



All Companies

01/30/2018
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COMMISSION STATEMENT

February 01, 2018

KAREN KENNEDY
1113 Thistle Hill Trail

BROKER #: 9601
BRANCH: HOME OFFICE

Weatherford, TX 76087-

COMMISSION STATEMENT for KAREN KENNEDY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	00082567598 HAMISCH, B. TARL/BRANDON	CAPITAL WORLD GROWTH AND INCOME-529 B		125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00082567598 HAMISCH, B. TARL/BRANDON	EUROPACIFIC GROWTH FUND-529A	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00082567598 HAMISCH, B. TARL/BRANDON	FUNDAMENTAL INVESTORS-529A	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00082567598 HAMISCH, B. TARL/BRANDON	THE INCOME FUND OF AMERICA-529A	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00084672205 HAMISCH, B. TARL/BRANDON	CAPITAL INCOME BUILDER-529A	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00084672205 HAMISCH, B. TARL/BRANDON	NEW PERSPECTIVE FUND-529A	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00084672205 HAMISCH, B. TARL/BRANDON	THE GROWTH FUND OF AMERICA-529A	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00084672205 HAMISCH, B. TARL/BRANDON	THE INVESTMENT COMPANY OF AMERICA-5 B	B	125.00	2.50	0.00	2.50	75.0	1.88
01/16/2018	00088166641 HAMISCH/HYDE, MARY/BENJAMIN	COLLEGE 2018 FUND-529C	B	125.00	1.25	0.00	1.25	75.0	0.94
01/16/2018	00088166663 HYDE IV, JAMES F.	COLLEGE 2018 FUND-529C	B	125.00	1.25	0.00	1.25	75.0	0.94
01/16/2018	00088166670 HYDE IV, JAMES F.	COLLEGE 2018 FUND-529C	B	125.00	1.25	0.00	1.25	75.0	0.94
01/16/2018	00088166767 HYDE IV, JAMES F.	COLLEGE 2018 FUND-529C	B	125.00	1.25	0.00	1.25	75.0	0.94
* MUTUAL FUNDS SUBTOTAL *				\$1,500.00	\$25.00	\$0.00	\$25.00		\$18.80

PRODUCT CATEGORY: STOCKS

01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	3.00	3.00	0.00	3.00	75.0	2.25
* STOCKS SUBTOTAL *				\$3.00	\$3.00	\$0.00	\$3.00		\$2.25

PRODUCT CATEGORY: RIA

01/12/2018	12708353 CREEL FAM. PARTNERS	SIGNAL SECURITIES RIA	B	0.00	434.67	0.00	434.67	75.0	326.00
01/12/2018	15900743 CAMPBELL, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	75.0	26.75
01/12/2018	16287335 REUWER, JACQUELINE J	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	75.0	32.25
01/23/2018	21295378 O'NEIL, LORENE A	SIGNAL SECURITIES RIA	B	0.00	446.00	0.00	446.00	75.0	334.50

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018 21332871	PRINDLE, PATRICIA M	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	75.0	3.25
01/12/2018 23050152	REUWER, JACQUELINE J	SIGNAL SECURITIES RIA	B	0.00	113.67	0.00	113.67	75.0	85.25
01/12/2018 30079610	REUWER TRUST, HERBERT	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	75.0	12.50
	JOHN								
01/12/2018 33633883	MITCHELL, KAY F	SIGNAL SECURITIES RIA	B	0.00	175.00	0.00	175.00	75.0	131.25
01/12/2018 37474369	DIDIER, SUSAN	SIGNAL SECURITIES RIA	B	0.00	142.00	0.00	142.00	75.0	106.50
01/12/2018 46865564	CURDY, BARBARA L	SIGNAL SECURITIES RIA	B	0.00	211.67	0.00	211.67	75.0	158.75
01/12/2018 48058452	BERRYMAN, RICHARD	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	75.0	35.25
01/12/2018 52377754	AVERYT, JERRY W	SIGNAL SECURITIES RIA	B	0.00	145.33	0.00	145.33	75.0	109.00
01/12/2018 56427585	KITTRELL, DAVID &	SIGNAL SECURITIES RIA	B	0.00	255.00	0.00	255.00	75.0	191.25
	LINDA								
01/12/2018 63613927	CONFLITTI,	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	75.0	29.50
	JOSEPH/TAMMY								
01/12/2018 66333723	WATKINS, CAROL R	SIGNAL SECURITIES RIA	B	0.00	585.00	0.00	585.00	75.0	438.75
01/12/2018 67543375	MORRISON, MONICA	SIGNAL SECURITIES RIA	B	0.00	505.00	0.00	505.00	75.0	378.75
01/12/2018 69447947	KITTRELL, LINDA	SIGNAL SECURITIES RIA	B	0.00	5.00	0.00	5.00	75.0	3.75
01/12/2018 71525849	HAMISCH, MARY J	SIGNAL SECURITIES RIA	B	0.00	1,289.00	0.00	1,289.00	75.0	966.75
01/12/2018 71778902	CREEL III, EDWARD &	SIGNAL SECURITIES RIA	B	0.00	557.00	0.00	557.00	75.0	417.75
	NAN								
01/12/2018 72292663	PRINDLE, PATRICIA M	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	75.0	26.75
01/12/2018 76864948	WATKINS, CAROL R	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	75.0	18.00
01/12/2018 77434510	SUGRUE,	SIGNAL SECURITIES RIA	B	0.00	578.67	0.00	578.67	75.0	434.00
	MICHAEL/VICTORI								
01/12/2018 79698351	WATKINS, CAROL R	SIGNAL SECURITIES RIA	B	0.00	114.00	0.00	114.00	75.0	85.50
01/12/2018 80349951	BERRYMAN, LESLIE	SIGNAL SECURITIES RIA	B	0.00	189.33	0.00	189.33	75.0	142.00
01/12/2018 88977715	REUWER TRUST, HERBERT	SIGNAL SECURITIES RIA	B	0.00	61.67	0.00	61.67	75.0	46.25
	JOHN								
* RIA SUBTOTAL *				\$0.00	\$6,053.68	\$0.00	\$6,053.68		\$4,540.25
*** BROKER TRANSACTIONS TOTAL ***				\$1,503.00	\$6,081.68	\$0.00	\$6,081.68		\$4,561.30

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ADJUSTMENTS

02/01/2018		TECH: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,561.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-478.69

Check Amount	\$4,055.11
Year-to-date Earnings	\$9,114.20