



All Companies

04/27/2018
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COMMISSION STATEMENT May 01, 2018

KAREN CARTER BROOKMAN
1840 Hurstview Drive

BROKER #: 9102
BRANCH: HOME OFFICE

Hurst, TX 76054

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/31/2018	TRAILS	COLLEGEBOUND 529	B	3.58	3.58	0.00	3.58	90.0	3.22	
03/31/2018	TRAILS	EATON VANCE - GENERAL	B	22.17	22.17	0.00	22.17	90.0	19.95	
04/16/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS BALANCED FD	B	90.00	1.80	0.00	1.80	90.0	1.62
04/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS HIGH INCOME MUNI	B	90.00	1.80	0.00	1.80	90.0	1.62
04/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS INVESTMENT COMP OF A	B	60.00	1.20	0.00	1.20	90.0	1.08
04/20/2018	00087480178	BRADLEY, MARGARET	AMERICAN FUNDS WA MUTUAL	B	60.00	1.20	0.00	1.20	90.0	1.08
* MUTUAL FUNDS SUBTOTAL *				\$425.75	\$32.75	\$0.00	\$32.75		\$29.47	
PRODUCT CATEGORY: STOCKS										
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	0.87	0.87	0.00	0.87	90.0	0.78	
* STOCKS SUBTOTAL *				\$0.87	\$0.87	\$0.00	\$0.87		\$0.78	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
03/31/2018	TRAILS	-JACKSON NATIONAL	B	38.78	38.78	0.00	38.78	90.0	34.90	
04/20/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	26.31	26.31	0.00	26.31	90.0	23.68	
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,536.83	2,536.83	0.00	2,536.83	90.0	2,283.15	
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	12,150.40	12,150.40	0.00	12,150.40	90.0	10,935.36	
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	4,243.79	4,243.79	0.00	4,243.79	90.0	3,819.41	
03/29/2018	TRAILS	GENWORTH LIFE ANNUITY	B	396.80	396.80	0.00	396.80	90.0	357.12	
04/13/2018	TRAILS	LINCOLN BENEFIT LIFE	B	337.12	337.12	0.00	337.12	90.0	303.41	
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	165.08	165.08	0.00	165.08	90.0	148.57	
* VARIABLE ANNUITIES SUBTOTAL *				\$19,895.11	\$19,895.11	\$0.00	\$19,895.11		\$17,905.60	
PRODUCT CATEGORY: RIA										
04/13/2018	12706161	HALVORSON, DAVID E	SIGNAL SECURITIES RIA	B	0.00	382.67	0.00	382.67	90.0	344.40
04/13/2018	37791527	NORIS, SCOTT A	SIGNAL SECURITIES RIA	B	0.00	531.33	0.00	531.33	90.0	478.20
04/13/2018	82968618	BROWN, JACKIE L	SIGNAL SECURITIES RIA	B	0.00	89.33	0.00	89.33	90.0	80.40
* RIA SUBTOTAL *				\$0.00	\$1,003.33	\$0.00	\$1,003.33		\$903.00	

COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	64.75	0.00	64.75	90.0	58.26
05/01/2018	TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES		0.00	57.12	0.00	57.12	90.0	51.41
05/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	183.38	0.00	183.38	90.0	165.05
05/01/2018	TRAIL COMMISSIONS	ALLIANCE BERNSTEIN FUNDS FEES		0.00	26.64	0.00	26.64	90.0	23.98
05/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	61.66	0.00	61.66	90.0	55.49
05/01/2018	TRAIL COMMISSIONS	DWS INVESTMENTS SERVICE COMPANY FEE		0.00	4.03	0.00	4.03	90.0	3.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$397.58	\$0.00	\$397.58		\$357.82
*** BROKER TRANSACTIONS TOTAL ***				\$20,321.73	\$21,329.64	\$0.00	\$21,329.64		\$19,196.67

ADJUSTMENTS

05/01/2018	E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
05/01/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$19,196.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$19,069.17
Year-to-date Earnings	\$63,233.31