

STATEMENT

February 20, 2018

NICK CONSOLES

1 Merrill Industrial Dr Suite
SUITE 620
Hampton, NH 03842

BROKER #: 155
BRANCH:
COMMISSION STATEMENT for NICK CONSOLES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/03/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
01/03/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
01/03/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	26.03	0.26	75.0	0.00	0.20	0.20
01/03/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	15.62	0.16	75.0	0.00	0.12	0.12
01/03/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
01/03/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
01/05/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
01/05/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
01/05/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	14.49	0.14	75.0	0.00	0.11	0.11
01/05/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	200.00	2.00	75.0	0.00	1.50	1.50
01/16/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
01/16/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
01/16/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	15.12	0.15	75.0	0.00	0.11	0.11
01/16/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
01/18/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	34.27	0.34	75.0	0.00	0.26	0.26
01/18/2018	#00089628297	KOWALSKISU	2025 TARGET DATE RETIRMENT	20.56	0.21	75.0	0.00	0.16	0.16
01/19/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.15
01/19/2018	#00088773957	KIMBALLCLA	2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.15
01/19/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.75
01/19/2018	#00088773942	LEWISKATHL	2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.10
01/22/2018	#04000542788	AMERICAN FUNDS 02631C445		30.35	1.52	75.0	0.00	1.14	1.14
01/22/2018	#04000542788	AMERICAN FUNDS 02631C445		13.00	0.65	75.0	0.00	0.49	0.49
01/22/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	53.97	2.70	75.0	0.00	2.03	2.03
01/22/2018	#04000542779	COTE, GREGORY	AMERICAN FUNDS 2030 TARGET DATE-A	179.92	9.00	75.0	0.00	6.75	6.75
01/22/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A		38.47	1.92	75.0	0.00	1.44	1.44
01/22/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A		38.47	1.92	75.0	0.00	1.44	1.44
01/22/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A		9.93	0.50	75.0	0.00	0.38	0.38
01/22/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A		9.93	0.50	75.0	0.00	0.38	0.38

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	9.43	0.47	75.0	0.00	0.35	0.00	0.35
01/22/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	15.72	0.79	75.0	0.00	0.59	0.00	0.59
01/29/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
01/29/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
01/29/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	100.00	1.00	75.0	0.00	0.75	0.00	0.75
01/29/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	12.60	0.13	75.0	0.00	0.10	0.00	0.10
02/01/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	20.00	0.20	75.0	0.00	0.15	0.00	0.15
02/01/2018	#00088773957	KIMBALLCLA 2020 TARGET DATE RETIRMENT	19.74	0.20	75.0	0.00	0.15	0.00	0.15
02/01/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	25.98	0.26	75.0	0.00	0.20	0.00	0.20
02/01/2018	#00089628297	KOWALSKISU 2025 TARGET DATE RETIRMENT	43.30	0.43	75.0	0.00	0.32	0.00	0.32
02/01/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	200.00	2.00	75.0	0.00	1.50	0.00	1.50
02/01/2018	#00088773942	LEWISKATHL 2030 TARGET DATE RETIREMENT	14.49	0.14	75.0	0.00	0.11	0.00	0.11
02/01/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
02/01/2018	#04000769139	COSTA, JANICE 2055 TARGET DATE RETIREMENT FUND	139.60	6.98	75.0	0.00	5.24	0.00	5.24
02/01/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	62.89	3.14	75.0	0.00	2.36	0.00	2.36
02/01/2018	#04000542764	PATENAUE, RYAN AMERICAN FUNDS 02631C445	62.89	3.14	75.0	0.00	2.36	0.00	2.36
02/01/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	50.19	2.51	75.0	0.00	1.88	0.00	1.88
02/01/2018	#04000542767	PERRY, JORDAN AMERICAN FUNDS 02631C445	50.19	2.51	75.0	0.00	1.88	0.00	1.88
02/01/2018	#04000542788	AMERICAN FUNDS 02631C445	29.33	1.47	75.0	0.00	1.10	0.00	1.10
02/01/2018	#04000542788	AMERICAN FUNDS 02631C445	12.57	0.63	75.0	0.00	0.47	0.00	0.47
02/01/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	19.61	0.98	75.0	0.00	0.74	0.00	0.74
02/01/2018	#04000542766	HUFF, KENNETH AMERICAN FUNDS 2030 TARGET DATE-A	19.61	0.98	75.0	0.00	0.74	0.00	0.74
02/01/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	260.34	13.02	75.0	0.00	9.77	0.00	9.77
02/01/2018	#04000542779	COTE, GREGORY AMERICAN FUNDS 2030 TARGET DATE-A	78.09	3.90	75.0	0.00	2.93	0.00	2.93
02/01/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	193.30	9.67	75.0	0.00	7.25	0.00	7.25
02/01/2018	#04000769148	LECLAIR, AMERICAN FUNDS 2035 TARGET DATE-A	57.97	2.90	75.0	0.00	2.18	0.00	2.18
02/01/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	37.43	1.87	75.0	0.00	1.40	0.00	1.40
02/01/2018	#04000542790	AMERICAN FUNDS 2040 TARGET DATE-A	37.43	1.87	75.0	0.00	1.40	0.00	1.40
02/01/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	24.44	1.22	75.0	0.00	0.92	0.00	0.92
02/01/2018	#04000542781	AMERICAN FUNDS 2045 TARGET DATE-A	24.44	1.22	75.0	0.00	0.92	0.00	0.92
02/01/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	32.48	1.62	75.0	0.00	1.22	0.00	1.22
02/01/2018	#04000544326	AMERICAN FUNDS 2045 TARGET DATE-A	54.15	2.71	75.0	0.00	2.03	0.00	2.03
* MUTUAL FUNDS SUBTOTAL *			\$3,071.84	\$102.17		\$0.00	\$76.71	\$0.00	\$76.71
*** BROKER TRANSACTIONS TOTAL ***			\$3,071.84	\$102.17		\$0.00	\$76.71	\$0.00	\$76.71

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ADJUSTMENTS

02/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
02/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$76.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-473.83
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-896.12</u>
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