

February 12, 2018

DEVIN BORN
128 Matthew Dr

Sinking Springs, PA 19608

BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TR	ANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
02/02/201	8 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 17 Units @11.9100	200.00	7.50	0.00	7.50	75.0	5.63
02/02/201	8 ***-**-35IO - CAMERON, NANCY ACCOUNT #: LSR011782	FIDELITY ADV HIGH INCOME-CL A SELL 640 Units @7.8700	5,039.59	0.00	18.00	-18.00	100.0	-18.00
02/01/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 2 Units @21.9000	50.00	1.88	0.00	1.88	75.0	1.41
01/30/201	8 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 1 Units @24.6600	31.90	0.91	0.00	0.91	75.0	0.68
01/30/201	8 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 4 Units @24.6600	106.34	3.03	0.00	3.03	75.0	2.27
01/30/201	8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @18.0400	42.63	1.21	0.00	1.21	75.0	0.91
01/30/201	8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @18.0400	42.63	1.21	0.00	1.21	75.0	0.91
01/30/201	8 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @14.5800	25.16	0.72	0.00	0.72	75.0	0.54
01/30/201	8 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @14.5800	25.16	0.72	0.00	0.72	75.0	0.54
		* MUTUAL FUNDS SUBTOTAL *	\$5,563.41	\$17.18	\$18.00	\$-0.82		\$-5.11
PRODUCT	CATEGORY: STOCKS							
02/08/201	8 ***-**-52IO - BUSHBY, WILLIAM ACCOUNT #: LSR011727	VANGUARD STAR FD VANGUARD TOTAL INT SELL 31 Units @56.7400	1,758.94	25.00	15.62	9.38	75.0	7.04
		* STOCKS SUBTOTAL *	\$1,758.94	\$25.00	\$15.62	\$9.38		\$7.04
PRODUCT	CATEGORY: 529 PLAN							
02/01/201	8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085	FA 529 PORT 2019-OLD CL A BUY 2 Units @21.3800	50.00	1.25	0.00	1.25	75.0	0.94
02/01/201	8 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	FA 529 PORT 2022-CL A BUY 3 Units @29.0000	100.00	5.00	0.00	5.00	75.0	3.75
02/01/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	FA 529 PORT 2022-CL A BUY 2 Units @28.6200	50.00	1.88	0.00	1.88	75.0	1.41



## February 12, 2018

DEVIN BORN 128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACTI	ONS (continu	ued)				
	CATEGORY: 529 PLAN (continue)  3 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331		50.00	1.88	0.00	1.88	75.0	1.41
		* 529 PLAN SUBTOTAL *	\$250.00	\$10.01	\$0.00	\$10.01		\$7.51
PRODUCT (	CATEGORY: MUTUAL FUND 12B1 T	RAILS						
02/12/2018	3 ***-**-90IO - LUBAS, AMY ACCOUNT #: LSR011748	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 1 Units @0.0000	0.00	13.66	0.00	13.66	75.0	10.25
02/12/2018	3 ***-**-36IO - CEGANICK, ACCOUNT #: LSM000176	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	75.0	4.01
02/08/2018	3 ***-**-72IO - BUSHBY, JANET ACCOUNT #: LSR011729	DREYFUS GLOBAL-NFS SELL 1075 Units @13.2600	14,254.66	0.00	0.00	0.00	75.0	0.00
02/07/2018	3 ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00700912066	FA 529 PORT 2019-OLD CL A BUY 0 Units @0.0000	0.00	6.02	0.00	6.02	75.0	4.52
02/07/2018	3 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085	FA 529 PORT 2019-OLD CL A BUY 0 Units @0.0000	0.00	10.64	0.00	10.64	75.0	7.98
02/07/2018	3 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.19	0.00	3.19	75.0	2.39
02/07/2018	3 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	5.18	0.00	5.18	75.0	3.89
02/07/2018	3 ***-**-975P - SCANTZOS, ACCOUNT #: 00700225170	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	2.34	0.00	2.34	75.0	1.76
02/07/2018	3 ***-**-975P - SCANTZOS, ACCOUNT #: 00701649865	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.82	0.00	3.82	75.0	2.87
02/07/2018	3 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	75.0	1.04
02/07/2018	3 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	75.0	1.04
02/07/2018	3 ***-**-975P - SCANTZOS, ACCOUNT #: 00704894625	FA 529 PORT 2025-CL A BUY 0 Units @0.0000	0.00	2.24	0.00	2.24	75.0	1.68
02/07/2018	3 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	4.08	0.00	4.08	75.0	3.06
02/07/2018	3 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	1.34	0.00	1.34	75.0	1.01



February 12, 2018

DEVIN BORN 128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	BROKER TRANSACTION TRAILS (continued)	ONS (contin	ued)				
02/07/201	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV FINANCIAL SERVICES CL BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	75.0	0.26
02/07/201	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV FINANCIAL SERVICES CL BUY 0 Units @0.0000	0.00	0.84	0.00	0.84	75.0	0.63
02/07/201	8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 01427341720	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	2.99	0.00	2.99	75.0	2.24
02/07/201	8 ***-**-9418 - ESHBACH, SUSAN ACCOUNT #: 01422030047	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	2.81	0.00	2.81	75.0	2.11
02/07/201	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	0.96	0.00	0.96	75.0	0.72
02/07/201	8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	7.30	0.00	7.30	75.0	5.48
02/07/201	8 ***-**-0610 - SWEIGART, SUE ACCOUNT #: 00527640569	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	7.08	0.00	7.08	75.0	5.31
02/07/201	8 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	7.64	0.00	7.64	75.0	5.73
02/07/201	8 ***-**-06IR - YAKAITIS, JAMES ACCOUNT #: 00700935596	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	4.42	0.00	4.42	75.0	3.32
02/07/201	8 ***-**-72IN - BOYER, SUSAN ACCOUNT #: 00704025758	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	75.0	1.57
02/07/201	8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 0 Units @0.0000	0.00	8.49	0.00	8.49	75.0	6.37
02/07/201	8 ***-**-9358 - RAMBO, JOHN ACCOUNT #: 00537052706	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	13.50	0.00	13.50	75.0	10.13
02/07/201	8 ***-**-2999 - GODFREY, MICHAEI ACCOUNT #: 00700702285	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
02/07/201	8 ***-**-0618 - GODFREY, REBECCA ACCOUNT #: 00700702293	A FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	75.0	0.13
02/07/201	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV HIGH INCOME-CL A BUY 0 Units @0.0000	0.00	6.12	0.00	6.12	75.0	4.59
02/07/201	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV LARGE CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	75.0	0.58



February 12, 2018

DEVIN BORN 128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT (	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTION PRAILS (continued)	ONS (continu	ued)				
02/07/2018	8 ***-**-5527 - BONNER, BETTINA ACCOUNT #: 01487530055	FIDELITY ADV LARGE CAP VALUE-CL A BUY 0 Units @0.0000	0.00	1.63	0.00	1.63	75.0	1.22
02/07/2018	3 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	1.93	0.00	1.93	75.0	1.45
02/07/2018	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	75.0	0.59
02/07/2018	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	6.37	0.00	6.37	75.0	4.78
02/07/2018	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	75.0	0.59
02/07/2018	3 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00700238868	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	8.91	0.00	8.91	75.0	6.68
02/07/2018	3 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00704610013	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	75.0	0.11
02/07/2018	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADVISOR EMERGING MARKETS F BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	75.0	0.44
02/07/2018	8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557093	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	7.21	0.00	7.21	75.0	5.41
02/07/2018	8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 00701194482	FIDELITY ADVISOR SMALL-CAP GROWTH F BUY 0 Units @0.0000	0.00	1.82	0.00	1.82	75.0	1.37
02/07/2018	8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADVISORS TRAILS BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	75.0	3.80
02/07/2018	8 ***-**-0349 - BREIDENSTEIN, ACCOUNT #: 03900189228	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	75.0	1.16
02/07/2018	8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	2.95	0.00	2.95	75.0	2.21
02/12/2018	8 ***-**-951I - GOLDSBOROUGH, ACCOUNT #: 6000001912	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	75.0	0.69
02/12/2018	8 ***-**-5773 - SEGNERE, HELEN	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	8.68	0.00	8.68	75.0	6.51
02/12/2018	3 ***-**-068I - SHOLLENBERGER, ACCOUNT #: 52578391	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	75.0	0.47



February 12, 2018

DEVIN BORN 128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODIICT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSAC	FIONS (contin	ued)				
	8 ***-**-2332 - BACHMAN, TORI ACCOUNT #: 207182029	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	9.26	0.00	9.26	75.0	6.95
02/12/201	8 ***-**-4180 - KOCH, TRACIE ACCOUNT #: 52879442	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	13.85	0.00	13.85	75.0	10.39
02/12/201	8 ***-**-2332 - BACHMAN, TORI ACCOUNT #: 207183767	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	0.46	0.00	0.46	75.0	0.35
02/12/201	8 ***-**-085P - ADAIR, JUDITH ACCOUNT #: 52840750	JOHN HANCOCK 529 TRAILS BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	75.0	0.66
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	2.94	0.00	2.94	75.0	2.21
02/12/201	8 ***-**-56IO - TOWER, PAUL ACCOUNT #: LSM000144	PRUDENTIAL HIGH YIELD CLASS B BUY 1 Units @0.0000	0.00	9.16	0.00	9.16	75.0	6.87
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL HIGH YIELD CLASS B BUY 1 Units @0.0000	0.00	3.36	0.00	3.36	75.0	2.52
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL JENNISON MID CAP GROWTH BUY 1 Units @0.0000	0.00	2.87	0.00	2.87	75.0	2.15
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL JENNISON MID CAP GROWTH BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	75.0	0.99
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	75.0	1.94
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	75.0	0.47
02/12/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	6.12	0.00	6.12	75.0	4.59
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$14,254.66	\$229.72	\$0.00	\$229.72		\$172.37
PRODUCT	CATEGORY: VARIABLE ANNUITY	12B1 TRAILS						
01/14/201	8 ***-**-86IN - PATTON, JULIE ACCOUNT #: 108505TAL	TRANSAMERICA LIFE 89354G562-NFS BUY 0 Units @0.0000	0.00	73.07	0.00	73.07	75.0	54.80
	* V	ARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$73.07	\$0.00	\$73.07		\$54.80
		*** BROKER TRANSACTIONS TOTAL ***	\$21,827.01	\$354.98	\$33.62	\$321.36		\$236.61



February 12, 2018

DEVIN BORN

128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						BROKER COMM	SSION	TOTALS
					Broke	er Transactions		\$236.61
					Spli	t Transactions		\$0.00
					Overrio	le Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw	,	\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					F	NRA Assessment		\$0.00
					5	SIPC Assessment		\$0.00
					_			
					Net Cor	mission Earned	l	\$236.61
					Year-to	o-date Earnings		\$236.61