



Concorde Investment Services, LLC

07/16/2018

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COMMISSION STATEMENT

July 15, 2018

ROBIN, E119 EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/06/2018	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
07/06/2018	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
06/26/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
06/26/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
07/02/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
07/05/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
07/05/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
07/02/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
07/05/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
07/06/2018	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
06/25/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.06	0.00	2.06	90.0	1.85
06/25/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	2.75	0.00	2.75	90.0	2.48
06/25/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	62.50	1.72	0.00	1.72	90.0	1.55
06/25/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	37.50	1.03	0.00	1.03	90.0	0.93
06/25/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	1.48	0.00	1.48	90.0	1.33
06/25/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	1.48	0.00	1.48	90.0	1.33
06/25/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	27.30	0.75	0.00	0.75	90.0	0.68
06/25/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	27.30	0.75	0.00	0.75	90.0	0.68
06/25/2018	HEMINGSEN, DAVID	AM FUNDS GROWTH AND INCOME A	1,395.14	69.76	0.00	69.76	90.0	62.78
06/28/2018	HEMINGSEN, DAVID	AM FUNDS GROWTH AND INCOME A	2,093.94	104.70	0.00	104.70	90.0	94.23
07/02/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	32.81	0.90	0.00	0.90	90.0	0.81
07/02/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	32.81	0.90	0.00	0.90	90.0	0.81
07/05/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	5,250.00	52.50	0.00	52.50	90.0	47.25
07/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
06/25/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.06	0.00	2.06	90.0	1.85
06/25/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	2.75	0.00	2.75	90.0	2.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	48.69	1.34	0.00	1.34	90.0	1.21
06/25/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.21	0.80	0.00	0.80	90.0	0.72
06/25/2018	HEMINGSEN, DAVID	AM FUNDS GROWTH PORT A	1,395.14	69.76	0.00	69.76	90.0	62.78
06/28/2018	HEMINGSEN, DAVID	AM FUNDS GROWTH PORT A	2,093.94	104.70	0.00	104.70	90.0	94.23
07/02/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	58.66	1.61	0.00	1.61	90.0	1.45
07/02/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	35.20	0.97	0.00	0.97	90.0	0.87
07/05/2018	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	200.00	2.00	0.00	2.00	90.0	1.80
07/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
07/06/2018	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
07/05/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
07/02/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
07/05/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
07/06/2018	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
06/25/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.06	0.50	0.00	0.50	90.0	0.45
06/25/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.06	0.50	0.00	0.50	90.0	0.45
06/25/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	39.00	1.07	0.00	1.07	90.0	0.96
06/25/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	104.00	2.86	0.00	2.86	90.0	2.57
06/25/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	20.79	0.57	0.00	0.57	90.0	0.51
06/25/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	34.65	0.95	0.00	0.95	90.0	0.86
06/25/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	1.72	0.00	1.72	90.0	1.55
06/25/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	37.50	1.03	0.00	1.03	90.0	0.93
06/25/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	1.48	0.00	1.48	90.0	1.33
06/25/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	1.48	0.00	1.48	90.0	1.33
06/25/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	65.00	1.79	0.00	1.79	90.0	1.61
06/25/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	108.33	2.98	0.00	2.98	90.0	2.68
06/26/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
06/26/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
07/02/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.70	0.46	0.00	0.46	90.0	0.41
07/02/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	16.70	0.46	0.00	0.46	90.0	0.41
07/02/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	125.00	3.44	0.00	3.44	90.0	3.10
07/02/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	46.88	1.29	0.00	1.29	90.0	1.16
07/02/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.25	1.55	0.00	1.55	90.0	1.40
07/02/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	33.75	0.93	0.00	0.93	90.0	0.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2018	GROTHER, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	5,250.00	52.50	0.00	52.50	90.0	47.25
07/05/2018	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$23,082.31	\$582.00	\$0.00	\$582.00		\$523.83
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/15/2018	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	33.71	0.00	33.71	90.0	30.34
06/15/2018	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	32.22	0.00	32.22	90.0	29.00
06/15/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.25	0.00	2.25	90.0	2.03
06/15/2018	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.38	0.00	2.38	90.0	2.14
06/15/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.67	0.00	2.67	90.0	2.40
06/15/2018	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.77	0.00	2.77	90.0	2.49
06/15/2018	SWIFT, STEPHEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.98	0.00	1.98	90.0	1.78
06/15/2018	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.56	0.00	13.56	90.0	12.20
06/15/2018	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.29	0.00	9.29	90.0	8.36
06/15/2018	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	9.41	0.00	9.41	90.0	8.47
06/15/2018	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.98	0.00	7.98	90.0	7.18
06/18/2018	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.03	0.00	6.03	90.0	5.43
06/18/2018	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	58.00	0.00	58.00	90.0	52.20
06/18/2018	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	62.72	0.00	62.72	90.0	56.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$244.97	\$0.00	\$244.97		\$220.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	LANGFALD, ARDIS	LFG TRAILS	113,238.56	70.77	0.00	70.77	90.0	63.69
06/30/2018	LANGFALD, RONALD	LFG TRAILS	351,065.63	219.42	0.00	219.42	90.0	197.48
06/30/2018	DOANE, ELTON	LFG TRAILS	224,621.47	224.62	0.00	224.62	90.0	202.16
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$688,925.66	\$514.81	\$0.00	\$514.81		\$463.33
*** DIRECT TRANSACTIONS TOTAL ***			\$712,007.97	\$1,341.78	\$0.00	\$1,341.78		\$1,207.63

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<u>ADJUSTMENTS</u>								
07/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
07/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
07/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
07/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
07/15/2018		*PERSHING: FOREIGN SAFEKEEPING PNW04526	0.00					-8.00
07/15/2018		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-706.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,207.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-706.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$501.63
Year-to-date Gross	\$196,701.58
Year-to-date Earnings	\$176,060.49

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