Page No.



COMMISSION STATEMENT

December 31, 2017

BROKER #: B116

BRANCH: BRAEVEST

MATT BRANSTETTER
PO Box 250

111 S. Main Street Noble, OK 73068

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		CLEARING TRAN	ISACTIONS					
DDODIIGE GA	TECODY, CTOCKC	<u> </u>						
12/20/2017	TEGORY: STOCKS STANFORD, DAVID	DIREXION SHS ETF TR DAILY FINL	10,296.83	49.00	80.0	39.20	22.50	16.70
12/20/2017	STANFORD, DAVID		-		80.0			
		* STOCKS SUBTOTAL *	\$10,296.83	\$49.00		\$39.20	\$22.50	\$16.70
		*** CLEARING TRANSACTIONS TOTAL ***	\$10,296.83	\$49.00		\$39.20	\$22.50	\$16.70
		DIRECT TRANS	SACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
11/27/2017	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
12/15/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
12/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
12/20/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
12/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	5.00	80.0	4.00	0.00	4.00
12/22/2017	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	35.01	1.40	80.0	1.12	0.00	1.12
12/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
12/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
12/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
12/11/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
12/05/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
12/06/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
12/15/2017	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	300.00	3.00	80.0	2.40	0.00	2.40
		* MUTUAL FUNDS SUBTOTAL *	\$912.01	\$20.72		\$16.58	\$0.00	\$16.58
PRODUCT CA	TEGORY: VARIABLE ANNUITI	<u>ES</u>						
12/08/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	2,232.65	117.21	80.0	93.77	0.00	93.77
12/20/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	100.00	5.25	80.0	4.20	0.00	4.20
12/18/2017	DOWDY, LINDA	JNL PERSPECTIVE L	16,000.00	952.00	80.0	761.60	0.00	761.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/30/2017 ROWE-BRANF BLK CAPITAL APPRECIATION A 11/30/2017 ROWE-BRANF BLK MOD 17+ AGE BASED A (.00 0.2° .00 1.0° .00 0.16 .00 0.28 .00 0.60 .00 0.20	80.0 80.0 80.0 80.0 80.0 80.0	0.22 0.84 0.13 0.12 0.22 0.48 0.19	0.00 0.00 0.00 0.00 0.00 0.00	0.22 0.84 0.13 0.12 0.22 0.48
11/30/2017 ROWE-BRANF BLK CAPITAL APPRECIATION A 0 11/30/2017 ROWE-BRANF BLK MOD 17+ AGE BASED A 0 / / WHITETREE, THOMAS GUGGENHEIM DOW 2X STRATEGY A 0 / / CASTLE, DAVID GUGGENHEIM DOW 2X STRATEGY A 0 / / HUFFMAN, KRISTOPHER GUGGENHEIM DOW 2X STRATEGY A 0 / / LIVELY, ELIZABETH GUGGENHEIM DOW 2X STRATEGY A 0 / / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A 0 / / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A 0 / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A 0 / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A 0 / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A 0 / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A 0 / / BACON, RICHARD GUGGENHEIM DOW 2X STRATEGY A 0 / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X 0 / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0	.00 1.09 .00 0.19 .00 0.28 .00 0.60 .00 0.29	80.0 80.0 80.0 80.0 80.0 80.0	0.84 0.13 0.12 0.22 0.48 0.19	0.00 0.00 0.00 0.00 0.00	0.84 0.13 0.12 0.22 0.48
11/30/2017 ROWE-BRANF BLK MOD 17+ AGE BASED A / / WHITETREE, THOMAS GUGGENHEIM DOW 2X STRATEGY A / / CASTLE, DAVID GUGGENHEIM DOW 2X STRATEGY A / / HUFFMAN, KRISTOPHER GUGGENHEIM DOW 2X STRATEGY A / / LIVELY, ELIZABETH GUGGENHEIM DOW 2X STRATEGY A / / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A / / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C	.00 0.16 .00 0.28 .00 0.60	80.0 80.0 80.0 80.0 80.0	0.13 0.12 0.22 0.48 0.19	0.00 0.00 0.00 0.00	0.13 0.12 0.22 0.48
/ / WHITETREE, THOMAS GUGGENHEIM DOW 2X STRATEGY A / / CASTLE, DAVID GUGGENHEIM DOW 2X STRATEGY A / / HUFFMAN, KRISTOPHER GUGGENHEIM DOW 2X STRATEGY A / / LIVELY, ELIZABETH GUGGENHEIM DOW 2X STRATEGY A / / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A / / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / BARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C	.00 0.19 .00 0.28 .00 0.66 .00 0.2	80.0 80.0 80.0 80.0	0.12 0.22 0.48 0.19	0.00 0.00 0.00	0.12 0.22 0.48
/ / CASTLE, DAVID GUGGENHEIM DOW 2X STRATEGY A / HUFFMAN, KRISTOPHER GUGGENHEIM DOW 2X STRATEGY A / LIVELY, ELIZABETH GUGGENHEIM DOW 2X STRATEGY A / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C	.00 0.28 .00 0.60	80.0 80.0 80.0	0.22 0.48 0.19	0.00	0.22
/ HUFFMAN, KRISTOPHER GUGGENHEIM DOW 2X STRATEGY A / LIVELY, ELIZABETH GUGGENHEIM DOW 2X STRATEGY A / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / BACON, RICHARD GUGGENHEIM DOW 2X STRATEGY A / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C	.00 0.60	80.0	0.48 0.19	0.00	0.48
/ / LIVELY, ELIZABETH GUGGENHEIM DOW 2X STRATEGY A / / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A / / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C	.00 0.24	80.0	0.19		
/ / LIVELY, DUSTIN GUGGENHEIM DOW 2X STRATEGY A / / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C				0.00	0 10
/ / HUNTER, TIMOTHY GUGGENHEIM DOW 2X STRATEGY A / / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A / / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C	.00 0.24	90 0			0.19
/ / PUCKETT, CHERI GUGGENHEIM DOW 2X STRATEGY A 0 / / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A 0 / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A 0 / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X 0 / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C 0 / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0		. 00.0	0.19	0.00	0.19
/ / HARRALD, DONALD GUGGENHEIM DOW 2X STRATEGY A 0 / / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A 0 / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X 0 / ROLLINS, LISA RYDEX DOW 2X STRATEGY C 0 / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.22	80.0	0.18	0.00	0.18
/ / SALLEE, MARY GUGGENHEIM DOW 2X STRATEGY A 0 / / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X 0 / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C 0 / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.33	80.0	0.25	0.00	0.25
/ / BACON, RICHARD GUGGENHEIM GOV'T LONG BOND 1.2X 0 / / ROLLINS, LISA RYDEX DOW 2X STRATEGY C 0 / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.10	80.0	0.08	0.00	0.08
/ / ROLLINS, LISA RYDEX DOW 2X STRATEGY C 0 / / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.40	80.0	0.32	0.00	0.32
/ / BACON-HILL, MICHELE RYDEX DOW 2X STRATEGY C 0 / / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.30	80.0	0.24	0.00	0.24
/ / TREADWAY, PAMELA RYDEX DOW 2X STRATEGY C 0 / / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.00	80.0	0.05	0.00	0.05
/ / MORIELS, FELICIA RYDEX DOW 2X STRATEGY C 0	.00 0.33	80.0	0.25	0.00	0.25
	.00 0.9	80.0	0.78	0.00	0.78
/ / DOWNING, JOHN RYDEX DOW 2X STRATEGY C 0	.00 0.03	80.0	0.01	0.00	0.01
	.00 4.85	80.0	3.88	0.00	3.88
/ / ACOSTA, JF RYDEX DOW 2X STRATEGY C 0	.00 0.34	80.0	0.27	0.00	0.27
/ / MELTON, JOY RYDEX DOW 2X STRATEGY C 0	.00 0.79	80.0	0.63	0.00	0.63
/ / BENSON, KARLA RYDEX DOW 2X STRATEGY C 0	.00 0.2	80.0	0.22	0.00	0.22
/ / MCFERRON, LINDA RYDEX DOW 2X STRATEGY C 0	.00 0.93	80.0	0.73	0.00	0.73
/ / VOCI, ROBERTO RYDEX DOW 2X STRATEGY C 0	.00 2.96	80.0	2.37	0.00	2.37
/ / WILLIAMS, JACKIE RYDEX DOW 2X STRATEGY C 0	.00 3.73	80.0	2.98	0.00	2.98
/ / WRIGHT, MARK RYDEX DOW 2X STRATEGY C 0	.00 0.09	80.0	0.04	0.00	0.04
/ / POTTER, G RYDEX DOW 2X STRATEGY C 0	.00 0.36	80.0	0.29	0.00	0.29
/ / POTTER, RK RYDEX DOW 2X STRATEGY C 0	.00 0.39	80.0	0.28	0.00	0.28
/ / ARRINGTON, NANCY RYDEX DOW 2X STRATEGY C 0	.00 0.30	80.0	0.24	0.00	0.24
/ / HOLMAN, KENLEE RYDEX DOW 2X STRATEGY C 0	.00 0.23	80.0	0.17	0.00	0.17
/ / HOLMAN, MEEGAN RYDEX DOW 2X STRATEGY C 0	.00 0.68	80.0	0.54	0.00	0.54
/ / MELTON, JOY RYDEX GUGG DYNAMIC RUSSELL 2000 C	.00 0.63	80.0	0.50	0.00	0.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

	DIRECT TRANSAC	TIONS				
CATEGORY: MUTUAL FUND 12E	31 TRAILS					
WILLIAMS, JACKIE	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	1.00 80.0	0.80	0.00	0.80
BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.32 80.0	0.26	0.00	0.26
WHITETREE, THOMAS	RYDEX GUGG ELECTRONICS A	0.00	0.04 80.0	0.03	0.00	0.03
CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.08 80.0	0.06	0.00	0.06
LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.07 80.0	0.06	0.00	0.06
LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.07 80.0	0.06	0.00	0.06
HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.07 80.0	0.06	0.00	0.06
PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.09 80.0	0.07	0.00	0.07
HARRALD, DONALD	RYDEX GUGG ELECTRONICS A	0.00	0.03 80.0	0.02	0.00	0.02
SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.12 80.0	0.10	0.00	0.10
ROLLINS, LISA	RYDEX GUGG ELECTRONICS C	0.00	0.02 80.0	0.02	0.00	0.02
BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.10 80.0	0.08	0.00	0.08
TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.28 80.0	0.22	0.00	0.22
DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	1.59 80.0	1.27	0.00	1.27
ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.12 80.0	0.10	0.00	0.10
MELTON, JOY	RYDEX GUGG ELECTRONICS C	0.00	0.23 80.0	0.18	0.00	0.18
BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.09 80.0	0.07	0.00	0.07
MCFERRON, LINDA	RYDEX GUGG ELECTRONICS C	0.00	0.25 80.0	0.20	0.00	0.20
VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	0.99 80.0	0.79	0.00	0.79
WILLIAMS, JACKIE	RYDEX GUGG ELECTRONICS C	0.00	1.03 80.0	0.82	0.00	0.82
WRIGHT, MARK	RYDEX GUGG ELECTRONICS C	0.00	0.02 80.0	0.02	0.00	0.02
POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.12 80.0	0.10	0.00	0.10
POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.12 80.0	0.10	0.00	0.10
BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.29 80.0	0.23	0.00	0.23
ARRINGTON, NANCY	RYDEX GUGG ELECTRONICS C	0.00	0.08 80.0	0.06	0.00	0.06
HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.07 80.0	0.06	0.00	0.06
HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.23 80.0	0.18	0.00	0.18
HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.27 80.0	0.22	0.00	0.22
HUFFMAN, KRISTOPHER	RYDEX GUGG ENERGY A	0.00	0.53 80.0	0.42	0.00	0.42
ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.05 80.0	0.04	0.00	0.04
BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.25 80.0	0.20	0.00	0.20
TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.75 80.0	0.60	0.00	0.60
MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01 80.0	0.01	0.00	0.01
	WILLIAMS, JACKIE BACON, RICHARD WHITETREE, THOMAS CASTLE, DAVID LIVELY, ELIZABETH LIVELY, DUSTIN HUNTER, TIMOTHY PUCKETT, CHERI HARRALD, DONALD SALLEE, MARY ROLLINS, LISA BACON-HILL, MICHELE TREADWAY, PAMELA DOWNING, JOHN ACOSTA, JF MELTON, JOY BENSON, KARLA MCFERRON, LINDA VOCI, ROBERTO WILLIAMS, JACKIE WRIGHT, MARK POTTER, G POTTER, RK BACON, RICHARD ARRINGTON, NANCY HOLMAN, KENLEE HOLMAN, MEEGAN HUFFMAN, KRISTOPHER ROLLINS, LISA BACON-HILL, MICHELE TREADWAY, PAMELA	WILLIAMS, JACKIE BACON, RICHARD WHITETREE, THOMAS CASTLE, DAVID RYDEX GUGG ELECTRONICS A LIVELY, ELIZABETH RYDEX GUGG ELECTRONICS A LIVELY, DUSTIN RYDEX GUGG ELECTRONICS A HUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A RYDEX GUGG ELECTRONICS A HUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A RYDEX GUGG ELECTRONICS A HUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A RYDEX GUGG ELECTRONICS A HUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A ROLLINS, LISA RYDEX GUGG ELECTRONICS C ROLLINS, LISA RYDEX GUGG ELECTRONICS C RYDEX GUGG ELECTRONICS C TREADWAY, PAMELA RYDEX GUGG ELECTRONICS C DOWNING, JOHN RYDEX GUGG ELECTRONICS C MCFERRON, LINDA RYDEX GUGG ELECTRONICS C MCFERRON, LINDA RYDEX GUGG ELECTRONICS C MCFERRON, LINDA RYDEX GUGG ELECTRONICS C WILLIAMS, JACKIE RYDEX GUGG ELECTRONICS C WILLIAMS, JACKIE RYDEX GUGG ELECTRONICS C WRIGHT, MARK RYDEX GUGG ELECTRONICS C HOLMAN, KENCER RYDEX GUGG ELECTRONICS C HOLMAN, KENSTOPHER RYDEX GUGG ELECTRONICS C HOLMAN, KESTOPHER RYDEX GUGG ELECTRONICS C HUFFMAN, KRISTOPHER RYDEX GUGG ELECTRONICS C HUFFMAN, KRISTOPHER RYDEX GUGG ENERGY A ROLLINS, LISA RYDEX GUGG RASDAQ 100 2X STRATEGY READWAY, PAMELA RYDEX GUGG RASDAQ 100 2X STRATEGY	MILLIAMS, JACKIE	WILLIAMS, JACKIE RYDEX GUGG DYNAMIC RUSSELL 2000 C 0.00 1.00 80.0 BACON, RICHARD RYDEX GUGG DYNAMIC RUSSELL 2000 C 0.00 0.32 80.0 WHITETREE, THOMAS RYDEX GUGG ELECTRONICS A 0.00 0.04 80.0 CASTLE, DAVID RYDEX GUGG ELECTRONICS A 0.00 0.08 80.0 LIVELY, ELIZABETH RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 LIVELY, DUSTIN RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 HUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 HUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 HARRALD, DONALD RYDEX GUGG ELECTRONICS A 0.00 0.03 80.0 SALLEE, MARY RYDEX GUGG ELECTRONICS C 0.00 0.12 80.0 ROLLINS, LISA RYDEX GUGG ELECTRONICS C 0.00 0.12 80.0 TREADWAY, PAMELA RYDEX GUGG ELECTRONICS C 0.00 0.12 80.0 DONNING, JOHN RYDEX GUGG ELECTRONICS C 0.00	MILLIAMS, JACKIE RYDEX GUGG DYNAMIC RUSSELL 2000 C 0.00 1.03 80.0 0.80 80.0 0.26 80.0 RICHARD RYDEX GUGG DYNAMIC RUSSELL 2000 C 0.00 0.32 80.0 0.26 80.0 0.00 0.32 80.0 0.26 80.0 0.00 0.32 80.0 0.26 80.0 0.00 0.04 80.0 0.03 CASTLE, DAVID RYDEX GUGG ELECTRONICS A 0.00 0.04 80.0 0.05 CLYPLY, ELIZABETH RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 CLYPLY, BLIZABETH RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 CLYPLY, USITIN RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 MUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 MUNTER, TIMOTHY RYDEX GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 PUCKETT, CHERI RYDEX GUGG ELECTRONICS A 0.00 0.09 80.0 0.07 80.0 0.05 SALLEE, MARY RYDEX GUGG ELECTRONICS A 0.00 0.09 80.0 0.07 80.0 0.0	WILLIAMS, JACKIE RYDEK GUGG DYNAMIC RUSSELL 2000 C 0.00 1.00 80.0 0.80 0.00 BACON, RICHARD RYDEK GUGG DYNAMIC RUSSELL 2000 C 0.00 0.32 80.0 0.26 0.00 MILTERTEE, THOMAS RYDEK GUGG ELECTRONICS A 0.00 0.04 80.0 0.03 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.04 80.0 0.05 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.06 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.06 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.00 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.07 80.0 0.00 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.03 80.0 0.02 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS A 0.00 0.03 80.0 0.02 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.01 80.0 0.02 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.01 80.0 0.02 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.01 80.0 0.02 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.02 80.0 0.22 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.02 80.0 0.22 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.01 80.0 0.12 80.0 0.10 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.02 80.0 0.12 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.02 80.0 0.02 0.00 0.00 CASTLE, DAVID RYDEK GUGG ELECTRONICS C 0.00 0.02 80.0 0.00 0.00 0.00 CASTLE,

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

			INVESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

		DIRECT TRANSACTION	<u>15</u>					
PRODUCT CA	ATEGORY: MUTUAL FUND 12B1 TI	RAILS						
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.86	80.0	3.09	0.00	3.09
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.28	80.0	0.22	0.00	0.22
/ /	MELTON, JOY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.25	80.0	0.20	0.00	0.20
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.22	80.0	0.18	0.00	0.18
/ /	MCFERRON, LINDA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.47	80.0	0.38	0.00	0.38
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.37	80.0	1.90	0.00	1.90
/ /	WILLIAMS, JACKIE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.19	80.0	1.75	0.00	1.75
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.04	80.0	0.03	0.00	0.03
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.29	80.0	0.23	0.00	0.23
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.28	80.0	0.22	0.00	0.22
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.39	80.0	1.11	0.00	1.11
/ /	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.24	80.0	0.19	0.00	0.19
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.17	80.0	0.14	0.00	0.14
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.55	80.0	0.44	0.00	0.44
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG RUSSELL 2X STRATEGY A	0.00	0.85	80.0	0.68	0.00	0.68
/ /	HUFFMAN, KRISTOPHER	RYDEX GUGG S&P SMALLCAP 600 PURE	0.00	0.26	80.0	0.21	0.00	0.21
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.32	80.0	0.26	0.00	0.26
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.31	80.0	0.25	0.00	0.25
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.93	80.0	0.74	0.00	0.74
/ /	MORIELS, FELICIA	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	4.85	80.0	3.88	0.00	3.88
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	MELTON, JOY	RYDEX JAPAN 2X STRATEGY C	0.00	0.27	80.0	0.22	0.00	0.22
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		DIRECT TRANS	SACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUND 12B1	L TRAILS						
/ /	MCFERRON, LINDA	RYDEX JAPAN 2X STRATEGY C	0.00	0.73	80.0	0.58	0.00	0.58
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	3.03	80.0	2.42	0.00	2.42
/ /	WILLIAMS, JACKIE	RYDEX JAPAN 2X STRATEGY C	0.00	2.28	80.0	1.82	0.00	1.82
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.05	80.0	0.04	0.00	0.04
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.37	80.0	0.30	0.00	0.30
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.36	80.0	0.29	0.00	0.29
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	ARRINGTON, NANCY	RYDEX JAPAN 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.69	80.0	0.55	0.00	0.55
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.14	80.0	0.11	0.00	0.11
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.27	80.0	0.22	0.00	0.22
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.23	80.0	0.18	0.00	0.18
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.23	80.0	0.18	0.00	0.18
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.21	80.0	0.17	0.00	0.17
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.30	80.0	0.24	0.00	0.24
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.10	80.0	0.08	0.00	0.08
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.38	80.0	0.30	0.00	0.30
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.36	80.0	0.29	0.00	0.29
/ /	HUFFMAN, KRISTOPHER	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.34	80.0	0.27	0.00	0.27
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$65.01		\$52.02	\$0.00	\$52.02
PRODUCT CA	TEGORY: VARIABLE ANNUITY	7 12B1 TRAILS						
12/04/2017	STINSON, BRADLEY	PACIFIC LIFE TRAILS	0.00	5.00	80.0	4.00	0.00	4.00
12/04/2017	SMIT, CONRAD	PACIFIC LIFE TRAILS	0.00	54.91	80.0	43.93	0.00	43.93
12/04/2017	HOLLAND, CANDACE	PACIFIC LIFE TRAILS	0.00	8.70	80.0	6.96	0.00	6.96
12/04/2017	JOHNSON, KATHRYN	PACIFIC LIFE TRAILS	0.00	7.40	80.0	5.92	0.00	5.92
12/04/2017	DALE RARICK, KIMBERLY	PACIFIC LIFE TRAILS	0.00	5.54	80.0	4.43	0.00	4.43
12/04/2017	BROMLOW, NITA	PACIFIC LIFE TRAILS	0.00	7.79	80.0	6.23	0.00	6.23
12/07/2017	COLWELL, JEREMY	PACIFIC LIFE TRAILS	0.00	161.91	80.0	129.53	0.00	129.53
12/15/2017	STANFORD, ELAINE	ING PREMIUM PLUS	642.41	1.20	80.0	0.96	0.00	0.96
12/11/2017	ARRINGTON, NANCY	PAC LIFE INNOVATIVE SELECT	0.00	32.85	80.0	26.28	0.00	26.28
12/13/2017	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	3,436.66	1.15	80.0	0.92	0.00	0.92

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

			WESTMENT	GROSS		NET	CLEARING	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	RATE	COMMISSION	CHARGE	COMMISSION

	PRODUCT	CATEGORY:	PRIVATE	PLACEMENT
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* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$4,079.07	\$286.45	\$229.16	\$0.00	\$229.16
*** DIRECT TRANSACTIONS TOTAL ***	\$23,323.73	\$1,446.64	\$1,157.33	\$0.00	\$1,157.33

BROKER COMMISSION	TOTALS
Broker Transactions	\$1,174.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$1,174.03
Year-to-date Gross	\$66,450.56
Year-to-date Earnings	\$52,238.82

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed