



All Companies

01/30/2018
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COMMISSION STATEMENT

February 01, 2018

MOYNIHAN/SSI
4813 BELLFLOWER WAY

BROKER #: 9527
BRANCH: HOME OFFICE

FORT WORTH, TX 76123

COMMISSION STATEMENT for MOYNIHAN/SSI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-PUTNAM	B	70.71	70.71	0.00	70.71	90.0	63.64
01/08/2018	00063673248	SAENZ, OLGA LYDIA AMERICAN FUNDS AMCAP FD	B	24.89	0.68	0.00	0.68	90.0	0.61
01/08/2018	00063673248	SAENZ, OLGA LYDIA AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
01/16/2018	00063673248	SAENZ, OLGA LYDIA AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
01/16/2018	00063673248	SAENZ, OLGA LYDIA AMERICAN FUNDS AMCAP FD	B	25.50	0.70	0.00	0.70	90.0	0.63
01/08/2018	00064570660	MONTALVO, VICTOR M AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
01/08/2018	00064570660	MONTALVO, VICTOR M AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
01/16/2018	00064570660	MONTALVO, VICTOR M AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
01/16/2018	00064570660	MONTALVO, VICTOR M AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085015791	CRUZ, DIANA B. AMERICAN FUNDS 2025 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/08/2018	00085015791	CRUZ, DIANA B. AMERICAN FUNDS 2025 TARGET DATE-A	B	27.60	0.76	0.00	0.76	90.0	0.68
01/16/2018	00085015791	CRUZ, DIANA B. AMERICAN FUNDS 2025 TARGET DATE-A	B	27.84	0.77	0.00	0.77	90.0	0.69
01/16/2018	00085015791	CRUZ, DIANA B. AMERICAN FUNDS 2025 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/08/2018	00085038819	ESTRADA, GRACIELA AMERICAN FUNDS 2015 TARGET DATE-A	B	25.20	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085038819	ESTRADA, GRACIELA AMERICAN FUNDS 2015 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/16/2018	00085038819	ESTRADA, GRACIELA AMERICAN FUNDS 2015 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
01/16/2018	00085038819	ESTRADA, GRACIELA AMERICAN FUNDS 2015 TARGET DATE-A	B	25.13	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD BD FD B	B	24.94	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD BD FD B	B	41.57	1.14	0.00	1.14	90.0	1.03
01/16/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD BD FD B	B	41.57	1.14	0.00	1.14	90.0	1.03
01/16/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD BD FD B	B	24.94	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD GROWT B	B	37.42	1.03	0.00	1.03	90.0	0.93
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD GROWT B	B	62.36	1.71	0.00	1.71	90.0	1.54
01/16/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD GROWT B	B	62.36	1.71	0.00	1.71	90.0	1.54
01/16/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS CAPITAL WORLD GROWT B	B	37.42	1.03	0.00	1.03	90.0	0.93
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS INVESTMENT COMP OF A B	B	37.42	1.03	0.00	1.03	90.0	0.93
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS INVESTMENT COMP OF A B	B	62.36	1.71	0.00	1.71	90.0	1.54
01/16/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS INVESTMENT COMP OF A B	B	62.36	1.71	0.00	1.71	90.0	1.54
01/16/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS INVESTMENT COMP OF A B	B	37.42	1.03	0.00	1.03	90.0	0.93
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS NEW PERSPECTIVE FD	B	24.94	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085500213	MEISER, JEFFREY AMERICAN FUNDS NEW PERSPECTIVE FD	B	41.57	1.14	0.00	1.14	90.0	1.03

COMMISSION STATEMENT for MOYNIHAN/SSI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018	00085500213	MEISER, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	41.57	1.14	0.00	1.14	90.0	1.03
01/16/2018	00085500213	MEISER, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	24.94	0.69	0.00	0.69	90.0	0.62
01/08/2018	00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	24.00	0.66	0.00	0.66	90.0	0.59
01/08/2018	00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	30.00	0.83	0.00	0.83	90.0	0.75
01/16/2018	00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	30.00	0.83	0.00	0.83	90.0	0.75
01/16/2018	00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	24.00	0.66	0.00	0.66	90.0	0.59
* MUTUAL FUNDS SUBTOTAL *					\$1,400.03	\$107.30	\$0.00	\$107.30		\$96.57

PRODUCT CATEGORY: STOCKS

01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	168.57	168.57	0.00	168.57	90.0	151.71
		* STOCKS SUBTOTAL *		\$168.57	\$168.57	\$0.00	\$168.57		\$151.71

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	17.09	0.00	17.09	90.0	15.38
02/01/2018 TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES	0.00	8.72	0.00	8.72	90.0	7.85
02/01/2018 TRAIL COMMISSIONS	INVESCO LTD FEES	0.00	0.14	0.00	0.14	90.0	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$25.95	\$0.00	\$25.95		\$23.36
*** BROKER TRANSACTIONS TOTAL ***		\$1,568.60	\$301.82	\$0.00	\$301.82		\$271.64

ADJUSTMENTS

02/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-30.00
02/01/2018	HILLTOP: MISC TICKET FEES	0.00	0.00	0.00	0.00	30.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$271.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$17.32

Check Amount	\$288.96
Year-to-date Earnings	\$459.63