

Bill Payment Stub

Check Date:	4/25/2018
Check No.:	ACH
Check Amount:	335.72

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: JOYCE BRISKIN
919 ARIZONA ASH
SAN ANTONIO, TX 78232

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	470.72	470.72		470.72
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00

COMMISSION STATEMENT

April 15, 2018

JOYCE BRISKIN-D009

BROKER #: D009

BRANCH: DMO

, TX

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018 (None)	VARIOUS, BRISKIN	MFT DELWARE	B	0.00	62.62	0.00	62.62	90.0	56.36
03/31/2018 (None)	VARIOUS, BRISKIN	MFT NEST ADVISOR	B	0.00	32.54	0.00	32.54	90.0	29.29
03/31/2018 (None)	VARIOUS, BRISKIN	MFT OPPENHEIMER	B	0.00	24.71	0.00	24.71	90.0	22.24
04/01/2018 00002998870	REMICK, NORMA	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.70	0.00	0.70	90.0	0.63
04/02/2018 00046474340	KIMBRELL, NANCY	MFT PUTNAM 746745108	B	0.00	37.97	0.00	37.97	90.0	34.17
04/02/2018 00551152260	UNIF TRANSFER TO	MFT PUTNAM 746745108	B	0.00	0.32	0.00	0.32	90.0	0.29
04/02/2018 00551231899	529 FOR AMERICA,	MFT PUTNAM 529 AGE-BASED 2009-A	B	0.00	6.51	0.00	6.51	90.0	5.86
04/02/2018 00551231902	529 FOR AMERICA,	MFT PUTNAM 529 AGE-BASED 2006-A	B	0.00	6.21	0.00	6.21	90.0	5.59
04/02/2018 00551231904	529 FOR AMERICA,	MFT PUTNAM 529 AGE-BASED 2008-A	B	0.00	6.48	0.00	6.48	90.0	5.83
04/02/2018 00551231907	529 FOR AMERICA,	MFT PUTNAM 529 AGE-BASED 2006-A	B	0.00	6.19	0.00	6.19	90.0	5.57
04/02/2018 00551245484	529 FOR AMERICA,	MFT PUTNAM 529 AGE-BASED 2008-A	B	0.00	18.83	0.00	18.83	90.0	16.95
04/02/2018 00551274544	529 FOR AMERICA,	MFT PUTNAM 529 AGE-BASED 2005-A	B	0.00	6.37	0.00	6.37	90.0	5.73
04/02/2018 00551287150	SMITH,	MFT PUTNAM 529 AGE-BASED 2001-A	B	0.00	88.61	0.00	88.61	90.0	79.75
04/02/2018 00551287155	SMITH,	MFT PUTNAM 529 AGE-BASED 2000-A	B	0.00	96.46	0.00	96.46	90.0	86.81
04/01/2018 03810399026	IKERD, AARON	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	7.49	0.00	7.49	90.0	6.74
04/01/2018 03810613137	SULTEMEIER,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	15.05	0.00	15.05	90.0	13.55
04/01/2018 03810613137	SULTEMEIER,	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	14.17	0.00	14.17	90.0	12.75
04/01/2018 04028967521	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.53	0.00	8.53	90.0	7.68
04/01/2018 04028967521	MARTIN, CHERYL	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	1.79	0.00	1.79	90.0	1.61
04/01/2018 04028968248	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.09	0.00	8.09	90.0	7.28
04/01/2018 04028968248	MARTIN, CHERYL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.65	0.00	7.65	90.0	6.89
04/01/2018 05054640809	PAULSON, NANCY	MFT AIM CHARTER FUND - CLASS A	B	0.00	35.06	0.00	35.06	90.0	31.55
04/01/2018 09898420957	SARSEP,	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.55	0.00	0.55	90.0	0.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$492.90	\$0.00	\$492.90		\$443.62
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
03/29/2018 (None)	KIMBRELL, NANCY	VAT ALLIANZ LIFE	B	0.00	30.11	0.00	30.11	90.0	27.10
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$30.11	\$0.00	\$30.11		\$27.10
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$523.01	\$0.00	\$523.01		\$470.72

BROKER COMMISSION TOTALS

Broker Transactions	\$470.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$470.72
Year-to-date Commissions	\$32,241.80