

COMMISSION STATEMENT

November 30, 2017

LOUIS CIAVARELLA
21 MILITIA HILL DRIVE

BROKER #: 47
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/29/2017	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	S	175.00	0.00	0.00	0.00	50.0	0.00
11/28/2017	75501686	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	S	150.00	0.00	0.00	0.00	50.0	0.00
11/17/2017	75501737	HENDRIX, GREG	COLUMBIA CONTRARIAN CORE FD CL Z	S	11,500.80	0.00	0.00	0.00	50.0	0.00
11/17/2017	75501737	HENDRIX, GREG	JPMORGAN MID CAP VALUE FUND CLASS L S	S	8,775.22	0.00	0.00	0.00	50.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$20,601.02	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

11/17/2017	75501737	HENDRIX, GREG	ALIBABA GROUP HOLDING LTD SPONSORED B		3,709.15	0.00	0.00	0.00	50.0	0.00
11/17/2017	75501737	HENDRIX, GREG	BAIDU INC SPONSORED ADR REPSTG ORD B		1,916.72	0.00	0.00	0.00	50.0	0.00
11/17/2017	75501737	HENDRIX, GREG	TAKE-TWO INTERACTIVE SOFTWARE INC B		7,642.04	0.00	0.00	0.00	50.0	0.00
11/17/2017	75501737	HENDRIX, GREG	TENCENT HOLDINGS LIMITED UNSPONSORE B		5,235.90	0.00	0.00	0.00	50.0	0.00
11/17/2017	75501737	HENDRIX, GREG	VOYA GLOBAL ADVANTAGE AND PREMIUM O B		1,690.80	0.00	0.00	0.00	50.0	0.00
11/07/2017	75501742	CIAVARELLA, LOUIS	EXACT SCIENCES CORP	B	6,054.64	0.00	0.00	0.00	50.0	0.00
11/07/2017	75501742	CIAVARELLA, LOUIS	GOODYEAR TIRE & RUBBER CO	S	5,930.02	0.00	0.00	0.00	50.0	0.00
11/07/2017	75501742	CIAVARELLA, LOUIS	UNITED STATES STL CORP NEW	S	2,697.50	0.00	0.00	0.00	50.0	0.00
11/09/2017	75501742	CIAVARELLA, LOUIS	OPKO HEALTH INC	B	2,775.00	0.00	0.00	0.00	50.0	0.00
11/15/2017	75501742	CIAVARELLA, LOUIS	HENRY SCHEIN INC	S	2,025.98	0.00	0.00	0.00	50.0	0.00
11/15/2017	75501742	CIAVARELLA, LOUIS	TAKE-TWO INTERACTIVE SOFTWARE INC B		2,340.40	0.00	0.00	0.00	50.0	0.00
11/03/2017	75501745	CIAVARELLA, LOUIS	PROSPECT CAPITAL CORPORATION	S	1,484.21	15.00	0.00	15.00	50.0	0.00
11/15/2017	75501745	CIAVARELLA, LOUIS	ALIBABA GROUP HOLDING LTD SPONSORED B		4,517.50	50.10	0.00	50.10	50.0	25.05
10/31/2017	75501749	CIAVARELLA, MELISSA	ISHARES RUSSELL 1000 VALUE ETF	S	5,494.70	0.00	0.00	0.00	50.0	0.00
10/31/2017	75501749	CIAVARELLA, MELISSA	TENCENT HOLDINGS LIMITED UNSPONSORE B		4,510.90	0.00	0.00	0.00	50.0	0.00
10/31/2017	75501749	CIAVARELLA, MELISSA	VOYA GLOBAL ADVANTAGE AND PREMIUM O B		5,713.60	0.00	0.00	0.00	50.0	0.00
10/31/2017	75501752	CIAVARELLA, JAMES	GAMCO GLOBAL GOLD NATURAL RESOURCES B		2,053.73	0.00	0.00	0.00	50.0	0.00
10/31/2017	75501752	CIAVARELLA, JAMES	VANGUARD SECTOR INDEX FDS VANGUARD S		900.57	0.00	0.00	0.00	50.0	0.00
10/31/2017	75501754	CIAVARELLA, JAMES	GAMCO GLOBAL GOLD NATURAL RESOURCES B		3,286.20	0.00	0.00	0.00	50.0	0.00
10/30/2017	75501768	HALL, JAMES	BOEING CO	S	20,457.60	15.00	0.00	15.00	50.0	0.00
10/30/2017	75501768	HALL, JAMES	NVIDIA CORP	B	20,200.00	105.00	0.00	105.00	50.0	52.50
11/22/2017	75501768	HALL, JAMES	BERKSHIRE HATHAWAY INC DEL CL B	S	12,772.38	105.00	0.00	105.00	50.0	52.50
11/22/2017	75501768	HALL, JAMES	BERKSHIRE HATHAWAY INC DEL CL B	B	-12,772.40	-105.00	0.00	-105.00	50.0	-52.50 C
11/22/2017	75501768	HALL, JAMES	BERKSHIRE HATHAWAY INC DEL CL B	S	12,772.38	15.00	0.00	15.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/22/2017	75501768 HALL, JAMES	TAKE-TWO INTERACTIVE SOFTWARE INC	B	8,216.60	105.00	0.00	105.00	50.0	52.50
11/22/2017	75501768 HALL, JAMES	TAKE-TWO INTERACTIVE SOFTWARE INC	S	-8,216.60	-105.00	0.00	-105.00	50.0	-52.50 C
11/22/2017	75501768 HALL, JAMES	TAKE-TWO INTERACTIVE SOFTWARE INC	B	8,216.60	15.00	0.00	15.00	50.0	0.00
11/22/2017	75501768 HALL, JAMES	TAKE-TWO INTERACTIVE SOFTWARE INC	S	-8,216.60	-15.00	0.00	-15.00	50.0	0.00 C
11/22/2017	75501768 HALL, JAMES	TAKE-TWO INTERACTIVE SOFTWARE INC	B	8,216.60	105.00	0.00	105.00	50.0	52.50
11/22/2017	75501768 HALL, JAMES	UBISOFT ENTMT SA ADR ISIN#US90348R1	B	3,863.60	136.31	0.00	136.31	50.0	68.16
11/22/2017	75501768 HALL, JAMES	UBISOFT ENTMT SA ADR ISIN#US90348R1	S	-3,863.60	-136.31	0.00	-136.31	50.0	-68.16 C
11/22/2017	75501768 HALL, JAMES	UBISOFT ENTMT SA ADR ISIN#US90348R1	B	3,863.60	15.00	0.00	15.00	50.0	0.00
11/22/2017	75501768 HALL, JAMES	UBISOFT ENTMT SA ADR ISIN#US90348R1	S	-3,863.60	-15.00	0.00	-15.00	50.0	0.00 C
11/22/2017	75501768 HALL, JAMES	UBISOFT ENTMT SA ADR ISIN#US90348R1	B	3,863.60	136.31	0.00	136.31	50.0	68.16
* STOCKS SUBTOTAL *				\$135,489.72	\$441.41	\$0.00	\$441.41		\$198.21
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
11/30/2017	VARIOUS, CIAVARELLA	RBC ADVISORY INCOME	B	0.00	1,458.00	0.00	1,458.00	50.0	729.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$1,458.00	\$0.00	\$1,458.00		\$729.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
11/09/2017	75501549 ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.40	0.00	1.40	50.0	0.70
11/09/2017	75501549 ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.72	0.00	1.72	50.0	0.86
11/09/2017	75501549 ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	2.99	0.00	2.99	50.0	1.50
11/09/2017	75501549 ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	5.09	0.00	5.09	50.0	2.55
11/09/2017	75501665 PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	8.25	0.00	8.25	50.0	4.13
11/09/2017	75501665 PILKO, THOMAS	SENTINEL GROUP FDS INC SHORT DURATI B		0.00	12.86	0.00	12.86	50.0	6.43
11/09/2017	75501665 PILKO, THOMAS	TOUCHSTONE FDS GROUP TR ULTRA SHRT B		0.00	2.47	0.00	2.47	50.0	1.24
11/09/2017	75501666 CARR, CHARLES	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	0.24	0.00	0.24	50.0	0.00
11/09/2017	75501666 CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.37	0.00	0.37	50.0	0.00
11/09/2017	75501666 CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B		0.00	0.87	0.00	0.87	50.0	0.00
11/09/2017	75501670 CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B		0.00	0.82	0.00	0.82	50.0	0.00
11/09/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	1.37	0.00	1.37	50.0	0.69
11/09/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A	B	0.00	2.17	0.00	2.17	50.0	1.09
11/09/2017	75501671 CARR, CHARLES	FIDELITY ADVISOR SER VII BIOTECHNOL B		0.00	2.16	0.00	2.16	50.0	1.08
11/09/2017	75501671 CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	0.00	3.91	0.00	3.91	50.0	1.96
11/09/2017	75501671 CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.30	0.00	1.30	50.0	0.65
11/09/2017	75501673 CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.78	0.00	0.78	50.0	0.00
11/09/2017	75501673 CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.29	0.00	0.29	50.0	0.00
11/09/2017	75501673 CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.46	0.00	0.46	50.0	0.00
11/09/2017	75501673 CARR, MARGARET	FIDELITY ADVISOR GOLD FUND CL A	B	0.00	0.18	0.00	0.18	50.0	0.00
11/09/2017	75501674 CARR, MARGARET	BLACKROCK SCIENCE & TECH OPPORTUNIT B		0.00	1.54	0.00	1.54	50.0	0.77
11/09/2017	75501674 CARR, MARGARET	EATON VANCE GROWTH FUND CLASS A	B	0.00	1.29	0.00	1.29	50.0	0.65
11/09/2017	75501674 CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B		0.00	5.44	0.00	5.44	50.0	2.72

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.60	0.00	5.60	50.0	2.80
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADV MID CAP II - CL T	B	0.00	2.04	0.00	2.04	50.0	1.02
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR INTL DISCOVERY FD	B	0.00	3.42	0.00	3.42	50.0	1.71
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T	B	0.00	0.78	0.00	0.78	50.0	0.00
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T	B	0.00	5.29	0.00	5.29	50.0	2.65
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	3.17	0.00	3.17	50.0	1.59
11/09/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F	B	0.00	3.23	0.00	3.23	50.0	1.62
11/09/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID &	B	0.00	14.25	0.00	14.25	50.0	7.13
11/09/2017	75501676	REBER, WINCHELL	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	1.16	0.00	1.16	50.0	0.58
11/09/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	1.11	0.00	1.11	50.0	0.56
11/09/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR CONSUMER STAPLES F	B	0.00	0.89	0.00	0.89	50.0	0.00
11/09/2017	75501676	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME	B	0.00	1.12	0.00	1.12	50.0	0.56
11/09/2017	75501676	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C	B	0.00	1.43	0.00	1.43	50.0	0.72
11/09/2017	75501676	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.95	0.00	0.95	50.0	0.00
11/09/2017	75501679	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND	B	0.00	0.95	0.00	0.95	50.0	0.00
11/09/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MUNICIPAL INCOME F	B	0.00	6.55	0.00	6.55	50.0	3.28
11/09/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	8.26	0.00	8.26	50.0	4.13
11/09/2017	75501679	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C	B	0.00	2.16	0.00	2.16	50.0	1.08
11/10/2017	75501679	SOIGNOLI, ANTHONY	DELAWARE GROUP TAX FREE USA FUND-CL	B	0.00	13.58	0.00	13.58	50.0	6.79
11/09/2017	75501680	REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.29	0.00	1.29	50.0	0.65
11/09/2017	75501680	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C	B	0.00	0.86	0.00	0.86	50.0	0.00
11/09/2017	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.33	0.00	0.33	50.0	0.00
11/09/2017	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	1.52	0.00	1.52	50.0	0.76
11/09/2017	75501681	SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU	B	0.00	1.82	0.00	1.82	50.0	0.91
11/09/2017	75501681	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND	B	0.00	3.79	0.00	3.79	50.0	1.90
11/09/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	14.37	0.00	14.37	50.0	7.19
11/09/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	5.18	0.00	5.18	50.0	2.59
11/09/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C	B	0.00	3.60	0.00	3.60	50.0	1.80
11/09/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE	B	0.00	3.59	0.00	3.59	50.0	1.80
11/09/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION	B	0.00	5.44	0.00	5.44	50.0	2.72
11/09/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH	B	0.00	4.95	0.00	4.95	50.0	2.48
11/09/2017	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME	B	0.00	7.39	0.00	7.39	50.0	3.70
11/09/2017	75501682	REBER, WINCHELL	PUTNAM 746792100	B	0.00	0.76	0.00	0.76	50.0	0.00
11/09/2017	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI	B	0.00	4.73	0.00	4.73	50.0	2.37
11/09/2017	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.80	0.00	1.80	50.0	0.90
11/09/2017	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU	B	0.00	3.03	0.00	3.03	50.0	1.52
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND	B	0.00	1.28	0.00	1.28	50.0	0.64
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C	B	0.00	2.82	0.00	2.82	50.0	1.41
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A	B	0.00	2.98	0.00	2.98	50.0	1.49
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	1.16	0.00	1.16	50.0	0.58

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	1.02	0.00	1.02	50.0	0.51
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408	B	0.00	2.13	0.00	2.13	50.0	1.07
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	1.21	0.00	1.21	50.0	0.61
11/09/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F B	B	0.00	2.49	0.00	2.49	50.0	1.25
11/09/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	0.96	0.00	0.96	50.0	0.00
11/09/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	B	0.00	1.11	0.00	1.11	50.0	0.56
11/09/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I B	B	0.00	0.98	0.00	0.98	50.0	0.00
11/09/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	1.26	0.00	1.26	50.0	0.63
11/09/2017	75501685	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.43	0.00	1.43	50.0	0.72
11/09/2017	75501685	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.59	0.00	0.59	50.0	0.00
11/09/2017	75501686	REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	1.76	0.00	1.76	50.0	0.88
11/09/2017	75501686	REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.00	0.00	1.00	50.0	0.00
11/09/2017	75501686	REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.45	0.00	0.45	50.0	0.00
11/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367	B	0.00	1.07	0.00	1.07	50.0	0.54
11/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474	B	0.00	0.65	0.00	0.65	50.0	0.00
11/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	B	0.00	0.76	0.00	0.76	50.0	0.00
11/09/2017	75501687	DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505	B	0.00	0.07	0.00	0.07	50.0	0.00
11/09/2017	75501687	DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	0.01	0.00	0.01	50.0	0.00
11/09/2017	75501687	DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C	B	0.00	0.74	0.00	0.74	50.0	0.00
11/09/2017	75501688	BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B	B	0.00	0.94	0.00	0.94	50.0	0.00
11/09/2017	75501689	BERRY, THOMAS	**GAMCO GROWTH FD INC	B	0.00	1.91	0.00	1.91	50.0	0.96
11/09/2017	75501689	BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A	B	0.00	1.13	0.00	1.13	50.0	0.57
11/09/2017	75501690	EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B	B	0.00	0.76	0.00	0.76	50.0	0.00
11/09/2017	75501691	BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND	B	0.00	2.74	0.00	2.74	50.0	1.37
11/09/2017	75501691	BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR	B	0.00	3.25	0.00	3.25	50.0	1.63
11/09/2017	75501691	BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	10.05	0.00	10.05	50.0	5.03
11/09/2017	75501691	BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC	B	0.00	2.83	0.00	2.83	50.0	1.42
11/09/2017	75501691	BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B	B	0.00	4.50	0.00	4.50	50.0	2.25
11/09/2017	75501691	BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	2.55	0.00	2.55	50.0	1.28
11/09/2017	75501691	BARGER, WILLIAM	THE NEW ECONOMY FUND-C	B	0.00	9.96	0.00	9.96	50.0	4.98
11/09/2017	75501691	BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B	B	0.00	1.76	0.00	1.76	50.0	0.88
11/09/2017	75501692	WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B	B	0.00	2.17	0.00	2.17	50.0	1.09
11/09/2017	75501693	KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH	B	0.00	0.54	0.00	0.54	50.0	0.00
11/09/2017	75501693	KRAUS, MICHELLE	**GABELLI UTILITIES FUND	B	0.00	0.38	0.00	0.38	50.0	0.00
11/09/2017	75501693	KRAUS, MICHELLE	GABELLI VALUE 25 FD INC	B	0.00	0.29	0.00	0.29	50.0	0.00
11/09/2017	75501693	KRAUS, MICHELLE	GAMCO GOLD FD	B	0.00	0.33	0.00	0.33	50.0	0.00
11/09/2017	75501694	WARD, MARY	TAX-FREE BOND A	B	0.00	12.85	0.00	12.85	50.0	6.43
11/21/2017	75501695	WARD, EDMOND	TOCQUEVILLE GOLD FUND	B	0.00	1.26	0.00	1.26	50.0	0.63
11/09/2017	75501697	WARD, EDMOND	AMERICAN MUTUAL FUND-A	B	0.00	24.26	0.00	24.26	50.0	12.13
11/09/2017	75501698	GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B	B	0.00	0.38	0.00	0.38	50.0	0.00

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
11/09/2017	75501698	GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.23	0.00	1.23	50.0	0.62
11/09/2017	75501698	GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A	B	0.00	1.37	0.00	1.37	50.0	0.69
11/09/2017	75501698	GROSSO, BETH ANN	THE NEW ECONOMY FUND-A	B	0.00	1.46	0.00	1.46	50.0	0.73
11/09/2017	75501699	WARD, EDMOND	AMERICAN MUTUAL FUND-C	B	0.00	13.23	0.00	13.23	50.0	6.62
11/09/2017	75501699	WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL	B	0.00	51.19	0.00	51.19	50.0	25.60
11/09/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850	B	0.00	1.27	0.00	1.27	50.0	0.64
11/09/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.75	0.00	1.75	50.0	0.88
11/09/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	2.18	0.00	2.18	50.0	1.09
11/09/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	B	0.00	2.49	0.00	2.49	50.0	1.25
11/09/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T	B	0.00	0.16	0.00	0.16	50.0	0.00
11/09/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	3.04	0.00	3.04	50.0	1.52
11/09/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD	B	0.00	1.79	0.00	1.79	50.0	0.90
11/09/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND	B	0.00	0.98	0.00	0.98	50.0	0.00
11/09/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	B	0.00	0.53	0.00	0.53	50.0	0.00
11/09/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	0.26	0.00	0.26	50.0	0.00
11/09/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.01	0.00	1.01	50.0	0.51
11/09/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	3.82	0.00	3.82	50.0	1.91
11/09/2017	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU B	B	0.00	2.41	0.00	2.41	50.0	1.21
11/09/2017	75501746	CIAVARELLA, LOUIS	EATON VANCE GROWTH TR RICHRD BERNST B	B	0.00	2.20	0.00	2.20	50.0	1.10
11/09/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A B	B	0.00	2.44	0.00	2.44	50.0	1.22
11/09/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834	B	0.00	0.83	0.00	0.83	50.0	0.00
11/09/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	4.25	0.00	4.25	50.0	2.13
11/09/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	2.38	0.00	2.38	50.0	1.19
11/09/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP GROWTH F B	B	0.00	2.38	0.00	2.38	50.0	1.19
11/09/2017	75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP B	B	0.00	2.23	0.00	2.23	50.0	1.12
11/09/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND B	B	0.00	1.28	0.00	1.28	50.0	0.64
11/09/2017	75501746	CIAVARELLA, LOUIS	THORNBURG INVT TR BETTER WORLD INTL B	B	0.00	0.04	0.00	0.04	50.0	0.00
11/09/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.59	0.00	0.59	50.0	0.00
11/09/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.27	0.00	1.27	50.0	0.64
11/09/2017	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A B	B	0.00	0.50	0.00	0.50	50.0	0.00
11/09/2017	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN B	B	0.00	0.80	0.00	0.80	50.0	0.00
11/09/2017	75501758	BERGEN, MIMI	BLK 091928846	B	0.00	0.44	0.00	0.44	50.0	0.00
11/09/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA B	B	0.00	3.00	0.00	3.00	50.0	1.50
11/09/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	5.95	0.00	5.95	50.0	2.98
11/09/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	10.34	0.00	10.34	50.0	5.17
11/09/2017	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B	B	0.00	5.42	0.00	5.42	50.0	2.71
11/09/2017	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B	B	0.00	12.62	0.00	12.62	50.0	6.31
11/09/2017	75501976	WARD, BRANDON	JANUS INVT FD HENDERSON INTL OPRTN B	B	0.00	9.03	0.00	9.03	50.0	4.52
11/09/2017	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601	B	0.00	4.50	0.00	4.50	50.0	2.25
11/09/2017	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B	B	0.00	20.09	0.00	20.09	50.0	10.05

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$493.88	\$0.00	\$493.88	\$234.96
*** BROKER TRANSACTIONS TOTAL ***	\$156,090.74	\$2,393.29	\$0.00	\$2,393.29	\$1,162.17

ADJUSTMENTS

11/30/2017	OTHER: OTHER-RBC ADVISORY EXPENSES	0.00	0.00	0.00	0.00	-136.17
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-136.17

BROKER COMMISSION TOTALS

Broker Transactions	\$1,162.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-136.17
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.17
SIPC Assessment	\$-2.34

Check Amount	\$1,022.49
Year-to-date Earnings	\$9,773.51