



Concorde Investment Services, LLC

08/14/2018

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**COMMISSION STATEMENT****August 15, 2018**

DAN/JON P/JEFF FT-GOB NY RAUPP/CIRE  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G0B  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
^07/13/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.61	0.00	17.61	100.0	0.00
		<b>* REIT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$17.61</b>	<b>\$0.00</b>	<b>\$17.61</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
^07/17/2018	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	112.41	0.00	112.41	100.0	0.00
		<b>* 1031 EXCHANGES SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$112.41</b>	<b>\$0.00</b>	<b>\$112.41</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^07/16/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.97	0.00	1.97	100.0	0.00
^07/27/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.33	0.00	12.33	100.0	0.00
^07/27/2018	DOLCE, CHARLES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.63	0.00	6.63	100.0	0.00
^07/27/2018	DOLCE, JENNIFER	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.45	0.00	4.45	100.0	0.00
^07/27/2018	RYDER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	54.41	0.00	54.41	100.0	0.00
^07/27/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.07	0.00	5.07	100.0	0.00
^07/27/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.92	0.00	7.92	100.0	0.00
^07/27/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.26	0.00	2.26	100.0	0.00
^07/27/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.26	0.00	10.26	100.0	0.00
^07/27/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.42	0.00	4.42	100.0	0.00
^07/27/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.88	0.00	2.88	100.0	0.00
^07/27/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.59	0.00	4.59	100.0	0.00
^07/27/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	9.47	0.00	9.47	100.0	0.00
^07/27/2018	STARK, LINDA	MAINSTAY MAP A	0.00	1.91	0.00	1.91	100.0	0.00
^07/23/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	10.23	0.00	10.23	100.0	0.00
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$138.80</b>	<b>\$0.00</b>	<b>\$138.80</b>		<b>\$0.00</b>
		<b>*** DIRECT TRANSACTIONS TOTAL ***</b>	<b>\$0.00</b>	<b>\$268.82</b>	<b>\$0.00</b>	<b>\$268.82</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.00
08/15/2018		*TECH: ADMIN FULMER G0B	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed