

**COMMISSION STATEMENT****October 31, 2017**DAVID MIKE BREEDLOVE  
1024 Pruitt Place

Tyler, TX 75703

**BROKER #: B132**

BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/26/2017	MOORE, GID	FINANICAL PLANNING	0.00	3,000.00	90.0	2,700.00	0.00	2,700.00
10/18/2017	BURK, ANN	SAWTOOTH	0.00	171.29	90.0	154.16	0.00	154.16
10/18/2017	CALDWELL, KIT	SAWTOOTH	0.00	5.52	90.0	4.97	0.00	4.97
10/18/2017	KLINGER, PATRICIA	SAWTOOTH	0.00	29.64	90.0	26.68	0.00	26.68
10/18/2017	KLINGER, PATRICIA	SAWTOOTH	0.00	42.73	90.0	38.46	0.00	38.46
10/18/2017	OWEN, LOUIS	SAWTOOTH	0.00	124.44	90.0	112.00	0.00	112.00
10/18/2017	OWEN, LOUIS	SAWTOOTH	0.00	123.23	90.0	110.91	0.00	110.91
10/12/2017	CRAB LEGACY LLC	TD AMERITRADE	842,649.17	1,911.54	90.0	1,720.39	0.00	1,720.39
10/12/2017	BEAT YESTERDAY LLC	TD AMERITRADE	556,141.04	1,261.60	90.0	1,135.44	0.00	1,135.44
10/12/2017	DJL FAMILY LLC	TD AMERITRADE	869,968.97	1,973.52	90.0	1,776.17	0.00	1,776.17
10/12/2017	LADNER, DAVID	TD AMERITRADE	655,561.42	1,487.14	90.0	1,338.43	0.00	1,338.43
10/12/2017	LADNER, JULIE	TD AMERITRADE	30,966.14	70.25	90.0	63.23	0.00	63.23
10/12/2017	LADNER, JULIE	TD AMERITRADE	14,440.00	32.76	90.0	29.48	0.00	29.48
10/12/2017	LFCM ENDOWMENT LLC	TD AMERITRADE	127,525.12	0.00	90.0	0.00	0.00	0.00
10/12/2017	CARTER, JOHN	TD AMERITRADE	2,707,043.69	6,140.91	90.0	5,526.82	0.00	5,526.82
10/12/2017	CARTER, JOHN	TD AMERITRADE	299,835.25	680.17	90.0	612.15	0.00	612.15
10/12/2017	HOWARD, JOHN	TD AMERITRADE	108,886.18	247.01	90.0	222.31	0.00	222.31
10/12/2017	CMPG-2 INVESTMENTS	TD AMERITRADE	13,021.98	29.54	90.0	26.59	0.00	26.59
10/12/2017	HANEY, PATRICIA	TD AMERITRADE	420,285.12	953.41	90.0	858.07	0.00	858.07
10/12/2017	BREEDLOVE JR, JAMES	TD AMERITRADE	320,856.94	161.75	90.0	145.58	0.00	145.58
<b>* RIA SUBTOTAL *</b>			<b>\$6,967,181.02</b>	<b>\$18,446.45</b>		<b>\$16,601.84</b>	<b>\$0.00</b>	<b>\$16,601.84</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$6,967,181.02</b>	<b>\$18,446.45</b>		<b>\$16,601.84</b>	<b>\$0.00</b>	<b>\$16,601.84</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	-------------------	--------------------	----------------------

ADJUSTMENTS

10/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH	0.00					-10.59
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00		\$-10.59	\$0.00	\$-10.59

BROKER COMMISSION TOTALS

Broker Transactions	\$16,601.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-10.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-50.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,541.25
Year-to-date Gross	\$69,065.49
Year-to-date Earnings	\$62,158.99

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed