

**COMMISSION STATEMENT****March 15, 2018**JENNIFER, D134 DONAIS
1260 N. JoplinBROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

Mesa, AZ 85207-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/21/2018	DONAIS, BRADLEY	GUGGENHEIM CONSUMER PRODUCTS INV	24,838.20	0.00	0.00	0.00	90.0	0.00
02/09/2018	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.80	0.00	1.80	90.0	1.62
02/09/2018	SINNESS, LOYE	MFS VALUE A	0.00	0.90	0.00	0.90	90.0	0.81
02/09/2018	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	3.33	0.00	3.33	90.0	3.00
02/09/2018	DONAIS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	4.02	0.00	4.02	90.0	3.62
02/09/2018	SINNESS, LOYE	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.13	0.00	0.13	90.0	0.12
* MUTUAL FUNDS SUBTOTAL *			\$24,838.20	\$10.18	\$0.00	\$10.18		\$9.17
<u>PRODUCT CATEGORY: STOCKS</u>								
02/21/2018	DONAIS, BRADLEY	SPDR S&P MIDCAP 400 ETF TR UNIT SER	10,400.93	0.00	22.00	-22.00	90.0	-19.80
02/21/2018	DONAIS, BRADLEY	SPDR SER TR S&P 600 SMALL CAP GROWT	10,476.54	0.00	0.00	0.00	90.0	0.00
02/21/2018	DONAIS, BRADLEY	VANGUARD INTL EQUITY INDEX FDS MSCI	9,707.20	0.00	22.00	-22.00	90.0	-19.80
* STOCKS SUBTOTAL *			\$30,584.67	\$0.00	\$44.00	\$-44.00		\$-39.60
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	67,192.21	14.00	0.00	14.00	90.0	12.60
* VARIABLE ANNUITIES SUBTOTAL *			\$67,192.21	\$14.00	\$0.00	\$14.00		\$12.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	EDISS, ALEXANDER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	31.89	0.00	31.89	90.0	28.70
02/21/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.86	0.00	0.86	90.0	0.77
02/21/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.71
02/21/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.88	0.00	0.88	90.0	0.79
02/21/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.71
02/21/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.11	0.00	0.11	90.0	0.10
02/21/2018	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	43.23	0.00	43.23	90.0	38.91
03/01/2018	RIVERA, MARISA	AM FUNDS GROWTH PORT 529A	0.00	6.76	0.00	6.76	90.0	6.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	RIVERA, MARISA	AM FUNDS GROWTH PORT 529A	0.00	6.76	0.00	6.76	90.0	6.08
02/21/2018	DONAI, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.64	0.00	1.64	90.0	1.48
02/21/2018	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.38	0.00	0.38	90.0	0.34
02/21/2018	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.43	0.00	0.43	90.0	0.39
02/21/2018	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.11	0.00	0.11	90.0	0.10
02/21/2018	DONAI, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	UNTIL AGE 21, AZ/UTMA	COLLEGE 2033 FUND-529A	0.00	0.02	0.00	0.02	90.0	0.02
03/01/2018	UNTIL AGE 21, AZ/UTMA	COLLEGE 2033 FUND-529A	0.00	0.02	0.00	0.02	90.0	0.02
02/20/2018	DONAI, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.18	0.00	1.18	90.0	1.06
02/20/2018	DONAI, JEREMY	PRINCIPAL LARGE CAP S&P 500 INDEX FU	0.00	5.19	0.00	5.19	90.0	4.67
02/20/2018	DONAI, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.68	0.00	3.68	90.0	3.31
02/20/2018	DONAI, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.97	0.00	2.97	90.0	2.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$107.91	\$0.00	\$107.91		\$97.11
*** DIRECT TRANSACTIONS TOTAL ***			\$122,615.08	\$132.09	\$44.00	\$88.09		\$79.28

SPLIT TRANSACTIONS**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10**

03/01/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.51	0.00	1.51	90.0	1.36
03/01/2018	HEEN, JEREMY	COLLEGE 2021 FUND-529A	370.00	2.59	0.00	2.59	90.0	2.33
03/01/2018	HEEN, JEREMY	COLLEGE 2027 FUND-529A	245.00	1.72	0.00	1.72	90.0	1.55
03/01/2018	HEEN, JEREMY	COLLEGE 2033 FUND-529A	190.00	1.33	0.00	1.33	90.0	1.20
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$7.15	\$0.00	\$7.15		\$6.44

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

02/21/2018	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.36	0.00	1.36	90.0	1.22
02/21/2018	ERNST, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	90.0	0.26
02/22/2018	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.37	0.00	0.37	90.0	0.33
02/20/2018	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.64	0.00	0.64	90.0	0.58
02/27/2018	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	1.51	0.00	1.51	90.0	1.36
02/20/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
02/20/2018	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.60	0.00	0.60	90.0	0.54
02/28/2018	RED RIVER SUPPLY	PRINCIPAL LIFE FLEX INV VA	933,037.87	454.86	0.00	454.86	90.0	409.37
02/20/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	4.13	0.00	4.13	90.0	3.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
02/28/2018	ERNST, TERRI	TOUCHSTONE SMALL COMPANY FUND CLASS	0.00	0.40	0.00	0.40	90.0	0.36
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$933,037.87	\$464.18	\$0.00	\$464.18		\$417.76
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.30	0.00	0.30	90.0	0.27
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.91	0.00	7.91	90.0	7.12
03/01/2018	JORGENSEN, BLAINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	7.20	0.00	7.20	90.0	6.48
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	10.77	0.00	10.77	90.0	9.69
03/01/2018	JORGENSEN, BLAINE	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	9.76	0.00	9.76	90.0	8.78
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.35	0.00	0.35	90.0	0.32
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	9.02	0.00	9.02	90.0	8.12
03/01/2018	JORGENSEN, BLAINE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.21	0.00	8.21	90.0	7.39
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/01/2018	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
03/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.08	0.00	0.08	90.0	0.07
03/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.22	0.00	0.22	90.0	0.20
03/01/2018	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.09	0.00	0.09	90.0	0.08
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.21	0.00	0.21	90.0	0.19
03/01/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.32	0.00	0.32	90.0	0.29
03/01/2018	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.19	0.00	0.19	90.0	0.17
02/14/2018	HAUGEN, STACI	COTTONWOOD MULTIFAMILY REIT II	23,300.00	279.60	0.00	279.60	90.0	251.64
03/01/2018	LEE, DONALD	GLOBAL GROWTH PORTFOLIO-529A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2018	RASMUSSEN, CLINTON	GLOBAL GROWTH PORTFOLIO-529A	0.00	0.15	0.00	0.15	90.0	0.14
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$23,300.00	\$339.82	\$0.00	\$339.82		\$305.83
*** SPLIT TRANSACTIONS TOTAL ***			\$957,357.87	\$811.15	\$0.00	\$811.15		\$730.03

ADJUSTMENTS

03/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
03/15/2018	*CONCIERGE SVC: 209280 HAUGEN	0.00	-10.00
03/15/2018	*CONCIERGE SVC: 210558 LYNDE	0.00	-10.00
03/15/2018	*CONCIERGE SVC: 210643 JORGENSEN	0.00	-10.00
03/15/2018	*CONCIERGE SVC: 210950 JORGENSEN	0.00	-10.00
03/15/2018	*EO INS: CURRENT MONTH INS	0.00	-300.00
03/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-0.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
03/15/2018		*RBC: W/O DB 7A502955	0.00					-75.00
03/15/2018		*RBC: W/O DB 7A502958	0.00					-75.00
03/15/2018		*RBC: W/O DB 7A502965	0.00					-75.00
03/15/2018		*RBC: WEALTHSTATION	0.00					-25.00
03/15/2018		*RBC: WEALTHSTATION - BUMGARDNER	0.00					-16.75
03/15/2018		*TECHNOLOGY: ADMIN - BUMGARDNER	0.00					-67.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-861.05

BROKER COMMISSION TOTALS

Broker Transactions	\$79.28
Split Transactions	\$730.03
Override Transactions	\$0.00
Adjustments	\$-861.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-353.23
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-404.97
Year-to-date Gross	\$4,572.24
Year-to-date Earnings	\$3,968.31

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed