

## COMMISSION STATEMENT

October 31, 2017

ARTHUR KITTELSTAD  
3316 N FRONTAGE ROAD

BROKER #: 6  
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/04/2017	QGW001307	SMALL, WAYNE	FORD MOTOR CO PAR \$0.01	B	3,725.79	108.00	10.00	98.00	85.0	81.80
10/04/2017	QGW001307	SMALL, WAYNE	INTERNATIONAL BUSINESS MACHINES CORP	B	4,392.85	127.00	10.00	117.00	85.0	97.95
10/04/2017	QGW001307	SMALL, WAYNE	QUALCOMM INC	B	5,180.50	150.00	10.00	140.00	85.0	117.50
10/26/2017	QGW001307	SMALL, WAYNE	AT&T INC	B	6,739.00	196.00	10.00	186.00	85.0	156.60
10/04/2017	QGW001323	KNUDSON, BENJAMIN	FORD MOTOR CO PAR \$0.01	B	4,928.00	143.00	10.00	133.00	85.0	111.55
10/05/2017	QGW001604	HERZOG, CHRISTOPHER	FORD MOTOR CO PAR \$0.01	B	4,870.00	141.00	10.00	131.00	85.0	109.85
10/24/2017	QGW018483	KNUDSON, BENJAMIN	TUTOR PERINI CORPORATION	B	5,599.98	163.00	10.00	153.00	85.0	128.55
10/18/2017	QGW018525	WAYMEL, RAYMOND	AT&T INC	B	7,139.76	207.00	10.00	197.00	85.0	165.95
10/18/2017	QGW018525	WAYMEL, RAYMOND	TARGET CORP	S	-12,046.24	313.00	10.00	303.00	85.0	256.05
10/04/2017	QGW018541	LUNCEFORD, WENDELL	DINEEQUITY INC	S	-6,501.75	169.00	10.00	159.00	85.0	133.65
10/18/2017	QGW018541	LUNCEFORD, WENDELL	AT&T INC	B	7,123.78	206.00	10.00	196.00	85.0	165.10
10/18/2017	QGW018541	LUNCEFORD, WENDELL	FORD MOTOR CO PAR \$0.01	B	4,883.00	141.00	10.00	131.00	85.0	109.85
10/18/2017	QGW018541	LUNCEFORD, WENDELL	QUALCOMM INC	B	10,449.00	303.00	10.00	293.00	85.0	247.55
10/18/2017	QGW018541	LUNCEFORD, WENDELL	TARGET CORP	S	-12,062.00	315.00	10.00	305.00	85.0	257.75
10/11/2017	QGW018574	STEPANEK, CATHERINE	FORD MOTOR CO PAR \$0.01	B	2,479.32	75.00	10.00	65.00	85.0	53.75
10/23/2017	QGW018590	NEWTON, ROBERT	TUTOR PERINI CORPORATION	B	2,787.87	80.00	10.00	70.00	85.0	58.00
10/23/2017	QGW018590	NEWTON, ROBERT	VULCAN MATERIALS CO (HOLDING CO)	B	2,430.98	75.00	10.00	65.00	85.0	53.75
10/02/2017	QGW018616	CONARD, VICKI	APOLLO COMMERCIAL REAL ESTATE FINAN	S	-5,440.50	122.00	10.00	112.00	85.0	93.70
10/02/2017	QGW018616	CONARD, VICKI	ISHARES IBOXX \$ HIGH YIELD CORPORAT	S	-5,034.44	114.00	10.00	104.00	85.0	86.90
10/02/2017	QGW018616	CONARD, VICKI	WELLTOWER INC COM	S	-1,889.11	60.00	10.00	50.00	85.0	41.00
10/11/2017	QGW018624	BRYANT, RONALD	GOLDCORP INC	B	5,315.96	154.00	10.00	144.00	85.0	120.90
10/11/2017	QGW018624	BRYANT, RONALD	QUALCOMM INC	B	5,411.66	156.00	10.00	146.00	85.0	122.60
10/11/2017	QGW018624	BRYANT, RONALD	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	3,959.73	115.00	10.00	105.00	85.0	87.75
10/04/2017	QGW018822	BERWANGER, CAROL	FORD MOTOR CO PAR \$0.01	B	2,469.48	75.00	10.00	65.00	85.0	53.75
10/25/2017	QGW018822	BERWANGER, CAROL	AT&T INC	B	2,351.29	75.00	10.00	65.00	85.0	53.75
10/10/2017	QGW018830	PENNINGTON, CHARLES	FORD MOTOR CO PAR \$0.01	B	4,324.81	125.00	10.00	115.00	85.0	96.25
10/23/2017	QGW018905	NUTT FOR, RENEE	FORD MOTOR CO PAR \$0.01	B	2,056.42	75.00	10.00	65.00	85.0	53.75
10/23/2017	QGW018913	NUTT, RENEE	FORD MOTOR CO PAR \$0.01	B	4,838.92	140.00	10.00	130.00	85.0	109.00
10/03/2017	QGW018954	EGGLESTON, LYNN	AMGEN INC	S	-4,682.13	121.00	10.00	111.00	85.0	92.85
10/11/2017	QGW019051	VERTREES, TRACY	GLOBAL X FDS GLOBAL X SUPERDIVIDEND	B	5,105.94	148.00	10.00	138.00	85.0	115.80
10/25/2017	QGW019119	HAECKER, GREG	AT&T INC	B	6,725.70	195.00	10.00	185.00	85.0	155.75

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
10/04/2017	QGW019127	CUSTODIAN, GREG							
		FORD MOTOR CO PAR \$0.01	B	3,080.00	85.00	10.00	75.00	85.0	62.25
10/04/2017	QGW019135	CUSTODIAN, GREG							
		FORD MOTOR CO PAR \$0.01	B	3,079.35	85.00	10.00	75.00	85.0	62.25
10/05/2017	QGW019234	RF LAFFERTY & CO							
		FORD MOTOR CO PAR \$0.01	B	4,934.40	143.00	10.00	133.00	85.0	111.55
10/25/2017	QGW019234	RF LAFFERTY & CO							
		AT&T INC	B	6,711.00	195.00	10.00	185.00	85.0	155.75
10/16/2017	QGW019457	HOLT, RUSSELL							
		LAS VEGAS SANDS CORP	S	-3,142.01	85.00	10.00	75.00	85.0	62.25
09/28/2017	QGW024747	WAYMEL, RAYMOND							
		ABBOTT LABORATORIES	S	-10,842.70	282.00	10.00	272.00	85.0	229.70
10/03/2017	QGW024747	WAYMEL, RAYMOND							
		AMGEN INC	S	-18,653.40	486.00	10.00	476.00	85.0	403.10
10/18/2017	QGW024747	WAYMEL, RAYMOND							
		APOLLO COMMERCIAL REAL ESTATE FINAN B		18,399.90	493.00	10.00	483.00	85.0	409.05
10/18/2017	QGW024747	WAYMEL, RAYMOND							
		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		10,223.96	296.00	10.00	286.00	85.0	241.60
10/24/2017	QGW024754	KNUDSON, SUSAN							
		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		7,656.00	222.00	10.00	212.00	85.0	178.70
10/04/2017	QGW024796	LUNCEFORD, WENDELL							
		CENTURYTEL INC	S	-8,094.00	208.00	10.00	198.00	85.0	166.80
10/16/2017	QGW024820	GRAMPP, RAYMOND							
		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		5,113.60	148.00	10.00	138.00	85.0	115.80
10/11/2017	QGW024838	STEPANEK, DENNIS							
		FORD MOTOR CO PAR \$0.01	B	4,950.00	124.00	10.00	114.00	85.0	95.40
10/16/2017	QGW024853	LEO P LEBEL, SEP							
		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		5,125.92	148.00	10.00	138.00	85.0	115.80
10/25/2017	QGW024895	ROHDE, JEFFREY							
		AT&T INC	B	6,701.00	195.00	10.00	185.00	85.0	155.75
10/03/2017	QGW024960	ABLES, BRIAN							
		AMGEN INC	S	-3,740.09	97.00	10.00	87.00	85.0	72.45
10/19/2017	QGW024986	PENNINGTON, CHARLES							
		HERON THERAPEUTICS INC COM	B	3,040.00	75.00	10.00	65.00	85.0	53.75
10/27/2017	QGW024986	PENNINGTON, CHARLES							
		HERON THERAPEUTICS INC COM	B	1,477.50	60.00	10.00	50.00	85.0	41.00
10/03/2017	QGW025132	ABLES, LYNN							
		AMGEN INC	S	-2,808.11	75.00	10.00	65.00	85.0	53.75
10/10/2017	QGW025454	HOFFMAN, PATRICIA							
		FORD MOTOR CO PAR \$0.01	B	4,914.60	143.00	10.00	133.00	85.0	111.55
10/16/2017	QGW025942	MCEVOY JT TEN,							
		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		5,118.60	148.00	10.00	138.00	85.0	115.80
10/04/2017	QGW028367	CONARD, JULIE							
		FORD MOTOR CO PAR \$0.01	B	4,322.26	125.00	10.00	115.00	85.0	96.25
10/04/2017	QGW028367	CONARD, JULIE							
		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		5,100.42	148.00	10.00	138.00	85.0	115.80
10/04/2017	QGW028383	DUDLEY, JUSTIN							
		FORD MOTOR CO PAR \$0.01	B	4,316.83	125.00	10.00	115.00	85.0	96.25
09/28/2017	QGW029597	M. PESSETTI JT TEN,							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B		2,438.31	75.00	10.00	65.00	85.0	53.75
10/02/2017	QGW029886	KONKEN, LUCY							
		AMGEN INC	S	-4,648.50	120.00	10.00	110.00	85.0	92.00
10/04/2017	QGW030686	LUNCEFORD, JOHN							
		FORD MOTOR CO PAR \$0.01	B	4,926.00	143.00	10.00	133.00	85.0	111.55
09/28/2017	QGW031189	JOHNSON, KENNETH							
		ABBOTT LABORATORIES	S	-10,757.00	279.00	10.00	269.00	85.0	227.15
09/28/2017	QGW031189	JOHNSON, KENNETH							
		NEW YORK COMMUNITY BANCORP INC	B	6,424.95	186.00	10.00	176.00	85.0	148.10
10/23/2017	QGW031692	BARFIELD, BARBARA							
		TUTOR PERINI CORPORATION	B	5,589.98	162.00	10.00	152.00	85.0	127.70
10/23/2017	QGW031692	BARFIELD, BARBARA							
		YRC WORLDWIDE INC	B	5,252.40	152.00	10.00	142.00	85.0	119.20
10/02/2017	QGW031700	BARFIELD, BARBARA							
		AMGEN INC	S	-4,648.50	120.00	10.00	110.00	85.0	92.00
10/24/2017	QGW038606	STEGEER, ASHLEY							
		APOLLO COMMERCIAL REAL ESTATE FINAN B		1,466.40	60.00	10.00	50.00	85.0	41.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$130,662.64</b>	<b>\$10,075.00</b>	<b>\$640.00</b>	<b>\$9,435.00</b>		<b>\$7,923.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
10/13/2017	QGW018491	HOBGOOD, ROBIN							
		BOND A	B	0.00	3.00	0.00	3.00	85.0	2.55
10/13/2017	QGW018574	STEPANEK, CATHERINE							
		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	5.64	0.00	5.64	85.0	4.79
10/13/2017	QGW018798	SENTZ, ROBERT							
		LARGE CAP EQUITY A	B	0.00	0.13	0.00	0.13	85.0	0.11
10/13/2017	QGW019150	RICHARDSON, MARY							
		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	0.08	0.00	0.08	85.0	0.07

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/26/2017	QGW024838	STEPANEK, DENNIS	JP MORGAN LIMITED DURATION BOND FUN B	0.00	19.30	0.00	19.30	85.0	16.41
10/13/2017	QGW024879	DERTOUZOS, JAMES	JOHN HANCOCK FDS II NEW OPPORTUNITI B	0.00	2.56	0.00	2.56	85.0	2.18
10/13/2017	QGW024879	DERTOUZOS, JAMES	REGIONAL BANK A B	0.00	2.12	0.00	2.12	85.0	1.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$32.83	\$0.00	\$32.83		\$27.91
*** BROKER TRANSACTIONS TOTAL ***				\$130,662.64	\$10,107.83	\$640.00	\$9,467.83		\$7,951.66

**ADJUSTMENTS**

10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
10/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
10/31/2017		OTHER: OTHER-QGN		0.00	0.00	0.00	0.00		-7.14
10/31/2017		OTHER: OTHER-.005 * 8246 SHARES		0.00	0.00	0.00	0.00		-41.23
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-83.37</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,951.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-83.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.63
SIPC Assessment	\$-25.27

<b>Check Amount</b>	<b>\$7,830.39</b>
<b>Year-to-date Earnings</b>	<b>\$30,417.66</b>