

COMMISSION STATEMENT July 15, 2018

MARK HENTEMANN-D034

BROKER #: D034

BRANCH: DMT

, TX

COMMISSION STATEMENT for MARK HENTEMANN-D034

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|---|-------------|--------------------|-----|-----------------------------------|---------------------|--------------------|-------------------|--------|----------------------|------|---------|
| DIRECT TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 07/02/2018 | 00063544324 | GRIFFITH, JAMES | MF | FIDELITY ADVISOR GROWTH AND | B | 125.00 | 4.69 | 0.00 | 4.69 | 75.0 | 3.52 |
| 07/02/2018 | 00063544373 | CARPENTER, LEE | MF | FIDELITY ADVISOR GROWTH AND | B | 150.00 | 4.13 | 0.00 | 4.13 | 75.0 | 3.10 |
| 07/02/2018 | 00063544381 | CARPENTER, JERRY | MF | FIDELITY ADVISOR GROWTH AND | B | 150.00 | 4.13 | 0.00 | 4.13 | 75.0 | 3.10 |
| 07/02/2018 | 01436454399 | KROLL, SY | MF | FIDELITY ADVISOR GROWTH AND | B | 200.00 | 10.00 | 0.00 | 10.00 | 75.0 | 7.50 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$625.00 | \$22.95 | \$0.00 | \$22.95 | | \$17.22 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | | |
| 06/30/2018 | (None) | VARIOUS, HENTEMANN | MFT | OPPENHEIMER | B | 0.00 | 18.48 | 0.00 | 18.48 | 75.0 | 13.86 |
| 07/09/2018 | 00006253904 | HAMMOND, BETTE | MFT | FIDELITY ADV GROWTH OPPORT CL T B | | 0.00 | 20.53 | 0.00 | 20.53 | 75.0 | 15.40 |
| 07/09/2018 | 00006253904 | HAMMOND, BETTE | MFT | FIDELITY ADVISOR BALANCED CLASS B | | 0.00 | 17.93 | 0.00 | 17.93 | 75.0 | 13.45 |
| 07/09/2018 | 00062879911 | THORNTON, | MFT | FIDELITY ADV GROWTH OPPORT CL T B | | 0.00 | 0.42 | 0.00 | 0.42 | 75.0 | 0.32 |
| 07/09/2018 | 00062879911 | THORNTON, | MFT | FIDELITY ADVISOR BALANCED CLASS B | | 0.00 | 0.79 | 0.00 | 0.79 | 75.0 | 0.59 |
| 07/09/2018 | 00062879911 | THORNTON, | MFT | FIDELITY ADVISOR FUNDS | B | 0.00 | 0.42 | 0.00 | 0.42 | 75.0 | 0.32 |
| 07/09/2018 | 00063544324 | GRIFFITH, JAMES | MFT | FIDELITY ADVISOR GROWTH AND | B | 0.00 | 12.50 | 0.00 | 12.50 | 75.0 | 9.38 |
| 07/09/2018 | 00063544357 | ROITER, LAURA | MFT | FIDELITY ADVISOR BALANCED FD CL B | | 0.00 | 0.98 | 0.00 | 0.98 | 75.0 | 0.74 |
| 07/09/2018 | 00063544357 | ROITER, LAURA | MFT | FIDELITY ADVISOR GROWTH AND | B | 0.00 | 0.22 | 0.00 | 0.22 | 75.0 | 0.17 |
| 07/09/2018 | 00063544373 | CARPENTER, LEE | MFT | FIDELITY ADVISOR GROWTH AND | B | 0.00 | 9.40 | 0.00 | 9.40 | 75.0 | 7.05 |
| 07/09/2018 | 00063544381 | CARPENTER, JERRY | MFT | FIDELITY ADVISOR GROWTH AND | B | 0.00 | 13.17 | 0.00 | 13.17 | 75.0 | 9.88 |
| 07/09/2018 | 00508870987 | GARRETT, DANIEL | MFT | FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 1.52 | 0.00 | 1.52 | 75.0 | 1.14 |
| 07/09/2018 | 00508870987 | GARRETT, DANIEL | MFT | FIDELITY ADVISOR GROWTH & | B | 0.00 | 1.64 | 0.00 | 1.64 | 75.0 | 1.23 |
| 07/09/2018 | 00508870987 | GARRETT, DANIEL | MFT | FIDELITY ADV STRATEGIC INCOME | B | 0.00 | 1.04 | 0.00 | 1.04 | 75.0 | 0.78 |
| 07/09/2018 | 00508870987 | GARRETT, DANIEL | MFT | FIDELITY ADVISOR FLOAT RATE HI | B | 0.00 | 0.90 | 0.00 | 0.90 | 75.0 | 0.68 |
| 07/09/2018 | 01436454399 | KROLL, SY | MFT | FIDELITY ADVISOR GROWTH AND | B | 0.00 | 3.99 | 0.00 | 3.99 | 75.0 | 2.99 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | | | \$0.00 | \$103.93 | \$0.00 | \$103.93 | | \$77.98 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | | | \$625.00 | \$126.88 | \$0.00 | \$126.88 | | \$95.20 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$95.20 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-119.79 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|---------------------------------|-------------------|
| Balance Forward | \$-24.59 |
| Year-to-date Commissions | \$5,225.87 |