



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
 Page No. 1

BRENT LEE
 13423 Kings View Lane

 Williston, ND 58801-

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	FREIH, DIANE	JNL PERSPECTIVE L	107,083.20	89.24	0.00	89.24	90.0	80.32
12/31/2016	KEMPER, SHERRY	JNL PERSPECTIVE L	207,611.23	173.01	0.00	173.01	90.0	155.71
12/31/2016	RETZLAFF, SANDRA	JNL PERSPECTIVE L	35,841.70	29.87	0.00	29.87	90.0	26.88
12/31/2016	GRANT, GREGORY	JNL PERSPECTIVE L	89,926.06	74.94	0.00	74.94	90.0	67.45
12/31/2016	MONETTE, RONALD	JNL PERSPECTIVE L	57,255.14	47.71	0.00	47.71	90.0	42.94
12/31/2016	LIESENER, MARTY	JNL PERSPECTIVE L	41,341.06	34.45	0.00	34.45	90.0	31.01
12/31/2016	BARTINGALE, JAMES	JNL PERSPECTIVE L	59,104.96	49.25	0.00	49.25	90.0	44.33
12/31/2016	MONETTE, RONALD	JNL PERSPECTIVE L	194,359.22	161.97	0.00	161.97	90.0	145.77
12/31/2016	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	116,309.63	72.69	0.00	72.69	90.0	65.42
* VARIABLE ANNUITIES SUBTOTAL *			\$908,832.20	\$733.13	\$0.00	\$733.13		\$659.83
*** BROKER TRANSACTIONS TOTAL ***			\$908,832.20	\$733.13	\$0.00	\$733.13		\$659.83

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
01/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.82	0.00	3.82	90.0	3.44
01/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.78	0.00	4.78	90.0	4.30
01/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.95	0.00	5.95	90.0	5.36
01/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.40	0.00	3.40	90.0	3.06
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$845.00	\$17.95	\$0.00	\$17.95		\$16.16

<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
12/01/2016	ALEXANDER TRUST, DAVID &	AB HIGH INCOME MUNICIPAL AD	130,000.00	0.00	1.06	-1.06	90.0	-0.95
12/09/2016	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.18	0.00	3.18	90.0	2.86
12/09/2016	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.51	0.00	0.51	90.0	0.46
12/09/2016	SINNESS, STEVEN	AM FUNDS ICA A	0.00	3.44	0.00	3.44	90.0	3.10
12/09/2016	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.69	0.00	4.69	90.0	4.22

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/09/2016	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	1.28	0.00	1.28	90.0	1.15
12/09/2016	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.61	0.00	0.61	90.0	0.55
12/01/2016	ALEXANDER TRUST, DAVID &	BLACKROCK HIGH YIELD	50,000.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.09	0.00	0.09	90.0	0.08
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.10	0.00	0.10	90.0	0.09
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.13	0.00	0.13	90.0	0.12
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.09	0.00	0.09	90.0	0.08
12/09/2016	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.13	0.00	0.13	90.0	0.12
12/09/2016	MORRIS, PATRICK	COLUMBIA DIVIDEND OPP A	0.00	0.10	0.00	0.10	90.0	0.09
12/09/2016	MORRIS, SANDRA PACKER	COLUMBIA DIVIDEND OPP A	0.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.04	0.00	0.04	90.0	0.04
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.07	0.00	0.07	90.0	0.06
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	MORRIS, PATRICK	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	0.29	0.00	0.29	90.0	0.26
12/09/2016	MORRIS, SANDRA PACKER	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	0.01	0.00	0.01	90.0	0.01
12/12/2016	MORRIS, PATRICK	DELAWARE VALUE A	0.00	0.30	0.00	0.30	90.0	0.27
12/12/2016	MORRIS, SANDRA PACKER	DELAWARE VALUE A	0.00	0.01	0.00	0.01	90.0	0.01
12/15/2016	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.20	0.00	0.20	90.0	0.18
12/15/2016	MORRIS, PATRICK	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.36	0.00	0.36	90.0	0.32
12/15/2016	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.04	0.00	0.04	90.0	0.04
12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.03	0.00	0.03	90.0	0.03
12/09/2016	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.10	0.00	0.10	90.0	0.09
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.07	0.00	0.07	90.0	0.06
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.11	0.00	0.11	90.0	0.10
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.00	0.06	90.0	0.05
12/09/2016	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.07	0.00	0.07	90.0	0.06
12/09/2016	MORRIS, PATRICK	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.04	0.00	0.04	90.0	0.04
12/09/2016	MORRIS, SANDRA PACKER	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	MORRIS, PATRICK	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.04	0.00	0.04	90.0	0.04
12/09/2016	MORRIS, SANDRA PACKER	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
12/09/2016	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	0.19	0.00	0.19	90.0	0.17
01/06/2017	NEWBERRY TRUST, GLORIA	PRINCIPAL FUNDS CONSERVATIVE GROWTH	-909.30	0.00	0.00	0.00	90.0	0.00
12/20/2016	RED RIVER SUPPLY	PRINCIPAL LIFE	0.00	42.69	0.00	42.69	90.0	38.42
12/31/2016	HATLESTAD, PATRICK	PRINCIPAL LIFE	0.00	16.02	0.00	16.02	90.0	14.42
01/06/2017	NEWBERRY TRUST, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-2,870.94	0.00	0.00	0.00	90.0	0.00
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.09	0.00	0.09	90.0	0.08
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.06	0.00	0.06	90.0	0.05
12/09/2016	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.09	0.00	0.09	90.0	0.08
12/09/2016	KALIL, DANIEL	PRUDENTIAL SHORT-TERM CORP BOND CL	0.00	3.90	0.00	3.90	90.0	3.51
12/09/2016	MORRIS, PATRICK	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.16	0.00	0.16	90.0	0.14
12/09/2016	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.31	0.00	0.31	90.0	0.28
12/09/2016	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.18	0.00	0.18	90.0	0.16
12/09/2016	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	MORRIS, PATRICK	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.31	0.00	0.31	90.0	0.28
12/09/2016	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.01	0.00	0.01	90.0	0.01
12/09/2016	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.05	0.00	0.05	90.0	0.05
12/09/2016	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.13	0.00	0.13	90.0	0.12
12/09/2016	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.34	0.00	0.34	90.0	0.31
12/09/2016	POWELL, WILLIAM	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.19	0.00	0.19	90.0	0.17
12/09/2016	KALIL, DANIEL	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.28	0.00	0.28	90.0	0.25
12/09/2016	VESTAL, KATHY	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.23	0.00	0.23	90.0	0.21
* JBB JEN-BRENT-BRION TRU-DONAI, S-LEE- SUBTOTAL *			\$176,219.76	\$81.66	\$1.06	\$80.60		\$72.58
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAI, S-LEE- #334</u>								
12/12/2016	PATOROS, JOHN	BBH CORE SELECT N	10,000.00	0.00	1.77	-1.77	90.0	-1.59
12/12/2016	PATOROS, LORI	FIDLEITY ADV STRATEGIC INCOME	8,000.00	0.00	1.77	-1.77	90.0	-1.59
12/12/2016	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	8,000.00	0.00	0.00	0.00	90.0	0.00
12/09/2016	PATOROS, LORI	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.34	0.00	0.34	90.0	0.31
* BJB JEN-BRENT-BRION TRU-DONAI, S-LEE- SUBTOTAL *			\$26,000.00	\$0.34	\$3.54	\$-3.20		\$-2.87

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
01/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
01/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
01/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.81	0.00	0.81	90.0	0.73
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.81	0.00	0.81	90.0	0.73
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
01/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.71	0.00	0.71	90.0	0.64
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
01/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.81	0.00	0.81	90.0	0.73
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.71	0.00	0.71	90.0	0.64
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
01/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
12/30/2016	RASMUSSEN, PAMELA	GRIFFIN CAPITAL ESSENTIAL ASSET REI	27,000.00	0.00	0.00	0.00	90.0	0.00
12/30/2016	RASMUSSEN, CLINTON	LIGHTSTONE VALUE PLUS REAL ESTATE I	48,000.00	0.00	0.00	0.00	90.0	0.00
01/04/2017	RASMUSSEN, CLINTON	LIGHTSTONE VALUE PLUS REIT III INC	48,000.00	1,344.00	0.00	1,344.00	90.0	1,209.60
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$124,020.00	\$1,355.21	\$0.00	\$1,355.21		\$1,219.72
*** SPLIT TRANSACTIONS TOTAL ***			\$327,084.76	\$1,455.16	\$4.60	\$1,450.56		\$1,305.59

ADJUSTMENTS

01/17/2017	*EO INS: CURRENT MONTH E&O	0.00						-300.00
01/17/2017	*PERSHING: BOOKS & RECORDS	0.00						-0.75
01/17/2017	*PERSHING: BOOKS & RECORDS	0.00						-2.40
01/17/2017	*PERSHING: ETF PROSPECTUS	0.00						-8.83
01/17/2017	*PERSHING: ETF PROSPECTUS	0.00						-7.73
01/17/2017	*PERSHING: MF PROSPECTUS	0.00						-26.37
01/17/2017	*PERSHING: MF PROSPECTUS	0.00						-18.89
01/17/2017	*TECH: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-639.97

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BROKER COMMISSION TOTALS

Broker Transactions	\$659.83
Split Transactions	\$1,305.59
Override Transactions	\$0.00
Adjustments	\$-639.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,325.45
Year-to-date Gross	\$2,188.29
Year-to-date Earnings	\$1,965.42