

**COMMISSION STATEMENT****December 15, 2017**DANIEL COLLINS  
26915 S. Tourmaline Dr.

BROKER #: C122 Terminated 11/11/2013

BRANCH: DANIEL COLLINS

Hebron, MD 21830-

| DATE   | CLIENT              | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                |                     |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                     |                                     |                      |                     |                    |                   |      |                      |
| 11/09/2017                                       | WHITE, JACKSON      | ALLIANCEBERNSTEIN BAL WEALTH STR A  | 0.00                 | 4.76                | 0.00               | 4.76              | 0.0  | 0.00                 |
| 11/09/2017                                       | WHITE, MARGARET     | ALLIANCEBERNSTEIN BAL WEALTH STR A  | 0.00                 | 11.61               | 0.00               | 11.61             | 0.0  | 0.00                 |
| 11/09/2017                                       | PARSONS, VIRGINIA   | ALLIANCEBERNSTEIN BAL WEALTH STR A  | 0.00                 | 5.81                | 0.00               | 5.81              | 0.0  | 0.00                 |
| 11/09/2017                                       | PARSONS, VIRGINIA   | ALLIANCEBERNSTEIN BAL WEALTH STR A  | 0.00                 | 2.30                | 0.00               | 2.30              | 0.0  | 0.00                 |
| 11/20/2017                                       | JESTER, CATHERINE   | AM FUNDS ICA A                      | 0.00                 | 6.01                | 0.00               | 6.01              | 0.0  | 0.00                 |
| / /  | MORRISON, ISABELLA  | COLLEGE PORTFOLIO B                 | 0.00                 | 0.22                | 0.00               | 0.22              | 0.0  | 0.00                 |
| / /  | MORRISON, ALEXANDRA | COLLEGE PORTFOLIO B                 | 0.00                 | 0.22                | 0.00               | 0.22              | 0.0  | 0.00                 |
| 11/13/2017                                       | JESTER, CATHERINE   | DAVIS NEW YORK VENTURE A            | 0.00                 | 3.60                | 0.00               | 3.60              | 0.0  | 0.00                 |
| 11/22/2017                                       | BESEE, MARY         | DREYFUS AMT-FREE MUNICIPAL BOND FUN | 0.00                 | 4.25                | 0.00               | 4.25              | 0.0  | 0.00                 |
| 11/16/2017                                       | WHITE, JACKSON      | OPPENHEIMER LIMITED TERM GOVERNMENT | 0.00                 | 2.74                | 0.00               | 2.74              | 0.0  | 0.00                 |
| 11/24/2017                                       | WHITE, MARGARET     | PIMCO REAL RETURN A                 | 0.00                 | 2.01                | 0.00               | 2.01              | 0.0  | 0.00                 |
| 11/16/2017                                       | PARSONS, VIRGINIA   | PUTNAM GLOBAL NAT RESOURCES-A       | 0.00                 | 0.96                | 0.00               | 0.96              | 0.0  | 0.00                 |
| 11/15/2017                                       | WHITE, JACKSON      | WELLS FARGO MUNICIPAL BOND A        | 0.00                 | 2.78                | 0.00               | 2.78              | 0.0  | 0.00                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      |                     |                                     | <b>\$0.00</b>        | <b>\$47.27</b>      | <b>\$0.00</b>      | <b>\$47.27</b>    |      | <b>\$0.00</b>        |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>         |                     |                                     | <b>\$0.00</b>        | <b>\$47.27</b>      | <b>\$0.00</b>      | <b>\$47.27</b>    |      | <b>\$0.00</b>        |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>     |
| <b>Year-to-date Gross</b>    | <b>\$4,367.50</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>     |