



Concorde Investment Services, LLC

03/14/2017
Page No. 1

COMMISSION STATEMENT

March 15, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/01/2017	TRANSFER TO MINORS ACT,	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	TRANSFER TO MINORS ACT,	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	TRANSFER TO MINORS ACT,	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.63	0.00	0.63	90.0	0.57
03/01/2017	TRANSFER TO MINORS ACT,	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.63	0.00	0.63	90.0	0.57
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.54	\$0.00	\$2.54		\$2.30
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2.54	\$0.00	\$2.54		\$2.30

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.38	0.00	3.38	90.0	3.04
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.22	0.00	4.22	90.0	3.80
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.25	0.00	5.25	90.0	4.73
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	2.47	0.00	2.47	90.0	2.22
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.08	0.00	3.08	90.0	2.77
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.84	0.00	3.84	90.0	3.46
03/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	1.99	0.00	1.99	90.0	1.79
03/02/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.00	0.00	3.00	90.0	2.70
03/08/2017	FREIH, DIANE	COTTONWOOD MULTIFAMILY REIT I INC C	60,000.00	0.00	0.00	0.00	90.0	0.00
03/07/2017	FREIH, DIANE	LIGHTSTONE VALUE PLUS REAL ESTATE I	70,000.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$130,845.00	\$27.23	\$0.00	\$27.23		\$24.51

BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333

02/09/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	0.03	0.00	0.03	90.0	0.03
02/09/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$0.00	\$0.04	\$0.00	\$0.04		\$0.04

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.40	0.00	0.40	90.0	0.36
03/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.34	0.00	0.34	90.0	0.31
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
03/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.80	0.00	0.80	90.0	0.72
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.07	0.00	0.07	90.0	0.06
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.58	0.00	0.58	90.0	0.52
03/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.47	0.00	0.47	90.0	0.42
03/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.26	0.00	0.26	90.0	0.23
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.82	0.00	0.82	90.0	0.74
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.72	0.00	0.72	90.0	0.65
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.25	0.00	0.25	90.0	0.23
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.20	0.00	0.20	90.0	0.18
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.18	0.00	0.18	90.0	0.16
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.18	0.00	0.18	90.0	0.16
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.06	0.00	0.06	90.0	0.05
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.43	0.00	0.43	90.0	0.39
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.82	0.00	0.82	90.0	0.74
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.72	0.00	0.72	90.0	0.65
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.24	0.00	0.24	90.0	0.22
03/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.10	0.00	0.10	90.0	0.09
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$19.47	\$0.00	\$19.47		\$17.57
*** SPLIT TRANSACTIONS TOTAL ***			\$131,865.00	\$46.74	\$0.00	\$46.74		\$42.12

ADJUSTMENTS

03/15/2017	*CONCIERGE SVC: 187505 MARISTUEN	0.00	-20.00
03/15/2017	*CONCIERGE SVC: 187682, 187686 AAFE	0.00	-40.00
03/15/2017	*CONCIERGE SVC: 188081 & 188085 BAR	0.00	-50.00
03/15/2017	*CONCIERGE SVC: 188109, 188113, 188	0.00	-75.00
03/15/2017	*EO INS: CURRENT MONTH E&O	0.00	-300.00
03/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-1.20
03/15/2017	*PERSHING: ETF PROSPECTUS	0.00	-2.83
03/15/2017	*PERSHING: ETF PROSPECTUS	0.00	-5.66
03/15/2017	*PERSHING: MF PROSPECTUS	0.00	-13.78

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<u>ADJUSTMENTS</u>								
03/15/2017		*PERSHING: MF PROSPECTUS	0.00					-6.14
03/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-789.61

BROKER COMMISSION TOTALS

Broker Transactions	\$2.30
Split Transactions	\$42.12
Override Transactions	\$0.00
Adjustments	\$-789.61
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-163.92
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-909.11
Year-to-date Gross	\$2,034.80
Year-to-date Earnings	\$1,827.56

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