



Concorde Asset Management  
**COMMISSION STATEMENT**  
 January 17, 2017

01/18/2017  
 Page No. 1

JBB JEN-BRENT-BRION TRU-DONALD-LEE-NORBY

BROKER #: JBB

BRANCH: TRUWEALTH FINANCIAL-

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^12/29/2016	VESTAL, KATHY	DREYFUS SMALL CAP STOCK INDEX	5,000.00	0.00	23.00	-23.00	100.0	0.00
^12/29/2016	MORRIS, PATRICK	DRIEHAUS EVENT DRIVEN FUND	2,000.00	0.00	23.00	-23.00	100.0	0.00
^12/29/2016	MORRIS, PATRICK	DRIEHAUS MICRO CAP GROWTH FUND	2,000.00	0.00	23.00	-23.00	100.0	0.00
^12/29/2016	MORRIS, SANDRA PACKER	DRIEHAUS MICRO CAP GROWTH FUND	2,000.00	0.00	23.00	-23.00	100.0	0.00
^12/29/2016	VESTAL, KATHY	FIDELITY SPARTAN 500 INDEX FUND INV	7,000.00	0.00	23.00	-23.00	100.0	0.00
^12/29/2016	VESTAL, KATHY	FIDELITY SPARTAN 500 INDEX FUND INV	10,000.00	0.00	23.00	-23.00	100.0	0.00
^12/29/2016	VESTAL, KATHY	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	7,412.88	0.00	0.00	0.00	100.0	0.00
^12/29/2016	VESTAL, KATHY	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	12,177.41	0.00	0.00	0.00	100.0	0.00
^12/20/2016	KALIL, DANIEL	PRUDENTIAL SHORT-TERM CORP BOND CL	45,930.03	0.00	0.00	0.00	100.0	0.00
^12/29/2016	MORRIS, SANDRA PACKER	RIDGEWORTH MID CAP VALUE EQUITY FUN	2,000.00	0.00	0.00	0.00	100.0	0.00
^12/29/2016	MORRIS, SANDRA PACKER	TOUCHSTONE FOCUSED FUND CLASS Y	2,000.00	0.00	23.00	-23.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$97,520.32	\$0.00	\$161.00	\$-161.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$97,520.32	\$0.00	\$161.00	\$-161.00		\$0.00
<b><u>ADJUSTMENTS</u></b>								
01/17/2017		*RBC: 7A502927 & 7A502933	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>