



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
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JOHN KLETT
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K142
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/17/2017	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	7.29	0.00	7.29	75.0	5.47
10/17/2017	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.93	0.00	3.93	75.0	2.95
10/18/2017	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.99	0.00	5.99	75.0	4.49
10/24/2017	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.03	0.00	5.03	75.0	3.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$22.24	\$0.00	\$22.24		\$16.68
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$22.24	\$0.00	\$22.24		\$16.68
<u>ADJUSTMENTS</u>								
11/15/2017		*EO INS: CURRENT MONTH	0.00					-300.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$16.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-482.93
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,041.25
Year-to-date Gross	\$342.00
Year-to-date Earnings	\$167.38