



Concorde Investment Services, LLC

04/03/2018

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COMMISSION STATEMENT**March 31, 2018**JOEL, W143 WOODS
1412 Blue Quail RunBROKER #: W143
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
02/01/2018	GORDON, JEFFREY	CIM COMMERCIAL TRUST SERIES A	21,000.00	1,050.00	0.00	1,050.00	92.0	966.00
03/07/2018	KLUCHER IRA, WILLIAM	CIM COMMERCIAL TRUST SERIES A	10,000.00	500.00	0.00	500.00	92.0	460.00
03/09/2018	BURTON, JANET	CIM COMMERCIAL TRUST SERIES A	15,000.00	750.00	0.00	750.00	92.0	690.00
03/22/2018	PROPST FAMILY TRUST	CIM COMMERCIAL TRUST SERIES A	10,000.00	500.00	0.00	500.00	92.0	460.00
* REIT SUBTOTAL *			\$56,000.00	\$2,800.00	\$0.00	\$2,800.00		\$2,576.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	62,664.56	12.02	0.00	12.02	92.0	11.06
03/01/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	1,000.00	10.00	0.00	10.00	92.0	9.20
03/21/2018	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND C	20,088.82	15.41	0.00	15.41	92.0	14.18
03/21/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	13,858.07	10.63	0.00	10.63	92.0	9.78
03/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	16,303.63	12.51	0.00	12.51	92.0	11.51
03/21/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	25,119.80	19.27	0.00	19.27	92.0	17.73
03/21/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	75,487.33	57.91	0.00	57.91	92.0	53.28
03/21/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	25,076.00	19.24	0.00	19.24	92.0	17.70
03/21/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	20,046.81	15.37	0.00	15.37	92.0	14.14
03/21/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	33,202.69	25.47	0.00	25.47	92.0	23.43
03/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	29,976.19	23.00	0.00	23.00	92.0	21.16
03/21/2018	PERRY CHILD TRUST, RUDOLPH	BR TOTAL INC & REAL ESTATE FUND C	12,787.67	9.81	0.00	9.81	92.0	9.03
03/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	15,962.11	12.24	0.00	12.24	92.0	11.26
03/21/2018	THREADGILL, VICKY	BR TOTAL INC & REAL ESTATE FUND C	15,950.90	12.24	0.00	12.24	92.0	11.26
* INTERVAL FUNDS SUBTOTAL *			\$367,524.58	\$255.12	\$0.00	\$255.12		\$234.72
<u>PRODUCT CATEGORY: 529 PLANS</u>								
03/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	750.00	7.50	0.00	7.50	90.0	6.75
03/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	50.00	0.50	0.00	0.50	90.0	0.45
03/15/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	300.00	3.00	0.00	3.00	90.0	2.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
03/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
03/12/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
03/08/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
03/15/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
* 529 PLANS SUBTOTAL *			\$1,370.00	\$23.83	\$0.00	\$23.83		\$21.46
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
03/15/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
03/14/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
03/14/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
03/14/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
03/14/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
03/14/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
03/14/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
03/14/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/14/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/14/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/14/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/14/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/14/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *			\$1,000.00	\$14.00	\$0.00	\$14.00		\$12.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/12/2018	MODLIN, MARK	AXA EQUITABLE 00248C626	28,000.00	1,680.00	0.00	1,680.00	90.0	1,512.00
03/12/2018	KLUCHER, WILLIAM	AXA SABSHRSTRU CAPITAL ST B	5,500.00	275.00	0.00	275.00	90.0	247.50
03/15/2018	KMAK, SHANNON	AXA STRUCTURED CAP STRATEGIES 16 SE	5,500.00	275.00	0.00	275.00	90.0	247.50
* VARIABLE ANNUITIES SUBTOTAL *			\$39,000.00	\$2,230.00	\$0.00	\$2,230.00		\$2,007.00
*** DIRECT TRANSACTIONS TOTAL ***			\$464,894.58	\$5,322.95	\$0.00	\$5,322.95		\$4,851.81

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.05
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.05
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.05
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.05
03/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.05
03/15/2018	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
03/21/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	20,575.70	3.95	0.00	3.95	0.0	1.66
03/16/2018	CORLEY, WILBUR	SBL ADVISOR PROGRAM	26,552.21	265.51	0.00	265.51	48.0	111.51
/ /	SCHONFELD, NANCY	VAN ECK INTERNATIONAL INVESTORS GOL	0.00	7.06	0.00	7.06	48.0	2.97
* MARK-S141 REG SMITH SUBTOTAL *			\$47,427.91	\$290.90	\$0.00	\$290.90		\$122.18
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
03/21/2018	ORR, PATRICIA	BR TOTAL INC & REAL ESTATE FUND A	8,146.37	1.56	0.00	1.56	49.0	0.67
03/21/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND A	1,051.85	0.20	0.00	0.20	49.0	0.09
03/21/2018	SPENCER, HAROLD	BR TOTAL INC & REAL ESTATE FUND A	22,706.63	4.35	0.00	4.35	49.0	1.87
03/21/2018	WILSON TRUST, WOODROW & JOANN	BR TOTAL INC & REAL ESTATE FUND A	10,257.79	1.97	0.00	1.97	49.0	0.85
03/21/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	39,128.64	30.01	0.00	30.01	49.0	12.90
03/21/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	33,717.69	25.87	0.00	25.87	49.0	11.12
03/21/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	32,172.94	16.67	0.00	16.67	49.0	7.17
03/21/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	16,151.82	12.39	0.00	12.39	49.0	5.33
03/21/2018	WISE TRUST, LUCILE	BR TOTAL INC & REAL ESTATE FUND C	20,025.87	15.36	0.00	15.36	49.0	6.60
03/15/2018	MARTINEZ, JOSE	CIM COMMERCIAL TRUST SERIES A	15,000.00	750.00	0.00	750.00	49.0	322.50
03/15/2018	MARTINEZ, JOSE	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	49.0	1,505.00
03/02/2018	ELLA MCCORMICK RV TR	GRIFFIN INST'L ACCESS R E FUND C	100,000.00	1,000.00	0.00	1,000.00	49.0	430.00
* MARK-S142 ALTS SMITH SUBTOTAL *			\$348,359.60	\$5,358.38	\$0.00	\$5,358.38		\$2,304.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$395,787.51	\$5,649.28	\$0.00	\$5,649.28		\$2,426.28

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,851.81
Split Transactions	\$0.00
Override Transactions	\$2,426.28
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,278.09
Year-to-date Gross	\$39,040.30
Year-to-date Earnings	\$47,785.56