



COMMISSION STATEMENT

July 23, 2018

GARRETT MORETZ
 181 North Main Street, Ste 208
 Mooresville, NC 28115

BROKER #: B09
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/23/2018	***-**-94IR - RUSSELL, LYNN	AB ALL MARKET TOTAL RETURN PORT CLA	0.00	1.71	0.00	1.71	82.0	1.40
	ACCOUNT #: LSR011980	BUY 1 Units @0.0000						
07/23/2018	***-**-071X - HENDRIX, NSPS	AMERICAN BALANCED FUND-A	0.00	0.36	0.00	0.36	82.0	0.30
	ACCOUNT #: LSR012263	BUY 1 Units @0.0000						
07/23/2018	***-**-468I - REYNOLDS,	DIAMOND HILL CORP CREDIT CL A	0.00	0.05	0.00	0.05	82.0	0.04
	ACCOUNT #: LSR011988	BUY 1 Units @0.0000						
07/23/2018	***-**-938I - WILKES, HAROLD	DIAMOND HILL CORP CREDIT CL A	0.00	0.14	0.00	0.14	82.0	0.11
	ACCOUNT #: LSR012334	BUY 1 Units @0.0000						
07/23/2018	***-**-363I - WILKES, DONNA	DIAMOND HILL CORP CREDIT CL A	0.00	0.18	0.00	0.18	82.0	0.15
	ACCOUNT #: LSR012335	BUY 1 Units @0.0000						
07/23/2018	***-**-029K - ANTHONY, APRIL	DIAMOND HILL CORP CREDIT CL A	0.00	0.08	0.00	0.08	82.0	0.07
	ACCOUNT #: LSR012402	BUY 1 Units @0.0000						
07/23/2018	***-**-706I - HOLLAR, DIANE	DIAMOND HILL CORP CREDIT CL A	0.00	0.07	0.00	0.07	82.0	0.06
	ACCOUNT #: LSR012563	BUY 1 Units @0.0000						
07/23/2018	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C	0.00	0.86	0.00	0.86	82.0	0.71
	ACCOUNT #: LSR011960	BUY 1 Units @0.0000						
07/23/2018	***-**-10IR - CLINGON, BILLY	EATON VANCE MULTI SECTOR INCOME CL	0.00	3.71	0.00	3.71	82.0	3.04
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
07/23/2018	***-**-726O - FARZALO, STEVE	EATON VANCE NATIONAL MUNICIPAL FUND	0.00	7.86	0.00	7.86	82.0	6.45
	ACCOUNT #: LSR011965	BUY 1 Units @0.0000						
07/23/2018	***-**-671I - BEDDOW, DONALD	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	95.64	0.00	95.64	82.0	78.42
	ACCOUNT #: 00091150349	BUY 0 Units @0.0000						
07/23/2018	***-**-71IR - HENDRIX, DONNA	GROWTH FUND OF AMERICA CLASS F	0.00	0.39	0.00	0.39	82.0	0.32
	ACCOUNT #: LSR012260	BUY 1 Units @0.0000						
07/23/2018	***-**-071X - HENDRIX, NSPS	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.79	0.00	0.79	82.0	0.65
	ACCOUNT #: LSR012263	BUY 1 Units @0.0000						
07/17/2018	***-**-10IR - CLINGON, BILLY	IVY ASSET STRATEGY CL C	0.00	10.62	0.00	10.62	82.0	8.71
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
07/17/2018	***-**-501I - DAGENHART, JAMES	IVY HIGH INCOME CL A	0.00	2.63	0.00	2.63	82.0	2.16
	ACCOUNT #: LSR012101	BUY 1 Units @0.0000						
07/17/2018	***-**-677I - LAIL, DWIGHT	IVY HIGH INCOME CL A	0.00	4.59	0.00	4.59	82.0	3.76
	ACCOUNT #: LSR012166	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-363I - WILKES, DONNA	IVY HIGH INCOME CL A	0.00	0.55	0.00	0.55	82.0	0.45
	ACCOUNT #: LSR012335	BUY 1 Units @0.0000						
07/17/2018	***-**-440I - BOHNAKER, ANGELA	IVY HIGH INCOME CL A	0.00	3.54	0.00	3.54	82.0	2.90
	ACCOUNT #: LSR012403	BUY 1 Units @0.0000						
07/17/2018	***-**-06IR - KULIKOWSKI,	IVY HIGH INCOME CL A	0.00	0.71	0.00	0.71	82.0	0.58
	ACCOUNT #: LSR012423	BUY 1 Units @0.0000						
07/17/2018	***-**-27IR - KULIKOWSKI, SARA	IVY HIGH INCOME CL A	0.00	0.71	0.00	0.71	82.0	0.58
	ACCOUNT #: LSR012424	BUY 1 Units @0.0000						
07/23/2018	***-**-972I - GRIFFIN, OTIS	JANUS HENDERSON HIGH YIELD A-NFS	0.00	0.86	0.00	0.86	82.0	0.71
	ACCOUNT #: LSR011960	BUY 1 Units @0.0000						
07/23/2018	***-**-50IR - JONES, MICHAEL	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.59	0.00	0.59	82.0	0.48
	ACCOUNT #: LSR012331	BUY 1 Units @0.0000						
07/23/2018	***-**-440I - BOHNAKER, ANGELA	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	1.77	0.00	1.77	82.0	1.45
	ACCOUNT #: LSR012403	BUY 1 Units @0.0000						
07/23/2018	***-**-440O - BOHNAKER, ANGELA	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	3.56	0.00	3.56	82.0	2.92
	ACCOUNT #: LSR012409	BUY 1 Units @0.0000						
07/23/2018	***-**-06IR - KULIKOWSKI,	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.46	0.00	0.46	82.0	0.38
	ACCOUNT #: LSR012423	BUY 1 Units @0.0000						
07/23/2018	***-**-27IR - KULIKOWSKI, SARA	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.48	0.00	0.48	82.0	0.39
	ACCOUNT #: LSR012424	BUY 1 Units @0.0000						
07/23/2018	***-**-10IR - CLINGON, BILLY	MFS AGGRESSIVE GROWTH ALLOC CL C	0.00	4.33	0.00	4.33	82.0	3.55
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
07/23/2018	***-**-468I - REYNOLDS,	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.05	0.00	0.05	82.0	0.04
	ACCOUNT #: LSR011988	BUY 1 Units @0.0000						
07/23/2018	***-**-110I - CLINGON, BILLY	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.27	0.00	0.27	82.0	0.22
	ACCOUNT #: LSR012015	BUY 1 Units @0.0000						
07/23/2018	***-**-501I - DAGENHART, JAMES	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.70	0.00	0.70	82.0	0.57
	ACCOUNT #: LSR012101	BUY 1 Units @0.0000						
07/23/2018	***-**-938I - WILKES, HAROLD	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.14	0.00	0.14	82.0	0.11
	ACCOUNT #: LSR012334	BUY 1 Units @0.0000						
07/23/2018	***-**-363I - WILKES, DONNA	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.18	0.00	0.18	82.0	0.15
	ACCOUNT #: LSR012335	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-029K - ANTHONY, APRIL	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.08	0.00	0.08	82.0	0.07
	ACCOUNT #: LSR012402	BUY 1 Units @0.0000						
07/23/2018	***-**-518X - SMITH, JONATHAN	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.37	0.00	0.37	82.0	0.30
	ACCOUNT #: LSR012501	BUY 1 Units @0.0000						
07/23/2018	***-**-706I - HOLLAR, DIANE	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.07	0.00	0.07	82.0	0.06
	ACCOUNT #: LSR012563	BUY 1 Units @0.0000						
07/23/2018	***-**-211I - BEDDOW, GLENDA	MORGAN STANLEY GROWTH PORT A-NFS	0.00	0.10	0.00	0.10	82.0	0.08
	ACCOUNT #: LSR012626	BUY 1 Units @0.0000						
07/17/2018	***-**-06IR - KULIKOWSKI,	PACIFIC ADVISORS SMALL CAP A	0.00	0.61	0.00	0.61	82.0	0.50
	ACCOUNT #: LSR012423	BUY 1 Units @0.0000						
07/17/2018	***-**-27IR - KULIKOWSKI, SARA	PACIFIC ADVISORS SMALL CAP A	0.00	0.64	0.00	0.64	82.0	0.52
	ACCOUNT #: LSR012424	BUY 1 Units @0.0000						
07/23/2018	***-**-03IR - HINES, WALTER	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	0.95	0.00	0.95	82.0	0.78
	ACCOUNT #: LSR012153	BUY 1 Units @0.0000						
07/17/2018	***-**-440I - BOHNAKER, ANGELA	PRUDENTIAL JENNISON SMALL COMPANY C	0.00	0.86	0.00	0.86	82.0	0.71
	ACCOUNT #: LSR012403	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$152.26	\$0.00	\$152.26		\$124.85
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$152.26	\$0.00	\$152.26		\$124.85



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$124.85
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$124.85
Year-to-date Earnings								\$52,703.88