



# COMMISSION STATEMENT

## May 14, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/30/2018	***-**-87IN - HALL, J	AMCAP FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 3 Units @34.0400						
04/30/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @53.6500						
04/30/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 2 Units @64.0900						
05/08/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	997.00	37.38	3.00	34.38	90.0	30.94
	ACCOUNT #: LSR010824	BUY 21 Units @46.9000						
05/08/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	997.01	37.38	3.00	34.38	90.0	30.94
	ACCOUNT #: LSR010824	BUY 24 Units @42.0500						
04/30/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	119.00	3.27	0.00	3.27	90.0	2.94
	ACCOUNT #: 00084955124	BUY 3 Units @46.9100						
* MUTUAL FUNDS SUBTOTAL *			\$2,470.01	\$87.84	\$6.00	\$81.84		\$73.64
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/09/2018	***-**-351I - SOWERS, FBO	UBIQUITECH SOFTWARE CORP COM	240.00	8.99	8.99	0.00	90.0	0.00
	ACCOUNT #: LSF000278	SELL 30000 Units @0.0080						
* STOCKS SUBTOTAL *			\$240.00	\$8.99	\$8.99	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/02/2018	***-**-254J - OTHEGUY, JUAN &	CALVERT ULTRA SHORT INCOME - A	0.00	2.92	0.00	2.92	90.0	2.63
	ACCOUNT #: 00004910394	BUY 0 Units @0.0000						
05/07/2018	***-**-6114 - HARBIN GRE	FIDELITY ADV EQUITY GROWTH CL A	0.00	4.13	0.00	4.13	90.0	3.72
	ACCOUNT #: 00655706638	BUY 0 Units @0.0000						
05/07/2018	***-**-114I - HARBIN, GREGORY	FIDELITY ADV EQUITY GROWTH CL A	0.00	2.17	0.00	2.17	90.0	1.95
	ACCOUNT #: 00704750850	BUY 0 Units @0.0000						
05/07/2018	***-**-9283 - HARBIN, JOANNA	FIDELITY ADV GROWTH & INCOME CL A	0.00	6.12	0.00	6.12	90.0	5.51
	ACCOUNT #: 00504394842	BUY 0 Units @0.0000						
05/14/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS	0.00	35.39	0.00	35.39	90.0	31.85
		BUY 0 Units @0.0000						
05/14/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	6.98	0.00	6.98	90.0	6.28
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/14/2018	***-**-36IN - CLAWSON, ACCOUNT #: 7200017170	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	5.51	0.00	5.51	90.0	4.96
05/14/2018	***-**-339I - COBIAN, NANCY ACCOUNT #: 7200019226	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	17.06	0.00	17.06	90.0	15.35
05/14/2018	***-**-9117 - RAY, EILEEN ACCOUNT #: 7200037826	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	4.83	0.00	4.83	90.0	4.35
05/14/2018	***-**-3994 - RAY, CLYDE ACCOUNT #: 7200037829	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	4.92	0.00	4.92	90.0	4.43
04/30/2018	***-**-351C - SOWERS, CLIENTS ACCOUNT #: 000000000255036	TEMPLETON GBLB OPPS TR FUND - CLASS BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
04/30/2018	***-**-355P - HURD, JAMES ACCOUNT #: 000001978733426	TEMPLETON GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	90.0	0.73
04/30/2018	***-**-225P - HURD, KAILYN C ACCOUNT #: 000001978733440	TEMPLETON GROWTH FUND CLASS A BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	90.0	0.74
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$91.66</b>	<b>\$0.00</b>	<b>\$91.66</b>		<b>\$82.50</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
05/01/2018	***-**-4155 - OUELLETTE, JOYCE GENWORTH ACCOUNT #: T06422061	37250G277 BUY 0 Units @0.0000	0.00	39.25	0.00	39.25	90.0	35.33
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$39.25</b>	<b>\$0.00</b>	<b>\$39.25</b>		<b>\$35.33</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,710.01</b>	<b>\$227.74</b>	<b>\$14.99</b>	<b>\$212.75</b>		<b>\$191.47</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$191.47
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$191.47
						Year-to-date Earnings		\$21,807.93