



# COMMISSION STATEMENT

## July 16, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @28.4200						
07/02/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @62.4600						
07/06/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 110 Units @11.3500						
07/02/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @56.0400						
07/02/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 9 Units @23.4600						
07/02/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @46.4900						
* MUTUAL FUNDS SUBTOTAL *			\$1,850.00	\$18.51	\$0.00	\$18.51		\$15.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/05/2018	***-**-11IN - GRAHAM, ARTHUR	JACKSON NAT PERS II OPT E 0-80	41,254.93	825.10	0.00	825.10	85.0	701.34
		BUY 1 Units @40000.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$41,254.93	\$825.10	\$0.00	\$825.10		\$701.34
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/30/2018	***-**-37IN - GAROFALO, JAMIE	CAPITAL APPRECIATION A	0.00	2.18	0.00	2.18	85.0	1.85
	ACCOUNT #: 03202230162	BUY 0 Units @0.0000						
06/30/2018	***-**-28IR - BUCHANAN,	CAPITAL APPRECIATION A	0.00	12.78	0.00	12.78	85.0	10.86
	ACCOUNT #: 03203316742	BUY 0 Units @0.0000						
06/30/2018	***-**-291I - SHULTZ, SUZETTE	CAPITAL APPRECIATION A	0.00	4.10	0.00	4.10	85.0	3.49
	ACCOUNT #: 03207390020	BUY 0 Units @0.0000						
06/30/2018	***-**-52IN - STEPP, JENNIFER	CAPITAL APPRECIATION A	0.00	2.32	0.00	2.32	85.0	1.97
	ACCOUNT #: 03208148063	BUY 0 Units @0.0000						
06/30/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION A	0.00	2.38	0.00	2.38	85.0	2.02
	ACCOUNT #: 03208148074	BUY 0 Units @0.0000						
06/30/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	0.00	36.51	0.00	36.51	85.0	31.03
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. A	0.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 08350946209	BUY 0 Units @0.0000						
06/30/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	0.00	32.21	0.00	32.21	85.0	27.38
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
06/30/2018	***-**-28IR - BUCHANAN,	GLOBAL FUND A	0.00	14.53	0.00	14.53	85.0	12.35
	ACCOUNT #: 03307694434	BUY 0 Units @0.0000						
06/30/2018	***-**-37IN - GAROFALO, JAMIE	GLOBAL OPPORTUNITIES A	0.00	2.78	0.00	2.78	85.0	2.36
	ACCOUNT #: 02151266045	BUY 0 Units @0.0000						
06/30/2018	***-**-291I - SHULTZ, SUZETTE	GLOBAL OPPORTUNITIES A	0.00	4.14	0.00	4.14	85.0	3.52
	ACCOUNT #: 02154348564	BUY 0 Units @0.0000						
06/30/2018	***-**-291I - SHULTZ, SUZETTE	MAIN STREET FUND A	0.00	3.35	0.00	3.35	85.0	2.85
	ACCOUNT #: 07001198684	BUY 0 Units @0.0000						
06/30/2018	***-**-99IR - BUCHANAN, STEVEN	MAIN STREET FUND A	0.00	13.59	0.00	13.59	85.0	11.55
	ACCOUNT #: 07002755857	BUY 0 Units @0.0000						
07/01/2018	***-**-58IN - GAROFALO,	MASS INVESTORS GROWTH STOCK FUND-A	0.00	2.84	0.00	2.84	85.0	2.41
	ACCOUNT #: 08188565430	BUY 0 Units @0.0000						
07/01/2018	***-**-58IN - GAROFALO,	MFS GOVERNMENT SECURITIES FUND-A	0.00	15.91	0.00	15.91	85.0	13.52
	ACCOUNT #: 09896329355	BUY 0 Units @0.0000						
07/01/2018	***-**-58IN - GAROFALO,	MFS TOTAL RETURN FUND-A	0.00	9.00	0.00	9.00	85.0	7.65
	ACCOUNT #: 00060126092	BUY 0 Units @0.0000						
07/01/2018	***-**-58IN - GAROFALO,	MFS UTILITIES FUND-A	0.00	4.56	0.00	4.56	85.0	3.88
	ACCOUNT #: 08188565426	BUY 0 Units @0.0000						
07/01/2018	***-**-58IN - GAROFALO,	MFS VALUE FUND-A	0.00	4.03	0.00	4.03	85.0	3.43
	ACCOUNT #: 08188565434	BUY 0 Units @0.0000						
06/30/2018	***-**-291I - SHULTZ, SUZETTE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	3.06	0.00	3.06	85.0	2.60
	ACCOUNT #: 02300927864	BUY 0 Units @0.0000						
06/30/2018	***-**-94IN - VITALE, LAURIE	PENNSYLVANIA MUNICIPAL FUND C	0.00	1.78	0.00	1.78	85.0	1.51
	ACCOUNT #: 07420005434	BUY 0 Units @0.0000						
06/30/2018	***-**-99IR - BUCHANAN, STEVEN	QUEST BALANCED A	0.00	9.26	0.00	9.26	85.0	7.87
	ACCOUNT #: 02571411509	BUY 0 Units @0.0000						
06/30/2018	***-**-52IN - STEPP, JENNIFER	QUEST BALANCED A	0.00	1.73	0.00	1.73	85.0	1.47
	ACCOUNT #: 02575466043	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED A	0.00	1.66	0.00	1.66	85.0	1.41
	ACCOUNT #: 02575466054	BUY 0 Units @0.0000						
06/30/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	0.00	28.03	0.00	28.03	85.0	23.83
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$214.23</b>	<b>\$0.00</b>	<b>\$214.23</b>		<b>\$182.09</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
07/13/2018	***-**-597I - LEAR, DIANE	ING ADVANTAGE SELECT	0.00	14.78	0.00	14.78	85.0	12.56
	ACCOUNT #: R080334-ZS	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$14.78</b>	<b>\$0.00</b>	<b>\$14.78</b>		<b>\$12.56</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$43,104.93</b>	<b>\$1,072.62</b>	<b>\$0.00</b>	<b>\$1,072.62</b>		<b>\$911.74</b>

### BROKER COMMISSION TOTALS

Broker Transactions	\$911.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$911.74
Year-to-date Earnings	\$75,893.25