



COMMISSION STATEMENT

April 23, 2018

RONALD DRAKE
6968 Bolivar Road
Chittenango, NY 13037

BROKER #: A36
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u> | | | | | | | | |
| 04/12/2018 | ***-**-2674 - MURRAY, SUZANNE | GWG L BOND - 7 YR BUY 15 Units @1000.0000 | 15,000.00 | 900.00 | 0.00 | 900.00 | 75.0 | 675.00 |
| 04/11/2018 | ***-**-2674 - MURRAY, SUZANNE | GWG L BOND - 2YR BUY 10 Units @1000.0000 | 10,000.00 | 400.00 | 0.00 | 400.00 | 75.0 | 300.00 |
| 04/12/2018 | ***-**-2674 - MURRAY, SUZANNE | GWG L BOND - 3YR BUY 10 Units @1000.0000 | 10,000.00 | 500.00 | 0.00 | 500.00 | 75.0 | 375.00 |
| * ALTERNATIVE INVESTMENTS SUBTOTAL * | | | \$35,000.00 | \$1,800.00 | \$0.00 | \$1,800.00 | | \$1,350.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/12/2018 | ***-**-2146 - JONES, KELLIE ACCOUNT #: 00087644930 | AMERICAN BALANCED FUND-A BUY 4 Units @28.5700 | 100.00 | 5.00 | 0.00 | 5.00 | 75.0 | 3.75 |
| 04/16/2018 | ***-**-641X - BULLARO, FBO ACCOUNT #: LSR010641 | AMERICAN BALANCED FUND-A BUY 11 Units @28.6800 | 325.00 | 16.25 | 0.00 | 16.25 | 75.0 | 12.19 |
| 04/16/2018 | ***-**-435X - KEITH, FBO ACCOUNT #: LSR010643 | AMERICAN BALANCED FUND-A BUY 11 Units @28.6800 | 325.00 | 16.25 | 0.00 | 16.25 | 75.0 | 12.19 |
| 04/16/2018 | ***-**-721X - VAILLANCOURT, ACCOUNT #: LSR010644 | AMERICAN BALANCED FUND-A BUY 3 Units @28.6800 | 100.01 | 5.00 | 0.00 | 5.00 | 75.0 | 3.75 |
| 04/16/2018 | ***-**-008X - HICKS, FBO ACCOUNT #: LSR010645 | AMERICAN BALANCED FUND-A BUY 17 Units @28.6800 | 475.00 | 23.75 | 0.00 | 23.75 | 75.0 | 17.81 |
| 04/02/2018 | ***-**-70IN - KING, SUSAN ACCOUNT #: 03210336612 | CAPITAL APPRECIATION A BUY 0 Units @0.0000 | 60.00 | 1.80 | 0.00 | 1.80 | 75.0 | 1.35 |
| 04/05/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 03009145730 | CAPITAL INCOME A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 04/09/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 03008792487 | CAPITAL INCOME A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 75.0 | 9.35 |
| 04/09/2018 | ***-**-030J - MERRILL, ACCOUNT #: 03009145719 | CAPITAL INCOME A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 04/02/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 08351548074 | EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| 04/09/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208 | EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 75.0 | 9.35 |
| 04/05/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 05450490435 | OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000 | 112.50 | 3.38 | 0.00 | 3.38 | 75.0 | 2.54 |



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|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 04/09/2018 | ***-**-030J - MERRILL, ACCOUNT #: 05450490490 | OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000 | 112.50 | 3.38 | 0.00 | 3.38 | 75.0 | 2.54 |
| 04/02/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 07859653204 | OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| 04/05/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 01951261073 | OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000 | 12.50 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 04/09/2018 | ***-**-030J - MERRILL, ACCOUNT #: 01951261139 | OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000 | 12.50 | 0.38 | 0.00 | 0.38 | 75.0 | 0.29 |
| 04/02/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 08476672325 | OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| 04/02/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 02514166107 | OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000 | 76.00 | 2.28 | 0.00 | 2.28 | 75.0 | 1.71 |
| 04/02/2018 | ***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453 | OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000 | 60.00 | 1.65 | 0.00 | 1.65 | 75.0 | 1.24 |
| 04/09/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122 | OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000 | 262.50 | 10.50 | 0.00 | 10.50 | 75.0 | 7.88 |
| 04/02/2018 | ***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 80.00 | 2.40 | 0.00 | 2.40 | 75.0 | 1.80 |
| 04/02/2018 | ***-**-33IN - KING, JOHN ACCOUNT #: 02254507140 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 96.00 | 2.88 | 0.00 | 2.88 | 75.0 | 2.16 |
| 04/09/2018 | ***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468 | RISING DIVIDENDS FUND A BUY 0 Units @0.0000 | 262.50 | 12.47 | 0.00 | 12.47 | 75.0 | 9.35 |
| 04/05/2018 | ***-**-481J - MERRILL, JASON ACCOUNT #: 06000782397 | SELECT VALUE FUND A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 04/09/2018 | ***-**-030J - MERRILL, ACCOUNT #: 06000782386 | SELECT VALUE FUND A BUY 0 Units @0.0000 | 62.50 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| 04/12/2018 | ***-**-2146 - JONES, KELLIE ACCOUNT #: 00087644930 | THE INCOME FUND OF AMERICA-A BUY 4 Units @24.3600 | 100.00 | 5.00 | 0.00 | 5.00 | 75.0 | 3.75 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$3,575.01 | \$152.05 | \$0.00 | \$152.05 | | \$114.06 |



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|--|--------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 04/23/2018 | ***-**-108X - FOREST, NSPS | AMCAP FUND-A | 0.00 | 0.33 | 0.00 | 0.33 | 75.0 | 0.25 |
| | ACCOUNT #: LSR010522 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-78IO - DONOVAN, FBO | AMERICAN BALANCED FUND-A | 0.00 | 0.70 | 0.00 | 0.70 | 75.0 | 0.53 |
| | ACCOUNT #: LSR010557 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-190X - HULL, JENNIFER | AMERICAN BALANCED FUND-A | 0.00 | 0.24 | 0.00 | 0.24 | 75.0 | 0.18 |
| | ACCOUNT #: LSR010646 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-09IO - CAREY, FBO | AMERICAN BALANCED FUND-A | 0.00 | 5.40 | 0.00 | 5.40 | 75.0 | 4.05 |
| | ACCOUNT #: LSR011076 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-108X - FOREST, NSPS | AMERICAN BALANCED FUND-A | 0.00 | 1.69 | 0.00 | 1.69 | 75.0 | 1.27 |
| | ACCOUNT #: LSR010522 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-653X - WERNER, FBO EVAN | AMERICAN BALANCED FUND-A | 0.00 | 1.29 | 0.00 | 1.29 | 75.0 | 0.97 |
| | ACCOUNT #: LSR010638 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-641X - BULLARO, FBO | AMERICAN BALANCED FUND-A | 0.00 | 0.68 | 0.00 | 0.68 | 75.0 | 0.51 |
| | ACCOUNT #: LSR010641 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-435X - KEITH, FBO | AMERICAN BALANCED FUND-A | 0.00 | 0.68 | 0.00 | 0.68 | 75.0 | 0.51 |
| | ACCOUNT #: LSR010643 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-721X - VAILLANCOURT, | AMERICAN BALANCED FUND-A | 0.00 | 0.21 | 0.00 | 0.21 | 75.0 | 0.16 |
| | ACCOUNT #: LSR010644 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-008X - HICKS, FBO | AMERICAN BALANCED FUND-A | 0.00 | 1.00 | 0.00 | 1.00 | 75.0 | 0.75 |
| | ACCOUNT #: LSR010645 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-653I - WERNER, EVAN | AMERICAN BALANCED FUND-A | 0.00 | 2.52 | 0.00 | 2.52 | 75.0 | 1.89 |
| | ACCOUNT #: LSR010661 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-05IR - DAVIS, STEPHEN | AMERICAN BALANCED FUND-A | 0.00 | 1.88 | 0.00 | 1.88 | 75.0 | 1.41 |
| | ACCOUNT #: LSR010693 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-722I - SCOONES, FBO | AMERICAN BALANCED FUND-A | 0.00 | 6.48 | 0.00 | 6.48 | 75.0 | 4.86 |
| | ACCOUNT #: LSR010722 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-7767 - DONOVAN, WILLIAM | AMERICAN BALANCED FUND-A | 0.00 | 2.75 | 0.00 | 2.75 | 75.0 | 2.06 |
| | ACCOUNT #: LSR012405 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-09IO - CAREY, FBO | AMERICAN FUNDS 2035 TARGET DATE-A | 0.00 | 5.24 | 0.00 | 5.24 | 75.0 | 3.93 |
| | ACCOUNT #: LSR011076 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-09IR - CAREY, FBO | AMERICAN FUNDS 2035 TARGET DATE-A | 0.00 | 0.13 | 0.00 | 0.13 | 75.0 | 0.10 |
| | ACCOUNT #: LSR011102 | BUY 1 Units @0.0000 | | | | | | |



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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 04/23/2018 | ***-**-595X - WERNER, FBO | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.67 | 0.00 | 0.67 | 75.0 | 0.50 |
| | ACCOUNT #: LSR010640 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-595I - WERNER, GWENN | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.62 | 0.00 | 2.62 | 75.0 | 1.97 |
| | ACCOUNT #: LSR010658 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-722I - SCOONES, FBO | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 4.80 | 0.00 | 4.80 | 75.0 | 3.60 |
| | ACCOUNT #: LSR010722 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-336J - GETCHELL, DIANNE | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.21 | 0.00 | 0.21 | 75.0 | 0.16 |
| | ACCOUNT #: LSR011100 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-336I - GETCHELL, FBO | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 0.30 | 0.00 | 0.30 | 75.0 | 0.23 |
| | ACCOUNT #: LSR011101 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-7767 - DONOVAN, WILLIAM | AMERICAN HIGH-INCOME TRUST-A | 0.00 | 2.80 | 0.00 | 2.80 | 75.0 | 2.10 |
| | ACCOUNT #: LSR012405 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-336J - GETCHELL, DIANNE | AMERICAN TAX EXEMPT OF NEW YORK CL | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| | ACCOUNT #: LSR011100 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-595I - WERNER, GWENN | CAPITAL INCOME BUILDER-A | 0.00 | 2.65 | 0.00 | 2.65 | 75.0 | 1.99 |
| | ACCOUNT #: LSR010658 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-7767 - DONOVAN, WILLIAM | CAPITAL INCOME BUILDER-A | 0.00 | 2.05 | 0.00 | 2.05 | 75.0 | 1.54 |
| | ACCOUNT #: LSR012405 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-108X - FOREST, NSPS | CAPITAL WORLD BOND FUND-A | 0.00 | 0.60 | 0.00 | 0.60 | 75.0 | 0.45 |
| | ACCOUNT #: LSR010522 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-108X - FOREST, NSPS | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.22 | 0.00 | 1.22 | 75.0 | 0.92 |
| | ACCOUNT #: LSR010522 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-653X - WERNER, FBO EVAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.28 | 0.00 | 1.28 | 75.0 | 0.96 |
| | ACCOUNT #: LSR010638 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-653I - WERNER, EVAN | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 1.90 | 0.00 | 1.90 | 75.0 | 1.43 |
| | ACCOUNT #: LSR010661 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-722I - SCOONES, FBO | CAPITAL WORLD GROWTH AND INCOME FUN | 0.00 | 3.75 | 0.00 | 3.75 | 75.0 | 2.81 |
| | ACCOUNT #: LSR010722 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-7767 - DONOVAN, WILLIAM | EUROPACIFIC GROWTH FUND-A | 0.00 | 1.40 | 0.00 | 1.40 | 75.0 | 1.05 |
| | ACCOUNT #: LSR012405 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-595X - WERNER, FBO | FUNDAMENTAL INVESTORS-A | 0.00 | 1.30 | 0.00 | 1.30 | 75.0 | 0.98 |
| | ACCOUNT #: LSR010640 | BUY 1 Units @0.0000 | | | | | | |



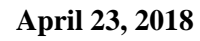
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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 04/23/2018 | ***-**-595I - WERNER, GWENN | FUNDAMENTAL INVESTORS-A | 0.00 | 3.32 | 0.00 | 3.32 | 75.0 | 2.49 |
| | ACCOUNT #: LSR010658 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-108X - FOREST, NSPS | THE BOND FUND OF AMERICA-A | 0.00 | 0.71 | 0.00 | 0.71 | 75.0 | 0.53 |
| | ACCOUNT #: LSR010522 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-722I - SCOONES, FBO | THE BOND FUND OF AMERICA-A | 0.00 | 2.20 | 0.00 | 2.20 | 75.0 | 1.65 |
| | ACCOUNT #: LSR010722 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-09IO - CAREY, FBO | THE GROWTH FUND OF AMERICA-A | 0.00 | 6.35 | 0.00 | 6.35 | 75.0 | 4.76 |
| | ACCOUNT #: LSR011076 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-653X - WERNER, FBO EVAN | THE GROWTH FUND OF AMERICA-A | 0.00 | 1.34 | 0.00 | 1.34 | 75.0 | 1.01 |
| | ACCOUNT #: LSR010638 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-595I - WERNER, GWENN | THE GROWTH FUND OF AMERICA-A | 0.00 | 3.42 | 0.00 | 3.42 | 75.0 | 2.57 |
| | ACCOUNT #: LSR010658 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-722I - SCOONES, FBO | THE GROWTH FUND OF AMERICA-A | 0.00 | 5.69 | 0.00 | 5.69 | 75.0 | 4.27 |
| | ACCOUNT #: LSR010722 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-149X - PTAK, MATTHEW | THE GROWTH FUND OF AMERICA-A | 0.00 | 0.14 | 0.00 | 0.14 | 75.0 | 0.11 |
| | ACCOUNT #: LSR011220 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-7767 - DONOVAN, WILLIAM | THE GROWTH FUND OF AMERICA-A | 0.00 | 2.11 | 0.00 | 2.11 | 75.0 | 1.58 |
| | ACCOUNT #: LSR012405 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-09IO - CAREY, FBO | THE INCOME FUND OF AMERICA-A | 0.00 | 5.30 | 0.00 | 5.30 | 75.0 | 3.98 |
| | ACCOUNT #: LSR011076 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-108X - FOREST, NSPS | THE INCOME FUND OF AMERICA-A | 0.00 | 1.84 | 0.00 | 1.84 | 75.0 | 1.38 |
| | ACCOUNT #: LSR010522 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-595X - WERNER, FBO | THE INCOME FUND OF AMERICA-A | 0.00 | 1.30 | 0.00 | 1.30 | 75.0 | 0.98 |
| | ACCOUNT #: LSR010640 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-595I - WERNER, GWENN | THE INCOME FUND OF AMERICA-A | 0.00 | 2.80 | 0.00 | 2.80 | 75.0 | 2.10 |
| | ACCOUNT #: LSR010658 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-7767 - DONOVAN, WILLIAM | THE INCOME FUND OF AMERICA-A | 0.00 | 2.74 | 0.00 | 2.74 | 75.0 | 2.06 |
| | ACCOUNT #: LSR012405 | BUY 1 Units @0.0000 | | | | | | |
| 04/23/2018 | ***-**-336I - GETCHELL, FBO | THE INVESTMENT COMPANY OF AMERICA-A | 0.00 | 0.34 | 0.00 | 0.34 | 75.0 | 0.26 |
| | ACCOUNT #: LSR011101 | BUY 1 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$98.59 | \$0.00 | \$98.59 | | \$74.02 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$38,575.01 | \$2,050.64 | \$0.00 | \$2,050.64 | | \$1,538.08 |



BROKER #: A36
BRANCH #01016 - 16 - CASPER

| | | | INVESTMENT | GROSS | CLEARING | NET | BROKER |
|-----------------------|--------|--|------------|------------|----------|-------------|---------------------------------|
| DATE | CLIENT | | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE COMMISSION |
| <hr/> | | | | | | | |
| | | | | | | | BROKER COMMISSION TOTALS |
| Broker Transactions | | | | | | | \$1,538.08 |
| Split Transactions | | | | | | | \$0.00 |
| Override Transactions | | | | | | | \$0.00 |
| Adjustments | | | | | | | \$0.00 |
| Payroll Draw | | | | | | | \$0.00 |
| Base Salary | | | | | | | \$0.00 |
| Prior Period Balance | | | | | | | \$0.00 |
| FINRA Assessment | | | | | | | \$0.00 |
| SIPC Assessment | | | | | | | \$0.00 |
| | | | | | | | <hr/> |
| Net Commission Earned | | | | | | \$1,538.08 | |
| Year-to-date Earnings | | | | | | \$12,963.54 | |