



Concorde Investment Services, LLC

07/12/2017  
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# COMMISSION STATEMENT July 15, 2017

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: G0C  
BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGE</u></b>								
^06/23/2017	QUADROZZI, CATHERINE	INLAND RIVERDALE MULITFAMILY DST	500,000.00	25,000.00	0.00	25,000.00	100.0	0.00
* 1031 EXCHANGE SUBTOTAL *			\$500,000.00	\$25,000.00	\$0.00	\$25,000.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^06/20/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	100.0	0.00
^06/08/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	8.86	0.00	8.86	100.0	0.00
^06/08/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.43	0.00	1.43	100.0	0.00
^06/08/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.73	0.00	4.73	100.0	0.00
^06/08/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.55	0.00	6.55	100.0	0.00
^06/19/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.36	0.00	3.36	100.0	0.00
^06/14/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	7.67	0.00	7.67	100.0	0.00
^06/14/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	11.16	0.00	11.16	100.0	0.00
^06/14/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	7.29	0.00	7.29	100.0	0.00
^06/14/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.91	0.00	6.91	100.0	0.00
^06/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.84	0.00	1.84	100.0	0.00
^06/21/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.80	0.00	9.80	100.0	0.00
^06/21/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.55	0.00	0.55	100.0	0.00
^06/21/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.62	0.00	5.62	100.0	0.00
^06/21/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.86	0.00	3.86	100.0	0.00
^06/09/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.40	0.00	9.40	100.0	0.00
^06/15/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.99	0.00	2.99	100.0	0.00
^06/15/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.75	0.00	2.75	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$95.05	\$0.00	\$95.05		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$500,000.00	\$25,095.05	\$0.00	\$25,095.05		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

07/15/2017		*MISC: AW/GOOGLE	0.00					-0.03
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-0.03

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.03
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed