

**COMMISSION STATEMENT****July 15, 2018**

ALAN, L133 LICHTENSTEIN
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/25/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.24	0.00	3.24	75.0	2.43
06/22/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.58	0.00	1.58	75.0	1.19
06/22/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.99	0.00	2.99	75.0	2.24
06/22/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.74	0.00	3.74	75.0	2.81
06/22/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.53	0.00	1.53	75.0	1.15
06/22/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	75.0	0.37
06/22/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.79	0.00	0.79	75.0	0.59
06/22/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.24	0.00	2.24	75.0	1.68
06/22/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.99	0.00	2.99	75.0	2.24
06/22/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.17	0.00	3.17	75.0	2.38
06/18/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.15	0.00	2.15	75.0	1.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$24.91	\$0.00	\$24.91		\$18.69
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$24.91	\$0.00	\$24.91		\$18.69
<u>ADJUSTMENTS</u>								
07/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
07/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
07/15/2018		*MISC: 6-30-18 OVERNIGHT FEE	0.00					-13.33
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-7.95
07/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-508.28

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$18.69
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-508.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-489.59
Year-to-date Gross	\$20,526.10
Year-to-date Earnings	\$15,310.36