



Concorde Investment Services, LLC

04/18/2017  
Page No. 1

# COMMISSION STATEMENT

March 31, 2017

JIM LUND  
2060 Huntington Drive  
Suite 1  
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015  
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	0.00
03/06/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
03/06/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
03/06/2017	HERNANDEZ, MARIA	FA 529 PORT 2025 A	200.00	10.00	0.00	10.00	0.0	0.00
03/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	0.00
03/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	0.00
03/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	0.00
03/06/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
03/15/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
03/06/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
03/07/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	650.00	18.20	0.00	18.20	0.0	0.00
03/17/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
03/09/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	0.00
03/07/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	0.00
03/07/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	0.00
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	17.15	0.00	17.15	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,650.00	\$117.23	\$0.00	\$117.23		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,650.00	\$117.23	\$0.00	\$117.23		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$3,335.04</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>