



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
 Page No. 1

MARK-S141 REG SMITH

BROKER #: S141
 BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/09/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.98	0.00	3.98	48.0	1.91
08/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
08/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
08/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.40	0.00	6.40	48.0	3.07
08/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.54	0.00	3.54	48.0	1.70
08/09/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.54	0.00	1.54	48.0	0.74
08/09/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.87	0.00	3.87	48.0	1.86
08/04/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	2,200.00	0.00	0.00	0.00	48.0	0.00
08/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
08/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.07
08/09/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.53	0.00	2.53	48.0	1.21
08/09/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.35	0.00	3.35	48.0	1.61
08/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
08/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
08/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	48.0	0.10
08/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	48.0	0.10
08/09/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	1.01	0.00	1.01	48.0	0.48
08/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.85	0.00	0.85	48.0	0.41
09/05/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.06	0.00	11.06	48.0	5.31
09/05/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.36	0.00	4.36	48.0	2.09
* MUTUAL FUNDS SUBTOTAL *			\$2,200.00	\$43.49	\$0.00	\$43.49		\$20.87
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	BRIDGES, JANIS	JNL ELITE ACCESS	101,700.09	84.75	0.00	84.75	48.0	40.68
08/31/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	74,811.07	15.59	0.00	15.59	48.0	7.48
08/31/2017	CROWTHER, JOHN	JNL ELITE ACCESS	57,809.03	12.04	0.00	12.04	48.0	5.78
08/31/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,613.73	33.16	0.00	33.16	48.0	15.92

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,158.73	40.26	0.00	40.26	48.0	19.32
08/31/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	146,336.34	158.53	0.00	158.53	48.0	76.09
08/31/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	81,121.42	67.60	0.00	67.60	48.0	32.45
08/31/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	29,248.26	24.37	0.00	24.37	48.0	11.70
08/30/2017	BURNS, ANNE	SEC BEN 814121158	36,682.28	30.56	0.00	30.56	48.0	14.67
* VARIABLE ANNUITIES SUBTOTAL *			\$595,480.95	\$466.86	\$0.00	\$466.86		\$224.09
*** BROKER TRANSACTIONS TOTAL ***			\$597,680.95	\$510.35	\$0.00	\$510.35		\$244.96

ADJUSTMENTS

09/15/2017	*EO INS: CURRENT MONTH INS	0.00						-300.00
09/15/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$244.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-263.32
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-593.36
Year-to-date Gross	\$4,838.36
Year-to-date Earnings	\$2,322.34

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