



# COMMISSION STATEMENT

March 26, 2018

DENNIS CONNOR  
9 Woodland Rd.  
Miller Place, NY 11764

BROKER #: A87  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/05/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 08352452703	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08352452714	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
03/05/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 02154900346	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 02156080382	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
03/05/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 08251985687	INTERNATIONAL GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08152119953	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
03/05/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 08152121988	INTERNATIONAL SMALL COMPANY A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07005702293	MAIN STREET FUND A BUY 0 Units @0.0000	41.25	1.96	0.00	1.96	75.0	1.47
03/15/2018	***-**-8973 - MIRABELL, ACCOUNT #: 00500433776	MIDCAP GROWTH - CLASS A BUY 2 Units @15.2700	30.00	1.50	0.00	1.50	75.0	1.13
03/05/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07859297629	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
03/05/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 08477443689	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
03/05/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 02514874144	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 05900733095	REAL ESTATE FUND A BUY 0 Units @0.0000	20.00	0.95	0.00	0.95	75.0	0.71
03/05/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 06000341495	SELECT VALUE FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-52IN - METZLER, JANET ACCOUNT #: 07210302126	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34
03/05/2018	***-**-4110 - KAVANAGH, ACCOUNT #: 07210729069	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	37.50	1.78	0.00	1.78	75.0	1.34



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
03/05/2018	***-**-02IN - MANCHESTER, ACCOUNT #: 07210729080	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	75.00	3.00	0.00	3.00	75.0	2.25
03/05/2018	***-**-0075 - MEHIT, LAURA ACCOUNT #: 07210834460	SMALL- & MID-CAP GROWTH FUND A BUY 0 Units @0.0000	21.25	1.01	0.00	1.01	75.0	0.76
* MUTUAL FUNDS SUBTOTAL *			<u>\$755.00</u>	<u>\$33.68</u>	<u>\$0.00</u>	<u>\$33.68</u>		<u>\$25.31</u>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/26/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	ALLIANZ AGIC CONVERTIBLE FD CL A BUY 1 Units @0.0000	0.00	0.49	0.00	0.49	75.0	0.37
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	ALLIANZ NFJ LARGE CAP VALUE FUND CL BUY 1 Units @0.0000	0.00	3.55	0.00	3.55	75.0	2.66
03/26/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	ALLIANZ NFJ LARGE CAP VALUE FUND CL BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	75.0	0.93
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	5.16	0.00	5.16	75.0	3.87
03/26/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
03/26/2018	***-**-70IN - CUTILLO, JAMES ACCOUNT #: LSR011647	ALLIANZ OCC RENAISSANCE FUND CLASS BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	75.0	1.28
03/26/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	ALLIANZGI ULTRA MICRO CAP CL A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	75.0	0.86
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS GROWTH AND INCOME CLASS A BUY 1 Units @0.0000	0.00	7.02	0.00	7.02	75.0	5.27
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	7.67	0.00	7.67	75.0	5.75
03/26/2018	***-**-033I - KATZENBERGER, ACCOUNT #: LSR011507	CALAMOS GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	4.24	0.00	4.24	75.0	3.18
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	CALAMOS HIGH INCOME CLASS A BUY 1 Units @0.0000	0.00	4.48	0.00	4.48	75.0	3.36
03/26/2018	***-**-101I - LEMOINE, STEVEN ACCOUNT #: LSR011390	FEDERATED KAUFMANN FUND BUY 1 Units @0.0000	0.00	11.42	0.00	11.42	75.0	8.57
03/26/2018	***-**-6710 - EVA JAYNE ACCOUNT #: LSR011403	FIRST EAGLE HIGH YIELD FUND CL A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	75.0	0.53



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/26/2018	***-**-1832 - WEISMAN, DORIS	FRK UTIL FND - CL A	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-70IN - CUTILLO, JAMES	FRK UTIL FND - CL A	0.00	0.89	0.00	0.89	75.0	0.67
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
03/26/2018	***-**-70IN - CUTILLO, JAMES	GLOBAL OPPORTUNITIES A	0.00	2.87	0.00	2.87	75.0	2.15
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
03/26/2018	***-**-70IR - CUTILLO, JAMES	GLOBAL OPPORTUNITIES A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: LSR011648	BUY 1 Units @0.0000						
03/26/2018	***-**-1810 - STEVEN A	INTERNATIONAL GROWTH FUND A	0.00	2.13	0.00	2.13	75.0	1.60
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
03/26/2018	***-**-1810 - STEVEN A	INTERNATIONAL SMALL COMPANY A	0.00	2.87	0.00	2.87	75.0	2.15
	ACCOUNT #: LSR011388	BUY 1 Units @0.0000						
03/26/2018	***-**-572I - MELIN, LUCILLE	INTERNATIONAL SMALL COMPANY A	0.00	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
03/26/2018	***-**-1832 - WEISMAN, DORIS	MUTUAL EURO FND - CL A	0.00	1.78	0.00	1.78	75.0	1.34
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-1832 - WEISMAN, DORIS	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	3.19	0.00	3.19	75.0	2.39
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-1832 - WEISMAN, DORIS	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	4.59	0.00	4.59	75.0	3.44
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-255I - GRAHAM, DEBORAH	OPPENHEIMER DISCOVERY CLASS A	0.00	2.99	0.00	2.99	75.0	2.24
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
03/26/2018	***-**-4250 - KEKKONEN,	OPPENHEIMER ROCHEST NATIONAL MUNI C	0.00	58.97	0.00	58.97	75.0	44.23
	ACCOUNT #: LSR011491	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	PIMCO EMERG MKTS BOND NFS	0.00	6.57	0.00	6.57	75.0	4.93
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-033I - KATZENBERGER,	PIMCO EMERG MKTS BOND NFS	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	PIMCO HIGH YIELD CLASS A	0.00	6.16	0.00	6.16	75.0	4.62
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	PIMCO LONG TERM US GOV'T	0.00	6.13	0.00	6.13	75.0	4.60
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/26/2018	***-**-70IN - CUTILLO, JAMES	PIMCO REAL ESTATE REAL	0.00	1.62	0.00	1.62	75.0	1.22
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
03/26/2018	***-**-6710 - EVA JAYNE	PIMCO STOCKSPLUS SMALL FUND A	0.00	6.33	0.00	6.33	75.0	4.75
	ACCOUNT #: LSR011403	BUY 1 Units @0.0000						
03/26/2018	***-**-3590 - HEALY, THOMAS	PIMCO STOCKSPLUS SMALL FUND A	0.00	6.59	0.00	6.59	75.0	4.94
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
03/26/2018	***-**-572I - MELIN, LUCILLE	PUTNAM CAPITAL SPECTRUM FUND CL A	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: LSR011508	BUY 1 Units @0.0000						
03/26/2018	***-**-255I - GRAHAM, DEBORAH	RISING DIVIDENDS FUND A	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: LSR011397	BUY 1 Units @0.0000						
03/26/2018	***-**-70IR - CUTILLO, JAMES	SARATOGA TECHNOLOGY & COMM.CL A-NFS	0.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: LSR011648	BUY 1 Units @0.0000						
03/26/2018	***-**-033I - KATZENBERGER,	SMALL- & MID-CAP GROWTH FUND A	0.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
03/26/2018	***-**-1832 - WEISMAN, DORIS	TEMP GLOBAL BOND FND - CL A	0.00	2.45	0.00	2.45	75.0	1.84
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/26/2018	***-**-3590 - HEALY, THOMAS	VICTORY TRAILS	0.00	12.86	0.00	12.86	75.0	9.65
	ACCOUNT #: 5009484840	BUY 0 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	VOYA HIGH YIELD BOND CLASS A	0.00	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	VOYA LARGE CAP GROWTH CLASS A	0.00	3.19	0.00	3.19	75.0	2.39
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	VOYA LARGE CAP VALUE CLASS A	0.00	2.45	0.00	2.45	75.0	1.84
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	VOYA MIDCAP OPPORTUNITIES CL A	0.00	2.62	0.00	2.62	75.0	1.97
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-101I - LEMOINE, STEVEN	VOYA RUSSIA CLASS A	0.00	0.78	0.00	0.78	75.0	0.59
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
03/26/2018	***-**-3590 - HEALY, THOMAS	VOYA SMALL CAP OPPORTUNITIES CL A	0.00	1.28	0.00	1.28	75.0	0.96
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
03/26/2018	***-**-70IN - CUTILLO, JAMES	VOYA SMALL COMPANY CLASS A	0.00	1.91	0.00	1.91	75.0	1.43
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						



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<u>ADJUSTMENTS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$202.51	\$0.00	\$202.51		\$151.91
		*** BROKER TRANSACTIONS TOTAL ***	\$755.00	\$236.19	\$0.00	\$236.19		\$177.22

## BROKER COMMISSION TOTALS

Broker Transactions	\$177.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00