



Concorde Investment Services, LLC

11/30/2017

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COMMISSION STATEMENT**November 30, 2017**DAVID ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/17/2017	ZUPEK, DAVID	TEI DIVERSIFIED INC & OPP FD 3	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/13/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
11/13/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
11/13/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
11/13/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
		* MUTUAL FUNDS SUBTOTAL *	\$175.00	\$3.01	\$0.00	\$3.01		\$2.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/14/2017	MUELLER, KENNETH	ADVANTAGE	0.00	66.04	0.00	66.04	90.0	59.44
11/12/2017	RACK, JOAN	AM GEN POLARIS CHOICE III	57,550.57	143.88	0.00	143.88	90.0	129.49
11/24/2017	RUFFOLO, BENNY	AM GEN POLARIS CHOICE III	165,645.36	621.17	0.00	621.17	90.0	559.05
11/15/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
11/06/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
11/15/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
10/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	166,334.48	138.61	0.00	138.61	90.0	124.75
10/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	109,354.95	91.13	0.00	91.13	90.0	82.02
10/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	324,399.32	270.33	0.00	270.33	90.0	243.30
10/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	81,756.22	68.13	0.00	68.13	90.0	61.32
10/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	35,238.10	29.37	0.00	29.37	90.0	26.43
10/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	34,104.59	28.42	0.00	28.42	90.0	25.58
10/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,165.38	32.64	0.00	32.64	90.0	29.38
10/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	37,918.74	31.60	0.00	31.60	90.0	28.44
10/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,051.81	0.88	0.00	0.88	90.0	0.79
10/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,213.49	1.01	0.00	1.01	90.0	0.91

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
10/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	185,804.39	154.84	0.00	154.84	90.0	139.36
10/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	65,274.11	54.40	0.00	54.40	90.0	48.96
10/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	156,163.53	130.14	0.00	130.14	90.0	117.13
10/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	187,025.70	155.85	0.00	155.85	90.0	140.27
11/18/2017	TORNER, LYNN	VOYA RETIREMENT & ANNUITY	0.00	317.94	0.00	317.94	90.0	286.15
* VARIABLE ANNUITIES SUBTOTAL *			\$1,649,238.74	\$2,369.83	\$0.00	\$2,369.83		\$2,132.88
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
11/04/2017	HARTMAN, BRIAN	ING ESII	0.00	2.85	0.00	2.85	90.0	2.57
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.85	\$0.00	\$2.85		\$2.57
*** DIRECT TRANSACTIONS TOTAL ***			\$1,699,413.74	\$5,875.69	\$0.00	\$5,875.69		\$5,288.15
<u>SPLIT TRANSACTIONS</u>								
BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404								
11/15/2017	KOLARIK TRUST, CLAIRE	CARTER VALIDUS MC REIT II CLASS A	80,000.00	2,800.00	0.00	2,800.00	90.0	2,520.00
11/20/2017	KOLARIK TRUST, CLAIRE	JNL PERSPECTIVE II 04/14	65,000.00	2,275.00	0.00	2,275.00	90.0	2,047.50
11/15/2017	KOLARIK TRUST, CLAIRE	MOODY NATIONAL REIT II	75,000.00	2,625.00	0.00	2,625.00	90.0	2,362.50
* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *			\$220,000.00	\$7,700.00	\$0.00	\$7,700.00		\$6,930.00
*** SPLIT TRANSACTIONS TOTAL ***			\$220,000.00	\$7,700.00	\$0.00	\$7,700.00		\$6,930.00
<u>OVERRIDE TRANSACTIONS</u>								
BROKER: MARK-H122 HUBER #10								
11/15/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	10.00	0.00	10.00	0.0	9.00
* MARK-H122 HUBER SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00
BROKER: MARK-H122 HUBER #89								
10/31/2017	KOZIOL, CHRISTOPHER	BLACKROCK FUND 19452W789	0.00	6.70	0.00	6.70	0.0	6.03
10/31/2017	KOZIOL, CHRISTOPHER	BLACKROCK FUND 19452W789	0.00	6.70	0.00	6.70	0.0	6.03
10/31/2017	KOZIOL, CHRISTOPHER	BLK GROWTH PORT C1	0.00	9.54	0.00	9.54	0.0	8.59
10/31/2017	KOZIOL, CHRISTOPHER	BLK GROWTH PORT C1	0.00	9.54	0.00	9.54	0.0	8.59
11/08/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	133.06	1.33	0.00	1.33	0.0	1.20
11/08/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	39.92	0.40	0.00	0.40	0.0	0.36

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-H122 HUBER #89 </u>								
11/08/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	140.00	1.40	0.00	1.40	0.0	1.26
11/08/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	23.77	0.24	0.00	0.24	0.0	0.22
11/08/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	32.04	0.32	0.00	0.32	0.0	0.29
11/08/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	53.40	0.53	0.00	0.53	0.0	0.48
11/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	4.58	0.00	4.58	0.0	4.12
11/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	4.00	0.00	4.00	0.0	3.60
11/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	4.58	0.00	4.58	0.0	4.12
11/08/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	53.40	0.53	0.00	0.53	0.0	0.48
11/08/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	32.05	0.32	0.00	0.32	0.0	0.29
11/08/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	133.06	1.33	0.00	1.33	0.0	1.20
11/08/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	39.91	0.40	0.00	0.40	0.0	0.36
11/08/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	140.00	1.40	0.00	1.40	0.0	1.26
11/08/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	23.77	0.24	0.00	0.24	0.0	0.22
11/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	1.35	0.00	1.35	0.0	1.22
11/08/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	99.80	1.00	0.00	1.00	0.0	0.90
11/08/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	29.94	0.30	0.00	0.30	0.0	0.27
11/08/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	130.36	5.21	0.00	5.21	0.0	4.69
11/08/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	19.56	0.78	0.00	0.78	0.0	0.70
11/08/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	39.38	1.58	0.00	1.58	0.0	1.42
11/08/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	23.62	0.94	0.00	0.94	0.0	0.85
11/08/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	130.36	5.21	0.00	5.21	0.0	4.69
11/08/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	19.56	0.78	0.00	0.78	0.0	0.70
11/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	4.58	0.00	4.58	0.0	4.12
11/08/2017	WIKAR, HALINA	MFS GROWTH C	70.00	0.70	0.00	0.70	0.0	0.63
11/08/2017	WIKAR, HALINA	MFS GROWTH C	11.89	0.12	0.00	0.12	0.0	0.11
11/08/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	39.39	1.58	0.00	1.58	0.0	1.42
11/08/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	23.63	0.95	0.00	0.95	0.0	0.86
11/08/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	25.63	0.26	0.00	0.26	0.0	0.23
11/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	1.35	0.00	1.35	0.0	1.22
11/08/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	133.06	1.33	0.00	1.33	0.0	1.20
11/08/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	39.92	0.40	0.00	0.40	0.0	0.36
11/08/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	6.41	0.06	0.00	0.06	0.0	0.05
11/08/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	53.40	0.53	0.00	0.53	0.0	0.48

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-H122 HUBER #89</u>								
11/08/2017	WIKAR, SYLVIA	MFS UTILITIES B	39.38	1.58	0.00	1.58	0.0	1.42
11/08/2017	WIKAR, SYLVIA	MFS UTILITIES B	23.63	0.95	0.00	0.95	0.0	0.86
11/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	4.58	0.00	4.58	0.0	4.12
11/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	4.00	0.00	4.00	0.0	3.60
11/08/2017	WIKAR, HALINA	MFS UTILITIES C	560.00	5.60	0.00	5.60	0.0	5.04
11/08/2017	WIKAR, HALINA	MFS UTILITIES C	95.07	0.95	0.00	0.95	0.0	0.86
11/08/2017	WAL, SEBASTIAN	MFS UTILITIES C	166.33	1.66	0.00	1.66	0.0	1.49
11/08/2017	WAL, SEBASTIAN	MFS UTILITIES C	49.90	0.50	0.00	0.50	0.0	0.45
11/08/2017	THOMAS, SYLVIA	MFS UTILITIES C	32.04	0.32	0.00	0.32	0.0	0.29
11/08/2017	THOMAS, SYLVIA	MFS UTILITIES C	53.41	0.53	0.00	0.53	0.0	0.48
11/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	2.70	0.00	2.70	0.0	2.43
11/08/2017	WIKAR, SYLVIA	MFS VALUE B	39.38	1.58	0.00	1.58	0.0	1.42
11/08/2017	WIKAR, SYLVIA	MFS VALUE B	23.63	0.95	0.00	0.95	0.0	0.86
11/08/2017	SZAJEWSKI, REGINA	MFS VALUE B	134.31	5.37	0.00	5.37	0.0	4.83
11/08/2017	SZAJEWSKI, REGINA	MFS VALUE B	20.14	0.81	0.00	0.81	0.0	0.73
11/08/2017	WIKAR, HALINA	MFS VALUE C	490.00	4.90	0.00	4.90	0.0	4.41
11/08/2017	WIKAR, HALINA	MFS VALUE C	83.20	0.83	0.00	0.83	0.0	0.75
* MARK-H122 HUBER SUBTOTAL *			\$4,748.71	\$120.90	\$0.00	\$120.90		\$108.86
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
11/14/2017	CIPPARRONE, NANCY	BR TOTAL INC & REAL ESTATE FUND A	0.00	4.43	0.00	4.43	0.0	3.99
11/14/2017	NARDINI TRUST, RONALD	BR TOTAL INC & REAL ESTATE FUND A	0.00	5.39	0.00	5.39	0.0	4.85
11/14/2017	NARDINI TRUST, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	0.00	5.39	0.00	5.39	0.0	4.85
11/14/2017	NARDINI, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	0.00	19.35	0.00	19.35	0.0	17.42
11/14/2017	NARDINI, RONALD	BR TOTAL INC & REAL ESTATE FUND A	0.00	20.67	0.00	20.67	0.0	18.60
11/08/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
11/08/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	3.74
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$1,337.70	\$78.64	\$0.00	\$78.64		\$70.78
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
11/13/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
11/13/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
10/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.48	0.00	1.48	0.0	1.33
10/31/2017	SWAFFORD, WILLIAM	BLK MOD 17+ AGE BASED A	0.00	1.67	0.00	1.67	0.0	1.50

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<u>TRANSACTIONS</u>								
<u>BROKER:</u>	<u>#0</u>							
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$75.00	\$4.65	\$0.00	\$4.65		\$4.18
*** OVERRIDE TRANSACTIONS TOTAL ***			\$6,361.41	\$214.19	\$0.00	\$214.19		\$192.82

BROKER COMMISSION TOTALS

Broker Transactions	\$5,288.15
Split Transactions	\$6,930.00
Override Transactions	\$192.82
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,410.97
Year-to-date Gross	\$235,632.29
Year-to-date Earnings	\$372,499.94

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