

COMMISSION STATEMENT

April 03, 2017

MARTIN MCNEILL

25 Pineneck Avenue

Sag Harbor, NY 11963-

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/24/2017	QGV001100	AND EDWARD FRENCH	ASPEN AEROGELS INC COM	S	-9,669.50	73.00	0.00	73.00	80.0	58.40
02/27/2017	QGV001100	AND EDWARD FRENCH	BLUEBIRD BIO INC COM	B	17,430.00	73.00	0.00	73.00	80.0	58.40
02/27/2017	QGV001100	AND EDWARD FRENCH	KEMET CORP COM NEW	B	5,689.75	73.00	0.00	73.00	80.0	58.40
02/27/2017	QGV001100	AND EDWARD FRENCH	SQUARE INC CLASS A COM	B	9,043.25	38.00	0.00	38.00	80.0	30.40
03/03/2017	QGV001100	AND EDWARD FRENCH	ASURE SOFTWARE INC	B	10,990.80	73.00	0.00	73.00	80.0	58.40
03/03/2017	QGV001100	AND EDWARD FRENCH	NANOSTRING TECHNOLOGIES INC	S	-19,131.30	73.00	0.00	73.00	80.0	58.40
03/06/2017	QGV001100	AND EDWARD FRENCH	APHRIA INC COM ISIN#CA03765K1049	B	2,595.00	73.00	0.00	73.00	80.0	58.40
03/06/2017	QGV001100	AND EDWARD FRENCH	AURORA CANNABIS INC COM ISIN#CA0515	B	1,939.00	73.00	0.00	73.00	80.0	58.40
03/06/2017	QGV001100	AND EDWARD FRENCH	CANNABIS SATIVA INC COM	B	2,955.00	73.00	0.00	73.00	80.0	58.40
03/06/2017	QGV001100	AND EDWARD FRENCH	GENERAL CANNABIS CORP COM	B	2,228.40	73.00	0.00	73.00	80.0	58.40
03/06/2017	QGV001100	AND EDWARD FRENCH	ROCKY MTN HIGH BRANDS INC COM	B	1,100.00	73.00	0.00	73.00	80.0	58.40
03/07/2017	QGV001100	AND EDWARD FRENCH	TURTLE BEACH CORP COM	B	4,194.60	73.00	0.00	73.00	80.0	58.40
03/08/2017	QGV001100	AND EDWARD FRENCH	EVERBRIDGE INC COM	S	-8,626.25	73.00	0.00	73.00	80.0	58.40
03/09/2017	QGV001100	AND EDWARD FRENCH	TEREX CORP	S	-14,896.05	73.00	0.00	73.00	80.0	58.40
03/14/2017	QGV001100	AND EDWARD FRENCH	GSI TECHNOLOGY INC COM	B	7,594.50	73.00	0.00	73.00	80.0	58.40
03/15/2017	QGV001100	AND EDWARD FRENCH	TURTLE BEACH CORP COM	S	-2,880.00	73.00	0.00	73.00	80.0	58.40
03/16/2017	QGV001100	AND EDWARD FRENCH	BONANZA CREEK ENERGY INC COM	S	-5,435.00	73.00	0.00	73.00	80.0	58.40
03/16/2017	QGV001100	AND EDWARD FRENCH	JD.COM INC SPONSORED ADR REPSTG COM	B	9,521.31	73.00	0.00	73.00	80.0	58.40
03/17/2017	QGV001100	AND EDWARD FRENCH	NEVRO CORP COM	B	18,694.66	73.00	0.00	73.00	80.0	58.40
03/21/2017	QGV001100	AND EDWARD FRENCH	PRIMO WTR CORP COM	S	-13,964.60	73.00	0.00	73.00	80.0	58.40
03/21/2017	QGV001100	AND EDWARD FRENCH	SQUARE INC CLASS A COM	S	-8,276.30	73.00	0.00	73.00	80.0	58.40
03/23/2017	QGV001100	AND EDWARD FRENCH	PARK CITY GROUP INC COM NEW	S	-11,800.00	73.00	0.00	73.00	80.0	58.40
03/24/2017	QGV001100	AND EDWARD FRENCH	AERIE PHARMACEUTICALS INC COM	S	-22,820.50	73.00	0.00	73.00	80.0	58.40
03/24/2017	QGV001100	AND EDWARD FRENCH	BLUEBIRD BIO INC COM	S	-17,410.00	73.00	0.00	73.00	80.0	58.40
03/24/2017	QGV001100	AND EDWARD FRENCH	DEXCOM INC	S	-16,561.60	73.00	0.00	73.00	80.0	58.40
03/24/2017	QGV002439	YALINEWITZ, BOAZ	BARCLAYS BANK PLC IPATH S&P 500 VIX	S	-1,739,500.00	2,000.00	0.00	2,000.00	80.0	1,600.00
03/28/2017	QGV002439	YALINEWITZ, BOAZ	MORGAN STANLEY DEAN WITTER & CO	S	-159,988.38	112.80	0.00	112.80	80.0	90.24
02/27/2017	QGW002180	FRENCH, JOHN	ZELTIQ AESTHETICS INC COM	S	-16,684.05	73.00	0.00	73.00	80.0	58.40
03/06/2017	QGW002180	FRENCH, JOHN	CANOPY GROWTH CORP COM ISIN# CA1380	B	4,375.00	73.00	0.00	73.00	80.0	58.40
03/20/2017	QGW002180	FRENCH, JOHN	DSP GROUP INC COM	B	6,150.00	73.00	0.00	73.00	80.0	58.40
03/20/2017	QGW002404	MAHARISHI UNIVERSITY J P MORGAN CHASE & CO		S	-50,083.00	75.00	0.00	75.00	80.0	60.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/24/2017	QGW002925	BATHRICK, CHARLES							
		BANK OF AMERICA CORP	B	4,833.30	75.00	0.00	75.00	80.0	60.00
02/24/2017	QGW002925	BATHRICK, CHARLES							
		FORTUNA SILVER MINES INC COM ISIN#C B		6,159.90	75.00	0.00	75.00	80.0	60.00
02/24/2017	QGW002925	BATHRICK, CHARLES							
		STRATASYS LTD	B	6,105.30	75.00	0.00	75.00	80.0	60.00
02/28/2017	QGW002925	BATHRICK, CHARLES							
		FORTUNA SILVER MINES INC COM ISIN#C S		-5,732.80	75.00	0.00	75.00	80.0	60.00
03/02/2017	QGW002925	BATHRICK, CHARLES							
		SPDR DOW JONES INDL AVERAGE ETF TR B		21,104.80	75.00	0.00	75.00	80.0	60.00
03/09/2017	QGW002925	BATHRICK, CHARLES							
		SPDR SER TR S&P REGL BKG ETF	B	11,453.30	75.00	0.00	75.00	80.0	60.00
03/15/2017	QGW002925	BATHRICK, CHARLES							
		MARATHON OIL CORP	S	-6,168.80	75.00	0.00	75.00	80.0	60.00
03/16/2017	QGW002925	BATHRICK, CHARLES							
		LAREDO PETROLEUM INC	S	-6,726.15	75.00	0.00	75.00	80.0	60.00
03/27/2017	QGW002925	BATHRICK, CHARLES							
		JOHNSON & JOHNSON	B	12,590.00	75.00	0.00	75.00	80.0	60.00
02/27/2017	QGW002974	CAIN, ANTHONY							
		ALLIANCE HEALTHCARE SVCS INC COM PA S		-20,613.40	250.00	0.00	250.00	80.0	200.00
03/02/2017	QGW002974	CAIN, ANTHONY							
		EXXON MOBIL CORPORATION	S	-166,661.40	200.00	0.00	200.00	80.0	160.00
03/10/2017	QGW002974	CAIN, ANTHONY							
		AGILENT TECHNOLOGIES INC	B	104,086.40	250.00	0.00	250.00	80.0	200.00
03/14/2017	QGW002974	CAIN, ANTHONY							
		GOLDCORP INC	B	29,657.00	200.00	0.00	200.00	80.0	160.00
03/14/2017	QGW002974	CAIN, ANTHONY							
		ROYAL GOLD INC	B	125,007.00	250.00	0.00	250.00	80.0	200.00
03/15/2017	QGW002974	CAIN, ANTHONY							
		AGILENT TECHNOLOGIES INC	S	-108,788.00	250.00	0.00	250.00	80.0	200.00
03/16/2017	QGW002974	CAIN, ANTHONY							
		DU PONT E I DE NEMOURS & CO	B	80,949.20	150.00	0.00	150.00	80.0	120.00
03/16/2017	QGW002974	CAIN, ANTHONY							
		EATON CORPORATION PLC	B	147,044.40	250.00	0.00	250.00	80.0	200.00
03/16/2017	QGW002974	CAIN, ANTHONY							
		GOLDCORP INC	S	-31,725.00	200.00	0.00	200.00	80.0	160.00
03/16/2017	QGW002974	CAIN, ANTHONY							
		ROYAL GOLD INC	S	-130,544.20	250.00	0.00	250.00	80.0	200.00
03/17/2017	QGW002974	CAIN, ANTHONY							
		AGILENT TECHNOLOGIES INC	B	53,137.40	150.00	0.00	150.00	80.0	120.00
03/20/2017	QGW002974	CAIN, ANTHONY							
		ROYAL GOLD INC	B	129,923.80	250.00	0.00	250.00	80.0	200.00
03/20/2017	QGW002974	CAIN, ANTHONY							
		VANECK VECTORS ETF TR JR GOLD MINER B		73,760.00	250.00	0.00	250.00	80.0	200.00
03/21/2017	QGW002974	CAIN, ANTHONY							
		ALAMOS GOLD INC COM CL A	B	13,357.60	175.00	0.00	175.00	80.0	140.00
03/21/2017	QGW002974	CAIN, ANTHONY							
		CONAGRA FOODS INC	B	53,061.45	200.00	0.00	200.00	80.0	160.00
03/21/2017	QGW002974	CAIN, ANTHONY							
		HOLLYFRONTIER CORP COM	S	-56,703.20	250.00	0.00	250.00	80.0	200.00
03/21/2017	QGW002974	CAIN, ANTHONY							
		YAMANA GOLD INC	S	-14,010.00	200.00	0.00	200.00	80.0	160.00
03/22/2017	QGW002974	CAIN, ANTHONY							
		AGILENT TECHNOLOGIES INC	S	-53,222.70	150.00	0.00	150.00	80.0	120.00
03/22/2017	QGW002974	CAIN, ANTHONY							
		CONAGRA FOODS INC	S	-52,796.90	200.00	0.00	200.00	80.0	160.00
03/22/2017	QGW002974	CAIN, ANTHONY							
		DU PONT E I DE NEMOURS & CO	S	-78,965.40	150.00	0.00	150.00	80.0	120.00
03/22/2017	QGW002974	CAIN, ANTHONY							
		EATON CORPORATION PLC	S	-144,593.20	250.00	0.00	250.00	80.0	200.00
03/22/2017	QGW002974	CAIN, ANTHONY							
		ROYAL GOLD INC	S	-134,412.20	250.00	0.00	250.00	80.0	200.00
03/22/2017	QGW002974	CAIN, ANTHONY							
		VANECK VECTORS ETF TR JR GOLD MINER S		-149,737.60	400.00	0.00	400.00	80.0	320.00
03/23/2017	QGW002974	CAIN, ANTHONY							
		FRANCO-NEVADA CORPORATION	B	193,996.50	350.00	0.00	350.00	80.0	280.00
03/27/2017	QGW002974	CAIN, ANTHONY							
		AGNICO-EAGLE MINES LTD	B	134,775.60	450.00	0.00	450.00	80.0	360.00
03/27/2017	QGW002974	CAIN, ANTHONY							
		NEWMONT MINING CORP (NEW)	B	67,970.60	350.00	0.00	350.00	80.0	280.00
03/27/2017	QGW002974	CAIN, ANTHONY							
		PAN AMERICAN SILVER CORP	B	35,100.00	250.00	0.00	250.00	80.0	200.00
03/27/2017	QGW004392	VALENTINE, OLIVIA							
		ICAHN ENTERPRISES LP DEPOSITARY UNI S		-100,446.60	200.00	0.00	200.00	80.0	160.00
02/24/2017	QGW004665	JOSEPH FRY							
		PETROLEO BRASILEIRO SA PETROBRAS SP S		-10,273.00	75.00	0.00	75.00	80.0	60.00
02/27/2017	QGW021990	STEINMETZ, GEORGE							
		FCB FINL HLDGS COM SHS A	S	-19,725.92	60.00	0.00	60.00	80.0	48.00
02/27/2017	QGW021990	STEINMETZ, GEORGE							
		ISHARES RUSSELL 2000 ETF	S	-96,826.45	60.00	0.00	60.00	80.0	48.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/27/2017	QGW021990	STEINMETZ, GEORGE	SPDR SER TR S&P REGL BKG ETF	S	-41,104.39	60.00	0.00	60.00	80.0	48.00
03/01/2017	QGW021990	STEINMETZ, GEORGE	CISCO SYS INC	B	22,409.73	60.00	0.00	60.00	80.0	48.00
03/01/2017	QGW021990	STEINMETZ, GEORGE	PFIZER INCORPORATED	B	16,218.06	60.00	0.00	60.00	80.0	48.00
03/01/2017	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND	B	26,084.95	60.00	0.00	60.00	80.0	48.00
03/02/2017	QGW021990	STEINMETZ, GEORGE	COMMERCIAL METALS CO	B	10,119.55	60.00	0.00	60.00	80.0	48.00
03/03/2017	QGW021990	STEINMETZ, GEORGE	KINDER MORGAN INC	B	17,849.76	60.00	0.00	60.00	80.0	48.00
03/07/2017	QGW021990	STEINMETZ, GEORGE	SPDR S&P 500 ETF TRUST	B	71,040.00	60.00	0.00	60.00	80.0	48.00
03/08/2017	QGW021990	STEINMETZ, GEORGE	CENTRAL FUND OF CANADA LTD CL-A NON	S	-9,427.58	60.00	0.00	60.00	80.0	48.00
03/09/2017	QGW021990	STEINMETZ, GEORGE	KINDER MORGAN INC	S	-17,140.46	60.00	0.00	60.00	80.0	48.00
03/14/2017	QGW021990	STEINMETZ, GEORGE	ACACIA COMMUNICATIONS INC COM	S	-10,644.90	60.00	0.00	60.00	80.0	48.00
03/17/2017	QGW021990	STEINMETZ, GEORGE	VANECK VECTORS ETF TR JR GOLD MINER	B	13,300.98	60.00	0.00	60.00	80.0	48.00
03/20/2017	QGW021990	STEINMETZ, GEORGE	ARCELORMITTAL SA LUXEMBOURG NY REGI	S	-12,674.20	60.00	0.00	60.00	80.0	48.00
03/21/2017	QGW021990	STEINMETZ, GEORGE	WISDOMTREE JAPAN HEDGED EQUITY FUND	S	-25,526.75	60.00	0.00	60.00	80.0	48.00
03/24/2017	QGW021990	STEINMETZ, GEORGE	COMMERCIAL METALS CO	B	6,258.55	60.00	0.00	60.00	80.0	48.00
03/24/2017	QGW021990	STEINMETZ, GEORGE	INTEL CORP	B	14,120.00	60.00	0.00	60.00	80.0	48.00
03/27/2017	QGW021990	STEINMETZ, GEORGE	SILVER WHEATON CORP	B	12,567.48	60.00	0.00	60.00	80.0	48.00
03/28/2017	QGW021990	STEINMETZ, GEORGE	LOWES COMPANIES INC	B	16,511.84	60.00	0.00	60.00	80.0	48.00
02/28/2017	QGW029290	BAUMHARDT, DANECA	NEWMONT MINING CORP (NEW)	B	6,817.46	75.00	0.00	75.00	80.0	60.00
* STOCKS SUBTOTAL *					\$-1,981,044.55	\$12,886.80	\$0.00	\$12,886.80		\$10,309.44
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/20/2017	QGW002511	SKELLY, HENRY	COLUMBIA NEW YORK TAX EXEMPT FUND C	B	0.00	11.81	0.00	11.81	80.0	9.45
03/15/2017	QGW002776	ROBERTS, JAMES	FEDERATED PRUDENT BEAR FUND CLASS A	B	0.00	0.94	0.00	0.94	80.0	0.75
03/21/2017	QGW002776	ROBERTS, JAMES	VOYA GLOBAL EQUITY FUND CLASS A	B	0.00	0.38	0.00	0.38	80.0	0.30
03/08/2017	QGW008468	DRUERY, MARIE	LOOMIS SAYLES INVESTMENT GRADE BOND	B	0.00	1.84	0.00	1.84	80.0	1.47
03/15/2017	QGW022113	ROBERTS, PAGE	FEDERATED PRUDENT BEAR FUND CLASS A	B	0.00	0.43	0.00	0.43	80.0	0.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$15.40	\$0.00	\$15.40		\$12.31
*** BROKER TRANSACTIONS TOTAL ***					\$-1,981,044.55	\$12,902.20	\$0.00	\$12,902.20		\$10,321.75
ADJUSTMENTS										
04/03/2017			OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,321.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.13
SIPC Assessment	\$-241.92

Check Amount	\$10,043.70
Year-to-date Earnings	\$20,543.37