



# COMMISSION STATEMENT

January 08, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/28/2017	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMCAP FUND-A SELL 5 Units @31.6600	157.22	0.00	0.00	0.00	70.0	0.00
12/28/2017	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN BALANCED FUND-A SELL 7 Units @27.2100	197.27	0.00	0.00	0.00	70.0	0.00
12/28/2017	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	AMERICAN BALANCED FUND-A SELL 15 Units @27.2100	399.99	0.00	0.00	0.00	70.0	0.00
01/04/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 18 Units @14.2400	250.00	7.50	0.00	7.50	70.0	5.25
12/28/2017	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN HIGH-INCOME TRUST-A SELL 4 Units @10.3600	45.78	0.00	0.00	0.00	70.0	0.00
12/28/2017	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A SELL 5 Units @40.9400	202.94	0.00	0.00	0.00	70.0	0.00
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @20.7000	5.54	0.17	0.00	0.17	70.0	0.12
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.7000	29.53	0.89	0.00	0.89	70.0	0.62
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.7000	29.53	0.89	0.00	0.89	70.0	0.62
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD BOND FUND-A BUY 0 Units @20.7000	5.54	0.17	0.00	0.17	70.0	0.12
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.8400	132.92	5.65	0.00	5.65	70.0	3.96
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @53.8400	24.92	1.06	0.00	1.06	70.0	0.74
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.8400	132.92	5.65	0.00	5.65	70.0	3.96
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @53.8400	24.92	1.06	0.00	1.06	70.0	0.74
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @59.1100	8.31	0.35	0.00	0.35	70.0	0.25
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.1100	44.31	1.88	0.00	1.88	70.0	1.32



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 0 Units @59.1100	8.31	0.35	0.00	0.35	70.0	0.25
12/28/2017	***-**-6878 - KACUR, JUSTIN ACCOUNT #: 00088824619	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.1100	44.31	1.88	0.00	1.88	70.0	1.32
12/20/2017	***-**-5922 - HOTAILING, ACCOUNT #: 000090221306726	FRANKLIN INCOME FUND CLASS A BUY 46 Units @2.4600	112.50	3.15	0.00	3.15	70.0	2.21
12/20/2017	***-**-5922 - HOTAILING, ACCOUNT #: 000015812472167	FRANKLIN RISING DIVIDENDS FD CLASS BUY 2 Units @63.2700	112.50	3.15	0.00	3.15	70.0	2.21
12/20/2017	***-**-5922 - HOTAILING, ACCOUNT #: 000050118127094	FRK HIGH INC FND - CL A BUY 58 Units @1.9500	112.50	3.15	0.00	3.15	70.0	2.21
12/28/2017	***-**-8526 - O'LEARY, MARY ACCOUNT #: 04000234328	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.1200	12.00	0.12	0.00	0.12	70.0	0.08
12/28/2017	***-**-8526 - O'LEARY, MARY ACCOUNT #: 04000234328	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.1200	3.60	0.04	0.00	0.04	70.0	0.03
12/28/2017	***-**-8526 - O'LEARY, MARY ACCOUNT #: 04000234328	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.1200	3.90	0.04	0.00	0.04	70.0	0.03
12/28/2017	***-**-8526 - O'LEARY, MARY ACCOUNT #: 04000234328	FUNDAMENTAL INVESTORS-C BUY 0 Units @62.1200	13.00	0.13	0.00	0.13	70.0	0.09
01/03/2018	***-**-1710 - DEBONIS, BRENDA ACCOUNT #: LSR012240	MAINSTAY CONSERVATIV ALLOCATION CL SELL 1210 Units @12.3900	14,993.16	0.00	18.00	-18.00	100.0	-18.00
12/22/2017	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM CAP. OPPORTUNITIES-A BUY 4 Units @13.9300	60.00	1.65	0.00	1.65	70.0	1.16
12/22/2017	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 5 Units @32.5700	160.00	4.40	0.00	4.40	70.0	3.08
12/22/2017	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM HIGH YIELD ADVANTAGE-A BUY 31 Units @6.1100	190.00	5.23	0.00	5.23	70.0	3.66
12/22/2017	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM INTERNATIONAL EQUITY-A BUY 3 Units @26.9800	80.00	2.20	0.00	2.20	70.0	1.54
12/22/2017	***-**-5922 - HOTAILING, ACCOUNT #: 00186955359	PUTNAM MID-CAP VALUE FUND-A BUY 3 Units @20.6400	60.00	1.65	0.00	1.65	70.0	1.16
12/20/2017	***-**-5922 - HOTAILING, ACCOUNT #: 000006984984022	TEMP GLOBAL BOND FND - CL A BUY 9 Units @12.5100	112.50	3.15	0.00	3.15	70.0	2.21



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
12/28/2017	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	126.13	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 10 Units @12.8800						
12/28/2017	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	108.51	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 2 Units @49.8000						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @52.4200						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @52.4200						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	59.08	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00088824619	BUY 1 Units @52.4200						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE GROWTH FUND OF AMERICA-A	11.07	0.47	0.00	0.47	70.0	0.33
	ACCOUNT #: 00088824619	BUY 0 Units @52.4200						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.6000						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.6000						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	29.54	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: 00088824619	BUY 1 Units @24.6000						
12/28/2017	***-**-6878 - KACUR, JUSTIN	THE INCOME FUND OF AMERICA-A	5.54	0.24	0.00	0.24	70.0	0.17
	ACCOUNT #: 00088824619	BUY 0 Units @24.6000						
12/20/2017	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	5.05	0.00	5.05	70.0	3.54
	ACCOUNT #: 01293376129	BUY 5 Units @46.7100						
12/28/2017	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	159.44	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 3 Units @45.8300						
* MUTUAL FUNDS SUBTOTAL *			<b>\$18,626.82</b>	<b>\$69.57</b>	<b>\$18.00</b>	<b>\$51.57</b>		<b>\$30.76</b>
<b>PRODUCT CATEGORY: STOCKS</b>								
01/02/2018	***-**-585X - LAURICELLA, ADAM	FIDELITY FREEDOM 2035	997.01	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011433	BUY 65 Units @15.3700						
* STOCKS SUBTOTAL *			<b>\$997.01</b>	<b>\$0.00</b>	<b>\$18.00</b>	<b>\$-18.00</b>		<b>\$-18.00</b>



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
01/01/2018	***-**-6717 - DEBONIS, BRENDA	SCHOLAR'S EDGE TRAILS BUY 0 Units @0.0000	0.00	13.93	0.00	13.93	70.0	9.75
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$13.93</b>	<b>\$0.00</b>	<b>\$13.93</b>		<b>\$9.75</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/04/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS T/E PRESERVATION POR BUY 75 Units @9.9900	750.00	15.00	0.00	15.00	70.0	10.50
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$750.00</b>	<b>\$15.00</b>	<b>\$0.00</b>	<b>\$15.00</b>		<b>\$10.50</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2017	***-**-7131 - PHILLIPS, DAVID ACCOUNT #: EVN46024214	MASS MUTUAL VA DTCC TRAILS BUY 0 Units @0.0000	0.00	58.87	0.00	58.87	70.0	41.21
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$58.87</b>	<b>\$0.00</b>	<b>\$58.87</b>		<b>\$41.21</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$20,373.83</b>	<b>\$157.37</b>	<b>\$36.00</b>	<b>\$121.37</b>		<b>\$74.22</b>

### **BROKER COMMISSION TOTALS**

Broker Transactions	\$74.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$74.22</b>
<b>Year-to-date Earnings</b>	<b>\$1,518.35</b>