

# STATEMENT

July 20, 2018

**RON RENSBERGER**

707 E 41st Street

Suite 226

Sioux Falls, SD 57105

**BROKER #: 076**

BRANCH:

## COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/01/2018	#00036307156	METZJOAN	INVESTED AGGRESSIVE PORT A	100.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00035543848	SPENNY, DAVID	INVESTED CONSERVATIVE PORTFOLIO A	25.00	0.50	95.0	0.00	0.48	0.48
06/01/2018	#00036218685	METZKELL	INVESTED GROWTH PORTFOLIO A	100.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00032423005	SMOOK, CURTIS	IVY EUROPEAN OPPORTUNITIES A	50.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00036143265	HOGUETRACL	IVY FDS INC ASSET STRATEGY FD CL A	300.00	12.00	95.0	0.00	11.40	11.40
06/01/2018	#00035631725	WEBER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00035746714	STACEY, JON	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
06/01/2018	#00032418293	SMOOK, MARY	IVY FDS INC HIGH INCOME FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC HIGH INCOME FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
06/01/2018	#00036143265	HOGUETRACL	IVY FDS INC HIGH INCOME FD CL A	125.00	5.00	95.0	0.00	4.75	4.75
06/01/2018	#00032374436	RENTSCHLER,	IVY FDS INC LARGE CAP GROWTH FD CL	50.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
06/01/2018	#00032418293	SMOOK, MARY	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00032423005	SMOOK, CURTIS	IVY FDS INC SCIENCE & TECH FD CL A	75.00	3.00	95.0	0.00	2.85	2.85
06/01/2018	#00036143265	HOGUETRACL	IVY FDS INC SCIENCE & TECH FD CL A	425.00	17.00	95.0	0.00	16.15	16.15
06/01/2018	#00036187511	BEANEDALEF	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.25	95.0	0.00	2.14	2.14
06/01/2018	#00032374436	RENTSCHLER,	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00032375891	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
06/01/2018	#00032384266	SKORCZEWSKI,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
06/01/2018	#00035631725	WEBER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
06/01/2018	#00032423005	SMOOK, CURTIS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	1.90
06/01/2018	#00036143265	HOGUETRACL	IVY REAL ESTATE SECURITIES A	75.00	3.00	95.0	0.00	2.85	2.85
06/01/2018	#00036143265	HOGUETRACL	IVY SMALL CAP GROWTH A	75.00	3.00	95.0	0.00	2.85	2.85
06/04/2018	#00035485711	WANG, DAVID	INVESTED GROWTH PORTFOLIO A	480.00	4.80	95.0	0.00	4.56	4.56
06/04/2018	#00035579219	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/04/2018	#00032398031	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
06/04/2018	#00032398032	KEMP, MICHELE	IVY CUNDILL GLOBAL VALUE A	82.50	0.83	95.0	0.00	0.79	0.79
06/04/2018	#00032398031	KEMP, DANIEL	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
06/04/2018	#00032398032	KEMP, MICHELE	IVY EUROPEAN OPPORTUNITIES A	48.75	0.49	95.0	0.00	0.47	0.47
06/04/2018	#00032398031	KEMP, DANIEL	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
06/04/2018	#00032398032	KEMP, MICHELE	IVY FD BD FD CL A	82.50	0.83	95.0	0.00	0.79	0.79
06/04/2018	#00032398031	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
06/04/2018	#00032398032	KEMP, MICHELE	IVY FDS INC ASSET STRATEGY FD CL A	101.25	1.01	95.0	0.00	0.96	0.96
06/04/2018	#00036027743	TRAUT, TODD	IVY FDS INC ASSET STRATEGY FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
06/04/2018	#00032398031	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	71.25	0.71	95.0	0.00	0.67	0.67
06/04/2018	#00032398032	KEMP, MICHELE	IVY FDS INC HIGH INCOME FD CL A	26.25	0.26	95.0	0.00	0.25	0.25
06/04/2018	#00032398031	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
06/04/2018	#00032398032	KEMP, MICHELE	IVY FDS INC LARGE CAP GROWTH FD CL	48.75	0.49	95.0	0.00	0.47	0.47
06/04/2018	#00032398031	KEMP, DANIEL	IVY MID CAP GROWTH FUND CLASS A	78.75	0.79	95.0	0.00	0.75	0.75
06/04/2018	#00032398032	KEMP, MICHELE	IVY MID CAP GROWTH FUND CLASS A	33.75	0.34	95.0	0.00	0.32	0.32
06/04/2018	#00032398032	KEMP, MICHELE	IVY PACIFIC OPPORTUNITIES A	90.00	0.90	95.0	0.00	0.86	0.86
06/04/2018	#00032398031	KEMP, DANIEL	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
06/04/2018	#00032398032	KEMP, MICHELE	IVY SMALL CAP VALUE A	26.25	0.26	95.0	0.00	0.25	0.25
06/05/2018	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	100.00	3.75	95.0	0.00	3.56	3.56
06/05/2018	#00086459796	GORHAM,	BOND FUND OF AMERICA INC CL A	75.00	2.25	95.0	0.00	2.14	2.14
06/05/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	100.00	3.75	95.0	0.00	3.56	3.56
06/05/2018	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	50.00	1.88	95.0	0.00	1.79	1.79
06/05/2018	#00036140009	BAKER, JANIE	INVESTED GROWTH PORTFOLIO A	50.00	1.00	95.0	0.00	0.95	0.95
06/05/2018	#00035579226	BAKER, JANIE	INVESTED INCOME	25.00	0.50	95.0	0.00	0.48	0.48
06/05/2018	#00035998467	GORHAM,	IVY BALANCED A	100.00	1.20	95.0	0.00	1.14	1.14
06/05/2018	#00036208319	TAYLOR, NICK	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
06/05/2018	#00036208332	TAYLOCALI	IVY BALANCED A	76.00	3.04	95.0	0.00	2.89	2.89
06/05/2018	#00036098588	LEVEDCRYS	IVY BALANCED A	50.00	2.50	95.0	0.00	2.38	2.38
06/05/2018	#00032398033	ALLEN, KENNETH	IVY CORE EQUITY FUND CLASS A	50.00	2.50	95.0	0.00	2.38	2.38
06/05/2018	#00032398033	ALLEN, KENNETH	IVY FD BD FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
06/05/2018	#00035998467	GORHAM,	IVY FDS INC ASSET STRATEGY FD CL A	200.00	2.40	95.0	0.00	2.28	2.28
06/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC ASSET STRATEGY FD CL A	150.00	7.50	95.0	0.00	7.13	7.13
06/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
06/05/2018	#00036208332	TAYLOCALI	IVY FDS INC HIGH INCOME FD CL A	78.00	3.12	95.0	0.00	2.96	2.96
06/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89
06/05/2018	#00036208332	TAYLOCALI	IVY FDS INC LARGE CAP GROWTH FD CL	76.00	3.04	95.0	0.00	2.89	2.89

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/05/2018	#00035998467	GORHAM,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.40	95.0	0.00	2.28	0.00	2.28
06/05/2018	#00032387551	ROBERTSON,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.00	0.67
06/05/2018	#00036208319	TAYLOR, NICK	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
06/05/2018	#00036208332	TAYLOCALI	IVY FDS INC SCIENCE & TECH FD CL A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
06/05/2018	#00032398033	ALLEN, KENNETH	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/05/2018	#00036098588	LEVEDCRYS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/05/2018	#00036264275	WIPF, CARON	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
06/05/2018	#00032387551	ROBERTSON,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	0.70	95.0	0.00	0.67	0.00	0.67
06/05/2018	#00036208319	TAYLOR, NICK	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	0.00	2.89
06/05/2018	#00036208332	TAYLOCALI	IVY GLOBAL NATURAL RESOURCES FUND-C	76.00	3.04	95.0	0.00	2.89	0.00	2.89
06/05/2018	#00032398033	ALLEN, KENNETH	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/05/2018	#00036208319	TAYLOR, NICK	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
06/05/2018	#00036208332	TAYLOCALI	IVY REAL ESTATE SECURITIES A	76.00	3.04	95.0	0.00	2.89	0.00	2.89
06/05/2018	#00086459796	GORHAM,	NEW WORLD FUND INC NEW	100.00	3.75	95.0	0.00	3.56	0.00	3.56
06/07/2018	#00035578513	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	185.97	5.21	95.0	0.00	4.95	0.00	4.95
06/07/2018	#00035578513	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	185.97	5.21	95.0	0.00	4.95	0.00	4.95
06/07/2018	#00035578513	CHRISTOPHER,	IVY REAL ESTATE SECURITIES A	185.98	5.21	95.0	0.00	4.95	0.00	4.95
06/11/2018	#00035378852	VARIOUS	IVY CORE EQUITY FUND CLASS A	25.00	1.00	95.0	0.00	0.95	0.00	0.95
06/11/2018	#00032421732	BEANEDALEF	IVY FDS INC ASSET STRATEGY FD CL A	200.00	9.00	95.0	0.00	8.55	0.00	8.55
06/11/2018	#00035378852	VARIOUS	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	2.00	95.0	0.00	1.90	0.00	1.90
06/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC ASSET STRATEGY FD CL A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
06/15/2018	#00032411317	ANDREWS,	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#00035502928	ANDREWS, SARA	IVY FDS INC ASSET STRATEGY FD CL A	75.00	3.75	95.0	0.00	3.56	0.00	3.56
06/15/2018	#00036077173	BACKHROGEL	IVY FDS INC ASSET STRATEGY FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	0.60	95.0	0.00	0.57	0.00	0.57
06/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC HIGH INCOME FD CL A	79.00	3.56	95.0	0.00	3.38	0.00	3.38
06/15/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	0.60	95.0	0.00	0.57	0.00	0.57
06/15/2018	#00032408864	DEMPSEY, DARLA	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	0.00	1.43
06/15/2018	#00036150657	JOHNSON, JOSEPH	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.50	95.0	0.00	4.28	0.00	4.28
06/15/2018	#00035864350	HALL, JASON	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#00036149962	SPENNY, DAVID	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#00036276384	HOITEN, LAURA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
06/15/2018	#00036306920	BRYAN, CLAIN	IVY FDS INC SCIENCE & TECH FD CL A	100.00	5.00	95.0	0.00	4.75	0.00	4.75
06/15/2018	#00036326947	EMERSOLIV	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
06/15/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	0.60	95.0	0.00	0.57	0.00	0.57

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/15/2018	#00036150657	JOHNSON, JOSEPH	IVY REAL ESTATE SECURITIES A	100.00	4.50	95.0	0.00	4.28	4.28
06/15/2018	#00035864350	HALL, JASON	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
06/15/2018	#00036149954	SPENNIDA, L	IVY REAL ESTATE SECURITIES A	50.00	2.50	95.0	0.00	2.38	2.38
06/15/2018	#00036150657	JOHNSON, JOSEPH	IVY SMALL CAP GROWTH A	79.00	3.56	95.0	0.00	3.38	3.38
06/18/2018	#00036077197	LYKKEN, ARLO	IVY BALANCED A	100.00	2.80	95.0	0.00	2.66	2.66
06/18/2018	#00036077197	LYKKEN, ARLO	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
06/18/2018	#00036077197	LYKKEN, ARLO	IVY GLOBAL NATURAL RESOURCES FUND-C	100.00	2.80	95.0	0.00	2.66	2.66
06/18/2018	#00036077197	LYKKEN, ARLO	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00035763248	GLOVER, JESSICA	IVY ASSET STRATEGY E	150.00	1.50	95.0	0.00	1.43	1.43
06/20/2018	#00032396121	COOPER TRACY,	IVY BALANCED A	200.00	5.60	95.0	0.00	5.32	5.32
06/20/2018	#00035633441	KOCOUREK,	IVY BALANCED A	60.00	3.00	95.0	0.00	2.85	2.85
06/20/2018	#00032396121	COOPER TRACY,	IVY ENERGY A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00036343490	O'BRIEN,	IVY ENERGY A	200.00	10.00	95.0	0.00	9.50	9.50
06/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC ASSET STRATEGY FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC ASSET STRATEGY FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
06/20/2018	#00035633441	KOCOUREK,	IVY FDS INC ASSET STRATEGY FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
06/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC HIGH INCOME FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
06/20/2018	#00035436381	GLOVER, KEITH	IVY FDS INC HIGH INCOME FD CL A	50.00	1.40	95.0	0.00	1.33	1.33
06/20/2018	#00036343490	O'BRIEN,	IVY FDS INC HIGH INCOME FD CL A	200.00	10.00	95.0	0.00	9.50	9.50
06/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	5.60	95.0	0.00	5.32	5.32
06/20/2018	#00036343490	O'BRIEN,	IVY FDS INC LARGE CAP GROWTH FD CL	200.00	10.00	95.0	0.00	9.50	9.50
06/20/2018	#00032388137	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00032396121	COOPER TRACY,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	5.60	95.0	0.00	5.32	5.32
06/20/2018	#00035202865	GLOVER, JESSICA	IVY FDS INC SCIENCE & TECH FD CL A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00035436381	GLOVER, KEITH	IVY FDS INC SCIENCE & TECH FD CL A	75.00	2.10	95.0	0.00	2.00	2.00
06/20/2018	#00032410232	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	1.25	95.0	0.00	1.19	1.19
06/20/2018	#00035633441	KOCOUREK,	IVY FDS INC SCIENCE & TECH FD CL A	60.00	3.00	95.0	0.00	2.85	2.85
06/20/2018	#00036343490	O'BRIEN,	IVY FDS INC SCIENCE & TECH FD CL A	200.00	10.00	95.0	0.00	9.50	9.50
06/20/2018	#00032396121	COOPER TRACY,	IVY INTERNATIONAL CORE EQUITY A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00035202865	GLOVER, JESSICA	IVY MID CAP GROWTH FUND CLASS A	158.00	4.42	95.0	0.00	4.20	4.20
06/20/2018	#00035436381	GLOVER, KEITH	IVY MID CAP GROWTH FUND CLASS A	92.00	2.58	95.0	0.00	2.45	2.45
06/20/2018	#00032396121	COOPER TRACY,	IVY REAL ESTATE SECURITIES A	100.00	2.80	95.0	0.00	2.66	2.66
06/20/2018	#00036130945	CHRIS, JANE	IVY REAL ESTATE SECURITIES A	80.00	2.24	95.0	0.00	2.13	2.13
06/21/2018	#00032408419	DEMPSEY, STEVEN	IVY CORE EQUITY FUND CLASS A	75.00	1.50	95.0	0.00	1.43	1.43

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
06/21/2018	#00032408419	DEMPSEY, STEVEN	IVY CUNDILL GLOBAL VALUE A	75.00	1.50	95.0	0.00	1.43	1.43
06/21/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC HIGH INCOME FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
06/21/2018	#00032408419	DEMPSEY, STEVEN	IVY FDS INC SCIENCE & TECH FD CL A	75.00	1.50	95.0	0.00	1.43	1.43
06/22/2018	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	138.48	6.92	95.0	0.00	6.57	6.57
06/22/2018	#00036253281	REEKERS, DONNA	IVY FDS INC HIGH INCOME FD CL A	138.48	6.92	95.0	0.00	6.57	6.57
06/22/2018	#00036193565	EVANS, LARRL	IVY FDS INC SCIENCE & TECH FD CL A	90.00	4.50	95.0	0.00	4.28	4.28
06/22/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	90.00	4.50	95.0	0.00	4.28	4.28
06/22/2018	#00036247691	OKUWEZAKA	IVY FDS INC SCIENCE & TECH FD CL A	90.00	4.50	95.0	0.00	4.28	4.28
06/25/2018	#00085194319	GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	50.00	2.13	95.0	0.00	2.02	2.02
06/25/2018	#00035578623	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
06/25/2018	#00035578629	CHRISTOPHER,	IVY FDS INC SCIENCE & TECH FD CL A	25.00	0.70	95.0	0.00	0.67	0.67
06/25/2018	#00032397882	REEKERS, DONNA	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.50	95.0	0.00	2.38	2.38
06/27/2018	#00035649857	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	50.00	2.00	95.0	0.00	1.90	1.90
06/27/2018	#00036192778	VARIOUS	IVY FDS INC SCIENCE & TECH FD CL A	100.00	4.00	95.0	0.00	3.80	3.80
06/28/2018	#00035572064	KEMP, DANIEL	IVY CUNDILL GLOBAL VALUE A	500.00	5.00	95.0	0.00	4.75	4.75
06/28/2018	#00035572064	KEMP, DANIEL	IVY FD BD FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
06/28/2018	#00035572064	KEMP, DANIEL	IVY FDS INC ASSET STRATEGY FD CL A	400.00	4.00	95.0	0.00	3.80	3.80
06/28/2018	#00035572064	KEMP, DANIEL	IVY FDS INC HIGH INCOME FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
06/28/2018	#00035572064	KEMP, DANIEL	IVY FDS INC LARGE CAP GROWTH FD CL	500.00	5.00	95.0	0.00	4.75	4.75
06/28/2018	#00035572064	KEMP, DANIEL	IVY FDS INC SCIENCE & TECH FD CL A	200.00	2.00	95.0	0.00	1.90	1.90
06/29/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC HIGH INCOME FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
06/29/2018	#00035492585	EMERSON, SHAWN	IVY FDS INC SCIENCE & TECH FD CL A	50.00	1.00	95.0	0.00	0.95	0.95
06/29/2018	#00035492585	EMERSON, SHAWN	IVY GLOBAL NATURAL RESOURCES FUND-C	50.00	1.00	95.0	0.00	0.95	0.95
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$15,248.88</b>	<b>\$457.90</b>		<b>\$0.00</b>	<b>\$435.25</b>	<b>\$0.00</b>	<b>\$435.25</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/01/2018	#00067202325	WANG, YIPING	AMCAP FUND INC CLASS A	0.00	2.19	95.0	0.00	2.08	2.08
06/01/2018	#00073340855	CHRISTOPHER,	AMCAP FUND INC CLASS A	0.00	16.13	95.0	0.00	15.32	15.32
06/01/2018	#00074864213	SEVERY, KEVIN	AMCAP FUND INC CLASS A	0.00	2.42	95.0	0.00	2.30	2.30
06/01/2018	#00083133272	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	6.48	95.0	0.00	6.16	6.16
06/01/2018	#00083134866	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	22.05	95.0	0.00	20.95	20.95
06/01/2018	#00083333075	NELSON, GERALD	AMCAP FUND INC CLASS A	0.00	24.98	95.0	0.00	23.73	23.73
06/01/2018	#00083762655	JOHNSON,	AMCAP FUND INC CLASS A	0.00	64.50	95.0	0.00	61.28	61.28
06/01/2018	#00084712825	JOHNSON,	AMCAP FUND INC CLASS A	0.00	4.67	95.0	0.00	4.44	4.44
06/01/2018	#00084595485	MEYERS, SETH	AMER FUNDS	0.00	4.76	95.0	0.00	4.52	4.52
06/01/2018	#00085184968	ERICKSON-CAREY,	AMERICAN BALANCED FUND INC CL C	0.00	19.09	95.0	0.00	18.14	18.14

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00058662285	LODES, LORAE	AMERICAN BALANCED FUND INC CLASS A	0.00	9.92	95.0	0.00	9.42	9.42
06/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN BALANCED FUND INC CLASS A	0.00	3.57	95.0	0.00	3.39	3.39
06/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN BALANCED FUND INC CLASS A	0.00	3.57	95.0	0.00	3.39	3.39
06/01/2018	#00083133272	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	2.52	95.0	0.00	2.39	2.39
06/01/2018	#00083134866	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	56.16	95.0	0.00	53.35	53.35
06/01/2018	#00083333075	NELSON, GERALD	AMERICAN BALANCED FUND INC CLASS A	0.00	0.46	95.0	0.00	0.44	0.44
06/01/2018	#00083762655	JOHNSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	21.00	95.0	0.00	19.95	19.95
06/01/2018	#00084712825	JOHNSON,	AMERICAN BALANCED FUND INC CLASS A	0.00	3.64	95.0	0.00	3.46	3.46
06/01/2018	#00086459796	GORHAM,	AMERICAN BALANCED FUND INC CLASS A	0.00	0.39	95.0	0.00	0.37	0.37
06/01/2018	#00086699399	LODES, LORAE	AMERICAN BALANCED FUND INC CLASS A	0.00	0.97	95.0	0.00	0.92	0.92
06/01/2018	#00084595435	LETELLIER,	AMERICAN FUNDS 02630Y745	0.00	6.36	95.0	0.00	6.04	6.04
06/01/2018	#00089424182	ELLIS, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	41.27	95.0	0.00	39.21	39.21
06/01/2018	#00089428643	ELLIS, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	18.41	95.0	0.00	17.49	17.49
06/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN FUNDS 458809407	0.00	0.28	95.0	0.00	0.27	0.27
06/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN FUNDS 458809407	0.00	0.28	95.0	0.00	0.27	0.27
06/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN FUNDS 831681408	0.00	0.69	95.0	0.00	0.66	0.66
06/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN FUNDS 831681408	0.00	0.68	95.0	0.00	0.65	0.65
06/01/2018	#00067911673	TOP, KRISTEN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	4.85	95.0	0.00	4.61	4.61
06/01/2018	#00073340855	CHRISTOPHER,	AMERICAN FUNDS INCOME SERIES U S GO	0.00	3.58	95.0	0.00	3.40	3.40
06/01/2018	#00085184968	ERICKSON-CAREY,	AMERICAN HIGH INCOME TR CL C	0.00	13.57	95.0	0.00	12.89	12.89
06/01/2018	#00058662285	LODES, LORAE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	7.41	95.0	0.00	7.04	7.04
06/01/2018	#00073340855	CHRISTOPHER,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.40	95.0	0.00	5.13	5.13
06/01/2018	#00074864213	SEVERY, KEVIN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.93	95.0	0.00	2.78	2.78
06/01/2018	#00074874449	SEVERY, GARRETT	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.93	95.0	0.00	2.78	2.78
06/01/2018	#00083133272	NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.64	95.0	0.00	4.41	4.41
06/01/2018	#00083134866	NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	51.11	95.0	0.00	48.55	48.55
06/01/2018	#00083333075	NELSON, GERALD	AMERICAN HIGH INCOME TR SH BEN INT	0.00	14.16	95.0	0.00	13.45	13.45
06/01/2018	#00083762655	JOHNSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	15.02	95.0	0.00	14.27	14.27
06/01/2018	#00084712825	JOHNSON,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.73	95.0	0.00	2.59	2.59
06/01/2018	#00085194319	GREGORY, NANCY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.89	95.0	0.00	2.75	2.75
06/01/2018	#00086699399	LODES, LORAE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.73	95.0	0.00	0.69	0.69
06/01/2018	#00083134866	NELSON, GERALD	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	6.03	95.0	0.00	5.73	5.73
06/01/2018	#00083133272	NELSON, GERALD	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.48	95.0	0.00	1.41	1.41
06/01/2018	#00083134866	NELSON, GERALD	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.98	95.0	0.00	1.88	1.88
06/01/2018	#00083762655	JOHNSON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	51.60	95.0	0.00	49.02	49.02
06/01/2018	#00084712825	JOHNSON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.07	95.0	0.00	3.87	3.87

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00084595485 MEYERS, SETH	AMR\ERICAN FUNDS 02629M645	0.00	3.99	95.0	0.00	3.79	0.00	3.79
06/01/2018	#00084595510 MEYERS, SETH	AMR\ERICAN FUNDS 02629M645	0.00	17.95	95.0	0.00	17.05	0.00	17.05
06/01/2018	#00085184945 CAREY, RUDY	BOND FUND AMER INC CL C	0.00	0.63	95.0	0.00	0.60	0.00	0.60
06/01/2018	#00085184968 ERICKSON-CAREY,	BOND FUND AMER INC CL C	0.00	10.72	95.0	0.00	10.18	0.00	10.18
06/01/2018	#00074864213 SEVERY, KEVIN	BOND FUND AMER INC CL F1	0.00	0.72	95.0	0.00	0.68	0.00	0.68
06/01/2018	#00074874449 SEVERY, GARRETT	BOND FUND AMER INC CL F1	0.00	0.72	95.0	0.00	0.68	0.00	0.68
06/01/2018	#00067202326 WANG, DAVID	BOND FUND OF AMERICA INC CL A	0.00	5.99	95.0	0.00	5.69	0.00	5.69
06/01/2018	#00074864213 SEVERY, KEVIN	BOND FUND OF AMERICA INC CL A	0.00	2.33	95.0	0.00	2.21	0.00	2.21
06/01/2018	#00074874449 SEVERY, GARRETT	BOND FUND OF AMERICA INC CL A	0.00	2.33	95.0	0.00	2.21	0.00	2.21
06/01/2018	#00083133272 NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	3.57	95.0	0.00	3.39	0.00	3.39
06/01/2018	#00083134866 NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	41.77	95.0	0.00	39.68	0.00	39.68
06/01/2018	#00083333075 NELSON, GERALD	BOND FUND OF AMERICA INC CL A	0.00	10.75	95.0	0.00	10.21	0.00	10.21
06/01/2018	#00083762655 JOHNSON,	BOND FUND OF AMERICA INC CL A	0.00	12.34	95.0	0.00	11.72	0.00	11.72
06/01/2018	#00084712825 JOHNSON,	BOND FUND OF AMERICA INC CL A	0.00	3.41	95.0	0.00	3.24	0.00	3.24
06/01/2018	#00086459796 GORHAM,	BOND FUND OF AMERICA INC CL A	0.00	0.33	95.0	0.00	0.31	0.00	0.31
06/01/2018	#00085184945 CAREY, RUDY	CAPITAL INCOME BLDR FUND CL C	0.00	3.44	95.0	0.00	3.27	0.00	3.27
06/01/2018	#00067202326 WANG, DAVID	CAPITAL INCOME BUILDER FD CL A	0.00	15.78	95.0	0.00	14.99	0.00	14.99
06/01/2018	#00067911673 TOP, KRISTEN	CAPITAL INCOME BUILDER FD CL A	0.00	0.72	95.0	0.00	0.68	0.00	0.68
06/01/2018	#00074864213 SEVERY, KEVIN	CAPITAL INCOME BUILDER FD CL A	0.00	1.80	95.0	0.00	1.71	0.00	1.71
06/01/2018	#00083762655 JOHNSON,	CAPITAL INCOME BUILDER FD CL A	0.00	17.91	95.0	0.00	17.01	0.00	17.01
06/01/2018	#00084712825 JOHNSON,	CAPITAL INCOME BUILDER FD CL A	0.00	2.85	95.0	0.00	2.71	0.00	2.71
06/01/2018	#00085184945 CAREY, RUDY	CAPITAL INCOME BUILDER FD CL F-1	0.00	0.98	95.0	0.00	0.93	0.00	0.93
06/01/2018	#00085184968 ERICKSON-CAREY,	CAPITAL WORLD BOND FUND-C	0.00	10.42	95.0	0.00	9.90	0.00	9.90
06/01/2018	#00083133272 NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.62	95.0	0.00	2.49	0.00	2.49
06/01/2018	#00083134866 NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	35.39	95.0	0.00	33.62	0.00	33.62
06/01/2018	#00083333075 NELSON, GERALD	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	10.15	95.0	0.00	9.64	0.00	9.64
06/01/2018	#00083762655 JOHNSON,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.56	95.0	0.00	5.28	0.00	5.28
06/01/2018	#00084712825 JOHNSON,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.89	95.0	0.00	1.80	0.00	1.80
06/01/2018	#00058662285 LODES, LORAE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	10.40	95.0	0.00	9.88	0.00	9.88
06/01/2018	#00067911673 TOP, KRISTEN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.27	95.0	0.00	1.21	0.00	1.21
06/01/2018	#00075380031 GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	53.63	95.0	0.00	50.95	0.00	50.95
06/01/2018	#00083133272 NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.18	95.0	0.00	4.92	0.00	4.92
06/01/2018	#00083134866 NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	32.70	95.0	0.00	31.07	0.00	31.07
06/01/2018	#00083333075 NELSON, GERALD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	17.37	95.0	0.00	16.50	0.00	16.50
06/01/2018	#00083762655 JOHNSON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	19.67	95.0	0.00	18.69	0.00	18.69
06/01/2018	#00084712825 JOHNSON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.70	95.0	0.00	1.62	0.00	1.62

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00085194319	GREGORY, NANCY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.50	95.0	0.00	2.38	2.38
06/01/2018	#00086459796	GORHAM,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.39	95.0	0.00	0.37	0.37
06/01/2018	#00086699399	LODES, LORAE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.02	95.0	0.00	0.97	0.97
06/01/2018	#00084595455	LETELLIER,	COLLEGE 2021 FUND-529A	0.00	10.08	95.0	0.00	9.58	9.58
06/01/2018	#00084595526	LETELLIER,	COLLEGE 2024 FUND 529A	0.00	10.55	95.0	0.00	10.02	10.02
06/01/2018	#00067911673	TOP, KRISTEN	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.74	95.0	0.00	0.70	0.70
06/01/2018	#00083762655	JOHNSON,	EURO PACIFIC GROWTH FUND CLASS A	0.00	14.30	95.0	0.00	13.59	13.59
06/01/2018	#00084712825	JOHNSON,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.45	95.0	0.00	1.38	1.38
06/01/2018	#00067911673	TOP, KRISTEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.16	95.0	0.00	2.05	2.05
06/01/2018	#00074864213	SEVERY, KEVIN	FUNDAMENTAL INVS INC CL F1	0.00	1.45	95.0	0.00	1.38	1.38
06/01/2018	#00074874449	SEVERY, GARRETT	FUNDAMENTAL INVS INC CL F1	0.00	1.43	95.0	0.00	1.36	1.36
06/01/2018	#00058662285	LODES, LORAE	GLOBAL BALANCED FUND-A	0.00	8.41	95.0	0.00	7.99	7.99
06/01/2018	#00086699399	LODES, LORAE	GLOBAL BALANCED FUND-A	0.00	0.82	95.0	0.00	0.78	0.78
06/01/2018	#00085184945	CAREY, RUDY	GROWTH FUND AMER INC CL C	0.00	6.69	95.0	0.00	6.36	6.36
06/01/2018	#00074864213	SEVERY, KEVIN	GROWTH FUND AMER INC CL F1	0.00	0.73	95.0	0.00	0.69	0.69
06/01/2018	#00074874449	SEVERY, GARRETT	GROWTH FUND AMER INC CL F1	0.00	0.67	95.0	0.00	0.64	0.64
06/01/2018	#00085184945	CAREY, RUDY	GROWTH FUND AMER INC CL F1	0.00	2.88	95.0	0.00	2.74	2.74
06/01/2018	#00058662285	LODES, LORAE	GROWTH FUND AMERICA INC CLASS A	0.00	13.15	95.0	0.00	12.49	12.49
06/01/2018	#00067202325	WANG, YIPING	GROWTH FUND AMERICA INC CLASS A	0.00	2.28	95.0	0.00	2.17	2.17
06/01/2018	#00067202326	WANG, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	8.20	95.0	0.00	7.79	7.79
06/01/2018	#00067911673	TOP, KRISTEN	GROWTH FUND AMERICA INC CLASS A	0.00	2.14	95.0	0.00	2.03	2.03
06/01/2018	#00073340855	CHRISTOPHER,	GROWTH FUND AMERICA INC CLASS A	0.00	26.00	95.0	0.00	24.70	24.70
06/01/2018	#00074864213	SEVERY, KEVIN	GROWTH FUND AMERICA INC CLASS A	0.00	6.44	95.0	0.00	6.12	6.12
06/01/2018	#00074874449	SEVERY, GARRETT	GROWTH FUND AMERICA INC CLASS A	0.00	6.44	95.0	0.00	6.12	6.12
06/01/2018	#00083133272	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	3.94	95.0	0.00	3.74	3.74
06/01/2018	#00083134866	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	44.65	95.0	0.00	42.42	42.42
06/01/2018	#00083293805	LEHMANN,	GROWTH FUND AMERICA INC CLASS A	0.00	1.12	95.0	0.00	1.06	1.06
06/01/2018	#00083333075	NELSON, GERALD	GROWTH FUND AMERICA INC CLASS A	0.00	24.73	95.0	0.00	23.49	23.49
06/01/2018	#00083762655	JOHNSON,	GROWTH FUND AMERICA INC CLASS A	0.00	62.26	95.0	0.00	59.15	59.15
06/01/2018	#00084712825	JOHNSON,	GROWTH FUND AMERICA INC CLASS A	0.00	4.72	95.0	0.00	4.48	4.48
06/01/2018	#00085194319	GREGORY, NANCY	GROWTH FUND AMERICA INC CLASS A	0.00	8.28	95.0	0.00	7.87	7.87
06/01/2018	#00086459796	GORHAM,	GROWTH FUND AMERICA INC CLASS A	0.00	0.28	95.0	0.00	0.27	0.27
06/01/2018	#00086699399	LODES, LORAE	GROWTH FUND AMERICA INC CLASS A	0.00	1.28	95.0	0.00	1.22	1.22
06/01/2018	#00067202324	WANG, DAVID	INCOME FUND OF AMERICA INC CLASS A	0.00	3.61	95.0	0.00	3.43	3.43
06/01/2018	#00067911673	TOP, KRISTEN	INCOME FUND OF AMERICA INC CLASS A	0.00	0.84	95.0	0.00	0.80	0.80
06/01/2018	#00074864213	SEVERY, KEVIN	INCOME FUND OF AMERICA INC CLASS A	0.00	3.43	95.0	0.00	3.26	3.26



COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00074874449	SEVERY, GARRETT	INCOME FUND OF AMERICA INC CLASS A	0.00	3.43	95.0	0.00	3.26	3.26
06/01/2018	#00081956353	WANG, YIPING	INCOME FUND OF AMERICA INC CLASS A	0.00	0.78	95.0	0.00	0.74	0.74
06/01/2018	#00089678353	DONOVAN,	INCOME FUND OF AMERICA INC CLASS A	0.00	7.11	95.0	0.00	6.75	6.75
06/01/2018	#00058662285	LODES, LORAE	INVESTMENT CO AMER CLASS A	0.00	11.86	95.0	0.00	11.27	11.27
06/01/2018	#00074864213	SEVERY, KEVIN	INVESTMENT CO AMER CLASS A	0.00	3.84	95.0	0.00	3.65	3.65
06/01/2018	#00074874449	SEVERY, GARRETT	INVESTMENT CO AMER CLASS A	0.00	3.82	95.0	0.00	3.63	3.63
06/01/2018	#00083133272	NELSON, GERALD	INVESTMENT CO AMER CLASS A	0.00	2.14	95.0	0.00	2.03	2.03
06/01/2018	#00083134866	NELSON, GERALD	INVESTMENT CO AMER CLASS A	0.00	29.05	95.0	0.00	27.60	27.60
06/01/2018	#00083333075	NELSON, GERALD	INVESTMENT CO AMER CLASS A	0.00	0.51	95.0	0.00	0.48	0.48
06/01/2018	#00085194319	GREGORY, NANCY	INVESTMENT CO AMER CLASS A	0.00	2.80	95.0	0.00	2.66	2.66
06/01/2018	#00086699399	LODES, LORAE	INVESTMENT CO AMER CLASS A	0.00	1.09	95.0	0.00	1.04	1.04
06/01/2018	#00073340855	CHRISTOPHER,	NEW ECONOMY FUND SBI CLASS A	0.00	9.80	95.0	0.00	9.31	9.31
06/01/2018	#00083133272	NELSON, GERALD	NEW ECONOMY FUND SBI CLASS A	0.00	5.04	95.0	0.00	4.79	4.79
06/01/2018	#00083134866	NELSON, GERALD	NEW ECONOMY FUND SBI CLASS A	0.00	18.99	95.0	0.00	18.04	18.04
06/01/2018	#00083333075	NELSON, GERALD	NEW ECONOMY FUND SBI CLASS A	0.00	25.83	95.0	0.00	24.54	24.54
06/01/2018	#00074864213	SEVERY, KEVIN	NEW PERSPECTIVE FUND -F1	0.00	0.69	95.0	0.00	0.66	0.66
06/01/2018	#00074874449	SEVERY, GARRETT	NEW PERSPECTIVE FUND -F1	0.00	0.68	95.0	0.00	0.65	0.65
06/01/2018	#00067911673	TOP, KRISTEN	NEW PERSPECTIVE FUND INC CL A	0.00	1.53	95.0	0.00	1.45	1.45
06/01/2018	#00074864213	SEVERY, KEVIN	NEW PERSPECTIVE FUND INC CL A	0.00	3.02	95.0	0.00	2.87	2.87
06/01/2018	#00083133272	NELSON, GERALD	NEW PERSPECTIVE FUND INC CL A	0.00	4.52	95.0	0.00	4.29	4.29
06/01/2018	#00083134866	NELSON, GERALD	NEW PERSPECTIVE FUND INC CL A	0.00	41.42	95.0	0.00	39.35	39.35
06/01/2018	#00083333075	NELSON, GERALD	NEW PERSPECTIVE FUND INC CL A	0.00	20.86	95.0	0.00	19.82	19.82
06/01/2018	#00058662285	LODES, LORAE	NEW WORLD FUND INC NEW	0.00	5.51	95.0	0.00	5.23	5.23
06/01/2018	#00067202324	WANG, DAVID	NEW WORLD FUND INC NEW	0.00	3.09	95.0	0.00	2.94	2.94
06/01/2018	#00067202325	WANG, YIPING	NEW WORLD FUND INC NEW	0.00	3.87	95.0	0.00	3.68	3.68
06/01/2018	#00067202326	WANG, DAVID	NEW WORLD FUND INC NEW	0.00	11.19	95.0	0.00	10.63	10.63
06/01/2018	#00074864213	SEVERY, KEVIN	NEW WORLD FUND INC NEW	0.00	0.85	95.0	0.00	0.81	0.81
06/01/2018	#00074874449	SEVERY, GARRETT	NEW WORLD FUND INC NEW	0.00	0.85	95.0	0.00	0.81	0.81
06/01/2018	#00081956353	WANG, YIPING	NEW WORLD FUND INC NEW	0.00	1.73	95.0	0.00	1.64	1.64
06/01/2018	#00083133272	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	4.96	95.0	0.00	4.71	4.71
06/01/2018	#00083134866	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	78.90	95.0	0.00	74.96	74.96
06/01/2018	#00083293805	LEHMANN,	NEW WORLD FUND INC NEW	0.00	0.80	95.0	0.00	0.76	0.76
06/01/2018	#00083333075	NELSON, GERALD	NEW WORLD FUND INC NEW	0.00	15.10	95.0	0.00	14.35	14.35
06/01/2018	#00083762655	JOHNSON,	NEW WORLD FUND INC NEW	0.00	26.65	95.0	0.00	25.32	25.32
06/01/2018	#00084712825	JOHNSON,	NEW WORLD FUND INC NEW	0.00	1.40	95.0	0.00	1.33	1.33
06/01/2018	#00085194319	GREGORY, NANCY	NEW WORLD FUND INC NEW	0.00	1.98	95.0	0.00	1.88	1.88

COMMISSION STATEMENT for RON RENSBERGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/01/2018	#00086459796	GORHAM, NEW WORLD FUND INC NEW	0.00	0.39	95.0	0.00	0.37	0.00	0.37
06/01/2018	#00086699399	LODES, LORAE NEW WORLD FUND INC NEW	0.00	0.54	95.0	0.00	0.51	0.00	0.51
06/01/2018	#00067202324	WANG, DAVID SMALLCAP WORLD FUND INC CLASS A	0.00	3.59	95.0	0.00	3.41	0.00	3.41
06/01/2018	#00067202325	WANG, YIPING SMALLCAP WORLD FUND INC CLASS A	0.00	6.84	95.0	0.00	6.50	0.00	6.50
06/01/2018	#00067202326	WANG, DAVID SMALLCAP WORLD FUND INC CLASS A	0.00	14.40	95.0	0.00	13.68	0.00	13.68
06/01/2018	#00074864213	SEVERY, KEVIN SMALLCAP WORLD FUND INC CLASS A	0.00	1.16	95.0	0.00	1.10	0.00	1.10
06/01/2018	#00074874449	SEVERY, GARRETT SMALLCAP WORLD FUND INC CLASS A	0.00	1.16	95.0	0.00	1.10	0.00	1.10
06/01/2018	#00081956353	WANG, YIPING SMALLCAP WORLD FUND INC CLASS A	0.00	2.12	95.0	0.00	2.01	0.00	2.01
06/01/2018	#00083133272	NELSON, GERALD SMALLCAP WORLD FUND INC CLASS A	0.00	4.36	95.0	0.00	4.14	0.00	4.14
06/01/2018	#00083134866	NELSON, GERALD SMALLCAP WORLD FUND INC CLASS A	0.00	34.26	95.0	0.00	32.55	0.00	32.55
06/01/2018	#00083333075	NELSON, GERALD SMALLCAP WORLD FUND INC CLASS A	0.00	20.71	95.0	0.00	19.67	0.00	19.67
06/01/2018	#00083762655	JOHNSON, SMALLCAP WORLD FUND INC CLASS A	0.00	30.98	95.0	0.00	29.43	0.00	29.43
06/01/2018	#00084712825	JOHNSON, SMALLCAP WORLD FUND INC CLASS A	0.00	2.10	95.0	0.00	2.00	0.00	2.00
06/01/2018	#00085194319	GREGORY, NANCY SMALLCAP WORLD FUND INC CLASS A	0.00	2.66	95.0	0.00	2.53	0.00	2.53
06/01/2018	#00073340855	CHRISTOPHER, WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.21	95.0	0.00	13.50	0.00	13.50
06/01/2018	#00074864213	SEVERY, KEVIN WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.01	95.0	0.00	3.81	0.00	3.81
06/01/2018	#00074874449	SEVERY, GARRETT WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.01	95.0	0.00	3.81	0.00	3.81
06/01/2018	#00083133272	NELSON, GERALD WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.82	95.0	0.00	1.73	0.00	1.73
06/01/2018	#00083134866	NELSON, GERALD WASHINGTON MUTUAL INVS FD CLASS A	0.00	77.33	95.0	0.00	73.46	0.00	73.46
06/01/2018	#00083333075	NELSON, GERALD WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.99	95.0	0.00	0.94	0.00	0.94
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,776.92</b>		<b>\$0.00</b>	<b>\$1,688.12</b>	<b>\$0.00</b>	<b>\$1,688.12</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$15,248.88</b>	<b>\$2,234.82</b>		<b>\$0.00</b>	<b>\$2,123.37</b>	<b>\$0.00</b>	<b>\$2,123.37</b>

**ADJUSTMENTS**

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-569.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,123.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-131.40
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$1,422.97</b>
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