

All Companies  
**STATEMENT**  
**April 20, 2018**

**KEVIN BORAD**  
9560 Topanga Canyon Blvd Suite  
SUITE 204  
Chatsworth, CA 91311

**BROKER #: 128**  
**BRANCH:**

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
03/04/0018	#81154080603	HUSSAIN	COLLEGEBOUND	500.00	15.00	93.0	0.00	13.95	13.95
02/27/2018	#07050075326	ABID, HUSSA	PIMCO DIVIDEND AND INCOME BUILDER C	600.00	6.00	93.0	0.00	5.58	5.58
02/27/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	152.11	1.52	93.0	0.00	1.41	1.41
02/27/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
02/27/2018	#07050075318	ABID, HUSSA	PIMCO INCOME FUND CLASS C	600.00	6.00	93.0	0.00	5.58	5.58
02/27/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
03/01/2018	#000000000603163	SHINDE,	FRANKLIN TEMPLETON FUNDS CALIF TAX	2,000.00	20.00	93.0	0.00	18.60	18.60
03/01/2018	#07050089069	PATELANIKN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
03/01/2018	#07050089071	PATELAMARN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
03/01/2018	#07050090254	LUNAGARIA,	PIMCO INCOME FUND CLASS C	5,116.33	51.16	93.0	0.00	47.58	47.58
03/01/2018	#07050090255	LUNAGARIA,	PIMCO INCOME FUND CLASS C	6,153.99	61.54	93.0	0.00	57.23	57.23
03/02/2018	#5296157570	LUNAGBHARR	BLCKRCK	0.00	4.92	93.0	0.00	4.58	4.58
03/05/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	90.24	0.90	93.0	0.00	0.84	0.84
03/05/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	476.00	4.76	93.0	0.00	4.43	4.43
03/05/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	77.88	1.36	93.0	0.00	1.26	1.26
03/05/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	155.77	2.73	93.0	0.00	2.54	2.54
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	13.73	0.14	93.0	0.00	0.13	0.13
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	28.00	0.28	93.0	0.00	0.26	0.26
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	29.42	0.29	93.0	0.00	0.27	0.27
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	60.00	0.60	93.0	0.00	0.56	0.56
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	29.42	0.29	93.0	0.00	0.27	0.27
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	60.00	0.60	93.0	0.00	0.56	0.56
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	13.73	0.14	93.0	0.00	0.13	0.13
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	28.00	0.28	93.0	0.00	0.26	0.26
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	15.69	0.16	93.0	0.00	0.15	0.15
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	32.00	0.32	93.0	0.00	0.30	0.30
03/05/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	184.60	1.85	93.0	0.00	1.72	1.72
03/05/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	302.88	3.03	93.0	0.00	2.82	2.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/05/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	207.68	2.08	93.0	0.00	1.93	1.93
03/05/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	346.16	3.46	93.0	0.00	3.22	3.22
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	15.69	0.16	93.0	0.00	0.15	0.15
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	32.00	0.32	93.0	0.00	0.30	0.30
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	29.42	0.29	93.0	0.00	0.27	0.27
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	60.00	0.60	93.0	0.00	0.56	0.56
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	7.85	0.08	93.0	0.00	0.07	0.07
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	16.00	0.16	93.0	0.00	0.15	0.15
03/05/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	77.88	1.36	93.0	0.00	1.26	1.26
03/05/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	155.77	2.73	93.0	0.00	2.54	2.54
03/05/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	77.88	1.36	93.0	0.00	1.26	1.26
03/05/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	155.77	2.73	93.0	0.00	2.54	2.54
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	17.65	0.18	93.0	0.00	0.17	0.17
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	36.00	0.36	93.0	0.00	0.33	0.33
03/05/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	77.88	1.36	93.0	0.00	1.26	1.26
03/05/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	155.77	2.73	93.0	0.00	2.54	2.54
03/05/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	87.58	0.88	93.0	0.00	0.82	0.82
03/05/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	462.00	4.62	93.0	0.00	4.30	4.30
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	13.75	0.14	93.0	0.00	0.13	0.13
03/05/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	28.00	0.28	93.0	0.00	0.26	0.26
03/05/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	87.58	0.88	93.0	0.00	0.82	0.82
03/05/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	462.00	4.62	93.0	0.00	4.30	4.30
03/05/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	9.81	0.10	93.0	0.00	0.09	0.09
03/05/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	20.00	0.20	93.0	0.00	0.19	0.19
03/12/2018	#000000000016117		FRANKLIN INDIA GROWTH FUND - CLASS	500.00	5.00	93.0	0.00	4.65	4.65
03/12/2018	#0000000000811745		FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	4.65
03/12/2018	#0000000000648788		MUTUAL FINANCIAL SERVICES FUND-CLAS	500.00	5.00	93.0	0.00	4.65	4.65
03/14/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	118.27	1.18	93.0	0.00	1.10	1.10
03/14/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
03/14/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
03/15/2018	#0000000000607349	DADHANIA,	FRANKLIN TEMPLETON FUNDS CALIF TAX	150.00	1.50	93.0	0.00	1.40	1.40
03/15/2018	#07050093000	PATELAUDRL	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
03/19/2018	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	500.00	5.00	93.0	0.00	4.65	4.65
03/19/2018	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	100.20	1.00	93.0	0.00	0.93	0.93
03/19/2018	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.47
03/19/2018	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.52

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/19/2018	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.47
03/19/2018	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.52
03/19/2018	#00082242287	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	2.33
03/19/2018	#00082242341	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	67.00	2.51	93.0	0.00	2.33	2.33
03/19/2018	#00082242287	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	1.84
03/19/2018	#00082242341	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	66.00	1.98	93.0	0.00	1.84	1.84
03/19/2018	#00082242287	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	2.33
03/19/2018	#00082242341	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	67.00	2.51	93.0	0.00	2.33	2.33
03/20/2018	#000000000017513	HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	55.00	0.55	93.0	0.00	0.51	0.51
03/20/2018	#000000000017537	HUSSAMARV	FRANKLIN INDIA GROWTH FUND - CLASS	55.00	0.55	93.0	0.00	0.51	0.51
03/20/2018	#0000000000654107	HUSSAMARV	FRANKLIN MUTUAL EUROPEAN FUND CLASS	275.00	2.75	93.0	0.00	2.56	2.56
03/20/2018	#0000000000654133	HUSSAMARV	FRANKLIN MUTUAL EUROPEAN FUND CLASS	275.00	2.75	93.0	0.00	2.56	2.56
03/20/2018	#000000001483674	HUSSAMARV	FRANKLIN RISING DIVS FD CL C	110.00	1.10	93.0	0.00	1.02	1.02
03/20/2018	#000000001483813	HUSSAMARV	FRANKLIN RISING DIVS FD CL C	110.00	1.10	93.0	0.00	1.02	1.02
03/20/2018	#000000000104947	HUSSAMARV	TEM EMERGING MARKETS SMALL CAP FD C	110.00	1.10	93.0	0.00	1.02	1.02
03/20/2018	#000000000104974	HUSSAMARV	TEM EMERGING MARKETS SMALL CAP FD C	110.00	1.10	93.0	0.00	1.02	1.02
03/20/2018	#000000001725100	HUSSAMARV	TEMPLETON DEV MRKTS TR FUND CLASS C	110.00	1.10	93.0	0.00	1.02	1.02
03/20/2018	#000000001725124	HUSSAMARV	TEMPLETON DEV MRKTS TR FUND CLASS C	110.00	1.10	93.0	0.00	1.02	1.02
03/20/2018	#000000000134813	HUSSASEHA	TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	10.23
03/20/2018	#000000000153130	HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	440.00	4.40	93.0	0.00	4.09	4.09
03/20/2018	#000000000153142	HUSSAMARV	TEMPLETON INCOME TR GLOBAL TOTAL RE	440.00	4.40	93.0	0.00	4.09	4.09
03/21/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	240.89	2.41	93.0	0.00	2.24	2.24
03/21/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
03/21/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$27,081.77</b>	<b>\$302.76</b>		<b>\$0.00</b>	<b>\$281.55</b>	<b>\$0.00</b>	<b>\$281.55</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/13/2018	#1015060839	MEGHAPARA,	PERSP II 09/12	85,000.00	6,375.00	93.0	0.00	5,928.75	5,928.75
03/13/2018	#1014537690		PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	20.93
03/21/2018	#1014537690		PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	20.93
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$85,600.00</b>	<b>\$6,420.00</b>		<b>\$0.00</b>	<b>\$5,970.61</b>	<b>\$0.00</b>	<b>\$5,970.61</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/01/2018	#00068605818	ITURRIAGA,	CAPITAL INCOME BUILDER FD CL A	0.00	6.21	93.0	0.00	5.78	5.78
03/01/2018	#00082334433	PATEL, SUMIT	GROWTH AND INCOME PORTFOLIO-529C	0.00	21.79	93.0	0.00	20.26	20.26
03/01/2018	#00082334771	PATEL, SUMIT	GROWTH AND INCOME PORTFOLIO-529C	0.00	21.79	93.0	0.00	20.26	20.26

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00082334433	PATEL, SUMIT	INCOME PORTFOLIO-529C	0.00	11.86	93.0	0.00	11.03	11.03
03/01/2018	#00082334771	PATEL, SUMIT	INCOME PORTFOLIO-529C	0.00	11.86	93.0	0.00	11.03	11.03
03/01/2018	#00082334433	PATEL, SUMIT	NEW PERSPECTIVE FUND-529A	0.00	0.20	93.0	0.00	0.19	0.19
03/01/2018	#00082334771	PATEL, SUMIT	NEW PERSPECTIVE FUND-529A	0.00	0.20	93.0	0.00	0.19	0.19
03/01/2018	#00082242287	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	0.00	9.69	93.0	0.00	9.01	9.01
03/01/2018	#00082242341	SHIHORA, ARTH	NEW PERSPECTIVE FUND-529A	0.00	9.69	93.0	0.00	9.01	9.01
03/01/2018	#00082334433	PATEL, SUMIT	THE BOND FUND OF AMERICA-529A	0.00	0.12	93.0	0.00	0.11	0.11
03/01/2018	#00082334771	PATEL, SUMIT	THE BOND FUND OF AMERICA-529A	0.00	0.12	93.0	0.00	0.11	0.11
03/01/2018	#00082242287	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	0.00	5.91	93.0	0.00	5.50	5.50
03/01/2018	#00082242341	SHIHORA, ARTH	THE BOND FUND OF AMERICA-529A	0.00	5.91	93.0	0.00	5.50	5.50
03/01/2018	#00082334433	PATEL, SUMIT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.15	93.0	0.00	0.14	0.14
03/01/2018	#00082334771	PATEL, SUMIT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.15	93.0	0.00	0.14	0.14
03/01/2018	#00082242287	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	0.00	10.16	93.0	0.00	9.45	9.45
03/01/2018	#00082242341	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	0.00	10.16	93.0	0.00	9.45	9.45
03/07/2018	#01482511647	VARIOUS BORAD	FA 529 INTERMED BOND PORT-CL A	0.00	0.05	93.0	0.00	0.05	0.05
03/07/2018	#01485867699	VARIOUS BORAD	FA MEGA CAP STOCK FUND	0.00	11.79	93.0	0.00	10.96	10.96
03/07/2018	#01485867731	VARIOUS BORAD	FA MEGA CAP STOCK FUND	0.00	16.76	93.0	0.00	15.59	15.59
03/07/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	0.00	25.29	93.0	0.00	23.52	23.52
03/07/2018	#01446368894	VARIOUS BORAD	FA TELECOMMUNICATIONS FUND	0.00	0.44	93.0	0.00	0.41	0.41
03/07/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	0.00	5.41	93.0	0.00	5.03	5.03
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	0.00	4.56	93.0	0.00	4.24	4.24
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	0.00	8.82	93.0	0.00	8.20	8.20
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	0.00	6.99	93.0	0.00	6.50	6.50
03/07/2018	#01488720515	VARIOUS BORAD	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.18	93.0	0.00	0.17	0.17
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	0.00	3.79	93.0	0.00	3.52	3.52
03/07/2018	#00625301718	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.01	93.0	0.00	0.01	0.01
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	3.52	93.0	0.00	3.27	3.27
03/07/2018	#01475089957	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	1.27	93.0	0.00	1.18	1.18
03/07/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	9.12	93.0	0.00	8.48	8.48
03/07/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	31.28	93.0	0.00	29.09	29.09
03/07/2018	#01446369207	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	14.92	93.0	0.00	13.88	13.88
03/07/2018	#01446369256	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	6.34	93.0	0.00	5.90	5.90
03/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	31.36	93.0	0.00	29.16	29.16
03/07/2018	#01467041008	VARIOUS BORAD	FIDELITY ADV GOLD-CL C	0.00	6.02	93.0	0.00	5.60	5.60
03/07/2018	#01477917494	VARIOUS BORAD	FIDELITY ADV GOLD-CL C	0.00	9.59	93.0	0.00	8.92	8.92
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	0.00	3.57	93.0	0.00	3.32	3.32

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	0.00	10.31	93.0	0.00	9.59	9.59	
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	0.00	4.71	93.0	0.00	4.38	4.38	
03/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.64	93.0	0.00	5.25	5.25	
03/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	4.75	93.0	0.00	4.42	4.42	
03/07/2018	#01439826940	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	7.25	93.0	0.00	6.74	6.74	
03/07/2018	#01439826965	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.15	93.0	0.00	4.79	4.79	
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.50	93.0	0.00	5.12	5.12	
03/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR DIVIDEND GROWTH	0.00	13.62	93.0	0.00	12.67	12.67	
03/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	0.00	5.97	93.0	0.00	5.55	5.55	
03/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKETS F	0.00	30.76	93.0	0.00	28.61	28.61	
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	0.41	93.0	0.00	0.38	0.38	
03/07/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	0.00	23.27	93.0	0.00	21.64	21.64	
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	0.00	3.01	93.0	0.00	2.80	2.80	
03/07/2018	#01488720515	VARIOUS BORAD	FIDELITY ADVISOR SER I LEVERAGED CO	0.00	0.17	93.0	0.00	0.16	0.16	
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	0.35	93.0	0.00	0.33	0.33	
03/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII TECHNOLOGY	0.00	0.67	93.0	0.00	0.62	0.62	
03/07/2018	#01457786992	VARIOUS BORAD	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.28	93.0	0.00	0.26	0.26	
03/07/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	0.00	20.66	93.0	0.00	19.21	19.21	
03/07/2018	#01482544838	VARIOUS BORAD	FIDELITY TARGET DATE 2055	0.00	4.14	93.0	0.00	3.85	3.85	
03/07/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	0.00	1.72	93.0	0.00	1.60	1.60	
03/09/2018	# BHAKTA, CHHAGANBHAI	DAIN TRAILER		0.00	97.97	93.0	0.00	91.11	91.11	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$573.36		\$0.00	\$533.24	\$0.00	\$533.24
*** BROKER TRANSACTIONS TOTAL ***				\$112,681.77	\$7,296.12		\$0.00	\$6,785.40	\$0.00	\$6,785.40

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE	-390.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,785.40
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$6,001.40</b>
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