

**COMMISSION STATEMENT****January 15, 2018**DANIEL CHARLEY
6 Lexington CourtBROKER #: C142
BRANCH: E STREET FINANCIAL L

Shamong, NJ 08088-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u> | | | | | | | | |
| 12/15/2017 | ANDERSON, WILLIAM | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 0.46 | 0.00 | 0.46 | 90.0 | 0.41 |
| 12/15/2017 | NEELD, JUDEANN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.30 | 0.00 | 1.30 | 90.0 | 1.17 |
| 12/15/2017 | NEELD, JUDEANN | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 3.21 | 0.00 | 3.21 | 90.0 | 2.89 |
| 12/14/2017 | ANDERSON, WILLIAM | AMERICAN CENTURY CORE PLUS FUND CLA | 0.00 | 2.79 | 0.00 | 2.79 | 90.0 | 2.51 |
| 12/22/2017 | ANDERSON, ELAINE | BLK GLOBAL ALLOCATION C | 0.00 | 2.47 | 0.00 | 2.47 | 90.0 | 2.22 |
| 12/22/2017 | ANDERSON, ELAINE | CALAMOS GROWTH & INCOME A | 0.00 | 0.89 | 0.00 | 0.89 | 90.0 | 0.80 |
| 12/19/2017 | ANDERSON, WILLIAM | CARILLON EAGLE GROWTH & INCOME FUND | 0.00 | 6.12 | 0.00 | 6.12 | 90.0 | 5.51 |
| 12/13/2017 | ANDERSON, WILLIAM | COLUMBIA BALANCED FUND CLASS C | 0.00 | 3.44 | 0.00 | 3.44 | 90.0 | 3.10 |
| 12/11/2017 | FIAMINGO, FRANK | DAVIS REAL ESTATE A | 0.00 | 2.19 | 0.00 | 2.19 | 90.0 | 1.97 |
| 12/22/2017 | ANDERSON, WILLIAM | FIRST EAGLE OVERSEAS FUND CLASS A | 0.00 | 0.97 | 0.00 | 0.97 | 90.0 | 0.87 |
| 12/18/2017 | FIAMINGO, NANCY | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 10.32 | 0.00 | 10.32 | 90.0 | 9.29 |
| 12/18/2017 | FIAMINGO, NANCY | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 30.95 | 0.00 | 30.95 | 90.0 | 27.86 |
| 12/18/2017 | FIAMINGO, FRANK | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 13.41 | 0.00 | 13.41 | 90.0 | 12.07 |
| 12/18/2017 | FIAMINGO, FRANK | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 40.24 | 0.00 | 40.24 | 90.0 | 36.22 |
| 12/18/2017 | BUTKUS, ROBERT | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 5.17 | 0.00 | 5.17 | 90.0 | 4.65 |
| 12/18/2017 | BUTKUS, ROBERT | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 15.51 | 0.00 | 15.51 | 90.0 | 13.96 |
| 12/19/2017 | ANDERSON, ELAINE | JANUS BALANCED FUND CLASS C | 0.00 | 3.21 | 0.00 | 3.21 | 90.0 | 2.89 |
| 12/15/2017 | ANDERSON, ELAINE | JH STRATEGIC INCOME A | 0.00 | 0.43 | 0.00 | 0.43 | 90.0 | 0.39 |
| 12/21/2017 | FIAMINGO, FRANK | OPPENHEIMER CAPITAL INCOME A | 0.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/19/2017 | ANDERSON, WILLIAM | PIMCO REAL RETURN C | 0.00 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 12/13/2017 | RYNEX, CAROL | WELLS FARGO DOW JONES TARGET 2035 F | 0.00 | 1.00 | 0.00 | 1.00 | 90.0 | 0.90 |
| 12/13/2017 | RYNEX, STEPHEN | WELLS FARGO PREMIER LG COMP GRWTH A | 0.00 | 0.55 | 0.00 | 0.55 | 90.0 | 0.50 |
| 12/13/2017 | RYNEX, ANDREW | WELLS FARGO PREMIER LG COMP GRWTH A | 0.00 | 0.18 | 0.00 | 0.18 | 90.0 | 0.16 |
| * C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL * | | | \$0.00 | \$146.48 | \$0.00 | \$146.48 | | \$131.84 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400 | | | | | | | | |
| 12/15/2017 | ROULHAC, DEBRA | AM FUNDS AMERICAN BALANCED A | 0.00 | 0.31 | 0.00 | 0.31 | 90.0 | 0.28 |
| 12/15/2017 | ROULHAC, DEBRA | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 12/20/2017 | ROULHAC, DEBRA | CENTER COAST MLP FOCUS FUND CLASS A | 0.00 | 0.06 | 0.00 | 0.06 | 90.0 | 0.05 |
| 12/18/2017 | CARROLLTON, DONNA | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 1.64 | 0.00 | 1.64 | 90.0 | 1.48 |
| 12/18/2017 | CARROLLTON, STEVE | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 1.18 | 0.00 | 1.18 | 90.0 | 1.06 |
| * C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL * | | | \$0.00 | \$3.30 | \$0.00 | \$3.30 | | \$2.97 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$0.00 | \$149.78 | \$0.00 | \$149.78 | | \$134.81 |

ADJUSTMENTS

| | | | | | | | | |
|---------------------------|-----------------------------------|------|--------|--------|--------|--------|--|---------|
| 01/15/2018 | *CREDIT CARD PYT: CREDIT CARD PYT | 0.00 | | | | | | 575.00 |
| 01/15/2018 | *EO INS: CURRENT MONTH INS | 0.00 | | | | | | -300.00 |
| 01/15/2018 | *TECH: CURRENT MONTH TECH | 0.00 | | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$134.81 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|----------|
| Check Amount | \$134.81 |
| Year-to-date Gross | \$149.78 |
| Year-to-date Earnings | \$134.81 |

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^Date - Split Trade - full Investment Amount & Gross Commission displayed