

COMMISSION STATEMENT

June 15, 2018

ROBERT CALDWELL-D013

BROKER #: D013

BRANCH: DME

, TX

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/14/2018 (None)	SUMIDA	MF COLLEGE BOUND	B	100.00	3.50	0.00	3.50	90.0	3.15
05/29/2018 00067541249	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
05/29/2018 00067549730	PATRICK, CHAD	MF THE GROWTH FUND OF AMERICA-529A	B	75.00	3.19	0.00	3.19	90.0	2.87
06/01/2018 00068087475	PULLIAM, RYAN	MF THE NEW ECONOMY FUND-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
06/01/2018 00068087476	PULLIAM, RYAN	MF AMERICAN BALANCED FUND-529C	B	250.00	2.50	0.00	2.50	90.0	2.25
06/04/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
06/04/2018 00068424625	GOWAN, MARY	MF AMERICAN BALANCED FUND-C	B	20.00	0.20	0.00	0.20	90.0	0.18
06/04/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	103.84	1.04	0.00	1.04	90.0	0.94
06/04/2018 00074694907	REYNOLDS, BETHANY	MF AMERICAN BALANCED FUND-C	B	103.84	1.04	0.00	1.04	90.0	0.94
05/30/2018 00075317599	COPELAND, SUSAN	MF AMERICAN BALANCED FUND-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
05/30/2018 00075317599	COPELAND, SUSAN	MF FUNDAMENTAL INVESTORS-529A	B	50.00	1.00	0.00	1.00	90.0	0.90
05/30/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	34.55	0.69	0.00	0.69	90.0	0.62
05/30/2018 00081948467	EVANS, LULA	MF AMERICAN BALANCED FUND-A	B	92.15	1.84	0.00	1.84	90.0	1.66
05/30/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	6.10	0.12	0.00	0.12	90.0	0.11
05/30/2018 00081948467	EVANS, LULA	MF THE INCOME FUND OF AMERICA-A	B	16.26	0.33	0.00	0.33	90.0	0.30
05/30/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.12	0.56	0.00	0.56	90.0	0.50
05/30/2018 00081948520	WRIGHT, SHELIA	MF CAPITAL WORLD GROWTH AND INCOME	B	28.13	0.56	0.00	0.56	90.0	0.50
05/30/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.13	0.56	0.00	0.56	90.0	0.50
05/30/2018 00081948520	WRIGHT, SHELIA	MF THE GROWTH FUND OF AMERICA-A	B	28.12	0.56	0.00	0.56	90.0	0.50
05/30/2018 00081948549	HINDS, MICHAEL	MF AMERICAN BALANCED FUND-A	B	51.00	1.02	0.00	1.02	90.0	0.92
05/30/2018 00081948549	HINDS, MICHAEL	MF AMERICAN BALANCED FUND-A	B	51.00	1.02	0.00	1.02	90.0	0.92
05/30/2018 00081948549	HINDS, MICHAEL	MF AMERICAN HIGH-INCOME TRUST-A	B	49.50	0.99	0.00	0.99	90.0	0.89
05/30/2018 00081948549	HINDS, MICHAEL	MF AMERICAN HIGH-INCOME TRUST-A	B	49.50	0.99	0.00	0.99	90.0	0.89
05/30/2018 00081948549	HINDS, MICHAEL	MF U.S. GOVERNMENT SECURITIES FUND	B	49.50	0.99	0.00	0.99	90.0	0.89
05/30/2018 00081948549	HINDS, MICHAEL	MF U.S. GOVERNMENT SECURITIES FUND	B	49.50	0.99	0.00	0.99	90.0	0.89
05/30/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.57	0.23	0.00	0.23	90.0	0.21
05/30/2018 00081948685	SHELTON, LAURA	MF FUNDAMENTAL INVESTORS-A	B	11.56	0.23	0.00	0.23	90.0	0.21
05/30/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
05/30/2018 00081948685	SHELTON, LAURA	MF NEW PERSPECTIVE FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
05/30/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21
05/30/2018 00081948685	SHELTON, LAURA	MF NEW WORLD FUND-A	B	11.56	0.23	0.00	0.23	90.0	0.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/30/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.56	0.23	0.00	0.23	90.0	0.21
05/30/2018 00081948685	SHELTON, LAURA	MF THE GROWTH FUND OF AMERICA-A	B	11.57	0.23	0.00	0.23	90.0	0.21
05/30/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	30.91	0.31	0.00	0.31	90.0	0.28
05/30/2018 00087734807	JARRED, GINA	MF AMERICAN BALANCED FUND-C	B	103.02	1.03	0.00	1.03	90.0	0.93
05/30/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	34.54	0.35	0.00	0.35	90.0	0.32
05/30/2018 00087734863	WHITE, PEGGY	MF AMERICAN BALANCED FUND-C	B	34.54	0.35	0.00	0.35	90.0	0.32
05/30/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	29.09	0.29	0.00	0.29	90.0	0.26
05/30/2018 00089597043	MCINTOSH, GINA	MF GROWTH PORTFOLIO-C	B	29.09	0.29	0.00	0.29	90.0	0.26
05/30/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	5.91	0.06	0.00	0.06	90.0	0.05
05/30/2018 00089696651	ALEXANDER,	MF CAPITAL INCOME BUILDER CLASS C	B	9.85	0.10	0.00	0.10	90.0	0.09
05/30/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	6.89	0.07	0.00	0.07	90.0	0.06
05/30/2018 00089696651	ALEXANDER,	MF INVESTMENT COMPANY OF AMERICA	B	11.48	0.11	0.00	0.11	90.0	0.10
05/30/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	6.89	0.07	0.00	0.07	90.0	0.06
05/30/2018 00089696651	ALEXANDER,	MF THE GROWTH FUND OF AMERICA-C	B	11.49	0.11	0.00	0.11	90.0	0.10
05/30/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
05/30/2018 00089696666	STOOKSBERRY,	MF AMERICAN BALANCED FUND-C	B	82.50	0.83	0.00	0.83	90.0	0.75
05/30/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	55.06	0.55	0.00	0.55	90.0	0.50
05/30/2018 00089696672	LAMB, TERESA	MF AMERICAN BALANCED FUND-C	B	55.06	0.55	0.00	0.55	90.0	0.50
05/30/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	34.98	0.35	0.00	0.35	90.0	0.32
05/30/2018 00089788987	GARGUS, LORI	MF AMERICAN BALANCED FUND-C	B	34.98	0.35	0.00	0.35	90.0	0.32
05/30/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	30.41	0.30	0.00	0.30	90.0	0.27
05/30/2018 00089980324	SMITH, TRISHA	MF AMERICAN BALANCED FUND-C	B	30.41	0.30	0.00	0.30	90.0	0.27
05/30/2018 04000412607	HUFFSTETLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
05/30/2018 04000412607	HUFFSTETLER,	MF AMERICAN BALANCED FUND-C	B	90.00	0.90	0.00	0.90	90.0	0.81
* MUTUAL FUNDS SUBTOTAL *				\$2,501.34	\$39.92	\$0.00	\$39.92		\$35.98

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/31/2018 1011849420	JACKMAN, JENNIFER	VA PERSP L 08/11	B	68,549.92	57.12	0.00	57.12	90.0	51.41
05/31/2018 1011852102	JACKMAN, JENNIFER	VA ELITE ACCESS L	B	22,206.22	18.51	0.00	18.51	90.0	16.66
05/31/2018 1012316590	AVILA PENSION	VA PERSP L 08/11	B	550,511.49	458.76	0.00	458.76	90.0	412.88
05/31/2018 1014122890	LAMBERT, RONALD	VA PERSP L 08/11	B	89,248.22	74.37	0.00	74.37	90.0	66.93
05/31/2018 1014231907	UTLEY, MARTHA	VA PERSP L 08/11	B	296,328.29	246.94	0.00	246.94	90.0	222.25
05/31/2018 1015402721	LEFORGE, JUDY	VA PERSP L 08/11	B	42,871.38	35.73	0.00	35.73	90.0	32.16
05/31/2018 1015649484	WINTER, DONALD	VA PERSP L 08/11	B	77,675.27	64.73	0.00	64.73	90.0	58.26
05/31/2018 1015919945	NAVEL, FRIEDA	VA PERSP L 08/11	B	36,808.44	30.67	0.00	30.67	90.0	27.60
05/31/2018 1016060638	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	64,008.30	53.34	0.00	53.34	90.0	48.01
05/31/2018 1016060646	WILLIAMS, WILLIAM	VA ELITE ACCESS	B	99,964.75	83.30	0.00	83.30	90.0	74.97
05/31/2018 1016060760	WILLIAMS, GLYNDA	VA ELITE ACCESS	B	6,593.51	5.49	0.00	5.49	90.0	4.94
05/31/2018 1016122048	WOODS, WILLIAM	VA PERSP L 08/11	B	121,357.61	101.13	0.00	101.13	90.0	91.02
05/31/2018 1016747269	BREEDEN TATE, MARY	VA ELITE ACCESS L	B	84,936.22	70.78	0.00	70.78	90.0	63.70
05/31/2018 1016835974	HOLLIDAY, REBECCA	VA ELITE ACCESS L	B	13,644.53	11.37	0.00	11.37	90.0	10.23
05/31/2018 1016922273	WILLIAMS, BRYAN	VA ELITE ACCESS L	B	12,556.15	10.46	0.00	10.46	90.0	9.41
05/31/2018 1017566284	WOODS, WILLIAM	VA ELITE ACCESS L	B	45,131.75	37.61	0.00	37.61	90.0	33.85
05/31/2018 1018403044	WILLIAMS, CLAYTON	VA ELITE ACCESS L	B	9,627.40	8.02	0.00	8.02	90.0	7.22

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05/31/2018	1018603060 MILTON, MARY	VA PERSP L 08/11	B	132,328.60	110.27	0.00	110.27	90.0	99.24
05/31/2018	1018757199 STANBERY, DALLAS	VA PERSP L 08/11	B	160,600.05	133.83	0.00	133.83	90.0	120.45
* VARIABLE ANNUITIES SUBTOTAL *				\$1,934,948.10	\$1,612.43	\$0.00	\$1,612.43		\$1,451.19

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	00057958417 SUSAN BREWER	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2018	00058662923 COPELAND, SUSAN	MFT AMERICAN MUTUAL FUND-A	B	0.00	29.48	0.00	29.48	90.0	26.53
06/01/2018	00059764272 SUSAN BREWER	MFT THE NEW ECONOMY FUND-A	B	0.00	2.45	0.00	2.45	90.0	2.21
06/01/2018	00061719081 BRYANT, BILLY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2018	00061719081 BRYANT, BILLY	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.50	0.00	3.50	90.0	3.15
06/01/2018	00061719081 BRYANT, BILLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2018	00061719081 BRYANT, BILLY	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	4.85	0.00	4.85	90.0	4.37
06/01/2018	00061719081 BRYANT, BILLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.27	0.00	5.27	90.0	4.74
06/01/2018	00061719081 BRYANT, BILLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.90	0.00	5.90	90.0	5.31
06/01/2018	00062217377 RUDY W YOUNG	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.68	0.00	0.68	90.0	0.61
06/01/2018	00064454213 RACHEL B YOUNG	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.41	0.00	0.41	90.0	0.37
06/01/2018	00066945947 BRELAND, DANA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.81	0.00	0.81	90.0	0.73
06/01/2018	00067100686 POTTS, KATHI	MFT AMERICAN BALANCED FUND-A	B	0.00	5.88	0.00	5.88	90.0	5.29
06/01/2018	00067226846 DATUIN, MARIA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.71	0.00	1.71	90.0	1.54
06/01/2018	00067226848 DATUIN, BENJAMIN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	00067229195 STAFFORD, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	65.97	0.00	65.97	90.0	59.37
06/01/2018	00067274679 GREEN, PAULETTE	MFT AMERICAN BALANCED FUND-A	B	0.00	25.02	0.00	25.02	90.0	22.52
06/01/2018	00067398539 CAMPBELL, JENNIE	MFT AMERICAN BALANCED FUND-A	B	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018	00067457687 TYLER, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	7.81	0.00	7.81	90.0	7.03
06/01/2018	00067457687 TYLER, DEBORAH	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2018	00067457687 TYLER, DEBORAH	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	13.21	0.00	13.21	90.0	11.89
06/01/2018	00067494018 PARKER, RONALD	MFT AMERICAN BALANCED FUND-A	B	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2018	00067541249 PATRICK, CHAD	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00067541249 PATRICK, CHAD	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	10.79	0.00	10.79	90.0	9.71
06/01/2018	00067549730 PATRICK, CHAD	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00067549730 PATRICK, CHAD	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	10.79	0.00	10.79	90.0	9.71
06/01/2018	00067550076 REA, ANITA	MFT AMERICAN BALANCED FUND-A	B	0.00	132.60	0.00	132.60	90.0	119.34
06/01/2018	00067962932 TURNER, CINDY	MFT AMERICAN BALANCED FUND-A	B	0.00	14.36	0.00	14.36	90.0	12.92
06/01/2018	00068087474 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2018	00068087474 PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529C	B	0.00	17.97	0.00	17.97	90.0	16.17
06/01/2018	00068087474 PULLIAM, RYAN	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	5.27	0.00	5.27	90.0	4.74
06/01/2018	00068087474 PULLIAM, RYAN	MFT NEW WORLD FUND-529A	B	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018	00068087474 PULLIAM, RYAN	MFT NEW WORLD FUND-529C	B	0.00	11.58	0.00	11.58	90.0	10.42
06/01/2018	00068087474 PULLIAM, RYAN	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2018	00068087474 PULLIAM, RYAN	MFT THE GROWTH FUND OF AMERICA-529C	B	0.00	5.65	0.00	5.65	90.0	5.09
06/01/2018	00068087475 PULLIAM, RYAN	MFT COLLEGE ENROLLMENT FUND-529C	B	0.00	10.69	0.00	10.69	90.0	9.62
06/01/2018	00068087475 PULLIAM, RYAN	MFT THE NEW ECONOMY FUND-529C	B	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2018	00068087476 PULLIAM, RYAN	MFT AMCAP FUND-529A	B	0.00	2.69	0.00	2.69	90.0	2.42
06/01/2018	00068087476 PULLIAM, RYAN	MFT AMCAP FUND-529C	B	0.00	9.84	0.00	9.84	90.0	8.86

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06/01/2018 00068087476	PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2018 00068087476	PULLIAM, RYAN	MFT AMERICAN BALANCED FUND-529C	B	0.00	10.83	0.00	10.83	90.0	9.75
06/01/2018 00068087476	PULLIAM, RYAN	MFT NEW WORLD FUND-529A	B	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2018 00068087476	PULLIAM, RYAN	MFT NEW WORLD FUND-529C	B	0.00	12.96	0.00	12.96	90.0	11.66
06/01/2018 00068087476	PULLIAM, RYAN	MFT THE NEW ECONOMY FUND-529A	B	0.00	0.60	0.00	0.60	90.0	0.54
06/01/2018 00068087476	PULLIAM, RYAN	MFT THE NEW ECONOMY FUND-529C	B	0.00	6.34	0.00	6.34	90.0	5.71
06/01/2018 00068406534	HESTER, LANA	MFT AMERICAN BALANCED FUND-A	B	0.00	13.38	0.00	13.38	90.0	12.04
06/01/2018 00068424623	SMITH, THOMAS	MFT AMERICAN BALANCED FUND-A	B	0.00	3.07	0.00	3.07	90.0	2.76
06/01/2018 00068424625	GOWAN, MARY	MFT AMERICAN BALANCED FUND-A	B	0.00	4.46	0.00	4.46	90.0	4.01
06/01/2018 00068424625	GOWAN, MARY	MFT AMERICAN BALANCED FUND-C	B	0.00	11.64	0.00	11.64	90.0	10.48
06/01/2018 00068428272	DAVIS, PAULA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2018 00068732412	LIPSHIE, SAMUEL	MFT AMERICAN BALANCED FUND-C	B	0.00	96.42	0.00	96.42	90.0	86.78
06/01/2018 00068732412	LIPSHIE, SAMUEL	MFT AMERICAN BALANCED FUND-F1	B	0.00	9.00	0.00	9.00	90.0	8.10
06/01/2018 00068735325	PLUNK, TAMMY	MFT AMERICAN BALANCED FUND-A	B	0.00	19.33	0.00	19.33	90.0	17.40
06/01/2018 00068735325	PLUNK, TAMMY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2018 00068990281	VINCENT, JEFFREY	MFT AMERICAN BALANCED FUND-A	B	0.00	3.60	0.00	3.60	90.0	3.24
06/01/2018 00068990281	VINCENT, JEFFREY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	15.33	0.00	15.33	90.0	13.80
06/01/2018 00068990281	VINCENT, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52	90.0	13.97
06/01/2018 00069032890	THORNTON, WILLIAM	MFT AMERICAN MUTUAL FUND-A	B	0.00	0.50	0.00	0.50	90.0	0.45
06/01/2018 00069032892	BROWNING, BRANDON	MFT AMERICAN MUTUAL FUND-A	B	0.00	12.54	0.00	12.54	90.0	11.29
06/01/2018 00069106903	COPELAND, SUSAN	MFT AMERICAN BALANCED FUND-A	B	0.00	50.16	0.00	50.16	90.0	45.14
06/01/2018 00069106903	COPELAND, SUSAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	30.32	0.00	30.32	90.0	27.29
06/01/2018 00069106903	COPELAND, SUSAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	33.29	0.00	33.29	90.0	29.96
06/01/2018 00073207913	BLACK, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	9.12	0.00	9.12	90.0	8.21
06/01/2018 00073207913	BLACK, DEBORAH	MFT AMERICAN BALANCED FUND-C	B	0.00	51.15	0.00	51.15	90.0	46.04
06/01/2018 00073262594	ANGELL, DWAYNE	MFT AMERICAN BALANCED FUND-A	B	0.00	3.82	0.00	3.82	90.0	3.44
06/01/2018 00073262594	ANGELL, DWAYNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.93	0.00	4.93	90.0	4.44
06/01/2018 00073262594	ANGELL, DWAYNE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.95	0.00	3.95	90.0	3.56
06/01/2018 00073263858	SHARROW, ADRIENNE	MFT THE INVESTMENT COMPANY OF	B	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018 00073624246	KELLY, LARRY	MFT AMERICAN BALANCED FUND-A	B	0.00	10.86	0.00	10.86	90.0	9.77
06/01/2018 00073626752	SMITH, JEANINE	MFT AMERICAN BALANCED FUND-A	B	0.00	19.02	0.00	19.02	90.0	17.12
06/01/2018 00073626752	SMITH, JEANINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	13.85	0.00	13.85	90.0	12.47
06/01/2018 00073626752	SMITH, JEANINE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.39	0.00	2.39	90.0	2.15
06/01/2018 00073626752	SMITH, JEANINE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	21.17	0.00	21.17	90.0	19.05
06/01/2018 00073626752	SMITH, JEANINE	MFT THE INVESTMENT COMPANY OF	B	0.00	9.12	0.00	9.12	90.0	8.21
06/01/2018 00073626752	SMITH, JEANINE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.86	0.00	8.86	90.0	7.97
06/01/2018 00073693893	HICKS,	MFT AMERICAN BALANCED FUND-A	B	0.00	5.55	0.00	5.55	90.0	5.00
06/01/2018 00073693893	HICKS,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.07	0.00	1.07	90.0	0.96
06/01/2018 00073693893	HICKS,	MFT THE NEW ECONOMY FUND-A	B	0.00	1.12	0.00	1.12	90.0	1.01
06/01/2018 00073739173	BEARD, PATRICK	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	22.03	0.00	22.03	90.0	19.83
06/01/2018 00073739173	BEARD, PATRICK	MFT THE INVESTMENT COMPANY OF	B	0.00	5.45	0.00	5.45	90.0	4.91
06/01/2018 00073762177	JARRETT, MILTON	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2018 00074314683	SHEFFIELD, ANGELA	MFT AMERICAN BALANCED FUND-A	B	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018 00074399433	STAFFORD, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	5.35	0.00	5.35	90.0	4.82

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00074399433	STAFFORD, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.96	0.00	1.96	90.0	1.76
06/01/2018 00074399433	STAFFORD, KELLY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.38	0.00	5.38	90.0	4.84
06/01/2018 00074399433	STAFFORD, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.88	0.00	6.88	90.0	6.19
06/01/2018 00074694907	REYNOLDS, BETHANY	MFT AMERICAN BALANCED FUND-A	B	0.00	7.48	0.00	7.48	90.0	6.73
06/01/2018 00074694907	REYNOLDS, BETHANY	MFT AMERICAN BALANCED FUND-C	B	0.00	26.96	0.00	26.96	90.0	24.26
06/01/2018 00074830429	WHALEY, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	14.57	0.00	14.57	90.0	13.11
06/01/2018 00074830429	WHALEY, SHERRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.76	0.00	9.76	90.0	8.78
06/01/2018 00074830429	WHALEY, SHERRY	MFT THE INVESTMENT COMPANY OF	B	0.00	7.90	0.00	7.90	90.0	7.11
06/01/2018 00074841648	DARNELL, GAIL	MFT AMERICAN BALANCED FUND-A	B	0.00	16.25	0.00	16.25	90.0	14.63
06/01/2018 00074841648	DARNELL, GAIL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.65	0.00	7.65	90.0	6.89
06/01/2018 00074841648	DARNELL, GAIL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.47	0.00	9.47	90.0	8.52
06/01/2018 00074853025	RAY, SAMANTHA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2018 00074853025	RAY, SAMANTHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.95	0.00	4.95	90.0	4.46
06/01/2018 00074856467	CARRIGAN, WENDI	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2018 00074856467	CARRIGAN, WENDI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.51	0.00	0.51	90.0	0.46
06/01/2018 00074856467	CARRIGAN, WENDI	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2018 00074856467	CARRIGAN, WENDI	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2018 00074927499	PHILLIP M FILTZ	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2018 00075317599	COPELAND, SUSAN	MFT AMERICAN BALANCED FUND-529A	B	0.00	9.77	0.00	9.77	90.0	8.79
06/01/2018 00075317599	COPELAND, SUSAN	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	11.28	0.00	11.28	90.0	10.15
06/01/2018 00075976707	HIGGINS, CYNTHIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.92	0.00	4.92	90.0	4.43
06/01/2018 00075976707	HIGGINS, CYNTHIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.09	0.00	6.09	90.0	5.48
06/01/2018 00075976707	HIGGINS, CYNTHIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.10	0.00	6.10	90.0	5.49
06/01/2018 00081216385	ADAMSON, GAIL	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2018 00081216385	ADAMSON, GAIL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2018 00081216385	ADAMSON, GAIL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2018 00081559943	BONDURANT,	MFT CAPITAL WORLD GROWTH AND	B	0.00	10.21	0.00	10.21	90.0	9.19
06/01/2018 00081559943	BONDURANT,	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	13.28	0.00	13.28	90.0	11.95
06/01/2018 00081559943	BONDURANT,	MFT NEW PERSPECTIVE FUND-529A	B	0.00	12.51	0.00	12.51	90.0	11.26
06/01/2018 00081559943	BONDURANT,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	13.74	0.00	13.74	90.0	12.37
06/01/2018 00081840039	STAFFORD, JOHN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.21	0.00	7.21	90.0	6.49
06/01/2018 00081840039	STAFFORD, JOHN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	26.46	0.00	26.46	90.0	23.81
06/01/2018 00081840039	STAFFORD, JOHN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	23.30	0.00	23.30	90.0	20.97
06/01/2018 00081948467	EVANS, LULA	MFT AMERICAN BALANCED FUND-A	B	0.00	25.34	0.00	25.34	90.0	22.81
06/01/2018 00081948467	EVANS, LULA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.29	0.00	6.29	90.0	5.66
06/01/2018 00081948520	WRIGHT, SHELIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2018 00081948520	WRIGHT, SHELIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2018 00081948549	HINDS, MICHAEL	MFT AMERICAN BALANCED FUND-A	B	0.00	62.50	0.00	62.50	90.0	56.25
06/01/2018 00081948549	HINDS, MICHAEL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	48.59	0.00	48.59	90.0	43.73
06/01/2018 00081948549	HINDS, MICHAEL	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	44.43	0.00	44.43	90.0	39.99
06/01/2018 00081948660	FUZZELL, SHELIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2018 00081948660	FUZZELL, SHELIA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2018 00081948685	SHELTON, LAURA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.04	0.00	3.04	90.0	2.74
06/01/2018 00081948685	SHELTON, LAURA	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.69	0.00	2.69	90.0	2.42

COMMISSION STATEMENT for ROBERT CALDWELL-D013

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00081948685	SHELTON, LAURA	MFT NEW WORLD FUND-A	B	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018 00081948685	SHELTON, LAURA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2018 00081948685	SHELTON, LAURA	MFT THE NEW ECONOMY FUND-A	B	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2018 00082608144	JOHNSON, VOINDA	MFT AMERICAN BALANCED FUND-A	B	0.00	24.74	0.00	24.74	90.0	22.27
06/01/2018 00082608144	JOHNSON, VOINDA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.45	0.00	6.45	90.0	5.81
06/01/2018 00083482016	PALM, CAITLIN	MFT AMERICAN BALANCED FUND-C	B	0.00	4.57	0.00	4.57	90.0	4.11
06/01/2018 00083482016	PALM, CAITLIN	MFT AMERICAN BALANCED FUND-F1	B	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018 00083482016	PALM, CAITLIN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.18	0.00	2.18	90.0	1.96
06/01/2018 00083482016	PALM, CAITLIN	MFT CAPITAL WORLD GROWTH AND	B	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2018 00083482016	PALM, CAITLIN	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2018 00083482016	PALM, CAITLIN	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	2.68	0.00	2.68	90.0	2.41
06/01/2018 00083601997	DAVIS, AMANDA	MFT AMERICAN BALANCED FUND-C	B	0.00	20.41	0.00	20.41	90.0	18.37
06/01/2018 00084156213	GILLIAM,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.98	0.00	0.98	90.0	0.88
06/01/2018 00084156213	GILLIAM,	MFT NEW WORLD FUND-A	B	0.00	0.31	0.00	0.31	90.0	0.28
06/01/2018 00084156213	GILLIAM,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2018 00085662984	SYMMES, DEBRA	MFT AMERICAN BALANCED FUND-A	B	0.00	33.51	0.00	33.51	90.0	30.16
06/01/2018 00085662984	SYMMES, DEBRA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	23.55	0.00	23.55	90.0	21.20
06/01/2018 00085662984	SYMMES, DEBRA	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.94	0.00	5.94	90.0	5.35
06/01/2018 00085663287	CHEATHAM, JACQUE	MFT BALANCED PORTFOLIO-A	B	0.00	39.80	0.00	39.80	90.0	35.82
06/01/2018 00087252487	MCMINN, LESA	MFT AMERICAN BALANCED FUND-C	B	0.00	6.03	0.00	6.03	90.0	5.43
06/01/2018 00087734807	JARRED, GINA	MFT AMERICAN BALANCED FUND-C	B	0.00	16.41	0.00	16.41	90.0	14.77
06/01/2018 00087734843	HAZLEWOOD, SHELIA	MFT AMERICAN BALANCED FUND-C	B	0.00	13.78	0.00	13.78	90.0	12.40
06/01/2018 00087734863	WHITE, PEGGY	MFT AMERICAN BALANCED FUND-C	B	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018 00089213121	ADCOCK, JACKSON	MFT AMERICAN FUNDS 2060 TARGET	B	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2018 00089597043	MCINTOSH, GINA	MFT GROWTH PORTFOLIO-C	B	0.00	4.09	0.00	4.09	90.0	3.68
06/01/2018 00089696651	ALEXANDER,	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	1.37	0.00	1.37	90.0	1.23
06/01/2018 00089696651	ALEXANDER,	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2018 00089696651	ALEXANDER,	MFT THE GROWTH FUND OF AMERICA-C	B	0.00	1.88	0.00	1.88	90.0	1.69
06/01/2018 00089696666	STOOKSBERRY,	MFT AMERICAN BALANCED FUND-C	B	0.00	8.26	0.00	8.26	90.0	7.43
06/01/2018 00089696672	LAMB, TERESA	MFT AMERICAN BALANCED FUND-C	B	0.00	4.79	0.00	4.79	90.0	4.31
06/01/2018 00089788987	GARGUS, LORI	MFT AMERICAN BALANCED FUND-C	B	0.00	2.35	0.00	2.35	90.0	2.12
06/01/2018 00089980324	SMITH, TRISHA	MFT AMERICAN BALANCED FUND-C	B	0.00	1.09	0.00	1.09	90.0	0.98
06/07/2018 01486461963	GRIGGS, SHERRY	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	3.77	0.00	3.77	90.0	3.39
06/01/2018 04000020515	PLAN, SEP	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.28	0.00	6.28	90.0	5.65
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,703.95	\$0.00	\$1,703.95		\$1,533.62
*** DIRECT TRANSACTIONS TOTAL ***				\$1,937,449.44	\$3,356.30	\$0.00	\$3,356.30		\$3,020.79

BROKER COMMISSION TOTALS

Broker Transactions	\$3,020.79
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,020.79
Year-to-date Commissions	\$30,657.74