



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**May 15, 2017**

05/11/2017  
 Page No. 1

JOEL WOODS  
 1412 Blue Quail Run  
 Crowley, TX 76036-

BROKER #: W143  
 BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
04/19/2017	GORDON, JEFFREY	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
04/28/2017	WEAVER, GEORGE	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,440.00
<b><u>PRODUCT CATEGORY: REIT</u></b>								
03/31/2017	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	64.20	0.00	64.20	92.0	59.06
03/31/2017	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	1.26	0.00	1.26	92.0	1.16
* REIT SUBTOTAL *			\$0.00	\$65.46	\$0.00	\$65.46		\$60.22
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
04/28/2017	TOMLINSON, HAROLD	BLUEROCK TOTAL INC & REAL ESTATE FU	2,500.00	25.00	0.00	25.00	90.0	22.50
* INTERVAL FUNDS SUBTOTAL *			\$2,500.00	\$25.00	\$0.00	\$25.00		\$22.50
<b><u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u></b>								
05/01/2017	CONNELLY, BRENDA	LINCOLN FIA	207,301.87	0.00	0.00	0.00	90.0	0.00
* FIXED INDEX ANNUITIES SUBTOTAL *			\$207,301.87	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/27/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
05/02/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
04/25/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	40.00	1.40	0.00	1.40	90.0	1.26
05/01/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
04/12/2017	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.62	0.00	8.62	90.0	7.76
04/11/2017	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	12.12	0.00	12.12	90.0	10.91
04/11/2017	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	4.56	0.00	4.56	90.0	4.10
04/11/2017	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	12.55	0.00	12.55	90.0	11.30
04/11/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	6.73	0.00	6.73	90.0	6.06
04/11/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	5.04	0.00	5.04	90.0	4.54

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
04/30/2017	SHERRIEB, HAROLD	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	18.12	0.00	18.12	90.0	16.31
04/30/2017	SHAW, DEBRA	FT FOUNDING FUNDS ALLOC A	0.00	1.60	0.00	1.60	90.0	1.44
04/11/2017	THREADGILL, HEATHER	INVESCO BALANCED-RISK ALLOCATION-C	0.00	23.99	0.00	23.99	90.0	21.59
04/11/2017	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	141.13	0.00	141.13	90.0	127.02
04/11/2017	CONDRAY, GADA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	26.61	0.00	26.61	90.0	23.95
04/11/2017	THREADGILL TRUST	INVESCO BALANCED-RISK ALLOCATION-C	0.00	26.36	0.00	26.36	90.0	23.72
04/11/2017	MELTON, PAMELA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	46.66	0.00	46.66	90.0	41.99
04/11/2017	THREADGILL, MICHELLE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	180.18	0.00	180.18	90.0	162.16
04/24/2017	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	66.25	0.66	0.00	0.66	90.0	0.59
04/25/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	PHIPPS, CHRISTEEN	INVESCO BALANCED-RISK ALLOCATION-C	5,000.00	50.00	0.00	50.00	90.0	45.00
05/01/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
05/01/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
05/01/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/05/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/05/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/05/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
05/05/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
05/05/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/05/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.35	0.00	1.35	90.0	1.22
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.35	0.00	1.35	90.0	1.22
04/11/2017	CROSS, BETTY	MFS UTILITIES A	0.00	3.59	0.00	3.59	90.0	3.23
04/11/2017	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	5.26	0.00	5.26	90.0	4.73
04/11/2017	CONDRAY, GADA	PIMCO INCOME FUND C	0.00	23.51	0.00	23.51	90.0	21.16
04/30/2017	SHERRIEB, HAROLD	TEMPLETON FOREIGN FUND A	0.00	30.82	0.00	30.82	90.0	27.74
04/11/2017	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.93	0.00	5.93	90.0	5.34
05/02/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	13.64	0.00	13.64	90.0	12.28
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$6,231.25</b>	<b>\$672.53</b>	<b>\$0.00</b>	<b>\$672.53</b>		<b>\$605.32</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/11/2017	TMICO TRUST CO	FS INVESTMENT CORPORATION	49,668.38	250.00	22.00	228.00	90.0	205.20
04/11/2017	TMICO TRUST CO	TIER REIT INC COM	32,847.50	150.00	22.00	128.00	90.0	115.20
04/12/2017	CONTRERAS, RUDOLF	TIER REIT INC COM	4,196.46	50.00	22.00	28.00	90.0	25.20
* STOCKS SUBTOTAL *			<b>\$86,712.34</b>	<b>\$450.00</b>	<b>\$66.00</b>	<b>\$384.00</b>		<b>\$345.60</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
04/11/2017	DOUGAN, TOMMIE	WESTERN ASSET MANAGED MUNS A	0.00	1.62	0.00	1.62	90.0	1.46
* BONDS SUBTOTAL *			<b>\$0.00</b>	<b>\$1.62</b>	<b>\$0.00</b>	<b>\$1.62</b>		<b>\$1.46</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/25/2017	PHIPPS, LESLIE	AXA STRUCTURED CAP STRATEGIES 16 SE	25,000.00	1,250.00	0.00	1,250.00	90.0	1,125.00
04/30/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	9,001.92	1.88	0.00	1.88	90.0	1.69
04/30/2017	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	97,202.98	40.50	0.00	40.50	90.0	36.45
04/30/2017	CADY, KENDA	JNL PERSPECTIVE L	27,640.47	29.94	0.00	29.94	90.0	26.95
04/30/2017	HEIL, ROBERT	JNL PERSPECTIVE L	55,441.30	60.06	0.00	60.06	90.0	54.05
04/30/2017	TOMLINSON, HAROLD	JNL PERSPECTIVE L	113,226.51	122.66	0.00	122.66	90.0	110.39
04/30/2017	MARKS, FRANCES	JNL PERSPECTIVE L	47,086.87	51.01	0.00	51.01	90.0	45.91
04/30/2017	MARKS, RICHARD	JNL PERSPECTIVE L	47,174.87	51.11	0.00	51.11	90.0	46.00
04/30/2017	PERRY, JANINE	JNL PERSPECTIVE L	18,173.61	19.69	0.00	19.69	90.0	17.72
04/30/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	192,317.40	160.26	0.00	160.26	90.0	144.23
04/30/2017	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	70,692.05	58.91	0.00	58.91	90.0	53.02
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$702,957.98</b>	<b>\$1,846.02</b>	<b>\$0.00</b>	<b>\$1,846.02</b>		<b>\$1,661.41</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$1,105,703.44</b>	<b>\$10,060.63</b>	<b>\$66.00</b>	<b>\$9,994.63</b>		<b>\$9,136.51</b>

**OVERRIDE TRANSACTIONS****BROKER: MARK-S141 REG SMITH #367**

04/11/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.88	0.00	3.88	48.0	1.63
04/11/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
04/11/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.12	0.00	6.12	48.0	2.57
04/11/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.32	0.00	3.32	48.0	1.39
04/11/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.46	0.00	1.46	48.0	0.61
04/11/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.74	0.00	3.74	48.0	1.57

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-S141 REG SMITH #367</u></b>								
04/11/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
04/11/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.46	0.00	2.46	48.0	1.03
04/11/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.26	0.00	3.26	48.0	1.37
04/11/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
04/11/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.08
04/11/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.08
04/30/2017	TMICO	FRANKLIN INCOME C	0.00	7.84	0.00	7.84	48.0	3.29
04/30/2017	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	26.40	0.00	26.40	48.0	11.09
04/11/2017	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	4.71	0.00	4.71	48.0	1.98
04/30/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,242.69	32.76	0.00	32.76	48.0	13.76
04/30/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,112.82	23.43	0.00	23.43	48.0	9.84
04/11/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.96	0.00	0.96	48.0	0.40
04/11/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.82	0.00	0.82	48.0	0.34
05/02/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.17	0.00	4.17	48.0	1.75
* MARK-S141 REG SMITH SUBTOTAL *			\$58,355.51	\$126.29	\$0.00	\$126.29		\$53.02
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
05/01/2017	HEAD, HOWARD	COTTONWOOD RESIDENTIAL 2016 PREFR'D	45,000.00	3,150.00	0.00	3,150.00	49.0	1,354.50
* MARK-S142 ALTS SMITH SUBTOTAL *			\$45,000.00	\$3,150.00	\$0.00	\$3,150.00		\$1,354.50
*** OVERRIDE TRANSACTIONS TOTAL ***			\$103,355.51	\$3,276.29	\$0.00	\$3,276.29		\$1,407.52
<b><u> ADJUSTMENTS </u></b>								
05/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*RBC: WRITE OFF DB BAL(S)	0.00					-500.00
05/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,075.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,136.51
Split Transactions	\$0.00
Override Transactions	\$1,407.52
Adjustments	\$-1,075.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,469.03
Year-to-date Gross	\$36,771.34
Year-to-date Earnings	\$70,506.17