



Concorde Investment Services, LLC

06/13/2017  
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# COMMISSION STATEMENT

June 15, 2017

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: 1JR  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^05/08/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.50	0.00	2.50	100.0	0.00
^05/08/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.20	0.00	1.20	100.0	0.00
^05/08/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.18	0.00	7.18	100.0	0.00
^05/08/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.50	0.00	2.50	100.0	0.00
^05/17/2017	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	1.26	0.00	1.26	100.0	0.00
^05/10/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.56	0.00	0.56	100.0	0.00
^05/10/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.44	0.00	0.44	100.0	0.00
^05/10/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.48	0.00	2.48	100.0	0.00
^05/10/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.60	0.00	0.60	100.0	0.00
^05/10/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.65	0.00	0.65	100.0	0.00
^05/10/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	100.0	0.00
^05/22/2017	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.19	0.00	1.19	100.0	0.00
^05/24/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.21	0.00	0.21	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.09	\$0.00	\$21.09		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$21.09	\$0.00	\$21.09		\$0.00
<b><u>ADJUSTMENTS</u></b>								
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.04</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>