

## COMMISSION STATEMENT

June 09, 2017

PHYLLIS FATTORUSO  
89-40 151ST AVENUE  
APT 5G  
HOWARD BEACH, NY 11414

BROKER #: 53  
BRANCH: HOME OFFICE

## COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
05/09/2017	QGW007528	ASCHER, TERESA	PROSHARES TR PROSHARES ULTRASHORT S B	6,525.00	75.00	0.00	75.00	52.0	39.00
05/10/2017	QGW007528	ASCHER, TERESA	PROSHARES TR PROSHARES ULTRASHORT S S	-6,506.50	75.00	0.00	75.00	52.0	39.00
04/26/2017	QGW007700	SARNA, MARK	GENERAL ELECTRIC CO S	-58,692.80	100.00	0.00	100.00	52.0	52.00
04/26/2017	QGW007700	SARNA, MARK	GENERAL ELECTRIC CO S	58,692.80	-100.00	0.00	-100.00	52.0	-52.00 C
04/26/2017	QGW007700	SARNA, MARK	GENERAL ELECTRIC CO S	-58,692.80	100.00	0.00	100.00	52.0	52.00
04/26/2017	QGW007700	SARNA, MARK	MARATHON OIL CORP S	-30,769.80	100.00	0.00	100.00	52.0	52.00
05/19/2017	QGW007700	SARNA, MARK	BANK OF AMERICA CORP B	44,000.00	100.00	0.00	100.00	52.0	52.00
05/19/2017	QGW007700	SARNA, MARK	BANK OF AMERICA CORP B	84,000.00	200.00	0.00	200.00	52.0	104.00
05/19/2017	QGW007700	SARNA, MARK	BANK OF AMERICA CORP B	160,000.00	400.00	0.00	400.00	52.0	208.00
05/19/2017	QGW007700	SARNA, MARK	FREEPORT MCMORAN INC B	77,000.00	350.00	0.00	350.00	52.0	182.00
05/19/2017	QGW007700	SARNA, MARK	MARATHON OIL CORP B	14,000.00	50.00	0.00	50.00	52.0	26.00
05/10/2017	QGW007825	SARNA FAMILY LTD	SNAP INC CLASS A COMMON STOCK B	35,370.00	100.00	0.00	100.00	52.0	52.00
05/15/2017	QGW007825	SARNA FAMILY LTD	SNAP INC CLASS A COMMON STOCK S	-20,612.40	50.00	0.00	50.00	52.0	26.00
05/18/2017	QGW007825	SARNA FAMILY LTD	SNAP INC CLASS A COMMON STOCK S	-20,342.00	50.00	0.00	50.00	52.0	26.00
05/19/2017	QGW007825	SARNA FAMILY LTD	ALCOA CORPORATION COMMON STOCK B	60,000.00	100.00	0.00	100.00	52.0	52.00
05/19/2017	QGW007825	SARNA FAMILY LTD	BANK OF AMERICA CORP B	66,000.00	150.00	0.00	150.00	52.0	78.00
05/19/2017	QGW007825	SARNA FAMILY LTD	FREEPORT MCMORAN INC B	77,000.00	350.00	0.00	350.00	52.0	182.00
05/19/2017	QGW007825	SARNA FAMILY LTD	GENERAL ELECTRIC CO B	336,000.00	600.00	0.00	600.00	52.0	312.00
05/23/2017	QGW007825	SARNA FAMILY LTD	COCA COLA COMPANY (THE) S	-44,522.30	50.00	0.00	50.00	52.0	26.00
05/23/2017	QGW007825	SARNA FAMILY LTD	EXXON MOBIL CORPORATION S	-82,694.10	50.00	0.00	50.00	52.0	26.00
05/24/2017	QGW007825	SARNA FAMILY LTD	COCA COLA COMPANY (THE) S	-89,400.00	100.00	0.00	100.00	52.0	52.00
05/24/2017	QGW007825	SARNA FAMILY LTD	EXXON MOBIL CORPORATION S	-82,212.50	50.00	0.00	50.00	52.0	26.00
05/24/2017	QGW007825	SARNA FAMILY LTD	GENERAL ELECTRIC CO S	-111,369.60	200.00	0.00	200.00	52.0	104.00
05/24/2017	QGW007825	SARNA FAMILY LTD	GENERAL ELECTRIC CO S	-169,999.80	300.00	0.00	300.00	52.0	156.00
05/04/2017	QGW008393	HUTCHINSON, WILLIAM	OPGEN INC SHS COM STK S	-3,588.00	20.00	0.00	20.00	52.0	10.40
05/11/2017	QGW020927	KNIGHT, DAVID	PROSHARES TRUST II PROSHARES ULTRA B	3,989.40	50.00	0.00	50.00	52.0	26.00
05/19/2017	QGW020927	KNIGHT, DAVID	PROSHARES TRUST II PROSHARES ULTRA S	-4,275.00	50.00	0.00	50.00	52.0	26.00
05/09/2017	QGW021784	ASCHER, TERESA	PROSHARES TR PROSHARES ULTRASHORT S B	6,528.80	75.00	0.00	75.00	52.0	39.00
05/10/2017	QGW021784	ASCHER, TERESA	PROSHARES TR PROSHARES ULTRASHORT S S	-6,506.50	75.00	0.00	75.00	52.0	39.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$238,921.90</b>	<b>\$3,870.00</b>	<b>\$0.00</b>	<b>\$3,870.00</b>		<b>\$2,012.40</b>

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
05/03/2017	QGW007700	SARNA, MARK	CALL 100	EXXON MOBIL CORP COM EXP 0 S	-2,640.00	30.00	0.00	30.00	52.0	15.60
05/15/2017	QGW007700	SARNA, MARK	CALL 100	EAGLE PHARMACEUTICALS INC B	6,000.00	40.00	0.00	40.00	52.0	20.80
05/17/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 06- B	21,100.00	300.00	0.00	300.00	52.0	156.00
05/17/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 06- B	22,440.00	330.00	0.00	330.00	52.0	171.60
05/18/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 05- S	-14,100.00	150.00	0.00	150.00	52.0	78.00
05/19/2017	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 05-19-1 S	-4,730.00	40.00	0.00	40.00	52.0	20.80
05/19/2017	QGW007700	SARNA, MARK	CALL 100	ALCOA CORP COM EXP 05-19-1 S	-4,550.00	40.00	0.00	40.00	52.0	20.80
05/19/2017	QGW007700	SARNA, MARK	CALL 100	ARCONIC INC COM EXP 05-19- S	-4,750.00	40.00	0.00	40.00	52.0	20.80
05/19/2017	QGW007700	SARNA, MARK	CALL 100	ARCONIC INC COM EXP 05-19- S	-4,600.00	40.00	0.00	40.00	52.0	20.80
05/19/2017	QGW007700	SARNA, MARK	CALL 100	ARCONIC INC COM EXP 05-19- S	-9,500.00	80.00	0.00	80.00	52.0	41.60
05/19/2017	QGW007700	SARNA, MARK	CALL 100	BANK AMER CORP COM EXP 05- S	-6,040.00	80.00	0.00	80.00	52.0	41.60
05/19/2017	QGW007700	SARNA, MARK	CALL 100	EXXON MOBIL CORP COM EXP 0 S	-5,640.00	90.00	0.00	90.00	52.0	46.80
05/19/2017	QGW007700	SARNA, MARK	CALL 100	FREEPORT-MCMORAN INC CL B S	-3,400.00	100.00	0.00	100.00	52.0	52.00
05/19/2017	QGW007700	SARNA, MARK	CALL 100	MARATHON OIL CORP COM EXP S	-580.00	20.00	0.00	20.00	52.0	10.40
05/04/2017	QGW007825	SARNA FAMILY LTD	CALL 100	EXXON MOBIL CORP COM EXP 0 B	8,260.00	80.00	0.00	80.00	52.0	41.60
05/04/2017	QGW007825	SARNA FAMILY LTD	CALL 100	SCHLUMBERGER LTD COM ISIN# B	12,100.00	80.00	0.00	80.00	52.0	41.60
05/15/2017	QGW007825	SARNA FAMILY LTD	CALL 100	EAGLE PHARMACEUTICALS INC B	8,500.00	40.00	0.00	40.00	52.0	20.80
05/19/2017	QGW007825	SARNA FAMILY LTD	CALL 100	FREEPORT-MCMORAN INC CL B S	-3,550.00	100.00	0.00	100.00	52.0	52.00
05/19/2017	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX B	20,230.00	510.00	0.00	510.00	52.0	265.20
05/19/2017	QGW007825	SARNA FAMILY LTD	CALL 100	GENERAL ELECTRIC CO COM EX S	-5,200.00	150.00	0.00	150.00	52.0	78.00
05/19/2017	QGW036618	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX B	1,190.00	30.00	0.00	30.00	52.0	15.60
05/19/2017	QGW036618	SARNA, MARK	CALL 100	GENERAL ELECTRIC CO COM EX S	-1,040.00	30.00	0.00	30.00	52.0	15.60
* OPTIONS SUBTOTAL *					\$29,500.00	\$2,400.00	\$0.00	\$2,400.00		\$1,248.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/17/2017	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED	BALANCED B	0.00	7.55	0.00	7.55	52.0	3.93
04/17/2017	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED	BALANCED B	0.00	7.55	0.00	7.55	52.0	3.93
05/17/2017	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED	BALANCED B	0.00	7.32	0.00	7.32	52.0	3.81
04/21/2017	QGW028755	SARNA, MARK	THORNBURG INVESTMENT	INCOME BUILDER B	0.00	15.74	0.00	15.74	52.0	8.18
04/21/2017	QGW028755	SARNA, MARK	THORNBURG INVESTMENT	INCOME BUILDER B	0.00	15.74	0.00	15.74	52.0	8.18
05/25/2017	QGW028755	SARNA, MARK	THORNBURG INVESTMENT	INCOME BUILDER B	0.00	15.28	0.00	15.28	52.0	7.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$69.18	\$0.00	\$69.18		\$35.98
*** BROKER TRANSACTIONS TOTAL ***					\$268,421.90	\$6,339.18	\$0.00	\$6,339.18		\$3,296.38

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,296.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.92
SIPC Assessment	\$-118.86

Check Amount	\$3,169.60
Year-to-date Earnings	\$20,434.09