

COMMISSION STATEMENT

January 31, 2018

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: 13
BRANCH: HOME OFFICE

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/30/2018	75502005	SHEMIN, VICKI		THE INCOME FUND OF AMERICA-A	S	12,355.17	0.00	0.00	0.00	60.0	0.00
				* MUTUAL FUNDS SUBTOTAL *		\$12,355.17	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

12/29/2017	75501981	LAWRENCE, VICTORIA		KAPSTONE PAPER AND PACKAGING CORPOR	B	15,369.75	0.00	0.00	0.00	60.0	0.00
01/03/2018	75501981	LAWRENCE, VICTORIA		PIONEER NATURAL RESOURCES COMPANY	S	8,780.00	0.00	0.00	0.00	60.0	0.00
01/04/2018	75501981	LAWRENCE, VICTORIA		DIREXION SHARES ETF TRUST DIREXION	B	19,810.26	0.00	0.00	0.00	60.0	0.00
01/04/2018	75501981	LAWRENCE, VICTORIA		GENERAL ELECTRIC CO	S	11,145.00	0.00	0.00	0.00	60.0	0.00
01/05/2018	75501981	LAWRENCE, VICTORIA		TEREX CORP	B	21,263.85	0.00	0.00	0.00	60.0	0.00
01/11/2018	75501981	LAWRENCE, VICTORIA		SAREPTA THERAPEUTICS INC COM	S	8,922.02	0.00	0.00	0.00	60.0	0.00
01/12/2018	75501981	LAWRENCE, VICTORIA		SQUARE INC CLASS A COM	B	16,560.00	0.00	0.00	0.00	60.0	0.00
01/17/2018	75501981	LAWRENCE, VICTORIA		SHOPIFY INC CL A ISIN#CA82509L1076	S	8,681.44	0.00	0.00	0.00	60.0	0.00
01/19/2018	75501981	LAWRENCE, VICTORIA		DIREXION SHARES ETF TRUST DIREXION	S	20,100.00	0.00	0.00	0.00	60.0	0.00
01/23/2018	75501981	LAWRENCE, VICTORIA		IQVIA HOLDINGS INC COMMON STOCK	B	25,796.68	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501981	LAWRENCE, VICTORIA		CONTINENTAL RESOURCES INC	S	14,375.00	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501981	LAWRENCE, VICTORIA		UNIVERSAL DISPLAY CORPORATION	B	21,775.00	0.00	0.00	0.00	60.0	0.00
01/25/2018	75501981	LAWRENCE, VICTORIA		MARATHON OIL CORP	S	13,573.77	0.00	0.00	0.00	60.0	0.00
01/29/2018	75501981	LAWRENCE, VICTORIA		KAPSTONE PAPER AND PACKAGING CORPOR	S	23,349.20	0.00	0.00	0.00	60.0	0.00
01/04/2018	75501985	LAWRENCE, CHRISTOPHER		DIREXION SHS ETF TR DAILY FINL BULL	S	3,555.51	0.00	0.00	0.00	60.0	0.00
01/17/2018	75501985	LAWRENCE, CHRISTOPHER		REGENERON PHARMACEUTICALS INC	S	7,516.80	0.00	0.00	0.00	60.0	0.00
01/02/2018	75501986	LAWRENCE, CHRISTOPHER		PROSHARES TRUST ULTRAPRO NASDAQ BIO	S	4,416.23	0.00	0.00	0.00	60.0	0.00
01/04/2018	75501986	LAWRENCE, CHRISTOPHER		IQVIA HOLDINGS INC COMMON STOCK	B	7,312.49	0.00	0.00	0.00	60.0	0.00
01/10/2018	75501986	LAWRENCE, CHRISTOPHER		CONTINENTAL RESOURCES INC	S	6,968.74	0.00	0.00	0.00	60.0	0.00
01/12/2018	75501986	LAWRENCE, CHRISTOPHER		LVMH MOET HENNESSY LOUIS VUITTON SA	S	4,401.75	0.00	0.00	0.00	60.0	0.00
01/22/2018	75501986	LAWRENCE, CHRISTOPHER		WALT DISNEY CO	S	5,554.51	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501986	LAWRENCE, CHRISTOPHER		CONTINENTAL RESOURCES INC	S	4,315.51	0.00	0.00	0.00	60.0	0.00
01/05/2018	75501987	SCHWARTZ, MARILYN		FACEBOOK INC CL A	S	13,903.69	105.00	0.00	105.00	60.0	63.00
01/10/2018	75501987	SCHWARTZ, MARILYN		BLUEBIRD BIO INC COM	B	20,768.75	55.00	0.00	55.00	60.0	33.00
01/16/2018	75501987	SCHWARTZ, MARILYN		PROSHARES TRUST ULTRAPRO NASDAQ BIO	S	13,860.00	55.00	0.00	55.00	60.0	33.00
01/22/2018	75501987	SCHWARTZ, MARILYN		MICROSOFT CORP	S	22,770.45	55.00	0.00	55.00	60.0	33.00
01/04/2018	75501988	LAWRENCE, CHRISTOPHER		COHERUS BIOSCIENCES INC COM	S	4,357.50	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/05/2018	75501988	LAWRENCE, CHRISTOPHER DIREXION SHARES ETF TRUST DIREXION	B	6,581.90	0.00	0.00	0.00	60.0	0.00
01/10/2018	75501988	LAWRENCE, CHRISTOPHER EXTREME NETWORKS INC COM	B	3,790.98	0.00	0.00	0.00	60.0	0.00
01/11/2018	75501988	LAWRENCE, CHRISTOPHER MARATHON OIL CORP	S	6,855.00	0.00	0.00	0.00	60.0	0.00
01/16/2018	75501988	LAWRENCE, CHRISTOPHER SQUARE INC CLASS A COM	B	3,911.98	0.00	0.00	0.00	60.0	0.00
01/17/2018	75501988	LAWRENCE, CHRISTOPHER ALIGN TECHNOLOGY INC	S	4,026.90	0.00	0.00	0.00	60.0	0.00
01/17/2018	75501988	LAWRENCE, CHRISTOPHER SHOPIFY INC CL A ISIN#CA82509L1076	S	8,569.69	0.00	0.00	0.00	60.0	0.00
01/19/2018	75501988	LAWRENCE, CHRISTOPHER DIREXION SHARES ETF TRUST DIREXION	S	6,700.00	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501988	LAWRENCE, CHRISTOPHER UNIVERSAL DISPLAY CORPORATION	B	10,446.00	0.00	0.00	0.00	60.0	0.00
01/03/2018	75501989	BINES, BURTON DIREXION SHS ETF TR DAILY FINL BULL B	B	34,210.70	0.00	0.00	0.00	60.0	0.00
01/05/2018	75501989	BINES, BURTON FACEBOOK INC CL A	S	18,553.38	0.00	0.00	0.00	60.0	0.00
01/09/2018	75501989	BINES, BURTON CELGENE CORP	S	15,840.00	0.00	0.00	0.00	60.0	0.00
01/10/2018	75501989	BINES, BURTON BLUEBIRD BIO INC COM	B	20,746.88	0.00	0.00	0.00	60.0	0.00
01/11/2018	75501989	BINES, BURTON PIONEER NATURAL RESOURCES COMPANY	S	18,360.00	0.00	0.00	0.00	60.0	0.00
01/16/2018	75501989	BINES, BURTON PROSHARES TRUST ULTRAPRO NASDAQ BIO S	S	19,800.00	0.00	0.00	0.00	60.0	0.00
01/22/2018	75501989	BINES, BURTON IQVIA HOLDINGS INC COMMON STOCK	B	41,040.00	0.00	0.00	0.00	60.0	0.00
01/03/2018	75501990	LAWRENCE, JEFFREY FACEBOOK INC CL A	S	3,668.45	0.00	0.00	0.00	60.0	0.00
01/04/2018	75501990	LAWRENCE, JEFFREY COHERUS BIOSCIENCES INC COM	S	1,867.50	0.00	0.00	0.00	60.0	0.00
01/05/2018	75501990	LAWRENCE, JEFFREY DIREXION SHARES ETF TRUST DIREXION	B	3,281.74	0.00	0.00	0.00	60.0	0.00
01/11/2018	75501990	LAWRENCE, JEFFREY MARATHON OIL CORP	S	3,656.00	0.00	0.00	0.00	60.0	0.00
01/16/2018	75501990	LAWRENCE, JEFFREY SQUARE INC CLASS A COM	B	3,927.32	0.00	0.00	0.00	60.0	0.00
01/17/2018	75501990	LAWRENCE, JEFFREY SHOPIFY INC CL A ISIN#CA82509L1076	S	3,999.19	0.00	0.00	0.00	60.0	0.00
01/19/2018	75501990	LAWRENCE, JEFFREY DIREXION SHARES ETF TRUST DIREXION	S	3,350.00	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501990	LAWRENCE, JEFFREY UNIVERSAL DISPLAY CORPORATION	B	5,232.00	0.00	0.00	0.00	60.0	0.00
01/23/2018	75501991	NSH 81 LLC CONSTELLATION BRANDS INC CL A	S	65,991.09	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501991	NSH 81 LLC JUNO THERAPEUTICS INC COM	S	15,015.00	0.00	0.00	0.00	60.0	0.00
01/24/2018	75501991	NSH 81 LLC NEKTAR THERAPEUTICS COM	S	7,749.20	0.00	0.00	0.00	60.0	0.00
01/23/2018	75501992	THE BARBARA & NEAL CONSTELLATION BRANDS INC CL A	S	10,990.50	0.00	0.00	0.00	60.0	0.00
01/23/2018	75501992	THE BARBARA & NEAL MICROSOFT CORP	S	4,584.00	0.00	0.00	0.00	60.0	0.00
01/23/2018	75501992	THE BARBARA & NEAL WALT DISNEY CO	S	5,504.25	0.00	0.00	0.00	60.0	0.00
01/05/2018	75501998	LAWRENCE, CHARLES DIREXION SHS ETF TR DAILY FINL BULL S	S	7,094.78	51.00	0.00	51.00	60.0	30.60
12/29/2017	75501999	LAWRENCE, CHARLES CABOT OIL & GAS CORP	B	7,443.34	75.00	0.00	75.00	60.0	45.00
01/11/2018	75501999	LAWRENCE, CHARLES SHOPIFY INC CL A ISIN#CA82509L1076	S	6,672.15	51.00	0.00	51.00	60.0	30.60
01/22/2018	75501999	LAWRENCE, CHARLES BLUEBIRD BIO INC COM	B	11,697.00	100.00	0.00	100.00	60.0	60.00
01/22/2018	75501999	LAWRENCE, CHARLES JUNO THERAPEUTICS INC COM	S	13,742.94	75.00	0.00	75.00	60.0	45.00
01/23/2018	75501999	LAWRENCE, CHARLES CABOT OIL & GAS CORP	S	7,382.10	51.00	0.00	51.00	60.0	30.60
01/23/2018	75501999	LAWRENCE, CHARLES SQUARE INC CLASS A COM	B	9,419.55	100.00	0.00	100.00	60.0	60.00
01/24/2018	75501999	LAWRENCE, CHARLES MARATHON OIL CORP	S	22,275.62	125.00	0.00	125.00	60.0	75.00
01/25/2018	75501999	LAWRENCE, CHARLES JUNO THERAPEUTICS INC COM	S	22,327.08	125.00	0.00	125.00	60.0	75.00
01/29/2018	75501999	LAWRENCE, CHARLES UNIVERSAL DISPLAY CORPORATION	B	12,868.00	125.00	0.00	125.00	60.0	75.00
12/28/2017	75502000	LAWRENCE, WILLIAM JUNO THERAPEUTICS INC COM	S	7,107.60	55.00	0.00	55.00	60.0	33.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/02/2018	75502000	LAWRENCE, WILLIAM	DIREXION SHS ETF TR DAILY FINL BULL B	8,468.06	75.00	0.00	75.00	60.0	45.00
01/02/2018	75502000	LAWRENCE, WILLIAM	SAREPTA THERAPEUTICS INC COM S	5,718.00	55.00	0.00	55.00	60.0	33.00
01/03/2018	75502000	LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION B	5,240.98	55.00	0.00	55.00	60.0	33.00
01/04/2018	75502000	LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC S	6,142.50	55.00	0.00	55.00	60.0	33.00
01/05/2018	75502000	LAWRENCE, WILLIAM	AKEBIA THERAPEUTICS INC COM B	8,546.78	75.00	0.00	75.00	60.0	45.00
01/05/2018	75502000	LAWRENCE, WILLIAM	PAYPAL HOLDINGS INC COM S	7,865.00	55.00	0.00	55.00	60.0	33.00
01/08/2018	75502000	LAWRENCE, WILLIAM	ADVANCED MICRO DEVICES INC S	13,591.20	75.00	0.00	75.00	60.0	45.00
01/08/2018	75502000	LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM B	7,384.72	75.00	0.00	75.00	60.0	45.00
01/09/2018	75502000	LAWRENCE, WILLIAM	DIREXION SHS ETF TR DAILY FINL BULL S	9,075.00	55.00	0.00	55.00	60.0	33.00
01/09/2018	75502000	LAWRENCE, WILLIAM	SQUARE INC CLASS A COM B	6,509.31	65.00	0.00	65.00	60.0	39.00
01/10/2018	75502000	LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM B	7,050.96	75.00	0.00	75.00	60.0	45.00
01/11/2018	75502000	LAWRENCE, WILLIAM	MARATHON OIL CORP S	10,741.00	55.00	0.00	55.00	60.0	33.00
01/16/2018	75502000	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM B	5,663.00	55.00	0.00	55.00	60.0	33.00
01/17/2018	75502000	LAWRENCE, WILLIAM	NINTENDO CO LTD-ADR NEW S	5,115.00	55.00	0.00	55.00	60.0	33.00
01/19/2018	75502000	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM B	10,716.00	100.00	0.00	100.00	60.0	60.00
01/22/2018	75502000	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM S	10,781.25	100.00	0.00	100.00	60.0	60.00
01/24/2018	75502000	LAWRENCE, WILLIAM	UNIVERSAL DISPLAY CORPORATION B	10,716.00	100.00	0.00	100.00	60.0	60.00
12/28/2017	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM B	13,372.50	125.00	0.00	125.00	60.0	75.00
12/28/2017	75502001	LAWRENCE, CHARLES	NETFLIX COM INC S	14,396.25	75.00	0.00	75.00	60.0	45.00
12/29/2017	75502001	LAWRENCE, CHARLES	CABOT OIL & GAS CORP B	11,467.28	100.00	0.00	100.00	60.0	60.00
12/29/2017	75502001	LAWRENCE, CHARLES	NETFLIX COM INC S	14,423.25	75.00	0.00	75.00	60.0	45.00
01/02/2018	75502001	LAWRENCE, CHARLES	ALIBABA GROUP HOLDING LTD SPONSORED S	13,410.00	65.00	0.00	65.00	60.0	39.00
01/02/2018	75502001	LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK B	15,195.00	150.00	0.00	150.00	60.0	90.00
01/03/2018	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM S	17,496.03	75.00	0.00	75.00	60.0	45.00
01/04/2018	75502001	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076 S	8,197.50	55.00	0.00	55.00	60.0	33.00
01/05/2018	75502001	LAWRENCE, CHARLES	DIREXION SHS ETF TR DAILY FINL BULL S	18,358.08	75.00	0.00	75.00	60.0	45.00
01/05/2018	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM B	17,078.34	150.00	0.00	150.00	60.0	90.00
01/05/2018	75502001	LAWRENCE, CHARLES	SQUARE INC CLASS A COM S	6,456.54	51.00	0.00	51.00	60.0	30.60
01/05/2018	75502001	LAWRENCE, CHARLES	WESTPORT FUEL SYSTEMS INC S	11,916.00	55.00	0.00	55.00	60.0	33.00
01/09/2018	75502001	LAWRENCE, CHARLES	CABOT OIL & GAS CORP S	11,660.52	55.00	0.00	55.00	60.0	33.00
01/09/2018	75502001	LAWRENCE, CHARLES	EXTREME NETWORKS INC COM B	13,737.60	125.00	0.00	125.00	60.0	75.00
01/09/2018	75502001	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION B	30,632.00	150.00	0.00	150.00	60.0	90.00
01/10/2018	75502001	LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM S	17,795.16	100.00	0.00	100.00	60.0	60.00
01/10/2018	75502001	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION B	14,223.75	100.00	0.00	100.00	60.0	60.00
01/11/2018	75502001	LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK S	13,512.00	51.00	0.00	51.00	60.0	30.60
01/11/2018	75502001	LAWRENCE, CHARLES	SQUARE INC CLASS A COM B	15,259.18	150.00	0.00	150.00	60.0	90.00
01/12/2018	75502001	LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW S	9,081.00	38.08	0.00	38.08	60.0	0.00
01/16/2018	75502001	LAWRENCE, CHARLES	EXTREME NETWORKS INC COM B	13,657.04	125.00	0.00	125.00	60.0	75.00
01/16/2018	75502001	LAWRENCE, CHARLES	ROKU INC CLASS A COMMON STOCK B	10,179.34	100.00	0.00	100.00	60.0	60.00
01/16/2018	75502001	LAWRENCE, CHARLES	SHOPIFY INC CL A ISIN#CA82509L1076 S	8,539.69	55.00	0.00	55.00	60.0	33.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/16/2018	75502001	LAWRENCE, CHARLES		UNIVERSAL DISPLAY CORPORATION	S	32,072.00	150.00	0.00	150.00 60.0 90.00
01/17/2018	75502001	LAWRENCE, CHARLES		MARATHON OIL CORP	S	19,761.58	175.00	0.00	175.00 60.0 105.00
01/18/2018	75502001	LAWRENCE, CHARLES		FAIRMOUNT SANTROL HLDGS INC COM	B	6,243.40	60.00	0.00	60.00 60.0 36.00
01/18/2018	75502001	LAWRENCE, CHARLES		FAIRMOUNT SANTROL HLDGS INC COM	B	6,222.20	60.00	0.00	60.00 60.0 36.00
01/18/2018	75502001	LAWRENCE, CHARLES		UNIVERSAL DISPLAY CORPORATION	S	15,510.00	75.00	0.00	75.00 60.0 45.00
01/18/2018	75502001	LAWRENCE, CHARLES		WESTPORT FUEL SYSTEMS INC	B	5,455.32	55.00	0.00	55.00 60.0 33.00
01/19/2018	75502001	LAWRENCE, CHARLES		ROKU INC CLASS A COMMON STOCK	B	10,455.22	100.00	0.00	100.00 60.0 60.00
01/19/2018	75502001	LAWRENCE, CHARLES		WESTPORT FUEL SYSTEMS INC	B	5,396.04	55.00	0.00	55.00 60.0 33.00
01/22/2018	75502001	LAWRENCE, CHARLES		BLUEBIRD BIO INC COM	B	21,516.00	200.00	0.00	200.00 60.0 120.00
01/22/2018	75502001	LAWRENCE, CHARLES		JUNO THERAPEUTICS INC COM	S	46,383.30	250.00	0.00	250.00 60.0 150.00
01/22/2018	75502001	LAWRENCE, CHARLES		NINTENDO CO LTD-ADR NEW	S	32,880.00	300.00	0.00	300.00 60.0 180.00
01/22/2018	75502001	LAWRENCE, CHARLES		ROKU INC CLASS A COMMON STOCK	S	22,256.00	150.00	0.00	150.00 60.0 90.00
01/23/2018	75502001	LAWRENCE, CHARLES		EXTREME NETWORKS INC COM	S	14,961.90	75.00	0.00	75.00 60.0 45.00
01/23/2018	75502001	LAWRENCE, CHARLES		SQUARE INC CLASS A COM	B	20,525.11	200.00	0.00	200.00 60.0 120.00
01/24/2018	75502001	LAWRENCE, CHARLES		EXTREME NETWORKS INC COM	S	15,115.60	75.00	0.00	75.00 60.0 45.00
01/24/2018	75502001	LAWRENCE, CHARLES		LOXO ONCOLOGY INC COM	B	12,818.00	125.00	0.00	125.00 60.0 75.00
01/24/2018	75502001	LAWRENCE, CHARLES		LOXO ONCOLOGY INC COM	B	12,519.00	125.00	0.00	125.00 60.0 75.00
01/25/2018	75502001	LAWRENCE, CHARLES		BLUEBIRD BIO INC COM	B	13,951.00	105.00	0.00	105.00 60.0 63.00
01/25/2018	75502001	LAWRENCE, CHARLES		EXTREME NETWORKS INC COM	B	14,852.50	150.00	0.00	150.00 60.0 90.00
01/25/2018	75502001	LAWRENCE, CHARLES		JUNO THERAPEUTICS INC COM	S	56,681.53	250.00	0.00	250.00 60.0 150.00
01/26/2018	75502001	LAWRENCE, CHARLES		LOXO ONCOLOGY INC COM	S	27,196.00	125.00	0.00	125.00 60.0 75.00
01/26/2018	75502001	LAWRENCE, CHARLES		UNIVERSAL DISPLAY CORPORATION	B	20,450.00	150.00	0.00	150.00 60.0 90.00
01/29/2018	75502001	LAWRENCE, CHARLES		MATTEL INCORPORATED	B	17,096.42	150.00	0.00	150.00 60.0 90.00
01/02/2018	75502002	LAWRENCE, WILLIAM		DIREXION SHS ETF TR DAILY FINL BULL	B	17,594.67	175.00	0.00	175.00 60.0 105.00
01/02/2018	75502002	LAWRENCE, WILLIAM		GW PHARMACEUTICALS PLC ADS	S	6,680.00	55.00	0.00	55.00 60.0 33.00
01/02/2018	75502002	LAWRENCE, WILLIAM		PIONEER NATURAL RESOURCES COMPANY	S	8,758.50	55.00	0.00	55.00 60.0 33.00
01/03/2018	75502002	LAWRENCE, WILLIAM		DIREXION SHARES ETF TRUST DIREXION	B	11,974.82	120.00	0.00	120.00 60.0 72.00
01/03/2018	75502002	LAWRENCE, WILLIAM		MARATHON OIL CORP	S	10,164.81	55.00	0.00	55.00 60.0 33.00
01/03/2018	75502002	LAWRENCE, WILLIAM		PIONEER NATURAL RESOURCES COMPANY	S	17,660.00	75.00	0.00	75.00 60.0 45.00
01/04/2018	75502002	LAWRENCE, WILLIAM		BLUEBIRD BIO INC COM	B	13,248.75	125.00	0.00	125.00 60.0 75.00
01/05/2018	75502002	LAWRENCE, WILLIAM		DIREXION SHARES ETF TRUST DIREXION	B	5,963.80	55.00	0.00	55.00 60.0 33.00
01/08/2018	75502002	LAWRENCE, WILLIAM		DIREXION SHS ETF TR DAILY FINL BULL	S	18,496.32	100.00	0.00	100.00 60.0 60.00
01/08/2018	75502002	LAWRENCE, WILLIAM		EXTREME NETWORKS INC COM	B	7,369.60	75.00	0.00	75.00 60.0 45.00
01/09/2018	75502002	LAWRENCE, WILLIAM		GW PHARMACEUTICALS PLC ADS	S	16,581.25	75.00	0.00	75.00 60.0 45.00
01/10/2018	75502002	LAWRENCE, WILLIAM		EXTREME NETWORKS INC COM	B	7,087.92	75.00	0.00	75.00 60.0 45.00
01/11/2018	75502002	LAWRENCE, WILLIAM		MARATHON OIL CORP	S	7,946.40	55.00	0.00	55.00 60.0 33.00
01/12/2018	75502002	LAWRENCE, WILLIAM		SQUARE INC CLASS A COM	B	14,823.58	150.00	0.00	150.00 60.0 90.00
01/19/2018	75502002	LAWRENCE, WILLIAM		BLUEBIRD BIO INC COM	B	13,185.00	125.00	0.00	125.00 60.0 75.00
01/19/2018	75502002	LAWRENCE, WILLIAM		EXTREME NETWORKS INC COM	S	15,347.36	75.00	0.00	75.00 60.0 45.00
01/22/2018	75502002	LAWRENCE, WILLIAM		JUNO THERAPEUTICS INC COM	S	30,618.75	225.00	0.00	225.00 60.0 135.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/22/2018	75502002	LAWRENCE, WILLIAM	SHOPIFY INC CL A ISIN#CA82509L1076	S	8,823.75	55.00	0.00	55.00	60.0 33.00
01/23/2018	75502002	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	B	18,952.50	175.00	0.00	175.00	60.0 105.00
01/23/2018	75502002	LAWRENCE, WILLIAM	JUNO THERAPEUTICS INC COM	S	30,501.60	150.00	0.00	150.00	60.0 90.00
01/24/2018	75502002	LAWRENCE, WILLIAM	UNIVERSAL DISPLAY CORPORATION	B	17,871.50	150.00	0.00	150.00	60.0 90.00
01/25/2018	75502002	LAWRENCE, WILLIAM	NUANCE COMMUNICATIONS INC	B	13,694.21	125.00	0.00	125.00	60.0 75.00
01/29/2018	75502002	LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	B	8,670.80	100.00	0.00	100.00	60.0 60.00
01/22/2018	75502003	LAWRENCE, SARAH	JUNO THERAPEUTICS INC COM	S	8,587.00	55.00	0.00	55.00	60.0 33.00
01/22/2018	75502003	LAWRENCE, SARAH	MARATHON OIL CORP	S	10,550.40	55.00	0.00	55.00	60.0 33.00
01/03/2018	75502005	SHEMIN, VICKI	GW PHARMACEUTICALS PLC ADS	S	13,613.00	55.00	0.00	55.00	60.0 33.00
01/05/2018	75502005	SHEMIN, VICKI	CONSTELLATION BRANDS INC CL A	S	14,280.66	55.00	0.00	55.00	60.0 33.00
01/05/2018	75502005	SHEMIN, VICKI	GARTNER INC	S	6,415.50	55.00	0.00	55.00	60.0 33.00
01/10/2018	75502005	SHEMIN, VICKI	ALASKA AIR GROUP INC	S	25,193.00	55.00	0.00	55.00	60.0 33.00
01/12/2018	75502005	SHEMIN, VICKI	BOEING CO	B	33,100.00	55.00	0.00	55.00	60.0 33.00
01/18/2018	75502005	SHEMIN, VICKI	FIRST DATA CORP NEW COM CL A	S	9,769.65	55.00	0.00	55.00	60.0 33.00
01/18/2018	75502005	SHEMIN, VICKI	PALO ALTO NETWORKS INC COM	B	15,772.56	55.00	0.00	55.00	60.0 33.00
01/19/2018	75502005	SHEMIN, VICKI	NIKE INC CL B	B	20,107.50	55.00	0.00	55.00	60.0 33.00
01/17/2018	75502006	SHEMIN, VICKI	STARBUCKS CORP	S	7,588.13	55.00	0.00	55.00	60.0 33.00
01/04/2018	75502008	DEC, JOHN	FACEBOOK INC CL A	S	18,548.70	0.00	0.00	0.00	60.0 0.00
01/08/2018	75502008	DEC, JOHN	CONTINENTAL RESOURCES INC	S	5,557.25	0.00	0.00	0.00	60.0 0.00
01/10/2018	75502008	DEC, JOHN	MORGAN STANLEY DEAN WITTER & CO	S	2,169.30	0.00	0.00	0.00	60.0 0.00
01/22/2018	75502008	DEC, JOHN	IQVIA HOLDINGS INC COMMON STOCK	B	10,261.86	0.00	0.00	0.00	60.0 0.00
01/24/2018	75502008	DEC, JOHN	JUNO THERAPEUTICS INC COM	S	21,450.00	0.00	0.00	0.00	60.0 0.00
01/04/2018	75502010	KEETER, LANCE	FACEBOOK INC CL A	S	2,789.29	0.00	0.00	0.00	60.0 0.00
01/08/2018	75502010	KEETER, LANCE	CONTINENTAL RESOURCES INC	S	2,777.51	0.00	0.00	0.00	60.0 0.00
01/22/2018	75502010	KEETER, LANCE	IQVIA HOLDINGS INC COMMON STOCK	B	5,129.00	0.00	0.00	0.00	60.0 0.00
01/23/2018	75502010	KEETER, LANCE	CONSTELLATION BRANDS INC CL A	S	4,409.20	0.00	0.00	0.00	60.0 0.00
01/24/2018	75502010	KEETER, LANCE	JUNO THERAPEUTICS INC COM	S	12,870.00	0.00	0.00	0.00	60.0 0.00
01/04/2018	75502011	DEC, JANICE	FACEBOOK INC CL A	S	9,281.63	0.00	0.00	0.00	60.0 0.00
01/08/2018	75502011	DEC, JANICE	CONTINENTAL RESOURCES INC	S	2,778.00	0.00	0.00	0.00	60.0 0.00
01/22/2018	75502011	DEC, JANICE	IQVIA HOLDINGS INC COMMON STOCK	B	5,131.50	0.00	0.00	0.00	60.0 0.00
01/24/2018	75502011	DEC, JANICE	JUNO THERAPEUTICS INC COM	S	12,870.00	0.00	0.00	0.00	60.0 0.00
01/02/2018	75502012	DEC, JOHN	BROADCOM LIMITED SHS	S	19,849.69	0.00	0.00	0.00	60.0 0.00
01/05/2018	75502012	DEC, JOHN	CELGENE CORP	B	20,993.28	0.00	0.00	0.00	60.0 0.00
01/16/2018	75502012	DEC, JOHN	STARBUCKS CORP	S	21,336.50	0.00	0.00	0.00	60.0 0.00
01/19/2018	75502012	DEC, JOHN	CONSTELLATION BRANDS INC CL A	S	32,787.54	0.00	0.00	0.00	60.0 0.00
01/22/2018	75502012	DEC, JOHN	IQVIA HOLDINGS INC COMMON STOCK	B	30,766.50	0.00	0.00	0.00	60.0 0.00
01/24/2018	75502012	DEC, JOHN	JUNO THERAPEUTICS INC COM	S	30,062.45	0.00	0.00	0.00	60.0 0.00
01/16/2018	75502014	DEC, JOHN	KANSAS CITY SOUTHERN IND	S	2,792.50	0.00	0.00	0.00	60.0 0.00
01/17/2018	75502014	DEC, JOHN	STARBUCKS CORP	S	3,038.50	0.00	0.00	0.00	60.0 0.00
01/04/2018	75502021	ARCARO, DANIEL	FACEBOOK INC CL A	S	1,859.33	0.00	0.00	0.00	60.0 0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/05/2018	75502021	ARCARO, DANIEL		CELGENE CORP	B	2,623.69	0.00	0.00	0.00 60.0 0.00
01/22/2018	75502021	ARCARO, DANIEL		IQVIA HOLDINGS INC COMMON STOCK	B	4,103.20	0.00	0.00	0.00 60.0 0.00
01/24/2018	75502021	ARCARO, DANIEL		JUNO THERAPEUTICS INC COM	S	8,580.00	0.00	0.00	0.00 60.0 0.00
12/28/2017	75502022	APPEL, CHARLES		IQVIA HOLDINGS INC COMMON STOCK	B	14,677.29	55.00	0.00	55.00 60.0 33.00
01/09/2018	75502022	APPEL, CHARLES		CONTINENTAL RESOURCES INC	S	4,194.76	55.00	0.00	55.00 60.0 33.00
01/05/2018	75502023	APPEL, CHARLES		PALO ALTO NETWORKS INC COM	S	7,630.13	55.00	0.00	55.00 60.0 33.00
01/09/2018	75502023	APPEL, CHARLES		CONTINENTAL RESOURCES INC	S	2,795.51	55.00	0.00	55.00 60.0 33.00
01/02/2018	75502035	ROMANO, LISA		QUALCOMM INC	S	1,629.81	0.00	0.00	0.00 60.0 0.00
01/03/2018	75502035	ROMANO, LISA		IQVIA HOLDINGS INC COMMON STOCK	B	2,487.25	0.00	0.00	0.00 60.0 0.00
01/08/2018	75502035	ROMANO, LISA		CONTINENTAL RESOURCES INC	S	1,389.00	0.00	0.00	0.00 60.0 0.00
01/22/2018	75502035	ROMANO, LISA		IQVIA HOLDINGS INC COMMON STOCK	B	2,052.80	0.00	0.00	0.00 60.0 0.00
01/24/2018	75502035	ROMANO, LISA		JUNO THERAPEUTICS INC COM	S	3,432.00	0.00	0.00	0.00 60.0 0.00
01/02/2018	75502036	ROMANO, MARK		QUALCOMM INC	S	2,281.04	0.00	0.00	0.00 60.0 0.00
01/03/2018	75502036	ROMANO, MARK		IQVIA HOLDINGS INC COMMON STOCK	B	3,979.60	0.00	0.00	0.00 60.0 0.00
01/08/2018	75502036	ROMANO, MARK		CONTINENTAL RESOURCES INC	S	1,388.50	0.00	0.00	0.00 60.0 0.00
01/22/2018	75502036	ROMANO, MARK		IQVIA HOLDINGS INC COMMON STOCK	B	3,076.80	0.00	0.00	0.00 60.0 0.00
01/24/2018	75502036	ROMANO, MARK		JUNO THERAPEUTICS INC COM	S	8,580.00	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502041	MCCORD, ELIZABETH		AMGEN INC	B	8,967.88	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502041	MCCORD, ELIZABETH		BOSTON BEER CO INC-CL A	S	9,372.50	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502041	MCCORD, ELIZABETH		FACEBOOK INC CL A	S	46,250.00	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502041	MCCORD, ELIZABETH		ZOETIS INC CL A	B	10,854.45	0.00	0.00	0.00 60.0 0.00
01/10/2018	75502041	MCCORD, ELIZABETH		ISHARES SELECT DIVIDEND ETF	B	9,920.66	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ACCENTURE PLC IRELAND SHS CL A	S	17,191.41	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ADOBE SYSTEMS INC	S	25,134.75	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ALIGN TECHNOLOGY INC	S	12,509.10	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ALPHABET INC CLASS A COMMON STOCK	S	7,672.56	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ALPHABET INC CLASS C CAPITAL STOCK	S	22,800.12	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		AUTOMATIC DATA PROCESSING INC	S	17,692.77	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		CELGENE CORP	S	10,725.36	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		DOLLAR GENERAL CORPORATION	S	10,136.44	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		FACEBOOK INC CL A	S	22,834.20	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		GARTNER INC	S	11,712.52	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		MASTERCARD INCORPORATED	S	8,103.69	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		MICROSOFT CORP	S	13,352.31	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		NESTLE SA-SPONSORED ADR REPSTG REGD S	S	11,691.72	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		NIKE INC CL B	S	16,496.51	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		O REILLY AUTOMOTIVE INC	S	14,910.79	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ORACLE CORPORATION	S	15,907.78	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		PRICELINE GROUP INC (THE)	S	12,675.53	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		REGENERON PHARMACEUTICALS INC	S	8,874.09	0.00	0.00	0.00 60.0 0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
01/04/2018	75502043	MCCORD, ELIZABETH		STARBUCKS CORP	S	15,282.30	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		VISA INC CL A COMMON STOCK	S	19,172.18	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502043	MCCORD, ELIZABETH		ZOETIS INC CL A	S	5,581.15	0.00	0.00	0.00 60.0 0.00
01/16/2018	75502043	MCCORD, ELIZABETH		FACEBOOK INC CL A	S	27,643.18	0.00	0.00	0.00 60.0 0.00
01/16/2018	75502043	MCCORD, ELIZABETH		MICROSOFT CORP	B	22,702.85	0.00	0.00	0.00 60.0 0.00
01/23/2018	75502043	MCCORD, ELIZABETH		CELGENE CORP	S	39,380.47	0.00	0.00	0.00 60.0 0.00
12/29/2017	75502044	MCCORD, ELIZABETH		SCHLUMBERGER LTD	S	10,071.39	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502044	MCCORD, ELIZABETH		AMGEN INC	B	2,690.66	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502044	MCCORD, ELIZABETH		BOSTON BEER CO INC-CL A	S	9,372.50	0.00	0.00	0.00 60.0 0.00
01/04/2018	75502044	MCCORD, ELIZABETH		ZOETIS INC CL A	B	12,653.62	0.00	0.00	0.00 60.0 0.00
01/10/2018	75502044	MCCORD, ELIZABETH		AMGEN INC	B	3,644.15	0.00	0.00	0.00 60.0 0.00
01/10/2018	75502044	MCCORD, ELIZABETH		ISHARES SELECT DIVIDEND ETF	B	29,767.98	0.00	0.00	0.00 60.0 0.00
01/10/2018	75502044	MCCORD, ELIZABETH		SPDR S&P DIVIDEND ETF	B	21,347.24	0.00	0.00	0.00 60.0 0.00
01/10/2018	75502044	MCCORD, ELIZABETH		ZOETIS INC CL A	B	5,537.06	0.00	0.00	0.00 60.0 0.00
01/03/2018	75502060	MASSEY, GEORGE		DYNEGY INC	S	2,992.95	0.00	0.00	0.00 60.0 0.00
01/03/2018	75502060	MASSEY, GEORGE		ZOETIS INC CL A	B	4,313.25	0.00	0.00	0.00 60.0 0.00
01/22/2018	75502104	LAWRENCE, CHARLES		JUNO THERAPEUTICS INC COM	S	4,292.51	51.00	0.00	51.00 60.0 30.60
01/08/2018	75502113	BEHAR, RAYMOND		TEREX CORP	B	9,379.24	0.00	0.00	0.00 60.0 0.00
01/18/2018	75502113	BEHAR, RAYMOND		STARBUCKS CORP	S	6,104.50	0.00	0.00	0.00 60.0 0.00
01/22/2018	75502113	BEHAR, RAYMOND		IQVIA HOLDINGS INC COMMON STOCK	B	7,695.74	0.00	0.00	0.00 60.0 0.00
01/08/2018	75502114	BEHAR, RAYMOND		TEREX CORP	B	4,693.62	0.00	0.00	0.00 60.0 0.00
01/11/2018	75502114	BEHAR, RAYMOND		PRICELINE GROUP INC (THE)	S	5,695.29	0.00	0.00	0.00 60.0 0.00
01/12/2018	75502114	BEHAR, RAYMOND		TAIWAN SEMICONDUCTOR MFG CO LTD-SPO	S	2,141.89	0.00	0.00	0.00 60.0 0.00
01/16/2018	75502114	BEHAR, RAYMOND		STARBUCKS CORP	S	6,090.00	0.00	0.00	0.00 60.0 0.00
01/22/2018	75502114	BEHAR, RAYMOND		IQVIA HOLDINGS INC COMMON STOCK	B	7,695.74	0.00	0.00	0.00 60.0 0.00
01/16/2018	75502115	BEHAR, RAYMOND		FACEBOOK INC CL A	S	4,308.03	0.00	0.00	0.00 60.0 0.00
01/16/2018	75502115	BEHAR, RAYMOND		MICROSOFT CORP	B	3,547.32	0.00	0.00	0.00 60.0 0.00
01/23/2018	75502115	BEHAR, RAYMOND		CELGENE CORP	S	6,212.43	0.00	0.00	0.00 60.0 0.00
* STOCKS SUBTOTAL *				\$3,232,371.22	\$10,944.08	\$0.00	\$10,944.08	\$6,543.60	

PRODUCT CATEGORY: RIA

01/17/2018	75501981	LAWRENCE, VICTORIA		FAS FEES PT. I/III	B	-501.91	436.66	0.00	436.66	60.0	262.00
01/09/2018	75501985	LAWRENCE, CHRISTOPHER		FAS FEES PT. I/III	B	9.10	-5.91	0.00	-5.91	60.0	0.00
01/17/2018	75501985	LAWRENCE, CHRISTOPHER		FAS FEES PT. I/III	B	-29.29	19.04	0.00	19.04	60.0	0.00
01/17/2018	75501986	LAWRENCE, CHRISTOPHER		FAS FEES PT. I/III	B	-185.56	154.63	0.00	154.63	60.0	92.78
01/17/2018	75501988	LAWRENCE, CHRISTOPHER		FAS FEES PT. I/III	B	-126.22	94.66	0.00	94.66	60.0	56.80
01/17/2018	75501989	BINES, BURTON		FAS FEES PT. I/III	B	-1,459.83	1,299.25	0.00	1,299.25	60.0	779.55
01/17/2018	75501990	LAWRENCE, JEFFREY		FAS FEES PT. I/III	B	-64.62	42.01	0.00	42.01	60.0	0.00
01/17/2018	75501991	NSH 81 LLC		FAS FEES PT. I/III	B	-1,351.92	1,203.21	0.00	1,203.21	60.0	721.93
01/17/2018	75501992	THE BARBARA & NEAL		FAS FEES PT. I/III	B	-465.79	405.23	0.00	405.23	60.0	243.14

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/17/2018	75502008	DEC, JOHN	FAS FEES PT. I/III	B	-283.03	232.09	0.00	232.09	60.0	139.25
01/17/2018	75502010	KEETER, LANCE	FAS FEES PT. I/III	B	-260.76	225.53	0.00	225.53	60.0	135.32
01/17/2018	75502011	DEC, JANICE	FAS FEES PT. I/III	B	-136.18	102.13	0.00	102.13	60.0	61.28
01/17/2018	75502012	DEC, JOHN	FAS FEES PT. I/III	B	-1,106.11	984.44	0.00	984.44	60.0	590.66
01/17/2018	75502013	DEC, JOHN	FAS FEES PT. I/III	B	-158.28	118.71	0.00	118.71	60.0	71.23
01/17/2018	75502014	DEC, JOHN	FAS FEES PT. I/III	B	-138.66	103.99	0.00	103.99	60.0	62.39
01/17/2018	75502021	ARCARO, DANIEL	FAS FEES PT. I/III	B	-125.64	101.87	0.00	101.87	60.0	61.12
01/17/2018	75502035	ROMANO, LISA	FAS FEES PT. I/III	B	-90.16	69.13	0.00	69.13	60.0	41.48
01/17/2018	75502036	ROMANO, MARK	FAS FEES PT. I/III	B	-147.19	122.66	0.00	122.66	60.0	73.60
01/17/2018	75502041	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-364.44	298.84	0.00	298.84	60.0	179.30
01/10/2018	75502043	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	213.42	-110.08	0.00	-110.08	60.0	0.00
01/17/2018	75502043	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-991.28	511.29	0.00	511.29	60.0	306.77
01/10/2018	75502044	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-224.66	195.45	0.00	195.45	60.0	117.27
01/17/2018	75502044	MCCORD, ELIZABETH	FAS FEES PT. I/III	B	-418.25	363.88	0.00	363.88	60.0	218.33
01/17/2018	75502060	MASSEY, GEORGE	FAS FEES PT. I/III	B	-113.49	90.79	0.00	90.79	60.0	54.47
01/17/2018	75502061	MASSEY, GEORGE	FAS FEES PT. I/III	B	-67.89	48.88	0.00	48.88	60.0	0.00
01/17/2018	75502113	BEHAR, RAYMOND	FAS FEES PT. I/III	B	-112.71	84.53	0.00	84.53	60.0	50.72
01/17/2018	75502114	BEHAR, RAYMOND	FAS FEES PT. I/III	B	-220.63	180.92	0.00	180.92	60.0	108.55
01/17/2018	75502115	BEHAR, RAYMOND	FAS FEES PT. I/III	B	-128.16	51.27	0.00	51.27	60.0	30.76
* RIA SUBTOTAL *					\$-9,050.14	\$7,425.10	\$0.00	\$7,425.10		\$4,458.70
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS										
01/04/2018	75502022	APPEL, CHARLES	UIT FIRST TRUST SER SABRIENT BAKERS S		16,099.15	0.00	0.00	0.00	60.0	0.00
01/04/2018	75502022	APPEL, CHARLES	UIT FT SABRIENT BAKERS DOZEN SER 20 B		16,089.76	201.17	0.00	201.17	60.0	120.70
01/04/2018	75502023	APPEL, CHARLES	UIT FIRST TRUST SER SABRIENT BAKERS S		23,175.43	0.00	0.00	0.00	60.0	0.00
01/04/2018	75502023	APPEL, CHARLES	UIT FT SABRIENT BAKERS DOZEN SER 20 B		23,168.83	289.68	0.00	289.68	60.0	173.81
01/04/2018	75502060	MASSEY, GEORGE	UIT FIRST TRUST SER SABRIENT BAKERS S		10,798.06	0.00	0.00	0.00	60.0	0.00
01/04/2018	75502060	MASSEY, GEORGE	UIT FT SABRIENT BAKERS DOZEN SER 20 B		10,791.48	0.00	0.00	0.00	60.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *					\$100,122.71	\$490.85	\$0.00	\$490.85		\$294.51
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75502005	SHEMIN, VICKI	THE INCOME FUND OF AMERICA-A	B	0.00	2.53	0.00	2.53	60.0	1.52
01/10/2018	75502022	APPEL, CHARLES	MFS INTERNTL DIVERSIFICATION FUND-A B		0.00	5.49	0.00	5.49	60.0	3.29
01/10/2018	75502023	APPEL, CHARLES	**CLEARBRIDGE AGGRESSIVE	B	0.00	10.01	0.00	10.01	60.0	6.01
01/10/2018	75502023	APPEL, CHARLES	JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	10.64	0.00	10.64	60.0	6.38
01/10/2018	75502023	APPEL, CHARLES	JANUS INVT FD TRITON FD CL C	B	0.00	17.31	0.00	17.31	60.0	10.39
01/10/2018	75502023	APPEL, CHARLES	JOHN HANCOCK FDS III DISCIPLINED VA B		0.00	11.29	0.00	11.29	60.0	6.77
01/10/2018	75502070	HAND, STEPHEN	AMERICAN HIGH-INCOME TRUST-C	B	0.00	18.32	0.00	18.32	60.0	10.99
01/10/2018	75502070	HAND, STEPHEN	LORD ABBETT SECS TR INTL DIVIDEND I B		0.00	10.55	0.00	10.55	60.0	6.33
01/10/2018	75502070	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND B		0.00	10.13	0.00	10.13	60.0	6.08

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/10/2018	75502070	HAND, STEPHEN		LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	8.95	0.00	8.95	60.0	5.37
01/10/2018	75502070	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE B		0.00	11.11	0.00	11.11	60.0	6.67
01/18/2018	75502070	HAND, STEPHEN		**ALPS ALERIAN MLP	B	0.00	6.53	0.00	6.53	60.0	3.92
01/18/2018	75502070	HAND, STEPHEN		**ALPS ALERIAN MLP	B	0.00	19.60	0.00	19.60	60.0	11.76
01/18/2018	75502070	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B		0.00	9.33	0.00	9.33	60.0	5.60
01/18/2018	75502070	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B		0.00	27.99	0.00	27.99	60.0	16.79
01/10/2018	75502071	HAND, STEPHEN		AMERICAN HIGH-INCOME TRUST-C	B	0.00	2.29	0.00	2.29	60.0	1.37
01/10/2018	75502071	HAND, STEPHEN		LORD ABBETT MULTI ASSET INCOME FUND B		0.00	2.53	0.00	2.53	60.0	1.52
01/10/2018	75502071	HAND, STEPHEN		NUVEEN SYMPHONY CREDIT OPPORTUNITIE B		0.00	2.78	0.00	2.78	60.0	1.67
01/18/2018	75502071	HAND, STEPHEN		**ALPS ALERIAN MLP	B	0.00	1.31	0.00	1.31	60.0	0.79
01/18/2018	75502071	HAND, STEPHEN		**ALPS ALERIAN MLP	B	0.00	3.94	0.00	3.94	60.0	2.36
01/18/2018	75502071	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B		0.00	6.99	0.00	6.99	60.0	4.19
01/18/2018	75502071	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT B		0.00	2.33	0.00	2.33	60.0	1.40
01/10/2018	75502073	HAND FAMILY LP		**AB HIGH INCOME MUNICIPAL	B	0.00	183.22	0.00	183.22	60.0	109.93
01/10/2018	75502073	HAND FAMILY LP		LORD ABBETT TAX-FREE INCOME TR HIGH B		0.00	129.22	0.00	129.22	60.0	77.53
01/18/2018	75502073	HAND FAMILY LP		**ALPS ALERIAN MLP	B	0.00	62.33	0.00	62.33	60.0	37.40
01/18/2018	75502073	HAND FAMILY LP		**ALPS ALERIAN MLP	B	0.00	20.78	0.00	20.78	60.0	12.47
01/18/2018	75502073	HAND FAMILY LP		ALPS RED ROCKS LISTED PRIVATE EQUIT B		0.00	34.64	0.00	34.64	60.0	20.78
01/18/2018	75502073	HAND FAMILY LP		ALPS RED ROCKS LISTED PRIVATE EQUIT B		0.00	103.91	0.00	103.91	60.0	62.35
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$736.05	\$0.00	\$736.05		\$441.63
				*** BROKER TRANSACTIONS TOTAL ***		\$3,335,798.96	\$19,596.08	\$0.00	\$19,596.08		\$11,738.44

ADJUSTMENTS

01/31/2018		OTHER: OTHER-FINRA AND SIPC		0.00	0.00	0.00	0.00		-27.84
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-27.84

BROKER COMMISSION TOTALS

Broker Transactions	\$11,738.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.84
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-24.50
SIPC Assessment	\$-48.99

Check Amount	\$11,637.11
Year-to-date Earnings	\$11,637.11