



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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RAYMOND C. ASHLEY
4911 Fall Creek Hwy

BROKER #: 8975
BRANCH: HOME OFFICE

Granbury, TX 76049

COMMISSION STATEMENT for RAYMOND C. ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	50.07	50.07	0.00	50.07	90.0	45.06
06/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	58.73	85.73	0.00	85.73	90.0	77.16
07/10/2018	ASHLEY, SUSAN	-JOHN HANCOCK FREEDOM 529	B	750.00	7.50	0.00	7.50	90.0	6.75
06/29/2018	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	212.24	212.24	0.00	212.24	90.0	191.02
07/16/2018	00088819064 BARNARD, NONA L.	AMERICAN FUNDS WA MUTUAL	INVEST B	250.00	9.38	0.00	9.38	90.0	8.44
07/09/2018	04000907578 MCKIE, TAMMY/TANNER	AMERICAN FUNDS WA MUTUAL	INVEST B	100.00	4.25	0.00	4.25	90.0	3.83

* MUTUAL FUNDS SUBTOTAL *	\$1,421.04	\$369.17	\$0.00	\$369.17		\$332.26
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PRODUCT CATEGORY: STOCKS

07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	43.16	43.16	0.00	43.16	90.0	38.84
* STOCKS SUBTOTAL *				\$43.16	\$43.16	\$0.00	\$43.16		\$38.84

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/20/2018	BEDFORD, DONNA A.	-HARTFORD LIFE	B	65.00	1.30	0.00	1.30	90.0	1.17
06/10/2018	MCCANN, SARAH	-HARTFORD LIFE	B	40.00	0.80	0.00	0.80	90.0	0.72
06/17/2018	BEDFORD, DONNA A.	-HARTFORD LIFE	B	65.00	1.30	0.00	1.30	90.0	1.17
07/08/2018	MCCANN, SARAH	-HARTFORD LIFE	B	40.00	0.80	0.00	0.80	90.0	0.72
07/20/2018	CORBET, JEFF L	-JOHN HANCOCK	B	300.00	18.00	0.00	18.00	90.0	16.20
07/06/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	49.66	49.66	0.00	49.66	90.0	44.69
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	4,446.25	4,446.25	0.00	4,446.25	90.0	4,001.63
07/06/2018	TRAILS	JOHN HANCOCK	B	107.23	107.23	0.00	107.23	90.0	96.51
* VARIABLE ANNUITIES SUBTOTAL *				\$5,113.14	\$4,625.34	\$0.00	\$4,625.34		\$4,162.81

PRODUCT CATEGORY: RIA

07/13/2018	17946488 MABRY, CHRISTOPHER D.	SIGNAL SECURITIES RIA	B	0.00	860.00	0.00	860.00	90.0	774.00
07/13/2018	30932836 BARNETT, RHANDY L	SIGNAL SECURITIES RIA	B	0.00	159.00	0.00	159.00	90.0	143.10
07/13/2018	77430114 WATSON, RICHARD S	SIGNAL SECURITIES RIA	B	0.00	85.67	0.00	85.67	90.0	77.10
07/13/2018	81766746 KELLY, JOHN	SIGNAL SECURITIES RIA	B	0.00	254.33	0.00	254.33	90.0	228.90
* RIA SUBTOTAL *				\$0.00	\$1,359.00	\$0.00	\$1,359.00		\$1,223.10

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	7.75	0.00	7.75	90.0	6.97
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$7.75</u>	<u>\$0.00</u>	<u>\$7.75</u>		<u>\$6.97</u>
	*** BROKER TRANSACTIONS TOTAL ***			<u>\$6,577.34</u>	<u>\$6,404.42</u>	<u>\$0.00</u>	<u>\$6,404.42</u>		<u>\$5,763.98</u>

SPLIT TRANSACTIONSBROKER: JOINT ASHLEY/ASHLEY #8030

07/15/2018 TRICO ELECTRI C, GBM MGMT GROUP	NATIONWIDE QUALIFIED PLAN	B		3,640.36	173.60	0.00	173.60	90.0	156.24
07/13/2018 30367258 BURLESON, JAMES/MARTHA	SIGNAL SECURITIES RIA	B		0.00	156.50	0.00	156.50	90.0	140.85
	* JOINT ASHLEY/ASHLEY SUBTOTAL *			<u>\$3,640.36</u>	<u>\$330.10</u>	<u>\$0.00</u>	<u>\$330.10</u>		<u>\$297.09</u>
	*** SPLIT TRANSACTIONS TOTAL ***			<u>\$3,640.36</u>	<u>\$330.10</u>	<u>\$0.00</u>	<u>\$330.10</u>		<u>\$297.09</u>

ADJUSTMENTS

08/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
	*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,763.98
Split Transactions	\$297.09
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$6,033.57
Year-to-date Earnings	\$53,836.88