

All Companies
STATEMENT
April 20, 2018

DHRUMIL PARKIH

BROKER #: 606
BRANCH: LP BRANCH

, CA

COMMISSION STATEMENT for DHRUMIL PARKIH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/01/2018 #	CHHABRA, GURDEEP	ALPHABET INC CLASS A COMMON STOCK	10,850.00	255.00	80.0	0.00	204.00	19.95	184.05
03/01/2018 #	CHHABRA, GURDEEP	HOME DEPOT INC	11,797.50	275.00	80.0	0.00	220.00	19.95	200.05
03/06/2018 #	CHHABRA, GURDEEP	ALLIANZGI CONVERTIBLE & INCOME 2024	9,280.00	275.33	80.0	0.00	220.26	19.95	200.31
03/06/2018 #	CHHABRA, GURDEEP	MCDONALDS CORP	7,512.25	160.00	80.0	0.00	128.00	19.95	108.05
03/07/2018 #	CHHABRA, GURDEEP	COMCAST CORP NEW CL A	10,830.00	265.00	80.0	0.00	212.00	19.95	192.05
03/13/2018 #	CHHABRA, GURDEEP	UNITED STATES STL CORP NEW	10,275.00	245.29	80.0	0.00	196.23	19.95	176.28
03/21/2018 #	CHHABRA, GURDEEP	ALLIANZGI CONVERTIBLE & INCOME 2024	9,180.00	273.88	80.0	0.00	219.10	19.95	199.15
03/22/2018 #	CHHABRA, GURDEEP	INTERNATIONAL BUSINESS	7,662.50	175.00	80.0	0.00	140.00	19.95	120.05
03/22/2018 #	CHHABRA, GURDEEP	VISA INC	12,050.00	275.00	80.0	0.00	220.00	19.95	200.05
03/26/2018 #	CHHABRA, GURDEEP	BANK OF AMERICA CORP	15,262.77	361.00	80.0	0.00	288.80	19.95	268.85
03/26/2018 #	CHHABRA, GURDEEP	MAGELLAN HEALTH INC	10,640.00	275.00	80.0	0.00	220.00	19.95	200.05
03/26/2018 #	CHHABRA, GURDEEP	TWITTER INC	7,875.00	207.76	80.0	0.00	166.21	19.95	146.26
03/26/2018 #	CHHABRA, GURDEEP	UNITED CONTINENTAL HLDGS INC	13,700.00	325.00	80.0	0.00	260.00	19.95	240.05
03/26/2018 #	CHHABRA, GURDEEP	UNITED STATES STL CORP NEW	8,857.50	223.12	80.0	0.00	178.50	19.95	158.55
03/26/2018 #	CHHABRA, GURDEEP	VMWARE INC CL A	12,600.00	275.00	80.0	0.00	220.00	19.95	200.05
* STOCKS SUBTOTAL *			\$158,372.52	\$3,866.38		\$0.00	\$3,093.10	\$299.25	\$2,793.85
<u>PRODUCT CATEGORY: OPTIONS</u>									
03/02/2018 #	SHAH, JITENDRA	CALL FEYE 04/20/18 18	486.00	50.00	80.0	0.00	40.00	29.85	10.15
03/07/2018 #	PATEL, PARESHKUMAR	CALL TWTR 03/29/18 37	1,485.00	74.25	80.0	0.00	59.40	36.45	22.95
03/09/2018 #	CHHABRA, GURDEEP	CALL UAL 03/23/18 70	398.00	50.00	80.0	0.00	40.00	22.95	17.05
* OPTIONS SUBTOTAL *			\$2,369.00	\$174.25		\$0.00	\$139.40	\$89.25	\$50.15
*** BROKER TRANSACTIONS TOTAL ***			\$160,741.52	\$4,040.63		\$0.00	\$3,232.50	\$388.50	\$2,844.00

COMMISSION STATEMENT for DHRUMIL PARKIH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
SPLIT TRANSACTIONS									
BROKER: SPLIT 619 PRASAD/PARIKH #296									
03/13/2018 #	PATEL, BHARAT	MONGODB INC CLASS A COMMON STOCK	15,200.00	187.50		0.00	150.00	9.98	140.02
03/14/2018 #	PATEL, BHARAT	SALESFORCE.COM INC	12,750.00	162.50		0.00	130.00	9.98	120.02
03/23/2018 #	PATEL, BHARAT	BANK OF AMERICA CORP	8,925.00	102.50		0.00	82.00	9.98	72.02
03/23/2018 #	PATEL, BHARAT	TWITTER INC	9,375.00	112.50		0.00	90.00	9.98	80.02
03/26/2018 #	PATEL, BHARAT	AUTOMATIC DATA PROCESSING INC	10,255.50	122.50		0.00	98.00	9.98	88.02
03/26/2018 #	PATEL, BHARAT	UNITED CONTINENTAL HLDGS INC	13,690.00	157.50		0.00	126.00	9.98	116.02
03/26/2018 #	PATEL, BHARAT	UNITED STATES STL CORP NEW	10,647.00	122.50		0.00	98.00	9.98	88.02
* SPLIT 619 PRASAD/PARIKH SUBTOTAL *			\$80,842.50	\$967.50		\$0.00	\$774.00	\$69.86	\$704.14
*** SPLIT TRANSACTIONS TOTAL ***			\$80,842.50	\$967.50		\$0.00	\$774.00	\$69.86	\$704.14

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,844.00
Split Transactions	\$704.14
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,764.14
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