

COMMISSION STATEMENT

April 30, 2017

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/31/2017	75500237	POMFRET SCHOOL	PHILLIPS 66 COM	S	4,489.33	40.00	0.00	40.00	80.0	32.00
04/17/2017	75500237	POMFRET SCHOOL	PROCTER & GAMBLE CO	S	5,056.94	40.00	0.00	40.00	80.0	32.00
04/10/2017	75500263	THOMPSON, MARY	GENUINE PARTS CO	B	9,029.00	35.00	0.00	35.00	80.0	28.00
04/25/2017	75500651	MULLEN, REBECCA	FRANKLIN RESOURCES INC (DEL)	S	1,688.00	35.00	0.00	35.00	80.0	28.00
04/24/2017	75501294	HENRY W KENDALL 1934	ISHARES RUSSELL 3000 ETF	S	862,948.30	368.04	0.00	368.04	80.0	294.43
04/24/2017	75501295	HENRY W KENDALL 1934	ISHARES RUSSELL 3000 ETF	S	52,615.37	74.88	0.00	74.88	80.0	59.90
04/24/2017	75501297	HENRY W KENDALL 1941	ISHARES RUSSELL 3000 ETF	S	130,694.32	141.48	0.00	141.48	80.0	113.18
04/24/2017	75501299	HENRY W KENDALL 1941	ISHARES RUSSELL 3000 ETF	S	15,053.06	42.84	0.00	42.84	80.0	34.27
04/24/2017	75501301	HENRY W KENDALL 1941	ISHARES RUSSELL 3000 ETF	S	240,286.22	183.72	0.00	183.72	80.0	146.98
04/24/2017	75501303	JOHN P KENDALL 1941	ISHARES RUSSELL 3000 ETF	S	139,698.02	149.16	0.00	149.16	80.0	119.33
04/24/2017	75501304	FIDUCIARY TRUST CO	ISHARES RUSSELL 3000 ETF	S	60,212.24	81.36	0.00	81.36	80.0	65.09
03/29/2017	75501322	GILBERTSON, JAY	UNITEDHEALTH GROUP INC	S	327.17	0.00	0.00	0.00	80.0	0.00
04/04/2017	75501322	GILBERTSON, JAY	S&P GLOBAL INC COM	B	515.69	0.00	0.00	0.00	80.0	0.00
04/05/2017	75501322	GILBERTSON, JAY	MONSANTO CO NEW	B	809.80	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501322	GILBERTSON, JAY	COCA COLA COMPANY (THE)	B	341.59	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501322	GILBERTSON, JAY	PHILIP MORRIS INTERNATIONAL INC	S	567.61	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501322	GILBERTSON, JAY	PROCTER & GAMBLE CO	S	357.73	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501322	GILBERTSON, JAY	PUBLIC SERVICE ENTERPRISE GROUP INC	B	852.74	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501322	GILBERTSON, JAY	SOUTHERN CO	S	696.94	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501322	GILBERTSON, JAY	TOTAL S A 1 ADR REPRESENTING 1 ORD	B	521.28	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	3M COMPANY	S	951.09	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	BCE INC COM NEW	B	817.06	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	EXXON MOBIL CORPORATION	B	1,333.09	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	JOHNSON CONTROLS INTERNATIONAL PLC	B	1,402.27	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	NOVARTIS AG AMERICAN DEPOSITARY SHA	B	663.10	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	PHILIP MORRIS INTERNATIONAL INC	S	1,586.19	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501322	GILBERTSON, JAY	THE TRAVELERS COMPANIES INC	S	242.46	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501322	GILBERTSON, JAY	FASTENAL CO	B	469.10	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501322	GILBERTSON, JAY	PUBLIC SERVICE ENTERPRISE GROUP INC	B	1,136.00	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501322	GILBERTSON, JAY	SOUTHERN CO	S	1,286.21	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501322	GILBERTSON, JAY	TJX COMPANIES INC NEW	S	3,925.08	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/13/2017	75501322 GILBERTSON, JAY	BED BATH & BEYOND INC	S	462.90	0.00	0.00	0.00	80.0	0.00
04/13/2017	75501322 GILBERTSON, JAY	BED BATH & BEYOND INC	S	694.35	0.00	0.00	0.00	80.0	0.00
04/13/2017	75501322 GILBERTSON, JAY	PULTEGROUP INC COM	B	1,475.32	0.00	0.00	0.00	80.0	0.00
04/21/2017	75501322 GILBERTSON, JAY	DISCOVER FINANCIAL SERVICES	S	1,732.51	0.00	0.00	0.00	80.0	0.00
04/21/2017	75501322 GILBERTSON, JAY	INTERPUBLIC GRP CO INC	B	1,422.20	0.00	0.00	0.00	80.0	0.00
04/25/2017	75501322 GILBERTSON, JAY	DANAHER CORPORATION	S	166.76	0.00	0.00	0.00	80.0	0.00
04/25/2017	75501322 GILBERTSON, JAY	DANAHER CORPORATION	S	333.52	0.00	0.00	0.00	80.0	0.00
04/25/2017	75501322 GILBERTSON, JAY	EVERCORE PARTNERS INC CL A	B	788.95	0.00	0.00	0.00	80.0	0.00
04/25/2017	75501322 GILBERTSON, JAY	WESTERN ALLIANCE BANCORPORATION	B	641.21	0.00	0.00	0.00	80.0	0.00
04/04/2017	75501761 WRIGHTON, BRYAN	BIO TECHNE CORP COM	B	401.86	0.00	0.00	0.00	80.0	0.00
04/04/2017	75501761 WRIGHTON, BRYAN	DESCARTES SYSTEMS GROUP INC	B	597.59	0.00	0.00	0.00	80.0	0.00
04/04/2017	75501761 WRIGHTON, BRYAN	FOX FACTORY HOLDING CORP COM	B	312.95	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	ASTRAZENECA PLC EACH ADS REPRESENTI	B	576.78	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	OCCIDENTAL PETE CORP	B	632.60	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	PHILIP MORRIS INTERNATIONAL INC	S	340.57	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	PHILIP MORRIS INTERNATIONAL INC	S	567.61	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	PUBLIC SERVICE ENTERPRISE GROUP INC	B	583.46	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	SOUTHERN CO	S	896.07	0.00	0.00	0.00	80.0	0.00
04/07/2017	75501761 WRIGHTON, BRYAN	TOTAL S A 1 ADR REPRESENTING 1 ORD	B	521.28	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	3M COMPANY	S	380.44	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	BCE INC COM NEW	B	499.32	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	EXXON MOBIL CORPORATION	B	1,083.13	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	JOHNSON CONTROLS INTERNATIONAL PLC	B	1,031.08	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	NOVARTIS AG AMERICAN DEPOSITARY SHA	B	442.07	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	PHILIP MORRIS INTERNATIONAL INC	S	1,246.29	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	THE TRAVELERS COMPANIES INC	S	242.46	0.00	0.00	0.00	80.0	0.00
04/10/2017	75501761 WRIGHTON, BRYAN	WELLTOWER INC COM	B	145.87	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501761 WRIGHTON, BRYAN	PUBLIC SERVICE ENTERPRISE GROUP INC	B	954.24	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501761 WRIGHTON, BRYAN	SOUTHERN CO	S	1,088.33	0.00	0.00	0.00	80.0	0.00
04/12/2017	75501761 WRIGHTON, BRYAN	TJX COMPANIES INC NEW	S	2,847.60	0.00	0.00	0.00	80.0	0.00
04/17/2017	75501761 WRIGHTON, BRYAN	ESCO TECHNOLOGIES INC	B	1,012.50	0.00	0.00	0.00	80.0	0.00
04/20/2017	75501761 WRIGHTON, BRYAN	WD 40 CO	B	209.10	0.00	0.00	0.00	80.0	0.00
04/13/2017	QGN005027 GOLDSMITH, ELY &	GOLDMAN SACHS GROUP INC DEP SHS RES	S	-184,640.00	480.00	0.00	480.00	80.0	384.00
04/13/2017	QGN005027 GOLDSMITH, ELY &	MORGAN STANLEY DEP SHS REPSTG 1/100	S	-163,438.80	420.00	0.00	420.00	80.0	336.00
04/18/2017	QGN005027 GOLDSMITH, ELY &	AMERICAN HOMES 4 RENT PARTICIPATING	B	71,124.75	255.00	0.00	255.00	80.0	204.00
04/18/2017	QGN005027 GOLDSMITH, ELY &	CALLON PETE CO DEL PFD SER A DIV 10	B	105,936.00	210.00	0.00	210.00	80.0	168.00
04/21/2017	QGN005027 GOLDSMITH, ELY &	NATIONAL GEN HLDGS CORP DEPOSITARY	B	25,100.00	120.00	0.00	120.00	80.0	96.00
04/25/2017	QGN005027 GOLDSMITH, ELY &	NATIONAL GEN HLDGS CORP DEPOSITARY	B	100,700.80	390.00	0.00	390.00	80.0	312.00
04/26/2017	QGN005464 RF LAFFERTY & CO	APPLE INC	S	-172,874.04	138.00	0.00	138.00	80.0	110.40
04/26/2017	QGN005464 RF LAFFERTY & CO	MICROSOFT CORP	S	-183,168.00	273.00	0.00	273.00	80.0	218.40

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/26/2017	QGN005464	RF LAFFERTY & CO	ORACLE CORPORATION	S	-183,639.00	399.00	0.00	399.00	80.0	319.20
04/19/2017	QGN005480	MOZAIC LLC	ALPHABET INC CLASS C CAPITAL STOCK	S	-100,870.02	20.00	0.00	20.00	80.0	16.00
04/10/2017	QGN005514	JONATHAN T BARKER	COMPANHIA PARANAESE DE ENERGIA COPE	S	-720.08	40.00	0.00	40.00	80.0	32.00
04/10/2017	QGN005514	JONATHAN T BARKER	VALE S A SPONSORED ADR	S	-4,616.75	90.00	0.00	90.00	80.0	72.00
03/30/2017	QGN005555	HENRY L THOMPSON	COCA COLA COMPANY (THE)	B	42,550.00	50.00	0.00	50.00	80.0	40.00
04/10/2017	QGN005555	HENRY L THOMPSON	GENUINE PARTS CO	B	90,304.00	50.00	0.00	50.00	80.0	40.00
04/17/2017	QGN005555	HENRY L THOMPSON	GENUINE PARTS CO	S	-17,855.00	35.00	0.00	35.00	80.0	28.00
04/17/2017	QGN005753	PROCTOR, JOHN	SCHLUMBERGER LTD	S	-11,688.36	48.00	0.00	48.00	80.0	38.40
04/20/2017	QGN005761	MOZAIC LLC	ALIBABA GROUP HOLDING LTD SPONSORED	B	1,002,814.48	50.00	0.00	50.00	80.0	40.00
04/20/2017	QGN005779	MOZAIC LLC	ALIBABA GROUP HOLDING LTD SPONSORED	B	501,407.24	50.00	0.00	50.00	80.0	40.00
04/05/2017	QGN005811	MARIAN RUSSEL LARKIN	ABBOTT LABORATORIES	S	-4,070.26	40.00	0.00	40.00	80.0	32.00
04/05/2017	QGN005811	MARIAN RUSSEL LARKIN	PROCTER & GAMBLE CO	S	-19,791.97	56.40	0.00	56.40	80.0	45.12
04/05/2017	QGN005811	MARIAN RUSSEL LARKIN	SCHLUMBERGER LTD	S	-3,162.04	40.00	0.00	40.00	80.0	32.00
04/05/2017	QGN005811	MARIAN RUSSEL LARKIN	VERIZON COMMUNICATIONS	S	-4,936.20	42.00	0.00	42.00	80.0	33.60
04/13/2017	QGN005860	HENRY P KENDALL	VANGUARD INDEX FDS VANGUARD SMALL-C	S	-1,000,686.44	459.42	0.00	459.42	80.0	367.54
04/13/2017	QGN005860	HENRY P KENDALL	VANGUARD S&P 500 ETF	S	-3,000,966.19	840.30	0.00	840.30	80.0	672.24
03/31/2017	QGN005936	MICHAEL D EISNER	WALT DISNEY CO	S	-160,905.31	50.00	0.00	50.00	80.0	40.00
04/03/2017	QGN005936	MICHAEL D EISNER	TWITTER INC	B	1,981,230.20	4,020.00	0.00	4,020.00	80.0	3,216.00
04/10/2017	QGN006132	LAWRENCE S	HYDROGENICS CORP NEW COM NEW ISIN#C	S	-25,821.73	354.72	0.00	354.72	80.0	283.78
04/21/2017	QGN006140	MOZAIC LLC	BIOGEN INC	S	-6,800.03	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGN006140	MOZAIC LLC	BIOVERATIV INC COM	S	-685.80	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGN006140	MOZAIC LLC	FORTIVE CORPORATION COM	S	-4,202.81	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGN006140	MOZAIC LLC	GILEAD SCIENCES INC	S	-6,256.23	20.00	0.00	20.00	80.0	16.00
04/21/2017	QGN006140	MOZAIC LLC	LYONDELLBASELL INDUSTRIES N V ORD	S	-6,415.22	20.00	0.00	20.00	80.0	16.00
03/30/2017	QGW031551	HEALY, TIMOTHY	PROSHARES TR ULTRAPRO SHORT S&P500	S	-8,550.10	50.00	0.00	50.00	80.0	40.00
03/30/2017	QGW031551	HEALY, TIMOTHY	TITAN MACHY INC COM	B	14,722.50	120.00	0.00	120.00	80.0	96.00
04/05/2017	QGW031551	HEALY, TIMOTHY	ALLY FINL INC COM	S	-19,450.00	120.00	0.00	120.00	80.0	96.00
04/05/2017	QGW031551	HEALY, TIMOTHY	PROSHARES TR ULTRAPRO SHORT S&P500	S	-8,426.90	90.00	0.00	90.00	80.0	72.00
04/06/2017	QGW031569	HEALY, TIMOTHY	ANTERO RES CORP COM	B	22,998.30	120.00	0.00	120.00	80.0	96.00
* STOCKS SUBTOTAL *					\$219,154.91	\$10,812.32	\$0.00	\$10,812.32		\$8,649.86
PRODUCT CATEGORY: OPTIONS										
04/03/2017	QGW031551	HEALY, TIMOTHY	PUT 100 CARMAX INC COM EXP 01-19-18	B	2,950.00	40.00	0.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *					\$2,950.00	\$40.00	\$0.00	\$40.00		\$32.00
PRODUCT CATEGORY: RIA										
04/18/2017	75501322	GILBERTSON, JAY	FAS FEES	B	-3,687.71	2,235.67	0.00	2,235.67	80.0	1,788.54
04/18/2017	75501761	WRIGHTON, BRYAN	FAS FEES	B	-2,467.27	1,525.22	0.00	1,525.22	80.0	1,220.18
* RIA SUBTOTAL *					\$-6,154.98	\$3,760.89	\$0.00	\$3,760.89		\$3,008.72

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/11/2017	75500245	BLUMENTHAL, MARK	**DEUTSCHE SCIENCE AND	B	0.00	3.75	0.00	3.75	80.0	3.00
04/11/2017	75500245	BLUMENTHAL, MARK	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	1.13	0.00	1.13	80.0	0.90
04/11/2017	75500245	BLUMENTHAL, MARK	PUTNAM 746802800	B	0.00	3.19	0.00	3.19	80.0	2.55
04/11/2017	75500245	BLUMENTHAL, MARK	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.62	0.00	0.62	80.0	0.50
04/11/2017	75500245	BLUMENTHAL, MARK	PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	1.13	0.00	1.13	80.0	0.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$9.82	\$0.00	\$9.82		\$7.85
*** BROKER TRANSACTIONS TOTAL ***					\$215,949.93	\$14,623.03	\$0.00	\$14,623.03		\$11,698.43

BROKER COMMISSION TOTALS

Broker Transactions	\$11,698.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-18.28
SIPC Assessment	\$-274.18

Check Amount	\$11,405.97
Year-to-date Earnings	\$42,741.60