



All Companies
COMMISSION STATEMENT
March 01, 2018

02/27/2018
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JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	- LORD ABBETT	B	91.47	91.47	0.00	91.47	90.0	82.32
02/15/2018	PETERSON, JEFF W.	-PUTNAM	B	114.00	4.28	0.00	4.28	90.0	3.85
02/15/2018	PRECISION PHYS THERAPY	NATIONWIDE QUALIFIED PLAN	B	3,054.89	93.98	0.00	93.98	90.0	84.58
02/05/2018	000000000511131 KRAUSCH, DALLAS R.	FRANKLIN EQUITY INCOME FD	CL II B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/05/2018	000000000806582 KRAUSCH, DALLAS R.	FRANKLIN UTILITIES FD CL II	B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/05/2018	000000001170846 KRAUSCH, CAROLYN L.	FRANKLIN MUTUAL QUALIFIED FD	CL 2 B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/05/2018	000000001267057 KRAUSCH, DALLAS R.	FRANKLIN 102 AGE HIGH INCOME FD	C B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/05/2018	000000001566785 KRAUSCH, CAROLYN L.	FRANKLIN RISING DIVIDEND 2 FD	B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/15/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	3.08	0.00	3.08	90.0	2.77
02/05/2018	000000003037874 KRAUSCH, CAROLYN L.	FRANKLIN SMALL CAP GROWTH FD	CL II B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/05/2018	000000004549554 KRAUSCH, DALLAS R.	FRANKLIN MUTUAL DISCOVERY FD 2	B	1,625.00	16.25	0.00	16.25	90.0	14.63
02/15/2018	000000008622413 KILEY, JACOB L.	MUTUAL DISC FND - CL A	B	114.50	4.29	0.00	4.29	90.0	3.86
02/15/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
02/05/2018	000004978615738 PARKS, DANIEL	TEMPLETON FOREIGN FD CL I	B	150.00	4.20	0.00	4.20	90.0	3.78
02/15/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
02/15/2018	000015815190438 KILEY, JACOB L.	FRANKLIN RISING DIVIDEND FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
02/05/2018	000019818033829 PARKS, DANIEL	FRANKLIN SMALL CAP GROWTH FD	CL I B	150.00	4.20	0.00	4.20	90.0	3.78
02/15/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD	CL I B	110.00	3.08	0.00	3.08	90.0	2.77
02/05/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	294.19	2.94	0.00	2.94	90.0	2.65

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/05/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	504.71	5.05	0.00	5.05	90.0	4.55
02/15/2018	000060177587736 KILEY, JACOB	FRANKLIN GROWTH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
	L.								
02/05/2018	000080192852311 PARKS, DANIEL	FRANKLIN DYNATECH FD CL I	B	200.00	5.60	0.00	5.60	90.0	5.04
02/15/2018	000080193156393 KILEY, JACOB	FRANKLIN DYNATECH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
	L.								
02/07/2018	00035825027 FOWLER, RANDY S.	IVY DIVIDEND OPP A	B	25.00	1.25	0.00	1.25	90.0	1.13
02/07/2018	00035825027 FOWLER, RANDY S.	IVY HIGH INCOME A	B	25.00	1.25	0.00	1.25	90.0	1.13
02/07/2018	00035825032 FOWLER, MARY B.	IVY ASSET STRATEGY A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00035837032 WEISCHEDEL,	IVY ASSET STRATEGY A	B	110.00	4.95	0.00	4.95	90.0	4.46
	VANESSA BRANDLI								
02/15/2018	00035837032 WEISCHEDEL,	IVY INTERNATIONAL CORE EQUITY A	B	110.00	4.95	0.00	4.95	90.0	4.46
	VANESSA BRANDLI								
02/15/2018	00035837032 WEISCHEDEL,	IVY VALUE A	B	110.00	4.95	0.00	4.95	90.0	4.46
	VANESSA BRANDLI								
02/12/2018	00035926510 ROSETTER, LUANN	IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
02/12/2018	00035926510 ROSETTER, LUANN	IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
02/12/2018	00035926510 ROSETTER, LUANN	IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
02/12/2018	00035926510 ROSETTER, LUANN	IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
02/15/2018	00035932391 KILEY, ELIZABETH	IVY LARGE CAP GROWTH A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00036173189 TRANS MIN ACT NM,	IVY CORE EQUITY A	B	163.41	4.58	0.00	4.58	90.0	4.12
	UNIF								
02/15/2018	00036182725 KILEY,	IVY VALUE A	B	50.00	2.50	0.00	2.50	90.0	2.25
	EMILY/CHANDRA								
02/01/2018	00036207246 SAWYER, ALEXANDER	IVY LARGE CAP GROWTH A	B	458.00	12.82	0.00	12.82	90.0	11.54
02/01/2018	00036207269 SAWYER, MADELYN E.	IVY CORE EQUITY A	B	458.00	12.82	0.00	12.82	90.0	11.54
02/15/2018	00036277542 STELLWAGEN, JAY T.	IVY GLOBAL EQUITY INCOME A	B	167.00	4.68	0.00	4.68	90.0	4.21
02/15/2018	00036277546 STELLWAGEN, JAY T.	IVY DIVIDEND OPP A	B	167.00	4.68	0.00	4.68	90.0	4.21
02/15/2018	00036338714 JOHNSON, JACOB F.	IVY EUROPEAN OPPORTUNITIES A	B	229.00	11.45	0.00	11.45	90.0	10.31
02/01/2018	00036338714 JOHNSON, JACOB F.	IVY LARGE CAP GROWTH A	B	226.83	11.34	0.00	11.34	90.0	10.21
02/01/2018	00036338714 JOHNSON, JACOB F.	IVY MID CAP GROWTH A	B	229.00	11.45	0.00	11.45	90.0	10.31
02/15/2018	00036338714 JOHNSON, JACOB F.	IVY SMALL CAP VALUE A	B	229.00	11.45	0.00	11.45	90.0	10.31
02/15/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
02/15/2018	00063960504 LECY, DOUG	AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
02/15/2018	00063960504 LECY, DOUG	AMERICAN FUNDS INCOME FD OF AMERI	B	114.00	2.28	0.00	2.28	90.0	2.05
02/15/2018	00063960504 LECY, DOUG	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
02/15/2018	00069075893 BARBER, PEGGY	AMERICAN FUNDS BD FD OF AMER FD	B	50.00	1.50	0.00	1.50	90.0	1.35
02/15/2018	00069075893 BARBER, PEGGY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
02/14/2018	00081152428 LECY, ANN	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
02/14/2018	00081152428 LECY, ANN	AMERICAN FUNDS CAPITAL WORLD GROWT	B	114.00	2.28	0.00	2.28	90.0	2.05

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/14/2018	00081152428	LECY, ANN	AMERICAN FUNDS INCOME FD OF AMERI B	115.00	2.30	0.00	2.30	90.0	2.07
02/14/2018	00081152428	LECY, ANN	NEW WORLD FUND-A	B	114.00	2.28	0.00	2.28	2.05
02/12/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00081638112	KETZNER, TODD	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00082366615	KETZNER, KARISSA	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS CAPITAL INCOME BUILD B	50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS EURO PACIFIC GROWT B	50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00082960130	REGIER, STEPHANIE	AMERICAN FUNDS GROWTH FUND OF AMERI B	50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	1.92
02/12/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS CAPITAL WORLD GROWT B	50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00082960172	REGIER, DARYL	AMERICAN FUNDS SMALL CAP WORLD FD B	50.00	2.13	0.00	2.13	90.0	1.92
02/13/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS AMCAP FD	B	73.50	2.76	0.00	2.76	2.48
02/13/2018	00084405798	JOHNSON, DARIN	AMERICAN FUNDS NEW PERSPECTIVE FD B	110.25	4.13	0.00	4.13	90.0	3.72
02/13/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD B	63.12	2.37	0.00	2.37	90.0	2.13
02/12/2018	00086094965	WEISCHEDEL, RANDY/BLAKE	AMERICAN FUNDS WA MUTUAL INVES B	2,000.00	85.00	0.00	85.00	90.0	76.50
02/15/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS AMERICAN MUTUAL FD B	200.00	5.50	0.00	5.50	90.0	4.95
02/15/2018	00086433895	WORLAND, TRICIA	AMERICAN FUNDS CAPITAL WORLD GROWT B	100.00	2.75	0.00	2.75	90.0	2.48
02/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS FUNDAMENTAL INVES B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INCOME FD OF AMERI B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INVESTMENT COMP OF A B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS SMALL CAP WORLD FD B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS CAPITAL INCOME BUILD B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS GROWTH FUND OF AMERI B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS NEW PERSPECTIVE FD B	114.58	3.15	0.00	3.15	90.0	2.84
02/15/2018	00086832145	MARCOV, SARAH F.	NEW WORLD FUND-A	B	114.58	3.15	0.00	3.15	2.84
02/13/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	31.78	1.19	0.00	1.19	1.07
02/15/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	3.83
02/15/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS GROWTH FUND OF AMERI B	100.00	4.25	0.00	4.25	90.0	3.83
02/15/2018	00087295220	DELES DERNIER, DOUGLAS	AMERICAN FUNDS WA MUTUAL INVES B	100.00	4.25	0.00	4.25	90.0	3.83
02/15/2018	00087295220	DELES DERNIER, DOUGLAS	GLOBAL BALANCED FUND-A	B	100.00	4.25	0.00	4.25	3.83

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS SMALL CAP WORLD FD B		50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00087391906 WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00087391906 WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
02/12/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL INVES B		53.00	1.99	0.00	1.99	90.0	1.79
02/12/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B		53.00	1.99	0.00	1.99	90.0	1.79
02/12/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
02/12/2018	00087396195 DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
02/12/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/12/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT B		65.00	2.44	0.00	2.44	90.0	2.20
02/12/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD B		64.00	2.40	0.00	2.40	90.0	2.16
02/12/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL INVES B		50.00	1.88	0.00	1.88	90.0	1.69
02/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	200.00	10.00	0.00	10.00	90.0	9.00
02/16/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD GROWT B		200.00	10.00	0.00	10.00	90.0	9.00
02/15/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00	90.0	15.30
02/12/2018	00088093369 FRERICHs, CORA	AMERICAN FUNDS BALANCED FD	B	150.00	7.50	0.00	7.50	90.0	6.75
02/13/2018	00088216102 WEISBECK, CORISSA A.	AMERICAN MUTUAL FUND-C	B	30.00	0.30	0.00	0.30	90.0	0.27
02/12/2018	00088267226 KEFFELER, TRACY L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
02/13/2018	00088306950 RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	36.69	0.37	0.00	0.37	90.0	0.33
02/13/2018	00088306950 RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	36.68	0.37	0.00	0.37	90.0	0.33
02/15/2018	00088320633 PACHL/AUTH PER, JESSICA L.	AMERICAN FUNDS WA MUTUAL INVES B		100.00	4.25	0.00	4.25	90.0	3.83
02/12/2018	00088502628 SUTLIFF-GROSS, SABRINA	AMERICAN FUNDS AMERICAN MUTUAL FD B		150.00	7.50	0.00	7.50	90.0	6.75
02/15/2018	00088504226 SUTLIFF-GROSS, SABRINA	CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
02/15/2018	00088565229 LIMKE, JESSICA	AMERICAN FUNDS INVESTMENT COMP OF A B		200.00	8.50	0.00	8.50	90.0	7.65
02/15/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS AMERICAN MUTUAL FD B		57.00	2.14	0.00	2.14	90.0	1.93
02/15/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS CAPITAL WORLD GROWT B		57.00	2.14	0.00	2.14	90.0	1.93
02/15/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS EURO PACIFIC GROWT B		57.00	2.14	0.00	2.14	90.0	1.93
02/15/2018	00088586617 O'BRIEN, EMILY R.	AMERICAN FUNDS GROWTH FUND OF AMERI B		57.00	2.14	0.00	2.14	90.0	1.93
02/16/2018	00088586636 O'BRIEN, RYAN A.	AMERICAN FUNDS BALANCED FD	B	166.00	6.23	0.00	6.23	90.0	5.61
02/15/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS AMCAP FD	B	57.00	2.14	0.00	2.14	90.0	1.93
02/15/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS FUNDAMENTAL INVES B		57.00	2.14	0.00	2.14	90.0	1.93
02/15/2018	00088586646 O'BRIEN, RYAN A.	AMERICAN FUNDS INCOME FD OF AMERI B		57.00	2.14	0.00	2.14	90.0	1.93
02/15/2018	00088586646 O'BRIEN, RYAN A.	NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/14/2018	00088586746	MATTHEW, SHANNON							
		AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
02/14/2018	00088586746	MATTHEW, SHANNON							
		AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
02/14/2018	00088586746	MATTHEW, SHANNON							
		AMERICAN FUNDS INCOME FD OF	AMERI B	62.50	1.25	0.00	1.25	90.0	1.13
02/14/2018	00088586746	MATTHEW, SHANNON							
		AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
02/15/2018	00088605154	LYONS, CAMERON							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	5.00	0.00	5.00	90.0	4.50
02/12/2018	00088618305	LORD, TIMOTHY R.							
		AMERICAN FUNDS AMCAP FD	B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618305	LORD, TIMOTHY R.							
		AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618305	LORD, TIMOTHY R.							
		AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618305	LORD, TIMOTHY R.							
		NEW WORLD FUND-A	B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618538	LORD, CORRIN P.							
		AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618538	LORD, CORRIN P.							
		AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618538	LORD, CORRIN P.							
		AMERICAN FUNDS INCOME FD OF	AMERI B	100.00	3.75	0.00	3.75	90.0	3.38
02/12/2018	00088618538	LORD, CORRIN P.							
		AMERICAN FUNDS INVESTMENT COMP OF A	B	100.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	00088631279	FLOTTMEYER, KEVIN							
		AMERICAN FUNDS CAPITAL WORLD GROWT	B	125.00	6.25	0.00	6.25	90.0	5.63
A.									
02/16/2018	00088648517	BOWEN, LANA L.							
		AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/16/2018	00088648517	BOWEN, LANA L.							
		AMERICAN FUNDS BALANCED FD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/16/2018	00088648517	BOWEN, LANA L.							
		AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.50	0.00	2.50	90.0	2.25
02/16/2018	00088648517	BOWEN, LANA L.							
		NEW WORLD FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
02/12/2018	00088738575	SPITZER, BRUCE A.							
		AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/12/2018	00088738575	SPITZER, BRUCE A.							
		AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
02/12/2018	00088738575	SPITZER, BRUCE A.							
		AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
02/15/2018	00088828419	HOP, DANIEL J.							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25
02/14/2018	00088896833	KAUK, LARRY							
		AMERICAN FUNDS BALANCED FD	B	300.00	12.75	0.00	12.75	90.0	11.48
02/14/2018	00088896833	KAUK, LARRY							
		AMERICAN FUNDS BALANCED FD	B	90.00	3.83	0.00	3.83	90.0	3.45
02/14/2018	00088896852	KAUK, RUTH ANN							
		AMERICAN FUNDS WA MUTUAL	INVES B	30.00	1.28	0.00	1.28	90.0	1.15
02/14/2018	00088896852	KAUK, RUTH ANN							
		AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
02/15/2018	00088908568	ANDERSON, ANGELA							
		AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
02/15/2018	00088908568	ANDERSON, ANGELA							
		AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
02/15/2018	00088908568	ANDERSON, ANGELA							
		AMERICAN FUNDS FUNDAMENTAL	INVES B	57.30	2.44	0.00	2.44	90.0	2.20
02/15/2018	00088908568	ANDERSON, ANGELA							
		AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
02/15/2018	00088934030	HAFFNER, RONALD L.							
		AMERICAN MUTUAL FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934030	HAFFNER, RONALD L.							
		CAPITAL WORLD GROWTH AND INCOME FUN	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934030	HAFFNER, RONALD L.							
		NEW PERSPECTIVE FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934030	HAFFNER, RONALD L.							
		THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934072	HAFFNER, ELLEN							
		AMCAP FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934072	HAFFNER, ELLEN							
		AMERICAN MUTUAL FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934072	HAFFNER, ELLEN							
		CAPITAL INCOME BUILDER-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00088934072	HAFFNER, ELLEN							
		SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00089019546	HOLLEARN, JAMES R.							
		AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	AMCAP FD	B	57.00	2.85	0.00	2.85 90.0 2.57
02/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	AMERICAN MUTUAL FD	B	56.00	2.80	0.00	2.80 90.0 2.52
02/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	CAPITAL INCOME BUILD	B	56.00	2.80	0.00	2.80 90.0 2.52
02/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS	SMALL CAP WORLD FD	B	56.00	2.80	0.00	2.80 90.0 2.52
02/15/2018	00089019699	HOLLEARN, CHRISTINA M.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75 90.0 3.38
02/15/2018	00089019699	HOLLEARN, CHRISTINA M.	AMERICAN FUNDS	INCOME FD OF	AMERI B	50.00	2.50	0.00	2.50 90.0 2.25
02/15/2018	00089049697	WARREN, BRIANNA M.	AMERICAN FUNDS	FUNDAMENTAL	INVES B	50.00	2.50	0.00	2.50 90.0 2.25
02/15/2018	00089123660	TEN EYCK, SHEILA T.	AMERICAN FUNDS	INCOME FD OF	AMERI B	75.00	2.81	0.00	2.81 90.0 2.53
02/15/2018	00089123704	TEN EYCK, JOHN C.	AMERICAN FUNDS	AMCAP FD	B	75.00	2.81	0.00	2.81 90.0 2.53
02/15/2018	00089163089	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51 90.0 1.36
02/15/2018	00089163089	CIOCARLAN, CHRISTOPHER J.	NEW WORLD FUND-A		B	55.00	1.51	0.00	1.51 90.0 1.36
02/15/2018	00089163184	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS	WA MUTUAL	INVES B	100.00	2.75	0.00	2.75 90.0 2.48
02/15/2018	00089163224	CIOCARLAN, CHRISTOPHER J.	AMERICAN FUNDS	AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75 90.0 2.48
02/15/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS	INCOME FD OF	AMERI B	1,291.64	35.52	0.00	35.52 90.0 31.97
02/15/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS	INCOME FD OF	AMERI B	300.00	8.25	0.00	8.25 90.0 7.43
02/15/2018	00089249498	WILLIAMS, GERALDINE	AMERICAN FUNDS	BALANCED FD	B	1,291.64	35.52	0.00	35.52 90.0 31.97
02/15/2018	00089249498	WILLIAMS, GERALDINE	AMERICAN FUNDS	BALANCED FD	B	150.00	4.13	0.00	4.13 90.0 3.72
02/15/2018	00089296173	WILLIAMS, KEVIN M.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	417.90	11.49	0.00	11.49 90.0 10.34
02/15/2018	00089296173	WILLIAMS, KEVIN M.	AMERICAN FUNDS	GROWTH FUND OF AMERI	B	125.37	3.45	0.00	3.45 90.0 3.11
02/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS	AMCAP FD	B	58.00	2.18	0.00	2.18 90.0 1.96
02/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS	SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14 90.0 1.93
02/16/2018	00089303112	MASSIE, KATEY	AMERICAN FUNDS	WA MUTUAL	INVES B	57.00	2.14	0.00	2.14 90.0 1.93
02/16/2018	00089303112	MASSIE, KATEY	NEW WORLD FUND-A		B	57.00	2.14	0.00	2.14 90.0 1.93
02/15/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS	2020 TARGET DATE-A	B	101.50	2.79	0.00	2.79 90.0 2.51
02/15/2018	00089406954	KAUFMAN, EDWARD R.	AMERICAN FUNDS	2020 TARGET DATE-A	B	43.50	1.20	0.00	1.20 90.0 1.08
02/14/2018	00089436042	WANNER, NIKKI L.	AMERICAN FUNDS	2035 TARGET DATE-A	B	40.00	1.70	0.00	1.70 90.0 1.53
02/14/2018	00089436042	WANNER, NIKKI L.	AMERICAN FUNDS	2035 TARGET DATE-A	B	40.00	1.70	0.00	1.70 90.0 1.53
02/13/2018	00089436102	BOHLMANN, JACOB B.	AMERICAN FUNDS	BALANCED FD	B	54.99	2.06	0.00	2.06 90.0 1.85
02/14/2018	00089449545	ELLINGSON, KRISTEN K.	AMERICAN	BALANCED FUND-C	B	75.00	0.75	0.00	0.75 90.0 0.68
02/15/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS	AMERICAN MUTUAL FD	B	104.25	5.21	0.00	5.21 90.0 4.69

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS EURO PACIFIC GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
02/15/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS GROWTH FUND OF AMERI B	104.25	5.21	0.00	5.21	90.0	4.69
02/15/2018	00089449609	THOURON, MARIA E.	AMERICAN FUNDS INCOME FD OF AMERI B	104.25	5.21	0.00	5.21	90.0	4.69
02/15/2018	00089491398	KOKOSZKA, KENNETH	AMERICAN FUNDS 2025 TARGET DATE-A B	220.00	11.00	0.00	11.00	90.0	9.90
		J.							
02/15/2018	00089491398	KOKOSZKA, KENNETH	AMERICAN FUNDS 2025 TARGET DATE-A B	33.00	1.65	0.00	1.65	90.0	1.49
		J.							
02/15/2018	00089491403	WELLS, DENISE M.	AMERICAN FUNDS 2050 TARGET DATE-A B	480.00	24.00	0.00	24.00	90.0	21.60
02/15/2018	00089491403	WELLS, DENISE M.	AMERICAN FUNDS 2050 TARGET DATE-A B	180.00	9.00	0.00	9.00	90.0	8.10
02/15/2018	00089549237	HENRY, STEVEN L.	AMCAP FUND-C B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00089549237	HENRY, STEVEN L.	WASHINGTON MUTUAL INVESTORS FUND-C B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00089549281	HENRY, NICOLE M.	FUNDAMENTAL INVESTORS-C B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00089549281	HENRY, NICOLE M.	THE GROWTH FUND OF AMERICA-C B	50.00	0.50	0.00	0.50	90.0	0.45
02/15/2018	00089606497	DELES DERNIER,	AMERICAN FUNDS FUNDAMENTAL INVES B	250.00	10.63	0.00	10.63	90.0	9.57
		CONNIE							
02/15/2018	00089606506	DELES DERNIER,	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	2.13	0.00	2.13	90.0	1.92
		DOUGLAS							
02/15/2018	00089606506	DELES DERNIER,	AMERICAN FUNDS SMALL CAP WORLD FD B	50.00	2.13	0.00	2.13	90.0	1.92
		DOUGLAS							
02/15/2018	00089621757	MORTON, BRET E.	THE GROWTH FUND OF AMERICA-C B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00089621765	MORTON, LINDA A.	AMCAP FUND-C B	75.00	0.75	0.00	0.75	90.0	0.68
02/15/2018	00089961444	WEISS, CHRIS D.	AMCAP FUND-C B	114.00	1.14	0.00	1.14	90.0	1.03
02/15/2018	00089961444	WEISS, CHRIS D.	AMERICAN MUTUAL FUND-C B	114.00	1.14	0.00	1.14	90.0	1.03
02/15/2018	00089961444	WEISS, CHRIS D.	NEW WORLD FUND-C B	115.00	1.15	0.00	1.15	90.0	1.04
02/15/2018	00089961444	WEISS, CHRIS D.	SMALLCAP WORLD FUND-C B	115.00	1.15	0.00	1.15	90.0	1.04
02/15/2018	00201000577	GUNDERSON, SUSAN	COLUMBIA CONTRARIAN CORE FD A B	114.00	4.28	0.00	4.28	90.0	3.85
		M.							
02/15/2018	00201000577	GUNDERSON, SUSAN	COLUMBIA MARSICO GLOBAL FUND A B	115.00	4.31	0.00	4.31	90.0	3.88
		M.							
02/15/2018	00505047984	PETERSON, JEFF	PUTNAM CAPITAL OPPORTUNITIES FUND B	114.00	4.28	0.00	4.28	90.0	3.85
02/15/2018	00505047984	PETERSON, JEFF	PUTNAM GLOBAL GROWTH FD CL A B	114.00	4.28	0.00	4.28	90.0	3.85
02/15/2018	00505047984	PETERSON, JEFF	PUTNAM GROWTH OPPORTUNITIES FD CL A B	109.00	4.09	0.00	4.09	90.0	3.68
02/15/2018	00932927612	KENDALL, REBECCA	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.38	0.00	1.38	90.0	1.24
02/12/2018	01000170691	WRIGHT, EDWARD	AIM DEVELOPING MARKETS FUND - CLASS B	37.50	0.38	0.00	0.38	90.0	0.34
02/12/2018	01000170691	WRIGHT, EDWARD	AIM GLOBAL AGGRESSIVE GROWTH FD CL B	37.50	0.38	0.00	0.38	90.0	0.34
02/12/2018	01000170691	WRIGHT, EDWARD	AIM UTILITIES FUND - CLASS C B	37.50	0.38	0.00	0.38	90.0	0.34
02/12/2018	01000170691	WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C B	37.50	0.38	0.00	0.38	90.0	0.34
02/01/2018	0100035696	WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND B	125.00	3.75	0.00	3.75	90.0	3.38
02/01/2018	0100035696	WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND B	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	0100035707	WOODYARD, LISA	OPPENHEIMER MAIN ST SMALL CAP FUND B	400.00	12.00	0.00	12.00	90.0	10.80

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/01/2018	0100071050	FISCHER, DACIA M. OPPENHEIMER MAIN ST SMALL CAP FUND	B	87.50	3.50	0.00	3.50	90.0	3.15
02/15/2018	01001342204	DERKSEN, NATHAN P. IVK COMSTOCK FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/15/2018	01001342204	DERKSEN, NATHAN P. IVK SMALL CAP GROWTH FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/12/2018	01001396396	BENTLEY, BRANDON IVK AMERICAN FRANCHISE FUND - CLASS B	B	100.00	5.00	0.00	5.00	90.0	4.50
02/15/2018	01240555562	GUNDERSON, SUSAN COLUMBIA MIDCAP GROWTH FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
	M.								
02/15/2018	01390000410	GUNDERSON, KELLY COLUMBIA TECHNOLOGY FUND A	B	114.00	4.28	0.00	4.28	90.0	3.85
	M.								
02/15/2018	01793555613	GUNDERSON, KELLY COLUMBIA ACORN INTERNATIONAL	B	114.00	4.28	0.00	4.28	90.0	3.85
	M.								
02/15/2018	01981555565	GUNDERSON, KELLY COLUMBIA MIDCAP VALUE	B	115.00	4.31	0.00	4.31	90.0	3.88
	M.								
02/12/2018	02400107447	HOP, DANIEL J. CALIBRATED MID CAP VALUE A	B	137.50	5.50	0.00	5.50	90.0	4.95
02/12/2018	02400107447	HOP, DANIEL J. GROWTH LEADERS FUND A	B	137.50	5.50	0.00	5.50	90.0	4.95
02/12/2018	02400107447	HOP, DANIEL J. LORD ABBETT ALPHA SERIES FD CL A	B	137.50	5.50	0.00	5.50	90.0	4.95
02/12/2018	02400107447	HOP, DANIEL J. LORD ABBETT SECS TRUST INTL FD CL A	B	137.50	5.50	0.00	5.50	90.0	4.95
02/12/2018	03809167046	WRIGHT, SANDRA AIM DIVERSIFIED DIVIDEND FUND - CL	B	67.62	2.03	0.00	2.03	90.0	1.83
02/12/2018	03809167046	WRIGHT, SANDRA AIM GLOBAL REAL ESTATE FUND - CLASS B	B	67.62	0.68	0.00	0.68	90.0	0.61
02/12/2018	03809167046	WRIGHT, SANDRA AIM UTILITIES FUND - CLASS C	B	67.62	0.68	0.00	0.68	90.0	0.61
02/12/2018	03809167046	WRIGHT, SANDRA IVK AMERICAN FRANCHISE FUND - CLASS B	B	67.62	0.68	0.00	0.68	90.0	0.61
02/16/2018	04000155969	ANDERSON, RYAN AMERICAN MUTUAL FUND-C	B	325.00	3.25	0.00	3.25	90.0	2.93
02/16/2018	04000155969	ANDERSON, RYAN NEW WORLD FUND-C	B	325.00	3.25	0.00	3.25	90.0	2.93
02/16/2018	04000155969	ANDERSON, RYAN THE GROWTH FUND OF AMERICA-C	B	325.00	3.25	0.00	3.25	90.0	2.93
02/16/2018	04000155969	ANDERSON, RYAN THE INCOME FUND OF AMERICA-C	B	325.00	3.25	0.00	3.25	90.0	2.93
02/14/2018	04000162783	KAUK, TIMOTHY AMERICAN FUNDS AMERICAN MUTUAL FD	B	132.00	5.61	0.00	5.61	90.0	5.05
02/14/2018	04000162783	KAUK, TIMOTHY AMERICAN FUNDS AMERICAN MUTUAL FD	B	132.00	5.61	0.00	5.61	90.0	5.05
02/14/2018	04000166653	PALMER, ANTHONY AMERICAN FUNDS 2020 TARGET DATE-C	B	80.00	0.80	0.00	0.80	90.0	0.72
02/14/2018	04000166653	PALMER, ANTHONY AMERICAN FUNDS 2020 TARGET DATE-C	B	69.39	0.69	0.00	0.69	90.0	0.62
02/15/2018	04000166931	KAUK, LISA M. AMERICAN FUNDS AMERICAN MUTUAL FD	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000166931	KAUK, LISA M. AMERICAN FUNDS CAPITAL WORLD GROWT	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000166931	KAUK, LISA M. AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000166931	KAUK, LISA M. AMERICAN FUNDS NEW PERSPECTIVE FD	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000167035	KAUK, TIMOTHY AMERICAN FUNDS BALANCED FD	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000167035	KAUK, TIMOTHY AMERICAN FUNDS FUNDAMENTAL INVES	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000167035	KAUK, TIMOTHY AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000167035	KAUK, TIMOTHY NEW WORLD FUND-A	B	75.00	3.75	0.00	3.75	90.0	3.38
02/15/2018	04000211352	NEMEC, ERIN E. AMERICAN FUNDS AMCAP FD	B	91.75	4.59	0.00	4.59	90.0	4.13
02/15/2018	04000211352	NEMEC, ERIN E. AMERICAN FUNDS CAPITAL WORLD GROWT	B	91.75	4.59	0.00	4.59	90.0	4.13
02/15/2018	04000211352	NEMEC, ERIN E. AMERICAN FUNDS INCOME FD OF AMERI	B	91.75	4.59	0.00	4.59	90.0	4.13
02/15/2018	04000211352	NEMEC, ERIN E. AMERICAN FUNDS NEW PERSPECTIVE FD	B	91.75	4.59	0.00	4.59	90.0	4.13

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS EURO PACIFIC	GROWT B	114.50	5.73	0.00	5.73	90.0	5.16
02/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.50	5.73	0.00	5.73	90.0	5.16
02/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	5.73	0.00	5.73	90.0	5.16
02/15/2018	04000211366 NEMEC, LANCE L.	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.50	5.73	0.00	5.73	90.0	5.16
02/12/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	7.95	0.40	0.00	0.40	90.0	0.36
02/13/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	41.36	2.07	0.00	2.07	90.0	1.86
02/14/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	20.85	1.04	0.00	1.04	90.0	0.94
02/14/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS GROWTH FUND OF AMERI	B	57.47	2.87	0.00	2.87	90.0	2.58
02/12/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	7.95	0.40	0.00	0.40	90.0	0.36
02/13/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	41.36	2.07	0.00	2.07	90.0	1.86
02/14/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	20.84	1.04	0.00	1.04	90.0	0.94
02/14/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS INVESTMENT COMP OF A	B	57.47	2.87	0.00	2.87	90.0	2.58
02/12/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	8.19	0.41	0.00	0.41	90.0	0.37
02/13/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	42.62	2.13	0.00	2.13	90.0	1.92
02/14/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	21.47	1.07	0.00	1.07	90.0	0.96
02/14/2018	04000219045 VEAZEY, CHRISTOPHER	AMERICAN FUNDS NEW PERSPECTIVE FD	B	59.21	2.96	0.00	2.96	90.0	2.66
02/15/2018	04000452410 PACHL, JESSICA/EVE	GROWTH PORTFOLIO-A	B	100.00	4.25	0.00	4.25	90.0	3.83
02/15/2018	04000669878 KAU, TIMOTHY	GLOBAL GROWTH PORTFOLIO-C	B	167.00	1.67	0.00	1.67	90.0	1.50
02/15/2018	04000804288 MORELAND, CAROLYN E.	AMERICAN BALANCED FUND-C	B	20,000.00	200.00	0.00	200.00	90.0	180.00
02/15/2018	15375555562 GUNDERSON, SUSAN M.	COLUMBIA SELECT SMALLER-CAP VALUE	F B	115.00	4.31	0.00	4.31	90.0	3.88
02/01/2018	1953048892 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND	A B	125.00	3.75	0.00	3.75	90.0	3.38
02/01/2018	1953048892 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND	A B	100.00	3.00	0.00	3.00	90.0	2.70
02/01/2018	1953048903 WOODYARD, LISA	INTERNATIONAL DIVERSIFIED FUND	A B	400.00	12.00	0.00	12.00	90.0	10.80
02/02/2018	2252419461 JOHNSON, TINA	OPPENHEIMER QUEST VALUE FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
02/01/2018	2254439479 NIDA, AARON R.	OPPENHEIMER QUEST VALUE FD	CL A B	200.00	8.00	0.00	8.00	90.0	7.20
02/01/2018	2514890897 FISCHER, BRANDON S.	OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.00	0.00	2.00	90.0	1.80

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/15/2018	4206310777	RUTSTEIN, ANDREA	OPPENHEIMER MAIN STREET INCOME & GR B	100.00	4.75	0.00	4.75	90.0	4.28
02/01/2018	4206645562	FISCHER, BRANDON S.	OPPENHEIMER MAIN STREET INCOME & GR B	50.00	2.00	0.00	2.00	90.0	1.80
02/02/2018	5004750427	JOHNSON, TINA	OPPENHEIMER DISCOVERY FD CL A	B	166.67	6.67	0.00	6.67	6.00
02/15/2018	51830000605	GUNDERSON, KELLY M.	COLUMBIA LARGE CORE QUAN FUND A	B	115.00	4.31	0.00	4.31	3.88
02/06/2018	5470548812	PLATT, CYNTHIA L.	PORTFOLIO SERIES: MODERATE INVESTOR B	4,533.33	45.33	0.00	45.33	90.0	40.80
02/01/2018	6870194370	FISCHER, BRANDON S.	OPPENHEIMER GLOBAL VALUE FUND A	B	50.00	2.00	0.00	2.00	1.80
02/01/2018	7006154393	RUTSTEIN, ANDREA/XAVIEN G.	OPPENHEIMER MAIN STREET INCOME & GR B	75.00	3.56	0.00	3.56	90.0	3.20
02/01/2018	7210829081	WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	125.00	3.75	0.00	3.75	3.38
02/01/2018	7210829081	WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	100.00	3.00	0.00	3.00	2.70
02/01/2018	7210829092	WOODYARD, LISA	SMALL- & MID-CAP GROWTH FUND A	B	400.00	12.00	0.00	12.00	10.80
02/01/2018	7210901109	FISCHER, DACIA M.	SMALL- & MID-CAP GROWTH FUND A	B	87.50	3.50	0.00	3.50	3.15
02/15/2018	7211015300	RUTSTEIN, ROBERT	SMALL- & MID-CAP GROWTH FUND A	B	100.00	4.75	0.00	4.75	4.28
02/02/2018	7860088551	JOHNSON, TINA	OPPENHEIMER DEVELOPING MKT FD CL A	B	166.67	6.67	0.00	6.67	6.00
02/01/2018	8259166608	FISCHER, DACIA M.	OPPENHEIMER INTL GROWTH FD CL A	B	87.50	3.50	0.00	3.50	3.15
02/01/2018	8351505449	RUTSTEIN, MILES	OPPENHEIMER QUEST CAPITAL	VALUE B	75.00	3.56	0.00	3.56	3.20
02/01/2018	8352833303	WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	125.00	3.75	0.00	3.75	3.38
02/01/2018	8352833303	WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	100.00	3.00	0.00	3.00	2.70
02/01/2018	8352833314	WOODYARD, LISA	OPPENHEIMER QUEST CAPITAL	VALUE B	400.00	12.00	0.00	12.00	10.80
02/01/2018	8353044635	FISCHER, DACIA M.	OPPENHEIMER QUEST CAPITAL	VALUE B	87.50	3.50	0.00	3.50	3.15
02/01/2018	8477461344	FISCHER, BRANDON S.	MAIN STREET SMALL CAP FUND A	B	50.00	2.00	0.00	2.00	1.80
02/02/2018	8805969062	JOHNSON, TINA	OPPENHEIMER INTL BD FD CL A	B	166.65	6.25	0.00	6.25	5.63
* MUTUAL FUNDS SUBTOTAL *				\$75,130.50	\$1,778.15	\$0.00	\$1,778.15		\$1,600.77
PRODUCT CATEGORY: STOCKS									
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	859.07	859.07	0.00	859.07	90.0	773.16
* STOCKS SUBTOTAL *				\$859.07	\$859.07	\$0.00	\$859.07		\$773.16
PRODUCT CATEGORY: RIA									
01/12/2018	10597815	HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	264.00	0.00	264.00	237.60
01/12/2018	10771324	GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	6.00
01/12/2018	11760371	LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	177.33	0.00	177.33	159.60
01/12/2018	12153707	STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	6.60
01/12/2018	12445993	PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	388.67	0.00	388.67	349.80
01/12/2018	12520657	ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	113.10
01/12/2018	12976261	HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	273.33	0.00	273.33	246.00
01/12/2018	13142828	GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	101.70
PATRICIA P.									
01/12/2018	14348417	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	15.90

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 14381829	WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
01/12/2018 14429709	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	26.67	0.00	26.67	90.0	24.00
01/12/2018 15089284	ANDERSON, BOB J & LILLIAN	SIGNAL SECURITIES RIA	B	0.00	176.67	0.00	176.67	90.0	159.00
01/12/2018 15091367	HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	178.67	0.00	178.67	90.0	160.80
01/12/2018 15467725	BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
02/16/2018 15820668	GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	163.00	0.00	163.00	90.0	146.70
01/12/2018 16270038	MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	157.00	0.00	157.00	90.0	141.30
01/12/2018 16412029	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	41.67	0.00	41.67	90.0	37.50
01/12/2018 17118785	HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
01/12/2018 17352141	CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
01/12/2018 17440148	EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018 17456904	SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	92.33	0.00	92.33	90.0	83.10
01/12/2018 17662068	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
01/12/2018 18212150	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	129.67	0.00	129.67	90.0	116.70
01/12/2018 19492576	CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018 20144207	BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	85.67	0.00	85.67	90.0	77.10
01/12/2018 20206228	YAMASHITA, MARK M	SIGNAL SECURITIES RIA	B	0.00	324.67	0.00	324.67	90.0	292.20
01/12/2018 20556395	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
01/12/2018 22504665	WILLIAMS, MARIE CLAUDE	SIGNAL SECURITIES RIA	B	0.00	141.33	0.00	141.33	90.0	127.20
01/12/2018 23584507	KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	229.67	0.00	229.67	90.0	206.70
01/12/2018 23996577	TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018 24030675	MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
01/12/2018 24184005	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	98.33	0.00	98.33	90.0	88.50
01/12/2018 25436755	NILLES, VICKIE	SIGNAL SECURITIES RIA	B	0.00	177.67	0.00	177.67	90.0	159.90
01/12/2018 26598701	STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	90.0	111.60
01/12/2018 28007804	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
01/12/2018 28346788	BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018 28373035	REEVES, JAMES & MICHAELA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018 28433693	ZITNAK, STEPHEN W	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	90.0	42.30
01/12/2018 28684378	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	203.67	0.00	203.67	90.0	183.30
01/12/2018 28705104	JOHNSON, JERRY D	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
01/12/2018 29556870	HRUSKA, CATHERINE E	SIGNAL SECURITIES RIA	B	0.00	113.67	0.00	113.67	90.0	102.30
01/12/2018 29589313	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
01/12/2018 29650218	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018 29746730	WILLIAMS,	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
DONALD/GERALDIN									
01/12/2018	30072989 PYRIH, LUBA DARIA	SIGNAL SECURITIES RIA	B	0.00	159.00	0.00	159.00	90.0	143.10
01/12/2018	30322937 SWEENEY, MARY T	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0	179.40
01/12/2018	30347787 BLACK HILLS CORP,	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
FBO DAVID SAMUE									
01/12/2018	30647698 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	30677407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	43.67	0.00	43.67	90.0	39.30
01/12/2018	30878907 QUINLISK, ERNIE J	SIGNAL SECURITIES RIA	B	0.00	295.33	0.00	295.33	90.0	265.80
01/12/2018	31781509 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00	90.0	126.00
01/12/2018	32417594 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0	3.00
01/12/2018	32899427 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33	90.0	55.20
01/12/2018	32902956 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	226.00	0.00	226.00	90.0	203.40
01/12/2018	32989023 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	139.00	0.00	139.00	90.0	125.10
01/12/2018	33413216 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
01/12/2018	33603400 PEDERSEN/SCHMELTZER,	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0	41.10
SIGNE R & LOUIS									
01/12/2018	33769726 WOOD, HEATHER C	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	34262259 JOHNSON, VICTORIA L	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018	34296234 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33	90.0	15.60
01/12/2018	34299521 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	473.33	0.00	473.33	90.0	426.00
01/12/2018	35039653 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	52.33	0.00	52.33	90.0	47.10
01/12/2018	35359393 KRANTZ,	SIGNAL SECURITIES RIA	B	0.00	189.67	0.00	189.67	90.0	170.70
RICHARD/SANDRA									
01/12/2018	36133640 GARGIULO, TIMOTHY	SIGNAL SECURITIES RIA	B	0.00	410.33	0.00	410.33	90.0	369.30
FRANCIS									
01/12/2018	37594469 KAHLER, KIM E & JANET	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
C									
01/12/2018	37802064 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	55.67	0.00	55.67	90.0	50.10
01/12/2018	38007046 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018	38167769 QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	114.67	0.00	114.67	90.0	103.20
01/12/2018	38488926 BEITELSPACHER REV.	SIGNAL SECURITIES RIA	B	0.00	416.33	0.00	416.33	90.0	374.70
TRUST, NICOLE A.									
01/12/2018	38489479 GLOECKLER, BEVERLY	SIGNAL SECURITIES RIA	B	0.00	166.00	0.00	166.00	90.0	149.40
SUE									
01/12/2018	38652695 GIRARD-CARLSON,	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
CATHRYN									
01/12/2018	39033876 TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	39663311 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	39961103 WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	289.00	0.00	289.00	90.0	260.10
01/12/2018	40257767 HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	215.00	0.00	215.00	90.0	193.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	40334505 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0	27.60
01/12/2018	41168136 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	84.33	0.00	84.33	90.0	75.90
01/12/2018	41176823 SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	118.67	0.00	118.67	90.0	106.80
01/12/2018	41306013 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	97.00	0.00	97.00	90.0	87.30
01/12/2018	41561872 9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	342.00	0.00	342.00	90.0	307.80
01/12/2018	42294828 HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	42576807 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00	90.0	50.40
01/12/2018	42754170 ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,064.00	0.00	1,064.00	90.0	957.60
01/12/2018	42758212 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	106.33	0.00	106.33	90.0	95.70
01/12/2018	42775183 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	144.67	0.00	144.67	90.0	130.20
01/12/2018	43202964 CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	90.0	32.10
01/12/2018	43438628 JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	81.00	0.00	81.00	90.0	72.90
01/12/2018	43795301 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	43835783 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
01/12/2018	43903697 LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	90.0	18.30
01/12/2018	43957902 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	26.33	0.00	26.33	90.0	23.70
01/12/2018	44129020 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	32.33	0.00	32.33	90.0	29.10
01/12/2018	45307716 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018	45460890 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	47.33	0.00	47.33	90.0	42.60
01/12/2018	45973210 TENOLD, AMY FBO DACE T	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
01/12/2018	46518151 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	46614088 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
01/12/2018	46830951 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
01/12/2018	46838476 GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	383.67	0.00	383.67	90.0	345.30
01/12/2018	47144653 PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67	90.0	58.20
01/12/2018	47378557 WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	47487556 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	81.33	0.00	81.33	90.0	73.20
01/12/2018	47601105 KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	209.33	0.00	209.33	90.0	188.40
01/12/2018	47914778 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	89.00	0.00	89.00	90.0	80.10
01/12/2018	48682692 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
01/12/2018	49346016 SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
01/12/2018	49831675 TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	49985458 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33	90.0	55.20
01/12/2018	49998746 MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
01/12/2018	50721833 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
01/12/2018	52829344 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	58.67	0.00	58.67	90.0	52.80
01/12/2018	53704449 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	90.33	0.00	90.33	90.0	81.30
01/12/2018	53709669 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	321.67	0.00	321.67	90.0	289.50
01/12/2018	54158431 PIERCE, JOHN FB	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
	ABIGAIL								
01/12/2018	54865811 THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	440.67	0.00	440.67	90.0	396.60
01/12/2018	54941170 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	207.33	0.00	207.33	90.0	186.60
01/12/2018	55526612 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
01/12/2018	56096498 BUREMAN, MICHAEL& RITA	SIGNAL SECURITIES RIA	B	0.00	124.00	0.00	124.00	90.0	111.60
01/12/2018	56292092 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	104.33	0.00	104.33	90.0	93.90
01/12/2018	56595062 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	46.00	0.00	46.00	90.0	41.40
01/12/2018	56816203 JACKSON, LISA M	SIGNAL SECURITIES RIA	B	0.00	85.33	0.00	85.33	90.0	76.80
01/12/2018	58281647 ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	63.00	0.00	63.00	90.0	56.70
01/12/2018	59576874 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	242.67	0.00	242.67	90.0	218.40
01/12/2018	60169490 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
01/12/2018	60197288 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018	60253386 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	100.33	0.00	100.33	90.0	90.30
01/12/2018	60791579 SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	425.33	0.00	425.33	90.0	382.80
01/12/2018	61099660 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	149.00	0.00	149.00	90.0	134.10
01/12/2018	61542054 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	213.00	0.00	213.00	90.0	191.70
01/12/2018	62004058 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	59.00	0.00	59.00	90.0	53.10
01/12/2018	62382731 DIAMOND, NOAH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	62650715 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
01/12/2018	63023683 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
01/12/2018	63140775 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
01/12/2018	63781985 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	97.33	0.00	97.33	90.0	87.60
01/12/2018	64110138 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	116.67	0.00	116.67	90.0	105.00
01/12/2018	64404215 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
01/12/2018	64833041 EIRING, JOHN & DEBORAH	SIGNAL SECUR							

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	65226021 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	176.67	0.00	176.67	90.0	159.00
01/12/2018	65557704 MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	255.33	0.00	255.33	90.0	229.80
01/12/2018	66425418 MACOLINI, FRANK & RUTHANN	SIGNAL SECURITIES RIA	B	0.00	450.33	0.00	450.33	90.0	405.30
01/12/2018	66917531 BUREMAN, RITA L.	SIGNAL SECURITIES RIA	B	0.00	266.33	0.00	266.33	90.0	239.70
01/12/2018	68495356 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
01/12/2018	68521713 ANDERSON, BOB J & LILLIAN	SIGNAL SECURITIES RIA	B	0.00	181.33	0.00	181.33	90.0	163.20
01/12/2018	68548550 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	91.67	0.00	91.67	90.0	82.50
01/12/2018	68732372 BERG, RONALD	SIGNAL SECURITIES RIA	B	0.00	700.33	0.00	700.33	90.0	630.30
01/12/2018	69503797 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	34.00	0.00	34.00	90.0	30.60
01/12/2018	69769351 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	260.33	0.00	260.33	90.0	234.30
01/12/2018	70053665 HOLLEARN, RITA	SIGNAL SECURITIES RIA	B	0.00	15.67	0.00	15.67	90.0	14.10
01/12/2018	70228215 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	338.00	0.00	338.00	90.0	304.20
01/12/2018	71751206 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
01/12/2018	71785581 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	77.67	0.00	77.67	90.0	69.90
01/12/2018	71981417 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33	90.0	59.70
01/12/2018	72180753 RHODES, MARK L	SIGNAL SECURITIES RIA	B	0.00	387.67	0.00	387.67	90.0	348.90
01/12/2018	73270490 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
01/12/2018	73463753 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33	90.0	30.90
01/12/2018	73606431 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	2,078.00	0.00	2,078.00	90.0	1,870.20
01/12/2018	73984739 ACKERMAN, LAVONNE L	SIGNAL SECURITIES RIA	B	0.00	239.67	0.00	239.67	90.0	215.70
01/12/2018	74090733 BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018	74134537 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	74561646 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	42.67	0.00	42.67	90.0	38.40
01/12/2018	74832638 STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	72.67	0.00	72.67	90.0	65.40
01/12/2018	75152036 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	90.0	53.70
01/12/2018	75826019 WILLIAMS, GERALDINE	SIGNAL SECURITIES RIA	B	0.00	147.00	0.00	147.00	90.0	132.30
01/12/2018	76522415 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	162.33	0.00	162.33	90.0	146.10
01/12/2018	76748838 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
01/12/2018	76891257 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00	90.0	123.30
01/12/2018	78957791 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	359.67	0.00	359.67	90.0	323.70
01/12/2018	78986866 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	310.00	0.00	310.00	90.0	279.00
01/12/2018	79112732 DODGE, BRIAN D	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018	79301514 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	65.00	0.00	65.00	90.0	58.50
01/12/2018	79495850 RAPID CITY MEDICAL CTR	SIGNAL SECURITIES RIA	B	0.00	499.67	0.00	499.67	90.0	449.70

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018	79828155 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
01/12/2018	80391965 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
01/12/2018	80875540 ROMERO, DALE E.	SIGNAL SECURITIES RIA	B	0.00	251.67	0.00	251.67	90.0	226.50
01/12/2018	81096523 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
01/12/2018	81501198 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	382.67	0.00	382.67	90.0	344.40
01/12/2018	81836304 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	44.33	0.00	44.33	90.0	39.90
01/12/2018	82190461 TENOLD, AMY FBO DAWSON	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
01/12/2018	82997248 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	163.00	0.00	163.00	90.0	146.70
01/12/2018	83018285 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	83255347 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	217.00	0.00	217.00	90.0	195.30
01/12/2018	83298368 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018	83344292 BORK, WALLACE D	SIGNAL SECURITIES RIA	B	0.00	496.00	0.00	496.00	90.0	446.40
01/12/2018	83355639 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
01/12/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	90.0	120.90
01/12/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	84359588 HOWE, BARBARA A.	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0	179.40
01/12/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	164.33	0.00	164.33	90.0	147.90
01/12/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	90.0	72.30
01/12/2018	85822210 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	85996569 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
02/16/2018	86018805 TENOLD, AMY FBO DUALY O	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
01/12/2018	86057224 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
01/12/2018	86469888 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	139.33	0.00	139.33	90.0	125.40
01/12/2018	87210372 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
01/12/2018	87411379 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	172.00	0.00	172.00	90.0	154.80
01/12/2018	87992733 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	57.67	0.00	57.67	90.0	51.90
01/12/2018	88251717 KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
01/12/2018	88260407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018	88353473 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	159.33	0.00	159.33	90.0	143.40
01/12/2018	89139909 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
01/12/2018	89348302 GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	603.00	0.00	603.00	90.0	542.70

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	89790665 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	853.67	0.00	853.67	90.0	768.30
01/12/2018	91013710 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
01/12/2018	92186431 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
01/12/2018	92202296 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018	92327955 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
01/12/2018	92545805 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
01/12/2018	92927312 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	37.33	0.00	37.33	90.0	33.60
01/12/2018	93010997 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	425.67	0.00	425.67	90.0	383.10
01/12/2018	93015086 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	18.67	0.00	18.67	90.0	16.80
01/12/2018	93046418 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	309.33	0.00	309.33	90.0	278.40
01/12/2018	94507995 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
01/12/2018	94629096 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
01/12/2018	94635069 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	288.33	0.00	288.33	90.0	259.50
01/12/2018	95008736 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	222.00	0.00	222.00	90.0	199.80
01/12/2018	95368200 REEVES, MICAELA S	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	95392118 O'NEILL, WILLIAM C	SIGNAL SECURITIES RIA	B	0.00	256.00	0.00	256.00	90.0	230.40
01/12/2018	95605369 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
01/12/2018	95863247 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	35.33	0.00	35.33	90.0	31.80
01/12/2018	96072766 PROFFITT, SUSAN N.	SIGNAL SECURITIES RIA	B	0.00	143.33	0.00	143.33	90.0	129.00
01/12/2018	96167222 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	129.67	0.00	129.67	90.0	116.70
01/12/2018	96706135 WOLF, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	134.33	0.00	134.33	90.0	120.90
01/12/2018	96806247 DIAMOND, KENNETH C.	SIGNAL SECURITIES RIA	B	0.00	274.00	0.00	274.00	90.0	246.60
01/12/2018	96937079 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67	90.0	22.20
01/12/2018	96946210 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	211.00	0.00	211.00	90.0	189.90
01/12/2018	97095581 SCHMIDT, GERALD & JUDITH	SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	90.0	52.20
01/12/2018	97641202 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
01/12/2018	97680079 STEVENS, STEPHANIE A	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	90.0	10.80
01/12/2018	97927074 GARGIULO, HELEN PATRICIA P.	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
01/12/2018	98361164 SANDER, RANDY L	SIGNAL SECURITIES RIA	B	0.00	154.67	0.00	154.67	90.0	139.20
01/12/2018	98617795 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	125.00	0.00	125.00	90.0	112.50
01/12/2018	98834954 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
01/12/2018	98954969 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
01/12/2018	99111980 WILSON, VICKY L	SIGNAL SECURITIES RIA	B	0.00	121.33	0.00	121.33	90.0	109.20

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

01/12/2018	99353116	DODGE, SALLY A		SIGNAL SECURITIES RIA	B	0.00	66.67	0.00	66.67	90.0	60.00
01/12/2018	99580715	BUREMAN, MICHAEL& RITA		SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
				* RIA SUBTOTAL *		\$0.00	\$31,747.32	\$0.00	\$31,747.32		\$28,572.60

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	0.36	0.00	0.36	90.0	0.33
03/01/2018 TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES	0.00	17.50	0.00	17.50	90.0	15.76
03/01/2018 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES	0.00	8.07	0.00	8.07	90.0	7.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$25.93	\$0.00	\$25.93		\$23.35
*** BROKER TRANSACTIONS TOTAL ***		\$75,989.57	\$34,410.47	\$0.00	\$34,410.47		\$30,969.88

OVERRIDE TRANSACTIONSBROKER: KELSEY MCDANIEL #9660

01/31/2018	TRAILS	- LORD ABBETT	B	25.27	25.27	0.00	25.27	5.05	
02/15/2018	000001977715529	MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50	0.50
02/06/2018	000001979391988	MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	402.69	20.13	0.00	20.13	4.03
* KELSEY MCDANIEL SUBTOTAL *					477.96	47.90	0.00	47.90	9.58
*** OVERRIDE TRANSACTIONS TOTAL ***					477.96	47.90	0.00	47.90	9.58

ADJUSTMENTS

03/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
03/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-81.00
03/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-208.50

BROKER COMMISSION TOTALS

Broker Transactions	\$30,969.88
Split Transactions	\$0.00
Override Transactions	\$9.58
Adjustments	\$-208.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$30,770.96
Year-to-date Earnings	\$106,902.94