



COMMISSION STATEMENT

July 23, 2018

LOUIS HORWIN
7670 E La Junta Rd
Scottsdale, AZ 85255

BROKER #: A92
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	BROOKFIELD REAL ASSETS INCOME SHS B BUY 665 Units @23.0700	15,341.55	150.00	28.30	121.70	50.0	60.85
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	CALAMOS STRATEGIC TOTAL RETURN FD C SELL 1224 Units @12.8900	15,777.36	150.00	39.48	110.52	50.0	55.26
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 100 Units @17.4930	1,749.30	17.10	17.00	0.10	50.0	0.05
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 100 Units @17.4930	1,749.30	17.10	2.00	15.10	50.0	7.55
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 300 Units @17.4930	5,247.90	51.31	6.00	45.31	50.0	22.66
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 10 Units @17.4700	174.70	1.71	0.20	1.51	50.0	0.76
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 164 Units @17.4800	2,866.72	28.05	3.28	24.77	50.0	12.39
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 100 Units @17.4930	1,749.30	17.10	2.00	15.10	50.0	7.55
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 3 Units @17.4600	52.38	0.51	0.06	0.45	50.0	0.23
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	PIMCO CORPORATE INCOME FD COM SELL 100 Units @17.4600	1,746.00	17.10	2.00	15.10	50.0	7.55
* STOCKS SUBTOTAL *			\$46,454.51	\$449.98	\$100.32	\$349.66		\$174.85
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ARMOUR RESIDENTIAL REIT INC COM NEW BUY 100 Units @23.0800	2,308.00	22.87	24.00	-1.13	100.0	-1.13
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ARMOUR RESIDENTIAL REIT INC COM NEW BUY 200 Units @23.0750	4,615.00	45.73	0.00	45.73	50.0	22.87
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ARMOUR RESIDENTIAL REIT INC COM NEW BUY 100 Units @23.0800	2,308.00	22.87	0.00	22.87	50.0	11.44
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ARMOUR RESIDENTIAL REIT INC COM NEW BUY 100 Units @23.0800	2,308.00	22.87	0.00	22.87	50.0	11.44
07/16/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	ARMOUR RESIDENTIAL REIT INC COM NEW BUY 56 Units @23.0800	1,292.48	12.80	0.00	12.80	50.0	6.40



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
07/16/2018	***-**-03IO - STANTON, KAREN	ARMOUR RESIDENTIAL REIT INC COM NEW	2,308.00	22.87	0.00	22.87	50.0	11.44
	ACCOUNT #: LSR011517	BUY 100 Units @23.0800						
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$15,139.48	\$150.01	\$24.00	\$126.01		\$62.46
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/23/2018	***-**-648K - PARADY, STEPHEN	ALLIANZGI INCOME & GROWTH CL A	0.00	0.02	0.00	0.02	50.0	0.01
	ACCOUNT #: LSR011461	BUY 1 Units @0.0000						
07/23/2018	***-**-722I - KELEHER, JESSICA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	1.41	0.00	1.41	50.0	0.71
	ACCOUNT #: LSR011596	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1.43	\$0.00	\$1.43		\$0.72
		*** BROKER TRANSACTIONS TOTAL ***	\$61,593.99	\$601.42	\$124.32	\$477.10		\$238.03

BROKER COMMISSION TOTALS

Broker Transactions	\$238.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$69.92
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$307.95
Year-to-date Earnings	\$3,872.32