

**COMMISSION STATEMENT****October 15, 2017**

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/27/2017	GROSS, JUDY	COTTONWOOD MULTIFAMILY REIT II	21,000.00	1,260.00	0.00	1,260.00	90.0	1,134.00
		* REIT SUBTOTAL *	\$21,000.00	\$1,260.00	\$0.00	\$1,260.00		\$1,134.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/31/2017	EDGAR, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	25.43	0.00	25.43	90.0	22.89
09/13/2017	STRIPLING, KERRY	MULTI STRATEGY GRWTH & INC FUND A	0.00	7.23	0.00	7.23	90.0	6.51
09/13/2017	GROSS, ROBERT	MULTI STRATEGY GRWTH & INC FUND A	0.00	23.20	0.00	23.20	90.0	20.88
09/13/2017	SCHLICHTING, LAURA	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.69	0.00	5.69	90.0	5.12
09/13/2017	EDGAR, ROBIN	MULTI STRATEGY GRWTH & INC FUND A	0.00	2.40	0.00	2.40	90.0	2.16
09/13/2017	ENGBRETSON, ROGER	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.69	0.00	5.69	90.0	5.12
09/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.54	0.00	5.54	90.0	4.99
09/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	11.09	0.00	11.09	90.0	9.98
09/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	7.72	0.00	7.72	90.0	6.95
09/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	4.44	0.00	4.44	90.0	4.00
09/13/2017	KONEFES, ALISON	MULTI STRATEGY GRWTH & INC FUND A	0.00	9.26	0.00	9.26	90.0	8.33
09/13/2017	TORNQUIST, SCOTT	MULTI STRATEGY GRWTH & INC FUND A	0.00	15.24	0.00	15.24	90.0	13.72
09/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.39	0.00	5.39	90.0	4.85
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$128.32	\$0.00	\$128.32		\$115.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/06/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
10/06/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
10/06/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
09/28/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
09/28/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
10/02/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
10/05/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/05/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
10/02/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
10/05/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
10/06/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
09/25/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	34.84	0.96	0.00	0.96	90.0	0.86
09/25/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	34.84	0.96	0.00	0.96	90.0	0.86
10/02/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	37.43	1.03	0.00	1.03	90.0	0.93
10/02/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	37.43	1.03	0.00	1.03	90.0	0.93
10/05/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
10/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
09/25/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	45.84	1.26	0.00	1.26	90.0	1.13
09/25/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	27.50	0.76	0.00	0.76	90.0	0.68
10/02/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	69.35	1.91	0.00	1.91	90.0	1.72
10/02/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	41.61	1.14	0.00	1.14	90.0	1.03
10/05/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
10/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
10/06/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
10/05/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
10/02/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
10/05/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
10/06/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
09/25/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.80	0.63	0.00	0.63	90.0	0.57
09/25/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.80	0.63	0.00	0.63	90.0	0.57
09/25/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	87.02	2.39	0.00	2.39	90.0	2.15
09/25/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	43.51	1.20	0.00	1.20	90.0	1.08
09/25/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	63.68	1.75	0.00	1.75	90.0	1.58
09/25/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	38.21	1.05	0.00	1.05	90.0	0.95
09/28/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	2.64	0.00	2.64	90.0	2.38
09/28/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	6.16	0.00	6.16	90.0	5.54
10/02/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.80	0.63	0.00	0.63	90.0	0.57
10/02/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.80	0.63	0.00	0.63	90.0	0.57
10/02/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	87.95	2.42	0.00	2.42	90.0	2.18
10/02/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	43.97	1.21	0.00	1.21	90.0	1.09

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	65.03	1.79	0.00	1.79	90.0	1.61
10/02/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	39.02	1.07	0.00	1.07	90.0	0.96
10/05/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
10/05/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$5,302.43	\$110.42	\$0.00	\$110.42		\$99.41
<u>PRODUCT CATEGORY: STOCKS</u>								
09/28/2017	JOHNSON, MICHAEL	IMMUNOMEDICS INC COM	-13,000.00	50.00	23.50	26.50	90.0	23.85
10/02/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	-29,881.95	50.00	24.25	25.75	90.0	23.18
* STOCKS SUBTOTAL *			\$-42,881.95	\$100.00	\$47.75	\$52.25		\$47.03
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	31.65	0.00	31.65	90.0	28.49
09/18/2017	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	30.27	0.00	30.27	90.0	27.24
09/18/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.21	0.00	2.21	90.0	1.99
09/18/2017	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.33	0.00	2.33	90.0	2.10
09/18/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.41	0.00	2.41	90.0	2.17
09/18/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.49	0.00	2.49	90.0	2.24
09/18/2017	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.91	0.00	12.91	90.0	11.62
09/18/2017	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.85	0.00	8.85	90.0	7.97
09/18/2017	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	8.41	0.00	8.41	90.0	7.57
09/18/2017	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.13	0.00	7.13	90.0	6.42
09/20/2017	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.86	0.00	3.86	90.0	3.47
09/19/2017	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	49.14	0.00	49.14	90.0	44.23
09/19/2017	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	53.14	0.00	53.14	90.0	47.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$214.80	\$0.00	\$214.80		\$193.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2017	EDGAR, VARIOUS	LFG TRAILS	0.00	483.72	0.00	483.72	90.0	435.35
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$483.72	\$0.00	\$483.72		\$435.35
*** BROKER TRANSACTIONS TOTAL ***			\$-16,579.52	\$2,297.26	\$47.75	\$2,249.51		\$2,024.63

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<u>ADJUSTMENTS</u>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
10/15/2017		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-653.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,024.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-653.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,371.63
Year-to-date Gross	\$311,828.18
Year-to-date Earnings	\$278,260.05

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