

**COMMISSION STATEMENT****August 15, 2018**

ROBERT, L117 LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/20/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
07/20/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
07/20/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
07/20/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
07/20/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
07/20/2018	LORENZ, WALTER	PACIFIC LIFE FUNDS	30.00	1.43	0.00	1.43	95.0	1.36
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	GOLDIE, JAMES	PACIFIC LIFE FUNDS	28.80	1.37	0.00	1.37	95.0	1.30
07/20/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
07/20/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
07/20/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
07/20/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
07/20/2018	KUDLEK, CHRISTOPHER	PACIFIC LIFE FUNDS	46.15	1.73	0.00	1.73	95.0	1.64
08/02/2018	KAMPMANN, MURIEL	AM FUNDS ICA C	500.00	5.00	0.00	5.00	95.0	4.75
08/02/2018	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	500.00	5.00	0.00	5.00	95.0	4.75
/ /	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.12	0.00	1.12	95.0	1.06
07/16/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	0.00	1.12	0.00	1.12	95.0	1.06
07/16/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
/ /	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	0.00	0.67	0.00	0.67	95.0	0.64
07/16/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
/ /	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.45	0.00	0.45	95.0	0.43
07/16/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
/ /	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.12	0.00	1.12	95.0	1.06
07/16/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
07/23/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
07/31/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
* MUTUAL FUNDS SUBTOTAL *			\$2,592.40	\$57.10	\$0.00	\$57.10		\$54.17
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/02/2018	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
07/25/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	4,000.00	220.00	0.00	220.00	95.0	209.00
08/01/2018	SMITH, GARY	JNL PERSPECTIVE II 04/14	1,000.00	70.00	0.00	70.00	95.0	66.50
* VARIABLE ANNUITIES SUBTOTAL *			\$6,000.00	\$335.00	\$0.00	\$335.00		\$318.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/16/2018	MCKENNA, STEPHANIE	EATON VANCE FLOATING RATE FUND CLAS	0.00	0.01	0.00	0.01	95.0	0.01
07/31/2018	TOOLEY, PATRICIA	FRANKLIN MA TAX FR INCOME A	0.00	26.94	0.00	26.94	95.0	25.59
07/31/2018	LEAVY, FLORENCE	FRANKLIN US GOVT SEC FUND A	0.00	5.62	0.00	5.62	95.0	5.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$32.57	\$0.00	\$32.57		\$30.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	MOLNAR, J S KIP	JNL 468511134	0.00	4.08	0.00	4.08	95.0	3.88
07/31/2018	MAZZA, JOSEPH	JNL 468511134	0.00	8.96	0.00	8.96	95.0	8.51
07/31/2018	FLANAGAN, BARBARA	JNL 468511142	0.00	10.28	0.00	10.28	95.0	9.77
07/31/2018	NEVIN, NANCY	JNL NY PERSPECTIVE L	0.00	204.55	0.00	204.55	95.0	194.32
07/31/2018	TETA, JODI	JNL NY PERSPECTIVE L	0.00	202.10	0.00	202.10	95.0	192.00
07/31/2018	LUCHSINGER, ELIZABETH	JNL NY PERSPECTIVE L	0.00	143.34	0.00	143.34	95.0	136.17
07/31/2018	MCKENNA, STEPHANIE	JNL NY PERSPECTIVE L	0.00	70.07	0.00	70.07	95.0	66.57
07/31/2018	LUCHSINGER, ROBERT	JNL PERSPECTIVE II 04/14	0.00	22.25	0.00	22.25	95.0	21.14
07/31/2018	STOCCO, ROBERT	JNL PERSPECTIVE II 04/14	0.00	10.88	0.00	10.88	95.0	10.34
07/31/2018	HANAK, SUSAN	JNL PERSPECTIVE II 04/14	0.00	97.86	0.00	97.86	95.0	92.97
07/31/2018	DEITSCH, PAUL	JNL PERSPECTIVE II 04/14	0.00	19.96	0.00	19.96	95.0	18.96
07/31/2018	GUARINO, CARMINE	JNL PERSPECTIVE II 04/14	0.00	41.59	0.00	41.59	95.0	39.51
07/31/2018	FLANAGAN, BARBARA	JNL PERSPECTIVE II 04/14	0.00	60.88	0.00	60.88	95.0	57.84
07/31/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	0.00	9.13	0.00	9.13	95.0	8.67
07/31/2018	DIBENEDETTO, SUSAN	JNL PERSPECTIVE II 04/14	0.00	37.05	0.00	37.05	95.0	35.20
07/31/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	0.00	84.34	0.00	84.34	95.0	80.12
07/31/2018	KAMPMANN, ROBERT	JNL PERSPECTIVE II 04/14	0.00	55.80	0.00	55.80	95.0	53.01
07/18/2018	LENTINI, ANNA	PAC LIFE INNOVATIVE SELECT	0.00	307.92	0.00	307.92	95.0	292.52
07/23/2018	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	927.62	0.00	927.62	95.0	881.24
07/16/2018	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	179.94	0.00	179.94	95.0	170.94
07/17/2018	DEPTULA, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	500.00	0.00	500.00	95.0	475.00
07/18/2018	DEITSCH, PAUL	PAC LIFE INNOVATIVE SELECT NY	0.00	162.10	0.00	162.10	95.0	154.00
07/20/2018	MAZZA, JOSEPH	PAC LIFE INNOVATIVE SELECT NY	0.00	421.32	0.00	421.32	95.0	400.25
07/23/2018	STOCCO, ROBERT	PAC LIFE INNOVATIVE SELECT NY	0.00	946.37	0.00	946.37	95.0	899.05
07/23/2018	GUARINO, JOAN	PAC LIFE INNOVATIVE SELECT NY	0.00	159.04	0.00	159.04	95.0	151.09
07/23/2018	GUARINO, CARMINE	PAC LIFE INNOVATIVE SELECT NY	0.00	307.51	0.00	307.51	95.0	292.13
07/24/2018	COSTELLO, KEVIN	PAC LIFE INNOVATIVE SELECT NY	0.00	102.48	0.00	102.48	95.0	97.36
07/25/2018	LACOMMARE, MICHAEL	PAC LIFE INNOVATIVE SELECT NY	0.00	55.76	0.00	55.76	95.0	52.97

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/27/2018	NEVIN, NANCY	PAC LIFE INNOVATIVE SELECT NY	0.00	551.06	0.00	551.06	95.0	523.51
07/16/2018	HAMLIN, JOANNE	PACIFIC CHOICE	0.00	5,250.00	0.00	5,250.00	95.0	4,987.50
07/25/2018	FLANAGAN, BARBARA	PACIFIC CHOICE	0.00	105.00	0.00	105.00	95.0	99.75
07/30/2018	ROBERTO, ROBERT	SBL SECURE DESIGNS VA	0.00	2.80	0.00	2.80	95.0	2.66
07/30/2018	YBAY, ERLINDA	SBL SECURE DESIGNS VA	0.00	104.49	0.00	104.49	95.0	99.27
07/30/2018	ROBERTO, RICHARD	SBL SECURE DESIGNS VA	0.00	2.82	0.00	2.82	95.0	2.68
07/30/2018	KNAACK, JOHN	SBL SECURE DESIGNS VA	0.00	103.64	0.00	103.64	95.0	98.46
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$11,272.99	\$0.00	\$11,272.99		\$10,709.36
*** DIRECT TRANSACTIONS TOTAL ***			\$8,592.40	\$11,697.66	\$0.00	\$11,697.66		\$11,112.72

VERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

07/31/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	0.00	97.85	0.00	97.85	0.0	39.14
07/19/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.42	0.00	4.42	0.0	1.77
07/19/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.56	0.00	3.56	0.0	1.42
07/23/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.76	0.00	2.76	0.0	1.10
07/31/2018	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.79	0.00	8.79	0.0	3.52
07/31/2018	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.52	0.00	12.52	0.0	5.01
07/31/2018	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	19.17	0.00	19.17	0.0	7.67
07/23/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.25	0.00	6.25	0.0	2.50
07/20/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.06	0.00	1.06	0.0	0.42
07/20/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.34	0.00	1.34	0.0	0.54
07/20/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.36	0.00	0.36	0.0	0.14
07/20/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.13	0.00	5.13	0.0	2.05
07/20/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.83	0.00	4.83	0.0	1.93
07/20/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.04	0.00	9.04	0.0	3.62
07/20/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.81	0.00	1.81	0.0	0.72
07/23/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.94	0.00	4.94	0.0	1.98
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.49	0.00	5.49	0.0	2.20
07/17/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.06
07/17/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.64	0.00	0.64	0.0	0.26
07/17/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.33	0.00	5.33	0.0	2.13

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: L149-ROBERT LUCHSINGER #388								
07/17/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.22	0.00	2.22	0.0	0.89
07/17/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.70	0.00	0.70	0.0	0.28
07/17/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.06	0.00	1.06	0.0	0.42
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$0.00	\$199.43	\$0.00	\$199.43		\$79.77
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$199.43	\$0.00	\$199.43		\$79.77

ADJUSTMENTS

08/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
08/15/2018	*CONCIERGE SVC: 220657 HANAK	0.00						-50.00
08/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
08/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-3.96
08/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-540.96

BROKER COMMISSION TOTALS

Broker Transactions	\$11,112.72
Split Transactions	\$0.00
Override Transactions	\$79.77
Adjustments	\$-540.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,651.53
Year-to-date Gross	\$157,293.03
Year-to-date Earnings	\$156,004.31

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