



Concorde Investment Services, LLC
COMMISSION STATEMENT
 June 15, 2017

06/13/2017
 Page No. 1

KEITH LAYMAN
 4321 Flagstaff

BROKER #: L128
 BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/22/2017	DERBYSHIRE TRUST, KAREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.88	0.00	21.88	90.0	19.69
05/22/2017	DERBYSHIRE, JAMES	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.69	0.00	21.69	90.0	19.52
05/22/2017	HARMEYER, SHARYN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.71	0.00	25.71	90.0	23.14
05/22/2017	BYRUM, GERALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.00	0.00	1.00	90.0	0.90
05/22/2017	BUSH, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.77	0.00	0.77	90.0	0.69
05/22/2017	DIETSCH, RHONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.65	0.00	0.65	90.0	0.59
05/22/2017	PEPPLE, GLEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.32	0.00	0.32	90.0	0.29
05/22/2017	WILLING, LEONARD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.43	0.00	0.43	90.0	0.39
05/22/2017	MURPHY, SUSAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.53	0.00	0.53	90.0	0.48
05/22/2017	LOWDEN TRUST, KATHERINE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.45	0.00	0.45	90.0	0.41
05/22/2017	ZINN, JEANETTE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.77	0.00	0.77	90.0	0.69
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$74.20	\$0.00	\$74.20		\$66.79
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	WALLACE, BARBARA	AM FUNDS AMCAP A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	SAINSBURY, DALE	AM FUNDS AMCAP A	0.00	3.06	0.00	3.06	90.0	2.75
06/01/2017	CSUHTA, JULIE	AM FUNDS AMCAP A	0.00	5.92	0.00	5.92	90.0	5.33
06/01/2017	GREENWALT, ROBIN	AM FUNDS AMCAP A	0.00	2.16	0.00	2.16	90.0	1.94
06/01/2017	WIGGINS, CHRISTA	AM FUNDS AMCAP A	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	LOWDEN, KATHERINE	AM FUNDS AMCAP A	0.00	8.77	0.00	8.77	90.0	7.89
06/01/2017	SLATER, JUSTIN	AM FUNDS AMCAP F1	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	GOODMAN, LAUREN	AM FUNDS AMERICAN BALANCED 529A	0.00	10.59	0.00	10.59	90.0	9.53
06/01/2017	GOODMAN, LAUREN	AM FUNDS AMERICAN BALANCED 529A	0.00	8.65	0.00	8.65	90.0	7.79
06/01/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	0.00	6.96	0.00	6.96	90.0	6.26
05/23/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
05/25/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
05/25/2017	PELFREY, KRISTEN	AM FUNDS AMERICAN BALANCED A	1,925.00	72.19	0.00	72.19	90.0	64.97

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/25/2017	PELFREY, TODD	AM FUNDS AMERICAN BALANCED A	1,100.00	41.25	0.00	41.25	90.0	37.13
05/25/2017	BENEFICIARY, ELLIOTT	AM FUNDS AMERICAN BALANCED A	13,888.72	520.83	0.00	520.83	90.0	468.75
05/30/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
05/30/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	75.00	3.19	0.00	3.19	90.0	2.87
05/30/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
05/31/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	PIERCE, MARILYN	AM FUNDS AMERICAN BALANCED A	0.00	5.88	0.00	5.88	90.0	5.29
06/01/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	0.00	9.74	0.00	9.74	90.0	8.77
06/01/2017	SHANK, DEAN	AM FUNDS AMERICAN BALANCED A	0.00	1.28	0.00	1.28	90.0	1.15
06/01/2017	SHANK, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	BROCKMANO, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2017	MARTZ, PHILLIS	AM FUNDS AMERICAN BALANCED A	0.00	11.74	0.00	11.74	90.0	10.57
06/01/2017	WINDSOR, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2017	SEWARD, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	90.0	1.38
06/01/2017	HOFFMAN, JOANN	AM FUNDS AMERICAN BALANCED A	0.00	2.88	0.00	2.88	90.0	2.59
06/01/2017	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	2.82	0.00	2.82	90.0	2.54
06/01/2017	BAILEY, BRUCE	AM FUNDS AMERICAN BALANCED A	0.00	6.14	0.00	6.14	90.0	5.53
06/01/2017	BAILEY, LOIS	AM FUNDS AMERICAN BALANCED A	0.00	6.28	0.00	6.28	90.0	5.65
06/01/2017	PLATT, GINA	AM FUNDS AMERICAN BALANCED A	0.00	2.05	0.00	2.05	90.0	1.85
06/01/2017	MEIER, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2017	BURNS, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	2.22	0.00	2.22	90.0	2.00
06/01/2017	EAGLESON, MARTHA	AM FUNDS AMERICAN BALANCED A	0.00	3.97	0.00	3.97	90.0	3.57
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS AMERICAN BALANCED A	0.00	8.95	0.00	8.95	90.0	8.06
06/01/2017	MILLER, JULIE	AM FUNDS AMERICAN BALANCED A	0.00	8.15	0.00	8.15	90.0	7.34
06/01/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	0.00	16.76	0.00	16.76	90.0	15.08
06/01/2017	MURPHY, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	4.97	0.00	4.97	90.0	4.47
06/01/2017	EAGLESON, MARTHA	AM FUNDS AMERICAN BALANCED A	0.00	7.38	0.00	7.38	90.0	6.64
06/01/2017	SCHISLER, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	4.70	0.00	4.70	90.0	4.23
06/01/2017	ZINN, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	5.84	0.00	5.84	90.0	5.26
06/01/2017	ISLEY, CATHY	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	GALENTINE, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	0.15	0.00	0.15	90.0	0.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	KAGE, JENNIFER	AM FUNDS AMERICAN BALANCED A	0.00	10.82	0.00	10.82	90.0	9.74
06/01/2017	AMARO, SOPHIA	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	THOMAS, BRADFORD	AM FUNDS AMERICAN BALANCED A	0.00	6.95	0.00	6.95	90.0	6.26
06/01/2017	NICOLET, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	27.76	0.00	27.76	90.0	24.98
06/01/2017	MULDOON, MARGARET	AM FUNDS AMERICAN BALANCED A	0.00	1.41	0.00	1.41	90.0	1.27
06/01/2017	WILKIN, CYNTHIA	AM FUNDS AMERICAN BALANCED A	0.00	14.05	0.00	14.05	90.0	12.65
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS AMERICAN BALANCED A	0.00	3.55	0.00	3.55	90.0	3.20
06/01/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	9.34	0.00	9.34	90.0	8.41
06/01/2017	DAVIS, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	GREENWALT, ROBIN	AM FUNDS AMERICAN BALANCED A	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2017	GREENWALT, ROBIN	AM FUNDS AMERICAN BALANCED A	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2017	WILKIN, CYNTHIA	AM FUNDS AMERICAN BALANCED A	0.00	3.23	0.00	3.23	90.0	2.91
06/01/2017	MURPHY, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	1.28	0.00	1.28	90.0	1.15
06/01/2017	ALLAN, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2017	GOODMAN, STEPHANIE	AM FUNDS AMERICAN BALANCED A	0.00	2.98	0.00	2.98	90.0	2.68
06/01/2017	COCKROFT, EDYTHE	AM FUNDS AMERICAN BALANCED A	0.00	11.44	0.00	11.44	90.0	10.30
06/01/2017	SIEBER, JANE	AM FUNDS AMERICAN BALANCED A	0.00	5.24	0.00	5.24	90.0	4.72
06/01/2017	SIEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	5.99	0.00	5.99	90.0	5.39
06/01/2017	BUSH, SANDRA	AM FUNDS AMERICAN BALANCED A	0.00	0.88	0.00	0.88	90.0	0.79
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	0.00	10.80	0.00	10.80	90.0	9.72
06/01/2017	MARCH, MELODY	AM FUNDS AMERICAN BALANCED A	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2017	FLEEGER, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2017	FLEEGER, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	CASEY, GISELA	AM FUNDS AMERICAN BALANCED A	0.00	11.67	0.00	11.67	90.0	10.50
06/01/2017	MCARDLE, DANIEL	AM FUNDS AMERICAN BALANCED A	0.00	54.31	0.00	54.31	90.0	48.88
06/01/2017	ALLAN, BETTY	AM FUNDS AMERICAN BALANCED A	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2017	WHEELER, MARY	AM FUNDS AMERICAN BALANCED A	0.00	2.65	0.00	2.65	90.0	2.39
06/01/2017	GALENTINE, ASHLEY	AM FUNDS AMERICAN BALANCED A	0.00	3.65	0.00	3.65	90.0	3.29
06/01/2017	COCKROFT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	2.23	0.00	2.23	90.0	2.01
06/01/2017	AMARO, ETHAN	AM FUNDS AMERICAN BALANCED A	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	MIZNER, FREDRIC	AM FUNDS AMERICAN BALANCED A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	BUSH, RANDALL	AM FUNDS AMERICAN BALANCED A	0.00	5.27	0.00	5.27	90.0	4.74
06/01/2017	NICOLET, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	14.86	0.00	14.86	90.0	13.37

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	SIMPSON, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	KUMFER, JOSEPH	AM FUNDS AMERICAN BALANCED A	0.00	13.54	0.00	13.54	90.0	12.19
06/01/2017	HELLER, ADAM	AM FUNDS AMERICAN BALANCED A	0.00	6.45	0.00	6.45	90.0	5.81
06/01/2017	MEIER, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	EAGLESON, ROSS	AM FUNDS AMERICAN BALANCED A	0.00	7.93	0.00	7.93	90.0	7.14
06/01/2017	SHANK, CAROLE	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	BLAIR, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	6.45	0.00	6.45	90.0	5.81
06/01/2017	EAGLESON, ROSS	AM FUNDS AMERICAN BALANCED A	0.00	7.60	0.00	7.60	90.0	6.84
06/01/2017	LAYMAN, VICKI	AM FUNDS AMERICAN BALANCED A	0.00	9.74	0.00	9.74	90.0	8.77
06/01/2017	CACCAMO, AMY	AM FUNDS AMERICAN BALANCED A	0.00	2.40	0.00	2.40	90.0	2.16
06/01/2017	CACCAMO TRUST	AM FUNDS AMERICAN BALANCED A	0.00	13.41	0.00	13.41	90.0	12.07
06/01/2017	SIEGER, RODNEY	AM FUNDS AMERICAN BALANCED A	0.00	5.24	0.00	5.24	90.0	4.72
06/01/2017	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	9.35	0.00	9.35	90.0	8.42
06/01/2017	KEMERLY, JASON	AM FUNDS AMERICAN BALANCED A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	DURKES, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	KILLION, NICHOLAS	AM FUNDS AMERICAN BALANCED A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	0.00	6.28	0.00	6.28	90.0	5.65
06/01/2017	THURSTON, TIMOTHY	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	FREEZE, MONTE	AM FUNDS AMERICAN BALANCED A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	WILBANKS, GREGORY	AM FUNDS AMERICAN BALANCED A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	BYRUM JR, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	2.26	0.00	2.26	90.0	2.03
06/01/2017	FEIPEL, EDWARD	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	SIEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	0.00	4.11	0.00	4.11	90.0	3.70
06/01/2017	MCGUIRE, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	4.14	0.00	4.14	90.0	3.73
06/01/2017	VLOT, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	5.56	0.00	5.56	90.0	5.00
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	4.87	0.00	4.87	90.0	4.38
06/01/2017	SIEBER, AARON	AM FUNDS AMERICAN BALANCED A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	2.73	0.00	2.73	90.0	2.46
06/01/2017	LOWDEN, KATHERINE	AM FUNDS AMERICAN BALANCED A	0.00	35.95	0.00	35.95	90.0	32.36
06/01/2017	RICKER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	3.18	0.00	3.18	90.0	2.86
06/01/2017	RICKER, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	2.17	0.00	2.17	90.0	1.95
06/01/2017	COCKROFT, EDYA	AM FUNDS AMERICAN BALANCED A	0.00	2.57	0.00	2.57	90.0	2.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RATTY, MINDY	AM FUNDS AMERICAN BALANCED A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED A	0.00	2.23	0.00	2.23	90.0	2.01
06/01/2017	KAUFFMAN, KATIE	AM FUNDS AMERICAN BALANCED A	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2017	GALENTINE, STEPHANIE	AM FUNDS AMERICAN BALANCED A	0.00	4.85	0.00	4.85	90.0	4.37
06/01/2017	CAPPLEMAN, WADE	AM FUNDS AMERICAN BALANCED A	0.00	1.52	0.00	1.52	90.0	1.37
06/01/2017	RATTY, SKYLER	AM FUNDS AMERICAN BALANCED A	0.00	0.92	0.00	0.92	90.0	0.83
06/01/2017	RATTY, MARY	AM FUNDS AMERICAN BALANCED A	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2017	RATTY, AUTUM	AM FUNDS AMERICAN BALANCED A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	SHERBURNE, STEVEN	AM FUNDS AMERICAN BALANCED A	0.00	8.07	0.00	8.07	90.0	7.26
06/01/2017	PEPPLE, EVELYN	AM FUNDS AMERICAN BALANCED A	0.00	2.49	0.00	2.49	90.0	2.24
06/01/2017	PEPPLE, GRADEN	AM FUNDS AMERICAN BALANCED A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	MCFEETERS, VALERIE	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	LINSKY, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	9.33	0.00	9.33	90.0	8.40
06/01/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2017	MATTHEWS, GAIL	AM FUNDS AMERICAN BALANCED A	0.00	2.23	0.00	2.23	90.0	2.01
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	23.06	0.00	23.06	90.0	20.75
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	12.88	0.00	12.88	90.0	11.59
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	6.92	0.00	6.92	90.0	6.23
06/01/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	0.00	3.15	0.00	3.15	90.0	2.84
06/01/2017	MCFEETERS, VALERIE	AM FUNDS AMERICAN BALANCED A	0.00	4.17	0.00	4.17	90.0	3.75
06/01/2017	LALLO, GARY	AM FUNDS AMERICAN BALANCED A	0.00	8.28	0.00	8.28	90.0	7.45
06/01/2017	LALLO, CHRISTINA	AM FUNDS AMERICAN BALANCED A	0.00	9.99	0.00	9.99	90.0	8.99
06/01/2017	MAIERS, GREGORY	AM FUNDS AMERICAN BALANCED A	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN BALANCED A	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN BALANCED A	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	LUDWIG, KENDRA	AM FUNDS AMERICAN BALANCED A	0.00	29.42	0.00	29.42	90.0	26.48
06/01/2017	PEARSON, JOAN	AM FUNDS AMERICAN BALANCED A	0.00	8.19	0.00	8.19	90.0	7.37
06/01/2017	PEARSON, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	14.97	0.00	14.97	90.0	13.47
06/01/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2017	WINANS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	COCKROFT, MARK	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	CZARNECKI, DENNIS	AM FUNDS AMERICAN BALANCED A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	1.31	0.00	1.31	90.0	1.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BUSH, SANDRA	AM FUNDS AMERICAN BALANCED A	0.00	2.60	0.00	2.60	90.0	2.34
06/01/2017	PARROT, JUDITH	AM FUNDS AMERICAN BALANCED A	0.00	3.97	0.00	3.97	90.0	3.57
06/01/2017	STEBING, ADDISON	AM FUNDS AMERICAN BALANCED A	0.00	0.41	0.00	0.41	90.0	0.37
06/01/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	7.91	0.00	7.91	90.0	7.12
06/01/2017	RHEN, JOLYN	AM FUNDS AMERICAN BALANCED A	0.00	0.41	0.00	0.41	90.0	0.37
06/01/2017	GREEN, KYLE	AM FUNDS AMERICAN BALANCED A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	HINTON, EMILY	AM FUNDS AMERICAN BALANCED A	0.00	4.02	0.00	4.02	90.0	3.62
06/01/2017	JINES, MARCIA	AM FUNDS AMERICAN BALANCED A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	DURKES, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	6.46	0.00	6.46	90.0	5.81
06/01/2017	WHITE, TAYLOR	AM FUNDS AMERICAN BALANCED A	0.00	1.09	0.00	1.09	90.0	0.98
06/01/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	0.00	2.26	0.00	2.26	90.0	2.03
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS AMERICAN BALANCED A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2017	RATLIFF, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	0.00	6.16	0.00	6.16	90.0	5.54
06/01/2017	HOSKINS, AUSTIN	AM FUNDS AMERICAN BALANCED A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	ABERCROMBIE, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	LOWDEN, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	2.99	0.00	2.99	90.0	2.69
06/01/2017	HERBER, MARK	AM FUNDS AMERICAN BALANCED A	0.00	11.55	0.00	11.55	90.0	10.40
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS AMERICAN BALANCED A	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	O'CONNELL, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	3.58	0.00	3.58	90.0	3.22
06/01/2017	DRUHOT, LESLIE	AM FUNDS AMERICAN BALANCED A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	WILSON, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	1.65	0.00	1.65	90.0	1.49
06/01/2017	HENRY, JEANNE	AM FUNDS AMERICAN BALANCED A	0.00	4.44	0.00	4.44	90.0	4.00
06/01/2017	MARTIN, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	5.38	0.00	5.38	90.0	4.84
06/01/2017	LYTLE, KEAN	AM FUNDS AMERICAN BALANCED A	0.00	2.37	0.00	2.37	90.0	2.13
06/01/2017	KAUFFMAN, ALLISON	AM FUNDS AMERICAN BALANCED A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	CAPPLEMAN, WADE	AM FUNDS AMERICAN BALANCED A	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	HERBER, MARK	AM FUNDS AMERICAN BALANCED A	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2017	MURPHY, SHARI	AM FUNDS AMERICAN BALANCED A	0.00	62.90	0.00	62.90	90.0	56.61
06/01/2017	MURPHY, SHARI	AM FUNDS AMERICAN BALANCED A	0.00	3.76	0.00	3.76	90.0	3.38
06/01/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2017	MURPHY, LOU	AM FUNDS AMERICAN BALANCED A	0.00	8.57	0.00	8.57	90.0	7.71

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MURPHY, WILLIAM	AM FUNDS AMERICAN BALANCED A	0.00	13.82	0.00	13.82	90.0	12.44
06/01/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	RAMEY, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	RAMEY, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	ZEEDYK, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	BURNS, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	IN/TOD	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	BURNS-PAITAN, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	BURNS, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	SIMPSON, DAYNA	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	MESEKE, GILBERT	AM FUNDS AMERICAN BALANCED C	0.00	11.33	0.00	11.33	90.0	10.20
06/01/2017	ATKINSON, KATHRYN	AM FUNDS AMERICAN BALANCED C	0.00	8.62	0.00	8.62	90.0	7.76
06/01/2017	FEIPEL, ESTHER	AM FUNDS AMERICAN BALANCED C	0.00	2.81	0.00	2.81	90.0	2.53
05/25/2017	PELFREY, KRISTEN	AM FUNDS AMERICAN HIGH-INCOME TRUST	550.00	16.50	0.00	16.50	90.0	14.85
05/25/2017	PELFREY, TODD	AM FUNDS AMERICAN HIGH-INCOME TRUST	1,100.00	33.00	0.00	33.00	90.0	29.70
06/01/2017	PATTERSON, JANITA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.08	0.00	5.08	90.0	4.57
06/01/2017	SHANK, DEAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	SHANK, CAROLE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	BROCKMANO, EDWARD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.80	0.00	0.80	90.0	0.72
06/01/2017	HOFFMAN, JOANN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.31	0.00	2.31	90.0	2.08
06/01/2017	HOFFMAN, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	EAGLESON, MARTHA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.71	0.00	2.71	90.0	2.44
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.28	0.00	1.28	90.0	1.15
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.93	0.00	6.93	90.0	6.24
06/01/2017	PATTERSON, JANITA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	8.77	0.00	8.77	90.0	7.89
06/01/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	EAGLESON, MARTHA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.79	0.00	3.79	90.0	3.41
06/01/2017	WILKIN, CYNTHIA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.02	0.00	7.02	90.0	6.32
06/01/2017	COCKROFT, EDYTHE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.22	0.00	3.22	90.0	2.90
06/01/2017	SIEBER, JANE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.36	0.00	6.36	90.0	5.72
06/01/2017	SIEBER, PAUL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	7.26	0.00	7.26	90.0	6.53
06/01/2017	BUSH, SANDRA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.61	0.00	4.61	90.0	4.15

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	5.65	0.00	5.65	90.0	5.09
06/01/2017	RATTY, MICHAEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2017	COCKROFT, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.71	0.00	3.71	90.0	3.34
06/01/2017	SIMPSON, RONALD	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	KUMFER, JOSEPH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	9.31	0.00	9.31	90.0	8.38
06/01/2017	HELLER, ADAM	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.02	0.00	4.02	90.0	3.62
06/01/2017	EAGLESON, ROSS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.01	0.00	4.01	90.0	3.61
06/01/2017	SHANK, CAROLE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	BLAIR, BRIAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.35	0.00	3.35	90.0	3.02
06/01/2017	EAGLESON, ROSS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.84	0.00	3.84	90.0	3.46
06/01/2017	SIEBER, AARON	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	LOWDEN, KATHERINE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	25.77	0.00	25.77	90.0	23.19
06/01/2017	COCKROFT, EDYA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	RATTY, MARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2017	LALLO, GARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	24.14	0.00	24.14	90.0	21.73
06/01/2017	LALLO, CHRISTINA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	28.71	0.00	28.71	90.0	25.84
06/01/2017	SHADY, SCOT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	WINANS, BEVERLY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	COCKROFT, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	PARROT, JUDITH	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2017	DURKES, DEBRA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.97	0.00	2.97	90.0	2.67
06/01/2017	COGDELL, CARY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	4.89	0.00	4.89	90.0	4.40
06/01/2017	LOWDEN, JAMES	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	3.79	0.00	3.79	90.0	3.41
06/01/2017	HERBER, MARK	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	6.58	0.00	6.58	90.0	5.92
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2017	LYTLE, KEAN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	SIMPSON, DAYNA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	14.12	0.00	14.12	90.0	12.71
06/01/2017	KAUFFMAN, KATIE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	8.37	0.00	8.37	90.0	7.53
06/01/2017	GREENWALT, ROBIN	AM FUNDS AMERICAN MUTUAL A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2017	LINSKY, MARCIA	AM FUNDS AMERICAN MUTUAL A	0.00	9.53	0.00	9.53	90.0	8.58
06/01/2017	SHADY, SCOT	AM FUNDS AMERICAN MUTUAL A	0.00	1.70	0.00	1.70	90.0	1.53

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BAILEY, RICHARD	AM FUNDS BALANCED PORT A	0.00	158.47	0.00	158.47	90.0	142.62
05/25/2017	PELFREY, KRISTEN	AM FUNDS BOND FUND OF AMERICA A	550.00	16.50	0.00	16.50	90.0	14.85
06/01/2017	SHANK, DEAN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2017	SHANK, CAROLE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	HOFFMAN, JOANN	AM FUNDS BOND FUND OF AMERICA A	0.00	2.86	0.00	2.86	90.0	2.57
06/01/2017	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2017	SIEBER, JANE	AM FUNDS BOND FUND OF AMERICA A	0.00	4.64	0.00	4.64	90.0	4.18
06/01/2017	SIEBER, PAUL	AM FUNDS BOND FUND OF AMERICA A	0.00	5.30	0.00	5.30	90.0	4.77
06/01/2017	NEACE, VICTORIA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	RATTY, MICHAEL	AM FUNDS BOND FUND OF AMERICA A	0.00	1.27	0.00	1.27	90.0	1.14
06/01/2017	SIMPSON, RONALD	AM FUNDS BOND FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	SHANK, CAROLE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	KEMERLY, JASON	AM FUNDS BOND FUND OF AMERICA A	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2017	COCKROFT, EDYA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	RATTY, MARY	AM FUNDS BOND FUND OF AMERICA A	0.00	2.10	0.00	2.10	90.0	1.89
06/01/2017	LALLO, GARY	AM FUNDS BOND FUND OF AMERICA A	0.00	22.14	0.00	22.14	90.0	19.93
06/01/2017	LALLO, CHRISTINA	AM FUNDS BOND FUND OF AMERICA A	0.00	26.50	0.00	26.50	90.0	23.85
06/01/2017	PEARSON, JOAN	AM FUNDS BOND FUND OF AMERICA A	0.00	5.98	0.00	5.98	90.0	5.38
06/01/2017	PEARSON, EDWIN	AM FUNDS BOND FUND OF AMERICA A	0.00	10.90	0.00	10.90	90.0	9.81
06/01/2017	CZARNECKI, DENNIS	AM FUNDS BOND FUND OF AMERICA A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	BUSH, SANDRA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	PARROT, JUDITH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	COGDELL, CARY	AM FUNDS BOND FUND OF AMERICA A	0.00	3.59	0.00	3.59	90.0	3.23
06/01/2017	LOWDEN, JAMES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.66	0.00	2.66	90.0	2.39
06/01/2017	O'CONNELL, KATHLEEN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	HELLER, ADAM	AM FUNDS BOND FUND OF AMERICA A	0.00	2.05	0.00	2.05	90.0	1.85
06/01/2017	WEIDES, LISA	AM FUNDS BOND FUND OF AMERICA A	0.00	1.70	0.00	1.70	90.0	1.53
06/01/2017	SULLIVAN, DIAN	AM FUNDS BOND FUND OF AMERICA A	0.00	1.55	0.00	1.55	90.0	1.40
06/01/2017	RAMEY, MELISSA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	SIMPSON, DAYNA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS BOND FUND OF AMERICA C	0.00	16.22	0.00	16.22	90.0	14.60
06/01/2017	KAUFFMAN, KATIE	AM FUNDS BOND FUND OF AMERICA C	0.00	6.70	0.00	6.70	90.0	6.03
06/01/2017	GOODMAN, LAUREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.99	0.00	1.99	90.0	1.79

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	GOODMAN, LAUREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.66	0.00	1.66	90.0	1.49
06/01/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	6.76	0.00	6.76	90.0	6.08
05/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
05/25/2017	PELFREY, TODD	AM FUNDS CAPITAL INCOME BUILDER A	275.00	10.31	0.00	10.31	90.0	9.28
05/25/2017	BENEFICIARY, ELLIOTT	AM FUNDS CAPITAL INCOME BUILDER A	2,777.74	104.17	0.00	104.17	90.0	93.75
05/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
06/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	POORMAN, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	PIERCE, MARILYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.52	0.00	4.52	90.0	4.07
06/01/2017	TIMMS, JOAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.33	0.00	21.33	90.0	19.20
06/01/2017	PINNELL, J MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	ROBERTS, LORETTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.13	0.00	4.13	90.0	3.72
06/01/2017	BYRUM JR, GERALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	90.0	0.79
06/01/2017	SIMPSON, LYLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.72	0.00	4.72	90.0	4.25
06/01/2017	SIMPSON, KATHRYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.12	0.00	6.12	90.0	5.51
06/01/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.62	0.00	3.62	90.0	3.26
06/01/2017	BROCKMANO, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	BAILEY, TERRY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.89	0.00	4.89	90.0	4.40
06/01/2017	MARTZ, PHILLIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.19	0.00	11.19	90.0	10.07
06/01/2017	WINDSOR, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.90	0.00	1.90	90.0	1.71
06/01/2017	KLITZMAN, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	BAILEY, BRUCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.46	0.00	4.46	90.0	4.01
06/01/2017	MURPHY, DANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	BAILEY, LOIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.88	0.00	12.88	90.0	11.59
06/01/2017	BAILEY, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.09	0.00	2.09	90.0	1.88
06/01/2017	PLATT, GINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2017	MEIER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.95	0.00	1.95	90.0	1.76
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.37	0.00	3.37	90.0	3.03
06/01/2017	BURNS, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.03	0.00	3.03	90.0	2.73
06/01/2017	EAGLESON, MARTHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	BURNS, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	90.0	0.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	DERBYSHIRE, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	MILLER, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.32	0.00	6.32	90.0	5.69
06/01/2017	BOKHART, GORDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.24	0.00	6.24	90.0	5.62
06/01/2017	JORDAN, PAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	WARSTLER, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.23	0.00	7.23	90.0	6.51
06/01/2017	MARCH, MELODY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.31	0.00	1.31	90.0	1.18
06/01/2017	OAKES, ERIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	AMBRIOLE, JANICE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	MUSSEY, LORI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2017	MURPHY, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2017	EAGLESON, MARTHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.80	0.00	1.80	90.0	1.62
06/01/2017	BAILEY, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	MILLER, DANIEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.75	0.00	1.75	90.0	1.58
06/01/2017	MOSURE, MONICA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.33	0.00	7.33	90.0	6.60
06/01/2017	PATEL, GITA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.03	0.00	8.03	90.0	7.23
06/01/2017	CLARK, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2017	RAMUS, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	44.86	0.00	44.86	90.0	40.37
06/01/2017	WEIDES, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.32	0.00	4.32	90.0	3.89
06/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.65	0.00	5.65	90.0	5.09
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2017	CSUHTA, JULIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.01	0.00	2.01	90.0	1.81
06/01/2017	BENSON, KARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	NICOLET, CHERYL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.67	0.00	27.67	90.0	24.90
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2017	SAINSBURY, DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2017	WILKIN, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.09	0.00	7.09	90.0	6.38
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.49	0.00	3.49	90.0	3.14
06/01/2017	GREENWALT, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.88	0.00	1.88	90.0	1.69

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MCARDLE, SHAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	68.51	0.00	68.51	90.0	61.66
06/01/2017	MCARDLE, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.71	0.00	6.71	90.0	6.04
06/01/2017	HARKELROAD, JOY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.40	0.00	7.40	90.0	6.66
06/01/2017	GOODMAN, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	SIEBER, AARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.17	0.00	6.17	90.0	5.55
06/01/2017	URICK, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.15	0.00	3.15	90.0	2.84
06/01/2017	BUSH, SANDRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.01	0.00	5.01	90.0	4.51
06/01/2017	O'LAUGHLIN, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.74	0.00	4.74	90.0	4.27
06/01/2017	ALLAN, BETTY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.32	0.00	2.32	90.0	2.09
06/01/2017	LAWSON TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.71	0.00	19.71	90.0	17.74
06/01/2017	KUNKEL, AMELIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.09	0.00	2.09	90.0	1.88
06/01/2017	SEAY, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	DEATRICK, AMANDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	12.89	0.00	12.89	90.0	11.60
06/01/2017	MIZNER, FREDRIC	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	MUSKIN, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.36	0.00	3.36	90.0	3.02
06/01/2017	OAKES, ERIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	BUSH, RANDALL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	MULDOON, MARGARET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2017	COGDELL, CARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.01	0.00	2.01	90.0	1.81
06/01/2017	COGDELL, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	SEAY, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2017	NICOLET, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.11	0.00	20.11	90.0	18.10
06/01/2017	DELAGARZA, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	KUMFER, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.87	0.00	4.87	90.0	4.38
06/01/2017	HERBER, TRINA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	OTIS, JASON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	SAMELS, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	LINSKY, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.72	0.00	28.72	90.0	25.85
06/01/2017	STANSBERRY, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	MEIER, KIMBERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.51	0.00	0.51	90.0	0.46

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GEVERINK, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	GEVERINK, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2017	NEWBAUER, COLSTON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	EAGLESON, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.86	0.00	2.86	90.0	2.57
06/01/2017	OAKES, ANDREW	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	BLAIR, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.38	0.00	2.38	90.0	2.14
06/01/2017	ZINN, JEANNETTE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.31	0.00	7.31	90.0	6.58
06/01/2017	EAGLESON, ROSS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.74	0.00	2.74	90.0	2.47
06/01/2017	HEES, TAMERA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.97	0.00	2.97	90.0	2.67
06/01/2017	LAYMAN, VICKI	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2017	HOUSER, TRACY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.46	0.00	3.46	90.0	3.11
06/01/2017	SIEGER, RODNEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.36	0.00	9.36	90.0	8.42
06/01/2017	SORG, BECKY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	SENGER, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	HERRIMAN, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2017	KEMERLY, JASON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	DURKES, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.88	0.00	0.88	90.0	0.79
06/01/2017	PATEL, JASWANT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.21	0.00	4.21	90.0	3.79
06/01/2017	JENTGEN, STEPHANIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	WILBANKS, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	BYRUM JR, GERALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	DIETSCH, DANIEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2017	DIETSCH, RHONDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	BYRUM JR, GERALD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.39	0.00	1.39	90.0	1.25
06/01/2017	FEIPEL, EDWARD	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	EAGLESON, AMBER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.50	0.00	3.50	90.0	3.15
06/01/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.86	0.00	2.86	90.0	2.57
06/01/2017	MCGUIRE, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2017	VLOT, KATHRYN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.62	0.00	4.62	90.0	4.16
06/01/2017	SIEBER, AARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	RICKER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.74	0.00	0.74	90.0	0.67

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RICKER, REBECCA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.87	0.00	1.87	90.0	1.68
06/01/2017	COCKROFT, EDYA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2017	EAGLESON, MYSTEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	JONES, TIM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.35	0.00	1.35	90.0	1.22
06/01/2017	WARSTLER, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	LINSKY, MARCIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.04	0.00	9.04	90.0	8.14
06/01/2017	HOEFFEL, GREGGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.95	0.00	5.95	90.0	5.36
06/01/2017	MCFEETERS, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2017	MAIERS, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	COCKROFT, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	MCFEETERS, RYAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	BUSH, SANDRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	CACCAMO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	HINTON, EMILY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.61	0.00	6.61	90.0	5.95
06/01/2017	HERBER, MARK	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.37	0.00	4.37	90.0	3.93
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	HELLER, ADAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.86	0.00	1.86	90.0	1.67
06/01/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	RAMEY, MELISSA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	DRUHOT, SCOTT	AM FUNDS CAPITAL INCOME BUILDER C	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	FEIPEL, ESTHER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	LAYMAN, VICKI	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.08	0.00	2.08	90.0	1.87
05/25/2017	PELFREY, KRISTEN	AM FUNDS CAPITAL WORLD BOND A	550.00	16.50	0.00	16.50	90.0	14.85
06/01/2017	SHANK, DEAN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	SHANK, CAROLE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	90.0	0.37
06/01/2017	HOFFMAN, JOANN	AM FUNDS CAPITAL WORLD BOND A	0.00	2.03	0.00	2.03	90.0	1.83
06/01/2017	HOFFMAN, MARK	AM FUNDS CAPITAL WORLD BOND A	0.00	1.48	0.00	1.48	90.0	1.33
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS CAPITAL WORLD BOND A	0.00	2.09	0.00	2.09	90.0	1.88

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	COCKROFT, EDYTHE	AM FUNDS CAPITAL WORLD BOND A	0.00	4.44	0.00	4.44	90.0	4.00
06/01/2017	SIEBER, JANE	AM FUNDS CAPITAL WORLD BOND A	0.00	2.90	0.00	2.90	90.0	2.61
06/01/2017	SIEBER, PAUL	AM FUNDS CAPITAL WORLD BOND A	0.00	3.31	0.00	3.31	90.0	2.98
06/01/2017	RATTY, MICHAEL	AM FUNDS CAPITAL WORLD BOND A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	COCKROFT, MARK	AM FUNDS CAPITAL WORLD BOND A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	BUSH, RANDALL	AM FUNDS CAPITAL WORLD BOND A	0.00	1.48	0.00	1.48	90.0	1.33
06/01/2017	SIMPSON, RONALD	AM FUNDS CAPITAL WORLD BOND A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	SHANK, CAROLE	AM FUNDS CAPITAL WORLD BOND A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2017	REMENTSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	COCKROFT, EDYA	AM FUNDS CAPITAL WORLD BOND A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	RATTY, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2017	WINANS, BEVERLY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	CZARNECKI, DENNIS	AM FUNDS CAPITAL WORLD BOND A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2017	PARROT, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2017	COGDELL, CARY	AM FUNDS CAPITAL WORLD BOND A	0.00	2.64	0.00	2.64	90.0	2.38
06/01/2017	LOWDEN, JAMES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2017	SIMPSON, DAYNA	AM FUNDS CAPITAL WORLD BOND A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD BOND C	0.00	11.28	0.00	11.28	90.0	10.15
06/01/2017	KAUFFMAN, KATIE	AM FUNDS CAPITAL WORLD BOND C	0.00	4.82	0.00	4.82	90.0	4.34
06/01/2017	GOODMAN, LAUREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	GOODMAN, LAUREN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.66	0.00	1.66	90.0	1.49
06/01/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.93	0.00	2.93	90.0	2.64
05/22/2017	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
05/23/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
05/25/2017	PELFREY, KRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	275.00	10.31	0.00	10.31	90.0	9.28
05/25/2017	PELFREY, TODD	AM FUNDS CAPITAL WORLD GRTH & INC A	275.00	10.31	0.00	10.31	90.0	9.28
05/25/2017	BENEFICIARY, ELLIOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	2,777.74	104.17	0.00	104.17	90.0	93.75
05/30/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
05/31/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	POORMAN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.51	0.00	2.51	90.0	2.26
06/01/2017	PIERCE, MARILYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.77	0.00	2.77	90.0	2.49

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	JORDAN, NEAL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.61	0.00	8.61	90.0	7.75
06/01/2017	TIMMS, JOAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	21.19	0.00	21.19	90.0	19.07
06/01/2017	PINNELL, J MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2017	ROBERTS, LORETTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.26	0.00	4.26	90.0	3.83
06/01/2017	BYRUM JR, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2017	SIMPSON, LYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.19	0.00	7.19	90.0	6.47
06/01/2017	SIMPSON, KATHRYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.19	0.00	7.19	90.0	6.47
06/01/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2017	SHANK, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	SHANK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	BROCKMANO, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	BAILEY, TERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.15	0.00	7.15	90.0	6.44
06/01/2017	WINDSOR, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2017	KLITZMAN, RANDALL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	BAILEY, BRUCE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.17	0.00	16.17	90.0	14.55
06/01/2017	AMBRIOLE, WESLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	MURPHY, DANA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	BAILEY, KEVIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.61	0.00	2.61	90.0	2.35
06/01/2017	PLATT, GINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	MEIER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.80	0.00	0.80	90.0	0.72
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.53	0.00	3.53	90.0	3.18
06/01/2017	BURNS, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2017	EAGLESON, MARTHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	FRANKS, PATRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.76	0.00	2.76	90.0	2.48
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	DERBYSHIRE, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	MILLER, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.20	0.00	3.20	90.0	2.88
06/01/2017	BOKHART, GORDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.76	0.00	6.76	90.0	6.08
06/01/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.17	0.00	7.17	90.0	6.45
06/01/2017	TULLOCH, CAROLYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	OAKES, ERIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	AMBRIOLE, JANICE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.45	0.00	1.45	90.0	1.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MUSSEY, LORI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	MURPHY, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	EAGLESON, MARTHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.24	0.00	8.24	90.0	7.42
06/01/2017	PATEL, GITA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.78	0.00	14.78	90.0	13.30
06/01/2017	CLARK, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	RAMUS, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	45.04	0.00	45.04	90.0	40.54
06/01/2017	WEIDES, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.59	0.00	4.59	90.0	4.13
06/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.34	0.00	4.34	90.0	3.91
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.25	0.00	1.25	90.0	1.13
06/01/2017	CSUHTA, JULIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.05	0.00	2.05	90.0	1.85
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.25	0.00	4.25	90.0	3.83
06/01/2017	BENSON, KARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	THOMAS, BRADFORD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.21	0.00	6.21	90.0	5.59
06/01/2017	NICOLET, CHERYL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.06	0.00	30.06	90.0	27.05
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.42	0.00	3.42	90.0	3.08
06/01/2017	SAINSBURY, DALE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.77	0.00	1.77	90.0	1.59
06/01/2017	WILKIN, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2017	WILKIN, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2017	MURPHY, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	MCARDLE, SHAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	32.15	0.00	32.15	90.0	28.94
06/01/2017	MCARDLE, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.93	0.00	4.93	90.0	4.44
06/01/2017	HARKELROAD, JOY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.48	0.00	3.48	90.0	3.13
06/01/2017	GOODMAN, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2017	SIEBER, AARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.38	0.00	9.38	90.0	8.44
06/01/2017	URICK, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	SIEBER, JANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.65	0.00	1.65	90.0	1.49
06/01/2017	SIEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	WIGGINS, CHRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	BIDDLE, DENESE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.09	0.00	5.09	90.0	4.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ASCHLIMAN, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.23	0.00	2.23	90.0	2.01
06/01/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.65	0.00	5.65	90.0	5.09
06/01/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.62	0.00	2.62	90.0	2.36
06/01/2017	RATTY, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	TRESSLER, KENT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.15	0.00	9.15	90.0	8.24
06/01/2017	CASEY, GISELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.38	0.00	9.38	90.0	8.44
06/01/2017	O'LAUGHLIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.78	0.00	10.78	90.0	9.70
06/01/2017	SORG, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2017	SORG, BECKY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2017	LAWSON TRUST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.89	0.00	20.89	90.0	18.80
06/01/2017	ROEMER, SHERRI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	ZINN, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.22	0.00	2.22	90.0	2.00
06/01/2017	KUNKEL, AMELIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.21	0.00	2.21	90.0	1.99
06/01/2017	COCKROFT, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	SEAY, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.30	0.00	1.30	90.0	1.17
06/01/2017	DEATRICK, AMANDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.03	0.00	14.03	90.0	12.63
06/01/2017	MIZNER, FREDRIC	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.36	0.00	5.36	90.0	4.82
06/01/2017	OAKES, ERIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	BUSH, RANDALL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	MULDOON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.42	0.00	1.42	90.0	1.28
06/01/2017	COGDELL, CARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.92	0.00	5.92	90.0	5.33
06/01/2017	COGDELL, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.32	0.00	2.32	90.0	2.09
06/01/2017	SEAY, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.06	0.00	2.06	90.0	1.85
06/01/2017	NICOLET, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.46	0.00	7.46	90.0	6.71
06/01/2017	DELAGARZA, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	SIMPSON, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	BROOKS, SHARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	KUMFER, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.06	0.00	5.06	90.0	4.55
06/01/2017	HERBER, TRINA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.81	0.00	4.81	90.0	4.33
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	OTIS, JASON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	SAMELS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	90.0	0.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	LINSKY, MARCIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	56.90	0.00	56.90	90.0	51.21
06/01/2017	STANSBERRY, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2017	MEIER, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	GEVERINK, RAYMOND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	GEVERINK, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.74	0.00	3.74	90.0	3.37
06/01/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2017	OAKES, ANDREW	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	SHANK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	BLAIR, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.38	0.00	2.38	90.0	2.14
06/01/2017	ZINN, JEANNETTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.44	0.00	7.44	90.0	6.70
06/01/2017	BLAIR, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2017	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.83	0.00	2.83	90.0	2.55
06/01/2017	HEES, TAMERA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2017	RHEN, DRAKE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2017	HOUSER, TRACY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.52	0.00	3.52	90.0	3.17
06/01/2017	DAVID, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	LEGAULT, RACHEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.65	0.00	4.65	90.0	4.19
06/01/2017	CACCAMO TRUST	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2017	SIEGER, RODNEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	SORG, BECKY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.32	0.00	1.32	90.0	1.19
06/01/2017	SENGER, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.27	0.00	2.27	90.0	2.04
06/01/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	PATEL, JASWANT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.80	0.00	5.80	90.0	5.22
06/01/2017	JENTGEN, STEPHANIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2017	RAABE, MARIANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	NEWBAUER, COLSTON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	90.0	0.55
06/01/2017	KILLION, NICHOLAS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.32	0.00	5.32	90.0	4.79
06/01/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.03	0.00	4.03	90.0	3.63
06/01/2017	THURSTON, TIMOTHY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.19	0.00	0.19	90.0	0.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	JACKSON, AIMEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.68	0.00	2.68	90.0	2.41
06/01/2017	FREEZE, MONTE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.16	0.00	2.16	90.0	1.94
06/01/2017	WILBANKS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2017	BYRUM JR, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	DIETSCH, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	DIETSCH, RHONDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	BYRUM JR, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2017	FEIPEL, EDWARD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	EAGLESON, AMBER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.33	0.00	4.33	90.0	3.90
06/01/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.12	0.00	3.12	90.0	2.81
06/01/2017	MCGUIRE, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2017	VLOT, KATHRYN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.42	0.00	2.42	90.0	2.18
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2017	STEBING, CHRISTEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	SIEBER, AARON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	WEAVER, JACOB	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	LOWDEN, KATHERINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.72	0.00	14.72	90.0	13.25
06/01/2017	RICKER, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	RICKER, REBECCA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	EAGLESON, MYSTEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	RATTY, MINDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2017	GALENTINE, JOSHUA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.37	0.00	4.37	90.0	3.93
06/01/2017	JONES, TIM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.14	0.00	5.14	90.0	4.63
06/01/2017	WARSTLER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2017	RATTY, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	LINSKY, MARCIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.66	0.00	9.66	90.0	8.69
06/01/2017	GETTYS, JARRAD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.78	0.00	2.78	90.0	2.50
06/01/2017	MCFEETERS, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	MAIERS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	SHADY, SCOT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	2.85
06/01/2017	COCKROFT, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	MCFEETERS, RYAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	BUSH, SANDRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	HINTON, EMILY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.61	0.00	1.61	90.0	1.45
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	HOSKINS, AUSTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.80	0.00	3.80	90.0	3.42
06/01/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.16	0.00	6.16	90.0	5.54
06/01/2017	LOWDEN, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.91	0.00	4.91	90.0	4.42
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	HELLER, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	WEIDES, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2017	RAMEY, MELISSA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	90.0	0.55
06/01/2017	SIMPSON, DAYNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2017	MESEKE, GILBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.36	0.00	8.36	90.0	7.52
06/01/2017	DRUHOT, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.23	0.00	3.23	90.0	2.91
06/01/2017	CACCAMO TRUST	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	14.78	0.00	14.78	90.0	13.30
06/01/2017	MESEKE, GILBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.43	0.00	3.43	90.0	3.09
06/01/2017	LAYMAN, VICKI	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.02	0.00	1.02	90.0	0.92
05/30/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
05/30/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
06/01/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
06/01/2017	FREER, TYLER	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	BURNS, MARCIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	DERBYSHIRE, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	BAILEY, LOIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.66	0.00	13.66	90.0	12.29
06/01/2017	MURPHY, NICOLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	COLGLAZIER, STACEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	SCHISLER, SHARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2017	RAMUS, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	38.52	0.00	38.52	90.0	34.67
06/01/2017	CASTLEMAN, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.96	0.00	4.96	90.0	4.46

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RAMUS, DARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.10	0.00	4.10	90.0	3.69
06/01/2017	MILUM, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.80	0.00	2.80	90.0	2.52
06/01/2017	MCARDLE, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.17	0.00	14.17	90.0	12.75
06/01/2017	MCARDLE, LINDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.19	0.00	2.19	90.0	1.97
06/01/2017	HARKELROAD, JOY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.83	0.00	6.83	90.0	6.15
06/01/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.41	0.00	7.41	90.0	6.67
06/01/2017	NEACE, VICTORIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	FLEEGER, JANICE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2017	FLEEGER, JANICE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.69	0.00	1.69	90.0	1.52
06/01/2017	COLGLAZIER, LISA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.07	0.00	1.07	90.0	0.96
06/01/2017	LAWSON TRUST	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.91	0.00	16.91	90.0	15.22
06/01/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.06	0.00	4.06	90.0	3.65
06/01/2017	ZINN, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	KUNKEL, AMELIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.87	0.00	1.87	90.0	1.68
06/01/2017	DEATRICK, AMANDA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.00	0.00	12.00	90.0	10.80
06/01/2017	BROOKS, SHARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.75	0.00	4.75	90.0	4.28
06/01/2017	SHERIDAN, BROOKE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	SIEGER, RODNEY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	PATEL, JASWANT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.12	0.00	5.12	90.0	4.61
06/01/2017	THURSTON, TIMOTHY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	RATTY, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	PASSINO, ANDREW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	9.11	0.00	9.11	90.0	8.20
06/01/2017	PASSINO, ANDREW	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	12.69	0.00	12.69	90.0	11.42
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.84	0.00	2.84	90.0	2.56
06/01/2017	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.18	0.00	5.18	90.0	4.66
06/01/2017	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	DRUHOT, SCOTT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	NEWBAUER, CAMERON	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.90	0.00	2.90	90.0	2.61
06/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	POORMAN, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.37	0.00	3.37	90.0	3.03

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	PIERCE, MARILYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.59	0.00	3.59	90.0	3.23
06/01/2017	TIMMS, JOAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	27.84	0.00	27.84	90.0	25.06
06/01/2017	PINNELL, J MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	ROBERTS, LORETTA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.22	0.00	4.22	90.0	3.80
06/01/2017	BYRUM JR, GERALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2017	SIMPSON, LYLE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.48	0.00	8.48	90.0	7.63
06/01/2017	SIMPSON, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.91	0.00	10.91	90.0	9.82
06/01/2017	BAILEY, TERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.25	0.00	4.25	90.0	3.83
06/01/2017	MARTZ, PHILLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.25	0.00	10.25	90.0	9.23
06/01/2017	WINDSOR, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.64	0.00	2.64	90.0	2.38
06/01/2017	KLITZMAN, RANDALL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2017	AMBRIOLE, WESLEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	MURPHY, DANA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	BAILEY, LOIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	20.05	0.00	20.05	90.0	18.05
06/01/2017	BAILEY, KEVIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.19	0.00	3.19	90.0	2.87
06/01/2017	PLATT, GINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	MEIER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.43	0.00	4.43	90.0	3.99
06/01/2017	BURNS, THOMAS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2017	BURNS, MARCIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.01	0.00	9.01	90.0	8.11
06/01/2017	WEAVER, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	MILLER, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2017	BOKHART, GORDON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.50	0.00	8.50	90.0	7.65
06/01/2017	WARSTLER, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2017	OAKES, ERIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2017	AMBRIOLE, JANICE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	MUSSEY, LORI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	ARCHBOLD, DANNY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	BAILEY, TERRY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.46	0.00	3.46	90.0	3.11
06/01/2017	BAILEY, LISA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2017	MILLER, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.53	0.00	2.53	90.0	2.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	12.28	0.00	12.28	90.0	11.05
06/01/2017	PATEL, GITA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.46	0.00	7.46	90.0	6.71
06/01/2017	NICOLET, CHERYL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.19	0.00	8.19	90.0	7.37
06/01/2017	CLARK, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	MURPHY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	WEIDES, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.88	0.00	5.88	90.0	5.29
06/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.32	0.00	5.32	90.0	4.79
06/01/2017	LEVERMAN, THEODORE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.46	0.00	5.46	90.0	4.91
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2017	CSUHTA, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.70	0.00	2.70	90.0	2.43
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.33	0.00	5.33	90.0	4.80
06/01/2017	BENSON, KARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2017	NICOLET, CHERYL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	32.08	0.00	32.08	90.0	28.87
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.95	0.00	2.95	90.0	2.66
06/01/2017	SAINSBURY, DALE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.23	0.00	3.23	90.0	2.91
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.78	0.00	3.78	90.0	3.40
06/01/2017	SIEBER, AARON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.62	0.00	3.62	90.0	3.26
06/01/2017	COCKROFT, EDYTHE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.21	0.00	4.21	90.0	3.79
06/01/2017	URICK, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2017	NEACE, VICTORIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.73	0.00	1.73	90.0	1.56
06/01/2017	SORG, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2017	SORG, BECKY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.28	0.00	5.28	90.0	4.75
06/01/2017	ROEMER, SHERRI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2017	MIZNER, FREDRIC	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2017	MUSKIN, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	MUSKIN, VERONICA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.86	0.00	2.86	90.0	2.57
06/01/2017	OAKES, ERIN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2017	MULDOON, MARGARET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.86	0.00	1.86	90.0	1.67
06/01/2017	COGDELL, CARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2017	COGDELL, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	NICOLET, MICHAEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.68	0.00	8.68	90.0	7.81
06/01/2017	DELAGARZA, DEBRA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.51	0.00	2.51	90.0	2.26
06/01/2017	HERBER, TRINA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.82	0.00	5.82	90.0	5.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2017	OTIS, JASON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2017	SAMELS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	STANSBERRY, NANCY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	MEIER, KIMBERLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	GEVERINK, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2017	GEVERINK, ANN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	NEUBAUER, COLSTON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2017	OAKES, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	ZINN, JEANNETTE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.61	0.00	8.61	90.0	7.75
06/01/2017	HEES, TAMERA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.09	0.00	4.09	90.0	3.68
06/01/2017	CACCAMO TRUST	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.58	0.00	16.58	90.0	14.92
06/01/2017	SORG, BECKY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.69	0.00	1.69	90.0	1.52
06/01/2017	SENGER, LYNDA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	HERRIMAN, JANET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.39	0.00	1.39	90.0	1.25
06/01/2017	WILBANKS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	BYRUM JR, GERALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2017	DIETSCH, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2017	DIETSCH, RHONDA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2017	BYRUM JR, GERALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.30	0.00	1.30	90.0	1.17
06/01/2017	FEIPEL, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	EAGLESON, AMBER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2017	EAGLESON, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.06	0.00	4.06	90.0	3.65
06/01/2017	MCGUIRE, KATHLEEN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2017	VLOT, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.19	0.00	3.19	90.0	2.87
06/01/2017	LOWDEN, KATHERINE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	31.92	0.00	31.92	90.0	28.73
06/01/2017	RICKER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2017	RICKER, REBECCA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	EAGLESON, MYSTEE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	JONES, TIM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.79	0.00	1.79	90.0	1.61
06/01/2017	WARSTLER, MARK	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.53	0.00	0.53	90.0	0.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RATTY, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	HOEFFEL, GREGGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.35	0.00	3.35	90.0	3.02
06/01/2017	MCFEETERS, VALERIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.80	0.00	0.80	90.0	0.72
06/01/2017	MAIERS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2017	MCFEETERS, RYAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	MURPHY, SHARI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	66.48	0.00	66.48	90.0	59.83
06/01/2017	MURPHY, SHARI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	MURPHY, LOU	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	9.42	0.00	9.42	90.0	8.48
06/01/2017	MURPHY, WILLIAM	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.13	0.00	15.13	90.0	13.62
06/01/2017	NEWBAUER, SARAH	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	14.40	0.00	14.40	90.0	12.96
06/01/2017	LAYMAN, VICKI	AM FUNDS FUNDAMENTAL INVESTORS-F1	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	WINANS, BEVERLY	AM FUNDS GLOBAL BALANCED A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	SLATER, JUSTIN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	GOODMAN, LAUREN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	GOODMAN, LAUREN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.61	0.00	1.61	90.0	1.45
05/23/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
05/24/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
05/25/2017	PELFREY, KRISTEN	AM FUNDS GROWTH FUND OF AMERICA A	275.00	10.31	0.00	10.31	90.0	9.28
05/25/2017	PELFREY, TODD	AM FUNDS GROWTH FUND OF AMERICA A	275.00	10.31	0.00	10.31	90.0	9.28
05/25/2017	BENEFICIARY, ELLIOTT	AM FUNDS GROWTH FUND OF AMERICA A	1,371.75	51.44	0.00	51.44	90.0	46.30
05/30/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
05/30/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
05/30/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
05/30/2017	MCFEETERS, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.19	0.00	3.19	90.0	2.87
05/30/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	78.05	1.25	0.00	1.25	90.0	1.13
05/30/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	78.05	1.25	0.00	1.25	90.0	1.13
06/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	POORMAN, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.75	0.00	1.75	90.0	1.58
06/01/2017	TIMMS, JOAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.08	0.00	19.08	90.0	17.17
06/01/2017	PINNELL, J MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.69	0.00	3.69	90.0	3.32
06/01/2017	FISCHER, HELEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.22	0.00	4.22	90.0	3.80

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	ROBERTS, LORETTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	90.0	2.99
06/01/2017	BYRUM JR, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	SIMPSON, LYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.91	0.00	9.91	90.0	8.92
06/01/2017	SIMPSON, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.98	0.00	8.98	90.0	8.08
06/01/2017	PATTERSON, JANITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2017	SHANK, DEAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2017	SHANK, CAROLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	BAILEY, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.06	0.00	5.06	90.0	4.55
06/01/2017	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	DISBRO, JON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.42	0.00	2.42	90.0	2.18
06/01/2017	MARTZ, PHILLIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.35	0.00	6.35	90.0	5.72
06/01/2017	KLITZMAN, RANDALL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	AMBRIOLE, WESLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	MURPHY, DANA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	BAILEY, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.23	0.00	3.23	90.0	2.91
06/01/2017	MORHART, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2017	SWAIDNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	MCDUGAL, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2017	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	MURPHY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.28	0.00	6.28	90.0	5.65
06/01/2017	BRUNTON, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.10	0.00	2.10	90.0	1.89
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.04	0.00	3.04	90.0	2.74
06/01/2017	BURNS, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	WEAVER, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.53	0.00	2.53	90.0	2.28
06/01/2017	FRANKS, PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.21	0.00	7.21	90.0	6.49
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	NEWBAUER, GINGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.27	0.00	2.27	90.0	2.04
06/01/2017	DERBYSHIRE, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2017	MILLER, JULIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2017	BOKHART, GORDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.88	0.00	5.88	90.0	5.29

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	WALLACE, FREDERICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.25	0.00	4.25	90.0	3.83
06/01/2017	JORDAN, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.16	0.00	7.16	90.0	6.44
06/01/2017	WARSTLER, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	PATTERSON, JANITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.24	0.00	7.24	90.0	6.52
06/01/2017	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2017	BAILEY, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.30	0.00	17.30	90.0	15.57
06/01/2017	AMBRIOLE, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	MUSSEY, LORI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	MURPHY, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	ARCHBOLD, DANNY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	JORDAN, NEAL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	MURPHY, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2017	MAY, ZACHARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	EAGLESON, MARTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	SCHISLER, SHARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	PEPPLE, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	WUKASCH, ZACHARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.04	0.00	12.04	90.0	10.84
06/01/2017	PATEL, GITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.37	0.00	14.37	90.0	12.93
06/01/2017	NICOLET, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.37	0.00	7.37	90.0	6.63
06/01/2017	SWAIDNER, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	90.0	4.32
06/01/2017	CLARK, KATHLEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.40	0.00	3.40	90.0	3.06
06/01/2017	MURPHY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	WEEKS, VAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	WEIDES, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.94	0.00	3.94	90.0	3.55
06/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.00	0.00	7.00	90.0	6.30
06/01/2017	ZINN, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.37	0.00	4.37	90.0	3.93
06/01/2017	ISLEY, CATHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	LEVERMAN, PENNY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.40	0.00	5.40	90.0	4.86
06/01/2017	ZINN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.86	0.00	9.86	90.0	8.87
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
06/01/2017	GALENTINE, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	34.85	0.00	34.85	90.0	31.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	KAGE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.57	0.00	5.57	90.0	5.01
06/01/2017	BENSON, KARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	CASTLEMAN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.59	0.00	4.59	90.0	4.13
06/01/2017	WINFIELD, APRIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2017	MAHONY, KAMI LYNN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2017	NICOLET, CHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	32.23	0.00	32.23	90.0	29.01
06/01/2017	MULDOON, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.20	0.00	2.20	90.0	1.98
06/01/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.13	0.00	7.13	90.0	6.42
06/01/2017	RAMUS, DARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.29	0.00	10.29	90.0	9.26
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.38	0.00	3.38	90.0	3.04
06/01/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.13	0.00	13.13	90.0	11.82
06/01/2017	GREENWALT, ROBIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.39	0.00	2.39	90.0	2.15
06/01/2017	PEARSON, SHIRLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.85	0.00	2.85	90.0	2.57
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2017	MILUM, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.97	0.00	8.97	90.0	8.07
06/01/2017	MCARDLE, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.53	0.00	38.53	90.0	34.68
06/01/2017	MCARDLE, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.83	0.00	5.83	90.0	5.25
06/01/2017	GOODMAN, STEPHANIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	GOODMAN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.52	0.00	23.52	90.0	21.17
06/01/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.04	0.00	18.04	90.0	16.24
06/01/2017	URICK, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.27	0.00	3.27	90.0	2.94
06/01/2017	SIEBER, JANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.86	0.00	1.86	90.0	1.67
06/01/2017	SIEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	HECKLER, MARTHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.37	0.00	3.37	90.0	3.03
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.60	0.00	5.60	90.0	5.04
06/01/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	FLEEGER, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	FLEEGER, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.90	0.00	6.90	90.0	6.21
06/01/2017	COLGLAZIER, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	RATTY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.76	0.00	1.76	90.0	1.58
06/01/2017	SORG, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.22	0.00	6.22	90.0	5.60

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MCARDLE, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.27	0.00	25.27	90.0	22.74
06/01/2017	LAWSON TRUST	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.95	0.00	19.95	90.0	17.96
06/01/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.98	0.00	6.98	90.0	6.28
06/01/2017	WHEELER, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.82	0.00	2.82	90.0	2.54
06/01/2017	KUNKEL, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.53	0.00	2.53	90.0	2.28
06/01/2017	GALENTINE, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	COCKROFT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	MIZNER, FREDRIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	MUSKIN, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.02	0.00	5.02	90.0	4.52
06/01/2017	OAKES, ERIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	HOEFFEL, GREGGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2017	BUSH, RANDALL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	90.0	0.85
06/01/2017	MULDOON, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.25	0.00	1.25	90.0	1.13
06/01/2017	COGDELL, CARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	COGDELL, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2017	NICOLET, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.41	0.00	18.41	90.0	16.57
06/01/2017	DELAGARZA, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	90.0	1.53
06/01/2017	SIMPSON, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	KUMFER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.98	0.00	5.98	90.0	5.38
06/01/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.73	0.00	6.73	90.0	6.06
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	OTIS, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	HELLER, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2017	SAMELS, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	LINSKY, MARCIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.52	0.00	38.52	90.0	34.67
06/01/2017	WUKASCH, LILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	90.0	0.79
06/01/2017	STANSBERRY, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	GEVERINK, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	GEVERINK, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	90.0	0.49
06/01/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	4.30
06/01/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.76	0.00	6.76	90.0	6.08
06/01/2017	OAKES, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	SHANK, CAROLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	BLAIR, BRIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.69	0.00	2.69	90.0	2.42
06/01/2017	ZINN, JEANNETTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.72	0.00	5.72	90.0	5.15
06/01/2017	NEWBAUER, COLSTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.31	0.00	3.31	90.0	2.98
06/01/2017	HEES, TAMERA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.76	0.00	2.76	90.0	2.48
06/01/2017	RHEN, MASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.57	0.00	2.57	90.0	2.31
06/01/2017	HOUSER, TRACY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.61	0.00	4.61	90.0	4.15
06/01/2017	SIEGER, RODNEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.50	0.00	12.50	90.0	11.25
06/01/2017	SORG, BECKY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	SENGER, LYNDIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.95	0.00	1.95	90.0	1.76
06/01/2017	LIPSCOMB, GRACE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.83	0.00	3.83	90.0	3.45
06/01/2017	HERRIMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	PATEL, JASWANT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.46	0.00	8.46	90.0	7.61
06/01/2017	JENTGEN, STEPHANIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	NEWBAUER, COLSTON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	MCFEETERS, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.46	0.00	8.46	90.0	7.61
06/01/2017	HERRIMAN, JANET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.16	0.00	2.16	90.0	1.94
06/01/2017	THURSTON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	FREEZE, MONTE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	WILBANKS, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.58	0.00	3.58	90.0	3.22
06/01/2017	BYRUM JR, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2017	DIETSCH, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	DIETSCH, RHONDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.13	0.00	5.13	90.0	4.62
06/01/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.74	0.00	2.74	90.0	2.47
06/01/2017	VLOT, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.61	0.00	1.61	90.0	1.45
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.93	0.00	0.93	90.0	0.84
06/01/2017	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.09	0.00	1.09	90.0	0.98
06/01/2017	EAGLESON, MYSTEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2017	RATTY, MINDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	JONES, TIM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.23	0.00	1.23	90.0	1.11

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	LIPSCOMB, SOPHIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.44	0.00	2.44	90.0	2.20
06/01/2017	WARSTLER, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	PASSINO, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.85	0.00	8.85	90.0	7.97
06/01/2017	PASSINO, ANDREW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	17.78	0.00	17.78	90.0	16.00
06/01/2017	GETTYS, JARRAD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	1.33
06/01/2017	LALLO, GARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.16	0.00	9.16	90.0	8.24
06/01/2017	LALLO, CHRISTINA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.86	0.00	10.86	90.0	9.77
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	SHADY, SCOT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2017	MCARDLE, LAURA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	COCKROFT, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	ELIAS, CRISTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	MCFEETERS, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	BUSH, SANDRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	LAPHAM, DARRELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2017	URATA, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	0.00	2.32	90.0	2.09
06/01/2017	HINTON, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	HOSKINS, AUSTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.38	0.00	5.38	90.0	4.84
06/01/2017	NEWBAUER, CHLOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.29	0.00	6.29	90.0	5.66
06/01/2017	DELAGRANGE, LUCINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	HAVERT, CHANDRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.59	0.00	2.59	90.0	2.33
06/01/2017	LOWDEN, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.96
06/01/2017	HERBER, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.81	0.00	4.81	90.0	4.33
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	HELLER, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	MURPHY, SHARI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	65.19	0.00	65.19	90.0	58.67
06/01/2017	MURPHY, SHARI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.90	0.00	3.90	90.0	3.51
06/01/2017	WEIDES, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2017	MURPHY, LOU	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.50	0.00	9.50	90.0	8.55
06/01/2017	MURPHY, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.27	0.00	15.27	90.0	13.74
06/01/2017	LEGUALT, OLIVER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.08	0.00	0.08	90.0	0.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RAMEY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.61	0.00	0.61	90.0	0.55
06/01/2017	BURNS, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	BURNS-WILSON, VIRGINIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	BURNS-PAITAN, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2017	SIMPSON, DAYNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2017	DRUHOT, SCOTT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	DRUHOT, LESLIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.95	0.00	20.95	90.0	18.86
06/01/2017	NEWBAUER, SARAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.09	0.00	12.09	90.0	10.88
06/01/2017	DRUHOT, LESLIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	BAILEY, RICHARD	AM FUNDS GROWTH PORT A	0.00	58.11	0.00	58.11	90.0	52.30
06/01/2017	GOODMAN, LAUREN	AM FUNDS ICA 529A	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2017	TUCKER, CHARLOTTE	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	TUCKER, SEAN	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	FREER, TYLER	AM FUNDS ICA A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2017	TIMMS, JOAN	AM FUNDS ICA A	0.00	28.30	0.00	28.30	90.0	25.47
06/01/2017	ROBERTS, LORETTA	AM FUNDS ICA A	0.00	3.52	0.00	3.52	90.0	3.17
06/01/2017	BYRUM JR, GERALD	AM FUNDS ICA A	0.00	5.10	0.00	5.10	90.0	4.59
06/01/2017	WINDSOR, WILLIAM	AM FUNDS ICA A	0.00	2.71	0.00	2.71	90.0	2.44
06/01/2017	DISBRO, JON	AM FUNDS ICA A	0.00	1.62	0.00	1.62	90.0	1.46
06/01/2017	AMBRIOLE, WESLEY	AM FUNDS ICA A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	PLATT, GINA	AM FUNDS ICA A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS ICA A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	BURNS, THOMAS	AM FUNDS ICA A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	MILLER, JULIE	AM FUNDS ICA A	0.00	2.75	0.00	2.75	90.0	2.48
06/01/2017	PATEL, GITA	AM FUNDS ICA A	0.00	13.37	0.00	13.37	90.0	12.03
06/01/2017	RAMUS, CHARLES	AM FUNDS ICA A	0.00	56.46	0.00	56.46	90.0	50.81
06/01/2017	MIZNER, FREDRIC	AM FUNDS ICA A	0.00	1.68	0.00	1.68	90.0	1.51
06/01/2017	KAGE, JENNIFER	AM FUNDS ICA A	0.00	4.67	0.00	4.67	90.0	4.20
06/01/2017	THOMAS, BRADFORD	AM FUNDS ICA A	0.00	7.48	0.00	7.48	90.0	6.73
06/01/2017	ZIMMERMAN, PHYLLIS	AM FUNDS ICA A	0.00	5.11	0.00	5.11	90.0	4.60
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS ICA A	0.00	4.15	0.00	4.15	90.0	3.74
06/01/2017	SHIPLEY, SANDRA	AM FUNDS ICA A	0.00	8.92	0.00	8.92	90.0	8.03

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GRIME, STEPHEN	AM FUNDS ICA A	0.00	19.39	0.00	19.39	90.0	17.45
06/01/2017	PEARSON, SHIRLEY	AM FUNDS ICA A	0.00	3.28	0.00	3.28	90.0	2.95
06/01/2017	MILUM, JUDITH	AM FUNDS ICA A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	MCARDLE, SHAWN	AM FUNDS ICA A	0.00	36.69	0.00	36.69	90.0	33.02
06/01/2017	MCARDLE, LINDA	AM FUNDS ICA A	0.00	5.47	0.00	5.47	90.0	4.92
06/01/2017	HARKELROAD, JOY	AM FUNDS ICA A	0.00	4.31	0.00	4.31	90.0	3.88
06/01/2017	SIEBER, AARON	AM FUNDS ICA A	0.00	10.54	0.00	10.54	90.0	9.49
06/01/2017	MCARDLE, DANIEL	AM FUNDS ICA A	0.00	13.56	0.00	13.56	90.0	12.20
06/01/2017	LAWSON TRUST	AM FUNDS ICA A	0.00	24.22	0.00	24.22	90.0	21.80
06/01/2017	KUNKEL, AMELIA	AM FUNDS ICA A	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	SEAY, ROBIN	AM FUNDS ICA A	0.00	1.62	0.00	1.62	90.0	1.46
06/01/2017	DEATRICK, AMANDA	AM FUNDS ICA A	0.00	16.35	0.00	16.35	90.0	14.72
06/01/2017	MULDOON, MARGARET	AM FUNDS ICA A	0.00	0.92	0.00	0.92	90.0	0.83
06/01/2017	COGDELL, JENNIFER	AM FUNDS ICA A	0.00	2.82	0.00	2.82	90.0	2.54
06/01/2017	SEAY, ROBIN	AM FUNDS ICA A	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	BROOKS, SHARON	AM FUNDS ICA A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	SHERIDAN, BROOKE	AM FUNDS ICA A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	GRIME, STEPHEN	AM FUNDS ICA A	0.00	5.05	0.00	5.05	90.0	4.55
06/01/2017	HOUSER, TRACY	AM FUNDS ICA A	0.00	4.65	0.00	4.65	90.0	4.19
06/01/2017	SORG, BECKY	AM FUNDS ICA A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2017	SENGER, LYNDIA	AM FUNDS ICA A	0.00	2.79	0.00	2.79	90.0	2.51
06/01/2017	HERRIMAN, JANET	AM FUNDS ICA A	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2017	PATEL, JASWANT	AM FUNDS ICA A	0.00	7.94	0.00	7.94	90.0	7.15
06/01/2017	JENTGEN, STEPHANIE	AM FUNDS ICA A	0.00	0.69	0.00	0.69	90.0	0.62
06/01/2017	THURSTON, TIMOTHY	AM FUNDS ICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	BYRUM JR, GERALD	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	DIETSCH, DANIEL	AM FUNDS ICA A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	DIETSCH, RHONDA	AM FUNDS ICA A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	BYRUM JR, GERALD	AM FUNDS ICA A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	FEIPEL, EDWARD	AM FUNDS ICA A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2017	EAGLESON, AMBER	AM FUNDS ICA A	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	EAGLESON, JOSEPH	AM FUNDS ICA A	0.00	3.82	0.00	3.82	90.0	3.44
06/01/2017	MCGUIRE, KATHLEEN	AM FUNDS ICA A	0.00	1.79	0.00	1.79	90.0	1.61

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	VLOT, KATHRYN	AM FUNDS ICA A	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2017	RICKER, REBECCA	AM FUNDS ICA A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2017	RICKER, REBECCA	AM FUNDS ICA A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	EAGLESON, MYSTEE	AM FUNDS ICA A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	JONES, TIM	AM FUNDS ICA A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2017	WARSTLER, MARK	AM FUNDS ICA A	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2017	DEJOHN, SHARLEEN	AM FUNDS ICA A	0.00	10.98	0.00	10.98	90.0	9.88
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS ICA A	0.00	3.18	0.00	3.18	90.0	2.86
06/01/2017	MCFEETERS, VALERIE	AM FUNDS ICA A	0.00	1.75	0.00	1.75	90.0	1.58
06/01/2017	MAIERS, GREGORY	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	ELIAS, CRISTA	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	MCFEETERS, RYAN	AM FUNDS ICA A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS ICA A	0.00	0.84	0.00	0.84	90.0	0.76
06/01/2017	MESEKE, GILBERT	AM FUNDS ICA C	0.00	8.73	0.00	8.73	90.0	7.86
06/01/2017	MESEKE, GILBERT	AM FUNDS ICA F1	0.00	2.82	0.00	2.82	90.0	2.54
06/01/2017	LAYMAN, VICKI	AM FUNDS ICA F1	0.00	1.05	0.00	1.05	90.0	0.95
05/23/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85
05/25/2017	PELFREY, TODD	AM FUNDS INCOME FUND OF AMERICA A	275.00	10.31	0.00	10.31	90.0	9.28
05/25/2017	BENEFICIARY, ELLIOTT	AM FUNDS INCOME FUND OF AMERICA A	1,388.87	52.08	0.00	52.08	90.0	46.87
05/31/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	POORMAN, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.62	0.00	1.62	90.0	1.46
06/01/2017	PIERCE, MARILYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.04	0.00	4.04	90.0	3.64
06/01/2017	TIMMS, JOAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.47	0.00	16.47	90.0	14.82
06/01/2017	PINNELL, J MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.08	0.00	3.08	90.0	2.77
06/01/2017	ROBERTS, LORETTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.23	0.00	4.23	90.0	3.81
06/01/2017	BYRUM JR, GERALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.78	0.00	3.78	90.0	3.40
06/01/2017	BROCKMANO, EDWARD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2017	BAILEY, TERRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2017	MARTZ, PHILLIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.75	0.00	12.75	90.0	11.48
06/01/2017	WINDSOR, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.08	0.00	2.08	90.0	1.87
06/01/2017	DISBRO, JON	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.43	0.00	6.43	90.0	5.79
06/01/2017	KLITZMAN, RANDALL	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.97	0.00	0.97	90.0	0.87

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BAILEY, LOIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.53	0.00	13.53	90.0	12.18
06/01/2017	PLATT, GINA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.66	0.00	1.66	90.0	1.49
06/01/2017	MEIER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.57	0.00	2.57	90.0	2.31
06/01/2017	BURNS, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	EAGLESON, MARTHA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	90.0	1.19
06/01/2017	WEAVER, JEFFREY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2017	WEIDES, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.95	0.00	2.95	90.0	2.66
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	MILLER, JULIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.62	0.00	5.62	90.0	5.06
06/01/2017	BOKHART, GORDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.78	0.00	4.78	90.0	4.30
06/01/2017	JORDAN, NEAL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.74	0.00	3.74	90.0	3.37
06/01/2017	JORDAN, PAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.15	0.00	3.15	90.0	2.84
06/01/2017	WARSTLER, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.49	0.00	6.49	90.0	5.84
06/01/2017	TULLOCH, CAROLYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	OAKES, ERIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	AMBRIOLE, JANICE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	MUSSEY, LORI	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	MURPHY, SUSAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2017	EAGLESON, MARTHA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.85	0.00	1.85	90.0	1.67
06/01/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.79	0.00	8.79	90.0	7.91
06/01/2017	PATEL, GITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.42	0.00	6.42	90.0	5.78
06/01/2017	CLARK, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.95	0.00	2.95	90.0	2.66
06/01/2017	WEIDES, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2017	HUNTER, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	CSUHTA, JULIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	BENSON, KARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2017	NICOLET, CHERYL	AM FUNDS INCOME FUND OF AMERICA A	0.00	27.78	0.00	27.78	90.0	25.00
06/01/2017	MULDOON, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.83	0.00	1.83	90.0	1.65

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	SAINSBURY, DALE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.66	0.00	7.66	90.0	6.89
06/01/2017	URICK, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.36	0.00	2.36	90.0	2.12
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.18	0.00	4.18	90.0	3.76
06/01/2017	HARRIS, SHARON	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.28	0.00	1.28	90.0	1.15
06/01/2017	ALLAN, BETTY	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	SEAY, ROBIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2017	MIZNER, FREDRIC	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	MUSKIN, VERONICA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.48	0.00	3.48	90.0	3.13
06/01/2017	BUSH, RANDALL	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.76	0.00	1.76	90.0	1.58
06/01/2017	MULDOON, MARGARET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.07	0.00	1.07	90.0	0.96
06/01/2017	COGDELL, CARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	COGDELL, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	1.43
06/01/2017	SEAY, ROBIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2017	NICOLET, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	17.25	0.00	17.25	90.0	15.53
06/01/2017	DELAGARZA, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2017	KUMFER, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.18	0.00	5.18	90.0	4.66
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	OTIS, JASON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	MEIER, KIMBERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	GEVERINK, RAYMOND	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	GEVERINK, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	EAGLESON, ROSS	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.05	0.00	3.05	90.0	2.75
06/01/2017	BLAIR, BRIAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.48	0.00	2.48	90.0	2.23
06/01/2017	ZINN, JEANNETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.22	0.00	5.22	90.0	4.70
06/01/2017	EAGLESON, ROSS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.92	0.00	2.92	90.0	2.63
06/01/2017	HEES, TAMERA	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.36	0.00	2.36	90.0	2.12
06/01/2017	LAYMAN, VICKI	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.41	0.00	3.41	90.0	3.07
06/01/2017	CACCAMO TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.11	0.00	10.11	90.0	9.10
06/01/2017	SORG, BECKY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	SENGER, LYNDIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2017	DURKES, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2017	HERRIMAN, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.81	0.00	1.81	90.0	1.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	WILBANKS, GREGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	BYRUM JR, GERALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.92	0.00	0.92	90.0	0.83
06/01/2017	DIETSCH, DANIEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	DIETSCH, RHONDA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	BYRUM JR, GERALD	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2017	FEIPEL, EDWARD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	EAGLESON, AMBER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.18	0.00	3.18	90.0	2.86
06/01/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.14	0.00	2.14	90.0	1.93
06/01/2017	MCGUIRE, KATHLEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2017	VLOT, KATHRYN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.90	0.00	3.90	90.0	3.51
06/01/2017	SIEBER, AARON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.28	0.00	1.28	90.0	1.15
06/01/2017	POORMAN, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.30	0.00	2.30	90.0	2.07
06/01/2017	LOWDEN, KATHERINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.40	0.00	23.40	90.0	21.06
06/01/2017	RICKER, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	RICKER, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2017	JONES, TIM	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	WARSTLER, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	RATTY, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.80	0.00	0.80	90.0	0.72
06/01/2017	LINSKY, MARCIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.30	0.00	9.30	90.0	8.37
06/01/2017	HOEFFEL, GREGGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	REMENSCHNEIDER, DAVID	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.22	0.00	5.22	90.0	4.70
06/01/2017	MCFEETERS, VALERIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.27	0.00	1.27	90.0	1.14
06/01/2017	MAIERS, GREGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	COCKROFT, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2017	ELIAS, CRISTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	MCFEETERS, RYAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	ARCHBOLD, PAMELA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	HERBER, MARK	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	MARTIN, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	MURPHY, SHARI	AM FUNDS INCOME FUND OF AMERICA A	0.00	63.86	0.00	63.86	90.0	57.47

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MURPHY, SHARI	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.81	0.00	3.81	90.0	3.43
06/01/2017	WEIDES, LISA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	RAMEY, MELISSA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	BURNS, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	BURNS-WILSON, VIRGINIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	BURNS-PAITAN, PATRICIA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2017	WALLACE, FREDERICK	AM FUNDS INCOME FUND OF AMERICA C	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	FEIPEL, ESTHER	AM FUNDS INCOME FUND OF AMERICA C	0.00	2.43	0.00	2.43	90.0	2.19
06/01/2017	WALLACE, FREDERICK	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	LAYMAN, VICKI	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.17	0.00	2.17	90.0	1.95
06/01/2017	GOODMAN, LAUREN	AM FUNDS INCOME FUND OF AMERICA-529	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	NEWBAUER, CAMERON	AM FUNDS INCOME FUND OF AMERICA-529	0.00	5.55	0.00	5.55	90.0	5.00
05/25/2017	PELFREY, KRISTEN	AM FUNDS INTERMEDIATE BOND FUND OF	550.00	11.00	0.00	11.00	90.0	9.90
05/25/2017	PELFREY, TODD	AM FUNDS INTERMEDIATE BOND FUND OF	1,100.00	22.00	0.00	22.00	90.0	19.80
05/31/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.24	0.00	3.24	90.0	2.92
06/01/2017	SHANK, DEAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.07	0.00	1.07	90.0	0.96
06/01/2017	SHANK, CAROLE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	BROCKMANO, EDWARD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	HOFFMAN, JOANN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.77	0.00	2.77	90.0	2.49
06/01/2017	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.52	0.00	1.52	90.0	1.37
06/01/2017	EAGLESON, MARTHA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.82	0.00	4.82	90.0	4.34
06/01/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	5.59	0.00	5.59	90.0	5.03
06/01/2017	MURPHY, SUSAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	EAGLESON, MARTHA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	6.71	0.00	6.71	90.0	6.04
06/01/2017	WILKIN, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	6.99	0.00	6.99	90.0	6.29
06/01/2017	COCKROFT, EDYTHE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.53	0.00	4.53	90.0	4.08
06/01/2017	SIEBER, JANE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.52	0.00	4.52	90.0	4.07
06/01/2017	SIEBER, PAUL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	5.17	0.00	5.17	90.0	4.65
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2017	RATTY, MICHAEL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.80	0.00	1.80	90.0	1.62

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	COCKROFT, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	BUSH, RANDALL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.40	0.00	2.40	90.0	2.16
06/01/2017	SIMPSON, RONALD	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	KUMFER, JOSEPH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	6.32	0.00	6.32	90.0	5.69
06/01/2017	HELLER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	7.27	0.00	7.27	90.0	6.54
06/01/2017	PER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2017	EAGLESON, ROSS	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.45	0.00	2.45	90.0	2.21
06/01/2017	SHANK, CAROLE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	BLAIR, BRIAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	EAGLESON, ROSS	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2017	KEMERLY, JASON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	SIEBER, PAUL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.84	0.00	4.84	90.0	4.36
06/01/2017	SIEBER, AARON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	COCKROFT, EDYA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.80	0.00	0.80	90.0	0.72
06/01/2017	RATTY, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2017	LINSKY, MARCIA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	8.61	0.00	8.61	90.0	7.75
06/01/2017	WINANS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	COCKROFT, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	CZARNECKI, DENNIS	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	BUSH, SANDRA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2017	PARROT, JUDITH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	CACCAMO, MICHAEL	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2017	HINTON, EMILY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.94	0.00	1.94	90.0	1.75
06/01/2017	DURKES, DEBRA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.80	0.00	2.80	90.0	2.52
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	COGDELL, CARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.71	0.00	2.71	90.0	2.44
06/01/2017	LOWDEN, JAMES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.63	0.00	2.63	90.0	2.37
06/01/2017	HERBER, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	LYTLE, KEAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.13	0.00	1.13	90.0	1.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HELLER, ADAM	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.67	0.00	2.67	90.0	2.40
06/01/2017	WEIDES, LISA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.53	0.00	2.53	90.0	2.28
06/01/2017	SULLIVAN, DIAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	SULLIVAN, DIAN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2017	SIMPSON, DAYNA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	WILKIN, CYNTHIA	AM FUNDS MORTGAGE A	0.00	6.99	0.00	6.99	90.0	6.29
06/01/2017	RATTY, MICHAEL	AM FUNDS MORTGAGE A	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2017	CACCAMO, MICHAEL	AM FUNDS MORTGAGE A	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2017	O'CONNELL, KATHLEEN	AM FUNDS MORTGAGE A	0.00	1.19	0.00	1.19	90.0	1.07
06/01/2017	KAUFFMAN, KATIE	AM FUNDS MORTGAGE C	0.00	5.47	0.00	5.47	90.0	4.92
05/30/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
06/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
06/01/2017	MARTZ, PHILLIS	AM FUNDS NEW ECONOMY A	0.00	9.03	0.00	9.03	90.0	8.13
06/01/2017	KLITZMAN, RANDALL	AM FUNDS NEW ECONOMY A	0.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	SWAIDNER, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	2.26	0.00	2.26	90.0	2.03
06/01/2017	SWAIDNER, DAVID	AM FUNDS NEW ECONOMY A	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2017	MURPHY, DAVID	AM FUNDS NEW ECONOMY A	0.00	4.00	0.00	4.00	90.0	3.60
06/01/2017	MORHART, JAMES	AM FUNDS NEW ECONOMY A	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2017	WOEHNKER, JOSEPH	AM FUNDS NEW ECONOMY A	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2017	DERBYSHIRE, JAMES	AM FUNDS NEW ECONOMY A	0.00	1.09	0.00	1.09	90.0	0.98
06/01/2017	WALLACE, BARBARA	AM FUNDS NEW ECONOMY A	0.00	3.83	0.00	3.83	90.0	3.45
06/01/2017	JORDAN, NEAL	AM FUNDS NEW ECONOMY A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	LEVERMAN, THEODORE	AM FUNDS NEW ECONOMY A	0.00	5.58	0.00	5.58	90.0	5.02
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	0.00	6.71	0.00	6.71	90.0	6.04
06/01/2017	FLEEGER, JANICE	AM FUNDS NEW ECONOMY A	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	FLEEGER, JANICE	AM FUNDS NEW ECONOMY A	0.00	1.61	0.00	1.61	90.0	1.45
06/01/2017	MCARDLE, DANIEL	AM FUNDS NEW ECONOMY A	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2017	LAWSON TRUST	AM FUNDS NEW ECONOMY A	0.00	18.94	0.00	18.94	90.0	17.05
06/01/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	0.00	4.54	0.00	4.54	90.0	4.09
06/01/2017	HOUSER, TRACY	AM FUNDS NEW ECONOMY A	0.00	3.09	0.00	3.09	90.0	2.78
06/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	0.00	4.89	0.00	4.89	90.0	4.40
06/01/2017	URATA, SUSAN	AM FUNDS NEW ECONOMY A	0.00	2.22	0.00	2.22	90.0	2.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	0.00	0.48	0.00	0.48	90.0	0.43
05/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
06/01/2017	POORMAN, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2017	JORDAN, NEAL	AM FUNDS NEW PERSPECTIVE A	0.00	6.76	0.00	6.76	90.0	6.08
06/01/2017	TIMMS, JOAN	AM FUNDS NEW PERSPECTIVE A	0.00	16.92	0.00	16.92	90.0	15.23
06/01/2017	PINNELL, J MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2017	FISCHER, HELEN	AM FUNDS NEW PERSPECTIVE A	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2017	ROBERTS, LORETTA	AM FUNDS NEW PERSPECTIVE A	0.00	4.14	0.00	4.14	90.0	3.73
06/01/2017	BYRUM JR, GERALD	AM FUNDS NEW PERSPECTIVE A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	O'LAUGHLIN, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	55.06	0.00	55.06	90.0	49.55
06/01/2017	SIMPSON, LYLE	AM FUNDS NEW PERSPECTIVE A	0.00	9.18	0.00	9.18	90.0	8.26
06/01/2017	SIMPSON, KATHRYN	AM FUNDS NEW PERSPECTIVE A	0.00	8.23	0.00	8.23	90.0	7.41
06/01/2017	BAILEY, TERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.13	0.00	3.13	90.0	2.82
06/01/2017	KLITZMAN, RANDALL	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	AMBRIOLE, WESLEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	BAILEY, KEVIN	AM FUNDS NEW PERSPECTIVE A	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS NEW PERSPECTIVE A	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	MURPHY, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	4.93	0.00	4.93	90.0	4.44
06/01/2017	WILLING, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	5.28	0.00	5.28	90.0	4.75
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	AMARO, ALEXANDER	AM FUNDS NEW PERSPECTIVE A	0.00	3.12	0.00	3.12	90.0	2.81
06/01/2017	SAINSBURY, DALE	AM FUNDS NEW PERSPECTIVE A	0.00	3.63	0.00	3.63	90.0	3.27
06/01/2017	BRUNTON, RYAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.30	0.00	2.30	90.0	2.07
06/01/2017	BURNS, MARCIA	AM FUNDS NEW PERSPECTIVE A	0.00	7.83	0.00	7.83	90.0	7.05
06/01/2017	WEAVER, JEFFREY	AM FUNDS NEW PERSPECTIVE A	0.00	1.10	0.00	1.10	90.0	0.99
06/01/2017	WOEHNKER, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	1.82	0.00	1.82	90.0	1.64
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS NEW PERSPECTIVE A	0.00	0.95	0.00	0.95	90.0	0.86
06/01/2017	NEWBAUER, GINGER	AM FUNDS NEW PERSPECTIVE A	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	MILLER, JULIE	AM FUNDS NEW PERSPECTIVE A	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2017	BOKHART, GORDON	AM FUNDS NEW PERSPECTIVE A	0.00	5.29	0.00	5.29	90.0	4.76
06/01/2017	JORDAN, PAM	AM FUNDS NEW PERSPECTIVE A	0.00	7.24	0.00	7.24	90.0	6.52
06/01/2017	WARSTLER, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	TULLOCH, CAROLYN	AM FUNDS NEW PERSPECTIVE A	0.00	0.71	0.00	0.71	90.0	0.64

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	OAKES, ERIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.84	0.00	0.84	90.0	0.76
06/01/2017	AMBRIOLE, JANICE	AM FUNDS NEW PERSPECTIVE A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	MUSSEY, LORI	AM FUNDS NEW PERSPECTIVE A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	COLGLAZIER, STACEY	AM FUNDS NEW PERSPECTIVE A	0.00	3.34	0.00	3.34	90.0	3.01
06/01/2017	SCHISLER, SHARON	AM FUNDS NEW PERSPECTIVE A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	BAILEY, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	0.00	9.16	0.00	9.16	90.0	8.24
06/01/2017	PATEL, GITA	AM FUNDS NEW PERSPECTIVE A	0.00	9.57	0.00	9.57	90.0	8.61
06/01/2017	RAMUS, CHARLES	AM FUNDS NEW PERSPECTIVE A	0.00	50.10	0.00	50.10	90.0	45.09
06/01/2017	WEIDES, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2017	HUNTER, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS NEW PERSPECTIVE A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	BENSON, KARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	NICOLET, CHERYL	AM FUNDS NEW PERSPECTIVE A	0.00	31.97	0.00	31.97	90.0	28.77
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	2.54	0.00	2.54	90.0	2.29
06/01/2017	SIEBER, AARON	AM FUNDS NEW PERSPECTIVE A	0.00	9.89	0.00	9.89	90.0	8.90
06/01/2017	URICK, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	2.78	0.00	2.78	90.0	2.50
06/01/2017	LAWSON TRUST	AM FUNDS NEW PERSPECTIVE A	0.00	17.37	0.00	17.37	90.0	15.63
06/01/2017	MIZNER, FREDRIC	AM FUNDS NEW PERSPECTIVE A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	0.00	4.43	0.00	4.43	90.0	3.99
06/01/2017	OAKES, ERIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	MULDOON, MARGARET	AM FUNDS NEW PERSPECTIVE A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	COGDELL, CARY	AM FUNDS NEW PERSPECTIVE A	0.00	0.65	0.00	0.65	90.0	0.59
06/01/2017	COGDELL, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	1.77	0.00	1.77	90.0	1.59
06/01/2017	NICOLET, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	16.92	0.00	16.92	90.0	15.23
06/01/2017	DELAGARZA, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	HERBER, TRINA	AM FUNDS NEW PERSPECTIVE A	0.00	5.66	0.00	5.66	90.0	5.09
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	SAMELS, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	STANSBERRY, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	2.71	0.00	2.71	90.0	2.44
06/01/2017	GEVERINK, RAYMOND	AM FUNDS NEW PERSPECTIVE A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	GEVERINK, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	0.64	0.00	0.64	90.0	0.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	0.00	5.93	0.00	5.93	90.0	5.34
06/01/2017	OAKES, ANDREW	AM FUNDS NEW PERSPECTIVE A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	ZINN, JEANNETTE	AM FUNDS NEW PERSPECTIVE A	0.00	5.34	0.00	5.34	90.0	4.81
06/01/2017	HEES, TAMERA	AM FUNDS NEW PERSPECTIVE A	0.00	2.40	0.00	2.40	90.0	2.16
06/01/2017	SORG, BECKY	AM FUNDS NEW PERSPECTIVE A	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2017	SENGER, LYNDA	AM FUNDS NEW PERSPECTIVE A	0.00	1.76	0.00	1.76	90.0	1.58
06/01/2017	HERRIMAN, JANET	AM FUNDS NEW PERSPECTIVE A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	PATEL, JASWANT	AM FUNDS NEW PERSPECTIVE A	0.00	6.08	0.00	6.08	90.0	5.47
06/01/2017	BYRUM JR, GERALD	AM FUNDS NEW PERSPECTIVE A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	DIETSCH, DANIEL	AM FUNDS NEW PERSPECTIVE A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	DIETSCH, RHONDA	AM FUNDS NEW PERSPECTIVE A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	2.30	0.00	2.30	90.0	2.07
06/01/2017	VLOT, KATHRYN	AM FUNDS NEW PERSPECTIVE A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2017	EAGLESON, MYSTEE	AM FUNDS NEW PERSPECTIVE A	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	JONES, TIM	AM FUNDS NEW PERSPECTIVE A	0.00	1.03	0.00	1.03	90.0	0.93
06/01/2017	WARSTLER, MARK	AM FUNDS NEW PERSPECTIVE A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2017	HOEFFEL, GREGGORY	AM FUNDS NEW PERSPECTIVE A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	MCFEETERS, RYAN	AM FUNDS NEW PERSPECTIVE A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	NEWBAUER, CAMERON	AM FUNDS NEW PERSPECTIVE A	0.00	8.07	0.00	8.07	90.0	7.26
06/01/2017	DRUHOT, SCOTT	AM FUNDS NEW PERSPECTIVE C	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2017	GOODMAN, LAUREN	AM FUNDS NEW WORLD 529A	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	GOODMAN, LAUREN	AM FUNDS NEW WORLD 529A	0.00	0.96	0.00	0.96	90.0	0.86
05/23/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
06/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
06/01/2017	TUCKER, CHARLOTTE	AM FUNDS NEW WORLD A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	TUCKER, SEAN	AM FUNDS NEW WORLD A	0.00	1.21	0.00	1.21	90.0	1.09
06/01/2017	FREER, TYLER	AM FUNDS NEW WORLD A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	POORMAN, MICHAEL	AM FUNDS NEW WORLD A	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	TIMMS, JOAN	AM FUNDS NEW WORLD A	0.00	11.23	0.00	11.23	90.0	10.11
06/01/2017	PINNELL, J MICHAEL	AM FUNDS NEW WORLD A	0.00	2.82	0.00	2.82	90.0	2.54

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ROBERTS, LORETTA	AM FUNDS NEW WORLD A	0.00	2.22	0.00	2.22	90.0	2.00
06/01/2017	BYRUM JR, GERALD	AM FUNDS NEW WORLD A	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	SIMPSON, LYLE	AM FUNDS NEW WORLD A	0.00	6.79	0.00	6.79	90.0	6.11
06/01/2017	SIMPSON, KATHRYN	AM FUNDS NEW WORLD A	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2017	BAILEY, TERRY	AM FUNDS NEW WORLD A	0.00	5.27	0.00	5.27	90.0	4.74
06/01/2017	KLITZMAN, RANDALL	AM FUNDS NEW WORLD A	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	BAILEY, BRUCE	AM FUNDS NEW WORLD A	0.00	5.58	0.00	5.58	90.0	5.02
06/01/2017	AMBRIOLE, WESLEY	AM FUNDS NEW WORLD A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	BAILEY, KEVIN	AM FUNDS NEW WORLD A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS NEW WORLD A	0.00	2.05	0.00	2.05	90.0	1.85
06/01/2017	WEAVER, JEFFREY	AM FUNDS NEW WORLD A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	WEIDES, MARK	AM FUNDS NEW WORLD A	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS NEW WORLD A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	DERBYSHIRE, JAMES	AM FUNDS NEW WORLD A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	MILLER, JULIE	AM FUNDS NEW WORLD A	0.00	1.30	0.00	1.30	90.0	1.17
06/01/2017	BOKHART, GORDON	AM FUNDS NEW WORLD A	0.00	4.10	0.00	4.10	90.0	3.69
06/01/2017	TULLOCH, CAROLYN	AM FUNDS NEW WORLD A	0.00	0.54	0.00	0.54	90.0	0.49
06/01/2017	OAKES, ERIN	AM FUNDS NEW WORLD A	0.00	0.64	0.00	0.64	90.0	0.58
06/01/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2017	AMBRIOLE, JANICE	AM FUNDS NEW WORLD A	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	ARCHBOLD, DANNY	AM FUNDS NEW WORLD A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	MCBRIDE, KEITH	AM FUNDS NEW WORLD A	0.00	2.31	0.00	2.31	90.0	2.08
06/01/2017	MURPHY, SUSAN	AM FUNDS NEW WORLD A	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2017	SCHISLER, SHARON	AM FUNDS NEW WORLD A	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	0.00	7.08	0.00	7.08	90.0	6.37
06/01/2017	PATEL, GITA	AM FUNDS NEW WORLD A	0.00	3.33	0.00	3.33	90.0	3.00
06/01/2017	CLARK, KATHLEEN	AM FUNDS NEW WORLD A	0.00	2.33	0.00	2.33	90.0	2.10
06/01/2017	RAMUS, CHARLES	AM FUNDS NEW WORLD A	0.00	36.06	0.00	36.06	90.0	32.45
06/01/2017	WEIDES, MARK	AM FUNDS NEW WORLD A	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	0.00	3.63	0.00	3.63	90.0	3.27
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS NEW WORLD A	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	BENSON, KARY	AM FUNDS NEW WORLD A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS NEW WORLD A	0.00	1.50	0.00	1.50	90.0	1.35

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	RAMUS, DARLENE	AM FUNDS NEW WORLD A	0.00	3.59	0.00	3.59	90.0	3.23
06/01/2017	RAMUS, ERIC	AM FUNDS NEW WORLD A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	RAMUS, CHAD	AM FUNDS NEW WORLD A	0.00	0.88	0.00	0.88	90.0	0.79
06/01/2017	RAMUS, MEGAN	AM FUNDS NEW WORLD A	0.00	1.27	0.00	1.27	90.0	1.14
06/01/2017	RAMUS, MICHELLE	AM FUNDS NEW WORLD A	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS NEW WORLD A	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2017	GRIME, STEPHEN	AM FUNDS NEW WORLD A	0.00	10.12	0.00	10.12	90.0	9.11
06/01/2017	MCARDLE, SHAWN	AM FUNDS NEW WORLD A	0.00	4.32	0.00	4.32	90.0	3.89
06/01/2017	MCARDLE, LINDA	AM FUNDS NEW WORLD A	0.00	4.16	0.00	4.16	90.0	3.74
06/01/2017	HARKELROAD, JOY	AM FUNDS NEW WORLD A	0.00	2.56	0.00	2.56	90.0	2.30
06/01/2017	GOODMAN, STEPHANIE	AM FUNDS NEW WORLD A	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2017	SIEBER, AARON	AM FUNDS NEW WORLD A	0.00	8.55	0.00	8.55	90.0	7.70
06/01/2017	URICK, DAVID	AM FUNDS NEW WORLD A	0.00	1.92	0.00	1.92	90.0	1.73
06/01/2017	HECKLER, MARTHA	AM FUNDS NEW WORLD A	0.00	1.87	0.00	1.87	90.0	1.68
06/01/2017	COLGLAZIER, LISA	AM FUNDS NEW WORLD A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	SORG, MARK	AM FUNDS NEW WORLD A	0.00	2.92	0.00	2.92	90.0	2.63
06/01/2017	LAWSON TRUST	AM FUNDS NEW WORLD A	0.00	19.53	0.00	19.53	90.0	17.58
06/01/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	0.00	4.67	0.00	4.67	90.0	4.20
06/01/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.20	0.00	5.20	90.0	4.68
06/01/2017	KUNKEL, AMELIA	AM FUNDS NEW WORLD A	0.00	2.19	0.00	2.19	90.0	1.97
06/01/2017	GALENTINE, ASHLEY	AM FUNDS NEW WORLD A	0.00	2.27	0.00	2.27	90.0	2.04
06/01/2017	AMARO, ETHAN	AM FUNDS NEW WORLD A	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	DEATRICK, AMANDA	AM FUNDS NEW WORLD A	0.00	12.47	0.00	12.47	90.0	11.22
06/01/2017	MIZNER, FREDRIC	AM FUNDS NEW WORLD A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	MUSKIN, ANDREW	AM FUNDS NEW WORLD A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	MUSKIN, VERONICA	AM FUNDS NEW WORLD A	0.00	2.17	0.00	2.17	90.0	1.95
06/01/2017	OAKES, ERIN	AM FUNDS NEW WORLD A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	MULDOON, MARGARET	AM FUNDS NEW WORLD A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	COGDELL, CARY	AM FUNDS NEW WORLD A	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	COGDELL, JENNIFER	AM FUNDS NEW WORLD A	0.00	2.07	0.00	2.07	90.0	1.86
06/01/2017	NICOLET, MICHAEL	AM FUNDS NEW WORLD A	0.00	12.66	0.00	12.66	90.0	11.39
06/01/2017	DELAGARZA, DEBRA	AM FUNDS NEW WORLD A	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2017	BROOKS, AUSTIN	AM FUNDS NEW WORLD A	0.00	0.20	0.00	0.20	90.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	BROOKS, COURTNEY	AM FUNDS NEW WORLD A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	BROOKS, ALLISON	AM FUNDS NEW WORLD A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	BROOKS, SHARON	AM FUNDS NEW WORLD A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	HERBER, TRINA	AM FUNDS NEW WORLD A	0.00	4.29	0.00	4.29	90.0	3.86
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS NEW WORLD A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	OTIS, JASON	AM FUNDS NEW WORLD A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	SAMELS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	STANSBERRY, NANCY	AM FUNDS NEW WORLD A	0.00	1.94	0.00	1.94	90.0	1.75
06/01/2017	GEVERINK, RAYMOND	AM FUNDS NEW WORLD A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	GEVERINK, ANN	AM FUNDS NEW WORLD A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	NEWBAUER, COLSTON	AM FUNDS NEW WORLD A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2017	OAKES, ANDREW	AM FUNDS NEW WORLD A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	ZINN, JEANNETTE	AM FUNDS NEW WORLD A	0.00	4.69	0.00	4.69	90.0	4.22
06/01/2017	SHERIDAN, BROOKE	AM FUNDS NEW WORLD A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	NEWBAUER, COLSTON	AM FUNDS NEW WORLD A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	GRIME, STEPHEN	AM FUNDS NEW WORLD A	0.00	3.51	0.00	3.51	90.0	3.16
06/01/2017	HEES, TAMERA	AM FUNDS NEW WORLD A	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2017	SIEGER, RODNEY	AM FUNDS NEW WORLD A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	SORG, BECKY	AM FUNDS NEW WORLD A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	SENGER, LYNDIA	AM FUNDS NEW WORLD A	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2017	HERRIMAN, JANET	AM FUNDS NEW WORLD A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	THURSTON, TIMOTHY	AM FUNDS NEW WORLD A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	WILBANKS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	BYRUM JR, GERALD	AM FUNDS NEW WORLD A	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2017	DIETSCH, DANIEL	AM FUNDS NEW WORLD A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	DIETSCH, RHONDA	AM FUNDS NEW WORLD A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	0.00	3.18	0.00	3.18	90.0	2.86
06/01/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2017	VLOT, KATHRYN	AM FUNDS NEW WORLD A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	WEAVER, JACOB	AM FUNDS NEW WORLD A	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2017	COCKROFT, EDYA	AM FUNDS NEW WORLD A	0.00	0.45	0.00	0.45	90.0	0.41

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	EAGLESON, MYSTEE	AM FUNDS NEW WORLD A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	JONES, TIM	AM FUNDS NEW WORLD A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	WARSTLER, MARK	AM FUNDS NEW WORLD A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	ELIAS, CRISTA	AM FUNDS NEW WORLD A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	MCFEETERS, RYAN	AM FUNDS NEW WORLD A	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2017	NEWBAUER, CAMERON	AM FUNDS NEW WORLD A	0.00	5.58	0.00	5.58	90.0	5.02
06/01/2017	HARKELROAD, JOY	AM FUNDS NEW WORLD C	0.00	15.10	0.00	15.10	90.0	13.59
06/01/2017	DRUHOT, SCOTT	AM FUNDS NEW WORLD C	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2017	DRUHOT, SCOTT	AM FUNDS NEW WORLD FUND F1	0.00	0.08	0.00	0.08	90.0	0.07
05/25/2017	PELFREY, KRISTEN	AM FUNDS SHORT TERM BND FUND OF AM	825.00	16.50	0.00	16.50	90.0	14.85
05/25/2017	PELFREY, TODD	AM FUNDS SHORT TERM BND FUND OF AM	1,100.00	22.00	0.00	22.00	90.0	19.80
05/31/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
06/01/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2017	SHANK, DEAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2017	SHANK, CAROLE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	HOFFMAN, JOANN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.22	0.00	1.22	90.0	1.10
06/01/2017	HOFFMAN, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	EAGLESON, MARTHA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.90	0.00	2.90	90.0	2.61
06/01/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.30	0.00	3.30	90.0	2.97
06/01/2017	MURPHY, SUSAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	EAGLESON, MARTHA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.03	0.00	3.03	90.0	2.73
06/01/2017	NICOLET, CHERYL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	8.58	0.00	8.58	90.0	7.72
06/01/2017	WILKIN, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2017	COCKROFT, EDYTHE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.78	0.00	1.78	90.0	1.60
06/01/2017	SIEBER, JANE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.69	0.00	2.69	90.0	2.42
06/01/2017	SIEBER, PAUL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	RATTY, MICHAEL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.06	0.00	1.06	90.0	0.95
06/01/2017	COCKROFT, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	SIMPSON, RONALD	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	KUMFER, JOSEPH	AM FUNDS SHORT TERM BND FUND OF AM	0.00	3.78	0.00	3.78	90.0	3.40
06/01/2017	HELLER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	4.36	0.00	4.36	90.0	3.92

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	PER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.84	0.00	0.84	90.0	0.76
06/01/2017	EAGLESON, ROSS	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.44	0.00	1.44	90.0	1.30
06/01/2017	SHANK, CAROLE	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2017	BLAIR, BRIAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2017	EAGLESON, ROSS	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.38	0.00	1.38	90.0	1.24
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.05	0.00	2.05	90.0	1.85
06/01/2017	SIEBER, PAUL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.81	0.00	2.81	90.0	2.53
06/01/2017	SIEBER, AARON	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.39	0.00	1.39	90.0	1.25
06/01/2017	RATTY, MARY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.17	0.00	1.17	90.0	1.05
06/01/2017	LINSKY, MARCIA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	7.71	0.00	7.71	90.0	6.94
06/01/2017	WINANS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	COCKROFT, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	CZARNECKI, DENNIS	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	PARROT, JUDITH	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.59	0.00	0.59	90.0	0.53
06/01/2017	CACCAMO, MICHAEL	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.76	0.00	0.76	90.0	0.68
06/01/2017	COGDELL, CARY	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.71	0.00	2.71	90.0	2.44
06/01/2017	LOWDEN, JAMES	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2017	HERBER, MARK	AM FUNDS SHORT TERM BND FUND OF AM	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	REBHOLZ, SUSANNA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	LYTLE, KEAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2017	HELLER, ADAM	AM FUNDS SHORT TERM BND FUND OF AM	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2017	SULLIVAN, DIAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	SULLIVAN, DIAN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	SIMPSON, DAYNA	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
06/01/2017	BRUNTON, EMILY	AM FUNDS SMALLCAP WORLD A	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	COLGLAZIER, STACEY	AM FUNDS SMALLCAP WORLD A	0.00	3.73	0.00	3.73	90.0	3.36
06/01/2017	SCHISLER, SHARON	AM FUNDS SMALLCAP WORLD A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	PATEL, GITA	AM FUNDS SMALLCAP WORLD A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	GOODMAN, STEPHANIE	AM FUNDS SMALLCAP WORLD A	0.00	0.53	0.00	0.53	90.0	0.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	24.12	0.00	24.12	90.0	21.71
06/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	3.95	0.00	3.95	90.0	3.56
06/01/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	0.00	12.54	0.00	12.54	90.0	11.29
06/01/2017	PATEL, JASWANT	AM FUNDS SMALLCAP WORLD A	0.00	3.06	0.00	3.06	90.0	2.75
06/01/2017	MURPHY, SHARI	AM FUNDS SMALLCAP WORLD A	0.00	64.40	0.00	64.40	90.0	57.96
06/01/2017	MURPHY, SHARI	AM FUNDS SMALLCAP WORLD A	0.00	3.86	0.00	3.86	90.0	3.47
06/01/2017	MURPHY, LOU	AM FUNDS SMALLCAP WORLD A	0.00	9.42	0.00	9.42	90.0	8.48
06/01/2017	MURPHY, WILLIAM	AM FUNDS SMALLCAP WORLD A	0.00	15.35	0.00	15.35	90.0	13.82
06/01/2017	GOODMAN, LAUREN	AM FUNDS SMALLCAP WORLD-529A	0.00	1.54	0.00	1.54	90.0	1.39
06/01/2017	GOODMAN, LAUREN	AM FUNDS SMALLCAP WORLD-529A	0.00	1.27	0.00	1.27	90.0	1.14
06/01/2017	LOWDEN, KATHERINE	AM FUNDS TAX-EXEMPT BOND FUND OF AM	0.00	15.15	0.00	15.15	90.0	13.64
06/01/2017	HELLER, ADAM	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	3.64	0.00	3.64	90.0	3.28
06/01/2017	KEMERLY, JASON	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2017	REMENSCHNEIDER, MARY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	3.49	0.00	3.49	90.0	3.14
06/01/2017	SIEBER, PAUL	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.28	0.00	4.28	90.0	3.85
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	2.59	0.00	2.59	90.0	2.33
06/01/2017	LINSKY, MARCIA	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	8.46	0.00	8.46	90.0	7.61
06/01/2017	CACCAMO, MICHAEL	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2017	WILLIAMS, BEVERLY	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	1.32	0.00	1.32	90.0	1.19
06/01/2017	O'CONNELL, KATHLEEN	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	HELLER, ADAM	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	2.01	0.00	2.01	90.0	1.81
06/01/2017	CAPPLEMAN, BETTIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	12.26	0.00	12.26	90.0	11.03
06/01/2017	KAUFFMAN, KATIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	7.80	0.00	7.80	90.0	7.02
05/30/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87
05/30/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	90.0	3.83
05/30/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	78.05	1.25	0.00	1.25	90.0	1.13
05/30/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	78.05	1.25	0.00	1.25	90.0	1.13
06/01/2017	POORMAN, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.69	0.00	1.69	90.0	1.52
06/01/2017	PINNELL, J MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	FISCHER, HELEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	WILLING, AARON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.48	0.00	3.48	90.0	3.13
06/01/2017	TULLOCH, CAROLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.68	0.00	0.68	90.0	0.61

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	SIMPSON, LYLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.66	0.00	8.66	90.0	7.79
06/01/2017	SIMPSON, KATHRYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.92	0.00	8.92	90.0	8.03
06/01/2017	BROCKMANO, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2017	BAILEY, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.76	0.00	6.76	90.0	6.08
06/01/2017	CALKINS, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2017	KLITZMAN, RANDALL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2017	DILLIE, KERI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.76	0.00	6.76	90.0	6.08
06/01/2017	BAILEY, BRUCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	15.88	0.00	15.88	90.0	14.29
06/01/2017	BAILEY, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.09	0.00	3.09	90.0	2.78
06/01/2017	MORHART, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2017	MORHART, KAY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.03	0.00	4.03	90.0	3.63
06/01/2017	MEIER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2017	SWAIDNER, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.53	0.00	3.53	90.0	3.18
06/01/2017	NEWBAUER, JOSHUA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2017	MCDUGAL, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.41	0.00	1.41	90.0	1.27
06/01/2017	WIKTOROWICZ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.07	0.00	1.07	90.0	0.96
06/01/2017	MURPHY, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.57	0.00	3.57	90.0	3.21
06/01/2017	SAINSBURY, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.09	0.00	4.09	90.0	3.68
06/01/2017	SCHAEFER, LOLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.59	0.00	2.59	90.0	2.33
06/01/2017	AMARO, ALEXANDER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.22	0.00	8.22	90.0	7.40
06/01/2017	BRUNTON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	BRUNTON, JOSEI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	BRUNTON, AKTSGA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	BRUNTON, RYAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	BURNS, MARCIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2017	MORHART, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.40	0.00	3.40	90.0	3.06
06/01/2017	WEAVER, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.34	0.00	1.34	90.0	1.21
06/01/2017	VIELKIND, LAWRENCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.39	0.00	2.39	90.0	2.15
06/01/2017	WOEHNKER, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	FRANKS, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.31	0.00	2.31	90.0	2.08
06/01/2017	SAMMETINGER, EVELYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.43	0.00	1.43	90.0	1.29
06/01/2017	MILLER, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.54	0.00	8.54	90.0	7.69
06/01/2017	BOKHART, GORDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.86	0.00	7.86	90.0	7.07

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	TULLOCH, CAROLYN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	OAKES, ERIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.13	0.00	1.13	90.0	1.02
06/01/2017	BRUNTON, GABRIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	WILLIAM, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	AMBRIOLE, JANICE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	MUSSEY, LORI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.59	0.00	11.59	90.0	10.43
06/01/2017	CLARK, KATHLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.21	0.00	2.21	90.0	1.99
06/01/2017	MURPHY, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	WEIDES, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.63	0.00	5.63	90.0	5.07
06/01/2017	ZINN, DIANE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.69	0.00	3.69	90.0	3.32
06/01/2017	LEVERMAN, PENNY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.29	0.00	4.29	90.0	3.86
06/01/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.64	0.00	7.64	90.0	6.88
06/01/2017	GALENTINE, ASHLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	SORG, RIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.24	0.00	2.24	90.0	2.02
06/01/2017	CSUHTA, JULIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.85	0.00	2.85	90.0	2.57
06/01/2017	AMARO, SOPHIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.49	0.00	6.49	90.0	5.84
06/01/2017	BENSON, KARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	ZIMMERMAN, PHYLLIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.02	0.00	4.02	90.0	3.62
06/01/2017	WINFIELD, APRIL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2017	MAHONY, KAMI LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2017	NICOLET, CHERYL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.47	0.00	14.47	90.0	13.02
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.91	0.00	2.91	90.0	2.62
06/01/2017	SAINSBURY, DALE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.87	0.00	1.87	90.0	1.68
06/01/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.64	0.00	6.64	90.0	5.98
06/01/2017	WILKIN, CYNTHIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2017	COCKROFT, EDYTHE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.05	0.00	4.05	90.0	3.65
06/01/2017	URICK, DAVID	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.27	0.00	5.27	90.0	4.74
06/01/2017	WIGGINS, CHRISTA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	ASCHLIMAN, BRETT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	RATTY, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.64	0.00	1.64	90.0	1.48
06/01/2017	GALENTINE, ASHLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2017	AMARO, ETHAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.36	0.00	1.36	90.0	1.22

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MUSKIN, VERONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.19	0.00	3.19	90.0	2.87
06/01/2017	MULDOON, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	COGDELL, CARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2017	NICOLET, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.57	0.00	7.57	90.0	6.81
06/01/2017	DELAGARZA, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.43	0.00	2.43	90.0	2.19
06/01/2017	HERBER, TRINA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.27	0.00	5.27	90.0	4.74
06/01/2017	DERBYSHIRE, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2017	SAMELS, GREGORY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	STANSBERRY, NANCY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.87	0.00	2.87	90.0	2.58
06/01/2017	MEIER, KIMBERLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	GEVERINK, RAYMOND	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2017	GEVERINK, ANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2017	OAKES, ANDREW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	ZINN, JEANNETTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.24	0.00	8.24	90.0	7.42
06/01/2017	HEES, TAMERA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.94	0.00	3.94	90.0	3.55
06/01/2017	CACCAMO TRUST	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.87	0.00	12.87	90.0	11.58
06/01/2017	FLORENCE, SHIRLEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.04	0.00	1.04	90.0	0.94
06/01/2017	LOWDEN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.94	0.00	16.94	90.0	15.25
06/01/2017	DEJOHN, SHARLEEN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.78	0.00	10.78	90.0	9.70
06/01/2017	NEWBAUER, CAMERON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.42	0.00	4.42	90.0	3.98
06/01/2017	MURPHY, LOU	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.12	0.00	9.12	90.0	8.21
06/01/2017	MURPHY, WILLIAM	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.64	0.00	14.64	90.0	13.18
06/01/2017	BRUNTON, ZACHARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2017	ZINN, JEFFREY	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.73	0.00	2.73	90.0	2.46
06/01/2017	MESEKE, GILBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.99	0.00	1.99	90.0	1.79
06/01/2017	LAYMAN, VICKI	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2017	WILKIN, CYNTHIA	AMERICAN FUNDS CORPORATE BOND FD A	0.00	7.01	0.00	7.01	90.0	6.31
06/01/2017	RAMEY, MELISSA	AMERICAN FUNDS CORPORATE BOND FD A	0.00	0.34	0.00	0.34	90.0	0.31
05/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.43	0.00	1.43	90.0	1.29
05/26/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	22.50	1.07	0.00	1.07	90.0	0.96
05/31/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	90.0	0.77

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.90	0.00	1.90	90.0	1.71
05/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.43	0.00	1.43	90.0	1.29
05/24/2017	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	152.00	7.22	0.00	7.22	90.0	6.50
05/31/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
05/26/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
05/31/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
05/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
05/24/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	152.00	4.94	0.00	4.94	90.0	4.45
05/26/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
05/31/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
06/06/2017	STANSBERRY, NANCY	ASCENSUS INA PIMCO TOTAL RETURN POR	1,250.00	40.63	0.00	40.63	90.0	36.57
06/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
06/07/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
06/08/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
05/26/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
06/06/2017	STANSBERRY, NANCY	ASCENSUS INA SHORT TERM TREASURY IN	1,250.00	40.63	0.00	40.63	90.0	36.57
06/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
06/07/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
05/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
05/24/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	608.00	28.88	0.00	28.88	90.0	25.99
05/26/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96
05/31/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
06/02/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
06/08/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	160.00	7.60	0.00	7.60	90.0	6.84
06/06/2017	STANSBERRY, NANCY	ASCENSUS INA TIPS PORTFOLIO CL A	1,250.00	40.63	0.00	40.63	90.0	36.57
06/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
06/07/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
05/22/2017	BAILEY, BRUCE	ASCENSUS-INA BLACKROCK LG CAP VALUE	500.00	23.75	0.00	23.75	90.0	21.38
05/22/2017	BAILEY, BRUCE	ASCENSUS-INA BLACKROCK LG CAP VALUE	500.00	23.75	0.00	23.75	90.0	21.38
05/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
05/24/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	608.00	28.88	0.00	28.88	90.0	25.99
05/26/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
05/31/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/02/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
06/06/2017	STANSBERRY, NANCY	ASCENSUS-INA BLACKROCK LG CAP VALUE	1,250.00	59.38	0.00	59.38	90.0	53.44
06/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
06/07/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
06/08/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	160.00	7.60	0.00	7.60	90.0	6.84
05/31/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
05/31/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
04/30/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.22	0.00	0.22	90.0	0.20
04/30/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.48	0.00	0.48	90.0	0.43
05/25/2017	BENEFICIARY, ELLIOTT	INFLATION LINKED BOND FUND A	5,555.49	111.11	0.00	111.11	90.0	100.00
06/01/2017	EAGLESON, MARTHA	INFLATION LINKED BOND FUND A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2017	EAGLESON, MARTHA	INFLATION LINKED BOND FUND A	0.00	3.09	0.00	3.09	90.0	2.78
06/01/2017	NICOLET, CHERYL	INFLATION LINKED BOND FUND A	0.00	8.52	0.00	8.52	90.0	7.67
06/01/2017	WILKIN, CYNTHIA	INFLATION LINKED BOND FUND A	0.00	4.18	0.00	4.18	90.0	3.76
06/01/2017	BUSH, RANDALL	INFLATION LINKED BOND FUND A	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2017	HELLER, ADAM	INFLATION LINKED BOND FUND A	0.00	4.51	0.00	4.51	90.0	4.06
06/01/2017	PER, ADAM	INFLATION LINKED BOND FUND A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	KEMERLY, JASON	INFLATION LINKED BOND FUND A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	LINSKY, MARCIA	INFLATION LINKED BOND FUND A	0.00	7.84	0.00	7.84	90.0	7.06
06/01/2017	BUSH, SANDRA	INFLATION LINKED BOND FUND A	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2017	CACCAMO, MICHAEL	INFLATION LINKED BOND FUND A	0.00	1.41	0.00	1.41	90.0	1.27
06/01/2017	LYTLE, KEAN	INFLATION LINKED BOND FUND A	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2017	HELLER, ADAM	INFLATION LINKED BOND FUND A	0.00	1.66	0.00	1.66	90.0	1.49
06/01/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2017	SULLIVAN, DIAN	INFLATION LINKED BOND FUND A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	SULLIVAN, DIAN	INFLATION LINKED BOND FUND A	0.00	1.90	0.00	1.90	90.0	1.71
06/01/2017	RAMEY, MELISSA	INFLATION LINKED BOND FUND A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	RAMEY, MELISSA	INFLATION LINKED BOND FUND A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	AKE, HARMONY	INFLATION LINKED BOND FUND A	0.00	0.07	0.00	0.07	90.0	0.06
06/02/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.47	0.00	2.47	90.0	2.22
* MUTUAL FUNDS SUBTOTAL *			\$52,738.35	\$8,842.58	\$0.00	\$8,842.58		\$7,959.02

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,048.12	8.35	0.00	8.35	90.0	7.52
05/31/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,546.99	13.56	0.00	13.56	90.0	12.20
05/31/2017	SIEBER, PAUL	JNL PERSPECTIVE II	66,386.45	27.66	0.00	27.66	90.0	24.89
* VARIABLE ANNUITIES SUBTOTAL *			\$118,981.56	\$49.57	\$0.00	\$49.57		\$44.61
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.66	0.00	0.66	90.0	0.59
05/15/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.76	0.00	0.76	90.0	0.68
05/15/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.06	0.00	1.06	90.0	0.95
05/15/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.41	0.00	0.41	90.0	0.37
05/15/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	10.89	0.00	10.89	90.0	9.80
05/15/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.42
05/15/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.88	0.00	0.88	90.0	0.79
05/15/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	90.0	0.48
05/15/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.44	0.00	0.44	90.0	0.40
05/15/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.73	0.00	0.73	90.0	0.66
05/15/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.47	0.00	0.47	90.0	0.42
05/15/2017	VAN AUKEN, KAREN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.67	0.00	0.67	90.0	0.60
05/15/2017	VAN AUKEN, KAREN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09
05/15/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.11	0.00	1.11	90.0	1.00
05/15/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.42	0.00	0.42	90.0	0.38
05/15/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.32	0.00	8.32	90.0	7.49
05/15/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.55	0.00	0.55	90.0	0.50
05/15/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2017	VAN AUKEN, KAREN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.09	0.00	0.09	90.0	0.08
05/15/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	0.41	0.00	0.41	90.0	0.37
05/15/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	90.0	0.63
05/15/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.67	0.00	5.67	90.0	5.10
05/15/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.19
05/15/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.61	0.00	4.61	90.0	4.15
05/15/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
05/15/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.85	0.00	5.85	90.0	5.27
05/15/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.25	0.00	0.25	90.0	0.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	VAN AUKEN, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
05/15/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.24	0.00	4.24	90.0	3.82
05/15/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	90.0	0.44
05/15/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.44	0.00	5.44	90.0	4.90
05/15/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.51	0.00	0.51	90.0	0.46
05/15/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2017	VAN AUKEN, KAREN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.09	0.00	0.09	90.0	0.08
05/15/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	0.41	0.00	0.41	90.0	0.37
05/15/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	5.42	0.00	5.42	90.0	4.88
05/15/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	4.75	0.00	4.75	90.0	4.28
05/15/2017	VAN AUKEN, KAREN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.05	0.00	0.05	90.0	0.05
05/15/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.82	0.00	0.82	90.0	0.74
05/15/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	0.84	0.00	0.84	90.0	0.76
05/31/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.30	0.00	8.30	90.0	7.47
05/11/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.57	0.00	0.57	90.0	0.51
05/11/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.57	0.00	0.57	90.0	0.51
05/11/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.56	0.00	0.56	90.0	0.50
05/11/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.56	0.00	0.56	90.0	0.50
05/11/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.14	0.00	2.14	90.0	1.93
05/15/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.19	0.00	0.19	90.0	0.17
05/15/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.06	0.00	0.06	90.0	0.05
05/15/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.19	0.00	0.19	90.0	0.17
05/15/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.31	0.00	0.31	90.0	0.28
05/22/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.78	0.00	0.78	90.0	0.70
05/22/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.00	0.00	5.00	90.0	4.50
05/22/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.86	0.00	1.86	90.0	1.67
05/11/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.31	0.00	10.31	90.0	9.28
05/17/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.29	0.00	7.29	90.0	6.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$108.81	\$0.00	\$108.81		\$97.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/17/2017	ROSS, MICHAEL	LFG TRAILS	0.00	172.61	0.00	172.61	90.0	155.35
05/21/2017	FLEEGER, JANICE	TRANSAMERICA	0.00	64.44	0.00	64.44	90.0	58.00
05/25/2017	SHANK, DEAN	ING ACCESS	0.00	273.65	0.00	273.65	90.0	246.29

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$510.70	\$0.00	\$510.70		\$459.64
*** BROKER TRANSACTIONS TOTAL ***			\$171,719.91	\$9,585.86	\$0.00	\$9,585.86		\$8,628.00

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
05/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.97	0.00	2.97	90.0	2.67
05/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/01/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	0.00	1.01	0.00	1.01	90.0	0.91
05/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	109.23	1.63	0.00	1.63	90.0	1.47
05/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	109.23	1.63	0.00	1.63	90.0	1.47
06/01/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	0.00	0.70	0.00	0.70	90.0	0.63
05/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.71
05/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/01/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	DREW, KEVIN	AM FUNDS 2050 TARGET DATE-A	0.00	1.34	0.00	1.34	90.0	1.21
05/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	230.76	3.44	0.00	3.44	90.0	3.10
05/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	138.46	2.06	0.00	2.06	90.0	1.85
06/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	0.00	4.63	0.00	4.63	90.0	4.17
06/01/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	0.00	1.18	0.00	1.18	90.0	1.06
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.19
05/15/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
05/15/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
05/15/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
05/26/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
05/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
06/01/2017	WILLIAMS, RENETTA	AM FUNDS AMERICAN BALANCED A	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2017	VICKREY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.41	0.00	0.41	90.0	0.37
06/01/2017	FITCH, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	1.73	0.00	1.73	90.0	1.56
06/01/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	0.00	0.72	0.00	0.72	90.0	0.65
06/01/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	0.00	0.89	0.00	0.89	90.0	0.80
06/01/2017	LITWINKO, TERI	AM FUNDS AMERICAN BALANCED A	0.00	0.52	0.00	0.52	90.0	0.47

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	GARNER, DOMONIQUE	AM FUNDS AMERICAN BALANCED A	0.00	0.83	0.00	0.83	90.0	0.75
06/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN BALANCED A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	STRACK, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	SCHENKEL, HUNTER	AM FUNDS AMERICAN BALANCED A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	STANLEY, LAURA	AM FUNDS AMERICAN BALANCED A	0.00	1.34	0.00	1.34	90.0	1.21
06/01/2017	STANLEY, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.92	0.00	0.92	90.0	0.83
06/01/2017	BROWN, KAY	AM FUNDS AMERICAN BALANCED A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	HABESHY, MAGDY	AM FUNDS AMERICAN BALANCED C	0.00	4.93	0.00	4.93	90.0	4.44
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	WOODSON, SHELDON	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	STANLEY, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.54	0.00	0.54	90.0	0.49
06/01/2017	WILLIAMS, RENETTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.56	0.00	0.56	90.0	0.50
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	ROOS, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.15	0.00	1.15	90.0	1.04
06/01/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	VICKREY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	SCHENKE, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2017	FITCH, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2017	WOODSON, SHELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	ERVIN, DERRICK	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.00	0.00	0.00	90.0	0.00
06/01/2017	STANLEY, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	90.0	0.23
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
06/01/2017	SCHENKE, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.40	0.00	0.40	90.0	0.36
05/15/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
05/30/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
05/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51
06/01/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.45	0.00	0.45	90.0	0.41

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
06/01/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	VICKREY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	SCHENKE, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.78	0.00	1.78	90.0	1.60
06/01/2017	FITCH, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	WOODSON, SHELDON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	ERVIN, DERRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
06/01/2017	STRACK, ARTHUR	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	STANLEY, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2017	SCHENKE, JOSEPH	AM FUNDS ICA A	0.00	0.05	0.00	0.05	90.0	0.05
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	WILLIAMS, RENETTA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.53	0.00	0.53	90.0	0.48
06/01/2017	SCHENKE, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	WOODSON, SHELDON	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	WOODSON, SHELDON	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW PERSPECTIVE A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	SCHENKE, JOSEPH	AM FUNDS NEW WORLD A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	WOODSON, SHELDON	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.08	0.00	0.08	90.0	0.07
05/15/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
05/26/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
05/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
06/02/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/02/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
06/02/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
05/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
06/02/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
05/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
05/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
06/06/2017	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	1,291.03	14.68	0.00	14.68	90.0	13.21
05/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
06/02/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
06/02/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
06/02/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/02/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
05/22/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.95	0.00	14.95	90.0	13.46
05/24/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.95	0.00	0.95	90.0	0.86
05/18/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.97	0.00	3.97	90.0	3.57
05/24/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.17	0.00	0.17	90.0	0.15
05/17/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.61	0.00	0.61	90.0	0.55
05/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	STANLEY, JOHN	INFLATION LINKED BOND FUND A	0.00	0.19	0.00	0.19	90.0	0.17
05/17/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	1.18	0.00	1.18	90.0	1.06
05/12/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.14	0.00	0.14	90.0	0.13
05/22/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.27	0.00	1.27	90.0	1.14
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$3,887.55	\$115.87	\$0.00	\$115.87		\$104.38
*** SPLIT TRANSACTIONS TOTAL ***			\$3,887.55	\$115.87	\$0.00	\$115.87		\$104.38

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM LAYMAN #207</u>								
06/01/2017	LAYMAN, GEOFFREY	AM FUNDS AMERICAN BALANCED A	0.00	1.28	0.00	1.28	45.0	0.58
06/01/2017	BOUTWELL, SHANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.96	0.00	35.96	45.0	16.18
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS 529 2031 ENROLLMENT PORT A	986.00	46.84	0.00	46.84	45.0	21.08
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS INA 2019 ENROLLMENT PORT C	2,661.00	126.40	0.00	126.40	45.0	56.88
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS INA 2022 ENROLLMENT PTF A	1,996.00	94.81	0.00	94.81	45.0	42.66
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	INA 2025 ENROLLMENT PORTFOLIO CLASS	1,996.00	94.81	0.00	94.81	45.0	42.66
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	1,585.00	75.29	0.00	75.29	45.0	33.88
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	2,037.00	96.76	0.00	96.76	45.0	43.54
05/23/2017	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	2,037.00	96.76	0.00	96.76	45.0	43.54
* WILLIAM LAYMAN SUBTOTAL *			\$13,298.00	\$668.91	\$0.00	\$668.91		\$301.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$13,298.00	\$668.91	\$0.00	\$668.91		\$301.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*EO INSURANCE: CURRENT MONTH INS-BI	0.00					-300.00
06/15/2017		*TECH: ADMIN J WRIGHT	0.00					-100.00
06/15/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
06/15/2017		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-BIL	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,450.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,628.00
Split Transactions	\$104.38
Override Transactions	\$301.00
Adjustments	\$-1,450.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,583.38
Year-to-date Gross	\$30,048.34
Year-to-date Earnings	\$26,320.31

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