BROKER

CONCORDE INVESTMENT SERVICES

COMMISSION STATEMENT July 15, 2017

INVESTMENT

MARK-S141 REG SMITH

BROKER #: S141

GROSS

BRANCH: WOODS FINANCIAL

CLEARING

NET

, MI

06/09/2017 CHAPMAN, KENNETH AM FUNDS BOND FUND OF AMERICA A 0.00 0.08 0.00 0.08 48.0 0.04 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS CAPITAL INCOME BUILDER A 0.00 6.29 0.00 6.29 48.0 3.02 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL WORLD GRTH & INC A 0.00 3.45 0.00 3.45 48.0 1.06 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL WORLD GRTH & INC A 0.00 3.45 0.00 3.45 48.0 1.06 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL WORLD GRTH & INC A 0.00 3.78 0.00 3.78 48.0 1.06 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.78 0.00 3.78 48.0 1.81 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 3.30 48.0 1.58 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 3.30 48.0 1.58 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INEM PERSPECTIVE A 0.00 0.00 0.1	DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
PRODUCT CATEGORY: MUTUAL FUNDS									
06/09/2017 DOWLAND, BOBBY AM FUNDS AMERICAN MUTUAL A 0.00 3.89 0.00 0.08 48.0 0.04			BROKER TRANSA	CTIONS					
06/09/2017 CHAPMAN, KENNETH AM FUNDS BOND FUND OF AMERICA A 0.00 0.08 0.00 0.08 48.0 0.04 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL INCOME BUILDER A 0.00 6.29 0.00 6.29 48.0 0.04 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL INCOME BUILDER A 0.00 6.29 0.00 6.29 48.0 1.66 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL INCOME BUILDER A 0.00 3.45 0.00 3.45 48.0 1.66 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL INCOME BUILDER A 0.00 3.75 0.00 3.45 48.0 1.66 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.78 0.00 3.78 48.0 1.81 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.00 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.00 0.07 0.00 0.07 48.0 0.00 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.00 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNET	PRODUCT C	ATEGORY: MUTUAL FUNDS							
06/09/2017 CHAPMAN, TERRYALIN AM FUNDS BOND FUND OF AMERICA A 0.00 0.08 0.00 0.08 48.0 0.04 06/09/2017 BOWLAND, BORBY AM FUNDS CAPITAL INCOME BUILDER A 0.00 6.29 0.00 6.29 48.0 3.02 06/09/2017 BOWLAND, BORBY AM FUNDS CAPITAL WORLD GRIT & INC A 0.00 1.55 0.00 1.50 48.0 1.66 06/09/2017 BRIDGES, JANIS AM FUNDS CAPITAL INVESTORS A 0.00 1.50 0.00 1.50 48.0 0.02 06/09/2017 BOWLAND, BORBY AM FUNDS INCAMENTAL INVESTORS A 0.00 3.78 0.00 3.78 48.0 1.81 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 0.249 0.00 0.249 48.0 1.20 06/09/2017 BOWLAND, BORBY AM FUNDS INCOME FUND OF AMERICA A 0.00 0.33.0 0.00 3.33 48.0 1.58 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.09 06/09/2017 CHAPMAN, KENN	06/09/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.89	0.00	3.89	48.0	1.87
06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL INCOME BUILDER A 0.00 6.29 0.00 6.29 48.0 3.02 06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL WORLD GRTH & INC A 0.00 3.45 0.00 3.45 48.0 1.66 06/09/2017 BOWLAND, BOBBY AM FUNDS FUNDAMENTAL INVESTORS A 0.00 1.50 0.00 1.50 48.0 0.72 0.00 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.78 0.00 3.78 48.0 0.07 0.00 0.00 0.00 0.00 0.00 0.00 0	06/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
06/09/2017 BOWLAND, BOBBY AM FUNDS CAPITAL WORLD GRTH & INC A 0.00 3.45 0.00 3.45 48.0 1.66 06/09/2017 BRIDGES, JANIS AM FUNDS FUNDAMENTAL INVESTORS A 0.00 1.50 0.00 1.50 48.0 0.72 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.78 0.00 3.78 48.0 1.81 06/09/2017 CHADMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 2.49 0.00 2.49 48.0 1.58 06/09/2017 CHADMAN, ENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHADMAN, TERNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07	06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
06/09/2017 BRIDGES, JANIS AM FUNDS FUNDAMENTAL INVESTORS A 0.00 1.50 0.00 3.78 48.0 0.72 06/09/2017 BOWLAND, BOBBY AM FUNDS ICA A 0.00 3.78 0.00 3.78 48.0 1.81 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 2.49 0.00 2.49 48.0 1.20 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 0.07 0.00 2.49 48.0 1.20 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.01 <t< td=""><td>06/09/2017</td><td>BOWLAND, BOBBY</td><td>AM FUNDS CAPITAL INCOME BUILDER A</td><td>0.00</td><td>6.29</td><td>0.00</td><td>6.29</td><td>48.0</td><td>3.02</td></t<>	06/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.29	0.00	6.29	48.0	3.02
06/09/2017 BOWLAND, BOBBY AM FUNDS ICA A 0.00 3.78 0.00 3.78 48.0 1.81 06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 2.49 0.00 2.49 48.0 1.20 06/09/2017 BONLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 2.49 48.0 1.20 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.19 0.00 0.19	06/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.45	0.00	3.45	48.0	1.66
06/09/2017 CHAPMAN, KENNETH AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 2.49 0.00 2.49 48.0 1.20 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 3.30 48.0 1.58 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 0.00 0.19 48.0 0.09 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.00 0.19 0.00 0.19 0.00 0.19 48.0 0.00 0.19 48.0 0.00 0.19 48.0 0.00 0.19 48.0 0.00	06/09/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	48.0	0.72
06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INCOME FUND OF AMERICA A 0.00 0.15 0.00 0.15 48.0 0.07 06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 2.49 0.00 2.49 48.0 1.20 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 3.30 48.0 1.58 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 0/09/2017 WARD, SHERRY OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 2.48 06/09/2017 WARD, SHERRY OPPENHEIMER MAIN STREET OPP A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 0.85 0.00 0.85 48.0 0.41 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 HORNE, CHARLES PUTNAM MILTI-CAP VALUE A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 HORNE, CHARLES PUTNAM MILTI-CAP VALUE A 0.00 0.85 0.00 0.85 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MILTI-CAP VALUE A 0.00 0.85 0.00 0.85 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM MILTI-CAP VALUE A 0.00 0.28 0.00 0.28 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM MILTI-CAP VALUE A 0.00 0.28 0.00 0.28 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM MILTI-CAP VALUE A 0.00 0.28 0.00 0.28 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.28 0.00 0.28 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.28 0.00 0.28 48.0 0.01 0.28 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.28 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.00 0.9	06/09/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.78	0.00	3.78	48.0	1.81
06/09/2017 BRIDGES, JANIS AM FUNDS INCOME FUND OF AMERICA A 0.00 2.49 0.00 2.49 48.0 1.20 06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 3.30 48.0 1.58 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 WARD, SHERRY OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 0.44 0.00 0.98 48.0 0.44 0.00 0.98 48.0 0.44 0.00 0.98 48.0 0.44 0.00 0.98 48.0 0.44 0.00 0.98 48.0 0.44 0.00 0.98 48.0 0.44 0.00 0.98 0.00 0.98 48.0 0.44 0.00 0.98 0.00 0.98 0.00 0.98 48.0 0.44 0.00 0.98 0.0	06/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
06/09/2017 BOWLAND, BOBBY AM FUNDS INCOME FUND OF AMERICA A 0.00 3.30 0.00 3.30 48.0 1.58 06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 WARD, SERRY OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 0.98 0.00 0.98 48.0	06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
06/09/2017 CHAPMAN, KENNETH AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 5.16 0.00 5.16 48.0 0.09 07/03/2017 WARD, SHERRY OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.03 0.00 3.03 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 0.85 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.00 0.98 0.00 0.98 48.0 0.47	06/09/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.49	0.00	2.49	48.0	1.20
06/09/2017 CHAPMAN, TERRYALIN AM FUNDS INTERMEDIATE BOND FUND OF 0.00 0.07 0.00 0.07 48.0 0.03 06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 / / ORR, RICHARD OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 2.48 06/09/2017 WARD, SHERRY OPPENHEIMER MAIN STREET OPP A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.03 0.00 3.03 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 0.85 0.00 0.85 48.0 0.40	06/09/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.30	0.00	3.30	48.0	1.58
06/09/2017 CHAPMAN, KENNETH AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 / ORR, RICHARD OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 2.48 06/09/2017 WARD, SHERRY OPPENHEIMER MAIN STREET OPP A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.03 0.00 3.03 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.40	06/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
06/09/2017 CHAPMAN, TERRYALIN AM FUNDS NEW PERSPECTIVE A 0.00 0.19 0.00 0.19 48.0 0.09 / / ORR, RICHARD OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 2.48 06/09/2017 WARD, SHERRY OPPENHEIMER MAIN STREET OPP A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.03 0.00 3.03 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.40 06/09/2017 NICODIN, EARL PUTNAM MULTI-CAP VALUE A 0.00 0.83 0.00 0.83 48.0 0.40	06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
/ / ORR, RICHARD OPPENHEIMER GLOBAL STRATEGIC INC A 0.00 5.16 0.00 5.16 48.0 2.48 06/09/2017 WARD, SHERRY OPPENHEIMER MAIN STREET OPP A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.40 06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 <td>06/09/2017</td> <td>CHAPMAN, KENNETH</td> <td>AM FUNDS NEW PERSPECTIVE A</td> <td>0.00</td> <td>0.19</td> <td>0.00</td> <td>0.19</td> <td>48.0</td> <td>0.09</td>	06/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.09
06/09/2017 WARD, SHERRY OPPENHEIMER MAIN STREET OPP A 0.00 0.98 0.00 0.98 48.0 0.47 07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.98 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.40	06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.09
07/03/2017 HORNE, CHARLES PUTNAM EQUITY INCOME A 0.00 3.01 0.00 3.01 48.0 1.44 07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.03 0.00 3.03 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	/ /	ORR, RICHARD	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.16	0.00	5.16	48.0	2.48
07/03/2017 HORNE, CHARLES PUTNAM GLOBAL HEALTH CARE A 0.00 3.03 0.00 3.03 48.0 1.45 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP GROWTH-A 0.00 0.98 0.00 0.98 48.0 0.47 0.47 0.47 0.47 0.47 0.47 0.47 0.	06/09/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.98	0.00	0.98	48.0	0.47
07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD ADVANTAGE A 0.00 1.44 0.00 1.44 48.0 0.69 07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	07/03/2017	HORNE, CHARLES	PUTNAM EQUITY INCOME A	0.00	3.01	0.00	3.01	48.0	1.44
07/03/2017 HORNE, CHARLES PUTNAM HIGH YIELD TRUST A 0.00 0.85 0.00 0.85 48.0 0.41 06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	07/03/2017	HORNE, CHARLES	PUTNAM GLOBAL HEALTH CARE A	0.00	3.03	0.00	3.03	48.0	1.45
06/09/2017 NICODIN, EARL PUTNAM INVESTORS A 0.00 0.83 0.00 0.83 48.0 0.40 07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	07/03/2017	HORNE, CHARLES	PUTNAM HIGH YIELD ADVANTAGE A	0.00	1.44	0.00	1.44	48.0	0.69
07/03/2017 HORNE, CHARLES PUTNAM MULTI-CAP VALUE A 0.00 2.79 0.00 2.79 48.0 1.34 07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	07/03/2017	HORNE, CHARLES	PUTNAM HIGH YIELD TRUST A	0.00	0.85	0.00	0.85	48.0	0.41
07/03/2017 AARY, HARLEY PUTNAM SMALL CAP GROWTH-A 0.00 0.28 0.00 0.28 48.0 0.13 07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	06/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.83	0.00	0.83	48.0	0.40
07/03/2017 HORNE, CHARLES PUTNAM SMALL CAP VALUE A 0.00 0.98 0.00 0.98 48.0 0.47	07/03/2017	HORNE, CHARLES	PUTNAM MULTI-CAP VALUE A	0.00	2.79	0.00	2.79	48.0	1.34
	07/03/2017	AARY, HARLEY	PUTNAM SMALL CAP GROWTH-A	0.00	0.28	0.00	0.28	48.0	0.13
07/03/2017 HORNE, CHARLES PUTNAM U.S. GOVT INCOME TR-A 0.00 0.48 0.00 0.48 48.0 0.23	07/03/2017	HORNE, CHARLES	PUTNAM SMALL CAP VALUE A	0.00	0.98	0.00	0.98	48.0	0.47
	07/03/2017	HORNE, CHARLES	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.48	0.00	0.48	48.0	0.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUND	os estados est						
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
07/05/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.74	0.00	10.74	48.0	5.16
07/05/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.22	0.00	4.22	48.0	2.03
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$60.71	\$0.00	\$60.71		\$29.13
PRODUCT CA	TEGORY: VARIABLE AN	NUITIES						
06/30/2017	WU, PEILI	AXA 00246Q312	115,653.83	289.13	0.00	289.13	48.0	138.78
06/30/2017	GODWIN, SANDRA	HARTFORD PHCM V	13,842.21	13.84	0.00	13.84	48.0	6.64
06/30/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	73,525.40	15.32	0.00	15.32	48.0	7.35
06/30/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,335.90	32.86	0.00	32.86	48.0	15.77
06/30/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,429.67	40.55	0.00	40.55	48.0	19.46
06/30/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	146,918.93	159.16	0.00	159.16	48.0	76.40
06/30/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	80,472.72	67.06	0.00	67.06	48.0	32.19
06/30/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,640.56	23.87	0.00	23.87	48.0	11.46
06/30/2017	JEFFERS, LARRY	PRU AS APEX II	116,697.85	72.94	0.00	72.94	48.0	35.01
06/30/2017	JEFFERS, LARRY	PRU AS APEX II	116,697.85	291.74	0.00	291.74	48.0	140.04
06/29/2017	BURNS, ANNE	SEC BEN 814121158	36,894.52	30.73	0.00	30.73	48.0	14.75
		* VARIABLE ANNUITIES SUBTOTAL *	\$797,109.44	\$1,037.20	\$0.00	\$1,037.20		\$497.85
PRODUCT CA	TEGORY: VARIABLE AN	NUITY 12B1 TRAILS						
06/30/2017	WISE, LUCILE	LFG TRAILS	0.00	231.68	0.00	231.68	48.0	111.21
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$231.68	\$0.00	\$231.68		\$111.21
		*** BROKER TRANSACTIONS TOTAL ***	\$797,109.44	\$1,329.59	\$0.00	\$1,329.59		\$638.19

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMIS		BROKER COMMISSION
		ADJUSTM	ENTS					
07/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00		\$0.00	\$-575.00
					<u> 1</u>	BROKER	COMMISSION	N TOTALS
					Broke	r Transa	ctions	\$638.19
					Spli	t Transa	ctions	\$0.00
					Overrid	e Transa	ctions	\$0.00
						Adjus	tments	\$-575.00
						Payrol	l Draw	\$0.00
						Base	Salary	\$0.00
					Prior	Period B	salance	\$-488.86
					FI	NRA Asse	ssment	\$0.00
					S	IPC Asse	ssment	\$0.00
	Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Bala				Balance	Forward	\$-425.67	
					Yea	r-to-dat	e Gross	\$3,626.55
					Year-t	o-date E	arnings	\$1,740.70