

## COMMISSION STATEMENT

August 31, 2017

CLOSED Z-ACCOUNT

BROKER #: 75

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

|            |          |                  |                                 |   |            |      |      |      |      |      |
|------------|----------|------------------|---------------------------------|---|------------|------|------|------|------|------|
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | DOMINOS PIZZA INC               | B | 36,638.00  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | DOMINOS PIZZA INC               | S | 18,319.00  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | DOMINOS PIZZA INC               | S | 18,319.00  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | LANDSTAR SYSTEMS INC COM        | B | 24,860.01  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | LANDSTAR SYSTEMS INC COM        | S | 8,285.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | LANDSTAR SYSTEMS INC COM        | S | 8,290.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | LANDSTAR SYSTEMS INC COM        | S | 579.95     | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/27/2017 | 75599821 | RF LAFFERTY & CO | LANDSTAR SYSTEMS INC COM        | S | 7,705.05   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/28/2017 | 75599821 | RF LAFFERTY & CO | FORTIVE CORPORATION COM         | S | 16,152.50  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 07/28/2017 | 75599821 | RF LAFFERTY & CO | FORTIVE CORPORATION COM         | B | 16,152.50  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/01/2017 | 75599821 | RF LAFFERTY & CO | CME GROUP INC COM               | S | 30,912.50  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/01/2017 | 75599821 | RF LAFFERTY & CO | CME GROUP INC COM               | B | 30,912.50  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/01/2017 | 75599821 | RF LAFFERTY & CO | COGNEX CORP                     | S | 10,498.00  | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/01/2017 | 75599821 | RF LAFFERTY & CO | COGNEX CORP                     | B | 2,624.50   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/01/2017 | 75599821 | RF LAFFERTY & CO | COGNEX CORP                     | B | 2,624.50   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/01/2017 | 75599821 | RF LAFFERTY & CO | COGNEX CORP                     | B | 5,249.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | B | 937,839.00 | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 93.88      | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 93.95      | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 937.60     | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 937.80     | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 940.00     | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,387.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,389.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,390.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,390.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,400.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,400.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,401.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,402.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |
| 08/02/2017 | 75599821 | RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM | S | 9,403.00   | 0.00 | 0.00 | 0.00 | 80.0 | 0.00 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE                      | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>      |                           |                                       |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: STOCKS</u> |                           |                                       |     |                      |                     |                    |                   |      |                      |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 9,407.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 9,411.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 9,415.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 9,415.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 99,364.40            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 1,500.16             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 1,875.40             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 1,875.80             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 1,876.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 1,876.40             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 1,877.20             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 2,346.75             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 468.85               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 468.90               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 468.95               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 469.00               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 4,690.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 5,162.30             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 618,684.00           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 6,938.24             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 8,460.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 8,907.20             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 8,908.15             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 8,910.05             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 8,911.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 9,293.13             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | APPLIED OPTOELECTRONICS INC COM       | S   | 9,294.12             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | ROSS STORES INC                       | B   | 27,844.95            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                      | 75599821 RF LAFFERTY & CO | ROSS STORES INC                       | S   | 27,844.95            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH B |     | 51,875.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S |     | 2,075.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S |     | 2,593.75             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S |     | 26,456.25            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S |     | 10,375.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S |     | 10,375.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | CHASE CORP                            | B   | 29,175.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | CHASE CORP                            | S   | 5,826.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | CHASE CORP                            | S   | 8,739.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | CHASE CORP                            | S   | 14,610.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH B |     | 52,250.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |

| TRADE DATE                      | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE  | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |  |  |  |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|---------------------|-------------------|------|----------------------|--|--|--|
|                                 |                           |                                       |     |                      |                     | BROKER TRANSACTIONS |                   |      |                      |  |  |  |
| <u>PRODUCT CATEGORY: STOCKS</u> |                           |                                       |     |                      |                     |                     |                   |      |                      |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | PBF LOGISTICS LP UNIT LTD PARTNERSH S | S   | 2,090.00             | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY              | B   | 33,479.00            | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/04/2017                      | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY              | S   | 33,479.00            | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/08/2017                      | 75599821 RF LAFFERTY & CO | ROSS STORES INC                       | S   | 16,886.97            | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/08/2017                      | 75599821 RF LAFFERTY & CO | ROSS STORES INC                       | B   | 16,886.97            | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | GARTNER INC                           | B   | 29,592.50            | 0.00                | 0.00                | 0.00              | 80.0 | 0.00                 |  |  |  |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | GARTNER INC                           | S   | 29,592.53            | 0.00                |                     |                   |      |                      |  |  |  |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE                      | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>      |                           |                                       |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: STOCKS</u> |                           |                                       |     |                      |                     |                    |                   |      |                      |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | ILG INC COM                           | S   | 5,104.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | ILG INC COM                           | S   | 2,067.12             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | PERKINELMER INC COM                   | B   | 19,086.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | PERKINELMER INC COM                   | S   | 19,086.03            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY              | B   | 49,911.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY              | S   | 33,276.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | SHERWIN WILLIAMS COMPANY              | S   | 16,635.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | B   | 39,227.50            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 18,232.25            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | B   | -18,232.30           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 0.00                 | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | B   | 0.00                 | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 18,232.25            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/10/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 20,767.50            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | EMBASSY BANCORP INC                   | S   | 14,899.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | EMBASSY BANCORP INC                   | B   | 14,899.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | IAC INTERACTIVECORP COM               | S   | 30,654.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | IAC INTERACTIVECORP COM               | B   | 10,214.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | IAC INTERACTIVECORP COM               | B   | 20,440.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 382.20               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 15,138.06            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 2,720.25             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 12,400.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 16,400.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 10,434.70            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | B   | 55,645.07            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | -55,645.10           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/11/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | B   | 57,475.22            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | CHECK POINT SOFTWARE TECHNOLOGIES L S | S   | 32,325.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | CHECK POINT SOFTWARE TECHNOLOGIES L B | S   | 10,775.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | CHECK POINT SOFTWARE TECHNOLOGIES L B | S   | 21,550.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM           | B   | 100,220.00           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM           | S   | 50,090.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM           | S   | 50,130.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | METTLER-TOLEDO INTERNATIONAL INC      | S   | 11,658.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | METTLER-TOLEDO INTERNATIONAL INC      | B   | 11,658.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | PALATIN TECHNOLOGIES INC COM PAR \$   | S   | 4,000.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | PALATIN TECHNOLOGIES INC COM PAR \$   | B   | 4,000.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | B   | 18,830.80            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP         | S   | 895.00               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE                      | CLIENT                    | INVESTMENT                        | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------|---------------------------|-----------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>      |                           |                                   |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: STOCKS</u> |                           |                                   |     |                      |                     |                    |                   |      |                      |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 895.00               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 2,228.55             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 2,685.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 3,580.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 3,580.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 4,036.45             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 456.45               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                      | 75599821 RF LAFFERTY & CO | TECHNICAL COMMUNICATIONS CORP     | S   | 474.35               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | CATASYS INC COM PAR\$.0001 2017   | S   | 501.40               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | CATASYS INC COM PAR\$.0001 2017   | B   | 501.40               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | B   | 299,136.50           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | S   | 50,256.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | S   | 50,290.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | S   | 50,330.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | S   | 47,680.50            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | S   | 50,290.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                      | 75599821 RF LAFFERTY & CO | DELTA AIR LINES INC DEL COM       | S   | 50,290.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | S   | 41,444.40            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,038.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 2,078.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,038.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,038.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,037.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,037.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,037.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,037.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,037.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,037.99             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 3,116.28             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,039.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 2,078.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 2,078.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,032.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                      | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01 | B   | 1,032.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE                        | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|-----------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b> |                           |                                       |     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: STOCKS</b>   |                           |                                       |     |                      |                     |                    |                   |      |                      |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 1,032.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 3,096.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 371.52               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 371.52               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 3,756.48             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 4,128.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/17/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 660.48               | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | S   | 27,900.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 5,580.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 2,790.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 5,580.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 5,580.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 5,580.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | AMTECH SYSTEMS INC COM PAR \$0.01     | B   | 8,370.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | ASSURANT INC                          | B   | 29,910.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | ASSURANT INC                          | S   | 29,910.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | EMBASSY BANCORP INC                   | S   | 17,231.24            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | EMBASSY BANCORP INC                   | B   | 2,281.23             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | EMBASSY BANCORP INC                   | B   | 14,950.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | FISERV INC                            | B   | 24,171.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | FISERV INC                            | S   | 12,085.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | FISERV INC                            | S   | 12,086.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | THE TRADE DESK INC COM CL A           | B   | 47,720.10            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | THE TRADE DESK INC COM CL A           | S   | 4,772.01             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/18/2017                        | 75599821 RF LAFFERTY & CO | THE TRADE DESK INC COM CL A           | S   | 42,948.09            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017                        | 75599821 RF LAFFERTY & CO | NIKE INC CL B                         | B   | 37,703.68            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017                        | 75599821 RF LAFFERTY & CO | NIKE INC CL B                         | S   | 37,703.68            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017                        | 75599821 RF LAFFERTY & CO | UNDER ARMOUR INC CL A                 | B   | 16,610.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017                        | 75599821 RF LAFFERTY & CO | UNDER ARMOUR INC CL A                 | S   | 16,610.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT S |     | 76,802.18            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT S |     | 8,533.58             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT B |     | 76,635.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT S |     | 37,466.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT S |     | 39,169.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT B |     | 85,335.73            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | IDEXX LABORATORIES CORP               | S   | 15,452.44            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | IDEXX LABORATORIES CORP               | B   | 15,452.44            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST                | B   | 244,871.80           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST                | S   | 24,487.50            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST                | S   | 154,268.10           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                        | 75599821 RF LAFFERTY & CO | SPDR S&P 500 ETF TRUST                | S   | 22,038.75            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |

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COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE                             | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---------------------------|---------------------------------------|-----|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>      |                           |                                       |     |                       |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b> |                           |                                       |     |                       |                     |                    |                   |      |                      |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 3,780.50              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 3,780.50              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 3,780.50              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 38,102.40             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 45,360.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 45,360.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 45,851.40             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 6,766.20              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 6,766.20              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 7,560.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 7,560.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 7,560.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 7,560.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 7,560.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 7,560.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 79,191.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 95,293.80             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 11,529.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 124,740.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 15,120.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 15,120.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 15,120.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 1,890.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017                             | 75599821 RF LAFFERTY & CO | AT&T INC                              | S   | 20,790.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                             | 75599822 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT B |     | 76,802.18             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017                             | 75599822 RF LAFFERTY & CO | CREDIT SUISSE AG NASSAU BRH VELOCIT S |     | 76,635.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| <b>* STOCKS SUBTOTAL *</b>             |                           |                                       |     | <b>\$7,054,595.54</b> | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: BONDS</u></b>  |                           |                                       |     |                       |                     |                    |                   |      |                      |
| 07/31/2017                             | 75599820 RF LAFFERTY & CO | SLM CORP EDNOTES 4% TO 6/06 THEREAF B |     | 17,827.74             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 07/31/2017                             | 75599820 RF LAFFERTY & CO | SLM CORP EDNOTES 4% TO 6/06 THEREAF S |     | 17,962.38             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 07/27/2017                             | 75599826 RF LAFFERTY & CO | MORGAN STANLEY GROUP INC LNKD TO CM S |     | 23,500.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 07/31/2017                             | 75599826 RF LAFFERTY & CO | STRUCTURED ADJUSTABLE RATE MORTGAGE S |     | -45,377.40            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 07/31/2017                             | 75599826 RF LAFFERTY & CO | STRUCTURED ADJUSTABLE RATE MORTGAGE B |     | -45,540.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 07/31/2017                             | 75599826 RF LAFFERTY & CO | STRUCTURED ADJUSTABLE RATE MORTGAGE B |     | 45,377.36             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 07/31/2017                             | 75599826 RF LAFFERTY & CO | STRUCTURED ADJUSTABLE RATE MORTGAGE S |     | 45,540.01             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                             | 75599826 RF LAFFERTY & CO | HORNBECK OFFSHORE SVCS INC NEW GTD B  |     | 8,500.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                             | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU S |     | 14,315.69             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                             | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU B |     | 14,238.30             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                             | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU B |     | -14,315.70            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |



COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE                     | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--------------------------------|---------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>     |                           |                                       |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: BONDS</u> |                           |                                       |     |                      |                     |                    |                   |      |                      |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU S |     | -14,238.30           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU S |     | 13,950.25            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU B |     | 13,874.84            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU B |     | -13,950.30           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU S |     | -13,874.80           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU S |     | 13,950.25            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MASTR ADJUSTABLE RATE MORTGAGES TRU B |     | 13,874.84            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/01/2017                     | 75599826 RF LAFFERTY & CO | MORGAN STANLEY GROUP INC LNKD TO CM S |     | 9,400.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | DEUTSCHE MORT SEC INC MTG LN SERIES S |     | 47,199.09            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | DEUTSCHE MORT SEC INC MTG LN SERIES B |     | 47,055.19            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | SOUNDVIEW HOME LN TR SERIES 2005-B S  |     | 20,658.55            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | SOUNDVIEW HOME LN TR SERIES 2005-B B  |     | 20,545.66            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | SOUNDVIEW HOME LN TR SERIES 2005-B B  |     | -20,658.50           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | SOUNDVIEW HOME LN TR SERIES 2005-B S  |     | -20,545.70           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | SOUNDVIEW HOME LN TR SERIES 2005-B S  |     | 20,415.48            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | SOUNDVIEW HOME LN TR SERIES 2005-B B  |     | 20,303.92            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | UNITED STATES STEEL CORP SENIOR NOT B |     | 100,875.00           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/02/2017                     | 75599826 RF LAFFERTY & CO | UNITED STATES STEEL CORP SENIOR NOT S |     | 102,000.00           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/03/2017                     | 75599826 RF LAFFERTY & CO | GLOBAL MARINE INC NTS                 | S   | 27,975.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/07/2017                     | 75599826 RF LAFFERTY & CO | BANK AMER CORP TGT CPN 14 LINKED TO B |     | 10,465.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/07/2017                     | 75599826 RF LAFFERTY & CO | BANK AMER CORP MEDIUM TERM SR NTS S B |     | 7,462.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/07/2017                     | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC ISIN#US06738KVE80 S |     | 6,915.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/07/2017                     | 75599826 RF LAFFERTY & CO | BARCLAYS BANK PLC ISIN#US06738KVE80 B |     | 6,850.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/09/2017                     | 75599826 RF LAFFERTY & CO | MORGAN STANLEY GROUP INC LNKD TO CM B |     | 17,775.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/14/2017                     | 75599826 RF LAFFERTY & CO | MORGAN STANLEY GROUP INC LNKD TO CM S |     | 9,400.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GENWORTH FINL INC SR NT               | S   | 19,550.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GENWORTH FINL INC SR NT               | B   | 19,500.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GLOBAL MARINE INC NTS                 | S   | 13,650.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GLOBAL MARINE INC NTS                 | S   | 45,500.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GLOBAL MARINE INC NTS                 | B   | 45,250.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GLOBAL MARINE INC NTS                 | S   | 45,500.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/15/2017                     | 75599826 RF LAFFERTY & CO | GOLDMAN SACHS GROUP INC               | B   | 15,400.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                     | 75599826 RF LAFFERTY & CO | HERTZ CORP SR NT FULLY EXCH FROM 40 B |     | 22,906.25            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                     | 75599826 RF LAFFERTY & CO | HERTZ CORP SR NT FULLY EXCH FROM 40 S |     | 14,025.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/16/2017                     | 75599826 RF LAFFERTY & CO | SEARS HLDGS CORP SR SECD 6.625%18     | B   | 10,450.00            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/21/2017                     | 75599826 RF LAFFERTY & CO | ACCREDITED MTG LN TR SERIES 2005-2 S  |     | 633,669.65           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/21/2017                     | 75599826 RF LAFFERTY & CO | ACCREDITED MTG LN TR SERIES 2005-2 B  |     | -633,670.00          | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |
| 08/21/2017                     | 75599826 RF LAFFERTY & CO | ACCREDITED MTG LN TR SERIES 2005-2 S  |     | 633,669.67           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/21/2017                     | 75599826 RF LAFFERTY & CO | ACCREDITED MTG LN TR SERIES 2005-2 B  |     | 633,436.77           | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/21/2017                     | 75599826 RF LAFFERTY & CO | ACCREDITED MTG LN TR SERIES 2005-2 S  |     | -633,437.00          | 0.00                | 0.00               | 0.00              | 80.0 | 0.00 C               |

COMMISSION STATEMENT for CLOSED Z-ACCOUNT

| TRADE DATE  | CLIENT                    | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|---------------------------|---------------------------------------|-----|-----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                           |                                       |     |                       |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: BONDS</u></b>                   |                           |                                       |     |                       |                     |                    |                   |      |                      |
| 08/21/2017  | 75599826 RF LAFFERTY & CO | ACCREDITED MTG LN TR SERIES 2005-2 B  |     | 633,436.79            | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/21/2017  | 75599826 RF LAFFERTY & CO | GS FIN CORP CMS TRIGGER STEEPENER N B |     | 15,385.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/21/2017  | 75599826 RF LAFFERTY & CO | GS FIN CORP CMS TRIGGER STEEPENER N S |     | 15,810.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017  | 75599826 RF LAFFERTY & CO | HERTZ CORP SR NT FULLY EXCH FROM 40 B |     | 33,012.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017  | 75599826 RF LAFFERTY & CO | HERTZ CORP SR NT FULLY EXCH FROM 40 S |     | 21,390.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017  | 75599826 RF LAFFERTY & CO | HERTZ CORP SR NT FULLY EXCH FROM 40 S |     | 21,390.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017  | 75599826 RF LAFFERTY & CO | SEARS HLDGS CORP SR SECD 6.625%18 B   |     | 21,620.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/22/2017  | 75599826 RF LAFFERTY & CO | SEARS HLDGS CORP SR SECD 6.625%18 B   |     | 21,620.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/24/2017  | 75599826 RF LAFFERTY & CO | SOCIETE GENERALE                      | B   | 23,700.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/28/2017  | 75599826 RF LAFFERTY & CO | SOCIETE GENERALE 13% CONTINGENT INC S |     | 3,680.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/28/2017  | 75599826 RF LAFFERTY & CO | SOCIETE GENERALE 13% CONTINGENT INC S |     | 9,200.00              | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| <b>* BONDS SUBTOTAL *</b>                               |                           |                                       |     | <b>\$2,235,350.48</b> | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b> |                           |                                       |     |                       |                     |                    |                   |      |                      |
| 08/07/2017  | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S  |     | 10,200.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/07/2017  | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B  |     | 10,125.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017  | 75599826 RF LAFFERTY & CO | CITIBANK N A PRIN PROTECTED MKT LKD S |     | 18,250.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017  | 75599826 RF LAFFERTY & CO | CITIBANK N A PRIN PROTECTED MKT LKD B |     | 18,062.50             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017  | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO S  |     | 18,480.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/23/2017  | 75599826 RF LAFFERTY & CO | JPMORGAN CHASE BK NA COLUMBUS OHIO B  |     | 18,240.00             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| <b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>             |                           |                                       |     | <b>\$93,357.50</b>    | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>  |                           |                                       |     |                       |                     |                    |                   |      |                      |
| 08/07/2017  | 75599825 RF LAFFERTY & CO | UIT GUGGENHEIM DEFINED PORTFOL SER S  |     | 25,007.08             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| 08/07/2017  | 75599825 RF LAFFERTY & CO | UIT GUGGENHEIM DEFINED PORTFOL SER B  |     | 24,506.86             | 0.00                | 0.00               | 0.00              | 80.0 | 0.00                 |
| <b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>              |                           |                                       |     | <b>\$49,513.94</b>    | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                           |                                       |     |                       |                     |                    |                   |      |                      |
| 08/25/2017  | 75500749 WILLIAMS, ROBERT | **VICTORY PORTFOLIOS MUNDER           | B   | 0.00                  | 0.32                | 0.00               | 0.32              | 80.0 | 0.26                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>             |                           |                                       |     | <b>\$0.00</b>         | <b>\$0.32</b>       | <b>\$0.00</b>      | <b>\$0.32</b>     |      | <b>\$0.26</b>        |
| <b>*** BROKER TRANSACTIONS TOTAL ***</b>                |                           |                                       |     | <b>\$9,432,817.46</b> | <b>\$0.32</b>       | <b>\$0.00</b>      | <b>\$0.32</b>     |      | <b>\$0.26</b>        |

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.26 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

|                              |                |
|------------------------------|----------------|
| <b>Check Amount</b>          | <b>\$0.26</b>  |
| <b>Year-to-date Earnings</b> | <b>\$56.93</b> |