

COMMISSION STATEMENT**February 15, 2018**

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/15/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-14.00	0.00	-14.00	-7.11
02/15/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	3.10	0.00	3.10	1.57
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$-10.90</u>	<u>\$0.00</u>	<u>\$-10.90</u>	<u>\$-5.54</u>

PRODUCT CATEGORY: STOCKS

02/15/2018	COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-160.88	0.00	-160.88	-81.73
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$-160.88</u>	<u>\$0.00</u>	<u>\$-160.88</u>	<u>\$-81.73</u>

PRODUCT CATEGORY: TRAILERS

02/15/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	2,541.77	0.00	2,541.77	1,291.22
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$2,541.77</u>	<u>\$0.00</u>	<u>\$2,541.77</u>	<u>\$1,291.22</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$2,369.99</u>	<u>\$0.00</u>	<u>\$2,369.99</u>	<u>\$1,203.95</u>

ADJUSTMENTS

16. SAI SIPC PE 2/9/18							-5.79
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-5.79</u>

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$1,203.95
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-5.79
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$1,198.16</u>
							<u>Year-to-date Earnings \$46,355.75</u>