

COMMISSION STATEMENT  
April 19, 2018

STEVEN COOK  
3200 N. Central Ave.  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73756  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-15.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-15.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/19/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	56.00	0.00	56.00	28.45
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$56.00	\$0.00	\$56.00	\$28.45

PRODUCT CATEGORY: STOCKS

04/19/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-103.53	0.00	-103.53	-52.59
04/19/2018	COOK (73756), STEVE	SAI SECURITIES INCOME	0.00	299.71	0.00	299.71	152.25
* STOCKS SUBTOTAL *			\$0.00	\$196.18	\$0.00	\$196.18	\$99.66

PRODUCT CATEGORY: RIA

04/17/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	2,425.51	0.00	2,425.51	1,232.16
* RIA SUBTOTAL *			\$0.00	\$2,425.51	\$0.00	\$2,425.51	\$1,232.16

PRODUCT CATEGORY: TRAILERS

04/19/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	783.60	0.00	783.60	398.07
* TRAILERS SUBTOTAL *			\$0.00	\$783.60	\$0.00	\$783.60	\$398.07
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$3,461.29	\$0.00	\$3,461.29	\$1,758.34

ADJUSTMENTS

15. SAI SIPC PE 4/13/18							-9.47
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-9.47

BROKER COMMISSION TOTALS

Broker Transactions	\$1,758.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-9.47
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,748.87
Year-to-date Earnings	\$55,615.86