



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
 Page No. 1

BRION NORBY
 4905 Prairie Lane

BROKER #: N121
 BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	NORBY, JADIN	AM FUNDS AMERICAN BALANCED A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	NORBY, TREVOR	AM FUNDS AMERICAN BALANCED A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	NORBY, JADIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	NORBY, TREVOR	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.67	0.00	0.67	90.0	0.60
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$2.68	\$0.00	\$2.68		\$2.40
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2.68	\$0.00	\$2.68		\$2.40

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
06/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
06/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.65	0.00	2.65	90.0	2.39
06/01/2017	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.09	0.00	3.09	90.0	2.78
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.85	0.00	3.85	90.0	3.47
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	2.01	0.00	2.01	90.0	1.81
06/01/2017	KLINE, STEWART	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
06/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	0.36	0.00	0.36	90.0	0.32
06/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$565.00	\$26.81	\$0.00	\$26.81		\$24.15

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
05/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
05/30/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
05/18/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
06/09/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	15,000.00	0.00	0.00	0.00	90.0	0.00
06/09/2017	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	4,000.00	3.30	2.42	0.88	90.0	0.79
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.01	0.00	0.01	90.0	0.01
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.53	0.00	0.53	90.0	0.48
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD INFL-PROTECTED SECS	7,000.00	23.10	2.42	20.68	90.0	18.61
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD INTL EQUITY INDEX FD INC F	6,296.10	20.90	2.48	18.42	90.0	16.58
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD MID-CAP INDEX	3,000.00	9.90	2.42	7.48	90.0	6.73
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD SMALL-CAP INDEX FUND	3,000.00	9.90	2.42	7.48	90.0	6.73
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$38,296.10	\$68.05	\$12.16	\$55.89		\$50.30

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335

06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	2.36	0.00	2.36	90.0	2.12
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.19	0.00	0.19	90.0	0.17

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	4.07	0.00	4.07	90.0	3.66
06/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	2.70	0.00	2.70	90.0	2.43
06/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.09	0.00	2.09	90.0	1.88
05/31/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	15.26	0.00	15.26	90.0	13.73
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$35.55	\$0.00	\$35.55		\$31.94
<u>BROKER: L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L #376</u>								
06/01/2017	SORENSEN, CURTIS	INLAND SELF-STORAGE PF II DST	163,000.00	2,282.00	0.00	2,282.00	90.0	2,053.80
* L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L SUBTOTAL *			\$163,000.00	\$2,282.00	\$0.00	\$2,282.00		\$2,053.80
*** SPLIT TRANSACTIONS TOTAL ***			\$202,881.10	\$2,412.41	\$12.16	\$2,400.25		\$2,160.19

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<u>ADJUSTMENTS</u>								
06/15/2017		*AUDIT FEE: BRANCH FEE	0.00					-213.75
06/15/2017		*CONCIERGE SVC: 192647 DEVLIN	0.00					-25.00
06/15/2017		*CONCIERGE SVC: 193394 NEWBERRY	0.00					-5.50
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.75
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-820.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2.40
Split Transactions	\$2,160.19
Override Transactions	\$0.00
Adjustments	\$-820.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-199.62
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,142.97
Year-to-date Gross	\$34,404.11
Year-to-date Earnings	\$30,946.82

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