

COMMISSION STATEMENT

March 31, 2018

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/23/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918524	B	4,750.00	47.50	0.00	47.50	100.0	47.50
03/26/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR EMERGING MARKETS I B	B	3,000.00	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR EMERGING MARKETS I B	B	2,500.00	25.00	0.00	25.00	100.0	25.00
03/26/2018	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326	S	3,000.00	0.00	0.00	0.00	100.0	0.00
03/09/2018	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES S	S	1,500.00	0.00	0.00	0.00	100.0	0.00
03/05/2018	75597041	ZIMBALIST, NAOMI	OPPENHEIMER AMT FREE MUNICIPALS FUN S	S	15,000.00	0.00	0.00	0.00	100.0	0.00
03/02/2018	75597042	ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F S	S	2,564.00	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597046	MENZ, SUSAN	BOND-DEBENTURE FUND CLASS A	S	28,387.20	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597068	SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C	S	7,849.11	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597068	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	S	14,562.59	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597068	SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL S	S	10,074.34	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597069	SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC S	S	8,645.41	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597069	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	S	4,021.99	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597081	BLITZ, DAVID	FIDELITY ADVISOR ENERGY CL I	S	3,547.32	0.00	0.00	0.00	100.0	0.00
03/26/2018	75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU S	S	1,500.00	0.00	0.00	0.00	100.0	0.00
03/28/2018	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR EMERGING MARKETS I B	B	2,500.00	25.00	0.00	25.00	100.0	25.00
03/21/2018	75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A	S	35,849.09	0.00	0.00	0.00	100.0	0.00
03/12/2018	75597118	GREENE, M HOWARD	FIDELITY ADVISOR (FOCUS) HEALTH CAR B	B	15,000.00	750.00	0.00	750.00	100.0	750.00
03/12/2018	75597118	GREENE, M HOWARD	FIDELITY ADV TECHNOLOGY CL A	B	15,000.00	750.00	0.00	750.00	100.0	750.00
03/01/2018	75597122	AMBERG, JOHN	AMCAP FUND-C	B	250.00	2.50	0.00	2.50	100.0	2.50
03/01/2018	75597122	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	500.00	5.00	0.00	5.00	100.0	5.00
03/01/2018	75597122	AMBERG, JOHN	AMERICAN FDS PORTFOLIO SER GLOBAL G B	B	250.00	2.50	0.00	2.50	100.0	2.50
03/01/2018	75597122	AMBERG, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN B	B	250.00	2.50	0.00	2.50	100.0	2.50
03/01/2018	75597122	AMBERG, JOHN	EUROPACIFIC GROWTH FUND CLASS C	B	150.00	1.50	0.00	1.50	100.0	1.50
03/01/2018	75597122	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	400.00	4.00	0.00	4.00	100.0	4.00
03/01/2018	75597122	AMBERG, JOHN	GROWTH PORTFOLIO-C	B	450.00	4.50	0.00	4.50	100.0	4.50
03/01/2018	75597122	AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00	100.0	5.00
03/01/2018	75597123	AMBERG, JOHN	AMCAP FUND-C	B	500.00	5.00	0.00	5.00	100.0	5.00
03/01/2018	75597123	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	500.00	5.00	0.00	5.00	100.0	5.00
03/01/2018	75597123	AMBERG, JOHN	AMERICAN FDS PORTFOLIO SER MDRT GRW B	B	1,000.00	10.00	0.00	10.00	100.0	10.00
03/01/2018	75597123	AMBERG, JOHN	AMERICAN FDS TARGET DATE RETIREMENT B	B	500.00	5.00	0.00	5.00	100.0	5.00

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
03/01/2018	75597123	AMBERG, JOHN	EUROPACIFIC GROWTH FUND CLASS C	B	250.00	2.50	0.00	2.50	100.0 2.50
03/01/2018	75597123	AMBERG, JOHN	GROWTH PORTFOLIO-C	B	1,000.00	10.00	0.00	10.00	100.0 10.00
03/01/2018	75597123	AMBERG, JOHN	NEW PERSPECTIVE FUND-A	B	500.00	13.75	0.00	13.75	100.0 13.75
03/05/2018	75597131	MICHAEL RIZZO TTEE	CAPITAL WORLD GROWTH AND INCOME FUN S	S	59,782.67	0.00	0.00	0.00	100.0 0.00
03/05/2018	75597131	MICHAEL RIZZO TTEE	FUNDAMENTAL INVESTORS-A	S	78,185.24	0.00	0.00	0.00	100.0 0.00
03/05/2018	75597131	MICHAEL RIZZO TTEE	NEW PERSPECTIVE FUND-A	S	120,719.22	0.00	0.00	0.00	100.0 0.00
03/05/2018	75597131	MICHAEL RIZZO TTEE	THE GROWTH FUND OF AMERICA-A	S	179,971.92	0.00	0.00	0.00	100.0 0.00
03/05/2018	75597131	MICHAEL RIZZO TTEE	VIRTUS MID-CAP GROWTH FUND CLASS A	S	52,037.30	0.00	0.00	0.00	100.0 0.00
03/21/2018	75597135	JOHN W STEINBORN TTEE	AMERICAN BALANCED FUND-A	S	63,000.00	0.00	0.00	0.00	100.0 0.00
03/21/2018	75597135	JOHN W STEINBORN TTEE	LARGE CAP EQUITY A	S	32,000.00	0.00	0.00	0.00	100.0 0.00
03/21/2018	75597135	JOHN W STEINBORN TTEE	THE INCOME FUND OF AMERICA-A	S	11,000.00	0.00	0.00	0.00	100.0 0.00
03/23/2018	75597135	JOHN W STEINBORN TTEE	EUROPACIFIC GROWTH FUND-R1	B	25,000.00	0.00	0.00	0.00	100.0 0.00
03/23/2018	75597135	JOHN W STEINBORN TTEE	EUROPACIFIC GROWTH FUND CLASS C	B	25,000.00	250.00	0.00	250.00	100.0 250.00
03/23/2018	75597135	JOHN W STEINBORN TTEE	EUROPACIFIC GROWTH FUND CLASS C	S	-25,000.00	-250.00	0.00	-250.00	100.0 -250.00 C
03/23/2018	75597135	JOHN W STEINBORN TTEE	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	30,000.00	300.00	0.00	300.00	100.0 300.00
03/23/2018	75597135	JOHN W STEINBORN TTEE	FIDELITY ADVISOR FUNDS 315918524	B	40,000.00	400.00	0.00	400.00	100.0 400.00
03/23/2018	75597135	JOHN W STEINBORN TTEE	FIDELITY ADVISOR EMERGING MARKETS I	B	25,000.00	250.00	0.00	250.00	100.0 250.00
03/22/2018	75597141	ADLER, JULIE	AMERICAN FDS TARGET DATE RETIREMENT	S	2,500.00	0.00	0.00	0.00	100.0 0.00
03/22/2018	75597141	ADLER, JULIE	LIFESTYLE BALANCED PORTFOLIO A	S	2,500.00	0.00	0.00	0.00	100.0 0.00
03/22/2018	75597141	ADLER, JULIE	LIFESTYLE GROWTH PORTFOLIO A	S	2,500.00	0.00	0.00	0.00	100.0 0.00
03/22/2018	75597141	ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-C	S	2,500.00	0.00	0.00	0.00	100.0 0.00
03/22/2018	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00	100.0 0.00
03/20/2018	75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00	100.0 0.00
* MUTUAL FUNDS SUBTOTAL *					\$913,978.40	\$2,626.25	\$0.00	\$2,626.25	\$2,626.25

PRODUCT CATEGORY: STOCKS

03/26/2018	75597047	MENZ, SUSAN		NESTLE SA-SPONSORED ADR REPSTG REGD B	B	7,804.00	105.00	12.00	93.00 100.0	93.00
03/26/2018	75597047	MENZ, SUSAN		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	6,397.66	105.00	12.00	93.00 100.0	93.00
03/26/2018	75597061	LOIACANO, LAURETTE		BLACKROCK CAPITAL INVESTMENT CORPOR B	B	7,199.39	200.00	12.00	188.00 100.0	188.00
03/26/2018	75597061	LOIACANO, LAURETTE		CORBUS PHARMACEUTICALS HOLDINGS INC B	B	6,356.95	175.00	12.00	163.00 100.0	163.00
03/27/2018	75597061	LOIACANO, LAURETTE		ARES CAPITAL CORPORATION	S	13,047.02	250.00	12.00	238.00 100.0	238.00
03/27/2018	75597061	LOIACANO, LAURETTE		NESTLE SA-SPONSORED ADR REPSTG REGD B	B	7,811.60	105.00	12.00	93.00 100.0	93.00
03/27/2018	75597061	LOIACANO, LAURETTE		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	6,511.66	105.00	12.00	93.00 100.0	93.00
03/26/2018	75597070	MENZ, JAMES		NESTLE SA-SPONSORED ADR REPSTG REGD B	B	15,606.00	210.00	12.00	198.00 100.0	198.00
03/26/2018	75597070	MENZ, JAMES		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	12,791.32	210.00	12.00	198.00 100.0	198.00
03/26/2018	75597072	JAMES R MENZ TTEE		NESTLE SA-SPONSORED ADR REPSTG REGD B	B	13,654.20	210.00	12.00	198.00 100.0	198.00
03/26/2018	75597072	JAMES R MENZ TTEE		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	11,181.91	208.66	12.00	196.66 100.0	196.66
03/26/2018	75597081	BLITZ, DAVID		VUZIX CORP COM NEW	S	635.00	20.00	12.00	8.00 100.0	8.00
03/26/2018	75597136	JAMES WEBSTER PLAN		NESTLE SA-SPONSORED ADR REPSTG REGD B	B	19,514.40	301.32	12.00	289.32 100.0	289.32
03/26/2018	75597136	JAMES WEBSTER PLAN		ROYAL DUTCH SHELL PLC SPONSORED ADR B	B	15,996.65	291.52	12.00	279.52 100.0	279.52

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/27/2018	75597161	STOTTS, ALLISON	NESTLE SA-SPONSORED ADR REPSTG REGD B	15,624.00	210.00	12.00	198.00	100.0	198.00
03/27/2018	75597161	STOTTS, ALLISON	ROYAL DUTCH SHELL PLC SPONSORED ADR B	13,019.32	210.00	12.00	198.00	100.0	198.00
03/08/2018	75597169	KNIGHT, JOHN	INVIVO THERAPEUTICS HOLDINGS CORP C B	3,989.73	25.00	12.00	13.00	100.0	13.00
03/08/2018	75597169	KNIGHT, JOHN	INVIVO THERAPEUTICS HOLDINGS CORP C B	3,989.99	25.00	12.00	13.00	100.0	13.00
* STOCKS SUBTOTAL *				\$181,130.80	\$2,966.50	\$216.00	\$2,750.50		\$2,750.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/31/2018			FEE COUNT - 298	0.00	2,517.18	0.00	2,517.18	100.0	2,517.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,517.18	\$0.00	\$2,517.18		\$2,517.18
*** BROKER TRANSACTIONS TOTAL ***				\$1,095,109.20	\$8,109.93	\$216.00	\$7,893.93		\$7,893.93

ADJUSTMENTS

03/31/2018			DEMNL:MANUAL PROCESS FEE (LPFS): MA	0.00	0.00	0.00	0.00		-10.00
03/31/2018			DEMNL:MANUAL PROCESS FEE (LPFS): MA	0.00	0.00	0.00	0.00		-10.00
03/31/2018			OTHER: OTHER	0.00	0.00	0.00	0.00		-1,800.00
03/31/2018			OTHER: RBC - WEALTH STATIONS	0.00	0.00	0.00	0.00		-365.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,185.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,893.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-10.14
SIPC Assessment	\$-20.27

Check Amount	\$5,678.52
Year-to-date Earnings	\$10,781.64