



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

DELMAR (BO)-M143 MCKINNEY
 5 Red Bud Trail

BROKER #: M143
 BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.20	0.00	3.20	90.0	2.88
05/01/2017	LESCHBER, PAMELA	FEDERATED EQUITY INCOME A	0.00	5.07	0.00	5.07	90.0	4.56
05/01/2017	LESCHBER, PAMELA	FEDERATED MARKET OPPORTUNITY A	0.00	2.20	0.00	2.20	90.0	1.98
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$10.47	\$0.00	\$10.47		\$9.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/12/2017	BARTOSH, PATRICIA	AM GEN POLIRIS II FLEXIBLE	27,375.23	27.38	0.00	27.38	90.0	24.64
05/12/2017	BARTOSH, PATRICIA	ANCHOR ADVISOR 11 FLEX	6,925.28	17.31	0.00	17.31	90.0	15.58
05/20/2017	LUEDTKE, LOIS	ANCHOR ADVISOR FLEX PYMT DEF ANN	258,831.89	647.08	0.00	647.08	90.0	582.37
05/21/2017	DAWSON, SIDNEY	ANCHOR ADVISOR FLEX PYMT DEF ANN	502.30	1.26	0.00	1.26	90.0	1.13
* VARIABLE ANNUITIES SUBTOTAL *			\$293,634.70	\$693.03	\$0.00	\$693.03		\$623.72
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	BUROW, PAUL	ALLIANCEBERNSTEIN INTL VALUE A	0.00	0.85	0.00	0.85	90.0	0.77
04/20/2017	WIESNER, BETTY	AM CENT EQUITY INCOME	0.00	2.97	0.00	2.97	90.0	2.67
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS AMCAP A	0.00	11.16	0.00	11.16	90.0	10.04
04/18/2017	LESCHBER, PAMELA	AM FUNDS AMCAP A	0.00	0.94	0.00	0.94	90.0	0.85
04/18/2017	LUEDTKE, LOIS	AM FUNDS AMCAP A	0.00	11.24	0.00	11.24	90.0	10.12
04/18/2017	ASPRA, TRISSA	AM FUNDS AMCAP A	0.00	2.47	0.00	2.47	90.0	2.22
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS AMCAP A	0.00	1.51	0.00	1.51	90.0	1.36
04/18/2017	SCOTT, MONYAN	AM FUNDS AMCAP A	0.00	24.87	0.00	24.87	90.0	22.38
04/18/2017	MICHNA, RHYS	AM FUNDS AMCAP A	0.00	1.23	0.00	1.23	90.0	1.11
04/18/2017	SPECKELS, MELBA	AM FUNDS AMERICAN BALANCED A	0.00	1.21	0.00	1.21	90.0	1.09
04/18/2017	FUESSEL, NORMAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.25	0.00	3.25	90.0	2.93
04/18/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	34.05	0.00	34.05	90.0	30.65
04/18/2017	SPECKELS, MELBA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.59	0.00	1.59	90.0	1.43
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS AMERICAN MUTUAL A	0.00	16.92	0.00	16.92	90.0	15.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/18/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS AMERICAN MUTUAL A	0.00	8.30	0.00	8.30	90.0	7.47
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS AMERICAN MUTUAL A	0.00	0.95	0.00	0.95	90.0	0.86
04/18/2017	SCOTT, MONYEAN	AM FUNDS AMERICAN MUTUAL A	0.00	22.10	0.00	22.10	90.0	19.89
04/18/2017	IVICIC, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	2.59	0.00	2.59	90.0	2.33
04/18/2017	CHEATHAM, JIMMY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.69	0.00	10.69	90.0	9.62
04/18/2017	PRAESEL, ARTHUR	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.13	0.00	21.13	90.0	19.02
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	32.04	0.00	32.04	90.0	28.84
04/18/2017	FUESSEL, NORMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.74	0.00	19.74	90.0	17.77
04/18/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.04	0.00	18.04	90.0	16.24
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.16	0.00	5.16	90.0	4.64
04/18/2017	KELLEY, DOLORES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.99	0.00	5.99	90.0	5.39
04/18/2017	LOGHRY, DORIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	90.0	0.05
04/18/2017	PEKAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.40	0.00	7.40	90.0	6.66
04/18/2017	SPECKELS, WARREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.32	0.00	1.32	90.0	1.19
04/18/2017	SPECKELS, MELBA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.96	0.00	1.96	90.0	1.76
04/18/2017	BRANSON, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.12	0.00	3.12	90.0	2.81
04/18/2017	MORRIS, JANINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.80	0.00	8.80	90.0	7.92
04/18/2017	SCOTT, MONYEAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.89	0.00	20.89	90.0	18.80
04/18/2017	URBAN, LONNIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.46	0.00	1.46	90.0	1.31
04/18/2017	MOORE, TERRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	31.92	0.00	31.92	90.0	28.73
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	28.89	0.00	28.89	90.0	26.00
04/18/2017	LESCHBER, LARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.19	0.00	2.19	90.0	1.97
04/18/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.13	0.00	8.13	90.0	7.32
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.12	0.00	14.12	90.0	12.71
04/18/2017	KELLEY, DOLORES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.73	0.00	4.73	90.0	4.26
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.07	0.00	1.07	90.0	0.96
04/18/2017	SCOTT, MONYEAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	29.28	0.00	29.28	90.0	26.35
04/18/2017	HOHMAN, JEROME	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.42	0.00	8.42	90.0	7.58
04/18/2017	DROMGOOLE, NONA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.79	0.00	5.79	90.0	5.21
04/18/2017	URBAN, LONNIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.57	0.00	1.57	90.0	1.41
04/18/2017	IVICIC, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.21	0.00	1.21	90.0	1.09
04/18/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.44	0.00	16.44	90.0	14.80
04/18/2017	LUEDTKE, LOIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.49	0.00	15.49	90.0	13.94

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	KELLEY, DOLORES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.98	0.00	3.98	90.0	3.58
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	36.35	0.00	36.35	90.0	32.72
04/18/2017	LESCHBER, PAMELA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.46	0.00	1.46	90.0	1.31
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	18.32	0.00	18.32	90.0	16.49
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.48	0.00	1.48	90.0	1.33
04/18/2017	SCOTT, MONYUAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	36.91	0.00	36.91	90.0	33.22
04/18/2017	DROMGOOLE, NONA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.98	0.00	6.98	90.0	6.28
04/18/2017	EWING LIVING TRUST, JACK &	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	6.60	0.00	6.60	90.0	5.94
04/18/2017	IVICIC, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.28	0.00	3.28	90.0	2.95
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.98	0.00	11.98	90.0	10.78
04/18/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	48.16	0.00	48.16	90.0	43.34
04/18/2017	LUEDTKE, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.34	0.00	35.34	90.0	31.81
04/18/2017	ASPRA, TRISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.65	0.00	2.65	90.0	2.39
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.47	0.00	18.47	90.0	16.62
04/18/2017	ROSIPAL, HERBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
04/18/2017	KELLEY, DOLORES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.39	0.00	5.39	90.0	4.85
04/18/2017	LAWHON, JACKIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.47	0.00	1.47	90.0	1.32
04/18/2017	BORGNE JR, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.15	0.00	6.15	90.0	5.54
04/18/2017	LAWHON, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.42	0.00	6.42	90.0	5.78
04/18/2017	SPECKELS, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
04/18/2017	MORRIS, JANINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.37	0.00	17.37	90.0	15.63
04/18/2017	SCOTT, MONYUAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	39.43	0.00	39.43	90.0	35.49
04/18/2017	LAWHON, BRAEDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.80	0.00	0.80	90.0	0.72
04/18/2017	DROMGOOLE, NONA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.07	0.00	7.07	90.0	6.36
04/18/2017	MOORE, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.12	0.00	2.12	90.0	1.91
04/18/2017	OHE, DRAKE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	1.02
04/18/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.75	0.00	0.75	90.0	0.68
04/18/2017	URBAN, LONNIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.99	0.00	1.99	90.0	1.79
04/18/2017	LAWHON, MAISEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.50
04/18/2017	MICHNA, RHYS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.22	0.00	1.22	90.0	1.10
04/18/2017	IVICIC, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.88	0.00	2.88	90.0	2.59
04/18/2017	LESCHBER, LARRY	AM FUNDS ICA A	0.00	0.80	0.00	0.80	90.0	0.72

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	DROMGOOLE, NONA	AM FUNDS ICA A	0.00	5.91	0.00	5.91	90.0	5.32
04/18/2017	EWING JR, JACK	AM FUNDS ICA A	0.00	8.34	0.00	8.34	90.0	7.51
04/18/2017	CHEATHAM, JIMMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.36	0.00	10.36	90.0	9.32
04/18/2017	PRAESEL, ARTHUR	AM FUNDS INCOME FUND OF AMERICA A	0.00	25.68	0.00	25.68	90.0	23.11
04/18/2017	ROSENTHAL, LUCILLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	37.56	0.00	37.56	90.0	33.80
04/18/2017	LESCHBER, PAMELA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.79	0.00	3.79	90.0	3.41
04/18/2017	FUESSEL, NORMAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.24	0.00	19.24	90.0	17.32
04/18/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	17.14	0.00	17.14	90.0	15.43
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.17	0.00	10.17	90.0	9.15
04/18/2017	KELLEY, DOLORES	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.84	0.00	4.84	90.0	4.36
04/18/2017	SPECKELS, MELBA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
04/18/2017	FISCHER, HAROLD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
04/18/2017	HAMITER, DONNIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.19	0.00	10.19	90.0	9.17
04/18/2017	MORRIS, JANINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.16	0.00	13.16	90.0	11.84
04/18/2017	SCOTT, MONYAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	27.71	0.00	27.71	90.0	24.94
04/18/2017	KLEMT, RUTH	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.75	0.00	1.75	90.0	1.58
04/18/2017	WILSON, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.85	0.00	4.85	90.0	4.37
04/18/2017	EWING LIVING TRUST, JACK &	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.73	0.00	15.73	90.0	14.16
04/18/2017	KETTLE, MARVIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.57	0.00	3.57	90.0	3.21
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.57	0.00	0.57	90.0	0.51
04/18/2017	DROMGOOLE, NONA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.38	0.00	4.38	90.0	3.94
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS NEW ECONOMY A	0.00	12.77	0.00	12.77	90.0	11.49
04/18/2017	WILSON, MARY	AM FUNDS NEW ECONOMY A	0.00	10.32	0.00	10.32	90.0	9.29
04/18/2017	LESCHBER, LARRY	AM FUNDS NEW PERSPECTIVE A	0.00	1.16	0.00	1.16	90.0	1.04
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	10.99	0.00	10.99	90.0	9.89
04/18/2017	MORRIS, JANINE	AM FUNDS NEW PERSPECTIVE A	0.00	18.37	0.00	18.37	90.0	16.53
04/18/2017	SCOTT, MONYAN	AM FUNDS NEW PERSPECTIVE A	0.00	32.66	0.00	32.66	90.0	29.39
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS NEW WORLD A	0.00	0.28	0.00	0.28	90.0	0.25
04/18/2017	DROMGOOLE, NONA	AM FUNDS NEW WORLD A	0.00	4.22	0.00	4.22	90.0	3.80
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS SMALLCAP WORLD A	0.00	9.87	0.00	9.87	90.0	8.88
04/18/2017	NMN & NJN MGMT TRUST	AM FUNDS SMALLCAP WORLD A	0.00	1.28	0.00	1.28	90.0	1.15
04/18/2017	DROMGOOLE, NONA	AM FUNDS SMALLCAP WORLD A	0.00	1.61	0.00	1.61	90.0	1.45
04/18/2017	LESCHBER, PAMELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.93	0.00	0.93	90.0	0.84

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	FUESSEL, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.80	0.00	14.80	90.0	13.32
04/18/2017	FUESSEL IRREV TR, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.11	0.00	8.11	90.0	7.30
04/18/2017	FUESSEL NE & NA TR, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	63.14	0.00	63.14	90.0	56.83
04/18/2017	BRUECKNER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.70	0.00	11.70	90.0	10.53
04/18/2017	KORNEGAY, ALISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	90.0	0.26
04/18/2017	KELLEY, DOLORES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.80	0.00	7.80	90.0	7.02
04/18/2017	LAWHON, JACKIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	44.59	0.00	44.59	90.0	40.13
04/18/2017	SPECKELS, WARREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.51	0.00	2.51	90.0	2.26
04/18/2017	SPECKELS, MELBA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.69	0.00	1.69	90.0	1.52
04/18/2017	SPECKELS, MELBA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	90.0	1.39
04/18/2017	GILLIGAN, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.02	0.00	7.02	90.0	6.32
04/18/2017	STOLTE, VERNALINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.98	0.00	1.98	90.0	1.78
04/18/2017	MORRIS, JANINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	18.55	0.00	18.55	90.0	16.70
04/18/2017	SMITH, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	90.0	1.21
04/18/2017	BUROW, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	90.0	1.21
04/18/2017	DALJO LTD PT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.90	0.00	31.90	90.0	28.71
04/18/2017	HOHMAN, JEROME	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.40	0.00	5.40	90.0	4.86
04/18/2017	WILSON, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.25	0.00	16.25	90.0	14.63
04/18/2017	WIESNER, BETTY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.34	0.00	3.34	90.0	3.01
04/18/2017	EWING LIVING TRUST, JACK &	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	22.66	0.00	22.66	90.0	20.39
04/18/2017	URBAN, LONNIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.84	0.00	1.84	90.0	1.66
04/18/2017	IVICIC, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.32	0.00	3.32	90.0	2.99
04/27/2017	EWING JR, JACK	BLK GLOBAL ALLOCATION C	0.00	15.57	0.00	15.57	90.0	14.01
04/27/2017	EWING LIVING TRUST, JACK &	BLK GLOBAL ALLOCATION C	0.00	55.82	0.00	55.82	90.0	50.24
04/19/2017	SMITH, JUDY	CALAMOS GROWTH C	0.00	33.50	0.00	33.50	90.0	30.15
04/18/2017	KETTLE, MARVIN	CLEARBRIDGE AGGRESSIVE	0.00	3.65	0.00	3.65	90.0	3.29
04/18/2017	KETTLE, MARVIN	CLEARBRIDGE APPRECIATION FUND CLASS	0.00	1.94	0.00	1.94	90.0	1.75
04/18/2017	PEMBERTON, PATRICIA	CLEARBRIDGE SMALL CAP GROWTH FUND C	0.00	3.84	0.00	3.84	90.0	3.46
04/13/2017	WIESNER, BETTY	COLUMBIA ACORN A	0.00	1.60	0.00	1.60	90.0	1.44
04/13/2017	MCELROY, BENNETT	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	8.38	0.00	8.38	90.0	7.54
04/13/2017	CHEATHAM, JIMMY	FEDERATED CLOVER VALUE A	0.00	1.77	0.00	1.77	90.0	1.59
04/13/2017	CHEATHAM, JIMMY	FEDERATED EQUITY INCOME A	0.00	9.70	0.00	9.70	90.0	8.73
04/13/2017	CHEATHAM, JIMMY	FEDERATED KAUFMANN FUND A	0.00	2.38	0.00	2.38	90.0	2.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/13/2017	CHEATHAM, JIMMY	FEDERATED MDT MID CAP GROWTH STRATE	0.00	2.99	0.00	2.99	90.0	2.69
04/17/2017	NMN & NUN MGMT TRUST	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.40	0.00	1.40	90.0	1.26
04/17/2017	NAIZER TRUST, NOLAN	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.32	0.00	0.32	90.0	0.29
04/17/2017	NAIZER TRUST, NOEL	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.20	0.00	0.20	90.0	0.18
04/17/2017	WIESNER, BETTY	FIDELITY ADV NEW INSIGHTS-CL A	0.00	3.27	0.00	3.27	90.0	2.94
04/17/2017	ESCHBERGER TRUST, JACK & AGNES	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.60	0.00	0.60	90.0	0.54
04/17/2017	MCELROY, BENNETT	FIDELITY ADV STRATEGIC INCOME A	0.00	3.94	0.00	3.94	90.0	3.55
04/20/2017	COOPER, ADAM	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.85	0.00	3.85	90.0	3.47
04/20/2017	COOPER, ADAM	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	5.60	0.00	5.60	90.0	5.04
04/20/2017	COOPER, ADAM	FRANKLIN MUTUAL QUEST A	0.00	5.44	0.00	5.44	90.0	4.90
04/20/2017	COOPER, ADAM	FRANKLIN RISING DIVIDENDS A	0.00	5.00	0.00	5.00	90.0	4.50
04/19/2017	ENOCHS, LELAND	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	8.91	0.00	8.91	90.0	8.02
04/19/2017	ENOCHS, LELAND	GOLDMAN SACHS FOCUSED INTL EQUITY F	0.00	2.53	0.00	2.53	90.0	2.28
04/19/2017	HAMITER, DONNIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.63	0.00	0.63	90.0	0.57
04/19/2017	STOLTE, VERNALINE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	1.85	0.00	1.85	90.0	1.67
04/19/2017	BURKHART, KRISTI	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	1.55	0.00	1.55	90.0	1.40
04/17/2017	MCRIGHT, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.60	0.00	4.60	90.0	4.14
04/17/2017	MCRIGHT, BETTY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.72	0.00	1.72	90.0	1.55
04/17/2017	BELL, EDDY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.60	0.00	8.60	90.0	7.74
04/17/2017	BELL, EDDY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.72	0.00	8.72	90.0	7.85
04/17/2017	BELL, PATTI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.94	0.00	3.94	90.0	3.55
04/17/2017	PAULSEN, ROGER	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	18.46	0.00	18.46	90.0	16.61
04/17/2017	BAGGETT, PETE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	20.57	0.00	20.57	90.0	18.51
04/17/2017	BAGGETT, JARROD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	8.86	0.00	8.86	90.0	7.97
04/17/2017	LUERSEN, JENNIFER	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	29.48	0.00	29.48	90.0	26.53
04/18/2017	CHEATHAM, JIMMY	HARTFORD BALANCED A	0.00	0.88	0.00	0.88	90.0	0.79
04/18/2017	FLEMING, JERRY	HARTFORD BALANCED A	0.00	1.49	0.00	1.49	90.0	1.34
04/18/2017	RAESZ TTEE, BARBARA	HARTFORD BALANCED ALLOCATION A	0.00	3.81	0.00	3.81	90.0	3.43
04/18/2017	RAESZ TTEE, BARBARA	HARTFORD BALANCED ALLOCATION A	0.00	1.99	0.00	1.99	90.0	1.79
04/18/2017	TAISLER, JESSE	HARTFORD CAPITAL APP II A	0.00	1.60	0.00	1.60	90.0	1.44
04/18/2017	MASON, ELEANOR	HARTFORD CAPITAL APP II A	0.00	1.10	0.00	1.10	90.0	0.99
04/18/2017	SCOTT, MONYUAN	HARTFORD CAPITAL APP II A	0.00	15.16	0.00	15.16	90.0	13.64
04/18/2017	CHEATHAM, JIMMY	HARTFORD CAPITAL APPRECIATION A	0.00	9.36	0.00	9.36	90.0	8.42

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	ROSENTHAL, LUCILLE	HARTFORD CAPITAL APPRECIATION A	0.00	10.97	0.00	10.97	90.0	9.87
04/18/2017	LESCHBER, LARRY	HARTFORD CAPITAL APPRECIATION A	0.00	17.43	0.00	17.43	90.0	15.69
04/18/2017	BRUECKNER, KIMBERLY	HARTFORD CAPITAL APPRECIATION A	0.00	12.45	0.00	12.45	90.0	11.21
04/18/2017	HAMANN, LARRY	HARTFORD CAPITAL APPRECIATION A	0.00	9.13	0.00	9.13	90.0	8.22
04/18/2017	FLEMING, JERRY	HARTFORD CAPITAL APPRECIATION A	0.00	5.58	0.00	5.58	90.0	5.02
04/18/2017	TAISLER, JESSE	HARTFORD CAPITAL APPRECIATION A	0.00	1.81	0.00	1.81	90.0	1.63
04/18/2017	MOORE, TERRY	HARTFORD CAPITAL APPRECIATION C	0.00	23.62	0.00	23.62	90.0	21.26
04/18/2017	LESCHBER, LARRY	HARTFORD DISCIPLINED EQUITY A	0.00	4.87	0.00	4.87	90.0	4.38
04/18/2017	CHEATHAM, JIMMY	HARTFORD DIVIDEND & GROWTH A	0.00	4.64	0.00	4.64	90.0	4.18
04/18/2017	BRUECKNER, KIMBERLY	HARTFORD DIVIDEND & GROWTH A	0.00	5.34	0.00	5.34	90.0	4.81
04/18/2017	HAMANN, LARRY	HARTFORD DIVIDEND & GROWTH A	0.00	4.05	0.00	4.05	90.0	3.65
04/18/2017	FLEMING, JERRY	HARTFORD DIVIDEND & GROWTH A	0.00	4.93	0.00	4.93	90.0	4.44
04/18/2017	TAISLER, JESSE	HARTFORD DIVIDEND & GROWTH A	0.00	1.85	0.00	1.85	90.0	1.67
04/18/2017	RAESZ TTEE, BARBARA	HARTFORD GROWTH ALLOCATION A	0.00	3.91	0.00	3.91	90.0	3.52
04/18/2017	RAESZ TTEE, BARBARA	HARTFORD GROWTH ALLOCATION A	0.00	2.03	0.00	2.03	90.0	1.83
04/18/2017	EWING, JOHN	HARTFORD GROWTH ALLOCATION A	0.00	0.81	0.00	0.81	90.0	0.73
04/18/2017	ROSENTHAL, LUCILLE	HARTFORD GROWTH OPP A	0.00	9.97	0.00	9.97	90.0	8.97
04/18/2017	SCOTT, MONYUAN	HARTFORD GROWTH OPP A	0.00	17.37	0.00	17.37	90.0	15.63
04/18/2017	ROSENTHAL, LUCILLE	HARTFORD INT'L GROWTH A	0.00	6.31	0.00	6.31	90.0	5.68
04/18/2017	CHEATHAM, JIMMY	HARTFORD MIDCAP A	0.00	6.66	0.00	6.66	90.0	5.99
04/18/2017	LESCHBER, LARRY	HARTFORD MIDCAP A	0.00	19.25	0.00	19.25	90.0	17.33
04/18/2017	FLEMING, JERRY	HARTFORD MIDCAP A	0.00	5.85	0.00	5.85	90.0	5.27
04/18/2017	ROSENTHAL, LUCILLE	HARTFORD MIDCAP VALUE A	0.00	12.54	0.00	12.54	90.0	11.29
04/18/2017	MASON, ELEANOR	HARTFORD MIDCAP VALUE A	0.00	0.76	0.00	0.76	90.0	0.68
04/18/2017	LESCHBER, LARRY	HARTFORD SMALL-COMPANY FUND CLASS A	0.00	12.12	0.00	12.12	90.0	10.91
04/18/2017	BRUECKNER, KIMBERLY	HARTFORD SMALL-COMPANY FUND CLASS A	0.00	5.19	0.00	5.19	90.0	4.67
04/18/2017	TAISLER, JESSE	HARTFORD VALUE OPPORTUNITY FUND CLA	0.00	1.88	0.00	1.88	90.0	1.69
04/18/2017	MASON, ELEANOR	HARTFUND EQUITY INCIME FUND A	0.00	0.64	0.00	0.64	90.0	0.58
04/19/2017	BEEMAN, MICHAEL	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.63	0.00	0.63	90.0	0.57
04/13/2017	ENOCHS, LELAND	INVESCO AMERICAN FRANCHISE A	0.00	6.81	0.00	6.81	90.0	6.13
04/13/2017	EWING JR, JACK	INVESCO ASIA PACIFIC GROWTH A	0.00	19.29	0.00	19.29	90.0	17.36
04/13/2017	ENOCHS, LELAND	INVESCO CHARTER FUND A	0.00	8.52	0.00	8.52	90.0	7.67
04/13/2017	WILSON, MARY	INVESCO CHARTER FUND A	0.00	46.96	0.00	46.96	90.0	42.26

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/13/2017	LESCHBER, PAMELA	INVESCO COMSTOCK A	0.00	1.35	0.00	1.35	90.0	1.22
04/13/2017	SMITH, MICHAEL	INVESCO CORPORATE BOND A	0.00	1.11	0.00	1.11	90.0	1.00
04/13/2017	EWING JR, JACK	INVESCO DEVELOPING MARKETS A	0.00	16.45	0.00	16.45	90.0	14.81
04/13/2017	LESCHBER, PAMELA	INVESCO EQUITY AND INCOME A	0.00	0.96	0.00	0.96	90.0	0.86
04/13/2017	ROSENTHAL, LUCILLE	IVY INTERNATIONAL CORE EQUITY FUND	0.00	0.85	0.00	0.85	90.0	0.77
04/13/2017	SCARBOROUGH, ROBERT	IVY INTERNATIONAL CORE EQUITY FUND	0.00	1.24	0.00	1.24	90.0	1.12
04/13/2017	NAIZER TRUST, NOLAN	IVY INTERNATIONAL CORE EQUITY FUND	0.00	0.92	0.00	0.92	90.0	0.83
04/13/2017	NAIZER TRUST, NOEL	IVY INTERNATIONAL CORE EQUITY FUND	0.00	0.34	0.00	0.34	90.0	0.31
04/13/2017	OLSON, DALE	IVY INTERNATIONAL CORE EQUITY FUND	0.00	4.12	0.00	4.12	90.0	3.71
04/13/2017	KETTLE, MARVIN	IVY INTERNATIONAL CORE EQUITY FUND	0.00	1.22	0.00	1.22	90.0	1.10
04/13/2017	CALDWELL, KENNETH	IVY INTERNATIONAL CORE EQUITY FUND	0.00	0.20	0.00	0.20	90.0	0.18
04/13/2017	MILLER, SHARON	IVY INTERNATIONAL CORE EQUITY FUND	0.00	0.36	0.00	0.36	90.0	0.32
04/13/2017	MILLER, MICHAEL	IVY INTERNATIONAL CORE EQUITY FUND	0.00	0.25	0.00	0.25	90.0	0.23
04/13/2017	ESCHBERGER TRUST, JACK & AGNES	IVY INTERNATIONAL CORE EQUITY FUND	0.00	1.98	0.00	1.98	90.0	1.78
04/17/2017	ROSENTHAL, LUCILLE	JH DISCIPLINED VALUE A	0.00	0.60	0.00	0.60	90.0	0.54
04/17/2017	SCARBOROUGH, ROBERT	JH DISCIPLINED VALUE A	0.00	0.94	0.00	0.94	90.0	0.85
04/17/2017	NMN & NUN MGMT TRUST	JH DISCIPLINED VALUE A	0.00	2.90	0.00	2.90	90.0	2.61
04/17/2017	NAIZER TRUST, NOLAN	JH DISCIPLINED VALUE A	0.00	0.55	0.00	0.55	90.0	0.50
04/17/2017	NAIZER TRUST, NOEL	JH DISCIPLINED VALUE A	0.00	0.40	0.00	0.40	90.0	0.36
04/17/2017	OLSON, DALE	JH DISCIPLINED VALUE A	0.00	2.32	0.00	2.32	90.0	2.09
04/17/2017	KETTLE, MARVIN	JH DISCIPLINED VALUE A	0.00	1.02	0.00	1.02	90.0	0.92
04/17/2017	ESCHBERGER TRUST, JACK & AGNES	JH DISCIPLINED VALUE A	0.00	1.19	0.00	1.19	90.0	1.07
04/17/2017	MCELROY, BENNETT	JH STRATEGIC INCOME A	0.00	4.02	0.00	4.02	90.0	3.62
04/17/2017	ROSENTHAL, LUCILLE	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.19	0.00	0.19	90.0	0.17
04/17/2017	SCARBOROUGH, ROBERT	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.71	0.00	0.71	90.0	0.64
04/17/2017	NMN & NUN MGMT TRUST	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	1.75	0.00	1.75	90.0	1.58
04/17/2017	NAIZER TRUST, NOLAN	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.09	0.00	0.09	90.0	0.08
04/17/2017	NAIZER TRUST, NOEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.18	0.00	0.18	90.0	0.16
04/17/2017	OLSON, DALE	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.54	0.00	0.54	90.0	0.49
04/17/2017	MASON, JOHN	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	6.01	0.00	6.01	90.0	5.41
04/17/2017	KETTLE, MARVIN	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.35	0.00	0.35	90.0	0.32
04/17/2017	ESCHBERGER TRUST, JACK & AGNES	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.76	0.00	0.76	90.0	0.68
04/18/2017	KETTLE, MARVIN	LEGG MASON CLEARBRIDGE FUNDAMENTAL	0.00	3.12	0.00	3.12	90.0	2.81

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/20/2017	CHEATHAM, JIMMY	LORD ABBETT AFFILIATED A	0.00	1.77	0.00	1.77	90.0	1.59
04/20/2017	SPECKELS, MELBA	LORD ABBETT AFFILIATED A	0.00	0.76	0.00	0.76	90.0	0.68
04/20/2017	CHEATHAM, JIMMY	LORD ABBETT ALPHA STRATEGY A	0.00	3.61	0.00	3.61	90.0	3.25
04/20/2017	FUESSEL NE & NA TR, NORMAN	LORD ABBETT BOND DEBENTURE A	0.00	5.95	0.00	5.95	90.0	5.36
04/20/2017	CHEATHAM, JIMMY	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	2.16	0.00	2.16	90.0	1.94
04/20/2017	FUESSEL IRREV TR, NORMAN	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	11.71	0.00	11.71	90.0	10.54
04/20/2017	BRUECKNER, KIMBERLY	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	7.61	0.00	7.61	90.0	6.85
04/20/2017	SPECKELS, MELBA	LORD ABBETT CALIBRATED DIVIDEND GRO	0.00	0.91	0.00	0.91	90.0	0.82
04/20/2017	CHEATHAM, JIMMY	LORD ABBETT DEVELOPING GROWTH A	0.00	3.51	0.00	3.51	90.0	3.16
04/20/2017	FUESSEL IRREV TR, NORMAN	LORD ABBETT DEVELOPING GROWTH A	0.00	12.79	0.00	12.79	90.0	11.51
04/20/2017	BRUECKNER, KIMBERLY	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	10.12	0.00	10.12	90.0	9.11
04/20/2017	SPECKELS, MELBA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.75	0.00	0.75	90.0	0.68
04/20/2017	SPECKELS, MELBA	LORD ABBETT GROWTH OPPORTUNITIES FU	0.00	0.56	0.00	0.56	90.0	0.50
04/20/2017	STAGNER TRUST, JAMES	LORD ABBETT INVT TR FLTG RATE A	0.00	6.64	0.00	6.64	90.0	5.98
04/20/2017	CHEATHAM, JIMMY	LORD ABBETT MID CAP STOCK A	0.00	7.23	0.00	7.23	90.0	6.51
04/20/2017	FUESSEL IRREV TR, NORMAN	LORD ABBETT MID CAP STOCK A	0.00	17.16	0.00	17.16	90.0	15.44
04/20/2017	BRUECKNER, KIMBERLY	LORD ABBETT MID CAP STOCK A	0.00	10.23	0.00	10.23	90.0	9.21
04/18/2017	ROSENTHAL, LUCILLE	LTD TERM TAX-EX BOND FUND OF AMERIC	0.00	4.77	0.00	4.77	90.0	4.29
04/18/2017	PEARSON, GRANT	MFS LIFETIME RETIREMENT INCOME FUND	0.00	42.16	0.00	42.16	90.0	37.94
04/18/2017	MCELROY, BENNETT	MFS VALUE A	0.00	9.42	0.00	9.42	90.0	8.48
04/24/2017	MASON, JOHN	NEUBERGER BERMAN LONG SHORT FUND CL	0.00	4.80	0.00	4.80	90.0	4.32
04/20/2017	WIESNER, BETTY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.56	0.00	1.56	90.0	1.40
04/21/2017	FUESSEL IRREV TR, NORMAN	PUTNAM ASSET ALLOC GROWTH A	0.00	16.21	0.00	16.21	90.0	14.59
04/18/2017	KETTLE, MARVIN	QS GROWTH FUND CLASS A	0.00	0.59	0.00	0.59	90.0	0.53
04/17/2017	BELL, EDDY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	10.48	0.00	10.48	90.0	9.43
04/18/2017	LESCHBER, LARRY	THE HARTFORD GLOBAL EQUITY INCOME F	0.00	8.27	0.00	8.27	90.0	7.44
04/17/2017	KURTH, KARIANNE	TRANSAMERICA AA GROWTH C	0.00	36.54	0.00	36.54	90.0	32.89
04/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	44.34	0.00	44.34	90.0	39.91
04/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	26.74	0.00	26.74	90.0	24.07
04/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	1.88	0.00	1.88	90.0	1.69
04/17/2017	KURTH, DEREK	TRANSAMERICA AA GROWTH C	0.00	1.88	0.00	1.88	90.0	1.69
04/17/2017	HERNANDEZ-GAGE, VIRGINIA	TRANSAMERICA AA MOD GROWTH C	0.00	4.15	0.00	4.15	90.0	3.74
04/17/2017	KURTH, DEREK	TRANSAMERICA AA MOD GROWTH C	0.00	6.27	0.00	6.27	90.0	5.64

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2,412.32	\$0.00	\$2,412.32	\$2,171.24
*** BROKER TRANSACTIONS TOTAL ***	\$293,834.70	\$3,115.82	\$0.00	\$3,115.82	\$2,804.38

BROKER COMMISSION TOTALS

Broker Transactions	\$2,804.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,804.38
Year-to-date Gross	\$80,878.64
Year-to-date Earnings	\$71,985.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed