

**COMMISSION STATEMENT****June 15, 2017****BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAUP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: BUM

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/08/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.58	0.00	3.58	100.0	0.00
^05/08/2017	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.37	0.00	0.37	100.0	0.00
^05/08/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.84	0.00	3.84	100.0	0.00
^05/22/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.02	0.00	2.02	100.0	0.00
^05/22/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	8.06	0.00	8.06	100.0	0.00
^05/11/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.79	0.00	4.79	100.0	0.00
^05/23/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.83	0.00	1.83	100.0	0.00
^05/23/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	3.02	0.00	3.02	100.0	0.00
^05/17/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.24	0.00	1.24	100.0	0.00
^05/10/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.98	0.00	1.98	100.0	0.00
^05/10/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.08	0.00	1.08	100.0	0.00
^05/10/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.94	0.00	2.94	100.0	0.00
^05/10/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	100.0	0.00
^05/10/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.29	0.00	2.29	100.0	0.00
^05/10/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.50	0.00	1.50	100.0	0.00
^05/10/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.83	0.00	0.83	100.0	0.00
^05/10/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.86	0.00	1.86	100.0	0.00
^05/17/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	20.47	0.00	20.47	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$62.08	\$0.00	\$62.08		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$62.08	\$0.00	\$62.08		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2017		*PERSHING: MF PROSPECTUS MAILING	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed