



# COMMISSION STATEMENT

## February 12, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/01/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @27.3400						
02/07/2018	***-**-809I - RICH, JOSEPH	RESOURCE REAL ESTATES-NFS	20,800.00	1,144.00	18.00	1,126.00	70.0	788.20
	ACCOUNT #: LSR010668	BUY 2000 Units @10.4000						
02/07/2018	***-**-069I - RICH, PATRICIA	RESOURCE REAL ESTATES-NFS	17,000.00	935.00	18.00	917.00	70.0	641.90
	ACCOUNT #: LSR010684	BUY 1635 Units @10.4000						
* MUTUAL FUNDS SUBTOTAL *			\$37,900.00	\$2,084.00	\$36.00	\$2,048.00		\$1,433.60
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
02/09/2018	***-**-76IN - FENGLER, KERI	SECURITY BENEFIT LIFE VUL	0.00	11.23	0.00	11.23	70.0	7.86
		BUY 1 Units @0.0000						
02/09/2018	***-**-64IN - ELKEN, HEATHER	SECURITY BENEFIT LIFE VUL	0.00	13.45	0.00	13.45	70.0	9.42
	ACCOUNT #: 4749064	BUY 1 Units @0.0000						
02/09/2018	***-**-6989 - IDDINGS, NANCY	SECURITY BENEFIT LIFE VUL	0.00	73.00	0.00	73.00	70.0	51.10
		BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$97.68	\$0.00	\$97.68		\$68.38
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
02/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.84	0.00	0.84	70.0	0.59
	ACCOUNT #: 01488781475	BUY 0 Units @0.0000						
02/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	1.16	0.00	1.16	70.0	0.81
	ACCOUNT #: 01488781509	BUY 0 Units @0.0000						
02/07/2018	***-**-721O - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	1.15	0.00	1.15	70.0	0.81
	ACCOUNT #: 01488781533	BUY 0 Units @0.0000						
02/07/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
02/07/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.12	0.00	1.12	70.0	0.78
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
02/07/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.96	0.00	1.96	70.0	1.37
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

February 12, 2018

DAVID BRODER  
143 Longfellow Rd  
Shelton, CT 06484

BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	2.35	0.00	2.35	70.0	1.65
	ACCOUNT #: 01445267972	BUY 0 Units @0.0000						
02/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV MF A1	0.00	1.39	0.00	1.39	70.0	0.97
	ACCOUNT #: 01445268004	BUY 0 Units @0.0000						
02/07/2018	***-**-472I - PARADIS, URSULA	FIDELITY ADV STRATEGIC INCOME CL A	0.00	2.98	0.00	2.98	70.0	2.09
	ACCOUNT #: 01455315984	BUY 0 Units @0.0000						
02/07/2018	***-**-839X - D'AMICO, AMY	FIDELITY ADV STRATEGIC INCOME CL A	0.00	0.58	0.00	0.58	70.0	0.41
	ACCOUNT #: 03900210291	BUY 0 Units @0.0000						
02/07/2018	***-**-1111 - ROMANOVSKY,	FIDELITY ADV TOTAL BOND-CL T	0.00	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: 01456178423	BUY 0 Units @0.0000						
02/07/2018	***-**-49IN - TALLO, ROSE	FIDELITY ADV TRAILS 31580T877	0.00	0.08	0.00	0.08	70.0	0.06
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$17.41</b>	<b>\$0.00</b>	<b>\$17.41</b>		<b>\$12.20</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
02/03/2018	***-**-00IN - BRANT, LORI	PACIFIC LIFE VOYAGES	0.00	6.37	0.00	6.37	70.0	4.46
	ACCOUNT #: VR13005736	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$6.37</b>	<b>\$0.00</b>	<b>\$6.37</b>		<b>\$4.46</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$37,900.00</b>	<b>\$2,205.46</b>	<b>\$36.00</b>	<b>\$2,169.46</b>		<b>\$1,518.64</b>



BROKER #: 198  
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,518.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,518.64
						Year-to-date Earnings		\$1,518.64