



COMMISSION STATEMENT

July 30, 2018

STANLEY (DARRELL) KEATHLEY
200 Prosperity Drive
Suite 133
Knoxville, TN 37923

BROKER #: B07
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/25/2018	***-**-20IR - PFAFF, SHEILA	TRS SMALL/MID CAP VALUE A NFS	20,000.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011999	SELL 706 Units @28.3200						
07/25/2018	***-**-20IR - PFAFF, SHEILA	VICTORY CEMP US 500 ENH VOL WTD IND	57,000.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011999	SELL 3347 Units @17.0300						
* MUTUAL FUNDS SUBTOTAL *			\$77,000.02	\$0.00	\$36.00	\$-36.00		\$-36.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/20/2018	***-**-926I - VONSTEMPA, JOYCE	JACKSON PERSPECTIVE II ADDITIONAL D	49,591.07	3,471.37	0.00	3,471.37	80.0	2,777.10
		BUY 1 Units @49751.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$49,591.07	\$3,471.37	\$0.00	\$3,471.37		\$2,777.10
<u>PRODUCT CATEGORY: RIA</u>								
07/31/2018	***-**-02FB - LIEBOLD, CHRISTY HOWARD	CAPITAL MANAGMENT RIA FEE	72.74	72.74	0.00	72.74	80.0	58.19
	ACCOUNT #: RG9873200R	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$72.74	\$72.74	\$0.00	\$72.74		\$58.19
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
07/26/2018	***-**-20IR - PFAFF, SHEILA	TASTY BRANDS LP CLASS A	100,000.00	0.00	24.00	-24.00	100.0	-24.00
	ACCOUNT #: LSR011999	BUY 4000 Units @25.0000						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$100,000.00	\$0.00	\$24.00	\$-24.00		\$-24.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/25/2018	***-**-20IR - PFAFF, SHEILA	SUNAMERICA FOCUSED DIVIDEND STRAT C	23,099.99	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: LSR011999	SELL 1275 Units @18.1200						
07/31/2018	***-**-39IO - MOYERS, MICHELLE	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	0.72	0.00	0.72	80.0	0.58
	ACCOUNT #: LSR012055	BUY 1 Units @0.0000						
07/31/2018	***-**-20IR - PFAFF, SHEILA	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	16.28	0.00	16.28	80.0	13.02
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
07/31/2018	***-**-814I - SELVIDGE, SHELIA	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	6.63	0.00	6.63	80.0	5.30
	ACCOUNT #: LSR012009	BUY 1 Units @0.0000						
07/31/2018	***-**-445I - BISHOP, BEVERLY	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	2.69	0.00	2.69	80.0	2.15
	ACCOUNT #: LSR012046	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-926I - VONSTEMPA, JOYCE	VICTORY CEMP US 500 ENH VOL WTD IND	0.00	7.25	0.00	7.25	80.0	5.80
	ACCOUNT #: LSR012180	BUY 1 Units @0.0000						
07/31/2018	***-**-20IR - PFAFF, SHEILA	VICTORY RS SMALL CAP GROWTH FUND CL	0.00	2.48	0.00	2.48	80.0	1.98
	ACCOUNT #: LSR011999	BUY 1 Units @0.0000						
07/31/2018	***-**-814I - SELVIDGE, SHELIA	VICTORY RS SMALL CAP GROWTH FUND CL	0.00	1.44	0.00	1.44	80.0	1.15
	ACCOUNT #: LSR012009	BUY 1 Units @0.0000						
07/31/2018	***-**-29IR - CLARK, RONALD	VICTORY RS SMALL CAP GROWTH FUND CL	0.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: LSR012121	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$23,099.99	\$37.97	\$0.00	\$37.97		\$30.36
*** BROKER TRANSACTIONS TOTAL ***			\$249,763.82	\$3,582.08	\$60.00	\$3,522.08		\$2,805.65

BROKER COMMISSION TOTALS

Broker Transactions	\$2,805.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$21.71
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,827.36
Year-to-date Earnings	\$27,623.41