



COMMISSION STATEMENT

January 15, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/31/2017	***-**-427I - FISHMAN, ALAN	INTERNATIONAL SMALL COMPANY A	0.00	62.24	0.00	62.24	75.0	46.68
	ACCOUNT #: 08150696707	BUY 0 Units @0.0000						
12/31/2017	***-**-427I - FISHMAN, ALAN	MAIN STREET FUND A	0.00	27.08	0.00	27.08	75.0	20.31
	ACCOUNT #: 07004434301	BUY 0 Units @0.0000						
12/31/2017	***-**-427I - FISHMAN, ALAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	50.86	0.00	50.86	75.0	38.15
	ACCOUNT #: 07852994145	BUY 0 Units @0.0000						
12/31/2017	***-**-427I - FISHMAN, ALAN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	25.76	0.00	25.76	75.0	19.32
	ACCOUNT #: 02512697684	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$165.94	\$0.00	\$165.94		\$124.46
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$165.94	\$0.00	\$165.94		\$124.46

BROKER COMMISSION TOTALS

Broker Transactions	\$124.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$124.46
Year-to-date Earnings	\$2,924.21