

COMMISSION STATEMENT

February 28, 2018

ANDREW LINDNER
37 GOLDENEYE LANEBROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/30/2018	QGN005274	OLSTEIN ALL CAP	UNIVERSAL HEALTH SVCS INC CL B	S	-637,039.47	153.00	6.00	147.00	50.0	73.50
02/01/2018	QGN005274	OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	B	221,267.05	159.00	6.00	153.00	50.0	76.50
02/02/2018	QGN005274	OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	B	618,714.00	450.00	6.00	444.00	50.0	222.00
02/05/2018	QGN005274	OLSTEIN ALL CAP	INVESCO LTD ORD SHS ISIN#BMG491BT11	B	682,496.00	600.00	6.00	594.00	50.0	297.00
02/07/2018	QGN005274	OLSTEIN ALL CAP	DANAHER CORPORATION	B	190,000.00	60.00	6.00	54.00	50.0	27.00
02/08/2018	QGN005274	OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	B	331,001.84	257.37	6.00	251.37	50.0	125.69
02/08/2018	QGN005274	OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	B	412,500.00	300.00	6.00	294.00	50.0	147.00
02/09/2018	QGN005274	OLSTEIN ALL CAP	FRANKLIN RESOURCES INC (DEL)	B	203,287.50	162.63	6.00	156.63	50.0	78.32
02/09/2018	QGN005274	OLSTEIN ALL CAP	KEYSIGHT TECHNOLOGIES INC COM	B	632,116.17	441.00	6.00	435.00	50.0	217.50
02/13/2018	QGN005274	OLSTEIN ALL CAP	GENERAL MOTORS COMPANY	B	125,250.00	90.00	6.00	84.00	50.0	42.00
02/22/2018	QGN005274	OLSTEIN ALL CAP	CVS HEALTH CORPORATION	B	204,750.00	90.00	6.00	84.00	50.0	42.00
02/23/2018	QGN005274	OLSTEIN ALL CAP	CVS HEALTH CORPORATION	B	135,621.00	60.00	6.00	54.00	50.0	27.00
02/26/2018	QGN005274	OLSTEIN ALL CAP	CVS HEALTH CORPORATION	B	203,250.00	90.00	6.00	84.00	50.0	42.00
02/01/2018	QGN005282	OPPORTUNITIES,	KULICKE & SOFFA IND INC	B	68,100.00	90.00	6.00	84.00	50.0	42.00
02/01/2018	QGN005282	OPPORTUNITIES,	PRESTIGE BRANDS HLDGS INC	B	277,644.50	210.00	6.00	204.00	50.0	102.00
02/13/2018	QGN005282	OPPORTUNITIES,	CITIZENS FINANCIAL GROUP INC COM	S	-288,308.15	195.00	6.00	189.00	50.0	94.50
02/01/2018	QGN005308	DIOCESE OF ARLINGTON	KULICKE & SOFFA IND INC	B	4,540.00	6.00	6.00	0.00	50.0	0.00
02/01/2018	QGN005308	DIOCESE OF ARLINGTON	PRESTIGE BRANDS HLDGS INC	B	3,966.35	3.00	6.00	-3.00	50.0	-1.50
02/01/2018	QGV005689	MERRILL LYNCH PROF	MCKESSON CORP	B	1,151,390.80	280.00	6.00	274.00	50.0	137.00
02/21/2018	QGV005689	MERRILL LYNCH PROF	CAPITAL SR LIVING CORP COM	S	-278,052.50	1,000.00	6.00	994.00	50.0	497.00
* STOCKS SUBTOTAL *					\$4,262,495.09	\$4,697.00	\$120.00	\$4,577.00		\$2,288.51

PRODUCT CATEGORY: BONDS

02/07/2018	QGN001380	TEAMSTERS LOCAL 445	TIME WARNER INC NEW GTD FIXED RT DE S		-26,862.50	50.00	5.00	45.00	50.0	22.50
02/07/2018	QGN001380	TEAMSTERS LOCAL 445	WELLS FARGO & CO NEW MEDIUM TERM SR S		-25,343.75	50.00	5.00	45.00	50.0	22.50
02/14/2018	QGN001380	TEAMSTERS LOCAL 445	ABBOTT LABS FXD RT SR NT 2.950% 03/ S		-33,337.50	50.00	5.00	45.00	50.0	22.50
02/23/2018	QGN001968	NO1, BRICKLAYERS	CVS HEALTH CORP SR NT 4.000% 12/05/ S		-25,326.25	50.00	5.00	45.00	50.0	22.50
02/07/2018	QGN002487	LEAGUE GRAPHIC ARTS,	GENERAL ELEC CO NT 4.125% 10/09/42 S		-95,325.00	50.00	5.00	45.00	50.0	22.50
01/31/2018	QGN004038	OPPENHEIMER & CO	JPMORGAN CHASE & CO FXD RT NOTES 2. B		149,392.50	50.00	5.00	45.00	50.0	22.50
02/05/2018	QGN006223	STACEY BRAUN & ASSOC	INTERNATIONAL BUSINESS MACHS CORP F S		-50,200.00	50.00	5.00	45.00	50.0	22.50
02/12/2018	QGN006363	STACEY BRAUN & ASSOC	GE CAPITAL INTERNATIONAL FUNDING CO S		-239,687.50	78.13	5.00	73.13	50.0	36.57

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: BONDS										
02/07/2018	QGN026270	BROTHERHOOD OF	GENERAL ELEC CO NT 4.125% 10/09/42	S	-309,806.25	101.56	5.00	96.56	50.0	48.28
02/07/2018	QGN026643	LOCAL, PLUMBERS &	GENERAL ELEC CO NT 4.125% 10/09/42	S	-142,987.50	50.00	5.00	45.00	50.0	22.50
02/01/2018	QGN027302	BROTHERHOOD OF	CATERPILLAR INC SR NT 4.300% 05/15/	S	-233,851.30	65.94	5.00	60.94	50.0	30.47
02/01/2018	QGN027302	BROTHERHOOD OF	LILLY ELI & CO NT 7.125% 06/01/25	B S	-251,260.00	62.50	5.00	57.50	50.0	28.75
02/01/2018	QGN027302	BROTHERHOOD OF	MEDTRONIC INC SR NT 4.000% 04/01/43	S	-100,200.00	50.00	5.00	45.00	50.0	22.50
02/01/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.125% 11/1	S	-205,687.50	62.50	5.00	57.50	50.0	28.75
02/01/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 1.875% 11/3	S	-181,126.56	50.00	5.00	45.00	50.0	22.50
02/01/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 1.500% 03/3	S	-273,367.19	85.94	5.00	80.94	50.0	40.47
02/01/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.125% 11/3	S	-170,132.81	50.00	5.00	45.00	50.0	22.50
02/01/2018	QGN027302	BROTHERHOOD OF	UNITED TECHNOLOGIES CORP FIXED RT N	S	-215,440.00	62.50	5.00	57.50	50.0	28.75
02/07/2018	QGN028144	OF, INTERNATIONAL	GENERAL ELEC CO NT 4.125% 10/09/42	S	-71,493.75	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *					\$-2,502,042.86	\$1,119.07	\$95.00	\$1,024.07		\$512.04
*** BROKER TRANSACTIONS TOTAL ***					\$1,760,452.23	\$5,816.07	\$215.00	\$5,601.07		\$2,800.55

ADJUSTMENTS

02/28/2018	OTHER: BLOOMBERG	0.00	0.00	0.00	0.00	-996.21
02/28/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
02/28/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
02/28/2018	OTHER: OTHER-QGN0052740 CANCEL REBI	0.00	0.00	0.00	0.00	-30.00
02/28/2018	OTHER: OTHER--ERROR	0.00	0.00	0.00	0.00	-395.39
02/28/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-212.50
02/28/2018	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-54.33
02/28/2018	OTHER: EZ CASTLE	0.00	0.00	0.00	0.00	-55.56
02/28/2018	OTHER: PIVOT	0.00	0.00	0.00	0.00	-68.05
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-1,847.04

BROKER COMMISSION TOTALS

Broker Transactions	\$2,800.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,847.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.27
SIPC Assessment	\$-14.54

Check Amount	\$931.70
Year-to-date Earnings	\$4,755.68