



Concorde Investment Services, LLC

11/30/2017

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COMMISSION STATEMENT

November 30, 2017

DAVID KERN
4321 Flagstaff Cove

BROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/19/2017	HELMBERGER, GENNA	ABN AMRO	0.00	5.92	0.00	5.92	90.0	5.33
10/30/2017	KERN, BRIAN	ABN AMRO	0.00	12.04	0.00	12.04	90.0	10.84
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.19	0.00	0.19	90.0	0.17
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.04	0.00	0.04	90.0	0.04
/ /	DAVIS, PATRICIA	PROFUNDS BASIC MATERIALS SVC	0.00	0.56	0.00	0.56	90.0	0.50
/ /	COPELAND, DANIEL	PROFUNDS BASIC MATERIALS SVC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.04	0.00	0.04	90.0	0.04
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.01	0.00	0.01	90.0	0.01
/ /	DAVIS, PATRICIA	PROFUNDS OIL & GAS SVC	0.00	0.13	0.00	0.13	90.0	0.12
/ /	COPELAND, DANIEL	PROFUNDS OIL & GAS SVC	0.00	0.03	0.00	0.03	90.0	0.03
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.09	0.00	0.09	90.0	0.08
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.02	0.00	0.02	90.0	0.02
/ /	DAVIS, PATRICIA	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.26	0.00	0.26	90.0	0.23
/ /	COPELAND, DANIEL	PROFUNDS OIL EQUIP SVCS & DIST SVC	0.00	0.05	0.00	0.05	90.0	0.05
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	1.11	0.00	1.11	90.0	1.00
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.22	0.00	0.22	90.0	0.20
/ /	DAVIS, PATRICIA	PROFUNDS PRECIOUS METALS SVC	0.00	3.33	0.00	3.33	90.0	3.00
/ /	COPELAND, DANIEL	PROFUNDS PRECIOUS METALS SVC	0.00	0.66	0.00	0.66	90.0	0.59
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$24.81	\$0.00	\$24.81		\$22.35
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/06/2017	SCHENKEL, JOHN	AMERITAS LOWLOAD VUL	1,655.44	0.14	0.00	0.14	90.0	0.13
11/17/2017	KERN, HELMBERGER	AMERITAS LOWLOAD VUL	617.67	0.05	0.00	0.05	90.0	0.05
11/15/2017	FORTNEY, ELIZABETH	JNL ELITE ACCESS	400.00	5.00	0.00	5.00	90.0	4.50
11/15/2017	FREISTROFFER, HENRY	JNL PERSPECTIVE L	3,000.00	120.00	0.00	120.00	90.0	108.00
/ /	MARATHON LABELS 401K	NWL FLEX ADVANTAGE	306,765.93	63.03	0.00	63.03	90.0	56.73
/ /	NEW HAVEN PRINT &	NWL FLEX ADVANTAGE	864,787.26	213.24	0.00	213.24	90.0	191.92

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
/ /	MARATHON LABELS 401K	NWL POOLED FXD	51,912.88	10.67	0.00	10.67	90.0	9.60
/ /	NEW HAVEN PRINT &	NWL POOLED FXD	3,163.05	0.78	0.00	0.78	90.0	0.70
* VARIABLE ANNUITIES SUBTOTAL *			\$1,232,302.23	\$412.91	\$0.00	\$412.91		\$371.63
*** DIRECT TRANSACTIONS TOTAL ***			\$1,232,302.23	\$437.72	\$0.00	\$437.72		\$393.98

BROKER COMMISSION TOTALS

Broker Transactions	\$393.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$393.98
Year-to-date Gross	\$103,413.50
Year-to-date Earnings	\$93,110.22

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
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