BROKER

All Companies

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

June 09, 2017

DANIEL SIEGEL

99 WINGED FOOT DRIVE

LIVINGSTON, NJ 07039

## BROKER #: 20

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

## COMMISSION STATEMENT for DANIEL SIEGEL

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		DDOWED	TID A NIC A	CETONG					
		BROKER	IRANSA	CTIONS					
PRODUCT CAT	EGORY: STOCKS								
04/28/2017 QG	GW001497 KLUGMAN, ROBERT	GLAXOSMITHKLINE PLC SPONSORED A	DR(FS	-6,124.95	119.00	0.00	119.00	80.0	95.20
05/01/2017 QG	GW001497 KLUGMAN, ROBERT	ROCHE HOLDING LTD SPONSORED ADR	REP S	-6,552.00	123.00	0.00	123.00	80.0	98.40
05/22/2017 QG	GW001497 KLUGMAN, ROBERT	INVESTORS BANCORP INC NEW COM	В	6,544.95	134.00	0.00	134.00	80.0	107.20
05/25/2017 QG	GW001497 KLUGMAN, ROBERT	BANK AMER CORP DEPOSITARY SHS D	IV-4 S	-7,054.50	128.00	0.00	128.00	80.0	102.40
05/25/2017 QG	GW001497 KLUGMAN, ROBERT	NEW YORK COMMUNITY BANCORP INC	S	-5,200.44	121.00	0.00	121.00	80.0	96.80
05/01/2017 QG	W009805 KELLY, ROBERT	ROCHE HOLDING LTD SPONSORED ADR	REP S	-6,552.00	123.00	0.00	123.00	80.0	98.40
05/08/2017 QG	W009805 KELLY, ROBERT	WATERSTONE FINL INC MD COM	В	7,940.00	134.00	0.00	134.00	80.0	107.20
05/25/2017 QG	W009805 KELLY, ROBERT	APPLE INC	S	-7,688.57	109.00	0.00	109.00	80.0	87.20
04/28/2017 QG	GW009862 KANTOR MINOR, J.	AIME ALLY FINL INC COM	S	-3,362.62	69.00	0.00	69.00	80.0	55.20
04/28/2017 QG	GW009896 GREENBURG, JON	GLAXOSMITHKLINE PLC SPONSORED A	DR(FS	-10,208.25	50.00	0.00	50.00	80.0	40.00
05/01/2017 QG	GW009896 GREENBURG, JON	ALPS ETF TR ALERIAN MLP	В	5,047.28	50.00	0.00	50.00	80.0	40.00
05/01/2017 QG	GW009896 GREENBURG, JON	ROCHE HOLDING LTD SPONSORED ADR	REP S	-9,828.00	50.00	0.00	50.00	80.0	40.00
05/08/2017 QG	GW009896 GREENBURG, JON	WATERSTONE FINL INC MD COM	В	7,940.00	50.00	0.00	50.00	80.0	40.00
05/22/2017 QG	GW009896 GREENBURG, JON	INVESTORS BANCORP INC NEW COM	В	5,235.96	50.00	0.00	50.00	80.0	40.00
05/25/2017 QG	GW009896 GREENBURG, JON	BANK AMER CORP DEPOSITARY SHS D	[V-4 S	-9,406.00	50.00	0.00	50.00	80.0	40.00
04/28/2017 QG	GW020919 KELLY, ROBERT	GLAXOSMITHKLINE PLC SPONSORED A	DR(FS	-8,166.60	129.00	0.00	129.00	80.0	103.20
05/01/2017 QG	GW020919 KELLY, ROBERT	ALPS ETF TR ALERIAN MLP	В	6,309.10	134.00	0.00	134.00	80.0	107.20
05/22/2017 QG	GW020919 KELLY, ROBERT	INVESTORS BANCORP INC NEW COM	В	6,544.95	134.00	0.00	134.00	80.0	107.20
05/25/2017 QG	W020919 KELLY, ROBERT	BANK AMER CORP DEPOSITARY SHS D	IV-4 S	-9,406.00	144.00	0.00	144.00	80.0	115.20
05/25/2017 QG	GW020919 KELLY, ROBERT	NEW YORK COMMUNITY BANCORP INC	S	-5,200.44	121.00	0.00	121.00	80.0	96.80
04/28/2017 QG	GW020935 KANTOR, SUSAN	GLAXOSMITHKLINE PLC SPONSORED A	DR(FS	-4,083.30	59.00	0.00	59.00	80.0	47.20
05/01/2017 QG	W020935 KANTOR, SUSAN	ALPS ETF TR ALERIAN MLP	В	5,047.28	89.00	0.00	89.00	80.0	71.20
05/01/2017 QG	GW021271 KLUGMAN, ROBERT	ALPS ETF TR ALERIAN MLP	В	6,309.10	134.00	0.00	134.00	80.0	107.20
05/08/2017 QG	GW021271 KLUGMAN, ROBERT	WATERSTONE FINL INC MD COM	В	7,940.00	134.00	0.00	134.00	80.0	107.20
05/25/2017 QG	GW021271 KLUGMAN, ROBERT	APPLE INC	S	-7,688.57	109.00	0.00	109.00	80.0	87.20
04/28/2017 QG	GW022246 SUGARMAN, GAIL	GLAXOSMITHKLINE PLC SPONSORED A	DR(FS	-6,124.95	119.00	0.00	119.00	80.0	95.20
05/01/2017 QG	GW022246 SUGARMAN, GAIL	ALPS ETF TR ALERIAN MLP	В	5,047.28	119.00	0.00	119.00	80.0	95.20
05/01/2017 QG	GW022246 SUGARMAN, GAIL	ROCHE HOLDING LTD SPONSORED ADR	REP S	-6,552.00	123.00	0.00	123.00	80.0	98.40
05/08/2017 QG	GW022246 SUGARMAN, GAIL	WATERSTONE FINL INC MD COM	В	5,955.00	117.00	0.00	117.00	80.0	93.60
05/22/2017 QG	GW022246 SUGARMAN, GAIL	INVESTORS BANCORP INC NEW COM	В	5,235.96	122.00	0.00	122.00	80.0	97.60
05/25/2017 QG	GW022246 SUGARMAN, GAIL	APPLE INC	S	-7,688.57	109.00	0.00	109.00	80.0	87.20

## COMMISSION STATEMENT for DANIEL SIEGEL

TRADE DATE CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION						
BROKER TRANSACTIONS													
PRODUCT CATEGORY: STOCKS													
05/25/2017 QGW022246 SUGARMAN, GAIL	NEW YORK COMMUNITY BANCORP INC S	-3,900.33	99.00	0.00	99.00	80.0	79.20						
04/28/2017 QGW023772 PATRICK E OBRIEN,	GLAXOSMITHKLINE PLC SPONSORED ADR(F S	-6,124.95	119.00	0.00	119.00	80.0	95.20						
05/01/2017 QGW023772 PATRICK E OBRIEN,	ALPS ETF TR ALERIAN MLP B	5,047.28	119.00	0.00	119.00	80.0	95.20						
05/08/2017 QGW023772 PATRICK E OBRIEN,	WATERSTONE FINL INC MD COM B	5,955.00	117.00	0.00	117.00	80.0	93.60						
05/22/2017 QGW023772 PATRICK E OBRIEN,	INVESTORS BANCORP INC NEW COM B	5,235.96	122.00	0.00	122.00	80.0	97.60						
05/25/2017 QGW023772 PATRICK E OBRIEN,	APPLE INC S	-7,688.57	109.00	0.00	109.00	80.0	87.20						
05/25/2017 QGW023772 PATRICK E OBRIEN,	BANK AMER CORP DEPOSITARY SHS DIV-4 S	-7,054.50	128.00	0.00	128.00	80.0	102.40						
05/25/2017 QGW023772 PATRICK E OBRIEN,	NEW YORK COMMUNITY BANCORP INC S	-5,200.44	121.00	0.00	121.00	80.0	96.80						
	* STOCKS SUBTOTAL *	\$-59,521.45	\$4,190.00	\$0.00	\$4,190.00		\$3,352.00						
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS												
04/17/2017 QGW009847 LEICHER, MARVIN	BOND A B	0.00	5.64	0.00	5.64	80.0	4.51						
04/17/2017 QGW009847 LEICHER, MARVIN	BOND A B	0.00	5.64	0.00	5.64	80.0	4.51						
04/20/2017 QGW009847 LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B	0.00	15.33	0.00	15.33	80.0	12.26						
04/20/2017 QGW009847 LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B	0.00	15.33	0.00	15.33	80.0	12.26						
05/19/2017 QGW009847 LEICHER, MARVIN	BOND A B	0.00	5.52	0.00	5.52	80.0	4.42						
05/22/2017 QGW009847 LEICHER, MARVIN	OPPENHEIMER ROCHESTER NEW JERSEY MU B	0.00	24.75	0.00	24.75	80.0	19.80						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$72.21	\$0.00	\$72.21		\$57.76						
	*** BROKER TRANSACTIONS TOTAL ***	\$-59,521.45	\$4,262.21	\$0.00	\$4,262.21		\$3,409.76						
<u>ADJUSTMENTS</u>													
06/09/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00						
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00						

## BROKER COMMISSION TOTALS \$3,409.76 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$-20.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$-5.33 \$-79.92 SIPC Assessment \$3,304.51 Check Amount \$9,673.29 Year-to-date Earnings