

**COMMISSION STATEMENT****January 31, 2018**

WILLIAM LAYMAN
4321 Flagstaff Cove

BROKER #: L129
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-----------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 01/16/2018 | BRUNTON, EMILY | CLS INVESTMENTS | 51,493.51 | 120.72 | 0.00 | 120.72 | 45.0 | 54.32 |
| 01/16/2018 | BRUNTON, EMILY | CLS INVESTMENTS | 49,999.99 | 129.54 | 0.00 | 129.54 | 45.0 | 58.29 |
| 01/16/2018 | COLE, TIMOTHY | CLS INVESTMENTS | 4,418.27 | 9.89 | 0.00 | 9.89 | 45.0 | 4.45 |
| 01/16/2018 | COLE, TIMOTHY | CLS INVESTMENTS | 49,999.99 | 124.29 | 0.00 | 124.29 | 45.0 | 55.93 |
| 01/16/2018 | REHM, TAMARA | CLS INVESTMENTS | 16,010.89 | 39.48 | 0.00 | 39.48 | 45.0 | 17.77 |
| 01/16/2018 | ZIMMERMAN, PHYLLIS | CLS INVESTMENTS | 20,265.26 | 46.41 | 0.00 | 46.41 | 45.0 | 20.88 |
| 01/16/2018 | ZIMMERMAN, PHYLLIS | CLS INVESTMENTS | 49,999.99 | 126.85 | 0.00 | 126.85 | 45.0 | 57.08 |
| * RIA SUBTOTAL * | | | \$242,187.90 | \$597.18 | \$0.00 | \$597.18 | | \$268.72 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$242,187.90 | \$597.18 | \$0.00 | \$597.18 | | \$268.72 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$268.72 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$16.49 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|----------|
| Check Amount | \$285.21 |
| Year-to-date Gross | \$597.18 |
| Year-to-date Earnings | \$268.72 |