



All Companies

06/28/2018

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COMMISSION STATEMENT

July 02, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/31/2018	TRAILS	EATON VANCE - GENERAL	B	22.17	22.17	0.00	22.17	90.0	19.95
06/15/2018	00067657556 GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00067657556 GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/20/2018	00087480178 BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	B	90.00	1.80	0.00	1.80	90.0	1.62
06/20/2018	00087480178 BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME MUNI	B	90.00	1.80	0.00	1.80	90.0	1.62
06/20/2018	00087480178 BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A	B	60.00	1.20	0.00	1.20	90.0	1.08
06/20/2018	00087480178 BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL	B	60.00	1.20	0.00	1.20	90.0	1.08
* MUTUAL FUNDS SUBTOTAL *				\$422.17	\$29.17	\$0.00	\$29.17		\$26.25
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	0.28	0.28	0.00	0.28	90.0	0.25
* STOCKS SUBTOTAL *				\$0.28	\$0.28	\$0.00	\$0.28		\$0.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/22/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	558.42	558.42	0.00	558.42	90.0	502.58
05/31/2018	TRAILS	GENWORTH LIFE ANNUITY	B	401.91	401.91	0.00	401.91	90.0	361.72
06/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	164.63	164.63	0.00	164.63	90.0	148.17
* VARIABLE ANNUITIES SUBTOTAL *				\$1,124.96	\$1,124.96	\$0.00	\$1,124.96		\$1,012.47
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	12706161 HALVORSON, DAVID E	SIGNAL SECURITIES RIA	B	0.00	382.66	0.00	382.66	90.0	344.39
04/13/2018	37791527 NORIS, SCOTT A	SIGNAL SECURITIES RIA	B	0.00	531.34	0.00	531.34	90.0	478.21
04/13/2018	82968618 BROWN, JACKIE L	SIGNAL SECURITIES RIA	B	0.00	89.34	0.00	89.34	90.0	80.41
* RIA SUBTOTAL *				\$0.00	\$1,003.34	\$0.00	\$1,003.34		\$903.01
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/02/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	63.57	0.00	63.57	90.0	57.21
07/02/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	55.26	0.00	55.26	90.0	49.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$118.83	\$0.00	\$118.83		\$106.95
*** BROKER TRANSACTIONS TOTAL ***				\$1,547.41	\$2,276.58	\$0.00	\$2,276.58		\$2,048.93

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

07/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,048.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,921.43
Year-to-date Earnings	\$80,186.96