

COMMISSION STATEMENT

October 31, 2017

BRUCE BAXTER

10385 Wellington Blvd.

Powell, OH 43065

BROKER #: B121 Terminated 05/30/2015

BRANCH: BRUCE BAXTER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION				
BROKER TRANSACTIONS												
PRODUCT CA	TEGORY: MUTUAL FUNDS											
10/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	15.26	0.00	15.26	0.0	0.00				
10/01/2017	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	42.92	0.00	42.92	0.0	0.00				
10/01/2017	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	6.85	0.00	6.85	0.0	0.00				
10/01/2017	WARTHMAN, JODY	MFS GROWTH A	0.00	0.87	0.00	0.87	0.0	0.00				
10/01/2017	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.36	0.00	5.36	0.0	0.00				
10/01/2017	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	5.65	0.00	5.65	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00				
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.00				
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.21	0.00	7.21	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.00				
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	21.64	0.00	21.64	0.0	0.00				
/ /	GOLSCH, LORI	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.00				
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.01	0.00	0.01	0.0	0.00				
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.02	0.00	0.02	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.05	0.00	0.05	0.0	0.00				
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.00				
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.05	0.00	0.05	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.16	0.00	0.16	0.0	0.00				
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.00				
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.00				
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.00				
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.00				
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.00				
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.00				

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
PRODUCT C	ATEGORY: MUTUAL FUNDS							
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.42	0.00	0.42	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.00
/ /	GEIGER, JACQUELYN	PROFUNDS US GOV PLUS SVC	0.00	0.02	0.00	0.02	0.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$107.11	\$0.00	\$107.11		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$107.11	\$0.00	\$107.11		\$0.00
					<u>E</u>	SSION	TOTALS	
					Broke:	r Transactions		\$0.00
					Spli	t Transactions		\$0.00
					Override	e Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior 1	Period Balance		\$0.00
					FII	NRA Assessment		\$0.00
					S	IPC Assessment		\$0.00
	Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD				Balance Forward			\$0.00
					Year	r-to-date Gross	5	\$6,899.99
					Year-to	o-date Earnings	5	\$0.00