



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 May 15, 2017

05/11/2017  
 Page No. 1

JEFFREY KIESNOSKI  
 417 East Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: K141  
 BRANCH: FORTITUDE INVESTMENT

| DATE   | CLIENT               | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                      |                                    |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251</u></b> |                      |                                    |                      |                     |                    |                   |      |                      |
| 03/30/2017   | SULLIVAN, MICHAEL    | GPB AUTOMOTIVE PORTFOLIO LP        | 50,000.00            | 1,155.00            | 0.00               | 1,155.00          | 94.0 | 1,085.70             |
| 04/26/2017   | MCP REALTY GRP LLC   | INLAND BRIGHTON MULTIFAMILY DST    | 85,000.00            | 1,402.50            | 0.00               | 1,402.50          | 94.0 | 1,318.35             |
| 04/26/2017   | MCP REALTY GRP LLC   | INLAND SELF STORAGE PF IV DST      | 84,268.52            | 1,112.34            | 0.00               | 1,112.34          | 94.0 | 1,045.60             |
| * 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *               |                      |                                    | \$219,268.52         | \$3,669.84          | \$0.00             | \$3,669.84        |      | \$3,449.65           |
| <b><u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u></b> |                      |                                    |                      |                     |                    |                   |      |                      |
| 04/30/2017   | BELL                 | ASCENSUS 529 TRAILS                | 0.00                 | 23.04               | 0.00               | 23.04             | 94.0 | 21.66                |
| 04/30/2017   | BELL                 | ASCENSUS 529 TRAILS                | 0.00                 | 24.83               | 0.00               | 24.83             | 94.0 | 23.34                |
| * 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *               |                      |                                    | \$0.00               | \$47.87             | \$0.00             | \$47.87           |      | \$45.00              |
| <b><u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u></b> |                      |                                    |                      |                     |                    |                   |      |                      |
| 05/05/2017   | TROVATO, JOSEPH      | GPB AUTOMOTIVE PORTFOLIO LP        | 65,000.00            | 3,412.50            | 0.00               | 3,412.50          | 94.0 | 3,207.75             |
| * BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *               |                      |                                    | \$65,000.00          | \$3,412.50          | \$0.00             | \$3,412.50        |      | \$3,207.75           |
| <b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b> |                      |                                    |                      |                     |                    |                   |      |                      |
| 04/26/2017   | DOLCE, CHARLES       | ALPHABET INC CAP STK CL C          | -168,992.62          | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/26/2017   | DOLCE, JENNIFER      | ALPHABET INC CAP STK CL C          | -31,288.00           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/26/2017   | DOLCE, CHARLES       | ALTRIA GROUP INC COM               | -36,026.75           | 0.00                | 5.69               | -5.69             | 94.0 | -5.35                |
| 04/26/2017   | DOLCE, JENNIFER      | ALTRIA GROUP INC COM               | -78,494.72           | 0.00                | 5.91               | -5.91             | 94.0 | -5.56                |
| 04/26/2017   | DOLCE, JENNIFER      | AMAZON COM INC                     | -140,160.47          | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/26/2017   | DOLCE, CHARLES       | AMGEN INC COM                      | -16,439.40           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/27/2017   | JOHNSON FAMILY TRUST | APPLE HOSPITALITY REIT INC COM NEW | -130,700.07          | 0.00                | 6.75               | -6.75             | 94.0 | -6.35                |
| 04/26/2017   | DOLCE, CHARLES       | APPLE INC COM                      | -28,789.40           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/26/2017   | DOLCE, JENNIFER      | APPLE INC COM                      | -34,299.01           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 03/31/2017   | LOYE, DEDRA          | CARTER VALIDUS MC REIT II CLASS T  | 0.00                 | 4.50                | 0.00               | 4.50              | 94.0 | 4.23                 |
| 04/26/2017   | DOLCE, JENNIFER      | CONSOLIDATED EDISON INC COM        | -7,931.10            | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/26/2017   | DOLCE, JENNIFER      | FACEBOOK INC CL A                  | -109,592.10          | 0.00                | 5.78               | -5.78             | 94.0 | -5.43                |
| 05/02/2017   | BENJAMIN, WAYNE      | GLOBAL NET LEASE INC COM           | -3,587.76            | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE   | CLIENT                    | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                           |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u></b> |                           |                                     |                      |                     |                    |                   |      |                      |
| 05/04/2017   | DOLCE, CHARLES            | GPB AUTOMOTIVE PORTFOLIO LP         | 130,000.00           | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 05/05/2017   | DOLCE, JENNIFER           | GPB AUTOMOTIVE PORTFOLIO LP         | 100,000.00           | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 05/04/2017   | DOLCE, CHARLES            | GPB WASTE MANAGEMENT LP CLASS A     | 50,000.00            | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 03/30/2017   | DOLCE, CHARLES            | HPI FUND V                          | 50,000.00            | 750.00              | 0.00               | 750.00            | 94.0 | 705.00               |
| 05/04/2017   | DOLCE, CHARLES            | HPI REAL ESTATE FUND V LLC          | 37,000.00            | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 04/26/2017   | DOLCE, CHARLES            | KIMBERLY CLARK CORP                 | -26,113.40           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 05/02/2017   | BENJAMIN, WAYNE           | MAINSTAY CUSHING MLP PREMIER FUND C | -9,754.50            | 5.50                | 5.50               | 0.00              | 94.0 | 0.00                 |
| 04/26/2017   | DOLCE, CHARLES            | MASTERCARD INCORPORATED             | -23,201.40           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/26/2017   | DOLCE, CHARLES            | NIKE INC CLASS B                    | -24,421.54           | 0.00                | 5.67               | -5.67             | 94.0 | -5.33                |
| 04/26/2017   | DOLCE, CHARLES            | PRICELINE COM INC COM NEW           | -61,375.64           | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 05/02/2017   | BENJAMIN, WAYNE           | SMART TR CEFA SELECT BDC TR SER UNI | -14,965.77           | 0.00                | 11.00              | -11.00            | 94.0 | -10.34               |
| 04/26/2017   | DOLCE, CHARLES            | TESLA MTRS INC COM                  | -7,795.11            | 0.00                | 5.63               | -5.63             | 94.0 | -5.29                |
| 04/28/2017   | MAKRIDES, MARIE           | VANECK VECTORS ETF TR JR GOLD MINER | 19,985.16            | 50.00               | 5.73               | 44.27             | 94.0 | 41.61                |
| * G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *               |                           |                                     | \$-566,943.60        | \$810.00            | \$119.59           | \$690.41          |      | \$649.00             |
| <b><u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u></b> |                           |                                     |                      |                     |                    |                   |      |                      |
| 03/31/2017   | KISSIL, GEORGE            | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 5.35                | 0.00               | 5.35              | 94.0 | 5.03                 |
| 05/05/2017   | FRICKER TRUST, HAROLD MAX | GPB AUTOMOTIVE PORTFOLIO LP         | 100,000.00           | 750.00              | 0.00               | 750.00            | 94.0 | 705.00               |
| * G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *               |                           |                                     | \$100,000.00         | \$755.35            | \$0.00             | \$755.35          |      | \$710.03             |
| <b><u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u></b> |                           |                                     |                      |                     |                    |                   |      |                      |
| 04/26/2017   | SEITZ, CRAIG              | BARINGS GLOBAL SHORT DURATION HIGH  | -50,292.45           | 132.00              | 8.51               | 123.49            | 94.0 | 116.08               |
| 04/26/2017   | SEITZ, CRAIG              | CATALYST/MILLBURN HEDGE STRATEGY FU | 52,974.88            | 699.27              | 7.26               | 692.01            | 94.0 | 650.49               |
| * G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *               |                           |                                     | \$2,682.43           | \$831.27            | \$15.77            | \$815.50          |      | \$766.57             |
| <b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b> |                           |                                     |                      |                     |                    |                   |      |                      |
| 05/08/2017   | REILLY, TERRENCE          | GPB AUTOMOTIVE PORTFOLIO LP         | 150,000.00           | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 05/08/2017   | REILLY, TERRENCE          | GPB WASTE MANAGEMENT LP CLASS A     | 40,000.00            | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 05/08/2017   | REILLY, TERRENCE          | HPI REAL ESTATE FUND V LLC          | 50,000.00            | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| * R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *               |                           |                                     | \$240,000.00         | \$0.00              | \$0.00             | \$0.00            |      | \$0.00               |
| <b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b> |                           |                                     |                      |                     |                    |                   |      |                      |
| 04/28/2017   | WASSERMAN TRUST, NINA     | DODGE & COX INCOME FUND             | -11,212.23           | 0.00                | 3.30               | -3.30             | 94.0 | -3.10                |
| 04/28/2017   | WASSERMAN TRUST, NINA     | EUROPACIFIC GROWTH FUND CLASS F-2   | -10,989.96           | 0.00                | 0.00               | 0.00              | 94.0 | 0.00                 |
| 04/18/2017   | CONCORDE, VARIOUS         | GRIFFIN INSTITUTIONAL ACCESS REAL E | -65,028.07           | 0.00                | -0.60              | 0.60              | 94.0 | 0.56                 |

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| DATE   | CLIENT                         | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                                |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b> |                                |                                     |                      |                     |                    |                   |      |                      |
| 04/18/2017   | SCHENONE, LORI                 | GRIFFIN INSTITUTIONAL ACCESS REAL E | 65,028.07            | 487.71              | 3.30               | 484.41            | 94.0 | 455.35               |
| 04/28/2017   | WASSERMAN TRUST, NINA          | PIMCO INCOME FUND INSTITUTIONAL CLA | -18,908.90           | 0.00                | 3.30               | -3.30             | 94.0 | -3.10                |
| 04/28/2017   | WASSERMAN TRUST, NINA          | RYDEX ETF TR GUGGENHEIM S&P 500 PUR | -12,888.84           | 0.00                | 3.38               | -3.38             | 94.0 | -3.18                |
| 04/28/2017   | WASSERMAN TRUST, NINA          | SELECT SECTOR SPDR TR FINANCIAL     | -9,456.10            | 0.00                | 3.39               | -3.39             | 94.0 | -3.19                |
| 05/02/2017   | SIMPSON TRUST, GERARD & CYNTHI | SPDR GOLD TR GOLD SHS               | 51,728.86            | 4.50                | 3.40               | 1.10              | 94.0 | 1.03                 |
| <b>* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *</b>        |                                |                                     | <b>\$-11,727.17</b>  | <b>\$492.21</b>     | <b>\$19.47</b>     | <b>\$472.74</b>   |      | <b>\$444.37</b>      |
| <b><u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u></b> |                                |                                     |                      |                     |                    |                   |      |                      |
| 05/04/2017   | LAROCHE, LAURIE                | GRIFFIN INST'L ACCESS R E FUND C    | -24,350.36           | 2.75                | 2.75               | 0.00              | 94.0 | 0.00                 |
| 05/04/2017   | LAROCHE, LAURIE                | GRIFFIN INSTITUTIONAL ACCESS REAL E | -25,318.59           | 2.75                | 2.75               | 0.00              | 94.0 | 0.00                 |
| <b>* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *</b>        |                                |                                     | <b>\$-49,668.95</b>  | <b>\$5.50</b>       | <b>\$5.50</b>      | <b>\$0.00</b>     |      | <b>\$0.00</b>        |
| <b>*** SPLIT TRANSACTIONS TOTAL ***</b>                        |                                |                                     | <b>\$-1,388.77</b>   | <b>\$10,024.54</b>  | <b>\$160.33</b>    | <b>\$9,864.21</b> |      | <b>\$9,272.37</b>    |

**VERRIDE TRANSACTIONS**

|  |                               |                                     |                     |                    |               |                    |      |                   |
|--|-------------------------------|-------------------------------------|---------------------|--------------------|---------------|--------------------|------|-------------------|
| <b><u>BROKER: ROY PFLEGER II #244</u></b>    |                               |                                     |                     |                    |               |                    |      |                   |
| 04/21/2017                                   | FRENCH TRUST, DENNIS & SHARON | INLAND DALLAS MULTIFAMILY DST       | 475,000.00          | 23,750.00          | 0.00          | 23,750.00          | 75.0 | 2,968.75          |
| <b>* ROY PFLEGER II SUBTOTAL *</b>           |                               |                                     | <b>\$475,000.00</b> | <b>\$23,750.00</b> | <b>\$0.00</b> | <b>\$23,750.00</b> |      | <b>\$2,968.75</b> |
| <b><u>BROKER: ALAN LICHTENSTEIN #249</u></b> |                               |                                     |                     |                    |               |                    |      |                   |
| 05/05/2017                                   | HECKER, THOMAS                | GPB AUTOMOTIVE PORTFOLIO LP         | 50,000.00           | 3,500.00           | 0.00          | 3,500.00           | 75.0 | 437.50            |
| <b>* ALAN LICHTENSTEIN SUBTOTAL *</b>        |                               |                                     | <b>\$50,000.00</b>  | <b>\$3,500.00</b>  | <b>\$0.00</b> | <b>\$3,500.00</b>  |      | <b>\$437.50</b>   |
| <b><u>BROKER: MARC LINSKY #263</u></b>       |                               |                                     |                     |                    |               |                    |      |                   |
| 05/01/2017                                   | CHERRY, ADAM                  | AM FUNDS AMERICAN BALANCED 529C     | 1,000.00            | 10.00              | 0.00          | 10.00              | 90.0 | 0.17              |
| 05/01/2017                                   | BENFER, ANDREW                | AM FUNDS FUNDAMENTAL INVESTORS A    | 225.00              | 11.25              | 0.00          | 11.25              | 90.0 | 0.19              |
| 04/28/2017                                   | CAMARDO, SHANE                | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 1,000.00            | 35.00              | 0.00          | 35.00              | 90.0 | 0.60              |
| 04/27/2017                                   | BOND, CARL                    | ISHARES S&P 500 VALUE ETF           | 2,917.82            | 10.00              | 22.50         | -12.50             | 90.0 | -0.21             |
| 04/27/2017                                   | BOND, CARL                    | ISHARES TR CORE S&P MID-CAP ETF     | 2,962.49            | 10.00              | 22.50         | -12.50             | 90.0 | -0.21             |
| 04/27/2017                                   | BOND, CARL                    | ISHARES TR S&P SMALL-CAP 600 GROWTH | 2,982.93            | 10.00              | 22.50         | -12.50             | 90.0 | -0.21             |
| 05/04/2017                                   | CHEESEMAN, DIANE              | JNL PERSPECTIVE II 04/14            | 300.00              | 21.00              | 0.00          | 21.00              | 90.0 | 0.36              |
| 04/30/2017                                   | BONESKI, MARGUERITE           | JNL PERSPECTIVE II 05/05            | 264,193.39          | 220.16             | 0.00          | 220.16             | 90.0 | 3.74              |
| 04/30/2017                                   | TROMBINO, CONSTANCE           | JNL PERSPECTIVE L                   | 261,842.10          | 218.20             | 0.00          | 218.20             | 90.0 | 3.71              |
| 04/30/2017                                   | NANI, JOSEPH                  | JNL PERSPECTIVE L                   | 406,935.95          | 339.11             | 0.00          | 339.11             | 90.0 | 5.76              |
| 04/30/2017                                   | FELDNER, BARBARA              | JNL PERSPECTIVE L                   | 518,838.50          | 432.37             | 0.00          | 432.37             | 90.0 | 7.35              |

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|--|---------------------|-------------------------------------|-----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <b><u> OVERRIDE TRANSACTIONS </u></b>  |                     |                                     |                       |                     |                    |                    |      |                      |
| <b><u>BROKER: MARC LINSKY #263</u></b> |                     |                                     |                       |                     |                    |                    |      |                      |
| 04/30/2017                             | STERR, CHRISTOPHER  | JNL PERSPECTIVE L                   | 105,980.57            | 88.32               | 0.00               | 88.32              | 90.0 | 1.50                 |
| 04/30/2017                             | OTT, CAROL          | JOHN HANCOCK VENTURE                | 14,072.59             | 2.93                | 0.00               | 2.93               | 90.0 | 0.05                 |
| 04/30/2017                             | CAMPBELL, BARBARA   | JOHN HANCOCK VENTURE III            | 203,006.34            | 169.17              | 0.00               | 169.17             | 90.0 | 2.88                 |
| 05/05/2017                             | YANKOWITZ, HARRIETT | LORD ABBETT BOND DEBENTURE C        | 2,500.96              | 25.01               | 22.00              | 3.01               | 90.0 | 0.05                 |
| 05/01/2017                             | GANIEL, LAWRENCE    | METLIFE SHEILD LEVEL SELECTOR EQTY  | 135,137.48            | 8,108.25            | 0.00               | 8,108.25           | 90.0 | 137.84               |
| 04/27/2017                             | MEDIA ENTERPRISES   | PAC LIFE INNOVATIVE SELECT          | 0.00                  | 144.49              | 0.00               | 144.49             | 90.0 | 2.46                 |
| 05/01/2017                             | KRAMER, LARRY       | POWERSHARES DB MULTI SECTOR COMMODI | -10,485.88            | 30.00               | 22.79              | 7.21               | 90.0 | 0.12                 |
| 04/28/2017                             | EHRET, WAYNE        | PUBLIC SVC ENTERPRISE GROUP INC COM | 1,766.40              | 10.00               | 22.50              | -12.50             | 90.0 | -0.21                |
| 04/24/2017                             | GALERMAN, ALAN      | TRANSAMERICA LIBERTY                | 108,704.05            | 90.59               | 0.00               | 90.59              | 90.0 | 1.54                 |
| 05/08/2017                             | PERETTI, FRANCES    | VANGUARD NJ LONG-TERM TAX-EXEMPT FU | 2,800.00              | 0.00                | 22.00              | -22.00             | 90.0 | -0.37                |
| / /                                    | GOLDBERG, SCOTT     | WVA AGE-BASED PORTFOLIO 18+ A       | 0.00                  | 8.40                | 0.00               | 8.40               | 90.0 | 0.14                 |
| / /                                    | GOLDBERG, SCOTT     | WVA AGE-BASED PORTFOLIO 18+ A       | 0.00                  | 0.30                | 0.00               | 0.30               | 90.0 | 0.01                 |
| 04/28/2017                             | GOLDBERG, SCOTT     | WVA AGE-BASED PORTFOLIO 18+ A       | 300.00                | 7.50                | 0.00               | 7.50               | 90.0 | 0.13                 |
| 04/28/2017                             | GOLDBERG, SCOTT     | WVA AGE-BASED PORTFOLIO 18+ A       | 150.00                | 3.75                | 0.00               | 3.75               | 90.0 | 0.06                 |
| / /                                    | GOLDBERG, SCOTT     | WVA AGED-BASED PORTFOLIO 9-13 A     | 0.00                  | 6.34                | 0.00               | 6.34               | 90.0 | 0.11                 |
| 04/28/2017                             | GOLDBERG, SCOTT     | WVA AGED-BASED PORTFOLIO 9-13 A     | 200.00                | 5.00                | 0.00               | 5.00               | 90.0 | 0.09                 |
| * MARC LINSKY SUBTOTAL *               |                     |                                     | <b>\$2,027,330.69</b> | <b>\$10,017.14</b>  | <b>\$156.79</b>    | <b>\$9,860.35</b>  |      | <b>\$167.65</b>      |
| *** OVERRIDE TRANSACTIONS TOTAL ***    |                     |                                     | <b>\$2,552,330.69</b> | <b>\$37,267.14</b>  | <b>\$156.79</b>    | <b>\$37,110.35</b> |      | <b>\$3,573.90</b>    |

**ADJUSTMENTS**

|            |                                     |      |           |
|------------|-------------------------------------|------|-----------|
| 05/15/2017 | *EO INS: CURRENT MONTH INS          | 0.00 | -45.00    |
| 05/15/2017 | *EO INS: CURRENT MONTH-T THOMPSON   | 0.00 | -45.00    |
| 05/15/2017 | *EO INS: N ELIAS                    | 0.00 | -45.00    |
| 05/15/2017 | *FEDERAL EXPRESS: 5-783-49583 4/26  | 0.00 | -11.53    |
| 05/15/2017 | *FEDERAL EXPRESS: 5-789-87350 5/3   | 0.00 | -7.83     |
| 05/15/2017 | *MARKETING LIBRARY: MARKETING LIBRA | 0.00 | -45.00    |
| 05/15/2017 | *MISC: BONUS                        | 0.00 | 1,915.39  |
| 05/15/2017 | *MISC: CATHERINE                    | 0.00 | -500.00   |
| 05/15/2017 | *MISC: OVERNIGHT FEE                | 0.00 | -13.33    |
| 05/15/2017 | *MISC: ROY, AFLAC, 1031DST          | 0.00 | -1,326.00 |
| 05/15/2017 | *MISC: SARAH, FT L, 1031DST         | 0.00 | -205.00   |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE                             | CLIENT | INVESTMENT                       | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|----------------------------------|--------|----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b>        |        |                                  |                      |                     |                    |                   |      |                      |
| 05/15/2017                       |        | *OVERRIDE: BRANCH-KIESNOSKI      | 0.00                 |                     |                    |                   |      | -101.71              |
| 05/15/2017                       |        | *OVERRIDE: LINSKY                | 0.00                 |                     |                    |                   |      | 167.45               |
| 05/15/2017                       |        | *PERSHING: BOOKS AND RECORDS     | 0.00                 |                     |                    |                   |      | -0.68                |
| 05/15/2017                       |        | *PERSHING: MARK UPS              | 0.00                 |                     |                    |                   |      | 150.00               |
| 05/15/2017                       |        | *PERSHING: MARK UPS              | 0.00                 |                     |                    |                   |      | 19.80                |
| 05/15/2017                       |        | *PERSHING: MARK UPS              | 0.00                 |                     |                    |                   |      | 31.50                |
| 05/15/2017                       |        | *PERSHING: MARK UPS              | 0.00                 |                     |                    |                   |      | 7.50                 |
| 05/15/2017                       |        | *PERSHING: MF PROSPECTUS MAILING | 0.00                 |                     |                    |                   |      | -3.27                |
| 05/15/2017                       |        | *PERSHING: MF PROSPECTUS MAILING | 0.00                 |                     |                    |                   |      | -0.41                |
| 05/15/2017                       |        | *TECH: CURRENT MONTH TECH        | 0.00                 |                     |                    |                   |      | -41.25               |
| 05/15/2017                       |        | *TECH: CURRENT MONTH-T THOMPSON  | 0.00                 |                     |                    |                   |      | -41.25               |
| 05/15/2017                       |        | *TECH: N ELIAS                   | 0.00                 |                     |                    |                   |      | -41.25               |
| <b>*** ADJUSTMENTS TOTAL ***</b> |        |                                  | <b>\$0.00</b>        | <b>\$0.00</b>       | <b>\$0.00</b>      | <b>\$0.00</b>     |      | <b>\$-181.87</b>     |

**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$0.00     |
| Split Transactions    | \$9,272.37 |
| Override Transactions | \$3,573.90 |
| Adjustments           | \$-181.87  |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                              |                    |
|------------------------------|--------------------|
| <b>Check Amount</b>          | <b>\$12,664.40</b> |
| <b>Year-to-date Gross</b>    | <b>\$76,122.71</b> |
| <b>Year-to-date Earnings</b> | <b>\$82,319.75</b> |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed