

All Companies  
**STATEMENT**  
**April 20, 2018**

RON BARTSCH  
S12 W29085 Summitt Ave  
SUITE 620  
Waukesha, WI 53188

BROKER #: 037  
BRANCH: LM BRANCH

COMMISSION STATEMENT for RON BARTSCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/02/2018	#02500080766 HERVE, BRAND	INVESTCO ASIA PACIFIC GROWTH	60.00	0.60	95.0	0.00	0.57	0.00	0.57
03/08/2018	#01001229265 HERVEY UTMA/WI,	INVESCO ENERGY FUND CLASS C	60.00	0.60	95.0	0.00	0.57	0.00	0.57
03/22/2018	#01001381647 VARIOUS BARTSCH	INVESCO EMERGING MARKETS EQUITY	6,500.00	260.00	95.0	0.00	247.00	0.00	247.00
03/22/2018	#01001211886 VARIOUS BARTSCH	INVESCO U.S. GOVERNMENT FUND CL A	6,500.00	260.00	95.0	0.00	247.00	0.00	247.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$13,120.00</b>	<b>\$521.20</b>		<b>\$0.00</b>	<b>\$495.14</b>	<b>\$0.00</b>	<b>\$495.14</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/01/2018	# SHORT, MARY	MGIC INVESTMENT CORP-WISC	13,580.00	337.81	95.0	0.00	320.92	18.00	302.92
03/01/2018	# YOKES, DIANE	MGIC INVESTMENT CORP-WISC	13,580.00	337.81	95.0	0.00	320.92	18.00	302.92
03/12/2018	# PRICE, THOMAS	OCLARO INC NEW	149,250.00	2,194.73	95.0	0.00	2,084.99	18.00	2,066.99
03/12/2018	# REV TRUST, THOMAS & DORIS	OCLARO INC NEW	49,750.00	905.96	95.0	0.00	860.66	18.00	842.66
03/12/2018	# BLE, BALDWIN GROSSE	OCLARO INC NEW	29,850.00	657.44	95.0	0.00	624.57	18.00	606.57
03/12/2018	# FEIDER, PETER	OCLARO INC NEW	15,920.00	410.57	95.0	0.00	390.04	18.00	372.04
03/12/2018	# GUEX, GREGORY	OCLARO INC NEW	20,000.00	497.39	95.0	0.00	472.52	18.00	454.52
03/12/2018	# SCHNEIDER, SHIRLEY	OCLARO INC NEW	8,955.00	260.92	95.0	0.00	247.87	18.00	229.87
03/12/2018	# SHORT, MARY	OCLARO INC NEW	19,900.00	494.24	95.0	0.00	469.53	18.00	451.53
03/12/2018	# YOKES, DIANE	OCLARO INC NEW	7,960.00	236.77	95.0	0.00	224.93	18.00	206.93
03/12/2018	# MILLER, JOYCE	OCLARO INC NEW	5,970.00	188.47	95.0	0.00	179.05	18.00	161.05
03/12/2018	# BARTSCH, MICHAEL	OCLARO INC NEW	19,900.00	494.24	95.0	0.00	469.53	18.00	451.53
03/13/2018	# YOKES, DIANE	FUEL TECH INC	360.00	10.00	95.0	0.00	9.50	18.00	-8.50
03/13/2018	# ZELL, ANTIONETTE	FUEL TECH INC	360.00	5.00	95.0	0.00	4.75	18.00	-13.25
03/16/2018	# PRICE, THOMAS	ALBEMARLE CORPORATION	40,000.00	600.00	95.0	0.00	570.00	18.00	552.00
03/16/2018	# OLSON, MARTHA	SEAGATE TECHNOLOGY PLC	16,500.00	210.00	95.0	0.00	199.50	18.00	181.50
03/21/2018	# STIEHL, EDITH	MGIC INVESTMENT CORP-WISC	24,900.00	544.87	95.0	0.00	517.63	18.00	499.63
03/22/2018	# BLE, BALDWIN GROSSE	***BAIDU INC	143,472.00	630.00	95.0	0.00	598.50	18.00	580.50
03/22/2018	# PRICE, THOMAS	AMAZON.COM INC	154,510.00	105.00	95.0	0.00	99.75	18.00	81.75
03/22/2018	# FEIDER, PETER	AMAZON.COM INC	15,451.00	25.00	95.0	0.00	23.75	18.00	5.75
03/22/2018	# BARTSCH, MICHAEL	AMAZON.COM INC	15,451.00	25.00	95.0	0.00	23.75	18.00	5.75

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/23/2018 #	BLE, BALDWIN GROSSE	***BAIDU INC	70,500.00	900.00	95.0	0.00	855.00	18.00	837.00
03/23/2018 #	WALTER, EARL	AMAZON.COM INC	76,855.00	50.00	95.0	0.00	47.50	18.00	29.50
03/23/2018 #	GUEX, GREGORY	AMAZON.COM INC	15,371.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	SHORT, EDDIE	AMAZON.COM INC	30,742.00	35.00	95.0	0.00	33.25	18.00	15.25
03/23/2018 #	STIEHL, EDITH	AMAZON.COM INC	76,855.00	50.00	95.0	0.00	47.50	18.00	29.50
03/23/2018 #	YOKES, DIANE	AMAZON.COM INC	15,371.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	DAVIS, KAREN	AMAZON.COM INC	15,371.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	MILLER, JOYCE	AMAZON.COM INC	15,250.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	WIED, DENNIS	AMAZON.COM INC	15,371.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	WIED, MICHAEL	AMAZON.COM INC	15,371.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	OLSON, MARTHA	AMAZON.COM INC	15,355.00	25.00	95.0	0.00	23.75	18.00	5.75
03/23/2018 #	OLSON, MARTHA	AMAZON.COM INC	15,250.00	105.00	95.0	0.00	99.75	18.00	81.75
* STOCKS SUBTOTAL *			\$1,143,281.00	\$10,486.22		\$0.00	\$9,961.91	\$594.00	\$9,367.91
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
03/01/2018 #	BLE, BALDWIN GROSSE	CALL MTG 03/16/18 13	800.00	50.00	95.0	0.00	47.50	68.00	-20.50
03/01/2018 #	OLSON, MARTHA	CALL STX 03/16/18 55	390.00	50.00	95.0	0.00	47.50	21.75	25.75
03/02/2018 #	YOKES, DIANE	PUT LVS 03/16/18 70	600.00	50.00	95.0	0.00	47.50	21.75	25.75
03/14/2018 #	PRICE, THOMAS	PUT MTG 04/20/18 13	60,000.00	3,000.00	95.0	0.00	2,850.00	1,268.00	1,582.00
03/14/2018 #	FEIDER, PETER	PUT MTG 04/20/18 13	504.00	50.00	95.0	0.00	47.50	26.75	20.75
03/14/2018 #	SHORT, MARY	PUT MTG 04/20/18 13	1,050.00	52.50	95.0	0.00	49.88	36.75	13.13
03/14/2018 #	BARTSCH, MICHAEL	PUT MTG 04/20/18 13	1,400.00	70.00	95.0	0.00	66.50	43.00	23.50
03/15/2018 #	PRICE, THOMAS	CALL ICE 03/16/18 75	120.00	50.00	95.0	0.00	47.50	33.00	14.50
03/22/2018 #	BLE, BALDWIN GROSSE	PUT BIDU 03/23/18 235	930.00	50.00	95.0	0.00	47.50	21.75	25.75
* OPTIONS SUBTOTAL *			\$65,794.00	\$3,422.50		\$0.00	\$3,251.38	\$1,540.75	\$1,710.63
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/09/0018 #	DA712667 WALDERA, HELEN	ALLIANZ VA	0.00	76.88	95.0	0.00	73.04	0.00	73.04
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$76.88		\$0.00	\$73.04	\$0.00	\$73.04
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/01/2018 #	00074366739 GUEX, GREGORY	AMERICAN BALANCED FUND INC CLASS A	0.00	7.08	95.0	0.00	6.73	0.00	6.73
03/01/2018 #	00074366799 GUEX, ANNETTE	AMERICAN BALANCED FUND INC CLASS A	0.00	5.48	95.0	0.00	5.21	0.00	5.21
03/01/2018 #	00074366739 GUEX, GREGORY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.46	95.0	0.00	3.29	0.00	3.29
03/01/2018 #	00074366799 GUEX, ANNETTE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.60	95.0	0.00	1.52	0.00	1.52
03/01/2018 #	00073724677 BARTSCH, GINGER	EURO PAC GROWTH FUND CL F1	0.00	5.24	95.0	0.00	4.98	0.00	4.98
03/01/2018 #	00073724677 BARTSCH, GINGER	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.46	95.0	0.00	1.39	0.00	1.39

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<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
03/01/2018	#00074366739	GUEX, GREGORY	INVESTMENT CO AMER CLASS A	0.00	1.72	95.0	0.00	1.63	1.63
03/01/2018	#00074366799	GUEX, ANNETTE	INVESTMENT CO AMER CLASS A	0.00	1.75	95.0	0.00	1.66	1.66
03/01/2018	#00073724677	BARTSCH, GINGER	NEW WORLD FUND INC NEW	0.00	0.37	95.0	0.00	0.35	0.35
03/09/2018	#	WALTER, EARL	DAIN TRAILER	0.00	11.66	95.0	0.00	11.08	11.08
03/09/2018	#	WIED, DENNIS	DAIN TRAILER	0.00	1.86	95.0	0.00	1.77	1.77
03/09/2018	#	WIED, DENNIS	DAIN TRAILER	0.00	4.76	95.0	0.00	4.52	4.52
03/09/2018	#	WIED, MICHAEL	DAIN TRAILER	0.00	1.86	95.0	0.00	1.77	1.77
03/09/2018	#	WIED, MICHAEL	DAIN TRAILER	0.00	4.76	95.0	0.00	4.52	4.52
03/09/2018	#	GUEX, GREGORY	DAIN TRAILER	0.00	5.16	95.0	0.00	4.90	4.90
03/09/2018	#	GUEX, GREGORY	DAIN TRAILER	0.00	10.97	95.0	0.00	10.42	10.42
03/09/2018	#	DAVIS, KAREN	DAIN TRAILER	0.00	3.51	95.0	0.00	3.33	3.33
03/09/2018	#	STIEHL, EDITH	DAIN TRAILER	0.00	9.32	95.0	0.00	8.85	8.85
03/09/2018	#	WIED, DEBRA	DAIN TRAILER	0.00	6.09	95.0	0.00	5.79	5.79
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$88.11</b>	<b>\$0.00</b>	<b>\$83.71</b>	<b>\$0.00</b>	<b>\$83.71</b>	
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,222,195.00</b>	<b>\$14,594.91</b>	<b>\$0.00</b>	<b>\$13,865.18</b>	<b>\$2,134.75</b>	<b>\$11,730.43</b>	

**ADJUSTMENTS**

04/20/2018	ADMIN FEE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	POSTAGE: POSTAGE FEDEX	-15.77
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-799.77</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$11,730.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-799.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$10,930.66</b>
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