



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
June 15, 2017

06/13/2017  
Page No. 1

JBB JEN-BRENT-BRION TRU-DONAIS-LEE-NORBY

BROKER #: JBB  
BRANCH: TRUWEALTH FINANCIAL-

, MI

| DATE  | CLIENT                 | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|---|------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                        |                                     |                      |                     |                    |                   |       |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                        |                                     |                      |                     |                    |                   |       |                      |
| ^06/09/2017   | NEWBERRY TRUST, GLORIA | FROST TOTAL RETURN BOND FUND INVEST | 15,000.00            | 0.00                | 0.00               | 0.00              | 100.0 | 0.00                 |
| ^06/09/2017   | NEWBERRY TRUST, GLORIA | INVESCO GROWTH AND INCOME FUND CLAS | 4,000.00             | 30.00               | 22.00              | 8.00              | 100.0 | 0.00                 |
| ^06/09/2017   | NEWBERRY TRUST, GLORIA | VANGUARD INFL-PROTECTED SECS        | 7,000.00             | 210.00              | 22.00              | 188.00            | 100.0 | 0.00                 |
| ^06/09/2017   | NEWBERRY TRUST, GLORIA | VANGUARD MID-CAP INDEX              | 3,000.00             | 90.00               | 22.00              | 68.00             | 100.0 | 0.00                 |
| ^06/09/2017   | NEWBERRY TRUST, GLORIA | VANGUARD SMALL-CAP INDEX FUND       | 3,000.00             | 90.00               | 22.00              | 68.00             | 100.0 | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                               |                        |                                     | \$32,000.00          | \$420.00            | \$88.00            | \$332.00          |       | \$0.00               |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b>                  |                        |                                     |                      |                     |                    |                   |       |                      |
| ^06/09/2017   | NEWBERRY TRUST, GLORIA | VANGUARD INTL EQUITY INDEX FD INC F | 6,296.10             | 190.00              | 22.50              | 167.50            | 100.0 | 0.00                 |
| * STOCKS SUBTOTAL *                                     |                        |                                     | \$6,296.10           | \$190.00            | \$22.50            | \$167.50          |       | \$0.00               |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                        |                                     |                      |                     |                    |                   |       |                      |
| ^05/15/2017   | GALINDO, TAMORA        | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 1.52                | 0.00               | 1.52              | 100.0 | 0.00                 |
| ^05/30/2017   | GALINDO, TAMORA        | BLK HIGH YIELD BOND A               | 0.00                 | 0.44                | 0.00               | 0.44              | 100.0 | 0.00                 |
| ^05/18/2017   | GALINDO, TAMORA        | FIDELITY ADVISOR STRATEGIC DIVIDEND | 0.00                 | 0.72                | 0.00               | 0.72              | 100.0 | 0.00                 |
| ^05/31/2017   | NEWBERRY, GLORIA       | PRINCIPAL GOVERNMENT & HIGH QUALITY | 0.00                 | 0.12                | 0.00               | 0.12              | 100.0 | 0.00                 |
| ^05/31/2017   | NEWBERRY, GLORIA       | PRINCIPAL INVESTORS INCOME A        | 0.00                 | 0.99                | 0.00               | 0.99              | 100.0 | 0.00                 |
| ^05/31/2017   | NEWBERRY, GLORIA       | PRINCIPAL S A M CONSERVATIVE BALANC | 0.00                 | 4.78                | 0.00               | 4.78              | 100.0 | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                        |                                     | \$0.00               | \$8.57              | \$0.00             | \$8.57            |       | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***                       |                        |                                     | \$38,296.10          | \$618.57            | \$110.50           | \$508.07          |       | \$0.00               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

|            |  |                                 |        |        |        |        |  |        |
|------------|--|---------------------------------|--------|--------|--------|--------|--|--------|
| 06/15/2017 |  | *CONCIERGE SVC: 193394 NEWBERRY | 0.00   |        |        |        |  | 0.00   |
|            |  | *** ADJUSTMENTS TOTAL ***       | \$0.00 | \$0.00 | \$0.00 | \$0.00 |  | \$0.00 |

BROKER COMMISSION TOTALS

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |        |
|-----------------------|--------|
| Balance Forward       | \$0.00 |
| Year-to-date Gross    | \$0.00 |
| Year-to-date Earnings | \$0.00 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed