

All Companies  
**STATEMENT**  
**April 20, 2018**

**KYLE VAN OORT**  
5051 North Sabino Canyon Rd #2  
#2212  
Tuscon, AZ 85750

**BROKER #: 053**  
**BRANCH:**

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u><b>BROKER TRANSACTIONS</b></u>									
<u><b>PRODUCT CATEGORY: MUTUAL FUNDS</b></u>									
03/02/0018	#00052767873	HIGHT, GARY	PORTFOLIO 2017-2020C2	100.00	1.00	93.0	0.00	0.93	0.93
03/02/0018	#00052767877	HIGHT, GARY	PORTFOLIO 2017-2020C2	100.00	1.00	93.0	0.00	0.93	0.93
03/05/0018	#07150238955	ANDR, JACOB	PORTFOLIO 2021-2024 C2	500.00	5.00	93.0	0.00	4.65	4.65
03/05/0018	#00052802686	SILK, KYLE	PORTFOLIO 2025-2028 C2	300.00	3.00	93.0	0.00	2.79	2.79
03/05/0018	#00052805686	SURA, SCOT	PORTFOLIO 2025-2028 C2	50.00	0.50	93.0	0.00	0.47	0.47
03/05/0018	#07150238954	ANDR, JACOB	PORTFOLIO 2025-2028 C2	500.00	5.00	93.0	0.00	4.65	4.65
02/05/2018	#00009438579	LOTT, UNIKA	SUNAMERICA FOCUSED DIVIDEND STRAT C	25.00	0.25	93.0	0.00	0.23	0.23
02/28/2018	#00086479547	ALEGRIAKIM	AMERICAN BALANCED FUND INC CL C	62.37	0.62	93.0	0.00	0.58	0.58
03/01/2018	#00002043539	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	22,397.55	223.98	93.0	0.00	208.30	208.30
03/01/2018	#00002179564	COELEROSSA	LIFESTYLE GROWTH PORTFOLIO C	500.00	5.00	93.0	0.00	4.65	4.65
03/01/2018	#00002195815	FITZNSIENM	LIFESTYLE GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
03/01/2018	#00001828050	VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	5,500.00	55.00	93.0	0.00	51.15	51.15
03/01/2018	#07004635396	BALESROBEA	PORTFOLIO 2025-2028 C2	75.00	0.75	93.0	0.00	0.70	0.70
03/01/2018	#00052939434	MEINDL, ROBERT	PORTFOLIO 2029-2032 C2	50.00	0.50	93.0	0.00	0.47	0.47
03/01/2018	#00053015272	MEINDL, ROBERT	PORTFOLIO 2033-2036 A	50.00	2.13	93.0	0.00	1.98	1.98
03/02/2018	#00073663981	FITZNER, SHAWN	AMERICAN BALANCED FUND-529C	50.00	0.50	93.0	0.00	0.47	0.47
03/02/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	65.52	1.80	93.0	0.00	1.67	1.67
03/02/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	28.08	0.77	93.0	0.00	0.72	0.72
03/02/2018	#00001827540	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	1,500.00	15.00	93.0	0.00	13.95	13.95
03/02/2018	#00002337800	VARIOUS BARRONE	LIFESTYLE BALANCED PORTFOLIO C	6,500.00	65.00	93.0	0.00	60.45	60.45
03/02/2018	#00002389234	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	6,500.00	65.00	93.0	0.00	60.45	60.45
03/02/2018	#00002164899	BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	250.00	2.50	93.0	0.00	2.33	2.33
03/02/2018	#00002404190	NEVILJAMEM	LIFESTYLE GROWTH PORTFOLIO C	40.00	0.40	93.0	0.00	0.37	0.37
03/05/2018	#00001844081	RONCO	LIFESTYLE BALANCED PORTFOLIO C	600.00	6.00	93.0	0.00	5.58	5.58
03/05/2018	#00002170093		LIFESTYLE GROWTH PORTFOLIO C	200.00	2.00	93.0	0.00	1.86	1.86
03/05/2018	#00002401559	THEBEANNAL	LIFESTYLE GROWTH PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
03/06/2018	#00068061257	UPTON, JOAN	BOND FUND OF AMERICA INC CL A	100.00	2.75	93.0	0.00	2.56	2.56
03/06/2018	#000529603425	BUTLE, JANE	COLLEGE PORTFOLIO C2	0.00	3.77	93.0	0.00	3.51	3.51

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/06/2018	#00068061257	UPTON, JOAN	GROWTH FUND AMERICA INC CLASS A	350.00	9.63	93.0	0.00	8.96	8.96
03/06/2018	#00068061257	UPTON, JOAN	INCOME FUND OF AMERICA INC CLASS A	250.00	6.88	93.0	0.00	6.40	6.40
03/06/2018	#00068061257	UPTON, JOAN	INVESTMENT CO AMER CLASS A	100.00	2.75	93.0	0.00	2.56	2.56
03/06/2018	#00068061257	UPTON, JOAN	NEW PERSPECTIVE FUND INC CL A	100.00	2.75	93.0	0.00	2.56	2.56
03/06/2018	#071501496210	HIGHT, GARY	PORTFOLIO 2017-2020 A	0.00	3.14	93.0	0.00	2.92	2.92
03/06/2018	#071501496252	HIGHT, GARY	PORTFOLIO 2017-2020 A	0.00	3.61	93.0	0.00	3.36	3.36
03/06/2018	#000527678737	HIGHT, GARY	PORTFOLIO 2017-2020C2	0.00	9.43	93.0	0.00	8.77	8.77
03/06/2018	#000527678779	HIGHT, GARY	PORTFOLIO 2017-2020C2	0.00	9.43	93.0	0.00	8.77	8.77
03/06/2018	#071502389559	JACOBS, ANDREW	PORTFOLIO 2021-2024 C2	0.00	13.15	93.0	0.00	12.23	12.23
03/06/2018	#070046353000	MEIND, JANIS	PORTFOLIO 2021-2024 C2	0.00	3.69	93.0	0.00	3.43	3.43
03/06/2018	#070046353039	MEIND, JANIS	PORTFOLIO 2021-2024 C2	0.00	3.69	93.0	0.00	3.43	3.43
03/06/2018	#000528026867	SILK, KYLE	PORTFOLIO 2025-2028 C2	0.00	25.59	93.0	0.00	23.80	23.80
03/06/2018	#000528056866	SURA, SCOT	PORTFOLIO 2025-2028 C2	0.00	5.03	93.0	0.00	4.68	4.68
03/06/2018	#071502389546	JACOBS, ANDREW	PORTFOLIO 2025-2028 C2	0.00	13.91	93.0	0.00	12.94	12.94
03/06/2018	#000527214201	GOODE, THOMK	PORTFOLIO 2025-2028 C2	0.00	2.29	93.0	0.00	2.13	2.13
03/06/2018	#000529393081	BOUCHKARAA	PORTFOLIO 2025-2028 C2	0.00	1.82	93.0	0.00	1.69	1.69
03/06/2018	#000529865137	DOLPHIN,	PORTFOLIO 2025-2028 C2	0.00	12.67	93.0	0.00	11.78	11.78
03/06/2018	#000528635591	ZOUCH, JENNA	PORTFOLIO 2029-2032 C2	0.00	11.23	93.0	0.00	10.44	10.44
03/06/2018	#000528889457	GOODE, THOMK	PORTFOLIO 2029-2032 C2	0.00	1.04	93.0	0.00	0.97	0.97
03/06/2018	#000529221551	ZOUCH, JENNA	PORTFOLIO 2029-2032 C2	0.00	6.00	93.0	0.00	5.58	5.58
03/06/2018	#000529393078	BOUCHKARAA	PORTFOLIO 2029-2032 C2	0.00	0.89	93.0	0.00	0.83	0.83
03/06/2018	#000529394349	MEIND, JANIS	PORTFOLIO 2029-2032 C2	0.00	2.36	93.0	0.00	2.19	2.19
03/06/2018	#000530152729	MEINDL, ROBERT	PORTFOLIO 2033-2036 A	0.00	0.05	93.0	0.00	0.05	0.05
03/06/2018	#00068061257	UPTON, JOAN	SMALLCAP WORLD FUND INC CLASS A	100.00	2.75	93.0	0.00	2.56	2.56
03/08/2018	#00002324317	VAN OORT VAR	EMERGING MARKETS C	300.00	3.00	93.0	0.00	2.79	2.79
03/08/2018	#00002324316	VAN OORT VAR	INTERNATIONAL VALUE EQUITY C	1,200.00	12.00	93.0	0.00	11.16	11.16
03/08/2018	#00002324315	VAN OORT VAR	JOHN HANCOCK INVT TR SOVEREIGN BALA	500.00	5.00	93.0	0.00	4.65	4.65
03/08/2018	#00002337799	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	2,000.00	20.00	93.0	0.00	18.60	18.60
03/08/2018	#07004635300	MEIND, JANIS	PORTFOLIO 2021-2024 C2	50.00	0.50	93.0	0.00	0.47	0.47
03/08/2018	#07004635303	MEIND, JANIS	PORTFOLIO 2021-2024 C2	50.00	0.50	93.0	0.00	0.47	0.47
03/08/2018	#00052939434	MEINDL, ROBERT	PORTFOLIO 2029-2032 C2	50.00	0.50	93.0	0.00	0.47	0.47
03/08/2018	#00053015272	MEINDL, ROBERT	PORTFOLIO 2033-2036 A	50.00	2.13	93.0	0.00	1.98	1.98
03/09/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	60.80	1.67	93.0	0.00	1.55	1.55
03/09/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	26.06	0.72	93.0	0.00	0.67	0.67
03/09/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	20.15	0.55	93.0	0.00	0.51	0.51
03/09/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	20.15	0.55	93.0	0.00	0.51	0.51

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/12/2018	#00002404602	DAILE, JULIA	LIFESTYLE GROWTH PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
03/12/2018	#00003376732	FANTIN, ANDREA	LIFESTYLE GROWTH PORTFOLIO C	5,500.00	55.00	93.0	0.00	51.15	51.15
03/14/2018	#00086479547	ALEGRIAKIM	AMERICAN BALANCED FUND INC CL C	124.74	1.25	93.0	0.00	1.16	1.16
03/15/2018	#00002117933	ROSS, NANCY	LIFESTYLE BALANCED PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
03/15/2018	#00002105876	MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	500.00	5.00	93.0	0.00	4.65	4.65
03/15/2018	#00002134391	MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	200.00	2.00	93.0	0.00	1.86	1.86
03/15/2018	#00002195815	FITZNSIENM	LIFESTYLE GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
03/16/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	59.85	1.65	93.0	0.00	1.53	1.53
03/16/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	25.65	0.71	93.0	0.00	0.66	0.66
03/16/2018	#00002016848	TENNANT, NANCY	LIFESTYLE BALANCED PORTFOLIO C	150.00	1.50	93.0	0.00	1.40	1.40
03/16/2018	#00002187190	FITZNER, SHAWN	LIFESTYLE BALANCED PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
03/16/2018	#00002111491	BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	150.00	1.50	93.0	0.00	1.40	1.40
03/19/2018	#00001844077	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	6,500.00	65.00	93.0	0.00	60.45	60.45
03/19/2018	#00001844077	VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	6,500.00	65.00	93.0	0.00	60.45	60.45
03/19/2018	#00003379198	VEIGEAMAN	LIFESTYLE GROWTH PORTFOLIO C	1,000.00	10.00	93.0	0.00	9.30	9.30
03/20/2018	#00002098770	LOTT, UNIKA	LIFESTYLE GROWTH PORTFOLIO C	100.00	1.00	93.0	0.00	0.93	0.93
03/21/2018	#00086479547	ALEGRIAKIM	AMERICAN BALANCED FUND INC CL C	62.37	0.62	93.0	0.00	0.58	0.58
03/21/2018	#00087326387	ALEGRIAKIM	INCOME FUND AMER INC CL C	215.00	2.15	93.0	0.00	2.00	2.00
03/23/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	25.65	0.71	93.0	0.00	0.66	0.66
03/23/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	59.85	1.65	93.0	0.00	1.53	1.53
03/23/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	24.21	0.67	93.0	0.00	0.62	0.62
03/23/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	24.21	0.67	93.0	0.00	0.62	0.62
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$72,942.21</b>	<b>\$890.70</b>		<b>\$0.00</b>	<b>\$828.41</b>	<b>\$0.00</b>	<b>\$828.41</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/08/0018	#000193827	MCDONALD, MARYANN	PRUDETIAL TRAILS	0.00	4.26	93.0	0.00	3.96	3.96
03/01/0018	#GAPC7CZ2F	HAVEN, THE	NATIONWIDE 620	0.00	77.64	93.0	0.00	72.21	72.21
03/05/0018	#GAPC7CZ2F	HAVEN, THE	NATIONWIDE 620	0.00	72.46	93.0	0.00	67.39	67.39
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$154.36</b>		<b>\$0.00</b>	<b>\$143.56</b>	<b>\$0.00</b>	<b>\$143.56</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/01/2018	#00086479547	ALEGRIAKIM	AMERICAN BALANCED FUND INC CL C	0.00	41.20	93.0	0.00	38.32	38.32
03/01/2018	#00067028003	ARMIGO, CHARLES	AMERICAN BALANCED FUND INC CLASS A	0.00	10.66	93.0	0.00	9.91	9.91
03/01/2018	#00073663981	FITZNER, SHAWN	AMERICAN BALANCED FUND-529C	0.00	12.20	93.0	0.00	11.35	11.35
03/01/2018	#00074932065	DOLPHIN, ANDREW	AMERICAN FUNDS 02630R500	0.00	29.89	93.0	0.00	27.80	27.80
03/01/2018	#00083164850	HONG, ANNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	0.16	93.0	0.00	0.15	0.15

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00068061257	UPTON, JOAN	BOND FUND OF AMERICA INC CL A	0.00	4.74	93.0	0.00	4.41	4.41
03/01/2018	#00082603126	MCDONALD, CRAIG	BOND FUND OF AMERICA INC CL A	0.00	3.53	93.0	0.00	3.28	3.28
03/01/2018	#00082603126	MCDONALD, CRAIG	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.39	93.0	0.00	1.29	1.29
03/01/2018	#00089975697	MEINHAUSEN	COLLEGE 2027 FUND-529C	0.00	0.25	93.0	0.00	0.23	0.23
03/01/2018	#00083360916	DOLPHIN,	GROWTH AND INCOME PORTFOLIO-A	0.00	5.61	93.0	0.00	5.22	5.22
03/01/2018	#00088605018	BALL, DANIEL	GROWTH AND INCOME PORTFOLIO-A	0.00	7.64	93.0	0.00	7.11	7.11
03/01/2018	#00088605022	NAVARRETTE	GROWTH AND INCOME PORTFOLIO-A	0.00	1.93	93.0	0.00	1.79	1.79
03/01/2018	#00086007624	AZ/TOD	GROWTH FUND AMER INC CL C	0.00	15.47	93.0	0.00	14.39	14.39
03/01/2018	#00086765413	UNTIL AGE 21,	GROWTH FUND AMER INC CL C	0.00	5.40	93.0	0.00	5.02	5.02
03/01/2018	#00087326387	ALEGRIAKIM	GROWTH FUND AMER INC CL C	0.00	30.13	93.0	0.00	28.02	28.02
03/01/2018	#00088833924	AZ/TOD	GROWTH FUND AMER INC CL C	0.00	3.38	93.0	0.00	3.14	3.14
03/01/2018	#00088893557		GROWTH FUND AMER INC CL C	0.00	1.68	93.0	0.00	1.56	1.56
03/01/2018	#00067266114	UPTON, JOAN	GROWTH FUND AMERICA INC CLASS A	0.00	1.20	93.0	0.00	1.12	1.12
03/01/2018	#00068061257	UPTON, JOAN	GROWTH FUND AMERICA INC CLASS A	0.00	53.43	93.0	0.00	49.69	49.69
03/01/2018	#00082603126	MCDONALD, CRAIG	GROWTH FUND AMERICA INC CLASS A	0.00	7.87	93.0	0.00	7.32	7.32
03/01/2018	#00087326387	ALEGRIAKIM	INCOME FUND AMER INC CL C	0.00	25.29	93.0	0.00	23.52	23.52
03/01/2018	#00067266112	UPTON, JOAN	INCOME FUND OF AMERICA INC CLASS A	0.00	5.92	93.0	0.00	5.51	5.51
03/01/2018	#00068061257	UPTON, JOAN	INCOME FUND OF AMERICA INC CLASS A	0.00	39.95	93.0	0.00	37.15	37.15
03/01/2018	#00082603126	MCDONALD, CRAIG	INCOME FUND OF AMERICA INC CLASS A	0.00	21.35	93.0	0.00	19.86	19.86
03/01/2018	#00068061257	UPTON, JOAN	INVESTMENT CO AMER CLASS A	0.00	8.15	93.0	0.00	7.58	7.58
03/01/2018	#00082603126	MCDONALD, CRAIG	INVESTMENT CO AMER CLASS A	0.00	6.53	93.0	0.00	6.07	6.07
03/01/2018	#00068061257	UPTON, JOAN	NEW PERSPECTIVE FUND INC CL A	0.00	3.93	93.0	0.00	3.65	3.65
03/01/2018	#00082603126	MCDONALD, CRAIG	SHORT-TERM BOND FUND OF AMERICA-A	0.00	2.36	93.0	0.00	2.19	2.19
03/01/2018	#00068061257	UPTON, JOAN	SMALLCAP WORLD FUND INC CLASS A	0.00	3.76	93.0	0.00	3.50	3.50
03/01/2018	#00082603126	MCDONALD, CRAIG	SMALLCAP WORLD FUND INC CLASS A	0.00	1.24	93.0	0.00	1.15	1.15
03/09/2018	#00009519595		AIG 00138678	0.00	4.71	93.0	0.00	4.38	4.38
03/09/2018	#00009532919		AIG 86704B30	0.00	61.98	93.0	0.00	57.64	57.64
03/09/2018	#00009532923		AIG 86704B30	0.00	59.85	93.0	0.00	55.66	55.66
03/09/2018	#00009438579	LOTT, UNIKA	SUNAMERICA FOCUSED DIVIDEND STRAT C	0.00	0.63	93.0	0.00	0.59	0.59
03/09/2018	#00009519595		SUNAMERICA FOCUSED MULTI-ASSET CL C	0.00	48.05	93.0	0.00	44.69	44.69
03/14/2018	#00002043049	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	30.30	93.0	0.00	28.18	28.18
03/14/2018	#00002043369	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	36.05	93.0	0.00	33.53	33.53
03/14/2018	#00002043370	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	13.81	93.0	0.00	12.84	12.84
03/14/2018	#00002043540	COELET, WALTER	ALTERNATIVE ASSET ALLOCATION C	0.00	34.11	93.0	0.00	31.72	31.72
03/14/2018	#00002043586	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	13.57	93.0	0.00	12.62	12.62
03/14/2018	#00002124057	VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	13.09	93.0	0.00	12.17	12.17

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00002131339 VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	36.28	93.0	0.00	33.74	0.00	33.74
03/14/2018	#00002195006 VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	0.79	93.0	0.00	0.73	0.00	0.73
03/14/2018	#00002328261 VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION C	0.00	4.13	93.0	0.00	3.84	0.00	3.84
03/14/2018	#00003376080 GOODENOW,	ALTERNATIVE ASSET ALLOCATION C	0.00	7.25	93.0	0.00	6.74	0.00	6.74
03/14/2018	#00003377061 ARMIJO, MAUREEN	ALTERNATIVE ASSET ALLOCATION C	0.00	7.88	93.0	0.00	7.33	0.00	7.33
03/14/2018	#00001204768 VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION FUND A	0.00	4.09	93.0	0.00	3.80	0.00	3.80
03/14/2018	#00002117176 VAN OORT VAR	ALTERNATIVE ASSET ALLOCATION FUND A	0.00	2.56	93.0	0.00	2.38	0.00	2.38
03/14/2018	#00002324317 VAN OORT VAR	EMERGING MARKETS C	0.00	6.36	93.0	0.00	5.91	0.00	5.91
03/14/2018	#00002324316 VAN OORT VAR	INTERNATIONAL VALUE EQUITY C	0.00	5.56	93.0	0.00	5.17	0.00	5.17
03/14/2018	#00001723982 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	0.83	93.0	0.00	0.77	0.00	0.77
03/14/2018	#00001823419 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	17.61	93.0	0.00	16.38	0.00	16.38
03/14/2018	#00001848312 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	15.83	93.0	0.00	14.72	0.00	14.72
03/14/2018	#00001848320 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	15.83	93.0	0.00	14.72	0.00	14.72
03/14/2018	#00002191441 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	1.22	93.0	0.00	1.13	0.00	1.13
03/14/2018	#00002191446 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	0.34	93.0	0.00	0.32	0.00	0.32
03/14/2018	#00002193985 VAN OORT VAR	JOHN HANCOCK - LIFESTYLE GROWTH A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
03/14/2018	#00002192280 VAN OORT VAR	JOHN HANCOCK INVT TR SOVEREIGN BALA	0.00	138.36	93.0	0.00	128.67	0.00	128.67
03/14/2018	#00002324315 VAN OORT VAR	JOHN HANCOCK INVT TR SOVEREIGN BALA	0.00	18.32	93.0	0.00	17.04	0.00	17.04
03/14/2018	#00001823456 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO A	0.00	38.42	93.0	0.00	35.73	0.00	35.73
03/14/2018	#00006549081 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO A	0.00	0.45	93.0	0.00	0.42	0.00	0.42
03/14/2018	#00006604847 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
03/14/2018	#00002492486 DOAIERZS	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.24	93.0	0.00	0.22	0.00	0.22
03/14/2018	#00003372763 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	16.39	93.0	0.00	15.24	0.00	15.24
03/14/2018	#00001826696 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	175.06	93.0	0.00	162.81	0.00	162.81
03/14/2018	#00001827540 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	53.12	93.0	0.00	49.40	0.00	49.40
03/14/2018	#00001827541 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	28.71	93.0	0.00	26.70	0.00	26.70
03/14/2018	#00001827544 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	52.92	93.0	0.00	49.22	0.00	49.22
03/14/2018	#00001827545 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	108.71	93.0	0.00	101.10	0.00	101.10
03/14/2018	#00001827546 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	117.19	93.0	0.00	108.99	0.00	108.99
03/14/2018	#00001827549 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	49.59	93.0	0.00	46.12	0.00	46.12
03/14/2018	#00001828040 ERIKSON, JANE	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.90	93.0	0.00	12.93	0.00	12.93
03/14/2018	#00001828047 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	142.77	93.0	0.00	132.78	0.00	132.78
03/14/2018	#00001844059 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	49.38	93.0	0.00	45.92	0.00	45.92
03/14/2018	#00001844073 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	4.94	93.0	0.00	4.59	0.00	4.59
03/14/2018	#00001844077 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	94.10	93.0	0.00	87.51	0.00	87.51
03/14/2018	#00001844081 RONCO	LIFESTYLE BALANCED PORTFOLIO C	0.00	74.58	93.0	0.00	69.36	0.00	69.36

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00001846001 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	26.58	93.0	0.00	24.72	0.00	24.72
03/14/2018	#00001846003 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.55	93.0	0.00	5.16	0.00	5.16
03/14/2018	#00001849129 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.89	93.0	0.00	0.83	0.00	0.83
03/14/2018	#00001853740 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	39.82	93.0	0.00	37.03	0.00	37.03
03/14/2018	#00001853745 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.63	93.0	0.00	0.59	0.00	0.59
03/14/2018	#00001853746 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.46	93.0	0.00	0.43	0.00	0.43
03/14/2018	#00001854456 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.37	93.0	0.00	1.27	0.00	1.27
03/14/2018	#00001854646 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	27.83	93.0	0.00	25.88	0.00	25.88
03/14/2018	#00001855394 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	49.45	93.0	0.00	45.99	0.00	45.99
03/14/2018	#00001862151 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	73.47	93.0	0.00	68.33	0.00	68.33
03/14/2018	#00001862155 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	2.03	93.0	0.00	1.89	0.00	1.89
03/14/2018	#00001862156 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	2.89	93.0	0.00	2.69	0.00	2.69
03/14/2018	#00001949826 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	188.55	93.0	0.00	175.35	0.00	175.35
03/14/2018	#00001949829 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	188.60	93.0	0.00	175.40	0.00	175.40
03/14/2018	#00001956843 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	131.46	93.0	0.00	122.26	0.00	122.26
03/14/2018	#00001956844 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	101.90	93.0	0.00	94.77	0.00	94.77
03/14/2018	#00001958760 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	11.30	93.0	0.00	10.51	0.00	10.51
03/14/2018	#00001959942 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	12.45	93.0	0.00	11.58	0.00	11.58
03/14/2018	#00001959943 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	158.44	93.0	0.00	147.35	0.00	147.35
03/14/2018	#00001959945 VARIOUS VANOORT	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.41	93.0	0.00	5.03	0.00	5.03
03/14/2018	#00002000799 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	194.19	93.0	0.00	180.60	0.00	180.60
03/14/2018	#00002003571 LAMPE, TINA	LIFESTYLE BALANCED PORTFOLIO C	0.00	194.18	93.0	0.00	180.59	0.00	180.59
03/14/2018	#00002016848 TENNANT, NANCY	LIFESTYLE BALANCED PORTFOLIO C	0.00	19.43	93.0	0.00	18.07	0.00	18.07
03/14/2018	#00002018295 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	11.82	93.0	0.00	10.99	0.00	10.99
03/14/2018	#00002018299 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	12.28	93.0	0.00	11.42	0.00	11.42
03/14/2018	#00002019842 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.00	93.0	0.00	4.65	0.00	4.65
03/14/2018	#00002019850 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	16.36	93.0	0.00	15.21	0.00	15.21
03/14/2018	#00002019851 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	37.09	93.0	0.00	34.49	0.00	34.49
03/14/2018	#00002019852 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	27.63	93.0	0.00	25.70	0.00	25.70
03/14/2018	#00002019854 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	8.40	93.0	0.00	7.81	0.00	7.81
03/14/2018	#00002032728 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	39.94	93.0	0.00	37.14	0.00	37.14
03/14/2018	#00002032734 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.31	93.0	0.00	1.22	0.00	1.22
03/14/2018	#00002036211 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	36.30	93.0	0.00	33.76	0.00	33.76
03/14/2018	#00002042083 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	41.57	93.0	0.00	38.66	0.00	38.66
03/14/2018	#00002043174 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	72.63	93.0	0.00	67.55	0.00	67.55
03/14/2018	#00002043539 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.89	93.0	0.00	12.92	0.00	12.92

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00002092232 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	29.52	93.0	0.00	27.45	0.00	27.45
03/14/2018	#00002106091 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	207.82	93.0	0.00	193.27	0.00	193.27
03/14/2018	#00002112762 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	21.86	93.0	0.00	20.33	0.00	20.33
03/14/2018	#00002112765 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.01	93.0	0.00	12.10	0.00	12.10
03/14/2018	#00002112767 VARIOUS VANOORT	LIFESTYLE BALANCED PORTFOLIO C	0.00	20.94	93.0	0.00	19.47	0.00	19.47
03/14/2018	#00002117933 ROSS, NANCY	LIFESTYLE BALANCED PORTFOLIO C	0.00	0.10	93.0	0.00	0.09	0.00	0.09
03/14/2018	#00002123059 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	53.81	93.0	0.00	50.04	0.00	50.04
03/14/2018	#00002129506 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	43.71	93.0	0.00	40.65	0.00	40.65
03/14/2018	#00002133738 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	38.15	93.0	0.00	35.48	0.00	35.48
03/14/2018	#00002158112 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.41	93.0	0.00	5.03	0.00	5.03
03/14/2018	#00002158196 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	27.45	93.0	0.00	25.53	0.00	25.53
03/14/2018	#00002160232 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	34.08	93.0	0.00	31.69	0.00	31.69
03/14/2018	#00002164411 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	5.08	93.0	0.00	4.72	0.00	4.72
03/14/2018	#00002164622 TAYLOR, PAUL	LIFESTYLE BALANCED PORTFOLIO C	0.00	55.66	93.0	0.00	51.76	0.00	51.76
03/14/2018	#00002170084 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	55.18	93.0	0.00	51.32	0.00	51.32
03/14/2018	#00002175276 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	442.65	93.0	0.00	411.66	0.00	411.66
03/14/2018	#00002179219 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	75.08	93.0	0.00	69.82	0.00	69.82
03/14/2018	#00002180400 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	179.00	93.0	0.00	166.47	0.00	166.47
03/14/2018	#00002187190 FITZNER, SHAWN	LIFESTYLE BALANCED PORTFOLIO C	0.00	94.96	93.0	0.00	88.31	0.00	88.31
03/14/2018	#00002327664 VARIOUS VANOORT	LIFESTYLE BALANCED PORTFOLIO C	0.00	3.59	93.0	0.00	3.34	0.00	3.34
03/14/2018	#00002337799 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	3.42	93.0	0.00	3.18	0.00	3.18
03/14/2018	#00002337800 VARIOUS BARRONE	LIFESTYLE BALANCED PORTFOLIO C	0.00	11.96	93.0	0.00	11.12	0.00	11.12
03/14/2018	#00002389234 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	15.24	93.0	0.00	14.17	0.00	14.17
03/14/2018	#00002401558 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	24.22	93.0	0.00	22.52	0.00	22.52
03/14/2018	#00002402675 JOLLY,	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.07	93.0	0.00	1.00	0.00	1.00
03/14/2018	#00002402676 JOLLY,	LIFESTYLE BALANCED PORTFOLIO C	0.00	1.36	93.0	0.00	1.26	0.00	1.26
03/14/2018	#00002404598 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	10.07	93.0	0.00	9.37	0.00	9.37
03/14/2018	#00002408810 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	13.11	93.0	0.00	12.19	0.00	12.19
03/14/2018	#00003372765 VAN OORT VAR	LIFESTYLE BALANCED PORTFOLIO C	0.00	16.39	93.0	0.00	15.24	0.00	15.24
03/14/2018	#00002015621 VAN OORT VAR	LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	17.05	93.0	0.00	15.86	0.00	15.86
03/14/2018	#00002015624 VAN OORT VAR	LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	33.33	93.0	0.00	31.00	0.00	31.00
03/14/2018	#00002394900 VAN OORT VAR	LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	0.53	93.0	0.00	0.49	0.00	0.49
03/14/2018	#00001203162 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.01	93.0	0.00	0.94	0.00	0.94
03/14/2018	#00001826692 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	95.61	93.0	0.00	88.92	0.00	88.92
03/14/2018	#00001826694 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	79.92	93.0	0.00	74.33	0.00	74.33
03/14/2018	#00001826697 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	100.45	93.0	0.00	93.42	0.00	93.42

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00001828049 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	263.60	93.0	0.00	245.15	0.00	245.15
03/14/2018	#00001828050 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	24.62	93.0	0.00	22.90	0.00	22.90
03/14/2018	#00001828053 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	22.12	93.0	0.00	20.57	0.00	20.57
03/14/2018	#00001829819 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	4.77	93.0	0.00	4.44	0.00	4.44
03/14/2018	#00001844062 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	80.39	93.0	0.00	74.76	0.00	74.76
03/14/2018	#00001844067 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	123.68	93.0	0.00	115.02	0.00	115.02
03/14/2018	#00001844071 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	5.77	93.0	0.00	5.37	0.00	5.37
03/14/2018	#00001846371 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	2.19	93.0	0.00	2.04	0.00	2.04
03/14/2018	#00001846378 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	18.58	93.0	0.00	17.28	0.00	17.28
03/14/2018	#00001846381 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	4.94	93.0	0.00	4.59	0.00	4.59
03/14/2018	#00001846385 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	38.08	93.0	0.00	35.41	0.00	35.41
03/14/2018	#00002004314 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	22.42	93.0	0.00	20.85	0.00	20.85
03/14/2018	#00002017919 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.28	93.0	0.00	5.84	0.00	5.84
03/14/2018	#00002020227 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	39.95	93.0	0.00	37.15	0.00	37.15
03/14/2018	#00002043536 COELET, MARY	LIFESTYLE GROWTH PORTFOLIO C	0.00	67.34	93.0	0.00	62.63	0.00	62.63
03/14/2018	#00002043537 COELET, WALTER	LIFESTYLE GROWTH PORTFOLIO C	0.00	78.85	93.0	0.00	73.33	0.00	73.33
03/14/2018	#00002098770 LOTT, UNIKA	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.70	93.0	0.00	6.23	0.00	6.23
03/14/2018	#00002105876 MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	0.00	29.13	93.0	0.00	27.09	0.00	27.09
03/14/2018	#00002111491 BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	0.00	10.31	93.0	0.00	9.59	0.00	9.59
03/14/2018	#00002111492 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	16.47	93.0	0.00	15.32	0.00	15.32
03/14/2018	#00002112769 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	12.34	93.0	0.00	11.48	0.00	11.48
03/14/2018	#00002112770 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.43	93.0	0.00	5.98	0.00	5.98
03/14/2018	#00002124056 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	75.29	93.0	0.00	70.02	0.00	70.02
03/14/2018	#00002130594 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	15.65	93.0	0.00	14.55	0.00	14.55
03/14/2018	#00002134391 MEINDL, ROBERT	LIFESTYLE GROWTH PORTFOLIO C	0.00	13.70	93.0	0.00	12.74	0.00	12.74
03/14/2018	#00002162742 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	11.89	93.0	0.00	11.06	0.00	11.06
03/14/2018	#00002164899 BOYER, JOHN	LIFESTYLE GROWTH PORTFOLIO C	0.00	11.96	93.0	0.00	11.12	0.00	11.12
03/14/2018	#00002170093	LIFESTYLE GROWTH PORTFOLIO C	0.00	44.15	93.0	0.00	41.06	0.00	41.06
03/14/2018	#00002179564 COELEROSSA	LIFESTYLE GROWTH PORTFOLIO C	0.00	11.22	93.0	0.00	10.43	0.00	10.43
03/14/2018	#00002190043 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.62	93.0	0.00	3.37	0.00	3.37
03/14/2018	#00002192088 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	17.46	93.0	0.00	16.24	0.00	16.24
03/14/2018	#00002192142 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	7.52	93.0	0.00	6.99	0.00	6.99
03/14/2018	#00002192963 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.58	93.0	0.00	3.33	0.00	3.33
03/14/2018	#00002193302 HESS, TEREK	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.61	93.0	0.00	1.50	0.00	1.50
03/14/2018	#00002195005 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.62	93.0	0.00	3.37	0.00	3.37
03/14/2018	#00002195815 FITZNSIENM	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.62	93.0	0.00	1.51	0.00	1.51



COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00002196011 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	38.74	93.0	0.00	36.03	0.00	36.03
03/14/2018	#00002197358 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	8.52	93.0	0.00	7.92	0.00	7.92
03/14/2018	#00002327396 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	2.71	93.0	0.00	2.52	0.00	2.52
03/14/2018	#00002336243 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	9.53	93.0	0.00	8.86	0.00	8.86
03/14/2018	#00002401559 THEBEANNAL	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.17	93.0	0.00	1.09	0.00	1.09
03/14/2018	#00002404190 NEVILJAMEM	LIFESTYLE GROWTH PORTFOLIO C	0.00	3.76	93.0	0.00	3.50	0.00	3.50
03/14/2018	#00002404601 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	5.04	93.0	0.00	4.69	0.00	4.69
03/14/2018	#00002404602 DAILE, JULIA	LIFESTYLE GROWTH PORTFOLIO C	0.00	1.22	93.0	0.00	1.13	0.00	1.13
03/14/2018	#00002493087	LIFESTYLE GROWTH PORTFOLIO C	0.00	0.33	93.0	0.00	0.31	0.00	0.31
03/14/2018	#00002493090 VAN OORT VAR	LIFESTYLE GROWTH PORTFOLIO C	0.00	7.13	93.0	0.00	6.63	0.00	6.63
03/14/2018	#00003372708 FONTANA,	LIFESTYLE GROWTH PORTFOLIO C	0.00	0.05	93.0	0.00	0.05	0.00	0.05
03/14/2018	#00003372710 FONTANA,	LIFESTYLE GROWTH PORTFOLIO C	0.00	6.18	93.0	0.00	5.75	0.00	5.75
03/14/2018	#00001202629 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	8.47	93.0	0.00	7.88	0.00	7.88
03/14/2018	#00001203274 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	42.41	93.0	0.00	39.44	0.00	39.44
03/14/2018	#00001849129 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	3.36	93.0	0.00	3.12	0.00	3.12
03/14/2018	#00002012319 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	22.58	93.0	0.00	21.00	0.00	21.00
03/14/2018	#00002012322 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	1.09	93.0	0.00	1.01	0.00	1.01
03/14/2018	#00002037352 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	58.98	93.0	0.00	54.85	0.00	54.85
03/14/2018	#00002039253	LIFESTYLE MODERATE PORTFOLIO C	0.00	47.52	93.0	0.00	44.19	0.00	44.19
03/14/2018	#00002039254 TRUEBLOOD,	LIFESTYLE MODERATE PORTFOLIO C	0.00	14.35	93.0	0.00	13.35	0.00	13.35
03/14/2018	#00002131338 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	132.62	93.0	0.00	123.34	0.00	123.34
03/14/2018	#00002133057 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	87.62	93.0	0.00	81.49	0.00	81.49
03/14/2018	#00002158130 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	31.64	93.0	0.00	29.43	0.00	29.43
03/14/2018	#00002160073 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	117.43	93.0	0.00	109.21	0.00	109.21
03/14/2018	#00002337794 NEVILJAMEM	LIFESTYLE MODERATE PORTFOLIO C	0.00	73.99	93.0	0.00	68.81	0.00	68.81
03/14/2018	#00002493062 VAN OORT VAR	LIFESTYLE MODERATE PORTFOLIO C	0.00	0.25	93.0	0.00	0.23	0.00	0.23
03/14/2018	#00002005454 VAN OORT VAR	RETIREMENT LIVING THROUGH 2030 PORT	0.00	11.50	93.0	0.00	10.70	0.00	10.70
03/14/2018	#00002106006 VAN OORT VAR	RETIREMENT LIVING THROUGH 2030 PORT	0.00	23.42	93.0	0.00	21.78	0.00	21.78
03/14/2018	#00003372764 VAN OORT VAR	TAX-FREE BOND C	0.00	4.91	93.0	0.00	4.57	0.00	4.57
03/14/2018	#00001949827 VAN OORT VAR	TAX-FREE BOND C	0.00	22.28	93.0	0.00	20.72	0.00	20.72
03/14/2018	#00001949830 VAN OORT VAR	TAX-FREE BOND C	0.00	22.25	93.0	0.00	20.69	0.00	20.69
03/14/2018	#00002039255 VAN OORT VAR	TAX-FREE BOND C	0.00	0.98	93.0	0.00	0.91	0.00	0.91
03/14/2018	#00002117178 VAN OORT VAR	TAX-FREE BOND C	0.00	25.00	93.0	0.00	23.25	0.00	23.25
03/14/2018	#00002157273 VAN OORT VAR	TAX-FREE BOND C	0.00	184.93	93.0	0.00	171.98	0.00	171.98
03/14/2018	#00002172207 VAN OORT VAR	TAX-FREE BOND C	0.00	188.33	93.0	0.00	175.15	0.00	175.15
03/14/2018	#00003372766 VAN OORT VAR	TAX-FREE BOND C	0.00	4.91	93.0	0.00	4.57	0.00	4.57

COMMISSION STATEMENT for KYLE VAN OORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/14/2018	#00002043173	COELET, MARY	US GLOBAL LEADERS GROWTH C	0.00	24.56	93.0	0.00	22.84	22.84
03/14/2018	#00002131340	VAN OORT VAR	US GLOBAL LEADERS GROWTH C	0.00	21.65	93.0	0.00	20.13	20.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$7,941.16		\$0.00	\$7,385.26	\$7,385.26
*** BROKER TRANSACTIONS TOTAL ***				\$72,942.21	\$8,986.22		\$0.00	\$8,357.23	\$8,357.23

ADJUSTMENTS

04/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,357.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$7,573.23