



COMMISSION STATEMENT

January 08, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/02/2018	***-**-7948 - AMICI, ROGER ACCOUNT #: LSR100234	ACTIVISION BLIZZARD INC COM BUY 10 Units @64.0560	640.56	17.50	7.60	9.90	90.0	8.91
* STOCKS SUBTOTAL *			\$640.56	\$17.50	\$7.60	\$9.90		\$8.91
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/02/2018	***-**-62IN - SAHIN, ESMA ACCOUNT #: 015598069	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	899.40	26.98	0.00	26.98	90.0	24.28
01/02/2018	***-**-0449 - OGUZHAN, CENGIZ ACCOUNT #: 015598070	NATIONWIDE CUSIP 638661330-NSCC BUY 0 Units @0.0000	400.00	12.00	0.00	12.00	90.0	10.80
01/02/2018	***-**-86SI - DAT, YUGAISHTRI ACCOUNT #: 016178907	NATIONWIDE CUSIP 63866W282-NSCC BUY 0 Units @0.0000	195.00	7.07	0.00	7.07	90.0	6.36
01/02/2018	***-**-85SI - GOKER, MERT ACCOUNT #: 016178909	NATIONWIDE CUSIP 63866W282-NSCC BUY 0 Units @0.0000	649.59	23.55	0.00	23.55	90.0	21.20
01/02/2018	***-**-87SI - RAMARAO, ASHWINI ACCOUNT #: 016178914	NATIONWIDE CUSIP 63866W282-NSCC BUY 0 Units @0.0000	180.00	6.53	0.00	6.53	90.0	5.88
01/02/2018	***-**-41SI - TURPIN, KELLY ACCOUNT #: 016117376	NATIONWIDE CUSIP 638942714-NSCC BUY 0 Units @0.0000	661.32	20.67	0.00	20.67	90.0	18.60
12/26/2017	***-**-433I - BECCE, WILLIAM ACCOUNT #: VP71053600	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	200.00	3.00	0.00	3.00	90.0	2.70
12/26/2017	***-**-1628 - KESSLER, LEWIS ACCOUNT #: VP71057460	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	500.00	7.50	0.00	7.50	90.0	6.75
12/26/2017	***-**-7994 - NEWMAN, JOSHUA ACCOUNT #: VP71067450	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
01/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	0.38	0.00	0.38	90.0	0.34
01/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	1.50	0.00	1.50	90.0	1.35
01/02/2018	***-**-7948 - GEOGHEGAN, JOHN ACCOUNT #: VP71049160	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	200.00	1.13	0.00	1.13	90.0	1.02
01/02/2018	***-**-3981 - HALL, WAYNE ACCOUNT #: VP71050690	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34
01/02/2018	***-**-3981 - HALL, WAYNE ACCOUNT #: VP71050690	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	75.00	0.38	0.00	0.38	90.0	0.34



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* VARIABLE ANNUITIES SUBTOTAL *			\$4,685.31	\$114.82	\$0.00	\$114.82		\$103.34
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
01/08/2018	***-**-64CL - PASCALE, CLIENTS	PENN LIFE VL TRAILS BUY 1 Units @0.0000	0.00	59.09	0.00	59.09	90.0	53.18
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$59.09	\$0.00	\$59.09		\$53.18
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925290018	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	58.72	29.36	0.00	29.36	90.0	26.42
01/04/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925327497	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	83.54	41.77	0.00	41.77	90.0	37.59
01/04/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925327935	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	89.77	44.89	0.00	44.89	90.0	40.40
01/04/2018	***-**-84FB - MERNONE, JOY ACCOUNT #: 925330653	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	76.11	38.06	0.00	38.06	90.0	34.25
01/04/2018	***-**-49FB - AMICI, JOHN ACCOUNT #: 925290937	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	34.11	17.06	0.00	17.06	90.0	15.35
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925291267	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	216.52	108.26	0.00	108.26	90.0	97.43
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925291265	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	69.61	34.81	0.00	34.81	90.0	31.33
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320922	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	41.88	20.94	0.00	20.94	90.0	18.85
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320963	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	102.43	51.22	0.00	51.22	90.0	46.10
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320905	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	105.96	52.98	0.00	52.98	90.0	47.68
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320910	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	65.68	32.84	0.00	32.84	90.0	29.56
01/04/2018	***-**-01FB - PASCALE, ROBERT ACCOUNT #: 925320965	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	342.91	171.46	0.00	171.46	90.0	154.31
01/04/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925320924	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	170.30	85.15	0.00	85.15	90.0	76.64
01/04/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925320939	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	67.08	33.54	0.00	33.54	90.0	30.19



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
01/04/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925293979	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	112.38	56.19	0.00	56.19	90.0	50.57
01/04/2018	***-**-71FB - PASCALE, LYNNE ACCOUNT #: 925320975	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	105.44	52.72	0.00	52.72	90.0	47.45
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925298652	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	111.97	55.99	0.00	55.99	90.0	50.39
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925300185	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	65.54	32.77	0.00	32.77	90.0	29.49
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925331008	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	42.76	21.38	0.00	21.38	90.0	19.24
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925331019	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.06	11.03	0.00	11.03	90.0	9.93
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925330859	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.30	11.65	0.00	11.65	90.0	10.49
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925330871	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	34.74	17.37	0.00	17.37	90.0	15.63
01/04/2018	***-**-67FB - SOLA, MARIA ACCOUNT #: 925295983	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	82.53	41.27	0.00	41.27	90.0	37.14
01/04/2018	***-**-07FB - EARL, NANCY ACCOUNT #: 925299932	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	227.90	113.95	0.00	113.95	90.0	102.56
01/04/2018	***-**-23FB - LEIBOWITZ, IRWIN ACCOUNT #: 941322197	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	117.05	58.53	0.00	58.53	90.0	52.68
01/04/2018	***-**-04FB - PRIMAVERA, LOUIS ACCOUNT #: 925356849	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	51.57	25.79	0.00	25.79	90.0	23.21
01/04/2018	***-**-04FB - PRIMAVERA, LOUIS ACCOUNT #: 925360324	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	160.24	80.12	0.00	80.12	90.0	72.11
01/04/2018	***-**-64FB - PASCALE, RICHARD ACCOUNT #: 925372166	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	50.06	25.03	0.00	25.03	90.0	22.53
* RIA SUBTOTAL *			\$2,732.16	\$1,366.13	\$0.00	\$1,366.13		\$1,229.52



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/08/2018	***-**-5723 - PONTURO, ACCOUNT #: 77161355101	MODERATE PORTFOLIO A BUY 0 Units @0.0000	200.00	4.76	0.00	4.76	90.0	4.28
01/08/2018	***-**-4625 - KALANTZOPOULOS,	NYA JPM 529 EQUITY INCOME PORTF A BUY 0 Units @0.0000	10,000.00	237.50	0.00	237.50	90.0	213.75
01/04/2018	***-**-545P - FELLIN, DIANE ACCOUNT #: 77169971002	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	100.00	2.03	0.00	2.03	90.0	1.83
* 529 PLAN SUBTOTAL *			\$10,300.00	\$244.29	\$0.00	\$244.29		\$219.86
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/02/2018	***-**-09IN - KHACHATOORIAN, ACCOUNT #: LSR010186	FIDELITY CASH MM BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-19IN - MORMINO, KATHRYN ACCOUNT #: LSR010727	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-8080 - CURTIS, MARIE ACCOUNT #: LSR011163	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-28IR - MORMINO, STEVEN ACCOUNT #: LSR005169	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-78IN - KOLLQAKU, BUJARE ACCOUNT #: LSR010074	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-64IR - PASCALE, RICHARD ACCOUNT #: LSF000060	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-19IR - PASCALE, JOANN ACCOUNT #: LSF000078	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-964T - PASCALE, RICHARD ACCOUNT #: LSF000167	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-01I2 - PASCALE, ROBERT ACCOUNT #: LSR003794	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
01/02/2018	***-**-71IN - PASCALE, LYNNE ACCOUNT #: LSR003816	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-96IR - POWER, JOHN ACCOUNT #: LSR006920	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	90.0	0.03
01/02/2018	***-**-96IN - POWER, JOHN ACCOUNT #: LSR011122	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/02/2018	***-**-3917 - PASCALE, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR100137	BUY 1 Units @0.0000						
01/02/2018	***-**-7948 - AMICI, ROGER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	2.26	0.00	2.26	90.0	2.03
	ACCOUNT #: LSR100234	BUY 1 Units @0.0000						
01/02/2018	***-**-3410 - PONSOT, DENIS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR100803	BUY 1 Units @0.0000						
01/02/2018	***-**-7578 - SCHULTEISZ, IDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR100846	BUY 1 Units @0.0000						
01/02/2018	***-**-8546 - KALANTZOPOULOS,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: LSR101451	BUY 1 Units @0.0000						
01/02/2018	***-**-4429 - VIEYRA, GEORGE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: LSR101737	BUY 1 Units @0.0000						
01/02/2018	***-**-8751 - QUEZADA, RITA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: LSR101745	BUY 1 Units @0.0000						
01/02/2018	***-**-9132 - WOLOWITZ, CAROL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR101753	BUY 1 Units @0.0000						
01/02/2018	***-**-6231 - LEIBOWITZ, IRWIN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR102156	BUY 1 Units @0.0000						
01/02/2018	***-**-1469 - GIGLIO, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR102172	BUY 1 Units @0.0000						
01/02/2018	***-**-6391 - MCENANEY,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.14	0.00	0.14	90.0	0.13
	ACCOUNT #: LSR102423	BUY 1 Units @0.0000						
01/02/2018	***-**-7703 - HOOVER, MORRIS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR102776	BUY 1 Units @0.0000						
01/02/2018	***-**-7703 - HOOVER, MORRIS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR102784	BUY 1 Units @0.0000						
01/02/2018	***-**-3854 - TAYLOR, KATHLEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR103144	BUY 1 Units @0.0000						
01/02/2018	***-**-9974 - JAKOBSEN, GLENN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR104710	BUY 1 Units @0.0000						
01/02/2018	***-**-9101 - PASCALE, DEBRA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR107220	BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/02/2018	***-**-0749 - AMICI, JOHN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR107700	BUY 1 Units @0.0000						
01/02/2018	***-**-89IR - POWER, KATHLEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR006939	BUY 1 Units @0.0000						
01/02/2018	***-**-4645 - KALANTZOPOULOS,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.31	0.00	0.31	90.0	0.28
	ACCOUNT #: LSR101427	BUY 1 Units @0.0000						
01/02/2018	***-**-553J - OLIVO, EILEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR101540	BUY 1 Units @0.0000						
01/02/2018	***-**-0553 - OLIVO, EILEEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR101559	BUY 1 Units @0.0000						
01/02/2018	***-**-0457 - OLIVO, JERRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR101567	BUY 1 Units @0.0000						
01/08/2018	***-**-787I - MORMINO, DIANE	SAMMONS MF TRAILS	0.00	3.02	0.00	3.02	90.0	2.72
	ACCOUNT #: 7200004103	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$6.92	\$0.00	\$6.92		\$6.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-01IN - PASCALE, ROBERT	JACKSON 468511480	0.00	116.95	0.00	116.95	90.0	105.26
	ACCOUNT #: 1200005320	BUY 0 Units @0.0000						
12/31/2017	***-**-67IN - SOLA, MARIA	JACKSON 468511480	0.00	28.16	0.00	28.16	90.0	25.34
	ACCOUNT #: 1200291765	BUY 0 Units @0.0000						
01/02/2018	***-**-05IN - ARMA, JOSEPH	NATIONAL LIFE GROUP VA TRAILS	0.00	13.68	0.00	13.68	90.0	12.31
	ACCOUNT #: 1100040719	BUY 0 Units @0.0000						
12/30/2017	***-**-94SI - BECKERMAN,	NATIONWIDE CUSIP 638660100-NSCC	0.00	39.69	0.00	39.69	90.0	35.72
	ACCOUNT #: 016222557	BUY 0 Units @0.0000						
12/30/2017	***-**-59IN - COSMA, EILEEN	NATIONWIDE CUSIP 638660100-NSCC	0.00	4.03	0.00	4.03	90.0	3.63
	ACCOUNT #: 016331062	BUY 0 Units @0.0000						
12/30/2017	***-**-49IN - PARMAR, GURPREET	NATIONWIDE CUSIP 638660100-NSCC	0.00	3.98	0.00	3.98	90.0	3.58
	ACCOUNT #: 016331063	BUY 0 Units @0.0000						
12/30/2017	***-**-51IN - SINGH,	NATIONWIDE CUSIP 638660100-NSCC	0.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 016331211	BUY 0 Units @0.0000						
12/30/2017	***-**-87SI - RAMARAO, ASHWINI	NATIONWIDE CUSIP 638660100-NSCC	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 016351504	BUY 0 Units @0.0000						



COMMISSION STATEMENT

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RICHARD PASCALE
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BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</u>								
12/30/2017	***-**-86SI - DAT, YUGAISHTRI	NATIONWIDE CUSIP 638660100-NSCC	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 016351506	BUY 0 Units @0.0000						
12/30/2017	***-**-62IN - SAHIN, ESMA	NATIONWIDE CUSIP 638660100-NSCC	0.00	0.54	0.00	0.54	90.0	0.49
	ACCOUNT #: 016351517	BUY 0 Units @0.0000						
12/30/2017	***-**-41SI - TURPIN, KELLY	NATIONWIDE CUSIP 638660100-NSCC	0.00	0.19	0.00	0.19	90.0	0.17
	ACCOUNT #: 016351546	BUY 0 Units @0.0000						
12/30/2017	***-**-3485 - GOKER, MERT	NATIONWIDE CUSIP 638660100-NSCC	0.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 016352765	BUY 0 Units @0.0000						
12/27/2017	***-**-1628 - KESSLER, LEWIS	PACIFIC LIFE ABRB DTCC	0.00	89.52	0.00	89.52	90.0	80.57
	ACCOUNT #: VP71057460	BUY 0 Units @0.0000						
12/28/2017	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC	0.00	57.00	0.00	57.00	90.0	51.30
	ACCOUNT #: VP71028650	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$359.76	\$0.00	\$359.76		\$323.79
*** BROKER TRANSACTIONS TOTAL ***			\$18,358.03	\$2,168.51	\$7.60	\$2,160.91		\$1,944.85

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

12/28/2017	***-**-553J - OLIVO, EILEEN	AMCAP FUND-A	3,225.01	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR101540	SELL 102 Units @31.6600						
12/31/2017	***-**-60TR - BRILL FAMILY	CUSIP 74429P326-PRUCO-NFS	0.00	120.11	0.00	120.11	90.0	108.10
	ACCOUNT #: E1854454	BUY 0 Units @0.0000						
12/22/2017	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	500.00	5.00	0.00	5.00	90.0	4.50
	ACCOUNT #: 00702446014	BUY 24 Units @21.0100						
12/22/2017	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	500.00	6.88	0.00	6.88	90.0	6.19
	ACCOUNT #: 00704633189	BUY 18 Units @27.7500						
12/22/2017	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	150.00	2.07	0.00	2.07	90.0	1.86
	ACCOUNT #: 01443935083	BUY 7 Units @21.1700						
12/22/2017	***-**-375I - WATSON, SANDRA	FIDELITY ADV DIVIDEND GROWTH FD CL	36.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 01443935083	BUY 2 Units @21.1700						
12/22/2017	***-**-375I - WATSON, SANDRA	FIDELITY ADV ENERGY-CL A	150.00	2.07	0.00	2.07	90.0	1.86
	ACCOUNT #: 01443935083	BUY 4 Units @35.3300						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 1 Units @35.3300	36.00	0.50	0.00	0.50	90.0	0.45
01/03/2018	***-**-9974 - JAKOBSEN, GLENN ACCOUNT #: LSR104710	FIDELITY ADV FINANCIAL SERVICES CL BUY 139 Units @22.7400	3,149.99	43.31	9.00	34.31	90.0	30.88
12/22/2017	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @14.8100	106.48	1.47	0.00	1.47	90.0	1.32
12/22/2017	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 7 Units @14.8100	107.42	1.48	0.00	1.48	90.0	1.33
12/28/2017	***-**-54IR - SAVARESE-DILL, ACCOUNT #: 00525061420	FIDELITY ADV FREEDOM 2025-CL A BUY 105 Units @14.3300	1,500.00	20.63	0.00	20.63	90.0	18.57
12/28/2017	***-**-54IR - SAVARESE-DILL, ACCOUNT #: 00525061420	FIDELITY ADV FREEDOM 2025-CL A BUY 70 Units @14.3300	1,000.00	13.75	0.00	13.75	90.0	12.38
12/28/2017	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: 00525061339	FIDELITY ADV FREEDOM 2025-CL A BUY 105 Units @14.3300	1,500.00	20.63	0.00	20.63	90.0	18.57
12/28/2017	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: 00525061339	FIDELITY ADV FREEDOM 2025-CL A BUY 70 Units @14.3300	1,000.00	13.75	0.00	13.75	90.0	12.38
12/22/2017	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 6 Units @14.2100	82.72	1.14	0.00	1.14	90.0	1.03
12/22/2017	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 6 Units @14.2100	82.74	1.14	0.00	1.14	90.0	1.03
01/03/2018	***-**-9974 - JAKOBSEN, GLENN ACCOUNT #: LSR104710	FIDELITY ADV LARGE CAP-CL A BUY 90 Units @35.0700	3,149.99	43.31	9.00	34.31	90.0	30.88
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 7 Units @20.7600	150.00	2.07	0.00	2.07	90.0	1.86
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 2 Units @20.7600	36.00	0.50	0.00	0.50	90.0	0.45
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 17 Units @9.0400	150.00	2.07	0.00	2.07	90.0	1.86
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 4 Units @9.0400	36.00	0.50	0.00	0.50	90.0	0.45
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR EMERGING MARKETS F BUY 5 Units @30.9400	150.00	2.07	0.00	2.07	90.0	1.86



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR EMERGING MARKETS F BUY 1 Units @30.9400	36.00	0.50	0.00	0.50	90.0	0.45
12/22/2017	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 11 Units @16.8900	192.48	2.65	0.00	2.65	90.0	2.39
12/22/2017	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 6 Units @16.8900	96.24	1.33	0.00	1.33	90.0	1.20
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 5 Units @20.6600	100.00	1.38	0.00	1.38	90.0	1.24
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 1 Units @20.6600	24.00	0.33	0.00	0.33	90.0	0.30
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 7 Units @22.2900	150.00	2.07	0.00	2.07	90.0	1.86
12/22/2017	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 2 Units @22.2900	36.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	***-**-58UT - PLATEIS UTMA NY, ACCOUNT #: LSR011379	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-60TR - BRILL FAMILY ACCOUNT #: LSR011537	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-24IO - REICH COHEN, ACCOUNT #: LSR011560	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-116J - BRILL, AMY ACCOUNT #: LSR011592	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-89IN - KHOROZIAN, RAFFI ACCOUNT #: LSR011804	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-450I - ROSENBLATT, MARK ACCOUNT #: LSR012160	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-54IR - SAVARESE-DILL, ACCOUNT #: LSR005894	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-80IO - LAURIA, LOU ANN ACCOUNT #: LSR006343	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-04IR - RUBIN, SARA ACCOUNT #: LSR008214	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
01/02/2018	***-**-46IR - RUBIN, BARRY ACCOUNT #: LSR008222	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-16IR - BRILL, AMY ACCOUNT #: LSR010277	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-31IR - GUERRERO, IVAN ACCOUNT #: LSR010278	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-04IO - KOHLER, ACCOUNT #: LSR010531	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-2816 - CALDERA, ACCOUNT #: LSR103039	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	90.0	0.06
01/02/2018	***-**-4434 - CALDERA, ACCOUNT #: LSR108855	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	90.0	0.22
01/02/2018	***-**-640I - OLLECH, MARCEL ACCOUNT #: LSR007277	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	***-**-91IR - PANG, JIE ACCOUNT #: LSR007285	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-86IR - WOO, FBO MR GENE ACCOUNT #: LSR007420	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-586I - WOO, FBO MR GENE ACCOUNT #: LSR007439	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-96IO - BURKHARDT, FBO ACCOUNT #: LSR008753	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
01/02/2018	***-**-96IR - BURKHARDT, EDEN ACCOUNT #: LSR008761	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-24IO - BONGORT, ACCOUNT #: LSR009792	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	90.0	0.05
01/02/2018	***-**-06IN - MARK, SCOTT ACCOUNT #: LSR010056	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	90.0	0.11
01/02/2018	***-**-18IN - WATSON ACCOUNT #: LSR010183	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	90.0	0.03
01/02/2018	***-**-584K - WINCHURCH, ACCOUNT #: LSR010207	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
01/02/2018	***-**-024J - KRESS, STEPHEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010245	BUY 1 Units @0.0000						
01/02/2018	***-**-17IR - TRAUTMAN, SHERRI	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR010246	BUY 1 Units @0.0000						
01/02/2018	***-**-24IN - BONGORT,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR010325	BUY 1 Units @0.0000						
01/02/2018	***-**-36IN - KOUNOUKLOS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010363	BUY 1 Units @0.0000						
01/02/2018	***-**-83UT - KOUNOUKLOS CUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR010394	BUY 1 Units @0.0000						
01/02/2018	***-**-28IN - KHOROZIAN, HAGOP	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSR010452	BUY 1 Units @0.0000						
01/02/2018	***-**-600J - ROTH, GEORGE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010622	BUY 1 Units @0.0000						
01/02/2018	***-**-27IR - PELL, ANDREA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR010637	BUY 1 Units @0.0000						
01/02/2018	***-**-3377 - ZALINSKY, STEVEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: LSR100005	BUY 1 Units @0.0000						
01/02/2018	***-**-2771 - ZALINSKY,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100013	BUY 1 Units @0.0000						
01/02/2018	***-**-377J - ZALINSKY, STEVEN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	90.0	0.04
	ACCOUNT #: LSR100021	BUY 1 Units @0.0000						
01/02/2018	***-**-4738 - MARK, MARILYN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR100056	BUY 1 Units @0.0000						
01/02/2018	***-**-7863 - MARK, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR100064	BUY 1 Units @0.0000						
01/02/2018	***-**-8240 - CHOWDHURY, NAHID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100080	BUY 1 Units @0.0000						
01/02/2018	***-**-738I - MARK, MARILYN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100099	BUY 1 Units @0.0000						
01/02/2018	***-**-7863 - MARK, ROBERT	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR100102	BUY 1 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
01/02/2018	***-**-8826 - LAFRANCE, ACCOUNT #: LSR100145	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	90.0	0.15
01/02/2018	***-**-8631 - MARK, ROBERT ACCOUNT #: LSR100226	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	90.0	0.12
01/02/2018	***-**-7443 - SIEGEL, JILL ACCOUNT #: LSR100625	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
01/02/2018	***-**-711N - ZALINSKY, ACCOUNT #: LSR100692	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	90.0	0.02
01/02/2018	***-**-9162 - DOUGLAS, ELLEN ACCOUNT #: LSR100889	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-1623 - BECCE, MARIA ACCOUNT #: LSR101028	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
01/02/2018	***-**-231N - BECCE, MARIA ACCOUNT #: LSR101060	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-9333 - SPINELLI, SONIA ACCOUNT #: LSR102431	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
01/02/2018	***-**-2109 - HOVSEPIAN, ACCOUNT #: LSR102571	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
01/02/2018	***-**-1266 - COHEN, ESTA ACCOUNT #: LSR103004	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	90.0	0.15
01/02/2018	***-**-001R - WHITFIELD, FBO ACCOUNT #: LSR103080	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	90.0	0.11
01/02/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105554	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
01/02/2018	***-**-4673 - PEPE, JOANN ACCOUNT #: LSR105562	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	90.0	0.31
01/02/2018	***-**-4991 - PANG, JIE ACCOUNT #: LSR105880	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	***-**-0181 - WATSON, BURTON ACCOUNT #: LSR105902	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	90.0	0.03
01/02/2018	***-**-2838 - WATSON ACCOUNT #: LSR106100	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	90.0	0.05



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RICHARD PASCALE
321 Grover Avenue North
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BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
01/02/2018	***-**-9481 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSR106135	BUY 1 Units @0.0000						
01/02/2018	***-**-5165 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR106151	BUY 1 Units @0.0000						
01/02/2018	***-**-1182 - WATSON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.04	0.00	0.04	90.0	0.04
	ACCOUNT #: LSR106160	BUY 1 Units @0.0000						
01/02/2018	***-**-4991 - PANG, JIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR106178	BUY 1 Units @0.0000						
01/02/2018	***-**-6017 - DILL, ROWAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: LSR106615	BUY 1 Units @0.0000						
12/31/2017	***-**-24IO - BONGORT,	JACKSON NATIONAL CUSIP 468495577-NF	0.00	15.63	0.00	15.63	90.0	14.07
	ACCOUNT #: 1014016666	BUY 0 Units @0.0000						
01/04/2018	***-**-77FB - ZALINSKY, STEVEN	LIFEMARK INVESTMENT ADVISORY FEE	175.74	87.87	0.00	87.87	90.0	79.08
	ACCOUNT #: 925289931	BUY 0 Units @0.0000						
01/04/2018	***-**-75FB - WATSON, SANDRA	LIFEMARK INVESTMENT ADVISORY FEE	37.11	18.56	0.00	18.56	90.0	16.70
	ACCOUNT #: 925290046	BUY 0 Units @0.0000						
01/04/2018	***-**-75FB - WATSON, SANDRA	LIFEMARK INVESTMENT ADVISORY FEE	108.00	54.00	0.00	54.00	90.0	48.60
	ACCOUNT #: 925290470	BUY 0 Units @0.0000						
01/04/2018	***-**-24IN - BONGORT,	LIFEMARK INVESTMENT ADVISORY FEE	9.14	4.57	0.00	4.57	90.0	4.11
	ACCOUNT #: 925291361	BUY 0 Units @0.0000						
01/04/2018	***-**-40FB - CHOWDHURY, NAHID	LIFEMARK INVESTMENT ADVISORY FEE	23.76	11.88	0.00	11.88	90.0	10.69
	ACCOUNT #: 925293988	BUY 0 Units @0.0000						
01/04/2018	***-**-24FB - KRESS, STEPHEN	LIFEMARK INVESTMENT ADVISORY FEE	47.90	23.95	0.00	23.95	90.0	21.56
	ACCOUNT #: 925294514	BUY 0 Units @0.0000						
01/04/2018	***-**-59FB - OLLECH, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	22.24	11.12	0.00	11.12	90.0	10.01
	ACCOUNT #: 925321895	BUY 0 Units @0.0000						
01/04/2018	***-**-59FB - OLLECH, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	269.33	134.67	0.00	134.67	90.0	121.20
	ACCOUNT #: 925297947	BUY 0 Units @0.0000						
01/04/2018	***-**-59FB - OLLECH, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	107.67	53.84	0.00	53.84	90.0	48.46
	ACCOUNT #: 925301447	BUY 0 Units @0.0000						
01/04/2018	***-**-74FB - SAPER, ERIC	LIFEMARK INVESTMENT ADVISORY FEE	52.66	26.33	0.00	26.33	90.0	23.70
	ACCOUNT #: 925298658	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
01/04/2018	***-**-88FB - HOVSEPIAN, ACCOUNT #: 925319163	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	285.99	143.00	0.00	143.00	90.0	128.70
01/04/2018	***-**-33FB - BECCE, WILLIAM ACCOUNT #: 925323207	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	95.24	47.62	0.00	47.62	90.0	42.86
01/04/2018	***-**-33FB - BECCE, WILLIAM ACCOUNT #: 925327937	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	34.79	17.40	0.00	17.40	90.0	15.66
12/30/2017	***-**-2790 - RONAN, WILLIAM ACCOUNT #: 015852602	NATIONWIDE CUSIP 638662247-NSCC BUY 0 Units @0.0000	0.00	8.78	0.00	8.78	90.0	7.90
01/04/2018	***-**-7724 - NANDAA, NEIL ACCOUNT #: 77348040901	NYA JPM 529 REALTY INCOME PORTF C BUY 0 Units @0.0000	200.00	1.00	0.00	1.00	90.0	0.90
12/27/2017	***-**-1437 - PALUSHAJ, GJETO ACCOUNT #: VP71053410	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	840.61	12.61	0.00	12.61	90.0	11.35
12/28/2017	***-**-6393 - GRANAT, STACEY ACCOUNT #: VP71063380	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	0.00	8.68	0.00	8.68	90.0	7.81
12/28/2017	***-**-6393 - GRANAT, STACEY ACCOUNT #: VP71063380	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	0.00	34.73	0.00	34.73	90.0	31.26
01/04/2018	***-**-5634 - BLOCH, RAMNIT ACCOUNT #: VP71073350	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	50.00	0.75	0.00	0.75	90.0	0.68
12/26/2017	***-**-8584 - WINCHURCH, ACCOUNT #: VP71048920	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
12/26/2017	***-**-8584 - WINCHURCH, ACCOUNT #: VP71048920	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
01/02/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.38	0.00	0.38	90.0	0.34
01/02/2018	***-**-0944 - GEOGHEGAN, TRACY ACCOUNT #: VP71050220	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	100.00	0.13	0.00	0.13	90.0	0.12
01/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	0.66	0.00	0.66	90.0	0.59
01/03/2018	***-**-8062 - MANEL, GIOVANNA ACCOUNT #: VP71049720	PACIFIC LIFE VA DTCC RENEWALS BUY 0 Units @0.0000	350.00	1.97	0.00	1.97	90.0	1.77



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
01/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
01/03/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
01/02/2018	***-**-485I - YANKILEVICH,	PACIFIC LIFE VA RENEWAL DTCC	250.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: VP65871480	BUY 0 Units @0.0000						
12/31/2017	***-**-7863 - MARK, ROBERT	PRUCO LIFE CUSIP 74429E347-NFS	0.00	526.38	0.00	526.38	90.0	473.74
	ACCOUNT #: E1778853	BUY 0 Units @0.0000						
12/31/2017	***-**-7863 - MARK, ROBERT	PRUCO LIFE CUSIP 74429E347-NFS	0.00	304.15	0.00	304.15	90.0	273.74
	ACCOUNT #: E1778850	BUY 0 Units @0.0000						
12/31/2017	***-**-640I - OLLECH, MARCEL	PRUDENTIAL VA TRAILS 74429P128	0.00	208.09	0.00	208.09	90.0	187.28
	ACCOUNT #: E1173153	BUY 0 Units @0.0000						
12/31/2017	***-**-27IN - PELL, ANDREA	PRUDENTIAL VA TRAILS 74430A227	0.00	24.59	0.00	24.59	90.0	22.13
	ACCOUNT #: E0636105	BUY 0 Units @0.0000						
01/08/2018	***-**-22TR - RUBIN, ELLEN	QUALIFIED PLAN SUMMARY	0.00	1.40	0.00	1.40	90.0	1.26
	ACCOUNT #: LSR010306	BUY 1 Units @0.0000						
01/08/2018	***-**-9988 - HOVSEPIAN,	QUALIFIED PLAN SUMMARY	0.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: LSR102580	BUY 1 Units @0.0000						
01/08/2018	***-**-36IR - KOUNOUKLOS,	SAMMONS MF TRAILS	0.00	2.45	0.00	2.45	90.0	2.21
	ACCOUNT #: 7200011202	BUY 0 Units @0.0000						
01/03/2018	***-**-017J - MORRISON,	WALMART STORES INC UNSOLICITED ORDE	49,678.00	104.40	7.00	97.40	90.0	87.66
	ACCOUNT #: LSF000303	BUY 500 Units @99.3560						
* JEFFREY MORRISON SUBTOTAL *			\$71,621.25	\$2,225.81	\$34.00	\$2,191.81		\$1,972.70
*** SPLIT TRANSACTIONS TOTAL ***			\$71,621.25	\$2,225.81	\$34.00	\$2,191.81		\$1,972.70



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
12/29/2017	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	365.52	0.00	365.52	7.5	27.41
	ACCOUNT #: DAX25472	BUY 0 Units @0.0000						
12/29/2017	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155	0.00	489.70	0.00	489.70	7.5	36.73
	ACCOUNT #: DAX25507	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817502	0.00	16.84	0.00	16.84	7.5	1.26
	ACCOUNT #: DA737088	BUY 0 Units @0.0000						
12/29/2017	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	168.54	0.00	168.54	7.5	12.64
	ACCOUNT #: DA309184	BUY 0 Units @0.0000						
12/29/2017	***-**-3921 - BRADY, MICHAEL	ALLIANZ TRAILS 018817866	0.00	408.61	0.00	408.61	7.5	30.65
	ACCOUNT #: DA310294	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	89.91	0.00	89.91	7.5	6.74
	ACCOUNT #: DA929914	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	160.23	0.00	160.23	7.5	12.02
	ACCOUNT #: DA930883	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	176.91	0.00	176.91	7.5	13.27
	ACCOUNT #: DA930884	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	756.01	0.00	756.01	7.5	56.70
	ACCOUNT #: DA937680	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817866	0.00	37.75	0.00	37.75	7.5	2.83
	ACCOUNT #: DA941620	BUY 0 Units @0.0000						
12/29/2017	***-**-535P - KOZLOWSKI,	ALLIANZ TRAILS 018817882	0.00	100.65	0.00	100.65	7.5	7.55
	ACCOUNT #: DA370020	BUY 0 Units @0.0000						
12/29/2017	***-**-6538 - KOZLOWSKI, MARIA	ALLIANZ TRAILS 018817882	0.00	180.11	0.00	180.11	7.5	13.51
	ACCOUNT #: DA370021	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	84.41	0.00	84.41	7.5	6.33
	ACCOUNT #: DA370747	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	38.22	0.00	38.22	7.5	2.87
	ACCOUNT #: DA371348	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	45.25	0.00	45.25	7.5	3.39
	ACCOUNT #: DA371349	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	49.88	0.00	49.88	7.5	3.74
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: ALAN FISHMAN (continued)</u>								
12/29/2017	***--195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	118.03	0.00	118.03	7.5	8.85
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						
12/29/2017	***--27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	43.11	0.00	43.11	7.5	3.23
	ACCOUNT #: DA371478	BUY 0 Units @0.0000						
12/29/2017	***--2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	130.17	0.00	130.17	7.5	9.76
	ACCOUNT #: DA372127	BUY 0 Units @0.0000						
12/29/2017	***--27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	33.26	0.00	33.26	7.5	2.49
	ACCOUNT #: DA372611	BUY 0 Units @0.0000						
12/29/2017	***--2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	95.35	0.00	95.35	7.5	7.15
	ACCOUNT #: DA372612	BUY 0 Units @0.0000						
01/05/2018	***--5103 - VICARI, KEVIN	VOYA INS TRAILS	0.00	1.45	0.00	1.45	7.5	0.11
		BUY 1 Units @0.0000						
* ALAN FISHMAN SUBTOTAL *			\$0.00	\$3,589.91	\$0.00	\$3,589.91		\$269.23
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$3,589.91	\$0.00	\$3,589.91		\$269.23

BROKER COMMISSION TOTALS

Broker Transactions	\$1,944.85
Split Transactions	\$1,972.70
Override Transactions	\$269.23
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$4,186.78
Year-to-date Earnings	\$4,367.05