



# COMMISSION STATEMENT

## January 01, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/15/2017	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @35.9700						
12/21/2017	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS TAX ADVANTGED INC PO	187.50	5.63	0.00	5.63	70.0	3.94
	ACCOUNT #: LSR011547	BUY 13 Units @14.3900						
12/15/2017	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.4600						
12/15/2017	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 19 Units @10.6800						
* MUTUAL FUNDS SUBTOTAL *			\$527.50	\$11.23	\$0.00	\$11.23		\$7.86
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/22/2017	***-**-92IN - FITZGERALD, MARY	LINCOLN NATIONAL LIFE CUSIP 53378A1	24,599.41	1,537.46	0.00	1,537.46	70.0	1,076.22
	ACCOUNT #: 881059102	BUY 0 Units @0.0000						
12/29/2017	***-**-5233 - DUTCHESS,	MASS MUTUAL VA	0.00	47.84	0.00	47.84	70.0	33.49
	ACCOUNT #: 841406	BUY 1 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$24,599.41	\$1,585.30	\$0.00	\$1,585.30		\$1,109.71
<b><u>PRODUCT CATEGORY: FINANCIAL PLANNING</u></b>								
12/28/2017	***-**-ANFP - KLEBAN, RICHARD	FINANCIAL PLAN	0.00	250.00	0.00	250.00	70.0	175.00
		BUY 0 Units @0.0000						
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$250.00	\$0.00	\$250.00		\$175.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/21/2017	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	62.50	1.25	0.00	1.25	70.0	0.88
	ACCOUNT #: LSR011547	BUY 6 Units @9.9700						
12/26/2017	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.58	0.00	2.58	70.0	1.81
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
12/26/2017	***-**-17IO - DEBONIS, BRENDA	MAINSTAY CONSERVATIV ALLOCATION CL	0.00	22.19	0.00	22.19	70.0	15.53
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
12/26/2017	***-**-17IO - DEBONIS, BRENDA	MAINSTAY MODERATE ALLOCATION CL A	0.00	12.98	0.00	12.98	70.0	9.09
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
12/26/2017	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	7.07	0.00	7.07	70.0	4.95
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
12/26/2017	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.85	0.00	3.85	70.0	2.70
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
12/26/2017	***-**-091I - GLASSFORD,	OPP PORT SERIES: MOD INV FD A	0.00	1.82	0.00	1.82	70.0	1.27
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
12/26/2017	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER GLOBAL HIGH YIELD CL A	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
12/26/2017	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER SENIOR FLOATING RATE PL	0.00	2.10	0.00	2.10	70.0	1.47
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
12/26/2017	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER STEELPATH MLP INC.FND C	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
12/26/2017	***-**-445J - NORTH, CHARLES	ROCHESTER FUND MUNICIPALS A	0.00	17.53	0.00	17.53	70.0	12.27
	ACCOUNT #: LSR011778	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$62.50</b>	<b>\$73.80</b>	<b>\$0.00</b>	<b>\$73.80</b>		<b>\$51.67</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$25,189.41</b>	<b>\$1,920.33</b>	<b>\$0.00</b>	<b>\$1,920.33</b>		<b>\$1,344.24</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$1,344.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$99.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$1,444.13</b>
<b>Year-to-date Earnings</b>	<b>\$1,444.13</b>