



Concorde Investment Services, LLC

08/14/2017
Page No. 1

COMMISSION STATEMENT August 15, 2017

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.96	0.00	2.96	0.0	0.00
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.61	0.00	1.61	0.0	0.00
08/01/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.37	0.00	1.37	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.37	0.00	1.37	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.44	0.00	3.44	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.12	0.00	13.12	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.58	0.00	0.58	0.0	0.00
08/01/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	0.00
07/31/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	32.28	0.00	32.28	0.0	0.00
07/31/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	42.04	0.00	42.04	0.0	0.00
07/31/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	62.43	0.00	62.43	0.0	0.00
07/31/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	67.05	0.00	67.05	0.0	0.00
07/31/2017	LUND, JIM	FRANKLIN INCOME A	0.00	4.00	0.00	4.00	0.0	0.00
07/31/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.84	0.00	0.84	0.0	0.00
07/31/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.63	0.00	31.63	0.0	0.00
07/31/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	195.61	0.00	195.61	0.0	0.00
07/31/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.27	0.00	2.27	0.0	0.00
07/31/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.00
07/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.41	0.00	0.41	0.0	0.00
07/31/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	50.59	0.00	50.59	0.0	0.00
07/31/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	77.34	0.00	77.34	0.0	0.00
07/31/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	0.00	1.07	0.00	1.07	0.0	0.00
07/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	22.71	0.00	22.71	0.0	0.00
07/31/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	68.48	0.00	68.48	0.0	0.00
07/31/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	7.57	0.00	7.57	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	STARK, TONI	FRANKLIN INCOME C	0.00	26.67	0.00	26.67	0.0	0.00
07/31/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.76	0.00	3.76	0.0	0.00
07/31/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.45	0.00	1.45	0.0	0.00
07/31/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.32	0.00	2.32	0.0	0.00
07/31/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.68	0.00	4.68	0.0	0.00
07/31/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.11	0.00	22.11	0.0	0.00
07/31/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.14	0.00	26.14	0.0	0.00
07/31/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	81.01	0.00	81.01	0.0	0.00
07/31/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.97	0.00	0.97	0.0	0.00
07/31/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	99.90	0.00	99.90	0.0	0.00
07/31/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	65.04	0.00	65.04	0.0	0.00
08/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
07/31/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.01	0.00	20.01	0.0	0.00
07/31/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.41	0.00	5.41	0.0	0.00
07/31/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.01	0.00	4.01	0.0	0.00
07/31/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	19.62	0.00	19.62	0.0	0.00
07/31/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	29.85	0.00	29.85	0.0	0.00
07/31/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.24	0.00	1.24	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$375.00	\$1,115.98	\$0.00	\$1,115.98		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$375.00	\$1,115.98	\$0.00	\$1,115.98		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$6,563.07
Year-to-date Earnings	\$0.00