



Concorde Investment Services, LLC

09/13/2017
Page No. 1

COMMISSION STATEMENT **September 15, 2017**

JOSEPH WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/05/2017	LEY, JEREMY	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$2.25
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/21/2017	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	35.23	0.00	35.23	90.0	31.71
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$35.23	\$0.00	\$35.23		\$31.71
		*** BROKER TRANSACTIONS TOTAL ***	\$50.00	\$37.73	\$0.00	\$37.73		\$33.96

SPLIT TRANSACTIONS

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

08/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	3.94	0.00	3.94	90.0	3.55
08/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	2.73	0.00	2.73	90.0	2.46
08/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	200.61	2.63	0.00	2.63	90.0	2.37
08/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	200.61	2.63	0.00	2.63	90.0	2.37
08/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	5.45	0.00	5.45	90.0	4.91
08/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	2.73	0.00	2.73	90.0	2.46
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
08/15/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
08/15/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.41	0.00	0.41	90.0	0.37
08/15/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
08/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
09/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	50.00	0.88	0.00	0.88	90.0	0.79
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.20
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
08/28/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	25.00	0.44	0.00	0.44	90.0	0.40

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
08/28/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	-25.00	-0.44	0.00	-0.44	90.0	-0.40
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
09/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.88	0.00	0.88	90.0	0.79
08/15/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
08/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
08/28/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	25.00	0.44	0.00	0.44	90.0	0.40
08/28/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	-25.00	-0.44	0.00	-0.44	90.0	-0.40
08/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	90.0	0.23
08/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	90.0	0.16
09/06/2017	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	-2,971.03	7.70	7.70	0.00	90.0	0.00
08/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.11	0.00	0.11	90.0	0.10
09/08/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
09/08/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
09/01/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	90.0	0.51
09/08/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
08/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
09/08/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
08/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
08/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.25	0.00	0.25	90.0	0.23
08/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
09/08/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
09/08/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
09/08/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
09/08/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
08/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.05	0.00	1.05	90.0	0.95
08/17/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.38	0.00	4.38	90.0	3.94
08/21/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
08/21/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.64	0.00	0.64	90.0	0.58
08/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
08/21/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.32	0.00	1.32	90.0	1.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$-309.05	\$52.75	\$7.70	\$45.05		\$40.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$-309.05	\$52.75	\$7.70	\$45.05		\$40.59

<u>ADJUSTMENTS</u>								
09/15/2017		*AUDIT FEE: BRANCH EXAM	0.00					-1,432.63
09/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
09/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-3.99
09/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-2,011.62

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$33.96
Split Transactions	\$40.59
Override Transactions	\$0.00
Adjustments	\$-2,011.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$3.87
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-1,933.20
Year-to-date Gross	\$2,707.24
Year-to-date Earnings	\$2,200.02

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