



COMMISSION STATEMENT

January 01, 2018

GARRETT MORETZ

181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/27/2017	***-**-071X - HENDRIX, NSPS	HARTFORD CHECKS AND BALANCED FUND C	200.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012263	SELL 20 Units @9.7700						
		* MUTUAL FUNDS SUBTOTAL *	\$200.00	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-071X - HENDRIX, NSPS	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.80	0.00	0.80	82.0	0.66
	ACCOUNT #: LSR012263	BUY 1 Units @0.0000						
12/26/2017	***-**-277I - PECK, GORDON	INTERNATIONAL SMALL COMPANY A	0.00	0.29	0.00	0.29	82.0	0.24
	ACCOUNT #: LSR012201	BUY 1 Units @0.0000						
12/26/2017	***-**-10IR - CLINGON, BILLY	MFS AGGRESSIVE GROWTH ALLOC CL C	0.00	4.08	0.00	4.08	82.0	3.35
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
12/26/2017	***-**-03IR - HINES, WALTER	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	0.95	0.00	0.95	82.0	0.78
	ACCOUNT #: LSR012153	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$6.12	\$0.00	\$6.12		\$5.03
		*** BROKER TRANSACTIONS TOTAL ***	\$200.00	\$6.12	\$18.00	\$-11.88		\$-12.97

BROKER COMMISSION TOTALS

Broker Transactions	\$-12.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-12.97
Year-to-date Earnings	\$-12.97