

STATEMENT

July 20, 2018

KEVIN BORAD
9560 Topanga Canyon Blvd Suite
SUITE 204
Chatsworth, CA 91311

BROKER #: 128
BRANCH:

COMMISSION STATEMENT for KEVIN BORAD

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#5296157570	LUNAGBHARR	BLCKRCK	0.00	5.41	93.0	0.00	5.03	5.03
06/04/0018	#81154080603	HUSSAIN	COLLEGE BOUND 76220Y778	500.00	15.00	93.0	0.00	13.95	13.95
05/29/2018	#07050079683		PIMCO INCOME FUND CLASS C	124.40	1.24	93.0	0.00	1.15	1.15
05/29/2018	#07050096628		PIMCO INCOME FUND CLASS C	1,218.00	12.18	93.0	0.00	11.33	11.33
06/01/2018	#000000000603163	SHINDE,	FRANKLIN TEMPLETON FUNDS CALIF TAX	2,000.00	20.00	93.0	0.00	18.60	18.60
06/01/2018	#07050089069	PATELANIKN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
06/01/2018	#07050089071	PATELAMARN	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93
06/04/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	90.24	0.90	93.0	0.00	0.84	0.84
06/04/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	544.00	5.44	93.0	0.00	5.06	5.06
06/04/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	77.88	1.36	93.0	0.00	1.26	1.26
06/04/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	90.87	1.59	93.0	0.00	1.48	1.48
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	13.73	0.14	93.0	0.00	0.13	0.13
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	28.00	0.28	93.0	0.00	0.26	0.26
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	29.42	0.29	93.0	0.00	0.27	0.27
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	60.00	0.60	93.0	0.00	0.56	0.56
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	29.42	0.29	93.0	0.00	0.27	0.27
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	60.00	0.60	93.0	0.00	0.56	0.56
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	13.73	0.14	93.0	0.00	0.13	0.13
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	28.00	0.28	93.0	0.00	0.26	0.26
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	15.69	0.16	93.0	0.00	0.15	0.15
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	32.00	0.32	93.0	0.00	0.30	0.30
06/04/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	184.60	1.85	93.0	0.00	1.72	1.72
06/04/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	307.68	3.08	93.0	0.00	2.86	2.86
06/04/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	207.68	2.08	93.0	0.00	1.93	1.93
06/04/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	346.16	3.46	93.0	0.00	3.22	3.22
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	15.69	0.16	93.0	0.00	0.15	0.15
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	32.00	0.32	93.0	0.00	0.30	0.30
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	29.42	0.29	93.0	0.00	0.27	0.27

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	60.00	0.60	93.0	0.00	0.56	0.56
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	7.85	0.08	93.0	0.00	0.07	0.07
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	16.00	0.16	93.0	0.00	0.15	0.15
06/04/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	77.88	1.36	93.0	0.00	1.26	1.26
06/04/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	90.87	1.59	93.0	0.00	1.48	1.48
06/04/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	77.88	1.36	93.0	0.00	1.26	1.26
06/04/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	90.87	1.59	93.0	0.00	1.48	1.48
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	17.65	0.18	93.0	0.00	0.17	0.17
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	36.00	0.36	93.0	0.00	0.33	0.33
06/04/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	77.88	1.36	93.0	0.00	1.26	1.26
06/04/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	90.87	1.59	93.0	0.00	1.48	1.48
06/04/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	87.58	0.88	93.0	0.00	0.82	0.82
06/04/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	528.00	5.28	93.0	0.00	4.91	4.91
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	13.75	0.14	93.0	0.00	0.13	0.13
06/04/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	28.00	0.28	93.0	0.00	0.26	0.26
06/04/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	87.58	0.88	93.0	0.00	0.82	0.82
06/04/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	528.00	5.28	93.0	0.00	4.91	4.91
06/04/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	9.81	0.10	93.0	0.00	0.09	0.09
06/04/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	20.00	0.20	93.0	0.00	0.19	0.19
06/11/2018	#07050089069	PATELANIKN	PIMCO INCOME FUND CLASS C	250.00	2.50	93.0	0.00	2.33	2.33
06/11/2018	#07050089071	PATELAMARN	PIMCO INCOME FUND CLASS C	250.00	2.50	93.0	0.00	2.33	2.33
06/11/2018	#07050093000	PATELAUDRL	PIMCO INCOME FUND CLASS C	400.00	4.00	93.0	0.00	3.72	3.72
06/12/2018	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	100.20	1.00	93.0	0.00	0.93	0.93
06/12/2018	#00035828101	KACHHADIA,	IVY LASALLE GBL RSKMGED REAL ESTATE	500.00	5.00	93.0	0.00	4.65	4.65
06/12/2018	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.47
06/12/2018	#00035830927	BUTANI, BATUK	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.52
06/12/2018	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	50.00	0.50	93.0	0.00	0.47	0.47
06/12/2018	#00035830928	BUTANI, KARSHAN	IVY LASALLE GBL RSKMGED REAL ESTATE	56.25	0.56	93.0	0.00	0.52	0.52
06/15/2018	#000000000607349	DADHANIA,	FRANKLIN TEMPLETON FUNDS CALIF TAX	150.00	1.50	93.0	0.00	1.40	1.40
06/15/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	143.21	1.43	93.0	0.00	1.33	1.33
06/15/2018	#07050076150		PIMCO DIVIDEND AND INCOME BUILDER C	153.86	1.54	93.0	0.00	1.43	1.43
06/15/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
06/15/2018	#07050076154		PIMCO DIVIDEND AND INCOME BUILDER C	100.00	1.00	93.0	0.00	0.93	0.93
06/15/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
06/15/2018	#07050087106		PIMCO INCOME FUND CLASS C	200.00	2.00	93.0	0.00	1.86	1.86
06/15/2018	#07050093000	PATELAUDRL	PIMCO INCOME FUND CLASS C	100.00	1.00	93.0	0.00	0.93	0.93

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	#07050096938	PIMCO INCOME FUND CLASS C	1,500.00	15.00	93.0	0.00	13.95	0.00	13.95
06/15/2018	#07050096938	PIMCO INCOME FUND CLASS C	2,500.00	25.00	93.0	0.00	23.25	0.00	23.25
06/20/2018	#000000000134813	HUSSASEHA TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	0.00	10.23
06/20/2018	#000000000153130	HUSSAMARV TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	0.00	10.23
06/20/2018	#000000000153142	HUSSAMARV TEMPLETON INCOME TR GLOBAL TOTAL RE	1,100.00	11.00	93.0	0.00	10.23	0.00	10.23
* MUTUAL FUNDS SUBTOTAL *			\$18,384.85	\$204.32		\$0.00	\$190.03	\$0.00	\$190.03
<u>PRODUCT CATEGORY: STOCKS</u>									
06/20/2018	#	PIMCO CORPORATE & INCOME OPPORTUNIT	40,253.92	853.00	85.0	0.00	725.05	19.95	705.10
06/20/2018	#	PIMCO CORPORATE & INCOME STRATEGY F	40,303.25	853.00	85.0	0.00	725.05	19.95	705.10
06/20/2018	#	PIMCO DYNAMIC INCOME FUND	40,192.97	853.00	85.0	0.00	725.05	19.95	705.10
06/26/2018	#	PIMCO CORPORATE & INCOME OPPORTUNIT	59,265.25	1,265.00	85.0	0.00	1,075.25	19.95	1,055.30
06/26/2018	#	PIMCO CORPORATE & INCOME STRATEGY F	59,306.80	1,265.00	85.0	0.00	1,075.25	19.95	1,055.30
06/26/2018	#	PIMCO DYNAMIC INCOME FUND	62,878.86	1,265.00	85.0	0.00	1,075.25	19.95	1,055.30
* STOCKS SUBTOTAL *			\$302,201.05	\$6,354.00		\$0.00	\$5,400.90	\$119.70	\$5,281.20
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#C1660160X	OZA, IKSHWAKU VOYA	0.00	21.85	93.0	0.00	20.32	0.00	20.32
06/15/2018	#1014537690	PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	0.00	20.93
06/15/2018	#1014537690	PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	0.00	20.93
07/03/2018	#1014537690	PERSP II 09/12	300.00	22.50	93.0	0.00	20.93	0.00	20.93
* VARIABLE ANNUITIES SUBTOTAL *			\$900.00	\$89.35		\$0.00	\$83.11	\$0.00	\$83.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00068605818	ITURRIAGA, CAPITAL INCOME BUILDER FD CL A	0.00	6.19	93.0	0.00	5.76	0.00	5.76
06/01/2018	#00082334433	PATEL, SUMIT GROWTH AND INCOME PORTFOLIO-529C	0.00	22.11	93.0	0.00	20.56	0.00	20.56
06/01/2018	#00082334771	PATEL, SUMIT GROWTH AND INCOME PORTFOLIO-529C	0.00	22.11	93.0	0.00	20.56	0.00	20.56
06/01/2018	#00082334433	PATEL, SUMIT INCOME PORTFOLIO-529C	0.00	11.91	93.0	0.00	11.08	0.00	11.08
06/01/2018	#00082334771	PATEL, SUMIT INCOME PORTFOLIO-529C	0.00	11.91	93.0	0.00	11.08	0.00	11.08
06/01/2018	#00082334433	PATEL, SUMIT NEW PERSPECTIVE FUND-529A	0.00	0.20	93.0	0.00	0.19	0.00	0.19
06/01/2018	#00082334771	PATEL, SUMIT NEW PERSPECTIVE FUND-529A	0.00	0.20	93.0	0.00	0.19	0.00	0.19
06/01/2018	#00082242287	SHIHORA, ARTH NEW PERSPECTIVE FUND-529A	0.00	10.29	93.0	0.00	9.57	0.00	9.57
06/01/2018	#00082242341	SHIHORA, ARTH NEW PERSPECTIVE FUND-529A	0.00	10.29	93.0	0.00	9.57	0.00	9.57
06/01/2018	#00082334433	PATEL, SUMIT THE BOND FUND OF AMERICA-529A	0.00	0.12	93.0	0.00	0.11	0.00	0.11
06/01/2018	#00082334771	PATEL, SUMIT THE BOND FUND OF AMERICA-529A	0.00	0.12	93.0	0.00	0.11	0.00	0.11
06/01/2018	#00082242287	SHIHORA, ARTH THE BOND FUND OF AMERICA-529A	0.00	6.21	93.0	0.00	5.78	0.00	5.78
06/01/2018	#00082242341	SHIHORA, ARTH THE BOND FUND OF AMERICA-529A	0.00	6.21	93.0	0.00	5.78	0.00	5.78

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00082334433	PATEL, SUMIT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.15	93.0	0.00	0.14	0.14
06/01/2018	#00082334771	PATEL, SUMIT	THE INVESTMENT COMPANY OF AMERICA-5	0.00	0.15	93.0	0.00	0.14	0.14
06/01/2018	#00082242287	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	0.00	10.52	93.0	0.00	9.78	9.78
06/01/2018	#00082242341	SHIHORA, ARTH	THE INVESTMENT COMPANY OF AMERICA-5	0.00	10.52	93.0	0.00	9.78	9.78
06/07/2018	#01482511647	VARIOUS BORAD	FA 529 INTERMED BOND PORT-CL A	0.00	0.06	93.0	0.00	0.06	0.06
06/07/2018	#01485867699	VARIOUS BORAD	FA MEGA CAP STOCK FUND	0.00	13.02	93.0	0.00	12.11	12.11
06/07/2018	#01485867731	VARIOUS BORAD	FA MEGA CAP STOCK FUND	0.00	18.50	93.0	0.00	17.21	17.21
06/07/2018	#01446367680	VARIOUS BORAD	FA SMALL CAP GROWTH	0.00	31.50	93.0	0.00	29.30	29.30
06/07/2018	#01446368894	VARIOUS BORAD	FA TELECOMMUNICATIONS FUND	0.00	0.47	93.0	0.00	0.44	0.44
06/07/2018	#01446368720	VARIOUS BORAD	FA WORLD LARGE STOCK	0.00	6.31	93.0	0.00	5.87	5.87
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CHINA REGION-CL C	0.00	5.18	93.0	0.00	4.82	4.82
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV COMM EQUIPMENT-CL C	0.00	10.45	93.0	0.00	9.72	9.72
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV CONVERTIBLE SECURITIES	0.00	8.19	93.0	0.00	7.62	7.62
06/07/2018	#01488720515	VARIOUS BORAD	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.20	93.0	0.00	0.19	0.19
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING ASIA-CL C	0.00	4.24	93.0	0.00	3.94	3.94
06/07/2018	#00625301718	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.01	93.0	0.00	0.01	0.01
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	3.89	93.0	0.00	3.62	3.62
06/07/2018	#01475089957	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	1.41	93.0	0.00	1.31	1.31
06/07/2018	#01482544887	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	11.65	93.0	0.00	10.83	10.83
06/07/2018	#01446350454	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	34.63	93.0	0.00	32.21	32.21
06/07/2018	#01446369207	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	16.49	93.0	0.00	15.34	15.34
06/07/2018	#01446369256	VARIOUS BORAD	FIDELITY ADV FREEDOM 2050-CL C	0.00	7.00	93.0	0.00	6.51	6.51
06/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	34.97	93.0	0.00	32.52	32.52
06/07/2018	#01467041008	VARIOUS BORAD	FIDELITY ADV GOLD-CL C	0.00	6.58	93.0	0.00	6.12	6.12
06/07/2018	#01477917494	VARIOUS BORAD	FIDELITY ADV GOLD-CL C	0.00	10.47	93.0	0.00	9.74	9.74
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV HIGH INCOME ADVANTAGE-	0.00	4.10	93.0	0.00	3.81	3.81
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV INDUSTRIALS-CL C	0.00	11.47	93.0	0.00	10.67	10.67
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV MID CAP-CL C	0.00	5.45	93.0	0.00	5.07	5.07
06/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV NEW INSIGHTS-CL A	0.00	6.57	93.0	0.00	6.11	6.11
06/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	5.45	93.0	0.00	5.07	5.07
06/07/2018	#01439826940	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	7.96	93.0	0.00	7.40	7.40
06/07/2018	#01439826965	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	5.66	93.0	0.00	5.26	5.26
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	6.19	93.0	0.00	5.76	5.76
06/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR DIVIDEND GROWTH	0.00	14.71	93.0	0.00	13.68	13.68
06/07/2018	#01446368720	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKET FU	0.00	6.51	93.0	0.00	6.05	6.05
06/07/2018	#01446368852	VARIOUS BORAD	FIDELITY ADVISOR EMERGING MARKETS F	0.00	32.50	93.0	0.00	30.23	30.23

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	0.48	93.0	0.00	0.45	0.45
06/07/2018	#01446367680	VARIOUS BORAD	FIDELITY ADVISOR LARGE CAP	0.00	27.10	93.0	0.00	25.20	25.20
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR LATIN AMERICA FUND	0.00	3.08	93.0	0.00	2.86	2.86
06/07/2018	#01488720515	VARIOUS BORAD	FIDELITY ADVISOR SER I LEVERAGED CO	0.00	0.19	93.0	0.00	0.18	0.18
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	0.39	93.0	0.00	0.36	0.36
06/07/2018	#01446368894	VARIOUS BORAD	FIDELITY ADVISOR SER VII TECHNOLOGY	0.00	0.78	93.0	0.00	0.73	0.73
06/07/2018	#01457786992	VARIOUS BORAD	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.31	93.0	0.00	0.29	0.29
06/07/2018	#01446367680	VARIOUS BORAD	FIDELITY MID CAP VALUE	0.00	24.16	93.0	0.00	22.47	22.47
06/07/2018	#01482544838	VARIOUS BORAD	FIDELITY TARGET DATE 2055	0.00	4.57	93.0	0.00	4.25	4.25
06/07/2018	#01446368894	VARIOUS BORAD	TREASURY FUND ADVISOR-CL C	0.00	2.07	93.0	0.00	1.93	1.93
06/11/2018	# BHAKTA, CHHAGANBHAI		DAIN TRAILER	0.00	109.05	93.0	0.00	101.42	101.42
06/12/2018	#		DAIN TRAILER	345,000.00	5,520.00	93.0	0.00	5,133.60	5,133.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$345,000.00	\$6,153.18	\$0.00	\$5,722.52	\$0.00	\$5,722.52
*** BROKER TRANSACTIONS TOTAL ***				\$666,485.90	\$12,800.85	\$0.00	\$11,396.56	\$119.70	\$11,276.86
ADJUSTMENTS									
07/20/2018			ADMIN FEE: ADMIN FEE						-390.00
07/20/2018			E & O INSURANCE: E & O INSURANCE						-179.00
*** ADJUSTMENTS TOTAL ***									\$-569.00
BROKER COMMISSION TOTALS									
Broker Transactions								\$11,276.86	
Split Transactions								\$0.00	
Override Transactions								\$0.00	
Adjustments								\$-569.00	
Payroll Draw								\$0.00	
Base Salary								\$0.00	
Prior Period Balance								\$0.00	
FINRA Assessment								\$0.00	
SIPC Assessment								\$0.00	
Check Amount								\$10,707.86	