

**COMMISSION STATEMENT****August 15, 2018**

DAN/JON PAUL FT- J1D NY RAUPP/CIR  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: J1D  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^06/30/2018	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	45.85	0.00	45.85	100.0	0.00
^07/24/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.25	0.00	4.25	100.0	0.00
^07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.08	0.00	11.08	100.0	0.00
^07/02/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.23	0.00	33.23	100.0	0.00
^07/02/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.78	0.00	10.78	100.0	0.00
^07/02/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.35	0.00	32.35	100.0	0.00
^07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.81	0.00	10.81	100.0	0.00
^07/16/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.42	0.00	32.42	100.0	0.00
^07/16/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.51	0.00	10.51	100.0	0.00
^07/16/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.52	0.00	31.52	100.0	0.00
^07/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.64	0.00	10.64	100.0	0.00
^07/16/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.92	0.00	31.92	100.0	0.00
^07/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.81	0.00	6.81	100.0	0.00
^07/16/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.43	0.00	20.43	100.0	0.00
^07/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.29	0.00	10.29	100.0	0.00
^07/16/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.88	0.00	30.88	100.0	0.00
^07/16/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.43	0.00	4.43	100.0	0.00
^07/19/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	18.23	0.00	18.23	100.0	0.00
^07/19/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	20.40	0.00	20.40	100.0	0.00
^07/19/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	13.78	0.00	13.78	100.0	0.00
^07/27/2018	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.33	0.00	6.33	100.0	0.00
^07/27/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.83	0.00	0.83	100.0	0.00
^07/27/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	17.66	0.00	17.66	100.0	0.00
^07/27/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	15.90	0.00	15.90	100.0	0.00
^07/27/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.74	0.00	0.74	100.0	0.00
^07/13/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	138.18	0.00	138.18	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^07/25/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.44	0.00	4.44	100.0	0.00
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$574.69</b>	<b>\$0.00</b>	<b>\$574.69</b>		<b>\$0.00</b>
		<b>*** DIRECT TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$574.69</b>	<b>\$0.00</b>	<b>\$574.69</b>		<b>\$0.00</b>

<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*PERSHING: DOMESTIC ADDRESS VERIFICATION	0.00					0.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
		<b>*** ADJUSTMENTS TOTAL ***</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed