



Concorde Investment Services, LLC

07/27/2017
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COMMISSION STATEMENT

July 31, 2017

BARTHOLOMEW MACKLER
1359 W. Fairview Lane

BROKER #: M121
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/30/2017	MACKLER, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	53.36	0.00	53.36	90.0	48.02
06/30/2017	MACKLER, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	311.55	0.00	311.55	90.0	280.40
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$364.91	\$0.00	\$364.91		\$328.42
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	4.61	0.00	4.61	90.0	4.15
07/05/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	15.36	0.00	15.36	90.0	13.82
07/05/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	4.61	0.00	4.61	90.0	4.15
07/05/2017	CASGRAIN, GAYL	ALLIANZ MI 529 AGE-BASED PTF (0-8)	0.00	15.36	0.00	15.36	90.0	13.82
07/05/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.54	0.00	2.54	90.0	2.29
07/05/2017	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.54	0.00	2.54	90.0	2.29
07/18/2017	HARRISON, DAVID	AM FUNDS BOND FUND OF AMERICA 529A	50.00	1.00	0.00	1.00	90.0	0.90
07/10/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
07/10/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
07/17/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	90.0	0.90
07/19/2017	HARRISON, SHARON	AM FUNDS FUNDAMENTAL INVESTORS 529A	50.00	1.00	0.00	1.00	90.0	0.90
07/17/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
07/18/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
07/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.00	0.00	2.00	90.0	1.80
07/18/2017	HARRISON, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
07/19/2017	HARRISON, SHARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.00	0.00	1.00	90.0	0.90
07/17/2017	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	7.50	0.00	7.50	90.0	6.75
07/18/2017	HARRISON, SHARON	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	90.0	0.90
07/18/2017	HARRISON, DAVID	AM FUNDS NEW PERSPECTIVE 529A	50.00	1.00	0.00	1.00	90.0	0.90
07/10/2017	DEEB, DANUTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	90.0	2.48
07/06/2017	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	17.41	0.00	17.41	90.0	15.67
07/06/2017	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.67	0.00	7.67	90.0	6.90

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/06/2017	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	7.61	0.00	7.61	90.0	6.85
07/03/2017	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.19	0.00	4.19	90.0	3.77
07/03/2017	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	12.33	0.00	12.33	90.0	11.10
07/03/2017	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	10.05	0.00	10.05	90.0	9.05
07/03/2017	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND B	0.00	3.69	0.00	3.69	90.0	3.32
07/03/2017	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	4.30	0.00	4.30	90.0	3.87
07/03/2017	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	18.95	0.00	18.95	90.0	17.06
07/03/2017	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	0.80	0.00	0.80	90.0	0.72
07/03/2017	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	6.69	0.00	6.69	90.0	6.02
07/03/2017	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.83	0.00	0.83	90.0	0.75
07/03/2017	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.23	0.00	4.23	90.0	3.81
07/03/2017	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	14.40	0.00	14.40	90.0	12.96
07/03/2017	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	8.27	0.00	8.27	90.0	7.44
07/03/2017	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	14.00	0.00	14.00	90.0	12.60
07/03/2017	NICHOLS, THOMAS	DAVIS OPPORTUNITY B	0.00	8.79	0.00	8.79	90.0	7.91
07/17/2017	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.20	0.00	1.20	90.0	1.08
07/17/2017	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.72	0.00	0.72	90.0	0.65
07/17/2017	HABTE, BISLAT	JH FINANCIAL INDUSTRIES A	0.00	3.40	0.00	3.40	90.0	3.06
07/17/2017	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.68	0.00	6.68	90.0	6.01
07/17/2017	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.02	0.00	3.02	90.0	2.72
07/17/2017	ABERRA, KONJIT	JH REGIONAL BANK A	0.00	2.16	0.00	2.16	90.0	1.94
07/05/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	1.55	0.00	1.55	90.0	1.40
07/05/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	5.16	0.00	5.16	90.0	4.64
07/05/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	1.55	0.00	1.55	90.0	1.40
07/05/2017	CASGRAIN, GAYL	MI 529 ADVISOR CAPITAL PRESERVATION	0.00	5.16	0.00	5.16	90.0	4.64
* MUTUAL FUNDS SUBTOTAL *			\$1,050.00	\$246.58	\$0.00	\$246.58		\$221.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/13/2017	SANDERS, OLIVER	AM GEN POLARIS CHOICE III	58,077.76	145.19	0.00	145.19	90.0	130.67
* VARIABLE ANNUITIES SUBTOTAL *			\$58,077.76	\$145.19	\$0.00	\$145.19		\$130.67
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/14/2017	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	90.0	0.59
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$65.00	\$0.65	\$0.00	\$0.65		\$0.59

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/14/2017	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	13.06	0.00	13.06	90.0	11.75
07/14/2017	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	21.16	0.00	21.16	90.0	19.04
07/14/2017	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.90	0.00	5.90	90.0	5.31
07/14/2017	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	15.22	0.00	15.22	90.0	13.70
07/14/2017	BERRY, DONNA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.42	0.00	7.42	90.0	6.68
07/14/2017	BERRY, GARY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	7.42	0.00	7.42	90.0	6.68
07/14/2017	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.13	0.00	6.13	90.0	5.52
07/14/2017	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.64	0.00	2.64	90.0	2.38
07/14/2017	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	11.15	0.00	11.15	90.0	10.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$90.10	\$0.00	\$90.10		\$81.10
*** BROKER TRANSACTIONS TOTAL ***			\$59,192.76	\$847.43	\$0.00	\$847.43		\$762.73

BROKER COMMISSION TOTALS

Broker Transactions	\$762.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$762.73
Year-to-date Gross	\$54,830.67
Year-to-date Earnings	\$54,560.01

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