



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2017

01/27/2017
Page No. 1

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/14/2016	O'CONNELL, RUSSELL	HPI REAL ESTATE OPP FUND IV LLC	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
12/29/2016	LEONARD TRUST, REA	HPI REAL ESTATE OPP FUND IV LLC	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
01/18/2017	KOSS-WINN BANC ESOP	HPI REAL ESTATE OPP FUND IV LLC	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* PRIVATE PLACEMENT SUBTOTAL *			\$150,000.00	\$10,500.00	\$0.00	\$10,500.00		\$9,450.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
01/18/2017	KEHN, LEVI	US ENERGY STRATEGIC ENGY INC FD IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* OIL & GAS SUBTOTAL *			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/30/2016	STRIPLING, KERRY	MULTI STRATEGY GROWTH & INCOME FUND	0.00	22.78	0.00	22.78	90.0	20.50
12/30/2016	GROSS, ROBERT	MULTI STRATEGY GROWTH & INCOME FUND	0.00	22.78	0.00	22.78	90.0	20.50
12/30/2016	SCHLICHTING, LAURA	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.58	0.00	5.58	90.0	5.02
12/30/2016	EDGAR, ROBIN	MULTI STRATEGY GROWTH & INCOME FUND	0.00	2.36	0.00	2.36	90.0	2.12
12/30/2016	ENGBRETSON, ROGER	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.59	0.00	5.59	90.0	5.03
12/30/2016	KONEFES, ALISON	MULTI STRATEGY GROWTH & INCOME FUND	0.00	9.10	0.00	9.10	90.0	8.19
12/30/2016	TORNQUIST, SCOTT	MULTI STRATEGY GROWTH & INCOME FUND	0.00	14.96	0.00	14.96	90.0	13.46
12/31/2016	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.29	0.00	5.29	90.0	4.76
12/31/2016	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	10.89	0.00	10.89	90.0	9.80
12/31/2016	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	4.35	0.00	4.35	90.0	3.92
12/31/2016	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	7.58	0.00	7.58	90.0	6.82
12/31/2016	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	5.44	0.00	5.44	90.0	4.90
12/31/2016	KOSS-WINN BANC ESOP	MULTI STRATEGY GROWTH & INCOME FUND	0.00	10.89	0.00	10.89	90.0	9.80
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$127.59	\$0.00	\$127.59		\$114.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/09/2017	POST, KENNETH	AM FUNDS AMCAP A	128.29	3.53	0.00	3.53	90.0	3.18
01/09/2017	POST, KENNETH	AM FUNDS AMCAP A	128.29	3.53	0.00	3.53	90.0	3.18
01/09/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	61.65	1.70	0.00	1.70	90.0	1.53
01/09/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	61.65	1.70	0.00	1.70	90.0	1.53
01/17/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
01/09/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	61.66	1.70	0.00	1.70	90.0	1.53
01/09/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	61.66	1.70	0.00	1.70	90.0	1.53
01/10/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	8.30	0.17	0.00	0.17	90.0	0.15
01/10/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	8.30	0.17	0.00	0.17	90.0	0.15
01/09/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	139.05	3.82	0.00	3.82	90.0	3.44
01/09/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	139.05	3.82	0.00	3.82	90.0	3.44
01/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
01/13/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	1.29	0.00	1.29	90.0	1.16
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	10.38	0.21	0.00	0.21	90.0	0.19
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	10.38	0.21	0.00	0.21	90.0	0.19
01/10/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
01/17/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
01/09/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	62.80	1.73	0.00	1.73	90.0	1.56
01/09/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	80.59	2.22	0.00	2.22	90.0	2.00
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	10.38	0.21	0.00	0.21	90.0	0.19
01/10/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	10.38	0.21	0.00	0.21	90.0	0.19
01/10/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	8.30	0.17	0.00	0.17	90.0	0.15
01/10/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	8.30	0.17	0.00	0.17	90.0	0.15
01/17/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
01/17/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.30	0.17	0.00	0.17	90.0	0.15
01/10/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	8.30	0.17	0.00	0.17	90.0	0.15
01/10/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
01/20/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	100.00	5.00	0.00	5.00	90.0	4.50
01/09/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	62.80	1.73	0.00	1.73	90.0	1.56
01/09/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	80.59	2.22	0.00	2.22	90.0	2.00
01/09/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	63.53	1.75	0.00	1.75	90.0	1.58
01/09/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	63.53	1.75	0.00	1.75	90.0	1.58
01/10/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	10.36	0.21	0.00	0.21	90.0	0.19
01/10/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	10.36	0.21	0.00	0.21	90.0	0.19
01/09/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	42.28	1.59	0.00	1.59	90.0	1.43
01/09/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	25.37	0.95	0.00	0.95	90.0	0.86
01/17/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	45.13	1.69	0.00	1.69	90.0	1.52
01/17/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	27.08	1.02	0.00	1.02	90.0	0.92
01/17/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	28.13	1.05	0.00	1.05	90.0	0.95
01/17/2017	WALKER, MICHAEL	AM FUNDS GROWTH PORT A	16.88	0.63	0.00	0.63	90.0	0.57
01/09/2017	FIELD, BARRY	AM FUNDS ICA A	62.80	1.73	0.00	1.73	90.0	1.56
01/09/2017	FIELD, BARRY	AM FUNDS ICA A	80.59	2.22	0.00	2.22	90.0	2.00
01/09/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	62.78	1.73	0.00	1.73	90.0	1.56
01/09/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	80.59	2.22	0.00	2.22	90.0	2.00
01/10/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	8.30	0.17	0.00	0.17	90.0	0.15
01/10/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	8.30	0.17	0.00	0.17	90.0	0.15
01/17/2017	GOCHÉ, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
01/10/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	3.32	0.07	0.00	0.07	90.0	0.06
01/10/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	8.30	0.17	0.00	0.17	90.0	0.15
01/10/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	8.30	0.17	0.00	0.17	90.0	0.15

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
01/10/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	4.15	0.08	0.00	0.08	90.0	0.07
01/10/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	10.38	0.21	0.00	0.21	90.0	0.19
01/10/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	10.38	0.21	0.00	0.21	90.0	0.19
01/10/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
01/17/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
01/10/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
01/09/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.72	0.70	0.00	0.70	90.0	0.63
01/09/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.72	0.70	0.00	0.70	90.0	0.63
01/09/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	25.22	0.95	0.00	0.95	90.0	0.86
01/09/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	50.43	1.89	0.00	1.89	90.0	1.70
01/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	224.00	8.40	0.00	8.40	90.0	7.56
01/13/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	96.00	3.60	0.00	3.60	90.0	3.24
01/17/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.00	0.68	0.00	0.68	90.0	0.61
01/17/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	18.00	0.68	0.00	0.68	90.0	0.61
01/10/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
* MUTUAL FUNDS SUBTOTAL *			\$4,137.26	\$114.72	\$0.00	\$114.72		\$103.25
<u>PRODUCT CATEGORY: STOCKS</u>								
01/12/2017	KOSS-WINN BANC ESOP	CISCO SYSTEMS INC	29,830.00	50.00	23.50	26.50	90.0	23.85
01/25/2017	KOSS-WINN BANC ESOP	CISCO SYSTEMS INC	-30,823.50	50.00	23.50	26.50	90.0	23.85
01/12/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	19,789.10	50.00	23.50	26.50	90.0	23.85
* STOCKS SUBTOTAL *			\$18,795.60	\$150.00	\$70.50	\$79.50		\$71.55
*** BROKER TRANSACTIONS TOTAL ***			\$197,932.86	\$12,642.31	\$70.50	\$12,571.81		\$11,314.62

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BROKER COMMISSION TOTALS

Broker Transactions	\$11,314.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,314.62
Year-to-date Gross	\$29,556.85
Year-to-date Earnings	\$26,375.74