



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2018

02/19/2018
Page No. 1

MATT, B116 BRANSTETTER
PO Box 250
111 S. Main Street
Noble, OK 73068

BROKER #: B116
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/05/2018	STANFORD, DAVID	DIREXION SHS ETF TR DAILY FINL	-10,770.00	49.00	80.0	39.20	22.50	16.70
02/02/2018	STANFORD, DAVID	DIREXION SHS ETF TR DEVELOPED MKTS	-9,229.10	140.00	80.0	112.00	22.50	89.50
* STOCKS SUBTOTAL *			\$-19,999.10	\$189.00		\$151.20	\$45.00	\$106.20
*** CLEARING TRANSACTIONS TOTAL ***			\$-19,999.10	\$189.00		\$151.20	\$45.00	\$106.20

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	EVERETT, BRIAN	AM FUNDS AMERICAN BALANCED C	50.00	0.50	80.0	0.40	0.00	0.40
02/01/2018	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	80.0	4.00	0.00	4.00
01/22/2018	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
01/25/2018	MORIELS, FELICIA	PUTNAM AMERICAN GOVT INCOME-M	100.00	3.00	80.0	2.40	0.00	2.40
01/16/2018	CASTLE, DAVID	PUTNAM EQUITY SPECTRUM A	100.00	5.00	80.0	4.00	0.00	4.00
02/01/2018	MOORE, TONI	PUTNAM GROWTH OPP A	2,500.00	125.00	80.0	100.00	0.00	100.00
02/01/2018	PLAN	PUTNAM GROWTH OPP A	3,000.00	150.00	80.0	120.00	0.00	120.00
02/01/2018	WILLIAMS, JESSIE	PUTNAM GROWTH OPP A	3,925.15	196.26	80.0	157.01	0.00	157.01
02/01/2018	ALLGIRE, ERNESTINE	PUTNAM GROWTH OPP A	2,000.00	100.00	80.0	80.00	0.00	80.00
02/01/2018	PLAN	PUTNAM GROWTH OPP A	1,000.00	50.00	80.0	40.00	0.00	40.00
01/19/2018	TAYLOR, CATHERINE	PUTNAM GROWTH OPP C	67.86	0.68	80.0	0.54	0.00	0.54
01/22/2018	MYERS, AMANDA	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
01/22/2018	HYER, LISA	PUTNAM INTL CAPITAL OPPS-C	50.00	0.50	80.0	0.40	0.00	0.40
01/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2018	DOCTORMAN, SHANA	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
01/25/2018	DOCTORMAN, EDGE	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	DOCTORMAN, AIMES	PUTNAM INTL CAPITAL OPPS-C	25.00	0.25	80.0	0.20	0.00	0.20
02/01/2018	MOORE, TONI	PUTNAM MULTI CAP GROWTH A	2,500.00	125.00	80.0	100.00	0.00	100.00
02/01/2018	PLAN	PUTNAM MULTI CAP GROWTH A	3,000.00	150.00	80.0	120.00	0.00	120.00
02/01/2018	ALLGIRE, ERNESTINE	PUTNAM MULTI CAP GROWTH A	2,000.00	100.00	80.0	80.00	0.00	80.00
02/01/2018	PLAN	PUTNAM MULTI-CAP CORE FUND A	250.00	12.50	80.0	10.00	0.00	10.00
01/16/2018	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
* MUTUAL FUNDS SUBTOTAL *			\$21,018.01	\$1,028.69		\$822.95	\$0.00	\$822.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	HERNDON, RONALD	JNL ELITE ACCESS	26,924.57	8.97	80.0	7.18	0.00	7.18
01/31/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	6,639.43	1.38	80.0	1.10	0.00	1.10
01/31/2018	BRANSTETTER, ERIK	JNL ELITE ACCESS	2,377.87	0.50	80.0	0.40	0.00	0.40
01/31/2018	CASTLE, DAVID	JNL ELITE ACCESS	3,019.89	0.63	80.0	0.50	0.00	0.50
01/31/2018	FIRST TRUST OF ONAGA	JNL ELITE ACCESS	3,211.98	0.67	80.0	0.54	0.00	0.54
01/31/2018	PLYE JR, JUSTIN	JNL ELITE ACCESS	32,123.87	6.69	80.0	5.35	0.00	5.35
01/31/2018	ALEXANDER, SUSAN	JNL PERSPECTIVE II 04/14	10,333.21	2.15	80.0	1.72	0.00	1.72
01/31/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	44,278.90	9.22	80.0	7.38	0.00	7.38
01/31/2018	WILLIAMS, JACKIE	JNL PERSPECTIVE II 05/05	15,796.79	13.16	80.0	10.53	0.00	10.53
01/31/2018	BRANSTETTER, TRACY	JNL PERSPECTIVE II 05/05	1,549.77	0.32	80.0	0.26	0.00	0.26
01/31/2018	HIPP, RONALD	JNL PERSPECTIVE II 05/05	11,367.60	9.47	80.0	7.58	0.00	7.58
01/31/2018	HOBBS, DONALD	JNL PERSPECTIVE II 05/05	9,244.65	1.93	80.0	1.54	0.00	1.54
01/31/2018	MCKIBBEN, SUSAN	JNL PERSPECTIVE II 05/05	87,360.11	18.20	80.0	14.56	0.00	14.56
01/31/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	10,014.99	8.35	80.0	6.68	0.00	6.68
01/31/2018	MCFERRON, LINDA	JNL PERSPECTIVE L	14,791.06	16.02	80.0	12.82	0.00	12.82
01/31/2018	DOWDY, LINDA	JNL PERSPECTIVE L	53,675.73	44.73	80.0	35.78	0.00	35.78
01/31/2018	DOWDY, LINDA	JNL PERSPECTIVE L	16,525.03	17.90	80.0	14.32	0.00	14.32
01/31/2018	RICK, JOSEPH	JNL PERSPECTIVE L	10,629.27	8.86	80.0	7.09	0.00	7.09
01/31/2018	RICK, JOSEPH	JNL PERSPECTIVE L	16,202.56	17.55	80.0	14.04	0.00	14.04
01/31/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	7,527.73	6.27	80.0	5.02	0.00	5.02
01/31/2018	WILLIAMS, HARRY	JNL PERSPECTIVE L	12,546.21	13.59	80.0	10.87	0.00	10.87
01/31/2018	BRISCOE, TOMMIE	JNL PERSPECTIVE L	23,062.49	24.98	80.0	19.98	0.00	19.98
01/31/2018	WILSON, TIM	JNL PERSPECTIVE L	22,411.53	18.68	80.0	14.94	0.00	14.94
01/31/2018	WILSON, TIM	JNL PERSPECTIVE L	30,614.48	33.17	80.0	26.54	0.00	26.54
01/31/2018	HERNDON, RONALD	JNL PERSPECTIVE L	28,276.49	30.63	80.0	24.50	0.00	24.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	29,042.39	24.20	80.0	19.36	0.00	19.36
01/31/2018	CANFIELD, OWEN	JNL PERSPECTIVE L	34,779.60	37.68	80.0	30.14	0.00	30.14
01/31/2018	MOORE, TONI	JNL PERSPECTIVE L	256,448.12	277.82	80.0	222.26	0.00	222.26
01/31/2018	MICHENER, DAVID	JNL PERSPECTIVE L	301,475.27	251.23	80.0	200.98	0.00	200.98
01/31/2018	LEMOND, MARY	JNL PERSPECTIVE L	22,615.85	24.50	80.0	19.60	0.00	19.60
01/31/2018	BRANSTETTER, LINDA	JNL PERSPECTIVE L	2,807.26	3.04	80.0	2.43	0.00	2.43
01/31/2018	BRANSTETTER, TODD	JNL PERSPECTIVE L	15,030.60	16.28	80.0	13.02	0.00	13.02
01/31/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	5,838.37	4.87	80.0	3.90	0.00	3.90
01/31/2018	MCFERRON, LARRY	JNL PERSPECTIVE L	21,458.85	23.25	80.0	18.60	0.00	18.60
01/31/2018	POORE, NANCY	JNL PERSPECTIVE L	3,037.76	2.53	80.0	2.02	0.00	2.02
01/31/2018	POORE, NANCY	JNL PERSPECTIVE L	56,039.99	60.71	80.0	48.57	0.00	48.57
01/31/2018	HUFFMAN, KRISTOPHER	JNL PERSPECTIVE L	62,513.32	52.09	80.0	41.67	0.00	41.67
01/31/2018	CASTLE, DAVID	JNL PERSPECTIVE L	126,302.40	105.25	80.0	84.20	0.00	84.20
01/31/2018	FARIS, THERESA ANN	JNL PERSPECTIVE L	38,810.06	32.34	80.0	25.87	0.00	25.87
01/31/2018	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	106,600.64	88.83	80.0	71.06	0.00	71.06
01/31/2018	MCKIDDY, BOBBY	JNL PERSPECTIVE L	21,497.80	17.91	80.0	14.33	0.00	14.33
01/31/2018	FOX, JERALDINE	JNL PERSPECTIVE L	124,458.63	103.72	80.0	82.98	0.00	82.98
01/31/2018	DOWNING, JOHN	JNL PERSPECTIVE L	13,820.43	11.52	80.0	9.22	0.00	9.22
01/31/2018	DOWDY, JIMMIE	JNL PERSPECTIVE L	13,626.09	11.36	80.0	9.09	0.00	9.09
01/31/2018	ACOSTA, ANNA	JNL PERSPECTIVE L	7,611.66	6.34	80.0	5.07	0.00	5.07
01/31/2018	STANFORD, DAVID	JOHN HANCOCK VENTURE	10,560.09	2.20	80.0	1.76	0.00	1.76
* VARIABLE ANNUITIES SUBTOTAL *			\$1,774,881.39	\$1,471.69		\$1,177.35	\$0.00	\$1,177.35
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/16/2018	POMA, TAYLOR	PAC LIFE VUL	1,250.00	20.00	80.0	16.00	0.00	16.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,250.00	\$20.00		\$16.00	\$0.00	\$16.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	SWENSON, ANNE	AM FUNDS AMCAP A	0.00	1.20	80.0	0.96	0.00	0.96
01/18/2018	KRAUS, AMANDA	AM FUNDS AMERICAN BALANCED C	0.00	8.16	80.0	6.53	0.00	6.53
01/18/2018	PAUL, MARY	AM FUNDS AMERICAN MUTUAL A	0.00	1.81	80.0	1.45	0.00	1.45
01/18/2018	DAILEY, TREVA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.25	80.0	1.80	0.00	1.80
01/18/2018	PAUL, MARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.83	80.0	1.46	0.00	1.46
01/18/2018	SWENSON, ANNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	80.0	1.30	0.00	1.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	PAUL, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.49	80.0	1.19	0.00	1.19
01/18/2018	REEVES, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.03	80.0	0.82	0.00	0.82
01/18/2018	PAUL, MARY	AM FUNDS NEW ECONOMY A	0.00	1.22	80.0	0.98	0.00	0.98
01/18/2018	DAILEY, TREVA	AM FUNDS NEW ECONOMY A	0.00	4.04	80.0	3.23	0.00	3.23
01/18/2018	REEVES, KATHLEEN	AM FUNDS NEW ECONOMY A	0.00	3.58	80.0	2.86	0.00	2.86
01/18/2018	KRAUS, AMANDA	AM FUNDS NEW ECONOMY C	0.00	8.70	80.0	6.96	0.00	6.96
01/18/2018	SWENSON, WALTER	AM FUNDS NEW PERSPECTIVE A	0.00	1.18	80.0	0.94	0.00	0.94
01/18/2018	LAYFIELD-ALBRITTON, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	1.43	80.0	1.14	0.00	1.14
01/18/2018	DAILEY, TREVA	AM FUNDS WASHINGTON MUTUAL	0.00	2.93	80.0	2.34	0.00	2.34
12/31/2017	ROWE-BRANF	BLACKROCK 67776G469	0.00	0.15	80.0	0.12	0.00	0.12
/ /	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	1.09	80.0	0.87	0.00	0.87
12/31/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.27	80.0	0.22	0.00	0.22
12/31/2017	ROWE-BRANF	BLK MOD 17+ AGE BASED A	0.00	0.02	80.0	0.02	0.00	0.02
01/22/2018	MOORE, TONI	CORP PROPERTY ASSOC 18 GLOBAL INC	0.00	11.15	80.0	8.92	0.00	8.92
01/22/2018	CASTLE, DAVID	CORP PROPERTY ASSOC 18 GLOBAL INC	0.00	11.15	80.0	8.92	0.00	8.92
01/31/2018	MATHEWS, DALE	FRANKLIN INCOME C	0.00	187.98	80.0	150.38	0.00	150.38
01/22/2018	LAYFIELD-ALBRITTON, KIMBERLY	FRANKLIN SMALL CAP GROWTH C	0.00	1.09	80.0	0.87	0.00	0.87
01/22/2018	LAWTON, E ANN	FRANKLIN UTILITIES A	0.00	3.90	80.0	3.12	0.00	3.12
01/22/2018	LAWTON, E ANN	OPPENHEIMER DEVELOPING MARKETS C	0.00	8.28	80.0	6.62	0.00	6.62
01/22/2018	KRAUS, TIM	OPPENHEIMER DEVELOPING MARKETS C	0.00	11.64	80.0	9.31	0.00	9.31
01/18/2018	STANFORD, DAVID	POWER DIVIDEND INDEX FUND CLASS C	0.00	19.18	80.0	15.34	0.00	15.34
01/18/2018	LAWTON, E ANN	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.56	80.0	1.25	0.00	1.25
01/18/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	3.51	80.0	2.81	0.00	2.81
01/18/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	0.00	1.44	80.0	1.15	0.00	1.15
01/18/2018	REEVES, KATHLEEN	POWER DIVIDEND INDEX FUND CLASS C	0.00	8.21	80.0	6.57	0.00	6.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$313.10		\$250.45	\$0.00	\$250.45
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/24/2018	GARRISON, JENNIFER	PAC LIFE PACIFIC CHOICE	0.00	7.74	80.0	6.19	0.00	6.19
01/17/2018	CARROLL, ARDITH	PAC LIFE VALUE	0.00	8.97	80.0	7.18	0.00	7.18
01/25/2018	MCFERRON, LINDA	PAC LIFE VALUE	0.00	31.67	80.0	25.34	0.00	25.34
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$48.38		\$38.71	\$0.00	\$38.71
*** DIRECT TRANSACTIONS TOTAL ***			\$1,797,149.40	\$2,881.86		\$2,305.46	\$0.00	\$2,305.46

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
02/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
02/15/2018		*E & O INSURANCE: CURRENT MONTH	0.00					-300.00
02/15/2018		*FINRA: VA INDIVIDUAL REG. FEE	0.00					-40.00
02/15/2018		*GLOBAL RELAY: FACEBOOK	0.00					-5.00
02/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
02/15/2018		*MARKETING PRO: MARKETING LIBRARY	0.00					-20.00
02/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-1.50
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-1.55
02/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-570.05	\$0.00	\$-570.05

BROKER COMMISSION TOTALS

Broker Transactions	\$2,411.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-570.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,841.61
Year-to-date Gross	\$8,401.64
Year-to-date Earnings	\$6,631.72

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed