

STATEMENT

August 20, 2018

JEFF JONES - LPCM

BROKER #: 3016

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for JEFF JONES - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

08/01/2018 #550396	TCA JONES VAR	DO NOT SELL SECURITIES	B	315,561.70	-920.38	0.00	0.00	-920.38	100.0	-920.38
08/01/2018 #550305	TCA JONES VAR	DO NOT SELL SECURITIES	B	142,080.94	-414.40	0.00	0.00	-414.40	100.0	-414.40
08/01/2018 #555896	TCA JONES VAR	DO NOT SELL SECURITIES	B	18,968.66	-55.32	0.00	0.00	-55.32	100.0	-55.32
08/01/2018 #548999	TCA JONES VAR	DO NOT SELL SECURITIES	B	580.41	-1.69	0.00	0.00	-1.69	100.0	-1.69
08/01/2018 #557788	TCA JONES VAR	DO NOT SELL SECURITIES	B	4,336.23	-12.64	0.00	0.00	-12.64	100.0	-12.64
08/01/2018 #557459	TCA JONES VAR	DO NOT SELL SECURITIES	B	27,313.90	-79.66	0.00	0.00	-79.66	100.0	-79.66
08/01/2018 #552744	TCA JONES VAR	DO NOT SELL SECURITIES	B	16,364.76	-47.73	0.00	0.00	-47.73	100.0	-47.73
08/01/2018 #547430	TCA JONES VAR	LPCM AGGR 40/40/20	B	167,296.19	209.12	0.00	0.00	209.12	100.0	209.12
08/01/2018 #549008	TCA JONES VAR	LPCM AGGR 40/40/20	B	876,780.95	1,095.98	0.00	0.00	1,095.98	100.0	1,095.98
08/01/2018 #550396	TCA JONES VAR	LPCM AGGR 40/40/20	B	74,967.38	93.71	0.00	0.00	93.71	100.0	93.71
08/01/2018 #552744	TCA JONES VAR	LPCM AGGR 40/40/20	B	156,226.77	195.28	0.00	0.00	195.28	100.0	195.28
08/01/2018 #547430	TCA JONES VAR	LPCM AGGR 40/40/20	B	167,296.19	-83.64	0.00	0.00	-83.64	100.0	-83.64
08/01/2018 #549008	TCA JONES VAR	LPCM AGGR 40/40/20	B	876,780.95	-409.16	0.00	0.00	-409.16	100.0	-409.16
08/01/2018 #550396	TCA JONES VAR	LPCM AGGR 40/40/20	B	74,967.38	-37.48	0.00	0.00	-37.48	100.0	-37.48
08/01/2018 #552744	TCA JONES VAR	LPCM AGGR 40/40/20	B	156,226.77	-78.11	0.00	0.00	-78.11	100.0	-78.11
08/01/2018 #550402	TCA JONES VAR	LPCM CONS 20/20/60	B	46,942.80	58.68	0.00	0.00	58.68	100.0	58.68
08/01/2018 #557465	TCA JONES VAR	LPCM CONS 20/20/60	B	214,170.03	267.71	0.00	0.00	267.71	100.0	267.71
08/01/2018 #550402	TCA JONES VAR	LPCM CONS 20/20/60	B	46,942.80	-23.47	0.00	0.00	-23.47	100.0	-23.47
08/01/2018 #557465	TCA JONES VAR	LPCM CONS 20/20/60	B	214,170.03	-107.08	0.00	0.00	-107.08	100.0	-107.08
08/01/2018 #547423	TCA JONES VAR	LPCM MOD 30/30/40	B	149,438.56	186.80	0.00	0.00	186.80	100.0	186.80
08/01/2018 #547450	TCA JONES VAR	LPCM MOD 30/30/40	B	73,783.79	92.23	0.00	0.00	92.23	100.0	92.23
08/01/2018 #547500	TCA JONES VAR	LPCM MOD 30/30/40	B	11,456.11	14.32	0.00	0.00	14.32	100.0	14.32
08/01/2018 #548999	TCA JONES VAR	LPCM MOD 30/30/40	B	69,029.55	86.29	0.00	0.00	86.29	100.0	86.29
08/01/2018 #549002	TCA JONES VAR	LPCM MOD 30/30/40	B	38,373.47	47.97	0.00	0.00	47.97	100.0	47.97
08/01/2018 #550305	TCA JONES VAR	LPCM MOD 30/30/40	B	18,934.78	23.67	0.00	0.00	23.67	100.0	23.67
08/01/2018 #550310	TCA JONES VAR	LPCM MOD 30/30/40	B	67,249.89	84.06	0.00	0.00	84.06	100.0	84.06
08/01/2018 #552745	TCA JONES VAR	LPCM MOD 30/30/40	B	168,782.42	210.98	0.00	0.00	210.98	100.0	210.98
08/01/2018 #554109	TCA JONES VAR	LPCM MOD 30/30/40	B	1,115.86	4.17	0.00	0.00	4.17	100.0	4.17
08/01/2018 #557459	TCA JONES VAR	LPCM MOD 30/30/40	B	42,347.40	52.93	0.00	0.00	52.93	100.0	52.93
08/01/2018 #564245	TCA JONES VAR	LPCM MOD 30/30/40	B	229,599.65	287.00	0.00	0.00	287.00	100.0	287.00
08/01/2018 #547423	TCA JONES VAR	LPCM MOD 30/30/40	B	149,438.56	-74.71	0.00	0.00	-74.71	100.0	-74.71

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: RIA</u>										
08/01/2018	#547450 TCA JONES VAR	LPCM MOD 30/30/40	B	73,783.79	-36.89	0.00	0.00	-36.89	100.0	-36.89
08/01/2018	#547500 TCA JONES VAR	LPCM MOD 30/30/40	B	11,456.11	-5.72	0.00	0.00	-5.72	100.0	-5.72
08/01/2018	#548999 TCA JONES VAR	LPCM MOD 30/30/40	B	69,029.55	-34.51	0.00	0.00	-34.51	100.0	-34.51
08/01/2018	#549002 TCA JONES VAR	LPCM MOD 30/30/40	B	38,373.47	-19.18	0.00	0.00	-19.18	100.0	-19.18
08/01/2018	#550305 TCA JONES VAR	LPCM MOD 30/30/40	B	18,934.78	-9.46	0.00	0.00	-9.46	100.0	-9.46
08/01/2018	#550310 TCA JONES VAR	LPCM MOD 30/30/40	B	67,249.89	-33.62	0.00	0.00	-33.62	100.0	-33.62
08/01/2018	#552745 TCA JONES VAR	LPCM MOD 30/30/40	B	168,782.42	-84.39	0.00	0.00	-84.39	100.0	-84.39
08/01/2018	#554109 TCA JONES VAR	LPCM MOD 30/30/40	B	1,115.86	-0.55	0.00	0.00	-0.55	100.0	-0.55
08/01/2018	#557459 TCA JONES VAR	LPCM MOD 30/30/40	B	42,347.40	-21.17	0.00	0.00	-21.17	100.0	-21.17
08/01/2018	#564245 TCA JONES VAR	LPCM MOD 30/30/40	B	229,599.65	-114.79	0.00	0.00	-114.79	100.0	-114.79
08/01/2018	#547493 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	54,177.29	67.72	0.00	0.00	67.72	100.0	67.72
08/01/2018	#547497 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	16,489.69	20.61	0.00	0.00	20.61	100.0	20.61
08/01/2018	#547498 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	97,209.52	121.51	0.00	0.00	121.51	100.0	121.51
08/01/2018	#547493 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	54,177.29	-27.08	0.00	0.00	-27.08	100.0	-27.08
08/01/2018	#547497 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	16,489.69	-8.24	0.00	0.00	-8.24	100.0	-8.24
08/01/2018	#547498 TCA JONES VAR	LPCM ULTRA AGGR 50/50	B	97,209.52	-48.60	0.00	0.00	-48.60	100.0	-48.60
08/01/2018	#550397 TCA JONES VAR	LPCM ULTRA CONS 10/10/80	B	82,785.97	103.48	0.00	0.00	103.48	100.0	103.48
08/01/2018	#550397 TCA JONES VAR	LPCM ULTRA CONS 10/10/80	B	82,785.97	-41.39	0.00	0.00	-41.39	100.0	-41.39
08/01/2018	#555896 TCA JONES VAR	TCA NULL MODEL	B	1,746.80	-0.50	0.00	0.00	-0.50	100.0	-0.50
08/01/2018	#550402 TCA JONES VAR	TCA NULL MODEL	B	10,505.52	-3.06	0.00	0.00	-3.06	100.0	-3.06
08/01/2018	#554109 TCA JONES VAR	TCA NULL MODEL	B	24,484.82	-7.14	0.00	0.00	-7.14	100.0	-7.14
08/01/2018	#547493 TCA JONES VAR	TCA NULL MODEL	B	74,201.84	-21.64	0.00	0.00	-21.64	100.0	-21.64
08/01/2018	#557465 TCA JONES VAR	TCA NULL MODEL	B	6,562.01	-1.91	0.00	0.00	-1.91	100.0	-1.91
08/01/2018	#547430 TCA JONES VAR	TCA NULL MODEL	B	20,674.42	-6.03	0.00	0.00	-6.03	100.0	-6.03
* RIA SUBTOTAL *				\$5,977,698.15	\$452.88	\$0.00	\$0.00	\$452.88		\$452.88
*** BROKER TRANSACTIONS TOTAL ***				\$5,977,698.15	\$452.88	\$0.00	\$0.00	\$452.88		\$452.88

BROKER COMMISSION TOTALS

Broker Transactions	\$452.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$452.88
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