



COMMISSION STATEMENT

May 21, 2018

JEFFREY HANRETTY
8365 Seneca Turnpike
New Hartford, NY 13413

BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/08/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @34.1000						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	AMCAP FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @34.1000						
05/08/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @34.1000						
05/08/2018	***-**-4540 - NELSON, RORY	AMCAP FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 1 Units @34.1000						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @27.5600						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	AMERICAN BALANCED FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 2 Units @27.5600						
05/08/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	11.25	0.23	0.00	0.23	75.0	0.17
	ACCOUNT #: 00086069280	BUY 1 Units @12.8100						
05/08/2018	***-**-5124 - DEE, ALAN	AMERICAN FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: 00086069280	BUY 2 Units @12.8100						
05/08/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	38.52	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: 00085629087	BUY 3 Units @13.0800						
05/08/2018	***-**-1060 - DUNLAP, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00085629087	BUY 4 Units @13.0800						
05/07/2018	***-**-4547 - NORWOOD, CLINTON	AMERICAN FUNDS 2025 TARGET DATE-A	300.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: 00083594601	BUY 21 Units @14.2800						
05/08/2018	***-**-2478 - ALAGIC, INDIRA	AMERICAN FUNDS 2030 TARGET DATE-A	2,083.33	104.17	0.00	104.17	75.0	78.13
	ACCOUNT #: 04000400299	BUY 136 Units @15.3700						
05/08/2018	***-**-2478 - ALAGIC, INDIRA	AMERICAN FUNDS 2030 TARGET DATE-A	67.68	3.38	0.00	3.38	75.0	2.54
	ACCOUNT #: 04000400299	BUY 4 Units @15.3700						
05/08/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	45.84	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: 04000070058	BUY 3 Units @15.7200						
05/08/2018	***-**-8758 - RIOLO, LAWRENCE	AMERICAN FUNDS 2035 TARGET DATE-A	45.84	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: 04000070058	BUY 3 Units @15.7200						
05/07/2018	***-**-627J - KALWARA, TREVOR	AMERICAN FUNDS 2045 TARGET DATE-A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 04000868759	BUY 6 Units @16.6200						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/08/2018	***-**-01IN - MARNELL, CARMEL	CAPITAL APPRECIATION A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 03212148367	BUY 0 Units @0.0000						
05/15/2018	***-**-3335 - SPENCER, DAVID	CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03220677006	BUY 0 Units @0.0000						
05/08/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @60.5600						
05/08/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL INCOME BUILDER CLASS C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @60.5600						
05/08/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	2.96	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468551	BUY 0 Units @60.5600						
05/08/2018	***-**-2542 - OGIYEVICH,	CAPITAL INCOME BUILDER CLASS C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @60.5600						
05/08/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @60.5600						
05/08/2018	***-**-3569 - YOZZO, ROSA	CAPITAL INCOME BUILDER CLASS C	35.77	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @60.5600						
05/08/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	1.58	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @19.3800						
05/08/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD BOND FUND-C	17.88	0.18	0.00	0.18	75.0	0.14
	ACCOUNT #: 00083468583	BUY 1 Units @19.3800						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.1500						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.1500						
05/08/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @53.1500						
05/08/2018	***-**-9027 - NELSON,	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @53.1500						
05/08/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @54.2600						
05/08/2018	***-**-7287 - VINCI, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @54.2600						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/08/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @51.2200						
05/08/2018	***-**-2354 - PENDOLF, NANCY	CAPITAL WORLD GROWTH AND INCOME FUN	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @51.2200						
05/08/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	2.96	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468551	BUY 0 Units @51.2200						
05/08/2018	***-**-2542 - OGIYEVICH,	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @51.2200						
05/08/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	3.17	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @51.2200						
05/08/2018	***-**-3569 - YOZZO, ROSA	CAPITAL WORLD GROWTH AND INCOME FUN	35.75	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 00083468583	BUY 1 Units @51.2200						
05/08/2018	***-**-01IN - MARNELL, CARMEL	EMERGING MARKETS INNOVATORS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100109770	BUY 0 Units @0.0000						
05/01/2018	***-**-8166 - SUYDAM, JERRY	EQUITY INCOME FUND, INC. C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 08370430485	BUY 0 Units @0.0000						
05/08/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	6.23	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @64.0600						
05/08/2018	***-**-4540 - NELSON, RORY	FUNDAMENTAL INVESTORS-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @64.0600						
05/08/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @62.0800						
05/08/2018	***-**-3569 - YOZZO, ROSA	FUNDAMENTAL INVESTORS-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @62.0800						
05/08/2018	***-**-01IN - MARNELL, CARMEL	GLOBAL FUND A	40.00	1.90	0.00	1.90	75.0	1.43
	ACCOUNT #: 03308022016	BUY 0 Units @0.0000						
05/15/2018	***-**-3203 - COMPION, ELLEN	GLOBAL FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 03307715985	BUY 0 Units @0.0000						
05/08/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @22.4500						
05/08/2018	***-**-3569 - YOZZO, ROSA	INCOME FUND OF AMERICA CLASS C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @22.4500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	MAIN STREET FUND C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 07024172894	BUY 0 Units @0.0000						
05/01/2018	***-**-8166 - SUYDAM, JERRY	MAIN STREET FUND C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 07024172916	BUY 0 Units @0.0000						
05/15/2018	***-**-3335 - SPENCER, DAVID	MAIN STREET FUND C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 07022642860	BUY 0 Units @0.0000						
05/08/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @45.9400						
05/08/2018	***-**-4540 - NELSON, RORY	NEW PERSPECTIVE FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @45.9400						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @68.7900						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @68.7900						
05/08/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @70.2300						
05/08/2018	***-**-7287 - VINCI, DAVID	NEW WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @70.2300						
05/08/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @64.6600						
05/08/2018	***-**-3569 - YOZZO, ROSA	NEW WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @64.6600						
05/08/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER CORE BOND FUND A	40.00	1.60	0.00	1.60	75.0	1.20
	ACCOUNT #: 02852540989	BUY 0 Units @0.0000						
05/15/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER CORE BOND FUND A	25.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 02852429284	BUY 0 Units @0.0000						
05/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER GLOBAL CLASS C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 03322626976	BUY 0 Units @0.0000						
05/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	OPPENHEIMER MAIN STREET OPPORTUNITY	100.00	1.00	0.00	1.00	75.0	0.75
	ACCOUNT #: 07331164691	BUY 0 Units @0.0000						
05/01/2018	***-**-8166 - SUYDAM, JERRY	OPPENHEIMER MAIN STREET OPPORTUNITY	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 07331164724	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/08/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER SMALL & MID CAP VALUE F	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02515071616	BUY 0 Units @0.0000						
05/15/2018	***-**-3203 - COMPION, ELLEN	OPPENHEIMER SMALL & MID CAP VALUE F	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 02514937295	BUY 0 Units @0.0000						
05/08/2018	***-**-01IN - MARNELL, CARMEL	OPPENHEIMER STRATEGIC INCOME FD CL	20.00	0.80	0.00	0.80	75.0	0.60
	ACCOUNT #: 02307440689	BUY 0 Units @0.0000						
04/16/2018	***-**-6983 - FLANDERS FAM	PIMCO INCOME FUND C	50,000.00	500.00	0.00	500.00	75.0	375.00
		BUY 0 Units @0.0000						
05/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	QUEST BALANCED FUND C	75.00	0.75	0.00	0.75	75.0	0.56
	ACCOUNT #: 02592264273	BUY 0 Units @0.0000						
05/01/2018	***-**-8166 - SUYDAM, JERRY	QUEST BALANCED FUND C	87.50	0.88	0.00	0.88	75.0	0.66
	ACCOUNT #: 02592264306	BUY 0 Units @0.0000						
05/08/2018	***-**-01IN - MARNELL, CARMEL	RISING DIVIDENDS FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 02254838053	BUY 0 Units @0.0000						
05/15/2018	***-**-3203 - COMPION, ELLEN	SELECT VALUE FUND A	25.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 06000770220	BUY 0 Units @0.0000						
05/08/2018	***-**-01IN - MARNELL, CARMEL	SMALL CAP VALUE FUND A	20.00	0.95	0.00	0.95	75.0	0.71
	ACCOUNT #: 00100051250	BUY 0 Units @0.0000						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	6.41	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744276	BUY 0 Units @58.9100						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744276	BUY 1 Units @58.9100						
05/08/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	6.24	0.12	0.00	0.12	75.0	0.09
	ACCOUNT #: 00073748857	BUY 0 Units @58.9100						
05/08/2018	***-**-4540 - NELSON, RORY	SMALLCAP WORLD FUND-A	18.75	0.38	0.00	0.38	75.0	0.29
	ACCOUNT #: 00073748857	BUY 0 Units @58.9100						
05/08/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @60.1500						
05/08/2018	***-**-7287 - VINCI, DAVID	SMALLCAP WORLD FUND-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @60.1500						
05/08/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	2.90	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @50.3900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/08/2018	***-**-3569 - YOZZO, ROSA	SMALLCAP WORLD FUND-C	32.79	0.33	0.00	0.33	75.0	0.25
	ACCOUNT #: 00083468583	BUY 1 Units @50.3900						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 1 Units @12.8100						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 2 Units @12.8100						
05/08/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	20.40	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: 00085629076	BUY 2 Units @12.9800						
05/08/2018	***-**-7287 - VINCI, DAVID	THE BOND FUND OF AMERICA-A	53.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: 00085629076	BUY 4 Units @12.9800						
05/08/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	1.80	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @12.4900						
05/08/2018	***-**-2354 - PENDOLF, NANCY	THE BOND FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 1 Units @12.4900						
05/08/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	2.96	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468551	BUY 0 Units @12.4900						
05/08/2018	***-**-2542 - OGIYEVICH,	THE BOND FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 1 Units @12.4900						
05/08/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	2.39	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @12.4900						
05/08/2018	***-**-3569 - YOZZO, ROSA	THE BOND FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 2 Units @12.4900						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	7.40	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @53.7600						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @53.7600						
05/08/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @53.7600						
05/08/2018	***-**-9027 - NELSON,	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @53.7600						
05/08/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	20.40	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 00085629076	BUY 0 Units @54.8900						



COMMISSION STATEMENT

May 21, 2018

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BROKER #: B08
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
05/08/2018	***-**-7287 - VINCI, DAVID	THE GROWTH FUND OF AMERICA-A	53.00	1.99	0.00	1.99	75.0	1.49
	ACCOUNT #: 00085629076	BUY 1 Units @54.8900						
05/08/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	1.82	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468545	BUY 0 Units @48.4900						
05/08/2018	***-**-2354 - PENDOLF, NANCY	THE GROWTH FUND OF AMERICA-C	6.25	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00083468545	BUY 0 Units @48.4900						
05/08/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	2.96	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: 00083468551	BUY 0 Units @48.4900						
05/08/2018	***-**-2542 - OGIYEVICH,	THE GROWTH FUND OF AMERICA-C	12.50	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00083468551	BUY 0 Units @48.4900						
05/08/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	2.38	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @48.4900						
05/08/2018	***-**-3569 - YOZZO, ROSA	THE GROWTH FUND OF AMERICA-C	26.83	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 00083468583	BUY 1 Units @48.4900						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	7.38	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 00073744335	BUY 0 Units @23.3200						
05/08/2018	***-**-381I - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	31.25	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: 00073744335	BUY 1 Units @23.3200						
05/08/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	6.49	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @23.3200						
05/08/2018	***-**-9027 - NELSON,	THE INCOME FUND OF AMERICA-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 3 Units @23.3200						
05/08/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	2.11	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 00083468583	BUY 0 Units @13.2600						
05/08/2018	***-**-3569 - YOZZO, ROSA	U.S. GOVERNMENT SECURITIES FUND-C	23.85	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 00083468583	BUY 2 Units @13.2600						
05/08/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	6.48	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: 00073744742	BUY 0 Units @46.5600						
05/08/2018	***-**-9027 - NELSON,	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	75.0	0.94
	ACCOUNT #: 00073744742	BUY 1 Units @46.5600						
* MUTUAL FUNDS SUBTOTAL *			\$55,384.15	\$689.55	\$0.00	\$689.55		\$517.25



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/21/2018	***-**-84IN - ROBINSON, CHERYL	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	4.48	0.00	4.48	75.0	3.36
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL INCOME BUILDER-A	0.00	2.99	0.00	2.99	75.0	2.24
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
05/21/2018	***-**-24IO - ALLEN, GERTRUDE	CAPITAL INCOME BUILDER-A	0.00	4.03	0.00	4.03	75.0	3.02
	ACCOUNT #: LSR012146	BUY 1 Units @0.0000						
05/21/2018	***-**-89IN - CAPPELLI,	CAPITAL INCOME BUILDER-F1	0.00	0.29	0.00	0.29	75.0	0.22
	ACCOUNT #: LSR012125	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.45	0.00	2.45	75.0	1.84
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-89IN - CAPPELLI,	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: LSR012125	BUY 1 Units @0.0000						
05/21/2018	***-**-33IN - CHRISTIANO,	COLUMBIA DISCIPLINED CORE A	0.00	24.96	0.00	24.96	75.0	18.72
	ACCOUNT #: LSR012034	BUY 1 Units @0.0000						
05/21/2018	***-**-633J - CHRISTIANO,	COLUMBIA DISCIPLINED CORE A	0.00	15.00	0.00	15.00	75.0	11.25
	ACCOUNT #: LSR012035	BUY 1 Units @0.0000						
05/01/2018	***-**-1837 - WAMPFLER-SUYDAM,	CORE BOND FND C	25.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 02870496166	BUY 0 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	EUROPACIFIC GROWTH FUND-A	0.00	0.46	0.00	0.46	75.0	0.35
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	FUNDAMENTAL INVESTORS-A	0.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
05/21/2018	***-**-381J - ZARNOCK, MARTIN	FUNDAMENTAL INVESTORS-A	0.00	1.63	0.00	1.63	75.0	1.22
	ACCOUNT #: LSR012033	BUY 1 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	FUNDAMENTAL INVESTORS-A	0.00	0.73	0.00	0.73	75.0	0.55
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-24IO - ALLEN, GERTRUDE	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: LSR012146	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	NEW WORLD FUND-A	0.00	1.51	0.00	1.51	75.0	1.13
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-84IN - ROBINSON, CHERYL	NEW WORLD FUND-A	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	0.57	0.00	0.57	75.0	0.43
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-781I - GOULD, ROBERT	PUTNAM EQUITY INCOME FUND-M	0.00	4.90	0.00	4.90	75.0	3.68
	ACCOUNT #: LSR012003	BUY 1 Units @0.0000						
05/21/2018	***-**-87IO - WIGGINS, DONNA	RESOURCE CREDIT INCOMEFD CL W-NFS	0.00	10.24	0.00	10.24	75.0	7.68
	ACCOUNT #: LSM000203	BUY 1 Units @0.0000						
05/21/2018	***-**-98IO - BRENNAN, ROBIN	RESOURCE CREDIT INCOMEFD CL W-NFS	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: LSM000219	BUY 1 Units @0.0000						
05/21/2018	***-**-80IO - MAMMONE, JOANN	RESOURCE CREDIT INCOMEFD CL W-NFS	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: LSM000223	BUY 1 Units @0.0000						
05/21/2018	***-**-13IN - KUPIEC, RICHARD	ROCHESTER FUND MUNICIPALS A	0.00	1.71	0.00	1.71	75.0	1.28
	ACCOUNT #: LSR012014	BUY 1 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	SELECT VALUE FUND A	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-781I - GOULD, ROBERT	SMALLCAP WORLD FUND-A	0.00	3.75	0.00	3.75	75.0	2.81
	ACCOUNT #: LSR012003	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	SMALLCAP WORLD FUND-A	0.00	3.06	0.00	3.06	75.0	2.30
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	SMALLCAP WORLD FUND-A	0.00	0.64	0.00	0.64	75.0	0.48
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	THE BOND FUND OF AMERICA-A	0.00	2.07	0.00	2.07	75.0	1.55
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
05/21/2018	***-**-381I - ZARNOCK, MARTIN	THE GROWTH FUND OF AMERICA-A	0.00	4.63	0.00	4.63	75.0	3.47
	ACCOUNT #: LSR012021	BUY 1 Units @0.0000						
05/21/2018	***-**-84IN - ROBINSON, CHERYL	THE GROWTH FUND OF AMERICA-A	0.00	0.60	0.00	0.60	75.0	0.45
	ACCOUNT #: LSR012404	BUY 1 Units @0.0000						
05/21/2018	***-**-381J - ZARNOCK, MARTIN	THE INCOME FUND OF AMERICA-A	0.00	1.26	0.00	1.26	75.0	0.95
	ACCOUNT #: LSR012033	BUY 1 Units @0.0000						
05/21/2018	***-**-24IO - ALLEN, GERTRUDE	THE INCOME FUND OF AMERICA-A	0.00	3.56	0.00	3.56	75.0	2.67
	ACCOUNT #: LSR012146	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-89IN - CAPPELLI, ACCOUNT #: LSR012125	THE INCOME FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	75.0	0.30
05/21/2018	***-**-84IN - ROBINSON, CHERYL ACCOUNT #: LSR012404	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	75.0	0.20
05/21/2018	***-**-89IN - CAPPELLI, ACCOUNT #: LSR012125	THE INVESTMENT COMPANY OF AMERICA-F BUY 1 Units @0.0000	0.00	0.27	0.00	0.27	75.0	0.20
05/21/2018	***-**-381J - ZARNOCK, MARTIN ACCOUNT #: LSR012033	U.S. GOVERNMENT SECURITIES FUND CLA BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	75.0	0.48
05/21/2018	***-**-381I - ZARNOCK, MARTIN ACCOUNT #: LSR012021	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	75.0	1.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$25.00	\$113.38	\$0.00	\$113.38		\$85.06
*** BROKER TRANSACTIONS TOTAL ***			\$55,409.15	\$802.93	\$0.00	\$802.93		\$602.31

BROKER COMMISSION TOTALS

Broker Transactions	\$602.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$602.31
Year-to-date Earnings	\$37,738.63