



COMMISSION STATEMENT

March 12, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
02/06/2018	***-**-11IN - MCCARTHY, LYNN	GWG L BOND - 5 YR BUY 15 Units @1000.0000	15,000.00	885.00	0.00	885.00	0.0	0.00
02/06/2018	***-**-11IN - MCCARTHY, LYNN	GWG PREFERRED STOCK BUY 10 Units @1000.0000	10,000.00	660.00	0.00	660.00	0.0	0.00
* ALTERNATIVE INVESTMENTS SUBTOTAL *			\$25,000.00	\$1,545.00	\$0.00	\$1,545.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	AMERICAN GLOBAL BALANCED CL C BUY 307 Units @32.6200	9,999.99	118.00	18.00	100.00	0.0	0.00
02/26/2018	***-**-8901 - GURDO, ANTHONY ACCOUNT #: 00087491111	FUNDAMENTAL INVESTORS-C BUY 2 Units @64.5400	150.00	1.50	0.00	1.50	0.0	0.00
03/05/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	INCOME FUND OF AMERICA CLASS C BUY 329 Units @22.7800	7,500.00	93.00	18.00	75.00	0.0	0.00
03/05/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	MFS CONSERVATIVE ALLOCATION CL C BUY 656 Units @15.2500	10,000.00	118.00	18.00	100.00	0.0	0.00
03/05/2018	***-**-75IR - EVANS, DIANE ACCOUNT #: LSR011275	MFS EMERGING GROWTH FUND-B BUY 54 Units @78.6400	4,250.02	188.00	18.00	170.00	0.0	0.00
02/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MARKETS EQUITY FUND-B BUY 2 Units @33.3200	50.00	2.00	0.00	2.00	0.0	0.00
02/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS EMERGING MKT DEBT CL B BUY 3 Units @14.8200	50.00	2.00	0.00	2.00	0.0	0.00
02/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS INTERNATIONAL VALUE FUND-B BUY 2 Units @40.5300	100.00	4.00	0.00	4.00	0.0	0.00
03/05/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	MFS TECHNOLOGY CLASS C BUY 185 Units @35.1800	6,500.00	83.00	18.00	65.00	0.0	0.00
02/28/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: 08191178476	MFS TOTAL RETURN FUND-B BUY 3 Units @18.9100	50.00	2.00	0.00	2.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$38,650.01	\$611.50	\$90.00	\$521.50		\$0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
03/05/2018	***-**-31IO - JOSEPH, ANN	BERKSHIRE HATHAWAY INC DEL CL B NEW	10,145.00	119.52	18.00	101.52	0.0	0.00
	ACCOUNT #: LSR012337	BUY 50 Units @202.9000						
		* STOCKS SUBTOTAL *	\$10,145.00	\$119.52	\$18.00	\$101.52		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/05/2018	***-**-7918 - BAREFOOT, JOHN	PACIFIC LIFE SE5-NYB DTCC	108.00	3.24	0.00	3.24	0.0	0.00
	ACCOUNT #: VP71073430	BUY 0 Units @0.0000						
		* VARIABLE ANNUITIES SUBTOTAL *	\$108.00	\$3.24	\$0.00	\$3.24		\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
03/06/2018	***-**-213J - GRUENEWALD,	OMEGA HEALTHCARE INVS INC UNSOLICIT	3,057.78	48.49	24.00	24.49	0.0	0.00
	ACCOUNT #: LSR011616	SELL 113 Units @27.0600						
		* UNIT INVESTMENT TRUSTS SUBTOTAL *	\$3,057.78	\$48.49	\$24.00	\$24.49		\$0.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
03/12/2018	***-**-944O - MAYO, DEBORAH	NEW YORK 529 TRAILS	0.00	6.81	0.00	6.81	0.0	0.00
	ACCOUNT #: 77002217601	BUY 0 Units @0.0000						
03/12/2018	***-**-80IN - COBB, JAMES	NEW YORK 529 TRAILS	0.00	30.27	0.00	30.27	0.0	0.00
	ACCOUNT #: 77169961901	BUY 0 Units @0.0000						
03/12/2018	***-**-665P - MCCLUSKY, YVONNE	NEW YORK 529 TRAILS	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 77237884701	BUY 0 Units @0.0000						
03/12/2018	***-**-0069 - KRECIDLO,	NEW YORK 529 TRAILS	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: 77308070501	BUY 0 Units @0.0000						
03/12/2018	***-**-614J - BUSHEY, MATTHEW	NEW YORK 529 TRAILS	0.00	2.80	0.00	2.80	0.0	0.00
		BUY 0 Units @0.0000						
03/12/2018	***-**-5384 - GURDO, JESSICA	NEW YORK 529 TRAILS	0.00	0.44	0.00	0.44	0.0	0.00
		BUY 0 Units @0.0000						
03/12/2018	***-**-0767 - DOVI,	NEW YORK 529 TRAILS	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: 77579193101	BUY 0 Units @0.0000						
03/12/2018	***-**-251I - SCALISE, MARY	NEW YORK 529 TRAILS	0.00	32.17	0.00	32.17	0.0	0.00
	ACCOUNT #: 77691153101	BUY 0 Units @0.0000						
03/12/2018	***-**-635P - LASCALA, DEBORAH	NEW YORK 529 TRAILS	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: 77699193301	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
03/12/2018	***-**-065P - CAMPBELL, PAMELA	NEW YORK 529 TRAILS	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: 77830931201	BUY 0 Units @0.0000						
03/12/2018	***-**-473J - HUSTON,	NEW YORK 529 TRAILS	0.00	12.19	0.00	12.19	0.0	0.00
	ACCOUNT #: 77852732401	BUY 0 Units @0.0000						
03/12/2018	***-**-20IR - DEMETRI, AMELIA	NEW YORK 529 TRAILS	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: 77854436501	BUY 0 Units @0.0000						
03/12/2018	***-**-205P - RHYMESTINE, ERIC	NEW YORK 529 TRAILS	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: 77885699801	BUY 0 Units @0.0000						
03/12/2018	***-**-614J - BUSHEY, MATTHEW	NYA JPM 529 MOD AGE-BASED A	100.00	4.75	0.00	4.75	0.0	0.00
		BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$100.00	\$96.29	\$0.00	\$96.29		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	***-**-72TD - GAVALO, JULIE	AF SHORT-TERM TAX-EXEMPT BOND FUND-	0.00	6.66	0.00	6.66	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
03/12/2018	***-**-71IO - FAMOLARO, JAMES	AIM GLOBAL VALUE FUND - CLASS A	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
03/12/2018	***-**-306X - COBB, CHERRYL	AIM GOLD & PRECIOUS METALS FD - A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
03/12/2018	***-**-580X - COBB, JAMES	AIM GOLD & PRECIOUS METALS FD - A	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
03/12/2018	***-**-837O - BASEMENT	AIM GOLD & PRECIOUS METALS FD - A	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
03/01/2018	***-**-169I - EVANS, PAUL	AMCAP FUND-C	0.00	6.43	0.00	6.43	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	AMERICAN BALANCED FUND-529E	0.00	5.22	0.00	5.22	0.0	0.00
	ACCOUNT #: 00068530009	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	AMERICAN BALANCED FUND-A	0.00	2.09	0.00	2.09	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-0462 - FRIOT, MICHAEL	AMERICAN BALANCED FUND-A	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-480J - EVANS, RICHARD	AMERICAN BALANCED FUND-A	0.00	2.20	0.00	2.20	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
03/01/2018	***-**-81IR - CAMPBELL,	AMERICAN BALANCED FUND-A	0.00	6.70	0.00	6.70	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
03/01/2018	***-**-20IR - DEMETRI, AMELIA	AMERICAN BALANCED FUND-A	0.00	3.55	0.00	3.55	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	AMERICAN BALANCED FUND-A	0.00	4.93	0.00	4.93	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	AMERICAN BALANCED FUND-A	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-901I - SATTERLY, ANN	AMERICAN BALANCED FUND-A	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	AMERICAN BALANCED FUND-A	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	AMERICAN BALANCED FUND-C	0.00	5.79	0.00	5.79	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	AMERICAN FUNDS 45956T808	0.00	5.45	0.00	5.45	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	AMERICAN FUNDS BALANCED PORT CL C	0.00	34.18	0.00	34.18	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-78IR - FALES, JOAN	AMERICAN FUNDS BLNCD PORTFOLIO CL A	0.00	4.69	0.00	4.69	0.0	0.00
	ACCOUNT #: 00088082227	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	AMERICAN FUNDS GLBL GROWTH PORT CL	0.00	5.96	0.00	5.96	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-72TD - GAVALO, JULIE	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	13.36	0.00	13.36	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
03/01/2018	***-**-072I - GAVALO, JULIE	AMERICAN HIGH-INCOME TRUST-A	0.00	19.31	0.00	19.31	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-81IR - CAMPBELL, ACCOUNT #: 00073375822	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	4.15	0.00	4.15	0.0	0.00
03/01/2018	***-**-20JT - KOCHER, DENISE ACCOUNT #: 00083988898	AMERICAN HIGH-INCOME TRUST-A BUY 0 Units @0.0000	0.00	1.31	0.00	1.31	0.0	0.00
03/01/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: 00068530009	AMERICAN MUTUAL FUND-529E BUY 0 Units @0.0000	0.00	5.49	0.00	5.49	0.0	0.00
03/01/2018	***-**-072I - GAVALO, JULIE ACCOUNT #: 00087112294	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	10.47	0.00	10.47	0.0	0.00
03/01/2018	***-**-20JT - KOCHER, DENISE ACCOUNT #: 00083988898	AMERICAN MUTUAL FUND-A BUY 0 Units @0.0000	0.00	0.87	0.00	0.87	0.0	0.00
03/01/2018	***-**-0851 - LALLIER, JAMES ACCOUNT #: 00068584532	CAPITAL INCOME BUILDER CLASS C BUY 0 Units @0.0000	0.00	33.30	0.00	33.30	0.0	0.00
03/01/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084018452	CAPITAL INCOME BUILDER-529A BUY 0 Units @0.0000	0.00	0.59	0.00	0.59	0.0	0.00
03/01/2018	***-**-2855 - KRECIDLO, MARTA ACCOUNT #: 00083946447	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.50	0.00	0.50	0.0	0.00
03/01/2018	***-**-0462 - FRIOT, MICHAEL ACCOUNT #: 00084291287	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	3.28	0.00	3.28	0.0	0.00
03/01/2018	***-**-072I - GAVALO, JULIE ACCOUNT #: 00087112294	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	21.75	0.00	21.75	0.0	0.00
03/01/2018	***-**-72RI - GAVALO, JULIE ACCOUNT #: 00087231055	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	10.35	0.00	10.35	0.0	0.00
03/01/2018	***-**-8158 - BEHA, DEBORAH ACCOUNT #: 00058770149	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	3.79	0.00	3.79	0.0	0.00
03/01/2018	***-**-0851 - LALLIER, JAMES ACCOUNT #: 00068584532	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	2.09	0.00	2.09	0.0	0.00
03/01/2018	***-**-643I - PELLETIER, MARY ACCOUNT #: 00083385810	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	4.46	0.00	4.46	0.0	0.00
03/01/2018	***-**-86IR - MEEKINS, LISA ACCOUNT #: 00083811211	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	0.0	0.00
03/01/2018	***-**-265I - MEEKINS, TIMOTHY ACCOUNT #: 00083811243	CAPITAL INCOME BUILDER-A BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL INCOME BUILDER-A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	CAPITAL INCOME BUILDER-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-20JT - KOCHER, DENISE	CAPITAL INCOME BUILDER-A	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	CAPITAL INCOME BUILDER-A	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
03/01/2018	***-**-901I - SATTERLY, ANN	CAPITAL INCOME BUILDER-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER-A	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	CAPITAL INCOME BUILDER-A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-094I - KATRENA L	CAPITAL INCOME BUILDER-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	CAPITAL WORLD BOND FUND-A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-072I - GAVALO, JULIE	CAPITAL WORLD BOND FUND-A	0.00	3.55	0.00	3.55	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
03/01/2018	***-**-8158 - BEHA, DEBORAH	CAPITAL WORLD BOND FUND-A	0.00	1.90	0.00	1.90	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-A	0.00	0.07	0.00	0.07	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	CAPITAL WORLD BOND FUND-A	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-094I - KATRENA L	CAPITAL WORLD BOND FUND-A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD BOND FUND-C	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: 00083510637	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: 00083510745	BUY 0 Units @0.0000						
03/01/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.75	0.00	2.75	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
03/01/2018	***-**-20IN - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						
03/01/2018	***-**-18IR - MEEKINS, KAIDEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
03/01/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	24.48	0.00	24.48	0.0	0.00
	ACCOUNT #: 00083510637	BUY 0 Units @0.0000						
03/01/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	24.48	0.00	24.48	0.0	0.00
	ACCOUNT #: 00083510745	BUY 0 Units @0.0000						
03/01/2018	***-**-18IR - MEEKINS, KAIDEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.92	0.00	3.92	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
03/01/2018	***-**-20IR - DEMETRI, AMELIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.06	0.00	4.06	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
03/01/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
03/01/2018	***-**-265I - MEEKINS, TIMOTHY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-20JT - KOCHER, DENISE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.03	0.00	4.03	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						



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KURT GRUENEWALD
2108 Genesee St.
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-753J - FALCHI, VALERIE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-094I - KATRENA L	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-86IR - MEEKINS, LISA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
03/01/2018	***-**-680I - HARRELL, DARYL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.42	0.00	9.42	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	29.62	0.00	29.62	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.66	0.00	6.66	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.66	0.00	6.66	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.42	0.00	2.42	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	8.01	0.00	8.01	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	EUROPACIFIC GROWTH FUND CLASS C	0.00	5.37	0.00	5.37	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	EUROPACIFIC GROWTH FUND-529E	0.00	7.00	0.00	7.00	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-169I - EVANS, PAUL	EUROPACIFIC GROWTH FUND-A	0.00	3.02	0.00	3.02	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-506J - CURRIERE JR, MR	EUROPACIFIC GROWTH FUND-A	0.00	5.40	0.00	5.40	0.0	0.00
	ACCOUNT #: 00083609136	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	EUROPACIFIC GROWTH FUND-A	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-094I - KATRENA L	EUROPACIFIC GROWTH FUND-A	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529A	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529A	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	10.94	0.00	10.94	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	FUNDAMENTAL INVESTORS-529C	0.00	10.94	0.00	10.94	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-529C	0.00	3.89	0.00	3.89	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
03/01/2018	***-**-2855 - KRECIDLO, MARTA	FUNDAMENTAL INVESTORS-A	0.00	1.20	0.00	1.20	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	FUNDAMENTAL INVESTORS-A	0.00	3.73	0.00	3.73	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	5.97	0.00	5.97	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-20IN - DEMETRI, AMELIA	FUNDAMENTAL INVESTORS-A	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: 00085948151	BUY 0 Units @0.0000						
03/01/2018	***-**-072I - GAVALO, JULIE	FUNDAMENTAL INVESTORS-A	0.00	11.37	0.00	11.37	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
03/01/2018	***-**-8901 - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
03/01/2018	***-**-8158 - BEHA, DEBORAH	FUNDAMENTAL INVESTORS-A	0.00	7.55	0.00	7.55	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-0851 - LALLIER, JAMES	FUNDAMENTAL INVESTORS-A	0.00	3.15	0.00	3.15	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-A	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
03/01/2018	***-**-86IR - MEEKINS, LISA	FUNDAMENTAL INVESTORS-A	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
03/01/2018	***-**-265I - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-A	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	FUNDAMENTAL INVESTORS-A	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-20JT - KOCHER, DENISE	FUNDAMENTAL INVESTORS-A	0.00	2.63	0.00	2.63	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	FUNDAMENTAL INVESTORS-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-A	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-094I - KATRENA L	FUNDAMENTAL INVESTORS-A	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
03/01/2018	***-**-169I - EVANS, PAUL	FUNDAMENTAL INVESTORS-C	0.00	6.32	0.00	6.32	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-18IR - MEEKINS, KAIDEN	FUNDAMENTAL INVESTORS-C	0.00	2.85	0.00	2.85	0.0	0.00
	ACCOUNT #: 00087145992	BUY 0 Units @0.0000						
03/01/2018	***-**-890I - GURDO, ANTHONY	FUNDAMENTAL INVESTORS-C	0.00	22.49	0.00	22.49	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	FUNDAMENTAL INVESTORS-C	0.00	55.08	0.00	55.08	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-643I - PELLETIER, MARY	FUNDAMENTAL INVESTORS-C	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	14.39	0.00	14.39	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-C	0.00	12.12	0.00	12.12	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	FUNDAMENTAL INVESTORS-C	0.00	6.50	0.00	6.50	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.39	0.00	3.39	0.0	0.00
	ACCOUNT #: 00075086418	BUY 0 Units @0.0000						
03/01/2018	***-**-84IN - WARMUTH, ERIC	GLOBAL BALANCED FUND-529A	0.00	3.39	0.00	3.39	0.0	0.00
	ACCOUNT #: 00075086546	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	INCOME FUND OF AMERICA CLASS C	0.00	27.47	0.00	27.47	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-8158 - BEHA, DEBORAH	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	INTERNATIONAL GROWTH AND INCOME-A	0.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-072I - GAVALO, JULIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	12.14	0.00	12.14	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
03/01/2018	***-**-8901 - GURDO, ANTHONY	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: 00087491111	BUY 0 Units @0.0000						
03/01/2018	***-**-8158 - BEHA, DEBORAH	INTERNATIONAL GROWTH AND INCOME-A	0.00	5.07	0.00	5.07	0.0	0.00
	ACCOUNT #: 00058770149	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.87	0.00	1.87	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-901I - SATTERLY, ANN	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-094I - KATRENA L	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084459807	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-C	0.00	36.13	0.00	36.13	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	INTERNATIONAL GROWTH AND INCOME-C	0.00	7.30	0.00	7.30	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	INTERNATIONAL GROWTH AND INCOME-C	0.00	4.26	0.00	4.26	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/12/2018	***-**-71IO - FAMOLARO, JAMES	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
03/12/2018	***-**-71IO - FAMOLARO, JAMES	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
03/12/2018	***-**-632I - LOWE, PAMELA	IVK SENIOR LOAN FUND - CLASS IB	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR012397	BUY 1 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-72TD - GAVALO, JULIE	LIMITED TERM TAX- EXEMPT BOND FUND	0.00	11.54	0.00	11.54	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
03/12/2018	***-**-065I - LAZIO, THOMAS	MFS ABSOLUTE RETURN FUND CLASS C	0.00	3.01	0.00	3.01	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
03/12/2018	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.78	0.00	8.78	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
03/12/2018	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.71	0.00	8.71	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
03/12/2018	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	3.94	0.00	3.94	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						



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2108 Genesee St.
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-48IO - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C	0.00	16.67	0.00	16.67	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
03/12/2018	***-**-03IO - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.09	0.00	4.09	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
03/12/2018	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	15.84	0.00	15.84	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
03/12/2018	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	15.58	0.00	15.58	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
03/12/2018	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.03	0.00	8.03	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
03/12/2018	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	5.68	0.00	5.68	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
03/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.80	0.00	8.80	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
03/12/2018	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.47	0.00	4.47	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
03/12/2018	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	13.53	0.00	13.53	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
03/12/2018	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	16.27	0.00	16.27	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/12/2018	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	3.93	0.00	3.93	0.0	0.00
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						
03/12/2018	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	5.88	0.00	5.88	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
03/12/2018	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	12.85	0.00	12.85	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/12/2018	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.79	0.00	8.79	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
03/12/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	12.83	0.00	12.83	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
03/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	20.00	0.00	20.00	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.52	0.00	8.52	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
03/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.07	0.00	7.07	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/12/2018	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.07	0.00	0.07	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
03/12/2018	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/12/2018	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.97	0.00	1.97	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
03/12/2018	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.06	0.00	5.06	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
03/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.39	0.00	2.39	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
03/12/2018	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
03/12/2018	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
03/12/2018	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	3.59	0.00	3.59	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	0.0	0.00
03/12/2018	***-**-448X - ANACKER, SUSAN ACCOUNT #: LSR011578	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	0.74	0.00	0.74	0.0	0.00
03/12/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	4.14	0.00	4.14	0.0	0.00
03/12/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	8.31	0.00	8.31	0.0	0.00
03/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	15.09	0.00	15.09	0.0	0.00
03/12/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	11.74	0.00	11.74	0.0	0.00
03/12/2018	***-**-132O - EVANS, THOMAS ACCOUNT #: LSR011074	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	7.47	0.00	7.47	0.0	0.00
03/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	15.78	0.00	15.78	0.0	0.00
03/12/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	21.49	0.00	21.49	0.0	0.00
03/12/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	12.87	0.00	12.87	0.0	0.00
03/12/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	0.0	0.00
03/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.33	0.00	5.33	0.0	0.00
03/12/2018	***-**-439J - MCCARTHY, JAMES ACCOUNT #: LSR011205	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	2.83	0.00	2.83	0.0	0.00
03/12/2018	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.61	0.00	5.61	0.0	0.00
03/12/2018	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.41	0.00	5.41	0.0	0.00
03/12/2018	***-**-439I - MCCARTHY, JAMES ACCOUNT #: LSR011244	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	8.29	0.00	8.29	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
03/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	12.56	0.00	12.56	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	12.36	0.00	12.36	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
03/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	4.55	0.00	4.55	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/12/2018	***-**-35IN - RUGGIERO, LAURIE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
03/12/2018	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
03/12/2018	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
03/12/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
03/12/2018	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/12/2018	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
03/12/2018	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
03/12/2018	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
03/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/12/2018	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
03/12/2018	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	0.0	0.00
03/12/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.0	0.00
03/12/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	0.0	0.00
03/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
03/12/2018	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	0.0	0.00
03/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	0.0	0.00
03/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00
03/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.47	0.00	0.47	0.0	0.00
03/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS EMERGING MKTS DEBT CLASS C BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	0.0	0.00
03/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EQUITY OPPORTUNITIES CL B BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	0.0	0.00
03/12/2018	***-**-9440 - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL ALTERNATIVE STRAT A BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	0.0	0.00
03/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
03/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	5.89	0.00	5.89	0.0	0.00
03/12/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	13.25	0.00	13.25	0.0	0.00
03/12/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	12.77	0.00	12.77	0.0	0.00
03/12/2018	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	6.83	0.00	6.83	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL ALTERNATIVE STRAT C	0.00	11.62	0.00	11.62	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
03/12/2018	***-**-11IO - LYNN G.	MFS GLOBAL ALTERNATIVE STRAT C	0.00	15.81	0.00	15.81	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/12/2018	***-**-80IO - EVANS, RICHARD	MFS GLOBAL ALTERNATIVE STRAT C	0.00	9.72	0.00	9.72	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
03/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL ALTERNATIVE STRAT C	0.00	11.87	0.00	11.87	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/12/2018	***-**-094I - KATRENA L	MFS GLOBAL BOND FUND CLASS C	0.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
03/12/2018	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL EQUITY FUND-B	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
03/12/2018	***-**-53IN - EVANS, ANDREW	MFS GLOBAL EQUITY FUND-B	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
03/12/2018	***-**-24IN - BLISS, RYAN	MFS GLOBAL EQUITY FUND-B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
03/12/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
03/12/2018	***-**-53IN - EVANS, ANDREW	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
03/12/2018	***-**-24IN - BLISS, RYAN	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
03/12/2018	***-**-38IO - BROWN, TYLER	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
03/12/2018	***-**-45IN - WILLIAMSON,	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						
03/12/2018	***-**-628I - SANGER, SANDRA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.20	0.00	0.20	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
03/12/2018	***-**-653J - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
03/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/12/2018	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
03/12/2018	***-**-949I - ACEE, JOSPEH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
03/12/2018	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
03/12/2018	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.97	0.00	1.97	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
03/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
03/12/2018	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
03/12/2018	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	5.33	0.00	5.33	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/12/2018	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.81	0.00	2.81	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/12/2018	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
03/12/2018	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
03/12/2018	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.73	0.00	2.73	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
03/12/2018	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
03/12/2018	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.51	0.00	2.51	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/12/2018	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
03/12/2018	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
03/12/2018	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
03/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
03/12/2018	***-**-653I - KOICHEM, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.46	0.00	2.46	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/12/2018	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
03/12/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
03/12/2018	***-**-241I - DINITTO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
03/12/2018	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
03/12/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	17.17	0.00	17.17	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
03/12/2018	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	13.23	0.00	13.23	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/12/2018	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	11.97	0.00	11.97	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	15.42	0.00	15.42	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
03/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/12/2018	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
03/12/2018	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/12/2018	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.74	0.00	0.74	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
03/12/2018	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
03/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	8.42	0.00	8.42	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
03/12/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	8.40	0.00	8.40	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
03/12/2018	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
03/12/2018	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
03/12/2018	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	0.0	0.00
03/12/2018	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	0.0	0.00
03/12/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00
03/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
03/12/2018	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	0.0	0.00
03/12/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: LSR011054	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
03/12/2018	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	0.0	0.00
03/12/2018	***-**-76IO - MCALLISTER, FBO ACCOUNT #: LSR011107	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
03/12/2018	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.16	0.00	0.16	0.0	0.00
03/12/2018	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	0.0	0.00
03/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	0.0	0.00
03/12/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	0.0	0.00
03/12/2018	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS INFLATION ADJUSTED BOND CL B BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00
03/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS INFLATION ADJUSTED BOND CL C BUY 1 Units @0.0000	0.00	8.81	0.00	8.81	0.0	0.00
03/12/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	MFS INFLATION ADJUSTED BOND CL C BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	0.0	0.00
03/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS INFLATION ADJUSTED BOND CL C BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/12/2018	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.34	0.00	5.34	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
03/12/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/12/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/12/2018	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
03/12/2018	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
03/12/2018	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	4.44	0.00	4.44	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.99	0.00	1.99	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/12/2018	***-**-03IO - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.07	0.00	2.07	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
03/12/2018	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
03/12/2018	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/12/2018	***-**-959J - FRANZ, PAUL	MFS MODERATE ALLOCATION CL C	0.00	12.99	0.00	12.99	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
03/12/2018	***-**-86IN - LEE, KEVIN	MFS MODERATE ALLOCATION CL C	0.00	22.35	0.00	22.35	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	0.0	0.00
03/12/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.0	0.00
03/12/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	0.0	0.00
03/12/2018	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.24	0.00	0.24	0.0	0.00
03/12/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	0.0	0.00
03/12/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	0.0	0.00
03/12/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	0.0	0.00
03/12/2018	***-**-36IR - SANGER, BETH ACCOUNT #: LSR011757	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00
03/12/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	9.88	0.00	9.88	0.0	0.00
03/12/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS NEW DISCOVERY VALUE FUND CL C BUY 1 Units @0.0000	0.00	6.41	0.00	6.41	0.0	0.00
03/12/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	MFS NEW YORK MUNICIPAL BOND FUND-B BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.0	0.00
03/12/2018	***-**-111J - NESSIA, JAMES ACCOUNT #: LSR011054	MFS NEW YORK MUNICIPAL BOND FUND-B BUY 1 Units @0.0000	0.00	0.45	0.00	0.45	0.0	0.00
03/12/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS RESEARCH BOND FUND CLASS A BUY 1 Units @0.0000	0.00	0.11	0.00	0.11	0.0	0.00
03/12/2018	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	MFS RESEARCH BOND FUND CLASS A BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	0.0	0.00
03/12/2018	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS RESEARCH BOND FUND-B BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	0.0	0.00
03/12/2018	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS RESEARCH BOND FUND-B BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	0.0	0.00



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KURT GRUENEWALD
2108 Genesee St.
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
03/12/2018	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/12/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND-B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
03/12/2018	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND-B	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/12/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
03/12/2018	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND-B	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
03/12/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
03/12/2018	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
03/12/2018	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	9.47	0.00	9.47	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
03/12/2018	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	6.85	0.00	6.85	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
03/12/2018	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	4.45	0.00	4.45	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
03/12/2018	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	8.41	0.00	8.41	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/12/2018	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	5.46	0.00	5.46	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
03/12/2018	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	10.87	0.00	10.87	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
03/12/2018	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	10.72	0.00	10.72	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
03/12/2018	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	5.80	0.00	5.80	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/12/2018	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	6.03	0.00	6.03	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
03/12/2018	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	7.53	0.00	7.53	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
03/12/2018	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	6.22	0.00	6.22	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	7.49	0.00	7.49	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/12/2018	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.37	0.00	2.37	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/12/2018	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	9.43	0.00	9.43	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/12/2018	***-**-633J -	MFS TECHNOLOGY CLASS C	0.00	2.78	0.00	2.78	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
03/12/2018	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.09	0.00	0.09	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
03/12/2018	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
03/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND CLASS A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
03/12/2018	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.77	0.00	1.77	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
03/12/2018	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
03/12/2018	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
03/12/2018	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
03/12/2018	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
03/12/2018	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
03/12/2018	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
03/12/2018	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/12/2018	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.71	0.00	1.71	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
03/12/2018	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
03/12/2018	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
03/12/2018	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND-B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
03/12/2018	***-**-37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
03/12/2018	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	3.00	0.00	3.00	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/12/2018	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
03/12/2018	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
03/12/2018	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
03/12/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
03/12/2018	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
03/12/2018	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
03/12/2018	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
03/12/2018	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
03/12/2018	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
03/12/2018	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	2.02	0.00	2.02	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/12/2018	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/12/2018	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-15IN - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
03/12/2018	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
03/12/2018	***-**-61IN - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
03/12/2018	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/12/2018	***-**-306X - COBB, CHERYL	MFS UTILITIES FUND-B	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
03/12/2018	***-**-96IN - NESSIA, BONNIE	MFS UTILITIES FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
03/12/2018	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
03/12/2018	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
03/12/2018	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
03/12/2018	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
03/12/2018	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
03/12/2018	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
03/12/2018	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.49	0.00	1.49	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
03/12/2018	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/12/2018	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
03/12/2018	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.44	0.00	1.44	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
03/12/2018	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
03/12/2018	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
03/12/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
03/12/2018	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
03/12/2018	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
03/12/2018	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
03/12/2018	***-**-45IO - WILLIAMSON,	MFS UTILITIES FUND-B	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						
03/12/2018	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	7.94	0.00	7.94	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
03/12/2018	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	9.47	0.00	9.47	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
03/12/2018	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	8.01	0.00	8.01	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
03/12/2018	***-**-031O - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.04	0.00	4.04	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
03/12/2018	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	11.90	0.00	11.90	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
03/12/2018	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	9.40	0.00	9.40	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
03/12/2018	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	9.87	0.00	9.87	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	5.93	0.00	5.93	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/12/2018	***-**-81IR - CAMPBELL,	MFS UTILITIES FUND-C	0.00	6.14	0.00	6.14	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
03/12/2018	***-**-11IO - LYNN G.	MFS UTILITIES FUND-C	0.00	8.53	0.00	8.53	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
03/12/2018	***-**-37IR - HARTZ, RENEE	MFS UTILITIES FUND-C	0.00	0.04	0.00	0.04	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
03/12/2018	***-**-80IO - EVANS, RICHARD	MFS UTILITIES FUND-C	0.00	6.55	0.00	6.55	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
03/12/2018	***-**-376I - BERBEN, GAIL	MFS UTILITIES FUND-C	0.00	8.53	0.00	8.53	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
03/12/2018	***-**-45IO - JOHNSON, GREGORY	MFS UTILITIES FUND-C	0.00	7.61	0.00	7.61	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
03/12/2018	***-**-14IO - BUSHEY, MATTHEW	MFS UTILITIES FUND-C	0.00	8.36	0.00	8.36	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
03/12/2018	***-**-35IN - RUGGIERO, LAURIE	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
03/12/2018	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
03/01/2018	***-**-169I - EVANS, PAUL	NEW PERSPECTIVE FUND-A	0.00	4.15	0.00	4.15	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-072I - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	15.74	0.00	15.74	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
03/01/2018	***-**-72RI - GAVALO, JULIE	NEW PERSPECTIVE FUND-A	0.00	10.23	0.00	10.23	0.0	0.00
	ACCOUNT #: 00087231055	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	NEW PERSPECTIVE FUND-A	0.00	3.29	0.00	3.29	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
03/01/2018	***-**-86IR - MEEKINS, LISA	NEW PERSPECTIVE FUND-A	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
03/01/2018	***-**-20JT - KOCHER, DENISE	NEW PERSPECTIVE FUND-A	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-0462 - FRIOT, MICHAEL	NEW PERSPECTIVE FUND-C	0.00	4.32	0.00	4.32	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-529C	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: 00083849497	BUY 0 Units @0.0000						
03/01/2018	***-**-2855 - KRECIDLO, MARTA	NEW WORLD FUND-A	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	NEW WORLD FUND-A	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
03/01/2018	***-**-20IR - DEMETRI, AMELIA	NEW WORLD FUND-A	0.00	4.44	0.00	4.44	0.0	0.00
	ACCOUNT #: 00074234451	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	NEW WORLD FUND-A	0.00	2.27	0.00	2.27	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
03/01/2018	***-**-86IR - MEEKINS, LISA	NEW WORLD FUND-A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						
03/01/2018	***-**-265I - MEEKINS, TIMOTHY	NEW WORLD FUND-A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	NEW WORLD FUND-A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-20JT - KOHEM, DENISE	NEW WORLD FUND-A	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	NEW WORLD FUND-A	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-A	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	NEW WORLD FUND-C	0.00	3.08	0.00	3.08	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-C	0.00	7.42	0.00	7.42	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	NEW WORLD FUND-C	0.00	3.85	0.00	3.85	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/12/2018	***-**-07IO - FAMOLARO, JAMES	PRUDENTIAL JENNISON 20/20 FOCUS CLA	0.00	2.95	0.00	2.95	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/12/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	2.21	0.00	2.21	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
03/12/2018	***-**-366S - GRUENEWALD,	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.29	0.00	0.29	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
03/12/2018	***-**-861I - SANGER, LAWRENCE	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/12/2018	***-**-128I - BASHANT, THOMAS	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
03/12/2018	***-**-30TR - AND SANDRA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
03/12/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
03/12/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.05	0.00	0.05	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
03/12/2018	***-**-944O - MAYO, DEBORAH	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
03/08/2018	***-**-671I - EBERLEY, REID	RESOURCE REAL ESTATE TRAILS	0.00	13.32	0.00	13.32	0.0	0.00
	ACCOUNT #: 20021572	BUY 0 Units @0.0000						
03/08/2018	***-**-44IO - MAYO, DEBORAH	RESOURCE REAL ESTATE TRAILS	0.00	9.07	0.00	9.07	0.0	0.00
	ACCOUNT #: 020026000	BUY 0 Units @0.0000						
03/08/2018	***-**-524I - SCALISE, JOSEPH	RESOURCE REAL ESTATE TRAILS	0.00	0.52	0.00	0.52	0.0	0.00
		BUY 0 Units @0.0000						
03/08/2018	***-**-15IN - PENDRAK, JOHN	RESOURCE REAL ESTATE TRAILS	0.00	1.68	0.00	1.68	0.0	0.00
		BUY 0 Units @0.0000						
03/08/2018	***-**-65IN - LAZIO, THOMAS	RESOURCE REAL ESTATE TRAILS	0.00	17.55	0.00	17.55	0.0	0.00
	ACCOUNT #: 20010459	BUY 0 Units @0.0000						
03/06/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	7.29	0.00	7.29	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/06/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	2.43	0.00	2.43	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/06/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	3.78	0.00	3.78	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/06/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	1.26	0.00	1.26	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
03/06/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	10.92	0.00	10.92	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/06/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/06/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	4.63	0.00	4.63	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/06/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/06/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	9.52	0.00	9.52	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/06/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	3.17	0.00	3.17	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/06/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	2.75	0.00	2.75	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/06/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/06/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	2.01	0.00	2.01	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/06/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/06/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/06/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/06/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/06/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/06/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/06/2018	***-**-473J - HUSTON, ACCOUNT #: LSR011228	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	0.0	0.00
03/06/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
03/06/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	0.0	0.00
03/06/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	11.42	0.00	11.42	0.0	0.00
03/06/2018	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.81	0.00	3.81	0.0	0.00
03/06/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	3.82	0.00	3.82	0.0	0.00
03/06/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
03/06/2018	***-**-930I - SANGER, KEVIN ACCOUNT #: LSR010941	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	12.69	0.00	12.69	0.0	0.00
03/06/2018	***-**-930I - SANGER, KEVIN ACCOUNT #: LSR010941	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	4.23	0.00	4.23	0.0	0.00
03/06/2018	***-**-29IO - MASSOUD, ACCOUNT #: LSR011217	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.32	0.00	6.32	0.0	0.00
03/06/2018	***-**-29IO - MASSOUD, ACCOUNT #: LSR011217	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.11	0.00	2.11	0.0	0.00
03/06/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	14.25	0.00	14.25	0.0	0.00
03/06/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	4.75	0.00	4.75	0.0	0.00
03/06/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.65	0.00	6.65	0.0	0.00
03/06/2018	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.22	0.00	2.22	0.0	0.00
03/06/2018	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.33	0.00	6.33	0.0	0.00



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BROKER #: A77
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/06/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/06/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	6.32	0.00	6.32	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/06/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/06/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	7.61	0.00	7.61	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/06/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	2.54	0.00	2.54	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/06/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	6.34	0.00	6.34	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/06/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
03/06/2018	***-**-861N - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	6.29	0.00	6.29	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/06/2018	***-**-861N - LEE, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	2.10	0.00	2.10	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
03/06/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	5.42	0.00	5.42	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
03/06/2018	***-**-628I - SANGER, SANDRA	RESOURCE REAL ESTATE-NFS	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
03/06/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	2.16	0.00	2.16	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/06/2018	***-**-37IO - MCCLUSKY, MARK	RESOURCE REAL ESTATE-NFS	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
03/06/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	10.93	0.00	10.93	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/06/2018	***-**-63TR - EVANS, AUDREY J	RESOURCE REAL ESTATE-NFS	0.00	3.64	0.00	3.64	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
03/06/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	3.66	0.00	3.66	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						



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KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/06/2018	***-**-72IN - GAVALO, JULIE	RESOURCE REAL ESTATE-NFS	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
03/06/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	12.15	0.00	12.15	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/06/2018	***-**-930I - SANGER, KEVIN	RESOURCE REAL ESTATE-NFS	0.00	4.05	0.00	4.05	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
03/06/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	6.05	0.00	6.05	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/06/2018	***-**-29IO - MASSOUD,	RESOURCE REAL ESTATE-NFS	0.00	2.02	0.00	2.02	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
03/06/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	13.64	0.00	13.64	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/06/2018	***-**-89IN - LEE, ROGER	RESOURCE REAL ESTATE-NFS	0.00	4.55	0.00	4.55	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
03/06/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	6.37	0.00	6.37	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/06/2018	***-**-761I - EVANS, DOLORES	RESOURCE REAL ESTATE-NFS	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
03/06/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	6.06	0.00	6.06	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/06/2018	***-**-567I - FRANZ, ALICE	RESOURCE REAL ESTATE-NFS	0.00	2.02	0.00	2.02	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
03/06/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	6.05	0.00	6.05	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/06/2018	***-**-653I - KOCHER, CLINTON	RESOURCE REAL ESTATE-NFS	0.00	2.02	0.00	2.02	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
03/06/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	7.28	0.00	7.28	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/06/2018	***-**-861I - SANGER, LAWRENCE	RESOURCE REAL ESTATE-NFS	0.00	2.43	0.00	2.43	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
03/06/2018	***-**-473J - HUSTON,	RESOURCE REAL ESTATE-NFS	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						



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BROKER #: A77
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/06/2018	***-**-473J - HUSTON, ACCOUNT #: LSR011228	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	0.0	0.00
03/06/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	6.02	0.00	6.02	0.0	0.00
03/06/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	0.0	0.00
03/06/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	2.94	0.00	2.94	0.0	0.00
03/06/2018	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	RESOURCE REAL ESTATE-NFS BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	0.0	0.00
03/01/2018	***-**-0462 - FRIOT, MICHAEL ACCOUNT #: 00084291287	SHORT-TERM BOND FUND OF AMERICA - A BUY 0 Units @0.0000	0.00	1.01	0.00	1.01	0.0	0.00
03/01/2018	***-**-8158 - BEHA, DEBORAH ACCOUNT #: 00058770149	SHORT-TERM BOND FUND OF AMERICA - A BUY 0 Units @0.0000	0.00	1.47	0.00	1.47	0.0	0.00
03/01/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	SHORT-TERM BOND FUND OF AMERICA - A BUY 0 Units @0.0000	0.00	0.68	0.00	0.68	0.0	0.00
03/01/2018	***-**-2855 - KRECIDLO, MARTA ACCOUNT #: 00083946447	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	0.0	0.00
03/01/2018	***-**-755P - SHEPPARD, ACCOUNT #: 00084218264	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	2.83	0.00	2.83	0.0	0.00
03/01/2018	***-**-8158 - BEHA, DEBORAH ACCOUNT #: 00058770149	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	1.70	0.00	1.70	0.0	0.00
03/01/2018	***-**-265I - MEEKINS, TIMOTHY ACCOUNT #: 00083811243	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	0.0	0.00
03/01/2018	***-**-443I - LATUS, FREDERICK ACCOUNT #: 00083932986	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	0.0	0.00
03/01/2018	***-**-5375 - CARTER, LISA ACCOUNT #: 00084131722	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	0.0	0.00
03/01/2018	***-**-753J - FALCHI, VALERIE ACCOUNT #: 00084317946	SMALLCAP WORLD FUND-A BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	0.0	0.00
03/01/2018	***-**-169I - EVANS, PAUL ACCOUNT #: 00083808354	SMALLCAP WORLD FUND-C BUY 0 Units @0.0000	0.00	2.59	0.00	2.59	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-0851 - LALLIER, JAMES	SMALLCAP WORLD FUND-C	0.00	12.23	0.00	12.23	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-72TD - GAVALO, JULIE	TAX-EXEMPT BOND FUND OF AMERICA	0.00	14.61	0.00	14.61	0.0	0.00
	ACCOUNT #: 00087169935	BUY 0 Units @0.0000						
03/01/2018	***-**-072I - GAVALO, JULIE	THE BOND FUND OF AMERICA-A	0.00	16.48	0.00	16.48	0.0	0.00
	ACCOUNT #: 00087112294	BUY 0 Units @0.0000						
03/01/2018	***-**-81IR - CAMPBELL,	THE BOND FUND OF AMERICA-A	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
03/01/2018	***-**-7181 - HAMER, JAMES	THE BOND FUND OF AMERICA-C	0.00	5.14	0.00	5.14	0.0	0.00
	ACCOUNT #: 00083846796	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529C	0.00	21.34	0.00	21.34	0.0	0.00
	ACCOUNT #: 00084018452	BUY 0 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	THE GROWTH FUND OF AMERICA-529E	0.00	9.46	0.00	9.46	0.0	0.00
	ACCOUNT #: 00068530007	BUY 0 Units @0.0000						
03/01/2018	***-**-169I - EVANS, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	4.66	0.00	4.66	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-480J - EVANS, RICHARD	THE GROWTH FUND OF AMERICA-A	0.00	2.52	0.00	2.52	0.0	0.00
	ACCOUNT #: 00073288662	BUY 0 Units @0.0000						
03/01/2018	***-**-81IR - CAMPBELL,	THE GROWTH FUND OF AMERICA-A	0.00	2.78	0.00	2.78	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
03/01/2018	***-**-506J - CURRIERE JR, MR	THE GROWTH FUND OF AMERICA-A	0.00	8.06	0.00	8.06	0.0	0.00
	ACCOUNT #: 00083609136	BUY 0 Units @0.0000						
03/01/2018	***-**-5375 - CARTER, LISA	THE GROWTH FUND OF AMERICA-A	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: 00084131722	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	THE GROWTH FUND OF AMERICA-A	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	5.22	0.00	5.22	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-680I - HARRELL, DARYL	THE GROWTH FUND OF AMERICA-C	0.00	12.55	0.00	12.55	0.0	0.00
	ACCOUNT #: 00083996385	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-2855 - KRECIDLO, MARTA	THE INCOME FUND OF AMERICA-A	0.00	0.51	0.00	0.51	0.0	0.00
	ACCOUNT #: 00083946447	BUY 0 Units @0.0000						
03/01/2018	***-**-755P - SHEPPARD,	THE INCOME FUND OF AMERICA-A	0.00	1.89	0.00	1.89	0.0	0.00
	ACCOUNT #: 00084218264	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.90	0.00	3.90	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	THE INCOME FUND OF AMERICA-A	0.00	2.43	0.00	2.43	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-81IR - CAMPBELL,	THE INCOME FUND OF AMERICA-A	0.00	5.25	0.00	5.25	0.0	0.00
	ACCOUNT #: 00073375822	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	THE INCOME FUND OF AMERICA-A	0.00	5.00	0.00	5.00	0.0	0.00
	ACCOUNT #: 00083385810	BUY 0 Units @0.0000						
03/01/2018	***-**-265I - MEEKINS, TIMOTHY	THE INCOME FUND OF AMERICA-A	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: 00083811243	BUY 0 Units @0.0000						
03/01/2018	***-**-265J - MEEKINS, TIMOTHY	THE INCOME FUND OF AMERICA-A	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: 00083811335	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	THE INCOME FUND OF AMERICA-A	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-901I - SATTERLY, ANN	THE INCOME FUND OF AMERICA-A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	THE INCOME FUND OF AMERICA-A	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	THE INCOME FUND OF AMERICA-A	0.00	1.83	0.00	1.83	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
03/01/2018	***-**-78IR - FALES, JOAN	THE INCOME FUND OF AMERICA-A	0.00	4.55	0.00	4.55	0.0	0.00
	ACCOUNT #: 00088082227	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	THE NEW ECONOMY FUND-A	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-86IR - MEEKINS, LISA	THE NEW ECONOMY FUND-A	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: 00083811211	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/01/2018	***-**-20JT - KOCHER, DENISE	THE NEW ECONOMY FUND-A	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: 00083988898	BUY 0 Units @0.0000						
03/01/2018	***-**-169I - EVANS, PAUL	THE NEW ECONOMY FUND-C	0.00	5.63	0.00	5.63	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-0851 - LALLIER, JAMES	THE NEW ECONOMY FUND-C	0.00	7.17	0.00	7.17	0.0	0.00
	ACCOUNT #: 00068584532	BUY 0 Units @0.0000						
03/01/2018	***-**-643I - PELLETIER, MARY	THE NEW ECONOMY FUND-C	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: 00083385757	BUY 0 Units @0.0000						
03/01/2018	***-**-0462 - FRIOT, MICHAEL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: 00084291287	BUY 0 Units @0.0000						
03/01/2018	***-**-901I - SATTERLY, ANN	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: 00084301189	BUY 0 Units @0.0000						
03/01/2018	***-**-382I - SATTERLY, NEIL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: 00084301242	BUY 0 Units @0.0000						
03/12/2018	***-**-71IO - FAMOLARO, JAMES	VAN KAMPEN9	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
03/01/2018	***-**-72IN - GAVALO, JULIE	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	8.68	0.00	8.68	0.0	0.00
	ACCOUNT #: 00068530008	BUY 0 Units @0.0000						
03/01/2018	***-**-169I - EVANS, PAUL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: 00083808354	BUY 0 Units @0.0000						
03/01/2018	***-**-443I - LATUS, FREDERICK	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: 00083932986	BUY 0 Units @0.0000						
03/01/2018	***-**-753J - FALCHI, VALERIE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: 00084317946	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,405.37	\$0.00	\$2,405.37		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$77,060.79	\$4,829.41	\$132.00	\$4,697.41		\$0.00



BROKER #: A77
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			INVESTMENT	GROSS	CLEARING	NET	BROKER		
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION	
					BROKER COMMISSION TOTALS				
					Broker Transactions		\$0.00		
					Split Transactions		\$0.00		
					Override Transactions		\$0.00		
					Adjustments		\$0.00		
					Payroll Draw		\$0.00		
					Base Salary		\$0.00		
					Prior Period Balance		\$0.00		
					FINRA Assessment		\$0.00		
					SIPC Assessment		\$0.00		
Please Retain for Your Records							Balance Forward		\$0.00
THERE WILL BE NO PAYMENT THIS PERIOD							Year-to-date Earnings		\$0.00