

ANNE PLATSKY

10310 Sugarberry Court #402 # 402 Raleigh, NC 27614 BROKER #: 911

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRA	NSACTIONS					
PRODUCT (CATEGORY: MUTUAL FUNDS							
07/10/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	AMCAP FUND-A BUY 6 Units @34.7500	200.00	2.40	0.00	2.40	60.0	1.44
07/16/2018	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.6600	100.00	5.00	0.00	5.00	60.0	3.00
07/16/2018	8 ***-**-852I - BRYSON, LENNOX ACCOUNT #: 00066780144	EUROPACIFIC GROWTH FUND-A BUY 2 Units @57.5300	100.00	5.00	0.00	5.00	60.0	3.00
07/16/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	FUNDAMENTAL INVESTORS-A BUY 1 Units @67.7700	100.00	5.00	0.00	5.00	60.0	3.00
07/16/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	NEW PERSPECTIVE FUND-A BUY 2 Units @48.9800	100.00	5.00	0.00	5.00	60.0	3.00
07/16/2018	8 ***-**-852P - BRYSON, LENNOX ACCOUNT #: 00066794512	THE GROWTH FUND OF AMERICA-A BUY 2 Units @58.8600	100.00	5.00	0.00	5.00	60.0	3.00
07/10/2018	8 ***-**-54IN - GREEN, MICHAEL ACCOUNT #: 00059289942	THE INVESTMENT COMPANY OF AMERICA-A BUY 9 Units @42.1500	400.00	4.80	0.00	4.80	60.0	2.88
		* MUTUAL FUNDS SUBTOTAL *	\$1,100.00	\$32.20	\$0.00	\$32.20		\$19.32
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	TRAILS						
07/23/2018	8 ***-**-3981 - PLATSKY, ANNE ACCOUNT #: LSR100536	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	9.08	0.00	9.08	60.0	5.45
07/23/2018	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	4.09	0.00	4.09	60.0	2.45
07/23/2018	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	37.15	0.00	37.15	60.0	22.29
07/23/2018	8 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	30.40	0.00	30.40	60.0	18.24
07/23/2018	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	21.72	0.00	21.72	60.0	13.03
07/23/2018	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.27	0.00	12.27	60.0	7.36
07/23/2018	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	12.59	0.00	12.59	60.0	7.55
07/23/2018	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	6.51	0.00	6.51	60.0	3.91



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PRODUCT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSACTI	ONS (conting	ued)				
07/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	17.32	0.00	17.32	60.0	10.39
07/23/201	18 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.40	0.00	3.40	60.0	2.04
07/03/201	18 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	4.10	0.00	4.10	60.0	2.46
07/03/201	18 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT BALANCED PORTFOLIO - A BUY 0 Units @0.0000	0.00	5.68	0.00	5.68	60.0	3.41
07/03/201	8 ***-**-537I - HORN, ELIZABETH ACCOUNT #: 00003593290	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	8.23	0.00	8.23	60.0	4.94
07/03/201	18 ***-**-546I - ZUKOR, RONALD ACCOUNT #: 00003593332	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	11.38	0.00	11.38	60.0	6.83
07/23/201	8 ***-**-398I - PLATSKY, ANNE ACCOUNT #: LSR100536	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	7.35	0.00	7.35	60.0	4.41
07/23/201	8 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.84	0.00	2.84	60.0	1.70
07/23/201	18 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	31.99	0.00	31.99	60.0	19.19
07/23/201	18 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	28.18	0.00	28.18	60.0	16.91
07/23/201	8 ***-**-05IS - BRUNCKHORST, ACCOUNT #: LSR101818	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	60.0	0.84
07/23/201	18 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.61	0.00	3.61	60.0	2.17
07/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100633	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	60.0	1.49
07/23/201	18 ***-**-415K - SCHORR, FBO NINA ACCOUNT #: LSR101265	A CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	6.92	0.00	6.92	60.0	4.15
07/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	3.50	0.00	3.50	60.0	2.10
07/23/201	18 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	15.34	0.00	15.34	60.0	9.20



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PRODUCT	CATEGORY: MUTUAL FUND 12B1 1	BROKER TRANSACT	IONS (contin	ued)				
	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	71.43	0.00	71.43	60.0	42.86
07/23/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	27.57	0.00	27.57	60.0	16.54
07/17/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	DELAWARE GROWTH OPPORTUNITIES FD CL BUY 1 Units @0.0000	0.00	22.73	0.00	22.73	60.0	13.64
07/23/201	8 ***-**-7254 - GREEN, MICHAEL ACCOUNT #: 05163225106	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	99.22	0.00	99.22	60.0	59.53
07/23/201	8 ***-**-119I - LEVIN MD, HENRY ACCOUNT #: 5014241449	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	5.31	0.00	5.31	60.0	3.19
07/23/201	8 ***-**-931S - THE SEP ACCOUNT ACCOUNT #: 6000506880	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	13.64	0.00	13.64	60.0	8.18
07/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	34.43	0.00	34.43	60.0	20.66
07/23/201	8 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	23.31	0.00	23.31	60.0	13.99
07/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADV EQUITY GROWTH CL T BUY 1 Units @0.0000	0.00	9.80	0.00	9.80	60.0	5.88
07/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV EQUITY INCOME CL A BUY 1 Units @0.0000	0.00	11.40	0.00	11.40	60.0	6.84
07/23/201	8 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FIDELITY ADV VALUE STRATEGIES-CL A BUY 1 Units @0.0000	0.00	14.76	0.00	14.76	60.0	8.86
07/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FIDELITY ADVISOR VALUE STRAT CL T BUY 1 Units @0.0000	0.00	11.09	0.00	11.09	60.0	6.65
07/17/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN DYNATECH FUND CLASS A BUY 1 Units @0.0000	0.00	31.26	0.00	31.26	60.0	18.76
07/17/201	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN FEDERAL TAX FREE INCOME FU BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	60.0	1.71
07/17/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	27.90	0.00	27.90	60.0	16.74
07/17/201	8 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FRANKLIN HIGH-YIELD TAX-FREE INCOME BUY 1 Units @0.0000	0.00	2.48	0.00	2.48	60.0	1.49

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		BROKER TRANSACTI	ONS (continu	ıed)				
PRODUC	T CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
07/17/2	2018 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	27.20	0.00	27.20	60.0	16.32
07/17/2	2018 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	3.81	0.00	3.81	60.0	2.29
07/23/2	2018 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	59.26	0.00	59.26	60.0	35.56
07/23/2	2018 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	64.31	0.00	64.31	60.0	38.59
07/23/2	2018 ***-**-003J - LEE, MICHELE ACCOUNT #: LSR101290	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	39.44	0.00	39.44	60.0	23.66
07/23/2	2018 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	47.15	0.00	47.15	60.0	28.29
07/23/2	2018 ***-**-051S - BRUNCKHORST, ACCOUNT #: LSR101818	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	4.34	0.00	4.34	60.0	2.60
07/23/2	2018 ***-**-18IN - ZAMECK, RICKI	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	25.05	0.00	25.05	60.0	15.03
07/23/2	2018 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: 00091130985	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	50.08	0.00	50.08	60.0	30.05
07/23/2	2018 ***-**-18IN - ZAMECK, RICKI	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	-0.01	0.00	-0.01	60.0	-0.01
07/23/2	2018 ***-**-415K - SCHORR, FBO NIN ACCOUNT #: LSR101265	A GRIFFIN INST ACCESS REALESTATE FD C BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	60.0	0.83
07/23/2	2018 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	74.53	0.00	74.53	60.0	44.72
07/23/2	2018 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	49.20	0.00	49.20	60.0	29.52
07/23/2	2018 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	10.76	0.00	10.76	60.0	6.46
07/23/2	2018 ***-**-415K - SCHORR, FBO NIN. ACCOUNT #: LSR101265	A NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	11.82	0.00	11.82	60.0	7.09
07/23/2	2018 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	47.22	0.00	47.22	60.0	28.33



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		BROKER TRANSACTI	ONS (continu	.ed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
07/23/20	018 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	35.87	0.00	35.87	60.0	21.52
07/23/20	018 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	29.69	0.00	29.69	60.0	17.81
07/23/20	018 ***-**-72IN - ZUCKERMAN, MARC ACCOUNT #: LSR100820	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	6.22	0.00	6.22	60.0	3.73
07/23/20	018 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	11.39	0.00	11.39	60.0	6.83
07/23/20	018 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.34	0.00	7.34	60.0	4.40
07/23/20	018 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	49.18	0.00	49.18	60.0	29.51
07/23/20	018 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	116.00	0.00	116.00	60.0	69.60
07/23/20	018 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.22	0.00	4.22	60.0	2.53
07/23/20	018 ***-**-807I - WALSH III, FBO ACCOUNT #: LSR100781	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.22	0.00	4.22	60.0	2.53
07/23/20	018 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	9.14	0.00	9.14	60.0	5.48
07/23/20	018 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	28.31	0.00	28.31	60.0	16.99
07/23/20	018 ***-**-03IN - LEE, MICHELE ACCOUNT #: LSR101303	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.36	0.00	8.36	60.0	5.02
07/23/20	018 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.30	0.00	7.30	60.0	4.38
07/23/20	018 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	30.84	0.00	30.84	60.0	18.50
07/23/20	018 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	15.75	0.00	15.75	60.0	9.45
07/23/20	018 ***-**-8459 - SCLAFANI, ACCOUNT #: LSR102040	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	13.55	0.00	13.55	60.0	8.13



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		BROKER TRANSACTION	ONS (continue	ed)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
07/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	22.48	0.00	22.48	60.0	13.49
07/23/201	8 ***-**-8943 - KOULOS, JOHN ACCOUNT #: LSR100811	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	51.64	0.00	51.64	60.0	30.98
07/23/201	8 ***-**-1833 - ARONOW, DOREEN ACCOUNT #: LSR100870	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	16.51	0.00	16.51	60.0	9.91
07/23/201	8 ***-**-6415 - SCHORR, NINA ACCOUNT #: LSR101257	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	20.13	0.00	20.13	60.0	12.08
07/23/201	8 ***-**-5380 - LEE, WAI ACCOUNT #: LSR101311	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	84.57	0.00	84.57	60.0	50.74
07/05/201	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FARGO HIGH YLD INC FD CL A BUY 0 Units @0.0000	0.00	0.14	0.00	0.14	60.0	0.08
07/05/201	8 ***-**-4866 - KOVEL, ARTHUR ACCOUNT #: 08324760907	WELLS FGO AVTG DIVERSFD CAPITAL BLD BUY 0 Units @0.0000	0.00	2.96	0.00	2.96	60.0	1.78
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,788.07	\$0.00	\$1,788.07		\$1,072.83
		*** BROKER TRANSACTIONS TOTAL ***	\$1,100.00	\$1,820.27	\$0.00	\$1,820.27		\$1,092.15



COMMISSION STATEMENT

July 23, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						BROKER COMMI	SSION	TOTALS
					Broke	er Transactions		\$1,092.15
					Spl	it Transactions		\$0.00
					Overrio	de Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$20.90
					F	INRA Assessment		\$0.00
					5	SIPC Assessment		\$0.00
					Net Cor	mmission Earned		\$1,113.05
					Year-to	o-date Earnings		\$12,711.49