



COMMISSION STATEMENT

March 26, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/20/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV NEW INSIGHTS-CL A	49.99	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 1 Units @34.5000						
03/21/2018	***-**-57IO - SOCHA, CYNTHIA	PL PORTFOLIO OPTIMIZATION MOD AGGRE	6,497.12	308.61	18.00	290.61	70.0	203.43
	ACCOUNT #: LSR010500	BUY 391 Units @16.6300						
03/21/2018	***-**-223I - GAY, LISA	PL PORTFOLIO OPTIMIZATION MOD AGGRE	6,498.01	308.65	18.00	290.65	70.0	203.46
	ACCOUNT #: LSR011789	BUY 391 Units @16.6300						
03/21/2018	***-**-059I - LIBBY, AMY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	3,659.17	173.81	18.00	155.81	70.0	109.07
	ACCOUNT #: LSR012269	BUY 220 Units @16.6300						
03/20/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR012312	BUY 2 Units @25.9600						
* MUTUAL FUNDS SUBTOTAL *			\$16,754.29	\$796.07	\$54.00	\$742.07		\$519.46
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/26/2018	***-**-43IN - RISKALLA,	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	2.52	0.00	2.52	70.0	1.76
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
03/26/2018	***-**-57IO - SOCHA, CYNTHIA	PIMCO COMMODITIES PLUS STRATEGY CL	0.00	1.73	0.00	1.73	70.0	1.21
	ACCOUNT #: LSR010500	BUY 1 Units @0.0000						
03/26/2018	***-**-721I - GUARNA, CATERINA	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	0.84	0.00	0.84	70.0	0.59
	ACCOUNT #: LSR012322	BUY 1 Units @0.0000						
03/26/2018	***-**-889I - BAILLARGEON,	PUTNAM DIVERSIFIED INCOME FUND CLAS	0.00	4.11	0.00	4.11	70.0	2.88
	ACCOUNT #: LSR012333	BUY 1 Units @0.0000						
03/26/2018	***-**-287O - AMANTE, JAMES	PUTNAM EQUITY INCOME FUND-A	0.00	2.79	0.00	2.79	70.0	1.95
	ACCOUNT #: LSR010473	BUY 1 Units @0.0000						
03/26/2018	***-**-340J - PERRONE,	PUTNAM EQUITY INCOME FUND-A	0.00	2.12	0.00	2.12	70.0	1.48
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						
03/26/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-A	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR011808	BUY 1 Units @0.0000						
03/26/2018	***-**-287K - AMANTE, JAMES	PUTNAM EQUITY INCOME FUND-A	0.00	2.34	0.00	2.34	70.0	1.64
	ACCOUNT #: LSR011868	BUY 1 Units @0.0000						
03/26/2018	***-**-31IR - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	0.00	1.08	0.00	1.08	70.0	0.76
	ACCOUNT #: LSR012058	BUY 1 Units @0.0000						
03/26/2018	***-**-674O - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	0.00	1.90	0.00	1.90	70.0	1.33
	ACCOUNT #: LSR012274	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	0.00	1.36	0.00	1.36	70.0	0.95
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
03/26/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM EQUITY INCOME FUND-A	0.00	0.63	0.00	0.63	70.0	0.44
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
03/26/2018	***-**-732J - FARQUHAR,	PUTNAM EQUITY INCOME FUND-A	0.00	3.80	0.00	3.80	70.0	2.66
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
03/26/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	0.00	0.39	0.00	0.39	70.0	0.27
	ACCOUNT #: LSR011807	BUY 1 Units @0.0000						
03/26/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-B	0.00	0.46	0.00	0.46	70.0	0.32
	ACCOUNT #: LSR011808	BUY 1 Units @0.0000						
03/26/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-B	0.00	0.46	0.00	0.46	70.0	0.32
	ACCOUNT #: LSR011809	BUY 1 Units @0.0000						
03/26/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-C	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011807	BUY 1 Units @0.0000						
03/26/2018	***-**-43IN - RISKALLA,	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	6.77	0.00	6.77	70.0	4.74
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
03/26/2018	***-**-069I - RICH, PATRICIA	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	3.76	0.00	3.76	70.0	2.63
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						
03/26/2018	***-**-76TR - STEELE	PUTNAM HIGH YIELD ADVANTAGE CLASS B	0.00	5.90	0.00	5.90	70.0	4.13
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
03/26/2018	***-**-673I - CZUBIK,	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	3.04	0.00	3.04	70.0	2.13
	ACCOUNT #: LSR010662	BUY 1 Units @0.0000						
03/26/2018	***-**-18IN - SANCHEZ, THERESA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.24	0.00	2.24	70.0	1.57
	ACCOUNT #: LSR010749	BUY 1 Units @0.0000						
03/26/2018	***-**-26IN - SANTOS, FATIMA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	0.22	0.00	0.22	70.0	0.15
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
03/26/2018	***-**-674O - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	1.85	0.00	1.85	70.0	1.30
	ACCOUNT #: LSR012274	BUY 1 Units @0.0000						
03/26/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.69	0.00	2.69	70.0	1.88
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
03/26/2018	***-**-84IR - FARQUHAR, TARA	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	0.30	0.00	0.30	70.0	0.21
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-732J - FARQUHAR,	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.21	0.00	2.21	70.0	1.55
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
03/26/2018	***-**-226O - MAYERSON, MS	PUTNAM TAX-FREE HIGH YIELD FUND CLA	0.00	4.71	0.00	4.71	70.0	3.30
	ACCOUNT #: LSR010890	BUY 1 Units @0.0000						
03/26/2018	***-**-76TR - STEELE	PUTNAM TAX-FREE HIGH YIELD-B	0.00	5.06	0.00	5.06	70.0	3.54
	ACCOUNT #: LSR011320	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$65.31	\$0.00	\$65.31		\$45.71
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/19/2018	***-**-308I - FREEMAN, BERNARD	PACIFIC LIFE CUSIP 69448B175-NFS	0.00	42.61	0.00	42.61	70.0	29.83
	ACCOUNT #: VM17003445	BUY 0 Units @0.0000						
03/19/2018	***-**-57IR - BERRIEN, ALLEN	PACIFIC LIFE VA COMM	0.00	72.11	0.00	72.11	70.0	50.48
	ACCOUNT #: VM13006700	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$114.72	\$0.00	\$114.72		\$80.31
		*** BROKER TRANSACTIONS TOTAL ***	\$16,754.29	\$976.10	\$54.00	\$922.10		\$645.48

BROKER COMMISSION TOTALS

Broker Transactions	\$645.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$0.00