

**COMMISSION STATEMENT****January 15, 2018**

BARRY LE MAY
2242 Camden Ave
Suite 102
San Jose, CA 95124

BROKER #: L122
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/10/2018	YEE 2000 LIV TRUST, VINCENT	TD AMERITRADE	2,783,138.06	6,862.53	0.00	6,862.53	90.0	6,176.28
01/10/2018	YEE CHARITABLE TRUST, VINCENT	TD AMERITRADE	767,483.67	1,892.43	0.00	1,892.43	90.0	1,703.19
* RIA SUBTOTAL *			\$3,550,621.73	\$8,754.96	\$0.00	\$8,754.96		\$7,879.47
*** DIRECT TRANSACTIONS TOTAL ***			\$3,550,621.73	\$8,754.96	\$0.00	\$8,754.96		\$7,879.47

BROKER COMMISSION TOTALS

Broker Transactions	\$7,879.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-39.65
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,839.82
Year-to-date Gross	\$8,754.96
Year-to-date Earnings	\$7,879.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed