

COMMISSION STATEMENT

June 30, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/01/2018 153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
06/01/2018 153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
06/27/2018 584567036	PETER, ELOISE	MF	AMERICAN BALANCED FUND-A	B	-29,987.55	0.00	5.00	-5.00	50.0	-2.50
06/12/2018 677002494	SHEWITZ, ZACHARY	MF	THE GROWTH FUND OF AMERICA-A	B	-977.55	0.00	5.00	-5.00	50.0	-2.50
06/12/2018 677003808	DYLAN SHEWITZ, ROY	MF	PUTNAM GLOBAL EQUITY FUND CLASS B	B	-977.55	0.00	5.00	-5.00	50.0	-2.50
				* MUTUAL FUNDS SUBTOTAL *	\$-33,142.65	\$0.00	\$25.00	\$-25.00		\$-12.50

PRODUCT CATEGORY: STOCKS

06/13/2018 152910961	BRANNIES, GINA	S	AT&T INC	B	39,628.73	0.00	15.00	-15.00	50.0	-7.50
06/19/2018 184960763	COOK JR, LESLIE	S	ABBVIE INC	B	-2,045.28	-79.45	-15.00	-64.45	50.0	-32.23
06/19/2018 184960763	COOK JR, LESLIE	S	ABBVIE INC	B	2,045.28	79.45	15.00	64.45	50.0	32.23
06/19/2018 184960763	COOK JR, LESLIE	S	ABBVIE INC	B	2,005.83	40.00	15.00	25.00	50.0	12.50
06/19/2018 184960763	COOK JR, LESLIE	S	BOEING COMPANY	B	-4,217.77	-111.56	-15.00	-96.56	50.0	-48.28
06/19/2018 184960763	COOK JR, LESLIE	S	BOEING COMPANY	B	4,217.77	111.56	15.00	96.56	50.0	48.28
06/19/2018 184960763	COOK JR, LESLIE	S	BOEING COMPANY	B	4,146.21	40.00	15.00	25.00	50.0	12.50
06/19/2018 184960763	COOK JR, LESLIE	S	GENERAL ELECTRIC COMPANY	S	1,863.10	-79.17	-15.00	-64.17	50.0	-32.09
06/19/2018 184960763	COOK JR, LESLIE	S	GENERAL ELECTRIC COMPANY	S	-1,863.10	79.17	15.00	64.17	50.0	32.09
06/19/2018 184960763	COOK JR, LESLIE	S	GENERAL ELECTRIC COMPANY	S	-1,902.27	40.00	15.00	25.00	50.0	12.50
06/19/2018 184960763	COOK JR, LESLIE	S	PFIZER INCORPORATED	S	3,517.63	-104.37	-15.00	-89.37	50.0	-44.69
06/19/2018 184960763	COOK JR, LESLIE	S	PFIZER INCORPORATED	S	-3,517.63	104.37	15.00	89.37	50.0	44.69
06/19/2018 184960763	COOK JR, LESLIE	S	PFIZER INCORPORATED	S	-3,582.00	40.00	15.00	25.00	50.0	12.50
06/13/2018 308387783	GIBBS, KENDRA	S	AT&T INC	B	33,091.35	0.00	15.00	-15.00	50.0	-7.50
06/01/2018 492642569	MCLEOD, MARTHA	S	SOLAREEDGE TECHNOLOGIES INC	B	55,064.45	35.00	15.00	20.00	50.0	10.00
06/21/2018 492642569	MCLEOD, MARTHA	S	ZYNERBA PHARMACEUTICALS INC	B	23,977.45	35.00	15.00	20.00	50.0	10.00
06/27/2018 492642569	MCLEOD, MARTHA	S	DIREXION DAILY S&P OIL & GAS	B	28,617.26	35.00	15.00	20.00	50.0	10.00
				* STOCKS SUBTOTAL *	\$181,047.01	\$265.00	\$135.00	\$130.00		\$65.00

PRODUCT CATEGORY: BONDS

06/15/2018 214424906	DORER, RICHARD	B	JACKSON TENN ENERGY AUTH GAS S	B	29,895.75	300.00	15.00	285.00	50.0	142.50
				* BONDS SUBTOTAL *	\$29,895.75	\$300.00	\$15.00	\$285.00		\$142.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
PRODUCT CATEGORY: MISCELLANEOUS											
06/13/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677002494	S	0.00	20.00	0.00	20.00	50.0	10.00
06/13/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677003808	S	0.00	20.00	0.00	20.00	50.0	10.00
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$40.00	\$0.00	\$40.00	\$20.00		
PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT											
06/15/2018	214424906	DORER, RICHARD	CD	PEOPLES STATE BANK WAUSAU WI	B	100,000.00	25.50	20.00	5.50	50.0	2.75
* CERTIFICATES OF DEPOSIT SUBTOTAL *					\$100,000.00	\$25.50	\$20.00	\$5.50	\$2.75		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/21/2018	mfc219642	SMITH RANDALL	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	11.28	0.00	11.28	50.0	5.64
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	2.19	0.00	2.19	50.0	1.10
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	7.45	0.00	7.45	50.0	3.73
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	11.63	0.00	11.63	50.0	5.82
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	13.97	0.00	13.97	50.0	6.99
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	16.56	0.00	16.56	50.0	8.28
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	17.06	0.00	17.06	50.0	8.53
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	20.21	0.00	20.21	50.0	10.11
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	26.43	0.00	26.43	50.0	13.22
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	31.08	0.00	31.08	50.0	15.54
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	41.94	0.00	41.94	50.0	20.97
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	42.98	0.00	42.98	50.0	21.49
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-A	B	0.00	62.15	0.00	62.15	50.0	31.08
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN BALANCED FUND-F1	B	0.00	11.49	0.00	11.49	50.0	5.75
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	16.93	0.00	16.93	50.0	8.47
06/21/2018	mfc219642	SMITH RANDALL	MFT	AMERICAN MUTUAL FUND-A	B	0.00	5.24	0.00	5.24	50.0	2.62
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL APPRECIATION A	B	0.00	1.86	0.00	1.86	50.0	0.93
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.81	0.00	1.81	50.0	0.91
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-A	B	0.00	5.03	0.00	5.03	50.0	2.52
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-A	B	0.00	14.98	0.00	14.98	50.0	7.49
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-A	B	0.00	18.26	0.00	18.26	50.0	9.13
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-A	B	0.00	23.39	0.00	23.39	50.0	11.70
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-A	B	0.00	81.38	0.00	81.38	50.0	40.69
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	1.20	0.00	1.20	50.0	0.60
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	3.39	0.00	3.39	50.0	1.70
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	16.26	0.00	16.26	50.0	8.13
06/21/2018	mfc219642	SMITH RANDALL	MFT	CAPITAL WORLD BOND FUND-A	B	0.00	6.01	0.00	6.01	50.0	3.01
06/21/2018	mfc219642	SMITH RANDALL	MFT	DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.06	0.00	0.06	50.0	0.03
06/21/2018	mfc219642	SMITH RANDALL	MFT	DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	7.15	0.00	7.15	50.0	3.58
06/21/2018	mfc219642	SMITH RANDALL	MFT	DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	16.34	0.00	16.34	50.0	8.17
06/21/2018	mfc219642	SMITH RANDALL	MFT	DREYFUS HIGH YIELD FD A	B	0.00	3.02	0.00	3.02	50.0	1.51
06/21/2018	mfc219642	SMITH RANDALL	MFT	DREYFUS PREMIER TECHNOLOGY	B	0.00	3.96	0.00	3.96	50.0	1.98
06/21/2018	mfc219642	SMITH RANDALL	MFT	EATON VANCE UTILITIES FUND	B	0.00	2.55	0.00	2.55	50.0	1.28
06/21/2018	mfc219642	SMITH RANDALL	MFT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.38	0.00	0.38	50.0	0.19

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06/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	17.80	0.00	17.80	50.0	8.90
06/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	9.69	0.00	9.69	50.0	4.85
06/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.53	0.00	5.53	50.0	2.77
06/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.49	0.00	3.49	50.0	1.75
06/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	6.16	0.00	6.16	50.0	3.08
06/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	6.06	0.00	6.06	50.0	3.03
06/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	62.15	0.00	62.15	50.0	31.08
06/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.47	0.00	1.47	50.0	0.74
06/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.86	0.00	5.86	50.0	2.93
06/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.15	0.00	1.15	50.0	0.58
06/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.55	0.00	1.55	50.0	0.78
06/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.37	0.00	6.37	50.0	3.19
06/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.85	0.00	13.85	50.0	6.93
06/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	30.19	0.00	30.19	50.0	15.10
06/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.32	0.00	0.32	50.0	0.16
06/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.34	0.00	0.34	50.0	0.17
06/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.27	0.00	0.27	50.0	0.14
06/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.31	0.00	0.31	50.0	0.16
06/21/2018	mfc219642 SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.04	0.00	0.04	50.0	0.02
06/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.10	0.00	5.10	50.0	2.55
06/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.82	0.00	5.82	50.0	2.91
06/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	6.27	0.00	6.27	50.0	3.14
06/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	29.28	0.00	29.28	50.0	14.64
06/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	66.62	0.00	66.62	50.0	33.31
06/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	12.11	0.00	12.11	50.0	6.06
06/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	13.86	0.00	13.86	50.0	6.93
06/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.45	0.00	15.45	50.0	7.73
06/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	38.08	0.00	38.08	50.0	19.04
06/21/2018	mfc219642 SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	18.84	0.00	18.84	50.0	9.42
06/21/2018	mfc219642 SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	0.32	0.00	0.32	50.0	0.16
06/21/2018	mfc219642 SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.76	0.00	8.76	50.0	4.38
06/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.37	0.00	1.37	50.0	0.69
06/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	10.92	0.00	10.92	50.0	5.46
06/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.54	0.00	0.54	50.0	0.27
06/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.64	0.00	0.64	50.0	0.32
06/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.79	0.00	0.79	50.0	0.40
06/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.94	0.00	3.94	50.0	1.97
06/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.76	0.00	16.76	50.0	8.38
06/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.10	0.00	0.10	50.0	0.05
06/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.21	0.00	3.21	50.0	1.61
06/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.45	0.00	6.45	50.0	3.23
06/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	15.35	0.00	15.35	50.0	7.68
06/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	93.57	0.00	93.57	50.0	46.79
06/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	7.38	0.00	7.38	50.0	3.69

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06/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	15.32	0.00	15.32	50.0	7.66
06/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	38.05	0.00	38.05	50.0	19.03
06/21/2018	mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	1.94	0.00	1.94	50.0	0.97
06/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.09	0.00	1.09	50.0	0.55
06/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.37	0.00	1.37	50.0	0.69
06/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.13	0.00	5.13	50.0	2.57
06/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.60	0.00	5.60	50.0	2.80
06/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	57.69	0.00	57.69	50.0	28.85
06/21/2018	mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.65	0.00	1.65	50.0	0.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,227.59	\$0.00	\$1,227.59		\$614.01
*** CLEARING TRANSACTIONS TOTAL ***				\$277,800.11	\$1,858.09	\$195.00	\$1,663.09		\$831.76

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/05/2018 (None) SMITH, MAR G	MF	OPPENHEIMER	B	50.00	2.38	0.00	2.38	50.0	1.19
06/11/2018 000000008958389 STRATHDEE,	MF	MUTUAL DISC FND - CL A	B	105.00	5.25	0.00	5.25	50.0	2.63
06/11/2018 000015815515075 STRATHDEE,	MF	FRANKLIN RISING DIVIDENDS FD	B	245.00	12.25	0.00	12.25	50.0	6.13
06/11/2018 000060177858580 STRATHDEE,	MF	FRANKLIN GROWTH FUND CLASS A	B	350.00	17.39	0.00	17.39	50.0	8.70
06/21/2018 00058011489 FOSTER, EMILIE	MF	AMERICAN BALANCED FUND-A	B	10,000.00	200.00	0.00	200.00	50.0	100.00
06/18/2018 00075390701 MURPHY, DEBORAH	MF	INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
06/18/2018 00075396784 CASTANEDA, SANDRA	MF	THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
06/20/2018 00083485924 SCHLESSIGER,	MF	AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
06/18/2018 00083949478 LIMON, ELIZABETH	MF	AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
06/18/2018 00083949478 LIMON, ELIZABETH	MF	AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
06/18/2018 00084366836 MENDOZA, SANDRA	MF	AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
06/18/2018 00084366836 MENDOZA, SANDRA	MF	AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
06/20/2018 00085302677 JORGE ALEXANDER	MF	AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
06/18/2018 00087738166 JIMERFIELD,	MF	AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
06/18/2018 00087738166 JIMERFIELD,	MF	AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
06/15/2018 00088038123 PONCE, YOLANDA	MF	AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
06/18/2018 04000631650 MARTINEZ, ELAINE	MF	AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
06/18/2018 04000631650 MARTINEZ, ELAINE	MF	AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
06/18/2018 04000890952 PIERPONT, BRADLEY	MF	AMERICAN BALANCED FUND-A	B	1,275.00	35.06	0.00	35.06	50.0	17.53
06/18/2018 04000890952 PIERPONT, BRADLEY	MF	CAPITAL WORLD GROWTH AND INCOME	B	1,275.00	35.06	0.00	35.06	50.0	17.53
06/18/2018 04000890952 PIERPONT, BRADLEY	MF	THE GROWTH FUND OF AMERICA-A	B	1,200.00	33.00	0.00	33.00	50.0	16.50
* MUTUAL FUNDS SUBTOTAL *				\$16,540.00	\$387.19	\$0.00	\$387.19		\$193.61

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018 (None) ALLEN, JOHN	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	4.04	0.00	4.04	50.0	2.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4.04	\$0.00	\$4.04		\$2.02

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/01/2018 (None)	THOMISON	VAT TRANSAMERICA PREMIER	B	0.00	100.58	0.00	100.58	50.0	50.29
06/01/2018 (None)	THOMISON	VAT TRANSAMERICA PREMIER	B	0.00	75.56	0.00	75.56	50.0	37.78
06/14/2018 (None)	DIVER, ANDREW	VAT TRANSAMERICA PREMIER	B	0.00	44.92	0.00	44.92	50.0	22.46
06/14/2018 (None)	GRAVES	VAT TRANSAMERICA PREMIER	B	0.00	70.42	0.00	70.42	50.0	35.21
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$291.48	\$0.00	\$291.48		\$145.74
*** DIRECT TRANSACTIONS TOTAL ***				\$16,540.00	\$682.71	\$0.00	\$682.71		\$341.37

SPLIT TRANSACTIONSBROKER: RIX/RANDY SMITH/SMITH-D081 #80

06/05/2018 799051949	WARREN (TOD), JANET S	ROYAL CARIBBEAN CRUISES LTD	B	5,133.22	20.00	7.50	12.50		6.25
05/30/2018 676346300	SMITH TTEE, RONALD	B ALVIN TEX TAX AND REV CTFS	B	15,038.73	75.00	7.50	67.50		33.75
06/18/2018 676365887	SMITH, RANDALL	B LEANDER TX COMBI TAX & REV CPN:	B	12,041.06	125.00	7.50	117.50		58.75
06/21/2018 mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.36	0.00	3.36		1.68
06/21/2018 mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.16	0.00	4.16		2.08
06/21/2018 mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.02	0.00	6.02		3.01
06/21/2018 mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	8.86	0.00	8.86		4.43
06/21/2018 mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	9.20	0.00	9.20		4.60
06/21/2018 mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.56	0.00	1.56		0.78
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *				\$32,213.01	\$253.16	\$22.50	\$230.66		\$115.33
*** SPLIT TRANSACTIONS TOTAL ***				\$32,213.01	\$253.16	\$22.50	\$230.66		\$115.33

LINKED DIRE TRANSACTIONSBROKER: RANDY SMITH-D601 #66

06/06/2018 00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	-100.00	-1.00	0.00	-1.00		-0.20
06/18/2018 00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	-50.00	-0.50	0.00	-0.50		-0.10
06/18/2018 00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	50.00	0.50	0.00	0.50		0.10
06/22/2018 00086465668	MCINTIRE, JOHN	MF NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00		1.00
06/06/2018 04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	-100.00	-2.00	0.00	-2.00		-0.40
06/15/2018 08190129109	FBO JULIETTE G	MF MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00		0.20
06/15/2018 08190129110	PEYTON O LEBLANC, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
06/15/2018 08190129112	SARA E CANCIENNE, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
06/15/2018 08190129113	LILLY M SUTTON	MF MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
06/15/2018 08191029111	PRESLIE G LEBLANC	MF MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00		0.20
06/15/2018 08191270658	BEAU OUTLAW, JOHN	MF MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50		0.10
06/05/2018 01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	28.28	0.00	28.28		5.66
06/05/2018 01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	84.83	0.00	84.83		16.97
06/05/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.68	0.00	2.68		0.54
06/05/2018 01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	8.05	0.00	8.05		1.61
06/05/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	30.29	0.00	30.29		6.06

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/05/2018 01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	90.86	0.00	90.86		18.17
06/05/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.43	0.00	0.43		0.09
06/05/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.30	0.00	1.30		0.26
06/05/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.39	0.00	0.39		0.08
06/05/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.16	0.00	1.16		0.23
06/05/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.12	0.00	1.12		0.22
06/05/2018 01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.37	0.00	3.37		0.67
06/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.23	0.00	10.23		2.05
06/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	30.68	0.00	30.68		6.14
06/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.32	0.00	6.32		1.26
06/05/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	18.96	0.00	18.96		3.79
06/05/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.78	0.00	2.78		0.56
06/05/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.35	0.00	8.35		1.67
06/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	6.36	0.00	6.36		1.27
06/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	19.07	0.00	19.07		3.81
06/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.59	0.00	2.59		0.52
06/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.77	0.00	7.77		1.55
06/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.98	0.00	10.98		2.20
06/05/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	32.95	0.00	32.95		6.59
06/05/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	3.05	0.00	3.05		0.61
06/05/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	9.15	0.00	9.15		1.83
06/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.21	0.00	2.21		0.44
06/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.64	0.00	6.64		1.33
06/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.12	0.00	1.12		0.22
06/05/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.37	0.00	3.37		0.67
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.93	0.00	2.93		0.59
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.80	0.00	8.80		1.76
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.98	0.00	2.98		0.60
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	8.95	0.00	8.95		1.79
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.13	0.00	3.13		0.63
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.40	0.00	9.40		1.88
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.83	0.00	2.83		0.57
06/05/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.48	0.00	8.48		1.70
06/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.22	0.00	7.22		1.44
06/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	21.66	0.00	21.66		4.33
06/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.98	0.00	2.98		0.60
06/05/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.95	0.00	8.95		1.79
06/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.46	0.00	2.46		0.49
06/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.37	0.00	7.37		1.47
06/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.45	0.00	2.45		0.49
06/05/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.34	0.00	7.34		1.47
06/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	18.35	0.00	18.35		3.67
06/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	55.06	0.00	55.06		11.01
06/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	23.17	0.00	23.17		4.63

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/05/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	69.50	0.00	69.50		13.90
06/05/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	7.12	0.00	7.12		1.42
06/05/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	21.35	0.00	21.35		4.27
06/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.35	0.00	2.35		0.47
06/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	7.04	0.00	7.04		1.41
06/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.28	0.00	4.28		0.86
06/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	12.83	0.00	12.83		2.57
06/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.79	0.00	2.79		0.56
06/05/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	8.38	0.00	8.38		1.68
06/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	21.29	0.00	21.29		4.26
06/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	63.88	0.00	63.88		12.78
06/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.56	0.00	9.56		1.91
06/05/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	28.67	0.00	28.67		5.73
06/05/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	0.95	0.00	0.95		0.19
06/05/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.85	0.00	2.85		0.57
06/05/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.11	0.00	1.11		0.22
06/05/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.32	0.00	3.32		0.66
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.05	0.00	2.05		0.41
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	6.14	0.00	6.14		1.23
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.42	0.00	3.42		0.68
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	10.26	0.00	10.26		2.05
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	2.06	0.00	2.06		0.41
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	6.19	0.00	6.19		1.24
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.51	0.00	4.51		0.90
06/05/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.54	0.00	13.54		2.71
06/05/2018 01900000269	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	17.40	0.00	17.40		3.48
06/05/2018 01900000279	GIFT MIN ACT LA,	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	8.59	0.00	8.59		1.72
06/05/2018 01900000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	25.53	0.00	25.53		5.11
06/05/2018 01900000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.91	0.00	13.91		2.78
06/05/2018 01900000312	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	4.08	0.00	4.08		0.82
06/05/2018 01900000313	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	6.93	0.00	6.93		1.39
06/05/2018 01900000324	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.37	0.00	13.37		2.67
06/05/2018 01900000349	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	12.79	0.00	12.79		2.56
06/05/2018 01900000352	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	2.79	0.00	2.79		0.56
06/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.91	0.00	1.91		0.38
06/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.73	0.00	5.73		1.15
06/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.60	0.00	4.60		0.92
06/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.81	0.00	13.81		2.76
06/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.24	0.00	2.24		0.45
06/05/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.72	0.00	6.72		1.34
06/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.70	0.00	1.70		0.34
06/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	5.09	0.00	5.09		1.02
06/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.48	0.00	2.48		0.50
06/05/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.44	0.00	7.44		1.49

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.03	0.00	1.03		0.21
06/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	3.09	0.00	3.09		0.62
06/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.24	0.00	1.24		0.25
06/05/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.71	0.00	3.71		0.74
06/05/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.81	0.00	3.81		0.76
06/05/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.42	0.00	11.42		2.28
06/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.23	0.00	4.23		0.85
06/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.69	0.00	12.69		2.54
06/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.47	0.00	5.47		1.09
06/05/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	16.40	0.00	16.40		3.28
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.62	0.00	1.62		0.32
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.87	0.00	4.87		0.97
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.72	0.00	1.72		0.34
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	5.16	0.00	5.16		1.03
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.08	0.00	2.08		0.42
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.23	0.00	6.23		1.25
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.07	0.00	2.07		0.41
06/05/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.21	0.00	6.21		1.24
06/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.49	0.00	8.49		1.70
06/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	25.48	0.00	25.48		5.10
06/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.17	0.00	6.17		1.23
06/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	18.52	0.00	18.52		3.70
06/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.79	0.00	5.79		1.16
06/05/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.37	0.00	17.37		3.47
06/05/2018 04830000507	CORBETT, JOHN	MFT COLUMBIA BALANCED FUND-C	B	0.00	13.98	0.00	13.98		2.80
06/05/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	45.16	0.00	45.16		9.03
06/05/2018 06000010315	VINCENT, JUDITH	MFT COLUMBIA SMALLCAP GROWTH FUND	B	0.00	9.21	0.00	9.21		1.84
06/05/2018 06000010315	VINCENT, JUDITH	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	13.27	0.00	13.27		2.65
06/05/2018 08695900108	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.73	0.00	2.73		0.55
06/05/2018 08695900297	GIFT MIN ACT LA,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	4.57	0.00	4.57		0.91
06/05/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	7.16	0.00	7.16		1.43
06/05/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	8.53	0.00	8.53		1.71
06/05/2018 08699266849	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	16.70	0.00	16.70		3.34
06/05/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.67	0.00	1.67		0.33
06/05/2018 53730000018	GIFT MIN ACT LA,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	5.59	0.00	5.59		1.12
06/05/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	18.11	0.00	18.11		3.62
06/05/2018 53730000075	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.83	0.00	4.83		0.97
06/05/2018 53770000062	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	5.72	0.00	5.72		1.14
06/05/2018 53770000066	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.72	0.00	6.72		1.34
* RANDY SMITH-D601 SUBTOTAL *				\$700.00	\$1,457.22	\$0.00	\$1,457.22		\$291.45
*** LINKED DIRE TRANSACTIONS TOTAL ***				\$700.00	\$1,457.22	\$0.00	\$1,457.22		\$291.45

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		641.62
		* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$641.62
		*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$641.62

BROKER COMMISSION TOTALS

Broker Transactions	\$1,464.58
Split Transactions	\$115.33
Override Transactions	\$0.00
Adjustments	\$641.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,221.53
Year-to-date Commissions	\$47,723.82