



COMMISSION STATEMENT

June 25, 2018

LARRY SKARSET
3458 Bonita Avenue
Santa Clara, CA 95051

BROKER #: A41
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | GRIFFIN INST ACCESS REALESTATE FD C BUY 208 Units @28.7900 | 6,000.01 | 300.00 | 18.00 | 282.00 | 50.0 | 141.00 |
| 06/21/2018 | ***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259 | GRIFFIN INSTL ACCESS CREDIT FND CL BUY 9 Units @25.0000 | 235.00 | 2.35 | 18.00 | -15.65 | 100.0 | -15.65 |
| 06/21/2018 | ***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112 | GRIFFIN INSTL ACCESS CREDIT FND CL BUY 12 Units @25.0000 | 300.00 | 3.00 | 18.00 | -15.00 | 100.0 | -15.00 |
| 06/21/2018 | ***-**-834J - STARK, RICHARD & ACCOUNT #: LSR010120 | GRIFFIN INSTL ACCESS CREDIT FND CL BUY 28 Units @25.0000 | 700.00 | 7.00 | 18.00 | -11.00 | 100.0 | -11.00 |
| 06/21/2018 | ***-**-34IO - STARK, RICHARD ACCOUNT #: LSR011814 | GRIFFIN INSTL ACCESS CREDIT FND CL BUY 28 Units @25.0000 | 700.00 | 7.00 | 18.00 | -11.00 | 100.0 | -11.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$7,935.01 | \$319.35 | \$90.00 | \$229.35 | | \$88.35 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| 06/21/2018 | ***-**-98IN - SKARSET, LARRY ACCOUNT #: LSF000260 | BLACK KNIGHT INC COM BUY 220 Units @54.2210 | 11,928.62 | 116.06 | 11.19 | 104.87 | 50.0 | 52.44 |
| 06/21/2018 | ***-**-98IN - SKARSET, LARRY ACCOUNT #: LSF000260 | BLACK KNIGHT INC COM BUY 80 Units @54.2250 | 4,338.00 | 14.75 | 9.79 | 4.96 | 50.0 | 2.48 |
| 06/18/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | ISHARES TRUST AAA - A RATED CORP BD SELL 100 Units @50.4250 | 5,042.50 | 54.00 | 17.00 | 37.00 | 50.0 | 18.50 |
| 06/18/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | ISHARES TRUST AAA - A RATED CORP BD SELL 400 Units @50.4250 | 20,170.00 | 100.97 | 8.00 | 92.97 | 50.0 | 46.49 |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 200 Units @24.0640 | 4,812.80 | 16.37 | 19.00 | -2.63 | 100.0 | -2.63 |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 100 Units @24.0600 | 2,406.00 | 33.26 | 2.00 | 31.26 | 50.0 | 15.63 |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 100 Units @24.0600 | 2,406.00 | 12.78 | 2.00 | 10.78 | 50.0 | 5.39 |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 100 Units @24.0640 | 2,406.40 | 54.00 | 2.00 | 52.00 | 50.0 | 26.00 |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 100 Units @24.0640 | 2,406.40 | 8.18 | 2.00 | 6.18 | 50.0 | 3.09 |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 100 Units @24.0640 | 2,406.40 | 8.18 | 2.00 | 6.18 | 50.0 | 3.09 |



COMMISSION STATEMENT

June 25, 2018

LARRY SKARSET
3458 Bonita Avenue
Santa Clara, CA 95051

BROKER #: A41
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: STOCKS (continued) | | | | | | | | |
| 06/21/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | STRATEGY SHARES NASDAQ 7HANDL INDEX BUY 100 Units @24.0640 | 2,406.40 | 8.18 | 2.00 | 6.18 | 50.0 | 3.09 |
| * STOCKS SUBTOTAL * | | | \$60,729.52 | \$426.73 | \$76.98 | \$349.75 | | \$173.57 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 06/25/2018 | ***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112 | AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000 | 0.00 | 2.80 | 0.00 | 2.80 | 50.0 | 1.40 |
| 06/25/2018 | ***-**-17TR - KAY TTEE, ACCOUNT #: LSR010113 | FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000 | 0.00 | 5.67 | 0.00 | 5.67 | 50.0 | 2.84 |
| 06/25/2018 | ***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112 | INVESCO STRUCTURED CORE FUND -CLASS BUY 1 Units @0.0000 | 0.00 | 2.11 | 0.00 | 2.11 | 50.0 | 1.06 |
| 06/25/2018 | ***-**-9166 - MARTIN, LAWRENCE ACCOUNT #: LSR012552 | LORD ABBETT FLOATING RATE FD CL A BUY 1 Units @0.0000 | 0.00 | 1.31 | 0.00 | 1.31 | 50.0 | 0.66 |
| 06/25/2018 | ***-**-455K - RALLES, CAROL ACCOUNT #: LSR010275 | PIMCO TOTAL RETURN CLASS A BUY 1 Units @0.0000 | 0.00 | 2.53 | 0.00 | 2.53 | 50.0 | 1.27 |
| 06/25/2018 | ***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259 | THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000 | 0.00 | 19.72 | 0.00 | 19.72 | 50.0 | 9.86 |
| 06/25/2018 | ***-**-498I - SKARSET, LARRY ACCOUNT #: LSF000259 | THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000 | 0.00 | 9.87 | 0.00 | 9.87 | 50.0 | 4.94 |
| 06/25/2018 | ***-**-50TR - HULEGAARD TTEE, ACCOUNT #: LSR010112 | THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000 | 0.00 | 3.89 | 0.00 | 3.89 | 50.0 | 1.95 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$47.90 | \$0.00 | \$47.90 | | \$23.98 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$68,664.53 | \$793.98 | \$166.98 | \$627.00 | | \$285.90 |



BROKER #: A41
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|--------|------------|----------------------|---------------------|--------------------|-----------------------|------|----------------------|
| <div>BROKER COMMISSION TOTALS</div> | | | | | | | | |
| | | | | | | Broker Transactions | | \$285.90 |
| | | | | | | Split Transactions | | \$0.00 |
| | | | | | | Override Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |
| | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | FINRA Assessment | | \$0.00 |
| | | | | | | SIPC Assessment | | \$0.00 |
| | | | | | | Net Commission Earned | | \$285.90 |
| | | | | | | Year-to-date Earnings | | \$2,037.71 |