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# **COMMISSION STATEMENT**

February 01, 2018

ERIC CHARLES GUTH 3603 Labrador Bay

Grapevine, TX 76051-

#### BROKER #: 9617

BRANCH: HOME OFFICE

#### COMMISSION STATEMENT for ERIC CHARLES GUTH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS					
PRODUCT CAT	regory: RIA								
01/12/2018 11	1999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	В	0.00	359.60	0.00	359.60	90.0	323.64
01/12/2018 11	1999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	В	0.00	420.27	0.00	420.27	90.0	378.24
01/12/2018 13	3081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	28.80	0.00	28.80	90.0	25.92
01/12/2018 17	7017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	В	0.00	1.87	0.00	1.87	90.0	1.68
01/12/2018 24	4551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	В	0.00	108.53	0.00	108.53	90.0	97.68
01/12/2018 24	4551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	В	0.00	530.80	0.00	530.80	90.0	477.72
	7613913 RAINES, GEORGE EFFREY	SIGNAL SECURITIES RIA	В	0.00	118.40	0.00	118.40	90.0	106.56
	7613913 RAINES, GEORGE EFFREY	SIGNAL SECURITIES RIA	В	0.00	118.40	0.00	118.40	90.0	106.56
	7613913 RAINES, GEORGE EFFREY	SIGNAL SECURITIES RIA	В	0.00	118.40	0.00	118.40	90.0	106.56
	7613913 RAINES, GEORGE EFFREY	SIGNAL SECURITIES RIA	В	0.00	121.87	0.00	121.87	90.0	109.68
	7613913 RAINES, GEORGE EFFREY	SIGNAL SECURITIES RIA	В	0.00	733.60	0.00	733.60	90.0	660.24
	9396124 COSTAS, PETER J &	SIGNAL SECURITIES RIA	В	0.00	27.60	0.00	27.60	90.0	24.84
01/12/2018 32	2611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	32.40	0.00	32.40	90.0	29.16
01/12/2018 34	4872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	В	0.00	559.60	0.00	559.60	90.0	503.64
01/12/2018 34	4872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	В	0.00	349.87	0.00	349.87	90.0	314.88
	7351723 CONNERY, FRANK /E	SIGNAL SECURITIES RIA	В	0.00	311.73	0.00	311.73	90.0	280.56
01/12/2018 39	9099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	39.47	0.00	39.47	90.0	35.52
01/24/2018 40	01K OPTIMIZER BROWN, THEODORE	SIGNAL SECURITIES RIA	В	0.00	250.00	0.00	250.00	90.0	225.00
А									
	Olk OPTIMIZER CHENOWETH, UDSON GARY	SIGNAL SECURITIES RIA	В	0.00	344.40	0.00	344.40	90.0	309.96
	2065317 EWALD, JENNIFER UDITH	SIGNAL SECURITIES RIA	В	0.00	472.80	0.00	472.80	90.0	425.52
	2065317 EWALD, JENNIFER	SIGNAL SECURITIES RIA	В	0.00	44.67	0.00	44.67	90.0	40.20

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TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROE	KER TRANS	ACTIONS					
PRODUCT CATEGORY: RIA								
JUDITH								
01/12/2018 58050029 RAINES, JOEY	SIGNAL SECURITIES RIA	В	0.00	23.20	0.00	23.20	90.0	20.88
SHANKLAND								
01/12/2018 68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	В	0.00	414.00	0.00	414.00	90.0	372.60
01/12/2018 68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	В	0.00	202.13	0.00	202.13	90.0	181.92
01/12/2018 84865050 SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	В	0.00	379.20	0.00	379.20	90.0	341.28
GARBER	GIONAL GEOLIDIETEG DIA	D	0.00	04 12	0.00	04.12	00 0	04 70
01/12/2018 84865050 SHANKLAND, SCOTT  GARBER	SIGNAL SECURITIES RIA	В	0.00	94.13	0.00	94.13	90.0	84.72
01/12/2018 86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018 80008828 FILERINGA, CHARLES 01/12/2018 89069414 COSTAS, PETER J &	SIGNAL SECURITIES RIA	В	0.00	478.00	0.00	478.00		430.20
TAMMY M.	SIGNAL SECORTILES RIA	Б	0.00	470.00	0.00	470.00	50.0	430.20
01/12/2018 89069414 COSTAS, PETER J &	SIGNAL SECURITIES RIA	В	0.00	1.60	0.00	1.60	90.0	1.44
TAMMY M.								
01/12/2018 90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	В	0.00	158.13	0.00	158.13	90.0	142.32
01/12/2018 92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	В	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018 94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	В	0.00	9.73	0.00	9.73	90.0	8.76
01/12/2018 98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	В	0.00	3.60	0.00	3.60	90.0	3.24
01/12/2018 98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	365.47	0.00	365.47	90.0	328.92
DIAME	* RIA S	UBTOTAL *	\$0.00	\$7,264.27	\$0.00	\$7,264.27		\$6,537.84
	*** BROKER TRANSACTIONS	TOTAL ***	\$0.00	\$7,264.27	\$0.00	\$7,264.27		\$6,537.84
		ADJUSTME	<u>NTS</u>					
02/01/2018	E & O INSURANCE: 1/2 MONTHL	.v	0.00	0.00	0.00	0.00		-100.00
02/01/2018	POSTAGE: FEDEX CHARGE	11	0.00	0.00	0.00	0.00		-8.15
02/01/2018	TECH: MONTHLY TECH SERVICES	<b>;</b>	0.00	0.00	0.00	0.00		-27.50
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	*** ADJUSTMENTS	TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-135.65

## BROKER COMMISSION TOTALS

Broker	Transactions	\$6,537.84
Split	Transactions	\$0.00
Override	Transactions	\$0.00
	Adjustments	\$-135.65
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior Pe	eriod Balance	\$-127.50

Check Amount	\$6,274.69
Year-to-date Earnings	\$7,993.54