

**COMMISSION STATEMENT****April 15, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^04/04/2018	HAMM, GAIL	PERSHING	224,895.65	841.05	0.00	841.05	90.0	0.00
^04/04/2018	HAMM, GAIL	PERSHING	100,316.52	375.16	0.00	375.16	90.0	0.00
^04/04/2018	HAMM, GAIL	PERSHING	112,172.07	419.49	0.00	419.49	90.0	0.00
^04/04/2018	EISERLE, DAVID	PERSHING	17,255.08	64.53	0.00	64.53	90.0	0.00
^04/04/2018	NOVELL, DEANA	PERSHING	103,220.92	386.02	0.00	386.02	90.0	0.00
^04/04/2018	GROTE, DONALD	PERSHING	109,348.68	408.93	0.00	408.93	90.0	0.00
^04/04/2018	GROTE, DONALD	PERSHING	29,950.49	112.01	0.00	112.01	90.0	0.00
^04/04/2018	CHIDDISTER, LISA	PERSHING	94.22	0.35	0.00	0.35	90.0	0.00
^04/04/2018	KAISER, ROBIN	PERSHING	47,922.83	179.22	0.00	179.22	90.0	0.00
^04/04/2018	KAISER, ROBERT	PERSHING	15,388.77	57.55	0.00	57.55	90.0	0.00
^04/04/2018	GAY, RICHARD	PERSHING	22,742.42	85.05	0.00	85.05	90.0	0.00
^04/04/2018	SORG, RICHARD	PERSHING	80,777.03	201.39	0.00	201.39	90.0	0.00
^04/04/2018	BURTNETTE, PATRICIA	PERSHING	390,206.69	972.84	0.00	972.84	90.0	0.00
^04/04/2018	BAILEY, LAURIE	PERSHING	43,938.37	164.32	0.00	164.32	90.0	0.00
^04/04/2018	CAIN, LISA	PERSHING	28,266.04	92.93	0.00	92.93	90.0	0.00
^04/04/2018	CAIN, LISA	PERSHING	27,044.11	101.14	0.00	101.14	90.0	0.00
^04/04/2018	CAIN, LISA	PERSHING	29,431.83	96.76	0.00	96.76	90.0	0.00
^04/04/2018	CAIN, LISA	PERSHING	28,302.74	105.84	0.00	105.84	90.0	0.00
* RIA SUBTOTAL *			\$1,411,274.46	\$4,664.58	\$0.00	\$4,664.58		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$1,411,274.46	\$4,664.58	\$0.00	\$4,664.58		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/04/2018	HAMM, GAIL	PERSHING	224,895.65	252.32	0.00	252.32	90.0	227.09
04/04/2018	HAMM, GAIL	PERSHING	100,316.52	112.55	0.00	112.55	90.0	101.30
04/04/2018	HAMM, GAIL	PERSHING	112,172.07	125.85	0.00	125.85	90.0	113.27
04/04/2018	EISERLE, DAVID	PERSHING	17,255.08	19.36	0.00	19.36	90.0	17.42
04/04/2018	NOVELL, DEANA	PERSHING	103,220.92	115.81	0.00	115.81	90.0	104.23
04/04/2018	GROTE, DONALD	PERSHING	109,348.68	122.68	0.00	122.68	90.0	110.41
04/04/2018	GROTE, DONALD	PERSHING	29,950.49	33.60	0.00	33.60	90.0	30.24
04/04/2018	CHIDDISTER, LISA	PERSHING	94.22	0.11	0.00	0.11	90.0	0.10
04/04/2018	KAISER, ROBIN	PERSHING	47,922.83	53.77	0.00	53.77	90.0	48.39
04/04/2018	KAISER, ROBERT	PERSHING	15,388.77	17.27	0.00	17.27	90.0	15.54
04/04/2018	GAY, RICHARD	PERSHING	22,742.42	25.52	0.00	25.52	90.0	22.97
04/04/2018	SORG, RICHARD	PERSHING	80,777.03	60.42	0.00	60.42	90.0	54.38
04/04/2018	BURTNETTE, PATRICIA	PERSHING	390,206.69	291.85	0.00	291.85	90.0	262.67
04/04/2018	BAILEY, LAURIE	PERSHING	43,938.37	49.30	0.00	49.30	90.0	44.37
04/04/2018	CAIN, LISA	PERSHING	28,266.04	27.88	0.00	27.88	90.0	25.09
04/04/2018	CAIN, LISA	PERSHING	27,044.11	30.34	0.00	30.34	90.0	27.31
04/04/2018	CAIN, LISA	PERSHING	29,431.83	29.03	0.00	29.03	90.0	26.13
04/04/2018	CAIN, LISA	PERSHING	28,302.74	31.75	0.00	31.75	90.0	28.58
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,411,274.46	\$1,399.41	\$0.00	\$1,399.41		\$1,259.49
*** SPLIT TRANSACTIONS TOTAL ***			\$1,411,274.46	\$1,399.41	\$0.00	\$1,399.41		\$1,259.49

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,259.49
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-21.72
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,237.77
Year-to-date Gross	\$6,851.10
Year-to-date Earnings	\$6,166.04