



COMMISSION STATEMENT

April 15, 2017

WILLIAM WALSH
2565 West Maple

Troy, MI 48084

BROKER #: W127
BRANCH: WILLIAM WALSH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/10/2017	LEWANDOWSKI, ANDREW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	62.22	0.00	62.22	87.0	54.13
03/10/2017	SPELLMAN, HENRY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	59.28	0.00	59.28	87.0	51.57
03/10/2017	SPELLMAN TRUST, SUSAN	DAVIS NEW YORK VENTURE A	0.00	8.56	0.00	8.56	87.0	7.45
03/15/2017	LEWANDOWSKI, ANDREW	HEARTLAND VALUE FUND	0.00	23.83	0.00	23.83	87.0	20.73
03/10/2017	BEGEMAN TRUST, PAUL	IVY ASSET STRATEGY FUND CLASS A	0.00	0.18	0.00	0.18	87.0	0.16
03/10/2017	SPELLMAN TRUST, HENRY	LORD ABBETT AFFILIATED A	0.00	6.09	0.00	6.09	87.0	5.30
03/10/2017	SPELLMAN TRUST, HENRY	LORD ABBETT DEVELOPING GROWTH A	0.00	19.04	0.00	19.04	87.0	16.56
03/10/2017	SPELLMAN TRUST, SUSAN	LORD ABBETT DEVELOPING GROWTH A	0.00	23.78	0.00	23.78	87.0	20.69
03/10/2017	SPELLMAN TRUST, HENRY	LORD ABBETT RESEARCH FUND INC-SMALL	0.00	80.09	0.00	80.09	87.0	69.68
03/10/2017	SPELLMAN TRUST, SUSAN	OPPENHEIMER DEVELOPING MARKETS A	0.00	14.44	0.00	14.44	87.0	12.56
03/10/2017	SPELLMAN TRUST, SUSAN	OPPENHEIMER GLOBAL A	0.00	13.53	0.00	13.53	87.0	11.77
03/10/2017	SPELLMAN, HENRY	TEMPLETON DEVELOPING MKTS A	0.00	10.09	0.00	10.09	87.0	8.78
03/10/2017	SPELLMAN TRUST, HENRY	TEMPLETON GLOBAL OPP A	0.00	3.06	0.00	3.06	87.0	2.66
03/10/2017	SPELLMAN TRUST, SUSAN	THORNBURG INT'L VALUE A	0.00	75.14	0.00	75.14	87.0	65.37
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$399.33	\$0.00	\$399.33		\$347.41
<u>PRODUCT CATEGORY: RIA</u>								
04/01/2017	LEWANDOWSKI, ANDREW	RBC	0.00	-647.43	0.00	-647.43	87.0	-563.26
04/01/2017	LEWANDOWSKI, ANDREW	RBC	0.00	-19.15	0.00	-19.15	87.0	-16.66
04/01/2017	BEGEMAN, PAUL	RBC	0.00	-43.66	0.00	-43.66	87.0	-37.98
04/01/2017	SPELLMAN TRUST, SUSAN	RBC	0.00	-405.72	0.00	-405.72	87.0	-352.98
04/01/2017	SPELLMAN, SUSAN	RBC	0.00	-37.36	0.00	-37.36	87.0	-32.50
04/01/2017	SPELLMAN TRUST, HENRY	RBC	0.00	-452.34	0.00	-452.34	87.0	-393.54
04/01/2017	SPELLMAN, HENRY	RBC	0.00	-489.31	0.00	-489.31	87.0	-425.70
04/11/2017	BEGEMAN, PAUL	RBC	132,685.46	496.21	0.00	496.21	87.0	431.70
04/11/2017	LEWANDOWSKI, ANDREW	RBC	1,881,825.78	4,222.51	0.00	4,222.51	87.0	3,673.58
04/11/2017	SENGSTOCK, DAVID	RBC	402,663.97	250.98	0.00	250.98	87.0	218.35

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/11/2017	WALSH TRUST, DANIEL	RBC	851,651.21	1,061.65	0.00	1,061.65	87.0	923.64
04/11/2017	SPELLMAN TRUST, HENRY	RBC	4,238,215.08	7,924.88	0.00	7,924.88	87.0	6,894.65
* RIA SUBTOTAL *			\$7,507,041.50	\$11,861.26	\$0.00	\$11,861.26		\$10,319.30
*** BROKER TRANSACTIONS TOTAL ***			\$7,507,041.50	\$12,260.59	\$0.00	\$12,260.59		\$10,666.71

ADJUSTMENTS

04/15/2017	*BAL CARRIED FORWARD: 3/15/17	0.00					882.19
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$882.19

BROKER COMMISSION TOTALS

Broker Transactions	\$10,666.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$882.19
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-13.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,535.01
Year-to-date Gross	\$14,379.64
Year-to-date Earnings	\$12,490.27

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed