

## COMMISSION STATEMENT

August 31, 2017

MICHAEL WOLLOCH

43 SECOR RD

SCARSDALE, NY 10583

BROKER #: 51

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for MICHAEL WOLLOCH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/01/2017	QGW024093	WOLLEMAN, TODD	HOLLYFRONTIER CORP COM	S	-14,729.71	63.00	10.00	53.00	45.0	23.85
08/21/2017	QGW024101	NEWMAN, LIZA	ALTRIA GROUP INC	S	-24,883.38	63.00	10.00	53.00	45.0	23.85
08/21/2017	QGW024101	NEWMAN, LIZA	APPLE INC	S	-9,762.99	53.00	10.00	43.00	45.0	19.35
08/21/2017	QGW024101	NEWMAN, LIZA	BRISTOL MYERS SQUIBB CO	S	-20,215.29	63.00	10.00	53.00	45.0	23.85
08/21/2017	QGW024101	NEWMAN, LIZA	CONOCOPHILLIPS	S	-5,140.20	53.00	10.00	43.00	45.0	19.35
08/21/2017	QGW024101	NEWMAN, LIZA	NEW YORK COMMUNITY BANCORP INC	S	-6,000.81	63.00	10.00	53.00	45.0	23.85
* STOCKS SUBTOTAL *					\$-80,732.38	\$358.00	\$60.00	\$298.00		\$134.10

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/17/2017	QGW016115	MAYER, MURRAY	MFS GOVERNMENT SECURITIES FUND CLAS B		0.00	48.37	0.00	48.37	45.0	21.77
08/16/2017	QGW016123	DEMSKO, DARYL	PUTNAM HIGH-YIELD FUND CLASS A	B	0.00	11.27	0.00	11.27	45.0	5.07
08/14/2017	QGW016131	TUERS, ERIC	SELECTED AMERICAN SHARES CLASS S	B	0.00	1.20	0.00	1.20	45.0	0.54
08/08/2017	QGW016305	PEDDICORD TRUST,	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	21.95	0.00	21.95	45.0	9.88
08/23/2017	QGW016347	AGAR REVOCABLE TRST,	NUVEEN CORE BOND FUND CLASS A	B	0.00	6.33	0.00	6.33	45.0	2.85
08/08/2017	QGW016354	PEDDICORD, JANE	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	14.63	0.00	14.63	45.0	6.58
08/08/2017	QGW016412	MERGED TRUST, CARA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	B	0.00	14.63	0.00	14.63	45.0	6.58
08/15/2017	QGW016511	JASON, LAURIE	THE BOND FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	45.0	0.00
08/14/2017	QGW016628	KRAUSS, SHARON	FRANKLIN INCOME FUND CLASS C	B	0.00	20.81	0.00	20.81	45.0	9.36
08/14/2017	QGW024150	GORDON, ARLENE	SELECTED AMERICAN SHARES CLASS S	B	0.00	5.38	0.00	5.38	45.0	2.42
08/14/2017	QGW036626	MIRZOEFF, DANA	SELECTED AMERICAN SHARES CLASS S	B	0.00	6.50	0.00	6.50	45.0	2.93
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$151.08	\$0.00	\$151.08		\$67.98
*** BROKER TRANSACTIONS TOTAL ***					\$-80,732.38	\$509.08	\$60.00	\$449.08		\$202.08

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ADJUSTMENTS

08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$202.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$350.23
FINRA Assessment	\$-0.64
SIPC Assessment	\$-1.27

Check Amount	\$522.40
Year-to-date Earnings	\$589.93