

COMMISSION STATEMENT
January 18, 2018

SYLVIA LUM

, CA

BROKER #: 73880
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>Company: Securities America</u>							
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: RIA</u>							
01/18/2018	LUM (73880), SYLVIA	SAI ADVISORY INCOME (CUE RIA)	0.00	329.76	16.49	0.00	16.49
		* RIA SUBTOTAL *	\$0.00	\$329.76	\$16.49	\$0.00	\$16.49
<u>PRODUCT CATEGORY: TRAILERS</u>							
01/18/2018	LUM (73880), SYLVIA	SAI.TRAILS	0.00	52.88	2.64	0.00	2.64
		* TRAILERS SUBTOTAL *	\$0.00	\$52.88	\$2.64	\$0.00	\$2.64
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$382.64	\$19.13	\$0.00	\$19.13

BROKER COMMISSION TOTALS

Broker Transactions	\$19.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$19.13
Year-to-date Earnings	\$44.40