



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
Page No. 1

JOEL WOODS
1412 Blue Quail Run

Crowley, TX 76036-

BROKER #: W143
BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
02/01/2017	BERRYMAN, CHANTILLY	COTTONWOOD MULTIFAMILY REIT I-REG A	15,000.00	1,087.50	0.00	1,087.50	92.0	1,000.50
02/02/2017	BERRYMAN, CHANTILLY	COTTONWOOD MULTIFAMILY REIT I-REG A	15,000.00	900.00	0.00	900.00	92.0	828.00
02/02/2017	BERRYMAN, CHANTILLY	COTTONWOOD MULTIFAMILY REIT I-REG A	-15,000.00	-1,087.50	0.00	-1,087.50	92.0	-1,000.50
* REIT SUBTOTAL *			\$15,000.00	\$900.00	\$0.00	\$900.00		\$828.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/23/2017	BERRYMAN, CHANTILLY	BLUEROCK TOTAL INC & REAL ESTATE FU	15,000.00	150.00	0.00	150.00	90.0	135.00
01/25/2017	THREADGILL, VICKY	BLUEROCK TOTAL INC & REAL ESTATE FU	15,000.00	150.00	0.00	150.00	90.0	135.00
* INTERVAL FUNDS SUBTOTAL *			\$30,000.00	\$300.00	\$0.00	\$300.00		\$270.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	SHERRIEB, HAROLD	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	25.77	0.00	25.77	90.0	23.19
01/11/2017	THREADGILL, HEATHER	INVESCO BALANCED-RISK ALLOCATION-C	0.00	4.16	0.00	4.16	90.0	3.74
01/31/2017	SHERRIEB, HAROLD	TEMPLETON FOREIGN FUND A	0.00	48.54	0.00	48.54	90.0	43.69
02/02/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	14.11	0.00	14.11	90.0	12.70
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$92.58	\$0.00	\$92.58		\$83.32
<u>PRODUCT CATEGORY: STOCKS</u>								
01/12/2017	OXFORD, SCOTT	BLACKSTONE MTG TR INC COM CL A	13,002.54	50.00	22.00	28.00	90.0	25.20
01/12/2017	OXFORD, SCOTT	HOSPITALITY PTYS TR COM SH BEN INT	13,854.28	50.00	22.00	28.00	90.0	25.20
01/12/2017	OXFORD, SCOTT	NEW RESIDENTIAL INVT CORP COM	15,673.14	50.00	22.00	28.00	90.0	25.20
* STOCKS SUBTOTAL *			\$42,529.96	\$150.00	\$66.00	\$84.00		\$75.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/24/2017	KMAK, SHANNON	AXA STRUCTURED CAP STRATEGIES 16 SE	56,000.00	2,800.00	0.00	2,800.00	90.0	2,520.00
01/31/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	8,479.36	1.77	0.00	1.77	90.0	1.59
01/31/2017	MARKS, FRANCES	JNL PERSPECTIVE L	48,563.74	52.61	0.00	52.61	90.0	47.35
01/31/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	188,757.48	157.30	0.00	157.30	90.0	141.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

* VARIABLE ANNUITIES SUBTOTAL *	\$301,800.58	\$3,011.68	\$0.00	\$3,011.68	\$2,710.51
*** BROKER TRANSACTIONS TOTAL ***	\$389,330.54	\$4,454.26	\$66.00	\$4,388.26	\$3,967.43

ADJUSTMENTS

02/15/2017	*REGISTRATION FEE: GA REG FEE	0.00						-50.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,967.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,917.43
Year-to-date Gross	\$14,095.00
Year-to-date Earnings	\$43,700.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed