

COMMISSION STATEMENT**June 21, 2018**

TIFFANY LUM
 5945 W CREST LANE
 GLENDALE, AZ 85310

BROKER #: 316
BRANCH: #70A11-CENTRAL-JIM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

BROKER TRANSACTIONS**PRODUCT CATEGORY: TRAILERS**

06/18/2018	NAMAHOE, KATHLEEN	AF GLOBAL BALANCED CL F2	0.00	23.09	0.00	23.09	18.47
06/18/2018	LOEWEN, AMY	AF GLOBAL BALANCED CL F2	0.00	4.92	0.00	4.92	3.94
06/18/2018	ARREGLO, CARLO	AF GLOBAL BALANCED CL F2	0.00	6.07	0.00	6.07	4.86
06/18/2018	LOEWEN, AMY	AF GLOBAL BALANCED CL F2	0.00	17.09	0.00	17.09	13.67
06/18/2018	CHANG, CECILY	AMCAP FUND CLASS F-2	0.00	10.95	0.00	10.95	8.76
06/18/2018	GUTIERREZ, REBECCA	AMCAP FUND CLASS F-2	0.00	2.39	0.00	2.39	1.91
06/18/2018	WILLIAMSLA	AMCAP FUND CLASS F-2	0.00	0.30	0.00	0.30	0.24
06/18/2018	WILLIAMSLA	AMCAP FUND CLASS F-2	0.00	1.40	0.00	1.40	1.12
06/18/2018	NAMAHOE, KATHLEEN	AMERICAN BALANCED; FUND CLASS F-2	0.00	92.63	0.00	92.63	74.10
06/18/2018	LOEWEN, AMY	AMERICAN BALANCED; FUND CLASS F-2	0.00	4.91	0.00	4.91	3.93
06/18/2018	ARREGLO, CARLO	AMERICAN BALANCED; FUND CLASS F-2	0.00	6.04	0.00	6.04	4.83
06/18/2018	LOEWEN, AMY	AMERICAN BALANCED; FUND CLASS F-2	0.00	17.06	0.00	17.06	13.65
06/18/2018	LOMONACOTH	BALANCED PORTFOLIO-F2	0.00	15.00	0.00	15.00	12.00
06/18/2018	NAMAHOE, KATHLEEN	CAPITAL INCOME BUILDER FUND CLASS F-2	0.00	22.39	0.00	22.39	17.91
06/18/2018	LOEWEN, AMY	CAPITAL WORLD GROWTH & INCOME FUND CLASS	0.00	9.79	0.00	9.79	7.83
06/18/2018	ARREGLO, CARLO	CAPITAL WORLD GROWTH & INCOME FUND CLASS	0.00	3.60	0.00	3.60	2.88
06/18/2018	LOEWEN, AMY	CAPITAL WORLD GROWTH & INCOME FUND CLASS	0.00	33.95	0.00	33.95	27.16
06/18/2018	CHANG, CECILY	EUROPACIFIC GROWTH FUND CLASS F-2	0.00	7.79	0.00	7.79	6.23
06/18/2018	GUTIERREZ, REBECCA	EUROPACIFIC GROWTH FUND CLASS F-2	0.00	1.73	0.00	1.73	1.38
06/18/2018	WILLIAMSLA	EUROPACIFIC GROWTH FUND CLASS F-2	0.00	0.22	0.00	0.22	0.18
06/18/2018	WILLIAMSLA	EUROPACIFIC GROWTH FUND CLASS F-2	0.00	1.04	0.00	1.04	0.83
06/18/2018	CHANG, CECILY	FUNDAMENTAL INVESTORS CLASS F-2	0.00	10.55	0.00	10.55	8.44
06/18/2018	GUTIERREZ, REBECCA	FUNDAMENTAL INVESTORS CLASS F-2	0.00	2.29	0.00	2.29	1.83
06/18/2018	LOEWEN, AMY	FUNDAMENTAL INVESTORS CLASS F-2	0.00	9.74	0.00	9.74	7.79
06/18/2018	LOEWEN, AMY	FUNDAMENTAL INVESTORS CLASS F-2	0.00	33.77	0.00	33.77	27.02
06/18/2018	WILLIAMSLA	FUNDAMENTAL INVESTORS CLASS F-2	0.00	0.30	0.00	0.30	0.24

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Company: CUE Financial Group, Inc (continued)BROKER TRANSACTIONSPRODUCT CATEGORY: TRAILERS

06/18/2018	WILLIAMSLA	FUNDAMENTAL INVESTORS CLASS F-2	0.00	1.40	0.00	1.40	1.12
06/18/2018	CHANGJEFFR	GROWTH PORTFOLIO-F2	0.00	5.57	0.00	5.57	4.46
06/18/2018	NAMAHOE, KATHLEEN	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2	0.00	21.96	0.00	21.96	17.57
06/18/2018	ARREGLO, CARLO	NEW PERSPECTIVE FUND CLASS F-2	0.00	2.38	0.00	2.38	1.90
06/18/2018	CHANG, CECILY	SMALL-CAP WORLD FUND CLASS F-2	0.00	5.28	0.00	5.28	4.22
06/18/2018	GUTIERREZ, REBECCA	SMALL-CAP WORLD FUND CLASS F-2	0.00	1.17	0.00	1.17	0.94
06/18/2018	WILLIAMSLA	SMALL-CAP WORLD FUND CLASS F-2	0.00	0.15	0.00	0.15	0.12
06/18/2018	WILLIAMSLA	SMALL-CAP WORLD FUND CLASS F-2	0.00	0.70	0.00	0.70	0.56
06/18/2018	ARREGLO, CARLO	THE BOND FUND OF AMERICA CLASS F-2	0.00	3.68	0.00	3.68	2.94
06/18/2018	CHANG, CECILY	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	11.06	0.00	11.06	8.85
06/18/2018	GUTIERREZ, REBECCA	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	2.38	0.00	2.38	1.90
06/18/2018	LOEWEN, AMY	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	9.90	0.00	9.90	7.92
06/18/2018	LOEWEN, AMY	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	34.17	0.00	34.17	27.34
06/18/2018	WILLIAMSLA	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	0.30	0.00	0.30	0.24
06/18/2018	WILLIAMSLA	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	1.40	0.00	1.40	1.12
06/18/2018	NAMAHOE, KATHLEEN	THE INCOME FUND OF AMERICA CLASS F-2	0.00	45.55	0.00	45.55	36.44
06/18/2018	CHANG, CECILY	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	7.84	0.00	7.84	6.27
06/18/2018	NAMAHOE, KATHLEEN	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	23.78	0.00	23.78	19.02
06/18/2018	GUTIERREZ, REBECCA	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	1.72	0.00	1.72	1.38
06/18/2018	LOEWEN, AMY	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	9.67	0.00	9.67	7.74
06/18/2018	LOEWEN, AMY	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	33.53	0.00	33.53	26.82
06/18/2018	WILLIAMSLA	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	0.22	0.00	0.22	0.18
06/18/2018	WILLIAMSLA	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	1.05	0.00	1.05	0.84
06/18/2018	ARREGLO, CARLO	WASHINGTON MUTUAL INVESTORS FUND CLASS F-2	0.00	2.39	0.00	2.39	1.91
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$566.26</u>	<u>\$0.00</u>	<u>\$566.26</u>	<u>\$453.00</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$566.26</u>	<u>\$0.00</u>	<u>\$566.26</u>	<u>\$453.00</u>

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Company: CUE Financial Group, Inc (continued)

OVERRIDE TRANSACTIONS

BROKER: SYLVIA LUM #602

06/18/2018	DECOITO, SUSAN	AMERICAN BALANCED; FUND CLASS F-2	0.00	194.28	0.00	194.28	136.00
06/18/2018	MITCHELLLI	BALANCED PORTFOLIO-F2	0.00	3.96	0.00	3.96	2.77
06/18/2018	MITCHELLLI	BALANCED PORTFOLIO-F2	0.00	143.01	0.00	143.01	100.11
06/18/2018	CRONNELLY, BEVERLY	BALANCED PORTFOLIO-F2	0.00	110.41	0.00	110.41	77.29
06/18/2018	DECOITO, SUSAN	CAPITAL INCOME BUILDER FUND CLASS F-2	0.00	46.65	0.00	46.65	32.66
06/18/2018	DECOITO, SUSAN	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2	0.00	112.91	0.00	112.91	79.04
06/18/2018	DECOITO, SUSAN	THE INCOME FUND OF AMERICA CLASS F-2	0.00	47.94	0.00	47.94	33.56
06/18/2018	DECOITO, SUSAN	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	75.82	0.00	75.82	53.07
* SYLVIA LUM SUBTOTAL *			<u>\$0.00</u>	<u>\$734.98</u>	<u>\$0.00</u>	<u>\$734.98</u>	<u>\$514.50</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$734.98</u>	<u>\$0.00</u>	<u>\$734.98</u>	<u>\$514.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$453.00
Split Transactions	\$0.00
Override Transactions	\$514.50
Adjustments	\$0.00
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$967.50
Year-to-date Earnings	\$1,988.28