



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 15, 2017**

05/11/2017  
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
 11617 Coldwater Road  
 Suite 103  
 Fort Wayne, IN 46845

BROKER #: L131  
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^04/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	8.50	0.00	8.50	90.0	0.00
^04/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^04/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	87.10	3.70	0.00	3.70	90.0	0.00
^04/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	87.10	3.70	0.00	3.70	90.0	0.00
^04/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.88	0.00	5.88	90.0	0.00
^04/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	11.77	0.00	11.77	90.0	0.00
^04/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	138.46	5.88	0.00	5.88	90.0	0.00
^04/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	230.76	9.81	0.00	9.81	90.0	0.00
^04/24/2017	MEADOWS, SHEILA	AM FUNDS AMERICAN BALANCED A	6,606.21	330.31	0.00	330.31	90.0	0.00
^04/28/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	6.25	0.00	6.25	90.0	0.00
^04/28/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^05/02/2017	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	5,000.00	212.50	22.00	190.50	90.0	0.00
^05/02/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	120.00	6.00	22.00	-16.00	90.0	0.00
^05/02/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	225.00	11.25	22.00	-10.75	90.0	0.00
^05/02/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	15.00	22.00	-7.00	90.0	0.00
^05/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^04/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^04/28/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^04/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	4.75	0.00	4.75	90.0	0.00
^04/28/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^05/05/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^05/05/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^04/28/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	0.00
^05/05/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^04/28/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^05/05/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^04/28/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^04/28/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^04/28/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^05/05/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^05/05/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^05/05/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^05/05/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^05/02/2017	BRAUTZSCH, CHRIS	FIDELITY SELECT GOLD PORT	1,200.00	25.00	22.00	3.00	90.0	0.00
^04/28/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^05/04/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	-16,375.77	0.00	0.00	0.00	90.0	0.00
^04/30/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	14.82	0.00	14.82	90.0	0.00
^05/02/2017	BRAUTZSCH, CHRISTINE	VANGUARD PRIME MONEY MARKET FUND IN	-5,164.66	10.00	22.00	-12.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-5,486.96</b>	<b>\$731.57</b>	<b>\$132.00</b>	<b>\$599.57</b>		<b>\$0.00</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$-5,486.96</b>	<b>\$731.57</b>	<b>\$132.00</b>	<b>\$599.57</b>		<b>\$0.00</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.55	0.00	2.55	90.0	2.30
04/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
04/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	87.10	1.11	0.00	1.11	90.0	1.00
04/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	87.10	1.11	0.00	1.11	90.0	1.00
04/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.76	0.00	1.76	90.0	1.58
04/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.53	0.00	3.53	90.0	3.18
04/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	138.46	1.76	0.00	1.76	90.0	1.58
04/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	230.76	2.94	0.00	2.94	90.0	2.65
04/24/2017	MEADOWS, SHEILA	AM FUNDS AMERICAN BALANCED A	6,606.21	99.09	0.00	99.09	90.0	89.18
04/28/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	1.88	0.00	1.88	90.0	1.69
04/28/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
05/02/2017	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	5,000.00	63.75	6.60	57.15	90.0	51.44
05/02/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	120.00	1.80	6.60	-4.80	90.0	-4.32
05/02/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	225.00	3.38	6.60	-3.22	90.0	-2.90
05/02/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	4.50	6.60	-2.10	90.0	-1.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
05/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
04/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
04/28/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
04/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.43	0.00	1.43	90.0	1.29
04/28/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
05/05/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
05/05/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
04/28/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.49	0.00	0.49	90.0	0.44
05/05/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
04/28/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
05/05/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
04/28/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
04/28/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
04/28/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
05/05/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
05/05/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
05/05/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
05/05/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
05/02/2017	BRAUTZSCH, CHRIS	FIDELITY SELECT GOLD PORT	1,200.00	7.50	6.60	0.90	90.0	0.81
04/28/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
05/04/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	-16,375.77	0.00	0.00	0.00	90.0	0.00
04/30/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	4.45	0.00	4.45	90.0	4.01
05/02/2017	BRAUTZSCH, CHRISTINE	VANGUARD PRIME MONEY MARKET FUND IN	-5,164.66	3.00	6.60	-3.60	90.0	-3.24
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$-5,486.96</b>	<b>\$219.49</b>	<b>\$39.60</b>	<b>\$179.89</b>		<b>\$161.92</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$-5,486.96</b>	<b>\$219.49</b>	<b>\$39.60</b>	<b>\$179.89</b>		<b>\$161.92</b>

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$161.92
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$161.92
Year-to-date Gross	\$719.13
Year-to-date Earnings	\$582.12