\$0.00 \$0.00

\$0.00

Page No.



COMMISSION STATEMENT

January 31, 2017

WILLIAM WALSH

2565 West Maple

Troy, MI 48084

| BROKER #: W127 | | | | |
|----------------|---------|-------|--|--|
| BRANCH: | WILLIAM | WALSH | | |

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| | | BROKER TRAN | SACTIONS | | | | | |
| PRODUCT CA | ATEGORY: RIA | | | | | | | |
| 01/23/2017 | SENGSTOCK, FRANK | SAWTOOTH | 0.00 | 423.41 | 0.00 | 423.41 | 87.0 | 368.37 |
| | | * RIA SUBTOTAL * | \$0.00 | \$423.41 | \$0.00 | \$423.41 | | \$368.37 |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$423.41 | \$0.00 | \$423.41 | | \$368.37 |
| | | | | | Ē | BROKER COMM | ssion | TOTALS |
| | | | | | Broke | r Transactions | | \$368.37 |
| | | | | | Spli | t Transactions | | \$0.00 |
| | | | | | Overrid | e Transactions | | \$0.00 |
| | | | | | | Adjustments | | \$0.00 |
| | | | | | | Payroll Draw | | \$0.00 |
| | | | | | | Base Salary | | \$0.00 |

| Check Amount | \$368.37 |
|-----------------------|-------------|
| Year-to-date Gross | \$14,227.83 |
| Year-to-date Earnings | \$12,378.20 |

Prior Period Balance

FINRA Assessment

SIPC Assessment