



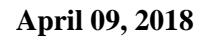
# COMMISSION STATEMENT

April 09, 2018

STANLEY (DARRELL) KEATHLEY  
9724 Kingston Pike, Suite 103  
Knoxville, TN 37934

BROKER #: B07  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u></b>								
03/14/2018	***-**-820J - PFAFF, ROBERT	GWG L BOND - 5 YR BUY 40 Units @1000.0000	40,000.00	2,360.00	0.00	2,360.00	80.0	1,888.00
		<b>* ALTERNATIVE INVESTMENTS SUBTOTAL *</b>	<b>\$40,000.00</b>	<b>\$2,360.00</b>	<b>\$0.00</b>	<b>\$2,360.00</b>		<b>\$1,888.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/09/2018	***-**-820I - PFAFF, SHEILA ACCOUNT #: LSR011998	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	3.32	0.00	3.32	80.0	2.66
04/09/2018	***-**-20IR - PFAFF, SHEILA ACCOUNT #: LSR011999	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	9.49	0.00	9.49	80.0	7.59
04/09/2018	***-**-820J - PFAFF, ROBERT ACCOUNT #: LSR012004	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	6.04	0.00	6.04	80.0	4.83
04/09/2018	***-**-3970 - BISHOP, RICHARD ACCOUNT #: LSR012040	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	80.0	2.78
04/09/2018	***-**-29IR - CLARK, RONALD ACCOUNT #: LSR012121	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	5.59	0.00	5.59	80.0	4.47
04/09/2018	***-**-926I - VONSTEMPA, JOYCE ACCOUNT #: LSR012180	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	80.0	2.37
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$30.88</b>	<b>\$0.00</b>	<b>\$30.88</b>		<b>\$24.70</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$40,000.00</b>	<b>\$2,390.88</b>	<b>\$0.00</b>	<b>\$2,390.88</b>		<b>\$1,912.70</b>



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$1,912.70
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$15.15
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,927.85
						Year-to-date Earnings		\$9,427.91