



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
Page No. 1

ACCOUNT HOUSE
1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/25/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	99,003.17	0.00	0.00	0.00	0.0	0.00
08/25/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-100,003.20	0.00	0.00	0.00	0.0	0.00
08/29/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	24,774.88	0.00	0.00	0.00	0.0	0.00
08/29/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-25,025.13	0.00	0.00	0.00	0.0	0.00
08/29/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	24,774.88	0.00	0.00	0.00	0.0	0.00
08/29/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-25,025.13	0.00	0.00	0.00	0.0	0.00
09/08/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	27,725.21	0.00	0.00	0.00	0.0	0.00
09/08/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-28,005.26	0.00	0.00	0.00	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-1,780.58	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/31/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/31/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
09/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
08/31/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
09/05/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
08/28/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
09/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
09/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
09/05/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
09/05/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
09/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
09/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
09/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
09/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
09/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
09/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
09/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
09/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
09/05/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
08/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
09/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
09/05/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
09/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
09/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
09/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
09/01/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
09/05/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
09/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
09/01/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
09/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
09/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
09/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
09/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
09/01/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
09/05/2017	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	500.00	17.50	0.00	17.50	100.0	17.50
08/31/2017	CONCORDE, VARIOUS	COLUMBIA PPTY TR INC COM NEW	-2,085.01	0.00	0.05	-0.05	100.0	-0.05
08/31/2017	CONCORDE, VARIOUS	COLUMBIA PPTY TR INC COM NEW	-5,212.53	0.00	0.05	-0.05	100.0	-0.05
08/31/2017	CONCORDE, VARIOUS	COLUMBIA PPTY TR INC COM NEW	-18,766.35	0.00	0.05	-0.05	100.0	-0.05
08/31/2017	CONCORDE, VARIOUS	COLUMBIA PPTY TR INC COM NEW	26,063.88	0.00	0.00	0.00	100.0	0.00
08/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	32.41	0.00	32.41	100.0	32.41
08/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.46	0.00	7.46	100.0	7.46
08/29/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	4,061.37	0.00	0.00	0.00	100.0	0.00
08/29/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	2,904.82	0.00	0.00	0.00	100.0	0.00
08/29/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-3,002.40	0.00	0.00	0.00	100.0	0.00
08/29/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-4,197.80	0.00	0.00	0.00	100.0	0.00
08/29/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-3,002.19	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/29/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	2,957.16	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
08/31/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	-25,000.00	0.00	0.00	0.00	100.0	0.00
08/31/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	23,812.50	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$3,509.52	\$224.88	\$0.15	\$224.73		\$224.73
<u>PRODUCT CATEGORY: STOCKS</u>								
09/11/2017	CONCORDE, VARIOUS	ALLIANZGI NFJ DIVID INT & PREM STRA	1,290.90	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	ALLIANZGI NFJ DIVID INT & PREM STRA	2,581.98	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	ALLIANZGI NFJ DIVID INT & PREM STRA	1,290.99	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	ALLIANZGI NFJ DIVID INT & PREM STRA	1,291.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	ALLIANZGI NFJ DIVID INT & PREM STRA	6,454.95	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	ALLIANZGI NFJ DIVID INT & PREM STRA	-12,909.80	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	AT&T INC COM	25,920.23	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	AT&T INC COM	7,405.76	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	AT&T INC COM	3,702.75	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	AT&T INC COM	-37,028.70	0.00	0.00	0.00	100.0	0.00
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-543.66	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-512.50	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-122.88	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-204.20	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-1,530.00	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-1,522.50	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-555.50	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-1,110.60	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	6,102.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	2,025.00	0.00	0.05	-0.05	100.0	-0.05

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
09/05/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	3,690.00	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-5,712.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	4,137.00	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	2,070.00	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	689.50	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-6,895.00	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	COHEN & STEERS INFRASTRUCTURE FD IN	-23,695.60	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	COHEN & STEERS INFRASTRUCTURE FD IN	2,369.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COHEN & STEERS INFRASTRUCTURE FD IN	21,326.58	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	21,768.94	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-13.21	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,320.50	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,320.50	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,320.50	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-66.03	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,320.50	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-6,600.05	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-6,600.05	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-3,207.62	0.00	1.00	-1.00	100.0	-1.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-74,823.65	0.00	0.00	0.00	100.0	0.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	10,145.40	0.00	1.00	-1.00	100.0	-1.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	5,072.70	0.00	1.00	-1.00	100.0	-1.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,690.33	0.00	1.00	-1.00	100.0	-1.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	3,381.92	0.00	1.00	-1.00	100.0	-1.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	21,982.48	0.00	1.00	-1.00	100.0	-1.00
09/08/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	32,550.98	0.00	1.00	-1.00	100.0	-1.00
08/29/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	6,060.00	0.00	0.00	0.00	100.0	0.00
08/29/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-312.00	0.00	0.05	-0.05	100.0	-0.05
08/29/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-5,757.00	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-5,880.00	0.00	0.00	0.00	100.0	0.00
09/05/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	2,340.00	0.00	0.05	-0.05	100.0	-0.05
09/05/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	3,546.00	0.00	0.05	-0.05	100.0	-0.05
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	-13,914.50	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,113.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,113.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	5,013.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	5,563.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	556.30	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	556.30	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,655.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-9,924.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,654.02	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	13,232.96	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	WELLS FARGO ADVANTAGE GLOBAL DIVID	8,580.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	WELLS FARGO ADVANTAGE GLOBAL DIVID	10,920.00	0.00	1.00	-1.00	100.0	-1.00
09/11/2017	CONCORDE, VARIOUS	WELLS FARGO ADVANTAGE GLOBAL DIVID	-19,500.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$1.88	\$0.00	\$34.00	\$-34.00		\$-34.00
<u>PRODUCT CATEGORY: BONDS</u>								
08/21/2017	CONCORDE, VARIOUS	METROPOLITAN TRANSN AUTH N Y	25,296.75	0.00	0.00	0.00	100.0	0.00
08/21/2017	CONCORDE, VARIOUS	METROPOLITAN TRANSN AUTH N Y	25,296.75	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *			\$50,593.50	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/25/2017	LUND, VARIOUS	ING RELIASTAR	500.00	26.25	0.00	26.25	100.0	26.25
09/05/2017	AUFFANT, KATHERINE	ADV CENTURY	500.00	20.00	0.00	20.00	100.0	20.00
09/05/2017	MOLIK, DANIEL	ADV CENTURY	500.00	26.25	0.00	26.25	100.0	26.25
09/05/2017	STARK, TONI	ADV CENTURY	2,000.00	105.00	0.00	105.00	100.0	105.00
09/05/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,892.38	19.08	0.00	19.08	100.0	19.08
09/05/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	149,629.03	124.69	0.00	124.69	100.0	124.69
09/05/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	345,226.70	287.69	0.00	287.69	100.0	287.69
09/05/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	26,541.93	4.42	0.00	4.42	100.0	4.42
09/05/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	487.47	0.08	0.00	0.08	100.0	0.08
08/16/2017	HORIBA, MASATAKE	GENWORTH INS	165,019.33	34.38	0.00	34.38	100.0	34.38
08/16/2017	FORMELLA, DAVID	GENWORTH INS	10,309.05	2.15	0.00	2.15	100.0	2.15
08/16/2017	FORMELLA, ANNETTE	GENWORTH INS	10,499.78	2.19	0.00	2.19	100.0	2.19
08/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,113.82	3.15	0.00	3.15	100.0	3.15

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	18,750.71	15.63	0.00	15.63	100.0	15.63
08/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	100.0	10.50
08/30/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	773.37	0.15	0.00	0.15	100.0	0.15
08/30/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,021.74	2.08	0.00	2.08	100.0	2.08
08/30/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,983.15	1.03	0.00	1.03	100.0	1.03
08/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	100,034.81	83.36	0.00	83.36	100.0	83.36
08/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	110,805.66	92.34	0.00	92.34	100.0	92.34
08/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,776.35	40.65	0.00	40.65	100.0	40.65
08/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	68,442.99	57.04	0.00	57.04	100.0	57.04
08/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,917.94	8.26	0.00	8.26	100.0	8.26
08/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	79,107.70	65.92	0.00	65.92	100.0	65.92
* VARIABLE ANNUITIES SUBTOTAL *			\$1,200,983.91	\$1,032.29	\$0.00	\$1,032.29		\$1,032.29
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6710 TARGET VIP 3Q 17 TERM	-38,904.73	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6710 TARGET VIP 3Q 17 TERM	38,418.42	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6734 SABRIENT FORWARD LOOKI	19,759.06	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6734 SABRIENT FORWARD LOOKI	-20,009.23	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6736 DORSEY WRIGHT RELATIVE	35,451.91	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6736 DORSEY WRIGHT RELATIVE	-35,900.81	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6780 SABRIENT SMALL CAP GRO	-35,001.95	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6780 SABRIENT SMALL CAP GRO	34,564.33	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6821 SABRIENT DEFENSIVE EQU	-31,705.01	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6821 SABRIENT DEFENSIVE EQU	31,308.70	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6859 SABRIENT BAKERS DOZEN	37,036.20	0.00	0.00	0.00	100.0	0.00
09/11/2017	CONCORDE, VARIOUS	FT UNIT 6859 SABRIENT BAKERS DOZEN	-37,504.90	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-2,488.01	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/25/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	7.30	0.00	7.30	100.0	7.30
09/01/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.62	0.00	5.62	100.0	5.62
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$12.92	\$0.00	\$12.92		\$12.92

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/15/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.85	0.00	0.85	100.0	0.85
08/15/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	100.0	0.42
08/15/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.97	0.00	1.97	100.0	1.97
08/14/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
08/14/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.60	0.00	1.60	100.0	1.60
07/31/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	3.04	0.00	3.04	100.0	3.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.89	\$0.00	\$7.89		\$7.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/31/2017	LUND, VARIOUS	ING RELIASTAR	0.00	503.34	0.00	503.34	100.0	503.34
08/25/2017	KILBERTUS, GEORGE	JEFFERSON NAT'L TRAILS	0.00	81.58	0.00	81.58	100.0	81.58
08/28/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
08/31/2017	MEAD, ELIZABETH	DELAWARE LIFE TRAILS	0.00	2.73	0.00	2.73	100.0	2.73
08/29/2017	CHIDSEY, CYNTHIA	ING PREMIUM PLUS	0.00	4.84	0.00	4.84	100.0	4.84
08/31/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.62	0.00	12.62	100.0	12.62
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$607.36	\$0.00	\$607.36		\$607.36
*** BROKER TRANSACTIONS TOTAL ***			\$1,250,820.22	\$1,885.34	\$34.15	\$1,851.19		\$1,851.19

VERRIDE TRANSACTIONS**BROKER: ACCOUNT HOUSE #1**

08/31/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
08/31/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
09/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
08/31/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
08/28/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
09/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
09/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
09/05/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
09/05/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
09/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
09/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
09/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
09/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
09/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
09/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
09/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
09/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
08/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
09/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
09/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
09/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
09/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
09/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
09/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
09/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
09/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
09/05/2017	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	500.00	17.50	0.00	17.50	100.0	17.50
* ACCOUNT HOUSE SUBTOTAL *			\$4,742.00	\$175.85	\$0.00	\$175.85		\$175.85
<u> BROKER: MATT BRANSTETTER #10 </u>								
09/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
09/05/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	10.63	0.00	10.63	80.0	10.63
* MATT BRANSTETTER SUBTOTAL *			\$350.00	\$15.63	\$0.00	\$15.63		\$15.63
<u> BROKER: ERIC HORNYAK #29 </u>								
08/09/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.76	0.00	26.76	0.0	26.76
08/09/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.59	0.00	0.59	0.0	0.59
08/09/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.53	0.00	0.53	0.0	0.53
08/09/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.42	0.00	0.42	0.0	0.42
08/09/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.50	0.00	4.50	0.0	4.50
08/09/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	0.0	0.68
08/09/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.60	0.00	13.60	0.0	13.60
08/09/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.34	0.00	1.34	0.0	1.34
08/09/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	26.00	0.00	26.00	0.0	26.00
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.56	0.00	0.56	0.0	0.56
08/09/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.05	0.00	28.05	0.0	28.05
08/09/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.20	0.00	9.20	0.0	9.20

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERIC HORNYAK #29 </u>								
08/09/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.91	0.00	21.91	0.0	21.91
		* ERIC HORNYAK SUBTOTAL *	\$0.00	\$134.14	\$0.00	\$134.14		\$134.14
<u> BROKER: THOMAS BOAKS #33 </u>								
08/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
		* THOMAS BOAKS SUBTOTAL *	\$30.00	\$0.30	\$0.00	\$0.30		\$0.30
<u> BROKER: BRUCE BAXTER #38 </u>								
09/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
08/30/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,713.35	5.89	0.00	5.89	0.0	5.89
		* BRUCE BAXTER SUBTOTAL *	\$15,813.35	\$10.89	\$0.00	\$10.89		\$10.89
<u> BROKER: MELANIE DICENSO #43 </u>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.06	0.00	1.06	0.0	1.06
08/29/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
08/30/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	16,573.37	3.46	0.00	3.46	0.0	3.46
		* MELANIE DICENSO SUBTOTAL *	\$16,623.37	\$6.52	\$0.00	\$6.52		\$6.52
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
08/31/2017	JAGER FAMILY TRUST, EARL & ANH	COLUMBIA PPTY TR INC COM NEW	-26,063.88	22.00	23.88	-1.88	0.0	-1.88
08/31/2017	JAGER FAMILY TRUST, EARL & ANH	HEALTHCARE TR AMER INC CL A NEW	-15,450.05	22.00	22.75	-0.75	0.0	-0.75
08/31/2017	ZAX TRUST	JNL PERSPECTIVE	372.28	0.08	0.00	0.08	0.0	0.08
08/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,589.11	3.58	0.00	3.58	0.0	3.58
08/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	60,894.11	65.97	0.00	65.97	0.0	65.97
08/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	75,976.01	63.31	0.00	63.31	0.0	63.31
08/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	34,285.41	28.57	0.00	28.57	0.0	28.57
08/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,543.41	12.95	0.00	12.95	0.0	12.95
		* ROBERT (RUSTY) TWEED SUBTOTAL *	\$154,146.40	\$218.46	\$46.63	\$171.83		\$171.83
<u> BROKER: JIM LUND #60 </u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	3.02	0.00	3.02	0.0	3.02
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.65	0.00	1.65	0.0	1.65
09/01/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.40	0.00	1.40	0.0	1.40
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.40	0.00	1.40	0.0	1.40
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.49	0.00	3.49	0.0	3.49

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JIM LUND #60</u>								
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.21	0.00	13.21	0.0	13.21
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.60	0.00	0.60	0.0	0.60
09/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
* JIM LUND SUBTOTAL *			\$325.00	\$35.52	\$0.00	\$35.52		\$35.52
<u>BROKER: JAMES PANOS #61</u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	28.50	0.00	28.50	0.0	28.50
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	24.32	0.00	24.32	0.0	24.32
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.98	0.00	3.98	0.0	3.98
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	8.01	0.00	8.01	0.0	8.01
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	11.19	0.00	11.19	0.0	11.19
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	6.05	0.00	6.05	0.0	6.05
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	12.15	0.00	12.15	0.0	12.15
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.42	0.00	6.42	0.0	6.42
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	13.04	0.00	13.04	0.0	13.04
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	28.61	0.00	28.61	0.0	28.61
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.45	0.00	6.45	0.0	6.45
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	12.25	0.00	12.25	0.0	12.25
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	4.27	0.00	4.27	0.0	4.27
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	61.62	0.00	61.62	0.0	61.62
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	4.67	0.00	4.67	0.0	4.67
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.92	0.00	2.92	0.0	2.92
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	10.37	0.00	10.37	0.0	10.37
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	63.15	0.00	63.15	0.0	63.15
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	43.44	0.00	43.44	0.0	43.44
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	25.99	0.00	25.99	0.0	25.99
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.79	0.00	10.79	0.0	10.79
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	16.34	0.00	16.34	0.0	16.34
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	24.57	0.00	24.57	0.0	24.57
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	7.21	0.00	7.21	0.0	7.21
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.31	0.00	10.31	0.0	10.31
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.81	0.00	11.81	0.0	11.81
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	28.27	0.00	28.27	0.0	28.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES PANOS #61 </u>								
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	8.24	0.00	8.24	0.0	8.24
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.94	0.00	10.94	0.0	10.94
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	32.75	0.00	32.75	0.0	32.75
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.36	0.00	3.36	0.0	3.36
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	21.45	0.00	21.45	0.0	21.45
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	3.06	0.00	3.06	0.0	3.06
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	39.84	0.00	39.84	0.0	39.84
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	19.11	0.00	19.11	0.0	19.11
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.87	0.00	14.87	0.0	14.87
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	34.49	0.00	34.49	0.0	34.49
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	23.06	0.00	23.06	0.0	23.06
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	19.46	0.00	19.46	0.0	19.46
09/01/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
09/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
09/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	15.05	0.00	15.05	0.0	15.05
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	5.34	0.00	5.34	0.0	5.34
09/01/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
08/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	85,439.79	71.20	0.00	71.20	0.0	71.20
08/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,483.93	89.37	0.00	89.37	0.0	89.37
* JAMES PANOS SUBTOTAL *			\$300,873.72	\$907.79	\$0.00	\$907.79		\$907.79
<u> BROKER: ERIK BLOOMFELDT #105 </u>								
08/31/2017	DEACON, GALE	JNL PERSPECTIVE L	7,554.21	6.30	0.00	6.30	0.0	6.30
* ERIK BLOOMFELDT SUBTOTAL *			\$7,554.21	\$6.30	\$0.00	\$6.30		\$6.30
<u> BROKER: DANIEL COLLINS #106 </u>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
08/28/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
08/28/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
08/28/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
08/28/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
09/05/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	70,514.33	11.75	0.00	11.75	0.0	11.75
09/05/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,947.31	0.82	0.00	0.82	0.0	0.82
* DANIEL COLLINS SUBTOTAL *			\$75,901.64	\$35.03	\$0.00	\$35.03		\$35.03
<u> BROKER: G118 GRECO #110 </u>								
08/09/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.62	0.00	7.62	0.0	7.62
08/09/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.80	0.00	4.80	0.0	4.80
08/09/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.56	0.00	4.56	0.0	4.56
08/09/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.73	0.00	6.73	0.0	6.73
08/09/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.73	0.00	6.73	0.0	6.73
08/09/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.09	0.00	1.09	0.0	1.09
08/09/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	0.0	0.17
08/09/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.12	0.00	1.12	0.0	1.12
08/09/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	1.03	0.00	1.03	0.0	1.03
08/09/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.21	0.00	5.21	0.0	5.21
08/09/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.97	0.00	0.97	0.0	0.97
08/09/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.93	0.00	2.93	0.0	2.93
08/09/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	16.01	0.00	16.01	0.0	16.01
08/14/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	6.27	0.00	6.27	0.0	6.27
08/09/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	13.11	0.00	13.11	0.0	13.11
* G118 GRECO SUBTOTAL *			\$0.00	\$78.35	\$0.00	\$78.35		\$78.35

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES KOESTER #117</u>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
		* JAMES KOESTER SUBTOTAL *	\$0.00	\$0.11	\$0.00	\$0.11		\$0.11
<u>BROKER: JAY MCCLELLAN #120</u>								
08/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,361.78	6.45	0.00	6.45	0.0	6.45
		* JAY MCCLELLAN SUBTOTAL *	\$19,361.78	\$6.45	\$0.00	\$6.45		\$6.45
<u>BROKER: TIMOTHY KULHANEK #145</u>								
08/28/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
		* TIMOTHY KULHANEK SUBTOTAL *	\$130.00	\$7.80	\$0.00	\$7.80		\$7.80
<u>BROKER: DAVID KOTZ #179</u>								
08/09/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.36	0.00	4.36	0.0	4.36
08/09/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.97	0.00	0.97	0.0	0.97
08/09/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.87	0.00	9.87	0.0	9.87
08/09/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.10	0.00	9.10	0.0	9.10
08/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.81	0.00	25.81	0.0	25.81
08/09/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.96	0.00	2.96	0.0	2.96
08/09/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.89	0.00	0.89	0.0	0.89
08/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.63	0.00	2.63	0.0	2.63
08/09/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.74	0.00	1.74	0.0	1.74
08/09/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.39	0.00	2.39	0.0	2.39
08/21/2017	MARGOLIN, BRUCE	METROPOLITAN TRANSN AUTH N Y	25,296.75	0.00	28.00	-28.00	0.0	-28.00
08/09/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.95	0.00	1.95	0.0	1.95
		* DAVID KOTZ SUBTOTAL *	\$25,296.75	\$62.67	\$28.00	\$34.67		\$34.67
<u>BROKER: WILLIAM WALSH #187</u>								
08/11/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	30.55	0.00	30.55	0.0	30.55
08/11/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.23	0.00	3.23	0.0	3.23
08/09/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.59	0.00	6.59	0.0	6.59
08/09/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.06
08/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.73	0.00	1.73	0.0	1.73
08/09/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.31	0.00	0.31	0.0	0.31
08/09/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	11.49	0.00	11.49	0.0	11.49
08/09/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	43.54	0.00	43.54	0.0	43.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM WALSH #187</u>								
08/09/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.11
08/09/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.14	0.00	1.14	0.0	1.14
08/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.11	0.00	14.11	0.0	14.11
08/09/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	34.99	0.00	34.99	0.0	34.99
08/09/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	79.77	0.00	79.77	0.0	79.77
08/09/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.69	0.00	14.69	0.0	14.69
08/09/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.44	0.00	13.44	0.0	13.44
08/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.30	0.00	41.30	0.0	41.30
08/09/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.89	0.00	15.89	0.0	15.89
08/09/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.33	0.00	4.33	0.0	4.33
08/09/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.38	0.00	0.38	0.0	0.38
08/09/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.69	0.00	4.69	0.0	4.69
08/09/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	5.00	0.00	5.00	0.0	5.00
08/11/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.35	0.00	9.35	0.0	9.35
08/09/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.11	0.00	1.11	0.0	1.11
08/09/2017	WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	13.05	0.00	13.05	0.0	13.05
08/09/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.07	0.00	1.07	0.0	1.07
08/09/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	10.29	0.00	10.29	0.0	10.29
08/09/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.30	0.00	1.30	0.0	1.30
08/09/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	14.03	0.00	14.03	0.0	14.03
08/09/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.44	0.00	2.44	0.0	2.44
08/09/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	13.43	0.00	13.43	0.0	13.43
08/09/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	21.04	0.00	21.04	0.0	21.04
08/09/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.84	0.00	1.84	0.0	1.84
08/08/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.39	0.00	7.39	0.0	7.39
08/09/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	45.47	0.00	45.47	0.0	45.47
08/09/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	8.11	0.00	8.11	0.0	8.11
08/09/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.09	0.00	1.09	0.0	1.09
09/05/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,947,688.41	876.23	0.00	876.23	0.0	876.23
09/05/2017	MCCLAIN,SMITH & WENZ	GWL GRP ANNUITY 401K	1,309,530.07	556.10	0.00	556.10	0.0	556.10
09/05/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	858,252.51	364.46	0.00	364.46	0.0	364.46
09/05/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	435,683.35	185.02	0.00	185.02	0.0	185.02
09/05/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	533,256.34	226.45	0.00	226.45	0.0	226.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM WALSH #187 </u>								
09/05/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	355,962.08	151.16	0.00	151.16	0.0	151.16
09/05/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,382,452.86	587.07	0.00	587.07	0.0	587.07
09/05/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	162,691.71	69.09	0.00	69.09	0.0	69.09
09/05/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,753,224.72	744.52	0.00	744.52	0.0	744.52
08/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.71	0.00	4.71	0.0	4.71
08/09/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.65	0.00	5.65	0.0	5.65
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
08/09/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.82	0.00	1.82	0.0	1.82
08/09/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.18	0.00	8.18	0.0	8.18
08/09/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.45	0.00	0.45	0.0	0.45
08/09/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	26.41	0.00	26.41	0.0	26.41
08/09/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	54.08	0.00	54.08	0.0	54.08
08/09/2017	SENGSTOCK, JODIE	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.50	0.00	10.50	0.0	10.50
08/09/2017	SENGSTOCK, DAVID	LORD ABBETT DEVELOPING GROWTH FUND	0.00	10.59	0.00	10.59	0.0	10.59
08/09/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.10	0.00	1.10	0.0	1.10
08/09/2017	SENGSTOCK, FRANK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	1.69	0.00	1.69	0.0	1.69
08/31/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.62	0.00	12.62	0.0	12.62
08/31/2017	EVSSON EMP RET PLAN	MUTUAL OF OMAHA INS CO	0.00	154.32	0.00	154.32	0.0	154.32
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	1,020,536.05	223.68	0.00	223.68	0.0	223.68
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,137,701.67	124.68	0.00	124.68	0.0	124.68
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,181,853.74	239.11	0.00	239.11	0.0	239.11
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,916,505.28	639.23	0.00	639.23	0.0	639.23
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,724,024.87	377.87	0.00	377.87	0.0	377.87
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	595,280.08	130.47	0.00	130.47	0.0	130.47
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	51,310.21	11.25	0.00	11.25	0.0	11.25
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,405.62	3.16	0.00	3.16	0.0	3.16
/ /	COMMUNITY HOUSE	NWL POOLED FXD	348.32	0.08	0.00	0.08	0.0	0.08
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	484,568.10	127.45	0.00	127.45	0.0	127.45
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	50,233.38	13.21	0.00	13.21	0.0	13.21
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	93,085.70	20.40	0.00	20.40	0.0	20.40
08/09/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	15.11	0.00	15.11	0.0	15.11
08/09/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.31	0.00	0.31	0.0	0.31
08/09/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	6.24	0.00	6.24	0.0	6.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: WILLIAM WALSH #187 </u>								
08/09/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.56	0.00	7.56	0.0	7.56
08/09/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	9.22	0.00	9.22	0.0	9.22
08/09/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.28	0.00	14.28	0.0	14.28
08/09/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.51	0.00	0.51	0.0	0.51
08/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	0.0	0.22
08/25/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.49	0.00	0.49	0.0	0.49
08/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.17	0.00	1.17	0.0	1.17
08/25/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.23	0.00	0.23	0.0	0.23
08/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	583.09	0.00	583.09	0.0	583.09
08/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	66.77	0.00	66.77	0.0	66.77
* WILLIAM WALSH SUBTOTAL *			\$20,008,595.07	\$7,146.37	\$0.00	\$7,146.37		\$7,146.37
<u> BROKER: MICHAEL PALMISANO #196 </u>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.92	0.00	0.92	0.0	0.92
08/09/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.42	0.00	2.42	0.0	2.42
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.93	0.00	0.93	0.0	0.93
08/09/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	8.69	0.00	8.69	0.0	8.69
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$12.96	\$0.00	\$12.96		\$12.96
<u> BROKER: JAMES CRAWFORD #225 </u>								
08/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,246.45	1.93	0.00	1.93	0.0	1.93
08/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,358.07	1.53	0.00	1.53	0.0	1.53
* JAMES CRAWFORD SUBTOTAL *			\$16,604.52	\$3.46	\$0.00	\$3.46		\$3.46
<u> BROKER: CARL WORDEN III #232 </u>								
08/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,279.14	8.57	0.00	8.57	0.0	8.57
* CARL WORDEN III SUBTOTAL *			\$10,279.14	\$8.57	\$0.00	\$8.57		\$8.57
<u> BROKER: WILLIAM THOMAS #239 </u>								
08/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
* WILLIAM THOMAS SUBTOTAL *			\$300.00	\$6.00	\$0.00	\$6.00		\$6.00
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	3.36	0.00	3.36	0.0	3.36
09/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
09/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
09/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
08/21/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
09/05/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.17	0.00	4.17	0.0	4.17
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$600.00	\$12.72	\$0.00	\$12.72		\$12.72
<u>BROKER: MICHAEL O'CALLAGHAN #305</u>								
08/31/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	83,582.59	69.65	0.00	69.65	0.0	69.65
* MICHAEL O'CALLAGHAN SUBTOTAL *			\$83,582.59	\$69.65	\$0.00	\$69.65		\$69.65
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
08/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	121,064.02	100.89	0.00	100.89	0.0	10.09
08/31/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	227,143.52	189.29	0.00	189.29	0.0	18.93
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$348,207.54	\$290.18	\$0.00	\$290.18		\$29.02
*** OVERRIDE TRANSACTIONS TOTAL ***			\$21,089,317.08	\$9,251.72	\$74.63	\$9,177.09		\$8,915.93

BROKER COMMISSION TOTALS

Broker Transactions	\$1,851.19
Split Transactions	\$0.00
Override Transactions	\$8,915.93
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,767.12
Year-to-date Gross	\$59,420.69
Year-to-date Earnings	\$165,037.22

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