

**COMMISSION STATEMENT****March 15, 2018**DAVID KOTZ  
601 Mine Brook RdBROKER #: K135 Terminated 05/04/2017  
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/09/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.66	0.00	4.66	0.0	0.00
02/09/2018	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.04	0.00	1.04	0.0	0.00
02/09/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.65	0.00	10.65	0.0	0.00
02/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.80	0.00	29.80	0.0	0.00
02/09/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.20	0.00	3.20	0.0	0.00
02/09/2018	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.96	0.00	0.96	0.0	0.00
02/09/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.05	0.00	3.05	0.0	0.00
02/09/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.86	0.00	1.86	0.0	0.00
02/09/2018	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.56	0.00	2.56	0.0	0.00
02/09/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.22	0.00	2.22	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$60.00	\$0.00	\$60.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	SHELLEY, SUZANNE	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	35.41	0.00	35.41	0.0	0.00
03/01/2018	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	219.17	0.00	219.17	0.0	0.00
03/01/2018	SHELLEY, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	416.02	0.00	416.02	0.0	0.00
03/01/2018	MILESINICK, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	120.63	0.00	120.63	0.0	0.00
03/01/2018	MILESINICK, SCOTT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	62.71	0.00	62.71	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$853.94	\$0.00	\$853.94		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$913.94	\$0.00	\$913.94		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$1,034.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>