



COMMISSION STATEMENT

January 08, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/19/2017	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @32.3000						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @32.3000						
12/19/2017	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @32.3000						
12/19/2017	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @32.3000						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @32.3800						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @32.3800						
12/27/2017	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @32.3800						
12/27/2017	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @32.3800						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @27.7800						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @27.7800						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @27.8800						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @27.8800						
12/29/2017	***-**-0521 - CRUMRINE, MONICA	AMERICAN BALANCED FUND-A	12.50	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: 00084226912	BUY 0 Units @28.5800						
12/19/2017	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @13.1700						
12/19/2017	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @13.1700						
12/19/2017	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @13.4500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/19/2017	***-**-1060 - DUNLAP, DONNA ACCOUNT #: 00085629087	AMERICAN FUNDS 2020 TARGET DATE-A BUY 1 Units @13.4500	17.97	0.67	0.00	0.67	75.0	0.50
12/19/2017	***-**-1595 - FERRONE, PAUL ACCOUNT #: 00082857686	AMERICAN FUNDS 2020 TARGET DATE-A BUY 222 Units @13.5200	3,007.57	127.82	0.00	127.82	75.0	95.87
12/27/2017	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 1 Units @13.2000	11.25	0.23	0.00	0.23	75.0	0.17
12/27/2017	***-**-5124 - DEE, ALAN ACCOUNT #: 00086069280	AMERICAN FUNDS 2020 TARGET DATE-A BUY 2 Units @13.2000	25.00	0.50	0.00	0.50	75.0	0.38
12/22/2017	***-**-1595 - FERRONE, PAUL ACCOUNT #: 00082857686	AMERICAN FUNDS 2025 TARGET DATE-A BUY 450 Units @14.4400	6,500.00	276.25	0.00	276.25	75.0	207.19
12/27/2017	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	AMERICAN FUNDS 2025 TARGET DATE-A BUY 8 Units @14.4000	114.20	4.28	0.00	4.28	75.0	3.21
12/27/2017	***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 04000501282	AMERICAN FUNDS 2030 TARGET DATE-A BUY 4 Units @15.4600	60.00	2.25	0.00	2.25	75.0	1.69
12/19/2017	***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.8500	44.40	1.67	0.00	1.67	75.0	1.25
12/19/2017	***-**-8758 - RIOLO, LAWRENCE ACCOUNT #: 04000070058	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.8500	46.14	1.73	0.00	1.73	75.0	1.30
12/27/2017	***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 04000501282	AMERICAN FUNDS 2035 TARGET DATE-A BUY 4 Units @15.9100	60.00	2.25	0.00	2.25	75.0	1.69
12/27/2017	***-**-4719 - MARTIN, KRISTY ACCOUNT #: 00088870706	AMERICAN FUNDS 2035 TARGET DATE-A BUY 8 Units @16.1200	126.77	6.34	0.00	6.34	75.0	4.76
12/27/2017	***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 04000501282	AMERICAN FUNDS 2040 TARGET DATE-A BUY 5 Units @16.2900	80.00	3.00	0.00	3.00	75.0	2.25
12/27/2017	***-**-7692 - SCHERMERHORN, ACCOUNT #: 00087922386	AMERICAN FUNDS BALANCED PORT CL C BUY 12 Units @14.7200	172.86	1.73	0.00	1.73	75.0	1.30
12/27/2017	***-**-7692 - SCHERMERHORN, ACCOUNT #: 00087922386	AMERICAN FUNDS BALANCED PORT CL C BUY 7 Units @14.7200	109.02	1.09	0.00	1.09	75.0	0.82
12/27/2017	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	AMERICAN HIGH-INCOME TRUST-A BUY 11 Units @10.7600	114.20	3.43	0.00	3.43	75.0	2.57
12/19/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @63.9600	35.77	0.36	0.00	0.36	75.0	0.27



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/19/2017	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.09	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @63.9600						
12/19/2017	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @63.9600						
12/19/2017	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.53	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @63.9600						
12/19/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @63.9600						
12/19/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.32	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @63.9600						
12/27/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.32	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @62.7800						
12/27/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @62.7800						
12/27/2017	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	3.53	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @62.7800						
12/27/2017	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @62.7800						
12/27/2017	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.09	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @62.7800						
12/27/2017	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @62.7800						
12/19/2017	***-**-1595 - FERRONE, PAUL	CAPITAL INCOME BUILDER-A	1,002.52	42.61	0.00	42.61	75.0	31.96
	ACCOUNT #: 00082857686	BUY 15 Units @67.3600						
12/27/2017	***-**-2771 - CESARE, CYNTHIA	CAPITAL INCOME BUILDER-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @65.6400						
12/19/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.5400						
12/19/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.55	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.5400						
12/27/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.55	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.5600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/27/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.5600						
12/19/2017	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @54.9200						
12/19/2017	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @54.9200						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @54.9200						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @54.9200						
12/19/2017	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @56.0700						
12/19/2017	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @56.0700						
12/19/2017	***-**-1595 - FERRONE, PAUL	CAPITAL WORLD GROWTH AND INCOME FUN	1,002.52	42.61	0.00	42.61	75.0	31.96
	ACCOUNT #: 00082857686	BUY 18 Units @56.3700						
12/19/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @52.9200						
12/19/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.09	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @52.9200						
12/19/2017	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @52.9200						
12/19/2017	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	3.53	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @52.9200						
12/19/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @52.9200						
12/19/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.32	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @52.9200						
12/26/2017	***-**-4913 - ELSENBECK, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 00082651124	BUY 0 Units @53.9300						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @52.3000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/27/2017	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @52.3000						
12/27/2017	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @52.3000						
12/27/2017	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @52.3000						
12/27/2017	***-**-2771 - CESARE, CYNTHIA	CAPITAL WORLD GROWTH AND INCOME FUN	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @53.3900						
12/27/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.32	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @50.4600						
12/27/2017	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @50.4600						
12/27/2017	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	3.53	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @50.4600						
12/27/2017	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @50.4600						
12/27/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.09	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.4600						
12/27/2017	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @50.4600						
12/29/2017	***-**-0521 - CRUMRINE, MONICA	CAPITAL WORLD GROWTH AND INCOME FUN	20.00	0.85	0.00	0.85	75.0	0.64
	ACCOUNT #: 00084226912	BUY 0 Units @53.8000						
12/19/2017	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @67.4500						
12/19/2017	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @67.4500						
12/19/2017	***-**-1595 - FERRONE, PAUL	FUNDAMENTAL INVESTORS-A	1,002.52	42.61	0.00	42.61	75.0	31.96
	ACCOUNT #: 00082857686	BUY 14 Units @69.2200						
12/27/2017	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @63.8800						
12/27/2017	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @63.8800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/27/2017	***-**-2771 - CESARE, CYNTHIA	FUNDAMENTAL INVESTORS-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @65.2100						
12/27/2017	***-**-1001 - FLAHERTY, KELLY	FUNDAMENTAL INVESTORS-A	25.00	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00081241053	BUY 0 Units @66.0800						
12/28/2017	***-**-9137 - YOZZO, JOSEPH	FUNDAMENTAL INVESTORS-A	150.00	5.63	0.00	5.63	75.0	4.22
	ACCOUNT #: 00082276628	BUY 2 Units @65.3800						
12/19/2017	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @65.3200						
12/19/2017	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @65.3200						
12/27/2017	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @61.9500						
12/27/2017	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @61.9500						
12/27/2017	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
12/19/2017	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.9700						
12/19/2017	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.9700						
12/27/2017	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @23.0500						
12/27/2017	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @23.0500						
12/19/2017	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @46.6200						
12/19/2017	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @46.6200						
12/27/2017	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @44.2500						
12/27/2017	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @44.2500						



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JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/28/2017	***-**-9137 - YOZZO, JOSEPH	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00082276628	BUY 1 Units @45.3100						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 0 Units @69.2500						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @69.2500						
12/19/2017	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @70.7000						
12/19/2017	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @70.7000						
12/19/2017	***-**-1595 - FERRONE, PAUL	NEW WORLD FUND-A	1,002.52	42.61	0.00	42.61	75.0	31.96
	ACCOUNT #: 00082857686	BUY 14 Units @71.0700						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @68.3300						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 0 Units @68.3300						
12/27/2017	***-**-2771 - CESARE, CYNTHIA	NEW WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @69.7600						
12/19/2017	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @64.8500						
12/19/2017	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @64.8500						
12/27/2017	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @64.4100						
12/27/2017	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @64.4100						
12/27/2017	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
12/27/2017	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
12/27/2017	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/19/2017	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @59.2300						
12/19/2017	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @59.2300						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @59.2300						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @59.2300						
12/19/2017	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @60.4700						
12/19/2017	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @60.4700						
12/19/2017	***-**-1595 - FERRONE, PAUL	SMALLCAP WORLD FUND-A	1,002.52	42.61	0.00	42.61	75.0	31.96
	ACCOUNT #: 00082857686	BUY 16 Units @60.7900						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744276	BUY 0 Units @57.1800						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744276	BUY 1 Units @57.1800						
12/27/2017	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @57.1800						
12/27/2017	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @57.1800						
12/27/2017	***-**-2771 - CESARE, CYNTHIA	SMALLCAP WORLD FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @58.3800						
12/28/2017	***-**-9137 - YOZZO, JOSEPH	SMALLCAP WORLD FUND-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00082276628	BUY 1 Units @58.5800						
12/19/2017	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @51.1200						
12/19/2017	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @51.1200						
12/27/2017	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.83	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @49.0500						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
12/27/2017	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @49.0500						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @13.1900						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @13.1900						
12/19/2017	***-**-1595 - FERRONE, PAUL	THE BOND FUND OF AMERICA-A	1,002.52	30.08	0.00	30.08	75.0	22.56
	ACCOUNT #: 00082857686	BUY 75 Units @13.3600						
12/19/2017	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @13.3600						
12/19/2017	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @13.3600						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @13.2200						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @13.2200						
12/27/2017	***-**-2771 - CESARE, CYNTHIA	THE BOND FUND OF AMERICA-A	114.20	3.43	0.00	3.43	75.0	2.57
	ACCOUNT #: 00088331327	BUY 9 Units @13.3900						
12/29/2017	***-**-0521 - CRUMRINE, MONICA	THE BOND FUND OF AMERICA-A	5.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00084226912	BUY 0 Units @13.3900						
12/19/2017	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.8600						
12/19/2017	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.53	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.8600						
12/19/2017	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @12.8600						
12/19/2017	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.31	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @12.8600						
12/19/2017	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.8600						
12/19/2017	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.33	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.8600						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/27/2017	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.31	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: 00083468545	BUY 0 Units @12.8900						
12/27/2017	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @12.8900						
12/27/2017	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	3.53	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 00083468551	BUY 0 Units @12.8900						
12/27/2017	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.8900						
12/27/2017	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.33	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.8900						
12/27/2017	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.8900						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @54.3000						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @54.3000						
12/19/2017	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @54.3000						
12/19/2017	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @54.3000						
12/19/2017	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @55.4300						
12/19/2017	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @55.4300						
12/19/2017	***-**-1595 - FERRONE, PAUL	THE GROWTH FUND OF AMERICA-A	957.28	40.68	0.00	40.68	75.0	30.51
	ACCOUNT #: 00082857686	BUY 17 Units @55.7300						
12/26/2017	***-**-4913 - ELSENBECK, DAVID	THE GROWTH FUND OF AMERICA-A	15.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 00082651124	BUY 0 Units @52.5700						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	5.53	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @50.8900						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @50.8900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/27/2017	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 0 Units @50.8900	5.45	0.11	0.00	0.11	75.0	0.08
12/27/2017	***-**-9027 - NELSON, ACCOUNT #: 00073744742	THE GROWTH FUND OF AMERICA-A BUY 1 Units @50.8900	31.25	0.63	0.00	0.63	75.0	0.47
12/27/2017	***-**-2771 - CESARE, CYNTHIA ACCOUNT #: 00088331327	THE GROWTH FUND OF AMERICA-A BUY 2 Units @51.9600	114.20	4.28	0.00	4.28	75.0	3.21
12/28/2017	***-**-9137 - YOZZO, JOSEPH ACCOUNT #: 00082276628	THE GROWTH FUND OF AMERICA-A BUY 2 Units @52.1500	100.00	3.75	0.00	3.75	75.0	2.81
12/29/2017	***-**-0521 - CRUMRINE, MONICA ACCOUNT #: 00084226912	THE GROWTH FUND OF AMERICA-A BUY 0 Units @52.1500	12.50	0.53	0.00	0.53	75.0	0.40
12/19/2017	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.1200	12.50	0.13	0.00	0.13	75.0	0.10
12/19/2017	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.1200	3.53	0.04	0.00	0.04	75.0	0.03
12/19/2017	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.1200	6.25	0.06	0.00	0.06	75.0	0.05
12/19/2017	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.1200	1.32	0.01	0.00	0.01	75.0	0.01
12/19/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 1 Units @49.1200	26.83	0.27	0.00	0.27	75.0	0.20
12/19/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 0 Units @49.1200	2.32	0.02	0.00	0.02	75.0	0.02
12/27/2017	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.0300	1.32	0.01	0.00	0.01	75.0	0.01
12/27/2017	***-**-2354 - PENDOLF, NANCY ACCOUNT #: 00083468545	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.0300	6.25	0.06	0.00	0.06	75.0	0.05
12/27/2017	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.0300	3.53	0.04	0.00	0.04	75.0	0.03
12/27/2017	***-**-2542 - OGIYEVICH, ACCOUNT #: 00083468551	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.0300	12.50	0.13	0.00	0.13	75.0	0.10
12/27/2017	***-**-3569 - YOZZO, ROSA ACCOUNT #: 00083468583	THE GROWTH FUND OF AMERICA-C BUY 0 Units @46.0300	2.32	0.02	0.00	0.02	75.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/27/2017	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @46.0300						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	5.51	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @23.8500						
12/19/2017	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.8500						
12/19/2017	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @23.8500						
12/19/2017	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @23.8500						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	5.51	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744335	BUY 0 Units @23.9400						
12/27/2017	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.9400						
12/27/2017	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	5.45	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 00073744742	BUY 0 Units @23.9400						
12/27/2017	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744742	BUY 1 Units @23.9400						
12/27/2017	***-**-2771 - CESARE, CYNTHIA	THE NEW ECONOMY FUND-A	114.20	4.28	0.00	4.28	75.0	3.21
	ACCOUNT #: 00088331327	BUY 2 Units @46.8100						
12/28/2017	***-**-9137 - YOZZO, JOSEPH	THE NEW ECONOMY FUND-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00082276628	BUY 1 Units @46.9800						
12/28/2017	***-**-9137 - YOZZO, JOSEPH	THE NEW ECONOMY FUND-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00082276628	BUY 1 Units @46.9800						
12/19/2017	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.6000						
12/19/2017	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.06	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.6000						
12/27/2017	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.06	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.6200						
12/27/2017	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.6200						



COMMISSION STATEMENT

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JEFFREY HANRETTY
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BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
12/19/2017	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @46.7200	5.45	0.11	0.00	0.11	75.0	0.08
12/19/2017	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @46.7200	31.25	0.63	0.00	0.63	75.0	0.47
12/27/2017	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @46.8900	5.45	0.11	0.00	0.11	75.0	0.08
12/27/2017	***-**-9027 - NELSON, ACCOUNT #: 00073744742	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @46.8900	31.25	0.63	0.00	0.63	75.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$21,416.93	\$823.09	\$0.00	\$823.09		\$617.37
PRODUCT CATEGORY: STOCKS								
01/04/2018	***-**-98IO - BRENNAN, ROBIN ACCOUNT #: LSM000219	ISHARES IBOX HIGH YIELD CORPORATE BUY 250 Units @87.8560	21,964.00	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-10IO - PARKOLA, KATHRYN ACCOUNT #: LSM000194	ISHARES TR U.S. AER&DEF ETF BUY 20 Units @187.9790	3,759.58	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	ISHARES US PREFERRED STOCK ETF UNO BUY 125 Units @37.9000	4,737.50	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD INDEX FDS MID-CAP VALUE IN BUY 25 Units @112.0320	2,800.80	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD INDEX FDS VANGUARD GROWTH BUY 5 Units @143.4960	717.48	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD INDEX FDS VANGUARD GROWTH BUY 10 Units @143.4960	1,434.96	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD INDEX FDS VANGUARD REIT ET BUY 20 Units @82.6760	1,653.52	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD INDEX FDS VANGUARD SMALL C BUY 15 Units @149.2590	2,238.89	0.00	0.00	0.00	75.0	0.00
01/04/2018	***-**-98IO - BRENNAN, ROBIN ACCOUNT #: LSM000219	VANGUARD INDEX FDS VANGUARD TOTAL S BUY 175 Units @139.6290	24,435.08	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD INTL EQUITY INDEX FDS FTSE BUY 40 Units @47.1250	1,885.00	0.00	0.00	0.00	75.0	0.00
01/04/2018	***-**-98IO - BRENNAN, ROBIN ACCOUNT #: LSM000219	VANGUARD INTL EQUITY INDEX FDS FTSE BUY 50 Units @47.5350	2,376.75	0.00	0.00	0.00	75.0	0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS (continued)</u>								
01/04/2018	***-**-98IO - BRENNAN, ROBIN ACCOUNT #: LSM000219	VANGUARD INTL EQUITY INDEX FDS TOTA BUY 174 Units @75.8700	13,201.38	0.00	0.00	0.00	75.0	0.00
01/04/2018	***-**-98IO - BRENNAN, ROBIN ACCOUNT #: LSM000219	VANGUARD INTL EQUITY INDEX FDS TOTA BUY 151 Units @75.8700	11,456.37	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD LONG TERM CORPORATE BOND E BUY 50 Units @94.8380	4,741.90	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD SECTOR INDEX FDS VANGUARD BUY 10 Units @145.4460	1,454.46	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD SECTOR INDEX FDS VANGUARD BUY 15 Units @100.8030	1,512.05	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD SECTOR INDEX FDS VANGUARD BUY 20 Units @69.9100	1,398.20	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD SECTOR INDEX FDS VANGUARD BUY 10 Units @166.9220	1,669.22	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD SECTOR INDEX FDS VANGUARD BUY 15 Units @145.1820	2,177.73	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD SECTOR INDEX FDS VANGUARD BUY 20 Units @102.1630	2,043.26	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD SECTOR INDEX FDS VANGUARD BUY 30 Units @70.2190	2,106.57	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-10IO - PARKOLA, KATHRYN ACCOUNT #: LSM000194	VANGUARD WHITEHALL FDS HIGH DIVIDEN BUY 40 Units @85.7660	3,430.64	0.00	0.00	0.00	75.0	0.00
01/02/2018	***-**-56IO - SZYMANSKI, ACCOUNT #: LSM000215	VANGUARD WHITEHALL FDS HIGH DIVIDEN BUY 50 Units @85.8260	4,291.30	0.00	0.00	0.00	75.0	0.00
01/03/2018	***-**-85FB - SCHUMACI, FRANK ACCOUNT #: LSM000218	VANGUARD WHITEHALL FDS HIGH DIVIDEN BUY 30 Units @86.0560	2,581.68	0.00	0.00	0.00	75.0	0.00
* STOCKS SUBTOTAL *			\$120,068.32	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
01/04/2018	***-**-15FB - KHAN, AFSAR ACCOUNT #: 925318399	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	1,131.31	1,131.31	0.00	1,131.31	75.0	848.48
01/04/2018	***-**-15FB - KHAN, AFSAR ACCOUNT #: 925330573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	278.82	278.82	0.00	278.82	75.0	209.12



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* RIA SUBTOTAL *			\$1,410.13	\$1,410.13	\$0.00	\$1,410.13		\$1,057.60
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
12/29/2017	***-**-8084 - YANGINSKI, ACCOUNT #: 711194580	HARTFORD LIFE CUSIP 416595403 BUY 0 Units @0.0000	0.00	58.50	0.00	58.50	75.0	43.88
12/29/2017	***-**-7826 - SCHMIDT, PAUL ACCOUNT #: 711480703	HARTFORD LIFE CUSIP 41659P730 BUY 0 Units @0.0000	0.00	99.75	0.00	99.75	75.0	74.81
12/29/2017	***-**-0545 - MCCLOUD, ACCOUNT #: 711661877	HARTFORD VA TRAILS 41659P714 BUY 0 Units @0.0000	0.00	172.33	0.00	172.33	75.0	129.25
12/29/2017	***-**-0461 - MCGUINNES, ACCOUNT #: 712257725	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	166.26	0.00	166.26	75.0	124.70
12/29/2017	***-**-0684 - MCCLOUD, MARRON ACCOUNT #: 712306430	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	113.33	0.00	113.33	75.0	85.00
12/29/2017	***-**-6895 - ROBILOTTO, MARIE ACCOUNT #: 712358071	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	34.45	0.00	34.45	75.0	25.84
12/29/2017	***-**-6895 - ROBILOTTO, MARIE ACCOUNT #: 712361142	HARTFORD VA TRAILS 41659Q357 BUY 0 Units @0.0000	0.00	2.98	0.00	2.98	75.0	2.24
12/29/2017	***-**-7266 - ZUMPANO, ANDREA ACCOUNT #: 712401748	HARTFORD VA TRAILS 41659Q472 BUY 0 Units @0.0000	0.00	128.86	0.00	128.86	75.0	96.65
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$776.46	\$0.00	\$776.46		\$582.37
*** BROKER TRANSACTIONS TOTAL ***			\$142,895.38	\$3,009.68	\$0.00	\$3,009.68		\$2,257.34



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$2,257.34
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$33.71
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$2,291.05
					Year-to-date Earnings			\$2,291.05