



All Companies

01/30/2018
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COMMISSION STATEMENT February 01, 2018

JONATHAN F JETTER
2005 Rickety Lane Ste B

BROKER #: 9580
BRANCH: HOME OFFICE

Tyler, TX 75703

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	4.50	4.50	0.00	4.50	90.0	4.05
12/31/2017	TRAILS	-JOHN HANCOCK FREEDOM 529	B	44.02	44.02	0.00	44.02	90.0	39.62
12/31/2017	TRAILS	-TEXAS 529 PLAN	B	350.07	350.07	0.00	350.07	90.0	315.06
01/02/2018	SNOWDEN, COLIN/MOLLY	-TEXAS 529 PLAN	B	75.00	3.56	0.00	3.56	90.0	3.20
01/02/2018	SNOWDEN, COLIN/SAM	-TEXAS 529 PLAN	B	75.00	3.56	0.00	3.56	90.0	3.20
01/10/2018	WATSON, ELAN/ROWAN	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
12/27/2017	GONZALES, DAVID	FORESTERS FINANCIAL	B	100.00	4.72	0.00	4.72	90.0	4.25
01/02/2018	WALKER, DEBRA SAPENTER	FORESTERS FINANCIAL	B	200.00	9.44	0.00	9.44	90.0	8.50
01/02/2018	BERGERON, TIMOTHY R	FORESTERS FINANCIAL	B	75.00	3.54	0.00	3.54	90.0	3.19
01/05/2018	SOTELLO, FREDDIE	FORESTERS FINANCIAL	B	200.00	9.44	0.00	9.44	90.0	8.50
01/12/2018	000000003195157 BALLEW, HOLLY	FRK TEMP FOUNDING FDS ALLOC FUND-CL B	B	25.00	0.25	0.00	0.25	90.0	0.23
01/16/2018	00001723578 WATSON, SHELLY J	LIFESTYLE GROWTH PORTFOLIO A	B	175.00	6.56	0.00	6.56	90.0	5.90
01/16/2018	00001723582 WATSON, ELAN P	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	175.00	6.56	0.00	6.56	90.0	5.90
01/16/2018	00001727467 CHRISTIAN, CLAYTON	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/02/2018	00001819725 FORD, JANA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
01/02/2018	00001819734 FORD, DUSTIN	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	00001841017 MICHALAK, DUSTIN R	LIFESTYLE GROWTH PORTFOLIO A	B	50.00	2.13	0.00	2.13	90.0	1.92
01/16/2018	00001854131 BALLEW, BRIAN	LIFESTYLE GROWTH PORTFOLIO C	B	150.00	1.50	0.00	1.50	90.0	1.35
01/16/2018	00001942568 TO (STA) TOD	LIFESTYLE GROWTH PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
	RULES, SUBJECT								
01/16/2018	00001942570 TO (STA) TOD	LIFESTYLE GROWTH PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
	RULES, SUBJECT								
01/16/2018	00001945999 HERRING, JUDEE	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/16/2018	00001959112 HERRING, JUDEE	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	90.0	4.12
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	20.00	0.20	0.00	0.20	90.0	0.18
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	20.00	0.20	0.00	0.20	90.0	0.18
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	20.00	0.20	0.00	0.20	90.0	0.18
01/02/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	20.00	0.20	0.00	0.20	90.0	0.18

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/02/2018	00002015930	PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/09/2018	00002015930	PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/09/2018	00002015930	PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	20.00	0.20	0.00	0.20	90.0	0.18
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/09/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/09/2018	00002015934	PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/02/2018	00002016067	GIVENS, WAYNE	ALTERNATIVE ASSET ALLOCATION FUND A B		1,166.00	33.23	0.00	33.23	90.0	29.91
01/02/2018	00002016067	GIVENS, WAYNE	ALTERNATIVE ASSET ALLOCATION FUND A B		105.00	2.99	0.00	2.99	90.0	2.69
01/02/2018	00002031605	MALACARA, ADRIANA	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	00002031607	MALACARA JR, JOSE A.	LIFESTYLE BALANCED PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	00002031739	FORD, DUSTIN	FLOATING RATE INCOME C	B	150.00	1.50	0.00	1.50	90.0	1.35
01/16/2018	00002124760	BECTON, MATTHEW	LIFESTYLE CONSERVATIVE PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00002124761	BECTON, MATTHEW	ALTERNATIVE ASSET ALLOCATION C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	00002124762	BECTON, MATTHEW	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	90.0	4.12
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/02/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/09/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	15.60	0.16	0.00	0.16	90.0	0.14
01/09/2018	00002128946	VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B						

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/09/2018	00002128949 PEREZ, KRISTINA	LIFESTYLE BALANCED PORTFOLIO C	B	15.00	0.15	0.00	0.15	90.0	0.14
01/09/2018	00002128949 PEREZ, KRISTINA	LIFESTYLE BALANCED PORTFOLIO C	B	20.00	0.20	0.00	0.20	90.0	0.18
01/16/2018	00002407347 DUSEK, NATHAN L.	LIFESTYLE GROWTH PORTFOLIO C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	00002410715 FORD, DUSTIN	DISCIPLINED VALUE FUND C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	17.34	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	17.34	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/02/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/09/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/09/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	16.80	0.17	0.00	0.17	90.0	0.15
01/18/2018	00073415044 DEWEY, WILLIAM/ERIN	THE INVESTMENT COMPANY OF AMERICA-5 B	B	200.00	2.00	0.00	2.00	90.0	1.80
01/18/2018	00073415141 DEWEY, WILLIAM/KENDYL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/16/2018	00081792391 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	75.00	3.75	0.00	3.75	90.0	3.38
01/16/2018	00081794959 GOMEZ, CASSANDRA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	75.00	3.75	0.00	3.75	90.0	3.38
01/10/2018	00084133771 MAY, GREG	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	2.50	0.00	2.50	90.0	2.25
01/09/2018	00084190074 JETTER MAAS, ANNE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	300.00	3.00	0.00	3.00	90.0	2.70
01/09/2018	00084190074 JETTER MAAS, ANNE	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/09/2018	00084190074 JETTER MAAS, ANNE	THE GROWTH FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
01/09/2018	00084190074 JETTER MAAS, ANNE	THE INCOME FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/16/2018	00084221376 DAVIDSON, CASEY	CAPITAL WORLD GROWTH AND INCOME FUN B	B	300.00	3.00	0.00	3.00	90.0	2.70
01/16/2018	00084221790 DAVIDSON, DAVID	NEW PERSPECTIVE FUND-C	B	300.00	3.00	0.00	3.00	90.0	2.70
01/18/2018	00085451395 DEWEY, WILLIAM/SEAN C	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/08/2018	00086029139 TAYLOR, PAUL	AMERICAN FUNDS TAX EXEMPT BD FD	B	100.00	3.00	0.00	3.00	90.0	2.70
01/08/2018	00087600226 BURCH, STACIA	CAPITAL INCOME BUILDER-C	B	61.61	0.62	0.00	0.62	90.0	0.56
01/08/2018	00087600226 BURCH, STACIA	CAPITAL INCOME BUILDER-C	B	61.61	0.62	0.00	0.62	90.0	0.56
01/08/2018	00087600229 NIXON, CHERYL	CAPITAL INCOME BUILDER-C	B	39.26	0.39	0.00	0.39	90.0	0.35
01/08/2018	00087600229 NIXON, CHERYL	CAPITAL INCOME BUILDER-C	B	39.26	0.39	0.00	0.39	90.0	0.35
01/08/2018	00087667705 PARRISH-KELLER, KRISTIN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	26.62	0.27	0.00	0.27	90.0	0.24
01/08/2018	00087667705 PARRISH-KELLER, KRISTIN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	26.62	0.27	0.00	0.27	90.0	0.24
01/08/2018	00087949692 HOWLAND, AMY	CAPITAL INCOME BUILDER-C	B	21.92	0.22	0.00	0.22	90.0	0.20

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/08/2018	00087949692	HOWLAND, AMY	CAPITAL INCOME BUILDER-C	B	21.92	0.22	0.00	0.22	90.0	0.20
01/16/2018	00088731582	HUCKABEE, JUSTIN	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
	L.									
01/16/2018	00088731582	HUCKABEE, JUSTIN	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
	L.									
01/16/2018	00088731619	HUCKABEE, JUSTIN	AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
	L.									
01/16/2018	00088731619	HUCKABEE, JUSTIN	CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45
	L.									
01/16/2018	00088731619	HUCKABEE, JUSTIN	THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	90.0	0.90
	L.									
01/18/2018	00089232668	BROWN, JAN A.	NEW WORLD FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/18/2018	00089232759	BROWN, JAN A.	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/16/2018	00089362890	ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN B		46.25	0.46	0.00	0.46	90.0	0.41
01/16/2018	00089362890	ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN B		46.25	0.46	0.00	0.46	90.0	0.41
01/16/2018	00089362940	COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
01/16/2018	00089362940	COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
01/16/2018	00089362944	COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN B		62.50	0.63	0.00	0.63	90.0	0.57
01/16/2018	00089362944	COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN B		62.50	0.63	0.00	0.63	90.0	0.57
01/16/2018	00089399899	TEEL, SHELLEY	CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089399921	TEEL, KEITH	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00089416008	TEEL, KEITH/TAYLOR	AMERICAN FUNDS 2020 TARGET DATE-C	B	150.00	1.50	0.00	1.50	90.0	1.35
01/16/2018	00089449523	HUCKABEE, ANDREW	CAPITAL WORLD GROWTH AND INCOME FUN B		458.33	4.58	0.00	4.58	90.0	4.12
01/16/2018	00089449840	HUCKABEE, ANDREW	THE BOND FUND OF AMERICA-C	B	250.00	2.50	0.00	2.50	90.0	2.25
01/18/2018	00089989616	O'DONNELL, DANIEL	COLLEGE 2030 FUND-529A	B	10,000.00	200.00	0.00	200.00	90.0	180.00
	J.									
01/16/2018	00704756071	MICHALAK, BRYAN W	FIDELITY ADV ASSET MANAGER 85%-CL A B		100.00	5.00	0.00	5.00	90.0	4.50
01/16/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	48.13	0.48	0.00	0.48	90.0	0.43
01/16/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	48.13	0.48	0.00	0.48	90.0	0.43
01/08/2018	04000116782	SMITH, STACY L.	CAPITAL WORLD GROWTH AND INCOME FUN B		19.19	0.19	0.00	0.19	90.0	0.17
01/08/2018	04000116782	SMITH, STACY L.	CAPITAL WORLD GROWTH AND INCOME FUN B		19.19	0.19	0.00	0.19	90.0	0.17
01/08/2018	04000116832	VALLE, RUBY A.	CAPITAL INCOME BUILDER-C	B	17.65	0.18	0.00	0.18	90.0	0.16
01/08/2018	04000116832	VALLE, RUBY A.	CAPITAL INCOME BUILDER-C	B	17.65	0.18	0.00	0.18	90.0	0.16
01/16/2018	04000180091	MIDDLETON,	THE INVESTMENT COMPANY OF AMERICA-C B		48.75	0.49	0.00	0.49	90.0	0.44
	CHRISTINA G.									
01/16/2018	04000180091	MIDDLETON,	THE INVESTMENT COMPANY OF AMERICA-C B		48.75	0.49	0.00	0.49	90.0	0.44
	CHRISTINA G.									
01/16/2018	04000341879	MALOWITZ, KENDALL	AMERICAN FUNDS 2050 TARGET DATE-C	B	100.00	1.00	0.00	1.00	90.0	0.90
	S.									
01/16/2018	04000341905	MALOWITZ, KENDALL	AMERICAN BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	S.								
01/16/2018	04000341905 MALOWITZ, KENDALL	THE BOND FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
	S.								
01/16/2018	07150180501 MALOWITZ, PAULA/JADEN	PORTFOLIO 2017-2020C2	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	07150180502 MALOWITZ, PAULA	PORTFOLIO 2017-2020C2	B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	2270610610 MALOWITZ, LEE	OPPENHEIMER QUEST VALUE FD CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	3322503809 PRINCE, JOSEPH	OPPENHEIMER GLOBAL FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/11/2018	5520701481 MALOWITZ, LEE	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	5521025794 WALKER, DEBRA	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	573.03	5.73	0.00	5.73	90.0	5.16
	SAPENTER								
01/02/2018	6840033000 SCZEPANIK, ROSEMARY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	336.05	3.36	0.00	3.36	90.0	3.02
01/02/2018	8821758801 PRINCE, JOSEPH	OPPENHEIMER INTL BD FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
		* MUTUAL FUNDS SUBTOTAL *		\$23,210.06	\$816.11	\$0.00	\$816.11		\$734.53
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	389.53	389.53	0.00	389.53	90.0	350.58
		* STOCKS SUBTOTAL *		\$389.53	\$389.53	\$0.00	\$389.53		\$350.58
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	4,627.17	4,627.17	0.00	4,627.17	90.0	4,164.45
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,617.49	2,617.49	0.00	2,617.49	90.0	2,355.74
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	1,383.12	1,383.12	0.00	1,383.12	90.0	1,244.81
01/02/2018	TAYLOR, PAUL	BRIGHTHOUSE FINANCIAL	B	100.00	7.00	0.00	7.00	90.0	6.30
12/27/2017	TRAILS	GENWORTH LIFE ANNUITY	B	171.55	171.55	0.00	171.55	90.0	154.40
01/24/2018	TRAILS	OHIO LIFE	B	691.08	691.08	0.00	691.08	90.0	621.97
01/08/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	22.97	22.97	0.00	22.97	90.0	20.67
		* VARIABLE ANNUITIES SUBTOTAL *		\$9,613.38	\$9,520.38	\$0.00	\$9,520.38		\$8,568.34
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
01/16/2018	DEWEY, WILLIAM S	NATIONWIDE LIFE	B	60.00	1.20	0.00	1.20	90.0	1.08
		* LIFE INSURANCE SUBTOTAL *		\$60.00	\$1.20	\$0.00	\$1.20		\$1.08
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	10361083 HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	163.33	0.00	163.33	90.0	147.00
01/12/2018	10573562 WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
01/12/2018	11834637 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	90.0	28.20
01/12/2018	14857073 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
01/12/2018	14971495 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0	27.60
01/12/2018	20666348 WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
01/12/2018	23775274 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 33340353	PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	40.33	0.00	40.33	90.0	36.30
01/12/2018 34561240	PEACOCK, PAUL PHILLIP	SIGNAL SECURITIES RIA	B	0.00	138.67	0.00	138.67	90.0	124.80
01/12/2018 36092611	MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018 36556970	MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
01/12/2018 36984845	COZAD, CECIL CLAIRE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
01/12/2018 39129908	MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018 39690698	MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
01/12/2018 43439096	NIXON, MONTGOMERY L	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
01/12/2018 48661313	PEACOCK, PAUL PHILLIP	SIGNAL SECURITIES RIA	B	0.00	66.33	0.00	66.33	90.0	59.70
01/12/2018 52360698	HILL, G. LOUISE	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00	90.0	35.10
01/12/2018 53398661	BECTON, MATTHEW & KIMBE	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
01/12/2018 54891002	MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	441.00	0.00	441.00	90.0	396.90
01/12/2018 55503866	JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	90.0	43.80
01/12/2018 57111830	SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
01/12/2018 58435559	FINKLESTEIN, ARIANA	SIGNAL SECURITIES RIA	B	0.00	61.33	0.00	61.33	90.0	55.20
01/12/2018 59744598	SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	90.0	51.30
01/12/2018 60541134	JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
01/12/2018 62289618	DAVIS, COLBY B	SIGNAL SECURITIES RIA	B	0.00	403.33	0.00	403.33	90.0	363.00
01/12/2018 62733687	NIXON, MONTGOMERY L	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018 62880041	JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	49.00	0.00	49.00	90.0	44.10
01/12/2018 62914964	SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
01/12/2018 65747661	SHUPE, BRANDON	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
01/12/2018 65754641	PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	66.67	0.00	66.67	90.0	60.00
01/12/2018 67599477	WORTHAM, JOHN L	SIGNAL SECURITIES RIA	B	0.00	150.33	0.00	150.33	90.0	135.30
01/25/2018 67599477	WORTHAM, JOHN L	SIGNAL SECURITIES RIA	B	0.00	-300.55	0.00	-300.55	90.0	-270.50
01/12/2018 67756602	PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	65.33	0.00	65.33	90.0	58.80
01/12/2018 68845626	COZAD, JEREMY & CECIL	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
01/12/2018 72909748	HANKINS, POLLY S	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
01/12/2018 73189208	MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00	90.0	39.60
01/12/2018 74424226	RAMIREZ, ANDREW C	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
01/12/2018 79577076	HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	81.33	0.00	81.33	90.0	73.20

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	80622249 DEWEY, WILLIAM/MELISSA	SIGNAL SECURITIES RIA	B	0.00	137.33	0.00	137.33	90.0	123.60
01/12/2018	82832184 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	68.67	0.00	68.67	90.0	61.80
01/12/2018	83101852 JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	90.0	51.30
01/12/2018	83417118 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
01/12/2018	83650621 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
01/12/2018	85536474 PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
01/12/2018	86144705 MAPES, GARY F	SIGNAL SECURITIES RIA	B	0.00	53.33	0.00	53.33	90.0	48.00
01/12/2018	87142193 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
01/12/2018	90514205 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
01/12/2018	93413682 GONZALEZ, JR, ANDRES	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
01/12/2018	95387187 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	111.00	0.00	111.00	90.0	99.90
01/12/2018	95387200 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	1,044.33	0.00	1,044.33	90.0	939.90
01/12/2018	95387868 WOLVEN, MARY JANE	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
01/12/2018	95820819 CLARK, JOSEPH W	SIGNAL SECURITIES RIA	B	0.00	84.67	0.00	84.67	90.0	76.20
01/12/2018	97778550 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018	97866027 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	27.33	0.00	27.33	90.0	24.60
01/12/2018	98935151 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	257.33	0.00	257.33	90.0	231.60
01/12/2018	99180782 GONZALEZ, JR, ANDRES	SIGNAL SECURITIES RIA	B	0.00	36.67	0.00	36.67	90.0	33.00
* RIA SUBTOTAL *				\$0.00	\$4,196.42	\$0.00	\$4,196.42		\$3,776.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	1,498.94	0.00	1,498.94	90.0	1,349.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,498.94	\$0.00	\$1,498.94		\$1,349.14
*** BROKER TRANSACTIONS TOTAL ***				\$33,272.97	\$16,422.58	\$0.00	\$16,422.58		\$14,780.47

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
02/01/2018		CRD CHARGES: FINGERPRINT - DOMINEY		0.00	0.00	0.00	0.00		-45.00
02/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-26.50
02/01/2018		HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		26.50
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-172.50

BROKER COMMISSION TOTALS

Broker Transactions	\$14,780.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-172.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$14,607.97
Year-to-date Earnings	\$30,909.63