



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 28, 2017

03/06/2017
 Page No. 1

101 CFGROUP/KOSANKE
 1120 E. Long Lake Road
 Suite 250
 Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/30/2017	ORTAGO, PAULA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.44	0.00	3.44	95.0	3.27
01/30/2017	MCKENZIE TRUST, ROBERT & DONNA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.71	0.00	2.71	95.0	2.57
01/30/2017	KOPCZYK TRUST, LAWRENCE & PATR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.72	0.00	1.72	95.0	1.63
01/30/2017	MODRYCKI, MARGUERITE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.07	0.00	0.07	95.0	0.07
01/30/2017	MODRYCKI, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.08	0.00	0.08	95.0	0.08
02/10/2017	ROBERTS, PATRICIA	GRIFFIN INST ACCESS R E FUND C	9,197.06	91.97	0.00	91.97	95.0	87.37
02/13/2017	BAUMGART, ROY MARK	GRIFFIN INST ACCESS R E FUND C	4,865.93	48.66	0.00	48.66	95.0	46.23
02/13/2017	BAUMGART, ROY MARK	GRIFFIN INST ACCESS R E FUND C	38,810.89	388.11	0.00	388.11	95.0	368.70
* INTERVAL FUNDS SUBTOTAL *			\$52,873.88	\$536.76	\$0.00	\$536.76		\$509.92
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/13/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
02/13/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/06/2017	KUCHAREK, KAREN	AM FUNDS AMERICAN MUTUAL C	5,553.45	55.53	0.00	55.53	95.0	52.75
02/07/2017	KUCHAREK, KAREN	AM FUNDS AMERICAN MUTUAL C	771.36	7.71	0.00	7.71	95.0	7.32
^02/09/2017	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	0.00
^02/09/2017	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	0.00
^02/15/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	0.00
^02/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	0.00
^02/15/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	1,275.00	25.50	0.00	25.50	95.0	0.00
^02/15/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	550.00	11.00	0.00	11.00	95.0	0.00
^02/15/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	0.00
02/13/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/13/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/13/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
02/13/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
^02/15/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	1,300.00	26.00	0.00	26.00	95.0	0.00
^02/15/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	800.00	16.00	0.00	16.00	95.0	0.00
02/13/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
02/13/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
02/13/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
02/13/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
02/13/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
^02/15/2017	GINGELL, PAUL	AM FUNDS ICA A	1,400.00	28.00	0.00	28.00	95.0	0.00
^02/15/2017	GINGELL, PAUL	AM FUNDS ICA A	800.00	16.00	0.00	16.00	95.0	0.00
02/13/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/13/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/13/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/13/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
02/13/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/13/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/13/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
02/13/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
^02/15/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	800.00	16.00	0.00	16.00	95.0	0.00
^02/15/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	550.00	11.00	0.00	11.00	95.0	0.00
^02/09/2017	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^02/09/2017	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	0.00
02/13/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/13/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/13/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/13/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
02/10/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
02/13/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/13/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/13/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/13/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
02/13/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
02/15/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
02/15/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
02/15/2017	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
01/31/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	1.50	0.00	1.50	95.0	1.43
01/31/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	0.73	0.00	0.73	95.0	0.69
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.51	0.00	2.51	95.0	2.38
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	7.52	0.00	7.52	95.0	7.14
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	2.04	0.00	2.04	95.0	1.94
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.08	0.00	2.08	95.0	1.98
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.25	0.00	6.25	95.0	5.94
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.22	0.00	6.22	95.0	5.91
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.07	0.00	2.07	95.0	1.97
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	5.98	0.00	5.98	95.0	5.68
01/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.33	0.00	6.33	95.0	6.01
01/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.66	0.00	1.66	95.0	1.58
01/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.72	0.00	0.72	95.0	0.68
01/31/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	4.15	0.00	4.15	95.0	3.94
01/31/2017	MODRYCKI, DONALD	BLK AGG 10-12 AGE BASED A	0.00	0.48	0.00	0.48	95.0	0.46
01/31/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.57	0.00	0.57	95.0	0.54
01/31/2017	YASCH, SANDY	BLK AGG 6-9 AGE BASED A	0.00	0.58	0.00	0.58	95.0	0.55
01/31/2017	WILLIT	BLK AGG GROWTH A	0.00	4.22	0.00	4.22	95.0	4.01
01/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.09	0.00	0.09	95.0	0.09

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.03	0.00	0.03	95.0	0.03
01/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.16	0.00	0.16	95.0	0.15
01/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.47	0.00	0.47	95.0	0.45
01/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.03	0.00	1.03	95.0	0.98
01/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	3.08	0.00	3.08	95.0	2.93
01/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.58	0.00	0.58	95.0	0.55
01/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.74	0.00	1.74	95.0	1.65
01/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.14	0.00	0.14	95.0	0.13
01/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.05	0.00	0.05	95.0	0.05
01/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.68	0.00	0.68	95.0	0.65
01/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.27	0.00	2.27	95.0	2.16
01/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	3.03	0.00	3.03	95.0	2.88
01/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.34	0.00	0.34	95.0	0.32
01/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
01/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	1.05	0.00	1.05	95.0	1.00
01/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.35	0.00	0.35	95.0	0.33
01/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.76	0.00	0.76	95.0	0.72
01/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.05	0.00	2.05	95.0	1.95
01/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	1.01	0.00	1.01	95.0	0.96
01/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.05	0.00	3.05	95.0	2.90
01/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.05	0.00	3.05	95.0	2.90
01/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED A	0.00	0.94	0.00	0.94	95.0	0.89
01/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED A	0.00	3.13	0.00	3.13	95.0	2.97
01/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.32	0.00	2.32	95.0	2.20
01/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	6.95	0.00	6.95	95.0	6.60
01/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	6.95	0.00	6.95	95.0	6.60
01/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.32	0.00	2.32	95.0	2.20
01/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	2.35	0.00	2.35	95.0	2.23
01/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.08	0.00	0.08	95.0	0.08
01/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.25	0.00	0.25	95.0	0.24
01/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	1.05	0.00	1.05	95.0	1.00
01/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	3.16	0.00	3.16	95.0	3.00
01/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	0.63	0.00	0.63	95.0	0.60

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	1.88	0.00	1.88	95.0	1.79
01/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	0.78	0.00	0.78	95.0	0.74
02/02/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
02/02/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
01/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.85	0.00	2.85	95.0	2.71
01/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED A	0.00	1.37	0.00	1.37	95.0	1.30
01/31/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	1.97	0.00	1.97	95.0	1.87
01/31/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.54	0.00	0.54	95.0	0.51
01/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.29	0.00	2.29	95.0	2.18
01/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	4.78	0.00	4.78	95.0	4.54
01/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	4.10	0.00	4.10	95.0	3.90
01/31/2017	MODRYCKI, DONALD	BLK MOD 13-16 AGE BASED A	0.00	1.08	0.00	1.08	95.0	1.03
01/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.91	0.00	0.91	95.0	0.86
01/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	2.73	0.00	2.73	95.0	2.59
01/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	0.64	0.00	0.64	95.0	0.61
01/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	1.92	0.00	1.92	95.0	1.82
01/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.74	0.00	1.74	95.0	1.65
01/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.47	0.00	0.47	95.0	0.45
01/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.42	0.00	1.42	95.0	1.35
01/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.58	0.00	0.58	95.0	0.55
01/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	2.16	0.00	2.16	95.0	2.05
01/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.81	0.00	3.81	95.0	3.62
01/31/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.71	0.00	0.71	95.0	0.67
01/31/2017	MODRYCKI, DONALD	BLK MOD 17+ AGE BASED A	0.00	0.55	0.00	0.55	95.0	0.52
01/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.86	0.00	0.86	95.0	0.82
01/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.33	0.00	2.33	95.0	2.21
01/31/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	3.66	0.00	3.66	95.0	3.48
01/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED A	0.00	0.36	0.00	0.36	95.0	0.34
01/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.77	0.00	0.77	95.0	0.73
01/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.34	0.00	2.34	95.0	2.22
01/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.04	0.00	0.04	95.0	0.04
01/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.32	0.00	2.32	95.0	2.20
01/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.78	0.00	0.78	95.0	0.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	6.31	0.00	6.31	95.0	5.99
01/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	2.10	0.00	2.10	95.0	2.00
01/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.08	0.00	3.08	95.0	2.93
01/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.03	0.00	1.03	95.0	0.98
01/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	1.07	0.00	1.07	95.0	1.02
01/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	3.21	0.00	3.21	95.0	3.05
01/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.01	0.00	0.01	95.0	0.01
01/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.04	0.00	0.04	95.0	0.04
01/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
02/06/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
02/10/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/06/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
02/14/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.15	0.00	1.15	95.0	1.09
02/14/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.23	0.00	7.23	95.0	6.87
02/14/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	4.82	0.00	4.82	95.0	4.58
02/14/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.30	0.00	5.30	95.0	5.04
02/14/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.70	0.00	4.70	95.0	4.47
02/14/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.82	0.00	1.82	95.0	1.73
02/14/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.87	0.00	1.87	95.0	1.78
02/14/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.41	0.00	4.41	95.0	4.19
02/14/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.57	0.00	6.57	95.0	6.24
02/14/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.39	0.00	0.39	95.0	0.37
02/14/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.44	0.00	0.44	95.0	0.42
02/14/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.63	0.00	0.63	95.0	0.60
02/14/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.63	0.00	0.63	95.0	0.60
02/14/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.46	0.00	1.46	95.0	1.39
02/14/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.46	0.00	1.46	95.0	1.39
02/14/2017	MANZO, JOHN	LORD ABBETT AFFILIATED A	0.00	197.89	0.00	197.89	95.0	188.00
02/14/2017	FREAR, BARBARA	LORD ABBETT AFFILIATED A	0.00	16.42	0.00	16.42	95.0	15.60
02/14/2017	MINOLETTI, JANE	LORD ABBETT AFFILIATED A	0.00	51.62	0.00	51.62	95.0	49.04
02/15/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
02/06/2017	KOSANKE, JOHN	PUTNAM GROWTH OPPORTUNITIES B	100.00	4.00	0.00	4.00	95.0	3.80
02/08/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	128.38	1.28	0.00	1.28	95.0	1.22

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/13/2017	BASTIN, TIFFANY	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	95.0	9.50
02/13/2017	RICE, STEPHANIE	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	95.0	9.50
02/15/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/15/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
02/15/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
02/15/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
02/16/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
02/07/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.38	0.00	7.38	95.0	7.01
02/07/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	57.98	0.00	57.98	95.0	55.08
02/07/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	10.19	0.00	10.19	95.0	9.68
02/07/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.42	0.00	3.42	95.0	3.25
02/07/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.68	0.00	2.68	95.0	2.55
02/07/2017	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.29	0.00	3.29	95.0	3.13
02/07/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
02/07/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.88	0.00	0.88	95.0	0.84
02/07/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	16.83	0.00	16.83	95.0	15.99
02/07/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	1.99	0.00	1.99	95.0	1.89
02/07/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	1.99	0.00	1.99	95.0	1.89
02/07/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	26.51	0.00	26.51	95.0	25.18
02/07/2017	BUSSELL REV LIV TRST, GEROGE	&WELLS FARGO MONEY MKT A	0.00	30.00	0.00	30.00	95.0	28.50
02/07/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.58	0.00	0.58	95.0	0.55
02/07/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.86	0.00	0.86	95.0	0.82
02/07/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.06	0.00	1.06	95.0	1.01
02/07/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.74	0.00	0.74	95.0	0.70
02/07/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.96	0.00	0.96	95.0	0.91
02/07/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.66	0.00	2.66	95.0	2.53
02/07/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	24.64	0.00	24.64	95.0	23.41
02/07/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.60	0.00	0.60	95.0	0.57
02/07/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.16	0.00	5.16	95.0	4.90
02/07/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.65	0.00	5.65	95.0	5.37
02/07/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.14	0.00	9.14	95.0	8.68
02/07/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	11.95	0.00	11.95	95.0	11.35
02/07/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.63	0.00	1.63	95.0	1.55

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/07/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	27.65	0.00	27.65	95.0	26.27
02/07/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	36.66	0.00	36.66	95.0	34.83
02/07/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	53.81	0.00	53.81	95.0	51.12
02/07/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.13	0.00	1.13	95.0	1.07
02/07/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.07	0.00	1.07	95.0	1.02
02/07/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.58	0.00	0.58	95.0	0.55
02/07/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	10.22	0.00	10.22	95.0	9.71
* MUTUAL FUNDS SUBTOTAL *			\$19,453.19	\$1,189.44	\$0.00	\$1,189.44		\$964.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/04/2017	SCHROETER, RICHARD	TRANSAMERICA EXTRA	33,676.63	28.06	0.00	28.06	95.0	26.66
01/14/2017	GRUSH, ERNEST	TRANSAMERICA LANDMARK	134,412.71	112.01	0.00	112.01	95.0	106.41
01/28/2017	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,388.33	3.66	0.00	3.66	95.0	3.48
* VARIABLE ANNUITIES SUBTOTAL *			\$172,477.67	\$143.73	\$0.00	\$143.73		\$136.55
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
02/06/2017	DINKA, JOHN	PAC LIFE VUL	1,000.00	50.00	0.00	50.00	95.0	47.50
* LIFE INSURANCE SUBTOTAL *			\$1,000.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/08/2017	KULBACK, WILLIAM	FIDELITY ADVISOR TRAILS	0.00	3.31	0.00	3.31	95.0	3.14
01/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	74.29	0.00	74.29	95.0	70.58
01/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	6.10	0.00	6.10	95.0	5.80
01/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	20.72	0.00	20.72	95.0	19.68
01/31/2017	CFGROUP, VARIOUS	DREYFUS	0.00	0.19	0.00	0.19	95.0	0.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$104.61	\$0.00	\$104.61		\$99.38
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
02/09/2017	MEYER, THOMAS	ING PREMIUM PLUS	0.00	274.74	0.00	274.74	95.0	261.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$274.74	\$0.00	\$274.74		\$261.00
*** BROKER TRANSACTIONS TOTAL ***			\$245,804.74	\$2,299.28	\$0.00	\$2,299.28		\$2,018.66

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: MARK KOSANKE #3</u>								
02/07/2017	MCCLAIN, DOROTHY	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	87,640.99	73.03	0.00	73.03	95.0	69.38
02/07/2017	SCHMIDT, MARION	GENWORTH CHOICE VA	17,581.82	14.65	0.00	14.65	95.0	13.92
02/07/2017	EMBACH, KEVIN	GENWORTH CHOICE VA	68,741.92	57.28	0.00	57.28	95.0	54.42
02/07/2017	EMBACH, JAMES	GENWORTH CHOICE VA	68,741.92	57.28	0.00	57.28	95.0	54.42
02/07/2017	ZACK, MAUREEN	GENWORTH CHOICE VA	68,741.92	57.28	0.00	57.28	95.0	54.42
02/07/2017	HARTOUNIAN, HAGOB	GENWORTH CHOICE VA	10,462.62	8.72	0.00	8.72	95.0	8.28
02/07/2017	BYERS, SHARRON	GENWORTH COMMONWEALTH VA 1994 (NON-	93,305.69	15.55	0.00	15.55	95.0	14.77
02/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
02/06/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	200.00	4.00	0.00	4.00	95.0	3.80
02/07/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	3.72	0.00	3.72	95.0	3.53
02/07/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.88	0.00	0.88	95.0	0.84
02/07/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
02/07/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.95	0.00	2.95	95.0	2.80
02/07/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.23	0.00	0.23	95.0	0.22
* MARK KOSANKE SUBTOTAL *			\$415,449.49	\$295.90	\$0.00	\$295.90		\$281.11
<u>BROKER: 101 CFGROUP/KOSANKE #9</u>								
02/09/2017	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	2.38
02/09/2017	FROMM, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.50	0.00	2.50	95.0	2.38
02/15/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	4.75
02/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
02/15/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	1,275.00	25.50	0.00	25.50	95.0	24.23
02/15/2017	GINGELL, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	550.00	11.00	0.00	11.00	95.0	10.45
02/15/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75
02/15/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	1,300.00	26.00	0.00	26.00	95.0	24.70
02/15/2017	GINGELL, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	800.00	16.00	0.00	16.00	95.0	15.20
02/15/2017	GINGELL, PAUL	AM FUNDS ICA A	1,400.00	28.00	0.00	28.00	95.0	26.60
02/15/2017	GINGELL, PAUL	AM FUNDS ICA A	800.00	16.00	0.00	16.00	95.0	15.20
02/15/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	800.00	16.00	0.00	16.00	95.0	15.20
02/15/2017	GINGELL, PAUL	AM FUNDS NEW PERSPECTIVE A	550.00	11.00	0.00	11.00	95.0	10.45
02/09/2017	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	2.38
02/09/2017	FROMM, MARY	AM FUNDS NEW WORLD 529A	50.00	2.50	0.00	2.50	95.0	2.38
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$7,975.00	\$174.50	\$0.00	\$174.50		\$165.80

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

*** SPLIT TRANSACTIONS TOTAL ***	\$423,424.49	\$470.40	\$0.00	\$470.40	\$446.91
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ADJUSTMENTS

02/28/2017	*OVERRIDE: KOWALSKI-INLAND-R FELLER	0.00					1,474.65
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$1,474.65	

BROKER COMMISSION TOTALS

Broker Transactions	\$2,018.66
Split Transactions	\$446.91
Override Transactions	\$0.00
Adjustments	\$1,474.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,940.22
Year-to-date Gross	\$47,349.11
Year-to-date Earnings	\$46,634.84

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