

**COMMISSION STATEMENT****July 15, 2018**DAVID, Z116 ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
06/08/2018	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	43.32	0.00	43.32	90.0	38.99
06/08/2018	KANE, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	42.98	0.00	42.98	90.0	38.68
* REIT SUBTOTAL *			\$0.00	\$86.30	\$0.00	\$86.30		\$77.67
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
07/03/2018	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	23.89	0.00	23.89	90.0	21.50
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$23.89	\$0.00	\$23.89		\$21.50
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/05/2018	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	1,000.00	10.00	0.00	10.00	90.0	9.00
07/05/2018	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	1,000.00	10.00	0.00	10.00	90.0	9.00
07/02/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	15.00	0.56	0.00	0.56	90.0	0.50
07/05/2018	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	350.00	3.50	0.00	3.50	90.0	3.15
07/02/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	15.00	0.56	0.00	0.56	90.0	0.50
07/02/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	15.00	0.56	0.00	0.56	90.0	0.50
07/02/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	15.00	0.56	0.00	0.56	90.0	0.50
07/05/2018	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	340.00	3.40	0.00	3.40	90.0	3.06
07/05/2018	GREIG, AMY	GROWTH PORTFOLIO-529C	310.00	3.10	0.00	3.10	90.0	2.79
* 529 PLANS SUBTOTAL *			\$3,060.00	\$32.24	\$0.00	\$32.24		\$29.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	15.00	0.56	0.00	0.56	90.0	0.50
07/05/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$65.00	\$3.06	\$0.00	\$3.06		\$2.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/05/2018	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
* VARIABLE ANNUITIES SUBTOTAL *			\$888.00	\$22.20	\$0.00	\$22.20		\$19.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/22/2018	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	4.05	0.00	4.05	90.0	3.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4.05	\$0.00	\$4.05		\$3.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	RUSCH, ROGER	LFG TRAILS	106,228.76	265.57	0.00	265.57	90.0	239.01
06/30/2018	HAMILTON, RONALD	LFG TRAILS	314,353.97	785.88	0.00	785.88	90.0	707.29
06/30/2018	WAYO, DARLENE	LFG TRAILS	160,595.07	401.49	0.00	401.49	90.0	361.34
06/30/2018	ROBERTSON, MARTIN	LFG TRAILS	72,869.71	182.17	0.00	182.17	90.0	163.95
06/30/2018	BIRKHOLZ, WILLIAM	LFG TRAILS	73,178.85	182.95	0.00	182.95	90.0	164.66
06/29/2018	DEHAHN, RAYMOND	ALLIANZ VISION	0.00	47.05	0.00	47.05	90.0	42.35
06/29/2018	DEHAHN, RAYMOND	ALLIANZ VISION	0.00	47.76	0.00	47.76	90.0	42.98
06/29/2018	RUSCH, JOSHUA	ALLIANZ VISION	0.00	84.12	0.00	84.12	90.0	75.71
06/29/2018	SUS, SANDRA	ALLIANZ VISION	0.00	196.61	0.00	196.61	90.0	176.95
06/29/2018	HORNACEK, JOSEPH	ALLIANZ VISION	0.00	333.96	0.00	333.96	90.0	300.56
06/29/2018	DENNE, THOMAS	ALLIANZ VISION	0.00	168.56	0.00	168.56	90.0	151.70
06/29/2018	SCOTT, DEBRA	AXA ACCUMULATOR PLUS (NQ)	0.00	145.95	0.00	145.95	90.0	131.36
07/01/2018	HOOTEN, ROBERT	ING ACCESS	101,874.01	318.36	0.00	318.36	90.0	286.52
07/02/2018	HARTGERINK, EDWARD	ING LANDMARK	48,673.82	121.68	0.00	121.68	90.0	109.51
07/01/2018	VILLASENOR, PEDRO	ING PREMIUM PLUS	19,312.94	18.11	0.00	18.11	90.0	16.30
07/01/2018	VILLASENOR, ANA MARIE	ING PREMIUM PLUS	2,457.83	2.31	0.00	2.31	90.0	2.08
07/01/2018	VILLASENOR, PEDRO	ING PREMIUM PLUS	2,966.88	2.78	0.00	2.78	90.0	2.50
07/01/2018	VILLASENOR, PEDRO	ING PREMIUM PLUS	19,312.94	18.11	0.00	18.11	90.0	16.30
07/01/2018	VILLASENOR, PEDRO	ING PREMIUM PLUS	2,457.83	2.31	0.00	2.31	90.0	2.08
07/01/2018	VILLASENOR, PEDRO	ING PREMIUM PLUS	2,966.88	2.78	0.00	2.78	90.0	2.50
06/30/2018	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	0.00	15.16	0.00	15.16	90.0	13.64
06/30/2018	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	0.00	40.73	0.00	40.73	90.0	36.66
06/30/2018	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	0.00	50.12	0.00	50.12	90.0	45.11
06/30/2018	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	0.00	23.72	0.00	23.72	90.0	21.35
06/30/2018	ROST, DAVID	JNL PERSPECTIVE II 04/14	0.00	645.15	0.00	645.15	90.0	580.64
06/30/2018	ZUPEK, ALBERT	JNL PERSPECTIVE II 04/14	0.00	354.65	0.00	354.65	90.0	319.19
06/30/2018	ZUPEK, STEVEN	JNL PERSPECTIVE II 04/14	0.00	211.26	0.00	211.26	90.0	190.13
06/30/2018	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	0.00	243.49	0.00	243.49	90.0	219.14
06/30/2018	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	0.00	6.62	0.00	6.62	90.0	5.96
06/30/2018	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	0.00	5.73	0.00	5.73	90.0	5.16

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	0.00	4.90	0.00	4.90	90.0	4.41
06/30/2018	HOSS, GAIL	JNL PERSPECTIVE L	0.00	81.12	0.00	81.12	90.0	73.01
06/30/2018	PECKMAN, MARCIA	JNL PERSPECTIVE L	0.00	80.83	0.00	80.83	90.0	72.75
06/30/2018	HOOTEN, ROBERT	JNL PERSPECTIVE L	0.00	36.60	0.00	36.60	90.0	32.94
06/30/2018	KAEDING, HAROLD	JNL PERSPECTIVE L	0.00	49.72	0.00	49.72	90.0	44.75
06/30/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	0.00	60.50	0.00	60.50	90.0	54.45
06/30/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	0.00	197.31	0.00	197.31	90.0	177.58
06/30/2018	TASKONIS, RICHARD	JNL PERSPECTIVE L	0.00	141.16	0.00	141.16	90.0	127.04
06/30/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	0.00	44.66	0.00	44.66	90.0	40.19
06/30/2018	FLETCHER, JEWEL	JNL PERSPECTIVE L	0.00	21.86	0.00	21.86	90.0	19.67
06/30/2018	SMITH, BETTY	JNL PERSPECTIVE L	0.00	35.87	0.00	35.87	90.0	32.28
06/30/2018	NONDORF, DENNIS	JNL PERSPECTIVE L	0.00	0.38	0.00	0.38	90.0	0.34
06/30/2018	WILLIAMS, WARNER	JNL PERSPECTIVE L	0.00	19.62	0.00	19.62	90.0	17.66
06/30/2018	ZUPFER, CHARLES	JNL PERSPECTIVE L	0.00	24.06	0.00	24.06	90.0	21.65
06/30/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	0.00	27.13	0.00	27.13	90.0	24.42
06/30/2018	SPERER, MARION	JNL PERSPECTIVE L	0.00	18.25	0.00	18.25	90.0	16.43
06/30/2018	VERBETEN, KAREN	JNL PERSPECTIVE L	0.00	10.10	0.00	10.10	90.0	9.09
06/30/2018	BOLAND, TERRENCE	JNL PERSPECTIVE L	0.00	27.67	0.00	27.67	90.0	24.90
06/30/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	30.38	0.00	30.38	90.0	27.34
06/30/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	28.19	0.00	28.19	90.0	25.37
06/30/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	0.00	23.59	0.00	23.59	90.0	21.23
06/30/2018	BODVEN, BRUCE	JNL PERSPECTIVE L	0.00	65.40	0.00	65.40	90.0	58.86
06/30/2018	PAULICK, BERNARD	JNL PERSPECTIVE L	0.00	113.82	0.00	113.82	90.0	102.44
06/30/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	0.00	116.60	0.00	116.60	90.0	104.94
06/30/2018	RACK, JOAN	JNL PERSPECTIVE L	0.00	156.16	0.00	156.16	90.0	140.54
06/30/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	0.00	88.47	0.00	88.47	90.0	79.62
06/30/2018	INGHAM, LYNNE	JNL PERSPECTIVE L	0.00	58.01	0.00	58.01	90.0	52.21
06/30/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	0.00	142.82	0.00	142.82	90.0	128.54
06/30/2018	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	0.00	171.01	0.00	171.01	90.0	153.91
06/30/2018	CRAWFORD, JEANNE	JNL PERSPECTIVE L	0.00	118.55	0.00	118.55	90.0	106.70
06/30/2018	THOMPSON, CAROL	JNL PERSPECTIVE L	0.00	61.04	0.00	61.04	90.0	54.94
06/30/2018	SHELDON, SUSAN	JNL PERSPECTIVE L	0.00	39.09	0.00	39.09	90.0	35.18
06/30/2018	SHELDON, MARK	JNL PERSPECTIVE L	0.00	106.32	0.00	106.32	90.0	95.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	0.00	317.37	0.00	317.37	90.0	285.63
06/30/2018	PERONE, PASQUALE	JNL PERSPECTIVE L	0.00	715.93	0.00	715.93	90.0	644.34
06/30/2018	PECKMAN, ROGER	JNL PERSPECTIVE L	0.00	152.81	0.00	152.81	90.0	137.53
06/30/2018	RUFFOLO, BENNY	JNL PERSPECTIVE L	0.00	117.31	0.00	117.31	90.0	105.58
06/30/2018	ZUPEK, DAVID	JNL PERSPECTIVE L	0.00	264.74	0.00	264.74	90.0	238.27
06/30/2018	FALBO, DIANE	JNL PERSPECTIVE L	0.00	785.23	0.00	785.23	90.0	706.71
06/30/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	0.00	228.02	0.00	228.02	90.0	205.22
06/30/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	0.00	426.61	0.00	426.61	90.0	383.95
06/30/2018	HARRIS, BILLY	JNL PERSPECTIVE L	0.00	310.55	0.00	310.55	90.0	279.50
06/30/2018	HEBERLING, DONALD	JNL PERSPECTIVE L	0.00	16.94	0.00	16.94	90.0	15.25
06/30/2018	WAYO, DARLENE	JNL PERSPECTIVE L	0.00	55.00	0.00	55.00	90.0	49.50
06/30/2018	HOSS, GAIL	JNL PERSPECTIVE L	0.00	81.40	0.00	81.40	90.0	73.26
06/30/2018	MUELLER, ROMAYNE	JNL PERSPECTIVE L	0.00	46.73	0.00	46.73	90.0	42.06
06/30/2018	STARK, GLORIA	JNL PERSPECTIVE L	0.00	48.29	0.00	48.29	90.0	43.46
06/30/2018	GIBSON, ROBERT	JNL PERSPECTIVE L	0.00	237.94	0.00	237.94	90.0	214.15
06/30/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	0.00	25.69	0.00	25.69	90.0	23.12
06/30/2018	KELLOGG, MICHAEL	JNL PERSPECTIVE L	0.00	185.17	0.00	185.17	90.0	166.65
06/30/2018	BIRKHOLZ, GAY	JNL PERSPECTIVE L	0.00	92.82	0.00	92.82	90.0	83.54
06/30/2018	HORNACEK, JOSEPH	JNL PERSPECTIVE L	0.00	460.95	0.00	460.95	90.0	414.86
06/30/2018	ZUPFER, JO	JNL PERSPECTIVE L	0.00	72.59	0.00	72.59	90.0	65.33
06/30/2018	BECKER, JOHN	JNL PERSPECTIVE L	0.00	101.83	0.00	101.83	90.0	91.65
06/30/2018	BECKER, JOHN	JNL PERSPECTIVE L	0.00	126.07	0.00	126.07	90.0	113.46
06/30/2018	BAHR, JEFFREY	JNL PERSPECTIVE L	0.00	101.74	0.00	101.74	90.0	91.57
06/30/2018	STEPHENS, CAROL	JNL PERSPECTIVE L	0.00	470.92	0.00	470.92	90.0	423.83
06/30/2018	GLENNAN, DONALD	JNL PERSPECTIVE L	0.00	277.36	0.00	277.36	90.0	249.62
06/30/2018	CAPSTRAW, RONALD	JNL PERSPECTIVE L	0.00	308.40	0.00	308.40	90.0	277.56
06/30/2018	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	0.00	178.45	0.00	178.45	90.0	160.61
06/30/2018	DENNE, FRANCES	PRU AS APEX II	0.00	40.49	0.00	40.49	90.0	36.44
06/30/2018	DENNE, FRANCES	PRU AS APEX II	0.00	13.50	0.00	13.50	90.0	12.15
06/30/2018	HOOTEN, AUDREY	PRU AS APEX II	0.00	42.41	0.00	42.41	90.0	38.17
06/30/2018	HOOTEN, AUDREY	PRU AS APEX II	0.00	14.14	0.00	14.14	90.0	12.73
06/30/2018	FALBO, LEWIS	PRU AS APEX II	0.00	151.69	0.00	151.69	90.0	136.52
06/30/2018	FALBO, LEWIS	PRU AS APEX II	0.00	37.92	0.00	37.92	90.0	34.13

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	FALBO, LEWIS	PRU AS APEX II	0.00	138.43	0.00	138.43	90.0	124.59
06/30/2018	FALBO, LEWIS	PRU AS APEX II	0.00	34.61	0.00	34.61	90.0	31.15
06/30/2018	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	0.00	72.11	0.00	72.11	90.0	64.90
06/30/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	0.00	3.19	0.00	3.19	90.0	2.87
06/05/2018	RACK, JOAN	TRANSAMERICA LIBERTY	0.00	141.82	0.00	141.82	90.0	127.64
06/13/2018	SOENS, JAMES	TRANSAMERICA LIBERTY	0.00	88.09	0.00	88.09	90.0	79.28
06/13/2018	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	0.00	252.52	0.00	252.52	90.0	227.27
06/15/2018	FRAID, JEROME	TRANSAMERICA LIBERTY	0.00	74.48	0.00	74.48	90.0	67.03
06/17/2018	MANDLI, JODI	TRANSAMERICA LIBERTY	0.00	29.63	0.00	29.63	90.0	26.67
06/21/2018	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	0.00	31.12	0.00	31.12	90.0	28.01
06/23/2018	STARK, GLORIA	TRANSAMERICA LIBERTY	0.00	31.27	0.00	31.27	90.0	28.14
06/23/2018	DENNE, THOMAS	TRANSAMERICA LIBERTY	0.00	32.09	0.00	32.09	90.0	28.88
06/23/2018	ZUPEK TRUST	TRANSAMERICA LIBERTY	0.00	0.95	0.00	0.95	90.0	0.86
06/23/2018	ZUPEK TRUST	TRANSAMERICA LIBERTY	0.00	1.05	0.00	1.05	90.0	0.95
06/24/2018	HAMILTON, RONALD	TRANSAMERICA LIBERTY	0.00	167.09	0.00	167.09	90.0	150.38
06/24/2018	KASDORF, LINDA	TRANSAMERICA LIBERTY	0.00	56.82	0.00	56.82	90.0	51.14
06/26/2018	WAYO, DARLENE	TRANSAMERICA LIBERTY	0.00	133.78	0.00	133.78	90.0	120.40
06/27/2018	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	0.00	165.87	0.00	165.87	90.0	149.28
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$927,249.49	\$15,088.26	\$0.00	\$15,088.26		\$13,579.49
*** DIRECT TRANSACTIONS TOTAL ***			\$931,262.49	\$15,260.00	\$0.00	\$15,260.00		\$13,734.04

SPLIT TRANSACTIONS**BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404**

06/30/2018	BROWN, CLAIRE	LFG TRAILS	87,094.46	54.44	0.00	54.44	90.0	49.00
* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *			\$87,094.46	\$54.44	\$0.00	\$54.44		\$49.00
*** SPLIT TRANSACTIONS TOTAL ***			\$87,094.46	\$54.44	\$0.00	\$54.44		\$49.00

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #10 </u>								
/ /	WIKAR, HALINA	AGGRESSIVE AGE BASED 0 - 02 - A	0.00	3.00	0.00	3.00	0.0	2.70
07/02/2018	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
06/20/2018	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	0.0	1.80
* MARK-H122 HUBER SUBTOTAL *			\$100.00	\$7.00	\$0.00	\$7.00		\$6.30
<u> BROKER: R121-RICHARD RODRIGUEZ #88 </u>								
06/30/2018	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	0.00	63.88	0.00	63.88	0.0	57.49
06/30/2018	HALL, MICHAEL	JNL PERSPECTIVE L	0.00	110.99	0.00	110.99	0.0	99.89
06/30/2018	HALL, MICHAEL	JNL PERSPECTIVE L	0.00	112.03	0.00	112.03	0.0	100.83
06/30/2018	ROSE, PETER	JNL PERSPECTIVE L	0.00	55.96	0.00	55.96	0.0	50.36
* R121-RICHARD RODRIGUEZ SUBTOTAL *			\$0.00	\$342.86	\$0.00	\$342.86		\$308.57
<u> BROKER: MARK-H122 HUBER #89 </u>								
06/26/2018	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	57.54	0.58	0.00	0.58	0.0	0.52
06/26/2018	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	191.80	1.92	0.00	1.92	0.0	1.73
06/26/2018	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	36.00	0.36	0.00	0.36	0.0	0.32
06/26/2018	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	195.00	1.95	0.00	1.95	0.0	1.76
06/26/2018	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	87.65	0.88	0.00	0.88	0.0	0.79
06/26/2018	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	43.82	0.44	0.00	0.44	0.0	0.40
07/02/2018	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	0.0	0.36
07/02/2018	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
06/20/2018	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
06/26/2018	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	43.83	0.44	0.00	0.44	0.0	0.40
06/26/2018	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	87.65	0.88	0.00	0.88	0.0	0.79
06/26/2018	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	191.80	1.92	0.00	1.92	0.0	1.73
06/26/2018	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	57.53	0.58	0.00	0.58	0.0	0.52
06/26/2018	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	36.00	0.36	0.00	0.36	0.0	0.32
06/26/2018	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	195.00	1.95	0.00	1.95	0.0	1.76
07/02/2018	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
06/20/2018	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	4.32	0.00	4.32	0.0	3.89
07/02/2018	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
06/26/2018	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,650.00	28.88	0.00	28.88	0.0	25.99
06/26/2018	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	360.00	6.30	0.00	6.30	0.0	5.67
06/20/2018	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-H122 HUBER #89 </u>								
06/20/2018	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
06/26/2018	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	43.16	0.43	0.00	0.43	0.0	0.39
06/26/2018	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	143.85	1.44	0.00	1.44	0.0	1.30
07/02/2018	KRELLER, KRYSTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	0.0	0.36
06/26/2018	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	72.00	0.72	0.00	0.72	0.0	0.65
06/26/2018	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	390.00	3.90	0.00	3.90	0.0	3.51
06/26/2018	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	50.02	2.00	0.00	2.00	0.0	1.80
06/26/2018	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	333.49	13.34	0.00	13.34	0.0	12.01
06/26/2018	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	59.11	2.36	0.00	2.36	0.0	2.12
06/26/2018	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	35.46	1.42	0.00	1.42	0.0	1.28
06/26/2018	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	50.02	2.00	0.00	2.00	0.0	1.80
06/26/2018	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	333.49	13.34	0.00	13.34	0.0	12.01
06/26/2018	WIKAR, HALINA	MFS GROWTH C	18.00	0.18	0.00	0.18	0.0	0.16
06/26/2018	WIKAR, HALINA	MFS GROWTH C	97.50	0.98	0.00	0.98	0.0	0.88
06/26/2018	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	59.12	2.36	0.00	2.36	0.0	2.12
06/26/2018	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	35.47	1.42	0.00	1.42	0.0	1.28
06/26/2018	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	35.06	0.35	0.00	0.35	0.0	0.32
06/21/2018	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
06/21/2018	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
06/20/2018	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
07/02/2018	KRELLER, KRYSTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	0.0	0.36
07/02/2018	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
06/21/2018	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
06/21/2018	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
06/26/2018	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	390.00	3.90	0.00	3.90	0.0	3.51
06/26/2018	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	72.00	0.72	0.00	0.72	0.0	0.65
06/26/2018	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	57.54	0.58	0.00	0.58	0.0	0.52
06/26/2018	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	191.80	1.92	0.00	1.92	0.0	1.73
06/26/2018	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	8.76	0.09	0.00	0.09	0.0	0.08
06/26/2018	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	87.65	0.88	0.00	0.88	0.0	0.79
07/02/2018	KRELLER, KRYSTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	0.0	0.36
06/20/2018	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	4.32	0.00	4.32	0.0	3.89
06/26/2018	WIKAR, SYLVIA	MFS UTILITIES B	59.11	2.36	0.00	2.36	0.0	2.12

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK-H122 HUBER #89 </u>								
06/26/2018	WIKAR, SYLVIA	MFS UTILITIES B	35.47	1.42	0.00	1.42	0.0	1.28
07/02/2018	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
06/20/2018	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
06/20/2018	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
06/21/2018	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
06/21/2018	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
06/26/2018	WIKAR, HALINA	MFS UTILITIES C	54.00	0.54	0.00	0.54	0.0	0.49
06/26/2018	WIKAR, HALINA	MFS UTILITIES C	292.50	2.93	0.00	2.93	0.0	2.64
06/26/2018	WAL, SEBASTIAN	MFS UTILITIES C	71.93	0.72	0.00	0.72	0.0	0.65
06/26/2018	WAL, SEBASTIAN	MFS UTILITIES C	239.74	2.40	0.00	2.40	0.0	2.16
06/26/2018	THOMAS, SYLVIA	MFS UTILITIES C	43.82	0.44	0.00	0.44	0.0	0.40
06/26/2018	THOMAS, SYLVIA	MFS UTILITIES C	87.63	0.88	0.00	0.88	0.0	0.79
07/02/2018	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	0.0	0.36
07/02/2018	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62
07/02/2018	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18
06/20/2018	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	4.32	0.00	4.32	0.0	3.89
06/26/2018	WIKAR, SYLVIA	MFS VALUE B	59.11	2.36	0.00	2.36	0.0	2.12
06/26/2018	WIKAR, SYLVIA	MFS VALUE B	35.47	1.42	0.00	1.42	0.0	1.28
06/26/2018	SZAJEWSKI, REGINA	MFS VALUE B	51.54	2.06	0.00	2.06	0.0	1.85
06/26/2018	SZAJEWSKI, REGINA	MFS VALUE B	343.59	13.74	0.00	13.74	0.0	12.37
06/20/2018	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
06/20/2018	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
06/26/2018	WIKAR, HALINA	MFS VALUE C	72.00	0.72	0.00	0.72	0.0	0.65
06/26/2018	WIKAR, HALINA	MFS VALUE C	390.00	3.90	0.00	3.90	0.0	3.51
07/02/2018	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18
07/02/2018	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	0.00	6.82	0.00	6.82	0.0	6.14
* MARK-H122 HUBER SUBTOTAL *			\$9,713.03	\$184.48	\$0.00	\$184.48		\$166.07
<u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u>								
06/18/2018	WIKAR, HALINA	AGGRESSIVE AGE BASED 0 - 02 - A	0.00	5.31	0.00	5.31	0.0	4.78
06/25/2018	WOLF, XANDER	ALGER SPECTRA A	0.00	1.13	0.00	1.13	0.0	1.02
06/25/2018	WOLF, AADRA	ALGER SPECTRA A	0.00	1.13	0.00	1.13	0.0	1.02
06/11/2018	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.11	0.00	1.11	0.0	1.00
06/11/2018	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.25	0.00	1.25	0.0	1.13

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u>								
06/20/2018	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
06/20/2018	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
06/25/2018	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.44	0.00	1.44	0.0	1.30
06/25/2018	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.62	0.00	1.62	0.0	1.46
06/25/2018	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.98	0.00	0.98	0.0	0.88
06/25/2018	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.98	0.00	0.98	0.0	0.88
05/31/2018	CIPPARRONE, NANCY	BR TOTAL INC & REAL ESTATE FUND A	20,183.36	4.29	0.00	4.29	0.0	3.86
06/18/2018	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.89	0.00	2.89	0.0	2.60
06/29/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-2,450.00	28.00	27.00	1.00	0.0	0.90
06/29/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,820.00	28.00	27.00	1.00	0.0	0.90
07/02/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-135.00	0.90	26.50	-25.60	0.0	-23.04
07/05/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-5,000.00	30.00	27.00	3.00	0.0	2.70
07/05/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,500.00	30.00	27.00	3.00	0.0	2.70
07/05/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-5,500.00	30.00	27.00	3.00	0.0	2.70
07/06/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,000.00	30.00	27.00	3.00	0.0	2.70
07/06/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,500.00	30.00	27.00	3.00	0.0	2.70
07/09/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,020.00	30.00	27.00	3.00	0.0	2.70
07/09/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-2,382.58	17.01	27.00	-9.99	0.0	-8.99
07/10/2018	ROESKE, MICHAEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,000.00	30.00	27.00	3.00	0.0	2.70
07/10/2018	RAVEN, JOEL	INTERCLOUD SYS INC COM PAR \$0.0001	-4,000.00	30.00	27.00	3.00	0.0	2.70
 * R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL * 			\$-25,124.22	\$337.04	\$323.50	\$13.54		\$12.20
<u> BROKER: MICHAEL VENTURINI #127 </u>								
06/30/2018	CAMPOLO, JON	JNL PERSPECTIVE L	0.00	16.90	0.00	16.90	0.0	15.21
06/30/2018	CAMPOLO, JUDITH	JNL PERSPECTIVE L	0.00	13.65	0.00	13.65	0.0	12.29
 * MICHAEL VENTURINI SUBTOTAL * 			\$0.00	\$30.55	\$0.00	\$30.55		\$27.50
<u> BROKER: WENDY GOODING #158 </u>								
06/22/2018	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	10.56	0.00	10.56	0.0	3.70
 * WENDY GOODING SUBTOTAL * 			\$0.00	\$10.56	\$0.00	\$10.56		\$3.70
<u> BROKER: R133-MARK/DAVE HUBER/ZUPEK #269 </u>								
06/15/2018	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.55	0.00	0.55	0.0	0.50
06/15/2018	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	0.0	0.39
06/15/2018	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.67	0.00	0.67	0.0	0.60

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</u>								
07/05/2018	CLINGERMAN, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	0.0	2.25
06/15/2018	BATIO, KAREN	AM FUNDS ICA A	0.00	0.63	0.00	0.63	0.0	0.57
06/15/2018	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	0.0	0.45
06/08/2018	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	60.17	0.00	60.17	0.0	54.15
07/03/2018	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	14.19	0.00	14.19	0.0	12.77
07/03/2018	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	26.61	0.00	26.61	0.0	23.95
* R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL *			\$50.00	\$106.25	\$0.00	\$106.25		\$95.63
<u>BROKER: MARK-H150 HUBER #396</u>								
07/06/2018	ARNETT, DANIEL	LINCOLN LIFE	408.00	10.20	0.00	10.20	0.0	9.18
* MARK-H150 HUBER SUBTOTAL *			\$408.00	\$10.20	\$0.00	\$10.20		\$9.18
*** OVERRIDE TRANSACTIONS TOTAL ***			\$-14,853.19	\$1,028.94	\$323.50	\$705.44		\$629.15

ADJUSTMENTS

07/15/2018	*AI INSIGHT: AI INSIGHT	0.00	-7.00
07/15/2018	*AI INSIGHT: AI INSIGHT - MARK	0.00	-7.00
07/15/2018	*ALBRIDGE: FULL USER - DAVID	0.00	-88.00
07/15/2018	*ALBRIDGE: FULL USER - MARK	0.00	-88.00
07/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
07/15/2018	*E & O INSURANCE: CURRENT MONTH INS-MARK	0.00	-300.00
07/15/2018	*GLOBAL RELAY: FACEBOOK - DAVID	0.00	-2.50
07/15/2018	*GLOBAL RELAY: FACEBOOK - MARK	0.00	-2.50
07/15/2018	*GLOBAL RELAY: WEBSITE - DAVID	0.00	-7.50
07/15/2018	*GLOBAL RELAY: WEBSITE - MARK	0.00	-7.50
07/15/2018	*MARKETING PRO: MARKETING PRO - DAVID	0.00	-10.00
07/15/2018	*MARKETING PRO: STANDARD - MARK	0.00	-10.00
07/15/2018	*TECH: ADMIN - L JOCIUS	0.00	-130.00
07/15/2018	*TECH: ADMIN - RON HAMILTON	0.00	-60.00
07/15/2018	*TECHNOLOGY: ADMIN - AMANDA MOON	0.00	-130.00
07/15/2018	*TECHNOLOGY: BASIC - DAVID	0.00	-180.00

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<u>ADJUSTMENTS</u>								
07/15/2018		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,510.00

BROKER COMMISSION TOTALS

Broker Transactions	\$13,734.04
Split Transactions	\$49.00
Override Transactions	\$629.15
Adjustments	\$-1,510.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,902.19
Year-to-date Gross	\$205,853.36
Year-to-date Earnings	\$293,499.09

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