

All Companies
STATEMENT
June 20, 2018

DWAIN BLAKE

BROKER #: 049
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DWAIN BLAKE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
05/16/2018 #	RAY, CARRIE	FMI FDS INC	S	21,950.68	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	MFS SER TR IX MID CAP VALUE FD CL I	S	14,351.43	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	MFS SER TR X INTL VALUE FD CL I	S	21,323.27	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	PRIMECAP ODYSSEY FDS GROWTH FD	S	18,889.18	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	T ROWE PRICE BLUE CHIP GROWTH FUND	S	19,647.27	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	T ROWE PRICE QM U S SMALL CAP GROWT	S	12,040.04	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	VANGUARD TAX-MANAGED FD SMALL CAP F	S	11,475.25	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$119,677.12	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>										
05/25/2018 #		AMERICAN EXPRESS COMPANY	S	6,552.81	105.00	19.95	0.00	85.05	75.0	63.79
05/25/2018 #		CHEVRON CORPORATION	S	5,972.73	105.00	19.95	0.00	85.05	75.0	63.79
05/25/2018 #		MERCK & CO INC	S	5,427.31	105.00	19.95	0.00	85.05	75.0	63.79
05/25/2018 #		PROCTER & GAMBLE CO	S	4,669.09	101.96	19.95	0.00	82.01	75.0	61.51
* STOCKS SUBTOTAL *				\$22,621.94	\$416.96	\$79.80	\$0.00	\$337.16		\$252.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
05/16/2018 #	RAY, CARRIE	DAIN TRAILER	S	18,256.59	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	DAIN TRAILER	S	17,853.86	0.00	0.00	0.00	0.00	75.0	0.00
05/16/2018 #	RAY, CARRIE	DAIN TRAILER	S	10,714.94	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	29,212.47	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	178,794.32	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	37,314.11	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	56,943.68	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	10,334.77	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	18,163.73	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	55,397.41	0.00	0.00	0.00	0.00	75.0	0.00
05/25/2018 #		DAIN TRAILER	S	10,728.99	0.00	0.00	0.00	0.00	75.0	0.00
05/30/2018 #		DAIN TRAILER	S	30,264.40	0.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$473,979.27	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$616,278.33	\$416.96	\$79.80	\$0.00	\$337.16		\$252.88

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ADJUSTMENTS

06/20/2018		ADMIN FEE: ADMIN FEE								-390.00
06/20/2018		ASSISTANT FEE: ASSISTANT FEE								-219.00
06/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
06/20/2018		DOCUSIGN: DOCUSIGN								-51.00
06/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
06/20/2018		CRD FEES: CRD FEES CE TRACKED WEB								-55.00
		FEE S201								
*** ADJUSTMENTS TOTAL ***										\$-1,069.00

BROKER COMMISSION TOTALS

Broker Transactions	\$252.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,069.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5,715.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-6,531.22