



Concorde Investment Services, LLC

05/11/2017  
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# COMMISSION STATEMENT May 15, 2017

JAMES MAHER  
3082 Quail Ridge Circle  
  
Rochester Hills, MI 48309-

BROKER #: M127  
BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/18/2017	MAHER, HENRY	AM CENT CORPORATE BOND	0.00	26.50	0.00	26.50	90.0	23.85
04/18/2017	MAHER, HENRY	AM CENT EQUITY INCOME	0.00	31.45	0.00	31.45	90.0	28.31
04/18/2017	NUNEZ TRUST, LYNDA	AM CENT MUTUAL GROWTH	0.00	53.13	0.00	53.13	90.0	47.82
04/18/2017	NUNEZ TRUST, LYNDA	AM CENT MUTUAL GROWTH	0.00	17.71	0.00	17.71	90.0	15.94
04/05/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	6,900.00	89.00	23.00	66.00	90.0	59.40
04/18/2017	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	1.11	0.00	1.11	90.0	1.00
04/18/2017	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	3.32	0.00	3.32	90.0	2.99
04/18/2017	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	0.28	0.00	0.28	90.0	0.25
04/18/2017	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	0.09	0.00	0.09	90.0	0.08
04/18/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	0.17	0.00	0.17	90.0	0.15
04/18/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	0.50	0.00	0.50	90.0	0.45
04/18/2017	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	0.77	0.00	0.77	90.0	0.69
04/18/2017	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	2.32	0.00	2.32	90.0	2.09
04/18/2017	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	2.63	0.00	2.63	90.0	2.37
04/18/2017	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	0.88	0.00	0.88	90.0	0.79
04/18/2017	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	1.75	0.00	1.75	90.0	1.58
04/18/2017	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	0.58	0.00	0.58	90.0	0.52
04/18/2017	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	0.24	0.00	0.24	90.0	0.22
04/18/2017	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	0.08	0.00	0.08	90.0	0.07
04/18/2017	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	0.15	0.00	0.15	90.0	0.14
04/18/2017	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	0.45	0.00	0.45	90.0	0.41
04/18/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	0.00	2.94	0.00	2.94	90.0	2.65
04/18/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	0.00	8.83	0.00	8.83	90.0	7.95
04/18/2017	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	2.24	0.00	2.24	90.0	2.02
04/18/2017	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	0.75	0.00	0.75	90.0	0.68
04/18/2017	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	0.98	0.00	0.98	90.0	0.88

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/18/2017	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	2.95	0.00	2.95	90.0	2.66
05/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
04/11/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.75	0.00	1.75	90.0	1.58
04/11/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	0.55	0.00	0.55	90.0	0.50
04/11/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.75	0.00	6.75	90.0	6.08
04/11/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.44	0.00	1.44	90.0	1.30
04/11/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	21.77	0.00	21.77	90.0	19.59
04/11/2017	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	0.00	3.15	0.00	3.15	90.0	2.84
04/11/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.57	0.00	9.57	90.0	8.61
04/11/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.38	0.00	0.38	90.0	0.34
04/11/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.40	0.00	4.40	90.0	3.96
04/11/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.20	0.00	2.20	90.0	1.98
04/11/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.86	0.00	2.86	90.0	2.57
04/28/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
04/28/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
04/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	0.00	1.86	90.0	1.67
04/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.92	0.00	1.92	90.0	1.73
04/05/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	4,000.00	60.00	0.00	60.00	90.0	54.00
04/05/2017	FLOWERS, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	9,000.00	110.00	0.00	110.00	90.0	99.00
04/11/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.52	0.00	5.52	90.0	4.97
04/11/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.59	0.00	11.59	90.0	10.43
04/11/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.15	0.00	6.15	90.0	5.54
04/11/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.49	0.00	8.49	90.0	7.64
04/11/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.94	0.00	5.94	90.0	5.35
04/11/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	36.86	0.00	36.86	90.0	33.17
04/11/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.41	0.00	8.41	90.0	7.57
04/11/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.73	0.00	5.73	90.0	5.16
04/11/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	34.27	0.00	34.27	90.0	30.84
04/11/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.39	0.00	3.39	90.0	3.05

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.12	0.00	7.12	90.0	6.41
04/11/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.54	0.00	1.54	90.0	1.39
04/11/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.35	0.00	11.35	90.0	10.22
04/11/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.40	0.00	5.40	90.0	4.86
04/11/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.49	0.00	2.49	90.0	2.24
04/11/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	23.54	0.00	23.54	90.0	21.19
04/11/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.95	0.00	8.95	90.0	8.06
04/11/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.16	0.00	10.16	90.0	9.14
04/11/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.44	0.00	6.44	90.0	5.80
04/11/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.28	0.00	12.28	90.0	11.05
04/11/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.88	0.00	4.88	90.0	4.39
04/11/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.33	0.00	1.33	90.0	1.20
04/11/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.23	0.00	11.23	90.0	10.11
04/11/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.70	0.00	10.70	90.0	9.63
04/11/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.79	0.00	1.79	90.0	1.61
04/11/2017	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.06	0.00	12.06	90.0	10.85
04/11/2017	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.92	0.00	11.92	90.0	10.73
04/11/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.51	0.00	9.51	90.0	8.56
04/11/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.73	0.00	4.73	90.0	4.26
04/11/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	133.64	0.00	133.64	90.0	120.28
04/19/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	14,499.42	20.00	0.00	20.00	90.0	18.00
04/11/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.09	0.00	1.09	90.0	0.98
04/11/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.22	0.00	1.22	90.0	1.10
04/11/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.81	0.00	1.81	90.0	1.63
04/11/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.23	0.00	0.23	90.0	0.21
04/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.15	0.00	1.15	90.0	1.04
05/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
04/11/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.65	0.00	0.65	90.0	0.59
04/11/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	90.0	0.14
04/11/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.67	0.00	1.67	90.0	1.50
04/11/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	17.83	0.00	17.83	90.0	16.05

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.96	0.00	1.96	90.0	1.76
04/11/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	19.83	0.00	19.83	90.0	17.85
04/11/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	80.03	0.00	80.03	90.0	72.03
04/11/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.04	0.00	7.04	90.0	6.34
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.83	0.00	3.83	90.0	3.45
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.09	0.00	1.09	90.0	0.98
04/11/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.12	0.00	5.12	90.0	4.61
04/11/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.66	0.00	8.66	90.0	7.79
04/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.50	0.00	4.50	90.0	4.05
04/11/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.46	0.00	1.46	90.0	1.31
04/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	53.18	0.00	53.18	90.0	47.86
04/11/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.60	0.00	7.60	90.0	6.84
04/11/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.99	0.00	4.99	90.0	4.49
05/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
04/11/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.56	0.00	0.56	90.0	0.50
04/11/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.24	0.00	1.24	90.0	1.12
04/11/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.43	0.00	8.43	90.0	7.59
04/11/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.99	0.00	18.99	90.0	17.09
04/11/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.47	0.00	7.47	90.0	6.72
04/11/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.74	0.00	3.74	90.0	3.37
04/11/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.49	0.00	2.49	90.0	2.24
04/11/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	30.34	0.00	30.34	90.0	27.31
04/11/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.23	0.00	10.23	90.0	9.21
04/11/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	25.10	0.00	25.10	90.0	22.59
04/11/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	17.74	0.00	17.74	90.0	15.97
04/11/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.00	0.00	9.00	90.0	8.10
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.80	0.00	18.80	90.0	16.92
04/11/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.35	0.00	9.35	90.0	8.42
04/11/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.39	0.00	6.39	90.0	5.75
04/11/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.04	0.00	4.04	90.0	3.64
04/11/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.37	0.00	7.37	90.0	6.63

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.75	0.00	5.75	90.0	5.18
04/11/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.77	0.00	6.77	90.0	6.09
04/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.73	0.00	3.73	90.0	3.36
04/11/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.93	0.00	0.93	90.0	0.84
04/11/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.96	0.00	0.96	90.0	0.86
04/11/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.93	0.00	0.93	90.0	0.84
04/11/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	20.07	0.00	20.07	90.0	18.06
04/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	46.24	0.00	46.24	90.0	41.62
04/11/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.52	0.00	7.52	90.0	6.77
04/11/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.16	0.00	1.16	90.0	1.04
04/11/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.06	0.00	1.06	90.0	0.95
04/11/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.09	0.00	5.09	90.0	4.58
04/11/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.79	0.00	3.79	90.0	3.41
04/11/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.60	0.00	8.60	90.0	7.74
04/11/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.05	0.00	2.05	90.0	1.85
04/11/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.49	0.00	1.49	90.0	1.34
04/11/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.58	0.00	8.58	90.0	7.72
04/11/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.06	0.00	10.06	90.0	9.05
04/11/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.56	0.00	2.56	90.0	2.30
04/11/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.78	0.00	0.78	90.0	0.70
04/11/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.73	0.00	1.73	90.0	1.56
04/11/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.99	0.00	1.99	90.0	1.79
04/11/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	184.33	0.00	184.33	90.0	165.90
04/25/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
05/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
04/11/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.17	0.00	4.17	90.0	3.75
04/11/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	90.0	0.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.00	0.00	12.00	90.0	10.80
04/11/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.73	0.00	5.73	90.0	5.16
04/11/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.75	0.00	4.75	90.0	4.28
04/11/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.87	0.00	3.87	90.0	3.48
04/11/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.83	0.00	1.83	90.0	1.65
04/11/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.77	0.00	9.77	90.0	8.79
04/11/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.71	0.00	15.71	90.0	14.14
04/11/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.69	0.00	10.69	90.0	9.62
04/11/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.23	0.00	5.23	90.0	4.71
04/11/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.54	0.00	28.54	90.0	25.69
04/11/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	86.12	0.00	86.12	90.0	77.51
04/11/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.91	0.00	3.91	90.0	3.52
04/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	44.23	0.00	44.23	90.0	39.81
04/11/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.00	0.00	11.00	90.0	9.90
04/11/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.88	0.00	4.88	90.0	4.39
04/11/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.52	0.00	7.52	90.0	6.77
04/11/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.74	0.00	16.74	90.0	15.07
04/11/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.31	0.00	27.31	90.0	24.58
04/11/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	93.05	0.00	93.05	90.0	83.75
04/11/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.59	0.00	13.59	90.0	12.23
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.77	0.00	8.77	90.0	7.89
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.59	0.00	12.59	90.0	11.33
04/11/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.46	0.00	10.46	90.0	9.41
04/11/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.85	0.00	3.85	90.0	3.47
04/11/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.96	0.00	4.96	90.0	4.46
04/11/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.60	0.00	14.60	90.0	13.14
04/11/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.78	0.00	11.78	90.0	10.60
04/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.48	0.00	4.48	90.0	4.03
04/11/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.60	0.00	8.60	90.0	7.74
04/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	97.81	0.00	97.81	90.0	88.03
04/11/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.92	0.00	11.92	90.0	10.73
04/11/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.66	0.00	18.66	90.0	16.79
04/11/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.96	0.00	14.96	90.0	13.46

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.80	0.00	8.80	90.0	7.92
04/11/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.40	0.00	10.40	90.0	9.36
04/11/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.49	0.00	0.49	90.0	0.44
04/11/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.72	0.00	12.72	90.0	11.45
04/11/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.19	0.00	4.19	90.0	3.77
04/11/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.47	0.00	12.47	90.0	11.22
04/11/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.84	0.00	9.84	90.0	8.86
04/11/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.98	0.00	16.98	90.0	15.28
04/11/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.08	0.00	25.08	90.0	22.57
04/11/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.20	0.00	33.20	90.0	29.88
04/11/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.50	0.00	8.50	90.0	7.65
04/19/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	3,000.00	20.00	0.00	20.00	90.0	18.00
04/11/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.15	0.00	2.15	90.0	1.94
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.53	0.00	4.53	90.0	4.08
04/11/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.98	0.00	2.98	90.0	2.68
04/11/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.96	0.00	2.96	90.0	2.66
04/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.74	0.00	1.74	90.0	1.57
04/11/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.07	0.00	4.07	90.0	3.66
04/11/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.88	0.00	0.88	90.0	0.79
04/11/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.16	0.00	0.16	90.0	0.14
04/11/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.69	0.00	1.69	90.0	1.52
04/11/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.35	0.00	1.35	90.0	1.22
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.84	0.00	3.84	90.0	3.46
04/11/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.63	0.00	2.63	90.0	2.37
04/25/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
05/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
05/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
04/11/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.80	0.00	0.80	90.0	0.72
04/11/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.31	0.00	1.31	90.0	1.18
04/11/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	9.80	0.00	9.80	90.0	8.82
04/11/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.28	0.00	7.28	90.0	6.55
04/11/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.73	0.00	8.73	90.0	7.86
04/11/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.43	0.00	1.43	90.0	1.29

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	CARROLL, EILEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
04/11/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	15.97	0.00	15.97	90.0	14.37
04/11/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.70	0.00	10.70	90.0	9.63
04/11/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.27	0.00	38.27	90.0	34.44
04/11/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.35	0.00	21.35	90.0	19.22
04/11/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	18.93	0.00	18.93	90.0	17.04
04/11/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.85	0.00	37.85	90.0	34.07
04/11/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	26.88	0.00	26.88	90.0	24.19
04/11/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.37	0.00	7.37	90.0	6.63
04/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.58	0.00	1.58	90.0	1.42
04/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	0.00	56.35	0.00	56.35	90.0	50.72
04/11/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.90	0.00	7.90	90.0	7.11
04/11/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.65	0.00	13.65	90.0	12.29
04/11/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.04	0.00	10.04	90.0	9.04
04/11/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.10	0.00	7.10	90.0	6.39
04/11/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	156.79	0.00	156.79	90.0	141.11
04/11/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	11.83	0.00	11.83	90.0	10.65
04/11/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.94	0.00	0.94	90.0	0.85
04/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.69	0.00	0.69	90.0	0.62
04/11/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.10	0.00	0.10	90.0	0.09
05/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
04/11/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
04/11/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.27	0.00	1.27	90.0	1.14
04/11/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	27.62	0.00	27.62	90.0	24.86
04/11/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	6.86	0.00	6.86	90.0	6.17
04/11/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	17.20	0.00	17.20	90.0	15.48
04/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	13.53	0.00	13.53	90.0	12.18
04/11/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	4.66	0.00	4.66	90.0	4.19
04/11/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	15.49	0.00	15.49	90.0	13.94
04/11/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.19	0.00	3.19	90.0	2.87
04/11/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.18	0.00	2.18	90.0	1.96
04/11/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.45	0.00	1.45	90.0	1.31
04/11/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.39	0.00	1.39	90.0	1.25

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	35.36	0.00	35.36	90.0	31.82
04/11/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.38	0.00	2.38	90.0	2.14
04/11/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.08	0.00	1.08	90.0	0.97
05/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
04/11/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.87	0.00	0.87	90.0	0.78
04/11/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.45	0.00	2.45	90.0	2.21
04/25/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	25.00	0.25	0.00	0.25	90.0	0.23
05/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
04/25/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	25.00	0.25	0.00	0.25	90.0	0.23
05/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
04/11/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.09	0.00	1.09	90.0	0.98
04/11/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.93	0.00	0.93	90.0	0.84
04/11/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.66	0.00	1.66	90.0	1.49
04/11/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.51	0.00	11.51	90.0	10.36
04/11/2017	SIZEMORE, KATHERINE	BLK WORLD INCOME A	0.00	0.06	0.00	0.06	90.0	0.05
04/06/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	18.53	0.00	18.53	90.0	16.68
04/06/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	13.85	0.00	13.85	90.0	12.47
04/06/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	37.88	0.00	37.88	90.0	34.09
04/06/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	68.83	0.00	68.83	90.0	61.95
04/11/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	40.62	0.00	40.62	90.0	36.56
04/11/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.22	0.00	7.22	90.0	6.50
04/11/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.80	0.00	4.80	90.0	4.32
04/11/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.52	0.00	7.52	90.0	6.77
04/11/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.01	0.00	10.01	90.0	9.01
04/11/2017	NUNEZ TRUST, LYNDA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	22.03	0.00	22.03	90.0	19.83
04/11/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.92	0.00	6.92	90.0	6.23
04/11/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.29	0.00	9.29	90.0	8.36
04/11/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.48	0.00	10.48	90.0	9.43
04/11/2017	NUNEZ TRUST, LYNDA	COLUMBIA SER TR II MASS	0.00	22.42	0.00	22.42	90.0	20.18
04/18/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	13.75	0.14	0.00	0.14	90.0	0.13
05/03/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	0.00	1.44	0.00	1.44	90.0	1.30
04/11/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.50	0.00	7.50	90.0	6.75
04/12/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.98	0.00	10.98	90.0	9.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	MAHER, HENRY	DEUTSCHE WORLD DIVIDEND FUND-C	0.00	0.22	0.00	0.22	90.0	0.20
04/11/2017	MAHER, HENRY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	1.29	0.00	1.29	90.0	1.16
04/11/2017	FRASER, SHARON	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	30.86	0.00	30.86	90.0	27.77
04/11/2017	MARIN CUST ACCT, NICHOLAS	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	14.98	0.00	14.98	90.0	13.48
04/11/2017	WOLVERINE HUMAN SCV	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	23.00	0.00	23.00	90.0	20.70
04/11/2017	LUJAN-LABADIE, JENNIFER	DWSE HIGH INCOME FUND C	0.00	0.86	0.00	0.86	90.0	0.77
04/30/2017	LOCKWOOD, SUSAN	F/T GROWTH PORT 529 CL C	0.00	45.09	0.00	45.09	90.0	40.58
04/30/2017	LOCKWOOD, SUSAN	F/T MUTUAL SHARES PORT 529 CL C	0.00	39.79	0.00	39.79	90.0	35.81
04/30/2017	LOCKWOOD, SUSAN	F/T S&P 500 INDEX PORT CL C	0.00	56.12	0.00	56.12	90.0	50.51
04/30/2017	LOCKWOOD, SUSAN	F/T SAML MID CAP GROWTH PORT 529 C	0.00	17.48	0.00	17.48	90.0	15.73
04/11/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	5.91	0.00	5.91	90.0	5.32
04/11/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	241.58	0.00	241.58	90.0	217.42
04/11/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	0.00	0.08	0.00	0.08	90.0	0.07
04/11/2017	ALBIN, SHELLEY	FRANKLIN BALANCED C	0.00	0.21	0.00	0.21	90.0	0.19
04/11/2017	ALBIN, FREDERICK	FRANKLIN BALANCED C	0.00	0.21	0.00	0.21	90.0	0.19
04/11/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.76	0.00	1.76	90.0	1.58
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.91	0.00	3.91	90.0	3.52
04/11/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.44	0.00	2.44	90.0	2.20
04/11/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.65	0.00	16.65	90.0	14.99
04/11/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.67	0.00	0.67	90.0	0.60
04/11/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.83	0.00	0.83	90.0	0.75
04/30/2017	LOCKWOOD, SUSAN	FRANKLIN INCOME 529 PORTFOLIO C	0.00	33.50	0.00	33.50	90.0	30.15
04/11/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.02	0.00	7.02	90.0	6.32
04/11/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	4.92	0.00	4.92	90.0	4.43
04/11/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.63	0.00	7.63	90.0	6.87
04/11/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.37	0.00	6.37	90.0	5.73
04/11/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.58	0.00	12.58	90.0	11.32
04/11/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.72	0.00	14.72	90.0	13.25
04/11/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.84	0.00	6.84	90.0	6.16
04/11/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.93	0.00	5.93	90.0	5.34
04/11/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.36	0.00	7.36	90.0	6.62
04/11/2017	MARSACK, KAREN	FRANKLIN INCOME C	0.00	4.27	0.00	4.27	90.0	3.84
04/11/2017	NORRIS, CHRISTOPHER	FRANKLIN INCOME C	0.00	89.30	0.00	89.30	90.0	80.37

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.27	0.00	3.27	90.0	2.94
04/11/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	6.10	0.00	6.10	90.0	5.49
04/11/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.56	0.00	10.56	90.0	9.50
04/11/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	14.01	0.00	14.01	90.0	12.61
04/11/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.57	0.00	5.57	90.0	5.01
04/11/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	12.19	0.00	12.19	90.0	10.97
04/11/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.47	0.00	9.47	90.0	8.52
04/11/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.74	0.00	13.74	90.0	12.37
04/11/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.82	0.00	7.82	90.0	7.04
04/11/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.72	0.00	6.72	90.0	6.05
04/11/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.44	0.00	4.44	90.0	4.00
04/11/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.15	0.00	2.15	90.0	1.94
04/11/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	1.01	0.00	1.01	90.0	0.91
04/11/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.17	0.00	12.17	90.0	10.95
04/11/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.93	0.00	2.93	90.0	2.64
04/11/2017	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	73.05	0.00	73.05	90.0	65.75
04/11/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.77	0.00	4.77	90.0	4.29
04/11/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	14.51	0.00	14.51	90.0	13.06
04/11/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.86	0.00	8.86	90.0	7.97
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.79	0.00	8.79	90.0	7.91
04/11/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.01	0.00	3.01	90.0	2.71
04/11/2017	HENKE, FRANK	FRANKLIN INCOME C	0.00	8.96	0.00	8.96	90.0	8.06
04/11/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.98	0.00	4.98	90.0	4.48
04/11/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.54	0.00	4.54	90.0	4.09
04/11/2017	WOLVERINE HUMAN SCV	FRANKLIN INCOME C	0.00	10.64	0.00	10.64	90.0	9.58
04/11/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.08	0.00	6.08	90.0	5.47
04/11/2017	PEVOS, DEBRA	FRANKLIN INCOME C	0.00	7.16	0.00	7.16	90.0	6.44
04/11/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.52	0.00	7.52	90.0	6.77
04/11/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.89	0.00	6.89	90.0	6.20
04/11/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.96	0.00	7.96	90.0	7.16
04/11/2017	SIZEMORE, KATHERINE	FRANKLIN INCOME C	0.00	2.82	0.00	2.82	90.0	2.54
04/11/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.02	0.00	6.02	90.0	5.42
04/11/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.51	0.00	10.51	90.0	9.46

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	8.81	0.00	8.81	90.0	7.93
04/11/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	15.98	0.00	15.98	90.0	14.38
04/11/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	20.97	0.00	20.97	90.0	18.87
04/11/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.53	0.00	6.53	90.0	5.88
04/11/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	99.49	0.00	99.49	90.0	89.54
04/17/2017	KOTT, DIANE	FRANKLIN INCOME C	8,883.38	20.00	0.00	20.00	90.0	18.00
04/19/2017	HENKE, FRANK	FRANKLIN INCOME C	16,103.12	20.00	0.00	20.00	90.0	18.00
04/11/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN INVS SECS TR REAL RETURN F	0.00	3.06	0.00	3.06	90.0	2.75
04/30/2017	MOTOR CITY COMPUTER	FRANKLIN LOW DURATION TOTAL RETURN	0.00	34.54	0.00	34.54	90.0	31.09
04/11/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	32.81	0.00	32.81	90.0	29.53
04/30/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	0.00	23.73	0.00	23.73	90.0	21.36
04/30/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	2.64	0.00	2.64	90.0	2.38
04/11/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	29.16	0.00	29.16	90.0	26.24
04/11/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.50	0.00	10.50	90.0	9.45
04/30/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	21.39	0.00	21.39	90.0	19.25
04/11/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.23	0.00	1.23	90.0	1.11
04/11/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.59	0.00	5.59	90.0	5.03
04/11/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.22	0.00	4.22	90.0	3.80
04/11/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.64	0.00	5.64	90.0	5.08
04/11/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.26	0.00	0.26	90.0	0.23
04/11/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.35	0.00	1.35	90.0	1.22
04/11/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.25	0.00	2.25	90.0	2.03
04/11/2017	MAHER, SEAMUS	FRANKLIN RISING DIVIDENDS A	0.00	0.69	0.00	0.69	90.0	0.62
04/11/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.29	0.00	1.29	90.0	1.16
04/04/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	50,000.00	520.00	0.00	520.00	90.0	468.00
04/04/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	6,300.00	83.00	0.00	83.00	90.0	74.70
04/11/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	22.71	0.00	22.71	90.0	20.44
04/11/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	10.16	0.00	10.16	90.0	9.14
04/11/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	14.76	0.00	14.76	90.0	13.28
04/11/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.13	0.00	16.13	90.0	14.52
04/11/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	24.10	0.00	24.10	90.0	21.69
04/11/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	0.97	0.00	0.97	90.0	0.87
04/11/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	20.12	0.00	20.12	90.0	18.11

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
04/11/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.09	0.00	6.09	90.0	5.48
04/11/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.06	0.00	12.06	90.0	10.85
04/11/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	5.86	0.00	5.86	90.0	5.27
04/11/2017	MARSACK, KAREN	FRANKLIN RISING DIVIDENDS C	0.00	27.52	0.00	27.52	90.0	24.77
04/11/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	6.86	0.00	6.86	90.0	6.17
04/11/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.49	0.00	7.49	90.0	6.74
04/11/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.43	0.00	1.43	90.0	1.29
04/11/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.22	0.00	2.22	90.0	2.00
04/11/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	6.12	0.00	6.12	90.0	5.51
04/11/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	7.95	0.00	7.95	90.0	7.16
04/11/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	55.75	0.00	55.75	90.0	50.18
04/11/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	0.15	0.00	0.15	90.0	0.14
04/11/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	18.99	0.00	18.99	90.0	17.09
04/11/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	19.76	0.00	19.76	90.0	17.78
04/11/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	23.28	0.00	23.28	90.0	20.95
04/11/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	13.83	0.00	13.83	90.0	12.45
04/11/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	21.76	0.00	21.76	90.0	19.58
04/11/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	3.63	0.00	3.63	90.0	3.27
04/11/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	24.22	0.00	24.22	90.0	21.80
04/11/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	8.75	0.00	8.75	90.0	7.88
04/11/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.83	0.00	8.83	90.0	7.95
04/11/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.17	0.00	4.17	90.0	3.75
04/11/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	16.15	0.00	16.15	90.0	14.54
04/11/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	24.53	0.00	24.53	90.0	22.08
04/11/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	12.13	0.00	12.13	90.0	10.92
04/11/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	7.93	0.00	7.93	90.0	7.14
04/11/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	2.28	0.00	2.28	90.0	2.05
04/11/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	54.35	0.00	54.35	90.0	48.92
04/11/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.61	0.00	2.61	90.0	2.35
04/11/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.05	0.00	7.05	90.0	6.35
04/11/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.84	0.00	4.84	90.0	4.36
04/11/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.31	0.00	2.31	90.0	2.08
04/11/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	17.28	0.00	17.28	90.0	15.55

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	0.13	0.00	0.13	90.0	0.12
04/11/2017	NUNEZ TRUST, LYNDIA	FRANKLIN RISING DIVIDENDS C	0.00	39.30	0.00	39.30	90.0	35.37
04/11/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.40	0.00	6.40	90.0	5.76
04/11/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.11	0.00	10.11	90.0	9.10
04/11/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	12.36	0.00	12.36	90.0	11.12
04/11/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	13.37	0.00	13.37	90.0	12.03
04/11/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.75	0.00	1.75	90.0	1.58
04/11/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	25.56	0.00	25.56	90.0	23.00
04/11/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.06	0.00	4.06	90.0	3.65
04/11/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.09	0.00	4.09	90.0	3.68
04/11/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	22.48	0.00	22.48	90.0	20.23
04/11/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	7.90	0.00	7.90	90.0	7.11
04/11/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.12	0.00	5.12	90.0	4.61
04/11/2017	WOLVERINE HUMAN SCV	FRANKLIN RISING DIVIDENDS C	0.00	182.37	0.00	182.37	90.0	164.13
04/11/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.60	0.00	10.60	90.0	9.54
04/11/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.68	0.00	6.68	90.0	6.01
04/11/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.61	0.00	12.61	90.0	11.35
04/11/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.13	0.00	11.13	90.0	10.02
04/11/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	13.19	0.00	13.19	90.0	11.87
04/11/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	13.09	0.00	13.09	90.0	11.78
04/11/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	8.20	0.00	8.20	90.0	7.38
04/11/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	10.35	0.00	10.35	90.0	9.32
04/11/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	14.46	0.00	14.46	90.0	13.01
04/11/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	10.75	0.00	10.75	90.0	9.68
04/11/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.49	0.00	6.49	90.0	5.84
04/11/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.85	0.00	2.85	90.0	2.57
04/11/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	13.85	0.00	13.85	90.0	12.47
04/11/2017	SIZEMORE, KATHERINE	FRANKLIN RISING DIVIDENDS C	0.00	8.17	0.00	8.17	90.0	7.35
04/11/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.68	0.00	9.68	90.0	8.71
04/11/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.10	0.00	12.10	90.0	10.89
04/11/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.38	0.00	5.38	90.0	4.84
04/11/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.59	0.00	7.59	90.0	6.83
04/11/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	31.04	0.00	31.04	90.0	27.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	20.08	0.00	20.08	90.0	18.07
04/11/2017	ALBIN, SHELLEY	FRANKLIN RISING DIVIDENDS C	0.00	6.09	0.00	6.09	90.0	5.48
04/11/2017	ALBIN, FREDERICK	FRANKLIN RISING DIVIDENDS C	0.00	6.09	0.00	6.09	90.0	5.48
04/11/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.01	0.00	10.01	90.0	9.01
04/11/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	25.78	0.00	25.78	90.0	23.20
04/11/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	13.28	0.00	13.28	90.0	11.95
04/11/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	33.77	0.00	33.77	90.0	30.39
04/11/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.07	0.00	13.07	90.0	11.76
04/11/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.81	0.00	10.81	90.0	9.73
04/11/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.60	0.00	0.60	90.0	0.54
04/11/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	0.48	0.00	0.48	90.0	0.43
04/30/2017	LEPZINSKI, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	0.00	0.00	0.00	90.0	0.00
04/30/2017	FLEURY, BETH	FRANKLIN RISING DIVIDENDS C	0.00	16.74	0.00	16.74	90.0	15.07
04/11/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.95	0.00	4.95	90.0	4.46
04/11/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	77.99	0.00	77.99	90.0	70.19
04/11/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	21.54	0.00	21.54	90.0	19.39
04/11/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	12.42	0.00	12.42	90.0	11.18
04/11/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.72	0.00	3.72	90.0	3.35
04/11/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.83	0.00	3.83	90.0	3.45
04/11/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.42	0.00	5.42	90.0	4.88
04/11/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.91	0.00	2.91	90.0	2.62
04/11/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.18	0.00	8.18	90.0	7.36
04/30/2017	LORIA, KENNETH	FT FOUNDING FDS ALLOC C	0.00	13.42	0.00	13.42	90.0	12.08
04/30/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	0.00	37.43	0.00	37.43	90.0	33.69
04/30/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	0.00	2.72	0.00	2.72	90.0	2.45
04/11/2017	MAHER, KYLE	INVESCO MIDCAP GROWTH A	0.00	1.16	0.00	1.16	90.0	1.04
04/11/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.32	0.00	0.32	90.0	0.29
04/11/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	6.39	0.00	6.39	90.0	5.75
04/11/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.27	0.00	0.27	90.0	0.24
04/11/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.28	0.00	0.28	90.0	0.25
04/11/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.28	0.00	0.28	90.0	0.25
04/11/2017	MAHER, SEAMUS	LORD ABBETT AFFILIATED A	0.00	1.05	0.00	1.05	90.0	0.95
04/11/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.36	0.00	1.36	90.0	1.22

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	KORESKEY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	29.07	0.00	29.07	90.0	26.16
04/11/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.44	0.00	1.44	90.0	1.30
04/11/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	21.71	0.00	21.71	90.0	19.54
04/11/2017	PEVOS, DEBRA	LORD ABBETT INCOME FUND CL C	0.00	7.31	0.00	7.31	90.0	6.58
04/11/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.39	0.00	6.39	90.0	5.75
04/11/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	22.39	0.00	22.39	90.0	20.15
04/11/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	13.47	0.00	13.47	90.0	12.12
04/11/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.20	0.00	0.20	90.0	0.18
04/11/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.30	0.00	0.30	90.0	0.27
04/11/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.37	0.00	0.37	90.0	0.33
04/11/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.58	0.00	1.58	90.0	1.42
04/11/2017	SIZEMORE, KATHERINE	PIMCO EQUITY	0.00	0.02	0.00	0.02	90.0	0.02
04/11/2017	PROKOP, STANLEY	PIMCO FUNDS ALL ASSET C	0.00	309.25	0.00	309.25	90.0	278.33
04/11/2017	MARIN REV TRUST, NICHOLAS	PIMCO GNMA C	0.00	46.09	0.00	46.09	90.0	41.48
04/11/2017	MAHER, HENRY	PIMCO INCOME FUND C	0.00	104.11	0.00	104.11	90.0	93.70
04/11/2017	MAHER, HENRY	PIMCO LOW DURATION C	0.00	64.19	0.00	64.19	90.0	57.77
04/04/2017	MAHER, HENRY	PIMCO REAL RETURN C	9,133.40	20.00	0.00	20.00	90.0	18.00
04/11/2017	LOUGHLIN, DENNIS	PIMCO REAL RETURN C	0.00	9.94	0.00	9.94	90.0	8.95
04/11/2017	BELONGIE, GINGER	PIMCO REAL RETURN C	0.00	18.62	0.00	18.62	90.0	16.76
04/11/2017	PALMERI, DANIEL	PIMCO REAL RETURN C	0.00	3.48	0.00	3.48	90.0	3.13
04/11/2017	MAHER, HENRY	PIMCO REAL RETURN C	0.00	15.63	0.00	15.63	90.0	14.07
04/11/2017	COLASUONNO, RALPH	PIMCO REAL RETURN C	0.00	18.44	0.00	18.44	90.0	16.60
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	PIMCO REAL RETURN C	0.00	23.11	0.00	23.11	90.0	20.80
04/11/2017	QUSSAR, KELLY	PIMCO REAL RETURN C	0.00	9.30	0.00	9.30	90.0	8.37
04/11/2017	BUREK, ROSANNE	PIMCO STOCKPLUS TOTAL RETURN A	0.00	10.62	0.00	10.62	90.0	9.56
04/11/2017	MAHER, HENRY	PIMCO TOTAL RETURN A	0.00	28.35	0.00	28.35	90.0	25.52
04/05/2017	FOSTER SP TRUST, PATRICIA	PIMCO TOTAL RETURN C	17,767.73	20.00	0.00	20.00	90.0	18.00
04/11/2017	MARIN REV TRUST, NICHOLAS	PIMCO TOTAL RETURN C	0.00	34.87	0.00	34.87	90.0	31.38
04/11/2017	FOSTER SP TRUST, PATRICIA	PIMCO TOTAL RETURN C	0.00	39.01	0.00	39.01	90.0	35.11
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	PIMCO TOTAL RETURN C	0.00	49.31	0.00	49.31	90.0	44.38
04/11/2017	HOPPE, KYLE	PIMCO TOTAL RETURN C	0.00	13.59	0.00	13.59	90.0	12.23
04/18/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	99.00	0.99	0.00	0.99	90.0	0.89
04/11/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	8.06	0.00	8.06	90.0	7.25

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.29	0.00	16.29	90.0	14.66
04/11/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.07	0.00	0.07	90.0	0.06
04/11/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	26.13	0.00	26.13	90.0	23.52
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	21.86	0.00	21.86	90.0	19.67
04/11/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.96	0.00	1.96	90.0	1.76
04/11/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	6.11	0.00	6.11	90.0	5.50
04/11/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.49	0.00	1.49	90.0	1.34
04/11/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.91	0.00	11.91	90.0	10.72
04/11/2017	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	6.30	0.00	6.30	90.0	5.67
04/11/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	7.15	0.00	7.15	90.0	6.44
04/11/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.73	0.00	5.73	90.0	5.16
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	16.05	0.00	16.05	90.0	14.45
04/11/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.22	0.00	3.22	90.0	2.90
04/30/2017	HEILMANN, VICTORIA	TEMPLETON GROWTH A	0.00	5.16	0.00	5.16	90.0	4.64
04/11/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.78	0.00	4.78	90.0	4.30
04/11/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.39	0.00	9.39	90.0	8.45
04/11/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.68	0.00	1.68	90.0	1.51
04/11/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.24	0.00	2.24	90.0	2.02
04/11/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	4.97	0.00	4.97	90.0	4.47
04/11/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.39	0.00	11.39	90.0	10.25
04/11/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.37	0.00	13.37	90.0	12.03
04/11/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.24	0.00	8.24	90.0	7.42
04/11/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.61	0.00	5.61	90.0	5.05
04/11/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.64	0.00	12.64	90.0	11.38
04/11/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	35.89	0.00	35.89	90.0	32.30
04/11/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	15.79	0.00	15.79	90.0	14.21
04/11/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.42	0.00	11.42	90.0	10.28
04/11/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	11.83	0.00	11.83	90.0	10.65
04/11/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.88	0.00	7.88	90.0	7.09
04/11/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.53	0.00	7.53	90.0	6.78
04/11/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.88	0.00	7.88	90.0	7.09
04/11/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
04/11/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.09	0.00	8.09	90.0	7.28

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/11/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.43	0.00	2.43	90.0	2.19
04/11/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	9.55	0.00	9.55	90.0	8.60
* MUTUAL FUNDS SUBTOTAL *			\$146,799.80	\$8,131.00	\$23.00	\$8,108.00		\$7,297.56
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/04/2017	MAHER, JAMES	CIRRUS LOGIC INC	858.55	0.00	22.00	-22.00	90.0	-19.80
04/25/2017	PAGANO, SALVATORE	TWITTER INC	1,472.50	54.28	22.00	32.28	90.0	29.05
* STOCKS SUBTOTAL *			\$2,331.05	\$54.28	\$44.00	\$10.28		\$9.25
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
04/18/2017	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	2.12	0.00	2.12	90.0	1.91
04/18/2017	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	0.71	0.00	0.71	90.0	0.64
* OPTIONS SUBTOTAL *			\$0.00	\$2.83	\$0.00	\$2.83		\$2.55
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/02/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	165,310.93	101.90	0.00	101.90	90.0	91.71
05/02/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	353,241.04	145.17	0.00	145.17	90.0	130.65
05/02/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,469,765.53	1,534.88	0.00	1,534.88	90.0	1,381.39
05/02/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	375,165.55	154.18	0.00	154.18	90.0	138.76
04/30/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	167,709.65	34.94	0.00	34.94	90.0	31.45
04/30/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	101,952.65	42.48	0.00	42.48	90.0	38.23
04/30/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	45,813.25	19.09	0.00	19.09	90.0	17.18
04/30/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	18,478.14	15.40	0.00	15.40	90.0	13.86
04/30/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	101,111.12	84.26	0.00	84.26	90.0	75.83
04/30/2017	FRASER, SHARON	JNL PERSPECTIVE L	41,254.56	34.38	0.00	34.38	90.0	30.94
04/30/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	43,040.79	35.87	0.00	35.87	90.0	32.28
04/30/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	141,963.15	118.30	0.00	118.30	90.0	106.47
04/30/2017	STOKES, RICHARD	JNL PERSPECTIVE L	76,886.78	64.07	0.00	64.07	90.0	57.66
04/30/2017	STONE, EDWARD	JNL PERSPECTIVE L	89,387.74	74.49	0.00	74.49	90.0	67.04
04/30/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	101,698.47	84.75	0.00	84.75	90.0	76.28
04/30/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	106,591.13	88.83	0.00	88.83	90.0	79.95
04/30/2017	VITALE, PETER	JNL PERSPECTIVE L	133,621.14	111.35	0.00	111.35	90.0	100.22
04/30/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	180,703.28	150.59	0.00	150.59	90.0	135.53
04/30/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	208,002.50	173.34	0.00	173.34	90.0	156.01
04/18/2017	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	3,500.00	70.00	0.00	70.00	90.0	63.00

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ADJUSTMENTS

* VARIABLE ANNUITIES SUBTOTAL *	\$9,925,197.40	\$3,138.27	\$0.00	\$3,138.27	\$2,824.44
*** BROKER TRANSACTIONS TOTAL ***	\$10,074,328.25	\$11,326.38	\$67.00	\$11,259.38	\$10,133.80

ADJUSTMENTS

05/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00			-300.00
05/15/2017	*RBC: ORDER ENTRY	0.00			-65.00
05/15/2017	*RBC: WEALTHSTATION ADMIN	0.00			-25.00
05/15/2017	*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00			-100.00
05/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00			-275.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$-765.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,133.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-765.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,368.80
Year-to-date Gross	\$144,432.16
Year-to-date Earnings	\$129,609.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed