



COMMISSION STATEMENT

January 29, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
01/26/2018	***-**-109I - PASCH, ROBERT	AMERICAN CENTURY TRAILS	0.00	1.38	0.00	1.38	15.0	0.21
	ACCOUNT #: 7107500645	BUY 0 Units @0.0000						
01/26/2018	***-**-67CL - MILGROOM,	AMERICAN CENTURY TRAILS	0.00	4.16	0.00	4.16	15.0	0.62
	ACCOUNT #: 710750068	BUY 0 Units @0.0000						
01/02/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	50.00	2.38	0.00	2.38	15.0	0.36
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
01/21/2018	***-**-545P - MARTIN,	ING ADVANTAGE SELECT	0.00	34.59	0.00	34.59	15.0	5.19
	ACCOUNT #: R104873-ZS	BUY 0 Units @0.0000						
01/24/2018	***-**-9807 - IONESCU, EMANUEL	ING ADVANTAGE SELECT	0.00	3.39	0.00	3.39	15.0	0.51
	ACCOUNT #: R080009-ZS	BUY 0 Units @0.0000						
01/02/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	50.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
01/16/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	278.13	2.09	0.00	2.09	15.0	0.31
	ACCOUNT #: 07123000494	BUY 21 Units @13.4900						
01/29/2018	***-**-1584 - CAMPBELL, COLIN	PIMCO TRAILS	0.00	2.98	0.00	2.98	15.0	0.45
		BUY 0 Units @0.0000						
01/29/2018	***-**-67CL - MILGROOM,	PIMCO TRAILS	0.00	48.96	0.00	48.96	15.0	7.34
		BUY 0 Units @0.0000						
01/29/2018	***-**-109I - PASCH, ROBERT	PIMCO TRAILS	0.00	2.86	0.00	2.86	15.0	0.43
		BUY 0 Units @0.0000						
01/29/2018	***-**-8780 - PALM BEACH	PIMCO TRAILS	0.00	6.18	0.00	6.18	15.0	0.93
		BUY 0 Units @0.0000						
01/29/2018	***-**-2111 - SPECTRUM TOURS	PIMCO TRAILS	0.00	6.18	0.00	6.18	15.0	0.93
		BUY 0 Units @0.0000						
01/29/2018	***-**-2111 - SPECTRUM TOURS	PIMCO TRAILS	0.00	-6.12	0.00	-6.12	15.0	-0.92
		BUY 0 Units @0.0000						
01/29/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	3.06	0.00	3.06	15.0	0.46
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
01/29/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	8.42	0.00	8.42	15.0	1.26
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
01/29/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.08	0.00	0.08	15.0	0.01
		BUY 0 Units @0.0000						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: CHRISTOPHER MILGROOM (continued) </u>								
01/29/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	13.16	0.00	13.16	15.0	1.97
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						
01/29/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	2.62	0.00	2.62	15.0	0.39
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
01/29/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.06	0.00	0.06	15.0	0.01
		BUY 0 Units @0.0000						
01/29/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	4.12	0.00	4.12	15.0	0.62
		BUY 0 Units @0.0000						
01/29/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	28.52	0.00	28.52	15.0	4.28
		BUY 0 Units @0.0000						
01/04/2018	***-**-6647 - CABLE, ROBERT	WELLS FARGO SPECIAL MID CAP CL C	0.00	2.25	0.00	2.25	15.0	0.34
	ACCOUNT #: 08551051344	BUY 0 Units @0.0000						
		* CHRISTOPHER MILGROOM SUBTOTAL *	\$378.13	\$173.32	\$0.00	\$173.32		\$26.00
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$378.13	\$173.32	\$0.00	\$173.32		\$26.00

 BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$26.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$59.49
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$85.49
Year-to-date Earnings	\$582.04