



All Companies
COMMISSION STATEMENT
May 15, 2018

05/14/2018
Page No. 1

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: SNOW/POOL #9627

05/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	850.32	0.00	850.32	100.0	850.32
		* SNOW/POOL SUBTOTAL *		\$0.00	\$850.32	\$0.00	\$850.32		\$850.32

BROKER: ROTHSCHILD/POOL #9629

04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	110.72	44.29	0.00	44.29	100.0	44.29
04/25/2018	000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.20	0.00	0.20	100.0	0.20
04/25/2018	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.40	0.00	0.40	100.0	0.40
04/25/2018	000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.20	0.00	0.20	100.0	0.20
04/25/2018	000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.20	0.00	0.20	100.0	0.20
05/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	0.85	0.00	0.85	100.0	0.85
04/30/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	100.00	2.00	0.00	2.00	100.0	2.00
04/30/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	100.0	2.00
04/30/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	2.00	0.00	2.00	100.0	2.00
04/16/2018	00625263215 WONGPANICH, PINIJ	FIDELITY ADV EMERGING MKTS-CL C	B	6,500.00	26.00	0.00	26.00	100.0	26.00
05/01/2018	08191365977 ATCHISON, STEPHEN	MFS LIFETIME 2025 FUND-B	B	100.00	1.60	0.00	1.60	100.0	1.60
		* ROTHSCHILD/POOL SUBTOTAL *		\$7,310.72	\$79.74	\$0.00	\$79.74		\$79.74
		*** SPLIT TRANSACTIONS TOTAL ***		\$7,310.72	\$930.06	\$0.00	\$930.06		\$930.06

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
04/30/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	153.35	153.35	0.00	153.35		1.53
04/29/2018	TRAILS	-HARTFORD LIFE	B	48.54	48.54	0.00	48.54		0.49
03/26/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
03/26/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
03/08/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57		0.02
03/08/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96		0.01
03/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02		0.01
03/13/2018	TRAILS	LINCOLN BENEFIT LIFE	B	8.96	8.96	0.00	8.96		0.09
03/22/2018	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13		0.04
03/24/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65		0.01
03/26/2018	TRAILS	LINCOLN BENEFIT LIFE	B	6.69	6.69	0.00	6.69		0.07
03/28/2018	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	43.00	1.40	0.00	1.40		0.01
04/04/2018	GARRIDO, RACHEL E.	LINCOLN BENEFIT LIFE	B	356.00	11.57	0.00	11.57		0.12
04/07/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57		0.02
04/07/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96		0.01
04/12/2018	TRAILS	LINCOLN BENEFIT LIFE	B	2.27	2.27	0.00	2.27		0.02
04/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02		0.01
04/18/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	170.00	5.52	0.00	5.52		0.06
04/18/2018	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	195.08	6.34	0.00	6.34		0.06
04/19/2018	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	64.52	2.10	0.00	2.10		0.02
04/19/2018	TRAILS	LINCOLN BENEFIT LIFE	B	9.62	9.62	0.00	9.62		0.10
04/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64		0.03
04/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	98.06	3.18	0.00	3.18		0.03
04/30/2018	000000000003018 BARCENAS, RODOLFO L.	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	14.81	0.00	14.81		0.15
04/30/2018	000000000003121 BAUSKE, DIANA G.	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	3.82	0.00	3.82		0.04
04/30/2018	000000000003881 BLESSING, REBECCA D.	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	33.41	0.00	33.41		0.33
04/30/2018	0000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	20.32	0.00	20.32		0.20
04/30/2018	0000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	8.78	0.00	8.78		0.09
04/30/2018	0000000000027969 GARCIA, RODOLFO	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	14.89	0.00	14.89		0.15
04/30/2018	0000000000027971 GARCIA, MARIVIC	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	8.53	0.00	8.53		0.09
04/30/2018	0000000000028427 ROMERO, ADRIENNE	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	37.69	0.00	37.69		0.38
04/30/2018	0000000000028439 GARRIDO, FIDES	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	17.91	0.00	17.91		0.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
BROKER: JUDE RAMON L. BARCENAS #9620									
04/30/2018	000000000028441 GARRIDO, RACHEL	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	19.38	0.00	19.38		0.19
04/30/2018	000000000041513 DEVEZA, NOEMYLINA ONG	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	0.62	0.00	0.62		0.01
04/30/2018	0000000000138115 TRIMBLE, STEVEN	FRK INTL SMALL CAP GROWTH FUND - CL B		0.00	0.91	0.00	0.91		0.01
04/30/2018	0000000000156606 BLESSING, REBECCA D.	FRANKLIN TOTAL RETURN FUND - CLASS B		0.00	13.80	0.00	13.80		0.14
04/30/2018	0000000000178083 BLESSING, REBECCA D.	FRANKLIN NATURAL RESOURCES FND - CL B		0.00	13.49	0.00	13.49		0.13
04/30/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		0.00	8.94	0.00	8.94		0.09
04/30/2018	0000000000206615 CABRERA, IVY/CHARLES	FRANKLIN NATURAL RESOURCES FND - CL B		0.00	2.60	0.00	2.60		0.03
04/30/2018	0000000000210043 TANNER-SHIELDS, AMIE M.	FRANKLIN TOTAL RETURN FUND - CLASS B		0.00	1.47	0.00	1.47		0.01
04/30/2018	0000000000425619 GARRIDO, FIDES L.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	22.83	0.00	22.83		0.23
04/30/2018	0000000000425621 GARCIA, RODOLFO C.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	21.27	0.00	21.27		0.21
04/30/2018	0000000000425633 GARCIA, MARIVIC D.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	12.17	0.00	12.17		0.12
04/30/2018	0000000000425672 GARRIDO, RACHEL E.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	24.73	0.00	24.73		0.25
04/30/2018	0000000000426787 TANNER-SHIELDS, AMIE M.	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	5.06	0.00	5.06		0.05
04/30/2018	0000000000435596 CHUA, MARIPAZ	FRANKLIN SMALL CAP GROWTH II - CL C B		0.00	45.69	0.00	45.69		0.46
04/30/2018	0000000000718674 GARCIA, RODOLFO	FRANKLIN UTILITIES FD CL II	B	0.00	8.72	0.00	8.72		0.09
04/30/2018	0000000000718686 GARCIA, MARIVIC	FRANKLIN UTILITIES FD CL II	B	0.00	5.03	0.00	5.03		0.05
04/30/2018	0000000000720932 ROMERO, ADRIENNE	FRANKLIN UTILITIES FD CL II	B	0.00	16.56	0.00	16.56		0.17
04/30/2018	0000000000720944 GARRIDO, FIDES	FRANKLIN UTILITIES FD CL II	B	0.00	9.50	0.00	9.50		0.10
04/30/2018	0000000000720957 GARRIDO, RACHEL	FRANKLIN UTILITIES FD CL II	B	0.00	10.24	0.00	10.24		0.10
04/30/2018	0000000000773580 BAUSKE, DIANA G.	FRANKLIN STRATEGIC INC FD	CL II B	0.00	1.28	0.00	1.28		0.01
04/30/2018	0000000000787539 BLESSING, REBECCA D.	FRANKLIN STRATEGIC INC FD	CL II B	0.00	14.81	0.00	14.81		0.15

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BROKER: JUDE RAMON L. BARCENAS #9620									
04/30/2018	000000000817650 BARCENAS, RODOLFO	FRANKLIN VALUE FD CL II	B	0.00	36.66	0.00	36.66		0.37
04/30/2018	000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD	CL II B	0.00	9.39	0.00	9.39		0.09
04/30/2018	000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	0.00	7.16	0.00	7.16		0.07
04/30/2018	000000000894283 GARCIA, RODOLFO C.	FRANKLIN VALUE FD CL II	B	0.00	16.17	0.00	16.17		0.16
04/30/2018	000000000894295 GARCIA, MARIVIC	FRANKLIN VALUE FD CL II	B	0.00	9.34	0.00	9.34		0.09
04/30/2018	000000000894345 ROMERO, MARIPAZ C.	FRANKLIN VALUE FD CL II	B	0.00	35.96	0.00	35.96		0.36
04/30/2018	000000000894358 GARRIDO, FIDES L.	FRANKLIN VALUE FD CL II	B	0.00	13.88	0.00	13.88		0.14
04/30/2018	000000000894360 GARRIDO, RACHEL E.	FRANKLIN VALUE FD CL II	B	0.00	15.02	0.00	15.02		0.15
04/30/2018	000000000915126 TANNER-SHIELDS, AMIE M.	FRANKLIN STRATEGIC INC FD	CL II B	0.00	1.55	0.00	1.55		0.02
04/30/2018	000000001080112 GARRIDO, FIDES	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	7.29	0.00	7.29		0.07
04/30/2018	000000001080124 GARCIA, RODOLFO	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	6.89	0.00	6.89		0.07
04/30/2018	000000001080136 GARCIA, MARIVIC	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	3.98	0.00	3.98		0.04
04/30/2018	000000001080201 GARRIDO, RACHEL	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	7.93	0.00	7.93		0.08
04/30/2018	000000001088158 TANNER-SHIELDS, AMIE M.	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	1.82	0.00	1.82		0.02
04/30/2018	000000001132099 ROMERO, ADRIENNE	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	19.92	0.00	19.92		0.20
04/30/2018	000000001222571 BARCENAS, RODOLFO	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	9.34	0.00	9.34		0.09
04/30/2018	000000001224524 BUSA, JOSEPHINE JANE	FRANKLIN 102 AGE HIGH INCOME FD	C B	0.00	2.63	0.00	2.63		0.03
04/30/2018	000000001427929 GARCIA, RODOLFO	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	15.32	0.00	15.32		0.15
04/30/2018	000000001427931 GARCIA, MARIVIC	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	8.85	0.00	8.85		0.09
04/30/2018	000000001433794 GARRIDO, FIDES	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	16.61	0.00	16.61		0.17
04/30/2018	000000001433818 GARRIDO, RACHEL	FRANKLIN RISING DIVIDEND 2 FD	B	0.00	17.98	0.00	17.98		0.18

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
04/30/2018	000000001566393	BAUSKE, DIANA FRANKLIN TEMPLETON DEVELOPING MARKE B		0.00	0.66	0.00	0.66		0.01
	G.								
04/30/2018	000000001571557	BLESSING, FRANKLIN TEMPLETON DEVELOPING MARKE B		0.00	20.98	0.00	20.98		0.21
	REBECCA D.								
04/30/2018	000000001603101	CABRERA, FRANKLIN TEMPLETON DEVELOPING MARKE B		0.00	15.95	0.00	15.95		0.16
	IVY/CHARLES								
04/30/2018	000000002328348	TEMP GLOBAL BOND FND - CL C	B	0.00	1.53	0.00	1.53		0.02
	TANNER-SHIELDS, AMIE M.								
04/30/2018	000000002907499	FRANKLIN SMALL CAP GROWTH FD CL II B		0.00	4.07	0.00	4.07		0.04
	TANNER-SHIELDS, AMIE M.								
04/30/2018	000000003558398	BARCENAS, TEMP GLOBAL BOND FND - CL C	B	0.00	8.80	0.00	8.80		0.09
	RODOLFO								
04/30/2018	000000003558475	BUSA, TEMP GLOBAL BOND FND - CL C	B	0.00	2.60	0.00	2.60		0.03
	JOSEPHINE JANE								
04/30/2018	000000006329360	EVANGELISTA, 529 GROWTH & INCOME - C	B	0.00	13.32	0.00	13.32		0.13
	AURELIO/MONIQUE								
04/30/2018	000000006329360	EVANGELISTA, 529 INCOME C	B	0.00	5.32	0.00	5.32		0.05
	AURELIO/MONIQUE								
04/30/2018	000000006329360	EVANGELISTA, 529 SMALL-MID CAP GROWTH C	B	0.00	17.16	0.00	17.16		0.17
	AURELIO/MONIQUE								
04/30/2018	000000006330997	EVANGELISTA, 529 GROWTH & INCOME - C	B	0.00	15.12	0.00	15.12		0.15
	AURELIO/BEATRIC								
04/30/2018	000000006330997	EVANGELISTA, 529 INCOME C	B	0.00	4.07	0.00	4.07		0.04
	AURELIO/BEATRIC								
04/30/2018	000000006330997	EVANGELISTA, 529 SMALL-MID CAP GROWTH C	B	0.00	8.57	0.00	8.57		0.09
	AURELIO/BEATRIC								
04/30/2018	000013011131413	BACANI, ARTURO FRANKLIN HIGH YLD TAX FREE INCOM B		0.00	23.07	0.00	23.07		0.23
04/30/2018	000028212417783	TRIMBLE, FRANKLIN VALUE FD CL 1	B	0.00	1.81	0.00	1.81		0.02
	STEVEN								
04/16/2018	00006634371	JOSE, ANICIA P. FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50		0.03
04/16/2018	00006634379	JOSE, ANTONIO C. FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50		0.03
05/02/2018	00086483831	KIM, ANDREW AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
05/02/2018	00086483831	KIM, ANDREW AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
05/02/2018	00086483831	KIM, ANDREW AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
05/02/2018	00086483831	KIM, ANDREW NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02
05/02/2018	00086483831	KIM, ANDREW THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
05/02/2018	00086483875	KIM, ANDREW AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13		0.02
05/02/2018	00086483875	KIM, ANDREW AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50		0.02
05/02/2018	00086483875	KIM, ANDREW AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13		0.02
05/02/2018	00086483875	KIM, ANDREW NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13		0.02

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
05/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13		0.02
04/23/2018	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD	CL A B	220.00	11.00	0.00	11.00		0.11
04/16/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD	CL A B	100.00	2.00	0.00	2.00		0.02
04/16/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME	FD CL B	100.00	3.75	0.00	3.75		0.04
 * JUDE RAMON L. BARCENAS SUBTOTAL * 				\$2,741.33	\$1,143.52	\$0.00	\$1,143.52		\$11.50
<u> BROKER: MATTHEW THOMAS KUNZ #9631 </u>									
04/30/2018	TRAILS	CHET ADVISOR 529 PLAN	B	1.00	1.00	0.00	1.00		0.01
05/01/2018	GIANNINO, RICHARD & ELLEN	CHET ADVISOR 529 PLAN	B	50.00	1.26	0.00	1.26		0.01
 * MATTHEW THOMAS KUNZ SUBTOTAL * 				\$51.00	\$2.26	\$0.00	\$2.26		\$0.02
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
04/30/2018	000080192632036 SULLIVAN, EDWARD P	FRANKLIN DYNATECH FD CL I	B	0.00	3.16	0.00	3.16		0.03
04/26/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	104.97	1.26	0.00	1.26		0.01
04/26/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	104.97	1.26	0.00	1.26		0.01
04/26/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	44.99	0.54	0.00	0.54		0.01
04/26/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	44.99	0.54	0.00	0.54		0.01
04/26/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	74.98	0.90	0.00	0.90		0.01
04/26/2018	00062722452 POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	74.98	0.90	0.00	0.90		0.01
04/26/2018	00062722452 POFF, RICKY L	GLOBAL BALANCED FUND-A	B	74.98	0.90	0.00	0.90		0.01
04/26/2018	00062722452 POFF, RICKY L	GLOBAL BALANCED FUND-A	B	74.98	0.90	0.00	0.90		0.01
04/26/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	250.00	3.00	0.00	3.00		0.03
04/26/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	105.00	1.26	0.00	1.26		0.01
04/26/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	3.00	0.00	3.00		0.03
04/26/2018	00062936733 HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	105.00	1.26	0.00	1.26		0.01
05/03/2018	00064112804 FORD, LAVOID	AMERICAN FUNDS CAPITAL WORLD GROWT	B	333.33	9.17	0.00	9.17		0.09
04/26/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	79.78	0.96	0.00	0.96		0.01
04/26/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	79.79	0.96	0.00	0.96		0.01
04/26/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	79.78	0.96	0.00	0.96		0.01
04/26/2018	00067425273 GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	79.78	0.96	0.00	0.96		0.01
04/26/2018	00067425273 GREEN, ARTHUR C	NEW WORLD FUND-A	B	82.21	0.99	0.00	0.99		0.01
04/26/2018	00067425273 GREEN, ARTHUR C	NEW WORLD FUND-A	B	82.20	0.99	0.00	0.99		0.01
04/26/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	111.59	1.34	0.00	1.34		0.01
04/26/2018	00074669049 BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	111.59	1.34	0.00	1.34		0.01
04/26/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD	B	44.63	0.54	0.00	0.54		0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
	D								
04/26/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD B	44.63	0.54	0.00	0.54		0.01
	D								
04/26/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD GROWT B	66.95	0.80	0.00	0.80		0.01
	D								
04/26/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD GROWT B	66.95	0.80	0.00	0.80		0.01
	D								
04/26/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD B	167.99	2.02	0.00	2.02		0.02
04/26/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD B	50.40	0.60	0.00	0.60		0.01
04/26/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B	126.00	1.51	0.00	1.51		0.02
04/26/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B	126.00	1.51	0.00	1.51		0.02
04/23/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B	200.00	2.00	0.00	2.00		0.02
05/01/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B	150.00	1.50	0.00	1.50		0.02
04/27/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C B	114.00	1.14	0.00	1.14		0.01
04/27/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C B	114.00	1.14	0.00	1.14		0.01
04/27/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C B	61.56	0.62	0.00	0.62		0.01
04/27/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C B	61.56	0.62	0.00	0.62		0.01
04/26/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL INVES B	110.75	1.33	0.00	1.33		0.01
04/26/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL INVES B	70.75	0.85	0.00	0.85		0.01
04/26/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD B	110.76	1.33	0.00	1.33		0.01
04/26/2018	00089506836	HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD B	70.76	0.85	0.00	0.85		0.01
05/01/2018	00089514707	HAMILTON, JAMES	THE NEW ECONOMY FUND-529A B	50.00	2.13	0.00	2.13		0.02
05/01/2018	00089985511	YOUNG, VICTORIA	FUNDAMENTAL INVESTORS-C B	100.00	1.00	0.00	1.00		0.01
	JOBE								
04/26/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C B	153.00	1.53	0.00	1.53		0.02
04/26/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C B	153.00	1.53	0.00	1.53		0.02
05/04/2018	04000234902	OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A B	250.00	12.50	0.00	12.50		0.13
04/23/2018	04000273061	TORREZ, HEATHER	FUNDAMENTAL INVESTORS-529C B	375.00	3.75	0.00	3.75		0.04
04/26/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C B	132.00	1.32	0.00	1.32		0.01
04/26/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C B	132.00	1.32	0.00	1.32		0.01
04/27/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD B	18.23	0.50	0.00	0.50		0.01
04/27/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD B	18.23	0.50	0.00	0.50		0.01
04/26/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL INVES B	125.00	1.50	0.00	1.50		0.02
	D.								
04/26/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL INVES B	96.00	1.15	0.00	1.15		0.01
	D.								
		* JOHN HOWARD RUSSELL SUBTOTAL *		\$5,710.04	\$84.98	\$0.00	\$84.98		\$0.90
		*** OVERRIDE TRANSACTIONS TOTAL ***		\$8,502.37	\$1,230.76	\$0.00	\$1,230.76		\$12.42

BROKER COMMISSION TOTALS

Broker Transactions	\$777.64
Split Transactions	\$152.42
Override Transactions	\$12.42
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$942.48
Year-to-date Earnings	\$11,712.64