Page No.



COMMISSION STATEMENT

February 15, 2017

GARY HUGHES

1111 2nd Street

Hermosa Beach, CA 90254

BROKER #: H127
BRANCH: GARY HUGHES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: PRIVATE PLACEMENT								
01/23/2017	HASHIMOTO TRUST	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	80.0	2,800.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$2,800.00
		*** BROKER TRANSACTIONS TOTAL ***	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$2,800.00
<u>ADJUSTMENTS</u>								
02/15/2017		*CONCIERGE SVC: 185651-CUMMINGS	0.00					-50.00
02/15/2017		*CONCIERGE SVC: 185784-SHVARTZMAN	0.00					-50.00
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*TECH: ADMIN J HUGHES	0.00					-100.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-775.00

BROKER COMMISSION TOTALS \$2,800.00 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-775.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$-575.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$1,450.00 Check Amount \$3,500.00 Year-to-date Gross \$2,800.00 Year-to-date Earnings