



COMMISSION STATEMENT

July 16, 2018

GARRETT MORETZ181 North Main Street, Ste 208
Mooresville, NC 28115**BROKER #: B09**

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/09/2018	***-**-440I - BOHNAKER, ANGELA	IVY HIGH INCOME CL A	5,714.70	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012403	SELL 766 Units @7.4600						
07/10/2018	***-**-440I - BOHNAKER, ANGELA	MORGAN STANLEY GROWTH PORT A-NFS	5,700.00	285.00	18.00	267.00	82.0	218.94
	ACCOUNT #: LSR012403	BUY 113 Units @50.3000						
07/12/2018	***-**-03IR - HINES, WALTER	MORGAN STANLEY GROWTH PORT A-NFS	4,399.98	220.00	18.00	202.00	82.0	165.64
	ACCOUNT #: LSR012153	BUY 85 Units @51.6000						
07/11/2018	***-**-03IR - HINES, WALTER	PRINCIPAL INVESTOR HIGH YIELD II CL	4,614.09	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012153	SELL 636 Units @7.2600						
* MUTUAL FUNDS SUBTOTAL *			\$20,428.77	\$505.00	\$72.00	\$433.00		\$348.58
<u>PRODUCT CATEGORY: RIA</u>								
07/16/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	1,208.48	0.00	1,208.48	82.0	990.95
		BUY 1 Units @0.0000						
07/16/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	81.72	0.00	81.72	82.0	67.01
		BUY 1 Units @0.0000						
07/17/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	85.89	85.89	0.00	85.89	82.0	70.43
	ACCOUNT #: RH0813100D	BUY 0 Units @0.0000						
07/17/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	68.39	68.39	0.00	68.39	82.0	56.08
	ACCOUNT #: RH24513009	BUY 0 Units @0.0000						
07/17/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	107.83	107.83	0.00	107.83	82.0	88.42
	ACCOUNT #: RE1889900Z	BUY 0 Units @0.0000						
07/17/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	40.84	40.84	0.00	40.84	82.0	33.49
	ACCOUNT #: RE1910700J	BUY 0 Units @0.0000						
07/17/2018	***-**-40FB - BOHNAKER, ANGELA	EQIS ADVISOR FEES	41.10	41.10	0.00	41.10	82.0	33.70
	ACCOUNT #: RC6490700F	BUY 0 Units @0.0000						
07/17/2018	***-**-95FB - BROWN, SUSAN	EQIS ADVISOR FEES	81.57	81.57	0.00	81.57	82.0	66.89
	ACCOUNT #: RD9347700L	BUY 0 Units @0.0000						
07/17/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	84.33	84.33	0.00	84.33	82.0	69.15
	ACCOUNT #: RD90457005	BUY 0 Units @0.0000						
07/17/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	173.99	173.99	0.00	173.99	82.0	142.67
	ACCOUNT #: RD9898400H	BUY 0 Units @0.0000						
07/17/2018	***-**-71FB - CARPENTIER,	EQIS ADVISOR FEES	26.81	26.81	0.00	26.81	82.0	21.98
	ACCOUNT #: RC9591500B	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
07/17/2018	***-**-77FB - CLAYTON, HORACE	EQIS ADVISOR FEES	179.46	179.46	0.00	179.46	82.0	147.16
	ACCOUNT #: RD9998300X	BUY 0 Units @0.0000						
07/17/2018	***-**-68FB - CLAYTON, LINDA	EQIS ADVISOR FEES	40.59	40.59	0.00	40.59	82.0	33.28
	ACCOUNT #: RE0183300B	BUY 0 Units @0.0000						
07/17/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	28.25	28.25	0.00	28.25	82.0	23.17
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
07/17/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	37.72	37.72	0.00	37.72	82.0	30.93
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
07/17/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	42.19	42.19	0.00	42.19	82.0	34.60
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
07/17/2018	***-**-36FB - CLINGON, KAREN	EQIS ADVISOR FEES	36.23	36.23	0.00	36.23	82.0	29.71
	ACCOUNT #: RE3070500V	BUY 0 Units @0.0000						
07/17/2018	***-**-34FB - CLYMER, BETTY	EQIS ADVISOR FEES	0.01	0.01	0.00	0.01	82.0	0.01
	ACCOUNT #: RB3961400D	BUY 0 Units @0.0000						
07/17/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	9.28	9.28	0.00	9.28	82.0	7.61
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
07/17/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	32.98	32.98	0.00	32.98	82.0	27.04
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
07/17/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	53.07	53.07	0.00	53.07	82.0	43.52
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
07/17/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	45.25	45.25	0.00	45.25	82.0	37.11
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
07/17/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	236.34	236.34	0.00	236.34	82.0	193.80
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
07/17/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.85	14.85	0.00	14.85	82.0	12.18
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
07/17/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	137.97	137.97	0.00	137.97	82.0	113.14
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
07/17/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.30	6.30	0.00	6.30	82.0	5.17
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
07/17/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	30.68	30.68	0.00	30.68	82.0	25.16
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
07/17/2018	***-**-68FB - GLAUDIN, EDDY	EQIS ADVISOR FEES	29.67	29.67	0.00	29.67	82.0	24.33
	ACCOUNT #: RB8331200P	BUY 0 Units @0.0000						
07/17/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	124.06	124.06	0.00	124.06	82.0	101.73
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
07/17/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	48.10	48.10	0.00	48.10	82.0	39.44
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
07/17/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	130.22	130.22	0.00	130.22	82.0	106.78
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						
07/17/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES	23.86	23.86	0.00	23.86	82.0	19.57
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
07/17/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES	21.58	21.58	0.00	21.58	82.0	17.70
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
07/17/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	115.61	115.61	0.00	115.61	82.0	94.80
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						
07/17/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	56.11	56.11	0.00	56.11	82.0	46.01
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						
07/17/2018	***-**-45FB - HULL, DEBORAH	EQIS ADVISOR FEES	14.91	14.91	0.00	14.91	82.0	12.23
	ACCOUNT #: RG98804003	BUY 0 Units @0.0000						
07/17/2018	***-**-13FB - HULL, GARY	EQIS ADVISOR FEES	67.88	67.88	0.00	67.88	82.0	55.66
	ACCOUNT #: RE2367800J	BUY 0 Units @0.0000						
07/17/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES	17.82	17.82	0.00	17.82	82.0	14.61
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
07/17/2018	***-**-26FB - KOMINEK, DAVID	EQIS ADVISOR FEES	115.24	115.24	0.00	115.24	82.0	94.50
	ACCOUNT #: RD9045800X	BUY 0 Units @0.0000						
07/17/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES	11.51	11.51	0.00	11.51	82.0	9.44
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
07/17/2018	***-**-88FB - LITTLETON,	EQIS ADVISOR FEES	41.48	41.48	0.00	41.48	82.0	34.01
	ACCOUNT #: RB0358400H	BUY 0 Units @0.0000						
07/17/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES	41.30	41.30	0.00	41.30	82.0	33.87
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						
07/17/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	37.92	37.92	0.00	37.92	82.0	31.09
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
07/17/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	88.79	88.79	0.00	88.79	82.0	72.81
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						
07/17/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	20.82	20.82	0.00	20.82	82.0	17.07
	ACCOUNT #: RD5247000H	BUY 0 Units @0.0000						
07/17/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	19.78	19.78	0.00	19.78	82.0	16.22
	ACCOUNT #: RD52495005	BUY 0 Units @0.0000						
07/17/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	62.02	62.02	0.00	62.02	82.0	50.86
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
07/17/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	14.26	14.26	0.00	14.26	82.0	11.69
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
07/17/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	44.03	44.03	0.00	44.03	82.0	36.10
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
07/17/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	10.67	10.67	0.00	10.67	82.0	8.75
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						
07/17/2018	***-**-70FB - FERRELL,	EQIS ADVISOR FEES	52.30	52.30	0.00	52.30	82.0	42.89
	ACCOUNT #: RB50708009	BUY 0 Units @0.0000						
07/17/2018	***-**-759I - MERRILL, SARA	EQIS ADVISOR FEES	24.54	24.54	0.00	24.54	82.0	20.12
	ACCOUNT #: RB5875400D	BUY 0 Units @0.0000						
07/17/2018	***-**-58FB - NELSON-GAINES,	EQIS ADVISOR FEES	13.28	13.28	0.00	13.28	82.0	10.89
	ACCOUNT #: RH0166600L	BUY 0 Units @0.0000						
07/17/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	69.53	69.53	0.00	69.53	82.0	57.01
	ACCOUNT #: RG9534800V	BUY 0 Units @0.0000						
07/17/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	23.38	23.38	0.00	23.38	82.0	19.17
	ACCOUNT #: RG9885700V	BUY 0 Units @0.0000						
07/17/2018	***-**-77FB - PECK, GORDON	EQIS ADVISOR FEES	33.82	33.82	0.00	33.82	82.0	27.73
	ACCOUNT #: RE14731003	BUY 0 Units @0.0000						
07/17/2018	***-**-98FB - PFYFFER, ALBERT	EQIS ADVISOR FEES	40.05	40.05	0.00	40.05	82.0	32.84
	ACCOUNT #: RE1500600F	BUY 0 Units @0.0000						
07/17/2018	***-**-43FB - RODES, KENNETH	EQIS ADVISOR FEES	88.39	88.39	0.00	88.39	82.0	72.48
	ACCOUNT #: RE1686200F	BUY 0 Units @0.0000						
07/17/2018	***-**-13FB - RUSSELL, MARK	EQIS ADVISOR FEES	32.79	32.79	0.00	32.79	82.0	26.89
	ACCOUNT #: RG9722700B	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
07/17/2018	***-**-51FB - SCHMITT, CAROL	EQIS ADVISOR FEES	77.43	77.43	0.00	77.43	82.0	63.49
	ACCOUNT #: RD9797400D	BUY 0 Units @0.0000						
07/17/2018	***-**-67FB - SCHMITT, EDWARD	EQIS ADVISOR FEES	15.56	15.56	0.00	15.56	82.0	12.76
	ACCOUNT #: RE0237700Z	BUY 0 Units @0.0000						
07/17/2018	***-**-52FB - SCHNABEL,	EQIS ADVISOR FEES	71.19	71.19	0.00	71.19	82.0	58.38
	ACCOUNT #: RH00769005	BUY 0 Units @0.0000						
07/17/2018	***-**-94FB - SWAN, ANDREW	EQIS ADVISOR FEES	35.35	35.35	0.00	35.35	82.0	28.99
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
07/17/2018	***-**-97FB - SWAN, MELANIE	EQIS ADVISOR FEES	24.57	24.57	0.00	24.57	82.0	20.15
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
07/17/2018	***-**-38FB - TOLLIVER, GLORIA	EQIS ADVISOR FEES	6.83	6.83	0.00	6.83	82.0	5.60
	ACCOUNT #: RD5984200P	BUY 0 Units @0.0000						
07/17/2018	***-**-29FB - TOLLIVER, SHANE	EQIS ADVISOR FEES	8.95	8.95	0.00	8.95	82.0	7.34
	ACCOUNT #: RD95555005	BUY 0 Units @0.0000						
07/17/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES	5.70	5.70	0.00	5.70	82.0	4.67
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
07/17/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES	179.64	179.64	0.00	179.64	82.0	147.30
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$3,602.86	\$4,893.06	\$0.00	\$4,893.06		\$4,012.33
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/01/2018	***-**-8941 - COOPER, JOHN	MFS VIRGINIA MUNI BOND FUND-A	0.00	5.67	0.00	5.67	82.0	4.65
	ACCOUNT #: 08189772708	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5.67	\$0.00	\$5.67		\$4.65
*** BROKER TRANSACTIONS TOTAL ***			\$24,031.63	\$5,403.73	\$72.00	\$5,331.73		\$4,365.56



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$4,365.56
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$4,365.56
Year-to-date Earnings								\$52,579.03