

## COMMISSION STATEMENT

June 09, 2017

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
05/04/2017	QGW032013	STROPE, DALE		ICICI BANK LTD SPONSORED ADR	B	11,088.00	300.00	0.00	300.00 82.5 247.50
04/26/2017	QGW032039	SANDERS, RALPH		INTERNATIONAL BUSINESS MACHINES COR B		32,036.00	700.00	0.00	700.00 82.5 577.50
05/02/2017	QGW032047	SHIER, ANDY		MAZOR ROBOTICS LTD SPONSORED ADS	B	9,122.50	250.00	0.00	250.00 82.5 206.25
04/26/2017	QGW032054	PAEGLOW, JOSEPH		SIMULATIONS PLUS INC COM	B	14,214.00	400.00	0.00	400.00 82.5 330.00
05/03/2017	QGW032054	PAEGLOW, JOSEPH		MAZOR ROBOTICS LTD SPONSORED ADS	B	8,950.00	250.00	0.00	250.00 82.5 206.25
04/26/2017	QGW032088	YALINEWITZ, BOAZ		ALIBABA GROUP HOLDING LTD SPONSORED B		6,199.20	120.00	0.00	120.00 82.5 99.00
04/26/2017	QGW032088	YALINEWITZ, BOAZ		TWITTER INC	S	-6,400.00	100.00	0.00	100.00 82.5 82.50
04/26/2017	QGW032096	YALINEWITZ, BOAZ		INTERNATIONAL BUSINESS MACHINES COR B		20,844.20	585.00	0.00	585.00 82.5 482.63
04/26/2017	QGW032096	YALINEWITZ, BOAZ		KINDER MORGAN INC	S	-16,834.40	330.00	0.00	330.00 82.5 272.25
04/28/2017	QGW032096	YALINEWITZ, BOAZ		QUALCOMM INC	S	-12,777.53	75.00	0.00	75.00 82.5 61.88
04/27/2017	QGW032104	DAVIDOV, WILLIAM		ILG INC COM	B	3,678.00	40.00	0.00	40.00 82.5 33.00
05/04/2017	QGW032104	DAVIDOV, WILLIAM		BIG 5 SPORTS GOODS CORP COM	S	-3,675.00	40.00	0.00	40.00 82.5 33.00
05/04/2017	QGW032104	DAVIDOV, WILLIAM		ICICI BANK LTD SPONSORED ADR	B	3,676.00	75.00	0.00	75.00 82.5 61.88
05/08/2017	QGW032104	DAVIDOV, WILLIAM		BLUCORA INC COM	B	4,150.00	40.00	0.00	40.00 82.5 33.00
05/08/2017	QGW032104	DAVIDOV, WILLIAM		ORASURE TECHNOLOGIES INC COM	S	-4,458.90	40.00	0.00	40.00 82.5 33.00
05/15/2017	QGW032104	DAVIDOV, WILLIAM		SIMULATIONS PLUS INC COM	S	-1,136.10	40.00	0.00	40.00 82.5 33.00
05/16/2017	QGW032104	DAVIDOV, WILLIAM		CAMTEK LTD SHS ISIN#IL0010844665	B	1,948.00	40.00	0.00	40.00 82.5 33.00
05/16/2017	QGW032104	DAVIDOV, WILLIAM		SIMULATIONS PLUS INC COM	S	-2,281.00	40.00	0.00	40.00 82.5 33.00
05/17/2017	QGW032104	DAVIDOV, WILLIAM		ADVANCED MICRO DEVICES INC	B	2,314.00	23.79	0.00	23.79 82.5 19.63
05/18/2017	QGW032104	DAVIDOV, WILLIAM		BAOZUN INC AMERICAN DEPOSITARY SHAR B		2,135.00	40.00	0.00	40.00 82.5 33.00
05/18/2017	QGW032104	DAVIDOV, WILLIAM		NUANCE COMMUNICATIONS INC	S	-2,760.00	55.00	0.00	55.00 82.5 45.38
05/25/2017	QGW032104	DAVIDOV, WILLIAM		BAOZUN INC AMERICAN DEPOSITARY SHAR S		-2,000.00	40.00	0.00	40.00 82.5 33.00
05/02/2017	QGW032112	CIARLO, ANTHONY		MAZOR ROBOTICS LTD SPONSORED ADS	B	5,163.20	140.00	0.00	140.00 82.5 115.50
05/19/2017	QGW032112	CIARLO, ANTHONY		AMERICAN EXPRESS COMPANY (N.Y)	S	-7,703.00	120.00	0.00	120.00 82.5 99.00
05/19/2017	QGW032112	CIARLO, ANTHONY		QUALCOMM INC	B	7,507.50	220.00	0.00	220.00 82.5 181.50
05/02/2017	QGW032120	DAVIDOV, WILLIAM		CYPRESS SEMICONDUCTOR CORP	S	-3,317.53	33.24	0.00	33.24 82.5 27.42
05/02/2017	QGW032120	DAVIDOV, WILLIAM		ING GROEP NV-SPONSORED ADR	B	4,970.10	45.00	0.00	45.00 82.5 37.13
05/22/2017	QGW032138	AUCHSTETTER, GERALD		AMERICAN EXPRESS COMPANY (N.Y)	S	-5,393.50	100.00	0.00	100.00 82.5 82.50
05/22/2017	QGW032138	AUCHSTETTER, GERALD		QUALCOMM INC	B	5,334.30	150.00	0.00	150.00 82.5 123.75
05/09/2017	QGW032187	CASTILLERO, MARK		NETFLIX COM INC	B	3,144.00	90.00	0.00	90.00 82.5 74.25
05/09/2017	QGW032187	CASTILLERO, MARK		WHITING PETROLEUM CORPORATION	S	-3,268.00	50.00	0.00	50.00 82.5 41.25

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/28/2017	QGW032195	NERY, GENEROSO	QUALCOMM INC	S	-15,393.00	75.00	0.00	75.00	82.5	61.88
05/04/2017	QGW032195	NERY, GENEROSO	GILEAD SCIENCES INC	B	8,178.00	235.00	0.00	235.00	82.5	193.88
05/04/2017	QGW032195	NERY, GENEROSO	MAZOR ROBOTICS LTD SPONSORED ADS	B	7,034.00	200.00	0.00	200.00	82.5	165.00
04/27/2017	QGW032229	WATSON, ROGER	MAZOR ROBOTICS LTD SPONSORED ADS	B	2,952.00	75.00	0.00	75.00	82.5	61.88
04/28/2017	QGW032237	PETERSON, SHAWN	QUALCOMM INC	S	-25,850.00	75.00	0.00	75.00	82.5	61.88
05/09/2017	QGW032237	PETERSON, SHAWN	CENTURYTEL INC	B	1,498.80	44.40	0.00	44.40	82.5	36.63
05/10/2017	QGW032237	PETERSON, SHAWN	CENTURYTEL INC	B	10,898.80	325.00	0.00	325.00	82.5	268.13
05/10/2017	QGW032237	PETERSON, SHAWN	COTY INC COM CL A	S	-15,592.00	100.00	0.00	100.00	82.5	82.50
05/15/2017	QGW032237	PETERSON, SHAWN	COHERUS BIOSCIENCES INC COM	B	10,947.50	325.00	0.00	325.00	82.5	268.13
05/03/2017	QGW032344	DYKSTRA, JAMES	MAZOR ROBOTICS LTD SPONSORED ADS	B	4,123.90	110.00	0.00	110.00	82.5	90.75
05/04/2017	QGW032351	COLLINS, DONNIE	ICICI BANK LTD SPONSORED ADR	B	4,650.00	130.00	0.00	130.00	82.5	107.25
05/04/2017	QGW032831	FEDYK, PAUL	CENTURYTEL INC	B	5,825.00	170.00	0.00	170.00	82.5	140.25
05/12/2017	QGW033300	SECCHIANO, EMILIA	ICICI BANK LTD SPONSORED ADR	B	7,391.52	220.00	0.00	220.00	82.5	181.50
04/28/2017	QGW033581	RYKEN, MICHAEL	QUALCOMM INC	S	-18,025.00	75.00	0.00	75.00	82.5	61.88
05/02/2017	QGW033581	RYKEN, MICHAEL	MAZOR ROBOTICS LTD SPONSORED ADS	B	9,140.00	200.00	0.00	200.00	82.5	165.00
05/03/2017	QGW033581	RYKEN, MICHAEL	MAZOR ROBOTICS LTD SPONSORED ADS	B	3,535.00	100.00	0.00	100.00	82.5	82.50
05/19/2017	QGW033581	RYKEN, MICHAEL	COHERUS BIOSCIENCES INC COM	B	11,475.00	340.00	0.00	340.00	82.5	280.50
05/10/2017	QGW033870	BROWN, KENNETH	NETFLIX COM INC	B	15,982.00	400.00	0.00	400.00	82.5	330.00
05/25/2017	QGW034100	SOMOGYI, ANTHONY	HUNTSMAN CORP	S	-6,077.50	180.00	0.00	180.00	82.5	148.50
05/25/2017	QGW034100	SOMOGYI, ANTHONY	NETFLIX COM INC	B	5,735.80	150.00	0.00	150.00	82.5	123.75
05/18/2017	QGW035073	POORMAN, JEFFREY	ALIBABA GROUP HOLDING LTD SPONSORED	B	916.00	12.00	0.00	12.00	82.5	9.90
05/15/2017	QGW036907	RICHARDS, ALAN	MAZOR ROBOTICS LTD SPONSORED ADS	B	7,760.00	150.00	0.00	150.00	82.5	123.75
* STOCKS SUBTOTAL *					\$111,574.86	\$8,263.43	\$0.00	\$8,263.43		\$6,817.39
PRODUCT CATEGORY: BONDS										
05/02/2017	QGW032021	JONES, HARVEY	HERTZ CORP SR NT FULLY EXCH FROM 40	B	18,900.00	500.00	0.00	500.00	82.5	412.50
05/02/2017	QGW032500	MAHER, MICHAEL	HERTZ CORP SR NT FULLY EXCH FROM 40	B	6,615.00	175.00	0.00	175.00	82.5	144.38
* BONDS SUBTOTAL *					\$25,515.00	\$675.00	\$0.00	\$675.00		\$556.88
PRODUCT CATEGORY: OPTIONS										
05/03/2017	QGW032674	ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR	B	960.00	25.00	0.00	25.00	82.5	20.63
* OPTIONS SUBTOTAL *					\$960.00	\$25.00	\$0.00	\$25.00		\$20.63
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/17/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C	B	0.00	5.86	0.00	5.86	82.5	4.83
04/17/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C	B	0.00	5.86	0.00	5.86	82.5	4.83
04/18/2017	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY	B	0.00	0.47	0.00	0.47	82.5	0.39
04/18/2017	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY	B	0.00	0.47	0.00	0.47	82.5	0.39
05/12/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C	B	0.00	5.66	0.00	5.66	82.5	4.67
05/12/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C	B	0.00	5.66	0.00	5.66	82.5	4.67
05/12/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C	B	0.00	5.66	0.00	5.66	82.5	4.67

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
05/16/2017	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY	B	0.00	0.47	0.00	0.47	82.5	0.39
04/20/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.70	0.00	0.70	82.5	0.58
04/20/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.70	0.00	0.70	82.5	0.58
05/11/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.68	0.00	0.68	82.5	0.56
05/11/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.68	0.00	0.68	82.5	0.56
05/11/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A	B	0.00	0.68	0.00	0.68	82.5	0.56
04/20/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.25	0.00	0.25	82.5	0.21
04/20/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.25	0.00	0.25	82.5	0.21
04/20/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.47	0.00	0.47	82.5	0.39
04/20/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.47	0.00	0.47	82.5	0.39
05/11/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.24	0.00	0.24	82.5	0.20
05/11/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.24	0.00	0.24	82.5	0.20
05/11/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A	B	0.00	0.24	0.00	0.24	82.5	0.20
05/11/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.45	0.00	0.45	82.5	0.37
05/11/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.45	0.00	0.45	82.5	0.37
05/11/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A	B	0.00	0.45	0.00	0.45	82.5	0.37
04/18/2017	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.28	0.00	0.28	82.5	0.23
04/18/2017	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.28	0.00	0.28	82.5	0.23
04/18/2017	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A	B	0.00	0.35	0.00	0.35	82.5	0.29
04/18/2017	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A	B	0.00	0.35	0.00	0.35	82.5	0.29
04/18/2017	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	82.5	0.13
04/18/2017	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	82.5	0.13
04/18/2017	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	82.5	0.23
04/18/2017	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	82.5	0.23
04/18/2017	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.35	0.00	0.35	82.5	0.29
04/18/2017	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.35	0.00	0.35	82.5	0.29
04/18/2017	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.16	0.00	0.16	82.5	0.13
04/18/2017	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.16	0.00	0.16	82.5	0.13
05/15/2017	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A	B	0.00	0.28	0.00	0.28	82.5	0.23
05/15/2017	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A	B	0.00	0.34	0.00	0.34	82.5	0.28
05/15/2017	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	B	0.00	0.16	0.00	0.16	82.5	0.13
05/15/2017	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	82.5	0.23
05/15/2017	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	B	0.00	0.34	0.00	0.34	82.5	0.28
05/15/2017	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.15	0.00	0.15	82.5	0.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$41.77	\$0.00	\$41.77	\$34.46	
*** BROKER TRANSACTIONS TOTAL ***					\$138,049.86	\$9,005.20	\$0.00	\$9,005.20	\$7,429.36	

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

06/09/2017	:			0.00	0.00	0.00	0.00		0.00
06/09/2017	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-8.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,429.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-8.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.26
SIPC Assessment	\$-168.85

Check Amount	\$7,241.25
Year-to-date Earnings	\$38,875.54