

**COMMISSION STATEMENT****October 31, 2017****REBECCA FREEMAN**

125 High Rock Ave

Suite 103

Saratoga Springs, NY 12866

BROKER #: F124

BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
10/17/2017	ALPER, PETER	ASSETMARK	102,086.59	321.57	0.00	321.57	90.0	289.41
10/17/2017	ALPER, PETER	ASSETMARK	173,182.70	218.21	0.00	218.21	90.0	196.39
10/17/2017	ALPER, PETER	ASSETMARK	16,099.63	50.71	0.00	50.71	90.0	45.64
10/17/2017	DEVITO, LISA	ASSETMARK	368,885.80	883.11	0.00	883.11	90.0	794.80
10/17/2017	DEVITO, LISA	ASSETMARK	281,062.30	354.14	0.00	354.14	90.0	318.73
10/17/2017	LUNT, MARAJORIE	ASSETMARK	399,027.61	502.77	0.00	502.77	90.0	452.49
10/17/2017	LUNT, MARAJORIE	ASSETMARK	78,369.85	193.74	0.00	193.74	90.0	174.37
10/17/2017	LUNT, MARAJORIE	ASSETMARK	20,468.59	51.58	0.00	51.58	90.0	46.42
10/17/2017	WAITE, BARBARA	ASSETMARK	142,268.56	179.26	0.00	179.26	90.0	161.33
10/17/2017	WAITE, BARBARA	ASSETMARK	93,458.99	117.76	0.00	117.76	90.0	105.98
10/17/2017	WAITE, BARBARA	ASSETMARK	247,439.52	311.77	0.00	311.77	90.0	280.59
10/18/2017	ANDERSON, CAROLYN	SAWTOOTH	0.00	177.93	0.00	177.93	90.0	160.14
10/18/2017	BERNARD, CRAIG	SAWTOOTH	0.00	216.27	0.00	216.27	90.0	194.64
10/18/2017	BERNARD, CRAIG	SAWTOOTH	0.00	710.75	0.00	710.75	90.0	639.68
10/18/2017	BRENNAN, WILLIAM	SAWTOOTH	0.00	682.27	0.00	682.27	90.0	614.04
10/18/2017	COATS, STEVEN	SAWTOOTH	0.00	1,252.16	0.00	1,252.16	90.0	1,126.94
10/18/2017	COATS, STEVEN	SAWTOOTH	0.00	1,038.15	0.00	1,038.15	90.0	934.34
10/18/2017	FOX, JENNIFER	SAWTOOTH	0.00	942.92	0.00	942.92	90.0	848.63
10/18/2017	FOX, KURT	SAWTOOTH	0.00	497.64	0.00	497.64	90.0	447.88
10/18/2017	HALL, WILMA	SAWTOOTH	0.00	23.01	0.00	23.01	90.0	20.71
10/18/2017	HAWKE, SHARON	SAWTOOTH	0.00	735.65	0.00	735.65	90.0	662.09
10/18/2017	HAWKE, SHARON	SAWTOOTH	0.00	84.24	0.00	84.24	90.0	75.82
10/18/2017	HIGGINS, ALEXANDRA	SAWTOOTH	0.00	47.23	0.00	47.23	90.0	42.51
10/18/2017	HIGGINS, ALEXANDRA	SAWTOOTH	0.00	301.45	0.00	301.45	90.0	271.31
10/18/2017	HIGGINS, ALEXANDRA	SAWTOOTH	0.00	30.73	0.00	30.73	90.0	27.66
10/18/2017	HIGGINS, ANNE	SAWTOOTH	0.00	489.73	0.00	489.73	90.0	440.76

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: RIA								
10/18/2017	HAVLICEK, BRIGITTE HIGGIN	SAWTOOTH	0.00	20.44	0.00	20.44	90.0	18.40
10/18/2017	HAVLICEK, BRIGITTE HIGGIN	SAWTOOTH	0.00	8.08	0.00	8.08	90.0	7.27
10/18/2017	KNOWLTON, JACKSON	SAWTOOTH	0.00	121.13	0.00	121.13	90.0	109.02
10/18/2017	MARTINEZ, YVONNE	SAWTOOTH	0.00	14.38	0.00	14.38	90.0	12.94
10/18/2017	MCCLANAHAN, ARTHUR	SAWTOOTH	0.00	1,216.24	0.00	1,216.24	90.0	1,094.62
10/18/2017	RADER, FRANK	SAWTOOTH	0.00	851.52	0.00	851.52	90.0	766.37
10/18/2017	STERN, AMELIA	SAWTOOTH	0.00	563.94	0.00	563.94	90.0	507.55
10/18/2017	STERN, JONATHAN	SAWTOOTH	0.00	311.30	0.00	311.30	90.0	280.17
10/18/2017	WILSON, GEORGE	SAWTOOTH	0.00	44.09	0.00	44.09	90.0	39.68
10/18/2017	WILSON, GEORGE	SAWTOOTH	0.00	847.48	0.00	847.48	90.0	762.73
10/18/2017	WILSON, DELORES	SAWTOOTH	0.00	58.38	0.00	58.38	90.0	52.54
* RIA SUBTOTAL *			\$1,922,350.14	\$14,471.73	\$0.00	\$14,471.73		\$13,024.59
*** BROKER TRANSACTIONS TOTAL ***			\$1,922,350.14	\$14,471.73	\$0.00	\$14,471.73		\$13,024.59
ADJUSTMENTS								
10/31/2017	*MANAGED FEE EXPENSE: SAWTOOTH ACCT		0.00					-30.02
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-30.02

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$13,024.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-30.02
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-18.66
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,975.91
Year-to-date Gross	\$79,573.60
Year-to-date Earnings	\$71,616.38