



COMMISSION STATEMENT

January 31, 2017

REBECCA FREEMAN
125 High Rock Ave
Suite 103
Saratoga Springs, NY 12866

BROKER #: F124
BRANCH: REBECCA FREEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
01/19/2017	ALPER, PETER	ASSETMARK	98,714.51	304.16	0.00	304.16	90.0	273.74
01/19/2017	ALPER, PETER	ASSETMARK	166,315.22	204.98	0.00	204.98	90.0	184.48
01/19/2017	ALPER, PETER	ASSETMARK	31,189.39	96.10	0.00	96.10	90.0	86.49
01/19/2017	IPPOLITO, LISA	ASSETMARK	309,972.83	725.88	0.00	725.88	90.0	653.29
01/19/2017	IPPOLITO, LISA	ASSETMARK	320,728.54	395.30	0.00	395.30	90.0	355.77
01/19/2017	LUNT, MARAJORIE	ASSETMARK	377,915.87	465.78	0.00	465.78	90.0	419.20
01/19/2017	LUNT, MARAJORIE	ASSETMARK	102,476.34	247.80	0.00	247.80	90.0	223.02
01/19/2017	LUNT, MARAJORIE	ASSETMARK	20,209.35	49.82	0.00	49.82	90.0	44.84
01/23/2017	ANDERSON, CAROLYN	SAWTOOTH	0.00	257.45	0.00	257.45	90.0	231.71
01/23/2017	BERNARD, CRAIG	SAWTOOTH	0.00	180.03	0.00	180.03	90.0	162.03
01/23/2017	BERNARD, CRAIG	SAWTOOTH	0.00	650.60	0.00	650.60	90.0	585.54
01/23/2017	COATS, STEVEN	SAWTOOTH	0.00	1,146.84	0.00	1,146.84	90.0	1,032.16
01/23/2017	COATS, STEVEN	SAWTOOTH	0.00	1,007.35	0.00	1,007.35	90.0	906.62
01/23/2017	HALL, WILMA	SAWTOOTH	0.00	72.23	0.00	72.23	90.0	65.01
01/23/2017	HAWKE, SHARON	SAWTOOTH	0.00	735.21	0.00	735.21	90.0	661.69
01/23/2017	HAWKE, SHARON	SAWTOOTH	0.00	78.60	0.00	78.60	90.0	70.74
01/23/2017	KNOWLTON, JACKSON	SAWTOOTH	0.00	126.72	0.00	126.72	90.0	114.05
01/23/2017	MARTINEZ, YVONNE	SAWTOOTH	0.00	30.36	0.00	30.36	90.0	27.32
01/23/2017	MCCLANAHAN, ARTHUR	SAWTOOTH	0.00	1,114.94	0.00	1,114.94	90.0	1,003.45
01/23/2017	RADER, FRANK	SAWTOOTH	0.00	771.48	0.00	771.48	90.0	694.33
01/23/2017	STERN, AMELIA	SAWTOOTH	0.00	516.21	0.00	516.21	90.0	464.59
01/23/2017	STERN, JONATHAN	SAWTOOTH	0.00	303.68	0.00	303.68	90.0	273.31
01/23/2017	WILSON, GEORGE	SAWTOOTH	0.00	39.78	0.00	39.78	90.0	35.80
01/23/2017	WILSON, GEORGE	SAWTOOTH	0.00	296.86	0.00	296.86	90.0	267.17
01/23/2017	WILSON, DELORES	SAWTOOTH	0.00	52.69	0.00	52.69	90.0	47.42
* RIA SUBTOTAL *			\$1,427,522.05	\$9,870.85	\$0.00	\$9,870.85		\$8,883.77

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
		*** BROKER TRANSACTIONS TOTAL ***	\$1,427,522.05	\$9,870.85	\$0.00	\$9,870.85		\$8,883.77

ADJUSTMENTS								
01/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-28.28
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-28.28

BROKER COMMISSION TOTALS	
Broker Transactions	\$8,883.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,855.49
Year-to-date Gross	\$9,870.85
Year-to-date Earnings	\$8,883.77

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