



COMMISSION STATEMENT

July 16, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	21.50	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @12.4300						
07/11/2018	***-**-674I - RAMSEY, MARION	PL PORTFOLIO OPTIMIZATION MODERATE	7.83	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 1 Units @14.4800						
07/02/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @25.9600						
07/11/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	60.86	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 2 Units @24.8200						
07/11/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	135.63	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 24 Units @5.7700						
* MUTUAL FUNDS SUBTOTAL *			\$325.82	\$5.00	\$0.00	\$5.00		\$3.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/16/2018	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
07/16/2018	***-**-26IN - SANTOS, FATIMA	CHET ADVISOR 529 TRAILS	0.00	3.72	0.00	3.72	70.0	2.60
	ACCOUNT #: 68526609201	BUY 0 Units @0.0000						
07/16/2018	***-**-925P - FENGLER, DANIEL	CHET ADVISOR 529 TRAILS	0.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 68939901201	BUY 0 Units @0.0000						
07/09/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.38	0.00	0.38	70.0	0.27
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
07/09/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.76	0.00	0.76	70.0	0.53
	ACCOUNT #: 01488781475	BUY 0 Units @0.0000						
07/09/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
07/09/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.46	0.00	0.46	70.0	0.32
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
07/09/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	0.76	0.00	0.76	70.0	0.53
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
07/09/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	1.66	0.00	1.66	70.0	1.16
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
07/09/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV ASSET MANAGER 60% CL A	0.00	1.33	0.00	1.33	70.0	0.93
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/09/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.85	0.00	2.85	70.0	2.00
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
07/09/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.78	0.00	2.78	70.0	1.95
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
07/09/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
07/16/2018	***-**-0934 - FENGLER, SOPHIA	PACIFIC LIFE FUNDS TRAILS	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 2000128274	BUY 0 Units @0.0000						
07/16/2018	***-**-0934 - FENGLER, SOPHIA	PACIFIC LIFE FUNDS TRAILS	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 2000128274	BUY 0 Units @0.0000						
07/16/2018	***-**-6049 - BRANT, CAMRON	PACIFIC LIFE FUNDS TRAILS	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 2000128283	BUY 0 Units @0.0000						
07/16/2018	***-**-4150 - PARADIS, CAMILLE	PACIFIC LIFE FUNDS TRAILS	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 2000128292	BUY 0 Units @0.0000						
07/16/2018	***-**-041J - PARADIS, EDWARD	PACIFIC LIFE FUNDS TRAILS	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: 2000128309	BUY 0 Units @0.0000						
07/16/2018	***-**-00IN - BRANT, LORI	PACIFIC LIFE FUNDS TRAILS	0.00	1.91	0.00	1.91	70.0	1.34
	ACCOUNT #: 2000243595	BUY 0 Units @0.0000						
07/16/2018	***-**-41UT - FENGLER,	PACIFIC LIFE FUNDS TRAILS	0.00	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: 1001411331	BUY 0 Units @0.0000						
07/16/2018	***-**-041J - PARADIS, EDWARD	PACIFIC LIFE FUNDS TRAILS	0.00	10.91	0.00	10.91	70.0	7.64
	ACCOUNT #: 2000115607	BUY 0 Units @0.0000						
07/16/2018	***-**-15CL - BRODER, CLIENTS	PACIFIC LIFE FUNDS TRAILS	0.00	4.64	0.00	4.64	70.0	3.25
		BUY 0 Units @0.0000						
07/02/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 00551342997	BUY 0 Units @0.0000						
07/02/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-A	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: 00551342999	BUY 0 Units @0.0000						
07/02/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	0.00	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: 00551361268	BUY 0 Units @0.0000						
07/02/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342992	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342997	BUY 0 Units @0.0000						
07/02/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342999	BUY 0 Units @0.0000						
07/02/2018	***-**-725I - SHEIK, JONATHAN	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.47	0.00	2.47	70.0	1.73
	ACCOUNT #: 00551329386	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$42.69	\$0.00	\$42.69		\$29.89
*** BROKER TRANSACTIONS TOTAL ***			\$325.82	\$47.69	\$0.00	\$47.69		\$33.39

BROKER COMMISSION TOTALS

Broker Transactions	\$33.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$33.39
Year-to-date Earnings	\$22,274.55