

STATEMENT

February 20, 2018

RONALD CRISP
5211 E ECHO PINES CIRCLE E

BROKER #: 121
BRANCH:

FT Pierce, FL 34951

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/20/2021	#4667465	DUBERSTEIN, CAROL	ACCESS FLEX HIGH YIELD-SVC	0.00	1.24	93.0	0.00	1.15	1.15
01/20/2021	#4667465	DUBERSTEIN, CAROL	ACCESS FLEX HIGH YIELD-SVC	0.00	0.41	93.0	0.00	0.38	0.38
12/31/2017	#4667465	DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.01	93.0	0.00	0.01	0.01
12/31/2017	#4667465	DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.02	93.0	0.00	0.02	0.02
12/31/2017	#4667465	DUBERSTEIN, CAROL	CONSUMER GOODS - SVC	0.00	0.02	93.0	0.00	0.02	0.02
12/31/2017	#4667465	DUBERSTEIN, CAROL	CONSUMER GOODS - SVC	0.00	0.05	93.0	0.00	0.05	0.05
12/31/2017	#1003036234	DUBERSTEIN,	GUGGENHEIM RYDEX	0.00	5.94	93.0	0.00	5.52	5.52
12/31/2017	#4667465	DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.01	93.0	0.00	0.01	0.01
12/31/2017	#4667465	DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.02	93.0	0.00	0.02	0.02
12/31/2017	#4667465	DUBERSTEIN, CAROL	TECHNOLOGY-SVC	0.00	0.02	93.0	0.00	0.02	0.02
12/31/2017	#4667465	DUBERSTEIN, CAROL	TECHNOLOGY-SVC	0.00	0.05	93.0	0.00	0.05	0.05
12/31/2017	#4667465	DUBERSTEIN, CAROL	ULTRABULL-SVC	0.00	0.02	93.0	0.00	0.02	0.02
12/31/2017	#4667465	DUBERSTEIN, CAROL	ULTRABULL-SVC	0.00	0.05	93.0	0.00	0.05	0.05
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$7.86		\$0.00	\$7.32	\$0.00	\$7.32
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#1007913687	DUBERSTEIN,	ADVS II (05/05)	86,799.19	72.33	93.0	0.00	67.27	67.27
12/31/2017	#1008140835	CHALMERS, MARK	L SERIES	35,086.16	38.01	93.0	0.00	35.35	35.35
12/31/2017	#1007968767	DUBERSTEIN,	L SERIES	240,805.85	200.67	93.0	0.00	186.62	186.62
12/31/2017	#1007968767	DUBERSTEIN,	L SERIES	62,865.95	68.10	93.0	0.00	63.33	63.33
12/31/2017	#1008140843	PARDO, HENRY	L SERIES	13,511.31	14.64	93.0	0.00	13.62	13.62
12/31/2017	#1008131984	WOLF, CHARLOTTE	L SERIES	251,687.01	209.74	93.0	0.00	195.06	195.06
12/31/2017	#1008131984	WOLF, CHARLOTTE	L SERIES	62,074.61	67.25	93.0	0.00	62.54	62.54
12/31/2017	#1008131941	WOLF, JEROME	L SERIES	95,856.77	103.84	93.0	0.00	96.57	96.57
12/31/2017	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	34.72	93.0	0.00	32.29	32.29
12/31/2017	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	8.68	93.0	0.00	8.07	8.07
12/31/2017	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	70.62	93.0	0.00	65.68	65.68
12/31/2017	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	17.65	93.0	0.00	16.41	16.41

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/31/2017	#000673039 COLE, LINDA	PRUDETIAL TRAILS	0.00	0.77	93.0	0.00	0.72	0.00	0.72
12/31/2017	#000673039 COLE, LINDA	PRUDETIAL TRAILS	0.00	0.77	93.0	0.00	0.72	0.00	0.72
12/31/2017	#1012526594 COOPER, MICHAEL	PERSP L 08/11	8,706.93	7.26	93.0	0.00	6.75	0.00	6.75
12/31/2017	#1010614380 DEHAAS, MARY	PERSP L 08/11	51,092.16	42.58	93.0	0.00	39.60	0.00	39.60
12/31/2017	#1011692156 MENDELSBERG,	PERSP L 08/11	35,220.77	29.35	93.0	0.00	27.30	0.00	27.30
12/31/2017	#1011926475 MENDELSBERG,	PERSP L 08/11	311,439.32	259.53	93.0	0.00	241.36	0.00	241.36
12/31/2017	#1012478620 RUBINSKI, JANICE	PERSP L 08/11	14,135.92	11.78	93.0	0.00	10.96	0.00	10.96
12/31/2017	#1010809238 WOLF, JEROME	PERSP L 08/11	158,075.32	131.73	93.0	0.00	122.51	0.00	122.51
01/31/2018	#1007913687 DUBERSTEIN,	ADVS II (05/05)	91,043.14	75.87	93.0	0.00	70.56	0.00	70.56
01/31/2018	#1008140835 CHALMERS, MARK	L SERIES	36,297.54	39.32	93.0	0.00	36.57	0.00	36.57
01/31/2018	#1007968767 DUBERSTEIN,	L SERIES	252,589.81	210.49	93.0	0.00	195.76	0.00	195.76
01/31/2018	#1007968767 DUBERSTEIN,	L SERIES	65,942.33	71.44	93.0	0.00	66.44	0.00	66.44
01/31/2018	#1008140843 PARDO, HENRY	L SERIES	14,172.50	15.35	93.0	0.00	14.28	0.00	14.28
01/31/2018	#1008131984 WOLF, CHARLOTTE	L SERIES	260,803.14	217.34	93.0	0.00	202.13	0.00	202.13
01/31/2018	#1008131984 WOLF, CHARLOTTE	L SERIES	64,322.96	69.68	93.0	0.00	64.80	0.00	64.80
01/31/2018	#1008131941 WOLF, JEROME	L SERIES	99,946.56	108.28	93.0	0.00	100.70	0.00	100.70
01/31/2018	#1019694794	PERSP II 01/16	300,594.34	125.25	93.0	0.00	116.48	0.00	116.48
01/31/2018	#1012526594 COOPER, MICHAEL	PERSP L 08/11	9,132.29	7.61	93.0	0.00	7.08	0.00	7.08
01/31/2018	#1010614380 DEHAAS, MARY	PERSP L 08/11	53,384.49	44.49	93.0	0.00	41.38	0.00	41.38
01/31/2018	#1011692156 MENDELSBERG,	PERSP L 08/11	36,796.00	30.66	93.0	0.00	28.51	0.00	28.51
01/31/2018	#1011926475 MENDELSBERG,	PERSP L 08/11	326,473.46	272.06	93.0	0.00	253.02	0.00	253.02
01/31/2018	#1012478620 RUBINSKI, JANICE	PERSP L 08/11	14,826.49	12.36	93.0	0.00	11.49	0.00	11.49
01/31/2018	#1010809238 WOLF, JEROME	PERSP L 08/11	163,871.69	136.56	93.0	0.00	127.00	0.00	127.00
* VARIABLE ANNUITIES SUBTOTAL *			\$3,217,554.01	\$2,826.78		\$0.00	\$2,628.93	\$0.00	\$2,628.93
*** BROKER TRANSACTIONS TOTAL ***			\$3,217,554.01	\$2,834.64		\$0.00	\$2,636.25	\$0.00	\$2,636.25

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ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-260.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-439.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,636.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-439.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,197.25
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