



All Companies

06/28/2018  
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# COMMISSION STATEMENT July 02, 2018

JEFFREY ROTHSCHILD  
609 Gunnison Dr.

BROKER #: 9100  
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

## COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/31/2018	TRAILS	DELAWARE - GENERAL	B	8.49	8.49	0.00	8.49	70.0	5.94
06/04/2018	00002032720 QUALMAN, LESLIE	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	70.0	5.95
06/15/2018	000090238363748 MINATRA, ROYCE	FRANKLIN INCOME FD CL I	B	450.00	18.00	0.00	18.00	70.0	12.60
06/15/2018	00060669572 GAYLORD, CYNTHIA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.88	0.00	1.88	70.0	1.32
06/15/2018	00060669573 GAYLORD, THURMOND	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.88	0.00	1.88	70.0	1.32
06/15/2018	00088220301 MINTON, SESSILY/KAIN A.	COLLEGE 2030 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
06/15/2018	00088220301 MINTON, SESSILY/KAIN A.	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	2.50	0.00	2.50	70.0	1.75
06/15/2018	00088662742 BLUMKA, RONALD	AMERICAN FUNDS BALANCED FD	B	500.00	18.75	0.00	18.75	70.0	13.13
06/15/2018	00089743823 MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/15/2018	00089743823 MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
06/15/2018	00089743830 MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
06/15/2018	00089743830 MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
06/11/2018	04000442065 MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	134.27	5.04	0.00	5.04	70.0	3.53
06/11/2018	04000442065 MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	89.52	3.36	0.00	3.36	70.0	2.35
06/11/2018	04000443214 CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	442.52	16.59	0.00	16.59	70.0	11.61
06/11/2018	04000461585 DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	65.43	2.45	0.00	2.45	70.0	1.72
06/11/2018	04000475370 PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	112.88	4.23	0.00	4.23	70.0	2.96
06/11/2018	04000475395 GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	1,050.00	39.38	0.00	39.38	70.0	27.57
06/11/2018	04000475406 MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	1,050.00	39.38	0.00	39.38	70.0	27.57
06/11/2018	04000587935 DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	1,050.00	39.38	0.00	39.38	70.0	27.57
06/22/2018	04001035630 ROTHSCHILD, PAUL	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	125.00	6.25	0.00	6.25	70.0	4.38
* MUTUAL FUNDS SUBTOTAL *				\$5,828.11	\$231.56	\$0.00	\$231.56		\$162.12
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	137.13	137.13	0.00	137.13	70.0	95.99
* STOCKS SUBTOTAL *				\$137.13	\$137.13	\$0.00	\$137.13		\$95.99

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
06/15/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	163.86	163.86	0.00	163.86	70.0	114.70
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	204.37	204.37	0.00	204.37	70.0	143.06
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	0.94	0.94	0.00	0.94	70.0	0.66
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	186.15	186.15	0.00	186.15	70.0	130.31
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	84.97	84.97	0.00	84.97	70.0	59.48
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$640.29</b>	<b>\$640.29</b>	<b>\$0.00</b>	<b>\$640.29</b>		<b>\$448.21</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	24.64	0.00	24.64	70.0	17.25
07/02/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	196.55	0.00	196.55	70.0	137.58
07/02/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	7.60	0.00	7.60	70.0	5.32
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$228.79</b>	<b>\$0.00</b>	<b>\$228.79</b>		<b>\$160.15</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$6,605.53</b>	<b>\$1,237.77</b>	<b>\$0.00</b>	<b>\$1,237.77</b>		<b>\$866.47</b>

**SPLIT TRANSACTIONS****BROKER: ROTHSCHILD/POOL #9629**

06/20/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	407.64	244.58	0.00	244.58	70.0	171.21
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	8.30	0.00	8.30	70.0	5.82
06/18/2018	00061397698 RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	1.07	0.00	1.07	70.0	0.75
06/15/2018	00061957268 SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	1.65	0.00	1.65	70.0	1.16
06/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B		62.50	1.03	0.00	1.03	70.0	0.72
06/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	1.03	0.00	1.03	70.0	0.72
06/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B		62.50	1.03	0.00	1.03	70.0	0.72
06/18/2018	00063348505 RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	1.03	0.00	1.03	70.0	0.72
06/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.50	0.00	1.50	70.0	1.05
06/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.50	0.00	1.50	70.0	1.05
06/15/2018	00063806455 ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.50	0.00	1.50	70.0	1.05
06/15/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
06/15/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.54	0.00	0.54	70.0	0.38
06/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
06/15/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	110.00	2.81	0.00	2.81	70.0	1.97
06/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		203.08	3.65	0.00	3.65	70.0	2.56
06/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		203.08	3.65	0.00	3.65	70.0	2.56
06/15/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		209.24	6.28	0.00	6.28	70.0	4.40

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<b><u>SPLIT TRANSACTIONS</u></b>									
<b>BROKER: ROTHSCHILD/POOL #9629</b>									
06/15/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	6.28	0.00	6.28	70.0 4.40
06/15/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	6.09	0.00	6.09	70.0 4.26
06/15/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	6.09	0.00	6.09	70.0 4.26
06/15/2018	00082838137	HODGES, MICHAEL L		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.28	0.00	1.28	70.0 0.90
06/15/2018	00085777888	BABAO, ROMMEL/ISABELLE		NEW PERSPECTIVE FUND-529C B	100.00	0.60	0.00	0.60	70.0 0.42
06/15/2018	00085777888	BABAO, ROMMEL/ISABELLE		THE GROWTH FUND OF AMERICA-529C B	100.00	0.60	0.00	0.60	70.0 0.42
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		AMERICAN BALANCED FUND-529C B	100.00	0.60	0.00	0.60	70.0 0.42
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		CAPITAL INCOME BUILDER-529C B	100.00	0.60	0.00	0.60	70.0 0.42
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		THE BOND FUND OF AMERICA-529C B	100.00	0.60	0.00	0.60	70.0 0.42
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		THE INCOME FUND OF AMERICA-529C B	50.00	0.30	0.00	0.30	70.0 0.21
06/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		U.S. GOVERNMENT SECURITIES FUND-529 B	100.00	0.60	0.00	0.60	70.0 0.42
06/20/2018	00087441316	DAMRON/HUPP, MARTHA/ABIGAIL		COLLEGE ENROLLMENT FUND-529A B	50.00	0.60	0.00	0.60	70.0 0.42
06/19/2018	00088056101	PLYE, DYLAN		AMERICAN FUNDS INVESTMENT COMP OF A B	45.00	1.15	0.00	1.15	70.0 0.81
				<b>* ROTHSCHILD/POOL SUBTOTAL *</b>	<b>\$3,198.44</b>	<b>\$308.08</b>	<b>\$0.00</b>	<b>\$308.08</b>	<b>\$215.70</b>
				<b>*** SPLIT TRANSACTIONS TOTAL ***</b>	<b>\$3,198.44</b>	<b>\$308.08</b>	<b>\$0.00</b>	<b>\$308.08</b>	<b>\$215.70</b>

**ADJUSTMENTS**

07/02/2018		POSTAGE: FEDEX CHARGE		0.00	0.00	0.00	0.00		-13.98
				<b>*** ADJUSTMENTS TOTAL ***</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-13.98</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$869.20
Split Transactions	\$212.97
Override Transactions	\$0.00
Adjustments	\$-13.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,068.19
Year-to-date Earnings	\$32,529.87