



COMMISSION STATEMENT

January 22, 2018

DAVID IOBST
323 Paddington Dr
Bear, DE 19701

BROKER #: B01
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/16/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | CAPITAL WORLD GROWTH AND INCOME FUN SELL 226 Units @53.1000 | 12,000.02 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 01/16/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | SMALLCAP WORLD FUND-A SELL 174 Units @57.5400 | 9,999.99 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| 01/16/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | THE INVESTMENT COMPANY OF AMERICA-A SELL 478 Units @41.8300 | 20,000.01 | 0.00 | 18.00 | -18.00 | 100.0 | -18.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$42,000.02</u> | <u>\$0.00</u> | <u>\$54.00</u> | <u>\$-54.00</u> | | <u>\$-54.00</u> |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 01/18/2018 | ***-**-34FB - DAWSON, BRIAN ACCOUNT #: 3475195 | SAVOS GMS GLOBAL - DYN HEDGING, PRO BUY 0 Units @304.7320 | 30,473.20 | 75.12 | 0.00 | 75.12 | 60.0 | 45.07 |
| * RIA SUBTOTAL * | | | <u>\$30,473.20</u> | <u>\$75.12</u> | <u>\$0.00</u> | <u>\$75.12</u> | | <u>\$45.07</u> |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/22/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000 | 0.00 | 5.06 | 0.00 | 5.06 | 60.0 | 3.04 |
| 01/22/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | SMALLCAP WORLD FUND-A BUY 1 Units @0.0000 | 0.00 | 4.41 | 0.00 | 4.41 | 60.0 | 2.65 |
| 01/22/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | TAX-EXEMPT BOND FUND OF AMERICA BUY 1 Units @0.0000 | 0.00 | 1.88 | 0.00 | 1.88 | 60.0 | 1.13 |
| 01/22/2018 | ***-**-211J - IOBST, DAVID ACCOUNT #: LSF000304 | THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000 | 0.00 | 7.89 | 0.00 | 7.89 | 60.0 | 4.73 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | <u>\$0.00</u> | <u>\$19.24</u> | <u>\$0.00</u> | <u>\$19.24</u> | | <u>\$11.55</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$72,473.22</u> | <u>\$94.36</u> | <u>\$54.00</u> | <u>\$40.36</u> | | <u>\$2.62</u> |



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|---|--------|------------|--------|------------------|-----------------|----------------|------|-------------------|
| <div>BROKER COMMISSION TOTALS</div> <div><div>Broker Transactions</div><div>Split Transactions</div><div>Override Transactions</div><div>Adjustments</div><div>Payroll Draw</div><div>Base Salary</div><div>Prior Period Balance</div><div>FINRA Assessment</div><div>SIPC Assessment</div></div> <div><div>Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD</div><div><div>Balance Forward</div><div>Year-to-date Earnings</div></div><div><div>\$2.62</div><div>\$714.33</div></div></div> | | | | | | | | |