



# COMMISSION STATEMENT

April 30, 2017

**BARRY LEMAY**  
901 Campisi Way  
Suite 200  
Campbell, CA 95008

**BROKER #: L122**  
**BRANCH:** AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/19/2017	AKIEL, MAROUN	SAWTOOTH	0.00	357.13	0.00	357.13	90.0	321.42
04/19/2017	BARDELL, PAULA	SAWTOOTH	0.00	506.95	0.00	506.95	90.0	456.26
04/19/2017	BARRETT, MARYALYS	SAWTOOTH	0.00	140.12	0.00	140.12	90.0	126.11
04/19/2017	BHASKARAN, SURESH	SAWTOOTH	0.00	194.80	0.00	194.80	90.0	175.32
04/19/2017	BRENNAN, CHRISTOPHER	SAWTOOTH	0.00	588.94	0.00	588.94	90.0	530.05
04/19/2017	SALVADORI, DANIELA	SAWTOOTH	0.00	152.99	0.00	152.99	90.0	137.69
04/19/2017	DE LA ROSA, MARIA	SAWTOOTH	0.00	236.03	0.00	236.03	90.0	212.43
04/19/2017	DE LA ROSA, RAMON	SAWTOOTH	0.00	154.85	0.00	154.85	90.0	139.37
04/19/2017	CARPIO, IRMINA	SAWTOOTH	0.00	79.86	0.00	79.86	90.0	71.87
04/19/2017	CARPIO, IRMINA	SAWTOOTH	0.00	1,123.48	0.00	1,123.48	90.0	1,011.13
04/19/2017	CARRASCO, CLARA	SAWTOOTH	0.00	483.45	0.00	483.45	90.0	435.11
04/19/2017	CODY, SUZANNA	SAWTOOTH	0.00	232.84	0.00	232.84	90.0	209.56
04/19/2017	CONLIN, MARGARET	SAWTOOTH	0.00	139.67	0.00	139.67	90.0	125.70
04/19/2017	DEL ROSARIO, BYRON	SAWTOOTH	0.00	100.95	0.00	100.95	90.0	90.86
04/19/2017	DEMARTINI, ALLEN	SAWTOOTH	0.00	289.06	0.00	289.06	90.0	260.15
04/19/2017	HARE, CLAIRE	SAWTOOTH	0.00	323.40	0.00	323.40	90.0	291.06
04/19/2017	HAUWERT SR, GERARDUS	SAWTOOTH	0.00	201.74	0.00	201.74	90.0	181.57
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	448.99	0.00	448.99	90.0	404.09
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	152.85	0.00	152.85	90.0	137.57
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	280.24	0.00	280.24	90.0	252.22
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	221.38	0.00	221.38	90.0	199.24
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	222.11	0.00	222.11	90.0	199.90
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	210.52	0.00	210.52	90.0	189.47
04/19/2017	HEY, GEORGE MICHAEL	SAWTOOTH	0.00	190.46	0.00	190.46	90.0	171.41
04/19/2017	BDH CONSULTANTS	SAWTOOTH	0.00	421.57	0.00	421.57	90.0	379.41
04/19/2017	HURLEY, KENNETH	SAWTOOTH	0.00	304.71	0.00	304.71	90.0	274.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/19/2017	HURLEY, KENNETH	SAWTOOTH	0.00	288.89	0.00	288.89	90.0	260.00
04/19/2017	HURLEY, KENNETH	SAWTOOTH	0.00	268.97	0.00	268.97	90.0	242.07
04/19/2017	HURLEY, KENNETH	SAWTOOTH	0.00	133.36	0.00	133.36	90.0	120.02
04/19/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	91.25	0.00	91.25	90.0	82.13
04/19/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	168.42	0.00	168.42	90.0	151.58
04/19/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	381.38	0.00	381.38	90.0	343.24
04/19/2017	KACHULIS, CASSANDRA	SAWTOOTH	0.00	187.97	0.00	187.97	90.0	169.17
04/19/2017	LAKE, DAVID	SAWTOOTH	0.00	106.18	0.00	106.18	90.0	95.56
04/19/2017	LIU, GRANT	SAWTOOTH	0.00	92.14	0.00	92.14	90.0	82.93
04/19/2017	MACKENZIE, MICHELLE	SAWTOOTH	0.00	160.99	0.00	160.99	90.0	144.89
04/19/2017	NEWMAN, PAUL	SAWTOOTH	0.00	155.49	0.00	155.49	90.0	139.94
04/19/2017	NEWMAN, PAUL	SAWTOOTH	0.00	246.49	0.00	246.49	90.0	221.84
04/19/2017	LODI, EDWARD	SAWTOOTH	0.00	139.10	0.00	139.10	90.0	125.19
04/19/2017	LODI, EDWARD	SAWTOOTH	0.00	146.37	0.00	146.37	90.0	131.73
04/19/2017	LODI, EDWARD	SAWTOOTH	0.00	98.23	0.00	98.23	90.0	88.41
04/19/2017	PRYLE, KIM	SAWTOOTH	0.00	137.21	0.00	137.21	90.0	123.49
04/19/2017	RODRIGUEZ, MARCOS	SAWTOOTH	0.00	137.78	0.00	137.78	90.0	124.00
04/19/2017	RODRIGUEZ, MARCOS	SAWTOOTH	0.00	166.65	0.00	166.65	90.0	149.99
04/19/2017	SANDHU, KAMINI SHARMA	SAWTOOTH	0.00	343.53	0.00	343.53	90.0	309.18
04/19/2017	SHAVER, CAROLE	SAWTOOTH	0.00	129.47	0.00	129.47	90.0	116.52
04/19/2017	SMART, THOMAS	SAWTOOTH	0.00	161.64	0.00	161.64	90.0	145.48
04/19/2017	TRAN, TRANG	SAWTOOTH	0.00	96.04	0.00	96.04	90.0	86.44
04/19/2017	TRAN, TRANG	SAWTOOTH	0.00	82.53	0.00	82.53	90.0	74.28
04/19/2017	VIZIR, VITALI	SAWTOOTH	0.00	666.82	0.00	666.82	90.0	600.14
* RIA SUBTOTAL *			\$0.00	\$12,345.99	\$0.00	\$12,345.99		\$11,111.43
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$12,345.99	\$0.00	\$12,345.99		\$11,111.43

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ADJUSTMENTS

04/30/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-298.01
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-298.01

BROKER COMMISSION TOTALS

Broker Transactions	\$11,111.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-298.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,813.42
Year-to-date Gross	\$39,934.47
Year-to-date Earnings	\$35,941.06

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