

STATEMENT

February 20, 2018

CYNTHIA HERRON - LPCM

2321 Travis Lane

#608

Overland Park, KS 66209-

BROKER #: 3227

BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

02/01/2018	#566198	TCA HERRON VAR	ADDL CASH FOR ALLOC	1,765.33	-0.51	92.0	0.00	-0.51	0.00	-0.51
02/01/2018	#513542	SANTOS, MONICA	DO NOT SELL SECURITIES	133,299.66	-38.88	92.0	0.00	-38.88	0.00	-38.88
02/01/2018	#557019	STRACHAN, GRACE	DO NOT SELL SECURITIES	15,707.94	-4.58	92.0	0.00	-4.58	0.00	-4.58
02/01/2018	#634435	TCA HERRON VAR	HZI CONSERVATIVE	28,225.24	-14.11	92.0	0.00	-14.11	0.00	-14.11
02/01/2018	#512318	BAUM, HARRY	HZI FOCUSED W RA	165,312.57	-117.10	92.0	0.00	-117.10	0.00	-117.10
02/01/2018	#512491	BAUM, HARRY	HZI FOCUSED W RA	72,877.06	-51.62	92.0	0.00	-51.62	0.00	-51.62
02/01/2018	#512459	BAUM, CONNIE	HZI GROWTH	49,459.77	-24.73	92.0	0.00	-24.73	0.00	-24.73
02/01/2018	#513527	WARD-MARCUM,	HZI GROWTH	61,950.39	-30.98	92.0	0.00	-30.98	0.00	-30.98
02/01/2018	#513535	MARCUM, MICHAEL	HZI GROWTH	31,382.37	-15.69	92.0	0.00	-15.69	0.00	-15.69
02/01/2018	#516171	TAYLOR-WHEATON, R	HZI GROWTH	30,587.89	-15.29	92.0	0.00	-15.29	0.00	-15.29
02/01/2018	#516173	WHEATON, RICK	HZI GROWTH	30,623.85	-15.31	92.0	0.00	-15.31	0.00	-15.31
02/01/2018	#516178	CANTRELL, MARGIE	HZI GROWTH	89,761.09	-44.88	92.0	0.00	-44.88	0.00	-44.88
02/01/2018	#525752	TCA HERRON VAR	HZI GROWTH	66,403.48	-33.20	92.0	0.00	-33.20	0.00	-33.20
02/01/2018	#525989	TCA HERRON VAR	HZI GROWTH	30,302.90	-15.15	92.0	0.00	-15.15	0.00	-15.15
02/01/2018	#534867	KELLY, STEVEN	HZI GROWTH	29,357.31	-14.68	92.0	0.00	-14.68	0.00	-14.68
02/01/2018	#543979	RUSSELL, JOHN	HZI GROWTH	44,504.25	-22.25	92.0	0.00	-22.25	0.00	-22.25
02/01/2018	#544680	MARCUM, MICHAEL	HZI GROWTH	38,038.03	-19.02	92.0	0.00	-19.02	0.00	-19.02
02/01/2018	#552059	RARDIN, JOHN	HZI GROWTH	31,423.72	-15.71	92.0	0.00	-15.71	0.00	-15.71
02/01/2018	#552099	EHRLICH, HAROLD	HZI GROWTH	103,337.74	-51.67	92.0	0.00	-51.67	0.00	-51.67
02/01/2018	#552100	STRACHAN, GRACE	HZI GROWTH	114,908.46	-57.45	92.0	0.00	-57.45	0.00	-57.45
02/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	42,605.73	-21.30	92.0	0.00	-21.30	0.00	-21.30
02/01/2018	#617781	TCA HERRON VAR	HZI GROWTH	51,534.17	-25.77	92.0	0.00	-25.77	0.00	-25.77
02/01/2018	#638144	TCA HERRON VAR	HZI GROWTH	29,688.65	-14.84	92.0	0.00	-14.84	0.00	-14.84
02/01/2018	#513538	MOOT, PEGGY	HZI MODERATE	31,569.78	-15.78	92.0	0.00	-15.78	0.00	-15.78
02/01/2018	#516890	ALBIN, JANETTE	HZI MODERATE	29,295.81	-14.65	92.0	0.00	-14.65	0.00	-14.65
02/01/2018	#543962	RUSSELL, JOHN	HZI MODERATE	32,458.75	-16.23	92.0	0.00	-16.23	0.00	-16.23
02/01/2018	#556450	GALVAS, MARIE	HZI MODERATE	257,560.44	-128.78	92.0	0.00	-128.78	0.00	-128.78
02/01/2018	#557019	STRACHAN, GRACE	HZI MODERATE	389,927.19	-194.96	92.0	0.00	-194.96	0.00	-194.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#611065 TCA HERRON VAR	HZI MODERATE	43,517.09	-21.76	92.0	0.00	-21.76	0.00	-21.76
02/01/2018	#512459 BAUM, CONNIE	TCA LPCM FEES	49,459.77	51.52	92.0	0.00	51.52	0.00	51.52
02/01/2018	#513527 WARD-MARCUM,	TCA LPCM FEES	61,950.39	64.53	92.0	0.00	64.53	0.00	64.53
02/01/2018	#513535 MARCUM, MICHAEL	TCA LPCM FEES	31,382.37	32.69	92.0	0.00	32.69	0.00	32.69
02/01/2018	#516171 TAYLOR-WHEATON, R	TCA LPCM FEES	30,587.89	31.86	92.0	0.00	31.86	0.00	31.86
02/01/2018	#516173 WHEATON, RICK	TCA LPCM FEES	30,623.85	31.90	92.0	0.00	31.90	0.00	31.90
02/01/2018	#516178 CANTRELL, MARGIE	TCA LPCM FEES	89,761.09	93.50	92.0	0.00	93.50	0.00	93.50
02/01/2018	#525752 TCA HERRON VAR	TCA LPCM FEES	66,403.48	69.17	92.0	0.00	69.17	0.00	69.17
02/01/2018	#525989 TCA HERRON VAR	TCA LPCM FEES	30,302.90	31.57	92.0	0.00	31.57	0.00	31.57
02/01/2018	#534867 KELLY, STEVEN	TCA LPCM FEES	29,357.31	30.58	92.0	0.00	30.58	0.00	30.58
02/01/2018	#543979 RUSSELL, JOHN	TCA LPCM FEES	44,504.25	46.36	92.0	0.00	46.36	0.00	46.36
02/01/2018	#544680 MARCUM, MICHAEL	TCA LPCM FEES	38,038.03	39.62	92.0	0.00	39.62	0.00	39.62
02/01/2018	#552059 RARDIN, JOHN	TCA LPCM FEES	31,423.72	32.73	92.0	0.00	32.73	0.00	32.73
02/01/2018	#552099 EHRLICH, HAROLD	TCA LPCM FEES	103,337.74	107.64	92.0	0.00	107.64	0.00	107.64
02/01/2018	#552100 STRACHAN, GRACE	TCA LPCM FEES	114,908.46	119.70	92.0	0.00	119.70	0.00	119.70
02/01/2018	#566198 TCA HERRON VAR	TCA LPCM FEES	42,605.73	106.52	92.0	0.00	106.52	0.00	106.52
02/01/2018	#617781 TCA HERRON VAR	TCA LPCM FEES	51,534.17	53.68	92.0	0.00	53.68	0.00	53.68
02/01/2018	#638144 TCA HERRON VAR	TCA LPCM FEES	29,688.65	30.93	92.0	0.00	30.93	0.00	30.93
02/01/2018	#513538 MOOT, PEGGY	TCA LPCM FEES	31,569.78	26.31	92.0	0.00	26.31	0.00	26.31
02/01/2018	#516890 ALBIN, JANETTE	TCA LPCM FEES	29,295.81	30.52	92.0	0.00	30.52	0.00	30.52
02/01/2018	#543962 RUSSELL, JOHN	TCA LPCM FEES	32,458.75	33.81	92.0	0.00	33.81	0.00	33.81
02/01/2018	#556450 GALVAS, MARIE	TCA LPCM FEES	257,560.44	268.29	92.0	0.00	268.29	0.00	268.29
02/01/2018	#557019 STRACHAN, GRACE	TCA LPCM FEES	389,927.19	406.17	92.0	0.00	406.17	0.00	406.17
02/01/2018	#611065 TCA HERRON VAR	TCA LPCM FEES	43,517.09	45.33	92.0	0.00	45.33	0.00	45.33
02/01/2018	#634435 TCA HERRON VAR	TCA LPCM FEES	28,225.24	29.40	92.0	0.00	29.40	0.00	29.40
02/01/2018	#512318 BAUM, HARRY	TCA LPCM FEES	165,312.57	172.20	92.0	0.00	172.20	0.00	172.20
02/01/2018	#512491 BAUM, HARRY	TCA LPCM FEES	72,877.06	75.91	92.0	0.00	75.91	0.00	75.91
02/01/2018	#566198 TCA HERRON VAR	TCA LPCM FEES	1,765.33	4.41	92.0	0.00	4.41	0.00	4.41
02/01/2018	#651604	TCA PLATFORM FEE	8,446.28	-2.46	92.0	0.00	-2.46	0.00	-2.46
02/01/2018	#651588	TCA PLATFORM FEE	32,827.90	-9.57	92.0	0.00	-9.57	0.00	-9.57
* RIA SUBTOTAL *			\$4,047,039.90	\$997.94		\$0.00	\$997.94	\$0.00	\$997.94
*** BROKER TRANSACTIONS TOTAL ***			\$4,047,039.90	\$997.94		\$0.00	\$997.94	\$0.00	\$997.94

BROKER COMMISSION TOTALS

Broker Transactions	\$997.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$997.94
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