



COMMISSION STATEMENT

April 23, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/16/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	AIM TAX-FREE INTERMEDIATE FUND -CL SELL 874 Units @11.1800	9,772.78	0.00	18.00	-18.00	100.0	-18.00
04/16/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	COLUMBIA AMT-FREE INTER MUNI BD A SELL 2249 Units @10.2900	23,137.62	0.00	18.00	-18.00	100.0	-18.00
04/16/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	COLUMBIA TAX EXEMPT FUND CLASS A SELL 1043 Units @13.3800	13,959.25	0.00	18.00	-18.00	100.0	-18.00
04/16/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	EATON VANCE VIRGINIA MUNI INCOME CL SELL 1038 Units @7.6900	7,982.08	0.00	18.00	-18.00	100.0	-18.00
04/16/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	FRANKLIN FLOATING RATE DAILY FD ADV SELL 2390 Units @8.7700	20,960.48	35.00	18.00	17.00	75.0	12.75
* MUTUAL FUNDS SUBTOTAL *			\$75,812.21	\$35.00	\$90.00	\$-55.00		\$-59.25
<u>PRODUCT CATEGORY: STOCKS</u>								
04/17/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	BANK OF AMERICA CORP UNSOLICITED OR BUY 300 Units @30.1400	9,042.00	135.00	21.00	114.00	75.0	85.50
04/17/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	CISCO SYSTEMS INC BUY 100 Units @44.3750	4,437.50	70.00	18.00	52.00	75.0	39.00
04/17/2018	***-**-35IN - KERR, GAIL ACCOUNT #: LSR010562	CISCO SYSTEMS INC BUY 100 Units @44.3750	4,437.50	70.00	0.00	70.00	75.0	52.50
04/17/2018	***-**-542I - BROWN, MARY ACCOUNT #: LSR012531	CISCO SYSTEMS INC BUY 250 Units @44.0460	11,011.50	150.00	18.00	132.00	75.0	99.00
04/18/2018	***-**-66IN - STATES, MR ACCOUNT #: LSF000281	CISCO SYSTEMS INC SELL 80 Units @44.3850	3,550.80	10.00	8.99	1.01	75.0	0.76
04/17/2018	***-**-407I - LEWIS, FBO ACCOUNT #: LSR010564	CONOCOPHILLIPS UNSOLICITED ORDER BUY 100 Units @65.6650	6,566.50	135.00	17.00	118.00	75.0	88.50
04/17/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @65.6550	9,848.25	150.00	18.00	132.00	75.0	99.00
04/17/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	CONOCOPHILLIPS UNSOLICITED ORDER BUY 150 Units @66.6850	10,002.75	150.00	18.00	132.00	75.0	99.00
04/17/2018	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	CONOCOPHILLIPS UNSOLICITED ORDER BUY 100 Units @66.6850	6,668.50	135.00	17.00	118.00	75.0	88.50
04/17/2018	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	CONOCOPHILLIPS UNSOLICITED ORDER BUY 100 Units @65.8700	6,587.00	75.00	17.00	58.00	75.0	43.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
04/17/2018	***-**-5420 - BROWN, MARY	CONOCOPHILLIPS UNSOLICITED ORDER	6,587.00	75.00	2.00	73.00	75.0	54.75
	ACCOUNT #: LSR012530	BUY 100 Units @65.8700						
04/18/2018	***-**-66IN - STATES, MR	CONOCOPHILLIPS UNSOLICITED ORDER	6,693.00	10.00	9.99	0.01	75.0	0.01
	ACCOUNT #: LSF000281	BUY 100 Units @66.9300						
04/17/2018	***-**-5420 - BROWN, MARY	FACEBOOK INC COM USD0.000006 CL A	16,712.50	200.00	17.00	183.00	75.0	137.25
	ACCOUNT #: LSR012530	BUY 100 Units @167.1250						
04/17/2018	***-**-5420 - BROWN, MARY	MCKESSON CORP COM	15,017.00	200.00	17.00	183.00	75.0	137.25
	ACCOUNT #: LSR012530	BUY 100 Units @150.1700						
04/17/2018	***-**-066I - STATES, FBO MR	MICROSOFT CORP UNSOLICITED ORDER EX	8,203.78	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000282	BUY 85 Units @96.5150						
04/17/2018	***-**-574I - DAVIS, MISCHA	MICROSOFT CORP UNSOLICITED ORDER EX	9,622.60	150.00	18.00	132.00	75.0	99.00
	ACCOUNT #: LSR010630	BUY 100 Units @96.2260						
04/17/2018	***-**-542I - BROWN, MARY	MICROSOFT CORP UNSOLICITED ORDER EX	14,321.70	175.00	18.00	157.00	75.0	117.75
	ACCOUNT #: LSR012531	BUY 150 Units @95.4780						
04/18/2018	***-**-66IN - STATES, MR	MICROSOFT CORP UNSOLICITED ORDER EX	2,884.53	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000281	SELL 30 Units @96.1510						
04/17/2018	***-**-066I - STATES, FBO MR	NEKTAR THERAPEUTICS	8,278.11	10.00	9.89	0.11	75.0	0.08
	ACCOUNT #: LSF000282	SELL 90 Units @91.9790						
* STOCKS SUBTOTAL *			\$160,472.52	\$1,920.00	\$262.85	\$1,657.15		\$1,242.87
PRODUCT CATEGORY: BONDS								
04/16/2018	***-**-542I - BROWN, MARY	FIDELITY U.S. BOND INDEX INSTL PREM	263.67	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR012531	SELL 23 Units @11.3100						
* BONDS SUBTOTAL *			\$263.67	\$0.00	\$24.00	\$-24.00		\$-24.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/23/2018	***-**-5420 - BROWN, MARY	COLUMBIA AMT-FREE AX-EXEMPT BOND FD	0.00	1.61	0.00	1.61	75.0	1.21
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/23/2018	***-**-5420 - BROWN, MARY	COLUMBIA AMT-FREE INTER MUNI BD A	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/23/2018	***-**-5420 - BROWN, MARY	COLUMBIA DISCIPLINED CORE A	0.00	1.63	0.00	1.63	75.0	1.22
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-5420 - BROWN, MARY	COLUMBIA TAX EXEMPT FUND CLASS A	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/23/2018	***-**-826I - CLARK, FBO MS	FUNDAMENTAL INVESTORS-A	0.00	4.88	0.00	4.88	75.0	3.66
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
04/23/2018	***-**-58IN - LUTZ, SAMUEL	FUNDAMENTAL INVESTORS-A	0.00	2.83	0.00	2.83	75.0	2.12
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
04/23/2018	***-**-437K - SIGUE, JOCELYN	GLOBAL OPPORTUNITIES A	0.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: LSR012251	BUY 1 Units @0.0000						
04/23/2018	***-**-384I - WALKER, EUGENE	GLOBAL OPPORTUNITIES A	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: LSR010626	BUY 1 Units @0.0000						
04/23/2018	***-**-58TR - HOUSING	GLOBAL OPPORTUNITIES A	0.00	2.74	0.00	2.74	75.0	2.06
	ACCOUNT #: LSR010838	BUY 1 Units @0.0000						
04/23/2018	***-**-27IN - LYNCH, JAIR	GLOBAL OPPORTUNITIES A	0.00	6.80	0.00	6.80	75.0	5.10
	ACCOUNT #: LSR012262	BUY 1 Units @0.0000						
04/23/2018	***-**-437I - SIGUE, JOCELYN	NEW WORLD FUND-A	0.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: LSR011597	BUY 1 Units @0.0000						
04/23/2018	***-**-826I - CLARK, FBO MS	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.73	0.00	1.73	75.0	1.30
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
04/23/2018	***-**-574I - DAVIS, MISCHA	OPPENHEIMER GLOBAL VALUE FUND CL A	0.00	1.74	0.00	1.74	75.0	1.31
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
04/23/2018	***-**-5420 - BROWN, MARY	PUTNAM EUROPE EQUITY FUND-A	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
04/23/2018	***-**-826I - CLARK, FBO MS	THE GROWTH FUND OF AMERICA-A	0.00	5.12	0.00	5.12	75.0	3.84
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
04/23/2018	***-**-44TR - LYNCH TTEE,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	6.81	0.00	6.81	75.0	5.11
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
04/23/2018	***-**-736J - HIGHSMITH SR,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$45.51	\$0.00	\$45.51		\$34.15
*** BROKER TRANSACTIONS TOTAL ***			\$236,548.40	\$2,000.51	\$376.85	\$1,623.66		\$1,193.77



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$1,193.77
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$1,193.77
Year-to-date Earnings								\$29,696.09