



Concorde Investment Services, LLC

05/11/2018

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COMMISSION STATEMENT

May 15, 2018

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.57	0.00	1.57	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.57	0.00	1.57	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.74	0.00	3.74	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	50.00	13.37	0.00	13.37	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.69	0.00	0.69	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.26	0.00	3.26	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.80	0.00	1.80	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.25	0.00	6.25	0.0	0.00
* 529 PLANS SUBTOTAL *			\$100.00	\$34.75	\$0.00	\$34.75		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$2.00	\$0.00	\$2.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2018	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.80	0.00	31.80	0.0	0.00
04/30/2018	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.23	0.00	41.23	0.0	0.00
04/30/2018	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	65.47	0.00	65.47	0.0	0.00
04/30/2018	LUND, JIM	FRANKLIN INCOME A	0.00	4.72	0.00	4.72	0.0	0.00
04/30/2018	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.86	0.00	0.86	0.0	0.00
04/30/2018	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.48	0.00	31.48	0.0	0.00
04/30/2018	LUND, ANDREA	FRANKLIN INCOME A	0.00	193.88	0.00	193.88	0.0	0.00
04/30/2018	WURLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.64	0.00	2.64	0.0	0.00
04/30/2018	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.00
04/30/2018	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.00
04/30/2018	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	51.64	0.00	51.64	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2018	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.10	0.00	23.10	0.0	0.00
04/30/2018	HO, PAULINA	FRANKLIN INCOME C	0.00	69.65	0.00	69.65	0.0	0.00
04/30/2018	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	7.60	0.00	7.60	0.0	0.00
04/30/2018	STARK, TONI	FRANKLIN INCOME C	0.00	27.21	0.00	27.21	0.0	0.00
04/30/2018	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.75	0.00	3.75	0.0	0.00
04/30/2018	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.45	0.00	1.45	0.0	0.00
04/30/2018	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.52	0.00	2.52	0.0	0.00
04/30/2018	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.96	0.00	4.96	0.0	0.00
04/30/2018	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.62	0.00	22.62	0.0	0.00
04/30/2018	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.76	0.00	26.76	0.0	0.00
04/30/2018	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	83.13	0.00	83.13	0.0	0.00
04/30/2018	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.91	0.00	0.91	0.0	0.00
04/30/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	107.68	0.00	107.68	0.0	0.00
04/30/2018	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	66.72	0.00	66.72	0.0	0.00
04/30/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.64	0.00	20.64	0.0	0.00
04/30/2018	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.57	0.00	5.57	0.0	0.00
04/30/2018	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.12	0.00	4.12	0.0	0.00
04/30/2018	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.79	0.00	20.79	0.0	0.00
04/30/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	34.20	0.00	34.20	0.0	0.00
04/30/2018	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.32	0.00	1.32	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$959.11	\$0.00	\$959.11		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$300.00	\$995.86	\$0.00	\$995.86		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,809.99
Year-to-date Earnings	\$0.00