## All Companies

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COMMISSION STATEMENT May 01, 2018

BROKER #: 5605

BRANCH: HOME OFFICE

WILLIAM B. DRISCOLL 4350 BOCA BAY DR.

Dallas, TX 75244-

## COMMISSION STATEMENT for WILLIAM B. DRISCOLL

| TRADE DATE    | CLIENT                       | INVESTMENT  | B/S     | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE       | BROKER<br>COMMISSION |
|---------------|------------------------------|---|---------|----------------------|---------------------|--------------------|-------------------|------------|----------------------|
|               |                              | BROKER  | TRANS   | ACTIONS              |                     |                    |                   |            |                      |
| PRODUCT CAT   | TEGORY: MUTUAL FUNDS         |   |         |                      |                     |                    |                   |            |                      |
| 04/02/2018 0  | 0024838005 STRAUSMAN, EVELYN | PRINCIPAL INVESTORS SAM CONSER  | V BAL B | 6,500.00             | 195.00              | 0.00               | 195.00            | 85.0       | 165.75               |
|               | * MUTUAL FUND                |   | OTAL *  | \$6,500.00           | \$195.00            | \$0.00             | \$195.00          |            | \$165.75             |
| PRODUCT CAT   | TEGORY: VARIABLE ANNUITIE    | s   |         |                      |                     |                    |                   |            |                      |
| 04/06/2018    | TRAILS                       | -JOHN HANCOCK   | В       | 0.62                 | 0.62                | 0.00               | 0.62              | 85.0       | 0.53                 |
| 04/02/2018    | TRAILS                       | BRIGHTHOUSE FINANCIAL   | В       | 602.63               | 602.63              | 0.00               | 602.63            | 85.0       | 512.24               |
| 04/04/2018    | TRAILS                       | TRANSAMERICA LIFE INSURANCE   | В       | 60.86                | 60.86               | 0.00               | 60.86             | 85.0       | 51.73                |
|               |                              | * VARIABLE ANNUITIES SUBT   | OTAL *  | \$664.11             | \$664.11            | \$0.00             | \$664.11          |            | \$564.50             |
| PRODUCT CAT   | TEGORY: RIA                  |   |         |                      |                     |                    |                   |            |                      |
| 04/17/2018 1  | 0256896 SCHEEL, ROBERT OTTO  | SIGNAL SECURITIES RIA   | В       | 0.00                 | 162.00              | 0.00               | 162.00            | 85.0       | 137.70               |
| 04/17/2018 1  | 5256511 REEDUS, JUDITH C.    | SIGNAL SECURITIES RIA   | В       | 0.00                 | 187.33              | 0.00               | 187.33            | 85.0       | 159.23               |
|               | 7927270 DRISCOLL, MARY T     | SIGNAL SECURITIES RIA   | В       | 0.00                 | 402.00              | 0.00               | 402.00            | 85.0       | 341.70               |
| 04/17/2018 2  | 1902050 SCHEEL, ROBERT OTTO  | SIGNAL SECURITIES RIA   | В       | 0.00                 | 72.67               | 0.00               | 72.67             | 85.0       | 61.77                |
| 04/17/2018 3  | 1998944 SCHUPBACH, GARY      | SIGNAL SECURITIES RIA   | В       | 0.00                 | 266.67              | 0.00               | 266.67            | 85.0       | 226.67               |
| 04/17/2018 3  | 7333599 GASSETT, MARVIN G    | SIGNAL SECURITIES RIA   | В       | 0.00                 | 323.67              | 0.00               | 323.67            | 85.0       | 275.12               |
| 04/17/2018 3  | 7694810 ERWIN, LLOYD H       | SIGNAL SECURITIES RIA   | В       | 0.00                 | 384.00              | 0.00               | 384.00            | 85.0       | 326.40               |
| 04/17/2018 4  | 6809730 MATHERS, KATIE LOUIS | E SIGNAL SECURITIES RIA   | В       | 0.00                 | 142.33              | 0.00               | 142.33            | 85.0       | 120.98               |
| 04/17/2018 6  | 6936158 MATHERS, WILLIAM     | SIGNAL SECURITIES RIA   | В       | 0.00                 | 307.00              | 0.00               | 307.00            | 85.0       | 260.95               |
| H             | ENRY                         |   |         |                      |                     |                    |                   |            |                      |
| 04/17/2018 7  | 7895558 REEDUS, JUDITH C.    | SIGNAL SECURITIES RIA   | В       | 0.00                 | 101.33              | 0.00               | 101.33            | 85.0       | 86.13                |
| 04/17/2018 8  | 0993501 SCHUPBACH, GARY      | SIGNAL SECURITIES RIA   | В       | 0.00                 | 171.33              | 0.00               | 171.33            | 85.0       | 145.63               |
| 04/17/2018 8  | 7004923 DRISCOLL, MARY T     | SIGNAL SECURITIES RIA   | В       | 0.00                 | 395.33              | 0.00               | 395.33            | 85.0       | 336.03               |
| 04/17/2018 9  | 4148958 DRISCOLL, JAMES P    | SIGNAL SECURITIES RIA   | В       | 0.00                 | 261.33              | 0.00               | 261.33            | 85.0       | 222.13               |
|               | * RIA SUBTOTAL *             |   | \$0.00  | \$3,176.99           | \$0.00              | \$3,176.99         |                   | \$2,700.44 |                      |
| PRODUCT CAT   | TEGORY: MUTUAL FUND 12B1     | TRAILS  |         |                      |                     |                    |                   |            |                      |
| 05/01/2018 TI | RAIL COMMISSIONS             | JOHN HANCOCK FEES   |         | 0.00                 | 112.26              | 0.00               | 112.26            | 85.0       | 95.42                |
| 05/01/2018 T  | RAIL COMMISSIONS             | WM GROUP OF FUNDS FEES  |         | 0.00                 | 514.44              | 0.00               | 514.44            | 85.0       | 437.29               |
|               |                              | * MUTUAL FUND 12B1 TRAILS SUBTOTAL *  *** BROKER TRANSACTIONS TOTAL *** |         | \$0.00               | \$626.70            | \$0.00             | \$626.70          |            | \$532.71             |
|               |                              |   |         | \$7,164.11           | \$4,662.80          | \$0.00             | \$4,662.80        | ı          | \$3,963.40           |

| COMMISSION STATEMENT for WILLIAM B. DRISCOLL |        |                          |              |                      |                     |                    |                   | rage No. Z |                      |
|--|--------|--------------------------|--------------|----------------------|---------------------|--------------------|-------------------|------------|----------------------|
| TRADE DATE                                   | CLIENT | INVESTMENT               | B/S          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE       | BROKER<br>COMMISSION |
|  |        |                          | ADJUSTME     | NTS                  |                     |                    |                   |            |                      |
| 05/01/2018                                   |        | TECH: MONTHLY TECH SERVI | CES          | 0.00                 | 0.00                | 0.00               | 0.00              |            | -27.50               |
|  |        | *** ADJUSTMEN            | TS TOTAL *** | \$0.00               | \$0.00              | \$0.00             | \$0.00            |            | \$-27.50             |
|  |        |                          |              |                      |                     | E                  | BROKER COMMI      | SSION      | TOTALS               |
|  |        |                          |              |                      |                     | Broke:             | r Transactions    |            | \$3,963.40           |
|  |        |                          |              |                      |                     | Spli               | t Transactions    |            | \$0.00               |
|  |        |                          |              |                      |                     | Overrid            | e Transactions    |            | \$0.00               |
|  |        |                          |              |                      |                     |                    | Adjustments       |            | \$-27.50             |
|  |        |                          |              |                      |                     |                    | Payroll Draw      |            | \$0.00               |
|  |        |                          |              |                      |                     |                    | Base Salary       |            | \$0.00               |
|  |        |                          |              |                      |                     | Prior 1            | Period Balance    |            | \$0.00               |
|  |        |                          |              |                      |                     |                    | Check Amount      |            | \$3,935.90           |

Year-to-date Earnings

\$17,877.75