



Concorde Investment Services, LLC

09/13/2017
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COMMISSION STATEMENT **September 15, 2017**

BARTHOLOMEW MACKLER
1359 W. Fairview Lane

BROKER #: M121
BRANCH: CONCORDE FINANCIAL G

Rochester Hills, MI 48306

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/09/2017	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	34.29	0.00	34.29	90.0	30.86
08/09/2017	QUENNEVILLE, JEROME	ALLIANZ INCOME & GROWTH FND	0.00	6.20	0.00	6.20	90.0	5.58
08/09/2017	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.25	0.00	13.25	90.0	11.93
09/08/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
09/08/2017	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	2.75	0.00	2.75	90.0	2.48
08/09/2017	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.62	0.00	18.62	90.0	16.76
08/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.43	0.00	10.43	90.0	9.39
08/09/2017	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.01	0.00	6.01	90.0	5.41
08/09/2017	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.52	0.00	5.52	90.0	4.97
08/30/2017	WHEELER, PAUL	AM FUNDS ICA C	75.00	0.75	0.00	0.75	90.0	0.68
08/30/2017	LOUDERMILK, SCOTT	AM FUNDS ICA C	400.00	4.00	0.00	4.00	90.0	3.60
08/30/2017	LOCKHART, JOSEPH	AM FUNDS ICA C	600.00	6.00	0.00	6.00	90.0	5.40
08/09/2017	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.47	0.00	5.47	90.0	4.92
08/09/2017	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.68	0.00	45.68	90.0	41.11
09/05/2017	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
09/08/2017	DEEB, DANUTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	90.0	2.48
08/09/2017	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.41	0.00	3.41	90.0	3.07
08/09/2017	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.71	0.00	4.71	90.0	4.24
08/09/2017	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.28	0.00	2.28	90.0	2.05
08/09/2017	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	1.84	0.00	1.84	90.0	1.66
08/09/2017	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.37	0.00	1.37	90.0	1.23
08/09/2017	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.08	0.00	0.08	90.0	0.07
08/09/2017	HORTON, RICHARD	FEDERATED CAPITAL INCOME B	0.00	7.29	0.00	7.29	90.0	6.56
08/09/2017	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.07	0.00	0.07	90.0	0.06
08/09/2017	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.05	0.00	0.05	90.0	0.05
08/09/2017	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.81	0.00	4.81	90.0	4.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/09/2017	JOHNSON, WILLIAM	FEDERATED CAPITAL INCOME B	0.00	3.79	0.00	3.79	90.0	3.41
08/09/2017	BERTOLINI, LEO	FEDERATED CAPITAL INCOME B	0.00	3.49	0.00	3.49	90.0	3.14
08/09/2017	CURL, BRIAN K	FEDERATED CAPITAL INCOME B	0.00	1.98	0.00	1.98	90.0	1.78
08/09/2017	HORTON, RICHARD	FEDERATED GLOBAL ALLOCATION B	0.00	8.83	0.00	8.83	90.0	7.95
08/09/2017	CURL, BRIAN K	FEDERATED GLOBAL ALLOCATION B	0.00	2.00	0.00	2.00	90.0	1.80
08/09/2017	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.05	0.00	4.05	90.0	3.65
08/09/2017	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.82	0.00	0.82	90.0	0.74
08/09/2017	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.77	0.00	2.77	90.0	2.49
08/09/2017	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.78	0.00	3.78	90.0	3.40
08/09/2017	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	90.0	0.08
08/09/2017	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.73	0.00	0.73	90.0	0.66
08/09/2017	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.46	0.00	0.46	90.0	0.41
08/09/2017	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.95	0.00	0.95	90.0	0.86
08/09/2017	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.80	0.00	0.80	90.0	0.72
08/09/2017	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.32	0.00	1.32	90.0	1.19
08/09/2017	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.99	0.00	0.99	90.0	0.89
08/09/2017	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	6.96	0.00	6.96	90.0	6.26
08/09/2017	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.78	0.00	5.78	90.0	5.20
08/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	6.47	0.00	6.47	90.0	5.82
08/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM MI TAX EXEMPT INCOME A	0.00	1.09	0.00	1.09	90.0	0.98
08/09/2017	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	0.60	0.00	0.60	90.0	0.54
09/05/2017	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.49	0.00	9.49	90.0	8.54
09/05/2017	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	30.86	0.00	30.86	90.0	27.77
* MUTUAL FUNDS SUBTOTAL *			\$1,425.00	\$290.98	\$0.00	\$290.98		\$261.90

PRODUCT CATEGORY: VARIABLE ANNUITIES

09/05/2017	TOOLEY, BARRY	GENWORTH RETIREMENT ANSWER VA 200	17,194.68	343.89	0.00	343.89	90.0	309.50
08/31/2017	DAMRON, ROBERT	JNL PERSPECTIVE	268,028.68	55.84	0.00	55.84	90.0	50.26
08/31/2017	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,728.17	0.36	0.00	0.36	90.0	0.32
08/31/2017	MITCHELL, DONALD	JNL PERSPECTIVE	8,457.47	1.76	0.00	1.76	90.0	1.58
08/31/2017	ROHLOFF, CARL	JNL PERSPECTIVE	14,650.97	3.05	0.00	3.05	90.0	2.75
08/31/2017	SCHNELL, JAMES	JNL PERSPECTIVE	5,057.12	2.11	0.00	2.11	90.0	1.90
08/31/2017	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	25,467.72	10.61	0.00	10.61	90.0	9.55
08/31/2017	RYAN, NANCY	JNL PERSPECTIVE L	63,489.26	52.91	0.00	52.91	90.0	47.62

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	50,542.33	42.12	0.00	42.12	90.0	37.91
08/31/2017	SNYDER, MICHAEL C	JNL PERSPECTIVE L	38,635.41	32.20	0.00	32.20	90.0	28.98
* VARIABLE ANNUITIES SUBTOTAL *			\$493,251.81	\$544.85	\$0.00	\$544.85		\$490.37
*** BROKER TRANSACTIONS TOTAL ***			\$494,676.81	\$835.83	\$0.00	\$835.83		\$752.27

<u>ADJUSTMENTS</u>								
09/15/2017		*CONCIERGE SVC: 198587 JOHNSON	0.00					-50.00
09/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*RBC: 7A500421-DEBIT WRITE-OFF	0.00					-0.01
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-625.01

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$752.27
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-625.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$127.26
Year-to-date Gross	\$58,570.51
Year-to-date Earnings	\$57,875.53

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