



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
Page No. 1

JOSEPH, M138 MICHALETZ
41474 Judson Bottom Road

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

North Mankato, MI 56003-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
		* MUTUAL FUNDS SUBTOTAL *	\$200.00	\$2.00	\$12.00	\$-10.00		\$-9.30
		*** CLEARING TRANSACTIONS TOTAL ***	\$200.00	\$2.00	\$12.00	\$-10.00		\$-9.30
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/22/2018	GREEN, STEVEN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,255.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
04/03/2018	KASTER, MARVIN	WAVELAND RESOURCE PARTNERS IV	60,000.00	4,200.00	0.00	4,200.00	93.0	3,906.00
		* OIL & GAS SUBTOTAL *	\$60,000.00	\$4,200.00	\$0.00	\$4,200.00		\$3,906.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
04/06/2018	BOHLENDER, RODNEY	INLAND ZERO COUPON CHICAGOLAND DST	134,560.00	6,728.10	0.00	6,728.10	93.0	6,257.13
03/22/2018	JESSOP, TOM	PASSCO MARINER DST	200,000.00	12,000.00	0.00	12,000.00	93.0	11,160.00
		* 1031 EXCHANGES SUBTOTAL *	\$334,560.00	\$18,728.10	\$0.00	\$18,728.10		\$17,417.13
<u>PRODUCT CATEGORY: 529 PLANS</u>								
03/19/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	25.00	0.25	0.00	0.25	93.0	0.23
		* 529 PLANS SUBTOTAL *	\$25.00	\$0.25	\$0.00	\$0.25		\$0.23
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
04/02/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
04/02/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
04/02/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/26/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	240.00	2.40	0.00	2.40	93.0	2.23
03/26/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	93.0	10.85
* MUTUAL FUNDS SUBTOTAL *			\$1,706.66	\$18.07	\$0.00	\$18.07		\$16.80
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/27/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE INS CO	100.00	2.00	0.00	2.00	93.0	1.86
03/29/2018	SMITH, RANDALL	ALLIANZ ALTERITY OPTIONS	49,295.59	61.62	0.00	61.62	93.0	57.31
03/29/2018	SMITH, JESSICA	ALLIANZ ALTERITY OPTIONS	43,019.41	53.77	0.00	53.77	93.0	50.01
03/29/2018	KENDALL, ARCHIE	ALLIANZ VISION BASIC	429,456.56	1,073.64	0.00	1,073.64	93.0	998.49
03/29/2018	GORDY, DANNY	ALLIANZ VISION BASIC	85,815.65	214.54	0.00	214.54	93.0	199.52
03/29/2018	RAWSON, JOHN	ALLIANZ VISION BASIC	252,522.90	631.31	0.00	631.31	93.0	587.12
03/29/2018	TURTLE, ERMA	AXA ACCUM 04 ELITE (RO IRA)	279,464.70	803.46	0.00	803.46	93.0	747.22
03/29/2018	PANOS, GEARLDINE	AXA ACCUM 04 ELITE (RO IRA)	66,191.82	206.85	0.00	206.85	93.0	192.37
03/29/2018	CONNOLLY, BARBARA	AXA ACCUM 04 ELITE (RO IRA)	106,756.33	306.92	0.00	306.92	93.0	285.44
03/29/2018	ZIEGLER, DIANNE	AXA ACCUM 04 ELITE (RO IRA)	162,519.26	467.24	0.00	467.24	93.0	434.53
03/29/2018	GRAFF, GARY	AXA ACCUM 04 ELITE (RO IRA)	127,714.33	367.18	0.00	367.18	93.0	341.48
03/29/2018	DRAPEAU, GAIL	AXA ACCUM 04 ELITE (RO IRA)	21,142.62	66.07	0.00	66.07	93.0	61.45
03/29/2018	ANN M KRAHMER, LE	AXA ACCUM 04 PLUS (NQ)	422,415.40	792.03	0.00	792.03	93.0	736.59
03/29/2018	SOWERS, SHARON	AXA ACCUM 04 PLUS (NQ)	42,656.86	79.98	0.00	79.98	93.0	74.38
03/29/2018	TOAY, BARBARA	AXA ACCUMULATOR (NQ)	81,917.05	153.59	0.00	153.59	93.0	142.84
03/29/2018	KELLOGG, J EDWARD	AXA ACCUMULATOR ELITE	526,773.62	1,646.17	0.00	1,646.17	93.0	1,530.94
03/29/2018	HOGSTAD, RONALD	AXA ACCUMULATOR ELITE	145,990.46	456.22	0.00	456.22	93.0	424.28
03/29/2018	JACKSON, ROBERT	AXA ACCUMULATOR ELITE	2,188.50	6.29	0.00	6.29	93.0	5.85
03/29/2018	JOHNSON, DARLENE	AXA ACCUMULATOR ELITE	2,685.41	7.72	0.00	7.72	93.0	7.18
03/29/2018	ANDERSON, DONALD	AXA ACCUMULATOR II SERIES L	84,428.64	211.07	0.00	211.07	93.0	196.30
03/29/2018	CORDS, RANDALL	AXA RETIREMENT CORNERSTONE 13 B	62,667.57	39.17	0.00	39.17	93.0	36.43
03/31/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	57,646.62	48.04	0.00	48.04	93.0	44.68
03/31/2018	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	161,813.99	168.56	0.00	168.56	93.0	156.76
03/27/2018	GARTNER, LEONARD	JNL PERSPECTIVE II 04/14	2.56	0.05	0.00	0.05	93.0	0.05
03/31/2018	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	67,105.19	55.92	0.00	55.92	93.0	52.01
03/31/2018	WILLAERT, DIANNE	JNL PERSPECTIVE II 04/14	241,395.40	201.16	0.00	201.16	93.0	187.08
03/31/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	149,125.00	124.27	0.00	124.27	93.0	115.57
03/31/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	1,358,233.72	1,131.86	0.00	1,131.86	93.0	1,052.63
03/31/2018	STAFFEN, ROBERT	JNL PERSPECTIVE II 04/14	217,287.93	181.07	0.00	181.07	93.0	168.40

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	HASKIN, LARRY	JNL PERSPECTIVE II 04/14	1,276,911.05	1,064.09	0.00	1,064.09	93.0	989.60
03/31/2018	HANSGEN, DIANE	JNL PERSPECTIVE II 04/14	380,090.28	316.74	0.00	316.74	93.0	294.57
04/02/2018	MARK T KIECKER, SIM	JNL PERSPECTIVE II 04/14	166,795.17	3,335.90	0.00	3,335.90	93.0	3,102.39
04/02/2018	KIECKER, DENISE	JNL PERSPECTIVE II 04/14	112,981.35	2,259.63	0.00	2,259.63	93.0	2,101.46
03/31/2018	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,680.03	7.23	0.00	7.23	93.0	6.72
03/29/2018	CLOW, SANDRA	JNL PERSPECTIVE L	16,359.00	654.36	0.00	654.36	93.0	608.55
03/31/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	191,816.54	159.85	0.00	159.85	93.0	148.66
03/31/2018	VANDERHOOF, DONNA	JNL PERSPECTIVE L	332,836.70	277.36	0.00	277.36	93.0	257.94
03/31/2018	GREEN, STEVEN	JNL PERSPECTIVE L	85,869.93	71.56	0.00	71.56	93.0	66.55
03/31/2018	GREEN, STEVEN	JNL PERSPECTIVE L	70,686.39	58.91	0.00	58.91	93.0	54.79
03/31/2018	WOLFF, JEAN	JNL PERSPECTIVE L	85,972.33	71.64	0.00	71.64	93.0	66.63
03/31/2018	WOLFF, JEAN	JNL PERSPECTIVE L	433,485.74	361.24	0.00	361.24	93.0	335.95
03/31/2018	CURTIS, JEFFREY	JNL PERSPECTIVE L	95,895.08	79.91	0.00	79.91	93.0	74.32
03/31/2018	FUENTEZ, IVAN	JNL PERSPECTIVE L	244,193.11	203.49	0.00	203.49	93.0	189.25
03/31/2018	ROSE, ERIC	JNL PERSPECTIVE L	46,229.23	38.52	0.00	38.52	93.0	35.82
03/31/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE L	148,897.21	124.08	0.00	124.08	93.0	115.39
03/31/2018	CALABRESE, CAROL	JNL PERSPECTIVE L	264,717.33	220.60	0.00	220.60	93.0	205.16
03/31/2018	SCHRIOCK, LINDA	JNL PERSPECTIVE L	58,627.82	48.86	0.00	48.86	93.0	45.44
03/31/2018	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	441,676.48	368.06	0.00	368.06	93.0	342.30
03/31/2018	LUTZ, TRACY	JNL PERSPECTIVE L	23,851.03	19.88	0.00	19.88	93.0	18.49
03/31/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	176,011.29	146.68	0.00	146.68	93.0	136.41
03/31/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	106,960.61	89.13	0.00	89.13	93.0	82.89
03/31/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	154,048.19	128.37	0.00	128.37	93.0	119.38
03/31/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	158,331.89	131.94	0.00	131.94	93.0	122.70
03/31/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	89,020.27	74.18	0.00	74.18	93.0	68.99
03/31/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	194,548.07	162.12	0.00	162.12	93.0	150.77
03/31/2018	KOCON, RAYMOND	JNL PERSPECTIVE L	419,303.61	349.42	0.00	349.42	93.0	324.96
03/31/2018	HOGSTAD, MARY	JNL PERSPECTIVE L	144,779.58	120.65	0.00	120.65	93.0	112.20
03/31/2018	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	465,540.58	387.95	0.00	387.95	93.0	360.79
03/31/2018	LEASE, NATHELLE	JNL PERSPECTIVE L	288,886.62	240.74	0.00	240.74	93.0	223.89
03/31/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	208,262.96	173.55	0.00	173.55	93.0	161.40
03/31/2018	VETTER, JOANN	JNL PERSPECTIVE L	687,354.44	572.80	0.00	572.80	93.0	532.70
03/31/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	469,270.11	391.06	0.00	391.06	93.0	363.69

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PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/31/2018	VETTER, RICHARD	JNL PERSPECTIVE L	123,489.60	102.91	0.00	102.91	93.0	95.71
03/31/2018	VETTER, JOANN	JNL PERSPECTIVE L	114,090.47	95.08	0.00	95.08	93.0	88.42
03/31/2018	FLOR, PATRICIA	JNL PERSPECTIVE L	1,784,269.17	1,486.89	0.00	1,486.89	93.0	1,382.81
03/31/2018	KRAHMER, LE ANN	JNL PERSPECTIVE L	334,971.84	279.14	0.00	279.14	93.0	259.60
03/31/2018	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	130,583.15	108.82	0.00	108.82	93.0	101.20
03/31/2018	SHORE, JERRY	JNL PERSPECTIVE L	1,130,975.31	942.48	0.00	942.48	93.0	876.51
03/31/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,141,317.42	951.10	0.00	951.10	93.0	884.52
03/31/2018	SHORE, JERRY	JNL PERSPECTIVE L	313,322.17	261.10	0.00	261.10	93.0	242.82
03/31/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	265,078.90	220.90	0.00	220.90	93.0	205.44
03/31/2018	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	1,007,888.49	839.91	0.00	839.91	93.0	781.12
03/31/2018	BERKNESS, RONALD	JNL PERSPECTIVE L	374,303.29	311.92	0.00	311.92	93.0	290.09
03/31/2018	WOLFF, ANITA	JNL PERSPECTIVE L	596,209.89	496.84	0.00	496.84	93.0	462.06
03/31/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	822,304.17	685.25	0.00	685.25	93.0	637.28
03/31/2018	BERKNESS, CAROLE	JNL PERSPECTIVE L	157,052.44	130.88	0.00	130.88	93.0	121.72
03/31/2018	WOLFF, WALTER	JNL PERSPECTIVE L	858,199.71	715.17	0.00	715.17	93.0	665.11
03/31/2018	LARSON, LOIS	JNL PERSPECTIVE L	57,061.69	47.55	0.00	47.55	93.0	44.22
03/31/2018	LARSON, DEAN	JNL PERSPECTIVE L	118,825.28	99.02	0.00	99.02	93.0	92.09
03/31/2018	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	316,308.59	263.59	0.00	263.59	93.0	245.14
03/31/2018	DALLMANN, HOWARD	JNL PERSPECTIVE L	193,799.12	161.50	0.00	161.50	93.0	150.20
03/31/2018	DALLMANN, MARGARET	JNL PERSPECTIVE L	23,268.52	19.39	0.00	19.39	93.0	18.03
03/31/2018	CLOW, SANDRA	JNL PERSPECTIVE L	744,460.78	620.38	0.00	620.38	93.0	576.95
03/31/2018	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	558,030.10	465.03	0.00	465.03	93.0	432.48
03/31/2018	LOE, TIMOTHY	JNL PERSPECTIVE L	349,248.83	291.04	0.00	291.04	93.0	270.67
03/31/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	417,159.25	347.63	0.00	347.63	93.0	323.30
03/31/2018	DOBIE, SHERYL	JNL PERSPECTIVE L	937,439.22	781.20	0.00	781.20	93.0	726.52
03/31/2018	MICHALETZ, GERALD	JNL PERSPECTIVE L	149,465.28	124.55	0.00	124.55	93.0	115.83
03/31/2018	BANWART, ROGER	JNL PERSPECTIVE L	159,799.67	133.17	0.00	133.17	93.0	123.85
03/31/2018	MICHALETZ, FAYE	JNL PERSPECTIVE L	66,466.68	55.39	0.00	55.39	93.0	51.51
03/31/2018	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	321,625.87	268.02	0.00	268.02	93.0	249.26
03/31/2018	POST, TERRY	JNL PERSPECTIVE L	204,408.02	170.34	0.00	170.34	93.0	158.42
03/31/2018	HUISMAN, GERALDINE	JNL PERSPECTIVE L	170,402.64	142.00	0.00	142.00	93.0	132.06
03/31/2018	STEVENS, GEORGE	JNL PERSPECTIVE L	302,930.25	252.44	0.00	252.44	93.0	234.77
03/31/2018	BUCK, NORMAN	JNL PERSPECTIVE L	228,270.29	190.23	0.00	190.23	93.0	176.91

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/31/2018	PANOS, GERALDINE	JNL PERSPECTIVE L	116,292.42	96.91	0.00	96.91	93.0	90.13
03/31/2018	PAHL, JAMES	JNL PERSPECTIVE L	151,923.61	126.60	0.00	126.60	93.0	117.74
03/31/2018	SHAW, CHARLES	JNL PERSPECTIVE L	136,096.46	113.41	0.00	113.41	93.0	105.47
03/31/2018	WARD, JACQUELINE	JNL PERSPECTIVE L	37,635.07	31.36	0.00	31.36	93.0	29.16
03/31/2018	KENDALL, ARCHIE	JNL PERSPECTIVE L	479,697.90	399.75	0.00	399.75	93.0	371.77
03/31/2018	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	144,222.48	120.19	0.00	120.19	93.0	111.78
03/31/2018	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	55,174.20	45.98	0.00	45.98	93.0	42.76
03/31/2018	TRINITY EVANGELICAL	JNL PERSPECTIVE L	402,855.61	335.71	0.00	335.71	93.0	312.21
03/31/2018	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	690,110.75	575.09	0.00	575.09	93.0	534.83
03/31/2018	ARNOLD, EVERETT	JNL PERSPECTIVE L	735,725.38	613.10	0.00	613.10	93.0	570.18
03/31/2018	SCHMIDT, LORI	JNL PERSPECTIVE L	130,957.27	109.13	0.00	109.13	93.0	101.49
03/31/2018	SCHMIDT, HAROLD	JNL PERSPECTIVE L	518,599.28	432.17	0.00	432.17	93.0	401.92
03/31/2018	ZIEGLER, DARRELL	JNL PERSPECTIVE L	54,825.24	45.69	0.00	45.69	93.0	42.49
03/31/2018	MOLL TURKEYS INC	JNL PERSPECTIVE L	523,240.00	436.03	0.00	436.03	93.0	405.51
03/31/2018	KRONBACK, JEFFERY	JNL PERSPECTIVE L	25,216.02	21.01	0.00	21.01	93.0	19.54
03/31/2018	KRONBACK, JEFFERY	JNL PERSPECTIVE L	111,520.08	92.93	0.00	92.93	93.0	86.42
03/31/2018	KRONBACK, DEBRA	JNL PERSPECTIVE L	29,901.45	24.92	0.00	24.92	93.0	23.18
03/31/2018	KRONBACK, DEBRA	JNL PERSPECTIVE L	32,899.78	27.42	0.00	27.42	93.0	25.50
03/31/2018	FITZSIMMONS, GARY	JNL PERSPECTIVE L	355,752.13	296.46	0.00	296.46	93.0	275.71
03/31/2018	REED, MARY	JNL PERSPECTIVE L	272,819.72	227.35	0.00	227.35	93.0	211.44
03/31/2018	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	139,457.66	116.21	0.00	116.21	93.0	108.08
03/31/2018	DIETRICH, SHARON	JNL PERSPECTIVE L	209,297.50	174.41	0.00	174.41	93.0	162.20
03/31/2018	JERROW, ROBERT	JNL PERSPECTIVE L	340,891.00	284.08	0.00	284.08	93.0	264.19
03/31/2018	KASTER, JANICE	JNL PERSPECTIVE L	166,864.53	139.05	0.00	139.05	93.0	129.32
03/31/2018	FEAR, DUANE	JNL PERSPECTIVE L	76,985.09	64.15	0.00	64.15	93.0	59.66
03/31/2018	FEAR, LINDA	JNL PERSPECTIVE L	44,501.71	37.08	0.00	37.08	93.0	34.48
03/31/2018	ESLINGER, PATRICIA	JNL PERSPECTIVE L	24,651.62	20.54	0.00	20.54	93.0	19.10
03/31/2018	OLSON, MARK	JNL PERSPECTIVE L	359,486.77	299.57	0.00	299.57	93.0	278.60
03/31/2018	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	320,879.07	267.40	0.00	267.40	93.0	248.68
03/31/2018	ZIEGLER, DIANNE	JNL PERSPECTIVE L	23,226.26	19.36	0.00	19.36	93.0	18.00
03/31/2018	GRUBISH, MAHLON	JNL PERSPECTIVE L	392,965.55	327.47	0.00	327.47	93.0	304.55
03/31/2018	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	1,016,157.94	846.80	0.00	846.80	93.0	787.52
03/31/2018	ANDERSON, DONALD	JNL PERSPECTIVE L	158,704.91	132.25	0.00	132.25	93.0	122.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	ROY, JAMES	JNL PERSPECTIVE L	209,682.78	174.74	0.00	174.74	93.0	162.51
03/31/2018	CONRAD, RONALD	JNL PERSPECTIVE L	132,569.97	110.47	0.00	110.47	93.0	102.74
03/31/2018	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	468,700.73	390.58	0.00	390.58	93.0	363.24
03/31/2018	GRAFF, BRENT	JNL PERSPECTIVE L	61,869.97	51.56	0.00	51.56	93.0	47.95
03/31/2018	FOSTER, RODNEY	JNL PERSPECTIVE L	193,330.36	161.11	0.00	161.11	93.0	149.83
03/31/2018	SKELLY, SCOTT	JNL PERSPECTIVE L	244,114.21	203.43	0.00	203.43	93.0	189.19
03/31/2018	SKELLY, BRENDA	JNL PERSPECTIVE L	27,184.35	22.65	0.00	22.65	93.0	21.06
03/31/2018	SEVERSON, STANLEY	JNL PERSPECTIVE L	278,827.63	232.36	0.00	232.36	93.0	216.09
03/31/2018	GUNDERSON, HOLLY	JNL PERSPECTIVE L	40,366.70	33.64	0.00	33.64	93.0	31.29
03/31/2018	SEVERSON, JOYCE	JNL PERSPECTIVE L	115,269.53	96.06	0.00	96.06	93.0	89.34
03/31/2018	GUNDERSON, BRIAN	JNL PERSPECTIVE L	99,597.42	83.00	0.00	83.00	93.0	77.19
03/31/2018	CONRAD, DELBERT	JNL PERSPECTIVE L	109,339.00	91.12	0.00	91.12	93.0	84.74
03/31/2018	NELSON, BRENDA	JNL PERSPECTIVE L	249,048.63	207.54	0.00	207.54	93.0	193.01
03/31/2018	HAGEN, LINDA	JNL PERSPECTIVE L	1,783,525.67	1,486.27	0.00	1,486.27	93.0	1,382.23
03/31/2018	HUGGINS, JEANNE	JNL PERSPECTIVE L	196,912.35	164.09	0.00	164.09	93.0	152.60
03/31/2018	THOMPSON, MAX	JNL PERSPECTIVE L	144,748.74	120.62	0.00	120.62	93.0	112.18
03/31/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	179,357.67	149.46	0.00	149.46	93.0	139.00
03/31/2018	THOMPSON, MAX	JNL PERSPECTIVE L	494,583.92	412.15	0.00	412.15	93.0	383.30
03/31/2018	HUGGINS, TONY	JNL PERSPECTIVE L	306,617.50	255.51	0.00	255.51	93.0	237.62
03/31/2018	WOLFF, LINDA	JNL PERSPECTIVE L	733,323.54	611.10	0.00	611.10	93.0	568.32
03/31/2018	OTTO, JANET	JNL PERSPECTIVE L	24,546.84	20.46	0.00	20.46	93.0	19.03
03/31/2018	OTTO, JAMES	JNL PERSPECTIVE L	587,949.78	489.96	0.00	489.96	93.0	455.66
03/31/2018	BUCK, DELORES	JNL PERSPECTIVE L	254,645.15	212.20	0.00	212.20	93.0	197.35
03/31/2018	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	239,044.05	199.20	0.00	199.20	93.0	185.26
03/31/2018	KLEMENT, GARY	JNL PERSPECTIVE L	699,466.90	582.89	0.00	582.89	93.0	542.09
03/31/2018	KLEMENT, GARY	JNL PERSPECTIVE L	695,790.81	579.83	0.00	579.83	93.0	539.24
03/31/2018	CLOW, SANDRA	JNL PERSPECTIVE L	493,387.69	411.16	0.00	411.16	93.0	382.38
03/31/2018	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	751,428.64	626.19	0.00	626.19	93.0	582.36
03/31/2018	GARTNER, MARIE	JNL PERSPECTIVE L	175,735.02	146.45	0.00	146.45	93.0	136.20
03/31/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	1,189,703.36	991.42	0.00	991.42	93.0	922.02
03/31/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	218,679.98	182.23	0.00	182.23	93.0	169.47
03/31/2018	FITTERER, RUTH	JNL PERSPECTIVE L	206,232.89	171.86	0.00	171.86	93.0	159.83
03/31/2018	MICHELS, DAVID	JNL PERSPECTIVE L	135,799.79	113.17	0.00	113.17	93.0	105.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	MICHEL, BEVERLEY	JNL PERSPECTIVE L	321,319.26	267.77	0.00	267.77	93.0	249.03
03/31/2018	HANSON, JOY	JNL PERSPECTIVE L	171,504.38	142.92	0.00	142.92	93.0	132.92
03/31/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	534,706.30	445.59	0.00	445.59	93.0	414.40
03/31/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	597,866.26	498.22	0.00	498.22	93.0	463.34
03/31/2018	ROBINSON, MARILYN	JNL PERSPECTIVE L	137,070.74	114.23	0.00	114.23	93.0	106.23
03/31/2018	FOSTER, RICHARD	JNL PERSPECTIVE L	1,072,923.81	894.10	0.00	894.10	93.0	831.51
03/31/2018	STAFFEN, ROBERT	JNL PERSPECTIVE L	117,437.69	97.86	0.00	97.86	93.0	91.01
03/31/2018	TURNER, MYRNA	JNL PERSPECTIVE L	176,425.18	147.02	0.00	147.02	93.0	136.73
03/31/2018	TURNER, WENDELL &	JNL PERSPECTIVE L	166,235.55	138.53	0.00	138.53	93.0	128.83
03/31/2018	BENZ, FORREST	JNL PERSPECTIVE L	30,112.25	25.09	0.00	25.09	93.0	23.33
03/31/2018	BENZ, FORREST	JNL PERSPECTIVE L	261,839.50	218.20	0.00	218.20	93.0	202.93
03/31/2018	WEIBLE, DEAN	JNL PERSPECTIVE L	49,053.60	40.88	0.00	40.88	93.0	38.02
03/31/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	119,350.41	99.46	0.00	99.46	93.0	92.50
03/31/2018	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	405,506.46	337.92	0.00	337.92	93.0	314.27
03/31/2018	SHEARER, KAREN	JNL PERSPECTIVE L	119,260.87	99.38	0.00	99.38	93.0	92.42
03/31/2018	SHEARER, DONALD	JNL PERSPECTIVE L	321,667.33	268.06	0.00	268.06	93.0	249.30
03/31/2018	JOHNSON, JESSE	JNL PERSPECTIVE L	111,542.08	92.95	0.00	92.95	93.0	86.44
03/31/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	8,077.17	6.73	0.00	6.73	93.0	6.26
03/31/2018	JOHNSON, KAREN	JNL PERSPECTIVE L	8,074.47	6.73	0.00	6.73	93.0	6.26
03/31/2018	SNYDER, CYNTHIA	JNL PERSPECTIVE L	29,327.20	24.44	0.00	24.44	93.0	22.73
03/31/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	88,401.59	73.67	0.00	73.67	93.0	68.51
03/31/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	24,431.91	20.36	0.00	20.36	93.0	18.93
03/31/2018	STEVENS, GREGORY	JNL PERSPECTIVE L	83,077.99	69.23	0.00	69.23	93.0	64.38
03/31/2018	LOE, TIMOTHY	JNL PERSPECTIVE L	116,980.00	63.36	0.00	63.36	93.0	58.92
03/31/2018	WOLFF, LINDA	JNL PERSPECTIVE L	122,421.73	66.31	0.00	66.31	93.0	61.67
03/31/2018	WOLFF, LINDA	JNL PERSPECTIVE L	134,245.69	111.87	0.00	111.87	93.0	104.04
03/31/2018	LABRIER, JOHN	JNL PERSPECTIVE L	75,643.23	63.04	0.00	63.04	93.0	58.63
03/31/2018	LABRIER, PAULA	JNL PERSPECTIVE L	15,704.07	13.09	0.00	13.09	93.0	12.17
03/31/2018	WETZELL, PAMELA	JNL PERSPECTIVE L	86,316.82	71.93	0.00	71.93	93.0	66.89
03/31/2018	JOHNSON, SHERI	JNL PERSPECTIVE L	159,960.92	133.30	0.00	133.30	93.0	123.97
03/31/2018	REITER, KAREN	JNL PERSPECTIVE L	10,535.02	5.71	0.00	5.71	93.0	5.31
03/31/2018	DRESSEN, KATHRYN	JNL PERSPECTIVE L	41,542.95	17.31	0.00	17.31	93.0	16.10
03/31/2018	STANTON, KAREN	JNL PERSPECTIVE L	40,321.10	16.80	0.00	16.80	93.0	15.62

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/31/2018	MAINE, DOUGLAS	JNL PERSPECTIVE L	40,321.10	16.80	0.00	16.80	93.0	15.62
03/31/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	41,003.25	34.17	0.00	34.17	93.0	31.78
03/31/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	35,345.28	29.45	0.00	29.45	93.0	27.39
03/31/2018	BOESCH, RODNEY	JOHN HANCOCK VENTURE	162,674.14	33.89	0.00	33.89	93.0	31.52
03/31/2018	OLSON, BRYCE	PRU AS ADVISOR PLAN III	140,550.98	87.84	0.00	87.84	93.0	81.69
03/31/2018	OLSON, BRENDA	PRU PREMIER RETIREMENT L	89,434.83	223.59	0.00	223.59	93.0	207.94
03/09/2018	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	469,531.96	391.28	0.00	391.28	93.0	363.89
03/17/2018	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	116,062.02	96.72	0.00	96.72	93.0	89.95
03/19/2018	STEVENS, JANICE	TRANSAMERICA CATALYST	18,515.57	3.86	0.00	3.86	93.0	3.59
03/04/2018	SEVERSON, STEVEN	TRANSAMERICA EXTRA	188,085.87	156.74	0.00	156.74	93.0	145.77
03/09/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	665,634.67	554.70	0.00	554.70	93.0	515.87
03/09/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	25,768.47	21.47	0.00	21.47	93.0	19.97
03/11/2018	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,950.19	5.79	0.00	5.79	93.0	5.38
03/13/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	19,758.64	16.47	0.00	16.47	93.0	15.32
03/13/2018	VOIGHT, RICKY	TRANSAMERICA EXTRA	7,017.96	5.85	0.00	5.85	93.0	5.44
03/13/2018	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	26,551.26	22.13	0.00	22.13	93.0	20.58
03/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	50,718.80	42.27	0.00	42.27	93.0	39.31
03/14/2018	GRAFF, JOYCE	TRANSAMERICA EXTRA	18,034.99	15.03	0.00	15.03	93.0	13.98
03/17/2018	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	233,521.26	194.60	0.00	194.60	93.0	180.98
03/19/2018	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,417,944.90	1,181.62	0.00	1,181.62	93.0	1,098.91
03/19/2018	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	8,497.88	7.08	0.00	7.08	93.0	6.58
03/19/2018	LEVIK, LADONNA	TRANSAMERICA EXTRA	238,540.74	198.78	0.00	198.78	93.0	184.87
03/21/2018	VOTCA, JOHN	TRANSAMERICA EXTRA	65,188.74	54.32	0.00	54.32	93.0	50.52
03/21/2018	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	38,206.82	31.84	0.00	31.84	93.0	29.61
03/23/2018	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	33,976.54	28.31	0.00	28.31	93.0	26.33
03/27/2018	BOESCH, RODNEY	TRANSAMERICA EXTRA	56,288.34	46.91	0.00	46.91	93.0	43.63
03/01/2018	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	255,896.86	213.25	0.00	213.25	93.0	198.32
03/07/2018	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	13,718.23	11.43	0.00	11.43	93.0	10.63
03/10/2018	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	108,330.62	90.28	0.00	90.28	93.0	83.96
03/16/2018	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	77,265.54	64.39	0.00	64.39	93.0	59.88
03/23/2018	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	71,328.30	59.44	0.00	59.44	93.0	55.28
03/23/2018	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	190,758.82	158.97	0.00	158.97	93.0	147.84
03/23/2018	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,374,608.66	1,145.51	0.00	1,145.51	93.0	1,065.32

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/23/2018	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	309,344.32	257.79	0.00	257.79	93.0	239.74
03/02/2018	PLOTZ, LEO	TRANSAMERICA LANDMARK	337,830.63	281.53	0.00	281.53	93.0	261.82
03/05/2018	TURNER, WENDELL	TRANSAMERICA LANDMARK	122,457.19	102.05	0.00	102.05	93.0	94.91
03/07/2018	SHORE, ROSALIND	TRANSAMERICA LANDMARK	144,634.78	120.53	0.00	120.53	93.0	112.09
03/09/2018	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	77,194.13	64.33	0.00	64.33	93.0	59.83
03/15/2018	JOHNSON, PAUL	TRANSAMERICA LANDMARK	498,109.76	415.09	0.00	415.09	93.0	386.03
03/18/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,967.51	12.47	0.00	12.47	93.0	11.60
03/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	549,448.37	457.87	0.00	457.87	93.0	425.82
03/22/2018	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	163,470.50	136.23	0.00	136.23	93.0	126.69
03/22/2018	BERGLING, SHARON	TRANSAMERICA LANDMARK	785,647.89	654.71	0.00	654.71	93.0	608.88
03/26/2018	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	14,033.89	11.69	0.00	11.69	93.0	10.87
03/28/2018	KLEMENT, LINDA	TRANSAMERICA LANDMARK	72,451.03	60.38	0.00	60.38	93.0	56.15
03/29/2018	SANDER, GLORIAN	TRANSAMERICA LANDMARK	234,401.55	195.33	0.00	195.33	93.0	181.66
03/04/2018	HULST, TIMOTHY	TRANSAMERICA LIBERTY	57,301.73	47.75	0.00	47.75	93.0	44.41
03/11/2018	HAUGH, JOANNE	TRANSAMERICA LIBERTY	38,354.03	31.96	0.00	31.96	93.0	29.72
03/24/2018	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	83,518.72	69.60	0.00	69.60	93.0	64.73
03/24/2018	AYERS, KATHRYN	TRANSAMERICA LIBERTY	230,185.15	191.82	0.00	191.82	93.0	178.39
* VARIABLE ANNUITIES SUBTOTAL *			\$63,589,432.18	\$64,061.16	\$0.00	\$64,061.16		\$59,576.90

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.80	0.00	0.80	93.0	0.74
03/15/2018	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.24	0.00	0.24	93.0	0.22
03/15/2018	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.65	0.00	0.65	93.0	0.60
03/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.60	0.00	0.60	93.0	0.56
03/15/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.11	0.00	0.11	93.0	0.10
03/15/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.73	0.00	0.73	93.0	0.68
03/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.93	0.00	0.93	93.0	0.86
03/15/2018	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.70	0.00	1.70	93.0	1.58
03/15/2018	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.11	0.00	0.11	93.0	0.10
03/15/2018	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.68	0.00	0.68	93.0	0.63
03/15/2018	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.48	0.00	1.48	93.0	1.38
03/15/2018	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	93.0	0.82
03/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	93.0	0.49
03/15/2018	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.42	0.00	1.42	93.0	1.32

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/15/2018	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.70	0.00	0.70	93.0	0.65
03/15/2018	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.84	0.00	1.84	93.0	1.71
03/15/2018	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.14	0.00	0.14	93.0	0.13
03/15/2018	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.36	0.00	0.36	93.0	0.33
03/15/2018	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.33	0.00	0.33	93.0	0.31
03/15/2018	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.11	0.00	0.11	93.0	0.10
03/15/2018	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.67	0.00	0.67	93.0	0.62
03/15/2018	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
03/15/2018	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.50	0.00	0.50	93.0	0.47
03/15/2018	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.52	0.00	0.52	93.0	0.48
03/15/2018	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.36	0.00	1.36	93.0	1.26
03/13/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	9.65	0.00	9.65	93.0	8.97
/ /	BEEBE, AUDREY	ASCENSUS NEA GROWTH STATIC A	0.00	23.10	0.00	23.10	93.0	21.48
02/28/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	3.14	0.00	3.14	93.0	2.92
02/28/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	1.05	0.00	1.05	93.0	0.98
03/01/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.16	0.00	4.16	93.0	3.87
03/01/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.48	0.00	12.48	93.0	11.61
03/01/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.24	0.00	21.24	93.0	19.75
03/01/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	63.73	0.00	63.73	93.0	59.27
03/01/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.22	0.00	42.22	93.0	39.26
03/01/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	126.65	0.00	126.65	93.0	117.78
03/01/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.19	0.00	2.19	93.0	2.04
03/01/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.58	0.00	6.58	93.0	6.12
03/01/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.85	0.00	31.85	93.0	29.62
03/01/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	95.55	0.00	95.55	93.0	88.86
03/01/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.90	0.00	10.90	93.0	10.14
03/01/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.70	0.00	32.70	93.0	30.41
03/01/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.53	0.00	9.53	93.0	8.86
03/01/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.59	0.00	28.59	93.0	26.59
03/01/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.53	0.00	9.53	93.0	8.86
03/01/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.58	0.00	28.58	93.0	26.58
03/23/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.77	0.00	3.77	93.0	3.51
03/23/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.32	0.00	11.32	93.0	10.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/23/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	19.26	0.00	19.26	93.0	17.91
03/23/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	57.79	0.00	57.79	93.0	53.74
03/23/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	38.29	0.00	38.29	93.0	35.61
03/23/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	114.86	0.00	114.86	93.0	106.82
03/23/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.99	0.00	1.99	93.0	1.85
03/23/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.96	0.00	5.96	93.0	5.54
03/23/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.88	0.00	28.88	93.0	26.86
03/23/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	86.65	0.00	86.65	93.0	80.58
03/23/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.89	0.00	9.89	93.0	9.20
03/23/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	29.66	0.00	29.66	93.0	27.58
03/23/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.64	0.00	8.64	93.0	8.04
03/23/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.92	0.00	25.92	93.0	24.11
03/23/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.64	0.00	8.64	93.0	8.04
03/23/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.91	0.00	25.91	93.0	24.10
03/13/2018	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	18.51	0.00	18.51	93.0	17.21
03/13/2018	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	15.99	0.00	15.99	93.0	14.87
03/13/2018	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.69	0.00	2.69	93.0	2.50
03/15/2018	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.58	0.00	8.58	93.0	7.98
03/15/2018	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	16.28	0.00	16.28	93.0	15.14
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	39.41	0.00	39.41	93.0	36.65
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	14.69	0.00	14.69	93.0	13.66
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.42	0.00	0.42	93.0	0.39
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.64	0.00	3.64	93.0	3.39
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	8.17	0.00	8.17	93.0	7.60
03/16/2018	TURNER, WANDA	JH HIGH YIELD A	0.00	1.28	0.00	1.28	93.0	1.19
03/16/2018	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	3.84	0.00	3.84	93.0	3.57
03/16/2018	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	8.38	0.00	8.38	93.0	7.79
03/16/2018	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	7.82	0.00	7.82	93.0	7.27
03/19/2018	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.47	0.00	0.47	93.0	0.44
03/19/2018	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.36	0.00	7.36	93.0	6.84
03/19/2018	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.74	0.00	0.74	93.0	0.69
03/19/2018	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.10	0.00	4.10	93.0	3.81
04/01/2018	IRLBECK, CALEB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	0.81	0.00	0.81	93.0	0.75

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/01/2018	IRLBECK, AVA	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	1.41	0.00	1.41	93.0	1.31
04/01/2018	IRLBECK, KERSTEN	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	1.47	0.00	1.47	93.0	1.37
04/01/2018	IRLBECK, CALEB	MFS GROWTH ALLOCATION 529A	0.00	0.40	0.00	0.40	93.0	0.37
04/01/2018	IRLBECK, AVA	MFS GROWTH ALLOCATION 529A	0.00	0.83	0.00	0.83	93.0	0.77
03/13/2018	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	6.24	0.00	6.24	93.0	5.80
03/13/2018	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	7.43	0.00	7.43	93.0	6.91
03/13/2018	POLTZ, ALAN	MFS RESEARCH A	0.00	0.49	0.00	0.49	93.0	0.46
04/01/2018	BOESCH, DEBRA	MFS RESEARCH A	0.00	77.05	0.00	77.05	93.0	71.66
04/01/2018	BURRUS, ALYSSA	MFS RESEARCH A	0.00	1.04	0.00	1.04	93.0	0.97
04/01/2018	BOESCH, DEBRA	MFS RESEARCH B	0.00	23.70	0.00	23.70	93.0	22.04
04/01/2018	BOESCH, DEBRA	MFS RESEARCH FUND-C	0.00	60.70	0.00	60.70	93.0	56.45
/ /	BEEBE, AUDREY	NEA GROWTH STATIC C	0.00	78.31	0.00	78.31	93.0	72.83
04/03/2018	BOESCH JT TEN, DEBRA	PIONEER INDEPENDENCE A	0.00	9.18	0.00	9.18	93.0	8.54
04/03/2018	GARTNER, MARIE	PIONEER VALUE A	0.00	24.82	0.00	24.82	93.0	23.08
04/02/2018	CORDS, SCOTT	PUTNAM CAPITAL SPECTRUM C	0.00	13.36	0.00	13.36	93.0	12.42
04/02/2018	CORDS, GRETCHEN	PUTNAM CAPITAL SPECTRUM C	0.00	39.68	0.00	39.68	93.0	36.90
04/02/2018	CORDS, RANDALL	PUTNAM EQUITY SPECTRUM C	0.00	40.74	0.00	40.74	93.0	37.89
03/21/2018	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.30	0.00	3.30	93.0	3.07
04/02/2018	HINTON, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	1.10	0.00	1.10	93.0	1.02
03/16/2018	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.69	0.00	0.69	93.0	0.64
03/16/2018	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.94	0.00	3.94	93.0	3.66
03/20/2018	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	23.71	0.00	23.71	93.0	22.05
03/20/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.31	0.00	2.31	93.0	2.15
03/20/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	3.14	0.00	3.14	93.0	2.92
03/20/2018	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	11.53	0.00	11.53	93.0	10.72
03/20/2018	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	23.54	0.00	23.54	93.0	21.89
03/20/2018	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.09	0.00	3.09	93.0	2.87
03/20/2018	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	14.39	0.00	14.39	93.0	13.38
03/20/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	68.80	0.00	68.80	93.0	63.98
04/03/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	39.40	0.00	39.40	93.0	36.64
04/03/2018	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.88	0.00	1.88	93.0	1.75
04/03/2018	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.52	0.00	1.52	93.0	1.41
04/03/2018	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	41.90	0.00	41.90	93.0	38.97

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/03/2018	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.51	0.00	4.51	93.0	4.19
04/03/2018	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	19.21	0.00	19.21	93.0	17.87
04/03/2018	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	5.00	0.00	5.00	93.0	4.65
04/03/2018	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	5.00	0.00	5.00	93.0	4.65
04/03/2018	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	31.00	0.00	31.00	93.0	28.83
04/03/2018	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.63	0.00	2.63	93.0	2.45
04/03/2018	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	5.00	0.00	5.00	93.0	4.65
04/03/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	0.00	7.28	0.00	7.28	93.0	6.77
04/03/2018	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.91	0.00	3.91	93.0	3.64
04/03/2018	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.52	0.00	4.52	93.0	4.20
04/03/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	17.28	0.00	17.28	93.0	16.07
04/03/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	4.09	0.00	4.09	93.0	3.80
04/03/2018	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	18.00	0.00	18.00	93.0	16.74
04/03/2018	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	18.64	0.00	18.64	93.0	17.34
04/03/2018	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	59.91	0.00	59.91	93.0	55.72
04/03/2018	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.32	0.00	6.32	93.0	5.88
04/03/2018	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.49	0.00	1.49	93.0	1.39
04/03/2018	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.52	0.00	4.52	93.0	4.20
04/03/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	4.06	0.00	4.06	93.0	3.78
04/03/2018	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	12.50	0.00	12.50	93.0	11.63
04/03/2018	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.60	0.00	1.60	93.0	1.49
04/03/2018	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.96	0.00	10.96	93.0	10.19
04/03/2018	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.31	0.00	1.31	93.0	1.22
04/03/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	12.35	0.00	12.35	93.0	11.49
04/03/2018	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.94	0.00	9.94	93.0	9.24
04/03/2018	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.93	0.00	8.93	93.0	8.30
04/03/2018	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	7.65	0.00	7.65	93.0	7.11
04/03/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	6.67	0.00	6.67	93.0	6.20
04/03/2018	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	7.12	0.00	7.12	93.0	6.62
04/03/2018	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	60.42	0.00	60.42	93.0	56.19
04/03/2018	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.34	0.00	1.34	93.0	1.25
04/03/2018	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.64	0.00	3.64	93.0	3.39
04/03/2018	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.58	0.00	4.58	93.0	4.26

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/03/2018	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.32	0.00	4.32	93.0	4.02
04/03/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.98	0.00	3.98	93.0	3.70
04/03/2018	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	4.21	0.00	4.21	93.0	3.92
04/03/2018	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	3.04	0.00	3.04	93.0	2.83
04/03/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	22.83	0.00	22.83	93.0	21.23
04/03/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.58	0.00	6.58	93.0	6.12
04/03/2018	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.58	0.00	6.58	93.0	6.12
04/03/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.13	0.00	1.13	93.0	1.05
04/03/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.98	0.00	1.98	93.0	1.84
04/03/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.20	0.00	1.20	93.0	1.12
04/03/2018	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.99	0.00	1.99	93.0	1.85
04/03/2018	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.97	0.00	1.97	93.0	1.83
04/03/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.51	0.00	2.51	93.0	2.33
04/03/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	93.0	2.70
04/03/2018	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.90	0.00	9.90	93.0	9.21
04/03/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	4.27	0.00	4.27	93.0	3.97
04/03/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.46	0.00	4.46	93.0	4.15
04/03/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.16	0.00	0.16	93.0	0.15
04/03/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	36.27	0.00	36.27	93.0	33.73
04/03/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	35.88	0.00	35.88	93.0	33.37
03/20/2018	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	4.61	0.00	4.61	93.0	4.29
03/20/2018	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	31.01	0.00	31.01	93.0	28.84
03/20/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	61.82	0.00	61.82	93.0	57.49
04/03/2018	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.72	0.00	6.72	93.0	6.25
04/03/2018	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	43.90	0.00	43.90	93.0	40.83
04/03/2018	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	8.12	0.00	8.12	93.0	7.55
04/03/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.87	0.00	25.87	93.0	24.06
04/03/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	22.44	0.00	22.44	93.0	20.87
03/20/2018	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	1.83	0.00	1.83	93.0	1.70
03/20/2018	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.77	0.00	0.77	93.0	0.72
03/20/2018	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.77	0.00	0.77	93.0	0.72
03/20/2018	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.91	0.00	0.91	93.0	0.85
03/20/2018	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.17	0.00	1.17	93.0	1.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 46G-JOE/JACK MICHALETZ #290</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2,590.07	\$0.00	\$2,590.07		\$2,408.73
		*** DIRECT TRANSACTIONS TOTAL ***	\$64,035,723.84	\$93,097.65	\$0.00	\$93,097.65		\$86,580.79

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 46G-JOE/JACK MICHALETZ #290</u>								
03/01/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.24	0.00	4.24	93.0	3.94
03/01/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.72	0.00	12.72	93.0	11.83
03/23/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.84	0.00	3.84	93.0	3.57
03/23/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.53	0.00	11.53	93.0	10.72
03/27/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	0.66	0.00	0.66	93.0	0.61
04/03/2018	MAINE, DOUGLAS	JNL PERSPECTIVE II 04/14	258,235.44	2,582.35	0.00	2,582.35	93.0	2,401.59
03/27/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	0.53	0.00	0.53	93.0	0.49
03/27/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	0.53	0.00	0.53	93.0	0.49
03/27/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.39	0.00	0.39	93.0	0.36
		* 46G-JOE/JACK MICHALETZ SUBTOTAL *	\$258,657.84	\$2,616.79	\$0.00	\$2,616.79		\$2,433.60
		*** SPLIT TRANSACTIONS TOTAL ***	\$258,657.84	\$2,616.79	\$0.00	\$2,616.79		\$2,433.60

<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JACK, M137 MICHALETZ #287</u>								
03/15/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.35	0.00	0.35	40.0	0.19
03/29/2018	WEBSTER, RONALD	ALLIANZ VISION BASIC	115,062.26	287.66	0.00	287.66	40.0	152.46
03/29/2018	STEPHENS, GREG	ALLIANZ VISION BASIC	64,009.55	160.02	0.00	160.02	40.0	84.81
03/29/2018	ZABEL, DENNIS	ALLIANZ VISION BASIC	130,357.03	325.89	0.00	325.89	40.0	172.72
03/29/2018	DRAPEAU, GAIL	ALLIANZ VISION BASIC	168,434.94	421.09	0.00	421.09	40.0	223.18
03/29/2018	GEHRKE, DEBORAH	ALLIANZ VISION BASIC	32,062.65	40.08	0.00	40.08	40.0	21.24
03/15/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.26	0.00	0.26	40.0	0.14
04/03/2018	STEPHENS, GREG	AM GEN POLARIS CHOICE IV	41,100.17	102.75	0.00	102.75	40.0	54.46
03/29/2018	SOUKUP, ARLENE	AXA ACCUM 04 ELITE (RO IRA)	28,094.20	80.77	0.00	80.77	40.0	42.81
03/29/2018	FEMRITE, LYLE	AXA ACCUM 04 ELITE (RO IRA)	75,076.80	206.46	0.00	206.46	40.0	109.42
03/29/2018	JOHNSON, ELOIS	AXA ACCUMULATOR PLUS (NQ)	101,768.02	190.82	0.00	190.82	40.0	101.13
03/29/2018	JANSMA, DWAYNE	AXA ACCUMULATOR SELECT 2004	45,145.65	112.86	0.00	112.86	40.0	59.82

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JACK, M137 MICHALETZ #287								
03/29/2018	JANSMA, SUSANNE	AXA ACCUMULATOR SELECT 2004	30,707.97	76.77	0.00	76.77	40.0	40.69
03/29/2018	ROSE, REBECCA	AXA REITREMENT CORNERSTONE 13 L	35,902.35	89.76	0.00	89.76	40.0	47.57
03/29/2018	STEFFL, JOSEPH	AXA REITREMENT CORNERSTONE 13 L	506,870.13	1,267.18	0.00	1,267.18	40.0	671.61
03/01/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.03	0.00	15.03	40.0	7.97
03/01/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	45.08	0.00	45.08	40.0	23.89
03/23/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.63	0.00	13.63	40.0	7.22
03/23/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	40.88	0.00	40.88	40.0	21.67
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.81	0.00	6.81	40.0	3.61
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.44	0.00	20.44	40.0	10.83
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.98	0.00	0.98	40.0	0.52
03/23/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.95	0.00	2.95	40.0	1.56
03/13/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.68	0.00	4.68	40.0	2.48
03/16/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.05	0.00	0.05	40.0	0.03
03/16/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	40.0	0.03
03/16/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	40.0	0.03
03/16/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.29	0.00	0.29	40.0	0.15
03/16/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.41	0.00	0.41	40.0	0.22
03/16/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.38	0.00	2.38	40.0	1.26
03/31/2018	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	66,256.68	69.02	0.00	69.02	40.0	36.58
03/31/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	175,980.92	36.66	0.00	36.66	40.0	19.43
03/31/2018	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	160,653.45	33.47	0.00	33.47	40.0	17.74
03/31/2018	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	35,095.65	7.31	0.00	7.31	40.0	3.87
03/31/2018	COLBY, TODD	JNL PERSPECTIVE II 04/14	205,197.70	42.75	0.00	42.75	40.0	22.66
03/31/2018	ROY, DEBRA	JNL PERSPECTIVE II 04/14	162,012.52	135.01	0.00	135.01	40.0	71.56
03/31/2018	STEVERMER, THOMAS	JNL PERSPECTIVE II 04/14	110,816.57	46.17	0.00	46.17	40.0	24.47
03/31/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	46,277.57	38.56	0.00	38.56	40.0	20.44
03/31/2018	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	14,555.23	12.13	0.00	12.13	40.0	6.43
03/31/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	72,007.18	60.01	0.00	60.01	40.0	31.81
03/31/2018	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	82,661.06	68.88	0.00	68.88	40.0	36.51
03/31/2018	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	138,153.96	115.13	0.00	115.13	40.0	61.02
03/31/2018	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,452.14	7.04	0.00	7.04	40.0	3.73
03/31/2018	PETERSON, IONE	JNL PERSPECTIVE L	131,644.88	142.62	0.00	142.62	40.0	75.59
03/31/2018	PANKRATZ, SUSAN	JNL PERSPECTIVE L	116,000.54	96.67	0.00	96.67	40.0	51.24

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JACK, M137 MICHALETZ #287								
03/31/2018	PANKRATZ, EDWARD	JNL PERSPECTIVE L	207,253.67	172.71	0.00	172.71	40.0	91.54
03/31/2018	ROSE, DENNIS	JNL PERSPECTIVE L	168,300.54	140.25	0.00	140.25	40.0	74.33
03/31/2018	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	159,031.09	132.53	0.00	132.53	40.0	70.24
03/31/2018	JOHNSON, ELOIS	JNL PERSPECTIVE L	483,346.55	402.79	0.00	402.79	40.0	213.48
03/31/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	107,108.31	89.26	0.00	89.26	40.0	47.31
03/31/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	241,484.94	201.24	0.00	201.24	40.0	106.66
03/31/2018	SHIRK, PAMELA	JNL PERSPECTIVE L	207,090.71	172.58	0.00	172.58	40.0	91.47
03/31/2018	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	240,582.99	200.49	0.00	200.49	40.0	106.26
03/31/2018	UTZKA, LANA	JNL PERSPECTIVE L	8,347.26	6.96	0.00	6.96	40.0	3.69
03/31/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	179,169.33	149.31	0.00	149.31	40.0	79.13
03/31/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	45,567.16	37.97	0.00	37.97	40.0	20.12
03/31/2018	DAHLKE, RONALD	JNL PERSPECTIVE L	9,266.12	7.72	0.00	7.72	40.0	4.09
03/31/2018	HOL, PATRICIA	JNL PERSPECTIVE L	128,668.65	107.22	0.00	107.22	40.0	56.83
03/31/2018	HAGER, REBECCA	JNL PERSPECTIVE L	361,516.86	301.26	0.00	301.26	40.0	159.67
03/31/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	36,511.05	30.43	0.00	30.43	40.0	16.13
03/31/2018	OLSON, BRENDA	JNL PERSPECTIVE L	55,372.03	46.14	0.00	46.14	40.0	24.45
03/31/2018	OLSON, BRYCE	JNL PERSPECTIVE L	448,544.58	373.79	0.00	373.79	40.0	198.11
03/31/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	262,164.06	218.47	0.00	218.47	40.0	115.79
03/31/2018	GOFF, WESLEY	JNL PERSPECTIVE L	33,903.15	28.25	0.00	28.25	40.0	14.97
03/31/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	161,720.82	134.77	0.00	134.77	40.0	71.43
03/31/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	103,643.60	86.37	0.00	86.37	40.0	45.78
03/31/2018	SIEBERG, JOSEPH	JNL PERSPECTIVE L	69,852.45	58.21	0.00	58.21	40.0	30.85
03/31/2018	DORN, SANDRA	JNL PERSPECTIVE L	511,822.71	426.52	0.00	426.52	40.0	226.06
03/31/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	207,223.98	172.69	0.00	172.69	40.0	91.53
03/31/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	87,829.34	73.19	0.00	73.19	40.0	38.79
03/31/2018	MARSH, JAMES	JNL PERSPECTIVE L	39,479.38	32.90	0.00	32.90	40.0	17.44
03/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	149,231.96	124.36	0.00	124.36	40.0	65.91
03/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	33,035.90	27.53	0.00	27.53	40.0	14.59
03/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	62,551.35	52.13	0.00	52.13	40.0	27.63
03/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	36,626.00	30.52	0.00	30.52	40.0	16.18
03/31/2018	FEAR, WILLIAM	JNL PERSPECTIVE L	140,314.55	116.93	0.00	116.93	40.0	61.97
03/31/2018	PEMBLE, MICHELLE	JNL PERSPECTIVE L	305,615.32	254.68	0.00	254.68	40.0	134.98
03/31/2018	HART, JILLANE	JNL PERSPECTIVE L	98,905.91	82.42	0.00	82.42	40.0	43.68

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
03/31/2018	HART, KEVIN	JNL PERSPECTIVE L	292,228.02	243.52	0.00	243.52	40.0	129.07
03/31/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	24,196.20	20.16	0.00	20.16	40.0	10.68
03/31/2018	BEVAN, HELEN	JNL PERSPECTIVE L	37,466.09	31.22	0.00	31.22	40.0	16.55
03/31/2018	SOUKUP, LAVERN	JNL PERSPECTIVE L	253,630.93	211.36	0.00	211.36	40.0	112.02
03/16/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	40.0	0.02
03/31/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	253,738.92	211.45	0.00	211.45	40.0	112.07
03/21/2018	LORENTZ, NICHOLAS	METLIFE LIFE INS	9,787.58	9.79	0.00	9.79	40.0	5.19
03/22/2018	LORENTZ, NICHOLAS	METLIFE LIFE INS	1,000.00	5.00	0.00	5.00	40.0	2.65
03/13/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	16.34	0.00	16.34	40.0	8.66
03/13/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	36.08	0.00	36.08	40.0	19.12
03/13/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.23	0.00	4.23	40.0	2.24
03/13/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	11.32	0.00	11.32	40.0	6.00
04/01/2018	URBAN, CURTIS	MFS RESEARCH A	0.00	22.15	0.00	22.15	40.0	11.74
04/01/2018	URBAN, ANDREW	MFS RESEARCH A	0.00	2.39	0.00	2.39	40.0	1.27
04/01/2018	URBAN, CURTIS	MFS RESEARCH B	0.00	16.16	0.00	16.16	40.0	8.56
03/20/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.38	0.00	0.38	40.0	0.20
03/27/2018	SIVANICH, DONALD	PACIFIC LIFE STEP UP VA	0.00	102.91	0.00	102.91	40.0	54.54
03/21/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.54	0.00	2.54	40.0	1.35
03/21/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.15	0.00	0.15	40.0	0.08
04/05/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	40.0	-5.15
04/02/2018	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	2.39	0.00	2.39	40.0	1.27
03/21/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.18	0.00	0.18	40.0	0.10
04/05/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	40.0	-5.16
04/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	2.68	0.00	2.68	40.0	1.42
03/21/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	9.58	0.00	9.58	40.0	5.08
03/21/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.87	0.00	0.87	40.0	0.46
03/16/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	21.45	0.00	21.45	40.0	11.37
03/01/2018	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	24,878.73	20.73	0.00	20.73	40.0	10.99
03/20/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.91	0.00	2.91	40.0	1.54
03/20/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.74	0.00	3.74	40.0	1.98
03/20/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.31	0.00	2.31	40.0	1.22
03/20/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	4.89	0.00	4.89	40.0	2.59
03/22/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	2.52

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
03/23/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	40.0	2.52
04/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.34	0.00	1.34	40.0	0.71
04/03/2018	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	7.36	0.00	7.36	40.0	3.90
04/03/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	4.58	0.00	4.58	40.0	2.43
04/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.28	0.00	1.28	40.0	0.68
04/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.86	0.00	0.86	40.0	0.46
04/03/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	1.70	0.00	1.70	40.0	0.90
03/20/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.27
03/20/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.93	0.00	4.93	40.0	2.61
03/20/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.60	0.00	18.60	40.0	9.86
03/20/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	16.54	0.00	16.54	40.0	8.77
04/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.93	0.00	2.93	40.0	1.55
04/03/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	6.02	0.00	6.02	40.0	3.19
04/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	41.49	0.00	41.49	40.0	21.99
04/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	41.49	0.00	41.49	40.0	21.99
04/03/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.82	0.00	5.82	40.0	3.08
04/03/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.89	0.00	17.89	40.0	9.48
04/03/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	6.06	0.00	6.06	40.0	3.21
04/03/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.87	0.00	1.87	40.0	0.99
04/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.20	0.00	17.20	40.0	9.12
04/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	53.81	0.00	53.81	40.0	28.52
04/03/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.62	0.00	1.62	40.0	0.86
04/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	46.61	0.00	46.61	40.0	24.70
04/03/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.24	0.00	7.24	40.0	3.84
04/03/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.26	0.00	8.26	40.0	4.38
04/03/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	40.0	0.95
04/03/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.75	0.00	2.75	40.0	1.46
04/03/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	19.94	0.00	19.94	40.0	10.57
04/03/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	19.14	0.00	19.14	40.0	10.14
04/03/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.07	0.00	12.07	40.0	6.40
04/03/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.27	0.00	2.27	40.0	1.20
04/03/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.29	0.00	2.29	40.0	1.21
04/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	40.0	0.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
04/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.79	0.00	3.79	40.0	2.01
04/03/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.16	0.00	1.16	40.0	0.61
04/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	40.0	0.60
04/03/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.98	0.00	5.98	40.0	3.17
04/03/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.93	0.00	21.93	40.0	11.62
04/03/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.93	0.00	9.93	40.0	5.26
04/03/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.50	0.00	5.50	40.0	2.92
04/03/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	4.02	0.00	4.02	40.0	2.13
04/03/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.41	0.00	8.41	40.0	4.46
04/03/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.21	0.00	2.21	40.0	1.17
04/03/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.82	0.00	8.82	40.0	4.67
04/03/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.82	0.00	13.82	40.0	7.32
04/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.44	0.00	16.44	40.0	8.71
04/03/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	1.10	0.00	1.10	40.0	0.58
04/03/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.96	0.00	4.96	40.0	2.63
04/03/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.93	0.00	1.93	40.0	1.02
04/03/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.91	0.00	2.91	40.0	1.54
04/03/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	40.0	0.98
04/03/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.15	0.00	3.15	40.0	1.67
04/03/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.70	0.00	0.70	40.0	0.37
04/03/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.51	0.00	4.51	40.0	2.39
04/03/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.26	0.00	0.26	40.0	0.14
04/03/2018	MICHALETZ, WHITNEY	TRANSAMERICA AA GROWTH C	0.00	0.91	0.00	0.91	40.0	0.48
04/03/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.99	0.00	9.99	40.0	5.29
04/03/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.93	0.00	16.93	40.0	8.97
04/05/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	40.0	-4.77
03/20/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	29.73	0.00	29.73	40.0	15.76
03/27/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	7.13	0.07	0.00	0.07	40.0	0.04
03/27/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	7.13	0.07	0.00	0.07	40.0	0.04
04/03/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	7.79	0.00	7.79	40.0	4.13
04/03/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.62	0.00	3.62	40.0	1.92
04/03/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.21	0.00	5.21	40.0	2.76
04/03/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	52.19	0.00	52.19	40.0	27.66

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JACK, M137 MICHALETZ #287								
04/03/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	8.79	0.00	8.79	40.0	4.66
04/03/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.71	0.00	7.71	40.0	4.09
04/03/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.32	0.00	1.32	40.0	0.70
04/03/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.41	0.00	2.41	40.0	1.28
04/03/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	11.06	0.00	11.06	40.0	5.86
04/03/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.59	0.00	7.59	40.0	4.02
04/03/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	4.27	0.00	4.27	40.0	2.26
04/03/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	0.08	0.00	0.08	40.0	0.04
03/20/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.68	0.00	0.68	40.0	0.36
03/20/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.64	0.00	0.64	40.0	0.34
03/01/2018	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	13,385.29	2.79	0.00	2.79	40.0	1.48
03/24/2018	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	19,265.26	4.01	0.00	4.01	40.0	2.13
03/20/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	40.0	0.23
03/20/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.39	0.00	0.39	40.0	0.21
03/20/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.45	0.00	1.45	40.0	0.77
03/08/2018	KIRCHNER, DUANE	TRANSAMERICA EXTRA	44,992.10	37.49	0.00	37.49	40.0	19.87
03/09/2018	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	341,648.77	284.71	0.00	284.71	40.0	150.90
03/20/2018	GEWERTH, CHERYL	TRANSAMERICA EXTRA	20,233.42	16.86	0.00	16.86	40.0	8.94
03/21/2018	KRONBACK, EUGENE	TRANSAMERICA EXTRA	60,168.08	50.14	0.00	50.14	40.0	26.57
03/29/2018	BULLER, CAROL	TRANSAMERICA EXTRA	13,584.41	11.32	0.00	11.32	40.0	6.00
03/29/2018	KIRCHNER, JANET	TRANSAMERICA EXTRA	32,089.87	26.74	0.00	26.74	40.0	14.17
03/01/2018	IRELAND, DANIEL	TRANSAMERICA LANDMARK	111,377.46	92.81	0.00	92.81	40.0	49.19
03/16/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	19,414.05	16.18	0.00	16.18	40.0	8.58
03/16/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	19,948.15	16.62	0.00	16.62	40.0	8.81
03/25/2018	FITTERER, DELORIS	TRANSAMERICA LANDMARK	53,300.33	44.42	0.00	44.42	40.0	23.54
03/26/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.33
03/26/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	40.0	1.33
03/29/2018	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	55,684.81	46.40	0.00	46.40	40.0	24.59
03/08/2018	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	87,330.75	72.78	0.00	72.78	40.0	38.57
03/13/2018	BECKWITH, ALTON	TRANSAMERICA LIBERTY	88,710.67	73.93	0.00	73.93	40.0	39.18
03/16/2018	ASKELAND, RYAN	WAVELAND RESOURCE PARTNERS IV	100,000.00	7,000.00	0.00	7,000.00	40.0	3,710.00
* JACK, M137 MICHALETZ SUBTOTAL *			\$10,270,619.24	\$18,434.21	\$36.00	\$18,398.21		\$9,751.10

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JANE MICHALETZ #347</u>								
03/31/2018	BURNHAM, MOLLY	JNL PERSPECTIVE II 05/05	75,476.37	31.45	0.00	31.45	0.0	29.25
		* JANE MICHALETZ SUBTOTAL *	\$75,476.37	\$31.45	\$0.00	\$31.45		\$29.25
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$10,346,095.61	\$18,465.66	\$36.00	\$18,429.66		\$9,780.35

ADJUSTMENTS

04/15/2018		*CREDIT CARD PYT: CC PMNT TERMINATION FEE	0.00					100.00
04/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					125.00
04/15/2018		*OVERRIDE: OVERRIDE ON SPLITS BETWEEN JOE/JACK	0.00					1,386.92
04/15/2018		*PERSHING: TRANS FEE - PAUL FIELDS	0.00					-50.00
04/15/2018		*RBC: KOCH RBC WEALTH STATION	0.00					-25.00
04/15/2018		*TERMINATION FEE: TERMINATION FEE	0.00					-100.00
04/15/2018		*TICKET CHARGES: BOURNE TICKET CHRG	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$1,386.92

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BROKER COMMISSION TOTALS

Broker Transactions	\$86,571.49
Split Transactions	\$2,433.60
Override Transactions	\$9,780.35
Adjustments	\$1,386.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$100,172.36
Year-to-date Gross	\$1,997,590.60
Year-to-date Earnings	\$1,901,057.62