



Concorde Investment Services, LLC

07/12/2017

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# COMMISSION STATEMENT

July 15, 2017

ROY PFLEGER II  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: P123  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
06/16/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
06/14/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	75.0	0.47
06/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.56	0.00	0.56	75.0	0.42
06/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.25	0.00	0.25	75.0	0.19
06/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.23	0.00	0.23	75.0	0.17
06/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.45	0.00	0.45	75.0	0.34
06/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	4.55	0.00	4.55	75.0	3.41
06/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.60	0.00	2.60	75.0	1.95
06/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.27	0.00	0.27	75.0	0.20
06/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.29	0.00	0.29	75.0	0.22
06/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	75.0	0.15
06/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	75.0	0.14
06/09/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.35	0.00	0.35	75.0	0.26
06/09/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.61	0.00	1.61	75.0	1.21
06/20/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	75.0	0.57
<b>* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$13.00</b>	<b>\$0.00</b>	<b>\$13.00</b>		<b>\$9.76</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$13.00</b>	<b>\$0.00</b>	<b>\$13.00</b>		<b>\$9.76</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*FINRA: MD IND. REG. FEE	0.00					-35.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-35.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$9.76
Override Transactions	\$0.00
Adjustments	\$-35.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2.18
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-27.42
Year-to-date Gross	\$29,620.08
Year-to-date Earnings	\$22,174.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
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