



# COMMISSION STATEMENT

April 30, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/16/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @36.6500						
04/16/2018	***-**-3569 - ANDERSON,	AMCAP FUND-A	90.00	2.48	0.00	2.48	70.0	1.74
	ACCOUNT #: 00088334300	BUY 3 Units @34.1700						
04/19/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	158.65	2.54	0.00	2.54	70.0	1.78
	ACCOUNT #: 00088244527	BUY 6 Units @27.5800						
04/19/2018	***-**-8325 - BUECHEL,	AMERICAN BALANCED FUND-A	158.65	2.54	0.00	2.54	70.0	1.78
	ACCOUNT #: 00088244527	BUY 6 Units @27.5800						
04/19/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	706.75	11.31	0.00	11.31	70.0	7.92
	ACCOUNT #: 00089463498	BUY 44 Units @16.1100						
04/19/2018	***-**-7033 - TURNER, BRYAN	AMERICAN FUNDS 2045 TARGET DATE-A	212.00	3.39	0.00	3.39	70.0	2.37
	ACCOUNT #: 00089463498	BUY 13 Units @16.1100						
04/16/2018	***-**-3410 - HOWANIEC,	AMERICAN FUNDS GROWTH PORTFOLIO A	1,576.13	78.81	0.00	78.81	70.0	55.17
	ACCOUNT #: 04000916803	BUY 80 Units @19.6700						
04/19/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	403.85	6.46	0.00	6.46	70.0	4.52
	ACCOUNT #: 04000349982	BUY 21 Units @19.1100						
04/19/2018	***-**-4858 - GODOFSKY,	AMERICAN FUNDS GROWTH PORTFOLIO A	173.10	2.77	0.00	2.77	70.0	1.94
	ACCOUNT #: 04000349982	BUY 9 Units @19.1100						
04/16/2018	***-**-3569 - ANDERSON,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @41.7100						
04/16/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.0200						
04/20/2018	***-**-5922 - HOTAILING,	FRANKLIN INCOME FUND CLASS A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000090221306726	BUY 47 Units @2.3900						
04/20/2018	***-**-5922 - HOTAILING,	FRANKLIN RISING DIVIDENDS FD CLASS	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000015812472167	BUY 2 Units @62.4500						
04/20/2018	***-**-5922 - HOTAILING,	FRK HIGH INC FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000050118127094	BUY 58 Units @1.9300						
04/16/2018	***-**-3569 - ANDERSON,	FUNDAMENTAL INVESTORS-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 1 Units @65.0700						
04/16/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 19 Units @10.3900						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
04/19/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	41.56	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 1 Units @46.0600						
04/19/2018	***-**-2633 - BUTLER, JAIME	NEW PERSPECTIVE FUND-A	31.17	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 1 Units @46.0600						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	47.59	0.76	0.00	0.76	70.0	0.53
	ACCOUNT #: 00088394220	BUY 1 Units @46.0600						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	NEW PERSPECTIVE FUND-A	47.60	0.76	0.00	0.76	70.0	0.53
	ACCOUNT #: 00088394220	BUY 1 Units @46.0600						
04/20/2018	***-**-5922 - HOTAILING,	TEMP GLOBAL BOND FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000006984984022	BUY 9 Units @12.4000						
04/16/2018	***-**-3569 - ANDERSON,	THE BOND FUND OF AMERICA-A	75.00	2.06	0.00	2.06	70.0	1.44
	ACCOUNT #: 00088334300	BUY 6 Units @13.0600						
04/19/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	41.56	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 3 Units @12.8000						
04/19/2018	***-**-2633 - BUTLER, JAIME	THE BOND FUND OF AMERICA-A	31.17	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 2 Units @12.8000						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.87	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.8000						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	THE BOND FUND OF AMERICA-A	15.87	0.25	0.00	0.25	70.0	0.18
	ACCOUNT #: 00088394220	BUY 1 Units @12.8000						
04/16/2018	***-**-3569 - ANDERSON,	THE GROWTH FUND OF AMERICA-A	70.00	1.93	0.00	1.93	70.0	1.35
	ACCOUNT #: 00088334300	BUY 1 Units @53.6500						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	63.46	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: 00088394220	BUY 1 Units @53.7200						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	THE GROWTH FUND OF AMERICA-A	63.45	1.02	0.00	1.02	70.0	0.71
	ACCOUNT #: 00088394220	BUY 1 Units @53.7200						
04/16/2018	***-**-3569 - ANDERSON,	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: 00088334300	BUY 2 Units @23.8700						
04/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	41.56	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 2 Units @23.4900						
04/19/2018	***-**-2633 - BUTLER, JAIME	THE INCOME FUND OF AMERICA-A	31.15	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 1 Units @23.4900						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
04/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	31.73	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: 00088394220	BUY 1 Units @23.4900						
04/19/2018	***-**-9064 - GUERRERO, NIGEL	THE INCOME FUND OF AMERICA-A	31.73	0.51	0.00	0.51	70.0	0.36
	ACCOUNT #: 00088394220	BUY 1 Units @23.4900						
04/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	41.54	0.66	0.00	0.66	70.0	0.46
	ACCOUNT #: 00075179061	BUY 1 Units @41.3100						
04/19/2018	***-**-2633 - BUTLER, JAIME	THE INVESTMENT COMPANY OF AMERICA-A	31.17	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00075179061	BUY 1 Units @41.3100						
04/16/2018	***-**-3569 - ANDERSON,	THE NEW ECONOMY FUND-A	65.00	1.79	0.00	1.79	70.0	1.25
	ACCOUNT #: 00088334300	BUY 1 Units @49.1300						
* MUTUAL FUNDS SUBTOTAL *			\$5,237.31	\$148.14	\$0.00	\$148.14		\$103.73
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/25/2018	***-**-47FB - TORRES, SHARI	INVESTMENT ADVISORY (PARTICIPANTS)	46,722.30	116.81	0.00	116.81	70.0	81.77
	ACCOUNT #: 297864	BUY 0 Units @4.6722						
* RIA SUBTOTAL *			\$46,722.30	\$116.81	\$0.00	\$116.81		\$81.77
*** BROKER TRANSACTIONS TOTAL ***			\$51,959.61	\$264.95	\$0.00	\$264.95		\$185.50



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DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
						BROKER COMMISSION TOTALS			
						Broker Transactions		\$185.50	
						Split Transactions		\$0.00	
						Override Transactions		\$0.00	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$185.50	
						Year-to-date Earnings		\$23,149.46	