



Concorde Investment Services, LLC

04/27/2017

Page No. 1

COMMISSION STATEMENT

April 30, 2017

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/10/2017	ORTAGO, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	34.24	0.00	34.24	95.0	32.53
04/10/2017	MCKENZIE TRUST, ROBERT & DONNA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.72	0.00	2.72	95.0	2.58
04/10/2017	KOPCZYK TRUST, LAWRENCE & PATR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.73	0.00	1.73	95.0	1.64
04/10/2017	MODRYCKI, MARGUERITE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.07	0.00	0.07	95.0	0.07
04/10/2017	MODRYCKI, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.08	0.00	0.08	95.0	0.08
03/31/2017	WEYCKER, AARON	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.41	0.00	29.41	95.0	27.94
03/31/2017	SUTTON, TIMOTHY	GRIFFIN INST'L ACCESS R E FUND C	0.00	66.55	0.00	66.55	95.0	63.22
03/31/2017	ANDERSON TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	268.71	0.00	268.71	95.0	255.27
03/31/2017	KEELER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.15	0.00	17.15	95.0	16.29
03/31/2017	ETHIER, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	63.13	0.00	63.13	95.0	59.97
03/31/2017	KNIGHT, SHARON	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.69	0.00	24.69	95.0	23.46
03/31/2017	SMITH REV TRUST #2, CHARLES	GRIFFIN INST'L ACCESS R E FUND C	0.00	87.76	0.00	87.76	95.0	83.37
03/31/2017	MCKENZIE TRUST, ROBERT & DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	280.75	0.00	280.75	95.0	266.71
03/31/2017	STAHL, CURTIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	63.19	0.00	63.19	95.0	60.03
03/31/2017	BERNARDI TRUST, DONALD &	GRIFFIN INST'L ACCESS R E FUND C	0.00	117.09	0.00	117.09	95.0	111.24
03/31/2017	ORTAGO, PAULA	GRIFFIN INST'L ACCESS R E FUND C	0.00	64.20	0.00	64.20	95.0	60.99
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$1,121.47	\$0.00	\$1,121.47		\$1,065.39
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2017	ZERILLI, SALVATORE	ALGER CAPITAL APPRECIATION A	0.00	5.89	0.00	5.89	95.0	5.60
04/05/2017	COOPER, IAN	ALGER CAPITAL APPRECIATION A	0.00	24.05	0.00	24.05	95.0	22.85
04/05/2017	RICHARDS, JAKOB	ALGER CAPITAL APPRECIATION C	0.00	2.69	0.00	2.69	95.0	2.56
04/05/2017	RICHARDS, JAKOB	ALGER CAPITAL APPRECIATION C	0.00	0.90	0.00	0.90	95.0	0.86
04/05/2017	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	0.00	2.62	0.00	2.62	95.0	2.49
04/05/2017	RICHARDS, JAKOB	ALGER HEALTH SCIENCES C	0.00	0.93	0.00	0.93	95.0	0.88
04/05/2017	ZERILLI, SALVATORE	ALGER LARGE CAP GROWTH A	0.00	2.67	0.00	2.67	95.0	2.54
04/05/2017	SEKULA, MICHAEL	ALGER LARGE CAP GROWTH A	0.00	0.91	0.00	0.91	95.0	0.86

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2017	SEKULA, MICHAEL	ALGER LARGECAP GROWTH A	0.00	0.91	0.00	0.91	95.0	0.86
04/05/2017	SEKULA, KRISTINA	ALGER LARGECAP GROWTH A	0.00	0.91	0.00	0.91	95.0	0.86
04/05/2017	SEGARRA, AMY	ALGER LARGECAP GROWTH A	0.00	0.03	0.00	0.03	95.0	0.03
04/05/2017	NEUER-MIHALAK, LORIE	ALGER LARGECAP GROWTH A	0.00	3.41	0.00	3.41	95.0	3.24
04/05/2017	BRUGLIO, JULIA	ALGER LARGECAP GROWTH A	0.00	0.04	0.00	0.04	95.0	0.04
04/05/2017	RICHARDS, JAKOB	ALGER LARGECAP GROWTH C	0.00	2.33	0.00	2.33	95.0	2.21
04/05/2017	RICHARDS, JAKOB	ALGER LARGECAP GROWTH C	0.00	0.84	0.00	0.84	95.0	0.80
04/05/2017	NEUER-MIHALAK, LORIE	ALGER MIDCAP GROWTH A	0.00	4.95	0.00	4.95	95.0	4.70
04/05/2017	MUNTEANU, RADU	ALGER MIDCAP GROWTH A	0.00	18.59	0.00	18.59	95.0	17.66
04/05/2017	MORSE, TRACY	ALGER MIDCAP GROWTH A	0.00	0.14	0.00	0.14	95.0	0.13
04/05/2017	KUCHAREK, PAUL	ALGER MIDCAP GROWTH A	0.00	1.08	0.00	1.08	95.0	1.03
04/05/2017	NEUER-MIHALAK, LORIE	ALGER MIDCAP GROWTH B	0.00	0.80	0.00	0.80	95.0	0.76
04/05/2017	RICHARDS, JAKOB	ALGER MIDCAP GROWTH C	0.00	2.71	0.00	2.71	95.0	2.57
04/05/2017	RICHARDS, JAKOB	ALGER MIDCAP GROWTH C	0.00	0.97	0.00	0.97	95.0	0.92
04/05/2017	HUANG, CONNIE LING	ALGER SMALLCAP GROWTH A	0.00	0.35	0.00	0.35	95.0	0.33
04/04/2017	MIKLEVICH, MICHAEL	ALLIANZ NFJ MIDCAP VALUE C	0.00	39.58	0.00	39.58	95.0	37.60
04/04/2017	MIKLEVICH, MICHAEL	ALLIANZ NFJ MIDCAP VALUE C	0.00	108.96	0.00	108.96	95.0	103.51
04/12/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
04/18/2017	MURDOCK, DANIELLE	AM FUNDS AMERICAN MUTUAL 529C	1,000.00	10.00	0.00	10.00	95.0	9.50
04/17/2017	HOWEY, JEREMY	AM FUNDS AMERICAN MUTUAL C	1,000.00	10.00	0.00	10.00	95.0	9.50
04/03/2017	KAMPS, BARBARA	AM FUNDS AMERICAN MUTUAL R2	0.00	2.67	0.00	2.67	95.0	2.54
04/03/2017	KAMPS, BARBARA	AM FUNDS BOND FUND OF AMERICA R2	0.00	1.65	0.00	1.65	95.0	1.57
04/17/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
^04/17/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	0.00
^04/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	0.00
^04/12/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	10.00	0.00	10.00	95.0	0.00
04/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
^04/17/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	0.00
04/20/2017	MICHON, ERIK	AM FUNDS EUROPACIFIC GROWTH FUND 52	67.00	3.35	0.00	3.35	95.0	3.18
^04/12/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	500.00	10.00	0.00	10.00	95.0	0.00
^04/17/2017	REDDEN, TODD	AM FUNDS GROWTH FUND OF AMERICA A	5,000.00	212.50	0.00	212.50	95.0	0.00
04/03/2017	KAMPS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	1.87	0.00	1.87	95.0	1.78
^04/12/2017	GINGELL, PAUL	AM FUNDS ICA A	800.00	16.00	0.00	16.00	95.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
04/03/2017	KAMPS, BARBARA	AM FUNDS ICA R2	0.00	2.26	0.00	2.26	95.0	2.15
04/20/2017	MICHON, ERIK	AM FUNDS NEW ECONOMY 529A	67.00	3.35	0.00	3.35	95.0	3.18
04/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
^04/12/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	630.00	12.60	0.00	12.60	95.0	0.00
04/10/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
04/17/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
04/17/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
04/17/2017	ANASTASIOU, DESPINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	6,500.00	276.25	0.00	276.25	95.0	262.44
04/17/2017	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
04/17/2017	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
04/17/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
04/17/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
04/03/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
04/03/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
04/17/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
/ /	SOLDAN-, MATTHEW	CALAMOS GROWTH & INCOME A	0.00	2.73	0.00	2.73	95.0	2.59
/ /	GRAVES, ANNE	CALAMOS GROWTH A	0.00	10.54	0.00	10.54	95.0	10.01
/ /	SOLDAN-, MATTHEW	CALAMOS GROWTH A	0.00	2.44	0.00	2.44	95.0	2.32
/ /	SOBIESKI, JOYCE	CALAMOS GROWTH C	0.00	27.91	0.00	27.91	95.0	26.51
04/05/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	9.00	0.00	9.00	95.0	8.55
04/05/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.54	0.00	5.54	95.0	5.26
04/05/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	6.22	0.00	6.22	95.0	5.91
04/05/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	0.90	0.00	0.90	95.0	0.86
04/05/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	6.54	0.00	6.54	95.0	6.21
04/05/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.04	0.00	9.04	95.0	8.59
04/05/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.04	0.00	3.04	95.0	2.89
04/05/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.65	0.00	8.65	95.0	8.22
04/05/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.60	0.00	2.60	95.0	2.47
04/05/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.27	0.00	5.27	95.0	5.01
04/05/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.43	0.00	5.43	95.0	5.16
04/05/2017	SHIELDS, GERALD	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.16	0.00	4.16	95.0	3.95
04/05/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.24	0.00	3.24	95.0	3.08

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	41.12	0.00	41.12	95.0	39.06
04/05/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	5.67	0.00	5.67	95.0	5.39
04/05/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	4.71	0.00	4.71	95.0	4.47
04/05/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
04/05/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	0.00	6.37	0.00	6.37	95.0	6.05
04/05/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
04/05/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
04/05/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
04/05/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.18	0.00	0.18	95.0	0.17
04/05/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.60	0.00	2.60	95.0	2.47
04/05/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
04/01/2017	VARTABEDIAN, ROBERT	DAVIS FINANCIAL A	0.00	6.01	0.00	6.01	95.0	5.71
04/01/2017	ROY, JACKIE	DAVIS FINANCIAL A	0.00	6.59	0.00	6.59	95.0	6.26
04/01/2017	ROY, LORAINÉ	DAVIS FINANCIAL A	0.00	3.96	0.00	3.96	95.0	3.76
04/01/2017	SZETO, CHOWN	DAVIS FINANCIAL A	0.00	1.41	0.00	1.41	95.0	1.34
04/01/2017	MICHON, ERIK	DAVIS FINANCIAL A	0.00	1.75	0.00	1.75	95.0	1.66
04/01/2017	ROCCA, HELEN	DAVIS FINANCIAL A	0.00	5.58	0.00	5.58	95.0	5.30
04/01/2017	ROCCA, JOHN	DAVIS FINANCIAL A	0.00	9.54	0.00	9.54	95.0	9.06
04/01/2017	SMITH, DENNIS	DAVIS FINANCIAL A	0.00	3.96	0.00	3.96	95.0	3.76
04/01/2017	DUDZIK, ELZBIETA	DAVIS FINANCIAL A	0.00	3.68	0.00	3.68	95.0	3.50
04/01/2017	ROCCA, HELEN	DAVIS FINANCIAL A	0.00	4.26	0.00	4.26	95.0	4.05
04/01/2017	KEDZIERSKI, JERI	DAVIS FINANCIAL A	0.00	23.86	0.00	23.86	95.0	22.67
04/01/2017	ROCCA, JOHN	DAVIS FINANCIAL A	0.00	0.73	0.00	0.73	95.0	0.69
04/01/2017	TSANGANOS, OURANIA	DAVIS GLOBAL A	0.00	13.46	0.00	13.46	95.0	12.79
04/01/2017	TSANGANOS, NICHOLAOS	DAVIS GLOBAL A	0.00	13.46	0.00	13.46	95.0	12.79
04/01/2017	SELVAGGIO, VINCENZA	DAVIS GLOBAL A	0.00	3.93	0.00	3.93	95.0	3.73
04/01/2017	FRAZE, BILL	DAVIS GLOBAL A	0.00	4.35	0.00	4.35	95.0	4.13
04/01/2017	VANDERMEER, BRETT	DAVIS GLOBAL A	0.00	7.78	0.00	7.78	95.0	7.39
04/01/2017	VANDERMEER, PAIGE	DAVIS GLOBAL A	0.00	7.78	0.00	7.78	95.0	7.39
04/01/2017	VARTABEDIAN, ROBERT	DAVIS GLOBAL A	0.00	4.77	0.00	4.77	95.0	4.53
04/01/2017	TSANGANOS, LEONIDAS	DAVIS GLOBAL A	0.00	8.62	0.00	8.62	95.0	8.19
04/01/2017	MITSOPOULOS, GEORGIA	DAVIS GLOBAL A	0.00	1.50	0.00	1.50	95.0	1.43
04/01/2017	MITSOPOULOS, GEORGIA	DAVIS GLOBAL A	0.00	1.88	0.00	1.88	95.0	1.79

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	DUDZIK, ELZBIETA	DAVIS GLOBAL A	0.00	1.80	0.00	1.80	95.0	1.71
04/01/2017	TSANGANOS, LEONIDAS	DAVIS GLOBAL A	0.00	5.07	0.00	5.07	95.0	4.82
04/01/2017	SCHEFFLER, NEAL	DAVIS GLOBAL A	0.00	4.12	0.00	4.12	95.0	3.91
04/01/2017	PISTOLESI, LEIGH	DAVIS GLOBAL A	0.00	2.26	0.00	2.26	95.0	2.15
04/01/2017	PISTOLESI, VAUGHN	DAVIS GLOBAL A	0.00	8.33	0.00	8.33	95.0	7.91
04/01/2017	SMITH, AMELIA	DAVIS GLOBAL A	0.00	0.97	0.00	0.97	95.0	0.92
04/01/2017	KIRSCHLING UTMA-MI, ANNA	DAVIS GLOBAL A	0.00	0.86	0.00	0.86	95.0	0.82
04/01/2017	ROCCA, HELEN	DAVIS GLOBAL A	0.00	2.01	0.00	2.01	95.0	1.91
04/01/2017	DANNIS, DIANE	DAVIS GLOBAL A	0.00	2.95	0.00	2.95	95.0	2.80
04/01/2017	SELVAGGIO, STEVE	DAVIS GLOBAL A	0.00	2.37	0.00	2.37	95.0	2.25
04/01/2017	VANDERMEER, SANDRA	DAVIS GLOBAL A	0.00	3.61	0.00	3.61	95.0	3.43
04/01/2017	KEDZIERSKI, JERI	DAVIS GLOBAL A	0.00	61.31	0.00	61.31	95.0	58.24
04/01/2017	VARTABEDIAN, ROBERT	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	95.0	0.00
04/01/2017	ROCCA, HELEN	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	95.0	0.00
04/01/2017	ROCCA, JOHN	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	95.0	0.00
04/01/2017	HARRIS TRUST, JACOB	DAVIS NEW YORK VENTURE A	0.00	2.53	0.00	2.53	95.0	2.40
04/01/2017	PAPPAS, LARRY	DAVIS NEW YORK VENTURE A	0.00	128.90	0.00	128.90	95.0	122.46
04/01/2017	POLYZOIS, ALEX	DAVIS NEW YORK VENTURE A	0.00	4.46	0.00	4.46	95.0	4.24
04/01/2017	MURPHY, SEAN	DAVIS NEW YORK VENTURE A	0.00	15.21	0.00	15.21	95.0	14.45
04/01/2017	LUSK, AMY	DAVIS NEW YORK VENTURE A	0.00	3.47	0.00	3.47	95.0	3.30
04/01/2017	COMAIANNI, KATHLEEN	DAVIS NEW YORK VENTURE A	0.00	15.51	0.00	15.51	95.0	14.73
04/01/2017	PESSINA, GAIL	DAVIS NEW YORK VENTURE A	0.00	71.58	0.00	71.58	95.0	68.00
04/01/2017	VARTABEDIAN, MARGARET	DAVIS NEW YORK VENTURE A	0.00	10.35	0.00	10.35	95.0	9.83
04/01/2017	TSEREGOUNIS, SPYROS	DAVIS NEW YORK VENTURE A	0.00	52.73	0.00	52.73	95.0	50.09
04/01/2017	CIAGLO, BETTY	DAVIS NEW YORK VENTURE A	0.00	84.38	0.00	84.38	95.0	80.16
04/01/2017	ANASTASIOU, BASILE	DAVIS NEW YORK VENTURE A	0.00	8.50	0.00	8.50	95.0	8.08
04/01/2017	TRU-LINE METAL PRODUCTS C	DAVIS NEW YORK VENTURE A	0.00	1.74	0.00	1.74	95.0	1.65
04/01/2017	ARVANT, PETER	DAVIS NEW YORK VENTURE A	0.00	167.71	0.00	167.71	95.0	159.32
04/01/2017	VARTABEDIAN, ROBERT	DAVIS NEW YORK VENTURE A	0.00	5.91	0.00	5.91	95.0	5.61
04/01/2017	REYNOLDS, DONALD	DAVIS NEW YORK VENTURE A	0.00	59.76	0.00	59.76	95.0	56.77
04/01/2017	YET, CHARLES	DAVIS NEW YORK VENTURE A	0.00	3.96	0.00	3.96	95.0	3.76
04/01/2017	TSANGANOS, NICHOLAOS	DAVIS NEW YORK VENTURE A	0.00	52.73	0.00	52.73	95.0	50.09
04/01/2017	PSIHOPEDAS, VLASSIS	DAVIS NEW YORK VENTURE A	0.00	82.29	0.00	82.29	95.0	78.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	RUFFINO, ERNESTINE	DAVIS NEW YORK VENTURE A	0.00	40.29	0.00	40.29	95.0	38.28
04/01/2017	VARTABEDIAN, ARTHUR	DAVIS NEW YORK VENTURE A	0.00	3.96	0.00	3.96	95.0	3.76
04/01/2017	PESSINA, JAMES	DAVIS NEW YORK VENTURE A	0.00	1.41	0.00	1.41	95.0	1.34
04/01/2017	KNOWLES, SHANA	DAVIS NEW YORK VENTURE A	0.00	1.05	0.00	1.05	95.0	1.00
04/01/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE A	0.00	24.10	0.00	24.10	95.0	22.90
04/01/2017	PISTOLESI, LEIGH	DAVIS NEW YORK VENTURE A	0.00	1.24	0.00	1.24	95.0	1.18
04/01/2017	CISSELL, MICHELLE	DAVIS NEW YORK VENTURE A	0.00	18.25	0.00	18.25	95.0	17.34
04/01/2017	SUCHYTZ, DAVID	DAVIS NEW YORK VENTURE A	0.00	16.04	0.00	16.04	95.0	15.24
04/01/2017	ANDREAPOULOS, FOTINI	DAVIS NEW YORK VENTURE A	0.00	11.01	0.00	11.01	95.0	10.46
04/01/2017	ROY, JACKIE	DAVIS NEW YORK VENTURE A	0.00	4.80	0.00	4.80	95.0	4.56
04/01/2017	ROY, LORAINÉ	DAVIS NEW YORK VENTURE A	0.00	5.70	0.00	5.70	95.0	5.42
04/01/2017	SZETO, CHOWN	DAVIS NEW YORK VENTURE A	0.00	1.20	0.00	1.20	95.0	1.14
04/01/2017	DOUSAS, FREIDA	DAVIS NEW YORK VENTURE A	0.00	52.55	0.00	52.55	95.0	49.92
04/01/2017	POTTS, JEFFERY	DAVIS NEW YORK VENTURE A	0.00	15.84	0.00	15.84	95.0	15.05
04/01/2017	SHERMAN, SCOTT	DAVIS NEW YORK VENTURE A	0.00	2.89	0.00	2.89	95.0	2.75
04/01/2017	MILLER-TAMM/AUTH PER, MARY	DAVIS NEW YORK VENTURE A	0.00	2.08	0.00	2.08	95.0	1.98
04/01/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	0.00	3.78	0.00	3.78	95.0	3.59
04/01/2017	CISSELL, EVAN	DAVIS NEW YORK VENTURE A	0.00	35.45	0.00	35.45	95.0	33.68
04/01/2017	GRUENBURG, ROY	DAVIS NEW YORK VENTURE A	0.00	27.93	0.00	27.93	95.0	26.53
04/01/2017	ROCCA, HELEN	DAVIS NEW YORK VENTURE A	0.00	18.30	0.00	18.30	95.0	17.39
04/01/2017	ROCCA, JOHN	DAVIS NEW YORK VENTURE A	0.00	23.36	0.00	23.36	95.0	22.19
04/01/2017	SELVAGGIO, VINCENZA	DAVIS NEW YORK VENTURE A	0.00	1.06	0.00	1.06	95.0	1.01
04/01/2017	TSANGANOS, LEONIDAS	DAVIS NEW YORK VENTURE A	0.00	10.33	0.00	10.33	95.0	9.81
04/01/2017	SELVAGGIO, STEVE	DAVIS NEW YORK VENTURE A	0.00	1.12	0.00	1.12	95.0	1.06
04/01/2017	SMITH, DENNIS	DAVIS NEW YORK VENTURE A	0.00	3.97	0.00	3.97	95.0	3.77
04/01/2017	FRAZHO, JENNIFER	DAVIS NEW YORK VENTURE A	0.00	1.92	0.00	1.92	95.0	1.82
04/01/2017	TSOUKALAS, IOANNIS	DAVIS NEW YORK VENTURE A	0.00	3.57	0.00	3.57	95.0	3.39
04/01/2017	BECKER, ADRIANNA	DAVIS NEW YORK VENTURE A	0.00	0.93	0.00	0.93	95.0	0.88
04/01/2017	DUDZIK, ELZBIETA	DAVIS NEW YORK VENTURE A	0.00	23.30	0.00	23.30	95.0	22.14
04/01/2017	VARTABEDIAN, TED	DAVIS NEW YORK VENTURE A	0.00	33.92	0.00	33.92	95.0	32.22
04/01/2017	PAVLIK, SUZANNE	DAVIS NEW YORK VENTURE A	0.00	6.66	0.00	6.66	95.0	6.33
04/01/2017	KOECHIG, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.77	0.00	0.77	95.0	0.73
04/01/2017	RAPER, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	6.94	0.00	6.94	95.0	6.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE A	0.00	26.24	0.00	26.24	95.0	24.93
04/01/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE A	0.00	8.63	0.00	8.63	95.0	8.20
04/01/2017	SCHEFFLER, NEAL	DAVIS NEW YORK VENTURE A	0.00	28.36	0.00	28.36	95.0	26.94
04/01/2017	ZAWACKI, SANDY	DAVIS NEW YORK VENTURE A	0.00	68.74	0.00	68.74	95.0	65.30
04/01/2017	KEMPERMAN, MICHELLE	DAVIS NEW YORK VENTURE A	0.00	7.72	0.00	7.72	95.0	7.33
04/01/2017	SCULLY, KATHLEEN	DAVIS NEW YORK VENTURE A	0.00	12.19	0.00	12.19	95.0	11.58
04/01/2017	BROSHEAR, KARA	DAVIS NEW YORK VENTURE A	0.00	12.49	0.00	12.49	95.0	11.87
04/01/2017	BROSHEAR, MARK	DAVIS NEW YORK VENTURE A	0.00	13.97	0.00	13.97	95.0	13.27
04/01/2017	PETRO, PAUL	DAVIS NEW YORK VENTURE A	0.00	31.76	0.00	31.76	95.0	30.17
04/01/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE A	0.00	5.65	0.00	5.65	95.0	5.37
04/01/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE A	0.00	8.01	0.00	8.01	95.0	7.61
04/01/2017	KISH, VIVIAN	DAVIS NEW YORK VENTURE A	0.00	27.42	0.00	27.42	95.0	26.05
04/01/2017	KISH, VIVIAN	DAVIS NEW YORK VENTURE A	0.00	44.15	0.00	44.15	95.0	41.94
04/01/2017	LAPORTE, NATANIAL	DAVIS NEW YORK VENTURE A	0.00	2.12	0.00	2.12	95.0	2.01
04/01/2017	CUDNIK, LEONARD	DAVIS NEW YORK VENTURE A	0.00	15.44	0.00	15.44	95.0	14.67
04/01/2017	GABRAIL, JALAL	DAVIS NEW YORK VENTURE A	0.00	2.57	0.00	2.57	95.0	2.44
04/01/2017	MANSOUR, EVA	DAVIS NEW YORK VENTURE A	0.00	1.22	0.00	1.22	95.0	1.16
04/01/2017	MOELLER, RICHARD	DAVIS NEW YORK VENTURE A	0.00	8.78	0.00	8.78	95.0	8.34
04/01/2017	NICOLOSI, ROSE	DAVIS NEW YORK VENTURE A	0.00	13.00	0.00	13.00	95.0	12.35
04/01/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE A	0.00	10.05	0.00	10.05	95.0	9.55
04/01/2017	MORELLI, MARY	DAVIS NEW YORK VENTURE A	0.00	110.21	0.00	110.21	95.0	104.70
04/01/2017	MORELLI, MARY	DAVIS NEW YORK VENTURE A	0.00	28.22	0.00	28.22	95.0	26.81
04/01/2017	ANDREOPOULOS, KOULA	DAVIS NEW YORK VENTURE A	0.00	21.74	0.00	21.74	95.0	20.65
04/01/2017	BARBER, IAN	DAVIS NEW YORK VENTURE A	0.00	5.44	0.00	5.44	95.0	5.17
04/01/2017	BANKS, VICTORIA	DAVIS NEW YORK VENTURE A	0.00	16.30	0.00	16.30	95.0	15.49
04/01/2017	NIKOU, JIMMY	DAVIS NEW YORK VENTURE A	0.00	50.48	0.00	50.48	95.0	47.96
04/01/2017	ANASTASIOU, DESPINA	DAVIS NEW YORK VENTURE A	0.00	30.03	0.00	30.03	95.0	28.53
04/01/2017	ANASTASIOU, BASILE	DAVIS NEW YORK VENTURE A	0.00	62.93	0.00	62.93	95.0	59.78
04/01/2017	ALEXOPOULOS, PAUL	DAVIS NEW YORK VENTURE A	0.00	15.03	0.00	15.03	95.0	14.28
04/01/2017	NIKOU, JIMMY	DAVIS NEW YORK VENTURE A	0.00	64.51	0.00	64.51	95.0	61.28
04/01/2017	CISSELL, EVAN	DAVIS NEW YORK VENTURE A	0.00	16.29	0.00	16.29	95.0	15.48
04/01/2017	CISSELL, PATRICIA	DAVIS NEW YORK VENTURE A	0.00	82.92	0.00	82.92	95.0	78.77
04/01/2017	WALSH, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	2.33	0.00	2.33	95.0	2.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	SUCHYTA, DENNIS	DAVIS NEW YORK VENTURE A	0.00	3.59	0.00	3.59	95.0	3.41
04/01/2017	TASCH, MARTIN	DAVIS NEW YORK VENTURE A	0.00	8.61	0.00	8.61	95.0	8.18
04/01/2017	ARVANT, PETER	DAVIS NEW YORK VENTURE A	0.00	139.10	0.00	139.10	95.0	132.15
04/01/2017	FRAZE, BILL	DAVIS NEW YORK VENTURE A	0.00	90.16	0.00	90.16	95.0	85.65
04/01/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	0.00	17.69	0.00	17.69	95.0	16.81
04/01/2017	HOWARD, LISA	DAVIS NEW YORK VENTURE A	0.00	6.40	0.00	6.40	95.0	6.08
04/01/2017	ROCCA, ALEX	DAVIS NEW YORK VENTURE A	0.00	2.23	0.00	2.23	95.0	2.12
04/01/2017	ROCCA, AARON	DAVIS NEW YORK VENTURE A	0.00	2.23	0.00	2.23	95.0	2.12
04/01/2017	ROCCA, JACQUELYN	DAVIS NEW YORK VENTURE A	0.00	2.23	0.00	2.23	95.0	2.12
04/01/2017	ROCCA, HELEN	DAVIS NEW YORK VENTURE A	0.00	8.72	0.00	8.72	95.0	8.28
04/01/2017	TSANGANOS, LABRENE	DAVIS NEW YORK VENTURE A	0.00	21.94	0.00	21.94	95.0	20.84
04/01/2017	PESSINA, GAIL	DAVIS NEW YORK VENTURE A	0.00	87.40	0.00	87.40	95.0	83.03
04/01/2017	MASTERSON, ANNA	DAVIS NEW YORK VENTURE A	0.00	0.74	0.00	0.74	95.0	0.70
04/01/2017	DOUTSAS, CHRIS	DAVIS NEW YORK VENTURE A	0.00	22.24	0.00	22.24	95.0	21.13
04/01/2017	REDDEN, TODD	DAVIS NEW YORK VENTURE A	0.00	3.53	0.00	3.53	95.0	3.35
04/01/2017	DOUMAS, IRENE	DAVIS NEW YORK VENTURE A	0.00	21.04	0.00	21.04	95.0	19.99
04/01/2017	DANNIS, DIANE	DAVIS NEW YORK VENTURE A	0.00	17.19	0.00	17.19	95.0	16.33
04/01/2017	OVERMYER, SUSAN	DAVIS NEW YORK VENTURE A	0.00	141.02	0.00	141.02	95.0	133.97
04/01/2017	GRUENER, ELEANOR	DAVIS NEW YORK VENTURE A	0.00	88.38	0.00	88.38	95.0	83.96
04/01/2017	DAVIS, JAMES	DAVIS NEW YORK VENTURE A	0.00	22.10	0.00	22.10	95.0	21.00
04/01/2017	KOPRINCE, RYAN	DAVIS NEW YORK VENTURE A	0.00	1.48	0.00	1.48	95.0	1.41
04/01/2017	COMAIANNI, KATHLEEN	DAVIS NEW YORK VENTURE A	0.00	18.78	0.00	18.78	95.0	17.84
04/01/2017	DOUTSAS, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	10.59	0.00	10.59	95.0	10.06
04/01/2017	SUCHER, ADAM	DAVIS NEW YORK VENTURE A	0.00	3.01	0.00	3.01	95.0	2.86
04/01/2017	SCULLY, DOROTHY	DAVIS NEW YORK VENTURE A	0.00	174.14	0.00	174.14	95.0	165.43
04/01/2017	STUDAKER, RICHARD	DAVIS NEW YORK VENTURE A	0.00	61.65	0.00	61.65	95.0	58.57
04/01/2017	POLYZOIS, ELLIE	DAVIS NEW YORK VENTURE A	0.00	45.97	0.00	45.97	95.0	43.67
04/01/2017	PSIHOPEDAS, NICHOLAS	DAVIS NEW YORK VENTURE A	0.00	5.61	0.00	5.61	95.0	5.33
04/01/2017	DOUTSAS, DANNIE	DAVIS NEW YORK VENTURE A	0.00	7.30	0.00	7.30	95.0	6.94
04/01/2017	SELVAGGIO, ANTHONY	DAVIS NEW YORK VENTURE A	0.00	3.73	0.00	3.73	95.0	3.54
04/01/2017	SELVAGGIO, STEVE	DAVIS NEW YORK VENTURE A	0.00	1.42	0.00	1.42	95.0	1.35
04/01/2017	SELVAGGIO, VINCENZA	DAVIS NEW YORK VENTURE A	0.00	12.90	0.00	12.90	95.0	12.26
04/01/2017	PSIHOPEDAS, STAPHANIE	DAVIS NEW YORK VENTURE A	0.00	4.63	0.00	4.63	95.0	4.40

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	HATSIOS, DEMETRIUS	DAVIS NEW YORK VENTURE A	0.00	4.13	0.00	4.13	95.0	3.92
04/01/2017	VANDERMEER, SANDRA	DAVIS NEW YORK VENTURE A	0.00	92.60	0.00	92.60	95.0	87.97
04/01/2017	FEINBERG, MARK	DAVIS NEW YORK VENTURE A	0.00	6.54	0.00	6.54	95.0	6.21
04/01/2017	LEMPINEN, DIANE	DAVIS NEW YORK VENTURE A	0.00	118.80	0.00	118.80	95.0	112.86
04/01/2017	SUCHER, ALLIE	DAVIS NEW YORK VENTURE A	0.00	2.83	0.00	2.83	95.0	2.69
04/01/2017	SUCHER, ERIK	DAVIS NEW YORK VENTURE A	0.00	2.83	0.00	2.83	95.0	2.69
04/01/2017	MATAR, ROBERTG	DAVIS NEW YORK VENTURE A	0.00	6.96	0.00	6.96	95.0	6.61
04/01/2017	PAPPAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	7.96	0.00	7.96	95.0	7.56
04/01/2017	BOLOGNA, ADAM	DAVIS NEW YORK VENTURE A	0.00	13.66	0.00	13.66	95.0	12.98
04/01/2017	PRATER-MANOR, AMY	DAVIS NEW YORK VENTURE A	0.00	59.12	0.00	59.12	95.0	56.16
04/01/2017	PRATER-MANOR, SARAH	DAVIS NEW YORK VENTURE A	0.00	59.77	0.00	59.77	95.0	56.78
04/01/2017	PESSINA, JOHN	DAVIS NEW YORK VENTURE A	0.00	13.58	0.00	13.58	95.0	12.90
04/01/2017	RICCHIUTI, HERMAN	DAVIS NEW YORK VENTURE A	0.00	80.18	0.00	80.18	95.0	76.17
04/01/2017	OVERMYER, EMILY	DAVIS NEW YORK VENTURE A	0.00	14.61	0.00	14.61	95.0	13.88
04/01/2017	OVERMYER, STEVEN	DAVIS NEW YORK VENTURE A	0.00	48.18	0.00	48.18	95.0	45.77
04/01/2017	PAPPAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	31.44	0.00	31.44	95.0	29.87
04/01/2017	KEDZIERSKI, JERI	DAVIS NEW YORK VENTURE A	0.00	97.99	0.00	97.99	95.0	93.09
04/01/2017	YET, BETTY	DAVIS NEW YORK VENTURE A	0.00	128.85	0.00	128.85	95.0	122.41
04/01/2017	OVERMYER, STEVEN	DAVIS NEW YORK VENTURE A	0.00	18.10	0.00	18.10	95.0	17.20
04/01/2017	GIARRATANO, LAUREN	DAVIS NEW YORK VENTURE A	0.00	1.32	0.00	1.32	95.0	1.25
04/01/2017	ROCCA, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.91	0.00	1.91	95.0	1.81
04/01/2017	POTEMPA TRUST	DAVIS NEW YORK VENTURE A	0.00	19.62	0.00	19.62	95.0	18.64
04/01/2017	KRAUSE, BARBARA	DAVIS NEW YORK VENTURE A	0.00	30.61	0.00	30.61	95.0	29.08
04/03/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	100.00	4.00	0.00	4.00	95.0	3.80
04/01/2017	PISTOLESI, VAUGHN	DAVIS NEW YORK VENTURE B	0.00	6.91	0.00	6.91	95.0	6.56
04/01/2017	PISTOLESI, LEIGH	DAVIS NEW YORK VENTURE B	0.00	2.48	0.00	2.48	95.0	2.36
04/01/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	0.00	2.26	0.00	2.26	95.0	2.15
04/01/2017	RITTER, WILLIAM	DAVIS NEW YORK VENTURE B	0.00	1.13	0.00	1.13	95.0	1.07
04/01/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	0.00	1.36	0.00	1.36	95.0	1.29
04/01/2017	PISTOLESI, MORGAN	DAVIS NEW YORK VENTURE B	0.00	6.00	0.00	6.00	95.0	5.70
04/01/2017	LIEN, CONNIE	DAVIS NEW YORK VENTURE C	0.00	31.63	0.00	31.63	95.0	30.05
04/01/2017	CISSELL, JOHN	DAVIS NEW YORK VENTURE C	0.00	370.20	0.00	370.20	95.0	351.69
04/01/2017	CISSELL, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	370.20	0.00	370.20	95.0	351.69

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	SALES, THOMAS	DAVIS OPPORTUNITY A	0.00	8.90	0.00	8.90	95.0	8.46
04/01/2017	TSANGANOS, OURANIA	DAVIS OPPORTUNITY A	0.00	28.03	0.00	28.03	95.0	26.63
04/01/2017	TSANGANOS, NICHOLAOS	DAVIS OPPORTUNITY A	0.00	28.03	0.00	28.03	95.0	26.63
04/01/2017	CISSELL, JOHN	DAVIS OPPORTUNITY A	0.00	147.39	0.00	147.39	95.0	140.02
04/01/2017	SELVAGGIO, VINCENZA	DAVIS OPPORTUNITY A	0.00	13.77	0.00	13.77	95.0	13.08
04/01/2017	SELVAGGIO, STEVE	DAVIS OPPORTUNITY A	0.00	25.56	0.00	25.56	95.0	24.28
04/01/2017	TRU-LINE METAL PRODUCTS C	DAVIS OPPORTUNITY A	0.00	3.56	0.00	3.56	95.0	3.38
04/01/2017	CIAGLO, BETTY	DAVIS OPPORTUNITY A	0.00	22.18	0.00	22.18	95.0	21.07
04/01/2017	KOVACH, KATHLEEN	DAVIS OPPORTUNITY A	0.00	33.64	0.00	33.64	95.0	31.96
04/01/2017	VAUGHN INVESTMENT CL	DAVIS OPPORTUNITY A	0.00	7.63	0.00	7.63	95.0	7.25
04/01/2017	BANKS, VICTORIA	DAVIS OPPORTUNITY A	0.00	16.31	0.00	16.31	95.0	15.49
04/01/2017	PRATER-MANOR, SARAH	DAVIS OPPORTUNITY A	0.00	13.90	0.00	13.90	95.0	13.21
04/01/2017	PRATER-MANOR, AMY	DAVIS OPPORTUNITY A	0.00	15.04	0.00	15.04	95.0	14.29
04/01/2017	ROCCA, HELEN	DAVIS OPPORTUNITY A	0.00	3.80	0.00	3.80	95.0	3.61
04/01/2017	ROCCA, JOHN	DAVIS OPPORTUNITY A	0.00	8.08	0.00	8.08	95.0	7.68
04/01/2017	TSANGANOS, LEONIDAS	DAVIS OPPORTUNITY A	0.00	5.62	0.00	5.62	95.0	5.34
04/01/2017	TSANGANOS, LEONIDAS	DAVIS OPPORTUNITY A	0.00	19.09	0.00	19.09	95.0	18.14
04/01/2017	AMERMAN, LORI	DAVIS OPPORTUNITY A	0.00	3.02	0.00	3.02	95.0	2.87
04/01/2017	ROCCA, HELEN	DAVIS OPPORTUNITY A	0.00	0.77	0.00	0.77	95.0	0.73
04/01/2017	VANDERMEER, BRYON	DAVIS OPPORTUNITY A	0.00	55.56	0.00	55.56	95.0	52.78
04/01/2017	DOUTSAS, DANNIE	DAVIS OPPORTUNITY A	0.00	10.32	0.00	10.32	95.0	9.80
04/01/2017	VANDERMEER, SANDRA	DAVIS OPPORTUNITY A	0.00	10.02	0.00	10.02	95.0	9.52
04/01/2017	KEDZIERSKI, JERI	DAVIS OPPORTUNITY A	0.00	34.72	0.00	34.72	95.0	32.98
04/01/2017	SELVAGGIO, VINCENZA	DAVIS REAL ESTATE A	0.00	2.65	0.00	2.65	95.0	2.52
04/01/2017	SELVAGGIO, STEVE	DAVIS REAL ESTATE A	0.00	7.26	0.00	7.26	95.0	6.90
04/01/2017	VARTABEDIAN, ROBERT	DAVIS REAL ESTATE A	0.00	5.63	0.00	5.63	95.0	5.35
04/01/2017	ROY, JACKIE	DAVIS REAL ESTATE A	0.00	5.40	0.00	5.40	95.0	5.13
04/01/2017	ROY, LORAIN	DAVIS REAL ESTATE A	0.00	4.89	0.00	4.89	95.0	4.65
04/01/2017	SZETO, CHOWN	DAVIS REAL ESTATE A	0.00	1.24	0.00	1.24	95.0	1.18
04/01/2017	MICHON, ERIK	DAVIS REAL ESTATE A	0.00	1.93	0.00	1.93	95.0	1.83
04/01/2017	ROCCA, HELEN	DAVIS REAL ESTATE A	0.00	0.22	0.00	0.22	95.0	0.21
04/01/2017	ROCCA, JOHN	DAVIS REAL ESTATE A	0.00	2.06	0.00	2.06	95.0	1.96
04/01/2017	SMITH, DENNIS	DAVIS REAL ESTATE A	0.00	4.55	0.00	4.55	95.0	4.32

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	MITSOPOULOS, GEORGIA	DAVIS REAL ESTATE A	0.00	2.94	0.00	2.94	95.0	2.79
04/01/2017	DUDZIK, ELZBIETA	DAVIS REAL ESTATE A	0.00	4.36	0.00	4.36	95.0	4.14
04/01/2017	VANDERMEER, SANDRA	DAVIS REAL ESTATE A	0.00	4.41	0.00	4.41	95.0	4.19
04/13/2017	DAVIS, LYNN	DWS CORE EQUITY FUND A	0.00	0.97	0.00	0.97	95.0	0.92
04/13/2017	ENDLICH, RICHARD	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	96.48	0.00	96.48	95.0	91.66
04/13/2017	DAVIS, LYNN	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	0.86	0.00	0.86	95.0	0.82
04/13/2017	DAVENPORT, JOHN	DWS DEUTSCHE CORE PLUS INCOME FUND-	0.00	0.03	0.00	0.03	95.0	0.03
04/13/2017	HENDERSON, OSCAR	DWS DEUTSCHE GLOBAL GROWTH FUND-A	0.00	12.00	0.00	12.00	95.0	11.40
04/13/2017	ROLLINS, DOLORES	DWS DEUTSCHE HIGH INCOME FUND A	0.00	1.05	0.00	1.05	95.0	1.00
04/13/2017	REVITZER, SANDRA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	49.31	0.00	49.31	95.0	46.84
04/13/2017	ROSS, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	74.22	0.00	74.22	95.0	70.51
04/13/2017	WARMANN TRUST, WALTER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	27.15	0.00	27.15	95.0	25.79
04/13/2017	JAGGER, ALBERT	DWS DEUTSCHE HIGH INCOME FUND A	0.00	88.55	0.00	88.55	95.0	84.12
04/13/2017	ADRAGNA, NICOLE	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.30	0.00	2.30	95.0	2.19
04/13/2017	CIAGLO, BETTY	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.73	0.00	6.73	95.0	6.39
04/13/2017	OLWEEAN, ADAM	DWS DEUTSCHE HIGH INCOME FUND A	0.00	33.71	0.00	33.71	95.0	32.02
04/13/2017	HOSLEY, KATHLEEN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	0.59	0.00	0.59	95.0	0.56
04/13/2017	BAKEWELL, RALPH	DWS DEUTSCHE HIGH INCOME FUND A	0.00	10.80	0.00	10.80	95.0	10.26
04/13/2017	WARMANN TRUST, WALTER	DWS DEUTSCHE UNCONSTRAINED INCOME F	0.00	2.15	0.00	2.15	95.0	2.04
04/13/2017	WARMANN TRUST, RUTH	DWS DEUTSCHE UNCONSTRAINED INCOME F	0.00	2.15	0.00	2.15	95.0	2.04
04/13/2017	PATINOS, GLORIA	DWS GLOBAL INCOME BUILDER A	0.00	26.55	0.00	26.55	95.0	25.22
04/13/2017	DOBROTH, THERESA	DWS GLOBAL INCOME BUILDER A	0.00	16.13	0.00	16.13	95.0	15.32
04/13/2017	CISSELL, PATRICIA	DWSE HIGH INCOME FUND C	0.00	296.14	0.00	296.14	95.0	281.33
04/10/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
04/17/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.18	0.00	1.18	95.0	1.12
04/17/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.42	0.00	7.42	95.0	7.05
04/17/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.45	0.00	5.45	95.0	5.18
04/17/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.51	0.00	5.51	95.0	5.23
04/17/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.77	0.00	4.77	95.0	4.53
04/17/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.84	0.00	1.84	95.0	1.75
04/17/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.90	0.00	1.90	95.0	1.81
04/17/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.47	0.00	4.47	95.0	4.25
04/17/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.67	0.00	6.67	95.0	6.34

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.41	0.00	0.41	95.0	0.39
04/17/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	95.0	0.44
04/17/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.66	0.00	0.66	95.0	0.63
04/17/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.66	0.00	0.66	95.0	0.63
04/17/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.51	0.00	1.51	95.0	1.43
04/17/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.51	0.00	1.51	95.0	1.43
04/05/2017	ERKFITZ, ELAINE	MAINSTAY HIGH YIELD CORP BOND A	0.00	21.98	0.00	21.98	95.0	20.88
/ /	KLEIN, ANNA	NUVEEN HIGH INCOME A	0.00	27.19	0.00	27.19	95.0	25.83
04/10/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	62.50	2.97	0.00	2.97	95.0	2.82
04/10/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	33.77	1.60	0.00	1.60	95.0	1.52
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	62.50	2.50	0.00	2.50	95.0	2.38
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	54.68	2.19	0.00	2.19	95.0	2.08
04/07/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	31.53	0.32	0.00	0.32	95.0	0.30
04/07/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	18.92	0.19	0.00	0.19	95.0	0.18
04/10/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	62.50	2.97	0.00	2.97	95.0	2.82
04/10/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	33.77	1.60	0.00	1.60	95.0	1.52
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	62.50	2.50	0.00	2.50	95.0	2.38
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	54.68	2.19	0.00	2.19	95.0	2.08
04/07/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	280.89	2.81	0.00	2.81	95.0	2.67
04/07/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	49.57	0.50	0.00	0.50	95.0	0.48
04/07/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	280.89	2.81	0.00	2.81	95.0	2.67
04/07/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	49.57	0.50	0.00	0.50	95.0	0.48
04/07/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	30.59	0.31	0.00	0.31	95.0	0.29
04/07/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	18.35	0.18	0.00	0.18	95.0	0.17
04/07/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	280.89	2.81	0.00	2.81	95.0	2.67
04/07/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	49.57	0.50	0.00	0.50	95.0	0.48
04/10/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	62.50	2.97	0.00	2.97	95.0	2.82

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/10/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	33.76	1.60	0.00	1.60	95.0	1.52
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	62.50	2.50	0.00	2.50	95.0	2.38
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	54.67	2.19	0.00	2.19	95.0	2.08
04/07/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	30.59	0.31	0.00	0.31	95.0	0.29
04/07/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	18.35	0.18	0.00	0.18	95.0	0.17
04/07/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	280.90	2.81	0.00	2.81	95.0	2.67
04/07/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	49.56	0.50	0.00	0.50	95.0	0.48
04/10/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	62.50	2.50	0.00	2.50	95.0	2.38
04/10/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	54.68	2.19	0.00	2.19	95.0	2.08
04/07/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	150.00	1.50	0.00	1.50	95.0	1.43
04/07/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	80.58	0.81	0.00	0.81	95.0	0.77
04/10/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
04/10/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	40.38	0.40	0.00	0.40	95.0	0.38
04/04/2017	RASP, LINDA	PIIONEER AMT FREE MUNICIPAL A	0.00	82.92	0.00	82.92	95.0	78.77
04/04/2017	RASP, EVELYN	PIIONEER AMT FREE MUNICIPAL A	0.00	90.12	0.00	90.12	95.0	85.61
04/17/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
04/17/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
04/18/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	6,500.00	65.00	0.00	65.00	95.0	61.75
04/17/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
04/17/2017	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	7,000.00	70.00	0.00	70.00	95.0	66.50
04/10/2017	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	999.49	9.99	0.00	9.99	95.0	9.49
04/17/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
04/17/2017	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	1,515.95	15.16	0.00	15.16	95.0	14.40
04/17/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
04/03/2017	MURPHY, PAUL	U.S. GOVERNMENT SECURITIES FUND-R2	0.00	2.62	0.00	2.62	95.0	2.49
04/06/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.38	0.00	7.38	95.0	7.01
04/06/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	58.67	0.00	58.67	95.0	55.74
04/06/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	10.25	0.00	10.25	95.0	9.74
04/06/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.41	0.00	3.41	95.0	3.24
04/06/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.66	0.00	2.66	95.0	2.53
04/06/2017	TOTTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.30	0.00	3.30	95.0	3.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/06/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
04/06/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
04/06/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	17.14	0.00	17.14	95.0	16.28
04/06/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.03	0.00	2.03	95.0	1.93
04/06/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.03	0.00	2.03	95.0	1.93
04/06/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	26.97	0.00	26.97	95.0	25.62
04/06/2017	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	29.96	0.00	29.96	95.0	28.46
04/06/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.60	0.00	0.60	95.0	0.57
04/06/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.88	0.00	0.88	95.0	0.84
04/06/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.09	0.00	1.09	95.0	1.04
04/06/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.76	0.00	0.76	95.0	0.72
04/06/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.00	0.00	3.00	95.0	2.85
04/06/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.97	0.00	0.97	95.0	0.92
04/06/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.67	0.00	2.67	95.0	2.54
04/06/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	24.74	0.00	24.74	95.0	23.50
04/06/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.60	0.00	0.60	95.0	0.57
04/06/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.18	0.00	5.18	95.0	4.92
04/06/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.68	0.00	5.68	95.0	5.40
04/06/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.18	0.00	9.18	95.0	8.72
04/06/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	12.00	0.00	12.00	95.0	11.40
04/06/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.64	0.00	1.64	95.0	1.56
04/06/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	27.76	0.00	27.76	95.0	26.37
04/06/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	36.81	0.00	36.81	95.0	34.97
04/06/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	54.03	0.00	54.03	95.0	51.33
04/06/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.13	0.00	1.13	95.0	1.07
04/06/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.07	0.00	1.07	95.0	1.02
04/06/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.58	0.00	0.58	95.0	0.55
04/06/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	10.71	0.00	10.71	95.0	10.17
* MUTUAL FUNDS SUBTOTAL *			\$37,901.50	\$8,207.72	\$0.00	\$8,207.72		\$7,535.08
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/06/2017	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
04/10/2017	DINKA, JOHN	PAC LIFE VUL	0.00	349.17	0.00	349.17	95.0	331.71
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$399.17	\$0.00	\$399.17		\$379.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/31/2017	LUMETTA, SEBASTIANO	ASCENSUS 529 TRAILS	0.00	8.91	0.00	8.91	95.0	8.46
03/31/2017	LUMETTA, SEBASTIANO	ASCENSUS 529 TRAILS	0.00	8.86	0.00	8.86	95.0	8.42
03/31/2017	LUMETTA, SEBASTIANO	ASCENSUS 529 TRAILS	0.00	8.88	0.00	8.88	95.0	8.44
03/31/2017	DINKA, JOHN	ASCENSUS 529 TRAILS	0.00	128.19	0.00	128.19	95.0	121.78
03/31/2017	DINKA, JOHN	ASCENSUS 529 TRAILS	0.00	123.37	0.00	123.37	95.0	117.20
03/31/2017	DINKA, JOHN	ASCENSUS 529 TRAILS	0.00	83.85	0.00	83.85	95.0	79.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$362.06	\$0.00	\$362.06		\$343.96
*** BROKER TRANSACTIONS TOTAL ***			\$40,401.50	\$10,090.42	\$0.00	\$10,090.42		\$9,323.64

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

04/01/2017	TO BFDS TOD RULES, SUBECT	DAVIS NEW YORK VENTURE A	0.00	6.64	0.00	6.64	95.0	6.31
04/13/2017	KRAUSE, BARBARA	DWS SEUTSCHE MANAGED MUNICIPAL BOND	0.00	90.44	0.00	90.44	95.0	85.92
03/31/2017	DEMAN, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	0.00	89.89	0.00	89.89	95.0	85.40
03/31/2017	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.77	0.00	21.77	95.0	20.68
04/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
04/06/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	3.95	0.00	3.95	95.0	3.75
04/06/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.89	0.00	0.89	95.0	0.85
04/06/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
04/06/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.95	0.00	2.95	95.0	2.80
04/06/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.23	0.00	0.23	95.0	0.22
* MARK KOSANKE SUBTOTAL *			\$32.61	\$217.09	\$0.00	\$217.09		\$206.24

BROKER: 101 CFGROUP/KOSANKE #9

04/17/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	4.75
04/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
04/12/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	10.00	0.00	10.00	95.0	9.50
04/17/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75
04/12/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	500.00	10.00	0.00	10.00	95.0	9.50
04/17/2017	REDDEN, TODD	AM FUNDS GROWTH FUND OF AMERICA A	5,000.00	212.50	0.00	212.50	95.0	201.88
04/12/2017	GINGELL, PAUL	AM FUNDS ICA A	800.00	16.00	0.00	16.00	95.0	15.20
04/12/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	630.00	12.60	0.00	12.60	95.0	11.97

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$7,730.00	\$276.10	\$0.00	\$276.10		\$262.30
<u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u>								
04/05/2017	FEINBERG, MARK	ALGER LARGE CAP GROWTH A	0.00	6.68	0.00	6.68	95.0	6.35
04/05/2017	WILSON, EMILEE	ALGER LARGE CAP GROWTH A	0.00	0.02	0.00	0.02	95.0	0.02
04/05/2017	DRABCZYK, FRANK	ALGER LARGE CAP GROWTH A	0.00	0.50	0.00	0.50	95.0	0.48
04/05/2017	FEINBERG, JENNIFER	ALGER LARGE CAP GROWTH A	0.00	3.23	0.00	3.23	95.0	3.07
04/05/2017	FEINBERG, JULIE	ALGER LARGE CAP GROWTH A	0.00	3.71	0.00	3.71	95.0	3.52
04/05/2017	FEINBERG, MARK	ALGER LARGE CAP GROWTH B	0.00	1.73	0.00	1.73	95.0	1.64
04/05/2017	FEINBERG, JULIE	ALGER MIDCAP GROWTH A	0.00	1.64	0.00	1.64	95.0	1.56
04/05/2017	FEINBERG, JENNIFER	ALGER SMALLCAP GROWTH A	0.00	5.59	0.00	5.59	95.0	5.31
04/05/2017	FEINBERG, JULIE	ALGER SMALLCAP GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44
03/31/2017	HARNE, DEXTL	TOUCHSTONE GROWTH OPP A	0.00	0.04	0.00	0.04	95.0	0.04
03/31/2017	HARNE, DEXTL	TOUCHSTONE MID CAP GROWTH A	0.00	0.06	0.00	0.06	95.0	0.06
		* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *	\$0.00	\$24.72	\$0.00	\$24.72		\$23.49
<u>BROKER: MEGHA GROUP #41</u>								
04/05/2017	VARTANIAN, ALEXANDER	ALGER CAPITAL APPRECIATION A	0.00	1.32	0.00	1.32	95.0	1.25
04/05/2017	VARTANIAN, NICHOLAS	ALGER CAPITAL APPRECIATION A	0.00	1.82	0.00	1.82	95.0	1.73
04/05/2017	MEHLBERG, GREYSON	ALGER CAPITAL APPRECIATION A	0.00	2.06	0.00	2.06	95.0	1.96
04/05/2017	MATHEWS, DOUG	ALGER MIDCAP GROWTH A	0.00	5.73	0.00	5.73	95.0	5.44
		* MEGHA GROUP SUBTOTAL *	\$0.00	\$10.93	\$0.00	\$10.93		\$10.38
		*** SPLIT TRANSACTIONS TOTAL ***	\$7,762.61	\$528.84	\$0.00	\$528.84		\$502.41

OVERRIDE TRANSACTIONS

<u>BROKER: FRANK DUNN #7</u>								
03/31/2017	LABANCA, SOL	GRIFFIN AMERICAN HEALTHCARE REIT IV	0.00	82.19	0.00	82.19	90.0	4.11
03/31/2017	WETHINGTON JR, ROBERT	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	258.90	0.00	258.90	90.0	12.95
03/31/2017	REIFMAN FAMILY TRUST	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	197.26	0.00	197.26	90.0	9.86
03/31/2017	WETHINGTON, JUDITH	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	86.30	0.00	86.30	90.0	4.32
		* FRANK DUNN SUBTOTAL *	\$0.00	\$624.65	\$0.00	\$624.65		\$31.24

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
04/12/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	3.00
04/17/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.13
04/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$210.00	\$10.13	\$0.00	\$10.13		\$10.13
*** OVERRIDE TRANSACTIONS TOTAL ***			\$210.00	\$634.78	\$0.00	\$634.78		\$41.37

BROKER COMMISSION TOTALS

Broker Transactions	\$9,323.64
Split Transactions	\$502.41
Override Transactions	\$41.37
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,867.42
Year-to-date Gross	\$102,438.15
Year-to-date Earnings	\$99,990.68

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