

**COMMISSION STATEMENT****February 15, 2018**WILLIAM WALSH
2565 West MapleBROKER #: W127 Terminated 08/17/2017
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
01/10/2018	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	28.23	0.00	28.23	0.0	0.00
01/10/2018	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.22	0.00	3.22	0.0	0.00
01/10/2018	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	7.05	0.00	7.05	0.0	0.00
12/31/2017	CHICAGO WICKER	ADP TRAILS	0.00	1,085.71	0.00	1,085.71	0.0	0.00
01/10/2018	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.90	0.00	4.90	0.0	0.00
01/10/2018	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.73	0.00	2.73	0.0	0.00
01/10/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.07	0.00	0.07	0.0	0.00
01/10/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.34	0.00	0.34	0.0	0.00
01/10/2018	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.00
01/10/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.21	0.00	17.21	0.0	0.00
01/10/2018	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.02	0.00	38.02	0.0	0.00
01/10/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.72	0.00	4.72	0.0	0.00
01/10/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.42	0.00	0.42	0.0	0.00
01/10/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.24	0.00	11.24	0.0	0.00
01/10/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.00
01/10/2018	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.36	0.00	9.36	0.0	0.00
01/10/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.17	0.00	1.17	0.0	0.00
01/10/2018	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	11.21	0.00	11.21	0.0	0.00
01/10/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.42	0.00	1.42	0.0	0.00
01/10/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	22.84	0.00	22.84	0.0	0.00
01/10/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.99	0.00	1.99	0.0	0.00
01/10/2018	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.65	0.00	2.65	0.0	0.00
01/10/2018	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	8.22	0.00	8.22	0.0	0.00
01/10/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.13	0.00	1.13	0.0	0.00
01/10/2018	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	0.66	0.00	0.66	0.0	0.00
01/10/2018	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	89.61	0.00	89.61	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	0.40	0.00	0.40	0.0	0.00
01/10/2018	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	3.89	0.00	3.89	0.0	0.00
01/10/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.05	0.00	5.05	0.0	0.00
01/10/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	6.06	0.00	6.06	0.0	0.00
01/10/2018	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.94	0.00	8.94	0.0	0.00
01/10/2018	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	29.33	0.00	29.33	0.0	0.00
01/10/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.21	0.00	1.21	0.0	0.00
01/10/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	16.15	0.00	16.15	0.0	0.00
01/10/2018	YOUNG PROFIT SHARING, RICK	PIMCO ALL ASSET A	0.00	10.99	0.00	10.99	0.0	0.00
01/10/2018	YOUNG-CASH BALANCE, RICK	PIMCO ALL ASSET A	0.00	45.78	0.00	45.78	0.0	0.00
01/10/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.90	0.00	14.90	0.0	0.00
01/10/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.54	0.00	0.54	0.0	0.00
01/26/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.26	0.00	0.26	0.0	0.00
01/26/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.56	0.00	0.56	0.0	0.00
01/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.34	0.00	1.34	0.0	0.00
01/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.26	0.00	0.26	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$1,499.91	\$0.00	\$1,499.91		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	617.81	0.00	617.81	0.0	0.00
01/31/2018	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	76.18	0.00	76.18	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$693.99	\$0.00	\$693.99		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.01	\$0.00	\$0.01		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$2,193.91	\$0.00	\$2,193.91		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,980.88
Year-to-date Earnings	\$0.00