



Concorde Investment Services, LLC

04/18/2017
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COMMISSION STATEMENT March 31, 2017

DANIEL RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
03/16/2017	RAUPP, BRUCE	NEW YORK REIT INC COM	-4,337.38	0.00	22.67	-22.67	100.0	-22.67
03/28/2017	DORSO, FRANK	SEARS HLDGS CORP COM	6,221.40	0.00	22.90	-22.90	100.0	-22.90
* STOCKS SUBTOTAL *			\$1,884.02	\$0.00	\$45.57	\$-45.57		\$-45.57
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	54,539.56	45.45	0.00	45.45	100.0	45.45
* VARIABLE ANNUITIES SUBTOTAL *			\$54,539.56	\$45.45	\$0.00	\$45.45		\$45.45
*** BROKER TRANSACTIONS TOTAL ***			\$56,423.58	\$45.45	\$45.57	\$-0.12		\$-0.12
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u>								
03/23/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	37,972.33	645.54	7.48	638.06	100.0	638.06
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$37,972.33	\$645.54	\$7.48	\$638.06		\$638.06
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
03/17/2017	PARILLO, RALPH	ADVANCED MICRO DEVICES INC COM	-4,048.50	0.00	11.25	-11.25	100.0	-11.25
03/23/2017	LAGALA, JAMES	EXELIXIS INC COM	-5,243.70	15.00	11.25	3.75	100.0	3.75
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$-9,292.20	\$15.00	\$22.50	\$-7.50		\$-7.50
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
03/01/2017	DEGREGORIO, JOHN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	875.00	0.00	875.00	100.0	875.00
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$50,000.00	\$875.00	\$0.00	\$875.00		\$875.00
<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
03/15/2017	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	10,900.00	54.50	11.00	43.50	100.0	43.50
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$10,900.00	\$54.50	\$11.00	\$43.50		\$43.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
03/16/2017	SKOLNICK, SHEILA	BYD COMPANY LTD SHS H ISIN#CNE10000	-579.00	10.70	6.42	4.28	100.0	4.28
03/16/2017	SKOLNICK, SHEILA	BYD COMPANY LTD SHS H ISIN#CNE10000	-1,445.00	26.78	9.32	17.46	100.0	17.46
02/28/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.12	0.00	8.12	100.0	8.12
03/09/2017	LACASSE, RAYMOND	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,750.00	0.00	1,750.00	100.0	1,750.00
03/21/2017	PAVIS, NANCY	GPB AUTOMOTIVE PORTFOLIO LP	20,000.00	0.00	0.00	0.00	100.0	0.00
03/16/2017	SKOLNICK, SHEILA	NEW YORK REIT INC COM	-42,509.28	225.00	13.50	211.50	100.0	211.50
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$25,466.72	\$2,020.60	\$29.24	\$1,991.36		\$1,991.36
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
02/28/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	4.83	0.00	4.83	100.0	4.83
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$0.00	\$4.83	\$0.00	\$4.83		\$4.83
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
03/15/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	992.96	5.96	3.30	2.66	100.0	2.66
02/10/2017	COLETTA TRUST, AL	ILLINOIS ST SALES TAX REV FOR ISSUE	-18,114.60	-15.00	0.00	-15.00	100.0	-15.00
02/10/2017	COLETTA TRUST, AL	ILLINOIS ST SALES TAX REV FOR ISSUE	18,114.60	15.00	0.00	15.00	100.0	15.00
03/13/2017	COLETTA TRUST, AL	KING CNTY WASH HSG AUTH REV CORINTH	-14,262.30	-11.25	0.00	-11.25	100.0	-11.25
03/13/2017	COLETTA TRUST, AL	KING CNTY WASH HSG AUTH REV CORINTH	14,262.30	11.25	0.00	11.25	100.0	11.25
02/22/2017	COLETTA TRUST, AL	LOS ANGELES CALIF UNI SCH DIST RFDG	-24,412.25	-18.75	0.00	-18.75	100.0	-18.75
02/22/2017	COLETTA TRUST, AL	LOS ANGELES CALIF UNI SCH DIST RFDG	24,412.25	18.75	0.00	18.75	100.0	18.75
03/20/2017	SIMPSON TRUST, GERARD & CYNTHIS	SPDR GOLD TR GOLD SHS	20,076.60	4.50	3.38	1.12	100.0	1.12
02/15/2017	COLETTA TRUST, AL	SUGAR LAND TEX CTFS OBLIG 3.000% 02	-19,168.60	-15.00	0.00	-15.00	100.0	-15.00
02/15/2017	COLETTA TRUST, AL	SUGAR LAND TEX CTFS OBLIG 3.000% 02	19,168.60	15.00	0.00	15.00	100.0	15.00
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$21,069.56	\$10.46	\$6.68	\$3.78		\$3.78
<u>BROKER: R138 DAN/JON PAUL FT-RAUPP/CIRELLI #350</u>								
03/09/2017	AMAS BAY LLC	EXCHANGERIGHT CRYSTAL LAKE APTS DST	998,300.00	52,410.75	0.00	52,410.75	100.0	52,410.75
03/21/2017	DEMPAT REALTY CORP	EXCHANGERIGHT CRYSTAL LAKE APTS DST	500,000.00	26,250.00	0.00	26,250.00	100.0	26,250.00
03/15/2017	TRINKLEIN, FRITZ	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	0.00	0.00	0.00	100.0	0.00
03/17/2017	TRINKLEIN, JOYCE	GPB AUTOMOTIVE PORTFOLIO LP	25,000.00	0.00	0.00	0.00	100.0	0.00
03/21/2017	TRINKLEIN, FRITZ	HPI REAL ESTATE FUND V LLC	50,000.00	0.00	0.00	0.00	100.0	0.00
* R138 DAN/JON PAUL FT-RAUPP/CIRELLI SUBTOTAL *			\$1,598,300.00	\$78,660.75	\$0.00	\$78,660.75		\$78,660.75
*** SPLIT TRANSACTIONS TOTAL ***			\$1,734,416.41	\$82,286.68	\$76.90	\$82,209.78		\$82,209.78

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN LICHTENSTEIN #249</u>								
03/20/2017	WITKIN, CAROL ANN	ALTRIA GROUP INC COM	-7,017.97	0.00	22.50	-22.50	75.0	-2.81
		* ALAN LICHTENSTEIN SUBTOTAL *	\$-7,017.97	\$0.00	\$22.50	\$-22.50		\$-2.81
<u>BROKER: MARC LINSKY #263</u>								
03/15/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	401.39	20.07	22.00	-1.93	90.0	-0.03
03/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
03/15/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	409.90	20.50	22.00	-1.50	90.0	-0.03
03/15/2017	LINSKY, MARC	AM FUNDS ICA A	2,022.50	75.84	22.00	53.84	90.0	0.92
03/15/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	412.56	20.63	22.00	-1.37	90.0	-0.02
03/15/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,035.80	76.34	22.00	54.34	90.0	0.92
03/23/2017	CENTANNI, RICHARD	AMERICAN FUNDS COLLEGE 2033 FUND-52	1,000.00	35.00	0.00	35.00	90.0	0.60
03/01/2017	MUNCZINSKI, EDWARD	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	90.0	59.50
03/14/2017	KRAUSE, DONALD	DELL TECHNOLOGIES INC CL V	-318.05	30.00	22.50	7.50	90.0	0.13
03/17/2017	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	22,006.40	220.06	22.00	198.06	90.0	3.37
03/23/2017	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	66,200.00	662.00	22.00	640.00	90.0	10.88
03/27/2017	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	19,974.15	199.74	22.00	177.74	90.0	3.02
03/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	218.68	2.19	22.00	-19.81	90.0	-0.34
03/28/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	24,996.40	249.96	22.00	227.96	90.0	3.88
03/13/2017	JACKSON, SHIRLEY	ISHARES INC MSCI BRAZIL FREE INDEX	-7,470.22	30.00	22.50	7.50	90.0	0.13
03/09/2017	HUDSON TRUST, ROBERT	METLIFE SHEILD LEVEL SELECTOR EQTY	325,000.00	19,500.00	0.00	19,500.00	90.0	331.50
03/13/2017	BACHMAN, ALLEN	METLIFE SHEILD LEVEL SELECTOR EQTY	25,000.00	1,500.00	0.00	1,500.00	90.0	25.50
03/13/2017	VITAGLIANO, SALVATORE	METLIFE SHEILD LEVEL SELECTOR EQTY	45,559.16	2,733.55	0.00	2,733.55	90.0	46.47
03/13/2017	VITAGLIANO, SALVATORE	METLIFE SHEILD LEVEL SELECTOR EQTY	111,972.82	6,718.37	0.00	6,718.37	90.0	114.21
03/20/2017	VIRGILIO, RICHARD	METLIFE SHEILD LEVEL SELECTOR EQTY	97,990.43	2,939.71	0.00	2,939.71	90.0	49.98
03/20/2017	SNYDER, LILLIAN	METLIFE SHEILD LEVEL SELECTOR EQTY	101,272.68	6,076.36	0.00	6,076.36	90.0	103.30
03/21/2017	LA CORTE, CYNTHIA	METLIFE SHEILD LEVEL SELECTOR EQTY	162,848.77	9,770.93	0.00	9,770.93	90.0	166.11
03/09/2017	KIM, CHUNG	MOODY NATIONAL REIT II	70,000.00	4,900.00	0.00	4,900.00	90.0	83.30
03/17/2017	STANKAY, ROGER	MOODY NATIONAL REIT II	50,000.00	3,500.00	0.00	3,500.00	90.0	59.50
03/13/2017	JACKSON, SHIRLEY	NUVEEN MUNICIPAL VALUE FUND INC	-19,120.20	30.00	25.00	5.00	90.0	0.09
03/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	52.90	0.00	52.90	90.0	0.90
03/13/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	212.66	0.00	212.66	90.0	3.62
03/16/2017	PRATOLA, PRESTON	SELECT INCOME REIT COM SH BEN INT	-66,950.74	30.00	25.92	4.08	90.0	0.07
03/16/2017	FENN, RONALD	SELECT INCOME REIT COM SH BEN INT	-66,332.71	30.00	25.89	4.11	90.0	0.07
03/16/2017	CAMARDO, DONNA	SELECT INCOME REIT COM SH BEN INT	-22,904.85	30.00	23.34	6.66	90.0	0.11

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC LINSKY #263 </u>								
03/16/2017	TOBIAS, DAVID	SELECT INCOME REIT COM SH BEN INT	-7,454.26	30.00	22.50	7.50	90.0	0.13
03/16/2017	TOBIAS, DAVID	SELECT INCOME REIT COM SH BEN INT	-55,477.66	30.00	25.24	4.76	90.0	0.08
03/16/2017	TOBIAS, NANCY	SELECT INCOME REIT COM SH BEN INT	-14,945.82	30.00	22.87	7.13	90.0	0.12
02/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	106,214.66	88.51	0.00	88.51	90.0	1.50
* MARC LINSKY SUBTOTAL *			\$1,024,786.79	\$63,356.57	\$435.76	\$62,920.81		\$1,069.68
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,017,768.82	\$63,356.57	\$458.26	\$62,898.31		\$1,066.87

 ADJUSTMENTS

03/31/2017	*FEDERAL EXPRESS: 5-738-32898 3/15	0.00						-57.78
03/31/2017	*FEDERAL EXPRESS: 5-745-60663 3/22	0.00						-148.19
03/31/2017	*FEDERAL EXPRESS: MONTHLY ALLOW 55%	0.00						550.00
03/31/2017	*MISC: 3/15 ROY AFLAC	0.00						1,326.00
03/31/2017	*MISC: 3/15 ROY AFLAC	0.00						1,126.00
03/31/2017	*MISC: BUSINESS CARDS	0.00						-625.00
03/31/2017	*MISC: BUSINESS CARDS T THOMPSON	0.00						-45.42
03/31/2017	*MISC: REF# NFLWINTERSP21	0.00						3,000.00
03/31/2017	*MISC: REF# NFLWINTERSP21	0.00						-1,500.00
03/31/2017	*MISC: ROY AFLAC 1031DST	0.00						1,126.00
03/31/2017	*MISC: ROY, AFLAC, 1031DST	0.00						1,326.00
03/31/2017	*MISC: SEMINAR-LINSKY	0.00						-654.84
03/31/2017	*OVERRIDE: BRANCH-CIRELLI	0.00						1,625.36
03/31/2017	*OVERRIDE: BRANCH-KIESNOSKI	0.00						541.66
03/31/2017	*OVERRIDE: LINSKY VA-ADJ	0.00						3,806.40
03/31/2017	*PERSHING: MARK UPS	0.00						60.00
03/31/2017	*PERSHING: MARK UPS	0.00						10.20
03/31/2017	*PERSHING: MARK UPS	0.00						30.00
03/31/2017	*PERSHING: MARK UPS	0.00						15.00
03/31/2017	*PERSHING: MARK UPS	0.00						45.00
03/31/2017	*PERSHING: MARK UPS	0.00						9.00
03/31/2017	*REIMBURSEMENT: CT #5172009-EXCHANG	0.00						215.44
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$11,780.83

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BROKER COMMISSION TOTALS

Broker Transactions	\$-0.12
Split Transactions	\$82,209.78
Override Transactions	\$1,066.87
Adjustments	\$11,780.83
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$95,057.36
Year-to-date Gross	\$332,246.21
Year-to-date Earnings	\$338,231.59