



COMMISSION STATEMENT

March 19, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
03/19/2018	***-**-42IN - JENKINS, DAVID	TERRA INCOME FUND # 6 BUY 0 Units @0.0000	15,000.00	101.77	0.00	101.77	88.0	89.56
		* ALTERNATIVE INVESTMENTS SUBTOTAL *	\$15,000.00	\$101.77	\$0.00	\$101.77		\$89.56
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	***-**-6762 - MISHLANIE, ACCOUNT #: 00551362260	37252M108 BUY 5 Units @21.2900	115.00	5.75	0.00	5.75	88.0	5.06
03/05/2018	***-**-817I - WADSWORTH, ACCOUNT #: 00067441742	AMERICAN GLOBAL BALANCED CL C BUY 3 Units @32.6200	110.00	1.10	0.00	1.10	88.0	0.97
03/05/2018	***-**-953I - DINITTO, NICOLE ACCOUNT #: 00088593891	AMERICAN GLOBAL BALANCED CL C BUY 5 Units @32.6200	175.00	1.75	0.00	1.75	88.0	1.54
03/05/2018	***-**-953I - DINITTO, NICOLE ACCOUNT #: 00088593891	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @51.5300	175.00	1.75	0.00	1.75	88.0	1.54
03/07/2018	***-**-788I - ALBARRAN, CARLOS ACCOUNT #: 00085186008	FUNDAMENTAL INVESTORS-C BUY 2 Units @63.1400	100.00	1.00	0.00	1.00	88.0	0.88
03/12/2018	***-**-686I - STRUMPFLE, MARK ACCOUNT #: 00060352385	MFS UTILITIES FUND-B BUY 4 Units @19.5500	75.00	3.00	0.00	3.00	88.0	2.64
03/09/2018	***-**-469I - HAUCK, JUDITH ACCOUNT #: 00087147439	THE INCOME FUND OF AMERICA-A BUY 13 Units @23.9800	300.00	6.00	0.00	6.00	88.0	5.28
03/09/2018	***-**-469I - HAUCK, JUDITH ACCOUNT #: 00087147439	THE INCOME FUND OF AMERICA-A BUY 13 Units @23.9800	300.00	6.00	0.00	6.00	88.0	5.28
03/09/2018	***-**-469I - HAUCK, JUDITH ACCOUNT #: 00087147439	THE INCOME FUND OF AMERICA-A BUY 4 Units @23.9800	98.08	1.96	0.00	1.96	88.0	1.72
03/09/2018	***-**-469I - HAUCK, JUDITH ACCOUNT #: 00087147439	THE INCOME FUND OF AMERICA-A BUY 4 Units @23.9800	98.08	1.96	0.00	1.96	88.0	1.72
		* MUTUAL FUNDS SUBTOTAL *	\$1,546.16	\$30.27	\$0.00	\$30.27		\$26.63
<u>PRODUCT CATEGORY: STOCKS</u>								
03/13/2018	***-**-42IN - JENKINS, DAVID ACCOUNT #: LSR011776	NATIONAL GRID SPON ADR EACH REP 5 O BUY 100 Units @54.6900	5,469.00	67.49	17.00	50.49	88.0	44.43
03/13/2018	***-**-61IN - GORCZYNSKI, ACCOUNT #: LSR010844	PETROLEO BRASILEIRO SA PETROBRAS SP SELL 200 Units @13.6300	2,726.00	57.49	19.00	38.49	88.0	33.87



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
03/15/2018	***-**-753I - SABANOSH, ALAN	PETROLEO BRASILEIRO SA PETROBRAS SP	3,337.50	77.49	20.00	57.49	88.0	50.59
	ACCOUNT #: LSR010909	SELL 250 Units @13.3500						
		* STOCKS SUBTOTAL *	\$11,532.50	\$202.47	\$56.00	\$146.47		\$128.89
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/19/2018	***-**-91IN - RICHARDSON,	AMCAP FUND-F1	0.00	0.56	0.00	0.56	88.0	0.49
	ACCOUNT #: LSR010900	BUY 1 Units @0.0000						
03/19/2018	***-**-21IN - DANIELS, JOHN	AMERICAN BALANCED FUND-A	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/19/2018	***-**-803J - TEESDALE,	AMERICAN BALANCED FUND-A	0.00	0.36	0.00	0.36	88.0	0.32
	ACCOUNT #: LSR011017	BUY 1 Units @0.0000						
03/19/2018	***-**-656I - SMOLA, STANLEY	AMERICAN FUNDS MORTGAGE CL F1	0.00	1.55	0.00	1.55	88.0	1.36
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
03/19/2018	***-**-91IN - RICHARDSON,	AMERICAN FUNDS MORTGAGE CL F1	0.00	1.20	0.00	1.20	88.0	1.06
	ACCOUNT #: LSR010900	BUY 1 Units @0.0000						
03/19/2018	***-**-58IN - SCHRECK, RYAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	4.81	0.00	4.81	88.0	4.23
	ACCOUNT #: LSR010873	BUY 1 Units @0.0000						
03/19/2018	***-**-60IN - SCHRADER, KEVIN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.96	0.00	8.96	88.0	7.88
	ACCOUNT #: LSR010897	BUY 1 Units @0.0000						
03/19/2018	***-**-753I - SABANOSH, ALAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	19.38	0.00	19.38	88.0	17.05
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
03/19/2018	***-**-53TR - SABANOSH, ALAN R	AMERICAN FUNDS MORTGAGE FD CL C	0.00	9.31	0.00	9.31	88.0	8.19
	ACCOUNT #: LSR010917	BUY 1 Units @0.0000						
03/19/2018	***-**-467J - PICKARD, BRIAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	10.14	0.00	10.14	88.0	8.92
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
03/19/2018	***-**-88IN - PICKARD, ELAINE	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.43	0.00	8.43	88.0	7.42
	ACCOUNT #: LSR010957	BUY 1 Units @0.0000						
03/19/2018	***-**-80TR - SCOTELLARO TTEE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	22.56	0.00	22.56	88.0	19.85
	ACCOUNT #: LSR010974	BUY 1 Units @0.0000						
03/19/2018	***-**-69IN - HAUCK, JUDITH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.53	0.00	8.53	88.0	7.51
	ACCOUNT #: LSR010980	BUY 1 Units @0.0000						
03/19/2018	***-**-973I - CHAMBERS, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.48	0.00	8.48	88.0	7.46
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-22IN - GRACIE, JULIA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.42	0.00	7.42	88.0	6.53
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
03/19/2018	***-**-29IO - LORUSSO, VINCENT	AMERICAN FUNDS MORTGAGE FD CL C	0.00	4.86	0.00	4.86	88.0	4.28
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
03/19/2018	***-**-03IN - BOSHART, PEGGY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	3.72	0.00	3.72	88.0	3.27
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
03/19/2018	***-**-753I - SABANOSH, ALAN	AMERICAN GLOBAL BALANCED CL C	0.00	45.17	0.00	45.17	88.0	39.75
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
03/19/2018	***-**-88IN - PICKARD, ELAINE	AMERICAN GLOBAL BALANCED CL C	0.00	17.50	0.00	17.50	88.0	15.40
	ACCOUNT #: LSR010957	BUY 1 Units @0.0000						
03/19/2018	***-**-973I - CHAMBERS, ROGER	AMERICAN GLOBAL BALANCED CL C	0.00	13.21	0.00	13.21	88.0	11.62
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
03/19/2018	***-**-73IN - SCOTELLARO IV,	AMERICAN GLOBAL BALANCED CL C	0.00	6.17	0.00	6.17	88.0	5.43
	ACCOUNT #: LSR011086	BUY 1 Units @0.0000						
03/19/2018	***-**-05IN - HULL, JEFFERY	AMERICAN GLOBAL BALANCED CL C	0.00	13.87	0.00	13.87	88.0	12.21
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
03/19/2018	***-**-90IN - PICKARD, KAREN	AMERICAN GLOBAL BALANCED CL C	0.00	8.91	0.00	8.91	88.0	7.84
	ACCOUNT #: LSR011687	BUY 1 Units @0.0000						
03/19/2018	***-**-03IN - BOSHART, PEGGY	AMERICAN GLOBAL BALANCED CL C	0.00	8.71	0.00	8.71	88.0	7.66
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
03/19/2018	***-**-03IR - BOSHART, PEGGY	AMERICAN GLOBAL BALANCED CL C	0.00	11.68	0.00	11.68	88.0	10.28
	ACCOUNT #: LSR011749	BUY 1 Units @0.0000						
03/19/2018	***-**-21IN - DANIELS, JOHN	AMERICAN GLOBAL BALANCED CLASS A	0.00	4.98	0.00	4.98	88.0	4.38
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/19/2018	***-**-355I - CRIMMINS, KAREN	AMERICAN GLOBAL BALANCED CLASS A	0.00	3.03	0.00	3.03	88.0	2.67
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
03/19/2018	***-**-08TR - SCOTELLARO III,	AMERICAN HIGH-INCOME TRUST-C	0.00	24.66	0.00	24.66	88.0	21.70
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
03/19/2018	***-**-21IN - DANIELS, JOHN	AMERICAN MORTGAGE FUND CL A	0.00	3.78	0.00	3.78	88.0	3.33
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/19/2018	***-**-698J - FEISTHAMEL, MR	AMERICAN MORTGAGE FUND CL A	0.00	1.97	0.00	1.97	88.0	1.73
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-25IR - PERRITANO, ACCOUNT #: LSR010923	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	88.0	0.13
03/19/2018	***-**-25IN - PERRITANO, ACCOUNT #: LSR010924	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	88.0	0.26
03/19/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	CAPITAL INCOME BUILDER-F1 BUY 1 Units @0.0000	0.00	2.35	0.00	2.35	88.0	2.07
03/19/2018	***-**-80IN - GRIFFITH, DAVID ACCOUNT #: LSF000286	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	88.0	0.63
03/19/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	88.0	1.08
03/19/2018	***-**-25IR - PERRITANO, ACCOUNT #: LSR010923	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	88.0	1.01
03/19/2018	***-**-25IN - PERRITANO, ACCOUNT #: LSR010924	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	88.0	0.29
03/19/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: LSR010964	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	88.0	0.54
03/19/2018	***-**-24TR - SCOTELLARO, L ACCOUNT #: LSR010973	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.71	0.00	3.71	88.0	3.26
03/19/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.33	0.00	1.33	88.0	1.17
03/19/2018	***-**-803J - TEESDALE, ACCOUNT #: LSR011017	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	88.0	0.38
03/19/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	5.24	0.00	5.24	88.0	4.61
03/19/2018	***-**-698J - FEISTHAMEL, MR ACCOUNT #: LSR011137	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.32	0.00	2.32	88.0	2.04
03/19/2018	***-**-469I - HAUCK, JUDITH ACCOUNT #: LSR011739	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	88.0	0.77
03/19/2018	***-**-80TR - SCOTELLARO TTEE, ACCOUNT #: LSR010974	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	10.78	0.00	10.78	88.0	9.49
03/19/2018	***-**-973I - CHAMBERS, ROGER ACCOUNT #: LSR011018	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	9.94	0.00	9.94	88.0	8.75



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	CAPITAL WORLD GROWTH AND INCOME-F1 BUY 1 Units @0.0000	0.00	2.76	0.00	2.76	88.0	2.43
03/19/2018	***-**-72IN - THIBAUT, JOHN ACCOUNT #: LSR012086	CAPITAL WORLD GROWTH AND INCOME-F1 BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	88.0	0.55
03/19/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	EATON VANCE LARGE-CAP VALUE CL C BUY 1 Units @0.0000	0.00	19.30	0.00	19.30	88.0	16.98
03/19/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	EUROPACIFIC GROWTH FUND CLASS F BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	88.0	1.26
03/19/2018	***-**-80IN - GRIFFITH, DAVID ACCOUNT #: LSF000286	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	5.28	0.00	5.28	88.0	4.65
03/19/2018	***-**-25IR - PERRITANO, ACCOUNT #: LSR010923	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	88.0	1.13
03/19/2018	***-**-25IN - PERRITANO, ACCOUNT #: LSR010924	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	88.0	0.26
03/19/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	88.0	0.90
03/19/2018	***-**-661I - GORCZYNSKI, ACCOUNT #: LSR011221	EUROPACIFIC GROWTH FUND-A BUY 1 Units @0.0000	0.00	2.93	0.00	2.93	88.0	2.58
03/19/2018	***-**-656I - SMOLA, STANLEY ACCOUNT #: LSR010868	FUNDAMENTAL INVESTORS FUND CLASS F BUY 1 Units @0.0000	0.00	1.06	0.00	1.06	88.0	0.93
03/19/2018	***-**-54IN - THIBAUT, MS ACCOUNT #: LSR011080	FUNDAMENTAL INVESTORS FUND CLASS F BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	88.0	1.44
03/19/2018	***-**-21IN - DANIELS, JOHN ACCOUNT #: LSR010967	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	88.0	1.60
03/19/2018	***-**-803J - TEESDALE, ACCOUNT #: LSR011017	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	88.0	0.22
03/19/2018	***-**-22IN - GRACIE, JULIA ACCOUNT #: LSR011019	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	88.0	1.29
03/19/2018	***-**-369J - DAVIS, BRUCE ACCOUNT #: LSR011027	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	88.0	0.84
03/19/2018	***-**-369I - DAVIS, BRUCE ACCOUNT #: LSR011029	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	88.0	1.46



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-698J - FEISTHAMEL, MR	FUNDAMENTAL INVESTORS-A	0.00	1.33	0.00	1.33	88.0	1.17
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
03/19/2018	***-**-467J - PICKARD, BRIAN	FUNDAMENTAL INVESTORS-C	0.00	4.52	0.00	4.52	88.0	3.98
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
03/19/2018	***-**-621I - DANIELS SR, JOHN	FUNDAMENTAL INVESTORS-C	0.00	16.42	0.00	16.42	88.0	14.45
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						
03/19/2018	***-**-73IR - CHAMBERS, ROGER	FUNDAMENTAL INVESTORS-C	0.00	2.10	0.00	2.10	88.0	1.85
	ACCOUNT #: LSR011020	BUY 1 Units @0.0000						
03/02/2018	***-**-794I - INGERSOLL, JOAN	HARTFORD BALANCED INCOME FD CL A	0.00	0.38	0.00	0.38	88.0	0.33
	ACCOUNT #: 00000871758	BUY 0 Units @0.0000						
03/02/2018	***-**-015P - SABANOSH, SHERYL	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.47	0.00	0.47	88.0	0.41
	ACCOUNT #: 00000856950	BUY 0 Units @0.0000						
03/02/2018	***-**-794I - INGERSOLL, JOAN	HARTFORD CHECKS AND BALANCED FUND C	0.00	0.41	0.00	0.41	88.0	0.36
	ACCOUNT #: 00000871758	BUY 0 Units @0.0000						
03/19/2018	***-**-58IN - SCHRECK, RYAN	INCOME FUND OF AMERICA CLASS C	0.00	9.60	0.00	9.60	88.0	8.45
	ACCOUNT #: LSR010873	BUY 1 Units @0.0000						
03/19/2018	***-**-467J - PICKARD, BRIAN	INCOME FUND OF AMERICA CLASS C	0.00	10.41	0.00	10.41	88.0	9.16
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
03/19/2018	***-**-80TR - SCOTELLARO TTEE,	INCOME FUND OF AMERICA CLASS C	0.00	12.56	0.00	12.56	88.0	11.05
	ACCOUNT #: LSR010974	BUY 1 Units @0.0000						
03/19/2018	***-**-08TR - SCOTELLARO III,	INCOME FUND OF AMERICA CLASS C	0.00	33.19	0.00	33.19	88.0	29.21
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
03/19/2018	***-**-973I - CHAMBERS, ROGER	INCOME FUND OF AMERICA CLASS C	0.00	12.16	0.00	12.16	88.0	10.70
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
03/19/2018	***-**-901O - DRUMM, LOIS	INCOME FUND OF AMERICA CLASS C	0.00	7.50	0.00	7.50	88.0	6.60
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
03/19/2018	***-**-661I - GORCZYNSKI,	NEW WORLD FUND-A	0.00	3.39	0.00	3.39	88.0	2.98
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
03/19/2018	***-**-05IN - HULL, JEFFERY	PRINCIPAL INVESTORS HIGH YIELD CL	0.00	5.61	0.00	5.61	88.0	4.94
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
03/19/2018	***-**-753I - SABANOSH, ALAN	RESOURCE REAL ESTATE TRAILS	0.00	15.69	0.00	15.69	88.0	13.81
	ACCOUNT #: 20022581	BUY 0 Units @0.0000						



COMMISSION STATEMENT

March 19, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-61IN - FILEY, JOHN	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	11.88	0.00	11.88	88.0	10.45
03/19/2018	***-**-42IN - JENKINS, DAVID	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	7.84	0.00	7.84	88.0	6.90
03/19/2018	***-**-60IN - SCHRADER, KEVIN ACCOUNT #: 20021808	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	11.97	0.00	11.97	88.0	10.53
03/19/2018	***-**-11IN - MOYLAN, TIMOTHY ACCOUNT #: 20022106	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	8.99	0.00	8.99	88.0	7.91
03/19/2018	***-**-621I - DANIELS SR, JOHN ACCOUNT #: 20022107	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	15.49	0.00	15.49	88.0	13.63
03/19/2018	***-**-01IN - HAGAN III, ACCOUNT #: 20022138	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	15.49	0.00	15.49	88.0	13.63
03/19/2018	***-**-034J - NIKODEM, JOSEPH	RESOURCE REAL ESTATE TRAILS BUY 0 Units @0.0000	0.00	19.59	0.00	19.59	88.0	17.24
03/19/2018	***-**-804I - RIEBEN, ANNE ACCOUNT #: LSR010877	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.20	0.00	6.20	88.0	5.46
03/19/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.02	0.00	6.02	88.0	5.30
03/19/2018	***-**-423J - SAVICKI, RICHARD ACCOUNT #: LSR010893	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.59	0.00	6.59	88.0	5.80
03/19/2018	***-**-0770 - GAUTHIER, LOUIS ACCOUNT #: LSR010905	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	5.61	0.00	5.61	88.0	4.94
03/19/2018	***-**-924J - FISH, ROY ACCOUNT #: LSR010906	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	7.82	0.00	7.82	88.0	6.88
03/19/2018	***-**-753I - SABANOSH, ALAN ACCOUNT #: LSR010909	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	8.45	0.00	8.45	88.0	7.44
03/19/2018	***-**-53TR - SABANOSH, ALAN R ACCOUNT #: LSR010917	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	8.45	0.00	8.45	88.0	7.44
03/19/2018	***-**-425I - PERRITANO, ACCOUNT #: LSR010922	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	11.01	0.00	11.01	88.0	9.69
03/19/2018	***-**-231J - MISHLANIE JR, ACCOUNT #: LSR010927	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.98	0.00	3.98	88.0	3.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-522J - RIEBEN, HENRY	RESOURCE REAL ESTATE-NFS	0.00	14.85	0.00	14.85	88.0	13.07
	ACCOUNT #: LSR010938	BUY 1 Units @0.0000						
03/19/2018	***-**-467I - PICKARD, BRIAN	RESOURCE REAL ESTATE-NFS	0.00	5.61	0.00	5.61	88.0	4.94
	ACCOUNT #: LSR010944	BUY 1 Units @0.0000						
03/19/2018	***-**-24TR - SCOTELLARO, L	RESOURCE REAL ESTATE-NFS	0.00	9.81	0.00	9.81	88.0	8.63
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
03/19/2018	***-**-08TR - SCOTELLARO III,	RESOURCE REAL ESTATE-NFS	0.00	5.63	0.00	5.63	88.0	4.95
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
03/19/2018	***-**-474J - HAGAN JR,	RESOURCE REAL ESTATE-NFS	0.00	8.41	0.00	8.41	88.0	7.40
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
03/19/2018	***-**-319I - HILLEBRAND, JOAN	RESOURCE REAL ESTATE-NFS	0.00	6.20	0.00	6.20	88.0	5.46
	ACCOUNT #: LSR011002	BUY 1 Units @0.0000						
03/19/2018	***-**-555I - SPAETH, CARLA	RESOURCE REAL ESTATE-NFS	0.00	8.29	0.00	8.29	88.0	7.30
	ACCOUNT #: LSR011016	BUY 1 Units @0.0000						
03/19/2018	***-**-609J - CADY, PATRICK	RESOURCE REAL ESTATE-NFS	0.00	1.80	0.00	1.80	88.0	1.58
	ACCOUNT #: LSR011123	BUY 1 Units @0.0000						
03/19/2018	***-**-698J - FEISTHAMEL, MR	RESOURCE REAL ESTATE-NFS	0.00	7.27	0.00	7.27	88.0	6.40
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
03/19/2018	***-**-05IN - HULL, JEFFERY	RESOURCE REAL ESTATE-NFS	0.00	7.04	0.00	7.04	88.0	6.20
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
03/19/2018	***-**-41TR - DAMSKY, BARRY	RESOURCE REAL ESTATE-NFS	0.00	3.94	0.00	3.94	88.0	3.47
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
03/19/2018	***-**-05IR - HULL, JEFF	RESOURCE REAL ESTATE-NFS	0.00	4.54	0.00	4.54	88.0	4.00
	ACCOUNT #: LSR011534	BUY 1 Units @0.0000						
03/19/2018	***-**-901O - DRUMM, LOIS	RESOURCE REAL ESTATE-NFS	0.00	6.17	0.00	6.17	88.0	5.43
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
03/19/2018	***-**-49IN - SCOTELLARO III,	RESOURCE REAL ESTATE-NFS	0.00	3.38	0.00	3.38	88.0	2.97
	ACCOUNT #: LSR011680	BUY 1 Units @0.0000						
03/19/2018	***-**-90IN - PICKARD, KAREN	RESOURCE REAL ESTATE-NFS	0.00	6.91	0.00	6.91	88.0	6.08
	ACCOUNT #: LSR011687	BUY 1 Units @0.0000						
03/19/2018	***-**-61IN - FILEY, JOHN	RESOURCE REAL ESTATE-NFS	0.00	6.42	0.00	6.42	88.0	5.65
	ACCOUNT #: LSR011723	BUY 1 Units @0.0000						



COMMISSION STATEMENT

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2108 Genesee St.
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BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-03IN - BOSHART, PEGGY	RESOURCE REAL ESTATE-NFS	0.00	7.73	0.00	7.73	88.0	6.80
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
03/19/2018	***-**-03IR - BOSHART, PEGGY	RESOURCE REAL ESTATE-NFS	0.00	5.55	0.00	5.55	88.0	4.88
	ACCOUNT #: LSR011749	BUY 1 Units @0.0000						
03/19/2018	***-**-42IN - JENKINS, DAVID	RESOURCE REAL ESTATE-NFS	0.00	4.14	0.00	4.14	88.0	3.64
	ACCOUNT #: LSR011776	BUY 1 Units @0.0000						
03/19/2018	***-**-718J - LOWE, GREGORY	RESOURCE REAL ESTATE-NFS	0.00	4.27	0.00	4.27	88.0	3.76
	ACCOUNT #: LSR011914	BUY 1 Units @0.0000						
03/19/2018	***-**-14TR - THE KALAMAS,	RESOURCE REAL ESTATE-NFS	0.00	3.38	0.00	3.38	88.0	2.97
	ACCOUNT #: LSR012226	BUY 1 Units @0.0000						
03/19/2018	***-**-804I - RIEBEN, ANNE	RESOURCE REAL ESTATE-NFS	0.00	2.07	0.00	2.07	88.0	1.82
	ACCOUNT #: LSR010877	BUY 1 Units @0.0000						
03/19/2018	***-**-423I - SAVICKI, RICHARD	RESOURCE REAL ESTATE-NFS	0.00	2.01	0.00	2.01	88.0	1.77
	ACCOUNT #: LSR010889	BUY 1 Units @0.0000						
03/19/2018	***-**-423J - SAVICKI, RICHARD	RESOURCE REAL ESTATE-NFS	0.00	2.20	0.00	2.20	88.0	1.94
	ACCOUNT #: LSR010893	BUY 1 Units @0.0000						
03/19/2018	***-**-077O - GAUTHIER, LOUIS	RESOURCE REAL ESTATE-NFS	0.00	1.87	0.00	1.87	88.0	1.65
	ACCOUNT #: LSR010905	BUY 1 Units @0.0000						
03/19/2018	***-**-924J - FISH, ROY	RESOURCE REAL ESTATE-NFS	0.00	2.61	0.00	2.61	88.0	2.30
	ACCOUNT #: LSR010906	BUY 1 Units @0.0000						
03/19/2018	***-**-753I - SABANOSH, ALAN	RESOURCE REAL ESTATE-NFS	0.00	2.82	0.00	2.82	88.0	2.48
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
03/19/2018	***-**-53TR - SABANOSH, ALAN R	RESOURCE REAL ESTATE-NFS	0.00	2.82	0.00	2.82	88.0	2.48
	ACCOUNT #: LSR010917	BUY 1 Units @0.0000						
03/19/2018	***-**-425I - PERRITANO,	RESOURCE REAL ESTATE-NFS	0.00	3.67	0.00	3.67	88.0	3.23
	ACCOUNT #: LSR010922	BUY 1 Units @0.0000						
03/19/2018	***-**-231J - MISHLANIE JR,	RESOURCE REAL ESTATE-NFS	0.00	1.33	0.00	1.33	88.0	1.17
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
03/19/2018	***-**-522J - RIEBEN, HENRY	RESOURCE REAL ESTATE-NFS	0.00	4.95	0.00	4.95	88.0	4.36
	ACCOUNT #: LSR010938	BUY 1 Units @0.0000						
03/19/2018	***-**-467I - PICKARD, BRIAN	RESOURCE REAL ESTATE-NFS	0.00	1.87	0.00	1.87	88.0	1.65
	ACCOUNT #: LSR010944	BUY 1 Units @0.0000						



COMMISSION STATEMENT

March 19, 2018

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BROKER #: A76
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-24TR - SCOTELLARO, L	RESOURCE REAL ESTATE-NFS	0.00	3.27	0.00	3.27	88.0	2.88
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
03/19/2018	***-**-08TR - SCOTELLARO III,	RESOURCE REAL ESTATE-NFS	0.00	1.88	0.00	1.88	88.0	1.65
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
03/19/2018	***-**-474J - HAGAN JR,	RESOURCE REAL ESTATE-NFS	0.00	2.80	0.00	2.80	88.0	2.46
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
03/19/2018	***-**-319I - HILLEBRAND, JOAN	RESOURCE REAL ESTATE-NFS	0.00	2.07	0.00	2.07	88.0	1.82
	ACCOUNT #: LSR011002	BUY 1 Units @0.0000						
03/19/2018	***-**-555I - SPAETH, CARLA	RESOURCE REAL ESTATE-NFS	0.00	2.76	0.00	2.76	88.0	2.43
	ACCOUNT #: LSR011016	BUY 1 Units @0.0000						
03/19/2018	***-**-609J - CADY, PATRICK	RESOURCE REAL ESTATE-NFS	0.00	0.60	0.00	0.60	88.0	0.53
	ACCOUNT #: LSR011123	BUY 1 Units @0.0000						
03/19/2018	***-**-698J - FEISTHAMEL, MR	RESOURCE REAL ESTATE-NFS	0.00	2.42	0.00	2.42	88.0	2.13
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
03/19/2018	***-**-05IN - HULL, JEFFERY	RESOURCE REAL ESTATE-NFS	0.00	2.35	0.00	2.35	88.0	2.07
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
03/19/2018	***-**-41TR - DAMSKY, BARRY	RESOURCE REAL ESTATE-NFS	0.00	1.31	0.00	1.31	88.0	1.15
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
03/19/2018	***-**-05IR - HULL, JEFF	RESOURCE REAL ESTATE-NFS	0.00	1.51	0.00	1.51	88.0	1.33
	ACCOUNT #: LSR011534	BUY 1 Units @0.0000						
03/19/2018	***-**-901O - DRUMM, LOIS	RESOURCE REAL ESTATE-NFS	0.00	2.06	0.00	2.06	88.0	1.81
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
03/19/2018	***-**-49IN - SCOTELLARO III,	RESOURCE REAL ESTATE-NFS	0.00	1.13	0.00	1.13	88.0	0.99
	ACCOUNT #: LSR011680	BUY 1 Units @0.0000						
03/19/2018	***-**-90IN - PICKARD, KAREN	RESOURCE REAL ESTATE-NFS	0.00	2.30	0.00	2.30	88.0	2.02
	ACCOUNT #: LSR011687	BUY 1 Units @0.0000						
03/19/2018	***-**-61IN - FILEY, JOHN	RESOURCE REAL ESTATE-NFS	0.00	2.14	0.00	2.14	88.0	1.88
	ACCOUNT #: LSR011723	BUY 1 Units @0.0000						
03/19/2018	***-**-03IN - BOSHART, PEGGY	RESOURCE REAL ESTATE-NFS	0.00	2.58	0.00	2.58	88.0	2.27
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
03/19/2018	***-**-03IR - BOSHART, PEGGY	RESOURCE REAL ESTATE-NFS	0.00	1.85	0.00	1.85	88.0	1.63
	ACCOUNT #: LSR011749	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-42IN - JENKINS, DAVID	RESOURCE REAL ESTATE-NFS	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR011776	BUY 1 Units @0.0000						
03/19/2018	***-**-718J - LOWE, GREGORY	RESOURCE REAL ESTATE-NFS	0.00	1.42	0.00	1.42	88.0	1.25
	ACCOUNT #: LSR011914	BUY 1 Units @0.0000						
03/19/2018	***-**-14TR - THE KALAMAS,	RESOURCE REAL ESTATE-NFS	0.00	1.13	0.00	1.13	88.0	0.99
	ACCOUNT #: LSR012226	BUY 1 Units @0.0000						
03/19/2018	***-**-22IN - GRACIE, JULIA	RESOURCE REAL ESTATES-NFS	0.00	2.43	0.00	2.43	88.0	2.14
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
03/19/2018	***-**-80IN - GRIFFITH, DAVID	SMALLCAP WORLD FUND-A	0.00	5.67	0.00	5.67	88.0	4.99
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
03/19/2018	***-**-580I - GRIFFITH, DAVID	SMALLCAP WORLD FUND-A	0.00	1.39	0.00	1.39	88.0	1.22
	ACCOUNT #: LSF000295	BUY 1 Units @0.0000						
03/19/2018	***-**-522I - RIEBEN, HENRY	SMALLCAP WORLD FUND-A	0.00	0.64	0.00	0.64	88.0	0.56
	ACCOUNT #: LSR010964	BUY 1 Units @0.0000						
03/19/2018	***-**-21IN - DANIELS, JOHN	SMALLCAP WORLD FUND-A	0.00	1.09	0.00	1.09	88.0	0.96
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/19/2018	***-**-24TR - SCOTELLARO, L	SMALLCAP WORLD FUND-A	0.00	1.44	0.00	1.44	88.0	1.27
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
03/19/2018	***-**-803J - TEESDALE,	SMALLCAP WORLD FUND-A	0.00	0.32	0.00	0.32	88.0	0.28
	ACCOUNT #: LSR011017	BUY 1 Units @0.0000						
03/19/2018	***-**-22IN - GRACIE, JULIA	SMALLCAP WORLD FUND-A	0.00	1.82	0.00	1.82	88.0	1.60
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
03/19/2018	***-**-661I - GORCZYNSKI,	SMALLCAP WORLD FUND-A	0.00	3.75	0.00	3.75	88.0	3.30
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
03/19/2018	***-**-469I - HAUCK, JUDITH	SMALLCAP WORLD FUND-A	0.00	0.65	0.00	0.65	88.0	0.57
	ACCOUNT #: LSR011739	BUY 1 Units @0.0000						
03/19/2018	***-**-656I - SMOLA, STANLEY	SMALLCAP WORLD FUND-F1	0.00	1.82	0.00	1.82	88.0	1.60
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
03/19/2018	***-**-80IN - GRIFFITH, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	1.59	0.00	1.59	88.0	1.40
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
03/19/2018	***-**-29IO - LORUSSO, VINCENT	THE GROWTH FUND OF AMERICA-C	0.00	6.71	0.00	6.71	88.0	5.90
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/02/2018	***-**-794I - INGERSOLL, JOAN	THE HARTFORD EQUITY INCOME FUND - A	0.00	0.55	0.00	0.55	88.0	0.48
	ACCOUNT #: 00000871758	BUY 0 Units @0.0000						
03/02/2018	***-**-015P - SABANOSH, SHERYL	THE HARTFORD FLOATING RATE FUND - A	0.00	0.30	0.00	0.30	88.0	0.26
	ACCOUNT #: 00000856950	BUY 0 Units @0.0000						
03/02/2018	***-**-015P - SABANOSH, SHERYL	THE HARTFORD GLOBAL HEALTH FUND-A	0.00	0.82	0.00	0.82	88.0	0.72
	ACCOUNT #: 00000856950	BUY 0 Units @0.0000						
03/02/2018	***-**-015P - SABANOSH, SHERYL	THE HARTFORD VALUE OPPORTUNITIES FD	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: 00000856950	BUY 0 Units @0.0000						
03/19/2018	***-**-21IN - DANIELS, JOHN	THE INCOME FUND OF AMERICA-A	0.00	3.43	0.00	3.43	88.0	3.02
	ACCOUNT #: LSR010967	BUY 1 Units @0.0000						
03/19/2018	***-**-24TR - SCOTELLARO, L	THE INCOME FUND OF AMERICA-A	0.00	4.26	0.00	4.26	88.0	3.75
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
03/19/2018	***-**-474J - HAGAN JR,	THE INCOME FUND OF AMERICA-A	0.00	2.98	0.00	2.98	88.0	2.62
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
03/19/2018	***-**-803J - TEESDALE,	THE INCOME FUND OF AMERICA-A	0.00	0.32	0.00	0.32	88.0	0.28
	ACCOUNT #: LSR011017	BUY 1 Units @0.0000						
03/19/2018	***-**-698J - FEISTHAMEL, MR	THE INCOME FUND OF AMERICA-A	0.00	1.47	0.00	1.47	88.0	1.29
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
03/19/2018	***-**-661I - GORCZYNSKI,	THE INCOME FUND OF AMERICA-A	0.00	2.05	0.00	2.05	88.0	1.80
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
03/19/2018	***-**-469I - HAUCK, JUDITH	THE INCOME FUND OF AMERICA-A	0.00	1.63	0.00	1.63	88.0	1.43
	ACCOUNT #: LSR011739	BUY 1 Units @0.0000						
03/19/2018	***-**-656I - SMOLA, STANLEY	THE INCOME FUND OF AMERICA-F1	0.00	1.32	0.00	1.32	88.0	1.16
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
03/19/2018	***-**-661I - GORCZYNSKI,	THE NEW ECONOMY FUND-A	0.00	3.40	0.00	3.40	88.0	2.99
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
03/19/2018	***-**-474J - HAGAN JR,	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	23.02	0.00	23.02	88.0	20.26
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$932.94	\$0.00	\$932.94		\$820.92
*** BROKER TRANSACTIONS TOTAL ***			\$28,078.66	\$1,267.45	\$56.00	\$1,211.45		\$1,066.00



COMMISSION STATEMENT

March 19, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: KURT GRUENEWALD</u>								
03/07/2018	***-**-480J - EVANS, RICHARD	AMERICAN BALANCED FUND-A	125.00	5.31	0.00	5.31	88.0	4.67
	ACCOUNT #: 00073288662	BUY 4 Units @28.5700						
03/19/2018	***-**-680I - HARRELL, DARYL	AMERICAN BALANCED FUND-A	0.00	0.81	0.00	0.81	88.0	0.71
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
03/19/2018	***-**-30TR - AND SANDRA	AMERICAN BALANCED FUND-A	0.00	2.62	0.00	2.62	88.0	2.31
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
03/19/2018	***-**-761I - EVANS, DOLORES	AMERICAN BALANCED FUND-C	0.00	13.86	0.00	13.86	88.0	12.20
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/19/2018	***-**-094I - KATRENA L	AMERICAN BALANCED FUND-C	0.00	3.50	0.00	3.50	88.0	3.08
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
03/19/2018	***-**-52IO - EUSEPI, SUSAN	AMERICAN BALANCED FUND-C	0.00	4.71	0.00	4.71	88.0	4.14
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
03/19/2018	***-**-80IO - EVANS, RICHARD	AMERICAN BALANCED FUND-C	0.00	14.06	0.00	14.06	88.0	12.37
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
03/19/2018	***-**-881I - EBERLEY, SUSAN	AMERICAN FUNDS BALANCED PORT CL C	0.00	8.00	0.00	8.00	88.0	7.04
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
03/19/2018	***-**-382K - SATTERLY, NEIL	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.06	0.00	4.06	88.0	3.57
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
03/19/2018	***-**-949I - ACEE, JOSPEH	AMERICAN FUNDS BALANCED PORT CL C	0.00	3.33	0.00	3.33	88.0	2.93
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
03/19/2018	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS BALANCED PORT CL C	0.00	20.55	0.00	20.55	88.0	18.08
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
03/19/2018	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS BALANCED PORT CL C	0.00	5.62	0.00	5.62	88.0	4.95
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
03/19/2018	***-**-031O - MCVOY, ROBERT	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.27	0.00	4.27	88.0	3.76
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
03/19/2018	***-**-15IN - PENDRAK, JOHN	AMERICAN FUNDS BALANCED PORT CL C	0.00	10.90	0.00	10.90	88.0	9.59
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
03/19/2018	***-**-959I - FRANZ, PAUL	AMERICAN FUNDS BALANCED PORT CL C	0.00	9.59	0.00	9.59	88.0	8.44
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
03/19/2018	***-**-567I - FRANZ, ALICE	AMERICAN FUNDS BALANCED PORT CL C	0.00	6.44	0.00	6.44	88.0	5.67
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	10.81	0.00	10.81	88.0	9.51
03/19/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	9.15	0.00	9.15	88.0	8.05
03/19/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	10.77	0.00	10.77	88.0	9.48
03/19/2018	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.98	0.00	4.98	88.0	4.38
03/19/2018	***-**-73UT - COBB, CHRSTIAN ACCOUNT #: LSR011104	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.95	0.00	4.95	88.0	4.36
03/19/2018	***-**-67UT - COBB, ACCOUNT #: LSR011105	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.95	0.00	4.95	88.0	4.36
03/19/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	88.0	2.08
03/19/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	6.81	0.00	6.81	88.0	5.99
03/19/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	14.59	0.00	14.59	88.0	12.84
03/19/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.57	0.00	4.57	88.0	4.02
03/19/2018	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	4.71	0.00	4.71	88.0	4.14
03/19/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	88.0	2.93
03/19/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.34	0.00	3.34	88.0	2.94
03/19/2018	***-**-653I - KOCHEM, CLINTON ACCOUNT #: LSR011300	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.39	0.00	3.39	88.0	2.98
03/19/2018	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	3.78	0.00	3.78	88.0	3.33
03/19/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	88.0	1.36



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	88.0	0.65
03/19/2018	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	88.0	0.20
03/19/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	1.89	0.00	1.89	88.0	1.66
03/19/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS GLBL GROWTH PORT CL BUY 1 Units @0.0000	0.00	3.11	0.00	3.11	88.0	2.74
03/19/2018	***-**-87IN - HINCKLEY, ACCOUNT #: LSR010834	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	5.89	0.00	5.89	88.0	5.18
03/19/2018	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.41	0.00	7.41	88.0	6.52
03/19/2018	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	6.62	0.00	6.62	88.0	5.83
03/19/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.71	0.00	7.71	88.0	6.78
03/19/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	11.67	0.00	11.67	88.0	10.27
03/19/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	11.58	0.00	11.58	88.0	10.19
03/19/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	4.47	0.00	4.47	88.0	3.93
03/19/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	11.64	0.00	11.64	88.0	10.24
03/19/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	88.0	8.19
03/19/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.73	0.00	7.73	88.0	6.80
03/19/2018	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.69	0.00	7.69	88.0	6.77
03/19/2018	***-**-00IO - LEE, GARY ACCOUNT #: LSR011059	AMERICAN FUNDS MORTGAGE FD CL C BUY 1 Units @0.0000	0.00	7.80	0.00	7.80	88.0	6.86



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-44IO - MAYO, DEBORAH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	11.58	0.00	11.58	88.0	10.19
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/19/2018	***-**-579J - RHYMESTINE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.66	0.00	7.66	88.0	6.74
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
03/19/2018	***-**-09IO - BATES, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	19.95	0.00	19.95	88.0	17.56
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
03/19/2018	***-**-48IO - ANACKER, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	5.80	0.00	5.80	88.0	5.10
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - LEE, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.50	0.00	12.50	88.0	11.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/19/2018	***-**-094I - KATRENA L	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.70	0.00	2.70	88.0	2.38
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
03/19/2018	***-**-439J - MCCARTHY, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.26	0.00	2.26	88.0	1.99
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
03/19/2018	***-**-52IO - EUSEPI, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.68	0.00	2.68	88.0	2.36
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
03/19/2018	***-**-473J - HUSTON,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.76	0.00	7.76	88.0	6.83
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/19/2018	***-**-80IO - EVANS, RICHARD	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.15	0.00	6.15	88.0	5.41
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
03/19/2018	***-**-376I - BERBEN, GAIL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.54	0.00	7.54	88.0	6.64
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
03/19/2018	***-**-21IO - RADELL, SANDRA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.53	0.00	7.53	88.0	6.63
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
03/19/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	15.06	0.00	15.06	88.0	13.25
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/19/2018	***-**-420T - DEMETRI, AMELIA	AMERICAN FUNDS PRESERVATION PORT C	0.00	3.82	0.00	3.82	88.0	3.36
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
03/09/2018	***-**-452I - ROBERTS, LILLIAN	AMERICAN GLOBAL BALANCED CL C	12,000.01	120.00	0.00	120.00	88.0	105.60
	ACCOUNT #: LSR012350	BUY 364 Units @32.9600						
03/14/2018	***-**-188I - BRADLEY, WAYNE	AMERICAN GLOBAL BALANCED CL C	9,999.99	100.00	0.00	100.00	88.0	88.00
	ACCOUNT #: LSR012484	BUY 304 Units @32.9000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	8.66	0.00	8.66	88.0	7.62
03/19/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	10.48	0.00	10.48	88.0	9.22
03/19/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	3.88	0.00	3.88	88.0	3.41
03/19/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	13.11	0.00	13.11	88.0	11.54
03/19/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	10.31	0.00	10.31	88.0	9.07
03/19/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	10.01	0.00	10.01	88.0	8.81
03/19/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	8.64	0.00	8.64	88.0	7.60
03/19/2018	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	88.0	5.68
03/19/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	13.35	0.00	13.35	88.0	11.75
03/19/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	25.22	0.00	25.22	88.0	22.19
03/19/2018	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	7.33	0.00	7.33	88.0	6.45
03/19/2018	***-**-00IO - LEE, GARY ACCOUNT #: LSR011059	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	12.21	0.00	12.21	88.0	10.74
03/19/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	26.62	0.00	26.62	88.0	23.43
03/19/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	15.45	0.00	15.45	88.0	13.60
03/19/2018	***-**-094I - KATRENA L ACCOUNT #: LSR011188	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	6.93	0.00	6.93	88.0	6.10
03/19/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	AMERICAN GLOBAL BALANCED CL C BUY 1 Units @0.0000	0.00	17.74	0.00	17.74	88.0	15.61



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-07IO - FAMOLARO, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	5.25	0.00	5.25	88.0	4.62
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
03/19/2018	***-**-376I - BERBEN, GAIL	AMERICAN GLOBAL BALANCED CL C	0.00	16.49	0.00	16.49	88.0	14.51
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
03/19/2018	***-**-21IO - RADELL, SANDRA	AMERICAN GLOBAL BALANCED CL C	0.00	5.28	0.00	5.28	88.0	4.65
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
03/19/2018	***-**-45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C	0.00	15.21	0.00	15.21	88.0	13.38
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/19/2018	***-**-14IO - BUSHEY, MATTHEW	AMERICAN GLOBAL BALANCED CL C	0.00	17.49	0.00	17.49	88.0	15.39
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
03/19/2018	***-**-37IO - MCCLUSKY, MARK	AMERICAN GLOBAL BALANCED CL C	0.00	8.64	0.00	8.64	88.0	7.60
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/19/2018	***-**-86IN - LEE, KEVIN	AMERICAN GLOBAL BALANCED CL C	0.00	7.70	0.00	7.70	88.0	6.78
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/19/2018	***-**-03IO - MASTRANGELO,	AMERICAN GLOBAL BALANCED CL C	0.00	8.72	0.00	8.72	88.0	7.67
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
03/19/2018	***-**-633J -	AMERICAN GLOBAL BALANCED CL C	0.00	3.16	0.00	3.16	88.0	2.78
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
03/19/2018	***-**-702I - KOHEM, MICHAEL	AMERICAN GLOBAL BALANCED CLASS A	0.00	2.39	0.00	2.39	88.0	2.10
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
03/19/2018	***-**-63TR - EVANS, AUDREY J	AMERICAN GLOBAL BALANCED CLASS A	0.00	5.51	0.00	5.51	88.0	4.85
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/19/2018	***-**-30TR - AND SANDRA	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.42	0.00	1.42	88.0	1.25
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
03/19/2018	***-**-287I - HINCKLEY,	AMERICAN MUTUAL FUND-A	0.00	4.98	0.00	4.98	88.0	4.38
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
03/19/2018	***-**-13IN - GRUENEWALD,	AMERICAN WASHINGTON MUTUAL INVESTOR	0.00	0.31	0.00	0.31	88.0	0.27
	ACCOUNT #: LSR011210	BUY 1 Units @0.0000						
03/19/2018	***-**-254I - AUGUSTINE, LINDA	CAPITAL INCOME BUILDER CLASS C	0.00	6.61	0.00	6.61	88.0	5.82
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/19/2018	***-**-881J - EBERLEY, SUSAN	CAPITAL INCOME BUILDER CLASS C	0.00	4.47	0.00	4.47	88.0	3.93
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						



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DAVID GRIFFITH
2108 Genesee St.
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	13.39	0.00	13.39	88.0	11.78
03/19/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.38	0.00	4.38	88.0	3.85
03/19/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.92	0.00	4.92	88.0	4.33
03/19/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	8.08	0.00	8.08	88.0	7.11
03/19/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	10.84	0.00	10.84	88.0	9.54
03/19/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	8.69	0.00	8.69	88.0	7.65
03/19/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	6.22	0.00	6.22	88.0	5.47
03/19/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	6.72	0.00	6.72	88.0	5.91
03/19/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.79	0.00	4.79	88.0	4.22
03/19/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	88.0	1.47
03/19/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	4.15	0.00	4.15	88.0	3.65
03/19/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	3.54	0.00	3.54	88.0	3.12
03/19/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	13.38	0.00	13.38	88.0	11.77
03/19/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	6.41	0.00	6.41	88.0	5.64
03/19/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	88.0	2.31
03/19/2018	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.79	0.00	3.79	88.0	3.34



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: KURT GRUENEWALD (continued)</u> 								
03/19/2018	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.37	0.00	3.37	88.0	2.97
03/19/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.94	0.00	1.94	88.0	1.71
03/19/2018	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	88.0	0.80
03/19/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	88.0	1.78
03/19/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	88.0	0.25
03/19/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	88.0	1.74
03/19/2018	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.45	0.00	3.45	88.0	3.04
03/19/2018	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	88.0	1.27
03/19/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	88.0	1.47
03/19/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.32	0.00	1.32	88.0	1.16
03/19/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	88.0	1.27
03/19/2018	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	88.0	0.91
03/19/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	88.0	1.14
03/19/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.40	0.00	1.40	88.0	1.23
03/19/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.30	0.00	2.30	88.0	2.02
03/19/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	6.06	0.00	6.06	88.0	5.33



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-09IO - BATES, FBO MRS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.84	0.00	9.84	88.0	8.66
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
03/19/2018	***-**-11IO - LYNN G.	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.79	0.00	10.79	88.0	9.50
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/19/2018	***-**-45IO - JOHNSON, GREGORY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	7.37	0.00	7.37	88.0	6.49
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/19/2018	***-**-959I - FRANZ, PAUL	COLUMBIA MARSICO FOCUSED EQ CL A	0.00	0.21	0.00	0.21	88.0	0.18
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - JOSEPH, ANN	EATON VANCE FLOATING RATE ADVANTAGE	10,000.00	100.00	0.00	100.00	88.0	88.00
	ACCOUNT #: LSR012337	BUY 915 Units @10.9300						
03/14/2018	***-**-132O - EVANS, THOMAS	EATON VANCE FLOATING RATE ADVANTAGE	5,000.00	50.00	0.00	50.00	88.0	44.00
	ACCOUNT #: LSR011074	BUY 457 Units @10.9500						
03/14/2018	***-**-452I - ROBERTS, LILLIAN	EATON VANCE FLOATING RATE ADVANTAGE	5,000.00	50.00	0.00	50.00	88.0	44.00
	ACCOUNT #: LSR012350	BUY 457 Units @10.9500						
03/14/2018	***-**-188I - BRADLEY, WAYNE	EATON VANCE FLOATING RATE ADVANTAGE	5,000.00	50.00	0.00	50.00	88.0	44.00
	ACCOUNT #: LSR012484	BUY 457 Units @10.9500						
03/19/2018	***-**-944O - MAYO, DEBORAH	EATON VANCE MF TRAILS	0.00	0.39	0.00	0.39	88.0	0.34
	ACCOUNT #: 5034930049	BUY 0 Units @0.0000						
03/19/2018	***-**-20IO - DEMETRI, AMELIA	EUROPACIFIC GROWTH FUND-A	0.00	1.47	0.00	1.47	88.0	1.29
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
03/19/2018	***-**-326I - GRANDE, FBO MR	EUROPACIFIC GROWTH FUND-A	0.00	1.23	0.00	1.23	88.0	1.08
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
03/19/2018	***-**-680I - HARRELL, DARYL	EUROPACIFIC GROWTH FUND-A	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
03/19/2018	***-**-111J - NESSIA, JAMES	FIDELITY ADV EMERGING MRKTS INC CL	0.00	0.71	0.00	0.71	88.0	0.62
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
03/19/2018	***-**-62IN - EATON, DAVID	FIDELITY ADV EMERGING MRKTS INC CL	0.00	1.10	0.00	1.10	88.0	0.97
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
03/19/2018	***-**-930I - SANGER, KEVIN	FIDELITY ADV EQUITY INCOME CL A	0.00	1.98	0.00	1.98	88.0	1.74
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/19/2018	***-**-579I - RHYMESTINE,	FIDELITY ADV INDUSTRIALS-CL C	0.00	13.41	0.00	13.41	88.0	11.80
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADV SMALL CAP CL C BUY 1 Units @0.0000	0.00	4.62	0.00	4.62	88.0	4.07
03/19/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADV STOCK SELECTOR ALL CAP BUY 1 Units @0.0000	0.00	4.45	0.00	4.45	88.0	3.92
03/19/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR EQUITY INCOME CL C BUY 1 Units @0.0000	0.00	18.85	0.00	18.85	88.0	16.59
03/19/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR INT'L DISCOVERY CL BUY 1 Units @0.0000	0.00	14.97	0.00	14.97	88.0	13.17
03/19/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR INTERMEDIATE BOND BUY 1 Units @0.0000	0.00	7.53	0.00	7.53	88.0	6.63
03/19/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 1 Units @0.0000	0.00	1.90	0.00	1.90	88.0	1.67
03/19/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	FIDELITY ADVISOR MORTGAGE SECS CL A BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	88.0	0.20
03/19/2018	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	FIDELITY ADVISOR MORTGAGE SECS CL C BUY 1 Units @0.0000	0.00	5.19	0.00	5.19	88.0	4.57
03/19/2018	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	88.0	1.78
03/19/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	88.0	0.96
03/19/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	88.0	0.81
03/19/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	88.0	0.91
03/19/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	88.0	1.59
03/19/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	88.0	1.14
03/19/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	88.0	1.01
03/19/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.73	0.00	1.73	88.0	1.52



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/12/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	FUNDAMENTAL INVESTORS-C BUY 155 Units @64.4800	10,000.01	100.00	0.00	100.00	88.0	88.00
03/19/2018	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.03	0.00	4.03	88.0	3.55
03/19/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	10.29	0.00	10.29	88.0	9.06
03/19/2018	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	2.82	0.00	2.82	88.0	2.48
03/19/2018	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	2.70	0.00	2.70	88.0	2.38
03/19/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.89	0.00	4.89	88.0	4.30
03/19/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.49	0.00	4.49	88.0	3.95
03/19/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	10.64	0.00	10.64	88.0	9.36
03/19/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	8.27	0.00	8.27	88.0	7.28
03/19/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	5.31	0.00	5.31	88.0	4.67
03/19/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	4.87	0.00	4.87	88.0	4.29
03/19/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	14.57	0.00	14.57	88.0	12.82
03/19/2018	***-**-306X - COBB, CHERRYLL ACCOUNT #: LSR011037	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	5.28	0.00	5.28	88.0	4.65
03/19/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	88.0	0.70
03/19/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	3.26	0.00	3.26	88.0	2.87
03/19/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	10.32	0.00	10.32	88.0	9.08



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-506J - CURRIERE JR, MR	FUNDAMENTAL INVESTORS-C	0.00	4.34	0.00	4.34	88.0	3.82
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
03/19/2018	***-**-48IO - ANACKER, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	4.63	0.00	4.63	88.0	4.07
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - LEE, ROGER	FUNDAMENTAL INVESTORS-C	0.00	5.19	0.00	5.19	88.0	4.57
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/19/2018	***-**-31IO - WILLIAMSON, FBO	FUNDAMENTAL INVESTORS-C	0.00	6.93	0.00	6.93	88.0	6.10
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/19/2018	***-**-64IO - PFAFF, GEORGE	FUNDAMENTAL INVESTORS-C	0.00	3.37	0.00	3.37	88.0	2.97
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
03/19/2018	***-**-62IR - ROBBIANO, FBO	FUNDAMENTAL INVESTORS-C	0.00	2.99	0.00	2.99	88.0	2.63
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
03/19/2018	***-**-11IO - LYNN G.	FUNDAMENTAL INVESTORS-C	0.00	11.20	0.00	11.20	88.0	9.86
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/19/2018	***-**-653I - KOCHER, CLINTON	FUNDAMENTAL INVESTORS-C	0.00	10.51	0.00	10.51	88.0	9.25
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/19/2018	***-**-376I - BERBEN, GAIL	FUNDAMENTAL INVESTORS-C	0.00	13.98	0.00	13.98	88.0	12.30
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
03/19/2018	***-**-21IO - RADELL, SANDRA	FUNDAMENTAL INVESTORS-C	0.00	4.15	0.00	4.15	88.0	3.65
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
03/19/2018	***-**-45IO - JOHNSON, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	11.21	0.00	11.21	88.0	9.86
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/19/2018	***-**-14IO - BUSHEY, MATTHEW	FUNDAMENTAL INVESTORS-C	0.00	13.22	0.00	13.22	88.0	11.63
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
03/19/2018	***-**-579J - RHYMESTINE,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.81	0.00	0.81	88.0	0.71
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
03/19/2018	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	1.52	0.00	1.52	88.0	1.34
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/19/2018	***-**-44IO - MAYO, DEBORAH	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/19/2018	***-**-367J - WAGNER, MRS LANT	GROWTH FUND OF AMERICA CLASS F	0.00	3.00	0.00	3.00	88.0	2.64
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						



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DAVID GRIFFITH
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BROKER #: A76
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	88.0	2.93
03/19/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	11.06	0.00	11.06	88.0	9.73
03/19/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	9.95	0.00	9.95	88.0	8.76
03/19/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	88.0	1.43
03/19/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	4.97	0.00	4.97	88.0	4.37
03/19/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	13.76	0.00	13.76	88.0	12.11
03/19/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	29.36	0.00	29.36	88.0	25.84
03/19/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	13.70	0.00	13.70	88.0	12.06
03/19/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	12.33	0.00	12.33	88.0	10.85
03/19/2018	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	4.49	0.00	4.49	88.0	3.95
03/19/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	17.54	0.00	17.54	88.0	15.44
03/19/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	11.63	0.00	11.63	88.0	10.23
03/19/2018	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	INTERMEDIATE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	88.0	0.11
03/19/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	INTERMEDIATE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	4.96	0.00	4.96	88.0	4.36
03/19/2018	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	INTERNATIONAL GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	88.0	0.67
03/19/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	INTERNATIONAL GROWTH AND INCOME-C BUY 1 Units @0.0000	0.00	12.42	0.00	12.42	88.0	10.93



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/12/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	LORD ABBETT SHORT DURATION INCOME C BUY 3538 Units @4.2400	15,000.00	150.00	0.00	150.00	88.0	132.00
03/14/2018	***-**-132O - EVANS, THOMAS ACCOUNT #: LSR011074	LORD ABBETT SHORT DURATION INCOME C BUY 2364 Units @4.2300	10,000.00	100.00	0.00	100.00	88.0	88.00
03/14/2018	***-**-32IO - WITT, DEBORAH ACCOUNT #: LSR012285	LORD ABBETT SHORT DURATION INCOME C BUY 1182 Units @4.2300	5,000.00	50.00	0.00	50.00	88.0	44.00
03/14/2018	***-**-452I - ROBERTS, LILLIAN ACCOUNT #: LSR012350	LORD ABBETT SHORT DURATION INCOME C BUY 1182 Units @4.2300	5,000.00	50.00	0.00	50.00	88.0	44.00
03/14/2018	***-**-188I - BRADLEY, WAYNE ACCOUNT #: LSR012484	LORD ABBETT SHORT DURATION INCOME C BUY 1773 Units @4.2300	7,500.00	75.00	0.00	75.00	88.0	66.00
03/14/2018	***-**-132O - EVANS, THOMAS ACCOUNT #: LSR011074	MFS TECHNOLOGY CLASS C BUY 276 Units @36.2100	10,000.01	100.00	0.00	100.00	88.0	88.00
03/14/2018	***-**-188I - BRADLEY, WAYNE ACCOUNT #: LSR012484	MFS TECHNOLOGY CLASS C BUY 138 Units @36.2100	4,999.99	50.00	0.00	50.00	88.0	44.00
03/09/2018	***-**-452I - ROBERTS, LILLIAN ACCOUNT #: LSR012350	MFS UTILITIES FUND-C BUY 257 Units @19.4500	4,999.99	50.00	0.00	50.00	88.0	44.00
03/19/2018	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	88.0	1.49
03/19/2018	***-**-702I - KOHEM, MICHAEL ACCOUNT #: LSR010954	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	88.0	2.25
03/19/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	2.33	0.00	2.33	88.0	2.05
03/19/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	88.0	0.48
03/19/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	88.0	0.75
03/19/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	88.0	1.69
03/08/2018	***-**-213J - GRUENEWALD, ACCOUNT #: LSR011616	NRG ENERGY INC COM NEW BUY 100 Units @29.6850	2,968.50	30.64	0.00	30.64	88.0	26.96
03/12/2018	***-**-0653 - EVANS, ANDREW ACCOUNT #: VP71063010	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	246.69	3.70	0.00	3.70	88.0	3.26



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: KURT GRUENEWALD (continued)</u>								
03/12/2018	***-**-0653 - EVANS, ANDREW	PACIFIC LIFE SE5-NYB DTCC	94.31	1.41	0.00	1.41	88.0	1.24
	ACCOUNT #: VP71063010	BUY 0 Units @0.0000						
03/19/2018	***-**-671I - EBERLEY, REID	RESOURCE REAL ESTATE TRAILS	0.00	11.76	0.00	11.76	88.0	10.35
	ACCOUNT #: 20021572	BUY 0 Units @0.0000						
03/19/2018	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE TRAILS	0.00	8.00	0.00	8.00	88.0	7.04
	ACCOUNT #: 020026000	BUY 0 Units @0.0000						
03/19/2018	***-**-524I - SCALISE, JOSEPH	RESOURCE REAL ESTATE TRAILS	0.00	0.47	0.00	0.47	88.0	0.41
		BUY 0 Units @0.0000						
03/19/2018	***-**-15IN - PENDRAK, JOHN	RESOURCE REAL ESTATE TRAILS	0.00	1.52	0.00	1.52	88.0	1.34
		BUY 0 Units @0.0000						
03/19/2018	***-**-231I - WARMUTH,	RESOURCE REAL ESTATE TRAILS	0.00	2.19	0.00	2.19	88.0	1.93
		BUY 0 Units @0.0000						
03/19/2018	***-**-65IN - LAZIO, THOMAS	RESOURCE REAL ESTATE TRAILS	0.00	15.49	0.00	15.49	88.0	13.63
	ACCOUNT #: 20010459	BUY 0 Units @0.0000						
03/19/2018	***-**-31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	5.08	0.00	5.08	88.0	4.47
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
03/19/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	3.38	0.00	3.38	88.0	2.97
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
03/19/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	11.23	0.00	11.23	88.0	9.88
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/19/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	4.80	0.00	4.80	88.0	4.22
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
03/19/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	5.61	0.00	5.61	88.0	4.94
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/19/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	5.89	0.00	5.89	88.0	5.18
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/19/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	10.11	0.00	10.11	88.0	8.90
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/19/2018	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE-NFS	0.00	3.13	0.00	3.13	88.0	2.75
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/19/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	6.73	0.00	6.73	88.0	5.92
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	12.61	0.00	12.61	88.0	11.10
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/19/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	5.59	0.00	5.59	88.0	4.92
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/19/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	5.61	0.00	5.61	88.0	4.94
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/19/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	5.59	0.00	5.59	88.0	4.92
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/19/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	2.82	0.00	2.82	88.0	2.48
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/19/2018	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	5.57	0.00	5.57	88.0	4.90
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/19/2018	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	11.21	0.00	11.21	88.0	9.86
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
03/19/2018	***-**-633J -	RESOURCE REAL ESTATE-NFS	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
03/19/2018	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	4.43	0.00	4.43	88.0	3.90
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
03/19/2018	***-**-31IO - HARTZ, CLAUDIA	RESOURCE REAL ESTATE-NFS	0.00	1.69	0.00	1.69	88.0	1.49
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
03/19/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	1.13	0.00	1.13	88.0	0.99
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
03/19/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	3.74	0.00	3.74	88.0	3.29
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/19/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	1.60	0.00	1.60	88.0	1.41
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
03/19/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	1.87	0.00	1.87	88.0	1.65
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/19/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	1.96	0.00	1.96	88.0	1.72
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/19/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	3.37	0.00	3.37	88.0	2.97
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE-NFS	0.00	1.04	0.00	1.04	88.0	0.92
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/19/2018	***-**-86II - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	2.24	0.00	2.24	88.0	1.97
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/19/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	4.20	0.00	4.20	88.0	3.70
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/19/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	1.86	0.00	1.86	88.0	1.64
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/19/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	1.87	0.00	1.87	88.0	1.65
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/19/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	1.86	0.00	1.86	88.0	1.64
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/19/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	0.94	0.00	0.94	88.0	0.83
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/19/2018	***-**-86IN - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	1.86	0.00	1.86	88.0	1.64
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/19/2018	***-**-531J - WILLIAMSON,	RESOURCE REAL ESTATE-NFS	0.00	3.74	0.00	3.74	88.0	3.29
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
03/19/2018	***-**-633J -	RESOURCE REAL ESTATE-NFS	0.00	0.55	0.00	0.55	88.0	0.48
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
03/19/2018	***-**-714O - LINES, JUDITH	RESOURCE REAL ESTATE-NFS	0.00	1.48	0.00	1.48	88.0	1.30
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
03/19/2018	***-**-68OI - HARRELL, DARYL	SMALLCAP WORLD FUND-A	0.00	0.80	0.00	0.80	88.0	0.70
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
03/19/2018	***-**-382I - SATTERLY, NEIL	SMALLCAP WORLD FUND-C	0.00	7.92	0.00	7.92	88.0	6.97
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
03/19/2018	***-**-567I - FRANZ, ALICE	SMALLCAP WORLD FUND-C	0.00	6.51	0.00	6.51	88.0	5.73
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/19/2018	***-**-44IO - MAYO, DEBORAH	SMALLCAP WORLD FUND-C	0.00	5.53	0.00	5.53	88.0	4.87
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/19/2018	***-**-09IO - BATES, FBO MRS	SMALLCAP WORLD FUND-C	0.00	10.66	0.00	10.66	88.0	9.38
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
03/19/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	11.12	0.00	11.12	88.0	9.79
03/19/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	SMALLCAP WORLD FUND-C BUY 1 Units @0.0000	0.00	7.25	0.00	7.25	88.0	6.38
03/19/2018	***-**-14IN - LINES, JUDITH	TERRA INCOME FUND # 6 BUY 0 Units @0.0000	9,500.00	64.45	0.00	64.45	88.0	56.72
03/07/2018	***-**-480J - EVANS, RICHARD ACCOUNT #: 00073288662	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.6300	125.00	5.31	0.00	5.31	88.0	4.67
03/19/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	88.0	1.14
03/19/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.98	0.00	1.98	88.0	1.74
03/19/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	9.52	0.00	9.52	88.0	8.38
03/19/2018	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.16	0.00	4.16	88.0	3.66
03/19/2018	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	88.0	2.86
03/19/2018	***-**-959J - FRANZ, PAUL ACCOUNT #: LSR010958	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	88.0	2.31
03/19/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.62	0.00	1.62	88.0	1.43
03/19/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	88.0	0.81
03/19/2018	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.12	0.00	2.12	88.0	1.87
03/19/2018	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	88.0	0.90
03/19/2018	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	88.0	0.77
03/19/2018	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	88.0	2.13



COMMISSION STATEMENT

March 19, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 BROKER: KURT GRUENEWALD (continued) 								
03/19/2018	***-**-80IO - EVANS, RICHARD	THE NEW ECONOMY FUND-C	0.00	10.56	0.00	10.56	88.0	9.29
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
03/19/2018	***-**-959J - FRANZ, PAUL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	3.28	0.00	3.28	88.0	2.89
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
03/19/2018	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO ASSET ALLOCATION CL A	0.00	2.82	0.00	2.82	88.0	2.48
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
03/19/2018	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO GLOBAL OPPT FD CL A	0.00	1.25	0.00	1.25	88.0	1.10
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
03/19/2018	***-**-680I - HARRELL, DARYL	WELLS FARGO SPECIALIZED TECH A	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
03/19/2018	***-**-680I - HARRELL, DARYL	WELLS FARGO UTILITY TELECOM CL A	0.00	1.17	0.00	1.17	88.0	1.03
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
	* KURT GRUENEWALD SUBTOTAL *		\$132,559.50	\$2,935.91	\$0.00	\$2,935.91		\$2,583.57
	*** OVERRIDE TRANSACTIONS TOTAL ***		\$132,559.50	\$2,935.91	\$0.00	\$2,935.91		\$2,583.57

BROKER COMMISSION TOTALS

Broker Transactions	\$1,066.00
Split Transactions	\$0.00
Override Transactions	\$2,583.57
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,649.57
Year-to-date Earnings	\$57,372.28