

**COMMISSION STATEMENT****August 15, 2018**

JEFF/DAN/JON PAU FT-G0C NY KIESNO/RAU  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G0C  
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^07/17/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	100.0	0.00
^07/11/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	14.19	0.00	14.19	100.0	0.00
^07/11/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.43	0.00	1.43	100.0	0.00
^07/11/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.76	0.00	4.76	100.0	0.00
^07/11/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.59	0.00	6.59	100.0	0.00
^07/19/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	2.99	0.00	2.99	100.0	0.00
^07/27/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.04	0.00	4.04	100.0	0.00
^07/27/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.97	0.00	8.97	100.0	0.00
^07/27/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.37	0.00	1.37	100.0	0.00
^07/27/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	100.0	0.00
^07/27/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.69	0.00	5.69	100.0	0.00
^07/27/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.29	0.00	3.29	100.0	0.00
^07/13/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.71	0.00	9.71	100.0	0.00
^07/13/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.88	0.00	2.88	100.0	0.00
^07/16/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	-2.88	0.00	-2.88	100.0	0.00
^07/23/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.88	0.00	2.88	100.0	0.00
^07/13/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.92	0.00	2.92	100.0	0.00
^07/16/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	-2.92	0.00	-2.92	100.0	0.00
^07/23/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.92	0.00	2.92	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$69.65</b>	<b>\$0.00</b>	<b>\$69.65</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$69.65</b>	<b>\$0.00</b>	<b>\$69.65</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed