

**COMMISSION STATEMENT****January 31, 2018**KEITH LAYMAN  
4321 FlagstaffBROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
01/16/2018	JORDAN, NEAL	CLS INVESTMENTS	18,990.28	46.83	0.00	46.83	80.0	37.46
01/16/2018	JORDAN, NEAL	CLS INVESTMENTS	6,598.57	14.42	0.00	14.42	80.0	11.54
01/16/2018	JORDAN, NEAL	CLS INVESTMENTS	20,692.78	52.88	0.00	52.88	80.0	42.30
01/16/2018	JORDAN, PAM	CLS INVESTMENTS	19,662.49	48.48	0.00	48.48	80.0	38.78
01/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	13,447.84	33.15	0.00	33.15	80.0	26.52
01/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	4,125.17	10.17	0.00	10.17	80.0	8.14
01/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	6,458.67	13.81	0.00	13.81	80.0	11.05
01/16/2018	AMBRIOLE, WESLEY	CLS INVESTMENTS	49,999.99	125.40	0.00	125.40	80.0	100.32
01/16/2018	ARCHBOLD, DANNY	CLS INVESTMENTS	7,488.24	18.46	0.00	18.46	80.0	14.77
01/16/2018	ARCHBOLD, PAMELA	CLS INVESTMENTS	14,854.49	36.62	0.00	36.62	80.0	29.30
01/16/2018	ARCHBOLD, PAMELA	CLS INVESTMENTS	10,448.10	25.76	0.00	25.76	80.0	20.61
01/16/2018	ARCHBOLD, DANNY	CLS INVESTMENTS	4,953.97	12.22	0.00	12.22	80.0	9.78
01/16/2018	BAILEY, KEVIN	CLS INVESTMENTS	58,932.32	135.30	0.00	135.30	80.0	108.24
01/16/2018	BAILEY, KEVIN	CLS INVESTMENTS	49,999.99	133.30	0.00	133.30	80.0	106.64
01/16/2018	BAILEY, BRUCE	CLS INVESTMENTS	59,283.76	141.93	0.00	141.93	80.0	113.54
01/16/2018	BAILEY, BRUCE	CLS INVESTMENTS	24,293.63	64.15	0.00	64.15	80.0	51.32
01/16/2018	BAILEY, LOIS	CLS INVESTMENTS	62,731.22	150.19	0.00	150.19	80.0	120.15
01/16/2018	BAILEY, LOIS	CLS INVESTMENTS	25,706.36	67.88	0.00	67.88	80.0	54.30
01/16/2018	BUNN, LINDA	CLS INVESTMENTS	8,456.81	20.85	0.00	20.85	80.0	16.68
01/16/2018	BYRUM, GERALD	CLS INVESTMENTS	100,025.47	239.12	0.00	239.12	80.0	191.30
01/16/2018	BYRUM, GERALD	CLS INVESTMENTS	25,512.79	70.43	0.00	70.43	80.0	56.34
01/16/2018	BYRUM, GERALD	CLS INVESTMENTS	96,004.51	229.51	0.00	229.51	80.0	183.61
01/16/2018	BYRUM, GERALD	CLS INVESTMENTS	24,487.20	67.59	0.00	67.59	80.0	54.07
01/16/2018	CONTI, JAMES	CLS INVESTMENTS	42,656.64	105.18	0.00	105.18	80.0	84.14
01/16/2018	COSTANZA, JOSEPH	CLS INVESTMENTS	6,832.57	16.85	0.00	16.85	80.0	13.48
01/16/2018	DAVID, SCOTT	CLS INVESTMENTS	31,336.39	72.08	0.00	72.08	80.0	57.66

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/16/2018	DAVID, SCOTT	CLS INVESTMENTS	25,470.56	68.00	0.00	68.00	80.0	54.40
01/16/2018	DAVID, SCOTT	CLS INVESTMENTS	30,178.53	69.41	0.00	69.41	80.0	55.53
01/16/2018	DAVID, SCOTT	CLS INVESTMENTS	24,529.43	65.49	0.00	65.49	80.0	52.39
01/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	20,803.05	45.87	0.00	45.87	80.0	36.70
01/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	49,999.99	128.72	0.00	128.72	80.0	102.98
01/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	30,968.40	72.46	0.00	72.46	80.0	57.97
01/16/2018	EAGLESON, ROSS	CLS INVESTMENTS	15,986.47	43.31	0.00	43.31	80.0	34.65
01/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	27,624.49	64.64	0.00	64.64	80.0	51.71
01/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	14,260.28	38.64	0.00	38.64	80.0	30.91
01/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	38,265.23	89.53	0.00	89.53	80.0	71.62
01/16/2018	EAGLESON, MARTHA	CLS INVESTMENTS	19,753.24	53.53	0.00	53.53	80.0	42.82
01/16/2018	EAGLESON, SAMUEL	CLS INVESTMENTS	28,370.78	63.26	0.00	63.26	80.0	50.61
01/16/2018	EAGLESON, SAMUEL	CLS INVESTMENTS	49,999.99	129.99	0.00	129.99	80.0	103.99
01/16/2018	FISCHER, HELEN	CLS INVESTMENTS	48,406.11	110.26	0.00	110.26	80.0	88.21
01/16/2018	FISCHER, HELEN	CLS INVESTMENTS	49,999.99	132.38	0.00	132.38	80.0	105.90
01/16/2018	FORTE, ANDREW	CLS INVESTMENTS	1,144.24	2.82	0.00	2.82	80.0	2.26
01/16/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	46,999.26	109.92	0.00	109.92	80.0	87.94
01/16/2018	GALENTINE, ASHLEY	CLS INVESTMENTS	49,999.99	129.27	0.00	129.27	80.0	103.42
01/16/2018	GALENTINE, STEPHANIE	CLS INVESTMENTS	25,763.11	63.52	0.00	63.52	80.0	50.82
01/16/2018	GEVERINK, ANN	CLS INVESTMENTS	8,016.94	17.34	0.00	17.34	80.0	13.87
01/16/2018	GEVERINK, ANN	CLS INVESTMENTS	36,255.21	91.83	0.00	91.83	80.0	73.46
01/16/2018	GEVERINK, RAYMOND	CLS INVESTMENTS	3,039.32	6.58	0.00	6.58	80.0	5.26
01/16/2018	GEVERINK, RAYMOND	CLS INVESTMENTS	13,744.78	34.82	0.00	34.82	80.0	27.86
01/16/2018	GOULD, NORMAN	CLS INVESTMENTS	12,343.82	27.99	0.00	27.99	80.0	22.39
01/16/2018	GOULD, NORMAN	CLS INVESTMENTS	49,999.99	125.73	0.00	125.73	80.0	100.58
01/16/2018	GREENWALT, ROBIN	CLS INVESTMENTS	48,706.44	120.10	0.00	120.10	80.0	96.08
01/16/2018	HAMMAN, RONALD	CLS INVESTMENTS	40,437.26	99.70	0.00	99.70	80.0	79.76
01/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	34,641.89	80.03	0.00	80.03	80.0	64.02
01/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	25,174.36	67.47	0.00	67.47	80.0	53.98
01/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	34,162.03	78.92	0.00	78.92	80.0	63.14
01/16/2018	HOLLIS, JAMES	CLS INVESTMENTS	24,825.63	66.53	0.00	66.53	80.0	53.22
01/16/2018	HOLLIS, TONYUA	CLS INVESTMENTS	45,334.28	111.78	0.00	111.78	80.0	89.42
01/16/2018	HOUSER, TRACY	CLS INVESTMENTS	38,070.08	88.54	0.00	88.54	80.0	70.83

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/16/2018	HOUSER, TRACY	CLS INVESTMENTS	49,999.99	128.61	0.00	128.61	80.0	102.89
01/16/2018	ISLEY, CURTIS	CLS INVESTMENTS	34,685.23	85.52	0.00	85.52	80.0	68.42
01/16/2018	JOHNSON, JAMES	CLS INVESTMENTS	17,245.17	42.52	0.00	42.52	80.0	34.02
01/16/2018	JOHNSON, AMY	CLS INVESTMENTS	6,446.75	15.90	0.00	15.90	80.0	12.72
01/16/2018	KAUFFMAN, KATIE	CLS INVESTMENTS	36,443.97	89.86	0.00	89.86	80.0	71.89
01/16/2018	KAUFFMAN, KATIE	CLS INVESTMENTS	9,908.72	24.43	0.00	24.43	80.0	19.54
01/16/2018	LESHER, DAVID	CLS INVESTMENTS	54,019.03	126.85	0.00	126.85	80.0	101.48
01/16/2018	LESHER, DAVID	CLS INVESTMENTS	25,140.43	68.33	0.00	68.33	80.0	54.66
01/16/2018	LESHER, DAVID	CLS INVESTMENTS	53,415.55	125.44	0.00	125.44	80.0	100.35
01/16/2018	LESHER, DAVID	CLS INVESTMENTS	24,859.56	67.57	0.00	67.57	80.0	54.06
01/16/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	70,496.47	163.00	0.00	163.00	80.0	130.40
01/16/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	49,999.99	134.10	0.00	134.10	80.0	107.28
01/16/2018	MAIERS, GREGORY	CLS INVESTMENTS	3,497.41	7.82	0.00	7.82	80.0	6.26
01/16/2018	MAIERS, GREGORY	CLS INVESTMENTS	49,999.99	124.09	0.00	124.09	80.0	99.27
01/16/2018	MARTIN, REBECCA	CLS INVESTMENTS	28,559.10	70.42	0.00	70.42	80.0	56.34
01/16/2018	MURPHY, SUSAN	CLS INVESTMENTS	46,625.36	114.96	0.00	114.96	80.0	91.97
01/16/2018	PARROT, CHARLES	CLS INVESTMENTS	38,571.14	95.11	0.00	95.11	80.0	76.09
01/16/2018	PARROT, JUDITH	CLS INVESTMENTS	18,233.32	44.95	0.00	44.95	80.0	35.96
01/16/2018	PEE, MICHAEL	CLS INVESTMENTS	27,442.17	63.30	0.00	63.30	80.0	50.64
01/16/2018	PEE, MICHAEL	CLS INVESTMENTS	49,999.99	127.66	0.00	127.66	80.0	102.13
01/16/2018	PEPPLE, GLEN	CLS INVESTMENTS	168,776.68	401.90	0.00	401.90	80.0	321.52
01/16/2018	PEPPLE, GLEN	CLS INVESTMENTS	49,999.99	137.55	0.00	137.55	80.0	110.04
01/16/2018	PLATT, GINA	CLS INVESTMENTS	22,060.21	54.39	0.00	54.39	80.0	43.51
01/16/2018	PLATT, GINA	CLS INVESTMENTS	8,735.62	21.54	0.00	21.54	80.0	17.23
01/16/2018	PLATT, GINA	CLS INVESTMENTS	4,431.08	9.42	0.00	9.42	80.0	7.54
01/16/2018	PLATT, GINA	CLS INVESTMENTS	49,999.99	124.79	0.00	124.79	80.0	99.83
01/16/2018	RAMEY, TRAVIS	CLS INVESTMENTS	43,633.30	107.59	0.00	107.59	80.0	86.07
01/16/2018	RAMEY, TRAVIS	CLS INVESTMENTS	369.51	0.91	0.00	0.91	80.0	0.73
01/16/2018	RAMEY, JENNIFER	CLS INVESTMENTS	365.79	0.90	0.00	0.90	80.0	0.72
01/16/2018	REESE, BARRY	CLS INVESTMENTS	34,662.42	85.47	0.00	85.47	80.0	68.38
01/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	5,700.29	12.68	0.00	12.68	80.0	10.14
01/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	10,560.43	27.40	0.00	27.40	80.0	21.92
01/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	21,288.61	47.38	0.00	47.38	80.0	37.90

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/16/2018	REMENSCHNEIDER, MARY	CLS INVESTMENTS	39,439.56	102.37	0.00	102.37	80.0	81.90
01/16/2018	RUSK, RANDALL	CLS INVESTMENTS	27,354.95	67.45	0.00	67.45	80.0	53.96
01/16/2018	SCHISLER, SHARON	CLS INVESTMENTS	15,750.27	38.84	0.00	38.84	80.0	31.07
01/16/2018	SENGER, LYNDIA	CLS INVESTMENTS	1,716.60	3.62	0.00	3.62	80.0	2.90
01/16/2018	SENGER, LYNDIA	CLS INVESTMENTS	49,999.99	123.90	0.00	123.90	80.0	99.12
01/16/2018	SHEARIN, DAVID	CLS INVESTMENTS	77,496.68	183.59	0.00	183.59	80.0	146.87
01/16/2018	SHEARIN, DAVID	CLS INVESTMENTS	49,999.99	130.78	0.00	130.78	80.0	104.62
01/16/2018	SHERBURNE, SUSAN	CLS INVESTMENTS	49,555.86	122.19	0.00	122.19	80.0	97.75
01/16/2018	SIEBER, AARON	CLS INVESTMENTS	104,440.39	249.19	0.00	249.19	80.0	199.35
01/16/2018	SIEBER, AARON	CLS INVESTMENTS	49,999.99	131.62	0.00	131.62	80.0	105.30
01/16/2018	SLIGER, RUSTY	CLS INVESTMENTS	11,709.46	28.88	0.00	28.88	80.0	23.10
01/16/2018	SORG, BECKY	CLS INVESTMENTS	15,648.61	35.24	0.00	35.24	80.0	28.19
01/16/2018	SORG, BECKY	CLS INVESTMENTS	21,515.70	56.40	0.00	56.40	80.0	45.12
01/16/2018	SORG, BECKY	CLS INVESTMENTS	20,716.93	46.65	0.00	46.65	80.0	37.32
01/16/2018	SORG, BECKY	CLS INVESTMENTS	28,484.29	74.67	0.00	74.67	80.0	59.74
01/16/2018	TRACEY, JAMES	CLS INVESTMENTS	29,220.20	65.22	0.00	65.22	80.0	52.18
01/16/2018	TRACEY, JAMES	CLS INVESTMENTS	49,999.99	130.11	0.00	130.11	80.0	104.09
01/16/2018	TRESSLER, KENT	CLS INVESTMENTS	12,934.58	28.10	0.00	28.10	80.0	22.48
01/16/2018	TRESSLER, KENT	CLS INVESTMENTS	49,999.99	127.09	0.00	127.09	80.0	101.67
01/16/2018	TULLOCH, CAROLYN	CLS INVESTMENTS	33,291.98	82.09	0.00	82.09	80.0	65.67
01/16/2018	VAN AUKEN, KAREN	CLS INVESTMENTS	34,594.62	80.26	0.00	80.26	80.0	64.21
01/16/2018	VAN AUKEN, KAREN	CLS INVESTMENTS	49,999.99	128.33	0.00	128.33	80.0	102.66
01/16/2018	WEAVER, JEFFREY	CLS INVESTMENTS	13,710.12	33.81	0.00	33.81	80.0	27.05
01/16/2018	WEIDES, MARK	CLS INVESTMENTS	29,518.62	69.41	0.00	69.41	80.0	55.53
01/16/2018	WEIDES, MARK	CLS INVESTMENTS	25,550.20	66.38	0.00	66.38	80.0	53.10
01/16/2018	WEIDES, LISA	CLS INVESTMENTS	16,243.10	38.19	0.00	38.19	80.0	30.55
01/16/2018	WEIDES, LISA	CLS INVESTMENTS	14,059.41	36.53	0.00	36.53	80.0	29.22
01/16/2018	WEIDES, LISA	CLS INVESTMENTS	12,333.57	30.41	0.00	30.41	80.0	24.33
01/16/2018	WEIDES, MARK	CLS INVESTMENTS	10,061.00	24.81	0.00	24.81	80.0	19.85
01/10/2018	ROTHGEB, KELLY	ITS MANAGEMENT	0.00	28.00	0.00	28.00	80.0	22.40
01/10/2018	ROTHGEB, CRAIG	ITS MANAGEMENT	0.00	59.69	0.00	59.69	80.0	47.75
01/26/2018	ALLAN, BETTY	ITS MANAGEMENT	41,877.86	77.45	0.00	77.45	80.0	61.96
01/26/2018	BLAIR, BRIAN	ITS MANAGEMENT	39,160.46	96.56	0.00	96.56	80.0	77.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/26/2018	BRUNTON, RYAN	ITS MANAGEMENT	57,803.13	106.90	0.00	106.90	80.0	85.52
01/26/2018	CLARK, KATHLEEN	ITS MANAGEMENT	23,886.58	44.18	0.00	44.18	80.0	35.34
01/26/2018	SMITH, DAWN	ITS MANAGEMENT	38,885.92	95.89	0.00	95.89	80.0	76.71
01/26/2018	DISLER, SHARON	ITS MANAGEMENT	32,735.56	60.54	0.00	60.54	80.0	48.43
01/26/2018	ELLIOTT, RANDALL	ITS MANAGEMENT	73,952.99	91.18	0.00	91.18	80.0	72.94
01/26/2018	HUESMAN, PATRICIA	ITS MANAGEMENT	279,853.97	517.54	0.00	517.54	80.0	414.03
01/26/2018	JACKSON, NICK	ITS MANAGEMENT	41,863.71	103.23	0.00	103.23	80.0	82.58
01/26/2018	JAMSCO INC	ITS MANAGEMENT	231,764.57	285.74	0.00	285.74	80.0	228.59
01/26/2018	LAYMAN, ROBERT	ITS MANAGEMENT	3,080.74	3.76	0.00	3.76	80.0	3.01
01/26/2018	MCBRIDE, KEITH	ITS MANAGEMENT	58,742.43	144.85	0.00	144.85	80.0	115.88
01/26/2018	MYERS, HELEN	ITS MANAGEMENT	17,650.73	21.76	0.00	21.76	80.0	17.41
01/26/2018	OAR, WARREN	ITS MANAGEMENT	198,862.03	612.93	0.00	612.93	80.0	490.34
01/26/2018	RICHARDSON, AMY	ITS MANAGEMENT	69,990.45	129.44	0.00	129.44	80.0	103.55
01/26/2018	RICHARDSON, JARRED	ITS MANAGEMENT	69,988.38	129.43	0.00	129.43	80.0	103.54
01/26/2018	ROBINSON, DAVID	ITS MANAGEMENT	28,957.76	35.34	0.00	35.34	80.0	28.27
01/26/2018	ROTHGEB, CRAIG	ITS MANAGEMENT	27,935.68	0.00	0.00	0.00	80.0	0.00
01/26/2018	ROTHGEB, KELLY	ITS MANAGEMENT	13,620.94	0.00	0.00	0.00	80.0	0.00
01/26/2018	SMITH, BRENT	ITS MANAGEMENT	24,154.29	44.67	0.00	44.67	80.0	35.74
01/26/2018	SMITH, NICOLE	ITS MANAGEMENT	44,394.93	109.47	0.00	109.47	80.0	87.58
01/26/2018	STENDAHL, RANDALL	ITS MANAGEMENT	31,488.94	58.24	0.00	58.24	80.0	46.59
01/26/2018	WILLIAMS, BARBARA	ITS MANAGEMENT	33,134.84	40.85	0.00	40.85	80.0	32.68
01/22/2018	PEE, MICHAEL	QUARTERLY FEE	0.00	198.39	0.00	198.39	80.0	158.71
01/22/2018	ARCHBOLD, DANNY	TD AMERITRADE	0.00	978.99	0.00	978.99	80.0	783.19
01/22/2018	BAILEY, BRUCE	TD AMERITRADE	0.00	760.28	0.00	760.28	80.0	608.22
01/22/2018	BERCOT, THERESA	TD AMERITRADE	0.00	417.89	0.00	417.89	80.0	334.31
01/22/2018	BERGER, LARRY	TD AMERITRADE	0.00	518.63	0.00	518.63	80.0	414.90
01/22/2018	BOYD, LARRY	TD AMERITRADE	0.00	170.68	0.00	170.68	80.0	136.54
01/22/2018	BOYD, BONNE	TD AMERITRADE	0.00	127.04	0.00	127.04	80.0	101.63
01/22/2018	BOYD, BONNE	TD AMERITRADE	0.00	113.47	0.00	113.47	80.0	90.78
01/22/2018	BOYD, LARRY	TD AMERITRADE	0.00	124.13	0.00	124.13	80.0	99.30
01/22/2018	BRUNTON, WILLIAM	TD AMERITRADE	0.00	352.73	0.00	352.73	80.0	282.18
01/22/2018	BUSH, RANDALL	TD AMERITRADE	0.00	402.06	0.00	402.06	80.0	321.65
01/22/2018	BUSH, SANDRA	TD AMERITRADE	0.00	493.70	0.00	493.70	80.0	394.96

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/22/2018	BYRUM JR, GERALD	TD AMERITRADE	0.00	154.73	0.00	154.73	80.0	123.78
01/22/2018	CALKINS, BARBARA	TD AMERITRADE	0.00	71.96	0.00	71.96	80.0	57.57
01/22/2018	CLARK, PATRICIA	TD AMERITRADE	0.00	133.43	0.00	133.43	80.0	106.74
01/22/2018	CLARK, PATRICIA	TD AMERITRADE	0.00	143.87	0.00	143.87	80.0	115.10
01/22/2018	COLCORD, ALNETTA	TD AMERITRADE	0.00	872.65	0.00	872.65	80.0	698.12
01/22/2018	DAILEY, RITA	TD AMERITRADE	0.00	505.50	0.00	505.50	80.0	404.40
01/22/2018	DELAGARZA, MARK	TD AMERITRADE	0.00	265.08	0.00	265.08	80.0	212.06
01/22/2018	DERBYSHIRE, KAREN	TD AMERITRADE	0.00	583.91	0.00	583.91	80.0	467.13
01/22/2018	DERBYSHIRE, JAMES	TD AMERITRADE	0.00	259.04	0.00	259.04	80.0	207.23
01/22/2018	DIETSCH, RHONDA	TD AMERITRADE	0.00	169.32	0.00	169.32	80.0	135.46
01/22/2018	DIETSCH, DANIEL	TD AMERITRADE	0.00	184.66	0.00	184.66	80.0	147.73
01/22/2018	DILLIE, MAXIE	TD AMERITRADE	0.00	89.87	0.00	89.87	80.0	71.90
01/22/2018	DODGE, CAROLYN	TD AMERITRADE	0.00	577.65	0.00	577.65	80.0	462.12
01/22/2018	DURKES, DEBRA	TD AMERITRADE	0.00	102.25	0.00	102.25	80.0	81.80
01/22/2018	FLORES, PATRICIA	TD AMERITRADE	0.00	414.17	0.00	414.17	80.0	331.34
01/22/2018	GEVERINK, ANN	TD AMERITRADE	0.00	141.87	0.00	141.87	80.0	113.50
01/22/2018	GREATHEAD, PHILIP	TD AMERITRADE	0.00	223.02	0.00	223.02	80.0	178.42
01/22/2018	GRIDER, SHARON	TD AMERITRADE	0.00	88.86	0.00	88.86	80.0	71.09
01/22/2018	GRIDER, SHARON	TD AMERITRADE	0.00	185.76	0.00	185.76	80.0	148.61
01/22/2018	GUNSOLLEY, MARY	TD AMERITRADE	0.00	337.56	0.00	337.56	80.0	270.05
01/22/2018	HARMEYER, SHARYN	TD AMERITRADE	0.00	687.14	0.00	687.14	80.0	549.71
01/22/2018	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	298.67	0.00	298.67	80.0	238.94
01/22/2018	HINCKS, ELIZABETH A LIF	TD AMERITRADE	0.00	208.32	0.00	208.32	80.0	166.66
01/22/2018	HODAPP, CYNTHIA	TD AMERITRADE	0.00	246.05	0.00	246.05	80.0	196.84
01/22/2018	IGNEY, SHELLEY	TD AMERITRADE	0.00	220.11	0.00	220.11	80.0	176.09
01/22/2018	IGNEY, SHELLEY	TD AMERITRADE	0.00	114.13	0.00	114.13	80.0	91.30
01/22/2018	ISLEY, MICHAEL	TD AMERITRADE	0.00	699.16	0.00	699.16	80.0	559.33
01/22/2018	JORDAN, NEAL	TD AMERITRADE	0.00	518.84	0.00	518.84	80.0	415.07
01/22/2018	JORDAN, PAM	TD AMERITRADE	0.00	544.26	0.00	544.26	80.0	435.41
01/22/2018	KANOST, RUTH	TD AMERITRADE	0.00	205.23	0.00	205.23	80.0	164.18
01/22/2018	KEMP, DAVID	TD AMERITRADE	0.00	201.20	0.00	201.20	80.0	160.96
01/22/2018	KLITZMAN, RANDALL	TD AMERITRADE	0.00	215.81	0.00	215.81	80.0	172.65
01/22/2018	KLITZMAN, MARY	TD AMERITRADE	0.00	234.35	0.00	234.35	80.0	187.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/22/2018	LIPSCOMB, JOAN	TD AMERITRADE	0.00	589.81	0.00	589.81	80.0	471.85
01/22/2018	LOVETT, DAVID	TD AMERITRADE	0.00	191.15	0.00	191.15	80.0	152.92
01/22/2018	LOWDEN, JAMES	TD AMERITRADE	0.00	746.10	0.00	746.10	80.0	596.88
01/22/2018	LOWDEN TRUST, KATHERINE	TD AMERITRADE	0.00	393.53	0.00	393.53	80.0	314.82
01/22/2018	MAIERS, NANCY	TD AMERITRADE	0.00	175.49	0.00	175.49	80.0	140.39
01/22/2018	MAIERS, GREGORY	TD AMERITRADE	0.00	140.04	0.00	140.04	80.0	112.03
01/22/2018	MARTIN, NINA	TD AMERITRADE	0.00	219.69	0.00	219.69	80.0	175.75
01/22/2018	MAYER, PEGGY	TD AMERITRADE	0.00	206.85	0.00	206.85	80.0	165.48
01/22/2018	MILLER, REBECCA	TD AMERITRADE	0.00	137.67	0.00	137.67	80.0	110.14
01/22/2018	MILLER, THOMAS	TD AMERITRADE	0.00	214.27	0.00	214.27	80.0	171.42
01/22/2018	MILLS, ROBERT	TD AMERITRADE	0.00	146.60	0.00	146.60	80.0	117.28
01/22/2018	MULDOON, DANIEL	TD AMERITRADE	0.00	287.45	0.00	287.45	80.0	229.96
01/22/2018	MURPHY, WILLIAM	TD AMERITRADE	0.00	1,379.95	0.00	1,379.95	80.0	1,103.96
01/22/2018	MURPHY, SUSAN	TD AMERITRADE	0.00	713.23	0.00	713.23	80.0	570.58
01/22/2018	NEWBAUER, CAMERON	TD AMERITRADE	0.00	192.65	0.00	192.65	80.0	154.12
01/22/2018	PEE, MICHAEL	TD AMERITRADE	0.00	737.72	0.00	737.72	80.0	590.18
01/22/2018	PEE, MICHAEL	TD AMERITRADE	0.00	171.38	0.00	171.38	80.0	137.10
01/22/2018	PEPPLE, GLEN	TD AMERITRADE	0.00	307.94	0.00	307.94	80.0	246.35
01/22/2018	PEPPLE, NICOLE	TD AMERITRADE	0.00	121.26	0.00	121.26	80.0	97.01
01/22/2018	PIER, SPENCER	TD AMERITRADE	0.00	52.88	0.00	52.88	80.0	42.30
01/22/2018	RAABE, RICHARD	TD AMERITRADE	0.00	134.40	0.00	134.40	80.0	107.52
01/22/2018	REINHART, RUSSELL	TD AMERITRADE	0.00	308.07	0.00	308.07	80.0	246.46
01/22/2018	REINHART, ROCHELLE	TD AMERITRADE	0.00	10.38	0.00	10.38	80.0	8.30
01/22/2018	REMENSCHNEIDER, MARY	TD AMERITRADE	0.00	644.55	0.00	644.55	80.0	515.64
01/22/2018	ROTHGEB, CRAIG	TD AMERITRADE	0.00	132.09	0.00	132.09	80.0	105.67
01/22/2018	RUSK, RANDALL	TD AMERITRADE	0.00	453.60	0.00	453.60	80.0	362.88
01/22/2018	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	187.04	0.00	187.04	80.0	149.63
01/22/2018	SAMMETINGER, EVELYN	TD AMERITRADE	0.00	144.35	0.00	144.35	80.0	115.48
01/22/2018	SAUTER, DOROTHY	TD AMERITRADE	0.00	36.54	0.00	36.54	80.0	29.23
01/22/2018	SAUTER, DOROTHY	TD AMERITRADE	0.00	227.63	0.00	227.63	80.0	182.10
01/22/2018	SAUTER, JOHN	TD AMERITRADE	0.00	43.19	0.00	43.19	80.0	34.55
01/22/2018	SCHAEFER, LOLA	TD AMERITRADE	0.00	27.06	0.00	27.06	80.0	21.65
01/22/2018	SENGER, SALLY	TD AMERITRADE	0.00	342.80	0.00	342.80	80.0	274.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
01/22/2018	SENGER, SALLY	TD AMERITRADE	0.00	56.33	0.00	56.33	80.0	45.06
01/22/2018	SENGER, LYNDA	TD AMERITRADE	0.00	349.13	0.00	349.13	80.0	279.30
01/22/2018	SHANK, DEAN	TD AMERITRADE	0.00	407.47	0.00	407.47	80.0	325.98
01/22/2018	SIEBER, AARON	TD AMERITRADE	0.00	349.38	0.00	349.38	80.0	279.50
01/22/2018	SIMPSON, DAYNA	TD AMERITRADE	0.00	129.09	0.00	129.09	80.0	103.27
01/22/2018	SIMPSON, DAYNA	TD AMERITRADE	0.00	135.73	0.00	135.73	80.0	108.58
01/22/2018	SORG, BECKY	TD AMERITRADE	0.00	416.10	0.00	416.10	80.0	332.88
01/22/2018	STANSBERRY, NANCY	TD AMERITRADE	0.00	629.48	0.00	629.48	80.0	503.58
01/22/2018	STOPPENHAGEN, DENNIS	TD AMERITRADE	0.00	692.26	0.00	692.26	80.0	553.81
01/22/2018	SULLIVAN, DIAN	TD AMERITRADE	0.00	294.95	0.00	294.95	80.0	235.96
01/22/2018	THOMAS, THELMA	TD AMERITRADE	0.00	233.70	0.00	233.70	80.0	186.96
01/22/2018	TULLOCH, CAROLYN	TD AMERITRADE	0.00	130.51	0.00	130.51	80.0	104.41
01/22/2018	TULLOCH, DAVID	TD AMERITRADE	0.00	549.96	0.00	549.96	80.0	439.97
01/22/2018	TYGER, CATHIE	TD AMERITRADE	0.00	502.92	0.00	502.92	80.0	402.34
01/22/2018	VAN CLEAVE, BLAKE	TD AMERITRADE	0.00	375.26	0.00	375.26	80.0	300.21
01/22/2018	WALLACE, FREDERICK	TD AMERITRADE	0.00	207.86	0.00	207.86	80.0	166.29
01/22/2018	WEBER, KAY	TD AMERITRADE	0.00	325.28	0.00	325.28	80.0	260.22
01/22/2018	WEIMER, THOMAS	TD AMERITRADE	0.00	705.43	0.00	705.43	80.0	564.34
01/22/2018	WILLING, PATRICIA	TD AMERITRADE	0.00	231.57	0.00	231.57	80.0	185.26
01/22/2018	WILLING, LEONARD	TD AMERITRADE	0.00	110.96	0.00	110.96	80.0	88.77
<b>* RIA SUBTOTAL *</b>			<b>\$5,352,562.21</b>	<b>\$43,644.22</b>	<b>\$0.00</b>	<b>\$43,644.22</b>		<b>\$34,915.33</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$5,352,562.21</b>	<b>\$43,644.22</b>	<b>\$0.00</b>	<b>\$43,644.22</b>		<b>\$34,915.33</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

01/16/2018	SCHENKEL, ROBERT	CLS INVESTMENTS	43,320.26	37.38	0.00	37.38	80.0	29.90
01/26/2018	BECKER, PATRICIA	ITS MANAGEMENT	33,710.24	29.09	0.00	29.09	80.0	23.27
01/26/2018	GATER, CAREY	ITS MANAGEMENT	50,015.50	32.37	0.00	32.37	80.0	25.90
01/15/2018	FIRE POLICE CITY, 401K	PACIFIC FINANCIAL	0.00	692.70	0.00	692.70	80.0	554.16
01/22/2018	DORSETT, JERRY	TD AMERITRADE	0.00	34.22	0.00	34.22	80.0	27.38
01/22/2018	DORSETT, LARA	TD AMERITRADE	0.00	63.82	0.00	63.82	80.0	51.06
01/22/2018	EISERLE, DAVID	TD AMERITRADE	0.00	235.90	0.00	235.90	80.0	188.72

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
01/22/2018	FOLTZ, SCOTT	TD AMERITRADE	0.00	25.14	0.00	25.14	80.0	20.11
01/22/2018	FOLTZ, KATHRYN	TD AMERITRADE	0.00	41.74	0.00	41.74	80.0	33.39
01/22/2018	JACOBS, BARRY	TD AMERITRADE	0.00	82.42	0.00	82.42	80.0	65.94
01/22/2018	KLINE, THOMAS	TD AMERITRADE	0.00	36.43	0.00	36.43	80.0	29.14
01/22/2018	MOUNSEY, CHRISTINE	TD AMERITRADE	0.00	120.95	0.00	120.95	80.0	96.76
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$127,046.00	\$1,432.16	\$0.00	\$1,432.16		\$1,145.73
*** SPLIT TRANSACTIONS TOTAL ***			\$127,046.00	\$1,432.16	\$0.00	\$1,432.16		\$1,145.73

<b><u> OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: WILLIAM LAYMAN #207</u></b>								
01/16/2018	BRUNTON, EMILY	CLS INVESTMENTS	51,493.51	120.72	0.00	120.72	45.0	54.32
01/16/2018	BRUNTON, EMILY	CLS INVESTMENTS	49,999.99	129.54	0.00	129.54	45.0	58.29
01/16/2018	COLE, TIMOTHY	CLS INVESTMENTS	4,418.27	9.89	0.00	9.89	45.0	4.45
01/16/2018	COLE, TIMOTHY	CLS INVESTMENTS	49,999.99	124.29	0.00	124.29	45.0	55.93
01/16/2018	REHM, TAMARA	CLS INVESTMENTS	16,010.89	39.48	0.00	39.48	45.0	17.77
01/16/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	20,265.26	46.41	0.00	46.41	45.0	20.88
01/16/2018	ZIMMERMAN, PHYLLIS	CLS INVESTMENTS	49,999.99	126.85	0.00	126.85	45.0	57.08
* WILLIAM LAYMAN SUBTOTAL *			\$242,187.90	\$597.18	\$0.00	\$597.18		\$268.72
*** OVERRIDE TRANSACTIONS TOTAL ***			\$242,187.90	\$597.18	\$0.00	\$597.18		\$268.72

<b><u>ADJUSTMENTS</u></b>								
01/31/2018		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-28.26
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-28.26

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$34,915.33
Split Transactions	\$1,145.73
Override Transactions	\$268.72
Adjustments	\$-28.26
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$36,301.52
Year-to-date Gross	\$81,393.08
Year-to-date Earnings	\$65,383.13