



COMMISSION STATEMENT

August 13, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/30/2018	***-**-7485 - PONITZ, RYAN	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	11,250.00	478.13	0.00	478.13	80.0	382.50
08/02/2018	***-**-9808 - SJOERDSMA, GREGG ACCOUNT #: 00087919902	AMERICAN BALANCED FUND-A BUY 2 Units @29.3500	50.00	2.50	0.00	2.50	80.0	2.00
08/02/2018	***-**-0385 - SJOERDSMA, ANN ACCOUNT #: 00087919970	AMERICAN BALANCED FUND-A BUY 2 Units @29.3500	50.00	2.50	0.00	2.50	80.0	2.00
08/09/2018	***-**-5022 - BELMONT, NATALIE ACCOUNT #: 00088478934	AMERICAN BALANCED FUND-A BUY 10 Units @29.2800	300.00	12.75	0.00	12.75	80.0	10.20
08/06/2018	***-**-8240 - BREWER, LUCINDA ACCOUNT #: 00087834785	EUROPACIFIC GROWTH FUND-A BUY 1 Units @56.6500	62.50	2.66	0.00	2.66	80.0	2.13
08/06/2018	***-**-5049 - CRUZ, JOSE ACCOUNT #: 00087905159	EUROPACIFIC GROWTH FUND-A BUY 0 Units @57.1000	25.00	1.25	0.00	1.25	80.0	1.00
08/06/2018	***-**-5049 - CRUZ, JOSE ACCOUNT #: 00087905262	EUROPACIFIC GROWTH FUND-A BUY 1 Units @57.1000	37.50	1.88	0.00	1.88	80.0	1.50
07/30/2018	***-**-7485 - PONITZ, RYAN	INCOME FUND OF AMERICA CLASS A BUY 0 Units @0.0000	11,250.00	478.13	0.00	478.13	80.0	382.50
07/30/2018	***-**-7485 - PONITZ, RYAN	INVESTMENT COMPANY OF AMERICA BUY 0 Units @0.0000	11,250.00	478.13	0.00	478.13	80.0	382.50
07/30/2018	***-**-7485 - PONITZ, RYAN	NEW PERSPECTIVE FUND-A BUY 0 Units @0.0000	11,250.00	478.13	0.00	478.13	80.0	382.50
08/06/2018	***-**-8240 - BREWER, LUCINDA ACCOUNT #: 00087834785	SMALLCAP WORLD FUND-A BUY 1 Units @62.3100	62.50	2.66	0.00	2.66	80.0	2.13
08/06/2018	***-**-5049 - CRUZ, JOSE ACCOUNT #: 00087905159	SMALLCAP WORLD FUND-A BUY 0 Units @62.8000	25.00	1.25	0.00	1.25	80.0	1.00
08/06/2018	***-**-5049 - CRUZ, JOSE ACCOUNT #: 00087905262	SMALLCAP WORLD FUND-A BUY 1 Units @62.8000	37.50	1.88	0.00	1.88	80.0	1.50
08/02/2018	***-**-9808 - SJOERDSMA, GREGG ACCOUNT #: 00087919902	THE BOND FUND OF AMERICA-A BUY 4 Units @13.0100	50.00	1.50	0.00	1.50	80.0	1.20
08/02/2018	***-**-0385 - SJOERDSMA, ANN ACCOUNT #: 00087919970	THE BOND FUND OF AMERICA-A BUY 4 Units @13.0100	50.00	1.50	0.00	1.50	80.0	1.20
08/02/2018	***-**-9808 - SJOERDSMA, GREGG ACCOUNT #: 00087919902	THE GROWTH FUND OF AMERICA-A BUY 1 Units @58.6700	50.00	2.50	0.00	2.50	80.0	2.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/02/2018	***-**-0385 - SJOERDSMA, ANN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 1 Units @58.6700						
08/06/2018	***-**-8240 - BREWER, LUCINDA	THE GROWTH FUND OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @58.4500						
08/06/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 0 Units @58.9200						
08/06/2018	***-**-5049 - CRUZ, JOSE	THE GROWTH FUND OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @58.9200						
08/02/2018	***-**-9808 - SJOERDSMA, GREGG	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919902	BUY 2 Units @24.5300						
08/02/2018	***-**-0385 - SJOERDSMA, ANN	THE INCOME FUND OF AMERICA-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00087919970	BUY 2 Units @24.5300						
08/06/2018	***-**-8240 - BREWER, LUCINDA	THE INVESTMENT COMPANY OF AMERICA-A	62.50	2.66	0.00	2.66	80.0	2.13
	ACCOUNT #: 00087834785	BUY 1 Units @43.8100						
08/06/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	25.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00087905159	BUY 1 Units @44.1600						
08/06/2018	***-**-5049 - CRUZ, JOSE	THE INVESTMENT COMPANY OF AMERICA-A	37.50	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00087905262	BUY 1 Units @44.1600						
* MUTUAL FUNDS SUBTOTAL *			\$46,200.00	\$1,966.43	\$0.00	\$1,966.43		\$1,573.12
PRODUCT CATEGORY: 529 PLAN								
08/06/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 3 Units @29.4100						
08/06/2018	***-**-9952 - NGUYEN, VICKIE	AMERICAN BALANCED FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 2 Units @29.4100						
08/06/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @48.6400						
08/06/2018	***-**-9952 - NGUYEN, VICKIE	NEW PERSPECTIVE FUND-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @48.6400						
08/06/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 1 Units @58.2800						
08/06/2018	***-**-9952 - NGUYEN, VICKIE	THE GROWTH FUND OF AMERICA-529A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @58.2800						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
08/06/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	75.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 04000496355	BUY 2 Units @44.0400						
08/06/2018	***-**-9952 - NGUYEN, VICKIE	THE INVESTMENT COMPANY OF AMERICA-5	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 04000496381	BUY 1 Units @44.0400						
		* 529 PLAN SUBTOTAL *	\$500.00	\$25.00	\$0.00	\$25.00		\$20.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/06/2018	***-**-0742 - SAWYER, DAVID	TRANSAMERICA VA TRAILS 89353N402	0.00	18.74	0.00	18.74	80.0	14.99
	ACCOUNT #: 121352LB8	BUY 0 Units @0.0000						
07/06/2018	***-**-0742 - SAWYER, DAVID	TRANSAMERICA VA TRAILS 89353N402	0.00	10.45	0.00	10.45	80.0	8.36
	ACCOUNT #: 121353LB8	BUY 0 Units @0.0000						
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$29.19	\$0.00	\$29.19		\$23.35
		*** BROKER TRANSACTIONS TOTAL ***	\$46,700.00	\$2,020.62	\$0.00	\$2,020.62		\$1,616.47

BROKER COMMISSION TOTALS

Broker Transactions	\$1,616.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,616.47
Year-to-date Earnings	\$26,409.40