



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^06/20/2017	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,000.00	500.00	0.00	500.00	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$50,000.00	\$500.00	\$0.00	\$500.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	8.50	0.00	8.50	90.0	0.00
^06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	5.76	0.00	5.76	90.0	0.00
^06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	5.76	0.00	5.76	90.0	0.00
^06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	11.77	0.00	11.77	90.0	0.00
^06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	69.23	2.94	0.00	2.94	90.0	0.00
^06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	115.38	4.90	0.00	4.90	90.0	0.00
^06/28/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	1,000.00	50.00	22.00	28.00	90.0	0.00
^06/28/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	75.00	3.75	22.00	-18.25	90.0	0.00
^06/28/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	170.00	8.50	22.00	-13.50	90.0	0.00
^06/28/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	200.00	10.00	22.00	-12.00	90.0	0.00
^06/30/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^07/03/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^06/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^06/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^06/28/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	22.00	-17.00	90.0	0.00
^06/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	4.75	0.00	4.75	90.0	0.00
^06/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^06/30/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^06/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^06/30/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^06/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^06/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^06/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^06/30/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^06/30/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^06/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.39	0.00	1.39	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	2.21	0.00	2.21	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	2.79	0.00	2.79	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	4.06	0.00	4.06	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	4.12	0.00	4.12	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.95	0.00	0.95	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.37	0.00	0.37	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.33	0.00	0.33	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.36	0.00	0.36	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.48	0.00	0.48	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.71	0.00	2.71	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.82	0.00	0.82	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.96	0.00	5.96	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	7.58	0.00	7.58	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.36	0.00	0.36	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.61	0.00	0.61	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	5.13	0.00	5.13	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$3,884.51	\$212.56	\$110.00	\$102.56		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

^06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.65	0.00	0.65	90.0	0.00
^06/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.00
^06/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.00
^06/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.15	0.00	1.15	90.0	0.00
^06/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.61	0.00	0.61	90.0	0.00
^06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.36	0.00	0.36	90.0	0.00
^06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.52	0.00	0.52	90.0	0.00
^06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.00
^06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.00
^06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.73	0.00	0.73	90.0	0.00
^06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.49	0.00	0.49	90.0	0.00
^06/15/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.90	0.00	2.90	90.0	0.00
^06/16/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	12.15	0.00	12.15	90.0	0.00
^06/19/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.51	0.00	0.51	90.0	0.00
^06/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.81	0.00	1.81	90.0	0.00
^06/14/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.34	0.00	0.34	90.0	0.00
^06/28/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.74	0.00	3.74	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$27.59	\$0.00	\$27.59		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$53,884.51	\$740.15	\$110.00	\$630.15		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.55	0.00	2.55	90.0	2.30
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	1.73	0.00	1.73	90.0	1.56
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	1.73	0.00	1.73	90.0	1.56
06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
06/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.53	0.00	3.53	90.0	3.18
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	69.23	0.88	0.00	0.88	90.0	0.79
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	115.38	1.47	0.00	1.47	90.0	1.32
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	90.0	0.18
06/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
06/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
06/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.32
06/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
06/28/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	1,000.00	15.00	6.60	8.40	90.0	7.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/28/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	75.00	1.13	6.60	-5.47	90.0	-4.92
06/28/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	170.00	2.55	6.60	-4.05	90.0	-3.65
06/28/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	200.00	3.00	6.60	-3.60	90.0	-3.24
06/30/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	90.0	0.16
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.10
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.16	0.00	0.16	90.0	0.14
07/03/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
06/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
06/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/28/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.50	6.60	-5.10	90.0	-4.59
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.22	0.00	0.22	90.0	0.20
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.15	0.00	0.15	90.0	0.14
06/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.43	0.00	1.43	90.0	1.29
06/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
06/30/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
06/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
06/30/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
06/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
06/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
06/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
06/30/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
06/30/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
06/15/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.87	0.00	0.87	90.0	0.78
06/16/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.65	0.00	3.65	90.0	3.29
06/19/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.15	0.00	0.15	90.0	0.14
06/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.54	0.00	0.54	90.0	0.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
06/20/2017	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,000.00	150.00	0.00	150.00	90.0	135.00
06/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
06/14/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.10	0.00	0.10	90.0	0.09
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.42	0.00	0.42	90.0	0.38
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.84	0.00	0.84	90.0	0.76
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.22	0.00	1.22	90.0	1.10
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.24	0.00	1.24	90.0	1.12
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.29	0.00	0.29	90.0	0.26
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.11	0.00	0.11	90.0	0.10
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.14	0.00	0.14	90.0	0.13
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.81	0.00	0.81	90.0	0.73
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	1.79	0.00	1.79	90.0	1.61
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.27	0.00	2.27	90.0	2.04
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.18	0.00	0.18	90.0	0.16
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.54	0.00	1.54	90.0	1.39
06/28/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.12	0.00	1.12	90.0	1.01
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$53,884.51	\$222.08	\$33.00	\$189.08		\$170.23
*** SPLIT TRANSACTIONS TOTAL ***			\$53,884.51	\$222.08	\$33.00	\$189.08		\$170.23

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2017		*PERSHING: PROSPECTUS	0.00					0.01
07/15/2017		*PERSHING: PROSPECTUS	0.00					-4.64
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-4.63

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$170.23
Override Transactions	\$0.00
Adjustments	\$-4.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$32.53
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$198.13
Year-to-date Gross	\$1,160.99
Year-to-date Earnings	\$920.58

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed