

COMMISSION STATEMENT

June 15, 2018

KRISTINA HANLEY-D214

BROKER #: D214

BRANCH: DMN

, TX

COMMISSION STATEMENT for KRISTINA HANLEY-D214

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/31/2018 00082331326	ANDREW GLASSFORD, MF	SMALLCAP WORLD FUND-A	B	50.00	1.88	0.00	1.88	85.0	1.60
05/31/2018 00082331326	ANDREW GLASSFORD, MF	THE NEW ECONOMY FUND-A	B	100.00	3.75	0.00	3.75	85.0	3.19
* MUTUAL FUNDS SUBTOTAL *				\$150.00	\$5.63	\$0.00	\$5.63		\$4.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018 00068350786	ANDREW GLASSFORD, MFT	AMCAP FUND-A	B	0.00	8.25	0.00	8.25	85.0	7.01
06/01/2018 00068350786	ANDREW GLASSFORD, MFT	SMALLCAP WORLD FUND-A	B	0.00	9.20	0.00	9.20	85.0	7.82
06/01/2018 00068350786	ANDREW GLASSFORD, MFT	THE NEW ECONOMY FUND-A	B	0.00	10.02	0.00	10.02	85.0	8.52
06/01/2018 00082331326	ANDREW GLASSFORD, MFT	AMCAP FUND-A	B	0.00	6.56	0.00	6.56	85.0	5.58
06/01/2018 00082331326	ANDREW GLASSFORD, MFT	SMALLCAP WORLD FUND-A	B	0.00	4.46	0.00	4.46	85.0	3.79
06/01/2018 00082331326	ANDREW GLASSFORD, MFT	THE NEW ECONOMY FUND-A	B	0.00	11.95	0.00	11.95	85.0	10.16
06/01/2018 00086872811	CLARK, JANIS	MFT AMERICAN BALANCED FUND-A	B	0.00	43.59	0.00	43.59	85.0	37.05
06/01/2018 00087037960	DIAL, PEGGY	MFT AMERICAN BALANCED FUND-A	B	0.00	11.82	0.00	11.82	85.0	10.05
06/01/2018 00087037970	SHEARER, STEPHEN	MFT AMERICAN BALANCED FUND-A	B	0.00	4.39	0.00	4.39	85.0	3.73
06/01/2018 00087447226	DIAL, PEGGY	MFT AMERICAN BALANCED FUND-A	B	0.00	234.17	0.00	234.17	85.0	199.04
06/01/2018 00088642183	EASLEY, CATHERINE	MFT AMCAP FUND-A	B	0.00	87.53	0.00	87.53	85.0	74.40
06/01/2018 00088642183	EASLEY, CATHERINE	MFT AMERICAN BALANCED FUND-A	B	0.00	79.80	0.00	79.80	85.0	67.83
06/01/2018 00088642646	CATHERINE D	MFT AMCAP FUND-A	B	0.00	27.25	0.00	27.25	85.0	23.16
06/01/2018 00088642646	CATHERINE D	MFT AMERICAN BALANCED FUND-A	B	0.00	24.30	0.00	24.30	85.0	20.66
06/01/2018 00089233011	SCOTT A LENTS	MFT AMERICAN BALANCED FUND-A	B	0.00	4.82	0.00	4.82	85.0	4.10
06/01/2018 00089233011	SCOTT A LENTS	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.58	0.00	5.58	85.0	4.74
06/01/2018 04000594733	CLARK, JANIS	MFT AMERICAN BALANCED FUND-A	B	0.00	26.43	0.00	26.43	85.0	22.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$600.12	\$0.00	\$600.12		\$510.11
*** DIRECT TRANSACTIONS TOTAL ***				\$150.00	\$605.75	\$0.00	\$605.75		\$514.90

BROKER COMMISSION TOTALS

Broker Transactions	\$514.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$514.90
Year-to-date Commissions	\$1,037.76