

**COMMISSION STATEMENT****August 15, 2018**

MARK-S141 REG SMITH

BROKER #: S141

BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	4.25	0.00	4.25	48.0	2.04
07/11/2018	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
07/11/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.33	0.00	6.33	48.0	3.04
07/11/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.56	0.00	3.56	48.0	1.71
07/11/2018	WITKOWSKI, CHET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.55	0.00	0.55	48.0	0.26
07/11/2018	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.69	0.00	1.69	48.0	0.81
07/11/2018	WITKOWSKI, CHET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	48.0	0.28
07/11/2018	WITKOWSKI, CHET	AM FUNDS ICA A	0.00	0.55	0.00	0.55	48.0	0.26
07/11/2018	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	4.21	0.00	4.21	48.0	2.02
07/11/2018	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
07/11/2018	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.11	0.00	2.11	48.0	1.01
07/11/2018	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.43	0.00	3.43	48.0	1.65
07/11/2018	WITKOWSKI, CHET	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	48.0	0.25
07/11/2018	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
07/11/2018	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.11
07/11/2018	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.11
07/11/2018	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	8.04	0.00	8.04	48.0	3.86
07/11/2018	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.44	0.00	0.44	48.0	0.21
07/11/2018	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.67	0.00	0.67	48.0	0.32
07/11/2018	NICODIN, EARL	PUTNAM MULTI-CAP CORE FUND A	0.00	0.28	0.00	0.28	48.0	0.13
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$38.24	\$0.00	\$38.24		\$18.35
*** CLEARING TRANSACTIONS TOTAL ***			\$0.00	\$38.24	\$0.00	\$38.24		\$18.35

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/30/2018	BONGIORNO, VALERIE	AM FUNDS AMCAP A	346.42	17.32	0.00	17.32	48.0	8.31
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	48.0	1.02
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	48.0	1.02
07/30/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	346.45	17.32	0.00	17.32	48.0	8.31
08/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.20
07/30/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	346.42	17.32	0.00	17.32	48.0	8.31
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	48.0	1.02
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	48.0	1.02
07/30/2018	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	346.42	17.32	0.00	17.32	48.0	8.31
08/01/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	48.0	1.02
* MUTUAL FUNDS SUBTOTAL *			\$1,685.71	\$82.43	\$0.00	\$82.43		\$39.54
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	SMITH, ROGER	ALGER CAPITAL APPRECIATION A	0.00	4.27	0.00	4.27	48.0	2.05
07/31/2018	TMICO	FRANKLIN INCOME C	0.00	7.75	0.00	7.75	48.0	3.72
07/31/2018	ORR POWER OF ATTORNEY,	FRANKLIN INCOME C	0.00	0.16	0.00	0.16	48.0	0.08
07/31/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	0.00	0.11	0.00	0.11	48.0	0.05
07/31/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	0.00	0.06	0.00	0.06	48.0	0.03
07/31/2018	RADEMAKERS, DAVID	FRANKLIN INCOME C	0.00	0.06	0.00	0.06	48.0	0.03
07/31/2018	SPURGIN, LISBETH	FRANKLIN TOTAL RETURN FUNDS -CL C	0.00	5.27	0.00	5.27	48.0	2.53
07/31/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	0.00	0.04	0.00	0.04	48.0	0.02
07/31/2018	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	28.21	0.00	28.21	48.0	13.54
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.05	0.00	0.05	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.10	0.00	0.10	48.0	0.05
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.08	0.00	0.08	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
08/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.65	0.00	10.65	48.0	5.11
08/02/2018	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.34	0.00	4.34	48.0	2.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$61.20	\$0.00	\$61.20		\$29.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	BRIDGES, JANIS	JNL ELITE ACCESS	0.00	92.26	0.00	92.26	48.0	44.28
07/31/2018	RHODES, VIRGINIA	JNL ELITE ACCESS	0.00	64.96	0.00	64.96	48.0	31.18
07/31/2018	CROWTHER, JOHN	JNL ELITE ACCESS	0.00	12.55	0.00	12.55	48.0	6.02

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	NOBLES, VERLIE	JNL PERSPECTIVE L	0.00	34.59	0.00	34.59	48.0	16.60
07/31/2018	REEDER, WESLEY	JNL PERSPECTIVE L	0.00	20.20	0.00	20.20	48.0	9.70
07/31/2018	SPURGIN, BRENT	JNL PERSPECTIVE L	0.00	39.48	0.00	39.48	48.0	18.95
07/31/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	0.00	40.98	0.00	40.98	48.0	19.67
07/31/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	0.00	45.94	0.00	45.94	48.0	22.05
07/31/2018	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	0.00	25.97	0.00	25.97	48.0	12.47
07/31/2018	JEFFERS, LARRY	PRU AS APEX II	0.00	24.84	0.00	24.84	48.0	11.92
07/31/2018	JEFFERS, LARRY	PRU AS APEX II	0.00	99.35	0.00	99.35	48.0	47.69
07/30/2018	BURNS, ANNE	SEC BEN 814121158	0.00	28.46	0.00	28.46	48.0	13.66
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$529.58	\$0.00	\$529.58		\$254.19
*** DIRECT TRANSACTIONS TOTAL ***			\$1,685.71	\$673.21	\$0.00	\$673.21		\$323.10

ADJUSTMENTS

08/15/2018	*AI INSIGHT: AI INSIGHT - CURRENT MONTH	0.00						-7.00
08/15/2018	*EO INS: CURRENT MONTH INS	0.00						-300.00
08/15/2018	*LASER APP: LASER APP - CURRENT MONTH	0.00						-18.00
08/15/2018	*RBC: WEALTHSTATION	0.00						-25.00
08/15/2018	*RBC: WEALTHSTATION - INMAN	0.00						-25.00
08/15/2018	*TECHNOLOGY: ADMIN - D INMAN	0.00						-130.00
08/15/2018	*TECHNOLOGY: CURRENT MONTH - BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-685.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$341.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-685.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-343.55
Year-to-date Gross	\$76,015.40
Year-to-date Earnings	\$36,445.36