

All Companies
STATEMENT
August 20, 2018

STEVE DAVENPORT
204-B E Washington St
SUITE 620
Glasgow, KY 42141

BROKER #: 051
BRANCH:

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/29/2018 #	BRUTON, WENDELL	BOEING CO	101,814.42	50.00	90.0	0.00	45.00	18.00	27.00
06/29/2018 #	DAVENPORT, JAMES	JOHNSON & JOHNSON	122,000.00	50.00	90.0	0.00	45.00	18.00	27.00
07/03/2018 #	JEWELL, LARRY	GENERAL ELECTRIC CO	26,678.00	50.00	90.0	0.00	45.00	18.00	27.00
07/03/2018 #	JEWELL, LARRY	UNITED STATES STL CORP NEW	33,875.01	50.00	90.0	0.00	45.00	18.00	27.00
07/05/2018 #	DAVENPORT, JAMES	JOHNSON & JOHNSON	123,606.00	50.00	90.0	0.00	45.00	18.00	27.00
07/06/2018 #	BRUTON, WENDELL	BLUEBIRD BIO INC	49,897.50	50.00	90.0	0.00	45.00	18.00	27.00
07/06/2018 #	BRUTON, WENDELL	NVIDIA CORP	73,854.12	50.00	90.0	0.00	45.00	18.00	27.00
07/09/2018 #	UST, THE PERKINS FAM	FACEBOOK INC	61,391.70	50.00	90.0	0.00	45.00	18.00	27.00
07/09/2018 #	UST, THE PERKINS FAM	SCHLUMBERGER LTD	34,139.50	50.00	90.0	0.00	45.00	18.00	27.00
07/12/2018 #	BRUTON, WENDELL	BROADCOM LIMITED SHS	60,786.20	50.00	90.0	0.00	45.00	18.00	27.00
07/12/2018 #	UST, THE PERKINS FAM	BROADCOM LIMITED SHS	40,217.00	50.00	90.0	0.00	45.00	18.00	27.00
07/13/2018 #	DAVENPORT, JAMES	VERTEX PHARMACEUTICALS INC	86,250.00	50.00	90.0	0.00	45.00	18.00	27.00
07/16/2018 #	BRUTON, WENDELL	NETFLIX COM INC	159,978.50	50.00	90.0	0.00	45.00	18.00	27.00
07/20/2018 #	UST, THE PERKINS FAM	ULTA BEAUTY INC COMMON STOCK	75,000.00	400.00	90.0	0.00	360.00	18.00	342.00
07/20/2018 #	DAVENPORT, JAMES	VERTEX PHARMACEUTICALS INC	88,850.00	50.00	90.0	0.00	45.00	18.00	27.00
07/20/2018 #	DAVENPORT, JAMES	VERTEX PHARMACEUTICALS INC	88,750.00	50.00	90.0	0.00	45.00	18.00	27.00
07/23/2018 #	BRUTON, WENDELL	BROADCOM LIMITED SHS	64,743.00	50.00	90.0	0.00	45.00	18.00	27.00
07/23/2018 #	DAVENPORT, JAMES	VERTEX PHARMACEUTICALS INC	88,625.00	50.00	90.0	0.00	45.00	18.00	27.00
07/25/2018 #	UST, THE PERKINS FAM	FACEBOOK INC	65,430.00	100.00	90.0	0.00	90.00	18.00	72.00
07/27/2018 #	UST, THE PERKINS FAM	PAYPAL HOLDINGS INC COM	45,020.00	50.00	90.0	0.00	45.00	18.00	27.00
07/27/2018 #	UST, THE PERKINS FAM	PAYPAL HOLDINGS INC COM	87,500.00	50.00	90.0	0.00	45.00	18.00	27.00
* STOCKS SUBTOTAL *			\$1,578,405.95	\$1,450.00		\$0.00	\$1,305.00	\$378.00	\$927.00

PRODUCT CATEGORY: OPTIONS

07/02/2018 #	DAVENPORT, JAMES	CALL JNJ	07/06/18	122	500.00	50.00	90.0	0.00	45.00	30.50	14.50
07/02/2018 #	UST, THE PERKINS FAM	CALL PYPL	07/27/18	87	1,500.00	50.00	90.0	0.00	45.00	30.50	14.50
07/02/2018 #	DAVENPORT, JAMES	PUT CELG	07/06/18	78	650.00	50.00	90.0	0.00	45.00	30.50	14.50
07/02/2018 #	DAVENPORT, JAMES	PUT ULTA	07/06/18	230	810.00	50.00	90.0	0.00	45.00	21.75	23.25

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<u>PRODUCT CATEGORY: OPTIONS</u>									
07/06/2018 #	DAVENPORT, JAMES	CALL JNJ	07/06/18 122	2,990.00	50.00	90.0	0.00	45.00	14.50
07/09/2018 #	DAVENPORT, JAMES	CALL CAT	07/13/18 139	1,160.00	50.00	90.0	0.00	45.00	20.75
07/09/2018 #	DAVENPORT, JAMES	CALL NKE	07/13/18 76	1,300.00	50.00	90.0	0.00	45.00	14.50
07/09/2018 #	DAVENPORT, JAMES	CALL VRTX	07/13/18 172.50	1,375.00	50.00	90.0	0.00	45.00	20.75
07/10/2018 #	UST, THE PERKINS FAM	CALL FB	08/03/18 215	837.00	50.00	90.0	0.00	45.00	23.25
07/11/2018 #	DAVENPORT, JAMES	PUT CAT	07/13/18 137	415.00	50.00	90.0	0.00	45.00	20.75
07/11/2018 #	DAVENPORT, JAMES	PUT CELG	07/13/18 83	400.00	50.00	90.0	0.00	45.00	17.00
07/11/2018 #	DAVENPORT, JAMES	PUT VRTX	07/13/18 172.50	400.00	50.00	90.0	0.00	45.00	20.75
07/13/2018 #	DAVENPORT, JAMES	CALL CAT	07/13/18 139	750.00	50.00	90.0	0.00	45.00	20.75
07/13/2018 #	UST, THE PERKINS FAM	CALL MU	01/18/19 65	6,420.00	75.00	90.0	0.00	67.50	30.75
07/13/2018 #	DAVENPORT, JAMES	CALL NKE	07/13/18 76	1,400.00	50.00	90.0	0.00	45.00	14.50
07/16/2018 #	DAVENPORT, JAMES	CALL NKE	07/20/18 77	1,150.00	50.00	90.0	0.00	45.00	14.50
07/16/2018 #	DAVENPORT, JAMES	PUT VRTX	07/20/18 177.50	625.00	50.00	90.0	0.00	45.00	20.75
07/17/2018 #	BRUTON, WENDELL	CALL NFLX	09/21/18 400	4,504.00	50.00	90.0	0.00	45.00	22.00
07/17/2018 #	DAVENPORT, JAMES	CALL TSLA	07/20/18 315	1,200.00	2.00	90.0	0.00	1.80	-19.20
07/17/2018 #	DAVENPORT, JAMES	CALL VHC	12/22/12 32	-1,200.00	-2.00	90.0	0.00	-1.80	-22.80
07/17/2018 #	DAVENPORT, JAMES	CALL VHC	12/22/12 32	1,200.00	50.00	90.0	0.00	45.00	24.00
07/18/2018 #	UST, THE PERKINS FAM	CALL ULTA	07/20/18 250	732.00	50.00	90.0	0.00	45.00	23.25
07/18/2018 #	JEWELL, LARRY	CALL X	05/18/18 45	1,690.00	50.00	90.0	0.00	45.00	14.50
07/18/2018 #	DAVENPORT, JAMES	PUT NKE	07/20/18 77	400.00	50.00	90.0	0.00	45.00	14.50
07/18/2018 #	DAVENPORT, JAMES	PUT TSLA	07/20/18 310	400.00	50.00	90.0	0.00	45.00	24.00
07/19/2018 #	UST, THE PERKINS FAM	CALL AVGO	09/21/18 230	1,432.00	50.00	90.0	0.00	45.00	22.00
07/19/2018 #	DAVENPORT, JAMES	PUT VRTX	07/20/18 177.50	400.00	50.00	90.0	0.00	45.00	20.75
07/20/2018 #	DAVENPORT, JAMES	CALL NKE	07/20/18 77	80.00	50.00	90.0	0.00	45.00	14.50
07/20/2018 #	DAVENPORT, JAMES	PUT NKE	07/20/18 77	200.00	50.00	90.0	0.00	45.00	14.50
07/20/2018 #	DAVENPORT, JAMES	PUT VRTX	07/20/18 177.50	375.00	50.00	90.0	0.00	45.00	20.75
07/23/2018 #	UST, THE PERKINS FAM	CALL AAPL	09/21/18 200	793.00	50.00	90.0	0.00	45.00	23.25
07/23/2018 #	DAVENPORT, JAMES	CALL NKE	07/27/18 77	650.00	50.00	90.0	0.00	45.00	14.50
07/23/2018 #	CAUDEL, CHARLES	CALL X	05/18/18 45	627.00	50.00	90.0	0.00	45.00	23.25
07/23/2018 #	UST, THE PERKINS FAM	PUT PYPL	07/27/18 87.50	1,870.00	50.00	90.0	0.00	45.00	14.50
07/23/2018 #	DAVENPORT, JAMES	PUT WYNN	07/27/18 162.50	1,500.00	50.00	90.0	0.00	45.00	14.50
07/24/2018 #	DAVENPORT, JAMES	CALL BIIB	07/27/18 382.50	2,206.00	50.00	90.0	0.00	45.00	24.00
07/24/2018 #	UST, THE PERKINS FAM	CALL X	08/03/18 40	1,000.00	50.00	90.0	0.00	45.00	14.50
07/24/2018 #	DAVENPORT, JAMES	PUT NKE	07/27/18 76	450.00	50.00	90.0	0.00	45.00	14.50
07/25/2018 #	BASIL, DANNY	CALL BIIB	07/27/18 387.50	864.00	50.00	90.0	0.00	45.00	24.00
07/25/2018 #	DAVENPORT, JAMES	PUT BIIB	07/27/18 350	858.00	50.00	90.0	0.00	45.00	24.00

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<u>PRODUCT CATEGORY: OPTIONS</u>									
07/25/2018 #	BASIL, DANNY	PUT BIIB 07/27/18	360	619.00	50.00	90.0	0.00	45.00	24.00
07/25/2018 #	DAVENPORT, JAMES	PUT WHR 07/27/18	127	600.00	50.00	90.0	0.00	45.00	20.75
07/26/2018 #	DAVENPORT, JAMES	CALL NKE 07/27/18	77	250.00	50.00	90.0	0.00	45.00	14.50
07/26/2018 #	DAVENPORT, JAMES	CALL WHR 07/27/18	128	200.00	50.00	90.0	0.00	45.00	20.75
07/27/2018 #	DAVENPORT, JAMES	CALL NKE 07/27/18	77	800.00	50.00	90.0	0.00	45.00	14.50
07/27/2018 #	DAVENPORT, JAMES	CALL NKE 07/27/18	77	200.00	50.00	90.0	0.00	45.00	14.50
07/27/2018 #	DAVENPORT, JAMES	CALL WHR 07/27/18	128	1,000.00	50.00	90.0	0.00	45.00	20.75
07/27/2018 #	DAVENPORT, JAMES	PUT BIIB 07/27/18	350	1,600.00	50.00	90.0	0.00	45.00	24.00
07/27/2018 #	BASIL, DANNY	PUT BIIB 07/27/18	360	1,800.00	50.00	90.0	0.00	45.00	24.00
07/30/2018 #	BASIL, DANNY	PUT BIIB 08/03/18	337.50	840.00	50.00	90.0	0.00	45.00	24.00
07/30/2018 #	DAVENPORT, JAMES	PUT BIIB 08/03/18	337.50	800.00	50.00	90.0	0.00	45.00	24.00
07/30/2018 #	DAVENPORT, JAMES	PUT PYPL 08/03/18	82	900.00	50.00	90.0	0.00	45.00	14.50
07/30/2018 #	DAVENPORT, JAMES	PUT TWTR 08/03/18	33	1,900.00	75.00	90.0	0.00	67.50	24.50
* OPTIONS SUBTOTAL *			\$56,422.00	\$2,600.00	\$0.00	\$2,340.00	\$1,391.25	\$948.75	
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	5.64	90.0	0.00	5.08	0.00	5.08
07/11/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	4.99	90.0	0.00	4.49	0.00	4.49
07/11/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	6.85	90.0	0.00	6.17	0.00	6.17
07/11/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	6.06	90.0	0.00	5.45	0.00	5.45
07/11/2018 #	ANDERSON, RUTH	DAIN TRAILER	0.00	8.75	90.0	0.00	7.88	0.00	7.88
07/11/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	2.64	90.0	0.00	2.38	0.00	2.38
07/11/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	3.56	90.0	0.00	3.20	0.00	3.20
07/11/2018 #	ANDERSON, JOHN	DAIN TRAILER	0.00	4.40	90.0	0.00	3.96	0.00	3.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$42.89	\$0.00	\$38.61	\$0.00	\$38.61	
*** BROKER TRANSACTIONS TOTAL ***			\$1,634,827.95	\$4,092.89	\$0.00	\$3,683.61	\$1,769.25	\$1,914.36	

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ADJUSTMENTS

08/20/2018		ADMIN FEE: ADMIN FEE							-320.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		QUOTES: QUOTES							-132.00
08/20/2018		OTHER: OTHER REVERSAL OF MANUAL							50.00
		PROCESS FEES (\$25 X 2) FOR JUNE							
		COMMIS							
*** ADJUSTMENTS TOTAL ***									\$-581.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,914.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-581.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,333.36
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