



COMMISSION STATEMENT

January 08, 2018

ROBERT PEDERSEN
6 N Front St
Rio Vista, CA 94571

BROKER #: 200
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/20/2017	***-**-8197 - TERHERST, ACCOUNT #: 000000000621250	F/T MODERATE TARGET FD - CLASS C BUY 7 Units @16.2500	117.50	1.18	0.00	1.18	50.0	0.59
12/20/2017	***-**-3373 - GARMAN, MICHAEL ACCOUNT #: 000000000621262	F/T MODERATE TARGET FD - CLASS C BUY 4 Units @16.2500	68.75	0.69	0.00	0.69	50.0	0.35
12/20/2017	***-**-3998 - UTLER, STEFAN ACCOUNT #: 000000000628457	F/T MODERATE TARGET FD - CLASS C BUY 9 Units @16.2500	150.00	1.50	0.00	1.50	50.0	0.75
12/20/2017	***-**-8197 - TERHERST, ACCOUNT #: 000000000445128	FRK CSV ALC FD CL C BUY 8 Units @14.7100	117.50	1.18	0.00	1.18	50.0	0.59
12/20/2017	***-**-3373 - GARMAN, MICHAEL ACCOUNT #: 000000000445130	FRK CSV ALC FD CL C BUY 5 Units @14.7100	68.75	0.69	0.00	0.69	50.0	0.35
12/20/2017	***-**-3998 - UTLER, STEFAN ACCOUNT #: 000000000449621	FRK CSV ALC FD CL C BUY 10 Units @14.7100	150.00	1.50	0.00	1.50	50.0	0.75
12/28/2017	***-**-17IN - NEUFELD, BETTY ACCOUNT #: LSR011755	PRINCIPAL CALIFORNIA MUNI CL A SELL 284 Units @10.5800	3,000.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$3,672.50	\$6.74	\$0.00	\$6.74		\$3.38
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/05/2018	***-**-8288 - SAWYER, ALDEN ACCOUNT #: 15600454	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	30.13	0.00	30.13	50.0	15.07
12/28/2017	***-**-9917 - NEUFELD, BETTY ACCOUNT #: 5500499	PRINCIPAL 74254L168 BUY 0 Units @0.0000	57,598.09	14.40	0.00	14.40	50.0	7.20
12/30/2017	***-**-9879 - CARPENTER, DONN ACCOUNT #: 5499689	PRINCIPAL 74254L168 BUY 0 Units @0.0000	43,021.39	10.76	0.00	10.76	50.0	5.38
01/01/2018	***-**-9917 - NEUFELD, BETTY ACCOUNT #: 5494131	PRINCIPAL 74254L168 BUY 0 Units @0.0000	26,934.74	6.73	0.00	6.73	50.0	3.37
01/04/2018	***-**-1485 - MORENO, ACCOUNT #: 5120851	PRINCIPAL CUSIP BUY 0 Units @0.0000	28.00	0.56	0.00	0.56	50.0	0.28
* VARIABLE ANNUITIES SUBTOTAL *			\$127,582.22	\$62.58	\$0.00	\$62.58		\$31.30



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/29/2017	***-**-9917 - NEUFELD, BETTY	ALLIANZ CUSIP 018815308-NFS	0.00	129.71	0.00	129.71	50.0	64.86
	ACCOUNT #: AV000534773	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	<u>\$0.00</u>	<u>\$129.71</u>	<u>\$0.00</u>	<u>\$129.71</u>		<u>\$64.86</u>
		*** BROKER TRANSACTIONS TOTAL ***	<u>\$131,254.72</u>	<u>\$199.03</u>	<u>\$0.00</u>	<u>\$199.03</u>		<u>\$99.54</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$99.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$99.54
Year-to-date Earnings	\$221.98