

STATEMENT

February 20, 2018

RYLAN-TERMINATED ROZELL
5060 California Ave # 620
SUITE 620
Bakersfield, CA 93309

BROKER #: 052
BRANCH:

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/02/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
01/08/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.72
01/08/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	24.87	0.25	90.0	0.00	0.23	0.23
01/08/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.54
01/08/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	24.08	0.24	90.0	0.00	0.22	0.22
01/25/2018	#00085384248	MCGILL, JUSTIN	AMERICAN MUTUAL FUND-529A	50.00	2.50	90.0	0.00	2.25	2.25
01/25/2018	#00085384248	MCGILL, JUSTIN	THE BOND FUND OF AMERICA-529A	100.00	3.00	90.0	0.00	2.70	2.70
01/25/2018	#00085384248	MCGILL, JUSTIN	THE INCOME FUND OF AMERICA-529A	50.00	2.50	90.0	0.00	2.25	2.25
01/30/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	80.00	0.80	90.0	0.00	0.72	0.72
01/30/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	25.26	0.25	90.0	0.00	0.23	0.23
01/30/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	60.00	0.60	90.0	0.00	0.54	0.54
01/30/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	36.74	0.37	90.0	0.00	0.33	0.33
02/01/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
* MUTUAL FUNDS SUBTOTAL *			\$750.95	\$19.91		\$0.00	\$17.93	\$0.00	\$17.93
<u>PRODUCT CATEGORY: STOCKS</u>									
01/22/2018	#	LEMUCCHI, SUSAN	HALLIBURTON CO	30,525.00	75.00	85.0	0.00	63.75	45.75
01/22/2018	#	LEMUCCHI, SUSAN	HALLIBURTON CO	-30,525.00	-75.00	85.0	0.00	-63.75	-91.75
* STOCKS SUBTOTAL *			\$0.00	\$0.00		\$0.00	\$0.00	\$46.00	\$-46.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#1005787234	WARREN, MARLENE	L SERIES	92,098.88	76.75	90.0	0.00	69.08	69.08
12/31/2017	#1005787234	WARREN, MARLENE	L SERIES	121,352.78	131.47	90.0	0.00	118.32	118.32
12/31/2017	#009243506A	WATKINS, ERNEST	L SERIES	19,754.90	21.40	90.0	0.00	19.26	19.26
12/31/2017	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	99,657.72	83.05	90.0	0.00	74.75	74.75
01/31/2018	#1005787234	WARREN, MARLENE	L SERIES	93,923.66	78.27	90.0	0.00	70.44	70.44
01/31/2018	#1005787234	WARREN, MARLENE	L SERIES	123,757.19	134.07	90.0	0.00	120.66	120.66
01/31/2018	#009243506A	WATKINS, ERNEST	L SERIES	20,150.07	21.83	90.0	0.00	19.65	19.65
01/31/2018	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	101,040.90	84.20	90.0	0.00	75.78	75.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
* VARIABLE ANNUITIES SUBTOTAL *			\$671,736.10	\$631.04		\$0.00	\$567.94	\$0.00	\$567.94	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/31/2017	#01980141724	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	0.47	90.0	0.00	0.42	0.00	0.42
12/31/2017	#01980151129	TRUST,	DIVERSIFIED FUND CLASS R	0.00	0.63	90.0	0.00	0.57	0.00	0.57
12/31/2017	#01980153263	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	0.39	90.0	0.00	0.35	0.00	0.35
12/31/2017	#01980166122	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	0.11	90.0	0.00	0.10	0.00	0.10
12/31/2017	#08152194841	VARIOUS HOUSE	INTERNATIONAL SMALL COMPANY FUND A	0.00	0.57	90.0	0.00	0.51	0.00	0.51
01/16/2018	#00777115467	VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	7.56	90.0	0.00	6.80	0.00	6.80
01/16/2018	#00777098436	VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.81	90.0	0.00	2.53	0.00	2.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.54		\$0.00	\$11.28	\$0.00	\$11.28	
*** BROKER TRANSACTIONS TOTAL ***			\$672,487.05	\$663.49		\$0.00	\$597.15	\$46.00	\$551.15	

BROKER COMMISSION TOTALS

Broker Transactions	\$551.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$551.15
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