

COMMISSION STATEMENT

July 31, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: W11

BRANCH: FULCRUM GROUP

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/02/2018	75501342 WINNICK, HILLARY	MGIC INVT CORP WIS COM	B	26,963.50	20.00	0.00	20.00	55.0	0.00
07/02/2018	75501342 WINNICK, HILLARY	MGIC INVT CORP WIS COM	S	-26,963.50	-20.00	0.00	-20.00	55.0	0.00 C
07/02/2018	75501342 WINNICK, HILLARY	MGIC INVT CORP WIS COM	B	31,277.66	20.00	0.00	20.00	55.0	0.00
07/02/2018	75501342 WINNICK, HILLARY	MGIC INVT CORP WIS COM	S	-31,277.70	-20.00	0.00	-20.00	55.0	0.00 C
07/02/2018	75501342 WINNICK, HILLARY	MGIC INVT CORP WIS COM	B	31,277.66	20.00	0.00	20.00	55.0	0.00
07/02/2018	75501345 WINNICK, JOELY	MGIC INVT CORP WIS COM	B	26,963.50	20.00	0.00	20.00	55.0	0.00
07/02/2018	75501345 WINNICK, JOELY	MGIC INVT CORP WIS COM	S	-26,963.50	-20.00	0.00	-20.00	55.0	0.00 C
07/02/2018	75501345 WINNICK, JOELY	MGIC INVT CORP WIS COM	B	22,649.34	20.00	0.00	20.00	55.0	0.00
07/02/2018	75501345 WINNICK, JOELY	MGIC INVT CORP WIS COM	S	-22,649.30	-20.00	0.00	-20.00	55.0	0.00 C
07/02/2018	75501345 WINNICK, JOELY	MGIC INVT CORP WIS COM	B	22,649.34	20.00	0.00	20.00	55.0	0.00
07/24/2018	QGN005159 HEALTHCARE, F/A/O	BIOMARIN PHARMACEUTICAL INC COM	B	5,798,102.70	1,140.00	0.00	1,140.00	55.0	627.00
07/23/2018	QGV006232 HEARTLAND VALUE FUND	CADENCE BANCORPORATION CL A	B	1,431,955.00	2,500.00	0.00	2,500.00	55.0	1,375.00
07/27/2018	QGV006430 JPMORGAN CLEARING	TRIPLE S MGMT CORP CL B	S	-90,797.25	125.00	0.00	125.00	55.0	68.75
07/27/2018	QGV006448 MORGAN STANLEY	TRIPLE S MGMT CORP CL B	S	-90,797.25	125.00	0.00	125.00	55.0	68.75
07/02/2018	QGW031072 KRAFF REVOCABLE	STAAR SURGICAL CO NEW	S	-62,000.00	200.00	0.00	200.00	55.0	110.00
07/13/2018	QGW031072 KRAFF REVOCABLE	STAAR SURGICAL CO NEW	S	-64,670.00	250.00	0.00	250.00	55.0	137.50
07/10/2018	QGW031098 KOPIN, BENJAMIN	FRANCO-NEVADA CORPORATION	S	-2,479.97	51.00	0.00	51.00	55.0	28.05
07/12/2018	QGW031098 KOPIN, BENJAMIN	BLACKSTONE MORTGAGE TRUST INC	B	13,030.00	51.00	0.00	51.00	55.0	28.05
06/28/2018	QGW031106 TIMYAN, PHILIP	MUTUALFIRST FINL INC COM	B	76,000.00	51.00	0.00	51.00	55.0	28.05
06/28/2018	QGW031106 TIMYAN, PHILIP	OLD LINE BANCSHARES INC COM	S	-70,690.31	51.00	0.00	51.00	55.0	28.05
06/28/2018	QGW031106 TIMYAN, PHILIP	SB ONE BANCORP COM	S	-86,510.50	20.00	0.00	20.00	55.0	0.00
07/25/2018	QGW031163 KOPIN, JOSHUA	NXP SEMICONDUCTORS N V	B	19,619.80	51.00	0.00	51.00	55.0	28.05
07/25/2018	QGW031171 KOPIN, ZACHARY	NXP SEMICONDUCTORS N V	B	19,621.14	51.00	0.00	51.00	55.0	28.05
07/03/2018	QGW034852 HOLMES, MEGAN	MIMEDX GROUP INC COM	B	9,912.50	100.00	0.00	100.00	55.0	55.00
07/27/2018	QGW034852 HOLMES, MEGAN	ULTRA PETROLEUM CORP REGISTERED SHS B		12,500.00	51.00	0.00	51.00	55.0	28.05
* STOCKS SUBTOTAL *				\$6,966,722.86	\$4,857.00	\$0.00	\$4,857.00		\$2,638.35

PRODUCT CATEGORY: OPTIONS

07/25/2018	QGW031163 KOPIN, JOSHUA	CALL 100 NXP SEMICONDUCTORS NV COM	S	-1,450.00	51.00	0.00	51.00	55.0	28.05
07/25/2018	QGW031171 KOPIN, ZACHARY	CALL 100 NXP SEMICONDUCTORS NV COM	S	-1,300.00	51.00	0.00	51.00	55.0	28.05
07/03/2018	QGW034852 HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 12 S		-5,148.00	300.00	0.00	300.00	55.0	165.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

07/27/2018	QGW034852 HOLMES, MEGAN	PUT 100 MIMEDX GROUP INC COM EXP 08 S		-5,650.00	150.00	0.00	150.00	55.0	82.50
* OPTIONS SUBTOTAL *				\$-13,548.00	\$552.00	\$0.00	\$552.00		\$303.60

PRODUCT CATEGORY: MISCELLANEOUS

07/16/2018	VARIOUS, WINNICK	BENCHMARK PARTNERS	B	0.00	1,000.00	0.00	1,000.00	55.0	550.00
07/24/2018	VARIOUS, WINNICK	STEADFAST FINANCIAL LP	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$3,500.00	\$0.00	\$3,500.00		\$1,925.00
*** BROKER TRANSACTIONS TOTAL ***				\$6,953,174.86	\$8,909.00	\$0.00	\$8,909.00		\$4,866.95

ADJUSTMENTS

07/31/2018		OTHER: HEWES SOFT DOLLAR		0.00	0.00	0.00	0.00		-82.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-82.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,866.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-82.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.76
SIPC Assessment	\$-13.52

Check Amount	\$4,764.17
Year-to-date Earnings	\$45,992.66