

COMMISSION STATEMENT

July 31, 2018

BARRY FORST
122 BROOKLYN AVEBROKER #: P71 P72
BRANCH: HOME OFFICE

LAVALLETTE, NJ 07921

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/11/2018	QGW023095	BEER, LAURENCE	VANGUARD SHORT-TERM INVESTMENT GRAD B	53,800.00	200.00	0.00	200.00	40.0	80.00	
* MUTUAL FUNDS SUBTOTAL *				\$53,800.00	\$200.00	\$0.00	\$200.00		\$80.00	
PRODUCT CATEGORY: STOCKS										
07/02/2018	QGV001100	AND EDWARD FRENCH	KRATOS DEFENSE & SEC SOLUTIONS	B	5,723.30	73.00	0.00	73.00	40.0	29.20
07/10/2018	QGV001100	AND EDWARD FRENCH	KRATOS DEFENSE & SEC SOLUTIONS	B	12,226.60	73.00	0.00	73.00	40.0	29.20
07/10/2018	QGV001100	AND EDWARD FRENCH	TELADOC INC COM	B	12,780.00	73.00	0.00	73.00	40.0	29.20
07/12/2018	QGV001100	AND EDWARD FRENCH	AXON ENTERPRISE INC COM STOCK	B	27,233.04	73.00	0.00	73.00	40.0	29.20
07/12/2018	QGV001100	AND EDWARD FRENCH	DROPBOX INC CL A COM	S	-9,536.04	73.00	0.00	73.00	40.0	29.20
07/12/2018	QGV001100	AND EDWARD FRENCH	INTRICON CORP COM	S	-8,550.00	73.00	0.00	73.00	40.0	29.20
07/12/2018	QGV001100	AND EDWARD FRENCH	SQUARE INC CLASS A COM	B	20,175.00	73.00	0.00	73.00	40.0	29.20
07/20/2018	QGV001100	AND EDWARD FRENCH	SENSEONICS HLDGS INC COM	S	-8,036.20	73.00	0.00	73.00	40.0	29.20
07/20/2018	QGV001100	AND EDWARD FRENCH	SOGOU INC ADR REPSTG CL A SHS ISIN#	S	-5,710.15	73.00	0.00	73.00	40.0	29.20
07/23/2018	QGV001100	AND EDWARD FRENCH	NANOSTRING TECHNOLOGIES INC	S	-11,993.20	73.00	0.00	73.00	40.0	29.20
07/10/2018	QGW002180	FRENCH, JOHN	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	4,340.00	100.00	0.00	100.00	40.0	40.00
07/10/2018	QGW002180	FRENCH, JOHN	VENTRIPOINT DIAGNOSTICS LTD COM NEW	B	4,430.00	100.00	0.00	100.00	40.0	40.00
07/13/2018	QGW002180	FRENCH, JOHN	DUCOMMUN INC	B	7,104.28	73.00	0.00	73.00	40.0	29.20
07/13/2018	QGW002180	FRENCH, JOHN	IANTHUS CAPITAL HOLDINGS INC SHS IS	S	-2,466.80	73.00	0.00	73.00	40.0	29.20
07/13/2018	QGW002180	FRENCH, JOHN	IANTHUS CAPITAL HOLDINGS INC SHS IS	S	2,466.80	-73.00	0.00	-73.00	40.0	-29.20
07/13/2018	QGW002180	FRENCH, JOHN	IANTHUS CAPITAL HOLDINGS INC SHS IS	S	-2,485.00	73.00	0.00	73.00	40.0	29.20
07/17/2018	QGW002180	FRENCH, JOHN	KRATOS DEFENSE & SEC SOLUTIONS	B	6,523.40	73.00	0.00	73.00	40.0	29.20
07/17/2018	QGW002180	FRENCH, JOHN	ORGANIGRAM HOLDINGS INC SHS ISIN#CA	S	-3,273.00	73.00	0.00	73.00	40.0	29.20
06/28/2018	QGW002404	MAHARISHI UNIVERSITY	ROCKWELL COLLINS INC DEL COM STK	S	-10,083.00	75.00	0.00	75.00	40.0	30.00
07/13/2018	QGW002404	MAHARISHI UNIVERSITY	MICROSOFT CORP	S	-36,152.40	75.00	0.00	75.00	40.0	30.00
06/28/2018	QGW002636	XAVERIAN HIGH SCHOOL	ALTRIA GROUP INC	S	-1,714.55	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	DIREXION SHS ETF TR DAILY GOLD MINE	S	-6,877.02	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	FIRST MAJESTIC SILVER CORP	B	8,046.20	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	FRANCO-NEVADA CORPORATION	B	7,461.66	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	GOLDCORP INC	B	7,043.30	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	NEWMONT MINING CORP (NEW)	B	7,697.00	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	ROYAL GOLD INC	B	9,538.32	75.00	0.00	75.00	40.0	30.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
07/05/2018	QGW002701	HIDEAWAY FARM	VANECK VECTORS ETF TR GOLD MINERS E B	34,045.80	75.00	0.00	75.00	40.0	30.00
07/05/2018	QGW002701	HIDEAWAY FARM	WHEATON PRECIOUS METALS CORP COM B	4,511.00	75.00	0.00	75.00	40.0	30.00
07/27/2018	QGW002719	HINDMARCH, JAMES	SILVERCORP METALS INC COM ISIN#CA82 S	-5,209.80	90.00	0.00	90.00	40.0	36.00
06/28/2018	QGW002925	BATHRICK, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	-4,760.68	75.00	0.00	75.00	40.0	30.00
07/03/2018	QGW002925	BATHRICK, CHARLES	CIENA CORPORATION S	-5,346.02	75.00	0.00	75.00	40.0	30.00
07/03/2018	QGW002925	BATHRICK, CHARLES	DIAMOND OFFSHORE DRILLING INC B	5,974.44	75.00	0.00	75.00	40.0	30.00
07/06/2018	QGW002925	BATHRICK, CHARLES	CARVANA CO CL A S	-9,402.00	75.00	0.00	75.00	40.0	30.00
07/09/2018	QGW002925	BATHRICK, CHARLES	CARVANA CO CL A B	4,429.84	75.00	0.00	75.00	40.0	30.00
07/10/2018	QGW002925	BATHRICK, CHARLES	CARVANA CO CL A B	8,706.00	75.00	0.00	75.00	40.0	30.00
07/10/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS B	4,828.00	75.00	0.00	75.00	40.0	30.00
07/12/2018	QGW002925	BATHRICK, CHARLES	ANADARKO PETROLEUM CORP B	14,687.24	75.00	0.00	75.00	40.0	30.00
07/16/2018	QGW002925	BATHRICK, CHARLES	BITCOIN INVT TR SHS B	3,988.00	100.00	0.00	100.00	40.0	40.00
07/16/2018	QGW002925	BATHRICK, CHARLES	BITCOIN INVT TR SHS B	-3,988.00	-100.00	0.00	-100.00	40.0	-40.00 C
07/17/2018	QGW002925	BATHRICK, CHARLES	ARRAY BIOPHARMA INC S	-6,898.00	75.00	0.00	75.00	40.0	30.00
07/17/2018	QGW002925	BATHRICK, CHARLES	KRATOS DEFENSE & SEC SOLUTIONS B	2,631.32	75.00	0.00	75.00	40.0	30.00
07/18/2018	QGW002925	BATHRICK, CHARLES	APPLIED OPTOELECTRONICS INC COM S	-8,623.10	75.00	0.00	75.00	40.0	30.00
07/18/2018	QGW002925	BATHRICK, CHARLES	CARVANA CO CL A S	-14,026.50	75.00	0.00	75.00	40.0	30.00
07/19/2018	QGW002925	BATHRICK, CHARLES	3-D SYSTEMS CORP-DEL B	7,467.50	75.00	0.00	75.00	40.0	30.00
07/20/2018	QGW002925	BATHRICK, CHARLES	CARVANA CO CL A B	8,990.00	75.00	0.00	75.00	40.0	30.00
07/20/2018	QGW002925	BATHRICK, CHARLES	DIAMOND OFFSHORE DRILLING INC S	-5,570.04	75.00	0.00	75.00	40.0	30.00
07/20/2018	QGW002925	BATHRICK, CHARLES	SIMON PROPERTY GROUP INC B	34,218.00	75.00	0.00	75.00	40.0	30.00
07/26/2018	QGW002925	BATHRICK, CHARLES	3-D SYSTEMS CORP-DEL S	-13,096.30	75.00	0.00	75.00	40.0	30.00
07/26/2018	QGW002925	BATHRICK, CHARLES	PAYPAL HOLDINGS INC COM B	26,667.84	75.00	0.00	75.00	40.0	30.00
07/11/2018	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	2,328.00	115.00	0.00	115.00	40.0	46.00
07/13/2018	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	625.00	46.87	0.00	46.87	40.0	18.75
07/13/2018	QGW002974	CAIN, ANTHONY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B	375.00	28.13	0.00	28.13	40.0	11.25
07/16/2018	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS B	3,988.00	100.00	0.00	100.00	40.0	40.00
07/17/2018	QGW002974	CAIN, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED S	-9,618.52	75.00	0.00	75.00	40.0	30.00
07/17/2018	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS B	9,116.88	150.00	0.00	150.00	40.0	60.00
07/23/2018	QGW002974	CAIN, ANTHONY	FLOTEK INDS INC DEL COM S	-3,046.10	90.00	0.00	90.00	40.0	36.00
07/23/2018	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM B	3,568.35	100.00	0.00	100.00	40.0	40.00
07/23/2018	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM B	997.49	60.00	0.00	60.00	40.0	24.00
07/23/2018	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM B	-997.49	-60.00	0.00	-60.00	40.0	-24.00 C
07/24/2018	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS S	-14,592.00	200.00	0.00	200.00	40.0	80.00
07/24/2018	QGW002974	CAIN, ANTHONY	FLOTEK INDS INC DEL COM S	-3,053.30	90.00	0.00	90.00	40.0	36.00
07/24/2018	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM B	2,616.74	100.00	0.00	100.00	40.0	40.00
07/24/2018	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM B	13,930.00	200.00	0.00	200.00	40.0	80.00
07/26/2018	QGW002974	CAIN, ANTHONY	RIOT BLOCKCHAIN INC COM S	-21,055.58	250.00	0.00	250.00	40.0	100.00
07/27/2018	QGW002974	CAIN, ANTHONY	BITCOIN INVT TR SHS B	11,840.00	200.00	0.00	200.00	40.0	80.00
07/27/2018	QGW002974	CAIN, ANTHONY	HUT 8 MNG CORP NEW COM ISIN#CA44812 B	5,460.00	150.00	0.00	150.00	40.0	60.00

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/03/2018	QGW003386	RF LAFFERTY & CO							
		ROYAL DUTCH SHELL PLC SPONSORED ADR B		12,787.18	100.00	0.00	100.00	40.0	40.00
07/13/2018	QGW003469	NELSON, WILLIAM							
		TANZANIAN ROYALTY EXPLORATION CORPO S		-986.42	75.00	0.00	75.00	40.0	30.00
07/10/2018	QGW004392	VALENTINE, OLIVIA							
		KRATOS DEFENSE & SEC SOLUTIONS B		3,031.60	90.00	0.00	90.00	40.0	36.00
07/19/2018	QGW004392	VALENTINE, OLIVIA							
		KRATOS DEFENSE & SEC SOLUTIONS B		3,279.10	90.00	0.00	90.00	40.0	36.00
07/20/2018	QGW004392	VALENTINE, OLIVIA							
		MICROSOFT CORP S		-53,953.00	150.00	0.00	150.00	40.0	60.00
07/23/2018	QGW004392	VALENTINE, OLIVIA							
		PAYPAL HOLDINGS INC COM B		22,314.15	150.00	0.00	150.00	40.0	60.00
07/26/2018	QGW004392	VALENTINE, OLIVIA							
		PAYPAL HOLDINGS INC COM B		22,447.50	150.00	0.00	150.00	40.0	60.00
06/28/2018	QGW014458	B, MICHELLE							
		CISCO SYS INC S		-8,466.00	90.00	0.00	90.00	40.0	36.00
06/28/2018	QGW014458	B, MICHELLE							
		TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-4,720.00	90.00	0.00	90.00	40.0	36.00
07/17/2018	QGW014458	B, MICHELLE							
		KRATOS DEFENSE & SEC SOLUTIONS B		6,538.30	125.00	0.00	125.00	40.0	50.00
07/02/2018	QGW021867	MERGOTT, JONATHAN							
		WHEATON PRECIOUS METALS CORP COM B		2,205.90	75.00	0.00	75.00	40.0	30.00
07/02/2018	QGW021990	STEINMETZ, GEORGE							
		ADOBE SYSTEMS INC B		12,077.19	60.00	0.00	60.00	40.0	24.00
07/12/2018	QGW021990	STEINMETZ, GEORGE							
		SPDR DOW JONES INDL AVERAGE ETF TR B		62,180.58	60.00	0.00	60.00	40.0	24.00
07/18/2018	QGW021990	STEINMETZ, GEORGE							
		CELGENE CORP B		17,171.28	60.00	0.00	60.00	40.0	24.00
07/19/2018	QGW021990	STEINMETZ, GEORGE							
		J P MORGAN CHASE & CO S		-16,505.06	60.00	0.00	60.00	40.0	24.00
07/19/2018	QGW021990	STEINMETZ, GEORGE							
		UNITED RENTALS INC S		-16,911.84	60.00	0.00	60.00	40.0	24.00
07/23/2018	QGW021990	STEINMETZ, GEORGE							
		PAYPAL HOLDINGS INC COM B		14,036.16	60.00	0.00	60.00	40.0	24.00
07/11/2018	QGW023095	BEER, LAURENCE							
		VANGUARD TOTAL INTERNATIONAL BOND E B		54,850.00	250.00	0.00	250.00	40.0	100.00
06/28/2018	QGW029290	BAUMHARDT, DANECA							
		GOLDCORP INC S		-2,666.68	75.00	0.00	75.00	40.0	30.00
07/02/2018	QGW029290	BAUMHARDT, DANECA							
		WHEATON PRECIOUS METALS CORP COM B		2,205.90	75.00	0.00	75.00	40.0	30.00
07/06/2018	QGW029290	BAUMHARDT, DANECA							
		FRANCO-NEVADA CORPORATION B		11,230.46	75.00	0.00	75.00	40.0	30.00
07/19/2018	QGW029290	BAUMHARDT, DANECA							
		FRANCO-NEVADA CORPORATION B		7,460.82	75.00	0.00	75.00	40.0	30.00
07/12/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		8,750.00	440.00	0.00	440.00	40.0	176.00
07/12/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		-8,750.00	-440.00	0.00	-440.00	40.0	-176.00 C
07/12/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		8,750.00	425.00	0.00	425.00	40.0	170.00
07/13/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		19,476.40	973.82	0.00	973.82	40.0	389.53
07/13/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		-19,476.40	-973.82	0.00	-973.82	40.0	-389.53 C
07/13/2018	QGW039505	SERGEANT JT TEN,							
		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		19,476.40	960.00	0.00	960.00	40.0	384.00
* STOCKS SUBTOTAL *				\$300,452.07	\$8,862.00	\$0.00	\$8,862.00		\$3,544.80
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/17/2018	QGW002180	FRENCH, JOHN							
		CALL 100 KRATOS DEFENSE & SEC SOLUT B		500.00	73.00	0.00	73.00	40.0	29.20
07/24/2018	QGW004665	JOSEPH FRY							
		PUT 100 TEVA PHARMACEUTICAL INDUSTR S		-220.00	30.00	0.00	30.00	40.0	12.00
* OPTIONS SUBTOTAL *				\$280.00	\$103.00	\$0.00	\$103.00		\$41.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2018		FEE COUNT - 4		0.00	14.24	0.00	14.24	40.0	5.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$14.24	\$0.00	\$14.24		\$5.70
*** BROKER TRANSACTIONS TOTAL ***				\$354,532.07	\$9,179.24	\$0.00	\$9,179.24		\$3,671.70

COMMISSION STATEMENT for BARRY FORST

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,671.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.47
SIPC Assessment	\$-22.95

Check Amount	\$3,617.28
Year-to-date Earnings	\$71,969.37