

All Companies
STATEMENT
March 20, 2018

MALVIN JOHNSON
117 C Street
SUITE 620
Vallejo, CA 94950

BROKER #: 036
BRANCH:

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/05/2018	#00082111667	EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	6.44
02/05/2018	#00082111667	EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	5.45
02/05/2018	#00082111667	EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	5.45
			* MUTUAL FUNDS SUBTOTAL *	\$700.00	\$19.25		\$0.00	\$17.34	\$17.34
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	3.86	90.0	0.00	3.47	3.47
02/09/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	2.67
02/09/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	7.15	90.0	0.00	6.44	6.44
02/09/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	10.50	90.0	0.00	9.45	9.45
02/09/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	1.58	90.0	0.00	1.42	1.42
02/09/2018	#	DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	6.52	90.0	0.00	5.87	5.87
02/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	4.94	90.0	0.00	4.45	4.45
02/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	10.89	90.0	0.00	9.80	9.80
02/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	3.84	90.0	0.00	3.46	3.46
02/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	5.85	90.0	0.00	5.27	5.27
02/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	9.48	90.0	0.00	8.53	8.53
02/09/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	0.71	90.0	0.00	0.64	0.64
02/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	3.40
02/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	9.00	90.0	0.00	8.10	8.10
02/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	28.62	90.0	0.00	25.76	25.76
02/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	30.33	90.0	0.00	27.30	27.30
02/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	4.19	90.0	0.00	3.77	3.77
02/09/2018	#	THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	8.02	90.0	0.00	7.22	7.22
02/09/2018	#	BARROW, RITA	DAIN TRAILER	0.00	3.07	90.0	0.00	2.76	2.76
02/09/2018	#	BARROW, RITA	DAIN TRAILER	0.00	1.31	90.0	0.00	1.18	1.18
02/09/2018	#	BARROW, JOHN	DAIN TRAILER	0.00	1.40	90.0	0.00	1.26	1.26
02/09/2018	#	BARROW, JOHN	DAIN TRAILER	0.00	1.46	90.0	0.00	1.31	1.31

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# BARROW, JOHN	DAIN TRAILER	0.00	2.00	90.0	0.00	1.80	0.00	1.80
02/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.88	90.0	0.00	2.59	0.00	2.59
02/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	1.44	90.0	0.00	1.30	0.00	1.30
02/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
02/09/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	2.54	90.0	0.00	2.29	0.00	2.29
02/09/2018	# BRIGGS, KENNETH	DAIN TRAILER	0.00	0.69	90.0	0.00	0.62	0.00	0.62
02/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	1.77	90.0	0.00	1.59	0.00	1.59
02/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.68	90.0	0.00	2.41	0.00	2.41
02/09/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.30	90.0	0.00	2.07	0.00	2.07
02/09/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	3.84	90.0	0.00	3.46	0.00	3.46
02/09/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	3.48	90.0	0.00	3.13	0.00	3.13
02/09/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	19.44	90.0	0.00	17.50	0.00	17.50
02/09/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	1.64	90.0	0.00	1.48	0.00	1.48
02/09/2018	# PALMER, FRANCIS	DAIN TRAILER	0.00	4.88	90.0	0.00	4.39	0.00	4.39
02/09/2018	# PALMER, FRANCIS	DAIN TRAILER	0.00	4.85	90.0	0.00	4.37	0.00	4.37
02/09/2018	# PALMER, FRANCIS	DAIN TRAILER	0.00	2.60	90.0	0.00	2.34	0.00	2.34
02/09/2018	# DWYER, TIMOTHY	DAIN TRAILER	0.00	14.32	90.0	0.00	12.89	0.00	12.89
02/09/2018	# KEENE, JAMES	DAIN TRAILER	0.00	0.67	90.0	0.00	0.60	0.00	0.60
02/09/2018	# C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.12	90.0	0.00	0.11	0.00	0.11
02/09/2018	# TAYLOR, PHILLIS	DAIN TRAILER	0.00	9.08	90.0	0.00	8.17	0.00	8.17
02/09/2018	# TAYLOR, PHILLIS	DAIN TRAILER	0.00	12.39	90.0	0.00	11.15	0.00	11.15
02/09/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.35	90.0	0.00	0.32	0.00	0.32
02/09/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.35	90.0	0.00	0.32	0.00	0.32
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.89	90.0	0.00	5.30	0.00	5.30
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.66	90.0	0.00	5.09	0.00	5.09
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	8.35	90.0	0.00	7.52	0.00	7.52
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	2.37	90.0	0.00	2.13	0.00	2.13
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.01	90.0	0.00	4.51	0.00	4.51
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	10.30	90.0	0.00	9.27	0.00	9.27
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.73	90.0	0.00	4.26	0.00	4.26
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	9.06	90.0	0.00	8.15	0.00	8.15
02/09/2018	# WARREN, JANICE	DAIN TRAILER	0.00	12.85	90.0	0.00	11.57	0.00	11.57
02/09/2018	# YARDE, GRAHAM	DAIN TRAILER	0.00	4.34	90.0	0.00	3.91	0.00	3.91
02/09/2018	# YARDE, GRAHAM	DAIN TRAILER	0.00	7.15	90.0	0.00	6.44	0.00	6.44
02/09/2018	# BARROW, DIANA	DAIN TRAILER	0.00	0.26	90.0	0.00	0.23	0.00	0.23
02/26/2018	# MONTGOMERY, KEVIN	DAIN TRAILER	0.00	0.36	90.0	0.00	0.32	0.00	0.32

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		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$332.41		\$0.00	\$299.20	\$0.00	\$299.20
		*** BROKER TRANSACTIONS TOTAL ***	\$700.00	\$351.66		\$0.00	\$316.54	\$0.00	\$316.54

ADJUSTMENTS

03/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-35.00
	DEBIT BALANCE	
03/20/2018	DOCUSIGN: DOCUSIGN	-51.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-24.17
	DEBIT BALANCE	
	*** ADJUSTMENTS TOTAL ***	\$-285.17

BROKER COMMISSION TOTALS

Broker Transactions	\$316.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-285.17
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$31.37
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