



Concorde Investment Services, LLC

03/06/2017
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COMMISSION STATEMENT

February 28, 2017

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: G0C
BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^01/23/2017	BRANDT, ROSEMARY	GPB AUTOMOTIVE PORTFOLIO LP	57,000.00	3,990.00	0.00	3,990.00	100.0	0.00
^01/25/2017	FORRAI, GERALDINE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$107,000.00	\$7,490.00	\$0.00	\$7,490.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/17/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	23,030.44	1,151.52	22.00	1,129.52	100.0	0.00
^02/22/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	43,509.05	2,175.45	22.00	2,153.45	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$66,539.49	\$3,326.97	\$44.00	\$3,282.97		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^02/22/2017	D'ERCOLE, CONNIE	COLONY NORTHSTAR INC CL A COM	-4,363.21	50.00	22.50	27.50	100.0	0.00
^02/22/2017	FORRAI, GERALDINE	NEW YORK REIT INC COM	-23,369.19	200.00	25.54	174.46	100.0	0.00
* STOCKS SUBTOTAL *			\$-27,732.40	\$250.00	\$48.04	\$201.96		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$145,807.09	\$11,066.97	\$92.04	\$10,974.93		\$0.00
<u>ADJUSTMENTS</u>								
02/28/2017		*PERSHING: MARK UPS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00