



COMMISSION STATEMENT

August 20, 2018

EDWARD FATLA
10301 West Lincoln Highway
Frankfort, IL 60423

BROKER #: 131
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/17/2018	***-**-1221 - REYNOLDS, EDDIE	AMCAP FUND-A	2.80	0.06	0.00	0.06	50.0	0.03
	ACCOUNT #: 04000021340	BUY 0 Units @34.6100						
08/17/2018	***-**-275P - SLUSI, VICTORIA	AMCAP FUND-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654215	BUY 1 Units @34.6100						
08/17/2018	***-**-275P - SLUSI, VICTORIA	AMCAP FUND-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654215	BUY 2 Units @34.6100						
08/17/2018	***-**-590I - SLUSI, PAUL	AMCAP FUND-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654256	BUY 1 Units @34.6100						
08/17/2018	***-**-590I - SLUSI, PAUL	AMCAP FUND-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654256	BUY 2 Units @34.6100						
08/15/2018	***-**-848I - RUSSO, JOHANNA	AMERICAN BALANCED FUND-A	75.01	2.06	3.00	-0.94	100.0	-0.94
	ACCOUNT #: LSR011325	BUY 3 Units @28.5300						
08/15/2018	***-**-559I - RUSSO, JOSEPH	AMERICAN BALANCED FUND-A	75.01	2.06	3.00	-0.94	100.0	-0.94
	ACCOUNT #: LSR011326	BUY 3 Units @28.5300						
08/15/2018	***-**-956I - RUSSO, ANTHONY	AMERICAN BALANCED FUND-A	51.99	2.60	3.00	-0.40	100.0	-0.40
	ACCOUNT #: LSR011643	BUY 2 Units @29.2100						
08/17/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN BALANCED FUND-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654215	BUY 1 Units @28.4100						
08/17/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN BALANCED FUND-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654215	BUY 2 Units @28.4100						
08/17/2018	***-**-92SI - SPARKS, DAVID	AMERICAN BALANCED FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @28.4100						
08/17/2018	***-**-92SI - SPARKS, DAVID	AMERICAN BALANCED FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @28.4100						
08/17/2018	***-**-590I - SLUSI, PAUL	AMERICAN BALANCED FUND-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654256	BUY 1 Units @28.4100						
08/17/2018	***-**-590I - SLUSI, PAUL	AMERICAN BALANCED FUND-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654256	BUY 2 Units @28.4100						
08/17/2018	***-**-590I - SLUSI, PAUL	AMERICAN FUNDS 2020 TARGET DATE-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654256	BUY 2 Units @13.0500						
08/17/2018	***-**-590I - SLUSI, PAUL	AMERICAN FUNDS 2020 TARGET DATE-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654256	BUY 5 Units @13.0500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/17/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654215	BUY 1 Units @14.0100						
08/17/2018	***-**-275P - SLUSI, VICTORIA	AMERICAN FUNDS 2025 TARGET DATE-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654215	BUY 4 Units @14.0100						
08/17/2018	***-**-8658 - WADE, CHAD	AMERICAN FUNDS 2035 TARGET DATE-A	60.85	1.22	0.00	1.22	50.0	0.61
	ACCOUNT #: 00089799621	BUY 4 Units @15.6600						
08/17/2018	***-**-8658 - WADE, CHAD	AMERICAN FUNDS 2035 TARGET DATE-A	60.85	1.22	0.00	1.22	50.0	0.61
	ACCOUNT #: 00089799621	BUY 4 Units @15.6600						
08/17/2018	***-**-5873 - VANDERMULL,	AMERICAN FUNDS 2050 TARGET DATE-A	19.68	0.39	0.00	0.39	50.0	0.20
	ACCOUNT #: 04000722155	BUY 1 Units @16.0200						
08/17/2018	***-**-5873 - VANDERMULL,	AMERICAN FUNDS 2050 TARGET DATE-A	19.68	0.39	0.00	0.39	50.0	0.20
	ACCOUNT #: 04000722155	BUY 1 Units @16.0200						
08/17/2018	***-**-92SI - SPARKS, DAVID	EUROPACIFIC GROWTH FUND-A	6.31	0.13	0.00	0.13	50.0	0.07
	ACCOUNT #: 00087654239	BUY 0 Units @53.6400						
08/17/2018	***-**-92SI - SPARKS, DAVID	EUROPACIFIC GROWTH FUND-A	6.31	0.13	0.00	0.13	50.0	0.07
	ACCOUNT #: 00087654239	BUY 0 Units @53.6400						
08/15/2018	***-**-848I - RUSSO, JOHANNA	FUNDAMENTAL INVESTORS-A	124.97	3.44	3.00	0.44	50.0	0.22
	ACCOUNT #: LSR011325	BUY 2 Units @65.6000						
08/15/2018	***-**-559I - RUSSO, JOSEPH	FUNDAMENTAL INVESTORS-A	124.97	3.44	3.00	0.44	50.0	0.22
	ACCOUNT #: LSR011326	BUY 2 Units @65.6000						
08/15/2018	***-**-956I - RUSSO, ANTHONY	FUNDAMENTAL INVESTORS-A	65.01	3.25	3.00	0.25	50.0	0.13
	ACCOUNT #: LSR011643	BUY 1 Units @67.1600						
08/17/2018	***-**-1221 - REYNOLDS, EDDIE	FUNDAMENTAL INVESTORS-A	1.87	0.04	0.00	0.04	50.0	0.02
	ACCOUNT #: 04000021340	BUY 0 Units @65.5600						
08/15/2018	***-**-848I - RUSSO, JOHANNA	SMALLCAP WORLD FUND-A	99.98	2.75	3.00	-0.25	100.0	-0.25
	ACCOUNT #: LSR011325	BUY 2 Units @60.5600						
08/15/2018	***-**-559I - RUSSO, JOSEPH	SMALLCAP WORLD FUND-A	99.98	2.75	3.00	-0.25	100.0	-0.25
	ACCOUNT #: LSR011326	BUY 2 Units @60.5600						
08/15/2018	***-**-956I - RUSSO, ANTHONY	SMALLCAP WORLD FUND-A	64.99	3.25	3.00	0.25	50.0	0.13
	ACCOUNT #: LSR011643	BUY 1 Units @62.0100						
08/17/2018	***-**-92SI - SPARKS, DAVID	SMALLCAP WORLD FUND-A	6.31	0.13	0.00	0.13	50.0	0.07
	ACCOUNT #: 00087654239	BUY 0 Units @60.3500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/17/2018	***-**-92SI - SPARKS, DAVID	SMALLCAP WORLD FUND-A	6.31	0.13	0.00	0.13	50.0	0.07
	ACCOUNT #: 00087654239	BUY 0 Units @60.3500						
08/15/2018	***-**-848I - RUSSO, JOHANNA	THE GROWTH FUND OF AMERICA-A	150.01	4.12	3.00	1.12	50.0	0.56
	ACCOUNT #: LSR011325	BUY 3 Units @56.4800						
08/15/2018	***-**-559I - RUSSO, JOSEPH	THE GROWTH FUND OF AMERICA-A	150.01	4.12	3.00	1.12	50.0	0.56
	ACCOUNT #: LSR011326	BUY 3 Units @56.4800						
08/15/2018	***-**-956I - RUSSO, ANTHONY	THE GROWTH FUND OF AMERICA-A	78.00	3.90	3.00	0.90	50.0	0.45
	ACCOUNT #: LSR011643	BUY 1 Units @57.8200						
08/17/2018	***-**-5873 - VANDERMULL,	THE GROWTH FUND OF AMERICA-A	19.67	0.39	0.00	0.39	50.0	0.20
	ACCOUNT #: 04000722155	BUY 0 Units @56.0500						
08/17/2018	***-**-5873 - VANDERMULL,	THE GROWTH FUND OF AMERICA-A	19.67	0.39	0.00	0.39	50.0	0.20
	ACCOUNT #: 04000722155	BUY 0 Units @56.0500						
08/17/2018	***-**-92SI - SPARKS, DAVID	THE GROWTH FUND OF AMERICA-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @56.0500						
08/17/2018	***-**-92SI - SPARKS, DAVID	THE GROWTH FUND OF AMERICA-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @56.0500						
08/17/2018	***-**-1221 - REYNOLDS, EDDIE	THE INCOME FUND OF AMERICA-A	1.87	0.04	0.00	0.04	50.0	0.02
	ACCOUNT #: 04000021340	BUY 0 Units @23.8300						
08/17/2018	***-**-275P - SLUSI, VICTORIA	THE INCOME FUND OF AMERICA-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654215	BUY 1 Units @23.8300						
08/17/2018	***-**-275P - SLUSI, VICTORIA	THE INCOME FUND OF AMERICA-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654215	BUY 3 Units @23.8300						
08/17/2018	***-**-590I - SLUSI, PAUL	THE INCOME FUND OF AMERICA-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654256	BUY 1 Units @23.8300						
08/17/2018	***-**-590I - SLUSI, PAUL	THE INCOME FUND OF AMERICA-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654256	BUY 3 Units @23.8300						
08/17/2018	***-**-1221 - REYNOLDS, EDDIE	THE INVESTMENT COMPANY OF AMERICA-A	2.80	0.06	0.00	0.06	50.0	0.03
	ACCOUNT #: 04000021340	BUY 0 Units @42.3900						
08/17/2018	***-**-275P - SLUSI, VICTORIA	THE INVESTMENT COMPANY OF AMERICA-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654215	BUY 0 Units @42.3900						
08/17/2018	***-**-275P - SLUSI, VICTORIA	THE INVESTMENT COMPANY OF AMERICA-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654215	BUY 1 Units @42.3900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/17/2018	***-**-590I - SLUSI, PAUL	THE INVESTMENT COMPANY OF AMERICA-A	21.00	0.42	0.00	0.42	50.0	0.21
	ACCOUNT #: 00087654256	BUY 0 Units @42.3900						
08/17/2018	***-**-590I - SLUSI, PAUL	THE INVESTMENT COMPANY OF AMERICA-A	60.00	1.20	0.00	1.20	50.0	0.60
	ACCOUNT #: 00087654256	BUY 1 Units @42.3900						
08/15/2018	***-**-848I - RUSSO, JOHANNA	U.S. GOVERNMENT SECURITIES FUND CLA	50.00	1.38	3.00	-1.62	100.0	-1.62
	ACCOUNT #: LSR011325	BUY 4 Units @13.8800						
08/15/2018	***-**-559I - RUSSO, JOSEPH	U.S. GOVERNMENT SECURITIES FUND CLA	50.00	1.38	3.00	-1.62	100.0	-1.62
	ACCOUNT #: LSR011326	BUY 4 Units @13.8800						
08/17/2018	***-**-92SI - SPARKS, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @47.2300						
08/17/2018	***-**-92SI - SPARKS, DAVID	WASHINGTON MUTUAL INVESTORS FUND-A	12.63	0.25	0.00	0.25	50.0	0.13
	ACCOUNT #: 00087654239	BUY 0 Units @47.2300						
* MUTUAL FUNDS SUBTOTAL *			\$2,380.69	\$62.92	\$42.00	\$20.92		\$7.53

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

/ /	***-**-848I - RUSSO, JOHANNA	AMERICAN BALANCED FUND-A	0.00	2.86	0.00	2.86	50.0	1.43
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
/ /	***-**-559I - RUSSO, JOSEPH	AMERICAN BALANCED FUND-A	0.00	3.61	0.00	3.61	50.0	1.81
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
/ /	***-**-956I - RUSSO, ANTHONY	AMERICAN BALANCED FUND-A	0.00	0.23	0.00	0.23	50.0	0.12
	ACCOUNT #: LSR011643	BUY 1 Units @0.0000						
/ /	***-**-9556 - LICARI, JANIS	AMERICAN BALANCED FUND-A	0.00	23.44	0.00	23.44	50.0	11.72
	ACCOUNT #: LSR011836	BUY 1 Units @0.0000						
/ /	***-**-9556 - LICARI, JANIS	AMERICAN CORPORATE BOND FUND A	0.00	15.58	0.00	15.58	50.0	7.79
	ACCOUNT #: LSR011836	BUY 1 Units @0.0000						
/ /	***-**-848I - RUSSO, JOHANNA	FUNDAMENTAL INVESTORS-A	0.00	5.46	0.00	5.46	50.0	2.73
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
/ /	***-**-559I - RUSSO, JOSEPH	FUNDAMENTAL INVESTORS-A	0.00	6.89	0.00	6.89	50.0	3.45
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
/ /	***-**-956I - RUSSO, ANTHONY	FUNDAMENTAL INVESTORS-A	0.00	0.30	0.00	0.30	50.0	0.15
	ACCOUNT #: LSR011643	BUY 1 Units @0.0000						
/ /	***-**-848I - RUSSO, JOHANNA	SMALLCAP WORLD FUND-A	0.00	4.44	0.00	4.44	50.0	2.22
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-559I - RUSSO, JOSEPH	SMALLCAP WORLD FUND-A	0.00	5.46	0.00	5.46	50.0	2.73
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
/ /	***-**-956I - RUSSO, ANTHONY	SMALLCAP WORLD FUND-A	0.00	0.31	0.00	0.31	50.0	0.16
	ACCOUNT #: LSR011643	BUY 1 Units @0.0000						
/ /	***-**-848I - RUSSO, JOHANNA	THE GROWTH FUND OF AMERICA-A	0.00	6.94	0.00	6.94	50.0	3.47
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
/ /	***-**-559I - RUSSO, JOSEPH	THE GROWTH FUND OF AMERICA-A	0.00	9.11	0.00	9.11	50.0	4.56
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
/ /	***-**-956I - RUSSO, ANTHONY	THE GROWTH FUND OF AMERICA-A	0.00	0.39	0.00	0.39	50.0	0.20
	ACCOUNT #: LSR011643	BUY 1 Units @0.0000						
/ /	***-**-9556 - LICARI, JANIS	THE GROWTH FUND OF AMERICA-A	0.00	27.06	0.00	27.06	50.0	13.53
	ACCOUNT #: LSR011836	BUY 1 Units @0.0000						
/ /	***-**-9556 - LICARI, JANIS	THE INCOME FUND OF AMERICA-A	0.00	22.68	0.00	22.68	50.0	11.34
	ACCOUNT #: LSR011836	BUY 1 Units @0.0000						
/ /	***-**-9556 - LICARI, JANIS	THE INVESTMENT COMPANY OF AMERICA-A	0.00	24.59	0.00	24.59	50.0	12.30
	ACCOUNT #: LSR011836	BUY 1 Units @0.0000						
/ /	***-**-848I - RUSSO, JOHANNA	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	1.61	0.00	1.61	50.0	0.81
	ACCOUNT #: LSR011325	BUY 1 Units @0.0000						
/ /	***-**-559I - RUSSO, JOSEPH	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	2.21	0.00	2.21	50.0	1.11
	ACCOUNT #: LSR011326	BUY 1 Units @0.0000						
08/20/2018	***-**-556H - CO, PFPC TRUST	VIRTUS MF TRAILS	0.00	3.07	0.00	3.07	50.0	1.54
		BUY 0 Units @0.0000						
08/20/2018	***-**-875I - DISANTO, ROSINA	VIRTUS MF TRAILS	0.00	4.79	0.00	4.79	50.0	2.40
		BUY 0 Units @0.0000						
08/20/2018	***-**-115R - CO, PFPC TRUST	VIRTUS MF TRAILS	0.00	0.80	0.00	0.80	50.0	0.40
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$171.83	\$0.00	\$171.83		\$85.97
*** BROKER TRANSACTIONS TOTAL ***			\$2,380.69	\$234.75	\$42.00	\$192.75		\$93.50



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Broker Transactions	\$93.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$55.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$148.86
Year-to-date Earnings	\$3,264.74