



All Companies

01/11/2018

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COMMISSION STATEMENT

January 16, 2018

BRIAN VAN MATTSON
1020 HIDDEN VALLEY CT.

BROKER #: 9116
BRANCH: HOME OFFICE

McGregor, TX 76657-

COMMISSION STATEMENT for BRIAN VAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	442.14	442.14	0.00	442.14	90.0	397.93
12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	5.20	5.20	0.00	5.20	90.0	4.68
12/14/2017	BEELEER/CARPENTER, JASON/KELLIE	COLLEGEBOUND 529	B	150.00	5.25	0.00	5.25	90.0	4.73
12/27/2017	00007230661 HESTON, JIM	THE HARTFORD CAPITAL APPRECIATION F B		166.66	6.67	0.00	6.67	90.0	6.00
01/02/2018	00068810835 PENA, JULIE C.	THE BOND FUND OF AMERICA-529A	B	50.00	1.50	0.00	1.50	90.0	1.35
01/02/2018	00068810837 PENA, JULIE C.	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50	90.0	2.25
12/27/2017	00081487492 DEVORSKY, SUZIE R	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/05/2018	00082719940 ARRENDELL, LANE R	AMERICAN MUTUAL FUND-C	B	125.00	1.25	0.00	1.25	90.0	1.13
01/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL INCOME BUILDER-C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/05/2018	00082719940 ARRENDELL, LANE R	CAPITAL WORLD GROWTH AND INCOME FUN B		125.00	1.25	0.00	1.25	90.0	1.13
12/29/2017	00083579116 FELKNER, PAMELA/PRESTON	CAPITAL WORLD GROWTH AND INCOME-529 B	B	75.00	3.19	0.00	3.19	90.0	2.87
12/29/2017	00083579116 FELKNER, PAMELA/PRESTON	FUNDAMENTAL INVESTORS-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
12/27/2017	00083728788 LACY, TANYA	AMERICAN BALANCED FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
12/27/2017	00083728788 LACY, TANYA	CAPITAL INCOME BUILDER-C	B	250.00	2.50	0.00	2.50	90.0	2.25
01/05/2018	00085911713 NEMEC, ERIC	AMCAP FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
01/05/2018	00085911713 NEMEC, ERIC	AMERICAN BALANCED FUND-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
01/05/2018	00085911713 NEMEC, ERIC	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
01/05/2018	00085911713 NEMEC, ERIC	THE INCOME FUND OF AMERICA-529A	B	150.00	3.00	0.00	3.00	90.0	2.70
01/03/2018	00086066788 CA/TOD	THE INVESTMENT COMPANY OF AMERICA-C B		50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	00087882822 CALLEN, JULIE MASSINGIL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	00087882822 CALLEN, JULIE MASSINGIL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	00087889028 HOWELL, MICHAEL	AMERICAN BALANCED FUND-C	B	80.00	0.80	0.00	0.80	90.0	0.72
01/02/2018	00087889028 HOWELL, MICHAEL	CAPITAL INCOME BUILDER-C	B	90.00	0.90	0.00	0.90	90.0	0.81
01/02/2018	00087889028 HOWELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN B		80.00	0.80	0.00	0.80	90.0	0.72
01/02/2018	00088435772 ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B		100.00	1.00	0.00	1.00	90.0	0.90
01/05/2018	00089014200 BROWN, DENISE	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/05/2018	00089014200	BROWN, DENISE	CAPITAL WORLD GROWTH AND INCOME FUN B	100.00	1.00	0.00	1.00	90.0	0.90	
01/02/2018	00089544673	ARRENDELL, LANE R	THE INVESTMENT COMPANY OF AMERICA-5 B	100.00	1.00	0.00	1.00	90.0	0.90	
12/29/2017	00089909217	KOCHMAN, ANDREW B.	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/27/2017	04000594082	DAVIS, CHRISTOPHER	AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
12/27/2017	04000605805	COLLINS, BIANCA	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
12/29/2017	2171440263	MARAK, MICHELE	OPPENHEIMER GLOBAL INCOME & GROWTH B	99.00	0.99	0.00	0.99	90.0	0.89	
12/29/2017	2270564619	MARAK, MICHELE	OPPENHEIMER QUEST VALUE FD	CL C B	99.00	0.99	0.00	0.99	90.0	0.89
12/29/2017	2322125057	MARAK, MICHELE	OPPENHEIMER STRATEGIC INCOME	FD CL B	102.00	1.02	0.00	1.02	90.0	0.92
* MUTUAL FUNDS SUBTOTAL *				\$4,264.00	\$506.14	\$0.00	\$506.14		\$455.54	

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	TRAILS	-HARTFORD LIFE	B	2,138.59	2,138.59	0.00	2,138.59	90.0	1,924.73
12/29/2017	TRAILS	ALLIANZ VUL	B	524.14	524.14	0.00	524.14	90.0	471.73
				* VARIABLE ANNUITIES SUBTOTAL *	\$2,662.73	\$2,662.73	\$0.00	\$2,662.73	\$2,396.46

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/16/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	212.52	0.00	212.52	90.0	191.25
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$212.52	\$0.00	\$212.52	\$191.25
				*** BROKER TRANSACTIONS TOTAL ***	\$6,926.73	\$3,381.39	\$0.00	\$3,381.39	\$3,043.25

ADJUSTMENTS

01/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-7.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,043.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-7.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,035.75
Year-to-date Earnings	\$3,710.03