



All Companies

07/30/2018

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# COMMISSION STATEMENT

August 01, 2018

BLAKE CHRISTIAN MATTSON  
7216 FISH POND RD.

BROKER #: 5744  
BRANCH: HOME OFFICE

Waco, TX 76710-

## COMMISSION STATEMENT for BLAKE CHRISTIAN MATTSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/29/2018	HUNT, CLAIRE	COLLEGEBOUND 529	B	150.00	1.50	0.00	1.50	90.0	1.35
06/29/2018	BENNETT, BRENDA J.	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
06/29/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
06/29/2018	WHITE, ABIGAIL/HAMILTO	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
06/30/2018	TRAILS	COLLEGEBOUND 529	B	449.77	449.77	0.00	449.77	90.0	404.79
06/30/2018	TRAILS	COLLEGEBOUND 529	B	231.94	231.94	0.00	231.94	90.0	208.75
07/05/2018	WHITE, ABIGAIL/HAMILTO	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
07/13/2018	NORS, JANA R./ADDYSON	COLLEGEBOUND 529	B	100.00	1.00	0.00	1.00	90.0	0.90
06/25/2018	00009152435 MOTOR SHOP PSP	FOCUSED DIVIDEND STRAT CL C	B	1,450.00	14.50	0.00	14.50	90.0	13.05
07/16/2018	00066784059 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	2.50	0.00	2.50	90.0	2.25
07/10/2018	00069106493 BROWN, MARY	AMERICAN BALANCED FUND-C	B	25,000.00	250.00	0.00	250.00	90.0	225.00
07/20/2018	00086492946 OLIVER, JANET	THE INCOME FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/20/2018	00086492946 OLIVER, JANET	WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/20/2018	00086725137 FLORES, OLIVIA	AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	00086725137 FLORES, OLIVIA	THE BOND FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/20/2018	00086725137 FLORES, OLIVIA	THE INCOME FUND OF AMERICA-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	00086725155 FLORES, OLIVIA	AMERICAN BALANCED FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/20/2018	00086725155 FLORES, OLIVIA	THE BOND FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/20/2018	00086725155 FLORES, OLIVIA	THE GROWTH FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/20/2018	00086725155 FLORES, OLIVIA	THE INCOME FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/20/2018	00087795314 IVEY, JO	THE GROWTH FUND OF AMERICA-C	B	331.31	3.31	0.00	3.31	90.0	2.98
07/20/2018	00087795314 IVEY, JO	THE INVESTMENT COMPANY OF AMERICA-C B		331.31	3.31	0.00	3.31	90.0	2.98
07/20/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	207.49	2.07	0.00	2.07	90.0	1.86
07/20/2018	00087795350 IVEY, TERRY	THE GROWTH FUND OF AMERICA-C	B	315.19	3.15	0.00	3.15	90.0	2.84
07/20/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		207.48	2.07	0.00	2.07	90.0	1.86
07/20/2018	00087795350 IVEY, TERRY	THE INVESTMENT COMPANY OF AMERICA-C B		315.19	3.15	0.00	3.15	90.0	2.84
07/16/2018	00089834562 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089834562 GRAHAM, HEATHER N.	THE INVESTMENT COMPANY OF AMERICA-5 B		50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089834576 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089834576 GRAHAM, HEATHER N.	THE INVESTMENT COMPANY OF AMERICA-5 B		50.00	0.50	0.00	0.50	90.0	0.45

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/16/2018	04000110803 NORS, JANA/HAYZE	COLLEGE 2030 FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/16/2018	04000278693 GRAHAM, HEATHER N.	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	04000278693 GRAHAM, HEATHER N.	WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	04001049404 MURPHY, PAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	1,347.02	67.35	0.00	67.35	90.0	60.62
07/20/2018	04001049404 MURPHY, PAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	734.19	36.71	0.00	36.71	90.0	33.04
07/16/2018	04001049404 MURPHY, PAM	AMERICAN FUNDS INVESTMENT COMP OF A	B	1,347.03	67.35	0.00	67.35	90.0	60.62
07/20/2018	04001049404 MURPHY, PAM	AMERICAN FUNDS INVESTMENT COMP OF A	B	734.20	36.71	0.00	36.71	90.0	33.04
06/29/2018	81257153301 KENNEDY, RYAN	COLLEGEBOUND 529	B	500.00	5.00	0.00	5.00	90.0	4.50
07/13/2018	81697345401 VAN BORSSUM, ROBERT H.	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
06/29/2018	81721981201 WHITE, ABIGAIL/MADISON	COLLEGEBOUND 529	B	50.00	0.50	0.00	0.50	90.0	0.45
06/29/2018	81976518801 FERGUSON, L.DONIECE/HAILE	COLLEGEBOUND 529	B	500.00	5.00	0.00	5.00	90.0	4.50
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$36,202.12</b>	<b>\$1,205.39</b>	<b>\$0.00</b>	<b>\$1,205.39</b>		<b>\$1,084.89</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,024.00	5,024.00	0.00	5,024.00	90.0	4,521.60
<b>* STOCKS SUBTOTAL *</b>				<b>\$5,024.00</b>	<b>\$5,024.00</b>	<b>\$0.00</b>	<b>\$5,024.00</b>		<b>\$4,521.60</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/06/2018	TRAILS	JOHN HANCOCK	B	88.56	88.56	0.00	88.56	90.0	79.70
06/30/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	12.30	12.30	0.00	12.30	90.0	11.07
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$100.86</b>	<b>\$100.86</b>	<b>\$0.00</b>	<b>\$100.86</b>		<b>\$90.77</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
05/24/2018	LANDGRANT UNIVERSITY, TAX EDUCATION	PENINSULA BANK CD	B	40,000.00	100.00	0.00	100.00	90.0	90.00
05/30/2018	HIGHWAY DISTRICT 9, LARVIS SANDERS	PENINSULA BANK CD	B	92,000.00	345.00	0.00	345.00	90.0	310.50
06/15/2018	NORMAN, LEIGH/PATRICIA	PENINSULA BANK CD	B	99,000.00	190.00	0.00	190.00	90.0	171.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>				<b>\$231,000.00</b>	<b>\$635.00</b>	<b>\$0.00</b>	<b>\$635.00</b>		<b>\$571.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
08/01/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	80.17	0.00	80.17	90.0	72.16
08/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	112.47	0.00	112.47	90.0	101.22
08/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	151.13	0.00	151.13	90.0	136.03
08/01/2018	TRAIL COMMISSIONS	SUN AMERICA LIFE FEES		0.00	49.08	0.00	49.08	90.0	44.17
08/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	273.05	0.00	273.05	90.0	245.74
08/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	4.03	0.00	4.03	90.0	3.63

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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$669.93	\$0.00	\$669.93	\$602.95
*** BROKER TRANSACTIONS TOTAL ***	\$272,326.98	\$7,635.18	\$0.00	\$7,635.18	\$6,871.71

ADJUSTMENTS

08/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-338.50
08/01/2018	HILLTOP: CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-85.00
08/01/2018	HILLTOP: FIXED INCOME TICKET CHARGE	0.00	0.00	0.00	0.00	-8.75
08/01/2018	TECH: MATTSON ASST TECH SERVICES	0.00	0.00	0.00	0.00	-20.00
08/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-479.75

BROKER COMMISSION TOTALS

Broker Transactions	\$6,871.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-479.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$6,391.96
Year-to-date Earnings	\$85,136.57