



All Companies

07/30/2018
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COMMISSION STATEMENT August 01, 2018

JONATHAN F JETTER
2005 Rickety Lane Ste B

BROKER #: 9580
BRANCH: HOME OFFICE

Tyler, TX 75703

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	4.66	4.66	0.00	4.66	90.0	4.19
06/30/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	44.10	44.10	0.00	44.10	90.0	39.69
07/02/2018	SNOWDEN, COLIN/MOLLY	-TEXAS 529 PLAN	B	75.00	3.56	0.00	3.56	90.0	3.20
07/02/2018	SNOWDEN, COLIN/SAM	-TEXAS 529 PLAN	B	75.00	3.56	0.00	3.56	90.0	3.20
07/10/2018	TRAILS	-TEXAS 529 PLAN	B	364.62	364.62	0.00	364.62	90.0	328.16
07/10/2018	WATSON, ELAN/ROWAN	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
06/22/2018	GRONA-TAKACS, LISA	FORESTERS FINANCIAL	B	200.00	8.00	0.00	8.00	90.0	7.20
06/25/2018	ALEMAN, JANNETTE ANALI	FORESTERS FINANCIAL	B	100.00	5.00	0.00	5.00	90.0	4.50
06/27/2018	GONZALES, DAVID	FORESTERS FINANCIAL	B	100.00	5.00	0.00	5.00	90.0	4.50
07/02/2018	WALKER, DEBRA SAPENTER	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
07/02/2018	BERGERON, TIMOTHY R	FORESTERS FINANCIAL	B	75.00	3.75	0.00	3.75	90.0	3.38
07/05/2018	SOTELLO, FREDDIE	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
07/10/2018	GONZALES, DAVID	FORESTERS FINANCIAL	B	-100.00	-5.00	0.00	-5.00	90.0	-4.50
07/18/2018	GRONA-TAKACS, LISA	FORESTERS FINANCIAL	B	200.00	8.00	0.00	8.00	90.0	7.20
07/18/2018	ALEMAN, JANNETTE ANALI	FORESTERS FINANCIAL	B	100.00	5.00	0.00	5.00	90.0	4.50
07/09/2018	000000003195157 BALLEW, HOLLY	FRK TEMP FOUNDING FDS ALLOC FUND-CL B	B	25.00	0.25	0.00	0.25	90.0	0.23
07/11/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/11/2018	00002015930 PEREZ, CLEMENTE	LIFESTYLE BALANCED PORTFOLIO C	B	45.00	0.45	0.00	0.45	90.0	0.41
07/11/2018	00002015934 PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	45.00	0.45	0.00	0.45	90.0	0.41
07/11/2018	00002015934 PEREZ, HUMBERTO	LIFESTYLE GROWTH PORTFOLIO C	B	45.00	0.45	0.00	0.45	90.0	0.41
07/11/2018	00002016067 GIVENS, WAYNE	ALTERNATIVE ASSET ALLOCATION FUND A B	B	1,166.00	33.23	0.00	33.23	90.0	29.91
07/11/2018	00002016067 GIVENS, WAYNE	ALTERNATIVE ASSET ALLOCATION FUND A B	B	105.00	2.99	0.00	2.99	90.0	2.69
07/02/2018	00002031605 MALACARA, ADRIANA	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	00002031607 MALACARA JR, JOSE	LIFESTYLE BALANCED PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
A.									
07/02/2018	00002124762 BECTON, MATTHEW	LIFESTYLE GROWTH PORTFOLIO C	B	458.33	4.58	0.00	4.58	90.0	4.12
07/11/2018	00002128946 VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	46.80	0.47	0.00	0.47	90.0	0.42
07/11/2018	00002128946 VASQUEZ, CAYETANO	LIFESTYLE GROWTH PORTFOLIO C	B	46.80	0.47	0.00	0.47	90.0	0.42
07/11/2018	00002128949 PEREZ, KRISTINA	LIFESTYLE BALANCED PORTFOLIO C	B	60.00	0.60	0.00	0.60	90.0	0.54
07/11/2018	00002128949 PEREZ, KRISTINA	LIFESTYLE BALANCED PORTFOLIO C	B	45.00	0.45	0.00	0.45	90.0	0.41
07/11/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	50.40	0.50	0.00	0.50	90.0	0.45

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/11/2018	00003370122 PEREZ, ANDREW R.	LIFESTYLE AGGRESSIVE PORTFOLIO C	B	50.40	0.50	0.00	0.50	90.0	0.45
07/17/2018	00073415044 DEWEY, WILLIAM/ERIN	THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	2.00	0.00	2.00	90.0	1.80
07/17/2018	00073415141 DEWEY, WILLIAM/KENDYL	THE GROWTH FUND OF AMERICA-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00081792391 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	75.00	3.75	0.00	3.75	90.0	3.38
07/16/2018	00081794959 GOMEZ, CASSANDRA	AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	3.75	0.00	3.75	90.0	3.38
07/20/2018	00083917584 CA/TOD	AMERICAN FUNDS TAX EXEMPT BD FD	B	100.00	3.00	0.00	3.00	90.0	2.70
07/10/2018	00084133771 MAY, GREG	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	2.50	0.00	2.50	90.0	2.25
07/09/2018	00084190074 JETTER MAAS, ANNE	CAPITAL WORLD GROWTH AND INCOME FUN	B	300.00	3.00	0.00	3.00	90.0	2.70
07/09/2018	00084190074 JETTER MAAS, ANNE	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/09/2018	00084190074 JETTER MAAS, ANNE	THE GROWTH FUND OF AMERICA-C	B	300.00	3.00	0.00	3.00	90.0	2.70
07/09/2018	00084190074 JETTER MAAS, ANNE	THE INCOME FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00084221376 DAVIDSON, CASEY	CAPITAL WORLD GROWTH AND INCOME FUN	B	300.00	3.00	0.00	3.00	90.0	2.70
07/16/2018	00084221790 DAVIDSON, DAVID	NEW PERSPECTIVE FUND-C	B	300.00	3.00	0.00	3.00	90.0	2.70
07/16/2018	00084601550 WILLIAMS, JAMES/KRISTI	EUROPACIFIC GROWTH FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	00084601550 WILLIAMS, JAMES/KRISTI	THE INVESTMENT COMPANY OF AMERICA-C	B	208.33	2.08	0.00	2.08	90.0	1.87
07/16/2018	00084601580 WILLIAMS, KRISTI	AMERICAN MUTUAL FUND-C	B	208.33	2.08	0.00	2.08	90.0	1.87
07/16/2018	00084601580 WILLIAMS, KRISTI	NEW PERSPECTIVE FUND-C	B	250.00	2.50	0.00	2.50	90.0	2.25
07/20/2018	00085271615 MORENO, CATHY	THE TAX-EXEMPT BOND FUND OF AMERICA	B	600.00	6.00	0.00	6.00	90.0	5.40
07/20/2018	00085271615 MORENO, CATHY	WASHINGTON MUTUAL INVESTORS FUND-C	B	500.00	5.00	0.00	5.00	90.0	4.50
07/17/2018	00085451395 DEWEY, WILLIAM/SEAN C	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/11/2018	00086068623 GARCIA, RAUL	AMERICAN BALANCED FUND-C	B	96.24	0.96	0.00	0.96	90.0	0.86
07/11/2018	00086068623 GARCIA, RAUL	AMERICAN BALANCED FUND-C	B	32.08	0.32	0.00	0.32	90.0	0.29
07/11/2018	00086068627 CLARDY, CHRISTOPHER	CAPITAL WORLD GROWTH AND INCOME FUN	B	230.76	2.31	0.00	2.31	90.0	2.08
07/11/2018	00086068627 CLARDY, CHRISTOPHER	CAPITAL WORLD GROWTH AND INCOME FUN	B	46.16	0.46	0.00	0.46	90.0	0.41
07/11/2018	00086068647 BELL, LAWRENCE	CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/11/2018	00086068647 BELL, LAWRENCE	CAPITAL INCOME BUILDER-C	B	23.08	0.23	0.00	0.23	90.0	0.21
07/11/2018	00086068647 BELL, LAWRENCE	NEW WORLD FUND-C	B	100.00	1.00	0.00	1.00	90.0	0.90
07/11/2018	00086068647 BELL, LAWRENCE	NEW WORLD FUND-C	B	23.08	0.23	0.00	0.23	90.0	0.21
07/11/2018	00086068698 CATON, ABRAM	CAPITAL INCOME BUILDER-C	B	103.85	1.04	0.00	1.04	90.0	0.94
07/11/2018	00086068698 CATON, ABRAM	CAPITAL INCOME BUILDER-C	B	34.62	0.35	0.00	0.35	90.0	0.32
07/11/2018	00086068698 CATON, ABRAM	CAPITAL WORLD BOND FUND-C	B	103.85	1.04	0.00	1.04	90.0	0.94
07/11/2018	00086068698 CATON, ABRAM	CAPITAL WORLD BOND FUND-C	B	34.62	0.35	0.00	0.35	90.0	0.32

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/11/2018	00086569687	WAITS, GREG		AMERICAN BALANCED FUND-C	B	69.23	0.69	0.00	0.69 90.0 0.62
07/11/2018	00086569687	WAITS, GREG		AMERICAN BALANCED FUND-C	B	23.08	0.23	0.00	0.23 90.0 0.21
07/11/2018	00086569687	WAITS, GREG		CAPITAL WORLD GROWTH AND INCOME FUN B	B	69.23	0.69	0.00	0.69 90.0 0.62
07/11/2018	00086569687	WAITS, GREG		CAPITAL WORLD GROWTH AND INCOME FUN B	B	23.08	0.23	0.00	0.23 90.0 0.21
07/11/2018	00086569729	MERAZ, ENRIQUE		FUNDAMENTAL INVESTORS-C	B	147.31	1.47	0.00	1.47 90.0 1.32
07/11/2018	00086569729	MERAZ, ENRIQUE		FUNDAMENTAL INVESTORS-C	B	49.11	0.49	0.00	0.49 90.0 0.44
07/10/2018	00087600226	BURCH, STACIA		CAPITAL INCOME BUILDER-C	B	45.65	0.46	0.00	0.46 90.0 0.41
07/10/2018	00087600226	BURCH, STACIA		CAPITAL INCOME BUILDER-C	B	45.65	0.46	0.00	0.46 90.0 0.41
07/20/2018	00087600226	BURCH, STACIA		CAPITAL INCOME BUILDER-C	B	44.84	0.45	0.00	0.45 90.0 0.41
07/20/2018	00087600226	BURCH, STACIA		CAPITAL INCOME BUILDER-C	B	44.84	0.45	0.00	0.45 90.0 0.41
07/10/2018	00087600229	NIXON, CHERYL		CAPITAL INCOME BUILDER-C	B	37.82	0.38	0.00	0.38 90.0 0.34
07/10/2018	00087600229	NIXON, CHERYL		CAPITAL INCOME BUILDER-C	B	37.82	0.38	0.00	0.38 90.0 0.34
07/20/2018	00087600229	NIXON, CHERYL		CAPITAL INCOME BUILDER-C	B	39.16	0.39	0.00	0.39 90.0 0.35
07/20/2018	00087600229	NIXON, CHERYL		CAPITAL INCOME BUILDER-C	B	39.16	0.39	0.00	0.39 90.0 0.35
07/10/2018	00087667705	PARRISH-KELLER, KRISTIN		CAPITAL WORLD GROWTH AND INCOME FUN B	B	22.51	0.23	0.00	0.23 90.0 0.21
07/10/2018	00087667705	PARRISH-KELLER, KRISTIN		CAPITAL WORLD GROWTH AND INCOME FUN B	B	22.51	0.23	0.00	0.23 90.0 0.21
07/20/2018	00087667705	PARRISH-KELLER, KRISTIN		CAPITAL WORLD GROWTH AND INCOME FUN B	B	25.25	0.25	0.00	0.25 90.0 0.23
07/20/2018	00087667705	PARRISH-KELLER, KRISTIN		CAPITAL WORLD GROWTH AND INCOME FUN B	B	25.25	0.25	0.00	0.25 90.0 0.23
07/11/2018	00087917664	MURPHY, MICHAEL		CAPITAL INCOME BUILDER-C	B	103.84	1.04	0.00	1.04 90.0 0.94
07/11/2018	00087917664	MURPHY, MICHAEL		CAPITAL INCOME BUILDER-C	B	34.62	0.35	0.00	0.35 90.0 0.32
07/11/2018	00087917664	MURPHY, MICHAEL		GLOBAL BALANCED FUND-C	B	34.62	0.35	0.00	0.35 90.0 0.32
07/11/2018	00087917664	MURPHY, MICHAEL		GLOBAL BALANCED FUND-C	B	11.54	0.12	0.00	0.12 90.0 0.11
07/10/2018	00087949692	HOWLAND, AMY		CAPITAL INCOME BUILDER-C	B	22.75	0.23	0.00	0.23 90.0 0.21
07/10/2018	00087949692	HOWLAND, AMY		CAPITAL INCOME BUILDER-C	B	22.75	0.23	0.00	0.23 90.0 0.21
07/20/2018	00087949692	HOWLAND, AMY		CAPITAL INCOME BUILDER-C	B	21.13	0.21	0.00	0.21 90.0 0.19
07/20/2018	00087949692	HOWLAND, AMY		CAPITAL INCOME BUILDER-C	B	21.13	0.21	0.00	0.21 90.0 0.19
07/16/2018	00088731582	HUCKABEE, JUSTIN L.		CAPITAL INCOME BUILDER-C	B	100.00	1.00	0.00	1.00 90.0 0.90
07/16/2018	00088731582	HUCKABEE, JUSTIN L.		THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00 90.0 0.90
07/16/2018	00088731619	HUCKABEE, JUSTIN L.		AMERICAN BALANCED FUND-C	B	100.00	1.00	0.00	1.00 90.0 0.90
07/16/2018	00088731619	HUCKABEE, JUSTIN L.		CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50 90.0 0.45
07/16/2018	00088731619	HUCKABEE, JUSTIN L.		THE BOND FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00 90.0 0.90

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/18/2018	00089232668	BROWN, JAN A.	NEW WORLD FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/18/2018	00089232759	BROWN, JAN A.	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00089362890	ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN	B	77.08	0.77	0.00	0.77	90.0	0.69
07/16/2018	00089362890	ARNOLD, ANDREW S.	CAPITAL WORLD GROWTH AND INCOME FUN	B	46.25	0.46	0.00	0.46	90.0	0.41
07/16/2018	00089362940	COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
07/16/2018	00089362940	COZAD, CECIL C.	CAPITAL INCOME BUILDER-C	B	33.00	0.33	0.00	0.33	90.0	0.30
07/16/2018	00089362944	COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN	B	62.50	0.63	0.00	0.63	90.0	0.57
07/16/2018	00089362944	COZAD, JEREMY C.	CAPITAL WORLD GROWTH AND INCOME FUN	B	62.50	0.63	0.00	0.63	90.0	0.57
07/16/2018	00089399899	TEEL, SHELLEY	CAPITAL INCOME BUILDER-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089399921	TEEL, KEITH	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00089416008	TEEL, KEITH/TAYLOR	AMERICAN FUNDS 2020 TARGET DATE-C	B	150.00	1.50	0.00	1.50	90.0	1.35
07/16/2018	00089449523	HUCKABEE, ANDREW	CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50	90.0	1.35
07/16/2018	00089449840	HUCKABEE, ANDREW	AMERICAN BALANCED FUND-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	00089449840	HUCKABEE, ANDREW	THE BOND FUND OF AMERICA-C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/16/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	43.68	0.44	0.00	0.44	90.0	0.40
07/16/2018	04000105803	KRIEG, KELLI M.	CAPITAL INCOME BUILDER-C	B	43.68	0.44	0.00	0.44	90.0	0.40
07/10/2018	04000116782	SMITH, STACY L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	21.83	0.22	0.00	0.22	90.0	0.20
07/10/2018	04000116782	SMITH, STACY L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	21.83	0.22	0.00	0.22	90.0	0.20
07/20/2018	04000116782	SMITH, STACY L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	22.08	0.22	0.00	0.22	90.0	0.20
07/20/2018	04000116782	SMITH, STACY L.	CAPITAL WORLD GROWTH AND INCOME FUN	B	22.08	0.22	0.00	0.22	90.0	0.20
07/10/2018	04000116832	VALLE, RUBY A.	CAPITAL INCOME BUILDER-C	B	30.58	0.31	0.00	0.31	90.0	0.28
07/10/2018	04000116832	VALLE, RUBY A.	CAPITAL INCOME BUILDER-C	B	30.58	0.31	0.00	0.31	90.0	0.28
07/20/2018	04000116832	VALLE, RUBY A.	CAPITAL INCOME BUILDER-C	B	31.80	0.32	0.00	0.32	90.0	0.29
07/20/2018	04000116832	VALLE, RUBY A.	CAPITAL INCOME BUILDER-C	B	31.80	0.32	0.00	0.32	90.0	0.29
07/16/2018	04000180091	MIDDLETON, CHRISTINA G.	THE INVESTMENT COMPANY OF AMERICA-C	B	48.75	0.49	0.00	0.49	90.0	0.44
07/16/2018	04000180091	MIDDLETON, CHRISTINA G.	THE INVESTMENT COMPANY OF AMERICA-C	B	48.75	0.49	0.00	0.49	90.0	0.44
07/10/2018	04000731104	FREEMAN, FRAQUANIA	AMERICAN FUNDS 2040 TARGET DATE-A	B	198.73	5.47	0.00	5.47	90.0	4.92
07/10/2018	04000731104	FREEMAN, FRAQUANIA	AMERICAN FUNDS 2040 TARGET DATE-A	B	198.73	5.47	0.00	5.47	90.0	4.92
07/20/2018	04000731104	FREEMAN, FRAQUANIA	AMERICAN FUNDS 2040 TARGET DATE-A	B	109.06	3.00	0.00	3.00	90.0	2.70
07/20/2018	04000731104	FREEMAN, FRAQUANIA	AMERICAN FUNDS 2040 TARGET DATE-A	B	109.06	3.00	0.00	3.00	90.0	2.70
07/16/2018	04000752769	KITTRELL, PHYLLIS H.	AMERICAN FUNDS 2025 TARGET DATE-C	B	45.00	0.45	0.00	0.45	90.0	0.41
07/16/2018	04000752769	KITTRELL, PHYLLIS H.	AMERICAN FUNDS 2025 TARGET DATE-C	B	45.00	0.45	0.00	0.45	90.0	0.41

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/16/2018	04000752934	CLEAVER, MARYBETH	AMERICAN FUNDS 2030 TARGET DATE-C	B	56.25	0.56	0.00	0.56	90.0	0.50
07/16/2018	04000752934	CLEAVER, MARYBETH	AMERICAN FUNDS 2030 TARGET DATE-C	B	56.25	0.56	0.00	0.56	90.0	0.50
07/10/2018	04000844169	LUSK, BRIDGETT N.	AMERICAN FUNDS 2055 TARGET DATE-A	B	34.18	0.94	0.00	0.94	90.0	0.85
07/10/2018	04000844169	LUSK, BRIDGETT N.	AMERICAN FUNDS 2055 TARGET DATE-A	B	34.18	0.94	0.00	0.94	90.0	0.85
07/20/2018	04000844169	LUSK, BRIDGETT N.	AMERICAN FUNDS 2055 TARGET DATE-A	B	26.97	0.74	0.00	0.74	90.0	0.67
07/20/2018	04000844169	LUSK, BRIDGETT N.	AMERICAN FUNDS 2055 TARGET DATE-A	B	26.97	0.74	0.00	0.74	90.0	0.67
07/10/2018	04000850423	HAVIR, JESSICA	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
06/27/2018	04001030249	GONZALES, ANGELA	COLLEGE 2024 FUND-529A	B	-4,147.18	-145.15	0.00	-145.15	90.0	-130.64
06/27/2018	04001030249	GONZALES, ANGELA	COLLEGE 2024 FUND-529A	B	2,147.18	75.15	0.00	75.15	90.0	67.64
06/27/2018	04001030249	GONZALES, ANGELA	COLLEGE 2024 FUND-529A	B	2,000.00	70.00	0.00	70.00	90.0	63.00
07/16/2018	04001030249	GONZALES, ANGELA	COLLEGE 2024 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
07/02/2018	07150180502	MALOWITZ, PAULA	PORTFOLIO 2017-2020C2	B	100.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	2270610610	MALOWITZ, LEE	OPPENHEIMER QUEST VALUE FD CL C	B	200.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	3322503809	PRINCE, JOSEPH	OPPENHEIMER GLOBAL FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/12/2018	5520701481	MALOWITZ, LEE	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	200.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	5521025794	WALKER, DEBRA	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	573.03	5.73	0.00	5.73	90.0	5.16
	SAPENTER									
06/25/2018	647109446	TORRES-HERNANDEZ, ERIKA	FORESTERS FINANCIAL	B	50.00	2.50	0.00	2.50	90.0	2.25
07/18/2018	647109446	TORRES-HERNANDEZ, ERIKA	FORESTERS FINANCIAL	B	50.00	2.50	0.00	2.50	90.0	2.25
07/02/2018	6840033000	SCZEPANIK, ROSEMARY	PORTFOLIO SERIES: ACTIVE ALLOCATION	B	336.05	3.36	0.00	3.36	90.0	3.02
07/02/2018	8821758801	PRINCE, JOSEPH	OPPENHEIMER INTL BD FD CL C	B	50.00	0.50	0.00	0.50	90.0	0.45
		* MUTUAL FUNDS SUBTOTAL *			\$15,702.22	\$666.05	\$0.00	\$666.05		\$599.56
PRODUCT CATEGORY: STOCKS										
07/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES		B	341.99	341.99	0.00	341.99	90.0	307.79
		* STOCKS SUBTOTAL *			\$341.99	\$341.99	\$0.00	\$341.99		\$307.79
PRODUCT CATEGORY: VARIABLE ANNUITIES										
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	2,500.00	2,500.00	0.00	2,500.00	90.0	2,250.00
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	4,524.94	4,524.94	0.00	4,524.94	90.0	4,072.45
07/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL		B	1,358.80	1,358.80	0.00	1,358.80	90.0	1,222.92
07/10/2018	TAYLOR, PAUL	BRIGHTHOUSE FINANCIAL		B	100.00	7.00	0.00	7.00	90.0	6.30
06/29/2018	TRAILS	GENWORTH LIFE ANNUITY		B	167.42	167.42	0.00	167.42	90.0	150.68
07/18/2008	TRAILS	OHIO LIFE		B	84.41	84.41	0.00	84.41	90.0	75.97
07/25/2018	TRAILS	OHIO LIFE		B	747.82	747.82	0.00	747.82	90.0	673.04
		* VARIABLE ANNUITIES SUBTOTAL *			\$9,483.39	\$9,390.39	\$0.00	\$9,390.39		\$8,451.36

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
07/19/2018	DEWEY, WILLIAM S	NATIONWIDE LIFE	B	60.00	1.20	0.00	1.20	90.0	1.08
		* LIFE INSURANCE SUBTOTAL *		\$60.00	\$1.20	\$0.00	\$1.20		\$1.08
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	10361083 HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	161.67	0.00	161.67	90.0	145.50
07/13/2018	10573562 WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
07/13/2018	11834637 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	31.33	0.00	31.33	90.0	28.20
07/13/2018	14857073 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	91.00	0.00	91.00	90.0	81.90
07/13/2018	14971495 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	30.33	0.00	30.33	90.0	27.30
07/13/2018	17858706 FELDMAN, KELLI	SIGNAL SECURITIES RIA	B	0.00	1.67	0.00	1.67	90.0	1.50
07/13/2018	20666348 WEAVER, MORGAN B	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
07/13/2018	23775274 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	274.67	0.00	274.67	90.0	247.20
07/13/2018	27183379 EKin, DANIELLE A	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
07/13/2018	33340353 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	43.00	0.00	43.00	90.0	38.70
07/13/2018	34561240 PEACOCK, PAUL PHILLIP	SIGNAL SECURITIES RIA	B	0.00	139.00	0.00	139.00	90.0	125.10
07/13/2018	36092611 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
07/13/2018	36556970 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
07/13/2018	36984845 COZAD, CECIL CLAIRE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
07/13/2018	39129908 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
07/13/2018	39690698 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
07/13/2018	43439096 NIXON, MONTGOMERY L	SIGNAL SECURITIES RIA	B	0.00	91.33	0.00	91.33	90.0	82.20
07/13/2018	48661313 PEACOCK, PAUL PHILLIP	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00	90.0	63.00
07/13/2018	52360698 HILL, G. LOUISE	SIGNAL SECURITIES RIA	B	0.00	37.67	0.00	37.67	90.0	33.90
07/13/2018	53398661 BECTON, MATTHEW & KIMBE	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
07/13/2018	54891002 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	421.00	0.00	421.00	90.0	378.90
07/13/2018	55503866 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	48.33	0.00	48.33	90.0	43.50
07/13/2018	55747835 EKin, DANIELLE A	SIGNAL SECURITIES RIA	B	0.00	45.33	0.00	45.33	90.0	40.80
07/13/2018	57111830 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	52.33	0.00	52.33	90.0	47.10
07/13/2018	58435559 FINKLESTEIN, ARIANA	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
07/13/2018	59744598 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	56.67	0.00	56.67	90.0	51.00
07/13/2018	60541134 JETTER, JOHN A	SIGNAL SECURITIES RIA	B	0.00	17.67	0.00	17.67	90.0	15.90
07/13/2018	62289618 DAVIS, COLBY B	SIGNAL SECURITIES RIA	B	0.00	399.67	0.00	399.67	90.0	359.70
07/13/2018	62294907 PRAHL, LUCAS M	SIGNAL SECURITIES RIA	B	0.00	46.67	0.00	46.67	90.0	42.00

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	62733687 NIXON, MONTGOMERY L	SIGNAL SECURITIES RIA	B	0.00	15.67	0.00	15.67	90.0	14.10
07/13/2018	62880041 JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	90.0	43.80
07/13/2018	62914964 SCROGGINS, JR, JOHN W	SIGNAL SECURITIES RIA	B	0.00	44.67	0.00	44.67	90.0	40.20
07/13/2018	65747661 SHUPE, BRANDON	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
07/13/2018	65754641 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
07/13/2018	67599477 WORTHAM, JOHN L	SIGNAL SECURITIES RIA	B	0.00	149.33	0.00	149.33	90.0	134.40
07/13/2018	67756602 PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67	90.0	58.20
07/13/2018	68845626 COZAD, JEREMY & CECIL	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
07/13/2018	70374311 WEEMS, JACQUELYN DENE	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
07/13/2018	72909748 HANKINS, POLLY S	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
07/13/2018	73189208 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	43.33	0.00	43.33	90.0	39.00
07/13/2018	79535353 FELDMAN, KELLI	SIGNAL SECURITIES RIA	B	0.00	598.67	0.00	598.67	90.0	538.80
07/13/2018	79577076 HOGG FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	80.33	0.00	80.33	90.0	72.30
07/13/2018	80622249 DEWEY, WILLIAM/MELISSA	SIGNAL SECURITIES RIA	B	0.00	139.33	0.00	139.33	90.0	125.40
07/13/2018	82832184 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	70.33	0.00	70.33	90.0	63.30
07/13/2018	83101852 JETTER, FRIEDA HOGG	SIGNAL SECURITIES RIA	B	0.00	56.67	0.00	56.67	90.0	51.00
07/13/2018	83417118 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
07/13/2018	83650621 PECHMAN, TROY & KIMBERLY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
07/13/2018	85536474 PECHMAN, KIMBERLY R	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
07/13/2018	86144705 MAPES, GARY F	SIGNAL SECURITIES RIA	B	0.00	58.67	0.00	58.67	90.0	52.80
07/13/2018	87142193 MAPES, FRANKLYN & LOLA	SIGNAL SECURITIES RIA	B	0.00	50.00	0.00	50.00	90.0	45.00
07/13/2018	90514205 MARSHALL, NICHOLAS W	SIGNAL SECURITIES RIA	B	0.00	0.33	0.00	0.33	90.0	0.30
07/13/2018	95387187 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	102.67	0.00	102.67	90.0	92.40
07/13/2018	95387200 WOLVEN, DARRELL J	SIGNAL SECURITIES RIA	B	0.00	1,004.33	0.00	1,004.33	90.0	903.90
07/13/2018	95387868 WOLVEN, MARY JANE	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
07/13/2018	95820819 CLARK, JOSEPH W	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
07/13/2018	97778550 PALMER, TIMOTHY A	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
07/13/2018	97866027 SHUPE, JENNIFER & BRAN M.	SIGNAL SECURITIES RIA	B	0.00	31.67	0.00	31.67	90.0	28.50
07/13/2018	98316873 EKIN, DANIELLE A	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
07/13/2018	98935151 O'DONNELL, DANIEL JOHN	SIGNAL SECURITIES RIA	B	0.00	245.00	0.00	245.00	90.0	220.50
* RIA SUBTOTAL *				\$0.00	\$5,411.03	\$0.00	\$5,411.03		\$4,869.90

COMMISSION STATEMENT for JONATHAN F JETTER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES	0.00	1,441.98	0.00	1,441.98	90.0	1,297.82
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,441.98	\$0.00	\$1,441.98		\$1,297.82
	*** BROKER TRANSACTIONS TOTAL ***	\$25,587.60	\$17,252.64	\$0.00	\$17,252.64		\$15,527.51

ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
08/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$15,527.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$15,400.01
Year-to-date Earnings	\$122,519.44