



COMMISSION STATEMENT

May 21, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERWRITE TRANSACTIONS								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
05/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.15	0.00	1.15	15.0	0.17
05/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	6.88	0.00	6.88	15.0	1.03
05/04/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	15.0	0.02
05/04/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	15.0	0.11
05/04/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: 07468200945	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	15.0	0.05
05/07/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	AMERICAN MUTUAL FUND-A BUY 1 Units @42.4500	25.00	1.25	0.00	1.25	15.0	0.19
05/07/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5700	25.00	0.75	0.00	0.75	15.0	0.11
05/07/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @55.0100	25.00	1.25	0.00	1.25	15.0	0.19
05/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 08352639846	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
05/01/2018	***-**-97IR - CRAWFORD, JAMES ACCOUNT #: 03305198767	GLOBAL FUND A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
05/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 02156825863	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
05/14/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 07108000257	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	4.70	0.00	4.70	15.0	0.71
05/14/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07108000258	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	16.64	0.00	16.64	15.0	2.50
05/14/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07108000269	HIGH YIELD R2 BUY 0 Units @0.0000	0.00	68.15	0.00	68.15	15.0	10.22
05/13/2018	***-**-4209 - DEBS, KEVIN ACCOUNT #: R081292-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	13.86	0.00	13.86	15.0	2.08
05/13/2018	***-**-6833 - FANO, DENNIS ACCOUNT #: R110847-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.77	0.00	7.77	15.0	1.17



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
05/16/2018	***-**-67CL - MILGROOM, ACCOUNT #: R074824-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	13.66	0.00	13.66	15.0	2.05
05/16/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: R081736-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	4.07	0.00	4.07	15.0	0.61
05/17/2018	***-**-843I - FANO, OKSANA ACCOUNT #: R110836-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	7.74	0.00	7.74	15.0	1.16
05/01/2018	***-**-97IR - CRAWFORD, JAMES ACCOUNT #: 08806519546	INTERNATIONAL BOND A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	15.0	0.30
05/07/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07123000494	INVESCO GLOBAL REAL ESTATE FUND -CL BUY 10 Units @13.3200	130.03	0.98	0.00	0.98	15.0	0.15
05/15/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 01952878018	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	15.0	0.36
05/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403711	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	2.00	0.00	2.00	15.0	0.30
05/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07418403731	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	15.0	0.08
05/04/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07418404146	SMALL CAP VALUE FUND - R2 BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	15.0	0.01
05/21/2018	***-**-9109 - PASCH, ROBERT ACCOUNT #: 9927000799	T ROWE TRAILS BUY 0 Units @0.0000	0.00	5.74	0.00	5.74	15.0	0.86
05/21/2018	***-**-80-P - PALM BEACH	T ROWE TRAILS BUY 0 Units @0.0000	0.00	24.78	0.00	24.78	15.0	3.72
05/21/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS BUY 0 Units @0.0000	0.00	0.52	0.00	0.52	15.0	0.08
05/21/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	35.34	0.00	35.34	15.0	5.30
05/21/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	15.0	0.05
05/21/2018	***-**-7574 - G-MEN CORP	T ROWE TRAILS BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	15.0	0.11
05/21/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS BUY 0 Units @0.0000	0.00	4.46	0.00	4.46	15.0	0.67



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: CHRISTOPHER MILGROOM (continued)</u>								
05/07/2018	***-**-1982 - HOHNECKER, LAURA	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	15.0	0.19
	ACCOUNT #: 00086976260	BUY 0 Units @55.6000						
05/03/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	0.53	0.00	0.53	15.0	0.08
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
05/03/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	1.58	0.00	1.58	15.0	0.24
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
		* CHRISTOPHER MILGROOM SUBTOTAL *	\$480.03	\$239.38	\$0.00	\$239.38		\$35.95
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$480.03	\$239.38	\$0.00	\$239.38		\$35.95

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$35.95
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$57.75
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$93.70
Year-to-date Earnings	\$1,550.29