



Concorde Investment Services, LLC

04/12/2017  
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# COMMISSION STATEMENT

April 15, 2017

TROY BERGERON  
301 Tiger Lane  
Apt. 216  
Columbia, MO 65203-

BROKER #: B155 Terminated 04/27/2016  
BRANCH: JEFFREY KAUFMAN

| DATE   | CLIENT            | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|-------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                           |                   |                                   |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: K143 JEFF/TROY KAUFMAN/BERGERON #299</u></b> |                   |                                   |                      |                     |                    |                   |      |                      |
| 04/03/2017   | JONES, MICHAEL    | AM FUNDS GROWTH FUND OF AMERICA A | 70.08                | 2.63                | 0.00               | 2.63              | 63.0 | 1.66                 |
| 04/03/2017   | MCKEAGE, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA A | 65.72                | 2.46                | 0.00               | 2.46              | 63.0 | 1.55                 |
| 04/03/2017   | MCKEAGE, NICHOLAS | AM FUNDS ICA A                    | 65.73                | 2.46                | 0.00               | 2.46              | 63.0 | 1.55                 |
| 04/03/2017   | JONES, MICHAEL    | AM FUNDS NEW PERSPECTIVE A        | 70.08                | 2.63                | 0.00               | 2.63              | 63.0 | 1.66                 |
| * K143 JEFF/TROY KAUFMAN/BERGERON SUBTOTAL *               |                   |                                   | \$271.61             | \$10.18             | \$0.00             | \$10.18           |      | \$6.42               |
| *** SPLIT TRANSACTIONS TOTAL ***                           |                   |                                   | \$271.61             | \$10.18             | \$0.00             | \$10.18           |      | \$6.42               |

## **BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$0.00    |
| Split Transactions    | \$6.42    |
| Override Transactions | \$0.00    |
| Adjustments           | \$0.00    |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$-458.46 |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |           |
|-----------------------|-----------|
| Balance Forward       | \$-452.04 |
| Year-to-date Gross    | \$139.56  |
| Year-to-date Earnings | \$87.94   |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed