

**COMMISSION STATEMENT****February 28, 2018**DANIEL COLLINS  
26915 S. Tourmaline Dr.BROKER #: C122 Terminated 11/11/2013  
BRANCH: DANIEL COLLINS

Hebron, MD 21830-

| DATE   | CLIENT            | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                |                   |                                    |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                   |                                    |                      |                     |                    |                   |      |                      |
| 02/08/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 3.94                | 0.00               | 3.94              | 0.0  | 0.00                 |
| 02/08/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 9.62                | 0.00               | 9.62              | 0.0  | 0.00                 |
| 02/08/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.81                | 0.00               | 4.81              | 0.0  | 0.00                 |
| 02/08/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 1.91                | 0.00               | 1.91              | 0.0  | 0.00                 |
| 03/09/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 3.63                | 0.00               | 3.63              | 0.0  | 0.00                 |
| 03/09/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 8.87                | 0.00               | 8.87              | 0.0  | 0.00                 |
| 03/09/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.44                | 0.00               | 4.44              | 0.0  | 0.00                 |
| 03/09/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 1.76                | 0.00               | 1.76              | 0.0  | 0.00                 |
| 04/18/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.07                | 0.00               | 4.07              | 0.0  | 0.00                 |
| 04/18/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 9.93                | 0.00               | 9.93              | 0.0  | 0.00                 |
| 04/18/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.97                | 0.00               | 4.97              | 0.0  | 0.00                 |
| 04/18/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 1.97                | 0.00               | 1.97              | 0.0  | 0.00                 |
| 05/11/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.02                | 0.00               | 4.02              | 0.0  | 0.00                 |
| 05/11/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 9.81                | 0.00               | 9.81              | 0.0  | 0.00                 |
| 05/11/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.91                | 0.00               | 4.91              | 0.0  | 0.00                 |
| 05/11/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 1.95                | 0.00               | 1.95              | 0.0  | 0.00                 |
| 06/10/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.15                | 0.00               | 4.15              | 0.0  | 0.00                 |
| 06/10/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 10.13               | 0.00               | 10.13             | 0.0  | 0.00                 |
| 06/10/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 5.07                | 0.00               | 5.07              | 0.0  | 0.00                 |
| 06/10/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 2.01                | 0.00               | 2.01              | 0.0  | 0.00                 |
| 07/18/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.04                | 0.00               | 4.04              | 0.0  | 0.00                 |
| 07/18/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 9.86                | 0.00               | 9.86              | 0.0  | 0.00                 |
| 07/18/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.93                | 0.00               | 4.93              | 0.0  | 0.00                 |
| 07/18/2016                                       | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 1.96                | 0.00               | 1.96              | 0.0  | 0.00                 |
| 08/08/2016                                       | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.25                | 0.00               | 4.25              | 0.0  | 0.00                 |
| 08/08/2016                                       | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 10.37               | 0.00               | 10.37             | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE  | CLIENT            | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                   |                                    |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                   |                                    |                      |                     |                    |                   |      |                      |
| 08/08/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 5.19                | 0.00               | 5.19              | 0.0  | 0.00                 |
| 08/08/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 2.06                | 0.00               | 2.06              | 0.0  | 0.00                 |
| 09/12/2016  | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.32                | 0.00               | 4.32              | 0.0  | 0.00                 |
| 09/12/2016  | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 10.54               | 0.00               | 10.54             | 0.0  | 0.00                 |
| 09/12/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 5.27                | 0.00               | 5.27              | 0.0  | 0.00                 |
| 09/12/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 2.09                | 0.00               | 2.09              | 0.0  | 0.00                 |
| 10/20/2016  | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.17                | 0.00               | 4.17              | 0.0  | 0.00                 |
| 10/20/2016  | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 10.18               | 0.00               | 10.18             | 0.0  | 0.00                 |
| 10/20/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 5.09                | 0.00               | 5.09              | 0.0  | 0.00                 |
| 10/20/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 2.02                | 0.00               | 2.02              | 0.0  | 0.00                 |
| 11/11/2016  | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.29                | 0.00               | 4.29              | 0.0  | 0.00                 |
| 11/11/2016  | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 10.48               | 0.00               | 10.48             | 0.0  | 0.00                 |
| 11/11/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 5.24                | 0.00               | 5.24              | 0.0  | 0.00                 |
| 11/11/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 2.08                | 0.00               | 2.08              | 0.0  | 0.00                 |
| 12/12/2016  | WHITE, JACKSON    | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 4.12                | 0.00               | 4.12              | 0.0  | 0.00                 |
| 12/12/2016  | WHITE, MARGARET   | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 10.06               | 0.00               | 10.06             | 0.0  | 0.00                 |
| 12/12/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 5.03                | 0.00               | 5.03              | 0.0  | 0.00                 |
| 12/12/2016  | PARSONS, VIRGINIA | ALLIANCEBERNSTEIN BAL WEALTH STR A | 0.00                 | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |
| 02/16/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.49                | 0.00               | 1.49              | 0.0  | 0.00                 |
| 02/16/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.46                | 0.00               | 1.46              | 0.0  | 0.00                 |
| 03/15/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.41                | 0.00               | 1.41              | 0.0  | 0.00                 |
| 03/15/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.37                | 0.00               | 1.37              | 0.0  | 0.00                 |
| 04/20/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.57                | 0.00               | 1.57              | 0.0  | 0.00                 |
| 04/20/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.54                | 0.00               | 1.54              | 0.0  | 0.00                 |
| 05/16/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.56                | 0.00               | 1.56              | 0.0  | 0.00                 |
| 05/16/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.52                | 0.00               | 1.52              | 0.0  | 0.00                 |
| 06/15/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.61                | 0.00               | 1.61              | 0.0  | 0.00                 |
| 06/15/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.57                | 0.00               | 1.57              | 0.0  | 0.00                 |
| 07/18/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.57                | 0.00               | 1.57              | 0.0  | 0.00                 |
| 07/18/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.53                | 0.00               | 1.53              | 0.0  | 0.00                 |
| 08/15/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.67                | 0.00               | 1.67              | 0.0  | 0.00                 |
| 08/15/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 0.94                | 0.00               | 0.94              | 0.0  | 0.00                 |
| 09/16/2016  | THOMAS, TINA      | AM FUNDS CAPITAL INCOME BUILDER A  | 0.00                 | 1.24                | 0.00               | 1.24              | 0.0  | 0.00                 |

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|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 02/16/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.74                | 0.00               | 1.74              | 0.0  | 0.00                 |
| 02/16/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.81                | 0.00               | 0.81              | 0.0  | 0.00                 |
| 03/15/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.60                | 0.00               | 1.60              | 0.0  | 0.00                 |
| 03/15/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.75                | 0.00               | 0.75              | 0.0  | 0.00                 |
| 04/20/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.82                | 0.00               | 1.82              | 0.0  | 0.00                 |
| 04/20/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.85                | 0.00               | 0.85              | 0.0  | 0.00                 |
| 05/16/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.81                | 0.00               | 1.81              | 0.0  | 0.00                 |
| 05/16/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.84                | 0.00               | 0.84              | 0.0  | 0.00                 |
| 06/15/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.85                | 0.00               | 1.85              | 0.0  | 0.00                 |
| 06/15/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.87                | 0.00               | 0.87              | 0.0  | 0.00                 |
| 07/18/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.80                | 0.00               | 1.80              | 0.0  | 0.00                 |
| 07/18/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.84                | 0.00               | 0.84              | 0.0  | 0.00                 |
| 08/15/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.91                | 0.00               | 1.91              | 0.0  | 0.00                 |
| 08/15/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.89                | 0.00               | 0.89              | 0.0  | 0.00                 |
| 09/16/2016  | THOMAS, TINA      | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.46                | 0.00               | 1.46              | 0.0  | 0.00                 |
| 09/16/2016  | THOMAS, WILLIAM   | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.65                | 0.00               | 0.65              | 0.0  | 0.00                 |
| 02/16/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.79                | 0.00               | 0.79              | 0.0  | 0.00                 |
| 03/15/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.71                | 0.00               | 0.71              | 0.0  | 0.00                 |
| 04/20/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.81                | 0.00               | 0.81              | 0.0  | 0.00                 |
| 05/16/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.81                | 0.00               | 0.81              | 0.0  | 0.00                 |
| 06/15/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.84                | 0.00               | 0.84              | 0.0  | 0.00                 |
| 07/18/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.82                | 0.00               | 0.82              | 0.0  | 0.00                 |
| 08/15/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.87                | 0.00               | 0.87              | 0.0  | 0.00                 |
| 09/16/2016  | THOMAS, TINA      | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.66                | 0.00               | 0.66              | 0.0  | 0.00                 |
| 02/16/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.35                | 0.00               | 4.35              | 0.0  | 0.00                 |
| 03/15/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.08                | 0.00               | 4.08              | 0.0  | 0.00                 |
| 04/20/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.65                | 0.00               | 4.65              | 0.0  | 0.00                 |
| 05/16/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.64                | 0.00               | 4.64              | 0.0  | 0.00                 |
| 06/15/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.79                | 0.00               | 4.79              | 0.0  | 0.00                 |
| 07/18/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.70                | 0.00               | 4.70              | 0.0  | 0.00                 |
| 08/15/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 5.01                | 0.00               | 5.01              | 0.0  | 0.00                 |
| 09/16/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 5.09                | 0.00               | 5.09              | 0.0  | 0.00                 |
| 10/17/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.88                | 0.00               | 4.88              | 0.0  | 0.00                 |

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| DATE  | CLIENT            | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 11/18/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 5.04                | 0.00               | 5.04              | 0.0  | 0.00                 |
| 12/15/2016  | JESTER, CATHERINE | AM FUNDS ICA A                      | 0.00                 | 4.92                | 0.00               | 4.92              | 0.0  | 0.00                 |
| 02/16/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.55                | 0.00               | 2.55              | 0.0  | 0.00                 |
| 03/14/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.28                | 0.00               | 2.28              | 0.0  | 0.00                 |
| 04/20/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.60                | 0.00               | 2.60              | 0.0  | 0.00                 |
| 05/16/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.62                | 0.00               | 2.62              | 0.0  | 0.00                 |
| 06/16/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.76                | 0.00               | 2.76              | 0.0  | 0.00                 |
| 07/15/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.68                | 0.00               | 2.68              | 0.0  | 0.00                 |
| 08/15/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.82                | 0.00               | 2.82              | 0.0  | 0.00                 |
| 09/16/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.92                | 0.00               | 2.92              | 0.0  | 0.00                 |
| 10/17/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.83                | 0.00               | 2.83              | 0.0  | 0.00                 |
| 11/11/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.93                | 0.00               | 2.93              | 0.0  | 0.00                 |
| 12/16/2016  | JESTER, CATHERINE | DAVIS NEW YORK VENTURE A            | 0.00                 | 2.90                | 0.00               | 2.90              | 0.0  | 0.00                 |
| 02/10/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.09                | 0.00               | 4.09              | 0.0  | 0.00                 |
| 03/10/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 3.85                | 0.00               | 3.85              | 0.0  | 0.00                 |
| 04/15/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.10                | 0.00               | 4.10              | 0.0  | 0.00                 |
| 05/11/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.01                | 0.00               | 4.01              | 0.0  | 0.00                 |
| 06/10/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.17                | 0.00               | 4.17              | 0.0  | 0.00                 |
| 07/13/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.07                | 0.00               | 4.07              | 0.0  | 0.00                 |
| 08/09/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.24                | 0.00               | 4.24              | 0.0  | 0.00                 |
| 09/12/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.24                | 0.00               | 4.24              | 0.0  | 0.00                 |
| 10/11/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.09                | 0.00               | 4.09              | 0.0  | 0.00                 |
| 11/15/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.18                | 0.00               | 4.18              | 0.0  | 0.00                 |
| 12/13/2016  | BEBEE, MARY       | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 3.96                | 0.00               | 3.96              | 0.0  | 0.00                 |
| 02/14/2018  | EVANSUSA, DENISE  | JH FINANCIAL INDUSTRIES A           | 0.00                 | 2.01                | 0.00               | 2.01              | 0.0  | 0.00                 |
| 02/14/2018  | EVANSUSA, DENISE  | JH LARGE CAP EQUITY A               | 0.00                 | 3.19                | 0.00               | 3.19              | 0.0  | 0.00                 |
| 02/14/2018  | EVANSUSA, DENISE  | JH NEW OPPORTUNITIES A              | 0.00                 | 2.20                | 0.00               | 2.20              | 0.0  | 0.00                 |
| 02/14/2018  | EVANSUSA, DENISE  | JH STRATEGIC GROWTH A               | 0.00                 | 2.36                | 0.00               | 2.36              | 0.0  | 0.00                 |
| 02/22/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.77                | 0.00               | 2.77              | 0.0  | 0.00                 |
| 03/17/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.59                | 0.00               | 2.59              | 0.0  | 0.00                 |
| 04/21/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.76                | 0.00               | 2.76              | 0.0  | 0.00                 |
| 05/19/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.68                | 0.00               | 2.68              | 0.0  | 0.00                 |
| 06/30/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.77                | 0.00               | 2.77              | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE  | CLIENT            | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 07/18/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.68                | 0.00               | 2.68              | 0.0  | 0.00                 |
| 08/24/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.78                | 0.00               | 2.78              | 0.0  | 0.00                 |
| 09/22/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.77                | 0.00               | 2.77              | 0.0  | 0.00                 |
| 10/21/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.68                | 0.00               | 2.68              | 0.0  | 0.00                 |
| 11/21/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.77                | 0.00               | 2.77              | 0.0  | 0.00                 |
| 12/19/2016  | WHITE, JACKSON    | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.67                | 0.00               | 2.67              | 0.0  | 0.00                 |
| 02/22/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.87                | 0.00               | 1.87              | 0.0  | 0.00                 |
| 03/11/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.76                | 0.00               | 1.76              | 0.0  | 0.00                 |
| 04/20/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.91                | 0.00               | 1.91              | 0.0  | 0.00                 |
| 05/18/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.88                | 0.00               | 1.88              | 0.0  | 0.00                 |
| 06/16/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.95                | 0.00               | 1.95              | 0.0  | 0.00                 |
| 07/15/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.90                | 0.00               | 1.90              | 0.0  | 0.00                 |
| 08/12/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.99                | 0.00               | 1.99              | 0.0  | 0.00                 |
| 09/15/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.99                | 0.00               | 1.99              | 0.0  | 0.00                 |
| 10/21/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.93                | 0.00               | 1.93              | 0.0  | 0.00                 |
| 11/18/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |
| 12/14/2016  | WHITE, MARGARET   | PIMCO REAL RETURN A                 | 0.00                 | 1.91                | 0.00               | 1.91              | 0.0  | 0.00                 |
| 02/22/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.77                | 0.00               | 0.77              | 0.0  | 0.00                 |
| 03/22/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.73                | 0.00               | 0.73              | 0.0  | 0.00                 |
| 04/21/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.86                | 0.00               | 0.86              | 0.0  | 0.00                 |
| 05/26/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.87                | 0.00               | 0.87              | 0.0  | 0.00                 |
| 06/23/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.91                | 0.00               | 0.91              | 0.0  | 0.00                 |
| 07/26/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.89                | 0.00               | 0.89              | 0.0  | 0.00                 |
| 08/19/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.92                | 0.00               | 0.92              | 0.0  | 0.00                 |
| 09/20/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.93                | 0.00               | 0.93              | 0.0  | 0.00                 |
| 10/25/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.89                | 0.00               | 0.89              | 0.0  | 0.00                 |
| 11/23/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.92                | 0.00               | 0.92              | 0.0  | 0.00                 |
| 12/23/2016  | PARSONS, VIRGINIA | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.89                | 0.00               | 0.89              | 0.0  | 0.00                 |
| 02/12/2016  | WHITE, JACKSON    | WELLS FARGO MUNICIPAL BOND A        | 0.00                 | 2.81                | 0.00               | 2.81              | 0.0  | 0.00                 |
| 03/16/2016  | WHITE, JACKSON    | WELLS FARGO MUNICIPAL BOND A        | 0.00                 | 2.63                | 0.00               | 2.63              | 0.0  | 0.00                 |
| 04/15/2016  | WHITE, JACKSON    | WELLS FARGO MUNICIPAL BOND A        | 0.00                 | 2.79                | 0.00               | 2.79              | 0.0  | 0.00                 |
| 05/16/2016  | WHITE, JACKSON    | WELLS FARGO MUNICIPAL BOND A        | 0.00                 | 2.73                | 0.00               | 2.73              | 0.0  | 0.00                 |
| 06/14/2016  | WHITE, JACKSON    | WELLS FARGO MUNICIPAL BOND A        | 0.00                 | 2.83                | 0.00               | 2.83              | 0.0  | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE   | CLIENT         | INVESTMENT                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u>                       |                |                              |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> |                |                              |                      |                     |                    |                   |      |                      |
| 07/18/2016                                       | WHITE, JACKSON | WELLS FARGO MUNICIPAL BOND A | 0.00                 | 2.76                | 0.00               | 2.76              | 0.0  | 0.00                 |
| 08/15/2016                                       | WHITE, JACKSON | WELLS FARGO MUNICIPAL BOND A | 0.00                 | 2.86                | 0.00               | 2.86              | 0.0  | 0.00                 |
| 09/15/2016                                       | WHITE, JACKSON | WELLS FARGO MUNICIPAL BOND A | 0.00                 | 2.86                | 0.00               | 2.86              | 0.0  | 0.00                 |
| 10/12/2016                                       | WHITE, JACKSON | WELLS FARGO MUNICIPAL BOND A | 0.00                 | 2.76                | 0.00               | 2.76              | 0.0  | 0.00                 |
| 11/16/2016                                       | WHITE, JACKSON | WELLS FARGO MUNICIPAL BOND A | 0.00                 | 2.83                | 0.00               | 2.83              | 0.0  | 0.00                 |
| 12/14/2016                                       | WHITE, JACKSON | WELLS FARGO MUNICIPAL BOND A | 0.00                 | 2.67                | 0.00               | 2.67              | 0.0  | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *             |                |                              | \$0.00               | \$508.38            | \$0.00             | \$508.38          |      | \$0.00               |
| *** DIRECT TRANSACTIONS TOTAL ***                |                |                              | \$0.00               | \$508.38            | \$0.00             | \$508.38          |      | \$0.00               |

BROKER COMMISSION TOTALS

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |            |
|-----------------------|------------|
| Balance Forward       | \$0.00     |
| Year-to-date Gross    | \$1,531.22 |
| Year-to-date Earnings | \$0.00     |

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\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed