



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
 Page No. 1

101 CFGROUP/KOSANKE
 1120 E. Long Lake Road
 Suite 250
 Troy, MI 48085

BROKER #: 101
 BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/17/2017	KOSANKE TRUST, MARK	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	95.0	0.00
08/17/2017	KOSANKE TRUST, CHARLES & CATHE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
09/12/2017	WAGNER II TRUST, HARRY	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
09/12/2017	WAGNER II TRUST, HARRY	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
09/12/2017	WAGNER II TRUST, HARRY	SHOPOFF LAND FUND V	50,000.00	3,500.00	0.00	3,500.00	95.0	3,325.00
* PRIVATE PLACEMENT SUBTOTAL *			\$250,000.00	\$14,000.00	\$0.00	\$14,000.00		\$13,300.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
08/29/2017	MEYERS, DAVID	PASSCO VOYAGER DST	68,987.00	4,139.22	0.00	4,139.22	95.0	3,932.26
* 1031 EXCHANGES SUBTOTAL *			\$68,987.00	\$4,139.22	\$0.00	\$4,139.22		\$3,932.26
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/17/2017	HARWOOD TRUST, SHARON	BLUEROCK TOTAL INC & RE FUND	25,000.00	250.00	0.00	250.00	95.0	237.50
* INTERVAL FUNDS SUBTOTAL *			\$25,000.00	\$250.00	\$0.00	\$250.00		\$237.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/21/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
08/30/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
09/05/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
09/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.03
09/05/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	366.66	7.33	0.00	7.33	95.0	6.96
09/05/2017	ALVEY, SHERRIE	AM FUNDS CAPITAL WORLD GRTH & INC A	62.50	1.25	0.00	1.25	95.0	1.19
08/04/2017	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	5,100.00	102.00	0.00	102.00	95.0	96.90
09/05/2017	GINGELL, PAUL	AM FUNDS EUROPACIFIC GROWTH FUND A	366.67	7.33	0.00	7.33	95.0	6.96
09/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
09/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
09/01/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
09/05/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/04/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	-5,100.00	-102.00	0.00	-102.00	95.0	-96.90
08/31/2017	SCHNEIDER, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	25,000.00	687.50	0.00	687.50	95.0	653.13
09/01/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
09/05/2017	ALVEY, SHERRIE	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.25	0.00	1.25	95.0	1.19
09/05/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
08/28/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
08/31/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
09/01/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
09/05/2017	ALVEY, SHERRIE	AM FUNDS ICA A	62.50	1.25	0.00	1.25	95.0	1.19
09/05/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	7.13
08/31/2017	SCHNEIDER, RYAN	AM FUNDS NEW ECONOMY A	25,000.00	687.50	0.00	687.50	95.0	653.13
09/05/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	366.67	7.33	0.00	7.33	95.0	6.96
09/05/2017	ALVEY, SHERRIE	AM FUNDS SMALLCAP WORLD A	62.50	1.25	0.00	1.25	95.0	1.19
08/28/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
08/25/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/16/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
08/16/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
08/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/16/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
08/30/2017	MOELLER, RICHARD	BLK MOD 13-16 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/30/2017	CFGROUP, VARIOUS	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/28/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	25.00	1.00	0.00	1.00	95.0	0.95
08/29/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	200.00	8.00	0.00	8.00	95.0	7.60
08/29/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	100.00	4.00	0.00	4.00	95.0	3.80
/ /	BLEIL, STEPHEN	FIDELITY ADV GOLD	0.00	0.09	0.00	0.09	95.0	0.09
/ /	ROCCA, JACQUELYN	FIDELITY ADV GROWTH OPP	0.00	0.52	0.00	0.52	95.0	0.49
/ /	ROCCA, ALEX	FIDELITY ADV GROWTH OPP	0.00	0.65	0.00	0.65	95.0	0.62
/ /	ROCCA, AARON	FIDELITY ADV GROWTH OPP	0.00	0.65	0.00	0.65	95.0	0.62
/ /	MCQUEENEY, JULIE	FIDELITY ADV GROWTH OPP	0.00	1.06	0.00	1.06	95.0	1.01
/ /	FELIS-ROSS, CAROL	FIDELITY ADV GROWTH OPP	0.00	0.11	0.00	0.11	95.0	0.10
/ /	SCALISE, CYNTHIA	FIDELITY ADV GROWTH OPP	0.00	1.23	0.00	1.23	95.0	1.17

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	DUDZIK, ELZBIETA	FIDELITY ADV GROWTH OPP	0.00	25.51	0.00	25.51	95.0	24.23
/ /	KULBACK, WILLIAM	FIDELITY ADV GROWTH OPP A	0.00	3.94	0.00	3.94	95.0	3.74
/ /	VIVIANO, JASON	FIDELITY ADV GROWTH OPP A	0.00	1.75	0.00	1.75	95.0	1.66
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV HEALTH CARE T	0.00	12.80	0.00	12.80	95.0	12.16
/ /	MCCALPIN, ROBERT JOHN	FIDELITY ADV LARGE CAP	0.00	9.20	0.00	9.20	95.0	8.74
/ /	KULBACK, WILLIAM	FIDELITY ADV LARGE CAP A	0.00	5.18	0.00	5.18	95.0	4.92
/ /	HERWARTH, CHARLES	FIDELITY ADV LARGE CAP A	0.00	1.83	0.00	1.83	95.0	1.74
/ /	FELIS-ROSS, CAROL	FIDELITY ADV MORTGAGE SECURITIES T	0.00	0.14	0.00	0.14	95.0	0.13
/ /	SCALISE, CYNTHIA	FIDELITY ADV MORTGAGE SECURITIES T	0.00	1.65	0.00	1.65	95.0	1.57
/ /	KULBACK, WILLIAM	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	4.04	0.00	4.04	95.0	3.84
/ /	HERWARTH, CHARLES	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.04	0.00	2.04	95.0	1.94
/ /	DUDZIK, ELZBIETA	FIDELITY BALANCED T	0.00	20.98	0.00	20.98	95.0	19.93
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MARKETS	0.00	0.10	0.00	0.10	95.0	0.10
/ /	ROCCA, ALEX	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	ROCCA, AARON	FIDELITY EMERGING MARKETS	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MARKETS	0.00	3.56	0.00	3.56	95.0	3.38
/ /	ROCCA, JACQUELYN	FIDELITY EMERGING MKTS T	0.00	0.27	0.00	0.27	95.0	0.26
/ /	ROCCA, ALEX	FIDELITY EMERGING MKTS T	0.00	0.17	0.00	0.17	95.0	0.16
/ /	ROCCA, AARON	FIDELITY EMERGING MKTS T	0.00	0.17	0.00	0.17	95.0	0.16
/ /	DUDZIK, ELZBIETA	FIDELITY EMERGING MKTS T	0.00	6.84	0.00	6.84	95.0	6.50
/ /	KULBACK, WILLIAM	FIDELITY EQUITY GROWTH A	0.00	5.09	0.00	5.09	95.0	4.84
/ /	HERWARTH, CHARLES	FIDELITY EQUITY GROWTH A	0.00	1.83	0.00	1.83	95.0	1.74
/ /	BESS, JAMES	FIDELITY EQUITY GROWTH A	0.00	2.78	0.00	2.78	95.0	2.64
/ /	ZOBOSKI, JAYNE	FIDELITY EQUITY INCOME A	0.00	3.51	0.00	3.51	95.0	3.33
/ /	FRINTNER, SEAN EDWARD	FIDELITY EQUITY INCOME A	0.00	1.23	0.00	1.23	95.0	1.17
/ /	ZERILLI, ROSA	FIDELITY GROWTH & INCOME A	0.00	1.49	0.00	1.49	95.0	1.42
/ /	HERWARTH, CHARLES	FIDELITY TECHNOLOGY A	0.00	1.45	0.00	1.45	95.0	1.38
/ /	ZERILLI, ROSA	FIDELITY TECHNOLOGY A	0.00	1.74	0.00	1.74	95.0	1.65
/ /	ROHLOFF, SHARON	FIDELITY TECHNOLOGY A	0.00	0.61	0.00	0.61	95.0	0.58
/ /	BEARD, LATOYA	FIDELITY TECHNOLOGY A	0.00	0.03	0.00	0.03	95.0	0.03
08/25/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	OVERMYER, SUSAN	HARTFORD CAPITAL APP II A	0.00	8.18	0.00	8.18	95.0	7.77
/ /	ROCCA, HELEN	HARTFORD CAPITAL APPRECIATION A	0.00	0.63	0.00	0.63	95.0	0.60

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	HARTMAN, GREGORY	HARTFORD CAPITAL APPRECIATION A	0.00	0.86	0.00	0.86	95.0	0.82
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD CAPITAL APPRECIATION C	0.00	5.31	0.00	5.31	95.0	5.04
/ /	OVERMYER, SUSAN	HARTFORD DIVIDEND & GROWTH A	0.00	22.74	0.00	22.74	95.0	21.60
/ /	COLE, JAMES WILLIAM	HARTFORD DIVIDEND & GROWTH C	0.00	12.86	0.00	12.86	95.0	12.22
/ /	HARTMAN, GREGORY	HARTFORD HEALTHCARE A	0.00	0.82	0.00	0.82	95.0	0.78
/ /	OVERMYER, SUSAN	HARTFORD HEALTHCARE A	0.00	40.61	0.00	40.61	95.0	38.58
/ /	RUFFINO, ERNESTINE	HARTFORD HEALTHCARE A	0.00	20.06	0.00	20.06	95.0	19.06
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD HEALTHCARE C	0.00	5.04	0.00	5.04	95.0	4.79
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP A	0.00	2.02	0.00	2.02	95.0	1.92
/ /	OVERMYER, SUSAN	HARTFORD MIDCAP A	0.00	25.31	0.00	25.31	95.0	24.04
/ /	GARON-NOWACZYK TRUST, KIM	HARTFORD MIDCAP C	0.00	4.51	0.00	4.51	95.0	4.28
/ /	HARTMAN, GREGORY	HARTFORD MIDCAP VALUE A	0.00	1.14	0.00	1.14	95.0	1.08
/ /	HARTMAN, GREGORY	HARTFORD SCHRDRS US SM MD CAP OPP-A	0.00	1.26	0.00	1.26	95.0	1.20
/ /	HARTMAN, GREGORY	HARTFORD SMALLCAP GROWTH A	0.00	3.86	0.00	3.86	95.0	3.67
/ /	HARTMAN, GREGORY	HARTFUND EQUITY INCIME FUND A	0.00	1.88	0.00	1.88	95.0	1.79
08/25/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
08/18/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	204.00	2.04	0.00	2.04	95.0	1.94
08/18/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	36.72	0.37	0.00	0.37	95.0	0.35
08/18/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	68.81	0.69	0.00	0.69	95.0	0.66
08/18/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	41.29	0.41	0.00	0.41	95.0	0.39
08/18/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	198.00	1.98	0.00	1.98	95.0	1.88
08/18/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	35.64	0.36	0.00	0.36	95.0	0.34
08/18/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.00	0.30	0.00	0.30	95.0	0.29
08/18/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.29	0.30	0.00	0.30	95.0	0.29
08/18/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	68.80	0.69	0.00	0.69	95.0	0.66
08/18/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	41.27	0.41	0.00	0.41	95.0	0.39
08/18/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	68.81	0.69	0.00	0.69	95.0	0.66
08/18/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	41.29	0.41	0.00	0.41	95.0	0.39
08/18/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	30.00	0.30	0.00	0.30	95.0	0.29
08/18/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	30.29	0.30	0.00	0.30	95.0	0.29
08/18/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	60.58	0.61	0.00	0.61	95.0	0.58
08/18/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	60.00	0.60	0.00	0.60	95.0	0.57
08/18/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	68.81	0.69	0.00	0.69	95.0	0.66

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/18/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	41.29	0.41	0.00	0.41	95.0	0.39
08/18/2017	DITRI, ANN	OPPENHEIMER VALUE C	198.00	1.98	0.00	1.98	95.0	1.88
08/18/2017	DITRI, ANN	OPPENHEIMER VALUE C	35.64	0.36	0.00	0.36	95.0	0.34
09/01/2017	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
09/05/2017	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	34.29	0.00	34.29	95.0	32.58
09/05/2017	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	34.29	0.00	34.29	95.0	32.58
09/05/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.90	0.00	4.90	95.0	4.66
09/05/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	5.74	0.00	5.74	95.0	5.45
09/05/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	22.56	0.00	22.56	95.0	21.43
09/05/2017	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	20.73	0.00	20.73	95.0	19.69
09/05/2017	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.64	0.00	1.64	95.0	1.56
09/05/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	9.90	0.00	9.90	95.0	9.41
09/05/2017	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.47	0.00	0.47	95.0	0.45
09/05/2017	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	1.00	0.00	1.00	95.0	0.95
09/05/2017	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	85.80	0.00	85.80	95.0	81.51
09/05/2017	SCALF, MARSHA	TRANSAMERICA AA GROWTH C	0.00	10.12	0.00	10.12	95.0	9.61
09/05/2017	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	20.35	0.00	20.35	95.0	19.33
09/05/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	12.05	0.00	12.05	95.0	11.45
09/05/2017	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	4.06	0.00	4.06	95.0	3.86
09/05/2017	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	27.59	0.00	27.59	95.0	26.21
09/05/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	8.72	0.00	8.72	95.0	8.28
09/05/2017	NEIRINCK, DONNA	TRANSAMERICA AA GROWTH C	0.00	17.30	0.00	17.30	95.0	16.44
09/05/2017	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	10.36	0.00	10.36	95.0	9.84
09/05/2017	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	40.45	0.00	40.45	95.0	38.43
09/05/2017	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	11.78	0.00	11.78	95.0	11.19
09/05/2017	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	12.25	0.00	12.25	95.0	11.64
09/05/2017	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	18.92	0.00	18.92	95.0	17.97
09/05/2017	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	95.0	1.44
09/05/2017	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	58.02	0.00	58.02	95.0	55.12
09/05/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	37.50	0.00	37.50	95.0	35.63
09/05/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	40.45	0.00	40.45	95.0	38.43
09/05/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	22.28	0.00	22.28	95.0	21.17
09/05/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	48.56	0.00	48.56	95.0	46.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	13.28	0.00	13.28	95.0	12.62
09/05/2017	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	48.99	0.00	48.99	95.0	46.54
09/05/2017	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	27.37	0.00	27.37	95.0	26.00
09/05/2017	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	38.54	0.00	38.54	95.0	36.61
09/05/2017	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	23.61	0.00	23.61	95.0	22.43
09/05/2017	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	24.19	0.00	24.19	95.0	22.98
09/05/2017	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.88	0.00	4.88	95.0	4.64
09/05/2017	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	8.34	0.00	8.34	95.0	7.92
09/05/2017	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	13.27	0.00	13.27	95.0	12.61
09/05/2017	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	5.15	0.00	5.15	95.0	4.89
09/05/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	13.17	0.00	13.17	95.0	12.51
09/05/2017	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	10.28	0.00	10.28	95.0	9.77
09/05/2017	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	95.0	1.03
09/05/2017	HOOTNER-JONES, ANN	TRANSAMERICA AA GROWTH C	0.00	4.48	0.00	4.48	95.0	4.26
09/05/2017	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	14.17	0.00	14.17	95.0	13.46
09/05/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	3.71	0.00	3.71	95.0	3.52
09/05/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	3.77	0.00	3.77	95.0	3.58
09/05/2017	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.93	0.00	0.93	95.0	0.88
09/05/2017	HOVIS, MARJORIE	TRANSAMERICA AA MOD GROWTH C	0.00	3.63	0.00	3.63	95.0	3.45
09/05/2017	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	34.84	0.00	34.84	95.0	33.10
09/05/2017	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	28.08	0.00	28.08	95.0	26.68
09/05/2017	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	9.30	0.00	9.30	95.0	8.84
09/05/2017	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.41	0.00	11.41	95.0	10.84
09/05/2017	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	209.34	0.00	209.34	95.0	198.87
09/05/2017	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.57	0.00	9.57	95.0	9.09
09/05/2017	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	21.95	0.00	21.95	95.0	20.85
09/05/2017	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	23.91	0.00	23.91	95.0	22.71
09/05/2017	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.81	0.00	11.81	95.0	11.22
09/05/2017	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	16.03	0.00	16.03	95.0	15.23
09/05/2017	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.11	0.00	0.11	95.0	0.10
09/05/2017	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	31.09	0.00	31.09	95.0	29.54
09/05/2017	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	55.09	0.00	55.09	95.0	52.34
09/05/2017	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	38.50	0.00	38.50	95.0	36.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	32.44	0.00	32.44	95.0	30.82
09/05/2017	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	44.71	0.00	44.71	95.0	42.47
09/05/2017	MODRYCKI, DONALD	TRANSAMERICA AA MOD GROWTH C	0.00	7.67	0.00	7.67	95.0	7.29
09/05/2017	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.34	0.00	12.34	95.0	11.72
09/05/2017	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.62	0.00	3.62	95.0	3.44
09/05/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.79	0.00	9.79	95.0	9.30
09/05/2017	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	16.70	0.00	16.70	95.0	15.87
09/05/2017	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	115.35	0.00	115.35	95.0	109.58
09/05/2017	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	60.99	0.00	60.99	95.0	57.94
09/05/2017	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.92	0.00	9.92	95.0	9.42
09/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	10.17	0.00	10.17	95.0	9.66
09/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	5.13	0.00	5.13	95.0	4.87
09/05/2017	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.74	0.00	2.74	95.0	2.60
09/05/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	44.88	0.00	44.88	95.0	42.64
09/05/2017	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.53	0.00	3.53	95.0	3.35
09/05/2017	RYAN, KAREN	TRANSAMERICA AA MOD GROWTH C	0.00	2.65	0.00	2.65	95.0	2.52
09/05/2017	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	153.42	0.00	153.42	95.0	145.75
09/05/2017	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	54.73	0.00	54.73	95.0	51.99
09/05/2017	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.13	0.00	2.13	95.0	2.02
09/05/2017	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.34	0.00	3.34	95.0	3.17
09/05/2017	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	26.36	0.00	26.36	95.0	25.04
09/05/2017	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	13.27	0.00	13.27	95.0	12.61
09/05/2017	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	5.57	0.00	5.57	95.0	5.29
09/05/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	113.65	0.00	113.65	95.0	107.97
09/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	21.71	0.00	21.71	95.0	20.62
09/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.78	0.00	0.78	95.0	0.74
09/05/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	87.04	0.00	87.04	95.0	82.69
09/05/2017	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	5.37	0.00	5.37	95.0	5.10
09/05/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.79	0.00	9.79	95.0	9.30
09/05/2017	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.33	0.00	0.33	95.0	0.31
09/05/2017	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	12.66	0.00	12.66	95.0	12.03
09/05/2017	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	8.88	0.00	8.88	95.0	8.44
09/05/2017	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	34.14	0.00	34.14	95.0	32.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	24.65	0.00	24.65	95.0	23.42
09/05/2017	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	10.35	0.00	10.35	95.0	9.83
09/05/2017	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	4.99	0.00	4.99	95.0	4.74
09/05/2017	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	13.83	0.00	13.83	95.0	13.14
09/05/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	11.99	0.00	11.99	95.0	11.39
09/05/2017	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	14.56	0.00	14.56	95.0	13.83
09/05/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	31.28	0.00	31.28	95.0	29.72
09/05/2017	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.01	0.00	3.01	95.0	2.86
09/05/2017	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.30	0.00	6.30	95.0	5.99
09/05/2017	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.56	0.00	7.56	95.0	7.18
09/05/2017	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	25.13	0.00	25.13	95.0	23.87
09/05/2017	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	112.14	0.00	112.14	95.0	106.53
09/05/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	43.41	0.00	43.41	95.0	41.24
09/05/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.00	0.00	7.00	95.0	6.65
09/05/2017	DOUTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.97	0.00	2.97	95.0	2.82
09/05/2017	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.76	0.00	2.76	95.0	2.62
09/05/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	13.25	0.00	13.25	95.0	12.59
09/05/2017	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.22	0.00	1.22	95.0	1.16
09/05/2017	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.94	0.00	12.94	95.0	12.29
09/05/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	17.28	0.00	17.28	95.0	16.42
09/05/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	36.91	0.00	36.91	95.0	35.06
09/05/2017	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	36.41	0.00	36.41	95.0	34.59
09/05/2017	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.65	0.00	10.65	95.0	10.12
09/05/2017	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	101.05	0.00	101.05	95.0	96.00
09/05/2017	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	135.62	0.00	135.62	95.0	128.84
09/05/2017	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	79.18	0.00	79.18	95.0	75.22
09/05/2017	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.76	0.00	1.76	95.0	1.67
09/05/2017	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	101.92	0.00	101.92	95.0	96.82
09/05/2017	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	43.91	0.00	43.91	95.0	41.71
09/05/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	20.95	0.00	20.95	95.0	19.90
09/05/2017	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.43	0.00	29.43	95.0	27.96
09/05/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.43	0.00	29.43	95.0	27.96
09/05/2017	DOUTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.27	0.00	6.27	95.0	5.96

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.32	0.00	7.32	95.0	6.95
09/05/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.32	0.00	7.32	95.0	6.95
09/05/2017	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	30.10	0.00	30.10	95.0	28.60
09/05/2017	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	22.77	0.00	22.77	95.0	21.63
09/05/2017	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.73	0.00	62.73	95.0	59.59
09/05/2017	CHRONOWSKI, THEODORA	TRANSAMERICA SHORT-TERM BOND C	0.00	15.08	0.00	15.08	95.0	14.33
09/05/2017	HOOTNER-JONES, ANN	TRANSAMERICA SHORT-TERM BOND C	0.00	3.70	0.00	3.70	95.0	3.52
09/05/2017	RYAN, JACK	TRANSAMERICA SHORT-TERM BOND C	0.00	8.76	0.00	8.76	95.0	8.32
09/05/2017	RYAN, KAREN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.40	0.00	8.40	95.0	7.98
09/05/2017	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.81	0.00	4.81	95.0	4.57
09/05/2017	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.77	0.00	5.77	95.0	5.48
09/05/2017	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	3.21	0.00	3.21	95.0	3.05
09/05/2017	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.90	0.00	1.90	95.0	1.81
08/07/2017	CHRONOWSKI, THEODORA	VANGUARD HIGH YIELD CORPORATE BOND	20,035.49	0.00	15.00	-15.00	95.0	-14.25
* MUTUAL FUNDS SUBTOTAL *			\$74,910.02	\$5,277.36	\$15.00	\$5,262.36		\$4,999.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	BERTRAND, C JERRY	JNL ELITE ACCESS	57,938.81	31.38	0.00	31.38	95.0	29.81
08/31/2017	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	23,875.26	19.90	0.00	19.90	95.0	18.91
08/31/2017	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	94,638.97	39.43	0.00	39.43	95.0	37.46
08/31/2017	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	473.39	0.39	0.00	0.39	95.0	0.37
08/31/2017	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	27,616.15	11.51	0.00	11.51	95.0	10.93
08/31/2017	CROSS, LINDA	JNL PERSPECTIVE II 05/05	5,965.10	2.49	0.00	2.49	95.0	2.37
08/31/2017	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	45,298.21	18.87	0.00	18.87	95.0	17.93
08/31/2017	RANG, MARILYN	JNL PERSPECTIVE II 05/05	73,338.29	30.56	0.00	30.56	95.0	29.03
08/31/2017	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	174,664.83	72.78	0.00	72.78	95.0	69.14
08/31/2017	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	127,917.86	53.30	0.00	53.30	95.0	50.64
08/31/2017	PIROZZO, PETER	JNL PERSPECTIVE L	51,572.23	55.87	0.00	55.87	95.0	53.08
08/31/2017	LEGGE, GERALD	JNL PERSPECTIVE L	297,685.36	322.49	0.00	322.49	95.0	306.37
08/31/2017	DIEM, LINDA	JOHN HANCOCK VENTURE	638.19	0.13	0.00	0.13	95.0	0.12
08/31/2017	SCERBAK, EILEEN	JOHN HANCOCK VENTURE	47,008.99	9.79	0.00	9.79	95.0	9.30
08/31/2017	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	7,347.71	1.53	0.00	1.53	95.0	1.45
08/31/2017	DANIEL, SABRINA	JOHN HANCOCK VENTURE	310.63	0.06	0.00	0.06	95.0	0.06
08/04/2017	SCHROETER, RICHARD	TRANSAMERICA EXTRA	37,175.21	30.98	0.00	30.98	95.0	29.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/14/2017	GRUSH, ERNEST	TRANSAMERICA LANDMARK	146,508.32	122.09	0.00	122.09	95.0	115.99
08/30/2017	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,672.41	3.89	0.00	3.89	95.0	3.70
* VARIABLE ANNUITIES SUBTOTAL *			\$1,224,645.92	\$827.44	\$0.00	\$827.44		\$786.09
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	81.33	0.00	81.33	95.0	77.26
08/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	14.56	0.00	14.56	95.0	13.83
08/16/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	90.91	0.00	90.91	95.0	86.36
08/16/2017	WAGNER II TRUST, HARRY	GRIFFIN INST'L ACCESS R E FUND C	0.00	272.72	0.00	272.72	95.0	259.08
08/16/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.40	0.00	44.40	95.0	42.18
08/16/2017	FARRAR TRUST, CHARLES & KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	133.19	0.00	133.19	95.0	126.53
08/16/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.10	0.00	11.10	95.0	10.55
08/16/2017	ANDERSON, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	33.30	0.00	33.30	95.0	31.64
07/31/2017	CFGROUP, VARIOUS	VOYA TRAILS	0.00	3.30	0.00	3.30	95.0	3.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$684.81	\$0.00	\$684.81		\$650.57
*** BROKER TRANSACTIONS TOTAL ***			\$1,643,542.94	\$25,178.83	\$15.00	\$25,163.83		\$23,905.79

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

08/09/2017	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.37	0.00	0.37	95.0	0.35
08/09/2017	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	95.0	0.20
08/09/2017	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	95.0	0.17
08/09/2017	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.50	0.00	2.50	95.0	2.38
08/09/2017	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	95.0	0.44
08/09/2017	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	12.09	0.00	12.09	95.0	11.49
08/09/2017	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.22	0.00	1.22	95.0	1.16
08/09/2017	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	6.47	0.00	6.47	95.0	6.15
08/09/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	95.0	1.46
08/09/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	95.0	1.46
08/09/2017	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.14	0.00	5.14	95.0	4.88
08/09/2017	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.42	0.00	2.42	95.0	2.30
08/09/2017	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	16.21	0.00	16.21	95.0	15.40
08/09/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	10.07	0.00	10.07	95.0	9.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK KOSANKE #3</u>								
08/09/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	0.00	0.61	0.00	0.61	95.0	0.58
08/01/2017	CHRONOWSKI, THEODORA	DWS MULTI MKT INCOME TR	4,428.00	124.00	14.00	110.00	95.0	104.50
08/09/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	0.00	0.86	0.00	0.86	95.0	0.82
08/09/2017	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.77	0.00	0.77	95.0	0.73
08/09/2017	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.38	0.00	2.38	95.0	2.26
08/09/2017	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.21	0.00	7.21	95.0	6.85
09/05/2017	MCCLAIN, DOROTHY	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	94,612.13	78.84	0.00	78.84	95.0	74.90
09/05/2017	EMBACH, KEVIN	GENWORTH CHOICE VA	75,841.83	63.20	0.00	63.20	95.0	60.04
09/05/2017	EMBACH, JAMES	GENWORTH CHOICE VA	75,841.84	63.20	0.00	63.20	95.0	60.04
09/05/2017	ZACK, MAUREEN	GENWORTH CHOICE VA	75,841.84	63.20	0.00	63.20	95.0	60.04
09/05/2017	HARTOUNIAN, HAGOB	GENWORTH CHOICE VA	11,206.89	9.34	0.00	9.34	95.0	8.87
09/05/2017	BYERS, SHARRON	GENWORTH COMMONWEALTH VA 1994 (NON-	103,341.52	17.22	0.00	17.22	95.0	16.36
08/31/2017	KRASS, DELORES	JNL PERSPECTIVE REWARDS	16,064.44	3.34	0.00	3.34	95.0	3.17
08/09/2017	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.75	0.00	0.75	95.0	0.71
08/09/2017	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.27	0.00	0.27	95.0	0.26
08/25/2017	SCHNEIDER, DEBORAH	TIER REIT INC COM	11,028.86	453.00	14.00	439.00	95.0	417.05
09/05/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	29.58	0.00	29.58	95.0	28.10
07/28/2017	ROSE TRUST, LAVERNE	UNITED DEVELOPMENT FUNDING IV	9,027.00	22.00	14.00	8.00	95.0	7.60
08/25/2017	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.67	0.00	0.67	95.0	0.64
08/25/2017	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.07	0.00	1.07	95.0	1.02
08/15/2017	HOOTNER-JONES, ANN	XENIA HOTELS & RESORTS INC COM	6,891.64	22.00	14.00	8.00	95.0	7.60
* MARK KOSANKE SUBTOTAL *			\$484,125.99	\$1,023.93	\$56.00	\$967.93		\$919.55
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.58	0.00	2.58	95.0	2.45
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.58	\$0.00	\$2.58		\$2.45
<u>BROKER: GROUP FLAM/BUSSELL #32</u>								
08/31/2017	M, OSIP	DELAWARE VALUE A	0.00	1.32	0.00	1.32	95.0	1.25
* GROUP FLAM/BUSSELL SUBTOTAL *			\$0.00	\$1.32	\$0.00	\$1.32		\$1.25
<u>BROKER: PETER JOHNSON #63</u>								
08/31/2017	ROSS, DAVID	DELAWARE HIGH YIELD OPP A	0.00	26.76	0.00	26.76	95.0	25.42
08/31/2017	KAPELN, J	DELAWARE TAX FREE USA A	0.00	9.15	0.00	9.15	95.0	8.69
08/31/2017	PAPPAS, JANE	DELAWARE VALUE A	0.00	6.48	0.00	6.48	95.0	6.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: PETER JOHNSON #63</u>								
08/31/2017	PAPPAS, LARRY	DELAWARE VALUE A	0.00	32.92	0.00	32.92	95.0	31.27
		* PETER JOHNSON SUBTOTAL *	\$0.00	\$75.31	\$0.00	\$75.31		\$71.54
		*** SPLIT TRANSACTIONS TOTAL ***	\$484,125.99	\$1,103.14	\$56.00	\$1,047.14		\$994.79

VERRIDE TRANSACTIONS

<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
09/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	95.0	3.19
08/31/2017	SCHNEIDER, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	25,000.00	687.50	0.00	687.50	95.0	687.50
08/31/2017	SCHNEIDER, RYAN	AM FUNDS NEW ECONOMY A	25,000.00	687.50	0.00	687.50	95.0	687.50
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$50,075.00	\$1,378.19	\$0.00	\$1,378.19		\$1,378.19

<u>BROKER: THOMAS SCHNEIDER #30</u>								
08/30/2017	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	13,964.47	2.91	0.00	2.91	0.0	2.91
08/30/2017	KELLER, MICHELLE	SBL ADVISOR PROGRAM	27,444.63	5.72	0.00	5.72	0.0	5.72
08/30/2017	YOUNG, MARCIA	SBL ADVISOR PROGRAM	24,052.99	5.02	0.00	5.02	0.0	5.02
08/30/2017	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	5,981.36	1.24	0.00	1.24	0.0	1.24
08/30/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,719.58	0.77	0.00	0.77	0.0	0.77
08/30/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,777.12	1.41	0.00	1.41	0.0	1.41
08/30/2017	MELLERT, ELLEN	SBL ADVISORDESIGNS VA	3,906.41	3.26	0.00	3.26	0.0	3.26
08/30/2017	HARTONG, DEAN	SBL ADVISORDESIGNS VA	12,773.42	2.67	0.00	2.67	0.0	2.67
08/30/2017	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,764.87	1.41	0.00	1.41	0.0	1.41
08/30/2017	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,520.45	1.78	0.00	1.78	0.0	1.78
08/30/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,292.31	1.32	0.00	1.32	0.0	1.32
08/30/2017	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,101.13	1.68	0.00	1.68	0.0	1.68
08/30/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,927.92	1.65	0.00	1.65	0.0	1.65
08/30/2017	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,666.95	4.52	0.00	4.52	0.0	4.52
08/30/2017	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	8,058.66	1.68	0.00	1.68	0.0	1.68
08/30/2017	GREGORY, CAROL	SBL ADVISORDESIGNS VA	30,776.97	6.41	0.00	6.41	0.0	6.41
08/30/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,350.74	0.70	0.00	0.70	0.0	0.70
08/30/2017	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	7,768.25	1.63	0.00	1.63	0.0	1.63
08/30/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,707.93	1.60	0.00	1.60	0.0	1.60
08/30/2017	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,888.13	1.23	0.00	1.23	0.0	1.23
08/30/2017	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	134,793.55	28.09	0.00	28.09	0.0	28.09

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ADJUSTMENTS

* THOMAS SCHNEIDER SUBTOTAL *	\$356,237.84	\$76.70	\$0.00	\$76.70	\$76.70
*** OVERRIDE TRANSACTIONS TOTAL ***	\$406,312.84	\$1,454.89	\$0.00	\$1,454.89	\$1,454.89

BROKER COMMISSION TOTALS

Broker Transactions	\$23,905.79
Split Transactions	\$994.79
Override Transactions	\$1,454.89
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$26,355.47
Year-to-date Gross	\$273,864.13
Year-to-date Earnings	\$264,966.85

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