



Concorde Investment Services, LLC

03/14/2017
Page No. 1

COMMISSION STATEMENT

March 15, 2017

JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
02/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
02/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00
*** BROKER TRANSACTIONS TOTAL ***			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00

SPLIT TRANSACTIONS

BROKER: JEFF/JASON KAUFMAN/KEMBLE #349

03/02/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	19.92	0.00	19.92	90.0	17.93
03/02/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.65	0.00	0.65	90.0	0.59
02/22/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	40.16	0.18	0.00	0.18	90.0	0.16
02/22/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	40.16	0.18	0.00	0.18	90.0	0.16
02/22/2017	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	19.91	0.09	0.00	0.09	90.0	0.08
02/22/2017	WAMPLER, JESSICA	AM FUNDS AMERICAN BALANCED A	19.91	0.09	0.00	0.09	90.0	0.08
02/27/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	300,000.00	2,160.00	0.00	2,160.00	90.0	1,944.00
02/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
02/22/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	34.20	0.15	0.00	0.15	90.0	0.14
02/22/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	45.60	0.21	0.00	0.21	90.0	0.19
02/22/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	11.58	0.05	0.00	0.05	90.0	0.05
02/22/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	20.00	0.09	0.00	0.09	90.0	0.08
02/22/2017	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	12.54	0.06	0.00	0.06	90.0	0.05
02/22/2017	MCWARD, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	20.00	0.09	0.00	0.09	90.0	0.08
02/22/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	72.68	0.33	0.00	0.33	90.0	0.30
02/22/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	72.68	0.33	0.00	0.33	90.0	0.30
02/22/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	22.60	0.10	0.00	0.10	90.0	0.09
02/22/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	22.60	0.10	0.00	0.10	90.0	0.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
02/22/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	59.91	0.27	0.00	0.27	90.0	0.24
02/22/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	59.91	0.27	0.00	0.27	90.0	0.24
02/22/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	81.77	0.37	0.00	0.37	90.0	0.33
02/22/2017	MCMILLIAN, KATY	AM FUNDS GROWTH FUND OF AMERICA A	81.77	0.37	0.00	0.37	90.0	0.33
02/22/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	50.85	0.23	0.00	0.23	90.0	0.21
02/22/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	50.85	0.23	0.00	0.23	90.0	0.21
02/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
03/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	22.76	0.10	0.00	0.10	90.0	0.09
03/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	37.92	0.17	0.00	0.17	90.0	0.15
03/02/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	2.61	0.00	2.61	90.0	2.35
03/02/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	15.94	0.00	15.94	90.0	14.35
02/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
02/22/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.69	0.06	0.00	0.06	90.0	0.05
02/22/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.69	0.06	0.00	0.06	90.0	0.05
02/22/2017	WAHLIG, KACEY	AM FUNDS ICA A	40.17	0.18	0.00	0.18	90.0	0.16
02/22/2017	WAHLIG, KACEY	AM FUNDS ICA A	40.17	0.18	0.00	0.18	90.0	0.16
02/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	37.08	0.17	0.00	0.17	90.0	0.15
02/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	37.08	0.17	0.00	0.17	90.0	0.15
03/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	200.00	0.90	0.00	0.90	90.0	0.81
03/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	30.22	0.14	0.00	0.14	90.0	0.13
02/22/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	34.20	0.15	0.00	0.15	90.0	0.14
02/22/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	45.60	0.21	0.00	0.21	90.0	0.19
02/22/2017	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	19.91	0.09	0.00	0.09	90.0	0.08
02/22/2017	WAMPLER, JESSICA	AM FUNDS SMALLCAP WORLD A	19.91	0.09	0.00	0.09	90.0	0.08
02/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	37.08	0.17	0.00	0.17	90.0	0.15
02/22/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	37.08	0.17	0.00	0.17	90.0	0.15
02/22/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	50.85	0.23	0.00	0.23	90.0	0.21
02/22/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	50.85	0.23	0.00	0.23	90.0	0.21
03/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	7.55	0.04	0.00	0.04	90.0	0.04
03/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	50.00	0.23	0.00	0.23	90.0	0.21
03/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	22.75	0.10	0.00	0.10	90.0	0.09
03/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	37.93	0.17	0.00	0.17	90.0	0.15
03/03/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	250.00	5.63	0.00	5.63	90.0	5.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
03/02/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.65	0.00	0.65	90.0	0.59
03/02/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	3.98	0.00	3.98	90.0	3.58
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$304,462.11	\$2,221.69	\$0.00	\$2,221.69		\$1,999.54
*** SPLIT TRANSACTIONS TOTAL ***			\$304,462.11	\$2,221.69	\$0.00	\$2,221.69		\$1,999.54

<u>ADJUSTMENTS</u>								
03/15/2017		*EO INS: CURRENT 1/2 MONTH INS-KEMBL	0.00					-150.00
03/15/2017		*TECH: CURRENT 1/2 MONTH TECH-KEMBL	0.00					-137.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-287.50

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$9.00
Split Transactions	\$1,999.54
Override Transactions	\$0.00
Adjustments	\$-287.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-175.12
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,545.92
Year-to-date Gross	\$7,478.22
Year-to-date Earnings	\$6,730.52

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed