



COMMISSION STATEMENT

March 12, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
03/06/2018	***-**-2708 - GEIMER, PAUL	NATIONWIDE NEXT GENERATION II	250.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: N101782740	BUY 1 Units @250.0000						
03/06/2018	***-**-60IN - HUNGERFORD,	NATIONWIDE YOURLIFE PROTECTION VUL	150.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: N550070100	BUY 1 Units @150.0000						
03/06/2018	***-**-60IN - HUNGERFORD,	NATIONWIDE YOURLIFE PROTECTION VUL	150.00	1.50	0.00	1.50	70.0	1.05
	ACCOUNT #: N550070100	BUY 1 Units @150.0000						
* LIFE INSURANCE SUBTOTAL *			\$550.00	\$8.00	\$0.00	\$8.00		\$5.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	0.00	11.24	0.00	11.24	70.0	7.87
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	AMCAP FUND-A	0.00	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	0.00	3.47	0.00	3.47	70.0	2.43
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-492I - SELL, MICHAEL	AMCAP FUND-A	0.00	1.85	0.00	1.85	70.0	1.30
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	AMCAP FUND-A	0.00	1.85	0.00	1.85	70.0	1.30
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	1.25	0.00	1.25	70.0	0.88
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						
03/01/2018	***-**-755I - ROSTAD, HOWARD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	0.84	0.00	0.84	70.0	0.59
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/01/2018	***-**-492I - SELL, MICHAEL	AMERICAN HIGH-INCOME TRUST-A	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
03/01/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	0.00	5.42	0.00	5.42	70.0	3.79
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	AMERICAN MUTUAL FUND-A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	0.00	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-755I - ROSTAD, HOWARD	AMERICAN MUTUAL FUND-A	0.00	10.33	0.00	10.33	70.0	7.23
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	CAPITAL INCOME BUILDER-A	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-492I - SELL, MICHAEL	CAPITAL INCOME BUILDER-A	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	CAPITAL INCOME BUILDER-A	0.00	0.93	0.00	0.93	70.0	0.65
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
03/01/2018	***-**-875P - LANGILL,	CAPITAL INCOME BUILDER-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
03/01/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.48	0.00	5.48	70.0	3.84
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
03/01/2018	***-**-492I - SELL, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.75	0.00	1.75	70.0	1.23
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.75	0.00	1.75	70.0	1.23
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
03/01/2018	***-**-875P - LANGILL,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.14	0.00	0.14	70.0	0.10
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
03/12/2018	***-**-0737 - SCHROEDER, JACK	DELAWARE EXTENDED DURATION BOND FUN	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
03/01/2018	***-**-875P - LANGILL,	EUROPACIFIC GROWTH FUND-A	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
03/01/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	0.00	12.22	0.00	12.22	70.0	8.55
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	FUNDAMENTAL INVESTORS-A	0.00	1.30	0.00	1.30	70.0	0.91
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	0.00	3.29	0.00	3.29	70.0	2.30
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-875P - LANGILL,	FUNDAMENTAL INVESTORS-A	0.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	GLOBAL GROWTH PORTFOLIO-A	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-755I - ROSTAD, HOWARD	GLOBAL GROWTH PORTFOLIO-A	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/12/2018	***-**-5594 - BISHOP, SHANNON	MASS INVESTORS GROWTH STOCK FUND-A	0.00	0.96	0.00	0.96	70.0	0.67
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
03/12/2018	***-**-0737 - SCHROEDER, JACK	MFS DIVERSIFIED INCOME FD CL A	0.00	10.64	0.00	10.64	70.0	7.45
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
03/12/2018	***-**-514I - BERGIN, JOSEPH	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
03/12/2018	***-**-0737 - SCHROEDER, JACK	MFS EMERGING MARKETS DEBT FUND-A	0.00	2.98	0.00	2.98	70.0	2.09
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
03/12/2018	***-**-5712 - RAMSEY, GUSSIE	MFS EMERGING MARKETS DEBT FUND-A	0.00	1.21	0.00	1.21	70.0	0.85
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	NEW PERSPECTIVE FUND-A	0.00	4.37	0.00	4.37	70.0	3.06
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						
03/01/2018	***-**-755I - ROSTAD, HOWARD	NEW PERSPECTIVE FUND-A	0.00	6.06	0.00	6.06	70.0	4.24
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	PRESERVATION PORTFOLIO-A	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						
03/01/2018	***-**-755I - ROSTAD, HOWARD	PRESERVATION PORTFOLIO-A	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/01/2018	***-**-492I - SELL, MICHAEL	SMALLCAP WORLD FUND-A	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	SMALLCAP WORLD FUND-A	0.00	0.52	0.00	0.52	70.0	0.36
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	THE BOND FUND OF AMERICA-A	0.00	3.27	0.00	3.27	70.0	2.29
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						
03/01/2018	***-**-755I - ROSTAD, HOWARD	THE BOND FUND OF AMERICA-A	0.00	1.58	0.00	1.58	70.0	1.11
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/01/2018	***-**-492I - SELL, MICHAEL	THE BOND FUND OF AMERICA-A	0.00	1.46	0.00	1.46	70.0	1.02
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	THE BOND FUND OF AMERICA-A	0.00	1.46	0.00	1.46	70.0	1.02
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	0.00	11.90	0.00	11.90	70.0	8.33
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	0.00	3.33	0.00	3.33	70.0	2.33
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	THE GROWTH FUND OF AMERICA-A	0.00	2.67	0.00	2.67	70.0	1.87
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						
03/01/2018	***-**-755I - ROSTAD, HOWARD	THE GROWTH FUND OF AMERICA-A	0.00	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00073613202	BUY 0 Units @0.0000						
03/01/2018	***-**-875P - LANGILL,	THE GROWTH FUND OF AMERICA-A	0.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	0.00	2.93	0.00	2.93	70.0	2.05
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-875P - LANGILL,	THE INCOME FUND OF AMERICA-A	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: 00082197082	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	THE INVESTMENT COMPANY OF AMERICA-A	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	3.46	0.00	3.46	70.0	2.42
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	0.00	12.40	0.00	12.40	70.0	8.68
	ACCOUNT #: 00063922927	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	THE NEW ECONOMY FUND-A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	0.00	3.38	0.00	3.38	70.0	2.37
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-515P - BAUMANN, ROBERT	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.35	0.00	1.35	70.0	0.95
	ACCOUNT #: 00063922931	BUY 0 Units @0.0000						
03/01/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.47	0.00	3.47	70.0	2.43
	ACCOUNT #: 00063922933	BUY 0 Units @0.0000						
03/01/2018	***-**-1654 - ROSTAD, BARABARA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	15.25	0.00	15.25	70.0	10.68
	ACCOUNT #: 00073080525	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
03/01/2018	***-**-492I - SELL, MICHAEL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.64	0.00	2.64	70.0	1.85
	ACCOUNT #: 00075946748	BUY 0 Units @0.0000						
03/01/2018	***-**-579I - SELL, PATRICIA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.64	0.00	2.64	70.0	1.85
	ACCOUNT #: 00075946796	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$181.81	\$0.00	\$181.81		\$127.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/12/2018	***-**-0235 - RKS, PLUMBING &	JOHN HANCOCK VA TRAILS	0.00	799.42	0.00	799.42	70.0	559.59
	ACCOUNT #: 26983	BUY 1 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$799.42	\$0.00	\$799.42		\$559.59
		*** BROKER TRANSACTIONS TOTAL ***	\$550.00	\$989.23	\$0.00	\$989.23		\$692.50

BROKER COMMISSION TOTALS

Broker Transactions	\$692.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00