



Concorde Investment Services, LLC

05/11/2018

Page No. 1

COMMISSION STATEMENT**May 15, 2018**

WILLIAM, C124 CASILLAS, JR
 4120 Rio Bravo
 Ste. 307
 El Paso, TX 79902

BROKER #: C124
 BRANCH: WILLIAM CASILLAS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
05/02/2018	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	300.00	12.75	0.00	12.75	82.0	10.46
* 529 PLANS SUBTOTAL *			\$300.00	\$12.75	\$0.00	\$12.75		\$10.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/02/2018	SPINE & REHAB, 401K	GWL GRP ANNUITY 401K	338,450.30	139.09	0.00	139.09	82.0	114.05
04/30/2018	CASTILLO, JOSE	JOHN HANCOCK VENTURE	657,008.83	410.63	0.00	410.63	82.0	336.72
04/30/2018	ALVARADO, JOSE	JOHN HANCOCK VENTURE	18,367.86	3.83	0.00	3.83	82.0	3.14
04/30/2018	CASTILLO, JOSE	JOHN HANCOCK VENTURE	73,080.02	45.68	0.00	45.68	82.0	37.46
* VARIABLE ANNUITIES SUBTOTAL *			\$1,086,907.01	\$599.23	\$0.00	\$599.23		\$491.37
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/19/2018	BOCK, JOHN	BLACKROCK EMERGING MARKETS DIVIDEND	0.00	110.21	0.00	110.21	82.0	90.37
/ /	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	0.00	0.60	0.00	0.60	82.0	0.49
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 A	0.00	7.44	0.00	7.44	82.0	6.10
/ /	MENDEZ, JESUS	JH 529 PORT 2021-2024 B	0.00	0.44	0.00	0.44	82.0	0.36
/ /	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	0.00	0.62	0.00	0.62	82.0	0.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$119.31	\$0.00	\$119.31		\$97.83
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	ZACOUR, PAUL	JNL PERSPECTIVE L	0.00	55.90	0.00	55.90	82.0	45.84
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$55.90	\$0.00	\$55.90		\$45.84
*** DIRECT TRANSACTIONS TOTAL ***			\$1,087,207.01	\$787.19	\$0.00	\$787.19		\$645.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
05/15/2018		*AUDIT FEE: ONSITE BRANCH AUDIT FEE 5/8/18	0.00					-750.00
05/15/2018		*DOCUSIGN: DOCUSIGN	0.00					-18.00
05/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*FINRA: FINRA CE TRACKED WEB FEE S106	0.00					-55.00
05/15/2018		*LASER APP: LASER APP	0.00					-18.00
05/15/2018		*TECHNOLOGY: ADMIN - A TERRAZAS	0.00					-130.00
05/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,527.00

BROKER COMMISSION TOTALS

Broker Transactions	\$645.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,527.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$76.36
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-805.14
Year-to-date Gross	\$15,856.16
Year-to-date Earnings	\$12,929.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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