



All Companies
COMMISSION STATEMENT
April 02, 2018

03/29/2018
Page No. 1

CHRISTOPHER SNOW
6336 WARWICK HILLS DR

FORT WORTH, TX 76132-

BROKER #: 4854
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/20/2018	000001970770529 GARDNER, JR, JOHN HAROLD	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	70.0	1.75
03/20/2018	00087127617 BRENNAN, JEAN S	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
03/15/2018	00089517055 MCKIMMEY, KENDALL W.	AMCAP FUND-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS AMCAP FD	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BALANCED FD	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS BD FD OF AMER FD	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS CAPITAL WORLD GROWT	B	15.14	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS EURO PACIFIC GROWT	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS GROWTH FUND OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INCOME FD OF AMERI	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INTERM BD FD OF AMER	B	7.59	0.08	0.00	0.08	70.0	0.06
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INVESTMENT COMP OF A	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS NEW PERSPECTIVE FD	B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018	02000171308 CENTURY ENGINEERING	AMERICAN FUNDS WA MUTUAL INVES	B	7.59	0.08	0.00	0.08	70.0	0.06
03/20/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER FD	B	30.00	0.30	0.00	0.30	70.0	0.21
03/20/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INCOME FD OF AMERI	B	30.00	0.30	0.00	0.30	70.0	0.21

COMMISSION STATEMENT for CHRISTOPHER SNOW

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/20/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER B		30.00	0.30	0.00	0.30	70.0	0.21
03/20/2018	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0.15	70.0	0.11
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS AMCAP FD	B	2.00	0.02	0.00	0.02	70.0	0.01
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD FD OF AMER FD	B	3.00	0.03	0.00	0.03	70.0	0.02
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS EURO PACIFIC	GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS GROWTH FUND OF AMERI B		2.00	0.02	0.00	0.02	70.0	0.01
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF AMER B		3.00	0.03	0.00	0.03	70.0	0.02
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INVESTMENT COMP OF A B		3.00	0.03	0.00	0.03	70.0	0.02
03/20/2018	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
03/15/2018	04000205238 MCKIMMEY, KENDALL W.	CAPITAL INCOME BUILDER-529A	B	115.00	5.75	0.00	5.75	70.0	4.03
* MUTUAL FUNDS SUBTOTAL *				\$604.76	\$19.24	\$0.00	\$19.24		\$13.52
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	618.92	618.92	0.00	618.92	70.0	433.24
* STOCKS SUBTOTAL *				\$618.92	\$618.92	\$0.00	\$618.92		\$433.24
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	496.29	496.29	0.00	496.29	70.0	347.40
* VARIABLE ANNUITIES SUBTOTAL *				\$496.29	\$496.29	\$0.00	\$496.29		\$347.40
<u>PRODUCT CATEGORY: RIA</u>									
03/15/2018	GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	0.34	0.00	0.34	70.0	0.24
01/12/2018	11124600 GOODMAN, PAUL R	SIGNAL SECURITIES RIA	B	0.00	280.00	0.00	280.00	70.0	196.00
01/12/2018	12390799 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	40.00	0.00	40.00	70.0	28.00
01/12/2018	14179247 RITTBY, KRISTI E	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018	18300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	134.00	0.00	134.00	70.0	93.80
01/12/2018	18987768 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	30.66	0.00	30.66	70.0	21.46
01/12/2018	22527639 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	70.0	3.26

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018	27525617 NYUL, PAUL RYAN	SIGNAL SECURITIES RIA	B	0.00	335.66	0.00	335.66	70.0	234.96
01/12/2018	27562425 MCKINNEY, HAROLD LOUIS	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	70.0	12.60
03/15/2018	28442209 GUTH, ERIC C.	SIGNAL SECURITIES RIA	B	0.00	496.00	0.00	496.00	70.0	347.20
01/12/2018	29294822 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	23.66	0.00	23.66	70.0	16.56
01/12/2018	30917351 SCHEIDEMAN, SUMMER SHARIE	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	70.0	1.40
01/12/2018	32062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	B	0.00	199.34	0.00	199.34	70.0	139.54
01/12/2018	37406476 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	70.0	13.54
01/12/2018	39194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	B	0.00	422.66	0.00	422.66	70.0	295.86
01/12/2018	39923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	70.0	5.14
01/12/2018	40772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018	41231228 HAGAN, SHERYL	SIGNAL SECURITIES RIA	B	0.00	1,293.34	0.00	1,293.34	70.0	905.34
01/12/2018	44998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	B	0.00	217.00	0.00	217.00	70.0	151.90
01/12/2018	45505681 D'ONOFRIO, JUN & BROOKE	SIGNAL SECURITIES RIA	B	0.00	161.66	0.00	161.66	70.0	113.16
01/12/2018	45603849 HOWARD REV. TRUST, CAROLYN COX	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	70.0	9.34
01/12/2018	50429988 SINGLETON, MARION B & STEV	SIGNAL SECURITIES RIA	B	0.00	1,884.34	0.00	1,884.34	70.0	1,319.04
01/12/2018	50676897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34	70.0	1.64
01/12/2018	50869265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	70.0	4.20
01/12/2018	52520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	70.0	7.46
01/12/2018	52610132 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	137.66	0.00	137.66	70.0	96.36
01/12/2018	56345160 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	70.0	9.34
01/12/2018	57264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	11.66	0.00	11.66	70.0	8.16
01/12/2018	60684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	70.0	2.34
01/12/2018	61324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00	70.0	26.60
01/12/2018	62479593 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	17.66	0.00	17.66	70.0	12.36
01/12/2018	63085125 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	310.66	0.00	310.66	70.0	217.46
01/12/2018	71324279 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	575.66	0.00	575.66	70.0	402.96
01/12/2018	73189180 WIMBERLY, MELISSA BROWNIN	SIGNAL SECURITIES RIA	B	0.00	90.00	0.00	90.00	70.0	63.00
01/12/2018	74389400 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	9.34	0.00	9.34	70.0	6.54

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	74395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	9.66	0.00	9.66	70.0	6.76
01/12/2018	74799834 RITTBY, MAGNUS LENNART	SIGNAL SECURITIES RIA	B	0.00	680.34	0.00	680.34	70.0	476.24
01/12/2018	76521383 HENDERSON, CLIFFORD & KATH	SIGNAL SECURITIES RIA	B	0.00	114.00	0.00	114.00	70.0	79.80
01/12/2018	77103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	B	0.00	129.34	0.00	129.34	70.0	90.54
01/12/2018	77901571 MCKIMMEY, DREW & KENDALL	SIGNAL SECURITIES RIA	B	0.00	48.34	0.00	48.34	70.0	33.84
01/12/2018	78113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	B	0.00	63.34	0.00	63.34	70.0	44.34
01/12/2018	78797347 WALES, CHRIS & ANDREA	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00	70.0	49.00
01/12/2018	78947702 SIMONE, GARY & PENELOPE	SIGNAL SECURITIES RIA	B	0.00	236.66	0.00	236.66	70.0	165.66
01/12/2018	80337453 TREADWELL, TONEY ELLEN	SIGNAL SECURITIES RIA	B	0.00	110.66	0.00	110.66	70.0	77.46
01/12/2018	82350222 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	70.0	155.40
01/12/2018	82374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	B	0.00	184.66	0.00	184.66	70.0	129.26
01/12/2018	83025761 SIMONE, PENELOPE ANN OW	SIGNAL SECURITIES RIA	B	0.00	133.34	0.00	133.34	70.0	93.34
01/12/2018	83130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	B	0.00	76.00	0.00	76.00	70.0	53.20
01/12/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	585.66	0.00	585.66	70.0	409.96
01/12/2018	92989374 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	0.66	0.00	0.66	70.0	0.46
01/12/2018	93302124 SCHEIDEMAN, JOHN PARKER	SIGNAL SECURITIES RIA	B	0.00	65.00	0.00	65.00	70.0	45.50
01/12/2018	95235681 STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	B	0.00	486.00	0.00	486.00	70.0	340.20
01/12/2018	97573277 GARZA, RICARDO C	SIGNAL SECURITIES RIA	B	0.00	446.66	0.00	446.66	70.0	312.66
01/12/2018	98761332 LOPEZ, VICTOR	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	70.0	3.26
01/12/2018	99462551 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	B	0.00	221.66	0.00	221.66	70.0	155.16
* RIA SUBTOTAL *				\$0.00	\$10,722.30	\$0.00	\$10,722.30		\$7,505.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	42.39	0.00	42.39	70.0	29.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$42.39	\$0.00	\$42.39		\$29.67
*** BROKER TRANSACTIONS TOTAL ***				\$1,719.97	\$11,899.14	\$0.00	\$11,899.14		\$8,329.43

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SNOW/MOYNIHAN #9543</u>									
01/12/2018 47895792	HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	265.40	0.00	265.40	70.0	185.78
01/12/2018 75756775	HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	158.00	0.00	158.00	70.0	110.60
01/12/2018 83385351	COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	23.40	0.00	23.40	70.0	16.38
01/12/2018 91616746	COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	11.00	0.00	11.00	70.0	7.70
* SNOW/MOYNIHAN SUBTOTAL *				<u>\$0.00</u>	<u>\$457.80</u>	<u>\$0.00</u>	<u>\$457.80</u>		<u>\$320.46</u>
<u>BROKER: SINGLETON/SNOW #9575</u>									
01/12/2018 57689062	SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.83	0.00	115.83	70.0	81.08
01/12/2018 97231190	CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.17	0.00	167.17	70.0	117.02
* SINGLETON/SNOW SUBTOTAL *				<u>\$0.00</u>	<u>\$283.00</u>	<u>\$0.00</u>	<u>\$283.00</u>		<u>\$198.10</u>
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
01/12/2018 15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	112.00	0.00	112.00	70.0	78.40
01/12/2018 16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	70.0	21.00
01/12/2018 18091956	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	23.74	0.00	23.74	70.0	16.62
01/12/2018 20770029	BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	187.34	0.00	187.34	70.0	131.14
01/12/2018 27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	70.0	13.54
01/12/2018 29192217	JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	B	0.00	62.00	0.00	62.00	70.0	43.40
01/12/2018 29329286	QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	240.14	0.00	240.14	70.0	168.10
01/12/2018 31207916	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	76.66	0.00	76.66	70.0	53.66
01/12/2018 31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	217.86	0.00	217.86	70.0	152.50
01/12/2018 33117987	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	12.94	0.00	12.94	70.0	9.06
01/12/2018 35080832	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	21.86	0.00	21.86	70.0	15.30
01/12/2018 35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	4.94	0.00	4.94	70.0	3.46
01/12/2018 39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	104.80	0.00	104.80	70.0	73.36
01/12/2018 39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	73.06	0.00	73.06	70.0	51.14
03/15/2018 401K	OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	85.87	0.00	85.87	70.0	60.11
01/12/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	148.66	0.00	148.66	70.0	104.06
01/12/2018 42458316	WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	42.14	0.00	42.14	70.0	29.50
01/12/2018 49635286	BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	70.0	12.60

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
01/12/2018	56512595 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	7.06	0.00	7.06	70.0	4.94
01/12/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.06	0.00	1.06	70.0	0.74
01/12/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	90.40	0.00	90.40	70.0	63.28
01/12/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	103.20	0.00	103.20	70.0	72.24
01/12/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	70.0	18.20
01/12/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	126.26	0.00	126.26	70.0	88.38
01/12/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	235.06	0.00	235.06	70.0	164.54
01/12/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	180.80	0.00	180.80	70.0	126.56
01/12/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	70.0	22.40
01/12/2018	72490138 PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	85.86	0.00	85.86	70.0	60.10
01/12/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	158.66	0.00	158.66	70.0	111.06
01/12/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	21.46	0.00	21.46	70.0	15.02
01/12/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	8.94	0.00	8.94	70.0	6.26
01/12/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	70.0	12.60
01/12/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	20.94	0.00	20.94	70.0	14.66
01/12/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	195.34	0.00	195.34	70.0	136.74
01/12/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	30.94	0.00	30.94	70.0	21.66
01/12/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	156.14	0.00	156.14	70.0	109.30
01/12/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	70.0	14.00
01/12/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	80.14	0.00	80.14	70.0	56.10
01/12/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	7.60	0.00	7.60	70.0	5.32
01/12/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	8.94	0.00	8.94	70.0	6.26
01/12/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	73.20	0.00	73.20	70.0	51.24
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$3,169.35	\$0.00	\$3,169.35		\$2,218.55
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	252.16	0.00	252.16	70.0	176.51
01/12/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	17.28	0.00	17.28	70.0	12.10
01/12/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.12	0.00	1.12	70.0	0.78
01/12/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	65.12	0.00	65.12	70.0	45.58
01/12/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	73.12	0.00	73.12	70.0	51.18
01/12/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	16.56	0.00	16.56	70.0	11.59

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: ERIC CHARLES GUTH #9617									
01/12/2018 32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	19.44	0.00	19.44	70.0	13.61
01/12/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	209.92	0.00	209.92	70.0	146.94
01/12/2018 37351723	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	187.04	0.00	187.04	70.0	130.93
	DIANNE								
01/12/2018 39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	23.68	0.00	23.68	70.0	16.58
01/12/2018 52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	26.80	0.00	26.80	70.0	18.76
	JUDITH								
01/12/2018 58050029	RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	13.92	0.00	13.92	70.0	9.74
	SHANKLAND								
01/12/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	121.28	0.00	121.28	70.0	84.90
01/12/2018 84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	56.48	0.00	56.48	70.0	39.54
	GARBER								
01/12/2018 86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	16.80	0.00	16.80	70.0	11.76
01/12/2018 89069414	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	0.96	0.00	0.96	70.0	0.67
	TAMMY M.								
01/12/2018 90329067	RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	94.88	0.00	94.88	70.0	66.42
01/12/2018 92709834	RAY, CHARLES A	SIGNAL SECURITIES RIA	B	0.00	8.40	0.00	8.40	70.0	5.88
01/12/2018 94409837	RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	5.84	0.00	5.84	70.0	4.09
01/12/2018 98008502	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	2.16	0.00	2.16	70.0	1.51
01/12/2018 98257329	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	219.28	0.00	219.28	70.0	153.50
	DIANNE								
* ERIC CHARLES GUTH SUBTOTAL *				<u>\$0.00</u>	<u>\$1,432.24</u>	<u>\$0.00</u>	<u>\$1,432.24</u>		<u>\$1,002.57</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$5,342.39</u>	<u>\$0.00</u>	<u>\$5,342.39</u>		<u>\$3,739.68</u>

OVERRIDE TRANSACTIONS**BROKER: KELLERMAN PALMERSHEIM/ #9534**

03/16/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	214.68	0.00	214.68		53.67
03/15/2018 00083950080	CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	8.75	0.00	8.75		2.19
01/12/2018 10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	72.00	0.00	72.00		18.00
	DEANN								
01/12/2018 10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	222.66	0.00	222.66		55.67
01/12/2018 20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	179.66	0.00	179.66		44.92
	MARIE								
01/12/2018 22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	35.34	0.00	35.34		8.84
01/12/2018 30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	249.34	0.00	249.34		62.34
01/12/2018 31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	31.34	0.00	31.34		7.84
01/12/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34		5.59
01/12/2018 51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	704.34	0.00	704.34		176.09

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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 OVERRIDE TRANSACTIONSBROKER: KELLERMAN PALMERSHEIM/ #9534

01/12/2018	51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	343.66	0.00	343.66	85.92
01/12/2018	52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	1.67
01/12/2018	71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	41.34	0.00	41.34	10.34
01/12/2018	79512456	BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	B	0.00	140.34	0.00	140.34	35.09
01/12/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	373.34	0.00	373.34	93.34
01/12/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	1.34	0.00	1.34	0.34
01/12/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	268.00	0.00	268.00	67.00
01/12/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	76.00	0.00	76.00	19.00
01/12/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	674.34	0.00	674.34	168.59
01/12/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	2.50
01/12/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	10.75
* KELLERMAN PALMERSHEIM/ SUBTOTAL *					\$175.00	\$3,718.47	\$0.00	\$3,718.47	\$929.69

BROKER: THOMPSON/SIGNAL #9622

01/12/2018	35296442	DAUM (SEPARATE PROP),	SIGNAL SECURITIES RIA	B	0.00	5,603.66	0.00	5,603.66	308.20
		JERRY F							
* THOMPSON/SIGNAL SUBTOTAL *					\$0.00	\$5,603.66	\$0.00	\$5,603.66	\$308.20
*** OVERRIDE TRANSACTIONS TOTAL ***					\$175.00	\$9,322.13	\$0.00	\$9,322.13	\$1,237.89

ADJUSTMENTS

04/02/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00	-13.00
04/02/2018		HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00	-30.51
04/02/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00	16.50
04/02/2018		MISC: 10% OVERRIDE 9533		0.00	0.00	0.00	0.00	147.90
04/02/2018		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00	-14.57
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$106.32

BROKER COMMISSION TOTALS

Broker Transactions	\$8,329.43
Split Transactions	\$3,739.68
Override Transactions	\$1,237.89
Adjustments	\$106.32
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$13,413.32
Year-to-date Earnings	\$78,548.22