



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
Page No. 1

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/01/2017	ENTREKIN TRUST, LUCY BURKARD	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
08/01/2017	ENTREKIN TRUST, LUCY BURKARD	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
08/01/2017	ENTREKIN TRUST, LUCY BURKARD	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
* PRIVATE PLACEMENT SUBTOTAL *			\$150,000.00	\$10,500.00	\$0.00	\$10,500.00		\$9,660.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
07/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	8.50	0.00	8.50	92.0	7.82
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.17	0.00	2.17	92.0	2.00
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
07/31/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
07/31/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.86	0.00	0.86	92.0	0.79
* MUTUAL FUNDS SUBTOTAL *			\$1,350.00	\$34.29	\$0.00	\$34.29		\$31.55
<u>PRODUCT CATEGORY: STOCKS</u>								
08/09/2017	DERING, DAMIAN	SUNEDISON INC COM	-9.72	0.00	23.35	-23.35	92.0	-21.48
* STOCKS SUBTOTAL *			\$-9.72	\$0.00	\$23.35	\$-23.35		\$-21.48
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/08/2017	GIBSON, CARLA	AM GEN SEASONS ELITE	504,706.04	1,261.77	0.00	1,261.77	92.0	1,160.83
08/01/2017	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	41,445.46	155.42	0.00	155.42	92.0	142.99
07/31/2017	LAXER, JACK	JNL PERSPECTIVE L	56,016.53	46.68	0.00	46.68	92.0	42.95
07/31/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	58,905.98	49.09	0.00	49.09	92.0	45.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	72,667.10	60.56	0.00	60.56	92.0	55.72
07/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	71,474.48	59.56	0.00	59.56	92.0	54.80
07/18/2017	LICHTENSTEIN, LOUISE	LFG LNDV095K	10,000.00	350.00	0.00	350.00	92.0	322.00
07/18/2017	LICHTENSTEIN, LOUISE	LFG LNDV516M	20,000.00	700.00	0.00	700.00	92.0	644.00
07/18/2017	LICHTENSTEIN, LOUISE	LFG LNDV516N	25,000.00	875.00	0.00	875.00	92.0	805.00
07/18/2017	LICHTENSTEIN, LOUISE	LFG LNDV516P	15,000.00	525.00	0.00	525.00	92.0	483.00
07/18/2017	LICHTENSTEIN, LOUISE	LINCOLN FINANCIAL DCA FIXED	100,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
07/18/2017	LICHTENSTEIN, LOUISE	LINCOLN JP MORGAN I- LNDV4T6H	10,000.00	350.00	0.00	350.00	92.0	322.00
07/18/2017	LICHTENSTEIN, LOUISE	LVIP DEL BD - LNDV095M	10,000.00	350.00	0.00	350.00	92.0	322.00
07/18/2017	LICHTENSTEIN, LOUISE	LVIP SSGA SM/MID CAP	10,000.00	350.00	0.00	350.00	92.0	322.00
* VARIABLE ANNUITIES SUBTOTAL *			\$1,005,215.59	\$8,633.08	\$0.00	\$8,633.08		\$7,942.45
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/18/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.78	0.00	4.78	92.0	4.40
07/19/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.99	0.00	1.99	92.0	1.83
08/05/2017	MORALES, ERIC	JOHN HANCOCK FREEDOM 529	0.00	0.91	0.00	0.91	92.0	0.84
07/21/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.72	0.00	3.72	92.0	3.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.40	\$0.00	\$11.40		\$10.49
*** BROKER TRANSACTIONS TOTAL ***			\$1,156,555.87	\$19,178.77	\$23.35	\$19,155.42		\$17,623.01
<u>ADJUSTMENTS</u>								
08/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					675.00
08/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-100.00
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$17,623.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,623.01
Year-to-date Gross	\$108,742.00
Year-to-date Earnings	\$99,380.88