

**COMMISSION STATEMENT****July 15, 2018**JOHN, R119 ROSS
7261 W. Central Ave.BROKER #: R119
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/02/2018	HUNTER, DEBORAH	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	92.0	1.96
07/05/2018	WAGONER JR, JOHN	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	92.0	4.60
07/02/2018	HUNTER, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	92.0	1.96
* 529 PLANS SUBTOTAL *			\$200.00	\$9.26	\$0.00	\$9.26		\$8.52
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	MARTIN, RACHEL	AM FUNDS AMERICAN BALANCED A	105.00	3.94	0.00	3.94	92.0	3.62
07/02/2018	KRAUS, JENNIFER	AM FUNDS AMERICAN HIGH-INCOME TRUST	150.00	4.50	0.00	4.50	92.0	4.14
07/02/2018	RUMSCHLAG, DOUGLAS	AM FUNDS EUROPACIFIC GROWTH FUND A	77.64	2.14	0.00	2.14	92.0	1.97
06/25/2018	MARTIN, RACHEL	AM FUNDS FUNDAMENTAL INVESTORS A	105.00	3.94	0.00	3.94	92.0	3.62
07/02/2018	RUMSCHLAG, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	77.64	2.14	0.00	2.14	92.0	1.97
06/25/2018	MARTIN, RACHEL	AM FUNDS INCOME FUND OF AMERICA A	105.00	3.94	0.00	3.94	92.0	3.62
07/05/2018	HAUSER, RONALD	AM FUNDS INCOME FUND OF AMERICA A	20.00	0.32	0.00	0.32	92.0	0.29
07/05/2018	TARRIS, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	200.00	3.20	0.00	3.20	92.0	2.94
07/05/2018	MCFARLAND, BRANDON	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	92.0	1.47
06/28/2018	SEYMOUR, DONALD	AM FUNDS NEW ECONOMY C	172.50	1.73	0.00	1.73	92.0	1.59
06/28/2018	HILKENS, CHRISTOPHER	AM FUNDS SHORT TERM BND FUND OF AM	65.00	1.30	0.00	1.30	92.0	1.20
06/25/2018	MARTIN, RACHEL	AM FUNDS SMALLCAP WORLD A	35.00	1.31	0.00	1.31	92.0	1.21
06/25/2018	VALADE, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	1,000.00	27.50	0.00	27.50	92.0	25.30
07/05/2018	SEYMOUR, DONALD	AMERICAN HIGH-INCOME MUNI BOND FUND	79.13	0.79	0.00	0.79	92.0	0.73
06/26/2018	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
06/26/2018	PLAN	PUTNAM MULTI CAP GROWTH A	25.00	1.25	0.00	1.25	92.0	1.15
* MUTUAL FUNDS SUBTOTAL *			\$2,341.91	\$60.85	\$0.00	\$60.85		\$55.97
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/25/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
06/25/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/25/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
07/09/2018	REEVES, TERRENCE	MML ARTISTRY	140.00	7.70	0.00	7.70	92.0	7.08
07/09/2018	CARVER, DENISE	MML ARTISTRY	50.00	2.75	0.00	2.75	92.0	2.53
07/09/2018	SHOEMAKER, DENNIS	MML ARTISTRY	25.00	1.38	0.00	1.38	92.0	1.27
* VARIABLE ANNUITIES SUBTOTAL *			\$430.00	\$23.66	\$0.00	\$23.66		\$21.76
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	SLAMAN, BRIAN	FIDELITY ADV NEW INSIGHTS C	0.00	1.07	0.00	1.07	92.0	0.98
/ /	SLAMAN, BRIAN	FIDELITY MIDCAP II C	0.00	1.24	0.00	1.24	92.0	1.14
06/18/2018	MOORE, LINDA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.98	0.00	4.98	92.0	4.58
06/18/2018	ROSS, JEROME	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	4.83	0.00	4.83	92.0	4.44
07/02/2018	SHOEMAKER, DENNIS	PUTNAM DIVERSIFIED INCOME A	0.00	0.05	0.00	0.05	92.0	0.05
07/02/2018	SHOEMAKER, DENNIS	PUTNAM GROWTH OPP A	0.00	1.67	0.00	1.67	92.0	1.54
07/02/2018	DRAKE, ERIC	PUTNAM GROWTH OPP A	0.00	6.37	0.00	6.37	92.0	5.86
07/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	5.68	0.00	5.68	92.0	5.23
07/02/2018	WAGONER JR, JOHN	PUTNAM MULTI CAP GROWTH A	0.00	5.35	0.00	5.35	92.0	4.92
07/02/2018	DRAKE, ERIC	PUTNAM MULTI CAP GROWTH A	0.00	5.75	0.00	5.75	92.0	5.29
07/02/2018	PLAN	PUTNAM MULTI CAP GROWTH A	0.00	5.55	0.00	5.55	92.0	5.11
07/02/2018	PLAN	PUTNAM MULTI-CAP GROWTH B	0.00	2.47	0.00	2.47	92.0	2.27
07/02/2018	PLAN	PUTNAM MULTI-CAP GROWTH B	0.00	2.48	0.00	2.48	92.0	2.28
06/18/2018	OBERT, RONALD	TEMPLETON GROWTH A	0.00	0.15	0.00	0.15	92.0	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$47.64	\$0.00	\$47.64		\$43.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	VALADE, DEANNA	LFG TRAILS	128,402.98	80.25	0.00	80.25	92.0	73.83
06/30/2018	CURSON, BEVERLY	LFG TRAILS	51,136.26	31.96	0.00	31.96	92.0	29.40
06/30/2018	FOX, JOSEPH	LFG TRAILS	21,221.84	13.26	0.00	13.26	92.0	12.20
06/30/2018	SMOOT, C STEVEN	LFG TRAILS	267,190.51	166.99	0.00	166.99	92.0	153.63
06/30/2018	VALADE, JOHN	LFG TRAILS	14,277.79	8.92	0.00	8.92	92.0	8.21
06/30/2018	HUNTER, DEBORAH	LFG TRAILS	27,371.74	17.11	0.00	17.11	92.0	15.74
06/30/2018	SWINDLE, CYNTHIA	LFG TRAILS	641.80	0.64	0.00	0.64	92.0	0.59
06/30/2018	KORAKAS, LARRY	LFG TRAILS	24,905.73	24.91	0.00	24.91	92.0	22.92
06/29/2018	SMOOT, DOROTHY	ALLIANZ VALUEMARK II	0.00	81.76	0.00	81.76	92.0	75.22
06/29/2018	DULINSKY, SHERRY	ALLIANZ VALUEMARK III	0.00	2.36	0.00	2.36	92.0	2.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	WALLS, DIANE	ALLIANZ VALUEMARK III	0.00	53.35	0.00	53.35	92.0	49.08
06/29/2018	BENSCH, ARLYN	ALLIANZ VALUEMARK III	0.00	0.50	0.00	0.50	92.0	0.46
06/29/2018	BENSCH, CANDACE	ALLIANZ VALUEMARK III	0.00	0.50	0.00	0.50	92.0	0.46
06/29/2018	KRALL, JERRY	ALLIANZ VALUEMARK IV	0.00	1.67	0.00	1.67	92.0	1.54
06/29/2018	BRAITHWAITE, MICHAEL	ALLIANZ VALUEMARK IV	0.00	5.48	0.00	5.48	92.0	5.04
06/29/2018	WASSERMAN, JULIE	ALLIANZ VALUEMARK IV	0.00	3.76	0.00	3.76	92.0	3.46
06/29/2018	DIXON, RANDALL	ALLIANZ VALUEMARK IV	0.00	24.17	0.00	24.17	92.0	22.24
06/29/2018	KRALL, GARY	ALLIANZ VALUEMARK IV	0.00	10.77	0.00	10.77	92.0	9.91
06/29/2018	AUBRY, PATRICIA	AXA ACCUM ELITE II (NQ)	0.00	48.22	0.00	48.22	92.0	44.36
06/29/2018	JANICKI, KATHERINE	HARTFORD LEADERS (ILA)	0.00	0.67	0.00	0.67	92.0	0.62
06/29/2018	BRAGG, TYLER	HARTFORD LEADERS (ILA)	0.00	20.25	0.00	20.25	92.0	18.63
06/29/2018	KOZIARSKI, RICHARD	HARTFORD LEADERS OUTLOOK (ILA)	0.00	1.95	0.00	1.95	92.0	1.79
06/29/2018	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	0.00	2.64	0.00	2.64	92.0	2.43
06/29/2018	AUBRY, PATRICIA	HARTFORD LEADERS OUTLOOK (ILA)	0.00	2.69	0.00	2.69	92.0	2.47
06/29/2018	IRWIN, JOHN	HARTFORD LEADERS OUTLOOK (ILA)	0.00	6.65	0.00	6.65	92.0	6.12
06/29/2018	ROSS, DANIEL	HARTFORD LEADERS OUTLOOK 2	0.00	133.87	0.00	133.87	92.0	123.16
06/29/2018	KIEHL, VERNON	HARTFORD LEADERS OUTLOOK 2	0.00	74.66	0.00	74.66	92.0	68.69
06/29/2018	MILLS, SARAH	HARTFORD LEADERS OUTLOOK 2	0.00	32.95	0.00	32.95	92.0	30.31
06/30/2018	IRWIN, JOHN	JNL ELITE ACCESS	0.00	34.02	0.00	34.02	92.0	31.30
06/30/2018	DEMKO, VERNA	JNL PERSPECTIVE	0.00	10.52	0.00	10.52	92.0	9.68
06/30/2018	LONG, PAUL	JNL PERSPECTIVE II 05/05	0.00	1.41	0.00	1.41	92.0	1.30
06/30/2018	LONG, LORI	JNL PERSPECTIVE L	0.00	12.43	0.00	12.43	92.0	11.44
06/30/2018	BENSCH, ARLYN	JNL PERSPECTIVE L	0.00	27.98	0.00	27.98	92.0	25.74
06/30/2018	BENSCH, CANDACE	JNL PERSPECTIVE L	0.00	23.86	0.00	23.86	92.0	21.95
06/30/2018	KRALL, JERRY	JOHN HANCOCK VENTURE III	0.00	34.98	0.00	34.98	92.0	32.18
06/30/2018	KEEFE, GERALD	JOHN HANCOCK VENTURE III	0.00	11.26	0.00	11.26	92.0	10.36
06/30/2018	HEINAMAN, ROBERT	JOHN HANCOCK VENTURE III	0.00	92.80	0.00	92.80	92.0	85.38
06/30/2018	KRAUS, JUDITH	JOHN HANCOCK VENTURE III	0.00	27.65	0.00	27.65	92.0	25.44
06/30/2018	GARDNER, WM	JOHN HANCOCK VENTURE III	0.00	109.16	0.00	109.16	92.0	100.43
06/30/2018	SILLIMAN, GRACE	JOHN HANCOCK VENTURE III	0.00	57.92	0.00	57.92	92.0	53.29
06/30/2018	CURSON, BEVERLY	JOHN HANCOCK VENTURE III	0.00	47.42	0.00	47.42	92.0	43.63
06/30/2018	JANICKI, KATHERINE	JOHN HANCOCK VENTURE III	0.00	72.48	0.00	72.48	92.0	66.68
06/30/2018	MOORE, GARY	JOHN HANCOCK VENTURE III	0.00	72.32	0.00	72.32	92.0	66.53

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	IRWIN, JOHN	JOHN HANCOCK VENTURE III	0.00	59.90	0.00	59.90	92.0	55.11
06/30/2018	WAGONER JR, JOHN	JOHN HANCOCK VENTURE III	0.00	50.19	0.00	50.19	92.0	46.17
06/30/2018	WOODRUFF, STEPHANIE	JOHN HANCOCK VENTURE III	0.00	49.79	0.00	49.79	92.0	45.81
06/30/2018	FISCHER, DAVID	NATIONWIDE BOA FUTURE II 32	0.00	265.39	0.00	265.39	92.0	244.16
06/30/2018	DOUGHERTY, RICO	NATIONWIDE DESTINATION L2 01	0.00	237.96	0.00	237.96	92.0	218.92
06/30/2018	ZINK, ROBERT	NATIONWIDE DESTINATION L2 01	0.00	863.04	0.00	863.04	92.0	794.00
06/30/2018	SCHNEIDER, MARGARET	NATIONWIDE DESTINATION LS 96	0.00	417.75	0.00	417.75	92.0	384.33
06/30/2018	HAUSFELD, DAVID	NATIONWIDE DESTINATION LS 96	0.00	425.28	0.00	425.28	92.0	391.26
06/30/2018	GERMAN, MARILYN	NATIONWIDE DESTINATION LS 96	0.00	330.02	0.00	330.02	92.0	303.62
06/30/2018	COUSINO TRUST, SUSAN	NATIONWIDE DESTINATION LS 96	0.00	909.46	0.00	909.46	92.0	836.70
06/30/2018	PEAKE, CAROL	NATIONWIDE DESTINATION LS 96	0.00	305.95	0.00	305.95	92.0	281.47
06/30/2018	MOORE, GARY	NATIONWIDE DESTINATION LS 96	0.00	224.08	0.00	224.08	92.0	206.15
06/30/2018	HEINAMAN, ROBERT	NATIONWIDE DESTINATION LS 96	0.00	286.06	0.00	286.06	92.0	263.18
06/30/2018	STEDCKE III, CLYDE	NATIONWIDE DESTINATION LS 96	0.00	380.11	0.00	380.11	92.0	349.70
06/30/2018	BRAUN, CHARLENE	NATIONWIDE DESTINATION LS 96	0.00	391.94	0.00	391.94	92.0	360.58
06/30/2018	IRWIN, JOHN	NATIONWIDE DESTINATION LS 96	0.00	317.38	0.00	317.38	92.0	291.99
06/30/2018	DUBENDORFER, SUSAN	NATIONWIDE DESTINATION LS 96	0.00	318.52	0.00	318.52	92.0	293.04
06/30/2018	MCBROOM, DENNIS	NATIONWIDE DESTINATION LS 96	0.00	314.96	0.00	314.96	92.0	289.76
06/30/2018	BRAUN, WILLIAM	NATIONWIDE DESTINATION LS 96	0.00	468.82	0.00	468.82	92.0	431.31
06/30/2018	SZABO, BONITA	NATIONWIDE DESTINATION LS 96	0.00	59.96	0.00	59.96	92.0	55.16
06/14/2018	CUMMINGS, BARBARA	TRANSAMERICA LIBERTY	0.00	119.83	0.00	119.83	92.0	110.24
06/20/2018	DOUGHERTY, RICO	TRANSAMERICA LIBERTY	0.00	90.53	0.00	90.53	92.0	83.29
06/21/2018	DEMKO, VERNA	TRANSAMERICA LIBERTY	0.00	70.13	0.00	70.13	92.0	64.52
06/22/2018	HOLLOWAY, LINDA	TRANSAMERICA LIBERTY	0.00	155.31	0.00	155.31	92.0	142.89
06/27/2018	ROSS, SUSAN	TRANSAMERICA LIBERTY	0.00	3.63	0.00	3.63	92.0	3.34
06/29/2018	DULINSKY, SHERRY	TRANSAMERICA LIBERTY	0.00	22.88	0.00	22.88	92.0	21.05
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$535,148.65	\$8,627.99	\$0.00	\$8,627.99		\$7,937.76
*** DIRECT TRANSACTIONS TOTAL ***			\$538,120.56	\$8,769.40	\$0.00	\$8,769.40		\$8,067.84

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS, H121 HALSEY #10</u>								
06/27/2018	LEROUX, LAURA	BLACKROCK 67776G469	100.00	35.00	0.00	35.00	85.0	2.45
		* THOMAS, H121 HALSEY SUBTOTAL *	\$100.00	\$35.00	\$0.00	\$35.00		\$2.45
<u>BROKER: THOMAS, H121 HALSEY #82</u>								
06/28/2018	CANNON, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	85.0	0.18
07/02/2018	DENHAM, CONSTANCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	85.0	1.05
/ /	MARKLEY, JUSTIN	FIDELITY ADV EQUITY GROWTH CL M	0.00	3.95	0.00	3.95	85.0	0.28
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH & INCOME C	0.00	7.93	0.00	7.93	85.0	0.56
/ /	EYLER, BARBARA	FIDELITY ADV GROWTH OPP A	0.00	4.29	0.00	4.29	85.0	0.30
/ /	BAKER, ELAINE	FIDELITY ADV GROWTH OPP C	0.00	5.96	0.00	5.96	85.0	0.42
/ /	FRANTZ, CRAIG	FIDELITY ADV INTL CAP APP C	0.00	6.72	0.00	6.72	85.0	0.47
/ /	BAKER, ELAINE	FIDELITY ADV INTL SMALL CAP OPP C	0.00	4.65	0.00	4.65	85.0	0.33
/ /	BAKER, ELAINE	FIDELITY ADV OVERSEAS C	0.00	4.37	0.00	4.37	85.0	0.31
/ /	BAKER, ELAINE	FIDELITY ADV UTILITIES C	0.00	6.40	0.00	6.40	85.0	0.45
/ /	BAKER, ELAINE	FIDELITY EQUITY GROWTH C	0.00	7.84	0.00	7.84	85.0	0.55
/ /	FRANTZ, CRAIG	FIDELITY EQUITY GROWTH C	0.00	10.39	0.00	10.39	85.0	0.73
/ /	MARKLEY, JUSTIN	FIDELITY EQUITY INCOME	0.00	5.29	0.00	5.29	85.0	0.37
/ /	EYLER, BARBARA	FIDELITY EQUITY INCOME A	0.00	6.31	0.00	6.31	85.0	0.44
/ /	BAKER, ELAINE	FIDELITY EQUITY INCOME C	0.00	6.16	0.00	6.16	85.0	0.43
/ /	FRANTZ, CRAIG	FIDELITY SMALL CAP C	0.00	15.51	0.00	15.51	85.0	1.09
/ /	BAKER, ELAINE	FIDELITY SMALL CAP VALUE C	0.00	7.14	0.00	7.14	85.0	0.50
/ /	FRANTZ, CRAIG	FIDELITY TECHNOLOGY C	0.00	8.08	0.00	8.08	85.0	0.57
06/29/2018	DREEZE, MARTIN	HARTFORD LEADERS OUTLOOK (ILA)	0.00	2.46	0.00	2.46	85.0	0.17
06/30/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	0.00	24.43	0.00	24.43	85.0	1.71
06/30/2018	BUEHRER, DANIEL	JNL PERSPECTIVE L	0.00	177.11	0.00	177.11	85.0	12.40
06/30/2018	NOWAK, DAVID	JOHN HANCOCK VENTURE III	0.00	15.96	0.00	15.96	85.0	1.12
06/30/2018	TANGERMAN, JEANETTE	JOHN HANCOCK VENTURE III	0.00	45.15	0.00	45.15	85.0	3.16
06/30/2018	DESELMs, EVELYN	JOHN HANCOCK VENTURE III	0.00	35.71	0.00	35.71	85.0	2.50
06/25/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
07/02/2018	PRZYBYLSKI, JEREMIAH	MML ARTISTRY	100.00	5.50	0.00	5.50	85.0	0.39
07/09/2018	FRANTZ, KIMBERLY	MML ARTISTRY	50.00	2.75	0.00	2.75	85.0	0.19
06/30/2018	PRZYBYLSKI, DIANA	NATIONWIDE DESTINATION LS 96	0.00	232.37	0.00	232.37	85.0	16.27
07/03/2018	FRANTZ, JUDITH	PIONEER CULLEN VALUE FUND A	0.00	18.38	0.00	18.38	85.0	1.29
07/03/2018	FRANTZ, JUDITH	PIONEER EQUITY INCOME A	0.00	34.96	0.00	34.96	85.0	2.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS, H121 HALSEY #82 </u>								
07/03/2018	FRANTZ, JUDITH	PIONEER FUND A	0.00	4.36	0.00	4.36	85.0	0.31
07/03/2018	FRANTZ, JUDITH	PIONEER GLOBAL EQUITY A	0.00	4.94	0.00	4.94	85.0	0.35
07/03/2018	FRANTZ, JUDITH	PIONEER GROWTH OPPORTUNITIES A	0.00	4.98	0.00	4.98	85.0	0.35
07/03/2018	FRANTZ, JUDITH	PIONEER VALUE A	0.00	36.88	0.00	36.88	85.0	2.58
06/02/2018	PRZBYLSKI, MICHAEL	TRANSAMERICA LIBERTY	0.00	113.88	0.00	113.88	85.0	7.97
06/05/2018	BAUMGARTNER, DIANA	TRANSAMERICA LIBERTY	0.00	94.82	0.00	94.82	85.0	6.64
06/05/2018	FRANTZ, JUDITH	TRANSAMERICA LIBERTY	0.00	69.98	0.00	69.98	85.0	4.90
06/06/2018	BEISWENGER, RANDALL	TRANSAMERICA LIBERTY	0.00	202.29	0.00	202.29	85.0	14.16
06/07/2018	EYLER, BARBARA	TRANSAMERICA LIBERTY	0.00	87.57	0.00	87.57	85.0	6.13
06/07/2018	BUEHRER, SARAH	TRANSAMERICA LIBERTY	0.00	127.82	0.00	127.82	85.0	8.95
06/07/2018	BUEHRER, DANIEL	TRANSAMERICA LIBERTY	0.00	125.90	0.00	125.90	85.0	8.81
06/07/2018	LUCARELLI JR, VICTOR	TRANSAMERICA LIBERTY	0.00	162.93	0.00	162.93	85.0	11.41
06/08/2018	FERGUSON, MARK	TRANSAMERICA LIBERTY	0.00	217.43	0.00	217.43	85.0	15.22
06/08/2018	DE SELMS, KAREN	TRANSAMERICA LIBERTY	0.00	113.10	0.00	113.10	85.0	7.92
06/09/2018	KREMPEC, JEFFREY	TRANSAMERICA LIBERTY	0.00	147.72	0.00	147.72	85.0	10.34
06/10/2018	THANASIU, THOMAS	TRANSAMERICA LIBERTY	0.00	93.88	0.00	93.88	85.0	6.57
06/10/2018	HALSEY, WILLIAM	TRANSAMERICA LIBERTY	0.00	81.12	0.00	81.12	85.0	5.68
06/10/2018	RIEBE, MICHAEL	TRANSAMERICA LIBERTY	0.00	75.22	0.00	75.22	85.0	5.27
06/12/2018	DIENER, JUDITH	TRANSAMERICA LIBERTY	0.00	115.30	0.00	115.30	85.0	8.07
06/12/2018	RUCH, RONALD	TRANSAMERICA LIBERTY	0.00	54.74	0.00	54.74	85.0	3.83
06/13/2018	ZOLNER, MERIBETH	TRANSAMERICA LIBERTY	0.00	23.77	0.00	23.77	85.0	1.66
06/16/2018	BAKER, ELAINE	TRANSAMERICA LIBERTY	0.00	193.79	0.00	193.79	85.0	13.57
06/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	58.89	0.00	58.89	85.0	4.12
06/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	58.89	0.00	58.89	85.0	4.12
06/19/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	50.58	0.00	50.58	85.0	3.54
06/20/2018	RICHARDS, STEPHEN	TRANSAMERICA LIBERTY	0.00	88.59	0.00	88.59	85.0	6.20
06/21/2018	DENHAM, CONSTANCE	TRANSAMERICA LIBERTY	0.00	174.94	0.00	174.94	85.0	12.25
06/22/2018	AUGUSTYNYIAK JR, ROGER	TRANSAMERICA LIBERTY	0.00	354.93	0.00	354.93	85.0	24.85
06/22/2018	NOWAK JR, ROBERT	TRANSAMERICA LIBERTY	0.00	78.74	0.00	78.74	85.0	5.51
06/25/2018	PERRY, ALAN	TRANSAMERICA LIBERTY	0.00	337.20	0.00	337.20	85.0	23.60
06/25/2018	TAYLOR, LILY	TRANSAMERICA LIBERTY	0.00	32.58	0.00	32.58	85.0	2.28
06/26/2018	ANNESSER, CAROL	TRANSAMERICA LIBERTY	0.00	92.31	0.00	92.31	85.0	6.46
06/27/2018	DESELMS, KAREN	TRANSAMERICA LIBERTY	0.00	104.18	0.00	104.18	85.0	7.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS, H121 HALSEY #82</u>								
06/27/2018	WRAY, JOCELYN	TRANSAMERICA LIBERTY	0.00	24.20	0.00	24.20	85.0	1.69
06/27/2018	WRAY, KIM	TRANSAMERICA LIBERTY	0.00	56.42	0.00	56.42	85.0	3.95
06/27/2018	KREMPEC, KAY	TRANSAMERICA LIBERTY	0.00	29.57	0.00	29.57	85.0	2.07
06/30/2018	LUCARELLI, KRISTY	TRANSAMERICA LIBERTY	0.00	40.58	0.00	40.58	85.0	2.84
* THOMAS, H121 HALSEY SUBTOTAL *			\$650.00	\$4,461.04	\$0.00	\$4,461.04		\$312.33
<u>BROKER: ROBERT, T118 THOMPSON #83</u>								
06/29/2018	FLECK, MARTHA	ALLIANZ VALUEMARK II	0.00	16.56	0.00	16.56	90.0	0.33
05/31/2018	SHAFFER, CLARK	ICON FUND C	19,875.69	16.88	0.00	16.88	90.0	0.34
05/31/2018	RICE, CHERYL	ICON FUND C	3,026.63	2.57	0.00	2.57	90.0	0.05
05/31/2018	GECIK, STANLEY	ICON FUND C	6,178.70	5.25	0.00	5.25	90.0	0.11
05/31/2018	RECKNER, GARTH	ICON FUND C	1,553.51	1.32	0.00	1.32	90.0	0.03
06/25/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
06/30/2018	RICHARDS, MILDRED	JNL PERSPECTIVE	0.00	7.30	0.00	7.30	90.0	0.15
07/09/2018	MICHALSKI, PAIGE	JNL PERSPECTIVE	150.00	10.13	0.00	10.13	90.0	0.20
06/30/2018	FLECK, GERALD	JNL PERSPECTIVE II 05/05	0.00	1.32	0.00	1.32	90.0	0.03
06/30/2018	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	0.00	30.42	0.00	30.42	90.0	0.61
06/30/2018	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	0.00	19.97	0.00	19.97	90.0	0.40
06/30/2018	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	0.00	28.20	0.00	28.20	90.0	0.56
06/30/2018	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	0.00	103.43	0.00	103.43	90.0	2.07
06/30/2018	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	0.00	106.11	0.00	106.11	90.0	2.12
06/30/2018	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	0.00	131.63	0.00	131.63	90.0	2.63
06/30/2018	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	0.00	20.89	0.00	20.89	90.0	0.42
06/30/2018	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	0.00	33.80	0.00	33.80	90.0	0.68
06/30/2018	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	0.00	16.08	0.00	16.08	90.0	0.32
06/30/2018	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	0.00	18.01	0.00	18.01	90.0	0.36
06/30/2018	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	0.00	130.06	0.00	130.06	90.0	2.60
06/30/2018	ELWING, LOUISE	JOHN HANCOCK VENTURE III	0.00	122.11	0.00	122.11	90.0	2.44
06/30/2018	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	0.00	119.36	0.00	119.36	90.0	2.39
06/30/2018	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	0.00	181.81	0.00	181.81	90.0	3.64
06/30/2018	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	0.00	32.83	0.00	32.83	90.0	0.66
06/30/2018	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	0.00	98.05	0.00	98.05	90.0	1.96
06/30/2018	RICE, PAMELA	JOHN HANCOCK VENTURE III	0.00	91.76	0.00	91.76	90.0	1.84
06/30/2018	RINGGER, LINDA	JOHN HANCOCK VENTURE III	0.00	99.56	0.00	99.56	90.0	1.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT, T118 THOMPSON #83 </u>								
06/30/2018	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	0.00	19.78	0.00	19.78	90.0	0.40
06/30/2018	WODA, HELENE	JOHN HANCOCK VENTURE III	0.00	20.99	0.00	20.99	90.0	0.42
06/30/2018	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	0.00	185.84	0.00	185.84	90.0	3.72
06/30/2018	OSTER, JOHN	NATIONWIDE DESTINATION L2 01	0.00	201.56	0.00	201.56	90.0	4.03
06/30/2018	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	0.00	83.45	0.00	83.45	90.0	1.67
06/30/2018	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	0.00	312.33	0.00	312.33	90.0	6.25
06/30/2018	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	0.00	228.43	0.00	228.43	90.0	4.57
06/30/2018	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	0.00	245.84	0.00	245.84	90.0	4.92
06/30/2018	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	0.00	208.11	0.00	208.11	90.0	4.16
06/30/2018	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	0.00	524.69	0.00	524.69	90.0	10.49
06/30/2018	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	0.00	228.27	0.00	228.27	90.0	4.57
06/30/2018	COOPER, MARK	NATIONWIDE DESTINATION LS 96	0.00	262.49	0.00	262.49	90.0	5.25
06/30/2018	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	0.00	230.42	0.00	230.42	90.0	4.61
06/30/2018	GRIM, SUSAN	NW DESTINATION B2 05	0.00	15.99	0.00	15.99	90.0	0.32
06/30/2018	HALL, SCOTT	NW DESTINATION B2 05	0.00	590.36	0.00	590.36	90.0	11.81
06/30/2018	FURNEY, DANNY	NW DESTINATION B2 05	0.00	294.56	0.00	294.56	90.0	5.89
06/30/2018	WALTER, LAURA	NW DESTINATION B2 05	0.00	555.56	0.00	555.56	90.0	11.11
06/30/2018	GRUBB, BEVERLY	NWL DESTINATION EV2 01	0.00	42.68	0.00	42.68	90.0	0.85
06/25/2018	WILLIAMS, PEARLIE	PACIFIC EXPLORER	0.00	36.42	0.00	36.42	90.0	0.73
06/30/2018	RIVERS, BERTHA	PRU AS LIFEVEST II	0.00	359.76	0.00	359.76	90.0	7.20
06/30/2018	RIVERS, BERTHA	PRU AS LIFEVEST II	0.00	89.94	0.00	89.94	90.0	1.80
06/29/2018	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	0.00	59.68	0.00	59.68	90.0	1.19
06/04/2018	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	0.00	3.88	0.00	3.88	90.0	0.08
06/05/2018	MOORE, EILEEN	TRANSAMERICA LIBERTY	0.00	217.94	0.00	217.94	90.0	4.36
06/05/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	0.00	20.05	0.00	20.05	90.0	0.40
06/07/2018	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	0.00	4.81	0.00	4.81	90.0	0.10
06/07/2018	FRAZIER, JULIE	TRANSAMERICA LIBERTY	0.00	47.03	0.00	47.03	90.0	0.94
06/08/2018	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	0.00	75.46	0.00	75.46	90.0	1.51
06/09/2018	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	0.00	38.18	0.00	38.18	90.0	0.76
06/12/2018	LABERDEE, LARRY	TRANSAMERICA LIBERTY	0.00	27.92	0.00	27.92	90.0	0.56
06/13/2018	WODA, HELENE	TRANSAMERICA LIBERTY	0.00	31.11	0.00	31.11	90.0	0.62
06/13/2018	FURNEY, DANNY	TRANSAMERICA LIBERTY	0.00	205.06	0.00	205.06	90.0	4.10
06/13/2018	MERZ, EDWIN	TRANSAMERICA LIBERTY	0.00	128.34	0.00	128.34	90.0	2.57

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT, T118 THOMPSON #83</u>								
06/13/2018	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	0.00	66.45	0.00	66.45	90.0	1.33
06/15/2018	RICE, CHERYL	TRANSAMERICA LIBERTY	0.00	2.16	0.00	2.16	90.0	0.04
06/15/2018	JACOB, MARILYN	TRANSAMERICA LIBERTY	0.00	204.42	0.00	204.42	90.0	4.09
06/16/2018	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	0.00	90.13	0.00	90.13	90.0	1.80
06/16/2018	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	0.00	2.20	0.00	2.20	90.0	0.04
06/16/2018	RICE, SUSAN	TRANSAMERICA LIBERTY	0.00	97.50	0.00	97.50	90.0	1.95
06/17/2018	OSTER, JENNIFER	TRANSAMERICA LIBERTY	0.00	21.92	0.00	21.92	90.0	0.44
06/21/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	0.00	82.49	0.00	82.49	90.0	1.65
06/22/2018	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	0.00	64.17	0.00	64.17	90.0	1.28
06/22/2018	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	0.00	32.63	0.00	32.63	90.0	0.65
06/22/2018	JEFFERS, DAVID	TRANSAMERICA LIBERTY	0.00	92.70	0.00	92.70	90.0	1.85
06/23/2018	SEITZINGER, MARK	TRANSAMERICA LIBERTY	0.00	58.97	0.00	58.97	90.0	1.18
06/23/2018	MERZ, JOYCE	TRANSAMERICA LIBERTY	0.00	81.46	0.00	81.46	90.0	1.63
06/24/2018	HALL, WAYNE	TRANSAMERICA LIBERTY	0.00	133.37	0.00	133.37	90.0	2.67
06/24/2018	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	0.00	169.94	0.00	169.94	90.0	3.40
06/26/2018	WENZ, JAMES	TRANSAMERICA LIBERTY	0.00	20.25	0.00	20.25	90.0	0.41
06/26/2018	FISH, SANDRA	TRANSAMERICA LIBERTY	0.00	59.94	0.00	59.94	90.0	1.20
06/26/2018	RICE, PAMELA	TRANSAMERICA LIBERTY	0.00	51.07	0.00	51.07	90.0	1.02
06/27/2018	DAVIS, HOWARD	TRANSAMERICA LIBERTY	0.00	23.20	0.00	23.20	90.0	0.46
06/28/2018	COOPER, MARK	TRANSAMERICA LIBERTY	0.00	93.43	0.00	93.43	90.0	1.87
06/29/2018	SHAFFER, EMILY	TRANSAMERICA LIBERTY	0.00	133.16	0.00	133.16	90.0	2.66
* ROBERT, T118 THOMPSON SUBTOTAL *			\$30,934.53	\$8,634.03	\$0.00	\$8,634.03		\$172.71
<u>BROKER: MATTHEW NAVARRE #423</u>								
07/05/2018	COLLINS, VIOLETA	AM FUNDS BOND FUND OF AMERICA A	50.00	1.50	0.00	1.50	92.0	0.41
* MATTHEW NAVARRE SUBTOTAL *			\$50.00	\$1.50	\$0.00	\$1.50		\$0.41
*** OVERRIDE TRANSACTIONS TOTAL ***			\$31,734.53	\$13,131.57	\$0.00	\$13,131.57		\$487.90

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<u>ADJUSTMENTS</u>								
07/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
07/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*EO INS: NAVARRE 3/4 E&O	0.00					-225.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.98
07/15/2018		*TECHNOLOGY: ADMIN - DEB RYAN 1/3RD	0.00					-43.34
07/15/2018		*TECHNOLOGY: ADMIN - J BOYERS 1/3RD	0.00					-43.34
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
07/15/2018		*TECHNOLOGY: MONTHLY 3/4 TECH - NAVARRE	0.00					-206.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,175.91

BROKER COMMISSION TOTALS

Broker Transactions	\$8,067.84
Split Transactions	\$0.00
Override Transactions	\$487.90
Adjustments	\$-1,175.91
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,379.83
Year-to-date Gross	\$76,677.98
Year-to-date Earnings	\$74,445.21

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