



COMMISSION STATEMENT

July 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @27.4100						
07/20/2018	***-**-153J - SHOCKEY, JEFFREY	AMERICAN FUNDS 2025 TARGET DATE-A	1,700.00	46.75	0.00	46.75	85.0	39.74
	ACCOUNT #: 00075824133	BUY 120 Units @14.2100						
07/10/2018	***-**-5144 - WINTER, LAURA	AMERICAN FUNDS TAX ADVANTGED INC PO	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 15 Units @13.5900						
07/05/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
07/19/2018	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 00082865142	BUY 3 Units @50.6200						
07/05/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
07/16/2018	***-**-72IR - SHAW, SUSAN	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @56.1900						
07/19/2018	***-**-54IN - SCHMIDT, JENNY	INCOME FUND OF AMERICA CLASS C	100.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: 00082865142	BUY 4 Units @22.7100						
07/05/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
07/17/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 3 Units @57.6200						
07/17/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 8 Units @23.8700						
07/16/2018	***-**-32IR - SHAW, WILLIAM	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @42.7900						
* MUTUAL FUNDS SUBTOTAL *			\$3,042.00	\$68.92	\$0.00	\$68.92		\$58.60
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
07/17/2018	***-**-962I - KLOKIS, ROBERT	LEGEND III	60,000.00	1,800.00	0.00	1,800.00	100.0	1,800.00
		BUY 1 Units @60000.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$60,000.00	\$1,800.00	\$0.00	\$1,800.00		\$1,800.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/23/2018	***-**-036I - CROWL, LINDA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.45	0.00	0.45	85.0	0.38
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.75	0.00	0.75	85.0	0.64
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-34IR - MCKENNA, EDWARD	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	10.63	0.00	10.63	85.0	9.04
	ACCOUNT #: LSR011376	BUY 1 Units @0.0000						
07/23/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	16.64	0.00	16.64	85.0	14.14
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
07/23/2018	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	21.78	0.00	21.78	85.0	18.51
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						
07/23/2018	***-**-260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.47	0.00	17.47	85.0	14.85
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
07/23/2018	***-**-181X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.27	0.00	18.27	85.0	15.53
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
07/23/2018	***-**-055X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	22.02	0.00	22.02	85.0	18.72
	ACCOUNT #: LSR011558	BUY 1 Units @0.0000						
07/23/2018	***-**-352I - KUNKEL, RAY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	12.82	0.00	12.82	85.0	10.90
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
07/23/2018	***-**-8100 - BLAUCH, CATHY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.70	0.00	0.70	85.0	0.60
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - HOUSER, LORI	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	2.01	0.00	2.01	85.0	1.71
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/23/2018	***-**-13IR - LOYCH, ALICE	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.37	0.00	0.37	85.0	0.31
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
07/23/2018	***-**-81IR - BLEILER, CARLTON	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.09	0.00	0.09	85.0	0.08
	ACCOUNT #: LSR012082	BUY 1 Units @0.0000						
07/23/2018	***-**-872I - GAROFALO,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.31	0.00	0.31	85.0	0.26
	ACCOUNT #: LSR010758	BUY 1 Units @0.0000						
07/23/2018	***-**-244X - REED, GENEVIEVE	AIM HIGH YIELD FUND - CLASS A	0.00	1.63	0.00	1.63	85.0	1.39
	ACCOUNT #: LSR012032	BUY 1 Units @0.0000						
07/23/2018	***-**-8100 - BLAUCH, CATHY	AIM INCOME ALLOCATION FUND - CLASS	0.00	1.10	0.00	1.10	85.0	0.94
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-881I - HOUSER, LORI	AIM INCOME ALLOCATION FUND - CLASS	0.00	2.05	0.00	2.05	85.0	1.74
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/23/2018	***-**-228I - BUCHANAN,	AIM UTILITIES FUND - CLASS C	0.00	17.18	0.00	17.18	85.0	14.60
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
07/23/2018	***-**-630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	0.00	64.01	0.00	64.01	85.0	54.41
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
07/23/2018	***-**-4000 - ROMIG, MARK	AIM UTILITIES FUND - CLASS C	0.00	12.57	0.00	12.57	85.0	10.68
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	AMCAP FUND-A	0.00	12.33	0.00	12.33	85.0	10.48
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - KAKAREKA, PAUL	AMCAP FUND-C	0.00	20.10	0.00	20.10	85.0	17.09
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - KLOKIS, DENISE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	0.74	0.00	0.74	85.0	0.63
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - KAKAREKA,	AMERICAN 2040 TARGET DATE RETIREMNT	0.00	17.62	0.00	17.62	85.0	14.98
	ACCOUNT #: LSR011084	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - KAKAREKA, PAUL	AMERICAN 2040 TARGET DATE RETIREMNT	0.00	4.73	0.00	4.73	85.0	4.02
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
07/23/2018	***-**-73IR - MANGANELLO, TIRA	AMERICAN 2045 TARGET DATE RETIREMNT	0.00	10.80	0.00	10.80	85.0	9.18
	ACCOUNT #: LSR011771	BUY 1 Units @0.0000						
07/23/2018	***-**-60IR - ILGENFRITZ, CORY	AMERICAN 2045 TARGET DATE RETIREMNT	0.00	10.07	0.00	10.07	85.0	8.56
	ACCOUNT #: LSR011825	BUY 1 Units @0.0000						
07/23/2018	***-**-054I - GAROFALO,	AMERICAN BALANCED FUND-A	0.00	4.75	0.00	4.75	85.0	4.04
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - CROWL, LINDA	AMERICAN BALANCED FUND-C	0.00	73.66	0.00	73.66	85.0	62.61
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/23/2018	***-**-59IR - FREY, RYAN	AMERICAN BALANCED FUND-C	0.00	9.09	0.00	9.09	85.0	7.73
	ACCOUNT #: LSR011056	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - KAKAREKA, PAUL	AMERICAN BALANCED FUND-C	0.00	34.83	0.00	34.83	85.0	29.61
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
07/23/2018	***-**-376X - WAGNER, LINDA	AMERICAN FUNDS BALANCED PORT CL C	0.00	29.55	0.00	29.55	85.0	25.12
	ACCOUNT #: LSR010737	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-14IR - MCKENNA, DONNA	AMERICAN FUNDS BALANCED PORT CL C	0.00	13.54	0.00	13.54	85.0	11.51
	ACCOUNT #: LSR011375	BUY 1 Units @0.0000						
07/23/2018	***-**-285I - ACKERMAN, SEAN	AMERICAN FUNDS GROWTH PORT CL C	0.00	11.28	0.00	11.28	85.0	9.59
	ACCOUNT #: LSR010998	BUY 1 Units @0.0000						
07/23/2018	***-**-265I - NORNHOLD,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	24.20	0.00	24.20	85.0	20.57
	ACCOUNT #: LSR010794	BUY 1 Units @0.0000						
07/23/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	41.25	0.00	41.25	85.0	35.06
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
07/23/2018	***-**-057I - PLAPPERT,	AMERICAN FUNDS INCOME PORT CL C	0.00	8.58	0.00	8.58	85.0	7.29
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
07/23/2018	***-**-854O - FREY, RYAN	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	13.61	0.00	13.61	85.0	11.57
	ACCOUNT #: LSR011528	BUY 1 Units @0.0000						
07/23/2018	***-**-260O - ILGENFRITZ, TOD	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	43.19	0.00	43.19	85.0	36.71
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
07/23/2018	***-**-373O - MANGANELLO, TIRA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	27.83	0.00	27.83	85.0	23.66
	ACCOUNT #: LSR011550	BUY 1 Units @0.0000						
07/23/2018	***-**-07IN - SHOCKEY, CHELSEA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	6.60	0.00	6.60	85.0	5.61
	ACCOUNT #: LSR011926	BUY 1 Units @0.0000						
07/23/2018	***-**-055X - ILGENFRITZ,	CAPITAL APPRECIATION - CLASS C	0.00	8.74	0.00	8.74	85.0	7.43
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
07/23/2018	***-**-055X - ILGENFRITZ,	CAPITAL APPRECIATION - CLASS C	0.00	24.17	0.00	24.17	85.0	20.54
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - KLOKIS, DENISE	CAPITAL INCOME BUILDER CLASS C	0.00	1.30	0.00	1.30	85.0	1.11
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
07/23/2018	***-**-992I - SMITH, FBO MR	CAPITAL INCOME BUILDER-A	0.00	11.39	0.00	11.39	85.0	9.68
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
07/23/2018	***-**-184I - BEAVERSON, ROYCE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.63	0.00	9.63	85.0	8.19
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.78	0.00	0.78	85.0	0.66
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-992I - SMITH, FBO MR	EATON VANCE SHT DUR STRATEGIC INCOM	0.00	3.99	0.00	3.99	85.0	3.39
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-4000 - ROMIG, MARK	EV ATLANTA SELECT EQUITY FUND CL C	0.00	14.45	0.00	14.45	85.0	12.28
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
07/17/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	29.82	0.00	29.82	85.0	25.35
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
07/23/2018	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	0.22	0.00	0.22	85.0	0.19
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
07/23/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	10.34	0.00	10.34	85.0	8.79
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
07/23/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	13.48	0.00	13.48	85.0	11.46
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
07/23/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	53.92	0.00	53.92	85.0	45.83
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
07/23/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	66.37	0.00	66.37	85.0	56.41
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
07/23/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	62.06	0.00	62.06	85.0	52.75
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
07/23/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	21.85	0.00	21.85	85.0	18.57
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
07/23/2018	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	21.67	0.00	21.67	85.0	18.42
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
07/23/2018	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	17.17	0.00	17.17	85.0	14.59
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
07/23/2018	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	13.21	0.00	13.21	85.0	11.23
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
07/23/2018	***-**-8100 - BLAUCH, CATHY	HARTFORD BALANCED INCOME FD CL C	0.00	0.89	0.00	0.89	85.0	0.76
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
07/23/2018	***-**-14IR - SCHMIDT, DAVID	HARTFORD BALANCED INCOME FD CL C	0.00	7.12	0.00	7.12	85.0	6.05
	ACCOUNT #: LSR011865	BUY 1 Units @0.0000						
07/23/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	0.00	1.08	0.00	1.08	85.0	0.92
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - HOUSER, LORI	HARTFORD BALANCED INCOME FD CL C	0.00	2.35	0.00	2.35	85.0	2.00
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-381J - BLEILER, CONNIE	HARTFORD BALANCED INCOME FD CL C	0.00	0.41	0.00	0.41	85.0	0.35
	ACCOUNT #: LSR012081	BUY 1 Units @0.0000						
07/23/2018	***-**-162I - ANDERSON, THOMAS	HARTFORD BALANCED INCOME FD CL C	0.00	0.16	0.00	0.16	85.0	0.14
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
07/23/2018	***-**-837I - KUNKEL, JANET	HARTFORD BALANCED INCOME FD CL C	0.00	0.06	0.00	0.06	85.0	0.05
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
07/23/2018	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	9.61	0.00	9.61	85.0	8.17
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
07/23/2018	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	58.09	0.00	58.09	85.0	49.38
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
07/23/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	66.23	0.00	66.23	85.0	56.30
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
07/23/2018	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	21.50	0.00	21.50	85.0	18.28
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
07/23/2018	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	20.57	0.00	20.57	85.0	17.48
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
07/23/2018	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	15.41	0.00	15.41	85.0	13.10
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
07/23/2018	***-**-214I - SCHMIDT, DAVID	HARTFORD CORE EQUITY CLASS C	0.00	14.54	0.00	14.54	85.0	12.36
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
07/23/2018	***-**-67IR - NORNHOLD, DENNIS	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.08	0.00	0.08	85.0	0.07
	ACCOUNT #: LSR012006	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - CROWL, LINDA	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.32	0.00	0.32	85.0	0.27
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/23/2018	***-**-8100 - BLAUCH, CATHY	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	1.35	0.00	1.35	85.0	1.15
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - HOUSER, LORI	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	2.06	0.00	2.06	85.0	1.75
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/23/2018	***-**-51IR - BLEILER, CONNIE	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.07	0.00	0.07	85.0	0.06
	ACCOUNT #: LSR012077	BUY 1 Units @0.0000						
07/23/2018	***-**-949I - KLOKIS, DENISE	INCOME FUND OF AMERICA CLASS C	0.00	2.83	0.00	2.83	85.0	2.41
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						



COMMISSION STATEMENT

July 23, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
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BROKER #: A17
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-228I - BUCHANAN, ACCOUNT #: LSR010538	INVESCO BALANCED - RISK ALLOCATION BUY 1 Units @0.0000	0.00	8.76	0.00	8.76	85.0	7.45
07/23/2018	***-**-276I - GASSWINT, SUSAN ACCOUNT #: LSR010633	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	19.76	0.00	19.76	85.0	16.80
07/23/2018	***-**-214I - SCHMIDT, DAVID ACCOUNT #: LSR011691	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	14.02	0.00	14.02	85.0	11.92
07/23/2018	***-**-322I - SHOCKEY, DEBORAH ACCOUNT #: LSR011848	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	5.66	0.00	5.66	85.0	4.81
07/23/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	85.0	0.75
07/17/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	IVY MANAGED INTERNAT IONAL OPPS CL BUY 1 Units @0.0000	0.00	223.57	0.00	223.57	85.0	190.03
07/17/2018	***-**-334I - MCKENNA, EDWARD ACCOUNT #: LSR011377	LEGG MASON VALUE TRUST CL C BUY 1 Units @0.0000	0.00	6.50	0.00	6.50	85.0	5.53
07/23/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASS INVESTORS GROWTH STOCK FUND-A BUY 1 Units @0.0000	0.00	6.55	0.00	6.55	85.0	5.57
07/23/2018	***-**-83IN - SCHWARTZ, EMILY ACCOUNT #: LSR010190	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	85.0	5.49
07/23/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	43.47	0.00	43.47	85.0	36.95
07/23/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	60.38	0.00	60.38	85.0	51.32
07/23/2018	***-**-181X - ILGENFRITZ, ACCOUNT #: LSR011555	MFS GROWTH PORT CLASS C BUY 1 Units @0.0000	0.00	22.53	0.00	22.53	85.0	19.15
07/23/2018	***-**-859X - FREY, RYAN ACCOUNT #: LSR011527	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	63.99	0.00	63.99	85.0	54.39
07/23/2018	***-**-630X - WEAVER, NICOLE ACCOUNT #: LSR011529	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	46.93	0.00	46.93	85.0	39.89
07/23/2018	***-**-881I - HOUSER, LORI ACCOUNT #: LSR011961	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	2.11	0.00	2.11	85.0	1.79
07/23/2018	***-**-0550 - ILGENFRITZ, TIM ACCOUNT #: LSR011557	MFS GLOBAL TOTAL RETURN FUND-C BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	85.0	0.03



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-162I - ANDERSON, THOMAS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.04	0.00	0.04	85.0	0.03
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - HOUSER, LORI	MFS MID CAP VALUE CLASS C	0.00	2.29	0.00	2.29	85.0	1.95
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/23/2018	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	19.58	0.00	19.58	85.0	16.64
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
07/23/2018	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	39.17	0.00	39.17	85.0	33.29
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
07/23/2018	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.97	0.00	10.97	85.0	9.32
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
07/23/2018	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	14.99	0.00	14.99	85.0	12.74
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
07/23/2018	***-**-352I - KUNKEL, RAY	MFS TOTAL RETURN FUND-C	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
07/23/2018	***-**-837I - KUNKEL, JANET	MFS TOTAL RETURN FUND-C	0.00	0.04	0.00	0.04	85.0	0.03
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	2.26	0.00	2.26	85.0	1.92
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/23/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	6.42	0.00	6.42	85.0	5.46
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	36.96	0.00	36.96	85.0	31.42
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	8.99	0.00	8.99	85.0	7.64
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	14.21	0.00	14.21	85.0	12.08
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.46	0.00	3.46	85.0	2.94
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-260X - ILGENFRITZ, CORY	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.91	0.00	9.91	85.0	8.42
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
07/23/2018	***-**-055X - ILGENFRITZ,	PRINCIPAL SMALL-MID CAP DIV INCOME	0.00	9.81	0.00	9.81	85.0	8.34
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	8.12	0.00	8.12	85.0	6.90
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
07/23/2018	***-**-22IR - SHOCKEY, DEBORAH	SAMMONS MF TRAILS	0.00	5.35	0.00	5.35	85.0	4.55
	ACCOUNT #: 7200019359	BUY 0 Units @0.0000						
07/23/2018	***-**-53IR - SHOCKEY, JEFFREY	SAMMONS MF TRAILS	0.00	8.96	0.00	8.96	85.0	7.62
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	THE GROWTH FUND OF AMERICA-A	0.00	6.56	0.00	6.56	85.0	5.58
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	28.84	0.00	28.84	85.0	24.51
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
07/23/2018	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	1.95	0.00	1.95	85.0	1.66
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
07/23/2018	***-**-83IN - SCHWARTZ, EMILY	THE INCOME FUND OF AMERICA-A	0.00	11.43	0.00	11.43	85.0	9.72
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
07/23/2018	***-**-934I - BEAVERSON, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.37	0.00	4.37	85.0	3.71
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
07/23/2018	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	0.00	8.13	0.00	8.13	85.0	6.91
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
07/23/2018	***-**-831I - REED, JACK	THE INCOME FUND OF AMERICA-A	0.00	13.00	0.00	13.00	85.0	11.05
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
07/23/2018	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	12.16	0.00	12.16	85.0	10.34
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
07/23/2018	***-**-653J - MYERS, AND	THE INCOME FUND OF AMERICA-A	0.00	1.78	0.00	1.78	85.0	1.51
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
07/23/2018	***-**-054I - GAROFALO,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.07	0.00	8.07	85.0	6.86
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
07/23/2018	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.07	0.00	10.07	85.0	8.56
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
07/23/2018	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	4.99	0.00	4.99	85.0	4.24
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
07/23/2018	***-**-653J - MYERS, AND	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.10	0.00	2.10	85.0	1.79
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/23/2018	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	4.08	0.00	4.08	85.0	3.47
07/23/2018	***-**-054J - GAROFALO, ACCOUNT #: LSF000300	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.28	0.00	6.28	85.0	5.34
07/17/2018	***-**-29IR - MANGANELLO, ACCOUNT #: LSR011770	WELLS FARGO DIVERSIF INC BUILDER CL BUY 1 Units @0.0000	0.00	9.08	0.00	9.08	85.0	7.72
07/17/2018	***-**-055O - ILGENFRITZ, TIM ACCOUNT #: LSR011557	WELLS FARGO INDEX ASSET ALLOC C BUY 1 Units @0.0000	0.00	20.84	0.00	20.84	85.0	17.71
07/17/2018	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	WELLS FARGO SPECIAL MID CAP CL C BUY 1 Units @0.0000	0.00	15.21	0.00	15.21	85.0	12.93
07/17/2018	***-**-831I - KAKAREKA, ACCOUNT #: LSR011084	WELLS FARGO SPECIAL SMALL CAP VALUE BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	85.0	0.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,153.72	\$0.00	\$2,153.72		\$1,830.73
*** BROKER TRANSACTIONS TOTAL ***			\$63,042.00	\$4,022.64	\$0.00	\$4,022.64		\$3,689.33

BROKER COMMISSION TOTALS

Broker Transactions	\$3,689.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,689.33
Year-to-date Earnings	\$79,582.58