

**COMMISSION STATEMENT****December 15, 2017**WILLIAM WALSH  
2565 West MapleBROKER #: W127 Terminated 08/17/2017  
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/09/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	31.55	0.00	31.55	0.0	0.00
11/09/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.23	0.00	3.23	0.0	0.00
11/09/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.83	0.00	6.83	0.0	0.00
11/09/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.00
11/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.32	0.00	0.32	0.0	0.00
11/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.25	0.00	4.25	0.0	0.00
11/09/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.00
11/09/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.19	0.00	1.19	0.0	0.00
11/09/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	36.50	0.00	36.50	0.0	0.00
11/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	43.00	0.00	43.00	0.0	0.00
11/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.52	0.00	16.52	0.0	0.00
11/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.49	0.00	0.49	0.0	0.00
11/09/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.49	0.00	4.49	0.0	0.00
11/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	10.07	0.00	10.07	0.0	0.00
11/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.00
11/09/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.53	0.00	4.53	0.0	0.00
11/09/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.40	0.00	0.40	0.0	0.00
11/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	10.78	0.00	10.78	0.0	0.00
11/09/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.94	0.00	4.94	0.0	0.00
11/07/2017	LEWANDOWSKI, ANDREW	BARON GROWTH FUND	0.00	41.10	0.00	41.10	0.0	0.00
11/07/2017	LEWANDOWSKI, ANDREW	BARON GROWTH FUND	0.00	22.76	0.00	22.76	0.0	0.00
11/09/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.36	0.00	9.36	0.0	0.00
11/09/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.35	0.00	1.35	0.0	0.00
11/09/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.15	0.00	1.15	0.0	0.00
11/09/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.12	0.00	1.12	0.0	0.00
11/09/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	10.71	0.00	10.71	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/09/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	0.46	0.00	0.46	0.0	0.00
11/09/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.53	0.00	2.53	0.0	0.00
11/09/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	4.48	0.00	4.48	0.0	0.00
11/09/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	21.86	0.00	21.86	0.0	0.00
11/09/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.91	0.00	1.91	0.0	0.00
11/10/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.96	0.00	7.96	0.0	0.00
11/09/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	46.75	0.00	46.75	0.0	0.00
11/09/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.13	0.00	1.13	0.0	0.00
11/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.93	0.00	4.93	0.0	0.00
11/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.91	0.00	5.91	0.0	0.00
11/09/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.62	0.00	8.62	0.0	0.00
11/09/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.47	0.00	0.47	0.0	0.00
11/09/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	28.07	0.00	28.07	0.0	0.00
11/09/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	57.46	0.00	57.46	0.0	0.00
11/09/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	0.36	0.00	0.36	0.0	0.00
11/09/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	3.61	0.00	3.61	0.0	0.00
11/01/2017	SPELLMAN, HENRY	MARSICO FOCUS FUND	0.00	151.30	0.00	151.30	0.0	0.00
11/09/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.15	0.00	1.15	0.0	0.00
11/09/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.41	0.00	1.41	0.0	0.00
11/09/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	15.64	0.00	15.64	0.0	0.00
11/09/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.32	0.00	0.32	0.0	0.00
11/09/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.25	0.00	0.25	0.0	0.00
11/09/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	3.04	0.00	3.04	0.0	0.00
11/09/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.69	0.00	14.69	0.0	0.00
11/09/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.53	0.00	0.53	0.0	0.00
11/24/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.24	0.00	0.24	0.0	0.00
11/24/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.52	0.00	0.52	0.0	0.00
11/24/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.26	0.00	1.26	0.0	0.00
11/24/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.25	0.00	0.25	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$653.94</b>	<b>\$0.00</b>	<b>\$653.94</b>		<b>\$0.00</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/04/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,372,742.51	0.00	0.00	0.00	0.0	0.00
12/04/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,372,742.51	564.14	0.00	564.14	0.0	0.00
11/30/2017	WALSH, VARIOUS	VOYA RETIREMENT & ANNUITY	0.00	668.17	0.00	668.17	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$2,745,485.02</b>	<b>\$1,232.31</b>	<b>\$0.00</b>	<b>\$1,232.31</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$0.01</b>	<b>\$0.00</b>	<b>\$0.01</b>		<b>\$0.00</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$2,745,485.02</b>	<b>\$1,886.26</b>	<b>\$0.00</b>	<b>\$1,886.26</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$126,417.12</b>
<b>Year-to-date Earnings</b>	<b>\$83,782.97</b>

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