

**COMMISSION STATEMENT****February 28, 2018**KEITH, L128 LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|--------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 02/12/2018 | AMBRIOLE, JANICE | ATLAS CAPITAL MANAGEMENT | 0.00 | 40.79 | 0.00 | 40.79 | 80.0 | 32.63 |
| 02/12/2018 | ASHBAUGH, SUSANNA | ATLAS CAPITAL MANAGEMENT | 0.00 | 52.43 | 0.00 | 52.43 | 80.0 | 41.94 |
| 02/12/2018 | BUSH, RANDALL | ATLAS CAPITAL MANAGEMENT | 0.00 | 201.31 | 0.00 | 201.31 | 80.0 | 161.05 |
| 02/12/2018 | BUSH, SANDRA | ATLAS CAPITAL MANAGEMENT | 0.00 | 67.35 | 0.00 | 67.35 | 80.0 | 53.88 |
| 02/12/2018 | BYRUM, GERALD | ATLAS CAPITAL MANAGEMENT | 0.00 | 125.89 | 0.00 | 125.89 | 80.0 | 100.71 |
| 02/12/2018 | BYRUM, GERALD | ATLAS CAPITAL MANAGEMENT | 0.00 | 97.15 | 0.00 | 97.15 | 80.0 | 77.72 |
| 02/12/2018 | COLE, TIMOTHY | ATLAS CAPITAL MANAGEMENT | 0.00 | 94.92 | 0.00 | 94.92 | 80.0 | 75.94 |
| 02/12/2018 | DIETSCH, DANIEL | ATLAS CAPITAL MANAGEMENT | 0.00 | 31.53 | 0.00 | 31.53 | 80.0 | 25.22 |
| 02/12/2018 | DIETSCH, RHONDA | ATLAS CAPITAL MANAGEMENT | 0.00 | 31.06 | 0.00 | 31.06 | 80.0 | 24.85 |
| 02/12/2018 | FEIPEL, ESTHER | ATLAS CAPITAL MANAGEMENT | 0.00 | 44.01 | 0.00 | 44.01 | 80.0 | 35.21 |
| 02/12/2018 | FORTE, ANTHONY | ATLAS CAPITAL MANAGEMENT | 0.00 | 138.84 | 0.00 | 138.84 | 80.0 | 111.07 |
| 02/12/2018 | GALENTINE, ASHLEY | ATLAS CAPITAL MANAGEMENT | 0.00 | 67.06 | 0.00 | 67.06 | 80.0 | 53.65 |
| 02/12/2018 | GALENTINE, JOSHUA | ATLAS CAPITAL MANAGEMENT | 0.00 | 65.14 | 0.00 | 65.14 | 80.0 | 52.11 |
| 02/12/2018 | GREENWALT, PAUL | ATLAS CAPITAL MANAGEMENT | 0.00 | 218.54 | 0.00 | 218.54 | 80.0 | 174.83 |
| 02/12/2018 | GUNSOLLEY, MARY | ATLAS CAPITAL MANAGEMENT | 0.00 | 147.04 | 0.00 | 147.04 | 80.0 | 117.63 |
| 02/12/2018 | HELLER, MONICA | ATLAS CAPITAL MANAGEMENT | 0.00 | 13.71 | 0.00 | 13.71 | 80.0 | 10.97 |
| 02/12/2018 | HELLER, MONICA | ATLAS CAPITAL MANAGEMENT | 0.00 | 3.20 | 0.00 | 3.20 | 80.0 | 2.56 |
| 02/12/2018 | HOLLIS, JAMES | ATLAS CAPITAL MANAGEMENT | 0.00 | 25.59 | 0.00 | 25.59 | 80.0 | 20.47 |
| 02/12/2018 | JOHNSON, JAMES | ATLAS CAPITAL MANAGEMENT | 0.00 | 163.86 | 0.00 | 163.86 | 80.0 | 131.09 |
| 02/12/2018 | JORDAN, NEAL | ATLAS CAPITAL MANAGEMENT | 0.00 | 511.15 | 0.00 | 511.15 | 80.0 | 408.92 |
| 02/12/2018 | KEMERLY, JASON | ATLAS CAPITAL MANAGEMENT | 0.00 | 94.26 | 0.00 | 94.26 | 80.0 | 75.41 |
| 02/12/2018 | LALLO, CHRISTINA | ATLAS CAPITAL MANAGEMENT | 0.00 | 727.12 | 0.00 | 727.12 | 80.0 | 581.70 |
| 02/12/2018 | LIPSCOMB, JOAN | ATLAS CAPITAL MANAGEMENT | 0.00 | 145.70 | 0.00 | 145.70 | 80.0 | 116.56 |
| 02/12/2018 | LIPSCOMB, SAMUEL | ATLAS CAPITAL MANAGEMENT | 0.00 | 148.53 | 0.00 | 148.53 | 80.0 | 118.82 |
| 02/12/2018 | MIZNER, FREDRIC | ATLAS CAPITAL MANAGEMENT | 0.00 | 45.17 | 0.00 | 45.17 | 80.0 | 36.14 |
| 02/12/2018 | MOUNSEY, CHRISTINE | ATLAS CAPITAL MANAGEMENT | 0.00 | 12.47 | 0.00 | 12.47 | 80.0 | 9.98 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|-----------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 02/12/2018 | MULLINS, CYNTHIA | ATLAS CAPITAL MANAGEMENT | 0.00 | 16.51 | 0.00 | 16.51 | 80.0 | 13.21 |
| 02/12/2018 | MURPHY, SUSAN | ATLAS CAPITAL MANAGEMENT | 0.00 | 899.82 | 0.00 | 899.82 | 80.0 | 719.86 |
| 02/12/2018 | MUSSEY, LORI | ATLAS CAPITAL MANAGEMENT | 0.00 | 60.24 | 0.00 | 60.24 | 80.0 | 48.19 |
| 02/12/2018 | NEWBAUER, CAMERON | ATLAS CAPITAL MANAGEMENT | 0.00 | 262.44 | 0.00 | 262.44 | 80.0 | 209.95 |
| 02/12/2018 | PLATT, GINA | ATLAS CAPITAL MANAGEMENT | 0.00 | 42.04 | 0.00 | 42.04 | 80.0 | 33.63 |
| 02/12/2018 | RAABE, MARIANNE | ATLAS CAPITAL MANAGEMENT | 0.00 | 39.25 | 0.00 | 39.25 | 80.0 | 31.40 |
| 02/12/2018 | RAMEY, JENNIFER | ATLAS CAPITAL MANAGEMENT | 0.00 | 9.74 | 0.00 | 9.74 | 80.0 | 7.79 |
| 02/12/2018 | REMENSCHNEIDER, DAVID | ATLAS CAPITAL MANAGEMENT | 0.00 | 54.18 | 0.00 | 54.18 | 80.0 | 43.34 |
| 02/12/2018 | REMENSCHNEIDER, DAVID | ATLAS CAPITAL MANAGEMENT | 0.00 | 115.81 | 0.00 | 115.81 | 80.0 | 92.65 |
| 02/12/2018 | ROBINSON, MINDY | ATLAS CAPITAL MANAGEMENT | 0.00 | 86.83 | 0.00 | 86.83 | 80.0 | 69.46 |
| 02/12/2018 | ROBINSON, MINDY | ATLAS CAPITAL MANAGEMENT | 0.00 | 23.18 | 0.00 | 23.18 | 80.0 | 18.54 |
| 02/12/2018 | ROGERS, BRADLEY | ATLAS CAPITAL MANAGEMENT | 0.00 | 6.72 | 0.00 | 6.72 | 80.0 | 5.38 |
| 02/12/2018 | ROGERS, JEAN | ATLAS CAPITAL MANAGEMENT | 0.00 | 6.61 | 0.00 | 6.61 | 80.0 | 5.29 |
| 02/12/2018 | ROSS, DEBRA | ATLAS CAPITAL MANAGEMENT | 0.00 | 322.75 | 0.00 | 322.75 | 80.0 | 258.20 |
| 02/12/2018 | SAMMETINGER, EVELYN | ATLAS CAPITAL MANAGEMENT | 0.00 | 103.15 | 0.00 | 103.15 | 80.0 | 82.52 |
| 02/12/2018 | SAMUDIO, LEE | ATLAS CAPITAL MANAGEMENT | 0.00 | 27.33 | 0.00 | 27.33 | 80.0 | 21.86 |
| 02/12/2018 | SCHENKE, JOSEPH | ATLAS CAPITAL MANAGEMENT | 0.00 | 64.44 | 0.00 | 64.44 | 80.0 | 51.55 |
| 02/12/2018 | SIEBER, AARON | ATLAS CAPITAL MANAGEMENT | 0.00 | 156.63 | 0.00 | 156.63 | 80.0 | 125.30 |
| 02/12/2018 | SORG, MARK | ATLAS CAPITAL MANAGEMENT | 0.00 | 101.27 | 0.00 | 101.27 | 80.0 | 81.02 |
| 02/12/2018 | SORG, MARK | ATLAS CAPITAL MANAGEMENT | 0.00 | 83.49 | 0.00 | 83.49 | 80.0 | 66.79 |
| 02/12/2018 | THOMAS, THELMA | ATLAS CAPITAL MANAGEMENT | 0.00 | 358.59 | 0.00 | 358.59 | 80.0 | 286.87 |
| 02/12/2018 | TULLOCH, DAVID | ATLAS CAPITAL MANAGEMENT | 0.00 | 80.93 | 0.00 | 80.93 | 80.0 | 64.74 |
| 02/12/2018 | TUTTLE, THOMAS | ATLAS CAPITAL MANAGEMENT | 0.00 | 566.29 | 0.00 | 566.29 | 80.0 | 453.03 |
| 02/12/2018 | WARSTLER, MARK | ATLAS CAPITAL MANAGEMENT | 0.00 | 49.56 | 0.00 | 49.56 | 80.0 | 39.65 |
| 02/12/2018 | WILBANKS, GREGORY | ATLAS CAPITAL MANAGEMENT | 0.00 | 150.60 | 0.00 | 150.60 | 80.0 | 120.48 |
| 02/12/2018 | WILLIAMS, RENETTA | ATLAS CAPITAL MANAGEMENT | 0.00 | 28.87 | 0.00 | 28.87 | 80.0 | 23.10 |
| 02/12/2018 | WILLING, LEONARD | ATLAS CAPITAL MANAGEMENT | 0.00 | 390.93 | 0.00 | 390.93 | 80.0 | 312.74 |
| 02/12/2018 | WINANS, BEVERLY | ATLAS CAPITAL MANAGEMENT | 0.00 | 34.81 | 0.00 | 34.81 | 80.0 | 27.85 |
| 02/16/2018 | WILLING, AARON | CLS INVESTMENTS | 22,123.81 | 50.31 | 0.00 | 50.31 | 80.0 | 40.25 |
| 02/16/2018 | WILLING, AARON | CLS INVESTMENTS | 1,239.22 | 2.78 | 0.00 | 2.78 | 80.0 | 2.22 |
| 02/16/2018 | WILLING, AARON | CLS INVESTMENTS | 4,027.84 | 8.94 | 0.00 | 8.94 | 80.0 | 7.15 |
| 02/16/2018 | WILLING, AARON | CLS INVESTMENTS | 13,519.48 | 29.26 | 0.00 | 29.26 | 80.0 | 23.41 |
| 01/29/2018 | HATTERY, KARI | JEFFERSON NATIONAL | 17,994.57 | 67.48 | 0.00 | 67.48 | 80.0 | 53.98 |

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|-----------------------------------|-------------------|--------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 01/29/2018 | WITMER, NEIL | JEFFERSON NATIONAL | 112,724.00 | 281.81 | 0.00 | 281.81 | 80.0 | 225.45 |
| 02/07/2018 | KAUZLICK, MICHAEL | JEFFERSON NATIONAL | 13.96 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| * RIA SUBTOTAL * | | | \$171,642.88 | \$7,897.44 | \$0.00 | \$7,897.44 | | \$6,317.93 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$171,642.88 | \$7,897.44 | \$0.00 | \$7,897.44 | | \$6,317.93 |

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|---|---------------------------|----------------------|--------------------|-------------------|---------------|-------------------|------|-------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209 | | | | | | | | |
| 02/16/2018 | BURLESON, CATHERINE | CLS INVESTMENTS | 83,150.91 | 75.74 | 0.00 | 75.74 | 80.0 | 60.59 |
| 02/15/2018 | FORT WAYNE, CITY OF | FIDELITY INVESTMENTS | 0.00 | 2,594.09 | 0.00 | 2,594.09 | 80.0 | 2,075.27 |
| 01/29/2018 | FIRE POLICE CITY, DB PLAN | PRINCIPAL LIFE INS | 0.00 | 720.45 | 0.00 | 720.45 | 80.0 | 576.36 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * | | | \$83,150.91 | \$3,390.28 | \$0.00 | \$3,390.28 | | \$2,712.22 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$83,150.91 | \$3,390.28 | \$0.00 | \$3,390.28 | | \$2,712.22 |

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| <u>OVERRIDE TRANSACTIONS</u> | | | | | | | | |
| BROKER: WILLIAM, L129 LAYMAN #207 | | | | | | | | |
| 02/12/2018 | BRUNTON, WILLIAM | ATLAS CAPITAL MANAGEMENT | 0.00 | 48.51 | 0.00 | 48.51 | 45.0 | 21.83 |
| 02/12/2018 | LINN, ROSANNA | ATLAS CAPITAL MANAGEMENT | 0.00 | 39.16 | 0.00 | 39.16 | 45.0 | 17.62 |
| 02/12/2018 | REHM, TAMARA | ATLAS CAPITAL MANAGEMENT | 0.00 | 14.05 | 0.00 | 14.05 | 45.0 | 6.32 |
| 02/16/2018 | BROWN, MATTHEW | CLS INVESTMENTS | 198,299.10 | 363.54 | 0.00 | 363.54 | 45.0 | 163.59 |
| 02/16/2018 | BROWN, MATTHEW | CLS INVESTMENTS | 49,999.99 | 105.84 | 0.00 | 105.84 | 45.0 | 47.63 |
| * WILLIAM, L129 LAYMAN SUBTOTAL * | | | \$248,299.09 | \$571.10 | \$0.00 | \$571.10 | | \$256.99 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$248,299.09 | \$571.10 | \$0.00 | \$571.10 | | \$256.99 |

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|---------------------------|--------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| ADJUSTMENTS | | | | | | | | |
| 02/28/2018 | | *FINRA: VA RA REG FEE | 0.00 | | | | | -40.00 |
| 02/28/2018 | | *MANAGED FEE EXPENSE: PERSHING IRA | 0.00 | | | | | -51.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-91.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$6,317.93 |
| Split Transactions | \$2,712.22 |
| Override Transactions | \$256.99 |
| Adjustments | \$-91.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$9,196.14 |
| Year-to-date Gross | \$92,680.80 |
| Year-to-date Earnings | \$74,670.27 |

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