

COMMISSION STATEMENT**January 25, 2018**

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: STOCKS**

01/25/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-109.66	0.00	-109.66	-55.71
01/25/2018	COOK (73756), STEVE	SAI SECURITIES INCOME	0.00	326.63	0.00	326.63	165.93
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$216.97</u>	<u>\$0.00</u>	<u>\$216.97</u>	<u>\$110.22</u>

PRODUCT CATEGORY: RIA

01/25/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	82,146.21	0.00	82,146.21	41,730.27
01/25/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	-1,276.57	0.00	-1,276.57	-648.50
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$80,869.64</u>	<u>\$0.00</u>	<u>\$80,869.64</u>	<u>\$41,081.77</u>

PRODUCT CATEGORY: TRAILERS

01/25/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	80.40	0.00	80.40	40.84
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$80.40</u>	<u>\$0.00</u>	<u>\$80.40</u>	<u>\$40.84</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$81,167.01</u>	<u>\$0.00</u>	<u>\$81,167.01</u>	<u>\$41,232.83</u>

ADJUSTMENTS

12. SAI SIPC PE 1/12/18							-10.30
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-10.30</u>

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$41,232.83
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-10.30
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$41,222.53</u>
							<u>Year-to-date Earnings \$43,713.39</u>