

**COMMISSION STATEMENT****November 30, 2017**

ROBERT LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/07/2017	HAZEN, JAMES	PACIFIC LIFE FUNDS	0.00	2.81	0.00	2.81	95.0	2.67
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
11/10/2017	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
11/10/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
11/13/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
11/10/2017	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.40	0.00	2.40	95.0	2.28
11/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.66	0.00	2.66	95.0	2.53
11/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.34	0.00	1.34	95.0	1.27
11/10/2017	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.13	0.00	2.13	95.0	2.02

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/10/2017	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.26	0.00	0.26	95.0	0.25
11/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.33	0.00	1.33	95.0	1.26
11/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.63	0.00	1.63	95.0	1.55
11/10/2017	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	6.93	0.00	6.93	95.0	6.58
11/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.20	0.00	0.20	95.0	0.19
11/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.80	0.00	0.80	95.0	0.76
11/10/2017	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.72	0.00	1.72	95.0	1.63
11/10/2017	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.38	0.00	1.38	95.0	1.31
11/10/2017	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.43	0.00	6.43	95.0	6.11
* MUTUAL FUNDS SUBTOTAL *			\$927.70	\$46.30	\$0.00	\$46.30		\$43.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/16/2017	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	10,000.00	550.00	0.00	550.00	95.0	522.50
11/20/2017	MAZZA, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	0.00	1,500.86	0.00	1,500.86	95.0	1,425.82
11/16/2017	MOLNAR, J S KIP	JNL 468511134	4,500.00	202.50	0.00	202.50	95.0	192.38
11/16/2017	MAZZA, JOSEPH	JNL 468511134	5,000.00	225.00	0.00	225.00	95.0	213.75
11/10/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,000.00	55.00	0.00	55.00	95.0	52.25
10/07/2017	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	6,294.75	5.25	0.00	5.25	95.0	4.99
10/13/2017	PUMA, ANN	TRANSAMERICA LIBERTY	37,509.17	31.26	0.00	31.26	95.0	29.70
10/15/2017	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	111,417.07	92.85	0.00	92.85	95.0	88.21
10/30/2017	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	14,629.79	12.19	0.00	12.19	95.0	11.58
* VARIABLE ANNUITIES SUBTOTAL *			\$190,350.78	\$2,674.91	\$0.00	\$2,674.91		\$2,541.18
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
11/06/2017	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	677.38	0.00	677.38	95.0	643.51
11/06/2017	OHNGEMACH, ROBERT	PAC LIFE INNOVATIVE SELECT	0.00	77.55	0.00	77.55	95.0	73.67
11/06/2017	HANDLER, EMMA	PAC LIFE INNOVATIVE SELECT	0.00	154.08	0.00	154.08	95.0	146.38
11/13/2017	LENTINI, ANNA	PAC LIFE INNOVATIVE SELECT	0.00	750.00	0.00	750.00	95.0	712.50
11/06/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	85.13	0.00	85.13	95.0	80.87
11/06/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	60.75	0.00	60.75	95.0	57.71
11/06/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	106.53	0.00	106.53	95.0	101.20
11/06/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	85.23	0.00	85.23	95.0	80.97
11/09/2017	MANNETTA, VIRGINIA	PAC LIFE INNOVATIVE SELECT NY	0.00	249.45	0.00	249.45	95.0	236.98
11/09/2017	BURKHARD, BARBARA	PAC LIFE INNOVATIVE SELECT NY	0.00	413.20	0.00	413.20	95.0	392.54

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/09/2017	FOURNIER, ELIZABETH	PAC LIFE INNOVATIVE SELECT NY	0.00	340.22	0.00	340.22	95.0	323.21
11/10/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	242.82	0.00	242.82	95.0	230.68
11/14/2017	HANDLER, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	73.29	0.00	73.29	95.0	69.63
11/14/2017	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	1,250.00	0.00	1,250.00	95.0	1,187.50
11/15/2017	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	27.08	0.00	27.08	95.0	25.73
11/17/2017	LUCHSINGER, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	591.03	0.00	591.03	95.0	561.48
11/17/2017	MOLNAR, J S KIP	PAC LIFE INNOVATIVE SELECT NY	0.00	297.28	0.00	297.28	95.0	282.42
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$5,481.02	\$0.00	\$5,481.02		\$5,206.98
*** DIRECT TRANSACTIONS TOTAL ***			\$191,278.48	\$8,202.23	\$0.00	\$8,202.23		\$7,792.10

VERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

11/02/2017	GORMAN, LORAIN	ING EMPIRE INNOVATIONS STD OPT A	0.00	233.46	0.00	233.46	0.0	93.38
11/10/2017	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	250.29	0.00	250.29	0.0	100.12
11/19/2017	MALIVA, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	42.65	0.00	42.65	0.0	17.06
11/15/2017	GARDNER, MARILYN	JP MORGAN CHASE & CO COM ISIN#US466	-38,071.67	90.00	22.59	67.41	0.0	26.96
11/10/2017	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.48	0.00	1.48	0.0	0.59
11/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.13	0.00	12.13	0.0	4.85
11/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.90	0.00	3.90	0.0	1.56
11/10/2017	WESTRENN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.44	0.00	1.44	0.0	0.58
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$-38,071.67	\$635.35	\$22.59	\$612.76		\$245.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$-38,071.67	\$635.35	\$22.59	\$612.76		\$245.10

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BROKER COMMISSION TOTALS

Broker Transactions	\$7,792.10
Split Transactions	\$0.00
Override Transactions	\$245.10
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,037.20
Year-to-date Gross	\$272,449.96
Year-to-date Earnings	\$260,904.85