

COMMISSION STATEMENT

April 30, 2017

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #:
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|--------------------------|-----------|----------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------------|----------------------|------------|
| BROKER TRANSACTIONS | | | | | | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | | |
| 03/29/2017 | QGN005274 | OLSTEIN ALL CAP | MOODYS CORP COM | B | 110,500.00 | 30.00 | 6.00 | 24.00 | 50.0 | 12.00 |
| 04/04/2017 | QGN005274 | OLSTEIN ALL CAP | BORG WARNER AUTOMOTIVE INC | B | 115,932.90 | 90.00 | 6.00 | 84.00 | 50.0 | 42.00 |
| 04/04/2017 | QGN005274 | OLSTEIN ALL CAP | EBAY INC | S | -170,000.00 | 150.00 | 6.00 | 144.00 | 50.0 | 72.00 |
| 04/05/2017 | QGN005274 | OLSTEIN ALL CAP | EBAY INC | S | -110,497.62 | 95.97 | 6.00 | 89.97 | 50.0 | 44.99 |
| 04/07/2017 | QGN005274 | OLSTEIN ALL CAP | BED BATH & BEYOND INC | S | -197,500.00 | 150.00 | 6.00 | 144.00 | 50.0 | 72.00 |
| 04/07/2017 | QGN005274 | OLSTEIN ALL CAP | BED BATH & BEYOND INC | S | 197,500.00 | -150.00 | -6.00 | -144.00 | 50.0 | -72.00 |
| 04/07/2017 | QGN005274 | OLSTEIN ALL CAP | BED BATH & BEYOND INC | S | -130,350.00 | 99.00 | 6.00 | 93.00 | 50.0 | 46.50 |
| 04/18/2017 | QGN005274 | OLSTEIN ALL CAP | CONDUENT INC COM | B | 636,752.00 | 1,200.00 | 6.00 | 1,194.00 | 50.0 | 597.00 |
| 04/20/2017 | QGN005274 | OLSTEIN ALL CAP | OWENS ILLINOIS INC | S | -93,429.90 | 135.00 | 6.00 | 129.00 | 50.0 | 64.50 |
| 04/21/2017 | QGN005274 | OLSTEIN ALL CAP | STERICYCLE INC COM | S | -171,000.00 | 60.00 | 6.00 | 54.00 | 50.0 | 27.00 |
| 04/24/2017 | QGN005274 | OLSTEIN ALL CAP | OWENS ILLINOIS INC | S | -94,386.60 | 135.00 | 6.00 | 129.00 | 50.0 | 64.50 |
| 04/25/2017 | QGN005274 | OLSTEIN ALL CAP | HOLOGIC INC | S | -222,500.00 | 150.00 | 6.00 | 144.00 | 50.0 | 72.00 |
| 04/25/2017 | QGN005274 | OLSTEIN ALL CAP | ZEBRA TECHNOLOGIES CORP CL A | S | -285,000.00 | 90.00 | 6.00 | 84.00 | 50.0 | 42.00 |
| 03/29/2017 | QGN005282 | OPPORTUNITIES, | XPERI CORP COM | B | 257,520.75 | 225.00 | 6.00 | 219.00 | 50.0 | 109.50 |
| 04/07/2017 | QGN005282 | OPPORTUNITIES, | BED BATH & BEYOND INC | S | -63,200.00 | 48.00 | 6.00 | 42.00 | 50.0 | 21.00 |
| 04/18/2017 | QGN005282 | OPPORTUNITIES, | CONDUENT INC COM | B | 31,837.60 | 60.00 | 6.00 | 54.00 | 50.0 | 27.00 |
| 04/20/2017 | QGN005282 | OPPORTUNITIES, | OWENS ILLINOIS INC | S | -10,381.10 | 15.00 | 6.00 | 9.00 | 50.0 | 4.50 |
| 04/24/2017 | QGN005282 | OPPORTUNITIES, | OWENS ILLINOIS INC | S | -62,924.40 | 90.00 | 6.00 | 84.00 | 50.0 | 42.00 |
| 04/25/2017 | QGN005282 | OPPORTUNITIES, | ZEBRA TECHNOLOGIES CORP CL A | S | -95,000.00 | 30.00 | 6.00 | 24.00 | 50.0 | 12.00 |
| 03/29/2017 | QGN005308 | DIOCESE OF ARLINGTON | XPERI CORP COM | B | 10,300.83 | 9.00 | 6.00 | 3.00 | 50.0 | 1.50 |
| 04/07/2017 | QGN005308 | DIOCESE OF ARLINGTON | BED BATH & BEYOND INC | S | -3,950.00 | 3.00 | 6.00 | -3.00 | 50.0 | -1.50 |
| 04/24/2017 | QGN005308 | DIOCESE OF ARLINGTON | OWENS ILLINOIS INC | S | -3,146.22 | 4.50 | 6.00 | -1.50 | 50.0 | -0.75 |
| 04/25/2017 | QGN005308 | DIOCESE OF ARLINGTON | ZEBRA TECHNOLOGIES CORP CL A | S | -4,750.00 | 1.50 | 6.00 | -4.50 | 50.0 | -2.25 |
| 03/30/2017 | QGV005689 | MERRILL LYNCH PROF | RADIUS HEALTH INC | B | 1,586,516.00 | 1,600.00 | 6.00 | 1,594.00 | 50.0 | 797.00 |
| * STOCKS SUBTOTAL * | | | | | \$1,228,844.24 | \$4,320.97 | \$132.00 | \$4,188.97 | | \$2,094.49 |

PRODUCT CATEGORY: BONDS

| | | | | | | | | | | |
|------------|-----------|-------------------|---------------------------------------|--|-------------|-------|------|-------|------|-------|
| 04/19/2017 | QGN001000 | WORKERS LOCAL 451 | UNITED STATES TREAS BDS 30 YEAR TRE S | | -89,654.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001000 | WORKERS LOCAL 451 | UNITED STATES TREAS NTS 1.875% 10/3 S | | -231,006.25 | 71.88 | 7.50 | 64.38 | 50.0 | 32.19 |
| 04/24/2017 | QGN001000 | WORKERS LOCAL 451 | UNITED STATES TREAS BILLS 0.000% 05 S | | -49,969.17 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001000 | WORKERS LOCAL 451 | UNITED STATES TREAS NTS 2.000% 11/1 B | | 48,835.91 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

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|-----------------------------------|-----------|----------------------|---------------------|----------------------|---------------------|--------------------|-------------------|--------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: BONDS | | | | | | | | | |
| 04/24/2017 | QGN001000 | WORKERS LOCAL 451 | UNITED STATES TREAS | BILLS 0.000% 05 S | 49,969.17 | -50.00 | -7.50 | -42.50 | 50.0 -21.25 C |
| 04/24/2017 | QGN001000 | WORKERS LOCAL 451 | UNITED STATES TREAS | BILLS 0.000% 05 S | -49,969.17 | 0.00 | 7.50 | -7.50 | 50.0 -3.75 |
| 04/18/2017 | QGN001018 | UNION OF OPERATING, | UNITED STATES TREAS | BDS 2.875% 05/1 B | 14,981.25 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001018 | UNION OF OPERATING, | UNITED STATES TREAS | NTS 1.250% 10/3 B | 24,519.53 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001018 | UNION OF OPERATING, | UNITED STATES TREAS | BDS 2.875% 05/1 B | 19,959.38 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 03/31/2017 | QGN001059 | OF, INTERNATIONAL | UNITED STATES TREAS | NTS 0.250% 01/1 S | -10,148.02 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 03/31/2017 | QGN001059 | OF, INTERNATIONAL | UNITED STATES TREAS | NTS TREAS TIPS S | -98,643.03 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001059 | OF, INTERNATIONAL | UNITED STATES TREAS | NTS 2.250% 11/3 S | -201,531.24 | 62.50 | 7.50 | 55.00 | 50.0 27.50 |
| 04/18/2017 | QGN001059 | OF, INTERNATIONAL | UNITED STATES TREAS | NTS 2.000% 11/1 B | 98,140.63 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001075 | UNION OF OPERATING, | UNITED STATES TREAS | NTS 2.000% 11/1 B | 49,210.94 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001075 | UNION OF OPERATING, | UNITED STATES TREAS | BDS 2.875% 05/1 B | 34,928.91 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001083 | TEAMSTERS LOCAL 237 | UNITED STATES TREAS | NTS 2.000% 11/1 B | 73,816.41 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001083 | TEAMSTERS LOCAL 237 | UNITED STATES TREAS | BDS 2.875% 05/1 B | 74,847.66 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/24/2017 | QGN001083 | TEAMSTERS LOCAL 237 | UNITED STATES TREAS | NTS 2.000% 11/1 B | 24,417.96 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 03/31/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | BDS 3.000% 11/1 S | -34,693.75 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/17/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 1.625% 10/3 B | 24,453.13 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | BDS 2.750% 11/1 B | 49,195.31 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 1.250% 10/3 B | 98,187.50 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/19/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | BDS 30 YEAR TRE S | -34,787.54 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/19/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | BDS 2.875% 05/1 B | 14,997.66 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/24/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 1.875% 09/3 S | -25,093.75 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/24/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 2.000% 11/1 B | 34,185.14 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/24/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 1.875% 09/3 S | 25,093.75 | -50.00 | -7.50 | -42.50 | 50.0 -21.25 C |
| 04/24/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 1.875% 09/3 S | -25,093.75 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/27/2017 | QGN001091 | STACEY BRAUN | UNITED STATES TREAS | NTS 1.875% 09/3 S | -60,225.00 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001109 | LOCAL 282 WELFARE | UNITED STATES TREAS | BDS 2.750% 11/1 B | 73,792.97 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001109 | LOCAL 282 WELFARE | UNITED STATES TREAS | BDS 2.875% 05/1 B | 99,875.00 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001109 | LOCAL 282 WELFARE | UNITED STATES TREAS | BDS 2.875% 05/1 B | 34,928.91 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001117 | ASSOCIATES, STACEY | UNITED STATES TREAS | BDS 2.875% 05/1 B | 14,981.25 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001117 | ASSOCIATES, STACEY | UNITED STATES TREAS | NTS 1.250% 10/3 B | 49,039.06 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001117 | ASSOCIATES, STACEY | UNITED STATES TREAS | BDS 2.875% 05/1 B | 14,969.53 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001125 | TRUSTEES, BOARD | UNITED STATES TREAS | BDS 2.750% 11/1 B | 49,195.31 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001125 | TRUSTEES, BOARD | UNITED STATES TREAS | BDS 2.875% 05/1 B | 19,975.00 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001125 | TRUSTEES, BOARD | UNITED STATES TREAS | BDS 2.875% 05/1 B | 34,928.91 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001158 | CPA'S, SCHULTHEIS & | UNITED STATES TREAS | BDS 2.750% 11/1 B | 98,390.63 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/20/2017 | QGN001158 | CPA'S, SCHULTHEIS & | UNITED STATES TREAS | BDS 2.875% 05/1 B | 274,441.41 | 85.94 | 7.50 | 78.44 | 50.0 39.22 |
| 04/24/2017 | QGN001182 | LOCAL UNION 43, IBEW | UNITED STATES TREAS | NTS 1.250% 10/3 B | 195,625.00 | 62.50 | 7.50 | 55.00 | 50.0 27.50 |
| 04/18/2017 | QGN001190 | LOCOMOTIVE, | UNITED STATES TREAS | BDS 2.875% 05/1 B | 29,962.50 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |
| 04/18/2017 | QGN001190 | LOCOMOTIVE, | UNITED STATES TREAS | NTS 1.625% 10/3 B | 29,357.81 | 50.00 | 7.50 | 42.50 | 50.0 21.25 |

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|--------------------------------|-----------|----------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 04/19/2017 | QGN001190 | LOCOMOTIVE, | UNITED STATES TREAS BDS 30 YEAR TRE S | -24,848.24 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001190 | LOCOMOTIVE, | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,998.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001216 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 29,962.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001216 | STACEY BRAUN | UNITED STATES TREAS BDS 30 YEAR TRE S | -49,696.49 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001216 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,996.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001216 | STACEY BRAUN | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,417.96 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001273 | PUBLIC EMPLOYEES | UNITED STATES TREAS BDS 2.750% 11/1 B | 98,390.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001273 | PUBLIC EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TRE S | -14,908.95 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001273 | PUBLIC EMPLOYEES | UNITED STATES TREAS NTS 2.000% 11/1 B | 195,343.65 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN001307 | CLERKS, NY | UNITED STATES TREAS NTS 2.000% 11/1 B | 19,534.36 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001356 | 804 IBT & LOCAL 447, | UNITED STATES TREAS BDS 30 YEAR TRE S | -414,411.29 | 137.27 | 7.50 | 129.77 | 50.0 | 64.89 |
| 04/24/2017 | QGN001356 | 804 IBT & LOCAL 447, | UNITED STATES TREAS BDS 2.875% 05/1 B | 347,703.13 | 109.37 | 7.50 | 101.87 | 50.0 | 50.94 |
| 03/31/2017 | QGN001364 | LOCAL 300 CIVIL | UNITED STATES TREAS NTS 2.000% 08/1 S | -48,609.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN001364 | LOCAL 300 CIVIL | UNITED STATES TREAS NTS 1.625% 10/3 B | 97,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001364 | LOCAL 300 CIVIL | UNITED STATES TREAS NTS 1.250% 10/3 B | 98,187.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001364 | LOCAL 300 CIVIL | UNITED STATES TREAS NTS 2.000% 11/1 B | 73,253.87 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001380 | TEAMSTERS LOCAL 445 | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,371.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/30/2017 | QGN001414 | 11, TEAMSTERS | UNITED STATES TREAS BDS 30 YEAR TRE S | -146,498.65 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/30/2017 | QGN001414 | 11, TEAMSTERS | UNITED STATES TREAS NTS 2.000% 11/1 S | -96,375.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001414 | 11, TEAMSTERS | UNITED STATES TREAS BDS 2.750% 11/1 B | 49,195.31 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001414 | 11, TEAMSTERS | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,937.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001414 | 11, TEAMSTERS | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,996.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN001422 | WESTCHESTER MODULAR | UNITED STATES TREAS BDS 2.750% 08/1 S | -23,734.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN001422 | WESTCHESTER MODULAR | UNITED STATES TREAS NTS 1.625% 10/3 B | 24,453.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001422 | WESTCHESTER MODULAR | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001422 | WESTCHESTER MODULAR | UNITED STATES TREAS NTS 1.250% 10/3 B | 29,423.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001422 | WESTCHESTER MODULAR | UNITED STATES TREAS NTS 1.625% 10/3 B | 48,929.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001422 | WESTCHESTER MODULAR | UNITED STATES TREAS NTS 1.250% 10/3 B | 48,906.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN001430 | WORKERS LOCAL 12, | UNITED STATES TREAS NTS 0.250% 01/1 S | -10,148.02 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001430 | WORKERS LOCAL 12, | UNITED STATES TREASURY NOTE S | -25,273.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001430 | WORKERS LOCAL 12, | UNITED STATES TREAS NTS 2.000% 11/1 B | 14,622.66 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001430 | WORKERS LOCAL 12, | UNITED STATES TREASURY NOTE S | 25,273.44 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/24/2017 | QGN001430 | WORKERS LOCAL 12, | UNITED STATES TREASURY NOTE S | -25,296.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001463 | COUNCIL, TEAMSTER | UNITED STATES TREAS BDS 2.750% 11/1 B | 49,195.31 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001463 | COUNCIL, TEAMSTER | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001463 | COUNCIL, TEAMSTER | UNITED STATES TREAS NTS 1.625% 10/3 B | 24,464.84 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001463 | COUNCIL, TEAMSTER | UNITED STATES TREAS BDS 30 YEAR TRE S | -64,605.43 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001463 | COUNCIL, TEAMSTER | UNITED STATES TREAS BDS 2.875% 05/1 B | 34,994.53 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001463 | COUNCIL, TEAMSTER | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,371.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001489 | RETIREE, DOCTORS | UNITED STATES TREAS NTS 2.000% 11/1 B | 14,721.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

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|--------------------------------|-----------|----------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 04/24/2017 | QGN001489 | RETIREE, DOCTORS | UNITED STATES TREAS NTS 2.000% 11/1 B | 14,622.66 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001497 | DEL JERSEY, NECA, | UNITED STATES TREAS BDS 2.875% 05/1 B | 19,975.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN001497 | DEL JERSEY, NECA, | UNITED STATES TREAS BDS 2.875% 05/1 B | 19,959.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS NTS 1.625% 10/3 B | 146,718.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS BILLS 0.000% 06 S | -149,869.21 | 0.00 | 7.50 | -7.50 | 50.0 | -3.75 |
| 04/18/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS BDS 2.875% 05/1 B | 149,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS NTS 0.625% 09/3 S | -149,789.06 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS BDS 2.875% 05/1 B | 174,644.53 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS NTS 1.875% 09/3 S | -125,468.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,835.91 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS NTS 1.875% 09/3 S | 125,468.75 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/24/2017 | QGN001505 | OF, CARPENTERS & | UNITED STATES TREAS NTS 1.875% 09/3 S | -125,468.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001554 | STACEY BRAUN | UNITED STATES TREAS BDS 2.750% 11/1 B | 98,390.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001554 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 74,906.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN001554 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 99,796.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001554 | STACEY BRAUN | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,742.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN001620 | LOCAL, WESTCHESTER | UNITED STATES TREAS NTS 0.250% 01/1 S | -25,370.05 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001620 | LOCAL, WESTCHESTER | UNITED STATES TREASURY NOTE S | -25,308.59 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001620 | LOCAL, WESTCHESTER | UNITED STATES TREAS NTS TREAS TIPS S | -49,935.64 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001620 | LOCAL, WESTCHESTER | UNITED STATES TREAS NTS 2.000% 11/1 B | 49,070.31 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001620 | LOCAL, WESTCHESTER | UNITED STATES TREAS NTS 2.000% 11/1 B | 49,210.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001620 | LOCAL, WESTCHESTER | UNITED STATES TREAS NTS 2.000% 11/1 B | 43,867.97 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001679 | ASSISTANT DIRECTOR | UNITED STATES TREAS NTS 1.250% 10/3 B | 24,519.53 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,937.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREAS BDS 30 YEAR TRE S | -49,808.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,898.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREASURY NOTE S | -50,546.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,417.96 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREASURY NOTE S | 50,546.88 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/24/2017 | QGN001695 | STACEY BRAUN | UNITED STATES TREASURY NOTE S | -50,593.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN001711 | OPPENHEIMER & CO | UNITED STATES TREAS BDS 2.750% 08/1 S | -18,987.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001711 | OPPENHEIMER & CO | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,742.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN001745 | RETIREMENT, SOUTHERN | UNITED STATES TREAS NTS 0.250% 01/1 S | -202,960.39 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/18/2017 | QGN001745 | RETIREMENT, SOUTHERN | UNITED STATES TREAS NTS TREAS TIPS S | -199,742.57 | 63.45 | 7.50 | 55.95 | 50.0 | 27.98 |
| 04/18/2017 | QGN001745 | RETIREMENT, SOUTHERN | UNITED STATES TREAS NTS 2.000% 11/1 B | 472,425.00 | 150.00 | 7.50 | 142.50 | 50.0 | 71.25 |
| 04/18/2017 | QGN001745 | RETIREMENT, SOUTHERN | UNITED STATES TREAS NTS 2.000% 11/1 B | 294,421.88 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/19/2017 | QGN001752 | 138, 138A, 138B | UNITED STATES TREAS BDS 30 YEAR TRE S | -9,939.30 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN001752 | 138, 138A, 138B | UNITED STATES TREAS BDS 30 YEAR TRE S | 9,939.30 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/19/2017 | QGN001752 | 138, 138A, 138B | UNITED STATES TREAS BDS 30 YEAR TRE S | -9,939.30 | 0.00 | 7.50 | -7.50 | 50.0 | -3.75 |
| 04/18/2017 | QGN001828 | INTERNS, COMMIOF | UNITED STATES TREAS NTS 1.625% 10/3 B | 14,678.91 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|-----------|----------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 03/31/2017 | QGN001836 | METAL WORKERS | UNION PAC CORP FXD RT SR NT 2.750% S | -19,400.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001885 | BRAUN ASSOCIATES IN, | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,987.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN001885 | BRAUN ASSOCIATES IN, | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,979.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001901 | IBT, LOCAL | UNITED STATES TREAS NTS 1.250% 10/3 B | 49,093.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN001901 | IBT, LOCAL | UNITED STATES TREAS NTS 1.250% 10/3 B | 34,234.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001927 | SERVICES EMPLOYEES | UNITED STATES TREAS NTS 1.250% 10/3 B | 49,039.06 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001968 | NO1, BRICKLAYERS | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,987.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN001992 | INTERNS, COMMITTE | UNITED STATES TREAS NTS 1.250% 10/3 B | 34,327.34 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002024 | SAVASTA, MR | UNITED STATES TREAS BDS 2.875% 05/1 B | 19,975.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002131 | ORTHODOX COMM | UNITED STATES TREAS NTS 1.625% 10/3 B | 19,571.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002164 | PENSION FUND LOCAL | UNITED STATES TREAS BDS 2.875% 05/1 B | 29,962.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002164 | PENSION FUND LOCAL | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,605.47 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN002164 | PENSION FUND LOCAL | UNITED STATES TREAS BDS 30 YEAR TRE S | -59,769.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN002164 | PENSION FUND LOCAL | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,371.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN002164 | PENSION FUND LOCAL | XTO ENERGY INC SR NT 6.250% 08/01/1 S | -151,665.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002172 | STACEY BRAUN & ASSOC | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,605.47 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002180 | LOCAL 804 WELFARE | UNITED STATES TREAS BDS 2.750% 11/1 B | 73,792.97 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002180 | LOCAL 804 WELFARE | UNITED STATES TREAS BDS 2.875% 05/1 B | 199,750.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/19/2017 | QGN002180 | LOCAL 804 WELFARE | UNITED STATES TREAS BDS 30 YEAR TRE S | -298,178.92 | 99.26 | 7.50 | 91.76 | 50.0 | 45.88 |
| 04/24/2017 | QGN002180 | LOCAL 804 WELFARE | UNITED STATES TREAS NTS 1.250% 10/3 B | 195,625.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 03/31/2017 | QGN002206 | 817 AFFILIATED WITH | UNITED STATES TREAS BDS 2.750% 08/1 S | -23,734.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002206 | 817 AFFILIATED WITH | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN002206 | 817 AFFILIATED WITH | UNITED STATES TREAS NTS 2.000% 11/1 B | 68,239.06 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN002248 | LOCAL 1205 WELFARE | UNITED STATES TREAS BDS 2.875% 08/1 S | -48,734.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/10/2017 | QGN002248 | LOCAL 1205 WELFARE | EATON CORP OHIO SR NT 4.000% 11/02/ S | -35,350.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/11/2017 | QGN002248 | LOCAL 1205 WELFARE | UNITED STATES TREAS NTS TREAS TIPS S | -34,700.37 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/11/2017 | QGN002248 | LOCAL 1205 WELFARE | UNITED STATES TREAS NTS 1.625% 11/1 S | -98,218.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN002248 | LOCAL 1205 WELFARE | UNITED STATES TREAS BDS 30 YEAR TRE S | -49,696.49 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN002248 | LOCAL 1205 WELFARE | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,996.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002271 | HEALTH AND WELFARE | UNITED STATES TREAS BDS 2.875% 05/1 B | 34,956.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002271 | HEALTH AND WELFARE | UNITED STATES TREAS NTS 1.625% 10/3 B | 19,571.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN002271 | HEALTH AND WELFARE | UNITED STATES TREAS BDS 30 YEAR TRE S | -9,939.30 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN002271 | HEALTH AND WELFARE | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,742.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002289 | RETIREMENT PLAN OF, | UNITED STATES TREAS NTS 1.625% 10/3 B | 14,678.91 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002313 | LABORERS LOCAL 235 | UNITED STATES TREAS NTS 1.250% 10/3 B | 24,546.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002313 | LABORERS LOCAL 235 | UNITED STATES TREAS NTS 1.625% 10/3 B | 24,464.84 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN002313 | LABORERS LOCAL 235 | UNITED STATES TREAS NTS 2.000% 11/1 B | 19,496.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002339 | PATIENT CARE TRUST | UNITED STATES TREAS NTS 1.250% 10/3 B | 34,327.34 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN002347 | ROCHELLE FEDERATION | UNITED STATES TREAS NTS 1.250% 10/3 B | 48,906.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN002354 | LOCAL UNION 491, | UNITED STATES TREAS NTS 1.250% 10/3 B | 9,807.81 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|-------------------------|-----------|----------------------|---------------|-------------------------|---------------------|--------------------|-------------------|--------|----------------------|----------|--|
| BROKER TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: BONDS | | | | | | | | | | | |
| 04/18/2017 | QGN002354 | LOCAL UNION 491, | UNITED STATES | TREAS NTS 1.625% 10/3 B | 9,785.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/20/2017 | QGN002354 | LOCAL UNION 491, | UNITED STATES | TREAS BDS 2.875% 05/1 B | 9,979.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/20/2017 | QGN002362 | LOCAL 804 PENSION | UNITED STATES | TREAS BDS 2.875% 05/1 B | 9,979.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002388 | LESTER, AUGUSTUS | UNITED STATES | TREAS NTS 1.625% 10/3 B | 34,250.78 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 03/31/2017 | QGN002412 | 456, TEAMSTERS | UNITED STATES | TREAS NTS 2.125% 11/3 S | -24,886.72 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002412 | 456, TEAMSTERS | UNITED STATES | TREAS NTS 2.000% 11/1 B | 49,210.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN002412 | 456, TEAMSTERS | UNITED STATES | TREAS NTS 2.000% 11/1 B | 19,496.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/17/2017 | QGN002479 | AND STONE MASONS, | UNITED STATES | TREAS NTS 1.625% 10/3 B | 24,453.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002479 | AND STONE MASONS, | UNITED STATES | TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/19/2017 | QGN002479 | AND STONE MASONS, | UNITED STATES | TREAS BDS 30 YEAR TRE S | -19,878.59 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/19/2017 | QGN002479 | AND STONE MASONS, | UNITED STATES | TREAS BDS 2.875% 05/1 B | 39,993.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS BDS 2.750% 11/1 B | 49,195.31 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS BDS 2.875% 05/1 B | 49,937.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS NTS 0.625% 05/3 S | -99,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS NTS 1.250% 10/3 B | 98,078.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/19/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS BDS 30 YEAR TRE S | -119,271.57 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/19/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS BDS 2.875% 05/1 B | 59,990.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/20/2017 | QGN002487 | LEAGUE GRAPHIC ARTS, | UNITED STATES | TREAS BDS 2.875% 05/1 B | 49,898.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN002495 | 108 RWDSU RETIREMENT | UNITED STATES | TREAS NTS 1.375% 07/3 S | -15,037.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN002495 | 108 RWDSU RETIREMENT | UNITED STATES | TREAS NTS 1.375% 07/3 S | 15,037.50 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C | |
| 04/24/2017 | QGN002495 | 108 RWDSU RETIREMENT | UNITED STATES | TREAS NTS 1.375% 07/3 S | -15,037.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/10/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 1.375% 04/3 B | 74,625.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/10/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 1.375% 02/1 B | 74,789.06 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/10/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 2.125% 02/2 B | 49,890.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/10/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 2.125% 05/1 B | 49,437.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/11/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 1.375% 02/1 B | 49,921.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/11/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 2.125% 03/3 B | 25,015.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 1.250% 10/3 B | 19,615.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN002503 | CITY EMPLOYEES UNION | UNITED STATES | TREAS NTS 2.000% 11/1 B | 19,534.36 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002560 | LOCAL UNION # 177, | UNITED STATES | TREAS NTS 1.250% 10/3 B | 98,187.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN002560 | LOCAL UNION # 177, | UNITED STATES | TREAS NTS 1.250% 10/3 B | 48,906.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002578 | NY LABORERS DIST | UNITED STATES | TREAS NTS 1.625% 10/3 B | 9,785.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002594 | CARNEY,, MICHAEL | UNITED STATES | TREAS NTS 1.625% 10/3 B | 9,785.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN002594 | CARNEY,, MICHAEL | UNITED STATES | TREAS NTS 2.000% 11/1 B | 9,748.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 03/31/2017 | QGN002610 | GROSS, ARTHUR | UNITED STATES | TREAS NTS 2.250% 11/1 S | -4,981.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN002628 | CARPENTERS, | UNITED STATES | TREAS NTS 2.000% 11/1 B | 9,842.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 03/31/2017 | QGN003105 | YORK STATE PUBLIC | UNITED STATES | TREAS NTS 1.625% 05/1 S | -9,364.06 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN003105 | YORK STATE PUBLIC | UNITED STATES | TREAS NTS 1.250% 10/3 B | 14,671.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/24/2017 | QGN003188 | OPPENHEIMER & CO | UNITED STATES | TREAS NTS 2.000% 11/1 B | 9,748.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |
| 04/18/2017 | QGN003212 | ODONNELL, THOMAS | UNITED STATES | TREAS NTS 1.625% 10/3 B | 9,785.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 | |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------|-----------|---------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TIP B | -19,940.69 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TIP B | 19,940.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TREAS TIPS S | 5,060.33 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TREAS TIPS S | -4,958.96 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TIP B | -19,940.69 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TIP B | 19,943.86 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS TREAS INFLA S | -9,656.59 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BONDS INFLATION S | -6,580.56 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS TREAS INFLA S | -6,944.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YR TREAS S | -5,777.99 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TRE S | -4,940.58 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS BDS 30 YEAR TIP B | 19,940.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS 05 YR TREAS S | -10,515.93 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TREA TIPS 0 S | -5,264.76 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS INFLATION P S | -5,919.07 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TRES TIPS 0 S | -5,182.32 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TREAS TIPS S | -5,060.33 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS INFLATION I S | -5,335.31 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS 10 YR TREAS S | -5,273.01 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS 10 YR TREAS S | -5,315.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TREAS TIPS B | 70,415.10 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NOTES INFL IDX S | -5,082.82 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN004939 | SERVICE EMPLOYEES | UNITED STATES TREAS NTS TREAS TIPS S | -5,149.32 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN005233 | ASSOCIATES, STACEY | UNITED STATES TREAS NTS 2.000% 11/1 B | 9,842.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN005233 | ASSOCIATES, STACEY | UNITED STATES TREAS NTS 2.000% 11/1 B | 9,748.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/29/2017 | QGN005407 | OPPENHEIMER & CO | CHEVRON CORP NEW FXD RT NT 2.419% 1 B | 25,343.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/29/2017 | QGN005415 | OPPENHEIMER & CO | CHEVRON CORP NEW FXD RT NT 2.419% 1 B | 25,343.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/29/2017 | QGN005423 | OPPENHEIMER & CO | CHEVRON CORP NEW FXD RT NT 2.419% 1 B | 15,206.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/29/2017 | QGN005449 | OPPENHEIMER & CO | CHEVRON CORP NEW FXD RT NT 2.419% 1 B | 15,206.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN005597 | LEGAL, CONNECTICUT | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,987.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN005597 | LEGAL, CONNECTICUT | UNITED STATES TREAS NTS 1.250% 10/3 B | 19,615.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN005597 | LEGAL, CONNECTICUT | UNITED STATES TREAS BDS 30 YEAR TRE S | -19,878.59 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN005597 | LEGAL, CONNECTICUT | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,998.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN005597 | LEGAL, CONNECTICUT | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,979.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN005597 | LEGAL, CONNECTICUT | INTERNATIONAL BUSINESS MACHS CORP N S | -25,361.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN005597 | LEGAL, CONNECTICUT | XTO ENERGY INC SR NT 6.250% 08/01/1 S | -10,100.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN006041 | TEAMSTERS LOCAL 237 | UNITED STATES TREAS NTS 1.625% 10/3 B | 39,143.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026114 | DEL JERSEY, NECA, | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,987.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026114 | DEL JERSEY, NECA, | UNITED STATES TREAS NTS 2.000% 11/1 B | 9,748.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN026122 | OF DELAWARE LOCAL | UNITED STATES TREAS NTS 1.625% 10/3 B | 24,453.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------|-----------|----------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 04/18/2017 | QGN026122 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 2.875% 05/1 B | 19,975.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026122 | OF DELAWARE LOCAL | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,605.47 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026122 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 30 YEAR TRE S | -24,848.24 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026122 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,998.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN026122 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 2.875% 05/1 B | 14,969.53 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026205 | DEFINED CONTRIBUTION | UNITED STATES TREAS NTS 2.000% 11/1 B | 196,843.75 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/19/2017 | QGN026205 | DEFINED CONTRIBUTION | UNITED STATES TREAS BDS 2.875% 05/1 B | 59,990.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026205 | DEFINED CONTRIBUTION | UNITED STATES TREAS BDS 2.875% 05/1 B | -59,990.63 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/20/2017 | QGN026205 | DEFINED CONTRIBUTION | UNITED STATES TREAS BDS 2.875% 05/1 B | 174,644.53 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN026239 | PNC BANK | UNITED STATES TREAS NTS 1.625% 10/3 B | 97,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026239 | PNC BANK | UNITED STATES TREAS BDS 2.875% 05/1 B | 149,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026239 | PNC BANK | UNITED STATES TREAS BDS 30 YEAR TRE S | -99,392.97 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026239 | PNC BANK | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,992.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN026239 | PNC BANK | UNITED STATES TREAS BDS 2.875% 05/1 B | 99,796.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026239 | PNC BANK | UNITED STATES TREAS NTS 2.000% 11/1 B | 97,484.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS BDS 30 YEAR TRE S | -414,411.29 | 137.27 | 7.50 | 129.77 | 50.0 | 64.89 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | BERKSHIRE HATHAWAY INC DEL SR NT 3. S | -210,440.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | CATERPILLAR INC SR NT FULLY EXCHANG S | -96,800.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | CATERPILLAR INC SR NT 4.300% 05/15/ S | -312,372.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT NT 2.419% 1 S | -202,440.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT SR NT 2.411 S | -251,100.00 | 78.13 | 7.50 | 70.63 | 50.0 | 35.32 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | HOME DEPOT INC SR NT 2.700% 04/01/2 S | -101,600.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | JOHNSON & JOHNSON FXD RT 4.375% 12/ S | -110,900.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | JPMORGAN CHASE & CO NT 2.000% 08/15 S | -349,650.00 | 109.38 | 7.50 | 101.88 | 50.0 | 50.94 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | JPMORGAN CHASE & CO NT 2.000% 08/15 S | -99,900.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | JPMORGAN CHASE & CO NT 2.000% 08/15 S | 99,900.00 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | KIMBERLY CLARK CORP SR NT 2.400% 03 S | -199,800.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | LOWES COS INC FXD RT NT 2.500% 04/1 S | -191,458.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | MICROSOFT CORP FXD RT NT 3.500% 02/ S | -293,460.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | NORTHERN TR CORP FXD RT SUB NT 3.95 S | -319,680.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | ORACLE CORP NT 6.125% 07/08/39 B/E S | -256,750.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | QUALCOMM INC FXD RT NT 3.450% 05/20 S | -255,525.00 | 78.13 | 7.50 | 70.63 | 50.0 | 35.32 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | TRAVELERS COS INC SR NT 5.900% 06/0 S | -108,270.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | UNITEDHEALTH GROUP INC SR NT 2.875% S | -306,645.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | WELLS FARGO & CO NEW FXD RT NTS 2.5 S | -50,125.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | WELLS FARGO & CO NEW FXD RT NTS 2.5 S | -100,250.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026270 | BROTHERHOOD OF | WELLS FARGO & CO NEW FXD RT NTS 2.5 S | 50,125.00 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS BDS 5.375% 02/1 S | -335,468.75 | 78.13 | 7.50 | 70.63 | 50.0 | 35.32 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS BDS 3.500% 02/1 S | -55,421.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS BDS TREAS INFLA S | -360,629.13 | 117.85 | 7.50 | 110.35 | 50.0 | 55.18 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------|-----------|-------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS BDS 2.875% 05/1 S | -146,953.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.375% 08/1 S | -202,562.50 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.375% 04/3 S | -497,890.63 | 156.25 | 7.50 | 148.75 | 50.0 | 74.38 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 3.125% 04/3 S | -74,988.28 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 10YR TRES T S | -52,130.59 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREASURY NOTE S | -303,468.75 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.125% 02/2 S | -293,531.25 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.000% 11/1 S | -503,828.10 | 156.25 | 7.50 | 148.75 | 50.0 | 74.38 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.375% 02/2 S | -651,015.63 | 203.13 | 7.50 | 195.63 | 50.0 | 97.82 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 0.750% 06/3 S | -99,984.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 0.500% 07/3 S | -149,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 0.625% 08/3 S | -149,835.94 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 0.625% 09/3 S | -549,140.63 | 171.88 | 7.50 | 164.38 | 50.0 | 82.19 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 0.750% 10/3 S | -998,750.00 | 312.50 | 7.50 | 305.00 | 50.0 | 152.50 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.000% 11/3 S | -395,812.50 | 125.00 | 7.50 | 117.50 | 50.0 | 58.75 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.000% 11/1 S | -97,078.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.125% 11/3 S | -200,125.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.750% 05/1 S | -491,250.00 | 156.25 | 7.50 | 148.75 | 50.0 | 74.38 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.000% 05/3 S | -598,875.00 | 187.50 | 7.50 | 180.00 | 50.0 | 90.00 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.375% 07/3 S | -1,202,625.00 | 375.00 | 7.50 | 367.50 | 50.0 | 183.75 |
| 04/25/2017 | QGN026270 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.375% 08/3 S | -287,062.50 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 03/31/2017 | QGN026536 | DRIVERS LOCAL 550 | UNITED STATES TREAS NTS 2.000% 08/1 S | -48,609.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026536 | DRIVERS LOCAL 550 | UNITED STATES TREAS NTS 1.625% 10/3 B | 97,859.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026536 | DRIVERS LOCAL 550 | UNITED STATES TREAS NTS 1.875% 10/3 S | -200,875.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN026536 | DRIVERS LOCAL 550 | UNITED STATES TREAS NTS 2.000% 11/1 B | 97,484.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN026544 | EMPLOYEES, NYS | UNITED STATES TREAS NTS 1.625% 10/3 B | 24,453.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026544 | EMPLOYEES, NYS | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,535.16 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN026627 | STACEY BRAUN | UNITED STATES TREAS NTS 1.625% 10/3 B | 34,234.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026627 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN026627 | STACEY BRAUN | UNITED STATES TREAS BDS 2.875% 05/1 B | 74,847.66 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026627 | STACEY BRAUN | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,742.19 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026643 | LOCAL, PLUMBERS & | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026643 | LOCAL, PLUMBERS & | UNITED STATES TREAS NTS 1.250% 10/3 B | 98,078.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026643 | LOCAL, PLUMBERS & | UNITED STATES TREAS BDS 30 YEAR TRE S | -198,785.94 | 66.17 | 7.50 | 58.67 | 50.0 | 29.34 |
| 04/19/2017 | QGN026643 | LOCAL, PLUMBERS & | UNITED STATES TREAS BDS 2.875% 05/1 B | 99,984.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN026643 | LOCAL, PLUMBERS & | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,898.44 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN026759 | STACEY BRAUN | UNITED STATES TREAS NTS 1.625% 10/3 B | 44,015.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026759 | STACEY BRAUN | UNITED STATES TREAS NTS 2.000% 11/1 B | 146,507.74 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN026916 | LOCAL 804 ANNUITY | UNITED STATES TREAS BDS 2.875% 08/1 S | -120,722.66 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026916 | LOCAL 804 ANNUITY | UNITED STATES TREAS BDS 30 YEAR TRE S | -69,900.70 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--------------------------------|-----------|-------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | | |
| 04/24/2017 | QGN026916 | LOCAL 804 ANNUITY | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,671.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 03/31/2017 | QGN026957 | LOCAL 177 UNION | UNITED STATES TREAS BDS 2.750% 08/1 S | -28,481.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN026957 | LOCAL 177 UNION | UNITED STATES TREAS NTS 1.625% 10/3 B | 73,359.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026957 | LOCAL 177 UNION | UNITED STATES TREAS BDS 2.875% 05/1 B | 29,962.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026957 | LOCAL 177 UNION | UNITED STATES TREAS BDS 30 YEAR TRE S | -49,696.49 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN026957 | LOCAL 177 UNION | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,996.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN026957 | LOCAL 177 UNION | UNITED STATES TREAS NTS 2.000% 11/1 B | 24,417.96 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN026999 | STACEY BRAUN | UNITED STATES TREAS NTS 1.625% 10/3 B | 34,250.78 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN027005 | LOCAL UNION #313 | UNITED STATES TREAS BDS 2.875% 05/1 B | 199,593.75 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/18/2017 | QGN027005 | LOCAL UNION #313 | UNITED STATES TREAS BDS 2.875% 05/1 B | 199,750.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/18/2017 | QGN027005 | LOCAL UNION #313 | UNITED STATES TREAS NTS 1.625% 10/3 B | 195,718.75 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/19/2017 | QGN027005 | LOCAL UNION #313 | UNITED STATES TREAS BDS 30 YEAR TRE S | -74,544.73 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN027005 | LOCAL UNION #313 | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,996.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027005 | LOCAL UNION #313 | UNITED STATES TREAS NTS 2.000% 11/1 B | 97,671.88 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN027088 | 237, TEAMSTERS | UNITED STATES TREAS NTS 1.625% 10/3 B | 73,359.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027088 | 237, TEAMSTERS | UNITED STATES TREAS NTS 1.250% 10/3 B | 98,187.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027088 | 237, TEAMSTERS | UNITED STATES TREAS NTS 2.000% 11/1 B | 73,605.47 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027104 | LEAQUE GRAPHIC | UNITED STATES TREAS BDS 2.875% 05/1 B | 19,975.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027120 | HOUSE, VOLUNTARY | UNITED STATES TREAS BDS 2.875% 05/1 B | 99,875.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN027120 | HOUSE, VOLUNTARY | UNITED STATES TREAS BDS 2.875% 05/1 B | 149,695.31 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027120 | HOUSE, VOLUNTARY | UNITED STATES TREAS NTS 2.000% 11/1 B | 97,671.82 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027146 | LOCAL UNION 43 & | UNITED STATES TREAS BDS 2.750% 11/1 B | 295,171.88 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/19/2017 | QGN027146 | LOCAL UNION 43 & | UNITED STATES TREAS BDS 30 YEAR TRE S | -198,785.94 | 66.17 | 7.50 | 58.67 | 50.0 | 29.34 |
| 04/19/2017 | QGN027146 | LOCAL UNION 43 & | UNITED STATES TREAS BDS 2.875% 05/1 B | 99,984.38 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS NTS 1.625% 10/3 B | 24,453.13 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,968.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS NTS 1.250% 10/3 B | 49,093.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS NTS 1.625% 10/3 B | 48,929.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 30 YEAR TRE S | -99,392.97 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS BDS 2.875% 05/1 B | 24,996.09 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027229 | OF DELAWARE LOCAL | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,835.91 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS TREAS TIPS S | -249,678.21 | 79.31 | 7.50 | 71.81 | 50.0 | 35.91 |
| 04/18/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS 1.250% 10/3 B | 294,562.50 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/18/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS 1.625% 10/3 B | 293,578.13 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/18/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS 2.000% 11/1 B | 426,911.72 | 135.94 | 7.50 | 128.44 | 50.0 | 64.22 |
| 04/24/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS 1.250% 10/3 B | 97,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS 1.250% 10/3 B | 97,812.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027286 | ANNUITY FUND OF | UNITED STATES TREAS NTS 2.000% 11/1 B | 97,671.82 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS NTS 1.625% 10/3 B | 215,187.50 | 68.75 | 7.50 | 61.25 | 50.0 | 30.63 |
| 04/18/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS BDS 2.875% 05/1 B | 299,625.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|-----------|--------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: BONDS | | | | | | | | | |
| 04/19/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS BDS 30 YEAR TRE S | -397,571.89 | 132.35 | 7.50 | 124.85 | 50.0 | 62.43 |
| 04/19/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS BDS 2.875% 05/1 B | 349,945.31 | 109.38 | 7.50 | 101.88 | 50.0 | 50.94 |
| 04/20/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS BDS 2.875% 05/1 B | 299,390.63 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS NTS 1.250% 10/3 B | 195,625.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027294 | UNION LOCAL 731, | UNITED STATES TREAS NTS 2.000% 11/1 B | 292,453.13 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/18/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS BDS 30 YEAR TRE S | -1,098,439.56 | 363.84 | 7.50 | 356.34 | 50.0 | 178.17 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | BERKSHIRE HATHAWAY INC DEL SR NT 3. S | -105,220.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | BOEING CO FIXED RT SR NT 2.850% 10/ S | -201,740.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT SR NT 2.411 S | -301,320.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT SR NT 2.411 S | -251,100.00 | 78.13 | 7.50 | 70.63 | 50.0 | 35.32 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT NT 2.419% 1 S | -202,440.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT SR NT 2.411 S | 301,320.00 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT SR NT 2.411 S | -301,320.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHEVRON CORP NEW FXD RT SR NT 2.411 S | 251,100.00 | -78.13 | -7.50 | -70.63 | 50.0 | -35.32 C |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | CHUBB CORP SR NT 5.750% 05/15/18 B/ S | -208,250.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | HOME DEPOT INC SR NT 2.700% 04/01/2 S | -101,508.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | INTEL CORP SR NT 4.000% 12/15/32 B/ S | -212,000.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | INTERNATIONAL BUSINESS MACHS CORP D S | -257,600.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | INTERNATIONAL BUSINESS MACHS CORP F S | -265,033.60 | 81.25 | 7.50 | 73.75 | 50.0 | 36.88 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | JOHNSON & JOHNSON FXD RT 4.375% 12/ S | -110,900.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | JPMORGAN CHASE & CO SR FIXED RT NT S | -212,348.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | JPMORGAN CHASE & CO FIXED RT NOTES S | -216,100.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | LILLY ELI & CO NT 7.125% 06/01/25 B S | -389,700.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | MICROSOFT CORP FXD RT NT 3.500% 02/ S | -97,820.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | OCCIDENTAL PETE CORP SR FIXE RATE N S | -230,298.75 | 70.31 | 7.50 | 62.81 | 50.0 | 31.41 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | ORACLE CORP NT 6.125% 07/08/39 B/E S | -256,654.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | QUALCOMM INC FXD RT NT 3.450% 05/20 S | -306,630.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | WELLS FARGO & CO NEW MEDIUM TERM SR S | -215,600.00 | 62.50 | 7.50 | 55.00 | 50.0 | 27.50 |
| 04/24/2017 | QGN027302 | BROTHERHOOD OF | WELLS FARGO & CO NEW MEDIUM TERM SR S | -104,150.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS BDS 2.875% 05/1 S | -97,906.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS BDS 2.500% 05/1 S | -225,078.13 | 78.13 | 7.50 | 70.63 | 50.0 | 35.32 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.000% 11/3 S | -100,031.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.750% 10/3 S | -261,990.61 | 81.25 | 7.50 | 73.75 | 50.0 | 36.88 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.750% 05/1 S | -298,031.25 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.000% 11/1 S | -582,562.50 | 187.50 | 7.50 | 180.00 | 50.0 | 90.00 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.875% 06/3 S | -505,000.00 | 156.25 | 7.50 | 148.75 | 50.0 | 74.38 |
| 04/25/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 1.375% 08/3 S | -765,750.00 | 250.00 | 7.50 | 242.50 | 50.0 | 121.25 |
| 04/26/2017 | QGN027302 | BROTHERHOOD OF | UNITED STATES TREAS NTS 2.625% 08/1 S | -1,447,687.50 | 437.50 | 7.50 | 430.00 | 50.0 | 215.00 |
| 04/04/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS BDS 2.875% 11/1 S | -97,531.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/04/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS NTS TREAS TIPS S | -49,535.30 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |

COMMISSION STATEMENT for ANDREW LINDNER

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------|--------------------|---------------------------------------|-----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: BONDS | | | | | | | | | |
| 04/18/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS BDS 2.875% 05/1 B | 49,937.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS NTS 1.250% 10/3 B | 98,187.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS BDS 30 YEAR TRE S | -129,210.86 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/19/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS BDS 2.875% 05/1 B | 64,989.84 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027351 | LOCAL 1205 PENSION | UNITED STATES TREAS BDS 2.875% 05/1 B | 99,343.75 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/20/2017 | QGN027484 | SETTERS LOCAL 84, | UNITED STATES TREAS BDS 2.875% 05/1 B | 9,979.69 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/17/2017 | QGN027898 | LOCAL 237 | UNITED STATES TREAS NTS 1.625% 10/3 B | 48,906.25 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/18/2017 | QGN027898 | LOCAL 237 | UNITED STATES TREAS NTS 1.250% 10/3 B | 73,640.63 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN027898 | LOCAL 237 | UNITED STATES TREAS NTS 2.000% 11/1 B | 48,835.91 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | JPMORGAN CHASE & CO NT 2.000% 08/15 S | -99,900.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | KIMBERLY CLARK CORP SR NT 2.400% 03 S | -74,925.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | MICROSOFT CORP FXD RT NT 3.500% 02/ S | -48,910.00 | 93.75 | 7.50 | 86.25 | 50.0 | 43.13 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | MICROSOFT CORP FXD RT NT 3.500% 02/ S | 48,910.00 | -93.75 | -7.50 | -86.25 | 50.0 | -43.13 C |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | MICROSOFT CORP FXD RT NT 3.500% 02/ S | -48,910.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | NORTHERN TR CORP FXD RT SUB NT 3.95 S | -106,560.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | QUALCOMM INC FXD RT NT 3.450% 05/20 S | -76,657.50 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | WELLS FARGO & CO NEW FXD RT NTS 2.5 S | -100,250.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | WELLS FARGO & CO NEW FXD RT NTS 2.5 S | 100,250.00 | -50.00 | -7.50 | -42.50 | 50.0 | -21.25 C |
| 04/24/2017 | QGN028144 | OF, INTERNATIONAL | WELLS FARGO & CO NEW FXD RT NTS 2.5 S | -50,125.00 | 50.00 | 7.50 | 42.50 | 50.0 | 21.25 |
| * BONDS SUBTOTAL * | | | | -13,448,878.32 | \$24,061.42 | \$2,917.50 | \$21,143.92 | | \$10,572.16 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | -12,220,034.08 | \$28,382.39 | \$3,049.50 | \$25,332.89 | | \$12,666.65 |

ADJUSTMENTS

| | | | | | | |
|----------------------------------|----------------------------------|---------------|---------------|---------------|---------------|--------------------|
| 04/30/2017 | OTHER: BLOOMBERG | 0.00 | 0.00 | 0.00 | 0.00 | -996.21 |
| 04/30/2017 | OTHER: COMPLIANCE AND TECHNOLOGY | 0.00 | 0.00 | 0.00 | 0.00 | -20.00 |
| 04/30/2017 | OTHER: OTHER-STACEY BRAUN | 0.00 | 0.00 | 0.00 | 0.00 | -7,819.96 |
| 04/30/2017 | OTHER: OTHER-360 OFFICE | 0.00 | 0.00 | 0.00 | 0.00 | -12.00 |
| 04/30/2017 | OTHER: REAL TICK | 0.00 | 0.00 | 0.00 | 0.00 | -212.50 |
| 04/30/2017 | OTHER: EXCHANGE FEES | 0.00 | 0.00 | 0.00 | 0.00 | -54.33 |
| 04/30/2017 | OTHER: EZ CASTLE | 0.00 | 0.00 | 0.00 | 0.00 | -55.56 |
| 04/30/2017 | OTHER: PIVOT | 0.00 | 0.00 | 0.00 | 0.00 | -68.05 |
| *** ADJUSTMENTS TOTAL *** | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-9,238.61 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$12,666.65 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-9,238.61 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-35.48 |
| SIPC Assessment | \$-532.17 |

| | |
|------------------------------|--------------------|
| Check Amount | \$2,860.39 |
| Year-to-date Earnings | \$20,845.53 |