

**COMMISSION STATEMENT****February 28, 2018**

ROBIN, E119 EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
02/20/2018	VANDER WILT, BRIAN	WAVELAND RESOURCE PARTNERS IV	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
		* OIL & GAS SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/19/2018	STRIPLING, KERRY	MULTI STRATEGY GRWTH & INC FUND A	34,736.34	7.38	0.00	7.38	90.0	6.64
01/19/2018	GROSS, ROBERT	MULTI STRATEGY GRWTH & INC FUND A	38,145.84	17.29	0.00	17.29	90.0	15.56
01/19/2018	SCHLICHTING, LAURA	MULTI STRATEGY GRWTH & INC FUND A	27,299.07	5.80	0.00	5.80	90.0	5.22
01/19/2018	EDGAR, ROBIN	MULTI STRATEGY GRWTH & INC FUND A	11,526.92	2.45	0.00	2.45	90.0	2.21
01/19/2018	ENGBRETSON, ROGER	MULTI STRATEGY GRWTH & INC FUND A	27,330.49	5.80	0.00	5.80	90.0	5.22
01/19/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	52,248.81	11.31	0.00	11.31	90.0	10.18
01/19/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	26,624.47	5.65	0.00	5.65	90.0	5.09
01/19/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	37,086.01	7.87	0.00	7.87	90.0	7.08
01/19/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	21,299.50	4.52	0.00	4.52	90.0	4.07
01/19/2018	KONEFES, ALISON	MULTI STRATEGY GRWTH & INC FUND A	44,477.26	9.44	0.00	9.44	90.0	8.50
01/19/2018	TORNQUIST, SCOTT	MULTI STRATEGY GRWTH & INC FUND A	73,181.80	15.54	0.00	15.54	90.0	13.99
01/19/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	25,869.81	5.49	0.00	5.49	90.0	4.94
02/08/2018	STRIPLING, KERRY	MULTI STRATEGY GRWTH & INC FUND A	34,663.93	7.36	0.00	7.36	90.0	6.62
02/08/2018	GROSS, ROBERT	MULTI STRATEGY GRWTH & INC FUND A	81,300.91	17.26	0.00	17.26	90.0	15.53
02/08/2018	SCHLICHTING, LAURA	MULTI STRATEGY GRWTH & INC FUND A	27,239.15	5.78	0.00	5.78	90.0	5.20
02/08/2018	EDGAR, ROBIN	MULTI STRATEGY GRWTH & INC FUND A	11,493.52	2.44	0.00	2.44	90.0	2.20
02/08/2018	ENGBRETSON, ROGER	MULTI STRATEGY GRWTH & INC FUND A	27,270.53	5.79	0.00	5.79	90.0	5.21
02/08/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	55,159.32	11.29	0.00	11.29	90.0	10.16
02/08/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	26,579.72	5.64	0.00	5.64	90.0	5.08
02/08/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	37,023.68	7.86	0.00	7.86	90.0	7.07
02/08/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	21,263.70	4.51	0.00	4.51	90.0	4.06
02/08/2018	KONEFES, ALISON	MULTI STRATEGY GRWTH & INC FUND A	44,388.48	9.42	0.00	9.42	90.0	8.48
02/08/2018	TORNQUIST, SCOTT	MULTI STRATEGY GRWTH & INC FUND A	73,044.77	15.51	0.00	15.51	90.0	13.96

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/08/2018	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	25,826.33	5.48	0.00	5.48	90.0	4.93
* INTERVAL FUNDS SUBTOTAL *			\$885,080.36	\$196.88	\$0.00	\$196.88		\$177.20
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/08/2018	POST, KENNETH	AM FUNDS AMCAP A	124.84	3.43	0.00	3.43	90.0	3.09
02/08/2018	POST, KENNETH	AM FUNDS AMCAP A	124.84	3.43	0.00	3.43	90.0	3.09
02/06/2018	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
02/06/2018	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
02/08/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	44.20	1.22	0.00	1.22	90.0	1.10
02/15/2018	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
02/08/2018	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	44.21	1.22	0.00	1.22	90.0	1.10
02/08/2018	CRAWFORD, JON	AM FUNDS BALANCED PORT A	132.57	3.65	0.00	3.65	90.0	3.29
02/08/2018	CRAWFORD, JON	AM FUNDS BALANCED PORT A	132.57	3.65	0.00	3.65	90.0	3.29
02/12/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
02/12/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
02/05/2018	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
02/05/2018	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
02/12/2018	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
02/15/2018	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
02/05/2018	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
02/06/2018	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
02/08/2018	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	40.60	1.12	0.00	1.12	90.0	1.01
02/08/2018	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	81.20	2.23	0.00	2.23	90.0	2.01
02/15/2018	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
02/12/2018	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
02/05/2018	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
02/05/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.51	0.73	0.00	0.73	90.0	0.66
02/05/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.51	0.73	0.00	0.73	90.0	0.66
02/08/2018	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	125.22	3.44	0.00	3.44	90.0	3.10
02/08/2018	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	125.22	3.44	0.00	3.44	90.0	3.10
02/08/2018	STORBY, DAVID	AM FUNDS GROWTH AND INCOME A	133.96	3.68	0.00	3.68	90.0	3.31
02/08/2018	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	40.60	1.12	0.00	1.12	90.0	1.01
02/08/2018	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	81.20	2.23	0.00	2.23	90.0	2.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/08/2018	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	45.55	1.25	0.00	1.25	90.0	1.13
02/05/2018	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
02/05/2018	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
02/05/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	48.69	1.34	0.00	1.34	90.0	1.21
02/05/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.21	0.80	0.00	0.80	90.0	0.72
02/08/2018	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	171.49	4.72	0.00	4.72	90.0	4.25
02/08/2018	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	285.81	7.86	0.00	7.86	90.0	7.07
02/12/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	57.24	1.57	0.00	1.57	90.0	1.41
02/12/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	34.34	0.94	0.00	0.94	90.0	0.85
02/08/2018	FIELD, BARRY	AM FUNDS ICA A	40.60	1.12	0.00	1.12	90.0	1.01
02/08/2018	FIELD, BARRY	AM FUNDS ICA A	81.21	2.23	0.00	2.23	90.0	2.01
02/06/2018	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
02/08/2018	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	40.61	1.12	0.00	1.12	90.0	1.01
02/08/2018	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	81.20	2.23	0.00	2.23	90.0	2.01
02/15/2018	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
02/05/2018	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
02/05/2018	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
02/06/2018	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
02/12/2018	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
02/12/2018	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
02/05/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	9.18	0.25	0.00	0.25	90.0	0.23
02/05/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	9.18	0.25	0.00	0.25	90.0	0.23
02/05/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.52	0.87	0.00	0.87	90.0	0.78
02/05/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	84.05	2.31	0.00	2.31	90.0	2.08
02/05/2018	GROTHER, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
02/05/2018	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
02/12/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.27	0.64	0.00	0.64	90.0	0.58
02/12/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.27	0.64	0.00	0.64	90.0	0.58
02/12/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
02/12/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
02/12/2018	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
* MUTUAL FUNDS SUBTOTAL *			\$7,749.67	\$167.40	\$0.00	\$167.40		\$150.77

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/16/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	25.84	0.00	25.84	90.0	23.26
02/16/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	24.71	0.00	24.71	90.0	22.24
03/15/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	24.10	0.00	24.10	90.0	21.69
03/15/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	23.04	0.00	23.04	90.0	20.74
04/20/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	26.83	0.00	26.83	90.0	24.15
04/20/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	25.64	0.00	25.64	90.0	23.08
05/16/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	26.54	0.00	26.54	90.0	23.89
05/16/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	25.37	0.00	25.37	90.0	22.83
06/15/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	27.48	0.00	27.48	90.0	24.73
06/15/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	26.26	0.00	26.26	90.0	23.63
07/18/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	26.87	0.00	26.87	90.0	24.18
07/18/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	25.69	0.00	25.69	90.0	23.12
08/15/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	28.37	0.00	28.37	90.0	25.53
08/15/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	27.12	0.00	27.12	90.0	24.41
09/16/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	28.54	0.00	28.54	90.0	25.69
09/16/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	27.29	0.00	27.29	90.0	24.56
10/17/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	27.44	0.00	27.44	90.0	24.70
10/17/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	26.23	0.00	26.23	90.0	23.61
11/18/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	28.36	0.00	28.36	90.0	25.52
11/18/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	27.11	0.00	27.11	90.0	24.40
12/15/2016	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	27.52	0.00	27.52	90.0	24.77
12/15/2016	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	26.31	0.00	26.31	90.0	23.68
02/16/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.82	0.00	1.82	90.0	1.64
02/16/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.93	0.00	1.93	90.0	1.74
03/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.72	0.00	1.72	90.0	1.55
03/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.82	0.00	1.82	90.0	1.64
04/20/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.93	0.00	1.93	90.0	1.74
04/20/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.04	0.00	2.04	90.0	1.84
05/16/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.90	0.00	1.90	90.0	1.71
05/16/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.01	0.00	2.01	90.0	1.81
06/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.97	0.00	1.97	90.0	1.77
06/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.08	0.00	2.08	90.0	1.87
07/18/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.92	0.00	1.92	90.0	1.73

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.03	0.00	2.03	90.0	1.83
08/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.04	0.00	2.04	90.0	1.84
08/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.16	0.00	2.16	90.0	1.94
09/16/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.05	0.00	2.05	90.0	1.85
09/16/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.16	0.00	2.16	90.0	1.94
10/17/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.97	0.00	1.97	90.0	1.77
10/17/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.08	0.00	2.08	90.0	1.87
11/18/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.00	0.00	2.00	90.0	1.80
11/18/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.12	0.00	2.12	90.0	1.91
12/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.90	0.00	1.90	90.0	1.71
12/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.01	0.00	2.01	90.0	1.81
02/16/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.85	0.00	1.85	90.0	1.67
02/16/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.92	0.00	1.92	90.0	1.73
03/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.70	0.00	1.70	90.0	1.53
03/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.76	0.00	1.76	90.0	1.58
04/20/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.93	0.00	1.93	90.0	1.74
04/20/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.00	0.00	2.00	90.0	1.80
05/16/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.92	0.00	1.92	90.0	1.73
05/16/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.99	0.00	1.99	90.0	1.79
06/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.97	0.00	1.97	90.0	1.77
06/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.04	0.00	2.04	90.0	1.84
07/18/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.92	0.00	1.92	90.0	1.73
07/18/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.99	0.00	1.99	90.0	1.79
08/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.03	0.00	2.03	90.0	1.83
08/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.11	0.00	2.11	90.0	1.90
09/16/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.08	0.00	2.08	90.0	1.87
09/16/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.16	0.00	2.16	90.0	1.94
10/17/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.02	0.00	2.02	90.0	1.82
10/17/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.09	0.00	2.09	90.0	1.88
11/18/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.06	0.00	2.06	90.0	1.85
11/18/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.14	0.00	2.14	90.0	1.93
12/15/2016	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.97	0.00	1.97	90.0	1.77
12/15/2016	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.04	0.00	2.04	90.0	1.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/16/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.49	0.00	10.49	90.0	9.44
02/16/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.18	0.00	7.18	90.0	6.46
03/15/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.83	0.00	9.83	90.0	8.85
03/15/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.72	0.00	6.72	90.0	6.05
04/20/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.03	0.00	11.03	90.0	9.93
04/20/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.54	0.00	7.54	90.0	6.79
05/16/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.91	0.00	10.91	90.0	9.82
05/16/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.46	0.00	7.46	90.0	6.71
06/15/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.29	0.00	11.29	90.0	10.16
06/15/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.72	0.00	7.72	90.0	6.95
07/18/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.04	0.00	11.04	90.0	9.94
07/18/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.55	0.00	7.55	90.0	6.80
08/15/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.78	0.00	11.78	90.0	10.60
08/15/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.05	0.00	8.05	90.0	7.25
09/16/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.85	0.00	11.85	90.0	10.67
09/16/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.11	0.00	8.11	90.0	7.30
10/17/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.41	0.00	11.41	90.0	10.27
10/17/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.80	0.00	7.80	90.0	7.02
11/18/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.72	0.00	11.72	90.0	10.55
11/18/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.01	0.00	8.01	90.0	7.21
12/15/2016	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.32	0.00	11.32	90.0	10.19
12/15/2016	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.74	0.00	7.74	90.0	6.97
02/16/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.30	0.00	6.30	90.0	5.67
02/16/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.34	0.00	5.34	90.0	4.81
03/15/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	5.70	0.00	5.70	90.0	5.13
03/15/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	4.82	0.00	4.82	90.0	4.34
04/20/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.49	0.00	6.49	90.0	5.84
04/20/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.48	0.00	5.48	90.0	4.93
05/16/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.45	0.00	6.45	90.0	5.81
05/16/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.45	0.00	5.45	90.0	4.91
06/15/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.61	0.00	6.61	90.0	5.95
06/15/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.58	0.00	5.58	90.0	5.02
07/18/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.43	0.00	6.43	90.0	5.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.43	0.00	5.43	90.0	4.89
08/15/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.81	0.00	6.81	90.0	6.13
08/15/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.76	0.00	5.76	90.0	5.18
09/16/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.98	0.00	6.98	90.0	6.28
09/16/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.90	0.00	5.90	90.0	5.31
10/17/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.75	0.00	6.75	90.0	6.08
10/17/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.71	0.00	5.71	90.0	5.14
11/18/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.94	0.00	6.94	90.0	6.25
11/18/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.86	0.00	5.86	90.0	5.27
12/15/2016	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	6.59	0.00	6.59	90.0	5.93
12/15/2016	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	5.57	0.00	5.57	90.0	5.01
08/01/2016	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.50	0.00	6.50	90.0	5.85
10/17/2016	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.86	0.00	10.86	90.0	9.77
02/08/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.49	0.00	3.49	90.0	3.14
02/26/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.15	0.00	3.15	90.0	2.84
04/01/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	2.85	0.00	2.85	90.0	2.57
05/02/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.27	0.00	3.27	90.0	2.94
05/27/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.27	0.00	3.27	90.0	2.94
06/30/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.42	0.00	3.42	90.0	3.08
07/28/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.41	0.00	3.41	90.0	3.07
08/26/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.54	0.00	3.54	90.0	3.19
09/23/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.66	0.00	3.66	90.0	3.29
11/01/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.52	0.00	3.52	90.0	3.17
11/29/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.61	0.00	3.61	90.0	3.25
12/29/2016	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.67	0.00	3.67	90.0	3.30
02/19/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	37.05	0.00	37.05	90.0	33.35
02/19/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	40.07	0.00	40.07	90.0	36.06
03/22/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	33.89	0.00	33.89	90.0	30.50
03/22/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	36.65	0.00	36.65	90.0	32.99
04/18/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	39.15	0.00	39.15	90.0	35.24
04/18/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	42.34	0.00	42.34	90.0	38.11
05/24/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	39.17	0.00	39.17	90.0	35.25
05/24/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	42.35	0.00	42.35	90.0	38.12

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/21/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	40.46	0.00	40.46	90.0	36.41
06/21/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	43.75	0.00	43.75	90.0	39.38
07/18/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	39.68	0.00	39.68	90.0	35.71
07/18/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	42.91	0.00	42.91	90.0	38.62
08/16/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	41.93	0.00	41.93	90.0	37.74
08/16/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	45.34	0.00	45.34	90.0	40.81
09/19/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	42.30	0.00	42.30	90.0	38.07
09/19/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	45.74	0.00	45.74	90.0	41.17
10/17/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	40.85	0.00	40.85	90.0	36.77
10/17/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	44.17	0.00	44.17	90.0	39.75
11/16/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	41.87	0.00	41.87	90.0	37.68
11/16/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	45.28	0.00	45.28	90.0	40.75
12/19/2016	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	41.55	0.00	41.55	90.0	37.40
12/19/2016	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	44.93	0.00	44.93	90.0	40.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,979.16	\$0.00	\$1,979.16		\$1,781.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/28/2018	LANGFALD, ARDIS	LINCOLN BENEFIT TRAIL RENEWALS	8,098.45	40.49	0.00	40.49	90.0	36.44
01/28/2018	LANGFALD, ARDIS	LINCOLN BENEFIT TRAIL RENEWALS	44,608.66	223.04	0.00	223.04	90.0	200.74
01/28/2018	LANGFALD, ARDIS	LINCOLN BENEFIT TRAIL RENEWALS	321.76	1.61	0.00	1.61	90.0	1.45
01/28/2018	LANGFALD, ARDIS	LINCOLN BENEFIT TRAIL RENEWALS	951.49	4.76	0.00	4.76	90.0	4.28
01/28/2018	LANGFALD, RONALD	LINCOLN BENEFIT TRAIL RENEWALS	3,751.98	187.58	0.00	187.58	90.0	168.82
01/28/2018	LANGFALD, RONALD	LINCOLN BENEFIT TRAIL RENEWALS	87,475.62	437.38	0.00	437.38	90.0	393.64
01/28/2018	LANGFALD, RONALD	LINCOLN BENEFIT TRAIL RENEWALS	8,865.77	44.33	0.00	44.33	90.0	39.90
01/28/2018	LANGFALD, RONALD	LINCOLN BENEFIT TRAIL RENEWALS	10,628.82	53.14	0.00	53.14	90.0	47.83
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$164,702.55	\$992.33	\$0.00	\$992.33		\$893.10
*** DIRECT TRANSACTIONS TOTAL ***			\$1,107,532.58	\$6,835.77	\$0.00	\$6,835.77		\$6,152.44

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BROKER COMMISSION TOTALS

Broker Transactions	\$6,152.44
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,152.44
Year-to-date Gross	\$83,312.26
Year-to-date Earnings	\$74,786.94