All Companies

R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

August 31, 2017

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

### BROKER #: 5

BRANCH: HOME OFFICE

#### COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE CLI	ENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TH	RANS	ACTIONS					
PRODUCT CATEGOR	Y: STOCKS								
08/16/2017 QGW0320	)13 STROPE, DALE	ICICI BANK LTD SPONSORED ADR	S	-12,038.40	75.00	0.00	75.00	82.5	61.88
08/18/2017 QGW0320	)13 STROPE, DALE	CENTURYTEL INC	S	-9,350.00	75.00	0.00	75.00	82.5	61.88
08/18/2017 QGW0320	047 SHIER, ANDY	CENTURYTEL INC	S	-9,636.50	75.00	0.00	75.00	82.5	61.88
08/08/2017 QGW0320	)54 PAEGLOW, JOSEPH	INTERNATIONAL BUSINESS MACHINES CO	R S	-14,211.01	100.00	0.00	100.00	82.5	82.50
08/09/2017 QGW0320	)54 PAEGLOW, JOSEPH	AMERICAN EXPRESS COMPANY (N.Y)	В	25,764.00	650.00	0.00	650.00	82.5	536.25
08/11/2017 QGW0320	)54 PAEGLOW, JOSEPH	VODAFONE GROUP PLC SPONSORED ADR N	0 S	-14,551.50	120.00	0.00	120.00	82.5	99.00
08/18/2017 QGW0320	)54 PAEGLOW, JOSEPH	CENTURYTEL INC	S	-9,251.50	75.00	0.00	75.00	82.5	61.88
08/11/2017 QGW0320	96 YALINEWITZ, BOAZ	VODAFONE GROUP PLC SPONSORED ADR N	0 S	-8,724.90	120.00	0.00	120.00	82.5	99.00
08/18/2017 QGW0320	96 YALINEWITZ, BOAZ	JD.COM INC SPONSORED ADR REPSTG CO	M S	-12,183.30	75.00	0.00	75.00	82.5	61.88
07/27/2017 QGW0321	LO4 DAVIDOV, WILLIAM	NRG ENERGY INC	В	2,470.00	40.00	0.00	40.00	82.5	33.00
08/02/2017 QGW0321	LO4 DAVIDOV, WILLIAM	OCLARO INC COM NEW	S	-2,700.00	40.00	0.00	40.00	82.5	33.00
08/04/2017 QGW0321	LO4 DAVIDOV, WILLIAM	ILG INC COM	S	-3,945.00	20.00	0.00	20.00	82.5	16.50
08/04/2017 QGW0321	LO4 DAVIDOV, WILLIAM	KKR & CO L P DEL COM UNITS	В	3,903.90	40.00	0.00	40.00	82.5	33.00
08/11/2017 QGW0321	LO4 DAVIDOV, WILLIAM	ICICI BANK LTD SPONSORED ADR	S	-3,921.94	40.00	0.00	40.00	82.5	33.00
08/18/2017 QGW0321	104 DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	S	-3,723.90	40.00	0.00	40.00	82.5	33.00
08/25/2017 QGW0321	104 DAVIDOV, WILLIAM	ELECTRO SCIENTIFIC INDS INC COM	В	1,198.00	25.00	0.00	25.00	82.5	20.63
08/25/2017 QGW0321	LO4 DAVIDOV, WILLIAM	TWITTER INC	В	3,336.00	40.00	0.00	40.00	82.5	33.00
08/14/2017 QGW0321	112 CIARLO, ANTHONY	VODAFONE GROUP PLC SPONSORED ADR N	0 S	-5,840.00	75.00	0.00	75.00	82.5	61.88
07/27/2017 QGW0321	120 DAVIDOV, WILLIAM	COMMERCIAL METALS CO	В	3,860.00	40.00	0.00	40.00	82.5	33.00
08/02/2017 QGW0321	120 DAVIDOV, WILLIAM	CHEMOURS COMPANY (THE) COM	В	4,794.76	40.00	0.00	40.00	82.5	33.00
08/07/2017 QGW0321	195 NERY, GENEROSO	GILEAD SCIENCES INC	S	-8,700.00	40.00	0.00	40.00	82.5	33.00
07/27/2017 QGW0322	203 SHIPLEY, CRAIG	PATTERN ENERGY GROUP INC CL A	В	5,000.00	120.00	0.00	120.00	82.5	99.00
07/27/2017 QGW0322	203 SHIPLEY, CRAIG	WEYERHAEUSER CO	S	-3,400.00	75.00	0.00	75.00	82.5	61.88
08/02/2017 QGW0322	229 WATSON, ROGER	ALIBABA GROUP HOLDING LTD SPONSORE	D S	-4,511.85	50.00	0.00	50.00	82.5	41.25
07/31/2017 QGW0322	237 PETERSON, SHAWN	DINEEQUITY INC	S	-28,476.00	100.00	0.00	100.00	82.5	82.50
08/02/2017 QGW0322	237 PETERSON, SHAWN	ALIBABA GROUP HOLDING LTD SPONSORE	DS	-30,016.60	500.00	0.00	500.00	82.5	412.50
08/08/2017 QGW0322	237 PETERSON, SHAWN	COHERUS BIOSCIENCES INC COM	S	-4,470.00	50.00	0.00	50.00	82.5	41.25
08/09/2017 QGW0322	237 PETERSON, SHAWN	AMERICAN EXPRESS COMPANY (N.Y)	В	25,710.00	650.00	0.00	650.00	82.5	536.25
08/15/2017 QGW0322	237 PETERSON, SHAWN	TARGET CORP	В	16,320.00	400.00	0.00	400.00	82.5	330.00
08/16/2017 QGW0322	237 PETERSON, SHAWN	CENTURYTEL INC	S	-10,390.00	75.00	0.00	75.00	82.5	61.88
08/25/2017 QGW0322	237 PETERSON, SHAWN	FACEBOOK INC CL A	В	16,688.00	465.00	0.00	465.00	82.5	383.63

## COMMISSION STATEMENT for ANDREW STUART SACHS

88/16/2017 GR0032245 D'ADAMO, MILLIAM INTERNATIONAL SUSINESS MACHINES COR \$ 1.1,465,81 75,00 0.00 75,00 82.5 61.88   98/16/2017 GR0032245 D'ADAMO, MILLIAM THITTER INC   98/16/2017 GR0032445 SILVER, THEODORE   98/16/2017 GR0032445 SILVER, THILP   98/16/2017 GR0032445 SILVER, MILLIAM SILVER   98/16/2017 GR0032445 SILVER, THILP   98/16/2017 GR0032445 SILVER, MILLIAM SILVER   98/16/2017 GR00	TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
### PRODUCT CATEGORY: STOCKS ### STOCKS ### STACKS ###									
1297314_20117_ORM032245_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 5   11,405.81   75.00   0.00   75.00   82.5   61.88   63.16/2017_ORM032245_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 5   -71,932.83   75.00   0.00   75.00   82.5   61.88   63.16/2017_ORM032245_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 5   -71,932.83   75.00   0.00   75.00   82.5   61.88   63.16/2017_ORM032245_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 5   -71,932.83   75.00   0.00   75.00   82.5   61.88   63.16/2017_ORM032345_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 5   -71,932.83   75.00   0.00   75.00   82.5   61.88   63.16/2017_ORM032346_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 5   11,146.99   10.00   0.00   0.00   300.00   82.5   247.50   86.71/2017_ORM032346_DYARAMO, MILLIAM   INTERNATIONAL BUSINESS MACHINES CON 8   -74.93.24   50.00   0.00   140.00   82.5   115.50   86.77/2017_ORM032446_SILVER, THEODORE MILLIAM   MUSEUM FROM CON 5   -74.93.24   50.00   0.00   50.00   62.5   41.28   88.77/2017_ORM032446_SILVER, THEODORE MILLIAM   MUSEUM FROM CON 5   43.98   43			BROKER TRANS	ACTIONS					
08/18/2017 QR0033245 DIADMO, WILLIAM TRIEDRATIONAL ROSINESS MACHINES COS = -1.1,45.8.1 75.00 0.00 75.00 08.2.5 61.00 08/18/2017 QR0032245 DIADMO, WILLIAM TWITTER TWO S -21.432.28 75.00 0.00 75.00 08.2.5 61.00 08/18/2017 QR0032245 DIADMO, WILLIAM TWITTER TWO S -21.432.28 75.00 0.00 75.00 08.2.5 61.00 08/18/2017 QR0032245 DIADMO, WILLIAM TWITTER TWO S -3.992.53 75.00 0.00 75.00 08.2.5 61.00 08/18/2017 QR0032245 DIADMO, WILLIAM TWITTER TWO S -3.992.53 75.00 0.00 75.00 0.00 75.00 08.2.5 61.00 08/18/2017 QR0032245 DIADMO, WILLIAM TWITTER TWO S -4.5.00 0.00 0.00 0.00 0.00 08.2.5 61.00 08/21/2017 QR0032245 DIADMO, WILLIAM TWITTER TWO S -4.5.00 0.00 0.00 0.00 0.00 0.00 08.2.5 08/21/2017 QR0032445 SILVER, THROBORR DASS REPORTS COM S -1.4.95.60 0.00 0.00 0.00 0.00 0.00 0.00 08/21/2017 QR0032446 SILVER, THROBORR DASS REPORTS COM S -4.4.35.00 0.00 0.00 0.00 0.00 0.00 0.00 08/21/2017 QR0032446 SILVER, THROBORR DASS REPORTS COM S -243.24 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	PRODUCT CAT	TEGORY: STOCKS							
08/16/2017 QGM032245 D'ALDAMO, MILLIAM THYTTER INC S -3.992.53 75.00 0.00 75.00 82.5 61.80 08/16/2017 QGM032310 CHERA, PHTER JD.COM INC STONSORED ADS REPSTG COM S -11,146.90 75.00 0.00 75.00 82.5 61.80 08/16/2017 QGM032310 CHERA, PHTER JD.COM INC STONSORED ADS REPSTG COM S -11,146.90 300.00 0.00 300.00 82.5 447.50 08/21/2017 QGM032310 CHERA, PHTER JD.COM INC STONSORED ADS REPSTG COM S -11,146.90 300.00 0.00 300.00 82.5 447.50 08/21/2017 QGM032315 CHERA, PHTER JD.COM INC STONSORED ADS REPSTG COM S -11,146.90 300.00 0.00 300.00 82.5 447.50 08/21/2017 QGM032346 SILVER, THEOCORE AFELE INC B 14,195.69 140.00 0.00 1.00 140.00 82.5 145.50 08/07/2017 QGM032446 SILVER, THEOCORE AFELE INC B 14,195.69 140.00 0.00 0.00 150.00 82.5 82.50 08/2017 QGM032446 SILVER, THEOCORE AFELE INC B 14,195.69 140.00 0.00 0.00 150.00 82.5 82.50 08/2017 QGM032446 SILVER, THEOCORE AFELE INC B 11,127.00 0.00 0.00 0.00 0.00 0.00 0.00 08/20 08/20 08/2017 QGM032446 SILVER, THEOCORE AFELE INC B 11,127.00 0.00 0.00 0.00 0.00 0.00 08/20 08/20 08/2017 QGM032446 SILVER, THEOCORE AFELE INC B 11,127.00 0.00 0.00 0.00 0.00 08/20 08/20 08/2017 QGM032446 SILVER, THEOCORE AFELE INC B 11,127.00 0.00 0.00 0.00 0.00 08/20 08/20 08/2017 QGM032444 SILVER, THEOCORE AFELE OCCUPANT AFE	08/16/2017 QC	GW032245 D'ADAMO, WILLIAM	ALIBABA GROUP HOLDING LTD SPONSORED B	15,955.24	150.00	0.00	150.00	82.5	123.75
08/16/2017 QGM032302 CHEN, PETER   JD.COM INC SPONSORED ADR REPSTG COM S   -1,1146.99   75.00   0.00   75.00   82.5   61.88   07/27/2017 QGM032302 CHEN, PETER   JD.COM INC SPONSORED ADR REPSTG COM S   -1,1146.90   300.00   0.00   300.00   82.5   62.88   07/27/2017 QGM032304 PYENTRA, JAMES   JD.COM INC SPONSORED ADR REPSTG COM S   11,450.00   300.00   0.00   300.00   82.5   427.50   08/27/2017 QGM032304 PYENTRA, JAMES   JD.COM INC SPONSORED ADR REPSTG COM S   -1,600.00   55.00   0.00   55.00   82.5   427.50   08/27/2017 QGM032304 PYENTRA, THROPORE   THIS PROMORED ADR S   -5,600.00   55.00   0.00   0.00   100.00   82.5   115.50   08/27/2017 QGM032404 PYENTRA, THROPORE   THIS PROMORED ADR S   -4,134.90   100.00   0.00   100.00   82.5   115.50   08/27/2017 QGM032404 PYENTRA, THROPORE   THIS PROMORED ADR S   -4,134.90   100.00   0.00   100.00   82.5   41.25   4	08/16/2017 Q	GW032245 D'ADAMO, WILLIAM	INTERNATIONAL BUSINESS MACHINES COR S	-11,405.81	75.00	0.00	75.00	82.5	61.88
18/13/2017 QGM032326 CHEM, PUTER   ULLCOM INC SPONSORED ADR REPORTS CON S   11,146.99   75.00   0.00   75.00   82.5   61.88	08/16/2017 Q	GW032245 D'ADAMO, WILLIAM	STARBUCKS CORP S	-21,432.28	75.00	0.00	75.00	82.5	61.88
07/27/2017 QGR032348 DYKSTRA, JAMES JDLOCM INC SPONSORED ADR REPETO COM B 11,450.00 300.00 0.00 300.00 82.5 247.50 08/21/2017 QGR032484 SILVER, TIREDORER APPLE INC BANK LITS SPONSORED ADR S -5.086.00 0.00 55.00 0.00 55.00 82.5 115.50 08/07/2017 QGR032484 SILVER, TIREDORER APPLE INC BANK LITS SPONSORED ADR S -5.086.00 0.00 100.00 100.00 82.5 82.5 82.50 08/07/2017 QGR032484 SILVER, TIREDORER INTERNATIONAL BUSINESS MACHINES COR S -14,335.90 100.00 0.00 100.00 50.00 82.5 82.5 82.50 08/07/2017 QGR032484 SILVER, TIREDORER SHITE ALT CORPORATION S -2 -24.4 55.00 0.00 55.00 82.5 \$41.50 08/07/2017 QGR032484 SILVER, TIREDORER SHITE ALT CORPORATION S -2 -24.4 55.00 0.00 55.00 82.5 \$41.50 08/07/2017 QGR032342 CHRIST, PHILIP VORDAPORE GROUP PLC SPONSORED ADR NO S -855.60 35.00 0.00 35.00 82.5 \$28.88 07/27/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.325.00 175.00 0.00 175.00 82.5 \$144.38 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.325.00 175.00 0.00 175.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.325.00 175.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.325.00 175.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.325.00 175.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.385.00 175.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.385.00 0.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.385.00 0.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.385.00 0.00 0.00 100.00 82.5 \$193.88 08/10/2017 QGR033302 SHITLEY, CRAIG TARGET CORP B 8.385.00 0.00 0.00 0.00 0.00 0.00 08/00/2017 QGR033305 TARGET AND TARGET CORP B 8.385.00 0.00 0.00 0.00 0.00 0.00 0.00 08/00/2017 QGR032047 QGR0330507 ARGULAR MICHAEL ALBERDA GROUP HOLDING LITD SPONSORED B 9.806.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	08/16/2017 Q	GW032245 D'ADAMO, WILLIAM	TWITTER INC S	-3,992.53	75.00	0.00	75.00	82.5	61.88
08/21/22017 QGM0323551 COLLINS, DORNIE	08/18/2017 Q	GW032302 CHEN, PETER	JD.COM INC SPONSORED ADR REPSTG COM S	-11,146.99	75.00	0.00	75.00	82.5	61.88
08/07/2017 QGW032484 SILVER, THEODORE INTERNATIONAL BUSINESS MACHINES CORS -14,335.90 100.00 0.00 100.00 82.5 82.50 08/07/2017 QGW032484 SILVER, THEODORE INTERNATIONAL BUSINESS MACHINES CORS -14,335.90 100.00 0.00 100.00 82.5 82.50 08/07/2017 QGW032484 SILVER, THEODORE RATE ALD CORPORATION S -243.24 50.00 0.00 50.00 82.5 41.25 08/10/2017 QGW032484 SILVER, THEODORE RATE ALD CORPORATION S -243.24 50.00 0.00 35.00 82.5 28.88 08/10/2017 QGW032542 CHRIST, PHILIP VOLAPONE GROUP FLC SPONSORED ARR NO S 68.6.0 35.00 0.00 35.00 82.5 28.88 07/2017 QGW032542 CHRIST, PHILIP VOLAPONE GROUP FLC SPONSORED ARR NO S 68.8.60 35.00 0.00 175.00 82.5 82.88 07/2017 QGW033227 WHITE, CLARENCE APPLE INC B 8,895.50 100.00 0.00 175.00 82.5 144.38 08/10/2017 QGW033227 WHITE, CLARENCE APPLE INC B 8.8,895.56 100.00 0.00 100.00 82.5 82.50 08/10/2017 QGW033227 WHITE, CLARENCE GLARENCE APPLE INC B 1,733.50 50.00 0.00 100.00 50.00 82.5 82.50 08/10/2017 QGW033402 SPAY, DAVID ATE TINC B 1,733.50 50.00 0.00 100.00 50.00 82.5 82.50 08/10/2017 QGW033402 SW0042140 SW004021, AMTRONY ALHABA GROUP HOLDING LITD SPONSORED B 6,295.38 140.00 0.00 100.00 82.5 82.50 08/21/2017 QGW034102 SW004021, AWTRONY ALHABA GROUP HOLDING LITD SPONSORED B 6,295.38 140.00 0.00 100.00 82.5 115.50 08/21/2017 QGW038507 ARGUIN, MICHAEL APPLE INC APPLE INC ***STOCKS SUBTOTAL ************************************	07/27/2017 Q	GW032344 DYKSTRA, JAMES	JD.COM INC SPONSORED ADR REPSTG COM B	11,450.00	300.00	0.00	300.00	82.5	247.50
08/07/2017 QGN032484 SILVER, THEODORE   INTERNATIONAL BUSINESS MACHINES COR S   -14,335.90   100.00   0.00   100.00   82.5   82.50   08/07/2017 QGN032484 SILVER, THEODORE   RITE AID CORPORATION   S   -243.24   50.00   0.00   50.00   82.5   28.88   08/24/2017 QGN032534 RAY, DAVID   OANCO GLOBAL GOLD NATURAL RESOURCES   1.7.00   35.00   0.00   35.00   82.5   28.88   08/24/2017 QGN032534 RAY, DAVID   OANCO GLOBAL GOLD NATURAL RESOURCES   1.7.00   35.00   0.00   35.00   82.5   28.88   08/24/2017 QGN0332527 WHITE, CLARENCE   APPLE INC   B   8.890.56   235.00   0.00   235.00   82.5   193.88   08/10/2017 QGN033227 WHITE, CLARENCE   GLAXOSWITHEKLINE PLC SPONSORED ADER S   1.7.85   50.00   0.00   100.00   82.5   82.50   08/10/2017 QGN033227 WHITE, CLARENCE   GLAXOSWITHEKLINE PLC SPONSORED ADER S   1.7.85   50.00   0.00   100.00   82.5   82.50   08/10/2017 QGN033227 WHITE, CLARENCE   GLAXOSWITHEKLINE PLC SPONSORED ADER S   1.7.85   50.00   0.00   100.00   82.5   82.50   08/10/2017 QGN033420   SONGOYI, ANTHONY   NETFELIX COM INC   S   -6.880.15   100.00   0.00   100.00   82.5   82.50   08/10/2017 QGN034100   SONGOYI, ANTHONY   ALIBABA GROUP HOLDING LITD SPONSORED   9.808.40   50.00   0.00   50.00   82.5   82.50   08/21/2017 QGN033220   VARAGAWIT, PRAVEEN   APPLE INC   S   STOCKS SUBTOTAL * \$-90.611.10   \$6,445.00   \$0.00   50.00   \$0.50   82.5   \$2.50   \$0.00	08/21/2017 QC	GW032351 COLLINS, DONNIE	ICICI BANK LTD SPONSORED ADR S	-5,060.00	55.00	0.00	55.00	82.5	45.38
08/07/2017 GW032484 SILVER, THEODORE RITE AID CORPORATION S -243.24 50.00 0.00 50.00 82.5 41.25 08/10/2017 GW032434 KAY, DAVID GAMCO GLOBAL GEOLD NATURAL RESOURCES B 1,127.00 35.00 0.00 35.00 82.5 28.88 08/24/2017 GW0332432 CHRIST, PHILIP VODAPONE GROUP PLC SPONSORED ADR NS -868.60 35.00 0.00 175.00 82.5 28.88 08/24/2017 GW0332427 WHITE, CHARENCE APPLE INC B 8,325.00 175.00 0.00 175.00 82.5 144.38 08/10/2017 GW033227 WHITE, CLARENCE APPLE INC B 8,890.56 235.00 0.00 175.00 82.5 143.88 08/10/2017 GW033227 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED ADR FS -5.865.53 100.00 0.00 100.00 82.5 82.5 08/10/2017 GW033227 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED ADR FS -5.865.53 100.00 0.00 100.00 82.5 82.5 08/10/2017 GW033227 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED B 1,723.50 50.00 0.00 50.00 82.5 82.5 08/10/2017 GW034100 SGMOGYI, ANTHONY ALIBABA GROUP HOLDING LITD SPONSORED B 6.295.38 140.00 0.00 100.00 82.5 82.5 08/10/2017 GW034100 SGMOGYI, ANTHONY ALIBABA GROUP HOLDING LITD SPONSORED B 6.295.38 140.00 0.00 100.00 82.5 82.5 08/10/2017 GW03407 SHEER, NDY PLE LINC B 3,336.90 100.00 0.00 100.00 82.5 41.25 08/10/2017 GW03407 SHEER, NDY PLT 100 AXALTA COATING SYSTEMS LITD B 315.00 30.00 0.00 50.00 82.5 41.25 08/10/2017 GW032047 SHEER, ANDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 41.25 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 41.25 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 16.50 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 16.50 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 16.50 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 16.50 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.00 50.00 0.00 50.00 82.5 16.50 08/10/2017 GW032047 SHEER, NDY PUT 100 AXALTA COATING SYSTEMS LITD S -1465.	08/07/2017 Q	GW032484 SILVER, THEODORE	APPLE INC B	14,195.69	140.00	0.00	140.00	82.5	115.50
08/10/2017 QCN032534 RAY, DAVID GAMCO GLOBAL GOLD NATURAL RESOURCES B 1,127.00 35.00 0.00 35.00 82.5 28.88 08/24/2017 QCN032542 CIRIST, PHILLIP VOAFRONE GROUP PLC SPONSORED ADR NO S -858.60 35.00 0.00 35.00 82.5 28.88 08/10/2017 QCN033267 WHITE, CLARENCE APPLE INC B 8,890.56 235.00 0.00 175.00 82.5 144.38 08/10/2017 QCN033227 WHITE, CLARENCE APPLE INC B 1,723.50 50.00 0.00 175.00 82.5 143.88 08/10/2017 QCN033227 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED ADR FS -5,865.53 100.00 0.00 100.00 82.5 143.88 08/10/2017 QCN033267 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED ADR FS -5,865.53 100.00 0.00 100.00 82.5 82.50 08/10/2017 QCN033267 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED ADR FS -6,880.15 100.00 0.00 100.00 82.5 82.50 08/10/2017 QCN034100 SOMOGYI, ANTHONY NETFLIX COM INC S -6,880.15 100.00 0.00 100.00 82.5 82.50 08/10/2017 QCN034502 NAGOGYI, ANTHONY NETFLIX COM INC S -6,880.15 100.00 0.00 100.00 82.5 82.50 08/10/2017 QCN0347228 VARAGANRI, PRAVEEN APPLE INC S S -6,880.15 100.00 0.00 100.00 82.5 82.50 08/10/2017 QCN0347228 VARAGANRI, PRAVEEN APPLE INC S S -90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$2.5 82.50 08/10/2017 QCN0332047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LITD S 315.00 30.00 0.00 35.00 82.5 \$41.25 08/10/2017 QCN0332047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LITD S -1,485.00 35.00 0.00 35.00 82.5 \$2.88 08/09/2017 QCN0332047 GNESTO, RICHARD PUT 100 AXALTA COATING SYSTEMS LITD S -1,485.00 35.00 0.00 35.00 82.5 \$2.88 08/09/2017 QCN0332047 GNESTO, RICHARD PUT 100 AXALTA COATING SYSTEMS LITD S -1,485.00 35.00 0.00 35.00 82.5 \$2.88 08/09/2017 QCN0332047 GNOS32047 GNESTO, RICHARD PUT 100 AXALTA COATING SYSTEMS LITD S -1,485.00 35.00 0.00 35.00 82.5 \$2.88 08/09/2017 QCN0332047 GNESTO, RICHARD PUT 100 AXALTA COATING SYSTEMS LITD S -1,485.00 35.00 0.00 35.00 82.5 \$2.88 08/09/2017 QCN0332047 GNESTO, RICHARD PUT 100 AXALTA COATING SYSTEMS LITD S -1,485.00 35.00 0.00 35.00 82.5 \$2.88 08/09/2017 QCN0332047 GNOS32047 GNESTO, RICHARD PUT 100 AXALTA COATING SYSTEMS LITD S -0.48 0.00 0.00 0.00 0.00 0.00 0.0	08/07/2017 Q	GW032484 SILVER, THEODORE	INTERNATIONAL BUSINESS MACHINES COR S	-14,335.90	100.00	0.00	100.00	82.5	82.50
08/24/2017 QGW0332542 CHRIST, FHILIF	08/07/2017 Q	GW032484 SILVER, THEODORE	RITE AID CORPORATION S	-243.24	50.00	0.00	50.00	82.5	41.25
07/27/2017 QGW033102 SHIPLEY, CRAIG TARGET CORP B 8,325.00 175.00 0.00 175.00 82.5 144.38 08/10/2017 QGW033227 WHITE, CLARENCE GLAXORMITHKLINE PLC SPONSORED ADRIF S -5,865.53 100.00 0.00 235.00 82.5 193.88 08/10/2017 QGW0333267 RATHONY NETFLIX COM INC S -5,865.53 100.00 0.00 100.00 82.5 82.50 08/10/2017 QGW033362 RAY, DAVID ATT INC S -6,380.15 100.00 0.00 100.00 82.5 82.50 08/10/2017 QGW034100 SOMGOYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.50 08/11/2017 QGW034100 SOMGOYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.50 08/11/2017 QGW034100 SOMGOYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.50 08/11/2017 QGW034100 SOMGOYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.50 08/11/2017 QGW034100 SOMGOYI, ANTHONY ALIEBBA GROUP HOLDING LTD SPONSORED B 3,336.90 100.00 0.00 100.00 82.5 82.50 08/11/2017 QGW034507 ARGUIN, MICHAEL ALIEBBA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 \$6,445.00 \$5.00 82.5 41.25 08/18/2017 QGW0336507 ARGUIN, MICHAEL ALIEBBA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 \$6,445.00 \$5.00 82.5 41.25 08/18/2017 QGW032047 SHIRR, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 315.00 30.00 0.00 30.00 \$2.5 82.5 08/18/2017 QGW032047 SHIRR, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 41.3 08/03/2017 QGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 8300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD PUT 100 AREDER OF SPOTSORS B 44.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 16.50 08/28/2017 QGW033674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 28.8 08/28/2017 QGW033674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 28.5 88.8 08/14/2017 QGW033685 POORMAN, JEFFERY FRANKLIN INCOME FUND CLASS A B 0.00 0.02 5 0.00 0.5 82.5 0.04 08/14/2017 QGW033389 DECON, KITHLEEN FKA RGE HIGH INC FUND -CLASS	08/10/2017 Q	GW032534 RAY, DAVID	GAMCO GLOBAL GOLD NATURAL RESOURCES B	1,127.00	35.00	0.00	35.00	82.5	28.88
08/10/2017 QGW033227 WHITE, CLARENCE APPLE INC 08/10/2017 QGW033227 WHITE, CLARENCE GLAXOSWITHKLINE PLC SPONSORED ADR(F S -5,865.53 100.00 0.00 100.00 82.5 82.50 08/10/2017 QGW0334100 SOMOGYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.50 08/10/2017 QGW034100 SOMOGYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 100.00 82.5 82.50 08/10/2017 QGW034100 SOMOGYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 100.00 82.5 82.50 08/10/2017 QGW0342100 SOMOGYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 100.00 82.5 82.50 08/24/2017 QGW034507 ARGUIN, MICHAEL ALIBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 50.00 85.445.00 \$5.317.22  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.00 \$6.445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.00 \$6.445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5.00 \$6.445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$0.00 \$6.445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$0.00 \$6.445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$0.00 \$6.445.00 \$5.00 \$6.445.00 \$5.31.25  * STOCKS SUBTOTAL * \$-90,611.10 \$6,445.00 \$0.00 \$	08/24/2017 QC	GW032542 CHRIST, PHILIP	VODAFONE GROUP PLC SPONSORED ADR NO S	-858.60	35.00	0.00	35.00	82.5	28.88
08/10/2017 QGW0333227 WHITE, CLARENCE GLAXOSMITHKLINE PLC SPONSORED ADR(F S -5,865.53 100.00 0.00 100.00 82.5 82.5 08/10/2017 QGW033402 CAY, DAVID ATET INC B 1,723.50 50.00 0.00 50.00 82.5 41.25 08/10/2017 QGW034100 SOMOGYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.5 08/11/2017 QGW034100 SOMOGYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 140.00 82.5 115.50 08/10/2017 QGW037228 VARAGANTI, PRAVEEN APPLE INC B 3,336.90 100.00 0.00 100.00 82.5 82.5 08/24/2017 QGW037287 ARGUIN, MICHAEL ALIBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 \$0.00 \$6,445.00 \$2.5 \$2.50 08/24/2017 QGW038507 ARGUIN, MICHAEL ALIBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 \$0.00 \$6,445.00 \$2.5 \$2.50 08/24/2017 QGW032407 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 315.00 30.00 0.00 30.00 82.5 22.88 08/20/2017 QGW032407 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 45.00 50.00 35.00 0.00 35.00 82.5 28.88 08/20/2017 QGW032407 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 28.88 08/20/2017 QGW032407 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 28.88 08/20/2017 QGW032407 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 50.00 0.00 20.00 82.5 16.50 08/09/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 50.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 50.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 50.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 50.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 50.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 50.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032407 SHIER, ANDY PUT 100 ATALTA COATING SYSTEMS LTD S -4,485.00 0.00 0.00 0.00 20.00 82.5 16.50 08/20/2017 QGW032	07/27/2017 Q	GW033102 SHIPLEY, CRAIG	TARGET CORP B	8,325.00	175.00	0.00	175.00	82.5	144.38
08/10/2017 QGW033400 SOMGCYI, ANTHONY NETFLIX COM INC S -6,380.15 100.00 0.00 100.00 82.5 82.5 08/01/2017 QGW034100 SOMGCYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 140.00 82.5 115.50 08/10/2017 QGW034200 SOMGCYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 140.00 82.5 115.50 08/10/2017 QGW034200 VARGABATI, PRAVEEN APPLE INC B 3,336.90 100.00 0.00 100.00 82.5 82.50 08/24/2017 QGW034507 ARGUIN, MICHAEL ALIBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 50.00 86,445.00 82.5 41.25	08/10/2017 Q	GW033227 WHITE, CLARENCE	APPLE INC B	8,890.56	235.00	0.00	235.00	82.5	193.88
08/01/2017 QGW034100 SOMOGYI, ANTHONY ALTBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 140.00 82.5 115.50 08/12/2017 QGW034200 SOMOGYI, ANTHONY ALTBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 140.00 82.5 115.50 08/24/2017 QGW034207 ARGUIN, MICHAEL ALTBABA GROUP HOLDING LTD SPONSORED B 3,336.90 100.00 0.00 100.00 50.00 82.5 82.50 08/24/2017 QGW038507 ARGUIN, MICHAEL ALTBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 50.00 \$6,445.00 \$5.00 \$5.00 \$2.5 \$41.2	08/10/2017 Q	GW033227 WHITE, CLARENCE	GLAXOSMITHKLINE PLC SPONSORED ADR(F S	-5,865.53	100.00	0.00	100.00	82.5	82.50
08/11/2017 GW0034100 SOMOGYI, ANTHONY ALIBABA GROUP HOLDING LTD SPONSORED B 6,295.38 140.00 0.00 140.00 82.5 115.50 08/10/2017 GW0037228 VARAGANTI, PRAYEEN APPLE INC B 3,336.90 100.00 0.00 100.00 82.5 82.5 08/24/2017 GW0038507 ARGUIN, MICHAEL ALIBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 \$50.00 \$2.5 41.25 **STOCKS SUBTOTAL ** \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$50.00 \$2.5 41.25 **STOCKS SUBTOTAL ** \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$50.00 \$2.5 41.25 **STOCKS SUBTOTAL ** \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$50.00 \$2.5 41.25 **STOCKS SUBTOTAL ** \$-90,611.10 \$6,445.00 \$0.00 \$0.00 \$6,445.00 \$50.00 \$2.5 41.25 **STOCKS SUBTOTAL ** \$-90,611.10 \$6,445.00 \$0.00 \$0.00 \$0.00 \$2.5 41.25 **STOCKS SUBTOTAL ** \$-90,611.10 \$6,445.00 \$0.00 \$0.00 \$0.00 \$0.00 \$2.5 \$2.5 \$24.75 \$08/18/2017 GW0032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 315.00 30.00 0.00 30.00 82.5 \$24.75 \$08/18/2017 GW0032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 \$28.88 \$08/02/2017 GW0032047 ONESTO, RICHARD PUT 100 ALIBABA GROUP HLDG LTD SPON B 45.00 5.00 0.00 5.00 \$2.5 0 82.5 \$4.13 \$08/03/2017 GW0032047 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-170 S -485.00 20.00 0.00 20.00 82.5 \$16.50 \$08/09/2017 GW0032047 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-170 S -485.00 20.00 0.00 20.00 82.5 \$16.50 \$08/28/2017 GW0032047 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 \$28.88 \$08/28/2017 GW0032047 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 \$0.00 \$170.00 \$10.00 \$2.5 0.00 \$10.00 \$2.5 0.00 \$10	08/10/2017 Q	GW033862 RAY, DAVID	AT&T INC B	1,723.50	50.00	0.00	50.00	82.5	41.25
08/10/2017 QGW037228 VARAGANTI, PRAVEEN APPLE INC B 3,336.90 100.00 0.00 100.00 82.5 82.5 08/24/2017 QGW038507 ARGUIN, MICHAEL ALIBABA GROUP HOLDING LTD SPONSORED B 9,808.40 50.00 0.00 50.00 82.5 41.25	08/01/2017 Q	GW034100 SOMOGYI, ANTHONY	NETFLIX COM INC S	-6,380.15	100.00	0.00	100.00	82.5	82.50
**************************************	08/11/2017 QC	GW034100 SOMOGYI, ANTHONY	ALIBABA GROUP HOLDING LTD SPONSORED B	6,295.38	140.00	0.00	140.00	82.5	115.50
***STOCKS SUBTOTAL **** \$-90,611.10 \$6,445.00 \$0.00 \$6,445.00 \$5,317.22 \$\frac{PRODUCT CATEGORY: OPTIONS}{\frac{OPTIONS}{08/12/2017 QGW032047}} SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 315.00 30.00 0.00 30.00 82.5 24.75 08/18/2017 QGW032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 28.88 08/02/2017 QGW032674 ONESTO, RICHARD PUT 100 ALIBABA GROUP HLDG LTD SPON B 45.00 5.00 0.00 5.00 82.5 4.13 08/03/2017 QGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 @300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 35.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$1	08/10/2017 Q	GW037228 VARAGANTI, PRAVEEN	APPLE INC B	3,336.90	100.00	0.00	100.00	82.5	82.50
PRODUCT CATEGORY: OPTIONS  08/01/2017 GGW032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 315.00 30.00 0.00 30.00 82.5 24.75 08/18/2017 GGW032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 28.88 08/02/2017 GGW032674 ONESTO, RICHARD PUT 100 ALIBABA GROUP HLDG LTD SPON B 45.00 5.00 0.00 5.00 82.5 16.50 08/03/2017 GGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 @300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 GGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@ S -485.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 GGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@ S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 GGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 GGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$10.00 \$140.27 \$140.27 \$140.27 \$140.20 \$150.00 \$15	08/24/2017 QC	GW038507 ARGUIN, MICHAEL	ALIBABA GROUP HOLDING LTD SPONSORED B	9,808.40	50.00	0.00	50.00	82.5	41.25
08/01/2017 QGW032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD B 315.00 30.00 0.00 30.00 82.5 24.75 08/18/2017 QGW032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 28.88 08/02/2017 QGW032674 ONESTO, RICHARD PUT 100 ALIBABA GROUP HLDG LTD SPON B 45.00 5.00 0.00 5.00 82.5 4.13 08/03/2017 QGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 @300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$140.27 \$0.00 \$140.27 \$0.00 \$140.27 \$0.00 \$140.20 \$0.00 \$			* STOCKS SUBTOTAL *	\$-90,611.10	\$6,445.00	\$0.00	\$6,445.00		\$5,317.22
08/18/2017 QGW032047 SHIER, ANDY PUT 100 AXALTA COATING SYSTEMS LTD S -1,485.00 35.00 0.00 35.00 82.5 28.88 08/02/2017 QGW032674 ONESTO, RICHARD PUT 100 ALIBABA GROUP HLDG LTD SPON B 45.00 5.00 0.00 5.00 82.5 4.13 08/03/2017 QGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 @300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@ S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$170.00 \$140.27 \$140.27 \$140.27 \$140.20 \$140.	PRODUCT CAT	TEGORY: OPTIONS							
08/02/2017 QGW032674 ONESTO, RICHARD PUT 100 ALIBABA GROUP HLDG LTD SPON B 45.00 5.00 0.00 5.00 82.5 4.13 08/03/2017 QGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 @300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$1	08/01/2017 Q	GW032047 SHIER, ANDY	PUT 100 AXALTA COATING SYSTEMS LTD B	315.00	30.00	0.00	30.00	82.5	24.75
08/03/2017 QGW032674 ONESTO, RICHARD PUT 100 TESLA INC EXP 09-15-17 @300 B 340.00 20.00 0.00 20.00 82.5 16.50 08/09/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@ S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$140.27 \$14	08/18/2017 QC	GW032047 SHIER, ANDY	PUT 100 AXALTA COATING SYSTEMS LTD S	-1,485.00	35.00	0.00	35.00	82.5	28.88
08/09/2017 QGW032674 ONESTO, RICHARD PUT 100 APPLE INC COM EXP 09-22-17@ S -485.00 20.00 0.00 20.00 82.5 16.50 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63 *OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00 \$	08/02/2017 Q	GW032674 ONESTO, RICHARD	PUT 100 ALIBABA GROUP HLDG LTD SPON B	45.00	5.00	0.00	5.00	82.5	4.13
08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR S -4,080.00 35.00 0.00 35.00 82.5 28.88 08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 \$170.00 \$0.00 \$170.00 \$140.27 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  08/14/2017 QGW033185 DEVORE, DOREEN AIT ARROW DWA BALANCED FUND CLASS C B 0.00 5.98 0.00 5.98 82.5 4.93 08/14/2017 QGW033185 DEVORE, DOREEN WELLS FARGO EMERGING MARKETS EQUITY B 0.00 0.52 0.00 0.52 82.5 0.43 08/14/2017 QGW033383 DEROIN, KATHLEEN FRK AGE HIGH INC FND - CL A B 0.00 0.70 0.00 0.70 0.00 0.70 82.5 0.58 08/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 08/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.31 0.00 0.31 82.5 0.26 0.00 0.31 0.00 0.31 82.5 0.26 0.00 0.31 0.00 0.31 82.5 0.26 0.00 0.31 0.00 0.31 0.00 0.31 82.5 0.26 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.31 0.00 0.31 0.25 0.26 0.00 0.31 0.26 0.26 0.26 0.32 0.32 0.32 0.32 0.32 0.32 0.32 0.32	08/03/2017 Q	GW032674 ONESTO, RICHARD	PUT 100 TESLA INC EXP 09-15-17 @300 B	340.00	20.00	0.00	20.00	82.5	16.50
08/28/2017 QGW032674 ONESTO, RICHARD CALL 100 MAZOR ROBOTICS LTD SPONSOR B 1,440.00 25.00 0.00 25.00 82.5 20.63  * OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00	08/09/2017 Q	GW032674 ONESTO, RICHARD	PUT 100 APPLE INC COM EXP 09-22-17@ S	-485.00	20.00	0.00	20.00	82.5	16.50
* OPTIONS SUBTOTAL * \$-3,910.00 \$170.00 \$0.00 \$170.00	08/28/2017 Q	GW032674 ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR S	-4,080.00	35.00	0.00	35.00	82.5	28.88
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  08/14/2017 QGW033185 DEVORE, DOREEN AIT ARROW DWA BALANCED FUND CLASS C B 0.00 5.98 0.00 5.98 82.5 4.93 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.52 0.00 0.58 0.58 0.00 0.58/14/2017 QGW033383 DEROIN, KATHLEEN FRK AGE HIGH INC FND - CL A B 0.00 0.70 0.00 0.70 0.00 0.70 0.00 0.70 0.00 0.58/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 0.8/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 0.8/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26	08/28/2017 Q	GW032674 ONESTO, RICHARD	CALL 100 MAZOR ROBOTICS LTD SPONSOR B	1,440.00	25.00	0.00	25.00	82.5	20.63
08/14/2017 QGW033185 DEVORE, DOREEN AIT ARROW DWA BALANCED FUND CLASS C B 0.00 5.98 0.00 5.98 82.5 4.93 08/14/2017 QGW033185 DEVORE, DOREEN WELLS FARGO EMERGING MARKETS EQUITY B 0.00 0.52 0.00 0.52 82.5 0.43 08/14/2017 QGW033383 DEROIN, KATHLEEN FRK AGE HIGH INC FND - CL A B 0.00 0.70 0.00 0.70 82.5 0.58 08/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 08/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 08/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26			* OPTIONS SUBTOTAL *	\$-3,910.00	\$170.00	\$0.00	\$170.00		\$140.27
08/14/2017 QGW033185 DEVORE, DOREEN AIT ARROW DWA BALANCED FUND CLASS C B 0.00 5.98 0.00 5.98 82.5 4.93 08/14/2017 QGW033185 DEVORE, DOREEN WELLS FARGO EMERGING MARKETS EQUITY B 0.00 0.52 0.00 0.52 82.5 0.43 08/14/2017 QGW033383 DEROIN, KATHLEEN FRK AGE HIGH INC FND - CL A B 0.00 0.70 0.00 0.70 82.5 0.58 08/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 08/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 08/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26	PRODUCT CAT	TEGORY: MUTUAL FUND 12B1	TRAILS						
08/14/2017 QGW033185 DEVORE, DOREEN WELLS FARGO EMERGING MARKETS EQUITY B 0.00 0.52 0.00 0.52 82.5 0.43 08/14/2017 QGW033383 DEROIN, KATHLEEN FRK AGE HIGH INC FND - CL A B 0.00 0.70 0.00 0.70 82.5 0.58 08/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 08/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 08/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26				0.00	5.98	0.00	5.98	82.5	4.93
08/14/2017 QGW033383 DEROIN, KATHLEEN FRK AGE HIGH INC FND - CL A B 0.00 0.70 0.00 0.70 82.5 0.58 08/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 08/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 08/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26	~	,							
08/14/2017 QGW035305 POORMAN, JEFFREY FRANKLIN INCOME FUND CLASS A B 0.00 0.25 0.00 0.25 82.5 0.21 0.00/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 0.00/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26									
08/14/2017 QGW035305 POORMAN, JEFFREY MUTUAL SERIES SHARES CLASS A B 0.00 0.48 0.00 0.48 82.5 0.40 08/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26									0.21
08/15/2017 QGW036923 CHAPMAN, HERBERT EUROPACIFIC GROWTH FUND-A B 0.00 0.31 0.00 0.31 82.5 0.26									
									0.26
									0.30

05, 25, 202.	COMMISSION STATEMENT f	for ANDR	EW STUART SA	ACHS				
TRADE DATE CLIENT	INVESTMENT	IN B/S	NVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TR	ANSAC:	rions					
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
08/15/2017 QGW036923 CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A	В	0.00	0.17	0.00	0.17	82.5	0.14
08/15/2017 QGW036923 CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A	В	0.00	0.29	0.00	0.29	82.5	0.24
08/15/2017 QGW036923 CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A	В	0.00	0.38	0.00	0.38	82.5	0.31
08/15/2017 QGW036923 CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A	A B	0.00	0.16	0.00	0.16	82.5	0.13
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL	*	\$0.00	\$9.60	\$0.00	\$9.60		\$7.93
	*** BROKER TRANSACTIONS TOTAL **	**	\$-94,521.10	\$6,624.60	\$0.00	\$6,624.60		\$5,465.42
	ADJUS'	TMENTS	<u> </u>					
08/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-123.75
08/31/2017	OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
08/31/2017	OTHER: OTHER-POSTAGE		0.00	0.00	0.00	0.00		-30.81
	*** ADJUSTMENTS TOTAL **	**	\$0.00	\$0.00	\$0.00	\$0.00		\$-162.56
					E	BROKER COMMI	SSION	TOTALS
					Broke	r Transactions		\$5,465.42
					Split	t Transactions		\$0.00
					Override	e Transactions		\$0.00
						Adjustments		\$-162.56
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior 1	Period Balance		\$0.00
					FII	NRA Assessment		\$-8.28
								\$-16.56

\$5,278.02

\$17,775.84

Check Amount

Year-to-date Earnings