

All Companies
STATEMENT
June 20, 2018

JOHN PINEMAN
9348 Newport Lane
SUITE 620
Highlands Ranch, CO 80130

BROKER #: 189
BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN PINEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/2018	#E0631193 DATZ, HELEN	PRUDETIAL TRAILS	0.00	4.91	95.0	0.00	4.66	0.00	4.66
04/30/2018	#E0631193 DATZ, HELEN	PRUDETIAL TRAILS	0.00	19.65	95.0	0.00	18.67	0.00	18.67
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$24.56		\$0.00	\$23.33	\$0.00	\$23.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000000586812	FRANKLIN TEMPLETON GROWTH ALLOCATIO	0.00	6.21	95.0	0.00	5.90	0.00	5.90
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.32	95.0	0.00	0.30	0.00	0.30
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.33	95.0	0.00	1.26	0.00	1.26
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.83	95.0	0.00	0.79	0.00	0.79
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.61	95.0	0.00	0.58	0.00	0.58
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.87	95.0	0.00	0.83	0.00	0.83
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	2.51	95.0	0.00	2.38	0.00	2.38
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.49	95.0	0.00	0.47	0.00	0.47
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.13	95.0	0.00	1.07	0.00	1.07
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.52	95.0	0.00	0.49	0.00	0.49
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.27	95.0	0.00	0.26	0.00	0.26
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.62	95.0	0.00	0.59	0.00	0.59
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	3.17	95.0	0.00	3.01	0.00	3.01
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.44	95.0	0.00	1.37	0.00	1.37
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	2.75	95.0	0.00	2.61	0.00	2.61
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.73	95.0	0.00	0.69	0.00	0.69
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.23	95.0	0.00	1.17	0.00	1.17
05/09/2018	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.47	95.0	0.00	0.45	0.00	0.45
05/09/2018	# PRILL, DANNA	DAIN TRAILER	0.00	1.05	95.0	0.00	1.00	0.00	1.00
05/09/2018	# MCCLOY, M JENNIFER	DAIN TRAILER	0.00	4.79	95.0	0.00	4.55	0.00	4.55
05/09/2018	# MCCLOY, M JENNIFER	DAIN TRAILER	0.00	3.90	95.0	0.00	3.71	0.00	3.71
05/09/2018	# ROSA, ANN MARIE	DAIN TRAILER	0.00	4.79	95.0	0.00	4.55	0.00	4.55
05/09/2018	# ROSA, ANN MARIE	DAIN TRAILER	0.00	3.90	95.0	0.00	3.71	0.00	3.71

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	JOHNSTON, JOHN	DAIN TRAILER	0.00	0.04	95.0	0.00	0.04	0.00	0.04
05/09/2018 #	JOHNSTON, JOHN	DAIN TRAILER	0.00	2.49	95.0	0.00	2.37	0.00	2.37
05/09/2018 #	TAYLOR, MELISSA	DAIN TRAILER	0.00	2.04	95.0	0.00	1.94	0.00	1.94
05/09/2018 #	TAYLOR, MELISSA	DAIN TRAILER	0.00	21.19	95.0	0.00	20.13	0.00	20.13
05/14/2018 #	PRILL, JOSEPH	DAIN TRAILER	617.00	0.00	95.0	0.00	0.00	0.00	0.00
05/14/2018 #	PRILL, JOSEPH	DAIN TRAILER	154.00	0.00	95.0	0.00	0.00	0.00	0.00
05/14/2018 #	PRILL, JOSEPH	DAIN TRAILER	1,261.52	0.00	95.0	0.00	0.00	0.00	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$2,032.52</u>	<u>\$69.69</u>		<u>\$0.00</u>	<u>\$66.22</u>	<u>\$0.00</u>	<u>\$66.22</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$2,032.52</u>	<u>\$94.25</u>		<u>\$0.00</u>	<u>\$89.55</u>	<u>\$0.00</u>	<u>\$89.55</u>

VERRIDE TRANSACTIONS

GORMAN, MICHAEL	458.98	9.19
*** OVERRIDE TRANSACTIONS TOTAL ***	<u>\$458.98</u>	<u>\$9.19</u>

ADJUSTMENTS

06/20/2018	ADMIN FEE: ADMIN FEE	-70.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-49.50
06/20/2018	FEDEX: FEDEX KELLY GROVES 5/23	-76.46
06/20/2018	FEDEX: FEDEX KELLY GROVES 5/29	-122.90
06/20/2018	FEDEX: FEDEX KELLY GROVES 5/4	-32.45
06/20/2018	CRD FEES: CRD FEES IL INDIVIDUAL REG FEE	-150.00
*** ADJUSTMENTS TOTAL ***		<u>\$-501.31</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$89.55
Split Transactions	\$0.00
Override Transactions	\$9.19
Adjustments	\$-501.31
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-402.57
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