

# Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	4,330.58

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: KRIS B. LLOYD, SR.  
4265 SAN FELIPE ROAD SUITE 1100  
HOUSTON, TX 77027

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	4,450.58	4,450.58		4,450.58
4/1/2018	Credit	E&O	-120.00	-120.00		-120.00

**COMMISSION STATEMENT**
**March 31, 2018**

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

**COMMISSION STATEMENT for KRIS LLOYD-D039**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
03/26/2018	184006336	CONNOLLY, ANNE	MF	INTERNATIONAL GROWTH AND	S	8,502.45	233.75	5.00	228.75	90.0	205.88
03/27/2018	216051151	DAVID HOLLRAH IND	MF	INTERNATIONAL GROWTH AND	S	20,002.45	750.00	5.00	745.00	90.0	670.50
03/26/2018	368311919	LLOYD, KEITH	MF	INTERNATIONAL GROWTH AND	S	15,002.45	412.50	5.00	407.50	90.0	366.75
* MUTUAL FUNDS SUBTOTAL *						\$43,507.35	\$1,396.25	\$15.00	\$1,381.25		\$1,243.13

**PRODUCT CATEGORY: STOCKS**

03/26/2018	153940372	TOWNES, BETTY	S	HORMEL FOODS CORPORATION	B	3,432.39	99.95	15.00	84.95	90.0	76.46
03/26/2018	184006336	CONNOLLY, ANNE	S	ROYAL DUTCH SHELL PLC-SPON ADR	S	-5,561.31	135.49	15.00	120.49	90.0	108.44
03/26/2018	184006336	CONNOLLY, ANNE	S	ROYAL DUTCH SHELL PLC-SPONS ADR	S	-2,968.72	96.01	15.00	81.01	90.0	72.91
03/22/2018	184105032	COMBS, GORDON	S	ADVANCED MICRO DEVICES INC	B	1,157.45	60.00	15.00	45.00	90.0	40.50
03/22/2018	184116694	COMBS, ELIZABETH	S	ADVANCED MICRO DEVICES INC	B	1,157.95	60.00	15.00	45.00	90.0	40.50
03/22/2018	184121542	COMBS TTEE, GORDON	S	ADVANCED MICRO DEVICES INC	B	2,279.16	82.91	15.00	67.91	90.0	61.12
03/22/2018	216051151	DAVID HOLLRAH IND	S	ADVANCED MICRO DEVICES INC	B	11,181.58	140.13	15.00	125.13	90.0	112.62
03/26/2018	216051151	DAVID HOLLRAH IND	S	HORMEL FOODS CORPORATION	B	16,827.04	194.64	15.00	179.64	90.0	161.68
03/27/2018	216051151	DAVID HOLLRAH IND	S	ENSCO PLC-CL A	B	7,030.25	100.05	15.00	85.05	90.0	76.55
03/27/2018	216051151	DAVID HOLLRAH IND	S	NEXTDECADE CORP	B	4,720.09	77.74	15.00	62.74	90.0	56.47
03/22/2018	338107996	HOLLRAH, DAVID	S	ADVANCED MICRO DEVICES INC	B	16,770.04	194.09	15.00	179.09	90.0	161.18
03/26/2018	338107996	HOLLRAH, DAVID	S	HORMEL FOODS CORPORATION	B	6,751.79	97.36	15.00	82.36	90.0	74.12
03/23/2018	368259575	ROY, JOHN	S	ADVANCED MICRO DEVICES INC	B	5,518.23	130.78	15.00	115.78	90.0	104.20
03/23/2018	368259575	ROY, JOHN	S	HORMEL FOODS CORPORATION	B	3,395.84	99.41	15.00	84.41	90.0	75.97
02/27/2018	368333602	LASTER, RICHARD	S	ALTRIA GROUP INCORPORATED	B	6,672.18	147.83	15.00	132.83	90.0	119.55
02/27/2018	368333602	LASTER, RICHARD	S	HORMEL FOODS CORPORATION	B	10,118.22	198.76	15.00	183.76	90.0	165.38
03/22/2018	368333602	LASTER, RICHARD	S	ADVANCED MICRO DEVICES INC	B	4,498.15	115.70	15.00	100.70	90.0	90.63
03/22/2018	368350989	TOWNES, BETTY	S	ADVANCED MICRO DEVICES INC	B	4,540.78	116.33	15.00	101.33	90.0	91.20
03/22/2018	461905394	LASTER, RICHARD	S	ADVANCED MICRO DEVICES INC	B	1,155.95	60.00	15.00	45.00	90.0	40.50
03/26/2018	583778526	CULVER, ELOISE	S	HORMEL FOODS CORPORATION	B	8,540.37	175.44	15.00	160.44	90.0	144.40
03/26/2018	583778526	CULVER, ELOISE	S	KINDER MORGAN INC	S	-7,411.21	163.66	15.00	148.66	90.0	133.79
03/26/2018	583778526	CULVER, ELOISE	S	NEXTDECADE CORP	S	-1,297.40	68.42	15.00	53.42	90.0	48.08
03/23/2018	645219153	ROYER TTEE, MARY	S	ADVANCED MICRO DEVICES INC	B	5,512.64	130.69	15.00	115.69	90.0	104.12
03/23/2018	645219153	ROYER TTEE, MARY	S	HORMEL FOODS CORPORATION	B	3,382.65	99.21	15.00	84.21	90.0	75.79
03/23/2018	645219578	ROYER TTEE, MARY	S	ADVANCED MICRO DEVICES INC	B	5,505.54	130.59	15.00	115.59	90.0	104.03

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/23/2018 645219578	ROYER TTEE, MARY	S HORMEL FOODS CORPORATION	B	6,713.89	148.44	15.00	133.44	90.0	120.10
03/23/2018 645219949	ROYER, MARY	S ADVANCED MICRO DEVICES INC	B	5,507.57	130.62	15.00	115.62	90.0	104.06
03/23/2018 645219949	ROYER, MARY	S HORMEL FOODS CORPORATION	B	6,724.55	148.60	15.00	133.60	90.0	120.24
03/23/2018 645225418	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	B	3,325.79	98.37	15.00	83.37	90.0	75.03
03/23/2018 645225418	ROYER TTEE, MARY	S HORMEL FOODS CORPORATION	B	3,392.80	99.36	15.00	84.36	90.0	75.92
03/23/2018 645300697	ROYER, KENNETH	S ADVANCED MICRO DEVICES INC	B	3,328.87	98.42	15.00	83.42	90.0	75.08
03/23/2018 645300697	ROYER, KENNETH	S HORMEL FOODS CORPORATION	B	3,395.85	99.41	15.00	84.41	90.0	75.97
03/20/2018 677360654	SCHEPS-DERR, KIM	S ADVANCED MICRO DEVICES INC	B	4,578.94	116.89	15.00	101.89	90.0	91.70
03/23/2018 800452988	WOO, SHIAO	S ADVANCED MICRO DEVICES INC	B	4,416.54	114.49	15.00	99.49	90.0	89.54
* STOCKS SUBTOTAL *				<b>\$154,294.45</b>	<b>\$4,029.79</b>	<b>\$510.00</b>	<b>\$3,519.79</b>		<b>\$3,167.83</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/21/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	2.01	0.00	2.01	90.0	1.81
03/21/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	4.94	0.00	4.94	90.0	4.45
03/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	0.25	0.00	0.25	90.0	0.23
03/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	1.58	0.00	1.58	90.0	1.42
03/21/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	11.99	0.00	11.99	90.0	10.79
03/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.53	0.00	0.53	90.0	0.48
03/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	1.06	0.00	1.06	90.0	0.95
03/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	2.78	0.00	2.78	90.0	2.50
03/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	5.46	0.00	5.46	90.0	4.91
03/21/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	13.87	0.00	13.87	90.0	12.48
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.27	0.00	0.27	90.0	0.24
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.38	0.00	0.38	90.0	0.34
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.73	0.00	0.73	90.0	0.66
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.74	0.00	0.74	90.0	0.67
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.86	0.00	0.86	90.0	0.77
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.08	0.00	1.08	90.0	0.97
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.24	0.00	1.24	90.0	1.12
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.73	0.00	1.73	90.0	1.56
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.73	0.00	1.73	90.0	1.56
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.00	0.00	2.00	90.0	1.80
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.12	0.00	2.12	90.0	1.91
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.30	0.00	2.30	90.0	2.07
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.03	0.00	5.03	90.0	4.53
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.34	0.00	7.34	90.0	6.61
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	10.41	0.00	10.41	90.0	9.37
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.00	0.00	2.00	90.0	1.80
03/21/2018 mfc991470	LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.09	0.00	4.09	90.0	3.68
03/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	2.94	0.00	2.94	90.0	2.65
03/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.13	0.00	3.13	90.0	2.82
03/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.66	0.00	4.66	90.0	4.19
03/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.98	0.00	5.98	90.0	5.38
03/21/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	7.03	0.00	7.03	90.0	6.33

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/21/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	22.30	0.00	22.30	90.0	20.07
03/21/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.20	0.00	4.20	90.0	3.78
03/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.75	0.00	0.75	90.0	0.68
03/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.13	0.00	1.13	90.0	1.02
03/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.27	0.00	4.27	90.0	3.84
03/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.61	0.00	5.61	90.0	5.05
03/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.03	0.00	0.03	90.0	0.03
03/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	6.28	0.00	6.28	90.0	5.65
03/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	7.20	0.00	7.20	90.0	6.48
03/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
03/21/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	2.00	0.00	2.00	90.0	1.80
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.15	0.00	0.15	90.0	0.14
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.21	0.00	0.21	90.0	0.19
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.26	0.00	0.26	90.0	0.23
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.75	0.00	0.75	90.0	0.68
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.82	0.00	0.82	90.0	0.74
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.84	0.00	0.84	90.0	0.76
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.92	0.00	0.92	90.0	0.83
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.15	0.00	1.15	90.0	1.04
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.23	0.00	1.23	90.0	1.11
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.01	0.00	2.01	90.0	1.81
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.88	0.00	3.88	90.0	3.49
03/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.60	0.00	4.60	90.0	4.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$182.86	\$0.00	\$182.86		\$164.62
*** CLEARING TRANSACTIONS TOTAL ***				\$197,801.80	\$5,608.90	\$525.00	\$5,083.90		\$4,575.58

ADJUSTMENTS

03/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
03/31/2018	: CUSTOMER WRITE OFF 368259696	0.00	0.00	0.00	0.00	-35.00
03/31/2018	: CUSTOMER WRITE OFF 368286211	0.00	0.00	0.00	0.00	-35.00
03/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-125.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,575.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-125.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$4,450.58</b>
<b>Year-to-date Commissions</b>	<b>\$18,407.78</b>