Page No.



COMMISSION STATEMENT

October 15, 2017

SCOTT BRESKY

101 Eisenhower Parkway Suite 300 Roseland, NJ 07068 BROKER #: B141

BRANCH: SCOTT BRESKY

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|------------|-----------------|-----------------------------------|----------------------|---------------------|---|-------------------|--------------------|----------------------|
| | | BROKER TRAN | SACTIONS | | | | | |
| PRODUCT CA | TEGORY: RIA | | | | | | | |
| 10/02/2017 | KUBERT, ANDREW | NEUBERGER BERMAN | 1,839,299.28 | 4,636.04 | 85.0 | 3,940.63 | 0.00 | 3,940.63 |
| 10/03/2017 | SCHWARTZ, JULES | NEUBERGER BERMAN | 1,573,847.00 | 3,966.95 | 85.0 | 3,371.91 | 0.00 | 3,371.91 |
| 10/03/2017 | SCHWARTZ, JULES | NEUBERGER BERMAN | 254,296.00 | 640.96 | 85.0 | 544.82 | 0.00 | 544.82 |
| | | * RIA SUBTOTAL * | \$3,667,442.28 | \$9,243.95 | | \$7,857.36 | \$0.00 | \$7,857.36 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$3,667,442.28 | \$9,243.95 | | \$7,857.36 | \$0.00 | \$7,857.36 |
| | | | | | | BROKER COMMISSION | | TOTALS |
| | | | Br | | Broker Transac | tions | \$7,857.36 | |
| | | | | | Split Transactions | | \$0.00 | |
| | | | | | Override Transactions | | \$0.00 | |
| | | | | | Adjustments | | \$0.00 | |
| | | | | | Payroll Draw | | Draw | \$0.00 |
| | | | | | Base Salary Prior Period Balance FINRA Assessment | | alary | \$0.00 |
| | | | | | | | lance | \$0.00 |
| | | | | | | | sment | \$0.00 |
| | | | | | SIPC Assessment | | sment | \$0.00 |
| | | | | | Check Amount | | Amount | \$7,857.36 |
| | | | | | Year-to-date Gross | | \$41,979.40 | |
| | | | | | Ye | ar-to-date Ea | rnings | \$35,682.50 |

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed