

**COMMISSION STATEMENT****July 31, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
 11617 Coldwater Road  
 Suite 103  
 Fort Wayne, IN 46845

**BROKER #: L131**  
**BRANCH: APPLE TREE FINANCIAL**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
^06/30/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,483.34	43.96	0.00	43.96	90.0	0.00
^06/30/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,483.34	43.96	0.00	43.96	90.0	0.00
^06/30/2018	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	18,336.32	15.07	0.00	15.07	90.0	0.00
^06/30/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,251.75	43.77	0.00	43.77	90.0	0.00
* INTERVAL FUNDS SUBTOTAL *			<b>\$178,554.75</b>	<b>\$146.76</b>	<b>\$0.00</b>	<b>\$146.76</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
^07/13/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	8.25	2.38	0.00	2.38	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.38	0.00	2.38	90.0	0.00
^07/13/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^07/13/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
* 529 PLANS SUBTOTAL *			<b>\$108.25</b>	<b>\$5.76</b>	<b>\$0.00</b>	<b>\$5.76</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^07/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^07/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^07/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^ / /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^ / /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.39	0.00	0.39	90.0	0.00
^07/13/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^07/13/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^07/13/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^07/13/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.27	0.00	0.27	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$1,296.75</b>	<b>\$66.50</b>	<b>\$0.00</b>	<b>\$66.50</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^ / /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.50	0.00	0.50	90.0	0.00
^ / /	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	1.91	0.00	1.91	90.0	0.00
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.95	0.00	3.95	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	2.21	0.00	2.21	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.11	0.00	0.11	90.0	0.00
^ / /	LINN, ROSANNA	INA 2034 ENROLLMENT PORT CLASS A	0.00	0.02	0.00	0.02	90.0	0.00
^ / /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.87	0.00	2.87	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	21.77	0.00	21.77	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	22.18	0.00	22.18	90.0	0.00
^ / /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	1.28	0.00	1.28	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	1.76	0.00	1.76	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.03	0.00	2.03	90.0	0.00
^ / /	CRAWFORD, JOHN	INA COLLEGE PORT CLASS A	0.00	0.03	0.00	0.03	90.0	0.00
^ / /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.68	0.00	0.68	90.0	0.00
^ / /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	4.90	0.00	4.90	90.0	0.00
^ / /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	3.20	0.00	3.20	90.0	0.00
^ / /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	3.72	0.00	3.72	90.0	0.00
^ / /	HAMM, GAIL	INA COLLEGE PORT CLASS A	0.00	3.00	0.00	3.00	90.0	0.00
^ / /	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.12	0.00	0.12	90.0	0.00
^ / /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.55	0.00	0.55	90.0	0.00
^ / /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	3.46	0.00	3.46	90.0	0.00
^ / /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	2.06	0.00	2.06	90.0	0.00
^ / /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	2.06	0.00	2.06	90.0	0.00
^ / /	LINN, JASON	INA PIMCO TOT RET PORT CLA A	0.00	0.59	0.00	0.59	90.0	0.00
^ / /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	5.46	0.00	5.46	90.0	0.00
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	3.47	0.00	3.47	90.0	0.00
^ / /	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	0.00	1.53	0.00	1.53	90.0	0.00
^ / /	LINN, ROSANNA	INA TIPS INDEX PORT CLASS A	0.00	0.79	0.00	0.79	90.0	0.00
^ / /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	5.44	0.00	5.44	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.60	0.00	1.60	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	2.20	0.00	2.20	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	2.75	0.00	2.75	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.46	0.00	1.46	90.0	0.00

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^ / /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.49	0.00	1.49	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	1.01	0.00	1.01	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.34	0.00	0.34	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.30	0.00	0.30	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.32	0.00	0.32	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.51	0.00	0.51	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.81	0.00	2.81	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.77	0.00	0.77	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	6.15	0.00	6.15	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	12.98	0.00	12.98	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.36	0.00	0.36	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.55	0.00	0.55	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	5.12	0.00	5.12	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$142.37	\$0.00	\$142.37		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$179,959.75	\$361.39	\$0.00	\$361.39		\$0.00

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

07/13/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	8.25	0.71	0.00	0.71	90.0	0.64
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.71	0.00	0.71	90.0	0.64
07/13/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
07/13/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$108.25	\$1.72	\$0.00	\$1.72		\$1.56

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

07/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
07/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
06/30/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,483.34	13.19	0.00	13.19	90.0	11.87
06/30/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,483.34	13.19	0.00	13.19	90.0	11.87
06/30/2018	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	18,336.32	4.52	0.00	4.52	90.0	4.07
06/30/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,251.75	13.13	0.00	13.13	90.0	11.82
/ /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.15	0.00	0.15	90.0	0.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
/ /	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	0.57	0.00	0.57	90.0	0.51
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.19	0.00	1.19	90.0	1.07
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	LINN, ROSANNA	INA 2034 ENROLLMENT PORT CLASS A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.86	0.00	0.86	90.0	0.77
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	6.53	0.00	6.53	90.0	5.88
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	6.65	0.00	6.65	90.0	5.99
/ /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.38	0.00	0.38	90.0	0.34
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.53	0.00	0.53	90.0	0.48
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.61	0.00	0.61	90.0	0.55
/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	CRAWFORD, JOHN	INA COLLEGE PORT CLASS A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.20	0.00	0.20	90.0	0.18
/ /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	1.47	0.00	1.47	90.0	1.32
/ /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	0.96	0.00	0.96	90.0	0.86
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.12	0.00	1.12	90.0	1.01
/ /	HAMM, GAIL	INA COLLEGE PORT CLASS A	0.00	0.90	0.00	0.90	90.0	0.81
/ /	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.04	0.00	0.04	90.0	0.04
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	1.04	0.00	1.04	90.0	0.94
/ /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	0.62	0.00	0.62	90.0	0.56
/ /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	0.62	0.00	0.62	90.0	0.56
07/13/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
07/13/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
07/13/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
/ /	LINN, JASON	INA PIMCO TOT RET PORT CLA A	0.00	0.18	0.00	0.18	90.0	0.16
07/13/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.08	0.00	0.08	90.0	0.07
/ /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	1.64	0.00	1.64	90.0	1.48
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	1.04	0.00	1.04	90.0	0.94
/ /	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	0.00	0.46	0.00	0.46	90.0	0.41
/ /	LINN, ROSANNA	INA TIPS INDEX PORT CLASS A	0.00	0.24	0.00	0.24	90.0	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	1.63	0.00	1.63	90.0	1.47
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.83	0.00	0.83	90.0	0.75
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	0.44	0.00	0.44	90.0	0.40
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	0.45	0.00	0.45	90.0	0.41
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.30	0.00	0.30	90.0	0.27
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.09	0.00	0.09	90.0	0.08
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.10	0.00	0.10	90.0	0.09
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.15	0.00	0.15	90.0	0.14
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.84	0.00	0.84	90.0	0.76
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.23	0.00	0.23	90.0	0.21
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	1.85	0.00	1.85	90.0	1.67
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	3.89	0.00	3.89	90.0	3.50
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.54	0.00	1.54	90.0	1.39
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$179,851.50</b>	<b>\$106.73</b>	<b>\$0.00</b>	<b>\$106.73</b>		<b>\$96.10</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$179,959.75</b>	<b>\$108.45</b>	<b>\$0.00</b>	<b>\$108.45</b>		<b>\$97.66</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$97.66
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$79.35
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$177.01
Year-to-date Gross	\$961.81
Year-to-date Earnings	\$842.38