



Concorde Investment Services, LLC

06/13/2017
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COMMISSION STATEMENT

June 15, 2017

ROY PFLEGER II
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
05/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	22.48	0.00	22.48	75.0	16.86
05/18/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.07	0.00	0.07	75.0	0.05
05/17/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.59	0.00	0.59	75.0	0.44
05/17/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.53	0.00	0.53	75.0	0.40
05/17/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.23	0.00	0.23	75.0	0.17
05/17/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.21	0.00	0.21	75.0	0.16
05/17/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.41	0.00	0.41	75.0	0.31
05/17/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	4.29	0.00	4.29	75.0	3.22
05/17/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	0.42	0.00	0.42	75.0	0.32
06/12/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	-5,482.32	7.70	7.70	0.00	75.0	0.00
05/17/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.25	0.00	0.25	75.0	0.19
05/17/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.28	0.00	0.28	75.0	0.21
05/17/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	75.0	0.15
05/10/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	75.0	0.14
05/11/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.34	0.00	0.34	75.0	0.26
05/11/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.55	0.00	1.55	75.0	1.16
05/23/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.74	0.00	0.74	75.0	0.56
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$-5,482.32	\$40.47	\$7.70	\$32.77		\$24.60
*** SPLIT TRANSACTIONS TOTAL ***			\$-5,482.32	\$40.47	\$7.70	\$32.77		\$24.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2017		*FINRA: NV IND. REG. FEE	0.00					-125.00
06/15/2017		*PERSHING: MARK UPS	0.00					10.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-114.50

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$24.60
Override Transactions	\$0.00
Adjustments	\$-114.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$11.98
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-77.92
Year-to-date Gross	\$29,598.33
Year-to-date Earnings	\$22,169.63

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