



Concorde Investment Services, LLC

08/29/2017  
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# **COMMISSION STATEMENT** **August 31, 2017**

ROBERT THOMPSON  
7261 W. Central Ave.  
  
Toledo, OH 43617

BROKER #: T118  
BRANCH: INTEGRATED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/09/2017	OH/TOD	AM FUNDS BOND FUND OF AMERICA A	26,000.00	780.00	0.00	780.00	90.0	702.00
		* MUTUAL FUNDS SUBTOTAL *	\$26,000.00	\$780.00	\$0.00	\$780.00		\$702.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/14/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	9.12
08/21/2017	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	9.12
07/29/2017	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	56,849.95	59.22	0.00	59.22	90.0	53.30
07/02/2017	RINGGER, MARK	TRANSAMERICA LIBERTY	134,477.64	112.06	0.00	112.06	90.0	100.85
07/04/2017	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,595.98	3.83	0.00	3.83	90.0	3.45
07/05/2017	MOORE, EILEEN	TRANSAMERICA LIBERTY	255,950.36	213.29	0.00	213.29	90.0	191.96
07/05/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	24,087.74	20.07	0.00	20.07	90.0	18.06
07/07/2017	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,051.21	5.04	0.00	5.04	90.0	4.54
07/07/2017	FRAZIER, JULIE	TRANSAMERICA LIBERTY	54,985.98	45.82	0.00	45.82	90.0	41.24
07/08/2017	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,094.87	78.41	0.00	78.41	90.0	70.57
07/09/2017	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,120.03	40.10	0.00	40.10	90.0	36.09
07/12/2017	LABERDEE, LARRY	TRANSAMERICA LIBERTY	31,903.85	26.59	0.00	26.59	90.0	23.93
07/13/2017	WODA, HELENE	TRANSAMERICA LIBERTY	39,095.72	32.58	0.00	32.58	90.0	29.32
07/13/2017	FURNEY, DANNY	TRANSAMERICA LIBERTY	256,283.62	213.57	0.00	213.57	90.0	192.21
07/13/2017	MERZ, EDWIN	TRANSAMERICA LIBERTY	157,717.39	131.43	0.00	131.43	90.0	118.29
07/13/2017	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	78,290.38	65.24	0.00	65.24	90.0	58.72
07/15/2017	RICE, CHERYL	TRANSAMERICA LIBERTY	2,560.03	2.13	0.00	2.13	90.0	1.92
07/15/2017	JACOB, MARILYN	TRANSAMERICA LIBERTY	234,830.21	195.69	0.00	195.69	90.0	176.12
07/16/2017	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,752.41	95.63	0.00	95.63	90.0	86.07
07/16/2017	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,601.30	2.17	0.00	2.17	90.0	1.95
07/16/2017	RICE, SUSAN	TRANSAMERICA LIBERTY	121,838.06	101.53	0.00	101.53	90.0	91.38
07/17/2017	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,966.21	22.47	0.00	22.47	90.0	20.22
07/21/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	100,529.25	83.77	0.00	83.77	90.0	75.39

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/22/2017	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	82,800.66	69.00	0.00	69.00	90.0	62.10
07/22/2017	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	39,968.26	33.31	0.00	33.31	90.0	29.98
07/22/2017	JEFFERS, DAVID	TRANSAMERICA LIBERTY	111,677.37	93.06	0.00	93.06	90.0	83.75
07/23/2017	SEITZINGER, MARK	TRANSAMERICA LIBERTY	70,254.01	58.55	0.00	58.55	90.0	52.70
07/23/2017	MERZ, JOYCE	TRANSAMERICA LIBERTY	99,374.03	82.81	0.00	82.81	90.0	74.53
07/24/2017	HALL, WAYNE	TRANSAMERICA LIBERTY	173,999.82	145.00	0.00	145.00	90.0	130.50
07/24/2017	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	215,616.40	179.68	0.00	179.68	90.0	161.71
07/26/2017	WENZ, JAMES	TRANSAMERICA LIBERTY	26,872.36	22.39	0.00	22.39	90.0	20.15
07/26/2017	FISH, SANDRA	TRANSAMERICA LIBERTY	77,493.04	64.58	0.00	64.58	90.0	58.12
07/26/2017	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	150,908.27	125.76	0.00	125.76	90.0	113.18
07/26/2017	RICE, PAMELA	TRANSAMERICA LIBERTY	60,412.69	50.34	0.00	50.34	90.0	45.31
07/27/2017	DAVIS, HOWARD	TRANSAMERICA LIBERTY	31,461.21	26.22	0.00	26.22	90.0	23.60
07/28/2017	COOPER, MARK	TRANSAMERICA LIBERTY	113,411.73	94.51	0.00	94.51	90.0	85.06
07/29/2017	SHAFFER, EMILY	TRANSAMERICA LIBERTY	168,358.01	140.30	0.00	140.30	90.0	126.27
* VARIABLE ANNUITIES SUBTOTAL *			\$3,269,490.05	\$2,756.41	\$0.00	\$2,756.41		\$2,480.78
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/30/2017	RUTLEDGE, JOANNE	GOOD HARBOR TACTICAL CORE US FD A	0.00	4.90	0.00	4.90	90.0	4.41
07/31/2017	SHAFFER, CLARK	ICON BOND FUND C	0.00	15.16	0.00	15.16	90.0	13.64
07/31/2017	RICE, CHERYL	ICON BOND FUND C	0.00	2.31	0.00	2.31	90.0	2.08
07/31/2017	GECIK, STANLEY	ICON BOND FUND C	0.00	4.72	0.00	4.72	90.0	4.25
07/31/2017	RECKNER, GARTH	ICON BOND FUND C	0.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$28.28	\$0.00	\$28.28		\$25.45
*** BROKER TRANSACTIONS TOTAL ***			\$3,295,490.05	\$3,564.69	\$0.00	\$3,564.69		\$3,208.23

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,208.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,208.23
Year-to-date Gross	\$68,104.70
Year-to-date Earnings	\$61,353.51