



COMMISSION STATEMENT

August 06, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/30/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	512.81	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 21 Units @24.0700						
08/02/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	1,000.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 71 Units @14.1000						
07/30/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	512.82	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011529	SELL 18 Units @28.3700						
07/30/2018	***-**-036I - CROWL, LINDA	INVESCO MULTI ASSET INCOME FD CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 164 Units @10.6500						
07/16/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	21.54	0.22	0.00	0.22	85.0	0.19
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
07/20/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	41.82	0.42	0.00	0.42	85.0	0.36
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
07/30/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
07/30/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 146 Units @12.0100						
07/16/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	21.54	0.22	0.00	0.22	85.0	0.19
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
07/20/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	41.82	0.42	0.00	0.42	85.0	0.36
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
07/30/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$5,779.07	\$12.54	\$0.00	\$12.54		\$10.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/10/2018	***-**-461I - WOOD, VIRGINIA	JACKSON NAT PERS II OPT E 0-80	59,447.83	1,188.96	0.00	1,188.96	85.0	1,010.62
		BUY 1 Units @60000.0000						
08/06/2018	***-**-52IN - KUNKEL, RAY	METLIFE VA TRAILS	0.00	9.77	0.00	9.77	85.0	8.30
	ACCOUNT #: 550128046	BUY 1 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$59,447.83	\$1,198.73	\$0.00	\$1,198.73		\$1,018.92



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-352I - KUNKEL, RAY	RESOURCE REAL ESTATE-NFS	0.00	12.71	0.00	12.71	85.0	10.80
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
/ /	***-**-352I - KUNKEL, RAY	RESOURCE REAL ESTATE-NFS	0.00	4.24	0.00	4.24	85.0	3.60
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
08/06/2018	***-**-30IN - STRAUB, JANE	SAMMONS MF TRAILS	0.00	13.49	0.00	13.49	85.0	11.47
	ACCOUNT #: 7200028004	BUY 0 Units @0.0000						
07/16/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	22.20	0.22	0.00	0.22	85.0	0.19
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
07/20/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	43.08	0.43	0.00	0.43	85.0	0.37
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
07/30/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$130.56	\$31.74	\$0.00	\$31.74		\$26.98
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
08/03/2018	***-**-859I - CAMPBELL, GERALD	ING ADVANTAGE SELECT	0.00	16.85	0.00	16.85	85.0	14.32
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
07/31/2018	***-**-36IR - HERDSON, JOHN	JACKSON ELITE ACCESS E	0.00	40.88	0.00	40.88	85.0	34.75
	ACCOUNT #: 1012521924	BUY 0 Units @0.0000						
07/31/2018	***-**-632I - BURG, THERESA	JACKSON ELITE ACCESS E	0.00	70.55	0.00	70.55	85.0	59.97
	ACCOUNT #: 1015747249	BUY 0 Units @0.0000						
07/31/2018	***-**-648I - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	20.43	0.00	20.43	85.0	17.37
	ACCOUNT #: 1015810986	BUY 0 Units @0.0000						
07/31/2018	***-**-305I - WAGNER, KEITH	JACKSON ELITE ACCESS E	0.00	49.15	0.00	49.15	85.0	41.78
	ACCOUNT #: 1015946705	BUY 0 Units @0.0000						
07/31/2018	***-**-48IN - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	37.74	0.00	37.74	85.0	32.08
	ACCOUNT #: 1019931044	BUY 0 Units @0.0000						
07/31/2018	***-**-597I - LEAR, DIANE	JACKSON ELITE ACCESS OPTION A	0.00	19.20	0.00	19.20	85.0	16.32
	ACCOUNT #: 1014504202	BUY 0 Units @0.0000						
07/31/2018	***-**-36IN - CROWL, LINDA	JACKSON ELITE ACCESS OPTION A	0.00	211.10	0.00	211.10	85.0	179.44
	ACCOUNT #: 1016264217	BUY 0 Units @0.0000						
07/31/2018	***-**-94IR - VITALE, LAURIE	JACKSON ELITE ACCESS OPTION A	0.00	15.76	0.00	15.76	85.0	13.40
	ACCOUNT #: 1016299231	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
07/31/2018	***-**-20IN - GARMAN, KARL	JACKSON ELITE ACCESS OPTION A	0.00	7.78	0.00	7.78	85.0	6.61
	ACCOUNT #: 1017012386	BUY 0 Units @0.0000						
07/31/2018	***-**-53IN - SHOCKEY, JEFFREY	JACKSON NATIONAL PERSPECTIVE II	0.00	37.35	0.00	37.35	85.0	31.75
	ACCOUNT #: 1014308870	BUY 0 Units @0.0000						
07/31/2018	***-**-113I - PIERMANI, JOHN	JACKSON NATIONAL PERSPECTIVE II	0.00	50.62	0.00	50.62	85.0	43.03
	ACCOUNT #: 1014761337	BUY 0 Units @0.0000						
07/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	47.01	0.00	47.01	85.0	39.96
	ACCOUNT #: 1006011280	BUY 0 Units @0.0000						
07/31/2018	***-**-85IN - GRAHAM, BARBARA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	56.80	0.00	56.80	85.0	48.28
	ACCOUNT #: 1012657141	BUY 0 Units @0.0000						
07/31/2018	***-**-814I - MCKENNA, DONNA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	152.91	0.00	152.91	85.0	129.97
	ACCOUNT #: 1013003528	BUY 0 Units @0.0000						
07/31/2018	***-**-400I - ROMIG, MARK	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	61.01	0.00	61.01	85.0	51.86
	ACCOUNT #: 1013168853	BUY 0 Units @0.0000						
07/31/2018	***-**-276I - GASSWINT, SUSAN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	80.63	0.00	80.63	85.0	68.54
	ACCOUNT #: 1013258658	BUY 0 Units @0.0000						
07/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	66.29	0.00	66.29	85.0	56.35
	ACCOUNT #: 1013442998	BUY 0 Units @0.0000						
07/31/2018	***-**-227I - ZELLERS,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	62.87	0.00	62.87	85.0	53.44
	ACCOUNT #: 1013578113	BUY 0 Units @0.0000						
07/31/2018	***-**-364J - SHIFFLET,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	57.48	0.00	57.48	85.0	48.86
	ACCOUNT #: 1013759851	BUY 0 Units @0.0000						
07/31/2018	***-**-697I - ENEDY, EMILY	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	20.97	0.00	20.97	85.0	17.82
	ACCOUNT #: 1014092982	BUY 0 Units @0.0000						
07/31/2018	***-**-33IN - KNOBL, LISA	PERSPECTIVE II DTCC	0.00	31.41	0.00	31.41	85.0	26.70
	ACCOUNT #: 1018661027	BUY 0 Units @0.0000						
07/31/2018	***-**-136I - CONLON-SCOLTOCK,	PERSPECTIVE II DTCC	0.00	22.07	0.00	22.07	85.0	18.76
	ACCOUNT #: 1018710060	BUY 0 Units @0.0000						
07/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	220.83	0.00	220.83	85.0	187.71
	ACCOUNT #: 1018787969	BUY 0 Units @0.0000						
07/31/2018	***-**-52IN - KUNKEL, RAY	PERSPECTIVE II DTCC	0.00	113.42	0.00	113.42	85.0	96.41
	ACCOUNT #: 1018799355	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
07/31/2018	***-**-99IR - BUCHANAN, STEVEN	PERSPECTIVE II DTCC	0.00	41.32	0.00	41.32	85.0	35.12
	ACCOUNT #: 1018892479	BUY 0 Units @0.0000						
07/31/2018	***-**-400I - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	54.28	0.00	54.28	85.0	46.14
	ACCOUNT #: 1019057603	BUY 0 Units @0.0000						
07/31/2018	***-**-949I - KLOKIS, DENISE	PERSPECTIVE II DTCC	0.00	35.18	0.00	35.18	85.0	29.90
	ACCOUNT #: 1019390361	BUY 0 Units @0.0000						
07/31/2018	***-**-962I - KLOKIS, ROBERT	PERSPECTIVE II DTCC	0.00	35.01	0.00	35.01	85.0	29.76
	ACCOUNT #: 1019390434	BUY 0 Units @0.0000						
07/31/2018	***-**-74IN - MCLAUGHLIN, JOHN	PERSPECTIVE II DTCC	0.00	88.10	0.00	88.10	85.0	74.89
	ACCOUNT #: 1019656124	BUY 0 Units @0.0000						
07/31/2018	***-**-00IN - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	33.81	0.00	33.81	85.0	28.74
	ACCOUNT #: 1020004262	BUY 0 Units @0.0000						
07/31/2018	***-**-14IN - MCKENNA, DONNA	PERSPECTIVE II DTCC	0.00	40.19	0.00	40.19	85.0	34.16
	ACCOUNT #: 1020006044	BUY 0 Units @0.0000						
07/31/2018	***-**-508I - FREY, DONALD	PERSPECTIVE II DTCC	0.00	11.65	0.00	11.65	85.0	9.90
	ACCOUNT #: 1020119299	BUY 0 Units @0.0000						
07/31/2018	***-**-30IR - STRAUB, FBO MRS	PERSPECTIVE II DTCC	0.00	47.10	0.00	47.10	85.0	40.04
	ACCOUNT #: 1020163875	BUY 0 Units @0.0000						
07/31/2018	***-**-35IN - TOBIN, MAUREEN	PERSPECTIVE II DTCC	0.00	46.86	0.00	46.86	85.0	39.83
	ACCOUNT #: 1020192220	BUY 0 Units @0.0000						
07/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	99.59	0.00	99.59	85.0	84.65
	ACCOUNT #: 1020250050	BUY 0 Units @0.0000						
07/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	102.79	0.00	102.79	85.0	87.37
	ACCOUNT #: 1020329722	BUY 0 Units @0.0000						
07/31/2018	***-**-881I - HOUSER, LORI	PERSPECTIVE II DTCC	0.00	187.28	0.00	187.28	85.0	159.19
	ACCOUNT #: 1020346309	BUY 0 Units @0.0000						
07/31/2018	***-**-1013 - LOYCH, ALICE	PERSPECTIVE II DTCC	0.00	188.91	0.00	188.91	85.0	160.57
	ACCOUNT #: 1020374345	BUY 0 Units @0.0000						
07/31/2018	***-**-036I - KAKAREKA, PAUL	PERSPECTIVE II DTCC	0.00	93.23	0.00	93.23	85.0	79.25
	ACCOUNT #: 1020404619	BUY 0 Units @0.0000						
07/31/2018	***-**-31IN - REED, JACK	PERSPECTIVE II DTCC	0.00	46.31	0.00	46.31	85.0	39.36
	ACCOUNT #: 1020415033	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
07/31/2018	***-**-44IR - REED, GENEVIEVE	PERSPECTIVE II DTCC	0.00	45.72	0.00	45.72	85.0	38.86
	ACCOUNT #: 1020415050	BUY 0 Units @0.0000						
07/31/2018	***-**-167I - NORNHOLD, DENNIS	PERSPECTIVE II DTCC	0.00	46.12	0.00	46.12	85.0	39.20
	ACCOUNT #: 1020415157	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,814.56	\$0.00	\$2,814.56		\$2,392.41
*** BROKER TRANSACTIONS TOTAL ***			\$65,357.46	\$4,057.57	\$0.00	\$4,057.57		\$3,448.99

BROKER COMMISSION TOTALS

Broker Transactions	\$3,448.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,448.99
Year-to-date Earnings	\$83,449.10