

**COMMISSION STATEMENT****February 28, 2018**

MARK-S141 REG SMITH

BROKER #: S141

BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.20
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.20
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.20
02/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
02/15/2018	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.90
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$14.38</b>	<b>\$0.00</b>	<b>\$14.38</b>		<b>\$6.90</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/16/2018	KEYS, NANCY	AM GEN POLARIS PLATINUM III	132,148.68	8,259.29	0.00	8,259.29	48.0	3,964.46
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$132,148.68</b>	<b>\$8,259.29</b>	<b>\$0.00</b>	<b>\$8,259.29</b>		<b>\$3,964.46</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.05	0.00	0.05	48.0	0.02
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.25</b>	<b>\$0.00</b>	<b>\$0.25</b>		<b>\$0.11</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$132,448.68</b>	<b>\$8,273.92</b>	<b>\$0.00</b>	<b>\$8,273.92</b>		<b>\$3,971.47</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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Override Transactions

BROKER: MARK-S148 SMITH #401

02/05/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.44
* MARK-S148 SMITH SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.44
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$3.00	\$0.00	\$3.00		\$1.44

BROKER COMMISSION TOTALS

Broker Transactions	\$3,971.47
Split Transactions	\$0.00
Override Transactions	\$1.44
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-478.65
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,494.26
Year-to-date Gross	\$23,732.98
Year-to-date Earnings	\$11,363.02

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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