Concorde 💪

COMMISSION STATEMENT

February 28, 2018

THOMAS, H121 HALSEY 7261 W. Central Ave.

Toledo, OH 43617

BROKER #: H121

BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: 529 PLANS							
02/15/2018	LEROUX, LAURA	BLACKROCK 67776G519	300.00	11.25	0.00	11.25	85.0	9.56
		* 529 PLANS SUBTOTAL *	\$300.00	\$11.25	\$0.00	\$11.25		\$9.56
PRODUCT CA	TEGORY: MUTUAL FUNDS							
02/07/2018	DENHAM, CONSTANCE	AM FUNDS AMERICAN BALANCED A	500.00	18.75	0.00	18.75	85.0	15.94
02/07/2018	ABBE, AMY	AM FUNDS AMERICAN BALANCED A	2,000.00	85.00	0.00	85.00	85.0	72.25
02/07/2018	LATTA, MARY	AM FUNDS CAPITAL INCOME BUILDER A	1,500.00	75.00	0.00	75.00	85.0	63.75
02/07/2018	ABBE, AMY	AM FUNDS CAPITAL INCOME BUILDER A	2,500.00	106.25	0.00	106.25	85.0	90.31
02/07/2018	ABBE, AMY	AM FUNDS CAPITAL WORLD GRTH & INC A	1,500.00	63.75	0.00	63.75	85.0	54.19
02/07/2018	DENHAM, CONSTANCE	AM FUNDS FUNDAMENTAL INVESTORS A	520.00	19.50	0.00	19.50	85.0	16.58
02/07/2018	LATTA, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	1,500.00	75.00	0.00	75.00	85.0	63.75
02/07/2018	ABBE, AMY	AM FUNDS FUNDAMENTAL INVESTORS A	2,480.68	105.43	0.00	105.43	85.0	89.62
02/07/2018	DENHAM, CONSTANCE	AM FUNDS GLOBAL BALANCED A	1,180.00	44.25	0.00	44.25	85.0	37.61
02/07/2018	DENHAM, CONSTANCE	AM FUNDS INCOME FUND OF AMERICA A	1,000.00	37.50	0.00	37.50	85.0	31.88
02/07/2018	LATTA, MARY	AM FUNDS INTL GROWTH AND INCOME A	1,500.00	75.00	0.00	75.00	85.0	63.75
02/07/2018	LATTA, MARY	AM FUNDS SMALLCAP WORLD A	1,800.00	90.00	0.00	90.00	85.0	76.50
02/07/2018	ABBE, AMY	AM FUNDS SMALLCAP WORLD A	2,000.00	85.00	0.00	85.00	85.0	72.25
02/07/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	990.97	37.16	0.00	37.16	85.0	31.59
02/07/2018	LATTA, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,454.12	122.71	0.00	122.71	85.0	104.30
02/07/2018	ABBE, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,213.80	94.09	0.00	94.09	85.0	79.98
02/15/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	1.06
02/15/2018	LEININGER, LISA	BLACKROCK 67776G535	25.00	1.25	0.00	1.25	85.0	1.06
		* MUTUAL FUNDS SUBTOTAL *	\$25,689.57	\$1,136.89	\$0.00	\$1,136.89		\$966.37
PRODUCT CA	TEGORY: VARIABLE ANNUITIES							
02/20/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
02/21/2018	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIDECE	TD ANG A CITTONG					
		DIRECT	TRANSACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNUITIES							
01/02/2018	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	137,774.79	114.81	0.00	114.81	85.0	97.59
01/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	114,229.77	95.19	0.00	95.19	85.0	80.91
01/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	85,692.52	71.41	0.00	71.41	85.0	60.70
01/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	244,886.16	204.07	0.00	204.07	85.0	173.46
01/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	105,620.74	88.02	0.00	88.02	85.0	74.82
01/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	148,164.52	123.47	0.00	123.47	85.0	104.95
01/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	152,414.42	127.01	0.00	127.01	85.0	107.96
01/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	199,184.89	165.99	0.00	165.99	85.0	141.09
01/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	255,210.62	212.68	0.00	212.68	85.0	180.78
01/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	138,614.96	115.51	0.00	115.51	85.0	98.18
01/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	183,858.20	153.22	0.00	153.22	85.0	130.24
01/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	114,221.10	95.18	0.00	95.18	85.0	80.90
01/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	99,182.56	82.65	0.00	82.65	85.0	70.25
01/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	92,000.85	76.67	0.00	76.67	85.0	65.17
01/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	145,675.25	121.40	0.00	121.40	85.0	103.19
01/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	68,204.84	56.84	0.00	56.84	85.0	48.31
01/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	30,756.68	25.63	0.00	25.63	85.0	21.79
01/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	234,821.24	195.68	0.00	195.68	85.0	166.33
01/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,560.50	60.47	0.00	60.47	85.0	51.40
01/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,560.50	60.47	0.00	60.47	85.0	51.40
01/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	72,560.50	60.47	0.00	60.47	85.0	51.40
01/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	109,226.69	91.02	0.00	91.02	85.0	77.37
01/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	214,281.44	178.57	0.00	178.57	85.0	151.78
01/22/2018	AUGUSTYNIAK JR, ROGER	TRANSAMERICA LIBERTY	447,943.08	373.29	0.00	373.29	85.0	317.30
01/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	97,464.73	81.22	0.00	81.22	85.0	69.04
01/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	423,149.25	352.62	0.00	352.62	85.0	299.73
01/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	57,126.37	47.61	0.00	47.61	85.0	40.47
01/26/2018	ANNESSER, CAROL	TRANSAMERICA LIBERTY	114,360.95	95.30	0.00	95.30	85.0	81.01
01/27/2018	DESELMS, KAREN	TRANSAMERICA LIBERTY	129,972.48	108.31	0.00	108.31	85.0	92.06
01/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	30,074.31	25.06	0.00	25.06	85.0	21.30
01/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	70,118.85	58.43	0.00	58.43	85.0	49.67
01/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	37,797.59	31.50	0.00	31.50	85.0	26.78
01/30/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	50,322.98	41.94	0.00	41.94	85.0	35.65

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTM	ENTS					
		* VARIABLE ANNUITIES SUBTOTAL *	\$4,550,184.33	\$3,799.96	\$0.00	\$3,799.96		\$3,230.00
		*** DIRECT TRANSACTIONS TOTAL ***	\$4,576,173.90	\$4,948.10	\$0.00	\$4,948.10		\$4,205.93
		ADJUSTM	ENTS					
02/28/2018		*ADVANCE: ADANCE	0.00					-3,075.99
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-3,075.99
					<u>I</u>	BROKER COMMI	SSION	TOTALS
					Broke:	r Transactions		\$4,205.93
					Spli	t Transactions		\$0.00
					Override Transactions			\$0.00
						Adjustments		\$-3,075.99
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$-146.96
					FI	NRA Assessment		\$0.00
					S	IPC Assessment		\$0.00
						Check Amoun	t	\$982.98
					Year	r-to-date Gros	s	\$10,929.24
					Year-to	o-date Earning	s	\$9,289.91