

COMMISSION STATEMENT

November 30, 2017

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
11/27/2017	75500881	SUSLOW, RONNIE	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	39,112.46	0.00	7.00	-7.00	85.0	-7.00
10/30/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	1,389.00	0.00	7.00	-7.00	85.0	-7.00
10/31/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	4,164.00	0.00	7.00	-7.00	85.0	-7.00
10/31/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	11,262.44	0.00	7.00	-7.00	85.0	-7.00
11/01/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	9,702.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	4,149.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	4,146.00	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	11,072.00	0.00	7.00	-7.00	85.0	-7.00
11/09/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	4,173.00	0.00	7.00	-7.00	85.0	-7.00
11/09/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	2,778.00	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	5,605.71	0.00	7.00	-7.00	85.0	-7.00
11/22/2017	75500918	SUSLOW, ELISSA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	12,582.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500918	SUSLOW, ELISSA	NUVEEN NEW YORK AMT FREE MUNICIPAL I B	19,365.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500933	LAWRENCE, IRA	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S	43,740.00	10.00	7.00	3.00	85.0	1.50
11/03/2017	75500933	LAWRENCE, IRA	DIREXION SHARES ETF TRUST DIREXION B	28,310.00	10.00	7.00	3.00	85.0	1.50
11/06/2017	75500933	LAWRENCE, IRA	BOOZ ALLEN HAMILTON HOLDING CORPORA B	36,507.00	10.00	7.00	3.00	85.0	1.50
11/06/2017	75500933	LAWRENCE, IRA	DIREXION SHARES ETF TRUST DIREXION S	30,290.00	10.00	7.00	3.00	85.0	1.50
11/06/2017	75500933	LAWRENCE, IRA	SYSCO CORP B	54,310.00	10.00	7.00	3.00	85.0	1.50
11/07/2017	75500933	LAWRENCE, IRA	BOOZ ALLEN HAMILTON HOLDING CORPORA S	38,130.00	10.00	7.00	3.00	85.0	1.50
11/02/2017	75501161	LAWRENCE, DAVID	CYBERARK SOFTWARE LTD SHS ISIN#IL00 S	44,690.00	10.00	7.00	3.00	85.0	1.50
11/03/2017	75501161	LAWRENCE, DAVID	IMPINJ INC COM B	21,810.00	10.00	7.00	3.00	85.0	1.50
11/06/2017	75501161	LAWRENCE, DAVID	IMPINJ INC COM S	22,840.00	10.00	7.00	3.00	85.0	1.50
11/07/2017	75501161	LAWRENCE, DAVID	AVIS BUDGET GROUP INC COM B	18,755.00	10.00	7.00	3.00	85.0	1.50
10/31/2017	75501189	IRA S LAWRENCE TTEE	GILEAD SCIENCES INC B	37,605.00	10.00	7.00	3.00	85.0	1.50
11/06/2017	75501189	IRA S LAWRENCE TTEE	CVS HEALTH CORPORATION B	33,500.00	10.00	7.00	3.00	85.0	1.50
11/08/2017	75501189	IRA S LAWRENCE TTEE	GILEAD SCIENCES INC B	36,405.00	10.00	7.00	3.00	85.0	1.50
11/02/2017	75501196	LAWRENCE, ADELLE	CELGENE CORP B	49,010.00	10.00	7.00	3.00	85.0	1.50
11/08/2017	75501196	LAWRENCE, ADELLE	TITAN MACHY INC COM S	13,726.30	10.00	7.00	3.00	85.0	1.50
11/21/2017	75501196	LAWRENCE, ADELLE	CELGENE CORP S	52,793.00	10.00	7.00	3.00	85.0	1.50
11/02/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	41,499.50	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	1,381.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
11/06/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	9,667.00	0.00	7.00	-7.00	85.0	-7.00
11/10/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	6,939.70	0.00	7.00	-7.00	85.0	-7.00
11/10/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	9,715.75	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	14,014.29	0.00	7.00	-7.00	85.0	-7.00
11/21/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	14,000.00	0.00	7.00	-7.00	85.0	-7.00
11/21/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	15,400.00	0.00	7.00	-7.00	85.0	-7.00
11/21/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	13,990.00	0.00	7.00	-7.00	85.0	-7.00
11/22/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	12,558.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75502037	WINICK, BARBARA	NUVEEN N Y DIVIDEND ADVANTAGE MUN F B	22,261.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75502141	BOSGANG, ALVIN	CITIGROUP INC COM B	180,000.00	0.00	7.00	-7.00	85.0	-7.00
11/27/2017	75502141	BOSGANG, ALVIN	CITIGROUP INC COM S	180,475.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *				\$1,213,823.15	\$160.00	\$294.00	\$-134.00		\$-158.00

PRODUCT CATEGORY: OPTIONS

10/31/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 64	S	1,700.00	85.00	7.00	78.00	85.0	65.25
10/31/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 64.50	S	2,120.00	106.00	7.00	99.00	85.0	83.10
10/31/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 63.50	S	2,620.00	131.00	7.00	124.00	85.0	104.35
10/31/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 65.50	S	2,500.00	125.00	7.00	118.00	85.0	99.25
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 08/18/17 66	S	6,520.00	326.00	7.00	319.00	85.0	270.10
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 70.50	S	8,200.00	410.00	7.00	403.00	85.0	341.50
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 71	S	10,560.00	450.00	7.00	443.00	85.0	375.50
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 71.50	S	13,910.00	450.00	7.00	443.00	85.0	375.50
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 71	S	17,761.00	450.00	7.00	443.00	85.0	375.50
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/03/17 72	S	540.00	27.00	7.00	20.00	85.0	15.95
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/03/17 69.50	S	900.00	45.00	7.00	38.00	85.0	31.25
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/10/17 71	S	1,900.00	95.00	7.00	88.00	85.0	73.75
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/10/17 71.50	S	7,920.00	396.00	7.00	389.00	85.0	329.60
10/31/2017	75500663	BOSGANG, ALVIN		PUT C 11/10/17 72	S	10,590.00	450.00	7.00	443.00	85.0	375.50
11/01/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 65.50	S	1,340.00	67.00	7.00	60.00	85.0	49.95
11/01/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 63.50	S	1,978.00	98.00	7.00	91.00	85.0	76.30
11/01/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 66.50	S	2,642.00	132.00	7.00	125.00	85.0	105.20
11/01/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/03/17 63.50	S	3,000.00	150.00	7.00	143.00	85.0	120.50
11/01/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 71	S	8,780.00	439.00	7.00	432.00	85.0	366.15
11/01/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 71.50	S	19,724.00	750.00	7.00	743.00	85.0	630.50
11/01/2017	75500663	BOSGANG, ALVIN		PUT C 11/17/17 71	S	45,790.00	1,350.00	7.00	1,343.00	85.0	1,140.50
11/01/2017	75500663	BOSGANG, ALVIN		PUT C 11/03/17 69.50	S	3,340.00	167.00	7.00	160.00	85.0	134.95
11/01/2017	75500663	BOSGANG, ALVIN		PUT C 11/10/17 72	S	12,360.00	600.00	7.00	593.00	85.0	503.00
11/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/17/17 67	S	3,100.00	150.00	7.00	143.00	85.0	120.50
11/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/17/17 68	S	49,420.00	1,800.00	7.00	1,793.00	85.0	1,523.00
11/02/2017	75500663	BOSGANG, ALVIN		PUT AABA 11/17/17 67	S	73,304.00	2,250.00	7.00	2,243.00	85.0	1,905.50

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BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
11/02/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	67.50	S	11,400.00	450.00	7.00	443.00	85.0	375.50
11/03/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	67	S	7,919.00	395.00	7.00	388.00	85.0	328.75
11/03/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	68	S	25,819.00	900.00	7.00	893.00	85.0	758.00
11/03/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	67	S	37,784.00	1,200.00	7.00	1,193.00	85.0	1,013.00
11/03/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	67.50	S	16,545.00	750.00	7.00	743.00	85.0	630.50
11/03/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/10/17	68	S	420.00	21.00	7.00	14.00	85.0	10.85
11/03/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/10/17	68.50	S	7,147.00	357.00	7.00	350.00	85.0	296.45
11/03/2017	75500663	BOSGANG, ALVIN	PUT C	08/18/17	66	S	23,630.00	900.00	7.00	893.00	85.0	758.00
11/03/2017	75500663	BOSGANG, ALVIN	PUT C	11/17/17	71	S	18,790.00	930.00	7.00	923.00	85.0	783.50
11/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	68	S	11,002.00	450.00	7.00	443.00	85.0	375.50
11/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	68.50	S	39,771.00	1,500.00	7.00	1,493.00	85.0	1,268.00
11/06/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	69	S	22,687.00	750.00	7.00	743.00	85.0	630.50
11/06/2017	75500663	BOSGANG, ALVIN	PUT C	11/24/17	72.50	S	28,826.00	900.00	7.00	893.00	85.0	758.00
11/06/2017	75500663	BOSGANG, ALVIN	PUT C	11/24/17	72	S	23,871.00	900.00	7.00	893.00	85.0	758.00
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	67	S	1,680.00	84.00	7.00	77.00	85.0	64.40
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/17/17	67	S	2,200.00	110.00	7.00	103.00	85.0	86.50
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	67.50	S	1,380.00	69.00	7.00	62.00	85.0	51.65
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	68	S	600.00	30.00	7.00	23.00	85.0	18.50
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	68.50	S	6,980.00	300.00	7.00	293.00	85.0	248.00
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	69	S	8,920.00	300.00	7.00	293.00	85.0	248.00
11/07/2017	75500663	BOSGANG, ALVIN	PUT AABA	11/24/17	67	S	1,778.00	88.00	7.00	81.00	85.0	67.80
11/01/2017	75500791	SUSLOVICH, ESTHER	PUT C	11/17/17	70.50	S	710.50	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500837	BELL, MARTIN	PUT C	11/17/17	70.50	S	1,428.57	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500837	BELL, MARTIN	PUT C	11/10/17	71.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500837	BELL, MARTIN	PUT C	11/24/17	71	S	1,268.75	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75500837	BELL, MARTIN	PUT C	11/10/17	71.50	B	1,241.89	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500837	BELL, MARTIN	PUT AABA	12/01/17	68	S	555.00	0.00	7.00	-7.00	85.0	-7.00
11/01/2017	75500838	BELL, MARTIN	PUT C	11/17/17	70.50	S	416.50	0.00	7.00	-7.00	85.0	-7.00
11/01/2017	75500859	LABELLA, CHARLES	PUT C	08/18/17	66	S	740.00	0.00	7.00	-7.00	85.0	-7.00
10/31/2017	75500864	WINICK, JESSE	PUT BAC	11/17/17	26	S	1,700.00	170.00	7.00	163.00	85.0	137.50
11/03/2017	75500864	WINICK, JESSE	PUT C	11/17/17	71.50	S	2,233.33	223.00	7.00	216.00	85.0	182.55
11/06/2017	75500864	WINICK, JESSE	PUT AABA	11/24/17	67.50	S	2,948.50	294.00	7.00	287.00	85.0	242.90
11/06/2017	75500864	WINICK, JESSE	PUT BAC	11/24/17	26.50	S	1,900.00	190.00	7.00	183.00	85.0	154.50
11/06/2017	75500864	WINICK, JESSE	PUT C	11/24/17	72.50	S	6,026.67	600.00	7.00	593.00	85.0	503.00
11/16/2017	75500864	WINICK, JESSE	PUT AABA	12/01/17	67	S	2,990.00	299.00	7.00	292.00	85.0	247.15
11/17/2017	75500864	WINICK, JESSE	PUT AABA	12/01/17	68.50	S	3,599.88	300.00	7.00	293.00	85.0	248.00
11/17/2017	75500864	WINICK, JESSE	PUT C	11/17/17	71.50	B	1,385.13	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500864	WINICK, JESSE	PUT C	11/24/17	72.50	S	5,061.05	300.00	7.00	293.00	85.0	248.00
11/20/2017	75500864	WINICK, JESSE	PUT AABA	12/01/17	69	S	2,207.75	220.00	7.00	213.00	85.0	180.00
11/21/2017	75500864	WINICK, JESSE	PUT AABA	12/08/17	69	S	2,919.00	291.00	7.00	284.00	85.0	240.35

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TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
11/22/2017	75500864	WINICK, JESSE	PUT	AABA	12/08/17	68	S	1,460.00	146.00	7.00	139.00	85.0	117.10
11/28/2017	75500864	WINICK, JESSE	PUT	AABA	12/08/17	69	S	2,700.00	270.00	7.00	263.00	85.0	222.50
11/03/2017	75500867	GREENSPAN, WILLIAM	PUT	C	11/17/17	71.50	S	2,233.33	223.00	7.00	216.00	85.0	182.55
11/06/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	11/24/17	67	S	2,398.00	239.00	7.00	232.00	85.0	196.15
11/06/2017	75500867	GREENSPAN, WILLIAM	PUT	C	11/24/17	72.50	S	3,013.33	300.00	7.00	293.00	85.0	248.00
11/16/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	12/01/17	66.50	S	1,960.00	196.00	7.00	189.00	85.0	159.60
11/17/2017	75500867	GREENSPAN, WILLIAM	PUT	C	11/17/17	71.50	B	436.00	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	12/01/17	68.50	S	1,620.00	162.00	7.00	155.00	85.0	130.70
11/21/2017	75500867	GREENSPAN, WILLIAM	PUT	AABA	12/08/17	68	S	2,096.00	209.00	7.00	202.00	85.0	170.65
11/01/2017	75500881	SUSLOW, RONNIE	PUT	C	11/10/17	71.50	S	3,690.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500881	SUSLOW, RONNIE	PUT	AABA	11/10/17	67	S	200.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500881	SUSLOW, RONNIE	PUT	AABA	11/10/17	67.50	S	880.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500881	SUSLOW, RONNIE	PUT	AABA	11/10/17	68	S	2,650.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500881	SUSLOW, RONNIE	PUT	C	11/17/17	71.50	S	3,020.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500881	SUSLOW, RONNIE	PUT	C	11/17/17	71	S	560.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500881	SUSLOW, RONNIE	PUT	C	11/17/17	71.50	S	2,000.00	0.00	7.00	-7.00	85.0	-7.00
11/16/2017	75500881	SUSLOW, RONNIE	PUT	C	11/17/17	71.50	B	1,500.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/01/17	68	S	3,615.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/01/17	68.50	S	3,599.88	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500881	SUSLOW, RONNIE	PUT	C	11/17/17	71.50	B	1,385.13	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500881	SUSLOW, RONNIE	PUT	C	11/24/17	72.50	S	5,061.05	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/01/17	69	S	2,207.75	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/01/17	69.50	S	3,007.50	0.00	7.00	-7.00	85.0	-7.00
11/21/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/08/17	69	S	2,919.00	0.00	7.00	-7.00	85.0	-7.00
11/21/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/08/17	69.50	S	5,962.33	0.00	7.00	-7.00	85.0	-7.00
11/22/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/08/17	68.50	S	1,900.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/01/17	70	S	3,460.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/08/17	69	S	2,700.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500881	SUSLOW, RONNIE	PUT	AABA	12/08/17	69.50	S	4,360.00	0.00	7.00	-7.00	85.0	-7.00
11/01/2017	75500882	SUSLOW, RONNIE	PUT	C	11/17/17	70.50	S	563.50	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500882	SUSLOW, RONNIE	PUT	AABA	11/17/17	67	S	5,370.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500882	SUSLOW, RONNIE	PUT	AABA	11/24/17	69	S	280.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75500882	SUSLOW, RONNIE	PUT	AABA	12/08/17	68.50	S	1,210.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75500882	SUSLOW, RONNIE	PUT	AABA	12/08/17	69	S	1,468.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500882	SUSLOW, RONNIE	PUT	AABA	12/01/17	70.50	S	2,920.00	0.00	7.00	-7.00	85.0	-7.00
10/31/2017	75500918	SUSLOW, ELISSA	PUT	BAC	11/17/17	26.50	S	3,200.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500918	SUSLOW, ELISSA	PUT	AABA	11/10/17	68.50	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500918	SUSLOW, ELISSA	PUT	C	11/17/17	71	S	4,180.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500918	SUSLOW, ELISSA	PUT	AABA	11/24/17	67.50	S	2,948.50	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500918	SUSLOW, ELISSA	PUT	BAC	11/17/17	26.50	S	115.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
11/06/2017	75500918	SUSLOW, ELISSA	PUT C	11/17/17	71	S	1,160.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500918	SUSLOW, ELISSA	PUT C	11/24/17	72.50	S	6,026.67	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500918	SUSLOW, ELISSA	PUT AABA	11/24/17	66	S	150.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500918	SUSLOW, ELISSA	PUT AABA	11/24/17	66.50	S	200.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500918	SUSLOW, ELISSA	PUT AABA	11/24/17	67	S	260.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500918	SUSLOW, ELISSA	PUT C	08/18/17	66	S	920.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500918	SUSLOW, ELISSA	PUT C	11/17/17	70.50	S	760.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500918	SUSLOW, ELISSA	PUT C	11/24/17	72.50	S	1,440.00	0.00	7.00	-7.00	85.0	-7.00
11/14/2017	75500918	SUSLOW, ELISSA	PUT BAC	11/17/17	26.50	B	10,035.00	0.00	7.00	-7.00	85.0	-7.00
11/14/2017	75500918	SUSLOW, ELISSA	PUT C	11/17/17	71	B	14,240.00	0.00	7.00	-7.00	85.0	-7.00
11/16/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/01/17	67	S	2,990.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/01/17	68.50	S	5,039.83	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500918	SUSLOW, ELISSA	PUT C	11/24/17	71	S	670.00	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/01/17	69	S	2,207.75	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/01/17	69.50	S	3,007.50	0.00	7.00	-7.00	85.0	-7.00
11/21/2017	75500918	SUSLOW, ELISSA	PUT AABA	12/08/17	70	S	5,916.21	0.00	7.00	-7.00	85.0	-7.00
11/29/2017	75500918	SUSLOW, ELISSA	PUT C	12/01/17	70	B	61,300.00	0.00	7.00	-7.00	85.0	-7.00
11/29/2017	75500918	SUSLOW, ELISSA	PUT C	12/01/17	70	S	59,020.00	0.00	7.00	-7.00	85.0	-7.00
11/01/2017	75500919	SUSLOW, ELISSA	PUT C	11/17/17	70.50	S	269.50	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75500953	SUSLOW, STEPHEN	PUT C	11/17/17	71	S	2,280.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500953	SUSLOW, STEPHEN	PUT AABA	11/17/17	68	S	1,084.83	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500953	SUSLOW, STEPHEN	PUT AABA	11/17/17	67.50	S	340.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500953	SUSLOW, STEPHEN	PUT C	11/10/17	71.50	S	480.00	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75500953	SUSLOW, STEPHEN	PUT C	11/10/17	71.50	B	496.76	0.00	7.00	-7.00	85.0	-7.00
11/16/2017	75500953	SUSLOW, STEPHEN	PUT AABA	12/01/17	66	S	590.00	0.00	7.00	-7.00	85.0	-7.00
11/01/2017	75500954	SUSLOW, STEPHEN	PUT C	11/10/17	71	S	1,320.00	0.00	7.00	-7.00	85.0	-7.00
11/03/2017	75500954	SUSLOW, STEPHEN	PUT C	11/10/17	72.50	S	3,840.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	68	S	1,842.17	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	67	S	1,420.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500954	SUSLOW, STEPHEN	PUT C	11/10/17	72	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75500954	SUSLOW, STEPHEN	PUT C	11/10/17	71	B	1,120.00	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75500954	SUSLOW, STEPHEN	PUT C	11/10/17	72	B	1,800.00	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75500954	SUSLOW, STEPHEN	PUT C	11/10/17	72.50	B	4,570.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	67	S	100.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	68	S	160.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/17/17	67.50	S	130.00	0.00	7.00	-7.00	85.0	-7.00
11/14/2017	75500954	SUSLOW, STEPHEN	PUT C	11/17/17	70.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
11/16/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/24/17	67.50	S	1,100.00	0.00	7.00	-7.00	85.0	-7.00
11/16/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/24/17	68	S	1,501.00	0.00	7.00	-7.00	85.0	-7.00
11/16/2017	75500954	SUSLOW, STEPHEN	PUT AABA	11/24/17	68.50	S	2,200.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
11/17/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/01/17	67	S	420.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/01/17	67.50	S	1,040.00	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	11/24/17	69.50	S	80.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/08/17	68	S	1,210.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/01/17	69	S	56.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/01/17	69.50	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/01/17	69.50	S	320.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500954	SUSLOW, STEPHEN	PUT	AABA	12/01/17	70	S	900.00	0.00	7.00	-7.00	85.0	-7.00
11/03/2017	75500986	SUSLOVICH, JACOB	PUT	C	11/17/17	70.50	S	1,300.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75500986	SUSLOVICH, JACOB	PUT	C	11/24/17	71	S	1,268.75	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75500986	SUSLOVICH, JACOB	PUT	AABA	12/01/17	68	S	555.00	0.00	7.00	-7.00	85.0	-7.00
11/28/2017	75500986	SUSLOVICH, JACOB	PUT	AABA	12/08/17	68.50	S	440.00	0.00	7.00	-7.00	85.0	-7.00
11/03/2017	75501098	WINICK FAMILY	PUT	C	11/17/17	71.50	S	2,233.33	223.00	7.00	216.00	85.0	182.55
11/06/2017	75501098	WINICK FAMILY	PUT	AABA	11/24/17	67	S	2,398.00	239.00	7.00	232.00	85.0	196.15
11/06/2017	75501098	WINICK FAMILY	PUT	C	11/24/17	72.50	S	3,013.33	300.00	7.00	293.00	85.0	248.00
11/17/2017	75501098	WINICK FAMILY	PUT	C	11/17/17	71.50	B	1,385.13	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75501098	WINICK FAMILY	PUT	C	11/24/17	72.50	S	5,061.05	300.00	7.00	293.00	85.0	248.00
11/20/2017	75501098	WINICK FAMILY	PUT	AABA	12/01/17	69	S	2,207.75	220.00	7.00	213.00	85.0	180.00
11/21/2017	75501098	WINICK FAMILY	PUT	AABA	12/08/17	68.50	S	2,060.00	206.00	7.00	199.00	85.0	168.10
11/28/2017	75501098	WINICK FAMILY	PUT	AABA	12/08/17	68	S	1,500.00	150.00	7.00	143.00	85.0	120.50
11/03/2017	75501104	CHRISTOPHER C	PUT	C	11/17/17	70.50	S	702.00	0.00	7.00	-7.00	85.0	-7.00
11/02/2017	75501215	SISTERS TWO LLC	PUT	C	11/17/17	70.50	S	1,428.57	142.00	7.00	135.00	85.0	113.70
11/02/2017	75501215	SISTERS TWO LLC	PUT	C	11/10/17	71.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
11/06/2017	75501215	SISTERS TWO LLC	PUT	C	11/24/17	71	S	1,268.75	126.00	7.00	119.00	85.0	100.10
11/07/2017	75501215	SISTERS TWO LLC	PUT	C	11/10/17	71	B	1,400.00	0.00	7.00	-7.00	85.0	-7.00
11/07/2017	75501215	SISTERS TWO LLC	PUT	C	11/10/17	71.50	B	1,241.89	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75501215	SISTERS TWO LLC	PUT	AABA	12/01/17	68	S	555.00	55.00	7.00	48.00	85.0	39.75
11/02/2017	75502117	BLUMA ROTTERMANN	TTEE PUT	C	11/17/17	70.50	S	2,142.86	214.00	7.00	207.00	85.0	174.90
11/02/2017	75502117	BLUMA ROTTERMANN	TTEE PUT	C	11/10/17	71.50	S	830.00	83.00	7.00	76.00	85.0	63.55
11/06/2017	75502117	BLUMA ROTTERMANN	TTEE PUT	C	11/24/17	71	S	1,268.75	126.00	7.00	119.00	85.0	100.10
11/07/2017	75502117	BLUMA ROTTERMANN	TTEE PUT	C	11/10/17	71.50	B	1,288.46	0.00	7.00	-7.00	85.0	-7.00
11/20/2017	75502117	BLUMA ROTTERMANN	TTEE PUT	AABA	12/01/17	68	S	555.00	55.00	7.00	48.00	85.0	39.75
11/10/2017	75502141	BOSGANG, ALVIN	PUT	C	08/18/17	66	S	101,400.00	1,950.00	7.00	1,943.00	85.0	1,650.50
11/10/2017	75502141	BOSGANG, ALVIN	PUT	C	11/17/17	71	S	5,500.00	140.00	7.00	133.00	85.0	112.00
11/10/2017	75502141	BOSGANG, ALVIN	PUT	C	11/10/17	72.50	B	37,720.00	0.00	7.00	-7.00	85.0	-7.00
11/13/2017	75502141	BOSGANG, ALVIN	PUT	AABA	11/24/17	67.50	S	4,540.00	227.00	7.00	220.00	85.0	185.95
11/13/2017	75502141	BOSGANG, ALVIN	PUT	AABA	11/24/17	68	S	14,500.00	720.00	7.00	713.00	85.0	605.00
11/13/2017	75502141	BOSGANG, ALVIN	PUT	AABA	11/24/17	68.50	S	18,640.00	750.00	7.00	743.00	85.0	630.50
11/13/2017	75502141	BOSGANG, ALVIN	PUT	C	11/17/17	71	S	2,917.00	145.00	7.00	138.00	85.0	116.25
11/13/2017	75502141	BOSGANG, ALVIN	PUT	C	11/17/17	71.50	S	3,600.00	150.00	7.00	143.00	85.0	120.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
11/13/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	71	S	41,728.00	1,500.00	7.00	1,493.00	85.0	1,268.00
11/13/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	70.50	S	24,788.00	1,200.00	7.00	1,193.00	85.0	1,013.00
11/16/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	68	S	30,401.00	1,074.00	7.00	1,067.00	85.0	905.90
11/16/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	68.50	S	36,931.00	1,050.00	7.00	1,043.00	85.0	885.50
11/16/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	69	S	17,240.00	450.00	7.00	443.00	85.0	375.50
11/16/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	67.50	S	23,739.00	1,050.00	7.00	1,043.00	85.0	885.50
11/17/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	68.50	S	17,999.41	750.00	7.00	743.00	85.0	630.50
11/17/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	69	S	55,696.00	1,860.00	7.00	1,853.00	85.0	1,574.00
11/17/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	69.50	S	15,871.00	450.00	7.00	443.00	85.0	375.50
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	08/18/17	66	B	177,400.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	11/17/17	71.50	B	18,006.63	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	11/17/17	71	B	95,835.00	0.00	7.00	-7.00	85.0	-7.00
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	212,000.00	1,730.00	7.00	1,723.00	85.0	1,463.50
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	72.50	S	2,128.00	106.00	7.00	99.00	85.0	83.10
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	72.50	S	7,080.00	354.00	7.00	347.00	85.0	293.90
11/17/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	72.50	S	178,148.86	2,776.00	7.00	2,769.00	85.0	2,352.60
11/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	68	S	22,809.00	1,050.00	7.00	1,043.00	85.0	885.50
11/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	68.50	S	28,729.00	1,050.00	7.00	1,043.00	85.0	885.50
11/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69	S	34,450.00	1,050.00	7.00	1,043.00	85.0	885.50
11/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69.50	S	39,972.00	1,050.00	7.00	1,043.00	85.0	885.50
11/20/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	69.50	S	6,015.00	300.00	7.00	293.00	85.0	248.00
11/20/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	72.50	S	1,520.00	76.00	7.00	69.00	85.0	57.60
11/20/2017	75502141	BOSGANG, ALVIN	PUT C	11/24/17	72.50	S	2,880.00	144.00	7.00	137.00	85.0	115.40
11/21/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69.50	S	29,811.67	1,350.00	7.00	1,343.00	85.0	1,140.50
11/21/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70	S	38,032.79	1,350.00	7.00	1,343.00	85.0	1,140.50
11/22/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69	S	5,060.00	253.00	7.00	246.00	85.0	208.05
11/22/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69.50	S	12,913.00	600.00	7.00	593.00	85.0	503.00
11/22/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70	S	24,940.00	900.00	7.00	893.00	85.0	758.00
11/22/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	70	S	6,380.00	319.00	7.00	312.00	85.0	264.15
11/22/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	10,319.00	450.00	7.00	443.00	85.0	375.50
11/22/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	70.50	S	7,900.00	395.00	7.00	388.00	85.0	328.75
11/22/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	6,200.00	310.00	7.00	303.00	85.0	256.50
11/22/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	15,885.00	794.00	7.00	787.00	85.0	667.90
11/22/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	71.50	S	11,053.00	552.00	7.00	545.00	85.0	462.20
11/24/2017	75502141	BOSGANG, ALVIN	CALL SPY	01/20/17	222	B	15,840.00	0.00	7.00	-7.00	85.0	-7.00
11/24/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69.50	S	15,703.00	750.00	7.00	743.00	85.0	630.50
11/24/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70	S	20,064.00	750.00	7.00	743.00	85.0	630.50
11/24/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70.50	S	22,472.00	750.00	7.00	743.00	85.0	630.50
11/24/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	35,640.00	900.00	7.00	893.00	85.0	758.00
11/24/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	70.50	S	16,220.00	750.00	7.00	743.00	85.0	630.50

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
11/24/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	7,140.00	357.00	7.00	350.00	85.0	296.45
11/24/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	1,316.00	65.00	7.00	58.00	85.0	48.25
11/27/2017	75502141	BOSGANG, ALVIN	CALL C	12/08/17	73.50	S	17,620.00	881.00	7.00	874.00	85.0	741.85
11/27/2017	75502141	BOSGANG, ALVIN	CALL C	12/01/17	73.50	S	1,160.00	58.00	7.00	51.00	85.0	42.30
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69	S	2,122.00	106.00	7.00	99.00	85.0	83.10
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	69.50	S	2,440.00	122.00	7.00	115.00	85.0	96.70
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70	S	3,620.00	180.00	7.00	173.00	85.0	146.00
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70.50	S	3,520.00	150.00	7.00	143.00	85.0	120.50
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	70	S	5,140.00	257.00	7.00	250.00	85.0	211.45
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	70.50	S	7,660.00	383.00	7.00	376.00	85.0	318.55
11/27/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	70	S	12,020.00	601.00	7.00	594.00	85.0	503.85
11/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	8,020.00	401.00	7.00	394.00	85.0	333.85
11/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	15,900.00	795.00	7.00	788.00	85.0	668.75
11/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	1,000.00	50.00	7.00	43.00	85.0	35.50
11/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	2,120.00	106.00	7.00	99.00	85.0	83.10
11/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	4,080.00	204.00	7.00	197.00	85.0	166.40
11/27/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	2,339.00	116.00	7.00	109.00	85.0	91.60
11/28/2017	75502141	BOSGANG, ALVIN	CALL C	12/08/17	73.50	S	5,120.00	256.00	7.00	249.00	85.0	210.60
11/28/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70	S	19,880.00	720.00	7.00	713.00	85.0	605.00
11/28/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/08/17	70.50	S	47,110.00	1,350.00	7.00	1,343.00	85.0	1,140.50
11/28/2017	75502141	BOSGANG, ALVIN	PUT AABA	12/01/17	70	S	16,206.00	750.00	7.00	743.00	85.0	630.50
11/28/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	3,400.00	170.00	7.00	163.00	85.0	137.50
11/28/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	2,640.00	132.00	7.00	125.00	85.0	105.20
11/29/2017	75502141	BOSGANG, ALVIN	CALL C	12/01/17	73.50	B	17,100.00	0.00	7.00	-7.00	85.0	-7.00
11/29/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	S	67,216.00	0.00	7.00	-7.00	85.0	-7.00
11/29/2017	75502141	BOSGANG, ALVIN	PUT C	12/08/17	74	B	150,673.00	0.00	7.00	-7.00	85.0	-7.00
11/29/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	B	74,500.00	0.00	7.00	-7.00	85.0	-7.00
11/29/2017	75502141	BOSGANG, ALVIN	PUT C	12/01/17	70	S	10,400.00	0.00	7.00	-7.00	85.0	-7.00
* OPTIONS SUBTOTAL *					\$3,080,146.02	\$72,489.00	\$1,778.00	\$70,711.00	\$59,837.65			
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS												
11/07/2017	75500859	LABELLA, CHARLES	BARON SELECT FDS	B	0.00	8.22	0.00	8.22	85.0	6.99		
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$8.22	\$0.00	\$8.22	\$6.99			
*** BROKER TRANSACTIONS TOTAL ***					\$4,293,969.17	\$72,657.22	\$2,072.00	\$70,585.22	\$59,686.64			

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
11/30/2017		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-496.00
11/30/2017		OTHER: OTHER-WARD INCOME		0.00	0.00	0.00	0.00		235.53
11/30/2017		OTHER: OTHER-VICTOR INCOME		0.00	0.00	0.00	0.00		183.61
11/30/2017		OTHER: OTHER-TICKET CHARGES WARD		0.00	0.00	0.00	0.00		-112.00
11/30/2017		OTHER: OTHER-CHASE		0.00	0.00	0.00	0.00		-1,189.75
11/30/2017		OTHER: OTHER-BEN BOSDGANG		0.00	0.00	0.00	0.00		-1,000.00
11/30/2017		OTHER: OTHER-CAPITAL ONE		0.00	0.00	0.00	0.00		-1,517.28
11/30/2017		OTHER: OTHER-PAYROLL		0.00	0.00	0.00	0.00		-43,258.63
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-47,154.52

BROKER COMMISSION TOTALS

Broker Transactions	\$59,686.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-47,154.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$201,984.35
FINRA Assessment	\$-90.82
SIPC Assessment	\$-181.64

Check Amount	\$214,244.01
Year-to-date Earnings	\$137,562.71