



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017  
 Page No. 1

ROGER BOWLIN  
 507 SE Andrews Street  
  
 Issaquah, WA 98027-

**BROKER #: B156**  
**BRANCH: ROGER BOWLIN**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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**BROKER TRANSACTIONS**

**PRODUCT CATEGORY: MUTUAL FUNDS**

03/01/2017	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	15.30	90.0	13.77	0.00	13.77
03/01/2017	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	1.21	90.0	1.09	0.00	1.09
03/01/2017	DEDUCTIBLE, NON	AM FUNDS AMCAP F1	0.00	2.22	90.0	2.00	0.00	2.00
03/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.53	90.0	4.08	0.00	4.08
03/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	3.70	90.0	3.33	0.00	3.33
03/01/2017	DEDUCTIBLE, NON	AM FUNDS AMCAP F1	0.00	2.31	90.0	2.08	0.00	2.08
03/01/2017	DEDUCTIBLE, NON	AM FUNDS AMCAP F1	0.00	3.68	90.0	3.31	0.00	3.31
03/01/2017	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	2.99	90.0	2.69	0.00	2.69
03/01/2017	DEDUCTIBLE, NON	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.01	90.0	0.91	0.00	0.91
03/01/2017	DEDUCTIBLE, NON	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.04	90.0	0.94	0.00	0.94
03/01/2017	DEDUCTIBLE, NON	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.38	90.0	1.24	0.00	1.24
03/01/2017	PHILLIPS, GREGORY	AM FUNDS AMERICAN MUTUAL A	0.00	14.67	90.0	13.20	0.00	13.20
03/01/2017	NELSEN, CRAIG	AM FUNDS CAPITAL INCOME BUILDER C	0.00	47.50	90.0	42.75	0.00	42.75
03/01/2017	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.86	90.0	0.77	0.00	0.77
03/01/2017	HEARN, GEORGE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.62	90.0	0.56	0.00	0.56
03/01/2017	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	12.39	90.0	11.15	0.00	11.15
03/01/2017	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.33	90.0	0.30	0.00	0.30
03/01/2017	DEDUCTIBLE, NON	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.21	90.0	2.89	0.00	2.89
03/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.40	90.0	3.96	0.00	3.96
03/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.76	90.0	3.38	0.00	3.38
03/01/2017	DEDUCTIBLE, NON	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.35	90.0	3.02	0.00	3.02
03/01/2017	DEDUCTIBLE, NON	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	5.41	90.0	4.87	0.00	4.87
03/01/2017	HEARN, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.60	90.0	1.44	0.00	1.44
03/01/2017	HEARN, GEORGE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.17	90.0	1.05	0.00	1.05
03/01/2017	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	2.92	90.0	2.63	0.00	2.63
03/01/2017	PHILLIPS, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.31	90.0	0.28	0.00	0.28

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	SUBERT, MORGAN	AM FUNDS FUNDAMENTAL INVESTORS	0.00	0.81	90.0	0.73	0.00	0.73
03/01/2017	PHILLIPS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.31	90.0	1.18	0.00	1.18
03/01/2017	SUBERT, MORGAN	AM FUNDS GROWTH FUND OF AMERICA	0.00	2.23	90.0	2.01	0.00	2.01
03/01/2017	DEDUCTIBLE, NON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.39	90.0	2.15	0.00	2.15
03/01/2017	DEDUCTIBLE, NON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.49	90.0	2.24	0.00	2.24
03/01/2017	DEDUCTIBLE, NON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.98	90.0	3.58	0.00	3.58
03/01/2017	HEARN, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.21	90.0	1.99	0.00	1.99
03/01/2017	HEARN, GEORGE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.60	90.0	1.44	0.00	1.44
03/01/2017	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	0.00	1.83	90.0	1.65	0.00	1.65
03/01/2017	PHILLIPS, GREGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.53	90.0	5.88	0.00	5.88
03/01/2017	PHILLIPS, GREGORY	AM FUNDS NEW ECONOMY A	0.00	11.12	90.0	10.01	0.00	10.01
03/01/2017	DEDUCTIBLE, NON	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.37	90.0	1.23	0.00	1.23
03/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	4.60	90.0	4.14	0.00	4.14
03/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.84	90.0	3.46	0.00	3.46
03/01/2017	DEDUCTIBLE, NON	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.41	90.0	1.27	0.00	1.27
03/01/2017	DEDUCTIBLE, NON	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.88	90.0	1.69	0.00	1.69
03/01/2017	HEARN, CAROL	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.69	90.0	2.42	0.00	2.42
03/01/2017	HEARN, GEORGE	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.95	90.0	1.76	0.00	1.76
03/01/2017	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.11	90.0	2.80	0.00	2.80
03/01/2017	PHILLIPS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.31	90.0	0.28	0.00	0.28
03/01/2017	PHILLIPS, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	9.83	90.0	8.85	0.00	8.85
03/01/2017	DEDUCTIBLE, NON	AM FUNDS WASHINGTON MUTUAL	0.00	3.22	90.0	2.90	0.00	2.90
03/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	4.11	90.0	3.70	0.00	3.70
03/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	7.45	90.0	6.71	0.00	6.71
03/01/2017	DEDUCTIBLE, NON	AM FUNDS WASHINGTON MUTUAL	0.00	3.37	90.0	3.03	0.00	3.03
03/01/2017	DEDUCTIBLE, NON	AM FUNDS WASHINGTON MUTUAL	0.00	5.93	90.0	5.34	0.00	5.34
03/01/2017	HEARN, CAROL	AM FUNDS WASHINGTON MUTUAL	0.00	0.75	90.0	0.68	0.00	0.68
03/01/2017	HEARN, GEORGE	AM FUNDS WASHINGTON MUTUAL	0.00	0.47	90.0	0.42	0.00	0.42
03/01/2017	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	2.63	90.0	2.37	0.00	2.37
03/01/2017	DEDUCTIBLE, NON	AMERICAN FUND SMALLCAP WORKD	0.00	1.67	90.0	1.50	0.00	1.50
03/01/2017	DEDUCTIBLE, NON	AMERICAN FUND SMALLCAP WORKD	0.00	1.73	90.0	1.56	0.00	1.56
03/01/2017	DEDUCTIBLE, NON	AMERICAN FUND SMALLCAP WORKD	0.00	2.45	90.0	2.21	0.00	2.21
03/01/2017	DEDUCTIBLE, NON	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.63	90.0	1.47	0.00	1.47

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	DEDUCTIBLE, NON	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.69	90.0	1.52	0.00	1.52
03/01/2017	DEDUCTIBLE, NON	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.54	90.0	2.29	0.00	2.29
03/01/2017	HEARN, CAROL	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.84	90.0	1.66	0.00	1.66
03/01/2017	HEARN, GEORGE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.33	90.0	1.20	0.00	1.20
03/01/2017	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.58	90.0	2.32	0.00	2.32
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$254.75</b>		<b>\$229.33</b>	<b>\$0.00</b>	<b>\$229.33</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/01/2017	LOWRY, JOHN	COLONY NORTHSTAR INC CL A COM	-3,905.40	100.00	90.0	90.00	22.50	67.50
03/01/2017	LOWRY, LUCY	COLONY NORTHSTAR INC CL A COM	-2,336.04	100.00	90.0	90.00	22.50	67.50
03/01/2017	JACOBS, RONALD	COLONY NORTHSTAR INC CL A COM	-8,138.99	175.00	90.0	157.50	22.87	134.63
03/08/2017	HOYT, JOHN	MONROE CAP CORP COM	-38,113.92	475.00	90.0	427.50	25.60	401.90
03/08/2017	WILKE, GARY	MONROE CAP CORP COM	-7,845.70	150.00	90.0	135.00	22.75	112.25
03/08/2017	BABCOCK, BRUCE	MONROE CAP CORP COM	-8,727.68	175.00	90.0	157.50	22.83	134.67
03/08/2017	NEIGEL, DAVID	MONROE CAP CORP COM	-9,813.13	175.00	90.0	157.50	22.94	134.56
03/08/2017	LEE, STEVEN	MONROE CAP CORP COM	-13,857.99	210.00	90.0	189.00	23.31	165.69
03/08/2017	SUBERT, MICHAEL	MONROE CAP CORP COM	-8,656.07	150.00	90.0	135.00	22.83	112.17
02/28/2017	LOWRY, JOHN	NORTHSTAR RLTY EUROPE CORP COM	-1,044.05	50.00	90.0	45.00	22.50	22.50
03/01/2017	LOWRY, LUCY	NORTHSTAR RLTY EUROPE CORP COM	-606.15	30.00	90.0	27.00	22.50	4.50
03/01/2017	JACOBS, RONALD	NORTHSTAR RLTY EUROPE CORP COM	-2,126.36	50.00	90.0	45.00	22.50	22.50
03/08/2017	WILKE, GARY	NUVEEN ENERGY MLP TOTAL RETURN FD	-6,713.15	125.00	90.0	112.50	22.75	89.75
03/01/2017	LOWRY, LUCY	TIER REIT INC COM NEW	-6,047.21	200.00	90.0	180.00	22.50	157.50
<b>* STOCKS SUBTOTAL *</b>			<b>\$-117,931.84</b>	<b>\$2,165.00</b>		<b>\$1,948.50</b>	<b>\$320.88</b>	<b>\$1,627.62</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$-117,931.84</b>	<b>\$2,419.75</b>		<b>\$2,177.83</b>	<b>\$320.88</b>	<b>\$1,856.95</b>

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<b><u>ADJUSTMENTS</u></b>								
03/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
03/15/2017		*TECH: ADMIN CURRENT TECH FEE	0.00					-100.00
03/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-676.50	\$0.00	\$-676.50

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,856.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-676.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$2.25
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,182.70
Year-to-date Gross	\$9,521.75
Year-to-date Earnings	\$8,298.75

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