

COMMISSION STATEMENT

February 28, 2018

STEPHEN SUSLOW
14 WEST 12TH STREET
APT 6B
NEW YORK, NY 10011

BROKER #: 61
BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
02/06/2018	75500864	WINICK, JESSE		WELLS FARGO & CO	B	18,900.00	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75500864	WINICK, JESSE		WELLS FARGO & CO	B	592,200.00	0.00	7.00	-7.00 85.0 -7.00
02/09/2018	75500864	WINICK, JESSE		WELLS FARGO & CO	B	1,278,900.00	0.00	7.00	-7.00 85.0 -7.00
02/09/2018	75500864	WINICK, JESSE		WELLS FARGO & CO	B	620,000.00	0.00	7.00	-7.00 85.0 -7.00
02/06/2018	75500867	GREENSPAN, WILLIAM		WELLS FARGO & CO	B	390,600.00	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75500867	GREENSPAN, WILLIAM		WELLS FARGO & CO	B	239,400.00	0.00	7.00	-7.00 85.0 -7.00
02/02/2018	75500881	SUSLOW, RONNIE		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		37,842.64	0.00	7.00	-7.00 85.0 -7.00
02/02/2018	75500881	SUSLOW, RONNIE		NUVEEN NEW YORK AMT FREE MUNICIPAL I B		36,831.00	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75500881	SUSLOW, RONNIE		WELLS FARGO & CO	B	630,000.00	0.00	7.00	-7.00 85.0 -7.00
02/02/2018	75500918	SUSLOW, ELISSA		NUVEEN NEW YORK AMT FREE MUNICIPAL I B		9,821.60	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75500918	SUSLOW, ELISSA		WELLS FARGO & CO	B	630,000.00	0.00	7.00	-7.00 85.0 -7.00
01/30/2018	75500933	LAWRENCE, IRA		USG CORP (NEW) COMMON STOCK	B	39,078.06	10.00	7.00	3.00 85.0 1.50
01/31/2018	75500933	LAWRENCE, IRA		USG CORP (NEW) COMMON STOCK	S	39,180.00	10.00	7.00	3.00 85.0 1.50
02/01/2018	75500933	LAWRENCE, IRA		HOLLYSYS AUTOMATION TECHNOLOGIES LT B		25,810.00	10.00	7.00	3.00 85.0 1.50
02/01/2018	75500933	LAWRENCE, IRA		SBERBANK OF RUSSIA SPONS ADR ISIN#U B		20,090.00	10.00	7.00	3.00 85.0 1.50
02/07/2018	75500933	LAWRENCE, IRA		BALL CORP	S	40,430.00	10.00	7.00	3.00 85.0 1.50
02/08/2018	75500933	LAWRENCE, IRA		VISTA OUTDOOR INC COM	S	33,780.00	10.00	7.00	3.00 85.0 1.50
02/20/2018	75500933	LAWRENCE, IRA		DIREXION SHARES ETF TRUST DIREXION B		25,510.00	10.00	7.00	3.00 85.0 1.50
02/20/2018	75500933	LAWRENCE, IRA		DIREXION SHARES ETF TRUST DIREXION S		-25,510.00	-10.00	-7.00	-3.00 85.0 -1.50 C
02/07/2018	75500935	LAWRENCE, IRA		DIREXION SHARES ETF TRUST DIREXION B		25,510.00	10.00	7.00	3.00 85.0 1.50
02/14/2018	75500935	LAWRENCE, IRA		DIREXION SHARES ETF TRUST DIREXION S		28,434.90	10.00	7.00	3.00 85.0 1.50
02/02/2018	75500953	SUSLOW, STEPHEN		NUVEEN N Y DIVIDEND ADVANTAGE MUN F B		13,285.00	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75501098	WINICK FAMILY		WELLS FARGO & CO	B	630,000.00	0.00	7.00	-7.00 85.0 -7.00
02/09/2018	75501098	WINICK FAMILY		WELLS FARGO & CO	B	620,000.00	0.00	7.00	-7.00 85.0 -7.00
02/01/2018	75501161	LAWRENCE, DAVID		BANCO DO BRASIL SA SPONSORED ADR B		12,610.00	10.00	7.00	3.00 85.0 1.50
02/01/2018	75501161	LAWRENCE, DAVID		CHINA CONSTRUCTION BANK CORPORATION B		22,700.00	10.00	7.00	3.00 85.0 1.50
02/08/2018	75501161	LAWRENCE, DAVID		BANCO DO BRASIL SA SPONSORED ADR S		12,000.00	10.00	7.00	3.00 85.0 1.50
02/08/2018	75501161	LAWRENCE, DAVID		CHINA CONSTRUCTION BANK CORPORATION S		20,150.00	10.00	7.00	3.00 85.0 1.50
02/23/2018	75501161	LAWRENCE, DAVID		DIREXION SHARES ETF TRUST DIREXION B		23,716.60	10.00	7.00	3.00 85.0 1.50
01/31/2018	75501189	IRA S LAWRENCE TTEE		VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		33,380.00	10.00	7.00	3.00 85.0 1.50
02/02/2018	75501189	IRA S LAWRENCE TTEE		SBERBANK OF RUSSIA SPONS ADR ISIN#U B		19,260.00	10.00	7.00	3.00 85.0 1.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/14/2018	75501189	IRA S LAWRENCE TTEE	CRITEO S A SPONSORED ADS	S	28,600.00	10.00	7.00	3.00	85.0	1.50
01/30/2018	75501196	LAWRENCE, ADELLE	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		33,380.00	10.00	7.00	3.00	85.0	1.50
02/02/2018	75501196	LAWRENCE, ADELLE	CHINA CONSTRUCTION BANK CORPORATION B		22,510.00	10.00	7.00	3.00	85.0	1.50
02/08/2018	75501196	LAWRENCE, ADELLE	DIREXION SHARES ETF TRUST DIREXION S		5,994.00	10.00	7.00	3.00	85.0	1.50
02/20/2018	75501196	LAWRENCE, ADELLE	DIREXION SHARES ETF TRUST DIREXION B		25,510.00	10.00	7.00	3.00	85.0	1.50
02/06/2018	75502141	BOSGANG, ALVIN	WELLS FARGO & CO	B	50,000.00	0.00	7.00	-7.00	85.0	-7.00
02/06/2018	75502141	BOSGANG, ALVIN	WELLS FARGO & CO	B	62,000.00	0.00	7.00	-7.00	85.0	-7.00
02/13/2018	75502141	BOSGANG, ALVIN	WELLS FARGO & CO	S	102,582.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *					\$6,474,485.80	\$200.00	\$259.00	\$-59.00		\$-89.00

PRODUCT CATEGORY: OPTIONS

01/31/2018	75500864	WINICK, JESSE	PUT	WFC	02/09/18	63	S	1,625.00	162.00	7.00	155.00	85.0	130.70
02/02/2018	75500864	WINICK, JESSE	PUT	C	02/02/18	77	B	810.00	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500864	WINICK, JESSE	PUT	C	02/09/18	77.50	B	43,028.50	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500864	WINICK, JESSE	PUT	C	02/09/18	76	B	37,255.56	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500864	WINICK, JESSE	PUT	C	03/02/18	73	S	56,845.94	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500864	WINICK, JESSE	PUT	WFC	02/09/18	63.50	B	109,563.27	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500864	WINICK, JESSE	PUT	WFC	03/02/18	60	S	53,744.20	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75500864	WINICK, JESSE	PUT	C	02/09/18	75.50	B	25,706.67	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75500864	WINICK, JESSE	PUT	C	02/09/18	76	B	20,670.32	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75500864	WINICK, JESSE	PUT	C	03/02/18	73	S	63,523.97	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500867	GREENSPAN, WILLIAM	PUT	C	02/09/18	76	B	37,255.56	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500867	GREENSPAN, WILLIAM	PUT	C	03/02/18	73	S	28,422.97	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75500867	GREENSPAN, WILLIAM	PUT	C	02/09/18	76	B	20,670.32	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75500867	GREENSPAN, WILLIAM	PUT	C	03/02/18	73	S	31,761.99	0.00	7.00	-7.00	85.0	-7.00
02/22/2018	75500867	GREENSPAN, WILLIAM	PUT	C	03/02/18	73	B	11,370.00	0.00	7.00	-7.00	85.0	-7.00
01/31/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/09/18	63.50	S	2,220.00	0.00	7.00	-7.00	85.0	-7.00
02/01/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/09/18	63.50	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500881	SUSLOW, RONNIE	PUT	C	02/09/18	77.50	B	43,028.50	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500881	SUSLOW, RONNIE	PUT	C	02/09/18	76	B	37,255.56	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500881	SUSLOW, RONNIE	PUT	C	03/02/18	73	S	56,845.94	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/09/18	63.50	B	98,606.94	0.00	7.00	-7.00	85.0	-7.00
02/05/2018	75500881	SUSLOW, RONNIE	PUT	WFC	03/02/18	60	S	48,369.78	0.00	7.00	-7.00	85.0	-7.00
02/06/2018	75500881	SUSLOW, RONNIE	PUT	WFC	02/09/18	63	B	63,748.00	0.00	7.00	-7.00	85.0	-7.00
02/06/2018	75500881	SUSLOW, RONNIE	PUT	WFC	03/02/18	60	S	37,800.00	0.00	7.00	-7.00	85.0	-7.00
02/12/2018	75500881	SUSLOW, RONNIE	PUT	C	03/02/18	73	B	40,700.00	0.00	7.00	-7.00	85.0	-7.00
02/15/2018	75500881	SUSLOW, RONNIE	PUT	C	02/23/18	74	S	5,518.00	0.00	7.00	-7.00	85.0	-7.00
02/20/2018	75500881	SUSLOW, RONNIE	PUT	C	02/23/18	74	B	1,140.00	0.00	7.00	-7.00	85.0	-7.00
02/09/2018	75500882	SUSLOW, RONNIE	PUT	AABA	02/09/18	73.50	B	24,600.00	0.00	7.00	-7.00	85.0	-7.00
02/09/2018	75500882	SUSLOW, RONNIE	PUT	AABA	02/09/18	73	B	47,200.00	0.00	7.00	-7.00	85.0	-7.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
02/14/2018	75500882	SUSLOW, RONNIE	PUT AABA	02/23/18	70	S	2,200.00	0.00	7.00	-7.00 85.0 -7.00
02/14/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	73	S	1,960.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT AABA	02/23/18	70	B	10.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	74.50	B	30.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	74.50	S	60.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	75	S	1,805.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	75	B	1,200.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	75.50	S	2,840.00	0.00	7.00	-7.00 85.0 -7.00
02/21/2018	75500882	SUSLOW, RONNIE	PUT C	02/23/18	75.50	B	1,500.00	0.00	7.00	-7.00 85.0 -7.00
01/31/2018	75500918	SUSLOW, ELISSA	PUT WFC	02/09/18	63.50	S	2,220.00	0.00	7.00	-7.00 85.0 -7.00
02/01/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18	77	S	240.00	0.00	7.00	-7.00 85.0 -7.00
02/01/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18	77	B	240.00	0.00	7.00	-7.00 85.0 -7.00
02/02/2018	75500918	SUSLOW, ELISSA	PUT C	02/02/18	77	B	810.00	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75500918	SUSLOW, ELISSA	PUT C	02/09/18	76	B	37,255.56	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75500918	SUSLOW, ELISSA	PUT C	03/02/18	73	S	28,422.97	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75500918	SUSLOW, ELISSA	PUT WFC	02/09/18	63.50	B	109,563.27	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75500918	SUSLOW, ELISSA	PUT WFC	03/02/18	60	S	53,744.20	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75500918	SUSLOW, ELISSA	PUT C	02/09/18	76	B	20,670.32	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75500918	SUSLOW, ELISSA	PUT C	03/02/18	73	S	31,761.99	0.00	7.00	-7.00 85.0 -7.00
02/20/2018	75500918	SUSLOW, ELISSA	PUT C	03/02/18	73	B	12,400.00	0.00	7.00	-7.00 85.0 -7.00
02/22/2018	75500918	SUSLOW, ELISSA	PUT BAC	03/02/18	30	S	500.00	0.00	7.00	-7.00 85.0 -7.00
02/22/2018	75500918	SUSLOW, ELISSA	PUT BAC	03/02/18	30	B	600.00	0.00	7.00	-7.00 85.0 -7.00
02/22/2018	75500918	SUSLOW, ELISSA	PUT BAC	03/02/18	30.50	S	700.00	0.00	7.00	-7.00 85.0 -7.00
02/22/2018	75500918	SUSLOW, ELISSA	PUT BAC	03/02/18	30.50	B	1,100.00	0.00	7.00	-7.00 85.0 -7.00
02/09/2018	75500954	SUSLOW, STEPHEN	PUT AABA	02/09/18	73.50	B	49,200.00	0.00	7.00	-7.00 85.0 -7.00
02/09/2018	75500954	SUSLOW, STEPHEN	PUT AABA	02/09/18	74	B	54,800.00	0.00	7.00	-7.00 85.0 -7.00
02/14/2018	75500954	SUSLOW, STEPHEN	PUT C	02/16/18	74	S	2,760.00	0.00	7.00	-7.00 85.0 -7.00
02/14/2018	75500954	SUSLOW, STEPHEN	PUT C	02/16/18	74.50	S	3,960.00	0.00	7.00	-7.00 85.0 -7.00
02/14/2018	75500954	SUSLOW, STEPHEN	PUT C	02/23/18	73.50	S	2,940.00	0.00	7.00	-7.00 85.0 -7.00
02/15/2018	75500954	SUSLOW, STEPHEN	PUT AABA	02/23/18	71.50	S	2,365.71	0.00	7.00	-7.00 85.0 -7.00
02/15/2018	75500954	SUSLOW, STEPHEN	PUT AABA	02/23/18	72	S	2,376.67	0.00	7.00	-7.00 85.0 -7.00
02/26/2018	75500954	SUSLOW, STEPHEN	PUT AABA	03/02/18	70.50	S	800.00	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75500986	SUSLOVICH, JACOB	PUT WFC	02/09/18	61	B	12,600.00	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75500986	SUSLOVICH, JACOB	PUT C	02/09/18	76	B	8,268.13	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75500986	SUSLOVICH, JACOB	PUT C	03/02/18	73	S	12,704.79	0.00	7.00	-7.00 85.0 -7.00
02/12/2018	75500986	SUSLOVICH, JACOB	PUT C	03/02/18	73	B	7,000.00	0.00	7.00	-7.00 85.0 -7.00
01/31/2018	75501098	WINICK FAMILY	PUT WFC	02/09/18	63	S	1,625.00	162.00	7.00	155.00 85.0 130.70
02/05/2018	75501098	WINICK FAMILY	PUT C	02/09/18	76	B	37,255.56	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75501098	WINICK FAMILY	PUT C	03/02/18	73	S	28,422.97	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75501098	WINICK FAMILY	PUT WFC	02/09/18	63.50	B	109,563.27	0.00	7.00	-7.00 85.0 -7.00

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TRADE DATE	CLIENT	INVESTMENT		B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
02/05/2018	75501098	WINICK FAMILY	PUT WFC	03/02/18	60	S	53,744.20	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75501098	WINICK FAMILY	PUT C	02/09/18	75.50	B	25,706.67	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75501098	WINICK FAMILY	PUT C	02/09/18	76	B	20,670.32	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75501098	WINICK FAMILY	PUT C	03/02/18	73	S	63,523.97	0.00	7.00	-7.00 85.0 -7.00
02/09/2018	75501215	SISTERS TWO LLC	PUT WFC	02/09/18	61.50	B	52,200.00	0.00	7.00	-7.00 85.0 -7.00
02/14/2018	75501215	SISTERS TWO LLC	PUT BAC	02/23/18	29.50	S	1,600.00	0.00	7.00	-7.00 85.0 -7.00
02/14/2018	75501215	SISTERS TWO LLC	PUT WFC	02/16/18	57	S	760.00	0.00	7.00	-7.00 85.0 -7.00
02/23/2018	75501215	SISTERS TWO LLC	PUT C	03/02/18	73	S	480.00	0.00	7.00	-7.00 85.0 -7.00
02/23/2018	75501215	SISTERS TWO LLC	PUT C	03/02/18	73	S	640.00	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75502117	BLUMA ROTTERMANN	TTEE PUT C	02/09/18	74.50	B	13,700.00	0.00	7.00	-7.00 85.0 -7.00
02/08/2018	75502117	BLUMA ROTTERMANN	TTEE PUT C	03/02/18	73	S	18,700.00	0.00	7.00	-7.00 85.0 -7.00
02/12/2018	75502117	BLUMA ROTTERMANN	TTEE PUT C	03/02/18	73	B	9,500.00	0.00	7.00	-7.00 85.0 -7.00
01/31/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	12,069.00	450.00	7.00	443.00 85.0 375.50
01/31/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76.50	S	9,080.00	450.00	7.00	443.00 85.0 375.50
01/31/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	6,640.00	332.00	7.00	325.00 85.0 275.20
01/31/2018	75502141	BOSGANG, ALVIN	PUT C	02/02/18	77	S	9,770.00	488.00	7.00	481.00 85.0 407.80
01/31/2018	75502141	BOSGANG, ALVIN	PUT C	02/02/18	77	S	6,820.00	341.00	7.00	334.00 85.0 282.85
02/01/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	75	S	6,120.00	300.00	7.00	293.00 85.0 248.00
02/01/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	7,840.00	300.00	7.00	293.00 85.0 248.00
02/01/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	S	11,180.00	300.00	7.00	293.00 85.0 248.00
02/01/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	77.50	S	8,020.00	300.00	7.00	293.00 85.0 248.00
02/01/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	5,660.00	283.00	7.00	276.00 85.0 233.55
02/01/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76.50	S	2,200.00	110.00	7.00	103.00 85.0 86.50
02/01/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	64	S	4,240.00	212.00	7.00	205.00 85.0 173.20
02/02/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	S	98,705.00	1,800.00	7.00	1,793.00 85.0 1,523.00
02/02/2018	75502141	BOSGANG, ALVIN	PUT C	02/02/18	77	B	8,676.00	0.00	7.00	-7.00 85.0 -7.00
02/02/2018	75502141	BOSGANG, ALVIN	PUT C	02/02/18	77	B	6,855.00	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	B	493,600.00	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	77.50	B	430,285.00	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	B	149,022.22	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	S	738,997.21	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	64	B	578,720.00	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	63.50	B	109,563.27	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	63	B	99,800.00	0.00	7.00	-7.00 85.0 -7.00
02/05/2018	75502141	BOSGANG, ALVIN	PUT WFC	03/02/18	60	S	376,209.41	0.00	7.00	-7.00 85.0 -7.00
02/06/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	B	8,700.00	0.00	7.00	-7.00 85.0 -7.00
02/06/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	S	7,240.00	0.00	7.00	-7.00 85.0 -7.00
02/06/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	62.50	B	59,251.00	0.00	7.00	-7.00 85.0 -7.00
02/06/2018	75502141	BOSGANG, ALVIN	PUT WFC	03/02/18	60	S	38,060.00	0.00	7.00	-7.00 85.0 -7.00
02/07/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	62.50	B	360,622.00	0.00	7.00	-7.00 85.0 -7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
02/07/2018	75502141	BOSGANG, ALVIN	PUT WFC	02/09/18	62	B	209,846.00	0.00	7.00	-7.00	85.0	-7.00
02/07/2018	75502141	BOSGANG, ALVIN	PUT WFC	03/02/18	60	S	436,358.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	72	B	88,300.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	B	134,500.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73	B	189,425.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	B	224,800.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74	B	170,000.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	74.50	B	317,800.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	75	B	398,650.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	B	377,700.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/09/18	73.50	B	117,460.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/02/18	70.50	S	1,654,455.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	B	675,633.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76.50	B	160,074.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	76	B	505,050.00	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT C	02/09/18	75.50	B	179,946.67	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	S	222,333.90	0.00	7.00	-7.00	85.0	-7.00
02/08/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	S	1,317,011.00	0.00	7.00	-7.00	85.0	-7.00
02/09/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	B	29,900.00	0.00	7.00	-7.00	85.0	-7.00
02/09/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	B	1,273,105.00	0.00	7.00	-7.00	85.0	-7.00
02/14/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	70.50	S	6,520.00	300.00	7.00	293.00	85.0	248.00
02/14/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	71	S	8,018.00	300.00	7.00	293.00	85.0	248.00
02/14/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	74	S	16,751.00	750.00	7.00	743.00	85.0	630.50
02/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	70.50	S	4,000.00	200.00	7.00	193.00	85.0	163.00
02/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	71	S	4,820.00	241.00	7.00	234.00	85.0	197.85
02/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	71.50	S	5,914.29	295.00	7.00	288.00	85.0	243.75
02/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	72	S	11,883.33	450.00	7.00	443.00	85.0	375.50
02/15/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	74.50	S	9,180.00	450.00	7.00	443.00	85.0	375.50
02/15/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	73.50	S	18,120.00	750.00	7.00	743.00	85.0	630.50
02/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	71.50	S	2,740.00	137.00	7.00	130.00	85.0	109.45
02/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	72	S	5,080.00	254.00	7.00	247.00	85.0	208.90
02/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	72.50	S	3,100.00	150.00	7.00	143.00	85.0	120.50
02/16/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	74	S	1,500.00	75.00	7.00	68.00	85.0	56.75
02/16/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	74.50	S	4,040.00	202.00	7.00	195.00	85.0	164.70
02/16/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	73.50	S	7,300.00	365.00	7.00	358.00	85.0	303.25
02/16/2018	75502141	BOSGANG, ALVIN	PUT C	02/23/18	75.50	S	10,200.00	450.00	7.00	443.00	85.0	375.50
02/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	72.50	S	1,000.00	50.00	7.00	43.00	85.0	35.50
02/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	02/23/18	74.50	S	2,800.00	140.00	7.00	133.00	85.0	112.00
02/21/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	S	13,453.00	672.00	7.00	665.00	85.0	564.20
02/21/2018	75502141	BOSGANG, ALVIN	PUT C	03/02/18	73	S	8,500.00	425.00	7.00	418.00	85.0	354.25

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

02/26/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/02/18 71	S	6,163.00	308.00	7.00	301.00	85.0	254.80
* OPTIONS SUBTOTAL *						\$14,447,562.86	\$12,954.00	\$1,050.00	\$11,904.00		\$9,960.90

PRODUCT CATEGORY: MISCELLANEOUS

01/31/2018	VARIOUS, SUSLOW ELISA	TERRA			B	0.00	16,290.24	0.00	16,290.24	85.0	13,846.70
01/31/2018	VARIOUS, SUSLOW ELISA	TERRA			B	0.00	16,290.24	0.00	16,290.24	85.0	13,846.70
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$32,580.48	\$0.00	\$32,580.48		\$27,693.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/01/2018	75500859	LABELLA, CHARLES	BARON SELECT FDS		B	0.00	8.48	0.00	8.48	85.0	7.21
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$8.48	\$0.00	\$8.48		\$7.21
*** BROKER TRANSACTIONS TOTAL ***						\$20,922,048.66	\$45,742.96	\$1,309.00	\$44,433.96		\$37,572.51

ADJUSTMENTS

02/28/2018	:					0.00	0.00	0.00	0.00		0.00
02/28/2018	OTHER: OTHER-HEALTH INSURANCE					0.00	0.00	0.00	0.00		-556.05
*** ADJUSTMENTS TOTAL ***						\$0.00	\$0.00	\$0.00	\$0.00		\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$37,572.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.45
SIPC Assessment	\$-32.91

Check Amount	\$36,967.10
Year-to-date Earnings	\$-13,423.32