

All Companies  
**STATEMENT**  
**June 20, 2018**

**KEVIN BORAD**  
9560 Topanga Canyon Blvd Suite  
SUITE 204  
Chatsworth, CA 91311

**BROKER #: 128**  
**BRANCH:**

**COMMISSION STATEMENT for KEVIN BORAD**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u><b>BROKER TRANSACTIONS</b></u>									
<u><b>PRODUCT CATEGORY: MUTUAL FUNDS</b></u>									
05/04/0018	#81154080603	HUSSAIN	COLLEGE BOUND 76220Y778	500.00	15.00	93.0	0.00	13.95	13.95
05/04/0018	#070500967275	PATEL, ANKITA	PIMCO INCOME FUND CLASS C	159,100.00	1,590.00	93.0	0.00	1,478.70	1,478.70
05/05/0018	#070500930008	PATEL, ANKITA	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
05/01/2018	#000000000603163	SHINDE,	FRANKLIN TEMPLETON FUNDS CALIF TAX	2,000.00	20.00	93.0	0.00	18.60	18.60
05/01/2018	#07050089069	PATELANIKN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
05/01/2018	#07050089071	PATELAMARN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
05/02/2018	#5296157570	LUNAGBHARR	BLCKRCK	0.00	5.17	93.0	0.00	4.81	4.81
05/02/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	380.55	3.81	93.0	0.00	3.54	3.54
05/02/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
05/02/2018	#07050075313	ABID, HUSSA	PIMCO INCOME FUND CLASS C	1,647.97	16.48	93.0	0.00	15.33	15.33
05/02/2018	#07050075318	ABID, HUSSA	PIMCO INCOME FUND CLASS C	4,657.38	46.57	93.0	0.00	43.31	43.31
05/02/2018	#07050075326	ABID, HUSSA	PIMCO INCOME FUND CLASS C	2,768.95	27.69	93.0	0.00	25.75	25.75
05/02/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
05/02/2018	#07050096628		PIMCO INCOME FUND CLASS C	1,000.00	10.00	93.0	0.00	9.30	9.30
05/02/2018	#07050096636	ABID, HUSSA	PIMCO INCOME FUND CLASS C	-10,373.73	-103.74	93.0	0.00	-96.48	-96.48
05/02/2018	#07050096636	ABID, HUSSA	PIMCO INCOME FUND CLASS C	10,373.73	103.74	93.0	0.00	96.48	96.48
05/03/2018	#07050096636	ABID, HUSSA	PIMCO INCOME FUND CLASS C	1,299.43	12.99	93.0	0.00	12.08	12.08
05/09/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	112.80	1.13	93.0	0.00	1.05	1.05
05/09/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	595.00	5.95	93.0	0.00	5.53	5.53
05/09/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	134.85	2.36	93.0	0.00	2.19	2.19
05/09/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	157.33	2.75	93.0	0.00	2.56	2.56
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	17.16	0.17	93.0	0.00	0.16	0.16
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	35.00	0.35	93.0	0.00	0.33	0.33
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	36.78	0.37	93.0	0.00	0.34	0.34
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	75.00	0.75	93.0	0.00	0.70	0.70
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	36.78	0.37	93.0	0.00	0.34	0.34
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	75.00	0.75	93.0	0.00	0.70	0.70
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	17.16	0.17	93.0	0.00	0.16	0.16

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	35.00	0.35	93.0	0.00	0.33	0.33
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	19.62	0.20	93.0	0.00	0.19	0.19
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	40.00	0.40	93.0	0.00	0.37	0.37
05/09/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	230.75	2.31	93.0	0.00	2.15	2.15
05/09/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	384.60	3.85	93.0	0.00	3.58	3.58
05/09/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	259.60	2.60	93.0	0.00	2.42	2.42
05/09/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	432.70	4.33	93.0	0.00	4.03	4.03
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	19.62	0.20	93.0	0.00	0.19	0.19
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	40.00	0.40	93.0	0.00	0.37	0.37
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	36.78	0.37	93.0	0.00	0.34	0.34
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	75.00	0.75	93.0	0.00	0.70	0.70
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	9.81	0.10	93.0	0.00	0.09	0.09
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	20.00	0.20	93.0	0.00	0.19	0.19
05/09/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	134.85	2.36	93.0	0.00	2.19	2.19
05/09/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	157.34	2.75	93.0	0.00	2.56	2.56
05/09/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	134.85	2.36	93.0	0.00	2.19	2.19
05/09/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	157.34	2.75	93.0	0.00	2.56	2.56
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	22.07	0.22	93.0	0.00	0.20	0.20
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	45.00	0.45	93.0	0.00	0.42	0.42
05/09/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	134.85	2.36	93.0	0.00	2.19	2.19
05/09/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	157.34	2.75	93.0	0.00	2.56	2.56
05/09/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	109.48	1.09	93.0	0.00	1.01	1.01
05/09/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	577.50	5.78	93.0	0.00	5.38	5.38
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	17.16	0.17	93.0	0.00	0.16	0.16
05/09/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	35.00	0.35	93.0	0.00	0.33	0.33
05/09/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	109.47	1.09	93.0	0.00	1.01	1.01
05/09/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	577.50	5.78	93.0	0.00	5.38	5.38
05/09/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	12.26	0.12	93.0	0.00	0.11	0.11
05/09/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	25.00	0.25	93.0	0.00	0.23	0.23
05/10/2018	#000000000016117		FRANKLIN INDIA GROWTH FUND - CLASS	500.00	5.00	93.0	0.00	4.65	4.65
05/10/2018	#0000000000811745		FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	4.65
05/10/2018	#0000000000648788		MUTUAL FINANCIAL SERVICES FUND-CLAS	500.00	5.00	93.0	0.00	4.65	4.65
05/14/2018	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	100.20	1.00	93.0	0.00	0.93	0.93
05/14/2018	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	500.00	5.00	93.0	0.00	4.65	4.65
05/14/2018	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.47
05/14/2018	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.52

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/14/2018	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.47
05/14/2018	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.52
05/15/2018	#0000000000607349	DADHANIA,	FRANKLIN TEMPLETON FUNDS CALIF TAX	150.00	1.50	93.0	0.00	1.40	1.40
05/21/2018	#00082242287	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	2.33
05/21/2018	#00082242341	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	2.33
05/21/2018	#0000000000134813	HUSSASEHA	TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	10.23
05/21/2018	#0000000000153130	HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	10.23
05/21/2018	#0000000000153142	HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	10.23
05/21/2018	#00082242287	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	1.84
05/21/2018	#00082242341	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	1.84
05/21/2018	#00082242287	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	2.33
05/21/2018	#00082242341	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	2.33
05/23/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	158.55	1.59	93.0	0.00	1.48	1.48
05/23/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
05/23/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$185,878.88</b>	<b>\$1,891.73</b>		<b>\$0.00</b>	<b>\$1,759.31</b>	<b>\$0.00</b>	<b>\$1,759.31</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/27/2018	#		PIMCO CORPORATE & INCOME OPPORTUNIT	47,921.80	1,000.00	85.0	0.00	850.00	830.05
04/27/2018	#		PIMCO CORPORATE & INCOME STRATEGY F	47,951.75	1,000.00	85.0	0.00	850.00	830.05
05/08/2018	#		PIMCO CORPORATE & INCOME OPPORTUNIT	48,072.68	1,000.00	85.0	0.00	850.00	830.05
05/08/2018	#		PIMCO CORPORATE & INCOME STRATEGY F	47,969.60	1,000.00	85.0	0.00	850.00	830.05
<b>* STOCKS SUBTOTAL *</b>			<b>\$191,915.83</b>	<b>\$4,000.00</b>		<b>\$0.00</b>	<b>\$3,400.00</b>	<b>\$79.80</b>	<b>\$3,320.20</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/24/2018	#1014537690		PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	20.93
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$22.50</b>		<b>\$0.00</b>	<b>\$20.93</b>	<b>\$0.00</b>	<b>\$20.93</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/30/2018	#0000000000699708	KACHHADIA,	FRANKLIN HIGH YIELD TF INC FD - CLA	0.00	25.54	93.0	0.00	23.75	23.75
04/30/2018	#00000000008158406	BRICKER,	FRANKLIN INCOME FDS SER CL C	0.00	6.37	93.0	0.00	5.92	5.92
04/30/2018	#0000000012112001	BOR VAR	FRANKLIN INCOME FDS SER CL C	0.00	108.55	93.0	0.00	100.95	100.95
04/30/2018	#0000000000016117		FRANKLIN INDIA GROWTH FUND - CLASS	0.00	102.71	93.0	0.00	95.52	95.52
04/30/2018	#0000000000017501	CHIANG,	FRANKLIN INDIA GROWTH FUND - CLASS	0.00	13.29	93.0	0.00	12.36	12.36
04/30/2018	#0000000000017513	HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	0.00	7.22	93.0	0.00	6.71	6.71
04/30/2018	#0000000000017525	HUSSASEHA	FRANKLIN INDIA GROWTH FUND - CLASS	0.00	4.73	93.0	0.00	4.40	4.40
04/30/2018	#0000000000017537	HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	0.00	7.22	93.0	0.00	6.71	6.71

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000000017576	BRICKER, FRANKLIN INDIA GROWTH FUND - CLASS	0.00	4.05	93.0	0.00	3.77	0.00	3.77
04/30/2018	#0000000000654107	HUSSAMARV FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	10.48	93.0	0.00	9.75	0.00	9.75
04/30/2018	#0000000000654133	HUSSAMARV FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	10.48	93.0	0.00	9.75	0.00	9.75
04/30/2018	#0000000000654309	BRICKER, FRANKLIN MUTUAL EUROPEAN FUND CLASS	0.00	15.05	93.0	0.00	14.00	0.00	14.00
04/30/2018	#0000000001483674	HUSSAMARV FRANKLIN RISING DIVS FD CL C	0.00	4.54	93.0	0.00	4.22	0.00	4.22
04/30/2018	#0000000001483813	HUSSAMARV FRANKLIN RISING DIVS FD CL C	0.00	4.54	93.0	0.00	4.22	0.00	4.22
04/30/2018	#0000000001484765	BRICKER, FRANKLIN RISING DIVS FD CL C	0.00	7.76	93.0	0.00	7.22	0.00	7.22
04/30/2018	#0000000001528381	ARGADE, FRANKLIN RISING DIVS FD CL C	0.00	53.24	93.0	0.00	49.51	0.00	49.51
04/30/2018	#0000000001628003	KHURANA, FRANKLIN RISING DIVS FD CL C	0.00	34.64	93.0	0.00	32.22	0.00	32.22
04/30/2018	#0000000001634068	OZA, FRANKLIN RISING DIVS FD CL C	0.00	119.33	93.0	0.00	110.98	0.00	110.98
04/30/2018	#0000000001358946	FRANKLIN TEMPLETON FUND STRATEGIC S	0.00	30.94	93.0	0.00	28.77	0.00	28.77
04/30/2018	#0000000000547101	EVANS, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	199.52	93.0	0.00	185.55	0.00	185.55
04/30/2018	#0000000000580643	11/15/89, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	1,600.52	93.0	0.00	1,488.48	0.00	1,488.48
04/30/2018	#0000000000589251	FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	33.56	93.0	0.00	31.21	0.00	31.21
04/30/2018	#0000000000596763	SHINDE, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	3.49	93.0	0.00	3.25	0.00	3.25
04/30/2018	#0000000000602704	BISWAS, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	18.37	93.0	0.00	17.08	0.00	17.08
04/30/2018	#0000000000603163	SHINDE, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	36.61	93.0	0.00	34.05	0.00	34.05
04/30/2018	#0000000000603365	SHARMA, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	16.58	93.0	0.00	15.42	0.00	15.42
04/30/2018	#0000000000607349	DADHANIA, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	12.80	93.0	0.00	11.90	0.00	11.90
04/30/2018	#0000000000611475	BHAKTA, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	381.38	93.0	0.00	354.68	0.00	354.68
04/30/2018	#0000000000620308	SHARMA, FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	2.61	93.0	0.00	2.43	0.00	2.43
04/30/2018	#0000000000618280	ARGADE, FRANKLIN TEMPLETON FUNDS CUST FDS I	0.00	56.12	93.0	0.00	52.19	0.00	52.19
04/30/2018	#0000000000811745	FRKLN GOLD & PRECIOUS METALS FUND C	0.00	62.12	93.0	0.00	57.77	0.00	57.77
04/30/2018	#0000000000854758	KHURANA, FRKLN GOLD & PRECIOUS METALS FUND C	0.00	11.08	93.0	0.00	10.30	0.00	10.30
04/30/2018	#0000000000648788	MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	102.54	93.0	0.00	95.36	0.00	95.36
04/30/2018	#0000000000648941	ARGADE, MUTUAL FINANCIAL SERVICES FUND-CLAS	0.00	100.06	93.0	0.00	93.06	0.00	93.06
04/30/2018	#0000000000104947	HUSSAMARV TEM EMERGING MARKETS SMALL CAP FD C	0.00	13.79	93.0	0.00	12.82	0.00	12.82
04/30/2018	#0000000000104962	HUSSASEHA TEM EMERGING MARKETS SMALL CAP FD C	0.00	6.97	93.0	0.00	6.48	0.00	6.48
04/30/2018	#0000000000104974	HUSSAMARV TEM EMERGING MARKETS SMALL CAP FD C	0.00	13.79	93.0	0.00	12.82	0.00	12.82
04/30/2018	#0000000000105066	BRICKER, TEM EMERGING MARKETS SMALL CAP FD C	0.00	7.13	93.0	0.00	6.63	0.00	6.63
04/30/2018	#0000000000007070	MARTINEZ, TEM INTERNATIONAL BOND FUND - CLASS	0.00	48.75	93.0	0.00	45.34	0.00	45.34
04/30/2018	#0000000001725100	HUSSAMARV TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	14.94	93.0	0.00	13.89	0.00	13.89
04/30/2018	#0000000001725112	HUSSASEHA TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	3.88	93.0	0.00	3.61	0.00	3.61
04/30/2018	#0000000001725124	HUSSAMARV TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	14.94	93.0	0.00	13.89	0.00	13.89
04/30/2018	#0000000001725148	BRICKER, TEMPLETON DEV MRKTS TR FUND CLASS C	0.00	7.37	93.0	0.00	6.85	0.00	6.85
04/30/2018	#0000000000048203	ARGADE, TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	17.66	93.0	0.00	16.42	0.00	16.42

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000000000095632	KHURANA, TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	5.22	93.0	0.00	4.85	0.00	4.85
04/30/2018	#000000000117388	CHATTERJEE, TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	87.62	93.0	0.00	81.49	0.00	81.49
04/30/2018	#000000000134813	HUSSASEHA TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	28.96	93.0	0.00	26.93	0.00	26.93
04/30/2018	#000000000153130	HUSSAMARV TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.01	93.0	0.00	12.10	0.00	12.10
04/30/2018	#000000000153142	HUSSAMARV TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	13.01	93.0	0.00	12.10	0.00	12.10
04/30/2018	#000000000154676	BRICKER, TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	0.38	93.0	0.00	0.35	0.00	0.35
05/07/2018	#01482511647	VARIOUS BORAD FA 529 INTERMED BOND PORT-CL A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
05/07/2018	#01485867699	VARIOUS BORAD FA MEGA CAP STOCK FUND	0.00	12.32	93.0	0.00	11.46	0.00	11.46
05/07/2018	#01485867731	VARIOUS BORAD FA MEGA CAP STOCK FUND	0.00	17.52	93.0	0.00	16.29	0.00	16.29
05/07/2018	#01446367680	VARIOUS BORAD FA SMALL CAP GROWTH	0.00	28.95	93.0	0.00	26.92	0.00	26.92
05/07/2018	#01446368894	VARIOUS BORAD FA TELECOMMUNICATIONS FUND	0.00	0.47	93.0	0.00	0.44	0.00	0.44
05/07/2018	#01446368720	VARIOUS BORAD FA WORLD LARGE STOCK	0.00	5.91	93.0	0.00	5.50	0.00	5.50
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV CHINA REGION-CL C	0.00	4.87	93.0	0.00	4.53	0.00	4.53
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV COMM EQUIPMENT-CL C	0.00	9.79	93.0	0.00	9.10	0.00	9.10
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV CONVERTIBLE SECURITIES	0.00	7.67	93.0	0.00	7.13	0.00	7.13
05/07/2018	#01488720515	VARIOUS BORAD FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.19	93.0	0.00	0.18	0.00	0.18
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV EMERGING ASIA-CL C	0.00	4.04	93.0	0.00	3.76	0.00	3.76
05/07/2018	#00625301718	VARIOUS BORAD FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.01	93.0	0.00	0.01	0.00	0.01
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV EMERGING MRKTS INC-CL	0.00	3.83	93.0	0.00	3.56	0.00	3.56
05/07/2018	#01475089957	VARIOUS BORAD FIDELITY ADV FREEDOM 2050-CL C	0.00	1.34	93.0	0.00	1.25	0.00	1.25
05/07/2018	#01482544887	VARIOUS BORAD FIDELITY ADV FREEDOM 2050-CL C	0.00	10.55	93.0	0.00	9.81	0.00	9.81
05/07/2018	#01446350454	VARIOUS BORAD FIDELITY ADV FREEDOM 2050-CL C	0.00	33.04	93.0	0.00	30.73	0.00	30.73
05/07/2018	#01446369207	VARIOUS BORAD FIDELITY ADV FREEDOM 2050-CL C	0.00	15.76	93.0	0.00	14.66	0.00	14.66
05/07/2018	#01446369256	VARIOUS BORAD FIDELITY ADV FREEDOM 2050-CL C	0.00	6.70	93.0	0.00	6.23	0.00	6.23
05/07/2018	#01446368852	VARIOUS BORAD FIDELITY ADV GLOBAL CAP APP-CL C	0.00	33.21	93.0	0.00	30.89	0.00	30.89
05/07/2018	#01467041008	VARIOUS BORAD FIDELITY ADV GOLD-CL C	0.00	6.42	93.0	0.00	5.97	0.00	5.97
05/07/2018	#01477917494	VARIOUS BORAD FIDELITY ADV GOLD-CL C	0.00	10.22	93.0	0.00	9.50	0.00	9.50
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV HIGH INCOME ADVANTAGE-	0.00	3.89	93.0	0.00	3.62	0.00	3.62
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV INDUSTRIALS-CL C	0.00	10.95	93.0	0.00	10.18	0.00	10.18
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV MID CAP-CL C	0.00	5.12	93.0	0.00	4.76	0.00	4.76
05/07/2018	#01446368720	VARIOUS BORAD FIDELITY ADV NEW INSIGHTS-CL A	0.00	6.12	93.0	0.00	5.69	0.00	5.69
05/07/2018	#01446368720	VARIOUS BORAD FIDELITY ADV STRATEGIC DIV & INCOME	0.00	5.16	93.0	0.00	4.80	0.00	4.80
05/07/2018	#01439826940	VARIOUS BORAD FIDELITY ADV STRATEGIC INCOME-CL C	0.00	7.76	93.0	0.00	7.22	0.00	7.22
05/07/2018	#01439826965	VARIOUS BORAD FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.51	93.0	0.00	5.12	0.00	5.12
05/07/2018	#01446368894	VARIOUS BORAD FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.98	93.0	0.00	5.56	0.00	5.56
05/07/2018	#01446368852	VARIOUS BORAD FIDELITY ADVISOR DIVIDEND GROWTH	0.00	14.21	93.0	0.00	13.22	0.00	13.22

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
05/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	0.00	6.36	93.0	0.00	5.91	5.91
05/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKETS F	0.00	32.02	93.0	0.00	29.78	29.78
05/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	0.44	93.0	0.00	0.41	0.41
05/07/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	0.00	25.18	93.0	0.00	23.42	23.42
05/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	0.00	3.25	93.0	0.00	3.02	3.02
05/07/2018	#01488720515	VARIOUS BORAD	FIDELITY ADVISOR SER I LEVERAGED CO	0.00	0.18	93.0	0.00	0.17	0.17
05/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	0.36	93.0	0.00	0.33	0.33
05/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII TECHNOLOGY	0.00	0.72	93.0	0.00	0.67	0.67
05/07/2018	#01457786992	VARIOUS BORAD	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.30	93.0	0.00	0.28	0.28
05/07/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	0.00	23.01	93.0	0.00	21.40	21.40
05/07/2018	#01482544838	VARIOUS BORAD	FIDELITY TARGET DATE 2055	0.00	4.37	93.0	0.00	4.06	4.06
05/07/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	0.00	1.98	93.0	0.00	1.84	1.84
05/09/2018	# BHAKTA, CHHAGANBHAI		DAIN TRAILER	0.00	105.14	93.0	0.00	97.78	97.78
05/22/2018	# BHAKTA, CHHAGANBHAI		DAIN TRAILER	18,200.00	864.50	93.0	0.00	803.99	803.99
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$18,200.00</b>	<b>\$4,850.83</b>	<b>\$0.00</b>	<b>\$4,511.23</b>	<b>\$0.00</b>	<b>\$4,511.23</b>	
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$396,294.71</b>	<b>\$10,765.06</b>	<b>\$0.00</b>	<b>\$9,691.47</b>	<b>\$79.80</b>	<b>\$9,611.67</b>	

**ADJUSTMENTS**

06/20/2018	ADMIN FEE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$-569.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,611.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$9,042.67</b>
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