

COMMISSION STATEMENT
April 19, 2018

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/19/2018	CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-345.00	0.00	-345.00	-126.10
04/19/2018	CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	352.20	0.00	352.20	128.73
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$7.20</u>	<u>\$0.00</u>	<u>\$7.20</u>	<u>\$2.63</u>

PRODUCT CATEGORY: RIA

04/17/2018	CUDA (73670), TRACY	SAI ADVISORY INCOME (CUE RIA)	0.00	4,897.77	0.00	4,897.77	1,790.13
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$4,897.77</u>	<u>\$0.00</u>	<u>\$4,897.77</u>	<u>\$1,790.13</u>

PRODUCT CATEGORY: TRAILERS

04/19/2018	CUDA (73670), TRACY	SAI.TRAILS	0.00	7,341.27	0.00	7,341.27	2,683.23
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$7,341.27</u>	<u>\$0.00</u>	<u>\$7,341.27</u>	<u>\$2,683.23</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$12,246.24</u>	<u>\$0.00</u>	<u>\$12,246.24</u>	<u>\$4,475.99</u>

OVERRIDE TRANSACTIONSBROKER: JODI GALLOVICH #73712

04/19/2018	GALLOVICH (73712), JODI	SAI PERSHING CHARGES	0.00	-25.00	0.00	-25.00	-3.36
04/19/2018	GALLOVICH (73712), JODI	SAI SECURITES INCOME	0.00	6,911.84	0.00	6,911.84	929.64
04/19/2018	GALLOVICH (73712), JODI	SAI.TRAILS	0.00	9,662.45	0.00	9,662.45	1,299.60
* JODI GALLOVICH SUBTOTAL *			<u>\$0.00</u>	<u>\$16,549.29</u>	<u>\$0.00</u>	<u>\$16,549.29</u>	<u>\$2,225.88</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$16,549.29</u>	<u>\$0.00</u>	<u>\$16,549.29</u>	<u>\$2,225.88</u>

ADJUSTMENTS

16.	SAI SIPC PE 4/13/18						-112.19
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-112.19</u>

COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$4,475.99
							Split Transactions \$0.00
							Override Transactions \$2,225.88
							Adjustments \$-112.19
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$6,589.68</u>
							<u>Year-to-date Earnings \$145,562.29</u>