

COMMISSION STATEMENT

January 31, 2018

STEPHEN MOSS
1336 MARYLAND AVENUE

HVERTOWN, PA 19082

BROKER #: 60
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/29/2017	75502016 WEST, JEFFREY	**GABELLI EQUITY SERIES FUNDS	S	400.00	0.00	0.00	0.00	50.0	0.00
		* MUTUAL FUNDS SUBTOTAL *		\$400.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS DOW JONES GLOBAL S		537.90	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR INDEX SHS FDS S&P EMERGING MAR B		268.03	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR RUSSELL 1000 ETF	S	313.85	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR RUSSELL 2000 ETF	B	242.24	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA S		506.70	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		1,213.96	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		584.80	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-584.80	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		584.72	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		920.32	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-920.32	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA B		920.28	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA S		1,762.73	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR PORTFOLIO IN B		616.50	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501532 LUMSDEN, CECILIA	SPDR SERIES TRUST SPDR BLOOMBERG BA S		548.52	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES MSCI EMERGING MARKETS ETF	S	2,808.65	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES MSCI EAFE ETF	S	2,844.80	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES S&P SMALL CAP 600 VALUE ETF B		3,405.60	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES S&P500 GROWTH INDEX FD	S	2,948.04	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES TR S&P MIDCAP 400 GROWTH	B	3,277.80	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S REAL ESTATE ETF	S	7,391.51	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S HEALTHCARE ETF	S	5,328.30	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S UTILITIES ETF	S	4,839.97	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S ENERGY ETF	B	5,112.49	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S INDUSTRIALS ETF	B	5,071.10	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S CONSUMER SERVICES ETF	B	5,098.45	0.00	0.00	0.00	50.0	0.00
01/03/2018	75501796 MOSS, KATHLEEN	ISHARES U S BASIC MATERIALS ETF	B	5,618.15	0.00	0.00	0.00	50.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/03/2018	75501796	MOSS, KATHLEEN		ISHARES U S TECHNOLOGY ETF	S	5,831.00	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		POWERSHARES GLOBAL EXCHANGE TRADED	B	5,779.14	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		POWERSHARES QQQ TRUST SERIES 1	S	2,875.01	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		POWERSHARES PREFERRED PORTFOLIO	S	5,540.03	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		SPDR DOW JONES INDL AVERAGE ETF TR	B	496.98	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		SPDR S&P 500 ETF TRUST	B	810.09	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		SPDR SERIES TRUST SPDR BLOOMBERG BA	S	6,059.62	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		STANDARD & POORS MIDCAP 400 SPDR S&B		3,139.72	0.00	0.00	0.00 50.0 0.00
01/03/2018	75501796	MOSS, KATHLEEN		VANECK VECTORS ETF TR HIGH YIELD MU	B	5,776.97	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES MSCI EMERGING MARKETS ETF	S	3,083.22	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES MSCI EAFE ETF	S	3,029.67	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES RUSSELL 2000 ETF	S	3,251.27	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES S&P SMALL CAP 600 VALUE ETF	S	3,265.71	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES S&P SMALL CAP 600 VALUE ETF	B	3,734.64	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES S&P SMALL CAP 600 GROWTH ET	S	2,923.32	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES S&P500 GROWTH INDEX FD	S	3,133.80	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES TR S&P MIDCAP 400 GROWTH	B	3,530.08	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES U S FINANCIALS ETF	S	6,372.20	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES U S HEALTHCARE ETF	S	6,609.31	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES U S ENERGY ETF	B	5,485.46	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES U S INDUSTRIALS ETF	S	1,056.93	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES U S CONSUMER SERVICES ETF	B	5,492.33	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		ISHARES U S BASIC MATERIALS ETF	S	952.20	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		POWERSHARES GLOBAL EXCHANGE TRADED	S	653.90	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		POWERSHARES PREFERRED PORTFOLIO	S	6,927.99	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		SPDR DOW JONES INDL AVERAGE ETF TR	B	251.21	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		SPDR S&P 500 ETF TRUST	B	3,539.90	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		SPDR SER TR BLOOMBERG BARCLAYS INTL	S	571.00	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		STANDARD & POORS MIDCAP 400 SPDR S&B		3,511.98	0.00	0.00	0.00 50.0 0.00
01/05/2018	75501802	MCELREAVEY, DANIEL		VANECK VECTORS ETF TR HIGH YIELD MU	B	6,207.93	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR INDEX SHS FDS DOW JONES GLOBAL	S	244.50	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR INDEX SHS FDS S&P EMERGING MAR	B	114.87	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR INDEX SHS FDS S&P WORLD EX-US	S	31.78	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR RUSSELL 1000 ETF	S	94.16	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR RUSSELL 2000 ETF	B	30.28	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR SERIES TRUST SPDR BLOOMBERG BA	S	168.90	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR SERIES TRUST SPDR BLOOMBERG BA	B	219.30	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR SERIES TRUST SPDR BLOOMBERG BA	S	-219.30	0.00	0.00	0.00 50.0 0.00 C
12/29/2017	75501813	IRWIN, LYNDIA		SPDR SERIES TRUST SPDR BLOOMBERG BA	B	219.27	0.00	0.00	0.00 50.0 0.00
12/29/2017	75501813	IRWIN, LYNDIA		SPDR SERIES TRUST SPDR PORTFOLIO IN	B	239.75	0.00	0.00	0.00 50.0 0.00

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
12/29/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	402.64	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA S	-402.64	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	402.62	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA S	697.75	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA B	496.62	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501813	IRWIN, LYNDA	SPDR SERIES TRUST SPDR BLOOMBERG BA S	182.84	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR INDEX SHS FDS S&P INTERNATIONA B	35.62	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR INDEX SHS FDS DOW JONES GLOBAL S	195.60	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR INDEX SHS FDS S&P EMERGING MAR B	76.58	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR RUSSELL 1000 ETF S	125.54	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR RUSSELL 2000 ETF B	30.28	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	112.60	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	219.30	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	-219.30	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	219.27	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR PORTFOLIO IN B	239.75	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	345.12	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	-345.12	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	345.10	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	697.75	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	496.62	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501876	ROHRBACH, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	182.84	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR INDEX SHS FDS DOW JONES GLOBAL S	97.80	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR INDEX SHS FDS S&P EMERGING MAR B	76.58	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR RUSSELL 1000 ETF S	31.39	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	109.65	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	-109.65	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	109.64	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR PORTFOLIO IN B	102.75	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	28.76	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	-28.76	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	28.76	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	257.07	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA B	193.13	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501932	CUNICELLI, EDWARD	SPDR SERIES TRUST SPDR BLOOMBERG BA S	91.42	0.00	0.00	0.00	50.0	0.00
01/17/2018	75501937	BERG, ELIZABETH	SPDR SERIES TRUST SPDR BLOOMBERG BA S	8,533.31	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P INTERNATIONA B	35.62	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS DOW JONES GLOBAL S	586.80	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P EMERGING MAR B	344.61	0.00	0.00	0.00	50.0	0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR INDEX SHS FDS S&P WORLD EX-US B	31.79	0.00	0.00	0.00	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR RUSSELL 1000 ETF	S	156.93	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR RUSSELL 2000 ETF	B	211.96	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		56.33	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		438.60	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-438.60	0.00	0.00	0.00	50.0 0.00 C
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		438.54	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR PORTFOLIO IN B		445.25	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		172.56	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-172.56	0.00	0.00	0.00	50.0 0.00 C
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		172.55	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA S		1,064.98	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA B		662.16	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501945	EDWARD W CUNICELLI	SPDR SERIES TRUST SPDR BLOOMBERG BA S		457.10	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS DOW JONES GLOBAL S		244.50	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P EMERGING MAR B		76.58	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR RUSSELL 2000 ETF	B	121.12	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		225.20	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		219.30	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-219.30	0.00	0.00	0.00	50.0 0.00 C
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		219.27	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR PORTFOLIO IN B		205.50	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		230.08	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-230.08	0.00	0.00	0.00	50.0 0.00 C
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		230.07	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		624.30	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA B		413.85	0.00	0.00	0.00	50.0 0.00
12/29/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		182.84	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES MSCI EMERGING MARKETS ETF	S	3,044.82	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES MSCI EAFE ETF	S	2,748.92	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES S&P SMALL CAP 600 VALUE ETF	B	3,282.72	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES S&P500 GROWTH INDEX FD	S	2,848.68	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES TR S&P MIDCAP 400 GROWTH	B	3,342.00	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES U S ENERGY ETF	B	5,008.19	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES U S CONSUMER GOODS ETF	S	4,620.60	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES U S UTILITIES ETF	S	4,447.54	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES U S INDUSTRIALS ETF	B	4,884.80	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES U S BASIC MATERIALS ETF	B	5,205.76	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	ISHARES U S TECHNOLOGY ETF	S	5,453.44	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	POWERSHARES GLOBAL EXCHANGE TRADED	B	3,291.15	0.00	0.00	0.00	50.0 0.00
01/09/2018	75502025	THIEU, MINH	POWERSHARES QQQ TRUST SERIES 1	S	3,094.53	0.00	0.00	0.00	50.0 0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/09/2018	75502025	THIEU, MINH	POWERSHARES PREFERRED PORTFOLIO	S	3,029.40	0.00	0.00	0.00	50.0	0.00
01/09/2018	75502025	THIEU, MINH	SPDR DOW JONES INDL AVERAGE ETF TR	B	253.20	0.00	0.00	0.00	50.0	0.00
01/09/2018	75502025	THIEU, MINH	SPDR S&P 500 ETF TRUST	B	548.86	0.00	0.00	0.00	50.0	0.00
01/09/2018	75502025	THIEU, MINH	SPDR SER TR BLOOMBERG BARCLAYS INTL B		255.87	0.00	0.00	0.00	50.0	0.00
01/09/2018	75502025	THIEU, MINH	STANDARD & POORS MIDCAP 400 SPDR S& B		3,184.74	0.00	0.00	0.00	50.0	0.00
01/09/2018	75502025	THIEU, MINH	VANECK VECTORS ETF TR HIGH YIELD MU B		282.33	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ALPHABET INC CLASS C CAPITAL STOCK	B	11,076.00	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	AMAZON.COM INC	B	9,952.00	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	APPLE INC	B	9,579.89	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF B		5,626.80	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES S&P500 GROWTH INDEX FD	S	5,369.28	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES U S ENERGY ETF	S	537.42	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES U S INDUSTRIALS ETF	S	457.53	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES U S CONSUMER SERVICES ETF	B	8,287.99	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES U S BASIC MATERIALS ETF	S	1,062.10	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	ISHARES U S TECHNOLOGY ETF	S	8,341.76	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	POWERSHARES GLOBAL EXCHANGE TRADED	B	5,464.17	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	POWERSHARES QQQ TRUST SERIES 1	S	5,688.99	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	POWERSHARES GLOBAL EXCHANGE TRADED	S	5,242.77	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL B		5,638.53	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA S		5,466.57	0.00	0.00	0.00	50.0	0.00
01/08/2018	75502026	DAVID DIEU TTEE	STANDARD & POORS MIDCAP 400 SPDR S& B		5,304.26	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P INTERNATIONAL S		35.60	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS DOW JONES GLOBAL S		586.80	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P EMERGING MAR B		229.74	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR INDEX SHS FDS S&P WORLD EX-US S		63.55	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR RUSSELL 1000 ETF	S	313.85	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR RUSSELL 2000 ETF	B	302.80	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA S		506.70	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		657.90	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-657.90	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		657.81	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR PORTFOLIO IN B		650.75	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		1,006.60	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA S		-1,006.60	0.00	0.00	0.00	50.0	0.00 C
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		1,006.55	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA S		1,872.90	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA B		1,324.32	0.00	0.00	0.00	50.0	0.00
12/29/2017	75502094	VERBITSKI, MAUREEN	SPDR SERIES TRUST SPDR BLOOMBERG BA S		639.94	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS	ISHARES MSCI EMERGING MARKETS ETF	S	9,939.43	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES MSCI EAFE ETF	S	10,099.75	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P SMALL CAP 600 VALUE ETF	B	13,786.09	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES S&P500 GROWTH INDEX FD	S	10,407.12	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES TR S&P MIDCAP 400 GROWTH	B	3,938.94	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S REAL ESTATE ETF	S	8,919.23	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S FINANCIALS ETF	S	20,312.54	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S HEALTHCARE ETF	S	19,911.58	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S ENERGY ETF	B	20,301.23	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S INDUSTRIALS ETF	B	20,307.51	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S CONSUMER SERVICES ETF	B	20,224.16	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S BASIC MATERIALS ETF	B	11,988.74	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		ISHARES U S TECHNOLOGY ETF	S	21,519.79	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		POWERSHARES GLOBAL EXCHANGE TRADED	B	13,395.74	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		POWERSHARES QQQ TRUST SERIES 1	S	11,353.79	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		POWERSHARES PREFERRED PORTFOLIO	S	12,113.86	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		SPDR DOW JONES INDL AVERAGE ETF TR	B	2,486.20	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		SPDR S&P 500 ETF TRUST	B	3,783.78	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		SPDR SER TR BLOOMBERG BARCLAYS INTL	B	1,340.44	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		SPDR SERIES TRUST SPDR BLOOMBERG BA	S	12,902.08	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		STANDARD & POORS MIDCAP 400 SPDR S&B	B	12,924.01	0.00	0.00	0.00	50.0	0.00
01/03/2018	75502095	VERBITSKI, THOMAS		VANECK VECTORS ETF TR HIGH YIELD MU	B	13,374.95	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *						\$629,009.37	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MISCELLANEOUS											
01/31/2018	VARIOUS, MOSS			BRIGHTHOUSE	B	0.00	413.75	0.00	413.75	50.0	206.88
01/31/2018	VARIOUS, MOSS			COLLGE 529	B	0.00	20.11	0.00	20.11	50.0	10.06
01/31/2018	VARIOUS, MOSS			HARTFORD	B	0.00	58.39	0.00	58.39	50.0	29.20
01/31/2018	VARIOUS, MOSS			JACKSON	B	0.00	15.40	0.00	15.40	50.0	7.70
01/31/2018	VARIOUS, MOSS			LINCOLN	B	0.00	302.62	0.00	302.62	50.0	151.31
01/31/2018	VARIOUS, MOSS			MASS MUTUAL	B	0.00	138.24	0.00	138.24	50.0	69.12
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$948.51	\$0.00	\$948.51		\$474.27
PRODUCT CATEGORY: RIA											
01/17/2018	75501796	MOSS, KATHLEEN		FAS FEES PT. I/III	B	-52.65	52.65	0.00	52.65	50.0	26.33
01/17/2018	75501797	HERTEN, TIMOTHY		FAS FEES PT. I/III	B	-37.16	37.16	0.00	37.16	50.0	18.58
01/17/2018	75501799	HERTEN, TIMOTHY		FAS FEES PT. I/III	B	-39.59	39.59	0.00	39.59	50.0	19.80
01/17/2018	75501801	JOHN W SCHULTZ TTEE		FAS FEES PT. I/III	B	-117.87	117.87	0.00	117.87	50.0	58.94
01/17/2018	75501802	MCELREAVEY, DANIEL		FAS FEES PT. I/III	B	-72.99	72.99	0.00	72.99	50.0	36.50
01/17/2018	75501873	BESETH, DAVID		FAS FEES PT. I/III	B	-138.43	138.43	0.00	138.43	50.0	69.22
01/17/2018	75501875	RALPH G BARTO TRUST		FAS FEES PT. I/III	B	-198.15	198.15	0.00	198.15	50.0	99.08

COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/17/2018	75501877	MORRIS WEISMAN TTEE	FAS FEES PT. I/III	B	-535.43	535.43	0.00	535.43	50.0	267.72
01/17/2018	75501878	MORRIS WEISMAN TTEE	FAS FEES PT. I/III	B	-69.96	69.96	0.00	69.96	50.0	34.98
01/17/2018	75501907	HESTON, EUGENE	FAS FEES PT. I/III	B	-125.90	125.90	0.00	125.90	50.0	62.95
01/17/2018	75501922	HAFENMAIER, HELEN	FAS FEES PT. I/III	B	-96.77	96.77	0.00	96.77	50.0	48.39
01/17/2018	75501924	CUNNINGHAM, WENDY	FAS FEES PT. I/III	B	-113.72	113.72	0.00	113.72	50.0	56.86
01/17/2018	75501937	BERG, ELIZABETH	FAS FEES PT. I/III	B	-135.50	135.50	0.00	135.50	50.0	67.75
01/17/2018	75501944	EDWARD W CUNICELLI	FAS FEES PT. I/III	B	-102.60	102.60	0.00	102.60	50.0	51.30
01/17/2018	75502025	THIEU, MINH	FAS FEES PT. I/III	B	-153.52	153.52	0.00	153.52	50.0	76.76
01/17/2018	75502026	DAVID DIEU TTEE	FAS FEES PT. I/III	B	-104.22	104.22	0.00	104.22	50.0	52.11
01/17/2018	75502095	VERBITSKI, THOMAS	FAS FEES PT. I/III	B	-291.00	291.00	0.00	291.00	50.0	145.50
* RIA SUBTOTAL *					\$-2,385.46	\$2,385.46	\$0.00	\$2,385.46		\$1,192.77
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75501795	SPILLANE, TIMOTHY	FRANKLIN MODERATE ALLOCATION FD CL	B	0.00	0.71	0.00	0.71	50.0	0.00
01/10/2018	75501815	TAMBURRO, ALBERT	**JPMORGAN TR I	B	0.00	1.27	0.00	1.27	50.0	0.64
01/10/2018	75501874	ULIRCUIS, WILLIAM	MFS PENNSYLVANIA MUNICIPAL BOND FUN	B	0.00	0.31	0.00	0.31	50.0	0.00
01/10/2018	75502016	WEST, JEFFREY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.50	0.00	0.50	50.0	0.00
01/10/2018	75502016	WEST, JEFFREY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.16	0.00	0.16	50.0	0.00
01/10/2018	75502017	WEST, KELLY	**GABELLI EQUITY SERIES FUNDS	B	0.00	0.48	0.00	0.48	50.0	0.00
01/10/2018	75502017	WEST, KELLY	TEMP GLOBAL BOND FND - CL A	B	0.00	0.16	0.00	0.16	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3.59	\$0.00	\$3.59		\$0.64
*** BROKER TRANSACTIONS TOTAL ***					\$627,023.91	\$3,337.56	\$0.00	\$3,337.56		\$1,667.68
ADJUSTMENTS										
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-37.50
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-37.50
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-12.61
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-34.08
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-37.50
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-37.50
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-12.50
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-12.50
01/31/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM):			0.00	0.00	0.00	0.00		-16.30
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-237.99

BROKER COMMISSION TOTALS

Broker Transactions	\$1,667.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-237.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.99
SIPC Assessment	\$-5.97

Check Amount	\$1,420.73
Year-to-date Earnings	\$1,420.73