



All Companies

06/28/2018

Page No. 1

COMMISSION STATEMENT**July 02, 2018****DANIEL WILLIAM ASHLEY**
10809 Pebblecreek Court**BROKER #: 5276**
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

06/19/2018 00067411342	BROTHERTON, RALPH	AMERICAN FUNDS AMCAP FD	B	19,935.67	318.97	0.00	318.97	70.0	223.28
06/18/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
06/18/2018 00089239225	LUTT, ROBERT R	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
06/18/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
06/18/2018 00089239238	LUTT, ANDREW T	FUNDAMENTAL INVESTORS-C	B	79.20	0.79	0.00	0.79	70.0	0.55
06/18/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/22/2018 00089262606	PETERSON, SCOTT S.	CAPITAL INCOME BUILDER-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/18/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/22/2018 00089262606	PETERSON, SCOTT S.	NEW PERSPECTIVE FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/18/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/22/2018 00089262606	PETERSON, SCOTT S.	SMALLCAP WORLD FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/18/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	7.92	0.08	0.00	0.08	70.0	0.06
06/22/2018 00089262606	PETERSON, SCOTT S.	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/18/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/22/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/22/2018 00089300272	HORTON, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
06/18/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/18/2018 00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/22/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/22/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
06/18/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	34.36	0.34	0.00	0.34	70.0	0.24
06/18/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	57.27	0.57	0.00	0.57	70.0	0.40
06/22/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	59.11	0.59	0.00	0.59	70.0	0.41
06/22/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045 TARGET DATE-C	B	35.46	0.35	0.00	0.35	70.0	0.25
06/18/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
06/22/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	36.00	0.36	0.00	0.36	70.0	0.25
06/22/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	20.52	0.21	0.00	0.21	70.0	0.15
06/18/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	20.52	0.21	0.00	0.21	70.0	0.15
06/22/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	27.00	0.27	0.00	0.27	70.0	0.19
06/22/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-C	B	64.39	0.64	0.00	0.64	70.0	0.45
06/18/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/18/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	24.90	0.25	0.00	0.25	70.0	0.18
06/22/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/22/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045 TARGET DATE-C	B	18.30	0.18	0.00	0.18	70.0	0.13
06/18/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	20.66	0.21	0.00	0.21	70.0	0.15
06/18/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/22/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	19.24	0.19	0.00	0.19	70.0	0.13
06/22/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
06/18/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/18/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	21.36	0.21	0.00	0.21	70.0	0.15
06/22/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
06/22/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-C	B	21.36	0.21	0.00	0.21	70.0	0.15
* MUTUAL FUNDS SUBTOTAL *					\$22,989.72	\$349.50	\$0.00	\$349.50		\$244.73
PRODUCT CATEGORY: STOCKS										
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES		B	78.14	78.14	0.00	78.14	70.0	54.70
* STOCKS SUBTOTAL *					\$78.14	\$78.14	\$0.00	\$78.14		\$54.70
PRODUCT CATEGORY: VARIABLE ANNUITIES										
06/01/2018	SEXTON, THOMAS	-SECURITY BENEFIT		B	250.00	12.50	0.00	12.50	70.0	8.75
06/01/2018	TRAMMELL, TAMMY S.	-SECURITY BENEFIT		B	500.00	5.00	0.00	5.00	70.0	3.50
* VARIABLE ANNUITIES SUBTOTAL *					\$750.00	\$17.50	\$0.00	\$17.50		\$12.25
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	24.11	0.00	24.11	70.0	16.89
07/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES			0.00	10.45	0.00	10.45	70.0	7.31

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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.56	\$0.00	\$34.56	\$24.20
*** BROKER TRANSACTIONS TOTAL ***	\$23,817.86	\$479.70	\$0.00	\$479.70	\$335.88

ADJUSTMENTS

07/02/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
07/02/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-1.50
07/02/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-129.00

BROKER COMMISSION TOTALS

Broker Transactions	\$335.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-129.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$206.88
Year-to-date Earnings	\$9,163.45