



Concorde Investment Services, LLC

01/27/2017
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COMMISSION STATEMENT

January 31, 2017

LESLIE PERSIN
1899 Orchard Lake
Suite 204
Sylvan Lake, MI 48320

BROKER #: P117 Terminated 12/31/2016
BRANCH: LESLIE PERSIN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY:</u> | | | | | | | | |
| 12/31/2016 | LACHANCE, LINDA | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 | 0.00 |
| 12/31/2016 | LACHANCE, LINDA | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 | 0.00 |
| 12/31/2016 | LACHANCE, LINDA | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 | 0.00 |
| * SUBTOTAL * | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: REIT</u> | | | | | | | | |
| 12/31/2016 | LACHANCE, LINDA | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 12.25 | 0.00 | 12.25 | 80.0 | 9.80 |
| 12/16/2016 | CONN, NANCY | PREFERRED APARTMENT COMMUNITIES | 14,000.00 | 980.00 | 0.00 | 980.00 | 80.0 | 784.00 |
| * REIT SUBTOTAL * | | | \$14,000.00 | \$992.25 | \$0.00 | \$992.25 | | \$793.80 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 12/31/2016 | BROWN, LAURA | ASCENSUS 529 TRAILS | 0.00 | 6.70 | 0.00 | 6.70 | 80.0 | 5.36 |
| 12/31/2016 | BROWN, LAURA | ASCENSUS 529 TRAILS | 0.00 | 9.60 | 0.00 | 9.60 | 80.0 | 7.68 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$16.30 | \$0.00 | \$16.30 | | \$13.04 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$14,000.00 | \$1,008.55 | \$0.00 | \$1,008.55 | | \$806.84 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$806.84 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$806.84 |
| Year-to-date Gross | \$3,186.97 |
| Year-to-date Earnings | \$2,531.88 |