

STATEMENT

January 20, 2018

JOSHUA STROUD - LPCM

1742 Delrose Ave

Springfield, OR 97477-

BROKER #: 3018

BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for JOSHUA STROUD - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

12/01/2017 #92116648901	SOPER, DENNIS	FTJ	B	0.00	34.67	0.00	0.00	34.67	91.0	31.55
12/01/2017 #92116649901	SOPER, DENNIS	FTJ	B	0.00	34.98	0.00	0.00	34.98	91.0	31.83
12/01/2017 #92116649902	SOPER, DENNIS	FTJ	B	0.00	29.11	0.00	0.00	29.11	91.0	26.49
12/01/2017 #92116649903	SOPER, DENNIS	FTJ	B	0.00	27.82	0.00	0.00	27.82	91.0	25.32
12/01/2017 #92116649904	SOPER, DENNIS	FTJ	B	0.00	22.20	0.00	0.00	22.20	91.0	20.20
12/01/2017 #92116718801	LEE, LYNN	FTJ	B	0.00	22.69	0.00	0.00	22.69	91.0	20.65
12/01/2017 #92116769801	PRUITT, ROSEANNE	FTJ	B	0.00	17.33	0.00	0.00	17.33	91.0	15.77
12/01/2017 #92116769802	PRUITT, ROSEANNE	FTJ	B	0.00	14.42	0.00	0.00	14.42	91.0	13.12
12/01/2017 #92116769803	PRUITT, ROSEANNE	FTJ	B	0.00	13.78	0.00	0.00	13.78	91.0	12.54
12/01/2017 #92116769804	PRUITT, ROSEANNE	FTJ	B	0.00	10.99	0.00	0.00	10.99	91.0	10.00
12/01/2017 #92116770301	PRUITT, ROSEANNE	FTJ	B	0.00	94.25	0.00	0.00	94.25	91.0	85.77
12/01/2017 #92116855601	CRUMPACKER,	FTJ	B	0.00	34.93	0.00	0.00	34.93	91.0	31.79
12/01/2017 #92116855602	CRUMPACKER,	FTJ	B	0.00	29.09	0.00	0.00	29.09	91.0	26.47
12/01/2017 #92116855603	CRUMPACKER,	FTJ	B	0.00	27.26	0.00	0.00	27.26	91.0	24.81
12/01/2017 #92116855604	CRUMPACKER,	FTJ	B	0.00	21.58	0.00	0.00	21.58	91.0	19.64
12/01/2017 #92116910501	HASCALL, GREG	FTJ	B	0.00	29.44	0.00	0.00	29.44	91.0	26.79
12/01/2017 #92116910502	HASCALL, GREG	FTJ	B	0.00	22.83	0.00	0.00	22.83	91.0	20.78
12/01/2017 #92116910503	HASCALL, GREG	FTJ	B	0.00	5.44	0.00	0.00	5.44	91.0	4.95
12/01/2017 #92116912901	HASCALL, CONNIE	FTJ	B	0.00	12.12	0.00	0.00	12.12	91.0	11.03
12/01/2017 #92116912903	HASCALL, CONNIE	FTJ	B	0.00	2.24	0.00	0.00	2.24	91.0	2.04
12/01/2017 #92116913901	HASCALL, CONNIE	FTJ	B	0.00	14.80	0.00	0.00	14.80	91.0	13.47
12/01/2017 #92116913903	HASCALL, CONNIE	FTJ	B	0.00	2.67	0.00	0.00	2.67	91.0	2.43
12/01/2017 #92116985101	OLSEN, BREKKE	FTJ	B	0.00	14.16	0.00	0.00	14.16	91.0	12.89
12/01/2017 #92116985103	OLSEN, BREKKE	FTJ	B	0.00	2.58	0.00	0.00	2.58	91.0	2.35
12/01/2017 #92116986101	OLSEN, BREKKE	FTJ	B	0.00	0.42	0.00	0.00	0.42	91.0	0.38
12/01/2017 #92116986102	OLSEN, BREKKE	FTJ	B	0.00	0.27	0.00	0.00	0.27	91.0	0.25
12/01/2017 #92116986103	OLSEN, BREKKE	FTJ	B	0.00	0.07	0.00	0.00	0.07	91.0	0.06
12/01/2017 #92117246701	EATON, SHAWN	FTJ	B	0.00	18.98	0.00	0.00	18.98	91.0	17.27
12/01/2017 #92117246703	EATON, SHAWN	FTJ	B	0.00	3.63	0.00	0.00	3.63	91.0	3.30
12/01/2017 #92117269901	LINBARGER,	FTJ	B	0.00	0.70	0.00	0.00	0.70	91.0	0.64
12/01/2017 #92117274901	MICKELSON, MARITA	FTJ	B	0.00	7.35	0.00	0.00	7.35	91.0	6.69

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
12/01/2017	#92117274902	MICKELSON, MARITA FTJ	B	0.00	6.01	0.00	0.00	6.01	91.0	5.47
12/01/2017	#92117274903	MICKELSON, MARITA FTJ	B	0.00	1.41	0.00	0.00	1.41	91.0	1.28
12/01/2017	#92117277401	BUSH, JASON FTJ	B	0.00	6.61	0.00	0.00	6.61	91.0	6.02
12/01/2017	#92117277403	BUSH, JASON FTJ	B	0.00	2.79	0.00	0.00	2.79	91.0	2.54
12/01/2017	#92117279401	GABRIO, FTJ	B	0.00	4.00	0.00	0.00	4.00	91.0	3.64
12/01/2017	#92117279402	GABRIO, FTJ	B	0.00	0.66	0.00	0.00	0.66	91.0	0.60
12/01/2017	#92117378801	MICKELSON, MARITA FTJ	B	0.00	19.09	0.00	0.00	19.09	91.0	17.37
12/01/2017	#92117378803	MICKELSON, MARITA FTJ	B	0.00	3.64	0.00	0.00	3.64	91.0	3.31
12/01/2017	#92117428701	MCNEELEY, TROY FTJ	B	0.00	0.29	0.00	0.00	0.29	91.0	0.26
12/01/2017	#92117428702	MCNEELEY, TROY FTJ	B	0.00	0.19	0.00	0.00	0.19	91.0	0.17
12/01/2017	#92117428703	MCNEELEY, TROY FTJ	B	0.00	0.04	0.00	0.00	0.04	91.0	0.04
12/01/2017	#92117451701	CALDERON, MATTHEW FTJ	B	0.00	0.43	0.00	0.00	0.43	91.0	0.39
12/01/2017	#92117490101	PLEICH JTEN, FTJ	B	0.00	0.05	0.00	0.00	0.05	91.0	0.05
12/29/2017	#148677	CLS INVESTMENT FEES	B	0.00	19.85	0.00	0.00	19.85	91.0	18.06
01/01/2018	#557892	TCA STROUD VAR CMC GLOBAL BALANCED ETF	B	29,239.89	-14.62	0.00	0.00	-14.62	91.0	-13.30
01/01/2018	#558690	TCA STROUD VAR CMC GLOBAL BALANCED ETF	B	32,909.96	-16.45	0.00	0.00	-16.45	91.0	-14.97
01/01/2018	#558657	TCA STROUD VAR CMC GLOBAL FR&INC ETF	B	13,023.67	-6.51	0.00	0.00	-6.51	91.0	-5.92
01/01/2018	#561379	TCA STROUD VAR CMC GLOBAL FR&INC ETF	B	49,243.22	-24.62	0.00	0.00	-24.62	91.0	-22.40
01/01/2018	#558687	TCA STROUD VAR DO NOT SELL SECURITIES	B	42,657.61	-12.44	0.00	0.00	-12.44	91.0	-11.32
01/01/2018	#556984	TCA STROUD VAR DO NOT SELL SECURITIES	B	15,543.21	-4.53	0.00	0.00	-4.53	91.0	-4.12
01/01/2018	#556999	TCA STROUD VAR DO NOT SELL SECURITIES	B	14,518.20	-4.23	0.00	0.00	-4.23	91.0	-3.85
01/01/2018	#558657	TCA STROUD VAR DO NOT SELL SECURITIES	B	86,249.19	-25.16	0.00	0.00	-25.16	91.0	-22.90
01/01/2018	#558683	TCA STROUD VAR DO NOT SELL SECURITIES	B	2,543.71	-0.74	0.00	0.00	-0.74	91.0	-0.67
01/01/2018	#557000	TCA STROUD VAR DO NOT SELL SECURITIES	B	31,364.98	-9.15	0.00	0.00	-9.15	91.0	-8.33
01/01/2018	#558657	TCA STROUD VAR LPCM AGGR 40/40/20	B	13,200.00	-6.60	0.00	0.00	-6.60	91.0	-6.01
01/01/2018	#558657	TCA STROUD VAR LPCM AGGR 40/40/20	B	13,200.00	19.25	0.00	0.00	19.25	91.0	17.52
01/01/2018	#557000	TCA STROUD VAR LPCM MOD 30/30/40	B	16,382.88	-8.19	0.00	0.00	-8.19	91.0	-7.45
01/01/2018	#557892	TCA STROUD VAR LPCM MOD 30/30/40	B	19,894.06	-9.95	0.00	0.00	-9.95	91.0	-9.05
01/01/2018	#558690	TCA STROUD VAR LPCM MOD 30/30/40	B	33,588.73	-16.79	0.00	0.00	-16.79	91.0	-15.28
01/01/2018	#561379	TCA STROUD VAR LPCM MOD 30/30/40	B	112,677.62	-56.34	0.00	0.00	-56.34	91.0	-51.27
01/01/2018	#557000	TCA STROUD VAR LPCM MOD 30/30/40	B	16,382.88	23.89	0.00	0.00	23.89	91.0	21.74
01/01/2018	#557892	TCA STROUD VAR LPCM MOD 30/30/40	B	19,894.06	29.01	0.00	0.00	29.01	91.0	26.40
01/01/2018	#558690	TCA STROUD VAR LPCM MOD 30/30/40	B	33,588.73	48.98	0.00	0.00	48.98	91.0	44.57
01/01/2018	#561379	TCA STROUD VAR LPCM MOD 30/30/40	B	112,677.62	164.32	0.00	0.00	164.32	91.0	149.53
01/01/2018	#558657	TCA STROUD VAR TCA FEES	B	13,023.67	18.99	0.00	0.00	18.99	91.0	17.28
01/01/2018	#561379	TCA STROUD VAR TCA FEES	B	49,243.22	71.81	0.00	0.00	71.81	91.0	65.35
01/01/2018	#557892	TCA STROUD VAR TCA FEES	B	29,239.89	42.64	0.00	0.00	42.64	91.0	38.80
01/01/2018	#558690	TCA STROUD VAR TCA FEES	B	32,909.96	48.00	0.00	0.00	48.00	91.0	43.68
01/01/2018	#557814	TCA STROUD VAR TCA PLATFORM FEE	B	552.17	-0.16	0.00	0.00	-0.16	91.0	-0.15
01/01/2018	#556984	TCA STROUD VAR TCA PLATFORM FEE	B	11,515.00	-3.36	0.00	0.00	-3.36	91.0	-3.06

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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

01/01/2018 #556999	TCA STROUD VAR	TCA PLATFORM FEE	B	4,541.71	-1.32	0.00	0.00	-1.32	91.0	-1.20
01/01/2018 #557032	TCA STROUD VAR	TCA PLATFORM FEE	B	50.00	-0.01	0.00	0.00	-0.01	91.0	-0.01
01/01/2018 #558619	TCA STROUD VAR	TCA PLATFORM FEE	B	11,651.06	-3.40	0.00	0.00	-3.40	91.0	-3.09
* RIA SUBTOTAL *				\$861,506.90	\$880.18	\$0.00	\$0.00	\$880.18		\$800.99
*** BROKER TRANSACTIONS TOTAL ***				\$861,506.90	\$880.18	\$0.00	\$0.00	\$880.18		\$800.99

ADJUSTMENTS

01/20/2018	ADMIN FEE: ADMIN FEE									-260.00
01/20/2018	E & O INSURANCE: E & O INSURANCE									-179.00
01/20/2018	CIRCLEBLACK: CIRCLEBLACK									-175.00
*** ADJUSTMENTS TOTAL ***										\$-614.00

BROKER COMMISSION TOTALS

Broker Transactions	\$800.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-614.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$186.99
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