

**COMMISSION STATEMENT****March 15, 2018**

**BARRY, L122 LE MAY**  
2242 Camden Ave  
Suite 102  
San Jose, CA 95124

**BROKER #: L122**  
**BRANCH: AMBAR FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
03/05/2018	FELDSTEIN, GARY	HPI FUND VI LLC	408,163.27	16,326.53	0.00	16,326.53	90.0	14,693.88
		* PRIVATE PLACEMENT SUBTOTAL *	\$408,163.27	\$16,326.53	\$0.00	\$16,326.53		\$14,693.88
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/26/2018	LEMAY, MONIQUE	ALTEGRIS WINTON FUTURES FUND LP CL	10,357.68	17.44	0.00	17.44	90.0	15.70
02/26/2018	VIZIR, VITALI	ALTEGRIS WINTON FUTURES FUND LP CL	51,788.46	87.22	0.00	87.22	90.0	78.50
02/26/2018	BARDELL, PAULA	ALTEGRIS WINTON FUTURES FUND LP CL	12,671.88	21.34	0.00	21.34	90.0	19.21
02/16/2018	DINAPOLI TRUST, BENNY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	90.0	0.93
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$74,818.02	\$127.03	\$0.00	\$127.03		\$114.34
		*** DIRECT TRANSACTIONS TOTAL ***	\$482,981.29	\$16,453.56	\$0.00	\$16,453.56		\$14,808.22

**ADJUSTMENTS**

03/15/2018	*: TECHNOLOGY AI INSIGHT	0.00	-7.00
03/15/2018	*: TECHNOLOGY DOCUSIGN	0.00	-18.00
03/15/2018	*: TECHNOLOGY GLOBAL RELAY - WEBSIT	0.00	-15.00
03/15/2018	*: TECHNOLOGY LASER APP	0.00	-18.00
03/15/2018	*: TECHNOLOGYGLOBAL RELAY - FACEBOO	0.00	-5.00
03/15/2018	*CONCIERGE SVC: 210112 LEE	0.00	-50.00
03/15/2018	*CONCIERGE SVC: 210121 LEE	0.00	-50.00
03/15/2018	*CONCIERGE SVC: 210652 FELDSTEIN	0.00	-50.00
03/15/2018	*CONCIERGE SVC: 210898 FELDSTEIN	0.00	-50.00
03/15/2018	*CONCIERGE SVC: 211018 DINAPOLI	0.00	-50.00
03/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00	-300.00
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-9.99
03/15/2018	*TECHNOLOGY: ALBRIDGE LIGHT	0.00	-76.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
03/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-878.99

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,808.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-878.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,929.23
Year-to-date Gross	\$106,922.06
Year-to-date Earnings	\$96,108.18

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
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