



# COMMISSION STATEMENT

## January 29, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/29/2018	***-**-657Q - EDWARD SEGAL	FIDELITY FMRI310 BUY 0 Units @0.0000	0.00	9.11	0.00	9.11	80.0	7.29
01/08/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000060177222593	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @100.9600	75.00	2.10	0.00	2.10	80.0	1.68
01/08/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000090236508494	FRANKLIN INCOME FUND CLASS A BUY 30 Units @2.5000	75.00	2.10	0.00	2.10	80.0	1.68
01/08/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000000007745197	MUTUAL GLOBAL DISCOVERY FUND - CLAS BUY 2 Units @33.8400	75.00	2.10	0.00	2.10	80.0	1.68
01/08/2018	***-**-01IN - PELLETIER, KELLY ACCOUNT #: 000006984020841	TEMP GLOBAL BOND FND - CL A BUY 6 Units @12.5600	75.00	2.10	0.00	2.10	80.0	1.68
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$17.51</b>	<b>\$0.00</b>	<b>\$17.51</b>		<b>\$14.01</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/29/2018	***-**-94CL - FERRY, CLIENTS	ADP PROTOTYPE 401K PLAN BUY 0 Units @0.0000	0.00	93.50	0.00	93.50	80.0	74.80
01/29/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	CAPITAL APPRECIATION A BUY 1 Units @0.0000	0.00	4.22	0.00	4.22	80.0	3.38
01/29/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	6.77	0.00	6.77	80.0	5.42
01/29/2018	***-**-943K - MECHANICAL ACCOUNT #: 84336	FIDELITY ADV MF TRAILS BUY 0 Units @0.0000	0.00	6,347.84	0.00	6,347.84	80.0	5,078.27
01/29/2018	***-**-878I - MOSGROVE, KEITH ACCOUNT #: LSR011966	FIDELITY GOVT MMKT CAPITAL RESERVES BUY 1 Units @0.0000	0.00	0.00	0.00	0.00	80.0	0.00
01/29/2018	***-**-968X - GAW, LELAND ACCOUNT #: LSR010777	FRANKLIN RISING DIVIDENDS FD CLASS BUY 1 Units @0.0000	0.00	6.70	0.00	6.70	80.0	5.36
01/29/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRK LOW DURATION TOTAL RETURN FND - BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	80.0	0.77
01/29/2018	***-**-552X - FAMIGLIETTI, ACCOUNT #: LSR010780	FRK STRAT INC FND - CL A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	80.0	0.82
01/29/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	GLOBAL FUND A BUY 1 Units @0.0000	0.00	4.76	0.00	4.76	80.0	3.81
01/29/2018	***-**-862I - CARBONE, WILLIAM ACCOUNT #: LSR010803	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	80.0	2.28



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
01/29/2018	***-**-90SI - MACARON, SHADY	LIVEWELL MUTUAL FUND	0.00	71.60	0.00	71.60	80.0	57.28
	ACCOUNT #: 7200000120	BUY 0 Units @0.0000						
01/29/2018	***-**-078I - PELLETIER,	LIVEWELL MUTUAL FUND	0.00	22.85	0.00	22.85	80.0	18.28
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
01/29/2018	***-**-365I - HENDRICKS,	LIVEWELL MUTUAL FUND	0.00	54.29	0.00	54.29	80.0	43.43
	ACCOUNT #: 7200000751	BUY 0 Units @0.0000						
01/29/2018	***-**-230I - ADAMAITIS, JOY	LIVEWELL MUTUAL FUND	0.00	35.50	0.00	35.50	80.0	28.40
	ACCOUNT #: 7200005523	BUY 0 Units @0.0000						
01/29/2018	***-**-069I - PIRES, DAVID	LIVEWELL MUTUAL FUND	0.00	17.80	0.00	17.80	80.0	14.24
	ACCOUNT #: 7200006689	BUY 0 Units @0.0000						
01/29/2018	***-**-124I - YOUNG, SANDRA	LIVEWELL MUTUAL FUND	0.00	21.25	0.00	21.25	80.0	17.00
	ACCOUNT #: 7200017871	BUY 0 Units @0.0000						
01/29/2018	***-**-118I - MORRO, CHARLOTTE	LIVEWELL MUTUAL FUND	0.00	8.20	0.00	8.20	80.0	6.56
	ACCOUNT #: 7200021809	BUY 0 Units @0.0000						
01/29/2018	***-**-078I - PELLETIER,	LIVEWELL MUTUAL FUND	0.00	4.58	0.00	4.58	80.0	3.66
	ACCOUNT #: 7200000132	BUY 0 Units @0.0000						
01/29/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER CORE BOND FUND A	0.00	5.19	0.00	5.19	80.0	4.15
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
01/29/2018	***-**-862I - CARBONE, WILLIAM	OPPENHEIMER U.S. GOVT TR CLASS A	0.00	5.04	0.00	5.04	80.0	4.03
	ACCOUNT #: LSR010803	BUY 1 Units @0.0000						
01/29/2018	***-**-187I - HENEERY, KEVIN	SAMMONS MF TRAILS	0.00	32.78	0.00	32.78	80.0	26.22
	ACCOUNT #: 7200000440	BUY 0 Units @0.0000						
01/29/2018	***-**-027I - ADAMAITIS,	SAMMONS MF TRAILS	0.00	9.34	0.00	9.34	80.0	7.47
	ACCOUNT #: 7200011626	BUY 0 Units @0.0000						
01/29/2018	***-**-788I - LIPEIKA, RONALD	SAMMONS MF TRAILS	0.00	45.98	0.00	45.98	80.0	36.78
	ACCOUNT #: 7200016493	BUY 0 Units @0.0000						
01/29/2018	***-**-552X - FAMIGLIETTI,	TEMP GLOBAL BOND FND - CL A	0.00	1.06	0.00	1.06	80.0	0.85
	ACCOUNT #: LSR010780	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6,804.08</b>	<b>\$0.00</b>	<b>\$6,804.08</b>		<b>\$5,443.26</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$300.00</b>	<b>\$6,821.59</b>	<b>\$0.00</b>	<b>\$6,821.59</b>		<b>\$5,457.27</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$5,457.27
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$5,457.27
					Year-to-date Earnings			\$15,287.18