

COMMISSION STATEMENT

April 03, 2017

DAVID REILLY
8 ACRON TERRACEBROKER #:
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/27/2017	QGW007957	CAPUANO CASELLA,	VF CORPORATION	B	10,619.30	140.00	0.00	140.00	45.0	63.00
03/17/2017	QGW007957	CAPUANO CASELLA,	PHILIP MORRIS INTERNATIONAL INC	S	-18,500.00	161.46	0.00	161.46	45.0	72.66
03/03/2017	QGW033961	CAPUANO CASELLA,	SOUTHERN CO	B	10,057.42	140.00	0.00	140.00	45.0	63.00
				* STOCKS SUBTOTAL *	\$2,176.72	\$441.46	\$0.00	\$441.46		\$198.66

PRODUCT CATEGORY: OPTIONS

03/15/2017	QGW007973	CAPUANO CASELLA,	CALL 100 DOW CHEM CO COM EXP 03-17-	B	802.00	50.00	0.00	50.00	45.0	22.50
03/15/2017	QGW007973	CAPUANO CASELLA,	CALL 100 DOW CHEM CO COM EXP 06-16-	S	-366.00	50.00	0.00	50.00	45.0	22.50
03/15/2017	QGW033961	CAPUANO CASELLA,	CALL 100 DOW CHEM CO COM EXP 03-17-	B	1,604.00	50.00	0.00	50.00	45.0	22.50
03/15/2017	QGW033961	CAPUANO CASELLA,	CALL 100 DOW CHEM CO COM EXP 06-16-	S	-732.00	50.00	0.00	50.00	45.0	22.50
				* OPTIONS SUBTOTAL *	\$1,308.00	\$200.00	\$0.00	\$200.00		\$90.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/09/2017	QGW007957	CAPUANO CASELLA,	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	1.24	0.00	1.24	45.0	0.56
03/13/2017	QGW007957	CAPUANO CASELLA,	DREYFUS BALANCED OPPORTUNITYFUND CL	B	0.00	3.42	0.00	3.42	45.0	1.54
03/15/2017	QGW007957	CAPUANO CASELLA,	GROWTH AND INCOME FUND - A	B	0.00	2.56	0.00	2.56	45.0	1.15
03/17/2017	QGW007957	CAPUANO CASELLA,	FIDELITY ADVISOR BALANCED CLASS T	B	0.00	1.15	0.00	1.15	45.0	0.52
03/17/2017	QGW007957	CAPUANO CASELLA,	PUTNAM FUND FOR GROWTH & INCOME CLA	B	0.00	2.46	0.00	2.46	45.0	1.11
03/09/2017	QGW007973	CAPUANO CASELLA,	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	3.30	0.00	3.30	45.0	1.49
03/09/2017	QGW007973	CAPUANO CASELLA,	MFS TOTAL RETURN FUND-A	B	0.00	3.38	0.00	3.38	45.0	1.52
03/13/2017	QGW007973	CAPUANO CASELLA,	FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	0.15	0.00	0.15	45.0	0.07
03/14/2017	QGW007973	CAPUANO CASELLA,	FINANCIAL INDUSTRIES A	B	0.00	2.81	0.00	2.81	45.0	1.26
03/14/2017	QGW007973	CAPUANO CASELLA,	HIGH YIELD A	B	0.00	3.04	0.00	3.04	45.0	1.37
03/15/2017	QGW007973	CAPUANO CASELLA,	GROWTH AND INCOME FUND - A	B	0.00	2.56	0.00	2.56	45.0	1.15
03/17/2017	QGW007973	CAPUANO CASELLA,	FIDELITY ADVISOR BALANCED CLASS T	B	0.00	1.15	0.00	1.15	45.0	0.52
03/17/2017	QGW007973	CAPUANO CASELLA,	PUTNAM FUND FOR GROWTH & INCOME CLA	B	0.00	2.46	0.00	2.46	45.0	1.11
03/22/2017	QGW007973	CAPUANO CASELLA,	ROCHESTER FUND MUNICIPALS A	B	0.00	37.80	0.00	37.80	45.0	17.01
03/22/2017	QGW008005	SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICI	B	0.00	12.19	0.00	12.19	45.0	5.49
03/22/2017	QGW008005	SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A	B	0.00	37.12	0.00	37.12	45.0	16.70
03/22/2017	QGW008039	STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A	B	0.00	1.73	0.00	1.73	45.0	0.78
03/14/2017	QGW008047	DOUGHERTY, JANE	HIGH YIELD A	B	0.00	1.01	0.00	1.01	45.0	0.45
03/22/2017	QGW008047	DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	3.46	0.00	3.46	45.0	1.56

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
03/09/2017	QGW008070	CASELLA, LORRAINE	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	7.82	0.00	7.82	45.0	3.52
03/22/2017	QGW023798	OLSSON, RITA	CAPITAL APPRECIATION A B	0.00	0.42	0.00	0.42	45.0	0.19
03/15/2017	QGW023814	STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A B	0.00	0.40	0.00	0.40	45.0	0.18
03/15/2017	QGW023814	STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A B	0.00	1.92	0.00	1.92	45.0	0.86
03/09/2017	QGW023848	BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	2.06	0.00	2.06	45.0	0.93
03/09/2017	QGW033961	CAPUANO CASELLA,	MFS INTERNTL DIVERSIFICATION FUND-A B	0.00	1.65	0.00	1.65	45.0	0.74
03/13/2017	QGW033961	CAPUANO CASELLA,	DREYFUS BALANCED OPPORTUNITYFUND CL B	0.00	3.42	0.00	3.42	45.0	1.54
03/15/2017	QGW033961	CAPUANO CASELLA,	GROWTH AND INCOME FUND - A B	0.00	2.56	0.00	2.56	45.0	1.15
03/17/2017	QGW033961	CAPUANO CASELLA,	FIDELITY ADVISOR BALANCED CLASS T B	0.00	1.15	0.00	1.15	45.0	0.52
03/17/2017	QGW033961	CAPUANO CASELLA,	PUTNAM FUND FOR GROWTH & INCOME CLA B	0.00	2.46	0.00	2.46	45.0	1.11
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$146.85	\$0.00	\$146.85		\$66.10
*** BROKER TRANSACTIONS TOTAL ***				\$3,484.72	\$788.31	\$0.00	\$788.31		\$354.76

ADJUSTMENTS

04/03/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-20.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$354.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.99
SIPC Assessment	\$-14.78

Check Amount	\$318.99
Year-to-date Earnings	\$1,415.65