

COMMISSION STATEMENT

April 03, 2017

CLOSED Z-ACCOUNT

BROKER #:

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/27/2017	75599821	RF LAFFERTY & CO		KRATOS DEFENSE & SEC SOLUTIONS	B	17,480.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		KRATOS DEFENSE & SEC SOLUTIONS	S	17,480.20	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		LIBERTY MEDIA CORPORATION SERIES C	S	19,425.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		LIBERTY MEDIA CORPORATION SERIES C	B	388.50	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		LIBERTY MEDIA CORPORATION SERIES C	B	3,885.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		LIBERTY MEDIA CORPORATION SERIES C	B	11,266.50	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		LIBERTY MEDIA CORPORATION SERIES C	B	1,942.50	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		LIBERTY MEDIA CORPORATION SERIES C	B	1,942.50	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		TRIBUNE MEDIA COMPANY CL A	S	34,500.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		TRIBUNE MEDIA COMPANY CL A	B	34,500.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		VICOR CORP	S	7,387.54	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		VICOR CORP	B	1,589.50	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		VICOR CORP	B	1,590.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		VICOR CORP	B	1,600.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599821	RF LAFFERTY & CO		VICOR CORP	B	2,608.00	0.00	0.00	0.00	80.0	0.00
02/28/2017	75599821	RF LAFFERTY & CO		VICOR CORP	S	56,831.10	0.00	0.00	0.00	80.0	0.00
02/28/2017	75599821	RF LAFFERTY & CO		VICOR CORP	B	56,831.10	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	B	12,440.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	3,657.23	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	3,657.23	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	421.50	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	321.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	624.96	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	954.88	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599821	RF LAFFERTY & CO		VALIDIAN CORPORATION	S	2,799.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821	RF LAFFERTY & CO		ARCONIC INC COM	B	28,380.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821	RF LAFFERTY & CO		ARCONIC INC COM	S	2,873.22	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821	RF LAFFERTY & CO		ARCONIC INC COM	S	2,873.50	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821	RF LAFFERTY & CO		ARCONIC INC COM	S	2,873.50	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821	RF LAFFERTY & CO		ARCONIC INC COM	S	5,746.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821	RF LAFFERTY & CO		ARCONIC INC COM	S	14,366.10	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/02/2017	75599821 RF LAFFERTY & CO	FRANKLIN RESOURCES INC (DEL)	B	5,956.09	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821 RF LAFFERTY & CO	FRANKLIN RESOURCES INC (DEL)	B	3,970.73	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821 RF LAFFERTY & CO	FRANKLIN RESOURCES INC (DEL)	S	9,926.82	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821 RF LAFFERTY & CO	HILTON GRAND VACATIONS INC COMMON S S		30,360.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599821 RF LAFFERTY & CO	HILTON GRAND VACATIONS INC COMMON S B		30,359.90	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	DASEKE INC COMMON STOCK	S	12,500.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	DASEKE INC COMMON STOCK	S	17,500.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	DASEKE INC COMMON STOCK	B	5,000.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	DASEKE INC COMMON STOCK	B	5,000.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	DASEKE INC COMMON STOCK	B	5,000.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	DASEKE INC COMMON STOCK	B	15,000.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	REVLON INC CL A	S	32,050.00	0.00	0.00	0.00	80.0	0.00
03/03/2017	75599821 RF LAFFERTY & CO	REVLON INC CL A	B	32,050.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E S		429,200.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B		429,200.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		26,570.04	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,730.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,730.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,110.04	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I S		25,412.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		6,355.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		6,350.00	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		6,353.50	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		6,353.50	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		13,697.25	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		6,675.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		7,022.25	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I S		12,612.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		5,052.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	NUVEEN NEW YORK AMT FREE MUNICIPAL I B		7,560.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO S		5,073.50	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		1,014.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		1,014.50	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		1,015.99	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599821 RF LAFFERTY & CO	REDHILL BIOPHARMA LTD AMERICAN DEPO B		2,029.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		39,876.74	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,320.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,319.44	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		7,978.98	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		7,978.32	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/10/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,280.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E S		432,600.00	0.00	0.00	0.00	80.0	0.00
03/10/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B		432,600.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		17,288.64	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,292.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		7,948.26	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		4,048.38	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		13,229.58	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,288.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,294.58	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		2,647.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		13,260.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F S		2,678.52	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,308.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,300.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		5,330.52	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	1,517.99	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	759.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	379.50	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	TWITTER INC	B	2,656.48	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B		466,000.00	0.00	0.00	0.00	80.0	0.00
03/16/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E S		466,000.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	ADVISORY BOARD CO	B	26,823.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	ADVISORY BOARD CO	S	26,823.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	COMPASS MINERALS INTL INC	B	69,402.50	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	COMPASS MINERALS INTL INC	S	6,942.50	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	COMPASS MINERALS INTL INC	S	62,460.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	FASTENAL CO	B	25,761.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	FASTENAL CO	S	5,153.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599821 RF LAFFERTY & CO	FASTENAL CO	S	20,608.00	0.00	0.00	0.00	80.0	0.00
03/20/2017	75599821 RF LAFFERTY & CO	RITTER PHARMACEUTICALS INC COM	B	4,042.20	0.00	0.00	0.00	80.0	0.00
03/20/2017	75599821 RF LAFFERTY & CO	RITTER PHARMACEUTICALS INC COM	B	4,042.20	0.00	0.00	0.00	80.0	0.00
03/20/2017	75599821 RF LAFFERTY & CO	RITTER PHARMACEUTICALS INC COM	S	8,084.40	0.00	0.00	0.00	80.0	0.00
03/21/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	1,455.50	0.00	0.00	0.00	80.0	0.00
03/21/2017	75599821 RF LAFFERTY & CO	TWITTER INC	S	727.75	0.00	0.00	0.00	80.0	0.00
03/21/2017	75599821 RF LAFFERTY & CO	TWITTER INC	B	1,455.50	0.00	0.00	0.00	80.0	0.00
03/21/2017	75599821 RF LAFFERTY & CO	TWITTER INC	B	727.75	0.00	0.00	0.00	80.0	0.00
03/21/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E B		461,200.00	0.00	0.00	0.00	80.0	0.00
03/21/2017	75599821 RF LAFFERTY & CO	VANECK VECTORS ETF TR GOLD MINERS E S		461,200.00	0.00	0.00	0.00	80.0	0.00
03/22/2017	75599821 RF LAFFERTY & CO	ARRAY BIOPHARMA INC	B	19,033.80	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/22/2017	75599821 RF LAFFERTY & CO	ARRAY BIOPHARMA INC	S	956.00	0.00	0.00	0.00	80.0	0.00
03/22/2017	75599821 RF LAFFERTY & CO	ARRAY BIOPHARMA INC	S	956.00	0.00	0.00	0.00	80.0	0.00
03/22/2017	75599821 RF LAFFERTY & CO	ARRAY BIOPHARMA INC	S	16,414.43	0.00	0.00	0.00	80.0	0.00
03/22/2017	75599821 RF LAFFERTY & CO	ARRAY BIOPHARMA INC	S	707.44	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	ALTRIA GROUP INC	B	49,742.82	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	ALTRIA GROUP INC	S	49,742.82	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	KRAFT HEINZ COMPANY (THE) COMMON ST B		49,934.92	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	KRAFT HEINZ COMPANY (THE) COMMON ST S		9,164.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	KRAFT HEINZ COMPANY (THE) COMMON ST S		18,324.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	KRAFT HEINZ COMPANY (THE) COMMON ST S		18,324.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	KRAFT HEINZ COMPANY (THE) COMMON ST S		4,122.90	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	B	49,920.60	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	4,379.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	4,379.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	4,379.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	8,758.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	8,758.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	8,758.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	MONDELEZ INTERNATIONAL INC COM	S	10,509.60	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	PHILIP MORRIS INTERNATIONAL INC	B	50,269.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	PHILIP MORRIS INTERNATIONAL INC	S	11,170.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	PHILIP MORRIS INTERNATIONAL INC	S	11,171.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	PHILIP MORRIS INTERNATIONAL INC	S	11,171.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	PHILIP MORRIS INTERNATIONAL INC	S	11,172.00	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599821 RF LAFFERTY & CO	PHILIP MORRIS INTERNATIONAL INC	S	5,585.00	0.00	0.00	0.00	80.0	0.00
03/27/2017	75599821 RF LAFFERTY & CO	VAREX IMAGING CORP COM	S	3,081.51	0.00	0.00	0.00	80.0	0.00
03/27/2017	75599821 RF LAFFERTY & CO	VAREX IMAGING CORP COM	B	3,081.51	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		44,491.29	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		12,831.45	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		13,903.53	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		71,226.28	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		33,921.66	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		9,791.17	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		11,054.55	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		54,767.38	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		41,965.52	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		12,141.36	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		11,643.25	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		65,750.14	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		71,748.09	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		45,297.37	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		13,123.15	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		13,327.56	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		69,053.32	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		20,145.65	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		22,569.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		111,767.98	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		67,529.58	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		42,769.88	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		12,362.63	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		12,397.07	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		52,674.61	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		33,130.74	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		9,547.53	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		9,996.34	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		112,896.37	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		69,752.35	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		20,351.60	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		22,792.42	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		69,402.50	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		20,257.67	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		22,689.92	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		112,350.08	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		69,307.51	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		43,633.92	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		12,594.59	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		13,079.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		69,837.23	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		20,375.27	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		22,817.38	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		113,029.88	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		10,047.54	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		4,938.61	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		9,978.00	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		14,916.61	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		14,913.24	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		4,929.22	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		9,984.02	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		15,028.58	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		4,968.38	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		10,060.20	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		15,010.29	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		4,962.75	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		14,735.79	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		4,871.54	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		9,864.26	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		4,955.98	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		10,048.68	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		15,004.67	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		15,090.31	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		4,996.39	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		10,093.92	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		15,020.42	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		4,972.51	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		10,047.91	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		14,974.47	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		4,958.21	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		10,016.26	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		4,973.31	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		10,093.98	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		15,067.30	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		4,994.79	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		10,098.96	0.00	0.00	0.00	80.0	0.00
03/02/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		15,093.76	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		14,811.39	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		7,528.44	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		31,341.23	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		23,526.38	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		10,638.02	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		22,380.75	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		9,615.13	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		5,687.25	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		125,528.58	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		14,698.62	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		7,448.63	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		31,085.60	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		23,339.03	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		10,527.39	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		22,213.46	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		9,534.24	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		5,627.85	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		124,474.81	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		14,518.79	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		7,367.28	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		30,702.15	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		23,057.44	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		10,419.00	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		21,916.90	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		9,401.76	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		5,579.41	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		122,962.73	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		14,511.90	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		7,362.43	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		30,697.09	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		23,060.84	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		10,404.76	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		21,935.18	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		9,431.22	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		5,567.46	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		122,970.89	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		14,058.90	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		7,122.56	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		29,770.42	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		22,345.27	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		10,101.93	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		21,251.28	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		9,124.32	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		5,400.11	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		119,174.79	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		14,490.37	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		7,346.75	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		30,707.40	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		23,022.09	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		10,393.80	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		21,938.70	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		9,411.97	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		5,552.39	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		122,863.47	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		14,116.58	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		7,165.68	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	S	29,873.27	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	S	22,414.71	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	S	10,133.48	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	S	21,340.84	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	S	9,157.23	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	S	5,427.95	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF	B	119,629.78	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		14,711.23	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		7,458.25	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		31,133.07	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		23,366.91	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		10,537.35	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		22,237.91	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		9,545.20	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		5,645.01	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		124,634.94	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		14,577.46	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		7,404.42	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		30,873.80	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		23,171.88	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		10,478.58	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		22,047.99	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		9,453.86	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		5,586.37	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		123,594.38	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		14,508.83	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		7,345.78	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		30,662.23	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		23,024.08	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		10,415.66	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		21,891.15	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		9,392.36	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		5,555.02	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		122,795.11	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		14,671.60	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		7,426.82	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		31,054.29	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		23,299.82	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		10,521.32	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		22,171.23	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		9,538.36	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		5,642.92	0.00	0.00	0.00	80.0	0.00
03/13/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		124,326.37	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		29,113.67	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		29,113.67	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		28,685.66	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		28,685.66	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		28,461.04	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		28,461.04	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		28,617.72	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		28,617.72	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		27,775.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		27,775.00	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		28,334.46	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		28,334.46	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		27,757.75	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		27,757.75	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		28,869.15	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		28,869.15	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		28,654.19	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		28,654.19	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		28,034.39	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		28,034.39	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		28,435.10	0.00	0.00	0.00	80.0	0.00
03/17/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		28,435.10	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT B		11,948.06	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI INFORMAT S		11,948.06	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE B		12,020.21	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI UTILITIE S		12,020.21	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM S		11,618.16	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI TELECOMM B		11,618.16	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL S		11,581.17	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TR MSCI MATERIAL B		11,581.17	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M B		11,535.91	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY COVINGTON TRUST FIDELITY M S		11,535.91	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF B		11,554.67	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI INDUSTRIALS INDEX ETF S		11,554.67	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF B		11,231.47	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI ENERGY INDEX ETF S		11,231.47	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR B		11,770.02	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER DISCRETIONAR S		11,770.02	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE B		11,685.89	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI CONSUMER STAPLES INDE S		11,685.89	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF S		11,164.08	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI FINANCIALS INDEX ETF B		11,164.08	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF B		11,650.59	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599823 RF LAFFERTY & CO	FIDELITY MSCI HEALTH CARE INDEX ETF S		11,650.59	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599825 RF LAFFERTY & CO	TANGOE INC COMMON STOCK	B	46,300.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599825 RF LAFFERTY & CO	TANGOE INC COMMON STOCK	S	-46,300.00	0.00	0.00	0.00	80.0	0.00 C
03/15/2017	75599825 RF LAFFERTY & CO	TANGOE INC COMMON STOCK	B	46,300.00	0.00	0.00	0.00	80.0	0.00
03/15/2017	75599825 RF LAFFERTY & CO	TANGOE INC COMMON STOCK	S	46,300.00	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$11,004,407.23	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
03/23/2017	75599820 RF LAFFERTY & CO	BAC CAPITAL TRUST XIV NOTES FIXED T B		81,000.00	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599820 RF LAFFERTY & CO	BAC CAPITAL TRUST XIV NOTES FIXED T S		81,250.00	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599820 RF LAFFERTY & CO	VIACOM INC NEW JUNIOR SUBORDINATED S		82,400.00	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599820 RF LAFFERTY & CO	VIACOM INC NEW JUNIOR SUBORDINATED B		80,800.00	0.00	0.00	0.00	80.0	0.00
01/18/2017	75599826 RF LAFFERTY & CO	SOUNDVIEW HOME LOAN TRUST MTGPC/SER S		-20,291.80	0.00	0.00	0.00	80.0	0.00 C
01/18/2017	75599826 RF LAFFERTY & CO	SOUNDVIEW HOME LOAN TRUST MTGPC/SER B		-20,441.00	0.00	0.00	0.00	80.0	0.00 C
01/18/2017	75599826 RF LAFFERTY & CO	SOUNDVIEW HOME LOAN TRUST MTGPC/SER B		20,291.76	0.00	0.00	0.00	80.0	0.00
01/18/2017	75599826 RF LAFFERTY & CO	SOUNDVIEW HOME LOAN TRUST MTGPC/SER S		20,440.96	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599826 RF LAFFERTY & CO	BANK AMER CORP	S	11,287.50	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599826 RF LAFFERTY & CO	BANK AMER CORP MEDIUM TERM SR NTS S S		11,250.00	0.00	0.00	0.00	80.0	0.00
02/27/2017	75599826 RF LAFFERTY & CO	GLOBAL MARINE INC NTS	S	4,775.00	0.00	0.00	0.00	80.0	0.00
03/01/2017	75599826 RF LAFFERTY & CO	GLOBAL MARINE INC NTS	B	49,815.00	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599826 RF LAFFERTY & CO	NEW CENTY HOME EQUITY LN TR SERIES B		2,721.47	0.00	0.00	0.00	80.0	0.00
03/09/2017	75599826 RF LAFFERTY & CO	NEW CENTY HOME EQUITY LN TR SERIES S		2,828.19	0.00	0.00	0.00	80.0	0.00
03/20/2017	75599826 RF LAFFERTY & CO	SOCIETE GENERALE LNKD TO THE X DD M S		8,910.00	0.00	0.00	0.00	80.0	0.00
03/22/2017	75599826 RF LAFFERTY & CO	HARTFORD CONN STAD AUTH LEASE STADI B		46,850.00	0.00	0.00	0.00	80.0	0.00
03/22/2017	75599826 RF LAFFERTY & CO	HARTFORD CONN STAD AUTH LEASE STADI S		47,085.00	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C B		40,787.31	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C S		-40,787.30	0.00	0.00	0.00	80.0	0.00 C
03/23/2017	75599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C B		40,787.31	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C S		40,899.36	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C B		-40,899.40	0.00	0.00	0.00	80.0	0.00 C
03/23/2017	75599826 RF LAFFERTY & CO	CARRINGTON MTG LN TR SER 2005-NC3 C S		40,899.36	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY ABS CAPITAL I INC TR B		24,933.51	0.00	0.00	0.00	80.0	0.00
03/23/2017	75599826 RF LAFFERTY & CO	MORGAN STANLEY ABS CAPITAL I INC TR S		25,081.92	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599826 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC -N STEP 20 S		30,000.00	0.00	0.00	0.00	80.0	0.00

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/24/2017	75599826 RF LAFFERTY & CO	GOLDMAN SACHS GROUP INC -N STEP 20	B	29,940.00	0.00	0.00	0.00	80.0	0.00
		* BONDS SUBTOTAL *		\$702,614.15	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/03/2017	75599822 RF LAFFERTY & CO	PUT HL 03/17/17 6.50	B	13,700.00	0.00	0.00	0.00	80.0	0.00
03/06/2017	75599822 RF LAFFERTY & CO	PUT HL 03/17/17 6.50	S	13,400.00	0.00	0.00	0.00	80.0	0.00
		* OPTIONS SUBTOTAL *		\$27,100.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u>									
03/12/2015	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS	S	9,400.00	0.00	0.00	0.00	80.0	0.00
03/12/2015	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS	B	-9,400.00	0.00	0.00	0.00	80.0	0.00 C
03/28/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO	S	150,931.25	0.00	0.00	0.00	80.0	0.00
03/28/2017	75599826 RF LAFFERTY & CO	JPMORGAN CHASE BK NA COLUMBUS OHIO	B	149,962.50	0.00	0.00	0.00	80.0	0.00
		* CERTIFICATES OF DEPOSIT SUBTOTAL *		\$300,893.75	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>									
03/23/2017	75502018 FEHER, FRANK	FAS FEES	B	13.51	0.00	0.00	0.00	80.0	0.00
03/23/2017	75502019 FEHER, SUSAN	FAS FEES	B	9.36	0.00	0.00	0.00	80.0	0.00
		* RIA SUBTOTAL *		\$22.87	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>									
03/08/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST ELECTION PORT SER 2	B	1,509.81	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST ELECTION PORT SER 2	B	1,509.81	0.00	0.00	0.00	80.0	0.00
03/08/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST ELECTION PORT SER 2	S	3,019.62	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST HEALTH CARE SELECT	B	2,059.11	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST HEALTH CARE SELECT	S	-2,059.11	0.00	0.00	0.00	80.0	0.00 C
03/24/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST HEALTH CARE SELECT	B	1,845.11	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST HEALTH CARE SELECT	S	2,059.11	0.00	0.00	0.00	80.0	0.00
03/24/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST HEALTH CARE SELECT	B	-2,059.11	0.00	0.00	0.00	80.0	0.00 C
03/24/2017	75599825 RF LAFFERTY & CO	UIT FIRST TRUST HEALTH CARE SELECT	S	1,845.11	0.00	0.00	0.00	80.0	0.00
		* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$9,729.46	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/10/2017	75501894 TREICHLER, BETTY	ALLIANZ NFJ LARGE CAP VALUE FUND CL	B	0.00	0.16	0.00	0.16	80.0	0.13
03/10/2017	75501894 TREICHLER, BETTY	SHORT-TERM BOND FUND OF AMERICA - A	B	0.00	0.04	0.00	0.04	80.0	0.03
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$0.20	\$0.00	\$0.20		\$0.16
		*** BROKER TRANSACTIONS TOTAL ***		\$12,044,767.46	\$0.20	\$0.00	\$0.20		\$0.16

BROKER COMMISSION TOTALS

Broker Transactions	\$0.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$0.16
Year-to-date Earnings	\$4.52