



Concorde Investment Services, LLC

07/12/2017  
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# **COMMISSION STATEMENT** **July 15, 2017**

101 CFGROUP/KOSANKE  
1120 E. Long Lake Road  
Suite 250  
Troy, MI 48085

**BROKER #: 101**  
**BRANCH: CONCORDE FINANCIAL G**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/19/2017	WETZEL, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	25,000.00	250.00	0.00	250.00	95.0	237.50
		* INTERVAL FUNDS SUBTOTAL *	\$25,000.00	\$250.00	\$0.00	\$250.00		\$237.50
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	HAINES, JILL	ALLIANCE BERNSTEIN CORE OPP A	0.00	0.83	0.00	0.83	95.0	0.79
07/03/2017	HAINES, JILL	ALLIANCE BERNSTEIN VALUE FUND A	0.00	0.54	0.00	0.54	95.0	0.51
07/03/2017	HARTOUNIAN, HAGOB BEN	ALLIANCE SMALLCAP	0.00	0.98	0.00	0.98	95.0	0.93
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN CORE OPPORTUNITIE	0.00	2.51	0.00	2.51	95.0	2.38
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	4.78	0.00	4.78	95.0	4.54
07/03/2017	GEORGE, RONALD	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.42	0.00	1.42	95.0	1.35
07/03/2017	PINKOS, CHRISTINE	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.05	0.00	0.05	95.0	0.05
07/03/2017	SEBLANI, MUSTAPHA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	3.05	0.00	3.05	95.0	2.90
07/03/2017	FLANNERY, CHRISTIAN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.23	0.00	0.23	95.0	0.22
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	9.76	0.00	9.76	95.0	9.27
07/03/2017	SEBLANI, MUSTAPHA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	6.23	0.00	6.23	95.0	5.92
07/03/2017	FLANNERY, CHRISTIAN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.47	0.00	0.47	95.0	0.45
07/03/2017	KIEFHABER, JEAN	ALLIANCEBERNSTEIN GLOBAL BOND A	0.00	5.70	0.00	5.70	95.0	5.42
07/03/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH A	0.00	12.25	0.00	12.25	95.0	11.64
07/03/2017	HARTOUNIAN, HAGOB BEN	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	0.96	0.00	0.96	95.0	0.91
07/03/2017	HARTOUNIAN, HAGOB BEN	ALLIANCEBERNSTEIN GROWTH AND INC C	0.00	2.04	0.00	2.04	95.0	1.94
06/20/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	50.00	2.00	0.00	2.00	95.0	1.90
07/03/2017	BRADEN, TERRI	ALLIANCEBERNSTEIN GROWTH B	0.00	5.48	0.00	5.48	95.0	5.21
07/03/2017	MOILANEN, STEPHEN	ALLIANCEBERNSTEIN HIGH INCOME A	0.00	2.25	0.00	2.25	95.0	2.14
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN INTL VALUE A	0.00	0.52	0.00	0.52	95.0	0.49
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN INTL VALUE C	0.00	1.42	0.00	1.42	95.0	1.35
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	8.66	0.00	8.66	95.0	8.23
07/03/2017	GEORGE, RONALD	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	1.71	0.00	1.71	95.0	1.62

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	SEBLANI, MUSTAPHA	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	4.00	0.00	4.00	95.0	3.80
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	17.81	0.00	17.81	95.0	16.92
07/03/2017	SEBLANI, MUSTAPHA	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	8.24	0.00	8.24	95.0	7.83
07/03/2017	HARTOUNIAN, HAGOB BEN	ALLIANCEBERNSTEIN SMALL CAP GROWTH	0.00	2.02	0.00	2.02	95.0	1.92
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	1.06	0.00	1.06	95.0	1.01
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	3.04	0.00	3.04	95.0	2.89
07/03/2017	OPALESKI, SCOTT	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.01	0.00	1.01	95.0	0.96
07/03/2017	KING, MACKENZIE	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.20	0.00	1.20	95.0	1.14
07/03/2017	LYNN GOODRICH JT TEN, CHERIL	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	0.93	0.00	0.93	95.0	0.88
07/03/2017	KING, RITA	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.14	0.00	1.14	95.0	1.08
07/03/2017	KING, COURTNEY	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	1.17	0.00	1.17	95.0	1.11
07/03/2017	HAINES, JILL	ALLIANCEBERNSTEIN VALUE FUND C	0.00	1.74	0.00	1.74	95.0	1.65
06/26/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
07/06/2017	LISIECKI, MARGARET	AM FUNDS AMERICAN MUTUAL A	500.00	21.25	0.00	21.25	95.0	20.19
07/03/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
07/03/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
07/03/2017	SAWYER, ALONZO	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	1.88	0.00	1.88	95.0	1.79
07/03/2017	BAILEY, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	95.0	2.38
07/03/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	95.0	2.02
07/05/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
06/30/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
07/03/2017	BAILEY, STEVEN	AM FUNDS ICA A	50.00	2.50	0.00	2.50	95.0	2.38
07/03/2017	MCLAUGHLIN, TRACY	AM FUNDS ICA A	150.00	7.50	0.00	7.50	95.0	7.13
07/05/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
06/26/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/30/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/30/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/16/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
06/16/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
06/30/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/16/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	100.00	3.75	0.00	3.75	95.0	3.56
06/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
06/30/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/09/2017	WERNER, DOROTHY	DREYFUS HIGH YIELD A	0.00	2.37	0.00	2.37	95.0	2.25
/ /	KRAUSE, BARBARA	FIRST INVESTORS MULTI STATE INSD TA	0.00	99.62	0.00	99.62	95.0	94.64
06/26/2017	DAIZA, PHILLIP	INVESCO CHARTER FUND C	50.00	0.50	0.00	0.50	95.0	0.48
06/09/2017	ALEXOPOULOS, PAUL	LORD ABBETT BOND DEBENTURE A	0.00	3.61	0.00	3.61	95.0	3.43
07/01/2017	BLACKBURN, GLORIA	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	1.77	0.00	1.77	95.0	1.68
07/01/2017	CHIDSEY, DARRYL	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	11.62	0.00	11.62	95.0	11.04
07/01/2017	MARVIN, SAMANTHA	MFS CORE EQUITY A	0.00	1.17	0.00	1.17	95.0	1.11
07/01/2017	AUMAN, ABBY G M	MFS CORE EQUITY A	0.00	1.20	0.00	1.20	95.0	1.14
07/01/2017	MELLERT, BETH	MFS CORE EQUITY C	0.00	12.59	0.00	12.59	95.0	11.96
07/01/2017	BLACKBURN, W ALLEN	MFS CORE EQUITY C	0.00	5.88	0.00	5.88	95.0	5.59
07/01/2017	MUNTEANU, RADU	MFS EMERGING MARKETS EQUITY A	0.00	12.49	0.00	12.49	95.0	11.87
07/01/2017	AUMAN, ABBY G M	MFS GLOBAL GROWTH A	0.00	1.43	0.00	1.43	95.0	1.36
07/01/2017	HOWARD, ANNE	MFS GROWTH A	0.00	11.46	0.00	11.46	95.0	10.89
07/01/2017	WRIGHT, JAMES	MFS GROWTH A	0.00	1.37	0.00	1.37	95.0	1.30
07/01/2017	O'CONNOR, CYNTHIA	MFS GROWTH A	0.00	3.15	0.00	3.15	95.0	2.99
07/01/2017	MARVIN, SAMANTHA	MFS GROWTH A	0.00	2.19	0.00	2.19	95.0	2.08
07/01/2017	AUMAN, ABBY G M	MFS GROWTH A	0.00	2.17	0.00	2.17	95.0	2.06
07/01/2017	RITTER, KYLE	MFS GROWTH A	0.00	1.75	0.00	1.75	95.0	1.66
07/01/2017	MELLERT, BETH	MFS GROWTH C	0.00	11.09	0.00	11.09	95.0	10.54
07/01/2017	BLACKBURN, W ALLEN	MFS GROWTH C	0.00	4.93	0.00	4.93	95.0	4.68
07/01/2017	WEIDRICK, RUSSELL	MFS GROWTH C	0.00	9.45	0.00	9.45	95.0	8.98
07/01/2017	LLOYD, HEATHER	MFS HIGH INCOME A	0.00	1.64	0.00	1.64	95.0	1.56
07/01/2017	PASEK, KEVIN	MFS MASS INVESTORS GROWTH STOCK A	0.00	3.56	0.00	3.56	95.0	3.38
07/01/2017	PASEK, CHRISTINE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.32	0.00	1.32	95.0	1.25
07/01/2017	PASEK, CHRISTINE	MFS MASS INVESTORS GROWTH STOCK A	0.00	11.71	0.00	11.71	95.0	11.12
07/01/2017	CHESNEY TRUST, CHRISTINE	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.95	0.00	0.95	95.0	0.90
07/01/2017	MELLERT, BETH	MFS MASS INVESTORS GROWTH STOCK C	0.00	12.94	0.00	12.94	95.0	12.29
07/01/2017	BLACKBURN, W ALLEN	MFS MASS INVESTORS GROWTH STOCK C	0.00	5.78	0.00	5.78	95.0	5.49
07/01/2017	RITTER, KYLE	MFS MASS INVESTORS TRUST A	0.00	3.02	0.00	3.02	95.0	2.87
07/01/2017	MELLERT, BETH	MFS MIDCAP GROWTH C	0.00	8.81	0.00	8.81	95.0	8.37
07/01/2017	BLACKBURN, W ALLEN	MFS MIDCAP GROWTH C	0.00	3.87	0.00	3.87	95.0	3.68
07/01/2017	KUSNERAK, SYLVIA	MFS MODERATE ALLOCATION A	0.00	24.34	0.00	24.34	95.0	23.12
07/01/2017	ENDRESS, ROBERT	MFS MUNICIPAL INCOME A	0.00	13.13	0.00	13.13	95.0	12.47

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/01/2017	WEIDRICK, RUSSELL	MFS NEW DISCOVERY C	0.00	9.66	0.00	9.66	95.0	9.18
07/01/2017	HOWARD, ANNE	MFS RESEARCH A	0.00	10.90	0.00	10.90	95.0	10.36
07/01/2017	LAI, DOROTHY	MFS RESEARCH A	0.00	11.04	0.00	11.04	95.0	10.49
07/01/2017	WRIGHT, JAMES	MFS RESEARCH A	0.00	2.81	0.00	2.81	95.0	2.67
07/01/2017	ZYREK, THOMAS	MFS RESEARCH A	0.00	7.91	0.00	7.91	95.0	7.51
07/01/2017	ENDRESS, ROBERT	MFS RESEARCH A	0.00	48.94	0.00	48.94	95.0	46.49
07/01/2017	RITTER, KYLE	MFS RESEARCH A	0.00	2.13	0.00	2.13	95.0	2.02
07/01/2017	MARVIN, SAMANTHA	MFS RESEARCH INTL A	0.00	1.24	0.00	1.24	95.0	1.18
07/01/2017	MELLERT, BETH	MFS RESEARCH INTL C	0.00	13.75	0.00	13.75	95.0	13.06
07/01/2017	BLACKBURN, W ALLEN	MFS RESEARCH INTL C	0.00	6.57	0.00	6.57	95.0	6.24
07/01/2017	DUPNACK, JESSICA	MFS TOTAL RETURN A	0.00	0.25	0.00	0.25	95.0	0.24
07/01/2017	MELLIS, STEVEN	MFS TOTAL RETURN A	0.00	4.73	0.00	4.73	95.0	4.49
07/01/2017	SARKISIAN, NORAY	MFS TOTAL RETURN A	0.00	16.69	0.00	16.69	95.0	15.86
07/01/2017	KING, VICTORIA	MFS TOTAL RETURN A	0.00	48.43	0.00	48.43	95.0	46.01
07/01/2017	DUPNACK, JESSICA	MFS TOTAL RETURN B	0.00	0.36	0.00	0.36	95.0	0.34
07/01/2017	MELLIS, STEVEN	MFS VALUE A	0.00	5.74	0.00	5.74	95.0	5.45
/ /	KOSANKE, LORI	OPPENHEIMER CAPITAL APPRECIATION A	0.00	4.58	0.00	4.58	95.0	4.35
/ /	HOLT, DEBBIE	OPPENHEIMER CAPITAL APPRECIATION A	0.00	63.59	0.00	63.59	95.0	60.41
/ /	POOLEY, TATIANA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.02	0.00	0.02	95.0	0.02
/ /	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.33	0.00	0.33	95.0	0.31
/ /	TRINH, CHRISTINE	OPPENHEIMER CAPITAL APPRECIATION A	0.00	2.53	0.00	2.53	95.0	2.40
/ /	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	0.00	14.50	0.00	14.50	95.0	13.78
/ /	KEECH, SCOTT	OPPENHEIMER CAPITAL APPRECIATION A	0.00	9.21	0.00	9.21	95.0	8.75
/ /	KOSANKE, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	5.91	0.00	5.91	95.0	5.61
/ /	MAYNARD, JULIE	OPPENHEIMER CAPITAL APPRECIATION A	0.00	13.81	0.00	13.81	95.0	13.12
/ /	SOLDAN, JULIE	OPPENHEIMER CAPITAL APPRECIATION A	0.00	13.37	0.00	13.37	95.0	12.70
/ /	WATKINS, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	7.45	0.00	7.45	95.0	7.08
/ /	RUFFIN, JOHN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	13.66	0.00	13.66	95.0	12.98
/ /	NEUER-MIHALAK, LORIE	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.70	0.00	3.70	95.0	3.52
/ /	CAPWELL, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	11.46	0.00	11.46	95.0	10.89
/ /	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	20.42	0.00	20.42	95.0	19.40
/ /	TAKACS, RYAN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	4.20	0.00	4.20	95.0	3.99
/ /	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.09	0.00	0.09	95.0	0.09

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	BUTLER, SANDRA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.03	0.00	0.03	95.0	0.03
/ /	JOUPPI, SHARON	OPPENHEIMER CAPITAL APPRECIATION A	0.00	16.26	0.00	16.26	95.0	15.45
/ /	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.17	0.00	0.17	95.0	0.16
06/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	95.0	2.26
06/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	27.02	1.28	0.00	1.28	95.0	1.22
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.00	0.00	2.00	95.0	1.90
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	43.74	1.75	0.00	1.75	95.0	1.66
/ /	WATKINS, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION B	0.00	0.07	0.00	0.07	95.0	0.07
/ /	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION B	0.00	2.36	0.00	2.36	95.0	2.24
/ /	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION B	0.00	0.05	0.00	0.05	95.0	0.05
/ /	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION B	0.00	1.30	0.00	1.30	95.0	1.24
/ /	GALLAS, MICHAEL	OPPENHEIMER CAPITAL APPRECIATION C	0.00	13.35	0.00	13.35	95.0	12.68
/ /	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	0.00	19.84	0.00	19.84	95.0	18.85
/ /	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	0.00	15.24	0.00	15.24	95.0	14.48
/ /	DINKA, JOHN	OPPENHEIMER CAPITAL APPRECIATION C	0.00	96.13	0.00	96.13	95.0	91.32
/ /	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	28.72	0.00	28.72	95.0	27.28
/ /	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	6.03	0.00	6.03	95.0	5.73
/ /	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	0.00	18.08	0.00	18.08	95.0	17.18
/ /	HENRY, JACQUELINE	OPPENHEIMER CAPITAL APPRECIATION C	0.00	4.64	0.00	4.64	95.0	4.41
/ /	JOHNSTON, HEATHER	OPPENHEIMER CAPITAL APPRECIATION C	0.00	3.37	0.00	3.37	95.0	3.20
/ /	KOGUT, MONICA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	3.11	0.00	3.11	95.0	2.95
/ /	HOWEY, JESSICA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	0.42	0.00	0.42	95.0	0.40
06/19/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
06/19/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
06/26/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	18.74	0.19	0.00	0.19	95.0	0.18
06/26/2017	ELIAS, JANICE	OPPENHEIMER CAPITAL APPRECIATION C	11.24	0.11	0.00	0.11	95.0	0.10
06/26/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	204.00	2.04	0.00	2.04	95.0	1.94
06/26/2017	DITRI, ANN	OPPENHEIMER CAPITAL APPRECIATION C	87.87	0.88	0.00	0.88	95.0	0.84
06/26/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	67.44	0.67	0.00	0.67	95.0	0.64
06/26/2017	BAKER, STEVEN	OPPENHEIMER CAPITAL APPRECIATION C	64.83	0.65	0.00	0.65	95.0	0.62
/ /	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	0.00	0.35	0.00	0.35	95.0	0.33
/ /	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	0.00	10.97	0.00	10.97	95.0	10.42
06/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	50.00	2.38	0.00	2.38	95.0	2.26

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/19/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	27.02	1.28	0.00	1.28	95.0	1.22
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	50.00	2.00	0.00	2.00	95.0	1.90
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	43.74	1.75	0.00	1.75	95.0	1.66
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS A	0.00	9.75	0.00	9.75	95.0	9.26
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS B	0.00	0.76	0.00	0.76	95.0	0.72
/ /	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	0.00	11.51	0.00	11.51	95.0	10.93
/ /	BOYD, SONYA	OPPENHEIMER DISCOVERY A	0.00	0.83	0.00	0.83	95.0	0.79
/ /	KEECH, SCOTT	OPPENHEIMER DISCOVERY A	0.00	3.61	0.00	3.61	95.0	3.43
/ /	KOLDERMAN, ETHAN	OPPENHEIMER DISCOVERY A	0.00	3.96	0.00	3.96	95.0	3.76
/ /	KOLDERMAN, NATHAN	OPPENHEIMER DISCOVERY A	0.00	4.52	0.00	4.52	95.0	4.29
/ /	LABAZA, LYNN	OPPENHEIMER DISCOVERY A	0.00	4.83	0.00	4.83	95.0	4.59
/ /	LABAZA, JOHN	OPPENHEIMER DISCOVERY A	0.00	4.83	0.00	4.83	95.0	4.59
/ /	KOSANKE, MARK	OPPENHEIMER DISCOVERY A	0.00	5.31	0.00	5.31	95.0	5.04
/ /	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	0.00	20.47	0.00	20.47	95.0	19.45
/ /	LABAZA, LYNN	OPPENHEIMER DISCOVERY C	0.00	57.73	0.00	57.73	95.0	54.84
/ /	LABAZA, JOHN	OPPENHEIMER DISCOVERY C	0.00	57.73	0.00	57.73	95.0	54.84
06/26/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	181.84	1.82	0.00	1.82	95.0	1.73
06/26/2017	DAMORE, MONICA	OPPENHEIMER DISCOVERY C	32.09	0.32	0.00	0.32	95.0	0.30
/ /	KOSANKE, LORI	OPPENHEIMER EQUITY INCOME A	0.00	4.57	0.00	4.57	95.0	4.34
/ /	POOLEY, TATIANA	OPPENHEIMER EQUITY INCOME A	0.00	0.03	0.00	0.03	95.0	0.03
/ /	GOLD, LORNE	OPPENHEIMER EQUITY INCOME A	0.00	5.03	0.00	5.03	95.0	4.78
/ /	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	0.00	20.31	0.00	20.31	95.0	19.29
/ /	JOHNSTON, HEATHER	OPPENHEIMER EQUITY INCOME C	0.00	3.15	0.00	3.15	95.0	2.99
/ /	KOGUT, MONICA	OPPENHEIMER EQUITY INCOME C	0.00	2.92	0.00	2.92	95.0	2.77
/ /	HOWEY, JESSICA	OPPENHEIMER EQUITY INCOME C	0.00	0.45	0.00	0.45	95.0	0.43
06/26/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	181.84	1.82	0.00	1.82	95.0	1.73
06/26/2017	DAMORE, MONICA	OPPENHEIMER EQUITY INCOME C	32.09	0.32	0.00	0.32	95.0	0.30
/ /	SMOLAREK, CHERYL	OPPENHEIMER EQUITY INVESTOR B	0.00	2.48	0.00	2.48	95.0	2.36
/ /	PURCELL, JOSHUA	OPPENHEIMER GLOBAL A	0.00	0.56	0.00	0.56	95.0	0.53
/ /	ROSENBERG, MARCY	OPPENHEIMER GLOBAL A	0.00	13.99	0.00	13.99	95.0	13.29
/ /	GOLD, LORNE	OPPENHEIMER GLOBAL A	0.00	6.76	0.00	6.76	95.0	6.42
/ /	STIRSMAN, MATTHEW	OPPENHEIMER GLOBAL A	0.00	19.72	0.00	19.72	95.0	18.73
/ /	TAKACS, RYAN	OPPENHEIMER GLOBAL A	0.00	4.16	0.00	4.16	95.0	3.95

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	CRANDALL, MEGAN	OPPENHEIMER GLOBAL ALLOCATION A	0.00	0.10	0.00	0.10	95.0	0.10
/ /	MAYNARD, JULIE	OPPENHEIMER GLOBAL ALLOCATION A	0.00	10.63	0.00	10.63	95.0	10.10
/ /	SOLDAN, JULIE	OPPENHEIMER GLOBAL ALLOCATION A	0.00	9.78	0.00	9.78	95.0	9.29
/ /	MICHAELS, CHRISTINA	OPPENHEIMER GLOBAL ALLOCATION A	0.00	3.91	0.00	3.91	95.0	3.71
/ /	DITRI, ANN	OPPENHEIMER GLOBAL C	0.00	15.07	0.00	15.07	95.0	14.32
/ /	HENRY, JACQUELINE	OPPENHEIMER GLOBAL C	0.00	4.33	0.00	4.33	95.0	4.11
06/26/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	18.18	0.18	0.00	0.18	95.0	0.17
06/26/2017	ELIAS, JANICE	OPPENHEIMER GLOBAL C	10.91	0.11	0.00	0.11	95.0	0.10
06/26/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	198.00	1.98	0.00	1.98	95.0	1.88
06/26/2017	DITRI, ANN	OPPENHEIMER GLOBAL C	85.28	0.85	0.00	0.85	95.0	0.81
/ /	KOSANKE, LORI	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	2.20	0.00	2.20	95.0	2.09
/ /	KOSANKE, MARK	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	6.15	0.00	6.15	95.0	5.84
/ /	MIKLEVICH, MICHAEL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	19.11	0.00	19.11	95.0	18.15
/ /	MAYNARD, JULIE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	16.09	0.00	16.09	95.0	15.29
/ /	ZYREK, DARLENE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	50.07	0.00	50.07	95.0	47.57
/ /	MERRITT, MARY LOU	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	16.24	0.00	16.24	95.0	15.43
/ /	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.21	0.00	0.21	95.0	0.20
/ /	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.90	0.00	1.90	95.0	1.81
/ /	TRINH, CHRISTINE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	2.80	0.00	2.80	95.0	2.66
/ /	FERRARI, JACQUELINE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	5.72	0.00	5.72	95.0	5.43
/ /	NEUER-MIHALAK, LORIE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	7.43	0.00	7.43	95.0	7.06
/ /	CAPWELL, MARK	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	16.80	0.00	16.80	95.0	15.96
/ /	DEW, DESIREE	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	16.52	0.00	16.52	95.0	15.69
/ /	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	28.53	0.00	28.53	95.0	27.10
/ /	TRBOVICH, HEATHER	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.07	0.00	1.07	95.0	1.02
/ /	HOWEY, JESSICA	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.51	0.00	0.51	95.0	0.48
/ /	SMITH, ASHLEY	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.09	0.00	0.09	95.0	0.09
/ /	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES B	0.00	2.04	0.00	2.04	95.0	1.94
/ /	DEW, DESIREE	OPPENHEIMER GLOBAL OPPORTUNITIES B	0.00	0.35	0.00	0.35	95.0	0.33
/ /	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES B	0.00	2.69	0.00	2.69	95.0	2.56
/ /	NEED, GREGORY	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	19.88	0.00	19.88	95.0	18.89
/ /	GALLAS, MICHAEL	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	3.37	0.00	3.37	95.0	3.20
/ /	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	23.29	0.00	23.29	95.0	22.13

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	26.75	0.00	26.75	95.0	25.41
/ /	DINKA, JOHN	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	57.17	0.00	57.17	95.0	54.31
/ /	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	24.88	0.00	24.88	95.0	23.64
/ /	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	35.35	0.00	35.35	95.0	33.58
06/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
06/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
06/26/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	181.84	1.82	0.00	1.82	95.0	1.73
06/26/2017	DAMORE, MONICA	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.09	0.32	0.00	0.32	95.0	0.30
06/26/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	30.00	0.30	0.00	0.30	95.0	0.29
06/26/2017	MCCOLLEY, SHEA	OPPENHEIMER GLOBAL OPPORTUNITIES C	31.33	0.31	0.00	0.31	95.0	0.29
/ /	BOYD, SONYA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.57	0.00	0.57	95.0	0.54
/ /	TATUM, PATICIA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.10	0.00	1.10	95.0	1.05
/ /	CRANDALL, MEGAN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.33	0.00	0.33	95.0	0.31
/ /	GOLD, LORNE	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.77	0.00	0.77	95.0	0.73
/ /	MICHAELS, CHRISTINA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.65	0.00	4.65	95.0	4.42
/ /	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	14.42	0.00	14.42	95.0	13.70
/ /	HENRY, JACQUELINE	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	3.86	0.00	3.86	95.0	3.67
06/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
06/19/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
/ /	HENRY, JACQUELINE	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	3.39	0.00	3.39	95.0	3.22
/ /	GOLD, LORNE	OPPENHEIMER INTL BOND A	0.00	1.38	0.00	1.38	95.0	1.31
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND A	0.00	0.15	0.00	0.15	95.0	0.14
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND B	0.00	0.68	0.00	0.68	95.0	0.65
/ /	BAKER, STEVEN	OPPENHEIMER INTL BOND C	0.00	14.52	0.00	14.52	95.0	13.79
/ /	HALSEY, DEBRA	OPPENHEIMER INTL BOND C	0.00	3.87	0.00	3.87	95.0	3.68
06/26/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	67.42	0.67	0.00	0.67	95.0	0.64
06/26/2017	BAKER, STEVEN	OPPENHEIMER INTL BOND C	64.82	0.65	0.00	0.65	95.0	0.62
/ /	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	0.11	0.00	0.11	95.0	0.10
/ /	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED B	0.00	1.08	0.00	1.08	95.0	1.03
/ /	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	0.00	16.32	0.00	16.32	95.0	15.50
06/26/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	67.44	0.67	0.00	0.67	95.0	0.64
06/26/2017	BAKER, STEVEN	OPPENHEIMER INTL DIVERSIFIED C	64.83	0.65	0.00	0.65	95.0	0.62
/ /	GOLD, LORNE	OPPENHEIMER INTL GROWTH A	0.00	1.52	0.00	1.52	95.0	1.44

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MUNTEANU, RADU	OPPENHEIMER INTL GROWTH A	0.00	1.06	0.00	1.06	95.0	1.01
/ /	CAPWELL, MARK	OPPENHEIMER INTL GROWTH A	0.00	1.96	0.00	1.96	95.0	1.86
/ /	NEED, GREGORY	OPPENHEIMER INTL GROWTH C	0.00	24.72	0.00	24.72	95.0	23.48
/ /	OWENS, JEAN	OPPENHEIMER INTL SMALL CO A	0.00	1.46	0.00	1.46	95.0	1.39
/ /	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	0.00	0.40	0.00	0.40	95.0	0.38
/ /	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	0.00	18.98	0.00	18.98	95.0	18.03
06/19/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	50.00	2.38	0.00	2.38	95.0	2.26
06/19/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	27.00	1.28	0.00	1.28	95.0	1.22
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	50.00	2.00	0.00	2.00	95.0	1.90
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	43.75	1.75	0.00	1.75	95.0	1.66
/ /	DEREY, DENISE	OPPENHEIMER MAIN ST SELECT C	0.00	0.26	0.00	0.26	95.0	0.25
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	0.00	64.01	0.00	64.01	95.0	60.81
/ /	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	0.00	6.00	0.00	6.00	95.0	5.70
/ /	HOWEY, JESSICA	OPPENHEIMER MAIN ST SELECT C	0.00	0.50	0.00	0.50	95.0	0.48
06/26/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	18.18	0.18	0.00	0.18	95.0	0.17
06/26/2017	ELIAS, JANICE	OPPENHEIMER MAIN ST SELECT C	10.91	0.11	0.00	0.11	95.0	0.10
/ /	NEED, GREGORY	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	16.60	0.00	16.60	95.0	15.77
/ /	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	19.83	0.00	19.83	95.0	18.84
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	65.36	0.00	65.36	95.0	62.09
/ /	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	20.89	0.00	20.89	95.0	19.85
/ /	HENRY, JACQUELINE	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	4.43	0.00	4.43	95.0	4.21
06/19/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
06/19/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
06/26/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	181.83	1.82	0.00	1.82	95.0	1.73
06/26/2017	DAMORE, MONICA	OPPENHEIMER MAIN ST SMALL & MID CAP	32.09	0.32	0.00	0.32	95.0	0.30
/ /	KOSANKE, LORI	OPPENHEIMER MAIN STREET A	0.00	2.01	0.00	2.01	95.0	1.91
/ /	TATUM, PATICIA	OPPENHEIMER MAIN STREET A	0.00	0.56	0.00	0.56	95.0	0.53
/ /	BARRY, MEGAN	OPPENHEIMER MAIN STREET A	0.00	6.09	0.00	6.09	95.0	5.79
/ /	BOYD, SONYA	OPPENHEIMER MAIN STREET A	0.00	1.07	0.00	1.07	95.0	1.02
/ /	VISAN, JAMES	OPPENHEIMER MAIN STREET A	0.00	0.25	0.00	0.25	95.0	0.24
/ /	CRANDALL, MEGAN	OPPENHEIMER MAIN STREET A	0.00	1.09	0.00	1.09	95.0	1.04
/ /	POOLEY, TATIANA	OPPENHEIMER MAIN STREET A	0.00	0.04	0.00	0.04	95.0	0.04
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN STREET A	0.00	5.14	0.00	5.14	95.0	4.88

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	GOLD, LORNE	OPPENHEIMER MAIN STREET A	0.00	11.22	0.00	11.22	95.0	10.66
/ /	KOSANKE, MARK	OPPENHEIMER MAIN STREET A	0.00	8.10	0.00	8.10	95.0	7.70
/ /	MAYNARD, JULIE	OPPENHEIMER MAIN STREET A	0.00	10.51	0.00	10.51	95.0	9.98
/ /	MCDONALD, VICKI	OPPENHEIMER MAIN STREET A	0.00	8.82	0.00	8.82	95.0	8.38
/ /	PALAZZOLO, GABRIELLA	OPPENHEIMER MAIN STREET A	0.00	7.08	0.00	7.08	95.0	6.73
/ /	BUTLER, SANDRA	OPPENHEIMER MAIN STREET A	0.00	0.11	0.00	0.11	95.0	0.10
/ /	JOUPPI, SHARON	OPPENHEIMER MAIN STREET A	0.00	13.73	0.00	13.73	95.0	13.04
/ /	COWAN, KEVIN	OPPENHEIMER MAIN STREET A	0.00	0.65	0.00	0.65	95.0	0.62
/ /	TRBOVICH, HEATHER	OPPENHEIMER MAIN STREET A	0.00	0.96	0.00	0.96	95.0	0.91
/ /	HOWEY, JESSICA	OPPENHEIMER MAIN STREET A	0.00	0.46	0.00	0.46	95.0	0.44
/ /	SMITH, ASHLEY	OPPENHEIMER MAIN STREET A	0.00	0.09	0.00	0.09	95.0	0.09
/ /	BUSZEK, RICHARD	OPPENHEIMER MAIN STREET A	0.00	10.29	0.00	10.29	95.0	9.78
/ /	BARRY, MEGAN	OPPENHEIMER MAIN STREET B	0.00	0.69	0.00	0.69	95.0	0.66
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN STREET B	0.00	5.47	0.00	5.47	95.0	5.20
/ /	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	0.00	8.11	0.00	8.11	95.0	7.70
/ /	NEED, GREGORY	OPPENHEIMER MAIN STREET C	0.00	35.02	0.00	35.02	95.0	33.27
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	0.00	64.97	0.00	64.97	95.0	61.72
/ /	GRIFFIN, JAMES	OPPENHEIMER MAIN STREET C	0.00	0.41	0.00	0.41	95.0	0.39
/ /	GRIFFIN, ALAN	OPPENHEIMER MAIN STREET C	0.00	13.27	0.00	13.27	95.0	12.61
/ /	GALLAS, MICHAEL	OPPENHEIMER MAIN STREET C	0.00	15.15	0.00	15.15	95.0	14.39
/ /	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	0.00	0.75	0.00	0.75	95.0	0.71
/ /	HENRY, JACQUELINE	OPPENHEIMER MAIN STREET C	0.00	6.45	0.00	6.45	95.0	6.13
/ /	JOHNSTON, HEATHER	OPPENHEIMER MAIN STREET C	0.00	3.58	0.00	3.58	95.0	3.40
/ /	KOGUT, MONICA	OPPENHEIMER MAIN STREET C	0.00	3.38	0.00	3.38	95.0	3.21
06/26/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	30.00	0.30	0.00	0.30	95.0	0.29
06/26/2017	MCCOLLEY, SHEA	OPPENHEIMER MAIN STREET C	31.33	0.31	0.00	0.31	95.0	0.29
/ /	KOSANKE, LORI	OPPENHEIMER MAIN STREET OPP A	0.00	4.63	0.00	4.63	95.0	4.40
/ /	CAPWELL, MARK	OPPENHEIMER MAIN STREET OPP A	0.00	12.63	0.00	12.63	95.0	12.00
/ /	TAKACS, RYAN	OPPENHEIMER MAIN STREET OPP A	0.00	4.26	0.00	4.26	95.0	4.05
/ /	HICKS, MELISSA	OPPENHEIMER MAIN STREET OPP A	0.00	0.10	0.00	0.10	95.0	0.10
/ /	HICKS, MELISSA	OPPENHEIMER MAIN STREET OPP B	0.00	0.10	0.00	0.10	95.0	0.10
/ /	SOLDAN, JULIE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	15.13	0.00	15.13	95.0	14.37
/ /	DITRI, ANN	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.24	0.00	2.24	95.0	2.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MICHAELS, CHRISTINA	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	5.69	0.00	5.69	95.0	5.41
/ /	GOLD, LORNE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	7.85	0.00	7.85	95.0	7.46
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	5.47	0.00	5.47	95.0	5.20
/ /	CAPWELL, MARK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	11.05	0.00	11.05	95.0	10.50
/ /	DINKA, CATHERINE	OPPENHEIMER MAIN STREET SMALLCAP B	0.00	5.91	0.00	5.91	95.0	5.61
/ /	SMOLAREK, CHERYL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	9.10	0.00	9.10	95.0	8.65
/ /	CZARNECKI, THERESA	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	18.89	0.00	18.89	95.0	17.95
/ /	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	29.10	0.00	29.10	95.0	27.65
/ /	MICHAELS, CHRISTINA	OPPENHEIMER QUEST INT'L VALUE A	0.00	3.52	0.00	3.52	95.0	3.34
/ /	TRINH, CHRISTINE	OPPENHEIMER QUEST INT'L VALUE A	0.00	1.48	0.00	1.48	95.0	1.41
/ /	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	0.00	11.33	0.00	11.33	95.0	10.76
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	50.00	2.00	0.00	2.00	95.0	1.90
06/19/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	43.74	1.75	0.00	1.75	95.0	1.66
/ /	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE A	0.00	45.93	0.00	45.93	95.0	43.63
/ /	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE A	0.00	0.10	0.00	0.10	95.0	0.10
/ /	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE B	0.00	6.42	0.00	6.42	95.0	6.10
/ /	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE B	0.00	0.84	0.00	0.84	95.0	0.80
/ /	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	0.00	1.34	0.00	1.34	95.0	1.27
/ /	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	0.00	89.76	0.00	89.76	95.0	85.27
/ /	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	0.00	14.78	0.00	14.78	95.0	14.04
06/26/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	62.67	0.63	0.00	0.63	95.0	0.60
06/26/2017	MCCOLLEY, SHEA	OPPENHEIMER QUEST OPP VALUE C	60.00	0.60	0.00	0.60	95.0	0.57
06/26/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	67.44	0.67	0.00	0.67	95.0	0.64
06/26/2017	BAKER, STEVEN	OPPENHEIMER QUEST OPP VALUE C	64.83	0.65	0.00	0.65	95.0	0.62
/ /	KOSANKE, LORI	OPPENHEIMER REAL ESTATE A	0.00	1.96	0.00	1.96	95.0	1.86
/ /	TRBOVICH, HEATHER	OPPENHEIMER REAL ESTATE A	0.00	0.86	0.00	0.86	95.0	0.82
/ /	HOWEY, JESSICA	OPPENHEIMER REAL ESTATE A	0.00	0.42	0.00	0.42	95.0	0.40
/ /	KOSANKE, MARK	OPPENHEIMER REAL ESTATE A	0.00	1.94	0.00	1.94	95.0	1.84
/ /	SMITH, ASHLEY	OPPENHEIMER REAL ESTATE A	0.00	0.08	0.00	0.08	95.0	0.08
/ /	KOSANKE, LORI	OPPENHEIMER RISING DIVIDENDS A	0.00	4.46	0.00	4.46	95.0	4.24
/ /	POOLEY, TATIANA	OPPENHEIMER RISING DIVIDENDS A	0.00	0.03	0.00	0.03	95.0	0.03
/ /	NEED, GREGORY	OPPENHEIMER RISING DIVIDENDS C	0.00	22.41	0.00	22.41	95.0	21.29
/ /	GALLAS, MICHAEL	OPPENHEIMER RISING DIVIDENDS C	0.00	10.90	0.00	10.90	95.0	10.36

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	HENRY, JACQUELINE	OPPENHEIMER RISING DIVIDENDS C	0.00	4.35	0.00	4.35	95.0	4.13
/ /	JOHNSTON, HEATHER	OPPENHEIMER RISING DIVIDENDS C	0.00	3.28	0.00	3.28	95.0	3.12
/ /	KOGUT, MONICA	OPPENHEIMER RISING DIVIDENDS C	0.00	3.09	0.00	3.09	95.0	2.94
/ /	HOWEY, JESSICA	OPPENHEIMER RISING DIVIDENDS C	0.00	0.48	0.00	0.48	95.0	0.46
/ /	CAPWELL, MARK	OPPENHEIMER SELECT VALUE A	0.00	3.79	0.00	3.79	95.0	3.60
/ /	NEED, GREGORY	OPPENHEIMER SELECT VALUE C	0.00	22.65	0.00	22.65	95.0	21.52
/ /	LABAZA, LYNN	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	4.82	0.00	4.82	95.0	4.58
/ /	LABAZA, JOHN	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	4.82	0.00	4.82	95.0	4.58
/ /	LABAZA, LYNN	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	59.98	0.00	59.98	95.0	56.98
/ /	LABAZA, JOHN	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	59.98	0.00	59.98	95.0	56.98
06/26/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
06/26/2017	WOUDSTRA, KAREN	OPPENHEIMER SMALL & MIDCAP GROWTH C	59.01	0.59	0.00	0.59	95.0	0.56
/ /	BOYD, SONYA	OPPENHEIMER SMALL MID CAP VALUE A	0.00	1.37	0.00	1.37	95.0	1.30
/ /	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	0.00	68.72	0.00	68.72	95.0	65.28
/ /	CARMODY, WILLIAM	OPPENHEIMER US GOVT TRUST C	0.00	41.43	0.00	41.43	95.0	39.36
/ /	KOSANKE, LORI	OPPENHEIMER VALUE A	0.00	1.94	0.00	1.94	95.0	1.84
/ /	MICHAELS, CHRISTINA	OPPENHEIMER VALUE A	0.00	5.02	0.00	5.02	95.0	4.77
/ /	GOLD, LORNE	OPPENHEIMER VALUE A	0.00	8.56	0.00	8.56	95.0	8.13
/ /	DINKA, JOHN	OPPENHEIMER VALUE A	0.00	6.64	0.00	6.64	95.0	6.31
/ /	TAKACS, RYAN	OPPENHEIMER VALUE A	0.00	3.97	0.00	3.97	95.0	3.77
/ /	TRBOVICH, HEATHER	OPPENHEIMER VALUE A	0.00	0.95	0.00	0.95	95.0	0.90
/ /	HOWEY, JESSICA	OPPENHEIMER VALUE A	0.00	0.45	0.00	0.45	95.0	0.43
/ /	SMITH, ASHLEY	OPPENHEIMER VALUE A	0.00	0.08	0.00	0.08	95.0	0.08
/ /	DINKA, JOHN	OPPENHEIMER VALUE B	0.00	8.10	0.00	8.10	95.0	7.70
/ /	DEREY, DENISE	OPPENHEIMER VALUE C	0.00	0.03	0.00	0.03	95.0	0.03
/ /	DITRI, ANN	OPPENHEIMER VALUE C	0.00	14.79	0.00	14.79	95.0	14.05
/ /	DINKA, JOHN	OPPENHEIMER VALUE C	0.00	94.37	0.00	94.37	95.0	89.65
06/26/2017	DITRI, ANN	OPPENHEIMER VALUE C	198.00	1.98	0.00	1.98	95.0	1.88
06/26/2017	DITRI, ANN	OPPENHEIMER VALUE C	85.28	0.85	0.00	0.85	95.0	0.81
/ /	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	0.00	16.36	0.00	16.36	95.0	15.54
/ /	HENRY, JACQUELINE	OPPENHEIMIER QUEST BALANCED C	0.00	4.02	0.00	4.02	95.0	3.82
06/19/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
06/19/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	RASP, LINDA	PIONEER AMT FREE MUNICIPAL A	0.00	85.50	0.00	85.50	95.0	81.23
07/05/2017	RASP, EVELYN	PIONEER AMT FREE MUNICIPAL A	0.00	46.32	0.00	46.32	95.0	44.00
07/03/2017	RAJKOVICH, TONI	PUTNAM ASSET ALLOC GROWTH A	0.00	1.24	0.00	1.24	95.0	1.18
07/03/2017	BEDORE, CHARLES	PUTNAM CAPITAL OPPORTUNITIES A	0.00	2.31	0.00	2.31	95.0	2.19
07/03/2017	ALLEGRIANA, JULIE	PUTNAM CAPITAL OPPORTUNITIES A	0.00	2.87	0.00	2.87	95.0	2.73
07/03/2017	SZOCINSKI, CASIMER	PUTNAM DIVERSIFIED INCOME A	0.00	10.16	0.00	10.16	95.0	9.65
07/03/2017	MEHLER, BENE	PUTNAM EQUITY INCOME A	0.00	3.39	0.00	3.39	95.0	3.22
07/03/2017	LORI RUTH THOMASSY, BENE	PUTNAM EQUITY INCOME A	0.00	4.03	0.00	4.03	95.0	3.83
07/03/2017	SCULLY, DOROTHY	PUTNAM EQUITY INCOME A	0.00	21.26	0.00	21.26	95.0	20.20
07/03/2017	BOGLE, DAVID	PUTNAM EQUITY INCOME A	0.00	0.48	0.00	0.48	95.0	0.46
07/03/2017	BARNETT, MARK	PUTNAM EQUITY INCOME A	0.00	0.65	0.00	0.65	95.0	0.62
07/03/2017	GUTMAN, THERESE ANNE	PUTNAM EQUITY INCOME A	0.00	6.10	0.00	6.10	95.0	5.80
07/03/2017	JAKUBOWSKI, LORRAINE	PUTNAM EQUITY INCOME A	0.00	49.76	0.00	49.76	95.0	47.27
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME A	0.00	2.17	0.00	2.17	95.0	2.06
07/03/2017	KELSEY, RONALD	PUTNAM EQUITY INCOME A	0.00	0.02	0.00	0.02	95.0	0.02
07/03/2017	SZOCINSKI, CASIMER	PUTNAM EQUITY INCOME A	0.00	7.73	0.00	7.73	95.0	7.34
07/03/2017	JENDRUSINA, ROGER	PUTNAM EQUITY INCOME A	0.00	40.61	0.00	40.61	95.0	38.58
07/03/2017	CATES, CALVIN	PUTNAM EQUITY INCOME A	0.00	0.18	0.00	0.18	95.0	0.17
07/03/2017	RANCOURT, RICHARD	PUTNAM EQUITY INCOME A	0.00	6.55	0.00	6.55	95.0	6.22
07/03/2017	RUSHLOW, LAWRENCE	PUTNAM EQUITY INCOME A	0.00	27.12	0.00	27.12	95.0	25.76
07/03/2017	KING, DAVID	PUTNAM EQUITY INCOME A	0.00	6.32	0.00	6.32	95.0	6.00
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME B	0.00	2.69	0.00	2.69	95.0	2.56
07/03/2017	CAMERON, KATHRYN	PUTNAM GEORGE PUTNAM BALANCED A	0.00	12.26	0.00	12.26	95.0	11.65
07/03/2017	SELLECK, GREGORY	PUTNAM GEORGE PUTNAM BALANCED A	0.00	0.19	0.00	0.19	95.0	0.18
07/03/2017	SCULLY, DOROTHY	PUTNAM GLOBAL EQUITY A	0.00	20.79	0.00	20.79	95.0	19.75
07/03/2017	JOHNSON, ABEN MARTIN	PUTNAM GLOBAL EQUITY A	0.00	8.53	0.00	8.53	95.0	8.10
07/03/2017	WILLISON, VICTORIA	PUTNAM GLOBAL EQUITY A	0.00	5.23	0.00	5.23	95.0	4.97
07/03/2017	SONDLES HOLMAN, KAREN	PUTNAM GLOBAL HEALTH CARE A	0.00	20.40	0.00	20.40	95.0	19.38
07/03/2017	MORRISON, JOY KUNKLER-	PUTNAM GLOBAL HEALTH CARE A	0.00	5.02	0.00	5.02	95.0	4.77
07/03/2017	KEELER, MARSHA	PUTNAM GLOBAL HEALTH CARE A	0.00	14.21	0.00	14.21	95.0	13.50
07/03/2017	TUCKER, LINDA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.77	0.00	0.77	95.0	0.73
07/03/2017	DEMERJIAN, CARL	PUTNAM GLOBAL HEALTH CARE A	0.00	2.05	0.00	2.05	95.0	1.95
07/03/2017	MEHLER, BENE	PUTNAM GROWTH & INCOME A	0.00	2.72	0.00	2.72	95.0	2.58

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	LORI RUTH THOMASSY, BENE	PUTNAM GROWTH & INCOME A	0.00	3.24	0.00	3.24	95.0	3.08
07/03/2017	SCULLY, DOROTHY	PUTNAM GROWTH & INCOME A	0.00	17.06	0.00	17.06	95.0	16.21
07/03/2017	BOGLE, DAVID	PUTNAM GROWTH & INCOME A	0.00	0.39	0.00	0.39	95.0	0.37
07/03/2017	BARNETT, MARK	PUTNAM GROWTH & INCOME A	0.00	0.52	0.00	0.52	95.0	0.49
07/03/2017	GUTMAN, THERESE ANNE	PUTNAM GROWTH & INCOME A	0.00	4.90	0.00	4.90	95.0	4.66
07/03/2017	JAKUBOWSKI, LORRAINE	PUTNAM GROWTH & INCOME A	0.00	31.57	0.00	31.57	95.0	29.99
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME A	0.00	1.69	0.00	1.69	95.0	1.61
07/03/2017	KELSEY, RONALD	PUTNAM GROWTH & INCOME A	0.00	0.02	0.00	0.02	95.0	0.02
07/03/2017	SZOCINSKI, CASIMER	PUTNAM GROWTH & INCOME A	0.00	6.20	0.00	6.20	95.0	5.89
07/03/2017	JENDRUSINA, ROGER	PUTNAM GROWTH & INCOME A	0.00	32.59	0.00	32.59	95.0	30.96
07/03/2017	CATES, CALVIN	PUTNAM GROWTH & INCOME A	0.00	0.15	0.00	0.15	95.0	0.14
07/03/2017	RANCOURT, RICHARD	PUTNAM GROWTH & INCOME A	0.00	5.26	0.00	5.26	95.0	5.00
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME B	0.00	2.22	0.00	2.22	95.0	2.11
07/03/2017	SCULLY, DOROTHY	PUTNAM GROWTH OPP A	0.00	26.90	0.00	26.90	95.0	25.56
07/03/2017	BLAIR, PATRICIA	PUTNAM GROWTH OPP A	0.00	9.22	0.00	9.22	95.0	8.76
07/03/2017	VERSTRAETE, GEORGE	PUTNAM GROWTH OPP A	0.00	12.42	0.00	12.42	95.0	11.80
07/03/2017	ELLWOOD, JOHN	PUTNAM GROWTH OPP A	0.00	0.35	0.00	0.35	95.0	0.33
07/03/2017	BUCHANAN, JAMIE	PUTNAM GROWTH OPP A	0.00	9.56	0.00	9.56	95.0	9.08
07/03/2017	MORRISON, JOY KUNKLER-	PUTNAM GROWTH OPP A	0.00	2.93	0.00	2.93	95.0	2.78
07/03/2017	KACIR, EVA	PUTNAM GROWTH OPP A	0.00	8.12	0.00	8.12	95.0	7.71
07/03/2017	KOSANKE, JOHN	PUTNAM GROWTH OPP A	0.00	8.46	0.00	8.46	95.0	8.04
07/03/2017	KOSANKE, JOHN	PUTNAM GROWTH OPP A	0.00	7.91	0.00	7.91	95.0	7.51
07/03/2017	BRADLEY, SARAH	PUTNAM GROWTH OPP A	0.00	4.51	0.00	4.51	95.0	4.28
07/03/2017	PROKROPOWICZ, EMILY	PUTNAM GROWTH OPP A	0.00	1.22	0.00	1.22	95.0	1.16
07/03/2017	CARLSON, TYLER	PUTNAM GROWTH OPP A	0.00	0.52	0.00	0.52	95.0	0.49
07/03/2017	CARNAHAN, BRUCE	PUTNAM GROWTH OPP A	0.00	4.89	0.00	4.89	95.0	4.65
07/03/2017	MIKLEVICH, MICHAEL	PUTNAM GROWTH OPP A	0.00	5.10	0.00	5.10	95.0	4.85
07/03/2017	LAI, JOHN	PUTNAM GROWTH OPP A	0.00	3.00	0.00	3.00	95.0	2.85
07/03/2017	LAI, ANGIE	PUTNAM GROWTH OPP A	0.00	3.00	0.00	3.00	95.0	2.85
07/03/2017	POKROPOWICZ, MEGAN	PUTNAM GROWTH OPP A	0.00	0.43	0.00	0.43	95.0	0.41
07/03/2017	BUTLER, PAUL	PUTNAM GROWTH OPP A	0.00	8.45	0.00	8.45	95.0	8.03
07/03/2017	BUTLER, PAUL	PUTNAM GROWTH OPP A	0.00	6.62	0.00	6.62	95.0	6.29
07/03/2017	BUTLER, KATHLEEN	PUTNAM GROWTH OPP A	0.00	2.60	0.00	2.60	95.0	2.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	BUTLER, KATHLEEN	PUTNAM GROWTH OPP A	0.00	8.88	0.00	8.88	95.0	8.44
07/03/2017	TUCKER, LINDA	PUTNAM GROWTH OPP A	0.00	3.05	0.00	3.05	95.0	2.90
07/03/2017	WEAVER, RONALD	PUTNAM GROWTH OPP A	0.00	6.86	0.00	6.86	95.0	6.52
07/03/2017	ALLEGRINA, JULIE	PUTNAM GROWTH OPP A	0.00	2.53	0.00	2.53	95.0	2.40
07/03/2017	KOSANKE, JOHN	PUTNAM GROWTH OPPORTUNITIES B	0.00	1.77	0.00	1.77	95.0	1.68
07/03/2017	KOSANKE, JOHN	PUTNAM GROWTH OPPORTUNITIES B	0.00	7.07	0.00	7.07	95.0	6.72
07/03/2017	BRADLEY, SARAH	PUTNAM GROWTH OPPORTUNITIES B	0.00	0.61	0.00	0.61	95.0	0.58
07/03/2017	MEHLER, BENE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	4.75	0.00	4.75	95.0	4.51
07/03/2017	LORI RUTH THOMASSY, BENE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	5.61	0.00	5.61	95.0	5.33
07/03/2017	SCULLY, DOROTHY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	16.75	0.00	16.75	95.0	15.91
07/03/2017	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	15.74	0.00	15.74	95.0	14.95
07/03/2017	BAKEWELL, RALPH	PUTNAM HIGH YIELD ADVANTAGE A	0.00	18.37	0.00	18.37	95.0	17.45
07/03/2017	BARR, BETTY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	1.49	0.00	1.49	95.0	1.42
07/03/2017	DEGRANDCHAMP, PAUL	PUTNAM HIGH YIELD ADVANTAGE A	0.00	0.14	0.00	0.14	95.0	0.13
07/03/2017	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	4.63	0.00	4.63	95.0	4.40
07/03/2017	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	2.62	0.00	2.62	95.0	2.49
07/03/2017	BARR, BETTY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.59	0.00	3.59	95.0	3.41
07/03/2017	KIVELA, BERNICE	PUTNAM HIGH YIELD ADVANTAGE A	0.00	5.65	0.00	5.65	95.0	5.37
07/03/2017	RUSHLOW, JEAN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	5.52	0.00	5.52	95.0	5.24
07/03/2017	MANNING, JOHN	PUTNAM HIGH YIELD ADVANTAGE A	0.00	40.68	0.00	40.68	95.0	38.65
07/03/2017	KRAUSE, BARBARA	PUTNAM HIGH YIELD ADVANTAGE A	0.00	69.90	0.00	69.90	95.0	66.41
07/03/2017	CIAGLO, BETTY	PUTNAM HIGH YIELD ADVANTAGE A	0.00	5.04	0.00	5.04	95.0	4.79
07/03/2017	SCULLY, DOROTHY	PUTNAM HIGH YIELD TRUST A	0.00	10.11	0.00	10.11	95.0	9.60
07/03/2017	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD TRUST A	0.00	9.11	0.00	9.11	95.0	8.65
07/03/2017	BAKEWELL, RALPH	PUTNAM HIGH YIELD TRUST A	0.00	10.51	0.00	10.51	95.0	9.98
07/03/2017	BARR, BETTY	PUTNAM HIGH YIELD TRUST A	0.00	0.88	0.00	0.88	95.0	0.84
07/03/2017	DEGRANDCHAMP, PAUL	PUTNAM HIGH YIELD TRUST A	0.00	0.08	0.00	0.08	95.0	0.08
07/03/2017	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD TRUST A	0.00	2.78	0.00	2.78	95.0	2.64
07/03/2017	MASTALSKI, JOLEEN	PUTNAM HIGH YIELD TRUST A	0.00	1.57	0.00	1.57	95.0	1.49
07/03/2017	BARR, BETTY	PUTNAM HIGH YIELD TRUST A	0.00	2.12	0.00	2.12	95.0	2.01
07/03/2017	KIVELA, BERNICE	PUTNAM HIGH YIELD TRUST A	0.00	3.33	0.00	3.33	95.0	3.16
07/03/2017	RUSHLOW, JEAN	PUTNAM HIGH YIELD TRUST A	0.00	3.26	0.00	3.26	95.0	3.10
07/03/2017	MANNING, JOHN	PUTNAM HIGH YIELD TRUST A	0.00	23.98	0.00	23.98	95.0	22.78

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	KRAUSE, BARBARA	PUTNAM HIGH YIELD TRUST A	0.00	41.92	0.00	41.92	95.0	39.82
07/03/2017	CIAGLO, BETTY	PUTNAM HIGH YIELD TRUST A	0.00	2.94	0.00	2.94	95.0	2.79
07/03/2017	WEBB, TODD	PUTNAM INCOME A	0.00	0.09	0.00	0.09	95.0	0.09
07/03/2017	RUSHLOW, JEAN	PUTNAM INTERNATIONAL EQUITY-A	0.00	3.30	0.00	3.30	95.0	3.14
07/03/2017	RUSHLOW, LAWRENCE	PUTNAM INTERNATIONAL EQUITY-A	0.00	3.46	0.00	3.46	95.0	3.29
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM INTERNATIONAL GROWTH-A	0.00	2.31	0.00	2.31	95.0	2.19
07/03/2017	MORRISON, JOY KUNKLER-	PUTNAM INTERNATIONAL GROWTH-A	0.00	2.13	0.00	2.13	95.0	2.02
07/03/2017	JOHNSON, DANIEL	PUTNAM INTERNATIONAL GROWTH-A	0.00	3.48	0.00	3.48	95.0	3.31
07/03/2017	WEAVER, RONALD	PUTNAM INTERNATIONAL GROWTH-A	0.00	6.53	0.00	6.53	95.0	6.20
07/03/2017	MILLER, BRADLEY	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.68	0.00	0.68	95.0	0.65
07/03/2017	MILLER, BRIANNA	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.10	0.00	0.10	95.0	0.10
07/03/2017	MILLER, SARAH	PUTNAM INTERNATIONAL GROWTH-A	0.00	0.68	0.00	0.68	95.0	0.65
07/03/2017	BEDORE, CHARLES	PUTNAM INTERNATIONAL GROWTH-A	0.00	1.45	0.00	1.45	95.0	1.38
07/03/2017	SCULLY, DOROTHY	PUTNAM INTERNATIONAL VALUE-A	0.00	19.89	0.00	19.89	95.0	18.90
07/03/2017	SCULLY, DOROTHY	PUTNAM INTL CAPITAL OPPS-A	0.00	47.53	0.00	47.53	95.0	45.15
07/03/2017	BEDORE, CHARLES	PUTNAM INTL CAPITAL OPPS-A	0.00	2.41	0.00	2.41	95.0	2.29
07/03/2017	MORRISON, JOY KUNKLER-	PUTNAM INVESTORS A	0.00	2.74	0.00	2.74	95.0	2.60
07/03/2017	WONCH, LIZ	PUTNAM INVESTORS A	0.00	3.33	0.00	3.33	95.0	3.16
07/03/2017	MILLER, BRADLEY	PUTNAM INVESTORS A	0.00	0.69	0.00	0.69	95.0	0.66
07/03/2017	MILLER, BRIANNA	PUTNAM INVESTORS A	0.00	0.10	0.00	0.10	95.0	0.10
07/03/2017	MILLER, SARAH	PUTNAM INVESTORS A	0.00	0.69	0.00	0.69	95.0	0.66
07/03/2017	WONCH, LIZ	PUTNAM INVESTORS B	0.00	2.43	0.00	2.43	95.0	2.31
07/03/2017	TUCKER, LINDA	PUTNAM MULTI CAP GROWTH A	0.00	1.93	0.00	1.93	95.0	1.83
07/03/2017	JAKUBOWSKI, LORRAINE	PUTNAM MULTI CAP GROWTH A	0.00	28.26	0.00	28.26	95.0	26.85
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	9.03	0.00	9.03	95.0	8.58
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	2.49	0.00	2.49	95.0	2.37
07/03/2017	MORRISON, JOY KUNKLER-	PUTNAM MULTI CAP GROWTH A	0.00	1.06	0.00	1.06	95.0	1.01
07/03/2017	KACIR, EVA	PUTNAM MULTI CAP GROWTH A	0.00	13.43	0.00	13.43	95.0	12.76
07/03/2017	STEWART, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	30.76	0.00	30.76	95.0	29.22
07/03/2017	STEWART, ANN	PUTNAM MULTI CAP GROWTH A	0.00	17.70	0.00	17.70	95.0	16.82
07/03/2017	LOWE, SARAH	PUTNAM MULTI CAP GROWTH A	0.00	0.48	0.00	0.48	95.0	0.46
07/03/2017	EAST, DENISE	PUTNAM MULTI CAP GROWTH A	0.00	1.00	0.00	1.00	95.0	0.95
07/03/2017	SZOCINSKI, CASIMER	PUTNAM MULTI CAP GROWTH A	0.00	10.04	0.00	10.04	95.0	9.54

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	SZOCINSKI, BILLIE	PUTNAM MULTI CAP GROWTH A	0.00	9.69	0.00	9.69	95.0	9.21
07/03/2017	JENDRUSINA, ROGER	PUTNAM MULTI CAP GROWTH A	0.00	46.38	0.00	46.38	95.0	44.06
07/03/2017	MIKLEVICH, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	32.88	0.00	32.88	95.0	31.24
07/03/2017	RANCOURT, RICHARD	PUTNAM MULTI CAP GROWTH A	0.00	11.46	0.00	11.46	95.0	10.89
07/03/2017	RANCOURT, RICHARD	PUTNAM MULTI CAP GROWTH A	0.00	6.39	0.00	6.39	95.0	6.07
07/03/2017	JOHNSON, DANIEL	PUTNAM MULTI CAP GROWTH A	0.00	4.11	0.00	4.11	95.0	3.90
07/03/2017	BABIN, WILLIAM	PUTNAM MULTI CAP GROWTH A	0.00	52.20	0.00	52.20	95.0	49.59
07/03/2017	LOWE, EMILY	PUTNAM MULTI CAP GROWTH A	0.00	0.13	0.00	0.13	95.0	0.12
07/03/2017	TUCKER, LINDA	PUTNAM MULTI CAP GROWTH A	0.00	1.36	0.00	1.36	95.0	1.29
07/03/2017	HARRISON, EDWARD	PUTNAM MULTI CAP GROWTH A	0.00	0.59	0.00	0.59	95.0	0.56
07/03/2017	DEMERJIAN, CARL	PUTNAM MULTI CAP GROWTH A	0.00	0.92	0.00	0.92	95.0	0.87
07/03/2017	JOUPPI, JEFFREY	PUTNAM MULTI CAP GROWTH A	0.00	4.64	0.00	4.64	95.0	4.41
07/03/2017	WEAVER, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	3.81	0.00	3.81	95.0	3.62
07/03/2017	WEAVER, RONALD	PUTNAM MULTI CAP GROWTH A	0.00	1.81	0.00	1.81	95.0	1.72
07/03/2017	BEDORE, CHARLES	PUTNAM MULTI CAP GROWTH A	0.00	16.74	0.00	16.74	95.0	15.90
07/03/2017	PLAN, CONVERSION	PUTNAM MULTI CAP GROWTH A	0.00	1.21	0.00	1.21	95.0	1.15
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	0.00	5.09	0.00	5.09	95.0	4.84
07/03/2017	MOUSSA, PATRICK	PUTNAM MULTI-CAP GROWTH B	0.00	1.47	0.00	1.47	95.0	1.40
07/03/2017	TUCKER, LINDA	PUTNAM RESEARCH A	0.00	1.46	0.00	1.46	95.0	1.39
07/03/2017	ALLEGRIANA, JULIE	PUTNAM RETIREMENT READY 746859602	0.00	2.26	0.00	2.26	95.0	2.15
07/03/2017	VIVIANO, SAM	PUTNAM TAX-FREE HIGH YIELD-A	0.00	5.17	0.00	5.17	95.0	4.91
07/03/2017	KRAUSE, BARBARA	PUTNAM TAX-FREE HIGH YIELD-A	0.00	114.34	0.00	114.34	95.0	108.62
/ /	BERDESKI, AUDREY	RYDEX GUGG S&P 500 H	0.00	0.25	0.00	0.25	95.0	0.24
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.08	0.00	0.08	95.0	0.08
/ /	BERDESKI, AUDREY	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.89	0.00	0.89	95.0	0.85
/ /	WAGNER, SHARON	RYDEXGUGGENHEIM INVERSE NASDAQ 100	0.00	0.29	0.00	0.29	95.0	0.28
07/05/2017	PETERSON, ALEXA	TRANSAMERICA AA CONSERVATIVE C	0.00	32.95	0.00	32.95	95.0	31.30
07/05/2017	PETERSON, TREY	TRANSAMERICA AA CONSERVATIVE C	0.00	32.95	0.00	32.95	95.0	31.30
07/05/2017	KING, ALEXANDER	TRANSAMERICA AA GROWTH A	0.00	8.32	0.00	8.32	95.0	7.90
07/05/2017	MOORE, MICHELLE	TRANSAMERICA AA GROWTH A	0.00	12.22	0.00	12.22	95.0	11.61
07/05/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH A	0.00	21.65	0.00	21.65	95.0	20.57
07/05/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH A	0.00	5.77	0.00	5.77	95.0	5.48
07/05/2017	SOLDAN, JULIE	TRANSAMERICA AA GROWTH A	0.00	9.91	0.00	9.91	95.0	9.41

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	RYAN, KIMBERLY	TRANSAMERICA AA GROWTH A	0.00	3.54	0.00	3.54	95.0	3.36
07/05/2017	KING, NATHAN	TRANSAMERICA AA GROWTH A	0.00	3.63	0.00	3.63	95.0	3.45
07/05/2017	RYAN, JONATHON	TRANSAMERICA AA GROWTH A	0.00	8.86	0.00	8.86	95.0	8.42
07/05/2017	MALAVOLTI, KURT	TRANSAMERICA AA GROWTH A	0.00	10.66	0.00	10.66	95.0	10.13
07/05/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH B	0.00	10.58	0.00	10.58	95.0	10.05
07/05/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH B	0.00	3.28	0.00	3.28	95.0	3.12
07/05/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	4.68	0.00	4.68	95.0	4.45
07/05/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	5.22	0.00	5.22	95.0	4.96
07/05/2017	HESTER, RICHARD	TRANSAMERICA AA GROWTH C	0.00	21.55	0.00	21.55	95.0	20.47
07/05/2017	SULLIVAN, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	19.81	0.00	19.81	95.0	18.82
07/05/2017	MAYNARD, TRAVIS	TRANSAMERICA AA GROWTH C	0.00	1.56	0.00	1.56	95.0	1.48
07/05/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	9.46	0.00	9.46	95.0	8.99
07/05/2017	COOPER, LISA	TRANSAMERICA AA GROWTH C	0.00	0.45	0.00	0.45	95.0	0.43
07/05/2017	WEBSTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	0.96	0.00	0.96	95.0	0.91
07/05/2017	JOUPPI, JEFFREY	TRANSAMERICA AA GROWTH C	0.00	81.96	0.00	81.96	95.0	77.86
07/05/2017	SCALF, MARSHA	TRANSAMERICA AA GROWTH C	0.00	32.96	0.00	32.96	95.0	31.31
07/05/2017	COMAN, RONALD	TRANSAMERICA AA GROWTH C	0.00	19.44	0.00	19.44	95.0	18.47
07/05/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA GROWTH C	0.00	11.51	0.00	11.51	95.0	10.93
07/05/2017	BARTOI, MIKAELA	TRANSAMERICA AA GROWTH C	0.00	3.88	0.00	3.88	95.0	3.69
07/05/2017	ROWAN, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	26.35	0.00	26.35	95.0	25.03
07/05/2017	KUCHAREK, NORMA	TRANSAMERICA AA GROWTH C	0.00	8.24	0.00	8.24	95.0	7.83
07/05/2017	NEIRINCK, DONNA	TRANSAMERICA AA GROWTH C	0.00	16.52	0.00	16.52	95.0	15.69
07/05/2017	OLSEN, MARTHA	TRANSAMERICA AA GROWTH C	0.00	9.89	0.00	9.89	95.0	9.40
07/05/2017	HESSLER, GARY	TRANSAMERICA AA GROWTH C	0.00	38.64	0.00	38.64	95.0	36.71
07/05/2017	BLANKENSHIP, JANET	TRANSAMERICA AA GROWTH C	0.00	11.25	0.00	11.25	95.0	10.69
07/05/2017	RONDO, DENISE	TRANSAMERICA AA GROWTH C	0.00	11.71	0.00	11.71	95.0	11.12
07/05/2017	PALAZZOLO, ANNA	TRANSAMERICA AA GROWTH C	0.00	18.08	0.00	18.08	95.0	17.18
07/05/2017	LINDOW, RONALD	TRANSAMERICA AA GROWTH C	0.00	1.45	0.00	1.45	95.0	1.38
07/05/2017	WILMAN, ANN	TRANSAMERICA AA GROWTH C	0.00	55.43	0.00	55.43	95.0	52.66
07/05/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	0.00	35.82	0.00	35.82	95.0	34.03
07/05/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	0.00	38.43	0.00	38.43	95.0	36.51
07/05/2017	DUMOND, PHYLLIS	TRANSAMERICA AA GROWTH C	0.00	21.29	0.00	21.29	95.0	20.23
07/05/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA GROWTH C	0.00	46.39	0.00	46.39	95.0	44.07

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	WETZEL, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	12.68	0.00	12.68	95.0	12.05
07/05/2017	KEELER, DAVID	TRANSAMERICA AA GROWTH C	0.00	46.79	0.00	46.79	95.0	44.45
07/05/2017	HILDEBRAND, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	26.15	0.00	26.15	95.0	24.84
07/05/2017	DEHEM, GARY	TRANSAMERICA AA GROWTH C	0.00	36.82	0.00	36.82	95.0	34.98
07/05/2017	LINDOW, RICHARD	TRANSAMERICA AA GROWTH C	0.00	22.55	0.00	22.55	95.0	21.42
07/05/2017	MULDOON, SHEILA	TRANSAMERICA AA GROWTH C	0.00	23.11	0.00	23.11	95.0	21.95
07/05/2017	KUCHAREK, SARAH	TRANSAMERICA AA GROWTH C	0.00	4.66	0.00	4.66	95.0	4.43
07/05/2017	HECKER, GENE	TRANSAMERICA AA GROWTH C	0.00	8.44	0.00	8.44	95.0	8.02
07/05/2017	WATERS, SALLY	TRANSAMERICA AA GROWTH C	0.00	12.68	0.00	12.68	95.0	12.05
07/05/2017	WATERS, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	4.92	0.00	4.92	95.0	4.67
07/05/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	0.00	12.41	0.00	12.41	95.0	11.79
07/05/2017	FARRAR, CHARLES	TRANSAMERICA AA GROWTH C	0.00	11.16	0.00	11.16	95.0	10.60
07/05/2017	BUSZEK, PAUL	TRANSAMERICA AA GROWTH C	0.00	3.09	0.00	3.09	95.0	2.94
07/05/2017	PALAZZOLO, SALVATORE	TRANSAMERICA AA GROWTH C	0.00	13.54	0.00	13.54	95.0	12.86
07/05/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	0.00	2.69	0.00	2.69	95.0	2.56
07/05/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	0.00	2.74	0.00	2.74	95.0	2.60
07/05/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	0.00	40.77	0.00	40.77	95.0	38.73
07/05/2017	MERRITT TRUST, LEO	TRANSAMERICA AA MOD GROWTH A	0.00	46.47	0.00	46.47	95.0	44.15
07/05/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH B	0.00	9.66	0.00	9.66	95.0	9.18
07/05/2017	KOLLINGER, ROBERT	TRANSAMERICA AA MOD GROWTH C	0.00	0.89	0.00	0.89	95.0	0.85
07/05/2017	HOVIS, MARJORIE	TRANSAMERICA AA MOD GROWTH C	0.00	3.47	0.00	3.47	95.0	3.30
07/05/2017	BELLINGER, VICKIE	TRANSAMERICA AA MOD GROWTH C	0.00	33.75	0.00	33.75	95.0	32.06
07/05/2017	BELLINGER, GEORGE	TRANSAMERICA AA MOD GROWTH C	0.00	27.19	0.00	27.19	95.0	25.83
07/05/2017	POLENYCHKO, SHIRLEY	TRANSAMERICA AA MOD GROWTH C	0.00	8.90	0.00	8.90	95.0	8.46
07/05/2017	AUGUSTYN, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.34	0.00	11.34	95.0	10.77
07/05/2017	BLANKENSHIP, JANET	TRANSAMERICA AA MOD GROWTH C	0.00	200.26	0.00	200.26	95.0	190.25
07/05/2017	KOLLINGER, CINDY	TRANSAMERICA AA MOD GROWTH C	0.00	9.16	0.00	9.16	95.0	8.70
07/05/2017	KEELER, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	21.00	0.00	21.00	95.0	19.95
07/05/2017	TYSZKIEWICZ, KURT	TRANSAMERICA AA MOD GROWTH C	0.00	22.87	0.00	22.87	95.0	21.73
07/05/2017	KUCHAREK, MARCIA	TRANSAMERICA AA MOD GROWTH C	0.00	11.58	0.00	11.58	95.0	11.00
07/05/2017	MCGUIRE, MARY	TRANSAMERICA AA MOD GROWTH C	0.00	15.34	0.00	15.34	95.0	14.57
07/05/2017	LEHMAN, JEFFREY	TRANSAMERICA AA MOD GROWTH C	0.00	0.11	0.00	0.11	95.0	0.10
07/05/2017	HENRY, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	29.74	0.00	29.74	95.0	28.25

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	O'CONNOR, FRANCIS	TRANSAMERICA AA MOD GROWTH C	0.00	52.70	0.00	52.70	95.0	50.07
07/05/2017	SEISSER, JAMES	TRANSAMERICA AA MOD GROWTH C	0.00	0.15	0.00	0.15	95.0	0.14
07/05/2017	BAKER, JEAN-ANNE	TRANSAMERICA AA MOD GROWTH C	0.00	36.83	0.00	36.83	95.0	34.99
07/05/2017	KOSANKE, BARBARA	TRANSAMERICA AA MOD GROWTH C	0.00	31.03	0.00	31.03	95.0	29.48
07/05/2017	DEW, DESIREE	TRANSAMERICA AA MOD GROWTH C	0.00	42.77	0.00	42.77	95.0	40.63
07/05/2017	FRANKS, JACQUELINE	TRANSAMERICA AA MOD GROWTH C	0.00	3.33	0.00	3.33	95.0	3.16
07/05/2017	MODRYCKI, DONALD	TRANSAMERICA AA MOD GROWTH C	0.00	7.34	0.00	7.34	95.0	6.97
07/05/2017	CYRULEWSKI TRUST, LOIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.81	0.00	11.81	95.0	11.22
07/05/2017	NIZIO, EDMUND	TRANSAMERICA AA MOD GROWTH C	0.00	3.46	0.00	3.46	95.0	3.29
07/05/2017	PALAZZOLO, SALATORE	TRANSAMERICA AA MOD GROWTH C	0.00	9.37	0.00	9.37	95.0	8.90
07/05/2017	KOSANKE, CHARLES W	TRANSAMERICA AA MOD GROWTH C	0.00	15.97	0.00	15.97	95.0	15.17
07/05/2017	MERRITT, MARY LOU	TRANSAMERICA AA MOD GROWTH C	0.00	110.83	0.00	110.83	95.0	105.29
07/05/2017	GROBAR TRUST, MARVIN & MARY	TRANSAMERICA AA MOD GROWTH C	0.00	34.91	0.00	34.91	95.0	33.16
07/05/2017	OLSEN, MARTHA	TRANSAMERICA AA MOD GROWTH C	0.00	9.49	0.00	9.49	95.0	9.02
07/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	9.73	0.00	9.73	95.0	9.24
07/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MOD GROWTH C	0.00	4.91	0.00	4.91	95.0	4.66
07/05/2017	CARLSON, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	2.62	0.00	2.62	95.0	2.49
07/05/2017	RIOS-DORIA, H. WALTER	TRANSAMERICA AA MOD GROWTH C	0.00	42.93	0.00	42.93	95.0	40.78
07/05/2017	RYAN, VICKI	TRANSAMERICA AA MOD GROWTH C	0.00	3.38	0.00	3.38	95.0	3.21
07/05/2017	RYAN, KAREN	TRANSAMERICA AA MOD GROWTH C	0.00	2.86	0.00	2.86	95.0	2.72
07/05/2017	THOMAS, DEBORAH	TRANSAMERICA AA MOD GROWTH C	0.00	3.74	0.00	3.74	95.0	3.55
07/05/2017	BARTOI, MIKAELA	TRANSAMERICA AA MOD GROWTH C	0.00	146.76	0.00	146.76	95.0	139.42
07/05/2017	BUTLER, SANDRA	TRANSAMERICA AA MOD GROWTH C	0.00	52.35	0.00	52.35	95.0	49.73
07/05/2017	KANAKRI, JOSEPH	TRANSAMERICA AA MOD GROWTH C	0.00	2.04	0.00	2.04	95.0	1.94
07/05/2017	KANAKRI, MARIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.20	0.00	3.20	95.0	3.04
07/05/2017	BUSZEK, PAUL	TRANSAMERICA AA MOD GROWTH C	0.00	25.22	0.00	25.22	95.0	23.96
07/05/2017	LAWRENCE, PEGGY	TRANSAMERICA AA MOD GROWTH C	0.00	10.58	0.00	10.58	95.0	10.05
07/05/2017	BERDESKI, AUDREY	TRANSAMERICA AA MOD GROWTH C	0.00	5.32	0.00	5.32	95.0	5.05
07/05/2017	KAWALA, CHRISTINA	TRANSAMERICA AA MOD GROWTH C	0.00	108.72	0.00	108.72	95.0	103.28
07/05/2017	DUMOND, PHYLLIS	TRANSAMERICA AA MODERATE A	0.00	10.71	0.00	10.71	95.0	10.17
07/05/2017	MIJAL, SHARON	TRANSAMERICA AA MODERATE A	0.00	13.08	0.00	13.08	95.0	12.43
07/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	21.38	0.00	21.38	95.0	20.31
07/05/2017	SARKISIAN, NORAY	TRANSAMERICA AA MODERATE C	0.00	0.74	0.00	0.74	95.0	0.70

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	83.41	0.00	83.41	95.0	79.24
07/05/2017	BARTOI, JOHN	TRANSAMERICA AA MODERATE C	0.00	4.29	0.00	4.29	95.0	4.08
07/05/2017	MADIGAN, JOHN	TRANSAMERICA CAPITAL GROWTH A	0.00	0.60	0.00	0.60	95.0	0.57
07/05/2017	BACHTELL, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	17.26	0.00	17.26	95.0	16.40
07/05/2017	BOYER, KENNETH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.08	0.00	13.08	95.0	12.43
07/05/2017	CHATTULANI, DIANA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	8.72	0.00	8.72	95.0	8.28
07/05/2017	HORTON, RICHARD	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	5.94	0.00	5.94	95.0	5.64
07/05/2017	WONCH, ELIZABETH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.92	0.00	0.92	95.0	0.87
07/05/2017	WILLIAMS, DAVID	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.19	0.00	2.19	95.0	2.08
07/05/2017	WILLIAMS, DAVID	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.75	0.00	7.75	95.0	7.36
07/05/2017	DOYLE, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	1.27	0.00	1.27	95.0	1.21
07/05/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	9.44	0.00	9.44	95.0	8.97
07/05/2017	COOPER, IAN	TRANSAMERICA MULTI MGR INTL A	0.00	4.59	0.00	4.59	95.0	4.36
07/05/2017	PALAZZOLO, SALATORE	TRANSAMERICA MULTI MGR INTL C	0.00	0.31	0.00	0.31	95.0	0.29
07/05/2017	BARTOI, JOHN	TRANSAMERICA MULTI MGR INTL C	0.00	11.99	0.00	11.99	95.0	11.39
07/05/2017	BARTOI, MIKAELA	TRANSAMERICA MULTI MGR INTL C	0.00	8.41	0.00	8.41	95.0	7.99
07/05/2017	FARRAR, CHARLES	TRANSAMERICA MULTI MGR INTL C	0.00	32.34	0.00	32.34	95.0	30.72
07/05/2017	WILMAN, ANN	TRANSAMERICA MULTI MGR INTL C	0.00	23.36	0.00	23.36	95.0	22.19
07/05/2017	KUCHAREK, MARK	TRANSAMERICA MULTI MGR INTL C	0.00	9.80	0.00	9.80	95.0	9.31
07/05/2017	DUMOND, PHYLLIS	TRANSAMERICA MULTI MGR INTL C	0.00	4.73	0.00	4.73	95.0	4.49
07/05/2017	KEELER, DAVID	TRANSAMERICA MULTI MGR INTL C	0.00	13.11	0.00	13.11	95.0	12.45
07/05/2017	HILDEBRAND, JOSEPH	TRANSAMERICA MULTI MGR INTL C	0.00	11.36	0.00	11.36	95.0	10.79
07/05/2017	DEHEM, GARY	TRANSAMERICA MULTI MGR INTL C	0.00	13.80	0.00	13.80	95.0	13.11
07/05/2017	MERRITT, GREGORY	TRANSAMERICA MULTI-MANAGED BAL A	0.00	11.59	0.00	11.59	95.0	11.01
07/05/2017	KOGUT, MONICA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	2.47	0.00	2.47	95.0	2.35
07/05/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	30.08	0.00	30.08	95.0	28.58
07/05/2017	WAGNER, MALLORY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.89	0.00	2.89	95.0	2.75
07/05/2017	EVANS, SANDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.06	0.00	6.06	95.0	5.76
07/05/2017	EVANS, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	7.27	0.00	7.27	95.0	6.91
07/05/2017	GRECH, ROSALIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.24	0.00	28.24	95.0	26.83
07/05/2017	CANNON TRUST, HENRY & BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	107.82	0.00	107.82	95.0	102.43
07/05/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	41.21	0.00	41.21	95.0	39.15
07/05/2017	WEYCKER, AARON	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.73	0.00	6.73	95.0	6.39

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/05/2017	DOOTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.91	0.00	2.91	95.0	2.76
07/05/2017	HOOTNER-JONES, ANN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.65	0.00	2.65	95.0	2.52
07/05/2017	ORTAGO, PAULA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.69	0.00	2.69	95.0	2.56
07/05/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.21	0.00	12.21	95.0	11.60
07/05/2017	RICHARDSON, SHANE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.18	0.00	1.18	95.0	1.12
07/05/2017	MAGGARD, LORETTA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.61	0.00	12.61	95.0	11.98
07/05/2017	KAWALA, CHRISTINA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	16.61	0.00	16.61	95.0	15.78
07/05/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	34.77	0.00	34.77	95.0	33.03
07/05/2017	BELLINGER, VICKIE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.13	0.00	27.13	95.0	25.77
07/05/2017	OLSEN, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	10.24	0.00	10.24	95.0	9.73
07/05/2017	JOHNSON, DONNA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	0.57	0.00	0.57	95.0	0.54
07/05/2017	MOORE, SUSAN ANN PRIES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	67.60	0.00	67.60	95.0	64.22
07/05/2017	YOUNG, RICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	130.40	0.00	130.40	95.0	123.88
07/05/2017	JOHNSON, DONNA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.25	0.00	8.25	95.0	7.84
07/05/2017	DINKA, CATHERINE	TRANSAMERICA MULTI-MANAGED BAL C	0.00	76.13	0.00	76.13	95.0	72.32
07/05/2017	PARKE, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	1.68	0.00	1.68	95.0	1.60
07/05/2017	SMITH, MARTHA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	98.00	0.00	98.00	95.0	93.10
07/05/2017	FARRAR, KAREN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	42.22	0.00	42.22	95.0	40.11
07/05/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	20.14	0.00	20.14	95.0	19.13
07/05/2017	ROLLINGS, DEBORAH	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.30	0.00	28.30	95.0	26.89
07/05/2017	ALLEN, BRADLEY	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.30	0.00	28.30	95.0	26.89
07/05/2017	DOOTSAS, MADELYN	TRANSAMERICA MULTI-MANAGED BAL C	0.00	3.97	0.00	3.97	95.0	3.77
07/05/2017	DOOTSAS, ALEXANDER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	6.03	0.00	6.03	95.0	5.73
07/05/2017	CROSSFIRE GROUP Q3AM	TRANSAMERICA SHORT-TERM BOND A	0.00	0.61	0.00	0.61	95.0	0.58
07/05/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	0.00	7.06	0.00	7.06	95.0	6.71
07/05/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	0.00	7.06	0.00	7.06	95.0	6.71
07/05/2017	SARKISIAN, NORAY	TRANSAMERICA SHORT-TERM BOND C	0.00	29.02	0.00	29.02	95.0	27.57
07/05/2017	MERRITT, MARY LOU	TRANSAMERICA SHORT-TERM BOND C	0.00	21.95	0.00	21.95	95.0	20.85
07/05/2017	O'CONNOR, FRANCIS	TRANSAMERICA SHORT-TERM BOND C	0.00	62.22	0.00	62.22	95.0	59.11
07/05/2017	CHRONOWSKI, THEODORA	TRANSAMERICA SHORT-TERM BOND C	0.00	15.02	0.00	15.02	95.0	14.27
07/05/2017	HOOTNER-JONES, ANN	TRANSAMERICA SHORT-TERM BOND C	0.00	7.89	0.00	7.89	95.0	7.50
07/05/2017	RYAN, JACK	TRANSAMERICA SHORT-TERM BOND C	0.00	8.45	0.00	8.45	95.0	8.03
07/05/2017	RYAN, KAREN	TRANSAMERICA SHORT-TERM BOND C	0.00	8.10	0.00	8.10	95.0	7.70

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	EVANS, SANDRA	TRANSAMERICA TACTICAL INCOME C	0.00	4.65	0.00	4.65	95.0	4.42
07/05/2017	EVANS, ROBERT	TRANSAMERICA TACTICAL INCOME C	0.00	5.58	0.00	5.58	95.0	5.30
07/05/2017	KAWALA, CHRISTINA	TRANSAMERICA TACTICAL INCOME C	0.00	3.10	0.00	3.10	95.0	2.95
07/05/2017	PIZZO, ROSOLINO	TRANSAMERICA WMC DIV EQUITY C	0.00	1.82	0.00	1.82	95.0	1.73
06/09/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	2.18	0.00	2.18	95.0	2.07
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,639.74</b>	<b>\$8,400.26</b>	<b>\$0.00</b>	<b>\$8,400.26</b>		<b>\$7,980.45</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	BERTRAND, C JERRY	JNL ELITE ACCESS	57,895.19	31.36	0.00	31.36	95.0	29.79
06/30/2017	MELTZER, CLARENCE	JNL PERSPECTIVE ADVANTAGE	23,182.98	19.32	0.00	19.32	95.0	18.35
06/30/2017	COVYEAU, FREDERICK J & B	JNL PERSPECTIVE II	93,794.32	39.08	0.00	39.08	95.0	37.13
06/30/2017	JEANNETTE, JUSTIN	JNL PERSPECTIVE II 05/05	465.13	0.39	0.00	0.39	95.0	0.37
06/30/2017	KOSCIELSKI, RONALD	JNL PERSPECTIVE II 05/05	27,124.73	11.30	0.00	11.30	95.0	10.74
06/30/2017	CROSS, LINDA	JNL PERSPECTIVE II 05/05	5,847.22	2.44	0.00	2.44	95.0	2.32
06/30/2017	MAGGARD, LORETTA	JNL PERSPECTIVE II 05/05	44,836.27	18.68	0.00	18.68	95.0	17.75
06/30/2017	RANG, MARILYN	JNL PERSPECTIVE II 05/05	72,996.19	30.42	0.00	30.42	95.0	28.90
06/30/2017	MCDONALD, GLENN	JNL PERSPECTIVE II 05/05	172,728.38	71.97	0.00	71.97	95.0	68.37
06/30/2017	KEELER, SUSAN	JNL PERSPECTIVE II 05/05	127,040.67	52.93	0.00	52.93	95.0	50.28
06/30/2017	PIROZZO, PETER	JNL PERSPECTIVE L	50,701.07	54.93	0.00	54.93	95.0	52.18
06/30/2017	DIEM, LINDA	JOHN HANCOCK VENTURE	616.67	0.13	0.00	0.13	95.0	0.12
06/30/2017	SCERBAK, EILEEN	JOHN HANCOCK VENTURE	46,058.93	9.60	0.00	9.60	95.0	9.12
06/30/2017	TYMCZY, MARY JANE	JOHN HANCOCK VENTURE	7,108.78	1.48	0.00	1.48	95.0	1.41
06/30/2017	DANIEL, SABRINA	JOHN HANCOCK VENTURE	304.35	0.06	0.00	0.06	95.0	0.06
07/01/2017	ZUPP, ELEANOR	NATIONWIDE BOA FUTURE II 32	19,317.81	48.29	0.00	48.29	95.0	45.88
06/30/2017	WEHLER, MARY ANN	PRU AS ADVISOR PLAN - 1993	41,328.66	25.83	0.00	25.83	95.0	24.54
06/30/2017	HILDEBRAND, CHRISTINE	PRU AS ADVISOR PLAN - 1993	19,592.15	12.25	0.00	12.25	95.0	11.64
06/30/2017	O'CONNOR, FRANCIS	PRU AS ADVISOR PLAN - 1993	12,474.40	7.80	0.00	7.80	95.0	7.41
06/30/2017	MIKLEVICH, MICHAEL	PRU AS ADVISOR PLAN - 1993	68,046.00	42.53	0.00	42.53	95.0	40.40
06/30/2017	HATHAWAY, DEBORAH	PRU AS ADVISOR PLAN II - 1996	2,884.94	1.80	0.00	1.80	95.0	1.71
06/30/2017	CAVERLY, KEVIN	PRU AS ADVISOR PLAN II - 1996	3,781.10	2.36	0.00	2.36	95.0	2.24
06/30/2017	AVOURIS, TIMOTHY	PRU AS ADVISOR PLAN II - 1996	53,390.57	33.37	0.00	33.37	95.0	31.70
06/30/2017	HAZARD, JOHN	PRU AS APEX II	88,751.40	55.47	0.00	55.47	95.0	52.70
06/30/2017	HAZARD, JOHN	PRU AS APEX II	88,751.40	221.88	0.00	221.88	95.0	210.79
06/30/2017	POLENYCHKO, SHIRLEY	PRU AS APEX II	15,667.47	9.79	0.00	9.79	95.0	9.30

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	POLENYCHKO, SHIRLEY	PRU AS APEX II	15,667.47	39.17	0.00	39.17	95.0	37.21
06/30/2017	JOUPPI, SHARON	PRU AS LIFEVEST II	232,158.66	435.30	0.00	435.30	95.0	413.54
06/30/2017	JOUPPI, SHARON	PRU AS LIFEVEST II	232,158.66	145.10	0.00	145.10	95.0	137.85
06/30/2017	SARKISIAN, NORAY	PRU AS LIFEVEST II	67,646.59	126.84	0.00	126.84	95.0	120.50
06/30/2017	SARKISIAN, NORAY	PRU AS LIFEVEST II	67,646.59	42.28	0.00	42.28	95.0	40.17
06/30/2017	MERRITT, MARY LOU	PRU AS LIFEVEST II	112,751.59	211.41	0.00	211.41	95.0	200.84
06/30/2017	MERRITT, MARY LOU	PRU AS LIFEVEST II	112,751.59	70.47	0.00	70.47	95.0	66.95
06/30/2017	LOURIA, PHYLLIS	PRU AS LIFEVEST II	38,158.01	71.55	0.00	71.55	95.0	67.97
06/30/2017	LOURIA, PHYLLIS	PRU AS LIFEVEST II	38,158.01	23.85	0.00	23.85	95.0	22.66
06/30/2017	BLANKENSHIP, JANET	PRU AS LIFEVEST II	221,848.93	415.97	0.00	415.97	95.0	395.17
06/30/2017	BLANKENSHIP, JANET	PRU AS LIFEVEST II	221,848.93	138.66	0.00	138.66	95.0	131.73
06/30/2017	HOVIS, BARBARA	PRU AS LIFEVEST II	11,539.11	21.64	0.00	21.64	95.0	20.56
06/30/2017	HOVIS, BARBARA	PRU AS LIFEVEST II	11,539.11	7.21	0.00	7.21	95.0	6.85
06/30/2017	WETZEL, WILLIAM	PRU AS LIFEVEST II	32,789.62	61.48	0.00	61.48	95.0	58.41
06/30/2017	WETZEL, WILLIAM	PRU AS LIFEVEST II	32,789.62	20.49	0.00	20.49	95.0	19.47
06/30/2017	ELLIOT, JAMES	PRU AS LIFEVEST II	221,120.76	414.60	0.00	414.60	95.0	393.87
06/30/2017	ELLIOT, JAMES	PRU AS LIFEVEST II	221,120.76	138.20	0.00	138.20	95.0	131.29
06/30/2017	YANIS, ROSANN	PRU AS LIFEVEST II	44,359.97	83.17	0.00	83.17	95.0	79.01
06/30/2017	YANIS, ROSANN	PRU AS LIFEVEST II	44,359.97	27.72	0.00	27.72	95.0	26.33
06/30/2017	SOBIESKI, JOYCE	PRU AS LIFEVEST II	19,201.63	36.00	0.00	36.00	95.0	34.20
06/30/2017	SOBIESKI, JOYCE	PRU AS LIFEVEST II	19,201.63	12.00	0.00	12.00	95.0	11.40
06/30/2017	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	139,500.37	87.19	0.00	87.19	95.0	82.83
06/30/2017	SIMONY, JOAN	PRU AS XTRA CREDIT SIX	139,500.37	87.19	0.00	87.19	95.0	82.83
06/30/2017	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	85,643.57	53.53	0.00	53.53	95.0	50.85
06/30/2017	AMMAN, KATHLEEN	PRU AS XTRA CREDIT SIX	85,643.57	53.53	0.00	53.53	95.0	50.85
06/30/2017	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	60,073.93	37.55	0.00	37.55	95.0	35.67
06/30/2017	THOMSON, DOUGLAS	PRU AS XTRA CREDIT SIX	60,073.93	37.55	0.00	37.55	95.0	35.67
06/30/2017	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	124,700.68	77.94	0.00	77.94	95.0	74.04
06/30/2017	KAWALA, CHRISTINA	PRU AS XTRA CREDIT SIX	124,700.68	77.94	0.00	77.94	95.0	74.04
06/30/2017	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	313,947.55	196.22	0.00	196.22	95.0	186.41
06/30/2017	CHEUNG, EDWARD	PRU AS XTRA CREDIT SIX	313,947.55	196.22	0.00	196.22	95.0	186.41
06/30/2017	BAKER, GENE	PRU AS XTRA CREDIT SIX	217,961.68	136.23	0.00	136.23	95.0	129.42
06/30/2017	BAKER, GENE	PRU AS XTRA CREDIT SIX	217,961.68	136.23	0.00	136.23	95.0	129.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	TAMM, JAMES	PRU AS XTRA CREDIT SIX	10,260.12	6.41	0.00	6.41	95.0	6.09
06/30/2017	TAMM, JAMES	PRU AS XTRA CREDIT SIX	10,260.12	6.41	0.00	6.41	95.0	6.09
06/30/2017	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	30,050.96	18.78	0.00	18.78	95.0	17.84
06/30/2017	BUSZEK, PAUL	PRU AS XTRA CREDIT SIX	30,050.96	18.78	0.00	18.78	95.0	17.84
06/30/2017	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	58,027.65	36.27	0.00	36.27	95.0	34.46
06/30/2017	RIOS-DORIA, H	PRU AS XTRA CREDIT SIX	58,027.65	36.27	0.00	36.27	95.0	34.46
06/30/2017	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	26,801.89	16.75	0.00	16.75	95.0	15.91
06/30/2017	O'CONNOR, FRANCIS	PRU AS XTRA CREDIT SIX	26,801.89	16.75	0.00	16.75	95.0	15.91
06/30/2017	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	21,870.62	13.67	0.00	13.67	95.0	12.99
06/30/2017	MCDONALD, CRAIG	PRU AS XTRA CREDIT SIX	21,870.62	13.67	0.00	13.67	95.0	12.99
06/30/2017	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	26,670.22	16.67	0.00	16.67	95.0	15.84
06/30/2017	MCDONALD, VICKI	PRU AS XTRA CREDIT SIX	26,670.22	16.67	0.00	16.67	95.0	15.84
06/30/2017	BAUMGART, ROY MARK	PRU AS XTRA CREDIT SIX	154,753.65	96.72	0.00	96.72	95.0	91.88
06/30/2017	BAUMGART, ROY MARK	PRU AS XTRA CREDIT SIX	154,753.65	96.72	0.00	96.72	95.0	91.88
06/30/2017	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	31,965.61	19.98	0.00	19.98	95.0	18.98
06/30/2017	VOGEL, KEITH	PRU AS XTRA CREDIT SIX	31,965.61	19.98	0.00	19.98	95.0	18.98
06/30/2017	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	358,402.55	224.00	0.00	224.00	95.0	212.80
06/30/2017	BELLINGER, VICKIE	PRU AS XTRA CREDIT SIX	358,402.55	224.00	0.00	224.00	95.0	212.80
06/30/2017	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	149,434.10	93.40	0.00	93.40	95.0	88.73
06/30/2017	HOOTNER-JONES, ANN	PRU AS XTRA CREDIT SIX	149,434.10	93.40	0.00	93.40	95.0	88.73
06/30/2017	DALTON, GRACE	PRU AS XTRA CREDIT SIX	85,636.55	53.52	0.00	53.52	95.0	50.84
06/30/2017	DALTON, GRACE	PRU AS XTRA CREDIT SIX	85,636.55	53.52	0.00	53.52	95.0	50.84
06/30/2017	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	176,886.63	110.55	0.00	110.55	95.0	105.02
06/30/2017	JEFFRIES, BARBARA	PRU AS XTRA CREDIT SIX	176,886.63	110.55	0.00	110.55	95.0	105.02
06/30/2017	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	121,520.03	75.95	0.00	75.95	95.0	72.15
06/30/2017	KOZIKOWSKI, TERRY	PRU AS XTRA CREDIT SIX	121,520.03	75.95	0.00	75.95	95.0	72.15
06/19/2017	KLEIN, ANNA	PRU DEFINED INCOME	30,974.21	774.36	0.00	774.36	95.0	735.64
06/30/2017	ANDERSON, JUDITH	PRU PREMIER RETIREMENT B	172,683.66	107.93	0.00	107.93	95.0	102.53
06/30/2017	GRAMMATICO, DENNIS	PRU PREMIER RETIREMENT B	647,401.68	404.63	0.00	404.63	95.0	384.40
06/30/2017	HANSEN, SUSAN	PRU PREMIER RETIREMENT B	229,600.51	143.50	0.00	143.50	95.0	136.33
06/30/2017	POLANCE, BARBARA	PRU PREMIER RETIREMENT B	263,726.05	164.83	0.00	164.83	95.0	156.59
06/30/2017	MURPHY, PHILIP	PRU PREMIER RETIREMENT B	90,992.97	56.87	0.00	56.87	95.0	54.03
06/30/2017	KEELER, SUSAN	PRU PREMIER RETIREMENT B	131,018.06	81.89	0.00	81.89	95.0	77.80

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	PARKE, WALTER	PRU PREMIER RETIREMENT B	106,890.79	66.81	0.00	66.81	95.0	63.47
06/30/2017	WILLS, KELLEY	PRU PREMIER RETIREMENT B	149,196.14	93.25	0.00	93.25	95.0	88.59
06/30/2017	GEISLER, JUDY	PRU PREMIER RETIREMENT B	17,615.45	11.01	0.00	11.01	95.0	10.46
06/30/2017	WAGNER II, HARRY	PRU PREMIER RETIREMENT L	359,778.93	899.45	0.00	899.45	95.0	854.48
06/30/2017	WAGNER, SHARON	PRU PREMIER RETIREMENT L	361,874.75	904.69	0.00	904.69	95.0	859.46
06/30/2017	MULRATH, JOHN	PRU PREMIER RETIREMENT L	153,905.93	384.76	0.00	384.76	95.0	365.52
06/30/2017	NICOLOSI, ROSE	PRU PREMIER RETIREMENT L	486,761.54	1,216.90	0.00	1,216.90	95.0	1,156.06
06/30/2017	SCHROETER, MARY KAY	PRU PREMIER RETIREMENT L	161,788.08	404.47	0.00	404.47	95.0	384.25
06/30/2017	RAONA, GARY	PRU PREMIER RETIREMENT L	10,023.18	25.06	0.00	25.06	95.0	23.81
06/30/2017	SPEARY, LISA	PRU PREMIER RETIREMENT L	96,381.28	240.95	0.00	240.95	95.0	228.90
06/30/2017	FEWLESS, SCOTT	PRU PREMIER RETIREMENT X	19,811.19	12.38	0.00	12.38	95.0	11.76
06/30/2017	JOHNSON, RODNEY	PRU PREMIER RETIREMENT X	195,349.30	122.09	0.00	122.09	95.0	115.99
06/30/2017	KNIGHT, SHARON	PRU PREMIER RETIREMENT X	95,480.23	59.68	0.00	59.68	95.0	56.70
06/30/2017	DEMAN, KENNETH	PRU PREMIER RETIREMENT X	87,726.95	54.83	0.00	54.83	95.0	52.09
06/30/2017	TYNAN, SHELLEY	PRU PREMIER RETIREMENT X	84,555.04	52.85	0.00	52.85	95.0	50.21
06/30/2017	VOGEL, KEITH	PRU PREMIER RETIREMENT X	114,876.90	71.80	0.00	71.80	95.0	68.21
06/30/2017	DUNK, KATHLEEN	PRU PREMIER RETIREMENT X	39,556.82	24.72	0.00	24.72	95.0	23.48
06/30/2017	HENRY, JACQUELINE	PRU PREMIER RETIREMENT X	64,562.17	40.35	0.00	40.35	95.0	38.33
06/30/2017	OLSON, MELINDA	PRU PREMIER RETIREMENT X	130,725.19	81.70	0.00	81.70	95.0	77.62
06/30/2017	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	46,165.90	57.71	0.00	57.71	95.0	54.82
06/30/2017	NACHAZEL, THOMAS	PRU XTRA CREDIT EIGHT	46,165.90	28.85	0.00	28.85	95.0	27.41
06/30/2017	RYAN, JACK	PRU XTRA CREDIT EIGHT	57,565.66	71.96	0.00	71.96	95.0	68.36
06/30/2017	RYAN, JACK	PRU XTRA CREDIT EIGHT	57,565.66	35.98	0.00	35.98	95.0	34.18
06/30/2017	RANG, JAMES	PRU XTRA CREDIT EIGHT	99,135.14	123.92	0.00	123.92	95.0	117.72
06/30/2017	RANG, JAMES	PRU XTRA CREDIT EIGHT	99,135.14	61.96	0.00	61.96	95.0	58.86
06/04/2017	SCHROETER, RICHARD	TRANSAMERICA EXTRA	36,503.83	30.42	0.00	30.42	95.0	28.90
06/14/2017	GRUSH, ERNEST	TRANSAMERICA LANDMARK	144,137.16	120.11	0.00	120.11	95.0	114.10
06/30/2017	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,626.93	3.86	0.00	3.86	95.0	3.67
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$12,445,979.03</b>	<b>\$13,127.76</b>	<b>\$0.00</b>	<b>\$13,127.76</b>		<b>\$12,471.41</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/30/2017	CFGROUP, VARIOUS	DREYFUS	0.00	92.94	0.00	92.94	95.0	88.29
05/31/2017	CFGROUP, VARIOUS	VOYA TRAILS	0.00	3.34	0.00	3.34	95.0	3.17
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$96.28</b>	<b>\$0.00</b>	<b>\$96.28</b>		<b>\$91.46</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
06/30/2017	STANTON, ELOISE	LFG TRAILS	207,136.80	129.46	0.00	129.46	95.0	122.99
07/05/2017	WEYCKER, EDWARD	ING PREMIUM PLUS	0.00	30.72	0.00	30.72	95.0	29.18
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$207,136.80</b>	<b>\$160.18</b>	<b>\$0.00</b>	<b>\$160.18</b>		<b>\$152.17</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$12,683,755.57</b>	<b>\$22,034.48</b>	<b>\$0.00</b>	<b>\$22,034.48</b>		<b>\$20,932.99</b>

**SPLIT TRANSACTIONS****BROKER: MARK KOSANKE #3**

06/09/2017	MARCOLA, THADDEUS	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	0.37	0.00	0.37	95.0	0.35
06/30/2017	ABULNAR, FRANK	ALLIANZ ALTERITY OPTIONS	79,570.33	99.46	0.00	99.46	95.0	94.49
06/30/2017	FORKIN, JOHN	ALLIANZ HIGH FIVE BONUS	183,906.23	229.88	0.00	229.88	95.0	218.39
06/30/2017	ROCCA, MARIA	ALLIANZ REWARDS TRADITIONAL	15,836.44	19.80	0.00	19.80	95.0	18.81
06/30/2017	LOPICCOLO, ARLENE	ALLIANZ VALUEMARK II	48,794.86	30.50	0.00	30.50	95.0	28.98
06/30/2017	LEVERETT, MONA	ALLIANZ VALUEMARK II	57,811.20	36.13	0.00	36.13	95.0	34.32
06/30/2017	SCAGLIONE, DIANA	ALLIANZ VALUEMARK III	102,533.15	64.08	0.00	64.08	95.0	60.88
06/30/2017	KRACHENFELS, EUGENE	ALLIANZ VISION BASIC	13,736.83	17.17	0.00	17.17	95.0	16.31
06/09/2017	CAUL, MARTINA	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	95.0	0.19
06/09/2017	CAUL, MARTINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	95.0	0.16
06/09/2017	GOOSSENS, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.42	0.00	2.42	95.0	2.30
06/09/2017	CAUL, MARTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	95.0	0.43
06/09/2017	SCULLY, DOROTHY	AM FUNDS ICA A	0.00	11.81	0.00	11.81	95.0	11.22
06/09/2017	MCCALL, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	1.19	0.00	1.19	95.0	1.13
06/09/2017	DENNIS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	6.28	0.00	6.28	95.0	5.97
06/09/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.51	0.00	1.51	95.0	1.43
06/09/2017	MILLER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.51	0.00	1.51	95.0	1.43
06/09/2017	DENNIS, STEVEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.04	0.00	5.04	95.0	4.79
06/09/2017	NICOLOSI, ROSE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.39	0.00	2.39	95.0	2.27
06/09/2017	DENNIS, STEVEN	DAVIS NEW YORK VENTURE A	0.00	15.72	0.00	15.72	95.0	14.93
06/09/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	9.77	0.00	9.77	95.0	9.28
06/09/2017	HENKEL, JAMES	DREYFUS HIGH YIELD A	0.00	0.61	0.00	0.61	95.0	0.58
06/20/2017	HENKEL, JAMES	DWS DEUTSCHE HIGH INCOME FUND A	2,000.00	0.00	0.00	0.00	95.0	0.00
06/09/2017	HENKEL, JAMES	EATON VANCE HIGH INCOME OPPORTUNITI	0.00	0.86	0.00	0.86	95.0	0.82
06/09/2017	ADAMS, ERIK	FEDERATED HIGH INCOME BOND FUND INC	0.00	0.77	0.00	0.77	95.0	0.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: MARK KOSANKE #3</u></b>								
06/09/2017	ADAMS, ERIK	FEDERATED MARKET OPPORTUNITY A	0.00	2.35	0.00	2.35	95.0	2.23
06/09/2017	GARCIA-SANTOS, VICKY	FIRST EAGLE GLOBAL FUND CL A	0.00	7.12	0.00	7.12	95.0	6.76
06/09/2017	MERTZ, LESLIE	FT FOUNDING FDS ALLOC C	0.00	0.52	0.00	0.52	95.0	0.49
06/30/2017	KRASS, DELORES	JNL PERSPECTIVE REWARDS	16,610.40	3.46	0.00	3.46	95.0	3.29
06/30/2017	PRITCHETT, EARL	NATIONWIDE MARKETFLEX	40,396.08	25.25	0.00	25.25	95.0	23.99
06/30/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	46.75	0.03	0.00	0.03	95.0	0.03
07/06/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	200.00	4.00	0.00	4.00	95.0	3.80
06/09/2017	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.74	0.00	0.74	95.0	0.70
06/09/2017	ADAMS, TONJA	PUTNAM INTERNATIONAL VALUE-A	0.00	0.27	0.00	0.27	95.0	0.26
07/05/2017	MOORE, LOUIS	TRANSAMERICA AA MODERATE C	0.00	3.78	0.00	3.78	95.0	3.59
06/22/2017	HOSKIN, JOHN	VICTORY CAPITAL MANAGEMENT	0.00	0.64	0.00	0.64	95.0	0.61
06/22/2017	MARCOLA, ERIKA	VICTORY CAPITAL MANAGEMENT	0.00	1.03	0.00	1.03	95.0	0.98
05/31/2017	CANNER, JULIE	XENIA HOTELS & RESORTS INC COM	5,126.85	122.00	14.00	108.00	95.0	102.60
06/20/2017	SHEARIN, DEAN	XENIA HOTELS & RESORTS INC COM	9,926.78	244.00	14.00	230.00	95.0	218.50
* MARK KOSANKE SUBTOTAL *			\$576,495.90	\$973.28	\$28.00	\$945.28		\$898.02
<b><u>BROKER: J117-PETE/JOAN JOHNSON/FLAM #19</u></b>								
/ /	PRICOPIO, VANESSA	JH 529 COLLEGE PORT A	0.00	2.48	0.00	2.48	95.0	2.36
* J117-PETE/JOAN JOHNSON/FLAM SUBTOTAL *			\$0.00	\$2.48	\$0.00	\$2.48		\$2.36
<b><u>BROKER: PETER JOHNSON #63</u></b>								
06/30/2017	SUCHYTA, MARY LEE	ALLIANZ VALUEMARK III	407,851.71	254.91	0.00	254.91	95.0	242.16
* PETER JOHNSON SUBTOTAL *			\$407,851.71	\$254.91	\$0.00	\$254.91		\$242.16
*** SPLIT TRANSACTIONS TOTAL ***			\$984,347.61	\$1,230.67	\$28.00	\$1,202.67		\$1,142.54

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: FRANK DUNN #7 </u></b>								
06/19/2017	STEVENS, LUCINDA	WAVELAND RESOURCE PARTNERS IV	21,000.00	1,470.00	0.00	1,470.00	90.0	73.50
06/19/2017	STEVENS, LUCINDA	WAVELAND RESOURCE PARTNERS IV	39,000.00	2,730.00	0.00	2,730.00	90.0	136.50
* FRANK DUNN SUBTOTAL *			<b>\$60,000.00</b>	<b>\$4,200.00</b>	<b>\$0.00</b>	<b>\$4,200.00</b>		<b>\$210.00</b>
<b><u> BROKER: THOMAS SCHNEIDER #30 </u></b>								
07/03/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	0.0	3.19
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME A	0.00	0.16	0.00	0.16	0.0	0.16
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM EQUITY INCOME B	0.00	0.68	0.00	0.68	0.0	0.68
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME A	0.00	0.14	0.00	0.14	0.0	0.14
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME B	0.00	0.55	0.00	0.55	0.0	0.55
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM INTERNATIONAL GROWTH-A	0.00	2.72	0.00	2.72	0.0	2.72
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	3.03	0.00	3.03	0.0	3.03
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI CAP GROWTH A	0.00	2.59	0.00	2.59	0.0	2.59
07/03/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	0.00	1.36	0.00	1.36	0.0	1.36
06/29/2017	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	13,687.40	2.85	0.00	2.85	0.0	2.85
06/29/2017	KELLER, MICHELLE	SBL ADVISOR PROGRAM	27,135.99	5.65	0.00	5.65	0.0	5.65
06/29/2017	YOUNG, MARCIA	SBL ADVISOR PROGRAM	23,786.74	4.96	0.00	4.96	0.0	4.96
06/29/2017	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,359.91	1.75	0.00	1.75	0.0	1.75
06/29/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,894.36	1.65	0.00	1.65	0.0	1.65
06/29/2017	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,462.35	4.47	0.00	4.47	0.0	4.47
06/29/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,675.29	1.60	0.00	1.60	0.0	1.60
06/29/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,787.43	1.41	0.00	1.41	0.0	1.41
06/29/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,684.94	0.77	0.00	0.77	0.0	0.77
06/29/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,285.91	1.32	0.00	1.32	0.0	1.32
06/29/2017	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	5,994.61	1.25	0.00	1.25	0.0	1.25
06/29/2017	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	7,733.44	1.61	0.00	1.61	0.0	1.61
06/29/2017	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,863.18	1.22	0.00	1.22	0.0	1.22
06/29/2017	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	8,134.10	1.69	0.00	1.69	0.0	1.69
06/29/2017	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	132,483.89	27.60	0.00	27.60	0.0	27.60
06/29/2017	MELLERT, ELLEN	SBL ADVISORDESIGNS VA	3,822.56	3.18	0.00	3.18	0.0	3.18
06/29/2017	GREGORY, CAROL	SBL ADVISORDESIGNS VA	33,853.56	7.05	0.00	7.05	0.0	7.05
06/29/2017	HARTONG, DEAN	SBL ADVISORDESIGNS VA	12,714.85	2.65	0.00	2.65	0.0	2.65
06/29/2017	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,781.88	1.41	0.00	1.41	0.0	1.41
06/29/2017	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,082.11	1.69	0.00	1.69	0.0	1.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS SCHNEIDER #30</u></b>								
06/29/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,320.59	0.69	0.00	0.69	0.0	0.69
		* THOMAS SCHNEIDER SUBTOTAL *	\$355,620.09	\$90.89	\$0.00	\$90.89		\$90.89
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$415,620.09	\$4,290.89	\$0.00	\$4,290.89		\$300.89

<b><u> ADJUSTMENTS </u></b>								
07/15/2017		*FINRA: CE TRACKED WEB FEE	0.00					-55.00
07/15/2017		*MISC: W/O DB BAL 7A5003591	0.00					-35.00
07/15/2017		*MISC: W/O DB BAL 7A5003941	0.00					-35.00
07/15/2017		*MISC: W/O DB BAL 7A5003941	0.00					-200.00
07/15/2017		*MISC: W/O DB BAL 7A5004171	0.00					-35.00
07/15/2017		*MISC: W/O DB BAL 7A5006851	0.00					-10.29
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-370.29

<b><u> BROKER COMMISSION TOTALS </u></b>	
Broker Transactions	\$20,932.99
Split Transactions	\$1,142.54
Override Transactions	\$300.89
Adjustments	\$-370.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$22,006.13
Year-to-date Gross	\$215,434.37
Year-to-date Earnings	\$207,746.59

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