

# STATEMENT May 20, 2018

RICHARD BARRONE

385 1/2 E North St SUITE 620 Bradley, IL 60915

## BROKER #: 140

BRANCH:

#### COMMISSION STATEMENT for RICHARD BARRONE

| DATE      | CLIENT                        | INVESTMENT                             | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|-----------|-------------------------------|--|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|           |                               | DDOWED MDAN                            | as amtoria           |                     |      |         |                   |                    |                      |
|           |                               | BROKER TRAN                            | SACTIONS             |                     |      |         |                   |                    |                      |
| PRODUCT   | CATEGORY: MUTUAL FUNDS        |  |                      |                     |      |         |                   |                    |                      |
| 04/02/201 | 18 #00088726885 ROOD, NATHANI | EL AMERICAN FDS PORTFOLIO SER GROWTH F | 24.92                | 1.06                | 93.0 | 0.00    | 0.99              | 0.00               | 0.99                 |
| 04/02/201 | 18 #00088726885 ROOD, NATHANI | EL AMERICAN FDS PORTFOLIO SER GROWTH F | 67.50                | 2.87                | 93.0 | 0.00    | 2.67              | 0.00               | 2.67                 |
| 04/02/201 | 18 #04000807159 SCHAEFER, KAR | L AMERICAN FUNDS 02631L106             | 38.02                | 1.62                | 93.0 | 0.00    | 1.51              | 0.00               | 1.51                 |
| 04/02/201 | 18 #04000807159 SCHAEFER, KAR | L AMERICAN FUNDS 02631L106             | 38.02                | 1.62                | 93.0 | 0.00    | 1.51              | 0.00               | 1.51                 |
| 04/02/201 | 18 #00088726885 ROOD, NATHANI | EL GLOBAL GROWTH PORTFOLIO-A           | 24.92                | 1.06                | 93.0 | 0.00    | 0.99              | 0.00               | 0.99                 |
| 04/02/201 | 18 #00088726885 ROOD, NATHANI | EL GLOBAL GROWTH PORTFOLIO-A           | 67.50                | 2.87                | 93.0 | 0.00    | 2.67              | 0.00               | 2.67                 |
| 04/02/201 | 18 #00088726885 ROOD, NATHANI | EL GROWTH AND INCOME PORTFOLIO-A       | 33.23                | 1.41                | 93.0 | 0.00    | 1.31              | 0.00               | 1.31                 |
| 04/02/201 | 18 #00088726885 ROOD, NATHANI | EL GROWTH AND INCOME PORTFOLIO-A       | 90.00                | 3.83                | 93.0 | 0.00    | 3.56              | 0.00               | 3.56                 |
| 04/04/201 | 18 #00086554976 KUIKEN, DAVID | AMCAP FUND INC CLASS A                 | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/04/201 | 18 #00086554976 KUIKEN, DAVID | AMCAP FUND INC CLASS A                 | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/04/201 | 18 #00086554976 KUIKEN, DAVID | AMERICAN FUNDS 2020 TARGET DATE-A      | 234.00               | 6.44                | 93.0 | 0.00    | 5.99              | 0.00               | 5.99                 |
| 04/04/201 | 18 #00086554976 KUIKEN, DAVID | AMERICAN FUNDS 2020 TARGET DATE-A      | 234.00               | 6.44                | 93.0 | 0.00    | 5.99              | 0.00               | 5.99                 |
| 04/04/201 | 18 #00086554949 CRISP, KRISTE | N AMERICAN FUNDS 2020 TARGET DATE-A    | 246.00               | 6.77                | 93.0 | 0.00    | 6.30              | 0.00               | 6.30                 |
| 04/04/201 | 18 #00086554949 CRISP, KRISTE | N AMERICAN FUNDS 2020 TARGET DATE-A    | 246.00               | 6.77                | 93.0 | 0.00    | 6.30              | 0.00               | 6.30                 |
| 04/04/201 | 18 #00089478928 SURRATTRON    | AMERICAN FUNDS 2025 TARGET DATE-A      | 620.00               | 17.05               | 93.0 | 0.00    | 15.86             | 0.00               | 15.86                |
| 04/04/201 | 18 #00089478928 SURRATTRON    | AMERICAN FUNDS 2025 TARGET DATE-A      | 186.00               | 5.12                | 93.0 | 0.00    | 4.76              | 0.00               | 4.76                 |
| 04/04/201 | 18 #00089299775 MOFFITTDAV    | AMERICAN FUNDS 2030 TARGET DATE-A      | 151.41               | 4.16                | 93.0 | 0.00    | 3.87              | 0.00               | 3.87                 |
| 04/04/201 | 18 #00089299775 MOFFITTDAV    | AMERICAN FUNDS 2030 TARGET DATE-A      | 151.41               | 4.16                | 93.0 | 0.00    | 3.87              | 0.00               | 3.87                 |
| 04/04/201 | 18 #04000208238 ROMACLAWRE    | AMERICAN FUNDS 2030 TARGET DATE-A      | 141.62               | 3.89                | 93.0 | 0.00    | 3.62              | 0.00               | 3.62                 |
| 04/04/201 | 18 #04000208238 ROMACLAWRE    | AMERICAN FUNDS 2030 TARGET DATE-A      | 141.62               | 3.89                | 93.0 | 0.00    | 3.62              | 0.00               | 3.62                 |
| 04/04/201 | 18 #00087499628 WILLIAMS, RO  | AMERICAN FUNDS 2035 TARGET DATE-A      | 396.00               | 10.89               | 93.0 | 0.00    | 10.13             | 0.00               | 10.13                |
| 04/04/201 | 18 #00087499628 WILLIAMS, RO  | AMERICAN FUNDS 2035 TARGET DATE-A      | 198.00               | 5.45                | 93.0 | 0.00    | 5.07              | 0.00               | 5.07                 |
| 04/04/201 | 18 #00089608708 SHELTONTRA    | AMERICAN FUNDS 2040 TARGET DATE-A      | 138.00               | 3.80                | 93.0 | 0.00    | 3.53              | 0.00               | 3.53                 |
| 04/04/201 | 18 #00089608708 SHELTONTRA    | AMERICAN FUNDS 2040 TARGET DATE-A      | 138.00               | 3.80                | 93.0 | 0.00    | 3.53              | 0.00               | 3.53                 |
| 04/04/201 | 18 #00088516700 CAMISTIMOT    | AMERICAN FUNDS TARGET DATE RETIREME    | 99.00                | 2.72                | 93.0 | 0.00    | 2.53              | 0.00               | 2.53                 |
| 04/04/201 | 18 #00088516700 CAMISTIMOT    | AMERICAN FUNDS TARGET DATE RETIREME    | 99.00                | 2.72                | 93.0 | 0.00    | 2.53              | 0.00               | 2.53                 |
| 04/04/201 | 18 #00086554976 KUIKEN, DAVID | GROWTH FUND AMERICA INC CLASS A        | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/04/201 | 18 #00086554976 KUIKEN, DAVID | GROWTH FUND AMERICA INC CLASS A        | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |

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| DATE      | CLIENT INV                     | VESTMENT                            | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|-----------|--------------------------------|-------------------------------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|           |                                | BROKER TRANS                        | SACTIONS             |                     |      |         |                   |                    |                      |
| PRODUCT   | CATEGORY: MUTUAL FUNDS         |                                     |                      |                     |      |         |                   |                    |                      |
| 04/04/201 | 8 #00086554976 KUIKEN, DAVID   | INCOME FUND OF AMERICA INC CLASS A  | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/04/201 | 8 #00086554976 KUIKEN, DAVID   | INCOME FUND OF AMERICA INC CLASS A  | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/04/201 | 8 #00086554976 KUIKEN, DAVID   | WASHINGTON MUTUAL INVS FD CLASS A   | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/04/201 | 8 #00086554976 KUIKEN, DAVID   | WASHINGTON MUTUAL INVS FD CLASS A   | 39.00                | 1.07                | 93.0 | 0.00    | 1.00              | 0.00               | 1.00                 |
| 04/10/201 | 8 #00087266963 MEEKERJENN      | AMERICAN FUNDS 02630R583            | 50.00                | 0.50                | 93.0 | 0.00    | 0.47              | 0.00               | 0.47                 |
| 04/10/201 | 8 #00084174737 PIERCE,         | AMERICAN FUNDS 2020 TARGET DATE-A   | 120.00               | 3.30                | 93.0 | 0.00    | 3.07              | 0.00               | 3.07                 |
| 04/10/201 | 8 #00087266963 MEEKERJENN      | GROWTH AND INCOME PORFOLIO          | 50.00                | 0.50                | 93.0 | 0.00    | 0.47              | 0.00               | 0.47                 |
| 04/10/201 | 8 #00087266963 MEEKERJENN      | GROWTH PORTFOLIO C                  | 50.00                | 0.50                | 93.0 | 0.00    | 0.47              | 0.00               | 0.47                 |
| 04/16/201 | 8 #00088726885 ROOD, NATHANIEL | AMERICAN FDS PORTFOLIO SER GROWTH F | 67.50                | 2.87                | 93.0 | 0.00    | 2.67              | 0.00               | 2.67                 |
| 04/16/201 | 8 #00088726885 ROOD, NATHANIEL | AMERICAN FDS PORTFOLIO SER GROWTH F | 24.92                | 1.06                | 93.0 | 0.00    | 0.99              | 0.00               | 0.99                 |
| 04/16/201 | 8 #04000807159 SCHAEFER, KARL  | AMERICAN FUNDS 02631L106            | 47.48                | 2.02                | 93.0 | 0.00    | 1.88              | 0.00               | 1.88                 |
| 04/16/201 | 8 #04000807159 SCHAEFER, KARL  | AMERICAN FUNDS 02631L106            | 47.48                | 2.02                | 93.0 | 0.00    | 1.88              | 0.00               | 1.88                 |
| 04/16/201 | 8 #00084440533 KUHLMANN,       | AMERICAN FUNDS 2045 TARGET DATE-A   | 195.00               | 5.36                | 93.0 | 0.00    | 4.98              | 0.00               | 4.98                 |
| 04/16/201 | 8 #00084440533 KUHLMANN,       | AMERICAN FUNDS 2045 TARGET DATE-A   | 578.34               | 15.90               | 93.0 | 0.00    | 14.79             | 0.00               | 14.79                |
| 04/16/201 | 8 #00089210188 GAMBLEMELI      | AMERICAN FUNDS 2045 TARGET DATE-A   | 400.00               | 20.00               | 93.0 | 0.00    | 18.60             | 0.00               | 18.60                |
| 04/16/201 | 8 #00088726885 ROOD, NATHANIEL | GLOBAL GROWTH PORTFOLIO-A           | 67.50                | 2.87                | 93.0 | 0.00    | 2.67              | 0.00               | 2.67                 |
| 04/16/201 | 8 #00088726885 ROOD, NATHANIEL | GLOBAL GROWTH PORTFOLIO-A           | 24.92                | 1.06                | 93.0 | 0.00    | 0.99              | 0.00               | 0.99                 |
| 04/16/201 | 8 #00088726885 ROOD, NATHANIEL | GROWTH AND INCOME PORTFOLIO-A       | 90.00                | 3.83                | 93.0 | 0.00    | 3.56              | 0.00               | 3.56                 |
| 04/16/201 | 8 #00088726885 ROOD, NATHANIEL | GROWTH AND INCOME PORTFOLIO-A       | 33.23                | 1.41                | 93.0 | 0.00    | 1.31              | 0.00               | 1.31                 |
| 04/20/201 | 8 #00082145480 GREENLEE,       | AMERICAN HIGH INCOME TR SH BEN INT  | 80.00                | 2.20                | 93.0 | 0.00    | 2.05              | 0.00               | 2.05                 |
| 04/20/201 | 8 #00082145480 GREENLEE,       | AMERICAN MUTUAL FUND INC CLASS A    | 80.00                | 2.20                | 93.0 | 0.00    | 2.05              | 0.00               | 2.05                 |
| 04/20/201 | 8 #00082145480 GREENLEE,       | GLOBAL BALANCED FUND-A              | 80.00                | 2.20                | 93.0 | 0.00    | 2.05              | 0.00               | 2.05                 |
| 04/20/201 | 8 #00082145480 GREENLEE,       | GROWTH FUND AMERICA INC CLASS A     | 80.00                | 2.20                | 93.0 | 0.00    | 2.05              | 0.00               | 2.05                 |
| 04/20/201 | 8 #00082145480 GREENLEE,       | INCOME FUND OF AMERICA INC CLASS A  | 80.00                | 2.20                | 93.0 | 0.00    | 2.05              | 0.00               | 2.05                 |
| 04/20/201 | 8 #00082145480 GREENLEE,       | WASHINGTON MUTUAL INVS FD CLASS A   | 80.00                | 2.20                | 93.0 | 0.00    | 2.05              | 0.00               | 2.05                 |
|           |                                | * MUTUAL FUNDS SUBTOTAL *           | \$6,442.54           | \$195.37            | _    | \$0.00  | \$181.81          | \$0.00             | \$181.81             |
| PRODUCT   | CATEGORY: MUTUAL FUND 12B1     | TRAILS                              |                      |                     |      |         |                   |                    |                      |
| 04/13/201 | 8 #00002114676 VARIOUS BARRONE | ALTERNATIVE ASSET ALLOCATION FUND A | 0.00                 | 1.42                | 93.0 | 0.00    | 1.32              | 0.00               | 1.32                 |
| 04/13/201 | 8 #00002165352 VARIOUS BARRONE | ALTERNATIVE ASSET ALLOCATION FUND A | 0.00                 | 41.58               | 93.0 | 0.00    | 38.67             | 0.00               | 38.67                |
| 04/13/201 | 8 #00002169794 VARIOUS BARRONE | ALTERNATIVE ASSET ALLOCATION FUND A | 0.00                 | 4.14                | 93.0 | 0.00    | 3.85              | 0.00               | 3.85                 |
| 04/13/201 | 8 #00002170076 VARIOUS BARRONE | ALTERNATIVE ASSET ALLOCATION FUND A | 0.00                 | 1.59                | 93.0 | 0.00    | 1.48              | 0.00               | 1.48                 |
| 04/13/201 | 8 #00003376227 U, ELLEN        | EMERGING MARKETS A                  | 0.00                 | 4.70                | 93.0 | 0.00    | 4.37              | 0.00               | 4.37                 |
| 04/13/201 | 8 #00003377593 BARRONE,        | EMERGING MARKETS A                  | 0.00                 | 0.43                | 93.0 | 0.00    | 0.40              | 0.00               | 0.40                 |
| 04/13/201 | 8 #00002409058 VARIOUS BARRONE | EMERGING MARKETS EQUITY A           | 0.00                 | 3.55                | 93.0 | 0.00    | 3.30              | 0.00               | 3.30                 |
| 04/13/201 | 8 #00003377577 GREEN, ELMER    | FLOATING RATE INCOME B              | 0.00                 | 1.25                | 93.0 | 0.00    | 1.16              | 0.00               | 1.16                 |

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| DATE      | CLIENT        | INV               | VESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|-----------|---------------|-------------------|-----------------------------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|           |               |                   | BROKER TRA                        | NSACTIONS            |                     |      |         |                   |                    |                      |
| DRODUCT   | CATECODY. MI  | UTUAL FUND 12B1   |                                   |                      |                     |      |         |                   |                    |                      |
|           |               |                   | FUNDAMENTAL ALL CAP CORE A        | 0.00                 | 7.69                | 93.0 | 0.00    | 7.15              | 0.00               | 7.15                 |
|           |               |                   | FUNDAMENTAL ALL CAP CORE A        | 0.00                 | 1.54                | 93.0 | 0.00    | 1.43              | 0.00               | 1.43                 |
|           |               |                   | FUNDAMENTAL ALL CAP CORE A        | 0.00                 | 1.96                | 93.0 | 0.00    | 1.43              | 0.00               | 1.43                 |
|           |               |                   | FUNDAMENTAL GLOBAL FRANCHISE A    | 0.00                 | 0.08                | 93.0 | 0.00    | 0.07              | 0.00               | 0.07                 |
|           |               |                   | FUNDAMENTAL LARGE CAP CORE FUND B | 0.00                 | 2.64                | 93.0 | 0.00    | 2.46              | 0.00               | 2.46                 |
|           |               | 1 VARIOUS BARRONE |                                   | 0.00                 | 0.38                | 93.0 | 0.00    | 0.35              | 0.00               | 0.35                 |
|           |               | 5 JOHNSON, MARY   | GLOBAL INCOME A                   | 0.00                 | 2.46                | 93.0 | 0.00    | 2.29              | 0.00               | 2.29                 |
|           |               |                   | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 2.66                | 93.0 | 0.00    | 2.47              | 0.00               | 2.47                 |
|           |               |                   | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 2.17                | 93.0 | 0.00    | 2.02              | 0.00               | 2.02                 |
|           |               |                   | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 2.72                | 93.0 | 0.00    | 2.53              | 0.00               | 2.53                 |
|           |               |                   | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 3.01                | 93.0 | 0.00    | 2.80              | 0.00               | 2.80                 |
|           |               |                   | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 1.33                | 93.0 | 0.00    | 1.24              | 0.00               | 1.24                 |
| 04/13/201 | 8 #0000213390 | 0 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 5.14                | 93.0 | 0.00    | 4.78              | 0.00               | 4.78                 |
| 04/13/201 | 8 #0000216178 | 6 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 0.28                | 93.0 | 0.00    | 0.26              | 0.00               | 0.26                 |
| 04/13/201 | 8 #0000216535 | 5 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 33.99               | 93.0 | 0.00    | 31.61             | 0.00               | 31.61                |
| 04/13/201 | 8 #0000216892 | 7 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 0.32                | 93.0 | 0.00    | 0.30              | 0.00               | 0.30                 |
| 04/13/201 | 8 #0000216893 | 0 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 0.32                | 93.0 | 0.00    | 0.30              | 0.00               | 0.30                 |
| 04/13/201 | 8 #0000217492 | 7 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 0.67                | 93.0 | 0.00    | 0.62              | 0.00               | 0.62                 |
| 04/13/201 | 8 #0000217603 | 0 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 1.17                | 93.0 | 0.00    | 1.09              | 0.00               | 1.09                 |
| 04/13/201 | 8 #0000219406 | 5 VARIOUS BARRONE | GLOBAL SHAREHOLDER YIELD A        | 0.00                 | 0.25                | 93.0 | 0.00    | 0.23              | 0.00               | 0.23                 |
| 04/13/201 | 8 #0000213058 | 9 VARIOUS BARRONE | HANCOCK FUNDS 41014P748           | 0.00                 | 3.44                | 93.0 | 0.00    | 3.20              | 0.00               | 3.20                 |
| 04/13/201 | 8 #0000213157 | 3 VARIOUS BARRONE | HANCOCK FUNDS 41014P748           | 0.00                 | 2.09                | 93.0 | 0.00    | 1.94              | 0.00               | 1.94                 |
| 04/13/201 | 8 #0000216179 | 0 VARIOUS BARRONE | HANCOCK FUNDS 41014P748           | 0.00                 | 0.24                | 93.0 | 0.00    | 0.22              | 0.00               | 0.22                 |
| 04/13/201 | 8 #0000216979 | 3 VARIOUS BARRONE | HANCOCK FUNDS 41014P748           | 0.00                 | 3.80                | 93.0 | 0.00    | 3.53              | 0.00               | 3.53                 |
| 04/13/201 | 8 #0000217007 | 7 VARIOUS BARRONE | HANCOCK FUNDS 41014P748           | 0.00                 | 1.50                | 93.0 | 0.00    | 1.40              | 0.00               | 1.40                 |
| 04/13/201 | 8 #0000218278 | 6 VARIOUS BARRONE | HANCOCK FUNDS 47803P443           | 0.00                 | 23.96               | 93.0 | 0.00    | 22.28             | 0.00               | 22.28                |
| 04/13/201 | 8 #0000218601 | 7 VARIOUS BARRONE | HANCOCK FUNDS 47803P443           | 0.00                 | 2.36                | 93.0 | 0.00    | 2.19              | 0.00               | 2.19                 |
| 04/13/201 | 8 #0000218680 | 0 VARIOUS BARRONE | HANCOCK FUNDS 47803P443           | 0.00                 | 1.78                | 93.0 | 0.00    | 1.66              | 0.00               | 1.66                 |
| 04/13/201 | 8 #0000219284 | 5 VARIOUS BARRONE | HANCOCK FUNDS 47803P443           | 0.00                 | 0.33                | 93.0 | 0.00    | 0.31              | 0.00               | 0.31                 |
| 04/13/201 | 8 #0000219285 | 4 VARIOUS BARRONE | HANCOCK FUNDS 47803P443           | 0.00                 | 0.33                | 93.0 | 0.00    | 0.31              | 0.00               | 0.31                 |
| 04/13/201 | 8 #0000219406 | 3 VARIOUS BARRONE | HANCOCK FUNDS 47803P443           | 0.00                 | 0.25                | 93.0 | 0.00    | 0.23              | 0.00               | 0.23                 |
| 04/13/201 | 8 #0000218881 | 7 TO (STA) TOD    | INCOME ALLOCATION A               | 0.00                 | 0.13                | 93.0 | 0.00    | 0.12              | 0.00               | 0.12                 |
| 04/13/201 | 8 #0000240270 | 5 VAN OORT VAR    | INCOME ALLOCATION A               | 0.00                 | 2.25                | 93.0 | 0.00    | 2.09              | 0.00               | 2.09                 |
| 04/13/201 | 8 #0000219284 | 2 VARIOUS BARRONE | INTERNATIONAL GROWTH A            | 0.00                 | 0.41                | 93.0 | 0.00    | 0.38              | 0.00               | 0.38                 |
| 04/13/201 | 8 #0000219285 | 1 VARIOUS BARRONE | INTERNATIONAL GROWTH A            | 0.00                 | 0.41                | 93.0 | 0.00    | 0.38              | 0.00               | 0.38                 |
| 04/13/201 | 8 #0000337759 | 4 BARRONE,        | INTERNATIONAL GROWTH A            | 0.00                 | 0.33                | 93.0 | 0.00    | 0.31              | 0.00               | 0.31                 |

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| PRODUCT CATEGORY: MUTUAL FUND 1281 TRAINS   PRODUCT CATEGORY: MUTUAL FUND 1281 TRAINS   PRODUCT CATEGORY: MUTUAL FUND 1281 TRAINS   04/13/2018 (00003400634) VIASIONS BARBORES JI MIRCOCK FUNDS 478335975  | DATE      | CLIENT       | IN                 | /ESTMENT         |                      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE | POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|--|-----------|--------------|--------------------|------------------|----------------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
| PARTICIPATION   PARTICIPATE    |           |              |                    |                  |                      |                      |                     |      |         |                   |                    |                      |
| 0.131/2018   NONOCITION   NATIONS BARRONE   TIMALONCE FORDS (7803P57S)   0.00   0.24   0.30   0.00   0.22   0.00   0.22   0.00   0.412/2018   0.000210408535 VARIOUS BARRONE   LEARNEE FUND CLASS A   0.00   0.42   0.00   0.50   0.00   0.50   0.00   0.35   0.00   0.35   0.00   0.412/2018   0.000210408535 VARIOUS BARRONE   LEARNEE CAP EQUITY FD CL A   0.00   0.42   0.00   0.42   0.00   0.39      |           |              |                    |                  | BROKER TRAN          | SACTIONS             |                     |      |         |                   |                    |                      |
| 0.01      | PRODUCT   | CATEGORY: 1  | MUTUAL FUND 12B1   | TRAILS           |                      |                      |                     |      |         |                   |                    |                      |
| 0.413/2018   F00002189382 VARIOUS BARROUNE SHI BALLMICED FUND CLASE A   0.00   0.42   93.0   0.00   0.03   0.00   0.39   0.00   0.39   0.00   0.39   0.00   0.39   0.00   0.39   0.00   0.39   0.00   0.39   0.01    | 04/13/201 | 8 #000024096 | 34 VARIOUS BARRONE | J HANCOCK FUNDS  | 47803P575            | 0.00                 | 0.24                | 93.0 | 0.00    | 0.22              | 0.00               | 0.22                 |
| 04/13/2018 #00002192881 VARIOUS BARRONE JNL LARGE CAR EQUITY FD CL A 0.00 0.42 93.0 0.00 0.39 0.00 0.39 0.00 0.439 0.00 0.439 0.00 0.439 0.00 0.439 0.00 0.439 0.00 0.439 0.00 0.439 0.00 0.439  | 04/13/201 | 8 #000021868 | 02 VARIOUS BARRONE | JH BALANCED FUN  | CLASS A              | 0.00                 | 1.83                | 93.0 | 0.00    | 1.70              | 0.00               | 1.70                 |
| 04/13/2018 #00002192855 VARIOUS RARRONE JUEN HARROCK BOND FUND-CL A 0.00 1.06 93.0 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 12.70 0.00 0.00 0.00 0.00 0.00 0.00 0.00  | 04/13/201 | 8 #000024083 | 52 VARIOUS BARRONE | JH BALANCED FUN  | CLASS A              | 0.00                 | 5.43                | 93.0 | 0.00    | 5.05              | 0.00               | 5.05                 |
| 04/13/2018 #00002182787 VARIOUS BARRONE JOHN HANCOCK BOND PURD-CL A 0.00 13.66 93.0 0.00 12.70 0.00 1.98 0.00 1.98 0.00 1.98 0.00 1.98 0.00 1.98 0.00 1.98 0.00 1.98 0.00 1.98 0.00 1.98 0.00 0.33 04/13/2018 #00002192855 VARIOUS BARRONE JOHN HANCOCK BOND PURD-CL A 0.00 0.35 93.0 0.00 0.33 0.00 0.33 0.00 0.33 04/13/2018 #00002192855 VARIOUS BARRONE JOHN HANCOCK BOND PURD-CL B 0.00 0.35 93.0 0.00 0.00 0.33 0.00 0.33 0.00 0.33 04/13/2018 #0000219574 VARIOUS BARRONE JOHN HANCOCK BOND PURD-CL B 0.00 0.08 93.0 0.00 0.00 0.34 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.34 0.00 0.35 0.0 | 04/13/201 | 8 #000021928 | 43 VARIOUS BARRONE | JH LARGE CAP EQ  | JITY FD CL A         | 0.00                 | 0.42                | 93.0 | 0.00    | 0.39              | 0.00               | 0.39                 |
| 04/13/2018 #00002192846 VARIOUS BARRONE JORN HANCOCK BOND FUND-CLA 0.00 0.35 93.0 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.03 0.03 0.33 0.03 | 04/13/201 | 8 #000021928 | 52 VARIOUS BARRONE | JH LARGE CAP EQ  | JITY FD CL A         | 0.00                 | 0.42                | 93.0 | 0.00    | 0.39              | 0.00               | 0.39                 |
| 04/13/2018 #00002192846 VARIOUS BARRONE JOHN BANCOCK BOND FUND-CL A 0.00 0.35 93.0 0.00 0.33 0.00 0.33 04/13/2018 #00002195855 VARIOUS BARRONE JOHN BANCOCK BOND FUND-CL B 0.00 0.55 93.0 0.00 0.33 0.00 0.33 0.00 0.33 04/13/2018 #00002195852 VARIOUS BARRONE JOHN BANCOCK BOND FUND-CL B 0.00 0.55 93.0 0.00 0.00 0.36 0.00 0.37 0.00 0.39 04/13/2018 #0000219582 VARIOUS BARRONE JOHN BANCOCK DISCIPLINED VALUE MID 0.00 0.56 93.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00  | 04/13/201 | 8 #000021827 | 87 VARIOUS BARRONE | JOHN HANCOCK BOI | ND FUND-CL A         | 0.00                 | 13.66               | 93.0 | 0.00    | 12.70             | 0.00               | 12.70                |
| 04/13/2018 #00002192855 VARIOUS BARRONE JOHN HANCOCK BOND FUND—CL B 0.00 0.05 93.0 0.00 0.03 0.00 0.79 0.00 0.79 0.00 0.79 0.01 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.79 0.00 0.00   | 04/13/201 | 8 #000021860 | 15 VARIOUS BARRONE | JOHN HANCOCK BOI | ND FUND-CL A         | 0.00                 | 2.13                | 93.0 | 0.00    | 1.98              | 0.00               | 1.98                 |
| 04/13/2018 #000021195542 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002112672 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002113673 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002136780 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002116788 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002116789 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002116789 VARIOUS BARRONE JOHN HANCCK DISCIPLINED VALUE MID 04/13/2018 #00002116789 VARIOUS BARRONE JOHN HANCCK PDSCIPLINED VALUE MID 04/13/2018 #00002116780 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002118970 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002116797 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002116797 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002116979 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #00002116979 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #000021169707 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #000021169707 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #000021169707 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #000021169707 VARIOUS BARRONE JOHN HANCCK PSS II FLOATING RATE I 05/04/13/2018 #000021169707 VARIOUS BARRONE JOHN HANCCK PSS II GBL ARSOLUTE RE 05/04/13/2018 #000021169707 VARIOUS BARRONE JOH | 04/13/201 | 8 #000021928 | 46 VARIOUS BARRONE | JOHN HANCOCK BO  | ND FUND-CL A         | 0.00                 | 0.35                | 93.0 | 0.00    | 0.33              | 0.00               | 0.33                 |
| 04/13/2018 #00002114672 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 04/13/2018 #00002133559 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 05/04/13/2018 #00002133593 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 05/04/13/2018 #00002134674 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 05/04/13/2018 #00002134674 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 05/04/13/2018 #00002134674 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 05/04/13/2018 #00002134674 VARIOUS BARRONE JOHN HANCOCK PIS II FLOATING RATE I 05/04/13/2018 #00002134674 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000213550 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #000021368760 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002158760 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002158956 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002158956 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002158956 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002158956 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002158956 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #00002169792 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 #0000217905 VARIOUS BARRONE JOHN HANCOCK FIS II FLOATING RATE I 05/04/13/2018 | 04/13/201 | 8 #000021928 | 55 VARIOUS BARRONE | JOHN HANCOCK BO  | ND FUND-CL A         | 0.00                 | 0.35                | 93.0 | 0.00    | 0.33              | 0.00               | 0.33                 |
| 04/13/2018 #00002123259 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 0.00 4.15 93.0 0.00 3.86 0.00 3.86 04/13/2018 #00002116788 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 0.00 4.15 93.0 0.00 3.86 0.00 3.86 04/13/2018 #00002114674 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 0.00 3.98 93.0 0.00 3.00 3.00 3.00 3.00 0.00 0.00   | 04/13/201 | 8 #000021955 | 42 VARIOUS BARRONE | JOHN HANCOCK BO  | ND FUND-CL B         | 0.00                 | 0.85                | 93.0 | 0.00    | 0.79              | 0.00               | 0.79                 |
| 04/13/2018 #00002150793 VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID 0.00 4.15 93.0 0.00 3.86 0.00 3.86 0.01 0.03 0.03   | 04/13/201 | 8 #000021146 | 72 VARIOUS BARRONE | JOHN HANCOCK DI  | SCIPLINED VALUE MID  | 0.00                 | 3.68                | 93.0 | 0.00    | 3.42              | 0.00               | 3.42                 |
| 04/13/2018 H0000215178B VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 3.38 93.0 0.00 3.37 0.00 3.70 0.01 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 3.70 0.00 0.0  | 04/13/201 | 8 #000021232 | 59 VARIOUS BARRONE | JOHN HANCOCK DI  | SCIPLINED VALUE MID  | 0.00                 | 2.21                | 93.0 | 0.00    | 2.06              | 0.00               | 2.06                 |
| 04/13/2018 #000021214674 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 2.78 93.0 0.00 2.59 0.00 2.55 0.00 | 04/13/201 | 8 #000021305 | 93 VARIOUS BARRONE | JOHN HANCOCK DI  | SCIPLINED VALUE MID  | 0.00                 | 4.15                | 93.0 | 0.00    | 3.86              | 0.00               | 3.86                 |
| 04/13/2018 #0000213256 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 2.58 93.0 0.00 2.59 0.00 2.35 04/13/2018 #00002136976 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 1.75 93.0 0.00 1.63 0.00 1.63 0.00 1.63 04/13/2018 #000021589760 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 1.75 93.0 0.00 1.63 0.00 1.63 0.00 1.63 04/13/2018 #00002158976 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 3.24 93.0 0.00 3.01 0.00 3.01 0.00 0.24 0.00 0.01 0.00 0.00 0.00 0.00 0.00 0.0   | 04/13/201 | 8 #000021617 | 88 VARIOUS BARRONE | JOHN HANCOCK DI  | SCIPLINED VALUE MID  | 0.00                 | 0.36                | 93.0 | 0.00    | 0.33              | 0.00               | 0.33                 |
| 04/13/2018 #00002130591 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 1.75 93.0 0.00 2.35 0.00 1.63 0.00 1.63 0.01 0.01 0.01 0.01 0.00 0.00 0.00 0.0  | 04/13/201 | 8 #000021146 | 74 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 3.98                | 93.0 | 0.00    | 3.70              | 0.00               | 3.70                 |
| 04/13/2018 #00002158760 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 1.75 93.0 0.00 1.63 0.00 3.01 0.01 0.01 0.01 0.01 0.01 0.0  | 04/13/201 | 8 #000021232 | 56 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 2.78                | 93.0 | 0.00    | 2.59              | 0.00               | 2.59                 |
| 04/13/2018 #00002158956 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 3.24 93.0 0.00 3.01 0.00 0.24 0.00 0.28 0.00 0.00 0.24 0.00 0.24 0.00 0.24 0.00 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.28 0.00 0.00 0.24 0.28 0.00 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.28 0.00 0.00 0.24 0.00  | 04/13/201 | 8 #000021305 | 91 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 2.53                | 93.0 | 0.00    | 2.35              | 0.00               | 2.35                 |
| 04/13/2018 #0000216787 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 43.31 93.0 0.00 0.24 0.00 40.28 0.00 40.13/2018 #00002165354 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 81.0 93.0 0.00 40.28 0.00 40.28 0.00 40.13/2018 #00002169792 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 81.0 93.0 0.00 7.53 0.00 7.53 0.00 7.53 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0   | 04/13/201 | 8 #000021587 | 60 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 1.75                | 93.0 | 0.00    | 1.63              | 0.00               | 1.63                 |
| 04/13/2018 #00002165354 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 43.31 93.0 0.00 40.28 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 7.53 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0  | 04/13/201 | 8 #000021589 | 56 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 3.24                | 93.0 | 0.00    | 3.01              | 0.00               | 3.01                 |
| 04/13/2018 #00002169792 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 8.10 93.0 0.00 7.53 0.00 7.53 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 3.04 0.00 0.00   | 04/13/201 | 8 #000021617 | 87 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 0.26                | 93.0 | 0.00    | 0.24              | 0.00               | 0.24                 |
| 04/13/2018 #00002170075 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 3.27 93.0 0.00 3.04 0.00 3.04 0.00 04/13/2018 #00002174926 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 0.67 93.0 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.62 0.00 0.65 0.00 0.00   | 04/13/201 | 8 #000021653 | 54 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 43.31               | 93.0 | 0.00    | 40.28             | 0.00               | 40.28                |
| 04/13/2018 #00002174926 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 0.67 93.0 0.00 0.62 0.00 0.65 0.00 0.00   | 04/13/201 | 8 #000021697 | 92 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 8.10                | 93.0 | 0.00    | 7.53              | 0.00               | 7.53                 |
| 04/13/2018 #00002176032 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 0.59 93.0 0.00 0.55 0.00 0.55 0.00 0.44 0.41 0.41 0.41 0.41 0.41 0.41   | 04/13/201 | 8 #000021700 | 75 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 3.27                | 93.0 | 0.00    | 3.04              | 0.00               | 3.04                 |
| 04/13/2018 #00002192248 VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I 0.00 0.47 93.0 0.00 0.44 0.00 0.44 0.40 0.41 0.41 0.   | 04/13/201 | 8 #000021749 | 26 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 0.67                | 93.0 | 0.00    | 0.62              | 0.00               | 0.62                 |
| 04/13/2018 #00002192844 VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE 0.00 19.43 93.0 0.00 18.07 0.00 18.07 04/13/2018 #00002192844 VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE 0.00 0.28 93.0 0.00 0.26 0.00 0.26 04/13/2018 #00002192853 VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE 0.00 0.28 93.0 0.00 0.26 0.00 0.26 04/13/2018 #00002114679 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 1.47 93.0 0.00 1.37 0.00 1.37 04/13/2018 #00002114767 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 2.03 93.0 0.00 1.89 0.00 1.89 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 14.16 93.0 0.00 13.17 0.00 13.17 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 04/13/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.31 0.00 0.31   | 04/13/201 | 8 #000021760 | 32 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 0.59                | 93.0 | 0.00    | 0.55              | 0.00               | 0.55                 |
| 04/13/2018 #00002192844 VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE 0.00 0.28 93.0 0.00 0.26 0.00 0.26 04/13/2018 #00002192853 VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE 0.00 0.28 93.0 0.00 0.26 0.00 0.26 04/13/2018 #00002114679 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 1.47 93.0 0.00 1.37 0.00 1.37 04/13/2018 #00002114767 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 2.03 93.0 0.00 1.89 0.00 1.89 04/13/2018 #00002182789 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 14.16 93.0 0.00 13.17 0.00 13.17 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.33 0.00 0.33 0.00  | 04/13/201 | 8 #000024922 | 48 VARIOUS BARRONE | JOHN HANCOCK FD  | S II FLOATING RATE I | 0.00                 | 0.47                | 93.0 | 0.00    | 0.44              | 0.00               | 0.44                 |
| 04/13/2018 #00002192853 VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE 0.00 0.28 93.0 0.00 0.26 0.00 0.26 04/13/2018 #00002114679 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002114767 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002182789 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/15/15/15/15/15/15/15/15/15/15/15/15   | 04/13/201 | 8 #000021653 | 53 VARIOUS BARRONE | JOHN HANCOCK FD  | S II GBL ABSOLUTE RE | 0.00                 | 19.43               | 93.0 | 0.00    | 18.07             | 0.00               | 18.07                |
| 04/13/2018 #00002114679 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002114767 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002182789 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2 | 04/13/201 | 8 #000021928 | 44 VARIOUS BARRONE | JOHN HANCOCK FD  | S II GBL ABSOLUTE RE | 0.00                 | 0.28                | 93.0 | 0.00    | 0.26              | 0.00               | 0.26                 |
| 04/13/2018 #00002114767 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002182789 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/14/15/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 05/15/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEG | 04/13/201 | 8 #000021928 | 53 VARIOUS BARRONE | JOHN HANCOCK FD  | S II GBL ABSOLUTE RE | 0.00                 | 0.28                | 93.0 | 0.00    | 0.26              | 0.00               | 0.26                 |
| 04/13/2018 #00002182789 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 14.16 93.0 0.00 13.17 0.00 13.17 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.31 0.00 0.31 04/13/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.33 0.00 0.33   | 04/13/201 | 8 #000021146 | 79 VARIOUS BARRONE | JOHN HANCOCK FD  | S II STRATEGIC INCOM | 0.00                 | 1.47                | 93.0 | 0.00    | 1.37              | 0.00               | 1.37                 |
| 04/13/2018 #00002192841 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 0.00 0.4/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.31 0.00 0.31 0.00 0.31 0.4/13/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.33 0.00 0.33 0.00 0.33  | 04/13/201 | 8 #000021147 | 67 VARIOUS BARRONE | JOHN HANCOCK FD  | S II STRATEGIC INCOM | 0.00                 | 2.03                | 93.0 | 0.00    | 1.89              | 0.00               | 1.89                 |
| 04/13/2018 #00002192850 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.33 93.0 0.00 0.31 0.00 0.31 0.00 0.4/13/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.33 0.00 0.33 0.00 0.33  | 04/13/201 | 8 #000021827 | 89 VARIOUS BARRONE | JOHN HANCOCK FD  | S II STRATEGIC INCOM | 0.00                 | 14.16               | 93.0 | 0.00    | 13.17             | 0.00               | 13.17                |
| 04/13/2018 #00002194064 VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM 0.00 0.35 93.0 0.00 0.33 0.00 0.33   | 04/13/201 | 8 #000021928 | 41 VARIOUS BARRONE | JOHN HANCOCK FD  | S II STRATEGIC INCOM | 0.00                 | 0.33                | 93.0 | 0.00    | 0.31              | 0.00               | 0.31                 |
|  | 04/13/201 | 8 #000021928 | 50 VARIOUS BARRONE | JOHN HANCOCK FD  | S II STRATEGIC INCOM | 0.00                 | 0.33                | 93.0 | 0.00    | 0.31              | 0.00               | 0.31                 |
| 04/13/2018 #00003376229 U, ELLEN JOHN HANCOCK FDS II TECHNICAL OPPTY 0.00 2.42 93.0 0.00 2.25 0.00 2.25  | 04/13/201 | 8 #000021940 | 64 VARIOUS BARRONE | JOHN HANCOCK FD  | S II STRATEGIC INCOM | 0.00                 | 0.35                | 93.0 | 0.00    | 0.33              | 0.00               | 0.33                 |
|  | 04/13/201 | 8 #000033762 | 29 U, ELLEN        | JOHN HANCOCK FD  | S II TECHNICAL OPPTY | 0.00                 | 2.42                | 93.0 | 0.00    | 2.25              | 0.00               | 2.25                 |

BROKER

CLEARING

NET

#### COMMISSION STATEMENT for RICHARD BARRONE

INVESTMENT

GROSS

| DATE       | CLIENT         | INV             | /ESTMENT                            | AMOUNT   | COMMISSION | RATE | POSTAGE | COMMISSION | CHARGE | COMMISSION |
|------------|----------------|-----------------|-------------------------------------|----------|------------|------|---------|------------|--------|------------|
|            |                |                 | BROKER TRANS                        | SACTIONS |            |      |         |            |        |            |
| PRODUCT    | CATEGORY: MU   | TUAL FUND 12B1  | TRAILS                              |          |            |      |         |            |        |            |
| 04/13/201  | 8 #00002176031 | VARIOUS BARRONE | JOHN HANCOCK FDS III DISCIPLINED VA | 0.00     | 1.44       | 93.0 | 0.00    | 1.34       | 0.00   | 1.34       |
| 04/13/2018 | 8 #00002192847 | VARIOUS BARRONE | JOHN HANCOCK FDS III DISCIPLINED VA | 0.00     | 0.36       | 93.0 | 0.00    | 0.33       | 0.00   | 0.33       |
| 04/13/2018 | 8 #00002192856 | VARIOUS BARRONE | JOHN HANCOCK FDS III DISCIPLINED VA | 0.00     | 0.36       | 93.0 | 0.00    | 0.33       | 0.00   | 0.33       |
| 04/13/201  | 8 #00002034807 | VAN OORT VAR    | JOHN HANCOCK FDS III GLOBAL SHAREHO | 0.00     | 1.62       | 93.0 | 0.00    | 1.51       | 0.00   | 1.51       |
| 04/13/201  | 8 #00003372667 | VARIOUS BARRONE | JOHN HANCOCK FDS III GLOBAL SHAREHO | 0.00     | 7.23       | 93.0 | 0.00    | 6.72       | 0.00   | 6.72       |
| 04/13/201  | 8 #00002409634 | VARIOUS BARRONE | JOHN HANCOCK FDS III JHF III SMALL  | 0.00     | 0.62       | 93.0 | 0.00    | 0.58       | 0.00   | 0.58       |
| 04/13/2018 | 8 #00003376228 | U, ELLEN        | JOHN HANCOCK FINANCIAL INDUSTRIES F | 0.00     | 4.63       | 93.0 | 0.00    | 4.31       | 0.00   | 4.31       |
| 04/13/2018 | 8 #00003377592 | BARRONE,        | JOHN HANCOCK FINANCIAL INDUSTRIES F | 0.00     | 0.32       | 93.0 | 0.00    | 0.30       | 0.00   | 0.30       |
| 04/13/2018 | 8 #00003378494 | BARRONE IL/TOD, | JOHN HANCOCK FINANCIAL INDUSTRIES F | 0.00     | 2.27       | 93.0 | 0.00    | 2.11       | 0.00   | 2.11       |
| 04/13/2018 | 8 #00002114678 | VARIOUS BARRONE | JOHN HANCOCK HIGH YIELD FUND CL A   | 0.00     | 1.52       | 93.0 | 0.00    | 1.41       | 0.00   | 1.41       |
| 04/13/2018 | 8 #00002114769 | VARIOUS BARRONE | JOHN HANCOCK HIGH YIELD FUND CL A   | 0.00     | 0.85       | 93.0 | 0.00    | 0.79       | 0.00   | 0.79       |
| 04/13/2018 | 8 #00002182788 | VARIOUS BARRONE | JOHN HANCOCK HIGH YIELD FUND CL A   | 0.00     | 13.83      | 93.0 | 0.00    | 12.86      | 0.00   | 12.86      |
| 04/13/2018 | 8 #00002186016 | VARIOUS BARRONE | JOHN HANCOCK INCOME FUND CL A       | 0.00     | 2.46       | 93.0 | 0.00    | 2.29       | 0.00   | 2.29       |
| 04/13/2018 | 8 #00003372666 | VARIOUS BARRONE | JOHN HANCOCK INCOME FUND CL B       | 0.00     | 7.53       | 93.0 | 0.00    | 7.00       | 0.00   | 7.00       |
| 04/13/2018 | 8 #00002409018 | VARIOUS BARRONE | JOHN HANCOCK INVT TR DISCIPLINED VA | 0.00     | 7.47       | 93.0 | 0.00    | 6.95       | 0.00   | 6.95       |
| 04/13/2018 | 8 #00003377595 | BARRONE,        | JOHN HANCOCK REGIONAL BANK FUND-CL  | 0.00     | 0.33       | 93.0 | 0.00    | 0.31       | 0.00   | 0.31       |
| 04/13/2018 | 8 #00003378497 | BARRONE IL/TOD, | JOHN HANCOCK REGIONAL BANK FUND-CL  | 0.00     | 3.12       | 93.0 | 0.00    | 2.90       | 0.00   | 2.90       |
| 04/13/201  | 8 #00002406751 | VARIOUS BARRONE | LIFESTYLE BALANCED PORTFOLIO A      | 0.00     | 4.51       | 93.0 | 0.00    | 4.19       | 0.00   | 4.19       |
| 04/13/201  | 8 #00003375093 | SMITH, CHERYL   | LIFESTYLE BALANCED PORTFOLIO A      | 0.00     | 6.39       | 93.0 | 0.00    | 5.94       | 0.00   | 5.94       |
| 04/13/201  | 8 #00005820502 | VARIOUS BARRONE | LIFESTYLE BALANCED PORTFOLIO A      | 0.00     | 3.91       | 93.0 | 0.00    | 3.64       | 0.00   | 3.64       |
| 04/13/2018 | 8 #00002392767 | VARIOUS BARRONE | LIFESTYLE CONSERVATIVE PORTFOLIO C  | 0.00     | 15.80      | 93.0 | 0.00    | 14.69      | 0.00   | 14.69      |
| 04/13/2018 | 8 #00002402703 | VAN OORT VAR    | MULTI MANAGER LIFESTYE MOD          | 0.00     | 4.69       | 93.0 | 0.00    | 4.36       | 0.00   | 4.36       |
| 04/13/2018 | 8 #00002406749 | VARIOUS BARRONE | MULTI MANAGER LIFESTYE MOD          | 0.00     | 5.33       | 93.0 | 0.00    | 4.96       | 0.00   | 4.96       |
| 04/13/2018 | 8 #00002409060 | VARIOUS BARRONE | SHORT DURATION CREDIT OPPORTUNITIES | 0.00     | 1.10       | 93.0 | 0.00    | 1.02       | 0.00   | 1.02       |
| 04/13/201  | 8 #00003378495 | BARRONE IL/TOD, | SHORT DURATION CREDIT OPPORTUNITIES | 0.00     | 2.01       | 93.0 | 0.00    | 1.87       | 0.00   | 1.87       |
| 04/13/201  | 8 #00002409633 | VARIOUS BARRONE | SMALL CAP VALUE A                   | 0.00     | 0.81       | 93.0 | 0.00    | 0.75       | 0.00   | 0.75       |
| 04/13/2018 | 8 #00002114673 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 3.43       | 93.0 | 0.00    | 3.19       | 0.00   | 3.19       |
| 04/13/2018 | 8 #00002114768 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 1.09       | 93.0 | 0.00    | 1.01       | 0.00   | 1.01       |
| 04/13/201  | 8 #00002123254 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 3.15       | 93.0 | 0.00    | 2.93       | 0.00   | 2.93       |
| 04/13/201  | 8 #00002130592 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 2.79       | 93.0 | 0.00    | 2.59       | 0.00   | 2.59       |
| 04/13/201  | 8 #00002131575 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 1.72       | 93.0 | 0.00    | 1.60       | 0.00   | 1.60       |
| 04/13/201  | 8 #00002161789 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 0.34       | 93.0 | 0.00    | 0.32       | 0.00   | 0.32       |
| 04/13/201  | 8 #00002182790 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 19.03      | 93.0 | 0.00    | 17.70      | 0.00   | 17.70      |
| 04/13/2018 | 8 #00002194066 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH A          | 0.00     | 0.35       | 93.0 | 0.00    | 0.33       | 0.00   | 0.33       |
| 04/13/2018 | 8 #00002129851 | VARIOUS BARRONE | US GLOBAL LEADERS GROWTH B          | 0.00     | 3.17       | 93.0 | 0.00    | 2.95       | 0.00   | 2.95       |

#### COMMISSION STATEMENT for RICHARD BARRONE

| DATE CLIENT               | INVESTMENT                           | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | RATE POSTAGE | NET<br>COMMISSION | CLEARING<br>CHARGE | BROKER<br>COMMISSION |
|---------------------------|--------------------------------------|----------------------|---------------------|--------------|-------------------|--------------------|----------------------|
|                           | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00               | \$456.74            | \$0.00       | \$424.75          | \$0.00             | \$424.75             |
|                           | *** BROKER TRANSACTIONS TOTAL ***    | \$6,442.54           | \$652.11            | \$0.00       | \$606.56          | \$0.00             | \$606.56             |
| ADJUSTMENTS               |                                      |                      |                     |              |                   |                    |                      |
| 05/20/2018                | ADMIN FEE: ADMIN FEE                 |                      |                     |              |                   |                    | -320.00              |
| 05/20/2018                | E & O INSURANCE: E & O INSURANCE     |                      |                     |              |                   |                    | -179.00              |
| *** ADJUSTMENTS TOTAL *** |                                      |                      |                     |              |                   |                    |                      |

### BROKER COMMISSION TOTALS

| Broker Transactions   | \$606.56  |
|-----------------------|-----------|
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-499.00 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$0.00    |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |
|                       |           |

| Check | Amount | \$107.56 |
|-------|--------|----------|
|       |        |          |