

**COMMISSION STATEMENT****February 15, 2018**DAVID KOTZ  
601 Mine Brook Rd

BROKER #: K135 Terminated 05/04/2017

BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/10/2018	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	0.0	0.00
01/24/2018	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	1,500.00	0.00	0.00	0.00	0.0	0.00
01/10/2018	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.00	0.00	0.00	0.0	0.00
01/10/2018	KREISWIRTH, SUZANNE	SUNAMERICA US GOVT SECURITIES CL C	0.00	0.00	0.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,500.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/15/2017	GALBRAITH, LORRAINE	JOHNSON & JOHNSON COM	-40,700.80	0.00	-22.00	22.00	0.0	0.00
12/15/2017	GALBRAITH, LORRAINE	JOHNSON & JOHNSON COM	40,700.85	0.00	22.00	-22.00	0.0	0.00
* STOCKS SUBTOTAL *			\$0.05	\$0.00	\$0.00	\$0.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,500.05	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$11.87</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>