

## COMMISSION STATEMENT

February 28, 2018

EZRA GRAYMAN  
7 EDGEWOOD AVEBROKER #: 27  
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/31/2018	QGV005937	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	215,719.70	1,085.00	10.00	1,075.00	50.0	537.50
01/31/2018	QGV005937	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	-215,719.70	-1,085.00	-10.00	-1,075.00	50.0	-537.50 C
02/16/2018	QGV006190	LION NANO DIMENSION LTD SPONS ADR ISIN# U B		13,878.80	65.00	10.00	55.00	50.0	27.50
02/16/2018	QGV006190	LION NANO DIMENSION LTD SPONS ADR ISIN# U S		-14,625.00	65.00	10.00	55.00	50.0	27.50
01/30/2018	QGV006216	LION CYMABAY THERAPEUTICS INC COM	S	-56,750.00	50.00	10.00	40.00	50.0	20.00
01/30/2018	QGV006216	LION ULTRA CLEAN HLDGS INC COM	S	-221,123.00	200.00	10.00	190.00	50.0	95.00
01/31/2018	QGV006216	LION EMAGIN CORP COM NEW	S	-13,500.00	100.00	10.00	90.00	50.0	45.00
01/31/2018	QGV006216	LION SYROS PHARMACEUTICALS INC COM	S	-9,550.00	20.00	10.00	10.00	50.0	5.00
01/31/2018	QGV006216	LION ULTRA CLEAN HLDGS INC COM	B	223,737.00	100.00	10.00	90.00	50.0	45.00
02/01/2018	QGV006216	LION CORPORACION AMERICA AIRPORTS S A RE S		-739,500.00	200.00	10.00	190.00	50.0	95.00
02/01/2018	QGV006216	LION FUSION TELECOMMUNICATIONS INTL INC S		-174,311.70	250.00	10.00	240.00	50.0	120.00
02/01/2018	QGV006216	LION HUDSON LTD REGISTERED SHS -A- ISIN# S		-74,375.00	42.50	10.00	32.50	50.0	16.25
02/01/2018	QGV006216	LION HUDSON LTD REGISTERED SHS -A- ISIN# S		-52,500.00	30.00	10.00	20.00	50.0	10.00
02/01/2018	QGV006216	LION ONCOSEC MED INC COM NEW	S	-15,538.00	100.00	10.00	90.00	50.0	45.00
02/01/2018	QGV006216	LION ONE STOP SYS INC COM	S	-37,500.00	75.00	10.00	65.00	50.0	32.50
02/01/2018	QGV006216	LION SEATTLE GENETICS INC	S	-56,000.00	20.00	10.00	10.00	50.0	5.00
02/01/2018	QGV006216	LION VICI PPTYS INC COM	S	-94,860.00	45.00	10.00	35.00	50.0	17.50
02/01/2018	QGV006216	LION VICI PPTYS INC COM	S	-10,540.00	20.00	10.00	10.00	50.0	5.00
02/02/2018	QGV006216	LION ADVANCED MICRO DEVICES INC	B	950,752.50	250.00	10.00	240.00	50.0	120.00
02/02/2018	QGV006216	LION FTS INTL INC COM	S	-9,500.00	20.00	10.00	10.00	50.0	5.00
02/02/2018	QGV006216	LION FTS INTL INC COM	S	-17,100.00	20.00	10.00	10.00	50.0	5.00
02/02/2018	QGV006216	LION FUSION TELECOMMUNICATIONS INTL INC S		-80,950.00	150.00	10.00	140.00	50.0	70.00
02/02/2018	QGV006216	LION VIKING THERAPEUTICS INC COM	S	-68,266.96	124.00	10.00	114.00	50.0	57.00
02/07/2018	QGV006216	LION ADVANCED MICRO DEVICES INC	B	582,945.00	200.00	10.00	190.00	50.0	95.00
02/07/2018	QGV006216	LION ADVANCED MICRO DEVICES INC	S	-293,250.00	100.00	10.00	90.00	50.0	45.00
02/07/2018	QGV006216	LION LEAF GROUP LTD COM	S	-48,772.79	123.88	10.00	113.88	50.0	56.94
02/08/2018	QGV006216	LION ADVERUM BIOTECHNOLOGIES INC COM	S	-7,361.10	20.00	10.00	10.00	50.0	5.00
02/08/2018	QGV006216	LION CACTUS INC CL A	S	-485,300.00	115.00	10.00	105.00	50.0	52.50
02/08/2018	QGV006216	LION CACTUS INC CL A	S	-21,100.00	20.00	10.00	10.00	50.0	5.00
02/08/2018	QGV006216	LION EVERSIN TECHNOLOGIES INC COM	S	-361,404.75	200.00	10.00	190.00	50.0	95.00
02/08/2018	QGV006216	LION GEMPHIRE THERAPEUTICS INC COM	S	-65,013.00	100.00	10.00	90.00	50.0	45.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
02/08/2018	QGV006216 LION	HUAMI CORP ADS ISIN# US44331K1034	S	-8,600.00	20.00	10.00	10.00	50.0	5.00
02/08/2018	QGV006216 LION	LEAF GROUP LTD COM	B	46,462.43	61.94	10.00	51.94	50.0	25.97
02/08/2018	QGV006216 LION	MEDICINOVA INC	S	-318,963.75	200.00	10.00	190.00	50.0	95.00
02/08/2018	QGV006216 LION	MUDRICK CAP ACQUISITION CORP UNIT 1	S	-50,000.00	50.00	10.00	40.00	50.0	20.00
02/08/2018	QGV006216 LION	VICTORY CAP HLDGS INC DEL CL A	S	-209,475.00	171.00	10.00	161.00	50.0	80.50
02/08/2018	QGV006216 LION	VIVEVE MED INC COM NEW	S	-18,026.50	50.00	10.00	40.00	50.0	20.00
02/09/2018	QGV006216 LION	CADENCE BANCORPORATION CL A	S	-284,587.60	110.00	10.00	100.00	50.0	50.00
02/09/2018	QGV006216 LION	CARDLYTICS INC COM	S	-12,100.00	20.00	10.00	10.00	50.0	5.00
02/09/2018	QGV006216 LION	CTI BIOPHARMA CORP COM NEW	S	-14,625.00	45.00	10.00	35.00	50.0	17.50
02/09/2018	QGV006216 LION	MIRAGEN THERAPEUTICS INC COM	S	-26,493.00	50.00	10.00	40.00	50.0	20.00
02/09/2018	QGV006216 LION	QUINTANA ENERGY SVCS INC COM	S	-5,250.00	20.00	10.00	10.00	50.0	5.00
02/09/2018	QGV006216 LION	SAGE THERAPEUTICS INC COM	B	153,125.00	20.00	10.00	10.00	50.0	5.00
02/09/2018	QGV006216 LION	TANDEM DIABETES CARE INC COM NEW	S	-231,760.00	500.00	10.00	490.00	50.0	245.00
02/12/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-467,600.00	200.00	10.00	190.00	50.0	95.00
02/12/2018	QGV006216 LION	SAGE THERAPEUTICS INC COM	S	-159,000.00	20.00	10.00	10.00	50.0	5.00
02/13/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-468,200.00	200.00	10.00	190.00	50.0	95.00
02/13/2018	QGV006216 LION	AFFIMED N V COM ISIN#NL0010872420	S	-10,750.00	50.00	10.00	40.00	50.0	20.00
02/13/2018	QGV006216 LION	HELIOS & MATHESON ANALYTICS INC COM	S	-28,500.00	50.00	10.00	40.00	50.0	20.00
02/13/2018	QGV006216 LION	LEO HLDGS CORP UNIT 1 CL A & 1/3 WT	S	-37,000.00	37.00	10.00	27.00	50.0	13.50
02/14/2018	QGV006216 LION	BIOFRONTERA AG ADR ISIN#US09075G105	S	-9,048.00	34.80	10.00	24.80	50.0	12.40
02/14/2018	QGV006216 LION	BIOFRONTERA AG ADR ISIN#US09075G105	B	6,876.48	275.06	10.00	265.06	50.0	132.53
02/14/2018	QGV006216 LION	NEW RESIDENTIAL INVESTMENT CORP	S	-167,600.00	100.00	10.00	90.00	50.0	45.00
02/14/2018	QGV006216 LION	PIERIS PHARMACEUTICALS INC COM SHS	S	-41,000.00	50.00	10.00	40.00	50.0	20.00
02/15/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	250,600.00	100.00	10.00	90.00	50.0	45.00
02/15/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-608,540.00	100.00	10.00	90.00	50.0	45.00
02/15/2018	QGV006216 LION	AVID BIOSERVICES INC COM	S	-8,128.05	35.00	10.00	25.00	50.0	12.50
02/15/2018	QGV006216 LION	AVID BIOSERVICES INC COM	B	5,875.00	25.00	10.00	15.00	50.0	7.50
02/15/2018	QGV006216 LION	DASEKE INC COMMON STOCK	S	-374,877.00	345.00	10.00	335.00	50.0	167.50
02/16/2018	QGV006216 LION	INSTRUCTURE INC COM	S	-4,100.00	20.00	10.00	10.00	50.0	5.00
02/16/2018	QGV006216 LION	NANO DIMENSION LTD SPONS ADR ISIN#U	S	-168,112.50	500.00	10.00	490.00	50.0	245.00
02/20/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	905,002.50	250.00	10.00	240.00	50.0	120.00
02/20/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-303,000.00	100.00	10.00	90.00	50.0	45.00
02/22/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	239,400.00	100.00	10.00	90.00	50.0	45.00
02/22/2018	QGV006216 LION	ADVAXIS INC COM NEW	S	-27,039.60	100.00	10.00	90.00	50.0	45.00
02/22/2018	QGV006216 LION	AIMMUNE THERAPEUTICS INC COM	S	-34,250.00	20.00	10.00	10.00	50.0	5.00
02/22/2018	QGV006216 LION	ASCENDIS PHARMA A/S SPONSORED ADR	S	-41,587.49	20.00	10.00	10.00	50.0	5.00
02/22/2018	QGV006216 LION	ASCENDIS PHARMA A/S SPONSORED ADR	S	-11,882.14	20.00	10.00	10.00	50.0	5.00
02/23/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	483,400.00	200.00	10.00	190.00	50.0	95.00
02/23/2018	QGV006216 LION	DOVA PHARMACEUTICALS INC COM	S	-33,000.00	20.00	10.00	10.00	50.0	5.00
02/23/2018	QGV006216 LION	RING ENERGY INC COM	S	-154,213.40	110.00	10.00	100.00	50.0	50.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/23/2018	QGV006216	LION		RING ENERGY INC COM	S	-5,607.76	20.00	10.00	10.00 50.0 5.00
02/26/2018	QGV006216	LION		ADVANCED MICRO DEVICES INC	S	-122,500.00	100.00	10.00	90.00 50.0 45.00
02/26/2018	QGV006216	LION		ADVANCED MICRO DEVICES INC	B	609,345.00	200.00	10.00	190.00 50.0 95.00
02/26/2018	QGV006216	LION		NANO DIMENSION LTD SPONS ADR ISIN#U S		-56,250.00	150.00	10.00	140.00 50.0 70.00
01/30/2018	QGV006224	DAVIS FAMILY OFFICE,		MTECH ACQUISITION CORP UNIT 1 CL A	S	-10,010.00	20.00	10.00	10.00 50.0 5.00
01/31/2018	QGV006224	DAVIS FAMILY OFFICE,		CERUS CORP COM	S	-4,480.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		CORPORACION AMERICA AIRPORTS S A RE S		-8,500.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		HUDSON LTD REGISTERED SHS -A- ISIN# S		-7,000.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		HUDSON LTD REGISTERED SHS -A- ISIN# S		-8,750.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		ONCOSEC MED INC COM NEW	S	-10,099.70	65.00	10.00	55.00 50.0 27.50
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		ONE STOP SYS INC COM	S	-1,500.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		SEATTLE GENETICS INC	S	-44,800.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		SOL-GEL TECHNOLOGIES LTD SHS ISIN# S		-6,132.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		VICI PPTYS INC COM	S	-16,864.00	20.00	10.00	10.00 50.0 5.00
02/01/2018	QGV006224	DAVIS FAMILY OFFICE,		VICI PPTYS INC COM	S	-71,672.00	34.00	10.00	24.00 50.0 12.00
02/02/2018	QGV006224	DAVIS FAMILY OFFICE,		FTS INTL INC COM	S	-1,900.00	20.00	10.00	10.00 50.0 5.00
02/02/2018	QGV006224	DAVIS FAMILY OFFICE,		VIKING THERAPEUTICS INC COM	S	-8,258.10	20.00	10.00	10.00 50.0 5.00
02/05/2018	QGV006224	DAVIS FAMILY OFFICE,		ADVANCED MICRO DEVICES INC	S	-302,520.00	100.00	10.00	90.00 50.0 45.00
02/05/2018	QGV006224	DAVIS FAMILY OFFICE,		ADVANCED MICRO DEVICES INC	B	898,725.00	200.00	10.00	190.00 50.0 95.00
02/06/2018	QGV006224	DAVIS FAMILY OFFICE,		ADVANCED MICRO DEVICES INC	B	348,201.00	150.00	10.00	140.00 50.0 70.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		ADVERUM BIOTECHNOLOGIES INC COM	S	-58,888.80	80.00	10.00	70.00 50.0 35.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		CACTUS INC CL A	S	-3,165.00	20.00	10.00	10.00 50.0 5.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		CACTUS INC CL A	S	-6,330.00	20.00	10.00	10.00 50.0 5.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		EVERSPIN TECHNOLOGIES INC COM	S	-37,861.45	55.00	10.00	45.00 50.0 22.50
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		GEMPHIRE THERAPEUTICS INC COM	S	-37,024.35	55.00	10.00	45.00 50.0 22.50
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		MEDICINOVA INC	B	452,500.00	16,290.00	10.00	16,280.00 50.0 8,140.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		MEDICINOVA INC	S	-85,057.00	200.00	10.00	190.00 50.0 95.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		MEDICINOVA INC	S	-442,296.40	200.00	10.00	190.00 50.0 95.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		MUDRICK CAP ACQUISITION CORP UNIT 1 S		-70,000.00	70.00	10.00	60.00 50.0 30.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		MUDRICK CAP ACQUISITION CORP UNIT 1 S		-30,000.00	30.00	10.00	20.00 50.0 10.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		VICTORY CAP HLDGS INC DEL CL A	S	-23,275.00	20.00	10.00	10.00 50.0 5.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		VICTORY CAP HLDGS INC DEL CL A	S	-6,125.00	20.00	10.00	10.00 50.0 5.00
02/08/2018	QGV006224	DAVIS FAMILY OFFICE,		VIVEVE MED INC COM NEW	S	-18,026.50	50.00	10.00	40.00 50.0 20.00
02/09/2018	QGV006224	DAVIS FAMILY OFFICE,		CADENCE BANCORPORATION CL A	S	-36,220.24	20.00	10.00	10.00 50.0 5.00
02/09/2018	QGV006224	DAVIS FAMILY OFFICE,		CTI BIOPHARMA CORP COM NEW	S	-2,275.00	20.00	10.00	10.00 50.0 5.00
02/09/2018	QGV006224	DAVIS FAMILY OFFICE,		CTI BIOPHARMA CORP COM NEW	S	-3,250.00	20.00	10.00	10.00 50.0 5.00
02/09/2018	QGV006224	DAVIS FAMILY OFFICE,		MIRAGEN THERAPEUTICS INC COM	S	-10,597.20	20.00	10.00	10.00 50.0 5.00
02/09/2018	QGV006224	DAVIS FAMILY OFFICE,		SAGE THERAPEUTICS INC COM	B	153,125.00	20.00	10.00	10.00 50.0 5.00
02/12/2018	QGV006224	DAVIS FAMILY OFFICE,		SAGE THERAPEUTICS INC COM	S	-159,000.00	20.00	10.00	10.00 50.0 5.00
02/13/2018	QGV006224	DAVIS FAMILY OFFICE,		PACIFIC BIOSCIENCES OF CALIFORNIA I S		-3,525.00	20.00	10.00	10.00 50.0 5.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/14/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	S	-235,000.00	100.00	10.00	90.00	50.0	45.00
02/14/2018	QGV006224	DAVIS FAMILY OFFICE, RA PHARMACEUTICALS INC COM	S	-3,071.00	20.00	10.00	10.00	50.0	5.00
02/15/2018	QGV006224	DAVIS FAMILY OFFICE, ADVANCED MICRO DEVICES INC	B	250,600.00	100.00	10.00	90.00	50.0	45.00
02/15/2018	QGV006224	DAVIS FAMILY OFFICE, AVID BIOSERVICES INC COM	S	-9,289.20	40.00	10.00	30.00	50.0	15.00
02/15/2018	QGV006224	DAVIS FAMILY OFFICE, DASEKE INC COMMON STOCK	S	-115,179.60	106.00	10.00	96.00	50.0	48.00
02/15/2018	QGV006224	DAVIS FAMILY OFFICE, OBALON THERAPEUTICS INC COM	S	-9,575.04	24.00	10.00	14.00	50.0	7.00
02/20/2018	QGV006224	DAVIS FAMILY OFFICE, FARMMI INC SHS ISIN# KYG332771073	S	-3,125.00	20.00	10.00	10.00	50.0	5.00
02/21/2018	QGV006224	DAVIS FAMILY OFFICE, SHOPIFY INC CL A ISIN#CA82509L1076	B	204,499.95	20.00	10.00	10.00	50.0	5.00
02/21/2018	QGV006224	DAVIS FAMILY OFFICE, SHOPIFY INC CL A ISIN#CA82509L1076	S	-69,000.00	20.00	10.00	10.00	50.0	5.00
02/21/2018	QGV006224	DAVIS FAMILY OFFICE, SHOPIFY INC CL A ISIN#CA82509L1076	S	-205,500.00	20.00	10.00	10.00	50.0	5.00
02/22/2018	QGV006224	DAVIS FAMILY OFFICE, ASCENDIS PHARMA A/S SPONSORED ADR	S	-23,764.28	20.00	10.00	10.00	50.0	5.00
02/22/2018	QGV006224	DAVIS FAMILY OFFICE, ASCENDIS PHARMA A/S SPONSORED ADR	S	-5,941.07	20.00	10.00	10.00	50.0	5.00
02/23/2018	QGV006224	DAVIS FAMILY OFFICE, RING ENERGY INC COM	S	-21,029.10	20.00	10.00	10.00	50.0	5.00
02/23/2018	QGV006224	DAVIS FAMILY OFFICE, RING ENERGY INC COM	S	-5,607.76	20.00	10.00	10.00	50.0	5.00
02/23/2018	QGV006224	DAVIS FAMILY OFFICE, RING ENERGY INC COM	S	5,607.76	-20.00	-10.00	-10.00	50.0	-5.00 C
02/23/2018	QGV006257	LION CLEARSIGN COMBUSTION CORP COM	S	-25,000.00	100.00	10.00	90.00	50.0	45.00
01/31/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	215,719.70	1,085.00	10.00	1,075.00	50.0	537.50
02/01/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	323,452.50	1,515.00	10.00	1,505.00	50.0	752.50
02/02/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	42,667.59	199.00	10.00	189.00	50.0	94.50
02/05/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	208,230.00	1,000.00	10.00	990.00	50.0	495.00
02/06/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	99,485.00	500.00	10.00	490.00	50.0	245.00
02/08/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	98,500.00	500.00	10.00	490.00	50.0	245.00
02/12/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	97,560.00	500.00	10.00	490.00	50.0	245.00
02/13/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	47,525.00	250.00	10.00	240.00	50.0	120.00
02/14/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	45,832.50	250.00	10.00	240.00	50.0	120.00
02/15/2018	QGV006380	BARCLAYS CAPITAL INC IMAGEWARE SYS INC COM	B	18,500.00	100.00	10.00	90.00	50.0	45.00
01/30/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-100,000.00	120.00	10.00	110.00	50.0	55.00
01/31/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-100,000.00	120.00	10.00	110.00	50.0	55.00
02/05/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-137,500.00	165.00	10.00	155.00	50.0	77.50
02/06/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-62,500.00	75.00	10.00	65.00	50.0	32.50
02/12/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-121,891.42	147.00	10.00	137.00	50.0	68.50
02/13/2018	QGV006414	MERRILL LYNCH PROF IMAGEWARE SYS INC COM	B	47,525.00	250.00	10.00	240.00	50.0	120.00
02/13/2018	QGV006414	MERRILL LYNCH PROF IMAGEWARE SYS INC COM	B	-47,525.00	-250.00	-10.00	-240.00	50.0	-120.00 C
02/15/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-141,972.75	171.00	10.00	161.00	50.0	80.50
02/26/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S	S	-248,750.00	300.00	10.00	290.00	50.0	145.00
02/02/2018	QGW012205	AZRAK, RUBEN CANOPY GROWTH CORP COM ISIN# CA1380	B	30,525.00	125.00	10.00	115.00	50.0	57.50
01/30/2018	QGW014847	ALBANESE, JOHN BARCLAYS BANK PLC IPATH S&P 500 VIX	S	-307,326.00	600.00	10.00	590.00	50.0	295.00
01/30/2018	QGW014847	ALBANESE, JOHN NEWELL BRANDS INC SHS	B	635,857.50	1,500.00	10.00	1,490.00	50.0	745.00
01/30/2018	QGW014847	ALBANESE, JOHN PROSHARES TRUST II PROSHARES ULTRA	S	-91,448.25	450.00	10.00	440.00	50.0	220.00
02/07/2018	QGW014847	ALBANESE, JOHN CHIPOTLE MEXICAN GRILL INC COMMON	S	576,170.49	126.00	10.00	116.00	50.0	58.00

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
02/08/2018	QGW014847	ALBANESE, JOHN		MEDICINOVA INC	B	45,250.00	1,629.00	10.00	1,619.00	50.0	809.50
02/13/2018	QGW014847	ALBANESE, JOHN		CHIPOTLE MEXICAN GRILL INC COMMON S	S	-577,500.00	126.00	10.00	116.00	50.0	58.00
02/14/2018	QGW014847	ALBANESE, JOHN		REALTY INCOME CORP	B	479,103.00	600.00	10.00	590.00	50.0	295.00
02/15/2018	QGW014847	ALBANESE, JOHN		APACHE CORP COMMON	B	472,250.00	750.00	10.00	740.00	50.0	370.00
02/15/2018	QGW014847	ALBANESE, JOHN		REALTY INCOME CORP	S	-484,003.00	600.00	10.00	590.00	50.0	295.00
02/09/2018	QGW015042	GINDI, RANDAL		NEWELL BRANDS INC SHS	B	66,831.00	150.00	10.00	140.00	50.0	70.00
02/09/2018	QGW015042	GINDI, RANDAL		TWITTER INC	S	-151,654.00	300.00	10.00	290.00	50.0	145.00
02/09/2018	QGW015075	RARE COIN GALLERIES, ALPHABET INC CLASS C CAPITAL STOCK	B	202,233.20	100.00	10.00	90.00	50.0	45.00		
02/09/2018	QGW015075	RARE COIN GALLERIES, APACHE CORP COMMON	B	190,550.00	300.00	10.00	290.00	50.0	145.00		
02/09/2018	QGW015075	RARE COIN GALLERIES, AT&T INC	B	178,992.00	300.00	10.00	290.00	50.0	145.00		
02/09/2018	QGW015075	RARE COIN GALLERIES, GENERAL ELECTRIC CO	B	146,987.00	600.00	10.00	590.00	50.0	295.00		
02/09/2018	QGW015075	RARE COIN GALLERIES, VERIZON COMMUNICATIONS	B	148,279.50	180.00	10.00	170.00	50.0	85.00		
02/12/2018	QGW015075	RARE COIN GALLERIES, CBS CORP CLASS B	B	159,807.60	180.00	10.00	170.00	50.0	85.00		
02/12/2018	QGW015075	RARE COIN GALLERIES, GENERAL ELECTRIC CO	S	-148,900.00	600.00	10.00	590.00	50.0	295.00		
02/15/2018	QGW015075	RARE COIN GALLERIES, ALPHABET INC CLASS C CAPITAL STOCK	S	-216,006.00	100.00	10.00	90.00	50.0	45.00		
02/15/2018	QGW015075	RARE COIN GALLERIES, CBS CORP CLASS B	S	-168,154.80	180.00	10.00	170.00	50.0	85.00		
02/16/2018	QGW015075	RARE COIN GALLERIES, APACHE CORP COMMON	B	115,049.10	180.00	10.00	170.00	50.0	85.00		
02/16/2018	QGW015075	RARE COIN GALLERIES, AT&T INC	S	-186,855.50	300.00	10.00	290.00	50.0	145.00		
02/22/2018	QGW015075	RARE COIN GALLERIES, MACQUARIE INFRASTRUCTURE CORPORATIO	B	336,653.25	450.00	10.00	440.00	50.0	220.00		
02/08/2018	QGW024614	GOLD, SUSAN		NEWELL BRANDS INC SHS	B	14,168.30	100.00	10.00	90.00	50.0	45.00
02/02/2018	QGW024622	GOLD, ALAN		BOARDWALK PIPELINE PARTNERS LP COM	B	12,270.00	125.00	10.00	115.00	50.0	57.50
02/08/2018	QGW024622	GOLD, ALAN		CELGENE CORP	B	9,517.50	125.00	10.00	115.00	50.0	57.50
02/08/2018	QGW024622	GOLD, ALAN		NEWELL BRANDS INC SHS	B	14,168.30	100.00	10.00	90.00	50.0	45.00
02/02/2018	QGW024630	GOLD, SUSAN		BOARDWALK PIPELINE PARTNERS LP COM	B	12,270.00	125.00	10.00	115.00	50.0	57.50
02/08/2018	QGW024630	GOLD, SUSAN		CELGENE CORP	B	9,517.50	125.00	10.00	115.00	50.0	57.50
02/07/2018	QGW031767	MITTS, MAURICE		FTE NETWORKS INC COM PAR \$0.001 NEW S	S	-36,288.48	1,275.00	10.00	1,265.00	50.0	632.50
02/05/2018	QGW036352	LAWRENCE ADAMS LTD		BANK OF AMERICA CORP	S	-158,900.50	3.00	10.00	-7.00	50.0	-3.50
				* STOCKS SUBTOTAL *		\$-1,417,912.63	\$45,745.18	\$1,720.00	\$44,025.18		\$22,012.59
PRODUCT CATEGORY: BONDS											
02/12/2018	QGV001076	CREDIT SUISSE		JPMORGAN CHASE & CO DEP SHS REPSTG	B	504,375.00	0.00	10.00	-10.00	50.0	-5.00
				* BONDS SUBTOTAL *		\$504,375.00	\$0.00	\$10.00	\$-10.00		\$-5.00
PRODUCT CATEGORY: OPTIONS											
02/02/2018	QGW014847	ALBANESE, JOHN		CALL 100 CBS CORP NEW CL B EXP 04-2 B	B	71,326.00	350.00	10.00	340.00	50.0	170.00
02/05/2018	QGW036352	LAWRENCE ADAMS LTD		CALL 100 BANK OF AMERICA CORPORATIO	B	52,500.00	175.00	10.00	165.00	50.0	82.50
02/14/2018	QGW036352	LAWRENCE ADAMS LTD		CALL 100 BANK OF AMERICA CORPORATIO	B	59,700.00	210.00	10.00	200.00	50.0	100.00
				* OPTIONS SUBTOTAL *		\$183,526.00	\$735.00	\$30.00	\$705.00		\$352.50

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/16/2018	QGW014870	GOLD, ALAN		GOLDMAN SACHS SHORT DURATION TAX FR B	0.00	4.89	0.00	4.89	50.0	2.45
02/22/2018	QGW014870	GOLD, ALAN		NUVEEN LIMITED TERM MUNI BOND CLASS B	0.00	5.42	0.00	5.42	50.0	2.71
02/27/2018	QGW024606	GOLD, ALAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	4.19	0.00	4.19	50.0	2.10
02/27/2018	QGW024614	GOLD, SUSAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	2.41	0.00	2.41	50.0	1.21
02/15/2018	QGW024622	GOLD, ALAN		COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	29.10	0.00	29.10	50.0	14.55
02/27/2018	QGW024622	GOLD, ALAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.79	0.00	1.79	50.0	0.90
02/15/2018	QGW024630	GOLD, SUSAN		COLUMBIA SHORT TERM BOND FUND CLASS B	0.00	14.37	0.00	14.37	50.0	7.19
02/27/2018	QGW024630	GOLD, SUSAN		PIMCO COMMODITY REAL RETURN STRATEG B	0.00	1.79	0.00	1.79	50.0	0.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$63.96	\$0.00	\$63.96		\$32.01
*** BROKER TRANSACTIONS TOTAL ***					\$-730,011.63	\$46,544.14	\$1,760.00	\$44,784.14		\$22,392.10

**ADJUSTMENTS**

02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
02/28/2018		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
02/28/2018		OTHER: OTHER-EXCHANGE FEES	0.00	0.00	0.00	0.00	-108.77
02/28/2018		OTHER: OTHER-CORE TECH	0.00	0.00	0.00	0.00	-119.80
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$-256.57</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$22,392.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-256.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-58.18
SIPC Assessment	\$-116.36

<b>Check Amount</b>	<b>\$21,960.99</b>
<b>Year-to-date Earnings</b>	<b>\$28,483.57</b>