

**COMMISSION STATEMENT****April 15, 2018**

ALAN, L133 LICHTENSTEIN
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
04/09/2018	MUNZER, CRAIG	EXCHANGERIGHT NET LEASED PF 20 DST	113,008.91	7,910.62	0.00	7,910.62	75.0	5,932.97
* 1031 EXCHANGES SUBTOTAL *			\$113,008.91	\$7,910.62	\$0.00	\$7,910.62		\$5,932.97
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/28/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	2.95	0.00	2.95	75.0	2.21
03/23/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.48	0.00	1.48	75.0	1.11
03/23/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.38	0.00	1.38	75.0	1.04
03/23/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.50	0.00	3.50	75.0	2.63
03/23/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.41	0.00	1.41	75.0	1.06
03/23/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	75.0	0.34
03/23/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.74	0.00	0.74	75.0	0.56
03/23/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.10	0.00	2.10	75.0	1.58
03/23/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.80	0.00	2.80	75.0	2.10
03/23/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.97	0.00	2.97	75.0	2.23
03/19/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.97	0.00	1.97	75.0	1.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.75	\$0.00	\$21.75		\$16.34
*** DIRECT TRANSACTIONS TOTAL ***			\$113,008.91	\$7,932.37	\$0.00	\$7,932.37		\$5,949.31

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
04/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
04/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
04/15/2018		*MISC: OVERNIGHT FEE 4/15/18	0.00					-10.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - LICHENSTEIN	0.00					-10.00
04/15/2018		*PERSHING: R SPLIT NEW YORK REIT - LICHENSTEIN	0.00					-10.00
04/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-517.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,949.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-517.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,432.31
Year-to-date Gross	\$17,979.38
Year-to-date Earnings	\$13,400.25

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