



Concorde Investment Services, LLC

06/13/2017
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COMMISSION STATEMENT **June 15, 2017**

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGE</u>								
05/16/2017	TILLSON TRUST, JAMES & SKIPPEREXCHANGERIGHT CRYSTAL LAKE APTS DST		226,570.06	15,859.90	0.00	15,859.90	90.0	14,273.91
06/12/2017	TILLSON TRUST, JAMES & SKIPPEREXCHANGERIGHT NET LEASED PF 15 DST		413,429.94	28,940.10	0.00	28,940.10	90.0	26,046.09
* 1031 EXCHANGE SUBTOTAL *			\$640,000.00	\$44,800.00	\$0.00	\$44,800.00		\$40,320.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RUPP, CHARLES	AM FUNDS AMCAP 529A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	RUPP, GAIL	AM FUNDS AMCAP 529A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2017	O'BRIEN, GERALD	AM FUNDS AMCAP 529A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	RUPP, CHARLES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2017	O'BRIEN, GERALD	AM FUNDS AMERICAN BALANCED 529A	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2017	RUPP, CHARLES	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	O'BRIEN, GERALD	AM FUNDS AMERICAN MUTUAL 529A	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2017	O'BRIEN, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	O'BRIEN, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.76	0.00	1.76	90.0	1.58
06/01/2017	O'BRIEN, GERALD	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.11	0.00	2.11	90.0	1.90
06/01/2017	RUPP, CHARLES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	RUPP, GAIL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	O'BRIEN, GERALD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.10	0.00	2.10	90.0	1.89
06/01/2017	O'BRIEN, GERALD	AM FUNDS ICA 529A	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.30	0.00	0.30	90.0	0.27

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RUPP, CHARLES	AM FUNDS NEW ECONOMY 529A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	RUPP, GAIL	AM FUNDS NEW ECONOMY 529A	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2017	O'BRIEN, GERALD	AM FUNDS NEW ECONOMY 529A	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2017	O'BRIEN, GERALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	O'BRIEN, GERALD	AM FUNDS NEW WORLD 529A	0.00	0.84	0.00	0.84	90.0	0.76
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$29.06	\$0.00	\$29.06		\$26.19
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>								
06/01/2017	TILLSON TRUST, JAMES & SKIPPER	DD EXCHANGERIGHT CRYSTAL LK	226,570.06	2,265.70	0.00	2,265.70	50.0	1,132.85
06/02/2017	TILLSON TRUST, JAMES & SKIPPER	DD EXCHANGERIGHT NET LEASE 15	413,429.94	4,134.30	0.00	4,134.30	50.0	2,067.15
* MISCELLANEOUS SUBTOTAL *			\$640,000.00	\$6,400.00	\$0.00	\$6,400.00		\$3,200.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/11/2017	WEBER, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	4.86	0.00	4.86	90.0	4.37
05/11/2017	WEBER, GLENDA	FRANKLIN DYNATECH A	0.00	0.46	0.00	0.46	90.0	0.41
05/11/2017	WEBER, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.07	0.00	7.07	90.0	6.36
05/11/2017	WEBER, GLENDA	FRANKLIN GROWTH A	0.00	7.32	0.00	7.32	90.0	6.59
05/11/2017	WEBER, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.13	0.00	2.13	90.0	1.92
05/11/2017	WEBER, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.68	0.00	4.68	90.0	4.21
05/11/2017	WEBER, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.55	0.00	3.55	90.0	3.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$30.07	\$0.00	\$30.07		\$27.06
*** BROKER TRANSACTIONS TOTAL ***			\$1,280,000.00	\$51,259.13	\$0.00	\$51,259.13		\$43,573.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2017		*CONCIERGE SVC: 191823 DUNN	0.00					-50.00
06/15/2017		*CONCIERGE SVC: 191922 TILLSON/CUMM	0.00					-50.00
06/15/2017		*CONCIERGE SVC: 193135 KORNEILL	0.00					-50.00
06/15/2017		*CONCIERGE SVC: 193135 KORNEILL	0.00					-50.00
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-33.72
06/15/2017		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-908.72

BROKER COMMISSION TOTALS

Broker Transactions	\$43,573.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-908.72
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$42,664.53
Year-to-date Gross	\$428,021.19
Year-to-date Earnings	\$424,392.18

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