



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT **September 15, 2017**

DONALD MEREDITH
16921-C Via de Santa Fe
PO Box 5005-81
Rancho Santa Fe, CA 92067

BROKER #: M119 Terminated 06/22/2016
BRANCH: DONALD MEREDITH

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|---------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| / / | LUCERO, LESLIE | FIDELITY ADV GOLD A | 0.00 | 1.29 | 0.00 | 1.29 | 0.0 | 0.00 |
| / / | LUCERO, LESLIE | FIDELITY ADV REAL ESTATE INCOME | 0.00 | 15.40 | 0.00 | 15.40 | 0.0 | 0.00 |
| / / | LINDGREN, LISA | FIDELITY ADV REAL ESTATE INCOME | 0.00 | 5.08 | 0.00 | 5.08 | 0.0 | 0.00 |
| / / | ROTHWEILER, BARBARA | FIDELITY ADV STRATEGIC INCOME A | 0.00 | 1.06 | 0.00 | 1.06 | 0.0 | 0.00 |
| / / | LINDGREN, LISA | FIDELITY ENERGY A | 0.00 | 1.68 | 0.00 | 1.68 | 0.0 | 0.00 |
| / / | LINDGREN, LISA | FIDELITY EQUITY INCOME A | 0.00 | 3.00 | 0.00 | 3.00 | 0.0 | 0.00 |
| 08/09/2017 | WOLF, DIANE | TRANSAMERICA AA CONSERVATIVE C | 0.00 | 102.33 | 0.00 | 102.33 | 0.0 | 0.00 |
| 08/28/2017 | WOLF, DIANE | TRANSAMERICA AA CONSERVATIVE C | 126,109.61 | 22.00 | 23.00 | -1.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$126,109.61 | \$151.84 | \$23.00 | \$128.84 | | \$0.00 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 08/17/2017 | MEREDITH, DONALD | PENNANTPARK FLOATING RATE | 10,002.91 | 22.00 | 22.00 | 0.00 | 0.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | \$10,002.91 | \$22.00 | \$22.00 | \$0.00 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$136,112.52 | \$173.84 | \$45.00 | \$128.84 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$1,755.28 |
| Year-to-date Earnings | \$0.00 |