

Bill Payment Stub

Check Date:	2/23/2018
Check No.:	ACH
Check Amount:	2,738.21

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: DAN FENCL
422 MAIN STREET
CEDAR FALLS, IA 50613

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/15/2018	Bill	COMMISSIONS	2,738.21	2,738.21		2,738.21

COMMISSION STATEMENT

February 15, 2018

DAN FENCL-D022

BROKER #: D022

BRANCH: DMG

, TX

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/23/2018 (None)	MILLER	MF PRINCIPAL	B	0.00	1.19	0.00	1.19	85.0	1.01
01/29/2018 (None)	VARIOUS, FENCL	MF JOHN HANCOCK MUTUAL FUND	B	0.00	19.22	0.00	19.22	85.0	16.34
02/05/2018 00086441941	BAKER, GARY	MF FUNDAMENTAL INVESTORS-C	B	263.59	2.64	0.00	2.64	85.0	2.24
02/05/2018 00086441941	BAKER, GARY	MF FUNDAMENTAL INVESTORS-C	B	269.54	2.70	0.00	2.70	85.0	2.30
02/05/2018 00086441941	BAKER, GARY	MF INTERNATIONAL GROWTH AND	B	131.80	1.32	0.00	1.32	85.0	1.12
02/05/2018 00086441941	BAKER, GARY	MF INTERNATIONAL GROWTH AND	B	134.77	1.35	0.00	1.35	85.0	1.15
02/05/2018 00086441941	BAKER, GARY	MF THE GROWTH FUND OF AMERICA-C	B	263.59	2.64	0.00	2.64	85.0	2.24
02/05/2018 00086441941	BAKER, GARY	MF THE GROWTH FUND OF AMERICA-C	B	269.53	2.70	0.00	2.70	85.0	2.30
* MUTUAL FUNDS SUBTOTAL *				\$1,332.82	\$33.76	\$0.00	\$33.76		\$28.70

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/31/2018 009044607A	BURMAN, HOWARD	VA PERSPECTIVE	B	11,175.74	9.31	0.00	9.31	85.0	7.91
01/31/2018 1009150001	LAMPE, KAREN	VA PERSPECTIVE L	B	133,779.64	111.48	0.00	111.48	85.0	94.76
01/31/2018 1009405336	HEIRES, ALAN	VA PERSPECTIVE L	B	14,133.48	11.78	0.00	11.78	85.0	10.01
01/31/2018 1009412669	STEPHENS, CHERYL	VA PERSPECTIVE L	B	36,594.59	30.50	0.00	30.50	85.0	25.93
01/31/2018 1009442347	NAPIER, JOHN	VA PERSPECTIVE L	B	15,016.59	12.51	0.00	12.51	85.0	10.63
01/31/2018 1009561753	DES JARLAIS, TERRY	VA PERSPECTIVE L	B	199,923.18	166.60	0.00	166.60	85.0	141.61
01/31/2018 1009604118	DUNHAM, ARTHUR	VA PERSPECTIVE L	B	98,619.99	82.18	0.00	82.18	85.0	69.85
01/31/2018 1009660573	STORJOHANN, HAROLD	VA PERSPECTIVE L	B	17,242.72	14.37	0.00	14.37	85.0	12.21
01/31/2018 1009698791	LAUVER, KEVIN	VA PERSPECTIVE L	B	37,941.91	31.62	0.00	31.62	85.0	26.88
01/31/2018 1010047648	GRIMM, GREIG	VA PERSP L 08/11	B	40,216.06	33.51	0.00	33.51	85.0	28.48
01/31/2018 1010047737	GRIMM, GREIG	VA PERSP L 08/11	B	121,508.53	101.26	0.00	101.26	85.0	86.07
01/31/2018 1010072391	FENCL, DAVID	VA PERSP L 08/11	B	237,410.09	197.84	0.00	197.84	85.0	168.16
01/31/2018 1010085205	PAISLEY, RUTH	VA PERSP L 08/11	B	105,068.75	87.56	0.00	87.56	85.0	74.43
01/31/2018 1010151046	STORJOHANN, HAROLD	VA PERSP L 08/11	B	29,009.21	24.17	0.00	24.17	85.0	20.54
01/31/2018 1010172710	DRENNER, MARTHA	VA PERSP L 08/11	B	149,711.19	124.76	0.00	124.76	85.0	106.05
01/31/2018 1010832922	FENCL, ROBERT	VA PERSP L 08/11	B	91,159.00	75.97	0.00	75.97	85.0	64.57
01/31/2018 1010954688	BAILEY, JAMES	VA PERSP L 08/11	B	114,218.96	133.26	0.00	133.26	85.0	113.27
01/31/2018 1011040396	DRENNER, WILLIAM	VA PERSP L 08/11	B	125,779.13	104.82	0.00	104.82	85.0	89.10
01/31/2018 1011040434	DRENNER, MARTHA	VA PERSP L 08/11	B	73,089.58	60.91	0.00	60.91	85.0	51.77
01/31/2018 1011339294	DES JARLAIS, MARY	VA PERSP L 08/11	B	187,125.84	155.94	0.00	155.94	85.0	132.55

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/31/2018	1011499550 JONES, CARLA	VA PERSP L 08/11	B	155,516.84	129.60	0.00	129.60	85.0	110.16
01/31/2018	1011726468 ADAMS, DONALD	VA PERSP L 08/11	B	71,762.96	59.80	0.00	59.80	85.0	50.83
01/31/2018	1012601693 STEFFEN, ALICE	VA ELITE ACCESS	B	32,335.27	26.95	0.00	26.95	85.0	22.91
01/31/2018	1012601723 FENCL, RANDALL	VA ELITE ACCESS	B	42,179.59	35.15	0.00	35.15	85.0	29.88
01/31/2018	1015625623 FENCL, RONALD	VA PERSP L 08/11	B	180,253.19	210.30	0.00	210.30	85.0	178.76
01/31/2018	1016522321 ANDERSON, GREGORY	VA PERSP L 08/11	B	122,891.24	102.41	0.00	102.41	85.0	87.05
* VARIABLE ANNUITIES SUBTOTAL *				\$2,443,663.27	\$2,134.56	\$0.00	\$2,134.56		\$1,814.37

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018	(None) VARIOUS, FENCL	MFT PRINCIPAL	B	0.00	65.81	0.00	65.81	85.0	55.94
02/07/2018	(None) VARIOUS, FENCL	MFT FIDELITY ADVISOR FDS	B	0.00	0.82	0.00	0.82	85.0	0.70
01/31/2018	000008101127909 KALDENBERG,	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	0.31	0.00	0.31	85.0	0.26
01/31/2018	000010165952263 SUPPUS,	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	2.71	0.00	2.71	85.0	2.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$69.65	\$0.00	\$69.65		\$59.20

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

02/02/2018	(None) VENEM, ROBERT	VAT JOHN HANCOCK LIFE	B	0.00	57.76	0.00	57.76	85.0	49.10
01/29/2018	VA99142110 KEPHART	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	25.60	0.00	25.60	85.0	21.76
02/01/2018	VR00019657 DEKEYREL	VAT PACIFIC LIFE VA 694474883	B	0.00	172.00	0.00	172.00	85.0	146.20
01/29/2018	VR00029618 SEE	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	43.15	0.00	43.15	85.0	36.68
02/07/2018	VR02051771 OBERHEU	VAT PACIFIC LIFE VA 694474586	B	0.00	181.77	0.00	181.77	85.0	154.50
01/30/2018	VR03003972 HARN, MARGARET	VAT PACIFIC LIFE VA 694474586	B	0.00	34.21	0.00	34.21	85.0	29.08
02/07/2018	VR04027568 DEKEYREL	VAT PACIFIC LIFE VA 694474594	B	0.00	104.92	0.00	104.92	85.0	89.18
02/01/2018	VR05016637 KREMPGES	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	4.40	0.00	4.40	85.0	3.74
02/02/2018	VR05038106 DAVIS	VAT CUSIP 694474628	B	0.00	32.41	0.00	32.41	85.0	27.55
01/30/2018	VR06093721 CAMPBELL	VAT PACIFIC LIFE VA 694474610	B	0.00	84.42	0.00	84.42	85.0	71.76
02/05/2018	VR06094526 CAMPBELL	VAT PACIFIC LIFE VA 694474610	B	0.00	48.96	0.00	48.96	85.0	41.62
01/29/2018	VR11013990 TEDORE	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	193.85	0.00	193.85	85.0	164.77
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$983.45	\$0.00	\$983.45		\$835.94
*** DIRECT TRANSACTIONS TOTAL ***				\$2,444,996.09	\$3,221.42	\$0.00	\$3,221.42		\$2,738.21

BROKER COMMISSION TOTALS

Broker Transactions	\$2,738.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,738.21
Year-to-date Commissions	\$13,680.08