

**COMMISSION STATEMENT****March 15, 2018**

MARK-S141 REG SMITH

BROKER #: S141

BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/27/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	20,481.84	4.35	0.00	4.35	0.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$20,481.84	\$4.35	\$0.00	\$4.35		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
03/01/2018	BONGIORNO, VALERIE	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
02/09/2018	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	4.49	0.00	4.49	48.0	2.16
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.20
02/09/2018	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
02/09/2018	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
02/09/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.85	0.00	6.85	48.0	3.29
03/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.20
02/09/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.79	0.00	3.79	48.0	1.82
02/09/2018	WITKOWSKI, CHET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.59	0.00	0.59	48.0	0.28
03/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	48.0	1.20
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.20
02/09/2018	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.78	0.00	1.78	48.0	0.85
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.20
02/09/2018	WITKOWSKI, CHET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	48.0	0.28
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
03/01/2018	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
02/09/2018	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	4.41	0.00	4.41	48.0	2.12
02/09/2018	WITKOWSKI, CHET	AM FUNDS ICA A	0.00	0.58	0.00	0.58	48.0	0.28
02/09/2018	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	48.0	0.08
02/09/2018	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	48.0	0.08
02/09/2018	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.25	0.00	2.25	48.0	1.08
02/09/2018	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.65	0.00	3.65	48.0	1.75

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/09/2018	WITKOWSKI, CHET	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.57	0.00	0.57	48.0	0.27
02/09/2018	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
02/09/2018	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
02/09/2018	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.23	0.00	0.23	48.0	0.11
02/09/2018	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	48.0	0.11
02/09/2018	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.67	0.00	0.67	48.0	0.32
02/09/2018	NICODIN, EARL	PUTNAM INVESTORS A	0.00	1.00	0.00	1.00	48.0	0.48
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$54.78	\$0.00	\$54.78		\$26.30

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/28/2018	BRIDGES, JANIS	JNL ELITE ACCESS	107,367.29	89.47	0.00	89.47	48.0	42.95
02/28/2018	RHODES, VIRGINIA	JNL ELITE ACCESS	77,545.40	16.16	0.00	16.16	48.0	7.76
02/28/2018	CROWTHER, JOHN	JNL ELITE ACCESS	59,921.94	12.48	0.00	12.48	48.0	5.99
02/28/2018	NOBLES, VERLIE	JNL PERSPECTIVE L	31,070.49	33.66	0.00	33.66	48.0	16.16
02/28/2018	REEDER, WESLEY	JNL PERSPECTIVE L	18,129.28	19.64	0.00	19.64	48.0	9.43
02/28/2018	SPURGIN, BRENT	JNL PERSPECTIVE L	37,416.95	40.54	0.00	40.54	48.0	19.46
02/28/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	50,395.18	42.00	0.00	42.00	48.0	20.16
02/28/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	37,027.60	40.11	0.00	40.11	48.0	19.25
02/28/2018	WALLACE, DEBORREH	JNL PERSPECTIVE L	85,711.04	71.43	0.00	71.43	48.0	34.29
02/28/2018	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	30,894.67	25.75	0.00	25.75	48.0	12.36
02/27/2018	BURNS, ANNE	SEC BEN 814121158	37,569.44	31.30	0.00	31.30	48.0	15.02
* VARIABLE ANNUITIES SUBTOTAL *			\$573,049.28	\$422.54	\$0.00	\$422.54		\$202.83

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	0.00	2.05	0.00	2.05	48.0	0.98
03/01/2018	BONGIORNO, VALERIE	AM FUNDS AMCAP A	0.00	0.92	0.00	0.92	48.0	0.44
03/01/2018	BOWLAND, BOBBY	AM FUNDS AMERICAN BALANCED A	0.00	4.85	0.00	4.85	48.0	2.33
03/01/2018	BOWLAND, TERRI	AM FUNDS AMERICAN BALANCED A	0.00	7.47	0.00	7.47	48.0	3.59
03/01/2018	SCHONFELD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	3.88	0.00	3.88	48.0	1.86
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	0.00	1.97	0.00	1.97	48.0	0.95
03/01/2018	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.18	0.00	1.18	48.0	0.57
03/01/2018	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	3.83	0.00	3.83	48.0	1.84
03/01/2018	CA/TOD, KAI-LI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.31	0.00	4.31	48.0	2.07
03/01/2018	SCHONFELD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.43	0.00	2.43	48.0	1.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	48.0	0.40
03/01/2018	CA/TOD, KAI-LI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.56	0.00	4.56	48.0	2.19
03/01/2018	SCHONFELD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.96	0.00	2.96	48.0	1.42
03/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.92	0.00	0.92	48.0	0.44
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.80	0.00	1.80	48.0	0.86
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.14	0.00	2.14	48.0	1.03
03/01/2018	STEPHENS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.54	0.00	8.54	48.0	4.10
03/01/2018	BOWLAND, BOBBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.96	0.00	3.96	48.0	1.90
03/01/2018	BOWLAND, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.07	0.00	6.07	48.0	2.91
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	48.0	1.08
03/01/2018	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	0.00	0.97	48.0	0.47
03/01/2018	STEPHENS, BRAZOS	AM FUNDS GROWTH PORT 529A	0.00	10.36	0.00	10.36	48.0	4.97
03/01/2018	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	0.00	6.80	0.00	6.80	48.0	3.26
03/01/2018	MOLINA, JEREMY	AM FUNDS ICA A	0.00	11.31	0.00	11.31	48.0	5.43
03/01/2018	MCGINNIS, KANDACE	AM FUNDS ICA A	0.00	7.98	0.00	7.98	48.0	3.83
03/01/2018	BOWLAND, BOBBY	AM FUNDS NEW PERSPECTIVE A	0.00	3.76	0.00	3.76	48.0	1.80
03/01/2018	BOWLAND, TERRI	AM FUNDS NEW PERSPECTIVE A	0.00	5.42	0.00	5.42	48.0	2.60
03/01/2018	MOLINA, JEREMY	AM FUNDS NEW PERSPECTIVE A	0.00	4.33	0.00	4.33	48.0	2.08
03/01/2018	MCGINNIS, KANDACE	AM FUNDS NEW PERSPECTIVE A	0.00	3.24	0.00	3.24	48.0	1.56
03/01/2018	VOORHEES, CLIFFORD	AM FUNDS PRESERVATION PORT A	0.00	12.02	0.00	12.02	48.0	5.77
03/01/2018	VOORHEES, CINDY	AM FUNDS PRESERVATION PORT A	0.00	12.03	0.00	12.03	48.0	5.77
03/01/2018	JOHNSON, FRANKLIN	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.81	0.00	0.81	48.0	0.39
03/01/2018	MOLINA, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.04	0.00	6.04	48.0	2.90
03/01/2018	MCGINNIS, KANDACE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.06	0.00	4.06	48.0	1.95
03/01/2018	WILLIAMS, JUANA	AMERICAN FUNDS US GOV'T SECURITIES	0.00	4.13	0.00	4.13	48.0	1.98
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.08	0.00	0.08	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.06	0.00	0.06	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
03/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	9.78	0.00	9.78	48.0	4.69
03/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	2.86	0.00	2.86	48.0	1.37
03/02/2018	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	3.92	0.00	3.92	48.0	1.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$176.95	\$0.00	\$176.95		\$84.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** DIRECT TRANSACTIONS TOTAL ***			\$593,981.12	\$658.62	\$0.00	\$658.62		\$314.07

ADJUSTMENTS								
03/15/2018		*AI INSIGHT: AI INSIGHT - CURRENT M	0.00					-7.00
03/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*LASER APP: LASER APP - CURRENT MON	0.00					-18.00
03/15/2018		*RBC: RBC CURRENT MONTH	0.00					-25.00
03/15/2018		*TECHNOLOGY: ADMIN - D INMAN	0.00					-130.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH - BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-660.00

BROKER COMMISSION TOTALS	
Broker Transactions	\$314.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-660.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-345.93
Year-to-date Gross	\$24,391.60
Year-to-date Earnings	\$11,677.09

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