



COMMISSION STATEMENT

January 31, 2017

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
01/25/2017	ARNETT, DANIEL	FINANICAL PLANNING	0.00	2,000.00	0.00	2,000.00	90.0	1,800.00
01/18/2017	ASHLEY, JONATHON	TD AMERITRADE	26,638.46	66.60	0.00	66.60	90.0	59.94
01/18/2017	BABICKY, SHERRY	TD AMERITRADE	168,811.60	844.06	0.00	844.06	90.0	759.65
01/18/2017	BABICKY, THOMAS	TD AMERITRADE	64,708.07	323.54	0.00	323.54	90.0	291.19
01/18/2017	BABICKY, THOMAS	TD AMERITRADE	51,907.20	559.54	0.00	559.54	90.0	503.59
01/18/2017	BACHAND, JERI	TD AMERITRADE	5,085.19	25.43	0.00	25.43	90.0	22.89
01/18/2017	BAHR, JEFFREY	TD AMERITRADE	51,606.31	129.02	0.00	129.02	90.0	116.12
01/18/2017	BARNES, JUDITH	TD AMERITRADE	93,102.10	465.51	0.00	465.51	90.0	418.96
01/18/2017	BARRETT, JOANNE	TD AMERITRADE	35,775.86	89.44	0.00	89.44	90.0	80.50
01/18/2017	BARRETT, PERRY	TD AMERITRADE	1,936.10	4.84	0.00	4.84	90.0	4.36
01/18/2017	BECKER JR, JOHN	TD AMERITRADE	24,532.28	860.40	0.00	860.40	90.0	774.36
01/18/2017	BECKER JR, JOHN	TD AMERITRADE	25,389.58	114.25	0.00	114.25	90.0	102.83
01/18/2017	BERNHARDT, ROBERT	TD AMERITRADE	100,416.12	502.08	0.00	502.08	90.0	451.87
01/18/2017	BIRKHOLZ, WILLIAM	TD AMERITRADE	25.71	725.13	0.00	725.13	90.0	652.62
01/18/2017	BIRKHOLZ, WILLIAM	TD AMERITRADE	58,762.00	293.81	0.00	293.81	90.0	264.43
01/18/2017	BIRKHOLZ, GAY	TD AMERITRADE	60,494.32	302.47	0.00	302.47	90.0	272.22
01/18/2017	BODVEN, BRUCE	TD AMERITRADE	62,131.43	660.66	0.00	660.66	90.0	594.59
01/18/2017	BODVEN, BRUCE	TD AMERITRADE	18,787.35	93.94	0.00	93.94	90.0	84.55
01/18/2017	BODVEN, DEBRA	TD AMERITRADE	2,732.48	13.66	0.00	13.66	90.0	12.29
01/18/2017	BOHN, DONALD	TD AMERITRADE	46,604.02	408.02	0.00	408.02	90.0	367.22
01/18/2017	BOHN, DONALD	TD AMERITRADE	68,556.82	342.78	0.00	342.78	90.0	308.50
01/18/2017	BOHN, MARY	TD AMERITRADE	35.96	0.18	0.00	0.18	90.0	0.16
01/18/2017	BOHN, MARY	TD AMERITRADE	6,234.65	31.17	0.00	31.17	90.0	28.05
01/18/2017	BOHN, JAMES	TD AMERITRADE	81,702.20	468.51	0.00	468.51	90.0	421.66
01/18/2017	BOHN, JAMES	TD AMERITRADE	2,326.53	11.63	0.00	11.63	90.0	10.47
01/18/2017	BOHN, JAMES	TD AMERITRADE	367.73	1.84	0.00	1.84	90.0	1.66

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	BOHN, JAMES	TD AMERITRADE	131,978.42	659.89	0.00	659.89	90.0	593.90
01/18/2017	BOHN, LISA	TD AMERITRADE	564.06	2.82	0.00	2.82	90.0	2.54
01/18/2017	BOLAND, ELIZABETH	TD AMERITRADE	5,963.46	29.82	0.00	29.82	90.0	26.84
01/18/2017	BOLAND, TERRENCE	TD AMERITRADE	88,802.91	744.01	0.00	744.01	90.0	669.61
01/18/2017	BOLAND, TERRENCE	TD AMERITRADE	10,106.22	25.27	0.00	25.27	90.0	22.74
01/18/2017	BOLAND, TERRENCE	TD AMERITRADE	105,012.56	525.06	0.00	525.06	90.0	472.55
01/18/2017	BROWNE, KATHRYN	TD AMERITRADE	500.01	500.00	0.00	500.00	90.0	450.00
01/18/2017	BRUNNER, KENNETH	TD AMERITRADE	175.11	175.00	0.00	175.00	90.0	157.50
01/18/2017	CAPSTRAW, JAN	TD AMERITRADE	9,910.86	37.17	0.00	37.17	90.0	33.45
01/18/2017	CAPSTRAW, RONALD	TD AMERITRADE	9,925.74	37.22	0.00	37.22	90.0	33.50
01/18/2017	CAPSTRAW, RONALD	TD AMERITRADE	36,077.43	135.29	0.00	135.29	90.0	121.76
01/18/2017	CRAWFORD, JEANNE	TD AMERITRADE	7,164.71	26.87	0.00	26.87	90.0	24.18
01/18/2017	CRAWFORD, JEANNE	TD AMERITRADE	29,218.83	109.57	0.00	109.57	90.0	98.61
01/18/2017	CRAWFORD, JEANNE	TD AMERITRADE	6,327.77	23.73	0.00	23.73	90.0	21.36
01/18/2017	CRAWFORD, TIMOTHY	TD AMERITRADE	69,005.93	258.77	0.00	258.77	90.0	232.89
01/18/2017	CRAWFORD, TIMOTHY	TD AMERITRADE	32,621.67	122.33	0.00	122.33	90.0	110.10
01/18/2017	CRAWFORD, TIMOTHY	TD AMERITRADE	25,432.57	95.37	0.00	95.37	90.0	85.83
01/18/2017	DEHAHN, PHIL	TD AMERITRADE	13,214.44	66.07	0.00	66.07	90.0	59.46
01/18/2017	DUCHESNEAU, PHYLLIS	TD AMERITRADE	333,078.09	1,540.49	0.00	1,540.49	90.0	1,386.44
01/18/2017	DUCHESNEAU, PHYLLIS	TD AMERITRADE	117,961.13	545.57	0.00	545.57	90.0	491.01
01/18/2017	DUCZAK, MICHAEL	TD AMERITRADE	2,119.83	10.60	0.00	10.60	90.0	9.54
01/18/2017	DUCZAK, MICHAEL	TD AMERITRADE	74,958.69	374.79	0.00	374.79	90.0	337.31
01/18/2017	DUCZAK, SUSAN	TD AMERITRADE	33,377.63	166.89	0.00	166.89	90.0	150.20
01/18/2017	EBERLE, LINDA	TD AMERITRADE	24,219.52	121.10	0.00	121.10	90.0	108.99
01/18/2017	EBERLE, PHILLIP	TD AMERITRADE	23,932.10	119.66	0.00	119.66	90.0	107.69
01/18/2017	EIFERT, BEVERLY	TD AMERITRADE	57,075.61	285.38	0.00	285.38	90.0	256.84
01/18/2017	ESCHENBAUCH, PAULA	TD AMERITRADE	23.78	0.00	0.00	0.00	90.0	0.00
01/18/2017	ESCHENBAUCH, PAULA	TD AMERITRADE	106,698.41	533.49	0.00	533.49	90.0	480.14
01/18/2017	ESCHENBAUCH, PAULA	TD AMERITRADE	6,411.65	27.82	0.00	27.82	90.0	25.04
01/18/2017	FALBO, DIANE	TD AMERITRADE	73,137.65	265.12	0.00	265.12	90.0	238.61
01/18/2017	FALBO, LEWIS	TD AMERITRADE	232.45	3,000.84	0.00	3,000.84	90.0	2,700.76
01/18/2017	FALBO, LEWIS	TD AMERITRADE	212,634.46	770.80	0.00	770.80	90.0	693.72
01/18/2017	FISHER, ARLENE	TD AMERITRADE	25,812.32	129.06	0.00	129.06	90.0	116.15

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
01/18/2017	FISHER, ARLENE	TD AMERITRADE	4,873.08	24.37	0.00	24.37	90.0	21.93
01/18/2017	FISHER, ARLENE	TD AMERITRADE	119,834.95	599.17	0.00	599.17	90.0	539.25
01/18/2017	FLETCHER, JEWEL	TD AMERITRADE	9,338.82	46.69	0.00	46.69	90.0	42.02
01/18/2017	FRAID, JEROME	TD AMERITRADE	26,799.58	134.00	0.00	134.00	90.0	120.60
01/18/2017	FRYE, DONNA	TD AMERITRADE	11,339.72	28.35	0.00	28.35	90.0	25.52
01/18/2017	FRYE, RONALD	TD AMERITRADE	10,382.28	25.96	0.00	25.96	90.0	23.36
01/18/2017	GAROFALO, MATTHEW	TD AMERITRADE	3,807.55	19.04	0.00	19.04	90.0	17.14
01/18/2017	GARSKI, JERRY	TD AMERITRADE	5,386.05	26.93	0.00	26.93	90.0	24.24
01/18/2017	GARSKI, JERRY	TD AMERITRADE	8,438.75	42.19	0.00	42.19	90.0	37.97
01/18/2017	GILBERT, DENNIS	TD AMERITRADE	83,968.03	419.84	0.00	419.84	90.0	377.86
01/18/2017	GILBERT, DENNIS	TD AMERITRADE	0.88	124.12	0.00	124.12	90.0	111.71
01/18/2017	GILBERT, JUDY	TD AMERITRADE	27,338.50	136.69	0.00	136.69	90.0	123.02
01/18/2017	GREIG, AMY	TD AMERITRADE	30,728.21	153.64	0.00	153.64	90.0	138.28
01/18/2017	GREIG, AMY	TD AMERITRADE	53,417.14	267.09	0.00	267.09	90.0	240.38
01/18/2017	GREIG, PETER	TD AMERITRADE	8,058.82	40.29	0.00	40.29	90.0	36.26
01/18/2017	GREIG, PETER	TD AMERITRADE	1,122.82	5.61	0.00	5.61	90.0	5.05
01/18/2017	GRIFFITH, SARA	TD AMERITRADE	8,751.95	143.76	0.00	143.76	90.0	129.38
01/18/2017	GRIFFITH, SARA	TD AMERITRADE	18,570.54	92.85	0.00	92.85	90.0	83.57
01/18/2017	GRIFFITH, SARA	TD AMERITRADE	9,570.01	47.85	0.00	47.85	90.0	43.07
01/18/2017	GRIFFITH, CRAIG	TD AMERITRADE	61,430.88	307.15	0.00	307.15	90.0	276.44
01/18/2017	HALL, SUZANNE	TD AMERITRADE	706.93	2.65	0.00	2.65	90.0	2.39
01/18/2017	HALL, SUZANNE	TD AMERITRADE	65.12	0.24	0.00	0.24	90.0	0.22
01/18/2017	HAMILTON, RONALD	TD AMERITRADE	28.03	0.07	0.00	0.07	90.0	0.06
01/18/2017	HAMILTON, RONALD	TD AMERITRADE	185,048.36	462.62	0.00	462.62	90.0	416.36
01/18/2017	HAMILTON, RONALD	TD AMERITRADE	4,335.58	10.84	0.00	10.84	90.0	9.76
01/18/2017	HAMILTON, RONALD	TD AMERITRADE	19,669.07	724.17	0.00	724.17	90.0	651.75
01/18/2017	HARRIS, BILLY	TD AMERITRADE	0.00	400.00	0.00	400.00	90.0	360.00
01/18/2017	HARRIS, BILLY	TD AMERITRADE	25,189.53	125.95	0.00	125.95	90.0	113.36
01/18/2017	HARTGERINK, BRETT	TD AMERITRADE	78,406.68	567.03	0.00	567.03	90.0	510.33
01/18/2017	HARTGERINK, BRETT	TD AMERITRADE	17,484.81	87.42	0.00	87.42	90.0	78.68
01/18/2017	HARTGERINK, BRETT	TD AMERITRADE	78,844.58	394.22	0.00	394.22	90.0	354.80
01/18/2017	HARTGERINK, EDWARD	TD AMERITRADE	35,858.16	539.65	0.00	539.65	90.0	485.69
01/18/2017	HARTGERINK, EDWARD	TD AMERITRADE	121,298.44	303.25	0.00	303.25	90.0	272.93

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	HARTGERINK, EDWARD	TD AMERITRADE	57,774.43	144.44	0.00	144.44	90.0	130.00
01/18/2017	HARTMAN, EDWARD	TD AMERITRADE	317.48	150.00	0.00	150.00	90.0	135.00
01/18/2017	HELD, LADON	TD AMERITRADE	21,210.69	92.80	0.00	92.80	90.0	83.52
01/18/2017	HELD, LADON	TD AMERITRADE	3,846.79	16.83	0.00	16.83	90.0	15.15
01/18/2017	HELD, RONALD	TD AMERITRADE	31,639.13	138.42	0.00	138.42	90.0	124.58
01/18/2017	HELD, RONALD	TD AMERITRADE	10,733.38	46.96	0.00	46.96	90.0	42.26
01/18/2017	HOOTEN, ROBERT	TD AMERITRADE	9,081.90	45.41	0.00	45.41	90.0	40.87
01/18/2017	HOOTEN, AUDREY	TD AMERITRADE	4,591.78	22.96	0.00	22.96	90.0	20.66
01/18/2017	HORNACEK, JOSEPH	TD AMERITRADE	44,377.30	827.51	0.00	827.51	90.0	744.76
01/18/2017	HORNACEK, JOSEPH	TD AMERITRADE	17,066.19	68.26	0.00	68.26	90.0	61.43
01/18/2017	HORNACEK, JOSEPH	TD AMERITRADE	48,645.73	194.58	0.00	194.58	90.0	175.12
01/18/2017	HOSS, GAIL	TD AMERITRADE	1.37	230.01	0.00	230.01	90.0	207.01
01/18/2017	HOSS, GAIL	TD AMERITRADE	37,370.79	186.85	0.00	186.85	90.0	168.17
01/18/2017	HUDEC, JOANNE	TD AMERITRADE	9,613.21	48.07	0.00	48.07	90.0	43.26
01/18/2017	HUDEC, JOANNE	TD AMERITRADE	158.68	400.79	0.00	400.79	90.0	360.71
01/18/2017	ISENBERG, PAUL	TD AMERITRADE	145,030.81	362.58	0.00	362.58	90.0	326.32
01/18/2017	ISENBERG, PAUL	TD AMERITRADE	49,186.15	122.97	0.00	122.97	90.0	110.67
01/18/2017	ISON, ELLEN	TD AMERITRADE	21,472.87	53.68	0.00	53.68	90.0	48.31
01/18/2017	ISON, ELLEN	TD AMERITRADE	1,223.76	3.06	0.00	3.06	90.0	2.75
01/18/2017	ISON, ELLEN	TD AMERITRADE	26,167.93	65.42	0.00	65.42	90.0	58.88
01/18/2017	JARVIS, RICHARD	TD AMERITRADE	29,816.32	509.17	0.00	509.17	90.0	458.25
01/18/2017	JARVIS, RICHARD	TD AMERITRADE	72,292.84	325.32	0.00	325.32	90.0	292.79
01/18/2017	JEANQUART, ROBERT	TD AMERITRADE	164,218.27	1,059.51	0.00	1,059.51	90.0	953.56
01/18/2017	JEANQUART, ROBERT	TD AMERITRADE	145.10	0.00	0.00	0.00	90.0	0.00
01/18/2017	JENSEN, ANITA	TD AMERITRADE	0.00	200.00	0.00	200.00	90.0	180.00
01/18/2017	JENSEN, ANITA	TD AMERITRADE	6,596.95	32.98	0.00	32.98	90.0	29.68
01/18/2017	KAEDING, HAROLD	TD AMERITRADE	63,125.82	315.63	0.00	315.63	90.0	284.07
01/18/2017	KAEDING, HAROLD	TD AMERITRADE	258,814.38	1,247.04	0.00	1,247.04	90.0	1,122.34
01/18/2017	KALINSKI, BARBARA	TD AMERITRADE	1,318.86	6.59	0.00	6.59	90.0	5.93
01/18/2017	KALINSKI, EDWARD	TD AMERITRADE	4,532.31	172.66	0.00	172.66	90.0	155.39
01/18/2017	KALINSKI, EDWARD	TD AMERITRADE	58,373.60	291.87	0.00	291.87	90.0	262.68
01/18/2017	KALINSKI, EDWARD	TD AMERITRADE	8,221.66	41.11	0.00	41.11	90.0	37.00
01/18/2017	KARKOW, THOMAS	TD AMERITRADE	91,592.64	457.96	0.00	457.96	90.0	412.16

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	KASDORF, JOSEPH	TD AMERITRADE	36,734.47	358.67	0.00	358.67	90.0	322.80
01/18/2017	KASDORF, JOSEPH	TD AMERITRADE	23,342.41	116.71	0.00	116.71	90.0	105.04
01/18/2017	KELLOGG, MARIANN	TD AMERITRADE	37,318.08	186.59	0.00	186.59	90.0	167.93
01/18/2017	KELLOGG, MICHAEL	TD AMERITRADE	0.66	700.00	0.00	700.00	90.0	630.00
01/18/2017	KELLOGG, MICHAEL	TD AMERITRADE	8,693.01	43.47	0.00	43.47	90.0	39.12
01/18/2017	KELLOGG, MICHAEL	TD AMERITRADE	27,452.67	137.26	0.00	137.26	90.0	123.53
01/18/2017	KELM, MARY	TD AMERITRADE	255,567.43	1,277.84	0.00	1,277.84	90.0	1,150.06
01/18/2017	KIELPINSKI, ANTHONY	TD AMERITRADE	74,457.02	497.29	0.00	497.29	90.0	447.56
01/18/2017	KLUENDER, KURT	TD AMERITRADE	10,221.36	51.11	0.00	51.11	90.0	46.00
01/18/2017	KLUENDER, KURT	TD AMERITRADE	43,077.52	215.39	0.00	215.39	90.0	193.85
01/18/2017	KLUENDER, MARK	TD AMERITRADE	26,864.15	134.32	0.00	134.32	90.0	120.89
01/18/2017	KLUENDER, MARK	TD AMERITRADE	5,380.79	26.90	0.00	26.90	90.0	24.21
01/18/2017	KLUENDER, MARK	TD AMERITRADE	131.51	125.66	0.00	125.66	90.0	113.09
01/18/2017	KLUENDER, MARK	TD AMERITRADE	461.45	2.31	0.00	2.31	90.0	2.08
01/18/2017	KOBER, JUDITH	TD AMERITRADE	33,728.94	168.64	0.00	168.64	90.0	151.78
01/18/2017	KOBER, GARY	TD AMERITRADE	518.31	502.59	0.00	502.59	90.0	452.33
01/18/2017	KONARSKE, GARY	TD AMERITRADE	0.80	500.00	0.00	500.00	90.0	450.00
01/18/2017	KONARSKE, GARY	TD AMERITRADE	49,228.60	227.68	0.00	227.68	90.0	204.91
01/18/2017	KONARSKE, LESLIE	TD AMERITRADE	13,219.24	61.14	0.00	61.14	90.0	55.03
01/18/2017	KRESCANKO, MARIE	TD AMERITRADE	0.70	300.00	0.00	300.00	90.0	270.00
01/18/2017	KRESCANKO, MARIE	TD AMERITRADE	13,423.80	67.12	0.00	67.12	90.0	60.41
01/18/2017	KRIEDERMANN, ROBERT	TD AMERITRADE	80,190.19	400.95	0.00	400.95	90.0	360.86
01/18/2017	LANDREMAN, THOMAS	TD AMERITRADE	110,547.51	552.74	0.00	552.74	90.0	497.47
01/18/2017	LUCAS, LINDA	TD AMERITRADE	21,479.14	107.40	0.00	107.40	90.0	96.66
01/18/2017	LUCAS, MICHAEL	TD AMERITRADE	16.14	0.08	0.00	0.08	90.0	0.07
01/18/2017	LUCAS, MICHAEL	TD AMERITRADE	23,038.40	115.19	0.00	115.19	90.0	103.67
01/18/2017	LUCAS, MICHAEL	TD AMERITRADE	59,801.76	299.01	0.00	299.01	90.0	269.11
01/18/2017	MARKSON, JEROME	TD AMERITRADE	980.98	204.29	0.00	204.29	90.0	183.86
01/18/2017	MARKSON, JEROME	TD AMERITRADE	3,218.16	14.08	0.00	14.08	90.0	12.67
01/18/2017	MARKSON, JEROME	TD AMERITRADE	66,870.66	292.56	0.00	292.56	90.0	263.30
01/18/2017	MARKSON, JEROME	TD AMERITRADE	15,405.62	67.40	0.00	67.40	90.0	60.66
01/18/2017	MAYER, DORIS	TD AMERITRADE	7,280.75	36.40	0.00	36.40	90.0	32.76
01/18/2017	MCFADDEN, CORRINE	TD AMERITRADE	86,534.28	432.67	0.00	432.67	90.0	389.40

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	MCFADDEN, JAMES	TD AMERITRADE	4,114.71	20.57	0.00	20.57	90.0	18.51
01/18/2017	MCFADDEN, JAMES	TD AMERITRADE	400.38	400.00	0.00	400.00	90.0	360.00
01/18/2017	MILLER, JANICE	TD AMERITRADE	93,951.42	644.76	0.00	644.76	90.0	580.28
01/18/2017	MILLER, JANICE	TD AMERITRADE	24,998.51	124.99	0.00	124.99	90.0	112.49
01/18/2017	MUELLER, ROMAYNE	TD AMERITRADE	9,152.45	45.76	0.00	45.76	90.0	41.18
01/18/2017	MUELLER, THOMAS	TD AMERITRADE	0.95	225.00	0.00	225.00	90.0	202.50
01/18/2017	MYERS, ELLEN	TD AMERITRADE	32,009.07	160.05	0.00	160.05	90.0	144.05
01/18/2017	MYERS, ELLEN	TD AMERITRADE	27,605.84	138.03	0.00	138.03	90.0	124.23
01/18/2017	MYERS, ELLEN	TD AMERITRADE	7,705.16	38.53	0.00	38.53	90.0	34.68
01/18/2017	NICOLAZZI, JOYCE	TD AMERITRADE	43,717.41	343.59	0.00	343.59	90.0	309.23
01/18/2017	NICOLAZZI, JOYCE	TD AMERITRADE	98,623.06	493.12	0.00	493.12	90.0	443.81
01/18/2017	NICOLAZZI, RICHARD	TD AMERITRADE	483.41	1.21	0.00	1.21	90.0	1.09
01/18/2017	NICOLAZZI, RICHARD	TD AMERITRADE	3.43	0.02	0.00	0.02	90.0	0.02
01/18/2017	NONDORF, DENNIS	TD AMERITRADE	39.78	0.20	0.00	0.20	90.0	0.18
01/18/2017	NONDORF, DENNIS	TD AMERITRADE	32,345.57	161.73	0.00	161.73	90.0	145.56
01/18/2017	OERTEL, CLIFFORD	TD AMERITRADE	13,572.46	67.86	0.00	67.86	90.0	61.07
01/18/2017	OERTEL, SUSAN	TD AMERITRADE	88,578.39	942.89	0.00	942.89	90.0	848.60
01/18/2017	PAULICK, BERNARD	TD AMERITRADE	1,970.66	309.85	0.00	309.85	90.0	278.87
01/18/2017	PAULICK, BERNARD	TD AMERITRADE	49,949.27	249.75	0.00	249.75	90.0	224.78
01/18/2017	PAULICK, IRENE	TD AMERITRADE	11,013.06	55.07	0.00	55.07	90.0	49.56
01/18/2017	PAULICK, IRENE	TD AMERITRADE	3,555.41	17.78	0.00	17.78	90.0	16.00
01/18/2017	PECKMAN, MARCIA	TD AMERITRADE	44,063.33	220.32	0.00	220.32	90.0	198.29
01/18/2017	PECKMAN, ROGER	TD AMERITRADE	16,841.61	784.21	0.00	784.21	90.0	705.79
01/18/2017	PECKMAN, ROGER	TD AMERITRADE	14,050.72	70.25	0.00	70.25	90.0	63.23
01/18/2017	PELLIZZARI, FRANK	TD AMERITRADE	53,506.47	367.53	0.00	367.53	90.0	330.78
01/18/2017	PELLIZZARI, FRANK	TD AMERITRADE	34,291.26	171.46	0.00	171.46	90.0	154.31
01/18/2017	PERONE, PASQUALE	TD AMERITRADE	47,580.03	720.06	0.00	720.06	90.0	648.05
01/18/2017	PERONE, PASQUALE	TD AMERITRADE	10,209.29	47.22	0.00	47.22	90.0	42.50
01/18/2017	PETERMAN, RANDALL	TD AMERITRADE	8,550.38	39.55	0.00	39.55	90.0	35.60
01/18/2017	PETERMAN, RANDALL	TD AMERITRADE	141,517.00	654.52	0.00	654.52	90.0	589.07
01/18/2017	PETERMAN, THERESA	TD AMERITRADE	17,048.92	78.85	0.00	78.85	90.0	70.97
01/18/2017	PETERMAN, THERESA	TD AMERITRADE	283,448.46	1,310.95	0.00	1,310.95	90.0	1,179.86
01/18/2017	PETERSEN, THOMAS	TD AMERITRADE	467.98	2.34	0.00	2.34	90.0	2.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	POVKOVICH, DOROTHY	TD AMERITRADE	20,122.81	250.61	0.00	250.61	90.0	225.55
01/18/2017	POVKOVICH, DOROTHY	TD AMERITRADE	39,330.06	196.65	0.00	196.65	90.0	176.99
01/18/2017	POVKOVICH, DOROTHY	TD AMERITRADE	160,795.56	803.98	0.00	803.98	90.0	723.58
01/18/2017	POVKOVICH, GLENN	TD AMERITRADE	239,507.03	1,197.54	0.00	1,197.54	90.0	1,077.79
01/18/2017	POVKOVICH, GLENN	TD AMERITRADE	4,072.76	20.36	0.00	20.36	90.0	18.32
01/18/2017	RACK, JOAN	TD AMERITRADE	0.00	550.00	0.00	550.00	90.0	495.00
01/18/2017	RACK, JOAN	TD AMERITRADE	755.38	0.94	0.00	0.94	90.0	0.85
01/18/2017	REDMOND, LOIS	TD AMERITRADE	6,659.16	33.30	0.00	33.30	90.0	29.97
01/18/2017	REDMOND, LOIS	TD AMERITRADE	45,096.37	225.48	0.00	225.48	90.0	202.93
01/18/2017	REDMOND, LOIS	TD AMERITRADE	18,800.33	94.00	0.00	94.00	90.0	84.60
01/18/2017	ROGERS, WILMA	TD AMERITRADE	0.96	250.00	0.00	250.00	90.0	225.00
01/18/2017	ROGERS, WILMA	TD AMERITRADE	64,659.69	323.30	0.00	323.30	90.0	290.97
01/18/2017	ROSE, PETER	TD AMERITRADE	273,135.02	1,365.68	0.00	1,365.68	90.0	1,229.11
01/18/2017	ROWLANDS, GAYLE	TD AMERITRADE	108,677.24	543.39	0.00	543.39	90.0	489.05
01/18/2017	ROWLANDS, GAYLE	TD AMERITRADE	39,845.06	199.23	0.00	199.23	90.0	179.31
01/18/2017	RUBIN, KENNETH	TD AMERITRADE	81,466.04	407.33	0.00	407.33	90.0	366.60
01/18/2017	RUFFOLO, BARBARA	TD AMERITRADE	4,932.41	699.66	0.00	699.66	90.0	629.69
01/18/2017	RUFFOLO, BARBARA	TD AMERITRADE	36,215.17	181.08	0.00	181.08	90.0	162.97
01/18/2017	RUFFOLO, BENNY	TD AMERITRADE	4,875.91	24.38	0.00	24.38	90.0	21.94
01/18/2017	RUFFOLO, BENNY	TD AMERITRADE	26,559.52	132.80	0.00	132.80	90.0	119.52
01/18/2017	RUGH, BARBARA	TD AMERITRADE	7,189.71	35.95	0.00	35.95	90.0	32.36
01/18/2017	RUGH, BARBARA	TD AMERITRADE	162,925.76	814.63	0.00	814.63	90.0	733.17
01/18/2017	RUGH, DENNIS	TD AMERITRADE	17,858.49	89.29	0.00	89.29	90.0	80.36
01/18/2017	RUSCH, ROGER	TD AMERITRADE	6,729.43	31.12	0.00	31.12	90.0	28.01
01/18/2017	RUSCH, ROGER	TD AMERITRADE	116,480.83	538.72	0.00	538.72	90.0	484.85
01/18/2017	RUSCH, ROGER	TD AMERITRADE	253,486.35	1,172.37	0.00	1,172.37	90.0	1,055.13
01/18/2017	RUSCH, JACOB	TD AMERITRADE	86,261.82	431.31	0.00	431.31	90.0	388.18
01/18/2017	RUSCH, ROGER	TD AMERITRADE	6,436.24	16.09	0.00	16.09	90.0	14.48
01/18/2017	RUSCH, JOSHUA	TD AMERITRADE	58,692.87	346.73	0.00	346.73	90.0	312.06
01/18/2017	SALVATORE, MARGUERITE	TD AMERITRADE	1,538.71	7.69	0.00	7.69	90.0	6.92
01/18/2017	SCHULDt, CATHERINE	TD AMERITRADE	94,316.15	471.58	0.00	471.58	90.0	424.42
01/18/2017	SCHULDt, JAMES	TD AMERITRADE	20,717.46	103.59	0.00	103.59	90.0	93.23
01/18/2017	SLYFIELD, DORIS	TD AMERITRADE	30,118.46	150.59	0.00	150.59	90.0	135.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	SMITH, BETTY	TD AMERITRADE	40,359.19	201.80	0.00	201.80	90.0	181.62
01/18/2017	SMITH, BETTY	TD AMERITRADE	24,333.51	121.67	0.00	121.67	90.0	109.50
01/18/2017	SOENS, JAMES	TD AMERITRADE	785.77	3.93	0.00	3.93	90.0	3.54
01/18/2017	SOENS, JAMES	TD AMERITRADE	7,741.27	38.71	0.00	38.71	90.0	34.84
01/18/2017	SOENS, LYNNE	TD AMERITRADE	15,490.14	77.45	0.00	77.45	90.0	69.71
01/18/2017	SOENS, LYNNE	TD AMERITRADE	3,142.87	15.71	0.00	15.71	90.0	14.14
01/18/2017	SOENS, LYNNE	TD AMERITRADE	17,767.80	313.84	0.00	313.84	90.0	282.46
01/18/2017	SORENSEN SR, ROBERT	TD AMERITRADE	6.90	550.03	0.00	550.03	90.0	495.03
01/18/2017	SORENSEN SR, ROBERT	TD AMERITRADE	80,029.94	400.15	0.00	400.15	90.0	360.14
01/18/2017	SPERER, MARION	TD AMERITRADE	44,528.94	222.64	0.00	222.64	90.0	200.38
01/18/2017	SPERER, MARION	TD AMERITRADE	4,727.68	23.64	0.00	23.64	90.0	21.28
01/18/2017	STULAC, JAMES	TD AMERITRADE	52,228.49	261.14	0.00	261.14	90.0	235.03
01/18/2017	SUS, SANDRA	TD AMERITRADE	26,513.29	257.57	0.00	257.57	90.0	231.81
01/18/2017	SUS, SANDRA	TD AMERITRADE	97,659.20	488.30	0.00	488.30	90.0	439.47
01/18/2017	SUS, SANDRA	TD AMERITRADE	15,239.83	76.20	0.00	76.20	90.0	68.58
01/18/2017	SUWALSKI, PATRICK	TD AMERITRADE	16,890.51	84.45	0.00	84.45	90.0	76.01
01/18/2017	TASKONIS, RICHARD	TD AMERITRADE	24,129.18	270.65	0.00	270.65	90.0	243.59
01/18/2017	TASKONIS, RICHARD	TD AMERITRADE	69,492.04	347.46	0.00	347.46	90.0	312.71
01/18/2017	THOMPSON, CAROL	TD AMERITRADE	28,192.29	140.96	0.00	140.96	90.0	126.86
01/18/2017	THOMPSON, CAROL	TD AMERITRADE	5,198.17	25.99	0.00	25.99	90.0	23.39
01/18/2017	THOMPSON, MICHAEL	TD AMERITRADE	49,026.19	445.13	0.00	445.13	90.0	400.62
01/18/2017	THOMPSON, MICHAEL	TD AMERITRADE	15,140.21	75.70	0.00	75.70	90.0	68.13
01/18/2017	THOMSON, LYNN	TD AMERITRADE	383.08	1.92	0.00	1.92	90.0	1.73
01/18/2017	THOMSON, RUTH	TD AMERITRADE	5,260.78	26.30	0.00	26.30	90.0	23.67
01/18/2017	THOMSON, RUTH	TD AMERITRADE	24,716.90	123.58	0.00	123.58	90.0	111.22
01/18/2017	TORNER, LYNN	TD AMERITRADE	0.80	350.00	0.00	350.00	90.0	315.00
01/18/2017	TORNER, LYNN	TD AMERITRADE	8,887.75	44.44	0.00	44.44	90.0	40.00
01/18/2017	URBUSH, HELEN	TD AMERITRADE	28,765.59	71.91	0.00	71.91	90.0	64.72
01/18/2017	URBUSH, HELEN	TD AMERITRADE	15,657.54	39.14	0.00	39.14	90.0	35.23
01/18/2017	URBUSH, HELEN	TD AMERITRADE	2,106.12	5.27	0.00	5.27	90.0	4.74
01/18/2017	WAYO, DARLENE	TD AMERITRADE	45.89	750.23	0.00	750.23	90.0	675.21
01/18/2017	WAYO, DARLENE	TD AMERITRADE	45,711.87	228.56	0.00	228.56	90.0	205.70
01/18/2017	WELLMAN, JAMES	TD AMERITRADE	27,728.91	138.64	0.00	138.64	90.0	124.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2017	WENDT, RENNE	TD AMERITRADE	14,079.46	70.40	0.00	70.40	90.0	63.36
01/18/2017	WENDT, WILLIAM	TD AMERITRADE	35,221.50	176.11	0.00	176.11	90.0	158.50
01/18/2017	ZARBOCK, CYNTHIA	TD AMERITRADE	52,450.66	262.25	0.00	262.25	90.0	236.03
01/18/2017	ZARBOCK, JEFFREY	TD AMERITRADE	9,492.75	47.46	0.00	47.46	90.0	42.71
01/18/2017	ZUPEK, STEVEN	TD AMERITRADE	169,713.79	424.28	0.00	424.28	90.0	381.85
* RIA SUBTOTAL *			\$10,568,656.98	\$71,033.98	\$0.00	\$71,033.98		\$63,930.69
*** BROKER TRANSACTIONS TOTAL ***			\$10,568,656.98	\$71,033.98	\$0.00	\$71,033.98		\$63,930.69

VERRIDE TRANSACTIONS**BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90**

01/23/2017	LOCKIE, MEGAN	SAWTOOTH	0.00	19.42	0.00	19.42	0.0	17.48
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$0.00	\$19.42	\$0.00	\$19.42		\$17.48
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$19.42	\$0.00	\$19.42		\$17.48

ADJUSTMENTS

01/31/2017	*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00						-18.05
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$-18.05

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BROKER COMMISSION TOTALS

Broker Transactions	\$63,930.69
Split Transactions	\$0.00
Override Transactions	\$17.48
Adjustments	\$-18.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$63,930.12
Year-to-date Gross	\$87,256.64
Year-to-date Earnings	\$78,548.58