



Concorde Investment Services, LLC

01/12/2018

Page No. 1

COMMISSION STATEMENT**January 15, 2018**

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/27/2017	MANOS, SCOTT	PREFERRED APT CMNTYS INC COM	-4,075.36	22.00	22.50	-0.50	0.0	0.00
01/08/2018	COOVER, SHEILA	PREFERRED APT CMNTYS INC COM	-5,677.50	22.00	22.50	-0.50	0.0	0.00
* STOCKS SUBTOTAL *			\$-9,752.86	\$44.00	\$45.00	\$-1.00		\$0.00
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
12/27/2017	SANDERS, JUDITH	ADVISORS DISCIPLINED TR UNIT 822 AD	-394.92	28.00	44.00	-16.00	0.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-394.92	\$28.00	\$44.00	\$-16.00		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-10,147.78	\$72.00	\$89.00	\$-17.00		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	20,552.59	6.85	0.00	6.85	0.0	0.00
12/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	144,558.21	271.05	0.00	271.05	0.0	0.00
12/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	144,558.21	90.35	0.00	90.35	0.0	0.00
12/31/2017	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	43,426.85	27.14	0.00	27.14	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$353,095.86	\$395.39	\$0.00	\$395.39		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/13/2017	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.69	0.00	0.69	0.0	0.00
12/11/2017	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.65	0.00	0.65	0.0	0.00
12/18/2017	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.05	0.00	3.05	0.0	0.00
12/18/2017	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.02	0.00	3.02	0.0	0.00
12/18/2017	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.25	0.00	2.25	0.0	0.00
12/18/2017	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.44	0.00	3.44	0.0	0.00
12/18/2017	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.54	0.00	9.54	0.0	0.00
12/11/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.62	0.00	0.62	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/11/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.28	0.00	0.28	0.0	0.00
12/11/2017	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.63	0.00	0.63	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.50	0.00	1.50	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	6.54	0.00	6.54	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.81	0.00	1.81	0.0	0.00
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	3.20	0.00	3.20	0.0	0.00
01/03/2018	PEACOCK, RICHARD	PIONEER BOND FUND A	0.00	4.99	0.00	4.99	0.0	0.00
01/03/2018	DEXTER, ROCHELLE	PIONEER BOND FUND A	0.00	1.79	0.00	1.79	0.0	0.00
01/03/2018	ZEHRINGER, JAMES	PIONEER BOND FUND A	0.00	1.41	0.00	1.41	0.0	0.00
01/03/2018	WEHRKAMP, REBECCA	PIONEER CLASSIC BALANCED FUND A	0.00	4.44	0.00	4.44	0.0	0.00
01/03/2018	MATHENEY, DONNA	PIONEER CLASSIC BALANCED FUND A	0.00	5.13	0.00	5.13	0.0	0.00
01/03/2018	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	0.00	2.09	0.00	2.09	0.0	0.00
01/03/2018	PRICE, JOYCE	PIONEER CLASSIC BALANCED FUND A	0.00	4.09	0.00	4.09	0.0	0.00
01/03/2018	WEHRKAMP, REBECCA	PIONEER CULLEN VALUE FUND A	0.00	1.35	0.00	1.35	0.0	0.00
01/03/2018	MINIX, DEBORAH	PIONEER CULLEN VALUE FUND A	0.00	6.87	0.00	6.87	0.0	0.00
01/03/2018	RATLIFF, DONNA	PIONEER CULLEN VALUE FUND A	0.00	5.88	0.00	5.88	0.0	0.00
01/03/2018	ZEHRINGER, JAMES	PIONEER CULLEN VALUE FUND A	0.00	10.20	0.00	10.20	0.0	0.00
01/03/2018	MATHENEY, DONNA	PIONEER EMERGING MARKETS A	0.00	0.38	0.00	0.38	0.0	0.00
01/03/2018	RATLIFF, DONNA	PIONEER EMERGING MARKETS A	0.00	0.40	0.00	0.40	0.0	0.00
01/03/2018	KEY, LARRY	PIONEER EMERGING MARKETS A	0.00	1.86	0.00	1.86	0.0	0.00
01/03/2018	MINIX, DEBORAH	PIONEER EMERGING MARKETS A	0.00	0.81	0.00	0.81	0.0	0.00
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER EMERGING MARKETS A	0.00	1.09	0.00	1.09	0.0	0.00
01/03/2018	MCCLELLAN, JAY	PIONEER EMERGING MARKETS A	0.00	1.19	0.00	1.19	0.0	0.00
01/03/2018	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.41	0.00	0.41	0.0	0.00
01/03/2018	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	6.99	0.00	6.99	0.0	0.00
01/03/2018	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	5.91	0.00	5.91	0.0	0.00
01/03/2018	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.49	0.00	2.49	0.0	0.00
01/03/2018	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	11.21	0.00	11.21	0.0	0.00
01/03/2018	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.68	0.00	1.68	0.0	0.00
01/03/2018	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.73	0.00	1.73	0.0	0.00
01/03/2018	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	7.19	0.00	7.19	0.0	0.00
01/03/2018	MINIX, DEBORAH	PIONEER FUND A	0.00	1.00	0.00	1.00	0.0	0.00
01/03/2018	CURTIS, RAY	PIONEER FUND A	0.00	3.39	0.00	3.39	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/03/2018	JONES, JACQUELINE	PIONEER FUND A	0.00	1.80	0.00	1.80	0.0	0.00
01/03/2018	RUSH, KAREN	PIONEER FUND A	0.00	13.73	0.00	13.73	0.0	0.00
01/03/2018	CRABTREE, GARY	PIONEER FUND C	0.00	10.49	0.00	10.49	0.0	0.00
01/03/2018	GREGONCZA, RONALD	PIONEER FUND C	0.00	31.08	0.00	31.08	0.0	0.00
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	8.32	0.00	8.32	0.0	0.00
01/03/2018	MATHENEY, DONNA	PIONEER GLOBAL EQUITY A	0.00	0.37	0.00	0.37	0.0	0.00
01/03/2018	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.86	0.00	1.86	0.0	0.00
01/03/2018	KEY, LARRY	PIONEER GLOBAL EQUITY A	0.00	1.82	0.00	1.82	0.0	0.00
01/03/2018	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	2.40	0.00	2.40	0.0	0.00
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER GLOBAL EQUITY A	0.00	1.06	0.00	1.06	0.0	0.00
01/03/2018	MCCLELLAN, JAY	PIONEER GLOBAL EQUITY A	0.00	0.64	0.00	0.64	0.0	0.00
01/03/2018	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	3.67	0.00	3.67	0.0	0.00
01/03/2018	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	1.17	0.00	1.17	0.0	0.00
01/03/2018	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.32	0.00	1.32	0.0	0.00
01/03/2018	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.22	0.00	2.22	0.0	0.00
01/03/2018	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.05	0.00	1.05	0.0	0.00
01/03/2018	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.36	0.00	2.36	0.0	0.00
01/03/2018	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.11	0.00	1.11	0.0	0.00
01/03/2018	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.21	0.00	1.21	0.0	0.00
01/03/2018	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	4.80	0.00	4.80	0.0	0.00
01/03/2018	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	1.86	0.00	1.86	0.0	0.00
01/03/2018	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.46	0.00	1.46	0.0	0.00
01/03/2018	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	0.42	0.00	0.42	0.0	0.00
01/03/2018	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.82	0.00	1.82	0.0	0.00
01/03/2018	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.25	0.00	3.25	0.0	0.00
01/03/2018	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.60	0.00	0.60	0.0	0.00
01/03/2018	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	2.04	0.00	2.04	0.0	0.00
01/03/2018	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	4.90	0.00	4.90	0.0	0.00
01/03/2018	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	6.59	0.00	6.59	0.0	0.00
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	4.32	0.00	4.32	0.0	0.00
01/03/2018	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.53	0.00	3.53	0.0	0.00
01/03/2018	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.58	0.00	2.58	0.0	0.00
01/03/2018	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.60	0.00	1.60	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/03/2018	MCCLELLAN, JAY	PIONEER MULTI-ASSET INC FUND A	0.00	0.31	0.00	0.31	0.0	0.00
01/03/2018	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.83	0.00	5.83	0.0	0.00
01/03/2018	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.10	0.00	6.10	0.0	0.00
01/03/2018	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.20	0.00	0.20	0.0	0.00
01/03/2018	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.07	0.00	6.07	0.0	0.00
01/03/2018	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.78	0.00	3.78	0.0	0.00
01/03/2018	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.31	0.00	4.31	0.0	0.00
01/03/2018	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.49	0.00	1.49	0.0	0.00
01/03/2018	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.85	0.00	0.85	0.0	0.00
01/03/2018	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	1.06	0.00	1.06	0.0	0.00
01/03/2018	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	0.97	0.00	0.97	0.0	0.00
01/03/2018	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.42	0.00	1.42	0.0	0.00
01/03/2018	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.63	0.00	0.63	0.0	0.00
12/14/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.20	0.00	2.20	0.0	0.00
12/14/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.20	0.00	2.20	0.0	0.00
12/14/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	0.00
12/14/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	0.00
12/14/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.30	0.00	3.30	0.0	0.00
12/14/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.30	0.00	3.30	0.0	0.00
12/20/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-2.20	0.00	-2.20	0.0	0.00
12/20/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-2.90	0.00	-2.90	0.0	0.00
12/20/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-3.30	0.00	-3.30	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$302.06	\$0.00	\$302.06		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$353,095.86	\$697.45	\$0.00	\$697.45		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$769.45
Year-to-date Earnings	\$0.00