



All Companies  
**COMMISSION STATEMENT**  
**March 01, 2018**

02/27/2018  
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RAYMOND C. ASHLEY  
4911 Fall Creek Hwy

BROKER #: 8975  
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/16/2018	00088819064	BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVEST B	250.00	9.38	0.00	9.38	90.0	8.44
02/12/2018	00207272799	ASHLEY/BRUMWELL, SUSAN/SAVANNAH	T ROWE PRICE NEW HORIZONS 529 C2	B	125.00	1.25	0.00	1.25	90.0	1.13
02/12/2018	00207272803	ASHLEY/BRUMWELL, SUSAN/SAVANNAH	EQUITY PORTFOLIO C2	B	125.00	1.25	0.00	1.25	90.0	1.13
02/12/2018	04000771817	OWEN, GRADY M.	AMERICAN MUTUAL FUND-C	B	16,768.37	167.68	0.00	167.68	90.0	150.91
02/12/2018	04000771817	OWEN, GRADY M.	CAPITAL INCOME BUILDER-C	B	4,192.09	41.92	0.00	41.92	90.0	37.73
02/12/2018	04000771817	OWEN, GRADY M.	NEW PERSPECTIVE FUND-C	B	20,960.46	209.60	0.00	209.60	90.0	188.64
02/06/2018	07150213641	BLAKE/MANSFIELD, FRANCES/MORGAN	FIXED INCOME PORTFOLIO C2	B	200.00	2.00	0.00	2.00	90.0	1.80
02/12/2018	07150513926	BRUMWELL, SAMANTHA	COLLEGE PORTFOLIO C2	B	250.00	2.50	0.00	2.50	90.0	2.25
02/12/2018	07150513930	BRUMWELL, SAMANTHA	PORTFOLIO 2021-2024 C2	B	250.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *					<u>\$43,120.92</u>	<u>\$438.08</u>	<u>\$0.00</u>	<u>\$438.08</u>		<u>\$394.28</u>

PRODUCT CATEGORY: STOCKS

02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	762.73	762.73	0.00	762.73	90.0	686.46
		* STOCKS SUBTOTAL *		<u>762.73</u>	<u>762.73</u>	<u>\$0.00</u>	<u>762.73</u>		<u>686.46</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/15/2018	CORBET, JEFF L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
* VARIABLE ANNUITIES SUBTOTAL *				\$300.00	\$18.00	\$0.00	\$18.00		\$16.20

PRODUCT CATEGORY: RIA

01/12/2018	17946488	MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	903.33	0.00	903.33	90.0	813.00
01/12/2018	30932836	BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	270.33	0.00	270.33	90.0	243.30
01/12/2018	77430114	WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	81.33	0.00	81.33	90.0	73.20
01/12/2018	81766746	KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	240.33	0.00	240.33	90.0	216.30
* RIA SUBTOTAL *					<u>\$0.00</u>	<u>\$1,495.32</u>	<u>\$0.00</u>	<u>\$1,495.32</u>		<u>\$1,345.80</u>

COMMISSION STATEMENT for RAYMOND C. ASHLEY

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	8.16	0.00	8.16	90.0	7.35
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$8.16</u>	<u>\$0.00</u>	<u>\$8.16</u>		<u>\$7.35</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$44,183.65</u>	<u>\$2,722.29</u>	<u>\$0.00</u>	<u>\$2,722.29</u>		<u>\$2,450.09</u>

SPLIT TRANSACTIONSBROKER: JOINT ASHLEY/ASHLEY #8030

02/15/2018 TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B		0.00	169.06	0.00	169.06	90.0	152.15
01/12/2018 30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B		0.00	152.50	0.00	152.50	90.0	137.25
* JOINT ASHLEY/ASHLEY SUBTOTAL *				<u>\$0.00</u>	<u>\$321.56</u>	<u>\$0.00</u>	<u>\$321.56</u>		<u>\$289.40</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$321.56</u>	<u>\$0.00</u>	<u>\$321.56</u>		<u>\$289.40</u>

ADJUSTMENTS

03/01/2018	HILLTOP: CLEARING CHARGES			0.00	0.00	0.00	0.00		-47.75
03/01/2018	HILLTOP: FIXED INCOME TICKET CHARGE			0.00	0.00	0.00	0.00		-8.75
03/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-84.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,450.09
Split Transactions	\$289.40
Override Transactions	\$0.00
Adjustments	\$-84.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,655.49
Year-to-date Earnings	\$19,106.87