



Concorde Investment Services, LLC

05/25/2017
Page No. 1

COMMISSION STATEMENT **May 31, 2017**

STAN WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
05/15/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
05/15/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
05/10/2017	MILLER, WARREN	AM FUNDS AMCAP A	100.00	3.75	0.00	3.75	92.0	3.45
05/10/2017	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	92.0	1.96
05/15/2017	LACHER, KAREN	AM FUNDS AMERICAN BALANCED A	807.50	22.21	0.00	22.21	92.0	20.43
05/15/2017	LACHER, KAREN	AM FUNDS AMERICAN BALANCED A	2,904.90	79.88	0.00	79.88	92.0	73.49
05/18/2017	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	6.38	0.00	6.38	92.0	5.87
05/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
05/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
05/08/2017	JONES, RITA	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	92.0	2.30
05/08/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	1.06	0.00	1.06	92.0	0.98
05/08/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	1.06	0.00	1.06	92.0	0.98
05/15/2017	LACHER, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	646.00	17.77	0.00	17.77	92.0	16.35
05/15/2017	LACHER, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	2,323.93	63.91	0.00	63.91	92.0	58.80
05/18/2017	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	150.00	6.38	0.00	6.38	92.0	5.87
05/15/2017	LACHER, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	161.50	4.44	0.00	4.44	92.0	4.08
05/15/2017	LACHER, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	580.99	15.98	0.00	15.98	92.0	14.70
05/15/2017	LACHER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	646.00	17.77	0.00	17.77	92.0	16.35
05/15/2017	LACHER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	2,323.92	63.91	0.00	63.91	92.0	58.80
05/19/2017	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	92.0	6.90
05/19/2017	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	493.51	24.68	0.00	24.68	92.0	22.71
05/10/2017	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	92.0	1.73
05/10/2017	SCHMIDT, MARSHALL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.50	0.00	1.50	92.0	1.38
05/15/2017	LACHER, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	323.00	8.88	0.00	8.88	92.0	8.17
05/15/2017	LACHER, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	1,161.96	31.95	0.00	31.95	92.0	29.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/15/2017	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
05/15/2017	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
05/15/2017	LACHER, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	645.99	17.76	0.00	17.76	92.0	16.34
05/15/2017	LACHER, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,323.92	63.91	0.00	63.91	92.0	58.80
05/16/2017	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	92.0	3.91
05/15/2017	HAYES, BEN	FIDELITY EQUITY GROWTH T	150.00	3.75	0.00	3.75	92.0	3.45
05/15/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	50.00	1.88	0.00	1.88	92.0	1.73
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	4.08	0.00	4.08	92.0	3.75
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	3.86	0.00	3.86	92.0	3.55
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.49	0.00	0.49	92.0	0.45
05/18/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
05/18/2017	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
05/18/2017	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	INVESCO CHARTER FUND A	-23,833.13	0.00	0.00	0.00	92.0	0.00
05/18/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
05/18/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
05/18/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
05/18/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
05/15/2017	BELL, JASON	INVESCO STRUCTURED CORE A	50.00	2.50	0.00	2.50	92.0	2.30
05/01/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
05/15/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.20	0.00	0.20	92.0	0.18
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.29	0.00	5.29	92.0	4.87
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.19	0.00	1.19	92.0	1.09
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.11	0.00	0.11	92.0	0.10
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	2.62	0.00	2.62	92.0	2.41
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	8.18	0.00	8.18	92.0	7.53
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	21.58	0.00	21.58	92.0	19.85
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.42	0.00	0.42	92.0	0.39
05/09/2017	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE CL	0.00	39.75	0.00	39.75	92.0	36.57
* MUTUAL FUNDS SUBTOTAL *			\$-5,610.01	\$633.10	\$0.00	\$633.10		\$582.46

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	ALPS ETF TR ALERIAN MLP ETF	-8,632.61	86.33	23.05	63.28	92.0	58.22
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	AT&T INC COM	-26,818.33	268.18	23.05	245.13	92.0	225.52
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	AVISTA CORP COM	-29,431.01	294.31	23.05	271.26	92.0	249.56
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	CIT GROUP INC NEW COM NEW	-11,820.55	118.21	22.50	95.71	92.0	88.05
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	DONNELLEY FINL SOLUTIONS INC COM	-2,907.63	29.08	22.50	6.58	92.0	6.05
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	DONNELLEY R R & SONS CO COM NEW	-3,990.11	39.90	22.50	17.40	92.0	16.01
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	EPR PPTYS COM SH BEN INT	-14,167.40	141.67	22.50	119.17	92.0	109.64
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	LSC COMMUNICATIONS INC COM	-2,708.10	27.08	22.50	4.58	92.0	4.21
05/23/2017	RAY SR TRUST, LAWRENCE & NETT	VERIZON COMMUNICATIONS COM	-18,181.16	181.81	22.60	159.21	92.0	146.47
* STOCKS SUBTOTAL *			\$-118,656.90	\$1,186.57	\$204.25	\$982.32		\$903.73
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/16/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
05/16/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$190.00	\$9.50	\$0.00	\$9.50		\$8.74
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	4.05	0.00	4.05	92.0	3.73
04/18/2017	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.60	0.00	2.60	92.0	2.39
04/18/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.29	0.00	0.29	92.0	0.27
04/18/2017	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.46	0.00	0.46	92.0	0.42
04/21/2017	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.62	0.00	6.62	92.0	6.09
04/21/2017	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.22	0.00	2.22	92.0	2.04
04/18/2017	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.35	0.00	4.35	92.0	4.00
04/18/2017	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.29	0.00	4.29	92.0	3.95
04/18/2017	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.40	0.00	5.40	92.0	4.97
04/18/2017	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.70	0.00	1.70	92.0	1.56
04/18/2017	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.70	0.00	0.70	92.0	0.64
04/18/2017	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.56	0.00	3.56	92.0	3.28
04/18/2017	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.09	0.00	2.09	92.0	1.92
04/18/2017	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	92.0	3.05
04/18/2017	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.17	0.00	8.17	92.0	7.52
04/18/2017	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	3.66	0.00	3.66	92.0	3.37
04/18/2017	KONECNY, MARK	AM FUNDS ICA A	0.00	6.03	0.00	6.03	92.0	5.55

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.79	0.00	0.79	92.0	0.73
04/18/2017	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.60	0.00	0.60	92.0	0.55
04/18/2017	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	7.04	0.00	7.04	92.0	6.48
04/18/2017	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	4.79	0.00	4.79	92.0	4.41
04/18/2017	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.68	0.00	5.68	92.0	5.23
04/13/2017	BATT-CAMPBELL, LEEANN	COLUMBIA ACORN C	0.00	5.03	0.00	5.03	92.0	4.63
04/17/2017	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	12.86	0.00	12.86	92.0	11.83
04/20/2017	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.10	0.00	0.10	92.0	0.09
04/20/2017	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	5.16	0.00	5.16	92.0	4.75
04/20/2017	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.68	0.00	0.68	92.0	0.63
04/20/2017	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	4.41	0.00	4.41	92.0	4.06
04/20/2017	BATT-CAMPBELL, LEEANN	FT MUTUAL SHARES FUND C	0.00	6.69	0.00	6.69	92.0	6.15
04/19/2017	BATT-CAMPBELL, LEEANN	GOLDMAN SACHS MID- CAP VALUE FD CLA	0.00	5.68	0.00	5.68	92.0	5.23
04/13/2017	KONECNY, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	23.43	0.00	23.43	92.0	21.56
04/13/2017	CROSS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	9.37	0.00	9.37	92.0	8.62
04/13/2017	HUCKINS, VERNON	INVESCO BALANCED RISK ALLOCATION A	0.00	9.35	0.00	9.35	92.0	8.60
04/13/2017	HOUSTON, CAMMY	INVESCO BALANCED RISK ALLOCATION A	0.00	12.18	0.00	12.18	92.0	11.21
04/13/2017	ROTHS, GLEN	INVESCO BALANCED RISK ALLOCATION A	0.00	3.74	0.00	3.74	92.0	3.44
04/13/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	7.40	0.00	7.40	92.0	6.81
04/13/2017	KONECNY, MARK	INVESCO CHARTER FUND A	0.00	35.26	0.00	35.26	92.0	32.44
04/13/2017	CROSS, DIANE	INVESCO CHARTER FUND A	0.00	16.98	0.00	16.98	92.0	15.62
04/13/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	23.41	0.00	23.41	92.0	21.54
04/13/2017	ROTHS, GLEN	INVESCO CHARTER FUND A	0.00	3.13	0.00	3.13	92.0	2.88
04/13/2017	GREENING, CHRISTOPHER	INVESCO CHARTER FUND A	0.00	6.22	0.00	6.22	92.0	5.72
04/13/2017	HOUSTON, SAMUEL	INVESCO CHARTER FUND A	0.00	3.20	0.00	3.20	92.0	2.94
04/13/2017	RAY SR TRUST, LAWRENCE & NETT	INVESCO CHARTER FUND A	0.00	14.21	0.00	14.21	92.0	13.07
04/13/2017	CLARK, TERENCE	INVESCO CHARTER FUND A	0.00	7.61	0.00	7.61	92.0	7.00
04/13/2017	GREENING, PATRICIA	INVESCO DEVELOPING MARKETS A	0.00	1.20	0.00	1.20	92.0	1.10
04/13/2017	PRACHT, MARK	INVESCO HIGH YIELD FUND A	0.00	7.37	0.00	7.37	92.0	6.78
04/18/2017	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	24.04	0.00	24.04	92.0	22.12
04/18/2017	RUBENTHALER, RANDALL	KANSAS MUNICIPAL FUND	0.00	11.44	0.00	11.44	92.0	10.52
04/20/2017	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.30	0.00	2.30	92.0	2.12
04/18/2017	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.47	0.00	0.47	92.0	0.43

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/18/2017	BATT-CAMPBELL, LEEANN	MFS VALUE C	0.00	8.73	0.00	8.73	92.0	8.03
04/21/2017	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	6.37	0.00	6.37	92.0	5.86
04/12/2017	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	1.03	0.00	1.03	92.0	0.95
04/12/2017	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.22	0.00	1.22	92.0	1.12
04/13/2017	PRACHT, MARK	RMB MENDON FINANCIAL LNG/SHRT FUND	0.00	15.98	0.00	15.98	92.0	14.70
04/20/2017	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	5.86	0.00	5.86	92.0	5.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$380.52	\$0.00	\$380.52		\$350.09
*** BROKER TRANSACTIONS TOTAL ***			\$-124,076.91	\$2,209.69	\$204.25	\$2,005.44		\$1,845.02

BROKER COMMISSION TOTALS

Broker Transactions	\$1,845.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,845.02
Year-to-date Gross	\$32,135.33
Year-to-date Earnings	\$29,355.80

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