



Concorde Investment Services, LLC

05/11/2017
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COMMISSION STATEMENT May 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
05/01/2017	FRANTZ, CASSANDRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	85.0	4.25
05/01/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
03/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.41	0.00	0.41	85.0	0.35
03/31/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	0.00	0.41	0.00	0.41	85.0	0.35
03/31/2017	BUEHRER, SARAH	BLK MOD 10-12 AGE BASED A	0.00	1.18	0.00	1.18	85.0	1.00
03/31/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	0.00	7.61	0.00	7.61	85.0	6.47
03/31/2017	BUEHRER, SARAH	BLK MOD 13-16 AGE BASED A	0.00	1.17	0.00	1.17	85.0	0.99
03/31/2017	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.03	0.00	11.03	85.0	9.38
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.01	0.00	7.01	85.0	5.96
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.06	0.00	3.06	85.0	2.60
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.29	0.00	4.29	85.0	3.65
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.08	0.00	1.08	85.0	0.92
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	5.55	0.00	5.55	85.0	4.72
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.75	0.00	3.75	85.0	3.19
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.76	0.00	3.76	85.0	3.20
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.74	0.00	5.74	85.0	4.88
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	5.91	0.00	5.91	85.0	5.02
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	7.83	0.00	7.83	85.0	6.66
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	2.96	0.00	2.96	85.0	2.52
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	4.92	0.00	4.92	85.0	4.18
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	5.85	0.00	5.85	85.0	4.97
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	5.77	0.00	5.77	85.0	4.90
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.06	0.00	0.06	85.0	0.05
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.91	0.00	0.91	85.0	0.77
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.38	0.00	13.38	85.0	11.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.31	0.00	6.31	85.0	5.36
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	5.62	0.00	5.62	85.0	4.78
04/30/2017	DESELMS, RAYMOND	FRANKLIN MI TAX FR INCOME A	0.00	64.18	0.00	64.18	85.0	54.55
04/30/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	35.87	0.00	35.87	85.0	30.49
04/30/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	0.00	49.39	0.00	49.39	85.0	41.98
04/30/2017	ZORNOW TRUST, BETTY	FRANKLIN MI TAX FR INCOME A	0.00	25.44	0.00	25.44	85.0	21.62
05/02/2017	DIENER, JUDITH	FRANKLIN MI TAX FR INCOME A	2,000.00	56.00	0.00	56.00	85.0	47.60
04/30/2017	PRZBYLSKI, MICHAEL	FRANKLIN OH TAX FR INCOME FUND	0.00	15.69	0.00	15.69	85.0	13.34
04/30/2017	BUEHRER, DANIEL	FRANKLIN OH TAX FR INCOME FUND	0.00	64.05	0.00	64.05	85.0	54.44
04/30/2017	PRZYBYLSKI, JOSHUA	FRANKLIN OH TAX FR INCOME FUND	0.00	0.42	0.00	0.42	85.0	0.36
04/30/2017	BAKER, ELAINE	FRANKLIN OH TAX FR INCOME FUND	0.00	35.13	0.00	35.13	85.0	29.86
04/30/2017	MINCK, JOSEPH	FRANKLIN OH TAX FR INCOME FUND	0.00	36.40	0.00	36.40	85.0	30.94
04/30/2017	FISHER, EUGENE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.53	0.00	9.53	85.0	8.10
04/30/2017	DESELMS, RAYMOND	TEMPLETON WORLD A	0.00	0.90	0.00	0.90	85.0	0.77
* MUTUAL FUNDS SUBTOTAL *			\$2,550.00	\$533.07	\$0.00	\$533.07		\$453.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	177,818.17	148.18	0.00	148.18	85.0	125.95
04/30/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	18,159.71	15.13	0.00	15.13	85.0	12.86
04/30/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	51,486.67	42.91	0.00	42.91	85.0	36.47
04/30/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	40,712.35	33.93	0.00	33.93	85.0	28.84
04/26/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
05/03/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
04/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	132,244.64	110.20	0.00	110.20	85.0	93.67
04/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	101,920.53	84.93	0.00	84.93	85.0	72.19
04/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	84,981.96	70.82	0.00	70.82	85.0	60.20
04/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	224,497.93	187.08	0.00	187.08	85.0	159.02
04/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	96,264.25	80.22	0.00	80.22	85.0	68.19
04/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	128,398.36	107.00	0.00	107.00	85.0	90.95
04/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	144,180.78	120.15	0.00	120.15	85.0	102.13
04/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	184,363.34	153.64	0.00	153.64	85.0	130.59
04/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	221,948.62	184.96	0.00	184.96	85.0	157.22
04/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	129,232.49	107.69	0.00	107.69	85.0	91.54
04/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	174,701.87	145.58	0.00	145.58	85.0	123.74

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
04/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	106,927.70	89.11	0.00	89.11	85.0	75.74
04/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	92,518.50	77.10	0.00	77.10	85.0	65.54
04/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	86,548.68	72.12	0.00	72.12	85.0	61.30
04/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	134,940.18	112.45	0.00	112.45	85.0	95.58
04/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	65,064.59	54.22	0.00	54.22	85.0	46.09
04/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	28,556.26	23.80	0.00	23.80	85.0	20.23
04/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	206,720.13	172.27	0.00	172.27	85.0	146.43
04/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,171.07	63.48	0.00	63.48	85.0	53.96
04/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,171.07	63.48	0.00	63.48	85.0	53.96
04/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,171.07	63.48	0.00	63.48	85.0	53.96
04/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	185,651.17	154.71	0.00	154.71	85.0	131.50
04/22/2017	AUGUSTYNIK JR, ROGER	TRANSAMERICA LIBERTY	418,594.10	348.83	0.00	348.83	85.0	296.51
04/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	91,766.45	76.47	0.00	76.47	85.0	65.00
04/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	391,158.28	325.97	0.00	325.97	85.0	277.07
04/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	78,469.27	65.39	0.00	65.39	85.0	55.58
04/26/2017	ANNESSE, CAROL	TRANSAMERICA LIBERTY	127,150.57	105.96	0.00	105.96	85.0	90.07
04/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	118,402.06	98.67	0.00	98.67	85.0	83.87
04/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,750.75	23.13	0.00	23.13	85.0	19.66
04/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	61,379.47	51.15	0.00	51.15	85.0	43.48
04/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,649.70	30.54	0.00	30.54	85.0	25.96
04/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	46,884.04	39.07	0.00	39.07	85.0	33.21
* VARIABLE ANNUITIES SUBTOTAL *			\$4,444,706.78	\$3,712.07	\$0.00	\$3,712.07		\$3,155.28
*** BROKER TRANSACTIONS TOTAL ***			\$4,447,256.78	\$4,245.14	\$0.00	\$4,245.14		\$3,608.40

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<u>ADJUSTMENTS</u>								
05/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*RENEWAL: NATIONWIDE INS	0.00					-45.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-620.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,608.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-620.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,988.40
Year-to-date Gross	\$26,530.14
Year-to-date Earnings	\$22,712.07

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