

All Companies  
**STATEMENT**  
 April 20, 2018

JAMES FULTON  
 11276 Rt 322  
 SUITE 620  
 Shipperville, PA 16254

BROKER #: 183  
 BRANCH: LA BRANCH

COMMISSION STATEMENT for JAMES FULTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/07/2018	#66196689901 JOHNSON, PATTY	HARTFORD SMART529 STATIC GROWTH A	0.00	0.84	93.0	0.00	0.78	0.00	0.78
03/07/2018	#66752257501 BELLIS, KATHRYN	HARTFORD SMART529 STATIC GROWTH A	0.00	0.84	93.0	0.00	0.78	0.00	0.78
03/07/2018	#66752257502 BELLIS, KATHRYN	HARTFORD SMART529 STATIC GROWTH A	0.00	0.84	93.0	0.00	0.78	0.00	0.78
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.52		\$0.00	\$2.34	\$0.00	\$2.34
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/09/0018	#142014A85191424871 POWNEY, SECURITY BEENFIT SBGTL		0.00	2.00	93.0	0.00	1.86	0.00	1.86
03/09/0018	#142014A85191424871 POWNEY, SECURITY BEENFIT SBGTL		0.00	0.79	93.0	0.00	0.73	0.00	0.73
03/09/0018	#142014A80169683976 FRAZIER, SECURITY BEENFIT SBGTL		0.00	1.04	93.0	0.00	0.97	0.00	0.97
03/15/2018	#1010686900 HOOK, LINDA	PERSPECTIVE II	50.00	3.75	93.0	0.00	3.49	0.00	3.49
03/20/2018	#1016965398 MCANINCH, DEBORA	ELITE ACCESS	300.00	15.75	93.0	0.00	14.65	0.00	14.65
* VARIABLE ANNUITIES SUBTOTAL *			\$350.00	\$23.33		\$0.00	\$21.70	\$0.00	\$21.70
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/09/2018	# BELLIS, KATHRYN	DAIN TRAILER	0.00	0.12	93.0	0.00	0.11	0.00	0.11
03/09/2018	# POWNEY, SUSAN	DAIN TRAILER	0.00	0.99	93.0	0.00	0.92	0.00	0.92
03/09/2018	# POWNEY, SUSAN	DAIN TRAILER	0.00	7.18	93.0	0.00	6.68	0.00	6.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.29		\$0.00	\$7.71	\$0.00	\$7.71
*** BROKER TRANSACTIONS TOTAL ***			\$350.00	\$34.14		\$0.00	\$31.75	\$0.00	\$31.75

COMMISSION STATEMENT for JAMES FULTON

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ADJUSTMENTS

04/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
04/20/2018		ADMIN FEE: ADMIN FEE							-390.00
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE							-215.00
*** ADJUSTMENTS TOTAL ***									<u>\$-784.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$31.75
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$624.17
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	<u>\$-128.08</u>
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