

**COMMISSION STATEMENT****June 15, 2018**

ROBIN, E119 EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
05/09/2018	WILSON, NANCY	HPI REAL ESTATE FUND VI LLC	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
05/15/2018	FIRSCHING, DENNIS	HPI REAL ESTATE FUND VI LLC	100,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$125,000.00	\$7,500.00	\$0.00	\$7,500.00		\$6,750.00
<u>PRODUCT CATEGORY: REIT</u>								
05/15/2018	KOSS-WINN BANC ESOP	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	90.0	540.00
05/15/2018	KOSS-WINN BANC ESOP	CW MULTIFAMILY OPPORTUNITY FUND	10,000.00	600.00	0.00	600.00	90.0	540.00
		* REIT SUBTOTAL *	\$20,000.00	\$1,200.00	\$0.00	\$1,200.00		\$1,080.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/06/2018	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
06/06/2018	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
05/29/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
05/29/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
06/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
06/04/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
06/05/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
06/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
06/04/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
06/06/2018	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
05/21/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	24.94	0.69	0.00	0.69	90.0	0.62
05/21/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	24.94	0.69	0.00	0.69	90.0	0.62
05/29/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.91	0.74	0.00	0.74	90.0	0.67
05/29/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.91	0.74	0.00	0.74	90.0	0.67
06/04/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
06/04/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	24.15	0.66	0.00	0.66	90.0	0.59
06/04/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	24.15	0.66	0.00	0.66	90.0	0.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
05/21/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	44.41	1.22	0.00	1.22	90.0	1.10
05/21/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	26.65	0.73	0.00	0.73	90.0	0.66
05/29/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	48.69	1.34	0.00	1.34	90.0	1.21
05/29/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.21	0.80	0.00	0.80	90.0	0.72
06/04/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	43.70	1.20	0.00	1.20	90.0	1.08
06/04/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	26.22	0.72	0.00	0.72	90.0	0.65
06/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
06/06/2018	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
06/04/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
06/01/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
06/04/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
06/06/2018	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
05/21/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.08	0.63	0.00	0.63	90.0	0.57
05/21/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.08	0.63	0.00	0.63	90.0	0.57
05/21/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	41.25	1.13	0.00	1.13	90.0	1.02
05/21/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	110.00	3.03	0.00	3.03	90.0	2.73
05/21/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	46.13	1.27	0.00	1.27	90.0	1.14
05/21/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	27.68	0.76	0.00	0.76	90.0	0.68
05/29/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	12.62	0.35	0.00	0.35	90.0	0.32
05/29/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	12.62	0.35	0.00	0.35	90.0	0.32
05/29/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	38.44	1.06	0.00	1.06	90.0	0.95
05/29/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	102.50	2.82	0.00	2.82	90.0	2.54
05/29/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	46.13	1.27	0.00	1.27	90.0	1.14
05/29/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	27.68	0.76	0.00	0.76	90.0	0.68
05/29/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
05/29/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
06/04/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	20.91	0.58	0.00	0.58	90.0	0.52
06/04/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	20.91	0.58	0.00	0.58	90.0	0.52
06/04/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	92.00	2.53	0.00	2.53	90.0	2.28
06/04/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	34.50	0.95	0.00	0.95	90.0	0.86
06/04/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	68.04	1.87	0.00	1.87	90.0	1.68
06/04/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	113.40	3.12	0.00	3.12	90.0	2.81

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/04/2018	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
06/05/2018	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$5,375.85	\$116.55	\$0.00	\$116.55		\$104.94
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	LANGFALD, RONALD	AM FUNDS AMCAP A	0.00	40.87	0.00	40.87	90.0	36.78
06/01/2018	LANGFALD, ARDIS	AM FUNDS AMCAP A	0.00	25.76	0.00	25.76	90.0	23.18
06/01/2018	MOKLESTAD, NANCY	AM FUNDS AMCAP A	0.00	2.34	0.00	2.34	90.0	2.11
06/01/2018	GRIFFITT, JAYNELLE	AM FUNDS AMCAP A	0.00	9.94	0.00	9.94	90.0	8.95
06/01/2018	MEINDERS, DAWN	AM FUNDS AMCAP A	0.00	9.20	0.00	9.20	90.0	8.28
06/01/2018	VANDER WILT, BRIAN	AM FUNDS AMCAP A	0.00	4.53	0.00	4.53	90.0	4.08
06/01/2018	GERZEMA, MARLENE	AM FUNDS AMCAP A	0.00	20.13	0.00	20.13	90.0	18.12
06/01/2018	HEMER, EILEEN	AM FUNDS AMCAP A	0.00	39.93	0.00	39.93	90.0	35.94
06/01/2018	POST, KENNETH	AM FUNDS AMCAP A	0.00	9.63	0.00	9.63	90.0	8.67
06/01/2018	LEONARD, GARY	AM FUNDS AMERICAN BALANCED 529A	0.00	15.46	0.00	15.46	90.0	13.91
05/15/2018	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	32.35	0.00	32.35	90.0	29.12
05/15/2018	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	30.93	0.00	30.93	90.0	27.84
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS AMERICAN BALANCED A	0.00	16.19	0.00	16.19	90.0	14.57
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN BALANCED A	0.00	36.25	0.00	36.25	90.0	32.63
06/01/2018	DOANE, ELDON	AM FUNDS AMERICAN BALANCED A	0.00	10.03	0.00	10.03	90.0	9.03
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS AMERICAN BALANCED A	0.00	28.20	0.00	28.20	90.0	25.38
06/01/2018	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	8.43	0.00	8.43	90.0	7.59
06/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.80	0.00	5.80	90.0	5.22
06/01/2018	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	5.59	0.00	5.59	90.0	5.03
06/01/2018	MATHISON, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	15.22	0.00	15.22	90.0	13.70
06/01/2018	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	4.05	0.00	4.05	90.0	3.65
06/01/2018	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	0.00	13.19	0.00	13.19	90.0	11.87
06/01/2018	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2018	MAKI, LINDA	AM FUNDS AMERICAN BALANCED A	0.00	2.86	0.00	2.86	90.0	2.57
06/01/2018	GOCHE, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	22.14	0.00	22.14	90.0	19.93
06/01/2018	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2018	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2018	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	4.11	0.00	4.11	90.0	3.70
06/01/2018	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	64.13	0.00	64.13	90.0	57.72

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	6.22	0.00	6.22	90.0	5.60
06/01/2018	HANSON, SCOTT	AM FUNDS AMERICAN BALANCED A	0.00	7.41	0.00	7.41	90.0	6.67
06/01/2018	HANSON, CARRIE	AM FUNDS AMERICAN BALANCED A	0.00	10.22	0.00	10.22	90.0	9.20
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2018	GRIFFITT, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	9.77	0.00	9.77	90.0	8.79
06/01/2018	LEONARD TRUST, REA	AM FUNDS AMERICAN BALANCED A	0.00	139.60	0.00	139.60	90.0	125.64
06/01/2018	VENTEICHER, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	7.88	0.00	7.88	90.0	7.09
06/01/2018	KETTWICK, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	27.40	0.00	27.40	90.0	24.66
06/01/2018	PRICE, TERESA	AM FUNDS AMERICAN BALANCED A	0.00	10.11	0.00	10.11	90.0	9.10
06/01/2018	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	32.54	0.00	32.54	90.0	29.29
06/01/2018	MEINDERS, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	9.51	0.00	9.51	90.0	8.56
06/01/2018	NUTTING, STUART	AM FUNDS AMERICAN BALANCED A	0.00	1.66	0.00	1.66	90.0	1.49
06/01/2018	VANDER WILT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2018	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2018	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2018	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	33.37	0.00	33.37	90.0	30.03
06/01/2018	SCHLAKE, ELROY	AM FUNDS AMERICAN BALANCED A	0.00	8.95	0.00	8.95	90.0	8.06
06/01/2018	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	25.30	0.00	25.30	90.0	22.77
06/01/2018	UNDERWOOD, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	12.42	0.00	12.42	90.0	11.18
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	11.91	0.00	11.91	90.0	10.72
06/01/2018	CAMPBELL, DENISE	AM FUNDS AMERICAN BALANCED A	0.00	23.26	0.00	23.26	90.0	20.93
06/01/2018	HEMER, EILEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2018	GROSS, JUDY	AM FUNDS AMERICAN BALANCED A	0.00	4.95	0.00	4.95	90.0	4.46
06/01/2018	GROSS, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	17.80	0.00	17.80	90.0	16.02
06/01/2018	LOW, ANN	AM FUNDS AMERICAN BALANCED A	0.00	16.10	0.00	16.10	90.0	14.49
06/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	20.21	0.00	20.21	90.0	18.19
06/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	11.33	0.00	11.33	90.0	10.20
06/01/2018	ENRIGHT, JANET	AM FUNDS AMERICAN BALANCED A	0.00	7.40	0.00	7.40	90.0	6.66
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	34.13	0.00	34.13	90.0	30.72
06/01/2018	KESSLER, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	30.55	0.00	30.55	90.0	27.50
06/01/2018	LEONARD TRUST, REA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	68.72	0.00	68.72	90.0	61.85

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	LEONARD, GARY	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2018	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	0.00	3.85	0.00	3.85	90.0	3.47
06/01/2018	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	23.02	0.00	23.02	90.0	20.72
06/01/2018	GRIFFITT, JAYNELLE	AM FUNDS AMERICAN MUTUAL A	0.00	8.87	0.00	8.87	90.0	7.98
06/01/2018	HOLLEY, CASEY	AM FUNDS AMERICAN MUTUAL A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2018	GERZEMA, MARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	30.95	0.00	30.95	90.0	27.86
06/01/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	0.00	3.38	0.00	3.38	90.0	3.04
06/01/2018	JASS, ARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	4.30	0.00	4.30	90.0	3.87
06/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	21.26	0.00	21.26	90.0	19.13
06/01/2018	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	9.92	0.00	9.92	90.0	8.93
06/01/2018	AUDINO, JAMES	AM FUNDS BALANCED PORT A	0.00	6.22	0.00	6.22	90.0	5.60
06/01/2018	SCHMIT, MARILYN	AM FUNDS BALANCED PORT A	0.00	42.26	0.00	42.26	90.0	38.03
06/01/2018	JOHNSON, DONNA	AM FUNDS BALANCED PORT A	0.00	2.84	0.00	2.84	90.0	2.56
06/01/2018	SCHWICKERATH-SCHROEDER,	AM FUNDS BALANCED PORT A	0.00	16.62	0.00	16.62	90.0	14.96
06/01/2018	SCHROEDER, PHILIP	AM FUNDS BALANCED PORT A	0.00	42.96	0.00	42.96	90.0	38.66
06/01/2018	BURNSIDE, KELLY	AM FUNDS BALANCED PORT A	0.00	25.67	0.00	25.67	90.0	23.10
06/01/2018	TORNQUIST, SCOTT	AM FUNDS BALANCED PORT A	0.00	95.96	0.00	95.96	90.0	86.36
06/01/2018	ENGBRETSON, ROGER	AM FUNDS BALANCED PORT A	0.00	25.44	0.00	25.44	90.0	22.90
06/01/2018	UNDERWOOD, DEBRA	AM FUNDS BALANCED PORT A	0.00	7.02	0.00	7.02	90.0	6.32
06/01/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	0.00	15.18	0.00	15.18	90.0	13.66
06/01/2018	CRAWFORD, JON	AM FUNDS BALANCED PORT A	0.00	2.45	0.00	2.45	90.0	2.21
06/01/2018	KESSLER, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	37.60	0.00	37.60	90.0	33.84
06/01/2018	LEONARD, GARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.07	0.00	11.07	90.0	9.96
06/01/2018	O'CONNELL, BAILEY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.58	0.00	11.58	90.0	10.42
05/15/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.18	0.00	2.18	90.0	1.96
05/15/2018	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.30	0.00	2.30	90.0	2.07
06/01/2018	HEMER, JOE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.15	0.00	13.15	90.0	11.84
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.80	0.00	13.80	90.0	12.42
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	23.99	0.00	23.99	90.0	21.59
06/01/2018	DOANE, ELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.50	0.00	27.50	90.0	24.75
06/01/2018	O'CONNELL, LANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.93	0.00	3.93	90.0	3.54
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.26	0.00	22.26	90.0	20.03
06/01/2018	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.32	0.00	7.32	90.0	6.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.85	0.00	4.85	90.0	4.37
06/01/2018	SCHMIT, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	38.32	0.00	38.32	90.0	34.49
06/01/2018	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.73	0.00	4.73	90.0	4.26
06/01/2018	MATHISON, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.04	0.00	14.04	90.0	12.64
06/01/2018	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.74	0.00	10.74	90.0	9.67
06/01/2018	BRUNS, MARCUS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.68	0.00	2.68	90.0	2.41
06/01/2018	SCHLICHTING, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.67	0.00	3.67	90.0	3.30
06/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.33	0.00	3.33	90.0	3.00
06/01/2018	MAKI, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2018	GOCHE, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.58	0.00	21.58	90.0	19.42
06/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.15	0.00	20.15	90.0	18.14
06/01/2018	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.56	0.00	3.56	90.0	3.20
06/01/2018	KESSLER, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.73	0.00	17.73	90.0	15.96
06/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	59.58	0.00	59.58	90.0	53.62
06/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.02	0.00	9.02	90.0	8.12
06/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2018	GRIFFITT, JAYNELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.22	0.00	7.22	90.0	6.50
06/01/2018	LEONARD TRUST, REA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	196.71	0.00	196.71	90.0	177.04
06/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2018	VENTEICHER, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.60	0.00	8.60	90.0	7.74
06/01/2018	KETTWICK, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.87	0.00	27.87	90.0	25.08
06/01/2018	MEINDERS, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.86	0.00	2.86	90.0	2.57
06/01/2018	PRICE, TERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.73	0.00	19.73	90.0	17.76
06/01/2018	ENRIGHT, EDWIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.11	0.00	17.11	90.0	15.40
06/01/2018	MEINDERS, DAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.60	0.00	5.60	90.0	5.04
06/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.14	0.00	11.14	90.0	10.03
06/01/2018	NUTTING, STUART	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.21	0.00	2.21	90.0	1.99
06/01/2018	VANDER WILT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.54	0.00	7.54	90.0	6.79
06/01/2018	LANGFALD, ARDIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	76.64	0.00	76.64	90.0	68.98
06/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.39	0.00	6.39	90.0	5.75
06/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.20	0.00	12.20	90.0	10.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2018	SCHLAKE, ELROY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.65	0.00	7.65	90.0	6.89
06/01/2018	MCCLEES, MARTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.30	0.00	1.30	90.0	1.17
06/01/2018	BRUNS, JOLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.42	0.00	10.42	90.0	9.38
06/01/2018	NUTTING, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.09	0.00	28.09	90.0	25.28
06/01/2018	KETTWICK, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.96	0.00	4.96	90.0	4.46
06/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.32	0.00	7.32	90.0	6.59
06/01/2018	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.60	0.00	10.60	90.0	9.54
06/01/2018	BURNSIDE, KELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.90	0.00	13.90	90.0	12.51
06/01/2018	LINDBORG, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.20	0.00	5.20	90.0	4.68
06/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.62	0.00	2.62	90.0	2.36
06/01/2018	CAMPBELL, DENISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.85	0.00	18.85	90.0	16.97
06/01/2018	LINDEMANN, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.27	0.00	6.27	90.0	5.64
06/01/2018	MOKLESTAD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.93	0.00	20.93	90.0	18.84
06/01/2018	GROSS, JUDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.36	0.00	4.36	90.0	3.92
06/01/2018	GROSS, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.53	0.00	15.53	90.0	13.98
06/01/2018	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.58	0.00	12.58	90.0	11.32
06/01/2018	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.70	0.00	7.70	90.0	6.93
06/01/2018	BRUNS, BENNETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.72	0.00	16.72	90.0	15.05
06/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.74	0.00	8.74	90.0	7.87
06/01/2018	ENRIGHT, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.26	0.00	7.26	90.0	6.53
06/01/2018	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.33	0.00	8.33	90.0	7.50
06/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD BOND A	0.00	32.66	0.00	32.66	90.0	29.39
06/01/2018	PRICE, TERESA	AM FUNDS CAPITAL WORLD BOND A	0.00	4.41	0.00	4.41	90.0	3.97
06/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD BOND A	0.00	4.05	0.00	4.05	90.0	3.65
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD BOND C	0.00	23.36	0.00	23.36	90.0	21.02
06/01/2018	LEONARD, GARY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	19.01	0.00	19.01	90.0	17.11
06/01/2018	O'CONNELL, BAILEY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.57	0.00	14.57	90.0	13.11
05/15/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.55	0.00	2.55	90.0	2.30
05/15/2018	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.64	0.00	2.64	90.0	2.38

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HEMER, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.79	0.00	30.79	90.0	27.71
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	114.12	0.00	114.12	90.0	102.71
06/01/2018	DOANE, ELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.56	0.00	35.56	90.0	32.00
06/01/2018	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.14	0.00	22.14	90.0	19.93
06/01/2018	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.40	0.00	4.40	90.0	3.96
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.10	0.00	26.10	90.0	23.49
06/01/2018	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.22	0.00	12.22	90.0	11.00
06/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	45.61	0.00	45.61	90.0	41.05
06/01/2018	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.76	0.00	5.76	90.0	5.18
06/01/2018	MATHISON, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.54	0.00	19.54	90.0	17.59
06/01/2018	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2018	SCHLICHTING, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.05	0.00	10.05	90.0	9.05
06/01/2018	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	72.04	0.00	72.04	90.0	64.84
06/01/2018	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.01	0.00	17.01	90.0	15.31
06/01/2018	MAKI, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.29	0.00	3.29	90.0	2.96
06/01/2018	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.47	0.00	13.47	90.0	12.12
06/01/2018	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.68	0.00	20.68	90.0	18.61
06/01/2018	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.56	0.00	3.56	90.0	3.20
06/01/2018	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	25.28	0.00	25.28	90.0	22.75
06/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	61.72	0.00	61.72	90.0	55.55
06/01/2018	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.22	0.00	9.22	90.0	8.30
06/01/2018	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.48	0.00	7.48	90.0	6.73
06/01/2018	LEONARD TRUST, REA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	172.35	0.00	172.35	90.0	155.12
06/01/2018	VENTEICHER, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.83	0.00	9.83	90.0	8.85
06/01/2018	KETTWICK, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	64.79	0.00	64.79	90.0	58.31
06/01/2018	MEINDERS, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.50	0.00	5.50	90.0	4.95
06/01/2018	PRICE, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.67	0.00	20.67	90.0	18.60
06/01/2018	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	74.92	0.00	74.92	90.0	67.43
06/01/2018	MEINDERS, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.18	0.00	12.18	90.0	10.96
06/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.23	0.00	13.23	90.0	11.91
06/01/2018	NUTTING, STUART	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.97	0.00	4.97	90.0	4.47

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	VANDER WILT, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.22	0.00	9.22	90.0	8.30
06/01/2018	LANGFALD, ARDIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	133.81	0.00	133.81	90.0	120.43
06/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.67	0.00	18.67	90.0	16.80
06/01/2018	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.91	0.00	11.91	90.0	10.72
06/01/2018	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2018	SCHLAKE, ELROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.43	0.00	7.43	90.0	6.69
06/01/2018	MCCLEES, MARTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	BRUNS, JOLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.11	0.00	17.11	90.0	15.40
06/01/2018	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	33.15	0.00	33.15	90.0	29.84
06/01/2018	BROWN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2018	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.77	0.00	12.77	90.0	11.49
06/01/2018	KETTWICK, TROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.57	0.00	3.57	90.0	3.21
06/01/2018	KETTWICK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.58	0.00	5.58	90.0	5.02
06/01/2018	NUTTING, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.85	0.00	10.85	90.0	9.77
06/01/2018	REIDEL, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.12	0.00	13.12	90.0	11.81
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.89	0.00	11.89	90.0	10.70
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.34	0.00	10.34	90.0	9.31
06/01/2018	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.10	0.00	16.10	90.0	14.49
06/01/2018	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.26	0.00	4.26	90.0	3.83
06/01/2018	CAMPBELL, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	41.55	0.00	41.55	90.0	37.40
06/01/2018	HEMER, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.03	0.00	22.03	90.0	19.83
06/01/2018	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2018	LINDEMANN, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.28	0.00	8.28	90.0	7.45
06/01/2018	MOKLESTAD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.94	0.00	23.94	90.0	21.55
06/01/2018	GROSS, JUDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2018	GROSS, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.46	0.00	17.46	90.0	15.71
06/01/2018	JASS, ARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.38	0.00	7.38	90.0	6.64
06/01/2018	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.34	0.00	13.34	90.0	12.01
06/01/2018	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.76	0.00	7.76	90.0	6.98
06/01/2018	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.91	0.00	16.91	90.0	15.22
06/01/2018	ENRIGHT, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.23	0.00	8.23	90.0	7.41

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2018	SWIFT, STEPHEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2018	HAGEN, ALAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.47	0.00	22.47	90.0	20.22
06/01/2018	HEMER, JOE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.93	0.00	12.93	90.0	11.64
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.88	0.00	4.88	90.0	4.39
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.11	0.00	15.11	90.0	13.60
06/01/2018	AUDINO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.21	0.00	16.21	90.0	14.59
06/01/2018	MATHISON, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.25	0.00	9.25	90.0	8.33
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.36	0.00	6.36	90.0	5.72
06/01/2018	SCHLICHTING, STEVE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.99	0.00	6.99	90.0	6.29
06/01/2018	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.89	0.00	3.89	90.0	3.50
06/01/2018	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2018	KESSLER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	20.37	0.00	20.37	90.0	18.33
06/01/2018	NUTTING, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.08	0.00	4.08	90.0	3.67
06/01/2018	GRIFFITT, JAYNELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.72	0.00	8.72	90.0	7.85
06/01/2018	LEONARD TRUST, REA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	36.60	0.00	36.60	90.0	32.94
06/01/2018	KETTWICK, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.84	0.00	16.84	90.0	15.16
06/01/2018	PRICE, TERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.25	0.00	9.25	90.0	8.33
06/01/2018	NUTTING, STUART	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.69	0.00	1.69	90.0	1.52
06/01/2018	NUTTING, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	36.90	0.00	36.90	90.0	33.21
06/01/2018	REIDEL, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.38	0.00	9.38	90.0	8.44
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.26	0.00	8.26	90.0	7.43
06/01/2018	CAMPBELL, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	25.26	0.00	25.26	90.0	22.73
06/01/2018	HEMER, EILEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.24	0.00	22.24	90.0	20.02
06/01/2018	UNDERWOOD, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.19	0.00	3.19	90.0	2.87
06/01/2018	JASS, ARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.56	0.00	5.56	90.0	5.00
06/01/2018	LEONARD, GARY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.33	0.00	8.33	90.0	7.50
06/01/2018	LANGFALD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	224.96	0.00	224.96	90.0	202.46
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.06	0.00	14.06	90.0	12.65
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.19	0.00	17.19	90.0	15.47
06/01/2018	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.50	0.00	9.50	90.0	8.55
06/01/2018	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2018	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	21.17	0.00	21.17	90.0	19.05
06/01/2018	KESSLER, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	25.14	0.00	25.14	90.0	22.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.61	0.00	1.61	90.0	1.45
06/01/2018	GRIFFITT, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.75	0.00	13.75	90.0	12.38
06/01/2018	LEONARD TRUST, REA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	122.70	0.00	122.70	90.0	110.43
06/01/2018	NUTTING, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	27.61	0.00	27.61	90.0	24.85
06/01/2018	UNDERWOOD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.58	0.00	15.58	90.0	14.02
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.69	0.00	9.69	90.0	8.72
06/01/2018	AUDINO, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.38	0.00	17.38	90.0	15.64
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS GLOBAL BALANCED A	0.00	51.72	0.00	51.72	90.0	46.55
06/01/2018	KESSLER, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	20.49	0.00	20.49	90.0	18.44
06/01/2018	LEONARD TRUST, REA	AM FUNDS GLOBAL BALANCED A	0.00	53.51	0.00	53.51	90.0	48.16
06/01/2018	PRICE, TERESA	AM FUNDS GLOBAL BALANCED A	0.00	1.25	0.00	1.25	90.0	1.13
06/01/2018	ENRIGHT, EDWIN	AM FUNDS GLOBAL BALANCED A	0.00	18.96	0.00	18.96	90.0	17.06
06/01/2018	GERZEMA, MARLENE	AM FUNDS GLOBAL BALANCED A	0.00	30.63	0.00	30.63	90.0	27.57
06/01/2018	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	0.00	4.95	0.00	4.95	90.0	4.46
06/01/2018	LINDBORG, LISA	AM FUNDS GLOBAL BALANCED A	0.00	4.63	0.00	4.63	90.0	4.17
06/01/2018	HEMER, EILEEN	AM FUNDS GLOBAL BALANCED A	0.00	22.14	0.00	22.14	90.0	19.93
06/01/2018	LINDEMANN, ROBIN	AM FUNDS GLOBAL BALANCED A	0.00	6.37	0.00	6.37	90.0	5.73
06/01/2018	LOW, ANN	AM FUNDS GLOBAL BALANCED A	0.00	15.65	0.00	15.65	90.0	14.09
06/01/2018	ENRIGHT, JANET	AM FUNDS GLOBAL BALANCED A	0.00	7.37	0.00	7.37	90.0	6.63
06/01/2018	AUDINO, JAMES	AM FUNDS GROWTH AND INCOME A	0.00	13.34	0.00	13.34	90.0	12.01
06/01/2018	SCHWICKERATH-SCHROEDER,	AM FUNDS GROWTH AND INCOME A	0.00	17.52	0.00	17.52	90.0	15.77
06/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	33.40	0.00	33.40	90.0	30.06
06/01/2018	BURNSIDE, KELLY	AM FUNDS GROWTH AND INCOME A	0.00	34.49	0.00	34.49	90.0	31.04
06/01/2018	TORNQUIST, SCOTT	AM FUNDS GROWTH AND INCOME A	0.00	194.46	0.00	194.46	90.0	175.01
06/01/2018	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	19.45	0.00	19.45	90.0	17.51
06/01/2018	ENGBRETSON, ROGER	AM FUNDS GROWTH AND INCOME A	0.00	50.75	0.00	50.75	90.0	45.68
06/01/2018	UNDERWOOD, RONALD	AM FUNDS GROWTH AND INCOME A	0.00	7.43	0.00	7.43	90.0	6.69
06/01/2018	GIESKING, RANDE	AM FUNDS GROWTH AND INCOME A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2018	CARLSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	7.69	0.00	7.69	90.0	6.92
06/01/2018	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2018	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2018	LOW, ANN	AM FUNDS GROWTH AND INCOME A	0.00	13.21	0.00	13.21	90.0	11.89
06/01/2018	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	3.89	0.00	3.89	90.0	3.50

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	0.00	11.68	0.00	11.68	90.0	10.51
06/01/2018	BERLING, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	2.21	0.00	2.21	90.0	1.99
06/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	5.48	0.00	5.48	90.0	4.93
06/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	0.00	2.67	0.00	2.67	90.0	2.40
06/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	0.00	2.65	0.00	2.65	90.0	2.39
06/01/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2018	KEHN, LEVI	AM FUNDS GROWTH AND INCOME A	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2018	OBERMEYER, HEIDI	AM FUNDS GROWTH AND INCOME A	0.00	3.17	0.00	3.17	90.0	2.85
06/01/2018	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	0.00	3.44	0.00	3.44	90.0	3.10
06/01/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	0.00	1.31	0.00	1.31	90.0	1.18
06/01/2018	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	0.00	1.35	0.00	1.35	90.0	1.22
06/01/2018	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2018	STORBY, DAVID	AM FUNDS GROWTH AND INCOME A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018	HEMER, JOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	142.40	0.00	142.40	90.0	128.16
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.92	0.00	3.92	90.0	3.53
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	36.31	0.00	36.31	90.0	32.68
06/01/2018	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.59	0.00	14.59	90.0	13.13
06/01/2018	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	59.62	0.00	59.62	90.0	53.66
06/01/2018	BRUNS, MARCUS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.40	0.00	8.40	90.0	7.56
06/01/2018	MATHISON, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.79	0.00	5.79	90.0	5.21
06/01/2018	DAHL, NANCY JOHNSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2018	GOCHE, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.83	0.00	8.83	90.0	7.95
06/01/2018	GOCHE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.09	0.00	18.09	90.0	16.28
06/01/2018	HANSON, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.19	0.00	20.19	90.0	18.17
06/01/2018	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.43	0.00	25.43	90.0	22.89
06/01/2018	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.13	0.00	5.13	90.0	4.62
06/01/2018	MOKLESTAD, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.88	0.00	5.88	90.0	5.29
06/01/2018	KESSLER, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	36.49	0.00	36.49	90.0	32.84
06/01/2018	NUTTING, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2018	GRIFFITT, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.12	0.00	13.12	90.0	11.81
06/01/2018	LEONARD TRUST, REA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	102.10	0.00	102.10	90.0	91.89
06/01/2018	VENTEICHER, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.17	0.00	12.17	90.0	10.95
06/01/2018	KETTWICK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.31	0.00	10.31	90.0	9.28

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MEINDERS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	0.00	0.84	90.0	0.76
06/01/2018	NUTTING, STUART	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.28	0.00	3.28	90.0	2.95
06/01/2018	GERZEMA, MARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	27.24	0.00	27.24	90.0	24.52
06/01/2018	SCHLAKE, ELROY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.38	0.00	6.38	90.0	5.74
06/01/2018	MCCLEES, MARTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2018	BRUNS, JOLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.87	0.00	20.87	90.0	18.78
06/01/2018	NUTTING, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.26	0.00	26.26	90.0	23.63
06/01/2018	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.19	0.00	16.19	90.0	14.57
06/01/2018	REIDEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.16	0.00	15.16	90.0	13.64
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.07	0.00	10.07	90.0	9.06
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.65	0.00	12.65	90.0	11.39
06/01/2018	CAMPBELL, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.81	0.00	25.81	90.0	23.23
06/01/2018	HEMER, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	40.69	0.00	40.69	90.0	36.62
06/01/2018	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.95	0.00	3.95	90.0	3.56
06/01/2018	GROSS, ALISON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.61	0.00	21.61	90.0	19.45
06/01/2018	LOW, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.13	0.00	10.13	90.0	9.12
06/01/2018	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.53	0.00	17.53	90.0	15.78
06/01/2018	AUDINO, JAMES	AM FUNDS GROWTH PORT A	0.00	6.51	0.00	6.51	90.0	5.86
06/01/2018	GROTHE, CHRISTI	AM FUNDS GROWTH PORT A	0.00	4.29	0.00	4.29	90.0	3.86
06/01/2018	SCHROEDER, PHILIP	AM FUNDS GROWTH PORT A	0.00	52.88	0.00	52.88	90.0	47.59
06/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	32.29	0.00	32.29	90.0	29.06
06/01/2018	BURNSIDE, KELLY	AM FUNDS GROWTH PORT A	0.00	26.53	0.00	26.53	90.0	23.88
06/01/2018	TORNQUIST, SCOTT	AM FUNDS GROWTH PORT A	0.00	108.18	0.00	108.18	90.0	97.36
06/01/2018	KOSS-WINN BANC ESOP	AM FUNDS GROWTH PORT A	0.00	10.39	0.00	10.39	90.0	9.35
06/01/2018	ENGEBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	24.48	0.00	24.48	90.0	22.03
06/01/2018	UNDERWOOD, DEBRA	AM FUNDS GROWTH PORT A	0.00	11.12	0.00	11.12	90.0	10.01
06/01/2018	CARLSON, JILL	AM FUNDS GROWTH PORT A	0.00	12.96	0.00	12.96	90.0	11.66
06/01/2018	PAGE, SUSAN	AM FUNDS GROWTH PORT A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2018	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2018	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2018	LOW, ANN	AM FUNDS GROWTH PORT A	0.00	13.37	0.00	13.37	90.0	12.03

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	0.00	8.10	0.00	8.10	90.0	7.29
06/01/2018	HARDER, ANGELA	AM FUNDS GROWTH PORT A	0.00	4.75	0.00	4.75	90.0	4.28
06/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH PORT A	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2018	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	3.46	0.00	3.46	90.0	3.11
06/01/2018	BERLING, MATTHEW	AM FUNDS GROWTH PORT A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	5.83	0.00	5.83	90.0	5.25
06/01/2018	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2018	VANDER WILT, CARA	AM FUNDS GROWTH PORT A	0.00	12.26	0.00	12.26	90.0	11.03
06/01/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH PORT A	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	0.00	6.40	0.00	6.40	90.0	5.76
06/01/2018	KEHN, LEVI	AM FUNDS GROWTH PORT A	0.00	3.96	0.00	3.96	90.0	3.56
06/01/2018	OBERMEYER, HEIDI	AM FUNDS GROWTH PORT A	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2018	HANSON, SCOTT	AM FUNDS GROWTH PORT A	0.00	15.67	0.00	15.67	90.0	14.10
06/01/2018	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	0.00	2.75	0.00	2.75	90.0	2.48
06/01/2018	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018	THORSON, JILL	AM FUNDS GROWTH PORT A	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2018	LEONARD, GARY	AM FUNDS ICA 529A	0.00	6.69	0.00	6.69	90.0	6.02
06/01/2018	HEMER, JOE	AM FUNDS ICA A	0.00	67.24	0.00	67.24	90.0	60.52
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS ICA A	0.00	10.64	0.00	10.64	90.0	9.58
06/01/2018	MATHISON, KAREN	AM FUNDS ICA A	0.00	10.09	0.00	10.09	90.0	9.08
06/01/2018	JOHNSON, MICHAEL	AM FUNDS ICA A	0.00	4.18	0.00	4.18	90.0	3.76
06/01/2018	GOCHE, STEVE	AM FUNDS ICA A	0.00	9.11	0.00	9.11	90.0	8.20
06/01/2018	JOHNSON, DONNA	AM FUNDS ICA A	0.00	5.15	0.00	5.15	90.0	4.64
06/01/2018	MOKLESTAD, NANCY	AM FUNDS ICA A	0.00	4.43	0.00	4.43	90.0	3.99
06/01/2018	KESSLER, JUDITH	AM FUNDS ICA A	0.00	1.87	0.00	1.87	90.0	1.68
06/01/2018	KESSLER, ROBERT	AM FUNDS ICA A	0.00	22.84	0.00	22.84	90.0	20.56
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS ICA A	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018	VENTEICHER, DEBRA	AM FUNDS ICA A	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2018	ALBERT, JOY	AM FUNDS ICA A	0.00	15.31	0.00	15.31	90.0	13.78
06/01/2018	PRICE, TERESA	AM FUNDS ICA A	0.00	4.28	0.00	4.28	90.0	3.85
06/01/2018	GERZEMA, MARLENE	AM FUNDS ICA A	0.00	27.37	0.00	27.37	90.0	24.63
06/01/2018	FIELD, BARRY	AM FUNDS ICA A	0.00	14.48	0.00	14.48	90.0	13.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	AUDINO, JAMES	AM FUNDS ICA A	0.00	20.02	0.00	20.02	90.0	18.02
06/01/2018	AUDINO, JAMES	AM FUNDS ICA A	0.00	9.88	0.00	9.88	90.0	8.89
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS ICA F1	0.00	1.64	0.00	1.64	90.0	1.48
05/15/2018	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.08	0.00	13.08	90.0	11.77
05/15/2018	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.97	0.00	8.97	90.0	8.07
06/01/2018	HEMER, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	72.21	0.00	72.21	90.0	64.99
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	15.37	0.00	15.37	90.0	13.83
06/01/2018	DOANE, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.68	0.00	1.68	90.0	1.51
06/01/2018	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.94	0.00	23.94	90.0	21.55
06/01/2018	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.70	0.00	3.70	90.0	3.33
06/01/2018	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.20	0.00	12.20	90.0	10.98
06/01/2018	JOHNSON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.73	0.00	3.73	90.0	3.36
06/01/2018	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.77	0.00	21.77	90.0	19.59
06/01/2018	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	63.62	0.00	63.62	90.0	57.26
06/01/2018	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.59	0.00	5.59	90.0	5.03
06/01/2018	LEONARD TRUST, REA	AM FUNDS INCOME FUND OF AMERICA A	0.00	87.84	0.00	87.84	90.0	79.06
06/01/2018	VENTEICHER, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.76	0.00	1.76	90.0	1.58
06/01/2018	ENRIGHT, EDWIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.62	0.00	16.62	90.0	14.96
06/01/2018	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.65	0.00	5.65	90.0	5.09
06/01/2018	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	32.03	0.00	32.03	90.0	28.83
06/01/2018	SCHLAKE, ELROY	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.29	0.00	4.29	90.0	3.86
06/01/2018	NUTTING, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	38.59	0.00	38.59	90.0	34.73
06/01/2018	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.11	0.00	12.11	90.0	10.90
06/01/2018	KETTWICK, CAROLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.91	0.00	5.91	90.0	5.32
06/01/2018	REIDEL, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2018	LOW, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.53	0.00	8.53	90.0	7.68
06/01/2018	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.24	0.00	19.24	90.0	17.32
06/01/2018	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.65	0.00	9.65	90.0	8.69
06/01/2018	ENRIGHT, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.25	0.00	7.25	90.0	6.53
06/01/2018	LEONARD, GARY	AM FUNDS INCOME FUND OF AMERICA-529	0.00	11.99	0.00	11.99	90.0	10.79
06/01/2018	JOHNSON, DONNA	AM FUNDS INCOME PORTFOLIO A	0.00	2.65	0.00	2.65	90.0	2.39
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS INTL GROWTH AND INCOME A	0.00	68.62	0.00	68.62	90.0	61.76
06/01/2018	BRUNS, MARCUS	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.78	0.00	5.78	90.0	5.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	KESSLER, JUDITH	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.40	0.00	7.40	90.0	6.66
06/01/2018	KESSLER, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	28.58	0.00	28.58	90.0	25.72
06/01/2018	LEONARD TRUST, REA	AM FUNDS INTL GROWTH AND INCOME A	0.00	90.34	0.00	90.34	90.0	81.31
06/01/2018	VENTEICHER, DEBRA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.69	0.00	1.69	90.0	1.52
06/01/2018	KETTWICK, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	25.65	0.00	25.65	90.0	23.09
06/01/2018	PRICE, TERESA	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.42	0.00	6.42	90.0	5.78
06/01/2018	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	0.00	65.62	0.00	65.62	90.0	59.06
06/01/2018	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	14.61	0.00	14.61	90.0	13.15
06/01/2018	BROWN, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.14	0.00	3.14	90.0	2.83
06/01/2018	VANDERWILT, TRISHA	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.30	0.00	7.30	90.0	6.57
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.77	0.00	7.77	90.0	6.99
06/01/2018	TORNQUIST, SCOTT	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.76	0.00	8.76	90.0	7.88
06/01/2018	BURNSIDE, KELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	13.26	0.00	13.26	90.0	11.93
06/01/2018	LINDBORG, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.88	0.00	4.88	90.0	4.39
06/01/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	21.40	0.00	21.40	90.0	19.26
06/01/2018	GROSS, JUDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.13	0.00	4.13	90.0	3.72
06/01/2018	JASS, ARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.77	0.00	4.77	90.0	4.29
06/01/2018	LOW, ANN	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.53	0.00	8.53	90.0	7.68
06/01/2018	DOANE, ELDON	AM FUNDS NEW ECONOMY A	0.00	25.29	0.00	25.29	90.0	22.76
06/01/2018	O'CONNELL, LANE	AM FUNDS NEW ECONOMY A	0.00	33.10	0.00	33.10	90.0	29.79
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS NEW ECONOMY A	0.00	24.35	0.00	24.35	90.0	21.92
06/01/2018	AUDINO, JAMES	AM FUNDS NEW ECONOMY A	0.00	21.58	0.00	21.58	90.0	19.42
06/01/2018	SCHLICHTING, LAURA	AM FUNDS NEW ECONOMY A	0.00	6.60	0.00	6.60	90.0	5.94
06/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	18.52	0.00	18.52	90.0	16.67
06/01/2018	KESSLER, JUDITH	AM FUNDS NEW ECONOMY A	0.00	26.31	0.00	26.31	90.0	23.68
06/01/2018	KESSLER, ROBERT	AM FUNDS NEW ECONOMY A	0.00	22.14	0.00	22.14	90.0	19.93
06/01/2018	LEONARD TRUST, REA	AM FUNDS NEW ECONOMY A	0.00	30.73	0.00	30.73	90.0	27.66
06/01/2018	VENTEICHER, DEBRA	AM FUNDS NEW ECONOMY A	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2018	KETTWICK, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	18.07	0.00	18.07	90.0	16.26
06/01/2018	JASS, ARLENE	AM FUNDS NEW ECONOMY A	0.00	9.66	0.00	9.66	90.0	8.69
06/01/2018	LEONARD, GARY	AM FUNDS NEW PERSPECTIVE 529A	0.00	17.31	0.00	17.31	90.0	15.58
05/15/2018	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	8.95	0.00	8.95	90.0	8.06
05/15/2018	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.58	0.00	7.58	90.0	6.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HEMER, JOE	AM FUNDS NEW PERSPECTIVE A	0.00	60.85	0.00	60.85	90.0	54.77
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW PERSPECTIVE A	0.00	98.62	0.00	98.62	90.0	88.76
06/01/2018	DOANE, ELDON	AM FUNDS NEW PERSPECTIVE A	0.00	25.08	0.00	25.08	90.0	22.57
06/01/2018	DOANE, LAVONNE	AM FUNDS NEW PERSPECTIVE A	0.00	30.43	0.00	30.43	90.0	27.39
06/01/2018	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	12.88	0.00	12.88	90.0	11.59
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS NEW PERSPECTIVE A	0.00	63.17	0.00	63.17	90.0	56.85
06/01/2018	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	41.86	0.00	41.86	90.0	37.67
06/01/2018	WEBER, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	52.35	0.00	52.35	90.0	47.12
06/01/2018	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	10.56	0.00	10.56	90.0	9.50
06/01/2018	MATHISON, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	15.60	0.00	15.60	90.0	14.04
06/01/2018	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	5.36	0.00	5.36	90.0	4.82
06/01/2018	SCHLICHTING, LAURA	AM FUNDS NEW PERSPECTIVE A	0.00	11.31	0.00	11.31	90.0	10.18
06/01/2018	GOCHE, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	42.02	0.00	42.02	90.0	37.82
06/01/2018	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	7.84	0.00	7.84	90.0	7.06
06/01/2018	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	10.68	0.00	10.68	90.0	9.61
06/01/2018	HANSON, CARRIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.92	0.00	2.92	90.0	2.63
06/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.94	0.00	3.94	90.0	3.55
06/01/2018	MAKI, LINDA	AM FUNDS NEW PERSPECTIVE A	0.00	3.32	0.00	3.32	90.0	2.99
06/01/2018	GOCHE, STEVE	AM FUNDS NEW PERSPECTIVE A	0.00	11.62	0.00	11.62	90.0	10.46
06/01/2018	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	23.74	0.00	23.74	90.0	21.37
06/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.64	0.00	3.64	90.0	3.28
06/01/2018	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2018	KESSLER, JUDITH	AM FUNDS NEW PERSPECTIVE A	0.00	32.87	0.00	32.87	90.0	29.58
06/01/2018	KESSLER, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	42.93	0.00	42.93	90.0	38.64
06/01/2018	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	4.94	0.00	4.94	90.0	4.45
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	7.95	0.00	7.95	90.0	7.16
06/01/2018	LEONARD TRUST, REA	AM FUNDS NEW PERSPECTIVE A	0.00	174.05	0.00	174.05	90.0	156.65
06/01/2018	VENTEICHER, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	9.23	0.00	9.23	90.0	8.31
06/01/2018	KETTWICK, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	65.03	0.00	65.03	90.0	58.53
06/01/2018	MEINDERS, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	7.79	0.00	7.79	90.0	7.01
06/01/2018	PRICE, TERESA	AM FUNDS NEW PERSPECTIVE A	0.00	22.98	0.00	22.98	90.0	20.68
06/01/2018	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	0.00	75.25	0.00	75.25	90.0	67.73
06/01/2018	MEINDERS, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	11.40	0.00	11.40	90.0	10.26

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	0.00	14.78	0.00	14.78	90.0	13.30
06/01/2018	NUTTING, STUART	AM FUNDS NEW PERSPECTIVE A	0.00	5.37	0.00	5.37	90.0	4.83
06/01/2018	VANDER WILT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	11.11	0.00	11.11	90.0	10.00
06/01/2018	HOLLEY, CASEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2018	GERZEMA, MARLENE	AM FUNDS NEW PERSPECTIVE A	0.00	21.72	0.00	21.72	90.0	19.55
06/01/2018	SCHLAKE, ELROY	AM FUNDS NEW PERSPECTIVE A	0.00	12.15	0.00	12.15	90.0	10.94
06/01/2018	MCCLEES, MARTIN	AM FUNDS NEW PERSPECTIVE A	0.00	1.78	0.00	1.78	90.0	1.60
06/01/2018	NUTTING, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	43.15	0.00	43.15	90.0	38.84
06/01/2018	BROWN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	2.07	0.00	2.07	90.0	1.86
06/01/2018	KETTWICK, TROY	AM FUNDS NEW PERSPECTIVE A	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2018	KETTWICK, CAROLE	AM FUNDS NEW PERSPECTIVE A	0.00	6.78	0.00	6.78	90.0	6.10
06/01/2018	NUTTING, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2018	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	10.77	0.00	10.77	90.0	9.69
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	9.41	0.00	9.41	90.0	8.47
06/01/2018	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	4.71	0.00	4.71	90.0	4.24
06/01/2018	CAMPBELL, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	32.31	0.00	32.31	90.0	29.08
06/01/2018	HEMER, EILEEN	AM FUNDS NEW PERSPECTIVE A	0.00	23.61	0.00	23.61	90.0	21.25
06/01/2018	UNDERWOOD, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	3.79	0.00	3.79	90.0	3.41
06/01/2018	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	3.22	0.00	3.22	90.0	2.90
06/01/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	25.57	0.00	25.57	90.0	23.01
06/01/2018	GROSS, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	12.94	0.00	12.94	90.0	11.65
06/01/2018	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	14.97	0.00	14.97	90.0	13.47
06/01/2018	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	8.43	0.00	8.43	90.0	7.59
06/01/2018	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	22.31	0.00	22.31	90.0	20.08
06/01/2018	HEMER, JOE	AM FUNDS NEW WORLD A	0.00	19.05	0.00	19.05	90.0	17.15
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS NEW WORLD A	0.00	4.69	0.00	4.69	90.0	4.22
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW WORLD A	0.00	83.73	0.00	83.73	90.0	75.36
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS NEW WORLD A	0.00	50.43	0.00	50.43	90.0	45.39
06/01/2018	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	8.86	0.00	8.86	90.0	7.97
06/01/2018	AUDINO, JAMES	AM FUNDS NEW WORLD A	0.00	4.97	0.00	4.97	90.0	4.47
06/01/2018	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	5.86	0.00	5.86	90.0	5.27
06/01/2018	SCHLICHTING, LAURA	AM FUNDS NEW WORLD A	0.00	3.59	0.00	3.59	90.0	3.23
06/01/2018	GOCHE, ANN	AM FUNDS NEW WORLD A	0.00	6.58	0.00	6.58	90.0	5.92

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	BRUNS, MARCUS	AM FUNDS NEW WORLD A	0.00	14.56	0.00	14.56	90.0	13.10
06/01/2018	SCHLICHTING, STEVE	AM FUNDS NEW WORLD A	0.00	5.87	0.00	5.87	90.0	5.28
06/01/2018	GOCHE, STEVE	AM FUNDS NEW WORLD A	0.00	13.89	0.00	13.89	90.0	12.50
06/01/2018	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	0.00	22.75	0.00	22.75	90.0	20.48
06/01/2018	KESSLER, ROBERT	AM FUNDS NEW WORLD A	0.00	20.13	0.00	20.13	90.0	18.12
06/01/2018	DAHL, NANCY M JOHNSON	AM FUNDS NEW WORLD A	0.00	7.16	0.00	7.16	90.0	6.44
06/01/2018	GRIFFITT, KENNETH	AM FUNDS NEW WORLD A	0.00	12.49	0.00	12.49	90.0	11.24
06/01/2018	LEONARD TRUST, REA	AM FUNDS NEW WORLD A	0.00	110.33	0.00	110.33	90.0	99.30
06/01/2018	VENTEICHER, DEBRA	AM FUNDS NEW WORLD A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2018	KETTWICK, MICHAEL	AM FUNDS NEW WORLD A	0.00	39.25	0.00	39.25	90.0	35.33
06/01/2018	MEINDERS, MICHELLE	AM FUNDS NEW WORLD A	0.00	2.56	0.00	2.56	90.0	2.30
06/01/2018	PRICE, TERESA	AM FUNDS NEW WORLD A	0.00	21.79	0.00	21.79	90.0	19.61
06/01/2018	MEINDERS, DAWN	AM FUNDS NEW WORLD A	0.00	8.28	0.00	8.28	90.0	7.45
06/01/2018	VANDER WILT, BRIAN	AM FUNDS NEW WORLD A	0.00	14.19	0.00	14.19	90.0	12.77
06/01/2018	SCHLAKE, ELROY	AM FUNDS NEW WORLD A	0.00	8.64	0.00	8.64	90.0	7.78
06/01/2018	BRUNS, JOLENE	AM FUNDS NEW WORLD A	0.00	9.11	0.00	9.11	90.0	8.20
06/01/2018	NUTTING, MARY	AM FUNDS NEW WORLD A	0.00	20.43	0.00	20.43	90.0	18.39
06/01/2018	BROWN, LISA	AM FUNDS NEW WORLD A	0.00	9.72	0.00	9.72	90.0	8.75
06/01/2018	VANDERWILT, TRISHA	AM FUNDS NEW WORLD A	0.00	7.56	0.00	7.56	90.0	6.80
06/01/2018	REIDEL, DENNIS	AM FUNDS NEW WORLD A	0.00	16.24	0.00	16.24	90.0	14.62
06/01/2018	TORNQUIST, PATRICIA	AM FUNDS NEW WORLD A	0.00	6.33	0.00	6.33	90.0	5.70
06/01/2018	TORNQUIST, SCOTT	AM FUNDS NEW WORLD A	0.00	9.13	0.00	9.13	90.0	8.22
06/01/2018	BURNSIDE, KELLY	AM FUNDS NEW WORLD A	0.00	13.65	0.00	13.65	90.0	12.29
06/01/2018	CAMPBELL, DENISE	AM FUNDS NEW WORLD A	0.00	14.06	0.00	14.06	90.0	12.65
06/01/2018	HEMER, EILEEN	AM FUNDS NEW WORLD A	0.00	15.97	0.00	15.97	90.0	14.37
06/01/2018	LINDEMANN, ROBIN	AM FUNDS NEW WORLD A	0.00	6.62	0.00	6.62	90.0	5.96
06/01/2018	UNDERWOOD, RONALD	AM FUNDS NEW WORLD A	0.00	2.85	0.00	2.85	90.0	2.57
06/01/2018	GROSS, JUDY	AM FUNDS NEW WORLD A	0.00	42.50	0.00	42.50	90.0	38.25
06/01/2018	LEONARD, ISSAC	AM FUNDS NEW WORLD A	0.00	10.77	0.00	10.77	90.0	9.69
06/01/2018	LEONARD, CARA	AM FUNDS NEW WORLD A	0.00	6.82	0.00	6.82	90.0	6.14
06/01/2018	GROSS, ALISON	AM FUNDS NEW WORLD A	0.00	10.83	0.00	10.83	90.0	9.75
06/01/2018	LOW, ANN	AM FUNDS NEW WORLD A	0.00	9.76	0.00	9.76	90.0	8.78
06/01/2018	LOW, ANN	AM FUNDS NEW WORLD A	0.00	5.36	0.00	5.36	90.0	4.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	HEMER, JOE	AM FUNDS SMALLCAP WORLD A	0.00	42.28	0.00	42.28	90.0	38.05
06/01/2018	DOANE TRUST, LAVONNE	AM FUNDS SMALLCAP WORLD A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2018	GRIFFITT TRUST, KENNETH AND	AM FUNDS SMALLCAP WORLD A	0.00	71.52	0.00	71.52	90.0	64.37
06/01/2018	DOANE, ELDON	AM FUNDS SMALLCAP WORLD A	0.00	24.59	0.00	24.59	90.0	22.13
06/01/2018	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	40.33	0.00	40.33	90.0	36.30
06/01/2018	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	12.51	0.00	12.51	90.0	11.26
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS SMALLCAP WORLD A	0.00	30.79	0.00	30.79	90.0	27.71
06/01/2018	AUDINO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	19.54	0.00	19.54	90.0	17.59
06/01/2018	SCHMIT, MARILYN	AM FUNDS SMALLCAP WORLD A	0.00	34.32	0.00	34.32	90.0	30.89
06/01/2018	WEBER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2018	BRUNS, MARCUS	AM FUNDS SMALLCAP WORLD A	0.00	5.33	0.00	5.33	90.0	4.80
06/01/2018	MATHISON, KAREN	AM FUNDS SMALLCAP WORLD A	0.00	10.38	0.00	10.38	90.0	9.34
06/01/2018	SCHLICHTING, LAURA	AM FUNDS SMALLCAP WORLD A	0.00	9.55	0.00	9.55	90.0	8.60
06/01/2018	GOCHE, ANN	AM FUNDS SMALLCAP WORLD A	0.00	42.26	0.00	42.26	90.0	38.03
06/01/2018	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.78	0.00	2.78	90.0	2.50
06/01/2018	GOCHE, STEVE	AM FUNDS SMALLCAP WORLD A	0.00	15.36	0.00	15.36	90.0	13.82
06/01/2018	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.84	0.00	2.84	90.0	2.56
06/01/2018	KESSLER, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	24.86	0.00	24.86	90.0	22.37
06/01/2018	LEONARD TRUST, REA	AM FUNDS SMALLCAP WORLD A	0.00	77.04	0.00	77.04	90.0	69.34
06/01/2018	VENTEICHER, DEBRA	AM FUNDS SMALLCAP WORLD A	0.00	6.53	0.00	6.53	90.0	5.88
06/01/2018	KETTWICK, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	32.75	0.00	32.75	90.0	29.48
06/01/2018	HOLLEY, CASEY	AM FUNDS SMALLCAP WORLD A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2018	SCHROEDER, PHILIP	AM FUNDS SMALLCAP WORLD A	0.00	15.66	0.00	15.66	90.0	14.09
06/01/2018	NUTTING, MARY	AM FUNDS SMALLCAP WORLD A	0.00	24.28	0.00	24.28	90.0	21.85
06/01/2018	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	12.40	0.00	12.40	90.0	11.16
06/01/2018	REIDEL, DENNIS	AM FUNDS SMALLCAP WORLD A	0.00	10.83	0.00	10.83	90.0	9.75
06/01/2018	BURNSIDE, KELLY	AM FUNDS SMALLCAP WORLD A	0.00	17.85	0.00	17.85	90.0	16.07
06/01/2018	CAMPBELL, DENISE	AM FUNDS SMALLCAP WORLD A	0.00	21.51	0.00	21.51	90.0	19.36
06/01/2018	HEMER, EILEEN	AM FUNDS SMALLCAP WORLD A	0.00	31.11	0.00	31.11	90.0	28.00
06/01/2018	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	3.70	0.00	3.70	90.0	3.33
06/01/2018	MOKLESTAD, NANCY	AM FUNDS SMALLCAP WORLD A	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2018	GROSS, ALISON	AM FUNDS SMALLCAP WORLD A	0.00	12.82	0.00	12.82	90.0	11.54
06/01/2018	HAGEN, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	32.81	0.00	32.81	90.0	29.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	DOANE, ELDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	31.37	0.00	31.37	90.0	28.23
06/01/2018	O'CONNELL, RUSSELL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	25.01	0.00	25.01	90.0	22.51
06/01/2018	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	32.08	0.00	32.08	90.0	28.87
06/01/2018	JOHNSON, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.54	0.00	17.54	90.0	15.79
06/01/2018	JOHNSON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.53	0.00	4.53	90.0	4.08
06/01/2018	VENTEICHER, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.04	0.00	4.04	90.0	3.64
06/01/2018	KETTWICK, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.58	0.00	8.58	90.0	7.72
06/01/2018	ALBERT, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.64	0.00	15.64	90.0	14.08
06/01/2018	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.58	0.00	15.58	90.0	14.02
06/01/2018	AUDINO, JAMES	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.28	0.00	6.28	90.0	5.65
06/01/2018	HANSON, SCOTT	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.45	0.00	10.45	90.0	9.41
06/01/2018	NUTTING, MARY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	33.22	0.00	33.22	90.0	29.90
06/01/2018	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.18	0.00	10.18	90.0	9.16
06/01/2018	ENGBRETSON, ROGER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	44.32	0.00	44.32	90.0	39.89
06/01/2018	ENGBRETSON, LINDA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.81	0.00	10.81	90.0	9.73
06/01/2018	UNDERWOOD, RONALD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.31	0.00	10.31	90.0	9.28
06/01/2018	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	5.06	0.00	5.06	90.0	4.55
06/01/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.74	0.00	4.74	90.0	4.27
06/01/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	11.55	0.00	11.55	90.0	10.40
06/01/2018	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	7.22	0.00	7.22	90.0	6.50
06/01/2018	MEYER, TRAVIS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	17.46	0.00	17.46	90.0	15.71
06/01/2018	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.07	0.00	4.07	90.0	3.66
06/01/2018	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	12.36	0.00	12.36	90.0	11.12
06/01/2018	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.20	0.00	2.20	90.0	1.98
06/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.85	0.00	2.85	90.0	2.57
06/01/2018	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.41	0.00	6.41	90.0	5.77
06/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.82	0.00	2.82	90.0	2.54
06/01/2018	KEHN, LEVI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.59	0.00	4.59	90.0	4.13
06/01/2018	OBERMEYER, HEIDI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.26	0.00	2.26	90.0	2.03
06/01/2018	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2018	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.21	0.00	1.21	90.0	1.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	3.93	0.00	3.93	90.0	3.54
06/01/2018	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	52.70	0.00	52.70	90.0	47.43
06/01/2018	MEINDERS, DAWN	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.16	0.00	6.16	90.0	5.54
06/01/2018	SCHLAKE, ELROY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.16	0.00	6.16	90.0	5.54
06/01/2018	NUTTING, MARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	13.15	0.00	13.15	90.0	11.84
06/01/2018	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	47.38	0.00	47.38	90.0	42.64
06/01/2018	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.21	0.00	5.21	90.0	4.69
06/01/2018	LEONARD, ISSAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	9.20	0.00	9.20	90.0	8.28
06/01/2018	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.89	0.00	5.89	90.0	5.30
06/01/2018	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2018	LEONARD, ISAAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.74	0.00	5.74	90.0	5.17
06/01/2018	GROSS, ALISON	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	9.39	0.00	9.39	90.0	8.45
05/17/2018	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.66	0.00	5.66	90.0	5.09
05/17/2018	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	54.58	0.00	54.58	90.0	49.12
05/17/2018	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	59.02	0.00	59.02	90.0	53.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11,545.28	\$0.00	\$11,545.28		\$10,391.13
*** DIRECT TRANSACTIONS TOTAL ***			\$150,375.85	\$20,361.83	\$0.00	\$20,361.83		\$18,326.07

ADJUSTMENTS

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
06/15/2018	*DOCUSIGN: CURRENT MONTH	0.00						-18.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2018	*LASER APP: CURRENT MONTH	0.00						-18.00
06/15/2018	*MARKETING PRO: PRO USER	0.00						-45.00
06/15/2018	*PERSHING: FOREIGN SAFEKEEPING PNW04526	0.00						-8.00
06/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						-2.37
06/15/2018	*TECHNOLOGY: ADMIN - E ROELIKE	0.00						-130.00
06/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-708.37

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BROKER COMMISSION TOTALS

Broker Transactions	\$18,326.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-708.37
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,617.70
Year-to-date Gross	\$194,747.15
Year-to-date Earnings	\$174,444.14