

**COMMISSION STATEMENT****August 15, 2018**BRENT, L137 LEE
13423 Kings View LaneBROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	KUHN, KENNETH	JNL PERSPECTIVE L	0.00	221.38	0.00	221.38	90.0	199.24
07/31/2018	KUHN, KRISTINE	JNL PERSPECTIVE L	0.00	119.30	0.00	119.30	90.0	107.37
07/31/2018	FREIH, DIANE	JNL PERSPECTIVE L	0.00	90.07	0.00	90.07	90.0	81.06
07/31/2018	KEMPER, SHERRY	JNL PERSPECTIVE L	0.00	177.35	0.00	177.35	90.0	159.62
07/31/2018	RETZLAFF, SANDRA	JNL PERSPECTIVE L	0.00	32.88	0.00	32.88	90.0	29.59
07/31/2018	GRANT, GREGORY	JNL PERSPECTIVE L	0.00	82.07	0.00	82.07	90.0	73.86
07/31/2018	MONETTE, RONALD	JNL PERSPECTIVE L	0.00	52.28	0.00	52.28	90.0	47.05
07/31/2018	LIESENER, MARTY	JNL PERSPECTIVE L	0.00	37.70	0.00	37.70	90.0	33.93
07/31/2018	BARTINGALE, JAMES	JNL PERSPECTIVE L	0.00	54.36	0.00	54.36	90.0	48.92
07/31/2018	MONETTE, RONALD	JNL PERSPECTIVE L	0.00	178.70	0.00	178.70	90.0	160.83
07/31/2018	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	0.00	55.00	0.00	55.00	90.0	49.50
07/31/2018	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	0.00	15.66	0.00	15.66	90.0	14.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,116.75	\$0.00	\$1,116.75		\$1,005.06
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1,116.75	\$0.00	\$1,116.75		\$1,005.06

SPLIT TRANSACTIONS**BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10**

08/01/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
08/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
08/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	5.18	0.00	5.18	90.0	4.66
06/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
07/03/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
08/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
09/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
10/02/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #10</u>								
11/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
12/01/2017	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
01/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
02/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
03/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
04/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
05/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
06/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
07/02/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
08/01/2018	KLINE, STEWART	COLLEGE 2027 FUND-529A	280.00	4.90	0.00	4.90	90.0	4.41
08/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	3.43	0.00	3.43	90.0	3.09
08/01/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	180.00	3.15	0.00	3.15	90.0	2.84
08/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	2.66	0.00	2.66	90.0	2.39
08/02/2018	KLINE, STEWART	COLLEGE 2033 FUND-529A	160.00	2.80	0.00	2.80	90.0	2.52
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$5,785.00	\$97.67	\$0.00	\$97.67		\$87.91
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
07/31/2018	SHAHIN, SALEM	ANHEUSER BUSCH INBEV SA NV SPONSORE	-6,092.46	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	AXALTA COATING SYSTEMS LTD SHS ISIN	-2,117.57	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BANK OF NEW YORK MELLON CORP COM	-3,420.26	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BARCLAYS BK PLC IPATH BLOOMBERG COM	-33,740.62	11.00	12.06	-1.06	90.0	-0.95
07/31/2018	SHAHIN, SALEM	BERKLEY W R CORPORATION	-3,791.89	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BERKSHIRE HATHAWAY INC DEL CL B NEW	-3,949.13	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	BROOKFIELD ASSET MGMT INC VTG SHS C	-4,425.06	11.00	11.25	-0.25	90.0	-0.23
07/17/2018	KASMER, SARA	COLLEGE 2033 FUND-529A	0.00	0.22	0.00	0.22	90.0	0.20
07/31/2018	SHAHIN, SALEM	CONDUENT INC COM	-2,426.41	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	CVS CAREMARK CORP	-4,232.27	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	DISNEY WALT CO DISNEY COM	-2,270.63	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	DOMINION RES INC VA COM	-6,423.44	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	DOUBLELINE CORE FIXED INCOME FUND C	-85,204.59	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	DOUBLELINE EMERGING MARKETS FIXED I	-69,148.94	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	DOUBLELINE FLOATING RATE FUND CLASS	-109,691.47	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	ENBRIDGE INC COM ISIN#CA29250N1050	-5,035.83	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	EXPEDITORS INTERNATIONAL OF	-2,661.79	11.00	11.25	-0.25	90.0	-0.23

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
07/31/2018	SHAHIN, SALEM	FIRST EAGLE OVERSEAS FD CLASS I	-79,554.13	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	FPA CRESCENT PORTFOLIO	-70,707.02	11.00	11.00	0.00	90.0	0.00
08/02/2018	KNUDSVIG, GENE	HPI FUND VI LLC	75,000.00	2,250.00	0.00	2,250.00	90.0	2,025.00
07/31/2018	SHAHIN, SALEM	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-83,193.20	11.00	11.75	-0.75	90.0	-0.68
07/31/2018	SHAHIN, SALEM	ISHARES TR EXPONENTIAL TECHNOLOGIES	-76,044.49	11.00	12.51	-1.51	90.0	-1.36
07/31/2018	SHAHIN, SALEM	LIBERTY GLOBAL PLC SHS CL C ISIN#GB	-3,242.86	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	LOEWS CORP COM	-4,561.29	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	MATTHEWS PACIFIC TIGER FUND INVESTO	-50,814.85	0.00	0.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	MUZINICH CREDIT OPPORTUNITIES FUND	-125,736.08	11.00	11.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	NESTLE SA SPONSORED ADR REPSTG REG	-4,481.68	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	NEWS CORP NEW CL A	-2,486.85	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	PIMCO ALL ASSET	-68,276.31	11.00	11.00	0.00	90.0	0.00
07/31/2018	SHAHIN, SALEM	ROYAL GOLD INC	-4,671.76	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	SANOFI SPONS ADR ISIN#US80105N1054	-5,643.77	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	SCHLUMBERGER LTD COM ISIN#AN8068571	-2,026.55	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	SPDR SER TR S&P BIOTECH ETF	-102,844.21	11.00	11.81	-0.81	90.0	-0.73
07/31/2018	SHAHIN, SALEM	SPDR SER TR SPDR BLOOMBERG BARCLAYS	-16,941.08	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	TJX COMPANIES INC (NEW)	-2,920.23	11.00	11.25	-0.25	90.0	-0.23
07/31/2018	SHAHIN, SALEM	VANGUARD SHORT-TERM INFLATION-PROTE	-33,340.80	11.00	11.00	0.00	90.0	0.00
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$-1,007,119.52	\$2,569.22	\$328.38	\$2,240.84		\$2,016.65
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
07/17/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
07/17/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
07/24/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
07/16/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
07/31/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.09	0.00	0.09	90.0	0.08
07/23/2018	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	0.00	0.20	0.00	0.20	90.0	0.18
07/25/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.07	0.00	0.07	90.0	0.06
07/25/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.55	0.00	0.55	90.0	0.50
07/11/2018	DONAI, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.31	0.00	0.31	90.0	0.28
07/30/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.05	0.00	0.05	90.0	0.05
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$0.00	\$1.61	\$0.00	\$1.61		\$1.46

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
08/02/2018	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	15.59	0.00	15.59	90.0	14.03
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$0.00	\$15.59	\$0.00	\$15.59		\$14.03
<u>BROKER: TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA #337</u>								
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN GROWTH OPP A	0.00	0.03	0.00	0.03	90.0	0.03
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	90.0	0.07
07/23/2018	LIFFRIG, ZOANNI	FRANKLIN RISING DIVIDENDS A	0.00	0.29	0.00	0.29	90.0	0.26
07/23/2018	LIFFRIG, ZOANNI	FT FOUNDING FUNDS ALLOC A	0.00	0.36	0.00	0.36	90.0	0.32
* TYLE-JEN-BRE-BRI TRU-TJB LIFFR-DONA SUBTOTAL *			\$0.00	\$0.76	\$0.00	\$0.76		\$0.68
*** SPLIT TRANSACTIONS TOTAL ***			\$-1,001,334.52	\$2,684.85	\$328.38	\$2,356.47		\$2,120.73

ADJUSTMENTS

08/15/2018	*CONCIERGE SVC: 220035 JUMA, LLC	0.00	-25.00
08/15/2018	*CONCIERGE SVC: 220041 JUMA, LLC	0.00	-25.00
08/15/2018	*CONCIERGE SVC: 220059 HOLLENBECK	0.00	-20.00
08/15/2018	*CONCIERGE SVC: 220102 HOLLENBECK	0.00	-20.00
08/15/2018	*CONCIERGE SVC: 220141 HOLLENBECK	0.00	-20.00
08/15/2018	*CONCIERGE SVC: 220358 PARIZEK	0.00	-12.50
08/15/2018	*CONCIERGE SVC: 220620 TOOLEY	0.00	-11.50
08/15/2018	*CONCIERGE SVC: 220632 TOOLEY	0.00	-11.50
08/15/2018	*CONCIERGE SVC: 220913 KNUDSVIG	0.00	-25.00
08/15/2018	*CONCIERGE SVC: 220975 SHAHIN	0.00	-25.00
08/15/2018	*CONCIERGE SVC: 221034 RASMUSSEN	0.00	-20.00
08/15/2018	*CONCIERGE SVC: 221046 RASMUSSEN	0.00	-20.00
08/15/2018	*CONCIERGE SVC: 221052 RASMUSSEN	0.00	-20.00
08/15/2018	*CONCIERGE SVC: 221239 KNUDSVIG	0.00	-25.00
08/15/2018	*CONCIERGE SVC: 221245 KNUDSVIG	0.00	-25.00
08/15/2018	*CONCIERGE SVC: 221295 STIERNAGLE	0.00	-12.50
08/15/2018	*CONCIERGE SVC: 221423 MCIVOR	0.00	-8.50
08/15/2018	*CONCIERGE SVC: 221495 SHAHIN	0.00	-25.00
08/15/2018	*EO INS: CURRENT MONTH INS	0.00	-300.00
08/15/2018	*PERSHING: DOMESTIC ADDRESS	0.00	-0.75

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<u>ADJUSTMENTS</u>								
		VERIFICATION						
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.11
08/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.40
08/15/2018		*PERSHING: OVERNIGHT CHECK DELIVERY	0.00					-7.00
		FEE PNW120129						
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.50
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.60
08/15/2018		*RBC: WEALTHSTATION - BUMGARDNER	0.00					-25.00
08/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
08/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,061.86

BROKER COMMISSION TOTALS

Broker Transactions	\$1,005.06
Split Transactions	\$2,120.73
Override Transactions	\$0.00
Adjustments	\$-1,061.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,063.93
Year-to-date Gross	\$104,428.95
Year-to-date Earnings	\$93,086.45

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