

## COMMISSION STATEMENT

August 31, 2018

JAY SHARTSIS  
54 BIRCHWOOD LANE

BROKER #: P82 P83 P84

BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
08/06/2018	QGW009151	KOBOLD, MICHAEL	FACEBOOK INC CL A	B	9,177.34	80.73	6.00	74.73	50.0	37.37
08/08/2018	QGW009151	KOBOLD, MICHAEL	FACEBOOK INC CL A	B	3,726.76	58.89	6.00	52.89	50.0	26.45
08/03/2018	QGW009177	-, MICHAEL KRUEGER	UNITED STATES STL CORP NEW	B	17,000.00	50.00	6.00	44.00	50.0	22.00
08/08/2018	QGW009177	-, MICHAEL KRUEGER	UNITED STATES STL CORP NEW	S	-15,305.00	50.00	6.00	44.00	50.0	22.00
08/16/2018	QGW009540	CINQUEMANI, FRANK	INTEL CORP	S	-23,676.25	98.50	6.00	92.50	50.0	46.25
08/06/2018	QGW021461	BURNS, RHONDIE	FORD MOTOR CO PAR \$0.01	B	5,003.00	120.51	6.00	114.51	50.0	57.26
08/15/2018	QGW021461	BURNS, RHONDIE	KIRKLAND LAKE GOLD LTD COM ISIN# CA B	B	1,975.29	50.00	6.00	44.00	50.0	22.00
08/20/2018	QGW021461	BURNS, RHONDIE	PIER ONE IMPORTS INC (DEL)	B	1,886.80	98.50	6.00	92.50	50.0	46.25
08/01/2018	QGW029514	HUNT, GREGORY	TEUCRIUM COMMODITY TR WHEAT FD	S	-11,883.00	124.00	6.00	118.00	50.0	59.00
* STOCKS SUBTOTAL *					\$-12,095.06	\$731.13	\$54.00	\$677.13		\$338.58

PRODUCT CATEGORY: OPTIONS

08/13/2018	QGW009094	KANTO, WILLIAM	PUT 100 GENERAL ELECTRIC CO COM EXP B		5,080.00	208.31	7.00	201.31	50.0	100.66
08/16/2018	QGW009094	KANTO, WILLIAM	CALL 100 ISHARES TR BARCLAYS 20+ YR B		1,560.00	94.50	7.00	87.50	50.0	43.75
08/16/2018	QGW009094	KANTO, WILLIAM	CALL 100 ISHARES TR BARCLAYS 20+ YR S		-3,250.00	98.50	7.00	91.50	50.0	45.75
08/27/2018	QGW009094	KANTO, WILLIAM	CALL 100 FORD MOTOR CO DEL COM PAR B		4,200.00	148.00	7.00	141.00	50.0	70.50
08/27/2018	QGW009094	KANTO, WILLIAM	PUT 100 ISHARES TR S&P 500 VALUE ET S		-5,100.00	124.00	7.00	117.00	50.0	58.50
08/13/2018	QGW009151	KOBOLD, MICHAEL	PUT 100 APPLE INC COM EXP 09-07-18@ B		850.00	58.36	7.00	51.36	50.0	25.68
08/28/2018	QGW009151	KOBOLD, MICHAEL	PUT 100 APPLE INC COM EXP 09-07-18@ S		-85.00	10.00	7.00	3.00	50.0	1.50
08/22/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 TRONOX LTD SHS CL A ISIN# A B		694.00	50.00	7.00	43.00	50.0	21.50
08/23/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 KEYCORP NEW COM EXP 12-21- S		-325.00	50.00	7.00	43.00	50.0	21.50
08/23/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 MCDERMOTT INTERNATIONAL IN S		-320.00	50.00	7.00	43.00	50.0	21.50
08/24/2018	QGW009177	-, MICHAEL KRUEGER	CALL 100 PROSHARES TR II ULTRA BLOO S		-220.00	50.00	7.00	43.00	50.0	21.50
08/24/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 PROSHARES TR II ULTRA BLOOM S		-270.00	50.00	7.00	43.00	50.0	21.50
08/30/2018	QGW009177	-, MICHAEL KRUEGER	PUT 100 TRONOX LTD SHS CL A ISIN# AU S		-300.00	50.00	7.00	43.00	50.0	21.50
08/01/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 S		-1,974.00	50.00	7.00	43.00	50.0	21.50
08/01/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 S		1,974.00	-50.00	-7.00	-43.00	50.0	-21.50 C
08/01/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 S		-1,974.00	50.00	7.00	43.00	50.0	21.50
08/06/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 B		3,148.00	55.37	7.00	48.37	50.0	24.19
08/06/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 S		-1,495.00	50.00	7.00	43.00	50.0	21.50
08/09/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-17-1 S		-2,091.00	50.00	7.00	43.00	50.0	21.50

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: OPTIONS</b>									
08/09/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 B	6,500.00	80.73	7.00	73.73	50.0	36.87
08/10/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-10-1 B	215.00	50.00	7.00	43.00	50.0	21.50
08/14/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-17-1 B	2,650.00	50.44	7.00	43.44	50.0	21.72
08/14/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-24-1 S	-2,205.00	50.00	7.00	43.00	50.0	21.50
08/17/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-24-1 B	505.00	50.00	7.00	43.00	50.0	21.50
08/17/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-17-1 B	285.00	50.00	7.00	43.00	50.0	21.50
08/21/2018	QGW009292	OSTOW, MARK	CALL 100 APPLE INC COM EXP 08-24-18 B	330.00	50.00	7.00	43.00	50.0	21.50
08/21/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-31-1 S	-2,235.00	50.00	7.00	43.00	50.0	21.50
08/21/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-31-1 S	2,235.00	-50.00	-7.00	-43.00	50.0	-21.50 C
08/21/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-31-1 S	-2,235.00	50.00	7.00	43.00	50.0	21.50
08/28/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-31-1 S	-1,046.00	50.00	7.00	43.00	50.0	21.50
08/28/2018	QGW009292	OSTOW, MARK	CALL 100 APPLE INC COM EXP 08-31-18 B	200.00	50.00	7.00	43.00	50.0	21.50
08/29/2018	QGW009292	OSTOW, MARK	CALL 100 AMAZON COM INC EXP 08-31-1 B	805.00	50.00	7.00	43.00	50.0	21.50
08/30/2018	QGW009292	OSTOW, MARK	CALL 100 APPLE INC COM EXP 08-31-18 S	-3,180.00	97.50	7.00	90.50	50.0	45.25
08/07/2018	QGW009581	PRINDLE DDS	PUT 100 CBL & ASSOC PPTYS INC REIT B	6,750.00	198.50	7.00	191.50	50.0	95.75
08/01/2018	QGW029514	HUNT, GREGORY	CALL 100 KIRKLAND LAKE GOLD LTD COM S	-5,600.00	98.50	7.00	91.50	50.0	45.75
08/03/2018	QGW029514	HUNT, GREGORY	CALL 100 KIRKLAND LAKE GOLD LTD COM B	3,972.00	124.00	7.00	117.00	50.0	58.50
08/03/2018	QGW029514	HUNT, GREGORY	CALL 100 VANECK VECTORS ETF TR JR G B	8,400.00	224.00	7.00	217.00	50.0	108.50
08/17/2018	QGW029514	HUNT, GREGORY	CALL 100 KIRKLAND LAKE GOLD LTD COM S	-2,209.00	110.79	7.00	103.79	50.0	51.90
<b>* OPTIONS SUBTOTAL *</b>				<b>\$14,239.00</b>	<b>\$2,681.50</b>	<b>\$238.00</b>	<b>\$2,443.50</b>		<b>\$1,221.77</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

08/31/2018		FEE COUNT - 2		0.00	46.56	0.00	46.56	50.0	23.29
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$46.56</b>	<b>\$0.00</b>	<b>\$46.56</b>		<b>\$23.29</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$2,143.94</b>	<b>\$3,459.19</b>	<b>\$292.00</b>	<b>\$3,167.19</b>		<b>\$1,583.64</b>

**ADJUSTMENTS**

08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
08/31/2018		OTHER: OTHER--SALARY		0.00	0.00	0.00	0.00		500.00
08/31/2018		OTHER: CBOE		0.00	0.00	0.00	0.00		-250.00
08/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-96.36
08/31/2018		OTHER: QUODD		0.00	0.00	0.00	0.00		-65.88
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$59.76</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,583.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$59.76
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.32
SIPC Assessment	\$-8.65

Check Amount	\$1,630.43
Year-to-date Earnings	\$10,804.10