

**COMMISSION STATEMENT****October 15, 2017**

ROBERT LUCHSINGER
 600 Johnson Ave.
 Suite B5
 Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/29/2017	LUCHSINGER, VARIOUS	MOODY NAT'L REIT II INC	0.00	45,346.02	0.00	45,346.02	95.0	43,078.72
		* REIT SUBTOTAL *	\$0.00	\$45,346.02	\$0.00	\$45,346.02		\$43,078.72
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	KAMPMANN, MURIEL	AM FUNDS ICA C	250.00	2.50	0.00	2.50	95.0	2.38
09/25/2017	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	297.29	14.86	0.00	14.86	95.0	14.12
10/02/2017	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	95.0	2.38
09/30/2017	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	31.55	0.00	31.55	95.0	29.97
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.45	0.00	3.45	95.0	3.28
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.66	0.00	3.66	95.0	3.48
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	14.80	0.00	14.80	95.0	14.06
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	68.26	0.00	68.26	95.0	64.85
09/20/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
09/20/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
09/26/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
09/26/2017	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	GRECK, BRIAN	OPPENHEIMER CAPITAL APPRECIATION B	0.00	0.98	0.00	0.98	95.0	0.93
/ /	PRYDETOKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION B	0.00	4.43	0.00	4.43	95.0	4.21
/ /	ROBERTO, JOSEPH	OPPENHEIMER CAPITAL APPRECIATION C	0.00	12.21	0.00	12.21	95.0	11.60
/ /	STRYJEWSKI, THOMAS	OPPENHEIMER CAPITAL INCOME A	0.00	3.86	0.00	3.86	95.0	3.67
/ /	ROBERTO, JOSEPH	OPPENHEIMER DISCOVERY C	0.00	19.24	0.00	19.24	95.0	18.28
/ /	GRECK, BRIAN	OPPENHEIMER EMERG MKTS INNOV	0.00	7.20	0.00	7.20	95.0	6.84
/ /	MANNETTA, VIRGINIA	OPPENHEIMER GLOBAL A	0.00	15.06	0.00	15.06	95.0	14.31
/ /	GUARINO, JOAN	OPPENHEIMER GLOBAL A	0.00	3.69	0.00	3.69	95.0	3.51
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER GLOBAL A	0.00	5.38	0.00	5.38	95.0	5.11
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL A	0.00	5.47	0.00	5.47	95.0	5.20
/ /	MCKENNA, STEPHANIE	OPPENHEIMER GLOBAL A	0.00	57.18	0.00	57.18	95.0	54.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	0.00	78.92	0.00	78.92	95.0	74.97
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	0.00	13.50	0.00	13.50	95.0	12.83
/ /	SMITH, DAVID	OPPENHEIMER GLOBAL A	0.00	47.89	0.00	47.89	95.0	45.50
09/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
09/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
09/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
09/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL ALLOCATION A	0.00	20.81	0.00	20.81	95.0	19.77
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	46.15	0.00	46.15	95.0	43.84
09/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
09/20/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
09/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
09/26/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION B	0.00	3.37	0.00	3.37	95.0	3.20
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL B	0.00	4.45	0.00	4.45	95.0	4.23
/ /	ROBERTO, JOSEPH	OPPENHEIMER GLOBAL C	0.00	41.18	0.00	41.18	95.0	39.12
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.54	0.00	2.54	95.0	2.41
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	11.76	0.00	11.76	95.0	11.17
/ /	GENNUSA, ANTHONY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.07	0.00	1.07	95.0	1.02
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.92	0.00	0.92	95.0	0.87
/ /	GRECK, BRIAN	OPPENHEIMER GLOBAL STRATEGIC INC B	0.00	0.54	0.00	0.54	95.0	0.51
/ /	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	9.53	0.00	9.53	95.0	9.05
/ /	GRECK, BRIAN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	7.36	0.00	7.36	95.0	6.99
09/20/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
09/20/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
09/26/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
09/26/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	13.54	0.00	13.54	95.0	12.86
/ /	SALANITRO, ISABEL	OPPENHEIMER MAIN STREET A	0.00	5.33	0.00	5.33	95.0	5.06
/ /	SALANITRO, ALFRED	OPPENHEIMER MAIN STREET A	0.00	5.33	0.00	5.33	95.0	5.06
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET A	0.00	30.83	0.00	30.83	95.0	29.29
/ /	SMITH, LUCILLE	OPPENHEIMER MAIN STREET A	0.00	11.31	0.00	11.31	95.0	10.74
/ /	ALBANESE, MICHAEL	OPPENHEIMER MAIN STREET A	0.00	16.18	0.00	16.18	95.0	15.37

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SMITH, DAVID	OPPENHEIMER MAIN STREET A	0.00	64.29	0.00	64.29	95.0	61.08
/ /	DIBENEDETTO, SUSAN	OPPENHEIMER MAIN STREET A	0.00	36.64	0.00	36.64	95.0	34.81
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET B	0.00	2.05	0.00	2.05	95.0	1.95
/ /	ROBERTO, JOSEPH	OPPENHEIMER MAIN STREET C	0.00	32.25	0.00	32.25	95.0	30.64
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.98	0.00	2.98	95.0	2.83
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	17.69	0.00	17.69	95.0	16.81
/ /	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	81.46	0.00	81.46	95.0	77.39
09/20/2017	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
09/20/2017	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
09/26/2017	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
09/26/2017	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	GRECK, BRIAN	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	0.98	0.00	0.98	95.0	0.93
/ /	PRYDETOKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	4.45	0.00	4.45	95.0	4.23
/ /	FOURNIER, ELIZABETH	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	35.22	0.00	35.22	95.0	33.46
/ /	GUARINO, JOAN	OPPENHEIMER US GOVT TRUST A	0.00	1.88	0.00	1.88	95.0	1.79
/ /	ROBERTO, JOSEPH	OPPENHEIMER US GOVT TRUST C	0.00	167.77	0.00	167.77	95.0	159.38
* MUTUAL FUNDS SUBTOTAL *			\$1,624.99	\$1,109.73	\$0.00	\$1,109.73		\$1,054.24
<u>PRODUCT CATEGORY: STOCKS</u>								
10/10/2017	LUCHSINGER, ROBERT	GENERAL ELECTRIC CO COM	-4,744.70	30.00	22.50	7.50	95.0	7.13
10/04/2017	MINARDI, LOUIS	TIER REIT INC COM NEW	-6,788.08	60.00	22.52	37.48	95.0	35.61
* STOCKS SUBTOTAL *			\$-11,532.78	\$90.00	\$45.02	\$44.98		\$42.74
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	HANSON, JOSEPH	ALLIANZ LIFE NY VISION	72,595.35	181.49	0.00	181.49	95.0	172.42
09/29/2017	GIOVANNIELLO, LARRY	ALLIANZ LIFE NY VISION	15,309.81	38.27	0.00	38.27	95.0	36.36
09/29/2017	PRISCO, CLARA	ALLIANZ LIFE NY VISION	86,445.80	216.11	0.00	216.11	95.0	205.30
09/29/2017	YBAY, ERLINDA	ALLIANZ LIFE NY VISION	246,064.46	615.16	0.00	615.16	95.0	584.40
09/29/2017	TUCKER, PATRICIA	ALLIANZ LIFE NY VISION	70,353.05	175.88	0.00	175.88	95.0	167.09
09/29/2017	GENNUSA, ANTHONY	ALLIANZ NY VISION	25,149.42	31.44	0.00	31.44	95.0	29.87
09/29/2017	NOONAN, WILLIAM	ALLIANZ NY VISION	24,065.50	30.08	0.00	30.08	95.0	28.58
09/29/2017	GRANT, JOHN	ALLIANZ NY VISION	39,324.33	49.16	0.00	49.16	95.0	46.70
09/29/2017	HANDLER, EMMA	ALLIANZ VISION	21,659.88	54.15	0.00	54.15	95.0	51.44
09/29/2017	PATTERSON, BARBARA	ALLIANZ VISION BASIC	134,284.86	167.86	0.00	167.86	95.0	159.47

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	GUARINO, CARMINE	HARTFORD LEADERS	38,763.72	24.23	0.00	24.23	95.0	23.02
09/29/2017	FAY, JUNE	HARTFORD LEADERS OUTLOOK	127,111.69	317.78	0.00	317.78	95.0	301.89
09/29/2017	FAY, JUNE	HARTFORD LEADERS OUTLOOK	37,164.40	92.91	0.00	92.91	95.0	88.26
09/29/2017	LEAVY, THOMAS	HARTFORD LEADERS OUTLOOK	130,778.27	326.95	0.00	326.95	95.0	310.60
09/29/2017	SARACINO, CAROLINE	HARTFORD LEADERS OUTLOOK	107,199.06	268.00	0.00	268.00	95.0	254.60
09/29/2017	TOOLEY, PATRICIA	HARTFORD LEADERS OUTLOOK	148,435.93	371.09	0.00	371.09	95.0	352.54
09/29/2017	LUCHSINGER, JANE	HARTFORD LEADERS OUTLOOK	57,339.20	143.35	0.00	143.35	95.0	136.18
09/29/2017	ROARKE, MARY ELIZABETH	HARTFORD LEADERS OUTLOOK	21,163.41	52.91	0.00	52.91	95.0	50.26
09/29/2017	ROBERTO, RICHARD	HARTFORD LEADERS OUTLOOK (HL)	8,619.18	21.55	0.00	21.55	95.0	20.47
09/29/2017	ROBERTO, ROBERT	HARTFORD LEADERS OUTLOOK (HL)	8,532.98	21.33	0.00	21.33	95.0	20.26
09/29/2017	GRANT, JOHN	HARTFORD LEADERS OUTLOOK (HL)	75,450.37	188.63	0.00	188.63	95.0	179.20
09/29/2017	HANDLER, EMMA	HARTFORD LEADERS OUTLOOK (HL)	123,446.00	308.62	0.00	308.62	95.0	293.19
09/29/2017	FOURNIER, ELIZABETH	HARTFORD LEADERS OUTLOOK (HL)	63,411.84	158.53	0.00	158.53	95.0	150.60
09/29/2017	NOONAN, WILLIAM	HARTFORD LEADERS OUTLOOK (HL)	7,404.88	18.51	0.00	18.51	95.0	17.58
09/29/2017	LENTINI, ANNA	HARTFORD LEADERS OUTLOOK (HL)	50,449.92	126.12	0.00	126.12	95.0	119.81
09/26/2017	FOURNIER, PETER	HARTFORD LEADERS OUTLOOK 2	60,000.00	3,000.00	0.00	3,000.00	95.0	2,850.00
09/29/2017	PATTERSON, BARBARA	HARTFORD LEADERS OUTLOOK 2	18,398.02	46.00	0.00	46.00	95.0	43.70
09/29/2017	FOURNIER, PETER	HARTFORD LEADERS OUTLOOK 2	35,619.68	89.05	0.00	89.05	95.0	84.60
09/29/2017	CHAPMAN, CLARENCE	HARTFORD LEADERS OUTLOOK 2	34,378.05	85.95	0.00	85.95	95.0	81.65
09/29/2017	GRANT, JOHN	HARTFORD LEADERS OUTLOOK 2	79,602.86	199.01	0.00	199.01	95.0	189.06
09/29/2017	HANAK TRUST, MARGARET	HARTFORD LEADERS OUTLOOK 2	99,751.66	249.38	0.00	249.38	95.0	236.91
09/29/2017	SINGLETON, GWENDOLYN	HARTFORD LEADERS OUTLOOK 2	16,796.54	41.99	0.00	41.99	95.0	39.89
09/29/2017	MCKENNA, STEPHANIE	HARTFORD LEADERS OUTLOOK 2	102,924.34	257.31	0.00	257.31	95.0	244.44
09/29/2017	YBAY, ERLINDA	HARTFORD LEADERS OUTLOOK 4 - HL	19,192.37	47.98	0.00	47.98	95.0	45.58
09/29/2017	SALANITRO, ISABEL	HARTFORD LEADERS OUTLOOK 4 - HL	54,520.88	136.30	0.00	136.30	95.0	129.49
09/29/2017	MOLNAR, J S KIP	HARTFORD LEADERS PLUS	184,203.92	115.13	0.00	115.13	95.0	109.37
09/29/2017	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	498,920.46	311.83	0.00	311.83	95.0	296.24
10/02/2017	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
10/09/2017	GIGUERE, ELAINE	JNL 468511134	11,250.00	506.25	0.00	506.25	95.0	480.94
09/30/2017	TETA, JODI	JNL NY PERSPECTIVE L	223,168.33	185.97	0.00	185.97	95.0	176.67
09/30/2017	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	157,543.35	131.29	0.00	131.29	95.0	124.73
09/25/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
09/30/2017	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	95,668.96	19.93	0.00	19.93	95.0	18.93

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
09/30/2017	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	41,273.44	8.60	0.00	8.60	95.0	8.17
09/30/2017	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	418,800.29	87.25	0.00	87.25	95.0	82.89
09/30/2017	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	88,796.73	18.50	0.00	18.50	95.0	17.58
09/30/2017	GUARINO, CARMINA	JNL PERSPECTIVE II 04/14	192,519.41	40.11	0.00	40.11	95.0	38.10
09/30/2017	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	226,774.21	47.24	0.00	47.24	95.0	44.88
09/30/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	27,618.60	5.75	0.00	5.75	95.0	5.46
10/02/2017	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
09/30/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	365,735.46	76.19	0.00	76.19	95.0	72.38
09/30/2017	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	238,791.71	49.75	0.00	49.75	95.0	47.26
09/25/2017	GORMAN, LORAINA	PAC LIFE INNOVATIVE SELECT NY	0.00	46.94	0.00	46.94	95.0	44.59
09/25/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	313.27	0.00	313.27	95.0	297.61
09/25/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	428.43	0.00	428.43	95.0	407.01
09/25/2017	MCKENNA, STEPHANIE	PAC LIFE INNOVATIVE SELECT NY	0.00	547.46	0.00	547.46	95.0	520.09
09/25/2017	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	612.42	0.00	612.42	95.0	581.80
09/25/2017	GENNUSA, FRANCES	PAC LIFE INNOVATIVE SELECT NY	0.00	27.83	0.00	27.83	95.0	26.44
09/25/2017	LUCHSINGER, JANE	PAC LIFE INNOVATIVE SELECT NY	0.00	334.22	0.00	334.22	95.0	317.51
09/26/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	337.98	0.00	337.98	95.0	321.08
09/26/2017	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	303.42	0.00	303.42	95.0	288.25
09/28/2017	PUMA, FRANK	PAC LIFE INNOVATIVE SELECT NY	0.00	167.75	0.00	167.75	95.0	159.36
09/29/2017	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	186.84	0.00	186.84	95.0	177.50
09/25/2017	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	70.00	0.00	70.00	95.0	66.50
09/29/2017	HAMLIN, JOANNE	PACIFIC CHOICE	0.00	5,250.00	0.00	5,250.00	95.0	4,987.50
09/28/2017	YBAY, ERLINDA	SBL SECURE DESIGNS VA	116,635.94	97.20	0.00	97.20	95.0	92.34
09/28/2017	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	13,113.41	2.73	0.00	2.73	95.0	2.59
09/28/2017	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	13,206.03	2.75	0.00	2.75	95.0	2.61
09/28/2017	KNAACK, JOHN	SBL SECURE DESIGNS VA	123,236.13	102.70	0.00	102.70	95.0	97.57
09/07/2017	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	6,252.68	5.21	0.00	5.21	95.0	4.95
09/13/2017	PUMA, ANN	TRANSAMERICA LIBERTY	37,207.77	31.01	0.00	31.01	95.0	29.46
09/15/2017	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	109,748.50	91.46	0.00	91.46	95.0	86.89
09/30/2017	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	14,463.91	12.05	0.00	12.05	95.0	11.45
* VARIABLE ANNUITIES SUBTOTAL *			\$5,472,636.95	\$19,063.54	\$0.00	\$19,063.54		\$18,110.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.01	\$0.00	\$0.01		\$0.01
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2017	LUCHSINGER, VARIOUS	LFG TRAILS	0.00	6,269.55	0.00	6,269.55	95.0	5,956.07
09/21/2017	LUCHSINGER, JANE	ING EMPIRE INNOVATIONS STD OPT A	0.00	24.41	0.00	24.41	95.0	23.19
10/01/2017	DEITSCH, PAUL	ING EMPIRE INNOVATIONS STD OPT A	0.00	348.95	0.00	348.95	95.0	331.50
10/02/2017	HANSON, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	0.00	274.76	0.00	274.76	95.0	261.02
10/02/2017	FRANCIONI, ANNA	PAC LIFE INNOVATIVE SELECT NY	0.00	639.69	0.00	639.69	95.0	607.71
10/02/2017	GRANT, JOHN	PAC LIFE INNOVATIVE SELECT NY	0.00	128.16	0.00	128.16	95.0	121.75
10/03/2017	YBAY, ERLINDA	PAC LIFE INNOVATIVE SELECT NY	0.00	507.91	0.00	507.91	95.0	482.51
10/03/2017	FOURNIER, PETER	PAC LIFE INNOVATIVE SELECT NY	0.00	9,000.00	0.00	9,000.00	95.0	8,550.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$17,193.43	\$0.00	\$17,193.43		\$16,333.75
*** BROKER TRANSACTIONS TOTAL ***			\$5,462,729.16	\$82,802.73	\$45.02	\$82,757.71		\$78,619.82

VERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

09/24/2017	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	0.00	356.30	0.00	356.30	0.0	142.52
09/29/2017	BELLOMO, DIANE	ING EMPIRE INNOVATIONS STD OPT A	0.00	202.37	0.00	202.37	0.0	80.95
09/14/2017	ROARKE, MARY ELIZABETH	ING EMPIRE INNOVATIONS STD OPT B	0.00	133.02	0.00	133.02	0.0	53.21
09/30/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	232,411.68	193.68	0.00	193.68	0.0	77.47
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$232,411.68	\$885.37	\$0.00	\$885.37		\$354.15
*** OVERRIDE TRANSACTIONS TOTAL ***			\$232,411.68	\$885.37	\$0.00	\$885.37		\$354.15

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*BAL CARRIED FORWARD: 9/30/17	0.00					9.18
10/15/2017		*CONCIERGE SVC: 199939-HAMLIN	0.00					-50.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-13.89
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-4.95
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-546.66

BROKER COMMISSION TOTALS

Broker Transactions	\$78,619.82
Split Transactions	\$0.00
Override Transactions	\$354.15
Adjustments	\$-546.66
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$78,427.31
Year-to-date Gross	\$253,390.30
Year-to-date Earnings	\$242,064.93

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** - Indicates adjustment is not included in Totals or Commissions

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