03/2017	QGW036386	FRANCK, RACHEL	GWG HLDGS INC COM	B	1,178.19	10.36	12.00	-1.64	90.0	-2.68
10/04/2017	QGW036386	FRANCK, RACHEL	GWG HLDGS INC COM	B	2,799.46	24.63	12.00	12.63	90.0	10.17
10/25/2017	QGW036386	FRANCK, RACHEL	NABORS INDUSTRIES LTD	S	-10,324.95	75.00	12.00	63.00	90.0	55.50
10/25/2017	QGW036386	FRANCK, RACHEL	SACHEM CAPITAL CORP COMMON SHARES	B	10,071.25	250.00	12.00	238.00	90.0	213.00
10/25/2017	QGW036394	LIPANI, CHRISTINE	SACHEM CAPITAL CORP COMMON SHARES	B	6,200.00	0.00	12.00	-12.00	90.0	-12.00

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
10/20/2017	QGW036725	CIRNIGLIARO,	APPLE INC	B	17,948.99	215.00	12.00	203.00	90.0 181.50
10/20/2017	QGW036725	CIRNIGLIARO,	PAYPAL HOLDINGS INC COM	B	17,486.65	215.00	12.00	203.00	90.0 181.50
10/20/2017	QGW036725	CIRNIGLIARO,	SACHEM CAPITAL CORP COMMON SHARES	B	16,758.80	188.00	12.00	176.00	90.0 157.20
10/23/2017	QGW036733	D'AMOUR, JANE	MANHATTAN BRDG CAP INC COM	S	-7,080.00	70.00	12.00	58.00	90.0 51.00
10/23/2017	QGW036733	D'AMOUR, JANE	SACHEM CAPITAL CORP COMMON SHARES	B	5,600.00	39.69	12.00	27.69	90.0 23.72
10/25/2017	QGW036733	D'AMOUR, JANE	SACHEM CAPITAL CORP COMMON SHARES	B	2,014.25	80.00	12.00	68.00	90.0 60.00
10/20/2017	QGW036899	SARLO, JESSICA	FAT BRANDS INC COMMON STOCK	B	12,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW036980	MARCOLINI, COREY	SACHEM CAPITAL CORP COMMON SHARES	B	30,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW037038	TUIFEL, FRANK	SACHEM CAPITAL CORP COMMON SHARES	B	10,000.00	0.00	12.00	-12.00	90.0 -12.00
09/15/2017	QGW037087	01/19/2016, U/A	OPKO HEALTH INC	B	-18,000.00	-402.41	-12.00	-390.41	90.0 -350.17 C
09/15/2017	QGW037087	01/19/2016, U/A	OPKO HEALTH INC	B	18,000.00	402.41	12.00	390.41	90.0 350.17
10/25/2017	QGW037087	01/19/2016, U/A	SACHEM CAPITAL CORP COMMON SHARES	B	26,400.00	0.00	12.00	-12.00	90.0 -12.00
10/04/2017	QGW037103	BURNS, KATHLEEN	PENTAIR PLC SHS	B	69,810.00	375.00	12.00	363.00	90.0 325.50
10/20/2017	QGW037103	BURNS, KATHLEEN	FAT BRANDS INC COMMON STOCK	B	24,000.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	1,676.84	56.57	12.00	44.57	90.0 38.91
10/23/2017	QGW037103	BURNS, KATHLEEN	FAT BRANDS INC COMMON STOCK	S	-10,112.93	75.00	12.00	63.00	90.0 55.50
10/25/2017	QGW037103	BURNS, KATHLEEN	SACHEM CAPITAL CORP COMMON SHARES	B	100,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW037111	GILMARTIN, MARGARET	SACHEM CAPITAL CORP COMMON SHARES	B	47,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW038002	WICKERS, SCOTT	SACHEM CAPITAL CORP COMMON SHARES	B	42,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW038002	WICKERS, SCOTT	SACHEM CAPITAL CORP COMMON SHARES	B	-42,000.00	0.00	-12.00	12.00	90.0 12.00 C
10/25/2017	QGW038002	WICKERS, SCOTT	SACHEM CAPITAL CORP COMMON SHARES	B	22,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW038382	VINCENTI, ROBERT	SACHEM CAPITAL CORP COMMON SHARES	B	25,000.00	0.00	12.00	-12.00	90.0 -12.00
10/20/2017	QGW038556	LAPINTA, LEONARD	FAT BRANDS INC COMMON STOCK	B	18,000.00	0.00	12.00	-12.00	90.0 -12.00
10/25/2017	QGW038556	LAPINTA, LEONARD	SACHEM CAPITAL CORP COMMON SHARES	B	30,000.00	0.00	12.00	-12.00	90.0 -12.00
* STOCKS SUBTOTAL *					\$2,204,911.61	\$13,905.59	\$2,256.00	\$11,649.59	\$10,259.04

PRODUCT CATEGORY: OPTIONS

10/12/2017	QGW031783	JACKSON, FRANK		CALL 100 TWITTER INC COM EXP 11-17-	S	-400.00	39.00	14.50	24.50 90.0 20.60
10/30/2017	QGW031783	JACKSON, FRANK		CALL 100 VUZIX CORP COM NEW EXP 04-	S	-460.00	41.00	17.00	24.00 90.0 19.90
10/13/2017	QGW035040	THUILOT, CHARLES		CALL 100 TWITTER INC COM EXP 12-15-	S	-118.00	10.80	12.50	-1.70 90.0 -2.78
10/26/2017	QGW035040	THUILOT, CHARLES		CALL 100 TWITTER INC COM EXP 12-15-	S	-767.00	70.20	15.25	54.95 90.0 47.93
10/11/2017	QGW035123	BOWLEY, KEVIN		CALL 100 SIRIUS XM HLDGS INC COM EX	S	-140.00	19.00	17.00	2.00 90.0 0.10
10/03/2017	QGW035172	O'NEILL, RORY		CALL 100 BANK AMER CORP COM EXP 11-	S	-350.00	36.00	14.50	21.50 90.0 17.90
10/30/2017	QGW035172	O'NEILL, RORY		CALL 100 TWITTER INC COM EXP 11-17-	S	-204.00	29.00	13.50	15.50 90.0 12.60
10/30/2017	QGW035180	PUE, MARYANN		CALL 100 SNAP INC CL A EXP 11-17-17	S	-801.00	88.00	14.25	73.75 90.0 64.95
10/06/2017	QGW035198	WULFF, HANS		CALL 100 OPKO HEALTH INC COM EXP 10	S	-1,820.00	99.00	29.50	69.50 90.0 59.60
10/06/2017	QGW035198	WULFF, HANS		CALL 100 OPKO HEALTH INC COM EXP 10	S	1,820.00	-99.00	-29.50	-69.50 90.0 -59.60 C
10/06/2017	QGW035198	WULFF, HANS		CALL 100 OPKO HEALTH INC COM EXP 10	S	-910.00	99.00	20.75	78.25 90.0 68.35
10/13/2017	QGW035198	WULFF, HANS		CALL 100 SNAP INC CL A EXP 11-17-17	S	-850.00	85.00	14.50	70.50 90.0 62.00
10/26/2017	QGW035198	WULFF, HANS		CALL 100 TWITTER INC COM EXP 12-15-	S	-330.00	35.00	14.50	20.50 90.0 17.00

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/30/2017	QGW035198 WULFF, HANS	PUT 100 SIRIUS XM HLDGS INC COM EXP S		-390.00	41.00	19.50	21.50	90.0	17.40
10/30/2017	QGW035222 BIANCO, BARBARA	CALL 100 SNAP INC CL A EXP 12-15-17 S		-837.00	79.00	14.25	64.75	90.0	56.85
10/13/2017	QGW035248 BELZ, ERIC	CALL 100 TWITTER INC COM EXP 12-15- S		-870.00	81.00	15.75	65.25	90.0	57.15
10/30/2017	QGW035347 MODUGNO, ROCCO	CALL 100 SNAP INC CL A EXP 11-17-17 S		-930.00	93.00	14.50	78.50	90.0	69.20
10/24/2017	QGW035388 FAY, WALTER	CALL 100 PENTAIR PLC SHS ISIN#IE00B S		-240.00	36.00	13.50	22.50	90.0	18.90
10/13/2017	QGW035511 LYDEN, MARY	CALL 100 SNAP INC CL A EXP 11-17-17 S		-860.00	86.00	14.50	71.50	90.0	62.90
10/30/2017	QGW035511 LYDEN, MARY	CALL 100 SNAP INC CL A EXP 11-17-17 S		-900.00	95.00	14.50	80.50	90.0	71.00
10/12/2017	QGW035545 KMIECIAK, INES	CALL 100 TWITTER INC COM EXP 11-17- S		-1,080.00	99.00	18.00	81.00	90.0	71.10
10/03/2017	QGW035552 KMIECIAK, ZBIGNIEW	CALL 100 BANK AMER CORP COM EXP 11- S		-350.00	36.00	14.50	21.50	90.0	17.90
10/13/2017	QGW035552 KMIECIAK, ZBIGNIEW	CALL 100 TWITTER INC COM EXP 12-15- S		-118.00	11.06	12.50	-1.44	90.0	-2.55
10/26/2017	QGW035552 KMIECIAK, ZBIGNIEW	CALL 100 TWITTER INC COM EXP 12-15- S		-767.00	71.93	15.25	56.68	90.0	49.49
10/04/2017	QGW035594 DUSATKO, ANNE	PUT 100 OPKO HEALTH INC COM EXP 10- S		-750.00	78.00	19.50	58.50	90.0	50.70
10/16/2017	QGW035594 DUSATKO, ANNE	PUT 100 JD COM INC SPONS ADR REPSTG S		-1,600.00	99.00	14.50	84.50	90.0	74.60
10/19/2017	QGW035594 DUSATKO, ANNE	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-1,600.00	99.00	22.00	77.00	90.0	67.10
10/12/2017	QGW035644 MONGELUZZI, LINDA	CALL 100 TWITTER INC COM EXP 11-17- S		-1,125.00	99.00	18.25	80.75	90.0	70.85
10/30/2017	QGW035644 MONGELUZZI, LINDA	CALL 100 SNAP INC CL A EXP 12-15-17 S		-880.00	90.00	14.50	75.50	90.0	66.50
10/03/2017	QGW035669 KOLLAR, ROBERT	CALL 100 OPKO HEALTH INC COM EXP 11 S		-320.00	32.00	17.00	15.00	90.0	11.80
10/13/2017	QGW035669 KOLLAR, ROBERT	CALL 100 TWITTER INC COM EXP 12-15- S		-1,140.00	99.00	17.00	82.00	90.0	72.10
10/30/2017	QGW035669 KOLLAR, ROBERT	CALL 100 SNAP INC CL A EXP 11-17-17 S		-840.00	83.00	14.50	68.50	90.0	60.20
10/13/2017	QGW035685 EICHENHOLZ, SONDR	CALL 100 TWITTER INC COM EXP 12-15- S		-648.00	55.00	15.00	40.00	90.0	34.50
10/18/2017	QGW035685 EICHENHOLZ, SONDR	PUT 100 JD COM INC SPONS ADR REPSTG S		-1,040.00	92.00	14.50	77.50	90.0	68.30
10/19/2017	QGW035685 EICHENHOLZ, SONDR	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-800.00	77.00	17.00	60.00	90.0	52.30
10/30/2017	QGW035685 EICHENHOLZ, SONDR	CALL 100 SNAP INC CL A EXP 11-17-17 S		-712.00	89.00	14.00	75.00	90.0	66.10
10/03/2017	QGW035693 MASSA, WILLIAM	CALL 100 BANK OF AMERICA CORPORATIO S		-406.00	42.00	15.50	26.50	90.0	22.30
10/23/2017	QGW035693 MASSA, WILLIAM	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-1,350.00	99.00	19.50	79.50	90.0	69.60
10/03/2017	QGW035701 SZARKA, MICHAEL	CALL 100 BANK AMER CORP COM EXP 11- S		-350.00	36.00	14.50	21.50	90.0	17.90
10/26/2017	QGW035701 SZARKA, MICHAEL	CALL 100 TWITTER INC COM EXP 12-15- S		-840.00	98.00	15.75	82.25	90.0	72.45
10/03/2017	QGW035719 FAMILY 2011	CALL 100 BANK AMER CORP COM EXP 11- S		-385.00	39.00	14.75	24.25	90.0	20.35
10/24/2017	QGW035727 HERDTER, CYNTHIA	CALL 100 BANK OF AMERICA CORPORATIO S		-300.00	32.00	14.50	17.50	90.0	14.30
10/30/2017	QGW035735 STELLATO, MICHAEL	CALL 100 SNAP INC CL A EXP 12-15-17 S		-470.00	48.00	13.25	34.75	90.0	29.95
10/03/2017	QGW035768 MASSA, PATRICIA	CALL 100 BANK AMER CORP COM EXP 11- S		-735.00	71.00	17.25	53.75	90.0	46.65
10/03/2017	QGW035768 MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S		-280.00	29.00	17.00	12.00	90.0	9.10
10/13/2017	QGW035768 MASSA, PATRICIA	CALL 100 TWITTER INC COM EXP 12-15- S		-1,160.00	99.00	17.00	82.00	90.0	72.10
10/16/2017	QGW035768 MASSA, PATRICIA	PUT 100 JD COM INC SPONS ADR REPSTG S		-2,370.00	99.00	15.75	83.25	90.0	73.35
10/19/2017	QGW035768 MASSA, PATRICIA	PUT 100 OPKO HEALTH INC COM EXP 11- S		-900.00	85.00	19.50	65.50	90.0	57.00
10/24/2017	QGW035776 ZIMMERMAN, FRANCINE	CALL 100 BANK OF AMERICA CORPORATIO S		-300.00	32.00	14.50	17.50	90.0	14.30
10/30/2017	QGW035776 ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 11-17-17 S		-1,380.00	99.00	15.75	83.25	90.0	73.35
10/03/2017	QGW035792 NOLTE, DONNA	CALL 100 BANK AMER CORP COM EXP 11- S		-360.00	37.00	14.50	22.50	90.0	18.80
10/26/2017	QGW035792 NOLTE, DONNA	CALL 100 VUZIX CORP COM NEW EXP 01- S		-20.00	1.80	12.50	-10.70	90.0	-10.88
10/02/2017	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 COHERUS BIOSCIENCES INC CO S		-120.00	11.40	13.50	-2.10	90.0	-3.24

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/13/2017	QGW035834 CHAUDHRY, JAHANZEB	CALL 100 SNAP INC CL A EXP 11-17-17 S		-860.00	86.00	14.50	71.50	90.0	62.90
10/16/2017	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 JD COM INC SPONS ADR REPSTG S		-2,340.00	99.00	15.75	83.25	90.0	73.35
10/19/2017	QGW035834 CHAUDHRY, JAHANZEB	PUT 100 OPKO HEALTH INC COM EXP 11- S		-1,200.00	99.00	22.00	77.00	90.0	67.10
10/25/2017	QGW035917 BOVE, DOMINICK	CALL 100 PENTAIR PLC SHS ISIN#IE00B S		-225.00	29.00	13.25	15.75	90.0	12.85
10/26/2017	QGW035917 BOVE, DOMINICK	CALL 100 TWITTER INC COM EXP 12-15- S		-669.00	68.00	17.00	51.00	90.0	44.20
10/30/2017	QGW035925 MOTT, LINDA	CALL 100 SNAP INC CL A EXP 12-15-17 S		-744.00	81.00	14.00	67.00	90.0	58.90
10/30/2017	QGW035982 PANASUK, JOHN	CALL 100 SNAP INC CL A EXP 12-15-17 S		-950.00	95.00	14.50	80.50	90.0	71.00
10/16/2017	QGW035990 HERDTER, RICHARD	PUT 100 JD COM INC SPONS ADR REPSTG S		-1,640.00	99.00	14.50	84.50	90.0	74.60
10/30/2017	QGW035990 HERDTER, RICHARD	CALL 100 SNAP INC CL A EXP 12-15-17 S		-714.00	75.00	13.75	61.25	90.0	53.75
10/19/2017	QGW036030 BALLO, CATHERINE	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-1,000.00	99.00	18.25	80.75	90.0	70.85
10/30/2017	QGW036030 BALLO, CATHERINE	CALL 100 SNAP INC CL A EXP 11-17-17 S		-1,350.00	99.00	15.75	83.25	90.0	73.35
10/04/2017	QGW036048 FITZPATRICK, BARRY	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-560.00	57.00	13.00	44.00	90.0	38.30
10/30/2017	QGW036048 FITZPATRICK, BARRY	CALL 100 SNAP INC CL A EXP 12-15-17 S		-828.00	95.00	14.25	80.75	90.0	71.25
10/13/2017	QGW036071 HENNMANN, DENNIS	CALL 100 TWITTER INC COM EXP 12-15- S		-812.00	79.00	15.50	63.50	90.0	55.60
10/16/2017	QGW036089 HENNMANN, PATRICIA	PUT 100 JD COM INC SPONS ADR REPSTG S		-1,680.00	99.00	14.50	84.50	90.0	74.60
10/30/2017	QGW036089 HENNMANN, PATRICIA	CALL 100 SNAP INC CL A EXP 12-15-17 S		-920.00	93.00	14.50	78.50	90.0	69.20
10/24/2017	QGW036105 ARDOLINO, SUSAN	CALL 100 BANK OF AMERICA CORPORATIO S		-320.00	35.00	14.50	20.50	90.0	17.00
10/30/2017	QGW036956 BROCHARD, THOMAS	CALL 100 SNAP INC CL A EXP 12-15-17 S		-900.00	93.00	14.50	78.50	90.0	69.20
10/30/2017	QGW037087 01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 12-15-17 S		-776.00	88.00	14.00	74.00	90.0	65.20
09/29/2017	QGW037103 BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 04-2 S		-2,900.00	99.00	17.00	82.00	90.0	72.10
10/04/2017	QGW037103 BURNS, KATHLEEN	PUT 100 COHERUS BIOSCIENCES INC COM S		-1,200.00	99.00	17.00	82.00	90.0	72.10
10/04/2017	QGW037103 BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-1,800.00	99.00	19.50	79.50	90.0	69.60
10/16/2017	QGW037103 BURNS, KATHLEEN	PUT 100 JD COM INC SPONS ADR REPSTG S		-2,460.00	99.00	15.75	83.25	90.0	73.35
10/25/2017	QGW037103 BURNS, KATHLEEN	CALL 100 PENTAIR PLC SHS ISIN#IE00B S		-450.00	45.00	14.50	30.50	90.0	26.00
10/19/2017	QGW037129 GILMARTIN, MARGARET	PUT 100 VUZIX CORP COM NEW EXP 11-1 S		-600.00	58.00	15.75	42.25	90.0	36.45
10/16/2017	QGW038556 LAPINTA, LEONARD	PUT 100 JD COM INC SPONS ADR REPSTG S		-2,430.00	99.00	15.75	83.25	90.0	73.35
10/16/2017	QGW038556 LAPINTA, LEONARD	PUT 100 TWITTER INC COM EXP 11-17-1 S		-1,230.00	99.00	15.75	83.25	90.0	73.35
10/18/2017	QGW038556 LAPINTA, LEONARD	PUT 100 OPKO HEALTH INC COM EXP 11- S		-900.00	88.00	19.50	68.50	90.0	59.70
* OPTIONS SUBTOTAL *				\$-68,311.00	\$5,584.19	\$1,236.25	\$4,347.94		\$3,789.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
10/23/2017	(None) VARIOUS, BELZ	ADVISOR GUIDED	B	0.00	18.22	12.00	6.22	90.0	4.40
10/23/2017	(None) VARIOUS, BELZ	GWG HOLDING	B	0.00	9,000.00	12.00	8,988.00	90.0	8,088.00
10/23/2017	(None) VARIOUS, BELZ	JOHN HANCOCK	B	0.00	1.09	12.00	-10.91	90.0	-11.02
10/23/2017	(None) VARIOUS, BELZ	PUTNAM	B	0.00	1,312.44	12.00	1,300.44	90.0	1,169.20
10/23/2017	(None) VARIOUS, BELZ	PUTNAM	B	0.00	25.05	12.00	13.05	90.0	10.55
10/23/2017	01000144893 VARIOUS, BELZ	OPPHEIMER	B	0.00	1,103.52	12.00	1,091.52	90.0	981.17
10/23/2017	01000144893 VARIOUS, BELZ	OPPHEIMER	B	0.00	9,017.08	12.00	9,005.08	90.0	8,103.37
10/23/2017	01000144893 VARIOUS, BELZ	OPPHEIMER	B	0.00	12.55	12.00	0.55	90.0	-0.71
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$20,489.95	\$96.00	\$20,393.95		\$18,344.96

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/23/2017	QGW031783	JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	3.86	0.00	3.86	90.0	3.47
10/18/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	20.39	0.00	20.39	90.0	18.35
10/18/2017	QGW034035	FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	9.70	0.00	9.70	90.0	8.73
10/19/2017	QGW035503	SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	1.03	0.00	1.03	90.0	0.93
10/13/2017	QGW036774	STOCKWELL, GLENN	DEUTSCHE HIGH INCOME FUND CLASS A	B	0.00	5.98	0.00	5.98	90.0	5.38
10/16/2017	QGW036774	STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B		0.00	8.91	0.00	8.91	90.0	8.02
10/19/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A B		0.00	3.84	0.00	3.84	90.0	3.46
10/19/2017	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	10.74	0.00	10.74	90.0	9.67
10/30/2017	QGW036774	STOCKWELL, GLENN	INVESCO GLOBAL REAL ESTATE INCOME F B		0.00	25.55	0.00	25.55	90.0	23.00
10/19/2017	QGW036980	MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A	B	0.00	20.57	0.00	20.57	90.0	18.51
10/13/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	0.31	0.00	0.31	90.0	0.28
10/13/2017	QGW037111	GILMARTIN, MARGARET	AC ALTERNATIVES MARKET NEUTRAL VALU B		0.00	0.17	0.00	0.17	90.0	0.15
10/13/2017	QGW037111	GILMARTIN, MARGARET	AMERICAN CENTURY HERITAGE FUND CLAS B		0.00	0.29	0.00	0.29	90.0	0.26
10/17/2017	QGW037111	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B		0.00	1.25	0.00	1.25	90.0	1.13
10/17/2017	QGW037111	GILMARTIN, MARGARET	THE HARTFORD BALANCED INCOME FUND C B		0.00	1.88	0.00	1.88	90.0	1.69
10/19/2017	QGW037111	GILMARTIN, MARGARET	CAPITAL INCOME BUILDER-A	B	0.00	2.04	0.00	2.04	90.0	1.84
10/23/2017	QGW037111	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS A	B	0.00	0.26	0.00	0.26	90.0	0.23
10/25/2017	QGW037111	GILMARTIN, MARGARET	GABELLI UTILITIES FUND CLASS A	B	0.00	0.18	0.00	0.18	90.0	0.16
10/17/2017	QGW037129	GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B		0.00	5.09	0.00	5.09	90.0	4.58
10/17/2017	QGW037129	GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F B		0.00	5.06	0.00	5.06	90.0	4.55
10/23/2017	QGW037129	GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS C	B	0.00	0.71	0.00	0.71	90.0	0.64
10/20/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A	B	0.00	2.68	0.00	2.68	90.0	2.41
10/20/2017	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A B		0.00	2.81	0.00	2.81	90.0	2.53
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND CLAS B		0.00	1.66	0.00	1.66	90.0	1.49
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS B		0.00	3.15	0.00	3.15	90.0	2.84
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN B		0.00	3.25	0.00	3.25	90.0	2.93
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C B		0.00	3.59	0.00	3.59	90.0	3.23
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	2.84	0.00	2.84	90.0	2.56
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND B		0.00	1.34	0.00	1.34	90.0	1.21
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK SMALL CAP GROWTH FUND II B		0.00	1.38	0.00	1.38	90.0	1.24
10/27/2017	QGW038002	WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN B		0.00	1.36	0.00	1.36	90.0	1.22
10/19/2017	QGW038556	LAPINTA, LEONARD	GLOBAL FUND A	B	0.00	8.23	0.00	8.23	90.0	7.41
10/19/2017	QGW038556	LAPINTA, LEONARD	GOLD & SPECIAL MINERALS A	B	0.00	0.22	0.00	0.22	90.0	0.20
10/19/2017	QGW038556	LAPINTA, LEONARD	OPPENHEIMER DEVELOPING MARKETS CLAS B		0.00	3.45	0.00	3.45	90.0	3.11
10/19/2017	QGW038556	LAPINTA, LEONARD	QUEST VALUE A	B	0.00	6.33	0.00	6.33	90.0	5.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$170.10	\$0.00	\$170.10		\$153.11
*** BROKER TRANSACTIONS TOTAL ***					\$2,147,850.61	\$41,574.99	\$3,588.25	\$37,986.74		\$33,829.44

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
10/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-17.00
10/31/2017		OTHER: OTHER-TELEPHONE		0.00	0.00	0.00	0.00		-65.00
10/31/2017		OTHER: OTHER-QGN		0.00	0.00	0.00	0.00		-7.56
10/31/2017		OTHER: TECHNOLOGY		0.00	0.00	0.00	0.00		-300.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-406.56</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$33,829.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-406.56
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-51.97
SIPC Assessment	\$-103.94

Check Amount	\$33,266.97
Year-to-date Earnings	\$107,019.79