



COMMISSION STATEMENT

May 14, 2018

GARRETT MORETZ

181 North Main Street, Ste 208
 Mooresville, NC 28115

BROKER #: B09

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
05/14/2018	***-**-36CL - MORETZ, CLIENTS	EQIS ADVISOR FEES	0.00	1,352.04	0.00	1,352.04	82.0	1,108.67
		BUY 1 Units @0.0000						
05/15/2018	***-**-29FB - ANTHONY, APRIL	EQIS ADVISOR FEES	78.19	78.19	0.00	78.19	82.0	64.12
	ACCOUNT #: RB5070200L	BUY 0 Units @0.0000						
05/15/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	88.30	88.30	0.00	88.30	82.0	72.41
	ACCOUNT #: RH0813100D	BUY 0 Units @0.0000						
05/15/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	67.48	67.48	0.00	67.48	82.0	55.33
	ACCOUNT #: RH24513009	BUY 0 Units @0.0000						
05/15/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	112.29	112.29	0.00	112.29	82.0	92.08
	ACCOUNT #: RE1889900Z	BUY 0 Units @0.0000						
05/15/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	43.25	43.25	0.00	43.25	82.0	35.47
	ACCOUNT #: RE1910700J	BUY 0 Units @0.0000						
05/15/2018	***-**-40FB - BOHNAKER, ANGELA	EQIS ADVISOR FEES	41.37	41.37	0.00	41.37	82.0	33.92
	ACCOUNT #: RC6490700F	BUY 0 Units @0.0000						
05/15/2018	***-**-95FB - BROWN, SUSAN	EQIS ADVISOR FEES	79.80	79.80	0.00	79.80	82.0	65.44
	ACCOUNT #: RD9347700L	BUY 0 Units @0.0000						
05/15/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	89.89	89.89	0.00	89.89	82.0	73.71
	ACCOUNT #: RD90457005	BUY 0 Units @0.0000						
05/15/2018	***-**-57FB - CAMERY, DALE	EQIS ADVISOR FEES	172.12	172.12	0.00	172.12	82.0	141.14
	ACCOUNT #: RD9898400H	BUY 0 Units @0.0000						
05/15/2018	***-**-71FB - CARPENTIER,	EQIS ADVISOR FEES	26.26	26.26	0.00	26.26	82.0	21.53
	ACCOUNT #: RC9591500B	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - CLAYTON, HORACE	EQIS ADVISOR FEES	182.45	182.45	0.00	182.45	82.0	149.61
	ACCOUNT #: RD9998300X	BUY 0 Units @0.0000						
05/15/2018	***-**-68FB - CLAYTON, LINDA	EQIS ADVISOR FEES	40.10	40.10	0.00	40.10	82.0	32.88
	ACCOUNT #: RE0183300B	BUY 0 Units @0.0000						
05/15/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	29.27	29.27	0.00	29.27	82.0	24.00
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
05/15/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	37.50	37.50	0.00	37.50	82.0	30.75
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
05/15/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	41.49	41.49	0.00	41.49	82.0	34.02
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
05/15/2018	***-**-34FB - CLYMER, BETTY	EQIS ADVISOR FEES	12.50	12.50	0.00	12.50	82.0	10.25
	ACCOUNT #: RB3961400D	BUY 0 Units @0.0000						
05/15/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	9.08	9.08	0.00	9.08	82.0	7.45
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						
05/15/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	31.58	31.58	0.00	31.58	82.0	25.90
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
05/15/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	51.90	51.90	0.00	51.90	82.0	42.56
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
05/15/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	45.49	45.49	0.00	45.49	82.0	37.30
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
05/15/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	233.00	233.00	0.00	233.00	82.0	191.06
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
05/15/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	14.45	14.45	0.00	14.45	82.0	11.85
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
05/15/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES	140.62	140.62	0.00	140.62	82.0	115.31
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
05/15/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	6.19	6.19	0.00	6.19	82.0	5.08
	ACCOUNT #: RB33734009	BUY 0 Units @0.0000						
05/15/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	30.27	30.27	0.00	30.27	82.0	24.82
	ACCOUNT #: RB3372800H	BUY 0 Units @0.0000						
05/15/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES	124.99	124.99	0.00	124.99	82.0	102.49
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	49.35	49.35	0.00	49.35	82.0	40.47
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES	127.82	127.82	0.00	127.82	82.0	104.81
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						
05/15/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES	23.41	23.41	0.00	23.41	82.0	19.20
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
05/15/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES	21.00	21.00	0.00	21.00	82.0	17.22
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
05/15/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	110.71	110.71	0.00	110.71	82.0	90.78
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
05/15/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES	53.71	53.71	0.00	53.71	82.0	44.04
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						
05/15/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES	17.59	17.59	0.00	17.59	82.0	14.42
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
05/15/2018	***-**-26FB - KOMINEK, DAVID	EQIS ADVISOR FEES	112.98	112.98	0.00	112.98	82.0	92.64
	ACCOUNT #: RD9045800X	BUY 0 Units @0.0000						
05/15/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES	11.14	11.14	0.00	11.14	82.0	9.13
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
05/15/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES	40.95	40.95	0.00	40.95	82.0	33.58
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						
05/15/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	42.88	42.88	0.00	42.88	82.0	35.16
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						
05/15/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES	87.06	87.06	0.00	87.06	82.0	71.39
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	20.12	20.12	0.00	20.12	82.0	16.50
	ACCOUNT #: RD5247000H	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - MCKAIG, MARK	EQIS ADVISOR FEES	19.11	19.11	0.00	19.11	82.0	15.67
	ACCOUNT #: RD52495005	BUY 0 Units @0.0000						
05/15/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	58.95	58.95	0.00	58.95	82.0	48.34
	ACCOUNT #: RD5092500P	BUY 0 Units @0.0000						
05/15/2018	***-**-59FB - MCKAIG, PATRICIA	EQIS ADVISOR FEES	13.62	13.62	0.00	13.62	82.0	11.17
	ACCOUNT #: RD5249700P	BUY 0 Units @0.0000						
05/15/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	34.95	34.95	0.00	34.95	82.0	28.66
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
05/15/2018	***-**-64FB - MERLO, RALPH	EQIS ADVISOR FEES	10.33	10.33	0.00	10.33	82.0	8.47
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						
05/15/2018	***-**-70FB - FERRELL,	EQIS ADVISOR FEES	50.62	50.62	0.00	50.62	82.0	41.51
	ACCOUNT #: RB50708009	BUY 0 Units @0.0000						
05/15/2018	***-**-759I - MERRILL, SARA	EQIS ADVISOR FEES	24.17	24.17	0.00	24.17	82.0	19.82
	ACCOUNT #: RB5875400D	BUY 0 Units @0.0000						
05/15/2018	***-**-58FB - NELSON-GAINES,	EQIS ADVISOR FEES	13.21	13.21	0.00	13.21	82.0	10.83
	ACCOUNT #: RH0166600L	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
05/15/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	71.95	71.95	0.00	71.95	82.0	59.00
	ACCOUNT #: RG9534800V	BUY 0 Units @0.0000						
05/15/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES	23.09	23.09	0.00	23.09	82.0	18.93
	ACCOUNT #: RG9885700V	BUY 0 Units @0.0000						
05/15/2018	***-**-77FB - PECK, GORDON	EQIS ADVISOR FEES	33.71	33.71	0.00	33.71	82.0	27.64
	ACCOUNT #: RE14731003	BUY 0 Units @0.0000						
05/15/2018	***-**-98FB - PFYFFER, ALBERT	EQIS ADVISOR FEES	40.54	40.54	0.00	40.54	82.0	33.24
	ACCOUNT #: RE1500600F	BUY 0 Units @0.0000						
05/15/2018	***-**-60FB - PLANK, MICHAEL	EQIS ADVISOR FEES	17.69	17.69	0.00	17.69	82.0	14.51
	ACCOUNT #: RG9882800Z	BUY 0 Units @0.0000						
05/15/2018	***-**-60FB - PLANK, MICHAEL	EQIS ADVISOR FEES	11.92	11.92	0.00	11.92	82.0	9.77
	ACCOUNT #: RG9885300R	BUY 0 Units @0.0000						
05/15/2018	***-**-43FB - RODES, KENNETH	EQIS ADVISOR FEES	87.53	87.53	0.00	87.53	82.0	71.77
	ACCOUNT #: RE1686200F	BUY 0 Units @0.0000						
05/15/2018	***-**-13FB - RUSSELL, MARK	EQIS ADVISOR FEES	32.34	32.34	0.00	32.34	82.0	26.52
	ACCOUNT #: RG9722700B	BUY 0 Units @0.0000						
05/15/2018	***-**-52FB - SCHNABEL,	EQIS ADVISOR FEES	69.83	69.83	0.00	69.83	82.0	57.26
	ACCOUNT #: RH00769005	BUY 0 Units @0.0000						
05/15/2018	***-**-94FB - SWAN, ANDREW	EQIS ADVISOR FEES	34.35	34.35	0.00	34.35	82.0	28.17
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
05/15/2018	***-**-97FB - SWAN, MELANIE	EQIS ADVISOR FEES	23.60	23.60	0.00	23.60	82.0	19.35
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
05/15/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES	5.65	5.65	0.00	5.65	82.0	4.63
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
05/15/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES	174.97	174.97	0.00	174.97	82.0	143.48
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$3,400.68	\$4,752.72	\$0.00	\$4,752.72		\$3,897.23



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/14/2018	***-**-94IR - RUSSELL, LYNN	AB ALL MARKET TOTAL RETURN PORT CLA	0.00	1.69	0.00	1.69	82.0	1.39
	ACCOUNT #: LSR011980	BUY 1 Units @0.0000						
05/14/2018	***-**-10IR - CLINGON, BILLY	MFS AGGRESSIVE GROWTH ALLOC CL C	0.00	4.20	0.00	4.20	82.0	3.44
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
05/14/2018	***-**-677I - LAIL, DWIGHT	PRUDENTIAL HIGH YIELD CLASS A	0.00	0.03	0.00	0.03	82.0	0.02
	ACCOUNT #: LSR012166	BUY 1 Units @0.0000						
05/14/2018	***-**-440I - BOHNAKER, ANGELA	PRUDENTIAL JENNISON SMALL COMPANY C	0.00	0.81	0.00	0.81	82.0	0.66
	ACCOUNT #: LSR012403	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6.73	\$0.00	\$6.73		\$5.51
*** BROKER TRANSACTIONS TOTAL ***			\$3,400.68	\$4,759.45	\$0.00	\$4,759.45		\$3,902.74

BROKER COMMISSION TOTALS

Broker Transactions	\$3,902.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,902.74
Year-to-date Earnings	\$36,874.36