



All Companies

05/30/2018
Page No. 1

COMMISSION STATEMENT

June 01, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/07/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50	60.0	0.30
05/07/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50	60.0	0.30
05/07/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		50.00	0.50	0.00	0.50	60.0	0.30
05/07/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B		50.00	0.50	0.00	0.50	60.0	0.30
05/07/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B		50.00	0.50	0.00	0.50	60.0	0.30
05/10/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50	60.0	0.30
05/10/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50	60.0	0.30
05/10/2018	0000000006330997 EVANGELISTA, AURELIO/BEATRIC	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75	60.0	0.45
05/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50	60.0	1.50
05/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50	60.0	1.50
05/10/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50	60.0	1.50
05/10/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88	60.0	1.13
05/10/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	60.0	1.13
05/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
05/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
05/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
05/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
05/10/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
05/10/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	7.50	0.00	7.50	60.0	4.50
05/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88	60.0	1.13
05/15/2018	00062049074 CALUNGSUD, KELLY	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88	60.0	1.13

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	M.								
05/15/2018	00062049074 CALUNGSUD, KELLY	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	60.0	0.90
	M.								
05/15/2018	00062049074 CALUNGSUD, KELLY	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88	60.0	1.13
	M.								
05/15/2018	00066945809 BARCENAS, RODOLFO	AMERICAN HIGH-INCOME TRUST-C	B	100.00	1.00	0.00	1.00	60.0	0.60
	L.								
05/15/2018	00066945809 BARCENAS, RODOLFO	AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
05/15/2018	00066945809 BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80	60.0	0.48
	L.								
05/15/2018	00066945809 BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	60.0	0.60
	L.								
05/15/2018	00066945809 BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
05/14/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60	60.0	0.36
05/14/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
05/14/2018	00073447265 JUMAO-AS, LAUREN	CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60	60.0	0.36
05/14/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
05/14/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
05/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83	60.0	0.50
05/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
05/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
05/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
05/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
05/15/2018	00075871895 SEGUNDO, LORENCE/DANIEL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50	60.0	1.50
05/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90	60.0	0.54
05/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13	60.0	0.68
05/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90	60.0	0.54
05/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13	60.0	0.68

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13	60.0	0.68
05/10/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	60.0	0.90
05/10/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50	60.0	1.50
05/15/2018	00082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25	60.0	2.55
05/15/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00	60.0	1.80
05/15/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
05/15/2018	00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00	60.0	1.80
05/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
05/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
05/10/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
05/10/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
05/10/2018	04038841757 JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50	60.0	1.50
05/15/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD CL A	B	100.00	2.00	0.00	2.00	60.0	1.20
05/01/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00	60.0	0.60
05/15/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME FD CL B	B	100.00	3.75	0.00	3.75	60.0	2.25
05/01/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88	60.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$3,575.00	\$104.72	\$0.00	\$104.72		\$62.86
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/16/2018	DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	B	7,450.49	22.83	0.00	22.83	60.0	13.70
04/16/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44	60.0	0.86
04/16/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70	60.0	0.42
04/16/2018	ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	B	17.83	0.36	0.00	0.36	60.0	0.22
04/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
04/24/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49
05/04/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	0.51	0.51	0.00	0.51	60.0	0.31
05/15/2018	DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	B	283.14	8.50	0.00	8.50	60.0	5.10
05/15/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44	60.0	0.86

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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/15/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70	60.0	0.42
05/15/2018	ALONZO, KELLY/KYLER C.	-PACIFIC LIFE INSURANCE	B	17.83	0.36	0.00	0.36	60.0	0.22
04/18/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65	60.0	0.39
04/18/2018	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13	60.0	2.48
04/28/2018	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	43.00	1.40	0.00	1.40	60.0	0.84
05/07/2018	TRAILS	LINCOLN BENEFIT LIFE	B	2.21	2.21	0.00	2.21	60.0	1.33
05/17/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	46.50	1.51	0.00	1.51	60.0	0.91
05/17/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	38.50	1.25	0.00	1.25	60.0	0.75
05/18/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57	60.0	0.94
05/18/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02	60.0	0.61
05/18/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96	60.0	0.58
05/18/2018	CRUZ, HARRY	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17	60.0	1.90
05/18/2018	TRAILS	LINCOLN BENEFIT LIFE	B	144.23	144.23	0.00	144.23	60.0	86.54
* VARIABLE ANNUITIES SUBTOTAL *				\$8,667.70	\$200.63	\$0.00	\$200.63		\$120.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	5.27	0.00	5.27	60.0	3.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.27	\$0.00	\$5.27		\$3.16
*** BROKER TRANSACTIONS TOTAL ***				\$12,242.70	\$310.62	\$0.00	\$310.62		\$186.42

BROKER COMMISSION TOTALS

Broker Transactions	\$186.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$186.42
Year-to-date Earnings	\$6,922.36