



Concorde Investment Services, LLC

07/27/2017
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COMMISSION STATEMENT **July 31, 2017**

HALINA WIKAR
1030 Higgins Road
Suite 205
Park Ridge, IL 60068

BROKER #: W126
BRANCH: HALINA WIKAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	50.00	2.50	0.00	2.50	55.0	1.38
07/11/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	50.00	2.50	0.00	2.50	55.0	1.38
07/12/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	181.51	1.82	0.00	1.82	55.0	1.00
07/12/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	54.45	0.54	0.00	0.54	55.0	0.30
07/12/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	70.43	0.70	0.00	0.70	55.0	0.39
07/12/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	42.26	0.42	0.00	0.42	55.0	0.23
07/12/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	70.43	0.70	0.00	0.70	55.0	0.39
07/12/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	42.26	0.42	0.00	0.42	55.0	0.23
07/12/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	181.51	1.82	0.00	1.82	55.0	1.00
07/12/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	54.45	0.54	0.00	0.54	55.0	0.30
07/12/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	195.00	1.95	0.00	1.95	55.0	1.07
07/12/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	35.66	0.36	0.00	0.36	55.0	0.20
07/12/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	136.13	1.36	0.00	1.36	55.0	0.75
07/12/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	40.84	0.41	0.00	0.41	55.0	0.23
07/12/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	33.81	0.34	0.00	0.34	55.0	0.19
07/12/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	181.51	1.82	0.00	1.82	55.0	1.00
07/12/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	54.45	0.54	0.00	0.54	55.0	0.30
07/12/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	70.43	0.70	0.00	0.70	55.0	0.39
07/12/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	8.45	0.08	0.00	0.08	55.0	0.04
07/05/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	50.00	2.50	0.00	2.50	55.0	1.38
07/11/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	50.00	2.50	0.00	2.50	55.0	1.38
07/17/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	55.0	6.60
07/12/2017	WIKAR, HALINA	MFS UTILITIES C	71.31	0.71	0.00	0.71	55.0	0.39
07/12/2017	WIKAR, HALINA	MFS UTILITIES C	390.00	3.90	0.00	3.90	55.0	2.15
07/12/2017	WAL, SEBASTIAN	MFS UTILITIES C	226.87	2.27	0.00	2.27	55.0	1.25
07/12/2017	WAL, SEBASTIAN	MFS UTILITIES C	68.07	0.68	0.00	0.68	55.0	0.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/12/2017	THOMAS, SYLVIA	MFS UTILITIES C	70.43	0.70	0.00	0.70	55.0	0.39
07/12/2017	THOMAS, SYLVIA	MFS UTILITIES C	42.26	0.42	0.00	0.42	55.0	0.23
07/17/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	55.0	15.40
07/12/2017	WIKAR, HALINA	MFS VALUE C	71.31	0.71	0.00	0.71	55.0	0.39
07/12/2017	WIKAR, HALINA	MFS VALUE C	390.00	3.90	0.00	3.90	55.0	2.15
* MUTUAL FUNDS SUBTOTAL *			\$3,983.83	\$77.81	\$0.00	\$77.81		\$42.85
*** BROKER TRANSACTIONS TOTAL ***			\$3,983.83	\$77.81	\$0.00	\$77.81		\$42.85

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

07/12/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	17.83	0.10	0.00	0.10	55.0	0.06
07/12/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	97.50	0.54	0.00	0.54	55.0	0.30
07/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	2.52	0.00	2.52	55.0	1.39
07/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	2.20	0.00	2.20	55.0	1.21
07/17/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.55	0.00	0.55	55.0	0.30
07/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	2.52	0.00	2.52	55.0	1.39
07/10/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.74	0.00	0.74	55.0	0.41
07/12/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	313.40	6.90	0.00	6.90	55.0	3.80
07/12/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	47.01	1.03	0.00	1.03	55.0	0.57
07/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	1.10	0.00	1.10	55.0	0.61
07/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	2.52	0.00	2.52	55.0	1.39
07/12/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	38.43	0.85	0.00	0.85	55.0	0.47
07/12/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	64.07	1.41	0.00	1.41	55.0	0.78
07/12/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	313.40	6.90	0.00	6.90	55.0	3.80
07/12/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	47.01	1.03	0.00	1.03	55.0	0.57
07/17/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.65	0.00	1.65	55.0	0.91
07/12/2017	WIKAR, HALINA	MFS GROWTH C	97.50	0.54	0.00	0.54	55.0	0.30
07/12/2017	WIKAR, HALINA	MFS GROWTH C	17.83	0.10	0.00	0.10	55.0	0.06
07/12/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	38.44	0.85	0.00	0.85	55.0	0.47
07/12/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	64.05	1.41	0.00	1.41	55.0	0.78
07/12/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	106.95	0.59	0.00	0.59	55.0	0.32
07/12/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	585.00	3.22	0.00	3.22	55.0	1.77

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<u>SPLIT TRANSACTIONS</u>								
BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172								
07/10/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.74	0.00	0.74	55.0	0.41
07/12/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	195.00	1.07	0.00	1.07	55.0	0.59
07/12/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	35.66	0.20	0.00	0.20	55.0	0.11
07/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	2.52	0.00	2.52	55.0	1.39
07/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	2.20	0.00	2.20	55.0	1.21
07/12/2017	WIKAR, SYLVIA	MFS UTILITIES B	38.44	0.85	0.00	0.85	55.0	0.47
07/12/2017	WIKAR, SYLVIA	MFS UTILITIES B	64.07	1.41	0.00	1.41	55.0	0.78
07/17/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.55	0.00	0.55	55.0	0.30
07/10/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	1.49	0.00	1.49	55.0	0.82
07/12/2017	WIKAR, SYLVIA	MFS VALUE B	38.44	0.85	0.00	0.85	55.0	0.47
07/12/2017	WIKAR, SYLVIA	MFS VALUE B	64.07	1.41	0.00	1.41	55.0	0.78
07/12/2017	SZAJEWSKI, REGINA	MFS VALUE B	322.90	7.11	0.00	7.11	55.0	3.91
07/12/2017	SZAJEWSKI, REGINA	MFS VALUE B	48.44	1.07	0.00	1.07	55.0	0.59
07/17/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.65	0.00	1.65	55.0	0.91
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$4,253.44	\$62.39	\$0.00	\$62.39		\$34.40
*** SPLIT TRANSACTIONS TOTAL ***			\$4,253.44	\$62.39	\$0.00	\$62.39		\$34.40

ADJUSTMENTS

07/31/2017	*AUDIT FEE: BRANCH EXAM	0.00						-1,250.00
07/31/2017	*BAL CARRIED FORWARD: 7/15 BAL CARR	0.00						1,971.29
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$721.29

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BROKER COMMISSION TOTALS

Broker Transactions	\$42.85
Split Transactions	\$34.40
Override Transactions	\$0.00
Adjustments	\$721.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$798.54
Year-to-date Gross	\$16,529.36
Year-to-date Earnings	\$9,093.00