



COMMISSION STATEMENT

July 23, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/20/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.8200						
07/20/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.17	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @15.1200						
07/20/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @15.1200						
07/20/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.5500						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.8800						
07/09/2018	***-**-835P - BARNETT, ROBERT	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00089237420	BUY 8 Units @13.0200						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.9900						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.7400						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @63.7300						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.4800						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5600						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @51.1800						
07/20/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @44.2700						
* MUTUAL FUNDS SUBTOTAL *			\$199.17	\$2.99	\$0.00	\$2.99		\$2.71



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
07/15/2018	***-**-7979 - THOMPSON, ACCOUNT #: VP60440630	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	500.00	10.00	0.00	10.00	90.0	9.00
07/17/2018	***-**-0795 - SWARTZ, SHARI ACCOUNT #: VP60737990	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
07/17/2018	***-**-921I - OSBORNE, DARIN ACCOUNT #: VP60570240	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,546.50	30.93	0.00	30.93	90.0	27.84
07/17/2018	***-**-0304 - LOUGHRIDGE, ACCOUNT #: VP60638610	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,666.00	24.99	0.00	24.99	90.0	22.49
07/17/2018	***-**-655I - SWARTZ, MICHAEL ACCOUNT #: VP60738000	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	100.00	1.00	0.00	1.00	90.0	0.90
07/20/2018	***-**-8258 - IFG, KELLY,J ACCOUNT #: VP60088260	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	412.00	8.24	0.00	8.24	90.0	7.42
07/20/2018	***-**-209I - SPARACO, MICHAEL ACCOUNT #: VP60570220	PACIFIC LIFE DTCC RENEWAL BUY 0 Units @0.0000	1,510.67	30.21	0.00	30.21	90.0	27.19
07/19/2018	***-**-4862 - BARTOLI, CARL ACCOUNT #: VP61059140	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	186.33	1.86	0.00	1.86	90.0	1.67
07/19/2018	***-**-4862 - BARTOLI, CARL ACCOUNT #: VP61059140	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	186.33	1.84	0.00	1.84	90.0	1.66
07/19/2018	***-**-4862 - BARTOLI, CARL ACCOUNT #: VP61059140	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	230.35	2.28	0.00	2.28	90.0	2.05
07/19/2018	***-**-4862 - BARTOLI, CARL ACCOUNT #: VP61059140	PACIFIC LIFE EXEC II DTCC BUY 0 Units @0.0000	230.35	2.30	0.00	2.30	90.0	2.07
* VARIABLE ANNUITIES SUBTOTAL *			\$6,668.53	\$114.65	\$0.00	\$114.65		\$103.19
PRODUCT CATEGORY: 529 PLAN								
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @56.0800	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @56.0800	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE BOND FUND OF AMERICA-529A BUY 4 Units @13.0600	50.00	1.50	0.00	1.50	90.0	1.35
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE BOND FUND OF AMERICA-529A BUY 4 Units @13.0600	50.00	1.50	0.00	1.50	90.0	1.35



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @57.4800	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @57.4800	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.1300	50.00	1.88	0.00	1.88	90.0	1.69
07/16/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @43.1300	50.00	1.88	0.00	1.88	90.0	1.69
* 529 PLAN SUBTOTAL *			\$400.00	\$14.28	\$0.00	\$14.28		\$12.84
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/23/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	7.23	0.00	7.23	90.0	6.51
07/23/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	7.29	0.00	7.29	90.0	6.56
07/23/2018	***-**-65IO - MAHAMA, NURUDEEN ACCOUNT #: LSR010681	AMCAP FUND-A BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	90.0	0.28
07/23/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	AMCAP FUND-A BUY 1 Units @0.0000	0.00	1.38	0.00	1.38	90.0	1.24
07/23/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	COLUMBIA SELIGMAN COM AND INFO CL A BUY 1 Units @0.0000	0.00	9.99	0.00	9.99	90.0	8.99
07/23/2018	***-**-970I - KLEVER, JAMES	DELAWARE MF TRAILS BUY 0 Units @0.0000	0.00	8.36	0.00	8.36	90.0	7.52
07/17/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	90.0	1.78
07/17/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	3.99	0.00	3.99	90.0	3.59
07/23/2018	***-**-65IO - MAHAMA, NURUDEEN ACCOUNT #: LSR010681	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	90.0	0.73
07/23/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	90.0	0.94
07/17/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	109.64	0.00	109.64	90.0	98.68



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/17/2018	***-**-83IN - BARNETT, ROBERT	GATEWAY FUND CLASS A	0.00	4.22	0.00	4.22	90.0	3.80
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
07/23/2018	***-**-85TR - KELLY TRUST,	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	14.65	0.00	14.65	90.0	13.19
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
07/23/2018	***-**-0726 - DAHL, KEVIN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.61	0.00	0.61	90.0	0.55
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
07/23/2018	***-**-7034 - DAHL, ROXANNE	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.43	0.00	1.43	90.0	1.29
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
07/23/2018	***-**-0726 - DAHL, KEVIN	NEW WORLD FUND-A	0.00	0.23	0.00	0.23	90.0	0.21
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
07/23/2018	***-**-83IN - BARNETT, ROBERT	NEW WORLD FUND-F1	0.00	0.76	0.00	0.76	90.0	0.68
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
07/23/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	702.94	0.00	702.94	90.0	632.66
	ACCOUNT #: 04022010827	BUY 0 Units @0.0000						
07/23/2018	***-**-65IO - MAHAMA, NURUDEEN	SMALLCAP WORLD FUND-A	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
07/23/2018	***-**-0726 - DAHL, KEVIN	SMALLCAP WORLD FUND-A	0.00	0.44	0.00	0.44	90.0	0.40
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
07/23/2018	***-**-65IO - MAHAMA, NURUDEEN	THE BOND FUND OF AMERICA-A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
07/23/2018	***-**-0726 - DAHL, KEVIN	THE BOND FUND OF AMERICA-A	0.00	0.95	0.00	0.95	90.0	0.86
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
07/23/2018	***-**-65IO - MAHAMA, NURUDEEN	THE GROWTH FUND OF AMERICA-A	0.00	0.95	0.00	0.95	90.0	0.86
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
07/23/2018	***-**-0726 - DAHL, KEVIN	THE GROWTH FUND OF AMERICA-A	0.00	2.06	0.00	2.06	90.0	1.85
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
07/23/2018	***-**-65IO - MAHAMA, NURUDEEN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.59	0.00	0.59	90.0	0.53
	ACCOUNT #: LSR010681	BUY 1 Units @0.0000						
07/23/2018	***-**-0726 - DAHL, KEVIN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.88	0.00	1.88	90.0	1.69
	ACCOUNT #: LSR107409	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$884.69	\$0.00	\$884.69		\$796.25



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/15/2018	***--2409 - MONTAG, JEFFREY	PACIFIC LIFE DTCC RENEWAL	0.00	6.06	0.00	6.06	90.0	5.45
	ACCOUNT #: VP60324190	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$6.06	\$0.00	\$6.06		\$5.45
		*** BROKER TRANSACTIONS TOTAL ***	\$7,267.70	\$1,022.67	\$0.00	\$1,022.67		\$920.44

BROKER COMMISSION TOTALS

Broker Transactions	\$457.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$920.44
Year-to-date Earnings	\$228,148.04