\$-6,569.92

LIBERTY PARTNERS

Companies Page No. 1

## **STATEMENT**

August 20, 2018

DWAIN BLAKE

BROKER #: 049

BRANCH: LA BRANCH

, CA

## COMMISSION STATEMENT for DWAIN BLAKE

| TRADE DATE   | CLIENT             | INVESTMENT                   | B/S           | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | POSTAGE | NET<br>COMMISSION R | ATE  | BROKER<br>COMMISSION |
|--------------|--------------------|------------------------------|---------------|----------------------|---------------------|--------------------|---------|---------------------|------|----------------------|
|              |                    | <u>B</u>                     | ROKER TRANS   | ACTIONS              |                     |                    |         |                     |      |                      |
| PRODUCT CA   | TEGORY: MUTUAL FUN | ND 12B1 TRAILS               |               |                      |                     |                    |         |                     |      |                      |
| 07/11/2018 # |                    | DAIN TRAILER                 | В             | 0.00                 | 2.15                | 0.00               | 0.00    | 2.15                | 75.0 | 1.61                 |
| 07/11/2018 # |                    | DAIN TRAILER                 | В             | 0.00                 | 5.92                | 0.00               | 0.00    | 5.92                | 75.0 | 4.44                 |
| 07/11/2018 # |                    | DAIN TRAILER                 | В             | 0.00                 | 8.54                | 0.00               | 0.00    | 8.54                | 75.0 | 6.41                 |
|              |                    | * MUTUAL FUND 12B1 TRAII     | S SUBTOTAL *  | \$0.00               | \$16.61             | \$0.00             | \$0.00  | \$16.61             |      | \$12.46              |
|              |                    | *** BROKER TRANSACTIO        | ONS TOTAL *** | \$0.00               | \$16.61             | \$0.00             | \$0.00  | \$16.61             | -    | \$12.46              |
|              |                    |                              | ADJUSTME      | NTS                  |                     |                    |         |                     |      |                      |
| 08/20/2018   |                    | ADMIN FEE: ADMIN FEE         |               |                      |                     |                    |         |                     |      | -390.00              |
| 08/20/2018   |                    | ASSISTANT FEE: ASSISTANT FEE |               |                      |                     |                    | -219.00 |                     |      |                      |
| 08/20/2018   |                    | CIRCLEBLACK: CIRCLEBLACK     |               |                      |                     |                    | -175.00 |                     |      |                      |
| 08/20/2018   |                    | DOCUSIGN: DOCUSIGN           |               |                      |                     |                    |         | -51.00              |      |                      |
| 08/20/2018   |                    | E & O INSURANCE: E & O I     | INSURANCE     |                      |                     |                    |         |                     |      | -179.00              |
| 08/20/2018   |                    | MWWOD:MISCELLANEOUS: WR      | ITE-OFF       |                      |                     |                    |         |                     |      | -94.92               |
|              |                    | DEBIT BALANCE                |               |                      |                     |                    |         |                     |      |                      |
| 08/20/2018   |                    | MISCELLANEOUS: MISCELLA      | NEOUS - DAN   |                      |                     |                    |         |                     |      | -5,461.00            |
|              |                    | MAURINO PAYROLL              |               |                      |                     |                    |         |                     |      |                      |
|              |                    |                              |               |                      |                     |                    |         |                     | _    |                      |

\*\*\* ADJUSTMENTS TOTAL \*\*\*

## BROKER COMMISSION TOTALS

| Broker Transactions   | \$12.46     |
|-----------------------|-------------|
| Split Transactions    | \$0.00      |
| Override Transactions | \$0.00      |
| Adjustments           | \$-6,569.92 |
| Payroll Draw          | \$0.00      |
| Base Salary           | \$0.00      |
| Prior Period Balance  | \$-7,461.42 |
| FINRA Assessment      | \$0.00      |
| SIPC Assessment       | \$0.00      |
|                       |             |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| Balance | Forward | \$-14,018.88 |
|---------|---------|--------------|