



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
 Page No. 1

DELMAR (BO)-M143 MCKINNEY
 5 Red Bud Trail

BROKER #: M143
 BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/07/2017	FUESSEL IRREV TR, NORMAN	RESOURCE R E DIVERSIFIED INC FUND A	70,005.06	3,850.28	0.00	3,850.28	90.0	3,465.25
* INTERVAL FUNDS SUBTOTAL *			\$70,005.06	\$3,850.28	\$0.00	\$3,850.28		\$3,465.25
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/07/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
08/07/2017	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
08/21/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.60	0.00	1.60	90.0	1.44
08/15/2017	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.20	0.00	3.20	90.0	2.88
08/21/2017	BRUECKNER, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	1.60	0.00	1.60	90.0	1.44
07/31/2017	KORNEGAY, ALISA	BLACKROCK 19452W490	0.00	1.17	0.00	1.17	90.0	1.05
07/31/2017	NAIZER, JOHNNIE	BLACKROCK 19452W490	0.00	1.87	0.00	1.87	90.0	1.68
07/31/2017	SMITH, MARLO	BLACKROCK 19452W490	0.00	0.46	0.00	0.46	90.0	0.41
07/31/2017	SMITH, MARLO	BLK AGG GROWTH A	0.00	0.36	0.00	0.36	90.0	0.32
08/01/2017	LESCHBER, PAMELA	FEDERATED EQUITY INCOME A	0.00	2.57	0.00	2.57	90.0	2.31
08/01/2017	LESCHBER, PAMELA	FEDERATED MARKET OPPORTUNITY A	0.00	1.10	0.00	1.10	90.0	0.99
08/14/2017	LINDELL, HOWARD	LORD ABBETT & CO 543916530	0.00	152.93	0.00	152.93	90.0	137.64
08/14/2017	EVANS, DAVID	LORD ABBETT & CO 543916530	0.00	1.41	0.00	1.41	90.0	1.27
08/14/2017	BUTLER, SHELLY	LORD ABBETT & CO 543916589	0.00	0.01	0.00	0.01	90.0	0.01
08/14/2017	LINDELL, HOWARD	LORD ABBETT & CO 543916589	0.00	100.95	0.00	100.95	90.0	90.86
08/14/2017	EVANS, DAVID	LORD ABBETT & CO 543916589	0.00	1.42	0.00	1.42	90.0	1.28
08/14/2017	MEYER, KATHY	LORD ABBETT & CO 543916605	0.00	71.24	0.00	71.24	90.0	64.12
08/14/2017	BUTLER, SHELLY	LORD ABBETT & CO 543916605	0.00	0.01	0.00	0.01	90.0	0.01
08/14/2017	LINDELL, HOWARD	LORD ABBETT & CO 543916605	0.00	95.88	0.00	95.88	90.0	86.29
08/14/2017	EVANS, DAVID	LORD ABBETT & CO 543916605	0.00	1.36	0.00	1.36	90.0	1.22
08/14/2017	LINDELL, HOWARD	LORD ABBETT ALPHA STRATEGY C	0.00	159.18	0.00	159.18	90.0	143.26
08/14/2017	EVANS, DAVID	LORD ABBETT ALPHA STRATEGY C	0.00	1.41	0.00	1.41	90.0	1.27
* MUTUAL FUNDS SUBTOTAL *			\$600.00	\$605.23	\$0.00	\$605.23		\$544.71

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
08/17/2017	NORMAN, ISAAC	AT&T INC COM	37,679.80	199.00	23.50	175.50	90.0	157.95
* STOCKS SUBTOTAL *			\$37,679.80	\$199.00	\$23.50	\$175.50		\$157.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/12/2017	BARTOSH, PATRICIA	AM GEN POLIRIS II FLEXIBLE	27,004.64	27.00	0.00	27.00	90.0	24.30
08/12/2017	BARTOSH, PATRICIA	ANCHOR ADVISOR 11 FLEX	6,893.15	17.23	0.00	17.23	90.0	15.51
08/20/2017	LUEDTKE, LOIS	ANCHOR ADVISOR FLEX PYMT DEF ANN	262,503.04	656.26	0.00	656.26	90.0	590.63
08/21/2017	DAWSON, SIDNEY	ANCHOR ADVISOR FLEX PYMT DEF ANN	506.06	1.27	0.00	1.27	90.0	1.14
* VARIABLE ANNUITIES SUBTOTAL *			\$296,906.89	\$701.76	\$0.00	\$701.76		\$631.58
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
08/17/2017	HAMANN, LARRY	FT UNIT 6770 MLP CLOSED END PORT SE	26,900.84	538.02	38.00	500.02	90.0	450.02
08/15/2017	SPECKELS, MELBA	SMART TR ARGUS MODERN INNOVATORS TR	12,379.09	247.53	38.00	209.53	90.0	188.58
08/15/2017	SPECKELS, MELBA	SMART TR E-COMM ECOSYSTEM TR SER 1	12,382.27	247.61	38.00	209.61	90.0	188.65
08/15/2017	SPECKELS, MELBA	SMART TR ZACKS GARP COMP 35 TR	27,950.97	349.42	38.00	311.42	90.0	280.28
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$79,613.17	\$1,382.58	\$152.00	\$1,230.58		\$1,107.53
*** BROKER TRANSACTIONS TOTAL ***			\$484,804.92	\$6,738.85	\$175.50	\$6,563.35		\$5,907.02

SPLIT TRANSACTIONS**BROKER: BO/MO-M145 MCKINNEY #314**

08/18/2017	HOLVERSON, JEFFREY	FT UNIT 6730 EQUITY CLOSED END PORT	37,009.61	370.11	19.00	351.11	90.0	316.00
08/18/2017	HOLVERSON, JEFFREY	FT UNIT 6770 MLP CLOSED END PORT SE	37,002.17	369.97	19.00	350.97	90.0	315.87
08/18/2017	HOLVERSON, JEFFREY	FT UNIT 6786 GLOBAL EQUITY INCOME C	37,003.36	370.07	19.00	351.07	90.0	315.96
08/18/2017	HOLVERSON, JEFFREY	FT UNIT 6881 DIVID & INCOME SELECT	37,008.83	370.17	19.00	351.17	90.0	316.05
05/25/2017	HOLVERSON, JEFFREY	SMART PORTFOLIO CLOSED-END FD OP	51,000.09	560.90	0.00	560.90	90.0	504.81
05/25/2017	HOLVERSON, JEFFREY	SMART TR CREA SELECT BDC TR SER 9 U	51,008.40	561.22	0.00	561.22	90.0	505.10
08/18/2017	HOLVERSON, JEFFREY	SMART TR STRATEGIC GROWT & INCOME T	37,006.34	370.04	19.00	351.04	90.0	315.94
* BO/MO-M145 MCKINNEY SUBTOTAL *			\$287,038.80	\$2,972.48	\$95.00	\$2,877.48		\$2,589.73
*** SPLIT TRANSACTIONS TOTAL ***			\$287,038.80	\$2,972.48	\$95.00	\$2,877.48		\$2,589.73

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BROKER COMMISSION TOTALS

Broker Transactions	\$5,907.02
Split Transactions	\$2,589.73
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,496.75
Year-to-date Gross	\$160,051.73
Year-to-date Earnings	\$141,656.06