



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 15, 2018**

07/16/2018  
Page No. 1

DOUGLAS, S123 SNIDER  
615 Park Ave

BROKER #: S123  
BRANCH: DOUGLAS SNIDER

Mukilteo, WA 98275-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/06/2018	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	-250.00	22.00	22.00	0.00	80.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-250.00	\$22.00	\$22.00	\$0.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-250.00	\$22.00	\$22.00	\$0.00		\$0.00
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/15/2018	SARVIS, GAIL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.02	0.00	3.02	80.0	2.42
06/15/2018	MCCONNELL, PAUL	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.97	0.00	15.97	80.0	12.78
06/08/2018	SKALLEY, MICHAEL	CATALYST DYNAMIC ALPHA FUND CLASS A	0.00	4.17	0.00	4.17	80.0	3.34
06/18/2018	CARLSON, JANET	MFS CONSERVATIVE ALLOCATION A	0.00	1.86	0.00	1.86	80.0	1.49
06/18/2018	SOLIDAY, ROBIN	MFS CONSERVATIVE ALLOCATION A	0.00	3.97	0.00	3.97	80.0	3.18
06/18/2018	MCCONNELL, PATRICIA	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	9.49	0.00	9.49	80.0	7.59
06/18/2018	MCCONNELL, PAUL	PRINCIPAL FUNDS SAM BALANCED PORT C	0.00	25.79	0.00	25.79	80.0	20.63
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$64.27	\$0.00	\$64.27		\$51.43
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/29/2018	ROSE TRUST, HEATHER	GENWORTH TRAILS	187,828.75	156.52	0.00	156.52	80.0	125.22
06/29/2018	MANLY, NORMAN	GENWORTH TRAILS	202,016.05	84.17	0.00	84.17	80.0	67.34
06/29/2018	BELLAMY, GARLAND	GENWORTH TRAILS	79,488.71	33.12	0.00	33.12	80.0	26.50
06/29/2018	HART, JOHN	HARTFORD THE DIRECTOR M PLUS	0.00	92.49	0.00	92.49	80.0	73.99
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$469,333.51	\$366.30	\$0.00	\$366.30		\$293.05
		*** DIRECT TRANSACTIONS TOTAL ***	\$469,333.51	\$430.57	\$0.00	\$430.57		\$344.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
07/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
07/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
07/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-594.01

**BROKER COMMISSION TOTALS**

Broker Transactions	\$344.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-594.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-249.53
Year-to-date Gross	\$22,482.96
Year-to-date Earnings	\$17,825.65

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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