

# COMMISSION STATEMENT July 31, 2018

DPM HAVARD

BROKER #:

BRANCH: DWA

, TX

**COMMISSION STATEMENT for DPM HAVARD**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/26/2018	338862568	KEITH, HUGHES	MF	BLACKROCK LARGE CAP CORE FD I S	7,802.45	0.00	5.00	-5.00	0.0	0.00	
* MUTUAL FUNDS SUBTOTAL *				\$7,802.45	\$0.00	\$5.00	\$-5.00		0.00		
PRODUCT CATEGORY: STOCKS											
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE MSCI EAFE ETF	B	-16,292.14	-290.00	-15.00	-275.00	0.0	0.00
06/27/2018	308127552	GASPARAC, TIHOMIR	S	ISHARES CORE MSCI EAFE ETF	B	16,017.14	15.00	15.00	0.00	0.0	0.00
07/10/2018	337829724	HAMNER, PHILLIP	S	ISHARES TRUST S&P GLBL INF TC	B	10,026.20	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARE EDGE MSCI MIN VOL USA	B	1,229.43	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARES CORE S&P MID-CAP ETF	B	8,385.95	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARES CORE MSCI EAFE ETF	B	8,392.12	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARES CORE S&P TOTAL U.S.	B	14,557.03	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARES EDGE MSCI USA MOMENTUM	B	4,159.93	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARES EDGE MSCI MULTIFACTOR	B	6,031.57	15.00	15.00	0.00	0.0	0.00
07/26/2018	338862568	KEITH, HUGHES	S	ISHARES TRUST S&P GLBL INF TC	B	4,155.77	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				\$56,663.00	\$-155.00	\$120.00	\$-275.00		0.00		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
07/23/2018	mfc307289	VARIOUS, HAVARD	MFT	AKRE FOCUS FD RETAIL	B	0.00	1.10	0.00	1.10	0.0	0.00
07/23/2018	mfc307289	VARIOUS, HAVARD	MFT	ALGER SPECTRA FD CL I	B	0.00	1.72	0.00	1.72	0.0	0.00
07/23/2018	mfc307289	VARIOUS, HAVARD	MFT	BLACKROCK MULTI-ASSET INCOME FD	B	0.00	40.57	0.00	40.57	0.0	0.00
07/23/2018	mfc307289	VARIOUS, HAVARD	MFT	BLACKROCK STRATEGIC INC OPP FD	B	0.00	47.61	0.00	47.61	0.0	0.00
07/23/2018	mfc307289	VARIOUS, HAVARD	MFT	VIRTUS DUFF & PHELPS REAL	B	0.00	0.83	0.00	0.83	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$91.83	\$0.00	\$91.83		0.00		
*** CLEARING TRANSACTIONS TOTAL ***				\$64,465.45	\$-63.17	\$125.00	\$-188.17		0.00		

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>