

## COMMISSION STATEMENT

December 31, 2017

STEPHEN MOSS  
1336 MARYLAND AVENUEBROKER #: 60  
BRANCH: HOME OFFICE

HVERTOWN, PA 19082

## COMMISSION STATEMENT for STEPHEN MOSS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

12/18/2017	75502017	WEST, KELLY	**GABELLI EQUITY SERIES FUNDS	S	350.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$350.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES MSCI EAFE ETF	S	15,367.42	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES MSCI EMERGING MARKETS ETF	S	14,606.54	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET B		23,461.38	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF B		23,446.16	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	S	15,613.52	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH	B	9,057.72	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF	S	13,773.21	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	B	29,382.02	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	S	29,115.40	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	S	29,168.28	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	B	29,442.65	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	S	1,781.34	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	B	7,716.88	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	POWERSHARES PREFERRED PORTFOLIO	S	17,946.62	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	B	7,508.36	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	S	13,732.16	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL B		1,116.18	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA B		205.52	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501877	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B		19,473.17	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES MSCI EAFE ETF	S	3,702.06	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES MSCI EMERGING MARKETS ETF	S	3,532.77	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 GROWTH ET B		5,785.78	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES S&P SMALL CAP 600 VALUE ETF B		5,679.50	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES S&P500 GROWTH INDEX FD	S	4,090.77	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES TR S&P MIDCAP 400 GROWTH	B	2,156.80	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S REAL ESTATE ETF	S	3,359.64	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S CONSUMER SERVICES ETF	B	7,176.13	0.00	0.00	0.00	50.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S HEALTHCARE ETF	S	7,013.60	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S FINANCIALS ETF	S	7,204.86	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S INDUSTRIALS ETF	B	7,216.50	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	ISHARES U S TECHNOLOGY ETF	S	647.00	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	POWERSHARES QQQ TRUST SERIES 1	B	1,850.61	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	POWERSHARES PREFERRED PORTFOLIO	S	4,375.15	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	SPDR DOW JONES INDL AVERAGE ETF TR	B	1,695.93	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	SPDR S&P 500 ETF TRUST	S	3,432.78	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	SPDR SER TR BLOOMBERG BARCLAYS INTL B		286.30	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	SPDR SERIES TRUST SPDR BLOOMBERG BA B		102.76	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501878	MORRIS WEISMAN TTEE	VANECK VECTORS ETF TR HIGH YIELD MU B		4,774.61	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES S&P SMALL CAP 600 GROWTH ET B		9,850.72	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES S&P SMALL CAP 600 VALUE ETF B		9,958.64	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES S&P500 GROWTH INDEX FD	S	7,420.56	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES TR S&P MIDCAP 400 GROWTH	B	1,292.70	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES U S ENERGY ETF	S	12,530.22	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES U S CONSUMER SERVICES ETF	B	12,423.40	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	ISHARES U S BASIC MATERIALS ETF	S	8,748.97	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	POWERSHARES QQQ TRUST SERIES 1	B	1,387.78	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	POWERSHARES GLOBAL EXCHANGE TRADED	S	8,268.21	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	SPDR DOW JONES INDL AVERAGE ETF TR	B	1,210.30	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	SPDR S&P 500 ETF TRUST	S	7,917.90	0.00	0.00	0.00	50.0	0.00
12/01/2017	75501937	BERG, ELIZABETH	SPDR SER TR BLOOMBERG BARCLAYS INTL B		8,475.19	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P INTERNATIONAL A	S	35.21	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P EMERGING MAR S		37.79	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR INDEX SHS FDS S&P WORLD EX-US	S	189.69	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR RUSSELL 1000 ETF	S	282.24	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR RUSSELL 2000 ETF	S	121.36	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		36.69	0.00	0.00	0.00	50.0	0.00
12/27/2017	75501994	MCCOACH, MARY	SPDR SERIES TRUST SPDR BLOOMBERG BA S		200.76	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *					\$466,386.41	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES										
12/31/2017	(None)	VARIOUS, MOSS	COLLEGE BOUND 529	B	0.00	3.50	0.00	3.50	50.0	1.75
12/31/2017	(None)	VARIOUS, MOSS	JACKSON	B	0.00	14.86	0.00	14.86	50.0	7.43
12/31/2017	(None)	VARIOUS, MOSS	LINCOLN	B	0.00	302.73	0.00	302.73	50.0	151.37
12/31/2017	(None)	VARIOUS, MOSS	MASS MUTUAL	B	0.00	8.80	0.00	8.80	50.0	4.40
12/31/2017	(None)	VARIOUS, MOSS	MASS MUTUAL	B	0.00	11.08	0.00	11.08	50.0	5.54
12/31/2017	(None)	VARIOUS, MOSS	RBC	B	0.00	2,247.66	0.00	2,247.66	50.0	1,123.83
* VARIABLE ANNUITIES SUBTOTAL *					\$0.00	\$2,588.63	\$0.00	\$2,588.63		\$1,294.32

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	00089036403	PA/TOD		GROWTH PORTFOLIO-A	B	0.00	0.25	0.00	0.25	50.0	0.00
12/01/2017	01209597006	WEISMAN, ELI		WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	7.98	0.00	7.98	50.0	3.99
12/11/2017	75501795	SPILLANE, TIMOTHY		FRANKLIN MODERATE ALLOCATION FD CL	B	0.00	0.69	0.00	0.69	50.0	0.00
12/11/2017	75501815	TAMBURRO, ALBERT		**JPMORGAN TR I	B	0.00	1.22	0.00	1.22	50.0	0.61
12/11/2017	75501874	ULIRCUIS, WILLIAM		MFS PENNSYLVANIA MUNICIPAL BOND FUN	B	0.00	0.30	0.00	0.30	50.0	0.00
12/11/2017	75502016	WEST, JEFFREY		**GABELLI EQUITY SERIES FUNDS	B	0.00	0.48	0.00	0.48	50.0	0.00
12/11/2017	75502016	WEST, JEFFREY		TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	50.0	0.00
12/11/2017	75502017	WEST, KELLY		**GABELLI EQUITY SERIES FUNDS	B	0.00	0.48	0.00	0.48	50.0	0.00
12/11/2017	75502017	WEST, KELLY		TEMP GLOBAL BOND FND - CL A	B	0.00	0.15	0.00	0.15	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$11.70	\$0.00	\$11.70		\$4.60
*** BROKER TRANSACTIONS TOTAL ***						\$466,736.41	\$2,600.33	\$0.00	\$2,600.33		\$1,298.92

ADJUSTMENTS

12/31/2017		OTHER: OTHER-ADVISORY EXPENSES		0.00	0.00	0.00	0.00		-224.33
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-224.33

BROKER COMMISSION TOTALS

Broker Transactions	\$1,298.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-224.33
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.25
SIPC Assessment	\$-6.50

Check Amount	\$1,064.84
Year-to-date Earnings	\$8,888.39