



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,577.54	1,577.54	0.00	1,577.54	85.0	1,340.91
07/26/2018	00002003961	COOPER, MARJORIE LIFESTYLE BALANCED PORTFOLIO A	B	44,500.00	934.50	0.00	934.50	85.0	794.33
07/25/2018	00002196237	PARKS, EILEEN/EMMA US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
07/25/2018	00002196244	PARKS, EILEEN/KATHERIN US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
07/26/2018	00003372662	COOPER, MARJORIE B LIFESTYLE GROWTH PORTFOLIO A	B	44,500.00	934.50	0.00	934.50	85.0	794.33
07/27/2018	00003383907	COOPER, MARJORIE B SMALL CAP GROWTH A	B	6,000.00	126.00	0.00	126.00	85.0	107.10
07/16/2018	00063561880	WALKER, ROBERT A. FIDELITY ADV STRATEGIC INCOME CL C	B	300.00	3.00	0.00	3.00	85.0	2.55
07/16/2018	00655125367	TURNER, DONNA FIDELITY ADV CONSUMER STAPLES-CL A	B	125.00	3.44	0.00	3.44	85.0	2.92
07/16/2018	01482022975	FENGLER, KELLY FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
07/20/2018	01489907152	BARGAS, JEFFERY/MADISON FA 529 100% EQUITY PORT-CL C	B	200.00	2.00	0.00	2.00	85.0	1.70
07/16/2018	03900222321	BEGNOCHE, KELLEN FIDELITY ADVISORS TECHNOLOGY FD CL B	B	200.00	10.00	0.00	10.00	85.0	8.50
07/30/2018	5450900284	BALLAS, MELISSA L MODERATE INVESTOR FUND A	B	100.00	4.75	0.00	4.75	85.0	4.04
* MUTUAL FUNDS SUBTOTAL *				<u>\$97,652.54</u>	<u>\$3,598.85</u>	<u>\$0.00</u>	<u>\$3,598.85</u>		<u>\$3,059.03</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/15/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	25.59	0.00	25.59	85.0	21.76
08/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	511.10	0.00	511.10	85.0	434.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$536.69</u>	<u>\$0.00</u>	<u>\$536.69</u>		<u>\$456.19</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$97,652.54</u>	<u>\$4,135.54</u>	<u>\$0.00</u>	<u>\$4,135.54</u>		<u>\$3,515.22</u>

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ADJUSTMENTS

08/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,515.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,387.72
Year-to-date Earnings	\$40,837.42