



COMMISSION STATEMENT

August 13, 2018

ANNE PLATSKY

10310 Sugarberry Court #402

402

Raleigh, NC 27614

BROKER #: 911

BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/10/2018 | ***-**-54IN - GREEN, MICHAEL | AMCAP FUND-A | 200.00 | 2.40 | 0.00 | 2.40 | 60.0 | 1.44 |
| | ACCOUNT #: 00059289942 | BUY 6 Units @34.5300 | | | | | | |
| 08/10/2018 | ***-**-54IN - GREEN, MICHAEL | THE INVESTMENT COMPANY OF AMERICA-A | 400.00 | 4.80 | 0.00 | 4.80 | 60.0 | 2.88 |
| | ACCOUNT #: 00059289942 | BUY 10 Units @41.9200 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$600.00 | \$7.20 | \$0.00 | \$7.20 | | \$4.32 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 08/03/2018 | ***-**-7692 - EASWARAN, | CAPITAL GROWTH FUND A | 0.00 | 1.81 | 0.00 | 1.81 | 60.0 | 1.09 |
| | ACCOUNT #: 00519256937 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-584I - FIGDOR, WILLIAM | CAPITAL GROWTH FUND A | 0.00 | 14.63 | 0.00 | 14.63 | 60.0 | 8.78 |
| | ACCOUNT #: 00519263525 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-50IN - SPRING, HENRY | CAPITAL GROWTH FUND A | 0.00 | 32.95 | 0.00 | 32.95 | 60.0 | 19.77 |
| | ACCOUNT #: 00519284179 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-1359 - JACKSON, | CAPITAL GROWTH FUND A | 0.00 | 47.77 | 0.00 | 47.77 | 60.0 | 28.66 |
| | ACCOUNT #: 09300005542 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-212I - JACKSON, ROBERT | CAPITAL GROWTH FUND A | 0.00 | 23.50 | 0.00 | 23.50 | 60.0 | 14.10 |
| | ACCOUNT #: 09300005543 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-003I - LEE, MICHELE | FIDELITY ADV GROWTH OPPORT CL T | 0.00 | 8.70 | 0.00 | 8.70 | 60.0 | 5.22 |
| | ACCOUNT #: 00006827196 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-84IR - FIGDOR, WILLIAM | GOLDMAN SACHS ASSET ALLOC GRWTH STR | 0.00 | 2.86 | 0.00 | 2.86 | 60.0 | 1.72 |
| | ACCOUNT #: 00519157872 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-584I - FIGDOR, WILLIAM | GOLDMAN SACHS HIGH YIELD CLASS A | 0.00 | 14.08 | 0.00 | 14.08 | 60.0 | 8.45 |
| | ACCOUNT #: 00519115842 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-289I - CASANOVA, CARLOS | GS FINANCIAL SQ GOVERNMENT FND A | 0.00 | 5.22 | 0.00 | 5.22 | 60.0 | 3.13 |
| | ACCOUNT #: 00519120186 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-1359 - JACKSON, | STRUCTURED U.S. EQUITY CLASS A | 0.00 | 23.36 | 0.00 | 23.36 | 60.0 | 14.02 |
| | ACCOUNT #: 09300005542 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-212I - JACKSON, ROBERT | STRUCTURED U.S. EQUITY CLASS A | 0.00 | 11.27 | 0.00 | 11.27 | 60.0 | 6.76 |
| | ACCOUNT #: 09300005543 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-107I - MONTEAGUDO, ANA | TOLLKEEPER A | 0.00 | 5.49 | 0.00 | 5.49 | 60.0 | 3.29 |
| | ACCOUNT #: 00519243870 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$191.64 | \$0.00 | \$191.64 | | \$114.99 |



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|--------------------------------|--------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| ADJUSTMENTS (continued) | | | | | | | | |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$600.00 | \$198.84 | \$0.00 | \$198.84 | | \$119.31 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$119.31 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|------------------------------|--------------------|
| Net Commission Earned | \$119.31 |
| Year-to-date Earnings | \$12,955.09 |