



# COMMISSION STATEMENT

January 31, 2017

JOSEPH (TERRY) MANN  
219 E. 31st Street

BROKER #: M132  
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
01/17/2017	PARKER, W KERRY	HOWARD CAPITAL	152,145.00	320.31	0.00	320.31	91.0	291.48
01/17/2017	NOVA, TEMPUS	MID ATLANTIC	0.00	2,455.82	0.00	2,455.82	91.0	2,234.80
01/23/2017	BLANCHARD, SARA	SAWTOOTH	0.00	328.18	0.00	328.18	91.0	298.64
01/23/2017	BLANCHARD, SARA	SAWTOOTH	0.00	339.65	0.00	339.65	91.0	309.08
01/23/2017	BLANCHARD, SARA	SAWTOOTH	0.00	10.14	0.00	10.14	91.0	9.23
01/23/2017	BRANSON, BENJAMIN	SAWTOOTH	0.00	43.48	0.00	43.48	91.0	39.57
01/23/2017	CUMMINGS, KRYSTA	SAWTOOTH	0.00	1,520.69	0.00	1,520.69	91.0	1,383.83
01/23/2017	CUMMINGS, KRYSTA	SAWTOOTH	0.00	8.01	0.00	8.01	91.0	7.29
01/23/2017	DABBS, STEVEN	SAWTOOTH	0.00	19.22	0.00	19.22	91.0	17.49
01/23/2017	DEWICK, JOANN	SAWTOOTH	0.00	288.64	0.00	288.64	91.0	262.66
01/23/2017	FOSTER, JOHN	SAWTOOTH	0.00	189.94	0.00	189.94	91.0	172.85
01/23/2017	FOSTER, JOHN	SAWTOOTH	0.00	109.15	0.00	109.15	91.0	99.33
01/23/2017	FOSTER, MARGO	SAWTOOTH	0.00	117.72	0.00	117.72	91.0	107.13
01/23/2017	FOSTER, JOHN	SAWTOOTH	0.00	255.93	0.00	255.93	91.0	232.90
01/23/2017	FOSTER, JOHN	SAWTOOTH	0.00	431.10	0.00	431.10	91.0	392.30
01/23/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	73.40	0.00	73.40	91.0	66.79
01/23/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	739.23	0.00	739.23	91.0	672.70
01/23/2017	GALLAGHER, MICHAEL	SAWTOOTH	0.00	476.62	0.00	476.62	91.0	433.72
01/23/2017	GODLESKI, KEVIN	SAWTOOTH	0.00	271.46	0.00	271.46	91.0	247.03
01/23/2017	GODLESKI, KIRSTEN	SAWTOOTH	0.00	191.16	0.00	191.16	91.0	173.96
01/23/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	93.32	0.00	93.32	91.0	84.92
01/23/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	1,077.15	0.00	1,077.15	91.0	980.21
01/23/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	1,219.93	0.00	1,219.93	91.0	1,110.14
01/23/2017	GODLESKI, VINCENT	SAWTOOTH	0.00	4,782.23	0.00	4,782.23	91.0	4,351.83
01/23/2017	GODLESKI, BARBARA	SAWTOOTH	0.00	316.93	0.00	316.93	91.0	288.41
01/23/2017	GODLESKI, KEVIN	SAWTOOTH	0.00	4,782.43	0.00	4,782.43	91.0	4,352.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/23/2017	HADAWAY, DEBORAH	SAWTOOTH	0.00	183.54	0.00	183.54	91.0	167.02
01/23/2017	HEALEY, BRIAN	SAWTOOTH	0.00	66.45	0.00	66.45	91.0	60.47
01/23/2017	HEALEY, BRIAN	SAWTOOTH	0.00	55.46	0.00	55.46	91.0	50.47
01/23/2017	HOFFMAN, ADON	SAWTOOTH	0.00	237.85	0.00	237.85	91.0	216.44
01/23/2017	HOFFMAN, ADON	SAWTOOTH	0.00	114.28	0.00	114.28	91.0	103.99
01/23/2017	HRITZ, WILLIAM	SAWTOOTH	0.00	846.89	0.00	846.89	91.0	770.67
01/23/2017	HRITZ, GAIL	SAWTOOTH	0.00	807.48	0.00	807.48	91.0	734.81
01/23/2017	JAMES, GILBERT	SAWTOOTH	0.00	266.74	0.00	266.74	91.0	242.73
01/23/2017	KARP, BENJAMIN	SAWTOOTH	0.00	233.81	0.00	233.81	91.0	212.77
01/23/2017	KARP, BENJAMIN	SAWTOOTH	0.00	59.12	0.00	59.12	91.0	53.80
01/23/2017	KASIAN, JUSTIN PHILLIP	SAWTOOTH	0.00	2,330.71	0.00	2,330.71	91.0	2,120.95
01/23/2017	KASIAN, PHYLLIS	SAWTOOTH	0.00	23.54	0.00	23.54	91.0	21.42
01/23/2017	KASIAN, EVAN	SAWTOOTH	0.00	109.33	0.00	109.33	91.0	99.49
01/23/2017	KRAUS, JOHN	SAWTOOTH	0.00	333.45	0.00	333.45	91.0	303.44
01/23/2017	KRAUS, JOHN	SAWTOOTH	0.00	71.69	0.00	71.69	91.0	65.24
01/23/2017	LAPE, ALEXIS	SAWTOOTH	0.00	88.20	0.00	88.20	91.0	80.26
01/23/2017	LAPE, ALEXIS	SAWTOOTH	0.00	91.76	0.00	91.76	91.0	83.50
01/23/2017	LEHMAN, HILDA	SAWTOOTH	0.00	395.33	0.00	395.33	91.0	359.75
01/23/2017	LONG, SUSAN	SAWTOOTH	0.00	112.60	0.00	112.60	91.0	102.47
01/23/2017	LONG, SUSAN	SAWTOOTH	0.00	358.72	0.00	358.72	91.0	326.44
01/23/2017	LONG, SUSAN	SAWTOOTH	0.00	478.44	0.00	478.44	91.0	435.38
01/23/2017	LONG, SUSAN	SAWTOOTH	0.00	812.43	0.00	812.43	91.0	739.31
01/23/2017	LONG, SUSAN	SAWTOOTH	0.00	420.68	0.00	420.68	91.0	382.82
01/23/2017	MANN, BARBARA	SAWTOOTH	0.00	11.81	0.00	11.81	91.0	10.75
01/23/2017	MCBRIDE, DOUGLAS	SAWTOOTH	0.00	937.70	0.00	937.70	91.0	853.31
01/23/2017	MCBRIDE, ALLIS	SAWTOOTH	0.00	79.59	0.00	79.59	91.0	72.43
01/23/2017	MOORE, THOMAS	SAWTOOTH	0.00	517.44	0.00	517.44	91.0	470.87
01/23/2017	MORGAN, JUDITH	SAWTOOTH	0.00	97.69	0.00	97.69	91.0	88.90
01/23/2017	MOSS, JANICE	SAWTOOTH	0.00	1,223.93	0.00	1,223.93	91.0	1,113.78
01/23/2017	NEUHAUSER, MITCHELL	SAWTOOTH	0.00	2,033.76	0.00	2,033.76	91.0	1,850.72
01/23/2017	NEUHAUSER, MITCH	SAWTOOTH	0.00	172.62	0.00	172.62	91.0	157.08
01/23/2017	NEUHAUSER, MITCH	SAWTOOTH	0.00	104.84	0.00	104.84	91.0	95.40
01/23/2017	NEUHAUSER, STACEY	SAWTOOTH	0.00	1,562.59	0.00	1,562.59	91.0	1,421.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: RIA								
01/23/2017	NEUHAUSER, STACEY	SAWTOOTH	0.00	58.85	0.00	58.85	91.0	53.55
01/23/2017	OTTENSMEYER, ERIC	SAWTOOTH	0.00	141.18	0.00	141.18	91.0	128.47
01/23/2017	PAI, YOGISH	SAWTOOTH	0.00	553.04	0.00	553.04	91.0	503.27
01/23/2017	PAI, ANITHA	SAWTOOTH	0.00	609.26	0.00	609.26	91.0	554.43
01/23/2017	PARKER, JEANINE	SAWTOOTH	0.00	2,154.60	0.00	2,154.60	91.0	1,960.69
01/23/2017	SAMPLES, FAY	SAWTOOTH	0.00	22.18	0.00	22.18	91.0	20.18
01/23/2017	SAMPLES, FAY	SAWTOOTH	0.00	10.27	0.00	10.27	91.0	9.35
01/23/2017	STEPHENS, DEE	SAWTOOTH	0.00	43.12	0.00	43.12	91.0	39.24
01/23/2017	STONE, ERIN	SAWTOOTH	0.00	237.29	0.00	237.29	91.0	215.93
01/23/2017	TROWBRIDGE, JANE	SAWTOOTH	0.00	214.82	0.00	214.82	91.0	195.49
01/23/2017	SINGH, RIGINIE	SAWTOOTH	0.00	798.24	0.00	798.24	91.0	726.40
01/23/2017	VERMA, ANAND	SAWTOOTH	0.00	430.90	0.00	430.90	91.0	392.12
01/23/2017	VERMA, ANAND	SAWTOOTH	0.00	219.04	0.00	219.04	91.0	199.33
01/23/2017	VOGEL, ROBERT	SAWTOOTH	0.00	701.51	0.00	701.51	91.0	638.37
01/23/2017	VOGEL, ROBERT	SAWTOOTH	0.00	1,947.08	0.00	1,947.08	91.0	1,771.84
01/23/2017	WHELDON, ALYSON	SAWTOOTH	0.00	62.21	0.00	62.21	91.0	56.61
01/23/2017	ZARAPKAR, AMUL	SAWTOOTH	0.00	206.19	0.00	206.19	91.0	187.63
01/23/2017	ZARAPKAR, AMUL	SAWTOOTH	0.00	664.58	0.00	664.58	91.0	604.77
01/23/2017	ZARAPKAR, SUMAN	SAWTOOTH	0.00	455.52	0.00	455.52	91.0	414.52
* RIA SUBTOTAL *			\$152,145.00	\$45,232.19	\$0.00	\$45,232.19		\$41,161.33
*** BROKER TRANSACTIONS TOTAL ***			\$152,145.00	\$45,232.19	\$0.00	\$45,232.19		\$41,161.33
ADJUSTMENTS								
01/31/2017	*MANAGED FEE EXPENSE: SAWTOOTH ACCT		0.00					-417.42
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-417.42

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$41,161.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-417.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$40,743.91
Year-to-date Gross	\$45,232.19
Year-to-date Earnings	\$41,161.33