

All Companies  
**STATEMENT**  
**May 20, 2018**

**RYLAN-TERMINATED ROZELL**  
5060 California Ave # 620  
SUITE 620  
Bakersfield, CA 93309

**BROKER #: 052**  
**BRANCH:**

COMMISSION STATEMENT for RYLAN-TERMINATED ROZELL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/02/2018	#00089371893	TRAMMEL, LAU	AMERICAN FUNDS TARGET DATE RETIREME	80.00	4.00	90.0	0.00	3.60	3.60
04/19/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	120.00	1.20	90.0	0.00	1.08	1.08
04/19/2018	#00067575908	GARCIA, LORRIE	AMERICAN BALANCED FUND INC CL C	37.93	0.38	90.0	0.00	0.34	0.34
04/19/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	90.00	0.90	90.0	0.00	0.81	0.81
04/19/2018	#00067575910	CROUT, TINA	AMERICAN BALANCED FUND INC CL C	50.10	0.50	90.0	0.00	0.45	0.45
			<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$378.03</b>	<b>\$6.98</b>		<b>\$0.00</b>	<b>\$6.28</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/31/2018	#1005787234	WARREN, MARLENE	L SERIES	87,802.53	73.17	90.0	0.00	65.85	65.85
03/31/2018	#1005787234	WARREN, MARLENE	L SERIES	115,691.77	125.33	90.0	0.00	112.80	112.80
03/31/2018	#009243506A	WATKINS, ERNEST	L SERIES	12,914.63	13.99	90.0	0.00	12.59	12.59
03/31/2018	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	95,785.40	79.82	90.0	0.00	71.84	71.84
04/30/2018	#1005787234	WARREN, MARLENE	L SERIES	89,129.00	74.27	90.0	0.00	66.84	66.84
04/30/2018	#1005787234	WARREN, MARLENE	L SERIES	117,439.57	127.23	90.0	0.00	114.51	114.51
04/30/2018	#009243506A	WATKINS, ERNEST	L SERIES	13,155.58	14.25	90.0	0.00	12.83	12.83
04/30/2018	#009220608A	O DONNELL, JOHN	PRSP II-1004RPL	97,092.31	80.91	90.0	0.00	72.82	72.82
			<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$629,010.79</b>	<b>\$588.97</b>		<b>\$0.00</b>	<b>\$530.08</b>	<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/31/2018	#01980151129	TRUST,	DIVERSIFIED FUND CLASS R	0.00	0.52	90.0	0.00	0.47	0.47
03/31/2018	#01980153263	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	1.29	90.0	0.00	1.16	1.16
03/31/2018	#01980166122	VARIOUS HOUSE	DIVERSIFIED FUND CLASS R	0.00	0.11	90.0	0.00	0.10	0.10
04/01/2018	#00090000621	TRUST,	BLENDED RESEARCH	0.00	0.19	90.0	0.00	0.17	0.17
04/01/2018	#00090000831	TRUST,	BLENDED RESEARCH	0.00	0.10	90.0	0.00	0.09	0.09
04/01/2018	#00090011355	TRUST,	LIFETIME 2030	0.00	26.48	90.0	0.00	23.83	23.83
04/01/2018	#00090006440	TRUST,	MFS 55275E333	0.00	0.10	90.0	0.00	0.09	0.09
04/01/2018	#00090002800	TRUST,	MFS 55279J569	0.00	0.01	90.0	0.00	0.01	0.01
04/02/2018	#00551274736	PLAN	PUT RETIREMENTREADY 2030-A	0.00	0.20	90.0	0.00	0.18	0.18
04/02/2018	#00551274741	PLAN	PUT RETIREMENTREADY 2030-A	0.00	0.20	90.0	0.00	0.18	0.18

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/02/2018	#00551274736	PLAN	PUTNAM FDS TR ABSOLUTE RETURN 500 F	0.00	0.14	90.0	0.00	0.13	0.13
04/02/2018	#00551274741	PLAN	PUTNAM FDS TR ABSOLUTE RETURN 500 F	0.00	0.14	90.0	0.00	0.13	0.13
04/13/2018	#00777115467	VARIOUS HOUSE	JH LARGE CAP EQUITY FD CL A	0.00	7.50	90.0	0.00	6.75	6.75
04/13/2018	#00777098436	VARIOUS HOUSE	JOHN HANCOCK GOVERNMENT INCOME FUND	0.00	2.70	90.0	0.00	2.43	2.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$39.68	\$0.00	\$35.72	\$0.00	\$35.72
*** BROKER TRANSACTIONS TOTAL ***				\$629,388.82	\$635.63	\$0.00	\$572.08	\$0.00	\$572.08

BROKER COMMISSION TOTALS

Broker Transactions	\$572.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$572.08
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