



COMMISSION STATEMENT

January 15, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
01/15/2018	***-**-60IN - HUNGERFORD, ACCOUNT #: N550070100	NATIONWIDE YOURLIFE PROTECTION VUL BUY 1 Units @150.0000	150.00	1.50	0.00	1.50	70.0	1.05
01/15/2018	***-**-60IN - HUNGERFORD, ACCOUNT #: N550070100	NATIONWIDE YOURLIFE PROTECTION VUL BUY 1 Units @150.0000	150.00	1.50	0.00	1.50	70.0	1.05
* LIFE INSURANCE SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$2.10
<u>PRODUCT CATEGORY: RIA</u>								
01/15/2018	***-**-84FB - SPORRER, SHIRLEY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	46.66	0.00	46.66	70.0	32.66
01/15/2018	***-**-58FB - SMITH, RUTH	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	166.61	0.00	166.61	70.0	116.63
01/15/2018	***-**-84FB - SPORRER, SHIRLEY	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	173.75	0.00	173.75	70.0	121.63
01/15/2018	***-**-00FB - SPORRER, JERALD	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	207.51	0.00	207.51	70.0	145.26
01/15/2018	***-**-92FB - SAUDER FAMILY,	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	218.99	0.00	218.99	70.0	153.29
01/15/2018	***-**-0737 - SCHROEDER, JACK	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	349.96	0.00	349.96	70.0	244.97
01/15/2018	***-**-37FB - SCHROEDER, JACK	MORNINGSTAR ADVISOR FEE BUY 1 Units @0.0000	0.00	692.96	0.00	692.96	70.0	485.07
* RIA SUBTOTAL *			\$0.00	\$1,856.44	\$0.00	\$1,856.44		\$1,299.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-819I - COVEY, C ACCOUNT #: 00500445410	VALUE FUND A BUY 0 Units @0.0000	0.00	41.92	0.00	41.92	70.0	29.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$41.92	\$0.00	\$41.92		\$29.34
*** BROKER TRANSACTIONS TOTAL ***			\$300.00	\$1,901.36	\$0.00	\$1,901.36		\$1,330.95



BROKER #: 698
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,330.95
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,330.95
						Year-to-date Earnings		\$1,688.84