

All Companies
STATEMENT
March 20, 2018

STEPHEN PLOUCHER

BROKER #: 038
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/26/2018 #	SOMMERS, LESTER	FIDELITY ADV TECHNOLOGY-CL A	B	40,000.00	1,100.00	0.00	0.00	1,100.00	90.0	990.00
* MUTUAL FUNDS SUBTOTAL *				\$40,000.00	\$1,100.00	\$0.00	\$0.00	\$1,100.00		\$990.00

PRODUCT CATEGORY: STOCKS

02/21/2018 #	SOMMERS, LESTER	BEST BUY COMPANY INC	S	43,227.24	10.00	0.00	0.00	10.00	90.0	9.00
* STOCKS SUBTOTAL *				\$43,227.24	\$10.00	\$0.00	\$0.00	\$10.00		\$9.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.15	0.00	0.00	2.15	90.0	1.94
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.18	0.00	0.00	2.18	90.0	1.96
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.09	0.00	0.00	2.09	90.0	1.88
02/09/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	13.07	0.00	0.00	13.07	90.0	11.76
02/09/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	3.52	0.00	0.00	3.52	90.0	3.17
02/09/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	6.43	0.00	0.00	6.43	90.0	5.79
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.79	0.00	0.00	2.79	90.0	2.51
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.96	0.00	0.00	1.96	90.0	1.76
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.47	0.00	0.00	4.47	90.0	4.02
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.61	0.00	0.00	2.61	90.0	2.35
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.48	0.00	0.00	2.48	90.0	2.23
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.83	0.00	0.00	2.83	90.0	2.55
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	22.41	0.00	0.00	22.41	90.0	20.17
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	20.74	0.00	0.00	20.74	90.0	18.67
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	9.46	0.00	0.00	9.46	90.0	8.51
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	14.52	0.00	0.00	14.52	90.0	13.07
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.36	0.00	0.00	2.36	90.0	2.12
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	20.90	0.00	0.00	20.90	90.0	18.81
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	28.87	0.00	0.00	28.87	90.0	25.98
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.68	0.00	0.00	4.68	90.0	4.21
02/09/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	4.44	0.00	0.00	4.44	90.0	4.00
02/09/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	3.76	0.00	0.00	3.76	90.0	3.38
02/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	6.07	0.00	0.00	6.07	90.0	5.46
02/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	13.16	0.00	0.00	13.16	90.0	11.84

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
02/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	3.59	0.00	0.00	3.59	90.0	3.23
02/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	6.53	0.00	0.00	6.53	90.0	5.88
02/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	0.91	0.00	0.00	0.91	90.0	0.82
02/09/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	1.09	0.00	0.00	1.09	90.0	0.98
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	0.95	0.00	0.00	0.95	90.0	0.86
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.08	0.00	0.00	1.08	90.0	0.97
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.57	0.00	0.00	0.57	90.0	0.51
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.09	0.00	0.00	0.09	90.0	0.08
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.75	0.00	0.00	0.75	90.0	0.68
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.28	0.00	0.00	1.28	90.0	1.15
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.09	0.00	0.00	1.09	90.0	0.98
02/09/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	3.00	0.00	0.00	3.00	90.0	2.70
02/09/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	3.51	0.00	0.00	3.51	90.0	3.16
02/09/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	0.42	0.00	0.00	0.42	90.0	0.38
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	7.20	0.00	0.00	7.20	90.0	6.48
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.10	0.00	0.00	4.10	90.0	3.69
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.46	0.00	0.00	5.46	90.0	4.91
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.54	0.00	0.00	2.54	90.0	2.29
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	3.65	0.00	0.00	3.65	90.0	3.29
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.76	0.00	0.00	5.76	90.0	5.18
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.52	0.00	0.00	9.52	90.0	8.57
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.58	0.00	0.00	9.58	90.0	8.62
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	7.88	0.00	0.00	7.88	90.0	7.09
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.08	0.00	0.00	5.08	90.0	4.57
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.75	0.00	0.00	4.75	90.0	4.28
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	0.23	0.00	0.00	0.23	90.0	0.21
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.35	0.00	0.00	4.35	90.0	3.92
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	11.83	0.00	0.00	11.83	90.0	10.65
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.36	0.00	0.00	5.36	90.0	4.82
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	8.48	0.00	0.00	8.48	90.0	7.63
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.64	0.00	0.00	4.64	90.0	4.18
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	6.18	0.00	0.00	6.18	90.0	5.56
02/09/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	8.35	0.00	0.00	8.35	90.0	7.52
02/09/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.88	0.00	0.00	0.88	90.0	0.79
02/09/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.82	0.00	0.00	0.82	90.0	0.74
02/09/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.86	0.00	0.00	0.86	90.0	0.77
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.67	0.00	0.00	2.67	90.0	2.40
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.53	0.00	0.00	4.53	90.0	4.08
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.87	0.00	0.00	1.87	90.0	1.68
02/09/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.96	0.00	0.00	4.96	90.0	4.46

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
02/09/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.02	0.00	0.00	2.02	90.0	1.82
02/09/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.45	0.00	0.00	2.45	90.0	2.21
02/09/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	1.71	0.00	0.00	1.71	90.0	1.54
02/09/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.02	0.00	0.00	2.02	90.0	1.82
02/09/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.45	0.00	0.00	2.45	90.0	2.21
02/09/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	1.71	0.00	0.00	1.71	90.0	1.54
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.67	0.00	0.00	4.67	90.0	4.20
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.50	0.00	0.00	4.50	90.0	4.05
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.71	0.00	0.00	4.71	90.0	4.24
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.52	0.00	0.00	2.52	90.0	2.27
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.67	0.00	0.00	4.67	90.0	4.20
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.35	0.00	0.00	5.35	90.0	4.82
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.71	0.00	0.00	4.71	90.0	4.24
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.62	0.00	0.00	4.62	90.0	4.16
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.67	0.00	0.00	4.67	90.0	4.20
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.35	0.00	0.00	5.35	90.0	4.82
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.71	0.00	0.00	4.71	90.0	4.24
02/09/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.62	0.00	0.00	4.62	90.0	4.16
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.27	0.00	0.00	2.27	90.0	2.04
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	90.0	1.78
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.15	0.00	0.00	2.15	90.0	1.94
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.18	0.00	0.00	2.18	90.0	1.96
02/09/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.09	0.00	0.00	2.09	90.0	1.88
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.27	0.00	0.00	2.27	90.0	2.04
02/09/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.98	0.00	0.00	1.98	90.0	1.78
02/21/2018 #	SOMMERS, LESTER	DAIN TRAILER	S	23,823.97	0.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$23,823.97	\$436.72	\$0.00	\$0.00	\$436.72		\$393.06
*** BROKER TRANSACTIONS TOTAL ***				\$107,051.21	\$1,546.72	\$0.00	\$0.00	\$1,546.72		\$1,392.06

BROKER COMMISSION TOTALS

Broker Transactions	\$1,392.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,392.06
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