

COMMISSION STATEMENT

April 19, 2018

HOUSE
3200 N. Central Ave., Ste 200
Phoenix, AZ 85012

BROKER #: 73853
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | |
|---------------------------|----------------------|----------------------|---------------|----------------|---------------|----------------|---------------|
| 04/19/2018 | MELBY (73853), HOUSE | SAI SECURITES INCOME | 0.00 | 23.82 | 0.00 | 23.82 | 7.15 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$23.82</u> | <u>\$0.00</u> | <u>\$23.82</u> | <u>\$7.15</u> |

PRODUCT CATEGORY: TRAILERS

| | | | | | | | |
|-----------------------------------|----------------------|------------|---------------|-------------------|---------------|-------------------|-----------------|
| 04/19/2018 | MELBY (73853), HOUSE | SAI.TRAILS | 0.00 | 2,926.06 | 0.00 | 2,926.06 | 877.82 |
| * TRAILERS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$2,926.06</u> | <u>\$0.00</u> | <u>\$2,926.06</u> | <u>\$877.82</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$0.00</u> | <u>\$2,949.88</u> | <u>\$0.00</u> | <u>\$2,949.88</u> | <u>\$884.97</u> |

ADJUSTMENTS

| | | | | | | | |
|---------------------------|--|--|---------------|---------------|---------------|---------------|----------------|
| 19. SAI SIPC PE 4/13/18 | | | | | | | -3.01 |
| *** ADJUSTMENTS TOTAL *** | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-3.01</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$884.97 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-3.01 |
| Prior Period Balance | \$0.00 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$881.96 |
| Year-to-date Earnings | \$3,917.22 |