BROKER

All Companies

Signal SECURITIES Our significance is you.

# **COMMISSION STATEMENT**

February 01, 2018

JAMES ROBERT PALMERSHEIM

601 S Main St. 202

Grapevine, TX 76051

#### BROKER #: 9530

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT	for	JAMES	ROBERT	PALMERSHEIM	
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INVESTMENT

						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT		INVESTMENT		B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
				DDOWED	mp 2 274	A CITTONIC					
				BROKER	TRANSA	ACTIONS					
PRODUCT CAT	regory: RIA										
01/12/2018 10	0848249 FANDLER,	, DEBORAH	SIGNAL SECURITIES RIA		В	0.00	29.33	0.00	29.33	90.0	26.40
01/12/2018 10	0918728 RELFORD,	, ROBERTA LYN	N SIGNAL SECURITIES RIA		В	0.00	197.33	0.00	197.33	90.0	177.60
	2045658 PERKINS- ICHARD	- 401K, JOSEP	H SIGNAL SECURITIES RIA		В	0.00	484.00	0.00	484.00	90.0	435.60
01/12/2018 52	2089632 PERKINS,	J. RICHARD	SIGNAL SECURITIES RIA		В	0.00	183.00	0.00	183.00	90.0	164.70
01/12/2018 52	2130632 PERKINS,	SHIRLEY A	SIGNAL SECURITIES RIA		В	0.00	11.67	0.00	11.67	90.0	10.50
01/12/2018 52	2147632 PERKINS,	SHIRLEY A	SIGNAL SECURITIES RIA		В	0.00	28.67	0.00	28.67	90.0	25.80
01/12/2018 61	1056175 RELFORD,	, ROBERTA LYN	N SIGNAL SECURITIES RIA		В	0.00	11.33	0.00	11.33	90.0	10.20
01/12/2018 61	1400902 PERKINS,	J. RICHARD	SIGNAL SECURITIES RIA		В	0.00	10.33	0.00	10.33	90.0	9.30
	0512276 PERKINS- ICHARD	- 401K, JOSEP	H SIGNAL SECURITIES RIA		В	0.00	230.33	0.00	230.33	90.0	207.30
01/12/2018 81	1083146 FANDLER,	, FRED	SIGNAL SECURITIES RIA		В	0.00	586.00	0.00	586.00	90.0	527.40
			* 1	DIA GUDMON	דגי *	\$0.00	\$1,771.99	\$0.00	\$1,771.99		\$1,594.80
				RIA SUBTOI	AL "	\$0.00	\$1,//1.99	¥0.00	Q1,771.33		¥±,551.00
			*** BROKER TRANSACT			\$0.00	\$1,771.99	\$0.00	\$1,771.99		\$1,594.80
					, ***	\$0.00					
BROKER: KEI	LLERMAN PALMER:	SHEIM/ #9	*** BROKER TRANSACT	IONS TOTAI	, ***	\$0.00					
	<b>LLERMAN PALMER</b> : BUSH, ABE A. (JR		*** BROKER TRANSACT	IONS TOTAI	. *** TRANSA	\$0.00	\$1,771.99	\$0.00	\$1,771.99	90.0	\$1,594.80
01/22/2018 E	BUSH, ABE A. (JR	₹.)	*** BROKER TRANSACT  534  SIGNAL SECURITIES RIA	IONS TOTAI	. *** <b>TRANSA</b> B	\$0.00 CTIONS	\$1,771.99 92.30	\$0.00	\$1,771.99	90.0	\$1,594.80 83.07
01/22/2018 E	BUSH, ABE A. (JR	R.) MIZER	*** BROKER TRANSACT	IONS TOTAI	. *** TRANSA	\$0.00	\$1,771.99	\$0.00	\$1,771.99 92.30 528.90	90.0 90.0 90.0	\$1,594.80 83.07 476.01
01/22/2018 F 01/24/2018 F 01/24/2018 C	BUSH, ABE A. (JR	R.) MIZER FRY	*** BROKER TRANSACT	IONS TOTAL	TRANSA  B B B	\$0.00 CTIONS 0.00 0.00	\$1,771.99 92.30 528.90	\$0.00	\$1,771.99	90.0	\$1,594.80 83.07 476.01 371.91
01/22/2018 F 01/24/2018 F 01/24/2018 C 02/01/2018 TF	BUSH, ABE A. (JR HORN, JEFF/OPTIM COLE, JAMES JEFF	R.) MIZER FRY	*** BROKER TRANSACT  534  SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA	SPLIT !	TRANSA  B B B	\$0.00 CTIONS 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23	\$0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23	90.0	\$1,594.80 83.07 476.01 371.91 43.10
01/22/2018 F 01/24/2018 F 01/24/2018 C 02/01/2018 TF 01/16/2018 00 01/12/2018 10	BUSH, ABE A. (JR HORN, JEFF/OPTIM COLE, JAMES JEFF RAIL COMMISSIONS 0083950080 CUNNI 0556019 GILLILAN	R.) MIZER FRY S	*** BROKER TRANSACT:  534  SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA MFS FUND DISTRIBUTORS,	SPLIT !	TRANSA  B B B	\$0.00 CTIONS 0.00 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88	\$0.00 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88	90.0 90.0 90.0	\$1,594.80 83.07 476.01 371.91 43.10 3.38
11/22/2018 F 11/24/2018 F 11/24/2018 C 12/01/2018 TF 11/16/2018 0C 11/12/2018 1C	BUSH, ABE A. (JR HORN, JEFF/OPTIM COLE, JAMES JEFF RAIL COMMISSIONS 0083950080 CUNNI 0556019 GILLILAN	R.) MIZER FRY G INGHAM, MARY ND, JULIE	*** BROKER TRANSACT:  534  SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA MFS FUND DISTRIBUTORS, AMERICAN FUNDS EURO PA SIGNAL SECURITIES RIA	SPLIT !	TRANSA  B B B ROWT B	\$0.00 CTIONS 0.00 0.00 0.00 0.00 175.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76 30.96	\$0.00 0.00 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76 30.96	90.0 90.0 90.0 90.0	\$1,594.80 83.07 476.01 371.91 43.10 3.38 27.86
01/22/2018 F 01/24/2018 F 01/24/2018 C 02/01/2018 TF 01/16/2018 0 01/12/2018 10	BUSH, ABE A. (JR HORN, JEFF/OPTIM COLE, JAMES JEFF RAIL COMMISSIONS 0083950080 CUNNI 0556019 GILLILAN EANN 0882572 BRUCE, J	R.) MIZER FRY S INGHAM, MARY ND, JULIE JUDITH IRENE	*** BROKER TRANSACT:  534  SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA MFS FUND DISTRIBUTORS, AMERICAN FUNDS EURO PA	SPLIT !	TRANSA  B B B C ROWT B B	\$0.00 CTIONS 0.00 0.00 0.00 0.00 175.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76	\$0.00 0.00 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76	90.0 90.0 90.0 90.0	\$1,594.80 83.07 476.01 371.91 43.10 3.38 27.86
D1/22/2018 F D1/24/2018 F D1/24/2018 C D2/01/2018 TF D1/16/2018 OC D1/12/2018 1C D1/12/2018 1C D1/12/2018 2C	BUSH, ABE A. (JR HORN, JEFF/OPTIM COLE, JAMES JEFF RAIL COMMISSIONS 0083950080 CUNNI 0556019 GILLILAN	R.) MIZER FRY S INGHAM, MARY ND, JULIE JUDITH IRENE	*** BROKER TRANSACT:  534  SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA MFS FUND DISTRIBUTORS, AMERICAN FUNDS EURO PA SIGNAL SECURITIES RIA  SIGNAL SECURITIES RIA	SPLIT !	TRANSA  B B B ROWT B B B	\$0.00 .CTIONS  0.00 0.00 0.00 0.00 175.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76 30.96	\$0.00 0.00 0.00 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76 30.96	90.0 90.0 90.0 90.0 90.0	\$1,594.80 83.07 476.01 371.91 43.10 3.38 27.86
01/22/2018 F 01/24/2018 F 01/24/2018 C 02/01/2018 TF 01/16/2018 OC 01/12/2018 1C DF 01/12/2018 1C 01/12/2018 2C	BUSH, ABE A. (JR HORN, JEFF/OPTIM COLE, JAMES JEFF RAIL COMMISSIONS 0083950080 CUNNI 0556019 GILLILAN EANN 0882572 BRUCE, J 0872135 SLAUGHTE ARIE	R.) MIZER FRY S INGHAM, MARY ND, JULIE JUDITH IRENE ER, JUSTINE	*** BROKER TRANSACT:  534  SIGNAL SECURITIES RIA SIGNAL SECURITIES RIA MFS FUND DISTRIBUTORS, AMERICAN FUNDS EURO PA SIGNAL SECURITIES RIA  SIGNAL SECURITIES RIA	SPLIT !	TRANSA  B B B ROWT B B B	\$0.00 .CTIONS  0.00 0.00 0.00 0.00 175.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76 30.96	\$0.00 0.00 0.00 0.00 0.00 0.00	\$1,771.99 92.30 528.90 413.23 47.88 3.76 30.96	90.0 90.0 90.0 90.0 90.0	

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COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

**************************************					INVESTMENT	GROSS	CLEARING	NET		BROKER
### ROKER: KELLEMAN PALMERSHEIM/ #9534 ### 1/11/2018 31529580 STRILLY, FAUL SIGNAL SECURITIES RIA B 0.00 1,995.20 0.00 1,995.20 90.0 1,795.20 90.0 1,795.10 1/10/2018 31529580 STRILLY, FAUL SIGNAL SECURITIES RIA B 0.00 133.47 0.00 134.47 90.0 12.11 1/11/2018 41540582 LOCKER, FAMELA LAYTON SIGNAL SECURITIES RIA B 0.00 9.60 0.00 9.60 0.00 30.66 0.00 30.66 0.00 32.65 1/11/2018 41540582 LOCKER, FAMELA LAYTON SIGNAL SECURITIES RIA B 0.00 147.78 0.00 147.78 0.00 147.78 0.00 131.00 1/11/2018 51409355 STRILLY, FAUL LAYTON SIGNAL SECURITIES RIA B 0.00 147.78 0.00 147.78 0.00 137.00 0.00 1.00 1.00 1.00 1.00 1.00 1.00	TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
### ROKER: KELLEMAN PALMERSHEIM/ #9534 ### 1/11/2018 31529580 STRILLY, FAUL SIGNAL SECURITIES RIA B 0.00 1,995.20 0.00 1,995.20 90.0 1,795.20 90.0 1,795.10 1/10/2018 31529580 STRILLY, FAUL SIGNAL SECURITIES RIA B 0.00 133.47 0.00 134.47 90.0 12.11 1/11/2018 41540582 LOCKER, FAMELA LAYTON SIGNAL SECURITIES RIA B 0.00 9.60 0.00 9.60 0.00 30.66 0.00 30.66 0.00 32.65 1/11/2018 41540582 LOCKER, FAMELA LAYTON SIGNAL SECURITIES RIA B 0.00 147.78 0.00 147.78 0.00 147.78 0.00 131.00 1/11/2018 51409355 STRILLY, FAUL LAYTON SIGNAL SECURITIES RIA B 0.00 147.78 0.00 147.78 0.00 137.00 0.00 1.00 1.00 1.00 1.00 1.00 1.00										
1712/2018   1712				SPLIT TRANSA	CTIONS					
13/12/2018 15/18/5E TIONAR, POLLY S. SIGNAL SECURITIES RIA B 0.00 13.47 0.00 13.47 0.0 12.12   13/12/2018 15/18/5E TIONAR, POMELA LAYTON SIGNAL SECURITIES RIA B 0.00 9.50 0.00 9.50 0.00 9.50 9.0 8.66   13/12/2018 15/18/5E TIONAR, DANIEL SECURITIES RIA B 0.00 147.78 0.00 147.78 0.0 12.15   13/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 147.78 0.00 147.78 0.0 12.17   13/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 17.77 0.00 17.77 0.0 17.77 9.0 13.00   13/12/2018 15/18/5E TIONAR, SIGNAL SECURITIES RIA B 0.00 17.77 0.00 17.77 0.0 17.77 9.0 15.98   13/12/2018 15/18/5E TIONAR, SEGNAL SECURITIES RIA B 0.00 17.77 0.00 17.77 0.0 17.77 9.0 15.98   13/12/2018 15/18/5E TIONAR, SEGNAL SECURITIES RIA B 0.00 15.34 0.00 16.34 9.0 16.0 15.74   13/12/2018 15/18/5E TIONAR, SEGNAL SECURITIES RIA B 0.00 15.75 0.0 16.55 9.0 144.41   13/12/2018 15/18/5E TIONAR, SIGNAL SECURITIES RIA B 0.00 15.57 0.0 16.57 9.0 0.57   13/12/2018 15/18/5E TIONAR, SIGNAL SECURITIES RIA B 0.00 15.54 0.0 15.54 9.0 103.72   13/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 15.94 0.0 15.24 9.0 103.72   13/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 15.94 0.0 12.56 9.0 29.4 11/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 18.99 0.0 24.56 9.0 29.4 11/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 18.99 0.0 24.56 9.0 29.4 11/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 18.99 0.0 24.56 9.0 29.0 29.4 11/12/2018 15/18/5E TIONAR, LINDA L SIGNAL SECURITIES RIA B 0.00 18.99 0.0 24.56 9.0 29.0 29.0 29.0 29.0 29.0 29.0 29.0	BROKER: KE	LLERMAN PALMERSHEIM/	#953 <u>4</u>							
1712/2018 1580962   LOCKER, FAMELA LANTON SIGNAL SECURITIES RIA	01/12/2018 3	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	В	0.00	1,995.20	0.00	1,995.20	90.0	1,795.68
11/12/2018 52003712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 144.78 0.00 147.78 0.00 133.86 0.00 2.87 0.00 2.87 0.00 2.87 0.00 135.80 0.11/12/2018 52007712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 1.77 0.00 17.77 0.00 17.77 90.0 13.90 0.11/12/2018 52007712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 17.77 0.00 17.77 90.0 15.91 01/12/2018 52007712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 16.03 0.00 16.03 90.0 15.91 01/12/2018 52007712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 16.03 0.00 16.03 90.0 15.91 01/12/2018 52007712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 16.03 0.00 16.03 90.0 15.91 01/12/2018 52007712 CHRISTY, MARYLOU S SIGNAL SECURITIES RIA B 0.00 16.05 0.00 0.00 16.03 90.0 144.40 11/12/2018 52007818 KROCH, FRANCIS JOSEPH SIGNAL SECURITIES RIA B 0.00 1.50 0.00 0.57 0.00 0.57 90.0 0.55 11/12/2018 52007818 KROCH, FRANCIS JOSEPH SIGNAL SECURITIES RIA B 0.00 15.92 90.0 0.00 15.24 90.0 11.	01/12/2018 3	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	В	0.00	13.47	0.00	13.47	90.0	12.12
11/12/2018 51498989 FRIEDMANN, LINDA L SIGNAL SECURITIES RIA B 0.00 147.78 0.00 147.78 9.0 133.00	01/12/2018 4	41540562 LOCKER, PAMELA LA	YTON SIGNAL SECURITIES RIA	В	0.00	9.60	0.00	9.60	90.0	8.64
101/12/2018 71014745 ELICOTY, MARYLOU B SIGNAL SECURITIES RIA B 0.00 2.87 0.00 2.87 90.0 2.56	01/12/2018 5	51260395 SUTHERLAND, MIKE	DEAN SIGNAL SECURITIES RIA	В	0.00	302.86	0.00	302.86	90.0	272.57
1011/21/2018   7011	01/12/2018 5	51489395 FRIEDMANN, LINDA	L SIGNAL SECURITIES RIA	В	0.00	147.78	0.00	147.78	90.0	133.00
	01/12/2018 5	52007712 CHRISTY, MARYLOU	B SIGNAL SECURITIES RIA	В	0.00	2.87	0.00	2.87	90.0	2.58
NANNE  1/1/12/2018 90731188 HARRIS, SHARON K SIGNAL SECURITIES RIA B 0.00 16.53 0.00 16.53 90.0 144.44  1/1/12/2018 90731188 HARRIS, SHARON K SIGNAL SECURITIES RIA B 0.00 15.7 0.00 0.57 90.0 0.55  1/1/12/2018 907468067 ELLIOTT, SUSAN HUDSON SIGNAL SECURITIES RIA B 0.00 115.24 0.00 115.24 90.0 120.77  1/1/12/2018 91896827 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA B 0.00 289.96 0.00 289.96 90.0 229.45  1/1/12/2018 91868872 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA B 0.00 14.30 0.00 14.30 90.0 13.78  1/1/12/2018 91868872 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA B 0.00 14.30 0.00 14.30 90.0 13.88  1/1/12/2018 99442454 CHRISTY, MARYLOU B SIGNAL SECURITIES RIA B 0.00 15.75  **KELLERMAN PALMERSHEIM/SNOW #9613  1/1/12/2018 15047982 FEARSON, DIANNEH SIGNAL SECURITIES RIA B 0.00 168.00 0.00 18.80 90.0 18.10  1/1/12/2018 15047982 FEARSON, DIANNEH SIGNAL SECURITIES RIA B 0.00 45.00 0.00 45.00 90.0 45.00  1/1/12/2018 16095746 QUANBECK, REA DEBISE SIGNAL SECURITIES RIA B 0.00 45.00 0.00 45.00 90.0 45.00  1/1/12/2018 16095746 QUANBECK, REA DEBISE SIGNAL SECURITIES RIA B 0.00 281.00 0.00 45.00 90.0 45.00  1/1/12/2018 16095746 QUANBECK, REA DEBISE SIGNAL SECURITIES RIA B 0.00 281.00 0.00 281.00 90.0 281.00  1/1/12/2018 16095746 QUANBECK, GENON SIGNAL SECURITIES RIA B 0.00 290.0 0.00 281.00 90.0 282.90  1/1/12/2018 19992217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 290.0 0.00 290.0 90.0 282.90  1/1/12/2018 19992217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 290.0 0.00 360.20 90.0 360.20 90.0 360.20  1/1/12/2018 19992217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 284.10  1/1/12/2018 19992217 JOHNSON, JIANE LYNN SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 360.20 90.0 284.10  1/1/12/2018 19992217 JOHNSON, JIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.55  1/1/12/2018 19992217 JOHNSON, JIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 360.00 90.0 90.0 284.10  1/1/12/2018 19992217 JOHNSON, JIANE LYNN SIGNAL SECURITIES RIA B 0.00 199.00 0.00 360.00 90.0 360.00 90.0 360.20	01/12/2018 7	71014745 ELLIOTT, SUSAN HU	DSON SIGNAL SECURITIES RIA	В	0.00	17.77	0.00	17.77	90.0	15.99
101/12/2018   92007581   KEOGH, FRANCIS JOSEPH SIGNAL SECURITIES RIA   B   0.00   160.53   0.00   160.53   90.0   144.46   101/12/2018   9721188   MARRIS, SHARON K   SIGNAL SECURITIES RIA   B   0.00   0.57   0.00   0.57   90.0   0.57   101/12/2018   91789542   FRIEDWANN, LINDA L   SIGNAL SECURITIES RIA   B   0.00   32.68   0.00   32.68   90.0   229.43   101/12/2018   91789542   FRIEDWANN, LINDA L   SIGNAL SECURITIES RIA   B   0.00   289.96   0.00   32.68   90.0   229.43   101/12/2018   91789542   FRIEDWANN, LINDA L   SIGNAL SECURITIES RIA   B   0.00   289.96   0.00   289.96   90.0   289.96   101/12/2018   99761721   KEOGH, FRANCIS JOSEPH SIGNAL SECURITIES RIA   B   0.00   4.30   0.00   4.30   90.0   3.88   101/12/2018   99442454   CHRISTY, MARYLOU B   SIGNAL SECURITIES RIA   B   0.00   18.49   0.00   18.49   90.0   18.49   101/12/2018   99442454   CHRISTY, MARYLOU B   SIGNAL SECURITIES RIA   B   0.00   44.50   0.00   44.50   90.0   44.50   101/12/2018   16497982   PEARSON, DIANNE H   SIGNAL SECURITIES RIA   B   0.00   45.00   0.00   45.00   90.0   45.10   101/12/2018   164974982   PEARSON, JONATHAN & SIGNAL SECURITIES RIA   B   0.00   45.00   0.00   45.00   90.0   45.00   101/12/2018   2699746   QUANBECK, REA DENNES   SIGNAL SECURITIES RIA   B   0.00   281.00   90.0   281.00   90.0   252.90   101/12/2018   2699746   QUANBECK, GIGI   SIGNAL SECURITIES RIA   B   0.00   29.00   0.00   29.00   90.0   252.90   101/12/2018   29192217   JOHNSON, TONYA   SIGNAL SECURITIES RIA   B   0.00   93.00   0.00   29.00   90.0   264.10   101/12/2018   29329286   QUANBECK, GORDON   SIGNAL SECURITIES RIA   B   0.00   30.00   0.00   30.00   90.0   90.0   264.10   101/12/2018   39329286   QUANBECK, GORDON   SIGNAL SECURITIES RIA   B   0.00   30.00   0.00   30.00   90.0   29.00   0.00   101/12/2018   39329286   QUANBECK, GORDON   SIGNAL SECURITIES RIA   B   0.00   30.00   30.00   30.00   90.0   29.00   29.00   101/12/2018   31207916   JACKSON, DIANE LYMN   SIGNAL SECURITIES RIA   B   0.00   30.00   30.00   30.00   30.00   30.00   30	01/12/2018 7	79512456 BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	В	0.00	60.34	0.00	60.34	90.0	54.31
101/12/2018 89721188 HARRIS, SHARON K   SIGNAL SECURITIES RIA   B   0.00   0.57   0.00   0.57   0.00   0.57     101/12/2018 99768067 ELLIGIT, SUSAN HUDSON SIGNAL SECURITIES RIA   B   0.00   115.24   0.00   115.24   90.0   103.77     101/12/2018 91986872 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA   B   0.00   289.96   0.00   289.96   90.0   289.96     101/12/2018 91868072 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA   B   0.00   289.96   0.00   249.96   90.0   260.96     101/12/2018 91868072 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA   B   0.00   16.49   0.00   4.30   90.0   24.90     101/12/2018 99442454 CHRISTY, NARYLOU B   SIGNAL SECURITIES RIA   B   0.00   16.49   0.00   4.30   90.0   44.71     101/12/2018 99442454 CHRISTY, NARYLOU B   SIGNAL SECURITIES RIA   B   0.00   16.40   0.00   18.49   90.0   44.71     101/12/2018 15447982 PEARSON, DIABRE H   SIGNAL SECURITIES RIA   B   0.00   35.60   0.00   36.60   90.0   36.60     101/12/2018 16695746 QUANBECK, REA DENIES SIGNAL SECURITIES RIA   B   0.00   35.60   0.00   35.60   90.0   32.00     101/12/2018 1695746 QUANBECK, REA DENIES SIGNAL SECURITIES RIA   B   0.00   35.60   0.00   35.60   90.0   32.00     101/12/2018 20770029 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA   B   0.00   281.00   0.00   281.00   90.0   252.90     101/12/2018 20770029 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA   B   0.00   93.00   0.00   93.00   90.0   252.90     101/12/2018 29393838 RUSCH, GIGI   SIGNAL SECURITIES RIA   B   0.00   93.00   0.00   33.00   90.0   83.70     101/12/2018 29393286 QUANBECK, GORDON   SIGNAL SECURITIES RIA   B   0.00   360.20   0.00   360.20   90.0   324.10     101/12/2018 31207916 JACKSON, DIANE L'UNN SIGNAL SECURITIES RIA   B   0.00   360.20   0.00   360.20   90.0   324.10     101/12/2018 31207916 JACKSON, DIANE L'UNN SIGNAL SECURITIES RIA   B   0.00   32.80   0.00   360.20   90.0   324.10     101/12/2018 31207916 JACKSON, DIANE L'UNN SIGNAL SECURITIES RIA   B   0.00   32.80   0.00   32.80   90.0   29.50     101/12/2018 31207916 JACKSON, DIANE L'UNN SIGNAL SECU	W	NAYNE								
DAI/12/2018 90768067 ELLIOTT, SUSAN HUDSON SIGNAL SECURITIES RIA	01/12/2018 8	32007581 KEOGH, FRANCIS JC	SEPH SIGNAL SECURITIES RIA	В	0.00	160.53	0.00	160.53	90.0	144.48
DITIZED   DITIZED   STATES   FRIEDMANN, LINDA L SIGNAL SECURITIES RIA B 0.00   32.68   0.00   32.68   0.00   32.68   0.00   29.45   DITIZED   STATES   SIGNAL SECURITIES RIA B 0.00   289.96   0.00   289.96   90.0   260.96   DITIZED   STATES   SIGNAL SECURITIES RIA B 0.00   4.30   0.00   4.30   90.0   3.88   DITIZED   STATES   SIGNAL SECURITIES RIA B   0.00   18.49   0.00   18.49   90.0   16.69	01/12/2018 8	39721188 HARRIS, SHARON K	SIGNAL SECURITIES RIA	В	0.00	0.57	0.00	0.57	90.0	0.51
D1/12/2018 91886872 ABBOTT, NANCYE/JAMES SIGNAL SECURITIES RIA	01/12/2018 9	90768067 ELLIOTT, SUSAN HU	DSON SIGNAL SECURITIES RIA	В	0.00	115.24	0.00	115.24	90.0	103.72
D1/12/2018 95761721 KEOGH, FRANCIS JOSEPH SIGNAL SECURITIES RIA	01/12/2018 9	91789542 FRIEDMANN, LINDA	L SIGNAL SECURITIES RIA	В	0.00	32.68	0.00	32.68	90.0	29.41
1/12/2018 99442454 CHRISTY, MARYLOU B   SIGNAL SECURITIES RIA   B   0.00   18.49   0.00   18.49   90.0   16.66	01/12/2018 9	91886872 ABBOTT, NANCYE/JA	MES SIGNAL SECURITIES RIA	В	0.00	289.96	0.00	289.96	90.0	260.96
* KELLERMAN PALMERSHEIM/ SUBTOTAL * \$175.00 \$4,584.10 \$0.00 \$4,584.10 \$4,125.61 \$4,125.61 \$1,127.018 15447982 PEARSON, DIANNE H SIGNAL SECURITIES RIA B 0.00 168.00 0.00 168.00 90.0 151.20 10/12/2018 16995746 QUANDECK, REA DENISE SIGNAL SECURITIES RIA B 0.00 45.00 0.00 45.00 90.0 40.50 10/12/2018 18091956 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA B 0.00 35.60 0.00 35.60 90.0 32.00 10/12/2018 20770029 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA B 0.00 281.00 0.00 281.00 90.0 252.90 10/12/2018 27933838 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 252.90 10/12/2018 27933838 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 93.00 90.0 93.00 90.0 83.70 10/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 83.70 10/12/2018 29322286 QUANDECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.10 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.10 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.11 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.11 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 10/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 19.40 0.00 7.40 0.00 7.40 90.0 6.60 10/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 10/12/2018 3191670 JACKSON, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 19.60 0.00 19.60 90.0 29.60 10/12/2018 39922483 RESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 19.60 0.00 19.60 90.0 141.40 10/12/2018 39921670 JACKSON, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 19.60 0.00 19.60 90.0 141.40 10/12/2018 39921670 JACKSON, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 19.60 0.00 19.60 90.0 141.40 10/12/2018 39921670 JACKSON,	01/12/2018 9	95761721 KEOGH, FRANCIS JO	SEPH SIGNAL SECURITIES RIA	В	0.00	4.30	0.00	4.30	90.0	3.87
BOKER: PALMERSHEIM/SNOW #9613  101/12/2018 15447982 PEARSON, DIANNE H SIGNAL SECURITIES RIA B 0.00 168.00 0.00 168.00 90.0 151.20  101/12/2018 16695746 QUANBECK, REA DENISE SIGNAL SECURITIES RIA B 0.00 35.60 0.00 45.00 90.0 40.50  101/12/2018 18091956 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA B 0.00 35.60 0.00 35.60 90.0 32.00  JEAN  101/12/2018 20770029 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA B 0.00 281.00 0.00 281.00 90.0 252.90  JEAN  101/12/2018 27933838 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 29.00 0.00 29.00 90.0 26.10  101/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 90.0 93.00 90.0 83.77  NAYNETTE  101/12/2018 29329286 QUANBECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.16  (HAL)  101/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 294.12  101/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 150.00 326.80 0.00 326.80 90.0 294.12  101/12/2018 31928580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 170.40  101/12/2018 31917987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 294.12  101/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 66.66  101/12/2018 39922483 RESSE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46  101/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 159.00 0.00 157.00 90.0 141.46  101/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 159.00 0.00 159.00 90.0 90.0 141.46  101/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 159.00 0.00 159.00 90.0 90.0 90.0 141.46  101/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.65	01/12/2018 9	99442454 CHRISTY, MARYLOU	B SIGNAL SECURITIES RIA	В	0.00	18.49	0.00	18.49	90.0	16.64
101/12/2018 15447982 PEARSON, DIANNE H SIGNAL SECURITIES RIA B 0.00 168.00 0.00 168.00 90.0 151.20			* KELLERMAN PALMERSHE	IM/ SUBTOTAL *	\$175.00	\$4,584.10	\$0.00	\$4,584.10		\$4,125.68
11/12/2018 15447982 PEARSON, DIANNE H SIGNAL SECURITIES RIA B 0.00 168.00 0.00 168.00 90.0 151.20	BROKER: PA	LMERSHEIM/SNOW #9613								
101/12/2018 16695746 QUANBECK, REA DENISE SIGNAL SECURITIES RIA	01/12/2018 1	15447982 PEARSON, DIANNE H	I SIGNAL SECURITIES RIA	В	0.00	168.00	0.00	168.00	90.0	151.20
11/12/2018 18091956 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA				В						40.50
JEAN  D1/12/2018 20770029 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA B 0.00 281.00 0.00 281.00 90.0 252.90  D1/12/2018 27933838 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 29.00 0.00 29.00 90.0 26.10  D1/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 83.70  WAYNETTE  D1/12/2018 29329286 QUANBECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.16  (HAL)  D1/12/2018 31528560 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50  D1/12/2018 31528560 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.11  D1/12/2018 3117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.46  D1/12/2018 35700153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 29.52  D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46  D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46  D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 157.20 0.00 109.60 90.0 98.66  D1/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.66  D1/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.66		-		В						32.04
D1/12/2018 20770029 BRENNAN, JONATHAN & SIGNAL SECURITIES RIA B 0.00 281.00 0.00 281.00 90.0 252.99   D2AN  D1/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 29.00 90.0 26.10   D1/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 83.70   WAYNETTE										
JEAN  01/12/2018 27933838 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 29.00 0.00 29.00 90.0 26.10 01/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 83.70 WAYNETTE  01/12/2018 29329286 QUANBECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.16 (HAL)  01/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 01/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 01/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.40 01/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 294.50 01/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 01/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 01/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 01/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN			I & SIGNAL SECURITIES RIA	В	0.00	281.00	0.00	281.00	90.0	252.90
D1/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 83.76 WAYNETTE  D1/12/2018 29329286 QUANBECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.18 (HAL)  D1/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 D1/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 D1/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.46 D1/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 D1/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 D1/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62										
D1/12/2018 29192217 JOHNSON, TONYA SIGNAL SECURITIES RIA B 0.00 93.00 0.00 93.00 90.0 83.70 WAYNETTE  D1/12/2018 29329286 QUANBECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.18 (HAL)  D1/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 (D1/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 (D1/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.40 (D1/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.55 (D1/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.60 (D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.40 (D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 (D1/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 (ANN)	01/12/2018 2	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	В	0.00	29.00	0.00	29.00	90.0	26.10
D1/12/2018 29329286 QUANBECK, GORDON SIGNAL SECURITIES RIA B 0.00 360.20 0.00 360.20 90.0 324.18 (HAL)  D1/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 01/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 01/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.46 01/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 01/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 01/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 01/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 01/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN			SIGNAL SECURITIES RIA	В	0.00	93.00	0.00	93.00	90.0	83.70
(HAL)  01/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 11/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 11/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.46 11/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 11/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 11/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 11/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 11/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN	W	VAYNETTE								
D1/12/2018 31207916 JACKSON, DIANE LYNN SIGNAL SECURITIES RIA B 0.00 115.00 0.00 115.00 90.0 103.50 01/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 01/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.40 01/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 01/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.60 01/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 01/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 01/12/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN	01/12/2018 2	29329286 QUANBECK, GORDON	SIGNAL SECURITIES RIA	В	0.00	360.20	0.00	360.20	90.0	324.18
D1/12/2018 31528580 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 326.80 0.00 326.80 90.0 294.12 0.00 0.00 19.40 0.00 19.40 90.0 17.46 0.00 0.00 19.40 90.0 17.46 0.00 0.00 19.40 90.0 295.52 0.00 0.00 0.00 0.00 0.00 0.00 0.00	(	(HAL)								
D1/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.46 D1/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 D1/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 D1/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN	01/12/2018 3	31207916 JACKSON, DIANE LY	ONN SIGNAL SECURITIES RIA	В	0.00	115.00	0.00	115.00	90.0	103.50
D1/12/2018 33117987 STELLY, PAUL SIGNAL SECURITIES RIA B 0.00 19.40 0.00 19.40 90.0 17.46 D1/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 D1/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 D1/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN	01/12/2018 3	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	В	0.00	326.80	0.00	326.80	90.0	294.12
D1/12/2018 35080832 SHIRLEY, RALPH W. SIGNAL SECURITIES RIA B 0.00 32.80 0.00 32.80 90.0 29.52 01/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 01/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.46 01/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 01/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN				В						17.46
D1/12/2018 35770153 RUSCH, GIGI SIGNAL SECURITIES RIA B 0.00 7.40 0.00 7.40 90.0 6.66 D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.48 D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 D1/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN			SIGNAL SECURITIES RIA	В	0.00	32.80	0.00			29.52
D1/12/2018 39222483 REESE, ANDREW /PEGGY SIGNAL SECURITIES RIA B 0.00 157.20 0.00 157.20 90.0 141.48 D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 D1/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN				В	0.00	7.40	0.00			6.66
D1/12/2018 39816703 WARREN, GLORIA CLARK SIGNAL SECURITIES RIA B 0.00 109.60 0.00 109.60 90.0 98.64 D1/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN	01/12/2018 3	39222483 REESE, ANDREW /PE		В	0.00	157.20	0.00			141.48
01/22/2018 401K OPTIMIZER PUKIS, BARBARA SIGNAL SECURITIES RIA B 0.00 601.80 0.00 601.80 90.0 541.62 ANN				В	0.00	109.60	0.00			98.64
ANN				В						541.62
)1/12/2018 41540562 LOCKER, PAMELA LAYTON SIGNAL SECURITIES RIA B 0.00 223.00 0.00 223.00 90.0 200.70										
	01/12/2018 4	41540562 LOCKER, PAMELA LA	YTON SIGNAL SECURITIES RIA	В	0.00	223.00	0.00	223.00	90.0	200.70

## COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			SPLIT TRANS	ACTIONS					
BROKER: PAI	LMERSHEIM/SNOW #9613								
01/12/2018 4:	2458316 WARREN, WILLIAM W	SIGNAL SECURITIES RIA	В	0.00	63.20	0.00	63.20	90.0	56.88
01/12/2018 4	9635286 BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	В	0.00	27.00	0.00	27.00	90.0	24.30
P	ANCA								
01/25/2018 5	2160912 ELLIOTT, PAMELA	SIGNAL SECURITIES RIA	В	0.00	-12.33	0.00	-12.33	90.0	-11.10
01/12/2018 5	6512595 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	В	0.00	10.60	0.00	10.60	90.0	9.54
J	EAN								
01/12/2018 5	8196619 STELLY, TIMOTHY &	SIGNAL SECURITIES RIA	В	0.00	1.60	0.00	1.60	90.0	1.44
H	ALEY								
01/12/2018 5	9968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	В	0.00	135.60	0.00	135.60	90.0	122.04
01/12/2018 6	2652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	154.80	0.00	154.80	90.0	139.32
01/12/2018 6	4024640 QUANBECK, GORDON	SIGNAL SECURITIES RIA	В	0.00	39.00	0.00	39.00	90.0	35.10
(1	HAL)								
01/12/2018 6	7104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	В	0.00	189.40	0.00	189.40	90.0	170.46
01/12/2018 6	8428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	В	0.00	352.60	0.00	352.60	90.0	317.34
01/12/2018 6	8892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	В	0.00	271.20	0.00	271.20	90.0	244.08
01/12/2018 7	0196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	48.00	0.00	48.00	90.0	43.20
01/12/2018 7	2490138 PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	В	0.00	128.80	0.00	128.80	90.0	115.92
01/12/2018 7	2540730 BLALOCK III, WILLIAM	SIGNAL SECURITIES RIA	В	0.00	238.00	0.00	238.00	90.0	214.20
J									
01/12/2018 7	3959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	В	0.00	32.20	0.00	32.20	90.0	28.98
01/12/2018 7	4609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	В	0.00	13.40	0.00	13.40	90.0	12.06
	4970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	В	0.00	27.00	0.00	27.00	90.0	24.30
	5970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	31.40	0.00	31.40	90.0	28.26
	1184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	293.00	0.00	293.00	90.0	263.70
	1184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	46.40	0.00	46.40	90.0	41.76
	1944763 RENDER, YLVIA/RICHARD	SIGNAL SECURITIES RIA	В	0.00	234.20	0.00	234.20	90.0	210.78
	5616643 STELLY, TIMOTHY & ALEY	SIGNAL SECURITIES RIA	В	0.00	30.00	0.00	30.00	90.0	27.00
	7845699 KELLERMAN,	SIGNAL SECURITIES RIA	В	0.00	413.40	0.00	413.40	90.0	372.06
	ANCY/MICHAEL							00 -	
	7940275 HART, CHARLOTT/ROBERT		В	0.00	120.20	0.00	120.20	90.0	108.18
	1118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	В	0.00	11.40	0.00	11.40	90.0	10.26
	6915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	В	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018 9	7397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	В	0.00	109.80	0.00	109.80	90.0	98.82
		* PALMERSHEIM/SI	NOW SUBTOTAL *	\$0.00	\$5,628.07	\$0.00	\$5,628.07		\$5,065.26

## COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANSA	CTIONS					
BROKER: ERIC CHARLES GUTH #9617								
01/12/2018 11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	В	0.00	323.64	0.00	323.64	90.0	291.28
01/12/2018 11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	В	0.00	378.24	0.00	378.24	90.0	340.42
01/12/2018 13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	25.92	0.00	25.92		23.33
01/12/2018 17017159 RAY, PATSY & CHARLES		В	0.00	1.68	0.00	1.68	90.0	1.51
01/12/2018 24551097 HOBAN, THOMAS WILLIAM		В	0.00	97.68	0.00	97.68	90.0	87.91
01/12/2018 24551097 HOBAN, THOMAS WILLIAM		В	0.00	477.72	0.00	477.72	90.0	429.95
10/13/2017 27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	В	0.00	106.56	0.00	106.56	90.0	95.90
10/13/2017 27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	В	0.00	106.56	0.00	106.56	90.0	95.90
10/13/2017 27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	В	0.00	106.56	0.00	106.56	90.0	95.90
01/12/2018 27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	В	0.00	109.68	0.00	109.68	90.0	98.71
01/29/2018 27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	В	0.00	660.24	0.00	660.24	90.0	594.22
01/12/2018 29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	В	0.00	24.84	0.00	24.84	90.0	22.36
01/12/2018 32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	29.16	0.00	29.16	90.0	26.24
01/12/2018 34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	В	0.00	503.64	0.00	503.64	90.0	453.28
01/12/2018 34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	В	0.00	314.88	0.00	314.88	90.0	283.39
01/12/2018 37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	280.56	0.00	280.56	90.0	252.50
01/12/2018 39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	35.52	0.00	35.52	90.0	31.97
01/24/2018 401K OPTIMIZER BROWN, THEODORE A	ESIGNAL SECURITIES RIA	В	0.00	225.00	0.00	225.00	90.0	202.50
01/24/2018 401K OPTIMIZER CHENOWETH,  JUDSON GARY	SIGNAL SECURITIES RIA	В	0.00	309.96	0.00	309.96	90.0	278.96
01/12/2018 52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	В	0.00	425.52	0.00	425.52	90.0	382.97
01/12/2018 52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	В	0.00	40.20	0.00	40.20	90.0	36.18
01/12/2018 58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	В	0.00	20.88	0.00	20.88	90.0	18.79
01/12/2018 68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	В	0.00	372.60	0.00	372.60	90.0	335.34
01/12/2018 68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	В	0.00	181.92	0.00	181.92	90.0	163.73
01/12/2018 84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	В	0.00	341.28	0.00	341.28	90.0	307.15
01/12/2018 84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	В	0.00	84.72	0.00	84.72	90.0	76.25

01/30/2010	COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM								
TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
	SPI	LIT TRANSA	CTIONS						
BROKER: ERIC CHARLES GUTH #9617									
01/12/2018 86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	25.20	0.00	25.20	90.0	22.68	
01/12/2018 89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	В	0.00	430.20	0.00	430.20	90.0	387.18	
01/12/2018 89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	В	0.00	1.44	0.00	1.44	90.0	1.30	
01/12/2018 90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	В	0.00	142.32	0.00	142.32	90.0	128.09	
01/12/2018 92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	В	0.00	12.60	0.00	12.60	90.0	11.34	
01/12/2018 94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	В	0.00	8.76	0.00	8.76	90.0	7.88	
01/12/2018 98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	В	0.00	3.24	0.00	3.24	90.0	2.92	
01/12/2018 98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	328.92	0.00	328.92	90.0	296.03	
	* ERIC CHARLES GUTH S	SUBTOTAL *	\$0.00	\$6,537.84	\$0.00	\$6,537.84		\$5,884.06	
	*** SPLIT TRANSACTIONS	TOTAL ***	\$175.00	\$16,750.01	\$0.00	\$16,750.01		\$15,075.00	
		ADJUSTME	NTS						
02/01/2018	E & O INSURANCE: 1/2 MONTH	LY	0.00	0.00	0.00	0.00		-100.00	
02/01/2018	MISC: SNOW-WESTWOOD CENTER	RENT JAN	0.00	0.00	0.00	0.00		600.00	
02/01/2018	TECH: MONTHLY TECH SERVICE	S	0.00	0.00	0.00	0.00		-27.50	
	*** ADJUSTMENTS	TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$472.50	
					Ē	BROKER COMMI	SSION	TOTALS	
					Broke	r Transactions		\$1,631.52	
					Spli	t Transactions		\$15,038.28	
					Override	e Transactions		\$0.00	

Adjustments

Base Salary

Check Amount

Payroll Draw

Prior Period Balance

Year-to-date Earnings

\$472.50

\$0.00

\$0.00

\$-80.15

\$17,062.15

\$25,531.64