

STATEMENT

January 20, 2018

DWAIN BLAKE

BROKER #: 049

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DWAIN BLAKE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
12/19/2017 #	RAY, CARRIE	VANGUARD BD INDEX FD INC INTER TERM S		8,000.00	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	**JOHN HANCOCK FDS III S		32,478.02	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	DODGE & COX FUNDS INTERNATIONAL STO S		31,153.25	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	JOHN HANCOCK DISCIPLINED VALUE MID S		13,613.69	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	JOHN HANCOCK FDS III DISCIPLINED VA S		19,430.80	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	JPMORGAN TR I SMALL CAP EQUITY FD S S		13,462.76	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	PRIMECAP ODYSSEY FDS GROWTH FD S		20,095.83	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$138,234.35	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>										
12/21/2017 #	RAY, CARRIE	VANGUARD INDEX FUNDS S		19,389.08	0.00	19.95	0.00	-19.95	75.0	-14.96
12/21/2017 #	RAY, CARRIE	VANGUARD INDEX FUNDS S		19,381.63	0.00	19.95	0.00	-19.95	75.0	-14.96
12/21/2017 #	RAY, CARRIE	VANGUARD INTL EQUITY IND FD FTSE AL S		34,897.85	0.00	19.95	0.00	-19.95	75.0	-14.96
* STOCKS SUBTOTAL *				\$73,668.56	\$0.00	\$59.85	\$0.00	\$-59.85		\$-44.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/20/2017 #	RAY, CARRIE	DAIN TRAILER S		10,893.52	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	DAIN TRAILER S		16,602.38	0.00	0.00	0.00	0.00	75.0	0.00
12/20/2017 #	RAY, CARRIE	DAIN TRAILER S		13,153.99	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$40,649.89	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$252,552.80	\$0.00	\$59.85	\$0.00	\$-59.85		\$-44.88

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ADJUSTMENTS

01/20/2018		ADMIN FEE: ADMIN FEE								-390.00
01/20/2018		ASSISTANT FEE: ASSISTANT FEE								-219.00
01/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
*** ADJUSTMENTS TOTAL ***										<u>\$-784.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$-44.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,816.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-3,645.58</u>
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