



All Companies

08/14/2018  
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# COMMISSION STATEMENT August 15, 2018

JOHN J. O'REILLY  
25042 SUMMIT CREEK

BROKER #: 9585  
BRANCH: HOME OFFICE

San Antonio, TX 78258-

## COMMISSION STATEMENT for JOHN J. O'REILLY

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

|                           |                 |                     |                                       |          |         |        |         |      |         |
|---------------------------|-----------------|---------------------|---------------------------------------|----------|---------|--------|---------|------|---------|
| 06/30/2018                | TRAILS          | BLACK ROCK          | B                                     | 0.49     | 0.49    | 0.00   | 0.49    | 70.0 | 0.34    |
| 06/30/2018                | TRAILS          | BLACK ROCK          | B                                     | 0.49     | 0.49    | 0.00   | 0.49    | 70.0 | 0.34    |
| 06/30/2018                | TRAILS          | CALAMOS INVESTMENTS | B                                     | 2.11     | 2.11    | 0.00   | 2.11    | 70.0 | 1.48    |
| 08/01/2018                | 000000001292996 | SCOTT, TAMMY        | FRANKLIN RISING DIVIDEND 2 FD         | B        | 50.00   | 0.50   | 0.00    | 0.50 | 0.35    |
| 07/30/2018                | 00084586485     | GUAJARDO, JORGE     | THE GROWTH FUND OF AMERICA-C          | B        | 150.00  | 1.50   | 0.00    | 1.50 | 1.05    |
| 07/23/2018                | 00084750749     | ARTAIL, KIMBERLY    | CAPITAL WORLD GROWTH AND INCOME-529 B | B        | 50.00   | 0.50   | 0.00    | 0.50 | 0.35    |
| 08/02/2018                | 00084988489     | KINZIE, CAROLINE    | INTERNATIONAL GROWTH AND INCOME-529 B | B        | 50.00   | 2.50   | 0.00    | 2.50 | 1.75    |
| 07/31/2018                | 01001201559     | PLAN - 211696, PS   | INVESCO MID CAP CORE EQUITY FUND-CL B | B        | 4.78    | 0.04   | 0.00    | 0.04 | 0.03    |
| 07/30/2018                | 02000035695     | RIOS, ELVIRA        | AGE BASED PORTFOLIO 30 A              | B        | 50.00   | 1.50   | 0.00    | 1.50 | 1.05    |
| 07/19/2018                | 1952247729      | KINZIE, MELANIE     | INTERNATIONAL DIVERSIFIED FUND A      | B        | 50.00   | 2.38   | 0.00    | 2.38 | 1.67    |
| 07/20/2018                | 1970871068      | MUSQUIZ, CYNTHIA    | INTERNATIONAL DIVERSIFIED FUND C      | B        | 30.00   | 0.30   | 0.00    | 0.30 | 0.21    |
| 07/20/2018                | 2270927927      | MUSQUIZ, CYNTHIA    | OPPENHEIMER QUEST VALUE FD CL C B     | B        | 45.00   | 0.45   | 0.00    | 0.45 | 0.32    |
| 07/20/2018                | 2322742234      | MUSQUIZ, CYNTHIA    | OPPENHEIMER STRATEGIC INCOME FD CL B  | B        | 15.00   | 0.15   | 0.00    | 0.15 | 0.11    |
| 07/19/2018                | 2513644465      | WONG, FRANCESCA     | OPPENHEIMER QUEST SMALL CAP VALUE B   | B        | 150.00  | 7.13   | 0.00    | 7.13 | 4.99    |
| 07/20/2018                | 2931797433      | MUSQUIZ, CYNTHIA    | SENIOR FLOATING RATE FUND C           | B        | 15.00   | 0.15   | 0.00    | 0.15 | 0.11    |
| 07/25/2018                | 3305138454      | DYKES, LORI LEE     | OPPENHEIMER GLOBAL FD CL A            | B        | 56.25   | 2.67   | 0.00    | 2.67 | 1.87    |
| 07/20/2018                | 4120809215      | MUSQUIZ, CYNTHIA    | OPPENHEIMER GOLD & SPECIAL MINER B    | B        | 15.00   | 0.15   | 0.00    | 0.15 | 0.11    |
| 07/20/2018                | 5020458436      | MUSQUIZ, CYNTHIA    | OPPENHEIMER DISCOVERY FD CL C         | B        | 30.00   | 0.30   | 0.00    | 0.30 | 0.21    |
| 07/20/2018                | 5920295900      | MUSQUIZ, CYNTHIA    | REAL ESTATE FUND C                    | B        | 15.00   | 0.15   | 0.00    | 0.15 | 0.11    |
| 07/20/2018                | 7872043426      | MUSQUIZ, CYNTHIA    | OPPENHEIMER DEVELOPING MARKET FD CL B | B        | 30.00   | 0.30   | 0.00    | 0.30 | 0.21    |
| 07/20/2018                | 8270776754      | MUSQUIZ, CYNTHIA    | OPPENHEIMER INTL GROWTH FD CL C       | B        | 45.00   | 0.45   | 0.00    | 0.45 | 0.32    |
| 07/19/2018                | 8351853291      | KINZIE, MELANIE     | OPPENHEIMER QUEST CAPITAL VALUE B     | B        | 25.00   | 1.19   | 0.00    | 1.19 | 0.83    |
| 07/20/2018                | 8370475684      | MUSQUIZ, CYNTHIA    | EQUITY INCOME FUND, INC. C            | B        | 60.00   | 0.60   | 0.00    | 0.60 | 0.42    |
| 07/25/2018                | 8806365271      | DYKES, LORI LEE     | OPPENHEIMER INTL BD FD CL A           | B        | 18.75   | 0.75   | 0.00    | 0.75 | 0.53    |
| 07/19/2018                | 8809188872      | KINZIE, MELANIE     | OPPENHEIMER INTL BD FD CL A           | B        | 25.00   | 1.00   | 0.00    | 1.00 | 0.70    |
| * MUTUAL FUNDS SUBTOTAL * |                 |                     |                                       | \$982.87 | \$27.75 | \$0.00 | \$27.75 |      | \$19.46 |

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

|                                 |               |                         |   |            |         |        |         |      |         |
|---------------------------------|---------------|-------------------------|---|------------|---------|--------|---------|------|---------|
| 07/31/2018                      | TRAILS        | -JACKSON NATIONAL       | B | 28.25      | 28.25   | 0.00   | 28.25   | 70.0 | 19.78   |
| 07/27/2018                      | ALLISON, CODY | -PACIFIC LIFE INSURANCE | B | 2,000.00   | 40.00   | 0.00   | 40.00   | 70.0 | 28.00   |
| * VARIABLE ANNUITIES SUBTOTAL * |               |                         |   | \$2,028.25 | \$68.25 | \$0.00 | \$68.25 |      | \$47.78 |

COMMISSION STATEMENT for JOHN J. O'REILLY

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

|                              |                                      |            |          |        |          |      |          |
|------------------------------|--------------------------------------|------------|----------|--------|----------|------|----------|
| 08/15/2018 TRAIL COMMISSIONS | FRANKLIN TEMPLETON GROUP FEES        | 0.00       | 247.93   | 0.00   | 247.93   | 70.0 | 173.55   |
|                              | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00     | \$247.93 | \$0.00 | \$247.93 |      | \$173.55 |
|                              | *** BROKER TRANSACTIONS TOTAL ***    | \$3,011.12 | \$343.93 | \$0.00 | \$343.93 |      | \$240.79 |

ADJUSTMENTS

|            |                             |        |        |        |        |          |
|------------|-----------------------------|--------|--------|--------|--------|----------|
| 08/15/2018 | TECH: MONTHLY TECH SERVICES | 0.00   | 0.00   | 0.00   | 0.00   | -27.50   |
|            | *** ADJUSTMENTS TOTAL ***   | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-27.50 |

BROKER COMMISSION TOTALS

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$240.79 |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$-27.50 |
| Payroll Draw          | \$0.00   |
| Base Salary           | \$0.00   |
| Prior Period Balance  | \$0.00   |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$213.29    |
| Year-to-date Earnings | \$33,720.52 |