



# COMMISSION STATEMENT

## January 22, 2018

**GARRETT MORETZ**

181 North Main Street, Ste 208  
Mooresville, NC 28115

**BROKER #: B09**

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/22/2018	***-**-94IR - RUSSELL, LYNN ACCOUNT #: LSR011980	AB ALL MARKET TOTAL RETURN PORT CLA BUY 1 Units @0.0000	0.00	1.76	0.00	1.76	82.0	1.44
01/22/2018	***-**-071X - HENDRIX, NSPS ACCOUNT #: LSR012263	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.37	0.00	0.37	82.0	0.30
01/22/2018	***-**-972I - GRIFFIN, OTIS ACCOUNT #: LSR011960	EATON VANCE INCOME FUND OF BOSTON C BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	82.0	1.54
01/22/2018	***-**-10IR - CLINGON, BILLY ACCOUNT #: LSR012010	EATON VANCE MULTI SECTOR INCOME CL BUY 1 Units @0.0000	0.00	3.94	0.00	3.94	82.0	3.23
01/22/2018	***-**-726O - FARZALO, STEVE ACCOUNT #: LSR011965	EATON VANCE NATIONAL MUNICIPAL FUND BUY 1 Units @0.0000	0.00	9.78	0.00	9.78	82.0	8.02
01/22/2018	***-**-671I - BEDDOW, DONALD ACCOUNT #: 00091150349	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	95.83	0.00	95.83	82.0	78.58
01/22/2018	***-**-71IR - HENDRIX, DONNA ACCOUNT #: LSR012260	GROWTH FUND OF AMERICA CLASS F BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	82.0	0.30
01/22/2018	***-**-071X - HENDRIX, NSPS ACCOUNT #: LSR012263	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	82.0	0.68
01/16/2018	***-**-10IR - CLINGON, BILLY ACCOUNT #: LSR012010	IVY ASSET STRATEGY CL C BUY 1 Units @0.0000	0.00	10.30	0.00	10.30	82.0	8.45
01/16/2018	***-**-501I - DAGENHART, JAMES ACCOUNT #: LSR012101	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	82.0	2.85
01/16/2018	***-**-677I - LAIL, DWIGHT ACCOUNT #: LSR012166	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	5.58	0.00	5.58	82.0	4.58
01/16/2018	***-**-277I - PECK, GORDON ACCOUNT #: LSR012201	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	82.0	1.03
01/16/2018	***-**-496I - MERLO, JANE ACCOUNT #: LSR012325	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	82.0	0.37
01/16/2018	***-**-363I - WILKES, DONNA ACCOUNT #: LSR012335	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	82.0	0.10
01/22/2018	***-**-10IR - CLINGON, BILLY ACCOUNT #: LSR012010	MFS AGGRESSIVE GROWTH ALLOC CL C BUY 1 Units @0.0000	0.00	4.28	0.00	4.28	82.0	3.51
01/01/2018	***-**-8941 - COOPER, JOHN ACCOUNT #: 08189772708	MFS VIRGINIA MUNI BOND FUND-A BUY 0 Units @0.0000	0.00	5.74	0.00	5.74	82.0	4.71



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/16/2018	***-**-113I - RUSSELL, MARK	NUVEEN STRATEGY BAL ALLOC A	0.00	2.56	0.00	2.56	82.0	2.10
	ACCOUNT #: LSR011979	BUY 1 Units @0.0000						
01/22/2018	***-**-03IR - HINES, WALTER	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	0.98	0.00	0.98	82.0	0.80
	ACCOUNT #: LSR012153	BUY 1 Units @0.0000						
01/16/2018	***-**-677I - LAIL, DWIGHT	PRUDENTIAL HIGH YIELD CLASS A	0.00	2.38	0.00	2.38	82.0	1.95
	ACCOUNT #: LSR012166	BUY 1 Units @0.0000						
01/22/2018	***-**-759I - MERRILL, SARA	TOUCHSTONE HI YIELD-NFS	0.00	1.45	0.00	1.45	82.0	1.19
	ACCOUNT #: LSR012244	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$153.33</b>	<b>\$0.00</b>	<b>\$153.33</b>		<b>\$125.73</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$153.33</b>	<b>\$0.00</b>	<b>\$153.33</b>		<b>\$125.73</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$125.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$125.73</b>
<b>Year-to-date Earnings</b>	<b>\$11,563.62</b>