

**COMMISSION STATEMENT****January 15, 2018**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/27/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	22.50	1.07	0.00	1.07	80.0	0.86
01/02/2018	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	80.0	0.69
12/27/2017	MARKS, GAREN	INA BOSTON COMPANY DYNAMIC LARGE CA	22.50	1.07	0.00	1.07	80.0	0.86
12/27/2017	KINDSCHY, CYNTHIA	INA BOSTON COMPANY DYNAMIC LARGE CA	250.00	2.50	0.00	2.50	80.0	2.00
01/02/2018	SAYA, CHRISTINE	INA BOSTON COMPANY DYNAMIC LARGE CA	8.75	0.42	0.00	0.42	80.0	0.34
01/04/2018	GALENTINE, ASHLEY	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
01/05/2018	GOODMAN, LAUREN	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
01/05/2018	GOODMAN, LAUREN	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	80.0	1.90
* 529 PLANS SUBTOTAL *			\$471.75	\$13.06	\$0.00	\$13.06		\$10.45
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	80.0	3.40
12/26/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.38	0.00	1.38	80.0	1.10
12/26/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
12/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	80.0	4.00
12/29/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	80.0	1.54
01/02/2018	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	80.0	2.00
12/26/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	1.79	0.00	1.79	80.0	1.43
12/29/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	80.0	1.32
01/02/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	80.0	1.80
12/26/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.06	0.00	2.06	80.0	1.65
12/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	80.0	1.70
12/29/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	80.0	1.10
01/02/2018	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	80.0	1.80

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	80.0	11.00
01/02/2018	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	80.0	1.50
12/26/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	80.0	1.70
12/26/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.06	0.00	2.06	80.0	1.65
12/26/2017	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	250.00	12.50	0.00	12.50	80.0	10.00
12/27/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	141.82	2.27	0.00	2.27	80.0	1.82
12/27/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	141.82	2.27	0.00	2.27	80.0	1.82
12/27/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	64.91	1.04	0.00	1.04	80.0	0.83
12/27/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	64.91	1.04	0.00	1.04	80.0	0.83
12/28/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	157.47	2.52	0.00	2.52	80.0	2.02
12/28/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	157.47	2.52	0.00	2.52	80.0	2.02
12/28/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	72.74	1.16	0.00	1.16	80.0	0.93
12/28/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	72.73	1.16	0.00	1.16	80.0	0.93
12/29/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	80.0	3.40
01/02/2018	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	80.0	1.50
12/26/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	1.51	0.00	1.51	80.0	1.21
12/29/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	80.0	1.10
12/29/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	80.0	0.80
01/02/2018	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	80.0	0.80
01/02/2018	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	4.00
12/26/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	1.79	0.00	1.79	80.0	1.43
12/26/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	1.79	0.00	1.79	80.0	1.43
01/02/2018	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	80.0	1.50
01/02/2018	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
12/29/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	80.0	0.80
01/02/2018	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	80.0	0.96
01/02/2018	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	80.0	11.00
12/27/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	64.91	1.04	0.00	1.04	80.0	0.83
12/27/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	64.91	1.04	0.00	1.04	80.0	0.83
12/28/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	72.74	1.16	0.00	1.16	80.0	0.93
12/28/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	72.73	1.16	0.00	1.16	80.0	0.93
12/29/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	80.0	3.40

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	80.0	0.10
12/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	80.0	0.86
01/02/2018	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	80.0	0.95
12/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	80.0	1.17
12/27/2017	KINDSCHY, CYNTHIA	ASCENSUS INA PIMCO TOTAL RETURN POR	2,000.00	20.00	0.00	20.00	80.0	16.00
01/02/2018	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	80.0	0.13
01/05/2018	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	80.0	1.30
01/05/2018	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	80.0	1.30
12/27/2017	KINDSCHY, CYNTHIA	ASCENSUS INA SHORT ERM TREASURY IND	750.00	7.50	0.00	7.50	80.0	6.00
12/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	80.0	0.39
01/05/2018	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	80.0	1.30
01/05/2018	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	80.0	1.30
12/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	80.0	0.86
01/02/2018	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	80.0	0.34
01/04/2018	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	80.0	1.90
12/27/2017	KINDSCHY, CYNTHIA	ASCENSUS INA TIPS INDEX PORTFOLIO C	2,000.00	20.00	0.00	20.00	80.0	16.00
01/05/2018	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	80.0	1.30
01/05/2018	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	80.0	1.30
01/02/2018	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	80.0	0.26
01/02/2018	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	80.0	1.78
* MUTUAL FUNDS SUBTOTAL *			\$10,262.41	\$212.92	\$0.00	\$212.92		\$170.28
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,283.74	8.45	0.00	8.45	80.0	6.76
12/31/2017	LAYMAN, VARIOUS	LINCOLN BENEFIT TRAIL RENEWALS	0.00	126.41	0.00	126.41	80.0	101.13
12/31/2017	SENGER, LYNDA	PRU AS LIFEVEST II	110,468.92	207.13	0.00	207.13	80.0	165.70
12/31/2017	SENGER, LYNDA	PRU AS LIFEVEST II	110,468.92	69.04	0.00	69.04	80.0	55.23
* VARIABLE ANNUITIES SUBTOTAL *			\$241,221.58	\$411.03	\$0.00	\$411.03		\$328.82
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/15/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	80.0	0.57
12/15/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	80.0	0.66
12/15/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.14	0.00	1.14	80.0	0.91
12/15/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	80.0	0.35

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/15/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.74	0.00	11.74	80.0	9.39
12/15/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	80.0	0.40
12/15/2017	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.69	0.00	0.69	80.0	0.55
12/15/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.45	0.00	0.45	80.0	0.36
12/15/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.75	0.00	0.75	80.0	0.60
12/15/2017	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.60	0.00	0.60	80.0	0.48
12/15/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.29	0.00	1.29	80.0	1.03
12/15/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	80.0	0.36
12/15/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.88	0.00	8.88	80.0	7.10
12/15/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	80.0	0.33
12/15/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.10	0.00	2.10	80.0	1.68
12/15/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	80.0	0.64
12/15/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.42	0.00	6.42	80.0	5.14
12/15/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	80.0	0.19
12/15/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.16	0.00	5.16	80.0	4.13
12/15/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.60	0.00	0.60	80.0	0.48
12/15/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.65	0.00	6.65	80.0	5.32
12/15/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	80.0	0.23
12/15/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.63	0.00	4.63	80.0	3.70
12/15/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	80.0	0.42
12/15/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.81	0.00	5.81	80.0	4.65
12/15/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	80.0	0.41
12/15/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.40	0.00	0.40	80.0	0.32
12/15/2017	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.60	0.00	0.60	80.0	0.48
12/15/2017	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.80	0.00	0.80	80.0	0.64
12/15/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.07	0.00	2.07	80.0	1.66
12/15/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.30	0.00	6.30	80.0	5.04
12/15/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.54	0.00	5.54	80.0	4.43
12/15/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.08	0.00	4.08	80.0	3.26
12/15/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.21	0.00	4.21	80.0	3.37
12/21/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.61	0.00	0.61	80.0	0.49
12/21/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.61	0.00	0.61	80.0	0.49
12/21/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	80.0	0.46

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/21/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	80.0	0.46
12/21/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.38	0.00	2.38	80.0	1.90
12/18/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.19	0.00	5.19	80.0	4.15
12/18/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.57	0.00	15.57	80.0	12.46
12/18/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.39	0.00	5.39	80.0	4.31
12/18/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.18	0.00	16.18	80.0	12.94
12/18/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.55	0.00	8.55	80.0	6.84
12/18/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.65	0.00	25.65	80.0	20.52
12/18/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.45	0.00	8.45	80.0	6.76
12/18/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.36	0.00	25.36	80.0	20.29
12/18/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.16	0.00	3.16	80.0	2.53
12/18/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.48	0.00	9.48	80.0	7.58
12/18/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.56	0.00	9.56	80.0	7.65
12/18/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.67	0.00	28.67	80.0	22.94
12/18/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.57	0.00	10.57	80.0	8.46
12/18/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.70	0.00	31.70	80.0	25.36
12/18/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.76	0.00	10.76	80.0	8.61
12/18/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.28	0.00	32.28	80.0	25.82
12/18/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.06	0.00	8.06	80.0	6.45
12/18/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.19	0.00	24.19	80.0	19.35
12/18/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.49	0.00	8.49	80.0	6.79
12/18/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.46	0.00	25.46	80.0	20.37
12/18/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.48	0.00	9.48	80.0	7.58
12/18/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.44	0.00	28.44	80.0	22.75
12/15/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	80.0	0.16
12/15/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.41	0.00	0.41	80.0	0.33
12/15/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.62	0.00	0.62	80.0	0.50
12/15/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.52	0.00	0.52	80.0	0.42
12/15/2017	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.80	0.00	0.80	80.0	0.64
12/20/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.82	0.00	0.82	80.0	0.66
12/20/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.26	0.00	5.26	80.0	4.21
12/20/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.92	0.00	1.92	80.0	1.54
12/20/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	30.73	0.00	30.73	80.0	24.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	JACOT, ROBERT	OPPENHEIMER CAPITAL APPRECIATION A	0.00	27.98	0.00	27.98	80.0	22.38
/ /	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	12.05	0.00	12.05	80.0	9.64
/ /	GOODLANDER, GERALD	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.48	0.00	1.48	80.0	1.18
/ /	MCDONALD, TINA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	7.82	0.00	7.82	80.0	6.26
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	33.24	0.00	33.24	80.0	26.59
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	23.78	0.00	23.78	80.0	19.02
/ /	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	0.00	16.26	0.00	16.26	80.0	13.01
/ /	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	0.00	11.77	0.00	11.77	80.0	9.42
/ /	ZINN, DIANE	OPPENHEIMER DISCOVERY A	0.00	1.17	0.00	1.17	80.0	0.94
/ /	ZINN, JEFFREY	OPPENHEIMER DISCOVERY A	0.00	1.17	0.00	1.17	80.0	0.94
/ /	JACOT, ROBERT	OPPENHEIMER DISCOVERY A	0.00	30.29	0.00	30.29	80.0	24.23
/ /	STEURY, MICHAEL	OPPENHEIMER EMERG MKTS INNOV	0.00	2.12	0.00	2.12	80.0	1.70
/ /	HODAPP, PETER	OPPENHEIMER EQUITY INCOME A	0.00	4.00	0.00	4.00	80.0	3.20
/ /	ZINN, DIANE	OPPENHEIMER GLOBAL A	0.00	1.31	0.00	1.31	80.0	1.05
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	1.31	0.00	1.31	80.0	1.05
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	2.46	0.00	2.46	80.0	1.97
/ /	JACOT, CAROL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	7.95	0.00	7.95	80.0	6.36
/ /	JACOT, ROBERT	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	11.28	0.00	11.28	80.0	9.02
/ /	STEURY, MICHAEL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.76	0.00	0.76	80.0	0.61
/ /	STEURY, MICHAEL	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	3.40	0.00	3.40	80.0	2.72
/ /	OAR, WARREN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.50	0.00	4.50	80.0	3.60
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	11.58	0.00	11.58	80.0	9.26
/ /	BERNDT, GARY	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	8.36	0.00	8.36	80.0	6.69
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	15.50	0.00	15.50	80.0	12.40
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.73	0.00	0.73	80.0	0.58
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.33	0.00	4.33	80.0	3.46
/ /	STEURY, MICHAEL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.68	0.00	1.68	80.0	1.34
/ /	OAR, WARREN	OPPENHEIMER INTL BOND A	0.00	3.86	0.00	3.86	80.0	3.09
/ /	JACOT, ROBERT	OPPENHEIMER INTL GROWTH A	0.00	27.33	0.00	27.33	80.0	21.86
/ /	ZINN, DIANE	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.59	0.00	0.59	80.0	0.47
/ /	ZINN, JEFFREY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.59	0.00	0.59	80.0	0.47
/ /	BERNDT, GARY	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.97	0.00	4.97	80.0	3.98
/ /	JACOT, ROBERT	OPPENHEIMER MAIN STREET A	0.00	55.17	0.00	55.17	80.0	44.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET OPP A	0.00	1.61	0.00	1.61	80.0	1.29
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET OPP A	0.00	1.61	0.00	1.61	80.0	1.29
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.74	0.00	1.74	80.0	1.39
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.70	0.00	2.70	80.0	2.16
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.70	0.00	2.70	80.0	2.16
/ /	STEURY, MICHAEL	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	11.24	0.00	11.24	80.0	8.99
/ /	SCHUELKE, THOMAS	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	3.54	0.00	3.54	80.0	2.83
/ /	HARTOG, MAX	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	8.00	0.00	8.00	80.0	6.40
/ /	SHOCK, JACK	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	3.05	0.00	3.05	80.0	2.44
/ /	GOODLANDER, GERALD	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	3.72	0.00	3.72	80.0	2.98
/ /	STEURY, MICHAEL	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	1.00	0.00	1.00	80.0	0.80
/ /	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	10.55	0.00	10.55	80.0	8.44
/ /	ZINN, DIANE	OPPENHEIMER RISING DIVIDENDS A	0.00	1.60	0.00	1.60	80.0	1.28
/ /	ZINN, JEFFREY	OPPENHEIMER RISING DIVIDENDS A	0.00	1.60	0.00	1.60	80.0	1.28
/ /	STEURY, MICHAEL	OPPENHEIMER RISING DIVIDENDS A	0.00	0.43	0.00	0.43	80.0	0.34
/ /	OAR, WARREN	OPPENHEIMER SENIOR FLOATING RATE A	0.00	3.37	0.00	3.37	80.0	2.70
/ /	ZINN, DIANE	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.94	0.00	1.94	80.0	1.55
/ /	ZINN, JEFFREY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.94	0.00	1.94	80.0	1.55
/ /	STEURY, MICHAEL	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.80	0.00	0.80	80.0	0.64
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	12.38	0.00	12.38	80.0	9.90
/ /	MESEKE, GILBERT	OPPENHEIMER SENIOR FLOATING RATE C	0.00	19.07	0.00	19.07	80.0	15.26
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.98	0.00	5.98	80.0	4.78
/ /	BERNDT, GARY	OPPENHEIMER SENIOR FLOATING RATE C	0.00	8.98	0.00	8.98	80.0	7.18
/ /	MCDONALD, TINA	OPPENHEIMER SMALL MID CAP VALUE C	0.00	7.84	0.00	7.84	80.0	6.27
/ /	BERNDT, GARY	OPPENHEIMER US GOVT TRUST C	0.00	5.15	0.00	5.15	80.0	4.12
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	11.52	0.00	11.52	80.0	9.22
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	5.54	0.00	5.54	80.0	4.43
12/21/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.87	0.00	10.87	80.0	8.70
12/14/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.47	0.00	7.47	80.0	5.98
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$982.02	\$0.00	\$982.02		\$785.61
*** DIRECT TRANSACTIONS TOTAL ***			\$251,955.74	\$1,619.03	\$0.00	\$1,619.03		\$1,295.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10</u>								
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	80.0	0.46
12/29/2017	HORNER, DOUGLAS	ASCENSUS INA COLLEGE PORTFOLIO CL A	1,900.00	21.61	0.00	21.61	80.0	17.29
12/29/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	80.0	0.46
12/29/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
12/29/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.17	0.00	0.17	80.0	0.14
12/29/2017	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
01/02/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.10	0.00	0.10	80.0	0.08
01/02/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.41	0.00	0.41	80.0	0.33
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,130.00	\$24.01	\$0.00	\$24.01		\$19.23

<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
12/29/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	80.0	1.65
12/29/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.97	0.00	2.97	80.0	2.38
12/29/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	225.18	3.35	0.00	3.35	80.0	2.68
12/29/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	225.18	3.35	0.00	3.35	80.0	2.68
12/29/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	80.0	1.65
12/29/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	80.0	3.30
12/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	80.0	0.24
12/15/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	80.0	0.16
12/15/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	80.0	0.08
12/15/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	80.0	0.10
12/15/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	80.0	0.34
12/15/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	80.0	0.10
12/29/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	80.0	1.74
12/29/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	80.0	1.40
01/05/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	80.0	1.74
12/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	80.0	0.18
12/15/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.24	0.00	0.24	80.0	0.19
12/15/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	80.0	0.11
12/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.20	0.00	0.20	80.0	0.16
01/02/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	80.0	0.70
12/15/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	80.0	0.14
12/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	80.0	0.70
12/29/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	80.0	4.90

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
12/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	80.0	0.14
12/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	80.0	0.20
12/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	80.0	0.14
12/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	80.0	1.33
12/29/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	80.0	0.10
12/29/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	80.0	0.08
01/02/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	80.0	0.19
01/02/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	80.0	0.33
01/02/2018	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	80.0	0.19
12/29/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	80.0	0.51
12/29/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	80.0	0.33
12/29/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	80.0	0.33
12/14/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.09	0.00	1.09	80.0	0.87
12/15/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.57	0.00	4.57	80.0	3.66
12/22/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	80.0	0.14
12/20/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.66	0.00	0.66	80.0	0.53
01/02/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	80.0	0.08
12/15/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.25	0.00	0.25	80.0	0.20
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.53	0.00	0.53	80.0	0.42
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.80	0.00	0.80	80.0	0.64
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.99	0.00	0.99	80.0	0.79
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.45	0.00	1.45	80.0	1.16
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.49	0.00	1.49	80.0	1.19
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.35	0.00	0.35	80.0	0.28
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.12	0.00	0.12	80.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.11	0.00	0.11	80.0	0.09
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.12	0.00	0.12	80.0	0.10
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.18	0.00	0.18	80.0	0.14
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.98	0.00	0.98	80.0	0.78
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.27	0.00	0.27	80.0	0.22
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.13	0.00	2.13	80.0	1.70
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.71	0.00	2.71	80.0	2.17
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.12	0.00	0.12	80.0	0.10

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.21	0.00	0.21	80.0	0.17
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.83	0.00	1.83	80.0	1.46
12/19/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.28	0.00	1.28	80.0	1.02
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,404.20	\$61.49	\$0.00	\$61.49		\$49.20
*** SPLIT TRANSACTIONS TOTAL ***			\$4,534.20	\$85.50	\$0.00	\$85.50		\$68.43

ADJUSTMENTS

01/15/2018	*ALBRIDGE: LIGH USER	0.00						-76.00
01/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
01/15/2018	*EO INSURANCE: CURRENT MONTH INS-BI	0.00						-300.00
01/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
01/15/2018	*LASER APP: CURRENT MONTH - KEITH	0.00						-18.00
01/15/2018	*LASER APP: LASER APP - BILL	0.00						-18.00
01/15/2018	*PERSHING: BLOCK TRADE REBALANCE	0.00						-42.00
01/15/2018	*PERSHING: BOOKS AND RECORDS	0.00						-1.98
01/15/2018	*PERSHING: MANDITORY REORG - FEDLER	0.00						-10.00
01/15/2018	*PERSHING: MANDITORY REORG - LOWDEN	0.00						-10.00
01/15/2018	*PERSHING: MANDITORY REORG - NOLL	0.00						-10.00
01/15/2018	*PERSHING: MANDITORY REORG - RICHEY	0.00						-10.00
01/15/2018	*PERSHING: MANDITORY REORG - SIEBER	0.00						-10.00
01/15/2018	*PERSHING: MANDITORY REORG - TUTTLE	0.00						-10.00
01/15/2018	*PERSHING: MANDITORY REORG - VIELKI	0.00						-10.00
01/15/2018	*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00						-130.00
01/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
01/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC -	0.00						-180.00
01/15/2018	*TECHNOLOGY: LIMITED ADMIN - S BONA	0.00						-60.00
01/15/2018	*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00						-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,450.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,295.16
Split Transactions	\$68.43
Override Transactions	\$0.00
Adjustments	\$-1,450.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-87.39
Year-to-date Gross	\$1,704.53
Year-to-date Earnings	\$1,363.59