

STATEMENT

January 20, 2018

KRISTIN FRENCH
9431 Coppertop Loop NE Suite 2
SUITE 620
Bainbridge Island, WA 98110

BROKER #: 125
BRANCH:

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/04/2017	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	50.00	2.50	90.0	0.00	2.25	0.00	2.25
12/04/2017	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	90.0	0.00	2.25	0.00	2.25
12/06/2017	#	REBECCA LALIBERTE TTEE AMERICAN FUNDS 2050 TARGET DATE-R3	300.00	0.00	90.0	0.00	0.00	20.00	-20.00
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$5.00		\$0.00	\$4.50	\$20.00	\$-15.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/30/2017	#E0737443	KIRKREIT, JUDY PRUDETIAL TRAILS	0.00	34.03	90.0	0.00	30.63	0.00	30.63
12/04/2017	#1020366512	SARDINIA, JOSEPH PERSP II 01/16	3,000.00	210.00	90.0	0.00	189.00	0.00	189.00
12/26/2017	#1020827200	PLAN, ENEFIT PERSP II 01/16	150,000.00	10,500.00	90.0	0.00	9,450.00	0.00	9,450.00
* VARIABLE ANNUITIES SUBTOTAL *			\$153,000.00	\$10,744.03		\$0.00	\$9,669.63	\$0.00	\$9,669.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN BALANCED FUND-R3	0.00	105.25	90.0	0.00	94.73	0.00	94.73
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2015 TARGET DATE-R3	0.00	0.20	90.0	0.00	0.18	0.00	0.18
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2025 TARGET DATE-R3	0.00	1.41	90.0	0.00	1.27	0.00	1.27
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2040 TARGET DATE-R3	0.00	0.74	90.0	0.00	0.67	0.00	0.67
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2045 TARGET DATE-R3	0.00	17.52	90.0	0.00	15.77	0.00	15.77
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2050 TARGET DATE-R3	0.00	31.66	90.0	0.00	28.49	0.00	28.49
12/01/2017	#00086116791	LOGEMANN, PAM AMERICAN FUNDS 2055 TARGET DATE-R3	0.00	0.58	90.0	0.00	0.52	0.00	0.52
12/01/2017	#00085024996	DOWNS, CAPITAL INCOME BUILDER FD CL A	0.00	4.71	90.0	0.00	4.24	0.00	4.24
12/01/2017	#00084643698	SCOTT, BLAINE CAPITAL INCOME BUILDER FD CL A	0.00	0.30	90.0	0.00	0.27	0.00	0.27
12/01/2017	#00085025213	POPACH, OLGA CAPITAL INCOME BUILDER FD CL A	0.00	6.62	90.0	0.00	5.96	0.00	5.96
12/01/2017	#00085024996	DOWNS, CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.33	90.0	0.00	4.80	0.00	4.80
12/01/2017	#00081788492	SELLERS, CARRIE CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.88	90.0	0.00	5.29	0.00	5.29
12/01/2017	#00081788832	SELLERS, DAVID CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.83	90.0	0.00	3.45	0.00	3.45
12/01/2017	#00084643698	SCOTT, BLAINE CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.43	90.0	0.00	0.39	0.00	0.39
12/01/2017	#00085025213	POPACH, OLGA CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.55	90.0	0.00	6.80	0.00	6.80
12/01/2017	#00086116791	LOGEMANN, PAM CAPITAL WORLD GROWTH AND INCOME-R3	0.00	76.37	90.0	0.00	68.73	0.00	68.73
12/01/2017	#00081788492	SELLERS, CARRIE EURO PACIFIC GROWTH FUND CLASS A	0.00	5.23	90.0	0.00	4.71	0.00	4.71

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00081788832 SELLERS, DAVID	EURO PACIFIC GROWTH FUND CLASS A	0.00	7.40	90.0	0.00	6.66	0.00	6.66
12/01/2017	#00081788492 SELLERS, CARRIE	GROWTH FUND AMERICA INC CLASS A	0.00	9.22	90.0	0.00	8.30	0.00	8.30
12/01/2017	#00081788832 SELLERS, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	18.50	90.0	0.00	16.65	0.00	16.65
12/01/2017	#00086116791 LOGEMANN, PAM	INTERMEDIATE BOND FUND OF AMERICA-R	0.00	24.84	90.0	0.00	22.36	0.00	22.36
12/01/2017	#00081788492 SELLERS, CARRIE	INVESTMENT CO AMER CLASS A	0.00	9.68	90.0	0.00	8.71	0.00	8.71
12/01/2017	#00081788832 SELLERS, DAVID	INVESTMENT CO AMER CLASS A	0.00	11.43	90.0	0.00	10.29	0.00	10.29
12/01/2017	#00081788492 SELLERS, CARRIE	NEW PERSPECTIVE FUND INC CL A	0.00	7.24	90.0	0.00	6.52	0.00	6.52
12/01/2017	#00081788832 SELLERS, DAVID	NEW PERSPECTIVE FUND INC CL A	0.00	9.69	90.0	0.00	8.72	0.00	8.72
12/01/2017	#00086116791 LOGEMANN, PAM	NEW PERSPECTIVE FUND-R3	0.00	66.74	90.0	0.00	60.07	0.00	60.07
12/01/2017	#00086116791 LOGEMANN, PAM	THE GROWTH FUND OF AMERICA-R3	0.00	0.42	90.0	0.00	0.38	0.00	0.38
12/01/2017	#00081788492 SELLERS, CARRIE	WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.18	90.0	0.00	7.36	0.00	7.36
12/01/2017	#00081788832 SELLERS, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	0.00	10.46	90.0	0.00	9.41	0.00	9.41
12/06/2017	# HOLMQUIST, ANN	DAIN TRAILER	6,003.63	0.00	90.0	0.00	0.00	0.00	0.00
12/11/2017	# JOHNSON, MARGARET	DAIN TRAILER	0.00	63.30	90.0	0.00	56.97	0.00	56.97
12/11/2017	# JOHNSON, MARGARET	DAIN TRAILER	0.00	74.71	90.0	0.00	67.24	0.00	67.24
12/11/2017	# JOHNSON, MARGARET	DAIN TRAILER	0.00	19.66	90.0	0.00	17.69	0.00	17.69
12/11/2017	# JOHNSON, ROGER	DAIN TRAILER	0.00	38.00	90.0	0.00	34.20	0.00	34.20
12/11/2017	# BRADEN, RANDALL	DAIN TRAILER	0.00	1.63	90.0	0.00	1.47	0.00	1.47
12/11/2017	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	3.06	90.0	0.00	2.75	0.00	2.75
12/11/2017	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	5.97	90.0	0.00	5.37	0.00	5.37
12/11/2017	# BARBARA HERMAN TTEE	DAIN TRAILER	0.00	3.20	90.0	0.00	2.88	0.00	2.88
12/11/2017	# COATES DESIGN INC	DAIN TRAILER	0.00	0.79	90.0	0.00	0.71	0.00	0.71
12/11/2017	# COATES DESIGN INC	DAIN TRAILER	0.00	1.18	90.0	0.00	1.06	0.00	1.06
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.79	90.0	0.00	1.61	0.00	1.61
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.80	90.0	0.00	2.52	0.00	2.52
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.38	90.0	0.00	1.24	0.00	1.24
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.48	90.0	0.00	2.23	0.00	2.23
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	3.98	90.0	0.00	3.58	0.00	3.58
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.74	90.0	0.00	1.57	0.00	1.57
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	2.40	90.0	0.00	2.16	0.00	2.16
12/11/2017	# DAHLEM, DARCY	DAIN TRAILER	0.00	2.31	90.0	0.00	2.08	0.00	2.08
12/11/2017	# DAHLEM, DARCY	DAIN TRAILER	0.00	7.70	90.0	0.00	6.93	0.00	6.93
12/11/2017	# COATES, MATTHEW	DAIN TRAILER	0.00	5.74	90.0	0.00	5.17	0.00	5.17
12/11/2017	# COATES, MATTHEW	DAIN TRAILER	0.00	1.75	90.0	0.00	1.58	0.00	1.58
12/11/2017	# COATES, MATTHEW	DAIN TRAILER	0.00	1.64	90.0	0.00	1.48	0.00	1.48
12/11/2017	# COATES, MATTHEW	DAIN TRAILER	0.00	2.37	90.0	0.00	2.13	0.00	2.13

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# COATES, MATTHEW	DAIN TRAILER	0.00	2.24	90.0	0.00	2.02	0.00	2.02
12/11/2017	# INC, STARGAZER MANAG	DAIN TRAILER	0.00	5.11	90.0	0.00	4.60	0.00	4.60
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.41	90.0	0.00	3.97	0.00	3.97
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	5.06	90.0	0.00	4.55	0.00	4.55
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	4.71	90.0	0.00	4.24	0.00	4.24
12/11/2017	# TAYLOR AND ALASKA IN	DAIN TRAILER	0.00	1.96	90.0	0.00	1.76	0.00	1.76
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	48.95	90.0	0.00	44.06	0.00	44.06
12/11/2017	# FRENCH, KENNER	DAIN TRAILER	0.00	0.28	90.0	0.00	0.25	0.00	0.25
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	10.72	90.0	0.00	9.65	0.00	9.65
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	49.52	90.0	0.00	44.57	0.00	44.57
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	44.71	90.0	0.00	40.24	0.00	40.24
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	27.27	90.0	0.00	24.54	0.00	24.54
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	13.99	90.0	0.00	12.59	0.00	12.59
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	32.65	90.0	0.00	29.39	0.00	29.39
12/11/2017	# A, DENNIS L YOUNGB	DAIN TRAILER	0.00	20.92	90.0	0.00	18.83	0.00	18.83
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.63	90.0	0.00	3.27	0.00	3.27
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.66	90.0	0.00	1.49	0.00	1.49
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.76	90.0	0.00	1.58	0.00	1.58
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.62	90.0	0.00	2.36	0.00	2.36
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	5.28	90.0	0.00	4.75	0.00	4.75
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.94	90.0	0.00	1.75	0.00	1.75
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	0.76	90.0	0.00	0.68	0.00	0.68
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	1.00	90.0	0.00	0.90	0.00	0.90
12/11/2017	# BRADEN, GAIL	DAIN TRAILER	0.00	1.11	90.0	0.00	1.00	0.00	1.00
12/11/2017	# BRADEN, GAIL	DAIN TRAILER	0.00	3.60	90.0	0.00	3.24	0.00	3.24
12/11/2017	# BRADEN, GAIL	DAIN TRAILER	0.00	3.87	90.0	0.00	3.48	0.00	3.48
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	1.21	90.0	0.00	1.09	0.00	1.09
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.40	90.0	0.00	2.16	0.00	2.16
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	5.65	90.0	0.00	5.09	0.00	5.09
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	3.87	90.0	0.00	3.48	0.00	3.48
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.54	90.0	0.00	2.29	0.00	2.29
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.09	90.0	0.00	1.88	0.00	1.88
12/11/2017	# SAISRIDHAR BODDUPALLI TTE	DAIN TRAILER	0.00	2.37	90.0	0.00	2.13	0.00	2.13
12/11/2017	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.03	90.0	0.00	2.73	0.00	2.73
12/11/2017	# LLC, WINIFRED ENTERP	DAIN TRAILER	0.00	3.99	90.0	0.00	3.59	0.00	3.59

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017 # LLC, WINIFRED ENTERP		DAIN TRAILER	0.00	5.32	90.0	0.00	4.79	0.00	4.79
12/11/2017 # LLC, WINIFRED ENTERP		DAIN TRAILER	0.00	1.92	90.0	0.00	1.73	0.00	1.73
12/11/2017 # BRADEN, GAIL		DAIN TRAILER	0.00	1.11	90.0	0.00	1.00	0.00	1.00
12/11/2017 # BRADEN, GAIL		DAIN TRAILER	0.00	3.60	90.0	0.00	3.24	0.00	3.24
12/11/2017 # BRADEN, GAIL		DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
12/11/2017 # BRADEN, GAIL		DAIN TRAILER	0.00	1.28	90.0	0.00	1.15	0.00	1.15
12/11/2017 # BRADEN, GAIL		DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
12/11/2017 # BRADEN, RANDALL		DAIN TRAILER	0.00	4.66	90.0	0.00	4.19	0.00	4.19
12/11/2017 # BRADEN, RANDALL		DAIN TRAILER	0.00	4.72	90.0	0.00	4.25	0.00	4.25
12/11/2017 # BRADEN, RANDALL		DAIN TRAILER	0.00	3.35	90.0	0.00	3.02	0.00	3.02
12/11/2017 # TAYLOR AND ALASKA IN		DAIN TRAILER	0.00	3.04	90.0	0.00	2.74	0.00	2.74
12/11/2017 # TAYLOR AND ALASKA IN		DAIN TRAILER	0.00	5.81	90.0	0.00	5.23	0.00	5.23
12/11/2017 # TAYLOR AND ALASKA IN		DAIN TRAILER	0.00	4.37	90.0	0.00	3.93	0.00	3.93
12/11/2017 # ARCANDIER INC		DAIN TRAILER	0.00	26.43	90.0	0.00	23.79	0.00	23.79
12/11/2017 # ARCANDIER INC		DAIN TRAILER	0.00	21.53	90.0	0.00	19.38	0.00	19.38
12/11/2017 # ARCANDIER INC		DAIN TRAILER	0.00	8.92	90.0	0.00	8.03	0.00	8.03
12/11/2017 # ARCANDIER INC		DAIN TRAILER	0.00	9.83	90.0	0.00	8.85	0.00	8.85
12/11/2017 # ARCANDIER INC		DAIN TRAILER	0.00	7.30	90.0	0.00	6.57	0.00	6.57
12/11/2017 # S INC, SAGE MEDICAL SO		DAIN TRAILER	0.00	8.67	90.0	0.00	7.80	0.00	7.80
12/11/2017 # BRESKE, THOMAS		DAIN TRAILER	0.00	3.03	90.0	0.00	2.73	0.00	2.73
12/11/2017 # BRESKE, THOMAS		DAIN TRAILER	0.00	3.20	90.0	0.00	2.88	0.00	2.88
12/11/2017 # S INC, SAGE MEDICAL SO		DAIN TRAILER	0.00	7.72	90.0	0.00	6.95	0.00	6.95
12/11/2017 # S INC, SAGE MEDICAL SO		DAIN TRAILER	0.00	2.38	90.0	0.00	2.14	0.00	2.14
12/11/2017 # S INC, SAGE MEDICAL SO		DAIN TRAILER	0.00	9.96	90.0	0.00	8.96	0.00	8.96
12/11/2017 # S INC, SAGE MEDICAL SO		DAIN TRAILER	0.00	3.69	90.0	0.00	3.32	0.00	3.32
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	20.70	90.0	0.00	18.63	0.00	18.63
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	21.81	90.0	0.00	19.63	0.00	19.63
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	18.07	90.0	0.00	16.26	0.00	16.26
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	1.86	90.0	0.00	1.67	0.00	1.67
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	13.56	90.0	0.00	12.20	0.00	12.20
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	7.65	90.0	0.00	6.89	0.00	6.89
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	9.96	90.0	0.00	8.96	0.00	8.96
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	14.54	90.0	0.00	13.09	0.00	13.09
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	8.39	90.0	0.00	7.55	0.00	7.55
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	4.88	90.0	0.00	4.39	0.00	4.39
12/11/2017 # BRESKE, PAMELA		DAIN TRAILER	0.00	4.75	90.0	0.00	4.28	0.00	4.28

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# BRESKE, PAMELA	DAIN TRAILER	0.00	9.88	90.0	0.00	8.89	0.00	8.89
12/11/2017	# BRESKE, PAMELA	DAIN TRAILER	0.00	5.72	90.0	0.00	5.15	0.00	5.15
12/11/2017	# BRESKE, PAMELA	DAIN TRAILER	0.00	2.54	90.0	0.00	2.29	0.00	2.29
12/11/2017	# BRESKE, PAMELA	DAIN TRAILER	0.00	15.93	90.0	0.00	14.34	0.00	14.34
12/11/2017	# BRESKE, PAMELA	DAIN TRAILER	0.00	4.06	90.0	0.00	3.65	0.00	3.65
12/11/2017	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	4.55	90.0	0.00	4.10	0.00	4.10
12/11/2017	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	31.86	90.0	0.00	28.67	0.00	28.67
12/11/2017	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	17.52	90.0	0.00	15.77	0.00	15.77
12/11/2017	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	37.17	90.0	0.00	33.45	0.00	33.45
12/11/2017	# ION, JIM FOGARTY CON	DAIN TRAILER	0.00	38.79	90.0	0.00	34.91	0.00	34.91
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	20.11	90.0	0.00	18.10	0.00	18.10
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	32.74	90.0	0.00	29.47	0.00	29.47
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	15.55	90.0	0.00	14.00	0.00	14.00
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	12.73	90.0	0.00	11.46	0.00	11.46
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	27.91	90.0	0.00	25.12	0.00	25.12
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	21.33	90.0	0.00	19.20	0.00	19.20
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	18.88	90.0	0.00	16.99	0.00	16.99
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	42.42	90.0	0.00	38.18	0.00	38.18
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	42.22	90.0	0.00	38.00	0.00	38.00
12/11/2017	# TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	12.36	90.0	0.00	11.12	0.00	11.12
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	21.03	90.0	0.00	18.93	0.00	18.93
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	8.90	90.0	0.00	8.01	0.00	8.01
12/11/2017	# SARDINIA, SANDHYA	DAIN TRAILER	0.00	0.64	90.0	0.00	0.58	0.00	0.58
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	11.86	90.0	0.00	10.67	0.00	10.67
12/11/2017	# REBECCA LALIBERTE TTEE	DAIN TRAILER	0.00	9.68	90.0	0.00	8.71	0.00	8.71
12/11/2017	# HOLMQUIST, JON	DAIN TRAILER	0.00	5.48	90.0	0.00	4.93	0.00	4.93
12/11/2017	# WALPOLE, WILLIAM	DAIN TRAILER	0.00	1.79	90.0	0.00	1.61	0.00	1.61
12/11/2017	# SORENSON, LORI	DAIN TRAILER	0.00	2.55	90.0	0.00	2.30	0.00	2.30
12/11/2017	# SORENSON, LORI	DAIN TRAILER	0.00	5.19	90.0	0.00	4.67	0.00	4.67
12/11/2017	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.58	90.0	0.00	2.32	0.00	2.32
12/11/2017	# HOLMQUIST, JON	DAIN TRAILER	0.00	2.50	90.0	0.00	2.25	0.00	2.25
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	1.49	90.0	0.00	1.34	0.00	1.34
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	19.10	90.0	0.00	17.19	0.00	17.19
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	3.85	90.0	0.00	3.47	0.00	3.47
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	11.55	90.0	0.00	10.40	0.00	10.40
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	30.54	90.0	0.00	27.49	0.00	27.49

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	9.27	90.0	0.00	8.34	0.00	8.34
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	6.46	90.0	0.00	5.81	0.00	5.81
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	8.61	90.0	0.00	7.75	0.00	7.75
12/11/2017	# VELOSYS LLC	DAIN TRAILER	0.00	11.43	90.0	0.00	10.29	0.00	10.29
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	2.96	90.0	0.00	2.66	0.00	2.66
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	3.25	90.0	0.00	2.93	0.00	2.93
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.36	90.0	0.00	9.32	0.00	9.32
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	5.50	90.0	0.00	4.95	0.00	4.95
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	8.81	90.0	0.00	7.93	0.00	7.93
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	22.59	90.0	0.00	20.33	0.00	20.33
12/11/2017	# PAUL MCQUIRTER TTEE	DAIN TRAILER	0.00	10.12	90.0	0.00	9.11	0.00	9.11
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.38	90.0	0.00	3.04	0.00	3.04
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.88	90.0	0.00	3.49	0.00	3.49
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	3.77	90.0	0.00	3.39	0.00	3.39
12/11/2017	# MATTHEW D DUBIN TTEE	DAIN TRAILER	0.00	2.11	90.0	0.00	1.90	0.00	1.90
12/11/2017	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	3.86	90.0	0.00	3.47	0.00	3.47
12/11/2017	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	2.25	90.0	0.00	2.03	0.00	2.03
12/11/2017	# HOLMQUIST HOLMQUIST TTEES	DAIN TRAILER	0.00	3.80	90.0	0.00	3.42	0.00	3.42
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	0.11	90.0	0.00	0.10	0.00	0.10
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	0.10	90.0	0.00	0.09	0.00	0.09
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	0.42	90.0	0.00	0.38	0.00	0.38
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	1.11	90.0	0.00	1.00	0.00	1.00
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	1.12	90.0	0.00	1.01	0.00	1.01
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	6.18	90.0	0.00	5.56	0.00	5.56
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	0.21	90.0	0.00	0.19	0.00	0.19
12/11/2017	# WALLS, ALICE	DAIN TRAILER	0.00	2.00	90.0	0.00	1.80	0.00	1.80
12/11/2017	# COATES DESIGN INC	DAIN TRAILER	0.00	2.41	90.0	0.00	2.17	0.00	2.17
12/11/2017	# COATES DESIGN INC	DAIN TRAILER	0.00	6.58	90.0	0.00	5.92	0.00	5.92
12/11/2017	# COATES DESIGN INC	DAIN TRAILER	0.00	2.02	90.0	0.00	1.82	0.00	1.82
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	14.61	90.0	0.00	13.15	0.00	13.15
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	15.36	90.0	0.00	13.82	0.00	13.82
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	7.88	90.0	0.00	7.09	0.00	7.09
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	8.29	90.0	0.00	7.46	0.00	7.46
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	10.36	90.0	0.00	9.32	0.00	9.32
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	6.54	90.0	0.00	5.89	0.00	5.89
12/11/2017	# JADILE LLC	DAIN TRAILER	0.00	16.83	90.0	0.00	15.15	0.00	15.15

COMMISSION STATEMENT for KRISTIN FRENCH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017 #	JADILE LLC	DAIN TRAILER	0.00	4.29	90.0	0.00	3.86	0.00	3.86
12/11/2017 #	HONTO INC	DAIN TRAILER	0.00	3.63	90.0	0.00	3.27	0.00	3.27
12/11/2017 #	HONTO INC	DAIN TRAILER	0.00	2.69	90.0	0.00	2.42	0.00	2.42
12/11/2017 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	7.68	90.0	0.00	6.91	0.00	6.91
12/11/2017 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.59	90.0	0.00	3.23	0.00	3.23
12/11/2017 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.09	90.0	0.00	3.68	0.00	3.68
12/11/2017 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	3.20	90.0	0.00	2.88	0.00	2.88
12/11/2017 #	TECHNICAL, PREMIER OPERATI	DAIN TRAILER	0.00	4.60	90.0	0.00	4.14	0.00	4.14
12/11/2017 #	GERMAN, BONNIE	DAIN TRAILER	0.00	2.12	90.0	0.00	1.91	0.00	1.91
12/11/2017 #	GERMAN, BONNIE	DAIN TRAILER	0.00	1.80	90.0	0.00	1.62	0.00	1.62
12/11/2017 #	GERMAN, BONNIE	DAIN TRAILER	0.00	3.55	90.0	0.00	3.20	0.00	3.20
12/11/2017 #	HOLMQUIST, ANN	DAIN TRAILER	0.00	3.89	90.0	0.00	3.50	0.00	3.50
12/11/2017 #	HOLMQUIST, JON	DAIN TRAILER	0.00	6.34	90.0	0.00	5.71	0.00	5.71
12/11/2017 #	HOLMQUIST, JON	DAIN TRAILER	0.00	14.31	90.0	0.00	12.88	0.00	12.88
12/11/2017 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.70	90.0	0.00	2.43	0.00	2.43
12/11/2017 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.97	90.0	0.00	2.67	0.00	2.67
12/11/2017 #	HOLMQUIST, JON	DAIN TRAILER	0.00	2.98	90.0	0.00	2.68	0.00	2.68
12/11/2017 #	HOLMQUIST, JON	DAIN TRAILER	0.00	6.51	90.0	0.00	5.86	0.00	5.86
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.62	90.0	0.00	2.36	0.00	2.36
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	3.78	90.0	0.00	3.40	0.00	3.40
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	5.65	90.0	0.00	5.09	0.00	5.09
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	15.42	90.0	0.00	13.88	0.00	13.88
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	2.65	90.0	0.00	2.39	0.00	2.39
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	19.48	90.0	0.00	17.53	0.00	17.53
12/11/2017 #	EUGENE PFLUM TTEE	DAIN TRAILER	0.00	22.46	90.0	0.00	20.21	0.00	20.21
12/11/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	1.19	90.0	0.00	1.07	0.00	1.07
12/11/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	1.20	90.0	0.00	1.08	0.00	1.08
12/11/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	1.75	90.0	0.00	1.58	0.00	1.58
12/11/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	0.00	1.54	90.0	0.00	1.39	0.00	1.39
12/11/2017 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	2.14	90.0	0.00	1.93	0.00	1.93
12/11/2017 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	1.10	90.0	0.00	0.99	0.00	0.99
12/11/2017 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.53	90.0	0.00	3.18	0.00	3.18
12/11/2017 #	TODD ALTOM TTEE	DAIN TRAILER	0.00	3.63	90.0	0.00	3.27	0.00	3.27
12/19/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	6,023.67	0.00	90.0	0.00	0.00	0.00	0.00
12/19/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	5,975.10	0.00	90.0	0.00	0.00	0.00	0.00
12/19/2017 #	EMANUEL & CHRISTINA SAS T	DAIN TRAILER	7,657.22	0.00	90.0	0.00	0.00	0.00	0.00

