



Concorde Investment Services, LLC
COMMISSION STATEMENT
 June 15, 2017

06/13/2017
 Page No. 1

ROBIN EDGAR
 1161 WAYZATA BLVD E
 #400
 Wayzata, MN 55391

BROKER #: E119
 BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/30/2017	O'CONNELL, RUSSELL	BOURNE SENIORS HOUSING III	30,000.00	2,100.00	0.00	2,100.00	90.0	1,890.00
05/24/2017	KOSS-WINN BANC ESOP	BOURNE SENIORS HOUSING III	35,000.00	2,450.00	0.00	2,450.00	90.0	2,205.00
05/30/2017	DOANE TRUST, LAVONNE	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
05/30/2017	DOANE TRUST, LAVONNE	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
05/24/2017	KOSS-WINN BANC ESOP	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
03/30/2017	GROSS, JUDY	SHOPOFF LAND FUND V	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
05/24/2017	KOSS-WINN BANC ESOP	SHOPOFF LAND FUND V	28,000.00	1,960.00	0.00	1,960.00	90.0	1,764.00
06/12/2017	AUDINO, JAMES	SHOPOFF LAND FUND V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* PRIVATE PLACEMENT SUBTOTAL *			\$268,000.00	\$18,760.00	\$0.00	\$18,760.00		\$16,884.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	LANGFALD, RONALD	AM FUNDS AMCAP A	0.00	23.93	0.00	23.93	90.0	21.54
06/01/2017	LANGFALD, ARDIS	AM FUNDS AMCAP A	0.00	14.65	0.00	14.65	90.0	13.19
06/01/2017	MOKLESTAD, NANCY	AM FUNDS AMCAP A	0.00	1.95	0.00	1.95	90.0	1.76
06/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMCAP A	0.00	9.04	0.00	9.04	90.0	8.14
06/01/2017	MEINDERS, DAWN	AM FUNDS AMCAP A	0.00	7.62	0.00	7.62	90.0	6.86
06/01/2017	VANDER WILT, BRIAN	AM FUNDS AMCAP A	0.00	3.75	0.00	3.75	90.0	3.38
06/01/2017	GERZEMA, MARLENE	AM FUNDS AMCAP A	0.00	18.65	0.00	18.65	90.0	16.79
06/01/2017	HEMER, EILEEN	AM FUNDS AMCAP A	0.00	36.49	0.00	36.49	90.0	32.84
06/01/2017	POST, KENNETH	AM FUNDS AMCAP A	0.00	4.98	0.00	4.98	90.0	4.48
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS AMCAP R2	0.00	31.10	0.00	31.10	90.0	27.99
06/01/2017	LEONARD, GARY	AM FUNDS AMERICAN BALANCED 529A	0.00	14.09	0.00	14.09	90.0	12.68
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS AMERICAN BALANCED A	0.00	17.74	0.00	17.74	90.0	15.97
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN BALANCED A	0.00	26.84	0.00	26.84	90.0	24.16
06/01/2017	DOANE, ELDON	AM FUNDS AMERICAN BALANCED A	0.00	9.57	0.00	9.57	90.0	8.61
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS AMERICAN BALANCED A	0.00	25.76	0.00	25.76	90.0	23.18
06/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	12.37	0.00	12.37	90.0	11.13

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.97	0.00	5.97	90.0	5.37
06/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	5.09	0.00	5.09	90.0	4.58
06/01/2017	MATHISON, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	13.86	0.00	13.86	90.0	12.47
06/01/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	6.40	0.00	6.40	90.0	5.76
06/01/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	0.00	12.30	0.00	12.30	90.0	11.07
06/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2017	MAKI, LINDA	AM FUNDS AMERICAN BALANCED A	0.00	6.07	0.00	6.07	90.0	5.46
06/01/2017	GOCHE, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	20.17	0.00	20.17	90.0	18.15
06/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	3.75	0.00	3.75	90.0	3.38
06/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	63.68	0.00	63.68	90.0	57.31
06/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	5.67	0.00	5.67	90.0	5.10
06/01/2017	HANSON, SCOTT	AM FUNDS AMERICAN BALANCED A	0.00	14.03	0.00	14.03	90.0	12.63
06/01/2017	HANSON, CARRIE	AM FUNDS AMERICAN BALANCED A	0.00	9.31	0.00	9.31	90.0	8.38
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	GRIFFITT, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	9.86	0.00	9.86	90.0	8.87
06/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN BALANCED A	0.00	132.70	0.00	132.70	90.0	119.43
06/01/2017	VENTEICHER, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2017	KETTWICK, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	26.34	0.00	26.34	90.0	23.71
06/01/2017	PRICE, TERESA	AM FUNDS AMERICAN BALANCED A	0.00	9.21	0.00	9.21	90.0	8.29
06/01/2017	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	16.21	0.00	16.21	90.0	14.59
06/01/2017	MEINDERS, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	8.66	0.00	8.66	90.0	7.79
06/01/2017	NUTTING, STUART	AM FUNDS AMERICAN BALANCED A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2017	VANDER WILT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.11	0.00	5.11	90.0	4.60
06/01/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	4.40	0.00	4.40	90.0	3.96
06/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	33.50	0.00	33.50	90.0	30.15
06/01/2017	SCHLAKE, ELROY	AM FUNDS AMERICAN BALANCED A	0.00	9.15	0.00	9.15	90.0	8.24
06/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	23.05	0.00	23.05	90.0	20.75
06/01/2017	UNDERWOOD, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	26.29	0.00	26.29	90.0	23.66
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	12.64	0.00	12.64	90.0	11.38
06/01/2017	CAMPBELL, DENISE	AM FUNDS AMERICAN BALANCED A	0.00	21.56	0.00	21.56	90.0	19.40

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HEMER, EILEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.14	0.00	2.14	90.0	1.93
06/01/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	GROSS, JUDY	AM FUNDS AMERICAN BALANCED A	0.00	4.52	0.00	4.52	90.0	4.07
06/01/2017	GROSS, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	16.21	0.00	16.21	90.0	14.59
06/01/2017	LOW, ANN	AM FUNDS AMERICAN BALANCED A	0.00	17.05	0.00	17.05	90.0	15.35
06/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	19.29	0.00	19.29	90.0	17.36
06/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	9.76	0.00	9.76	90.0	8.78
06/01/2017	BRUNS, JOLENE	AM FUNDS AMERICAN BALANCED C	0.00	35.31	0.00	35.31	90.0	31.78
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS AMERICAN BALANCED R2	0.00	70.13	0.00	70.13	90.0	63.12
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	22.25	0.00	22.25	90.0	20.03
06/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	30.10	0.00	30.10	90.0	27.09
06/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	68.42	0.00	68.42	90.0	61.58
06/01/2017	LEONARD, GARY	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.54	0.00	1.54	90.0	1.39
06/01/2017	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	0.00	1.85	0.00	1.85	90.0	1.67
06/01/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	23.02	0.00	23.02	90.0	20.72
06/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMERICAN MUTUAL A	0.00	8.79	0.00	8.79	90.0	7.91
06/01/2017	HOLLEY, CASEY	AM FUNDS AMERICAN MUTUAL A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	30.86	0.00	30.86	90.0	27.77
06/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2017	JASS, ARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	3.86	0.00	3.86	90.0	3.47
06/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	20.00	0.00	20.00	90.0	18.00
06/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	8.33	0.00	8.33	90.0	7.50
05/30/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
05/30/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
06/01/2017	AUDINO, JAMES	AM FUNDS BALANCED PORT A	0.00	6.30	0.00	6.30	90.0	5.67
06/01/2017	SCHMIT, MARILYN	AM FUNDS BALANCED PORT A	0.00	38.14	0.00	38.14	90.0	34.33
06/01/2017	JOHNSON, DONNA	AM FUNDS BALANCED PORT A	0.00	2.57	0.00	2.57	90.0	2.31
06/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS BALANCED PORT A	0.00	17.33	0.00	17.33	90.0	15.60
06/01/2017	SCHROEDER, PHILIP	AM FUNDS BALANCED PORT A	0.00	41.68	0.00	41.68	90.0	37.51
06/01/2017	TORNQUIST, SCOTT	AM FUNDS BALANCED PORT A	0.00	92.47	0.00	92.47	90.0	83.22
06/01/2017	ENGBRETSON, ROGER	AM FUNDS BALANCED PORT A	0.00	25.41	0.00	25.41	90.0	22.87

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	UNDERWOOD, DEBRA	AM FUNDS BALANCED PORT A	0.00	6.34	0.00	6.34	90.0	5.71
06/01/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2017	KESSLER, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	38.94	0.00	38.94	90.0	35.05
06/01/2017	LEONARD, GARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.45	0.00	10.45	90.0	9.41
06/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	12.50	0.00	12.50	90.0	11.25
06/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
06/01/2017	HEMER, JOE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.17	0.00	14.17	90.0	12.75
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.10	0.00	15.10	90.0	13.59
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.21	0.00	17.21	90.0	15.49
06/01/2017	DOANE, ELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.25	0.00	28.25	90.0	25.43
06/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.71	0.00	3.71	90.0	3.34
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.17	0.00	21.17	90.0	19.05
06/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.59	0.00	11.59	90.0	10.43
06/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.26	0.00	5.26	90.0	4.73
06/01/2017	SCHMIT, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	36.13	0.00	36.13	90.0	32.52
06/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.46	0.00	4.46	90.0	4.01
06/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.24	0.00	13.24	90.0	11.92
06/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.43	0.00	10.43	90.0	9.39
06/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.52	0.00	2.52	90.0	2.27
06/01/2017	SCHLICHTING, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.47	0.00	3.47	90.0	3.12
06/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.44	0.00	3.44	90.0	3.10
06/01/2017	MAKI, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.02	0.00	6.02	90.0	5.42
06/01/2017	GOCHE, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.35	0.00	20.35	90.0	18.32
06/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.04	0.00	20.04	90.0	18.04
06/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.36	0.00	3.36	90.0	3.02
06/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.93	0.00	17.93	90.0	16.14
06/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	60.97	0.00	60.97	90.0	54.87
06/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.51	0.00	8.51	90.0	7.66
06/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.09	0.00	1.09	90.0	0.98
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.29	0.00	5.29	90.0	4.76
06/01/2017	GRIFFITT, JAYNELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.55	0.00	7.55	90.0	6.80
06/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	195.76	0.00	195.76	90.0	176.18

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.11	0.00	8.11	90.0	7.30
06/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.19	0.00	28.19	90.0	25.37
06/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.70	0.00	2.70	90.0	2.43
06/01/2017	PRICE, TERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.60	0.00	18.60	90.0	16.74
06/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	32.00	0.00	32.00	90.0	28.80
06/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.28	0.00	5.28	90.0	4.75
06/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.60	0.00	9.60	90.0	8.64
06/01/2017	NUTTING, STUART	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.11	0.00	7.11	90.0	6.40
06/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	61.11	0.00	61.11	90.0	55.00
06/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.62	0.00	5.62	90.0	5.06
06/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.63	0.00	12.63	90.0	11.37
06/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.11	0.00	8.11	90.0	7.30
06/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.83	0.00	9.83	90.0	8.85
06/01/2017	NUTTING, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.48	0.00	26.48	90.0	23.83
06/01/2017	ROUSE, DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.86	0.00	3.86	90.0	3.47
06/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.90	0.00	6.90	90.0	6.21
06/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.13	0.00	3.13	90.0	2.82
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.68	0.00	11.68	90.0	10.51
06/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.11	0.00	13.11	90.0	11.80
06/01/2017	LINDBORG, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.90	0.00	4.90	90.0	4.41
06/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.85	0.00	17.85	90.0	16.07
06/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.91	0.00	5.91	90.0	5.32
06/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.90	0.00	1.90	90.0	1.71
06/01/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.79	0.00	15.79	90.0	14.21
06/01/2017	GROSS, JUDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.11	0.00	4.11	90.0	3.70
06/01/2017	GROSS, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.65	0.00	14.65	90.0	13.19
06/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.84	0.00	14.84	90.0	13.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.16	0.00	8.16	90.0	7.34
06/01/2017	BRUNS, BENNETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	16.53	0.00	16.53	90.0	14.88
06/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.76	0.00	7.76	90.0	6.98
06/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.54	0.00	8.54	90.0	7.69
06/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD BOND A	0.00	32.96	0.00	32.96	90.0	29.66
06/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD BOND A	0.00	4.20	0.00	4.20	90.0	3.78
06/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD BOND A	0.00	3.86	0.00	3.86	90.0	3.47
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD BOND C	0.00	22.44	0.00	22.44	90.0	20.20
06/01/2017	LEONARD, GARY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	19.51	0.00	19.51	90.0	17.56
06/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	14.01	0.00	14.01	90.0	12.61
06/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
06/01/2017	HEMER, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.27	0.00	30.27	90.0	27.24
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	99.33	0.00	99.33	90.0	89.40
06/01/2017	DOANE, ELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.34	0.00	35.34	90.0	31.81
06/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.92	0.00	18.92	90.0	17.03
06/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.76	0.00	3.76	90.0	3.38
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.53	0.00	22.53	90.0	20.28
06/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.06	0.00	19.06	90.0	17.15
06/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	43.25	0.00	43.25	90.0	38.93
06/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.92	0.00	4.92	90.0	4.43
06/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.70	0.00	16.70	90.0	15.03
06/01/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.59	0.00	3.59	90.0	3.23
06/01/2017	SCHLICHTING, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.47	0.00	20.47	90.0	18.42
06/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	73.05	0.00	73.05	90.0	65.75
06/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.67	0.00	12.67	90.0	11.40
06/01/2017	MAKI, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	90.0	0.49
06/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.52	0.00	12.52	90.0	11.27
06/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.63	0.00	19.63	90.0	17.67
06/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	25.22	0.00	25.22	90.0	22.70
06/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	62.19	0.00	62.19	90.0	55.97

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.88	0.00	7.88	90.0	7.09
06/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.85	0.00	3.85	90.0	3.47
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.40	0.00	6.40	90.0	5.76
06/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	160.22	0.00	160.22	90.0	144.20
06/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.40	0.00	8.40	90.0	7.56
06/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	61.65	0.00	61.65	90.0	55.49
06/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.70	0.00	4.70	90.0	4.23
06/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.67	0.00	17.67	90.0	15.90
06/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	36.21	0.00	36.21	90.0	32.59
06/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.41	0.00	10.41	90.0	9.37
06/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.40	0.00	10.40	90.0	9.36
06/01/2017	NUTTING, STUART	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.24	0.00	4.24	90.0	3.82
06/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.88	0.00	7.88	90.0	7.09
06/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	103.30	0.00	103.30	90.0	92.97
06/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.37	0.00	20.37	90.0	18.33
06/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.80	0.00	11.80	90.0	10.62
06/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.95	0.00	6.95	90.0	6.26
06/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.62	0.00	14.62	90.0	13.16
06/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	28.33	0.00	28.33	90.0	25.50
06/01/2017	BROWN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.55	0.00	1.55	90.0	1.40
06/01/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.43	0.00	9.43	90.0	8.49
06/01/2017	KETTWICK, TROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.05	0.00	3.05	90.0	2.75
06/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.77	0.00	4.77	90.0	4.29
06/01/2017	NUTTING, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.27	0.00	9.27	90.0	8.34
06/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.21	0.00	11.21	90.0	10.09
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.16	0.00	13.16	90.0	11.84
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.15	0.00	8.15	90.0	7.34
06/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.76	0.00	13.76	90.0	12.38
06/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.64	0.00	3.64	90.0	3.28

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	37.16	0.00	37.16	90.0	33.44
06/01/2017	HEMER, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.09	0.00	21.09	90.0	18.98
06/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.08	0.00	7.08	90.0	6.37
06/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.36	0.00	16.36	90.0	14.72
06/01/2017	GROSS, JUDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.36	0.00	3.36	90.0	3.02
06/01/2017	GROSS, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.92	0.00	14.92	90.0	13.43
06/01/2017	JASS, ARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.15	0.00	7.15	90.0	6.44
06/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.04	0.00	15.04	90.0	13.54
06/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.12	0.00	8.12	90.0	7.31
06/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.82	0.00	14.82	90.0	13.34
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS CAPITAL WORLD GRTH & INC R	0.00	7.29	0.00	7.29	90.0	6.56
06/01/2017	HAGEN, ALAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	18.81	0.00	18.81	90.0	16.93
06/01/2017	HEMER, JOE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.48	0.00	12.48	90.0	11.23
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.71	0.00	4.71	90.0	4.24
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.69	0.00	12.69	90.0	11.42
06/01/2017	AUDINO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.56	0.00	15.56	90.0	14.00
06/01/2017	MATHISON, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.74	0.00	7.74	90.0	6.97
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.32	0.00	5.32	90.0	4.79
06/01/2017	SCHLICHTING, STEVE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.85	0.00	5.85	90.0	5.27
06/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2017	KESSLER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	21.12	0.00	21.12	90.0	19.01
06/01/2017	NUTTING, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2017	GRIFFITT, JAYNELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.97	0.00	7.97	90.0	7.17
06/01/2017	LEONARD TRUST, REA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	34.60	0.00	34.60	90.0	31.14
06/01/2017	KETTWICK, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.60	0.00	16.60	90.0	14.94
06/01/2017	PRICE, TERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.74	0.00	7.74	90.0	6.97
06/01/2017	NUTTING, STUART	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.41	0.00	1.41	90.0	1.27
06/01/2017	NUTTING, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	30.88	0.00	30.88	90.0	27.79
06/01/2017	REIDEL, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.85	0.00	7.85	90.0	7.07

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.92	0.00	8.92	90.0	8.03
06/01/2017	CAMPBELL, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.05	0.00	22.05	90.0	19.85
06/01/2017	HEMER, EILEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	21.92	0.00	21.92	90.0	19.73
06/01/2017	UNDERWOOD, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.67	0.00	2.67	90.0	2.40
06/01/2017	JASS, ARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.42	0.00	5.42	90.0	4.88
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS EUROPACIFIC GROWTH FUND-R2	0.00	6.92	0.00	6.92	90.0	6.23
06/01/2017	LEONARD, GARY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2017	LANGFALD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	134.82	0.00	134.82	90.0	121.34
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.52	0.00	15.52	90.0	13.97
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.01	0.00	15.01	90.0	13.51
06/01/2017	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.62	0.00	14.62	90.0	13.16
06/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.39	0.00	20.39	90.0	18.35
06/01/2017	KESSLER, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	24.52	0.00	24.52	90.0	22.07
06/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.39	0.00	1.39	90.0	1.25
06/01/2017	GRIFFITT, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.10	0.00	13.10	90.0	11.79
06/01/2017	LEONARD TRUST, REA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	112.22	0.00	112.22	90.0	101.00
06/01/2017	NUTTING, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	23.79	0.00	23.79	90.0	21.41
06/01/2017	UNDERWOOD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	28.15	0.00	28.15	90.0	25.34
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.18	0.00	10.18	90.0	9.16
06/01/2017	AUDINO, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.16	0.00	15.16	90.0	13.64
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	63.06	0.00	63.06	90.0	56.75
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS GLOBAL BALANCED A	0.00	41.16	0.00	41.16	90.0	37.04
06/01/2017	KESSLER, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	19.94	0.00	19.94	90.0	17.95
06/01/2017	LEONARD TRUST, REA	AM FUNDS GLOBAL BALANCED A	0.00	51.61	0.00	51.61	90.0	46.45
06/01/2017	PRICE, TERESA	AM FUNDS GLOBAL BALANCED A	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	ENRIGHT, EDWIN	AM FUNDS GLOBAL BALANCED A	0.00	18.75	0.00	18.75	90.0	16.88
06/01/2017	GERZEMA, MARLENE	AM FUNDS GLOBAL BALANCED A	0.00	30.43	0.00	30.43	90.0	27.39
06/01/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	0.00	2.31	0.00	2.31	90.0	2.08
06/01/2017	LINDBORG, LISA	AM FUNDS GLOBAL BALANCED A	0.00	4.24	0.00	4.24	90.0	3.82
06/01/2017	HEMER, EILEEN	AM FUNDS GLOBAL BALANCED A	0.00	22.03	0.00	22.03	90.0	19.83
06/01/2017	LINDEMANN, ROBIN	AM FUNDS GLOBAL BALANCED A	0.00	5.83	0.00	5.83	90.0	5.25
06/01/2017	LOW, ANN	AM FUNDS GLOBAL BALANCED A	0.00	16.13	0.00	16.13	90.0	14.52

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BRUNS, JOLENE	AM FUNDS GLOBAL BALANCED C	0.00	3.94	0.00	3.94	90.0	3.55
05/22/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50
05/22/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.85	1.01	0.00	1.01	90.0	0.91
05/22/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	26.85	1.01	0.00	1.01	90.0	0.91
05/30/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	29.82	1.12	0.00	1.12	90.0	1.01
05/30/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	29.82	1.12	0.00	1.12	90.0	1.01
06/01/2017	AUDINO, JAMES	AM FUNDS GROWTH AND INCOME A	0.00	12.60	0.00	12.60	90.0	11.34
06/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS GROWTH AND INCOME A	0.00	17.86	0.00	17.86	90.0	16.07
06/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	29.62	0.00	29.62	90.0	26.66
06/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH AND INCOME A	0.00	185.31	0.00	185.31	90.0	166.78
06/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	17.25	0.00	17.25	90.0	15.53
06/01/2017	ENGEBRETSON, ROGER	AM FUNDS GROWTH AND INCOME A	0.00	49.35	0.00	49.35	90.0	44.42
06/01/2017	UNDERWOOD, RONALD	AM FUNDS GROWTH AND INCOME A	0.00	6.60	0.00	6.60	90.0	5.94
06/01/2017	GIESKING, RANDE	AM FUNDS GROWTH AND INCOME A	0.00	1.34	0.00	1.34	90.0	1.21
06/01/2017	CARLSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	6.82	0.00	6.82	90.0	6.14
06/01/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	0.00	2.94	0.00	2.94	90.0	2.65
06/01/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	0.00	8.35	0.00	8.35	90.0	7.52
06/01/2017	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH AND INCOME A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	KEHN, LEVI	AM FUNDS GROWTH AND INCOME A	0.00	3.48	0.00	3.48	90.0	3.13
06/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH AND INCOME A	0.00	8.69	0.00	8.69	90.0	7.82
06/01/2017	HEMER, JOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	134.24	0.00	134.24	90.0	120.82
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.87	0.00	3.87	90.0	3.48
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.95	0.00	29.95	90.0	26.96
06/01/2017	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.49	0.00	22.49	90.0	20.24
06/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	53.42	0.00	53.42	90.0	48.08
06/01/2017	BRUNS, MARCUS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.90	0.00	6.90	90.0	6.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MATHISON, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.75	0.00	4.75	90.0	4.28
06/01/2017	DAHL, NANCY JOHNSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	GOCHE, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.94	0.00	8.94	90.0	8.05
06/01/2017	GOCHE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.85	0.00	14.85	90.0	13.37
06/01/2017	HANSON, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.57	0.00	16.57	90.0	14.91
06/01/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.80	0.00	22.80	90.0	20.52
06/01/2017	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.21	0.00	4.21	90.0	3.79
06/01/2017	MOKLESTAD, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.83	0.00	4.83	90.0	4.35
06/01/2017	KESSLER, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.28	0.00	35.28	90.0	31.75
06/01/2017	NUTTING, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	GRIFFITT, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.96	0.00	11.96	90.0	10.76
06/01/2017	LEONARD TRUST, REA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	90.66	0.00	90.66	90.0	81.59
06/01/2017	VENTEICHER, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.99	0.00	9.99	90.0	8.99
06/01/2017	KETTWICK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.81	0.00	9.81	90.0	8.83
06/01/2017	MEINDERS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	NUTTING, STUART	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.70	0.00	2.70	90.0	2.43
06/01/2017	GERZEMA, MARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.51	0.00	26.51	90.0	23.86
06/01/2017	SCHLAKE, ELROY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.33	0.00	5.33	90.0	4.80
06/01/2017	MCCLEES, MARTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.97	0.00	2.97	90.0	2.67
06/01/2017	BRUNS, JOLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.13	0.00	17.13	90.0	15.42
06/01/2017	NUTTING, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.56	0.00	21.56	90.0	19.40
06/01/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.79	0.00	11.79	90.0	10.61
06/01/2017	REIDEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.44	0.00	12.44	90.0	11.20
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.57	0.00	10.57	90.0	9.51
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.71	0.00	9.71	90.0	8.74
06/01/2017	CAMPBELL, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	22.53	0.00	22.53	90.0	20.28
06/01/2017	HEMER, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	36.78	0.00	36.78	90.0	33.10
06/01/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2017	GROSS, ALISON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.75	0.00	17.75	90.0	15.98
06/01/2017	LOW, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.23	0.00	11.23	90.0	10.11
06/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.67	0.00	14.67	90.0	13.20
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	32.74	0.00	32.74	90.0	29.47
05/22/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	51.54	1.93	0.00	1.93	90.0	1.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/22/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	30.92	1.16	0.00	1.16	90.0	1.04
05/30/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	56.53	2.12	0.00	2.12	90.0	1.91
05/30/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	33.92	1.27	0.00	1.27	90.0	1.14
06/01/2017	AUDINO, JAMES	AM FUNDS GROWTH PORT A	0.00	6.24	0.00	6.24	90.0	5.62
06/01/2017	SCHROEDER, PHILIP	AM FUNDS GROWTH PORT A	0.00	50.07	0.00	50.07	90.0	45.06
06/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	27.16	0.00	27.16	90.0	24.44
06/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH PORT A	0.00	101.27	0.00	101.27	90.0	91.14
06/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH PORT A	0.00	8.74	0.00	8.74	90.0	7.87
06/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	23.04	0.00	23.04	90.0	20.74
06/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	4.60	0.00	4.60	90.0	4.14
06/01/2017	UNDERWOOD, DEBRA	AM FUNDS GROWTH PORT A	0.00	9.36	0.00	9.36	90.0	8.42
06/01/2017	CARLSON, JILL	AM FUNDS GROWTH PORT A	0.00	10.90	0.00	10.90	90.0	9.81
06/01/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	2.27	0.00	2.27	90.0	2.04
06/01/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	4.41	0.00	4.41	90.0	3.97
06/01/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	0.00	4.35	0.00	4.35	90.0	3.92
06/01/2017	HARDER, ANGELA	AM FUNDS GROWTH PORT A	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH PORT A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	5.18	0.00	5.18	90.0	4.66
06/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH PORT A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2017	VANDER WILT, CARA	AM FUNDS GROWTH PORT A	0.00	10.32	0.00	10.32	90.0	9.29
06/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	TOMSOVIC, LAURA	AM FUNDS GROWTH PORT A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	KEHN, LEVI	AM FUNDS GROWTH PORT A	0.00	3.12	0.00	3.12	90.0	2.81
06/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH PORT A	0.00	8.84	0.00	8.84	90.0	7.96
06/01/2017	LEONARD, GARY	AM FUNDS ICA 529A	0.00	5.90	0.00	5.90	90.0	5.31
06/01/2017	HEMER, JOE	AM FUNDS ICA A	0.00	66.11	0.00	66.11	90.0	59.50
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS ICA A	0.00	11.36	0.00	11.36	90.0	10.22

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MATHISON, KAREN	AM FUNDS ICA A	0.00	8.89	0.00	8.89	90.0	8.00
06/01/2017	JOHNSON, MICHAEL	AM FUNDS ICA A	0.00	3.99	0.00	3.99	90.0	3.59
06/01/2017	GOCHE, STEVE	AM FUNDS ICA A	0.00	8.02	0.00	8.02	90.0	7.22
06/01/2017	JOHNSON, DONNA	AM FUNDS ICA A	0.00	4.53	0.00	4.53	90.0	4.08
06/01/2017	MOKLESTAD, NANCY	AM FUNDS ICA A	0.00	3.90	0.00	3.90	90.0	3.51
06/01/2017	KESSLER, JUDITH	AM FUNDS ICA A	0.00	1.65	0.00	1.65	90.0	1.49
06/01/2017	KESSLER, ROBERT	AM FUNDS ICA A	0.00	22.95	0.00	22.95	90.0	20.66
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	VENTEICHER, DEBRA	AM FUNDS ICA A	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	ALBERT, JOY	AM FUNDS ICA A	0.00	13.48	0.00	13.48	90.0	12.13
06/01/2017	PRICE, TERESA	AM FUNDS ICA A	0.00	3.77	0.00	3.77	90.0	3.39
06/01/2017	GERZEMA, MARLENE	AM FUNDS ICA A	0.00	26.88	0.00	26.88	90.0	24.19
06/01/2017	FIELD, BARRY	AM FUNDS ICA A	0.00	11.26	0.00	11.26	90.0	10.13
06/01/2017	ROUSE, DALE	AM FUNDS ICA A	0.00	5.12	0.00	5.12	90.0	4.61
06/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	18.45	0.00	18.45	90.0	16.61
06/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	8.18	0.00	8.18	90.0	7.36
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA F1	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS ICA R2	0.00	121.95	0.00	121.95	90.0	109.76
06/01/2017	HEMER, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	72.44	0.00	72.44	90.0	65.20
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.48	0.00	16.48	90.0	14.83
06/01/2017	DOANE, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.81	0.00	23.81	90.0	21.43
06/01/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.16	0.00	6.16	90.0	5.54
06/01/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.63	0.00	11.63	90.0	10.47
06/01/2017	JOHNSON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.59	0.00	3.59	90.0	3.23
06/01/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.97	0.00	21.97	90.0	19.77
06/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	64.45	0.00	64.45	90.0	58.01
06/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.19	0.00	5.19	90.0	4.67
06/01/2017	LEONARD TRUST, REA	AM FUNDS INCOME FUND OF AMERICA A	0.00	84.10	0.00	84.10	90.0	75.69
06/01/2017	NUTTING, BRETT	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	VENTEICHER, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	ENRIGHT, EDWIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	31.40	0.00	31.40	90.0	28.26
06/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.69	0.00	5.69	90.0	5.12

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	33.14	0.00	33.14	90.0	29.83
06/01/2017	SCHLAKE, ELROY	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.18	0.00	4.18	90.0	3.76
06/01/2017	NUTTING, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	35.87	0.00	35.87	90.0	32.28
06/01/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.77	0.00	9.77	90.0	8.79
06/01/2017	KETTWICK, CAROLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.49	0.00	5.49	90.0	4.94
06/01/2017	REIDEL, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.53	0.00	2.53	90.0	2.28
06/01/2017	LOW, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.77	0.00	9.77	90.0	8.79
06/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	18.76	0.00	18.76	90.0	16.88
06/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.41	0.00	8.41	90.0	7.57
06/01/2017	LEONARD, GARY	AM FUNDS INCOME FUND OF AMERICA-529	0.00	11.16	0.00	11.16	90.0	10.04
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	21.50	0.00	21.50	90.0	19.35
06/01/2017	JOHNSON, DONNA	AM FUNDS INCOME PORTFOLIO A	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS INTL GROWTH AND INCOME A	0.00	60.11	0.00	60.11	90.0	54.10
06/01/2017	BRUNS, MARCUS	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.96	0.00	4.96	90.0	4.46
06/01/2017	KESSLER, JUDITH	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.52	0.00	7.52	90.0	6.77
06/01/2017	KESSLER, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	29.22	0.00	29.22	90.0	26.30
06/01/2017	LEONARD TRUST, REA	AM FUNDS INTL GROWTH AND INCOME A	0.00	85.48	0.00	85.48	90.0	76.93
06/01/2017	VENTEICHER, DEBRA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	KETTWICK, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	24.52	0.00	24.52	90.0	22.07
06/01/2017	PRICE, TERESA	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.51	0.00	5.51	90.0	4.96
06/01/2017	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	0.00	28.66	0.00	28.66	90.0	25.79
06/01/2017	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	16.03	0.00	16.03	90.0	14.43
06/01/2017	BROWN, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.69	0.00	2.69	90.0	2.42
06/01/2017	VANDERWILT, TRISHA	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.27	0.00	6.27	90.0	5.64
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.51	0.00	8.51	90.0	7.66
06/01/2017	TORNQUIST, SCOTT	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.85	0.00	6.85	90.0	6.17
06/01/2017	BURNSIDE, KELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	11.38	0.00	11.38	90.0	10.24
06/01/2017	LINDBORG, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	14.32	0.00	14.32	90.0	12.89
06/01/2017	GROSS, JUDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.55	0.00	3.55	90.0	3.20
06/01/2017	JASS, ARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.70	0.00	4.70	90.0	4.23
06/01/2017	LOW, ANN	AM FUNDS INTL GROWTH AND INCOME A	0.00	9.64	0.00	9.64	90.0	8.68
06/01/2017	DOANE, ELDON	AM FUNDS NEW ECONOMY A	0.00	24.57	0.00	24.57	90.0	22.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	O'CONNELL, LANE	AM FUNDS NEW ECONOMY A	0.00	25.62	0.00	25.62	90.0	23.06
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW ECONOMY A	0.00	18.99	0.00	18.99	90.0	17.09
06/01/2017	AUDINO, JAMES	AM FUNDS NEW ECONOMY A	0.00	19.90	0.00	19.90	90.0	17.91
06/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW ECONOMY A	0.00	19.22	0.00	19.22	90.0	17.30
06/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	16.06	0.00	16.06	90.0	14.45
06/01/2017	KESSLER, JUDITH	AM FUNDS NEW ECONOMY A	0.00	25.23	0.00	25.23	90.0	22.71
06/01/2017	KESSLER, ROBERT	AM FUNDS NEW ECONOMY A	0.00	20.70	0.00	20.70	90.0	18.63
06/01/2017	LEONARD TRUST, REA	AM FUNDS NEW ECONOMY A	0.00	26.38	0.00	26.38	90.0	23.74
06/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW ECONOMY A	0.00	2.55	0.00	2.55	90.0	2.30
06/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	16.51	0.00	16.51	90.0	14.86
06/01/2017	JASS, ARLENE	AM FUNDS NEW ECONOMY A	0.00	8.84	0.00	8.84	90.0	7.96
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS NEW ECONOMY FUND-R2	0.00	114.82	0.00	114.82	90.0	103.34
06/01/2017	LEONARD, GARY	AM FUNDS NEW PERSPECTIVE 529A	0.00	18.84	0.00	18.84	90.0	16.96
06/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
06/01/2017	HEMER, JOE	AM FUNDS NEW PERSPECTIVE A	0.00	61.11	0.00	61.11	90.0	55.00
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW PERSPECTIVE A	0.00	82.34	0.00	82.34	90.0	74.11
06/01/2017	DOANE, ELDON	AM FUNDS NEW PERSPECTIVE A	0.00	25.35	0.00	25.35	90.0	22.82
06/01/2017	DOANE, LAVONNE	AM FUNDS NEW PERSPECTIVE A	0.00	30.42	0.00	30.42	90.0	27.38
06/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	10.71	0.00	10.71	90.0	9.64
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW PERSPECTIVE A	0.00	53.06	0.00	53.06	90.0	47.75
06/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	39.63	0.00	39.63	90.0	35.67
06/01/2017	WEBER, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	43.52	0.00	43.52	90.0	39.17
06/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	8.78	0.00	8.78	90.0	7.90
06/01/2017	MATHISON, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	12.97	0.00	12.97	90.0	11.67
06/01/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	3.86	0.00	3.86	90.0	3.47
06/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW PERSPECTIVE A	0.00	20.97	0.00	20.97	90.0	18.87
06/01/2017	GOCHE, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	44.29	0.00	44.29	90.0	39.86
06/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	7.02	0.00	7.02	90.0	6.32
06/01/2017	HANSON, CARRIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.44	0.00	2.44	90.0	2.20
06/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	4.03	0.00	4.03	90.0	3.63
06/01/2017	MAKI, LINDA	AM FUNDS NEW PERSPECTIVE A	0.00	6.33	0.00	6.33	90.0	5.70
06/01/2017	GOCHE, STEVE	AM FUNDS NEW PERSPECTIVE A	0.00	9.66	0.00	9.66	90.0	8.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	22.45	0.00	22.45	90.0	20.21
06/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.28	0.00	3.28	90.0	2.95
06/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2017	KESSLER, JUDITH	AM FUNDS NEW PERSPECTIVE A	0.00	33.24	0.00	33.24	90.0	29.92
06/01/2017	KESSLER, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	42.29	0.00	42.29	90.0	38.06
06/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	4.11	0.00	4.11	90.0	3.70
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	LEONARD TRUST, REA	AM FUNDS NEW PERSPECTIVE A	0.00	159.72	0.00	159.72	90.0	143.75
06/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	7.68	0.00	7.68	90.0	6.91
06/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	62.16	0.00	62.16	90.0	55.94
06/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	6.47	0.00	6.47	90.0	5.82
06/01/2017	PRICE, TERESA	AM FUNDS NEW PERSPECTIVE A	0.00	19.11	0.00	19.11	90.0	17.20
06/01/2017	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	0.00	35.26	0.00	35.26	90.0	31.73
06/01/2017	MEINDERS, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	9.48	0.00	9.48	90.0	8.53
06/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	0.00	11.39	0.00	11.39	90.0	10.25
06/01/2017	NUTTING, STUART	AM FUNDS NEW PERSPECTIVE A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	9.24	0.00	9.24	90.0	8.32
06/01/2017	HOLLEY, CASEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	GERZEMA, MARLENE	AM FUNDS NEW PERSPECTIVE A	0.00	21.48	0.00	21.48	90.0	19.33
06/01/2017	SCHLAKE, ELROY	AM FUNDS NEW PERSPECTIVE A	0.00	11.29	0.00	11.29	90.0	10.16
06/01/2017	MCCLEES, MARTIN	AM FUNDS NEW PERSPECTIVE A	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	NUTTING, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	35.87	0.00	35.87	90.0	32.28
06/01/2017	BROWN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	1.73	0.00	1.73	90.0	1.56
06/01/2017	KETTWICK, TROY	AM FUNDS NEW PERSPECTIVE A	0.00	3.55	0.00	3.55	90.0	3.20
06/01/2017	KETTWICK, CAROLE	AM FUNDS NEW PERSPECTIVE A	0.00	5.64	0.00	5.64	90.0	5.08
06/01/2017	NUTTING, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	8.96	0.00	8.96	90.0	8.06
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	10.49	0.00	10.49	90.0	9.44
06/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	3.92	0.00	3.92	90.0	3.53
06/01/2017	CAMPBELL, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	28.18	0.00	28.18	90.0	25.36
06/01/2017	HEMER, EILEEN	AM FUNDS NEW PERSPECTIVE A	0.00	22.40	0.00	22.40	90.0	20.16
06/01/2017	UNDERWOOD, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	3.16	0.00	3.16	90.0	2.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	2.68	0.00	2.68	90.0	2.41
06/01/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	17.12	0.00	17.12	90.0	15.41
06/01/2017	GROSS, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	10.76	0.00	10.76	90.0	9.68
06/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	15.93	0.00	15.93	90.0	14.34
06/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	8.75	0.00	8.75	90.0	7.88
06/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	18.91	0.00	18.91	90.0	17.02
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS NEW PERSPECTIVE R2	0.00	21.83	0.00	21.83	90.0	19.65
06/01/2017	HEMER, JOE	AM FUNDS NEW WORLD A	0.00	19.24	0.00	19.24	90.0	17.32
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS NEW WORLD A	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW WORLD A	0.00	70.63	0.00	70.63	90.0	63.57
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW WORLD A	0.00	42.51	0.00	42.51	90.0	38.26
06/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	11.68	0.00	11.68	90.0	10.51
06/01/2017	AUDINO, JAMES	AM FUNDS NEW WORLD A	0.00	4.90	0.00	4.90	90.0	4.41
06/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	4.90	0.00	4.90	90.0	4.41
06/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW WORLD A	0.00	17.21	0.00	17.21	90.0	15.49
06/01/2017	GOCHE, ANN	AM FUNDS NEW WORLD A	0.00	7.14	0.00	7.14	90.0	6.43
06/01/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	0.00	10.31	0.00	10.31	90.0	9.28
06/01/2017	SCHLICHTING, STEVE	AM FUNDS NEW WORLD A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2017	GOCHE, STEVE	AM FUNDS NEW WORLD A	0.00	11.60	0.00	11.60	90.0	10.44
06/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	0.00	21.34	0.00	21.34	90.0	19.21
06/01/2017	KESSLER, ROBERT	AM FUNDS NEW WORLD A	0.00	20.86	0.00	20.86	90.0	18.77
06/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW WORLD A	0.00	5.98	0.00	5.98	90.0	5.38
06/01/2017	GRIFFITT, KENNETH	AM FUNDS NEW WORLD A	0.00	11.59	0.00	11.59	90.0	10.43
06/01/2017	LEONARD TRUST, REA	AM FUNDS NEW WORLD A	0.00	101.50	0.00	101.50	90.0	91.35
06/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW WORLD A	0.00	3.77	0.00	3.77	90.0	3.39
06/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW WORLD A	0.00	37.85	0.00	37.85	90.0	34.07
06/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW WORLD A	0.00	2.14	0.00	2.14	90.0	1.93
06/01/2017	PRICE, TERESA	AM FUNDS NEW WORLD A	0.00	18.21	0.00	18.21	90.0	16.39
06/01/2017	MEINDERS, DAWN	AM FUNDS NEW WORLD A	0.00	6.92	0.00	6.92	90.0	6.23
06/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW WORLD A	0.00	11.86	0.00	11.86	90.0	10.67
06/01/2017	SCHLAKE, ELROY	AM FUNDS NEW WORLD A	0.00	7.82	0.00	7.82	90.0	7.04
06/01/2017	BRUNS, JOLENE	AM FUNDS NEW WORLD A	0.00	7.61	0.00	7.61	90.0	6.85
06/01/2017	NUTTING, MARY	AM FUNDS NEW WORLD A	0.00	17.07	0.00	17.07	90.0	15.36

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BROWN, LISA	AM FUNDS NEW WORLD A	0.00	8.13	0.00	8.13	90.0	7.32
06/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW WORLD A	0.00	6.32	0.00	6.32	90.0	5.69
06/01/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	0.00	11.36	0.00	11.36	90.0	10.22
06/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW WORLD A	0.00	6.50	0.00	6.50	90.0	5.85
06/01/2017	TORNQUIST, SCOTT	AM FUNDS NEW WORLD A	0.00	6.96	0.00	6.96	90.0	6.26
06/01/2017	BURNSIDE, KELLY	AM FUNDS NEW WORLD A	0.00	11.41	0.00	11.41	90.0	10.27
06/01/2017	CAMPBELL, DENISE	AM FUNDS NEW WORLD A	0.00	12.18	0.00	12.18	90.0	10.96
06/01/2017	HEMER, EILEEN	AM FUNDS NEW WORLD A	0.00	16.11	0.00	16.11	90.0	14.50
06/01/2017	LINDEMANN, ROBIN	AM FUNDS NEW WORLD A	0.00	5.53	0.00	5.53	90.0	4.98
06/01/2017	UNDERWOOD, RONALD	AM FUNDS NEW WORLD A	0.00	2.38	0.00	2.38	90.0	2.14
06/01/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	0.00	20.16	0.00	20.16	90.0	18.14
06/01/2017	LEONARD, ISSAC	AM FUNDS NEW WORLD A	0.00	9.00	0.00	9.00	90.0	8.10
06/01/2017	LEONARD, CARA	AM FUNDS NEW WORLD A	0.00	5.70	0.00	5.70	90.0	5.13
06/01/2017	KONEFES, ALISON	AM FUNDS NEW WORLD A	0.00	2.55	0.00	2.55	90.0	2.30
06/01/2017	GROSS, ALISON	AM FUNDS NEW WORLD A	0.00	9.05	0.00	9.05	90.0	8.15
06/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	11.05	0.00	11.05	90.0	9.95
06/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	6.17	0.00	6.17	90.0	5.55
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS NEW WORLD R2	0.00	34.69	0.00	34.69	90.0	31.22
06/01/2017	HEMER, JOE	AM FUNDS SMALLCAP WORLD A	0.00	41.21	0.00	41.21	90.0	37.09
06/01/2017	DOANE TRUST, LAVONNE	AM FUNDS SMALLCAP WORLD A	0.00	3.50	0.00	3.50	90.0	3.15
06/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS SMALLCAP WORLD A	0.00	60.17	0.00	60.17	90.0	54.15
06/01/2017	DOANE, ELDON	AM FUNDS SMALLCAP WORLD A	0.00	24.71	0.00	24.71	90.0	22.24
06/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	32.08	0.00	32.08	90.0	28.87
06/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	10.52	0.00	10.52	90.0	9.47
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS SMALLCAP WORLD A	0.00	26.13	0.00	26.13	90.0	23.52
06/01/2017	AUDINO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	17.81	0.00	17.81	90.0	16.03
06/01/2017	SCHMIT, MARILYAN	AM FUNDS SMALLCAP WORLD A	0.00	34.42	0.00	34.42	90.0	30.98
06/01/2017	WEBER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	5.56	0.00	5.56	90.0	5.00
06/01/2017	BRUNS, MARCUS	AM FUNDS SMALLCAP WORLD A	0.00	4.49	0.00	4.49	90.0	4.04
06/01/2017	MATHISON, KAREN	AM FUNDS SMALLCAP WORLD A	0.00	8.73	0.00	8.73	90.0	7.86
06/01/2017	SCHLICHTING, LAURA	AM FUNDS SMALLCAP WORLD A	0.00	19.75	0.00	19.75	90.0	17.78
06/01/2017	GOCHE, ANN	AM FUNDS SMALLCAP WORLD A	0.00	43.43	0.00	43.43	90.0	39.09
06/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.75	0.00	2.75	90.0	2.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GOCHE, STEVE	AM FUNDS SMALLCAP WORLD A	0.00	12.92	0.00	12.92	90.0	11.63
06/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.59	0.00	2.59	90.0	2.33
06/01/2017	KESSLER, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	25.06	0.00	25.06	90.0	22.55
06/01/2017	LEONARD TRUST, REA	AM FUNDS SMALLCAP WORLD A	0.00	69.90	0.00	69.90	90.0	62.91
06/01/2017	VENTEICHER, DEBRA	AM FUNDS SMALLCAP WORLD A	0.00	5.49	0.00	5.49	90.0	4.94
06/01/2017	KETTWICK, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	31.30	0.00	31.30	90.0	28.17
06/01/2017	HOLLEY, CASEY	AM FUNDS SMALLCAP WORLD A	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2017	SCHROEDER, PHILIP	AM FUNDS SMALLCAP WORLD A	0.00	13.17	0.00	13.17	90.0	11.85
06/01/2017	NUTTING, MARY	AM FUNDS SMALLCAP WORLD A	0.00	20.43	0.00	20.43	90.0	18.39
06/01/2017	ROUSE, DALE	AM FUNDS SMALLCAP WORLD A	0.00	4.63	0.00	4.63	90.0	4.17
06/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	24.84	0.00	24.84	90.0	22.36
06/01/2017	REIDEL, DENNIS	AM FUNDS SMALLCAP WORLD A	0.00	9.11	0.00	9.11	90.0	8.20
06/01/2017	BURNSIDE, KELLY	AM FUNDS SMALLCAP WORLD A	0.00	15.02	0.00	15.02	90.0	13.52
06/01/2017	CAMPBELL, DENISE	AM FUNDS SMALLCAP WORLD A	0.00	18.72	0.00	18.72	90.0	16.85
06/01/2017	HEMER, EILEEN	AM FUNDS SMALLCAP WORLD A	0.00	29.00	0.00	29.00	90.0	26.10
06/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	3.12	0.00	3.12	90.0	2.81
06/01/2017	MOKLESTAD, NANCY	AM FUNDS SMALLCAP WORLD A	0.00	2.62	0.00	2.62	90.0	2.36
06/01/2017	GROSS, ALISON	AM FUNDS SMALLCAP WORLD A	0.00	10.78	0.00	10.78	90.0	9.70
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS SMALLCAP WORLD-R2	0.00	56.64	0.00	56.64	90.0	50.98
06/01/2017	HAGEN, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	28.58	0.00	28.58	90.0	25.72
06/01/2017	DOANE, ELDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.24	0.00	30.24	90.0	27.22
06/01/2017	O'CONNELL, RUSSELL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.88	0.00	21.88	90.0	19.69
06/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	29.48	0.00	29.48	90.0	26.53
06/01/2017	JOHNSON, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.70	0.00	15.70	90.0	14.13
06/01/2017	JOHNSON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.95	0.00	3.95	90.0	3.56
06/01/2017	VENTEICHER, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.52	0.00	3.52	90.0	3.17
06/01/2017	KETTWICK, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.48	0.00	7.48	90.0	6.73
06/01/2017	ALBERT, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.63	0.00	13.63	90.0	12.27
06/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.76	0.00	13.76	90.0	12.38
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.29	0.00	8.29	90.0	7.46
05/22/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	8.64	0.32	0.00	0.32	90.0	0.29
05/22/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	8.64	0.32	0.00	0.32	90.0	0.29
05/22/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	66.73	2.50	0.00	2.50	90.0	2.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/22/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	33.36	1.25	0.00	1.25	90.0	1.13
05/22/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	45.45	1.70	0.00	1.70	90.0	1.53
05/22/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	27.27	1.02	0.00	1.02	90.0	0.92
05/26/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
05/26/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
05/30/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	19.20	0.72	0.00	0.72	90.0	0.65
05/30/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	19.20	0.72	0.00	0.72	90.0	0.65
05/30/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	74.11	2.78	0.00	2.78	90.0	2.50
05/30/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	37.05	1.39	0.00	1.39	90.0	1.25
05/30/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	27.81	1.04	0.00	1.04	90.0	0.94
05/30/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	46.35	1.74	0.00	1.74	90.0	1.57
06/01/2017	AUDINO, JAMES	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.02	0.00	6.02	90.0	5.42
06/01/2017	NUTTING, MARY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	27.87	0.00	27.87	90.0	25.08
06/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	8.54	0.00	8.54	90.0	7.69
06/01/2017	ENGBRETSON, ROGER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	43.51	0.00	43.51	90.0	39.16
06/01/2017	ENGBRETSON, LINDA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.07	0.00	9.07	90.0	8.16
06/01/2017	UNDERWOOD, RONALD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	8.65	0.00	8.65	90.0	7.79
06/01/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.44	0.00	4.44	90.0	4.00
06/01/2017	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.99	0.00	4.99	90.0	4.49
06/01/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2017	MEYER, TRAVIS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.25	0.00	1.25	90.0	1.13
06/01/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	7.09	0.00	7.09	90.0	6.38
06/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	8.30	0.00	8.30	90.0	7.47
06/01/2017	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	TOMSOVIC, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	KEHN, LEVI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.61	0.00	3.61	90.0	3.25
06/01/2017	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	38.91	0.00	38.91	90.0	35.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MEINDERS, DAWN	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.42	0.00	5.42	90.0	4.88
06/01/2017	SCHLAKE, ELROY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.42	0.00	5.42	90.0	4.88
06/01/2017	NUTTING, MARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	11.55	0.00	11.55	90.0	10.40
06/01/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	24.66	0.00	24.66	90.0	22.19
06/01/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	LEONARD, ISSAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.17	0.00	5.17	90.0	4.65
06/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.32	0.00	6.32	90.0	5.69
06/01/2017	LEONARD, ISAAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.05	0.00	5.05	90.0	4.55
06/01/2017	GROSS, ALISON	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	8.25	0.00	8.25	90.0	7.43
* MUTUAL FUNDS SUBTOTAL *			\$1,414.06	\$10,802.74	\$0.00	\$10,802.74		\$9,722.88
<u>PRODUCT CATEGORY: STOCKS</u>								
05/25/2017	KOSS-WINN BANC ESOP	BITCOIN SVCS INC COM	-2,444.00	50.00	27.00	23.00	90.0	20.70
05/26/2017	KOSS-WINN BANC ESOP	BITCOIN SVCS INC COM	4,063.00	50.00	27.00	23.00	90.0	20.70
05/25/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	-3,890.00	50.00	27.00	23.00	90.0	20.70
05/26/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	4,120.00	50.00	27.00	23.00	90.0	20.70
05/25/2017	KOSS-WINN BANC ESOP	GLOBAL ARENA HLDG INC COM	-1,430.00	50.00	27.00	23.00	90.0	20.70
05/26/2017	KOSS-WINN BANC ESOP	GLOBAL ARENA HLDG INC COM	3,075.00	50.00	27.00	23.00	90.0	20.70
05/25/2017	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	38,824.95	50.00	24.25	25.75	90.0	23.18
06/06/2017	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	-43,425.00	50.00	24.25	25.75	90.0	23.18
* STOCKS SUBTOTAL *			\$-1,106.05	\$400.00	\$210.50	\$189.50		\$170.56
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
05/25/2017	TORNQUIST, SCOTT	ADVISORS DISCIPLINED TR UNIT 1783 B	6,307.07	195.52	0.00	195.52	90.0	175.97
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$6,307.07	\$195.52	\$0.00	\$195.52		\$175.97
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	29.40	0.00	29.40	90.0	26.46
05/15/2017	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	28.10	0.00	28.10	90.0	25.29
05/15/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.05	0.00	2.05	90.0	1.85
05/15/2017	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.17	0.00	2.17	90.0	1.95
05/15/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.18	0.00	2.18	90.0	1.96
05/15/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.26	0.00	2.26	90.0	2.03
05/15/2017	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.08	0.00	12.08	90.0	10.87

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/15/2017	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.27	0.00	8.27	90.0	7.44
05/15/2017	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	7.35	0.00	7.35	90.0	6.62
05/15/2017	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	6.22	0.00	6.22	90.0	5.60
05/26/2017	KOSS-WINN BANC ESOP	HANCOCK HORIZON FAMILY FDS BURKENRO	0.00	3.97	0.00	3.97	90.0	3.57
05/23/2017	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	45.00	0.00	45.00	90.0	40.50
05/23/2017	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	48.66	0.00	48.66	90.0	43.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$197.71	\$0.00	\$197.71		\$177.93
*** BROKER TRANSACTIONS TOTAL ***			\$274,615.08	\$30,355.97	\$210.50	\$30,145.47		\$27,131.34

ADJUSTMENTS

06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

BROKER COMMISSION TOTALS

Broker Transactions	\$27,131.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$26,556.34
Year-to-date Gross	\$162,272.81
Year-to-date Earnings	\$144,343.77

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