



COMMISSION STATEMENT

April 09, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/02/2018	***-**-325X - WARD, JAMES	AMERICAN 2035 TARGET DATE RETIREMNT	99.99	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010789	BUY 7 Units @14.4000						
04/02/2018	***-**-007X - MONACO, CARMINE	AMERICAN 2035 TARGET DATE RETIREMNT	1,325.00	13.25	3.00	10.25	80.0	8.20
	ACCOUNT #: LSR010800	BUY 92 Units @14.4000						
04/02/2018	***-**-310X - HARDWICK, TODD	AMERICAN 2035 TARGET DATE RETIREMNT	90.00	0.90	3.00	-2.10	100.0	-2.10
	ACCOUNT #: LSR011310	BUY 6 Units @14.4000						
03/26/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.7100						
03/26/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @27.7100						
03/26/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.7100						
03/26/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.7100						
03/28/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	25.65	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 04000812765	BUY 1 Units @28.1300						
03/26/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.9200						
03/26/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9200						
03/28/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.1400						
04/02/2018	***-**-968X - GAW, LELAND	AMERICAN FUNDS 2030 TARGET DATE-C	1,220.01	12.20	3.00	9.20	80.0	7.36
	ACCOUNT #: LSR010777	BUY 87 Units @13.9800						
04/02/2018	***-**-552X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	800.01	8.00	3.00	5.00	80.0	4.00
	ACCOUNT #: LSR010780	BUY 57 Units @13.9800						
04/02/2018	***-**-558X - FAMIGLIETTI,	AMERICAN FUNDS 2030 TARGET DATE-C	100.00	1.00	3.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR010788	BUY 7 Units @13.9800						
03/26/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.4800						
03/26/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.4800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/28/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @15.6200						
03/28/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 19 Units @16.0000						
03/28/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.0000						
03/26/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.8100						
03/26/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.8100						
03/26/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.8100						
03/26/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.8100						
03/26/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @14.9800						
03/26/2018	***-**-2954 - RESTO, NORBERTO	AMERICAN FUNDS GRWTH & INCOME PORT	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00069029798	BUY 2 Units @14.9800						
03/26/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	240.50	2.41	0.00	2.41	80.0	1.93
	ACCOUNT #: 00084434107	BUY 16 Units @14.9800						
03/26/2018	***-**-3307 - COUGHLAN, HARRY	AMERICAN FUNDS GRWTH & INCOME PORT	66.96	0.67	0.00	0.67	80.0	0.54
	ACCOUNT #: 00084434107	BUY 4 Units @14.9800						
03/26/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	30.82	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00085621852	BUY 2 Units @14.9800						
03/26/2018	***-**-0391 - HERNANDEZ,	AMERICAN FUNDS GRWTH & INCOME PORT	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00085621852	BUY 3 Units @14.9800						
03/26/2018	***-**-078I - PELLETIER,	FT 2015 RETIREMENT TARGET FUND - CL	500.00	18.75	0.00	18.75	80.0	15.00
	ACCOUNT #: 000000000017588	BUY 44 Units @11.3800						
04/02/2018	***-**-102I - DIPAOLO, EVELYN	T ROWE PRICE RET 2020 FUND	7,500.00	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011455	BUY 337 Units @22.2600						
* MUTUAL FUNDS SUBTOTAL *			\$13,981.88	\$139.04	\$21.00	\$118.04		\$93.20



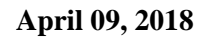
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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/09/2018	***-**-235I - DASILVA, BRANDON CHET ADVISOR 529 TRAILS		0.00	96.44	0.00	96.44	80.0	77.15
	ACCOUNT #: 68701113601	BUY 0 Units @0.0000						
04/03/2018	***-**-39IN - GRAZIOSA, ROBERT PIONEER INDEPENDENCE FUND C		0.00	11.82	0.00	11.82	80.0	9.46
	ACCOUNT #: 06206043710	BUY 0 Units @0.0000						
04/03/2018	***-**-39IN - GRAZIOSA, ROBERT PIONEER STRATEGIC INCOME FUND C		0.00	5.15	0.00	5.15	80.0	4.12
	ACCOUNT #: 06206043710	BUY 0 Units @0.0000						
04/09/2018	***-**-447I - BELVAL, PAUL	SAMMONS MF TRAILS	0.00	71.01	0.00	71.01	80.0	56.81
	ACCOUNT #: 7200002672	BUY 0 Units @0.0000						
04/09/2018	***-**-057I - DASILVA, JOSE	SAMMONS MF TRAILS	0.00	12.72	0.00	12.72	80.0	10.18
	ACCOUNT #: 7200010529	BUY 0 Units @0.0000						
04/09/2018	***-**-700I - NIHILL, TODD	SAMMONS MF TRAILS	0.00	31.80	0.00	31.80	80.0	25.44
	ACCOUNT #: 7200019445	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$228.94	\$0.00	\$228.94		\$183.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2018	***-**-72IN - ROSEN, THOMAS	JACKSON PERSEPCTIVE ADVANTAGE A	0.00	33.79	0.00	33.79	80.0	27.03
	ACCOUNT #: 009102606A	BUY 0 Units @0.0000						
03/31/2018	***-**-09IN - SILL, RONALD	LINCOLN FIN VA TRAILS DTCC 53422E51	0.00	254.53	0.00	254.53	80.0	203.62
	ACCOUNT #: 924094424	BUY 0 Units @0.0000						
03/31/2018	***-**-3912 - LAFUENTE, CARLOS MASS MUTUAL VA DTCC TRAILS		0.00	198.22	0.00	198.22	80.0	158.58
	ACCOUNT #: EVN46018411	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$486.54	\$0.00	\$486.54		\$389.23
	*** BROKER TRANSACTIONS TOTAL ***		\$13,981.88	\$854.52	\$21.00	\$833.52		\$665.59



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$665.59
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
Net Commission Earned							\$665.59
Year-to-date Earnings							\$21,154.57