

**COMMISSION STATEMENT****April 15, 2018**DAVID KOTZ
601 Mine Brook RdBROKER #: K135 Terminated 05/04/2017
BRANCH: DAVID KOTZ

Far Hills, NJ 07931

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.00	0.00	0.00	0.0	0.00
03/09/2018	VON DER LINDE, PETER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.00	0.00	0.00	0.0	0.00
03/09/2018	KREISWIRTH, SUZANNE	SUNAMERICA US GOVT SECURITIES CL C	0.00	0.00	0.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>								
03/22/2018	GALBRAITH, LORRAINE	***BNP PARIBAS US MTN IDX ZRO	48,480.00	1,120.00	28.00	1,092.00	0.0	0.00
03/22/2018	GALBRAITH, LORRAINE	***BNP PARIBAS US MTN IDX ZRO	-48,480.00	-1,120.00	12.00	-1,132.00	0.0	0.00
03/22/2018	GALBRAITH, LORRAINE	***BNP PARIBAS US MTN IDX ZRO	49,600.00	28.00	28.00	0.00	0.0	0.00
* BONDS SUBTOTAL *			\$49,600.00	\$28.00	\$68.00	\$-40.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$49,600.00	\$28.00	\$68.00	\$-40.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$39.87
Year-to-date Earnings	\$0.00