

COMMISSION STATEMENT

April 30, 2017

FIRM 1-ACCOUNTS

BROKER #:

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/07/2017	QGW002057	MURPHY, JOSEPH	AT&T INC	B	40,756.50	35.00	0.00	35.00	80.0	28.00
04/07/2017	QGW002057	MURPHY, JOSEPH	FRONTIER COMMUNICATIONS CORP	S	-11,972.53	35.00	0.00	35.00	80.0	28.00
04/04/2017	QGW003980	WALKER, ROBERT	DALECO RES CORP COM NEW	S	-150.30	35.00	0.00	35.00	80.0	28.00
04/05/2017	QGW005985	ROTHBEIN, SYLVIA	INCYTE GENOMICS INC	B	28,598.00	28.25	0.00	28.25	80.0	22.60
04/05/2017	QGW014656	FERRANTE, ANTHONY	DRYSHIPS INC COMMON STOCK	S	-2,020.00	35.00	0.00	35.00	80.0	28.00
04/21/2017	QGW021123	SALEM, JESS	EMPIRE RES INC DEL COM	S	-13,924.80	50.00	0.00	50.00	80.0	40.00
04/21/2017	QGW021123	SALEM, JESS	ROSS STORES INC	B	12,875.86	50.00	0.00	50.00	80.0	40.00
* STOCKS SUBTOTAL *					<u>\$54,162.73</u>	<u>\$268.25</u>	<u>\$0.00</u>	<u>\$268.25</u>		<u>\$214.60</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/18/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.25	0.00	2.25	80.0	1.80
04/20/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	5.96	0.00	5.96	80.0	4.77
04/21/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101	B	0.00	0.77	0.00	0.77	80.0	0.62
04/18/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B	B	0.00	55.08	0.00	55.08	80.0	44.06
04/20/2017	QGW021347	ROTHBEIN, HOWARD	OPPENHEIMER DEVELOPING MARKETS FUND B	B	0.00	28.02	0.00	28.02	80.0	22.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$92.08</u>	<u>\$0.00</u>	<u>\$92.08</u>		<u>\$73.67</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$54,162.73</u>	<u>\$360.33</u>	<u>\$0.00</u>	<u>\$360.33</u>		<u>\$288.27</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$288.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.45
SIPC Assessment	\$-6.76

Check Amount	\$281.06
Year-to-date Earnings	\$1,263.55