



Concorde Investment Services, LLC

06/13/2017

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COMMISSION STATEMENT

June 15, 2017

DAVID ZUPEK

6226 Bankers Rd.

Ste. 4

Racine, WI 53403

BROKER #: Z116

BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GRIFFITH, SARA	AM FUNDS AMCAP A	0.00	25.12	0.00	25.12	90.0	22.61
06/01/2017	RUSCH, JACOB	AM FUNDS AMCAP A	0.00	10.80	0.00	10.80	90.0	9.72
06/01/2017	DENNE, THOMAS	AM FUNDS AMERICAN BALANCED 529A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	BERNHARDT, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	ROBERTSON, MARTIN	AM FUNDS AMERICAN BALANCED A	0.00	19.52	0.00	19.52	90.0	17.57
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.03	0.00	1.03	90.0	0.93
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.01	0.00	7.01	90.0	6.31
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.40	0.00	6.40	90.0	5.76
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2017	RUSCH, JACOB	AM FUNDS BOND FUND OF AMERICA 529A	0.00	31.61	0.00	31.61	90.0	28.45
06/01/2017	SHUSTEK, PEGGY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	16.46	0.00	16.46	90.0	14.81
06/01/2017	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	15.07	0.00	15.07	90.0	13.56
06/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	19.05	0.00	19.05	90.0	17.15
06/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	16.41	0.00	16.41	90.0	14.77
06/01/2017	KRIEDERMANN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.58	0.00	6.58	90.0	5.92
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.10	0.00	7.10	90.0	6.39
06/01/2017	HORNACEK, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	10.67	0.00	10.67	90.0	9.60
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	6.69	0.00	6.69	90.0	6.02
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	6.48	0.00	6.48	90.0	5.83
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	SHUSTEK, PEGGY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.12	0.00	4.12	90.0	3.71
06/01/2017	HARTGERINK, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	5.99	0.00	5.99	90.0	5.39
06/01/2017	POVKOVICH, DOROTHY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	18.91	0.00	18.91	90.0	17.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.14	0.00	7.14	90.0	6.43
06/01/2017	HORNACEK, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	10.73	0.00	10.73	90.0	9.66
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.73	0.00	6.73	90.0	6.06
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.64	0.00	6.64	90.0	5.98
06/01/2017	DENNE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.42	0.00	2.42	90.0	2.18
06/01/2017	SHUSTEK, PEGGY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.15	0.00	4.15	90.0	3.74
06/01/2017	HARTGERINK, EDWARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.65	0.00	6.65	90.0	5.99
06/01/2017	RUGH, BARBARA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	10.25	0.00	10.25	90.0	9.23
06/01/2017	POVKOVICH, DOROTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	19.02	0.00	19.02	90.0	17.12
06/01/2017	KRIEDERMANN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.75	0.00	8.75	90.0	7.88
06/01/2017	GRIFFITH, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.07	0.00	25.07	90.0	22.56
06/01/2017	BERNHARDT, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.44	0.00	3.44	90.0	3.10
06/01/2017	RUSCH, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.93	0.00	10.93	90.0	9.84
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH PORT 529A	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	SHUSTEK, PEGGY	AM FUNDS GROWTH PORT 529A	0.00	4.16	0.00	4.16	90.0	3.74
06/01/2017	DENNE, THOMAS	AM FUNDS ICA 529A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	BERNHARDT, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	RUSCH, JACOB	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.77	0.00	10.77	90.0	9.69
06/01/2017	HORNACEK, JOSEPH	AM FUNDS NEW ECONOMY 529A	0.00	10.88	0.00	10.88	90.0	9.79
06/01/2017	GRIFFITH, SARA	AM FUNDS NEW PERSPECTIVE A	0.00	21.37	0.00	21.37	90.0	19.23
06/01/2017	GRIFFITH, SARA	AM FUNDS SMALLCAP WORLD A	0.00	21.36	0.00	21.36	90.0	19.22
06/01/2017	BERNHARDT, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	2.39	0.00	2.39	90.0	2.15
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.39	0.00	2.39	90.0	2.15
06/01/2017	HORNACEK, JOSEPH	AM FUNDS SMALLCAP WORLD-529A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.23	0.00	2.23	90.0	2.01
06/01/2017	HARTGERINK, EDWARD	AM FUNDS SMALLCAP WORLD-529C	0.00	1.48	0.00	1.48	90.0	1.33
06/01/2017	BERNHARDT, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.69	0.00	4.69	90.0	4.22
06/01/2017	RUSCH, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.76	0.00	10.76	90.0	9.68
06/01/2017	NICOLAZZI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	90.0	0.94

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	SHUSTEK, PEGGY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.08	0.00	4.08	90.0	3.67
06/01/2017	RUGH, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.09	0.00	10.09	90.0	9.08
06/01/2017	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.93	0.00	5.93	90.0	5.34
06/01/2017	POVKOVICH, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	18.72	0.00	18.72	90.0	16.85
06/01/2017	GREIG, AMY	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2017	POVKOVICH, DOROTHY	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	19.08	0.00	19.08	90.0	17.17
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$561.02	\$0.00	\$561.02		\$504.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/24/2017	RUFFOLO, BENNY	AM GEN POLARIS CHOICE III	162,617.51	609.82	0.00	609.82	90.0	548.84
06/01/2017	BODVEN, BRUCE	AM GEN POLARIS CHOICE III	109,332.04	410.00	0.00	410.00	90.0	369.00
06/02/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,398,411.17	593.85	0.00	593.85	90.0	534.47
05/31/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	15,157.42	15.79	0.00	15.79	90.0	14.21
05/31/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	47,805.45	39.84	0.00	39.84	90.0	35.86
05/31/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	58,728.64	48.94	0.00	48.94	90.0	44.05
05/31/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	111,734.50	23.28	0.00	23.28	90.0	20.95
05/31/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	14,624.85	6.09	0.00	6.09	90.0	5.48
05/31/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	19,582.28	8.16	0.00	8.16	90.0	7.34
05/31/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,442.05	4.77	0.00	4.77	90.0	4.29
05/31/2017	HOSS, GAIL	JNL PERSPECTIVE L	72,200.94	78.22	0.00	78.22	90.0	70.40
05/31/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	6,053.00	6.56	0.00	6.56	90.0	5.90
05/31/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	86,071.74	93.24	0.00	93.24	90.0	83.92
05/31/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	32,999.24	35.75	0.00	35.75	90.0	32.18
05/31/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	67,044.32	55.87	0.00	55.87	90.0	50.28
05/31/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	54,344.70	63.40	0.00	63.40	90.0	57.06
05/31/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	165,693.75	193.31	0.00	193.31	90.0	173.98
05/31/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	127,309.90	148.53	0.00	148.53	90.0	133.68
05/31/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	42,103.36	49.12	0.00	49.12	90.0	44.21
05/31/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	43,670.63	36.39	0.00	36.39	90.0	32.75
05/31/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	25,747.66	21.46	0.00	21.46	90.0	19.31
05/31/2017	SMITH, BETTY	JNL PERSPECTIVE L	36,352.54	42.41	0.00	42.41	90.0	38.17
05/31/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	12,550.53	10.46	0.00	10.46	90.0	9.41
05/31/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	33,121.57	27.60	0.00	27.60	90.0	24.84
05/31/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	31,125.97	25.94	0.00	25.94	90.0	23.35

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/31/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	32,047.56	26.71	0.00	26.71	90.0	24.04
05/31/2017	SPERER, MARION	JNL PERSPECTIVE L	23,568.22	19.64	0.00	19.64	90.0	17.68
05/31/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	9,098.80	10.62	0.00	10.62	90.0	9.56
05/31/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	23,394.59	27.29	0.00	27.29	90.0	24.56
05/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	31,006.55	33.59	0.00	33.59	90.0	30.23
05/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	29,055.33	31.48	0.00	31.48	90.0	28.33
05/31/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	30,229.55	25.19	0.00	25.19	90.0	22.67
05/31/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	54,702.18	63.82	0.00	63.82	90.0	57.44
05/31/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	142,361.80	118.63	0.00	118.63	90.0	106.77
05/31/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	137,444.03	114.54	0.00	114.54	90.0	103.09
05/31/2017	RACK, JOAN	JNL PERSPECTIVE L	141,202.77	152.97	0.00	152.97	90.0	137.67
05/31/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	103,764.82	86.47	0.00	86.47	90.0	77.82
05/31/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,479.53	58.73	0.00	58.73	90.0	52.86
05/31/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	168,013.14	140.01	0.00	140.01	90.0	126.01
05/31/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	203,180.31	169.32	0.00	169.32	90.0	152.39
05/31/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	56,502.60	65.92	0.00	65.92	90.0	59.33
05/31/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	99,576.16	116.17	0.00	116.17	90.0	104.55
05/31/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	77,067.72	64.22	0.00	64.22	90.0	57.80
05/31/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	48,937.18	40.78	0.00	40.78	90.0	36.70
05/31/2017	SHELDON, MARK	JNL PERSPECTIVE L	95,130.30	110.99	0.00	110.99	90.0	99.89
05/31/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	378,888.66	315.74	0.00	315.74	90.0	284.17
05/31/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	630,390.23	735.46	0.00	735.46	90.0	661.91
05/31/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	138,098.43	161.11	0.00	161.11	90.0	145.00
05/31/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	104,864.40	122.34	0.00	122.34	90.0	110.11
05/31/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	221,779.87	258.74	0.00	258.74	90.0	232.87
05/31/2017	FALBO, DIANE	JNL PERSPECTIVE L	657,877.48	767.52	0.00	767.52	90.0	690.77
05/31/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	190,196.31	221.90	0.00	221.90	90.0	199.71
05/31/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	355,992.80	415.32	0.00	415.32	90.0	373.79
05/31/2017	HARRIS, BILLY	JNL PERSPECTIVE L	276,310.95	322.36	0.00	322.36	90.0	290.12
05/31/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	22,018.28	18.35	0.00	18.35	90.0	16.52
05/31/2017	WAYO, DARLENE	JNL PERSPECTIVE L	68,888.11	57.41	0.00	57.41	90.0	51.67
05/31/2017	HOSS, GAIL	JNL PERSPECTIVE L	74,376.62	86.77	0.00	86.77	90.0	78.09
05/31/2017	ELMER, HARVEY	JNL PERSPECTIVE L	67,886.37	56.57	0.00	56.57	90.0	50.91

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	58,390.85	48.66	0.00	48.66	90.0	43.79
05/31/2017	PETERSEN, THOMAS	JNL PERSPECTIVE L	104,527.54	87.11	0.00	87.11	90.0	78.40
05/31/2017	STARK, GLORIA	JNL PERSPECTIVE L	60,569.26	50.47	0.00	50.47	90.0	45.42
05/31/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	240,037.48	200.03	0.00	200.03	90.0	180.03
05/31/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	306,134.83	255.11	0.00	255.11	90.0	229.60
05/31/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	30,210.63	25.18	0.00	25.18	90.0	22.66
05/31/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	219,091.77	182.58	0.00	182.58	90.0	164.32
05/31/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	109,309.09	91.09	0.00	91.09	90.0	81.98
05/31/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	388,904.13	453.72	0.00	453.72	90.0	408.35
05/31/2017	HALL, MICHAEL	JNL PERSPECTIVE L	128,178.99	106.82	0.00	106.82	90.0	96.14
05/31/2017	BARRETT, PERRY	JNL PERSPECTIVE L	149,086.08	124.24	0.00	124.24	90.0	111.82
05/31/2017	ZUPFER, JO	JNL PERSPECTIVE L	90,743.60	75.62	0.00	75.62	90.0	68.06
05/31/2017	BECKER, JOHN	JNL PERSPECTIVE L	119,795.67	99.83	0.00	99.83	90.0	89.85
05/31/2017	BECKER, JOHN	JNL PERSPECTIVE L	148,331.34	123.61	0.00	123.61	90.0	111.25
05/31/2017	HALL, MICHAEL	JNL PERSPECTIVE L	129,371.08	107.81	0.00	107.81	90.0	97.03
05/31/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	119,709.50	99.76	0.00	99.76	90.0	89.78
05/31/2017	STEPHENS, CAROL	JNL PERSPECTIVE L	356,114.06	296.76	0.00	296.76	90.0	267.08
05/31/2017	GLENNAN, DONALD	JNL PERSPECTIVE L	342,738.90	285.62	0.00	285.62	90.0	257.06
05/03/2017	KOBER, GARY	TRANSAMERICA LIBERTY	209,407.80	174.51	0.00	174.51	90.0	157.06
05/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	160,174.68	133.48	0.00	133.48	90.0	120.13
05/12/2017	KLUENDER, MARK	TRANSAMERICA LIBERTY	132,289.50	110.24	0.00	110.24	90.0	99.22
05/12/2017	BERNHARDT, ROBERT	TRANSAMERICA LIBERTY	155,681.80	129.73	0.00	129.73	90.0	116.76
05/12/2017	KLUENDER, KURT	TRANSAMERICA LIBERTY	125,034.77	104.20	0.00	104.20	90.0	93.78
05/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	108,298.13	90.25	0.00	90.25	90.0	81.23
05/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	311,289.29	259.41	0.00	259.41	90.0	233.47
05/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	78,052.79	65.04	0.00	65.04	90.0	58.54
05/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	33,684.39	28.07	0.00	28.07	90.0	25.26
05/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	32,500.00	27.08	0.00	27.08	90.0	24.37
05/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,042.73	32.54	0.00	32.54	90.0	29.29
05/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	39,295.90	32.75	0.00	32.75	90.0	29.48
05/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,030.98	0.86	0.00	0.86	90.0	0.77
05/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,179.61	0.98	0.00	0.98	90.0	0.88
05/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	178,466.51	148.72	0.00	148.72	90.0	133.85

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	64,345.41	53.62	0.00	53.62	90.0	48.26
05/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	156,320.88	130.27	0.00	130.27	90.0	117.24
05/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	179,154.07	149.30	0.00	149.30	90.0	134.37
* VARIABLE ANNUITIES SUBTOTAL *			\$12,656,651.45	\$12,050.55	\$0.00	\$12,050.55		\$10,845.54
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/18/2017	TORNER, LYNN	ING LANDMARK	0.00	315.04	0.00	315.04	90.0	283.54
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$315.04	\$0.00	\$315.04		\$283.54
*** BROKER TRANSACTIONS TOTAL ***			\$12,656,651.45	\$12,926.61	\$0.00	\$12,926.61		\$11,634.04

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

05/22/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
05/22/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	1.51	0.00	1.51	90.0	1.36
06/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	0.70	0.00	0.70	90.0	0.63
05/22/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
05/22/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	1.51	0.00	1.51	90.0	1.36
05/22/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	1.51	0.00	1.51	90.0	1.36
05/15/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	3.50	0.00	3.50	90.0	3.15
05/10/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.05	0.00	1.05	90.0	0.95
05/05/2017	MROCKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	1.05	0.00	1.05	90.0	0.95
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$1,140.00	\$13.85	\$0.00	\$13.85		\$12.48
*** SPLIT TRANSACTIONS TOTAL ***			\$1,140.00	\$13.85	\$0.00	\$13.85		\$12.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK HUBER #89</u>								
05/22/2017	COTE JT TEN, CAROL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.30	0.00	13.30	0.0	11.97
* MARK HUBER SUBTOTAL *			\$0.00	\$13.30	\$0.00	\$13.30		\$11.97
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
05/22/2017	NARDINI TRUST, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.02	0.00	5.02	0.0	4.52
05/22/2017	NARDINI TRUST, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.02	0.00	5.02	0.0	4.52
05/22/2017	NARDINI, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	18.98	0.00	18.98	0.0	17.08
05/22/2017	NARDINI, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.31	0.00	20.31	0.0	18.28
05/22/2017	CIPPARRONE, NANCY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.13	0.00	4.13	0.0	3.72
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$0.00	\$53.46	\$0.00	\$53.46		\$48.12
<u>BROKER: MICHAEL VENTURINI #127</u>								
05/31/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,799.55	17.33	0.00	17.33	0.0	15.60
05/31/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,722.44	13.94	0.00	13.94	0.0	12.55
* MICHAEL VENTURINI SUBTOTAL *			\$37,521.99	\$31.27	\$0.00	\$31.27		\$28.15
<u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u>								
06/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	0.57	0.00	0.57	0.0	0.51
06/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	13.57	0.00	13.57	0.0	12.21
06/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	4.14	0.00	4.14	0.0	3.73
06/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	2.74	0.00	2.74	0.0	2.47
06/01/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	8.72	0.00	8.72	0.0	7.85
06/02/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
06/01/2017	VASSH, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.20	0.00	0.20	0.0	0.18
06/01/2017	VASSH, LYNN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	0.0	0.17
06/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	5.21	0.00	5.21	0.0	4.69
06/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.45	0.00	3.45	0.0	3.11
06/01/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	1.43	0.00	1.43	0.0	1.29
06/01/2017	THOMPSON, TERRY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.53	0.00	0.53	0.0	0.48
06/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.24	0.00	5.24	0.0	4.72
06/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.77	0.00	2.77	0.0	2.49
06/01/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.36	0.00	1.36	0.0	1.22
06/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.52	0.00	0.52	0.0	0.47
06/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	2.11	0.00	2.11	0.0	1.90
06/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	1.39	0.00	1.39	0.0	1.25

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u>								
06/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.27	0.00	0.27	0.0	0.24
06/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.36	0.00	1.36	0.0	1.22
06/01/2017	THOMPSON, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	0.0	0.45
06/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.13	0.00	4.13	0.0	3.72
06/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.41	0.00	3.41	0.0	3.07
06/06/2017	RACK, JOAN	ING LANDMARK	0.00	648.63	0.00	648.63	0.0	583.77
06/07/2017	JARVIS, RICHARD	ING LANDMARK	0.00	631.96	0.00	631.96	0.0	568.76
 * DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL * 			\$50.00	\$1,346.90	\$0.00	\$1,346.90		\$1,212.22
<u> BROKER: DAN/DAVE JENSEN/ZUPEK #136 </u>								
06/01/2017	DJURICH, JOYCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.72	0.00	5.72	0.0	5.15
06/01/2017	DJURICH, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.59	0.00	7.59	0.0	6.83
06/01/2017	BEAUGRAND, TAMI	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.37	0.00	9.37	0.0	8.43
05/31/2017	MILES, FRANK	DELAWARE LIFE TRAILS	0.00	145.60	0.00	145.60	0.0	131.04
 * DAN/DAVE JENSEN/ZUPEK SUBTOTAL * 			\$0.00	\$168.28	\$0.00	\$168.28		\$151.45
<u> BROKER: WENDY GOODING #158 </u>								
05/15/2017	WALLACE, STEVEN	JP MORGAN INVESTOR GRTH & INC C	100.00	1.00	0.00	1.00	0.0	0.35
 * WENDY GOODING SUBTOTAL * 			\$100.00	\$1.00	\$0.00	\$1.00		\$0.35
<u> BROKER: HALINA WIKAR #171 </u>								
06/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS 2025 TARGET DATE R2	0.00	106.67	0.00	106.67	55.0	37.33
06/01/2017	WIKAR, HALINA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.36	0.00	4.36	55.0	1.53
06/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS CAPITAL WORLD BOND R2	0.00	61.68	0.00	61.68	55.0	21.59
06/01/2017	WIKAR, HALINA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.95	0.00	8.95	55.0	3.13
06/01/2017	WIKAR, HALINA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.77	0.00	5.77	55.0	2.02
06/01/2017	WIKAR, HALINA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	11.44	0.00	11.44	55.0	4.00
06/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	176.99	0.00	176.99	55.0	61.95
06/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	136.09	0.00	136.09	55.0	47.63
06/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	139.85	0.00	139.85	55.0	48.95
06/01/2017	WIKAR, HALINA	AM FUNDS NEW ECONOMY C	0.00	3.19	0.00	3.19	55.0	1.12
06/01/2017	WIKAR, HALINA	AM FUNDS NEW WORLD C	0.00	10.83	0.00	10.83	55.0	3.79
04/30/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.47	0.00	8.47	55.0	2.96
04/30/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.47	0.00	8.47	55.0	2.96
04/30/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.21	0.00	6.21	55.0	2.17

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: HALINA WIKAR #171 </u>								
04/30/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.21	0.00	6.21	55.0	2.17
06/01/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	55.0	0.63
06/02/2017	KRELLER, KRYSTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.14
05/22/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
05/30/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.07
06/01/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	55.0	0.63
06/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	0.70
05/22/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
05/22/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
05/22/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.11
06/02/2017	KRELLER, KRYSTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.14
05/25/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.07
06/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	0.70
05/22/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	55.0	0.70
05/30/2017	DZIEWIECKA, TERESA	MFS LOW VOLATILITY GLOBAL EQUITY F	20.00	0.20	0.00	0.20	55.0	0.07
05/22/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
05/22/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	55.0	0.18
06/02/2017	KRELLER, KRYSTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.14
05/22/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
05/22/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	55.0	0.18
06/02/2017	KRELLER, KRYSTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.14
06/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	0.70
05/18/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
05/22/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
05/22/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	55.0	0.25
05/22/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
05/22/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
05/23/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
05/30/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.09
06/01/2017	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	55.0	0.63
06/02/2017	KRELLER, KRYSTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.14
05/22/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
05/22/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
06/01/2017	KHOURI DDS PC 401K, RHONDA	U.S. GOVERNMENT SECURITIES FUND-R2	0.00	61.63	0.00	61.63	55.0	21.57
		* HALINA WIKAR SUBTOTAL *	\$1,765.00	\$780.46	\$0.00	\$780.46		\$273.22
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
06/01/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.07
06/01/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.07
06/01/2017	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.07
		* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *	\$60.00	\$0.60	\$0.00	\$0.60		\$0.21
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
06/01/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	0.00	0.12	0.00	0.12	0.0	0.11
06/01/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	0.00	0.22	0.00	0.22	0.0	0.20
06/01/2017	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.13	0.00	0.13	0.0	0.12
04/30/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.34	0.00	1.34	0.0	1.21
04/30/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.52	0.00	1.52	0.0	1.37
05/31/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	15.32	0.00	15.32	0.0	13.79
05/31/2017	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	28.71	0.00	28.71	0.0	25.84
05/31/2017	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	25.78	0.00	25.78	0.0	23.20
06/07/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	24.85	0.00	24.85	0.0	22.37
		* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *	\$0.00	\$97.99	\$0.00	\$97.99		\$88.21
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$39,496.99	\$2,493.26	\$0.00	\$2,493.26		\$1,813.90

ADJUSTMENTS

06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-20.00
06/15/2017		*TECH: ADMIN - L JOCIUS	0.00					-100.00
06/15/2017		*TECH: ADMIN - RON HAMILTON	0.00					-100.00
06/15/2017		*TECH: ADMIN: JOHN ZUPEK	0.00					-100.00
06/15/2017		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-100.00
06/15/2017		*TECHNOLOGY: ALBRIDGE FULL	0.00					-100.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-MAR	0.00					-275.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-RIC	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,245.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,634.04
Split Transactions	\$12.48
Override Transactions	\$1,813.90
Adjustments	\$-2,245.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,215.42
Year-to-date Gross	\$94,491.83
Year-to-date Earnings	\$138,097.17

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed