

COMMISSION STATEMENT**September 29, 2017****JENNIFER HIEBER**

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

BROKER #: 88

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS**PRODUCT CATEGORY: STOCKS**

| | | | | | | | | | | |
|------------|-----------|------------------|---------------------------------------|---|-------------|----------|------|----------|------|--------|
| 09/18/2017 | QGW037236 | JACOBSON, HARVEY | FACEBOOK INC CL A | B | 7,704.90 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 08/31/2017 | QGW037244 | HEIBER, JEAN | INOVIO PHARMACEUTICALS INC COM | B | 12,545.61 | 150.00 | 0.00 | 150.00 | 60.0 | 90.00 |
| 08/31/2017 | QGW037244 | HEIBER, JEAN | INTELLIA THERAPEUTICS INC COM | B | 104,463.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/01/2017 | QGW037244 | HEIBER, JEAN | FORD MOTOR CO PAR \$0.01 | B | 113,400.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/01/2017 | QGW037244 | HEIBER, JEAN | INTELLIA THERAPEUTICS INC COM | S | -107,500.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 09/14/2017 | QGW037244 | HEIBER, JEAN | WORLD WRESTLING ENTERTAINMENT INC C S | S | -110,000.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 09/22/2017 | QGW037244 | HEIBER, JEAN | GERON CORP | S | -64,494.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 09/22/2017 | QGW037244 | HEIBER, JEAN | VISTA OUTDOOR INC COM | S | -23,053.30 | 300.00 | 0.00 | 300.00 | 60.0 | 180.00 |
| 08/31/2017 | QGW037269 | EINHORN, GERALD | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037269 | EINHORN, GERALD | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 09/15/2017 | QGW037269 | EINHORN, GERALD | FRONTIER COMMUNICATIONS CORPORATION S | S | -343.00 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 09/05/2017 | QGW037277 | HEIBER, JEAN | PROSHARES TRUST II PROSHARES ULTRA | B | 163,586.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037277 | HEIBER, JEAN | PROSHARES TRUST II PROSHARES ULTRA | B | 156,138.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/08/2017 | QGW037277 | HEIBER, JEAN | PROSHARES TRUST II PROSHARES ULTRA | S | -159,002.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/08/2017 | QGW037277 | HEIBER, JEAN | SAREPTA THERAPEUTICS INC COM | B | 219,246.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/12/2017 | QGW037277 | HEIBER, JEAN | GLOBAL X LITHIUM AND BATTERY ETF | B | 369,993.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/12/2017 | QGW037277 | HEIBER, JEAN | IMPINJ INC COM | S | -362,250.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 09/13/2017 | QGW037277 | HEIBER, JEAN | SAREPTA THERAPEUTICS INC COM | S | -223,750.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 09/13/2017 | QGW037277 | HEIBER, JEAN | USG CORP (NEW) COMMON STOCK | B | 158,181.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/14/2017 | QGW037277 | HEIBER, JEAN | ACHAAGEN INC COM | S | -32,556.00 | 200.00 | 0.00 | 200.00 | 60.0 | 120.00 |
| 09/14/2017 | QGW037277 | HEIBER, JEAN | GLOBAL X LITHIUM AND BATTERY ETF | S | -186,451.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/14/2017 | QGW037277 | HEIBER, JEAN | NEW GERMANY FUND INC COMMON STOCK | S | -104,978.76 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 09/14/2017 | QGW037277 | HEIBER, JEAN | NUTANIX INC CL A | S | -216,000.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 | 600.00 |
| 09/14/2017 | QGW037277 | HEIBER, JEAN | SNAP INC CLASS A COMMON STOCK | S | -74,256.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 09/15/2017 | QGW037277 | HEIBER, JEAN | FRONTIER COMMUNICATIONS CORPORATION S | S | -8,786.01 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/19/2017 | QGW037277 | HEIBER, JEAN | AMERICAN OUTDOOR BRANDS CORP COM | B | 155,358.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/20/2017 | QGW037277 | HEIBER, JEAN | AMERICAN OUTDOOR BRANDS CORP COM | B | 157,500.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/20/2017 | QGW037277 | HEIBER, JEAN | PROSHARES TRUST II PROSHARES ULTRA | B | 120,477.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037277 | HEIBER, JEAN | AMERICAN OUTDOOR BRANDS CORP COM | S | -300,542.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037277 | HEIBER, JEAN | BRITISH AMERN TOB PLC SPONSORED ADR S | S | -124,511.60 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |
| 09/22/2017 | QGW037277 | HEIBER, JEAN | GERON CORP | S | -64,374.00 | 500.00 | 0.00 | 500.00 | 60.0 | 300.00 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-----------|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|----------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 09/25/2017 | QGW037277 | HEIBER, JEAN | PROSHARES TRUST II PROSHARES ULTRA | B | 118,435.50 | 20.00 | 0.00 | 20.00 | 60.0 0.00 |
| 08/31/2017 | QGW037285 | HEIBER, JEAN | INOVIO PHARMACEUTICALS INC COM | B | 59,741.00 | 500.00 | 0.00 | 500.00 | 60.0 300.00 |
| 09/07/2017 | QGW037285 | HEIBER, JEAN | USG CORP (NEW) COMMON STOCK | B | 193,800.00 | 500.00 | 0.00 | 500.00 | 60.0 300.00 |
| 09/14/2017 | QGW037285 | HEIBER, JEAN | ACHAOGEN INC COM | S | -81,271.50 | 500.00 | 0.00 | 500.00 | 60.0 300.00 |
| 09/14/2017 | QGW037285 | HEIBER, JEAN | AMERICAN OUTDOOR BRANDS CORP COM | S | -140,074.00 | 700.00 | 0.00 | 700.00 | 60.0 420.00 |
| 09/14/2017 | QGW037285 | HEIBER, JEAN | SEADRILL LIMITED SHS | S | -5,056.00 | 200.00 | 0.00 | 200.00 | 60.0 120.00 |
| 09/14/2017 | QGW037285 | HEIBER, JEAN | SNAP INC CLASS A COMMON STOCK | S | -223,500.00 | 1,000.00 | 0.00 | 1,000.00 | 60.0 600.00 |
| 09/14/2017 | QGW037285 | HEIBER, JEAN | SUNEDISON INC | S | -2,475.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/14/2017 | QGW037285 | HEIBER, JEAN | TRANSOCEAN LTD US LISTED | S | -45,754.50 | 500.00 | 0.00 | 500.00 | 60.0 300.00 |
| 09/19/2017 | QGW037285 | HEIBER, JEAN | AMERICAN OUTDOOR BRANDS CORP COM | B | 155,358.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/19/2017 | QGW037285 | HEIBER, JEAN | AMERICAN OUTDOOR BRANDS CORP COM | B | -155,358.00 | -100.00 | 0.00 | -100.00 | 60.0 -60.00 C |
| 09/22/2017 | QGW037285 | HEIBER, JEAN | GERON CORP | S | -55,907.80 | 300.00 | 0.00 | 300.00 | 60.0 180.00 |
| 09/22/2017 | QGW037285 | HEIBER, JEAN | OWC PHARMACEUTICAL RESH CORP COM | S | -2,422.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/22/2017 | QGW037285 | HEIBER, JEAN | REGENERON PHARMACEUTICALS INC | S | -172,687.92 | 300.00 | 0.00 | 300.00 | 60.0 180.00 |
| 09/22/2017 | QGW037285 | HEIBER, JEAN | VERICEL CORP COM | S | -1,225.03 | 50.00 | 0.00 | 50.00 | 60.0 30.00 |
| 08/29/2017 | QGW037301 | JACOBSON, HARVEY | BB&T CORP | B | 6,884.25 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 08/29/2017 | QGW037301 | JACOBSON, HARVEY | CYPRESS SEMICONDUCTOR CORP | B | 6,529.15 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 08/30/2017 | QGW037301 | JACOBSON, HARVEY | GILEAD SCIENCES INC | S | -17,102.18 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/12/2017 | QGW037301 | JACOBSON, HARVEY | QUALCOMM INC | B | 24,000.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/18/2017 | QGW037301 | JACOBSON, HARVEY | GENERAL MOTORS COMPANY | S | -15,529.36 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/18/2017 | QGW037301 | JACOBSON, HARVEY | RITE AID CORPORATION | B | 3,276.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/18/2017 | QGW037301 | JACOBSON, HARVEY | UNDER ARMOUR INC CL A | B | 7,091.96 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/20/2017 | QGW037301 | JACOBSON, HARVEY | USG CORP (NEW) COMMON STOCK | B | 7,794.25 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/22/2017 | QGW037301 | JACOBSON, HARVEY | DIEBOLD INCORPORATED | B | 6,475.50 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/27/2017 | QGW037301 | JACOBSON, HARVEY | NEWELL BRANDS INC SHS | B | 7,260.14 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/12/2017 | QGW037343 | JT TRUST, HARVEY | MACQUARIE INFRASTRUCTURE CORPORATIO | B | 7,349.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/01/2017 | QGW037376 | EINHORN, EMILY | SAREPTA THERAPEUTICS INC COM | S | -68,605.88 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/01/2017 | QGW037376 | EINHORN, EMILY | SAREPTA THERAPEUTICS INC COM | B | 67,389.70 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/06/2017 | QGW037400 | BITTNER, ARTHUR | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/06/2017 | QGW037400 | BITTNER, ARTHUR | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/15/2017 | QGW037418 | PARTNERS, JACOBSON | GENERAL ELECTRIC CO | B | 6,000.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/18/2017 | QGW037418 | PARTNERS, JACOBSON | STARBUCKS CORP | S | -13,673.35 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/19/2017 | QGW037418 | PARTNERS, JACOBSON | CHEVRON CORPORATION | S | -7,557.55 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/20/2017 | QGW037418 | PARTNERS, JACOBSON | PFIZER INCORPORATED | S | -10,061.80 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/21/2017 | QGW037418 | PARTNERS, JACOBSON | WALGREEN BOOTS ALLIANCE INC COM | B | 6,624.31 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/01/2017 | QGW037426 | BIERLEY, KIMBERLEY | SAREPTA THERAPEUTICS INC COM | S | -68,605.88 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/01/2017 | QGW037426 | BIERLEY, KIMBERLEY | SAREPTA THERAPEUTICS INC COM | B | 67,389.70 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/06/2017 | QGW037426 | BIERLEY, KIMBERLEY | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/06/2017 | QGW037426 | BIERLEY, KIMBERLEY | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.0 60.00 |
| 09/07/2017 | QGW037426 | BIERLEY, KIMBERLEY | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 60.0 45.00 |

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|---------------------------------|-----------|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|--------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 08/31/2017 | QGW037459 | HIBER, CHRISTINA | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037459 | HIBER, CHRISTINA | USG CORP (NEW) COMMON STOCK | S | -79,753.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037459 | HIBER, CHRISTINA | USG CORP (NEW) COMMON STOCK | B | 78,500.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037459 | HIBER, CHRISTINA | USG CORP (NEW) COMMON STOCK | B | 16,150.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/15/2017 | QGW037459 | HIBER, CHRISTINA | FRONTIER COMMUNICATIONS CORPORATION | S | -2,638.44 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037467 | GIGANTE, SANDRA | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037467 | GIGANTE, SANDRA | USG CORP (NEW) COMMON STOCK | S | -79,753.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037467 | GIGANTE, SANDRA | USG CORP (NEW) COMMON STOCK | B | 78,500.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037467 | GIGANTE, SANDRA | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/25/2017 | QGW037483 | HOLBROOK, WILLIAM | HOLLYFRONTIER CORP COM | B | 35,438.60 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037517 | JABLOW FAMILY TRUST, | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037517 | JABLOW FAMILY TRUST, | USG CORP (NEW) COMMON STOCK | B | 16,150.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/15/2017 | QGW037517 | JABLOW FAMILY TRUST, | FRONTIER COMMUNICATIONS CORPORATION | S | -2,638.44 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/05/2017 | QGW037525 | HOLBROOK, WILLIAM | COCA COLA COMPANY (THE) | S | -45,800.50 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/05/2017 | QGW037525 | HOLBROOK, WILLIAM | INTEL CORP | S | -35,201.70 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/25/2017 | QGW037525 | HOLBROOK, WILLIAM | SCHLUMBERGER LTD | B | 69,956.60 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037566 | WAINE 1992 IRREV TR, | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037566 | WAINE 1992 IRREV TR, | USG CORP (NEW) COMMON STOCK | B | 16,150.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/15/2017 | QGW037566 | WAINE 1992 IRREV TR, | FRONTIER COMMUNICATIONS CORPORATION | S | -1,319.22 | 75.00 | 0.00 | 75.00 | 45.00 |
| 08/31/2017 | QGW037616 | PAYFER, KELLY | INOVIO PHARMACEUTICALS INC COM | B | 1,792.23 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037616 | PAYFER, KELLY | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/07/2017 | QGW037640 | RESCH, ROBERT | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/19/2017 | QGW037640 | RESCH, ROBERT | DIEBOLD INCORPORATED | S | -6,450.03 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/19/2017 | QGW037640 | RESCH, ROBERT | GERON CORP | S | -1,102.50 | 60.00 | 0.00 | 60.00 | 36.00 |
| 09/19/2017 | QGW037640 | RESCH, ROBERT | KERYX BIOPHARMCEUTICALS INC COM | S | -2,119.50 | 65.00 | 0.00 | 65.00 | 39.00 |
| 09/19/2017 | QGW037640 | RESCH, ROBERT | NEW YORK COMMUNITY BANCORP INC | S | -24,707.00 | 250.00 | 0.00 | 250.00 | 150.00 |
| 08/31/2017 | QGW037665 | BAKAY, TRACEY | INOVIO PHARMACEUTICALS INC COM | B | 1,792.23 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037665 | BAKAY, TRACEY | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037665 | BAKAY, TRACEY | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037665 | BAKAY, TRACEY | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/22/2017 | QGW037665 | BAKAY, TRACEY | ASTERIAS BIOTHERAPEUTICS INC COMMON | S | -2,976.45 | 50.00 | 0.00 | 50.00 | 30.00 |
| 09/22/2017 | QGW037665 | BAKAY, TRACEY | BRITISH AMERN TOB PLC SPONSORED ADR | S | -6,223.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037665 | BAKAY, TRACEY | NEW YORK COMMUNITY BANCORP INC | B | 3,785.91 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037665 | BAKAY, TRACEY | SYNERGY PHARMACEUTICALS INC | B | 1,571.04 | 60.00 | 0.00 | 60.00 | 36.00 |
| 09/22/2017 | QGW037665 | BAKAY, TRACEY | UNDER ARMOUR INC CLASS C COMMON STO | B | 3,047.84 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037665 | BAKAY, TRACEY | UQM TECHNOLOGIES INC COM | B | 922.50 | 50.00 | 0.00 | 50.00 | 30.00 |
| 09/06/2017 | QGW037699 | SWEZEY, WILLIAM | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037699 | SWEZEY, WILLIAM | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037707 | SWEZEY, SALLY | SAREPTA THERAPEUTICS INC COM | B | 65,806.67 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037707 | SWEZEY, SALLY | SAREPTA THERAPEUTICS INC COM | S | -67,141.13 | 100.00 | 0.00 | 100.00 | 60.00 |

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|---------------------------------|-----------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 09/14/2017 | QGW037723 | PICKET, GEOFFREY | | | | | | | |
| | | BED BATH & BEYOND INC | S | -14,422.50 | 175.00 | 0.00 | 175.00 | 60.0 | 105.00 |
| 08/31/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 08/31/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | S | -67,141.13 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 08/31/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | B | 65,806.67 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | B | 78,500.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | S | -79,753.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 09/15/2017 | QGW037780 | JARET, FREDERICA | | | | | | | |
| | | FRONTIER COMMUNICATIONS CORPORATION S | S | -870.69 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 08/31/2017 | QGW037814 | WEHSE, RITA | | | | | | | |
| | | INOVIO PHARMACEUTICALS INC COM | B | 1,194.82 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 09/06/2017 | QGW037814 | WEHSE, RITA | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037814 | WEHSE, RITA | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037822 | LEWIS, PAUL | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 08/31/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | INOVIO PHARMACEUTICALS INC COM | B | 1,792.23 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 09/22/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | ASTERIAS BIOTHERAPEUTICS INC COMMON S | S | -2,976.45 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 09/22/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | BRITISH AMERN TOB PLC SPONSORED ADR S | S | -6,223.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | NEW YORK COMMUNITY BANCORP INC | B | 3,785.91 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | SYNERGY PHARMACEUTICALS INC | B | 1,571.04 | 60.00 | 0.00 | 60.00 | 60.0 | 36.00 |
| 09/22/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | UNDER ARMOUR INC CLASS C COMMON STO B | B | 3,047.84 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037830 | EATON, MAURICE | | | | | | | |
| | | UQM TECHNOLOGIES INC COM | B | 922.50 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 08/31/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | INOVIO PHARMACEUTICALS INC COM | B | 1,792.23 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/06/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 60.0 | 45.00 |
| 09/22/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | ASTERIAS BIOTHERAPEUTICS INC COMMON S | S | -2,976.45 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 09/22/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | BRITISH AMERN TOB PLC SPONSORED ADR S | S | -6,223.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | NEW YORK COMMUNITY BANCORP INC | B | 3,785.91 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | SYNERGY PHARMACEUTICALS INC | B | 1,571.04 | 60.00 | 0.00 | 60.00 | 60.0 | 36.00 |
| 09/22/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | UNDER ARMOUR INC CLASS C COMMON STO B | B | 3,047.84 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037848 | LYSTAD, WYNN | | | | | | | |
| | | UQM TECHNOLOGIES INC COM | B | 922.50 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 08/31/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/07/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | USG CORP (NEW) COMMON STOCK | B | 16,150.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/14/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | VERICEL CORP COM | S | -220.00 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | ABERDEEN ASIA PACIFIC INCOME FUND I B | B | 5,163.80 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | ASTERIAS BIOTHERAPEUTICS INC COMMON S | S | -2,327.78 | 50.00 | 0.00 | 50.00 | 60.0 | 30.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | BRITISH AMERN TOB PLC SPONSORED ADR S | S | -31,115.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | DIEBOLD INCORPORATED | B | 4,320.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | | | | | | | |
| | | FORD MOTOR CO PAR \$0.01 | B | 5,917.50 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-----------|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|---------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | INNOVATIVE INDL PPTYS INC COM | B | 7,151.52 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | NEW YORK COMMUNITY BANCORP INC | B | 25,239.40 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | RITE AID CORPORATION | B | 2,394.40 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | SYNERGY PHARMACEUTICALS INC | B | 10,473.60 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | UNDER ARMOUR INC CLASS C COMMON STO | B | 6,095.68 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | VISTA OUTDOOR INC COM | B | 4,531.46 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037855 | EATON, GEORGANNE | WALGREEN BOOTS ALLIANCE INC COM | B | 15,625.32 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037863 | EATON, MAURICE | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037863 | EATON, MAURICE | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037863 | EATON, MAURICE | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/22/2017 | QGW037863 | EATON, MAURICE | BRITISH AMERN TOB PLC SPONSORED ADR | S | -6,223.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037863 | EATON, MAURICE | NEW YORK COMMUNITY BANCORP INC | B | 3,785.91 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/22/2017 | QGW037863 | EATON, MAURICE | SYNERGY PHARMACEUTICALS INC | B | 1,309.20 | 60.00 | 0.00 | 60.00 | 36.00 |
| 09/22/2017 | QGW037863 | EATON, MAURICE | UNDER ARMOUR INC CLASS C COMMON STO | B | 3,047.84 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/08/2017 | QGW037897 | BYPASS TRUST, | CHINA FIN ONLINE CO LTD SPON ADR | S | -1,124.40 | 50.00 | 0.00 | 50.00 | 30.00 |
| 09/08/2017 | QGW037897 | BYPASS TRUST, | DEUTSCHE BANK AG | S | -6,441.40 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/08/2017 | QGW037897 | BYPASS TRUST, | GENERAL ELECTRIC CO | S | -23,800.00 | 300.00 | 0.00 | 300.00 | 180.00 |
| 08/31/2017 | QGW037905 | HAYDEN-FORTUNA, MARY | INOVIO PHARMACEUTICALS INC COM | B | 1,194.82 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/06/2017 | QGW037913 | YALINEWITZ, BOAZ | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037913 | YALINEWITZ, BOAZ | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037913 | YALINEWITZ, BOAZ | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 08/31/2017 | QGW037921 | HIEBER TRUST, JEAN | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037921 | HIEBER TRUST, JEAN | INOVIO PHARMACEUTICALS INC COM | B | -2,987.05 | -100.00 | 0.00 | -100.00 | -60.00 C |
| 08/31/2017 | QGW037921 | HIEBER TRUST, JEAN | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037921 | HIEBER TRUST, JEAN | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 08/31/2017 | QGW037939 | HIEBER TRUST, JEAN | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037939 | HIEBER TRUST, JEAN | INOVIO PHARMACEUTICALS INC COM | B | -2,987.05 | -100.00 | 0.00 | -100.00 | -60.00 C |
| 08/31/2017 | QGW037939 | HIEBER TRUST, JEAN | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037939 | HIEBER TRUST, JEAN | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 08/31/2017 | QGW037954 | BLANDA, CARRIE | INOVIO PHARMACEUTICALS INC COM | B | 1,792.23 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/01/2017 | QGW037954 | BLANDA, CARRIE | SAREPTA THERAPEUTICS INC COM | B | 67,470.60 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/01/2017 | QGW037954 | BLANDA, CARRIE | SAREPTA THERAPEUTICS INC COM | S | -68,688.24 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/15/2017 | QGW037962 | FORTUNA, DANIEL | FRONTIER COMMUNICATIONS CORPORATION | S | -435.34 | 50.00 | 0.00 | 50.00 | 30.00 |
| 08/31/2017 | QGW037970 | MENELL, AMY | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/07/2017 | QGW037970 | MENELL, AMY | USG CORP (NEW) COMMON STOCK | B | 3,230.00 | 75.00 | 0.00 | 75.00 | 45.00 |
| 09/15/2017 | QGW037970 | MENELL, AMY | FRONTIER COMMUNICATIONS CORPORATION | S | -870.69 | 70.00 | 0.00 | 70.00 | 42.00 |
| 09/06/2017 | QGW037988 | MILAZZO, EVAN | SAREPTA THERAPEUTICS INC COM | B | 22,650.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 09/06/2017 | QGW037988 | MILAZZO, EVAN | SAREPTA THERAPEUTICS INC COM | S | -23,880.00 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037996 | CUNNINGHAM, | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.00 |
| 08/31/2017 | QGW037996 | CUNNINGHAM, | INOVIO PHARMACEUTICALS INC COM | B | -2,987.05 | -100.00 | 0.00 | -100.00 | -60.00 C |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 08/31/2017 | QGW037996 CUNNINGHAM, | INOVIO PHARMACEUTICALS INC COM | B | 2,987.05 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 08/31/2017 | QGW038168 PACA TRUST, BARBARA | SAREPTA THERAPEUTICS INC COM | S | -67,221.73 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 08/31/2017 | QGW038168 PACA TRUST, BARBARA | SAREPTA THERAPEUTICS INC COM | B | 65,885.67 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/15/2017 | QGW038184 LOGAN, PHILIP | FRONTIER COMMUNICATIONS CORPORATION S | S | -870.69 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/15/2017 | QGW038184 LOGAN, PHILIP | GNC HLDGS INC COM CL A | S | -59,820.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/15/2017 | QGW038184 LOGAN, PHILIP | GNC HLDGS INC COM CL A | B | 59,067.60 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/20/2017 | QGW038184 LOGAN, PHILIP | ALTRIA GROUP INC | S | -18,263.25 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| 09/14/2017 | QGW038424 LEE, DAVID | 22ND CENTY GROUP INC COM | B | 15,450.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| * STOCKS SUBTOTAL * | | | | \$-637,178.35 | \$28,020.00 | \$0.00 | \$28,020.00 | | \$16,800.00 |

PRODUCT CATEGORY: OPTIONS

| | | | | | | | | | |
|------------|------------------------------|---------------------------------------|--|-----------|--------|------|--------|------|----------|
| 09/12/2017 | QGW037236 JACOBSON, HARVEY | CALL 100 BLACKSTONE GROUP L P COM U S | | -650.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/12/2017 | QGW037236 JACOBSON, HARVEY | PUT 100 BLACKSTONE GROUP L P COM UN S | | -1,395.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/20/2017 | QGW037236 JACOBSON, HARVEY | PUT 100 WELLS FARGO & CO NEW COM EX S | | -2,005.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 08/31/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 GILEAD SCIENCES INC EXP 11- B | | 267.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 08/31/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 GILEAD SCIENCES INC EXP 11- S | | -2,370.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/13/2017 | QGW037301 JACOBSON, HARVEY | CALL 100 GENERAL MTRS CO COM EXP 09 B | | 150.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/13/2017 | QGW037301 JACOBSON, HARVEY | CALL 100 GENERAL MTRS CO COM EXP 03 S | | -925.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/13/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 GENERAL MTRS CO COM EXP 03- S | | -1,475.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/13/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 QUALCOMM INC EXP 12-15-17@5 S | | -1,800.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | CALL 100 GENERAL MTRS CO COM EXP 12 B | | 2,610.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | CALL 100 UNDER ARMOUR INC CL A EXP S | | -750.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 GENERAL MTRS CO COM EXP 12- B | | 165.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 GENERAL MTRS CO COM EXP 12- S | | -1,446.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 RITE AID CORP EXP 10-20-17@ B | | 3,465.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 RITE AID CORP EXP 04-20-18@ S | | -1,605.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 UNDER ARMOUR INC CL A COM E B | | 2,940.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 UNDER ARMOUR INC CL A EXP 0 S | | -2,256.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/20/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 USG CORP (NEW) COMMON STOCK S | | -2,106.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/22/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 DIEBOLD NIXDORF INC COM EXP B | | 5,160.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/27/2017 | QGW037301 JACOBSON, HARVEY | CALL 100 ENCANA CORP COM SHS ISIN#C S | | -1,250.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/27/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 ENCANA CORP COM SHS ISIN#CA B | | 700.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/27/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 ENCANA CORP COM SHS ISIN#CA S | | -1,500.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/27/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 NEWELL BRANDS INC COM EXP 0 S | | -1,980.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/27/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 NEWELL BRANDS INC COM EXP 0 S | | 1,980.00 | -70.00 | 0.00 | -70.00 | 60.0 | -42.00 C |
| 09/27/2017 | QGW037301 JACOBSON, HARVEY | PUT 100 NEWELL BRANDS INC COM EXP 0 S | | -1,980.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/12/2017 | QGW037343 JT TRUST, HARVEY | PUT 100 MACQUARIE INFRASTRUCTURE CO S | | -2,404.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037418 PARTNERS, JACOBSON | CALL 100 STARBUCKS CORP COM EXP 04- S | | -784.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/18/2017 | QGW037418 PARTNERS, JACOBSON | PUT 100 STARBUCKS CORP COM EXP 04-2 S | | -1,900.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/19/2017 | QGW037418 PARTNERS, JACOBSON | CALL 100 CHEVRON CORP NEW COM EXP 1 B | | 2,253.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------|--------------------|---------------------------------------|----------------------|---------------------|--------------------|--------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 09/19/2017 | QGW037418 | PARTNERS, JACOBSON | PUT 100 CHEVRON CORP NEW COM EXP 03 S | -2,445.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/20/2017 | QGW037418 | PARTNERS, JACOBSON | CALL 100 PFIZER INC COM EXP 12-15-1 B | 1,362.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/20/2017 | QGW037418 | PARTNERS, JACOBSON | PUT 100 PFIZER INC COM EXP 03-16-18 S | -1,410.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/21/2017 | QGW037418 | PARTNERS, JACOBSON | PUT 100 WALGREENS BOOTS ALLIANCE EX B | 1,892.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/21/2017 | QGW037418 | PARTNERS, JACOBSON | PUT 100 WALGREENS BOOTS ALLIANCE EX S | -2,492.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/28/2017 | QGW037418 | PARTNERS, JACOBSON | PUT 100 ORACLE CORP COM EXP 01-19-1 S | -1,600.00 | 70.00 | 0.00 | 70.00 | 60.0 | 42.00 |
| 09/14/2017 | QGW037723 | PICKET, GEOFFREY | SANOFI CONTINGENT VALUE RT RTS EXP B | 1,844.00 | 100.00 | 0.00 | 100.00 | 60.0 | 60.00 |
| * OPTIONS SUBTOTAL * | | | | \$-13,740.00 | \$2,410.00 | \$0.00 | \$2,410.00 | | \$1,446.00 |
| <u>PRODUCT CATEGORY: MISCELLANEOUS</u> | | | | | | | | | |
| 09/29/2017 | VARIOUS, HIEBER | | TRADE NOT INCLUDED IN RUN | B | 0.00 | 70.00 | 0.00 | 70.00 | 42.00 |
| * MISCELLANEOUS SUBTOTAL * | | | | \$0.00 | \$70.00 | \$0.00 | \$70.00 | | \$42.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 09/11/2017 | QGW037715 | JARET, FREDERICA | DAVIS NEW YORK VENTURE CLASS C | B | 0.00 | 1.89 | 0.00 | 1.89 | 1.13 |
| 09/18/2017 | QGW037715 | JARET, FREDERICA | GROWTH FUND OF AMERICA CLASS F | B | 0.00 | 0.78 | 0.00 | 0.78 | 0.47 |
| 09/15/2017 | QGW037764 | JARET, FREDERICA | GOLDMAN SACHS EMERGING MARKETS DEBT B | | 0.00 | 0.21 | 0.00 | 0.21 | 0.13 |
| 09/26/2017 | QGW037764 | JARET, FREDERICA | BLACKROCK EQUITY DIVIDEND FUND CL A B | | 0.00 | 0.60 | 0.00 | 0.60 | 0.36 |
| 09/18/2017 | QGW037806 | PICKET, GEOFFREY | AL FRANK FUND INVESTOR CLASS | B | 0.00 | 20.28 | 0.00 | 20.28 | 12.17 |
| 09/15/2017 | QGW038218 | LOGAN, PHILIP | ALLIANZ OCC GROWTH FUND CLASS A | B | 0.00 | 12.66 | 0.00 | 12.66 | 7.60 |
| 09/18/2017 | QGW038218 | LOGAN, PHILIP | THE INVESTMENT COMPANY OF AMERICA-A B | | 0.00 | 3.81 | 0.00 | 3.81 | 2.29 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$40.23 | \$0.00 | \$40.23 | | \$24.15 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$-650,918.35 | \$30,540.23 | \$0.00 | \$30,540.23 | | \$18,312.15 |
| <u>ADJUSTMENTS</u> | | | | | | | | | |
| 09/29/2017 | | | OTHER: OTHER-THOMAS ROSS | | 0.00 | 0.00 | 0.00 | | -2,500.00 |
| 09/29/2017 | | | OTHER: OTHER-PARKING | | 0.00 | 0.00 | 0.00 | | -522.16 |
| *** ADJUSTMENTS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-3,022.16 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$18,312.15 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-3,022.16 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-38.09 |
| SIPC Assessment | \$-76.18 |

| | |
|------------------------------|--------------------|
| Check Amount | \$15,175.72 |
| Year-to-date Earnings | \$27,019.81 |