

# STATEMENT

## July 20, 2018

**RICHARD BARRONE**  
385 1/2 E North St  
SUITE 620  
Bradley, IL 60915

**BROKER #: 140**  
BRANCH:

### COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/08/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.06	93.0	0.00	0.99	0.99
06/08/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	2.87	93.0	0.00	2.67	2.67
06/08/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.06	93.0	0.00	0.99	0.99
06/08/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	2.87	93.0	0.00	2.67	2.67
06/08/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	39.26	1.67	93.0	0.00	1.55	1.55
06/08/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	39.26	1.67	93.0	0.00	1.55	1.55
06/08/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	47.68	2.03	93.0	0.00	1.89	1.89
06/08/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	47.68	2.03	93.0	0.00	1.89	1.89
06/08/2018	#04001014936	BURDEAUCHE	AMERICAN FUNDS 2030 TARGET DATE-A	50.30	2.14	93.0	0.00	1.99	1.99
06/08/2018	#04001014936	BURDEAUCHE	AMERICAN FUNDS 2030 TARGET DATE-A	50.30	2.14	93.0	0.00	1.99	1.99
06/08/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.06	93.0	0.00	0.99	0.99
06/08/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	2.87	93.0	0.00	2.67	2.67
06/08/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.06	93.0	0.00	0.99	0.99
06/08/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	2.87	93.0	0.00	2.67	2.67
06/08/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.41	93.0	0.00	1.31	1.31
06/08/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	3.83	93.0	0.00	3.56	3.56
06/08/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.41	93.0	0.00	1.31	1.31
06/08/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	3.83	93.0	0.00	3.56	3.56
06/11/2018	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630R583	50.00	0.50	93.0	0.00	0.47	0.47
06/11/2018	#00084174737	PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	180.00	4.95	93.0	0.00	4.60	4.60
06/11/2018	#00087266963	MEEKERJENN	GROWTH AND INCOME PORFOLIO	50.00	0.50	93.0	0.00	0.47	0.47
06/11/2018	#00087266963	MEEKERJENN	GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.47
06/12/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.06	93.0	0.00	0.99	0.99
06/12/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	2.87	93.0	0.00	2.67	2.67
06/12/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	44.39	1.89	93.0	0.00	1.76	1.76
06/12/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	44.39	1.89	93.0	0.00	1.76	1.76
06/12/2018	#04001014936	BURDEAUCHE	AMERICAN FUNDS 2030 TARGET DATE-A	127.08	5.40	93.0	0.00	5.02	5.02
06/12/2018	#04001014936	BURDEAUCHE	AMERICAN FUNDS 2030 TARGET DATE-A	127.08	5.40	93.0	0.00	5.02	5.02

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/12/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.06	93.0	0.00	0.99	0.99
06/12/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	2.87	93.0	0.00	2.67	2.67
06/12/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.41	93.0	0.00	1.31	1.31
06/12/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	3.83	93.0	0.00	3.56	3.56
06/13/2018	#00086554976	KUIKEN, DAVID	AMCAP FUND INC CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554976	KUIKEN, DAVID	AMCAP FUND INC CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554949	CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	307.50	8.46	93.0	0.00	7.87	7.87
06/13/2018	#00086554949	CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	307.50	8.46	93.0	0.00	7.87	7.87
06/13/2018	#00086554976	KUIKEN, DAVID	AMERICAN FUNDS 2020 TARGET DATE-A	270.00	7.43	93.0	0.00	6.91	6.91
06/13/2018	#00086554976	KUIKEN, DAVID	AMERICAN FUNDS 2020 TARGET DATE-A	270.00	7.43	93.0	0.00	6.91	6.91
06/13/2018	#00089478928	SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	232.50	6.39	93.0	0.00	5.94	5.94
06/13/2018	#00089478928	SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	775.00	21.31	93.0	0.00	19.82	19.82
06/13/2018	#00089299775	MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	172.34	4.74	93.0	0.00	4.41	4.41
06/13/2018	#00089299775	MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	172.34	4.74	93.0	0.00	4.41	4.41
06/13/2018	#04000208238	ROMACLAWE	AMERICAN FUNDS 2030 TARGET DATE-A	156.79	4.31	93.0	0.00	4.01	4.01
06/13/2018	#04000208238	ROMACLAWE	AMERICAN FUNDS 2030 TARGET DATE-A	156.79	4.31	93.0	0.00	4.01	4.01
06/13/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	247.50	6.81	93.0	0.00	6.33	6.33
06/13/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	495.00	13.61	93.0	0.00	12.66	12.66
06/13/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	172.50	4.74	93.0	0.00	4.41	4.41
06/13/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	172.50	4.74	93.0	0.00	4.41	4.41
06/13/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	123.75	3.40	93.0	0.00	3.16	3.16
06/13/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	123.75	3.40	93.0	0.00	3.16	3.16
06/13/2018	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554976	KUIKEN, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/13/2018	#00086554976	KUIKEN, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	45.00	1.24	93.0	0.00	1.15	1.15
06/15/2018	#00084330324	ROOD, NATHANIEL	AMERICAN BALANCED FUND INC CL C	50.00	0.50	93.0	0.00	0.47	0.47
06/15/2018	#00089210188	GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	18.60
06/15/2018	#00084330324	ROOD, NATHANIEL	AMERICAN MUTUAL FUND INC CL C	50.00	0.50	93.0	0.00	0.47	0.47
06/15/2018	#00084330324	ROOD, NATHANIEL	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	93.0	0.00	0.47	0.47
06/15/2018	#00084330324	ROOD, NATHANIEL	INCOME FUND AMER INC CL C	50.00	0.50	93.0	0.00	0.47	0.47
06/15/2018	#00084330324	ROOD, NATHANIEL	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	93.0	0.00	0.47	0.47
06/18/2018	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	4.98
06/18/2018	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	578.34	15.90	93.0	0.00	14.79	14.79

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/20/2018	#00082145480	GREENLEE, AMERICAN HIGH INCOME TR SH BEN INT	80.00	2.20	93.0	0.00	2.05	0.00	2.05
06/20/2018	#00082145480	GREENLEE, AMERICAN MUTUAL FUND INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
06/20/2018	#00082145480	GREENLEE, GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
06/20/2018	#00082145480	GREENLEE, GROWTH FUND AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
06/20/2018	#00082145480	GREENLEE, INCOME FUND OF AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
06/20/2018	#00082145480	GREENLEE, WASHINGTON MUTUAL INVS FD CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
06/25/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
06/25/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
06/25/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	41.09	2.05	93.0	0.00	1.91	0.00	1.91
06/25/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	41.09	2.05	93.0	0.00	1.91	0.00	1.91
06/25/2018	#04001014936	BURDEAUCHE AMERICAN FUNDS 2030 TARGET DATE-A	30.88	1.54	93.0	0.00	1.43	0.00	1.43
06/25/2018	#04001014936	BURDEAUCHE AMERICAN FUNDS 2030 TARGET DATE-A	30.88	1.54	93.0	0.00	1.43	0.00	1.43
06/25/2018	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
06/25/2018	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
06/25/2018	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
06/25/2018	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$8,742.74</b>	<b>\$275.77</b>		<b>\$0.00</b>	<b>\$256.52</b>	<b>\$0.00</b>	<b>\$256.52</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/01/2018	#00069311629	CARLEY, RICHARD AMCAP FUND INC CLASS A	0.00	36.66	93.0	0.00	34.09	0.00	34.09
06/01/2018	#00073570837	JOHNSTON, AMCAP FUND INC CLASS A	0.00	4.57	93.0	0.00	4.25	0.00	4.25
06/01/2018	#00073771609	MCCLURE, LESLIE AMCAP FUND INC CLASS A	0.00	6.86	93.0	0.00	6.38	0.00	6.38
06/01/2018	#00075012426	STEVENS, JAMES AMCAP FUND INC CLASS A	0.00	7.59	93.0	0.00	7.06	0.00	7.06
06/01/2018	#00075959045	LINK, MICHELLE AMCAP FUND INC CLASS A	0.00	5.98	93.0	0.00	5.56	0.00	5.56
06/01/2018	#00075975866	GREENAWALT, AMCAP FUND INC CLASS A	0.00	3.24	93.0	0.00	3.01	0.00	3.01
06/01/2018	#00081391700	GREENLEE, R AMCAP FUND INC CLASS A	0.00	0.79	93.0	0.00	0.73	0.00	0.73
06/01/2018	#00081716214	TINGLEY, AMCAP FUND INC CLASS A	0.00	14.07	93.0	0.00	13.09	0.00	13.09
06/01/2018	#00082145480	GREENLEE, AMCAP FUND INC CLASS A	0.00	1.41	93.0	0.00	1.31	0.00	1.31
06/01/2018	#00084440582	SOSTARICH, ALEX AMCAP FUND INC CLASS A	0.00	1.91	93.0	0.00	1.78	0.00	1.78
06/01/2018	#00084706273	HENRICHS, NELDA AMCAP FUND INC CLASS A	0.00	9.86	93.0	0.00	9.17	0.00	9.17
06/01/2018	#00085057300	CARTER, GARY AMCAP FUND INC CLASS A	0.00	3.43	93.0	0.00	3.19	0.00	3.19
06/01/2018	#00085057868	AMCAP FUND INC CLASS A	0.00	5.73	93.0	0.00	5.33	0.00	5.33
06/01/2018	#00085062501	CARTER, GARY AMCAP FUND INC CLASS A	0.00	12.58	93.0	0.00	11.70	0.00	11.70
06/01/2018	#00086429463	LITTLE, ELLIOTT AMCAP FUND INC CLASS A	0.00	1.14	93.0	0.00	1.06	0.00	1.06
06/01/2018	#00086471590	BOONE, RANDAL AMCAP FUND INC CLASS A	0.00	15.92	93.0	0.00	14.81	0.00	14.81
06/01/2018	#00086554976	KUIKEN, DAVID AMCAP FUND INC CLASS A	0.00	4.90	93.0	0.00	4.56	0.00	4.56

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086559404 BAILEY, KAREN	AMCAP FUND INC CLASS A	0.00	14.23	93.0	0.00	13.23	0.00	13.23
06/01/2018	#00086731559 PIERCE,	AMCAP FUND INC CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
06/01/2018	#00087092737 JORDAN, JAMES	AMCAP FUND INC CLASS A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
06/01/2018	#00087249463	AMCAP FUND INC CLASS A	0.00	3.35	93.0	0.00	3.12	0.00	3.12
06/01/2018	#00089133716 LINK, MICHELLE	AMCAP FUND INC CLASS A	0.00	8.63	93.0	0.00	8.03	0.00	8.03
06/01/2018	#00073570837 JOHNSTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	6.16	93.0	0.00	5.73	0.00	5.73
06/01/2018	#00075084357 HARVEY, ANTONIO	AMERICAN BALANCED FUND INC CLASS A	0.00	8.81	93.0	0.00	8.19	0.00	8.19
06/01/2018	#00075975866 GREENAWALT,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.29	93.0	0.00	2.13	0.00	2.13
06/01/2018	#00082284073 HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.45	93.0	0.00	4.14	0.00	4.14
06/01/2018	#00084093970 YEATES, NORA	AMERICAN BALANCED FUND INC CLASS A	0.00	11.99	93.0	0.00	11.15	0.00	11.15
06/01/2018	#00084885189 HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	9.27	93.0	0.00	8.62	0.00	8.62
06/01/2018	#04000073507	AMERICAN BALANCED FUND INC CLASS A	0.00	2.83	93.0	0.00	2.63	0.00	2.63
06/01/2018	#04000092547	AMERICAN BALANCED FUND INC CLASS A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
06/01/2018	#00067490088 LOVELL, ASHLEY	AMERICAN BALANCED FUND-529A	0.00	1.99	93.0	0.00	1.85	0.00	1.85
06/01/2018	#00073771609 MCCLURE, LESLIE	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	3.98	93.0	0.00	3.70	0.00	3.70
06/01/2018	#00074151999 GREENAWALT,	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	4.76	93.0	0.00	4.43	0.00	4.43
06/01/2018	#00074675547 GREENAWALT,	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	1.78	93.0	0.00	1.66	0.00	1.66
06/01/2018	#00075019244 STEVENS, JAMES	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	19.56	93.0	0.00	18.19	0.00	18.19
06/01/2018	#00081391700 GREENLEE, R	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.42	93.0	0.00	0.39	0.00	0.39
06/01/2018	#00081708237 TINGLEY, JOHN	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	13.11	93.0	0.00	12.19	0.00	12.19
06/01/2018	#00084044679 DUFRAIN, SHARON	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	2.42	93.0	0.00	2.25	0.00	2.25
06/01/2018	#00085062501 CARTER, GARY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	6.37	93.0	0.00	5.92	0.00	5.92
06/01/2018	#00086731559 PIERCE,	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	3.05	93.0	0.00	2.84	0.00	2.84
06/01/2018	#00086872436 KLONOWSKI,	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	1.27	93.0	0.00	1.18	0.00	1.18
06/01/2018	#00086934802 KARR, JAY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	6.28	93.0	0.00	5.84	0.00	5.84
06/01/2018	#00066994403	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.01	93.0	0.00	2.80	0.00	2.80
06/01/2018	#00081716214 TINGLEY,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	15.33	93.0	0.00	14.26	0.00	14.26
06/01/2018	#00084093970 YEATES, NORA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	9.76	93.0	0.00	9.08	0.00	9.08
06/01/2018	#00085057808 CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.49	93.0	0.00	3.25	0.00	3.25
06/01/2018	#00085062501 CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	11.65	93.0	0.00	10.83	0.00	10.83
06/01/2018	#00086674610 BAILEY, KAREN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.60	93.0	0.00	1.49	0.00	1.49
06/01/2018	#00087119085 TINGLEY, JOHN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	7.83	93.0	0.00	7.28	0.00	7.28
06/01/2018	#00087146597 IL/TOD	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	4.54	93.0	0.00	4.22	0.00	4.22
06/01/2018	#00087249463	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	13.70	93.0	0.00	12.74	0.00	12.74
06/01/2018	#00087350673	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.02	93.0	0.00	1.88	0.00	1.88
06/01/2018	#00087989647 STEVENS, JAMES	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	51.22	93.0	0.00	47.63	0.00	47.63

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00088037021	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.81	93.0	0.00	3.54	0.00	3.54
06/01/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.27	93.0	0.00	3.04	0.00	3.04
06/01/2018	#00088978687	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	73.32	93.0	0.00	68.19	0.00	68.19
06/01/2018	#00089339323	KING, LINDSAY AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.67	93.0	0.00	2.48	0.00	2.48
06/01/2018	#00089360005	MCCLURE, LESLIE AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	4.29	93.0	0.00	3.99	0.00	3.99
06/01/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02630D303	0.00	2.45	93.0	0.00	2.28	0.00	2.28
06/01/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02630R583	0.00	3.88	93.0	0.00	3.61	0.00	3.61
06/01/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02630Y240	0.00	6.25	93.0	0.00	5.81	0.00	5.81
06/01/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02631C619	0.00	10.24	93.0	0.00	9.52	0.00	9.52
06/01/2018	#00089133716	LINK, MICHELLE AMERICAN FUNDS 02631E102	0.00	3.66	93.0	0.00	3.40	0.00	3.40
06/01/2018	#00086841916	MARTHODROT AMERICAN FUNDS 02631L106	0.00	57.32	93.0	0.00	53.31	0.00	53.31
06/01/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	0.00	0.50	93.0	0.00	0.47	0.00	0.47
06/01/2018	#00086021648	DIONNE, DONNA AMERICAN FUNDS 02631L825	0.00	23.71	93.0	0.00	22.05	0.00	22.05
06/01/2018	#00084093970	YEATES, NORA AMERICAN FUNDS 2010 TARGET DATE-A	0.00	10.81	93.0	0.00	10.05	0.00	10.05
06/01/2018	#00085066155	JOHNSON, MARY AMERICAN FUNDS 2010 TARGET DATE-A	0.00	44.94	93.0	0.00	41.79	0.00	41.79
06/01/2018	#00086674610	BAILEY, KAREN AMERICAN FUNDS 2010 TARGET DATE-A	0.00	5.42	93.0	0.00	5.04	0.00	5.04
06/01/2018	#00086982724	KOERNER, ROGER AMERICAN FUNDS 2010 TARGET DATE-A	0.00	10.02	93.0	0.00	9.32	0.00	9.32
06/01/2018	#00086982744	KOERNER, MARY AMERICAN FUNDS 2010 TARGET DATE-A	0.00	8.38	93.0	0.00	7.79	0.00	7.79
06/01/2018	#00083223386	JOHNSTON, AMERICAN FUNDS 2015 TARGET DATE-A	0.00	19.87	93.0	0.00	18.48	0.00	18.48
06/01/2018	#00083223508	JOHNSTON, AMERICAN FUNDS 2015 TARGET DATE-A	0.00	21.99	93.0	0.00	20.45	0.00	20.45
06/01/2018	#00083869206	FARMAN, AMERICAN FUNDS 2015 TARGET DATE-A	0.00	36.39	93.0	0.00	33.84	0.00	33.84
06/01/2018	#00085161492	GREENAWALT, AMERICAN FUNDS 2015 TARGET DATE-A	0.00	23.83	93.0	0.00	22.16	0.00	22.16
06/01/2018	#00089124455	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	50.13	93.0	0.00	46.62	0.00	46.62
06/01/2018	#00063858242	KARR, DONNA AMERICAN FUNDS 2020 TARGET DATE-A	0.00	60.92	93.0	0.00	56.66	0.00	56.66
06/01/2018	#00063976641	KARR, DONNA AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.38	93.0	0.00	5.00	0.00	5.00
06/01/2018	#00063976642	KARR, JAY AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.38	93.0	0.00	5.00	0.00	5.00
06/01/2018	#00084044679	DUFRAIN, SHARON AMERICAN FUNDS 2020 TARGET DATE-A	0.00	7.45	93.0	0.00	6.93	0.00	6.93
06/01/2018	#00084174737	PIERCE, AMERICAN FUNDS 2020 TARGET DATE-A	0.00	16.38	93.0	0.00	15.23	0.00	15.23
06/01/2018	#00084706273	HENRICHS, NELDA AMERICAN FUNDS 2020 TARGET DATE-A	0.00	45.77	93.0	0.00	42.57	0.00	42.57
06/01/2018	#00085057808	CARTER, GARY AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.62	93.0	0.00	4.30	0.00	4.30
06/01/2018	#00085062501	CARTER, GARY AMERICAN FUNDS 2020 TARGET DATE-A	0.00	73.10	93.0	0.00	67.98	0.00	67.98
06/01/2018	#00085254551	U, ELLEN AMERICAN FUNDS 2020 TARGET DATE-A	0.00	333.50	93.0	0.00	310.16	0.00	310.16
06/01/2018	#00085694409	GREENAWALT, AMERICAN FUNDS 2020 TARGET DATE-A	0.00	9.63	93.0	0.00	8.96	0.00	8.96
06/01/2018	#00086554949	CRISP, KRISTEN AMERICAN FUNDS 2020 TARGET DATE-A	0.00	17.56	93.0	0.00	16.33	0.00	16.33
06/01/2018	#00086554976	KUIKEN, DAVID AMERICAN FUNDS 2020 TARGET DATE-A	0.00	23.25	93.0	0.00	21.62	0.00	21.62
06/01/2018	#00086674610	BAILEY, KAREN AMERICAN FUNDS 2020 TARGET DATE-A	0.00	2.54	93.0	0.00	2.36	0.00	2.36

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086693838	PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	8.82	93.0	0.00	8.20	8.20
06/01/2018	#00086965104	KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	160.69	93.0	0.00	149.44	149.44
06/01/2018	#00088710798		AMERICAN FUNDS 2020 TARGET DATE-A	0.00	41.22	93.0	0.00	38.33	38.33
06/01/2018	#00081708237	TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	40.85	93.0	0.00	37.99	37.99
06/01/2018	#00081716214	TINGLEY,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	17.41	93.0	0.00	16.19	16.19
06/01/2018	#00084768483		AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.21	93.0	0.00	2.99	2.99
06/01/2018	#00085651034	KEEN, MARK	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	17.35	93.0	0.00	16.14	16.14
06/01/2018	#00085651049	KEEN, JOANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	15.38	93.0	0.00	14.30	14.30
06/01/2018	#00086471590	BOONE, RANDAL	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	64.40	93.0	0.00	59.89	59.89
06/01/2018	#00086622105	DONATO, GERALD	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.27	93.0	0.00	0.25	0.25
06/01/2018	#00087119085	TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	6.65	93.0	0.00	6.18	6.18
06/01/2018	#00088726870	COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	4.02	93.0	0.00	3.74	3.74
06/01/2018	#00089405854	FAIRFIELD,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.98	93.0	0.00	3.70	3.70
06/01/2018	#00089478928	SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	11.19	93.0	0.00	10.41	10.41
06/01/2018	#04000199989	NEPAUL, DION	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	73.00	93.0	0.00	67.89	67.89
06/01/2018	#00084327010	ROOD, NATHANIEL	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	19.38	93.0	0.00	18.02	18.02
06/01/2018	#00089299775	MOFFITTDVAV	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	7.24	93.0	0.00	6.73	6.73
06/01/2018	#04000208238	ROMACLAWE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	1.58	93.0	0.00	1.47	1.47
06/01/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	20.92	93.0	0.00	19.46	19.46
06/01/2018	#00086555021	DONLEY, PATRICK	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	14.47	93.0	0.00	13.46	13.46
06/01/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	3.85	93.0	0.00	3.58	3.58
06/01/2018	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	22.48	93.0	0.00	20.91	20.91
06/01/2018	#00089210188	GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	8.21	93.0	0.00	7.64	7.64
06/01/2018	#00084440582	SOSTARICH, ALEX	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.29	93.0	0.00	0.27	0.27
06/01/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	0.00	4.79	93.0	0.00	4.45	4.45
06/01/2018	#00082103952	HOPKINS, DANA	AMERICAN FUNDS THE TAX EXEMPT BOND	0.00	4.09	93.0	0.00	3.80	3.80
06/01/2018	#00086882720	BROWN, DENISE	AMERICAN HIGH INCOME TR CL C	0.00	2.91	93.0	0.00	2.71	2.71
06/01/2018	#00063858242	KARR, DONNA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	3.95	93.0	0.00	3.67	3.67
06/01/2018	#00069311629	CARLEY, RICHARD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	10.78	93.0	0.00	10.03	10.03
06/01/2018	#00073771609	MCCLURE, LESLIE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	7.98	93.0	0.00	7.42	7.42
06/01/2018	#00075012426	STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	17.86	93.0	0.00	16.61	16.61
06/01/2018	#00075959045	LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.38	93.0	0.00	2.21	2.21
06/01/2018	#00082145480	GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.41	93.0	0.00	5.96	5.96
06/01/2018	#00084706273	HENRICHS, NELDA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	7.08	93.0	0.00	6.58	6.58
06/01/2018	#00085057300	CARTER, GARY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.94	93.0	0.00	1.80	1.80
06/01/2018	#00085062501	CARTER, GARY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	12.43	93.0	0.00	11.56	11.56

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086674610 BAILEY, KAREN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.41	93.0	0.00	1.31	0.00	1.31
06/01/2018	#00086731559 PIERCE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.24	93.0	0.00	5.80	0.00	5.80
06/01/2018	#00086872436 KLONOWSKI,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.56	93.0	0.00	1.45	0.00	1.45
06/01/2018	#00087092737 JORDAN, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.22	93.0	0.00	0.20	0.00	0.20
06/01/2018	#00087989647 STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	28.82	93.0	0.00	26.80	0.00	26.80
06/01/2018	#00089133716 LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.38	93.0	0.00	5.00	0.00	5.00
06/01/2018	#04000073507	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.70	93.0	0.00	0.65	0.00	0.65
06/01/2018	#04000092547	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.36	93.0	0.00	0.33	0.00	0.33
06/01/2018	#00082103952 HOPKINS, DANA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.16	93.0	0.00	3.87	0.00	3.87
06/01/2018	#00087249463	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	6.95	93.0	0.00	6.46	0.00	6.46
06/01/2018	#00084330324 ROOD, NATHANIEL	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.89	93.0	0.00	4.55	0.00	4.55
06/01/2018	#00084330324 ROOD, NATHANIEL	AMERICAN MUTUAL FUND INC CL C	0.00	18.16	93.0	0.00	16.89	0.00	16.89
06/01/2018	#00086835678 LARSEN, LANA	AMERICAN MUTUAL FUND INC CL C	0.00	7.01	93.0	0.00	6.52	0.00	6.52
06/01/2018	#00086882720 BROWN, DENISE	AMERICAN MUTUAL FUND INC CL C	0.00	8.60	93.0	0.00	8.00	0.00	8.00
06/01/2018	#00089729021	AMERICAN MUTUAL FUND INC CL C	0.00	14.13	93.0	0.00	13.14	0.00	13.14
06/01/2018	#00064165871 UNTIL AGE 21,	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
06/01/2018	#00065191215 CARLEY, DOROTHY	AMERICAN MUTUAL FUND INC CLASS A	0.00	11.44	93.0	0.00	10.64	0.00	10.64
06/01/2018	#00069311629 CARLEY, RICHARD	AMERICAN MUTUAL FUND INC CLASS A	0.00	10.41	93.0	0.00	9.68	0.00	9.68
06/01/2018	#00073570837 JOHNSTON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.96	93.0	0.00	9.26	0.00	9.26
06/01/2018	#00074675547 GREENAWALT,	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.39	93.0	0.00	5.94	0.00	5.94
06/01/2018	#00075012426 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	14.12	93.0	0.00	13.13	0.00	13.13
06/01/2018	#00075084357 HARVEY, ANTONIO	AMERICAN MUTUAL FUND INC CLASS A	0.00	7.64	93.0	0.00	7.11	0.00	7.11
06/01/2018	#00075959045 LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.95	93.0	0.00	2.74	0.00	2.74
06/01/2018	#00082103952 HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.80	93.0	0.00	9.11	0.00	9.11
06/01/2018	#00082145480 GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.73	93.0	0.00	9.05	0.00	9.05
06/01/2018	#00082284073 HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.73	93.0	0.00	3.47	0.00	3.47
06/01/2018	#00084044679 DUFRAIN, SHARON	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.61	93.0	0.00	2.43	0.00	2.43
06/01/2018	#00084093970 YEATES, NORA	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.66	93.0	0.00	8.05	0.00	8.05
06/01/2018	#00084440582 SOSTARICH, ALEX	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.76	93.0	0.00	1.64	0.00	1.64
06/01/2018	#00084706273 HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	16.72	93.0	0.00	15.55	0.00	15.55
06/01/2018	#00085057300 CARTER, GARY	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
06/01/2018	#00085057868	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.56	93.0	0.00	4.24	0.00	4.24
06/01/2018	#00085288543 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	7.00	93.0	0.00	6.51	0.00	6.51
06/01/2018	#00085341614 HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.30	93.0	0.00	0.28	0.00	0.28
06/01/2018	#00086471590 BOONE, RANDAL	AMERICAN MUTUAL FUND INC CLASS A	0.00	13.55	93.0	0.00	12.60	0.00	12.60
06/01/2018	#00086731559 PIERCE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.91	93.0	0.00	2.71	0.00	2.71

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00086835678	LARSEN, LANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.19	93.0	0.00	0.18	0.18
06/01/2018	#00086872436	KLONOWSKI,	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.54	93.0	0.00	2.36	2.36
06/01/2018	#00086934802	KARR, JAY	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.35	93.0	0.00	4.05	4.05
06/01/2018	#00087146597	IL/TOD	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.13	93.0	0.00	2.91	2.91
06/01/2018	#00087249463		AMERICAN MUTUAL FUND INC CLASS A	0.00	23.26	93.0	0.00	21.63	21.63
06/01/2018	#00087760162	TINGLEY, JOHN	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.66	93.0	0.00	3.40	3.40
06/01/2018	#00087989647	STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	37.04	93.0	0.00	34.45	34.45
06/01/2018	#00089133716	LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	7.89	93.0	0.00	7.34	7.34
06/01/2018	#00089727839		AMERICAN MUTUAL FUND INC CLASS A	0.00	1.58	93.0	0.00	1.47	1.47
06/01/2018	#04000073507		AMERICAN MUTUAL FUND INC CLASS A	0.00	1.69	93.0	0.00	1.57	1.57
06/01/2018	#04000092547		AMERICAN MUTUAL FUND INC CLASS A	0.00	1.02	93.0	0.00	0.95	0.95
06/01/2018	#04000506110		AMERICAN MUTUAL FUND INC CLASS A	0.00	1.58	93.0	0.00	1.47	1.47
06/01/2018	#00087146597	IL/TOD	BALANCED PORTFOLIO-A	0.00	14.31	93.0	0.00	13.31	13.31
06/01/2018	#00088978687		BALANCED PORTFOLIO-A	0.00	126.69	93.0	0.00	117.82	117.82
06/01/2018	#00086835678	LARSEN, LANA	BOND FUND AMER INC CL C	0.00	3.96	93.0	0.00	3.68	3.68
06/01/2018	#00075012426	STEVENS, JAMES	BOND FUND OF AMERICA INC CL A	0.00	13.87	93.0	0.00	12.90	12.90
06/01/2018	#00075084357	HARVEY, ANTONIO	BOND FUND OF AMERICA INC CL A	0.00	5.16	93.0	0.00	4.80	4.80
06/01/2018	#00081391700	GREENLEE, R	BOND FUND OF AMERICA INC CL A	0.00	0.19	93.0	0.00	0.18	0.18
06/01/2018	#00082145480	GREENLEE,	BOND FUND OF AMERICA INC CL A	0.00	1.68	93.0	0.00	1.56	1.56
06/01/2018	#00082284073	HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	3.30	93.0	0.00	3.07	3.07
06/01/2018	#00084044679	DUFRAIN, SHARON	BOND FUND OF AMERICA INC CL A	0.00	2.10	93.0	0.00	1.95	1.95
06/01/2018	#00084440582	SOSTARICH, ALEX	BOND FUND OF AMERICA INC CL A	0.00	0.63	93.0	0.00	0.59	0.59
06/01/2018	#00084706273	HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	6.60	93.0	0.00	6.14	6.14
06/01/2018	#00086559404	BAILEY, KAREN	BOND FUND OF AMERICA INC CL A	0.00	5.18	93.0	0.00	4.82	4.82
06/01/2018	#00086731559	PIERCE,	BOND FUND OF AMERICA INC CL A	0.00	2.72	93.0	0.00	2.53	2.53
06/01/2018	#00087249463		BOND FUND OF AMERICA INC CL A	0.00	6.35	93.0	0.00	5.91	5.91
06/01/2018	#00088978687		BOND FUND OF AMERICA INC CL A	0.00	36.26	93.0	0.00	33.72	33.72
06/01/2018	#00089405854	FAIRFIELD,	BOND FUND OF AMERICA INC CL A	0.00	1.06	93.0	0.00	0.99	0.99
06/01/2018	#04000073507		BOND FUND OF AMERICA INC CL A	0.00	1.25	93.0	0.00	1.16	1.16
06/01/2018	#04000092547		BOND FUND OF AMERICA INC CL A	0.00	0.63	93.0	0.00	0.59	0.59
06/01/2018	#00084330324	ROOD, NATHANIEL	CAPITAL INCOME BLDR FUND CL C	0.00	15.80	93.0	0.00	14.69	14.69
06/01/2018	#00086835678	LARSEN, LANA	CAPITAL INCOME BLDR FUND CL C	0.00	5.41	93.0	0.00	5.03	5.03
06/01/2018	#00086882720	BROWN, DENISE	CAPITAL INCOME BLDR FUND CL C	0.00	6.57	93.0	0.00	6.11	6.11
06/01/2018	#00069311629	CARLEY, RICHARD	CAPITAL INCOME BUILDER FD CL A	0.00	12.35	93.0	0.00	11.49	11.49
06/01/2018	#00073570837	JOHNSTON,	CAPITAL INCOME BUILDER FD CL A	0.00	31.69	93.0	0.00	29.47	29.47
06/01/2018	#00074151999	GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	0.99	93.0	0.00	0.92	0.92



COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00074675547	GREENAWALT, CAPITAL INCOME BUILDER FD CL A	0.00	1.53	93.0	0.00	1.42	0.00	1.42
06/01/2018	#00075012426	STEVENS, JAMES CAPITAL INCOME BUILDER FD CL A	0.00	12.08	93.0	0.00	11.23	0.00	11.23
06/01/2018	#00075019244	STEVENS, JAMES CAPITAL INCOME BUILDER FD CL A	0.00	20.66	93.0	0.00	19.21	0.00	19.21
06/01/2018	#00075084357	HARVEY, ANTONIO CAPITAL INCOME BUILDER FD CL A	0.00	7.50	93.0	0.00	6.98	0.00	6.98
06/01/2018	#00075959045	LINK, MICHELLE CAPITAL INCOME BUILDER FD CL A	0.00	0.93	93.0	0.00	0.86	0.00	0.86
06/01/2018	#00081391700	GREENLEE, R CAPITAL INCOME BUILDER FD CL A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
06/01/2018	#00082103952	HOPKINS, DANA CAPITAL INCOME BUILDER FD CL A	0.00	7.31	93.0	0.00	6.80	0.00	6.80
06/01/2018	#00082145480	GREENLEE, CAPITAL INCOME BUILDER FD CL A	0.00	1.93	93.0	0.00	1.79	0.00	1.79
06/01/2018	#00084093970	YEATES, NORA CAPITAL INCOME BUILDER FD CL A	0.00	12.71	93.0	0.00	11.82	0.00	11.82
06/01/2018	#00084885189	HENRICHS, NELDA CAPITAL INCOME BUILDER FD CL A	0.00	5.38	93.0	0.00	5.00	0.00	5.00
06/01/2018	#00085057300	CARTER, GARY CAPITAL INCOME BUILDER FD CL A	0.00	2.60	93.0	0.00	2.42	0.00	2.42
06/01/2018	#00085341614	HOPKINS, DANA CAPITAL INCOME BUILDER FD CL A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
06/01/2018	#00086429463	LITTLE, ELLIOTT CAPITAL INCOME BUILDER FD CL A	0.00	0.80	93.0	0.00	0.74	0.00	0.74
06/01/2018	#00086731559	PIERCE, CAPITAL INCOME BUILDER FD CL A	0.00	4.66	93.0	0.00	4.33	0.00	4.33
06/01/2018	#00086872436	KLONOWSKI, CAPITAL INCOME BUILDER FD CL A	0.00	3.41	93.0	0.00	3.17	0.00	3.17
06/01/2018	#00086934802	KARR, JAY CAPITAL INCOME BUILDER FD CL A	0.00	3.34	93.0	0.00	3.11	0.00	3.11
06/01/2018	#00087092737	JORDAN, JAMES CAPITAL INCOME BUILDER FD CL A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
06/01/2018	#00087146597	IL/TOD CAPITAL INCOME BUILDER FD CL A	0.00	5.51	93.0	0.00	5.12	0.00	5.12
06/01/2018	#00087249463	CAPITAL INCOME BUILDER FD CL A	0.00	30.63	93.0	0.00	28.49	0.00	28.49
06/01/2018	#00089133716	LINK, MICHELLE CAPITAL INCOME BUILDER FD CL A	0.00	6.77	93.0	0.00	6.30	0.00	6.30
06/01/2018	#04000073507	CAPITAL INCOME BUILDER FD CL A	0.00	1.57	93.0	0.00	1.46	0.00	1.46
06/01/2018	#04000092547	CAPITAL INCOME BUILDER FD CL A	0.00	0.80	93.0	0.00	0.74	0.00	0.74
06/01/2018	#00069311629	CARLEY, RICHARD CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	10.70	93.0	0.00	9.95	0.00	9.95
06/01/2018	#00073771609	MCCLURE, LESLIE CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.63	93.0	0.00	5.24	0.00	5.24
06/01/2018	#00074151999	GREENAWALT, CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.22	93.0	0.00	3.92	0.00	3.92
06/01/2018	#00075012426	STEVENS, JAMES CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	16.28	93.0	0.00	15.14	0.00	15.14
06/01/2018	#00081391700	GREENLEE, R CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.27	93.0	0.00	0.25	0.00	0.25
06/01/2018	#00084044679	DUFRAIN, SHARON CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.16	93.0	0.00	2.01	0.00	2.01
06/01/2018	#00084706273	HENRICHS, NELDA CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	6.60	93.0	0.00	6.14	0.00	6.14
06/01/2018	#00085057300	CARTER, GARY CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.00	93.0	0.00	1.86	0.00	1.86
06/01/2018	#00085062501	CARTER, GARY CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	6.31	93.0	0.00	5.87	0.00	5.87
06/01/2018	#00086731559	PIERCE, CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	7.60	93.0	0.00	7.07	0.00	7.07
06/01/2018	#00086872436	KLONOWSKI, CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.29	93.0	0.00	1.20	0.00	1.20
06/01/2018	#00087092737	JORDAN, JAMES CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
06/01/2018	#00087146597	IL/TOD CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	8.77	93.0	0.00	8.16	0.00	8.16
06/01/2018	#00087249463	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.87	93.0	0.00	4.53	0.00	4.53

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00088978687	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.12	93.0	0.00	4.76	0.00	4.76
06/01/2018	#00089133716 LINK, MICHELLE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	3.89	93.0	0.00	3.62	0.00	3.62
06/01/2018	#00069311629 CARLEY, RICHARD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	23.12	93.0	0.00	21.50	0.00	21.50
06/01/2018	#00074675547 GREENAWALT,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.88	93.0	0.00	2.68	0.00	2.68
06/01/2018	#00075019244 STEVENS, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	13.65	93.0	0.00	12.69	0.00	12.69
06/01/2018	#00075084357 HARVEY, ANTONIO	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.70	93.0	0.00	6.23	0.00	6.23
06/01/2018	#00075959045 LINK, MICHELLE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.21	93.0	0.00	1.13	0.00	1.13
06/01/2018	#00081708237 TINGLEY, JOHN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	13.63	93.0	0.00	12.68	0.00	12.68
06/01/2018	#00082103952 HOPKINS, DANA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.53	93.0	0.00	7.93	0.00	7.93
06/01/2018	#00084093970 YEATES, NORA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.85	93.0	0.00	4.51	0.00	4.51
06/01/2018	#00084927475 TINGLEY,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.86	93.0	0.00	6.38	0.00	6.38
06/01/2018	#00085288543 STEVENS, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.97	93.0	0.00	2.76	0.00	2.76
06/01/2018	#00085341614 HOPKINS, DANA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.34	93.0	0.00	0.32	0.00	0.32
06/01/2018	#00086882720 BROWN, DENISE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.62	93.0	0.00	2.44	0.00	2.44
06/01/2018	#00086934802 KARR, JAY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.12	93.0	0.00	3.83	0.00	3.83
06/01/2018	#00087092737 JORDAN, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.37	93.0	0.00	0.34	0.00	0.34
06/01/2018	#00087146597 IL/TOD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.03	93.0	0.00	5.61	0.00	5.61
06/01/2018	#00069311629 CARLEY, RICHARD	EMERGING MARKETS BOND FUND-A	0.00	5.31	93.0	0.00	4.94	0.00	4.94
06/01/2018	#00073771609 MCCLURE, LESLIE	EMERGING MARKETS BOND FUND-A	0.00	5.99	93.0	0.00	5.57	0.00	5.57
06/01/2018	#00074151999 GREENAWALT,	EMERGING MARKETS BOND FUND-A	0.00	4.19	93.0	0.00	3.90	0.00	3.90
06/01/2018	#00085062501 CARTER, GARY	EMERGING MARKETS BOND FUND-A	0.00	6.27	93.0	0.00	5.83	0.00	5.83
06/01/2018	#00086731559 PIERCE,	EMERGING MARKETS BOND FUND-A	0.00	3.10	93.0	0.00	2.88	0.00	2.88
06/01/2018	#00086872436 KLONOWSKI,	EMERGING MARKETS BOND FUND-A	0.00	1.86	93.0	0.00	1.73	0.00	1.73
06/01/2018	#00087092737 JORDAN, JAMES	EMERGING MARKETS BOND FUND-A	0.00	0.43	93.0	0.00	0.40	0.00	0.40
06/01/2018	#00087146597 IL/TOD	EMERGING MARKETS BOND FUND-A	0.00	4.34	93.0	0.00	4.04	0.00	4.04
06/01/2018	#00069311629 CARLEY, RICHARD	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.50	93.0	0.00	6.05	0.00	6.05
06/01/2018	#00074151999 GREENAWALT,	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.89	93.0	0.00	4.55	0.00	4.55
06/01/2018	#00081708237 TINGLEY, JOHN	EURO PACIFIC GROWTH FUND CLASS A	0.00	15.40	93.0	0.00	14.32	0.00	14.32
06/01/2018	#00084927475 TINGLEY,	EURO PACIFIC GROWTH FUND CLASS A	0.00	10.08	93.0	0.00	9.37	0.00	9.37
06/01/2018	#00085341614 HOPKINS, DANA	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.29	93.0	0.00	0.27	0.00	0.27
06/01/2018	#00086731559 PIERCE,	EURO PACIFIC GROWTH FUND CLASS A	0.00	3.12	93.0	0.00	2.90	0.00	2.90
06/01/2018	#00086835678 LARSEN, LANA	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
06/01/2018	#00087092737 JORDAN, JAMES	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.22	93.0	0.00	0.20	0.00	0.20
06/01/2018	#00063858242 KARR, DONNA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	8.76	93.0	0.00	8.15	0.00	8.15
06/01/2018	#00063976641 KARR, DONNA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.36	93.0	0.00	4.98	0.00	4.98
06/01/2018	#00069311629 CARLEY, RICHARD	FUNDAMENTAL INVESTORS INC CLASS A	0.00	20.54	93.0	0.00	19.10	0.00	19.10

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00073570837	JOHNSTON, FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.10	93.0	0.00	12.18	0.00	12.18
06/01/2018	#00073771609	MCCLURE, LESLIE FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.79	93.0	0.00	3.52	0.00	3.52
06/01/2018	#00074151999	GREENAWALT, FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.27	93.0	0.00	2.11	0.00	2.11
06/01/2018	#00074675547	GREENAWALT, FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.83	93.0	0.00	6.35	0.00	6.35
06/01/2018	#00075959045	LINK, MICHELLE FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.35	93.0	0.00	4.05	0.00	4.05
06/01/2018	#00081391700	GREENLEE, R FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.68	93.0	0.00	0.63	0.00	0.63
06/01/2018	#00082145480	GREENLEE, FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.25	93.0	0.00	3.02	0.00	3.02
06/01/2018	#00082284073	HENRICHS, NELDA FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.86	93.0	0.00	2.66	0.00	2.66
06/01/2018	#00084093970	YEATES, NORA FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.16	93.0	0.00	5.73	0.00	5.73
06/01/2018	#00084440533	KUHLMANN, FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.16	93.0	0.00	8.52	0.00	8.52
06/01/2018	#00084440582	SOSTARICH, ALEX FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.95	93.0	0.00	1.81	0.00	1.81
06/01/2018	#00085057808	CARTER, GARY FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.05	93.0	0.00	2.84	0.00	2.84
06/01/2018	#00085288543	STEVENS, JAMES FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.63	93.0	0.00	3.38	0.00	3.38
06/01/2018	#00085341614	HOPKINS, DANA FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.43	93.0	0.00	0.40	0.00	0.40
06/01/2018	#00086429463	LITTLE, ELLIOTT FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
06/01/2018	#00086934802	KARR, JAY FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.89	93.0	0.00	4.55	0.00	4.55
06/01/2018	#00087249463	FUNDAMENTAL INVESTORS INC CLASS A	0.00	14.84	93.0	0.00	13.80	0.00	13.80
06/01/2018	#00089729021	FUNDAMENTAL INVS INC CL C	0.00	14.30	93.0	0.00	13.30	0.00	13.30
06/01/2018	#00065191215	CARLEY, DOROTHY GLOBAL BALANCED FUND-A	0.00	16.43	93.0	0.00	15.28	0.00	15.28
06/01/2018	#00069311629	CARLEY, RICHARD GLOBAL BALANCED FUND-A	0.00	26.31	93.0	0.00	24.47	0.00	24.47
06/01/2018	#00073570837	JOHNSTON, GLOBAL BALANCED FUND-A	0.00	23.16	93.0	0.00	21.54	0.00	21.54
06/01/2018	#00075012426	STEVENS, JAMES GLOBAL BALANCED FUND-A	0.00	83.01	93.0	0.00	77.20	0.00	77.20
06/01/2018	#00075019244	STEVENS, JAMES GLOBAL BALANCED FUND-A	0.00	5.61	93.0	0.00	5.22	0.00	5.22
06/01/2018	#00075959045	LINK, MICHELLE GLOBAL BALANCED FUND-A	0.00	1.38	93.0	0.00	1.28	0.00	1.28
06/01/2018	#00075960625	CARLEY, RICHARD GLOBAL BALANCED FUND-A	0.00	4.82	93.0	0.00	4.48	0.00	4.48
06/01/2018	#00082103952	HOPKINS, DANA GLOBAL BALANCED FUND-A	0.00	7.83	93.0	0.00	7.28	0.00	7.28
06/01/2018	#00082145480	GREENLEE, GLOBAL BALANCED FUND-A	0.00	4.99	93.0	0.00	4.64	0.00	4.64
06/01/2018	#00085057300	CARTER, GARY GLOBAL BALANCED FUND-A	0.00	4.25	93.0	0.00	3.95	0.00	3.95
06/01/2018	#00085532239	IL/TOD GLOBAL BALANCED FUND-A	0.00	37.94	93.0	0.00	35.28	0.00	35.28
06/01/2018	#00089133716	LINK, MICHELLE GLOBAL BALANCED FUND-A	0.00	6.45	93.0	0.00	6.00	0.00	6.00
06/01/2018	#00089727839	GLOBAL BALANCED FUND-A	0.00	1.13	93.0	0.00	1.05	0.00	1.05
06/01/2018	#04000506110	GLOBAL BALANCED FUND-A	0.00	1.13	93.0	0.00	1.05	0.00	1.05
06/01/2018	#00066994403	GLOBAL GROWTH PORTFOLIO-A	0.00	2.91	93.0	0.00	2.71	0.00	2.71
06/01/2018	#00073771609	MCCLURE, LESLIE GLOBAL GROWTH PORTFOLIO-A	0.00	13.87	93.0	0.00	12.90	0.00	12.90
06/01/2018	#00075012426	STEVENS, JAMES GLOBAL GROWTH PORTFOLIO-A	0.00	22.44	93.0	0.00	20.87	0.00	20.87
06/01/2018	#00084927475	TINGLEY, GLOBAL GROWTH PORTFOLIO-A	0.00	8.74	93.0	0.00	8.13	0.00	8.13

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00085062501	CARTER, GARY	GLOBAL GROWTH PORTFOLIO-A	0.00	13.08	93.0	0.00	12.16	12.16
06/01/2018	#00086674610	BAILEY, KAREN	GLOBAL GROWTH PORTFOLIO-A	0.00	1.48	93.0	0.00	1.38	1.38
06/01/2018	#00087092737	JORDAN, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	1.23	93.0	0.00	1.14	1.14
06/01/2018	#00087119085	TINGLEY, JOHN	GLOBAL GROWTH PORTFOLIO-A	0.00	8.81	93.0	0.00	8.19	8.19
06/01/2018	#00087146597	IL/TOD	GLOBAL GROWTH PORTFOLIO-A	0.00	6.42	93.0	0.00	5.97	5.97
06/01/2018	#00087249463		GLOBAL GROWTH PORTFOLIO-A	0.00	12.38	93.0	0.00	11.51	11.51
06/01/2018	#00087350673		GLOBAL GROWTH PORTFOLIO-A	0.00	1.87	93.0	0.00	1.74	1.74
06/01/2018	#00087989647	STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	48.47	93.0	0.00	45.08	45.08
06/01/2018	#00088037021		GLOBAL GROWTH PORTFOLIO-A	0.00	3.23	93.0	0.00	3.00	3.00
06/01/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	0.00	3.26	93.0	0.00	3.03	3.03
06/01/2018	#00088978687		GLOBAL GROWTH PORTFOLIO-A	0.00	70.16	93.0	0.00	65.25	65.25
06/01/2018	#00087266963	MEEKERJENN	GROWTH AND INCOME PORFOLIO	0.00	12.92	93.0	0.00	12.02	12.02
06/01/2018	#00066994403		GROWTH AND INCOME PORTFOLIO-A	0.00	3.64	93.0	0.00	3.39	3.39
06/01/2018	#00073771609	MCCLURE, LESLIE	GROWTH AND INCOME PORTFOLIO-A	0.00	9.43	93.0	0.00	8.77	8.77
06/01/2018	#00081708237	TINGLEY, JOHN	GROWTH AND INCOME PORTFOLIO-A	0.00	22.30	93.0	0.00	20.74	20.74
06/01/2018	#00081716214	TINGLEY,	GROWTH AND INCOME PORTFOLIO-A	0.00	18.23	93.0	0.00	16.95	16.95
06/01/2018	#00087146597	IL/TOD	GROWTH AND INCOME PORTFOLIO-A	0.00	17.98	93.0	0.00	16.72	16.72
06/01/2018	#00087249463		GROWTH AND INCOME PORTFOLIO-A	0.00	25.79	93.0	0.00	23.98	23.98
06/01/2018	#00087350673		GROWTH AND INCOME PORTFOLIO-A	0.00	1.77	93.0	0.00	1.65	1.65
06/01/2018	#00087989647	STEVENS, JAMES	GROWTH AND INCOME PORTFOLIO-A	0.00	69.72	93.0	0.00	64.84	64.84
06/01/2018	#00088037021		GROWTH AND INCOME PORTFOLIO-A	0.00	3.42	93.0	0.00	3.18	3.18
06/01/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	0.00	4.10	93.0	0.00	3.81	3.81
06/01/2018	#00088978687		GROWTH AND INCOME PORTFOLIO-A	0.00	106.32	93.0	0.00	98.88	98.88
06/01/2018	#00089339323	KING, LINDSAY	GROWTH AND INCOME PORTFOLIO-A	0.00	2.48	93.0	0.00	2.31	2.31
06/01/2018	#00063976642	KARR, JAY	GROWTH FUND AMERICA INC CLASS A	0.00	6.95	93.0	0.00	6.46	6.46
06/01/2018	#00064165872	UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	1.36	93.0	0.00	1.26	1.26
06/01/2018	#00065191215	CARLEY, DOROTHY	GROWTH FUND AMERICA INC CLASS A	0.00	10.64	93.0	0.00	9.90	9.90
06/01/2018	#00069311629	CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	15.20	93.0	0.00	14.14	14.14
06/01/2018	#00073570837	JOHNSTON,	GROWTH FUND AMERICA INC CLASS A	0.00	8.64	93.0	0.00	8.04	8.04
06/01/2018	#00074675547	GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	3.17	93.0	0.00	2.95	2.95
06/01/2018	#00075012426	STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	57.22	93.0	0.00	53.21	53.21
06/01/2018	#00075019244	STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	15.55	93.0	0.00	14.46	14.46
06/01/2018	#00075959045	LINK, MICHELLE	GROWTH FUND AMERICA INC CLASS A	0.00	4.89	93.0	0.00	4.55	4.55
06/01/2018	#00075960625	CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	4.56	93.0	0.00	4.24	4.24
06/01/2018	#00075975866	GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	3.32	93.0	0.00	3.09	3.09
06/01/2018	#00081391700	GREENLEE, R	GROWTH FUND AMERICA INC CLASS A	0.00	0.90	93.0	0.00	0.84	0.84

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00082145480	GREENLEE, GROWTH FUND AMERICA INC CLASS A	0.00	12.94	93.0	0.00	12.03	0.00	12.03
06/01/2018	#00082284073	HENRICHS, NELDA GROWTH FUND AMERICA INC CLASS A	0.00	3.01	93.0	0.00	2.80	0.00	2.80
06/01/2018	#00084093970	YEATES, NORA GROWTH FUND AMERICA INC CLASS A	0.00	9.14	93.0	0.00	8.50	0.00	8.50
06/01/2018	#00084440582	SOSTARICH, ALEX GROWTH FUND AMERICA INC CLASS A	0.00	2.08	93.0	0.00	1.93	0.00	1.93
06/01/2018	#00084706273	HENRICHS, NELDA GROWTH FUND AMERICA INC CLASS A	0.00	3.36	93.0	0.00	3.12	0.00	3.12
06/01/2018	#00085062501	CARTER, GARY GROWTH FUND AMERICA INC CLASS A	0.00	9.22	93.0	0.00	8.57	0.00	8.57
06/01/2018	#00085288543	STEVENS, JAMES GROWTH FUND AMERICA INC CLASS A	0.00	8.45	93.0	0.00	7.86	0.00	7.86
06/01/2018	#00085341614	HOPKINS, DANA GROWTH FUND AMERICA INC CLASS A	0.00	0.45	93.0	0.00	0.42	0.00	0.42
06/01/2018	#00085532239	IL/TOD GROWTH FUND AMERICA INC CLASS A	0.00	16.26	93.0	0.00	15.12	0.00	15.12
06/01/2018	#00086554976	KUIKEN, DAVID GROWTH FUND AMERICA INC CLASS A	0.00	5.13	93.0	0.00	4.77	0.00	4.77
06/01/2018	#00086731559	PIERCE, GROWTH FUND AMERICA INC CLASS A	0.00	4.11	93.0	0.00	3.82	0.00	3.82
06/01/2018	#00086882720	BROWN, DENISE GROWTH FUND AMERICA INC CLASS A	0.00	3.16	93.0	0.00	2.94	0.00	2.94
06/01/2018	#00087092737	JORDAN, JAMES GROWTH FUND AMERICA INC CLASS A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
06/01/2018	#00087249463	GROWTH FUND AMERICA INC CLASS A	0.00	4.36	93.0	0.00	4.05	0.00	4.05
06/01/2018	#04000092547	GROWTH FUND AMERICA INC CLASS A	0.00	0.98	93.0	0.00	0.91	0.00	0.91
06/01/2018	#00086882720	BROWN, DENISE GROWTH PORTFOLIO C	0.00	10.53	93.0	0.00	9.79	0.00	9.79
06/01/2018	#00087266963	MEEKERJENN GROWTH PORTFOLIO C	0.00	14.31	93.0	0.00	13.31	0.00	13.31
06/01/2018	#00063858242	KARR, DONNA INCOME FUND AMER INC CL C	0.00	131.80	93.0	0.00	122.57	0.00	122.57
06/01/2018	#00084330324	ROOD, NATHANIEL INCOME FUND AMER INC CL C	0.00	15.35	93.0	0.00	14.28	0.00	14.28
06/01/2018	#00086835678	LARSEN, LANA INCOME FUND AMER INC CL C	0.00	6.01	93.0	0.00	5.59	0.00	5.59
06/01/2018	#00086882720	BROWN, DENISE INCOME FUND AMER INC CL C	0.00	7.26	93.0	0.00	6.75	0.00	6.75
06/01/2018	#00086965104	KARR, JAY INCOME FUND AMER INC CL C	0.00	36.03	93.0	0.00	33.51	0.00	33.51
06/01/2018	#00063858242	KARR, DONNA INCOME FUND OF AMERICA INC CLASS A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
06/01/2018	#00063976641	KARR, DONNA INCOME FUND OF AMERICA INC CLASS A	0.00	3.97	93.0	0.00	3.69	0.00	3.69
06/01/2018	#00064165871	UNTIL AGE 21, INCOME FUND OF AMERICA INC CLASS A	0.00	3.86	93.0	0.00	3.59	0.00	3.59
06/01/2018	#00064165872	UNTIL AGE 21, INCOME FUND OF AMERICA INC CLASS A	0.00	1.41	93.0	0.00	1.31	0.00	1.31
06/01/2018	#00064354795	SLOAN, VERNON INCOME FUND OF AMERICA INC CLASS A	0.00	55.08	93.0	0.00	51.22	0.00	51.22
06/01/2018	#00065191215	CARLEY, DOROTHY INCOME FUND OF AMERICA INC CLASS A	0.00	12.04	93.0	0.00	11.20	0.00	11.20
06/01/2018	#00066994403	INCOME FUND OF AMERICA INC CLASS A	0.00	8.53	93.0	0.00	7.93	0.00	7.93
06/01/2018	#00069196763	GREENAWALT, INCOME FUND OF AMERICA INC CLASS A	0.00	16.46	93.0	0.00	15.31	0.00	15.31
06/01/2018	#00069311629	CARLEY, RICHARD INCOME FUND OF AMERICA INC CLASS A	0.00	36.16	93.0	0.00	33.63	0.00	33.63
06/01/2018	#00073570837	JOHNSTON, INCOME FUND OF AMERICA INC CLASS A	0.00	33.15	93.0	0.00	30.83	0.00	30.83
06/01/2018	#00073771609	MCCLURE, LESLIE INCOME FUND OF AMERICA INC CLASS A	0.00	16.34	93.0	0.00	15.20	0.00	15.20
06/01/2018	#00074151999	GREENAWALT, INCOME FUND OF AMERICA INC CLASS A	0.00	6.57	93.0	0.00	6.11	0.00	6.11
06/01/2018	#00074675547	GREENAWALT, INCOME FUND OF AMERICA INC CLASS A	0.00	12.82	93.0	0.00	11.92	0.00	11.92
06/01/2018	#00075012426	STEVENS, JAMES INCOME FUND OF AMERICA INC CLASS A	0.00	44.52	93.0	0.00	41.40	0.00	41.40

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00075019244	STEVENS, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	22.85	93.0	0.00	21.25	21.25
06/01/2018	#00075084357	HARVEY, ANTONIO	INCOME FUND OF AMERICA INC CLASS A	0.00	8.32	93.0	0.00	7.74	7.74
06/01/2018	#00075959045	LINK, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	0.00	3.53	93.0	0.00	3.28	3.28
06/01/2018	#00075960625	CARLEY, RICHARD	INCOME FUND OF AMERICA INC CLASS A	0.00	3.51	93.0	0.00	3.26	3.26
06/01/2018	#00081391700	GREENLEE, R	INCOME FUND OF AMERICA INC CLASS A	0.00	0.37	93.0	0.00	0.34	0.34
06/01/2018	#00081716214	TINGLEY,	INCOME FUND OF AMERICA INC CLASS A	0.00	25.52	93.0	0.00	23.73	23.73
06/01/2018	#00082103952	HOPKINS, DANA	INCOME FUND OF AMERICA INC CLASS A	0.00	15.59	93.0	0.00	14.50	14.50
06/01/2018	#00082145480	GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	0.00	14.24	93.0	0.00	13.24	13.24
06/01/2018	#00082284073	HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	2.19	93.0	0.00	2.04	2.04
06/01/2018	#00084044679	DUFRAIN, SHARON	INCOME FUND OF AMERICA INC CLASS A	0.00	4.78	93.0	0.00	4.45	4.45
06/01/2018	#00084093970	YEATES, NORA	INCOME FUND OF AMERICA INC CLASS A	0.00	15.15	93.0	0.00	14.09	14.09
06/01/2018	#00084440533	KUHLMANN,	INCOME FUND OF AMERICA INC CLASS A	0.00	7.83	93.0	0.00	7.28	7.28
06/01/2018	#00084706273	HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	9.80	93.0	0.00	9.11	9.11
06/01/2018	#00084885189	HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	14.79	93.0	0.00	13.75	13.75
06/01/2018	#00085057300	CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	5.36	93.0	0.00	4.98	4.98
06/01/2018	#00085057868		INCOME FUND OF AMERICA INC CLASS A	0.00	3.83	93.0	0.00	3.56	3.56
06/01/2018	#00085062501	CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	7.21	93.0	0.00	6.71	6.71
06/01/2018	#00085532239	IL/TOD	INCOME FUND OF AMERICA INC CLASS A	0.00	12.54	93.0	0.00	11.66	11.66
06/01/2018	#00086471590	BOONE, RANDAL	INCOME FUND OF AMERICA INC CLASS A	0.00	11.34	93.0	0.00	10.55	10.55
06/01/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	0.00	3.98	93.0	0.00	3.70	3.70
06/01/2018	#00086559404	BAILEY, KAREN	INCOME FUND OF AMERICA INC CLASS A	0.00	7.60	93.0	0.00	7.07	7.07
06/01/2018	#00086674610	BAILEY, KAREN	INCOME FUND OF AMERICA INC CLASS A	0.00	1.49	93.0	0.00	1.39	1.39
06/01/2018	#00086731559	PIERCE,	INCOME FUND OF AMERICA INC CLASS A	0.00	4.59	93.0	0.00	4.27	4.27
06/01/2018	#00086835678	LARSEN, LANA	INCOME FUND OF AMERICA INC CLASS A	0.00	0.20	93.0	0.00	0.19	0.19
06/01/2018	#00086872436	KLONOWSKI,	INCOME FUND OF AMERICA INC CLASS A	0.00	3.78	93.0	0.00	3.52	3.52
06/01/2018	#00086934802	KARR, JAY	INCOME FUND OF AMERICA INC CLASS A	0.00	3.68	93.0	0.00	3.42	3.42
06/01/2018	#00087092737	JORDAN, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	0.54	93.0	0.00	0.50	0.50
06/01/2018	#00087146597	IL/TOD	INCOME FUND OF AMERICA INC CLASS A	0.00	12.58	93.0	0.00	11.70	11.70
06/01/2018	#00087249463		INCOME FUND OF AMERICA INC CLASS A	0.00	33.34	93.0	0.00	31.01	31.01
06/01/2018	#00089133716	LINK, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	0.00	8.01	93.0	0.00	7.45	7.45
06/01/2018	#00089405854	FAIRFIELD,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.37	93.0	0.00	1.27	1.27
06/01/2018	#00089727839		INCOME FUND OF AMERICA INC CLASS A	0.00	1.23	93.0	0.00	1.14	1.14
06/01/2018	#04000073507		INCOME FUND OF AMERICA INC CLASS A	0.00	0.86	93.0	0.00	0.80	0.80
06/01/2018	#04000092547		INCOME FUND OF AMERICA INC CLASS A	0.00	1.84	93.0	0.00	1.71	1.71
06/01/2018	#04000506110		INCOME FUND OF AMERICA INC CLASS A	0.00	1.23	93.0	0.00	1.14	1.14
06/01/2018	#00065191215	CARLEY, DOROTHY	INCOME PORTFOLIO A	0.00	6.39	93.0	0.00	5.94	5.94

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00073771609	MCCLURE, LESLIE	INCOME PORTFOLIO A	0.00	10.44	93.0	0.00	9.71	9.71
06/01/2018	#00084044679	DUFRAIN, SHARON	INCOME PORTFOLIO A	0.00	2.36	93.0	0.00	2.19	2.19
06/01/2018	#00084093970	YEATES, NORA	INCOME PORTFOLIO A	0.00	7.62	93.0	0.00	7.09	7.09
06/01/2018	#00087146597	IL/TOD	INCOME PORTFOLIO A	0.00	18.90	93.0	0.00	17.58	17.58
06/01/2018	#00087249463		INCOME PORTFOLIO A	0.00	34.84	93.0	0.00	32.40	32.40
06/01/2018	#00087350673		INCOME PORTFOLIO A	0.00	1.48	93.0	0.00	1.38	1.38
06/01/2018	#00088710798		INCOME PORTFOLIO A	0.00	40.80	93.0	0.00	37.94	37.94
06/01/2018	#00088978687		INCOME PORTFOLIO A	0.00	92.18	93.0	0.00	85.73	85.73
06/01/2018	#00089679082	BAILEY, KAREN	INCOME PORTFOLIO A	0.00	35.60	93.0	0.00	33.11	33.11
06/01/2018	#04000073507		INCOME PORTFOLIO A	0.00	2.80	93.0	0.00	2.60	2.60
06/01/2018	#04000092547		INCOME PORTFOLIO A	0.00	1.41	93.0	0.00	1.31	1.31
06/01/2018	#04000092642	YEATES, NORA	INCOME PORTFOLIO A	0.00	3.32	93.0	0.00	3.09	3.09
06/01/2018	#00087266963	MEEKERJENN	INCOME PORTFOLIO-C	0.00	0.38	93.0	0.00	0.35	0.35
06/01/2018	#00075012426	STEVENS, JAMES	INFLATION LINKED BOND FUND-A	0.00	18.88	93.0	0.00	17.56	17.56
06/01/2018	#00073771609	MCCLURE, LESLIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	3.58	93.0	0.00	3.33	3.33
06/01/2018	#00084044679	DUFRAIN, SHARON	INTERNATIONAL GROWTH AND INCOME-A	0.00	2.61	93.0	0.00	2.43	2.43
06/01/2018	#00084706273	HENRICHS, NELDA	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.96	93.0	0.00	1.82	1.82
06/01/2018	#00087092737	JORDAN, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.29	93.0	0.00	0.27	0.27
06/01/2018	#00089729021		INVESTMENT CO AMER CL C	0.00	13.81	93.0	0.00	12.84	12.84
06/01/2018	#00074151999	GREENAWALT,	INVESTMENT CO AMER CLASS A	0.00	3.63	93.0	0.00	3.38	3.38
06/01/2018	#00075959045	LINK, MICHELLE	INVESTMENT CO AMER CLASS A	0.00	1.32	93.0	0.00	1.23	1.23
06/01/2018	#00082284073	HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	2.84	93.0	0.00	2.64	2.64
06/01/2018	#00084093970	YEATES, NORA	INVESTMENT CO AMER CLASS A	0.00	7.93	93.0	0.00	7.37	7.37
06/01/2018	#00084706273	HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	19.45	93.0	0.00	18.09	18.09
06/01/2018	#00084440582	SOSTARICH, ALEX	NEW ECONOMY FUND SBI CLASS A	0.00	3.69	93.0	0.00	3.43	3.43
06/01/2018	#00082284073	HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	1.36	93.0	0.00	1.26	1.26
06/01/2018	#00084706273	HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	5.10	93.0	0.00	4.74	4.74
06/01/2018	#00084927475	TINGLEY,	NEW PERSPECTIVE FUND INC CL A	0.00	8.29	93.0	0.00	7.71	7.71
06/01/2018	#00085057808	CARTER, GARY	NEW PERSPECTIVE FUND INC CL A	0.00	2.27	93.0	0.00	2.11	2.11
06/01/2018	#00085062501	CARTER, GARY	NEW PERSPECTIVE FUND INC CL A	0.00	6.57	93.0	0.00	6.11	6.11
06/01/2018	#00085288543	STEVENS, JAMES	NEW PERSPECTIVE FUND INC CL A	0.00	3.30	93.0	0.00	3.07	3.07
06/01/2018	#00085341614	HOPKINS, DANA	NEW PERSPECTIVE FUND INC CL A	0.00	0.38	93.0	0.00	0.35	0.35
06/01/2018	#00086674610	BAILEY, KAREN	NEW PERSPECTIVE FUND INC CL A	0.00	1.85	93.0	0.00	1.72	1.72
06/01/2018	#00086731559	PIERCE,	NEW PERSPECTIVE FUND INC CL A	0.00	3.15	93.0	0.00	2.93	2.93
06/01/2018	#00086872436	KLONOWSKI,	NEW PERSPECTIVE FUND INC CL A	0.00	1.31	93.0	0.00	1.22	1.22
06/01/2018	#00087092737	JORDAN, JAMES	NEW PERSPECTIVE FUND INC CL A	0.00	0.40	93.0	0.00	0.37	0.37

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00087249463	NEW PERSPECTIVE FUND INC CL A	0.00	3.16	93.0	0.00	2.94	0.00	2.94
06/01/2018	#00087760162	TINGLEY, JOHN NEW PERSPECTIVE FUND INC CL A	0.00	9.30	93.0	0.00	8.65	0.00	8.65
06/01/2018	#00075019244	STEVENS, JAMES NEW WORLD FUND INC NEW	0.00	4.79	93.0	0.00	4.45	0.00	4.45
06/01/2018	#00075959045	LINK, MICHELLE NEW WORLD FUND INC NEW	0.00	1.17	93.0	0.00	1.09	0.00	1.09
06/01/2018	#00085062501	CARTER, GARY NEW WORLD FUND INC NEW	0.00	6.52	93.0	0.00	6.06	0.00	6.06
06/01/2018	#00085341614	HOPKINS, DANA NEW WORLD FUND INC NEW	0.00	0.26	93.0	0.00	0.24	0.00	0.24
06/01/2018	#00086429463	LITTLE, ELLIOTT NEW WORLD FUND INC NEW	0.00	0.80	93.0	0.00	0.74	0.00	0.74
06/01/2018	#00087092737	JORDAN, JAMES NEW WORLD FUND INC NEW	0.00	0.25	93.0	0.00	0.23	0.00	0.23
06/01/2018	#00087760162	TINGLEY, JOHN NEW WORLD FUND INC NEW	0.00	9.13	93.0	0.00	8.49	0.00	8.49
06/01/2018	#04000092547	PRESERVATION PORTFOLIO-A	0.00	1.60	93.0	0.00	1.49	0.00	1.49
06/01/2018	#00074675547	GREENAWALT, RTMT INCOME PORTFOLIO ENHANCED-A	0.00	12.35	93.0	0.00	11.49	0.00	11.49
06/01/2018	#00081732143	SMITH, MARK RTMT INCOME PORTFOLIO ENHANCED-A	0.00	43.54	93.0	0.00	40.49	0.00	40.49
06/01/2018	#00083869206	FARMAN, RTMT INCOME PORTFOLIO ENHANCED-A	0.00	24.51	93.0	0.00	22.79	0.00	22.79
06/01/2018	#00084093970	YEATES, NORA RTMT INCOME PORTFOLIO ENHANCED-A	0.00	23.54	93.0	0.00	21.89	0.00	21.89
06/01/2018	#00085057300	CARTER, GARY RTMT INCOME PORTFOLIO ENHANCED-A	0.00	1.67	93.0	0.00	1.55	0.00	1.55
06/01/2018	#00085161492	GREENAWALT, RTMT INCOME PORTFOLIO ENHANCED-A	0.00	13.26	93.0	0.00	12.33	0.00	12.33
06/01/2018	#00085254551	U, ELLEN RTMT INCOME PORTFOLIO ENHANCED-A	0.00	66.12	93.0	0.00	61.49	0.00	61.49
06/01/2018	#00087249463	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	39.45	93.0	0.00	36.69	0.00	36.69
06/01/2018	#00064354795	SLOAN, VERNON RTMT INCOME PORTFOLIO MODERATE-A	0.00	61.17	93.0	0.00	56.89	0.00	56.89
06/01/2018	#00085254551	U, ELLEN RTMT INCOME PORTFOLIO MODERATE-A	0.00	64.20	93.0	0.00	59.71	0.00	59.71
06/01/2018	#00085305347	HOPKINS, RTMT INCOME PORTFOLIO MODERATE-A	0.00	61.26	93.0	0.00	56.97	0.00	56.97
06/01/2018	#00085305374	HOPKINS, RTMT INCOME PORTFOLIO MODERATE-A	0.00	50.26	93.0	0.00	46.74	0.00	46.74
06/01/2018	#00087146597	IL/TOD RTMT INCOME PORTFOLIO MODERATE-A	0.00	19.70	93.0	0.00	18.32	0.00	18.32
06/01/2018	#00088516505	YAKIMA, CYNTHIA RTMT INCOME PORTFOLIO MODERATE-A	0.00	192.67	93.0	0.00	179.18	0.00	179.18
06/01/2018	#00089286841	RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.50	93.0	0.00	19.07	0.00	19.07
06/01/2018	#00087760162	TINGLEY, JOHN SMALLCAP WORLD FUND INC CLASS A	0.00	6.20	93.0	0.00	5.77	0.00	5.77
06/01/2018	#00075012426	STEVENS, JAMES TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	98.73	93.0	0.00	91.82	0.00	91.82
06/01/2018	#00082103952	HOPKINS, DANA TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	21.71	93.0	0.00	20.19	0.00	20.19
06/01/2018	#00086934802	KARR, JAY TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	7.03	93.0	0.00	6.54	0.00	6.54
06/01/2018	#00087760162	TINGLEY, JOHN TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	36.60	93.0	0.00	34.04	0.00	34.04
06/01/2018	#00089360005	MCCLURE, LESLIE TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	3.58	93.0	0.00	3.33	0.00	3.33
06/01/2018	#04000092642	YEATES, NORA TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	3.43	93.0	0.00	3.19	0.00	3.19
06/01/2018	#00067490090	LOVELL, ASHLEY THE BOND FUND OF AMERICA-529A	0.00	0.94	93.0	0.00	0.87	0.00	0.87
06/01/2018	#00082190172	UNTIL AGE 21, THE BOND FUND OF AMERICA-529A	0.00	0.11	93.0	0.00	0.10	0.00	0.10
06/01/2018	#00082190231	UNTIL AGE 21, THE BOND FUND OF AMERICA-529A	0.00	0.93	93.0	0.00	0.86	0.00	0.86
06/01/2018	#00067490088	LOVELL, ASHLEY THE INCOME FUND OF AMERICA-529A	0.00	6.95	93.0	0.00	6.46	0.00	6.46



COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00067490090	LOVELL, ASHLEY THE INCOME FUND OF AMERICA-529A	0.00	0.57	93.0	0.00	0.53	0.00	0.53
06/01/2018	#00082190172	UNTIL AGE 21, THE INCOME FUND OF AMERICA-529A	0.00	0.61	93.0	0.00	0.57	0.00	0.57
06/01/2018	#00082190231	UNTIL AGE 21, THE INCOME FUND OF AMERICA-529A	0.00	2.20	93.0	0.00	2.05	0.00	2.05
06/01/2018	#00084330324	ROOD, NATHANIEL THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.91	93.0	0.00	9.22	0.00	9.22
06/01/2018	#00067490090	LOVELL, ASHLEY WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.09	93.0	0.00	1.94	0.00	1.94
06/01/2018	#00082190172	UNTIL AGE 21, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.26	93.0	0.00	0.24	0.00	0.24
06/01/2018	#00063976641	KARR, DONNA WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.99	93.0	0.00	4.64	0.00	4.64
06/01/2018	#00069311629	CARLEY, RICHARD WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.90	93.0	0.00	11.07	0.00	11.07
06/01/2018	#00073570837	JOHNSTON, WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.37	93.0	0.00	4.06	0.00	4.06
06/01/2018	#00075012426	STEVENS, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.28	93.0	0.00	13.28	0.00	13.28
06/01/2018	#00075019244	STEVENS, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.26	93.0	0.00	7.68	0.00	7.68
06/01/2018	#00075960625	CARLEY, RICHARD WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.01	93.0	0.00	3.73	0.00	3.73
06/01/2018	#00075975866	GREENAWALT, WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
06/01/2018	#00081391700	GREENLEE, R WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.64	93.0	0.00	0.60	0.00	0.60
06/01/2018	#00082103952	HOPKINS, DANA WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.71	93.0	0.00	13.68	0.00	13.68
06/01/2018	#00082145480	GREENLEE, WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.76	93.0	0.00	9.08	0.00	9.08
06/01/2018	#00084093970	YEATES, NORA WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.55	93.0	0.00	8.88	0.00	8.88
06/01/2018	#00084885189	HENRICHS, NELDA WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.47	93.0	0.00	5.09	0.00	5.09
06/01/2018	#00085062501	CARTER, GARY WASHINGTON MUTUAL INVS FD CLASS A	0.00	7.88	93.0	0.00	7.33	0.00	7.33
06/01/2018	#00086471590	BOONE, RANDAL WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.53	93.0	0.00	13.51	0.00	13.51
06/01/2018	#00086554976	KUIKEN, DAVID WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.60	93.0	0.00	4.28	0.00	4.28
06/01/2018	#00086559404	BAILEY, KAREN WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.30	93.0	0.00	12.37	0.00	12.37
06/01/2018	#00086674610	BAILEY, KAREN WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.82	93.0	0.00	1.69	0.00	1.69
06/01/2018	#00087092737	JORDAN, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.40	93.0	0.00	0.37	0.00	0.37
06/01/2018	#00089405854	FAIRFIELD, WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.57	93.0	0.00	1.46	0.00	1.46
06/14/2018	#00002114676	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.41	93.0	0.00	1.31	0.00	1.31
06/14/2018	#00002165352	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	41.32	93.0	0.00	38.43	0.00	38.43
06/14/2018	#00002169794	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	4.11	93.0	0.00	3.82	0.00	3.82
06/14/2018	#00002170076	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.58	93.0	0.00	1.47	0.00	1.47
06/14/2018	#00003376227	U, ELLEN EMERGING MARKETS A	0.00	4.52	93.0	0.00	4.20	0.00	4.20
06/14/2018	#00003377593	BARRONE, EMERGING MARKETS A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
06/14/2018	#00002409058	VARIOUS BARRONE EMERGING MARKETS EQUITY A	0.00	3.43	93.0	0.00	3.19	0.00	3.19
06/14/2018	#00003377577	GREEN, ELMER FLOATING RATE INCOME B	0.00	2.10	93.0	0.00	1.95	0.00	1.95
06/14/2018	#00002409016	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	7.64	93.0	0.00	7.11	0.00	7.11
06/14/2018	#00002409058	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.53	93.0	0.00	1.42	0.00	1.42
06/14/2018	#00002409635	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.94	93.0	0.00	1.80	0.00	1.80

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/14/2018	#00002492256	VARIOUS BARRONE FUNDAMENTAL GLOBAL FRANCHISE A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
06/14/2018	#00002331186	VARIOUS BARRONE FUNDAMENTAL LARGE CAP CORE FUND B	0.00	2.59	93.0	0.00	2.41	0.00	2.41
06/14/2018	#00002114675	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.67	93.0	0.00	2.48	0.00	2.48
06/14/2018	#00002114766	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.18	93.0	0.00	2.03	0.00	2.03
06/14/2018	#00002123260	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.74	93.0	0.00	2.55	0.00	2.55
06/14/2018	#00002130590	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.94	93.0	0.00	2.73	0.00	2.73
06/14/2018	#00002131574	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.34	93.0	0.00	1.25	0.00	1.25
06/14/2018	#00002133900	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	5.17	93.0	0.00	4.81	0.00	4.81
06/14/2018	#00002161786	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
06/14/2018	#00002165355	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	34.18	93.0	0.00	31.79	0.00	31.79
06/14/2018	#00002168927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.32	93.0	0.00	0.30	0.00	0.30
06/14/2018	#00002168930	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.32	93.0	0.00	0.30	0.00	0.30
06/14/2018	#00002174927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.67	93.0	0.00	0.62	0.00	0.62
06/14/2018	#00002176030	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.18	93.0	0.00	1.10	0.00	1.10
06/14/2018	#00002194065	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.25	93.0	0.00	0.23	0.00	0.23
06/14/2018	#00002130589	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.34	93.0	0.00	3.11	0.00	3.11
06/14/2018	#00002131573	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	2.08	93.0	0.00	1.93	0.00	1.93
06/14/2018	#00002161790	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	0.24	93.0	0.00	0.22	0.00	0.22
06/14/2018	#00002169793	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.78	93.0	0.00	3.52	0.00	3.52
06/14/2018	#00002170077	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	1.50	93.0	0.00	1.40	0.00	1.40
06/14/2018	#00002182786	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	24.89	93.0	0.00	23.15	0.00	23.15
06/14/2018	#00002186017	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	2.45	93.0	0.00	2.28	0.00	2.28
06/14/2018	#00002186800	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	1.85	93.0	0.00	1.72	0.00	1.72
06/14/2018	#00002192845	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.34	93.0	0.00	0.32	0.00	0.32
06/14/2018	#00002192854	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.34	93.0	0.00	0.32	0.00	0.32
06/14/2018	#00002194063	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.48	93.0	0.00	0.45	0.00	0.45
06/14/2018	#00002402705	VAN OORT VAR INCOME ALLOCATION A	0.00	2.24	93.0	0.00	2.08	0.00	2.08
06/14/2018	#00002192842	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
06/14/2018	#00002192851	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
06/14/2018	#00003377594	BARRONE, INTERNATIONAL GROWTH A	0.00	0.33	93.0	0.00	0.31	0.00	0.31
06/14/2018	#00002409634	VARIOUS BARRONE J HANCOCK FUNDS 47803P575	0.00	0.87	93.0	0.00	0.81	0.00	0.81
06/14/2018	#00002186802	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	1.83	93.0	0.00	1.70	0.00	1.70
06/14/2018	#00002408352	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	5.42	93.0	0.00	5.04	0.00	5.04
06/14/2018	#00002192843	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
06/14/2018	#00002192852	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
06/14/2018	#00002182787	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	13.60	93.0	0.00	12.65	0.00	12.65

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/14/2018	#00002192846	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00002192855	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00002114672	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.66	93.0	0.00	3.40	0.00	3.40
06/14/2018	#00002123259	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	2.20	93.0	0.00	2.05	0.00	2.05
06/14/2018	#00002130593	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	4.09	93.0	0.00	3.80	0.00	3.80
06/14/2018	#00002161788	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	0.36	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00002114674	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	4.01	93.0	0.00	3.73	0.00	3.73
06/14/2018	#00002123256	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.80	93.0	0.00	2.60	0.00	2.60
06/14/2018	#00002130591	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	4.61	93.0	0.00	4.29	0.00	4.29
06/14/2018	#00002158760	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.76	93.0	0.00	1.64	0.00	1.64
06/14/2018	#00002158956	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	5.73	93.0	0.00	5.33	0.00	5.33
06/14/2018	#00002161787	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.26	93.0	0.00	0.24	0.00	0.24
06/14/2018	#00002165354	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	43.63	93.0	0.00	40.58	0.00	40.58
06/14/2018	#00002169792	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	8.16	93.0	0.00	7.59	0.00	7.59
06/14/2018	#00002170075	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	3.30	93.0	0.00	3.07	0.00	3.07
06/14/2018	#00002174926	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.67	93.0	0.00	0.62	0.00	0.62
06/14/2018	#00002176032	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.59	93.0	0.00	0.55	0.00	0.55
06/14/2018	#00002492248	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.28	93.0	0.00	1.19	0.00	1.19
06/14/2018	#00002165353	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	19.11	93.0	0.00	17.77	0.00	17.77
06/14/2018	#00002192844	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.27	93.0	0.00	0.25	0.00	0.25
06/14/2018	#00002192853	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.27	93.0	0.00	0.25	0.00	0.25
06/14/2018	#00002114679	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.45	93.0	0.00	1.35	0.00	1.35
06/14/2018	#00002114767	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	2.00	93.0	0.00	1.86	0.00	1.86
06/14/2018	#00002182789	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	13.97	93.0	0.00	12.99	0.00	12.99
06/14/2018	#00002192841	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
06/14/2018	#00002192850	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
06/14/2018	#00002194064	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.35	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00003376229	U, ELLEN JOHN HANCOCK FDS II TECHNICAL OPPTY	0.00	2.42	93.0	0.00	2.25	0.00	2.25
06/14/2018	#00002176031	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	1.43	93.0	0.00	1.33	0.00	1.33
06/14/2018	#00002192847	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.35	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00002192856	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.35	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00002034807	VAN OORT VAR JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	1.59	93.0	0.00	1.48	0.00	1.48
06/14/2018	#00003372667	VARIOUS BARRONE JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	7.26	93.0	0.00	6.75	0.00	6.75
06/14/2018	#00003376228	U, ELLEN JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	4.64	93.0	0.00	4.32	0.00	4.32
06/14/2018	#00003377592	BARRONE, JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	0.32	93.0	0.00	0.30	0.00	0.30
06/14/2018	#00003378494	BARRONE IL/TOD, JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	2.34	93.0	0.00	2.18	0.00	2.18

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/14/2018	#00002114678	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	1.53	93.0	0.00	1.42	0.00	1.42
06/14/2018	#00002114769	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	0.85	93.0	0.00	0.79	0.00	0.79
06/14/2018	#00002182788	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	13.85	93.0	0.00	12.88	0.00	12.88
06/14/2018	#00002186016	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL A	0.00	2.39	93.0	0.00	2.22	0.00	2.22
06/14/2018	#00003372666	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL B	0.00	7.44	93.0	0.00	6.92	0.00	6.92
06/14/2018	#00002409018	VARIOUS BARRONE JOHN HANCOCK INVT TR DISCIPLINED VA	0.00	7.47	93.0	0.00	6.95	0.00	6.95
06/14/2018	#00003377595	BARRONE, JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	0.33	93.0	0.00	0.31	0.00	0.31
06/14/2018	#00003378497	BARRONE IL/TOD, JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	3.23	93.0	0.00	3.00	0.00	3.00
06/14/2018	#00002406751	VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A	0.00	4.51	93.0	0.00	4.19	0.00	4.19
06/14/2018	#00003375093	SMITH, CHERYL LIFESTYLE BALANCED PORTFOLIO A	0.00	6.39	93.0	0.00	5.94	0.00	5.94
06/14/2018	#00005820502	VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A	0.00	4.51	93.0	0.00	4.19	0.00	4.19
06/14/2018	#00002392767	VARIOUS BARRONE LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	13.42	93.0	0.00	12.48	0.00	12.48
06/14/2018	#00002402703	VAN OORT VAR MULTI MANAGER LIFESTYE MOD	0.00	4.67	93.0	0.00	4.34	0.00	4.34
06/14/2018	#00002406749	VARIOUS BARRONE MULTI MANAGER LIFESTYE MOD	0.00	5.32	93.0	0.00	4.95	0.00	4.95
06/14/2018	#00002409060	VARIOUS BARRONE SHORT DURATION CREDIT OPPORTUNITIES	0.00	1.09	93.0	0.00	1.01	0.00	1.01
06/14/2018	#00003378495	BARRONE IL/TOD, SHORT DURATION CREDIT OPPORTUNITIES	0.00	2.00	93.0	0.00	1.86	0.00	1.86
06/14/2018	#00002409633	VARIOUS BARRONE SMALL CAP VALUE A	0.00	0.84	93.0	0.00	0.78	0.00	0.78
06/14/2018	#00002114673	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	3.52	93.0	0.00	3.27	0.00	3.27
06/14/2018	#00002114768	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	1.12	93.0	0.00	1.04	0.00	1.04
06/14/2018	#00002123254	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	3.23	93.0	0.00	3.00	0.00	3.00
06/14/2018	#00002130592	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	2.86	93.0	0.00	2.66	0.00	2.66
06/14/2018	#00002131575	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	1.77	93.0	0.00	1.65	0.00	1.65
06/14/2018	#00002161789	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
06/14/2018	#00002182790	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	19.55	93.0	0.00	18.18	0.00	18.18
06/14/2018	#00002194066	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	0.60	93.0	0.00	0.56	0.00	0.56
06/14/2018	#00002129851	VARIOUS BARRONE US GLOBAL LEADERS GROWTH B	0.00	3.26	93.0	0.00	3.03	0.00	3.03
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6,857.04</b>		<b>\$0.00</b>	<b>\$6,376.94</b>	<b>\$0.00</b>	<b>\$6,376.94</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$8,742.74</b>	<b>\$7,132.81</b>		<b>\$0.00</b>	<b>\$6,633.46</b>	<b>\$0.00</b>	<b>\$6,633.46</b>

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

07/20/2018		ADMIN FEE: ADMIN FEE							-320.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,633.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$6,134.46</u>
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