



COMMISSION STATEMENT

July 16, 2018

RICHARD SOWERS
 2451 McMullen Booth Road
 Suite 263
 Clearwater, FL 33759

BROKER #: 71
 BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	***-**-87IN - HALL, J	AMCAP FUND-A	147.00	2.94	0.00	2.94	90.0	2.65
	ACCOUNT #: 00084955124	BUY 4 Units @34.1600						
07/02/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	147.00	2.94	0.00	2.94	90.0	2.65
	ACCOUNT #: 00084955124	BUY 3 Units @51.7200						
07/02/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	147.00	2.94	0.00	2.94	90.0	2.65
	ACCOUNT #: 00084955124	BUY 2 Units @64.1900						
07/10/2018	***-**-60IO - BLACK, JENNIFER	PRIMECAP ODYSSEY GROWTH FUND	680.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSH000019	SELL 17 Units @41.1500						
06/20/2018	***-**-4902 - HOLLINGSHEAD,	SAMMONS LIVEWELL MUTUAL FUND	12,624.44	126.24	0.00	126.24	90.0	113.62
		BUY 0 Units @0.0000						
07/02/2018	***-**-217I - VITALE, ESTHER	SAMMONS MF TRAILS	0.00	7.23	0.00	7.23	90.0	6.51
	ACCOUNT #: 7200011021	BUY 0 Units @0.0000						
07/02/2018	***-**-36IN - CLAWSON,	SAMMONS MF TRAILS	0.00	5.73	0.00	5.73	90.0	5.16
	ACCOUNT #: 7200017170	BUY 0 Units @0.0000						
07/02/2018	***-**-339I - COBIAN, NANCY	SAMMONS MF TRAILS	0.00	17.81	0.00	17.81	90.0	16.03
	ACCOUNT #: 7200019226	BUY 0 Units @0.0000						
07/05/2018	***-**-351C - SOWERS, CLIENTS	TEMPLETON GLBL OPPS TR FUND - CLASS	50.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 000000000255036	BUY 2 Units @21.0700						
07/02/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	147.00	2.94	0.00	2.94	90.0	2.65
	ACCOUNT #: 00084955124	BUY 3 Units @45.5400						
* MUTUAL FUNDS SUBTOTAL *			\$13,942.44	\$169.27	\$0.00	\$169.27		\$152.37
<u>PRODUCT CATEGORY: RIA</u>								
07/10/2018	***-**-60IO - BLACK, JENNIFER	NFS MANAGED FEES	0.00	408.56	0.00	408.56	90.0	367.70
		BUY 1 Units @92623.9800						
07/10/2018	***-**-60I2 - BLACK, JENNIFER	NFS MANAGED FEES	0.00	446.50	0.00	446.50	90.0	401.85
		BUY 1 Units @101225.720						
* RIA SUBTOTAL *			\$0.00	\$855.06	\$0.00	\$855.06		\$769.55



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE								
07/16/2018	***-**-8050 - PARHAM, KEELAN	BRIGHTHOUSE LIFE RENEWALS BUY 1 Units @600.0000	600.00	12.00	0.00	12.00	90.0	10.80
07/16/2018	***-**-4311 - PARHAM, BARBIE	BRIGHTHOUSE LIFE RENEWALS BUY 1 Units @600.0000	600.00	12.00	0.00	12.00	90.0	10.80
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,200.00	\$24.00	\$0.00	\$24.00		\$21.60
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/09/2018	***-**-3221 - PITTM, BARB ACCOUNT #: 00940010050	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000	0.00	4.59	0.00	4.59	90.0	4.13
06/30/2018	***-**-0132 - FUERST, PATRICIA ACCOUNT #: 03201481271	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	2.76	0.00	2.76	90.0	2.48
06/30/2018	***-**-612I - VINCE, RAYMOND ACCOUNT #: 03205797638	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	90.0	0.14
06/30/2018	***-**-17IN - VITALE, ESTHER ACCOUNT #: 07603670975	CASH RESERVES A BUY 0 Units @0.0000	0.00	0.00	0.00	0.00	90.0	0.00
07/09/2018	***-**-6114 - HARBIN GRE ACCOUNT #: 00655706638	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	4.43	0.00	4.43	90.0	3.99
07/09/2018	***-**-114I - HARBIN, GREGORY ACCOUNT #: 00704750850	FIDELITY ADV EQUITY GROWTH CL A BUY 0 Units @0.0000	0.00	2.33	0.00	2.33	90.0	2.10
07/09/2018	***-**-9283 - HARBIN, JOANNA ACCOUNT #: 00504394842	FIDELITY ADV GROWTH & INCOME CL A BUY 0 Units @0.0000	0.00	6.33	0.00	6.33	90.0	5.70
06/30/2018	***-**-612I - VINCE, RAYMOND ACCOUNT #: 03300714573	GLOBAL FUND A BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	90.0	0.08
06/30/2018	***-**-3152 - LORD, GEORGE D ACCOUNT #: 04206461158	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	7.52	0.00	7.52	90.0	6.77
06/30/2018	***-**-612I - VINCE, RAYMOND ACCOUNT #: 07008251303	MAIN STREET FUND A BUY 0 Units @0.0000	0.00	0.16	0.00	0.16	90.0	0.14
06/30/2018	***-**-897I - SPENCER, JEANNIE ACCOUNT #: 05500608806	OPP PORT SERIES: ACTIVE ALLOC A BUY 0 Units @0.0000	0.00	11.02	0.00	11.02	90.0	9.92
06/30/2018	***-**-17IN - VITALE, ESTHER ACCOUNT #: 07315691136	OPPENHEIMER MAIN STREET OPPORTUNITY BUY 0 Units @0.0000	0.00	4.95	0.00	4.95	90.0	4.46
06/30/2018	***-**-17IN - VITALE, ESTHER ACCOUNT #: 02302781727	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	0.00	0.13	0.00	0.13	90.0	0.12



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/16/2018	***-**-012S - BALBIN, CATHY	PRINCIPAL FUNDS TRAILS BUY 0 Units @0.0000	0.00	36.84	0.00	36.84	90.0	33.16
07/16/2018	***-**-458I - GROSS, JAN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	5.55	0.00	5.55	90.0	5.00
07/16/2018	***-**-558H - PEAHEY, DARREN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	3.71	0.00	3.71	90.0	3.34
07/16/2018	***-**-436S - DUNLAP, RICHARD	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	2.14	0.00	2.14	90.0	1.93
07/16/2018	***-**-458I - GROSS, JAN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	4.93	0.00	4.93	90.0	4.44
07/16/2018	***-**-458I - GROSS, JAN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	2.62	0.00	2.62	90.0	2.36
07/16/2018	***-**-600I - FLANICK, JOSEPH	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	1.51	0.00	1.51	90.0	1.36
07/02/2018	***-**-78UG - REBMAN, AMANDA M ACCOUNT #: 00435289055	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	10.79	0.00	10.79	90.0	9.71
07/02/2018	***-**-833J - KAHERMANES, NORA ACCOUNT #: 00494851506	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	6.38	0.00	6.38	90.0	5.74
07/02/2018	***-**-58UG - HAUSMAN, LAURA E ACCOUNT #: 00550830449	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	3.33	0.00	3.33	90.0	3.00
07/02/2018	***-**-67UG - HAUSMAN, SYDNEY ACCOUNT #: 00550842095	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	5.85	0.00	5.85	90.0	5.27
07/02/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 00550886148	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	10.55	0.00	10.55	90.0	9.50
07/02/2018	***-**-58UG - HAUSMAN, LAURA E ACCOUNT #: 00550830449	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	5.23	0.00	5.23	90.0	4.71
07/02/2018	***-**-67UG - HAUSMAN, SYDNEY ACCOUNT #: 00550842095	PUTNAM GLOBAL HEALTH CARE FD-A BUY 0 Units @0.0000	0.00	6.48	0.00	6.48	90.0	5.83
07/02/2018	***-**-717I - FUERST, DOUGLAS ACCOUNT #: 00232502075	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	1.11	0.00	1.11	90.0	1.00
07/02/2018	***-**-965P - WILSON, TINA ACCOUNT #: 00401490537	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	0.85	0.00	0.85	90.0	0.77



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/02/2018	***-**-8831 - KILMER, ACCOUNT #: 00401506977	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	7.19	0.00	7.19	90.0	6.47
07/02/2018	***-**-833J - KAHERMANES, NORA ACCOUNT #: 00494851506	PUTNAM GROWTH OPPORTUNITIES FD CL A BUY 0 Units @0.0000	0.00	4.12	0.00	4.12	90.0	3.71
07/02/2018	***-**-70UG - KAHERMANES, ACCOUNT #: 00507255867	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	9.12	0.00	9.12	90.0	8.21
07/02/2018	***-**-70UG - KAHERMANES, ACCOUNT #: 00507255867	PUTNAM INVESTORS FUND-B BUY 0 Units @0.0000	0.00	2.77	0.00	2.77	90.0	2.49
07/02/2018	***-**-70UG - KAHERMANES, ACCOUNT #: 00507255867	PUTNAM MF TRAILS 74676P870 BUY 0 Units @0.0000	0.00	0.31	0.00	0.31	90.0	0.28
07/02/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 00550886148	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	0.93	0.00	0.93	90.0	0.84
07/02/2018	***-**-70UG - KAHERMANES, ACCOUNT #: 00507255867	PUTNAM MULTI-CAP CORE FUND CL A BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	90.0	0.91
07/02/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 00550886148	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	6.53	0.00	6.53	90.0	5.88
07/02/2018	***-**-9717 - FUERST AND, ACCOUNT #: 00551486754	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	66.95	0.00	66.95	90.0	60.26
07/02/2018	***-**-58UG - HAUSMAN, LAURA E ACCOUNT #: 00550830449	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	6.99	0.00	6.99	90.0	6.29
07/02/2018	***-**-67UG - HAUSMAN, SYDNEY ACCOUNT #: 00550842095	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	4.27	0.00	4.27	90.0	3.84
06/30/2018	***-**-897I - SPENCER, JEANNIE ACCOUNT #: 02252886983	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	0.00	5.55	0.00	5.55	90.0	5.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$268.07	\$0.00	\$268.07		\$241.33
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/02/2018	***-**-4155 - OUELLETTE, JOYCE ACCOUNT #: T06422061	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	38.45	0.00	38.45	90.0	34.61
07/16/2018	***-**-2960 - KELLEY, BRENDA ACCOUNT #: 7100008985	MIDLAND LIVEWELL VA BUY 1 Units @0.0000	0.00	38.60	0.00	38.60	90.0	34.74
07/16/2018	***-**-54IN - STOUT, LOUISE ACCOUNT #: 7100009490	MIDLAND LIVEWELL VA BUY 1 Units @0.0000	0.00	45.42	0.00	45.42	90.0	40.88



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE (continued)								
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$122.47	\$0.00	\$122.47		\$110.23
		*** BROKER TRANSACTIONS TOTAL ***	\$15,142.44	\$1,438.87	\$0.00	\$1,438.87		\$1,295.08

BROKER COMMISSION TOTALS

Broker Transactions	\$1,295.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,295.08
Year-to-date Earnings	\$30,043.26