

COMMISSION STATEMENT

June 09, 2017

COLIN GLENNON
711 ELLINGTON ROADBROKER #: 17
BRANCH: HOME OFFICE

RIDGEWOOD, NJ 07450

COMMISSION STATEMENT for COLIN GLENNON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
<u>BROKER TRANSACTIONS</u>											
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>											
05/09/2017	75501350	GLENNON, COLIN		NUVEEN INVT FDS INC EQUITY INDEX FD B	0.00	0.12	0.00	0.12	50.0	0.00	
05/09/2017	75501429	COMACK, KATHLEEN		FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	6.33	0.00	6.33	50.0	3.17	
05/09/2017	75501609	BUCHNER, LORETTA		GOLDMAN SACHS U S EQUITY INSIGHTS F B	0.00	3.60	0.00	3.60	50.0	1.80	
05/09/2017	75501609	BUCHNER, LORETTA		GOLDMAN SACHS TOLLKEEPER FUND CLASS B	0.00	14.43	0.00	14.43	50.0	7.22	
05/09/2017	75501609	BUCHNER, LORETTA		JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	1.95	0.00	1.95	50.0	0.98	
05/09/2017	75501668	PARKER, FRANCINE		FRK SM CAP GRWTH FND II - CL A	B	0.00	5.05	0.00	5.05	50.0	2.53
05/09/2017	75501668	PARKER, FRANCINE		GLOBAL OPPORTUNITIES A	B	0.00	7.23	0.00	7.23	50.0	3.62
05/09/2017	75501669	PARKER, JAMES		FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.26	0.00	0.26	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$38.97	\$0.00	\$38.97		\$19.32	
*** BROKER TRANSACTIONS TOTAL ***					\$0.00	\$38.97	\$0.00	\$38.97		\$19.32	

BROKER COMMISSION TOTALS

Broker Transactions	\$19.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.05
SIPC Assessment	\$-0.73

Check Amount	\$18.54
Year-to-date Earnings	\$380.02