

All Companies
STATEMENT
May 20, 2018

RONALD CRISP
5211 E ECHO PINES CIRCLE E

BROKER #: 121
BRANCH:

FT Pierce, FL 34951

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/01/0018	#4667465	DUBERSTEIN, CAROL	BULL-SVC	0.00	0.79	93.0	0.00	0.73	0.00	0.73
03/01/0018	#4667465	DUBERSTEIN, CAROL	BULL-SVC	0.00	2.37	93.0	0.00	2.20	0.00	2.20
03/01/0018	#4667465	DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.74	93.0	0.00	0.69	0.00	0.69
03/01/0018	#4667465	DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	2.21	93.0	0.00	2.06	0.00	2.06
03/01/0018	#1003036234	DUBERSTEIN,	GUGGENHEIM RYDEX	0.00	5.47	93.0	0.00	5.09	0.00	5.09
03/01/0018	#4667465	DUBERSTEIN, CAROL	LARGE-CAP GROWTH-SVC	0.00	0.80	93.0	0.00	0.74	0.00	0.74
03/01/0018	#4667465	DUBERSTEIN, CAROL	LARGE-CAP GROWTH-SVC	0.00	2.39	93.0	0.00	2.22	0.00	2.22
03/01/0018	#4667465	DUBERSTEIN, CAROL	MID-CAP-SVC	0.00	0.80	93.0	0.00	0.74	0.00	0.74
03/01/0018	#4667465	DUBERSTEIN, CAROL	MID-CAP-SVC	0.00	2.39	93.0	0.00	2.22	0.00	2.22
03/01/0018	#4667465	DUBERSTEIN, CAROL	PROFUNDS	0.00	0.80	93.0	0.00	0.74	0.00	0.74
03/01/0018	#4667465	DUBERSTEIN, CAROL	PROFUNDS	0.00	2.40	93.0	0.00	2.23	0.00	2.23
03/01/0018	#4667465	DUBERSTEIN, CAROL	SMALL-CAP VALUE-SVC	0.00	0.75	93.0	0.00	0.70	0.00	0.70
03/01/0018	#4667465	DUBERSTEIN, CAROL	SMALL-CAP VALUE-SVC	0.00	2.25	93.0	0.00	2.09	0.00	2.09
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$24.16		\$0.00	\$22.45	\$0.00	\$22.45

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/30/0201	#2030032776	WOLF, CHARLOTTE	SECURITY BEENFIT SBGTL	0.00	90.46	93.0	0.00	84.13	0.00	84.13
04/30/0201	#2030024482	CLIENT:	SECURITY BEENFIT SBGTL	0.00	15.27	93.0	0.00	14.20	0.00	14.20
04/30/0201	#2030024573	PARDO, HENRY	SECURITY BEENFIT SBGTL	0.00	7.29	93.0	0.00	6.78	0.00	6.78
04/30/0201	#2030032737	WOLF, JEROME	SECURITY BEENFIT SBGTL	0.00	304.97	93.0	0.00	283.62	0.00	283.62
04/30/0201	#2030032647	CHOATE BROWNE,	SECURITY BEENFIT SBGTL	0.00	44.13	93.0	0.00	41.04	0.00	41.04
04/30/0201	#2030032381	RUBINSKI, JANICE	SECURITY BEENFIT SBGTL	0.00	94.17	93.0	0.00	87.58	0.00	87.58
04/30/0201	#2030032356	DEHAAS, NICHOLAS	SECURITY BEENFIT SBGTL	0.00	10.85	93.0	0.00	10.09	0.00	10.09
04/30/0201	#2030032788	DEHAAS, NICHOLAS	SECURITY BEENFIT SBGTL	0.00	8.55	93.0	0.00	7.95	0.00	7.95
04/30/0201	#2030031985	CHALMERS, MARK	SECURITY BEENFIT SBGTL	0.00	31.13	93.0	0.00	28.95	0.00	28.95
04/30/0201	#2030024466	DUBERSTEIN,	SECURITY BEENFIT SBGTL	0.00	33.31	93.0	0.00	30.98	0.00	30.98
04/30/0201	#2030024574	PARDO, BETTY	SECURITY BEENFIT SBGTL	0.00	10.80	93.0	0.00	10.04	0.00	10.04
04/30/0201	#2030031984	NICHOLS,	SECURITY BEENFIT SBGTL	0.00	68.29	93.0	0.00	63.51	0.00	63.51

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/2021	#2030024557	PARDO, BETTY	SECURITY BEENFIT SGBTL	0.00	20.57	93.0	0.00	19.13	19.13
03/31/2018	#1007913687	DUBERSTEIN,	ADVS II (05/05)	84,597.51	70.50	93.0	0.00	65.57	65.57
03/31/2018	#1008140835	CHALMERS, MARK	L SERIES	33,271.01	36.04	93.0	0.00	33.52	33.52
03/31/2018	#1007968767	DUBERSTEIN,	L SERIES	234,823.02	195.69	93.0	0.00	181.99	181.99
03/31/2018	#1007968767	DUBERSTEIN,	L SERIES	61,304.05	66.41	93.0	0.00	61.76	61.76
03/31/2018	#1008140843	PARDO, HENRY	L SERIES	13,146.59	14.24	93.0	0.00	13.24	13.24
03/31/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	239,653.82	199.71	93.0	0.00	185.73	185.73
03/31/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	59,106.81	64.03	93.0	0.00	59.55	59.55
03/31/2018	#1008131941	WOLF, JEROME	L SERIES	91,632.29	99.27	93.0	0.00	92.32	92.32
03/31/2018	#1012526594	COOPER, MICHAEL	PERSP L 08/11	8,479.88	7.07	93.0	0.00	6.58	6.58
03/31/2018	#1010614380	DEHAAS, MARY	PERSP L 08/11	49,726.43	41.44	93.0	0.00	38.54	38.54
03/31/2018	#1011692156	MENDELSBERG,	PERSP L 08/11	34,314.46	28.60	93.0	0.00	26.60	26.60
03/31/2018	#1011926475	MENDELSBERG,	PERSP L 08/11	302,667.65	252.22	93.0	0.00	234.56	234.56
03/31/2018	#1012478620	RUBINSKI, JANICE	PERSP L 08/11	13,759.54	11.47	93.0	0.00	10.67	10.67
03/31/2018	#1010809238	WOLF, JEROME	PERSP L 08/11	150,791.98	125.66	93.0	0.00	116.86	116.86
04/03/2018	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	33.57	93.0	0.00	31.22	31.22
04/03/2018	#000673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.00	0.70	0.70
04/03/2018	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	8.39	93.0	0.00	7.80	7.80
04/03/2018	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	68.20	93.0	0.00	63.43	63.43
04/03/2018	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	17.05	93.0	0.00	15.86	15.86
04/03/2018	#000673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.75	93.0	0.00	0.70	0.70
04/30/2018	#1007913687	DUBERSTEIN,	ADVS II (05/05)	86,102.49	71.75	93.0	0.00	66.73	66.73
04/30/2018	#1008140835	CHALMERS, MARK	L SERIES	33,377.20	36.16	93.0	0.00	33.63	33.63
04/30/2018	#1007968767	DUBERSTEIN,	L SERIES	239,009.67	199.17	93.0	0.00	185.23	185.23
04/30/2018	#1007968767	DUBERSTEIN,	L SERIES	62,397.03	67.60	93.0	0.00	62.87	62.87
04/30/2018	#1008140843	PARDO, HENRY	L SERIES	13,380.98	14.50	93.0	0.00	13.49	13.49
04/30/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	240,801.01	200.67	93.0	0.00	186.62	186.62
04/30/2018	#1008131984	WOLF, CHARLOTTE	L SERIES	59,389.75	64.34	93.0	0.00	59.84	59.84
04/30/2018	#1008131941	WOLF, JEROME	L SERIES	92,684.05	100.41	93.0	0.00	93.38	93.38
04/30/2018	#1012526594	COOPER, MICHAEL	PERSP L 08/11	8,630.40	7.19	93.0	0.00	6.69	6.69
04/30/2018	#1010614380	DEHAAS, MARY	PERSP L 08/11	50,400.06	42.00	93.0	0.00	39.06	39.06
04/30/2018	#1011692156	MENDELSBERG,	PERSP L 08/11	34,783.16	28.99	93.0	0.00	26.96	26.96
04/30/2018	#1011926475	MENDELSBERG,	PERSP L 08/11	307,876.06	256.56	93.0	0.00	238.60	238.60
04/30/2018	#1012478620	RUBINSKI, JANICE	PERSP L 08/11	14,003.79	11.67	93.0	0.00	10.85	10.85
04/30/2018	#1010809238	WOLF, JEROME	PERSP L 08/11	151,617.41	126.35	93.0	0.00	117.51	117.51

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		* VARIABLE ANNUITIES SUBTOTAL *	\$2,771,728.10	\$3,308.21		\$0.00	\$3,076.66	\$0.00	\$3,076.66
		*** BROKER TRANSACTIONS TOTAL ***	\$2,771,728.10	\$3,332.37		\$0.00	\$3,099.11	\$0.00	\$3,099.11

ADJUSTMENTS

05/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,099.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,600.11
--------------	------------