



Concorde Investment Services, LLC

07/16/2018

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COMMISSION STATEMENT**July 15, 2018**

STAN, W121 WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	2.50	0.00	2.50	92.0	2.30
07/02/2018	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	50.00	2.50	0.00	2.50	92.0	2.30
* 529 PLANS SUBTOTAL *			\$50.00	\$5.00	\$0.00	\$5.00		\$4.60
PRODUCT CATEGORY: MUTUAL FUNDS								
06/29/2018	DUSH, THERESA	AM FUNDS AMERICAN BALANCED A	100.00	2.75	0.00	2.75	92.0	2.53
06/25/2018	ROTHS, GLEN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	92.0	2.53
07/06/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
07/06/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
06/25/2018	ROTHS, GLEN	AM FUNDS FUNDAMENTAL INVESTORS A	100.00	2.75	0.00	2.75	92.0	2.53
07/05/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	202.80	10.14	0.00	10.14	92.0	9.33
07/05/2018	STOCKHAM, JOYCE	AM FUNDS GROWTH AND INCOME A	75.00	3.75	0.00	3.75	92.0	3.45
06/25/2018	ROTHS, GLEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	75.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	125.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
06/20/2018	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
06/18/2018	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
06/18/2018	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
06/18/2018	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
06/18/2018	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
06/18/2018	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
06/18/2018	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
06/18/2018	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
06/29/2018	NELSON, ARIKA	INVESCO VALUE OPP A	200.00	6.00	0.00	6.00	92.0	5.52
* MUTUAL FUNDS SUBTOTAL *			\$2,377.80	\$78.52	\$0.00	\$78.52		\$72.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/25/2018	SCHMITT, SUSAN	ADV CENTURY	600.00	24.00	0.00	24.00	92.0	22.08
06/25/2018	SCHMITT, SUSAN	ADV CENTURY	30,565.38	6.37	0.00	6.37	92.0	5.86
06/25/2018	SCHMIDT, SYLVIA	ADV CENTURY	900.00	36.00	0.00	36.00	92.0	33.12
06/29/2018	SCHMIDT, SYLVIA	ADV CENTURY	983.19	0.20	0.00	0.20	92.0	0.18
06/29/2018	SCHMIDT, ALAN	ADV CENTURY	37,621.94	7.84	0.00	7.84	92.0	7.21
06/29/2018	SCHMIDT, ALAN	ADV CENTURY	990.62	0.21	0.00	0.21	92.0	0.19
06/29/2018	SCHMIDT, SYLVIA	ADV CENTURY	45,243.67	9.43	0.00	9.43	92.0	8.68
06/29/2018	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
06/29/2018	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$117,094.80	\$93.55	\$0.00	\$93.55		\$86.06
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/11/2018	RUBENTHALER, RANDALL	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	4.42	0.00	4.42	92.0	4.07
06/11/2018	GALLARDO, KAREN	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	2.86	0.00	2.86	92.0	2.63
06/11/2018	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.36	0.00	0.36	92.0	0.33
06/11/2018	KONECNY, BETTE J LUTES-	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.52	0.00	0.52	92.0	0.48
06/25/2018	PRACHT, MARK	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	7.46	0.00	7.46	92.0	6.86
06/25/2018	PRACHT, MARK	ALLIANZ NFJ LARGE CAP VALUE A	0.00	2.58	0.00	2.58	92.0	2.37
06/15/2018	PRACHT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	4.83	0.00	4.83	92.0	4.44
06/15/2018	RUBENTHALER, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.60	0.00	4.60	92.0	4.23
06/15/2018	KONECNY, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.80	0.00	5.80	92.0	5.34
06/15/2018	ROTHS, BARBARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.83	0.00	1.83	92.0	1.68
06/15/2018	HOUSTON, SAMUEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	92.0	0.69
06/15/2018	KONECNY, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.26	0.00	4.26	92.0	3.92
06/15/2018	KONECNY, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.46	0.00	2.46	92.0	2.26
06/15/2018	KONECNY, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.15	0.00	4.15	92.0	3.82
06/15/2018	PRACHT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.22	0.00	10.22	92.0	9.40
06/15/2018	RUBENTHALER, RANDALL	AM FUNDS ICA A	0.00	4.20	0.00	4.20	92.0	3.86
06/15/2018	KONECNY, MARK	AM FUNDS ICA A	0.00	6.92	0.00	6.92	92.0	6.37
06/15/2018	ROTHS, GLEN	AM FUNDS ICA A	0.00	0.91	0.00	0.91	92.0	0.84
06/15/2018	ROTHS, BARBARA	AM FUNDS ICA A	0.00	0.68	0.00	0.68	92.0	0.63
06/15/2018	PRACHT, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	8.74	0.00	8.74	92.0	8.04
06/15/2018	RUBENTHALER, RANDALL	AM FUNDS SMALLCAP WORLD A	0.00	5.89	0.00	5.89	92.0	5.42
06/15/2018	PRACHT, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.60	0.00	6.60	92.0	6.07

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	LAGPACAN, RAYMUND	FIDELITY ADV EQUITY GROWTH CL M	0.00	9.86	0.00	9.86	92.0	9.07
/ /	LAGPACAN, RAYMUND	FIDELITY ADV EQUITY GROWTH CL M	0.00	6.62	0.00	6.62	92.0	6.09
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADV EQUITY GROWTH CL M	0.00	31.55	0.00	31.55	92.0	29.03
/ /	HAYES, BEN	FIDELITY ADV EQUITY GROWTH CL M	0.00	36.50	0.00	36.50	92.0	33.58
/ /	HEARD, DAVID	FIDELITY ADV EQUITY GROWTH CL M	0.00	1.16	0.00	1.16	92.0	1.07
/ /	LOTT TRUST, EDITH	FIDELITY ADV EQUITY GROWTH CL M	0.00	26.82	0.00	26.82	92.0	24.67
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.69	0.00	1.69	92.0	1.55
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	11.38	0.00	11.38	92.0	10.47
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	2.15	0.00	2.15	92.0	1.98
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	3.02	0.00	3.02	92.0	2.78
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.88	0.00	1.88	92.0	1.73
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.69	0.00	2.69	92.0	2.47
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.32	0.00	2.32	92.0	2.13
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	2.11	0.00	2.11	92.0	1.94
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.68	0.00	3.68	92.0	3.39
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	8.72	0.00	8.72	92.0	8.02
06/21/2018	PRACHT, MARK	FIDELITY ADV VALUE STRATEGIES A	0.00	14.04	0.00	14.04	92.0	12.92
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	9.56	0.00	9.56	92.0	8.80
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	9.95	0.00	9.95	92.0	9.15
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	10.74	0.00	10.74	92.0	9.88
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	14.54	0.00	14.54	92.0	13.38
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	10.35	0.00	10.35	92.0	9.52
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.57	0.00	0.57	92.0	0.52
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.85	0.00	1.85	92.0	1.70
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.29	0.00	2.29	92.0	2.11
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	4.41	0.00	4.41	92.0	4.06
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.65	0.00	0.65	92.0	0.60
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.44	0.00	0.44	92.0	0.40
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	3.72	0.00	3.72	92.0	3.42
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.98	0.00	0.98	92.0	0.90
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.33	0.00	8.33	92.0	7.66
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	8.37	0.00	8.37	92.0	7.70
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	31.79	0.00	31.79	92.0	29.25

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	36.69	0.00	36.69	92.0	33.75
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.75	0.00	0.75	92.0	0.69
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	3.49	0.00	3.49	92.0	3.21
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.02	0.00	3.02	92.0	2.78
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.77	0.00	0.77	92.0	0.71
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.12	0.00	3.12	92.0	2.87
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	2.10	0.00	2.10	92.0	1.93
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.91	0.00	3.91	92.0	3.60
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.73	0.00	1.73	92.0	1.59
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.02	0.00	1.02	92.0	0.94
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.92	0.00	1.92	92.0	1.77
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.64	0.00	3.64	92.0	3.35
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	3.10	0.00	3.10	92.0	2.85
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	5.50	0.00	5.50	92.0	5.06
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.37	0.00	1.37	92.0	1.26
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.70	0.00	0.70	92.0	0.64
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.91	0.00	1.91	92.0	1.76
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	7.14	0.00	7.14	92.0	6.57
06/18/2018	KONECNY, BETTE J LUTES-	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.10	0.00	0.10	92.0	0.09
06/18/2018	RUBENTHALER, RANDALL	FRANKLIN INCOME A	0.00	5.38	0.00	5.38	92.0	4.95
06/18/2018	KONECNY, BETTE J LUTES-	FRANKLIN MUTUAL SHARES A	0.00	0.70	0.00	0.70	92.0	0.64
06/18/2018	HATJE, ROBERT	FRANKLIN UTILITIES A	0.00	4.45	0.00	4.45	92.0	4.09
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	5.83	0.00	5.83	92.0	5.36
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	3.99	0.00	3.99	92.0	3.67
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.52	0.00	0.52	92.0	0.48
06/22/2018	MCADAM, DANIEL	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	25.33	0.00	25.33	92.0	23.30
06/21/2018	KONECNY, BETTE J LUTES-	LORD ABBETT MID CAP STOCK A	0.00	2.41	0.00	2.41	92.0	2.22
06/18/2018	MCADAM, DANIEL	MFS GOVERNMENT SECURITIES A	0.00	0.47	0.00	0.47	92.0	0.43
06/14/2018	PRACHT, MARK	PIMCO TOTAL RETURN A	0.00	6.46	0.00	6.46	92.0	5.94
07/03/2018	HAMPTON, ANDRE	PIONEER BOND FUND A	0.00	9.28	0.00	9.28	92.0	8.54

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	CHRISTIAN, ROBERT	PIONEER FUND A	0.00	71.14	0.00	71.14	92.0	65.45
07/03/2018	FAIRLEY, MICHAEL	PIONEER FUND A	0.00	26.46	0.00	26.46	92.0	24.34
07/03/2018	FAIRLEY, MICHAEL	PIONEER IBBOTSON MODERATE ALLOC A	0.00	18.92	0.00	18.92	92.0	17.41
06/11/2018	MCADAM, DANIEL	PRUDENTIAL SHORT- TERM CORPORATE BO	0.00	1.03	0.00	1.03	92.0	0.95
06/11/2018	MCADAM, DANIEL	PRUDENTIAL TOTAL RETURN BOND CL A	0.00	1.25	0.00	1.25	92.0	1.15
07/02/2018	HOOTEN, JENNIFER	PUTNAM CAPITAL SPECTRUM A	0.00	2.19	0.00	2.19	92.0	2.01
07/02/2018	HOOTEN, JENNIFER	PUTNAM DIVERSIFIED INCOME A	0.00	1.24	0.00	1.24	92.0	1.14
07/02/2018	HOOTEN, JENNIFER	PUTNAM EQUITY INCOME A	0.00	5.20	0.00	5.20	92.0	4.78
07/02/2018	STEKOLL, BERNARD	PUTNAM EQUITY INCOME A	0.00	35.13	0.00	35.13	92.0	32.32
07/02/2018	STEKOLL, JOAN	PUTNAM EQUITY INCOME A	0.00	16.51	0.00	16.51	92.0	15.19
07/02/2018	STEKOLL, BERNARD	PUTNAM INTERNATIONAL EQUITY-A	0.00	8.88	0.00	8.88	92.0	8.17
07/02/2018	STEKOLL, BERNARD	PUTNAM MULTI CAP GROWTH A	0.00	6.12	0.00	6.12	92.0	5.63
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.48	0.00	0.48	92.0	0.44
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.24	0.00	0.24	92.0	0.22
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.49	0.00	5.49	92.0	5.05
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.22	0.00	1.22	92.0	1.12
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	3.39	0.00	3.39	92.0	3.12
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	9.69	0.00	9.69	92.0	8.91
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	23.02	0.00	23.02	92.0	21.18
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.41	0.00	0.41	92.0	0.38
06/18/2018	RUBENTHALER, RANDALL	TEMPLETON GROWTH A	0.00	6.48	0.00	6.48	92.0	5.96
07/03/2018	ATKINSON, MARSHA	TRANSAMERICA AA MOD GROWTH A	0.00	53.36	0.00	53.36	92.0	49.09
07/03/2018	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	0.00	95.07	0.00	95.07	92.0	87.46
07/03/2018	SCHMITT, SUSAN	TRANSAMERICA AA MOD GROWTH A	0.00	9.11	0.00	9.11	92.0	8.38
07/03/2018	SMITH, SHARRILYN	TRANSAMERICA AA MOD GROWTH A	0.00	5.25	0.00	5.25	92.0	4.83
07/03/2018	SMITH, PHILLIP	TRANSAMERICA AA MOD GROWTH A	0.00	12.17	0.00	12.17	92.0	11.20
07/03/2018	ATKINSON, MARSHA	TRANSAMERICA AA MODERATE A	0.00	68.21	0.00	68.21	92.0	62.75
07/03/2018	DANDURAND, BEVERLY	TRANSAMERICA AA MODERATE A	0.00	16.99	0.00	16.99	92.0	15.63
07/03/2018	SMITH, SHARRILYN	TRANSAMERICA AA MODERATE A	0.00	2.52	0.00	2.52	92.0	2.32
07/03/2018	DANDURAND, BEVERLY	TRANSAMERICA CAPITAL GROWTH A	0.00	18.02	0.00	18.02	92.0	16.58
07/03/2018	SMITH, SHARRILYN	TRANSAMERICA CAPITAL GROWTH A	0.00	10.22	0.00	10.22	92.0	9.40
07/03/2018	ATKINSON, MARSHA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	19.86	0.00	19.86	92.0	18.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/03/2018	RHEA, JONNIE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	59.46	0.00	59.46	92.0	54.70
07/03/2018	DANDURAND, BEVERLY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.29	0.00	7.29	92.0	6.71
07/03/2018	DANDURAND, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.39	0.00	3.39	92.0	3.12
07/03/2018	SMITH, SHARRILYN	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.84	0.00	3.84	92.0	3.53
07/03/2018	SMITH, PHILLIP	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	5.26	0.00	5.26	92.0	4.84
07/03/2018	FLATTERY, CHRIS	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.49	0.00	4.49	92.0	4.13
07/03/2018	OTTAWAY, DANNY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.49	0.00	4.49	92.0	4.13
07/03/2018	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.15	0.00	2.15	92.0	1.98
07/03/2018	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	14.48	0.00	14.48	92.0	13.32
07/03/2018	SCHMITT, ILA	TRANSAMERICA FLEXIBLE INCOME A	0.00	2.86	0.00	2.86	92.0	2.63
07/03/2018	FLATTERY, CHRIS	TRANSAMERICA HIGH YIELD BOND A	0.00	2.59	0.00	2.59	92.0	2.38
07/03/2018	OTTAWAY, DANNY	TRANSAMERICA HIGH YIELD BOND A	0.00	2.59	0.00	2.59	92.0	2.38
07/03/2018	SCHMITT, ILA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	7.10	0.00	7.10	92.0	6.53
07/03/2018	MILLER, JANET	TRANSAMERICA MULTI-MANAGED BAL A	0.00	4.13	0.00	4.13	92.0	3.80
07/03/2018	SMITH, PHILLIP	TRANSAMERICA MULTI-MANAGED BAL A	0.00	8.06	0.00	8.06	92.0	7.42
07/03/2018	SMITH TRUST, PHILLIP	TRANSAMERICA SHORT-TERM BOND A	0.00	3.19	0.00	3.19	92.0	2.93
07/03/2018	SMITH, SHARRILYN	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	9.50	0.00	9.50	92.0	8.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,224.42	\$0.00	\$1,224.42		\$1,126.41
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	RANKIN, HAROLD	JEFFERSON NAT'L TRAILS	76,920.87	192.30	0.00	192.30	92.0	176.92
06/29/2018	ROGERS, DEBRA	ALLIANZ ALTERITY ENHANCED	0.00	107.96	0.00	107.96	92.0	99.32
06/29/2018	HAMPTON, ANDRE	ALLIANZ REWARDS ENHANCED	0.00	13.41	0.00	13.41	92.0	12.34
06/29/2018	DENNY, MICHAEL	ALLIANZ REWARDS ENHANCED	0.00	14.32	0.00	14.32	92.0	13.17
06/29/2018	LANDGREN, RICHARD	HARTFORD LEADERS	0.00	70.28	0.00	70.28	92.0	64.66
06/30/2018	KIDWELL, ROGER	INTEGRITY LIFE TRAILS	36,069.85	90.17	0.00	90.17	92.0	82.96
06/30/2018	MCADAM, JEANNETTE	JNL ASCENDER PLUS SELECT 01/10	0.00	92.16	0.00	92.16	92.0	84.79
06/30/2018	SPRAGUE, KRISTY	JNL ELITE ACCESS	0.00	13.48	0.00	13.48	92.0	12.40
06/30/2018	BAZIL, RALPH	JNL ELITE ACCESS	0.00	23.42	0.00	23.42	92.0	21.55
06/30/2018	STOCKHAM, THOMAS	JNL PERSPECTIVE II 05/05	0.00	3.79	0.00	3.79	92.0	3.49
06/30/2018	KELLEY, JAMES	JNL PERSPECTIVE II 05/05	0.00	54.67	0.00	54.67	92.0	50.30
06/30/2018	CARPENTER JR, ROBERT	JNL PERSPECTIVE II 05/05	0.00	17.29	0.00	17.29	92.0	15.91
06/30/2018	HOFFMAN, FRANCIS	JNL PERSPECTIVE II 05/05	0.00	52.37	0.00	52.37	92.0	48.18
06/30/2018	EVERETT, TRAVIS	JNL PERSPECTIVE II 05/05	0.00	43.93	0.00	43.93	92.0	40.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/30/2018	BRIMER JR, ALBERT	JNL PERSPECTIVE II 05/05	0.00	31.31	0.00	31.31	92.0	28.81
06/30/2018	GREENING, CHRISTOPHER	JNL PERSPECTIVE II 05/05	0.00	22.14	0.00	22.14	92.0	20.37
06/30/2018	RHEA, JONNIE	JNL PERSPECTIVE II 05/05	0.00	33.85	0.00	33.85	92.0	31.14
06/30/2018	PRACHT, MARK	JNL PERSPECTIVE II 05/05	0.00	48.74	0.00	48.74	92.0	44.84
06/30/2018	ENGEL, CALVIN	JNL PERSPECTIVE II 05/05	0.00	69.99	0.00	69.99	92.0	64.39
06/30/2018	HESSE, RICHARD	JNL PERSPECTIVE II 05/05	0.00	18.28	0.00	18.28	92.0	16.82
06/30/2018	BROWN, MARY	JNL PERSPECTIVE L	0.00	9.76	0.00	9.76	92.0	8.98
06/30/2018	CRANE, BEVERLY	JNL PERSPECTIVE L	0.00	159.56	0.00	159.56	92.0	146.80
06/30/2018	DANDURAND, BEVERLY	JNL PERSPECTIVE L	0.00	174.42	0.00	174.42	92.0	160.47
06/30/2018	ROBINSON, DENNIS	JOHN HANCOCK VANTAGE	0.00	14.47	0.00	14.47	92.0	13.31
06/30/2018	KENNEDY, ROBERT	JOHN HANCOCK VENTURE	0.00	23.59	0.00	23.59	92.0	21.70
06/30/2018	HEARD, DAVID	JOHN HANCOCK VENTURE	0.00	10.24	0.00	10.24	92.0	9.42
06/30/2018	HEIMERMAN, JANET	JOHN HANCOCK VENTURE 2006	0.00	9.10	0.00	9.10	92.0	8.37
06/30/2018	ROBINSON, DENNIS	JOHN HANCOCK VENTURE III	0.00	100.12	0.00	100.12	92.0	92.11
06/30/2018	MINGEE, VIRGINIA	NATIONWIDE AM FUT II NOCDSC 24	0.00	23.45	0.00	23.45	92.0	21.57
06/30/2018	DANDURAND, ROBERT	NATIONWIDE BOA ACHIEVER 45	0.00	46.72	0.00	46.72	92.0	42.98
06/30/2018	KERSTING, LINDA	NATIONWIDE BOA ACHIEVER 45	0.00	63.17	0.00	63.17	92.0	58.12
06/30/2018	HART, JOHN	NATIONWIDE BOA FUTURE II 32	0.00	9.60	0.00	9.60	92.0	8.83
06/30/2018	DENNY, MICHAEL	NATIONWIDE BOA FUTURE II 32	0.00	34.80	0.00	34.80	92.0	32.02
06/30/2018	RARIDEN, RICHARD	NATIONWIDE BOA FUTURE II 32	0.00	7.81	0.00	7.81	92.0	7.19
06/30/2018	LOZANO, RODOLFO	NATIONWIDE BOA FUTURE II 32	0.00	99.09	0.00	99.09	92.0	91.16
06/30/2018	LEIGHTON, CAROL	NATIONWIDE BOA FUTURE II 32	0.00	331.97	0.00	331.97	92.0	305.41
06/30/2018	RUBENTHALER, RANDALL	NATIONWIDE BOA FUTURE II 32	0.00	51.37	0.00	51.37	92.0	47.26
06/30/2018	CONRAD, TOBY	NATIONWIDE BOA IVB 01	0.00	0.40	0.00	0.40	92.0	0.37
06/30/2018	CRABTREE, MICHAEL	NATIONWIDE DESTINATION B 16	0.00	25.10	0.00	25.10	92.0	23.09
06/30/2018	ESCHENBRENNER, NICHOLAS	NATIONWIDE MARKETFLEX BB	0.00	30.41	0.00	30.41	92.0	27.98
06/30/2018	COOK, LLOYD	NATIONWIDE MARKETFLEX BB	0.00	0.56	0.00	0.56	92.0	0.52
06/30/2018	ATKINSON, MARSHA	NATIONWIDE MARKETFLEX BB	0.00	71.72	0.00	71.72	92.0	65.98
06/30/2018	BROWN, SHIRLEY	NATIONWIDE MARKETFLEX BB	0.00	210.63	0.00	210.63	92.0	193.78
06/30/2018	WIRTH, MARJORIE	NATIONWIDE MARKETFLEX BB	0.00	52.67	0.00	52.67	92.0	48.46
06/30/2018	WALTERS, CLARK	NATIONWIDE MARKETFLEX BB	0.00	34.41	0.00	34.41	92.0	31.66
06/30/2018	RAYMER, RICHARD	NATIONWIDE MARKETFLEX BB	0.00	112.21	0.00	112.21	92.0	103.23
06/30/2018	STEKOLL, BERNARD	PRU AS ADVISOR PLAN II - 1996	0.00	28.25	0.00	28.25	92.0	25.99

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/28/2018	ROBINSON, VIRGINIA	SBL ADVISOR PROGRAM	0.00	13.23	0.00	13.23	92.0	12.17
06/28/2018	CRANE, JEANNE	SBL ADVISOR PROGRAM	0.00	0.14	0.00	0.14	92.0	0.13
06/28/2018	BLUMENTHAL, JAY	SBL ADVISOR PROGRAM	0.00	37.64	0.00	37.64	92.0	34.63
06/28/2018	PERICA, NICK	SBL ADVISOR PROGRAM	0.00	13.58	0.00	13.58	92.0	12.49
06/28/2018	PERICA, NICK	SBL ADVISOR PROGRAM	0.00	4.31	0.00	4.31	92.0	3.97
06/28/2018	PRATT, DAN	SBL ADVISOR PROGRAM	0.00	24.30	0.00	24.30	92.0	22.36
06/28/2018	PRATT, DAN	SBL ADVISOR PROGRAM	0.00	6.61	0.00	6.61	92.0	6.08
06/28/2018	WILSON, MICHAEL	SBL ADVISOR PROGRAM	0.00	1.80	0.00	1.80	92.0	1.66
06/28/2018	SCOTT, MELANIE	SBL ADVISOR PROGRAM	0.00	36.64	0.00	36.64	92.0	33.71
06/28/2018	KIMBROUGH, MICHAEL	SBL ADVISOR PROGRAM	0.00	22.10	0.00	22.10	92.0	20.33
06/28/2018	SPARTAN, STEPHEN	SBL ADVISOR PROGRAM	0.00	11.44	0.00	11.44	92.0	10.52
06/28/2018	SEGRIST, LLOYD	SBL ADVISOR PROGRAM	0.00	8.37	0.00	8.37	92.0	7.70
06/28/2018	SCHILLING, BARBARA	SBL ADVISOR PROGRAM	0.00	8.40	0.00	8.40	92.0	7.73
06/28/2018	SMITH, MAURICE	SBL ADVISOR PROGRAM	0.00	0.02	0.00	0.02	92.0	0.02
06/28/2018	HAYNES, VICKIE	SBL ADVISOR PROGRAM	0.00	6.05	0.00	6.05	92.0	5.57
06/28/2018	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	0.00	22.56	0.00	22.56	92.0	20.76
06/28/2018	SANCHEZ, RICHARD	SBL ADVISOR PROGRAM	0.00	5.70	0.00	5.70	92.0	5.24
06/28/2018	LEIGHTON, CAROL	SBL ADVISOR PROGRAM	0.00	8.74	0.00	8.74	92.0	8.04
06/28/2018	NOVAK, JAY	SBL ADVISOR PROGRAM	0.00	28.09	0.00	28.09	92.0	25.84
06/28/2018	LAHMANN, CYNTHIA	SBL ADVISOR PROGRAM	0.00	34.66	0.00	34.66	92.0	31.89
06/28/2018	HILL, MICHAEL	SBL ADVISOR PROGRAM	0.00	13.36	0.00	13.36	92.0	12.29
06/28/2018	MOREHOUSE, MARIA	SBL ADVISOR PROGRAM	0.00	3.34	0.00	3.34	92.0	3.07
06/28/2018	LAHMANN, JAMES	SBL ADVISOR PROGRAM	0.00	33.68	0.00	33.68	92.0	30.99
06/28/2018	NEFF, SHERRILL	SBL ADVISOR PROGRAM	0.00	15.76	0.00	15.76	92.0	14.50
06/28/2018	CHASTAIN, ANDREA	SBL ADVISOR PROGRAM	0.00	40.53	0.00	40.53	92.0	37.29
06/28/2018	JOHNSON, JUDY	SBL ADVISOR PROGRAM	0.00	37.59	0.00	37.59	92.0	34.58
06/28/2018	TUNG, CHAN	SBL ADVISOR PROGRAM	0.00	15.82	0.00	15.82	92.0	14.55
06/28/2018	COOPER, KATHERINE	SBL ADVISOR PROGRAM	0.00	7.36	0.00	7.36	92.0	6.77
06/28/2018	DAVIS, JOANNE	SBL ADVISOR PROGRAM	0.00	5.30	0.00	5.30	92.0	4.88
06/28/2018	YEAZEL, JOHN	SBL ADVISOR PROGRAM	0.00	48.45	0.00	48.45	92.0	44.57
06/28/2018	SAUNDERS, TONI	SBL ADVISOR PROGRAM	0.00	1.68	0.00	1.68	92.0	1.55
06/28/2018	POWELL, MATTHEW	SBL ADVISOR PROGRAM	0.00	2.13	0.00	2.13	92.0	1.96
06/28/2018	MCDOWELL, DENISE	SBL ADVISOR PROGRAM	0.00	43.12	0.00	43.12	92.0	39.67

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/28/2018	SUTTON, LINDA	SBL ADVISOR PROGRAM	0.00	0.32	0.00	0.32	92.0	0.29
06/28/2018	DUFFENDACK, PAULA	SBL SECUREDESIGNS VA	0.00	9.64	0.00	9.64	92.0	8.87
06/28/2018	BENNETT, CARA	SBL SECUREDESIGNS VA	0.00	2.54	0.00	2.54	92.0	2.34
06/28/2018	RHEA, JONNIE	SBL SECUREDESIGNS VA	0.00	21.72	0.00	21.72	92.0	19.98
06/28/2018	MCADAM, DANIEL	SBL SECUREDESIGNS VA	0.00	21.71	0.00	21.71	92.0	19.97
06/03/2018	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	0.00	1.66	0.00	1.66	92.0	1.53
06/04/2018	OTTAWAY, SANDRA	TRANSAMERICA FREEDOM	0.00	6.10	0.00	6.10	92.0	5.61
06/24/2018	HOSS, JODENE	TRANSAMERICA PRINCIPIUM	0.00	110.57	0.00	110.57	92.0	101.72
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$112,990.72	\$3,486.22	\$0.00	\$3,486.22		\$3,207.36
*** DIRECT TRANSACTIONS TOTAL ***			\$232,513.32	\$4,887.71	\$0.00	\$4,887.71		\$4,496.66

ADJUSTMENTS

07/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
07/15/2018	*ALBRIDGE: LIGHT USER	0.00						-76.00
07/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2018	*FINRA: FINRA CE TRACKED WEB FEE	0.00						-55.00
	S201-WEBB,STAN,T							
07/15/2018	*GLOBAL RELAY: FACEBOOK	0.00						-5.00
07/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
07/15/2018	*GLOBAL RELAY: YOUTUBE	0.00						-25.00
07/15/2018	*MARKETING PRO: PRO USER	0.00						-45.00
07/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-6.00
07/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-714.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,496.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-714.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,782.66
Year-to-date Gross	\$50,494.57
Year-to-date Earnings	\$46,413.37