

**COMMISSION STATEMENT****November 30, 2017**DAVID MIKE BREEDLOVE
1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132

BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
11/17/2017	ALKHERSAM, HUSAM	FINANICAL PLANNING	0.00	599.00	90.0	539.10	0.00	539.10
11/17/2017	BAINE MD, RALPH	FINANICAL PLANNING	0.00	599.00	90.0	539.10	0.00	539.10
11/13/2017	OWEN, LOUIS	TD AMERITRADE	341,791.22	674.22	90.0	606.80	0.00	606.80
11/13/2017	OWEN, LOUIS	TD AMERITRADE	348,842.92	688.13	90.0	619.32	0.00	619.32
11/13/2017	LPO MANAGEMENT CO LL	TD AMERITRADE	25,754.27	50.80	90.0	45.72	0.00	45.72
* RIA SUBTOTAL *			\$716,388.41	\$2,611.15		\$2,350.04	\$0.00	\$2,350.04
*** DIRECT TRANSACTIONS TOTAL ***			\$716,388.41	\$2,611.15		\$2,350.04	\$0.00	\$2,350.04

BROKER COMMISSION TOTALS

Broker Transactions	\$2,350.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,350.04
Year-to-date Gross	\$71,676.64
Year-to-date Earnings	\$64,509.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed