

COMMISSION STATEMENT

June 30, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/26/2018	152880787	BARRY, REAGAN	MF	THE INVESTMENT COMPANY OF	B	-1,482.55	0.00	5.00	-5.00	0.0	0.00
06/25/2018	430578543	KELLY, PATRICK JOHN	MF	MASS INVESTORS GROWTH STOCK	S	-4,027.81	0.00	5.00	-5.00	0.0	0.00
06/25/2018	430578543	KELLY, PATRICK JOHN	MF	MASSACHUSETTS INVESTORS TRUST-A	S	-9,121.78	0.00	5.00	-5.00	0.0	0.00
06/25/2018	430578543	KELLY, PATRICK JOHN	MF	MFS TOTAL RETURN FUND-A	S	-4,490.50	0.00	5.00	-5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *						\$-19,122.64	\$0.00	\$20.00	\$-20.00		\$0.00

PRODUCT CATEGORY: STOCKS

06/11/2018	646227396	CLARY, WILLIAM	S	ANTERO MIDSTREAM PARTNERS LP	B	6,207.45	35.00	15.00	20.00	0.0	0.00
06/11/2018	646227396	CLARY, WILLIAM	S	INTELLIA THERAPEUTICS INC	B	5,109.97	35.00	15.00	20.00	0.0	0.00
06/11/2018	646227396	CLARY, WILLIAM	S	KOHL'S CORP	S	-23,468.56	35.00	15.00	20.00	0.0	0.00
06/11/2018	646227396	CLARY, WILLIAM	S	PENSKE AUTO GROUP INC	B	10,271.43	35.00	15.00	20.00	0.0	0.00
06/18/2018	646227396	CLARY, WILLIAM	S	CRISPR THERAPEUTICS AG	S	-12,374.39	35.00	15.00	20.00	0.0	0.00
06/18/2018	646227396	CLARY, WILLIAM	S	EDITAS MEDICINE INC	B	7,773.45	35.00	15.00	20.00	0.0	0.00
06/18/2018	646227396	CLARY, WILLIAM	S	QORVO INC	S	-4,195.49	35.00	15.00	20.00	0.0	0.00
06/18/2018	646227396	CLARY, WILLIAM	S	SANGAMO THERAPEUTICS INC	B	4,775.95	35.00	15.00	20.00	0.0	0.00
06/20/2018	646761449	MCCUNE, ROBIN	S	JANUS HENDERSON GROUP PLC SHS	S	-63,863.97	252.95	15.00	237.95	0.0	0.00
* STOCKS SUBTOTAL *						\$-69,764.16	\$532.95	\$135.00	\$397.95		\$0.00

PRODUCT CATEGORY: MISCELLANEOUS

06/26/2018	a03434562	HOUSE-D000,	MI	MUTUAL FUND MISC FEE 430578543	S	0.00	15.00	0.00	15.00	0.0	0.00
06/26/2018	a03434562	HOUSE-D000,	MI	MUTUAL FUND MISC FEE 430578543	S	0.00	15.00	0.00	15.00	0.0	0.00
06/26/2018	a03434562	HOUSE-D000,	MI	MUTUAL FUND MISC FEE 430578543	S	0.00	15.00	0.00	15.00	0.0	0.00
06/27/2018	a03434562	HOUSE-D000,	MI	MUTUAL FUND MISC FEE 152880787	S	0.00	15.00	0.00	15.00	0.0	0.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$60.00	\$0.00	\$60.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/21/2018	mfc033980	VARIOUS,	MFT	AMCAP FUND-A	B	0.00	2.37	0.00	2.37	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.68	0.00	5.68	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT	AMERICAN MUTUAL FUND-A	B	0.00	3.56	0.00	3.56	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.40	0.00	0.40	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	0.92	0.00	0.92	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT	CAPITAL INCOME BUILDER-A	B	0.00	2.22	0.00	2.22	0.0	0.00

B/S	INVESTMENT AMOUNT
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					INVESTMENT	GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
06/21/2018	mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.56	0.00	3.56	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.59	0.00	3.59	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT CAPITAL WORLD BOND FUND-A	B	0.00	3.25	0.00	3.25	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	0.64	0.00	0.64	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	0.06	0.00	0.06	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.30	0.00	2.30	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.82	0.00	5.82	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	8.46	0.00	8.46	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT GLOBAL BALANCED FUND-A	B	0.00	5.76	0.00	5.76	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT HARTFORD GLOBAL CAP APP FD-A	B	0.00	2.31	0.00	2.31	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT HARTFORD GLOBAL EQUITY INCOME	B	0.00	1.90	0.00	1.90	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.08	0.00	0.08	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT PUTNAM 746444108	B	0.00	0.09	0.00	0.09	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT TEMP DEV MRKTS TR FND - CL A	B	0.00	2.01	0.00	2.01	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT THE BOND FUND OF AMERICA-A	B	0.00	2.69	0.00	2.69	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT THE HARTFORD INTL SMALL COMPANY	B	0.00	1.99	0.00	1.99	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT THE HARTFORD VALUE	B	0.00	2.08	0.00	2.08	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	2.61	0.00	2.61	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.53	0.00	2.53	0.0	0.00
06/21/2018	mfc033980	VARIOUS,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.03	0.00	4.03	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.40	0.00	0.40	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.07	0.00	1.07	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMCAP FUND-A	B	0.00	4.11	0.00	4.11	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-A	B	0.00	4.79	0.00	4.79	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-F1	B	0.00	9.04	0.00	9.04	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN BALANCED FUND-F1	B	0.00	9.04	0.00	9.04	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN FDS INTL GROWTH &	B	0.00	1.30	0.00	1.30	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN FDS INTL GROWTH &	B	0.00	1.30	0.00	1.30	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	1.71	0.00	1.71	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.57	0.00	2.57	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT BLACKROCK S&P 500 INDEX FD	B	0.00	0.50	0.00	0.50	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT CAPITAL WORLD GROWTH AND	B	0.00	9.35	0.00	9.35	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT DAVIS NEW YORK VENTURE CLASS C	B	0.00	28.69	0.00	28.69	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT DELAWARE SELECT GROWTH FUND A	B	0.00	0.18	0.00	0.18	0.0	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	0.17	0.00	0.17	0.0	0.0

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06/21/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.72	0.00	0.72	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	0.98	0.00	0.98	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	0.77	0.00	0.77	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK AGGRESSIVE GRWTH - CL A	B	0.00	1.84	0.00	1.84	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK GOLD FUND - CLASS A	B	0.00	0.48	0.00	0.48	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.07	0.00	1.07	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.34	0.00	1.34	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	1.83	0.00	1.83	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	4.87	0.00	4.87	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.80	0.00	6.80	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.30	0.00	1.30	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	4.18	0.00	4.18	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT INTERMEDIATE BOND FUND OF	B	0.00	4.19	0.00	4.19	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.57	0.00	0.57	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.80	0.00	0.80	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.85	0.00	0.85	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	0.53	0.00	0.53	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	1.68	0.00	1.68	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MASSACHUSETTS INVESTORS TRUST-A	B	0.00	1.95	0.00	1.95	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MFS TECHNOLOGY FUND-A	B	0.00	1.16	0.00	1.16	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.91	0.00	0.91	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	0.96	0.00	0.96	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.02	0.00	1.02	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT MFS TOTAL RETURN FUND-A	B	0.00	1.02	0.00	1.02	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.87	0.00	2.87	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	3.47	0.00	3.47	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT NEW WORLD FUND-A	B	0.00	19.97	0.00	19.97	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT OBERWEIS CHINA OPPORTUNITIES FD	B	0.00	0.84	0.00	0.84	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PIMCO ALL ASSET A	B	0.00	1.22	0.00	1.22	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PRUDENTIAL QMA STOCK INDEX FD C	B	0.00	3.30	0.00	3.30	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746444876	B	0.00	16.22	0.00	16.22	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746745108	B	0.00	0.07	0.00	0.07	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746809102	B	0.00	2.29	0.00	2.29	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	1.55	0.00	1.55	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	1.63	0.00	1.63	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM 746802800	B	0.00	4.01	0.00	4.01	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	2.21	0.00	2.21	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700-A	B	0.00	2.85	0.00	2.85	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM ABSOLUTE RETURN 700 FD	B	0.00	14.00	0.00	14.00	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.44	0.00	0.44	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.26	0.00	1.26	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	4.63	0.00	4.63	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT RUSSELL LIFEPOINTS BAL STRATEGY	B	0.00	36.36	0.00	36.36	0.00
06/21/2018	mfc434562	HOUSE 434562	MFT SMALLCAP GROWTH - CLASS A	B	0.00	0.96	0.00	0.96	0.00

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06/21/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	0.71	0.00	0.71	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT SMALLCAP WORLD FUND-A	B	0.00	1.62	0.00	1.62	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.03	0.00	3.03	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.35	0.00	4.35	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.00	0.00	2.00	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.56	0.00	2.56	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.53	0.00	6.53	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INCOME FUND OF AMERICA-A	B	0.00	20.50	0.00	20.50	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	0.19	0.00	0.19	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	1.30	0.00	1.30	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT THE INVESTMENT COMPANY OF	B	0.00	2.94	0.00	2.94	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.02	0.00	0.02	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	0.10	0.00	0.10	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.84	0.00	6.84	0.0	0.00
06/21/2018	mfc434562 HOUSE 434562	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.19	0.00	0.19	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$396.50	\$0.00	\$396.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-88,886.80	\$989.45	\$155.00	\$834.45		\$0.00

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/11/2018 (None) PHAN, NONNIE	MF BLACKROCK	B	50.00	2.50	0.00	2.50	0.0	0.00
06/11/2018 (None) MCCLOSKEY, BILL	MF OPPENHEIMER	B	50.00	2.38	0.00	2.38	0.0	0.00
06/15/2018 (None) VARIOUS, HOUSE	MF VIRTUS	B	100.00	5.00	0.00	5.00	0.0	0.00
06/11/2018 000000001566633 SHAWN M	MF FRK TEMP FOUNDING FUNDS ALLOC	B	50.00	2.50	0.00	2.50	0.0	0.00
06/14/2018 00060428524 FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	175.00	7.00	0.00	7.00	0.0	0.00
06/26/2018 00060428524 FULLEM, R	MF MFS EMERGING GROWTH FUND CLASS	B	175.00	7.00	0.00	7.00	0.0	0.00
06/15/2018 00064309614 DELISE, KOPAVI	MF CAPITAL INCOME BUILDER-A	B	83.00	3.11	0.00	3.11	0.0	0.00
06/15/2018 00064309614 DELISE, KOPAVI	MF THE INCOME FUND OF AMERICA-A	B	83.00	3.11	0.00	3.11	0.0	0.00
06/11/2018 00067093803 P AHMU,	MF AMERICAN BALANCED FUND-A	B	100.00	3.75	0.00	3.75	0.0	0.00
06/18/2018 00067697270 LAWRENCE,	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.13	0.00	2.13	0.0	0.00
06/15/2018 00067833895 CURL, THOMAS	MF THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	0.0	0.00
06/15/2018 00068779798 ULLOA, AUDREY	MF COLLEGE ENROLLMENT FUND-529A	B	150.00	3.00	0.00	3.00	0.0	0.00
06/20/2018 00069291988 ABALOS, NATHAN	MF CAPITAL WORLD GROWTH AND	B	100.00	2.75	0.00	2.75	0.0	0.00
06/20/2018 00069291988 ABALOS, NATHAN	MF THE GROWTH FUND OF AMERICA-529A	B	100.00	2.75	0.00	2.75	0.0	0.00
06/11/2018 00069291990 ABALOS, NATHAN	MF NEW PERSPECTIVE FUND-529A	B	100.00	2.75	0.00	2.75	0.0	0.00
06/11/2018 00069291990 ABALOS, NATHAN	MF THE INVESTMENT COMPANY OF	B	100.00	2.75	0.00	2.75	0.0	0.00
06/18/2018 00085852490 BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	33.00	1.65	0.00	1.65	0.0	0.00
06/18/2018 00085852490 BARRETERO, JOHN	MF CAPITAL INCOME BUILDER-A	B	0.99	0.05	0.00	0.05	0.0	0.00
06/18/2018 00085852490 BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	33.00	1.65	0.00	1.65	0.0	0.00
06/18/2018 00085852490 BARRETERO, JOHN	MF CAPITAL WORLD GROWTH AND INCOME	B	0.99	0.05	0.00	0.05	0.0	0.00
06/18/2018 00085852490 BARRETERO, JOHN	MF NEW WORLD FUND-A	B	34.00	1.70	0.00	1.70	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/18/2018 00085852490	BARRETERO, JOHN	MF NEW WORLD FUND-A	B	1.02	0.05	0.00	0.05	0.0	0.00
06/18/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	9.24	0.46	0.00	0.46	0.0	0.00
06/18/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL INCOME BUILDER-A	B	0.27	0.01	0.00	0.01	0.0	0.00
06/18/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	9.24	0.46	0.00	0.46	0.0	0.00
06/18/2018 00085857400	MUNOZ, EUSEVIO	MF CAPITAL WORLD GROWTH AND INCOME	B	0.28	0.01	0.00	0.01	0.0	0.00
06/18/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	0.29	0.01	0.00	0.01	0.0	0.00
06/18/2018 00085857400	MUNOZ, EUSEVIO	MF NEW WORLD FUND-A	B	9.52	0.48	0.00	0.48	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR EQUITY INCOME	B	18.69	0.19	0.00	0.19	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR EQUITY INCOME	B	37.39	0.37	0.00	0.37	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH &	B	56.08	0.56	0.00	0.56	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH &	B	112.16	1.12	0.00	1.12	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH OPPORT	B	74.77	0.75	0.00	0.75	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADVISOR GROWTH OPPORT	B	149.54	1.50	0.00	1.50	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADV EUROPE FUND	B	37.39	0.37	0.00	0.37	0.0	0.00
06/11/2018 00537011470	SWANGO, BRENDA	MF FIDELITY ADV EUROPE FUND	B	74.77	0.75	0.00	0.75	0.0	0.00
06/15/2018 03910377153	MCCARTNEY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	5.00	0.00	5.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$2,308.63	\$72.17	\$0.00	\$72.17		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018 (None) VARIOUS, HOUSE	MFT AIG	B	0.00	16.90	0.00	16.90	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT AIG	B	0.00	8.14	0.00	8.14	0.0	0.00
05/31/2018 (None) PHAN, NONNIE	MFT BLACKROCK	B	0.00	3.29	0.00	3.29	0.0	0.00
05/31/2018 (None) HALLEELIZ	MFT BLACKROCK	B	0.00	3.30	0.00	3.30	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT DELWARE	B	0.00	13.00	0.00	13.00	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT DELWARE	B	0.00	3.56	0.00	3.56	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT HARTFORD MUTUAL FUIND	B	0.00	0.39	0.00	0.39	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	6.25	0.00	6.25	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	3.26	0.00	3.26	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT PRINCIPAL	B	0.00	94.78	0.00	94.78	0.0	0.00
05/31/2018 (None) VARIOUS, HOUSE	MFT VAN ECK 12B-1	B	0.00	49.92	0.00	49.92	0.0	0.00
06/01/2018 (None) CHAU, MACH	MFT FIDELITY DESTINY	B	0.00	2.26	0.00	2.26	0.0	0.00
06/05/2018 00999005828 907445019	MFT COLUMBIA STRATEGIC INCOME	B	0.00	2.90	0.00	2.90	0.0	0.00
06/05/2018 01002969111 GREENWELL, HAROLD	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.76	0.00	0.76	0.0	0.00
06/05/2018 01008869663 TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.14	0.00	0.14	0.0	0.00
06/05/2018 01008869663 TARANTINO,	MFT WELLS FARGO GLOBAL	B	0.00	0.41	0.00	0.41	0.0	0.00
06/05/2018 01009334945 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.60	0.00	0.60	0.0	0.00
06/05/2018 01009334945 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.81	0.00	1.81	0.0	0.00
06/05/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	0.55	0.00	0.55	0.0	0.00
06/05/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO GLOBAL	B	0.00	1.66	0.00	1.66	0.0	0.00
06/05/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	0.61	0.00	0.61	0.0	0.00
06/05/2018 01009368557 DEVINE, MARC	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.84	0.00	1.84	0.0	0.00
06/05/2018 08009120302 LYNN PARKER	MFT WELLS FARGO ENTERPRISE-A	B	0.00	0.20	0.00	0.20	0.0	0.00
06/05/2018 08303694207 COAN, JUDITH	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	0.89	0.00	0.89	0.0	0.00
06/05/2018 08322273206 HIGGINS, STEPHEN	MFT WELLS FARGO PRECIOUS METALS-A	B	0.00	0.29	0.00	0.29	0.0	0.00

COMMISSION STATEMENT for HOUSE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/05/2018	08694039007 OWEN, JAMES	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	11.00	0.00	11.00	0.0	0.00
06/05/2018	51460000018 DEVINE, MARC	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	1.15	0.00	1.15	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$229.86	\$0.00	\$229.86		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/08/2018	(None) VARIOUS, HOUSE	VAT TRANSAMERICA LIFE	B	0.00	557.75	0.00	557.75	0.0	0.00
06/09/2018	(None) BELL, LAURIE	VAT VOYA LIFE	B	0.00	221.48	0.00	221.48	0.0	0.00
06/09/2018	(None) BELL, LAURIE	VAT VOYA LIFE	B	0.00	221.48	0.00	221.48	0.0	0.00
06/11/2018	(None) EVANS	VAT SAMMONS	B	0.00	13.99	0.00	13.99	0.0	0.00
06/12/2018	(None) VARIOUS, HOUSE	VAT AMERICAN GENERAL	B	0.00	96.10	0.00	96.10	0.0	0.00
06/14/2018	(None) AEGOLIUS	VAT TRANSAMERICA LIFE	B	0.00	151.49	0.00	151.49	0.0	0.00
06/15/2018	(None) PITTMAN, ERIC	VAT NATIONWIDE	B	0.00	0.45	0.00	0.45	0.0	0.00
06/22/2018	(None) VARIOUS, HOUSE	VAT PACIIFIC LIFE	B	0.00	8.74	0.00	8.74	0.0	0.00
06/22/2018	VR03066152 BUIST	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	25.66	0.00	25.66	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,297.14	\$0.00	\$1,297.14		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***				\$2,308.63	\$1,599.17	\$0.00	\$1,599.17		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00