

## COMMISSION STATEMENT

February 28, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/12/2018	QGW010423	MEULE, JANET	ORCHID ISLAND CAPITAL INC	B	2,205.00	30.00	0.00	30.00	82.5	24.75
02/07/2018	QGW032013	STROPE, DALE	SALESFORCE.COM INC	S	-22,070.00	250.00	0.00	250.00	82.5	206.25
02/09/2018	QGW032013	STROPE, DALE	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,540.00	75.00	0.00	75.00	82.5	61.88
02/16/2018	QGW032013	STROPE, DALE	MICROSOFT CORP	B	13,845.00	400.00	0.00	400.00	82.5	330.00
02/09/2018	QGW032039	SANDERS, RALPH	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,540.00	75.00	0.00	75.00	82.5	61.88
02/05/2018	QGW032047	SHIER, ANDY	HOME DEPOT INC	S	-38,400.00	200.00	0.00	200.00	82.5	165.00
02/06/2018	QGW032047	SHIER, ANDY	ALIBABA GROUP HOLDING LTD SPONSORED S		-26,850.00	250.00	0.00	250.00	82.5	206.25
02/06/2018	QGW032047	SHIER, ANDY	MOMO INC ADR	S	-11,200.00	100.00	0.00	100.00	82.5	82.50
02/09/2018	QGW032047	SHIER, ANDY	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,420.00	75.00	0.00	75.00	82.5	61.88
02/06/2018	QGW032054	PAEGLOW, JOSEPH	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,400.00	150.00	0.00	150.00	82.5	123.75
02/08/2018	QGW032054	PAEGLOW, JOSEPH	CREDIT SUISSE AG NASSAU BRH VELOCIT S		-5,133.60	100.00	0.00	100.00	82.5	82.50
02/09/2018	QGW032054	PAEGLOW, JOSEPH	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,540.00	50.00	0.00	50.00	82.5	41.25
02/09/2018	QGW032054	PAEGLOW, JOSEPH	CREDIT SUISSE AG NASSAU BRH VELOCIT S		-4,114.08	20.00	0.00	20.00	82.5	16.50
02/09/2018	QGW032054	PAEGLOW, JOSEPH	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,621.00	75.00	0.00	75.00	82.5	61.88
02/14/2018	QGW032054	PAEGLOW, JOSEPH	CREDIT SUISSE AG NASSAU BRH VELOCIT S		-2,850.03	50.00	0.00	50.00	82.5	41.25
02/16/2018	QGW032054	PAEGLOW, JOSEPH	MICROSOFT CORP	B	9,190.00	275.00	0.00	275.00	82.5	226.88
02/23/2018	QGW032054	PAEGLOW, JOSEPH	BARCLAYS BANK PLC IPATH S&P 500 VIX B		6,375.00	75.00	0.00	75.00	82.5	61.88
02/06/2018	QGW032088	YALINEWITZ, BOAZ	ALIBABA GROUP HOLDING LTD SPONSORED S		-9,682.20	250.00	0.00	250.00	82.5	206.25
02/07/2018	QGW032088	YALINEWITZ, BOAZ	MICROSOFT CORP	B	9,933.00	300.00	0.00	300.00	82.5	247.50
02/05/2018	QGW032104	DAVIDOV, WILLIAM	AMAZON.COM INC	S	-4,200.00	65.00	0.00	65.00	82.5	53.63
02/05/2018	QGW032104	DAVIDOV, WILLIAM	APOLLO GLOBAL MANAGEMENT LLC CL A S S		-3,500.00	35.00	0.00	35.00	82.5	28.88
02/05/2018	QGW032104	DAVIDOV, WILLIAM	APPLE INC	B	7,567.00	40.00	0.00	40.00	82.5	33.00
02/06/2018	QGW032104	DAVIDOV, WILLIAM	BOISE CASCADE CO COM	S	-4,920.00	40.00	0.00	40.00	82.5	33.00
02/06/2018	QGW032104	DAVIDOV, WILLIAM	FLEX LTD ORDINARY SHARES	S	-2,550.00	35.00	0.00	35.00	82.5	28.88
02/06/2018	QGW032104	DAVIDOV, WILLIAM	LIONS GATE ENTERTAINMENT CORP REGIS S		-4,965.00	40.00	0.00	40.00	82.5	33.00
02/06/2018	QGW032104	DAVIDOV, WILLIAM	TESLA MOTORS INC	B	8,337.78	40.00	0.00	40.00	82.5	33.00
02/08/2018	QGW032104	DAVIDOV, WILLIAM	AMAZON.COM INC	B	4,170.00	40.00	0.00	40.00	82.5	33.00
02/08/2018	QGW032104	DAVIDOV, WILLIAM	APPLE INC	B	2,715.75	40.00	0.00	40.00	82.5	33.00
02/08/2018	QGW032104	DAVIDOV, WILLIAM	HORIZON PHARMA PLC SHS	S	-2,744.02	30.00	0.00	30.00	82.5	24.75
02/12/2018	QGW032104	DAVIDOV, WILLIAM	SKYLINE CORP COM	S	-3,210.02	70.10	0.00	70.10	82.5	57.83
02/14/2018	QGW032104	DAVIDOV, WILLIAM	APPLE INC	B	2,643.04	30.00	0.00	30.00	82.5	24.75

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/14/2018	QGW032104	DAVIDOV, WILLIAM	PRESIDIO INC COM	S	-2,844.02	30.00	0.00	30.00	82.5	24.75
02/05/2018	QGW032120	DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	S	-2,202.00	40.00	0.00	40.00	82.5	33.00
02/21/2018	QGW032120	DAVIDOV, WILLIAM	STMICROELECTRONICS NV SHS N Y REGIS B		3,458.99	35.00	0.00	35.00	82.5	28.88
02/21/2018	QGW032120	DAVIDOV, WILLIAM	VALE S A SPONSORED ADR	S	-1,371.01	35.00	0.00	35.00	82.5	28.88
02/12/2018	QGW032195	NERY, GENEROSO	CREDIT SUISSE AG NASSAU BRH VELOCIT B		2,625.00	50.00	0.00	50.00	82.5	41.25
02/14/2018	QGW032195	NERY, GENEROSO	CREDIT SUISSE AG NASSAU BRH VELOCIT S		-2,375.00	20.00	0.00	20.00	82.5	16.50
01/30/2018	QGW032229	WATSON, ROGER	KINDER MORGAN INC	S	-3,673.00	50.00	0.00	50.00	82.5	41.25
01/30/2018	QGW032229	WATSON, ROGER	MICROSOFT CORP	B	5,106.75	100.00	0.00	100.00	82.5	82.50
02/06/2018	QGW032237	PETERSON, SHAWN	ALIBABA GROUP HOLDING LTD SPONSORED S		-26,850.00	300.00	0.00	300.00	82.5	247.50
02/06/2018	QGW032237	PETERSON, SHAWN	JD.COM INC SPONSORED ADR REPSTG COM S		-13,200.00	100.00	0.00	100.00	82.5	82.50
02/06/2018	QGW032237	PETERSON, SHAWN	SALESFORCE.COM INC	S	-21,100.00	100.00	0.00	100.00	82.5	82.50
02/09/2018	QGW032237	PETERSON, SHAWN	ALIBABA GROUP HOLDING LTD SPONSORED B		17,200.00	200.00	0.00	200.00	82.5	165.00
02/09/2018	QGW032237	PETERSON, SHAWN	CREDIT SUISSE AG NASSAU BRH VELOCIT B		3,534.00	100.00	0.00	100.00	82.5	82.50
02/22/2018	QGW032252	OMAR, ZEKI	AMAZON.COM INC	S	-7,430.55	50.00	0.00	50.00	82.5	41.25
02/22/2018	QGW032252	OMAR, ZEKI	NETFLIX COM INC	S	-4,174.25	50.00	0.00	50.00	82.5	41.25
02/13/2018	QGW032278	DUFRESNE, WAYNE	FACEBOOK INC CL A	B	8,715.00	220.00	0.00	220.00	82.5	181.50
02/16/2018	QGW032302	CHEN, PETER	COMMERCIAL METALS CO	S	-20,800.00	450.00	0.00	450.00	82.5	371.25
02/02/2018	QGW032336	WESSTROM, LAUREN	APPLE INC	B	1,787.50	30.00	0.00	30.00	82.5	24.75
02/06/2018	QGW032344	DYKSTRA, JAMES	ALIBABA GROUP HOLDING LTD SPONSORED S		-18,000.00	300.00	0.00	300.00	82.5	247.50
02/06/2018	QGW032344	DYKSTRA, JAMES	INTUITIVE SURGICAL INC COM	S	-15,627.20	100.00	0.00	100.00	82.5	82.50
02/09/2018	QGW032344	DYKSTRA, JAMES	CREDIT SUISSE AG NASSAU BRH VELOCIT B		2,320.00	75.00	0.00	75.00	82.5	61.88
02/16/2018	QGW032344	DYKSTRA, JAMES	CREDIT SUISSE AG NASSAU BRH VELOCIT B		760.00	10.00	0.00	10.00	82.5	8.25
02/16/2018	QGW032344	DYKSTRA, JAMES	MICROSOFT CORP	B	13,845.00	400.00	0.00	400.00	82.5	330.00
02/08/2018	QGW032377	YALINEWITZ, BOAZ	ALIBABA GROUP HOLDING LTD SPONSORED B		4,152.00	135.00	0.00	135.00	82.5	111.38
02/05/2018	QGW033136	MARAGLINO, NICHOLAS	ALIBABA GROUP HOLDING LTD SPONSORED S		-9,611.68	300.00	0.00	300.00	82.5	247.50
02/07/2018	QGW033136	MARAGLINO, NICHOLAS	MICROSOFT CORP	B	9,540.00	285.00	0.00	285.00	82.5	235.13
02/09/2018	QGW033300	SECCHIANO, EMILIA	APPLE INC	B	7,575.00	225.00	0.00	225.00	82.5	185.63
02/02/2018	QGW033870	BROWN, KENNETH	VODAFONE GROUP PLC SPONSORED ADR NO S		-12,488.00	165.00	0.00	165.00	82.5	136.13
02/06/2018	QGW033870	BROWN, KENNETH	NUTRIEN LTD REGISTERED SHS ISIN#CA6 S		-18,800.00	200.00	0.00	200.00	82.5	165.00
02/16/2018	QGW033870	BROWN, KENNETH	FACEBOOK INC CL A	B	17,680.00	500.00	0.00	500.00	82.5	412.50
02/01/2018	QGW035073	POORMAN, JEFFREY	APPLE INC	B	992.88	25.00	0.00	25.00	82.5	20.63
02/05/2018	QGW037202	ELIHU, ALBERT	QUALCOMM INC	B	19,374.00	120.00	0.00	120.00	82.5	99.00
02/07/2018	QGW037202	ELIHU, ALBERT	QUALCOMM INC	S	-19,560.03	50.00	0.00	50.00	82.5	41.25
01/30/2018	QGW038986	DZEGAR, DANIEL	APPLE INC	B	1,168.86	30.00	0.00	30.00	82.5	24.75
* STOCKS SUBTOTAL *					\$-138,618.14	\$8,185.10	\$0.00	\$8,185.10		\$6,752.79

**PRODUCT CATEGORY: OPTIONS**

02/05/2018	QGW032047	SHIER, ANDY		CALL 100 HOME DEPOT INC COM EXP 02- B		180.00	10.00	0.00	10.00	82.5	8.25
02/26/2018	QGW032054	PAEGLOW, JOSEPH		CALL 100 AMERICAN EXPRESS COMPANY E S		-1,080.00	35.00	0.00	35.00	82.5	28.88
02/08/2018	QGW032245	D'ADAMO, WILLIAM		PUT 100 ALIBABA GROUP HLDG LTD SPON S		-770.00	30.00	0.00	30.00	82.5	24.75

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BROKER TRANSACTIONSPRODUCT CATEGORY: MISCELLANEOUS

* OPTIONS SUBTOTAL *	\$-1,670.00	\$75.00	\$0.00	\$75.00	\$61.88
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PRODUCT CATEGORY: MISCELLANEOUS

02/28/2018	VARIOUS, SACHS	BARRY AND GUY	B	0.00	190.00	0.00	190.00	82.5	156.75
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$190.00	\$0.00	\$190.00		\$156.75

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/12/2018	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C B	0.00	6.64	0.00	6.64	82.5	5.48
02/16/2018	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY B	0.00	0.59	0.00	0.59	82.5	0.49
02/22/2018	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.70	0.00	0.70	0.58
02/22/2018	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.25	0.00	0.25	0.21
02/22/2018	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.47	0.00	0.47	0.39
02/21/2018	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.34	0.00	0.34	0.28
02/21/2018	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A	B	0.00	0.40	0.00	0.40	0.33
02/21/2018	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	B	0.00	0.19	0.00	0.19	0.16
02/21/2018	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	0.23
02/21/2018	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.41	0.00	0.41	0.34
02/21/2018	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	0.18	0.00	0.18	82.5	0.15
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$10.45	\$0.00	\$10.45		\$8.64
*** BROKER TRANSACTIONS TOTAL ***				\$-140,288.14	\$8,460.55	\$0.00	\$8,460.55		\$6,980.06

ADJUSTMENTS

02/28/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-123.75
02/28/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-12.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-135.75

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,980.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.34
SIPC Assessment	\$-20.68

<b>Check Amount</b>	<b>\$6,813.29</b>
<b>Year-to-date Earnings</b>	<b>\$18,280.57</b>