



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2017

04/27/2017
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JOSEPH MICHALETZ
41474 Judson Bottom Road

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

North Mankato, MI 56003-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/22/2017	ANOTHER ATTIC@W45	SEALEY STRATEGIC EQUITY PARTNERS LP	4,143,231.33	134,655.02	0.00	134,655.02	93.0	125,229.17
03/22/2017	ANOTHER ATTIC@QUAIL	SEALEY STRATEGIC EQUITY PARTNERS LP	4,003,034.60	130,098.63	0.00	130,098.63	93.0	120,991.73
03/23/2017	ANOTHER ATTIC LTD	SEALEY STRATEGIC EQUITY PARTNERS LP	13,543,648.40	304,732.09	0.00	304,732.09	93.0	283,400.84
* PRIVATE PLACEMENT SUBTOTAL *			\$21,689,914.33	\$569,485.74	\$0.00	\$569,485.74		\$529,621.74
<u>PRODUCT CATEGORY: OIL & GAS</u>								
04/18/2017	MANSKE, ANDREW	RESOURCE ROYALTY BLAINE FISHER	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
* OIL & GAS SUBTOTAL *			\$50,000.00	\$3,000.00	\$0.00	\$3,000.00		\$2,790.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/24/2017	ADAMEC, MARK	GPB AUTOMOTIVE PORTFOLIO LP	73,000.00	0.00	0.00	0.00	93.0	0.00
04/20/2017	ADAMEC, MARK	HPI REAL ESTATE FUND V LLC	73,000.00	0.00	0.00	0.00	93.0	0.00
04/17/2017	STEFFEN, MARVIN	JH STRATEGIC GROWTH A	0.00	7.58	0.00	7.58	93.0	7.05
04/17/2017	STEFFEN, MARVIN	JH STRATEGIC INCOME A	0.00	11.42	0.00	11.42	93.0	10.62
04/17/2017	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	8.10	0.00	8.10	93.0	7.53
04/04/2017	BOESCH JT TEN, DEBRA	PIONEER INDEPENDENCE A	0.00	7.33	0.00	7.33	93.0	6.82
04/04/2017	GARTNER, MARIE	PIONEER VALUE A	0.00	20.22	0.00	20.22	93.0	18.80
04/17/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93
04/17/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	8,655.00	86.55	0.00	86.55	93.0	80.49
04/17/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	5,478.00	54.78	0.00	54.78	93.0	50.95
04/17/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	93.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$160,283.00	\$197.48	\$0.00	\$197.48		\$183.66
<u>PRODUCT CATEGORY: STOCKS</u>								
04/21/2017	THARP, STEVEN	POWERSHARES QQQ TR UNIT SER 1	18,033.12	0.00	22.50	-22.50	93.0	-20.93
* STOCKS SUBTOTAL *			\$18,033.12	\$0.00	\$22.50	\$-22.50		\$-20.93

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/11/2017	WOLFF, JEAN	JNL PERSPECTIVE L	8,972.00	358.88	0.00	358.88	93.0	333.76
04/18/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	93.0	20.46
04/24/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	5,500.00	220.00	0.00	220.00	93.0	204.60
04/24/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	5,500.00	220.00	0.00	220.00	93.0	204.60
04/10/2017	SOUKUP, LAVERN	PAC LIFE PORTFOLIOS	0.00	12.52	0.00	12.52	93.0	11.64
04/12/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	19,500.00	975.00	0.00	975.00	93.0	906.75
04/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
* VARIABLE ANNUITIES SUBTOTAL *			\$40,302.00	\$1,822.40	\$0.00	\$1,822.40		\$1,694.83
*** BROKER TRANSACTIONS TOTAL ***			\$21,958,532.45	\$574,505.62	\$22.50	\$574,483.12		\$534,269.30

OVERRIDE TRANSACTIONS**BROKER: JACK MICHALETZ #287**

03/22/2017	STEFFL, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	0.0	6,510.00
03/22/2017	STEFFL, JOSEPH	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,255.00
03/22/2017	STEFFL, JOSEPH	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	0.0	5,580.00
04/17/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.17	0.00	1.17	0.0	1.09
04/17/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.20	0.00	0.20	0.0	0.19
04/17/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.35	0.00	2.35	0.0	2.19
04/17/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.40	0.00	1.40	0.0	1.30
04/17/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.71	0.00	3.71	0.0	3.45
04/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.58	0.00	1.58	0.0	1.47
04/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.40	0.00	1.40	0.0	1.30
04/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.21	0.00	0.21	0.0	0.20
04/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.72	0.00	1.72	0.0	1.60
04/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.51	0.00	1.51	0.0	1.40
04/17/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.05
04/17/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	6.57	0.00	6.57	0.0	6.11
04/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.13	0.00	5.13	0.0	4.77
04/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	2.12	0.00	2.12	0.0	1.97
04/17/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.90	0.00	2.90	0.0	2.70
04/17/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.52	0.00	4.52	0.0	4.20
04/17/2017	SCHWARTZ, TRAVIS	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.07	0.00	3.07	0.0	2.86

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK MICHALETZ #287 </u>								
04/17/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.03	0.00	2.03	0.0	1.89
04/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.60	0.00	0.60	0.0	0.56
04/17/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.06
04/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.29	0.00	1.29	0.0	1.20
04/17/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.07
04/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.28	0.00	0.28	0.0	0.26
04/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.29	0.00	2.29	0.0	2.13
04/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.58	0.00	1.58	0.0	1.47
04/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.46	0.00	1.46	0.0	1.36
04/17/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.61	0.00	2.61	0.0	2.43
04/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	1.13
04/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.30	0.00	1.30	0.0	1.21
04/17/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.83	0.00	0.83	0.0	0.77
04/17/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	5.56	0.00	5.56	0.0	5.17
04/17/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.68	0.00	1.68	0.0	1.56
04/17/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.87	0.00	1.87	0.0	1.74
04/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	5.62	0.00	5.62	0.0	5.23
04/17/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.10
04/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	4.89	0.00	4.89	0.0	4.55
04/17/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
04/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	4.18	0.00	4.18	0.0	3.89
04/02/2017	LORENTZ, NICHOLAS	METLIFE LIFE INS	0.00	5.00	0.00	5.00	0.0	4.65
04/02/2017	LORENTZ, ANDREW	METLIFE LIFE INS	600.00	420.00	0.00	420.00	0.0	390.60
04/12/2017	SIEBERG, KYLE	POWERSHARES QQQ TR UNIT SER 1	11,025.67	0.00	22.50	-22.50	0.0	-20.93
04/17/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-8.84
04/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
04/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
04/17/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-10.23
04/12/2017	HAGER, REBECCA	THE OSTERWEIS STRATEGIC INCOME FUND	47,500.00	0.00	22.00	-22.00	0.0	-20.46
04/10/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42
04/12/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	1,800.00	72.00	22.00	50.00	0.0	46.50
04/21/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	1,200.00	57.00	0.00	57.00	0.0	53.01
04/11/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	6,500.00	65.00	0.00	65.00	0.0	60.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
04/13/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	21.75	0.22	0.00	0.22	0.0	0.20
04/13/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	21.75	0.22	0.00	0.22	0.0	0.20
04/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
04/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
04/17/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.43
04/17/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.43
04/17/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
04/17/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
04/17/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	61.77	0.62	0.00	0.62	0.0	0.58
04/17/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	61.77	0.62	0.00	0.62	0.0	0.58
04/17/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
04/20/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	2,325.00	23.25	0.00	23.25	0.0	21.62
04/20/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	12,500.00	125.00	0.00	125.00	0.0	116.25
04/13/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	61.48	0.61	0.00	0.61	0.0	0.57
04/13/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	61.48	0.61	0.00	0.61	0.0	0.57
04/17/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
04/17/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	0.0	2.33
04/17/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
* JACK MICHALETZ SUBTOTAL *			\$335,464.79	\$17,372.30	\$114.50	\$17,257.80		\$16,049.79
*** OVERRIDE TRANSACTIONS TOTAL ***			\$335,464.79	\$17,372.30	\$114.50	\$17,257.80		\$16,049.79

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BROKER COMMISSION TOTALS

Broker Transactions	\$534,269.30
Split Transactions	\$0.00
Override Transactions	\$16,049.79
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$550,319.09
Year-to-date Gross	\$1,856,951.27
Year-to-date Earnings	\$1,809,976.33