

STATEMENT

January 20, 2018

RONALD CRISP
5211 E ECHO PINES CIRCLE E

BROKER #: 121
BRANCH:

FT Pierce, FL 34951

COMMISSION STATEMENT for RONALD CRISP

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
11/30/2017	#4667465 DUBERSTEIN, CAROL	ACCESS FLEX HIGH YIELD-SVC	0.00	0.83	93.0	0.00	0.77	0.00	0.77
11/30/2017	#4667465 DUBERSTEIN, CAROL	ACCESS FLEX HIGH YIELD-SVC	0.00	0.28	93.0	0.00	0.26	0.00	0.26
11/30/2017	#4667465 DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.07	93.0	0.00	0.07	0.00	0.07
11/30/2017	#4667465 DUBERSTEIN, CAROL	BASIC MATERIALS-SVC	0.00	0.02	93.0	0.00	0.02	0.00	0.02
11/30/2017	#4667465 DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.07	93.0	0.00	0.07	0.00	0.07
11/30/2017	#4667465 DUBERSTEIN, CAROL	FINANCIALS - SVC	0.00	0.02	93.0	0.00	0.02	0.00	0.02
11/30/2017	#1003036234 DUBERSTEIN,	GUGGENHEIM RYDEX	0.00	5.86	93.0	0.00	5.45	0.00	5.45
11/30/2017	#4667465 DUBERSTEIN, CAROL	HEALTH CARE - SVC	0.00	0.08	93.0	0.00	0.07	0.00	0.07
11/30/2017	#4667465 DUBERSTEIN, CAROL	HEALTH CARE - SVC	0.00	0.03	93.0	0.00	0.03	0.00	0.03
11/30/2017	#4667465 DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.07	93.0	0.00	0.07	0.00	0.07
11/30/2017	#4667465 DUBERSTEIN, CAROL	INDUSTRIALS - SVC	0.00	0.02	93.0	0.00	0.02	0.00	0.02
11/30/2017	#4667465 DUBERSTEIN, CAROL	SMALL-CAP-SVC	0.00	0.28	93.0	0.00	0.26	0.00	0.26
11/30/2017	#4667465 DUBERSTEIN, CAROL	SMALL-CAP-SVC	0.00	0.09	93.0	0.00	0.08	0.00	0.08
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$7.72		\$0.00	\$7.19	\$0.00	\$7.19

PRODUCT CATEGORY: VARIABLE ANNUITIES

07/28/2017	#2030024466 DUBERSTEIN,	SECURITY BENEFIT ADVA	0.00	59.52	93.0	0.00	55.35	0.00	55.35
07/28/2017	#2030024482 CLIENT:	SECURITY BENEFIT ADVA	0.00	15.53	93.0	0.00	14.44	0.00	14.44
07/28/2017	#2030024557 PARDO, BETTY	SECURITY BENEFIT ADVA	0.00	23.17	93.0	0.00	21.55	0.00	21.55
07/28/2017	#2030024573 PARDO, HENRY	SECURITY BENEFIT ADVA	0.00	7.95	93.0	0.00	7.39	0.00	7.39
07/28/2017	#2030024574 PARDO, BETTY	SECURITY BENEFIT ADVA	0.00	11.76	93.0	0.00	10.94	0.00	10.94
07/28/2017	#2030031984 NICHOLS,	SECURITY BENEFIT ADVA3	0.00	69.73	93.0	0.00	64.85	0.00	64.85
07/28/2017	#2030031985 CHALMERS, MARK	SECURITY BENEFIT ADVA3	0.00	33.80	93.0	0.00	31.43	0.00	31.43
07/28/2017	#2030032356 DEHAAS, NICHOLAS	SECURITY BENEFIT ADVA3	0.00	11.80	93.0	0.00	10.97	0.00	10.97
07/28/2017	#2030032381 RUBINSKI, JANICE	SECURITY BENEFIT ADVA3	0.00	95.42	93.0	0.00	88.74	0.00	88.74
07/28/2017	#2030032647 CHOATE BROWNE,	SECURITY BENEFIT ADVA3	0.00	89.66	93.0	0.00	83.38	0.00	83.38
07/28/2017	#2030032737 WOLF, JEROME	SECURITY BENEFIT ADVA3	0.00	306.75	93.0	0.00	285.28	0.00	285.28
07/28/2017	#2030032776 WOLF, CHARLOTTE	SECURITY BENEFIT ADVA3	0.00	90.73	93.0	0.00	84.38	0.00	84.38

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/28/2017	#2030032788	DEHAAS, NICHOLAS	SECURITY BENEFIT ADVA3	0.00	9.29	93.0	0.00	8.64	8.64
11/29/2017	#2030024466	DUBERSTEIN,	SECURITY BENEFIT ADVA	0.00	60.05	93.0	0.00	55.85	55.85
11/29/2017	#2030024482	CLIENT:	SECURITY BENEFIT ADVA	0.00	16.68	93.0	0.00	15.51	15.51
11/29/2017	#2030024557	PARDO, BETTY	SECURITY BENEFIT ADVA	0.00	23.53	93.0	0.00	21.88	21.88
11/29/2017	#2030024573	PARDO, HENRY	SECURITY BENEFIT ADVA	0.00	8.15	93.0	0.00	7.58	7.58
11/29/2017	#2030024574	PARDO, BETTY	SECURITY BENEFIT ADVA	0.00	12.06	93.0	0.00	11.22	11.22
11/29/2017	#2030031984	NICHOLS,	SECURITY BENEFIT ADVA3	0.00	74.78	93.0	0.00	69.55	69.55
11/29/2017	#2030031985	CHALMERS, MARK	SECURITY BENEFIT ADVA3	0.00	35.26	93.0	0.00	32.79	32.79
11/29/2017	#2030032356	DEHAAS, NICHOLAS	SECURITY BENEFIT ADVA3	0.00	12.13	93.0	0.00	11.28	11.28
11/29/2017	#2030032381	RUBINSKI, JANICE	SECURITY BENEFIT ADVA3	0.00	102.67	93.0	0.00	95.48	95.48
11/29/2017	#2030032647	CHOATE BROWNE,	SECURITY BENEFIT ADVA3	0.00	49.28	93.0	0.00	45.83	45.83
11/29/2017	#2030032737	WOLF, JEROME	SECURITY BENEFIT ADVA3	0.00	321.34	93.0	0.00	298.85	298.85
11/29/2017	#2030032776	WOLF, CHARLOTTE	SECURITY BENEFIT ADVA3	0.00	94.17	93.0	0.00	87.58	87.58
11/29/2017	#2030032788	DEHAAS, NICHOLAS	SECURITY BENEFIT ADVA3	0.00	9.55	93.0	0.00	8.88	8.88
11/30/2017	#000673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.78	93.0	0.00	0.73	0.73
11/30/2017	#000673039	COLE, LINDA	PRUDETIAL TRAILS	0.00	0.78	93.0	0.00	0.73	0.73
11/30/2017	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	17.82	93.0	0.00	16.57	16.57
11/30/2017	#E0488489	PARDO, HENRY	PRUDETIAL TRAILS	0.00	71.28	93.0	0.00	66.29	66.29
11/30/2017	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	8.76	93.0	0.00	8.15	8.15
11/30/2017	#000571733	COLE, LINDA	PRUDETIAL TRAILS	0.00	35.03	93.0	0.00	32.58	32.58
12/28/2017	#2030024466	DUBERSTEIN,	SECURITY BENEFIT SBGTRL	0.00	50.19	93.0	0.00	46.68	46.68
12/28/2017	#2030032737	WOLF, JEROME	SECURITY BENEFIT SBGTRL	0.00	321.49	93.0	0.00	298.99	298.99
12/28/2017	#2030031984	NICHOLS,	SECURITY BENEFIT SBGTRL	0.00	76.16	93.0	0.00	70.83	70.83
12/28/2017	#2030024574	PARDO, BETTY	SECURITY BENEFIT SBGTRL	0.00	12.00	93.0	0.00	11.16	11.16
12/28/2017	#2030024482	CLIENT:	SECURITY BENEFIT SBGTRL	0.00	17.00	93.0	0.00	15.81	15.81
12/28/2017	#2030032647	CHOATE BROWNE,	SECURITY BENEFIT SBGTRL	0.00	48.96	93.0	0.00	45.53	45.53
12/28/2017	#2030024573	PARDO, HENRY	SECURITY BENEFIT SBGTRL	0.00	8.08	93.0	0.00	7.51	7.51
12/28/2017	#2030032356	DEHAAS, NICHOLAS	SECURITY BENEFIT SBGTRL	0.00	12.04	93.0	0.00	11.20	11.20
12/28/2017	#2030032776	WOLF, CHARLOTTE	SECURITY BENEFIT SBGTRL	0.00	94.22	93.0	0.00	87.62	87.62
12/28/2017	#2030024557	PARDO, BETTY	SECURITY BENEFIT SBGTRL	0.00	23.12	93.0	0.00	21.50	21.50
12/28/2017	#2030032788	DEHAAS, NICHOLAS	SECURITY BENEFIT SBGTRL	0.00	9.48	93.0	0.00	8.82	8.82
12/28/2017	#2030031985	CHALMERS, MARK	SECURITY BENEFIT SBGTRL	0.00	35.68	93.0	0.00	33.18	33.18
12/28/2017	#2030032381	RUBINSKI, JANICE	SECURITY BENEFIT SBGTRL	0.00	104.64	93.0	0.00	97.32	97.32
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$2,592.27	\$0.00	\$2,410.82	\$0.00	\$2,410.82
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$2,599.99	\$0.00	\$2,418.01	\$0.00	\$2,418.01

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ADJUSTMENTS

01/20/2018		BETA BACK OFFICE: ADMIN FEE							-260.00
01/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-439.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,418.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-439.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,979.01
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