



COMMISSION STATEMENT

July 30, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/23/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	CALVERT GLOBAL WATER FUND CLASS A SELL 1448 Units @20.0200	28,997.43	0.00	18.00	-18.00	100.0	-18.00
07/26/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	CALVERT GLOBAL WATER FUND CLASS A SELL 253 Units @20.2500	5,122.78	0.00	18.00	-18.00	100.0	-18.00
07/26/2018	***-**-09IN - BROWN, CHARLES ACCOUNT #: LSR012779	CALVERT GLOBAL WATER FUND CLASS A SELL 406 Units @20.2500	8,212.91	0.00	18.00	-18.00	100.0	-18.00
07/26/2018	***-**-160I - BROWN, DEANNA ACCOUNT #: LSR012780	CALVERT GLOBAL WATER FUND CLASS A SELL 471 Units @20.2500	9,531.55	0.00	18.00	-18.00	100.0	-18.00
07/23/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	FIDELITY ADV CONSUMER DISCRETIONARY SELL 1022 Units @28.5600	29,202.46	0.00	18.00	-18.00	100.0	-18.00
07/26/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	FIDELITY ADV CONSUMER DISCRETIONARY SELL 877 Units @28.5200	25,000.00	0.00	18.00	-18.00	100.0	-18.00
07/26/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	THE HARTFORD GLOBAL HEALTH FUND-A SELL 564 Units @37.2100	20,999.98	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$127,067.11	\$0.00	\$126.00	\$-126.00		\$-126.00
<u>PRODUCT CATEGORY: STOCKS</u>								
07/25/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CLEVELAND CLIFFS INC COM BUY 300 Units @10.6350	3,190.50	60.00	21.00	39.00	85.0	33.15
07/25/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CLEVELAND CLIFFS INC COM BUY 100 Units @10.6350	1,063.50	20.00	2.00	18.00	85.0	15.30
07/25/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CLEVELAND CLIFFS INC COM BUY 100 Units @10.6350	1,063.50	20.00	2.00	18.00	85.0	15.30
07/25/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CLEVELAND CLIFFS INC COM BUY 500 Units @10.6350	5,317.50	100.00	10.00	90.00	85.0	76.50
07/25/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	CLEVELAND CLIFFS INC COM BUY 1000 Units @10.6160	10,616.00	200.00	35.00	165.00	85.0	140.25
07/25/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	CLEVELAND CLIFFS INC COM BUY 1000 Units @10.6350	10,635.00	200.00	35.00	165.00	85.0	140.25
07/25/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	CLEVELAND CLIFFS INC COM BUY 1000 Units @10.6200	10,620.00	200.00	35.00	165.00	85.0	140.25
07/25/2018	***-**-324I - MCGHEE, TERRY ACCOUNT #: LSR012649	CLEVELAND CLIFFS INC COM BUY 100 Units @10.6350	1,063.50	20.00	17.00	3.00	85.0	2.55



COMMISSION STATEMENT

July 30, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
07/25/2018	***-**-324I - MCGHEE, TERRY	CLEVELAND CLIFFS INC COM	2,127.00	40.00	4.00	36.00	85.0	30.60
	ACCOUNT #: LSR012649	BUY 200 Units @10.6350						
07/25/2018	***-**-324I - MCGHEE, TERRY	CLEVELAND CLIFFS INC COM	1,063.50	20.00	2.00	18.00	85.0	15.30
	ACCOUNT #: LSR012649	BUY 100 Units @10.6350						
07/25/2018	***-**-324I - MCGHEE, TERRY	CLEVELAND CLIFFS INC COM	5,320.00	100.00	10.00	90.00	85.0	76.50
	ACCOUNT #: LSR012649	BUY 500 Units @10.6400						
07/25/2018	***-**-324I - MCGHEE, TERRY	CLEVELAND CLIFFS INC COM	1,063.50	20.00	2.00	18.00	85.0	15.30
	ACCOUNT #: LSR012649	BUY 100 Units @10.6350						
07/26/2018	***-**-496I - MCCOY, SHERIANNE	FACEBOOK INC COM USD0.000006 CL A	17,598.00	300.00	17.00	283.00	85.0	240.55
	ACCOUNT #: LSR012469	SELL 100 Units @175.9800						
07/26/2018	***-**-2267 - WIEBLER, STEVE	FLOWERVE CORP	8,658.00	125.00	19.00	106.00	85.0	90.10
	ACCOUNT #: LSR012606	SELL 200 Units @43.2900						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	1,571.50	27.50	17.00	10.50	85.0	8.93
	ACCOUNT #: LSR012469	BUY 100 Units @15.7150						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	2,797.27	48.95	3.56	45.39	85.0	38.58
	ACCOUNT #: LSR012469	BUY 178 Units @15.7150						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	3,928.75	68.75	5.00	63.75	85.0	54.19
	ACCOUNT #: LSR012469	BUY 250 Units @15.7150						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	1,571.50	27.50	2.00	25.50	85.0	21.68
	ACCOUNT #: LSR012469	BUY 100 Units @15.7150						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	1,571.50	27.50	2.00	25.50	85.0	21.68
	ACCOUNT #: LSR012469	BUY 100 Units @15.7150						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	3,143.00	55.00	4.00	51.00	85.0	43.35
	ACCOUNT #: LSR012469	BUY 200 Units @15.7150						
07/25/2018	***-**-496I - MCCOY, SHERIANNE	NCI BUILDING SYS INC COM NEW	1,131.48	19.80	1.44	18.36	85.0	15.61
	ACCOUNT #: LSR012469	BUY 72 Units @15.7150						
07/25/2018	***-**-879X - WELCH, KELLY	NCI BUILDING SYS INC COM NEW	15,725.00	275.00	35.00	240.00	85.0	204.00
	ACCOUNT #: LSR012710	BUY 1000 Units @15.7250						
07/25/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	1,577.50	27.50	17.00	10.50	85.0	8.93
	ACCOUNT #: LSR012773	BUY 100 Units @15.7750						
07/25/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	14,187.60	247.50	18.00	229.50	85.0	195.08
	ACCOUNT #: LSR012773	BUY 900 Units @15.7640						



COMMISSION STATEMENT

July 30, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
07/24/2018	***-**-85IR - LINCOLN, LEROY	NUVEEN NASDAQ 100 DYNAMIC OVER COM	18,441.99	300.00	29.60	270.40	85.0	229.84
	ACCOUNT #: LSR012773	SELL 730 Units @25.2630						
07/23/2018	***-**-85IR - LINCOLN, LEROY	OAKTREE SPECIALTY LENDING CORP COM	5,692.80	93.07	39.00	54.07	85.0	45.96
	ACCOUNT #: LSR012773	SELL 1200 Units @4.7440						
07/26/2018	***-**-160I - BROWN, DEANNA	OAKTREE SPECIALTY LENDING CORP COM	1,906.00	61.90	23.00	38.90	85.0	33.07
	ACCOUNT #: LSR012780	SELL 400 Units @4.7650						
07/26/2018	***-**-496I - MCCOY, SHERIANNE	PENN NATL GAMING INC	16,765.00	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012469	BUY 500 Units @33.5300						
07/26/2018	***-**-85IR - LINCOLN, LEROY	PENN NATL GAMING INC	16,725.00	200.00	25.00	175.00	85.0	148.75
	ACCOUNT #: LSR012773	BUY 500 Units @33.4500						
07/26/2018	***-**-909I - BROWN, CHARLES	SECTOR SPDR TR SHS BEN INT CONSUMER	6,049.46	61.15	17.26	43.89	85.0	37.31
	ACCOUNT #: LSR012778	SELL 113 Units @53.5350						
07/24/2018	***-**-85IR - LINCOLN, LEROY	SELECT SECTOR SPDR TR CONSUMER DISC	22,416.80	350.00	19.00	331.00	85.0	281.35
	ACCOUNT #: LSR012773	SELL 200 Units @112.0840						
07/24/2018	***-**-85IR - LINCOLN, LEROY	SIRIUS XM HLDGS INC COM UNSOLICITED	2,110.50	40.00	18.00	22.00	85.0	18.70
	ACCOUNT #: LSR012773	SELL 300 Units @7.0350						
07/24/2018	***-**-85IR - LINCOLN, LEROY	SIRIUS XM HLDGS INC COM UNSOLICITED	2,814.00	53.33	0.00	53.33	85.0	45.33
	ACCOUNT #: LSR012773	SELL 400 Units @7.0350						
07/24/2018	***-**-85IR - LINCOLN, LEROY	SIRIUS XM HLDGS INC COM UNSOLICITED	5,632.00	106.67	0.00	106.67	85.0	90.67
	ACCOUNT #: LSR012773	SELL 800 Units @7.0400						
* STOCKS SUBTOTAL *			\$225,158.15	\$3,716.12	\$511.86	\$3,204.26		\$2,723.66

PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS

07/26/2018	***-**-909I - BROWN, CHARLES	FERRELLGAS PARTNERS L P UNIT LTD PA	6,174.00	125.00	24.00	101.00	85.0	85.85
	ACCOUNT #: LSR012778	SELL 2000 Units @3.0870						
07/24/2018	***-**-496I - MCCOY, SHERIANNE	HI-CRUSH PARTNERS LP COM UNIT LTD S	17,228.75	250.00	24.00	226.00	85.0	192.10
	ACCOUNT #: LSR012469	SELL 1250 Units @13.7830						
07/24/2018	***-**-03TR - NEAL TRUST,	HI-CRUSH PARTNERS LP COM UNIT LTD S	17,283.75	250.00	24.00	226.00	85.0	192.10
	ACCOUNT #: LSR012505	SELL 1250 Units @13.8270						
07/24/2018	***-**-691I - HEINZ, MARK	HI-CRUSH PARTNERS LP COM UNIT LTD S	17,283.75	200.00	24.00	176.00	85.0	149.60
	ACCOUNT #: LSR012513	SELL 1250 Units @13.8270						
07/24/2018	***-**-042I - HEINZ, CHERI	HI-CRUSH PARTNERS LP COM UNIT LTD S	13,776.00	150.00	24.00	126.00	85.0	107.10
	ACCOUNT #: LSR012514	SELL 1000 Units @13.7760						



COMMISSION STATEMENT

July 30, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
07/24/2018	***--168I - JONES, TERI	HI-CRUSH PARTNERS LP COM UNIT LTD S	13,767.00	150.00	24.00	126.00	85.0	107.10
	ACCOUNT #: LSR012588	SELL 1000 Units @13.7670						
07/24/2018	***--324I - MCGHEE, TERRY	HI-CRUSH PARTNERS LP COM UNIT LTD S	13,767.00	150.00	24.00	126.00	85.0	107.10
	ACCOUNT #: LSR012649	SELL 1000 Units @13.7670						
07/24/2018	***--879X - WELCH, KELLY	HI-CRUSH PARTNERS LP COM UNIT LTD S	17,210.00	200.00	24.00	176.00	85.0	149.60
	ACCOUNT #: LSR012710	SELL 1250 Units @13.7680						
07/23/2018	***--85IR - LINCOLN, LEROY	VEREIT INC COM UNSOLICITED ORDER	740.50	42.09	24.00	18.09	85.0	15.38
	ACCOUNT #: LSR012773	SELL 100 Units @7.4050						
07/23/2018	***--85IR - LINCOLN, LEROY	VEREIT INC COM UNSOLICITED ORDER	740.50	12.59	0.00	12.59	85.0	10.70
	ACCOUNT #: LSR012773	SELL 100 Units @7.4050						
07/23/2018	***--85IR - LINCOLN, LEROY	VEREIT INC COM UNSOLICITED ORDER	2,962.40	30.15	0.00	30.15	85.0	25.63
	ACCOUNT #: LSR012773	SELL 400 Units @7.4060						
	* UNIT INVESTMENT TRUSTS SUBTOTAL *		\$120,933.65	\$1,559.83	\$216.00	\$1,343.83		\$1,142.26
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/31/2018	***--447I - HEINZ-OTTE,	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	3.18	0.00	3.18	85.0	2.70
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
07/31/2018	***--03TR - NEAL TRUST,	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	8.16	0.00	8.16	85.0	6.94
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
07/31/2018	***--825J - BARR, JANET	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	8.16	0.00	8.16	85.0	6.94
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
07/31/2018	***--189I - VITULLO,	FEDERATED HIGH INCOME BOND FUND	0.00	2.88	0.00	2.88	85.0	2.45
	ACCOUNT #: LSR012651	BUY 1 Units @0.0000						
07/31/2018	***--54IO - FRIES, SHARON	FEDERATED MDT SMALL CAP CORE FUND	0.00	5.89	0.00	5.89	85.0	5.01
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
07/31/2018	***--691I - HEINZ, MARK	FEDERATED MDT SMALL CAP CORE FUND	0.00	7.32	0.00	7.32	85.0	6.22
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						
07/31/2018	***--879X - WELCH, KELLY	FEDERATED MDT SMALL CAP CORE FUND	0.00	2.28	0.00	2.28	85.0	1.94
	ACCOUNT #: LSR012710	BUY 1 Units @0.0000						
07/31/2018	***--879I - WELCH, KELLY	FEDERATED MDT SMALL CAP CORE FUND	0.00	0.30	0.00	0.30	85.0	0.26
	ACCOUNT #: LSR012712	BUY 1 Units @0.0000						
07/31/2018	***--703I - RHODE, SUSAN	FEDERATED MDT SMALL CAP GROWTH	0.00	2.30	0.00	2.30	85.0	1.96
	ACCOUNT #: LSR012463	BUY 1 Units @0.0000						



COMMISSION STATEMENT

July 30, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	FEDERATED MDT SMALL CAP GROWTH BUY 1 Units @0.0000	0.00	5.12	0.00	5.12	85.0	4.35
07/31/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	GLOBAL OPPORTUNITIES A BUY 1 Units @0.0000	0.00	7.76	0.00	7.76	85.0	6.60
07/31/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	GOLDMAN SACHS RISING DIVIDEND GROWT BUY 1 Units @0.0000	0.00	11.49	0.00	11.49	85.0	9.77
07/31/2018	***-**-15TR - REV, ALBERT ACCOUNT #: LSR012573	NUVEEN HIGH YIELD MUNI CLASS A BUY 1 Units @0.0000	0.00	8.46	0.00	8.46	85.0	7.19
07/31/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	PACIFIC FUNDS HIGH INCOME A BUY 1 Units @0.0000	0.00	5.97	0.00	5.97	85.0	5.07
07/31/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	PACIFIC FUNDS HIGH INCOME A BUY 1 Units @0.0000	0.00	9.84	0.00	9.84	85.0	8.36
07/31/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	PACIFIC FUNDS SMALL/ MID CAP A BUY 1 Units @0.0000	0.00	6.23	0.00	6.23	85.0	5.30
07/31/2018	***-**-92IN - REEDER, BONNIE ACCOUNT #: LSR012521	PACIFIC FUNDS SMALL/ MID CAP A BUY 1 Units @0.0000	0.00	4.37	0.00	4.37	85.0	3.71
07/31/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	7.93	0.00	7.93	85.0	6.74
07/31/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	9.84	0.00	9.84	85.0	8.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$117.48	\$0.00	\$117.48		\$99.87
*** BROKER TRANSACTIONS TOTAL ***			\$473,158.91	\$5,393.43	\$853.86	\$4,539.57		\$3,839.79



BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
						Broker Transactions		\$3,839.79
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$3,839.79
						Year-to-date Earnings		\$114,865.74