

All Companies
STATEMENT
January 20, 2018

KATIE PEPIN
1990 Main Street #750

Sarasota, FL 34236

BROKER #: 150
BRANCH:

COMMISSION STATEMENT for KATIE PEPIN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/01/2017	#2000334549 PEPIN, THOMAS	C - GLOBAL OPPORTUNITIES PORT	0.00	0.50	93.0	0.00	0.47	0.00	0.47
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$0.50		\$0.00	\$0.47	\$0.00	\$0.47
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/30/2017	#E1075717 KING, ROBERT	PRUDETIAL TRAILS	0.00	98.34	93.0	0.00	91.46	0.00	91.46
11/30/2017	#E1059724 GREEN	PRUDETIAL TRAILS	0.00	17.98	93.0	0.00	16.72	0.00	16.72
11/30/2017	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	31.00	93.0	0.00	28.83	0.00	28.83
11/30/2017	#E0501203 KING, EILEEN	PRUDETIAL TRAILS	0.00	124.00	93.0	0.00	115.32	0.00	115.32
11/30/2017	#E1774734	PRUDETIAL TRAILS	0.00	8.06	93.0	0.00	7.50	0.00	7.50
11/30/2017	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	5.71	93.0	0.00	5.31	0.00	5.31
11/30/2017	#E0495602 KING, EILEEN	PRUDETIAL TRAILS	0.00	22.85	93.0	0.00	21.25	0.00	21.25
11/30/2017	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	122.13	93.0	0.00	113.58	0.00	113.58
11/30/2017	#E0495401 KING, EILEEN	PRUDETIAL TRAILS	0.00	30.53	93.0	0.00	28.39	0.00	28.39
11/30/2017	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	3.94	93.0	0.00	3.66	0.00	3.66
11/30/2017	#E0355069 PITTS, VIRGINIA	PRUDETIAL TRAILS	0.00	15.77	93.0	0.00	14.67	0.00	14.67
11/30/2017	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	2.43	93.0	0.00	2.26	0.00	2.26
11/30/2017	#E0484442 SANDERS REV TRUST,	PRUDETIAL TRAILS	0.00	7.30	93.0	0.00	6.79	0.00	6.79
12/01/2017	#2721574 FOUT, JEAN	JOHN HANCOCK LIFE-TRAILS	0.00	34.98	93.0	0.00	32.53	0.00	32.53
12/22/2017	#N101466130 FOUT, JEAN	NATIONWIDE RENEW	0.00	11.47	93.0	0.00	10.67	0.00	10.67
12/22/2017	#N101514030 FOUT, JEAN	NATIONWIDE RENEW	0.00	3.66	93.0	0.00	3.40	0.00	3.40
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$540.15		\$0.00	\$502.34	\$0.00	\$502.34
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$540.65		\$0.00	\$502.81	\$0.00	\$502.81

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ADJUSTMENTS

01/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
01/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$502.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-248.75
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-244.94</u>
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