



Concorde Investment Services, LLC

05/11/2018

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COMMISSION STATEMENT**May 15, 2018****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/07/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
04/11/2018	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	1.98	0.00	1.98	100.0	1.98
05/07/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
05/07/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
05/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
05/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
04/27/2018	PETRIE, MIKE	VERTICAL CAPITAL INCOME FUND A	-1,792.19	22.00	13.00	9.00	100.0	9.00
* MUTUAL FUNDS SUBTOTAL *			\$-1,808.12	\$23.98	\$13.00	\$10.98		\$10.98
<u>PRODUCT CATEGORY: STOCKS</u>								
05/01/2018	CONCORDE, VARIOUS	EDITAS MEDICINE INC COM	3,216.53	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	EDITAS MEDICINE INC COM	3,216.52	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	EDITAS MEDICINE INC COM	2,413.43	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	EDITAS MEDICINE INC COM	-8,846.48	0.00	0.00	0.00	100.0	0.00
05/01/2018	CONCORDE, VARIOUS	INTELLIA THERAPEUTICS INC COM	-10,214.40	0.00	0.00	0.00	100.0	0.00
05/01/2018	CONCORDE, VARIOUS	INTELLIA THERAPEUTICS INC COM	2,042.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	INTELLIA THERAPEUTICS INC COM	2,042.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	INTELLIA THERAPEUTICS INC COM	367.94	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	INTELLIA THERAPEUTICS INC COM	2,042.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	INTELLIA THERAPEUTICS INC COM	3,720.48	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
03/07/2018	CONCORDE IS	ISHARES CORE S&P SMALL CAP ETF	12,171.90	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES CORE S&P SMALL CAP ETF	12,267.06	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES S&P 500 VALUE ETF	11,969.02	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES S&P 500 VALUE ETF	11,828.85	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR BARCLAYS 1-3 YR TREAS BD	15,270.44	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR BARCLAYS 1-3 YR TREAS BD	15,257.86	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,348.87	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,488.98	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR BARCLAYS INTER CR BD FD	56,620.88	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR BARCLAYS INTER CR BD FD	56,552.53	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	20,469.83	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	10,323.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	10,323.04	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR CORE S&P MID-CAP ETF	20,222.47	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	ISHARES TR CORE TOTAL U S BD MKT ET	1,068.60	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	ISHARES TR CORE TOTAL U S BD MKT ET	1,068.60	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR IBOX USD INVT GRADE COR	18,634.40	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR IBOX USD INVT GRADE COR	18,716.26	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR RUSSELL 1000 GROWTH INDE	12,762.98	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR RUSSELL 1000 GROWTH INDE	12,502.49	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR S&P 500 GROWTH ETF	8,472.06	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR S&P 500 GROWTH ETF	8,302.97	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	127,625.94	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	4,422.21	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	4,422.21	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	125,424.61	0.00	0.00	0.00	100.0	0.00
04/27/2018	CONCORDE, VARIOUS	MULESOFT INC CL A	11,219.04	0.00	0.00	0.00	100.0	0.00
04/27/2018	CONCORDE, VARIOUS	MULESOFT INC CL A	-4,452.01	0.00	1.00	-1.00	100.0	-1.00
04/27/2018	CONCORDE, VARIOUS	MULESOFT INC CL A	-6,767.04	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-5,705.70	0.00	0.00	0.00	100.0	0.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-4,845.40	0.00	0.00	0.00	100.0	0.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	2,247.70	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	3,458.00	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,729.00	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,729.00	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	346.00	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-4,755.49	0.00	0.00	0.00	100.0	0.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,729.00	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,729.00	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,297.50	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-3,804.00	0.00	0.00	0.00	100.0	0.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-4,751.59	0.00	0.00	0.00	100.0	0.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,729.00	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,385.60	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,730.80	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,727.86	0.00	1.00	-1.00	100.0	-1.00
05/03/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	3,023.74	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE IS	POWERSHARES ACTIVELY MANAGED EXCHAN	226.59	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	POWERSHARES ACTIVELY MANAGED EXCHAN	226.59	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	253.77	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	253.77	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	POWERSHARES GLOBAL EXCHANGE TRADED	14,752.17	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	POWERSHARES GLOBAL EXCHANGE TRADED	14,769.99	0.00	0.00	0.00	100.0	0.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	1,645.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	1,645.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	1,650.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	825.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	1,650.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	825.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	SANGAMO BIOSCIENCES INC COM	-8,240.00	0.00	0.00	0.00	100.0	0.00
04/27/2018	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	18,821.85	0.00	1.00	-1.00	100.0	-1.00
04/27/2018	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	18,821.85	0.00	1.00	-1.00	100.0	-1.00
04/27/2018	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	37,518.49	0.00	1.00	-1.00	100.0	-1.00
04/27/2018	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	-75,162.16	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	1,887.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	1,887.05	0.00	0.00	0.00	100.0	0.00

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/01/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	-9,167.80	0.00	0.00	0.00	100.0	0.00
05/01/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	918.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	918.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	917.00	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	459.50	0.00	1.00	-1.00	100.0	-1.00
05/01/2018	CONCORDE, VARIOUS	TOCAGEN INC COM	5,955.30	0.00	1.00	-1.00	100.0	-1.00
04/04/2018	CONCORDE IS	VANGUARD CHARLOTTE FDS TOTAL INTL B	164.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	VANGUARD CHARLOTTE FDS TOTAL INTL B	164.04	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	VANGUARD INDEX FDS VANGUARD REIT ET	8,357.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	VANGUARD INDEX FDS VANGUARD REIT ET	8,357.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	5,926.75	0.00	0.00	0.00	100.0	0.00
04/04/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	5,926.75	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FDS MSCI	24,696.64	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FDS MSCI	24,465.24	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	VANGUARD SCOTTSDALE FUNDS	18,612.48	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	VANGUARD SCOTTSDALE FUNDS	18,948.46	0.00	0.00	0.00	100.0	0.00
03/07/2018	CONCORDE IS	VANGUARD TAX-MANAGED INTL FD FTSE D	47,079.52	0.00	0.00	0.00	100.0	0.00
04/13/2018	CONCORDE IS	VANGUARD TAX-MANAGED INTL FD FTSE D	47,689.11	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	40.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	-40.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	40.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	40.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	93,750.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	-93,750.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	93,710.00	0.00	0.00	0.00	100.0	0.00
04/20/2018	CONCORDE IS	WTS CIM COML TR CORP	100,000.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$1,049,973.66	\$0.00	\$37.00	\$-37.00		\$-37.00
<u>PRODUCT CATEGORY: BONDS</u>								
04/17/2018	CONCORDE, VARIOUS	DELTA AIR LINES INC DEL	18,432.05	0.00	0.00	0.00	100.0	0.00
04/17/2018	CONCORDE, VARIOUS	DELTA AIR LINES INC DEL	18,432.05	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *			\$36,864.10	\$0.00	\$0.00	\$0.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$1,085,029.64	\$23.98	\$50.00	\$-26.02		\$-26.02

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/25/2018	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	5,332.44	1.13	0.00	1.13	100.0	1.13
04/25/2018	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	6,883.62	1.46	0.00	1.46	100.0	1.46
04/25/2018	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND A	34,688.68	7.37	0.00	7.37	100.0	7.37
* INTERVAL FUNDS SUBTOTAL *			\$46,904.74	\$9.96	\$0.00	\$9.96		\$9.96
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/30/2018	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
05/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
05/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
05/02/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
05/02/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
05/01/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
04/30/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
05/02/2018	CHIECHA, MOLLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	100.0	2.50
* 529 PLANS SUBTOTAL *			\$775.00	\$31.94	\$0.00	\$31.94		\$31.94
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
05/01/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
05/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
05/01/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
05/01/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
05/01/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
05/01/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
05/01/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
05/01/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
04/25/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
04/25/2018	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
05/01/2018	WHEELER, PAUL	AM FUNDS ICA C	60.00	0.60	0.00	0.60	100.0	0.60
05/01/2018	LOUDERMILK, SCOTT	AM FUNDS ICA C	320.00	3.20	0.00	3.20	100.0	3.20
05/01/2018	LOCKHART, JOSEPH	AM FUNDS ICA C	480.00	4.80	0.00	4.80	100.0	4.80
04/25/2018	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	7,000.00	210.00	0.00	210.00	100.0	210.00
* MUTUAL FUNDS SUBTOTAL *			\$9,535.00	\$276.80	\$0.00	\$276.80		\$276.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,478.28	3.22	0.00	3.22	100.0	3.22
04/30/2018	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	19,156.24	15.96	0.00	15.96	100.0	15.96
04/27/2018	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	807.65	0.16	0.00	0.16	100.0	0.16
04/27/2018	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,533.36	2.20	0.00	2.20	100.0	2.20
04/27/2018	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,163.03	1.09	0.00	1.09	100.0	1.09
* VARIABLE ANNUITIES SUBTOTAL *			\$51,138.56	\$22.63	\$0.00	\$22.63		\$22.63
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/25/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	22.24	0.00	22.24	100.0	22.24
04/30/2018	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
05/04/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	23.13	0.00	23.13	100.0	23.13
04/27/2018	FOELS, BRUCE	TRANSAMERICA LIFE	41.75	1.04	0.00	1.04	100.0	1.04
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$265.58	\$50.89	\$0.00	\$50.89		\$50.89
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2018	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.01	0.00	8.01	100.0	8.01
04/30/2018	SIDLOROBEG	DELAWARE VALUE A	0.00	34.78	0.00	34.78	100.0	34.78
04/19/2018	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
04/19/2018	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.63	0.00	1.63	100.0	1.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$44.43	\$0.00	\$44.43		\$44.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2018	MONROE, JOHN	METLIFE TRAILS	39,026.03	9.76	0.00	9.76	100.0	9.76
04/30/2018	LUND, VARIOUS	ADV CENTURY	0.00	574.16	0.00	574.16	100.0	574.16
04/30/2018	JONES, GREGORY	ING LANDMARK	69,630.87	174.08	0.00	174.08	100.0	174.08
04/30/2018	BROPHY, CHARLES	ING LANDMARK	285,319.89	713.30	0.00	713.30	100.0	713.30
04/16/2018	DUFOUR, TERENCE	PAC LIFE INNOVATIVE SELECT	0.00	60.21	0.00	60.21	100.0	60.21
04/16/2018	DUFOUR, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	67.15	0.00	67.15	100.0	67.15
04/26/2018	MACKLER, DANIEL	PAC LIFE PORTFOLIOS	0.00	77.58	0.00	77.58	100.0	77.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$393,976.79	\$1,676.24	\$0.00	\$1,676.24		\$1,676.24
*** DIRECT TRANSACTIONS TOTAL ***			\$502,595.67	\$2,112.89	\$0.00	\$2,112.89		\$2,112.89

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JOSEPH, M138 MICHALETZ #10</u>								
/ /	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	0.25	0.00	0.25	0.0	0.25
/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.14	0.00	0.14	0.0	0.14
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.23	0.00	0.23	0.0	0.23
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.02	0.00	2.02	0.0	2.02
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GRWTH PORT CL A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.18	0.00	3.18	0.0	3.18
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT CL A	125.00	0.01	0.00	0.01	0.0	0.01
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	125.00	3.53	0.00	3.53	0.0	3.53
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT A	0.00	0.01	0.00	0.01	0.0	0.01
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.34	0.00	3.34	0.0	3.34
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	125.00	15.15	0.00	15.15	0.0	15.15
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	125.00	0.71	0.00	0.71	0.0	0.71
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	125.00	2.08	0.00	2.08	0.0	2.08
05/01/2018	DORAN, PATRICK	FA 529 PORT 2019 C	125.00	2.23	0.00	2.23	0.0	2.23
/ /	LORA, MARCOS	FA 529 PORT 2022 C	125.00	6.95	0.00	6.95	0.0	6.95
/ /	LORA, CATALINA	FA 529 PORT 2022 C	125.00	6.95	0.00	6.95	0.0	6.95
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	125.00	2.32	0.00	2.32	0.0	2.32
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.32	0.00	2.32	0.0	2.32
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	125.00	6.95	0.00	6.95	0.0	6.95
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	15.71	0.00	15.71	0.0	15.71
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	125.00	9.33	0.00	9.33	0.0	9.33
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	125.00	4.99	0.00	4.99	0.0	4.99
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	125.00	2.68	0.00	2.68	0.0	2.68
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	125.00	4.06	0.00	4.06	0.0	4.06
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	125.00	6.11	0.00	6.11	0.0	6.11
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	125.00	1.30	0.00	1.30	0.0	1.30
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	125.00	7.03	0.00	7.03	0.0	7.03
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.56	0.00	1.56	0.0	1.56
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	125.00	0.84	0.00	0.84	0.0	0.84
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	125.00	3.86	0.00	3.86	0.0	3.86
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	125.00	0.76	0.00	0.76	0.0	0.76
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	9.91	0.00	9.91	0.0	9.91
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.57	0.00	1.57	0.0	1.57

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #10 </u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.57	0.00	1.57	0.0	1.57
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	6.17	0.00	6.17	0.0	6.17
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.39	0.00	2.39	0.0	2.39
/ /	MACK, NEIL	FA 529 PORT 2025 C	250.00	11.81	0.00	11.81	0.0	11.81
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
05/01/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	11.81	0.00	11.81	0.0	11.81
05/01/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.74	0.00	3.74	0.0	3.74
05/01/2018	MACK, NEIL	FA 529 PORT 2028 A	50.00	1.24	0.00	1.24	0.0	1.24
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	50.00	13.37	0.00	13.37	0.0	13.37
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	12.19	0.00	12.19	0.0	12.19
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.69	0.00	0.69	0.0	0.69
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.26	0.00	3.26	0.0	3.26
/ /	SOIORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.80	0.00	1.80	0.0	1.80
/ /	SOIORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.25	0.00	6.25	0.0	6.25
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.96	0.00	6.96	0.0	6.96
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	5.94	0.00	5.94	0.0	5.94
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
04/30/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
* DANIEL COLLINS SUBTOTAL *			\$4,360.00	\$232.90	\$0.00	\$232.90		\$232.90

 BROKER: ROBERT CHESTER #21

03/31/2018	BECHTEL, MICHAEL	METLIFE TRAILS	69,237.96	43.27	0.00	43.27	0.0	43.27
03/31/2018	MYERS, DAVID	METLIFE TRAILS	55,208.57	13.80	0.00	13.80	0.0	13.80
03/31/2018	FRAZIER, BETTY	METLIFE TRAILS	33,999.21	8.50	0.00	8.50	0.0	8.50
03/31/2018	BARBARITA, JOSEPH	METLIFE TRAILS	24,715.38	6.18	0.00	6.18	0.0	6.18
03/31/2018	BARBARITA, KATHLEEN	METLIFE TRAILS	15,624.01	3.91	0.00	3.91	0.0	3.91
03/31/2018	MONROE, CAROL	METLIFE TRAILS	46,617.66	11.65	0.00	11.65	0.0	11.65
03/31/2018	KELLEY, TERESE	METLIFE TRAILS	524,853.09	328.03	0.00	328.03	0.0	328.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT CHESTER #21 </u>								
03/31/2018	KELLEY, DENNIS	METLIFE TRAILS	300,570.17	187.86	0.00	187.86	0.0	187.86
03/31/2018	EVERSON, ROBERT	METLIFE TRAILS	6,588.05	2.06	0.00	2.06	0.0	2.06
04/19/2018	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.43	0.00	0.43	0.0	0.43
04/19/2018	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.33	0.00	1.33	0.0	1.33
04/16/2018	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.22	0.00	0.22	0.0	0.22
* ROBERT CHESTER SUBTOTAL *			\$1,077,414.10	\$607.24	\$0.00	\$607.24		\$607.24
<u> BROKER: EDWARD MCMANUS #25 </u>								
04/17/2018	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.33	0.00	1.33	0.0	1.33
04/17/2018	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.30	0.00	2.30	0.0	2.30
04/18/2018	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.12	0.00	1.12	0.0	1.12
* EDWARD MCMANUS SUBTOTAL *			\$0.00	\$4.75	\$0.00	\$4.75		\$4.75
<u> BROKER: ERIC HORNYAK #29 </u>								
04/10/2018	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.49	0.00	27.49	0.0	27.49
04/10/2018	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.47	0.00	0.47	0.0	0.47
04/10/2018	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.43	0.00	0.43	0.0	0.43
04/10/2018	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	0.0	0.37
04/10/2018	GELOW, ASHLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	0.0	0.23
04/10/2018	GELOW, MARSHALL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	0.0	0.22
04/10/2018	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.03	0.00	5.03	0.0	5.03
04/10/2018	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	0.0	0.79
04/10/2018	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.28	0.00	14.28	0.0	14.28
04/10/2018	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.41	0.00	1.41	0.0	1.41
04/05/2018	CORCORAN, GAIL	DISNEY WALT CO DISNEY COM	2,547.50	22.00	14.00	8.00	0.0	8.00
04/10/2018	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	27.91	0.00	27.91	0.0	27.91
04/10/2018	JOHNS, JOHN	INVESCO HIGH YIELD MUNICIPAL A	0.00	6.14	0.00	6.14	0.0	6.14
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.57	0.00	0.57	0.0	0.57
04/10/2018	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.80	0.00	0.80	0.0	0.80
04/10/2018	JOHNS, JOHN	PIMCO TOTAL RETURN C	0.00	21.59	0.00	21.59	0.0	21.59
04/10/2018	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.98	0.00	28.98	0.0	28.98
04/10/2018	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.18	0.00	9.18	0.0	9.18
04/10/2018	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.86	0.00	21.86	0.0	21.86
* ERIC HORNYAK SUBTOTAL *			\$2,547.50	\$189.75	\$14.00	\$175.75		\$175.75

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS BOAKS #33</u>								
04/30/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
04/13/2018	BORDERS, BARBARA	DWSCUDDER DEUTSCHE GNMA FD A	0.00	2.12	0.00	2.12	0.0	2.12
04/30/2018	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.86	0.00	8.86	0.0	8.86
04/30/2018	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.17	0.00	1.17	0.0	1.17
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$12.45	\$0.00	\$12.45		\$12.45
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
04/10/2018	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	36.02	0.00	36.02	0.0	36.02
04/10/2018	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.61	0.00	13.61	0.0	13.61
04/10/2018	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	21.47	0.00	21.47	0.0	21.47
04/10/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.03	0.00	12.03	0.0	12.03
04/10/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.96	0.00	6.96	0.0	6.96
04/10/2018	ODOM, EDDIE	AM FUNDS ICA A	0.00	1.39	0.00	1.39	0.0	1.39
04/10/2018	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	46.11	0.00	46.11	0.0	46.11
04/10/2018	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.75	0.00	5.75	0.0	5.75
04/10/2018	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.57	0.00	3.57	0.0	3.57
04/10/2018	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.56	0.00	4.56	0.0	4.56
04/26/2018	ROGERS, PAUL	CORETEC GROUP INC (THE)	7.76	0.00	14.00	-14.00	0.0	-14.00
04/03/2018	ROGERS, PAUL	CYTOSORBENTS CORPORATION	27.80	0.00	14.00	-14.00	0.0	-14.00
04/26/2018	ROGERS, PAUL	CYTOSORBENTS CORPORATION	3,095.29	100.00	14.00	86.00	0.0	86.00
04/10/2018	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.51	0.00	2.51	0.0	2.51
04/10/2018	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	2.02	0.00	2.02	0.0	2.02
04/10/2018	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.51	0.00	1.51	0.0	1.51
04/10/2018	WATERMAN, SHARON	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	8.17	0.00	8.17	0.0	8.17
04/13/2018	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	15.07	0.00	15.07	0.0	15.07
04/13/2018	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	21.55	0.00	21.55	0.0	21.55
04/13/2018	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.76	0.00	5.76	0.0	5.76
04/13/2018	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	15.49	0.00	15.49	0.0	15.49
04/13/2018	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.24	0.00	6.24	0.0	6.24
04/13/2018	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.57	0.00	2.57	0.0	2.57
04/13/2018	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	11.96	0.00	11.96	0.0	11.96
04/10/2018	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.13	0.00	0.13	0.0	0.13
04/10/2018	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.10	0.00	0.10	0.0	0.10
04/10/2018	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.08	0.00	0.08	0.0	0.08

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
04/10/2018	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	5.02	0.00	5.02	0.0	5.02
04/10/2018	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.25	0.00	4.25	0.0	4.25
04/25/2018	LEIGH, WILLIAM	FORD MOTOR CO DEL COM PAR	4,171.50	150.00	14.00	136.00	0.0	136.00
04/30/2018	BERGIN, MARGARET	FRANKLIN DYNATECH A	0.00	91.94	0.00	91.94	0.0	91.94
04/30/2018	SULEK, GLENN	FRANKLIN EQUITY INCOME A	0.00	4.95	0.00	4.95	0.0	4.95
04/30/2018	PARMELEE, LORI	FRANKLIN HIGH INCOME A	0.00	14.56	0.00	14.56	0.0	14.56
04/30/2018	SULEK, GLENN	FRANKLIN HIGH INCOME A	0.00	6.08	0.00	6.08	0.0	6.08
04/30/2018	FIGIELLO, SUSAN	FRANKLIN HIGH INCOME C	0.00	79.61	0.00	79.61	0.0	79.61
04/30/2018	DANGELO, ALEXCYIA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	2.47	0.00	2.47	0.0	2.47
04/30/2018	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.43	0.00	13.43	0.0	13.43
04/30/2018	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.50	0.00	13.50	0.0	13.50
04/30/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	15.58	0.00	15.58	0.0	15.58
04/30/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	18.21	0.00	18.21	0.0	18.21
04/30/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	18.34	0.00	18.34	0.0	18.34
04/30/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	15.58	0.00	15.58	0.0	15.58
04/30/2018	LA FOREST, MARGARET	FRANKLIN INCOME A	0.00	1.07	0.00	1.07	0.0	1.07
04/30/2018	BROWN, SYLVESTER	FRANKLIN INCOME C	0.00	13.89	0.00	13.89	0.0	13.89
04/30/2018	JAWORSKI, MITCHILL	FRANKLIN INCOME C	0.00	2.69	0.00	2.69	0.0	2.69
04/30/2018	PRICE, BONNIE	FRANKLIN INCOME C	0.00	79.90	0.00	79.90	0.0	79.90
04/10/2018	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.83	0.00	0.83	0.0	0.83
04/30/2018	DRAKE, AILEEN	FRANKLIN MI TAX FR INCOME A	0.00	0.11	0.00	0.11	0.0	0.11
04/30/2018	SILVER LAKE RD, 7141	FRANKLIN MI TAX FR INCOME A	0.00	5.62	0.00	5.62	0.0	5.62
04/30/2018	ELIZABETH A GEDIGIAN, AND	FRANKLIN MI TAX FR INCOME A	0.00	3.39	0.00	3.39	0.0	3.39
04/30/2018	PARMELEE, LORI	FRANKLIN MUTUAL SHARES A	0.00	21.97	0.00	21.97	0.0	21.97
04/30/2018	KARGULA, ANGELA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.47	0.00	1.47	0.0	1.47
04/30/2018	KARGULA, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.47	0.00	1.47	0.0	1.47
04/30/2018	MELDRUM, JOSEPH	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.55	0.00	1.55	0.0	1.55
04/30/2018	BERGIN, MARGARET	FRANKLIN UTILITIES A	0.00	1.96	0.00	1.96	0.0	1.96
04/30/2018	HERRADA, ROBERT	FRANKLIN UTILITIES C	0.00	12.11	0.00	12.11	0.0	12.11
04/30/2018	PRIGEL, PAUL	FRK FED LMT-TRM TAX-FREE INC FND -	0.00	2.35	0.00	2.35	0.0	2.35
04/30/2018	HERRADA, ROBERT	FT MUTUAL SHARES FUND C	0.00	19.50	0.00	19.50	0.0	19.50
04/26/2018	ROGERS, PAUL	GROUPON INC	1,243.68	40.00	14.00	26.00	0.0	26.00
04/10/2018	WATERMAN, SHARON	INVESCO CHARTER FUND A	0.00	7.80	0.00	7.80	0.0	7.80

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
04/10/2018	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	3.06	0.00	3.06	0.0	3.06
04/30/2018	DAMRON, ROBERT	JNL PERSPECTIVE	0.00	60.92	0.00	60.92	0.0	60.92
04/30/2018	JOHNSON, WILLIAM	JNL PERSPECTIVE	0.00	0.37	0.00	0.37	0.0	0.37
04/30/2018	MITCHELL, DONALD	JNL PERSPECTIVE	0.00	1.84	0.00	1.84	0.0	1.84
04/30/2018	ROHLOFF, CARL	JNL PERSPECTIVE	0.00	3.35	0.00	3.35	0.0	3.35
04/30/2018	SCHNELL, JAMES	JNL PERSPECTIVE	0.00	2.13	0.00	2.13	0.0	2.13
04/30/2018	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	0.00	10.05	0.00	10.05	0.0	10.05
04/30/2018	RYAN, NANCY	JNL PERSPECTIVE L	0.00	51.24	0.00	51.24	0.0	51.24
04/30/2018	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	0.00	40.90	0.00	40.90	0.0	40.90
04/30/2018	SNYDER, MICHAEL C	JNL PERSPECTIVE L	0.00	31.96	0.00	31.96	0.0	31.96
04/10/2018	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.60	0.00	3.60	0.0	3.60
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	25.41	0.00	25.41	0.0	25.41
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	8.47	0.00	8.47	0.0	8.47
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	25.22	0.00	25.22	0.0	25.22
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	8.41	0.00	8.41	0.0	8.41
04/10/2018	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.97	0.00	0.97	0.0	0.97
04/10/2018	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.92	0.00	0.92	0.0	0.92
04/10/2018	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.75	0.00	0.75	0.0	0.75
04/10/2018	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.29	0.00	1.29	0.0	1.29
04/10/2018	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	0.0	0.09
04/10/2018	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.28	0.00	0.28	0.0	0.28
04/10/2018	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.44	0.00	0.44	0.0	0.44
04/10/2018	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.76	0.00	7.76	0.0	7.76
04/10/2018	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.66	0.00	5.66	0.0	5.66
04/05/2018	REID, DAVID	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	6.29	0.00	6.29	0.0	6.29
04/05/2018	ANTON, CAROLE	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	8.56	0.00	8.56	0.0	8.56
04/10/2018	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	7.11	0.00	7.11	0.0	7.11
04/10/2018	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.71	0.00	1.71	0.0	1.71
04/30/2018	ODOM, EDDIE	TEMPLETON GLOBAL BOND C	0.00	59.82	0.00	59.82	0.0	59.82
04/30/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	4.03	0.00	4.03	0.0	4.03
04/30/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.65	0.00	5.65	0.0	5.65
04/30/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.65	0.00	5.65	0.0	5.65
04/30/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	4.03	0.00	4.03	0.0	4.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
04/30/2018	WELSCH JR, ROBERT	TEMPLETON GROWTH A	0.00	1.13	0.00	1.13	0.0	1.13
04/30/2018	HIGGINS, FRAN	TEMPLETON GROWTH C	0.00	39.04	0.00	39.04	0.0	39.04
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	2.63	0.00	2.63	0.0	2.63
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	7.89	0.00	7.89	0.0	7.89
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	2.63	0.00	2.63	0.0	2.63
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	7.89	0.00	7.89	0.0	7.89
05/02/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.26	0.00	9.26	0.0	9.26
05/02/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	30.13	0.00	30.13	0.0	30.13
* BARTHOLOMEW MACKLER SUBTOTAL *			\$8,546.03	\$1,514.37	\$70.00	\$1,444.37		\$1,444.37
<u> BROKER: BRUCE BAXTER #38 </u>								
05/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
04/27/2018	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,451.04	5.79	0.00	5.79	0.0	5.79
* BRUCE BAXTER SUBTOTAL *			\$15,551.04	\$10.79	\$0.00	\$10.79		\$10.79
<u> BROKER: MELANIE DICENSO #43 </u>								
04/19/2018	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	6.09	0.00	6.09	0.0	6.09
04/19/2018	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.96	0.00	3.96	0.0	3.96
04/13/2018	VANDERKHOVE, HANNAH	DWS DEUTSCHE EQUITY DIVIDEND FUND-A	0.00	0.53	0.00	0.53	0.0	0.53
04/13/2018	NASH, SUZANNE	DWS GLOBAL INCOME BUILDER A	0.00	0.04	0.00	0.04	0.0	0.04
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.10	0.00	1.10	0.0	1.10
04/25/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
04/27/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	20,819.68	4.34	0.00	4.34	0.0	4.34
* MELANIE DICENSO SUBTOTAL *			\$20,869.68	\$18.06	\$0.00	\$18.06		\$18.06
<u> BROKER: JOHN BEAN #45 </u>								
04/19/2018	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.36	0.00	0.36	0.0	0.36
04/19/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
04/19/2018	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
04/19/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
04/19/2018	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.28	0.00	0.28	0.0	0.28
04/19/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
04/19/2018	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
04/19/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
04/19/2018	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.92	0.00	0.92	0.0	0.92

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JOHN BEAN #45 </u>								
04/19/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	0.0	0.19
04/19/2018	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	0.0	0.19
04/19/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	0.0	0.19
04/20/2018	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.25	0.00	0.25	0.0	0.25
04/20/2018	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.13	0.00	0.13	0.0	0.13
04/20/2018	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.18	0.00	0.18	0.0	0.18
04/12/2018	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.77	0.00	0.77	0.0	0.77
04/12/2018	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.65	0.00	0.65	0.0	0.65
04/12/2018	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.47	0.00	0.47	0.0	0.47
04/12/2018	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.43	0.00	0.43	0.0	0.43
04/12/2018	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.09	0.00	0.09	0.0	0.09
04/12/2018	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.35	0.00	0.35	0.0	0.35
04/12/2018	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.17	0.00	0.17	0.0	0.17
04/19/2018	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
04/16/2018	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.07	0.00	1.07	0.0	1.07
04/16/2018	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	5.30	0.00	5.30	0.0	5.30
* JOHN BEAN SUBTOTAL *			\$0.00	\$12.46	\$0.00	\$12.46		\$12.46
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
04/19/2018	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	9.24	0.00	9.24	0.0	9.24
04/27/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.42	0.00	2.42	0.0	2.42
04/19/2018	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.26	0.00	2.26	0.0	2.26
04/23/2018	LEDWITZ TRUST, MARTIN & ELIZAB	JAMES ALPHA GLOBAL REAL ESTATE FUND	0.00	1.00	0.00	1.00	0.0	1.00
04/20/2018	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	8.61	0.00	8.61	0.0	8.61
04/30/2018	ZAX TRUST	JNL PERSPECTIVE	0.00	0.08	0.00	0.08	0.0	0.08
04/30/2018	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	0.00	3.71	0.00	3.71	0.0	3.71
04/30/2018	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	0.00	69.03	0.00	69.03	0.0	69.03
04/30/2018	WHITE, LINDA	JNL PERSPECTIVE L	0.00	67.09	0.00	67.09	0.0	67.09
04/30/2018	ENGSTROM, WAYNE	JNL PERSPECTIVE L	0.00	28.44	0.00	28.44	0.0	28.44
04/30/2018	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	0.00	12.47	0.00	12.47	0.0	12.47
04/16/2018	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	11.31	0.00	11.31	0.0	11.31
04/23/2018	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.49	0.00	5.49	0.0	5.49
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$221.15	\$0.00	\$221.15		\$221.15

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JIM LUND #60								
04/30/2018	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.80	0.00	31.80	0.0	31.80
04/30/2018	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.23	0.00	41.23	0.0	41.23
04/30/2018	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	65.47	0.00	65.47	0.0	65.47
04/30/2018	LUND, JIM	FRANKLIN INCOME A	0.00	4.72	0.00	4.72	0.0	4.72
04/30/2018	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.86	0.00	0.86	0.0	0.86
04/30/2018	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.48	0.00	31.48	0.0	31.48
04/30/2018	LUND, ANDREA	FRANKLIN INCOME A	0.00	193.88	0.00	193.88	0.0	193.88
04/30/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.64	0.00	2.64	0.0	2.64
04/30/2018	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.27
04/30/2018	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.42
04/30/2018	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	51.64	0.00	51.64	0.0	51.64
04/30/2018	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.10	0.00	23.10	0.0	23.10
04/30/2018	HO, PAULINA	FRANKLIN INCOME C	0.00	69.65	0.00	69.65	0.0	69.65
04/30/2018	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	7.60	0.00	7.60	0.0	7.60
04/30/2018	STARK, TONI	FRANKLIN INCOME C	0.00	27.21	0.00	27.21	0.0	27.21
04/30/2018	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.75	0.00	3.75	0.0	3.75
04/30/2018	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.45	0.00	1.45	0.0	1.45
04/30/2018	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.52	0.00	2.52	0.0	2.52
04/30/2018	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.96	0.00	4.96	0.0	4.96
04/30/2018	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.62	0.00	22.62	0.0	22.62
04/30/2018	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.76	0.00	26.76	0.0	26.76
04/30/2018	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	83.13	0.00	83.13	0.0	83.13
04/30/2018	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.91	0.00	0.91	0.0	0.91
04/30/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	107.68	0.00	107.68	0.0	107.68
04/30/2018	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	66.72	0.00	66.72	0.0	66.72
05/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
04/30/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.64	0.00	20.64	0.0	20.64
04/30/2018	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.57	0.00	5.57	0.0	5.57
04/30/2018	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.12	0.00	4.12	0.0	4.12
04/30/2018	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.79	0.00	20.79	0.0	20.79
04/30/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	34.20	0.00	34.20	0.0	34.20
04/30/2018	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.32	0.00	1.32	0.0	1.32
* JIM LUND SUBTOTAL *			\$200.00	\$961.11	\$0.00	\$961.11		\$961.11

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
04/30/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	0.00	69.48	0.00	69.48	0.0	69.48
04/30/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	0.00	89.36	0.00	89.36	0.0	89.36
		* JAMES PANOS SUBTOTAL *	\$0.00	\$158.84	\$0.00	\$158.84		\$158.84
<u>BROKER: RENE CASTRO #92</u>								
04/30/2018	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	32.64	0.00	32.64	0.0	32.64
		* RENE CASTRO SUBTOTAL *	\$0.00	\$32.64	\$0.00	\$32.64		\$32.64
<u>BROKER: STEVEN FRUEH #99</u>								
04/30/2018	FOWLER, ROBERT	FT FOUNDING FDS ALLOC C	0.00	43.47	0.00	43.47	0.0	43.47
		* STEVEN FRUEH SUBTOTAL *	\$0.00	\$43.47	\$0.00	\$43.47		\$43.47
<u>BROKER: JAMES AULENTI #101</u>								
04/30/2018	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	89.28	0.00	89.28	0.0	89.28
		* JAMES AULENTI SUBTOTAL *	\$0.00	\$89.28	\$0.00	\$89.28		\$89.28
<u>BROKER: DANIEL COLLINS #106</u>								
04/17/2018	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.77	0.00	4.77	0.0	4.77
04/17/2018	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.63	0.00	11.63	0.0	11.63
04/17/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.82	0.00	5.82	0.0	5.82
04/17/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.31	0.00	2.31	0.0	2.31
04/19/2018	JESTER, CATHERINE	AM FUNDS ICA A	0.00	6.38	0.00	6.38	0.0	6.38
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.11	0.00	0.11	0.0	0.11
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.11	0.00	0.11	0.0	0.11
04/23/2018	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.83	0.00	3.83	0.0	3.83
04/12/2018	BEBEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.21	0.00	4.21	0.0	4.21
04/30/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	2.22	0.00	2.22	0.0	2.22
04/30/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	3.09	0.00	3.09	0.0	3.09
04/30/2018	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.75	0.00	0.75	0.0	0.75
04/30/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
04/30/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	2.16	0.00	2.16	0.0	2.16
04/30/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	2.90	0.00	2.90	0.0	2.90
04/30/2018	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.71	0.00	0.71	0.0	0.71
04/30/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
04/30/2018	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.67	0.00	0.67	0.0	0.67
04/30/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	2.12	0.00	2.12	0.0	2.12
04/30/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	2.81	0.00	2.81	0.0	2.81
04/30/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	1.88	0.00	1.88	0.0	1.88
04/30/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	2.73	0.00	2.73	0.0	2.73
04/30/2018	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.62	0.00	0.62	0.0	0.62
04/30/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	70,760.86	11.79	0.00	11.79	0.0	11.79
04/30/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	4,219.86	0.70	0.00	0.70	0.0	0.70
/ /	MORRISON, ISABELLA	JH 529 COLLEGE PORT A	0.00	0.11	0.00	0.11	0.0	0.11
/ /	MORRISON, ALEXANDRA	JH 529 COLLEGE PORT A	0.00	0.11	0.00	0.11	0.0	0.11
04/16/2018	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.69	0.00	2.69	0.0	2.69
04/20/2018	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	2.00	0.00	2.00	0.0	2.00
04/23/2018	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.95	0.00	0.95	0.0	0.95
04/11/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.73	0.00	2.73	0.0	2.73
* DANIEL COLLINS SUBTOTAL *			\$75,310.72	\$99.41	\$0.00	\$99.41		\$99.41
<u> BROKER: G118 GRECO #110 </u>								
04/10/2018	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.25	0.00	4.25	0.0	4.25
04/10/2018	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	7.18	0.00	7.18	0.0	7.18
04/10/2018	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	7.18	0.00	7.18	0.0	7.18
04/10/2018	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	8.13	0.00	8.13	0.0	8.13
04/10/2018	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.12	0.00	5.12	0.0	5.12
04/10/2018	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	0.0	0.15
04/10/2018	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.77	0.00	5.77	0.0	5.77
04/10/2018	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	3.30	0.00	3.30	0.0	3.30

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: G118 GRECO #110</u>								
04/10/2018	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	17.42	0.00	17.42	0.0	17.42
04/10/2018	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	7.69	0.00	7.69	0.0	7.69
04/10/2018	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	39.13	0.00	39.13	0.0	39.13
04/10/2018	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	13.35	0.00	13.35	0.0	13.35
* G118 GRECO SUBTOTAL *			\$0.00	\$118.67	\$0.00	\$118.67		\$118.67
<u>BROKER: ERNEST DALE, A122 ANDERSON #119</u>								
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.93	0.00	0.93	0.0	0.93
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.17	0.00	0.17	0.0	0.17
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.20	0.00	0.20	0.0	0.20
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.93	0.00	0.93	0.0	0.93
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.17	0.00	0.17	0.0	0.17
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.21	0.00	0.21	0.0	0.21
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	2.39	0.00	2.39	0.0	2.39
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.48	0.00	0.48	0.0	0.48
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.59	0.00	0.59	0.0	0.59
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	2.24	0.00	2.24	0.0	2.24
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.40	0.00	0.40	0.0	0.40
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.52	0.00	0.52	0.0	0.52
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	2.36	0.00	2.36	0.0	2.36
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.52	0.00	0.52	0.0	0.52
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.66	0.00	0.66	0.0	0.66
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	2.43	0.00	2.43	0.0	2.43
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.55	0.00	0.55	0.0	0.55
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.68	0.00	0.68	0.0	0.68
* ERNEST DALE, A122 ANDERSON SUBTOTAL *			\$0.00	\$16.43	\$0.00	\$16.43		\$16.43
<u>BROKER: JAY MCCLELLAN #120</u>								
04/16/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.81	0.00	2.81	0.0	2.81
04/30/2018	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	9.05	0.00	9.05	0.0	9.05
04/30/2018	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	9.68	0.00	9.68	0.0	9.68
04/30/2018	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	7.22	0.00	7.22	0.0	7.22
04/30/2018	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.55	0.00	2.55	0.0	2.55

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAY MCCLELLAN #120 </u>								
04/30/2018	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	6.62	0.00	6.62	0.0	6.62
04/30/2018	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	8.42	0.00	8.42	0.0	8.42
04/30/2018	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	3.12	0.00	3.12	0.0	3.12
04/30/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.44	0.00	7.44	0.0	7.44
04/30/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	11.35	0.00	11.35	0.0	11.35
04/30/2018	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.91	0.00	1.91	0.0	1.91
04/30/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.55	0.00	7.55	0.0	7.55
04/30/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.51	0.00	7.51	0.0	7.51
04/18/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.11	0.00	3.11	0.0	3.11
04/18/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.08	0.00	3.08	0.0	3.08
04/18/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.34	0.00	2.34	0.0	2.34
04/18/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.58	0.00	3.58	0.0	3.58
04/18/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.91	0.00	9.91	0.0	9.91
04/30/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,964.85	6.65	0.00	6.65	0.0	6.65
04/17/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.79	0.00	0.79	0.0	0.79
04/17/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.22	0.00	0.22	0.0	0.22
04/17/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.66	0.00	0.66	0.0	0.66
04/18/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.26	0.00	2.26	0.0	2.26
04/18/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.98	0.00	2.98	0.0	2.98
04/18/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.38	0.00	3.38	0.0	3.38
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.50	0.00	1.50	0.0	1.50
04/30/2018	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.93	0.00	1.93	0.0	1.93
04/30/2018	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.98	0.00	4.98	0.0	4.98
04/30/2018	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	4.31	0.00	4.31	0.0	4.31
04/30/2018	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.84	0.00	5.84	0.0	5.84
04/30/2018	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.45	0.00	4.45	0.0	4.45
04/30/2018	CURTIS, VIRGINIA	TEMPLETON GROWTH A	0.00	0.09	0.00	0.09	0.0	0.09
04/30/2018	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.29	0.00	5.29	0.0	5.29
04/30/2018	KAISER, CHARLES	TEMPLETON WORLD A	0.00	7.88	0.00	7.88	0.0	7.88
04/30/2018	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	8.12	0.00	8.12	0.0	8.12
 * JAY MCCLELLAN SUBTOTAL * 			\$20,014.85	\$171.08	\$0.00	\$171.08		\$171.08

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: TIMOTHY KULHANEK #145 </u>								
04/20/2018	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.93	0.00	0.93	0.0	0.93
04/20/2018	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.33	0.00	0.33	0.0	0.33
04/19/2018	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.38	0.00	0.38	0.0	0.38
04/19/2018	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.36	0.00	0.36	0.0	0.36
04/30/2018	JOHNSON, BLAKE	TEMPLETON FOREIGN FUND A	0.00	0.40	0.00	0.40	0.0	0.40
04/19/2018	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.35	0.00	0.35	0.0	0.35
04/30/2018	JOHNSON, BLAKE	TEMPLETON WORLD A	0.00	0.49	0.00	0.49	0.0	0.49
* TIMOTHY KULHANEK SUBTOTAL *			\$0.00	\$3.24	\$0.00	\$3.24		\$3.24
<u> BROKER: BRUCE CARNICK #175 </u>								
04/30/2018	WANG, LIN LIN	TEMPLETON DEVELOPING MKTS A	0.00	7.66	0.00	7.66	0.0	7.66
04/30/2018	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	18.46	0.00	18.46	0.0	18.46
04/30/2018	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	10.42	0.00	10.42	0.0	10.42
04/30/2018	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	8.00	0.00	8.00	0.0	8.00
04/30/2018	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.85	0.00	3.85	0.0	3.85
04/30/2018	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	95.62	0.00	95.62	0.0	95.62
04/30/2018	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	41.32	0.00	41.32	0.0	41.32
04/30/2018	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.63	0.00	1.63	0.0	1.63
04/30/2018	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.40	0.00	1.40	0.0	1.40
04/30/2018	ADAMS, JANET	TEMPLETON WORLD A	0.00	26.91	0.00	26.91	0.0	26.91
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$215.27	\$0.00	\$215.27		\$215.27
<u> BROKER: DAVID KOTZ #179 </u>								
04/10/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.55	0.00	4.55	0.0	4.55
04/10/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.01	0.00	1.01	0.0	1.01
04/10/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.77	0.00	29.77	0.0	29.77
04/10/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.63	0.00	10.63	0.0	10.63
04/10/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.20	0.00	3.20	0.0	3.20
04/10/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.96	0.00	0.96	0.0	0.96
04/10/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.05	0.00	3.05	0.0	3.05
04/10/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.77	0.00	1.77	0.0	1.77
04/10/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.44	0.00	2.44	0.0	2.44
04/10/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.24	0.00	2.24	0.0	2.24
* DAVID KOTZ SUBTOTAL *			\$0.00	\$59.62	\$0.00	\$59.62		\$59.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM WALSH #187 </u>								
04/10/2018	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.63	0.00	4.63	0.0	4.63
04/10/2018	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	1.18	0.00	1.18	0.0	1.18
04/10/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.07	0.00	0.07	0.0	0.07
04/10/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.34	0.00	0.34	0.0	0.34
04/10/2018	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	0.0	0.13
04/10/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.27	0.00	18.27	0.0	18.27
04/10/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.02	0.00	0.02	0.0	0.02
04/10/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.44	0.00	0.44	0.0	0.44
04/10/2018	MURPHY, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.95	0.00	11.95	0.0	11.95
04/10/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.02	0.00	5.02	0.0	5.02
04/10/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.19	0.00	1.19	0.0	1.19
04/10/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	12.83	0.00	12.83	0.0	12.83
04/10/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	23.18	0.00	23.18	0.0	23.18
04/10/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	2.02	0.00	2.02	0.0	2.02
04/10/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.21	0.00	1.21	0.0	1.21
04/10/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	6.24	0.00	6.24	0.0	6.24
04/10/2018	MURPHY, CAROL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.20	0.00	5.20	0.0	5.20
04/30/2018	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
04/10/2018	MURPHY, CAROL	JH REGIONAL BANK A	0.00	9.34	0.00	9.34	0.0	9.34
04/10/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.25	0.00	1.25	0.0	1.25
04/10/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	15.91	0.00	15.91	0.0	15.91
04/10/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.84	0.00	14.84	0.0	14.84
04/10/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.53	0.00	0.53	0.0	0.53
04/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.56	0.00	0.56	0.0	0.56
04/24/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.05	0.00	0.05	0.0	0.05
04/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.35	0.00	1.35	0.0	1.35
04/24/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.47	0.00	0.47	0.0	0.47
 * WILLIAM WALSH SUBTOTAL * 			\$0.00	\$138.23	\$0.00	\$138.23		\$138.23
<u> BROKER: MICHELE BOYER #189 </u>								
04/19/2018	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	1.08	0.00	1.08	0.0	1.08
04/19/2018	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.67	0.00	0.67	0.0	0.67
04/19/2018	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.90	0.00	0.90	0.0	0.90
04/19/2018	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.22	0.00	1.22	0.0	1.22

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
04/19/2018	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.75	0.00	0.75	0.0	0.75
04/30/2018	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.35	0.00	2.35	0.0	2.35
04/30/2018	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	4.29	0.00	4.29	0.0	4.29
04/30/2018	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	14.11	0.00	14.11	0.0	14.11
04/30/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	27.95	0.28	0.00	0.28	0.0	0.28
04/30/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	16.35	0.16	0.00	0.16	0.0	0.16
04/30/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	30.90	0.31	0.00	0.31	0.0	0.31
04/30/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	30.90	0.31	0.00	0.31	0.0	0.31
04/30/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	23.28	0.23	0.00	0.23	0.0	0.23
04/30/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	23.28	0.23	0.00	0.23	0.0	0.23
04/30/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	30.90	0.31	0.00	0.31	0.0	0.31
04/30/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	30.90	0.31	0.00	0.31	0.0	0.31
04/30/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	23.28	0.23	0.00	0.23	0.0	0.23
04/30/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	23.28	0.23	0.00	0.23	0.0	0.23
04/30/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	59.79	0.60	0.00	0.60	0.0	0.60
04/30/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	29.89	0.30	0.00	0.30	0.0	0.30
04/30/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	48.42	0.48	0.00	0.48	0.0	0.48
04/30/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	24.21	0.24	0.00	0.24	0.0	0.24
04/30/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	13.98	0.14	0.00	0.14	0.0	0.14
04/30/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	8.17	0.08	0.00	0.08	0.0	0.08
04/30/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	44.84	0.45	0.00	0.45	0.0	0.45
04/30/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	22.42	0.22	0.00	0.22	0.0	0.22
04/30/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	36.32	0.36	0.00	0.36	0.0	0.36
04/30/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	18.16	0.18	0.00	0.18	0.0	0.18
04/30/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	59.78	0.60	0.00	0.60	0.0	0.60
04/30/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	29.89	0.30	0.00	0.30	0.0	0.30
04/30/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	48.40	0.48	0.00	0.48	0.0	0.48
04/30/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	24.20	0.24	0.00	0.24	0.0	0.24
04/30/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	44.84	0.45	0.00	0.45	0.0	0.45
04/30/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	22.42	0.22	0.00	0.22	0.0	0.22
04/30/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	36.32	0.36	0.00	0.36	0.0	0.36
04/30/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	18.16	0.18	0.00	0.18	0.0	0.18
04/30/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	27.95	0.28	0.00	0.28	0.0	0.28

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
04/30/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	16.35	0.16	0.00	0.16	0.0	0.16
04/30/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	41.93	0.42	0.00	0.42	0.0	0.42
04/30/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	24.53	0.25	0.00	0.25	0.0	0.25
04/30/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	44.84	0.45	0.00	0.45	0.0	0.45
04/30/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	22.42	0.22	0.00	0.22	0.0	0.22
04/30/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	36.32	0.36	0.00	0.36	0.0	0.36
04/30/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	18.16	0.18	0.00	0.18	0.0	0.18
04/30/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	27.95	0.28	0.00	0.28	0.0	0.28
04/30/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	16.35	0.16	0.00	0.16	0.0	0.16
04/30/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	44.84	0.45	0.00	0.45	0.0	0.45
04/30/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	22.42	0.22	0.00	0.22	0.0	0.22
04/30/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	36.32	0.36	0.00	0.36	0.0	0.36
04/30/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	18.16	0.18	0.00	0.18	0.0	0.18
05/02/2018	HANSEL, COOPER	SPROTT PHYSICAL SILVER TR TR UNIT I	-183.35	30.00	22.50	7.50	0.0	7.50
05/02/2018	HANSEL, SOPHIE	SPROTT PHYSICAL SILVER TR TR UNIT I	-183.35	30.00	22.50	7.50	0.0	7.50
04/10/2018	BARNES, BRIAN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.51	0.00	3.51	0.0	3.51
* MICHELE BOYER SUBTOTAL *			\$883.07	\$101.33	\$45.00	\$56.33		\$56.33
<u>BROKER: THOMAS JAHNCKE #193</u>								
04/19/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	0.0	1.23
04/19/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	2.07	0.00	2.07	0.0	2.07
04/19/2018	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.36	0.00	1.36	0.0	1.36
04/02/2018	JAHNCKE TRUST, JUDY	INVESCO SENIOR LOAN FUND CLASS IC	0.00	8.97	0.00	8.97	0.0	8.97
04/16/2018	JAHNCKE TRUST, JUDY	INVESCO SENIOR LOAN FUND CLASS IC	0.00	15.55	0.00	15.55	0.0	15.55
04/16/2018	STOCKER TRUST, MARTHA	INVESCO SENIOR LOAN FUND CLASS IC	0.00	0.89	0.00	0.89	0.0	0.89
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$30.07	\$0.00	\$30.07		\$30.07
<u>BROKER: ROBERT LORENTE #194</u>								
03/31/2018	PATTON REV TRUST, JAMES	GRIFFIN-AMERICAN HC REIT IV T	50,000.00	123.29	0.00	123.29	0.0	123.29
* ROBERT LORENTE SUBTOTAL *			\$50,000.00	\$123.29	\$0.00	\$123.29		\$123.29
<u>BROKER: MICHAEL PALMISANO #196</u>								
04/30/2018	SUTTER, DANI-ANN	JNL PERSPECTIVE L	0.00	210.61	0.00	210.61	0.0	210.61
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$210.61	\$0.00	\$210.61		\$210.61

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VERRIDE TRANSACTIONS**BROKER: RICHARD CODY #197**

04/19/2018	SYVERSEN, AMY	MARSICO FLEXIBLE CAPITAL FUND	0.00	0.62	0.00	0.62	0.0	0.62
* RICHARD CODY SUBTOTAL *			\$0.00	\$0.62	\$0.00	\$0.62		\$0.62

BROKER: MEREDITH MCCUTCHEN #221

04/19/2018	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	5.15	0.00	5.15	0.0	5.15
04/19/2018	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	5.26	0.00	5.26	0.0	5.26
04/19/2018	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.35	0.00	3.35	0.0	3.35
04/19/2018	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.37	0.00	3.37	0.0	3.37
04/19/2018	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.96	0.00	5.96	0.0	5.96
04/19/2018	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.11	0.00	6.11	0.0	6.11
04/19/2018	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.93	0.00	3.93	0.0	3.93
04/19/2018	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.94	0.00	3.94	0.0	3.94
04/12/2018	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.67	0.00	4.67	0.0	4.67
04/23/2018	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.09	0.00	0.09	0.0	0.09
04/16/2018	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.14	0.00	0.14	0.0	0.14
04/16/2018	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.21	0.00	0.21	0.0	0.21
04/16/2018	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$42.41	\$0.00	\$42.41		\$42.41

BROKER: GREGORY FRIEL #223

04/24/2018	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.61	0.00	0.61	0.0	0.61
04/12/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.80	0.00	3.80	0.0	3.80
04/12/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.83	0.00	1.83	0.0	1.83
04/20/2018	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.07	0.00	5.07	0.0	5.07
04/20/2018	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.04	0.00	3.04	0.0	3.04
04/20/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.23	0.00	1.23	0.0	1.23
04/20/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.48	0.00	0.48	0.0	0.48
04/17/2018	FRIEL, JEREMY	MFS BOND A	0.00	1.19	0.00	1.19	0.0	1.19
04/17/2018	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.22
04/17/2018	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.82	0.00	0.82	0.0	0.82
04/17/2018	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.26	0.00	0.26	0.0	0.26
04/17/2018	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.28	0.00	0.28	0.0	0.28
04/17/2018	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.57	0.00	1.57	0.0	1.57
04/17/2018	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.46	0.00	5.46	0.0	5.46

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: GREGORY FRIEL #223 </u>								
04/17/2018	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	9.23	0.00	9.23	0.0	9.23
04/17/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.25	0.00	0.25	0.0	0.25
04/17/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	11.71	0.00	11.71	0.0	11.71
04/17/2018	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.50	0.00	3.50	0.0	3.50
* GREGORY FRIEL SUBTOTAL *			\$0.00	\$50.55	\$0.00	\$50.55		\$50.55
<u> BROKER: JAMES CRAWFORD #225 </u>								
04/19/2018	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.20	0.00	3.20	0.0	3.20
04/30/2018	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,118.09	1.90	0.00	1.90	0.0	1.90
04/30/2018	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,242.91	1.51	0.00	1.51	0.0	1.51
* JAMES CRAWFORD SUBTOTAL *			\$16,361.00	\$6.61	\$0.00	\$6.61		\$6.61
<u> BROKER: CARL WORDEN III #232 </u>								
04/30/2018	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	0.00	8.89	0.00	8.89	0.0	8.89
* CARL WORDEN III SUBTOTAL *			\$0.00	\$8.89	\$0.00	\$8.89		\$8.89
<u> BROKER: MARIA BONGIOVANNI #237 </u>								
04/30/2018	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.23	0.00	3.23	0.0	3.23
04/30/2018	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.23	0.00	3.23	0.0	3.23
04/30/2018	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.23	0.00	3.23	0.0	3.23
* MARIA BONGIOVANNI SUBTOTAL *			\$0.00	\$9.69	\$0.00	\$9.69		\$9.69
<u> BROKER: WILLIAM THOMAS #239 </u>								
04/21/2018	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
* WILLIAM THOMAS SUBTOTAL *			\$200.00	\$4.00	\$0.00	\$4.00		\$4.00
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
03/31/2018	MILLER, MARTIN	METLIFE TRAILS	107,172.87	26.79	0.00	26.79	0.0	26.79
04/30/2018	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.23	0.00	3.23	0.0	3.23
05/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$107,297.87	\$31.27	\$0.00	\$31.27		\$31.27
<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
04/19/2018	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.88	0.00	0.88	0.0	0.88
04/19/2018	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.27	0.00	0.27	0.0	0.27
04/19/2018	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.71	0.00	0.71	0.0	0.71

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<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
04/19/2018	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.67	0.00	0.67	0.0	0.67
04/19/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.13	0.00	0.13	0.0	0.13
04/19/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	0.0	0.80
04/19/2018	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	0.0	1.01
04/19/2018	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.88	0.00	1.88	0.0	1.88
04/19/2018	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.12	0.00	0.12	0.0	0.12
04/19/2018	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.76	0.00	0.76	0.0	0.76
04/19/2018	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.62	0.00	1.62	0.0	1.62
04/19/2018	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.98	0.00	0.98	0.0	0.98
04/19/2018	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	0.0	0.59
04/19/2018	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	0.0	1.59
04/19/2018	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.78	0.00	0.78	0.0	0.78
04/19/2018	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.05	0.00	2.05	0.0	2.05
04/19/2018	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.16	0.00	0.16	0.0	0.16
04/19/2018	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.41	0.00	0.41	0.0	0.41
04/19/2018	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.36	0.00	0.36	0.0	0.36
04/19/2018	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.12	0.00	0.12	0.0	0.12
04/19/2018	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.75	0.00	0.75	0.0	0.75
04/19/2018	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.09	0.00	0.09	0.0	0.09
04/19/2018	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.56	0.00	0.56	0.0	0.56
04/19/2018	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	0.0	0.57
04/19/2018	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.49	0.00	1.49	0.0	1.49
04/30/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	10.58	0.00	10.58	0.0	10.58
04/27/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.20	0.00	4.20	0.0	4.20
04/27/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.60	0.00	12.60	0.0	12.60
04/27/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.44	0.00	21.44	0.0	21.44
04/27/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	64.31	0.00	64.31	0.0	64.31
04/27/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.60	0.00	42.60	0.0	42.60
04/27/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	127.81	0.00	127.81	0.0	127.81
04/27/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.21	0.00	2.21	0.0	2.21
04/27/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.64	0.00	6.64	0.0	6.64
04/27/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.14	0.00	32.14	0.0	32.14
04/27/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	96.43	0.00	96.43	0.0	96.43

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<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
04/27/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.00	0.00	11.00	0.0	11.00
04/27/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.00	0.00	33.00	0.0	33.00
04/27/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.62	0.00	9.62	0.0	9.62
04/27/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.85	0.00	28.85	0.0	28.85
04/27/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.61	0.00	9.61	0.0	9.61
04/27/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.84	0.00	28.84	0.0	28.84
04/12/2018	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	20.35	0.00	20.35	0.0	20.35
04/12/2018	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	17.58	0.00	17.58	0.0	17.58
04/12/2018	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.96	0.00	2.96	0.0	2.96
04/12/2018	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	9.45	0.00	9.45	0.0	9.45
04/12/2018	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	17.92	0.00	17.92	0.0	17.92
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	37.06	0.00	37.06	0.0	37.06
04/18/2018	REILLY, KAREN	INVESCO MIDCAP GROWTH A	0.00	24.59	0.00	24.59	0.0	24.59
04/18/2018	VAN SISTINE, JEANNE	INVESCO MIDCAP GROWTH A	0.00	23.53	0.00	23.53	0.0	23.53
04/18/2018	PLOTZ, ROSANN	INVESCO MIDCAP GROWTH A	0.00	1.92	0.00	1.92	0.0	1.92
04/18/2018	REINKE, RONALD	INVESCO MIDCAP GROWTH A	0.00	11.00	0.00	11.00	0.0	11.00
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	0.0	1.00
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	14.28	0.00	14.28	0.0	14.28
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.41	0.00	0.41	0.0	0.41
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.50	0.00	3.50	0.0	3.50
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	8.02	0.00	8.02	0.0	8.02
05/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
05/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
05/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
04/20/2018	TURNER, WANDA	JH HIGH YIELD A	0.00	1.42	0.00	1.42	0.0	1.42
04/20/2018	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	4.23	0.00	4.23	0.0	4.23
04/20/2018	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	9.36	0.00	9.36	0.0	9.36
04/20/2018	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.73	0.00	8.73	0.0	8.73
04/30/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	0.00	48.98	0.00	48.98	0.0	48.98
04/30/2018	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	0.00	170.73	0.00	170.73	0.0	170.73
04/30/2018	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	0.00	57.64	0.00	57.64	0.0	57.64
04/30/2018	WILLAERT, DIANNE	JNL PERSPECTIVE II 04/14	0.00	205.81	0.00	205.81	0.0	205.81
04/30/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	0.00	127.14	0.00	127.14	0.0	127.14

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<u>BROKER: JOSEPH, M138 MICHALETZ #286</u>								
04/30/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	0.00	1,158.16	0.00	1,158.16	0.0	1,158.16
04/30/2018	STAFFEN, ROBERT	JNL PERSPECTIVE II 04/14	0.00	185.26	0.00	185.26	0.0	185.26
04/30/2018	HASKIN, LARRY	JNL PERSPECTIVE II 04/14	0.00	1,085.26	0.00	1,085.26	0.0	1,085.26
04/30/2018	HANSGEN, DIANE	JNL PERSPECTIVE II 04/14	0.00	324.06	0.00	324.06	0.0	324.06
04/30/2018	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	0.00	7.40	0.00	7.40	0.0	7.40
04/30/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	0.00	163.50	0.00	163.50	0.0	163.50
04/30/2018	VANDERHOOF, DONNA	JNL PERSPECTIVE L	0.00	283.71	0.00	283.71	0.0	283.71
04/30/2018	GREEN, STEVEN	JNL PERSPECTIVE L	0.00	68.27	0.00	68.27	0.0	68.27
04/30/2018	GREEN, STEVEN	JNL PERSPECTIVE L	0.00	59.98	0.00	59.98	0.0	59.98
04/30/2018	WOLFF, JEAN	JNL PERSPECTIVE L	0.00	73.28	0.00	73.28	0.0	73.28
04/30/2018	WOLFF, JEAN	JNL PERSPECTIVE L	0.00	375.10	0.00	375.10	0.0	375.10
04/30/2018	CURTIS, JEFFREY	JNL PERSPECTIVE L	0.00	81.52	0.00	81.52	0.0	81.52
04/30/2018	FUENTEZ, IVAN	JNL PERSPECTIVE L	0.00	208.13	0.00	208.13	0.0	208.13
04/30/2018	ROSE, ERIC	JNL PERSPECTIVE L	0.00	39.40	0.00	39.40	0.0	39.40
04/30/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE L	0.00	126.56	0.00	126.56	0.0	126.56
04/30/2018	CALABRESE, CAROL	JNL PERSPECTIVE L	0.00	225.63	0.00	225.63	0.0	225.63
04/30/2018	SCHRIOCK, LINDA	JNL PERSPECTIVE L	0.00	55.35	0.00	55.35	0.0	55.35
04/30/2018	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	0.00	376.46	0.00	376.46	0.0	376.46
04/30/2018	LUTZ, TRACY	JNL PERSPECTIVE L	0.00	20.33	0.00	20.33	0.0	20.33
04/30/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	0.00	149.22	0.00	149.22	0.0	149.22
04/30/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	0.00	90.53	0.00	90.53	0.0	90.53
04/30/2018	CHESNEY, TERRANCE	JNL PERSPECTIVE L	0.00	130.58	0.00	130.58	0.0	130.58
04/30/2018	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	0.00	134.21	0.00	134.21	0.0	134.21
04/30/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	0.00	75.87	0.00	75.87	0.0	75.87
04/30/2018	ANDERSEN, DAVID	JNL PERSPECTIVE L	0.00	165.82	0.00	165.82	0.0	165.82
04/30/2018	KOCON, RAYMOND	JNL PERSPECTIVE L	0.00	355.46	0.00	355.46	0.0	355.46
04/30/2018	HOGSTAD, MARY	JNL PERSPECTIVE L	0.00	123.40	0.00	123.40	0.0	123.40
04/30/2018	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	0.00	396.80	0.00	396.80	0.0	396.80
04/30/2018	LEASE, NATHELLE	JNL PERSPECTIVE L	0.00	244.90	0.00	244.90	0.0	244.90
04/30/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	0.00	176.49	0.00	176.49	0.0	176.49
04/30/2018	VETTER, JOANN	JNL PERSPECTIVE L	0.00	584.30	0.00	584.30	0.0	584.30
04/30/2018	STRADTMAN, CHARLES	JNL PERSPECTIVE L	0.00	376.86	0.00	376.86	0.0	376.86
04/30/2018	VETTER, RICHARD	JNL PERSPECTIVE L	0.00	104.09	0.00	104.09	0.0	104.09

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<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
04/30/2018	VETTER, JOANN	JNL PERSPECTIVE L	0.00	96.22	0.00	96.22	0.0	96.22
04/30/2018	FLOR, PATRICIA	JNL PERSPECTIVE L	0.00	1,512.70	0.00	1,512.70	0.0	1,512.70
04/30/2018	KRAHMER, LE ANN	JNL PERSPECTIVE L	0.00	282.98	0.00	282.98	0.0	282.98
04/30/2018	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	0.00	110.96	0.00	110.96	0.0	110.96
04/30/2018	SHORE, JERRY	JNL PERSPECTIVE L	0.00	958.27	0.00	958.27	0.0	958.27
04/30/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	0.00	968.03	0.00	968.03	0.0	968.03
04/30/2018	SHORE, JERRY	JNL PERSPECTIVE L	0.00	264.50	0.00	264.50	0.0	264.50
04/30/2018	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	0.00	223.64	0.00	223.64	0.0	223.64
04/30/2018	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	0.00	852.76	0.00	852.76	0.0	852.76
04/30/2018	BERKNESS, RONALD	JNL PERSPECTIVE L	0.00	317.25	0.00	317.25	0.0	317.25
04/30/2018	WOLFF, ANITA	JNL PERSPECTIVE L	0.00	503.93	0.00	503.93	0.0	503.93
04/30/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	0.00	699.20	0.00	699.20	0.0	699.20
04/30/2018	BERKNESS, CAROLE	JNL PERSPECTIVE L	0.00	133.11	0.00	133.11	0.0	133.11
04/30/2018	WOLFF, WALTER	JNL PERSPECTIVE L	0.00	729.47	0.00	729.47	0.0	729.47
04/30/2018	LARSON, LOIS	JNL PERSPECTIVE L	0.00	48.23	0.00	48.23	0.0	48.23
04/30/2018	LARSON, DEAN	JNL PERSPECTIVE L	0.00	100.43	0.00	100.43	0.0	100.43
04/30/2018	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	0.00	269.60	0.00	269.60	0.0	269.60
04/30/2018	DALLMANN, HOWARD	JNL PERSPECTIVE L	0.00	165.18	0.00	165.18	0.0	165.18
04/30/2018	DALLMANN, MARGARET	JNL PERSPECTIVE L	0.00	19.83	0.00	19.83	0.0	19.83
04/30/2018	CLOW, SANDRA	JNL PERSPECTIVE L	0.00	634.53	0.00	634.53	0.0	634.53
04/30/2018	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	0.00	471.64	0.00	471.64	0.0	471.64
04/30/2018	LOE, TIMOTHY	JNL PERSPECTIVE L	0.00	295.83	0.00	295.83	0.0	295.83
04/30/2018	NAWROCKI, DONNA	JNL PERSPECTIVE L	0.00	354.61	0.00	354.61	0.0	354.61
04/30/2018	DOBIE, SHERYL	JNL PERSPECTIVE L	0.00	799.01	0.00	799.01	0.0	799.01
04/30/2018	MICHALETZ, GERALD	JNL PERSPECTIVE L	0.00	126.46	0.00	126.46	0.0	126.46
04/30/2018	BANWART, ROGER	JNL PERSPECTIVE L	0.00	134.53	0.00	134.53	0.0	134.53
04/30/2018	MICHALETZ, FAYE	JNL PERSPECTIVE L	0.00	56.23	0.00	56.23	0.0	56.23
04/30/2018	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	0.00	272.90	0.00	272.90	0.0	272.90
04/30/2018	POST, TERRY	JNL PERSPECTIVE L	0.00	174.22	0.00	174.22	0.0	174.22
04/30/2018	HUISMAN, GERALDINE	JNL PERSPECTIVE L	0.00	145.24	0.00	145.24	0.0	145.24
04/30/2018	STEVENS, GEORGE	JNL PERSPECTIVE L	0.00	258.20	0.00	258.20	0.0	258.20
04/30/2018	BUCK, NORMAN	JNL PERSPECTIVE L	0.00	193.37	0.00	193.37	0.0	193.37
04/30/2018	PANOS, GERALDINE	JNL PERSPECTIVE L	0.00	98.60	0.00	98.60	0.0	98.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
04/30/2018	PAHL, JAMES	JNL PERSPECTIVE L	0.00	129.49	0.00	129.49	0.0	129.49
04/30/2018	SHAW, CHARLES	JNL PERSPECTIVE L	0.00	115.16	0.00	115.16	0.0	115.16
04/30/2018	WARD, JACQUELINE	JNL PERSPECTIVE L	0.00	32.08	0.00	32.08	0.0	32.08
04/30/2018	KENDALL, ARCHIE	JNL PERSPECTIVE L	0.00	405.74	0.00	405.74	0.0	405.74
04/30/2018	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	0.00	122.64	0.00	122.64	0.0	122.64
04/30/2018	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	0.00	47.03	0.00	47.03	0.0	47.03
04/30/2018	TRINITY EVANGELICAL	JNL PERSPECTIVE L	0.00	340.32	0.00	340.32	0.0	340.32
04/30/2018	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	0.00	585.29	0.00	585.29	0.0	585.29
04/30/2018	ARNOLD, EVERETT	JNL PERSPECTIVE L	0.00	624.04	0.00	624.04	0.0	624.04
04/30/2018	SCHMIDT, LORI	JNL PERSPECTIVE L	0.00	111.62	0.00	111.62	0.0	111.62
04/30/2018	SCHMIDT, HAROLD	JNL PERSPECTIVE L	0.00	442.02	0.00	442.02	0.0	442.02
04/30/2018	ZIEGLER, DARRELL	JNL PERSPECTIVE L	0.00	46.01	0.00	46.01	0.0	46.01
04/30/2018	MOLL TURKEYS INC	JNL PERSPECTIVE L	0.00	444.06	0.00	444.06	0.0	444.06
04/30/2018	KRONBACK, JEFFERY	JNL PERSPECTIVE L	0.00	21.49	0.00	21.49	0.0	21.49
04/30/2018	KRONBACK, JEFFERY	JNL PERSPECTIVE L	0.00	94.75	0.00	94.75	0.0	94.75
04/30/2018	KRONBACK, DEBRA	JNL PERSPECTIVE L	0.00	25.37	0.00	25.37	0.0	25.37
04/30/2018	KRONBACK, DEBRA	JNL PERSPECTIVE L	0.00	27.92	0.00	27.92	0.0	27.92
04/30/2018	FITZSIMMONS, GARY	JNL PERSPECTIVE L	0.00	303.22	0.00	303.22	0.0	303.22
04/30/2018	REED, MARY	JNL PERSPECTIVE L	0.00	231.07	0.00	231.07	0.0	231.07
04/30/2018	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	0.00	118.39	0.00	118.39	0.0	118.39
04/30/2018	DIETRICH, SHARON	JNL PERSPECTIVE L	0.00	177.74	0.00	177.74	0.0	177.74
04/30/2018	JERROW, ROBERT	JNL PERSPECTIVE L	0.00	289.45	0.00	289.45	0.0	289.45
04/30/2018	KASTER, JANICE	JNL PERSPECTIVE L	0.00	141.69	0.00	141.69	0.0	141.69
04/30/2018	FEAR, DUANE	JNL PERSPECTIVE L	0.00	65.19	0.00	65.19	0.0	65.19
04/30/2018	FEAR, LINDA	JNL PERSPECTIVE L	0.00	37.81	0.00	37.81	0.0	37.81
04/30/2018	ESLINGER, PATRICIA	JNL PERSPECTIVE L	0.00	21.01	0.00	21.01	0.0	21.01
04/30/2018	OLSON, MARK	JNL PERSPECTIVE L	0.00	306.40	0.00	306.40	0.0	306.40
04/30/2018	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	0.00	273.50	0.00	273.50	0.0	273.50
04/30/2018	ZIEGLER, DIANNE	JNL PERSPECTIVE L	0.00	18.91	0.00	18.91	0.0	18.91
04/30/2018	GRUBISH, MAHLON	JNL PERSPECTIVE L	0.00	334.94	0.00	334.94	0.0	334.94
04/30/2018	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	0.00	865.64	0.00	865.64	0.0	865.64
04/30/2018	ANDERSON, DONALD	JNL PERSPECTIVE L	0.00	134.77	0.00	134.77	0.0	134.77
04/30/2018	ROY, JAMES	JNL PERSPECTIVE L	0.00	177.40	0.00	177.40	0.0	177.40

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JOSEPH, M138 MICHALETZ #286</u>								
04/30/2018	CONRAD, RONALD	JNL PERSPECTIVE L	0.00	112.23	0.00	112.23	0.0	112.23
04/30/2018	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	0.00	399.49	0.00	399.49	0.0	399.49
04/30/2018	GRAFF, BRENT	JNL PERSPECTIVE L	0.00	52.73	0.00	52.73	0.0	52.73
04/30/2018	FOSTER, RODNEY	JNL PERSPECTIVE L	0.00	164.22	0.00	164.22	0.0	164.22
04/30/2018	SKELLY, SCOTT	JNL PERSPECTIVE L	0.00	208.51	0.00	208.51	0.0	208.51
04/30/2018	SKELLY, BRENDA	JNL PERSPECTIVE L	0.00	23.22	0.00	23.22	0.0	23.22
04/30/2018	SEVERSON, STANLEY	JNL PERSPECTIVE L	0.00	237.65	0.00	237.65	0.0	237.65
04/30/2018	GUNDERSON, HOLLY	JNL PERSPECTIVE L	0.00	34.41	0.00	34.41	0.0	34.41
04/30/2018	SEVERSON, JOYCE	JNL PERSPECTIVE L	0.00	98.25	0.00	98.25	0.0	98.25
04/30/2018	GUNDERSON, BRIAN	JNL PERSPECTIVE L	0.00	84.89	0.00	84.89	0.0	84.89
04/30/2018	CONRAD, DELBERT	JNL PERSPECTIVE L	0.00	92.34	0.00	92.34	0.0	92.34
04/30/2018	NELSON, BRENDA	JNL PERSPECTIVE L	0.00	211.71	0.00	211.71	0.0	211.71
04/30/2018	HAGEN, LINDA	JNL PERSPECTIVE L	0.00	1,519.51	0.00	1,519.51	0.0	1,519.51
04/30/2018	HUGGINS, JEANNE	JNL PERSPECTIVE L	0.00	167.83	0.00	167.83	0.0	167.83
04/30/2018	THOMPSON, MAX	JNL PERSPECTIVE L	0.00	123.37	0.00	123.37	0.0	123.37
04/30/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	0.00	152.87	0.00	152.87	0.0	152.87
04/30/2018	THOMPSON, MAX	JNL PERSPECTIVE L	0.00	421.55	0.00	421.55	0.0	421.55
04/30/2018	HUGGINS, TONY	JNL PERSPECTIVE L	0.00	261.34	0.00	261.34	0.0	261.34
04/30/2018	WOLFF, LINDA	JNL PERSPECTIVE L	0.00	624.74	0.00	624.74	0.0	624.74
04/30/2018	OTTO, JANET	JNL PERSPECTIVE L	0.00	20.84	0.00	20.84	0.0	20.84
04/30/2018	OTTO, JAMES	JNL PERSPECTIVE L	0.00	499.30	0.00	499.30	0.0	499.30
04/30/2018	BUCK, DELORES	JNL PERSPECTIVE L	0.00	215.26	0.00	215.26	0.0	215.26
04/30/2018	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	0.00	202.98	0.00	202.98	0.0	202.98
04/30/2018	KLEMENT, GARY	JNL PERSPECTIVE L	0.00	591.68	0.00	591.68	0.0	591.68
04/30/2018	KLEMENT, GARY	JNL PERSPECTIVE L	0.00	588.63	0.00	588.63	0.0	588.63
04/30/2018	CLOW, SANDRA	JNL PERSPECTIVE L	0.00	419.29	0.00	419.29	0.0	419.29
04/30/2018	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	0.00	638.09	0.00	638.09	0.0	638.09
04/30/2018	GARTNER, MARIE	JNL PERSPECTIVE L	0.00	149.78	0.00	149.78	0.0	149.78
04/30/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	0.00	1,014.14	0.00	1,014.14	0.0	1,014.14
04/30/2018	GARTNER, LEONARD	JNL PERSPECTIVE L	0.00	186.39	0.00	186.39	0.0	186.39
04/30/2018	FITTERER, RUTH	JNL PERSPECTIVE L	0.00	175.40	0.00	175.40	0.0	175.40
04/30/2018	MICHELS, DAVID	JNL PERSPECTIVE L	0.00	115.75	0.00	115.75	0.0	115.75
04/30/2018	MICHELS, BEVERLEY	JNL PERSPECTIVE L	0.00	273.87	0.00	273.87	0.0	273.87

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JOSEPH, M138 MICHALETZ #286</u>								
04/30/2018	HANSON, JOY	JNL PERSPECTIVE L	0.00	146.18	0.00	146.18	0.0	146.18
04/30/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	0.00	453.86	0.00	453.86	0.0	453.86
04/30/2018	SCHOEN, RICHARD	JNL PERSPECTIVE L	0.00	507.46	0.00	507.46	0.0	507.46
04/30/2018	ROBINSON, MARILYN	JNL PERSPECTIVE L	0.00	116.50	0.00	116.50	0.0	116.50
04/30/2018	FOSTER, RICHARD	JNL PERSPECTIVE L	0.00	912.92	0.00	912.92	0.0	912.92
04/30/2018	STAFFEN, ROBERT	JNL PERSPECTIVE L	0.00	100.10	0.00	100.10	0.0	100.10
04/30/2018	TURNER, MYRNA	JNL PERSPECTIVE L	0.00	148.30	0.00	148.30	0.0	148.30
04/30/2018	TURNER, WENDELL &	JNL PERSPECTIVE L	0.00	140.50	0.00	140.50	0.0	140.50
04/30/2018	BENZ, FORREST	JNL PERSPECTIVE L	0.00	25.41	0.00	25.41	0.0	25.41
04/30/2018	BENZ, FORREST	JNL PERSPECTIVE L	0.00	220.93	0.00	220.93	0.0	220.93
04/30/2018	WEIBLE, DEAN	JNL PERSPECTIVE L	0.00	41.81	0.00	41.81	0.0	41.81
04/30/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	0.00	101.36	0.00	101.36	0.0	101.36
04/30/2018	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	0.00	344.40	0.00	344.40	0.0	344.40
04/30/2018	SHEARER, KAREN	JNL PERSPECTIVE L	0.00	101.26	0.00	101.26	0.0	101.26
04/30/2018	SHEARER, DONALD	JNL PERSPECTIVE L	0.00	272.84	0.00	272.84	0.0	272.84
04/30/2018	JOHNSON, JESSE	JNL PERSPECTIVE L	0.00	94.70	0.00	94.70	0.0	94.70
04/30/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	0.00	6.88	0.00	6.88	0.0	6.88
04/30/2018	JOHNSON, KAREN	JNL PERSPECTIVE L	0.00	6.88	0.00	6.88	0.0	6.88
04/30/2018	SNYDER, CYNTHIA	JNL PERSPECTIVE L	0.00	23.96	0.00	23.96	0.0	23.96
04/30/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	0.00	80.83	0.00	80.83	0.0	80.83
04/30/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	0.00	19.80	0.00	19.80	0.0	19.80
04/30/2018	STEVENS, GREGORY	JNL PERSPECTIVE L	0.00	76.28	0.00	76.28	0.0	76.28
04/30/2018	LOE, TIMOTHY	JNL PERSPECTIVE L	0.00	64.59	0.00	64.59	0.0	64.59
04/30/2018	WOLFF, LINDA	JNL PERSPECTIVE L	0.00	67.37	0.00	67.37	0.0	67.37
04/30/2018	WOLFF, LINDA	JNL PERSPECTIVE L	0.00	114.42	0.00	114.42	0.0	114.42
04/30/2018	LABRIER, JOHN	JNL PERSPECTIVE L	0.00	64.47	0.00	64.47	0.0	64.47
04/30/2018	LABRIER, PAULA	JNL PERSPECTIVE L	0.00	13.39	0.00	13.39	0.0	13.39
04/30/2018	WETZELL, PAMELA	JNL PERSPECTIVE L	0.00	74.12	0.00	74.12	0.0	74.12
04/30/2018	JOHNSON, SHERI	JNL PERSPECTIVE L	0.00	135.95	0.00	135.95	0.0	135.95
04/30/2018	REITER, KAREN	JNL PERSPECTIVE L	0.00	5.76	0.00	5.76	0.0	5.76
04/30/2018	DRESSEN, KATHRYN	JNL PERSPECTIVE L	0.00	17.66	0.00	17.66	0.0	17.66
04/30/2018	STANTON, KAREN	JNL PERSPECTIVE L	0.00	17.14	0.00	17.14	0.0	17.14
04/30/2018	MAINE, DOUGLAS	JNL PERSPECTIVE L	0.00	17.14	0.00	17.14	0.0	17.14

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04/27/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE TRAILS	100.00	2.00	0.00	2.00	0.0	2.00
05/02/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE TRAILS	150.00	3.00	0.00	3.00	0.0	3.00
04/30/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	40,902.99	34.09	0.00	34.09	0.0	34.09
04/30/2018	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	35,311.30	29.43	0.00	29.43	0.0	29.43
04/30/2018	BOESCH, RODNEY	JOHN HANCOCK VENTURE	164,608.27	34.29	0.00	34.29	0.0	34.29
04/16/2018	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.52	0.00	0.52	0.0	0.52
04/16/2018	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	8.36	0.00	8.36	0.0	8.36
04/16/2018	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.84	0.00	0.84	0.0	0.84
04/16/2018	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.65	0.00	4.65	0.0	4.65
04/17/2018	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	6.92	0.00	6.92	0.0	6.92
04/17/2018	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	8.24	0.00	8.24	0.0	8.24
04/17/2018	POLTZ, ALAN	MFS RESEARCH A	0.00	0.55	0.00	0.55	0.0	0.55
04/23/2018	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.65	0.00	3.65	0.0	3.65
04/16/2018	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.77	0.00	0.77	0.0	0.77
04/16/2018	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.33	0.00	4.33	0.0	4.33
04/23/2018	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	26.19	0.00	26.19	0.0	26.19
04/23/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.54	0.00	2.54	0.0	2.54
04/23/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	3.87	0.00	3.87	0.0	3.87
04/23/2018	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	12.70	0.00	12.70	0.0	12.70
04/23/2018	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	25.92	0.00	25.92	0.0	25.92
04/23/2018	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	15.86	0.00	15.86	0.0	15.86
04/23/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	75.78	0.00	75.78	0.0	75.78
04/27/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	1,166.66	11.67	0.00	11.67	0.0	11.67
04/27/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	240.00	2.40	0.00	2.40	0.0	2.40
05/02/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	37.91	0.00	37.91	0.0	37.91
05/02/2018	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.81	0.00	1.81	0.0	1.81
05/02/2018	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.46	0.00	1.46	0.0	1.46
05/02/2018	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	40.23	0.00	40.23	0.0	40.23
05/02/2018	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.33	0.00	4.33	0.0	4.33
05/02/2018	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	18.44	0.00	18.44	0.0	18.44
05/02/2018	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.80	0.00	4.80	0.0	4.80
05/02/2018	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.80	0.00	4.80	0.0	4.80
05/02/2018	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	29.76	0.00	29.76	0.0	29.76

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05/02/2018	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.52	0.00	2.52	0.0	2.52
05/02/2018	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.80	0.00	4.80	0.0	4.80
05/02/2018	HANSGEN, DIANE	TRANSAMERICA AA GROWTH C	0.00	7.98	0.00	7.98	0.0	7.98
05/02/2018	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.76	0.00	3.76	0.0	3.76
05/02/2018	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	0.0	4.34
05/02/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	16.63	0.00	16.63	0.0	16.63
05/02/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.92	0.00	3.92	0.0	3.92
05/02/2018	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	17.28	0.00	17.28	0.0	17.28
05/02/2018	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	17.90	0.00	17.90	0.0	17.90
05/02/2018	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	57.30	0.00	57.30	0.0	57.30
05/02/2018	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.06	0.00	6.06	0.0	6.06
05/02/2018	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.43	0.00	1.43	0.0	1.43
05/02/2018	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	0.0	4.34
05/02/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.90	0.00	3.90	0.0	3.90
05/02/2018	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	12.00	0.00	12.00	0.0	12.00
05/02/2018	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.54	0.00	1.54	0.0	1.54
05/02/2018	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.52	0.00	10.52	0.0	10.52
05/02/2018	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.26	0.00	1.26	0.0	1.26
05/02/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.86	0.00	11.86	0.0	11.86
05/02/2018	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.54	0.00	9.54	0.0	9.54
05/02/2018	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.58	0.00	8.58	0.0	8.58
05/02/2018	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	7.34	0.00	7.34	0.0	7.34
05/02/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	6.41	0.00	6.41	0.0	6.41
05/02/2018	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	6.83	0.00	6.83	0.0	6.83
05/02/2018	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	58.01	0.00	58.01	0.0	58.01
05/02/2018	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.29	0.00	1.29	0.0	1.29
05/02/2018	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.39	0.00	4.39	0.0	4.39
05/02/2018	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.14	0.00	4.14	0.0	4.14
05/02/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.82	0.00	3.82	0.0	3.82
05/02/2018	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	4.04	0.00	4.04	0.0	4.04
05/02/2018	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.92	0.00	2.92	0.0	2.92
05/02/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	25.33	0.00	25.33	0.0	25.33
05/02/2018	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.32	0.00	6.32	0.0	6.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
05/02/2018	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.32	0.00	6.32	0.0	6.32
05/02/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	0.0	1.08
05/02/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.90	0.00	1.90	0.0	1.90
05/02/2018	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.15	0.00	1.15	0.0	1.15
05/02/2018	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.91	0.00	1.91	0.0	1.91
05/02/2018	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.89	0.00	1.89	0.0	1.89
05/02/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	5.27	0.00	5.27	0.0	5.27
05/02/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	7.67	0.00	7.67	0.0	7.67
05/02/2018	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.51	0.00	9.51	0.0	9.51
05/02/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	4.15	0.00	4.15	0.0	4.15
05/02/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.28	0.00	4.28	0.0	4.28
05/02/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.15	0.00	0.15	0.0	0.15
05/02/2018	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	31.96	0.00	31.96	0.0	31.96
05/02/2018	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	31.72	0.00	31.72	0.0	31.72
05/10/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	0.0	-10.00
04/23/2018	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	34.19	0.00	34.19	0.0	34.19
04/23/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	68.16	0.00	68.16	0.0	68.16
05/02/2018	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.47	0.00	6.47	0.0	6.47
05/02/2018	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	42.24	0.00	42.24	0.0	42.24
05/02/2018	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.81	0.00	7.81	0.0	7.81
05/02/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	24.89	0.00	24.89	0.0	24.89
05/02/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	21.60	0.00	21.60	0.0	21.60
04/23/2018	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.90	0.00	0.90	0.0	0.90
04/23/2018	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.86	0.00	0.86	0.0	0.86
04/27/2018	KIRCHNER, PHILLIP	TRANSAMERICA LIFE	1,157.50	28.94	0.00	28.94	0.0	28.94
04/23/2018	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	1.01	0.00	1.01	0.0	1.01
04/23/2018	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.31	0.00	1.31	0.0	1.31
04/10/2018	FITZSIMMONS, KEVIN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	2.69	0.00	2.69	0.0	2.69
 * JOSEPH, M138 MICHALETZ SUBTOTAL * 			\$244,136.72	\$44,571.42	\$12.00	\$44,559.42		\$44,559.42
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
04/19/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.40
04/19/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.28	0.00	0.28	0.0	0.28
04/27/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.16	0.00	15.16	0.0	15.16

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JACK, M137 MICHALETZ #287								
04/27/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	45.49	0.00	45.49	0.0	45.49
04/27/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.17	0.00	8.17	0.0	8.17
04/27/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	24.52	0.00	24.52	0.0	24.52
04/27/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.14	0.00	1.14	0.0	1.14
04/27/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.41	0.00	3.41	0.0	3.41
04/12/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	5.14	0.00	5.14	0.0	5.14
04/18/2018	ATKINSON, VONDA	INVESCO INTERNATIONAL GROWTH A	0.00	41.13	0.00	41.13	0.0	41.13
04/18/2018	ATKINSON, VONDA	INVESCO MIDCAP GROWTH A	0.00	19.12	0.00	19.12	0.0	19.12
04/20/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
04/20/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.06
04/20/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.06
04/20/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	0.0	0.32
04/20/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.45	0.00	0.45	0.0	0.45
04/20/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.65	0.00	2.65	0.0	2.65
04/30/2018	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	0.00	70.22	0.00	70.22	0.0	70.22
04/30/2018	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	0.00	37.52	0.00	37.52	0.0	37.52
04/30/2018	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	0.00	34.24	0.00	34.24	0.0	34.24
04/30/2018	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	0.00	7.48	0.00	7.48	0.0	7.48
04/30/2018	COLBY, TODD	JNL PERSPECTIVE II 04/14	0.00	43.61	0.00	43.61	0.0	43.61
04/30/2018	ROY, DEBRA	JNL PERSPECTIVE II 04/14	0.00	137.57	0.00	137.57	0.0	137.57
04/30/2018	STEVERMER, THOMAS	JNL PERSPECTIVE II 04/14	0.00	46.58	0.00	46.58	0.0	46.58
04/30/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	0.00	39.35	0.00	39.35	0.0	39.35
04/30/2018	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	0.00	12.38	0.00	12.38	0.0	12.38
04/30/2018	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	0.00	61.52	0.00	61.52	0.0	61.52
04/30/2018	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	0.00	70.27	0.00	70.27	0.0	70.27
04/30/2018	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	0.00	117.46	0.00	117.46	0.0	117.46
04/30/2018	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	0.00	7.14	0.00	7.14	0.0	7.14
04/30/2018	PETERSON, IONE	JNL PERSPECTIVE L	0.00	145.50	0.00	145.50	0.0	145.50
04/30/2018	PANKRATZ, SUSAN	JNL PERSPECTIVE L	0.00	98.00	0.00	98.00	0.0	98.00
04/30/2018	PANKRATZ, EDWARD	JNL PERSPECTIVE L	0.00	174.93	0.00	174.93	0.0	174.93
04/30/2018	ROSE, DENNIS	JNL PERSPECTIVE L	0.00	143.45	0.00	143.45	0.0	143.45
04/30/2018	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	0.00	133.99	0.00	133.99	0.0	133.99
04/30/2018	JOHNSON, ELOIS	JNL PERSPECTIVE L	0.00	411.97	0.00	411.97	0.0	411.97

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
04/30/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	0.00	91.05	0.00	91.05	0.0	91.05
04/30/2018	FITTERER, DELORIS	JNL PERSPECTIVE L	0.00	204.45	0.00	204.45	0.0	204.45
04/30/2018	SHIRK, PAMELA	JNL PERSPECTIVE L	0.00	176.04	0.00	176.04	0.0	176.04
04/30/2018	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	0.00	205.06	0.00	205.06	0.0	205.06
04/30/2018	UTZKA, LANA	JNL PERSPECTIVE L	0.00	7.06	0.00	7.06	0.0	7.06
04/30/2018	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	0.00	152.36	0.00	152.36	0.0	152.36
04/30/2018	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	0.00	38.84	0.00	38.84	0.0	38.84
04/30/2018	DAHLKE, RONALD	JNL PERSPECTIVE L	0.00	7.88	0.00	7.88	0.0	7.88
04/30/2018	HOL, PATRICIA	JNL PERSPECTIVE L	0.00	108.82	0.00	108.82	0.0	108.82
04/30/2018	HAGER, REBECCA	JNL PERSPECTIVE L	0.00	308.13	0.00	308.13	0.0	308.13
04/30/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	0.00	31.12	0.00	31.12	0.0	31.12
04/30/2018	OLSON, BRENDA	JNL PERSPECTIVE L	0.00	47.04	0.00	47.04	0.0	47.04
04/30/2018	OLSON, BRYCE	JNL PERSPECTIVE L	0.00	381.07	0.00	381.07	0.0	381.07
04/30/2018	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	0.00	222.38	0.00	222.38	0.0	222.38
04/30/2018	GOFF, WESLEY	JNL PERSPECTIVE L	0.00	28.78	0.00	28.78	0.0	28.78
04/30/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	0.00	137.25	0.00	137.25	0.0	137.25
04/30/2018	KRAFT, JUDITH	JNL PERSPECTIVE L	0.00	87.96	0.00	87.96	0.0	87.96
04/30/2018	SIEBERG, JOSEPH	JNL PERSPECTIVE L	0.00	59.11	0.00	59.11	0.0	59.11
04/30/2018	DORN, SANDRA	JNL PERSPECTIVE L	0.00	436.24	0.00	436.24	0.0	436.24
04/30/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	0.00	176.62	0.00	176.62	0.0	176.62
04/30/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	0.00	74.86	0.00	74.86	0.0	74.86
04/30/2018	MARSH, JAMES	JNL PERSPECTIVE L	0.00	33.65	0.00	33.65	0.0	33.65
04/30/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	126.26	0.00	126.26	0.0	126.26
04/30/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	28.03	0.00	28.03	0.0	28.03
04/30/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	52.81	0.00	52.81	0.0	52.81
04/30/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	31.08	0.00	31.08	0.0	31.08
04/30/2018	FEAR, WILLIAM	JNL PERSPECTIVE L	0.00	119.59	0.00	119.59	0.0	119.59
04/30/2018	PEMBLE, MICHELLE	JNL PERSPECTIVE L	0.00	260.49	0.00	260.49	0.0	260.49
04/30/2018	HART, JILLANE	JNL PERSPECTIVE L	0.00	84.30	0.00	84.30	0.0	84.30
04/30/2018	HART, KEVIN	JNL PERSPECTIVE L	0.00	249.08	0.00	249.08	0.0	249.08
04/30/2018	STEFFL, CHRISTINE	JNL PERSPECTIVE L	0.00	20.62	0.00	20.62	0.0	20.62
04/30/2018	BEVAN, HELEN	JNL PERSPECTIVE L	0.00	31.59	0.00	31.59	0.0	31.59
04/30/2018	SOUKUP, LAVERN	JNL PERSPECTIVE L	0.00	214.57	0.00	214.57	0.0	214.57

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JACK, M137 MICHALETZ #287								
04/20/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.04
04/30/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	255,541.12	212.95	0.00	212.95	0.0	212.95
05/06/2018	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	1.00	0.00	1.00	0.0	1.00
04/17/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	18.13	0.00	18.13	0.0	18.13
04/17/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	40.02	0.00	40.02	0.0	40.02
04/17/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.70	0.00	4.70	0.0	4.70
04/17/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.56	0.00	12.56	0.0	12.56
04/16/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.42	0.00	0.42	0.0	0.42
04/23/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.81	0.00	2.81	0.0	2.81
04/23/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.35	0.00	0.35	0.0	0.35
05/07/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.72
04/23/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.38	0.00	0.38	0.0	0.38
05/07/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.73
04/23/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	10.65	0.00	10.65	0.0	10.65
04/23/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.97	0.00	0.97	0.0	0.97
04/16/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	23.58	0.00	23.58	0.0	23.58
04/23/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.80	0.00	3.80	0.0	3.80
04/23/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	4.13	0.00	4.13	0.0	4.13
04/23/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.54	0.00	2.54	0.0	2.54
04/23/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.39	0.00	5.39	0.0	5.39
05/04/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.75
05/04/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.75
04/23/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	5.70	0.00	5.70	0.0	5.70
04/23/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	21.00	0.00	21.00	0.0	21.00
04/23/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	18.22	0.00	18.22	0.0	18.22
05/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	62.67	0.63	0.00	0.63	0.0	0.63
05/01/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	62.67	0.63	0.00	0.63	0.0	0.63
05/01/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.41
05/01/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.41
05/01/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	61.31	0.61	0.00	0.61	0.0	0.61
05/01/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	61.31	0.61	0.00	0.61	0.0	0.61
05/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	61.98	0.62	0.00	0.62	0.0	0.62
05/01/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	61.98	0.62	0.00	0.62	0.0	0.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JACK, M137 MICHALETZ #287</u>								
05/02/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.81	0.00	2.81	0.0	2.81
05/02/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.78	0.00	5.78	0.0	5.78
05/02/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	40.04	0.00	40.04	0.0	40.04
05/02/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	40.04	0.00	40.04	0.0	40.04
05/02/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.59	0.00	5.59	0.0	5.59
05/02/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.17	0.00	17.17	0.0	17.17
05/02/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.82	0.00	5.82	0.0	5.82
05/02/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	0.0	1.79
05/02/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.51	0.00	16.51	0.0	16.51
05/02/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	51.82	0.00	51.82	0.0	51.82
05/02/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.55	0.00	1.55	0.0	1.55
05/02/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	44.90	0.00	44.90	0.0	44.90
05/02/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.95	0.00	6.95	0.0	6.95
05/02/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.93	0.00	7.93	0.0	7.93
05/02/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.72	0.00	1.72	0.0	1.72
05/02/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	0.0	2.64
05/02/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	19.29	0.00	19.29	0.0	19.29
05/02/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	18.51	0.00	18.51	0.0	18.51
05/02/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.59	0.00	11.59	0.0	11.59
05/02/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.17	0.00	2.17	0.0	2.17
05/02/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.20	0.00	2.20	0.0	2.20
05/02/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.41	0.00	1.41	0.0	1.41
05/02/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.64	0.00	3.64	0.0	3.64
05/02/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.12	0.00	1.12	0.0	1.12
05/02/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.10	0.00	1.10	0.0	1.10
05/02/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.74	0.00	5.74	0.0	5.74
05/02/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.05	0.00	21.05	0.0	21.05
05/02/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.53	0.00	9.53	0.0	9.53
05/02/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.32	0.00	5.32	0.0	5.32
05/02/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.86	0.00	3.86	0.0	3.86
05/02/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.08	0.00	8.08	0.0	8.08
05/02/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.12	0.00	2.12	0.0	2.12
05/02/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.47	0.00	8.47	0.0	8.47

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JACK, M137 MICHALETZ #287</u>								
05/02/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	17.84	0.00	17.84	0.0	17.84
05/02/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.83	0.00	15.83	0.0	15.83
05/02/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	1.09	0.00	1.09	0.0	1.09
05/02/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.76	0.00	4.76	0.0	4.76
05/02/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	0.0	1.85
05/02/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.79	0.00	2.79	0.0	2.79
05/02/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.78	0.00	1.78	0.0	1.78
05/02/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.03	0.00	3.03	0.0	3.03
05/02/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.67	0.00	0.67	0.0	0.67
05/02/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.33	0.00	4.33	0.0	4.33
05/02/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	0.30	0.00	0.30	0.0	0.30
05/02/2018	MICHALETZ, WHITNEY	TRANSAMERICA AA GROWTH C	0.00	0.87	0.00	0.87	0.0	0.87
05/02/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.60	0.00	9.60	0.0	9.60
05/02/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.25	0.00	16.25	0.0	16.25
05/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.50
05/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.50
05/03/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
05/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
05/04/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.37	0.29	0.00	0.29	0.0	0.29
05/04/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	29.37	0.29	0.00	0.29	0.0	0.29
05/04/2018	SHOUTZ, PAIGE	TRANSAMERICA AA GROWTH C	23.16	0.23	0.00	0.23	0.0	0.23
05/04/2018	SHOUTZ, PAIGE	TRANSAMERICA AA GROWTH C	38.62	0.39	0.00	0.39	0.0	0.39
05/07/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-9.00
04/23/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	32.79	0.00	32.79	0.0	32.79
05/02/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	7.50	0.00	7.50	0.0	7.50
05/02/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.48	0.00	3.48	0.0	3.48
05/02/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.01	0.00	5.01	0.0	5.01
05/02/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	50.94	0.00	50.94	0.0	50.94
05/02/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	8.95	0.00	8.95	0.0	8.95
05/02/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.52	0.00	7.52	0.0	7.52
05/02/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.27	0.00	1.27	0.0	1.27
05/02/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.32	0.00	2.32	0.0	2.32
05/02/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	10.76	0.00	10.76	0.0	10.76

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
05/02/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.42	0.00	7.42	0.0	7.42
05/02/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	4.19	0.00	4.19	0.0	4.19
05/02/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	0.12	0.00	0.12	0.0	0.12
05/04/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	329.58	3.30	0.00	3.30	0.0	3.30
05/04/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	988.71	9.89	0.00	9.89	0.0	9.89
05/04/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	105.39	1.05	0.00	1.05	0.0	1.05
05/04/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	175.67	1.76	0.00	1.76	0.0	1.76
05/04/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	42.69	0.43	0.00	0.43	0.0	0.43
05/04/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	42.69	0.43	0.00	0.43	0.0	0.43
04/23/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.78	0.00	0.78	0.0	0.78
04/23/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.74	0.00	0.74	0.0	0.74
04/23/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.49	0.00	0.49	0.0	0.49
04/23/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.43	0.00	0.43	0.0	0.43
04/23/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.63	0.00	1.63	0.0	1.63
04/25/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
04/25/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
* JACK, M137 MICHALETZ SUBTOTAL *			\$259,654.55	\$7,240.51	\$36.00	\$7,204.51		\$7,204.51
<u> BROKER: 46G-JOE/JACK MICHALETZ #290 </u>								
04/27/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.56	0.00	8.56	0.0	8.56
04/27/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.67	0.00	25.67	0.0	25.67
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$0.00	\$34.23	\$0.00	\$34.23		\$34.23
<u> BROKER: JAMES, B153 BREWER #291 </u>								
04/19/2018	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.22	0.00	0.22	0.0	0.22
04/19/2018	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.87	0.00	2.87	0.0	2.87
04/19/2018	BARNETT, ALVA	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	0.0	0.18
04/19/2018	CROSBY, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	1.88	0.00	1.88	0.0	1.88
04/19/2018	LIMCHOC, OSCAR	AM FUNDS AMERICAN BALANCED A	0.00	5.54	0.00	5.54	0.0	5.54
04/19/2018	DUNCAN, DONALD	AM FUNDS AMERICAN BALANCED A	0.00	2.28	0.00	2.28	0.0	2.28
04/19/2018	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	2.36	0.00	2.36	0.0	2.36
04/19/2018	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.65	0.00	3.65	0.0	3.65
04/19/2018	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	4.10	0.00	4.10	0.0	4.10
04/19/2018	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.58	0.00	3.58	0.0	3.58

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES, B153 BREWER #291 </u>								
04/19/2018	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	0.0	0.26
04/19/2018	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	58.38	0.00	58.38	0.0	58.38
04/19/2018	BEBOUT, DARRELL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.13	0.00	5.13	0.0	5.13
04/19/2018	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	12.78	0.00	12.78	0.0	12.78
04/19/2018	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	10.10	0.00	10.10	0.0	10.10
04/12/2018	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE FUND	0.00	0.05	0.00	0.05	0.0	0.05
04/19/2018	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.43	0.00	1.43	0.0	1.43
04/19/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.19	0.00	2.19	0.0	2.19
04/19/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.17	0.00	1.17	0.0	1.17
04/19/2018	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	12.39	0.00	12.39	0.0	12.39
04/19/2018	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.38	0.00	1.38	0.0	1.38
04/19/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.12	0.00	2.12	0.0	2.12
04/19/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.13	0.00	1.13	0.0	1.13
04/19/2018	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.06	0.00	0.06	0.0	0.06
04/05/2018	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL APPRECI	0.00	2.75	0.00	2.75	0.0	2.75
04/05/2018	DUNCAN, DONALD	CATALYST/MAP GLOBAL TOTAL RETURN IN	0.00	1.88	0.00	1.88	0.0	1.88
04/05/2018	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN IN	0.00	2.49	0.00	2.49	0.0	2.49
04/24/2018	BARNETT, ALVA	COLUMBIA DIVIDEND INCOME A	0.00	0.10	0.00	0.10	0.0	0.10
04/24/2018	CROSBY, RONALD	COLUMBIA DIVIDEND INCOME A	0.00	1.21	0.00	1.21	0.0	1.21
04/24/2018	FRESHLY, BEATRICE	COLUMBIA DIVIDEND INCOME A	0.00	6.19	0.00	6.19	0.0	6.19
04/24/2018	LIMCHOC, OSCAR	COLUMBIA DIVIDEND INCOME A	0.00	5.48	0.00	5.48	0.0	5.48
04/24/2018	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	0.0	0.05
04/13/2018	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.34	0.00	0.34	0.0	0.34
04/19/2018	CROSBY, RONALD	FRANKLIN INCOME A	0.00	0.46	0.00	0.46	0.0	0.46
04/19/2018	LIMCHOC, OSCAR	FRANKLIN INCOME A	0.00	3.26	0.00	3.26	0.0	3.26
04/19/2018	COMPTON, SHARON	FRANKLIN INCOME A	0.00	2.16	0.00	2.16	0.0	2.16
04/19/2018	FALTER, THEODORE	FRANKLIN RISING DIVIDENDS A	0.00	0.02	0.00	0.02	0.0	0.02
04/19/2018	FRESHLY, BEATRICE	FRANKLIN UTILITIES A	0.00	2.05	0.00	2.05	0.0	2.05
04/12/2018	DUNCAN, JAMES	GOOD HARBOR US TACTICAL CORE FUND	0.00	4.49	0.00	4.49	0.0	4.49
04/17/2018	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	5.63	0.00	5.63	0.0	5.63
04/17/2018	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	7.02	0.00	7.02	0.0	7.02
04/17/2018	FALTER, THEODORE	HARTFORD HEALTHCARE A	0.00	1.18	0.00	1.18	0.0	1.18
04/17/2018	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES F	0.00	1.67	0.00	1.67	0.0	1.67

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES, B153 BREWER #291 </u>								
04/17/2018	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	5.38	0.00	5.38	0.0	5.38
04/23/2018	WESS, DONALD	ING LANDMARK	34,549.47	107.97	0.00	107.97	0.0	107.97
04/18/2018	SELCER, RICHARD	INVESCO BALANCED RISK ALLOCATION A	0.00	10.71	0.00	10.71	0.0	10.71
04/18/2018	NEWSOME, ALVIE	INVESCO BALANCED RISK ALLOCATION A	0.00	10.68	0.00	10.68	0.0	10.68
04/18/2018	BARNETT, ALVA	INVESCO BALANCED RISK ALLOCATION A	0.00	6.43	0.00	6.43	0.0	6.43
04/18/2018	CROSBY, RONALD	INVESCO BALANCED RISK ALLOCATION A	0.00	12.14	0.00	12.14	0.0	12.14
04/18/2018	FRESHLY, BEATRICE	INVESCO TAX-FREE INTERMEDIATE A	0.00	13.87	0.00	13.87	0.0	13.87
04/30/2018	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	0.00	14.46	0.00	14.46	0.0	14.46
04/30/2018	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	0.00	944.06	0.00	944.06	0.0	944.06
04/16/2018	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
04/23/2018	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	0.00	0.01	0.0	0.01
04/17/2018	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	8.35	0.00	8.35	0.0	8.35
04/17/2018	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	0.00	0.03	0.0	0.03
04/17/2018	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
04/16/2018	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.86	0.00	3.86	0.0	3.86
04/16/2018	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	59.06	0.00	59.06	0.0	59.06
04/16/2018	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03
04/25/2018	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO OPTIMIZATI	0.00	0.10	0.00	0.10	0.0	0.10
04/11/2018	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND C	0.00	22.21	0.00	22.21	0.0	22.21
04/18/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.00	0.00	2.00	0.0	2.00
04/18/2018	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.41	0.00	3.41	0.0	3.41
04/18/2018	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.52	0.00	6.52	0.0	6.52
04/18/2018	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.00	0.00	5.00	0.0	5.00
04/18/2018	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.87	0.00	2.87	0.0	2.87
04/18/2018	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.02	0.00	7.02	0.0	7.02
04/18/2018	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.26	0.00	2.26	0.0	2.26
04/18/2018	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.65	0.00	5.65	0.0	5.65
04/18/2018	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	7.63	0.00	7.63	0.0	7.63
04/18/2018	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.87	0.00	5.87	0.0	5.87
04/19/2018	MYERS, GARY	TEMPLETON GROWTH A	0.00	31.92	0.00	31.92	0.0	31.92
04/19/2018	MYERS, GARY	TEMPLETON WORLD A	0.00	0.44	0.00	0.44	0.0	0.44
04/10/2018	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH FU	0.00	4.38	0.00	4.38	0.0	4.38
04/10/2018	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY FUN	0.00	2.01	0.00	2.01	0.0	2.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES, B153 BREWER #291</u>								
04/11/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.00	0.03	0.0	0.03
		* JAMES, B153 BREWER SUBTOTAL *	\$34,549.47	\$1,490.14	\$0.00	\$1,490.14		\$1,490.14
<u>BROKER: JANE MICHALETZ #347</u>								
04/30/2018	BURNHAM, MOLLY	JNL PERSPECTIVE II 05/05	0.00	31.96	0.00	31.96	0.0	31.96
		* JANE MICHALETZ SUBTOTAL *	\$0.00	\$31.96	\$0.00	\$31.96		\$31.96
<u>BROKER: MARTIN PROSHEK #355</u>								
04/20/2018	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	1.37	0.00	1.37	0.0	1.37
04/19/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.36	0.00	0.36	0.0	0.36
04/19/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	0.0	0.08
04/24/2018	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	2.25	0.00	2.25	0.0	2.25
04/19/2018	NERO, PATRICK	FRANKLIN BALANCED C	0.00	2.31	0.00	2.31	0.0	2.31
04/19/2018	NERO, BARBARA	FRANKLIN BALANCED C	0.00	5.80	0.00	5.80	0.0	5.80
04/12/2018	HENNINGER, DAROLD	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	2.42	0.00	2.42	0.0	2.42
04/17/2018	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	0.23	0.00	0.23	0.0	0.23
04/20/2018	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	3.55	0.00	3.55	0.0	3.55
04/16/2018	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	3.36	0.00	3.36	0.0	3.36
04/16/2018	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.61	0.00	5.61	0.0	5.61
04/23/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.92	0.00	0.92	0.0	0.92
04/23/2018	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.48	0.00	2.48	0.0	2.48
04/23/2018	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.92	0.00	8.92	0.0	8.92
04/23/2018	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	3.10	0.00	3.10	0.0	3.10
		* MARTIN PROSHEK SUBTOTAL *	\$0.00	\$42.76	\$0.00	\$42.76		\$42.76
<u>BROKER: OVERRIDE REJECT #360</u>								
04/30/2018	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	0.0	2.76
05/02/2018	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	0.0	1.74
		* OVERRIDE REJECT SUBTOTAL *	\$225.00	\$4.50	\$0.00	\$4.50		\$4.50
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
04/30/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	117,776.30	98.15	0.00	98.15	0.0	9.82
04/20/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.55	0.00	4.55	0.0	0.46
04/20/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.66	0.00	3.66	0.0	0.37
04/19/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.80	0.00	2.80	0.0	0.28

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
04/30/2018	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.74	0.00	8.74	0.0	0.87
04/30/2018	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.44	0.00	12.44	0.0	1.24
04/30/2018	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	17.91	0.00	17.91	0.0	1.79
04/16/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.10	0.00	6.10	0.0	0.61
04/25/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.09	0.00	1.09	0.0	0.11
04/25/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.37	0.00	1.37	0.0	0.14
04/25/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.65	0.00	0.65	0.0	0.07
04/25/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.33	0.00	5.33	0.0	0.53
04/25/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.02	0.00	5.02	0.0	0.50
04/25/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.24	0.00	9.24	0.0	0.92
04/25/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.86	0.00	1.86	0.0	0.19
04/16/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.95	0.00	4.95	0.0	0.50
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.02	0.00	5.02	0.0	0.50
04/16/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.02
04/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.63	0.00	0.63	0.0	0.06
04/16/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.31	0.00	5.31	0.0	0.53
04/16/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.21	0.00	2.21	0.0	0.22
04/16/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.73	0.00	0.73	0.0	0.07
04/16/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.12	0.00	1.12	0.0	0.11
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$117,776.30	\$199.04	\$0.00	\$199.04		\$19.91
<u>BROKER: DAVID EURTON #414</u>								
03/31/2018	SCHOLTEN, JOANNE	JNL PERSPECTIVE II 04/14	-220,874.02	-138.05	0.00	-138.05	0.0	-138.05
04/30/2018	LUKSCH, GARY	JNL PERSPECTIVE II 04/14	0.00	57.99	0.00	57.99	0.0	57.99
04/30/2018	LUKSCH, MARY	JNL PERSPECTIVE II 04/14	0.00	114.59	0.00	114.59	0.0	114.59
04/30/2018	RICE, DORIS	JNL PERSPECTIVE L	0.00	236.71	0.00	236.71	0.0	236.71
* DAVID EURTON SUBTOTAL *			\$-220,874.02	\$271.24	\$0.00	\$271.24		\$271.24
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,835,053.88	\$59,436.35	\$177.00	\$59,259.35		\$59,080.22

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,086.87
Split Transactions	\$0.00
Override Transactions	\$59,080.22
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$61,167.09
Year-to-date Gross	\$68,459.83
Year-to-date Earnings	\$191,995.76