



COMMISSION STATEMENT

January 29, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	***-**-212X - MOCTEZUMA, ANA	AMCAP FUND-A	23,284.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 686 Units @33.9200						
01/23/2018	***-**-784X - NIPPER, SHERICIA	AMERICAN BALANCED FUND-A	387.99	19.40	18.00	1.40	75.0	1.05
	ACCOUNT #: LSR012148	BUY 13 Units @29.8500						
01/23/2018	***-**-212X - MOCTEZUMA, ANA	AMERICAN HIGH-INCOME TRUST-A	21,460.41	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 2056 Units @10.4400						
01/25/2018	***-**-212X - MOCTEZUMA, ANA	AMERICAN MUTUAL FUND-A	5,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 117 Units @42.6800						
01/25/2018	***-**-212X - MOCTEZUMA, ANA	CAPITAL WORLD GROWTH AND INCOME FUN	6,591.97	181.28	18.00	163.28	75.0	122.46
	ACCOUNT #: LSR012361	BUY 117 Units @56.3200						
01/23/2018	***-**-784X - NIPPER, SHERICIA	FRANKLIN GROWTH FUND CLASS A	155.00	7.75	18.00	-10.25	100.0	-10.25
	ACCOUNT #: LSR012148	BUY 1 Units @106.7500						
01/23/2018	***-**-3580 - TRUMAN, RYAN	FRK RISING DIV FND - CL C	8,750.02	87.50	18.00	69.50	75.0	52.13
	ACCOUNT #: LSR012386	BUY 139 Units @62.9000						
01/23/2018	***-**-784X - NIPPER, SHERICIA	FUNDAMENTAL INVESTORS-A	154.99	7.75	18.00	-10.25	100.0	-10.25
	ACCOUNT #: LSR012148	BUY 2 Units @70.4200						
01/23/2018	***-**-3580 - TRUMAN, RYAN	INCOME FUND OF AMERICA CLASS C	9,999.99	100.00	18.00	82.00	75.0	61.50
	ACCOUNT #: LSR012386	BUY 419 Units @23.8600						
01/23/2018	***-**-3580 - TRUMAN, RYAN	PIMCO INVESTMENT GRADE CORP	5,000.00	50.00	18.00	32.00	75.0	24.00
	ACCOUNT #: LSR012386	BUY 478 Units @10.4700						
01/23/2018	***-**-212X - MOCTEZUMA, ANA	THE BOND FUND OF AMERICA-A	8,128.58	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 636 Units @12.7800						
01/25/2018	***-**-212X - MOCTEZUMA, ANA	THE INVESTMENT COMPANY OF AMERICA-A	24,039.99	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 562 Units @42.7500						
01/25/2018	***-**-212X - MOCTEZUMA, ANA	WASHINGTON MUTUAL INVESTORS FUND-A	2,427.98	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012361	SELL 50 Units @48.1800						
* MUTUAL FUNDS SUBTOTAL *			\$115,380.93	\$453.68	\$234.00	\$219.68		\$132.64
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-758I - EBERT, KIMBERLY	FRANKLIN INCOME FUND CLASS C	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						
01/29/2018	***-**-907X - ROLLINGS, TYLER	FRANKLIN LIFEMSMART 2030 RET TARGET	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR012150	BUY 1 Units @0.0000						



COMMISSION STATEMENT

January 29, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/29/2018	***-**-600I - HARTER, BRADLEY	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: LSR011811	BUY 1 Units @0.0000						
01/29/2018	***-**-9175 - GARDNER, JAMES	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	6.60	0.00	6.60	75.0	4.95
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/29/2018	***-**-550I - CATHEY, NANCY	FRANKLIN UTILITIES FUND - CLASS C	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: LSR011845	BUY 1 Units @0.0000						
01/29/2018	***-**-1710 - BALLARD, SCOTT	FRK CA TF INC FND - CL A	0.00	1.18	0.00	1.18	75.0	0.89
	ACCOUNT #: LSR012284	BUY 1 Units @0.0000						
01/29/2018	***-**-600I - HARTER, BRADLEY	FRK UTIL FND - CL A	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: LSR011811	BUY 1 Units @0.0000						
01/29/2018	***-**-9175 - GARDNER, JAMES	GROWTH AND INCOME FUND - A	0.00	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/29/2018	***-**-9175 - GARDNER, JAMES	JPMORGAN SMALL CAP GROWTH CLASS A	0.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/29/2018	***-**-758I - EBERT, KIMBERLY	MUTUAL DISC FND - CL C	0.00	1.61	0.00	1.61	75.0	1.21
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						
01/29/2018	***-**-9175 - GARDNER, JAMES	PIMCO FLOATING INCOME FD CL A	0.00	4.63	0.00	4.63	75.0	3.47
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/29/2018	***-**-600I - HARTER, BRADLEY	PIMCO INV GRADE CORP	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: LSR011811	BUY 1 Units @0.0000						
01/29/2018	***-**-171I - BALLARD, SCOTT	PIMCO INV GRADE CORP	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: LSR012282	BUY 1 Units @0.0000						
01/29/2018	***-**-9175 - GARDNER, JAMES	PIMCO INV GRADE CORP	0.00	5.22	0.00	5.22	75.0	3.92
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/29/2018	***-**-550I - CATHEY, NANCY	PIMCO INVESTMENT GRADE CORP	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR011845	BUY 1 Units @0.0000						
01/29/2018	***-**-550I - CATHEY, NANCY	PIMCO INVESTMENT GRADE CORP	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR011845	BUY 1 Units @0.0000						
01/29/2018	***-**-9175 - GARDNER, JAMES	TEMP FRGN FND - CL A	0.00	4.27	0.00	4.27	75.0	3.20
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/29/2018	***-**-051R - WELCH, PAMELA	TRANSAMERICA ASSET ALL CONV PORT CL	0.00	1.85	0.00	1.85	75.0	1.39
	ACCOUNT #: LSR012011	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$36.43	\$0.00	\$36.43		\$27.33



COMMISSION STATEMENT

January 29, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***			\$115,380.93	\$490.11	\$234.00	\$256.11		\$159.97

BROKER COMMISSION TOTALS

Broker Transactions	\$159.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-4,207.57
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-4,047.60
Year-to-date Earnings	\$2,388.21