



Concorde Investment Services, LLC
COMMISSION STATEMENT
October 31, 2017

10/30/2017
Page No. 1

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
10/06/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	20.44	0.00	20.44	92.0	18.80
		* PRIVATE PLACEMENT SUBTOTAL *	\$0.00	\$20.44	\$0.00	\$20.44		\$18.80
<u>PRODUCT CATEGORY: REIT</u>								
10/18/2017	BAUTISTA, FEDERICO	CARTER VALIDUS MC REIT II CLASS A	20,000.00	1,400.00	0.00	1,400.00	92.0	1,288.00
09/30/2017	ENCINA, OPHELIA	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	59.13	0.00	59.13	92.0	54.40
		* REIT SUBTOTAL *	\$20,000.00	\$1,459.13	\$0.00	\$1,459.13		\$1,342.40
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
		* 529 PLANS SUBTOTAL *	\$0.00	\$2.00	\$0.00	\$2.00		\$1.84
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/13/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
10/13/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
10/01/2017	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	9.51	0.00	9.51	92.0	8.75
10/01/2017	CROCKETT, PATRICIA	MFS LIMITED MATURITY 529A	0.00	16.83	0.00	16.83	92.0	15.48
10/11/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
10/10/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$1,800.00	\$91.60	\$0.00	\$91.60		\$84.27
<u>PRODUCT CATEGORY: STOCKS</u>								
10/16/2017	LAXER TRUST, JACK & JEANETTE	NEW YORK REIT INC COM	-24,087.31	240.87	26.65	214.22	92.0	197.08
		* STOCKS SUBTOTAL *	\$-24,087.31	\$240.87	\$26.65	\$214.22		\$197.08
		*** BROKER TRANSACTIONS TOTAL ***	\$-2,287.31	\$1,814.04	\$26.65	\$1,787.39		\$1,644.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,644.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,644.39
Year-to-date Gross	\$222,960.67
Year-to-date Earnings	\$204,235.92