

**COMMISSION STATEMENT****October 15, 2017**DAVID MIKE BREEDLOVE
1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132

BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/29/2017	BREEDLOVE, VARIOUS	MOODY NAT'L REIT II INC	0.00	8,278.56	90.0	7,450.70	0.00	7,450.70
		* REIT SUBTOTAL *	\$0.00	\$8,278.56		\$7,450.70	\$0.00	\$7,450.70
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
09/25/2017	LADNER TRUST	BR TOTAL INC & REAL ESTATE FUND A	1,000.00	50.00	90.0	45.00	0.00	45.00
		* INTERVAL FUNDS SUBTOTAL *	\$1,000.00	\$50.00		\$45.00	\$0.00	\$45.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/04/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	-1,600.00	0.00	90.0	0.00	0.00	0.00
/ /	KENNEDY, EVELYN	FIDELITY ENERGY T	0.00	1.80	90.0	1.62	0.00	1.62
/ /	KENNEDY, EVELYN	FIDELITY EQUITY GROWTH T	0.00	7.72	90.0	6.95	0.00	6.95
10/04/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	-900.00	22.00	90.0	19.80	22.00	-2.20
10/04/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	-1,600.00	22.00	90.0	19.80	22.00	-2.20
10/04/2017	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	-900.00	22.00	90.0	19.80	22.00	-2.20
10/04/2017	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	-1,600.00	22.00	90.0	19.80	22.00	-2.20
10/04/2017	SMITH, JESSE	PIMCO TOTAL RETURN A	-900.00	22.00	90.0	19.80	22.00	-2.20
10/04/2017	SMITH, BETTY	PIMCO TOTAL RETURN A	-1,600.00	22.00	90.0	19.80	22.00	-2.20
		* MUTUAL FUNDS SUBTOTAL *	\$-9,100.00	\$141.52		\$127.37	\$132.00	\$-4.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,071.39	3.39	90.0	3.05	0.00	3.05
09/30/2017	SPEED, LINDA	JNL PERSPECTIVE II 05/05	36,288.93	30.24	90.0	27.22	0.00	27.22
09/30/2017	KELLY, GENE	JNL PERSPECTIVE INVESTOR VUL	211,683.89	79.38	90.0	71.44	0.00	71.44
09/30/2017	KELLY, RACHELL	JNL PERSPECTIVE INVESTOR VUL	102,968.93	38.61	90.0	34.75	0.00	34.75
09/30/2017	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,523.52	0.95	90.0	0.86	0.00	0.86
09/30/2017	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,523.52	0.95	90.0	0.86	0.00	0.86
09/30/2017	MARTIN, KATHRYN	PRU AS LIFEVEST II	165,884.84	311.03	90.0	279.93	0.00	279.93
09/30/2017	MARTIN, KATHRYN	PRU AS LIFEVEST II	165,884.84	103.68	90.0	93.31	0.00	93.31

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	287,480.97	179.68	90.0	161.71	0.00	161.71
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	151,924.06	94.95	90.0	85.46	0.00	85.46
09/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	167,105.19	104.44	90.0	94.00	0.00	94.00
09/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	167,105.19	104.44	90.0	94.00	0.00	94.00
09/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	199,038.14	124.40	90.0	111.96	0.00	111.96
09/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	167,105.19	104.44	90.0	94.00	0.00	94.00
09/30/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	26,040.94	16.28	90.0	14.65	0.00	14.65
09/30/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	26,040.94	16.28	90.0	14.65	0.00	14.65
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,329.01	15.21	90.0	13.69	0.00	13.69
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,329.01	15.21	90.0	13.69	0.00	13.69
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	137,979.95	86.24	90.0	77.62	0.00	77.62
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	137,979.95	86.24	90.0	77.62	0.00	77.62
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,853.24	17.41	90.0	15.67	0.00	15.67
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,853.24	17.41	90.0	15.67	0.00	15.67
09/30/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,023.15	8.76	90.0	7.88	0.00	7.88
09/30/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,023.15	8.76	90.0	7.88	0.00	7.88
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	50,519.87	31.57	90.0	28.41	0.00	28.41
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	50,519.87	31.57	90.0	28.41	0.00	28.41
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,467.64	23.42	90.0	21.08	0.00	21.08
09/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,467.64	23.42	90.0	21.08	0.00	21.08
09/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,806.98	15.50	90.0	13.95	0.00	13.95
09/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,806.98	15.50	90.0	13.95	0.00	13.95
09/30/2017	BURNS, HENRY	PRU PREMIER RETIREMENT C	12,240.98	30.60	90.0	27.54	0.00	27.54
09/30/2017	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	12,316.44	30.79	90.0	27.71	0.00	27.71
* VARIABLE ANNUITIES SUBTOTAL *			\$3,603,656.00	\$2,435.40		\$2,191.92	\$0.00	\$2,191.92

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/15/2017	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.40	90.0	2.16	0.00	2.16
09/15/2017	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.91	90.0	0.82	0.00	0.82
09/15/2017	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.80	90.0	0.72	0.00	0.72
09/15/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.50	90.0	2.25	0.00	2.25
09/13/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.69	90.0	4.22	0.00	4.22
09/13/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.91	90.0	11.62	0.00	11.62
09/13/2017	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.79	90.0	1.61	0.00	1.61
09/13/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	15.13	90.0	13.62	0.00	13.62
09/13/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	64.39	90.0	57.95	0.00	57.95
09/13/2017	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	15.46	90.0	13.91	0.00	13.91
09/13/2017	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.73	90.0	9.66	0.00	9.66
09/13/2017	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	67.06	90.0	60.35	0.00	60.35
09/13/2017	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.28	90.0	2.05	0.00	2.05
09/13/2017	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.27	90.0	5.64	0.00	5.64
09/13/2017	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	44.38	90.0	39.94	0.00	39.94
09/13/2017	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.20	90.0	1.98	0.00	1.98
09/13/2017	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	6.05	90.0	5.45	0.00	5.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$259.95		\$233.95	\$0.00	\$233.95
*** BROKER TRANSACTIONS TOTAL ***			\$3,595,556.00	\$11,165.43		\$10,048.94	\$132.00	\$9,916.94

ADJUSTMENTS

10/15/2017	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
10/15/2017	*ALBRIDGE: LIGHT USER	0.00	-76.00
10/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00	-300.00
10/15/2017	*GLOBAL RELAY: WEBSITE	0.00	-15.00
10/15/2017	*LASER APP: CURRENT MONTH	0.00	-18.00
10/15/2017	*REGISTRATION FEE: OK-INDIVIDUAL	0.00	-50.00
10/15/2017	*TECHNOLOGY: ADMIN - K BREEDLOVE	0.00	-130.00
10/15/2017	*TECHNOLOGY: ADMIN - LESLIE DALY	0.00	-135.00
10/15/2017	*TECHNOLOGY: ADMIN - R WILLIAMS	0.00	-130.00
10/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00	-180.00

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$-1,041.00	\$0.00	\$-1,041.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$9,916.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,041.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$24.32
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,900.26
Year-to-date Gross	\$41,013.55
Year-to-date Earnings	\$36,736.34

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