



COMMISSION STATEMENT

February 19, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 02/13/2018 | ***-**-674I - RAMSEY, MARION | JOHN HANCOCK TECHNICAL OPPS CL A | 1,999.99 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| | ACCOUNT #: LSR012275 | SELL 171 Units @11.7200 | | | | | | |
| 02/13/2018 | ***-**-674I - RAMSEY, MARION | JOHN HANCOCK TECHNICAL OPPS CL A | 41.37 | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| | ACCOUNT #: LSR012275 | SELL 4 Units @11.7200 | | | | | | |
| 02/12/2018 | ***-**-80UT - WILCOX UTMA CT, | PACIFIC FUNDS PORT OP AGGR-GROWTH C | 99.99 | 1.00 | 0.00 | 1.00 | 70.0 | 0.70 |
| | ACCOUNT #: LSR012264 | BUY 6 Units @16.6100 | | | | | | |
| 02/13/2018 | ***-**-674I - RAMSEY, MARION | PUTNAM EQUITY INCOME FUND-A | 1,999.99 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| | ACCOUNT #: LSR012275 | SELL 82 Units @24.2900 | | | | | | |
| 02/13/2018 | ***-**-674I - RAMSEY, MARION | PUTNAM EQUITY INCOME FUND-A | 82.00 | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| | ACCOUNT #: LSR012275 | SELL 3 Units @24.2900 | | | | | | |
| 02/13/2018 | ***-**-674I - RAMSEY, MARION | PUTNAM HIGH YIELD ADVANTAGE-A | 141.80 | 0.00 | 0.00 | 0.00 | 70.0 | 0.00 |
| | ACCOUNT #: LSR012275 | SELL 24 Units @5.8400 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$4,365.14 | \$1.00 | \$36.00 | \$-35.00 | | \$-35.30 |
| <u>PRODUCT CATEGORY: 529 PLAN</u> | | | | | | | | |
| 02/14/2018 | ***-**-5134 - KOONTZ, JOSEPH | CHET 529 | 50.00 | 0.50 | 0.00 | 0.50 | 70.0 | 0.35 |
| | ACCOUNT #: 68455548301 | BUY 0 Units @0.0000 | | | | | | |
| * 529 PLAN SUBTOTAL * | | | \$50.00 | \$0.50 | \$0.00 | \$0.50 | | \$0.35 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 02/14/2018 | ***-**-26IN - SANTOS, FATIMA | CHET ADVISOR 529 TRAILS | 0.00 | 3.77 | 0.00 | 3.77 | 70.0 | 2.64 |
| | ACCOUNT #: 68526609201 | BUY 0 Units @0.0000 | | | | | | |
| 02/14/2018 | ***-**-925P - FENGLER, DANIEL | CHET ADVISOR 529 TRAILS | 0.00 | 1.97 | 0.00 | 1.97 | 70.0 | 1.38 |
| | ACCOUNT #: 68939901201 | BUY 0 Units @0.0000 | | | | | | |
| 02/14/2018 | ***-**-57IR - SOCHA, CYNTHIA | JOHN HANCOCK TECHNICAL OPPS CL A | 0.00 | 2.31 | 0.00 | 2.31 | 70.0 | 1.62 |
| | ACCOUNT #: 00002019641 | BUY 0 Units @0.0000 | | | | | | |
| 02/14/2018 | ***-**-118I - PIRULLI, DEBORAH | STRATEGIC INCOME A | 0.00 | 5.20 | 0.00 | 5.20 | 70.0 | 3.64 |
| | ACCOUNT #: 00002034826 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$13.25 | \$0.00 | \$13.25 | | \$9.28 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$4,415.14 | \$14.75 | \$36.00 | \$-21.25 | | \$-25.67 |



BROKER #: 198
BRANCH #01001 - LifeMark Home Office

| | | INVESTMENT | GROSS | CLEARING | NET | BROKER |
|--------------------------------------|--------|------------|------------|---|------------|------------|
| DATE | CLIENT | AMOUNT | COMMISSION | CHARGE | COMMISSION | COMMISSION |
| <div>BROKER COMMISSION TOTALS</div> | | | | | | |
| | | | | Broker Transactions | | \$-25.67 |
| | | | | Split Transactions | | \$0.00 |
| | | | | Override Transactions | | \$0.00 |
| | | | | Adjustments | | \$0.00 |
| | | | | Payroll Draw | | \$0.00 |
| | | | | Base Salary | | \$0.00 |
| | | | | Prior Period Balance | | \$0.00 |
| | | | | FINRA Assessment | | \$0.00 |
| | | | | SIPC Assessment | | \$0.00 |
| Please Retain for Your Records | | | | <div>Balance Forward\$-25.67</div> <div>Year-to-date Earnings\$0.00</div> | | |
| THERE WILL BE NO PAYMENT THIS PERIOD | | | | | | |