



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

JOHN ROSS
 7261 W. Central Ave.

 Toledo, OH 43617

BROKER #: R119
 BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/11/2017	SEYMOUR, DONALD	AM FUNDS AMERICAN MUTUAL C	100.00	1.00	0.00	1.00	92.0	0.92
05/15/2017	STEDCKE, EILEEN	AM FUNDS BOND FUND OF AMERICA A	6,490.00	194.70	0.00	194.70	92.0	179.12
05/17/2017	LETT, TRACY	AM FUNDS BOND FUND OF AMERICA A	58,210.08	1,746.30	0.00	1,746.30	92.0	1,606.60
05/08/2017	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.91	0.00	2.91	92.0	2.68
05/10/2017	HENDERSON, BARBARA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	92.0	4.60
05/08/2017	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.91	0.00	2.91	92.0	2.68
05/08/2017	HAUSER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
05/08/2017	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
05/08/2017	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
05/08/2017	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
05/11/2017	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	100.00	1.00	0.00	1.00	92.0	0.92
05/16/2017	GURNEY, ERICA	AM FUNDS NEW WORLD A	25.00	1.25	0.00	1.25	92.0	1.15
05/15/2017	ROSS, MICHELLE L HUDS	AM FUNDS SHORT TERM BND FUND OF AM	1,500.00	30.00	0.00	30.00	92.0	27.60
05/10/2017	HENDERSON, BARBARA	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	92.0	2.30
05/11/2017	SEYMOUR, DONALD	AM FUNDS SMALLCAP WORLD C	100.00	1.00	0.00	1.00	92.0	0.92
05/16/2017	ROSS, MICHELLE L HUDS	AM FUNDS TAX-EXEMPT BOND FUND OF AM	200.00	6.00	0.00	6.00	92.0	5.52
05/11/2017	SEYMOUR, DONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	166.02	1.66	0.00	1.66	92.0	1.53
05/01/2017	ZAREMBA, PATTY	FEDERATED PRIME CASH OBLIG FD CII	0.00	0.12	0.00	0.12	92.0	0.11
05/01/2017	ZAREMBA, PATTY	FEDERATED PRIME CASH OBLIG FD CII	0.00	0.05	0.00	0.05	92.0	0.05
* MUTUAL FUNDS SUBTOTAL *			\$67,716.38	\$2,004.72	\$0.00	\$2,004.72		\$1,844.34
<u>PRODUCT CATEGORY: STOCKS</u>								
05/16/2017	ROSS, JOHN	INTEGRA GOLD CORP COM ISIN#CA45824L	-643.20	0.00	23.20	-23.20	92.0	-21.34
* STOCKS SUBTOTAL *			\$-643.20	\$0.00	\$23.20	\$-23.20		\$-21.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/19/2017	CARMEAN, KATHERINE	AM GEN SEASONS ELITE	36,522.75	91.31	0.00	91.31	92.0	84.01
05/10/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
05/10/2017	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
05/22/2017	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
* VARIABLE ANNUITIES SUBTOTAL *			\$36,852.75	\$109.46	\$0.00	\$109.46		\$100.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	CAMPBELL, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.56	0.00	2.56	92.0	2.36
04/17/2017	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	14.41	0.00	14.41	92.0	13.26
04/18/2017	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.51	0.00	4.51	92.0	4.15
04/21/2017	DRAKE, ERIC	PUTNAM MULTI-CAP VALUE A	0.00	5.67	0.00	5.67	92.0	5.22
04/20/2017	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.14	0.00	0.14	92.0	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$27.29	\$0.00	\$27.29		\$25.12
*** BROKER TRANSACTIONS TOTAL ***			\$103,925.93	\$2,141.47	\$23.20	\$2,118.27		\$1,948.82

VERRIDE TRANSACTIONS**BROKER: THOMAS HALSEY #82**

05/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
05/15/2017	LEININGER, LISA	BLK CONS 0-5 AGE BASED A	25.00	1.25	0.00	1.25	85.0	0.09
05/15/2017	LEROUX, LAURA	BLK MOD 10-12 AGE BASED A	300.00	11.25	0.00	11.25	85.0	0.79
05/10/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
05/22/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
* THOMAS HALSEY SUBTOTAL *			\$450.00	\$19.25	\$0.00	\$19.25		\$1.35

BROKER: ROBERT THOMPSON #83

05/19/2017	DEMPSEY, GLENN	AM FUNDS CAPITAL WORLD GRTH & INC A	3,147.67	157.38	0.00	157.38	90.0	3.15
05/09/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.66	0.00	4.66	90.0	0.09
05/10/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
05/22/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
* ROBERT THOMPSON SUBTOTAL *			\$3,447.67	\$182.30	\$0.00	\$182.30		\$3.64
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,897.67	\$201.55	\$0.00	\$201.55		\$4.99

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,948.82
Split Transactions	\$0.00
Override Transactions	\$4.99
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,953.81
Year-to-date Gross	\$50,377.46
Year-to-date Earnings	\$48,360.79