



COMMISSION STATEMENT

January 08, 2018

NEIL GLICKMAN
980 Providence Ln
Buffalo Grove, IL 60089

BROKER #: B12
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/28/2017	***-**-528I - BARTZIS, REGINA	AMERICAN BALANCED FND F2-NFS	210.80	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: LSR012071	SELL 8 Units @27.1900						
12/28/2017	***-**-571I - BEDNARZ, BARBARA	AMERICAN BALANCED FUND-A	289.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012062	SELL 11 Units @27.2100						
12/28/2017	***-**-779I - BEDNARZ,	AMERICAN BALANCED FUND-A	93.49	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012063	SELL 3 Units @27.2100						
12/28/2017	***-**-528I - BARTZIS, REGINA	AMERICAN FUNDAMENTAL INV. CL F2-NFS	204.61	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: LSR012071	SELL 3 Units @62.4200						
12/28/2017	***-**-430I - GRYNSPAN, ELLEN	AMERICAN FUNDS BALANCED PORT CL F2-	27,999.99	35.00	18.00	17.00	80.0	13.60
	ACCOUNT #: LSR012131	SELL 1887 Units @14.8400						
01/03/2018	***-**-097I - FIASCONARO,	AMERICAN FUNDS BLNCD PORTFOLIO CL A	175.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012064	SELL 12 Units @14.9500						
01/03/2018	***-**-835I - FREEMAN, FLOYD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	952.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012117	SELL 64 Units @14.9500						
12/28/2017	***-**-430I - GRYNSPAN, ELLEN	AMERICAN FUNDS GROWTH PORT CL F2-NF	15,000.00	35.00	18.00	17.00	80.0	13.60
	ACCOUNT #: LSR012131	SELL 824 Units @18.2000						
12/28/2017	***-**-430I - GRYNSPAN, ELLEN	AMERICAN FUNDS GRWTH & INC. PORT F	29,000.00	35.00	18.00	17.00	80.0	13.60
	ACCOUNT #: LSR012131	SELL 1909 Units @15.1900						
01/03/2018	***-**-097I - FIASCONARO,	AMERICAN FUNDS GRWTH & INCOME PORT	175.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012064	SELL 11 Units @15.3200						
01/03/2018	***-**-835I - FREEMAN, FLOYD	AMERICAN FUNDS GRWTH & INCOME PORT	924.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012117	SELL 60 Units @15.3200						
12/29/2017	***-**-4300 - GRYNSPAN, ELLEN	AMERICAN HIGH-INCOME TRUST-A	66,315.14	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012109	SELL 6395 Units @10.3700						
12/28/2017	***-**-528I - BARTZIS, REGINA	AMERICAN INCOME FND OF AMERICA CL F	204.59	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: LSR012071	SELL 9 Units @23.3500						
12/28/2017	***-**-571I - BEDNARZ, BARBARA	CAPITAL INCOME BUILDER-A	280.50	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012062	SELL 4 Units @62.7800						
12/28/2017	***-**-779I - BEDNARZ,	FUNDAMENTAL INVESTORS-A	90.73	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012063	SELL 1 Units @62.4400						
12/28/2017	***-**-571I - BEDNARZ, BARBARA	THE INCOME FUND OF AMERICA-A	280.51	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR012062	SELL 12 Units @23.3700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/28/2017	***-**-779I - BEDNARZ, ACCOUNT #: LSR012063	THE INCOME FUND OF AMERICA-A SELL 4 Units @23.3700	90.75	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$142,286.11	\$114.00	\$72.00	\$42.00		\$30.00
PRODUCT CATEGORY: BONDS								
12/29/2017	***-**-430I - GRYNSPAN, ELLEN ACCOUNT #: LSR012131	AT&T INC NOTE CALL MAKE WHOLE 4.800 BUY 180 Units @98.9270	17,806.86	270.00	24.00	246.00	80.0	196.80
12/29/2017	***-**-349I - GRYNSPAN, JERRY ACCOUNT #: LSR012107	BED BATH &BEYOND INC NOTE CALL MAKE BUY 90 Units @90.7810	8,170.29	112.50	24.00	88.50	80.0	70.80
12/29/2017	***-**-430I - GRYNSPAN, ELLEN ACCOUNT #: LSR012131	BED BATH &BEYOND INC NOTE CALL MAKE BUY 180 Units @90.7970	16,343.46	225.00	24.00	201.00	80.0	160.80
01/02/2018	***-**-4300 - GRYNSPAN, ELLEN ACCOUNT #: LSR012109	BED BATH &BEYOND INC NOTE CALL MAKE BUY 150 Units @90.2950	13,544.25	187.50	24.00	163.50	80.0	130.80
01/02/2018	***-**-4300 - GRYNSPAN, ELLEN ACCOUNT #: LSR012109	FORD MTR CO DEL NOTE 4.75000% 01/15 BUY 100 Units @101.1860	10,118.60	150.00	24.00	126.00	80.0	100.80
12/29/2017	***-**-430I - GRYNSPAN, ELLEN ACCOUNT #: LSR012131	KOHL'S CORP NOTE CALL MAKE WHOLE 5.5 BUY 180 Units @97.9160	17,624.88	270.00	24.00	246.00	80.0	196.80
01/02/2018	***-**-4300 - GRYNSPAN, ELLEN ACCOUNT #: LSR012109	KROGER CO NOTE CALL MAKE WHOLE 4.45 BUY 150 Units @99.8170	14,972.55	225.00	24.00	201.00	80.0	160.80
01/02/2018	***-**-4300 - GRYNSPAN, ELLEN ACCOUNT #: LSR012109	OGLETHORPE PWR CORP BOND CALL MAKE BUY 100 Units @101.2890	10,128.90	150.00	24.00	126.00	80.0	100.80
01/02/2018	***-**-4300 - GRYNSPAN, ELLEN ACCOUNT #: LSR012109	TIFFANY &CO NOTE CALL MAKE WHOLE 4. BUY 150 Units @100.4700	15,070.50	225.00	24.00	201.00	80.0	160.80
12/29/2017	***-**-430I - GRYNSPAN, ELLEN ACCOUNT #: LSR012131	VERIZON COMMUNICATIONS INC 4.50000% BUY 180 Units @100.4550	18,081.90	225.00	24.00	201.00	80.0	160.80
* BONDS SUBTOTAL *			\$141,862.19	\$2,040.00	\$240.00	\$1,800.00		\$1,440.00
PRODUCT CATEGORY: 529 PLAN								
12/18/2017	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	AMERICAN BALANCED FUND-529A BUY 1 Units @29.6600	25.00	1.06	0.00	1.06	80.0	0.85
12/18/2017	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	CAPITAL INCOME BUILDER-529A BUY 0 Units @67.4500	25.00	1.06	0.00	1.06	80.0	0.85



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
12/18/2017	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	FUNDAMENTAL INVESTORS-529A BUY 0 Units @69.2600	25.00	1.06	0.00	1.06	80.0	0.85
12/18/2017	***-**-733I - ANDERSEN, ACCOUNT #: 00085128729	THE INCOME FUND OF AMERICA-529A BUY 1 Units @25.1700	25.00	1.06	0.00	1.06	80.0	0.85
* 529 PLAN SUBTOTAL *			\$100.00	\$4.24	\$0.00	\$4.24		\$3.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	***-**-1940 - SCHUELE, CHARLES LINCOLN FINANCIAL CUSIP 534222245-A ACCOUNT #: 954048107	BUY 0 Units @0.0000	0.00	94.42	0.00	94.42	80.0	75.54
12/31/2017	***-**-642I - BELT, JAMES ACCOUNT #: E1647201	PRUDENTIAL PREMIER RETIREMENT DTCC BUY 0 Units @0.0000	0.00	174.31	0.00	174.31	80.0	139.45
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$268.73	\$0.00	\$268.73		\$214.99
*** BROKER TRANSACTIONS TOTAL ***			\$284,248.30	\$2,426.97	\$312.00	\$2,114.97		\$1,688.39

BROKER COMMISSION TOTALS

Broker Transactions	\$1,688.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,688.39
Year-to-date Earnings	\$1,804.23