



# COMMISSION STATEMENT

## February 19, 2018

BERT GOLDBERG  
1048 W. Myrna Lane  
Tempe, AZ 85284

BROKER #: 698  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/14/2018	***-**-755I - ROSTAD, HOWARD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	4,920.00	0.00	6.00	-6.00	100.0	-6.00
	ACCOUNT #: LSR012427	BUY 316 Units @15.5900						
02/14/2018	***-**-755I - ROSTAD, HOWARD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	29.71	0.00	6.00	-6.00	100.0	-6.00
	ACCOUNT #: LSR012427	BUY 2 Units @14.8900						
02/14/2018	***-**-654I - ROSTAD, BARBARA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	57,600.00	1,584.00	18.00	1,566.00	70.0	1,096.20
	ACCOUNT #: LSR012426	BUY 3733 Units @15.4300						
02/14/2018	***-**-755I - ROSTAD, HOWARD	AMERICAN MONEY MARKET CLASS A	4,949.70	0.00	6.00	-6.00	100.0	-6.00
	ACCOUNT #: LSR012427	SELL 4950 Units @1.0000						
02/14/2018	***-**-654I - ROSTAD, BARBARA	GLOBAL GROWTH PORTFOLIO-A	20,955.01	576.26	18.00	558.26	70.0	390.78
	ACCOUNT #: LSR012426	BUY 1180 Units @17.7600						
02/14/2018	***-**-654I - ROSTAD, BARBARA	PRESERVATION PORTFOLIO-A	16,800.00	336.00	18.00	318.00	70.0	222.60
	ACCOUNT #: LSR012426	BUY 1677 Units @10.0200						
* MUTUAL FUNDS SUBTOTAL *			<b>\$105,254.42</b>	<b>\$2,496.26</b>	<b>\$72.00</b>	<b>\$2,424.26</b>		<b>\$1,691.58</b>

### **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

02/01/2018	***-**-61UT - FREELAND,	FEDERATED KAUFMANN FUND	0.00	3.33	0.00	3.33	70.0	2.33
	ACCOUNT #: 00009937552	BUY 0 Units @0.0000						
02/14/2018	***-**-579I - FREDERICK,	LIFESTYLE BALANCED PORTFOLIO A	0.00	4.72	0.00	4.72	70.0	3.30
	ACCOUNT #: 00001676185	BUY 0 Units @0.0000						
02/14/2018	***-**-492I - SELL, MICHAEL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.08	0.00	2.08	70.0	1.46
	ACCOUNT #: 00001722478	BUY 0 Units @0.0000						
02/14/2018	***-**-63IN - SYPHERS, ZACKARY	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.30	0.00	2.30	70.0	1.61
	ACCOUNT #: 00001827112	BUY 0 Units @0.0000						
02/14/2018	***-**-280I - CURD, NANCY	LIFESTYLE BALANCED PORTFOLIO A	0.00	1.20	0.00	1.20	70.0	0.84
	ACCOUNT #: 00001860711	BUY 0 Units @0.0000						
02/14/2018	***-**-837I - CURTIN, PAUL	LIFESTYLE BALANCED PORTFOLIO A	0.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 00002116172	BUY 0 Units @0.0000						
02/14/2018	***-**-180I - GOODMILLER,	LIFESTYLE BALANCED PORTFOLIO A	0.00	6.37	0.00	6.37	70.0	4.46
	ACCOUNT #: 00002330736	BUY 0 Units @0.0000						
02/14/2018	***-**-36IN - PISARIK, MICHAEL	LIFESTYLE MODERATE PORTFOLIO A	0.00	5.17	0.00	5.17	70.0	3.62
	ACCOUNT #: 00001840495	BUY 0 Units @0.0000						
02/14/2018	***-**-9040 - WATSON, MARY	LIFESTYLE MODERATE PORTFOLIO A	0.00	9.81	0.00	9.81	70.0	6.87
	ACCOUNT #: 00002400791	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/14/2018	***-**-4036 - PISARIK, MICHAEL LIFESTYLE MODERATE PORTFOLIO A		0.00	2.29	0.00	2.29	70.0	1.60
	ACCOUNT #: 00002493848	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$39.77</b>	<b>\$0.00</b>	<b>\$39.77</b>		<b>\$27.84</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$105,254.42</b>	<b>\$2,536.03</b>	<b>\$72.00</b>	<b>\$2,464.03</b>		<b>\$1,719.42</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$1,719.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,719.42</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>