

**COMMISSION STATEMENT****October 31, 2017**BARTHOLOMEW MACKLER  
1359 W. Fairview LaneBROKER #: M121  
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
09/30/2017	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	0.00	7.82	0.00	7.82	90.0	7.04
09/30/2017	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	0.00	2.65	0.00	2.65	90.0	2.39
09/30/2017	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	0.00	4.93	0.00	4.93	90.0	4.44
09/30/2017	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.87	0.00	14.87	90.0	13.38
09/30/2017	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.08	0.00	31.08	90.0	27.97
09/30/2017	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.19	0.00	43.19	90.0	38.87
09/30/2017	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	35.21	0.00	35.21	90.0	31.69
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$139.75</b>	<b>\$0.00</b>	<b>\$139.75</b>		<b>\$125.78</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
10/03/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.59	0.00	2.59	90.0	2.33
10/03/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.59	0.00	2.59	90.0	2.33
10/18/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	1.00	0.00	1.00	90.0	0.90
10/09/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	90.0	4.50
10/16/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	90.0	0.90
10/19/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	90.0	0.90
10/16/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
10/18/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
10/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.00	0.00	2.00	90.0	1.80
10/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
10/19/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
10/16/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	90.0	6.75
10/18/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	90.0	0.90
10/18/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	90.0	0.90
10/04/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	17.95	0.00	17.95	90.0	16.16
10/04/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.91	0.00	7.91	90.0	7.12
10/04/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.85	0.00	7.85	90.0	7.07

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
10/01/2017	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.35	0.00	4.35	90.0	3.92
10/01/2017	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	12.81	0.00	12.81	90.0	11.53
10/01/2017	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	10.63	0.00	10.63	90.0	9.57
10/01/2017	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND A	0.00	1.25	0.00	1.25	90.0	1.13
10/01/2017	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND B	0.00	2.47	0.00	2.47	90.0	2.22
10/01/2017	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	4.50	0.00	4.50	90.0	4.05
10/01/2017	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	19.85	0.00	19.85	90.0	17.87
10/01/2017	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.18	0.00	1.18	90.0	1.06
10/01/2017	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	7.01	0.00	7.01	90.0	6.31
10/01/2017	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.87	0.00	0.87	90.0	0.78
10/01/2017	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.43	0.00	4.43	90.0	3.99
10/01/2017	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	15.09	0.00	15.09	90.0	13.58
10/01/2017	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	8.64	0.00	8.64	90.0	7.78
10/01/2017	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	14.69	0.00	14.69	90.0	13.22
10/01/2017	NICHOLS, THOMAS	DAVIS OPPORTUNITY A	0.00	3.14	0.00	3.14	90.0	2.83
10/01/2017	NICHOLS, THOMAS	DAVIS OPPORTUNITY B	0.00	6.05	0.00	6.05	90.0	5.45
10/13/2017	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	13.79	0.00	13.79	90.0	12.41
10/13/2017	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	21.77	0.00	21.77	90.0	19.59
10/13/2017	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.99	0.00	5.99	90.0	5.39
10/13/2017	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	15.65	0.00	15.65	90.0	14.09
10/13/2017	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.31	0.00	6.31	90.0	5.68
10/13/2017	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.67	0.00	2.67	90.0	2.40
10/13/2017	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	11.53	0.00	11.53	90.0	10.38
10/13/2017	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.22	0.00	1.22	90.0	1.10
10/13/2017	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.73	0.00	0.73	90.0	0.66
10/13/2017	HABTE, BISRAT	JH FINANCIAL INDUSTRIES A	0.00	3.46	0.00	3.46	90.0	3.11
10/13/2017	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.71	0.00	6.71	90.0	6.04
10/13/2017	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.02	0.00	3.02	90.0	2.72
10/13/2017	ABERRA, KONJIT	JH REGIONAL BANK A	0.00	2.16	0.00	2.16	90.0	1.94
10/01/2017	MARKEL, DENNIS	MFS GROWTH A	0.00	21.85	0.00	21.85	90.0	19.67
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 0-4	0.00	5.35	0.00	5.35	90.0	4.82
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 0-4	0.00	1.78	0.00	1.78	90.0	1.60
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	5.34	0.00	5.34	90.0	4.81

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	1.78	0.00	1.78	90.0	1.60
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	0.58	0.00	0.58	90.0	0.52
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	1.74	0.00	1.74	90.0	1.57
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	0.58	0.00	0.58	90.0	0.52
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	1.74	0.00	1.74	90.0	1.57
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$850.00</b>	<b>\$315.10</b>	<b>\$0.00</b>	<b>\$315.10</b>		<b>\$283.64</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/13/2017	SANDERS, OLIVER	AM GEN POLARIS CHOICE III	58,253.80	145.63	0.00	145.63	90.0	131.07
10/02/2017	FOXWORTH, ROBERT	METLIFE SERIES L	80,702.78	201.76	0.00	201.76	90.0	181.58
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$138,956.58</b>	<b>\$347.39</b>	<b>\$0.00</b>	<b>\$347.39</b>		<b>\$312.65</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$139,806.58</b>	<b>\$802.24</b>	<b>\$0.00</b>	<b>\$802.24</b>		<b>\$722.07</b>
<b><u>ADJUSTMENTS</u></b>								
10/31/2017		*CONCIERGE SVC: PREDETEANU REVERSAL	0.00					50.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$50.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$722.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$772.07
Year-to-date Gross	\$65,235.73
Year-to-date Earnings	\$63,634.95