

COMMISSION STATEMENT

July 31, 2017

ERIC BELZ

1802 LITTLE JOHN STREET

MONTGOMERY, TX 77316

BROKER #: 26

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

05/23/2017	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	11.88	17.00	-5.12	90.0	-5.12
06/06/2017	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	11.88	17.00	-5.12	90.0	-5.12
06/21/2017	000000000055499	SAVELIS, LAILA FRANKLIN/TEMPLETON FUNDS 353533763	B	237.50	11.88	17.00	-5.12	90.0	-5.12
05/30/2017	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	17.00	-13.95	90.0	-13.95
06/13/2017	0000000000888774	COLEMAN, FRK AGGRESSIVE GRWTH - CL A	B	108.75	3.05	17.00	-13.95	90.0	-13.95
05/23/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	17.00	-12.00	90.0	-12.00
06/06/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	17.00	-12.00	90.0	-12.00
06/21/2017	0000000002577132	OTTO, DAVID FRANKLIN SMALL-MID CAP GROWTH FUND	B	500.00	5.00	17.00	-12.00	90.0	-12.00
05/30/2017	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.15	17.00	-6.85	90.0	-6.85
06/13/2017	0000000004328098	COLEMAN, FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	362.50	10.15	17.00	-6.85	90.0	-6.85
05/30/2017	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	145.00	4.06	17.00	-12.94	90.0	-12.94
06/13/2017	0000000004468094	COLEMAN, MUTUAL DISC FND - CL A	B	145.00	4.06	17.00	-12.94	90.0	-12.94
05/30/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	17.00	-10.75	90.0	-10.75
06/06/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	17.00	-10.75	90.0	-10.75
06/20/2017	0000000005055304	SULLIVAN, MUTUAL DISC FND - CL A	B	125.00	6.25	17.00	-10.75	90.0	-10.75
05/23/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	11.88	17.00	-5.12	90.0	-5.12
06/06/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	11.88	17.00	-5.12	90.0	-5.12
06/21/2017	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS	B	237.50	11.88	17.00	-5.12	90.0	-5.12
05/30/2017	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	108.75	3.05	17.00	-13.95	90.0	-13.95
06/13/2017	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	108.75	3.05	17.00	-13.95	90.0	-13.95
05/30/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	17.00	-12.00	90.0	-12.00
06/06/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	17.00	-12.00	90.0	-12.00
06/20/2017	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	125.00	5.00	17.00	-12.00	90.0	-12.00
06/05/2017	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	300.00	15.00	17.00	-2.00	90.0	-2.00
06/15/2017	00061842239	SCHENK, STEVEN AMCAP FUND-A	B	100.00	3.75	17.00	-13.25	90.0	-13.25
06/22/2017	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	4.69	17.00	-12.31	90.0	-12.31
06/19/2017	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A	B	15.00	0.64	17.00	-16.36	90.0	-16.36
06/19/2017	00064818808	SCHENK, ERIC AMCAP FUND-A	B	10.00	0.43	17.00	-16.57	90.0	-16.57
05/30/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	225.00	6.19	17.00	-10.81	90.0	-10.81
05/30/2017	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	225.00	6.19	17.00	-10.81	90.0	-10.81
06/12/2017	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	225.00	6.19	17.00	-10.81	90.0	-10.81

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
06/12/2017	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	225.00	6.19	17.00	-10.81	90.0 -10.81
06/15/2017	00084040075	WILLIAMS, ALYSSA	GROWTH PORTFOLIO-C	B	100.00	1.00	17.00	-16.00	90.0 -16.00
06/15/2017	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	50.00	0.50	17.00	-16.50	90.0 -16.50
05/30/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746764778	B	150.00	4.13	17.00	-12.87	90.0 -12.87
05/30/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	150.00	4.13	17.00	-12.87	90.0 -12.87
06/12/2017	00453533309	BURNS, KATHLEEN	PUTNAM 746933100	B	150.00	4.13	17.00	-12.87	90.0 -12.87
06/12/2017	00453533309	BURNS, KATHLEEN	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	150.00	4.13	17.00	-12.87	90.0 -12.87
06/12/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746745108	B	100.00	2.75	17.00	-14.25	90.0 -14.25
06/12/2017	00487862253	PERUGINI, MILDRED	PUTNAM 746802800	B	150.00	4.13	17.00	-12.87	90.0 -12.87
06/12/2017	00487862253	PERUGINI, MILDRED	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	150.00	4.13	17.00	-12.87	90.0 -12.87
06/16/2017	00493247534	PLAN	PUTNAM 74680E101	B	150.00	5.63	17.00	-11.37	90.0 -11.37
06/19/2017	00503977532	SCHAEFER, DOREEN	PUTNAM 746809102	B	25.00	1.25	17.00	-15.75	90.0 -15.75
06/19/2017	00503977532	SCHAEFER, DOREEN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	25.00	1.25	17.00	-15.75	90.0 -15.75
05/30/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	5.00	17.00	-12.00	90.0 -12.00
05/30/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	5.00	17.00	-12.00	90.0 -12.00
06/12/2017	00514953902	STELLATO, BRIAN	PUTNAM 746809102	B	100.00	5.00	17.00	-12.00	90.0 -12.00
06/12/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	100.00	5.00	17.00	-12.00	90.0 -12.00
06/19/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	258.00	7.10	17.00	-9.90	90.0 -9.90
06/19/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	174.00	4.79	17.00	-12.21	90.0 -12.21
06/19/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	168.00	4.62	17.00	-12.38	90.0 -12.38
06/16/2017	00550827572	PLAN	PUTNAM 74680E101	B	150.00	5.63	17.00	-11.37	90.0 -11.37
05/30/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.25	17.00	-15.75	90.0 -15.75
05/30/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.50	17.00	-14.50	90.0 -14.50
05/30/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.25	17.00	-15.75	90.0 -15.75
06/12/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	25.00	1.25	17.00	-15.75	90.0 -15.75
06/12/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	50.00	2.50	17.00	-14.50	90.0 -14.50
06/12/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	25.00	1.25	17.00	-15.75	90.0 -15.75
06/19/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	50.00	2.50	17.00	-14.50	90.0 -14.50
06/19/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	40.00	2.00	17.00	-15.00	90.0 -15.00
06/19/2017	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	50.00	2.50	17.00	-14.50	90.0 -14.50
06/19/2017	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	60.00	3.00	17.00	-14.00	90.0 -14.00
05/30/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.25	17.00	-15.75	90.0 -15.75
05/30/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	2.00	17.00	-15.00	90.0 -15.00
05/30/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.75	17.00	-15.25	90.0 -15.25
06/14/2017	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	25.00	1.25	17.00	-15.75	90.0 -15.75
06/14/2017	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	40.00	2.00	17.00	-15.00	90.0 -15.00
06/14/2017	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	35.00	1.75	17.00	-15.25	90.0 -15.25
* MUTUAL FUNDS SUBTOTAL *					\$9,675.00	\$319.22	\$1,156.00	\$-836.78	\$-836.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/21/2017	QGW031783 JACKSON, FRANK	SNAP INC CLASS A COMMON STOCK	B	9,900.00	115.00	17.00	98.00	90.0	86.50
07/21/2017	QGW034415 CHAIMOVITCH, BERNARD	NABORS INDUSTRIES LTD	B	6,400.00	95.00	17.00	78.00	90.0	68.50
07/06/2017	QGW035123 BOWLEY, KEVIN	FELCOR LODGING TR INC COM	S	-14,420.60	75.00	17.00	58.00	90.0	50.50
07/24/2017	QGW035172 O'NEILL, RORY	MANHATTAN BRDG CAP INC COM	S	-11,602.50	155.00	17.00	138.00	90.0	122.50
07/21/2017	QGW035198 WULFF, HANS	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	17.00	173.00	90.0	154.00
05/12/2017	QGW035214 BIANCO, BARBARA	TWITTER INC	B	18,958.10	145.00	17.00	128.00	90.0	113.50
05/12/2017	QGW035222 BIANCO, BARBARA	FELCOR LODGING TR INC COM	S	-7,002.70	75.00	17.00	58.00	90.0	50.50
07/21/2017	QGW035347 MODUGNO, ROCCO	COHERUS BIOSCIENCES INC COM	B	15,000.00	269.51	17.00	252.51	90.0	225.56
07/21/2017	QGW035388 FAY, WALTER	NABORS INDUSTRIES LTD	B	18,000.00	180.00	17.00	163.00	90.0	145.00
07/21/2017	QGW035479 ROBERTS, KATHLEEN	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	17.00	173.00	90.0	154.00
07/14/2017	QGW035511 LYDEN, MARY	MYND ANALYTICS INC COMMON STOCK	B	12,476.44	0.00	17.00	-17.00	90.0	-17.00
07/14/2017	QGW035511 LYDEN, MARY	WTS MYND ANALYTICS INC WARRANT	B	23.81	0.00	17.00	-17.00	90.0	-17.00
07/20/2017	QGW035511 LYDEN, MARY	NABORS INDUSTRIES LTD	B	4,500.00	101.75	17.00	84.75	90.0	74.58
07/21/2017	QGW035511 LYDEN, MARY	JD.COM INC SPONSORED ADR REPSTG COM S	S	-41,000.00	320.00	17.00	303.00	90.0	271.00
07/21/2017	QGW035511 LYDEN, MARY	NABORS INDUSTRIES LTD	B	22,500.00	220.00	17.00	203.00	90.0	181.00
07/21/2017	QGW035552 KMIECIAK, ZBIGNIEW	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	17.00	173.00	90.0	154.00
07/21/2017	QGW035560 AMENITA, FREDERICK	NABORS INDUSTRIES LTD	B	8,800.00	140.00	17.00	123.00	90.0	109.00
07/14/2017	QGW035594 DUSATKO, ANNE	MYND ANALYTICS INC COMMON STOCK	B	12,476.44	0.00	17.00	-17.00	90.0	-17.00
07/14/2017	QGW035594 DUSATKO, ANNE	WTS MYND ANALYTICS INC WARRANT	B	23.81	0.00	17.00	-17.00	90.0	-17.00
07/21/2017	QGW035594 DUSATKO, ANNE	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	17.00	173.00	90.0	154.00
07/21/2017	QGW035594 DUSATKO, ANNE	NABORS INDUSTRIES LTD	B	16,000.00	190.00	17.00	173.00	90.0	154.00
07/21/2017	QGW035594 DUSATKO, ANNE	SPRINT CORPORATION COM SER 1	S	-25,500.00	280.00	17.00	263.00	90.0	235.00
07/25/2017	QGW035685 EICHENHOLZ, SONDR	MANHATTAN BRDG CAP INC COM	S	-745.80	7.23	17.00	-9.77	90.0	-9.77
07/14/2017	QGW035701 SZARKA, MICHAEL	MYND ANALYTICS INC COMMON STOCK	B	3,992.88	0.00	17.00	-17.00	90.0	-17.00
07/14/2017	QGW035701 SZARKA, MICHAEL	WTS MYND ANALYTICS INC WARRANT	B	7.62	0.00	17.00	-17.00	90.0	-17.00
07/21/2017	QGW035719 FAMILY 2011	NABORS INDUSTRIES LTD	B	16,000.00	210.00	17.00	193.00	90.0	172.00
07/21/2017	QGW035768 MASSA, PATRICIA	BANK OF AMERICA CORP	B	49,000.00	385.00	17.00	368.00	90.0	329.50
07/21/2017	QGW035768 MASSA, PATRICIA	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	17.00	173.00	90.0	154.00
07/14/2017	QGW035776 ZIMMERMAN, FRANCINE	MYND ANALYTICS INC COMMON STOCK	B	9,982.20	0.00	17.00	-17.00	90.0	-17.00
07/14/2017	QGW035776 ZIMMERMAN, FRANCINE	WTS MYND ANALYTICS INC WARRANT	B	19.05	0.00	17.00	-17.00	90.0	-17.00
07/21/2017	QGW035834 CHAUDHRY, JAHANZEB	NABORS INDUSTRIES LTD	B	16,000.00	190.00	17.00	173.00	90.0	154.00
07/25/2017	QGW035842 SZABO, JOSEPH	MANHATTAN BRDG CAP INC COM	S	-19,031.40	189.00	17.00	172.00	90.0	153.10
07/06/2017	QGW035917 BOVE, DOMINICK	SNAP INC CLASS A COMMON STOCK	S	-17,263.40	110.00	17.00	93.00	90.0	82.00
07/06/2017	QGW035917 BOVE, DOMINICK	TWITTER INC	B	36,794.00	295.00	17.00	278.00	90.0	248.50
07/21/2017	QGW035917 BOVE, DOMINICK	PENTAIR PLC SHS	B	32,500.00	240.00	17.00	223.00	90.0	199.00
07/07/2017	QGW035982 PANASUK, JOHN	OPKO HEALTH INC	B	19,500.00	210.00	17.00	193.00	90.0	172.00
07/21/2017	QGW035982 PANASUK, JOHN	COHERUS BIOSCIENCES INC COM	B	18,000.00	225.00	17.00	208.00	90.0	185.50
07/21/2017	QGW035982 PANASUK, JOHN	JD.COM INC SPONSORED ADR REPSTG COM S	S	-41,000.00	220.00	17.00	203.00	90.0	181.00
07/21/2017	QGW036030 BALLO, CATHERINE	NABORS INDUSTRIES LTD	B	16,000.00	220.00	17.00	203.00	90.0	181.00
07/21/2017	QGW036048 FITZPATRICK, BARRY	COHERUS BIOSCIENCES INC COM	B	15,000.00	195.00	17.00	178.00	90.0	158.50

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
07/21/2017	QGW036048	FITZPATRICK, BARRY	NABORS INDUSTRIES LTD	B	16,000.00	220.00	17.00	203.00	90.0	181.00
07/05/2017	QGW036121	CZACHOR, MICHAEL	NEW YORK REIT INC COM	S	-8,593.80	81.00	17.00	64.00	90.0	55.90
07/21/2017	QGW036121	CZACHOR, MICHAEL	NABORS INDUSTRIES LTD	B	8,000.00	130.00	17.00	113.00	90.0	100.00
07/24/2017	QGW036121	CZACHOR, MICHAEL	ALCOA CORPORATION COMMON STOCK	S	-2,418.47	45.00	17.00	28.00	90.0	23.50
07/24/2017	QGW036121	CZACHOR, MICHAEL	MANHATTAN BRDG CAP INC COM	S	-5,604.50	81.00	17.00	64.00	90.0	55.90
07/25/2017	QGW036154	CALLAHAN, PATRICK	PAYPAL HOLDINGS INC COM	S	-22,023.75	214.00	17.00	197.00	90.0	175.60
07/05/2017	QGW036311	FAST, KENNETH	TWITTER INC	B	14,238.24	135.00	17.00	118.00	90.0	104.50
07/20/2017	QGW036329	WOLF, KIM	NABORS INDUSTRIES LTD	B	18,000.00	240.00	17.00	223.00	90.0	199.00
07/21/2017	QGW036329	WOLF, KIM	COHERUS BIOSCIENCES INC COM	B	15,000.00	190.00	17.00	173.00	90.0	154.00
07/21/2017	QGW036345	GALATI, VINCENT	NABORS INDUSTRIES LTD	B	9,600.00	140.00	17.00	123.00	90.0	109.00
07/21/2017	QGW036899	SARLO, JESSICA	NABORS INDUSTRIES LTD	B	16,000.00	285.00	17.00	268.00	90.0	239.50
07/21/2017	QGW037087	01/19/2016, U/A	NABORS INDUSTRIES LTD	B	22,400.00	310.00	17.00	293.00	90.0	262.00
* STOCKS SUBTOTAL *					\$355,885.67	\$8,078.49	\$884.00	\$7,194.49		\$6,387.37

PRODUCT CATEGORY: OPTIONS

07/07/2017	QGW031783	JACKSON, FRANK	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-1,000.00	99.00	22.00	77.00	90.0	67.10
07/24/2017	QGW031783	JACKSON, FRANK	CALL 100 TWITTER INC COM EXP 09-15-	S	-850.00	86.00	19.50	66.50	90.0	57.90
07/03/2017	QGW034415	CHAIMOVITCH, BERNARD	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-1,000.00	99.00	22.00	77.00	90.0	67.10
07/06/2017	QGW034415	CHAIMOVITCH, BERNARD	CALL 100 BANK AMER CORP COM EXP 08-	S	-190.00	20.00	19.50	0.50	90.0	0.50
07/25/2017	QGW034415	CHAIMOVITCH, BERNARD	CALL 100 NABORS INDS LTD SHS ISIN#B	S	-240.00	29.00	19.00	10.00	90.0	7.10
07/24/2017	QGW035040	THUILOT, CHARLES	CALL 100 TWITTER INC COM EXP 09-15-	S	-1,275.00	99.00	20.75	78.25	90.0	68.35
07/24/2017	QGW035040	THUILOT, CHARLES	PUT 100 OPKO HEALTH INC COM EXP 09-	S	-600.00	55.00	22.00	33.00	90.0	27.50
07/24/2017	QGW035040	THUILOT, CHARLES	PUT 100 POTASH CORP OF SASKATCHEWAN	S	-540.00	59.00	22.00	37.00	90.0	31.10
07/28/2017	QGW035040	THUILOT, CHARLES	CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-540.00	39.00	22.00	17.00	90.0	13.10
07/26/2017	QGW035123	BOWLEY, KEVIN	CALL 100 SIRIUS XM HLDGS INC COM EX	S	-280.00	31.00	22.00	9.00	90.0	5.90
07/10/2017	QGW035180	PUE, MARYANN	PUT 100 SNAP INC CL A EXP 08-18-17@	S	-945.00	99.00	19.25	79.75	90.0	69.85
07/10/2017	QGW035180	PUE, MARYANN	PUT 100 TWITTER INC COM EXP 09-15-1	S	-1,000.00	99.00	19.00	80.00	90.0	70.10
07/03/2017	QGW035198	WULFF, HANS	CALL 100 TWITTER INC COM EXP 08-18-	S	-430.00	44.00	19.50	24.50	90.0	20.10
07/05/2017	QGW035198	WULFF, HANS	CALL 100 BANK AMER CORP COM EXP 08-	S	-180.00	26.00	19.50	6.50	90.0	3.90
07/05/2017	QGW035198	WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-900.00	90.00	22.00	68.00	90.0	59.00
07/25/2017	QGW035198	WULFF, HANS	CALL 100 TRANSOCEAN LTD REG SHS ISI	S	-240.00	39.00	22.00	17.00	90.0	13.10
07/25/2017	QGW035198	WULFF, HANS	PUT 100 POTASH CORP OF SASKATCHEWAN	S	-720.00	75.00	22.00	53.00	90.0	45.50
07/10/2017	QGW035206	SKAHILL, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 10-	S	-1,100.00	99.00	22.00	77.00	90.0	67.10
07/06/2017	QGW035222	BIANCO, BARBARA	PUT 100 SNAP INC CL A EXP 08-18-17@	S	-1,035.00	99.00	19.25	79.75	90.0	69.85
05/11/2017	QGW035248	BELZ, ERIC	PUT 100 NABORS INDS LTD SHS ISIN#BM	S	1,300.00	-99.00	-22.00	-77.00	90.0	-67.10 C
05/11/2017	QGW035248	BELZ, ERIC	PUT 100 NABORS INDS LTD SHS ISIN#BM	S	-1,300.00	99.00	22.00	77.00	90.0	67.10
07/24/2017	QGW035248	BELZ, ERIC	PUT 100 POTASH CORP OF SASKATCHEWAN	S	-600.00	63.00	22.00	41.00	90.0	34.70
07/25/2017	QGW035248	BELZ, ERIC	CALL 100 TWITTER INC COM EXP 09-15-	S	-1,200.00	99.00	20.75	78.25	90.0	68.35
07/06/2017	QGW035255	BROCHARD, MARIA	CALL 100 BANK AMER CORP COM EXP 08-	S	-285.00	28.00	20.75	7.25	90.0	4.45
07/25/2017	QGW035347	MODUGNO, ROCCO	CALL 100 COHERUS BIOSCIENCES INC CO	S	-150.00	9.90	17.25	-7.35	90.0	-7.35

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/03/2017	QGW035388	FAY, WALTER	CALL 100 ATLAS AIR WORLDWIDE HLDGS S	-910.00	95.00	18.75	76.25	90.0	66.75
07/05/2017	QGW035388	FAY, WALTER	PUT 100 OPKO HEALTH INC COM EXP 08- S	-600.00	61.00	22.00	39.00	90.0	32.90
07/25/2017	QGW035388	FAY, WALTER	CALL 100 NABORS INDS LTD SHS ISIN#B S	-680.00	68.00	22.00	46.00	90.0	39.20
07/11/2017	QGW035404	RICCA, MARIA	PUT 100 TWITTER INC COM EXP 08-18-1 S	-548.00	48.00	18.00	30.00	90.0	25.20
07/03/2017	QGW035412	AMENITA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 10- S	-200.00	19.80	18.00	1.80	90.0	1.80
07/06/2017	QGW035412	AMENITA, PATRICIA	PUT 100 NABORS INDS LTD SHS ISIN#BM S	-306.00	35.00	18.50	16.50	90.0	13.00
07/07/2017	QGW035412	AMENITA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 10- S	-800.00	79.20	21.00	58.20	90.0	50.28
07/25/2017	QGW035412	AMENITA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-260.00	30.00	22.00	8.00	90.0	5.00
07/11/2017	QGW035487	O'BRIEN, THOMAS	PUT 100 TWITTER INC COM EXP 09-15-1 S	-535.00	50.00	18.25	31.75	90.0	26.75
06/30/2017	QGW035511	LYDEN, MARY	CALL 100 TWITTER INC COM EXP 08-18- S	-420.00	43.00	19.50	23.50	90.0	19.20
07/25/2017	QGW035511	LYDEN, MARY	CALL 100 NABORS INDS LTD SHS ISIN#B S	-960.00	99.00	24.50	74.50	90.0	64.60
07/25/2017	QGW035537	LYDEN, MARY	PUT 100 POTASH CORP OF SASKATCHEWAN S	-740.00	75.00	22.00	53.00	90.0	45.50
05/11/2017	QGW035545	KMIECIAK, INES	PUT 100 NABORS INDS LTD SHS ISIN#BM S	1,140.00	-99.00	-22.00	-77.00	90.0	-67.10 C
05/11/2017	QGW035545	KMIECIAK, INES	PUT 100 NABORS INDS LTD SHS ISIN#BM S	-1,140.00	99.00	22.00	77.00	90.0	67.10
07/25/2017	QGW035545	KMIECIAK, INES	CALL 100 TWITTER INC COM EXP 09-15- S	-1,704.00	99.00	23.00	76.00	90.0	66.10
07/25/2017	QGW035545	KMIECIAK, INES	PUT 100 POTASH CORP OF SASKATCHEWAN S	-560.00	54.00	22.00	32.00	90.0	26.60
07/25/2017	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100 TWITTER INC COM EXP 09-15- S	-1,125.00	99.00	20.75	78.25	90.0	68.35
07/25/2017	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	-330.00	36.00	19.75	16.25	90.0	12.65
07/26/2017	QGW035560	AMENITA, FREDERICK	CALL 100 SIRIUS XM HLDGS INC COM EX S	-420.00	49.00	24.50	24.50	90.0	19.60
07/05/2017	QGW035594	DUSATKO, ANNE	CALL 100 SPRINT CORP COM SER 1 EXP S	-510.00	52.00	24.50	27.50	90.0	22.30
07/06/2017	QGW035594	DUSATKO, ANNE	CALL 100 BANK AMER CORP COM EXP 08- S	-285.00	28.00	20.75	7.25	90.0	4.45
07/25/2017	QGW035594	DUSATKO, ANNE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-680.00	69.00	22.00	47.00	90.0	40.10
07/24/2017	QGW035610	DAVIS, VICTORIA	PUT 100 POTASH CORP OF SASKATCHEWAN S	-480.00	49.00	20.00	29.00	90.0	24.10
05/12/2017	QGW035644	MONGELUZZI, LINDA	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-750.00	78.00	19.50	58.50	90.0	50.70
07/24/2017	QGW035644	MONGELUZZI, LINDA	PUT 100 POTASH CORP OF SASKATCHEWAN S	-405.00	45.00	20.75	24.25	90.0	19.75
07/25/2017	QGW035644	MONGELUZZI, LINDA	CALL 100 TWITTER INC COM EXP 09-15- S	-1,800.00	99.00	23.25	75.75	90.0	65.85
07/25/2017	QGW035685	EICHENHOLZ, SONDR	CALL 100 TWITTER INC COM EXP 09-15- S	-888.00	91.00	20.00	71.00	90.0	61.90
07/03/2017	QGW035693	MASSA, WILLIAM	CALL 100 NABORS INDS LTD SHS ISIN#B S	-500.00	52.00	22.00	30.00	90.0	24.80
07/03/2017	QGW035693	MASSA, WILLIAM	CALL 100 NABORS INDS LTD SHS ISIN#B S	500.00	-52.00	-22.00	-30.00	90.0	-24.80 C
07/28/2017	QGW035701	SZARKA, MICHAEL	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-324.00	30.00	20.00	10.00	90.0	7.00
07/25/2017	QGW035719	FAMILY 2011	CALL 100 NABORS INDS LTD SHS ISIN#B S	-640.00	65.00	22.00	43.00	90.0	36.50
07/03/2017	QGW035727	HERDTER, CYNTHIA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-286.00	19.00	19.75	-0.75	90.0	-0.75
07/26/2017	QGW035727	HERDTER, CYNTHIA	CALL 100 SIRIUS XM HLDGS INC COM EX S	-420.00	51.00	24.50	26.50	90.0	21.40
07/10/2017	QGW035735	STELLATO, MICHAEL	PUT 100 SNAP INC CL A EXP 08-18-17@ S	-530.00	49.00	18.25	30.75	90.0	25.85
07/10/2017	QGW035735	STELLATO, MICHAEL	PUT 100 TWITTER INC COM EXP 09-15-1 S	-1,270.00	99.00	19.50	79.50	90.0	69.60
07/28/2017	QGW035735	STELLATO, MICHAEL	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-540.00	49.00	22.00	27.00	90.0	22.10
05/10/2017	QGW035768	MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	640.00	-62.00	-22.00	-40.00	90.0	-33.80 C
05/10/2017	QGW035768	MASSA, PATRICIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-640.00	62.00	22.00	40.00	90.0	33.80
05/12/2017	QGW035768	MASSA, PATRICIA	PUT 100 BANK AMER CORP COM EXP 06-0 S	-630.00	62.00	20.75	41.25	90.0	35.05
05/12/2017	QGW035768	MASSA, PATRICIA	PUT 100 NORWEGIAN CRUISE LINE HLDGS S	-750.00	77.00	19.50	57.50	90.0	49.80

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/03/2017	QGW035768	MASSA, PATRICIA	PUT 100 SNAP INC CL A EXP 08-18-17@ S	-1,125.00	99.00	20.75	78.25	90.0	68.35
07/05/2017	QGW035768	MASSA, PATRICIA	PUT 100 OPKO HEALTH INC COM EXP 08- S	-750.00	71.00	23.25	47.75	90.0	40.65
07/07/2017	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 10- S	-1,000.00	99.00	22.00	77.00	90.0	67.10
07/11/2017	QGW035768	MASSA, PATRICIA	PUT 100 BANK AMER CORP COM EXP 07-2 S	-800.00	81.00	22.00	59.00	90.0	50.90
07/28/2017	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 08 S	-400.00	45.00	27.00	18.00	90.0	13.50
07/28/2017	QGW035768	MASSA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-1,500.00	99.00	20.75	78.25	90.0	68.35
07/24/2017	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 POTASH CORP OF SASKATCHEWAN S	-560.00	58.00	22.00	36.00	90.0	30.20
07/25/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-540.00	55.00	22.00	33.00	90.0	27.50
07/26/2017	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-720.00	75.00	22.00	53.00	90.0	45.50
07/03/2017	QGW035784	SHEEHAN, DAVID	CALL 100 ATLAS AIR WORLDWIDE HLDGS S	-625.00	62.00	18.25	43.75	90.0	37.55
07/06/2017	QGW035792	NOLTE, DONNA	CALL 100 BANK AMER CORP COM EXP 08- S	-200.00	31.00	19.50	11.50	90.0	8.40
07/10/2017	QGW035792	NOLTE, DONNA	CALL 100 VUZIX CORP COM NEW EXP 10- S	-1,100.00	99.00	22.00	77.00	90.0	67.10
07/25/2017	QGW035834	CHAUDHRY, JAHANZEB	CALL 100 NABORS INDS LTD SHS ISIN#B S	-360.00	39.00	22.00	17.00	90.0	13.10
07/24/2017	QGW035917	BOVE, DOMINICK	PUT 100 POTASH CORP OF SASKATCHEWAN S	-560.00	60.00	22.00	38.00	90.0	32.00
07/25/2017	QGW035917	BOVE, DOMINICK	CALL 100 TWITTER INC COM EXP 08-18- S	-1,680.00	99.00	22.00	77.00	90.0	67.10
07/03/2017	QGW035982	PANASUK, JOHN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-360.00	42.00	27.00	15.00	90.0	10.80
07/24/2017	QGW035982	PANASUK, JOHN	PUT 100 POTASH CORP OF SASKATCHEWAN S	-540.00	58.00	22.00	36.00	90.0	30.20
07/25/2017	QGW035982	PANASUK, JOHN	CALL 100 TWITTER INC COM EXP 08-18- S	-1,925.00	99.00	23.25	75.75	90.0	65.85
07/03/2017	QGW036006	WALLNER, ERIC	CALL 100 ATLAS AIR WORLDWIDE HLDGS S	-260.00	29.00	17.50	11.50	90.0	8.60
07/03/2017	QGW036014	TUNJIAN, CRAIG	PUT 100 SNAP INC CL A EXP 08-18-17@ S	-920.00	81.00	19.00	62.00	90.0	53.90
07/28/2017	QGW036014	TUNJIAN, CRAIG	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-540.00	51.00	22.00	29.00	90.0	23.90
07/03/2017	QGW036030	BALLO, CATHERINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-360.00	41.00	27.00	14.00	90.0	9.90
07/06/2017	QGW036030	BALLO, CATHERINE	PUT 100 TWITTER INC COM EXP 09-15-1 S	-1,240.00	99.00	19.50	79.50	90.0	69.60
07/07/2017	QGW036030	BALLO, CATHERINE	CALL 100 VUZIX CORP COM NEW EXP 08- S	-105.00	10.65	17.75	-7.10	90.0	-7.10
07/25/2017	QGW036030	BALLO, CATHERINE	CALL 100 NABORS INDS LTD SHS ISIN#B S	-660.00	68.00	22.00	46.00	90.0	39.20
07/25/2017	QGW036048	FITZPATRICK, BARRY	CALL 100 NABORS INDS LTD SHS ISIN#B S	-640.00	68.00	22.00	46.00	90.0	39.20
07/03/2017	QGW036055	MATACOTTA, CORINNA	CALL 100 TWITTER INC COM EXP 08-18- S	-370.00	38.00	19.50	18.50	90.0	14.70
07/24/2017	QGW036055	MATACOTTA, CORINNA	CALL 100 TWITTER INC COM EXP 09-15- B	850.00	99.00	19.50	79.50	90.0	69.60
06/30/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 TWITTER INC COM EXP 08-18- S	-344.00	34.85	19.00	15.85	90.0	12.37
07/06/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 TWITTER INC COM EXP 08-18- S	-258.00	26.13	18.50	7.63	90.0	5.02
07/26/2017	QGW036071	HENNEMANN, DENNIS	CALL 100 SIRIUS XM HLDGS INC COM EX S	-420.00	51.00	24.50	26.50	90.0	21.40
07/06/2017	QGW036105	ARDOLINO, SUSAN	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-200.00	30.00	18.00	12.00	90.0	9.00
07/25/2017	QGW036121	CZACHOR, MICHAEL	CALL 100 NABORS INDS LTD SHS ISIN#B S	-330.00	35.00	19.50	15.50	90.0	12.00
07/27/2017	QGW036154	CALLAHAN, PATRICK	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-184.00	31.00	18.00	13.00	90.0	9.90
07/25/2017	QGW036279	MIKO, ARTHUR	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-513.00	53.00	21.75	31.25	90.0	25.95
07/19/2017	QGW036287	DEGRANDIS, JOHN	PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-750.00	77.00	20.75	56.25	90.0	48.55
07/05/2017	QGW036311	FAST, KENNETH	PUT 100 NABORS INDS LTD SHS ISIN#BM S	-840.00	82.00	19.50	62.50	90.0	54.30
07/05/2017	QGW036311	FAST, KENNETH	PUT 100 TRANSOCEAN LTD REG SHS ISIN S	-490.00	50.00	19.50	30.50	90.0	25.50
07/05/2017	QGW036311	FAST, KENNETH	PUT 100 TWITTER INC COM EXP 09-15-1 S	-2,240.00	99.00	19.50	79.50	90.0	69.60
07/06/2017	QGW036311	FAST, KENNETH	PUT 100 SNAP INC CL A EXP 08-18-17@ S	-1,150.00	99.00	19.50	79.50	90.0	69.60

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/25/2017	QGW036329	WOLF, KIM		CALL 100 NABORS INDS LTD SHS ISIN#B S	-640.00	64.00	22.00	42.00	90.0 35.60
07/25/2017	QGW036329	WOLF, KIM		CALL 100 SIRIUS XM HLDGS INC COM EX S	-70.00	7.60	18.25	-10.65	90.0 -10.65
07/25/2017	QGW036329	WOLF, KIM		PUT 100 POTASH CORP OF SASKATCHEWAN S	-740.00	65.00	22.00	43.00	90.0 36.50
07/26/2017	QGW036329	WOLF, KIM		CALL 100 SIRIUS XM HLDGS INC COM EX S	-280.00	30.40	22.00	8.40	90.0 5.36
07/26/2017	QGW036329	WOLF, KIM		CALL 100 TRANSOCEAN LTD REG SHS ISI S	-240.00	38.00	22.00	16.00	90.0 12.20
07/11/2017	QGW036337	FARREN, JOSEPHINE		CALL 100 TWITTER INC COM EXP 09-15- S	-312.00	38.00	18.50	19.50	90.0 15.70
07/19/2017	QGW036337	FARREN, JOSEPHINE		PUT 100 VUZIX CORP COM NEW EXP 10-2 S	-200.00	28.00	18.00	10.00	90.0 7.20
07/25/2017	QGW036345	GALATI, VINCENT		CALL 100 NABORS INDS LTD SHS ISIN#B S	-384.00	39.00	20.00	19.00	90.0 15.10
07/03/2017	QGW036386	FRANCK, RACHEL		CALL 100 TWITTER INC COM EXP 08-18- S	-370.00	38.00	19.50	18.50	90.0 14.70
07/28/2017	QGW036394	LIPANI, CHRISTINE		CALL 100 TRANSOCEAN LTD REG SHS ISI S	-378.00	32.00	20.50	11.50	90.0 8.30
06/30/2017	QGW036899	SARLO, JESSICA		PUT 100 NABORS INDS LTD SHS ISIN#BM S	-900.00	90.00	22.00	68.00	90.0 59.00
07/25/2017	QGW036899	SARLO, JESSICA		CALL 100 NABORS INDS LTD SHS ISIN#B S	-620.00	65.00	22.00	43.00	90.0 36.50
07/06/2017	QGW036956	BROCHARD, THOMAS		PUT 100 TWITTER INC COM EXP 09-15-1 S	-1,800.00	99.00	19.50	79.50	90.0 69.60
07/25/2017	QGW037087	01/19/2016, U/A		CALL 100 NABORS INDS LTD SHS ISIN#B S	-868.00	91.00	24.00	67.00	90.0 57.90
				* OPTIONS SUBTOTAL *	\$-72,097.00	\$6,786.53	\$2,321.25	\$4,465.28	\$3,795.33

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2017	00053700704	GRIFFIN, STEFANI		AMCAP FUND-A	B	0.00	55.02	0.00	55.02	90.0 49.52
06/01/2017	00056682995	ROBBINS, JAMES		AMCAP FUND-A	B	0.00	27.16	0.00	27.16	90.0 24.44
06/01/2017	00056682996	ROBBINS, JANET		AMCAP FUND-A	B	0.00	1.43	0.00	1.43	90.0 1.29
06/01/2017	00056723599	RICCA, MARIA		THE BOND FUND OF AMERICA-A	B	0.00	10.82	0.00	10.82	90.0 9.74
06/01/2017	00056956375	FERRADO, MICHAEL		AMCAP FUND-A	B	0.00	10.76	0.00	10.76	90.0 9.68
06/01/2017	00057513163	MILLER, DIANNE		AMCAP FUND-A	B	0.00	71.72	0.00	71.72	90.0 64.55
06/01/2017	00058583141	TREZZA, JOANN		AMCAP FUND-A	B	0.00	79.66	0.00	79.66	90.0 71.69
06/01/2017	00059115258	TRINLER, DONNA		AMCAP FUND-A	B	0.00	91.22	0.00	91.22	90.0 82.10
06/01/2017	00059611396	KOWAL, WALTER		AMCAP FUND-A	B	0.00	6.12	0.00	6.12	90.0 5.51
06/01/2017	00059888150	TREZZA, JOANN		AMCAP FUND-A	B	0.00	71.44	0.00	71.44	90.0 64.30
06/01/2017	00061323101	MAUCELI, PAUL		AMCAP FUND-A	B	0.00	14.63	0.00	14.63	90.0 13.17
06/01/2017	00061841289	ALOISIO, LISA		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.62	0.00	3.62	90.0 3.26
06/01/2017	00061842239	SCHENK, STEVEN		AMCAP FUND-A	B	0.00	17.40	0.00	17.40	90.0 15.66
06/01/2017	00062493467	RICCA, MARIA		THE BOND FUND OF AMERICA-A	B	0.00	2.38	0.00	2.38	90.0 2.14
06/01/2017	00063004460	SCHENK, STEVEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	29.15	0.00	29.15	90.0 26.24
06/01/2017	00063313715	FUSCO, CAROL		AMCAP FUND-A	B	0.00	16.30	0.00	16.30	90.0 14.67
06/01/2017	00064006832	TRINLER, DONNA		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	42.10	0.00	42.10	90.0 37.89
06/01/2017	00064137468	RICCA, MARIA		THE BOND FUND OF AMERICA-A	B	0.00	10.66	0.00	10.66	90.0 9.59
06/01/2017	00064418411	RIVERA, JANICE		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.50	0.00	2.50	90.0 2.25
06/01/2017	00064802351	SCHENK, ERIC		THE GROWTH FUND OF AMERICA-A	B	0.00	18.12	0.00	18.12	90.0 16.31
06/01/2017	00064818808	SCHENK, ERIC		AMCAP FUND-A	B	0.00	11.10	0.00	11.10	90.0 9.99
06/01/2017	00064857417	JT TEN		THE GROWTH FUND OF AMERICA-A	B	0.00	9.63	0.00	9.63	90.0 8.67
06/01/2017	00064888459	FL/TOD		THE GROWTH FUND OF AMERICA-A	B	0.00	8.76	0.00	8.76	90.0 7.88

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00065040606	CONWAY, THOMAS	AMCAP FUND-A	B	0.00	30.32	0.00	30.32	90.0 27.29
06/01/2017	00066734344	CONWAY, KIMBERLY	AMCAP FUND-A	B	0.00	51.24	0.00	51.24	90.0 46.12
06/01/2017	00066867117	MAUCELI, PAUL	THE GROWTH FUND OF AMERICA-A	B	0.00	7.73	0.00	7.73	90.0 6.96
06/01/2017	00067171812	CONWAY, THOMAS	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	26.50	0.00	26.50	90.0 23.85
06/01/2017	00068558102	MONGIELLO, JERRI	AMCAP FUND-A	B	0.00	7.80	0.00	7.80	90.0 7.02
06/01/2017	00068558102	MONGIELLO, JERRI	AMERICAN BALANCED FUND-A	B	0.00	59.23	0.00	59.23	90.0 53.31
06/01/2017	00068698821	RIVERA, JANICE	AMCAP FUND-A	B	0.00	4.25	0.00	4.25	90.0 3.83
06/01/2017	00069108583	LAROSA, LAURA	AMERICAN BALANCED FUND INC CL F1	B	0.00	1.97	0.00	1.97	90.0 1.77
06/01/2017	00069108583	LAROSA, LAURA	CAPITAL INCOME BUILDER FD CL F-1	B	0.00	3.21	0.00	3.21	90.0 2.89
06/01/2017	00069108583	LAROSA, LAURA	CAPITAL WORLD BOND FUND CL F1	B	0.00	0.90	0.00	0.90	90.0 0.81
06/01/2017	00069108583	LAROSA, LAURA	GROWTH FUND OF AMERICA CLASS F	B	0.00	2.44	0.00	2.44	90.0 2.20
06/01/2017	00069108583	LAROSA, LAURA	NEW PERSPECTIVE FUND INC CL F1	B	0.00	2.53	0.00	2.53	90.0 2.28
06/01/2017	00069108583	LAROSA, LAURA	WASHINGTON MUTUAL INVESTORS FUND-F1	B	0.00	2.71	0.00	2.71	90.0 2.44
06/01/2017	00069266246	WILLIAMS, ALYSSA	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	2.73	0.00	2.73	90.0 2.46
06/01/2017	00069266246	WILLIAMS, ALYSSA	THE INCOME FUND OF AMERICA-529C	B	0.00	1.90	0.00	1.90	90.0 1.71
06/01/2017	00073762934	GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	3.45	0.00	3.45	90.0 3.11
06/01/2017	00073828945	GRIFFIN, STEFANI	AMCAP FUND-A	B	0.00	1.93	0.00	1.93	90.0 1.74
06/01/2017	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-A	B	0.00	29.37	0.00	29.37	90.0 26.43
06/01/2017	00075280585	MILLER, SAMANTHA	AMERICAN BALANCED FUND-B	B	0.00	0.22	0.00	0.22	90.0 0.20
06/01/2017	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-A	B	0.00	23.48	0.00	23.48	90.0 21.13
06/01/2017	00075280585	MILLER, SAMANTHA	THE GROWTH FUND OF AMERICA-B	B	0.00	0.12	0.00	0.12	90.0 0.11
06/01/2017	00075362368	DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	5.84	0.00	5.84	90.0 5.26
06/01/2017	00081397372	BALTRUSAITIS, ALGY	AMCAP FUND-A	B	0.00	33.08	0.00	33.08	90.0 29.77
06/01/2017	00081397372	BALTRUSAITIS, ALGY	THE GROWTH FUND OF AMERICA-A	B	0.00	10.61	0.00	10.61	90.0 9.55
06/01/2017	00081397372	BALTRUSAITIS, ALGY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	53.40	0.00	53.40	90.0 48.06
06/01/2017	00082094062	DIGRAZIANO,	AMCAP FUND-A	B	0.00	2.43	0.00	2.43	90.0 2.19
06/01/2017	00082474305	CIRNIGLIARO,	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	60.28	0.00	60.28	90.0 54.25
06/01/2017	00082474305	CIRNIGLIARO,	THE GROWTH FUND OF AMERICA-C	B	0.00	25.87	0.00	25.87	90.0 23.28
06/01/2017	00082575128	DEGRANDIS, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	57.67	0.00	57.67	90.0 51.90
06/01/2017	00082575128	DEGRANDIS, JOHN	THE GROWTH FUND OF AMERICA-C	B	0.00	24.43	0.00	24.43	90.0 21.99
06/01/2017	00083557278	PETTIT, SAMUEL	AMERICAN BALANCED FUND-529A	B	0.00	12.14	0.00	12.14	90.0 10.93
06/01/2017	00083557278	PETTIT, SAMUEL	AMERICAN BALANCED FUND-529B	B	0.00	0.13	0.00	0.13	90.0 0.12
06/01/2017	00083568398	PETTIT, MARIA	AMERICAN BALANCED FUND-A	B	0.00	4.68	0.00	4.68	90.0 4.21
06/01/2017	00084040075	WILLIAMS, ALYSSA	GROWTH AND INCOME PORTFOLIO-C	B	0.00	2.76	0.00	2.76	90.0 2.48
06/01/2017	00084040075	WILLIAMS, ALYSSA	GROWTH PORTFOLIO-C	B	0.00	10.38	0.00	10.38	90.0 9.34
06/01/2017	00084330892	DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	2.01	0.00	2.01	90.0 1.81
06/01/2017	00086766383	DIGRAZIANO,	THE GROWTH FUND OF AMERICA-A	B	0.00	1.67	0.00	1.67	90.0 1.50
06/01/2017	00088094822	WILLIAMS, ALYSSA	GLOBAL BALANCED FUND-C	B	0.00	3.17	0.00	3.17	90.0 2.85
07/03/2017	00098759797	UNIF GIFTS TO M/A,	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	2.56	0.00	2.56	90.0 2.30
07/03/2017	00105206169	UNIF TRANSFER TO	PUTNAM 746802800	B	0.00	8.84	0.00	8.84	90.0 7.96

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2017	00134841794	UNIF GIFTS TO M/A, PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	5.01	0.00	5.01	90.0	4.51
07/03/2017	00245463010	PLAN PUTNAM 746802800	B	0.00	10.87	0.00	10.87	90.0	9.78
07/03/2017	00286415665	UNIF TRANSFER TO PUTNAM 746802800	B	0.00	5.62	0.00	5.62	90.0	5.06
07/03/2017	00287590977	SMITH, SUSAN PUTNAM 746745108	B	0.00	7.25	0.00	7.25	90.0	6.53
07/03/2017	00287590977	SMITH, SUSAN PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	5.82	0.00	5.82	90.0	5.24
07/03/2017	00318936766	PLAN PUTNAM 746745108	B	0.00	1.98	0.00	1.98	90.0	1.78
07/03/2017	00318936766	PLAN PUTNAM 746802800	B	0.00	3.11	0.00	3.11	90.0	2.80
07/03/2017	00319545424	PLAN PUTNAM 746802800	B	0.00	1.68	0.00	1.68	90.0	1.51
07/03/2017	00319572382	ROBERTS, KATHLEEN PUTNAM 746802800	B	0.00	2.58	0.00	2.58	90.0	2.32
07/03/2017	00319572382	ROBERTS, KATHLEEN PUTNAM 746802610	B	0.00	14.67	0.00	14.67	90.0	13.20
07/03/2017	00319669457	PLAN PUTNAM 746802800	B	0.00	1.68	0.00	1.68	90.0	1.51
07/03/2017	00320360538	PLAN PUTNAM 746745108	B	0.00	2.68	0.00	2.68	90.0	2.41
07/03/2017	00320360538	PLAN PUTNAM 746802800	B	0.00	5.34	0.00	5.34	90.0	4.81
07/03/2017	00320360538	PLAN PUTNAM SMALL CAP GROWTH FUND CLASS B		0.00	7.99	0.00	7.99	90.0	7.19
07/03/2017	00335992306	PLAN PUTNAM 746745108	B	0.00	6.10	0.00	6.10	90.0	5.49
07/03/2017	00335992306	PLAN PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	4.90	0.00	4.90	90.0	4.41
07/03/2017	00360735943	PLAN PUTNAM 746745108	B	0.00	4.68	0.00	4.68	90.0	4.21
07/03/2017	00360735943	PLAN PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	3.76	0.00	3.76	90.0	3.38
07/03/2017	00367969532	PLAN PUTNAM 746745108	B	0.00	12.07	0.00	12.07	90.0	10.86
07/03/2017	00367969532	PLAN PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	9.69	0.00	9.69	90.0	8.72
07/03/2017	00368317911	BURK, THOMAS PUTNAM 746745108	B	0.00	5.15	0.00	5.15	90.0	4.64
07/03/2017	00368317911	BURK, THOMAS PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	4.14	0.00	4.14	90.0	3.73
07/03/2017	00368317911	BURK, THOMAS PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	5.19	0.00	5.19	90.0	4.67
07/03/2017	00396636379	PLAN PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	33.06	0.00	33.06	90.0	29.75
07/03/2017	00411203654	PLAN PUTNAM 746802800	B	0.00	2.75	0.00	2.75	90.0	2.48
07/03/2017	00411203654	PLAN PUTNAM 746802511	B	0.00	0.64	0.00	0.64	90.0	0.58
07/03/2017	00413157823	PLAN PUTNAM 746745108	B	0.00	5.53	0.00	5.53	90.0	4.98
07/03/2017	00413157823	PLAN PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	4.44	0.00	4.44	90.0	4.00
07/03/2017	00415273419	SMITH, SUSAN PUTNAM 746745108	B	0.00	2.99	0.00	2.99	90.0	2.69
07/03/2017	00415273419	SMITH, SUSAN PUTNAM FUND FOR GROWTH & INCOME CLA B		0.00	2.40	0.00	2.40	90.0	2.16
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	0.00	1.03	0.00	1.03	90.0	0.93
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM 746802800	B	0.00	21.67	0.00	21.67	90.0	19.50
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM 746764778	B	0.00	5.03	0.00	5.03	90.0	4.53
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM 746933209	B	0.00	0.03	0.00	0.03	90.0	0.03
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A B		0.00	12.14	0.00	12.14	90.0	10.93
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL ENERGY FUND-B	B	0.00	0.57	0.00	0.57	90.0	0.51
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM GROWTH OPPORTUNITIES FUND CL B		0.00	6.26	0.00	6.26	90.0	5.63
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM SMALL CAP GROWTH FUND CLASS B		0.00	17.94	0.00	17.94	90.0	16.15
07/03/2017	00453533309	BURNS, KATHLEEN PUTNAM-746764109	B	0.00	5.32	0.00	5.32	90.0	4.79
07/03/2017	00472386210	UNIF TRANSFER TO PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	0.42	0.00	0.42	90.0	0.38

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/03/2017	00474344280	UNIF TRANSFER TO	PUTNAM	NEW OPPORTUNITIES FUND CLASS B	0.00	4.04	0.00	4.04	90.0	3.64
07/03/2017	00474585669	UNIF TRANSFER TO	PUTNAM	NEW OPPORTUNITIES FUND CLASS B	0.00	6.06	0.00	6.06	90.0	5.45
07/03/2017	00478663275	SABATELLO, RAYMOND	PUTNAM	746802206	B	0.00	12.00	0.00	12.00	10.80
07/03/2017	00480612324	SABATELLO, LAURA	PUTNAM	746802206	B	0.00	19.29	0.00	19.29	17.36
07/03/2017	00480612324	SABATELLO, LAURA	PUTNAM	746802602	B	0.00	2.92	0.00	2.92	2.63
07/03/2017	00481776153	UNIF TRANSFER TO	PUTNAM	NEW OPPORTUNITIES FUND CLASS B	0.00	3.08	0.00	3.08	90.0	2.77
07/03/2017	00484812643	PLAN, CONVERSION	PUTNAM	746745108	B	0.00	2.05	0.00	2.05	1.85
07/03/2017	00484812643	PLAN, CONVERSION	PUTNAM	FUND FOR GROWTH & INCOME CLA B	0.00	1.64	0.00	1.64	90.0	1.48
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	746745108	B	0.00	9.40	0.00	9.40	8.46
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	746802800	B	0.00	13.52	0.00	13.52	12.17
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	746761204	B	0.00	4.24	0.00	4.24	3.82
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	746745207	B	0.00	4.98	0.00	4.98	4.48
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	FUND FOR GROWTH & INCOME CLA B	0.00	7.26	0.00	7.26	90.0	6.53
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	GROWTH OPPORTUNITIES FUND CL B	0.00	2.86	0.00	2.86	90.0	2.57
07/03/2017	00487862253	PERUGINI, MILDRED	PUTNAM	NEW OPPORTUNITIES FUND CLASS B	0.00	7.39	0.00	7.39	90.0	6.65
07/03/2017	00488711560	LENTO, REGINA	PUTNAM	746444504	B	0.00	22.82	0.00	22.82	20.54
07/03/2017	00493247534	PLAN	PUTNAM	74680E101	B	0.00	16.00	0.00	16.00	14.40
07/03/2017	00493247534	PLAN	PUTNAM	746802800	B	0.00	4.60	0.00	4.60	4.14
07/03/2017	00501256730	KUNSCH, STEPHEN	PUTNAM	GROWTH OPPORTUNITIES FUND CL B	0.00	1.10	0.00	1.10	90.0	0.99
07/03/2017	00502267575	FARRELL, JESSICA	PUTNAM	746802859	B	0.00	1.96	0.00	1.96	1.76
07/03/2017	00502267575	FARRELL, JESSICA	PUTNAM	GLOBAL EQUITY FUND CLASS A	B	0.00	2.06	0.00	2.06	1.85
07/03/2017	00503977532	SCHAEFER, DOREEN	PUTNAM	746809102	B	0.00	0.03	0.00	0.03	0.03
07/03/2017	00503977532	SCHAEFER, DOREEN	PUTNAM	746809201	B	0.00	3.12	0.00	3.12	2.81
07/03/2017	00503977532	SCHAEFER, DOREEN	PUTNAM	GLOBAL EQUITY FUND CLASS A	B	0.00	0.05	0.00	0.05	0.05
07/03/2017	00503977532	SCHAEFER, DOREEN	PUTNAM	GLOBAL EQUITY FUND CLASS B	B	0.00	2.84	0.00	2.84	2.56
07/03/2017	00506008284	UNIF TRANSFER TO	PUTNAM	GROWTH OPPORTUNITIES FUND CL B	0.00	0.48	0.00	0.48	90.0	0.43
07/03/2017	00506086746	PLAN	PUTNAM	746745108	B	0.00	0.62	0.00	0.62	0.56
07/03/2017	00506086746	PLAN	PUTNAM	746802800	B	0.00	1.43	0.00	1.43	1.29
07/03/2017	00506086746	PLAN	PUTNAM	FUND FOR GROWTH & INCOME CLA B	0.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	00506367917	PLAN	PUTNAM	746745108	B	0.00	0.62	0.00	0.62	0.56
07/03/2017	00506367917	PLAN	PUTNAM	746802800	B	0.00	1.43	0.00	1.43	1.29
07/03/2017	00506367917	PLAN	PUTNAM	FUND FOR GROWTH & INCOME CLA B	0.00	0.50	0.00	0.50	90.0	0.45
07/03/2017	00508080539	PLAN	PUTNAM	746802800	B	0.00	9.50	0.00	9.50	8.55
07/03/2017	00511144520	PLAN, CONVERSION	PUTNAM	746745108	B	0.00	8.37	0.00	8.37	7.53
07/03/2017	00511144520	PLAN, CONVERSION	PUTNAM	74680E101	B	0.00	0.41	0.00	0.41	0.37
07/03/2017	00511144520	PLAN, CONVERSION	PUTNAM	746802800	B	0.00	3.61	0.00	3.61	3.25
07/03/2017	00511144520	PLAN, CONVERSION	PUTNAM	746802859	B	0.00	1.99	0.00	1.99	1.79
07/03/2017	00511144520	PLAN, CONVERSION	PUTNAM	FUND FOR GROWTH & INCOME CLA B	0.00	6.72	0.00	6.72	90.0	6.05
07/03/2017	00514953902	STELLATO, BRIAN	PUTNAM	746809102	B	0.00	7.29	0.00	7.29	6.56
07/03/2017	00514953902	STELLATO, BRIAN	PUTNAM	746809201	B	0.00	6.01	0.00	6.01	5.41

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/03/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	6.39	0.00	6.39	90.0	5.75
07/03/2017	00514953902	STELLATO, BRIAN	PUTNAM GLOBAL EQUITY FUND CLASS B	B	0.00	4.99	0.00	4.99	90.0	4.49
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	0.00	1.68	0.00	1.68	90.0	1.51
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM 746802800	B	0.00	6.28	0.00	6.28	90.0	5.65
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM 746764778	B	0.00	9.13	0.00	9.13	90.0	8.22
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	0.00	12.29	0.00	12.29	90.0	11.06
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL NAT RESOURCES-C	B	0.00	0.96	0.00	0.96	90.0	0.86
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM GLOBAL ENERGY FUND-C	B	0.00	5.46	0.00	5.46	90.0	4.91
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM GROWTH OPPORTUNITIES FUND CL B	B	0.00	35.48	0.00	35.48	90.0	31.93
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	0.00	12.65	0.00	12.65	90.0	11.39
07/03/2017	00515030771	ONORATO, LAURA	PUTNAM-ONORALAURA	B	0.00	20.72	0.00	20.72	90.0	18.65
07/03/2017	00515668124	PLAN	PUTNAM 746802610	B	0.00	38.17	0.00	38.17	90.0	34.35
07/03/2017	00516909708	PLAN	PUTNAM 746802610	B	0.00	4.16	0.00	4.16	90.0	3.74
07/03/2017	00517721522	ZIMMERMAN,	PUTNAM 746802610	B	0.00	21.33	0.00	21.33	90.0	19.20
07/03/2017	00517721522	ZIMMERMAN,	PUTNAM-ZIMMEFRAN	B	0.00	14.26	0.00	14.26	90.0	12.83
07/03/2017	00550225488	COLEMAN, EDWARD	PUTNAM 746933100	B	0.00	0.82	0.00	0.82	90.0	0.74
07/03/2017	00550225488	COLEMAN, EDWARD	PUTNAM 74680E101	B	0.00	1.57	0.00	1.57	90.0	1.41
07/03/2017	00550225488	COLEMAN, EDWARD	PUTNAM 746802800	B	0.00	7.80	0.00	7.80	90.0	7.02
07/03/2017	00550225488	COLEMAN, EDWARD	PUTNAM 746802859	B	0.00	3.55	0.00	3.55	90.0	3.20
07/03/2017	00550225488	COLEMAN, EDWARD	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	0.00	8.99	0.00	8.99	90.0	8.09
07/03/2017	00550827572	PLAN	PUTNAM 746809102	B	0.00	4.82	0.00	4.82	90.0	4.34
07/03/2017	00550827572	PLAN	PUTNAM 74680E101	B	0.00	16.00	0.00	16.00	90.0	14.40
07/03/2017	00550834705	PLAN	PUTNAM 746809102	B	0.00	15.72	0.00	15.72	90.0	14.15
07/03/2017	00550840135	TUIFEL, JOHN	PUTNAM 746809409	B	0.00	13.56	0.00	13.56	90.0	12.20
07/03/2017	00550842242	HOLL, JO	PUTNAM 746802859	B	0.00	5.02	0.00	5.02	90.0	4.52
07/03/2017	00550842242	HOLL, JO	PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	0.00	3.96	0.00	3.96	90.0	3.56
07/03/2017	00550843398	GUSSAK, JOAN	PUTNAM 746809102	B	0.00	26.21	0.00	26.21	90.0	23.59
07/03/2017	00550843398	GUSSAK, JOAN	PUTNAM 746745108	B	0.00	55.26	0.00	55.26	90.0	49.73
07/03/2017	00550843398	GUSSAK, JOAN	PUTNAM 746802800	B	0.00	63.83	0.00	63.83	90.0	57.45
07/03/2017	00550843398	GUSSAK, JOAN	PUTNAM FUND FOR GROWTH & INCOME CLA B	B	0.00	44.34	0.00	44.34	90.0	39.91
07/03/2017	00551218283	C GARRUPPO,	PUTNAM 746802800	B	0.00	1.34	0.00	1.34	90.0	1.21
07/03/2017	00551218283	C GARRUPPO,	PUTNAM 746763200	B	0.00	2.27	0.00	2.27	90.0	2.04
07/03/2017	00551218283	C GARRUPPO,	PUTNAM-746764109	B	0.00	1.08	0.00	1.08	90.0	0.97
07/03/2017	00551273974	CROWLEY, JAMES	PUT	B	0.00	25.83	0.00	25.83	90.0	23.25
07/03/2017	00551273974	CROWLEY, JAMES	PUTNAM 746802263	B	0.00	24.01	0.00	24.01	90.0	21.61
07/03/2017	00551273974	CROWLEY, JAMES	PUTNAM GLOBAL NAT RESOURCES-C	B	0.00	1.69	0.00	1.69	90.0	1.52
07/03/2017	00551273974	CROWLEY, JAMES	PUTNAM GLOBAL ENERGY FUND-C	B	0.00	9.67	0.00	9.67	90.0	8.70
07/03/2017	00551273974	CROWLEY, JAMES	PUTNAM-CROW	B	0.00	28.88	0.00	28.88	90.0	25.99
07/03/2017	00551273978	FLANAGAN-CROWLEY,	PUT	B	0.00	32.05	0.00	32.05	90.0	28.85
07/03/2017	00551273978	FLANAGAN-CROWLEY,	PUTNAM	B	0.00	28.89	0.00	28.89	90.0	26.00

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/03/2017	00551273978	FLANAGAN-CROWLEY, PUTNAM-FLANAKARE	B	0.00	34.75	0.00	34.75	90.0	31.28
07/03/2017	00551273978	FLANAGAN-CROWLEY, PUTNAM-ONORALaura	B	0.00	44.85	0.00	44.85	90.0	40.37
07/03/2017	00551279988	AZZATO, JOSEPH PUTNAM 746745108	B	0.00	2.74	0.00	2.74	90.0	2.47
07/03/2017	00551279988	AZZATO, JOSEPH PUTNAM 746933100	B	0.00	0.33	0.00	0.33	90.0	0.30
07/03/2017	00551279988	AZZATO, JOSEPH PUTNAM 746802800	B	0.00	5.02	0.00	5.02	90.0	4.52
07/03/2017	00551279988	AZZATO, JOSEPH PUTNAM 746764778	B	0.00	1.79	0.00	1.79	90.0	1.61
07/03/2017	00551279988	AZZATO, JOSEPH PUTNAM FUND FOR GROWTH & INCOME CLA B	B	0.00	2.16	0.00	2.16	90.0	1.94
07/03/2017	00551279988	AZZATO, JOSEPH PUTNAM-746764109	B	0.00	5.03	0.00	5.03	90.0	4.53
07/03/2017	00551371986	ROSSO, RONALD PUTNAM 746747104	B	0.00	1.15	0.00	1.15	90.0	1.04
07/03/2017	00551371986	ROSSO, RONALD PUTNAM 746802800	B	0.00	1.92	0.00	1.92	90.0	1.73
07/03/2017	00551371986	ROSSO, RONALD PUTNAM SMALL CAP GROWTH FUND CLASS B	B	0.00	1.70	0.00	1.70	90.0	1.53
07/03/2017	00551374118	PLAN PUTNAM 746802800	B	0.00	4.56	0.00	4.56	90.0	4.10
07/03/2017	00551374118	PLAN PUTNAM 746802610	B	0.00	1.65	0.00	1.65	90.0	1.49
07/03/2017	00551374118	PLAN PUTNAM SMALL CAP GROWTH FUND CLASS B	B	0.00	3.10	0.00	3.10	90.0	2.79
07/03/2017	00551375553	PICCININNI, PUTNAM 746933100	B	0.00	1.62	0.00	1.62	90.0	1.46
07/03/2017	00551375553	PICCININNI, PUTNAM 746802800	B	0.00	5.24	0.00	5.24	90.0	4.72
07/03/2017	00551375553	PICCININNI, PUTNAM 746802610	B	0.00	2.85	0.00	2.85	90.0	2.57
07/03/2017	00551375553	PICCININNI, PUTNAM 746764778	B	0.00	0.96	0.00	0.96	90.0	0.86
07/03/2017	00551381753	PLAN PUTNAM 746933100	B	0.00	0.06	0.00	0.06	90.0	0.05
07/03/2017	00551381753	PLAN PUTNAM GLOBAL CUSTOMER FUND CLASS A B	B	0.00	0.19	0.00	0.19	90.0	0.17
07/03/2017	00551406352	TO STA TOD RULES, PUTNAM HEALTH SCIENCES TRUST FUND C B	B	0.00	15.97	0.00	15.97	90.0	14.37
07/03/2017	00551415806	PLAN PUTNAM 746933100	B	0.00	0.14	0.00	0.14	90.0	0.13
07/03/2017	00551415806	PLAN PUTNAM 746802800	B	0.00	0.89	0.00	0.89	90.0	0.80
07/03/2017	00551415806	PLAN PUTNAM 746764778	B	0.00	0.80	0.00	0.80	90.0	0.72
07/03/2017	00551415806	PLAN PUTNAM HEALTH SCIENCES TRUST FUND C B	B	0.00	1.36	0.00	1.36	90.0	1.22
07/03/2017	00551415807	PLAN PUTNAM 746933100	B	0.00	0.14	0.00	0.14	90.0	0.13
07/03/2017	00551415807	PLAN PUTNAM 746802800	B	0.00	0.89	0.00	0.89	90.0	0.80
07/03/2017	00551415807	PLAN PUTNAM 746764778	B	0.00	0.80	0.00	0.80	90.0	0.72
07/03/2017	00551415807	PLAN PUTNAM HEALTH SCIENCES TRUST FUND C B	B	0.00	1.36	0.00	1.36	90.0	1.22
07/03/2017	00551442593	01/19/2016, U/A PUTNAM 746745108	B	0.00	3.51	0.00	3.51	90.0	3.16
07/03/2017	00551442593	01/19/2016, U/A PUTNAM 746933100	B	0.00	0.17	0.00	0.17	90.0	0.15
07/03/2017	00551442593	01/19/2016, U/A PUTNAM 746764778	B	0.00	0.97	0.00	0.97	90.0	0.87
07/03/2017	00551442593	01/19/2016, U/A PUTNAM 746761204	B	0.00	3.38	0.00	3.38	90.0	3.04
07/03/2017	00551442593	01/19/2016, U/A PUTNAM 746745207	B	0.00	4.05	0.00	4.05	90.0	3.65
07/03/2017	00551442593	01/19/2016, U/A PUTNAM FUND FOR GROWTH & INCOME CLA B	B	0.00	2.69	0.00	2.69	90.0	2.42
07/03/2017	00551444193	LUMBER 401(K) PLAN PUTNAM-ASCENSUS	B	0.00	0.57	0.00	0.57	90.0	0.51
07/03/2017	00551450496	PLAN PUTNAM 746802800	B	0.00	0.69	0.00	0.69	90.0	0.62
07/03/2017	00551450496	PLAN PUTNAM 746802511	B	0.00	0.66	0.00	0.66	90.0	0.59
07/03/2017	00601779275	LUMBER 401(K) PLAN PUTNAM 74676P201	B	0.00	1.33	0.00	1.33	90.0	1.20
07/13/2017	QGW031783	JACKSON, FRANK FRANKLIN GROWTH FUND CLASS A	B	0.00	3.72	0.00	3.72	90.0	3.35

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/14/2017	QGW031783 JACKSON, FRANK	DREYFUS RESH GROWTH FD INC SHS CL A B		0.00	2.70	0.00	2.70	90.0	2.43
07/25/2017	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	19.90	0.00	19.90	90.0	17.91
07/25/2017	QGW034035 FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	9.57	0.00	9.57	90.0	8.61
07/21/2017	QGW035503 SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	0.99	0.00	0.99	90.0	0.89
07/14/2017	QGW036774 STOCKWELL, GLENN	DEUTSCHE HIGH INCOME FUND CLASS A B		0.00	3.85	0.00	3.85	90.0	3.47
07/14/2017	QGW036774 STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B		0.00	8.74	0.00	8.74	90.0	7.87
07/17/2017	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A B		0.00	3.68	0.00	3.68	90.0	3.31
07/17/2017	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B		0.00	10.36	0.00	10.36	90.0	9.32
07/18/2017	QGW036774 STOCKWELL, GLENN	INVESCO GLOBAL REAL ESTATE INCOME F B		0.00	16.43	0.00	16.43	90.0	14.79
07/21/2017	QGW036980 MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A	B	0.00	20.72	0.00	20.72	90.0	18.65
07/13/2017	QGW037111 GILMARTIN, MARGARET	FRANKLIN INCOME FUND CLASS A	B	0.00	0.98	0.00	0.98	90.0	0.88
07/18/2017	QGW037111 GILMARTIN, MARGARET	CAPITAL INCOME BUILDER-A	B	0.00	1.53	0.00	1.53	90.0	1.38
07/18/2017	QGW037111 GILMARTIN, MARGARET	PRINCIPAL PREFERRED SECURITIES FUND B		0.00	0.96	0.00	0.96	90.0	0.86
07/18/2017	QGW037111 GILMARTIN, MARGARET	THE HARTFORD BALANCED INCOME FUND C B		0.00	1.43	0.00	1.43	90.0	1.29
07/21/2017	QGW037111 GILMARTIN, MARGARET	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	1.17	0.00	1.17	90.0	1.05
07/21/2017	QGW037111 GILMARTIN, MARGARET	AC ALTERNATIVES MARKET NEUTRAL VALU B		0.00	0.64	0.00	0.64	90.0	0.58
07/21/2017	QGW037111 GILMARTIN, MARGARET	AMERICAN CENTURY HERITAGE FUND CLAS B		0.00	1.08	0.00	1.08	90.0	0.97
07/21/2017	QGW037111 GILMARTIN, MARGARET	GABELLI UTILITIES FUND CLASS A	B	0.00	0.70	0.00	0.70	90.0	0.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,548.95	\$0.00	\$2,548.95		\$2,294.14
*** BROKER TRANSACTIONS TOTAL ***				\$293,463.67	\$17,733.19	\$4,361.25	\$13,371.94		\$11,640.06

ADJUSTMENTS

07/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-17.00
07/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
07/31/2017	OTHER: TECHNOLOGY	0.00	0.00	0.00	0.00	-300.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-332.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,640.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-332.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-22.17
SIPC Assessment	\$-44.33

Check Amount	\$11,241.56
Year-to-date Earnings	\$25,083.47