



COMMISSION STATEMENT

July 09, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/28/2018	***-**-228I - EMMA, JACQUELINE	37252M108	100.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00551461545	BUY 5 Units @21.3200						
06/28/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00083468095	BUY 2 Units @28.7100						
06/27/2018	***-**-8806 - MABRY, REBECCA	THE BOND FUND OF AMERICA-A	75.00	2.25	0.00	2.25	80.0	1.80
	ACCOUNT #: 00082729374	BUY 6 Units @13.0600						
06/27/2018	***-**-8806 - MABRY, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-A	75.00	2.81	0.00	2.81	80.0	2.25
	ACCOUNT #: 00082729374	BUY 2 Units @46.1200						
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$12.56	\$0.00	\$12.56		\$10.05
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	***-**-2389 - SHAW, KIMBERLY	HARTFORD LIFE TRAILS	0.00	76.60	0.00	76.60	80.0	61.28
	ACCOUNT #: 711271021	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$76.60	\$0.00	\$76.60		\$61.28
*** BROKER TRANSACTIONS TOTAL ***			\$300.00	\$89.16	\$0.00	\$89.16		\$71.33

BROKER COMMISSION TOTALS

Broker Transactions	\$71.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$46.44
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$117.77
Year-to-date Earnings	\$4,065.63