

**COMMISSION STATEMENT****January 15, 2018**

G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0E
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/02/2018	BABB, VICTOR	INDEXIQ ETF TR IQ HEDGE MULTI-STRAT	-2,469.43	0.00	22.50	-22.50	100.0	0.00
^01/02/2018	BABB, VICTOR	ISHARES TR S&P U S PFD STK INDEX FD	-3,079.70	0.00	22.50	-22.50	100.0	0.00
^01/02/2018	BABB, VICTOR	NEW YORK REIT INC COM	-1,155.27	0.00	22.50	-22.50	100.0	0.00
* STOCKS SUBTOTAL *			\$-6,704.40	\$0.00	\$67.50	\$-67.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-6,704.40	\$0.00	\$67.50	\$-67.50		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/06/2017	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	10.84	0.00	10.84	100.0	0.00
^12/19/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.03	0.00	2.03	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.87	\$0.00	\$12.87		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$12.87	\$0.00	\$12.87		\$0.00
<u>ADJUSTMENTS</u>								
01/15/2018		*PERSHING: MARK UPS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00