

All Companies
STATEMENT
August 20, 2018

BRUCE COHEN
8 Kings HWY West Suite 6D
SUITE 6B
Haddonfield, NJ 08033

BROKER #: 077
BRANCH:

COMMISSION STATEMENT for BRUCE COHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
05/01/0018	#2000311059	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.97	92.0	0.00	6.41	0.00	6.41
05/01/0018	#2000311108	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.96	92.0	0.00	6.40	0.00	6.40
05/01/0018	#2000311057	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.43	92.0	0.00	5.00	0.00	5.00
04/30/0201	#2000311057	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.22	92.0	0.00	4.80	0.00	4.80
04/30/0201	#2000311059	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.70	92.0	0.00	6.16	0.00	6.16
04/30/0201	#2000311108	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.69	92.0	0.00	6.15	0.00	6.15
06/30/0201	#2000311057	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	5.27	92.0	0.00	4.85	0.00	4.85
06/30/0201	#2000311059	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	6.76	92.0	0.00	6.22	0.00	6.22
06/30/0201	#2000311108	MITCHELL, DAVID	LARGE CAP VALUE A	0.00	4.93	92.0	0.00	4.54	0.00	4.54
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$54.93		\$0.00	\$50.53	\$0.00	\$50.53
PRODUCT CATEGORY: STOCKS										
07/18/2018	#	WOODBURY, MARY SUE	HANESBRANDS INC	2,189.34	68.67	92.0	0.00	63.18	19.95	43.23
07/18/2018	#	TAYLOR, VICTOR	OSI ETF TRUST	31,432.00	100.00	92.0	0.00	92.00	19.95	72.05
07/18/2018	#	ELWELL, JAY	OSI ETF TRUST	50,291.20	200.00	92.0	0.00	184.00	19.95	164.05
07/18/2018	#	TAYLOR, VICTOR	SECTOR SPDR - FINANCIAL	27,883.00	250.00	92.0	0.00	230.00	19.95	210.05
07/18/2018	#	ELWELL, JAY	SECTOR SPDR - FINANCIAL	47,452.10	225.00	92.0	0.00	207.00	19.95	187.05
07/26/2018	#	ELWELL, JAY	OSI ETF TRUST	25,424.00	210.00	92.0	0.00	193.20	19.95	173.25
07/27/2018	#	WOODBURY, MARY SUE	ISHARES TRUST ISHARES EXPONENTIAL T	15,716.05	331.43	92.0	0.00	304.92	19.95	284.97
* STOCKS SUBTOTAL *				\$200,387.69	\$1,385.10		\$0.00	\$1,274.30	\$139.65	\$1,134.65
PRODUCT CATEGORY: BONDS										
07/25/2018	#	MCCORMACK, DANIEL	FEDL HOME LOAN MTG CORP#G30452	37,908.65	924.61	92.0	0.00	850.64	20.00	830.64
07/25/2018	#	MCCULLOUGH, WILLIAM	FEDL HOME LOAN MTG CORP#G30452	48,747.71	1,188.98	92.0	0.00	1,093.86	20.00	1,073.86
07/25/2018	#	ELWELL, JAY	FEDL HOME LOAN MTG CORP#G30452	252,724.31	6,164.05	92.0	0.00	5,670.93	20.00	5,650.93
07/27/2018	#	SEGAL, ALAN	G N M A PASS THRU POOL MA5334M	44,167.28	1,077.28	92.0	0.00	991.10	20.00	971.10
07/27/2018	#	ROTONDO, JANICE	G N M A PASS THRU POOL MA5334M	37,542.19	915.69	92.0	0.00	842.43	20.00	822.43
07/27/2018	#	MCCULLOUGH, WILLIAM	G N M A PASS THRU POOL MA5334M	24,292.01	592.51	92.0	0.00	545.11	20.00	525.11
07/27/2018	#	TAYLOR TAYLOR	G N M A PASS THRU POOL MA5334M	66,250.92	1,615.92	92.0	0.00	1,486.65	20.00	1,466.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
07/27/2018 #	ELWELL, JAY	G N M A PASS THRU POOL MA5334M	66,250.92	1,615.92	92.0	0.00	1,486.65	20.00	1,466.65
07/27/2018 #	YOUNG, LOIS	G N M A PASS THRU POOL MA5334M	24,292.01	592.51	92.0	0.00	545.11	20.00	525.11
* BONDS SUBTOTAL *			\$602,176.00	\$14,687.47		\$0.00	\$13,512.48	\$180.00	\$13,332.48
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
07/19/2018 #	ELWELL, JAY	UIT FIRST TRUST	134,076.84	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	ELWELL, JAY	UIT FIRST TRUST CORPORATE TAX SER 3	83,217.76	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	WATERS, STEPHEN	UIT FIRST TRUST DIVERSIFIED INCOME	9,349.66	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	WATERS, STEPHEN	UIT FIRST TRUST DIVERSIFIED INCOME	10,497.28	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	MCCULLOUGH, WILLIAM	UIT FIRST TRUST DIVERSIFIED INCOME	24,313.63	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	MCCORMACK, DANIEL	UIT FIRST TRUST DIVERSIFIED SER 201	26,720.95	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	MCCORMACK, LINDA	UIT FIRST TRUST DIVID GROWTH PORT S	10,857.81	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	YOUNG, LOIS	UIT FIRST TRUST ENERGY SELECT PORTF	5,760.67	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	MCCULLOUGH, WILLIAM	UIT FIRST TRUST HIGH DIVID SER 33 E	52,198.59	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	ELWELL, JAY	UIT FIRST TRUST HIGH DIVID SER 33 E	104,287.47	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	ELWELL, JAY	UIT FIRST TRUST HIGH DIVID SER 33 E	52,198.59	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	YOUNG, LOIS	UIT FIRST TRUST UTILS SELECT PORT S	5,405.54	20.00	92.0	0.00	18.40	18.00	0.40
07/19/2018 #	ELWELL, JAY	UIT FT ENERGY SELECT PORT SER 65 SE	54,977.67	20.00	92.0	0.00	18.40	18.00	0.40
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$573,862.46	\$260.00		\$0.00	\$239.20	\$234.00	\$5.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018 #02900009559	OLSEN, WARREN	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	63.96	92.0	0.00	58.84	0.00	58.84
06/30/2018 #02900009570	OLSEN, SHIRLEY	OPPENHEIMER CAPITAL APPRECIATION FU	0.00	81.48	92.0	0.00	74.96	0.00	74.96
06/30/2018 #05001735283	OLSEN, WARREN	OPPENHEIMER DISCOVERY FUND CL A	0.00	4.40	92.0	0.00	4.05	0.00	4.05
06/30/2018 #08350257213	OLSEN, SHIRLEY	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	75.88	92.0	0.00	69.81	0.00	69.81
06/30/2018 #08350257213	OLSEN, SHIRLEY	OPPENHEIMER EQUITY INCOME FUND INC-	0.00	2.33	92.0	0.00	2.14	0.00	2.14
06/30/2018 #03300126335	OLSEN, WARREN	OPPENHEIMER GLOBAL FUND CL A	0.00	1,624.05	92.0	0.00	1,494.13	0.00	1,494.13
06/30/2018 #08253151335	OLSEN, WARREN	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	265.57	92.0	0.00	244.32	0.00	244.32
06/30/2018 #07001345443	OLSEN, WARREN	OPPENHEIMER MAIN STREET FUND CL A	0.00	304.18	92.0	0.00	279.85	0.00	279.85
06/30/2018 #02514678201	OLSEN, WARREN	SMALL- & MID- CAP VALUE FUND A	0.00	319.45	92.0	0.00	293.89	0.00	293.89
06/30/2018 #02514678201	OLSEN, WARREN	SMALL- & MID- CAP VALUE FUND A	0.00	0.31	92.0	0.00	0.29	0.00	0.29
07/02/2018 #00202912600	OLSEN, WARREN	DAVIS NEW YORK VENTURE FUND INC-CL	0.00	130.43	92.0	0.00	120.00	0.00	120.00
07/11/2018 #	WHITE, ANN	DAIN TRAILER	0.00	1.53	92.0	0.00	1.41	0.00	1.41
07/11/2018 #	WHITE, ANN	DAIN TRAILER	0.00	17.33	92.0	0.00	15.94	0.00	15.94
07/11/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	25.26	92.0	0.00	23.24	0.00	23.24
07/11/2018 #	OLSEN, WARREN	DAIN TRAILER	0.00	12.50	92.0	0.00	11.50	0.00	11.50

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PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/11/2018 #	MCCULLOUGH, WILLIAM	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
07/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.54	92.0	0.00	0.50	0.00	0.50
07/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	13.14	92.0	0.00	12.09	0.00	12.09
07/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	10.66	92.0	0.00	9.81	0.00	9.81
07/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.50	92.0	0.00	0.46	0.00	0.46
07/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
07/11/2018 #	LEVINE, HYMAN	DAIN TRAILER	0.00	3.92	92.0	0.00	3.61	0.00	3.61
07/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	11.07	92.0	0.00	10.18	0.00	10.18
07/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	4.26	92.0	0.00	3.92	0.00	3.92
07/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	10.17	92.0	0.00	9.36	0.00	9.36
07/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	9.54	92.0	0.00	8.78	0.00	8.78
07/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	13.07	92.0	0.00	12.02	0.00	12.02
07/11/2018 #	GATES, MICHAEL	DAIN TRAILER	0.00	3.16	92.0	0.00	2.91	0.00	2.91
07/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	5.76	92.0	0.00	5.30	0.00	5.30
07/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	10.61	92.0	0.00	9.76	0.00	9.76
07/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	2.22	92.0	0.00	2.04	0.00	2.04
07/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	3.63	92.0	0.00	3.34	0.00	3.34
07/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	4.16	92.0	0.00	3.83	0.00	3.83
07/11/2018 #	ZUCKERMAN, ALAN	DAIN TRAILER	0.00	8.28	92.0	0.00	7.62	0.00	7.62
07/11/2018 #	GROSS, LORETTA	DAIN TRAILER	0.00	5.25	92.0	0.00	4.83	0.00	4.83
07/11/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	5.22	92.0	0.00	4.80	0.00	4.80
07/11/2018 #	LEONETTI, MARK	DAIN TRAILER	0.00	4.95	92.0	0.00	4.55	0.00	4.55
07/11/2018 #	WHITE, ANN	DAIN TRAILER	0.00	13.10	92.0	0.00	12.05	0.00	12.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,072.79		\$0.00	\$2,826.98	\$0.00	\$2,826.98
*** BROKER TRANSACTIONS TOTAL ***			\$1,376,426.15	\$19,460.29		\$0.00	\$17,903.49	\$553.65	\$17,349.84

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ADJUSTMENTS

08/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC DOW JONES INDICES							-2.02
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC MDX INDEX DATA - CBOE							-2.68
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC NASDAQ INCICES GIDS							-3.00
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC NASDAQ LEVEL 1							-25.68
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC NEW YORK STOCK EXCHANGE							-1.00
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC OPRA							-1.00
08/20/2018		THOMSON ONE FEE-RBC: THOMSON ONE FEE-RBC THOMSON ONE WEALTH							-69.00

*** ADJUSTMENTS TOTAL ***

\$-673.38

BROKER COMMISSION TOTALS

Broker Transactions	\$17,349.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-673.38
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$16,676.46