



Concorde Investment Services, LLC

12/15/2017

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**COMMISSION STATEMENT****December 15, 2017**DAVID ZUPEK  
1126 Bichara BlvdBROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/28/2017	ROSE, PETER	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
11/17/2017	ZUPEK, DAVID	HPI FUND V	53,191.49	0.00	0.00	0.00	90.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$103,191.49	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/20/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
12/04/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$4.50
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/01/2017	BODVEN, BRUCE	AM GEN POLARIS CHOICE III	114,838.23	430.64	0.00	430.64	90.0	387.58
12/04/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,501,155.65	0.00	0.00	0.00	90.0	0.00
12/04/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,501,155.65	616.91	0.00	616.91	90.0	555.22
11/30/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	15,148.39	15.78	0.00	15.78	90.0	14.20
11/30/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	49,320.13	41.10	0.00	41.10	90.0	36.99
11/30/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	60,557.98	50.46	0.00	50.46	90.0	45.41
11/30/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	115,289.37	24.02	0.00	24.02	90.0	21.62
11/30/2017	ROST, DAVID	JNL PERSPECTIVE II 04/14	787,354.40	656.13	0.00	656.13	90.0	590.52
11/30/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	15,783.10	6.58	0.00	6.58	90.0	5.92
11/30/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	17,371.02	7.24	0.00	7.24	90.0	6.52
11/30/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,884.35	4.95	0.00	4.95	90.0	4.46
11/30/2017	HOSS, GAIL	JNL PERSPECTIVE L	75,207.21	81.47	0.00	81.47	90.0	73.32
11/30/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	5,096.77	5.52	0.00	5.52	90.0	4.97
11/30/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	82,806.47	89.71	0.00	89.71	90.0	80.74
11/30/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	34,195.34	37.04	0.00	37.04	90.0	33.34
11/30/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	64,584.89	53.82	0.00	53.82	90.0	48.44
11/30/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	54,513.65	63.60	0.00	63.60	90.0	57.24

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/30/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	171,881.13	200.53	0.00	200.53	90.0	180.48
11/30/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	127,503.81	148.75	0.00	148.75	90.0	133.88
11/30/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	41,384.07	48.28	0.00	48.28	90.0	43.45
11/30/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	43,122.71	35.94	0.00	35.94	90.0	32.35
11/30/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	26,627.16	22.19	0.00	22.19	90.0	19.97
11/30/2017	SMITH, BETTY	JNL PERSPECTIVE L	30,602.02	35.70	0.00	35.70	90.0	32.13
11/30/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	7,241.28	6.03	0.00	6.03	90.0	5.43
11/30/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	34,098.64	28.42	0.00	28.42	90.0	25.58
11/30/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	30,879.38	25.73	0.00	25.73	90.0	23.16
11/30/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	33,105.98	27.59	0.00	27.59	90.0	24.83
11/30/2017	SPERER, MARION	JNL PERSPECTIVE L	24,348.01	20.29	0.00	20.29	90.0	18.26
11/30/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	8,562.01	9.99	0.00	9.99	90.0	8.99
11/30/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	24,122.09	28.14	0.00	28.14	90.0	25.33
11/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,446.87	30.82	0.00	30.82	90.0	27.74
11/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	26,417.25	28.62	0.00	28.62	90.0	25.76
11/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,579.01	23.82	0.00	23.82	90.0	21.44
11/30/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	56,583.50	66.01	0.00	66.01	90.0	59.41
11/30/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	143,065.06	119.22	0.00	119.22	90.0	107.30
11/30/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	141,683.60	118.07	0.00	118.07	90.0	106.26
11/30/2017	RACK, JOAN	JNL PERSPECTIVE L	146,229.68	158.42	0.00	158.42	90.0	142.58
11/30/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	107,361.45	89.47	0.00	89.47	90.0	80.52
11/30/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,698.57	58.92	0.00	58.92	90.0	53.03
11/30/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	173,640.31	144.70	0.00	144.70	90.0	130.23
11/30/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	210,020.18	175.02	0.00	175.02	90.0	157.52
11/30/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	58,346.94	68.07	0.00	68.07	90.0	61.26
11/30/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	102,921.94	120.08	0.00	120.08	90.0	108.07
11/30/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	79,500.71	66.25	0.00	66.25	90.0	59.63
11/30/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	49,066.80	40.89	0.00	40.89	90.0	36.80
11/30/2017	SHELDON, MARK	JNL PERSPECTIVE L	95,394.88	111.29	0.00	111.29	90.0	100.16
11/30/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	379,587.33	316.32	0.00	316.32	90.0	284.69
11/30/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	636,896.91	743.05	0.00	743.05	90.0	668.75
11/30/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	137,869.33	160.85	0.00	160.85	90.0	144.77
11/30/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	105,209.05	122.74	0.00	122.74	90.0	110.47

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/30/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	229,182.97	267.38	0.00	267.38	90.0	240.64
11/30/2017	FALBO, DIANE	JNL PERSPECTIVE L	679,740.54	793.03	0.00	793.03	90.0	713.73
11/30/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	196,974.66	229.80	0.00	229.80	90.0	206.82
11/30/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	368,602.75	430.04	0.00	430.04	90.0	387.04
11/30/2017	HARRIS, BILLY	JNL PERSPECTIVE L	268,856.92	313.67	0.00	313.67	90.0	282.30
11/30/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	20,695.60	17.25	0.00	17.25	90.0	15.53
11/30/2017	WAYO, DARLENE	JNL PERSPECTIVE L	68,633.39	57.19	0.00	57.19	90.0	51.47
11/30/2017	HOSS, GAIL	JNL PERSPECTIVE L	72,848.53	84.99	0.00	84.99	90.0	76.49
11/30/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	56,871.06	47.39	0.00	47.39	90.0	42.65
11/30/2017	STARK, GLORIA	JNL PERSPECTIVE L	60,722.24	50.60	0.00	50.60	90.0	45.54
11/30/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	247,727.26	206.44	0.00	206.44	90.0	185.80
11/30/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	315,654.45	263.05	0.00	263.05	90.0	236.75
11/30/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	31,171.21	25.98	0.00	25.98	90.0	23.38
11/30/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	225,690.02	188.08	0.00	188.08	90.0	169.27
11/30/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	112,642.73	93.87	0.00	93.87	90.0	84.48
11/30/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	400,889.06	467.70	0.00	467.70	90.0	420.93
11/30/2017	HALL, MICHAEL	JNL PERSPECTIVE L	132,166.49	110.14	0.00	110.14	90.0	99.13
11/30/2017	ZUPFER, JO	JNL PERSPECTIVE L	90,979.86	75.82	0.00	75.82	90.0	68.24
11/30/2017	BECKER, JOHN	JNL PERSPECTIVE L	123,545.04	102.95	0.00	102.95	90.0	92.66
11/30/2017	BECKER, JOHN	JNL PERSPECTIVE L	152,967.19	127.47	0.00	127.47	90.0	114.72
11/30/2017	HALL, MICHAEL	JNL PERSPECTIVE L	133,422.26	111.19	0.00	111.19	90.0	100.07
11/30/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	123,444.51	102.87	0.00	102.87	90.0	92.58
11/30/2017	STEPHENS, CAROL	JNL PERSPECTIVE L	363,309.23	302.76	0.00	302.76	90.0	272.48
11/30/2017	GLENNAN, DONALD	JNL PERSPECTIVE L	345,492.60	287.91	0.00	287.91	90.0	259.12
11/30/2017	CAPSTRAW, RONALD	JNL PERSPECTIVE L	385,880.16	321.57	0.00	321.57	90.0	289.41
11/30/2017	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	224,702.73	187.25	0.00	187.25	90.0	168.53
11/30/2017	DUCZAK, SUSAN	SECURITY LIFE OF DENVER VUL-DB	1,500.00	37.50	0.00	37.50	90.0	33.75
11/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	170,867.73	142.39	0.00	142.39	90.0	128.15
11/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	109,372.92	91.14	0.00	91.14	90.0	82.03
11/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	326,500.52	272.08	0.00	272.08	90.0	244.87
11/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	82,721.01	68.93	0.00	68.93	90.0	62.04
11/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	35,372.61	29.48	0.00	29.48	90.0	26.53
11/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	34,804.67	29.00	0.00	29.00	90.0	26.10

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	39,345.77	32.79	0.00	32.79	90.0	29.51
11/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	38,209.44	31.84	0.00	31.84	90.0	28.66
11/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,073.65	0.89	0.00	0.89	90.0	0.80
11/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,225.51	1.02	0.00	1.02	90.0	0.92
11/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	189,695.32	158.08	0.00	158.08	90.0	142.27
11/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	66,115.28	55.10	0.00	55.10	90.0	49.59
11/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	158,226.93	131.86	0.00	131.86	90.0	118.67
11/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	189,397.32	157.83	0.00	157.83	90.0	142.05
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$14,764,502.40</b>	<b>\$12,091.50</b>	<b>\$0.00</b>	<b>\$12,091.50</b>		<b>\$10,882.42</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

12/01/2017	GRIFFITH, SARA	AM FUNDS AMCAP A	0.00	27.15	0.00	27.15	90.0	24.44
12/01/2017	RUSCH, JACOB	AM FUNDS AMCAP A	0.00	11.67	0.00	11.67	90.0	10.50
12/01/2017	DENNE, THOMAS	AM FUNDS AMERICAN BALANCED 529A	0.00	1.52	0.00	1.52	90.0	1.37
12/01/2017	BERNHARDT, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.90	0.00	1.90	90.0	1.71
12/01/2017	ROBERTSON, MARTIN	AM FUNDS AMERICAN BALANCED A	0.00	20.51	0.00	20.51	90.0	18.46
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.09	0.00	1.09	90.0	0.98
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.43	0.00	7.43	90.0	6.69
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.00	0.00	7.00	90.0	6.30
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.78	0.00	6.78	90.0	6.10
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.91	0.00	6.91	90.0	6.22
12/01/2017	RUSCH, JACOB	AM FUNDS BOND FUND OF AMERICA 529A	0.00	19.77	0.00	19.77	90.0	17.79
12/01/2017	SHUSTEK, PEGGY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	16.60	0.00	16.60	90.0	14.94
12/01/2017	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	6.62	0.00	6.62	90.0	5.96
12/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	22.01	0.00	22.01	90.0	19.81
12/01/2017	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	19.35	0.00	19.35	90.0	17.42
12/01/2017	KRIEDERMANN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.90	0.00	6.90	90.0	6.21
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.65	0.00	7.65	90.0	6.89
12/01/2017	HORNACEK, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	11.50	0.00	11.50	90.0	10.35
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.20	0.00	7.20	90.0	6.48
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	6.98	0.00	6.98	90.0	6.28
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.12	0.00	7.12	90.0	6.41
12/01/2017	SHUSTEK, PEGGY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.44	0.00	4.44	90.0	4.00

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	HARTGERINK, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	7.09	0.00	7.09	90.0	6.38
12/01/2017	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	6.43	0.00	6.43	90.0	5.79
12/01/2017	POVKOVICH, DOROTHY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	20.29	0.00	20.29	90.0	18.26
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.15	0.00	1.15	90.0	1.04
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.77	0.00	7.77	90.0	6.99
12/01/2017	HORNACEK, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.67	0.00	11.67	90.0	10.50
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.31	0.00	7.31	90.0	6.58
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.09	0.00	7.09	90.0	6.38
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.22	0.00	7.22	90.0	6.50
12/01/2017	DENNE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.63	0.00	2.63	90.0	2.37
12/01/2017	SHUSTEK, PEGGY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.51	0.00	4.51	90.0	4.06
12/01/2017	HARTGERINK, EDWARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.20	0.00	7.20	90.0	6.48
12/01/2017	RUGH, BARBARA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	11.11	0.00	11.11	90.0	10.00
12/01/2017	POVKOVICH, DOROTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	20.60	0.00	20.60	90.0	18.54
12/01/2017	KRIEDERMANN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.52	0.00	9.52	90.0	8.57
12/01/2017	GRIFFITH, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	27.27	0.00	27.27	90.0	24.54
12/01/2017	BERNHARDT, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.74	0.00	3.74	90.0	3.37
12/01/2017	RUSCH, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.89	0.00	11.89	90.0	10.70
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS GROWTH PORT 529A	0.00	1.15	0.00	1.15	90.0	1.04
12/01/2017	SHUSTEK, PEGGY	AM FUNDS GROWTH PORT 529A	0.00	4.54	0.00	4.54	90.0	4.09
12/01/2017	DENNE, THOMAS	AM FUNDS ICA 529A	0.00	1.32	0.00	1.32	90.0	1.19
12/01/2017	BERNHARDT, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.89	0.00	1.89	90.0	1.70
12/01/2017	RUSCH, JACOB	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.26	0.00	11.26	90.0	10.13
12/01/2017	HORNACEK, JOSEPH	AM FUNDS NEW ECONOMY 529A	0.00	12.47	0.00	12.47	90.0	11.22
12/01/2017	GRIFFITH, SARA	AM FUNDS NEW PERSPECTIVE A	0.00	23.84	0.00	23.84	90.0	21.46
12/01/2017	GRIFFITH, SARA	AM FUNDS SMALLCAP WORLD A	0.00	23.43	0.00	23.43	90.0	21.09
12/01/2017	BERNHARDT, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	2.62	0.00	2.62	90.0	2.36
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.62	0.00	2.62	90.0	2.36
12/01/2017	HORNACEK, JOSEPH	AM FUNDS SMALLCAP WORLD-529A	0.00	3.94	0.00	3.94	90.0	3.55
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.47	0.00	2.47	90.0	2.22
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.39	0.00	2.39	90.0	2.15
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.44	0.00	2.44	90.0	2.20
12/01/2017	HARTGERINK, EDWARD	AM FUNDS SMALLCAP WORLD-529C	0.00	1.62	0.00	1.62	90.0	1.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	BERNHARDT, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.02	0.00	5.02	90.0	4.52
12/01/2017	RUSCH, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	11.51	0.00	11.51	90.0	10.36
12/01/2017	NICOLAZZI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.11	0.00	1.11	90.0	1.00
12/01/2017	SHUSTEK, PEGGY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.37	0.00	4.37	90.0	3.93
12/01/2017	RUGH, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	10.75	0.00	10.75	90.0	9.68
12/01/2017	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.32	0.00	6.32	90.0	5.69
12/01/2017	POVKOVICH, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	19.94	0.00	19.94	90.0	17.95
12/01/2017	GREIG, AMY	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	5.63	0.00	5.63	90.0	5.07
12/01/2017	POVKOVICH, DOROTHY	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	20.73	0.00	20.73	90.0	18.66
11/16/2017	AULER, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.08	0.00	28.08	90.0	25.27
11/09/2017	KANE, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	42.96	0.00	42.96	90.0	38.66
11/09/2017	RUGH, BARBARA	FS INVESTMENT CORPORATION IV (CLASS	0.00	29.17	0.00	29.17	90.0	26.25
11/22/2017	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	5.95	0.00	5.95	90.0	5.36
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$693.26</b>	<b>\$0.00</b>	<b>\$693.26</b>		<b>\$624.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
11/29/2017	ZUPEK, DAVID	DELAWARE LIFE TRAILS	0.00	155.25	0.00	155.25	90.0	139.73
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$155.25</b>	<b>\$0.00</b>	<b>\$155.25</b>		<b>\$139.73</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$14,867,793.89</b>	<b>\$16,445.01</b>	<b>\$0.00</b>	<b>\$16,445.01</b>		<b>\$14,800.65</b>
<b><u> OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: MARK-H122 HUBER #10</u></b>								
12/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	2.00	0.00	2.00	0.0	1.80
12/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
11/20/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	0.0	1.80
11/10/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	0.0	2.70
<b>* MARK-H122 HUBER SUBTOTAL *</b>			<b>\$400.00</b>	<b>\$9.00</b>	<b>\$0.00</b>	<b>\$9.00</b>		<b>\$8.10</b>
<b><u>BROKER: R121-RICHARD RODRIGUEZ #88</u></b>								
11/30/2017	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	78,112.93	65.10	0.00	65.10	0.0	58.59
11/30/2017	ROSE, PETER	JNL PERSPECTIVE L	33,795.20	28.16	0.00	28.16	0.0	25.34
<b>* R121-RICHARD RODRIGUEZ SUBTOTAL *</b>			<b>\$111,908.13</b>	<b>\$93.26</b>	<b>\$0.00</b>	<b>\$93.26</b>		<b>\$83.93</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK-H122 HUBER #89 </u></b>								
12/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS 2025 TARGET DATE R2	0.00	111.81	0.00	111.81	0.0	100.63
12/01/2017	WIKAR, HALINA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.55	0.00	4.55	0.0	4.10
12/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS CAPITAL WORLD BOND R2	0.00	63.21	0.00	63.21	0.0	56.89
12/01/2017	WIKAR, HALINA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.73	0.00	9.73	0.0	8.76
12/01/2017	WIKAR, HALINA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.53	0.00	6.53	0.0	5.88
12/01/2017	WIKAR, HALINA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	12.28	0.00	12.28	0.0	11.05
12/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	189.95	0.00	189.95	0.0	170.96
12/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	147.45	0.00	147.45	0.0	132.71
12/01/2017	KHOURI DDS PC 401K, RHONDA	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	145.63	0.00	145.63	0.0	131.07
12/01/2017	WIKAR, HALINA	AM FUNDS NEW ECONOMY C	0.00	3.64	0.00	3.64	0.0	3.28
12/01/2017	WIKAR, HALINA	AM FUNDS NEW WORLD C	0.00	12.15	0.00	12.15	0.0	10.94
12/01/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
12/04/2017	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	0.0	0.36
11/16/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	1.00	0.00	1.00	0.0	0.90
11/20/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
12/01/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
11/20/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	4.32	0.00	4.32	0.0	3.89
12/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
11/20/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
11/20/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	0.0	0.45
12/04/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	0.0	0.36
11/15/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	3.00	0.00	3.00	0.0	2.70
11/21/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
11/21/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
11/20/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	3.89
12/01/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
12/04/2017	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	0.0	0.36
11/21/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
11/21/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
12/04/2017	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	0.0	0.36
11/16/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	0.0	10.80
11/16/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	1.00	0.00	1.00	0.0	0.90
11/20/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	4.32	0.00	4.32	0.0	3.89

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK-H122 HUBER #89 </u></b>								
12/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
11/20/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
11/20/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	0.0	0.45
11/21/2017	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
11/21/2017	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
12/01/2017	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62
12/01/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18
12/04/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	0.0	0.36
11/15/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	3.00	0.00	3.00	0.0	2.70
11/16/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	0.0	25.20
11/20/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	4.32	0.00	4.32	0.0	3.89
11/20/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
11/20/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	0.0	0.45
12/01/2017	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18
12/01/2017	KHOURI DDS PC 401K, RHONDA	U.S. GOVERNMENT SECURITIES FUND-R2	0.00	61.41	0.00	61.41	0.0	55.27
* MARK-H122 HUBER SUBTOTAL *			\$3,280.00	\$856.34	\$0.00	\$856.34		\$770.75
<b><u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u></b>								
11/21/2017	WOLF, XANDER	ALGER SPECTRA A	0.00	1.00	0.00	1.00	0.0	0.90
11/21/2017	WOLF, AADRA	ALGER SPECTRA A	0.00	1.00	0.00	1.00	0.0	0.90
11/09/2017	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.07	0.00	1.07	0.0	0.96
11/09/2017	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.21	0.00	1.21	0.0	1.09
11/17/2017	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.51	0.00	0.51	0.0	0.46
11/17/2017	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.51	0.00	0.51	0.0	0.46
11/22/2017	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.22	0.00	1.22	0.0	1.10
11/22/2017	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.38	0.00	1.38	0.0	1.24
11/22/2017	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.94	0.00	0.94	0.0	0.85
11/22/2017	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.94	0.00	0.94	0.0	0.85
11/16/2017	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.72	0.00	5.72	0.0	5.15
11/16/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.26	0.00	17.26	0.0	15.53
11/16/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	24.89	0.00	24.89	0.0	22.40
11/16/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.43	0.00	6.43	0.0	5.79
11/16/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.93	0.00	10.93	0.0	9.84
11/16/2017	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.23	0.00	12.23	0.0	11.01

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>								
11/16/2017	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.27	0.00	4.27	0.0	3.84
11/16/2017	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.27	0.00	4.27	0.0	3.84
11/16/2017	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.81	0.00	2.81	0.0	2.53
11/22/2017	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.59	0.00	0.59	0.0	0.53
11/22/2017	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.59	0.00	0.59	0.0	0.53
<b>* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$99.77</b>	<b>\$0.00</b>	<b>\$99.77</b>		<b>\$89.80</b>
<b><u>BROKER: MICHAEL VENTURINI #127</u></b>								
11/30/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,731.18	17.27	0.00	17.27	0.0	15.54
11/30/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,656.24	13.88	0.00	13.88	0.0	12.49
<b>* MICHAEL VENTURINI SUBTOTAL *</b>			<b>\$37,387.42</b>	<b>\$31.15</b>	<b>\$0.00</b>	<b>\$31.15</b>		<b>\$28.03</b>
<b><u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u></b>								
12/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	0.59	0.00	0.59	0.0	0.53
12/01/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	15.49	0.00	15.49	0.0	13.94
12/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	4.48	0.00	4.48	0.0	4.03
12/01/2017	FROSCH, TRACEY	AM FUNDS AMCAP 529A	0.00	2.96	0.00	2.96	0.0	2.66
12/01/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	9.70	0.00	9.70	0.0	8.73
12/01/2017	VASSH, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.21	0.00	0.21	0.0	0.19
12/01/2017	VASSH, LYNN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	0.0	0.19
12/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	5.62	0.00	5.62	0.0	5.06
12/01/2017	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.71	0.00	3.71	0.0	3.34
12/01/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	1.88	0.00	1.88	0.0	1.69
12/01/2017	THOMPSON, TERRY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.57	0.00	0.57	0.0	0.51
12/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.70	0.00	5.70	0.0	5.13
12/01/2017	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.01	0.00	3.01	0.0	2.71
12/01/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.81	0.00	1.81	0.0	1.63
12/01/2017	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.57	0.00	0.57	0.0	0.51
12/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	2.31	0.00	2.31	0.0	2.08
12/01/2017	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	1.53	0.00	1.53	0.0	1.38
12/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.28	0.00	0.28	0.0	0.25
12/01/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.78	0.00	1.78	0.0	1.60
12/01/2017	THOMPSON, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.53	0.00	0.53	0.0	0.48
12/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.42	0.00	4.42	0.0	3.98

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: DAVE/MIKE ZUPEK/VENTURINI #129</u></b>								
12/01/2017	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.65	0.00	3.65	0.0	3.29
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$0.00	\$71.01	\$0.00	\$71.01		\$63.91
<b><u>BROKER: DAN/DAVE JENSEN/ZUPEK #136</u></b>								
12/01/2017	DJURICH, JOYCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.00	0.00	6.00	0.0	5.40
12/01/2017	DJURICH, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.26	0.00	8.26	0.0	7.43
12/01/2017	BEAUGRAND, TAMI	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.15	0.00	10.15	0.0	9.14
* DAN/DAVE JENSEN/ZUPEK SUBTOTAL *			\$0.00	\$24.41	\$0.00	\$24.41		\$21.97
<b><u>BROKER: WENDY GOODING #158</u></b>								
11/22/2017	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	10.15	0.00	10.15	0.0	3.55
* WENDY GOODING SUBTOTAL *			\$0.00	\$10.15	\$0.00	\$10.15		\$3.55
<b><u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u></b>								
12/01/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	0.00	0.42	0.00	0.42	0.0	0.38
12/01/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	0.00	0.86	0.00	0.86	0.0	0.77
11/20/2017	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	0.0	0.48
11/20/2017	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	0.0	0.39
11/20/2017	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.63	0.00	0.63	0.0	0.57
11/20/2017	BATIO, KAREN	AM FUNDS ICA A	0.00	0.59	0.00	0.59	0.0	0.53
11/20/2017	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	0.0	0.45
12/01/2017	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.31	0.00	0.31	0.0	0.28
11/16/2017	SHAYKIN, STEVEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.02	0.00	13.02	0.0	11.72
11/16/2017	BATIO, KAREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.89	0.00	3.89	0.0	3.50
11/16/2017	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.37	0.00	2.37	0.0	2.13
11/16/2017	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.15	0.00	2.15	0.0	1.94
11/16/2017	WHEELER, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.69	0.00	1.69	0.0	1.52
11/16/2017	WHEELER, MARSHA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.61	0.00	1.61	0.0	1.45
11/09/2017	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	43.30	0.00	43.30	0.0	38.97
11/09/2017	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.65	0.00	21.65	0.0	19.49
11/09/2017	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.32	0.00	17.32	0.0	15.59
11/09/2017	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	60.15	0.00	60.15	0.0	54.14
11/09/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORPORATION IV (CLASS	0.00	17.33	0.00	17.33	0.0	15.60
11/09/2017	GIBSON, PAMELA	FS INVESTMENT CORPORATION IV (CLASS	0.00	32.49	0.00	32.49	0.0	29.24
12/06/2017	RACK TRUST, JOAN	ING LANDMARK	0.00	683.39	0.00	683.39	0.0	615.05

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$0.00	\$904.63	\$0.00	\$904.63		\$814.19
*** OVERRIDE TRANSACTIONS TOTAL ***			\$152,975.55	\$2,099.72	\$0.00	\$2,099.72		\$1,884.23

<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*AI INSIGHT: AI INSIGHT	0.00					-7.00
12/15/2017		*AI INSIGHT: AI INSIGHT - MARK	0.00					-7.00
12/15/2017		*ALBRIDGE: LIGHT USER - DAVID	0.00					-38.00
12/15/2017		*ALBRIDGE: LIGHT USER - MARK	0.00					-38.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*GLOBAL RELAY: FACEBOOK - DAVID	0.00					-2.50
12/15/2017		*GLOBAL RELAY: FACEBOOK - MARK	0.00					-2.50
12/15/2017		*GLOBAL RELAY: WEBSITE - DAVID	0.00					-7.50
12/15/2017		*GLOBAL RELAY: WEBSITE - MARK	0.00					-7.50
12/15/2017		*LASER APP: LASER APP - MARK	0.00					-18.00
12/15/2017		*LASER APP: LASERAPP - DAVID	0.00					-18.00
12/15/2017		*MARKETING PRO: MARKETING PRO - DAV	0.00					-10.00
12/15/2017		*MARKETING PRO: STANDARD - MARK	0.00					-10.00
12/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.96
12/15/2017		*PERSHING: REORG FEE	0.00					-30.00
12/15/2017		*TECH: ADMIN - L JOCIUS	0.00					-130.00
12/15/2017		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
12/15/2017		*TECH: ADMIN: JOHN ZUPEK	0.00					-60.00
12/15/2017		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
12/15/2017		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
12/15/2017		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,539.96

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,800.65
Split Transactions	\$0.00
Override Transactions	\$1,884.23
Adjustments	\$-1,539.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,144.92
Year-to-date Gross	\$252,077.30
Year-to-date Earnings	\$389,184.82