



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2018**

02/19/2018  
Page No. 1

ROBIN, E119 EDGAR  
1161 WAYZATA BLVD E  
#400  
Wayzata, MN 55391

BROKER #: E119  
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2018	SWIFT, SHEILA	AM FUNDS EUROPACIFIC GROWTH FUND A	10,000.00	200.00	22.00	178.00	90.0	160.20
		* MUTUAL FUNDS SUBTOTAL *	\$10,000.00	\$200.00	\$22.00	\$178.00		\$160.20
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/01/2018	KOSS-WINN BANC ESOP	BTCS INC COM NEW	4,140.00	50.00	27.00	23.00	90.0	20.70
		* STOCKS SUBTOTAL *	\$4,140.00	\$50.00	\$27.00	\$23.00		\$20.70
		*** CLEARING TRANSACTIONS TOTAL ***	\$14,140.00	\$250.00	\$49.00	\$201.00		\$180.90
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/04/2018	KOSS-WINN BANC ESOP	ARCTRUST FOLLOW-ON 4	25,688.00	1,541.00	0.00	1,541.00	90.0	1,386.90
01/22/2018	KESSLER, ROBERT	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
02/01/2018	GROE, LORINDA	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
02/01/2018	WILSON, NANCY	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/25/2018	KESSLER, ROBERT	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/31/2018	GROSS, ROBERT	GPB AUTOMOTIVE PORTFOLIO LP	-50,000.00	-3,500.00	0.00	-3,500.00	90.0	-3,150.00
02/01/2018	LOW, ANN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
01/19/2018	GROSS, ROBERT	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$225,688.00	\$15,541.00	\$0.00	\$15,541.00		\$13,986.90
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/30/2018	SCHLAKE, ELROY	CIM COMMERCIAL TRUST SERIES A	50,000.00	2,500.00	0.00	2,500.00	90.0	2,250.00
		* REIT SUBTOTAL *	\$50,000.00	\$2,500.00	\$0.00	\$2,500.00		\$2,250.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
12/31/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	11,225.77	2.38	0.00	2.38	90.0	2.14
12/31/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	16,593.26	3.52	0.00	3.52	90.0	3.17
12/31/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	11,206.30	2.38	0.00	2.38	90.0	2.14
12/31/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	5,612.89	1.19	0.00	1.19	90.0	1.07
12/31/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	10,789.48	2.29	0.00	2.29	90.0	2.06
12/31/2017	KOSS-WINN BANC ESOP	GRIFFIN INST'L ACCESS R E FUND	26,696.61	5.67	0.00	5.67	90.0	5.10
12/31/2017	HANSON, SCOTT	GRIFFIN INST'L ACCESS R E FUND	20,270.68	4.30	0.00	4.30	90.0	3.87
12/31/2017	OBERMEYER, HEIDI	GRIFFIN INST'L ACCESS R E FUND	20,241.85	4.30	0.00	4.30	90.0	3.87
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$122,636.84</b>	<b>\$26.03</b>	<b>\$0.00</b>	<b>\$26.03</b>		<b>\$23.42</b>

**PRODUCT CATEGORY: MUTUAL FUNDS**

01/26/2018	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	25,000.00	250.00	0.00	250.00	90.0	225.00
01/29/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
01/29/2018	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
02/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
01/26/2018	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	25,000.00	250.00	0.00	250.00	90.0	225.00
02/01/2018	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
01/22/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	13.91	0.38	0.00	0.38	90.0	0.34
01/22/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	13.91	0.38	0.00	0.38	90.0	0.34
01/29/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.12	0.72	0.00	0.72	90.0	0.65
01/29/2018	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.12	0.72	0.00	0.72	90.0	0.65
02/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
02/01/2018	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
02/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	62.50	2.34	0.00	2.34	90.0	2.11
02/01/2018	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	37.50	1.41	0.00	1.41	90.0	1.27
02/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	2.02	0.00	2.02	90.0	1.82
02/01/2018	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	53.75	2.02	0.00	2.02	90.0	1.82
01/22/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.98	1.32	0.00	1.32	90.0	1.19
01/22/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.79	0.79	0.00	0.79	90.0	0.71
01/29/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	41.80	1.15	0.00	1.15	90.0	1.04
01/29/2018	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	25.08	0.69	0.00	0.69	90.0	0.62
02/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
02/01/2018	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
01/26/2018	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	25,000.00	250.00	0.00	250.00	90.0	225.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/26/2018	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	25,000.00	250.00	0.00	250.00	90.0	225.00
02/01/2018	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
01/22/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	30.60	0.84	0.00	0.84	90.0	0.76
01/22/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	81.59	2.24	0.00	2.24	90.0	2.02
01/22/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.87	0.52	0.00	0.52	90.0	0.47
01/22/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.87	0.52	0.00	0.52	90.0	0.47
01/29/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	19.25	0.53	0.00	0.53	90.0	0.48
01/29/2018	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	19.25	0.53	0.00	0.53	90.0	0.48
01/29/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	84.05	2.31	0.00	2.31	90.0	2.08
01/29/2018	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.52	0.87	0.00	0.87	90.0	0.78
01/29/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	300.00	8.25	0.00	8.25	90.0	7.43
01/29/2018	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
02/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	2.34	0.00	2.34	90.0	2.11
02/01/2018	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	37.50	1.41	0.00	1.41	90.0	1.27
02/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	2.02	0.00	2.02	90.0	1.82
02/01/2018	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	53.75	2.02	0.00	2.02	90.0	1.82
02/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	62.50	2.34	0.00	2.34	90.0	2.11
02/01/2018	VACANTI, EMMA	AMERICAN FUND GLOBAL GROWTH PORTFOL	104.17	3.91	0.00	3.91	90.0	3.52
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$102,153.38</b>	<b>\$1,070.79</b>	<b>\$0.00</b>	<b>\$1,070.79</b>		<b>\$963.79</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/18/2018	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	33.50	0.00	33.50	90.0	30.15
01/18/2018	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	32.03	0.00	32.03	90.0	28.83
01/18/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.29	0.00	2.29	90.0	2.06
01/18/2018	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.42	0.00	2.42	90.0	2.18
01/18/2018	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.58	0.00	2.58	90.0	2.32
01/18/2018	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.67	0.00	2.67	90.0	2.40
01/18/2018	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.62	0.00	13.62	90.0	12.26
01/18/2018	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.33	0.00	9.33	90.0	8.40
01/18/2018	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	8.95	0.00	8.95	90.0	8.06
01/18/2018	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.58	0.00	7.58	90.0	6.82
01/19/2018	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.95	0.00	3.95	90.0	3.56
01/17/2018	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	54.45	0.00	54.45	90.0	49.01
01/17/2018	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	58.88	0.00	58.88	90.0	52.99

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<b><u>ADJUSTMENTS</u></b>								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$232.25	\$0.00	\$232.25		\$209.04
*** DIRECT TRANSACTIONS TOTAL ***			\$500,478.22	\$19,370.07	\$0.00	\$19,370.07		\$17,433.15

<b><u>ADJUSTMENTS</u></b>								
02/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
02/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
02/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-8.04
02/15/2018		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-661.04

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$17,614.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-661.04
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,953.01
Year-to-date Gross	\$76,476.49
Year-to-date Earnings	\$68,634.50

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