

# COMMISSION STATEMENT

July 31, 2018

CHIP KLEINBROOK-D037  
 2817 South Milford Road  
 Suite 101  
 Highland, MI 48357

BROKER #: D037  
 BRANCH: DMN

## COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
06/29/2018	646509508	ROUSSEYTEE, DAVE	MF	PUTNAM ABSOLUTE RETURN 300 FD	S	227.45	2.25	2.50	-0.25	80.0	-0.20
* MUTUAL FUNDS SUBTOTAL *						\$227.45	\$2.25	\$2.50	\$-0.25		\$-0.20
PRODUCT CATEGORY: STOCKS											
07/02/2018	430245686	TRUST, KONCHEL	S	JOHNSON & JOHNSON	S	-2,991.01	40.00	15.00	25.00	80.0	20.00
07/02/2018	430245686	TRUST, KONCHEL	S	REALTY INCOME CORP (MA)	S	-2,806.26	40.00	15.00	25.00	80.0	20.00
06/28/2018	584086159	PHAN, KATIE CHHEN	S	SPDR S&P 500 ETF TRUST	B	3,298.64	40.00	15.00	25.00	80.0	20.00
* STOCKS SUBTOTAL *						\$-2,498.63	\$120.00	\$45.00	\$75.00		\$60.00
PRODUCT CATEGORY: MISCELLANEOUS											
06/28/2018	a03587889	KLEINBROOK-D037,	MI	MUTUAL FUND MISC FEE 584086159	S	0.00	20.00	0.00	20.00	80.0	16.00
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$20.00	\$0.00	\$20.00		\$16.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
07/10/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.17	0.00	6.17	80.0	4.94
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	8.73	0.00	8.73	80.0	6.98
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	19.21	0.00	19.21	80.0	15.37
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	53.90	0.00	53.90	80.0	43.12
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	30.17	0.00	30.17	80.0	24.14
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	60.33	0.00	60.33	80.0	48.26
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	AIM DIVERSIFIED DIVIDEND FUND -	B	0.00	3.54	0.00	3.54	80.0	2.83
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.79	0.00	0.79	80.0	0.63
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.90	0.00	0.90	80.0	0.72
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.53	0.00	1.53	80.0	1.22
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.45	0.00	11.45	80.0	9.16
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.92	0.00	1.92	80.0	1.54
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	14.92	0.00	14.92	80.0	11.94
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	1.29	0.00	1.29	80.0	1.03
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	2.60	0.00	2.60	80.0	2.08
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	2.01	0.00	2.01	80.0	1.61
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.55	0.00	3.55	80.0	2.84
07/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	2.05	0.00	2.05	80.0	1.64

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	14.01	0.00	14.01	80.0	11.21
07/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	67.96	0.00	67.96	80.0	54.37
07/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.71	0.00	1.71	80.0	1.37
07/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.41	0.00	2.41	80.0	1.93
07/23/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.27	0.00	1.27	80.0	1.02
07/23/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	7.86	0.00	7.86	80.0	6.29
07/23/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.01	0.00	15.01	80.0	12.01
07/23/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	30.02	0.00	30.02	80.0	24.02
07/23/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.85	0.00	5.85	80.0	4.68
07/23/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.15	0.00	1.15	80.0	0.92
07/23/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.37	0.00	2.37	80.0	1.90
07/23/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.81	0.00	6.81	80.0	5.45
07/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.19	0.00	4.19	80.0	3.35
07/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.51	0.00	5.51	80.0	4.41
07/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.52	0.00	5.52	80.0	4.42
07/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.55	0.00	6.55	80.0	5.24
07/23/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	36.01	0.00	36.01	80.0	28.81
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	24.93	0.00	24.93	80.0	19.94
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	32.49	0.00	32.49	80.0	25.99
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	68.33	0.00	68.33	80.0	54.66
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	0.01	0.00	0.01	80.0	0.01
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.23	0.00	14.23	80.0	11.38
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	36.26	0.00	36.26	80.0	29.01
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	5.82	0.00	5.82	80.0	4.66
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	1.08	0.00	1.08	80.0	0.86
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.44	0.00	6.44	80.0	5.15
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	18.95	0.00	18.95	80.0	15.16
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.40	0.00	3.40	80.0	2.72
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	8.75	0.00	8.75	80.0	7.00
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.22	0.00	1.22	80.0	0.98
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	12.23	0.00	12.23	80.0	9.78
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	20.39	0.00	20.39	80.0	16.31
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.23	0.00	4.23	80.0	3.38
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	21.51	0.00	21.51	80.0	17.21
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	23.14	0.00	23.14	80.0	18.51
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	29.11	0.00	29.11	80.0	23.29
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	23.85	0.00	23.85	80.0	19.08
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	74.08	0.00	74.08	80.0	59.26
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.76	0.00	3.76	80.0	3.01
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	31.05	0.00	31.05	80.0	24.84
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	61.84	0.00	61.84	80.0	49.47
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV CONSUMER STAPLES	B	0.00	2.23	0.00	2.23	80.0	1.78
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.14	0.00	2.14	80.0	1.71
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.41	0.00	15.41	80.0	12.33

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	16.85	0.00	16.85	80.0	13.48
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.54	0.00	12.54	80.0	10.03
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.20	0.00	17.20	80.0	13.76
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	23.86	0.00	23.86	80.0	19.09
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	30.31	0.00	30.31	80.0	24.25
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.20	0.00	5.20	80.0	4.16
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	16.25	0.00	16.25	80.0	13.00
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	3.86	0.00	3.86	80.0	3.09
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	15.55	0.00	15.55	80.0	12.44
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	33.44	0.00	33.44	80.0	26.75
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	15.34	0.00	15.34	80.0	12.27
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	25.57	0.00	25.57	80.0	20.46
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	31.53	0.00	31.53	80.0	25.22
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	64.35	0.00	64.35	80.0	51.48
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	15.36	0.00	15.36	80.0	12.29
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.05	0.00	1.05	80.0	0.84
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.24	0.00	3.24	80.0	2.59
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.31	0.00	11.31	80.0	9.05
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	18.51	0.00	18.51	80.0	14.81
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	50.79	0.00	50.79	80.0	40.63
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	11.00	0.00	11.00	80.0	8.80
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	56.03	0.00	56.03	80.0	44.82
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	5.73	0.00	5.73	80.0	4.58
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	23.31	0.00	23.31	80.0	18.65
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	40.68	0.00	40.68	80.0	32.54
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	5.32	0.00	5.32	80.0	4.26
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.12	0.00	10.12	80.0	8.10
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	15.20	0.00	15.20	80.0	12.16
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	62.56	0.00	62.56	80.0	50.05
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	11.61	0.00	11.61	80.0	9.29
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	13.61	0.00	13.61	80.0	10.89
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.58	0.00	1.58	80.0	1.26
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	55.62	0.00	55.62	80.0	44.50
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	22.81	0.00	22.81	80.0	18.25
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	41.60	0.00	41.60	80.0	33.28
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	10.50	0.00	10.50	80.0	8.40
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.10	0.00	7.10	80.0	5.68
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	0.55	0.00	0.55	80.0	0.44
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.25	0.00	6.25	80.0	5.00
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.44	0.00	6.44	80.0	5.15
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.19	0.00	9.19	80.0	7.35
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	13.49	0.00	13.49	80.0	10.79
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	21.19	0.00	21.19	80.0	16.95
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	27.17	0.00	27.17	80.0	21.74

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	36.44	0.00	36.44	80.0	29.15
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	78.65	0.00	78.65	80.0	62.92
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	80.66	0.00	80.66	80.0	64.53
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	82.13	0.00	82.13	80.0	65.70
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	0.97	0.00	0.97	80.0	0.78
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.29	0.00	7.29	80.0	5.83
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	41.81	0.00	41.81	80.0	33.45
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	83.12	0.00	83.12	80.0	66.50
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.33	0.00	6.33	80.0	5.06
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.58	0.00	6.58	80.0	5.26
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	54.90	0.00	54.90	80.0	43.92
07/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.78	0.00	3.78	80.0	3.02
07/23/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.63	0.00	0.63	80.0	0.50
07/23/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	53.26	0.00	53.26	80.0	42.61
07/23/2018	mfc587889	KLEINBROOK 587889	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	15.42	0.00	15.42	80.0	12.34
07/23/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	6.03	0.00	6.03	80.0	4.82
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.41	0.00	1.41	80.0	1.13
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.79	0.00	0.79	80.0	0.63
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	12.56	0.00	12.56	80.0	10.05
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	30.22	0.00	30.22	80.0	24.18
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.77	0.00	4.77	80.0	3.82
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	10.13	0.00	10.13	80.0	8.10
07/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-A	B	0.00	2.27	0.00	2.27	80.0	1.82
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.11	0.00	0.11	80.0	0.09
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.51	0.00	0.51	80.0	0.41
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	18.67	0.00	18.67	80.0	14.94
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	21.96	0.00	21.96	80.0	17.57
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	45.16	0.00	45.16	80.0	36.13
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	73.90	0.00	73.90	80.0	59.12
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	180.20	0.00	180.20	80.0	144.16
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.07	0.00	0.07	80.0	0.06
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.09	0.00	0.09	80.0	0.07
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.09	0.00	0.09	80.0	0.07
07/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.09	0.00	0.09	80.0	0.07
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.90	0.00	0.90	80.0	0.72
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.62	0.00	0.62	80.0	0.50
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	1.43	0.00	1.43	80.0	1.14
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.09	0.00	0.09	80.0	0.07
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.69	0.00	4.69	80.0	3.75
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	16.52	0.00	16.52	80.0	13.22
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	15.46	0.00	15.46	80.0	12.37
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	47.42	0.00	47.42	80.0	37.94
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	2.95	0.00	2.95	80.0	2.36

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.37	0.00	3.37	80.0	2.70
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	19.44	0.00	19.44	80.0	15.55
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.88	0.00	5.88	80.0	4.70
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.48	0.00	3.48	80.0	2.78
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	10.66	0.00	10.66	80.0	8.53
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.27	0.00	0.27	80.0	0.22
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.57	0.00	1.57	80.0	1.26
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.46	0.00	2.46	80.0	1.97
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.19	0.00	7.19	80.0	5.75
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.59	0.00	9.59	80.0	7.67
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.85	0.00	9.85	80.0	7.88
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	18.18	0.00	18.18	80.0	14.54
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	36.91	0.00	36.91	80.0	29.53
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	61.08	0.00	61.08	80.0	48.86
07/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	66.83	0.00	66.83	80.0	53.46
07/23/2018	mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.63	0.00	0.63	80.0	0.50
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	17.78	0.00	17.78	80.0	14.22
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.66	0.00	3.66	80.0	2.93
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.45	0.00	9.45	80.0	7.56
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.44	0.00	7.44	80.0	5.95
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.46	0.00	7.46	80.0	5.97
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	29.63	0.00	29.63	80.0	23.70
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.38	0.00	4.38	80.0	3.50
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.79	0.00	4.79	80.0	3.83
07/23/2018	mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	10.78	0.00	10.78	80.0	8.62
07/23/2018	mfc587889	KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.86	0.00	7.86	80.0	6.29
07/23/2018	mfc587889	KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.70	0.00	6.70	80.0	5.36
07/23/2018	mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.26	0.00	1.26	80.0	1.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,296.64	\$0.00	\$3,296.64		\$2,637.32
*** CLEARING TRANSACTIONS TOTAL ***					\$-2,271.18	\$3,438.89	\$47.50	\$3,391.39		\$2,713.12

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/10/2018	000000000221299	FURMAN, VICKI	MF	FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
07/16/2018	00089905459	CHHEN, KIMBERLY	MF	AMERICAN BALANCED FUND-C	B	450.00	4.50	0.00	4.50	80.0	3.60
07/16/2018	00520235862	COTEY, BENE	MF	FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	00520236563	COTEY, BENE	MF	FA 529 COLLEGE PORT CL C	B	50.00	0.50	0.00	0.50	80.0	0.40
07/16/2018	03900215447	NISSETYLEJ	MF	FIDELITY ADVISOR BALANCED CLASS	B	417.00	12.51	0.00	12.51	80.0	10.01
<b>* MUTUAL FUNDS SUBTOTAL *</b>						<b>\$1,167.00</b>	<b>\$20.01</b>	<b>\$0.00</b>	<b>\$20.01</b>		<b>\$16.01</b>

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
06/30/2018	(None) CHHEN, JEAN	MFT BLACKROCK	B	0.00	8.92	0.00	8.92	80.0	7.14
06/30/2018	(None) CHHEN, JEAN	MFT BLACKROCK	B	0.00	16.06	0.00	16.06	80.0	12.85
06/30/2018	(None) VARIOUS, KLEINBROOK	MFT PACIFIC LIFE 12B1	B	0.00	25.68	0.00	25.68	80.0	20.54
06/30/2018	(None) VARIOUS, KLEINBROOK	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	117.82	0.00	117.82	80.0	94.26
07/09/2018	04700132585 KAREN ANN SPARKS,	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.33	0.00	3.33	80.0	2.66
07/09/2018	04700415762 ESA, COVERDELL	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.89	0.00	0.89	80.0	0.71
07/09/2018	04718897037 CHEN, SHAN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.19	0.00	1.19	80.0	0.95
07/09/2018	04718897037 CHEN, SHAN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.44	0.00	1.44	80.0	1.15
07/09/2018	04718897038 LAI, LICHUN	MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.19	0.00	1.19	80.0	0.95
07/09/2018	04718897038 LAI, LICHUN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.44	0.00	1.44	80.0	1.15
07/09/2018	04787871938 WAGG, BERNICE	MFT AB BALANCED WEALTH STRATEGY A	B	0.00	19.78	0.00	19.78	80.0	15.82
07/09/2018	04787871938 WAGG, BERNICE	MFT AB BALANCED WEALTH STRATEGY C	B	0.00	44.41	0.00	44.41	80.0	35.53
07/05/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA LARGE CAP GROWTH FUND-C	B	0.00	1.98	0.00	1.98	80.0	1.58
07/05/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	4.04	0.00	4.04	80.0	3.23
07/05/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	6.79	0.00	6.79	80.0	5.43
07/05/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA SELIGMAN GLOBAL	B	0.00	2.85	0.00	2.85	80.0	2.28
07/05/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.88	0.00	4.88	80.0	3.90
07/05/2018	08697829969 KAREN A ZAJAC,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.29	0.00	6.29	80.0	5.03
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$268.98</b>	<b>\$0.00</b>	<b>\$268.98</b>		<b>\$215.16</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$1,167.00</b>	<b>\$288.99</b>	<b>\$0.00</b>	<b>\$288.99</b>		<b>\$231.17</b>

ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
<b>* SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-185.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,944.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$2,759.29</b>
<b>Year-to-date Commissions</b>	<b>\$56,949.65</b>