



All Companies

01/11/2018

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COMMISSION STATEMENT

January 16, 2018

PAUL M. THOMPSON
15455 Dallas Parkway, Ste.600

BROKER #: 9114
BRANCH: HOME OFFICE

Addison, TX 75001

COMMISSION STATEMENT for PAUL M. THOMPSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-OPPENHEIMER FUNDS	B	618.88	618.88	0.00	618.88	90.0	556.99
12/26/2017	00063808760 DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	313.20	5.01	0.00	5.01	90.0	4.51
12/26/2017	00063808760 DAVENPORT, CURTIS	AMERICAN FUNDS AMCAP FD	B	10,440.00	167.04	0.00	167.04	90.0	150.34
12/26/2017	00064607901 LARSON JT TEN, JEFFREY	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
12/26/2017	00068487409 CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
12/26/2017	00068487409 CARLSON, BRENT D.	AMERICAN FUNDS AMCAP FD	B	115.00	1.84	0.00	1.84	90.0	1.66
01/05/2018	00068899251 SHERTZER, LUKE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.38	0.00	1.38	90.0	1.24
01/03/2018	00074947376 MALLERY, MARLA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	125.00	5.31	0.00	5.31	90.0	4.78
01/05/2018	00082816231 SHERTZER, LUKE	CAPITAL INCOME BUILDER-529A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/03/2018	00086561092 WISNIEWSKI, ANDREW NEW PERSPECTIVE FUND-C M.		B	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017	00087104911 DAVENPORT, STEPHANIE	BALANCED PORTFOLIO-A	B	465.00	7.44	0.00	7.44	90.0	6.70
12/26/2017	00087104911 DAVENPORT, STEPHANIE	BALANCED PORTFOLIO-A	B	15,500.00	248.00	0.00	248.00	90.0	223.20
12/26/2017	00087104938 RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	81.90	1.31	0.00	1.31	90.0	1.18
12/26/2017	00087104938 RODRIGUEZ, OSCAR	BALANCED PORTFOLIO-A	B	136.50	2.18	0.00	2.18	90.0	1.96
12/26/2017	00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
12/26/2017	00087104958 BRAACK, ANDREW	GLOBAL GROWTH PORTFOLIO-A	B	90.41	1.45	0.00	1.45	90.0	1.31
12/26/2017	00088535675 CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	1.28	0.00	1.28	90.0	1.15
12/26/2017	00088535675 CARPENTER, TERRY D.	AMERICAN FUNDS INVESTMENT COMP OF A B	B	80.00	1.28	0.00	1.28	90.0	1.15
12/26/2017	00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
12/26/2017	00089211120 BACON, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	B	68.75	1.10	0.00	1.10	90.0	0.99
01/02/2018	00089423266 MONTIEL, MELISSA DYSON	AMERICAN FUNDS BALANCED FD	B	300.00	15.00	0.00	15.00	90.0	13.50
01/02/2018	00089423266 MONTIEL, MELISSA DYSON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	90.0	4.50
12/26/2017	06910143223 DOAN, ELIZABETH L	IVK EQUITY AND INCOME FUND - CLASS B	B	1,084.20	18.97	0.00	18.97	90.0	17.07

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/26/2017	06910143223	DOAN, ELIZABETH L	IVK EQUITY AND INCOME FUND - CLASS B	2,990.00	52.33	0.00	52.33	90.0	47.10
12/26/2017	5501744996	LARSON, JEFFREY	PORTFOLIO SERIES: ACTIVE ALLOCATION B	400.00	12.00	0.00	12.00	90.0	10.80
12/26/2017	5501745018	LARSON, DEBORAH	PORTFOLIO SERIES: ACTIVE ALLOCATION B	400.00	12.00	0.00	12.00	90.0	10.80
* MUTUAL FUNDS SUBTOTAL *				\$34,813.00	\$1,212.57	\$0.00	\$1,212.57		\$1,091.33

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	TRAILS	-HARTFORD LIFE	B	464.33	464.33	0.00	464.33	90.0	417.90
12/28/2017	BROWN, PEGGY A	BRIGHTHOUSE FINANCIAL	B	1,280.59	38.41	0.00	38.41	90.0	34.57
* VARIABLE ANNUITIES SUBTOTAL *				\$1,744.92	\$502.74	\$0.00	\$502.74		\$452.47

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/16/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	8.29	0.00	8.29	90.0	7.46
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$8.29	\$0.00	\$8.29		\$7.46
*** BROKER TRANSACTIONS TOTAL ***				\$36,557.92	\$1,723.60	\$0.00	\$1,723.60		\$1,551.26

ADJUSTMENTS

01/16/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,551.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,523.76
Year-to-date Earnings	\$40,521.11