

**COMMISSION STATEMENT****March 15, 2018**NATHANIEL, K134 KUHN
612 S. Bristol LaneBROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
02/22/2018	BIR, AMIT	WAVELAND RESOURCE PARTNERS IV	25,000.00	2,000.00	0.00	2,000.00	90.0	1,800.00
02/22/2018	DRINAN, MARK	WAVELAND RESOURCE PARTNERS IV	25,000.00	2,000.00	0.00	2,000.00	90.0	1,800.00
* OIL & GAS SUBTOTAL *			\$50,000.00	\$4,000.00	\$0.00	\$4,000.00		\$3,600.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
02/09/2018	BAKER TRUST, DAVID	INLAND COLORADO MF PF III DST	764,876.02	38,243.80	0.00	38,243.80	90.0	34,419.42
02/23/2018	SPARTAN INVESTMENTS	INLAND COLORADO MF PF III DST	647,066.25	32,353.31	0.00	32,353.31	90.0	29,117.98
* 1031 EXCHANGES SUBTOTAL *			\$1,411,942.27	\$70,597.11	\$0.00	\$70,597.11		\$63,537.40
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/15/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 06 - 08 - A	100.00	3.00	0.00	3.00	90.0	2.70
02/15/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	100.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	BAXTER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	25.00	0.75	0.00	0.75	90.0	0.68
03/01/2018	WILSON, JOE	FT GROWTH ALLOC A	50.00	2.50	0.00	2.50	90.0	2.25
02/12/2018	REHMAN, AFSHAN	FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
02/12/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
02/12/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
02/26/2018	REHMAN, AFSHAN	FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
02/26/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
02/26/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
02/15/2018	LAMPI, KEITH	MODERATE AGE BASED 0 - 02 - A	166.00	4.98	0.00	4.98	90.0	4.48
02/15/2018	WASPI, GRANT	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	ARIAS, MELISSA	MODERATE AGE BASED 0 - 02 - A	300.00	9.00	0.00	9.00	90.0	8.10
02/20/2018	MARIQUINA, JACQUELINE	MODERATE AGE BASED 0 - 02 - A	10.00	0.30	0.00	0.30	90.0	0.27
02/20/2018	MACK, ALEXANDER	MODERATE AGE BASED 0 - 02 - A	250.00	7.50	0.00	7.50	90.0	6.75
02/20/2018	NORRIS, VALERIE	MODERATE AGE BASED 0 - 02 - A	150.00	4.50	0.00	4.50	90.0	4.05
02/20/2018	ROLLINGER, LAUREN	MODERATE AGE BASED 0 - 02 - A	30.00	0.90	0.00	0.90	90.0	0.81

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
02/20/2018	TUCCINI, MELISSA	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	TUCCINI, MELISSA	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	DEUTSCH, SCOTT	MODERATE AGE BASED 0 - 02 - A	385.00	11.55	0.00	11.55	90.0	10.40
02/20/2018	BRANNAN, JESSE	MODERATE AGE BASED 0 - 02 - A	250.00	7.50	0.00	7.50	90.0	6.75
02/20/2018	GASPER, JAYSON	MODERATE AGE BASED 0 - 02 - A	25.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	LAMPI, KEITH	MODERATE AGE BASED 06 - 08 - A	667.00	20.01	0.00	20.01	90.0	18.01
02/15/2018	GREINER, PAUL	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
02/15/2018	LAMPI, KEITH	MODERATE AGE BASED 06 - 08 - A	833.00	24.99	0.00	24.99	90.0	22.49
02/16/2018	MARTIN, JOELLYN	MODERATE AGE BASED 06 - 08 - A	250.00	7.50	0.00	7.50	90.0	6.75
02/20/2018	MEYER, ELIZABETH	MODERATE AGE BASED 06 - 08 - A	25.00	0.75	0.00	0.75	90.0	0.68
02/20/2018	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
02/23/2018	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	500.00	15.00	0.00	15.00	90.0	13.50
02/23/2018	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	500.00	15.00	0.00	15.00	90.0	13.50
02/28/2018	COLAN, MICHAEL	MODERATE AGE BASED 06 - 08 - A	75.00	2.25	0.00	2.25	90.0	2.03
02/28/2018	COLAN, MICHAEL	MODERATE AGE BASED 06 - 08 - A	75.00	2.25	0.00	2.25	90.0	2.03
02/15/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 09 - 10 - A	833.00	24.99	0.00	24.99	90.0	22.49
02/20/2018	MEYER, ELIZABETH	MODERATE AGE BASED 09 - 10 - A	25.00	0.75	0.00	0.75	90.0	0.68
02/28/2018	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	75.00	2.25	0.00	2.25	90.0	2.03
02/15/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 11 - 12 - A	833.00	24.99	0.00	24.99	90.0	22.49
02/15/2018	WELLINGTON, ERICA	MODERATE AGE BASED 11 - 12 - A	250.00	7.50	0.00	7.50	90.0	6.75
02/20/2018	HIRSTIUS, KERRIE	MODERATE AGE BASED 11 - 12 - A	255.00	7.65	0.00	7.65	90.0	6.89
02/20/2018	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03 - 05 -	111.00	3.33	0.00	3.33	90.0	3.00
02/07/2018	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
02/05/2018	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 09-10-A	200.00	6.00	0.00	6.00	90.0	5.40
02/20/2018	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11 - 12 -	25.00	0.75	0.00	0.75	90.0	0.68
02/05/2018	BRANNAN, JESSE	UBT MODERATE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
02/01/2018	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
02/01/2018	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
02/01/2018	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
02/01/2018	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
02/01/2018	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
02/05/2018	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
02/05/2018	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
02/01/2018	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
02/01/2018	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
02/01/2018	REIDY, TIFFANY	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
02/01/2018	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
02/01/2018	SAAM, ROBERT	UBT MODERATE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
02/01/2018	CATANESE, JODY	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
02/05/2018	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
02/05/2018	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
02/01/2018	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	MUELLNER, MARK	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	917.00	27.51	0.00	27.51	90.0	24.76
02/01/2018	STETTER, MARIA	UBT MODERATE AGE BASED 11-12-A	50.00	1.50	0.00	1.50	90.0	1.35
02/05/2018	WILKINS, AARON	UBT MODERATE AGE BASED 11-12-A	150.00	4.50	0.00	4.50	90.0	4.05
02/01/2018	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	100.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15 - 16 - A	100.00	3.00	0.00	3.00	90.0	2.70
02/05/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	50.00	1.50	0.00	1.50	90.0	1.35
02/01/2018	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 17-18-A	300.00	9.00	0.00	9.00	90.0	8.10
02/05/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 19+ - A	100.00	3.00	0.00	3.00	90.0	2.70
02/05/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	50.00	1.50	0.00	1.50	90.0	1.35
02/15/2018	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	300.00	9.00	0.00	9.00	90.0	8.10
02/15/2018	GREINER, PAUL	UNION B&T MODERATE AGE BASED 03-05	150.00	4.50	0.00	4.50	90.0	4.05
02/16/2018	MARTIN, JOELLYN	UNION B&T MODERATE AGE BASED 03-05	250.00	7.50	0.00	7.50	90.0	6.75
02/20/2018	WILSON, JOE	UNION B&T MODERATE AGE BASED 03-05	30.00	0.90	0.00	0.90	90.0	0.81
02/20/2018	CATANESE, JODY	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	DEUTSCH, SCOTT	UNION B&T MODERATE AGE BASED 03-05	385.00	11.55	0.00	11.55	90.0	10.40

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
02/20/2018	TUCKER, BRANDON	UNION B&T MODERATE AGE BASED 03-05	250.00	7.50	0.00	7.50	90.0	6.75
02/20/2018	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	30.00	0.90	0.00	0.90	90.0	0.81
02/20/2018	SILKAITIS, MYIA	UNION B&T MODERATE AGE BASED 03-05	50.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	MARIQUINA, JACQUELINE	UNION B&T MODERATE AGE BASED 03-05	25.00	0.75	0.00	0.75	90.0	0.68
02/20/2018	MEGER, AMANDA	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
* 529 PLANS SUBTOTAL *			\$14,851.00	\$446.55	\$0.00	\$446.55		\$401.96
PRODUCT CATEGORY: MUTUAL FUNDS								
02/20/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 0 - 02 - A	100.00	3.00	0.00	3.00	90.0	2.70
02/20/2018	STETTER, MARIA	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	90.0	1.69
02/20/2018	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
03/01/2018	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
02/21/2018	GOODMAN, MICHAEL	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
03/01/2018	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
03/01/2018	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
02/23/2018	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
03/01/2018	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
03/01/2018	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
03/01/2018	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2018	CHASE, LINDA	FT MODERATE ALLOCATION FUND C	100.00	1.00	0.00	1.00	90.0	0.90
03/01/2018	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
03/01/2018	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	WELLINGTON, ERICA	MODERATE AGE BASED 13 - 14 - A	300.00	9.00	0.00	9.00	90.0	8.10
/ /	VOLKOBBER, SUSAN	UBT FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
02/20/2018	VOLKOBBER, SUSAN	UBT FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$1,300.00	\$39.77	\$0.00	\$39.77		\$35.79
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/01/2018	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
02/27/2018	LOIKA, GREGORY	SBL ADVISOR PROGRAM	7,436.98	6.20	0.00	6.20	90.0	5.58
02/27/2018	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	7,441.38	6.20	0.00	6.20	90.0	5.58
* VARIABLE ANNUITIES SUBTOTAL *			\$15,378.36	\$38.65	\$0.00	\$38.65		\$34.79

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	RUSSELL, ELIZABETH	AM FUNDS 2045 TARGET DATE-A	0.00	5.71	0.00	5.71	90.0	5.14
03/01/2018	WELLINGTON, AARON	AM FUNDS AMCAP A	0.00	5.02	0.00	5.02	90.0	4.52
03/01/2018	STETTER, MARIA	AM FUNDS AMCAP A	0.00	9.59	0.00	9.59	90.0	8.63
03/01/2018	KOVACH, ERIC	AM FUNDS AMCAP A	0.00	5.18	0.00	5.18	90.0	4.66
03/01/2018	GREINER, PAUL	AM FUNDS AMCAP A	0.00	2.10	0.00	2.10	90.0	1.89
03/01/2018	RAPATA, DANIEL	AM FUNDS AMCAP A	0.00	1.66	0.00	1.66	90.0	1.49
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	0.00	1.18	0.00	1.18	90.0	1.06
03/01/2018	FUSCO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	3.01	0.00	3.01	90.0	2.71
03/01/2018	WELLINGTON, AARON	AM FUNDS AMERICAN BALANCED A	0.00	1.78	0.00	1.78	90.0	1.60
03/01/2018	ATKINSON, ANGELA	AM FUNDS AMERICAN BALANCED A	0.00	4.30	0.00	4.30	90.0	3.87
03/01/2018	FUSCO, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	90.0	1.38
03/01/2018	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	0.00	8.12	0.00	8.12	90.0	7.31
03/01/2018	MARASOVICH, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	5.29	0.00	5.29	90.0	4.76
03/01/2018	DELLAPORTAS, VASILIS	AM FUNDS AMERICAN BALANCED A	0.00	0.84	0.00	0.84	90.0	0.76
03/01/2018	HEIMANN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.60	0.00	0.60	90.0	0.54
03/01/2018	LOIKA, GREGORY	AM FUNDS AMERICAN BALANCED A	0.00	1.60	0.00	1.60	90.0	1.44
03/01/2018	WILKINS, LYN	AM FUNDS AMERICAN BALANCED A	0.00	2.25	0.00	2.25	90.0	2.03
03/01/2018	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	0.00	2.83	0.00	2.83	90.0	2.55
03/01/2018	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	0.00	56.86	0.00	56.86	90.0	51.17
03/01/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	0.00	36.20	0.00	36.20	90.0	32.58
03/01/2018	STETTER, MARIA	AM FUNDS AMERICAN MUTUAL A	0.00	3.95	0.00	3.95	90.0	3.56
03/01/2018	GOODMAN, MICHAEL	AM FUNDS BALANCED PORT A	0.00	1.43	0.00	1.43	90.0	1.29
03/01/2018	WELLINGTON, AARON	AM FUNDS BOND FUND OF AMERICA A	0.00	1.02	0.00	1.02	90.0	0.92
03/01/2018	JACKER, RITA	AM FUNDS BOND FUND OF AMERICA A	0.00	5.26	0.00	5.26	90.0	4.73
03/01/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	0.00	12.27	0.00	12.27	90.0	11.04
03/01/2018	RUNDELL, TRACY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	LOPEZ, JOSE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	90.0	1.10
03/01/2018	RUNDELL, TRACY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	ATKINSON, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.93	0.00	4.93	90.0	4.44
03/01/2018	DEUTSCH, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.18	0.00	2.18	90.0	1.96
03/01/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	17.54	0.00	17.54	90.0	15.79
03/01/2018	GOODMAN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.04	0.00	2.04	90.0	1.84
03/01/2018	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.30	0.00	16.30	90.0	14.67

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	WELLINGTON, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.22	0.00	2.22	90.0	2.00
03/01/2018	JACKER, RITA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.73	0.00	3.73	90.0	3.36
03/01/2018	DELLAPORTAS, VASILIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.36	0.00	0.36	90.0	0.32
03/01/2018	RAPATA, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.18	0.00	1.18	90.0	1.06
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.84	0.00	0.84	90.0	0.76
03/01/2018	LOIKA, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.24	0.00	1.24	90.0	1.12
03/01/2018	TOLLEFSON, LOUANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.50	0.00	3.50	90.0	3.15
03/01/2018	WILKINS, LYN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.64	0.00	1.64	90.0	1.48
03/01/2018	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.30	0.00	5.30	90.0	4.77
03/01/2018	STETTER, MARIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.01	0.00	2.01	90.0	1.81
03/01/2018	BIEGERT, LAUREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.90	0.00	0.90	90.0	0.81
03/01/2018	RUNDELL, TRACY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	DELLAPORTAS, VASILIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.08	0.00	2.08	90.0	1.87
03/01/2018	RAPATA, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	1.47
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.17	0.00	1.17	90.0	1.05
03/01/2018	RAY, SARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.95	0.00	1.95	90.0	1.76
03/01/2018	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.95	0.00	3.95	90.0	3.56
03/01/2018	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	0.00	4.40	0.00	4.40	90.0	3.96
03/01/2018	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	0.00	4.98	0.00	4.98	90.0	4.48
03/01/2018	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	0.00	12.88	0.00	12.88	90.0	11.59
03/01/2018	MEYER, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.12	0.00	2.12	90.0	1.91
03/01/2018	LOPEZ, JOSE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.65	0.00	1.65	90.0	1.49
03/01/2018	GOODMAN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.34	0.00	6.34	90.0	5.71
03/01/2018	HIRSTIUS, CHARLES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.91	0.00	2.91	90.0	2.62
03/01/2018	PICKENS, ROBERT (RJ)	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
03/01/2018	RUNDELL, TRACY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.83	0.00	10.83	90.0	9.75
03/01/2018	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.13	0.00	11.13	90.0	10.02
03/01/2018	WELLINGTON, AARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.63	0.00	5.63	90.0	5.07
03/01/2018	ATKINSON, ANGELA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.81	0.00	11.81	90.0	10.63
03/01/2018	FUSCO, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	0.00	1.85	90.0	1.67
03/01/2018	JACKER, RITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.97	0.00	7.97	90.0	7.17
03/01/2018	KOVACH, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.13	0.00	10.13	90.0	9.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	GREINER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.18	0.00	1.18	90.0	1.06
03/01/2018	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.05	0.00	11.05	90.0	9.95
03/01/2018	LAMPI, SEAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.58	0.00	3.58	90.0	3.22
03/01/2018	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.71	0.00	8.71	90.0	7.84
03/01/2018	DELLAPORTAS, VASILIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.90	0.00	3.90	90.0	3.51
03/01/2018	RAPATA, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.18	0.00	2.18	90.0	1.96
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.60	0.00	1.60	90.0	1.44
03/01/2018	HEIMANN, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.12	0.00	2.12	90.0	1.91
03/01/2018	LOIKA, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.87	0.00	1.87	90.0	1.68
03/01/2018	CHILDS, EVON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.66	0.00	1.66	90.0	1.49
03/01/2018	HOLLENBECK, MARISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.04	0.00	5.04	90.0	4.54
03/01/2018	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.85	0.00	10.85	90.0	9.77
03/01/2018	GOODMAN, TIFFANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.64	0.00	8.64	90.0	7.78
03/01/2018	CATANESE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.31	0.00	2.31	90.0	2.08
03/01/2018	RAY, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	90.0	1.78
03/01/2018	RAY, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.86	0.00	1.86	90.0	1.67
03/01/2018	MANSKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.58	0.00	5.58	90.0	5.02
03/01/2018	WILKINS, LYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.49	0.00	2.49	90.0	2.24
03/01/2018	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.25	0.00	7.25	90.0	6.53
03/01/2018	STETTER, MARIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.38	0.00	6.38	90.0	5.74
03/01/2018	BIEGERT, LAUREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.42	0.00	1.42	90.0	1.28
03/01/2018	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.63	0.00	6.63	90.0	5.97
03/01/2018	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	0.00	3.49	90.0	3.14
03/01/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	0.00	56.17	0.00	56.17	90.0	50.55
03/01/2018	PERSHA, ADAM	AM FUNDS GROWTH PORT A	0.00	2.37	0.00	2.37	90.0	2.13
03/01/2018	MEYER, PATRICIA	AM FUNDS ICA A	0.00	1.66	0.00	1.66	90.0	1.49
03/01/2018	LOPEZ, JOSE	AM FUNDS ICA A	0.00	1.43	0.00	1.43	90.0	1.29
03/01/2018	ATKINSON, ANGELA	AM FUNDS ICA A	0.00	7.09	0.00	7.09	90.0	6.38
03/01/2018	JACKER, RITA	AM FUNDS ICA A	0.00	13.24	0.00	13.24	90.0	11.92
03/01/2018	KOVACH, ERIC	AM FUNDS ICA A	0.00	5.40	0.00	5.40	90.0	4.86
03/01/2018	GREINER, PAUL	AM FUNDS ICA A	0.00	7.73	0.00	7.73	90.0	6.96
03/01/2018	DELLAPORTAS, VASILIS	AM FUNDS ICA A	0.00	1.81	0.00	1.81	90.0	1.63
03/01/2018	RAPATA, DANIEL	AM FUNDS ICA A	0.00	2.20	0.00	2.20	90.0	1.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS ICA A	0.00	1.56	0.00	1.56	90.0	1.40
03/01/2018	HEIMANN, MARK	AM FUNDS ICA A	0.00	1.50	0.00	1.50	90.0	1.35
03/01/2018	LOIKA, GREGORY	AM FUNDS ICA A	0.00	1.57	0.00	1.57	90.0	1.41
03/01/2018	CHILDS, EVON	AM FUNDS ICA A	0.00	1.38	0.00	1.38	90.0	1.24
03/01/2018	HOLLENBECK, MARISSA	AM FUNDS ICA A	0.00	4.52	0.00	4.52	90.0	4.07
03/01/2018	TOLLEFSON, LOUANN	AM FUNDS ICA A	0.00	4.69	0.00	4.69	90.0	4.22
03/01/2018	CATANESE, SARAH	AM FUNDS ICA A	0.00	1.99	0.00	1.99	90.0	1.79
03/01/2018	RAY, SARA	AM FUNDS ICA A	0.00	1.50	0.00	1.50	90.0	1.35
03/01/2018	MANSKE, DONNA	AM FUNDS ICA A	0.00	5.11	0.00	5.11	90.0	4.60
03/01/2018	WILKINS, LYN	AM FUNDS ICA A	0.00	2.14	0.00	2.14	90.0	1.93
03/01/2018	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	0.00	6.61	0.00	6.61	90.0	5.95
03/01/2018	STETTER, MARIA	AM FUNDS ICA A	0.00	3.95	0.00	3.95	90.0	3.56
03/01/2018	BIEGERT, LAUREN	AM FUNDS ICA A	0.00	1.18	0.00	1.18	90.0	1.06
03/01/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	0.00	52.32	0.00	52.32	90.0	47.09
03/01/2018	JACKER, RITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.57	0.00	7.57	90.0	6.81
03/01/2018	WELLINGTON, AARON	AM FUNDS NEW ECONOMY A	0.00	6.37	0.00	6.37	90.0	5.73
03/01/2018	WELLINGTON, AARON	AM FUNDS NEW WORLD A	0.00	2.65	0.00	2.65	90.0	2.39
03/01/2018	RAPATA, DANIEL	AM FUNDS NEW WORLD A	0.00	1.16	0.00	1.16	90.0	1.04
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS NEW WORLD A	0.00	0.83	0.00	0.83	90.0	0.75
03/01/2018	DEUTSCH, SCOTT	AM FUNDS NEW WORLD A	0.00	2.01	0.00	2.01	90.0	1.81
03/01/2018	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	0.00	15.10	0.00	15.10	90.0	13.59
03/01/2018	HIRSTIUS, CHARLES	AM FUNDS SMALLCAP WORLD A	0.00	3.12	0.00	3.12	90.0	2.81
03/01/2018	FUSCO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	1.77	0.00	1.77	90.0	1.59
03/01/2018	WELLINGTON, AARON	AM FUNDS SMALLCAP WORLD A	0.00	2.75	0.00	2.75	90.0	2.48
03/01/2018	FUSCO, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	2.01	0.00	2.01	90.0	1.81
03/01/2018	LIEBERMAN, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	6.67	0.00	6.67	90.0	6.00
03/01/2018	KOVACH, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	9.43	0.00	9.43	90.0	8.49
03/01/2018	GREINER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	6.76	0.00	6.76	90.0	6.08
03/01/2018	MARASOVICH, THOMAS	AM FUNDS SMALLCAP WORLD A	0.00	5.56	0.00	5.56	90.0	5.00
03/01/2018	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	0.00	4.80	0.00	4.80	90.0	4.32
03/01/2018	DELLAPORTAS, VASILIS	AM FUNDS SMALLCAP WORLD A	0.00	1.03	0.00	1.03	90.0	0.93
03/01/2018	RAPATA, DANIEL	AM FUNDS SMALLCAP WORLD A	0.00	1.14	0.00	1.14	90.0	1.03
03/01/2018	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	0.00	0.81	0.00	0.81	90.0	0.73

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	RAY, SARA	AM FUNDS SMALLCAP WORLD A	0.00	1.47	0.00	1.47	90.0	1.32
03/01/2018	LIEBERMAN, DAVID	COLLEGE 2024 FUND - 529A	0.00	12.51	0.00	12.51	90.0	11.26
/ /	HOGLUND, KRISTEN	HARTFORD BALANCED ALLOCATION FUND C	0.00	0.14	0.00	0.14	90.0	0.13
/ /	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	0.00	5.30	0.00	5.30	90.0	4.77
/ /	SANTOS, LOURDES	HARTFORD CAPITAL APPRECIATION C	0.00	2.97	0.00	2.97	90.0	2.67
/ /	MEYER, WILLIAM	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.58	0.00	5.58	90.0	5.02
/ /	LOPEZ, JOSE	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.86	0.00	5.86	90.0	5.27
/ /	RAY, SCOTT	HARTFORD CONSERVATIVE ALLOC C	0.00	2.60	0.00	2.60	90.0	2.34
/ /	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	0.00	6.08	0.00	6.08	90.0	5.47
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	10.62	0.00	10.62	90.0	9.56
/ /	BAXTER, KIMBERLY	HARTFORD GROWTH ALLOCATION A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	EAR, SIEV	HARTFORD GROWTH ALLOCATION A	0.00	2.24	0.00	2.24	90.0	2.02
/ /	STEFFEN, NATHAN	HARTFORD GROWTH ALLOCATION A	0.00	4.88	0.00	4.88	90.0	4.39
/ /	BIEGERT, LAUREN	HARTFORD GROWTH ALLOCATION A	0.00	12.48	0.00	12.48	90.0	11.23
/ /	FLORES, KARINA	HARTFORD GROWTH ALLOCATION A	0.00	0.68	0.00	0.68	90.0	0.61
/ /	STEFFEN, NATHAN	HARTFORD GROWTH OPP A	0.00	1.85	0.00	1.85	90.0	1.67
/ /	DELLAPORTAS, VASILIS	HARTFORD TOTAL RETURN BOND A	0.00	1.65	0.00	1.65	90.0	1.49
/ /	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.20	0.00	4.20	90.0	3.78
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$806.89	\$0.00	\$806.89		\$726.29
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/16/2018	KUHN TRUST, LINDA	GUARDIAN VA TRAILS	149,701.15	93.56	0.00	93.56	90.0	84.20
02/28/2018	EDUCATION, BOARD OF	VOYA RETIREMENT & ANNUITY	8,090.04	41.26	0.00	41.26	90.0	37.13
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$157,791.19	\$134.82	\$0.00	\$134.82		\$121.33
*** DIRECT TRANSACTIONS TOTAL ***			\$1,651,262.82	\$76,063.79	\$0.00	\$76,063.79		\$68,457.56

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<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
03/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
03/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,141.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*FINRA: FL INDIV REG. FEE	0.00					-50.00
03/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
03/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
03/15/2018		*TECH: ADMIN-K JALOWIECKI	0.00					-130.00
03/15/2018		*TECH: ADMIN-W CLARK	0.00					-130.00
03/15/2018		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-135.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$68,457.56
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$23.66
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$68,481.22
Year-to-date Gross	\$126,773.98
Year-to-date Earnings	\$114,097.05

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