

All Companies
STATEMENT
July 20, 2018

SCOTT MACNEILAGE
8826 N Bank Dr
SUITE 620
Ventura, CA 93004

BROKER #: 050
BRANCH:

COMMISSION STATEMENT for SCOTT MACNEILAGE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/16/2018	#00100010737 FARLER, JUDITH	OPPENHEIMER 68385D202	360.29	3.60	75.0	0.00	2.70	0.00	2.70
05/16/2018	#02381186251 FARLER, JUDITH	OPPENHEIMER FLEXIBLE STRATEGIES FUN	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/01/2018	#000000001170861 FARLER,	FRANKLIN 628380883	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/01/2018	#000000000369443 FARLER,	FRANKLIN INVS SECS TR TOTAL RETURN	360.30	3.60	75.0	0.00	2.70	0.00	2.70
06/01/2018	#00100010737 FARLER, JUDITH	OPPENHEIMER 68385D202	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/01/2018	#02381186251 FARLER, JUDITH	OPPENHEIMER FLEXIBLE STRATEGIES FUN	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/04/2018	#00074284781 GAY, LEO	BALANCED PORTFOLIO-A	950.00	47.50	75.0	0.00	35.63	0.00	35.63
06/05/2018	#0000000006817263 BOYKI	FRANKLIN032	50.00	0.50	75.0	0.00	0.38	0.00	0.38
06/14/2018	#000000001170861 FARLER,	FRANKLIN 628380883	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/14/2018	#000000000369443 FARLER,	FRANKLIN INVS SECS TR TOTAL RETURN	360.30	3.60	75.0	0.00	2.70	0.00	2.70
06/14/2018	#00100010737 FARLER, JUDITH	OPPENHEIMER 68385D202	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/14/2018	#02381186251 FARLER, JUDITH	OPPENHEIMER FLEXIBLE STRATEGIES FUN	360.29	3.60	75.0	0.00	2.70	0.00	2.70
06/15/2018	#01482511589 RUBIOSANDD	FIDELITY ADV	100.00	1.00	75.0	0.00	0.75	0.00	0.75
06/15/2018	#00088217181 ALVAREZ, RU	GROWTH PORTFOLIO C	200.00	2.00	75.0	0.00	1.50	0.00	1.50
06/28/2018	#00088473946 AZZAREL	GROWTH PORTFOLIO C	300.00	3.00	75.0	0.00	2.25	0.00	2.25
06/29/2018	#04000412108 MARSH	AMERICAN BALANCED FUND-529C	100.00	1.00	75.0	0.00	0.75	0.00	0.75
06/29/2018	#00089192044 MARSH	BALANCED PORTFOLIO-529C	100.00	1.00	75.0	0.00	0.75	0.00	0.75
06/29/2018	#00089610172 MARSH	BALANCED PORTFOLIO-529C	100.00	1.00	75.0	0.00	0.75	0.00	0.75
06/29/2018	#00089192056 MARSH	BALANCED PORTFOLIO-529C	100.00	1.00	75.0	0.00	0.75	0.00	0.75
* MUTUAL FUNDS SUBTOTAL *			\$5,602.92	\$94.00		\$0.00	\$70.51	\$0.00	\$70.51

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	#00089192044 MARSH	BALANCED PORTFOLIO-529C	0.00	0.84	75.0	0.00	0.63	0.00	0.63
06/01/2018	#00089192056 MARSH	BALANCED PORTFOLIO-529C	0.00	0.84	75.0	0.00	0.63	0.00	0.63
06/01/2018	#00089610172 MARSH	BALANCED PORTFOLIO-529C	0.00	0.83	75.0	0.00	0.62	0.00	0.62
06/07/2018	#00702703950 VARIOUS	FA 529 LIMITED TERM BOND PORTFOLIO	0.00	0.14	75.0	0.00	0.11	0.00	0.11
06/07/2018	#00534236732 VARIOUS	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	1.76	75.0	0.00	1.32	0.00	1.32
06/07/2018	#00702703950 VARIOUS	FA 529 HIGH INCOME PORT-CL A	0.00	0.09	75.0	0.00	0.07	0.00	0.07

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/07/2018	#01440047817	VARIOUS	FA 529 SMALL CAP PORT-CL A	0.00	1.15	75.0	0.00	0.86	0.86
06/07/2018	#00701810756	VARIOUS	FA 529 VALUE STRATEGIES	0.00	2.48	75.0	0.00	1.86	1.86
06/07/2018	#00701810756	VARIOUS	FA 529 VALUE STRATEGIES PORT-CL C	0.00	1.85	75.0	0.00	1.39	1.39
06/07/2018	#01482511589	RUBIOSANDD	FIDELITY ADV	0.00	2.55	75.0	0.00	1.91	1.91
06/07/2018	#00702703950	VARIOUS	FIDELITY STRATIGIC	0.00	0.29	75.0	0.00	0.22	0.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$12.82	\$0.00	\$9.62	\$0.00	\$9.62
*** BROKER TRANSACTIONS TOTAL ***				\$5,602.92	\$106.82	\$0.00	\$80.13	\$0.00	\$80.13

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	OTHER: OTHER DEBIT BALANCE PAYBACK	560.85
*** ADJUSTMENTS TOTAL ***		\$-8.15

BROKER COMMISSION TOTALS

Broker Transactions	\$80.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-8.15
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-560.85
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-488.87
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