



Concorde Investment Services, LLC

10/30/2017

Page No. 1

COMMISSION STATEMENT

October 31, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/18/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
10/18/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
10/18/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.09	0.41	0.00	0.41	90.0	0.37
10/18/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.09	0.41	0.00	0.41	90.0	0.37
10/18/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	57.25	0.57	0.00	0.57	90.0	0.51
10/18/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	57.25	0.57	0.00	0.57	90.0	0.51
10/18/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
10/18/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
10/18/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.24	0.27	0.00	0.27	90.0	0.24
10/18/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.24	0.27	0.00	0.27	90.0	0.24
10/18/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
10/18/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
10/18/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
10/18/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
10/18/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	135.88	1.36	0.00	1.36	90.0	1.22
10/18/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	317.05	3.17	0.00	3.17	90.0	2.85
10/18/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	71.63	0.72	0.00	0.72	90.0	0.65
10/18/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	71.63	0.72	0.00	0.72	90.0	0.65
10/18/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.00	0.24	0.00	0.24	90.0	0.22
10/18/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	64.00	0.64	0.00	0.64	90.0	0.58
10/18/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	152.70	1.53	0.00	1.53	90.0	1.38
10/18/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	152.70	1.53	0.00	1.53	90.0	1.38
10/18/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
10/18/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
10/18/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	47.51	0.48	0.00	0.48	90.0	0.43
10/18/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	47.51	0.48	0.00	0.48	90.0	0.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/18/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	18.47	0.18	0.00	0.18	90.0	0.16
10/18/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	18.47	0.18	0.00	0.18	90.0	0.16
10/18/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.00	0.18	0.00	0.18	90.0	0.16
10/18/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	48.00	0.48	0.00	0.48	90.0	0.43
10/20/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
10/19/2017	JORDENING, POLLY	AM FUNDS GLOBAL BALANCED A	17.40	0.21	0.00	0.21	90.0	0.19
10/18/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	54.56	0.55	0.00	0.55	90.0	0.50
10/18/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	54.56	0.55	0.00	0.55	90.0	0.50
10/18/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	61.90	0.62	0.00	0.62	90.0	0.56
10/18/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	61.90	0.62	0.00	0.62	90.0	0.56
10/18/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	53.58	0.54	0.00	0.54	90.0	0.49
10/18/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	53.58	0.54	0.00	0.54	90.0	0.49
10/10/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
10/10/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
10/16/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
10/16/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
10/18/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	36.94	0.37	0.00	0.37	90.0	0.33
10/18/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	36.94	0.37	0.00	0.37	90.0	0.33
10/18/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
10/18/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
10/18/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.48	0.00	0.48	90.0	0.43
10/18/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	90.0	0.16
10/18/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
10/18/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	45.46	0.45	0.00	0.45	90.0	0.41
10/18/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	77.44	0.77	0.00	0.77	90.0	0.69
10/18/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	77.44	0.77	0.00	0.77	90.0	0.69
10/18/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	49.94	0.50	0.00	0.50	90.0	0.45
10/18/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	49.94	0.50	0.00	0.50	90.0	0.45
10/20/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
10/18/2017	DILLON, EMMA	AM FUNDS ICA A	14.58	0.15	0.00	0.15	90.0	0.14
10/18/2017	DILLON, EMMA	AM FUNDS ICA A	10.94	0.11	0.00	0.11	90.0	0.10
10/18/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	133.20	1.33	0.00	1.33	90.0	1.20
10/18/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	44.40	0.44	0.00	0.44	90.0	0.40

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/19/2017	JORDENING, POLLY	AM FUNDS INCOME FUND OF AMERICA A	17.41	0.21	0.00	0.21	90.0	0.19
10/18/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
10/18/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
10/18/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
10/18/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
10/18/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
10/18/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
10/19/2017	JORDENING, POLLY	AM FUNDS NEW ECONOMY A	8.71	0.10	0.00	0.10	90.0	0.09
10/20/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32
10/18/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	36.94	0.37	0.00	0.37	90.0	0.33
10/18/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	36.94	0.37	0.00	0.37	90.0	0.33
10/18/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
10/18/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
10/18/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
10/18/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	44.12	0.44	0.00	0.44	90.0	0.40
10/18/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
10/18/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
10/18/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
10/18/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	44.12	0.44	0.00	0.44	90.0	0.40
10/20/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
10/18/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	53.46	0.53	0.00	0.53	90.0	0.48
10/18/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	53.46	0.53	0.00	0.53	90.0	0.48
10/18/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
10/18/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
10/18/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.78	0.31	0.00	0.31	90.0	0.28
10/18/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.78	0.31	0.00	0.31	90.0	0.28
* MUTUAL FUNDS SUBTOTAL *			\$5,811.91	\$80.55	\$0.00	\$80.55		\$72.57
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/02/2017	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
10/02/2017	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
10/02/2017	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
10/02/2017	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
10/02/2017	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
10/02/2017	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
10/02/2017	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
10/02/2017	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
10/02/2017	STALEY, JOSELYN	PAC LIFE VUL	126.14	2.52	0.00	2.52	90.0	2.27
10/02/2017	STALEY, JOSELYN	PAC LIFE VUL	23.86	0.48	0.00	0.48	90.0	0.43
10/02/2017	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
10/02/2017	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
10/02/2017	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
10/02/2017	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
10/03/2017	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
10/04/2017	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
10/05/2017	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
10/06/2017	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
10/06/2017	GUIER, CLINT	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
10/06/2017	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
10/09/2017	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
10/09/2017	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
10/09/2017	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
10/12/2017	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
10/12/2017	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
10/12/2017	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
10/12/2017	ANDING, MARY DIANE	PAC LIFE VUL	0.00	28.00	0.00	28.00	90.0	25.20
10/13/2017	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$5,870.96	\$158.82	\$0.00	\$158.82		\$142.95
*** BROKER TRANSACTIONS TOTAL ***			\$11,682.87	\$239.37	\$0.00	\$239.37		\$215.52

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
10/20/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	24.35	0.00	24.35	90.0	21.92
10/20/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.79	0.00	0.79	90.0	0.71
10/18/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	54.71	0.30	0.00	0.30	90.0	0.27
10/18/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	54.71	0.30	0.00	0.30	90.0	0.27
10/13/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	6,500.00	151.94	0.00	151.94	90.0	136.75
10/13/2017	FIEGENBAUM, KAREN	AM FUNDS AMERICAN BALANCED A	5,500.00	128.56	0.00	128.56	90.0	115.70
10/13/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	1,000.00	15.12	0.00	15.12	90.0	13.61
10/18/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	24.35	0.13	0.00	0.13	90.0	0.12
10/18/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	24.35	0.13	0.00	0.13	90.0	0.12
10/16/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	4,250.00	37.40	0.00	37.40	90.0	33.66
10/16/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
10/16/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
10/18/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
10/18/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
10/18/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
10/18/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	8.22	0.04	0.00	0.04	90.0	0.04
10/18/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.27	0.25	0.00	0.25	90.0	0.23
10/18/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.27	0.25	0.00	0.25	90.0	0.23
10/18/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
10/18/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
10/18/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.16	0.00	0.16	90.0	0.14
10/18/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.16	0.00	0.16	90.0	0.14
10/20/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	19.48	0.00	19.48	90.0	17.53
10/20/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	3.20	0.00	3.20	90.0	2.88
10/18/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.96	0.07	0.00	0.07	90.0	0.06
10/18/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.96	0.07	0.00	0.07	90.0	0.06
10/18/2017	WAHLIG, KACEY	AM FUNDS ICA A	24.35	0.13	0.00	0.13	90.0	0.12
10/18/2017	WAHLIG, KACEY	AM FUNDS ICA A	24.35	0.13	0.00	0.13	90.0	0.12
10/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
10/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
10/16/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	4,250.00	37.40	0.00	37.40	90.0	33.66
10/18/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	5.50	0.00	5.50	90.0	4.95
10/18/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
10/16/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	4,250.00	37.40	0.00	37.40	90.0	33.66
10/18/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
10/18/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
10/16/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	4,250.00	37.40	0.00	37.40	90.0	33.66
10/16/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
10/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
10/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
10/18/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.16	0.00	0.16	90.0	0.14
10/18/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.16	0.00	0.16	90.0	0.14
10/20/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	4.87	0.00	4.87	90.0	4.38
10/20/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.80	0.00	0.80	90.0	0.72
10/02/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	11.00	0.00	11.00	90.0	9.90
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$35,301.00	\$526.21	\$0.00	\$526.21		\$473.60
<u>BROKER: JASON KEMBLE #394</u>								
10/10/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.00	0.00	2.00	90.0	1.80
10/10/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	2.00	0.00	2.00	90.0	1.80
* JASON KEMBLE SUBTOTAL *			\$200.00	\$4.00	\$0.00	\$4.00		\$3.60
*** SPLIT TRANSACTIONS TOTAL ***			\$35,501.00	\$530.21	\$0.00	\$530.21		\$477.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$215.52
Split Transactions	\$477.20
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$692.72
Year-to-date Gross	\$149,939.15
Year-to-date Earnings	\$143,980.33