



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT

April 15, 2017

THOMAS HALSEY
7261 W. Central Ave.

BROKER #: H121
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/28/2017	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	2.13
04/03/2017	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	85.0	14.45
/ /	LEROUX, LAURA	BLK MOD 17+ AGE BASED A	0.00	11.25	0.00	11.25	85.0	9.56
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.32	0.00	7.32	85.0	6.22
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	3.10	0.00	3.10	85.0	2.64
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	4.35	0.00	4.35	85.0	3.70
/ /	KRAL, ADAM	FIDELITY ADV HEALTH CARE T	0.00	1.12	0.00	1.12	85.0	0.95
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	5.57	0.00	5.57	85.0	4.73
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	3.77	0.00	3.77	85.0	3.20
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	3.78	0.00	3.78	85.0	3.21
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	5.87	0.00	5.87	85.0	4.99
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	6.03	0.00	6.03	85.0	5.13
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	8.00	0.00	8.00	85.0	6.80
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY GROWTH T	0.00	3.02	0.00	3.02	85.0	2.57
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.14	0.00	5.14	85.0	4.37
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.11	0.00	6.11	85.0	5.19
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.02	0.00	6.02	85.0	5.12
/ /	KRAL, ADAM	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.05	0.00	0.05	85.0	0.04
/ /	KRAL, ADAM	FIDELITY MIDCAP T	0.00	0.94	0.00	0.94	85.0	0.80
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	13.88	0.00	13.88	85.0	11.80
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	6.53	0.00	6.53	85.0	5.55
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	5.68	0.00	5.68	85.0	4.83
04/01/2017	DAY, JAMES	MFS MASS INVESTORS TRUST A	0.00	7.48	0.00	7.48	85.0	6.36
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$134.51	\$0.00	\$134.51		\$114.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	885.14	2.21	0.00	2.21	85.0	1.88
03/31/2017	BUEHRER, DANIEL	JNL PERSPECTIVE L	175,201.84	146.00	0.00	146.00	85.0	124.10
03/31/2017	NOWAK, DAVID	JOHN HANCOCK VENTURE III	17,966.73	14.97	0.00	14.97	85.0	12.72
03/31/2017	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	50,939.53	42.45	0.00	42.45	85.0	36.08
03/31/2017	DESELMS, EVELYN	JOHN HANCOCK VENTURE III	40,279.71	33.57	0.00	33.57	85.0	28.53
03/29/2017	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	2.34
04/04/2017	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	4.68
04/01/2017	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	93,364.38	233.41	0.00	233.41	85.0	198.40
03/02/2017	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	130,475.66	108.73	0.00	108.73	85.0	92.42
03/05/2017	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	100,857.64	84.05	0.00	84.05	85.0	71.44
03/05/2017	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	84,489.79	70.41	0.00	70.41	85.0	59.85
03/06/2017	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	222,835.16	185.70	0.00	185.70	85.0	157.85
03/07/2017	EYLER, BARBARA	TRANSAMERICA LIBERTY	95,435.02	79.53	0.00	79.53	85.0	67.60
03/07/2017	BUEHRER, SARAH	TRANSAMERICA LIBERTY	127,454.46	106.21	0.00	106.21	85.0	90.28
03/07/2017	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	143,118.96	119.27	0.00	119.27	85.0	101.38
03/07/2017	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	183,284.83	152.74	0.00	152.74	85.0	129.83
03/08/2017	FERGUSON, MARK	TRANSAMERICA LIBERTY	216,324.75	180.27	0.00	180.27	85.0	153.23
03/08/2017	DE SELMS, KAREN	TRANSAMERICA LIBERTY	128,643.04	107.20	0.00	107.20	85.0	91.12
03/09/2017	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	174,433.90	145.36	0.00	145.36	85.0	123.56
03/10/2017	THANASIU, THOMAS	TRANSAMERICA LIBERTY	106,714.41	88.93	0.00	88.93	85.0	75.59
03/10/2017	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	92,297.37	76.91	0.00	76.91	85.0	65.37
03/10/2017	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	86,325.33	71.94	0.00	71.94	85.0	61.15
03/12/2017	DIENER, JUDITH	TRANSAMERICA LIBERTY	134,307.31	111.92	0.00	111.92	85.0	95.13
03/12/2017	RUCH, RONALD	TRANSAMERICA LIBERTY	65,009.19	54.17	0.00	54.17	85.0	46.04
03/13/2017	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	28,488.45	23.74	0.00	23.74	85.0	20.18
03/16/2017	BAKER, ELAINE	TRANSAMERICA LIBERTY	206,154.37	171.80	0.00	171.80	85.0	146.03
03/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,285.74	63.57	0.00	63.57	85.0	54.03
03/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,285.74	63.57	0.00	63.57	85.0	54.03
03/19/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	76,285.74	63.57	0.00	63.57	85.0	54.03
03/21/2017	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	185,395.09	154.50	0.00	154.50	85.0	131.33
03/22/2017	AUGUSTYNIAC JR, ROGER	TRANSAMERICA LIBERTY	418,474.69	348.73	0.00	348.73	85.0	296.42
03/22/2017	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	91,299.53	76.08	0.00	76.08	85.0	64.67
03/25/2017	PERRY, ALAN	TRANSAMERICA LIBERTY	391,739.77	326.45	0.00	326.45	85.0	277.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/25/2017	TAYLOR, LILY	TRANSAMERICA LIBERTY	82,767.82	68.97	0.00	68.97	85.0	58.62
03/26/2017	ANNESSER, CAROL	TRANSAMERICA LIBERTY	127,940.17	106.62	0.00	106.62	85.0	90.63
03/27/2017	DESELMS, KAREN	TRANSAMERICA LIBERTY	117,073.44	97.56	0.00	97.56	85.0	82.93
03/27/2017	WRAY, JOCELYN	TRANSAMERICA LIBERTY	27,597.99	23.00	0.00	23.00	85.0	19.55
03/27/2017	WRAY, KIM	TRANSAMERICA LIBERTY	57,768.28	48.14	0.00	48.14	85.0	40.92
03/27/2017	KREMPEC, KAY	TRANSAMERICA LIBERTY	36,652.26	30.54	0.00	30.54	85.0	25.96
03/30/2017	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	46,765.13	38.97	0.00	38.97	85.0	33.12
04/03/2017	WRAY, KIM	TRANSAMERICA LIBERTY	6,500.00	260.00	0.00	260.00	85.0	221.00
* VARIABLE ANNUITIES SUBTOTAL *			\$4,524,268.36	\$4,190.01	\$0.00	\$4,190.01		\$3,561.50
*** BROKER TRANSACTIONS TOTAL ***			\$4,524,718.36	\$4,324.52	\$0.00	\$4,324.52		\$3,675.84
<u>ADJUSTMENTS</u>								
04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,675.84
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,100.84
Year-to-date Gross	\$21,888.02
Year-to-date Earnings	\$18,685.82