

COMMISSION STATEMENT

October 31, 2017

COLIN GLENNON
711 ELLINGTON ROADBROKER #: 17
BRANCH: HOME OFFICE

RIDGEWOOD, NJ 07450

COMMISSION STATEMENT for COLIN GLENNON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/28/2017	75501382 LEVIS, ANGELA	LRAD CORPORATION	B	15,450.00	150.00	0.00	150.00	50.0	75.00
10/18/2017	75501388 LEVIS, ANGELA	LRAD CORPORATION	B	12,918.10	130.00	0.00	130.00	50.0	65.00
* STOCKS SUBTOTAL *				\$28,368.10	\$280.00	\$0.00	\$280.00		\$140.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75501429 COMACK, KATHLEEN	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	6.37	0.00	6.37	50.0	3.19
10/10/2017	75501609 BUCHNER, LORETTA	GOLDMAN SACHS U S EQUITY INSIGHTS F B		0.00	3.89	0.00	3.89	50.0	1.95
10/10/2017	75501609 BUCHNER, LORETTA	GOLDMAN SACHS TOLLKEEPER FUND CLASS B		0.00	16.36	0.00	16.36	50.0	8.18
10/10/2017	75501609 BUCHNER, LORETTA	JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	2.16	0.00	2.16	50.0	1.08
10/10/2017	75501668 PARKER, FRANCINE	FRK SM CAP GRWTH FND II - CL A	B	0.00	5.31	0.00	5.31	50.0	2.66
10/10/2017	75501668 PARKER, FRANCINE	GLOBAL OPPORTUNITIES A	B	0.00	8.18	0.00	8.18	50.0	4.09
10/10/2017	75501669 PARKER, JAMES	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.26	0.00	0.26	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$42.53	\$0.00	\$42.53		\$21.15
*** BROKER TRANSACTIONS TOTAL ***				\$28,368.10	\$322.53	\$0.00	\$322.53		\$161.15

BROKER COMMISSION TOTALS

Broker Transactions	\$161.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.40
SIPC Assessment	\$-0.81

Check Amount	\$159.94
Year-to-date Earnings	\$761.04