

**COMMISSION STATEMENT****April 15, 2018****DONALD MEREDITH**

16921-C Via de Santa Fe

PO Box 5005-81

Rancho Santa Fe, CA 92067

**BROKER #: M119 Terminated 06/22/2016****BRANCH: DONALD MEREDITH**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/06/2018	MEREDITH, DONALD	ETF MANAGERS TRUST	7,148.38	22.00	22.00	0.00	0.0	0.00
03/06/2018	MEREDITH, DONALD	FIRST TR EXCHANGE TRADED FD VI	24,241.14	22.00	22.00	0.00	0.0	0.00
03/06/2018	MEREDITH, DONALD	FIRST TR EXCHANGE TRADED FD VI	10,678.50	22.00	22.00	0.00	0.0	0.00
03/06/2018	MEREDITH, DONALD	GLOBAL X FDS	24,320.10	22.00	22.00	0.00	0.0	0.00
03/06/2018	MEREDITH, DONALD	GLOBAL X FDS	7,304.67	22.00	22.00	0.00	0.0	0.00
03/06/2018	MEREDITH, DONALD	PENNANTPARK FLOATING RATE	8,992.66	22.00	22.00	0.00	0.0	0.00
03/06/2018	MEREDITH, DONALD	PIMCO HIGH INCOME FD COM SHS	1,849.96	22.00	22.00	0.00	0.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$84,535.41</b>	<b>\$154.00</b>	<b>\$154.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	LUCERO, LESLIE	FIDELITY ADV GOLD A	0.00	1.18	0.00	1.18	0.0	0.00
/ /	LUCERO, LESLIE	FIDELITY ADV REAL ESTATE INCOME	0.00	14.95	0.00	14.95	0.0	0.00
/ /	LINDGREN, LISA	FIDELITY ADV REAL ESTATE INCOME	0.00	4.93	0.00	4.93	0.0	0.00
/ /	ROTHWEILER, BARBARA	FIDELITY ADV STRATEGIC INCOME A	0.00	1.07	0.00	1.07	0.0	0.00
/ /	LINDGREN, LISA	FIDELITY ENERGY A	0.00	1.92	0.00	1.92	0.0	0.00
/ /	LINDGREN, LISA	FIDELITY EQUITY INCOME A	0.00	3.15	0.00	3.15	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$27.20</b>	<b>\$0.00</b>	<b>\$27.20</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$84,535.41</b>	<b>\$181.20</b>	<b>\$154.00</b>	<b>\$27.20</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$570.12</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>