



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2018**

06/15/2018  
Page No. 1

DANIEL BUKOVCIK  
101 N. Main Street  
Box 376  
Ovid, MI 48866

BROKER #: B123  
BRANCH: DANIEL BUKOVCIK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
05/21/2018	HAZLE, JESSICA	AM FUNDS GROWTH FUND OF AMERICA 529	70.00	3.50	85.0	2.98	0.00	2.98
05/21/2018	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	70.00	3.50	85.0	2.98	0.00	2.98
05/21/2018	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	70.00	3.50	85.0	2.98	0.00	2.98
05/21/2018	HAZLE, ELENA	COLLEGE 2033 FUND-529A	130.00	4.55	85.0	3.87	0.00	3.87
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$340.00</b>	<b>\$15.05</b>		<b>\$12.81</b>	<b>\$0.00</b>	<b>\$12.81</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/29/2018	HASSE, COURTNEY	AM FUNDS 2055 TARGET DATE-A	100.00	5.00	85.0	4.25	0.00	4.25
06/01/2018	HASSE, LINDSAY	AM FUNDS 2055 TARGET DATE-A	100.00	5.00	85.0	4.25	0.00	4.25
05/21/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	85.0	1.60	0.00	1.60
05/21/2018	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	12.50	0.34	85.0	0.29	0.00	0.29
05/25/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	86.06	3.23	85.0	2.75	0.00	2.75
06/01/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	85.0	1.60	0.00	1.60
06/01/2018	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	12.50	0.34	85.0	0.29	0.00	0.29
06/05/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	86.06	3.23	85.0	2.75	0.00	2.75
05/21/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	40.00	1.10	85.0	0.94	0.00	0.94
06/01/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	40.00	1.10	85.0	0.94	0.00	0.94
05/21/2018	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	85.0	1.60	0.00	1.60
05/21/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	80.00	2.20	85.0	1.87	0.00	1.87
05/25/2018	MAHONEY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	30.00	1.13	85.0	0.96	0.00	0.96
06/01/2018	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	85.0	1.60	0.00	1.60
06/01/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	80.00	2.20	85.0	1.87	0.00	1.87

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/05/2018	MAHONEY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	30.00	1.13	85.0	0.96	0.00	0.96
05/21/2018	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	25.00	1.25	85.0	1.06	0.00	1.06
06/01/2018	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	25.00	1.25	85.0	1.06	0.00	1.06
05/25/2018	MULDER, JAYDEN	AM FUNDS GROWTH AND INCOME A	50.00	2.50	85.0	2.13	0.00	2.13
05/21/2018	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	85.0	1.06	0.00	1.06
05/21/2018	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	80.00	2.20	85.0	1.87	0.00	1.87
05/21/2018	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.03	85.0	0.88	0.00	0.88
05/21/2018	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.41	85.0	1.20	0.00	1.20
05/21/2018	KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	85.0	3.19	0.00	3.19
05/21/2018	KIRBY, DAVE	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	85.0	3.19	0.00	3.19
05/21/2018	FRUCHEY, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	85.0	4.25	0.00	4.25
05/25/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	172.13	6.45	85.0	5.48	0.00	5.48
05/25/2018	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.56	85.0	0.48	0.00	0.48
05/25/2018	MAHONEY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.13	85.0	0.96	0.00	0.96
05/29/2018	EKEL, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	85.0	2.13	0.00	2.13
05/29/2018	SCHNEIDER, HANNAH	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	85.0	4.25	0.00	4.25
06/01/2018	HAUK, KALMAN	AM FUNDS GROWTH FUND OF AMERICA A	166.00	4.57	85.0	3.88	0.00	3.88
06/01/2018	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	85.0	1.06	0.00	1.06
06/01/2018	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	80.00	2.20	85.0	1.87	0.00	1.87
06/01/2018	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.03	85.0	0.88	0.00	0.88
06/01/2018	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.41	85.0	1.20	0.00	1.20
06/01/2018	BESKO, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.25	85.0	1.06	0.00	1.06
06/01/2018	LOYNES, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	60.00	3.00	85.0	2.55	0.00	2.55
06/01/2018	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	85.0	4.25	0.00	4.25
06/04/2018	BUTTARS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	200.00	7.50	85.0	6.38	0.00	6.38
06/05/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	172.13	6.45	85.0	5.48	0.00	5.48
06/05/2018	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.56	85.0	0.48	0.00	0.48
06/05/2018	MAHONEY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.13	85.0	0.96	0.00	0.96
06/07/2018	BUKOVCIK, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	85.0	2.13	0.00	2.13
06/07/2018	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	85.0	4.25	0.00	4.25
05/21/2018	ZALUD, ANN	AM FUNDS ICA A	37.50	1.41	85.0	1.20	0.00	1.20
05/24/2018	WREGGELSWORTH, JOY	AM FUNDS ICA A	275.00	11.69	85.0	9.94	0.00	9.94

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/25/2018	MARTIN, SCOTT	AM FUNDS ICA A	172.12	6.45	85.0	5.48	0.00	5.48
05/25/2018	BYRNE, JAMIE	AM FUNDS ICA A	15.00	0.56	85.0	0.48	0.00	0.48
06/01/2018	ZALUD, ANN	AM FUNDS ICA A	37.50	1.41	85.0	1.20	0.00	1.20
06/04/2018	BUTTARS, LISA	AM FUNDS ICA A	100.00	3.75	85.0	3.19	0.00	3.19
06/05/2018	MARTIN, SCOTT	AM FUNDS ICA A	172.12	6.45	85.0	5.48	0.00	5.48
06/05/2018	BYRNE, JAMIE	AM FUNDS ICA A	15.00	0.56	85.0	0.48	0.00	0.48
05/21/2018	KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	85.0	3.19	0.00	3.19
05/21/2018	KIRBY, DAVE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	85.0	3.19	0.00	3.19
05/21/2018	FRUCHEY, JEFF	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	85.0	4.25	0.00	4.25
05/24/2018	WREGGELSWORTH, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	85.0	3.61	0.00	3.61
05/29/2018	SCHNEIDER, HANNAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	85.0	4.25	0.00	4.25
06/01/2018	BESKO, BRADLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	1.25	85.0	1.06	0.00	1.06
06/04/2018	BUTTARS, LISA	AM FUNDS WASHINGTON MUTUAL INVESTOR	200.00	7.50	85.0	6.38	0.00	6.38
06/01/2018	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	100.00	6.00	85.0	5.10	0.00	5.10
* MUTUAL FUNDS SUBTOTAL *			<b>\$4,591.62</b>	<b>\$184.18</b>		<b>\$156.62</b>	<b>\$0.00</b>	<b>\$156.62</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	GOOSEN, JACQUELINE	JNL ELITE ACCESS	26,518.22	5.52	85.0	4.69	0.00	4.69
05/31/2018	STOCKING, ELAINE	JNL ELITE ACCESS	9,590.19	2.00	85.0	1.70	0.00	1.70
05/31/2018	SPITERI, JOHN	JNL ELITE ACCESS	14,100.76	2.94	85.0	2.50	0.00	2.50
05/31/2018	WAGGONER, SHEILA	JNL ELITE ACCESS	19,570.72	4.08	85.0	3.47	0.00	3.47
05/31/2018	PERRY, GREGORY	JNL ELITE ACCESS	14,230.18	2.96	85.0	2.52	0.00	2.52
06/11/2018	GOOSEN, JACQUELINE	JNL ELITE ACCESS	458.00	20.61	85.0	17.52	0.00	17.52
05/31/2018	SPIESS, CHARLES	JNL PERSPECTIVE	79,230.39	33.01	85.0	28.06	0.00	28.06
05/29/2018	PLOWMAN, DENISE	JNL PERSPECTIVE II 04/14	20,000.00	1,000.00	85.0	850.00	0.00	850.00
05/31/2018	RICHARDS, ROBERT	JNL PERSPECTIVE II 04/14	39,485.34	16.45	85.0	13.98	0.00	13.98
05/31/2018	BURGESS, BEVERLY	JNL PERSPECTIVE II 04/14	19,461.79	8.11	85.0	6.89	0.00	6.89
05/31/2018	ROY, JANICE	JNL PERSPECTIVE II 04/14	33,771.75	14.07	85.0	11.96	0.00	11.96

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	WINKLER, LORETTA	JNL PERSPECTIVE II 04/14	505,124.03	210.47	85.0	178.90	0.00	178.90
05/31/2018	COOK, DONALD	JNL PERSPECTIVE II 04/14	163,797.10	68.25	85.0	58.01	0.00	58.01
05/31/2018	VINCENT, LEROY	JNL PERSPECTIVE II 04/14	52,628.69	21.93	85.0	18.64	0.00	18.64
05/31/2018	WOODEND, DAVID	JNL PERSPECTIVE II 04/14	332,186.82	138.41	85.0	117.65	0.00	117.65
05/31/2018	HEHRER, SANDRA	JNL PERSPECTIVE II 04/14	38,454.00	16.02	85.0	13.62	0.00	13.62
05/31/2018	BARBOUR, LARRY	JNL PERSPECTIVE II 04/14	54,808.20	22.84	85.0	19.41	0.00	19.41
05/31/2018	SAVAGE, ELIZABETH	JNL PERSPECTIVE II 04/14	8,034.43	3.35	85.0	2.85	0.00	2.85
05/31/2018	THELEN, NEIL	JNL PERSPECTIVE II 04/14	27,078.54	11.28	85.0	9.59	0.00	9.59
05/31/2018	RICHARDS, AMBER	JNL PERSPECTIVE II 04/14	112,278.27	46.78	85.0	39.76	0.00	39.76
05/31/2018	BESKO, DIRK	JNL PERSPECTIVE II 04/14	16,587.37	6.91	85.0	5.87	0.00	5.87
05/31/2018	YOUNG, MARY	JNL PERSPECTIVE II 04/14	599,602.43	249.83	85.0	212.36	0.00	212.36
05/31/2018	WAKEFIELD, RONALD	JNL PERSPECTIVE II 04/14	39,765.15	16.57	85.0	14.08	0.00	14.08
05/31/2018	ADE, MARIE	JNL PERSPECTIVE II 04/14	11,503.57	4.79	85.0	4.07	0.00	4.07
05/31/2018	PIGGOTT, PHILIP	JNL PERSPECTIVE II 04/14	356,171.19	148.40	85.0	126.14	0.00	126.14
05/31/2018	SMITH, RICHARD	JNL PERSPECTIVE II 04/14	21,243.66	8.85	85.0	7.52	0.00	7.52
05/31/2018	FEDEWA, LORETTA	JNL PERSPECTIVE II 04/14	51,466.08	21.44	85.0	18.22	0.00	18.22
05/31/2018	STROMER, SCOTT	JNL PERSPECTIVE II 04/14	77,937.86	32.47	85.0	27.60	0.00	27.60
05/31/2018	THELEN, ROSANNE	JNL PERSPECTIVE II 04/14	968,201.10	403.42	85.0	342.91	0.00	342.91
05/31/2018	THOMA, CHRIS	JNL PERSPECTIVE II 04/14	84,761.63	35.32	85.0	30.02	0.00	30.02
05/31/2018	NIXON, KIMBERLY	JNL PERSPECTIVE II 04/14	64,761.14	26.98	85.0	22.93	0.00	22.93
05/31/2018	WAKEFIELD, RONALD	JNL PERSPECTIVE II 04/14	91,670.21	38.20	85.0	32.47	0.00	32.47
05/31/2018	WAGGONER, RUSSELL	JNL PERSPECTIVE II 04/14	95,442.40	39.77	85.0	33.80	0.00	33.80
05/31/2018	REED, JOAN	JNL PERSPECTIVE II 04/14	33,329.39	13.89	85.0	11.81	0.00	11.81
05/31/2018	REED, RICHARD	JNL PERSPECTIVE II 04/14	63,971.37	26.65	85.0	22.65	0.00	22.65
05/31/2018	PENROSE, GARY	JNL PERSPECTIVE II 04/14	83,543.58	34.81	85.0	29.59	0.00	29.59
05/31/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 04/14	20,917.23	8.72	85.0	7.41	0.00	7.41
05/31/2018	TRANN, LISA	JNL PERSPECTIVE II 04/14	8,949.16	3.73	85.0	3.17	0.00	3.17
05/31/2018	HUMPHREY, PATRICIA	JNL PERSPECTIVE II 04/14	10,756.61	4.48	85.0	3.81	0.00	3.81
05/31/2018	STEVENS, PATRICIA	JNL PERSPECTIVE II 04/14	158,100.42	65.88	85.0	56.00	0.00	56.00
05/31/2018	BERNATH, MARGARET	JNL PERSPECTIVE II 04/14	36,507.87	15.21	85.0	12.93	0.00	12.93
05/31/2018	BERNATH, MARGARET	JNL PERSPECTIVE II 04/14	30,829.87	12.85	85.0	10.92	0.00	10.92
05/31/2018	RICHARD, LORI	JNL PERSPECTIVE II 04/14	33,894.35	14.12	85.0	12.00	0.00	12.00
05/31/2018	RECK, CHARLES	JNL PERSPECTIVE II 04/14	418,795.07	174.50	85.0	148.33	0.00	148.33

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	RECK, MAXINE	JNL PERSPECTIVE II 04/14	264,662.27	110.28	85.0	93.74	0.00	93.74
05/31/2018	HANUS, PHILLIP	JNL PERSPECTIVE II 04/14	167,527.87	69.80	85.0	59.33	0.00	59.33
05/31/2018	HANUS, SHEILA	JNL PERSPECTIVE II 04/14	34,378.54	14.32	85.0	12.17	0.00	12.17
05/31/2018	HANUS, PHILLIP	JNL PERSPECTIVE II 04/14	44,414.03	18.51	85.0	15.73	0.00	15.73
05/31/2018	LATZ, MARK	JNL PERSPECTIVE II 04/14	612,713.61	255.30	85.0	217.01	0.00	217.01
05/31/2018	BALLANCE, SUSAN	JNL PERSPECTIVE II 04/14	22,534.23	9.39	85.0	7.98	0.00	7.98
05/31/2018	ROOF, ANNA	JNL PERSPECTIVE II 04/14	47,947.78	19.98	85.0	16.98	0.00	16.98
05/31/2018	PARKER, JEFFERY	JNL PERSPECTIVE II 04/14	18,267.30	7.61	85.0	6.47	0.00	6.47
05/31/2018	HELDRETH, DIANE	JNL PERSPECTIVE II 04/14	76,069.68	31.70	85.0	26.95	0.00	26.95
05/31/2018	WOODBURY, JUDY	JNL PERSPECTIVE II 04/14	108,390.67	45.16	85.0	38.39	0.00	38.39
05/31/2018	FAHEY, SHANNON	JNL PERSPECTIVE II 04/14	183,249.52	76.35	85.0	64.90	0.00	64.90
05/31/2018	PLOWMAN, DENISE	JNL PERSPECTIVE II 04/14	20,631.26	8.60	85.0	7.31	0.00	7.31
05/31/2018	ZUSSMAN, GABRIEL	JNL PERSPECTIVE II 04/14	34,663.36	14.44	85.0	12.27	0.00	12.27
05/31/2018	DUBAY, SHARON	JNL PERSPECTIVE II 04/14	88,306.10	36.79	85.0	31.27	0.00	31.27
05/31/2018	TEREMI, SANDRA	JNL PERSPECTIVE II 04/14	30,881.73	12.87	85.0	10.94	0.00	10.94
05/31/2018	GRANT, REVA	JNL PERSPECTIVE II 04/14	27,143.55	11.31	85.0	9.61	0.00	9.61
05/31/2018	CRUM, AMY	JNL PERSPECTIVE II 04/14	31,233.81	13.01	85.0	11.06	0.00	11.06
05/31/2018	CARR, THOMAS	JNL PERSPECTIVE II 04/14	7,145.01	2.98	85.0	2.53	0.00	2.53
05/31/2018	CARROLL, DONNA	JNL PERSPECTIVE II 04/14	209,435.32	87.26	85.0	74.17	0.00	74.17
05/31/2018	HULETT, DEBORAH	JNL PERSPECTIVE II 04/14	2,480.81	1.03	85.0	0.88	0.00	0.88
05/31/2018	VANECEK, LYNNETTE	JNL PERSPECTIVE II 04/14	6,408.49	2.67	85.0	2.27	0.00	2.27
05/31/2018	CARROLL, RICHARD	JNL PERSPECTIVE II 04/14	304,631.31	126.93	85.0	107.89	0.00	107.89
05/31/2018	MARTIN, LISA	JNL PERSPECTIVE II 04/14	24,078.38	10.03	85.0	8.53	0.00	8.53
05/31/2018	KIEFFER, PATRICIA	JNL PERSPECTIVE II 04/14	97,825.15	40.76	85.0	34.65	0.00	34.65
05/31/2018	KIEFFER, PATRICIA	JNL PERSPECTIVE II 04/14	14,715.77	6.13	85.0	5.21	0.00	5.21
05/31/2018	HOCH, LYLE	JNL PERSPECTIVE II 04/14	33,300.36	13.88	85.0	11.80	0.00	11.80
05/31/2018	PLESKO, CYNTHIA	JNL PERSPECTIVE II 04/14	38,878.61	16.20	85.0	13.77	0.00	13.77
05/31/2018	DRAPER, KIMBERLY	JNL PERSPECTIVE II 04/14	52,333.10	21.81	85.0	18.54	0.00	18.54
05/31/2018	FILLMORE, PAULA	JNL PERSPECTIVE II 04/14	78,322.98	32.63	85.0	27.74	0.00	27.74
05/31/2018	ADE, JAMES	JNL PERSPECTIVE II 04/14	37,533.61	15.64	85.0	13.29	0.00	13.29
05/31/2018	DRAPER, RUTH	JNL PERSPECTIVE II 04/14	13,094.26	5.46	85.0	4.64	0.00	4.64
05/31/2018	FILLMORE, PAULA	JNL PERSPECTIVE II 04/14	139,824.44	58.26	85.0	49.52	0.00	49.52
05/31/2018	MARCH, VICKIE	JNL PERSPECTIVE II 04/14	24,061.76	10.03	85.0	8.53	0.00	8.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	PARKER, MICHELLE	JNL PERSPECTIVE II 04/14	6,746.91	2.81	85.0	2.39	0.00	2.39
05/31/2018	PARKER, KEVIN	JNL PERSPECTIVE II 04/14	6,752.42	2.81	85.0	2.39	0.00	2.39
05/31/2018	FEDEWA, MICHAEL	JNL PERSPECTIVE II 04/14	122,807.06	51.17	85.0	43.49	0.00	43.49
05/31/2018	STUTZMAN, RICHARD	JNL PERSPECTIVE II 04/14	37,132.36	15.47	85.0	13.15	0.00	13.15
05/31/2018	SEIDEL, PATRICIA	JNL PERSPECTIVE II 04/14	16,539.31	6.89	85.0	5.86	0.00	5.86
05/31/2018	STEVENS, GERALD	JNL PERSPECTIVE II 04/14	60,432.55	25.18	85.0	21.40	0.00	21.40
05/31/2018	BUKOVICK, CHARLES	JNL PERSPECTIVE II 04/14	13,457.13	5.61	85.0	4.77	0.00	4.77
05/31/2018	MUDGET, LAVIRN	JNL PERSPECTIVE II 04/14	57,497.70	23.96	85.0	20.37	0.00	20.37
05/31/2018	RANSHAW, MARY	JNL PERSPECTIVE II 04/14	44,680.48	18.62	85.0	15.83	0.00	15.83
05/31/2018	SMITH, KAREN	JNL PERSPECTIVE II 04/14	500,484.73	208.54	85.0	177.26	0.00	177.26
06/11/2018	THELEN, NEIL	JNL PERSPECTIVE II 04/14	500.00	25.00	85.0	21.25	0.00	21.25
04/30/2018	COCKRUM, MARK	JNL PERSPECTIVE II 05/05	-78,991.17	-29.62	85.0	-25.18	0.00	-25.18
05/31/2018	ASHBAUGH, ROBERT	JNL PERSPECTIVE II 05/05	357,602.06	149.00	85.0	126.65	0.00	126.65
05/31/2018	MILLER, ALAN	JNL PERSPECTIVE II 05/05	34,224.98	14.26	85.0	12.12	0.00	12.12
05/31/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	8,520.60	3.55	85.0	3.02	0.00	3.02
05/31/2018	PLESKO-BERNATH, CYNTHIA	JNL PERSPECTIVE II 05/05	9,139.55	3.81	85.0	3.24	0.00	3.24
05/31/2018	SCHNEIDER, DAWN	JNL PERSPECTIVE II 05/05	29,979.36	12.49	85.0	10.62	0.00	10.62
05/31/2018	DEBOER, DIANA	JNL PERSPECTIVE II 05/05	57,897.58	24.12	85.0	20.50	0.00	20.50
05/31/2018	COZIK, MICHELLE	JNL PERSPECTIVE II 05/05	11,720.56	4.88	85.0	4.15	0.00	4.15
05/31/2018	NETHAWAY, BARBARA	JNL PERSPECTIVE II 05/05	33,304.44	13.88	85.0	11.80	0.00	11.80
05/31/2018	AUSTIN, CHARLENE	JNL PERSPECTIVE II 05/05	34,663.71	14.44	85.0	12.27	0.00	12.27
05/31/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	196,144.16	81.73	85.0	69.47	0.00	69.47
05/31/2018	KOERNER, NANCY	JNL PERSPECTIVE II 05/05	105,209.06	43.84	85.0	37.26	0.00	37.26
05/31/2018	BUKOVCIK, GAVIN	JNL PERSPECTIVE II 05/05	59,477.32	24.78	85.0	21.06	0.00	21.06
05/31/2018	BOETTCHER, BRETT	JNL PERSPECTIVE II 05/05	2,805.62	1.17	85.0	0.99	0.00	0.99
05/31/2018	BOETTCHER, BRETT	JNL PERSPECTIVE II 05/05	19,999.80	8.33	85.0	7.08	0.00	7.08
05/31/2018	BATORA, DELORES	JNL PERSPECTIVE II 05/05	31,226.56	13.01	85.0	11.06	0.00	11.06
05/31/2018	STOCKING, RODNEY	JNL PERSPECTIVE II 05/05	270,636.19	112.77	85.0	95.85	0.00	95.85
05/31/2018	MOORE, DONALD	JNL PERSPECTIVE II 05/05	13,806.83	5.75	85.0	4.89	0.00	4.89
05/31/2018	PALEN, LINDA	JNL PERSPECTIVE II 05/05	22,084.76	9.20	85.0	7.82	0.00	7.82
05/31/2018	HERBLET, SCOTT	JNL PERSPECTIVE II 05/05	35,218.74	14.67	85.0	12.47	0.00	12.47
05/31/2018	DEVEREAUX, DARLENE	JNL PERSPECTIVE II 05/05	50,740.87	21.14	85.0	17.97	0.00	17.97
05/31/2018	MEDINA, CESAR	JNL PERSPECTIVE II 05/05	47,503.14	19.79	85.0	16.82	0.00	16.82

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	BESKO, DIRK	JNL PERSPECTIVE II 05/05	11,351.28	4.73	85.0	4.02	0.00	4.02
05/31/2018	BESKO, DIRK	JNL PERSPECTIVE II 05/05	117,673.20	49.03	85.0	41.68	0.00	41.68
05/31/2018	LATZ, SONYA	JNL PERSPECTIVE II 05/05	5,665.27	2.36	85.0	2.01	0.00	2.01
05/31/2018	HARROW, WENDY	JNL PERSPECTIVE II 05/05	4,136.81	1.72	85.0	1.46	0.00	1.46
05/31/2018	BISHOP, DAVID	JNL PERSPECTIVE II 05/05	71,160.58	29.65	85.0	25.20	0.00	25.20
05/31/2018	O'BERRY, MICHAEL	JNL PERSPECTIVE II 05/05	184,220.66	76.76	85.0	65.25	0.00	65.25
05/31/2018	WINKLER, JOHN	JNL PERSPECTIVE II 05/05	422,931.44	176.22	85.0	149.79	0.00	149.79
05/31/2018	HOOKER, LINDA	JNL PERSPECTIVE II 05/05	133,735.39	55.72	85.0	47.36	0.00	47.36
05/31/2018	BISHOP, DAVID	JNL PERSPECTIVE II 05/05	23,972.54	9.99	85.0	8.49	0.00	8.49
05/31/2018	DARLING, GARY	JNL PERSPECTIVE II 05/05	145,221.11	60.51	85.0	51.43	0.00	51.43
05/31/2018	GALECKA, CYRIL	JNL PERSPECTIVE II 05/05	138,048.55	57.52	85.0	48.89	0.00	48.89
05/31/2018	BURNS, KAREN	JNL PERSPECTIVE II 05/05	163,611.95	68.17	85.0	57.94	0.00	57.94
05/31/2018	COOK, DONALD	JNL PERSPECTIVE II 05/05	4,416.39	1.84	85.0	1.56	0.00	1.56
05/31/2018	GREEN, STEVE	JNL PERSPECTIVE II 05/05	60,748.76	25.31	85.0	21.51	0.00	21.51
05/31/2018	HECKMAN, RUSSELL	JNL PERSPECTIVE II 05/05	95,165.49	39.65	85.0	33.70	0.00	33.70
05/31/2018	HECKMAN, VICKI	JNL PERSPECTIVE II 05/05	5,812.43	2.42	85.0	2.06	0.00	2.06
05/31/2018	HECKMAN, VICKI	JNL PERSPECTIVE II 05/05	224,826.88	93.68	85.0	79.63	0.00	79.63
05/31/2018	VINCENT, DAVID	JNL PERSPECTIVE II 05/05	4,427.14	1.84	85.0	1.56	0.00	1.56
05/31/2018	VINCENT, JAMIE	JNL PERSPECTIVE II 05/05	21,197.96	8.83	85.0	7.51	0.00	7.51
05/31/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	17,161.94	7.15	85.0	6.08	0.00	6.08
05/31/2018	HAZLE, JOHN	JNL PERSPECTIVE II 05/05	7,929.04	3.30	85.0	2.81	0.00	2.81
05/31/2018	KANTHE, LINDA	JNL PERSPECTIVE II 05/05	67,636.13	28.18	85.0	23.95	0.00	23.95
05/31/2018	COOK, JANET	JNL PERSPECTIVE II 05/05	61,777.96	25.74	85.0	21.88	0.00	21.88
05/31/2018	COOK, DONALD	JNL PERSPECTIVE II 05/05	153,802.10	64.08	85.0	54.47	0.00	54.47
05/31/2018	ADE, MARIE	JNL PERSPECTIVE II 05/05	53,924.05	22.47	85.0	19.10	0.00	19.10
05/31/2018	COOK, DONALD	JNL PERSPECTIVE II 05/05	107,965.09	44.99	85.0	38.24	0.00	38.24
05/31/2018	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	16,082.79	6.70	85.0	5.70	0.00	5.70
05/31/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	16,658.89	6.94	85.0	5.90	0.00	5.90
05/31/2018	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	62,101.18	25.88	85.0	22.00	0.00	22.00
05/31/2018	MCCABE, DAVID	JNL PERSPECTIVE II 05/05	85,956.47	35.82	85.0	30.45	0.00	30.45
05/31/2018	SMITH, DOUGLAS	JNL PERSPECTIVE II 05/05	9,696.30	4.04	85.0	3.43	0.00	3.43
05/31/2018	BUSH, VICTORIA	JNL PERSPECTIVE II 05/05	29,467.53	12.28	85.0	10.44	0.00	10.44
05/31/2018	COSCARELLI, ARTHUR	JNL PERSPECTIVE II 05/05	15,166.08	6.32	85.0	5.37	0.00	5.37

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	145,066.80	60.44	85.0	51.37	0.00	51.37
05/31/2018	FEDEWA, NEIL	JNL PERSPECTIVE II 05/05	12,426.65	5.18	85.0	4.40	0.00	4.40
05/31/2018	PALEN, LINDA	JNL PERSPECTIVE II 05/05	179,586.86	74.83	85.0	63.61	0.00	63.61
05/31/2018	CONKLIN, SANDRA	JNL PERSPECTIVE II 05/05	13,961.98	5.82	85.0	4.95	0.00	4.95
05/31/2018	CONKLIN, DOUG	JNL PERSPECTIVE II 05/05	60,151.73	25.06	85.0	21.30	0.00	21.30
05/31/2018	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	7,163.96	2.98	85.0	2.53	0.00	2.53
05/31/2018	ATKINS, DEB	JNL PERSPECTIVE II 05/05	8,221.36	3.43	85.0	2.92	0.00	2.92
05/31/2018	ATKINS, RONALD	JNL PERSPECTIVE II 05/05	103,785.87	43.24	85.0	36.75	0.00	36.75
05/31/2018	KURNCZ, KIMBERLY	JNL PERSPECTIVE II 05/05	872.41	0.36	85.0	0.31	0.00	0.31
05/31/2018	MASSA, RODGER	JNL PERSPECTIVE II 05/05	95,114.67	39.63	85.0	33.69	0.00	33.69
05/31/2018	WEISMILLER, SANDRA	JNL PERSPECTIVE II 05/05	967,470.65	403.11	85.0	342.64	0.00	342.64
05/31/2018	BYWATER, SHEILA	JNL PERSPECTIVE II 05/05	314,391.11	131.00	85.0	111.35	0.00	111.35
05/31/2018	PLOWMAN, DONNA	JNL PERSPECTIVE II 05/05	41,688.73	17.37	85.0	14.76	0.00	14.76
05/31/2018	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	91,821.90	38.26	85.0	32.52	0.00	32.52
05/31/2018	BUKOVCIK, SUSAN	JNL PERSPECTIVE II 05/05	74,411.64	31.00	85.0	26.35	0.00	26.35
05/31/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	72,729.73	30.30	85.0	25.76	0.00	25.76
05/31/2018	RADEMACHER, SUZANNE	JNL PERSPECTIVE II 05/05	62,089.27	25.87	85.0	21.99	0.00	21.99
05/31/2018	RADEMACHER, RICKEY	JNL PERSPECTIVE II 05/05	62,089.28	25.87	85.0	21.99	0.00	21.99
05/31/2018	DARLING, KIMBERLY	JNL PERSPECTIVE II 05/05	47,877.88	19.95	85.0	16.96	0.00	16.96
05/31/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	43,663.37	18.19	85.0	15.46	0.00	15.46
05/31/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	32,924.55	13.72	85.0	11.66	0.00	11.66
05/31/2018	BARKS, MARIE	JNL PERSPECTIVE II 05/05	42,356.17	17.65	85.0	15.00	0.00	15.00
05/31/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	52,930.76	22.05	85.0	18.74	0.00	18.74
05/31/2018	BARKS, ERRON	JNL PERSPECTIVE II 05/05	334,872.34	139.53	85.0	118.60	0.00	118.60
05/31/2018	BARKS, MARIE	JNL PERSPECTIVE II 05/05	52,930.76	22.05	85.0	18.74	0.00	18.74
05/31/2018	FINCH, ARLENE	JNL PERSPECTIVE II 05/05	18,912.66	7.88	85.0	6.70	0.00	6.70
05/31/2018	BUKOVCIK, DANIEL	JNL PERSPECTIVE II 05/05	93,444.82	38.94	85.0	33.10	0.00	33.10
05/31/2018	O'BERRY, CHARLES	JNL PERSPECTIVE II 05/05	106,971.89	44.57	85.0	37.88	0.00	37.88
05/31/2018	RAMIREZ, JOAN	JNL PERSPECTIVE II 05/05	59,030.98	24.60	85.0	20.91	0.00	20.91
05/31/2018	SWENSON, AMY	JNL PERSPECTIVE II 05/05	11,759.99	4.90	85.0	4.17	0.00	4.17
05/31/2018	SWENSON, ERIC	JNL PERSPECTIVE II 05/05	10,023.95	4.18	85.0	3.55	0.00	3.55
05/31/2018	SWENSON, ERIC	JNL PERSPECTIVE II 05/05	6,054.95	2.52	85.0	2.14	0.00	2.14
05/31/2018	FOLTZ, TIMOTHY	JNL PERSPECTIVE II 05/05	6,885.17	2.87	85.0	2.44	0.00	2.44

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	MOORE, MARC	JNL PERSPECTIVE II 05/05	413.03	0.17	85.0	0.14	0.00	0.14
05/31/2018	BEALS, TIMOTHY	JNL PERSPECTIVE II 05/05	33,269.25	13.86	85.0	11.78	0.00	11.78
05/31/2018	LEN, JURLINE	JNL PERSPECTIVE II 05/05	120,702.15	50.29	85.0	42.75	0.00	42.75
05/31/2018	LEN, JURLINE	JNL PERSPECTIVE II 05/05	174,387.80	72.66	85.0	61.76	0.00	61.76
05/31/2018	HAZLE, JOHN	JNL PERSPECTIVE II 05/05	13,500.81	5.63	85.0	4.79	0.00	4.79
05/31/2018	HARMON, RICHARD	JNL PERSPECTIVE II 05/05	154,589.84	64.41	85.0	54.75	0.00	54.75
05/31/2018	KUS, DONNA	JNL PERSPECTIVE II 05/05	110,318.01	45.97	85.0	39.07	0.00	39.07
05/31/2018	DARLING, TARIN	JNL PERSPECTIVE II 05/05	5,463.26	2.28	85.0	1.94	0.00	1.94
05/31/2018	MILLER, PHILLIP	JNL PERSPECTIVE II 05/05	1,140.07	0.48	85.0	0.41	0.00	0.41
05/31/2018	MILLER, VIVIAN	JNL PERSPECTIVE II 05/05	5,687.78	2.37	85.0	2.01	0.00	2.01
05/31/2018	UNTERBRINK, PAUL	JNL PERSPECTIVE II 05/05	122,246.69	50.94	85.0	43.30	0.00	43.30
05/31/2018	BLAIN, VICKIE	JNL PERSPECTIVE II 05/05	82,834.15	34.51	85.0	29.33	0.00	29.33
05/31/2018	BLAIN, VICKIE	JNL PERSPECTIVE II 05/05	77,656.26	32.36	85.0	27.51	0.00	27.51
05/31/2018	SIEFFERT, JUDITH	JNL PERSPECTIVE II 05/05	523,726.09	218.22	85.0	185.49	0.00	185.49
05/31/2018	PAKSI, JOYCE	JNL PERSPECTIVE II 05/05	14,993.91	6.25	85.0	5.31	0.00	5.31
05/31/2018	PAKSI, JOYCE	JNL PERSPECTIVE II 05/05	99,198.74	41.33	85.0	35.13	0.00	35.13
05/31/2018	PAKSI, ROBERT	JNL PERSPECTIVE II 05/05	15,604.14	6.50	85.0	5.53	0.00	5.53
05/31/2018	PAKSI, ROBERT	JNL PERSPECTIVE II 05/05	41,468.61	17.28	85.0	14.69	0.00	14.69
05/31/2018	STUTZMAN, KAREN	JNL PERSPECTIVE II 05/05	43,153.14	17.98	85.0	15.28	0.00	15.28
05/31/2018	SWANCHARA, KIM	JNL PERSPECTIVE II 05/05	52,021.62	21.68	85.0	18.43	0.00	18.43
05/31/2018	SWANCHARA, BEN	JNL PERSPECTIVE II 05/05	52,021.62	21.68	85.0	18.43	0.00	18.43
05/31/2018	BATORA, DELORES	JNL PERSPECTIVE II 05/05	177,480.00	73.95	85.0	62.86	0.00	62.86
05/31/2018	DUNSMORE, CAROL	JNL PERSPECTIVE II 05/05	116,691.57	48.62	85.0	41.33	0.00	41.33
05/31/2018	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	262,639.77	109.43	85.0	93.02	0.00	93.02
05/31/2018	STEHLIK, FRANCES	JNL PERSPECTIVE II 05/05	111,561.96	46.48	85.0	39.51	0.00	39.51
05/31/2018	STEHLIK, FRANCES	JNL PERSPECTIVE II 05/05	35,509.41	14.80	85.0	12.58	0.00	12.58
05/31/2018	WHALEY JR, RICHARD	JNL PERSPECTIVE II 05/05	47,301.83	19.71	85.0	16.75	0.00	16.75
05/31/2018	GOOSEN, JEROME	JNL PERSPECTIVE II 05/05	15,556.44	6.48	85.0	5.51	0.00	5.51
05/31/2018	BAILEY, THOMAS	JNL PERSPECTIVE II 05/05	9,265.47	3.86	85.0	3.28	0.00	3.28
05/31/2018	BAILEY, THOMAS	JNL PERSPECTIVE II 05/05	114,536.14	47.72	85.0	40.56	0.00	40.56
05/31/2018	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	179,221.81	74.68	85.0	63.48	0.00	63.48
05/31/2018	BAILEY, SUSAN	JNL PERSPECTIVE II 05/05	27,174.10	11.32	85.0	9.62	0.00	9.62
05/31/2018	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	43,604.20	18.17	85.0	15.44	0.00	15.44

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	WOODMAN, ROBERT	JNL PERSPECTIVE II 05/05	88,623.29	36.93	85.0	31.39	0.00	31.39
05/31/2018	COCKRUM, MARK	JNL PERSPECTIVE II 05/05	80,243.88	30.09	85.0	25.58	0.00	25.58
05/31/2018	HOGAN, JOSEPH	JNL PERSPECTIVE II 05/05	137,907.07	57.46	85.0	48.84	0.00	48.84
05/31/2018	FOX, JAMES	JNL PERSPECTIVE II 05/05	162,514.98	67.71	85.0	57.55	0.00	57.55
05/31/2018	PERRY, GREGORY	JNL PERSPECTIVE II 05/05	49,404.66	20.59	85.0	17.50	0.00	17.50
05/31/2018	HOUSE, MATTHEW	JNL PERSPECTIVE II 05/05	15,192.69	6.33	85.0	5.38	0.00	5.38
05/31/2018	SMALLDON, JANET	JNL PERSPECTIVE II 05/05	53,058.15	22.11	85.0	18.79	0.00	18.79
05/31/2018	FABER, KATHRYN	JNL PERSPECTIVE II 05/05	37,507.66	15.63	85.0	13.29	0.00	13.29
05/31/2018	BUKOVCIK, RONALD	JNL PERSPECTIVE II 05/05	536,128.55	223.39	85.0	189.88	0.00	189.88
05/31/2018	BARTON, EVA	JNL PERSPECTIVE II 05/05	19,719.14	8.22	85.0	6.99	0.00	6.99
05/31/2018	THELEN, NEIL	JNL PERSPECTIVE II 05/05	210,787.23	87.83	85.0	74.66	0.00	74.66
05/31/2018	CONRAD, DOUGLAS	JNL PERSPECTIVE II 05/05	35,418.26	14.76	85.0	12.55	0.00	12.55
05/31/2018	MARTIN, SCOTT	JNL PERSPECTIVE II 05/05	67,748.50	28.23	85.0	24.00	0.00	24.00
05/31/2018	ROOF, ANNA	JNL PERSPECTIVE II 05/05	197,833.08	82.43	85.0	70.07	0.00	70.07
05/31/2018	ROBERTS, MONICA	JNL PERSPECTIVE II 05/05	7,071.09	2.95	85.0	2.51	0.00	2.51
05/31/2018	DOYLE, DENNIS	JNL PERSPECTIVE II 05/05	51,287.82	21.37	85.0	18.16	0.00	18.16
05/31/2018	SCHRAUBEN, HOLLY	JNL PERSPECTIVE II 05/05	4,424.64	1.84	85.0	1.56	0.00	1.56
05/31/2018	BURNS, KAREN	JNL PERSPECTIVE II 05/05	29,512.32	12.30	85.0	10.46	0.00	10.46
05/31/2018	PEASE, STEVEN	JNL PERSPECTIVE II 05/05	61,482.45	25.62	85.0	21.78	0.00	21.78
05/31/2018	THORNBURG, JANET	JNL PERSPECTIVE II 05/05	84,647.59	35.27	85.0	29.98	0.00	29.98
05/31/2018	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	46,475.57	19.36	85.0	16.46	0.00	16.46
05/31/2018	SCHULTZ, GARY	JNL PERSPECTIVE II 05/05	6,452.26	2.69	85.0	2.29	0.00	2.29
05/31/2018	RITZ, VICKI	JNL PERSPECTIVE II 05/05	23,438.38	9.77	85.0	8.30	0.00	8.30
05/31/2018	KIEFFER, DUSTIN	JNL PERSPECTIVE II 05/05	15,984.61	6.66	85.0	5.66	0.00	5.66
05/31/2018	BAILEY, WALLACE	JNL PERSPECTIVE II 05/05	38,161.02	15.90	85.0	13.52	0.00	13.52
05/31/2018	THORNBURG, RICHARD	JNL PERSPECTIVE II 05/05	287,980.38	119.99	85.0	101.99	0.00	101.99
05/31/2018	MEADOWS, SANDRA	JNL PERSPECTIVE II 05/05	264,882.87	110.37	85.0	93.81	0.00	93.81
05/31/2018	BERNATH, THOMAS	JNL PERSPECTIVE II 05/05	8,398.26	3.50	85.0	2.98	0.00	2.98
05/31/2018	DANIEL, JOHN	JNL PERSPECTIVE II 05/05	50,961.28	21.23	85.0	18.05	0.00	18.05
05/31/2018	WROBEL, GARY	JNL PERSPECTIVE II 05/05	418,331.69	174.30	85.0	148.16	0.00	148.16
05/31/2018	ZUSSMAN, KELLI	JNL PERSPECTIVE II 05/05	471,564.72	196.49	85.0	167.02	0.00	167.02
05/31/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	9,391.59	3.91	85.0	3.32	0.00	3.32
05/31/2018	EVANS, ROGER	JNL PERSPECTIVE II 05/05	53,349.26	22.23	85.0	18.90	0.00	18.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	LEIBY, PAMELA	JNL PERSPECTIVE II 05/05	92,900.14	38.71	85.0	32.90	0.00	32.90
05/31/2018	LEIBY, PAMELA	JNL PERSPECTIVE II 05/05	29,369.64	12.24	85.0	10.40	0.00	10.40
05/31/2018	SCHMITT, WILLIAM	JNL PERSPECTIVE II 05/05	31,023.24	12.93	85.0	10.99	0.00	10.99
05/31/2018	SCHMITT, KELLEY	JNL PERSPECTIVE II 05/05	15,839.75	6.60	85.0	5.61	0.00	5.61
05/31/2018	EKEL, MARY	JNL PERSPECTIVE II 05/05	10,589.80	4.41	85.0	3.75	0.00	3.75
05/31/2018	SHETTLER, CARL	JNL PERSPECTIVE II 05/05	3,086.50	1.29	85.0	1.10	0.00	1.10
05/31/2018	NUTTER, JACK	JNL PERSPECTIVE II 05/05	188,947.97	78.73	85.0	66.92	0.00	66.92
05/31/2018	HUHN, JUDY	JNL PERSPECTIVE II 05/05	129,674.30	54.03	85.0	45.93	0.00	45.93
05/31/2018	DOUBRAVA, STACY	JNL PERSPECTIVE II 05/05	269,728.60	112.39	85.0	95.53	0.00	95.53
05/31/2018	CAIRNS, SCOTT	JNL PERSPECTIVE II 05/05	181,022.28	75.43	85.0	64.12	0.00	64.12
05/31/2018	RADMACHER, ROGER	JNL PERSPECTIVE II 05/05	247,506.31	103.13	85.0	87.66	0.00	87.66
05/31/2018	MASSA, RODGER	JNL PERSPECTIVE II 05/05	3,274.76	1.36	85.0	1.16	0.00	1.16
05/31/2018	PAKSI, JAMES	JNL PERSPECTIVE II 05/05	216,342.08	90.14	85.0	76.62	0.00	76.62
05/31/2018	MILLER, VIVIAN	JNL PERSPECTIVE II 05/05	67,719.24	28.22	85.0	23.99	0.00	23.99
05/31/2018	STANLEY, REGINA	JNL PERSPECTIVE II 05/05	104,173.53	43.41	85.0	36.90	0.00	36.90
05/31/2018	NORDER, MARSHA	JNL PERSPECTIVE II 05/05	25,438.22	10.60	85.0	9.01	0.00	9.01
05/31/2018	COPESE, TAMARA	JNL PERSPECTIVE II 05/05	54,676.24	22.78	85.0	19.36	0.00	19.36
05/31/2018	WINTERS, JODI	JNL PERSPECTIVE II 05/05	19,813.80	8.26	85.0	7.02	0.00	7.02
05/31/2018	ASHBAUGH, ROBERT	JNL PERSPECTIVE II 05/05	21,205.20	8.84	85.0	7.51	0.00	7.51
05/31/2018	BURKETT SR, RICHARD	JNL PERSPECTIVE II 05/05	3,677.19	1.53	85.0	1.30	0.00	1.30
05/31/2018	HAZLE, JAYDEN	JNL PERSPECTIVE II 05/05	9,698.75	4.04	85.0	3.43	0.00	3.43
05/31/2018	STOLL, MARY	JNL PERSPECTIVE II 05/05	3,972.48	1.66	85.0	1.41	0.00	1.41
05/31/2018	ARTHUR, LOYAL	JNL PERSPECTIVE II 05/05	252,035.74	105.01	85.0	89.26	0.00	89.26
05/31/2018	FOX, JAMES	JNL PERSPECTIVE II 05/05	32,505.88	13.54	85.0	11.51	0.00	11.51
05/31/2018	SMALEC, CARLA	JNL PERSPECTIVE II 05/05	123,105.84	51.29	85.0	43.60	0.00	43.60
05/31/2018	HEATHMAN, DAWN	JNL PERSPECTIVE II 05/05	89,509.88	37.30	85.0	31.71	0.00	31.71
05/31/2018	RAMIREZ, NICOLAS	JNL PERSPECTIVE II 05/05	294,568.92	122.74	85.0	104.33	0.00	104.33
05/31/2018	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	80,523.02	33.55	85.0	28.52	0.00	28.52
05/31/2018	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	45,270.15	18.86	85.0	16.03	0.00	16.03
05/31/2018	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	159,780.12	66.58	85.0	56.59	0.00	56.59
05/31/2018	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	3,989.17	1.66	85.0	1.41	0.00	1.41
05/31/2018	WALTER, SHIRLEY	JNL PERSPECTIVE II 05/05	46,343.73	19.31	85.0	16.41	0.00	16.41
05/31/2018	WALTER, ROBERT	JNL PERSPECTIVE II 05/05	4,029.50	1.68	85.0	1.43	0.00	1.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	LENON, DAWN	JNL PERSPECTIVE II 05/05	261,644.41	109.02	85.0	92.67	0.00	92.67
05/31/2018	DEVEREAUX, ANDREW	JNL PERSPECTIVE II 05/05	23,895.09	9.96	85.0	8.47	0.00	8.47
05/31/2018	MCCREERY, RODNEY	JNL PERSPECTIVE II 05/05	25,556.31	10.65	85.0	9.05	0.00	9.05
05/31/2018	DEVEREAUX, DARLENE	JNL PERSPECTIVE II 05/05	3,714.19	1.55	85.0	1.32	0.00	1.32
05/31/2018	CALVERLEY, ROBERT	JNL PERSPECTIVE II 05/05	145,326.32	60.55	85.0	51.47	0.00	51.47
05/31/2018	WINKLER, DAVID	JNL PERSPECTIVE II 05/05	102,507.66	42.71	85.0	36.30	0.00	36.30
05/31/2018	BATES, JAMES	JNL PERSPECTIVE II 05/05	233,648.90	97.35	85.0	82.75	0.00	82.75
05/31/2018	BOETTCHE, DAWN	JNL PERSPECTIVE II 05/05	23,542.25	9.81	85.0	8.34	0.00	8.34
05/31/2018	RITTER, DEVONA	JNL PERSPECTIVE II 05/05	29,703.95	12.38	85.0	10.52	0.00	10.52
05/31/2018	COCKRUM, MARK	JNL PERSPECTIVE II 05/05	-80,243.88	-30.09	85.0	-25.58	0.00	-25.58
06/01/2018	COSCARELLI, ARTHUR	JNL PERSPECTIVE II 05/05	50.00	2.63	85.0	2.24	0.00	2.24
06/01/2018	HAZLE, JAYDEN	JNL PERSPECTIVE II 05/05	150.00	7.88	85.0	6.70	0.00	6.70
06/05/2018	SCHNEIDER, DAWN	JNL PERSPECTIVE II 05/05	100.00	5.25	85.0	4.46	0.00	4.46
05/31/2018	MARTENS, KEVIN	JNL PERSPECTIVE II 10/04	57,288.44	23.87	85.0	20.29	0.00	20.29
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$26,042,930.61</b>	<b>\$11,889.53</b>		<b>\$10,106.17</b>	<b>\$0.00</b>	<b>\$10,106.17</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
04/27/2018	KIRBY, NICOLE	LBL VUL	99.06	31.70	85.0	26.95	0.00	26.95
04/27/2018	KIRBY, NICOLE	LBL VUL	5.94	1.90	85.0	1.62	0.00	1.62
04/27/2018	KIRBY, NICOLE	LBL VUL	64.15	20.53	85.0	17.45	0.00	17.45
04/27/2018	KIRBY, NICOLE	LBL VUL	3.85	1.23	85.0	1.05	0.00	1.05
05/29/2018	KIRBY, NICOLE	LINCOLN LIFE	99.06	31.70	85.0	26.95	0.00	26.95
05/29/2018	KIRBY, NICOLE	LINCOLN LIFE	5.94	1.90	85.0	1.62	0.00	1.62
05/29/2018	KIRBY, NICOLE	LINCOLN LIFE	28.35	9.07	85.0	7.71	0.00	7.71
05/29/2018	KIRBY, NICOLE	LINCOLN LIFE	35.80	0.90	85.0	0.77	0.00	0.77
05/29/2018	KIRBY, NICOLE	LINCOLN LIFE	1.65	0.53	85.0	0.45	0.00	0.45
05/29/2018	KIRBY, NICOLE	LINCOLN LIFE	2.20	0.06	85.0	0.05	0.00	0.05
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$346.00</b>	<b>\$99.52</b>		<b>\$84.62</b>	<b>\$0.00</b>	<b>\$84.62</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HASSE, LINDSAY	AM FUNDS 2055 TARGET DATE-A	0.00	0.12	85.0	0.10	0.00	0.10
06/01/2018	HASSE, COURTNEY	AM FUNDS 2055 TARGET DATE-A	0.00	0.12	85.0	0.10	0.00	0.10
06/01/2018	STECHSCHULTE, ALEX	AM FUNDS 2055 TARGET DATE-A	0.00	1.69	85.0	1.44	0.00	1.44
06/01/2018	ASHBAUGH, CATHARINE	AM FUNDS AMCAP A	0.00	0.89	85.0	0.76	0.00	0.76

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS AMCAP A	0.00	1.80	85.0	1.53	0.00	1.53
06/01/2018	FRUCHEY, DONNA	AM FUNDS AMCAP A	0.00	2.60	85.0	2.21	0.00	2.21
06/01/2018	NIXON, FRED	AM FUNDS AMERICAN BALANCED A	0.00	6.99	85.0	5.94	0.00	5.94
06/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS AMERICAN BALANCED A	0.00	1.48	85.0	1.26	0.00	1.26
06/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	19.79	85.0	16.82	0.00	16.82
06/01/2018	RISLEY, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	10.39	85.0	8.83	0.00	8.83
06/01/2018	ASHBAUGH, CATHARINE	AM FUNDS AMERICAN BALANCED A	0.00	0.61	85.0	0.52	0.00	0.52
06/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS AMERICAN BALANCED A	0.00	3.66	85.0	3.11	0.00	3.11
06/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	6.62	85.0	5.63	0.00	5.63
06/01/2018	MI/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.93	85.0	0.79	0.00	0.79
06/01/2018	FRUCHEY/BENE, DONNA	AM FUNDS AMERICAN BALANCED A	0.00	3.18	85.0	2.70	0.00	2.70
06/01/2018	RISLEY, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	2.96	85.0	2.52	0.00	2.52
06/01/2018	COON, GEORGANN	AM FUNDS AMERICAN BALANCED A	0.00	4.46	85.0	3.79	0.00	3.79
06/01/2018	PER, DESTINY	AM FUNDS AMERICAN BALANCED C	0.00	2.32	85.0	1.97	0.00	1.97
06/01/2018	MARTIN, SCOTT	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	20.00	85.0	17.00	0.00	17.00
06/01/2018	MULDER, DONALD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	345.76	85.0	293.90	0.00	293.90
06/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	51.25	85.0	43.56	0.00	43.56
06/01/2018	MI/TOD	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	12.07	85.0	10.26	0.00	10.26
06/01/2018	BUTTARS, LISA	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	3.04	85.0	2.58	0.00	2.58
06/01/2018	PARKER, MICHELLE	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.61	85.0	0.52	0.00	0.52
06/01/2018	LATZ, SONYA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	10.67	85.0	9.07	0.00	9.07
06/01/2018	STANTON, DONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	43.67	85.0	37.12	0.00	37.12
06/01/2018	MULDER, DONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	34.00	85.0	28.90	0.00	28.90
06/01/2018	COON, GEORGANN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.13	85.0	0.11	0.00	0.11

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	BANCROFT, AUDREY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.90	85.0	4.17	0.00	4.17
06/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	13.44	85.0	11.42	0.00	11.42
06/01/2018	MI/TOD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	11.90	85.0	10.12	0.00	10.12
06/01/2018	SIEFFERT, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	15.44	85.0	13.12	0.00	13.12
06/01/2018	RECK, MAXINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	30.36	85.0	25.81	0.00	25.81
06/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	3.56	85.0	3.03	0.00	3.03
06/01/2018	MCCARTHY, ROSEMARY	AM FUNDS AMERICAN MUTUAL A	0.00	0.73	85.0	0.62	0.00	0.62
06/01/2018	FRUCHEY, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	7.23	85.0	6.15	0.00	6.15
06/01/2018	COON, GEORGANN	AM FUNDS AMERICAN MUTUAL A	0.00	2.42	85.0	2.06	0.00	2.06
06/01/2018	BUKOVCIK/SEP, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	6.68	85.0	5.68	0.00	5.68
06/01/2018	COON, GEORGANN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.03	85.0	0.03	0.00	0.03
06/01/2018	FOSTER, HEIDI	AM FUNDS BOND FUND OF AMERICA C	0.00	26.75	85.0	22.74	0.00	22.74
06/01/2018	BOSSEL, COLTER	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.23	85.0	2.75	0.00	2.75
06/01/2018	NURENBURG, JACOB	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	4.82	85.0	4.10	0.00	4.10
06/01/2018	HAUK, KALMAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.15	85.0	5.23	0.00	5.23
06/01/2018	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.68	85.0	7.38	0.00	7.38
06/01/2018	RISLEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.76	85.0	7.45	0.00	7.45
06/01/2018	FERDEN, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.49	85.0	2.12	0.00	2.12
06/01/2018	LADISKI, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.81	85.0	7.49	0.00	7.49
06/01/2018	CERNY, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.49	85.0	12.32	0.00	12.32
06/01/2018	LEAVITT, COLLIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	85.0	1.58	0.00	1.58
06/01/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.40	85.0	7.99	0.00	7.99
06/01/2018	LATZ, SONYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.60	85.0	9.01	0.00	9.01
06/01/2018	LATZ, JARRAH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.44	85.0	2.07	0.00	2.07
06/01/2018	FRUCHEY, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.72	85.0	2.31	0.00	2.31
06/01/2018	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.13	85.0	0.11	0.00	0.11
06/01/2018	FRUCHEY/BENE, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.46	85.0	0.39	0.00	0.39

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SCHAFER, BONNIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.59	85.0	3.90	0.00	3.90
06/01/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	44.62	85.0	37.93	0.00	37.93
06/01/2018	MULDER, DONALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	78.56	85.0	66.78	0.00	66.78
06/01/2018	RISLEY, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.55	85.0	2.17	0.00	2.17
06/01/2018	COON, GEORGANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.95	85.0	2.51	0.00	2.51
06/01/2018	CARPENTER, RUTH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.69	85.0	0.59	0.00	0.59
06/01/2018	BANCROFT, AUDREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.08	85.0	4.32	0.00	4.32
06/01/2018	STOLL, DYLAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	85.0	1.72	0.00	1.72
06/01/2018	STOLL, JUSTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.26	85.0	1.92	0.00	1.92
06/01/2018	NIXON, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.65	85.0	1.40	0.00	1.40
06/01/2018	SWARTHOUT/BENE, NORITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	85.0	0.49	0.00	0.49
06/01/2018	SWARTHOUT/BENE, SHEILA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	85.0	0.49	0.00	0.49
06/01/2018	MARTIN, SCOTT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.13	85.0	6.06	0.00	6.06
06/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.94	85.0	5.90	0.00	5.90
06/01/2018	CARROLL, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.10	85.0	5.19	0.00	5.19
06/01/2018	SIEFFERT, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.40	85.0	25.84	0.00	25.84
06/01/2018	MI/TOD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.40	85.0	7.14	0.00	7.14
06/01/2018	RECK, MAXINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.28	85.0	25.74	0.00	25.74
06/01/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD BOND A	0.00	7.56	85.0	6.43	0.00	6.43
06/01/2018	SAVAGE, ELIZABETH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.27	85.0	7.03	0.00	7.03
06/01/2018	BOSSEL, COLTER	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.14	85.0	4.37	0.00	4.37
06/01/2018	HAUK, KALMAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.69	85.0	6.54	0.00	6.54
06/01/2018	GRUBAUGH, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.03	85.0	1.73	0.00	1.73
06/01/2018	ASHBAUGH, CATHARINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	85.0	0.43	0.00	0.43
06/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.26	85.0	1.92	0.00	1.92
06/01/2018	MCCARTHY, ROSEMARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.32	85.0	1.12	0.00	1.12
06/01/2018	NETHAWAY, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.09	85.0	3.48	0.00	3.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	LATZ, SONYA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.20	85.0	10.37	0.00	10.37
06/01/2018	LATZ, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.02	85.0	20.42	0.00	20.42
06/01/2018	FRUCHEY, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.46	85.0	8.04	0.00	8.04
06/01/2018	SAVAGE, ELIZABETH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.11	85.0	17.09	0.00	17.09
06/01/2018	MAHONEY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	85.0	1.19	0.00	1.19
06/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	8.42	85.0	7.16	0.00	7.16
06/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	12.25	85.0	10.41	0.00	10.41
06/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	11.48	85.0	9.76	0.00	9.76
06/01/2018	DOLAN, TERRY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	13.78	85.0	11.71	0.00	11.71
06/01/2018	SMITH, LINDSEY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.18	85.0	0.15	0.00	0.15
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.00	85.0	0.85	0.00	0.85
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.02	85.0	0.87	0.00	0.87
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.16	85.0	0.99	0.00	0.99
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.75	85.0	0.64	0.00	0.64
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.42	85.0	0.36	0.00	0.36
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.44	85.0	0.37	0.00	0.37
06/01/2018	POHL, JUSTIN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.34	85.0	0.29	0.00	0.29
06/01/2018	POHL, GAYE	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.00	85.0	0.85	0.00	0.85
06/01/2018	POHL, GAYE	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.13	85.0	0.96	0.00	0.96
06/01/2018	SUTTON, ALEXIS	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.42	85.0	0.36	0.00	0.36
06/01/2018	BOSSEL, COLTER	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	4.01	85.0	3.41	0.00	3.41
06/01/2018	LEN, JURLINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.54	85.0	4.71	0.00	4.71
06/01/2018	BYRNE, JAMIE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.19	85.0	3.56	0.00	3.56
06/01/2018	FRYE, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.17	85.0	0.99	0.00	0.99
06/01/2018	PAKSI, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.66	85.0	2.26	0.00	2.26
06/01/2018	ZALUD, BRIAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.78	85.0	8.31	0.00	8.31
06/01/2018	FABRY, EMILY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.18	85.0	1.85	0.00	1.85
06/01/2018	NETHAWAY, COLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.51	85.0	0.43	0.00	0.43

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	HEBELER, CARTER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.47	85.0	0.40	0.00	0.40
06/01/2018	FERDEN, ANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.41	85.0	7.15	0.00	7.15
06/01/2018	STOCKING, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.38	85.0	1.17	0.00	1.17
06/01/2018	STOCKING, ELAINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.65	85.0	1.40	0.00	1.40
06/01/2018	TOMASEK, JENNA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.47	85.0	0.40	0.00	0.40
06/01/2018	MARTIN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.56	85.0	3.03	0.00	3.03
06/01/2018	HERBLET, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.72	85.0	4.86	0.00	4.86
06/01/2018	NETHAWAY, LANCE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.13	85.0	1.81	0.00	1.81
06/01/2018	MULDER, DONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	30.03	85.0	25.53	0.00	25.53
06/01/2018	SWARTHOUT/BENE, NORITA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.23	85.0	0.20	0.00	0.20
06/01/2018	SWARTHOUT/BENE, SHEILA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.23	85.0	0.20	0.00	0.20
06/01/2018	FRUCHEY, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.88	85.0	3.30	0.00	3.30
06/01/2018	MCCARTHY, ROSEMARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.81	85.0	4.09	0.00	4.09
06/01/2018	FRUCHEY, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.78	85.0	8.31	0.00	8.31
06/01/2018	RISLEY, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.94	85.0	8.45	0.00	8.45
06/01/2018	BAXTER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.25	85.0	3.61	0.00	3.61
06/01/2018	COON, GEORGANN	AM FUNDS GLOBAL BALANCED A	0.00	1.52	85.0	1.29	0.00	1.29
06/01/2018	ROBERTS, MONICA	AM FUNDS GROWTH AND INCOME 529A	0.00	7.76	85.0	6.60	0.00	6.60
06/01/2018	MULDER, JAYDEN	AM FUNDS GROWTH AND INCOME A	0.00	2.47	85.0	2.10	0.00	2.10
06/01/2018	REED, RICHARD	AM FUNDS GROWTH AND INCOME A	0.00	0.01	85.0	0.01	0.00	0.01
06/01/2018	PARKER, MICHELLE	AM FUNDS GROWTH AND INCOME A	0.00	21.64	85.0	18.39	0.00	18.39
06/01/2018	BOSSEL, COLTER	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.20	85.0	3.57	0.00	3.57
06/01/2018	MULDER, TERESA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.66	85.0	7.36	0.00	7.36
06/01/2018	NURENBURG, JACOB	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.54	85.0	4.71	0.00	4.71
06/01/2018	HAZLE, JESSICA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.64	85.0	0.54	0.00	0.54
06/01/2018	ROBERTS, MONICA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.79	85.0	7.47	0.00	7.47
06/01/2018	NIDEFSKI, CAIDEN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.22	85.0	1.04	0.00	1.04
06/01/2018	NIDEFSKI, CAIDEN	AM FUNDS GROWTH FUND OF AMERICA	0.00	1.22	85.0	1.04	0.00	1.04

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
		529						
06/01/2018	SCHAFER, CORACELYN	AM FUNDS GROWTH FUND OF AMERICA	0.00	1.91	85.0	1.62	0.00	1.62
		529						
06/01/2018	BROWN, JACK	AM FUNDS GROWTH FUND OF AMERICA	0.00	0.42	85.0	0.36	0.00	0.36
		529						
06/01/2018	SCHLIEF, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.89	85.0	3.31	0.00	3.31
06/01/2018	HAUK, KALMAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.07	85.0	32.36	0.00	32.36
06/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.82	85.0	2.40	0.00	2.40
06/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	85.0	0.07	0.00	0.07
06/01/2018	BERTRAM, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.61	85.0	5.62	0.00	5.62
06/01/2018	GRUBAUGH, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.89	85.0	12.66	0.00	12.66
06/01/2018	CASLER, SAMANTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.74	85.0	1.48	0.00	1.48
06/01/2018	ASHBAUGH, CATHARINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	85.0	0.26	0.00	0.26
06/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.77	85.0	2.35	0.00	2.35
06/01/2018	SKARYD, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.37	85.0	2.86	0.00	2.86
06/01/2018	FRYE, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.89	85.0	2.46	0.00	2.46
06/01/2018	BERTRAM, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.92	85.0	6.73	0.00	6.73
06/01/2018	FABRY, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.12	85.0	2.65	0.00	2.65
06/01/2018	HEBELER, CARTER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	85.0	0.62	0.00	0.62
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	85.0	1.23	0.00	1.23
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	85.0	1.23	0.00	1.23
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	85.0	1.23	0.00	1.23
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	85.0	0.82	0.00	0.82
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	85.0	0.82	0.00	0.82
06/01/2018	MARTIN, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.05	85.0	11.09	0.00	11.09
06/01/2018	HERBLET, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.02	85.0	6.82	0.00	6.82
06/01/2018	PER, DESTINY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	85.0	0.07	0.00	0.07
06/01/2018	LATZ, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.63	85.0	26.89	0.00	26.89
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.27	85.0	1.93	0.00	1.93
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.27	85.0	1.93	0.00	1.93
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.27	85.0	1.93	0.00	1.93
06/01/2018	LATZ, JARRAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.95	85.0	9.31	0.00	9.31
06/01/2018	FRUCHEY, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	85.0	0.82	0.00	0.82
06/01/2018	ZALUD, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.45	85.0	5.48	0.00	5.48

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	MARTIN, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.58	85.0	16.64	0.00	16.64
06/01/2018	HAUK, LEGEND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.67	85.0	1.42	0.00	1.42
06/01/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	66.01	85.0	56.11	0.00	56.11
06/01/2018	SMITH, CASSIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.24	85.0	7.00	0.00	7.00
06/01/2018	MULDER, JAYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.72	85.0	1.46	0.00	1.46
06/01/2018	LONGSTRETH, JOEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	85.0	1.97	0.00	1.97
06/01/2018	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	85.0	2.97	0.00	2.97
06/01/2018	BUKOVCIK, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	85.0	2.97	0.00	2.97
06/01/2018	BUKOVCIK, KARSYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	85.0	2.97	0.00	2.97
06/01/2018	FEDEWA, DARREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.99	85.0	1.69	0.00	1.69
06/01/2018	EKEL, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	85.0	1.68	0.00	1.68
06/01/2018	NETHAWAY, RILEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.48	85.0	0.41	0.00	0.41
06/01/2018	EKEL, LAUREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.70	85.0	2.30	0.00	2.30
06/01/2018	STOLL, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.06	85.0	2.60	0.00	2.60
06/01/2018	STOLL, JUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.37	85.0	3.71	0.00	3.71
06/01/2018	KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.55	85.0	3.02	0.00	3.02
06/01/2018	KIRBY, DAVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.55	85.0	3.02	0.00	3.02
06/01/2018	FRUCHEY, JEFF	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.55	85.0	2.17	0.00	2.17
06/01/2018	SPEERBRECKER, SPENCER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	85.0	1.90	0.00	1.90
06/01/2018	BESKO, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.39	85.0	1.18	0.00	1.18
06/01/2018	STUTZMAN, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	85.0	1.70	0.00	1.70
06/01/2018	HEHRER, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	85.0	0.39	0.00	0.39
06/01/2018	MARTIN, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.79	85.0	14.27	0.00	14.27
06/01/2018	BYRNE, JAMIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.00	85.0	5.95	0.00	5.95
06/01/2018	MAHONEY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	85.0	1.23	0.00	1.23
06/01/2018	SCHAFER, CHELSEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.84	85.0	0.71	0.00	0.71
06/01/2018	HOLDER, SETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	85.0	0.86	0.00	0.86
06/01/2018	BUKOVCIK, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	85.0	0.69	0.00	0.69
06/01/2018	TYLER, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.86	85.0	6.68	0.00	6.68
06/01/2018	LOYNES, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.31	85.0	0.26	0.00	0.26
06/01/2018	BUKOVCIK, GAVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.49	85.0	1.27	0.00	1.27
06/01/2018	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.62	85.0	0.53	0.00	0.53
06/01/2018	SMITH-KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.59	85.0	3.90	0.00	3.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SMITH-KIRBY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.59	85.0	3.90	0.00	3.90
06/01/2018	KIRBY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.62	85.0	6.48	0.00	6.48
06/01/2018	CARROLL, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.00	85.0	5.95	0.00	5.95
06/01/2018	LAUDERDALE, JAQUELINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	85.0	0.21	0.00	0.21
06/01/2018	OLGER, RAEANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	85.0	1.28	0.00	1.28
06/01/2018	ROSE, BRANDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	85.0	0.12	0.00	0.12
06/01/2018	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	85.0	0.07	0.00	0.07
06/01/2018	SQUIERS, AVERY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	85.0	0.05	0.00	0.05
06/01/2018	SIEFFERT, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.63	85.0	14.99	0.00	14.99
06/01/2018	SCHNEIDER, HANNAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	85.0	0.37	0.00	0.37
06/01/2018	SCHMIDTFRANZ, RODGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	85.0	0.88	0.00	0.88
06/01/2018	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.31	85.0	7.91	0.00	7.91
06/01/2018	ACKELS, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	85.0	0.10	0.00	0.10
06/01/2018	BUTTARS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.74	85.0	9.13	0.00	9.13
06/01/2018	BROWN, MORGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.38	85.0	3.72	0.00	3.72
06/01/2018	BROWN, OLIVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.49	85.0	2.12	0.00	2.12
06/01/2018	DOBISKI, MARLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.75	85.0	1.49	0.00	1.49
06/01/2018	PARKER, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.96	85.0	18.67	0.00	18.67
06/01/2018	BARKS, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.04	85.0	1.73	0.00	1.73
06/01/2018	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	0.00	0.64	85.0	0.54	0.00	0.54
06/01/2018	HAZLE, JESSICA	AM FUNDS GROWTH PORT 529A	0.00	0.26	85.0	0.22	0.00	0.22
06/01/2018	HOUSE, ADAM	AM FUNDS GROWTH PORT A	0.00	0.55	85.0	0.47	0.00	0.47
06/01/2018	RANDALL, DEBRA	AM FUNDS GROWTH PORT A	0.00	3.01	85.0	2.56	0.00	2.56
06/01/2018	BOSSEL, COLTER	AM FUNDS ICA 529A	0.00	4.37	85.0	3.71	0.00	3.71
06/01/2018	MULDER, TERESA	AM FUNDS ICA 529A	0.00	4.53	85.0	3.85	0.00	3.85
06/01/2018	BROWN, JACK	AM FUNDS ICA 529A	0.00	0.42	85.0	0.36	0.00	0.36
06/01/2018	SCHLIEF, MICHAEL	AM FUNDS ICA A	0.00	6.19	85.0	5.26	0.00	5.26
06/01/2018	BUKOVCIK/SEP, WILLIAM	AM FUNDS ICA A	0.00	15.13	85.0	12.86	0.00	12.86
06/01/2018	LEN, JURLINE	AM FUNDS ICA A	0.00	11.38	85.0	9.67	0.00	9.67
06/01/2018	HAUK, KALMAN	AM FUNDS ICA A	0.00	8.38	85.0	7.12	0.00	7.12
06/01/2018	ASHBAUGH, HEIDI	AM FUNDS ICA A	0.00	19.55	85.0	16.62	0.00	16.62
06/01/2018	TEACHNOR-HAUK/AUTH PER,	AM FUNDS ICA A	0.00	0.02	85.0	0.02	0.00	0.02
06/01/2018	BERTRAM, ADAM	AM FUNDS ICA A	0.00	0.75	85.0	0.64	0.00	0.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	FRUCHEY, DONNA	AM FUNDS ICA A	0.00	9.22	85.0	7.84	0.00	7.84
06/01/2018	GALEHOUSE, BARBARA	AM FUNDS ICA A	0.00	4.05	85.0	3.44	0.00	3.44
06/01/2018	FRYE, DENISE	AM FUNDS ICA A	0.00	2.47	85.0	2.10	0.00	2.10
06/01/2018	BERTRAM, ADAM	AM FUNDS ICA A	0.00	10.35	85.0	8.80	0.00	8.80
06/01/2018	PAKSI, JAMES	AM FUNDS ICA A	0.00	3.20	85.0	2.72	0.00	2.72
06/01/2018	LEAVITT, GRACE	AM FUNDS ICA A	0.00	5.33	85.0	4.53	0.00	4.53
06/01/2018	ZALUD, BRIAN	AM FUNDS ICA A	0.00	12.55	85.0	10.67	0.00	10.67
06/01/2018	WREGGELSWORTH, JOY	AM FUNDS ICA A	0.00	13.09	85.0	11.13	0.00	11.13
06/01/2018	FERDEN, ANN	AM FUNDS ICA A	0.00	11.01	85.0	9.36	0.00	9.36
06/01/2018	STOCKING, ERIC	AM FUNDS ICA A	0.00	1.69	85.0	1.44	0.00	1.44
06/01/2018	STOCKING, ELAINE	AM FUNDS ICA A	0.00	2.10	85.0	1.79	0.00	1.79
06/01/2018	FRUCHEY, DONNA	AM FUNDS ICA A	0.00	0.47	85.0	0.40	0.00	0.40
06/01/2018	ZALUD, ANN	AM FUNDS ICA A	0.00	6.10	85.0	5.19	0.00	5.19
06/01/2018	MARTIN, LISA	AM FUNDS ICA A	0.00	8.62	85.0	7.33	0.00	7.33
06/01/2018	MARTIN, SCOTT	AM FUNDS ICA A	0.00	58.82	85.0	50.00	0.00	50.00
06/01/2018	SMITH, CASSIE	AM FUNDS ICA A	0.00	1.50	85.0	1.28	0.00	1.28
06/01/2018	LONGSTRETH, JOEL	AM FUNDS ICA A	0.00	2.07	85.0	1.76	0.00	1.76
06/01/2018	FEDEWA, DARREN	AM FUNDS ICA A	0.00	1.15	85.0	0.98	0.00	0.98
06/01/2018	EKEL, NICHOLAS	AM FUNDS ICA A	0.00	0.67	85.0	0.57	0.00	0.57
06/01/2018	EKEL, LAUREN	AM FUNDS ICA A	0.00	1.31	85.0	1.11	0.00	1.11
06/01/2018	SAVAGE, ELIZABETH	AM FUNDS ICA A	0.00	32.21	85.0	27.38	0.00	27.38
06/01/2018	STOLL, DYLAN	AM FUNDS ICA A	0.00	2.37	85.0	2.01	0.00	2.01
06/01/2018	STOLL, JUSTIN	AM FUNDS ICA A	0.00	3.95	85.0	3.36	0.00	3.36
06/01/2018	NIXON, CAROLE	AM FUNDS ICA A	0.00	1.95	85.0	1.66	0.00	1.66
06/01/2018	HEHRER, SARAH	AM FUNDS ICA A	0.00	0.40	85.0	0.34	0.00	0.34
06/01/2018	MARTIN, SCOTT	AM FUNDS ICA A	0.00	15.55	85.0	13.22	0.00	13.22
06/01/2018	BYRNE, JAMIE	AM FUNDS ICA A	0.00	6.35	85.0	5.40	0.00	5.40
06/01/2018	HARROW, JEFFERY	AM FUNDS ICA A	0.00	1.20	85.0	1.02	0.00	1.02
06/01/2018	HOLDER, SETH	AM FUNDS ICA A	0.00	0.91	85.0	0.77	0.00	0.77
06/01/2018	PERRY, DOROTHY	AM FUNDS ICA A	0.00	6.06	85.0	5.15	0.00	5.15
06/01/2018	TYLER, TERRY	AM FUNDS ICA A	0.00	7.17	85.0	6.09	0.00	6.09
06/01/2018	CARROLL, DONNA	AM FUNDS ICA A	0.00	6.44	85.0	5.47	0.00	5.47
06/01/2018	SQUIERS, AVERY	AM FUNDS ICA A	0.00	0.05	85.0	0.04	0.00	0.04

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	SIEFFERT, JUDITH	AM FUNDS ICA A	0.00	33.75	85.0	28.69	0.00	28.69
06/01/2018	MI/TOD	AM FUNDS ICA A	0.00	8.89	85.0	7.56	0.00	7.56
06/01/2018	BUSH, VICTORIA	AM FUNDS ICA A	0.00	0.65	85.0	0.55	0.00	0.55
06/01/2018	RECK, MAXINE	AM FUNDS ICA A	0.00	25.66	85.0	21.81	0.00	21.81
06/01/2018	SAVAGE, ELIZABETH	AM FUNDS ICA A	0.00	0.23	85.0	0.20	0.00	0.20
06/01/2018	BUTTARS, LISA	AM FUNDS ICA A	0.00	9.95	85.0	8.46	0.00	8.46
06/01/2018	BROWN, MORGAN	AM FUNDS ICA A	0.00	1.42	85.0	1.21	0.00	1.21
06/01/2018	BROWN, OLIVIA	AM FUNDS ICA A	0.00	0.79	85.0	0.67	0.00	0.67
06/01/2018	DOBISKI, MARLA	AM FUNDS ICA A	0.00	3.96	85.0	3.37	0.00	3.37
06/01/2018	PARKER, MICHELLE	AM FUNDS ICA A	0.00	20.69	85.0	17.59	0.00	17.59
06/01/2018	BARKS, NICHOLAS	AM FUNDS ICA A	0.00	0.67	85.0	0.57	0.00	0.57
06/01/2018	SCHLIEF, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.62	85.0	2.23	0.00	2.23
06/01/2018	FRUCHEY, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.44	85.0	3.77	0.00	3.77
06/01/2018	RISLEY, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	86.68	85.0	73.68	0.00	73.68
06/01/2018	MCCARTHY, ROSEMARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.69	85.0	1.44	0.00	1.44
06/01/2018	NETHAWAY, BARBARA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.86	85.0	3.28	0.00	3.28
06/01/2018	FRUCHEY, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.24	85.0	4.45	0.00	4.45
06/01/2018	STOCKING, RODNEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.30	85.0	1.11	0.00	1.11
06/01/2018	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.17	85.0	0.99	0.00	0.99
06/01/2018	FRUCHEY/BENE, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.93	85.0	3.34	0.00	3.34
06/01/2018	MARTIN, LISA	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.42	85.0	11.41	0.00	11.41
06/01/2018	RISLEY, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.49	85.0	7.22	0.00	7.22
06/01/2018	COON, GEORGANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.11	85.0	3.49	0.00	3.49
06/01/2018	CARPENTER, RUTH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.90	85.0	3.32	0.00	3.32
06/01/2018	SAVAGE, ELIZABETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.77	85.0	14.25	0.00	14.25
06/01/2018	EBNIT TRUST 1, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.22	85.0	6.14	0.00	6.14
06/01/2018	TOMAN, LINDA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.16	85.0	1.84	0.00	1.84
06/01/2018	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.53	85.0	14.05	0.00	14.05
06/01/2018	KIRBY, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.30	85.0	2.81	0.00	2.81
06/01/2018	MULDER, TERESA	AM FUNDS INCOME FUND OF AMERICA-529	0.00	2.49	85.0	2.12	0.00	2.12
06/01/2018	STOCKING, MORGAN	AM FUNDS MORTGAGE A	0.00	0.68	85.0	0.58	0.00	0.58
06/01/2018	BYRNE, JAMIE	AM FUNDS NEW PERSPECTIVE A	0.00	4.85	85.0	4.12	0.00	4.12

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	FRUCHEY, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	2.65	85.0	2.25	0.00	2.25
06/01/2018	BAXTER, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	3.91	85.0	3.32	0.00	3.32
06/01/2018	GRUBAUGH, EDWARD	AM FUNDS NEW WORLD A	0.00	1.67	85.0	1.42	0.00	1.42
06/01/2018	SPEERBRECKER, SPENCER	AM FUNDS NEW WORLD A	0.00	0.43	85.0	0.37	0.00	0.37
06/01/2018	ASHBAUGH, CATHARINE	AM FUNDS SMALLCAP WORLD A	0.00	0.63	85.0	0.54	0.00	0.54
06/01/2018	ASHBAUGH, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	1.31	85.0	1.11	0.00	1.11
06/01/2018	STOCKING, MORGAN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	0.00	4.24	85.0	3.60	0.00	3.60
06/01/2018	BUKOVCIK/SEP, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.97	85.0	5.07	0.00	5.07
06/01/2018	GRUBAUGH/, AUDREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	69.97	85.0	59.47	0.00	59.47
06/01/2018	GRUBAUGH, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.30	85.0	10.46	0.00	10.46
06/01/2018	WREGGELSWORTH, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.47	85.0	6.35	0.00	6.35
06/01/2018	STOCKING, MORGAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.44	85.0	3.77	0.00	3.77
06/01/2018	STEVENS, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.60	85.0	31.11	0.00	31.11
06/01/2018	FRUCHEY, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.37	85.0	0.31	0.00	0.31
06/01/2018	MARTIN, SCOTT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	58.84	85.0	50.01	0.00	50.01
06/01/2018	MULDER, JAYDEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.54	85.0	2.16	0.00	2.16
06/01/2018	RISLEY, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.22	85.0	6.99	0.00	6.99
06/01/2018	COLE, RENIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.34	85.0	0.29	0.00	0.29
06/01/2018	KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.15	85.0	1.83	0.00	1.83
06/01/2018	KIRBY, DAVE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.15	85.0	1.83	0.00	1.83
06/01/2018	FRUCHEY, JEFF	AM FUNDS WASHINGTON MUTUAL	0.00	2.55	85.0	2.17	0.00	2.17

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
		INVESTOR						
06/01/2018	SPEERBRECKER, SPENCER	AM FUNDS WASHINGTON MUTUAL	0.00	2.04	85.0	1.73	0.00	1.73
		INVESTOR						
06/01/2018	BESKO, BRADLEY	AM FUNDS WASHINGTON MUTUAL	0.00	1.28	85.0	1.09	0.00	1.09
		INVESTOR						
06/01/2018	STUTZMAN, VICTORIA	AM FUNDS WASHINGTON MUTUAL	0.00	3.07	85.0	2.61	0.00	2.61
		INVESTOR						
06/01/2018	STUTZMAN, ETHAN	AM FUNDS WASHINGTON MUTUAL	0.00	0.37	85.0	0.31	0.00	0.31
		INVESTOR						
06/01/2018	PERRY, DOROTHY	AM FUNDS WASHINGTON MUTUAL	0.00	6.08	85.0	5.17	0.00	5.17
		INVESTOR						
06/01/2018	TOMAN, LINDA	AM FUNDS WASHINGTON MUTUAL	0.00	2.30	85.0	1.96	0.00	1.96
		INVESTOR						
06/01/2018	TYLER, TERRY	AM FUNDS WASHINGTON MUTUAL	0.00	7.19	85.0	6.11	0.00	6.11
		INVESTOR						
06/01/2018	SMITH-KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL	0.00	4.29	85.0	3.65	0.00	3.65
		INVESTOR						
06/01/2018	SMITH-KIRBY, NICOLE	AM FUNDS WASHINGTON MUTUAL	0.00	4.29	85.0	3.65	0.00	3.65
		INVESTOR						
06/01/2018	KIRBY, DAVID	AM FUNDS WASHINGTON MUTUAL	0.00	7.12	85.0	6.05	0.00	6.05
		INVESTOR						
06/01/2018	OLGER, RAEANN	AM FUNDS WASHINGTON MUTUAL	0.00	1.47	85.0	1.25	0.00	1.25
		INVESTOR						
06/01/2018	ROSE, BRANDON	AM FUNDS WASHINGTON MUTUAL	0.00	0.12	85.0	0.10	0.00	0.10
		INVESTOR						
06/01/2018	SIEFFERT, JUDITH	AM FUNDS WASHINGTON MUTUAL	0.00	32.74	85.0	27.83	0.00	27.83
		INVESTOR						
06/01/2018	SCHNEIDER, HANNAH	AM FUNDS WASHINGTON MUTUAL	0.00	0.41	85.0	0.35	0.00	0.35
		INVESTOR						
06/01/2018	BUSH, VICTORIA	AM FUNDS WASHINGTON MUTUAL	0.00	0.65	85.0	0.55	0.00	0.55
		INVESTOR						
06/01/2018	SAVAGE, ELIZABETH	AM FUNDS WASHINGTON MUTUAL	0.00	0.22	85.0	0.19	0.00	0.19
		INVESTOR						
06/01/2018	BUTTARS, LISA	AM FUNDS WASHINGTON MUTUAL	0.00	10.39	85.0	8.83	0.00	8.83
		INVESTOR						

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	PARKER, MICHELLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.40	85.0	18.19	0.00	18.19
06/01/2018	NURENBURG, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.90	85.0	4.17	0.00	4.17
06/01/2018	PER, DESTINY	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.05	85.0	0.04	0.00	0.04
06/01/2018	COON, GEORGANN	COLLEGE 2021 FUND-529A	0.00	12.01	85.0	10.21	0.00	10.21
06/01/2018	SMITH, KAREN	COLLEGE 2024 FUND - 529A	0.00	0.20	85.0	0.17	0.00	0.17
06/01/2018	COON, GEORGANN	COLLEGE 2024 FUND - 529A	0.00	12.32	85.0	10.47	0.00	10.47
06/01/2018	SMITH, KAREN	COLLEGE 2027 FUND-529A	0.00	0.19	85.0	0.16	0.00	0.16
06/01/2018	COON, GEORGANN	COLLEGE 2027 FUND-529A	0.00	12.57	85.0	10.68	0.00	10.68
06/01/2018	BUKOVCIK, RONALD	COLLEGE 2033 FUND-529A	0.00	0.36	85.0	0.31	0.00	0.31
06/01/2018	FEDWA, WANDA	COLLEGE 2033 FUND-529A	0.00	0.28	85.0	0.24	0.00	0.24
06/01/2018	FEDWA, WANDA	COLLEGE 2033 FUND-529A	0.00	0.28	85.0	0.24	0.00	0.24
06/01/2018	FEDWA, WANDA	COLLEGE 2033 FUND-529A	0.00	0.28	85.0	0.24	0.00	0.24
06/01/2018	THOMA, CHRIS	COLLEGE 2033 FUND-529A	0.00	0.16	85.0	0.14	0.00	0.14
06/01/2018	THOMA, CHRIS	COLLEGE 2033 FUND-529A	0.00	0.16	85.0	0.14	0.00	0.14
06/01/2018	HARRINGTON, HARPER	COLLEGE 2033 FUND-529A	0.00	12.63	85.0	10.74	0.00	10.74
06/01/2018	ACKELS, EMERSON	COLLEGE 2033 FUND-529A	0.00	2.47	85.0	2.10	0.00	2.10
06/01/2018	HOWARD, COHEN	COLLEGE 2033 FUND-529A	0.00	0.44	85.0	0.37	0.00	0.37
06/01/2018	HOWARD, COHEN	COLLEGE 2033 FUND-529A	0.00	0.44	85.0	0.37	0.00	0.37
06/01/2018	HAZLE, ELENA	COLLEGE 2033 FUND-529A	0.00	0.05	85.0	0.04	0.00	0.04
06/01/2018	BUKOVCIK, RONALD	COLLEGE 2036 FUND-529A	0.00	0.26	85.0	0.22	0.00	0.22
/ /	BAESE, MARY BETH	HARTFORD BALANCED A	0.00	0.18	85.0	0.15	0.00	0.15
/ /	DARLING, KIMBERLY	HARTFORD BALANCED A	0.00	0.18	85.0	0.15	0.00	0.15
/ /	FOX, KERRI	HARTFORD BALANCED A	0.00	0.23	85.0	0.20	0.00	0.20
/ /	KIEFFER, DUSTIN	HARTFORD BALANCED ALLOCATION A	0.00	8.30	85.0	7.06	0.00	7.06
/ /	KIEFFER, KIRA	HARTFORD BALANCED ALLOCATION A	0.00	9.34	85.0	7.94	0.00	7.94
/ /	EVANS, ROGER	HARTFORD BALANCED ALLOCATION A	0.00	9.52	85.0	8.09	0.00	8.09
/ /	RADEMACHER, RICKEY	HARTFORD BALANCED ALLOCATION A	0.00	2.16	85.0	1.84	0.00	1.84
/ /	KIEFFER, PATRICIA	HARTFORD BALANCED ALLOCATION A	0.00	41.19	85.0	35.01	0.00	35.01
/ /	HASSE, RONALD	HARTFORD CAPITAL APP II A	0.00	2.27	85.0	1.93	0.00	1.93
/ /	HILTON, CYNTHIA	HARTFORD CAPITAL APP II A	0.00	4.22	85.0	3.59	0.00	3.59
/ /	BUKOVCIK, DANIEL	HARTFORD CAPITAL APP II A	0.00	8.43	85.0	7.17	0.00	7.17
/ /	THELEN, TODD	HARTFORD CAPITAL APP II A	0.00	0.48	85.0	0.41	0.00	0.41

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
/ /	THELEN, ROSANNE	HARTFORD CAPITAL APP II A	0.00	0.89	85.0	0.76	0.00	0.76
/ /	HILTON, CYNTHIA	HARTFORD CAPITAL APPRECIATION A	0.00	5.50	85.0	4.68	0.00	4.68
/ /	BUKOVCIK, DANIEL	HARTFORD CAPITAL APPRECIATION A	0.00	28.54	85.0	24.26	0.00	24.26
/ /	BAESE, MARY BETH	HARTFORD CAPITAL APPRECIATION A	0.00	2.34	85.0	1.99	0.00	1.99
/ /	PRICE, SARAH	HARTFORD CAPITAL APPRECIATION A	0.00	1.01	85.0	0.86	0.00	0.86
/ /	ZUNIGA, AMANDA	HARTFORD CAPITAL APPRECIATION A	0.00	15.96	85.0	13.57	0.00	13.57
/ /	HALFMAN, BRENDA	HARTFORD CAPITAL APPRECIATION A	0.00	0.57	85.0	0.48	0.00	0.48
/ /	THORNBURG, FREDERICK	HARTFORD CAPITAL APPRECIATION A	0.00	4.70	85.0	4.00	0.00	4.00
/ /	COOLEY, MARK	HARTFORD CAPITAL APPRECIATION A	0.00	0.25	85.0	0.21	0.00	0.21
/ /	DOUBRAVA, THOMAS	HARTFORD CAPITAL APPRECIATION A	0.00	1.21	85.0	1.03	0.00	1.03
/ /	RICHARDS, DEVIN	HARTFORD DISCIPLINED EQUITY A	0.00	2.84	85.0	2.41	0.00	2.41
/ /	FEDEWA, MICHAEL	HARTFORD DISCIPLINED EQUITY A	0.00	3.24	85.0	2.75	0.00	2.75
/ /	HILTON, CYNTHIA	HARTFORD DIVIDEND & GROWTH A	0.00	6.43	85.0	5.47	0.00	5.47
/ /	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	1.26	85.0	1.07	0.00	1.07
/ /	RICHARDS, AMBER	HARTFORD DIVIDEND & GROWTH A	0.00	0.92	85.0	0.78	0.00	0.78
/ /	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	3.58	85.0	3.04	0.00	3.04
/ /	LADISKI, BROOKE	HARTFORD DIVIDEND & GROWTH A	0.00	0.62	85.0	0.53	0.00	0.53
/ /	WEISMILLER, MARK	HARTFORD DIVIDEND & GROWTH A	0.00	1.90	85.0	1.62	0.00	1.62
/ /	WEISMILLER, KELSEY	HARTFORD DIVIDEND & GROWTH A	0.00	0.54	85.0	0.46	0.00	0.46
/ /	THORNBURG, FREDERICK	HARTFORD DIVIDEND & GROWTH A	0.00	7.20	85.0	6.12	0.00	6.12
/ /	FEDEWA, MICHAEL	HARTFORD DIVIDEND & GROWTH A	0.00	3.26	85.0	2.77	0.00	2.77
/ /	THELEN, TODD	HARTFORD DIVIDEND & GROWTH A	0.00	1.33	85.0	1.13	0.00	1.13
/ /	THELEN, ROSANNE	HARTFORD DIVIDEND & GROWTH A	0.00	2.50	85.0	2.13	0.00	2.13
/ /	BUKOVCIK/SEP, WILLIAM	HARTFORD GROWTH OPP A	0.00	2.09	85.0	1.78	0.00	1.78
/ /	SCHLIEF, MICHAEL	HARTFORD GROWTH OPP A	0.00	7.05	85.0	5.99	0.00	5.99
/ /	WOODRUFF, RONALD	HARTFORD GROWTH OPP A	0.00	3.63	85.0	3.09	0.00	3.09
/ /	SQUIRES, YVONNE	HARTFORD GROWTH OPP A	0.00	15.22	85.0	12.94	0.00	12.94
/ /	SQUIRES, LARRY	HARTFORD GROWTH OPP A	0.00	14.45	85.0	12.28	0.00	12.28
/ /	BUKOVCIK, DANIEL	HARTFORD GROWTH OPP A	0.00	74.53	85.0	63.35	0.00	63.35
/ /	BAESE, MARY BETH	HARTFORD GROWTH OPP A	0.00	0.45	85.0	0.38	0.00	0.38
/ /	LADISKI, BREANNA	HARTFORD GROWTH OPP A	0.00	1.10	85.0	0.94	0.00	0.94
/ /	BROWN, JACK	HARTFORD GROWTH OPP A	0.00	1.34	85.0	1.14	0.00	1.14
/ /	SIEFFERT, DONALD	HARTFORD GROWTH OPP A	0.00	10.65	85.0	9.05	0.00	9.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
/ /	FEDEWA, MICHAEL	HARTFORD GROWTH OPP A	0.00	0.69	85.0	0.59	0.00	0.59
/ /	BROWN, TUCKER	HARTFORD GROWTH OPP A	0.00	1.34	85.0	1.14	0.00	1.14
/ /	CASLER, SUSAN	HARTFORD GROWTH OPP A	0.00	0.58	85.0	0.49	0.00	0.49
/ /	DARLING, KIMBERLY	HARTFORD GROWTH OPP A	0.00	11.56	85.0	9.83	0.00	9.83
/ /	FOX, KERRI	HARTFORD GROWTH OPP A	0.00	18.86	85.0	16.03	0.00	16.03
/ /	SIEFFERT, DONALD	HARTFORD INFLATION PLUS A	0.00	9.21	85.0	7.83	0.00	7.83
/ /	HOLCOMB, BRUCE (DCD)	HARTFORD INFLATION PLUS A	0.00	0.86	85.0	0.73	0.00	0.73
/ /	THORNBURG, FREDERICK	HARTFORD INFLATION PLUS A	0.00	3.17	85.0	2.69	0.00	2.69
/ /	BATES, JAMES	HARTFORD INFLATION PLUS A	0.00	6.31	85.0	5.36	0.00	5.36
/ /	SCOTT, FRANCES	HARTFORD INFLATION PLUS A	0.00	1.12	85.0	0.95	0.00	0.95
/ /	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	2.41	85.0	2.05	0.00	2.05
/ /	WEISMILLER, MARK	HARTFORD INT'L GROWTH A	0.00	1.32	85.0	1.12	0.00	1.12
/ /	WEISMILLER, KELSEY	HARTFORD INT'L GROWTH A	0.00	0.41	85.0	0.35	0.00	0.35
/ /	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.94	85.0	1.65	0.00	1.65
/ /	RICHARDS, AMBER	HARTFORD MIDCAP VALUE A	0.00	1.48	85.0	1.26	0.00	1.26
/ /	BAESE, MARY BETH	HARTFORD STRATEGIC INCOME FUND A	0.00	0.31	85.0	0.26	0.00	0.26
/ /	SIEFFERT, DONALD	HARTFORD TOTAL RETURN BOND A	0.00	6.77	85.0	5.75	0.00	5.75
/ /	LEE, EUSTAQUIA	WVA AGGR GROWTH PORTFOLIO A	0.00	0.53	85.0	0.45	0.00	0.45
/ /	STUTZMAN, KAREN	WVA CHECKS AND BALS PORT A	0.00	0.06	85.0	0.05	0.00	0.05
/ /	STUTZMAN, KAREN	WVA CHECKS AND BALS PORT A	0.00	0.08	85.0	0.07	0.00	0.07
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.38	85.0	0.32	0.00	0.32
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.38	85.0	0.32	0.00	0.32
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	2.31	85.0	1.96	0.00	1.96
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.38	85.0	0.32	0.00	0.32
/ /	O'MALEY, SHIRLEY	WVA GROWTH PORTFOLIO A	0.00	0.34	85.0	0.29	0.00	0.29
/ /	MCHALLAM, MYA	WVA HARTFORD DIV AND GROWTH 529 A	0.00	13.46	85.0	11.44	0.00	11.44
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	1.41	85.0	1.20	0.00	1.20
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	0.37	85.0	0.31	0.00	0.31
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	2.70	85.0	2.30	0.00	2.30
/ /	STUTZMAN, KAREN	WVA HARTFORD EQTY INCOME 529 FD A	0.00	0.52	85.0	0.44	0.00	0.44
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,244.36</b>		<b>\$2,757.85</b>	<b>\$0.00</b>	<b>\$2,757.85</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$26,048,208.23</b>	<b>\$15,432.64</b>		<b>\$13,118.07</b>	<b>\$0.00</b>	<b>\$13,118.07</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*: TECHNOLOGY ALBRIDGE - LIGHT USER	0.00					-76.00
06/15/2018		*: TECHNOLOGY LASER APP	0.00					-18.00
06/15/2018		*: TECHNOLOGYAI INSIGHT	0.00					-7.00
06/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2018		*TECH: CURRENT MONTH TECH-GAVIN	0.00					-135.00
06/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-716.00	\$0.00	\$-716.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,118.07
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-716.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,402.07
Year-to-date Gross	\$144,523.90
Year-to-date Earnings	\$126,328.92

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