

COMMISSION STATEMENT June 30, 2018

AARON ARCHAMBO-D002

BROKER #: D002

BRANCH: DMB

, TX

COMMISSION STATEMENT for AARON ARCHAMBO-D002

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-A	B	0.00	7.02	0.00	7.02	90.0	6.32
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.41	0.00	5.41	90.0	4.87
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT AMERICAN BALANCED FUND-F1	B	0.00	5.41	0.00	5.41	90.0	4.87
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.91	0.00	3.91	90.0	3.52
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT LOOMIS SAYLES CORE PLUS BOND FD	B	0.00	2.55	0.00	2.55	90.0	2.30
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT LOOMIS SAYLES HIGH INCOME FD CL	B	0.00	2.38	0.00	2.38	90.0	2.14
06/21/2018	mfc596870	ARCHAMBO A 596870	MFT OPPENHEIMIERFUNDS 683810204	B	0.00	7.07	0.00	7.07	90.0	6.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$33.75	\$0.00	\$33.75		\$30.38
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$33.75	\$0.00	\$33.75		\$30.38

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/14/2018	00082971771	BARNES, JEREMY	MF CAPITAL WORLD GROWTH AND INCOME	B	156.25	1.56	0.00	1.56	90.0	1.40
06/14/2018	00082971771	BARNES, JEREMY	MF CAPITAL WORLD GROWTH AND INCOME	B	24.37	0.24	0.00	0.24	90.0	0.22
06/14/2018	00082971771	BARNES, JEREMY	MF SMALLCAP WORLD FUND-C	B	156.25	1.56	0.00	1.56	90.0	1.40
06/14/2018	00082971771	BARNES, JEREMY	MF SMALLCAP WORLD FUND-C	B	24.38	0.24	0.00	0.24	90.0	0.22
06/14/2018	00082971771	BARNES, JEREMY	MF THE GROWTH FUND OF AMERICA-C	B	208.33	2.08	0.00	2.08	90.0	1.87
06/14/2018	00082971771	BARNES, JEREMY	MF THE GROWTH FUND OF AMERICA-C	B	32.50	0.33	0.00	0.33	90.0	0.30
06/14/2018	00086884931	TROLLOPE, ANDREW	MF AMERICAN BALANCED FUND-A	B	100.00	2.75	0.00	2.75	90.0	2.48
06/14/2018	00086884931	TROLLOPE, ANDREW	MF AMERICAN BALANCED FUND-A	B	98.08	2.70	0.00	2.70	90.0	2.43
06/14/2018	00088372702	WALKER, BRANDON	MF AMERICAN BALANCED FUND-A	B	108.33	2.98	0.00	2.98	90.0	2.68
06/14/2018	00088372702	WALKER, BRANDON	MF AMERICAN BALANCED FUND-A	B	67.32	1.85	0.00	1.85	90.0	1.67
06/15/2018	00089942067	HAYS, AYNE	MF AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/12/2018	01470070853	GAMMON, GINA	MF FIDELITY ADV ENERGY CL M	B	100.00	2.00	0.00	2.00	90.0	1.80
06/12/2018	01470070853	GAMMON, GINA	MF FIDELITY ADV REAL ESTATE CL M	B	100.00	2.00	0.00	2.00	90.0	1.80
06/12/2018	01470070853	GAMMON, GINA	MF FIDELITY ADVISOR FUNDS	B	800.00	16.00	0.00	16.00	90.0	14.40
06/12/2018	01485185084	SUTHERLAND,	MF FIDELITY ADV FREEDOM 2045 CL A	B	81.57	2.24	0.00	2.24	90.0	2.02
06/12/2018	01485185084	SUTHERLAND,	MF FIDELITY ADV FREEDOM 2045 CL A	B	81.57	2.24	0.00	2.24	90.0	2.02
06/12/2018	01485187296	CANOY, THERESA	MF FIDELITY ADV FREEDOM 2040 CL A	B	67.25	1.85	0.00	1.85	90.0	1.67

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06/12/2018	01485187296 CANOY, THERESA	MF FIDELITY ADV FREEDOM 2040 CL A	B	67.25	1.85	0.00	1.85	90.0	1.67
* MUTUAL FUNDS SUBTOTAL *				\$2,373.45	\$45.47	\$0.00	\$45.47		\$40.95
*** DIRECT TRANSACTIONS TOTAL ***				\$2,373.45	\$45.47	\$0.00	\$45.47		\$40.95

LINKED CLEA TRANSACTIONS

06/05/2018	368334282 LACK, DANA	MF OAKMARK FUND	B	-9,297.55	0.00	5.00	-5.00		-4.50
06/15/2018	646261660 FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50		-2.25
06/15/2018	646266202 FITZSIMMONS,	MF MFS GLOBAL EQUITY FD CL I	S	152.45	0.00	2.50	-2.50		-2.25
06/01/2018	646277370 FITZSIMMONS, JULIE	MF AMERICAN FDS BALANCED FD CL F2	S	202.45	0.00	2.50	-2.50		-2.25
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT AB HIGH INCOME A	B	0.00	1.03	0.00	1.03		0.93
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	4.69	0.00	4.69		4.22
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	13.43	0.00	13.43		12.09
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT AMERICAN BALANCED FUND-F1	B	0.00	21.25	0.00	21.25		19.13
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	1.56	0.00	1.56		1.40
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT BAIRD CORE PLUS BOND FD INV	B	0.00	8.36	0.00	8.36		7.52
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.39	0.00	8.39		7.55
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	11.19	0.00	11.19		10.07
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.02	0.00	10.02		9.02
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	2.88	0.00	2.88		2.59
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT COLUMBIA MID CAP INDEX FD CL A	B	0.00	3.07	0.00	3.07		2.76
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.35	0.00	0.35		0.32
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.81	0.00	0.81		0.73
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.98	0.00	0.98		0.88
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	0.99	0.00	0.99		0.89
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.43	0.00	1.43		1.29
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.58	0.00	1.58		1.42
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	1.64	0.00	1.64		1.48
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	2.17	0.00	2.17		1.95
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.66	0.00	5.66		5.09
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT DREYFUS SMALL CAP STOCK INDEX	B	0.00	5.94	0.00	5.94		5.35
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT EUROPACIFIC GROWTH FUND CLASS F	B	0.00	4.02	0.00	4.02		3.62
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT FIDELITY ADVISOR (FOCUS) HEALTH	B	0.00	0.47	0.00	0.47		0.42
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT FIDELITY ADV GROWTH OPPORT CL T	B	0.00	4.60	0.00	4.60		4.14
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE	B	0.00	0.88	0.00	0.88		0.79
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT FIDELITY ADVISOR VALUE	B	0.00	2.16	0.00	2.16		1.94
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	0.04	0.00	0.04		0.04
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.60	0.00	1.60		1.44
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	3.98	0.00	3.98		3.58
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.82	0.00	4.82		4.34
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT GUGGENHEIM LTD DURATION A	B	0.00	4.46	0.00	4.46		4.01
06/21/2018	mfc002080 ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.51	0.00	0.51		0.46

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.54	0.00	0.54	0.49
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	0.78	0.00	0.78	0.70
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.55	0.00	1.55	1.40
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	1.55	0.00	1.55	1.40
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.18	0.00	3.18	2.86
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	3.30	0.00	3.30	2.97
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT HENNESSY FOCUS FD INV	B	0.00	8.53	0.00	8.53	7.68
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT INTERMEDIATE BOND FUND OF	B	0.00	9.77	0.00	9.77	8.79
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	0.34	0.00	0.34	0.31
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT LOOMIS SAYLES BOND FD CL R	B	0.00	2.12	0.00	2.12	1.91
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.19	0.00	2.19	1.97
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT METROPOLITAN WEST TOTAL RTN BD	B	0.00	2.28	0.00	2.28	2.05
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT NATIXIS OAKMARK INTL FD A	B	0.00	0.84	0.00	0.84	0.76
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW PERSPECTIVE FUND-F1	B	0.00	2.80	0.00	2.80	2.52
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.66	0.00	1.66	1.49
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	1.67	0.00	1.67	1.50
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT NEW WORLD FUND-F1	B	0.00	2.06	0.00	2.06	1.85
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MARKETS	B	0.00	1.34	0.00	1.34	1.21
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	0.46	0.00	0.46	0.41
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT OPPENHEIMER DEVELOPING MKT FD	B	0.00	4.52	0.00	4.52	4.07
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	1.75	0.00	1.75	1.58
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO INCOME FD CL A	B	0.00	2.97	0.00	2.97	2.67
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	1.51	0.00	1.51	1.36
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	2.76	0.00	2.76	2.48
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.11	0.00	3.11	2.80
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.45	0.00	3.45	3.11
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT PIMCO REAL RETURN FUND (A)	B	0.00	7.01	0.00	7.01	6.31
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT ROYCE HERITAGE FD 1% REDEMPTION	B	0.00	0.11	0.00	0.11	0.10
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	0.58	0.00	0.58	0.52
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD GLOBAL EQTY INCM INDV	B	0.00	1.00	0.00	1.00	0.90
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	0.69	0.00	0.69	0.62
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT STEWARD LARGE CAP ENHANCED	B	0.00	1.74	0.00	1.74	1.57
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT THE BOND FUND OF AMERICA-A	B	0.00	2.38	0.00	2.38	2.14
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	1.90	0.00	1.90	1.71
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.90	0.00	5.90	5.31
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.49	0.00	1.49	1.34
06/21/2018	mfc002080	ARCHAMBO A 002080	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.07	0.00	4.07	3.66
* AARON ARCHAMBO-D166 SUBTOTAL *					\$-8,790.20	\$228.86	\$12.50	\$216.36	\$194.73
*** LINKED CLEA TRANSACTIONS TOTAL ***					\$-8,790.20	\$228.86	\$12.50	\$216.36	\$194.73

COMMISSION STATEMENT for AARON ARCHAMBO-D002

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONS

BROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$266.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$211.06
Year-to-date Commissions	\$3,453.20