

COMMISSION STATEMENT

October 31, 2017

KINGSBURY INC.

BROKER #: 86

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
10/09/2017	75597009	ARNOLD, GENE	TEMP WORLD FND - CL A	S	9,050.00	0.00	0.00	0.00	100.0	0.00
10/11/2017	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES	S	1,500.00	0.00	0.00	0.00	100.0	0.00
10/24/2017	75597061	LOIACANO, LAURETTE	BLACKROCK HEALTH SCIENCES FUND CLAS	S	12,058.39	0.00	0.00	0.00	100.0	0.00
10/05/2017	75597066	AMBERG, SUZANNE	AMCAP FUND-C	B	1,500.00	15.00	0.00	15.00	100.0	15.00
10/05/2017	75597066	AMBERG, SUZANNE	AMERICAN BALANCED FUND-C	B	1,500.00	15.00	0.00	15.00	100.0	15.00
10/05/2017	75597066	AMBERG, SUZANNE	CAPITAL WORLD GROWTH AND INCOME FUN	B	500.00	5.00	0.00	5.00	100.0	5.00
10/05/2017	75597066	AMBERG, SUZANNE	EUROPACIFIC GROWTH FUND CLASS C	B	1,000.00	10.00	0.00	10.00	100.0	10.00
10/05/2017	75597066	AMBERG, SUZANNE	FUNDAMENTAL INVESTORS-C	B	1,000.00	10.00	0.00	10.00	100.0	10.00
10/05/2017	75597066	AMBERG, SUZANNE	NEW PERSPECTIVE FUND-C	B	1,000.00	10.00	0.00	10.00	100.0	10.00
10/20/2017	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A	S	344.00	0.00	0.00	0.00	100.0	0.00
10/20/2017	75597105	DAVIS, DONALD	NEW PERSPECTIVE FUND-A	S	2,800.00	0.00	0.00	0.00	100.0	0.00
10/02/2017	75597107	MORGAN, LESTER	THE INVESTMENT COMPANY OF AMERICA-A	S	1,000.00	0.00	0.00	0.00	100.0	0.00
10/05/2017	75597122	AMBERG, JOHN	AMCAP FUND-C	B	2,100.00	21.00	0.00	21.00	100.0	21.00
10/05/2017	75597122	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
10/05/2017	75597122	AMBERG, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	B	2,000.00	20.00	0.00	20.00	100.0	20.00
10/05/2017	75597122	AMBERG, JOHN	EUROPACIFIC GROWTH FUND CLASS C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
10/05/2017	75597122	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
10/05/2017	75597122	AMBERG, JOHN	NEW PERSPECTIVE FUND-C	B	2,000.00	20.00	0.00	20.00	100.0	20.00
10/25/2017	75597136	JAMES WEBSTER PLAN	BOND-DEBENTURE FUND CLASS A	S	29,355.47	0.00	0.00	0.00	100.0	0.00
10/20/2017	75597173	NELSON, CAROLE	MAINSTAY LARGE CAP GROWTH FUND CLAS	S	800.00	0.00	0.00	0.00	100.0	0.00
10/04/2017	75597177	CLARK JR, PHILLIP	FRK VAL FND - CL A	B	1,950.00	73.13	0.00	73.13	100.0	73.13
10/20/2017	75597185	BOL, BEVERLY	PIONEER FUND A	S	231.00	0.00	0.00	0.00	100.0	0.00
10/26/2017	75597186	ORRIN PROPERTY	GLOBAL OPPORTUNITIES A	B	161,800.00	2,588.80	0.00	2,588.80	100.0	2,588.80
10/30/2017	75597194	EDWIN C BLITZ TTEE	ALLIANCEBERNSTEIN GLO GOVT INC TRST	S	67,167.50	0.00	0.00	0.00	100.0	0.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	ALLIANCEBERNSTEIN GLO GOVT INC TRST	S	34,020.89	0.00	0.00	0.00	100.0	0.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	LORD ABBETT BOND-DEBENTURE FUND INC	S	106,932.86	0.00	0.00	0.00	100.0	0.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	LORD ABBETT SHORT DURATION INCOME F	S	116,768.27	0.00	0.00	0.00	100.0	0.00
10/30/2017	75597194	EDWIN C BLITZ TTEE	LORD ABBETT INCOME FUND CL C	S	49,153.11	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$613,531.49	\$2,847.93	\$0.00	\$2,847.93		\$2,847.93

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
10/25/2017	75597046	MENZ, SUSAN		BLACKROCK CAPITAL INVESTMENT CORPOR B	18,298.50	399.00	12.00	387.00	100.0	387.00	
10/25/2017	75597046	MENZ, SUSAN		ILLINOIS TOOL WORKS INC	S	19,713.50	150.00	12.00	138.00	100.0	138.00
10/25/2017	75597047	MENZ, SUSAN		BLACKROCK CAPITAL INVESTMENT CORPOR B	10,977.96	225.00	12.00	213.00	100.0	213.00	
10/25/2017	75597047	MENZ, SUSAN		ILLINOIS TOOL WORKS INC	S	11,039.01	105.00	12.00	93.00	100.0	93.00
10/24/2017	75597061	LOIACANO, LAURETTE		EATON VANCE TAX MANAGD GLBL DIVERSI B	3,959.56	149.20	12.00	137.20	100.0	137.20	
10/26/2017	75597061	LOIACANO, LAURETTE		BLACKROCK CAPITAL INVESTMENT CORPOR B	9,171.42	200.00	12.00	188.00	100.0	188.00	
10/25/2017	75597070	MENZ, JAMES		BLACKROCK CAPITAL INVESTMENT CORPOR B	10,949.80	275.00	12.00	263.00	100.0	263.00	
10/25/2017	75597070	MENZ, JAMES		ILLINOIS TOOL WORKS INC	S	7,880.51	50.00	12.00	38.00	100.0	38.00
10/25/2017	75597071	MENZ, JAMES		BLACKROCK CAPITAL INVESTMENT CORPOR B	18,275.00	375.00	12.00	363.00	100.0	363.00	
10/25/2017	75597071	MENZ, JAMES		ILLINOIS TOOL WORKS INC	S	15,772.01	105.00	12.00	93.00	100.0	93.00
10/24/2017	75597072	JAMES R MENZ TTEE		EATON VANCE TAX MANAGD GLBL DIVERSI B	27,956.40	450.00	12.00	438.00	100.0	438.00	
10/26/2017	75597072	JAMES R MENZ TTEE		BLACKROCK CAPITAL INVESTMENT CORPOR B	18,349.75	375.00	12.00	363.00	100.0	363.00	
10/25/2017	75597136	JAMES WEBSTER PLAN		BLACKROCK CAPITAL INVESTMENT CORPOR B	16,425.49	375.00	12.00	363.00	100.0	363.00	
10/23/2017	75597157	STOTTS, SARA		BLACKROCK CAPITAL INVESTMENT CORPOR B	11,054.85	200.00	12.00	188.00	100.0	188.00	
10/23/2017	75597157	STOTTS, SARA		CNO FINANCIAL GROUP INC	S	12,155.75	200.00	12.00	188.00	100.0	188.00
10/23/2017	75597161	STOTTS, ALLISON		CNO FINANCIAL GROUP INC	S	18,211.13	225.00	12.00	213.00	100.0	213.00
10/12/2017	75597166	KOE, LINDA		3M COMPANY	B	16,321.50	25.00	12.00	13.00	100.0	13.00
10/12/2017	75597166	KOE, LINDA		ADVANCED MICRO DEVICES INC	B	12,023.25	25.00	12.00	13.00	100.0	13.00
10/12/2017	75597166	KOE, LINDA		BECTON DICKINSON & CO	B	19,853.06	25.00	12.00	13.00	100.0	13.00
10/12/2017	75597166	KOE, LINDA		COSTCO WHOLESALE CORP-NEW	B	10,173.80	25.00	12.00	13.00	100.0	13.00
10/12/2017	75597166	KOE, LINDA		JOHNSON & JOHNSON	B	27,390.00	25.00	12.00	13.00	100.0	13.00
10/12/2017	75597166	KOE, LINDA		MERCK & CO INC	B	10,210.66	25.00	12.00	13.00	100.0	13.00
10/12/2017	75597166	KOE, LINDA		WAL-MART STORES INC	B	10,727.19	25.00	12.00	13.00	100.0	13.00
10/10/2017	75597194	EDWIN C BLITZ TTEE		SOUTHERN CO	S	250,011.24	1,500.00	12.00	1,488.00	100.0	1,488.00
10/10/2017	75597194	EDWIN C BLITZ TTEE		SOUTHERN CO	S	150,690.36	999.99	12.00	987.99	100.0	987.99
				* STOCKS SUBTOTAL *	\$737,591.70	\$6,533.19	\$300.00	\$6,233.19		\$6,233.19	
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS											
10/05/2017	75597033	SCHIPFER, RYAN		UIT GUGGENHEIM DEFINED PORTFOL SER B	1,006.86	20.14	12.00	8.14	100.0	8.14	
				* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$1,006.86	\$20.14	\$12.00	\$8.14		\$8.14	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
10/10/2017	75597002	WEINER, MARK		IVY INTERNATIONAL CORE EQUITY FUND B	0.00	3.59	0.00	3.59	100.0	3.59	
10/10/2017	75597002	WEINER, MARK		VIRTUS SMALL CAP SUSTAINABLE GROWTH B	0.00	2.80	0.00	2.80	100.0	2.80	
10/10/2017	75597002	WEINER, MARK		WELLS FARGO FDS SPL SMALL CAP VALUE B	0.00	2.44	0.00	2.44	100.0	2.44	
10/10/2017	75597002	WEINER, MARK		WELLS FARGO SPECIAL MID CAP VALUE F B	0.00	2.38	0.00	2.38	100.0	2.38	
10/10/2017	75597003	WEINER, MARK		**VOYA EQUITY TR	B	0.00	4.17	0.00	4.17	100.0	4.17
10/10/2017	75597003	WEINER, MARK		CALAMOS EVOLVING WORLD GROWTH FUND B	0.00	12.53	0.00	12.53	100.0	12.53	
10/10/2017	75597003	WEINER, MARK		IVY INTERNATIONAL CORE EQUITY FUND B	0.00	6.85	0.00	6.85	100.0	6.85	
10/10/2017	75597003	WEINER, MARK		JANUS INVT FD HENDERSON INTL OPPRTN B	0.00	6.54	0.00	6.54	100.0	6.54	

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75597003	WEINER, MARK	NEW WORLD FUND-A	B	0.00	4.33	0.00	4.33 100.0	4.33
10/10/2017	75597003	WEINER, MARK	WELLS FARGO FDS SPL SMALL CAP VALUE B		0.00	5.60	0.00	5.60 100.0	5.60
10/10/2017	75597003	WEINER, MARK	WELLS FARGO SPECIAL MID CAP VALUE F B		0.00	9.69	0.00	9.69 100.0	9.69
10/25/2017	75597003	WEINER, MARK	VICTORY SYCAMORE	B	0.00	9.77	0.00	9.77 100.0	9.77
10/25/2017	75597003	WEINER, MARK	VICTORY SYCAMORE SMALL	B	0.00	5.86	0.00	5.86 100.0	5.86
10/10/2017	75597005	MOUGHAN, PATRICK	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	17.56	0.00	17.56 100.0	17.56
10/10/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS BANKING FD CL A	B	0.00	20.60	0.00	20.60 100.0	20.60
10/10/2017	75597005	MOUGHAN, PATRICK	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	20.70	0.00	20.70 100.0	20.70
10/10/2017	75597007	BRENT, NANCY	CAPITAL INCOME A	B	0.00	19.74	0.00	19.74 100.0	19.74
10/10/2017	75597008	VELLON, MICHAEL	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	10.94	0.00	10.94 100.0	10.94
10/10/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS BANKING FD CL A	B	0.00	12.56	0.00	12.56 100.0	12.56
10/10/2017	75597008	VELLON, MICHAEL	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	12.72	0.00	12.72 100.0	12.72
10/10/2017	75597009	ARNOLD, GENE	TEMP GLOBAL SM CO FND - CL A	B	0.00	11.49	0.00	11.49 100.0	11.49
10/10/2017	75597009	ARNOLD, GENE	TEMP WORLD FND - CL A	B	0.00	12.09	0.00	12.09 100.0	12.09
10/10/2017	75597013	DANTONIO, JUSTIN	FIDELITY ADVISOR EMERGING MARKETS F B		0.00	0.61	0.00	0.61 100.0	0.61
10/10/2017	75597013	DANTONIO, JUSTIN	FIDELITY COMWLTH TR FIDELITY ADV EV B		0.00	0.80	0.00	0.80 100.0	0.80
10/10/2017	75597014	PAMELA J EDWARDS TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	6.16	0.00	6.16 100.0	6.16
10/10/2017	75597017	ANDRE, BRUCE	BLACKROCK HEALTH SCIENCES FUND CLAS B		0.00	10.48	0.00	10.48 100.0	10.48
10/10/2017	75597024	PRESKILL, RICHARD	EATON VANCE HIGH YIELD MUNICIPAL IN B		0.00	10.46	0.00	10.46 100.0	10.46
10/10/2017	75597024	PRESKILL, RICHARD	FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	8.08	0.00	8.08 100.0	8.08
10/10/2017	75597024	PRESKILL, RICHARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	2.97	0.00	2.97 100.0	2.97
10/10/2017	75597024	PRESKILL, RICHARD	FRK AGE HIGH INC FND - CL A	B	0.00	4.88	0.00	4.88 100.0	4.88
10/10/2017	75597024	PRESKILL, RICHARD	FRK FL TF INC FND - CL A	B	0.00	12.93	0.00	12.93 100.0	12.93
10/10/2017	75597024	PRESKILL, RICHARD	FRK UTIL FND - CL A	B	0.00	35.82	0.00	35.82 100.0	35.82
10/10/2017	75597028	DUBINSKY, ALEKSANDER	AIM ENERGY FUND - CLASS C	B	0.00	29.94	0.00	29.94 100.0	29.94
10/10/2017	75597028	DUBINSKY, ALEKSANDER	AIM GOLD & PRECIOUS METALS FD - C	B	0.00	16.19	0.00	16.19 100.0	16.19
10/10/2017	75597028	DUBINSKY, ALEKSANDER	AIM GOLD & PRECIOUS METALS FD - A	B	0.00	1.75	0.00	1.75 100.0	1.75
10/10/2017	75597028	DUBINSKY, ALEKSANDER	AIM INTERNATIONAL GROWTH FUND - CL	B	0.00	10.20	0.00	10.20 100.0	10.20
10/10/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER CHINA GROWTH FU B		0.00	3.33	0.00	3.33 100.0	3.33
10/10/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL B B		0.00	0.60	0.00	0.60 100.0	0.60
10/10/2017	75597028	DUBINSKY, ALEKSANDER	EATON VANCE GREATER INDIA FUND-CL A B		0.00	2.32	0.00	2.32 100.0	2.32
10/10/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR LARGE CAP FUND-CL	B	0.00	2.43	0.00	2.43 100.0	2.43
10/10/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FUNDS 315918326	B	0.00	11.76	0.00	11.76 100.0	11.76
10/10/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	10.00	0.00	10.00 100.0	10.00
10/10/2017	75597028	DUBINSKY, ALEKSANDER	FIDELITY INVESTMENT TRUST ADVISOR J B		0.00	7.79	0.00	7.79 100.0	7.79
10/10/2017	75597028	DUBINSKY, ALEKSANDER	MAINSTAY FDS LARGE CAP GROWTH FD CL B		0.00	6.97	0.00	6.97 100.0	6.97
10/10/2017	75597029	MOSES, GAIL	AIM ASIA PACIFIC GROWTH FUND - CL A B		0.00	11.13	0.00	11.13 100.0	11.13
10/10/2017	75597029	MOSES, GAIL	AIM GLOBAL HEALTH CARE FUND CLASS A B		0.00	37.78	0.00	37.78 100.0	37.78
10/10/2017	75597029	MOSES, GAIL	AIM TECHNOLOGY FUND - CLASS A	B	0.00	1.45	0.00	1.45 100.0	1.45
10/10/2017	75597029	MOSES, GAIL	FRANKLIN GROWTH FUND CLASS A	B	0.00	12.78	0.00	12.78 100.0	12.78

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75597029	MOSES, GAIL	FRANKLIN INDIA GROWTH FD FD CL A	B	0.00	3.27	0.00	3.27	100.0	3.27
10/10/2017	75597029	MOSES, GAIL	GLOBAL FUND A	B	0.00	12.27	0.00	12.27	100.0	12.27
10/10/2017	75597029	MOSES, GAIL	MAIN STREET FUND A	B	0.00	6.63	0.00	6.63	100.0	6.63
10/10/2017	75597029	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	1.68	0.00	1.68	100.0	1.68
10/10/2017	75597029	MOSES, GAIL	TEMP DEV MRKTS TR FND - CL A	B	0.00	2.20	0.00	2.20	100.0	2.20
10/10/2017	75597030	MOSES, GAIL	FUNDAMENTAL INVESTORS-A	B	0.00	16.77	0.00	16.77	100.0	16.77
10/10/2017	75597030	MOSES, GAIL	NEW PERSPECTIVE FUND-A	B	0.00	0.82	0.00	0.82	100.0	0.82
10/10/2017	75597030	MOSES, GAIL	NEW WORLD FUND-A	B	0.00	10.77	0.00	10.77	100.0	10.77
10/10/2017	75597030	MOSES, GAIL	THE GROWTH FUND OF AMERICA-A	B	0.00	13.55	0.00	13.55	100.0	13.55
10/10/2017	75597030	MOSES, GAIL	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	14.23	0.00	14.23	100.0	14.23
10/10/2017	75597031	MORGAN, STEVEN	FRANKLIN INCOME FUND CLASS A	B	0.00	2.37	0.00	2.37	100.0	2.37
10/10/2017	75597032	SCHIPFER, RYAN	AMCAP FUND-C	B	0.00	8.41	0.00	8.41	100.0	8.41
10/10/2017	75597032	SCHIPFER, RYAN	GLOBAL BALANCED FUND-C	B	0.00	6.24	0.00	6.24	100.0	6.24
10/10/2017	75597032	SCHIPFER, RYAN	INVESTMENT COMPANY OF AMERICA CLASS B	B	0.00	8.51	0.00	8.51	100.0	8.51
10/10/2017	75597032	SCHIPFER, RYAN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	8.52	0.00	8.52	100.0	8.52
10/10/2017	75597034	PERKINSON, DOLORES	DEUTSCHE GOLD & PRECIOUS METALS FUN	B	0.00	56.04	0.00	56.04	100.0	56.04
10/10/2017	75597034	PERKINSON, DOLORES	MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	3.39	0.00	3.39	100.0	3.39
10/10/2017	75597035	PERKINSON, DOLORES	BLACKROCK FUNDS-LOW DURATION BOND P	B	0.00	0.12	0.00	0.12	100.0	0.12
10/10/2017	75597035	PERKINSON, DOLORES	BLACKROCK ENERGY & RESOURCES PORTFO	B	0.00	3.46	0.00	3.46	100.0	3.46
10/10/2017	75597035	PERKINSON, DOLORES	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	9.21	0.00	9.21	100.0	9.21
10/10/2017	75597035	PERKINSON, DOLORES	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	1.73	0.00	1.73	100.0	1.73
10/10/2017	75597036	ROBERTSON, DANIEL	BOND-DEBENTURE FUND CLASS A	B	0.00	2.77	0.00	2.77	100.0	2.77
10/10/2017	75597036	ROBERTSON, DANIEL	LORD ABBETT CALIBRATED DIVIDEND GRO	B	0.00	2.90	0.00	2.90	100.0	2.90
10/10/2017	75597036	ROBERTSON, DANIEL	LORD ABBETT ALPHA STRATEGY FUND CL	B	0.00	5.36	0.00	5.36	100.0	5.36
10/10/2017	75597036	ROBERTSON, DANIEL	LORD ABBETT INVT TR FLTG RATE FD CL	B	0.00	3.01	0.00	3.01	100.0	3.01
10/10/2017	75597041	ZIMBALIST, NAOMI	AIM DEVELOPING MARKETS FUND CLASS A	B	0.00	29.14	0.00	29.14	100.0	29.14
10/10/2017	75597041	ZIMBALIST, NAOMI	AIM TECHNOLOGY FUND - CLASS A	B	0.00	21.08	0.00	21.08	100.0	21.08
10/10/2017	75597041	ZIMBALIST, NAOMI	FINANCIAL INDUSTRIES A	B	0.00	9.79	0.00	9.79	100.0	9.79
10/10/2017	75597041	ZIMBALIST, NAOMI	GOLD & SPECIAL MINERALS A	B	0.00	1.14	0.00	1.14	100.0	1.14
10/10/2017	75597041	ZIMBALIST, NAOMI	JOHN HANCOCK FDS III GLOBAL SHAREHO	B	0.00	3.28	0.00	3.28	100.0	3.28
10/10/2017	75597041	ZIMBALIST, NAOMI	OPPENHEIMER GLOBAL VALUE FD SHS CL	B	0.00	4.51	0.00	4.51	100.0	4.51
10/10/2017	75597041	ZIMBALIST, NAOMI	OPPENHEIMER AMT FREE MUNICIPALS FUN	B	0.00	63.84	0.00	63.84	100.0	63.84
10/10/2017	75597041	ZIMBALIST, NAOMI	REGIONAL BANK A	B	0.00	15.28	0.00	15.28	100.0	15.28
10/10/2017	75597042	ZIMBALIST, NAOMI	TOUCHSTONE INVT TRUST ACTIVE BOND F	B	0.00	4.55	0.00	4.55	100.0	4.55
10/10/2017	75597043	RIZZO, MICHAEL	MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	20.62	0.00	20.62	100.0	20.62
10/10/2017	75597043	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	7.76	0.00	7.76	100.0	7.76
10/10/2017	75597044	RIZZO, MICHAEL	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	0.54	0.00	0.54	100.0	0.54
10/10/2017	75597044	RIZZO, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	1.25	0.00	1.25	100.0	1.25
10/10/2017	75597044	RIZZO, MICHAEL	GROWTH FUND OF AMERICA CLASS F	B	0.00	0.73	0.00	0.73	100.0	0.73
10/10/2017	75597044	RIZZO, MICHAEL	NEW PERSPECTIVE FUND INC CL F1	B	0.00	0.60	0.00	0.60	100.0	0.60

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75597044	RIZZO, MICHAEL	NEW WORLD FUND-C	B	0.00	2.05	0.00	2.05	100.0	2.05
10/10/2017	75597044	RIZZO, MICHAEL	VIRTUS MID-CAP GROWTH FUND CLASS A	B	0.00	2.69	0.00	2.69	100.0	2.69
10/10/2017	75597044	RIZZO, MICHAEL	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.19	0.00	1.19	100.0	1.19
10/10/2017	75597045	MATEGRANO, ALBERT	MAINSTAY HIGH-YIELD CORPORATE BOND	B	0.00	0.49	0.00	0.49	100.0	0.49
10/10/2017	75597046	MENZ, SUSAN	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	3.44	0.00	3.44	100.0	3.44
10/10/2017	75597046	MENZ, SUSAN	BOND-DEBENTURE FUND CLASS A	B	0.00	3.48	0.00	3.48	100.0	3.48
10/19/2017	75597047	MENZ, SUSAN	FINANCIAL INVS TR	B	0.00	13.32	0.00	13.32	100.0	13.32
10/10/2017	75597048	HESSELBERG, SHIRLEY	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	1.38	0.00	1.38	100.0	1.38
10/10/2017	75597048	HESSELBERG, SHIRLEY	GUGGENHEIM FDS TRUST	B	0.00	8.48	0.00	8.48	100.0	8.48
10/10/2017	75597048	HESSELBERG, SHIRLEY	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	16.53	0.00	16.53	100.0	16.53
10/10/2017	75597048	HESSELBERG, SHIRLEY	IVY FDS INC LARGE CAP GROWTH FD CL	B	0.00	17.34	0.00	17.34	100.0	17.34
10/10/2017	75597048	HESSELBERG, SHIRLEY	SENTINEL GROUP FDS INC SENTINEL SMA	B	0.00	6.23	0.00	6.23	100.0	6.23
10/10/2017	75597048	HESSELBERG, SHIRLEY	THORNBURG INVT TR INTL VALUE FD CL	B	0.00	3.40	0.00	3.40	100.0	3.40
10/10/2017	75597049	ELLIS, SHARON	INTERMEDIATE BOND FUND AMER CL F1	B	0.00	2.72	0.00	2.72	100.0	2.72
10/10/2017	75597049	ELLIS, SHARON	PUTNAM 746745108	B	0.00	6.23	0.00	6.23	100.0	6.23
10/10/2017	75597049	ELLIS, SHARON	PUTNAM 746802206	B	0.00	2.50	0.00	2.50	100.0	2.50
10/10/2017	75597049	ELLIS, SHARON	PUTNAM NEW OPPORTUNITIES FUND CLASS	B	0.00	3.16	0.00	3.16	100.0	3.16
10/10/2017	75597054	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	2.43	0.00	2.43	100.0	2.43
10/10/2017	75597054	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	3.52	0.00	3.52	100.0	3.52
10/10/2017	75597054	HARRIS, REBECCA	CALAMOS EVOLVING WORLD GROWTH FUND	B	0.00	4.31	0.00	4.31	100.0	4.31
10/10/2017	75597054	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	3.82	0.00	3.82	100.0	3.82
10/10/2017	75597054	HARRIS, REBECCA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	1.41	0.00	1.41	100.0	1.41
10/10/2017	75597054	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	3.58	0.00	3.58	100.0	3.58
10/10/2017	75597054	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.39	0.00	2.39	100.0	2.39
10/10/2017	75597054	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	3.77	0.00	3.77	100.0	3.77
10/10/2017	75597055	HARRIS, REBECCA	**VOYA EQUITY TR	B	0.00	0.36	0.00	0.36	100.0	0.36
10/10/2017	75597055	HARRIS, REBECCA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	0.50	0.00	0.50	100.0	0.50
10/10/2017	75597055	HARRIS, REBECCA	EMERGING GROWTH FUND A	B	0.00	0.51	0.00	0.51	100.0	0.51
10/10/2017	75597055	HARRIS, REBECCA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	0.58	0.00	0.58	100.0	0.58
10/10/2017	75597055	HARRIS, REBECCA	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	1.52	0.00	1.52	100.0	1.52
10/10/2017	75597055	HARRIS, REBECCA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	0.36	0.00	0.36	100.0	0.36
10/10/2017	75597055	HARRIS, REBECCA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.51	0.00	0.51	100.0	0.51
10/10/2017	75597059	LUKASIK, CAROLINE	FRANKLIN GROWTH FUND CLASS A	B	0.00	15.83	0.00	15.83	100.0	15.83
10/10/2017	75597059	LUKASIK, CAROLINE	TEMP GLOBAL BOND FND - CL A	B	0.00	6.14	0.00	6.14	100.0	6.14
10/10/2017	75597060	BROOKS, JOYCE	PIONEER VALUE FUND A	B	0.00	1.49	0.00	1.49	100.0	1.49
10/10/2017	75597061	LOIACANO, LAURETTE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	2.44	0.00	2.44	100.0	2.44
10/10/2017	75597062	RAGHIAN, PARISA	AMERICAN BALANCED FUND-A	B	0.00	1.39	0.00	1.39	100.0	1.39
10/10/2017	75597062	RAGHIAN, PARISA	AMERICAN FUNDS 02629W106	B	0.00	1.13	0.00	1.13	100.0	1.13
10/10/2017	75597062	RAGHIAN, PARISA	FUNDAMENTAL INVESTORS-A	B	0.00	1.58	0.00	1.58	100.0	1.58
10/10/2017	75597062	RAGHIAN, PARISA	NEW PERSPECTIVE FUND-A	B	0.00	1.41	0.00	1.41	100.0	1.41

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75597062	RAGHIAN, PARISA	THE GROWTH FUND OF AMERICA-A	B	0.00	1.64	0.00	1.64	100.0	1.64
10/10/2017	75597062	RAGHIAN, PARISA	U.S. GOVERNMENT SECURITIES FUND CLA	B	0.00	0.68	0.00	0.68	100.0	0.68
10/10/2017	75597063	PASCUA, MICHAEL	FUNDAMENTAL INVESTORS-C	B	0.00	0.49	0.00	0.49	100.0	0.49
10/10/2017	75597063	PASCUA, MICHAEL	NEW PERSPECTIVE FUND-C	B	0.00	0.49	0.00	0.49	100.0	0.49
10/10/2017	75597067	REZNICEK, DELWYN	MAIN STREET FUND C	B	0.00	73.66	0.00	73.66	100.0	73.66
10/10/2017	75597068	SACKHEIM, KAREN	CLEARBRIDGE MID CAP FUND CLASS C	B	0.00	6.29	0.00	6.29	100.0	6.29
10/10/2017	75597068	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	11.06	0.00	11.06	100.0	11.06
10/10/2017	75597068	SACKHEIM, KAREN	LORD ABBETT AFFILIATED FUND INC-CL	B	0.00	7.38	0.00	7.38	100.0	7.38
10/10/2017	75597069	SACKHEIM, KAREN	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	6.97	0.00	6.97	100.0	6.97
10/10/2017	75597069	SACKHEIM, KAREN	DAVIS NEW YORK VENTURE CLASS C	B	0.00	3.05	0.00	3.05	100.0	3.05
10/10/2017	75597072	JAMES R MENZ TTEE	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	3.78	0.00	3.78	100.0	3.78
10/10/2017	75597072	JAMES R MENZ TTEE	BOND-DEBENTURE FUND CLASS A	B	0.00	5.64	0.00	5.64	100.0	5.64
10/10/2017	75597074	WILKOS, STANLEY	GOLDMAN SACHS GROWTH & INCOME FUND	B	0.00	3.14	0.00	3.14	100.0	3.14
10/10/2017	75597074	WILKOS, STANLEY	GOLDMAN SACHS CAPITAL GROWTH FUND C	B	0.00	5.61	0.00	5.61	100.0	5.61
10/10/2017	75597075	VONEY, SUSAN	AMERICAN BALANCED FUND INC CL F1	B	0.00	6.65	0.00	6.65	100.0	6.65
10/10/2017	75597075	VONEY, SUSAN	FRANKLIN CONVERTIBLE SECS FUND CLAS	B	0.00	6.65	0.00	6.65	100.0	6.65
10/10/2017	75597075	VONEY, SUSAN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.52	0.00	4.52	100.0	4.52
10/10/2017	75597075	VONEY, SUSAN	FRANKLIN TEMPLETON FUNDS CUST FDS U	B	0.00	2.42	0.00	2.42	100.0	2.42
10/10/2017	75597075	VONEY, SUSAN	FRK UTIL FND - CL A	B	0.00	3.53	0.00	3.53	100.0	3.53
10/10/2017	75597075	VONEY, SUSAN	INVESTMENT CO AMER CL F1	B	0.00	7.63	0.00	7.63	100.0	7.63
10/10/2017	75597075	VONEY, SUSAN	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	4.49	0.00	4.49	100.0	4.49
10/10/2017	75597076	DAVIS, MARGARET	MID-CAP VALUE FUND CLASS A	B	0.00	3.19	0.00	3.19	100.0	3.19
10/10/2017	75597077	DAVIS, MARGARET	AIM ASIA PACIFIC GROWTH FUND - CL A	B	0.00	3.95	0.00	3.95	100.0	3.95
10/10/2017	75597077	DAVIS, MARGARET	AIM ENERGY FUND - CLASS A	B	0.00	2.52	0.00	2.52	100.0	2.52
10/10/2017	75597077	DAVIS, MARGARET	AMERICAN MUTUAL FUND-A	B	0.00	4.21	0.00	4.21	100.0	4.21
10/10/2017	75597077	DAVIS, MARGARET	FRANKLIN/TEMPLETON FUNDS 88020E100	B	0.00	1.79	0.00	1.79	100.0	1.79
10/10/2017	75597077	DAVIS, MARGARET	INVESCO AMERICAN FRANCHISE FUND CLA	B	0.00	8.46	0.00	8.46	100.0	8.46
10/10/2017	75597077	DAVIS, MARGARET	PUTNAM 746745108	B	0.00	6.94	0.00	6.94	100.0	6.94
10/10/2017	75597077	DAVIS, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	3.30	0.00	3.30	100.0	3.30
10/10/2017	75597078	DAVIS, MARGARET	AMERICAN BALANCED FUND-A	B	0.00	6.07	0.00	6.07	100.0	6.07
10/10/2017	75597078	DAVIS, MARGARET	GOLD & SPECIAL MINERALS A	B	0.00	0.60	0.00	0.60	100.0	0.60
10/10/2017	75597078	DAVIS, MARGARET	OPPENHEIMER SMALL & MID CAP VALUE F	B	0.00	1.96	0.00	1.96	100.0	1.96
10/10/2017	75597078	DAVIS, MARGARET	THE INCOME FUND OF AMERICA-A	B	0.00	4.72	0.00	4.72	100.0	4.72
10/10/2017	75597079	OWERKO, JOSEPH	AMERICAN MUTUAL FUND-C	B	0.00	1.19	0.00	1.19	100.0	1.19
10/10/2017	75597079	OWERKO, JOSEPH	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	2.03	0.00	2.03	100.0	2.03
10/10/2017	75597082	LAYSTROM, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	4.04	0.00	4.04	100.0	4.04
10/10/2017	75597082	LAYSTROM, JAMES	LORD ABBETT MULTI ASSET GLOBAL OPPO	B	0.00	2.48	0.00	2.48	100.0	2.48
10/10/2017	75597084	MAYBERRY, DWIGHT	AMERICAN BALANCED FUND-A	B	0.00	3.11	0.00	3.11	100.0	3.11
10/10/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	50.39	0.00	50.39	100.0	50.39
10/10/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR FUNDS 315807834	B	0.00	33.27	0.00	33.27	100.0	33.27

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR SER VII BIOTECHNOL B	0.00	37.40	0.00	37.40	100.0	37.40
10/10/2017	75597087	FLANNERY JR, ROBERT	FIDELITY ADVISOR VALUE STRATEGIES C B	0.00	25.59	0.00	25.59	100.0	25.59
10/10/2017	75597089	BORKHOVA, ALLA	AIM ENERGY FUND - CLASS C B	0.00	19.39	0.00	19.39	100.0	19.39
10/10/2017	75597089	BORKHOVA, ALLA	AIM GOLD & PRECIOUS METALS FD - C B	0.00	13.30	0.00	13.30	100.0	13.30
10/10/2017	75597089	BORKHOVA, ALLA	EATON VANCE GREATER CHINA GROWTH FU B	0.00	1.89	0.00	1.89	100.0	1.89
10/10/2017	75597089	BORKHOVA, ALLA	EATON VANCE GREATER INDIA FUND-CL A B	0.00	1.60	0.00	1.60	100.0	1.60
10/10/2017	75597089	BORKHOVA, ALLA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.97	0.00	1.97	100.0	1.97
10/10/2017	75597089	BORKHOVA, ALLA	MAINSTAY FDS LARGE CAP GROWTH FD CL B	0.00	7.27	0.00	7.27	100.0	7.27
10/10/2017	75597090	MONAGHAN, JOYCE	CAPITAL INCOME A B	0.00	11.67	0.00	11.67	100.0	11.67
10/10/2017	75597090	MONAGHAN, JOYCE	FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	6.26	0.00	6.26	100.0	6.26
10/10/2017	75597090	MONAGHAN, JOYCE	NEW PERSPECTIVE FUND-A B	0.00	16.76	0.00	16.76	100.0	16.76
10/10/2017	75597090	MONAGHAN, JOYCE	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	18.78	0.00	18.78	100.0	18.78
10/10/2017	75597091	BOL, BEVERLY	CAPITAL APPRECIATION A B	0.00	7.98	0.00	7.98	100.0	7.98
10/10/2017	75597091	BOL, BEVERLY	MAIN STREET FUND A B	0.00	8.45	0.00	8.45	100.0	8.45
10/10/2017	75597092	CLARK JR, PHILLIP	FRK STRAT INC FND - CL A B	0.00	2.34	0.00	2.34	100.0	2.34
10/10/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B	0.00	1.31	0.00	1.31	100.0	1.31
10/10/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B	0.00	1.27	0.00	1.27	100.0	1.27
10/10/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.36	0.00	1.36	100.0	1.36
10/10/2017	75597093	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B	0.00	1.77	0.00	1.77	100.0	1.77
10/10/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR SMALL CAP FUND CLA B	0.00	2.58	0.00	2.58	100.0	2.58
10/10/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR MID CAP II FUND CL B	0.00	2.76	0.00	2.76	100.0	2.76
10/10/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	2.99	0.00	2.99	100.0	2.99
10/10/2017	75597094	MCDONALD, MATTHEW	FIDELITY ADVISOR DIVERSIFIED INTL F B	0.00	2.35	0.00	2.35	100.0	2.35
10/10/2017	75597095	BRENT, GEORGE	CAPITAL INCOME A B	0.00	10.73	0.00	10.73	100.0	10.73
10/10/2017	75597097	ZILZ, SUSAN	JPMORGAN INVESTOR GROWTH FD CL A B	0.00	7.42	0.00	7.42	100.0	7.42
10/10/2017	75597098	SODERBERG, VIRGINIA	FRANKLIN HIGH-YIELD TAX-FREE INCOME B	0.00	1.77	0.00	1.77	100.0	1.77
10/10/2017	75597098	SODERBERG, VIRGINIA	TOUCHSTONE INVT TRUST ACTIVE BOND F B	0.00	6.23	0.00	6.23	100.0	6.23
10/10/2017	75597099	VERENSKI, DANIEL	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	17.19	0.00	17.19	100.0	17.19
10/10/2017	75597100	BRUNO, CAROL	FRANKLIN INCOME FUND CLASS A B	0.00	7.93	0.00	7.93	100.0	7.93
10/10/2017	75597101	STEINBORN, DEBRA	AMCAP FUND-A B	0.00	4.42	0.00	4.42	100.0	4.42
10/10/2017	75597101	STEINBORN, DEBRA	AMERICAN BALANCED FUND-A B	0.00	7.03	0.00	7.03	100.0	7.03
10/10/2017	75597101	STEINBORN, DEBRA	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.95	0.00	2.95	100.0	2.95
10/10/2017	75597102	COHEN, MITCHELL	JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	1.41	0.00	1.41	100.0	1.41
10/10/2017	75597103	LUKASIK, ALEXANDER	FRANKLIN FEDERAL TAX FREE INCOME FU B	0.00	57.23	0.00	57.23	100.0	57.23
10/10/2017	75597104	WILKOS, JEANETTE	FRK AGE HIGH INC FND - CL A B	0.00	14.87	0.00	14.87	100.0	14.87
10/10/2017	75597104	WILKOS, JEANETTE	REGIONAL BANK A B	0.00	1.54	0.00	1.54	100.0	1.54
10/10/2017	75597104	WILKOS, JEANETTE	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	35.56	0.00	35.56	100.0	35.56
10/10/2017	75597104	WILKOS, JEANETTE	U.S. GOVERNMENT SECURITIES FUND CLA B	0.00	8.40	0.00	8.40	100.0	8.40
10/10/2017	75597104	WILKOS, JEANETTE	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	25.95	0.00	25.95	100.0	25.95
10/10/2017	75597105	DAVIS, DONALD	FRK GOLD FUND - CLASS A B	0.00	1.67	0.00	1.67	100.0	1.67

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75597105	DAVIS, DONALD	FUNDAMENTAL INVESTORS-A	B	0.00	57.19	0.00	57.19	100.0	57.19
10/10/2017	75597105	DAVIS, DONALD	NEW PERSPECTIVE FUND-A	B	0.00	59.03	0.00	59.03	100.0	59.03
10/10/2017	75597105	DAVIS, DONALD	REGIONAL BANK A	B	0.00	24.66	0.00	24.66	100.0	24.66
10/10/2017	75597105	DAVIS, DONALD	STRATEGIC INCOME A	B	0.00	10.85	0.00	10.85	100.0	10.85
10/10/2017	75597105	DAVIS, DONALD	THE NEW ECONOMY FUND-A	B	0.00	55.14	0.00	55.14	100.0	55.14
10/10/2017	75597106	MORGAN, LESTER	AMCAP FUND-A	B	0.00	15.01	0.00	15.01	100.0	15.01
10/10/2017	75597106	MORGAN, LESTER	CAPITAL WORLD GROWTH AND INCOME FUN	B	0.00	17.94	0.00	17.94	100.0	17.94
10/10/2017	75597106	MORGAN, LESTER	FIRST EAGLE GOLD FUND CLASS A	B	0.00	12.26	0.00	12.26	100.0	12.26
10/10/2017	75597106	MORGAN, LESTER	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	13.09	0.00	13.09	100.0	13.09
10/10/2017	75597106	MORGAN, LESTER	HANCOCK JOHN CAP SER GRTR CHINA OPP	B	0.00	12.97	0.00	12.97	100.0	12.97
10/10/2017	75597106	MORGAN, LESTER	NEW PERSPECTIVE FUND-A	B	0.00	117.30	0.00	117.30	100.0	117.30
10/10/2017	75597106	MORGAN, LESTER	REGIONAL BANK A	B	0.00	5.05	0.00	5.05	100.0	5.05
10/10/2017	75597106	MORGAN, LESTER	TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	19.57	0.00	19.57	100.0	19.57
10/10/2017	75597107	MORGAN, LESTER	AMCAP FUND-A	B	0.00	6.39	0.00	6.39	100.0	6.39
10/10/2017	75597107	MORGAN, LESTER	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	26.71	0.00	26.71	100.0	26.71
10/10/2017	75597109	KROL, DANIELLE	AIM TECHNOLOGY FUND - CLASS A	B	0.00	8.10	0.00	8.10	100.0	8.10
10/10/2017	75597109	KROL, DANIELLE	WELLS FARGO ENTERPRISE FUND CL A	B	0.00	41.42	0.00	41.42	100.0	41.42
10/10/2017	75597110	KROL, DANIELLE	FIDELITY ADV EQUITY GROWTH CL A	B	0.00	5.11	0.00	5.11	100.0	5.11
10/10/2017	75597112	HOWELL, DONALD	FRANKLIN GROWTH FUND CLASS A	B	0.00	10.18	0.00	10.18	100.0	10.18
10/10/2017	75597112	HOWELL, DONALD	FRK VAL FND - CL A	B	0.00	5.61	0.00	5.61	100.0	5.61
10/10/2017	75597112	HOWELL, DONALD	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	26.16	0.00	26.16	100.0	26.16
10/10/2017	75597112	HOWELL, DONALD	MFS EMERGING GROWTH FUND CLASS A	B	0.00	2.29	0.00	2.29	100.0	2.29
10/10/2017	75597112	HOWELL, DONALD	MFS NEW DISCOVERY FUND-A	B	0.00	45.83	0.00	45.83	100.0	45.83
10/10/2017	75597114	SPALDING, MARY	FRANKLIN INCOME FUND CLASS A	B	0.00	38.45	0.00	38.45	100.0	38.45
10/10/2017	75597118	GREENE, M HOWARD	FRANKLIN HIGH-YIELD TAX-FREE INCOME	B	0.00	22.15	0.00	22.15	100.0	22.15
10/10/2017	75597118	GREENE, M HOWARD	FRANKLIN/TEMPLETON FUNDS 353612690	B	0.00	6.90	0.00	6.90	100.0	6.90
10/10/2017	75597118	GREENE, M HOWARD	LORD ABBETT SHORT DURATION INCOME F	B	0.00	7.11	0.00	7.11	100.0	7.11
10/10/2017	75597119	GREENE, M HOWARD	FRANKLIN U.S. GOVERNMENT SECURITIES	B	0.00	2.08	0.00	2.08	100.0	2.08
10/10/2017	75597120	KINGERY, DEBORAH	**PRUDENTIAL GLOBAL REAL	B	0.00	0.32	0.00	0.32	100.0	0.32
10/10/2017	75597120	KINGERY, DEBORAH	**PRUDENTIAL JENNISON NATURAL	B	0.00	0.30	0.00	0.30	100.0	0.30
10/10/2017	75597120	KINGERY, DEBORAH	CAPITAL INCOME BUILDER-A	B	0.00	0.35	0.00	0.35	100.0	0.35
10/10/2017	75597120	KINGERY, DEBORAH	FIRST EAGLE OVERSEAS FUND CLASS A	B	0.00	1.07	0.00	1.07	100.0	1.07
10/10/2017	75597120	KINGERY, DEBORAH	FIRST EAGLE GLOBAL FUND CLASS A	B	0.00	1.04	0.00	1.04	100.0	1.04
10/10/2017	75597120	KINGERY, DEBORAH	LORD ABBETT SECS TR VALUE OPPTYS FD	B	0.00	0.69	0.00	0.69	100.0	0.69
10/10/2017	75597120	KINGERY, DEBORAH	OPPENHEIMER SMALL & MID CAP VALUE F	B	0.00	0.90	0.00	0.90	100.0	0.90
10/10/2017	75597120	KINGERY, DEBORAH	THE GROWTH FUND OF AMERICA-A	B	0.00	0.72	0.00	0.72	100.0	0.72
10/10/2017	75597123	AMBERG, JOHN	ALLIANZ NFJ SMALL CAP VALUE FUND CL	B	0.00	0.47	0.00	0.47	100.0	0.47
10/10/2017	75597123	AMBERG, JOHN	AMERICAN BALANCED FUND-C	B	0.00	1.10	0.00	1.10	100.0	1.10
10/10/2017	75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-A	B	0.00	1.10	0.00	1.10	100.0	1.10
10/10/2017	75597123	AMBERG, JOHN	FUNDAMENTAL INVESTORS-C	B	0.00	1.22	0.00	1.22	100.0	1.22

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
10/10/2017	75597123	AMBERG, JOHN		INVESCO AMERICAN VALUE FUND CLASS A B	0.00	1.93	0.00	1.93	100.0	1.93
10/10/2017	75597123	AMBERG, JOHN		INVESTMENT COMPANY OF AMERICA CLASS B	0.00	2.39	0.00	2.39	100.0	2.39
10/10/2017	75597123	AMBERG, JOHN		JOHN HANCOCK REGIONAL BANK FUND CL B	0.00	1.33	0.00	1.33	100.0	1.33
10/10/2017	75597123	AMBERG, JOHN		JOHN HANCOCK FINANCIAL INDS FUND CL B	0.00	1.09	0.00	1.09	100.0	1.09
10/10/2017	75597123	AMBERG, JOHN		JOHN HANCOCK FDS II MLTMNGR LFSTYL B	0.00	2.04	0.00	2.04	100.0	2.04
10/10/2017	75597123	AMBERG, JOHN		JOHN HANCOCK FDS II MLTMNGR LFSTYL B	0.00	4.25	0.00	4.25	100.0	4.25
10/10/2017	75597123	AMBERG, JOHN		LIFESTYLE MODERATE PORTFOLIO C B	0.00	2.51	0.00	2.51	100.0	2.51
10/10/2017	75597123	AMBERG, JOHN		NEW PERSPECTIVE FUND-A B	0.00	1.57	0.00	1.57	100.0	1.57
10/10/2017	75597123	AMBERG, JOHN		NEW PERSPECTIVE FUND-C B	0.00	1.13	0.00	1.13	100.0	1.13
10/10/2017	75597123	AMBERG, JOHN		THE GROWTH FUND OF AMERICA-A B	0.00	1.10	0.00	1.10	100.0	1.10
10/10/2017	75597123	AMBERG, JOHN		THE GROWTH FUND OF AMERICA-C B	0.00	1.23	0.00	1.23	100.0	1.23
10/10/2017	75597123	AMBERG, JOHN		THE NEW ECONOMY FUND-A B	0.00	0.54	0.00	0.54	100.0	0.54
10/10/2017	75597123	AMBERG, JOHN		VOYA GLOBAL EQUITY FUND CLASS A B	0.00	0.71	0.00	0.71	100.0	0.71
10/10/2017	75597123	AMBERG, JOHN		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	1.84	0.00	1.84	100.0	1.84
10/10/2017	75597123	AMBERG, JOHN		WASHINGTON MUTUAL INVESTORS FUND-C B	0.00	4.65	0.00	4.65	100.0	4.65
10/10/2017	75597124	BROOKS, JOYCE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	2.56	0.00	2.56	100.0	2.56
10/10/2017	75597125	SHAVITZ, ERNEST		FRANKLIN TAX FREE TR FEDERAL INTER B	0.00	107.99	0.00	107.99	100.0	107.99
10/10/2017	75597125	SHAVITZ, ERNEST		MAINSTAY FDS HIGH YIELD CORPORATE-C B	0.00	40.43	0.00	40.43	100.0	40.43
10/10/2017	75597127	WALDMAN, ALVIN		DEUTSCHE GNMA FUND A B	0.00	7.35	0.00	7.35	100.0	7.35
10/10/2017	75597129	ELLIS, HARRY		FRK GOLD FUND - CLASS A B	0.00	1.03	0.00	1.03	100.0	1.03
10/10/2017	75597129	ELLIS, HARRY		JOHN HANCOCK REGIONAL BANK FUND CL B	0.00	120.83	0.00	120.83	100.0	120.83
10/10/2017	75597129	ELLIS, HARRY		OPPENHEIMER SENIOR FLOATING RATE FU B	0.00	29.65	0.00	29.65	100.0	29.65
10/10/2017	75597129	ELLIS, HARRY		TEMP DEV MRKTS TR FND - CL A B	0.00	4.02	0.00	4.02	100.0	4.02
10/10/2017	75597131	MICHAEL RIZZO TTEE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	13.95	0.00	13.95	100.0	13.95
10/10/2017	75597131	MICHAEL RIZZO TTEE		FUNDAMENTAL INVESTORS-A B	0.00	17.91	0.00	17.91	100.0	17.91
10/10/2017	75597131	MICHAEL RIZZO TTEE		NEW PERSPECTIVE FUND-A B	0.00	28.45	0.00	28.45	100.0	28.45
10/10/2017	75597131	MICHAEL RIZZO TTEE		THE GROWTH FUND OF AMERICA-A B	0.00	41.31	0.00	41.31	100.0	41.31
10/10/2017	75597131	MICHAEL RIZZO TTEE		VIRTUS MID-CAP GROWTH FUND CLASS A B	0.00	12.09	0.00	12.09	100.0	12.09
10/10/2017	75597132	SHAVITZ, EVELYN		FRANKLIN TAX FREE TR FEDERAL INTER B	0.00	87.47	0.00	87.47	100.0	87.47
10/10/2017	75597135	JOHN W STEINBORN TTEE		AMCAP FUND-A B	0.00	7.44	0.00	7.44	100.0	7.44
10/10/2017	75597135	JOHN W STEINBORN TTEE		AMERICAN BALANCED FUND-A B	0.00	16.60	0.00	16.60	100.0	16.60
10/10/2017	75597135	JOHN W STEINBORN TTEE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	9.99	0.00	9.99	100.0	9.99
10/10/2017	75597135	JOHN W STEINBORN TTEE		FINANCIAL INDUSTRIES A B	0.00	5.51	0.00	5.51	100.0	5.51
10/10/2017	75597135	JOHN W STEINBORN TTEE		JOHN HANCOCK INVT TR DISCIPLINED VA B	0.00	6.48	0.00	6.48	100.0	6.48
10/10/2017	75597135	JOHN W STEINBORN TTEE		LARGE CAP EQUITY A B	0.00	20.61	0.00	20.61	100.0	20.61
10/10/2017	75597135	JOHN W STEINBORN TTEE		SMALLCAP WORLD FUND-A B	0.00	20.15	0.00	20.15	100.0	20.15
10/10/2017	75597135	JOHN W STEINBORN TTEE		THE INCOME FUND OF AMERICA-A B	0.00	6.29	0.00	6.29	100.0	6.29
10/10/2017	75597136	JAMES WEBSTER PLAN		BLACKROCK HEALTH SCIENCES FUND CLAS B	0.00	22.68	0.00	22.68	100.0	22.68
10/10/2017	75597136	JAMES WEBSTER PLAN		BOND-DEBENTURE FUND CLASS A B	0.00	3.60	0.00	3.60	100.0	3.60
10/10/2017	75597136	JAMES WEBSTER PLAN		LORD ABBETT ALPHA STRATEGY FUND CL B	0.00	21.20	0.00	21.20	100.0	21.20

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/10/2017	75597136 JAMES WEBSTER PLAN	RYDEX SER FUNDS FINL SVCS FD CL A	B	0.00	26.38	0.00	26.38	100.0	26.38
10/10/2017	75597136 JAMES WEBSTER PLAN	RYDEX SER FUNDS BANKING FD CL A	B	0.00	26.04	0.00	26.04	100.0	26.04
10/10/2017	75597138 WEINER, PATRICIA	CALAMOS EVOLVING WORLD GROWTH FUND	B	0.00	2.20	0.00	2.20	100.0	2.20
10/10/2017	75597138 WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	0.48	0.00	0.48	100.0	0.48
10/10/2017	75597138 WEINER, PATRICIA	PUTNAM GLOBAL EQUITY FUND CLASS A	B	0.00	0.19	0.00	0.19	100.0	0.19
10/10/2017	75597138 WEINER, PATRICIA	WELLS FARGO SPECIAL MID CAP VALUE F	B	0.00	0.48	0.00	0.48	100.0	0.48
10/10/2017	75597139 WEINER, PATRICIA	AIG FOCUSED DIVIDEND STRATEGY FUND	B	0.00	8.00	0.00	8.00	100.0	8.00
10/10/2017	75597139 WEINER, PATRICIA	EMERGING GROWTH FUND A	B	0.00	2.50	0.00	2.50	100.0	2.50
10/10/2017	75597139 WEINER, PATRICIA	IVY INTERNATIONAL CORE EQUITY FUND	B	0.00	3.65	0.00	3.65	100.0	3.65
10/10/2017	75597139 WEINER, PATRICIA	LORD ABBETT SHORT DURATION INCOME F	B	0.00	1.27	0.00	1.27	100.0	1.27
10/10/2017	75597139 WEINER, PATRICIA	NEW WORLD FUND-A	B	0.00	1.01	0.00	1.01	100.0	1.01
10/10/2017	75597139 WEINER, PATRICIA	THE HARTFORD DIVIDEND & GROWTH FUND	B	0.00	7.26	0.00	7.26	100.0	7.26
10/10/2017	75597139 WEINER, PATRICIA	VIRTUS SMALL CAP SUSTAINABLE GROWTH	B	0.00	1.40	0.00	1.40	100.0	1.40
10/10/2017	75597139 WEINER, PATRICIA	WELLS FARGO FDS SPL SMALL CAP VALUE	B	0.00	2.44	0.00	2.44	100.0	2.44
10/10/2017	75597141 ADLER, JULIE	LIFESTYLE GROWTH PORTFOLIO A	B	0.00	1.10	0.00	1.10	100.0	1.10
10/10/2017	75597141 ADLER, JULIE	LIFESTYLE BALANCED PORTFOLIO A	B	0.00	1.04	0.00	1.04	100.0	1.04
10/10/2017	75597142 ADLER, JULIE	AMERICAN BALANCED FUND-C	B	0.00	1.10	0.00	1.10	100.0	1.10
10/10/2017	75597142 ADLER, JULIE	AMERICAN MUTUAL FUND-C	B	0.00	3.45	0.00	3.45	100.0	3.45
10/10/2017	75597142 ADLER, JULIE	FUNDAMENTAL INVESTORS-C	B	0.00	4.86	0.00	4.86	100.0	4.86
10/10/2017	75597142 ADLER, JULIE	INVESTMENT COMPANY OF AMERICA CLASS	B	0.00	4.75	0.00	4.75	100.0	4.75
10/10/2017	75597142 ADLER, JULIE	NEW PERSPECTIVE FUND-C	B	0.00	3.38	0.00	3.38	100.0	3.38
10/10/2017	75597142 ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-C	B	0.00	4.72	0.00	4.72	100.0	4.72
10/10/2017	75597143 ADLER, JULIE	FUNDAMENTAL INVESTORS-A	B	0.00	0.63	0.00	0.63	100.0	0.63
10/10/2017	75597143 ADLER, JULIE	INVESCO BALANCED-RISK ALLOCATION FD	B	0.00	17.59	0.00	17.59	100.0	17.59
10/10/2017	75597143 ADLER, JULIE	NEW PERSPECTIVE FUND-A	B	0.00	0.68	0.00	0.68	100.0	0.68
10/10/2017	75597143 ADLER, JULIE	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.60	0.00	0.60	100.0	0.60
10/10/2017	75597145 WEBSTER, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	7.00	0.00	7.00	100.0	7.00
10/10/2017	75597148 PARRY, CHARLENE	FRANKLIN FEDERAL TAX FREE INCOME FU	B	0.00	17.91	0.00	17.91	100.0	17.91
10/10/2017	75597149 PARRY, CHARLENE	FRANKLIN GROWTH FUND CLASS A	B	0.00	9.83	0.00	9.83	100.0	9.83
10/10/2017	75597151 BLITZ, EDWIN	GOLD & SPECIAL MINERALS A	B	0.00	2.51	0.00	2.51	100.0	2.51
10/10/2017	75597152 LEE, MICHELLE	CAPITAL INCOME BUILDER-A	B	0.00	3.24	0.00	3.24	100.0	3.24
10/10/2017	75597152 LEE, MICHELLE	CAPITAL WORLD BOND FUND-A	B	0.00	0.98	0.00	0.98	100.0	0.98
10/10/2017	75597152 LEE, MICHELLE	FUNDAMENTAL INVESTORS-A	B	0.00	4.11	0.00	4.11	100.0	4.11
10/10/2017	75597152 LEE, MICHELLE	NEW WORLD FUND-A	B	0.00	3.43	0.00	3.43	100.0	3.43
10/10/2017	75597152 LEE, MICHELLE	SMALLCAP WORLD FUND-A	B	0.00	4.70	0.00	4.70	100.0	4.70
10/10/2017	75597152 LEE, MICHELLE	THE GROWTH FUND OF AMERICA-A	B	0.00	1.95	0.00	1.95	100.0	1.95
10/10/2017	75597153 LEE, MICHELLE	FIDELITY ADVISOR FUNDS 315910661	B	0.00	1.26	0.00	1.26	100.0	1.26
10/25/2017	75597153 LEE, MICHELLE	VICTORY PORTF	B	0.00	1.09	0.00	1.09	100.0	1.09
10/10/2017	75597154 BLITZ, HERMAN	ALLIANCEBERNSTEIN GLO GOVT INC TRST	B	0.00	9.28	0.00	9.28	100.0	9.28
10/10/2017	75597154 BLITZ, HERMAN	ALLIANCEBERNSTEIN GLO GOVT INC TRST	B	0.00	18.79	0.00	18.79	100.0	18.79

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
10/10/2017	75597154	BLITZ, HERMAN							
		GOLD & SPECIAL MINERALS A	B	0.00	1.21	0.00	1.21	100.0	1.21
10/10/2017	75597154	BLITZ, HERMAN							
		LORD ABBETT INCOME FUND CL C	B	0.00	20.18	0.00	20.18	100.0	20.18
10/10/2017	75597154	BLITZ, HERMAN							
		LORD ABBETT SHORT DURATION INCOME F B	B	0.00	48.06	0.00	48.06	100.0	48.06
10/10/2017	75597154	BLITZ, HERMAN							
		LORD ABBETT BOND-DEBENTURE FUND INC B	B	0.00	43.44	0.00	43.44	100.0	43.44
10/10/2017	75597160	MILES, GARY							
		BOND-DEBENTURE FUND CLASS A	B	0.00	2.36	0.00	2.36	100.0	2.36
10/19/2017	75597160	MILES, GARY							
		FINANCIAL INVS TR	B	0.00	15.92	0.00	15.92	100.0	15.92
10/10/2017	75597161	STOTTS, ALLISON							
		BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	9.84	0.00	9.84	100.0	9.84
10/10/2017	75597161	STOTTS, ALLISON							
		BOND-DEBENTURE FUND CLASS A	B	0.00	3.92	0.00	3.92	100.0	3.92
10/10/2017	75597161	STOTTS, ALLISON							
		LORD ABBETT EQUITY TR CALIBRTD MID B	B	0.00	4.88	0.00	4.88	100.0	4.88
10/10/2017	75597167	SCHNEFF, CONSTANCE							
		BLACKROCK HEALTH SCIENCES FUND CLAS B	B	0.00	13.50	0.00	13.50	100.0	13.50
10/10/2017	75597167	SCHNEFF, CONSTANCE							
		BOND-DEBENTURE FUND CLASS A	B	0.00	6.20	0.00	6.20	100.0	6.20
10/10/2017	75597167	SCHNEFF, CONSTANCE							
		PIMCO GNMA FUND CL A	B	0.00	68.40	0.00	68.40	100.0	68.40
10/19/2017	75597167	SCHNEFF, CONSTANCE							
		FINANCIAL INVS TR	B	0.00	38.60	0.00	38.60	100.0	38.60
10/10/2017	75597170	BROOKS, JOYCE							
		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	2.46	0.00	2.46	100.0	2.46
10/10/2017	75597173	NELSON, CAROLE							
		MAINSTAY LARGE CAP GROWTH FUND CLAS B	B	0.00	6.09	0.00	6.09	100.0	6.09
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		AIM TECHNOLOGY FUND - CLASS A	B	0.00	15.23	0.00	15.23	100.0	15.23
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		FINANCIAL INDUSTRIES A	B	0.00	9.64	0.00	9.64	100.0	9.64
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		FRANKLIN GROWTH FUND CLASS A	B	0.00	78.54	0.00	78.54	100.0	78.54
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		FRANKLIN RISING DIVIDENDS FD CLASS B	B	0.00	23.38	0.00	23.38	100.0	23.38
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		FRANKLIN U.S. GOVERNMENT SECURITIES B	B	0.00	16.25	0.00	16.25	100.0	16.25
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		FRK UTIL FND - CL A	B	0.00	14.53	0.00	14.53	100.0	14.53
10/10/2017	75597175	DEBORAH A IRANI TTEE							
		INVESCO AMERICAN FRANCHISE FUND CLA B	B	0.00	55.63	0.00	55.63	100.0	55.63
10/10/2017	75597176	TARNOW, BRENT							
		FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.15	0.00	1.15	100.0	1.15
10/10/2017	75597177	CLARK JR, PHILLIP							
		FRK VAL FND - CL A	B	0.00	0.23	0.00	0.23	100.0	0.23
10/10/2017	75597181	BOL, BEVERLY							
		HANCOCK JOHN CAP SER GRTR CHINA OPP B	B	0.00	6.35	0.00	6.35	100.0	6.35
10/10/2017	75597185	BOL, BEVERLY							
		PIONEER FUND A	B	0.00	4.45	0.00	4.45	100.0	4.45
10/10/2017	75597186	ORRIN PROPERTY							
		GLOBAL OPPORTUNITIES A	B	0.00	53.93	0.00	53.93	100.0	53.93
10/10/2017	75597193	RIZZO, MICHAEL							
		MAINSTAY FDS TR FLTG RATE FD CL C	B	0.00	2.30	0.00	2.30	100.0	2.30
10/10/2017	75597193	RIZZO, MICHAEL							
		VIRTUS MID-CAP GROWTH FUND CLASS A B	B	0.00	0.87	0.00	0.87	100.0	0.87
10/10/2017	75597194	EDWIN C BLITZ TTEE							
		ALLIANCEBERNSTEIN GLO GOVT INC TRST B	B	0.00	4.62	0.00	4.62	100.0	4.62
10/10/2017	75597194	EDWIN C BLITZ TTEE							
		ALLIANCEBERNSTEIN GLO GOVT INC TRST B	B	0.00	9.36	0.00	9.36	100.0	9.36
10/10/2017	75597194	EDWIN C BLITZ TTEE							
		GOLD & SPECIAL MINERALS A	B	0.00	0.58	0.00	0.58	100.0	0.58
10/10/2017	75597194	EDWIN C BLITZ TTEE							
		LORD ABBETT INCOME FUND CL C	B	0.00	10.08	0.00	10.08	100.0	10.08
10/10/2017	75597194	EDWIN C BLITZ TTEE							
		LORD ABBETT SHORT DURATION INCOME F B	B	0.00	23.99	0.00	23.99	100.0	23.99
10/10/2017	75597194	EDWIN C BLITZ TTEE							
		LORD ABBETT BOND-DEBENTURE FUND INC B	B	0.00	21.77	0.00	21.77	100.0	21.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,956.79	\$0.00	\$3,956.79		\$3,956.79
*** BROKER TRANSACTIONS TOTAL ***				\$1,352,130.05	\$13,358.05	\$312.00	\$13,046.05		\$13,046.05

COMMISSION STATEMENT for KINGSBURY INC.

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>									
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
10/31/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-1,800.00
10/31/2017		OTHER: OTHER-WEALTH MANAGEMENT STAT		0.00	0.00	0.00	0.00		-315.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,195.00

BROKER COMMISSION TOTALS

Broker Transactions	\$13,046.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,195.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-16.70
SIPC Assessment	\$-33.40

Check Amount	\$10,800.95
Year-to-date Earnings	\$29,433.97