



Concorde Investment Services, LLC
COMMISSION STATEMENT
 January 31, 2017

01/27/2017
 Page No. 1

BARTHOLOMEW MACKLER
 1120 E. Long Lake Road
 Suite 250
 Troy, MI 48085

BROKER #: M121
 BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
01/11/2017	LOWELL, CATHY	NATIONWIDE NEW HEIGHTS FIA	45,267.25	2,942.37	0.00	2,942.37	90.0	2,648.13
01/24/2017	LINGEMANN, THOMAS	NATIONWIDE NEW HEIGHTS FIA	0.00	11,974.55	0.00	11,974.55	90.0	10,777.10
01/24/2017	LINGEMANN, THOMAS	NATIONWIDE NEW HEIGHTS FIA	0.00	-11,974.55	0.00	-11,974.55	90.0	-10,777.10
01/23/2017	COLEMAN, BOBBY	NORTH AMERICAN FIA	59,621.56	4,173.51	0.00	4,173.51	90.0	3,756.16
* FIXED INDEX ANNUITIES SUBTOTAL *			\$104,888.81	\$7,115.88	\$0.00	\$7,115.88		\$6,404.29
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	4.28	0.00	4.28	90.0	3.85
01/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	14.27	0.00	14.27	90.0	12.84
01/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	4.28	0.00	4.28	90.0	3.85
01/04/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	14.27	0.00	14.27	90.0	12.84
01/04/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	26.39	0.00	26.39	90.0	23.75
01/04/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	26.39	0.00	26.39	90.0	23.75
01/18/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	0.80	0.00	0.80	90.0	0.72
01/09/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
01/09/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
01/17/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	0.80	0.00	0.80	90.0	0.72
01/19/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	0.80	0.00	0.80	90.0	0.72
01/17/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
01/18/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
01/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.60	0.00	1.60	90.0	1.44
01/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
01/19/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.80	0.00	0.80	90.0	0.72
01/17/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	90.0	6.75
01/18/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	0.80	0.00	0.80	90.0	0.72
01/18/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	0.80	0.00	0.80	90.0	0.72
01/09/2017	DEEB, DANUTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	90.0	2.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	14.59	0.00	14.59	90.0	13.13
01/05/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.67	0.00	6.67	90.0	6.00
01/05/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.62	0.00	6.62	90.0	5.96
01/03/2017	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.03	0.00	4.03	90.0	3.63
01/03/2017	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	11.57	0.00	11.57	90.0	10.41
01/03/2017	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	9.20	0.00	9.20	90.0	8.28
01/03/2017	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND B	0.00	3.74	0.00	3.74	90.0	3.37
01/03/2017	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	6.92	0.00	6.92	90.0	6.23
01/03/2017	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	17.41	0.00	17.41	90.0	15.67
01/03/2017	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	0.68	0.00	0.68	90.0	0.61
01/03/2017	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	6.15	0.00	6.15	90.0	5.54
01/03/2017	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.77	0.00	0.77	90.0	0.69
01/03/2017	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	3.99	0.00	3.99	90.0	3.59
01/03/2017	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	13.24	0.00	13.24	90.0	11.92
01/03/2017	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	7.64	0.00	7.64	90.0	6.88
01/03/2017	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	12.84	0.00	12.84	90.0	11.56
01/03/2017	NICHOLS, THOMAS	DAVIS OPPORTUNITY B	0.00	8.12	0.00	8.12	90.0	7.31
01/11/2017	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	11.62	0.00	11.62	90.0	10.46
01/11/2017	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	20.36	0.00	20.36	90.0	18.32
01/11/2017	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.83	0.00	5.83	90.0	5.25
01/11/2017	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	14.64	0.00	14.64	90.0	13.18
01/11/2017	BERRY, DONNA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.92	0.00	7.92	90.0	7.13
01/11/2017	BERRY, GARY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.92	0.00	7.92	90.0	7.13
01/11/2017	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.90	0.00	5.90	90.0	5.31
01/11/2017	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.60	0.00	2.60	90.0	2.34
01/11/2017	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	9.62	0.00	9.62	90.0	8.66
01/17/2017	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.20	0.00	1.20	90.0	1.08
01/17/2017	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.71	0.00	0.71	90.0	0.64
01/17/2017	HABTE, BISRAT	JH FINANCIAL INDUSTRIES A	0.00	3.38	0.00	3.38	90.0	3.04
01/17/2017	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.75	0.00	6.75	90.0	6.08
01/17/2017	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.09	0.00	3.09	90.0	2.78
01/17/2017	ABERRA, KONJIT	JH REGIONAL BANK A	0.00	2.21	0.00	2.21	90.0	1.99
01/03/2017	MARKEL, DENNIS	MFS GROWTH A	0.00	18.16	0.00	18.16	90.0	16.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	1.52	0.00	1.52	90.0	1.37
01/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	5.08	0.00	5.08	90.0	4.57
01/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	1.52	0.00	1.52	90.0	1.37
01/04/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	5.08	0.00	5.08	90.0	4.57
01/03/2017	SCHNARS, MICHAEL	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.47	0.00	1.47	90.0	1.32
01/03/2017	SCHNARS, MICHAEL	PUTNAM CONV SECURITIES A	0.00	5.48	0.00	5.48	90.0	4.93
01/03/2017	SCHNARS, MICHAEL	PUTNAM EUROPE EQUITY A	0.00	1.11	0.00	1.11	90.0	1.00
01/03/2017	SCHNARS, MICHAEL	PUTNAM GLOBAL EQUITY A	0.00	6.38	0.00	6.38	90.0	5.74
01/03/2017	SCHNARS, MICHAEL	PUTNAM GLOBAL HEALTH CARE A	0.00	1.99	0.00	1.99	90.0	1.79
01/03/2017	SCHNARS, MICHAEL	PUTNAM GROWTH OPP A	0.00	1.25	0.00	1.25	90.0	1.13
01/03/2017	SCHNARS, MICHAEL	PUTNAM INTL CAPITAL OPPS-A	0.00	2.16	0.00	2.16	90.0	1.94
01/03/2017	SCHNARS, MICHAEL	PUTNAM INVESTORS A	0.00	0.82	0.00	0.82	90.0	0.74
01/03/2017	SCHNARS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	1.61	0.00	1.61	90.0	1.45
* MUTUAL FUNDS SUBTOTAL *			\$1,050.00	\$405.99	\$0.00	\$405.99		\$365.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/13/2017	SANDERS, OLIVER	AM GEN POLARIS CHOICE III	57,953.38	144.88	0.00	144.88	90.0	130.39
01/03/2017	FOXWORTH, ROBERT	METLIFE SERIES L	77,938.80	194.85	0.00	194.85	90.0	175.37
* VARIABLE ANNUITIES SUBTOTAL *			\$135,892.18	\$339.73	\$0.00	\$339.73		\$305.76
*** BROKER TRANSACTIONS TOTAL ***			\$241,830.99	\$7,861.60	\$0.00	\$7,861.60		\$7,075.47
<u>ADJUSTMENTS</u>								
01/31/2017		*CONCIERGE SVC: 184745-LOWELL,18481	0.00					-100.00
01/31/2017		*CONCIERGE SVC: 185130-MACKLER,1851	0.00					-100.00
01/31/2017		*CONCIERGE SVC: 185366-LINGEMANN	0.00					-50.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-250.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$7,075.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-250.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,825.47
Year-to-date Gross	\$11,525.25
Year-to-date Earnings	\$17,272.43