



All Companies

05/14/2018

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# COMMISSION STATEMENT

May 15, 2018

**WILLIAM L. BROOKMAN**  
1840 Hurstview Drive

**BROKER #: 9081**  
BRANCH: HOME OFFICE

HURST, TX 76054

## COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/25/2018	00082017680 LAVALLEY, WILLIAM	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	3.75	0.00	3.75	90.0	3.38
04/25/2018	00082017680 LAVALLEY, WILLIAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
05/01/2018	00082311284 SCHUMANN, EDGAR/REESE	THE INCOME FUND OF AMERICA-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
05/01/2018	00084533081 SCHUMANN, EDGAR/KAI	CAPITAL INCOME BUILDER-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
05/01/2018	00086109209 SCHUMANN, EDGAR/JAYLA	AMERICAN MUTUAL FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
04/25/2018	00089501407 LAVALLEY, PEGGY	AMERICAN FUNDS INCOME FD OF	AMERI B	200.00	7.50	0.00	7.50	90.0	6.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$625.00</b>	<b>\$17.25</b>	<b>\$0.00</b>	<b>\$17.25</b>		<b>\$15.55</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/02/2018	WILSON, JAMES M.	-HARTFORD LIFE	B	350.00	7.00	0.00	7.00	90.0	6.30
05/02/2018	WILSON, JAMES M.	-HARTFORD LIFE	B	350.00	7.00	0.00	7.00	90.0	6.30
04/29/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	611.62	611.62	0.00	611.62	90.0	550.46
04/27/2018	TRAILS	DELAWARE LIFE	B	139.79	139.79	0.00	139.79	90.0	125.81
04/30/2018	TRAILS	GENWORTH LIFE ANNUITY	B	99.39	99.39	0.00	99.39	90.0	89.45
05/04/2018	PORTER, A. BROOKE	GREAT WEST LIFE & ANNUITY	B	100.00	6.00	0.00	6.00	90.0	5.40
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$1,650.80</b>	<b>\$870.80</b>	<b>\$0.00</b>	<b>\$870.80</b>		<b>\$783.72</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	37.13	0.00	37.13	90.0	33.41
05/15/2018	TRAIL COMMISSIONS	GOLDMAN SACHS FEES		0.00	1.76	0.00	1.76	90.0	1.58
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$38.89</b>	<b>\$0.00</b>	<b>\$38.89</b>		<b>\$34.99</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$2,275.80</b>	<b>\$926.94</b>	<b>\$0.00</b>	<b>\$926.94</b>		<b>\$834.26</b>

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$834.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$726.76
Year-to-date Earnings	\$13,294.01