



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 30, 2017

09/28/2017
Page No. 1

JEFF/JASON KAUFMAN/KEMBLE

BROKER #: K148
BRANCH: JEFFREY KAUFMAN

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/01/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	0.00	0.07	0.00	0.07	100.0	0.00
^09/19/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	44.27	0.00	44.27	100.0	0.00
^09/19/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	1.44	0.00	1.44	100.0	0.00
^09/18/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	60.86	0.61	0.00	0.61	100.0	0.00
^09/18/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	60.86	0.61	0.00	0.61	100.0	0.00
^09/01/2017	CLARK-LAIRD, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	2.16	0.00	2.16	100.0	0.00
^09/01/2017	CARTER, STANLEY	AM FUNDS AMERICAN BALANCED A	0.00	1.31	0.00	1.31	100.0	0.00
^09/18/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	25.37	0.25	0.00	0.25	100.0	0.00
^09/18/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	25.37	0.25	0.00	0.25	100.0	0.00
^09/01/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	100.0	0.00
^09/01/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^09/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^09/01/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^09/01/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	100.0	0.00
^09/15/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^09/15/2017	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	100.0	0.00
^09/18/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.23	0.00	0.23	100.0	0.00
^09/18/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.17	0.00	0.17	100.0	0.00
^09/18/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.10	0.00	0.10	100.0	0.00
^09/18/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.74	0.08	0.00	0.08	100.0	0.00
^09/18/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	48.79	0.49	0.00	0.49	100.0	0.00
^09/18/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	48.79	0.49	0.00	0.49	100.0	0.00
^09/18/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.11	0.00	0.11	100.0	0.00
^09/18/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.11	0.00	0.11	100.0	0.00
^09/18/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.30	0.00	0.30	100.0	0.00
^09/18/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.30	0.00	0.30	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/19/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	35.42	0.00	35.42	100.0	0.00
^09/19/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	5.81	0.00	5.81	100.0	0.00
^09/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	0.00
^09/18/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.45	0.09	0.00	0.09	100.0	0.00
^09/18/2017	ZELLMER, RIANNON	AM FUNDS ICA A	9.45	0.09	0.00	0.09	100.0	0.00
^09/18/2017	WAHLIG, KACEY	AM FUNDS ICA A	25.37	0.25	0.00	0.25	100.0	0.00
^09/18/2017	WAHLIG, KACEY	AM FUNDS ICA A	25.37	0.25	0.00	0.25	100.0	0.00
^09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.19	0.00	0.19	100.0	0.00
^09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.19	0.00	0.19	100.0	0.00
^09/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	100.0	0.00
^09/01/2017	CLARK-LAIRD, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.72	0.00	0.72	100.0	0.00
^09/01/2017	THOMPSON, TERRY	AM FUNDS NEW ECONOMY A	0.00	0.01	0.00	0.01	100.0	0.00
^09/18/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	10.00	0.00	10.00	100.0	0.00
^09/18/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	1.23	0.00	1.23	100.0	0.00
^09/01/2017	THOMPSON, TERRY	AM FUNDS NEW WORLD A	0.00	0.01	0.00	0.01	100.0	0.00
^09/18/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.23	0.00	0.23	100.0	0.00
^09/18/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.17	0.00	0.17	100.0	0.00
^09/01/2017	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	0.00	0.01	0.00	0.01	100.0	0.00
^09/01/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	0.00	0.01	0.00	0.01	100.0	0.00
^09/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	100.0	0.00
^09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.19	0.00	0.19	100.0	0.00
^09/18/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.19	0.00	0.19	100.0	0.00
^09/18/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.30	0.00	0.30	100.0	0.00
^09/18/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.30	0.00	0.30	100.0	0.00
^09/01/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.02	0.00	0.02	100.0	0.00
^09/19/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	1.45	0.00	1.45	100.0	0.00
^09/19/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	8.85	0.00	8.85	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$4,414.92	\$136.93	\$0.00	\$136.93		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
^09/05/2017	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	100.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,000.00	\$20.00	\$0.00	\$20.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$5,414.92	\$156.93	\$0.00	\$156.93		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00