



Concorde Investment Services, LLC

08/14/2018

Page No. 1

**COMMISSION STATEMENT****August 15, 2018****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
07/31/2018	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	75,240.00	0.00	0.00	0.00	100.0	0.00
07/31/2018	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-76,000.00	0.00	0.00	0.00	100.0	0.00
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$-760.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/06/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
08/01/2018	SNEAD, SHELLI	AM FUNDS GROWTH FUND OF AMERICA A	-598.90	22.00	13.00	9.00	100.0	9.00
08/06/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	13.50	0.00	13.50	100.0	13.50
07/18/2018	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	2.04	0.00	2.04	100.0	2.04
08/07/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-7,902.00	0.00	0.00	0.00	100.0	0.00
08/07/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	7,645.18	0.00	0.00	0.00	100.0	0.00
08/07/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-7,890.00	0.00	0.00	0.00	100.0	0.00
08/07/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	7,633.57	0.00	0.00	0.00	100.0	0.00
08/07/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	7,902.00	0.00	0.00	0.00	100.0	0.00
08/07/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-7,645.18	0.00	0.00	0.00	100.0	0.00
08/06/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	3.00	-0.72	100.0	-0.72
08/06/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
08/06/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	3.00	-0.73	100.0	-0.73
08/06/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
08/06/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	3.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/10/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	3.00	-1.00	100.0	-1.00
08/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
08/10/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$83.74</b>	<b>\$47.09</b>	<b>\$25.00</b>	<b>\$22.09</b>		<b>\$22.09</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-21.16	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,116.00	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-1,289.54	0.00	1.00	-1.00	100.0	-1.00
08/02/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	22,470.75	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES CURRENCY HEDGED	11,759.19	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES CURRENCY HEDGED	11,759.19	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES TR	18,235.81	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES TR	18,235.81	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES TR	569.20	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES TR	569.20	0.00	0.00	0.00	100.0	0.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	-51,535.25	0.00	0.00	0.00	100.0	0.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,612.75	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	5,102.50	0.00	1.00	-1.00	100.0	-1.00
07/24/2018	CONCORDE IS	ISHARES TR JPMORGAN USD EMERGING MK	650.28	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES TR JPMORGAN USD EMERGING MK	650.28	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	4,111.05	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	ISHARES TR S&P 500 INDEX FD	4,111.05	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES U S ETF TR	7,269.81	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES U S ETF TR	7,269.80	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES U S TREASURY BOND	122.35	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	ISHARES U S TREASURY BOND	122.35	0.00	0.00	0.00	100.0	0.00
08/01/2018	SNEAD, SHELLI	JOHNSON & JOHNSON COM	-14,452.70	22.00	13.50	8.50	100.0	8.50
07/30/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	-9,865.92	0.00	0.00	0.00	100.0	0.00
07/30/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,436.13	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,435.99	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	121.80	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,436.00	0.00	1.00	-1.00	100.0	-1.00
07/30/2018	CONCORDE, VARIOUS	NORTHERN LTS FD TR IV INSPIRE CORPO	2,435.99	0.00	1.00	-1.00	100.0	-1.00
08/08/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-4,420.25	0.00	0.00	0.00	100.0	0.00
08/08/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	2,467.97	0.00	1.00	-1.00	100.0	-1.00
08/08/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,952.28	0.00	1.00	-1.00	100.0	-1.00
07/05/2018	CONCORDE IS	POWERSHARES ACTIVELY MANAGED EXCHAN	346.18	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	POWERSHARES ACTIVELY MANAGED EXCHAN	346.18	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	49,733.18	0.00	0.00	0.00	100.0	0.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.29	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.32	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-10,870.66	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.32	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-815.29	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.33	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.32	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.33	0.00	1.00	-1.00	100.0	-1.00
08/06/2018	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-5,435.32	0.00	1.00	-1.00	100.0	-1.00
07/24/2018	CONCORDE IS	SPDR INDEX SHARES FUNDS	4,865.21	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/24/2018	CONCORDE IS	SPDR INDEX SHARES FUNDS	4,865.21	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	294.12	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	294.12	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	1,252.86	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR PORTFOLIO SMALL CAP ETF	1,252.86	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	SPDR SER TR BARCLAYS HIGH YIELD BD	70.84	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	SPDR SER TR BARCLAYS HIGH YIELD BD	70.84	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	588.64	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	588.64	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	1,552.88	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	1,552.88	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	1,419.65	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	1,419.66	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	1,213.46	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	1,213.46	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	517.99	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	517.99	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	698.67	0.00	0.00	0.00	100.0	0.00
07/24/2018	CONCORDE IS	SPDR SERIES TRUST	698.67	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	4,765.60	0.00	0.00	0.00	100.0	0.00
07/05/2018	CONCORDE IS	VANGUARD INTL EQUITY INDEX FD INC F	4,765.60	0.00	0.00	0.00	100.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$106,154.92</b>	<b>\$22.00</b>	<b>\$51.50</b>	<b>\$-29.50</b>		<b>\$-29.50</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
07/06/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	36,651.72	0.00	0.00	0.00	100.0	0.00
07/06/2018	CONCORDE, VARIOUS	HSBC USA INC NEW SR IDX ZRO	36,651.72	0.00	0.00	0.00	100.0	0.00
<b>* BONDS SUBTOTAL *</b>			<b>\$73,303.44</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7453 COVERED CALL SELECT CL	-100,009.83	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7453 COVERED CALL SELECT CL	98,009.62	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7494 U S EQUITY CLOSED END	-100,002.30	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7494 U S EQUITY CLOSED END	98,002.54	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7496 GLOBAL EQUITY INCOME	-150,000.00	0.00	17.50	-17.50	100.0	-17.50

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7496 GLOBAL EQUITY INCOME	147,000.00	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7497 MLP CLOSED END PORTFOL	-150,000.00	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7497 MLP CLOSED END PORTFOL	147,000.00	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7531 DIVID & INCOME SELECT	-150,009.48	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	FT UNIT 7531 DIVID & INCOME SELECT	147,009.48	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	UNIT 7434 EQUITY CLOSED END PORT SE	-120,006.48	0.00	17.50	-17.50	100.0	-17.50
07/27/2018	CONCORDE, VARIOUS	UNIT 7434 EQUITY CLOSED END PORT SE	117,606.30	0.00	17.50	-17.50	100.0	-17.50
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$-15,400.15</b>	<b>\$0.00</b>	<b>\$210.00</b>	<b>\$-210.00</b>		<b>\$-210.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>			<b>\$163,381.95</b>	<b>\$69.09</b>	<b>\$286.50</b>	<b>\$-217.41</b>		<b>\$-217.41</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: INTERVAL FUNDS**

07/23/2018	TWEED, VARIOUS	JAMES ALPHA GLOBAL REAL ESTATE CL A	12,550.35	2.58	0.00	2.58	100.0	2.58
07/23/2018	RENEE TRUST (NEWTON), BEVERLY	JAMES ALPHA GLOBAL REAL ESTATE CL A	1,663.40	0.34	0.00	0.34	100.0	0.34
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$14,213.75</b>	<b>\$2.92</b>	<b>\$0.00</b>	<b>\$2.92</b>		<b>\$2.92</b>

**PRODUCT CATEGORY: 529 PLANS**

07/13/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	-25,000.00	-937.50	0.00	-937.50	100.0	-937.50
07/30/2018	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
08/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
08/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
08/02/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
08/02/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
08/01/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
08/02/2018	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	100.0	2.50
07/30/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$-24,225.00</b>	<b>\$-905.56</b>	<b>\$0.00</b>	<b>\$-905.56</b>		<b>\$-905.56</b>

**PRODUCT CATEGORY: MUTUAL FUNDS**

08/01/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
08/01/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
08/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
08/01/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	100.0	2.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
08/01/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
08/01/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
08/01/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	100.0	2.13
08/01/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
08/01/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
07/25/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
07/25/2018	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
08/01/2018	WHEELER, PAUL	AM FUNDS ICA C	75.00	0.75	0.00	0.75	100.0	0.75
08/01/2018	LOUDERMILK, SCOTT	AM FUNDS ICA C	400.00	4.00	0.00	4.00	100.0	4.00
08/01/2018	LOCKHART, JOSEPH	AM FUNDS ICA C	600.00	6.00	0.00	6.00	100.0	6.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$2,750.00</b>	<b>\$67.84</b>	<b>\$0.00</b>	<b>\$67.84</b>		<b>\$67.84</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/17/2018	LUND, VARIOUS	ADV CENTURY	0.00	63.00	0.00	63.00	100.0	63.00
07/31/2018	LUND, VARIOUS	ADV CENTURY	0.00	466.44	0.00	466.44	100.0	466.44
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$0.00</b>	<b>\$529.44</b>	<b>\$0.00</b>	<b>\$529.44</b>		<b>\$529.44</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
07/25/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	7.49	0.00	7.49	100.0	7.49
08/01/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
08/02/2018	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
07/05/2018	FRINTNER, JUDY	TRANSAMERICA LIFE	17,849.02	35.70	0.00	35.70	100.0	35.70
07/12/2018	RUNDLE, JAY	TRANSAMERICA LIFE	79,480.51	158.96	0.00	158.96	100.0	158.96
07/16/2018	MCGINNIS, JAMES	TRANSAMERICA LIFE	442,434.36	884.87	0.00	884.87	100.0	884.87
08/01/2018	FOELS, BRUCE	TRANSAMERICA LIFE	83.50	1.04	0.00	1.04	100.0	1.04
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$540,071.22</b>	<b>\$1,095.30</b>	<b>\$0.00</b>	<b>\$1,095.30</b>		<b>\$1,095.30</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/11/2018	WIDENER, SUE	ASPIRE AM FUND TRAILS	0.00	26.87	0.00	26.87	100.0	26.87
06/11/2018	KNOX, JEFFRY	ASPIRE AM FUND TRAILS	0.00	153.67	0.00	153.67	100.0	153.67
06/11/2018	WIDENER, SUE	ASPIRE AM FUND TRAILS	0.00	23.43	0.00	23.43	100.0	23.43
06/11/2018	KACZOR, LISA	ASPIRE AM FUND TRAILS	0.00	6.93	0.00	6.93	100.0	6.93
06/11/2018	GIBBS, SHASHA	ASPIRE AM FUND TRAILS	0.00	24.37	0.00	24.37	100.0	24.37
06/11/2018	GIBBS, SHASHA	ASPIRE AM FUND TRAILS	0.00	22.28	0.00	22.28	100.0	22.28
07/31/2018	SIDLOROBEG	DELAWARE VALUE A	0.00	36.98	0.00	36.98	100.0	36.98

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/31/2018	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.52	0.00	8.52	100.0	8.52
07/23/2018	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.59	0.00	1.59	100.0	1.59
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$304.64</b>	<b>\$0.00</b>	<b>\$304.64</b>		<b>\$304.64</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/28/2018	MONROE, JOHN	METLIFE TRAILS	39,618.67	9.90	0.00	9.90	100.0	9.90
07/30/2018	JONES, GREGORY	ING LANDMARK	72,599.43	181.50	0.00	181.50	100.0	181.50
07/30/2018	BROPHY, CHARLES	ING LANDMARK	297,502.52	743.76	0.00	743.76	100.0	743.76
07/31/2018	PEEK, EUNICE	JOHN HANCOCK VANTAGE	0.00	3.27	0.00	3.27	100.0	3.27
07/31/2018	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	0.00	16.22	0.00	16.22	100.0	16.22
07/16/2018	DUFOUR, TERENCE	PAC LIFE INNOVATIVE SELECT	0.00	60.22	0.00	60.22	100.0	60.22
07/16/2018	DUFOUR, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	67.16	0.00	67.16	100.0	67.16
07/26/2018	MACKLER, DANIEL	PAC LIFE PORTFOLIOS	0.00	78.15	0.00	78.15	100.0	78.15
07/31/2018	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	0.00	13.28	0.00	13.28	100.0	13.28
07/31/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	0.00	5.41	0.00	5.41	100.0	5.41
07/31/2018	KNEPPER, MAX	PRU AS ADVISOR PLAN III	0.00	5.41	0.00	5.41	100.0	5.41
07/31/2018	LANE, JOAN	PRU AS APEX II	0.00	24.00	0.00	24.00	100.0	24.00
07/31/2018	LANE, JOAN	PRU AS APEX II	0.00	6.00	0.00	6.00	100.0	6.00
07/31/2018	DAILEY, SUZANNE	PRU AS APEX II	0.00	3.80	0.00	3.80	100.0	3.80
07/31/2018	DAILEY, SUZANNE	PRU AS APEX II	0.00	15.19	0.00	15.19	100.0	15.19
07/31/2018	BOOTS, ROBERTA	PRU AS APEX II	0.00	4.80	0.00	4.80	100.0	4.80
07/31/2018	BOOTS, ROBERTA	PRU AS APEX II	0.00	14.41	0.00	14.41	100.0	14.41
07/31/2018	DUSSEAU, KEITH	PRU AS APEX II	0.00	1.89	0.00	1.89	100.0	1.89
07/31/2018	DUSSEAU, KEITH	PRU AS APEX II	0.00	7.55	0.00	7.55	100.0	7.55
07/31/2018	GUINN, MARGARET	PRU AS LIFEVEST II	0.00	9.92	0.00	9.92	100.0	9.92
07/31/2018	GUINN, MARGARET	PRU AS LIFEVEST II	0.00	29.77	0.00	29.77	100.0	29.77
07/31/2018	HARTONG CR TRUST	PRU AS XTRA CREDIT	0.00	14.81	0.00	14.81	100.0	14.81
07/31/2018	GREENE, DAVID	PRU AS XTRA CREDIT	0.00	6.63	0.00	6.63	100.0	6.63
07/31/2018	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	0.00	2.99	0.00	2.99	100.0	2.99
07/31/2018	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	0.00	43.09	0.00	43.09	100.0	43.09
07/31/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	0.00	6.41	0.00	6.41	100.0	6.41
07/31/2018	KAZMIROWSKI, THOMAS	PRU AS XTRA CREDIT SIX	0.00	6.41	0.00	6.41	100.0	6.41
07/31/2018	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	0.00	7.88	0.00	7.88	100.0	7.88
07/31/2018	MORSE, MARK	PRU AS XTRA CREDIT SIX	0.00	5.38	0.00	5.38	100.0	5.38

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	0.00	7.82	0.00	7.82	100.0	7.82
07/31/2018	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	0.00	13.28	0.00	13.28	100.0	13.28
07/31/2018	GORDON, DAVID	PRU AS XTRA CREDIT SIX	0.00	91.34	0.00	91.34	100.0	91.34
07/31/2018	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	0.00	2.41	0.00	2.41	100.0	2.41
07/31/2018	TAMER, FIDAA	PRU PREMIER INVT A	0.00	3.62	0.00	3.62	100.0	3.62
07/31/2018	LORENCZ, MARY	PRU PREMIER INVT A	0.00	6.15	0.00	6.15	100.0	6.15
07/31/2018	MITCHELL, JAMES	PRU PREMIER INVT A	0.00	16.57	0.00	16.57	100.0	16.57
07/31/2018	COOPER, FRANK	PRU PREMIER RETIREMENT B	0.00	16.20	0.00	16.20	100.0	16.20
07/31/2018	ANNONI, LINDA	PRU PREMIER RETIREMENT B	0.00	10.37	0.00	10.37	100.0	10.37
07/31/2018	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	0.00	37.03	0.00	37.03	100.0	37.03
07/31/2018	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	0.00	6.35	0.00	6.35	100.0	6.35
07/31/2018	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	0.00	1.24	0.00	1.24	100.0	1.24
07/31/2018	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	0.00	17.63	0.00	17.63	100.0	17.63
07/31/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	0.00	3.21	0.00	3.21	100.0	3.21
07/31/2018	REA, DIANA	PRU PREMIER RETIREMENT L	0.00	89.22	0.00	89.22	100.0	89.22
07/31/2018	GAVIN, LINDA	PRU PREMIER RETIREMENT L	0.00	109.26	0.00	109.26	100.0	109.26
07/31/2018	GAVIN, GARY	PRU PREMIER RETIREMENT L	0.00	110.33	0.00	110.33	100.0	110.33
07/31/2018	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	0.00	97.58	0.00	97.58	100.0	97.58
07/31/2018	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	0.00	92.20	0.00	92.20	100.0	92.20
07/31/2018	WILLS, KAREN	PRU PREMIER RETIREMENT X	0.00	116.71	0.00	116.71	100.0	116.71
07/31/2018	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	0.00	34.82	0.00	34.82	100.0	34.82
07/31/2018	LUCIER, LYNN	PRU PREMIER RETIREMENT X	0.00	13.11	0.00	13.11	100.0	13.11
07/31/2018	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	0.00	7.39	0.00	7.39	100.0	7.39
07/30/2018	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	0.00	0.16	0.00	0.16	100.0	0.16
07/30/2018	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	0.00	2.24	0.00	2.24	100.0	2.24
07/30/2018	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	0.00	1.10	0.00	1.10	100.0	1.10
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$409,720.62</b>	<b>\$2,302.55</b>	<b>\$0.00</b>	<b>\$2,302.55</b>		<b>\$2,302.55</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$942,530.59</b>	<b>\$3,397.13</b>	<b>\$0.00</b>	<b>\$3,397.13</b>		<b>\$3,397.13</b>

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JOSEPH, M138 MICHALETZ #10</u></b>								
/ /	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	0.25	0.00	0.25	0.0	0.25
/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.27	0.00	0.27	0.0	0.27
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.08	0.00	2.08	0.0	2.08
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.37	0.00	3.37	0.0	3.37
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	125.00	3.98	0.00	3.98	0.0	3.98
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.70	0.00	3.70	0.0	3.70
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	125.00	15.54	0.00	15.54	0.0	15.54
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	125.00	0.74	0.00	0.74	0.0	0.74
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	125.00	2.20	0.00	2.20	0.0	2.20
08/01/2018	DORAN, PATRICK	FA 529 PORT 2019 C	125.00	2.29	0.00	2.29	0.0	2.29
/ /	LORA, MARCOS	FA 529 PORT 2022 C	125.00	7.16	0.00	7.16	0.0	7.16
/ /	LORA, CATALINA	FA 529 PORT 2022 C	125.00	7.16	0.00	7.16	0.0	7.16
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	125.00	2.39	0.00	2.39	0.0	2.39
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.39	0.00	2.39	0.0	2.39
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	125.00	7.16	0.00	7.16	0.0	7.16
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	16.43	0.00	16.43	0.0	16.43
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	125.00	9.88	0.00	9.88	0.0	9.88
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	125.00	5.34	0.00	5.34	0.0	5.34
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	125.00	2.80	0.00	2.80	0.0	2.80
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	125.00	1.40	0.00	1.40	0.0	1.40
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	125.00	7.36	0.00	7.36	0.0	7.36
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.67	0.00	1.67	0.0	1.67
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	125.00	4.16	0.00	4.16	0.0	4.16
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	125.00	0.79	0.00	0.79	0.0	0.79
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	10.37	0.00	10.37	0.0	10.37
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.71	0.00	1.71	0.0	1.71
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.71	0.00	1.71	0.0	1.71
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	6.57	0.00	6.57	0.0	6.57
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.73	0.00	2.73	0.0	2.73
/ /	MACK, NEIL	FA 529 PORT 2025 C	250.00	12.18	0.00	12.18	0.0	12.18
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
08/01/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	6.70	0.00	6.70	0.0	6.70
08/01/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #10</u></b>								
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	4.02	0.00	4.02	0.0	4.02
08/01/2018	MACK, NEIL	FA 529 PORT 2028 A	50.00	1.54	0.00	1.54	0.0	1.54
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	50.00	14.08	0.00	14.08	0.0	14.08
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	12.61	0.00	12.61	0.0	12.61
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.76	0.00	0.76	0.0	0.76
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	1.50	0.00	1.50	0.0	1.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.52	0.00	3.52	0.0	3.52
/ /	SOIORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.95	0.00	1.95	0.0	1.95
/ /	SOIORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.75	0.00	3.75	0.0	3.75
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.24	0.00	7.24	0.0	7.24
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.18	0.00	6.18	0.0	6.18
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	320.00	0.00	320.00	0.0	320.00
07/31/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
07/31/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
08/03/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
<b>* DANIEL COLLINS SUBTOTAL *</b>			<b>\$3,860.00</b>	<b>\$542.74</b>	<b>\$0.00</b>	<b>\$542.74</b>		<b>\$542.74</b>
<b><u>BROKER: ROBERT CHESTER #21</u></b>								
06/28/2018	BECHTEL, MICHAEL	METLIFE TRAILS	70,043.48	43.78	0.00	43.78	0.0	43.78
06/28/2018	MYERS, DAVID	METLIFE TRAILS	56,461.80	14.12	0.00	14.12	0.0	14.12
06/28/2018	FRAZIER, BETTY	METLIFE TRAILS	34,714.71	8.68	0.00	8.68	0.0	8.68
06/28/2018	BARBARITA, JOSEPH	METLIFE TRAILS	25,206.72	6.30	0.00	6.30	0.0	6.30
06/28/2018	BARBARITA, KATHLEEN	METLIFE TRAILS	16,008.14	4.00	0.00	4.00	0.0	4.00
06/28/2018	MONROE, CAROL	METLIFE TRAILS	46,966.27	11.74	0.00	11.74	0.0	11.74
06/28/2018	KELLEY, TERESE	METLIFE TRAILS	52,539.13	328.37	0.00	328.37	0.0	328.37
06/28/2018	KELLEY, DENNIS	METLIFE TRAILS	300,878.25	188.05	0.00	188.05	0.0	188.05
06/28/2018	EVERSON, ROBERT	METLIFE TRAILS	6,638.40	2.07	0.00	2.07	0.0	2.07
07/24/2018	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.36	0.00	0.36	0.0	0.36
07/24/2018	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.33	0.00	1.33	0.0	1.33
07/17/2018	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.18	0.00	0.18	0.0	0.18
<b>* ROBERT CHESTER SUBTOTAL *</b>			<b>\$609,456.90</b>	<b>\$608.98</b>	<b>\$0.00</b>	<b>\$608.98</b>		<b>\$608.98</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
07/25/2018	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	0.77	0.00	0.77	0.0	0.77
07/25/2018	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.20	0.00	2.20	0.0	2.20
* EDWARD MCMANUS SUBTOTAL *			\$0.00	\$2.97	\$0.00	\$2.97		\$2.97
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
07/11/2018	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	0.0	0.45
07/11/2018	GELOW, ASHLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.64	0.00	0.64	0.0	0.64
07/11/2018	GELOW, MARSHALL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.57	0.00	0.57	0.0	0.57
07/11/2018	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.95	0.00	4.95	0.0	4.95
07/11/2018	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.79	0.00	0.79	0.0	0.79
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.59	0.00	0.59	0.0	0.59
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$7.99	\$0.00	\$7.99		\$7.99
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
07/03/2018	HAYES, REBECCA	ALGER CAPITAL APPRECIATION A	0.00	0.21	0.00	0.21	0.0	0.21
07/03/2018	MARTIN, DAVID	ALGER CAPITAL APPRECIATION A	0.00	0.46	0.00	0.46	0.0	0.46
07/03/2018	HAYES, REBECCA	ALGER GROWTH & INCOME A	0.00	0.16	0.00	0.16	0.0	0.16
07/03/2018	HAYES, REBECCA	ALGER LARGECAP GROWTH A	0.00	0.07	0.00	0.07	0.0	0.07
07/03/2018	MARTIN, DAVID	ALGER LARGECAP GROWTH A	0.00	0.18	0.00	0.18	0.0	0.18
07/03/2018	HAYES, REBECCA	ALGER MIDCAP GROWTH A	0.00	0.15	0.00	0.15	0.0	0.15
07/03/2018	MARTIN, DAVID	ALGER MIDCAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
07/03/2018	MARTIN, DAVID	ALGER SMALLCAP GROWTH A	0.00	0.27	0.00	0.27	0.0	0.27
07/31/2018	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.93	0.00	8.93	0.0	8.93
07/31/2018	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.16	0.00	1.16	0.0	1.16
* THOMAS BOAKS SUBTOTAL *			\$0.00	\$11.65	\$0.00	\$11.65		\$11.65
<b><u>BROKER: BARTHOLOMEW MACKLER #35</u></b>								
07/11/2018	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.49	0.00	2.49	0.0	2.49
07/11/2018	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	2.01	0.00	2.01	0.0	2.01
07/11/2018	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.50	0.00	1.50	0.0	1.50
07/11/2018	WATERMAN, SHARON	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	8.43	0.00	8.43	0.0	8.43
07/11/2018	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.14	0.00	0.14	0.0	0.14
07/11/2018	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.12	0.00	0.12	0.0	0.12
07/11/2018	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	0.0	0.09
07/11/2018	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	4.88	0.00	4.88	0.0	4.88

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: BARTHOLOMEW MACKLER #35</u></b>								
07/31/2018	BERGIN, MARGARET	FRANKLIN DYNATECH A	0.00	101.90	0.00	101.90	0.0	101.90
07/31/2018	SULEK, GLENN	FRANKLIN EQUITY INCOME A	0.00	5.03	0.00	5.03	0.0	5.03
07/31/2018	PARMELEE, LORI	FRANKLIN HIGH INCOME A	0.00	14.60	0.00	14.60	0.0	14.60
07/31/2018	SULEK, GLENN	FRANKLIN HIGH INCOME A	0.00	6.09	0.00	6.09	0.0	6.09
07/31/2018	FIGRELLO, SUSAN	FRANKLIN HIGH INCOME C	0.00	79.69	0.00	79.69	0.0	79.69
07/31/2018	DANGELO, ALEXCYIA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	2.51	0.00	2.51	0.0	2.51
07/31/2018	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.62	0.00	13.62	0.0	13.62
07/31/2018	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.69	0.00	13.69	0.0	13.69
07/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	15.77	0.00	15.77	0.0	15.77
07/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	18.43	0.00	18.43	0.0	18.43
07/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	18.57	0.00	18.57	0.0	18.57
07/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	15.77	0.00	15.77	0.0	15.77
07/31/2018	LA FOREST, MARGARET	FRANKLIN INCOME A	0.00	1.08	0.00	1.08	0.0	1.08
07/31/2018	BROWN, SYLVESTER	FRANKLIN INCOME C	0.00	14.08	0.00	14.08	0.0	14.08
07/31/2018	JAWORSKI, MITCHILL	FRANKLIN INCOME C	0.00	2.72	0.00	2.72	0.0	2.72
07/31/2018	DRAKE, AILEEN	FRANKLIN MI TAX FR INCOME A	0.00	0.11	0.00	0.11	0.0	0.11
07/31/2018	SILVER LAKE RD, 7141	FRANKLIN MI TAX FR INCOME A	0.00	5.67	0.00	5.67	0.0	5.67
07/31/2018	ELIZABETH A GEDIGIAN, AND	FRANKLIN MI TAX FR INCOME A	0.00	0.07	0.00	0.07	0.0	0.07
07/31/2018	PARMELEE, LORI	FRANKLIN MUTUAL SHARES A	0.00	22.21	0.00	22.21	0.0	22.21
07/31/2018	KARGULA, ANGELA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.53	0.00	1.53	0.0	1.53
07/31/2018	KARGULA, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.53	0.00	1.53	0.0	1.53
07/31/2018	MELDRUM, JOSEPH	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.62	0.00	1.62	0.0	1.62
07/31/2018	PRIGEL, PAUL	FRK FED LMT-TRM TAX-FREE INC FND -	0.00	2.36	0.00	2.36	0.0	2.36
07/11/2018	WATERMAN, SHARON	INVESCO CHARTER FUND A	0.00	7.78	0.00	7.78	0.0	7.78
07/31/2018	DAMRON, ROBERT	JNL PERSPECTIVE	0.00	64.00	0.00	64.00	0.0	64.00
07/31/2018	JOHNSON, WILLIAM	JNL PERSPECTIVE	0.00	0.36	0.00	0.36	0.0	0.36
07/31/2018	ROHLOFF, CARL	JNL PERSPECTIVE	0.00	3.51	0.00	3.51	0.0	3.51
07/31/2018	SCHNELL, JAMES	JNL PERSPECTIVE	0.00	2.15	0.00	2.15	0.0	2.15
07/31/2018	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	0.00	10.06	0.00	10.06	0.0	10.06
07/31/2018	RYAN, NANCY	JNL PERSPECTIVE L	0.00	50.94	0.00	50.94	0.0	50.94
07/31/2018	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	0.00	40.85	0.00	40.85	0.0	40.85
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	25.61	0.00	25.61	0.0	25.61
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	8.47	0.00	8.47	0.0	8.47

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BARTHOLOMEW MACKLER #35 </u></b>								
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	25.42	0.00	25.42	0.0	25.42
07/23/2018	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	8.54	0.00	8.54	0.0	8.54
07/11/2018	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.43	0.00	0.43	0.0	0.43
07/11/2018	ANTON, CAROLE	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	8.56	0.00	8.56	0.0	8.56
07/11/2018	REID, DAVID	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	6.29	0.00	6.29	0.0	6.29
07/11/2018	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	7.01	0.00	7.01	0.0	7.01
07/11/2018	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.67	0.00	1.67	0.0	1.67
07/31/2018	ODOM, EDDIE	TEMPLETON GLOBAL BOND C	0.00	59.30	0.00	59.30	0.0	59.30
07/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	4.01	0.00	4.01	0.0	4.01
07/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.62	0.00	5.62	0.0	5.62
07/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.62	0.00	5.62	0.0	5.62
07/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	4.01	0.00	4.01	0.0	4.01
07/31/2018	WELSCH JR, ROBERT	TEMPLETON GROWTH A	0.00	1.13	0.00	1.13	0.0	1.13
07/31/2018	HIGGINS, FRAN	TEMPLETON GROWTH C	0.00	38.81	0.00	38.81	0.0	38.81
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	2.66	0.00	2.66	0.0	2.66
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	7.97	0.00	7.97	0.0	7.97
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	2.66	0.00	2.66	0.0	2.66
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	7.97	0.00	7.97	0.0	7.97
08/02/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.61	0.00	9.61	0.0	9.61
08/02/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.25	0.00	31.25	0.0	31.25
* BARTHOLOMEW MACKLER SUBTOTAL *			\$0.00	\$830.58	\$0.00	\$830.58		\$830.58
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
07/31/2018	LAMBERT, DONNA	ING RELIASTAR	87,602.61	54.75	0.00	54.75	0.0	54.75
07/31/2018	LAMBERT, JOHN	ING RELIASTAR	87,602.61	54.75	0.00	54.75	0.0	54.75
08/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
07/30/2018	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	0.00	5.77	0.00	5.77	0.0	5.77
06/07/2018	KRUPKE, LEE	TRANSAMERICA LIFE	18,058.38	36.12	0.00	36.12	0.0	36.12
06/07/2018	KRUPKE, JAMES	TRANSAMERICA LIFE	18,180.26	36.36	0.00	36.36	0.0	36.36
* BRUCE BAXTER SUBTOTAL *			\$211,543.86	\$192.75	\$0.00	\$192.75		\$192.75
<b><u> BROKER: MELANIE DICENSO #43 </u></b>								
07/17/2018	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	5.89	0.00	5.89	0.0	5.89
07/17/2018	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.77	0.00	3.77	0.0	3.77

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.19	0.00	1.19	0.0	1.19
07/30/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	0.00	4.54	0.00	4.54	0.0	4.54
* MELANIE DICENSO SUBTOTAL *			\$0.00	\$15.39	\$0.00	\$15.39		\$15.39
<b><u>BROKER: JOHN BEAN #45</u></b>								
07/17/2018	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.34	0.00	0.34	0.0	0.34
07/17/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
07/17/2018	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
07/17/2018	DANIEL, REIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
07/17/2018	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.27
07/17/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
07/17/2018	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
07/17/2018	DANIEL, REIS	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
07/17/2018	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.90	0.00	0.90	0.0	0.90
07/17/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
07/17/2018	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
07/17/2018	DANIEL, REIS	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
07/19/2018	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
07/19/2018	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.12	0.00	0.12	0.0	0.12
07/19/2018	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.17	0.00	0.17	0.0	0.17
07/16/2018	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.77	0.00	0.77	0.0	0.77
07/16/2018	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.65	0.00	0.65	0.0	0.65
07/16/2018	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.45	0.00	0.45	0.0	0.45
07/16/2018	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.41	0.00	0.41	0.0	0.41
07/16/2018	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.09	0.00	0.09	0.0	0.09
07/16/2018	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.36	0.00	0.36	0.0	0.36
07/16/2018	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.17	0.00	0.17	0.0	0.17
07/23/2018	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
07/23/2018	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.71	0.00	0.71	0.0	0.71
07/23/2018	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.22	0.00	4.22	0.0	4.22
* JOHN BEAN SUBTOTAL *			\$0.00	\$10.84	\$0.00	\$10.84		\$10.84

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
07/17/2018	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	9.05	0.00	9.05	0.0	9.05
07/02/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.46	0.00	2.46	0.0	2.46
07/16/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.40	0.00	2.40	0.0	2.40
07/23/2018	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	0.89	0.00	0.89	0.0	0.89
07/25/2018	LEDWITZ TRUST, MARTIN & ELIZAB	JAMES ALPHA GLOBAL REAL ESTATE FUND	0.00	1.01	0.00	1.01	0.0	1.01
07/13/2018	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	8.27	0.00	8.27	0.0	8.27
07/31/2018	ZAX TRUST	JNL PERSPECTIVE	0.00	0.09	0.00	0.09	0.0	0.09
07/31/2018	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	0.00	3.75	0.00	3.75	0.0	3.75
07/31/2018	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	0.00	70.57	0.00	70.57	0.0	70.57
07/31/2018	WHITE, LINDA	JNL PERSPECTIVE L	0.00	68.85	0.00	68.85	0.0	68.85
07/31/2018	ENGSTROM, WAYNE	JNL PERSPECTIVE L	0.00	28.45	0.00	28.45	0.0	28.45
07/31/2018	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	0.00	12.18	0.00	12.18	0.0	12.18
07/23/2018	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	4.50	0.00	4.50	0.0	4.50
07/23/2018	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.58	0.00	5.58	0.0	5.58
<b>* ROBERT (RUSTY) TWEED SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$218.05</b>	<b>\$0.00</b>	<b>\$218.05</b>		<b>\$218.05</b>
<b><u>BROKER: JIM LUND #60</u></b>								
07/31/2018	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.85	0.00	31.85	0.0	31.85
07/31/2018	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.30	0.00	41.30	0.0	41.30
07/31/2018	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	65.58	0.00	65.58	0.0	65.58
07/31/2018	LUND, JIM	FRANKLIN INCOME A	0.00	5.13	0.00	5.13	0.0	5.13
07/31/2018	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.55	0.00	31.55	0.0	31.55
07/31/2018	LUND, ANDREA	FRANKLIN INCOME A	0.00	149.31	0.00	149.31	0.0	149.31
07/31/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.79	0.00	2.79	0.0	2.79
07/31/2018	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.28	0.00	0.28	0.0	0.28
07/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.42
07/31/2018	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	52.43	0.00	52.43	0.0	52.43
07/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.41	0.00	23.41	0.0	23.41
07/31/2018	HO, PAULINA	FRANKLIN INCOME C	0.00	70.60	0.00	70.60	0.0	70.60
07/31/2018	STARK, TONI	FRANKLIN INCOME C	0.00	28.47	0.00	28.47	0.0	28.47
07/31/2018	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.76	0.00	3.76	0.0	3.76
07/31/2018	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.63	0.00	2.63	0.0	2.63
07/31/2018	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.99	0.00	4.99	0.0	4.99
07/31/2018	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.73	0.00	22.73	0.0	22.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JIM LUND #60 </u></b>								
07/31/2018	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	26.90	0.00	26.90	0.0	26.90
07/31/2018	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	83.54	0.00	83.54	0.0	83.54
07/31/2018	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.92	0.00	0.92	0.0	0.92
07/31/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	109.78	0.00	109.78	0.0	109.78
07/31/2018	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	67.06	0.00	67.06	0.0	67.06
08/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
07/31/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.78	0.00	20.78	0.0	20.78
07/31/2018	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.60	0.00	5.60	0.0	5.60
07/31/2018	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.15	0.00	4.15	0.0	4.15
07/31/2018	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.91	0.00	20.91	0.0	20.91
07/31/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	35.31	0.00	35.31	0.0	35.31
* JIM LUND SUBTOTAL *			\$200.00	\$914.18	\$0.00	\$914.18		\$914.18
<b><u> BROKER: JAMES PANOS #61 </u></b>								
07/31/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	0.00	68.95	0.00	68.95	0.0	68.95
07/31/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	0.00	89.28	0.00	89.28	0.0	89.28
* JAMES PANOS SUBTOTAL *			\$0.00	\$158.23	\$0.00	\$158.23		\$158.23
<b><u> BROKER: RENE CASTRO #92 </u></b>								
07/31/2018	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	32.73	0.00	32.73	0.0	32.73
* RENE CASTRO SUBTOTAL *			\$0.00	\$32.73	\$0.00	\$32.73		\$32.73
<b><u> BROKER: STEVEN FRUEH #99 </u></b>								
07/31/2018	FOWLER, ROBERT	FT FOUNDING FDS ALLOC C	0.00	43.69	0.00	43.69	0.0	43.69
* STEVEN FRUEH SUBTOTAL *			\$0.00	\$43.69	\$0.00	\$43.69		\$43.69
<b><u> BROKER: JAMES AULENTI #101 </u></b>								
07/31/2018	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	89.74	0.00	89.74	0.0	89.74
* JAMES AULENTI SUBTOTAL *			\$0.00	\$89.74	\$0.00	\$89.74		\$89.74
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
07/17/2018	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.66	0.00	4.66	0.0	4.66
07/17/2018	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.37	0.00	11.37	0.0	11.37
07/17/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.69	0.00	5.69	0.0	5.69
07/17/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.26	0.00	2.26	0.0	2.26
07/17/2018	JESTER, CATHERINE	AM FUNDS ICA A	0.00	2.72	0.00	2.72	0.0	2.72

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
07/31/2018	PLUTSCHAK, ERNEST	COMMONWEALTH PLUS	71,949.95	11.99	0.00	11.99	0.0	11.99
07/31/2018	PLUTSCHAK, ERNEST	COMMONWEALTH PLUS	4,514.03	0.75	0.00	0.75	0.0	0.75
07/16/2018	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	1.66	0.00	1.66	0.0	1.66
07/12/2018	BESEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.11	0.00	4.11	0.0	4.11
07/31/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
07/31/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	2.49	0.00	2.49	0.0	2.49
07/31/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	3.39	0.00	3.39	0.0	3.39
07/31/2018	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.80	0.00	0.80	0.0	0.80
08/03/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
07/31/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	2.34	0.00	2.34	0.0	2.34
07/31/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	3.08	0.00	3.08	0.0	3.08
07/31/2018	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.73	0.00	0.73	0.0	0.73
08/03/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
07/31/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.69	0.00	0.69	0.0	0.69
07/31/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	2.31	0.00	2.31	0.0	2.31
07/31/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	3.03	0.00	3.03	0.0	3.03
08/03/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
08/03/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	1.99	0.00	1.99	0.0	1.99
07/31/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	2.85	0.00	2.85	0.0	2.85
07/31/2018	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.62	0.00	0.62	0.0	0.62
/ /	MORRISON, ISABELLA	JH 529 COLLEGE PORT A	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	JH 529 COLLEGE PORT A	0.00	0.23	0.00	0.23	0.0	0.23
07/23/2018	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.59	0.00	2.59	0.0	2.59
07/20/2018	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	1.94	0.00	1.94	0.0	1.94
07/23/2018	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.96	0.00	0.96	0.0	0.96

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
07/13/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.65	0.00	2.65	0.0	2.65
07/16/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	-2.65	0.00	-2.65	0.0	-2.65
07/23/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.65	0.00	2.65	0.0	2.65
* DANIEL COLLINS SUBTOTAL *			<b>\$76,793.98</b>	<b>\$94.63</b>	<b>\$0.00</b>	<b>\$94.63</b>		<b>\$94.63</b>
<b><u> BROKER: G118 GRECO #110 </u></b>								
07/11/2018	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.04	0.00	5.04	0.0	5.04
07/11/2018	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	7.06	0.00	7.06	0.0	7.06
07/11/2018	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	7.06	0.00	7.06	0.0	7.06
07/11/2018	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.66	0.00	5.66	0.0	5.66
07/11/2018	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	3.25	0.00	3.25	0.0	3.25
07/11/2018	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	17.21	0.00	17.21	0.0	17.21
07/11/2018	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	7.95	0.00	7.95	0.0	7.95
07/11/2018	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	39.34	0.00	39.34	0.0	39.34
07/11/2018	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.90	0.00	12.90	0.0	12.90
* G118 GRECO SUBTOTAL *			<b>\$0.00</b>	<b>\$105.47</b>	<b>\$0.00</b>	<b>\$105.47</b>		<b>\$105.47</b>
<b><u> BROKER: ERNEST DALE, A122 ANDERSON #119 </u></b>								
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOILIO-A	0.00	0.92	0.00	0.92	0.0	0.92
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOILIO-A	0.00	0.17	0.00	0.17	0.0	0.17
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOILIO-A	0.00	0.20	0.00	0.20	0.0	0.20
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.98	0.00	0.98	0.0	0.98
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.18	0.00	0.18	0.0	0.18
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.22	0.00	0.22	0.0	0.22
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	2.45	0.00	2.45	0.0	2.45
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.49	0.00	0.49	0.0	0.49
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.61	0.00	0.61	0.0	0.61
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	2.16	0.00	2.16	0.0	2.16
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.39	0.00	0.39	0.0	0.39
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.50	0.00	0.50	0.0	0.50
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	2.35	0.00	2.35	0.0	2.35
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.52	0.00	0.52	0.0	0.52
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.66	0.00	0.66	0.0	0.66
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	2.44	0.00	2.44	0.0	2.44

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ERNEST DALE, A122 ANDERSON #119 </u></b>								
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.55	0.00	0.55	0.0	0.55
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.68	0.00	0.68	0.0	0.68
<b>* ERNEST DALE, A122 ANDERSON SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$16.47</b>	<b>\$0.00</b>	<b>\$16.47</b>		<b>\$16.47</b>
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
07/16/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
07/31/2018	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	9.11	0.00	9.11	0.0	9.11
07/31/2018	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	10.12	0.00	10.12	0.0	10.12
07/31/2018	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	7.35	0.00	7.35	0.0	7.35
07/31/2018	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.48	0.00	2.48	0.0	2.48
07/31/2018	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.09	0.00	7.09	0.0	7.09
07/31/2018	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	8.72	0.00	8.72	0.0	8.72
07/31/2018	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	3.20	0.00	3.20	0.0	3.20
07/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.63	0.00	7.63	0.0	7.63
07/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	11.63	0.00	11.63	0.0	11.63
07/31/2018	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.96	0.00	1.96	0.0	1.96
07/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.74	0.00	7.74	0.0	7.74
07/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.70	0.00	7.70	0.0	7.70
07/16/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.05	0.00	3.05	0.0	3.05
07/16/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.02	0.00	3.02	0.0	3.02
07/16/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.32	0.00	2.32	0.0	2.32
07/16/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.55	0.00	3.55	0.0	3.55
07/16/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.83	0.00	9.83	0.0	9.83
07/31/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	0.00	7.01	0.00	7.01	0.0	7.01
07/19/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.87	0.00	0.87	0.0	0.87
07/19/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.13	0.00	0.13	0.0	0.13
07/19/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.65	0.00	0.65	0.0	0.65
07/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	0.00	31.06	0.00	31.06	0.0	31.06
07/31/2018	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	0.00	93.18	0.00	93.18	0.0	93.18
06/30/2018	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	22,681.35	4.66	0.00	4.66	0.0	4.66
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.63	0.00	1.63	0.0	1.63
07/31/2018	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.81	0.00	1.81	0.0	1.81
07/31/2018	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.68	0.00	4.68	0.0	4.68
07/31/2018	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	4.05	0.00	4.05	0.0	4.05

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
07/31/2018	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.82	0.00	5.82	0.0	5.82
07/31/2018	MANOS, SCOTT	TEMPLETON GLOBAL BALANCED FUND	0.00	0.11	0.00	0.11	0.0	0.11
07/31/2018	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.39	0.00	4.39	0.0	4.39
07/31/2018	CURTIS, VIRGINIA	TEMPLETON GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03
07/31/2018	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.42	0.00	5.42	0.0	5.42
07/31/2018	KAISER, CHARLES	TEMPLETON WORLD A	0.00	8.07	0.00	8.07	0.0	8.07
07/31/2018	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	8.32	0.00	8.32	0.0	8.32
08/03/2018	SHEPARD, LINDA	VANGUARD INDEX FDS VANGUARD TOTAL S	-5,105.84	22.00	22.50	-0.50	0.0	-0.50
* JAY MCCLELLAN SUBTOTAL *			\$17,625.51	\$312.89	\$22.50	\$290.39		\$290.39
<b><u>BROKER: JEFFREY GOVE #143</u></b>								
07/30/2018	FULLERTON, BEVERLY	AMERITAS MEDLEY	0.00	27.04	0.00	27.04	0.0	27.04
07/24/2018	LIMBACH, CARMEN	AMERITAS OVERTURE ANNUITY III	0.00	8.05	0.00	8.05	0.0	8.05
* JEFFREY GOVE SUBTOTAL *			\$0.00	\$35.09	\$0.00	\$35.09		\$35.09
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
07/16/2018	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.94	0.00	0.94	0.0	0.94
07/16/2018	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.33	0.00	0.33	0.0	0.33
07/19/2018	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.37	0.00	0.37	0.0	0.37
07/19/2018	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.35	0.00	0.35	0.0	0.35
07/31/2018	JOHNSON, BLAKE	TEMPLETON FOREIGN FUND A	0.00	0.39	0.00	0.39	0.0	0.39
07/23/2018	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.33	0.00	0.33	0.0	0.33
07/31/2018	JOHNSON, BLAKE	TEMPLETON WORLD A	0.00	0.50	0.00	0.50	0.0	0.50
* TIMOTHY KULHANEK SUBTOTAL *			\$0.00	\$3.21	\$0.00	\$3.21		\$3.21
<b><u>BROKER: BRUCE CARNICK #175</u></b>								
07/31/2018	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	18.40	0.00	18.40	0.0	18.40
07/31/2018	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	10.38	0.00	10.38	0.0	10.38
07/31/2018	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	7.97	0.00	7.97	0.0	7.97
07/31/2018	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.84	0.00	3.84	0.0	3.84
07/31/2018	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	95.23	0.00	95.23	0.0	95.23
07/31/2018	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	42.33	0.00	42.33	0.0	42.33
07/31/2018	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.67	0.00	1.67	0.0	1.67
07/31/2018	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.44	0.00	1.44	0.0	1.44
07/31/2018	ADAMS, JANET	TEMPLETON WORLD A	0.00	27.14	0.00	27.14	0.0	27.14

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVID KOTZ #179 </u></b>								
		* BRUCE CARNICK SUBTOTAL *	\$0.00	\$208.40	\$0.00	\$208.40		\$208.40
<b><u> BROKER: DAVID KOTZ #179 </u></b>								
07/11/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.09	0.00	1.09	0.0	1.09
07/11/2018	NAGY, ZOLTAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.24	0.00	0.24	0.0	0.24
07/11/2018	SWEADOR, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.86	0.00	4.86	0.0	4.86
07/11/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.69	0.00	10.69	0.0	10.69
07/11/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.23	0.00	3.23	0.0	3.23
07/11/2018	NAGY, ZOLTAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.97	0.00	0.97	0.0	0.97
07/11/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.76	0.00	1.76	0.0	1.76
07/11/2018	NAGY, ZOLTAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.42	0.00	2.42	0.0	2.42
07/06/2018	BROWNELL, STANLEY	HSBC USA INC NEW SR IDX ZRO	36,651.72	730.80	28.00	702.80	0.0	702.80
07/11/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.28	0.00	2.28	0.0	2.28
		* DAVID KOTZ SUBTOTAL *	\$36,651.72	\$758.34	\$28.00	\$730.34		\$730.34
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
07/13/2018	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	126,195.26	26.23	0.00	26.23	0.0	26.23
07/11/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.44	0.00	0.44	0.0	0.44
07/11/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.18	0.00	1.18	0.0	1.18
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
07/11/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.25	0.00	1.25	0.0	1.25
07/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	0.00	2.34	0.00	2.34	0.0	2.34
07/31/2018	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	0.00	2.34	0.00	2.34	0.0	2.34
07/31/2018	BJEDOV, MARK	PRU AS APEX II	0.00	3.07	0.00	3.07	0.0	3.07
07/31/2018	BJEDOV, MARK	PRU AS APEX II	0.00	12.28	0.00	12.28	0.0	12.28
07/31/2018	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	0.00	12.18	0.00	12.18	0.0	12.18
		* WILLIAM WALSH SUBTOTAL *	\$126,195.26	\$61.32	\$0.00	\$61.32		\$61.32
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
07/17/2018	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	1.08	0.00	1.08	0.0	1.08
07/17/2018	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.65	0.00	0.65	0.0	0.65
07/17/2018	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.86	0.00	0.86	0.0	0.86
07/17/2018	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.23	0.00	1.23	0.0	1.23
07/17/2018	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.70	0.00	0.70	0.0	0.70
07/31/2018	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.28	0.00	2.28	0.0	2.28

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MICHELE BOYER #189</u></b>								
07/31/2018	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	4.62	0.00	4.62	0.0	4.62
07/31/2018	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	14.48	0.00	14.48	0.0	14.48
07/30/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	26.05	0.26	0.00	0.26	0.0	0.26
07/30/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	24.54	0.25	0.00	0.25	0.0	0.25
07/30/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	24.54	0.25	0.00	0.25	0.0	0.25
07/30/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	24.54	0.25	0.00	0.25	0.0	0.25
07/30/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	24.54	0.25	0.00	0.25	0.0	0.25
07/30/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	49.53	0.50	0.00	0.50	0.0	0.50
07/30/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	24.76	0.25	0.00	0.25	0.0	0.25
07/30/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	13.03	0.13	0.00	0.13	0.0	0.13
07/30/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	37.15	0.37	0.00	0.37	0.0	0.37
07/30/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	18.57	0.19	0.00	0.19	0.0	0.19
07/30/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	49.52	0.50	0.00	0.50	0.0	0.50
07/30/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	24.77	0.25	0.00	0.25	0.0	0.25
07/30/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	37.15	0.37	0.00	0.37	0.0	0.37
07/30/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	18.57	0.19	0.00	0.19	0.0	0.19
07/30/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	26.05	0.26	0.00	0.26	0.0	0.26
07/30/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	39.08	0.39	0.00	0.39	0.0	0.39
07/30/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	37.15	0.37	0.00	0.37	0.0	0.37
07/30/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	18.57	0.19	0.00	0.19	0.0	0.19
07/30/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	26.05	0.26	0.00	0.26	0.0	0.26
07/30/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	37.15	0.37	0.00	0.37	0.0	0.37
07/30/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	18.57	0.19	0.00	0.19	0.0	0.19
07/11/2018	BARNES, BRIAN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.67	0.00	3.67	0.0	3.67
<b>* MICHELE BOYER SUBTOTAL *</b>			<b>\$599.88</b>	<b>\$35.61</b>	<b>\$0.00</b>	<b>\$35.61</b>		<b>\$35.61</b>
<b><u>BROKER: THOMAS JAHNCKE #193</u></b>								
07/17/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.18	0.00	1.18	0.0	1.18
07/17/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.93	0.00	1.93	0.0	1.93
07/17/2018	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	0.0	1.32
<b>* THOMAS JAHNCKE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$4.43</b>	<b>\$0.00</b>	<b>\$4.43</b>		<b>\$4.43</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MICHAEL PALMISANO #196</u></b>								
07/31/2018	SUTTER, DANI-ANN	JNL PERSPECTIVE L	0.00	220.55	0.00	220.55	0.0	220.55
		* MICHAEL PALMISANO SUBTOTAL *	\$0.00	\$220.55	\$0.00	\$220.55		\$220.55
<b><u>BROKER: RICHARD CODY #197</u></b>								
07/25/2018	SYVERSEN, AMY	MARSICO FLEXIBLE CAPITAL FUND	0.00	0.07	0.00	0.07	0.0	0.07
		* RICHARD CODY SUBTOTAL *	\$0.00	\$0.07	\$0.00	\$0.07		\$0.07
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
07/17/2018	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	5.06	0.00	5.06	0.0	5.06
07/17/2018	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	5.17	0.00	5.17	0.0	5.17
07/17/2018	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.25	0.00	3.25	0.0	3.25
07/17/2018	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.27	0.00	3.27	0.0	3.27
07/17/2018	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.01	0.00	6.01	0.0	6.01
07/17/2018	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.16	0.00	6.16	0.0	6.16
07/17/2018	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.87	0.00	3.87	0.0	3.87
07/17/2018	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.88	0.00	3.88	0.0	3.88
07/16/2018	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.53	0.00	4.53	0.0	4.53
07/16/2018	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.09	0.00	0.09	0.0	0.09
07/23/2018	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.14	0.00	0.14	0.0	0.14
07/23/2018	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.21	0.00	0.21	0.0	0.21
07/23/2018	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
		* MEREDITH MCCUTCHEN SUBTOTAL *	\$0.00	\$41.87	\$0.00	\$41.87		\$41.87
<b><u>BROKER: GREGORY FRIEL #223</u></b>								
07/16/2018	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.61	0.00	0.61	0.0	0.61
07/16/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.63	0.00	3.63	0.0	3.63
07/16/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.75	0.00	1.75	0.0	1.75
07/16/2018	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.88	0.00	4.88	0.0	4.88
07/16/2018	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.93	0.00	2.93	0.0	2.93
07/16/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.18	0.00	1.18	0.0	1.18
07/16/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.47	0.00	0.47	0.0	0.47
07/19/2018	FRIEL, JEREMY	MFS BOND A	0.00	1.15	0.00	1.15	0.0	1.15
07/19/2018	FRIEL, SHELLEY	MFS BOND A	0.00	0.21	0.00	0.21	0.0	0.21
07/19/2018	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.80	0.00	0.80	0.0	0.80
07/19/2018	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.25	0.00	0.25	0.0	0.25

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<b><u>BROKER: GREGORY FRIEL #223</u></b>								
07/19/2018	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.27	0.00	0.27	0.0	0.27
07/19/2018	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.53	0.00	1.53	0.0	1.53
07/19/2018	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.33	0.00	5.33	0.0	5.33
07/19/2018	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	9.05	0.00	9.05	0.0	9.05
07/19/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.25	0.00	0.25	0.0	0.25
07/19/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	11.48	0.00	11.48	0.0	11.48
07/19/2018	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.44	0.00	3.44	0.0	3.44
* GREGORY FRIEL SUBTOTAL *			\$0.00	\$49.21	\$0.00	\$49.21		\$49.21
<b><u>BROKER: JAMES CRAWFORD #225</u></b>								
07/23/2018	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.09	0.00	3.09	0.0	3.09
07/31/2018	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	0.00	1.90	0.00	1.90	0.0	1.90
07/31/2018	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	0.00	1.51	0.00	1.51	0.0	1.51
* JAMES CRAWFORD SUBTOTAL *			\$0.00	\$6.50	\$0.00	\$6.50		\$6.50
<b><u>BROKER: CARL WORDEN III #232</u></b>								
08/03/2018	BODINE, ANN	AMERICAN FIN TR INC COM CL A	-281.92	22.00	22.50	-0.50	0.0	-0.50
07/31/2018	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	0.00	9.02	0.00	9.02	0.0	9.02
* CARL WORDEN III SUBTOTAL *			\$-281.92	\$31.02	\$22.50	\$8.52		\$8.52
<b><u>BROKER: MARIA BONGIOVANNI #237</u></b>								
07/31/2018	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.37	0.00	3.37	0.0	3.37
07/31/2018	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.36	0.00	3.36	0.0	3.36
07/31/2018	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.36	0.00	3.36	0.0	3.36
* MARIA BONGIOVANNI SUBTOTAL *			\$0.00	\$10.09	\$0.00	\$10.09		\$10.09
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
06/28/2018	MILLER, MARTIN	METLIFE TRAILS	106,461.43	26.62	0.00	26.62	0.0	26.62
07/31/2018	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.37	0.00	3.37	0.0	3.37
08/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$106,586.43	\$31.24	\$0.00	\$31.24		\$31.24
<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
07/17/2018	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.75	0.00	0.75	0.0	0.75
07/17/2018	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.49	0.00	0.49	0.0	0.49
07/17/2018	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.17	0.00	0.17	0.0	0.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: JOSEPH, M138 MICHALETZ #286</u></b>								
07/17/2018	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.56	0.00	0.56	0.0	0.56
07/17/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.05
07/17/2018	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.31	0.00	0.31	0.0	0.31
07/17/2018	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.84	0.00	0.84	0.0	0.84
07/17/2018	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.86	0.00	0.86	0.0	0.86
07/17/2018	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.50	0.00	0.50	0.0	0.50
07/17/2018	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.08	0.00	0.08	0.0	0.08
07/17/2018	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.59	0.00	1.59	0.0	1.59
07/17/2018	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.39	0.00	0.39	0.0	0.39
07/17/2018	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.60	0.00	1.60	0.0	1.60
07/17/2018	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	0.00	1.45	0.0	1.45
07/17/2018	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.52	0.00	0.52	0.0	0.52
07/17/2018	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.53	0.00	0.53	0.0	0.53
07/17/2018	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.29	0.00	0.29	0.0	0.29
07/17/2018	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.11	0.00	0.11	0.0	0.11
07/17/2018	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.50	0.00	0.50	0.0	0.50
07/17/2018	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.30	0.00	0.30	0.0	0.30
07/17/2018	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.08	0.00	0.08	0.0	0.08
07/17/2018	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.39	0.00	0.39	0.0	0.39
07/17/2018	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.06	0.00	0.06	0.0	0.06
07/17/2018	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	0.0	1.03
07/17/2018	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.38	0.00	0.38	0.0	0.38
07/26/2018	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	7.65	0.00	7.65	0.0	7.65
07/02/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.21	0.00	4.21	0.0	4.21
07/02/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.62	0.00	12.62	0.0	12.62
07/02/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.48	0.00	21.48	0.0	21.48
07/02/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	64.44	0.00	64.44	0.0	64.44
07/02/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.69	0.00	42.69	0.0	42.69
07/02/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	128.08	0.00	128.08	0.0	128.08
07/02/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.13	0.00	2.13	0.0	2.13
07/02/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.39	0.00	6.39	0.0	6.39
07/02/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.21	0.00	32.21	0.0	32.21
07/02/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	96.63	0.00	96.63	0.0	96.63

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
07/02/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.02	0.00	11.02	0.0	11.02
07/02/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.07	0.00	33.07	0.0	33.07
07/02/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.64	0.00	9.64	0.0	9.64
07/02/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.91	0.00	28.91	0.0	28.91
07/02/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.63	0.00	9.63	0.0	9.63
07/02/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.90	0.00	28.90	0.0	28.90
07/16/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.46	0.00	2.46	0.0	2.46
07/16/2018	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.38	0.00	7.38	0.0	7.38
07/16/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.93	0.00	20.93	0.0	20.93
07/16/2018	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	62.80	0.00	62.80	0.0	62.80
07/16/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	41.61	0.00	41.61	0.0	41.61
07/16/2018	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	124.82	0.00	124.82	0.0	124.82
07/16/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.80	0.00	1.80	0.0	1.80
07/16/2018	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.40	0.00	5.40	0.0	5.40
07/16/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	27.23	0.00	27.23	0.0	27.23
07/16/2018	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	81.68	0.00	81.68	0.0	81.68
07/16/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.16	0.00	7.16	0.0	7.16
07/16/2018	WINCHESTER TRUST, HEATHER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.49	0.00	21.49	0.0	21.49
07/16/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.39	0.00	9.39	0.0	9.39
07/16/2018	WOLTMAN TRUST, NICOLE HELEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.17	0.00	28.17	0.0	28.17
07/16/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.39	0.00	9.39	0.0	9.39
07/16/2018	WOLTMAN TRUST, JACOB MICHAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.16	0.00	28.16	0.0	28.16
07/12/2018	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	17.28	0.00	17.28	0.0	17.28
07/12/2018	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	12.07	0.00	12.07	0.0	12.07
07/12/2018	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.90	0.00	2.90	0.0	2.90
07/16/2018	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	8.14	0.00	8.14	0.0	8.14
07/16/2018	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	12.51	0.00	12.51	0.0	12.51
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	43.15	0.00	43.15	0.0	43.15
07/23/2018	REILLY, KAREN	INVESCO MIDCAP GROWTH A	0.00	24.44	0.00	24.44	0.0	24.44
07/23/2018	VAN SISTINE, JEANNE	INVESCO MIDCAP GROWTH A	0.00	22.01	0.00	22.01	0.0	22.01
07/23/2018	PLOTZ, ROSANN	INVESCO MIDCAP GROWTH A	0.00	1.86	0.00	1.86	0.0	1.86
07/23/2018	REINKE, RONALD	INVESCO MIDCAP GROWTH A	0.00	11.45	0.00	11.45	0.0	11.45
/ /	BOUDREAU, WILLIAM	JH 529 LIFESTYLE GROWTH A	0.00	0.85	0.00	0.85	0.0	0.85

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
/ /	KOCON, ANTHONY	JH 529 LIFESTYLE GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03
/ /	KOCON, ANTHONY	JH 529 LIFESTYLE GROWTH A	0.00	0.48	0.00	0.48	0.0	0.48
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	0.0	1.00
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	12.13	0.00	12.13	0.0	12.13
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.31	0.00	0.31	0.0	0.31
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.76	0.00	1.76	0.0	1.76
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	8.96	0.00	8.96	0.0	8.96
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	0.0	1.00
08/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
08/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
08/01/2018	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	0.0	1.00
07/13/2018	TURNER, WANDA	JH HIGH YIELD A	0.00	0.78	0.00	0.78	0.0	0.78
07/13/2018	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	4.14	0.00	4.14	0.0	4.14
07/13/2018	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	8.43	0.00	8.43	0.0	8.43
07/13/2018	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	6.35	0.00	6.35	0.0	6.35
08/06/2018	REITER, KAREN	JNL PERSPECTIVE	0.00	375.00	0.00	375.00	0.0	375.00
07/31/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	0.00	50.37	0.00	50.37	0.0	50.37
07/31/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	0.00	130.65	0.00	130.65	0.0	130.65
07/31/2018	BOYUM, LEE	JNL PERSPECTIVE II 04/14	0.00	1,190.62	0.00	1,190.62	0.0	1,190.62
07/31/2018	ROSE, ERIC	JNL PERSPECTIVE L	0.00	40.45	0.00	40.45	0.0	40.45
07/31/2018	ANDERSEN, WAYNE	JNL PERSPECTIVE L	0.00	123.32	0.00	123.32	0.0	123.32
07/31/2018	CALABRESE, CAROL	JNL PERSPECTIVE L	0.00	232.20	0.00	232.20	0.0	232.20
07/31/2018	DALLMANN, HOWARD	JNL PERSPECTIVE L	0.00	170.00	0.00	170.00	0.0	170.00
07/31/2018	DALLMANN, MARGARET	JNL PERSPECTIVE L	0.00	20.41	0.00	20.41	0.0	20.41
07/31/2018	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	0.00	600.85	0.00	600.85	0.0	600.85
07/31/2018	REED, MARY	JNL PERSPECTIVE L	0.00	234.27	0.00	234.27	0.0	234.27
07/31/2018	SKELLY, SCOTT	JNL PERSPECTIVE L	0.00	218.95	0.00	218.95	0.0	218.95
07/31/2018	SKELLY, BRENDA	JNL PERSPECTIVE L	0.00	24.35	0.00	24.35	0.0	24.35
07/31/2018	BUCK, DELORES	JNL PERSPECTIVE L	0.00	217.80	0.00	217.80	0.0	217.80
07/31/2018	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	0.00	208.63	0.00	208.63	0.0	208.63
07/31/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	0.00	103.20	0.00	103.20	0.0	103.20
07/31/2018	JOHNSON, HERMIT	JNL PERSPECTIVE L	0.00	7.08	0.00	7.08	0.0	7.08
07/31/2018	JOHNSON, KAREN	JNL PERSPECTIVE L	0.00	7.08	0.00	7.08	0.0	7.08

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
07/31/2018	STEVENS, SANDRA	JNL PERSPECTIVE L	0.00	20.37	0.00	20.37	0.0	20.37
07/31/2018	WETZELL, PAMELA	JNL PERSPECTIVE L	0.00	77.21	0.00	77.21	0.0	77.21
07/31/2018	REITER, KAREN	JNL PERSPECTIVE L	0.00	5.83	0.00	5.83	0.0	5.83
08/02/2018	WETZELL, BENJAMIN	JOHN HANCOCK LIFE TRAILS	150.00	3.00	0.00	3.00	0.0	3.00
07/19/2018	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.40	0.00	0.40	0.0	0.40
07/19/2018	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	7.27	0.00	7.27	0.0	7.27
07/19/2018	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.68	0.00	0.68	0.0	0.68
07/19/2018	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.65	0.00	4.65	0.0	4.65
07/19/2018	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	6.46	0.00	6.46	0.0	6.46
07/19/2018	POLTZ, ALAN	MFS RESEARCH A	0.00	0.43	0.00	0.43	0.0	0.43
07/23/2018	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.55	0.00	3.55	0.0	3.55
07/17/2018	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.78	0.00	0.78	0.0	0.78
07/17/2018	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	3.78	0.00	3.78	0.0	3.78
07/16/2018	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	25.25	0.00	25.25	0.0	25.25
07/16/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.48	0.00	2.48	0.0	2.48
07/16/2018	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	17.76	0.00	17.76	0.0	17.76
07/16/2018	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	4.88	0.00	4.88	0.0	4.88
07/16/2018	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	13.42	0.00	13.42	0.0	13.42
07/16/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	73.79	0.00	73.79	0.0	73.79
07/16/2018	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	8.70	0.00	8.70	0.0	8.70
08/02/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	39.89	0.00	39.89	0.0	39.89
08/02/2018	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	4.10	0.00	4.10	0.0	4.10
08/02/2018	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	1.43	0.00	1.43	0.0	1.43
08/02/2018	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	12.40	0.00	12.40	0.0	12.40
08/02/2018	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	6.70	0.00	6.70	0.0	6.70
08/02/2018	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	3.99	0.00	3.99	0.0	3.99
08/02/2018	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.99	0.00	1.99	0.0	1.99
08/02/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	4.46	0.00	4.46	0.0	4.46
08/02/2018	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.48	0.00	4.48	0.0	4.48
08/02/2018	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.16	0.00	0.16	0.0	0.16
07/16/2018	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	33.13	0.00	33.13	0.0	33.13
07/16/2018	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	66.06	0.00	66.06	0.0	66.06
08/02/2018	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.89	0.00	25.89	0.0	25.89

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<b><u> BROKER: JOSEPH, M138 MICHALETZ #286 </u></b>								
08/02/2018	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	3.58	0.00	3.58	0.0	3.58
07/16/2018	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.92	0.00	0.92	0.0	0.92
07/16/2018	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.88	0.00	0.88	0.0	0.88
07/16/2018	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	1.01	0.00	1.01	0.0	1.01
07/16/2018	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.30	0.00	1.30	0.0	1.30
07/11/2018	FITZSIMMONS, KEVIN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	2.26	0.00	2.26	0.0	2.26
<b> * JOSEPH, M138 MICHALETZ SUBTOTAL * </b>			<b>\$450.00</b>	<b>\$5,688.98</b>	<b>\$0.00</b>	<b>\$5,688.98</b>		<b>\$5,688.98</b>
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
07/17/2018	PEMBLE, JOCELYN	529 AMCAP FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.40
07/17/2018	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.28	0.00	0.28	0.0	0.28
07/02/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.20	0.00	15.20	0.0	15.20
07/02/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	45.59	0.00	45.59	0.0	45.59
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.52	0.00	8.52	0.0	8.52
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.56	0.00	25.56	0.0	25.56
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.40	0.00	2.40	0.0	2.40
07/02/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.19	0.00	7.19	0.0	7.19
07/16/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.81	0.00	14.81	0.0	14.81
07/16/2018	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.43	0.00	44.43	0.0	44.43
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.54	0.00	5.54	0.0	5.54
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.61	0.00	16.61	0.0	16.61
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.56	0.00	1.56	0.0	1.56
07/16/2018	SOUKUP, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.67	0.00	4.67	0.0	4.67
07/12/2018	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	5.03	0.00	5.03	0.0	5.03
07/23/2018	ATKINSON, VONDA	INVESCO INTERNATIONAL GROWTH A	0.00	39.99	0.00	39.99	0.0	39.99
07/23/2018	ATKINSON, VONDA	INVESCO MIDCAP GROWTH A	0.00	19.89	0.00	19.89	0.0	19.89
07/13/2018	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
07/13/2018	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.06
07/13/2018	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.06
07/13/2018	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	0.0	0.32
07/13/2018	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.46	0.00	0.46	0.0	0.46
07/13/2018	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.69	0.00	2.69	0.0	2.69
07/31/2018	HOWELL, NICOLE	JNL PERSPECTIVE L	0.00	181.61	0.00	181.61	0.0	181.61
07/31/2018	STIERLEN, ROGER	JNL PERSPECTIVE L	0.00	77.01	0.00	77.01	0.0	77.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
07/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	127.08	0.00	127.08	0.0	127.08
07/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	28.45	0.00	28.45	0.0	28.45
07/31/2018	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	0.00	52.82	0.00	52.82	0.0	52.82
07/31/2018	DAWSON, DEANNA	JNL PERSPECTIVE L	0.00	31.57	0.00	31.57	0.0	31.57
07/31/2018	HART, JILLANE	JNL PERSPECTIVE L	0.00	86.70	0.00	86.70	0.0	86.70
07/31/2018	HART, KEVIN	JNL PERSPECTIVE L	0.00	256.12	0.00	256.12	0.0	256.12
07/13/2018	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.04
07/31/2018	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	0.00	225.59	0.00	225.59	0.0	225.59
07/30/2018	PROKOP, MATTHEW	LINCOLN LIFE	1,800.00	45.00	0.00	45.00	0.0	45.00
07/19/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	17.98	0.00	17.98	0.0	17.98
07/19/2018	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	14.56	0.00	14.56	0.0	14.56
07/19/2018	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.62	0.00	4.62	0.0	4.62
07/19/2018	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.36	0.00	12.36	0.0	12.36
07/23/2018	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.43	0.00	0.43	0.0	0.43
07/23/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.80	0.00	2.80	0.0	2.80
07/23/2018	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	0.84	0.00	0.84	0.0	0.84
07/23/2018	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	0.94	0.00	0.94	0.0	0.94
07/23/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	0.00	0.20	0.00	0.20	0.0	0.20
07/23/2018	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	10.48	0.00	10.48	0.0	10.48
07/23/2018	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.97	0.00	0.97	0.0	0.97
07/17/2018	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	23.67	0.00	23.67	0.0	23.67
07/16/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	3.71	0.00	3.71	0.0	3.71
07/16/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.82	0.00	3.82	0.0	3.82
07/16/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.48	0.00	2.48	0.0	2.48
07/16/2018	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.26	0.00	5.26	0.0	5.26
07/16/2018	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	6.30	0.00	6.30	0.0	6.30
07/16/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	20.45	0.00	20.45	0.0	20.45
07/16/2018	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	17.74	0.00	17.74	0.0	17.74
07/23/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	192.66	1.93	0.00	1.93	0.0	1.93
07/23/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	192.66	1.93	0.00	1.93	0.0	1.93
07/31/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	59.70	0.60	0.00	0.60	0.0	0.60
07/31/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	59.70	0.60	0.00	0.60	0.0	0.60
08/02/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.26	0.00	7.26	0.0	7.26

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JACK, M137 MICHALETZ #287 </u></b>								
08/02/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.76	0.00	2.76	0.0	2.76
08/02/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.12	0.00	12.12	0.0	12.12
08/02/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	6.00	0.00	6.00	0.0	6.00
08/02/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.60	0.00	0.60	0.0	0.60
08/02/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	0.00	1.08	0.00	1.08	0.0	1.08
08/02/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	0.00	0.47	0.00	0.47	0.0	0.47
07/16/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	31.77	0.00	31.77	0.0	31.77
07/23/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	261.29	2.61	0.00	2.61	0.0	2.61
07/23/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	261.29	2.61	0.00	2.61	0.0	2.61
08/02/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.21	0.00	5.21	0.0	5.21
08/02/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.33	0.00	1.33	0.0	1.33
08/02/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.41	0.00	2.41	0.0	2.41
08/02/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	11.57	0.00	11.57	0.0	11.57
08/02/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	8.10	0.00	8.10	0.0	8.10
08/02/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	5.36	0.00	5.36	0.0	5.36
07/16/2018	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.81	0.00	0.81	0.0	0.81
07/16/2018	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.76	0.00	0.76	0.0	0.76
07/16/2018	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.50	0.00	0.50	0.0	0.50
07/16/2018	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	0.0	0.44
07/16/2018	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.66	0.00	1.66	0.0	1.66
07/25/2018	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
07/25/2018	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.50
<b>* JACK, M137 MICHALETZ SUBTOTAL *</b>			<b>\$2,927.30</b>	<b>\$1,638.41</b>	<b>\$0.00</b>	<b>\$1,638.41</b>		<b>\$1,638.41</b>
<b><u> BROKER: 46G-JOE/JACK MICHALETZ #290 </u></b>								
07/02/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.57	0.00	8.57	0.0	8.57
07/02/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.72	0.00	25.72	0.0	25.72
07/16/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.85	0.00	5.85	0.0	5.85
07/16/2018	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.55	0.00	17.55	0.0	17.55
<b>* 46G-JOE/JACK MICHALETZ SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$57.69</b>	<b>\$0.00</b>	<b>\$57.69</b>		<b>\$57.69</b>
<b><u> BROKER: JAMES, B153 BREWER #291 </u></b>								
07/17/2018	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.21	0.00	0.21	0.0	0.21
07/17/2018	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.58	0.00	3.58	0.0	3.58

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES, B153 BREWER #291</u></b>								
07/17/2018	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	4.04	0.00	4.04	0.0	4.04
07/17/2018	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.42	0.00	3.42	0.0	3.42
07/17/2018	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	0.0	0.25
07/17/2018	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	56.55	0.00	56.55	0.0	56.55
07/17/2018	BEBOUT, DARRELL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.97	0.00	4.97	0.0	4.97
07/17/2018	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	12.74	0.00	12.74	0.0	12.74
07/17/2018	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	10.16	0.00	10.16	0.0	10.16
07/12/2018	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE FUND	0.00	0.05	0.00	0.05	0.0	0.05
07/17/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.13	0.00	2.13	0.0	2.13
07/17/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.14	0.00	1.14	0.0	1.14
07/17/2018	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	2.06	0.00	2.06	0.0	2.06
07/17/2018	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME PO	0.00	1.10	0.00	1.10	0.0	1.10
07/24/2018	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.06	0.00	0.06	0.0	0.06
07/16/2018	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	0.0	0.05
07/13/2018	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS A	0.00	0.34	0.00	0.34	0.0	0.34
07/23/2018	COMPTON, SHARON	FRANKLIN INCOME A	0.00	2.11	0.00	2.11	0.0	2.11
07/17/2018	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	7.04	0.00	7.04	0.0	7.04
07/17/2018	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES F	0.00	1.57	0.00	1.57	0.0	1.57
07/17/2018	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	5.29	0.00	5.29	0.0	5.29
07/23/2018	WESS, DONALD	ING LANDMARK	35,420.98	110.69	0.00	110.69	0.0	110.69
07/23/2018	SELCER, RICHARD	INVESCO BALANCED RISK ALLOCATION A	0.00	5.25	0.00	5.25	0.0	5.25
07/23/2018	NEWSOME, ALVIE	INVESCO BALANCED RISK ALLOCATION A	0.00	5.11	0.00	5.11	0.0	5.11
07/31/2018	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	0.00	955.80	0.00	955.80	0.0	955.80
07/19/2018	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
07/23/2018	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	0.00	0.01	0.0	0.01
07/19/2018	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	8.17	0.00	8.17	0.0	8.17
07/19/2018	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	0.00	0.03	0.0	0.03
07/19/2018	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
07/23/2018	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.80	0.00	3.80	0.0	3.80
07/23/2018	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	58.15	0.00	58.15	0.0	58.15
07/23/2018	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	0.00	0.03	0.0	0.03
07/20/2018	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO OPTIMIZATI	0.00	0.09	0.00	0.09	0.0	0.09
07/12/2018	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND C	0.00	21.63	0.00	21.63	0.0	21.63

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES, B153 BREWER #291</u></b>								
07/23/2018	MYERS, GARY	TEMPLETON GROWTH A	0.00	30.85	0.00	30.85	0.0	30.85
07/23/2018	MYERS, GARY	TEMPLETON WORLD A	0.00	0.44	0.00	0.44	0.0	0.44
07/12/2018	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH FU	0.00	4.22	0.00	4.22	0.0	4.22
07/12/2018	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY FUN	0.00	1.99	0.00	1.99	0.0	1.99
07/13/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.00	0.03	0.0	0.03
07/16/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	-0.03	0.00	-0.03	0.0	-0.03
07/23/2018	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	0.00	0.03	0.0	0.03
<b>* JAMES, B153 BREWER SUBTOTAL *</b>			<b>\$35,420.98</b>	<b>\$1,325.27</b>	<b>\$0.00</b>	<b>\$1,325.27</b>		<b>\$1,325.27</b>
<b><u>BROKER: MARTIN PROSHEK #355</u></b>								
07/24/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.09	0.00	0.09	0.0	0.09
<b>* MARTIN PROSHEK SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.09</b>	<b>\$0.00</b>	<b>\$0.09</b>		<b>\$0.09</b>
<b><u>BROKER: OVERRIDE REJECT #360</u></b>								
08/02/2018	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	0.0	2.76
08/02/2018	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	0.0	1.74
<b>* OVERRIDE REJECT SUBTOTAL *</b>			<b>\$225.00</b>	<b>\$4.50</b>	<b>\$0.00</b>	<b>\$4.50</b>		<b>\$4.50</b>
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
07/31/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	0.00	97.85	0.00	97.85	0.0	9.79
07/19/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.42	0.00	4.42	0.0	0.44
07/19/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.56	0.00	3.56	0.0	0.36
07/23/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.76	0.00	2.76	0.0	0.28
07/31/2018	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.79	0.00	8.79	0.0	0.88
07/31/2018	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.52	0.00	12.52	0.0	1.25
07/31/2018	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	19.17	0.00	19.17	0.0	1.92
07/23/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.25	0.00	6.25	0.0	0.63
07/20/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.06	0.00	1.06	0.0	0.11
07/20/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.34	0.00	1.34	0.0	0.13
07/20/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.36	0.00	0.36	0.0	0.04
07/20/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.13	0.00	5.13	0.0	0.51
07/20/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.83	0.00	4.83	0.0	0.48
07/20/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.04	0.00	9.04	0.0	0.90
07/20/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.81	0.00	1.81	0.0	0.18
07/23/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.94	0.00	4.94	0.0	0.49

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.49	0.00	5.49	0.0	0.55
07/17/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.16	0.00	0.16	0.0	0.02
07/17/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.64	0.00	0.64	0.0	0.06
07/17/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.33	0.00	5.33	0.0	0.53
07/17/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.22	0.00	2.22	0.0	0.22
07/17/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.70	0.00	0.70	0.0	0.07
07/17/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.06	0.00	1.06	0.0	0.11
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$199.43</b>	<b>\$0.00</b>	<b>\$199.43</b>		<b>\$19.95</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$1,228,254.90</b>	<b>\$14,621.29</b>	<b>\$73.00</b>	<b>\$14,548.29</b>		<b>\$14,368.81</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,179.72
Split Transactions	\$0.00
Override Transactions	\$14,368.81
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,548.53
Year-to-date Gross	\$93,597.78
Year-to-date Earnings	\$362,177.49

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed