All Companies

LIBERTY PARTNERS

STATEMENT January 20, 2018

JOHN DAVENPORT

800 Connecticut Ave Suite E401 Norwalk, CT 06854 BROKER #: 030

BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
	BROKER 1	TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
12/26/2017 # ZIMMERMAN, ALICE	**NUVEEN INVT TR V	S	69,893.46	0.00	20.00	0.00	-20.00 85.2	-17.04
	* MUTUAL FUNDS SUBTOTA	AL *	\$69,893.46	\$0.00	\$20.00	\$0.00	\$-20.00	\$-17.04
PRODUCT CATEGORY: STOCKS								
12/11/2017 # CAROLE R ARTMAN	SPDR INDEX SHARES FUNDS SPDR S&P	IN S	4,502.41	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	CLAYMORE EXCHANGE TRADED FUND	S	163,197.47	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	CLAYMORE EXCHANGE TRADED FUND	S	163,631.97	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	CLAYMORE EXCHANGE-TRADED FD TR	S	164,273.15	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	EGA EMERGING GLOBAL SHS TR EGSHAI	RES S	93,563.37	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	GUGGENHEIM BULLETSHARES 2018	S	164,365.96	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	OPPENHEIMER REV WEIGHTED ETF	S	369,985.77	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	OPPENHEIMER REVENUE WEIGHTED	S	142,648.36	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	OPPENHEIMER REVENUE WEIGHTED	S	142,727.92	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	POWERSHARES	S	122,590.88	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	POWERSHARES QQQ TRUST	S	179,773.06	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD INDEX FUNDS	S	120,277.50	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD INTERNATIONAL EQUITY IN	DEX S	125,828.65	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD INTL EQUITY INDEX	S	163,586.88	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD S&P 500 ETF	S	539,168.40	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD TAX-MANAGED FD MSCI EAF	EES	373,393.94	0.00	19.95	0.00	-19.95 85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	WISDOMTREE TRUST EMERGING MARKETS	SHS	57,016.32	0.00	19.95	0.00	-19.95 85.2	-17.00
	* STOCKS SUBTOTA	\L *	\$3,090,532.01	\$0.00	\$339.15	\$0.00	\$-339.15	\$-289.00
PRODUCT CATEGORY: VARIABLE ANNUITIE	s							
11/28/2017 #317092076 SEDLAK, GERALD	AXA	В	0.00	1,818.14	0.00	0.00	1,818.14 85.2	1,549.06
11/28/2017 #317092076 SEDLAK, GERALD	AXA	В	0.00	1,450.00	0.00	0.00	1,450.00 85.2	
11/29/2017 #317092076 SEDLAK, GERALD	AXA	В	0.00	2,496.55	0.00	0.00	2,496.55 85.2	
11/30/2017 #1200275344 CASSELS,	PERSP REWARDS	В	548,261.14	114.22	0.00	0.00	114.22 85.2	
11/30/2017 #1200434590 GOLDSMITH, PETER	PERSP REWARDS	В	231,091.02	48.14	0.00	0.00	48.14 85.2	
11/30/2017 #1200286079 SIEGEL, NURIT	PERSP REWARDS	В	313,182.56	65.25	0.00	0.00	65.25 85.2	
11/30/2017 #029017881A FRAIR, JULE	PRPS II (0505)	В	136,051.33	56.69	0.00	0.00	56.69 85.2	
11/30/2017 #029017936A FRAIR, JULE	PRPS II 0505 RP	В	18,109.23	7.55	0.00	0.00	7.55 85.2	

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS						
PRODUCT CAT	regory: Variable annuitie	<u>s</u>								
12/04/2017 #1	1020636811 SEDLAK, MARIA	PERSP II 01/16	В	4,168.96	291.83	0.00	0.00	291.83	85.2	248.64
12/04/2017 #1	1020636811 SEDLAK, MARIA	PERSP II 01/16	В	23,263.99	1,628.48	0.00	0.00	1,628.48	85.2	1,387.46
12/14/2017 #9	922347191 GROH-SMITH, CAROL	LINCOLN	В	0.00	7,000.00	0.00	0.00	7,000.00	85.2	5,964.00
12/22/2017 #9	922347191 GROH-SMITH, CAROL	LINCOLN	В	0.00	7,000.00	0.00	0.00	7,000.00	85.2	5,964.00
		* VARIABLE ANNU	TIES SUBTOTAL *	\$1,274,128.23	\$21,976.85	\$0.00	\$0.00	\$21,976.85		\$18,724.28
PRODUCT CAT	regory: MUTUAL FUND 12B1	TRAILS								
12/11/2017 #	DAVENPORT, ANDEW	DAIN TRAILER	В	0.00	2.48	0.00	0.00	2.48	85.2	2.11
12/11/2017 #	ANTON, KLEANTHES	DAIN TRAILER	В	0.00	0.63	0.00	0.00	0.63	85.2	0.54
12/18/2017 #	CAROLE R ARTMAN	DAIN TRAILER	В	0.00	6.71	0.00	0.00	6.71	85.2	5.72
12/26/2017 #	ZIMMERMAN, ALICE	DAIN TRAILER	S	107,366.00	0.00	0.00	0.00	0.00	85.2	0.00
12/26/2017 #	ZIMMERMAN, ALICE	DAIN TRAILER	S	252,948.82	0.00	0.00	0.00	0.00	85.2	0.00
12/26/2017 #	ZIMMERMAN, ALICE	DAIN TRAILER	S	108,712.19	0.00	0.00	0.00	0.00	85.2	0.00
		* MUTUAL FUND 12B1 TF	RAILS SUBTOTAL *	\$469,027.01	\$9.82	\$0.00	\$0.00	\$9.82		\$8.37
		*** BROKER TRANSAC	CTIONS TOTAL ***	\$4,903,580.71	\$21,986.67	\$359.15	\$0.00	\$21,627.52	•	\$18,426.61
			ADJUSTME	NTS						
			1120 02 1112	1115						
01/20/2018		ADMIN FEE: ADMIN FEE								-180.00
01/20/2018		E & O INSURANCE: E &								-89.50
01/20/2018		CIRCLEBLACK: CIRCLEB								-175.00
01/20/2018		ADVANCE: ADVANCE LING								-5,971.00
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS	FEE (LPFS):							-10.00
		MANUAL PROCESS FEE								

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			ADJUSTME	NTS						
01/20/2018		DEMNL:MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL: MANUAL PROCESS FEE (LI	PFS):							-10.00
		MANUAL PROCESS FEE								
01/20/2018		ADVANCE: ADVANCE LINCOLN								-5,971.00
		*** ADJUSTMENTS T	OTAL ***						1	\$-12,586.50

BROKER COMMISSIO	N TOTALS
Broker Transactions	\$18,426.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-12,586.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,305.57
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$3,534.54