



# COMMISSION STATEMENT

## May 28, 2018

STEPHEN CARVER  
1921 W Willow Knolls Dr Ste C  
Peoria, IL 61614

BROKER #: B15  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT   | INVESTMENT  | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|--|--|---|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |  |   |                      |                     |                    |                   |       |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |  |   |                      |                     |                    |                   |       |                      |
| 05/22/2018                                   | ***-**-55IR - WISE, MARY<br>ACCOUNT #: LSR012558       | AIM DIVERSIFIED DIVIDEND FUND - CL<br>SELL 1116 Units @19.7700  | 22,060.91            | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/22/2018                                   | ***-**-197K - EKHOF, JOHN<br>ACCOUNT #: LSR012603      | AIM GLOBAL AGGRESSIVE GROWTH FUND C<br>SELL 508 Units @19.7700  | 10,034.64            | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/22/2018                                   | ***-**-745I - EKHOF, NOHORA<br>ACCOUNT #: LSR012612    | CALVERT GLOBAL WATER FUND CLASS A<br>SELL 493 Units @20.1700    | 9,945.85             | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/22/2018                                   | ***-**-496I - MCCOY, SHERIANNE<br>ACCOUNT #: LSR012469 | CARILLON CLARIVEST CAPITAL APPREC C<br>SELL 227 Units @44.0800  | 9,999.99             | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/21/2018                                   | ***-**-03TR - NEAL TRUST,<br>ACCOUNT #: LSR012505      | COLUMBIA DISCIPLINED CORE A<br>BUY 3035 Units @13.1800          | 40,000.00            | 2,000.00            | 18.00              | 1,982.00          | 85.0  | 1,684.70             |
| 05/21/2018                                   | ***-**-825I - BARR, JANET<br>ACCOUNT #: LSR012624      | COLUMBIA DISCIPLINED CORE A<br>BUY 759 Units @13.1800           | 10,000.00            | 500.00              | 18.00              | 482.00            | 85.0  | 409.70               |
| 05/21/2018                                   | ***-**-2185 - BARR, RON<br>ACCOUNT #: LSR012625        | COLUMBIA DISCIPLINED CORE A<br>BUY 759 Units @13.1800           | 10,000.00            | 500.00              | 18.00              | 482.00            | 85.0  | 409.70               |
| 05/22/2018                                   | ***-**-703I - RHODE, SUSAN<br>ACCOUNT #: LSR012463     | FEDERATED MDT SMALL CAP GROWTH<br>SELL 603 Units @24.8600       | 15,000.00            | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/22/2018                                   | ***-**-496I - MCCOY, SHERIANNE<br>ACCOUNT #: LSR012469 | FIDELITY ADV CONSUMER DISCRETIONARY<br>SELL 373 Units @26.7900  | 10,000.01            | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/22/2018                                   | ***-**-703I - RHODE, SUSAN<br>ACCOUNT #: LSR012463     | FRK HIGH INC FND - CL A<br>SELL 9659 Units @1.8300              | 17,675.74            | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| 05/22/2018                                   | ***-**-496I - MCCOY, SHERIANNE<br>ACCOUNT #: LSR012469 | FRK HIGH INC FND - CL A<br>SELL 5464 Units @1.8300              | 10,000.00            | 0.00                | 18.00              | -18.00            | 100.0 | -18.00               |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>             |  |   | <b>\$164,717.14</b>  | <b>\$3,000.00</b>   | <b>\$198.00</b>    | <b>\$2,802.00</b> |       | <b>\$2,360.10</b>    |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b>       |  |   |                      |                     |                    |                   |       |                      |
| 05/22/2018                                   | ***-**-691I - HEINZ, MARK<br>ACCOUNT #: LSR012513      | FIRST TR LG CAPITAL VAL ALPHADDEX FD<br>SELL 150 Units @53.5630 | 8,034.45             | 150.00              | 18.00              | 132.00            | 85.0  | 112.20               |
| 05/22/2018                                   | ***-**-55IR - WISE, MARY<br>ACCOUNT #: LSR012558       | GENERAL ELECTRIC CO UNSOLICITED ORD<br>SELL 100 Units @15.4850  | 1,548.50             | 54.00               | 17.00              | 37.00             | 85.0  | 31.45                |
| 05/22/2018                                   | ***-**-55IR - WISE, MARY<br>ACCOUNT #: LSR012558       | GENERAL ELECTRIC CO UNSOLICITED ORD<br>SELL 180 Units @15.4850  | 2,787.30             | 30.12               | 3.60               | 26.52             | 85.0  | 22.54                |
| 05/21/2018                                   | ***-**-447I - HEINZ-OTTE,<br>ACCOUNT #: LSR012465      | KELLY SVCS INC CL A<br>SELL 100 Units @24.4700                  | 2,447.00             | 32.50               | 17.00              | 15.50             | 85.0  | 13.18                |



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| DATE  | CLIENT   | INVESTMENT  | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>      |  |   |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: STOCKS (continued)</b> |  |   |                      |                     |                    |                   |      |                      |
| 05/21/2018                                  | ***-**-447I - HEINZ-OTTE,<br>ACCOUNT #: LSR012465    | KELLY SVCS INC CL A<br>SELL 1900 Units @24.4710         | 46,494.90            | 617.50              | 38.00              | 579.50            | 85.0 | 492.58               |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | MAIN STREET CAPITAL CORP COM<br>SELL 459 Units @38.4950 | 17,669.21            | 325.00              | 24.18              | 300.82            | 85.0 | 255.70               |
| 05/22/2018                                  | ***-**-617I - DVIZAC, LORI<br>ACCOUNT #: LSR012473   | SKYWEST INC<br>SELL 150 Units @58.4490                  | 8,767.35             | 160.00              | 18.00              | 142.00            | 85.0 | 120.70               |
| 05/22/2018                                  | ***-**-617I - DVIZAC, LORI<br>ACCOUNT #: LSR012473   | TURTLE BEACH CORP COM NEW<br>BUY 500 Units @15.3500     | 7,675.00             | 150.00              | 25.00              | 125.00            | 85.0 | 106.25               |
| 05/22/2018                                  | ***-**-434I - DVIZAC, ZACK<br>ACCOUNT #: LSR012474   | TURTLE BEACH CORP COM NEW<br>BUY 200 Units @15.3550     | 3,071.00             | 75.77               | 19.00              | 56.77             | 85.0 | 48.25                |
| 05/22/2018                                  | ***-**-691I - HEINZ, MARK<br>ACCOUNT #: LSR012513    | TURTLE BEACH CORP COM NEW<br>BUY 100 Units @15.3280     | 1,532.80             | 30.00               | 17.00              | 13.00             | 85.0 | 11.05                |
| 05/22/2018                                  | ***-**-691I - HEINZ, MARK<br>ACCOUNT #: LSR012513    | TURTLE BEACH CORP COM NEW<br>BUY 400 Units @15.3280     | 6,131.20             | 120.00              | 8.00               | 112.00            | 85.0 | 95.20                |
| 05/22/2018                                  | ***-**-2267 - WIEBLER, STEVE<br>ACCOUNT #: LSR012606 | TURTLE BEACH CORP COM NEW<br>BUY 500 Units @15.2300     | 7,615.00             | 150.00              | 25.00              | 125.00            | 85.0 | 106.25               |
| 05/22/2018                                  | ***-**-267I - WIEBLER, STEVE<br>ACCOUNT #: LSR012639 | TURTLE BEACH CORP COM NEW<br>BUY 150 Units @15.4290     | 2,314.35             | 68.84               | 18.00              | 50.84             | 85.0 | 43.21                |
| 05/22/2018                                  | ***-**-267I - WIEBLER, STEVE<br>ACCOUNT #: LSR012639 | TURTLE BEACH CORP COM NEW<br>BUY 100 Units @15.4300     | 1,543.00             | 12.12               | 2.00               | 10.12             | 85.0 | 8.60                 |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | TURTLE BEACH CORP COM NEW<br>BUY 100 Units @15.3200     | 1,532.00             | 30.00               | 17.00              | 13.00             | 85.0 | 11.05                |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | TURTLE BEACH CORP COM NEW<br>BUY 3 Units @15.3200       | 45.96                | 0.90                | 0.06               | 0.84              | 85.0 | 0.71                 |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | TURTLE BEACH CORP COM NEW<br>BUY 300 Units @15.3200     | 4,596.00             | 90.00               | 6.00               | 84.00             | 85.0 | 71.40                |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | TURTLE BEACH CORP COM NEW<br>BUY 100 Units @15.3200     | 1,532.00             | 30.00               | 2.00               | 28.00             | 85.0 | 23.80                |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | TURTLE BEACH CORP COM NEW<br>BUY 100 Units @15.3200     | 1,532.00             | 30.00               | 2.00               | 28.00             | 85.0 | 23.80                |
| 05/22/2018                                  | ***-**-324I - MCGHEE, TERRY<br>ACCOUNT #: LSR012649  | TURTLE BEACH CORP COM NEW<br>BUY 100 Units @15.3200     | 1,532.00             | 30.00               | 2.00               | 28.00             | 85.0 | 23.80                |



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|---|--------------------------------|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>          |                                |  |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: STOCKS (continued)</b>     |                                |  |                      |                     |                    |                   |      |                      |
| 05/22/2018                                      | ***-**-324I - MCGHEE, TERRY    | TURTLE BEACH CORP COM NEW                  | 4,550.34             | 89.10               | 5.94               | 83.16             | 85.0 | 70.69                |
|   | ACCOUNT #: LSR012649           | BUY 297 Units @15.3210                     |                      |                     |                    |                   |      |                      |
|   |                                | <b>* STOCKS SUBTOTAL *</b>                 | <b>\$132,951.36</b>  | <b>\$2,275.85</b>   | <b>\$284.78</b>    | <b>\$1,991.07</b> |      | <b>\$1,692.41</b>    |
| <b>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</b> |                                |  |                      |                     |                    |                   |      |                      |
| 05/22/2018                                      | ***-**-447I - HEINZ-OTTE,      | SUTHERLAND ASSET MGMT CORP MD COM U        | 12,320.00            | 158.67              | 24.00              | 134.67            | 85.0 | 114.47               |
|   | ACCOUNT #: LSR012465           | BUY 800 Units @15.4000                     |                      |                     |                    |                   |      |                      |
| 05/22/2018                                      | ***-**-447I - HEINZ-OTTE,      | SUTHERLAND ASSET MGMT CORP MD COM U        | 16,913.60            | 218.17              | 0.00               | 218.17            | 85.0 | 185.44               |
|   | ACCOUNT #: LSR012465           | BUY 1100 Units @15.3760                    |                      |                     |                    |                   |      |                      |
| 05/22/2018                                      | ***-**-447I - HEINZ-OTTE,      | SUTHERLAND ASSET MGMT CORP MD COM U        | 16,913.60            | 218.17              | 0.00               | 218.17            | 85.0 | 185.44               |
|   | ACCOUNT #: LSR012465           | BUY 1100 Units @15.3760                    |                      |                     |                    |                   |      |                      |
| 05/22/2018                                      | ***-**-496I - MCCOY, SHERIANNE | SUTHERLAND ASSET MGMT CORP MD COM U        | 15,376.00            | 300.00              | 24.00              | 276.00            | 85.0 | 234.60               |
|   | ACCOUNT #: LSR012469           | BUY 1000 Units @15.3760                    |                      |                     |                    |                   |      |                      |
|   |                                | <b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b> | <b>\$61,523.20</b>   | <b>\$895.01</b>     | <b>\$48.00</b>     | <b>\$847.01</b>   |      | <b>\$719.95</b>      |
|   |                                | <b>*** BROKER TRANSACTIONS TOTAL ***</b>   | <b>\$359,191.70</b>  | <b>\$6,170.86</b>   | <b>\$530.78</b>    | <b>\$5,640.08</b> |      | <b>\$4,772.46</b>    |

## BROKER COMMISSION TOTALS

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$4,772.46 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$0.00     |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Net Commission Earned | \$4,772.46  |
| Year-to-date Earnings | \$75,432.22 |