

**COMMISSION STATEMENT****April 15, 2018**

JEFFREY/DAN FT- BUM NY KIESNOSKI
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: BUM
BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| ^03/08/2018 | CRESPO, ALEJANDRO | CATALYST/MILLBURN HEDGE STRATEGY FU | 0.00 | 3.35 | 0.00 | 3.35 | 100.0 | 0.00 |
| ^03/08/2018 | BARBER, STEVE | CATALYST/MILLBURN HEDGE STRATEGY FU | 0.00 | 1.47 | 0.00 | 1.47 | 100.0 | 0.00 |
| ^03/08/2018 | CHEW, ROLAND | CATALYST/MILLBURN HEDGE STRATEGY FU | 0.00 | 3.59 | 0.00 | 3.59 | 100.0 | 0.00 |
| ^03/19/2018 | FIVE STAR BUS 401K | COLUMBIA INCOME OPPORTUNITIES FUND | 0.00 | 1.92 | 0.00 | 1.92 | 100.0 | 0.00 |
| ^03/19/2018 | PRECISION INTERIORS | COLUMBIA INCOME OPPORTUNITIES FUND | 0.00 | 7.70 | 0.00 | 7.70 | 100.0 | 0.00 |
| ^03/14/2018 | FIVE STAR BUS 401K | FORWARD GROWTH ALLOCATION FUND CLAS | 0.00 | 4.84 | 0.00 | 4.84 | 100.0 | 0.00 |
| ^03/19/2018 | CAPOBIANCO, PETER | HARTFORD CAPITAL APPRECIATION A | 0.00 | 1.97 | 0.00 | 1.97 | 100.0 | 0.00 |
| ^03/19/2018 | DEGREGORIO, JOHN | HARTFORD CAPITAL APPRECIATION A | 0.00 | 3.25 | 0.00 | 3.25 | 100.0 | 0.00 |
| ^03/19/2018 | BARBER, STEVE | JP MORGAN VALUE ADVANTAGE FUND CLAS | 0.00 | 0.49 | 0.00 | 0.49 | 100.0 | 0.00 |
| ^03/23/2018 | BARBER, STEVE | MAINSTAY CUSHING MLP PREMIER FUND C | 0.00 | 1.67 | 0.00 | 1.67 | 100.0 | 0.00 |
| ^03/23/2018 | CHEW, ROLAND | MAINSTAY CUSHING MLP PREMIER FUND C | 0.00 | 1.57 | 0.00 | 1.57 | 100.0 | 0.00 |
| ^03/23/2018 | CRESPO, ALEJANDRO | MAINSTAY CUSHING ROYALTY ENERGY INC | 0.00 | 2.76 | 0.00 | 2.76 | 100.0 | 0.00 |
| ^03/23/2018 | AXELROD, ALAN | MAINSTAY CUSHING ROYALTY ENERGY INC | 0.00 | 0.36 | 0.00 | 0.36 | 100.0 | 0.00 |
| ^03/23/2018 | RABETZ, WILLIAM | MAINSTAY CUSHING ROYALTY ENERGY INC | 0.00 | 2.15 | 0.00 | 2.15 | 100.0 | 0.00 |
| ^03/23/2018 | IAQUINTO, JOSEPH | MAINSTAY CUSHING ROYALTY ENERGY INC | 0.00 | 1.35 | 0.00 | 1.35 | 100.0 | 0.00 |
| ^03/23/2018 | FIVE STAR BUS 401K | MAINSTAY CUSHING ROYALTY ENERGY INC | 0.00 | 0.75 | 0.00 | 0.75 | 100.0 | 0.00 |
| ^03/23/2018 | PRECISION INTERIORS | MAINSTAY CUSHING ROYALTY ENERGY INC | 0.00 | 1.67 | 0.00 | 1.67 | 100.0 | 0.00 |
| ^03/20/2018 | AXELROD, ALAN | TRANSAMERICA SHORT-TERM BOND C | 0.00 | 19.18 | 0.00 | 19.18 | 100.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$60.04 | \$0.00 | \$60.04 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$0.00 | \$60.04 | \$0.00 | \$60.04 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|--------|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 04/15/2018 | | *PERSHING: R SPLIT NEW YORK REIT - PRECISION INTERIORS | 0.00 | | | | | 0.00 |
| 04/15/2018 | | *PERSHING: STOP CK FEE - PRECISION INT | 0.00 | | | | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|--------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed