



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

ROTHSCHILD/POOL

BROKER #: 9629
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for ROTHSCCHILD/POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	115.17	0.00	0.00	0.00	100.0	0.00
12/29/2017	TRAILS	-PRUDENTIAL MUTUAL FUND	B	65.29	0.00	0.00	0.00	100.0	0.00
01/18/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	0.00	0.00	0.00	100.0	0.00
01/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B		62.50	0.00	0.00	0.00	100.0	0.00
01/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	0.00	0.00	0.00	100.0	0.00
01/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B		62.50	0.00	0.00	0.00	100.0	0.00
01/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	0.00	0.00	0.00	100.0	0.00
01/16/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN FUNDS - GENERAL	B	30.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	30.00	0.00	0.00	0.00	100.0	0.00
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		49.50	0.00	0.00	0.00	100.0	0.00
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		49.50	0.00	0.00	0.00	100.0	0.00
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		51.00	0.00	0.00	0.00	100.0	0.00
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		51.00	0.00	0.00	0.00	100.0	0.00
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		49.50	0.00	0.00	0.00	100.0	0.00
01/12/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD B		49.50	0.00	0.00	0.00	100.0	0.00
01/16/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B		250.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00084382054 ROBERTS, ALEXXA	AMERICAN FUNDS BD FD OF AMER FD B		50.00	0.00	0.00	0.00	100.0	0.00

COMMISSION STATEMENT for ROTHSCILD/POOL

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	00084382054	ROBERTS, ALEXXA	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	0.00	0.00	0.00	100.0	0.00
01/16/2018	00084382054	ROBERTS, ALEXXA	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,645.46	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$1,645.46	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00