

STATEMENT

July 20, 2018

GREG TIRITA
3 Winesap Dr
SUITE 620
Oxford, CT 06478

BROKER #: 161
BRANCH:

COMMISSION STATEMENT for GREG TIRITA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/24/2018	#07007329729	LETTIERI, OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
05/24/2018	#02510638308	LETTIERI AZ, SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	0.00	2.14
05/24/2018	#02510648406	LETTIERI 30, SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
06/04/2018	#07007329729	LETTIERI, OPPENHEIMER MAIN STREET FUND CL A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
06/04/2018	#02510638308	LETTIERI AZ, SMALL- & MID- CAP VALUE FUND A	50.00	2.38	90.0	0.00	2.14	0.00	2.14
06/04/2018	#02510648406	LETTIERI 30, SMALL- & MID- CAP VALUE FUND A	50.00	1.50	90.0	0.00	1.35	0.00	1.35
06/18/2018	#00087188313	WILLIAMS, TI NEW PERSPECTIVE FUND INC CL A	250.00	12.50	90.0	0.00	11.25	0.00	11.25
* MUTUAL FUNDS SUBTOTAL *			\$550.00	\$23.26		\$0.00	\$20.93	\$0.00	\$20.93
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/09/0018	#5500007035	VALENTINE, SECURITY BENEFIT	0.00	591.80	90.0	0.00	532.62	0.00	532.62
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$591.80		\$0.00	\$532.62	\$0.00	\$532.62
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00068169409	LETTIERI, EUROPACIFIC GROWTH FUND-529A	0.00	9.63	90.0	0.00	8.67	0.00	8.67
06/01/2018	#00075193712	LETTIERI, EUROPACIFIC GROWTH FUND-529A	0.00	3.74	90.0	0.00	3.37	0.00	3.37
06/01/2018	#00075678838	UNTIL AGE 18, INVESTMENT CO AMER CLASS A	0.00	1.93	90.0	0.00	1.74	0.00	1.74
06/01/2018	#00087188313	WILLIAMS, TI NEW PERSPECTIVE FUND INC CL A	0.00	11.88	90.0	0.00	10.69	0.00	10.69
06/01/2018	#00068169409	LETTIERI, NEW PERSPECTIVE FUND-529A	0.00	12.35	90.0	0.00	11.12	0.00	11.12
06/01/2018	#00075193712	LETTIERI, NEW PERSPECTIVE FUND-529A	0.00	5.34	90.0	0.00	4.81	0.00	4.81
06/01/2018	#00068169409	LETTIERI, THE GROWTH FUND OF AMERICA-529A	0.00	12.87	90.0	0.00	11.58	0.00	11.58
06/01/2018	#00075193712	LETTIERI, THE GROWTH FUND OF AMERICA-529A	0.00	5.90	90.0	0.00	5.31	0.00	5.31
06/01/2018	#00068169409	LETTIERI, THE INCOME FUND OF AMERICA-529A	0.00	8.98	90.0	0.00	8.08	0.00	8.08
06/01/2018	#00075193712	LETTIERI, THE INCOME FUND OF AMERICA-529A	0.00	4.11	90.0	0.00	3.70	0.00	3.70
06/01/2018	#00068169409	LETTIERI, THE INVESTMENT COMPANY OF AMERICA-5	0.00	10.41	90.0	0.00	9.37	0.00	9.37
06/01/2018	#00075193712	LETTIERI, THE INVESTMENT COMPANY OF AMERICA-5	0.00	4.91	90.0	0.00	4.42	0.00	4.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$92.05		\$0.00	\$82.86	\$0.00	\$82.86
*** BROKER TRANSACTIONS TOTAL ***			\$550.00	\$707.11		\$0.00	\$636.41	\$0.00	\$636.41

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ADJUSTMENTS

07/20/2018		ADMIN FEE: ADMIN FEE							-320.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$636.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$137.41
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