



All Companies

06/28/2018  
Page No. 1

# COMMISSION STATEMENT July 02, 2018

PAUL M. THOMPSON  
15455 Dallas Parkway, Ste.600

BROKER #: 9114  
BRANCH: HOME OFFICE

Addison, TX 75001

## COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/11/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	66.00	0.79	0.00	0.79	90.0	0.71
06/11/2018	00063808760	DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	220.00	2.64	0.00	2.64	90.0	2.38
06/11/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00068487409	CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.38	0.00	1.38	90.0	1.24
06/18/2018	00084075735	VILLINES, KARA/ANNA	AMERICAN BALANCED FUND-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00085020327	PROHASKA, DYLAN	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
06/11/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	0.98	0.00	0.98	90.0	0.88
06/11/2018	00087104938	RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	1.64	0.00	1.64	90.0	1.48
06/11/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.08	0.00	1.08	90.0	0.97
06/11/2018	00087104958	BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.08	0.00	1.08	90.0	0.97
06/11/2018	00088535675	CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	0.96	0.00	0.96	90.0	0.86
06/11/2018	00088535675	CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	0.96	0.00	0.96	90.0	0.86
06/11/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	0.83	0.00	0.83	90.0	0.75
06/11/2018	00089211120	BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	0.83	0.00	0.83	90.0	0.75
06/01/2018	3009211158	RICHARDSON, BRUCE	OPPENHEIMER EQUITY INCOME FD CL A B	B	250.00	11.88	0.00	11.88	90.0	10.69
* MUTUAL FUNDS SUBTOTAL *					\$1,762.72	\$31.43	\$0.00	\$31.43		\$28.28

#### PRODUCT CATEGORY: STOCKS

06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	303.64	303.64	0.00	303.64	90.0	273.28
		* STOCKS SUBTOTAL *		\$303.64	\$303.64	\$0.00	\$303.64		\$273.28

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

06/04/2018	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	2,000.00	80.00	0.00	80.00	90.0	72.00
* VARIABLE ANNUITIES SUBTOTAL *				\$2,000.00	\$80.00	\$0.00	\$80.00		\$72.00

#### PRODUCT CATEGORY: RIA

06/18/2018	BEUTTENMULLER, RUDOLF W	SIGNAL SECURITIES RIA	B	0.00	1,254.68	0.00	1,254.68	90.0	1,129.21
04/13/2018	11933608 MCADAMS, JOHN W	SIGNAL SECURITIES RIA	B	0.00	374.66	0.00	374.66	90.0	337.19
04/13/2018	12055626 GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	870.34	0.00	870.34	90.0	783.31

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 14459187	BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	93.34	0.00	93.34	90.0	84.01
04/13/2018 14607090	MANLEY, RORY	SIGNAL SECURITIES RIA	B	0.00	36.66	0.00	36.66	90.0	32.99
04/13/2018 17365377	LEFFORGE, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	99.66	0.00	99.66	90.0	89.69
06/18/2018 19010175	STATE FAIR PUB. INC.	SIGNAL SECURITIES RIA	B	0.00	150.34	0.00	150.34	90.0	135.31
04/13/2018 20011253	GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	62.66	0.00	62.66	90.0	56.39
04/13/2018 21441902	SANTOS, RAYMOND	SIGNAL SECURITIES RIA	B	0.00	888.00	0.00	888.00	90.0	799.20
04/13/2018 21575254	DOWNES LIV TRUST, WILLIAM/CAROLYN	SIGNAL SECURITIES RIA	B	0.00	1,251.00	0.00	1,251.00	90.0	1,125.90
04/13/2018 23275885	HUFFMAN, NANCY R.	SIGNAL SECURITIES RIA	B	0.00	766.66	0.00	766.66	90.0	689.99
04/13/2018 26419203	AHRING, DOROTHY J	SIGNAL SECURITIES RIA	B	0.00	921.34	0.00	921.34	90.0	829.21
04/13/2018 28060052	GRAY, ROCKFORD/SALLY	SIGNAL SECURITIES RIA	B	0.00	1,056.00	0.00	1,056.00	90.0	950.40
04/13/2018 28106533	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	483.34	0.00	483.34	90.0	435.01
04/13/2018 28416413	BOWDON FAMILY FOUNDATION	SIGNAL SECURITIES RIA	B	0.00	3,204.00	0.00	3,204.00	90.0	2,883.60
04/13/2018 29733972	BOWERS, CINDA I	SIGNAL SECURITIES RIA	B	0.00	936.66	0.00	936.66	90.0	842.99
04/13/2018 30856518	JAYNE, DAVID JOE	SIGNAL SECURITIES RIA	B	0.00	49.34	0.00	49.34	90.0	44.41
04/13/2018 30889813	DALLAS CHRISTIAN CO	SIGNAL SECURITIES RIA	B	0.00	239.00	0.00	239.00	90.0	215.10
04/13/2018 30958570	DONNELL, MIKE	SIGNAL SECURITIES RIA	B	0.00	88.34	0.00	88.34	90.0	79.51
04/13/2018 31260927	FARMERS BR. CHAMBER, EDU. FUND	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
04/13/2018 32047427	COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018 34235945	HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
04/13/2018 34663352	GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	42.66	0.00	42.66	90.0	38.39
04/13/2018 34739881	KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	218.66	0.00	218.66	90.0	196.79
04/13/2018 35296442	DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	53.34	0.00	53.34	90.0	48.01
04/13/2018 35962941	DOWNES, ALEXANDRA M	SIGNAL SECURITIES RIA	B	0.00	170.34	0.00	170.34	90.0	153.31
04/13/2018 37772604	DRONE, CRAIG A	SIGNAL SECURITIES RIA	B	0.00	241.34	0.00	241.34	90.0	217.21
04/13/2018 38803585	NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	78.00	0.00	78.00	90.0	70.20
04/13/2018 41000586	ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	125.66	0.00	125.66	90.0	113.09
04/13/2018 42907147	JERNIGAN, KYLE	SIGNAL SECURITIES RIA	B	0.00	281.34	0.00	281.34	90.0	253.21
04/13/2018 43177248	ZIELINSKI, THOMAS L	SIGNAL SECURITIES RIA	B	0.00	634.00	0.00	634.00	90.0	570.60
04/13/2018 44412032	GETZ, RENEE E	SIGNAL SECURITIES RIA	B	0.00	196.00	0.00	196.00	90.0	176.40
04/13/2018 47335624	HANSEN, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	244.66	0.00	244.66	90.0	220.19
04/13/2018 50230780	BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	638.66	0.00	638.66	90.0	574.79
04/13/2018 50550606	LIKENS, LESLIE E	SIGNAL SECURITIES RIA	B	0.00	302.34	0.00	302.34	90.0	272.11
04/13/2018 51058096	PENN, JR, ROLAND E	SIGNAL SECURITIES RIA	B	0.00	336.66	0.00	336.66	90.0	302.99
04/13/2018 51666847	WILSON, JOHN DOUGLAS	SIGNAL SECURITIES RIA	B	0.00	836.00	0.00	836.00	90.0	752.40
04/13/2018 52129314	WAMRE FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	445.66	0.00	445.66	90.0	401.09

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	52178933 MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	90.0	29.70
04/13/2018	53917162 MCCASH, GARY & SUZETTE	SIGNAL SECURITIES RIA	B	0.00	687.34	0.00	687.34	90.0	618.61
04/13/2018	54113355 DEE, EDWARD & RUTH	SIGNAL SECURITIES RIA	B	0.00	650.00	0.00	650.00	90.0	585.00
04/13/2018	54825568 NEARY, GEORGE & LISA	SIGNAL SECURITIES RIA	B	0.00	262.66	0.00	262.66	90.0	236.39
04/13/2018	55524343 MCGEEHON, DANIEL L	SIGNAL SECURITIES RIA	B	0.00	505.66	0.00	505.66	90.0	455.09
04/13/2018	56699985 LIKENS, KEVIN A	SIGNAL SECURITIES RIA	B	0.00	416.00	0.00	416.00	90.0	374.40
04/13/2018	57714569 DAVENPORT, CURTIS/SUSAN	SIGNAL SECURITIES RIA	B	0.00	930.66	0.00	930.66	90.0	837.59
04/13/2018	57788308 YAZELL, KIMBERLY A	SIGNAL SECURITIES RIA	B	0.00	610.00	0.00	610.00	90.0	549.00
04/13/2018	58441030 HARSHAW, JOHN	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
04/13/2018	59251730 GANTER, ELAINE M	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
04/13/2018	60681399 HERING, KAREN	SIGNAL SECURITIES RIA	B	0.00	569.00	0.00	569.00	90.0	512.10
04/13/2018	61024092 HADDIX, CAROLINE E	SIGNAL SECURITIES RIA	B	0.00	737.34	0.00	737.34	90.0	663.61
04/13/2018	63201352 MANLEY, KERRYANN K	SIGNAL SECURITIES RIA	B	0.00	68.66	0.00	68.66	90.0	61.79
04/13/2018	68409444 TURNER, LYNN D	SIGNAL SECURITIES RIA	B	0.00	633.00	0.00	633.00	90.0	569.70
04/13/2018	68570849 BLAIR, BRENDA	SIGNAL SECURITIES RIA	B	0.00	112.34	0.00	112.34	90.0	101.11
04/13/2018	68675276 BLAIR BYPASS TRUST	SIGNAL SECURITIES RIA	B	0.00	479.00	0.00	479.00	90.0	431.10
04/13/2018	70913435 BOWDON REV TRUST	SIGNAL SECURITIES RIA	B	0.00	503.66	0.00	503.66	90.0	453.29
04/13/2018	71239113 TURNER, J DWIGHT	SIGNAL SECURITIES RIA	B	0.00	152.66	0.00	152.66	90.0	137.39
04/13/2018	71695806 CHRISTIE, Nanci M	SIGNAL SECURITIES RIA	B	0.00	84.00	0.00	84.00	90.0	75.60
04/13/2018	71762114 BOWDON, HENRY & VIRGINI	SIGNAL SECURITIES RIA	B	0.00	126.66	0.00	126.66	90.0	113.99
04/13/2018	72285366 JOHNSON, ERIC W	SIGNAL SECURITIES RIA	B	0.00	168.66	0.00	168.66	90.0	151.79
04/13/2018	73298229 KENNY, STEPHEN O	SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00	90.0	206.10
04/13/2018	73451449 RALPH, MARK ALAN	SIGNAL SECURITIES RIA	B	0.00	513.34	0.00	513.34	90.0	462.01
04/13/2018	75602403 MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	279.66	0.00	279.66	90.0	251.69
04/13/2018	80644541 TURNER, RITA	SIGNAL SECURITIES RIA	B	0.00	251.00	0.00	251.00	90.0	225.90
04/13/2018	80920481 PEIRSON, WILLIAM/CHRIS	SIGNAL SECURITIES RIA	B	0.00	1,505.34	0.00	1,505.34	90.0	1,354.81
04/13/2018	81013153 BOWDON, BOBBYE J	SIGNAL SECURITIES RIA	B	0.00	233.34	0.00	233.34	90.0	210.01
04/13/2018	81290736 SICKLER, LEE/CHRIS	SIGNAL SECURITIES RIA	B	0.00	120.66	0.00	120.66	90.0	108.59
04/13/2018	81769883 GATLIN, EDWARD E	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
04/13/2018	81877335 WAMRE, RICK & SALLY	SIGNAL SECURITIES RIA	B	0.00	880.66	0.00	880.66	90.0	792.59
04/13/2018	83450493 GLANCY, WILLIAM P.	SIGNAL SECURITIES RIA	B	0.00	367.00	0.00	367.00	90.0	330.30
04/13/2018	83813956 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	189.34	0.00	189.34	90.0	170.41
04/13/2018	83898089 MJG PARTNERS LP	SIGNAL SECURITIES RIA	B	0.00	4,426.66	0.00	4,426.66	90.0	3,983.99
04/13/2018	85186147 JONES, LAURA JILL	SIGNAL SECURITIES RIA	B	0.00	117.34	0.00	117.34	90.0	105.61

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
04/13/2018	85289265 KLEIN, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	369.66	0.00	369.66	90.0	332.69
04/13/2018	85475534 DOWNS, WILLIAM M	SIGNAL SECURITIES RIA	B	0.00	154.66	0.00	154.66	90.0	139.19
04/13/2018	85534947 MARTINIE, GARY & KATHRYN	SIGNAL SECURITIES RIA	B	0.00	632.00	0.00	632.00	90.0	568.80
04/13/2018	87153342 BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	96.66	0.00	96.66	90.0	86.99
04/13/2018	87804016 LEWIS, JR, JACK F	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66	90.0	50.09
04/13/2018	88575440 LOHMAN, REBECCA LYNN	SIGNAL SECURITIES RIA	B	0.00	241.00	0.00	241.00	90.0	216.90
04/13/2018	89037743 BLAIR, JR, DAVID D	SIGNAL SECURITIES RIA	B	0.00	33.34	0.00	33.34	90.0	30.01
04/13/2018	90630517 GLANCY, FRANCES M	SIGNAL SECURITIES RIA	B	0.00	65.34	0.00	65.34	90.0	58.81
04/13/2018	90750567 VEGA, ROY (RAUL)	SIGNAL SECURITIES RIA	B	0.00	3,420.34	0.00	3,420.34	90.0	3,078.31
04/13/2018	90906864 SAVAGE, JANICE	SIGNAL SECURITIES RIA	B	0.00	506.34	0.00	506.34	90.0	455.71
04/13/2018	91566568 BOWDON, KENNETH S	SIGNAL SECURITIES RIA	B	0.00	365.66	0.00	365.66	90.0	329.09
04/13/2018	93139099 CHAPMAN, JOE & CARMEN	SIGNAL SECURITIES RIA	B	0.00	711.00	0.00	711.00	90.0	639.90
04/13/2018	93542283 BROWN, PEGGY	SIGNAL SECURITIES RIA	B	0.00	399.34	0.00	399.34	90.0	359.41
04/13/2018	96116390 HARSHAW, JOHN FREDERICK	SIGNAL SECURITIES RIA	B	0.00	440.66	0.00	440.66	90.0	396.59
04/13/2018	98023364 MANLEY, ROSS / KERRYANN	SIGNAL SECURITIES RIA	B	0.00	68.34	0.00	68.34	90.0	61.51
04/13/2018	98899816 STRICKLIN, DONNA A	SIGNAL SECURITIES RIA	B	0.00	375.34	0.00	375.34	90.0	337.81
04/13/2018	98935139 ROBERTS, ROBERT M	SIGNAL SECURITIES RIA	B	0.00	102.66	0.00	102.66	90.0	92.39
04/13/2018	99600192 COGSWELL, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
* RIA SUBTOTAL *				\$0.00	\$43,001.66	\$0.00	\$43,001.66		\$38,701.48
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	26.01	0.00	26.01	90.0	23.41
07/02/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	11.86	0.00	11.86	90.0	10.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$37.87	\$0.00	\$37.87		\$34.08
*** BROKER TRANSACTIONS TOTAL ***				\$4,066.36	\$43,454.60	\$0.00	\$43,454.60		\$39,109.12
ADJUSTMENTS									
07/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$39,109.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$39,081.62
Year-to-date Earnings	\$288,181.91