

COMMISSION STATEMENT

July 31, 2017

BILLY BEHRENS
183 GRIFFEN AVENUE

BROKER #: 72
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
07/20/2017	75501361	BEHRENS, MICHAEL		GENERAL ELECTRIC CO	S	13,361.50	275.00	0.00	275.00	50.0	137.50
07/20/2017	75501361	BEHRENS, MICHAEL		NEXGEN ENERGY LTD COM	S	4,928.00	100.00	0.00	100.00	50.0	50.00
07/20/2017	75501362	BEHRENS, DEIRDRE		NEXGEN ENERGY LTD COM	S	4,928.00	100.00	0.00	100.00	50.0	50.00
06/30/2017	75501373	BANDLER, BABETTE		FLEETCOR TECHNOLOGIES INC	S	43,486.05	55.00	0.00	55.00	50.0	27.50
07/25/2017	75501469	SKROCKI, RICHARD		CURRENCYSHARES EURO TRUST EURO SHS	S	33,822.84	150.00	0.00	150.00	50.0	75.00
07/25/2017	75501469	SKROCKI, RICHARD		EATON VANCE MUNICIPAL BOND FD	S	29,992.93	200.00	0.00	200.00	50.0	100.00
07/25/2017	75501469	SKROCKI, RICHARD		EATON VANCE NEW JERSEY MUNICIPAL BO	S	25,801.68	200.00	0.00	200.00	50.0	100.00
07/25/2017	75501469	SKROCKI, RICHARD		EATON VANCE NEW JERSEY MUN INCOME T	S	23,161.72	200.00	0.00	200.00	50.0	100.00
07/25/2017	75501469	SKROCKI, RICHARD		INVESCO QUALITY MUNICIPAL INCOME TR	S	34,016.49	200.00	0.00	200.00	50.0	100.00
07/25/2017	75501469	SKROCKI, RICHARD		INVESCO VALUE MUNICIPAL INCOME TRUS	S	14,471.83	200.00	0.00	200.00	50.0	100.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	S	17,997.80	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	S	17,997.80	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	3,991.56	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	4,500.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	504.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	900.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	900.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	7,200.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	2,700.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	6,300.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	1,800.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	2,700.00	0.00	0.00	0.00	50.0	0.00
07/18/2017	75599830	RF LAFFERTY & CO		FIRST RESOURCE BANK PA	B	4,500.00	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$299,962.20	\$1,680.00	\$0.00	\$1,680.00		\$840.00		

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/12/2017	75501457	CANIZARES, JORGE		MFS VALUE FUND-A	B	0.00	0.01	0.00	0.01	50.0	0.00
07/12/2017	75501472	SKROCKI, RICHARD		LORD ABBETT NEW JERSEY TAX FREE INC	B	0.00	9.53	0.00	9.53	50.0	4.77
07/12/2017	75501489	CONLON, ITA		FRANKLIN NEW YORK TAX-FREE INCOME F	B	0.00	15.84	0.00	15.84	50.0	7.92
07/12/2017	75501489	CONLON, ITA		ROCHESTER FUND MUNICIPALS A	B	0.00	24.76	0.00	24.76	50.0	12.38
07/12/2017	75501492	VECKER, LAWRENCE		**VOYA SER FD INC MIDCAP	B	0.00	3.00	0.00	3.00	50.0	1.50

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/12/2017	75501530	GREENE, HADLEY	CALAMOS INVT TR NEW CONV FD CL C	B	0.00	74.82	0.00	74.82	50.0	37.41
07/12/2017	75501530	GREENE, HADLEY	LORD ABBETT SHORT DURATION INCOME F	B	0.00	20.73	0.00	20.73	50.0	10.37
07/12/2017	75501530	GREENE, HADLEY	LORD ABBETT SECS TR VALUE OPPTYS FD	B	0.00	5.76	0.00	5.76	50.0	2.88
07/12/2017	75501530	GREENE, HADLEY	PIM 72200Q273	B	0.00	15.43	0.00	15.43	50.0	7.72
07/12/2017	75501530	GREENE, HADLEY	PIMCO LOW DURATION FUND-CL C	B	0.00	21.25	0.00	21.25	50.0	10.63
07/12/2017	75501909	DERBY, ROSEMARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	0.80	0.00	0.80	50.0	0.00
07/12/2017	75501931	MELANSON, MARK	BOND-DEBENTURE FUND CLASS A	B	0.00	3.32	0.00	3.32	50.0	1.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$195.25	\$0.00	\$195.25		\$97.24
*** BROKER TRANSACTIONS TOTAL ***					\$299,962.20	\$1,875.25	\$0.00	\$1,875.25		\$937.24

BROKER COMMISSION TOTALS

Broker Transactions	\$937.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.34
SIPC Assessment	\$-4.69

Check Amount	\$930.21
Year-to-date Earnings	\$1,155.85