



All Companies

06/28/2018

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# COMMISSION STATEMENT

July 02, 2018

FNB GILMER

BROKER #: 9619

BRANCH: HOME OFFICE

, TX

## COMMISSION STATEMENT for FNB GILMER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018	00002397528	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	520.00	5.20	0.00	5.20	30.0	1.56
06/15/2018	00002397536	BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	300.00	3.00	0.00	3.00	30.0	0.90
06/20/2018	00086839205	GRAY, PATTI	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	30.0	0.30
06/13/2018	00087171578	COOK, THOMAS N.	AMERICAN FUNDS GROWTH FUND OF AMERI B		10,000.00	200.00	0.00	200.00	30.0	60.00
06/11/2018	00087551447	GRIFFITH, ROBERT	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	30.0	0.30
06/06/2018	5470420167	GOMEZ, MARIA	PORTFOLIO SERIES: MODERATE INVESTOR B		50.00	0.50	0.00	0.50	30.0	0.15
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$11,070.00</b>	<b>\$210.70</b>	<b>\$0.00</b>	<b>\$210.70</b>		<b>\$63.21</b>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

06/08/2018	GRAY, PATTI	PRUDENTIAL INSURANCE CO.	B	100.00	2.00	0.00	2.00	30.0	0.60
* VARIABLE ANNUITIES SUBTOTAL *				\$100.00	\$2.00	\$0.00	\$2.00		\$0.60

#### PRODUCT CATEGORY: FIXED ANNUITIES

06/08/2018	TRAILS	EQUITRUST	B	1,903.48	1,903.48	0.00	1,903.48	30.0	571.04
* FIXED ANNUITIES SUBTOTAL *				\$1,903.48	\$1,903.48	\$0.00	\$1,903.48		\$571.04

#### PRODUCT CATEGORY: RIA

04/13/2018	22876508	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	30.0	0.30
06/19/2018	27262989	BERRY, LINDA J & IVAN	SIGNAL SECURITIES RIA	B	0.00	111.00	0.00	111.00	30.0	33.30
04/13/2018	58127539	SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	30.0	4.10
04/13/2018	58588928	DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	32.34	0.00	32.34	30.0	9.70
04/13/2018	60067561	SMITH, AMY E	SIGNAL SECURITIES RIA	B	0.00	14.66	0.00	14.66	30.0	4.40
04/13/2018	65483992	DEAN, JERRY MACK	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	30.0	4.50
04/13/2018	72066865	TDR CONTRACTORS	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	30.0	12.30
04/13/2018	73078546	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	30.0	1.00
04/13/2018	77686229	MOORE, GARY/PATRICIA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	30.0	2.40
04/13/2018	88865815	DEAN, CHRISTIE ANN	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	30.0	3.30
04/13/2018	94358578	SMITH, BARRY R & AMY E	SIGNAL SECURITIES RIA	B	0.00	18.66	0.00	18.66	30.0	5.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
				* RIA SUBTOTAL *	\$0.00	\$269.66	\$0.00	\$269.66	\$80.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/14/2018	00001713020	HOOD, DORIS	JOHN HANCOCK TAX FREE BD FD CL A	B	0.00	7.61	0.00	7.61	30.0 2.28
06/14/2018	00002046284	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	13.71	0.00	13.71	30.0 4.11
06/14/2018	00002046289	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	33.71	0.00	33.71	30.0 10.11
06/14/2018	00002046291	WILSON, WESLEY M.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	12.85	0.00	12.85	30.0 3.86
06/14/2018	00002046296	WILSON, WESLEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	14.26	0.00	14.26	30.0 4.28
06/14/2018	00002048865	RODENBAUGH, DANIEL	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	17.14	0.00	17.14	30.0 5.14
06/14/2018	00002048869	WILSON, LINDSEY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	10.30	0.00	10.30	30.0 3.09
06/14/2018	00002100036	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	27.97	0.00	27.97	30.0 8.39
06/14/2018	00002108329	ORMSBY, KENT W.	FLOATING RATE INCOME C	B	0.00	18.94	0.00	18.94	30.0 5.68
06/14/2018	00002108331	ORMSBY, KENT W.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	5.03	0.00	5.03	30.0 1.51
06/14/2018	00002111652	COOK, WILLIAM B.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	24.63	0.00	24.63	30.0 7.39
06/14/2018	00002111653	COOK, WILLIAM B.	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	142.87	0.00	142.87	30.0 42.86
06/14/2018	00002124014	EMORY, ADAM	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	12.77	0.00	12.77	30.0 3.83
06/14/2018	00002155310	CABRERA, GERARDO	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	8.81	0.00	8.81	30.0 2.64
06/14/2018	00002160101	GASTON, JERRY/JERI	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	183.28	0.00	183.28	30.0 54.98
06/14/2018	00002171725	HOOD, DORIS	ALTERNATIVE ASSET ALLOCATION FUND A	B	0.00	7.23	0.00	7.23	30.0 2.17
06/14/2018	00002175516	MURRAY, KC L.	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	76.04	0.00	76.04	30.0 22.81
06/14/2018	00002175517	MURRAY, KC L.	ALTERNATIVE ASSET ALLOCATION C	B	0.00	11.08	0.00	11.08	30.0 3.32
06/14/2018	00002177089	MURRAY, KC L.	FLOATING RATE INCOME C	B	0.00	15.28	0.00	15.28	30.0 4.58
06/14/2018	00002177090	MURRAY, KC L.	US GLOBAL LEADERS GROWTH C	B	0.00	21.68	0.00	21.68	30.0 6.50
06/14/2018	00002177091	MURRAY, KC L.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	22.34	0.00	22.34	30.0 6.70
06/14/2018	00002179884	BOWEN, JOHN A.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	22.80	0.00	22.80	30.0 6.84
06/14/2018	00002182326	TO (STA) TOD	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	45.63	0.00	45.63	30.0 13.69
		RULES, SUBJECT							
06/14/2018	00002182327	TO (STA) TOD	TAX-FREE BOND C	B	0.00	35.67	0.00	35.67	30.0 10.70
		RULES, SUBJECT							
06/14/2018	00002182328	TO (STA) TOD	ALTERNATIVE ASSET ALLOCATION C	B	0.00	22.04	0.00	22.04	30.0 6.61
		RULES, SUBJECT							
06/14/2018	00002188800	BEASON, LINDA	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	111.65	0.00	111.65	30.0 33.50
		PRESTON							
06/14/2018	00002189540	BEASON, LINDA	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	128.71	0.00	128.71	30.0 38.61
		PRESTON							
06/14/2018	00002190489	SHULER, SUNNI A.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	0.00	3.82	0.00	3.82	30.0 1.15
06/14/2018	00002193224	WALLACE, ROY T.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	0.90	0.00	0.90	30.0 0.27
06/14/2018	00002329019	TORRES, ROBERTO	LIFESTYLE BALANCED PORTFOLIO C	B	0.00	65.31	0.00	65.31	30.0 19.59
06/14/2018	00002337567	DAVIS, PAUL J.	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	0.00	47.02	0.00	47.02	30.0 14.11
06/14/2018	00002397528	BUNN, JIMMY	LIFESTYLE GROWTH PORTFOLIO C	B	0.00	6.79	0.00	6.79	30.0 2.04

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/14/2018	00002397536	BUNN, JIMMY	LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.44	0.00	2.44	30.0	0.73
06/14/2018	00003370537	GIPSON, RICKIE A.	JOHN HANCOCK SOVEREIGN BALANCED FD	B	0.00	0.26	0.00	0.26	30.0	0.08
06/14/2018	00003370539	GIPSON, RICKIE A.	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	0.09	0.00	0.09	30.0	0.03
06/14/2018	00003370540	GIPSON, RICKIE A.	JOHN HANCOCK STRATEGIC INCOME FD CL	B	0.00	0.12	0.00	0.12	30.0	0.04
06/14/2018	00003370541	GIPSON, RICKIE A.	STRATEGIC INCOME OPPORTUNITIES A	B	0.00	0.25	0.00	0.25	30.0	0.08
06/14/2018	00777102664	HOOD, DORIS	JOHN HANCOCK GROWTH & INCOME FD CL	B	0.00	25.19	0.00	25.19	30.0	7.56
06/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK GOVT INCOME FD CLA	B	0.00	8.80	0.00	8.80	30.0	2.64
06/14/2018	01001527243	HOOD, DORIS	JOHN HANCOCK SOVEREIGN BD FD CL A	B	0.00	1.64	0.00	1.64	30.0	0.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,216.66	\$0.00	\$1,216.66		\$364.99
*** BROKER TRANSACTIONS TOTAL ***					\$13,073.48	\$3,602.50	\$0.00	\$3,602.50		\$1,080.74

BROKER COMMISSION TOTALS

Broker Transactions	\$1,080.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,080.74
Year-to-date Earnings	\$12,029.03