

COMMISSION STATEMENT

June 15, 2018

RANDY SMITH-D601

BROKER #: D601

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D601

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/06/2018	00084947333	JOHN J FINN CUST, MF	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	20.0	0.20
06/06/2018	04000149886	JOHN J FINN CUST, MF	AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	20.0	0.40
06/01/2018	08190128650	GIFT MIN ACT-SD, MF	MFS MID CAP GROWTH FUND-C	B	50.00	0.50	0.00	0.50	20.0	0.10
* MUTUAL FUNDS SUBTOTAL *					\$250.00	\$3.50	\$0.00	\$3.50		\$0.70

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	00053695631	COCKERHAM, GEORGE	MFT NEW PERSPECTIVE FUND-A	B	0.00	74.15	0.00	74.15	20.0	14.83
06/01/2018	00053695631	COCKERHAM, GEORGE	MFT THE NEW ECONOMY FUND-A	B	0.00	90.78	0.00	90.78	20.0	18.16
06/01/2018	00060939604	KNIGHT, WILLIAM	MFT NEW PERSPECTIVE FUND-C	B	0.00	43.48	0.00	43.48	20.0	8.70
06/01/2018	00082643376	COCKERHAM, ASHLEY	MFT THE NEW ECONOMY FUND-A	B	0.00	8.84	0.00	8.84	20.0	1.77
06/01/2018	00083032457	JOANNE RAINES	MFT AF U.S. GOVERNMENT MONEY	B	0.00	6.45	0.00	6.45	20.0	1.29
06/01/2018	00084947333	JOHN J FINN CUST, MFT	NEW PERSPECTIVE FUND-C	B	0.00	12.73	0.00	12.73	20.0	2.55
06/01/2018	00084947333	JOHN J FINN CUST, MFT	THE NEW ECONOMY FUND-C	B	0.00	34.06	0.00	34.06	20.0	6.81
06/01/2018	00084947364	FBO RYAN MICHAEL	MFT NEW PERSPECTIVE FUND-C	B	0.00	10.53	0.00	10.53	20.0	2.11
06/01/2018	00084947364	FBO RYAN MICHAEL	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	11.67	0.00	11.67	20.0	2.33
06/01/2018	00084947364	FBO RYAN MICHAEL	MFT THE NEW ECONOMY FUND-C	B	0.00	33.06	0.00	33.06	20.0	6.61
06/01/2018	00084975204	FINN, JOHN	MFT AMERICAN BALANCED FUND-C	B	0.00	368.91	0.00	368.91	20.0	73.78
06/01/2018	00084975204	FINN, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	268.11	0.00	268.11	20.0	53.62
06/01/2018	00084975204	FINN, JOHN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	314.61	0.00	314.61	20.0	62.92
06/01/2018	00085004722	KNIGHT, WILLIAM	MFT NEW PERSPECTIVE FUND-C	B	0.00	9.21	0.00	9.21	20.0	1.84
06/01/2018	00085004722	KNIGHT, WILLIAM	MFT SMALLCAP WORLD FUND-C	B	0.00	25.40	0.00	25.40	20.0	5.08
06/01/2018	00085305194	COCKERHAM/NAKED	MFT AMERICAN BALANCED FUND-A	B	0.00	45.02	0.00	45.02	20.0	9.00
06/01/2018	00085305194	COCKERHAM/NAKED	MFT NEW PERSPECTIVE FUND-C	B	0.00	55.59	0.00	55.59	20.0	11.12
06/01/2018	00085305979	COCKERHAM, CAROL	MFT AMERICAN BALANCED FUND-A	B	0.00	94.40	0.00	94.40	20.0	18.88
06/01/2018	00085305979	COCKERHAM, CAROL	MFT SMALLCAP WORLD FUND-C	B	0.00	228.16	0.00	228.16	20.0	45.63
06/01/2018	00085305979	COCKERHAM, CAROL	MFT THE NEW ECONOMY FUND-C	B	0.00	575.24	0.00	575.24	20.0	115.05
06/01/2018	00085349608	ANN A LAFOURCADE	MFT NEW PERSPECTIVE FUND-C	B	0.00	17.76	0.00	17.76	20.0	3.55
06/01/2018	00085349608	ANN A LAFOURCADE	MFT THE NEW ECONOMY FUND-C	B	0.00	21.97	0.00	21.97	20.0	4.39
06/01/2018	00085352147	LINAM, DONNA	MFT THE NEW ECONOMY FUND-C	B	0.00	1.08	0.00	1.08	20.0	0.22
06/01/2018	00085398387	JOHN R CORBETT	MFT NEW PERSPECTIVE FUND-C	B	0.00	73.90	0.00	73.90	20.0	14.78
06/01/2018	00085398387	JOHN R CORBETT	MFT THE NEW ECONOMY FUND-C	B	0.00	94.83	0.00	94.83	20.0	18.97

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				AMOUNT	COMMISSION	CHARGE	COMMISSION		COMMISSION
06/01/2018 00085399186	RAINES, JOANNE	MFT AMERICAN BALANCED FUND-C	B	0.00	339.87	0.00	339.87	20.0	67.97
06/01/2018 00085466274	AGUILAR, MARIA	MFT NEW PERSPECTIVE FUND-C	B	0.00	22.92	0.00	22.92	20.0	4.58
06/01/2018 00085466274	AGUILAR, MARIA	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	23.91	0.00	23.91	20.0	4.78
06/01/2018 00085466274	AGUILAR, MARIA	MFT THE NEW ECONOMY FUND-C	B	0.00	56.27	0.00	56.27	20.0	11.25
06/01/2018 00085482308	BOGRAN, CARLOS	MFT THE NEW ECONOMY FUND-C	B	0.00	12.82	0.00	12.82	20.0	2.56
06/01/2018 00085781654	SANCHEZ, BEATRIZ	MFT NEW PERSPECTIVE FUND-C	B	0.00	5.34	0.00	5.34	20.0	1.07
06/01/2018 00085781654	SANCHEZ, BEATRIZ	MFT THE NEW ECONOMY FUND-C	B	0.00	6.83	0.00	6.83	20.0	1.37
06/01/2018 00086109508	BRUCHI, JENNIFER	MFT NEW PERSPECTIVE FUND-C	B	0.00	41.63	0.00	41.63	20.0	8.33
06/01/2018 00086109508	BRUCHI, JENNIFER	MFT THE NEW ECONOMY FUND-C	B	0.00	51.79	0.00	51.79	20.0	10.36
06/01/2018 00086413277	LE BLANC, ERIN	MFT NEW PERSPECTIVE FUND-C	B	0.00	93.07	0.00	93.07	20.0	18.61
06/01/2018 00086413277	LE BLANC, ERIN	MFT SMALLCAP WORLD FUND-C	B	0.00	90.22	0.00	90.22	20.0	18.04
06/01/2018 00086413277	LE BLANC, ERIN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	161.52	0.00	161.52	20.0	32.30
06/01/2018 00086413277	LE BLANC, ERIN	MFT THE NEW ECONOMY FUND-C	B	0.00	169.61	0.00	169.61	20.0	33.92
06/01/2018 00086465668	MCINTIRE, JOHN	MFT NEW PERSPECTIVE FUND-C	B	0.00	93.45	0.00	93.45	20.0	18.69
06/01/2018 00089714741	FINN, CONNOR	MFT THE NEW ECONOMY FUND-C	B	0.00	21.49	0.00	21.49	20.0	4.30
06/01/2018 00089892340	FBO JULIETTE G	MFT NEW PERSPECTIVE FUND-C	B	0.00	6.57	0.00	6.57	20.0	1.31
06/01/2018 00089893358	SHERYL A BARDEN	MFT NEW PERSPECTIVE FUND-C	B	0.00	29.38	0.00	29.38	20.0	5.88
06/01/2018 00089893358	SHERYL A BARDEN	MFT THE NEW ECONOMY FUND-C	B	0.00	124.44	0.00	124.44	20.0	24.89
06/01/2018 04000149886	JOHN J FINN CUST,	MFT AMERICAN BALANCED FUND-A	B	0.00	0.64	0.00	0.64	20.0	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,871.71	\$0.00	\$3,871.71		\$774.33
*** DIRECT TRANSACTIONS TOTAL ***				\$250.00	\$3,875.21	\$0.00	\$3,875.21		\$775.03

BROKER COMMISSION TOTALS

Broker Transactions	\$775.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00