

All Companies
STATEMENT
August 20, 2018

BEN REYNOSO
222 N Garden St #300
SUITE 620
Visalia, CA 93291

BROKER #: 174
BRANCH:

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/01/0018	#105797 TMS & ASSOC 401K	ADP 4812A4153	11,152.36	4.74	94.0	0.00	4.46	0.00	4.46
05/01/0018	#105797 TMS & ASSOC 401K	ADP 4812A4195	6,538.18	2.78	94.0	0.00	2.61	0.00	2.61
05/01/0018	#105399 BASIC INDUSTRIES	ADP 74149P580	3,406.09	0.72	94.0	0.00	0.68	0.00	0.68
06/09/0018	#0049157621	BLCKRCK	0.00	20.77	94.0	0.00	19.52	0.00	19.52
06/09/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	4.94	94.0	0.00	4.64	0.00	4.64
06/09/0018	#0049157621	BLCKRCK	0.00	23.38	94.0	0.00	21.98	0.00	21.98
06/09/0018	#0049157621	BLCKRCK	0.00	6.92	94.0	0.00	6.50	0.00	6.50
06/09/0018	#0047818448 COUNSEL, TR	BLCKRCK	0.00	0.03	94.0	0.00	0.03	0.00	0.03
06/09/0018	#0048450324 TRUST COMPANY,	BLCKRCK	0.00	0.03	94.0	0.00	0.03	0.00	0.03
06/09/0018	#0001226331 YOUNG, MICH	BLCKRCK	0.00	1.65	94.0	0.00	1.55	0.00	1.55
06/09/0018	#0049157621	BLCKRCK	0.00	7.79	94.0	0.00	7.32	0.00	7.32
06/09/0018	#0047895412 TRUST COMPANY,	BLCKRCK	0.00	1.28	94.0	0.00	1.20	0.00	1.20
04/30/0201	#105797 TMS & ASSOC 401K	ADP 4812A4153	9,981.71	4.10	94.0	0.00	3.85	0.00	3.85
04/30/0201	#105797 TMS & ASSOC 401K	ADP 4812A4195	6,535.20	2.69	94.0	0.00	2.53	0.00	2.53
04/30/0201	#105399 BASIC INDUSTRIES	ADP 74149P580	3,105.67	0.64	94.0	0.00	0.60	0.00	0.60
06/30/0201	#105797 TMS & ASSOC 401K	ADP 4812A4153	12,247.68	5.03	94.0	0.00	4.73	0.00	4.73
06/30/0201	#105797 TMS & ASSOC 401K	ADP 4812A4195	6,556.31	2.69	94.0	0.00	2.53	0.00	2.53
06/30/0201	#105399 BASIC INDUSTRIES	ADP 74149P580	3,742.67	0.77	94.0	0.00	0.72	0.00	0.72
06/30/0201	#074480003530 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	2.73	94.0	0.00	2.57	0.00	2.57
06/30/0201	#074480003828 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	8.58	94.0	0.00	8.07	0.00	8.07
06/30/0201	#074480019676 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	0.00	0.60	94.0	0.00	0.56	0.00	0.56
06/30/0201	#070440060258 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	5.21	94.0	0.00	4.90	0.00	4.90
06/30/0201	#070440064322 & TRUST,	COLUMBIA SMALLCAP INDEX FUND A	0.00	11.51	94.0	0.00	10.82	0.00	10.82
06/30/0201	#074190002397 & TRUST, ARTIS	JP MORGAN	0.00	4.02	94.0	0.00	3.78	0.00	3.78
06/30/0201	#074190009086 & TRUST, ARTIS	JP MORGAN	0.00	0.24	94.0	0.00	0.23	0.00	0.23
06/30/0201	#067860000212 &TRUPLAN	NUVEEN MID CAP VALUE A	0.00	25.41	94.0	0.00	23.89	0.00	23.89
06/11/2018	#00086467436 MITTLESTEAD,	WASHINGTON MUTUAL INVESTORS FUND-52	2,500.00	25.00	94.0	0.00	23.50	0.00	23.50
06/11/2018	#00086467381 MITTLESTEAD,	WASHINGTON MUTUAL INVESTORS FUND-52	2,500.00	25.00	94.0	0.00	23.50	0.00	23.50

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/02/2018	#00068787876	LAMBERT, CHLOE	AMERICAN BALANCED FUND-529A	150.00	6.38	94.0	0.00	6.00	6.00
07/02/2018	#00081603713	LAMBERT, CHLOE	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	94.0	0.00	2.00	2.00
07/05/2018	#0049157621		BLCKRCK	250.00	2.50	94.0	0.00	2.35	2.35
07/05/2018	#0049157621		BLCKRCK	250.00	2.50	94.0	0.00	2.35	2.35
07/10/2018	#00073613500	DIXON, TRACEY	AMERICAN MUTUAL FUND INC CLASS A	75.00	2.81	94.0	0.00	2.64	2.64
07/10/2018	#00073612948	DIXON, JEFF	GROWTH FUND AMERICA INC CLASS A	75.00	2.81	94.0	0.00	2.64	2.64
07/16/2018	#00085514756	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514794	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514806	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00086006772	WILSON, ANDREW	AMERICAN BALANCED FUND-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514756	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514794	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514806	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00086006772	WILSON, ANDREW	CAPITAL WORLD GROWTH AND INCOME-529	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514756	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514794	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00085514806	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
07/16/2018	#00086006772	WILSON, ANDREW	THE BOND FUND OF AMERICA-529A	80.00	2.20	94.0	0.00	2.07	2.07
* MUTUAL FUNDS SUBTOTAL *			\$70,075.87	\$244.78		\$0.00	\$230.12	\$0.00	\$230.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/02/0018	#803625000	BIG PINE PAI	TRANSAMERICA FIN LIFE	282,641.39	117.77	94.0	0.00	110.70	110.70
07/02/0018	#500002ABZ	ENTERPRISE, RGK	TRANSAMERICA FIN LIFE	0.00	117.57	94.0	0.00	110.52	110.52
07/02/0018	#500002ARB	ELLEN, MARY	TRANSAMERICA FIN LIFE	0.00	19.64	94.0	0.00	18.46	18.46
07/02/0018	#500002BBI	PERFO, GILSON	TRANSAMERICA FIN LIFE	0.00	4.89	94.0	0.00	4.60	4.60
07/02/0018	#500002BLH	SANC, ERNESTO	TRANSAMERICA FIN LIFE	0.00	5.78	94.0	0.00	5.43	5.43
07/02/0018	#500002BVT	MACHANICS,	TRANSAMERICA FIN LIFE	0.00	3.99	94.0	0.00	3.75	3.75
07/02/0018	#500002E26	MENDOZA FAMILY	TRANSAMERICA FIN LIFE	0.00	8.21	94.0	0.00	7.72	7.72
07/02/0018	#500002M18	WESTERN SIER	TRANSAMERICA FIN LIFE	0.00	0.48	94.0	0.00	0.45	0.45
07/02/0018	#500002P58	JANICES INC	TRANSAMERICA FIN LIFE	0.00	12.65	94.0	0.00	11.89	11.89
07/02/0018	#500002P59	LOPEZ, MARIA	TRANSAMERICA FIN LIFE	0.00	5.87	94.0	0.00	5.52	5.52
07/02/0018	#500002R30	, ANTONIO&VE	TRANSAMERICA FIN LIFE	0.00	3.41	94.0	0.00	3.21	3.21
07/02/0018	#500002S00	HORIZON, MARK	TRANSAMERICA FIN LIFE	0.00	46.14	94.0	0.00	43.37	43.37
07/02/0018	#500002S08	TRANSWEST	TRANSAMERICA FIN LIFE	0.00	89.61	94.0	0.00	84.23	84.23
07/02/0018	#500002S10	HORIZON, TRAN	TRANSAMERICA FIN LIFE	0.00	69.40	94.0	0.00	65.24	65.24
07/02/0018	#500002S24	SUNRIVER, SAL	TRANSAMERICA FIN LIFE	0.00	225.86	94.0	0.00	212.31	212.31

COMMISSION STATEMENT for BEN REYNOSO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/02/0018	#500002U17 NORMA, ALICIA	TRANSAMERICA FIN LIFE	0.00	0.04	94.0	0.00	0.04	0.00	0.04
07/02/0018	#500002V01 CANNACANHELP	TRANSAMERICA FIN LIFE	0.00	4.86	94.0	0.00	4.57	0.00	4.57
07/02/0018	#500002W19 NETTO, A&A	TRANSAMERICA FIN LIFE	0.00	138.88	94.0	0.00	130.55	0.00	130.55
07/02/0018	#500002Z47 CARE, ORDUNO	TRANSAMERICA FIN LIFE	0.00	5.16	94.0	0.00	4.85	0.00	4.85
07/08/0018	#01P3579346 OKINO, FUJIE	TRANSAMERICA PRE	87,092.22	217.73	94.0	0.00	204.67	0.00	204.67
07/06/2018	#106245LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	88.27	94.0	0.00	82.97	0.00	82.97
07/06/2018	#110089LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	116.39	94.0	0.00	109.41	0.00	109.41
07/06/2018	#127463LK2 VAIANELLA	TRANS LIFE FE22MA	0.00	7.32	94.0	0.00	6.88	0.00	6.88
07/31/2018	#1011649730 REYNOSO,	ADVS II (08/11)	69,495.96	57.91	94.0	0.00	54.44	0.00	54.44
07/31/2018	#1015044205 COLLINS,	ELITE ACCESS	32,639.28	27.20	94.0	0.00	25.57	0.00	25.57
07/31/2018	#1015975837 DELGADO, MIGUEL	ELITE ACCESS	7,249.99	6.04	94.0	0.00	5.68	0.00	5.68
07/31/2018	#1014723311 SCHWAMB, SHANNON	ELITE ACCESS	472,337.61	393.61	94.0	0.00	369.99	0.00	369.99
07/31/2018	#1015151290 SALMON	ELITE ACCESS L	105,450.96	87.88	94.0	0.00	82.61	0.00	82.61
07/31/2018	#1015154353 SALMON	ELITE ACCESS L	33,591.64	27.99	94.0	0.00	26.31	0.00	26.31
07/31/2018	#1016329351 SCHMIDT, LARRY	ELITE ACCESS L	67,643.60	56.37	94.0	0.00	52.99	0.00	52.99
07/31/2018	#1011931452 JASSO, EUSEBIO	PERSP L 08/11	122,302.62	101.92	94.0	0.00	95.80	0.00	95.80
07/31/2018	#1009421862 PETERSON, CARA	PERSPECTIVE II	169,796.23	141.50	94.0	0.00	133.01	0.00	133.01
07/31/2018	#1008962452 BROWN, SUSAN	PERSPECTIVE L	36,793.25	30.66	94.0	0.00	28.82	0.00	28.82
07/31/2018	#1008329393 DOUGLASS, JOHN	PERSPECTIVE L	15,098.64	12.58	94.0	0.00	11.83	0.00	11.83
* VARIABLE ANNUITIES SUBTOTAL *			\$1,502,133.39	\$2,253.58		\$0.00	\$2,118.39	\$0.00	\$2,118.39
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018	#01980125895 VARIOUS REYNOSO	DIVERSIFIED FUND CLASS R	0.00	12.27	94.0	0.00	11.53	0.00	11.53
06/30/2018	#01980125906 TRUST,	DIVERSIFIED FUND CLASS R	0.00	140.54	94.0	0.00	132.11	0.00	132.11
06/30/2018	#01980159709 VARIOUS REYNOSO	DIVERSIFIED FUND CLASS R	0.00	0.00	94.0	0.00	0.00	0.00	0.00
06/30/2018	#01980173888 VARIOUS REYNOSO	DIVERSIFIED FUND CLASS R	0.00	0.27	94.0	0.00	0.25	0.00	0.25
06/30/2018	#08258241266 VARIOUS REYNOSO	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	3.20	94.0	0.00	3.01	0.00	3.01
06/30/2018	#08258241310 VARIOUS REYNOSO	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	4.82	94.0	0.00	4.53	0.00	4.53
07/01/2018	#00090011804 TRUST,	AMERICAN FUNDS 55275E515	0.00	0.21	94.0	0.00	0.20	0.00	0.20
07/01/2018	#00090000527 TRUST,	BLENDED RESEARCH	0.00	170.45	94.0	0.00	160.22	0.00	160.22
07/01/2018	#00090000528 TRUST,	BLENDED RESEARCH	0.00	8.58	94.0	0.00	8.07	0.00	8.07
07/01/2018	#00090001035 TRUST,	BLENDED RESEARCH	0.00	0.01	94.0	0.00	0.01	0.00	0.01
07/01/2018	#07122837323 TRUST, PSP &	INVESCO EQUALLY WEIGHTED S&P 500	0.00	48.12	94.0	0.00	45.23	0.00	45.23
07/01/2018	#07122746255 TRUST,	INVESCO INTERNATIONAL GROWTH FD -CL	0.00	15.30	94.0	0.00	14.38	0.00	14.38
07/01/2018	#00090011097 TRUST,	LIFETIME 2030	0.00	0.30	94.0	0.00	0.28	0.00	0.28
07/01/2018	#00090006445 TRUST,	MFS 55275E333	0.00	2.99	94.0	0.00	2.81	0.00	2.81

				INVESTMENT	GROSS		NET	CLEARING	BROKER	
DATE	CLIENT	INVESTMENT		AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION

08/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	<hr/> \$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,907.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,338.29
---------------------	-------------------