



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT July 15, 2017

WILLIAM WALSH
2565 West Maple

BROKER #: W127
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/13/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	29.83	0.00	29.83	87.0	25.95
06/13/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.21	0.00	3.21	87.0	2.79
06/09/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.61	0.00	6.61	87.0	5.75
06/09/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.05	0.00	0.05	87.0	0.04
06/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.65	0.00	1.65	87.0	1.44
06/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.28	0.00	0.28	87.0	0.24
06/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.07	0.00	0.07	87.0	0.06
06/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	11.25	0.00	11.25	87.0	9.79
06/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	0.01	0.00	0.01	87.0	0.01
06/09/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	42.77	0.00	42.77	87.0	37.21
06/09/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	87.0	0.10
06/09/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	87.0	0.97
06/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.70	0.00	13.70	87.0	11.92
06/09/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.03	0.00	34.03	87.0	29.61
06/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	77.58	0.00	77.58	87.0	67.49
06/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.29	0.00	14.29	87.0	12.43
06/09/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.08	0.00	13.08	87.0	11.38
06/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	45.48	0.00	45.48	87.0	39.57
06/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.46	0.00	15.46	87.0	13.45
06/16/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	6,300.00	0.00	0.00	0.00	87.0	0.00
06/09/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.21	0.00	4.21	87.0	3.66
06/09/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.37	0.00	0.37	87.0	0.32
06/09/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.56	0.00	4.56	87.0	3.97
06/09/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.89	0.00	4.89	87.0	4.25
06/13/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.29	0.00	9.29	87.0	8.08
06/09/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.07	0.00	1.07	87.0	0.93

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/09/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	12.65	0.00	12.65	87.0	11.01
06/09/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.04	0.00	1.04	87.0	0.90
06/09/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.98	0.00	9.98	87.0	8.68
06/09/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.26	0.00	1.26	87.0	1.10
06/09/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	13.62	0.00	13.62	87.0	11.85
06/09/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.37	0.00	2.37	87.0	2.06
06/09/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	13.04	0.00	13.04	87.0	11.34
06/09/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	20.42	0.00	20.42	87.0	17.77
06/09/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.78	0.00	1.78	87.0	1.55
06/08/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.00	0.00	7.00	87.0	6.09
06/09/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	44.93	0.00	44.93	87.0	39.09
06/09/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	7.86	0.00	7.86	87.0	6.84
06/09/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.06	0.00	1.06	87.0	0.92
06/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.62	0.00	4.62	87.0	4.02
06/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.54	0.00	5.54	87.0	4.82
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
06/09/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.76	0.00	1.76	87.0	1.53
06/09/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	9.49	0.00	9.49	87.0	8.26
06/16/2017	MURPHY, DANIEL	JH REGIONAL BANK A	7,500.00	0.00	23.00	-23.00	87.0	-20.01
06/09/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.43	0.00	0.43	87.0	0.37
06/09/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	25.33	0.00	25.33	87.0	22.04
06/09/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	51.85	0.00	51.85	87.0	45.11
06/09/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.07	0.00	10.07	87.0	8.76
06/09/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.15	0.00	10.15	87.0	8.83
06/09/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.07	0.00	1.07	87.0	0.93
06/09/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.65	0.00	1.65	87.0	1.44
06/09/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	14.89	0.00	14.89	87.0	12.95
06/09/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.30	0.00	0.30	87.0	0.26
06/09/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	5.97	0.00	5.97	87.0	5.19
06/09/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.25	0.00	7.25	87.0	6.31
06/09/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	8.84	0.00	8.84	87.0	7.69
06/09/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	13.69	0.00	13.69	87.0	11.91
06/09/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.49	0.00	0.49	87.0	0.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/22/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
06/22/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.47	0.00	0.47	87.0	0.41
06/22/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.12	0.00	1.12	87.0	0.97
06/22/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	87.0	0.19
* MUTUAL FUNDS SUBTOTAL *			\$13,800.00	\$647.39	\$23.00	\$624.39		\$543.21
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/05/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,824,699.15	812.58	0.00	812.58	87.0	706.94
07/05/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,293,255.80	531.47	0.00	531.47	87.0	462.38
07/05/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	885,828.14	364.04	0.00	364.04	87.0	316.71
07/05/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	424,728.28	174.55	0.00	174.55	87.0	151.86
07/05/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	526,202.07	216.25	0.00	216.25	87.0	188.14
07/05/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	352,079.92	144.69	0.00	144.69	87.0	125.88
07/05/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,296,087.23	532.64	0.00	532.64	87.0	463.40
07/05/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	265,533.63	109.12	0.00	109.12	87.0	94.93
07/05/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,736,113.27	713.47	0.00	713.47	87.0	620.72
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	963,545.89	197.99	0.00	197.99	87.0	172.25
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,104,087.06	113.43	0.00	113.43	87.0	98.68
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,160,368.66	221.96	0.00	221.96	87.0	193.11
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,850,420.65	585.70	0.00	585.70	87.0	509.56
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,650,315.93	339.11	0.00	339.11	87.0	295.03
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	570,499.57	117.23	0.00	117.23	87.0	101.99
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	40,158.39	8.25	0.00	8.25	87.0	7.18
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,307.17	2.94	0.00	2.94	87.0	2.56
/ /	COMMUNITY HOUSE	NWL POOLED FXD	280.59	0.06	0.00	0.06	87.0	0.05
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	470,816.07	116.09	0.00	116.09	87.0	101.00
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	47,867.72	11.80	0.00	11.80	87.0	10.27
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	95,009.04	19.52	0.00	19.52	87.0	16.98
06/30/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	9,628.83	6.02	0.00	6.02	87.0	5.24
06/30/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	9,628.83	6.02	0.00	6.02	87.0	5.24
06/30/2017	BJEDOV, MARK	PRU AS APEX II	18,145.74	11.34	0.00	11.34	87.0	9.87
06/30/2017	BJEDOV, MARK	PRU AS APEX II	18,145.74	45.36	0.00	45.36	87.0	39.46
06/30/2017	ORMISTON, BARBARA	PRU AS APEX II	54,155.30	33.85	0.00	33.85	87.0	29.45
06/30/2017	ORMISTON, BARBARA	PRU AS APEX II	54,155.30	135.39	0.00	135.39	87.0	117.79

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	50,190.66	31.37	0.00	31.37	87.0	27.29
06/30/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	576.79	0.00	576.79	87.0	501.81
06/30/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	64.41	0.00	64.41	87.0	56.04
* VARIABLE ANNUITIES SUBTOTAL *			\$19,786,254.63	\$6,243.44	\$0.00	\$6,243.44		\$5,431.81
*** BROKER TRANSACTIONS TOTAL ***			\$19,800,054.63	\$6,890.83	\$23.00	\$6,867.83		\$5,975.02

ADJUSTMENTS

07/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2017	*RBC: ADMIN	0.00						-25.00
07/15/2017	*TECHNOLOGY: ADMIN - JILL DARGO	0.00						-100.00
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-700.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,975.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-700.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,275.02
Year-to-date Gross	\$81,162.77
Year-to-date Earnings	\$70,054.10

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