

COMMISSION STATEMENT July 15, 2018

DOUG GRAUMANN-D365
 101 S. Wenatchee Avenue
 Suite C
 Wenatchee, WA 98801

BROKER #: D365
 BRANCH: D12

COMMISSION STATEMENT for DOUG GRAUMANN-D365

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/01/2018	03911802969	POOL, BARBARA	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.71	0.00	0.71	85.0	0.60
07/01/2018	03911802977	POOL, DONALD	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.94	0.00	1.94	85.0	1.65
07/01/2018	03911802977	POOL, DONALD	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.26	0.00	0.26	85.0	0.22
07/01/2018	03911802977	POOL, DONALD	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.95	0.00	0.95	85.0	0.81
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.86	\$0.00	\$3.86		\$3.28
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
06/30/2018	(None)	ROSKOS, JOHN	VAT ALLIANZ LIFE	B	0.00	449.17	0.00	449.17	85.0	381.79
06/30/2018	(None)	HOLCOMB, CLAUDIA	VAT ALLIANZ LIFE	B	0.00	273.99	0.00	273.99	85.0	232.89
06/30/2018	(None)	OLIVER, DAVID	VAT ALLIANZ LIFE	B	0.00	718.55	0.00	718.55	85.0	610.77
06/30/2018	(None)	WYNNE, ROBERT	VAT ALLIANZ LIFE	B	0.00	51.36	0.00	51.36	85.0	43.66
06/30/2018	(None)	BABCOCK, VIRGINIA	VAT ALLIANZ LIFE	B	0.00	143.62	0.00	143.62	85.0	122.08
				* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,636.69	\$0.00	\$1,636.69		\$1,391.19
				*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$1,640.55	\$0.00	\$1,640.55		\$1,394.47

BROKER COMMISSION TOTALS

Broker Transactions	\$1,394.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,394.47
Year-to-date Commissions	\$28,233.96