



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
Page No. 1

C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN

BROKER #: C155
BRANCH: FORTITUDE SPLITS

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | |
| ^08/07/2017 | CARROLLTON, STEVE | BLACKROCK GLOBAL OPPORTUNITIES EQUI | -7,383.95 | 0.00 | 22.83 | -22.83 | 100.0 | 0.00 |
| ^08/07/2017 | CARROLLTON, DONNA | NEXTERA ENERGY INC | -38,165.40 | 0.00 | 22.50 | -22.50 | 100.0 | 0.00 |
| ^08/07/2017 | CARROLLTON, STEVE | PNC FINL SVCS GROUP INC COM | -6,559.01 | 0.00 | 22.50 | -22.50 | 100.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | \$-52,108.36 | \$0.00 | \$67.83 | \$-67.83 | | \$0.00 |
| <u>PRODUCT CATEGORY: BONDS</u> | | | | | | | | |
| ^08/08/2017 | CARROLLTON, STEVE | HSBC USA INC MARKET LKD TO INDU 0.0 | -21,546.00 | 0.00 | 27.00 | -27.00 | 100.0 | 0.00 |
| * BONDS SUBTOTAL * | | | \$-21,546.00 | \$0.00 | \$27.00 | \$-27.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u> | | | | | | | | |
| ^08/08/2017 | CARROLLTON, STEVE | WELLS FARGO BK N A SIOUX FALLS S D | -25,740.00 | 0.00 | 27.00 | -27.00 | 100.0 | 0.00 |
| * CERTIFICATES OF DEPOSIT SUBTOTAL * | | | \$-25,740.00 | \$0.00 | \$27.00 | \$-27.00 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$-99,394.36 | \$0.00 | \$121.83 | \$-121.83 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|---------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |