



# COMMISSION STATEMENT

## July 09, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/28/2018	***-**-673I - CZUBIK, ACCOUNT #: LSR010662	PACIFIC FUNDS LMT DURATION HIGH INC SELL 1526 Units @8.9520	13,657.13	0.00	18.00	-18.00	100.0	-18.00
06/28/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	PACIFIC FUNDS LMT DURATION HIGH INC SELL 2280 Units @8.9520	20,407.56	0.00	18.00	-18.00	100.0	-18.00
06/28/2018	***-**-918I - SANCHEZ, THERESA ACCOUNT #: LSR010742	PACIFIC FUNDS LMT DURATION HIGH INC SELL 1441 Units @8.9520	12,896.65	0.00	18.00	-18.00	100.0	-18.00
06/28/2018	***-**-18IN - SANCHEZ, THERESA ACCOUNT #: LSR010749	PACIFIC FUNDS LMT DURATION HIGH INC SELL 1724 Units @8.9520	15,431.34	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$62,392.68</b>	<b>\$0.00</b>	<b>\$72.00</b>	<b>\$-72.00</b>		<b>\$-72.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/02/2018	***-**-26IR - CORDONE, EILEEN ACCOUNT #: 119193LK8	LANDMARK VARIABLE ANNUITY BUY 0 Units @0.0000	150.00	10.80	0.00	10.80	70.0	7.56
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$150.00</b>	<b>\$10.80</b>	<b>\$0.00</b>	<b>\$10.80</b>		<b>\$7.56</b>
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
07/09/2018	***-**-26IN - SANTOS, FATIMA ACCOUNT #: 68526609201	CHET 529 BUY 0 Units @0.0000	200.00	5.00	0.00	5.00	70.0	3.50
* 529 PLAN SUBTOTAL *			<b>\$200.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$3.50</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2018	***-**-246I - DEPOALO, PETER ACCOUNT #: E0997928	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	122.94	0.00	122.94	70.0	86.06
06/30/2018	***-**-69IR - RICH, PATRICIA ACCOUNT #: E0962772	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	6.97	0.00	6.97	70.0	4.88
06/30/2018	***-**-69IR - RICH, PATRICIA ACCOUNT #: E0962772	AS ADVISORS PLAN III BUY 0 Units @0.0000	0.00	6.97	0.00	6.97	70.0	4.88
06/30/2018	***-**-752I - LIBBY, KENNETH ACCOUNT #: 922213993	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	530.82	0.00	530.82	70.0	371.57
06/30/2018	***-**-846I - BREEN, KENNETH ACCOUNT #: 922228517	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	200.62	0.00	200.62	70.0	140.43
06/30/2018	***-**-216I - COOK, CHARLES ACCOUNT #: 922305048	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	661.07	0.00	661.07	70.0	462.75



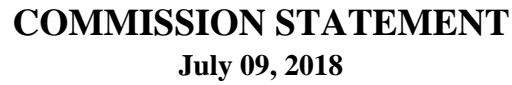
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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-673I - CZUBIK, ACCOUNT #: 924686423	LINCOLN FIN TRAILS 53404P659 BUY 0 Units @0.0000	0.00	552.85	0.00	552.85	70.0	387.00
06/30/2018	***-**-008I - DEHAAS, ACCOUNT #: 016353370	NATIONWIDE CUSIP 63890A173-NSCC BUY 0 Units @0.0000	0.00	208.63	0.00	208.63	70.0	146.04
06/30/2018	***-**-287I - AMANTE, JAMES ACCOUNT #: E1574098	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	53.50	0.00	53.50	70.0	37.45
06/30/2018	***-**-951I - MURPHY, DEBRA ACCOUNT #: E1331899	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	74.36	0.00	74.36	70.0	52.05
06/30/2018	***-**-962I - MATERA, PATRICK ACCOUNT #: E1341347	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	285.29	0.00	285.29	70.0	199.70
06/30/2018	***-**-111I - ROMANOVSKY, ACCOUNT #: E1352162	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	305.37	0.00	305.37	70.0	213.76
06/30/2018	***-**-543I - RISKALLA, ACCOUNT #: E1256206	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	413.10	0.00	413.10	70.0	289.17
06/30/2018	***-**-647I - MCCANN, EDWARD ACCOUNT #: E1250308	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	334.09	0.00	334.09	70.0	233.86
06/30/2018	***-**-09IR - RICH, JOSEPH ACCOUNT #: E1762599	PREMIER RETIREMENT L SERIES BUY 0 Units @0.0000	0.00	225.97	0.00	225.97	70.0	158.18
06/30/2018	***-**-223I - GAY, LISA ACCOUNT #: E1057593	PRUDENTIAL PREMIER RETIREMENT X BUY 0 Units @0.0000	0.00	81.40	0.00	81.40	70.0	56.98
06/30/2018	***-**-52IN - BRODER, RUTH ACCOUNT #: E0763037	PRUDENTIAL VA TRAILS 74430A235 BUY 0 Units @0.0000	0.00	281.69	0.00	281.69	70.0	197.18
06/30/2018	***-**-52IN - BRODER, RUTH ACCOUNT #: E0763037	PRUDENTIAL VA TRAILS 74430A235 BUY 0 Units @0.0000	0.00	70.42	0.00	70.42	70.0	49.29
07/09/2018	***-**-9632 - H3 PET SUPPLY ACCOUNT #: E1567244	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	316.56	0.00	316.56	70.0	221.59
07/09/2018	***-**-15CL - BRODER, CLIENTS	SECURITY BENEFIT VA TRAIL BUY 1 Units @0.0000	0.00	10.84	0.00	10.84	70.0	7.59
07/09/2018	***-**-15CL - BRODER, CLIENTS	SECURITY BENEFIT VA TRAIL BUY 1 Units @0.0000	0.00	13.81	0.00	13.81	70.0	9.67
07/09/2018	***-**-15CL - BRODER, CLIENTS	SECURITY BENEFIT VA TRAIL BUY 1 Units @0.0000	0.00	85.74	0.00	85.74	70.0	60.02



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<u>ADJUSTMENTS (continued)</u>								
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$4,843.01	\$0.00	\$4,843.01		\$3,390.10
		*** BROKER TRANSACTIONS TOTAL ***	\$62,742.68	\$4,858.81	\$72.00	\$4,786.81		\$3,329.16
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$3,223.28
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$37.62
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,366.78
						Year-to-date Earnings		\$22,274.55