

All Companies
STATEMENT
June 20, 2018

STEPHEN EPPICH - LPCM
3900 Arbor Court

BROKER #: 3180
BRANCH: LIBERTY PARTNERS CAP

Grafton, OH 44044-

COMMISSION STATEMENT for STEPHEN EPPICH - LPCM

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|-----------------------------------|----------|-------------------|----------------------|---------------------|----------|---------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | | |
| 05/01/0018 | #4613345 | BABULA, JEANNETTE | PROFUNDS | 89,509.53 | 149.18 | 90.0 | 0.00 | 134.26 | 134.26 |
| 05/01/0018 | #4719504 | BARON, GEORGE | PROFUNDS | 64,588.18 | 107.65 | 90.0 | 0.00 | 96.89 | 96.89 |
| 05/01/0018 | #4732917 | BASZAK, ANDREW | PROFUNDS | 9,728.35 | 16.21 | 90.0 | 0.00 | 14.59 | 14.59 |
| 05/01/0018 | #4733135 | BASZAK, LINDA | PROFUNDS | 47,539.91 | 79.23 | 90.0 | 0.00 | 71.31 | 71.31 |
| 05/01/0018 | #4732842 | BASZAK, LINDA | PROFUNDS | 45,647.65 | 76.08 | 90.0 | 0.00 | 68.47 | 68.47 |
| 05/01/0018 | #4774802 | BAYLESS, GLENN | PROFUNDS | 4,398.20 | 7.33 | 90.0 | 0.00 | 6.60 | 6.60 |
| 05/01/0018 | #4772644 | BAYLESS, GLENN | PROFUNDS | 57,789.77 | 96.32 | 90.0 | 0.00 | 86.69 | 86.69 |
| 05/01/0018 | #4773178 | BAYLESS, MAUREEN | PROFUNDS | 623,044.49 | 1,038.41 | 90.0 | 0.00 | 934.57 | 934.57 |
| 05/01/0018 | #4772618 | BAYLESS, MAUREEN | PROFUNDS | 21,793.01 | 36.32 | 90.0 | 0.00 | 32.69 | 32.69 |
| 05/01/0018 | #4774790 | BAYLESS, SCOTT | PROFUNDS | 4,534.51 | 7.56 | 90.0 | 0.00 | 6.80 | 6.80 |
| 05/01/0018 | #4805242 | | PROFUNDS | 24,697.31 | 41.16 | 90.0 | 0.00 | 37.04 | 37.04 |
| 05/01/0018 | #4192643 | BORGIONE, GEORGE | PROFUNDS | 16,683.66 | 27.81 | 90.0 | 0.00 | 25.03 | 25.03 |
| 05/01/0018 | #4775312 | BRONSON, MARILYNN | PROFUNDS | 54,551.01 | 90.92 | 90.0 | 0.00 | 81.83 | 81.83 |
| 05/01/0018 | #4775324 | TOD | PROFUNDS | 49,001.32 | 81.67 | 90.0 | 0.00 | 73.50 | 73.50 |
| 05/01/0018 | #4388296 | CAINE, MARY | PROFUNDS | 435,149.69 | 725.25 | 90.0 | 0.00 | 652.73 | 652.73 |
| 05/01/0018 | #4768483 | EPPICH, BERT | PROFUNDS | 86,178.41 | 143.63 | 90.0 | 0.00 | 129.27 | 129.27 |
| 05/01/0018 | #4761957 | EPPICH, MITCHELL | PROFUNDS | 9,998.94 | 16.66 | 90.0 | 0.00 | 14.99 | 14.99 |
| 05/01/0018 | #4761969 | EPPICH, MITCHELL | PROFUNDS | 8,833.71 | 14.72 | 90.0 | 0.00 | 13.25 | 13.25 |
| 05/01/0018 | #4636320 | FERRY, DEANNA | PROFUNDS | 16,734.48 | 27.89 | 90.0 | 0.00 | 25.10 | 25.10 |
| 05/01/0018 | #4655357 | FERRY, STEPHEN | PROFUNDS | 82,957.29 | 138.26 | 90.0 | 0.00 | 124.43 | 124.43 |
| 05/01/0018 | #4787723 | FOWKES, DEANA | PROFUNDS | 6,527.71 | 10.88 | 90.0 | 0.00 | 9.79 | 9.79 |
| 05/01/0018 | #4173833 | FRIES, ROBERT | PROFUNDS | 160,139.82 | 266.90 | 90.0 | 0.00 | 240.21 | 240.21 |
| 05/01/0018 | #4104939 | FRIES, SANDRA | PROFUNDS | 384,125.04 | 640.21 | 90.0 | 0.00 | 576.19 | 576.19 |
| 05/01/0018 | #4768091 | GEIS, TIMOTHY | PROFUNDS | 20,185.93 | 33.64 | 90.0 | 0.00 | 30.28 | 30.28 |
| 05/01/0018 | #4766491 | GELENIUS, JOHN | PROFUNDS | 71,972.82 | 119.95 | 90.0 | 0.00 | 107.96 | 107.96 |
| 05/01/0018 | #4766541 | GELENIUS, JOHN | PROFUNDS | 67,365.38 | 112.28 | 90.0 | 0.00 | 101.05 | 101.05 |
| 05/01/0018 | #3262526 | GIAR, MICHAEL | PROFUNDS | 169,467.17 | 282.45 | 90.0 | 0.00 | 254.21 | 254.21 |
| 05/01/0018 | #4700555 | GIAR, MICHAEL | PROFUNDS | 132,741.88 | 221.24 | 90.0 | 0.00 | 199.12 | 199.12 |

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|-------------------------------------|-----------------------------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | |
| 05/01/0018 | #4775793 GUZI, EDWARD | PROFUNDS | 91,945.10 | 153.24 | 90.0 | 0.00 | 137.92 | 0.00 | 137.92 |
| 05/01/0018 | #4755144 HARRISON, BRENDA | PROFUNDS | 26,607.69 | 44.35 | 90.0 | 0.00 | 39.92 | 0.00 | 39.92 |
| 05/01/0018 | #4659696 HAYES, ROBERT | PROFUNDS | 252.54 | 0.42 | 90.0 | 0.00 | 0.38 | 0.00 | 0.38 |
| 05/01/0018 | #4588695 HENKLE, KARE | PROFUNDS | 117,723.52 | 196.21 | 90.0 | 0.00 | 176.59 | 0.00 | 176.59 |
| 05/01/0018 | #4801282 HORN, LIND | PROFUNDS | 5,978.17 | 9.96 | 90.0 | 0.00 | 8.96 | 0.00 | 8.96 |
| 05/01/0018 | #4790162 | PROFUNDS | 91,937.85 | 153.23 | 90.0 | 0.00 | 137.91 | 0.00 | 137.91 |
| 05/01/0018 | #4702458 JAKUBOWSKI, ROBERT | PROFUNDS | 134,395.47 | 223.99 | 90.0 | 0.00 | 201.59 | 0.00 | 201.59 |
| 05/01/0018 | #4763532 JARRETT, JOHN | PROFUNDS | 87,630.13 | 146.05 | 90.0 | 0.00 | 131.45 | 0.00 | 131.45 |
| 05/01/0018 | #4795884 | PROFUNDS | 112,505.30 | 187.51 | 90.0 | 0.00 | 168.76 | 0.00 | 168.76 |
| 05/01/0018 | #3518949 KHOSLA, CHANDA | PROFUNDS | 321,904.07 | 536.51 | 90.0 | 0.00 | 482.86 | 0.00 | 482.86 |
| 05/01/0018 | #4803565 DURDAK - TRUSTEE, | PROFUNDS | 30,481.58 | 50.80 | 90.0 | 0.00 | 45.72 | 0.00 | 45.72 |
| 05/01/0018 | #4804187 LOPATKOVICH, CARL | PROFUNDS | 103,119.88 | 171.87 | 90.0 | 0.00 | 154.68 | 0.00 | 154.68 |
| 05/01/0018 | #4719516 LUNGO, MICHELE | PROFUNDS | 14,770.38 | 24.62 | 90.0 | 0.00 | 22.16 | 0.00 | 22.16 |
| 05/01/0018 | #4702421 LUNGO, MICHAEL | PROFUNDS | 99,070.53 | 165.12 | 90.0 | 0.00 | 148.61 | 0.00 | 148.61 |
| 05/01/0018 | #4702433 LUNGO, MICHAEL | PROFUNDS | 48,167.60 | 80.28 | 90.0 | 0.00 | 72.25 | 0.00 | 72.25 |
| 05/01/0018 | #4717221 MAREK, NORMAN | PROFUNDS | 38,795.62 | 64.66 | 90.0 | 0.00 | 58.19 | 0.00 | 58.19 |
| 05/01/0018 | #4768115 MCCREA, PATRIC | PROFUNDS | 13,163.93 | 21.94 | 90.0 | 0.00 | 19.75 | 0.00 | 19.75 |
| 05/01/0018 | #4787711 NARDI, SCOTT | PROFUNDS | 7,815.92 | 13.03 | 90.0 | 0.00 | 11.73 | 0.00 | 11.73 |
| 05/01/0018 | #4770398 NASH, GERALDINE | PROFUNDS | 25,553.43 | 42.59 | 90.0 | 0.00 | 38.33 | 0.00 | 38.33 |
| 05/01/0018 | #4009864 NOVAK, KATHLEEN | PROFUNDS | 20,992.11 | 34.99 | 90.0 | 0.00 | 31.49 | 0.00 | 31.49 |
| 05/01/0018 | #4766325 PARKER, BETTY | PROFUNDS | 27,269.52 | 45.45 | 90.0 | 0.00 | 40.91 | 0.00 | 40.91 |
| 05/01/0018 | #4772505 PEREZ, DOROTHY | PROFUNDS | 139,342.81 | 232.24 | 90.0 | 0.00 | 209.02 | 0.00 | 209.02 |
| 05/01/0018 | #4707598 PERKINS, MICHAEL | PROFUNDS | 343,541.51 | 572.57 | 90.0 | 0.00 | 515.31 | 0.00 | 515.31 |
| 05/01/0018 | #4651966 PETRUS, JOHN | PROFUNDS | 88,098.17 | 146.83 | 90.0 | 0.00 | 132.15 | 0.00 | 132.15 |
| 05/01/0018 | #4651980 PETRUS, JOHN | PROFUNDS | 13,494.92 | 22.49 | 90.0 | 0.00 | 20.24 | 0.00 | 20.24 |
| 05/01/0018 | #4651562 PETRUS, KELLY | PROFUNDS | 9,998.94 | 16.66 | 90.0 | 0.00 | 14.99 | 0.00 | 14.99 |
| 05/01/0018 | #3224514 PICKER, JOHN | PROFUNDS | 4,736.26 | 7.89 | 90.0 | 0.00 | 7.10 | 0.00 | 7.10 |
| 05/01/0018 | #4784702 PICONE, ANNE | PROFUNDS | 262,737.34 | 437.90 | 90.0 | 0.00 | 394.11 | 0.00 | 394.11 |
| 05/01/0018 | #4784979 PICONE, NATALE | PROFUNDS | 61,103.88 | 101.84 | 90.0 | 0.00 | 91.66 | 0.00 | 91.66 |
| 05/01/0018 | #4797546 POMPE, MATTHEW | PROFUNDS | 29,331.96 | 48.89 | 90.0 | 0.00 | 44.00 | 0.00 | 44.00 |
| 05/01/0018 | #4732448 REYNARD, JOAN | PROFUNDS | 27,636.72 | 46.06 | 90.0 | 0.00 | 41.45 | 0.00 | 41.45 |
| 05/01/0018 | #4732436 REYNARD, JOAN | PROFUNDS | 6,114.22 | 10.19 | 90.0 | 0.00 | 9.17 | 0.00 | 9.17 |
| 05/01/0018 | #4763633 SCHMIT, MICH | PROFUNDS | 133,398.08 | 222.33 | 90.0 | 0.00 | 200.10 | 0.00 | 200.10 |
| 05/01/0018 | #4778700 SENAL, CARL | PROFUNDS | 40,579.52 | 67.63 | 90.0 | 0.00 | 60.87 | 0.00 | 60.87 |
| 05/01/0018 | #4778787 SENAL, SHARON | PROFUNDS | 31,746.47 | 52.91 | 90.0 | 0.00 | 47.62 | 0.00 | 47.62 |
| 05/01/0018 | #4778991 SENAL, SHARON | PROFUNDS | 3,529.46 | 5.88 | 90.0 | 0.00 | 5.29 | 0.00 | 5.29 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | |
| 05/01/0018 | #4605162 | SHEPHERD, LARRY | PROFUNDS | 39,597.30 | 66.00 | 90.0 | 0.00 | 59.40 | 59.40 |
| 05/01/0018 | #4707612 | SHEPHERD, LARRY | PROFUNDS | 40,290.44 | 67.15 | 90.0 | 0.00 | 60.44 | 60.44 |
| 05/01/0018 | #4707939 | SHEPHERD, LARRY | PROFUNDS | 251,282.73 | 418.80 | 90.0 | 0.00 | 376.92 | 376.92 |
| 05/01/0018 | #4707586 | SHEPHERD, ROBERTA | PROFUNDS | 10,579.34 | 17.63 | 90.0 | 0.00 | 15.87 | 15.87 |
| 05/01/0018 | #4707600 | SHEPHERD, ROBERTA | PROFUNDS | 116,073.83 | 193.46 | 90.0 | 0.00 | 174.11 | 174.11 |
| 05/01/0018 | #4801294 | STEWAR, MARI | PROFUNDS | 103,311.28 | 172.19 | 90.0 | 0.00 | 154.97 | 154.97 |
| 05/01/0018 | #4644961 | STRAUB, JASON | PROFUNDS | 17,574.69 | 29.29 | 90.0 | 0.00 | 26.36 | 26.36 |
| 05/01/0018 | #4805899 | STRAUB, MICHELLE | PROFUNDS | 251,402.46 | 419.00 | 90.0 | 0.00 | 377.10 | 377.10 |
| 05/01/0018 | #4773192 | SWANSON, LISA | PROFUNDS | 16,721.30 | 27.87 | 90.0 | 0.00 | 25.08 | 25.08 |
| 05/01/0018 | #4768089 | SWANSON, LISA | PROFUNDS | 15,774.95 | 26.29 | 90.0 | 0.00 | 23.66 | 23.66 |
| 05/01/0018 | #4734505 | TESTEN, AND | PROFUNDS | 73,643.54 | 122.74 | 90.0 | 0.00 | 110.47 | 110.47 |
| 05/01/0018 | #4787370 | TWEEDLE, REBECCA | PROFUNDS | 8,901.22 | 14.84 | 90.0 | 0.00 | 13.36 | 13.36 |
| 05/01/0018 | #3907781 | TWEEDLE, THOMAS | PROFUNDS | 86,221.68 | 143.70 | 90.0 | 0.00 | 129.33 | 129.33 |
| 05/01/0018 | #4731687 | TWEEDLE, THOMAS | PROFUNDS | 11,872.52 | 19.79 | 90.0 | 0.00 | 17.81 | 17.81 |
| 05/01/0018 | #4410698 | DALE, VALL | PROFUNDS | 48,697.95 | 81.16 | 90.0 | 0.00 | 73.04 | 73.04 |
| 05/01/0018 | #4103281 | WEAVER, AMY | PROFUNDS | 36,753.06 | 61.26 | 90.0 | 0.00 | 55.13 | 55.13 |
| 05/01/0018 | #3878675 | WEAVER, ANN | PROFUNDS | 363,339.18 | 605.57 | 90.0 | 0.00 | 545.01 | 545.01 |
| 05/01/0018 | #4103230 | WEAVER, LAURA | PROFUNDS | 27,987.08 | 46.65 | 90.0 | 0.00 | 41.99 | 41.99 |
| 05/01/0018 | #3974627 | WESLEY, FRANCES | PROFUNDS | 124,633.94 | 207.72 | 90.0 | 0.00 | 186.95 | 186.95 |
| 05/01/0018 | #4560001 | WILLIAMS, WALTER | PROFUNDS | 93,560.13 | 155.93 | 90.0 | 0.00 | 140.34 | 140.34 |
| 05/01/0018 | #4750030 | WING, THOMAS | PROFUNDS | 78,241.14 | 130.40 | 90.0 | 0.00 | 117.36 | 117.36 |
| 05/01/0018 | #4766515 | ZIEGLER, BEVERLY | PROFUNDS | 16,097.36 | 26.83 | 90.0 | 0.00 | 24.15 | 24.15 |
| 05/01/0018 | #4766503 | ZIEGLER, GLENN | PROFUNDS | 60,817.60 | 101.36 | 90.0 | 0.00 | 91.22 | 91.22 |
| 05/01/0018 | #4802462 | ZIEGLER, GLENN | PROFUNDS | 73,553.30 | 122.59 | 90.0 | 0.00 | 110.33 | 110.33 |
| 06/01/2018 | #29088 | BALDWIN, BERTHA | PRUDENTIAL FEES | 15,014.09 | 25.02 | 90.0 | 0.00 | 22.52 | 22.52 |
| 06/01/2018 | #680603 | BALDWIN, RONALD | PRUDENTIAL FEES | 56,613.84 | 94.36 | 90.0 | 0.00 | 84.92 | 84.92 |
| 06/01/2018 | #E0565712 | BARON, GEORGE | PRUDENTIAL FEES | 13,237.44 | 22.06 | 90.0 | 0.00 | 19.85 | 19.85 |
| 06/01/2018 | #E0377602 | BUCKOSH, CYNTHIA | PRUDENTIAL FEES | 37,691.32 | 62.82 | 90.0 | 0.00 | 56.54 | 56.54 |
| 06/01/2018 | #E0447507 | BUCKOSH, CYNTHIA | PRUDENTIAL FEES | 107,309.78 | 178.85 | 90.0 | 0.00 | 160.97 | 160.97 |
| 06/01/2018 | #E0365353 | CASEBOLT TRUST, | PRUDENTIAL FEES | 163,786.02 | 272.98 | 90.0 | 0.00 | 245.68 | 245.68 |
| 06/01/2018 | #E0405742 | CHARLES, VIRGINIA | PRUDENTIAL FEES | 71,632.09 | 119.39 | 90.0 | 0.00 | 107.45 | 107.45 |
| 06/01/2018 | #E0463035 | CHASE, STUART | PRUDENTIAL FEES | 121,352.80 | 202.25 | 90.0 | 0.00 | 182.03 | 182.03 |
| 06/01/2018 | #E0463390 | CHASE, STUART | PRUDENTIAL FEES | 731,915.33 | 1,219.86 | 90.0 | 0.00 | 1,097.87 | 1,097.87 |
| 06/01/2018 | #E0620305 | EPPICH, GERALD | PRUDENTIAL FEES | 58,710.25 | 97.85 | 90.0 | 0.00 | 88.07 | 88.07 |
| 06/01/2018 | #E0381843 | | PRUDENTIAL FEES | 26,308.04 | 43.85 | 90.0 | 0.00 | 39.47 | 39.47 |
| 06/01/2018 | #E0381899 | | PRUDENTIAL FEES | 47,294.73 | 78.82 | 90.0 | 0.00 | 70.94 | 70.94 |

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|-----------------------------------|-----------|------------------|----------------------|---------------------|-------------|---------|-------------------|--------------------|----------------------|
| BROKER TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | | |
| 06/01/2018 | #E0383833 | HUML, LOUIS | PRUDENTIAL FEES | 85,081.54 | 141.80 | 90.0 | 0.00 | 127.62 | 127.62 |
| 06/01/2018 | #E0383849 | HUML, LOUIS | PRUDENTIAL FEES | 104,210.03 | 173.68 | 90.0 | 0.00 | 156.31 | 156.31 |
| 06/01/2018 | #680683 | JARRETT, JOHN | PRUDENTIAL FEES | 58,213.08 | 97.02 | 90.0 | 0.00 | 87.32 | 87.32 |
| 06/01/2018 | #E0383990 | JARRETT, RITA | PRUDENTIAL FEES | 21,124.35 | 35.21 | 90.0 | 0.00 | 31.69 | 31.69 |
| 06/01/2018 | #E0393923 | KOTAS, FRANK | PRUDENTIAL FEES | 20,727.71 | 34.55 | 90.0 | 0.00 | 31.10 | 31.10 |
| 06/01/2018 | #E0364726 | NAVRATIL, DAVID | PRUDENTIAL FEES | 24,429.13 | 40.72 | 90.0 | 0.00 | 36.65 | 36.65 |
| 06/01/2018 | #E0501161 | REGULA, RUTH | PRUDENTIAL FEES | 53,204.64 | 88.67 | 90.0 | 0.00 | 79.80 | 79.80 |
| 06/01/2018 | #E0628306 | REYNARD, JOAN | PRUDENTIAL FEES | 199,655.83 | 332.76 | 90.0 | 0.00 | 299.48 | 299.48 |
| 06/01/2018 | #E0500981 | VONDERAU, HAROLD | PRUDENTIAL FEES | 29,868.70 | 49.78 | 90.0 | 0.00 | 44.80 | 44.80 |
| 06/01/2018 | #E0383946 | WING, THOMAS | PRUDENTIAL FEES | 80,707.69 | 134.51 | 90.0 | 0.00 | 121.06 | 121.06 |
| * RIA SUBTOTAL * | | | | \$9,476,372.22 | \$15,793.97 | \$0.00 | \$14,214.63 | \$0.00 | \$14,214.63 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$9,476,372.22 | \$15,793.97 | \$0.00 | \$14,214.63 | \$0.00 | \$14,214.63 |

ADJUSTMENTS

| | | |
|---------------------------|----------------------------------|-----------|
| 06/20/2018 | ADMIN FEE: ADMIN FEE | -390.00 |
| 06/20/2018 | E & O INSURANCE: E & O INSURANCE | -179.00 |
| *** ADJUSTMENTS TOTAL *** | | \$-569.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$14,214.63 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-569.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------|-------------|
| Check Amount | \$13,645.63 |
|--------------|-------------|