

**COMMISSION STATEMENT****November 15, 2017**

C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR/RAU/K

BROKER #: C157

BRANCH: FORTITUDE SPLITS

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^11/06/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	-27,253.89	22.00	22.00	0.00	100.0	0.00
^11/06/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	-9,686.58	22.00	22.00	0.00	100.0	0.00
^11/06/2017	ROULHAC, JAMES	AMERICAN FUNDS BALANCED FUND F2	-5,671.51	0.00	0.00	0.00	100.0	0.00
^11/06/2017	ROULHAC, JAMES	BLACKROCK MULTI-ASSET INCOME PORTFO	-7,114.35	0.00	0.00	0.00	100.0	0.00
^11/06/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	-4,685.75	0.00	0.00	0.00	100.0	0.00
^11/06/2017	ROULHAC, JAMES	CENTER COAST MLP FOCUS FUND CLASS I	-6,037.96	0.00	22.00	-22.00	100.0	0.00
^11/06/2017	ROULHAC, JAMES	FIDELITY ADVISOR LATIN AMERICA FUND	-2,055.73	0.00	22.00	-22.00	100.0	0.00
^11/06/2017	ROULHAC, DEBRA	LORD ABBETT BOND DEBENTURE A	-8.30	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-62,514.07</b>	<b>\$44.00</b>	<b>\$88.00</b>	<b>\$-44.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
^11/06/2017	ROULHAC, DEBRA	ALABAMA ST UNIV REV TAXABLE-GEN TUI	-58,237.85	28.00	27.00	1.00	100.0	0.00
^11/06/2017	ROULHAC, DEBRA	LAKE CNTY ILL CMNTY UNIT SCH DIST N	-63,425.40	28.00	27.00	1.00	100.0	0.00
^11/06/2017	ROULHAC, DEBRA	NORTHERN ILL UNIV REVS TAXABLE-AUXI	-49,488.75	28.00	27.00	1.00	100.0	0.00
^11/06/2017	ROULHAC, DEBRA	WOONSOCKET R I PENSION 6.290% 07/15	-45,474.00	28.00	27.00	1.00	100.0	0.00
* BONDS SUBTOTAL *			<b>\$-216,626.00</b>	<b>\$112.00</b>	<b>\$108.00</b>	<b>\$4.00</b>		<b>\$0.00</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-279,140.07</b>	<b>\$156.00</b>	<b>\$196.00</b>	<b>\$-40.00</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^10/19/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	3.28	0.00	3.28	100.0	0.00
^10/19/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.16	0.00	1.16	100.0	0.00
^10/23/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.60	0.00	0.60	100.0	0.00
^10/18/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.91	0.00	4.91	100.0	0.00
^10/18/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.51	0.00	3.51	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.46</b>	<b>\$0.00</b>	<b>\$13.46</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$13.46</b>	<b>\$0.00</b>	<b>\$13.46</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.12
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.12</b>
<b>Year-to-date Gross</b>	<b>\$0.12</b>
<b>Year-to-date Earnings</b>	<b>\$0.12</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed