

**COMMISSION STATEMENT****December 15, 2017****JOSEPH MICHALETZ**

41474 Judson Bottom Road

North Mankato, MI 56003-

BROKER #: M138

BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/30/2017	GEAITHER, VALERIE	GPB AUTOMOTIVE PORTFOLIO LP	85,000.00	0.00	0.00	0.00	93.0	0.00
12/01/2017	SIRMANS, ROBERT	GPB AUTOMOTIVE PORTFOLIO LP	350,000.00	0.00	0.00	0.00	93.0	0.00
12/06/2017	HANSON, DAVID	GPB AUTOMOTIVE PORTFOLIO LP	95,000.00	0.00	0.00	0.00	93.0	0.00
11/30/2017	GEAITHER, VALERIE	GPB WASTE MANAGEMENT LP CLASS A	55,000.00	0.00	0.00	0.00	93.0	0.00
12/08/2017	KASTER, MARVIN	HPI REAL ESTATE FUND V LLC	120,000.00	0.00	0.00	0.00	93.0	0.00
12/06/2017	LOWE, RUSSELL	RESOURCE ROYALTY INCOME & GROWTH FU	29,000.00	0.00	0.00	0.00	93.0	0.00
12/11/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	200.00	2.00	12.00	-10.00	93.0	-9.30
* MUTUAL FUNDS SUBTOTAL *			\$734,200.00	\$2.00	\$12.00	\$-10.00		\$-9.30
*** CLEARING TRANSACTIONS TOTAL ***			\$734,200.00	\$2.00	\$12.00	\$-10.00		\$-9.30
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/15/2017	WALDBAUM MD PC PSP, ARTHUR	BOURNE SENIORS HOUSING III	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
12/13/2017	GARTNER, LEONARD	BOURNE SENIORS HOUSING III	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
11/30/2017	GEAITHER, VALERIE	GPB AUTOMOTIVE	85,000.00	5,950.00	0.00	5,950.00	93.0	5,533.50
11/30/2017	SIRMANS, ROBERT	GPB AUTOMOTIVE	350,000.00	24,500.00	0.00	24,500.00	93.0	22,785.00
11/17/2017	STEVENS LIV TRUST, GEORGE	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
11/30/2017	GEAITHER, VALERIE	GPB WASTE MANAGEMENT LP	55,000.00	3,850.00	0.00	3,850.00	93.0	3,580.50
11/15/2017	WALDBAUM MD PC PSP, ARTHUR	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
11/22/2017	SIRMANS, ROBERT	HPI FUND V	350,000.00	21,000.00	0.00	21,000.00	93.0	19,530.00
12/07/2017	VOIGT, KEVIN	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	93.0	5,580.00
* PRIVATE PLACEMENT SUBTOTAL *			\$1,290,000.00	\$84,800.00	\$0.00	\$84,800.00		\$78,864.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: OIL & GAS</u>								
11/15/2017	WALDBAUM MD PC PSP, ARTHUR	RESOURCE ROYALTY INC & GRWTH FD V	100,000.00	7,000.00	0.00	7,000.00	93.0	6,510.00
		* OIL & GAS SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,510.00
<u>PRODUCT CATEGORY: REIT</u>								
11/17/2017	AMERICAN FOUNDATION	COTTONWOOD MULTIFAMILY REIT II	45,000.00	2,700.00	0.00	2,700.00	93.0	2,511.00
12/07/2017	LEIBBRANDT, MERRILYN	COTTONWOOD MULTIFAMILY REIT II	50,000.00	3,000.00	0.00	3,000.00	93.0	2,790.00
		* REIT SUBTOTAL *	\$95,000.00	\$5,700.00	\$0.00	\$5,700.00		\$5,301.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/20/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	25.00	0.25	0.00	0.25	93.0	0.23
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	1.00	0.00	1.00	93.0	0.93
12/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
12/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
12/01/2017	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	100.00	1.00	0.00	1.00	93.0	0.93
		* MUTUAL FUNDS SUBTOTAL *	\$325.00	\$4.25	\$0.00	\$4.25		\$3.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/22/2017	HANSGEN, DIANE	BRIGHTHOUSE	50,000.00	1,000.00	0.00	1,000.00	93.0	930.00
11/30/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE ADV II 5/05	58,418.82	48.68	0.00	48.68	93.0	45.27
11/30/2017	HAAK, HELEN	JNL PERSPECTIVE ADV II 5/05	68,479.10	71.33	0.00	71.33	93.0	66.34
11/30/2017	GARTNER TRUST	JNL PERSPECTIVE ADV II 5/05	163,477.53	170.29	0.00	170.29	93.0	158.37
11/30/2017	AMERICAN ENDOWMENT FUND	JNL PERSPECTIVE II 04/14	70,177.20	58.48	0.00	58.48	93.0	54.39
12/04/2017	SIRMANS, DEBBRA	JNL PERSPECTIVE II 04/14	51,845.97	1,036.92	0.00	1,036.92	93.0	964.34
11/30/2017	ROSE, DENNIS	JNL PERSPECTIVE II 05/05	83,626.14	69.69	0.00	69.69	93.0	64.81
11/30/2017	ROSE, CHERYL	JNL PERSPECTIVE II 05/05	139,755.30	116.46	0.00	116.46	93.0	108.31
11/30/2017	LUTZ, TRACY	JNL PERSPECTIVE II 05/05	8,782.41	7.32	0.00	7.32	93.0	6.81
11/30/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	202,662.62	168.89	0.00	168.89	93.0	157.07
11/30/2017	VANDERHOOF, DONNA	JNL PERSPECTIVE L	338,523.70	282.10	0.00	282.10	93.0	262.35
11/30/2017	GREEN, STEVEN	JNL PERSPECTIVE L	87,095.33	72.58	0.00	72.58	93.0	67.50
11/30/2017	GREEN, STEVEN	JNL PERSPECTIVE L	71,691.44	59.74	0.00	59.74	93.0	55.56
11/30/2017	WOLFF, JEAN	JNL PERSPECTIVE L	87,200.92	72.67	0.00	72.67	93.0	67.58
11/30/2017	WOLFF, JEAN	JNL PERSPECTIVE L	439,644.45	366.37	0.00	366.37	93.0	340.72
11/30/2017	CURTIS, JEFFREY	JNL PERSPECTIVE L	143,237.65	119.36	0.00	119.36	93.0	111.00
11/30/2017	FUENTEZ, IVAN	JNL PERSPECTIVE L	247,873.12	206.56	0.00	206.56	93.0	192.10
11/30/2017	ROSE, ERIC	JNL PERSPECTIVE L	47,182.26	39.32	0.00	39.32	93.0	36.57

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	ANDERSEN, WAYNE	JNL PERSPECTIVE L	154,546.03	128.79	0.00	128.79	93.0	119.77
11/30/2017	MAINE, VINCENT	JNL PERSPECTIVE L	140,217.01	58.42	0.00	58.42	93.0	54.33
11/30/2017	CALABRESE, CAROL	JNL PERSPECTIVE L	268,068.04	223.39	0.00	223.39	93.0	207.75
11/30/2017	SCHRIOCK, LINDA	JNL PERSPECTIVE L	59,406.60	49.51	0.00	49.51	93.0	46.04
11/30/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	447,586.94	372.99	0.00	372.99	93.0	346.88
11/30/2017	MAINE, VINCENT	JNL PERSPECTIVE L	170,713.49	71.13	0.00	71.13	93.0	66.15
11/30/2017	LUTZ, TRACY	JNL PERSPECTIVE L	24,169.46	20.14	0.00	20.14	93.0	18.73
11/30/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	181,263.23	151.05	0.00	151.05	93.0	140.48
11/30/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	110,537.51	92.11	0.00	92.11	93.0	85.66
11/30/2017	CHESNEY, TERRANCE	JNL PERSPECTIVE L	159,160.90	132.63	0.00	132.63	93.0	123.35
11/30/2017	CHESNEY, VIRGINIA	JNL PERSPECTIVE L	163,303.81	136.09	0.00	136.09	93.0	126.56
11/30/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	90,473.97	75.39	0.00	75.39	93.0	70.11
11/30/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	196,647.03	163.87	0.00	163.87	93.0	152.40
11/30/2017	KOCON, RAYMOND	JNL PERSPECTIVE L	436,009.67	363.34	0.00	363.34	93.0	337.91
11/30/2017	HOGSTAD, MARY	JNL PERSPECTIVE L	147,138.24	122.62	0.00	122.62	93.0	114.04
11/30/2017	ROSE, DENNIS	JNL PERSPECTIVE L	171,056.42	142.55	0.00	142.55	93.0	132.57
11/30/2017	GARTNER TRUST, ORVAL	JNL PERSPECTIVE L	472,615.17	393.85	0.00	393.85	93.0	366.28
11/30/2017	LEASE, NATHELLE	JNL PERSPECTIVE L	292,255.43	243.55	0.00	243.55	93.0	226.50
11/30/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	210,766.97	175.64	0.00	175.64	93.0	163.35
11/30/2017	VETTER, JOANN	JNL PERSPECTIVE L	693,524.01	577.94	0.00	577.94	93.0	537.48
11/30/2017	STRADTMAN, CHARLES	JNL PERSPECTIVE L	474,827.78	395.69	0.00	395.69	93.0	367.99
11/30/2017	VETTER, RICHARD	JNL PERSPECTIVE L	128,124.70	106.77	0.00	106.77	93.0	99.30
11/30/2017	VETTER, JOANN	JNL PERSPECTIVE L	118,069.45	98.39	0.00	98.39	93.0	91.50
11/30/2017	FLOR, PATRICIA	JNL PERSPECTIVE L	1,827,845.54	1,523.20	0.00	1,523.20	93.0	1,416.58
11/30/2017	KRAHMER, LE ANN	JNL PERSPECTIVE L	343,715.56	286.43	0.00	286.43	93.0	266.38
11/30/2017	ANDERSEN REV LIV TR, CRYSTAL	JNL PERSPECTIVE L	132,318.74	110.27	0.00	110.27	93.0	102.55
11/30/2017	KOPISCHKE, BEVERLY	JNL PERSPECTIVE L	161,367.10	134.47	0.00	134.47	93.0	125.06
11/30/2017	SHORE, JERRY	JNL PERSPECTIVE L	1,147,817.25	956.51	0.00	956.51	93.0	889.55
11/30/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	1,153,620.43	961.35	0.00	961.35	93.0	894.06
11/30/2017	SHORE, JERRY	JNL PERSPECTIVE L	321,745.46	268.12	0.00	268.12	93.0	249.35
11/30/2017	WINDSCHITL, MARLENE	JNL PERSPECTIVE L	273,341.99	227.78	0.00	227.78	93.0	211.84
11/30/2017	LILLEVOLD, KATHRYN	JNL PERSPECTIVE L	1,028,580.14	857.15	0.00	857.15	93.0	797.15
11/30/2017	JOHNSON, ELOIS	JNL PERSPECTIVE L	488,337.24	406.95	0.00	406.95	93.0	378.46

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	BERKNESS, RONALD	JNL PERSPECTIVE L	378,717.21	315.60	0.00	315.60	93.0	293.51
11/30/2017	WOLFF, ANITA	JNL PERSPECTIVE L	610,842.71	509.04	0.00	509.04	93.0	473.41
11/30/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	832,671.49	693.89	0.00	693.89	93.0	645.32
11/30/2017	BERKNESS, CAROLE	JNL PERSPECTIVE L	158,910.00	132.42	0.00	132.42	93.0	123.15
11/30/2017	WOLFF, WALTER	JNL PERSPECTIVE L	870,362.57	725.30	0.00	725.30	93.0	674.53
11/30/2017	LARSON, LOIS	JNL PERSPECTIVE L	57,854.74	48.21	0.00	48.21	93.0	44.84
11/30/2017	LARSON, DEAN	JNL PERSPECTIVE L	120,196.36	100.16	0.00	100.16	93.0	93.15
11/30/2017	LEINENWEBER, LE ROY	JNL PERSPECTIVE L	320,471.25	267.06	0.00	267.06	93.0	248.37
11/30/2017	DALLMANN, HOWARD	JNL PERSPECTIVE L	203,207.53	169.34	0.00	169.34	93.0	157.49
11/30/2017	DALLMANN, MARGARET	JNL PERSPECTIVE L	24,402.05	20.34	0.00	20.34	93.0	18.92
11/30/2017	CLOW, SANDRA	JNL PERSPECTIVE L	753,851.06	628.21	0.00	628.21	93.0	584.24
11/30/2017	LARSON, DEAN L & LOIS N	JNL PERSPECTIVE L	564,469.00	470.39	0.00	470.39	93.0	437.46
11/30/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	362,649.79	302.21	0.00	302.21	93.0	281.06
11/30/2017	NAWROCKI, DONNA	JNL PERSPECTIVE L	447,868.65	373.22	0.00	373.22	93.0	347.09
11/30/2017	DOBIE, SHERYL	JNL PERSPECTIVE L	949,231.08	791.03	0.00	791.03	93.0	735.66
11/30/2017	MICHALETZ, GERALD	JNL PERSPECTIVE L	155,533.56	129.61	0.00	129.61	93.0	120.54
11/30/2017	BANWART, ROGER	JNL PERSPECTIVE L	163,838.78	136.53	0.00	136.53	93.0	126.97
11/30/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	109,545.31	91.29	0.00	91.29	93.0	84.90
11/30/2017	MICHALETZ, FAYE	JNL PERSPECTIVE L	69,205.77	57.67	0.00	57.67	93.0	53.63
11/30/2017	FUNFSINN TRUST, SUSAN	JNL PERSPECTIVE L	327,635.25	273.03	0.00	273.03	93.0	253.92
11/30/2017	FITTERER, DELORIS	JNL PERSPECTIVE L	248,708.29	207.26	0.00	207.26	93.0	192.75
11/30/2017	POST, TERRY	JNL PERSPECTIVE L	207,131.86	172.61	0.00	172.61	93.0	160.53
11/30/2017	HUISMAN, GERALDINE	JNL PERSPECTIVE L	172,567.33	143.81	0.00	143.81	93.0	133.74
11/30/2017	STEVENS, GEORGE	JNL PERSPECTIVE L	306,926.34	255.77	0.00	255.77	93.0	237.87
11/30/2017	BUCK, NORMAN	JNL PERSPECTIVE L	237,307.14	197.76	0.00	197.76	93.0	183.92
11/30/2017	PANOS, GERALDINE	JNL PERSPECTIVE L	120,490.14	100.41	0.00	100.41	93.0	93.38
11/30/2017	SHIRK, PAMELA	JNL PERSPECTIVE L	210,221.08	175.18	0.00	175.18	93.0	162.92
11/30/2017	PAHL, JAMES	JNL PERSPECTIVE L	154,151.69	128.46	0.00	128.46	93.0	119.47
11/30/2017	ENGELBRECHT, ALICE	JNL PERSPECTIVE L	264,852.50	220.71	0.00	220.71	93.0	205.26
11/30/2017	UTZKA, LANA	JNL PERSPECTIVE L	8,499.91	7.08	0.00	7.08	93.0	6.58
11/30/2017	SHAW, CHARLES	JNL PERSPECTIVE L	140,299.28	116.92	0.00	116.92	93.0	108.74
11/30/2017	WARD, JACQUELINE	JNL PERSPECTIVE L	39,510.12	32.93	0.00	32.93	93.0	30.62
11/30/2017	KRONBACK, MAYDELLE	JNL PERSPECTIVE L	181,402.40	151.17	0.00	151.17	93.0	140.59

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<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	KENDALL, ARCHIE	JNL PERSPECTIVE L	494,111.19	411.76	0.00	411.76	93.0	382.94
11/30/2017	MINNEHAN, MICHAEL	JNL PERSPECTIVE L	146,044.97	121.70	0.00	121.70	93.0	113.18
11/30/2017	CLOW CHARTIBLE TRUST, SANDRA	JNL PERSPECTIVE L	55,992.28	46.66	0.00	46.66	93.0	43.39
11/30/2017	ESLINGER, DOUGLAS	JNL PERSPECTIVE L	46,237.22	38.53	0.00	38.53	93.0	35.83
11/30/2017	TRINITY EVANGELICAL	JNL PERSPECTIVE L	437,935.75	364.95	0.00	364.95	93.0	339.40
11/30/2017	ARNOLD HOLDINGS LP	JNL PERSPECTIVE L	738,139.13	615.12	0.00	615.12	93.0	572.06
11/30/2017	ARNOLD, EVERETT	JNL PERSPECTIVE L	746,858.09	622.38	0.00	622.38	93.0	578.81
11/30/2017	SCHMIDT, LORI	JNL PERSPECTIVE L	128,488.49	107.07	0.00	107.07	93.0	99.58
11/30/2017	SCHMIDT, HAROLD	JNL PERSPECTIVE L	525,630.14	438.03	0.00	438.03	93.0	407.37
11/30/2017	ZIEGLER, DARRELL	JNL PERSPECTIVE L	44,490.80	37.08	0.00	37.08	93.0	34.48
11/30/2017	MOLL TURKEYS INC	JNL PERSPECTIVE L	542,195.72	451.83	0.00	451.83	93.0	420.20
11/30/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	25,558.57	21.30	0.00	21.30	93.0	19.81
11/30/2017	KRONBACK, JEFFERY	JNL PERSPECTIVE L	113,029.13	94.19	0.00	94.19	93.0	87.60
11/30/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	30,303.90	25.25	0.00	25.25	93.0	23.48
11/30/2017	KRONBACK, DEBRA	JNL PERSPECTIVE L	33,342.55	27.79	0.00	27.79	93.0	25.84
11/30/2017	FITZSIMMONS, GARY	JNL PERSPECTIVE L	361,174.07	300.98	0.00	300.98	93.0	279.91
11/30/2017	REED, MARY	JNL PERSPECTIVE L	281,214.11	234.35	0.00	234.35	93.0	217.95
11/30/2017	FITZSIMMONS, KEVIN	JNL PERSPECTIVE L	141,443.07	117.87	0.00	117.87	93.0	109.62
11/30/2017	DIETRICH, SHARON	JNL PERSPECTIVE L	216,135.43	180.11	0.00	180.11	93.0	167.50
11/30/2017	KUEHLWEIN, RICHARD	JNL PERSPECTIVE L	56,558.60	47.13	0.00	47.13	93.0	43.83
11/30/2017	JERROW, ROBERT	JNL PERSPECTIVE L	345,668.01	288.06	0.00	288.06	93.0	267.90
11/30/2017	KAster, JANICE	JNL PERSPECTIVE L	169,200.41	141.00	0.00	141.00	93.0	131.13
11/30/2017	FEAR, DUANE	JNL PERSPECTIVE L	79,041.84	65.87	0.00	65.87	93.0	61.26
11/30/2017	FEAR, LINDA	JNL PERSPECTIVE L	45,098.22	37.58	0.00	37.58	93.0	34.95
11/30/2017	ESLINGER, PATRICIA	JNL PERSPECTIVE L	24,984.68	20.82	0.00	20.82	93.0	19.36
11/30/2017	OLSON, MARK	JNL PERSPECTIVE L	364,371.85	303.64	0.00	303.64	93.0	282.39
11/30/2017	CHAPMAN, FRANCIS	JNL PERSPECTIVE L	324,192.24	270.16	0.00	270.16	93.0	251.25
11/30/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	37,057.35	30.88	0.00	30.88	93.0	28.72
11/30/2017	ZIEGLER, DIANNE	JNL PERSPECTIVE L	15,709.23	13.09	0.00	13.09	93.0	12.17
11/30/2017	GRUBISH, MAHLON	JNL PERSPECTIVE L	395,558.07	329.63	0.00	329.63	93.0	306.56
11/30/2017	ROSS TRUST, MARY JO	JNL PERSPECTIVE L	1,028,861.12	857.38	0.00	857.38	93.0	797.36
11/30/2017	ANDERSON, DONALD	JNL PERSPECTIVE L	163,563.48	136.30	0.00	136.30	93.0	126.76
11/30/2017	ROY, JAMES	JNL PERSPECTIVE L	215,668.12	179.72	0.00	179.72	93.0	167.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	OLSON, BRENDA	JNL PERSPECTIVE L	56,119.24	46.77	0.00	46.77	93.0	43.50
11/30/2017	OLSON, BRYCE	JNL PERSPECTIVE L	454,620.50	378.85	0.00	378.85	93.0	352.33
11/30/2017	WESTERMAYER, CHARLES	JNL PERSPECTIVE L	267,604.77	223.00	0.00	223.00	93.0	207.39
11/30/2017	GOFF, WESLEY	JNL PERSPECTIVE L	34,352.34	28.63	0.00	28.63	93.0	26.63
11/30/2017	CONRAD, RONALD	JNL PERSPECTIVE L	136,319.39	113.60	0.00	113.60	93.0	105.65
11/30/2017	CONRAD, DELBERT L & ELL	JNL PERSPECTIVE L	473,540.20	394.62	0.00	394.62	93.0	367.00
11/30/2017	GRAFF, BRENT	JNL PERSPECTIVE L	62,696.57	52.25	0.00	52.25	93.0	48.59
11/30/2017	FOSTER, RODNEY	JNL PERSPECTIVE L	198,470.46	165.39	0.00	165.39	93.0	153.81
11/30/2017	SKELLY, SCOTT	JNL PERSPECTIVE L	250,488.12	208.74	0.00	208.74	93.0	194.13
11/30/2017	SKELLY, BRENDA	JNL PERSPECTIVE L	27,894.42	23.25	0.00	23.25	93.0	21.62
11/30/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	166,569.50	138.81	0.00	138.81	93.0	129.09
11/30/2017	SEVERSON, STANLEY	JNL PERSPECTIVE L	293,480.28	244.57	0.00	244.57	93.0	227.45
11/30/2017	KRAFT, JUDITH	JNL PERSPECTIVE L	106,751.04	88.96	0.00	88.96	93.0	82.73
11/30/2017	GUNDERSON, HOLLY	JNL PERSPECTIVE L	40,919.13	34.10	0.00	34.10	93.0	31.71
11/30/2017	SEVERSON, JOYCE	JNL PERSPECTIVE L	122,936.36	102.45	0.00	102.45	93.0	95.28
11/30/2017	GUNDERSON, BRIAN	JNL PERSPECTIVE L	100,954.33	84.13	0.00	84.13	93.0	78.24
11/30/2017	SIEBERG, JOSEPH	JNL PERSPECTIVE L	72,738.72	60.62	0.00	60.62	93.0	56.38
11/30/2017	SIEBERG, DIANE	JNL PERSPECTIVE L	25,678.88	21.40	0.00	21.40	93.0	19.90
11/30/2017	CONRAD, DELBERT	JNL PERSPECTIVE L	114,391.19	95.33	0.00	95.33	93.0	88.66
11/30/2017	NELSON, BRENDA	JNL PERSPECTIVE L	251,284.05	209.40	0.00	209.40	93.0	194.74
11/30/2017	DORN, SANDRA	JNL PERSPECTIVE L	518,589.69	432.16	0.00	432.16	93.0	401.91
11/30/2017	HAGEN, LINDA	JNL PERSPECTIVE L	1,809,359.86	1,507.80	0.00	1,507.80	93.0	1,402.25
11/30/2017	HUGGINS, JEANNE	JNL PERSPECTIVE L	199,591.12	166.33	0.00	166.33	93.0	154.69
11/30/2017	THOMPSON, MAX	JNL PERSPECTIVE L	153,865.46	128.22	0.00	128.22	93.0	119.24
11/30/2017	THOMPSON, JO ANN	JNL PERSPECTIVE L	181,748.68	151.46	0.00	151.46	93.0	140.86
11/30/2017	THOMPSON, MAX	JNL PERSPECTIVE L	501,091.35	417.58	0.00	417.58	93.0	388.35
11/30/2017	HUGGINS, TONY	JNL PERSPECTIVE L	310,800.19	259.00	0.00	259.00	93.0	240.87
11/30/2017	HOWELL, NICOLE	JNL PERSPECTIVE L	210,038.63	175.03	0.00	175.03	93.0	162.78
11/30/2017	STIERLEN, ROGER	JNL PERSPECTIVE L	46,137.75	38.45	0.00	38.45	93.0	35.76
11/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	746,562.85	622.14	0.00	622.14	93.0	578.59
11/30/2017	OTTO, JANET	JNL PERSPECTIVE L	25,784.92	21.49	0.00	21.49	93.0	19.99
11/30/2017	OTTO, JAMES	JNL PERSPECTIVE L	619,135.88	515.95	0.00	515.95	93.0	479.83
11/30/2017	BUCK, DELORES	JNL PERSPECTIVE L	262,290.75	218.58	0.00	218.58	93.0	203.28

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	BRIELMAIER, MICHELE	JNL PERSPECTIVE L	242,384.29	201.99	0.00	201.99	93.0	187.85
11/30/2017	KLEMENT, GARY	JNL PERSPECTIVE L	719,898.90	599.92	0.00	599.92	93.0	557.93
11/30/2017	KLEMENT, GARY	JNL PERSPECTIVE L	715,872.38	596.56	0.00	596.56	93.0	554.80
11/30/2017	CLOW, SANDRA	JNL PERSPECTIVE L	486,268.32	405.22	0.00	405.22	93.0	376.85
11/30/2017	FAMILY CHARITABLE REMAIND,	JNL PERSPECTIVE L	761,834.44	634.86	0.00	634.86	93.0	590.42
11/30/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	155,529.09	129.61	0.00	129.61	93.0	120.54
11/30/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	34,062.89	28.39	0.00	28.39	93.0	26.40
11/30/2017	DAMEWOOD, VIRGINIA	JNL PERSPECTIVE L	65,696.85	54.75	0.00	54.75	93.0	50.92
11/30/2017	DAWSON, DEANNA	JNL PERSPECTIVE L	37,739.88	31.45	0.00	31.45	93.0	29.25
11/30/2017	GARTNER, MARIE	JNL PERSPECTIVE L	171,764.45	143.14	0.00	143.14	93.0	133.12
11/30/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	1,179,874.71	983.23	0.00	983.23	93.0	914.40
11/30/2017	GARTNER, LEONARD	JNL PERSPECTIVE L	215,304.07	179.42	0.00	179.42	93.0	166.86
11/30/2017	FITTERER, RUTH	JNL PERSPECTIVE L	211,349.48	176.12	0.00	176.12	93.0	163.79
11/30/2017	MICHELS, DAVID	JNL PERSPECTIVE L	138,010.19	115.01	0.00	115.01	93.0	106.96
11/30/2017	MICHELS, BEVERLEY	JNL PERSPECTIVE L	326,549.32	272.12	0.00	272.12	93.0	253.07
11/30/2017	HANSON, JOY	JNL PERSPECTIVE L	213,123.36	177.60	0.00	177.60	93.0	165.17
11/30/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	552,147.58	460.12	0.00	460.12	93.0	427.91
11/30/2017	SCHOEN, RICHARD	JNL PERSPECTIVE L	617,387.66	514.49	0.00	514.49	93.0	478.48
11/30/2017	ROBINSON, MARILYN	JNL PERSPECTIVE L	140,871.42	117.39	0.00	117.39	93.0	109.17
11/30/2017	FOSTER, RICHARD	JNL PERSPECTIVE L	1,097,764.11	914.80	0.00	914.80	93.0	850.76
11/30/2017	STAFFEN, ROBERT	JNL PERSPECTIVE L	119,495.83	99.58	0.00	99.58	93.0	92.61
11/30/2017	TURNER, MYRNA	JNL PERSPECTIVE L	182,217.81	151.85	0.00	151.85	93.0	141.22
11/30/2017	TURNER, WENDELL &	JNL PERSPECTIVE L	168,153.68	140.13	0.00	140.13	93.0	130.32
11/30/2017	BENZ, FORREST	JNL PERSPECTIVE L	31,124.19	25.94	0.00	25.94	93.0	24.12
11/30/2017	BENZ, FORREST	JNL PERSPECTIVE L	270,285.00	225.24	0.00	225.24	93.0	209.47
11/30/2017	WEIBLE, DEAN	JNL PERSPECTIVE L	49,692.21	41.41	0.00	41.41	93.0	38.51
11/30/2017	SOUKUP, LAVERN	JNL PERSPECTIVE L	260,928.49	217.44	0.00	217.44	93.0	202.22
11/30/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	122,600.95	102.17	0.00	102.17	93.0	95.02
11/30/2017	BOUDREAU, WILLIAM	JNL PERSPECTIVE L	416,332.53	346.94	0.00	346.94	93.0	322.65
11/30/2017	SHEARER, KAREN	JNL PERSPECTIVE L	122,639.40	102.20	0.00	102.20	93.0	95.05
11/30/2017	SHEARER, DONALD	JNL PERSPECTIVE L	331,520.56	276.27	0.00	276.27	93.0	256.93
11/30/2017	JOHNSON, JESSE	JNL PERSPECTIVE L	114,950.19	95.79	0.00	95.79	93.0	89.08
11/30/2017	JOHNSON, HERMIT	JNL PERSPECTIVE L	8,205.39	6.84	0.00	6.84	93.0	6.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/30/2017	JOHNSON, KAREN	JNL PERSPECTIVE L	8,202.15	6.84	0.00	6.84	93.0	6.36
11/30/2017	SNYDER, CYNTHIA	JNL PERSPECTIVE L	29,795.14	24.83	0.00	24.83	93.0	23.09
11/30/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	89,552.96	74.63	0.00	74.63	93.0	69.41
11/30/2017	STEVENS, SANDRA	JNL PERSPECTIVE L	24,750.05	20.63	0.00	20.63	93.0	19.19
11/30/2017	STEVENS, GREGORY	JNL PERSPECTIVE L	84,160.04	70.13	0.00	70.13	93.0	65.22
11/30/2017	LOE, TIMOTHY	JNL PERSPECTIVE L	120,047.69	65.03	0.00	65.03	93.0	60.48
11/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	126,741.16	68.65	0.00	68.65	93.0	63.84
11/30/2017	WOLFF, LINDA	JNL PERSPECTIVE L	138,603.50	115.50	0.00	115.50	93.0	107.42
11/30/2017	LABRIER, JOHN	JNL PERSPECTIVE L	76,865.61	64.05	0.00	64.05	93.0	59.57
11/30/2017	LABRIER, PAULA	JNL PERSPECTIVE L	15,957.83	13.30	0.00	13.30	93.0	12.37
11/30/2017	WETZELL, PAMELA	JNL PERSPECTIVE L	90,107.47	75.09	0.00	75.09	93.0	69.83
11/30/2017	JOHNSON, SHERI	JNL PERSPECTIVE L	162,070.99	135.06	0.00	135.06	93.0	125.61
11/30/2017	REITER, KAREN	JNL PERSPECTIVE L	11,342.15	6.14	0.00	6.14	93.0	5.71
11/30/2017	MULFORD, PATRICIA	JOHN HANCOCK VANTAGE	266,473.00	222.06	0.00	222.06	93.0	206.52
11/30/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	41,249.21	34.37	0.00	34.37	93.0	31.96
11/30/2017	WILKERSON, LAURA	JOHN HANCOCK VANTAGE	35,327.77	29.44	0.00	29.44	93.0	27.38
11/30/2017	BOESCH, RODNEY	JOHN HANCOCK VENTURE	156,908.10	32.69	0.00	32.69	93.0	30.40
11/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	24,169.37	20.14	0.00	20.14	93.0	18.73
11/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	465,135.71	387.61	0.00	387.61	93.0	360.48
11/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	113,488.86	94.57	0.00	94.57	93.0	87.95
11/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	211,310.94	176.09	0.00	176.09	93.0	163.76
11/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	17,251.08	3.59	0.00	3.59	93.0	3.34
11/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	12,374.25	2.58	0.00	2.58	93.0	2.40
11/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	17,966.11	3.74	0.00	3.74	93.0	3.48
11/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	181,814.52	151.51	0.00	151.51	93.0	140.90
11/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	44,978.12	37.48	0.00	37.48	93.0	34.86
11/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	327,787.46	273.16	0.00	273.16	93.0	254.04
11/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	652,123.78	543.44	0.00	543.44	93.0	505.40
11/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	24,687.86	20.57	0.00	20.57	93.0	19.13
11/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,672.90	5.56	0.00	5.56	93.0	5.17
11/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	36,887.81	30.74	0.00	30.74	93.0	28.59
11/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	18,863.31	15.72	0.00	15.72	93.0	14.62
11/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	6,699.95	5.58	0.00	5.58	93.0	5.19

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	25,348.13	21.12	0.00	21.12	93.0	19.64
11/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	49,193.71	40.99	0.00	40.99	93.0	38.12
11/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	17,360.41	14.47	0.00	14.47	93.0	13.46
11/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	232,825.24	194.02	0.00	194.02	93.0	180.44
11/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,369,027.08	1,140.86	0.00	1,140.86	93.0	1,061.00
11/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	7,974.52	6.65	0.00	6.65	93.0	6.18
11/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	228,869.40	190.72	0.00	190.72	93.0	177.37
11/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	19,349.98	16.12	0.00	16.12	93.0	14.99
11/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	66,681.60	55.57	0.00	55.57	93.0	51.68
11/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	57,797.82	48.16	0.00	48.16	93.0	44.79
11/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	35,920.09	29.93	0.00	29.93	93.0	27.83
11/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	33,053.10	27.54	0.00	27.54	93.0	25.61
11/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	54,763.51	45.64	0.00	45.64	93.0	42.45
11/29/2017	BULLER, CAROL	TRANSAMERICA EXTRA	14,180.81	11.82	0.00	11.82	93.0	10.99
11/29/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	31,252.63	26.04	0.00	26.04	93.0	24.22
11/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	233,135.17	194.28	0.00	194.28	93.0	180.68
11/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	13,137.43	10.95	0.00	10.95	93.0	10.18
11/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	116,851.78	97.38	0.00	97.38	93.0	90.56
11/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	78,112.04	65.09	0.00	65.09	93.0	60.53
11/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	69,434.77	57.86	0.00	57.86	93.0	53.81
11/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	185,694.79	154.75	0.00	154.75	93.0	143.92
11/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,348,553.45	1,123.79	0.00	1,123.79	93.0	1,045.12
11/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	301,132.23	250.94	0.00	250.94	93.0	233.37
11/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	105,767.49	88.14	0.00	88.14	93.0	81.97
11/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	318,915.77	265.76	0.00	265.76	93.0	247.16
11/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	121,615.52	101.35	0.00	101.35	93.0	94.26
11/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	138,627.83	115.52	0.00	115.52	93.0	107.43
11/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	73,944.81	61.62	0.00	61.62	93.0	57.31
11/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	387,418.57	322.85	0.00	322.85	93.0	300.25
11/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	469,604.65	391.34	0.00	391.34	93.0	363.95
11/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,845.01	12.37	0.00	12.37	93.0	11.50
11/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	523,475.09	436.23	0.00	436.23	93.0	405.69
11/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	164,829.52	137.36	0.00	137.36	93.0	127.74

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	762,454.62	635.38	0.00	635.38	93.0	590.90
11/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	52,129.18	43.44	0.00	43.44	93.0	40.40
11/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,951.54	11.63	0.00	11.63	93.0	10.82
11/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	72,009.20	60.01	0.00	60.01	93.0	55.81
11/29/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	234,548.24	195.46	0.00	195.46	93.0	181.78
11/29/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	54,231.97	45.19	0.00	45.19	93.0	42.03
11/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	57,734.08	48.11	0.00	48.11	93.0	44.74
11/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	37,805.36	31.50	0.00	31.50	93.0	29.30
11/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	83,386.76	69.49	0.00	69.49	93.0	64.63
11/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	223,424.48	186.19	0.00	186.19	93.0	173.16
11/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	337.09	0.28	0.00	0.28	93.0	0.26

* VARIABLE ANNUITIES SUBTOTAL *	\$63,961,694.90	\$54,969.15	\$0.00	\$54,969.15		\$51,121.33
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PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

11/16/2017	FOELS, TAMMY	TRANSAMERICA LIFE	0.00	1.00	0.00	1.00	93.0	0.93
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$1.00	\$0.00	\$1.00		\$0.93

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	YARGER, MARCY	AM FUNDS AMCAP A	0.00	1.11	0.00	1.11	93.0	1.03
11/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.89	0.00	0.89	93.0	0.83
11/20/2017	TURPEN, ERIC	AM FUNDS AMERICAN MUTUAL A	0.00	0.26	0.00	0.26	93.0	0.24
11/20/2017	TURPEN, RACHEL	AM FUNDS AMERICAN MUTUAL A	0.00	0.69	0.00	0.69	93.0	0.64
12/01/2017	PANOS, GEARLDINE	AM FUNDS AMERICAN MUTUAL A	0.00	25.42	0.00	25.42	93.0	23.64
12/01/2017	YARGER, MARCY	AM FUNDS AMERICAN MUTUAL A	0.00	1.20	0.00	1.20	93.0	1.12
11/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS BOND FUND OF AMERICA A	0.00	0.69	0.00	0.69	93.0	0.64
12/01/2017	YARGER, MARCY	AM FUNDS BOND FUND OF AMERICA A	0.00	1.23	0.00	1.23	93.0	1.14
11/20/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.13	0.00	0.13	93.0	0.12
11/20/2017	FITZSIMMONS, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	93.0	0.76
11/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.04	0.00	1.04	93.0	0.97
12/01/2017	YARGER, MARCY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.99	0.00	0.99	93.0	0.92
11/20/2017	LABRIER, PAULA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.77	0.00	1.77	93.0	1.65
12/01/2017	BOYUM, HEIDI	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.02	0.00	0.02	93.0	0.02
11/20/2017	TURPEN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.12	0.00	0.12	93.0	0.11
11/20/2017	TURPEN, RACHEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.73	0.00	0.73	93.0	0.68

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/01/2017	YARGER, MARCY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.52	0.00	1.52	93.0	1.41
12/01/2017	BOYUM, HEIDI	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.02	0.00	0.02	93.0	0.02
11/20/2017	LOWE, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.62	0.00	1.62	93.0	1.51
12/01/2017	BOYUM, HEIDI	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.06	0.00	0.06	93.0	0.06
11/20/2017	FITZSIMMONS, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	93.0	0.87
11/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	93.0	0.53
11/20/2017	LOWE, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.53	0.00	1.53	93.0	1.42
11/20/2017	TURPEN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.71	0.00	0.71	93.0	0.66
11/20/2017	TURPEN, RACHEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	0.00	1.85	93.0	1.72
12/01/2017	YARGER, MARCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.19	0.00	1.19	93.0	1.11
12/01/2017	BOYUM, HEIDI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	93.0	0.06
12/01/2017	BOYUM, HEIDI	AM FUNDS ICA A	0.00	0.03	0.00	0.03	93.0	0.03
11/20/2017	TURPEN, ERIC	AM FUNDS NEW ECONOMY A	0.00	0.14	0.00	0.14	93.0	0.13
11/20/2017	TURPEN, RACHEL	AM FUNDS NEW ECONOMY A	0.00	0.37	0.00	0.37	93.0	0.34
11/20/2017	FITZSIMMONS, CHRISTINE	AM FUNDS NEW WORLD A	0.00	0.35	0.00	0.35	93.0	0.33
11/20/2017	TURPEN, ERIC	AM FUNDS NEW WORLD A	0.00	0.12	0.00	0.12	93.0	0.11
11/20/2017	TURPEN, RACHEL	AM FUNDS NEW WORLD A	0.00	0.70	0.00	0.70	93.0	0.65
12/01/2017	BOYUM, HEIDI	AM FUNDS NEW WORLD A	0.00	0.02	0.00	0.02	93.0	0.02
11/20/2017	TURPEN, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	0.08	0.00	0.08	93.0	0.07
11/20/2017	TURPEN, RACHEL	AM FUNDS SMALLCAP WORLD A	0.00	0.52	0.00	0.52	93.0	0.48
12/01/2017	YARGER, MARCY	AM FUNDS SMALLCAP WORLD A	0.00	1.57	0.00	1.57	93.0	1.46
12/01/2017	BOYUM, HEIDI	AM FUNDS SMALLCAP WORLD A	0.00	0.03	0.00	0.03	93.0	0.03
11/20/2017	TURPEN, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.54	0.00	0.54	93.0	0.50
11/20/2017	TURPEN, RACHEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.42	0.00	1.42	93.0	1.32
12/01/2017	YARGER, MARCY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.62	0.00	0.62	93.0	0.58
12/01/2017	BOYUM, HEIDI	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.02	0.00	0.02	93.0	0.02
11/10/2017	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	10.85	0.00	10.85	93.0	10.09
11/22/2017	MICHALETZ TRUST, GERALD & FAYE	AMERICA FIRST INCOME TRENDS FUND CLA	0.00	11.27	0.00	11.27	93.0	10.48
11/22/2017	BITTENBENDER, MARILYN	BLK GLOBAL ALLOCATION C	0.00	7.92	0.00	7.92	93.0	7.37
11/16/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.15	0.00	4.15	93.0	3.86
11/16/2017	ROY, DEBRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.44	0.00	12.44	93.0	11.57
11/16/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	21.18	0.00	21.18	93.0	19.70
11/16/2017	LARSON, DONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	63.53	0.00	63.53	93.0	59.08

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/16/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	42.09	0.00	42.09	93.0	39.14
11/16/2017	BERGLING CHARITABLE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	126.27	0.00	126.27	93.0	117.43
11/16/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.16	0.00	2.16	93.0	2.01
11/16/2017	WOLFF, JEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.47	0.00	6.47	93.0	6.02
11/16/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.75	0.00	31.75	93.0	29.53
11/16/2017	WOLFF TRUST, WILLIS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	95.26	0.00	95.26	93.0	88.59
11/22/2017	REILLY, KAREN	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	22.28	0.00	22.28	93.0	20.72
11/22/2017	VAN SISTINE, JEANNE	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	19.25	0.00	19.25	93.0	17.90
11/22/2017	REINKE, RONALD	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	2.87	0.00	2.87	93.0	2.67
11/17/2017	REILLY, KAREN	EATON VANCE TAX MANAGED VALUE FD CL	0.00	9.01	0.00	9.01	93.0	8.38
11/17/2017	VAN SISTINE, JEANNE	EATON VANCE TAX MANAGED VALUE FD CL	0.00	17.10	0.00	17.10	93.0	15.90
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	35.40	0.00	35.40	93.0	32.92
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	0.40	0.00	0.40	93.0	0.37
/ /	KOCON, ANTHONY	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	3.44	0.00	3.44	93.0	3.20
/ /	BOUDREAU, WILLIAM	JH FREEDOM LIFESTYLE GRW 529 PF C2	0.00	6.97	0.00	6.97	93.0	6.48
11/17/2017	TURNER, WANDA	JH HIGH YIELD A	0.00	1.42	0.00	1.42	93.0	1.32
11/17/2017	REINKE, RONALD	JH LARGE CAP EQUITY A	0.00	4.08	0.00	4.08	93.0	3.79
11/17/2017	REILLY, KAREN	JH NEW OPPORTUNITIES A	0.00	9.54	0.00	9.54	93.0	8.87
11/17/2017	VAN SISTINE, JEANNE	JH NEW OPPORTUNITIES A	0.00	8.90	0.00	8.90	93.0	8.28
11/22/2017	PLOTZ, ROSANN	JP MORGAN GROWTH AND INCOME FUND CL	0.00	0.49	0.00	0.49	93.0	0.46
11/22/2017	REILLY, KAREN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	8.18	0.00	8.18	93.0	7.61
11/22/2017	POLTZ, ALAN	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	0.77	0.00	0.77	93.0	0.72
11/22/2017	REINKE, RONALD	JP MORGAN MID CAP GROWTH FUND CLASS	0.00	4.24	0.00	4.24	93.0	3.94
11/14/2017	SCHLOMANN, DAVID	MFS GROWTH ALLOCATION C	0.00	12.41	0.00	12.41	93.0	11.54
11/14/2017	SCHLOMANN, ANN	MFS MODERATE ALLOCATION C	0.00	8.32	0.00	8.32	93.0	7.74
11/14/2017	POLTZ, ALAN	MFS RESEARCH A	0.00	0.51	0.00	0.51	93.0	0.47
11/16/2017	REINKE, RONALD	PUTNAM HIGH YIELD ADVANTAGE A	0.00	3.65	0.00	3.65	93.0	3.39
11/13/2017	OTTO, CHRISTOPHER	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.70	0.00	0.70	93.0	0.65
11/13/2017	REILLY, KAREN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.43	0.00	4.43	93.0	4.12
11/16/2017	SWANSON, GLENN	TRANSAMERICA AA CONSERVATIVE C	0.00	26.40	0.00	26.40	93.0	24.55
11/16/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH A	0.00	2.44	0.00	2.44	93.0	2.27
11/16/2017	HINTON, ALYSSA	TRANSAMERICA AA GROWTH C	0.00	1.76	0.00	1.76	93.0	1.64
11/16/2017	BOUDREAU, WILLIAM	TRANSAMERICA AA GROWTH C	0.00	12.57	0.00	12.57	93.0	11.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/16/2017	BOUDREAU, DIANE	TRANSAMERICA AA GROWTH C	0.00	25.66	0.00	25.66	93.0	23.86
11/16/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	3.37	0.00	3.37	93.0	3.13
11/16/2017	GRUBISH, JAMES	TRANSAMERICA AA GROWTH C	0.00	31.70	0.00	31.70	93.0	29.48
11/16/2017	LILLEVOLD, KATHRYN	TRANSAMERICA AA GROWTH C	0.00	15.69	0.00	15.69	93.0	14.59
11/16/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA GROWTH C	0.00	75.01	0.00	75.01	93.0	69.76
12/04/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.89	0.00	34.89	93.0	32.45
12/04/2017	KIECKER, MARK	TRANSAMERICA AA GROWTH C	0.00	34.51	0.00	34.51	93.0	32.09
12/04/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	0.00	37.56	0.00	37.56	93.0	34.93
12/04/2017	THORP, MEGAN	TRANSAMERICA AA GROWTH C	0.00	1.82	0.00	1.82	93.0	1.69
12/04/2017	MICHALETZ, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	93.0	1.37
12/04/2017	FITTERER, JAMES	TRANSAMERICA AA GROWTH C	0.00	40.31	0.00	40.31	93.0	37.49
12/04/2017	ROBERTSON, KATELYN	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	93.0	4.04
12/04/2017	SCHOEN, JUDITH	TRANSAMERICA AA GROWTH C	0.00	18.49	0.00	18.49	93.0	17.20
12/04/2017	JONES, ERIN	TRANSAMERICA AA GROWTH C	0.00	4.81	0.00	4.81	93.0	4.47
12/04/2017	JONES, KEITH	TRANSAMERICA AA GROWTH C	0.00	4.81	0.00	4.81	93.0	4.47
12/04/2017	LELAND, CRAIG	TRANSAMERICA AA GROWTH C	0.00	29.83	0.00	29.83	93.0	27.74
12/04/2017	SEVERSON, STEVEN	TRANSAMERICA AA GROWTH C	0.00	2.54	0.00	2.54	93.0	2.36
12/04/2017	FREDERICK, THOMAS	TRANSAMERICA AA GROWTH C	0.00	4.82	0.00	4.82	93.0	4.48
12/04/2017	RENDL, MARK	TRANSAMERICA AA GROWTH C	0.00	3.77	0.00	3.77	93.0	3.51
12/04/2017	GROMMERSCH, IZABEL	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	93.0	4.04
12/04/2017	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	0.00	16.46	0.00	16.46	93.0	15.31
12/04/2017	HASKIN-BEEBE, JEAN	TRANSAMERICA AA GROWTH C	0.00	3.94	0.00	3.94	93.0	3.66
12/04/2017	KIECKER, DENISE	TRANSAMERICA AA GROWTH C	0.00	17.32	0.00	17.32	93.0	16.11
12/04/2017	MARK T KIECKER, SIM	TRANSAMERICA AA GROWTH C	0.00	17.95	0.00	17.95	93.0	16.69
12/04/2017	SHORE, HELEN	TRANSAMERICA AA GROWTH C	0.00	58.31	0.00	58.31	93.0	54.23
12/04/2017	DOBIE, SHERYL	TRANSAMERICA AA GROWTH C	0.00	6.09	0.00	6.09	93.0	5.66
12/04/2017	JONES, EVAN	TRANSAMERICA AA GROWTH C	0.00	1.43	0.00	1.43	93.0	1.33
12/04/2017	GROMMERSCH, JOHN	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	93.0	4.04
12/04/2017	WETZELL, PAMELA	TRANSAMERICA AA GROWTH C	0.00	3.92	0.00	3.92	93.0	3.65
12/04/2017	WETZELL, THOMAS	TRANSAMERICA AA GROWTH C	0.00	12.04	0.00	12.04	93.0	11.20
12/04/2017	JONES, WYATT	TRANSAMERICA AA GROWTH C	0.00	1.54	0.00	1.54	93.0	1.43
12/04/2017	THOMPSON, JO ANN	TRANSAMERICA AA GROWTH C	0.00	10.55	0.00	10.55	93.0	9.81
12/04/2017	JONES, DYLAN	TRANSAMERICA AA GROWTH C	0.00	1.26	0.00	1.26	93.0	1.17

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/04/2017	OTTO, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	11.89	0.00	11.89	93.0	11.06
12/04/2017	CHRISTMAN, PATRICK	TRANSAMERICA AA GROWTH C	0.00	9.57	0.00	9.57	93.0	8.90
12/04/2017	CHRISTMAN, LISA	TRANSAMERICA AA GROWTH C	0.00	8.60	0.00	8.60	93.0	8.00
12/04/2017	THORP, CALEB	TRANSAMERICA AA GROWTH C	0.00	6.50	0.00	6.50	93.0	6.05
12/04/2017	WETZELL, ELI	TRANSAMERICA AA GROWTH C	0.00	5.55	0.00	5.55	93.0	5.16
12/04/2017	WETZELL, BECKETT	TRANSAMERICA AA GROWTH C	0.00	5.98	0.00	5.98	93.0	5.56
12/04/2017	TOMM, JODI	TRANSAMERICA AA GROWTH C	0.00	58.13	0.00	58.13	93.0	54.06
12/04/2017	JONES, WILL	TRANSAMERICA AA GROWTH C	0.00	1.29	0.00	1.29	93.0	1.20
12/04/2017	VETTER, BRIAN	TRANSAMERICA AA GROWTH C	0.00	13.57	0.00	13.57	93.0	12.62
12/04/2017	SANDBO, KAID	TRANSAMERICA AA GROWTH C	0.00	4.40	0.00	4.40	93.0	4.09
12/04/2017	SANDBO, ALYIAH	TRANSAMERICA AA GROWTH C	0.00	4.15	0.00	4.15	93.0	3.86
12/04/2017	WETZELL, PEYTON	TRANSAMERICA AA GROWTH C	0.00	2.95	0.00	2.95	93.0	2.74
12/04/2017	THORP, REED	TRANSAMERICA AA GROWTH C	0.00	3.19	0.00	3.19	93.0	2.97
12/04/2017	SOLOMON, ARIANNA	TRANSAMERICA AA GROWTH C	0.00	2.93	0.00	2.93	93.0	2.72
12/04/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	23.46	0.00	23.46	93.0	21.82
12/04/2017	GRUBISH, MAHLON	TRANSAMERICA AA GROWTH C	0.00	6.34	0.00	6.34	93.0	5.90
12/04/2017	GRUBISH, GERTRUDE	TRANSAMERICA AA GROWTH C	0.00	6.34	0.00	6.34	93.0	5.90
12/04/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.09	0.00	1.09	93.0	1.01
12/04/2017	WETZELL, BRADY	TRANSAMERICA AA GROWTH C	0.00	1.04	0.00	1.04	93.0	0.97
12/04/2017	JONES, THOMAS	TRANSAMERICA AA GROWTH C	0.00	1.15	0.00	1.15	93.0	1.07
12/04/2017	THORP, QUINN	TRANSAMERICA AA GROWTH C	0.00	1.05	0.00	1.05	93.0	0.98
12/04/2017	WETZELL, CHRISTOPHER	TRANSAMERICA AA GROWTH C	0.00	1.02	0.00	1.02	93.0	0.95
12/04/2017	KIECKER, BENJAMIN	TRANSAMERICA AA GROWTH C	0.00	2.42	0.00	2.42	93.0	2.25
12/04/2017	KIECKER, DANIEL	TRANSAMERICA AA GROWTH C	0.00	2.80	0.00	2.80	93.0	2.60
12/04/2017	STEVENS, GAVIN	TRANSAMERICA AA GROWTH C	0.00	9.53	0.00	9.53	93.0	8.86
12/04/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	0.00	3.76	0.00	3.76	93.0	3.50
12/04/2017	VOIGHT, CODY	TRANSAMERICA AA GROWTH C	0.00	4.29	0.00	4.29	93.0	3.99
12/04/2017	VOIGHT, NICKOLAS	TRANSAMERICA AA GROWTH C	0.00	0.15	0.00	0.15	93.0	0.14
11/16/2017	VETTER, BRIAN	TRANSAMERICA AA MOD GROWTH C	0.00	5.10	0.00	5.10	93.0	4.74
11/16/2017	SWANSON, GLENN	TRANSAMERICA AA MOD GROWTH C	0.00	34.26	0.00	34.26	93.0	31.86
11/16/2017	FITTERER TRUST, RUTH	TRANSAMERICA AA MOD GROWTH C	0.00	68.13	0.00	68.13	93.0	63.36
12/04/2017	CONRAD, MAREIDITH	TRANSAMERICA AA MOD GROWTH C	0.00	6.36	0.00	6.36	93.0	5.91
12/04/2017	CONRAD, DELBERT	TRANSAMERICA AA MOD GROWTH C	0.00	41.55	0.00	41.55	93.0	38.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/04/2017	LOE, GLORIA	TRANSAMERICA AA MOD GROWTH C	0.00	7.91	0.00	7.91	93.0	7.36
12/04/2017	GARTNER, TIMOTHY	TRANSAMERICA AA MOD GROWTH C	0.00	25.16	0.00	25.16	93.0	23.40
12/04/2017	GRAFF, GARY	TRANSAMERICA AA MOD GROWTH C	0.00	21.46	0.00	21.46	93.0	19.96
11/16/2017	VETTER, BRIAN	TRANSAMERICA AA MODERATE C	0.00	2.03	0.00	2.03	93.0	1.89
11/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA CAPITAL GROWTH A	0.00	0.75	0.00	0.75	93.0	0.70
11/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.77	0.00	0.77	93.0	0.72
11/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	0.95	0.00	0.95	93.0	0.88
11/16/2017	OTTO, CHRISTOPHER	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	1.25	0.00	1.25	93.0	1.16
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,670.29	\$0.00	\$1,670.29		\$1,553.36

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/01/2017	HOLZER, IDA	PAC LIFE VALUE	0.00	183.84	0.00	183.84	93.0	170.97
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$183.84	\$0.00	\$183.84		\$170.97
*** DIRECT TRANSACTIONS TOTAL ***			\$65,447,019.90	\$154,328.53	\$0.00	\$154,328.53		\$143,525.54

SPLIT TRANSACTIONS**BROKER: 46G-JOE/JACK MICHALETZ #290**

11/16/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	8.45	0.00	8.45	93.0	7.86
11/16/2017	JANSEN, LOREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	25.36	0.00	25.36	93.0	23.58
* 46G-JOE/JACK MICHALETZ SUBTOTAL *			\$0.00	\$33.81	\$0.00	\$33.81		\$31.44
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$33.81	\$0.00	\$33.81		\$31.44

OVERRIDE TRANSACTIONS**BROKER: JACK MICHALETZ #287**

11/20/2017	PEMBLE, JOCELYN	AM FUNDS AMCAP 529A	0.00	0.36	0.00	0.36	0.0	0.33
12/01/2017	CARNEY, KARLA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.18	0.00	7.18	0.0	6.68
12/01/2017	CARNEY, KARLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.11	0.00	3.11	0.0	2.89
12/01/2017	APPEL, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.38	0.00	3.38	0.0	3.14
11/20/2017	PEMBLE, JOCELYN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	0.0	0.25
11/16/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.98	0.00	14.98	0.0	13.93
11/16/2017	ATKINSON, VONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.94	0.00	44.94	0.0	41.79

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
11/22/2017	ATKINSON, VONDA	DREYFUS WORLDWIDE GROWTH FUND CLASS	0.00	4.99	0.00	4.99	0.0	4.64
11/17/2017	GROMMERSCH, STACI	JH CLASSIC VALUE A	0.00	0.06	0.00	0.06	0.0	0.06
11/17/2017	GROMMERSCH, STACI	JH LARGE CAP EQUITY A	0.00	0.06	0.00	0.06	0.0	0.06
11/17/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.05	0.00	0.05	0.0	0.05
11/17/2017	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	0.00	0.29	0.00	0.29	0.0	0.27
11/17/2017	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	0.00	0.41	0.00	0.41	0.0	0.38
11/17/2017	ATKINSON, VONDA	JH STRATEGIC GROWTH A	0.00	2.76	0.00	2.76	0.0	2.57
11/21/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	0.0	1.23
11/30/2017	FIRST CONGREGATIONAL	JNL PERSPECTIVE II 04/14	179,242.30	37.34	0.00	37.34	0.0	34.73
11/30/2017	STEFFL, JAMES	JNL PERSPECTIVE II 04/14	163,049.07	33.97	0.00	33.97	0.0	31.59
11/30/2017	ZOARS UNITED CHURCH OF CH	JNL PERSPECTIVE II 04/14	35,577.29	7.41	0.00	7.41	0.0	6.89
11/30/2017	COLBY, TODD	JNL PERSPECTIVE II 04/14	207,643.12	43.26	0.00	43.26	0.0	40.23
11/30/2017	ROY, DEBRA	JNL PERSPECTIVE II 04/14	166,616.35	138.85	0.00	138.85	0.0	129.13
11/30/2017	STEVEIMER, THOMAS	JNL PERSPECTIVE II 04/14	112,104.89	46.71	0.00	46.71	0.0	43.44
11/30/2017	OTTO, CURTIS	JNL PERSPECTIVE II 05/05	8,566.77	7.14	0.00	7.14	0.0	6.64
11/30/2017	PETERSON, IONE	JNL PERSPECTIVE L	132,781.72	143.84	0.00	143.84	0.0	133.77
11/30/2017	PANKRATZ, SUSAN	JNL PERSPECTIVE L	118,898.82	99.08	0.00	99.08	0.0	92.14
11/30/2017	PANKRATZ, EDWARD	JNL PERSPECTIVE L	213,244.77	177.70	0.00	177.70	0.0	165.26
11/30/2017	DAHLKE, RONALD	JNL PERSPECTIVE L	9,412.76	7.84	0.00	7.84	0.0	7.29
11/30/2017	HOL, PATRICIA	JNL PERSPECTIVE L	131,622.71	109.68	0.00	109.68	0.0	102.00
11/30/2017	HAGER, REBECCA	JNL PERSPECTIVE L	65,730.41	54.78	0.00	54.78	0.0	50.95
11/30/2017	MARSH, JAMES	JNL PERSPECTIVE L	40,120.28	33.43	0.00	33.43	0.0	31.09
11/30/2017	FEAR, WILLIAM	JNL PERSPECTIVE L	142,594.24	118.83	0.00	118.83	0.0	110.51
11/30/2017	PEMBLE, MICHELLE	JNL PERSPECTIVE L	310,572.31	258.81	0.00	258.81	0.0	240.69
11/30/2017	HART, JILLANE	JNL PERSPECTIVE L	100,227.72	83.52	0.00	83.52	0.0	77.67
11/30/2017	HART, KEVIN	JNL PERSPECTIVE L	296,222.20	246.86	0.00	246.86	0.0	229.58
11/30/2017	STEFFL, CHRISTINE	JNL PERSPECTIVE L	25,475.02	21.23	0.00	21.23	0.0	19.74
11/30/2017	BEVAN, HELEN	JNL PERSPECTIVE L	39,234.82	32.70	0.00	32.70	0.0	30.41
11/21/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	0.0	0.99
11/21/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	0.0	0.99
11/17/2017	GROMMERSCH, STACI	JOHN HANCOCK LIFESTYLE BALANCED POR	0.00	0.04	0.00	0.04	0.0	0.04
11/21/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	0.0	0.73
11/14/2017	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	12.13	0.00	12.13	0.0	11.28

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
11/14/2017	SWARD, KIM	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	38.15	0.00	38.15	0.0	35.48
11/14/2017	SORUM, JEFFREY	MFS GROWTH ALLOCATION C	0.00	4.52	0.00	4.52	0.0	4.20
11/14/2017	WHITE, STACEY	MFS GROWTH ALLOCATION C	0.00	12.08	0.00	12.08	0.0	11.23
11/16/2017	SORENSEN, JOSHUA	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.39	0.00	0.39	0.0	0.36
11/28/2017	FLO, PAUL	POWERSHARES QQQ TR UNIT SER 1	4,845.27	22.00	22.50	-0.50	0.0	-0.47
11/28/2017	FLO, PAUL	POWERSHARES QQQ TR UNIT SER 1	19,066.97	22.00	22.50	-0.50	0.0	-0.47
11/16/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	0.00	2.66	0.00	2.66	0.0	2.47
11/16/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	2.29	0.00	2.29	0.0	2.13
11/16/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	0.00	5.63	0.00	5.63	0.0	5.24
11/16/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	0.00	3.82	0.00	3.82	0.0	3.55
12/05/2017	LORENTZ, ANDREW	PUTNAM CAPITAL SPECTRUM C	228.00	2.28	12.00	-9.72	0.0	-9.04
11/16/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	2.23	0.00	2.23	0.0	2.07
11/16/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	0.00	5.69	0.00	5.69	0.0	5.29
11/16/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	0.00	3.85	0.00	3.85	0.0	3.58
12/05/2017	LORENTZ, ANDREW	PUTNAM EQUITY SPECTRUM C	227.00	2.27	12.00	-9.73	0.0	-9.05
11/16/2017	ATKINSON, VONDA	PUTNAM INTERNATIONAL GROWTH-A	0.00	10.16	0.00	10.16	0.0	9.45
11/16/2017	SCHULLER, ALEC	PUTNAM MULTI CAP GROWTH A	0.00	0.89	0.00	0.89	0.0	0.83
11/13/2017	ATKINSON, VONDA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	24.12	0.00	24.12	0.0	22.43
11/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	0.00	2.92	0.00	2.92	0.0	2.72
11/16/2017	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	0.00	3.96	0.00	3.96	0.0	3.68
11/16/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH A	0.00	2.44	0.00	2.44	0.0	2.27
11/16/2017	LORENTZ, ROBERT	TRANSAMERICA AA GROWTH A	0.00	5.18	0.00	5.18	0.0	4.82
11/16/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.29	0.00	4.29	0.0	3.99
11/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	19.02	0.00	19.02	0.0	17.69
11/16/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH C	0.00	15.79	0.00	15.79	0.0	14.68
12/04/2017	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.82	0.00	2.82	0.0	2.62
12/04/2017	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	5.79	0.00	5.79	0.0	5.38
12/04/2017	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	39.04	0.00	39.04	0.0	36.31
12/04/2017	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	39.04	0.00	39.04	0.0	36.31
12/04/2017	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.61	0.00	5.61	0.0	5.22
12/04/2017	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.22	0.00	17.22	0.0	16.01
12/04/2017	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	5.84	0.00	5.84	0.0	5.43
12/04/2017	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.80	0.00	1.80	0.0	1.67

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
12/04/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	16.56	0.00	16.56	0.0	15.40
12/04/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	51.07	0.00	51.07	0.0	47.50
12/04/2017	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.56	0.00	1.56	0.0	1.45
12/04/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	44.14	0.00	44.14	0.0	41.05
12/04/2017	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	6.97	0.00	6.97	0.0	6.48
12/04/2017	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	7.96	0.00	7.96	0.0	7.40
12/04/2017	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.74	0.00	1.74	0.0	1.62
12/04/2017	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.64	0.00	2.64	0.0	2.46
12/04/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	18.58	0.00	18.58	0.0	17.28
12/04/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	17.86	0.00	17.86	0.0	16.61
12/04/2017	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	11.62	0.00	11.62	0.0	10.81
12/04/2017	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.18	0.00	2.18	0.0	2.03
12/04/2017	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.20	0.00	2.20	0.0	2.05
12/04/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.43	0.00	1.43	0.0	1.33
12/04/2017	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.66	0.00	3.66	0.0	3.40
12/04/2017	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	0.0	1.06
12/04/2017	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.11	0.00	1.11	0.0	1.03
12/04/2017	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.76	0.00	5.76	0.0	5.36
12/04/2017	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.10	0.00	21.10	0.0	19.62
12/04/2017	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.55	0.00	9.55	0.0	8.88
12/04/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.12	0.00	5.12	0.0	4.76
12/04/2017	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	3.87	0.00	3.87	0.0	3.60
12/04/2017	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.09	0.00	8.09	0.0	7.52
12/04/2017	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.13	0.00	2.13	0.0	1.98
12/04/2017	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.50	0.00	8.50	0.0	7.91
12/04/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	0.00	3.51	0.00	3.51	0.0	3.26
12/04/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.30	0.00	13.30	0.0	12.37
12/04/2017	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	15.64	0.00	15.64	0.0	14.55
12/04/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.75	0.00	0.75	0.0	0.70
12/04/2017	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.77	0.00	4.77	0.0	4.44
12/04/2017	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.87	0.00	1.87	0.0	1.74
12/04/2017	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.81	0.00	2.81	0.0	2.61
12/04/2017	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	0.0	1.66

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<u>BROKER: JACK MICHALETZ #287</u>								
12/04/2017	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.04	0.00	3.04	0.0	2.83
12/04/2017	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.67	0.00	0.67	0.0	0.62
12/04/2017	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.34	0.00	4.34	0.0	4.04
12/04/2017	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.61	0.00	9.61	0.0	8.94
12/04/2017	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.29	0.00	16.29	0.0	15.15
12/05/2017	LORENTZ, ANDREW	TRANSAMERICA AA GROWTH C	300.00	3.00	12.00	-9.00	0.0	-8.37
11/16/2017	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	0.00	32.35	0.00	32.35	0.0	30.09
12/04/2017	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	6.82	0.00	6.82	0.0	6.34
12/04/2017	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.30	0.00	3.30	0.0	3.07
12/04/2017	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.08	0.00	5.08	0.0	4.72
12/04/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	47.63	0.00	47.63	0.0	44.30
12/04/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	5.31	0.00	5.31	0.0	4.94
12/04/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.02	0.00	7.02	0.0	6.53
12/04/2017	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.30	0.00	1.30	0.0	1.21
12/04/2017	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.36	0.00	2.36	0.0	2.19
12/04/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	10.09	0.00	10.09	0.0	9.38
12/04/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	6.72	0.00	6.72	0.0	6.25
12/04/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.52	0.00	3.52	0.0	3.27
11/24/2017	DEMUTH, LYNN	TRANSAMERICA AA MODERATE C	6,861.66	68.62	0.00	68.62	0.0	63.82
11/16/2017	STEFFL, CHRISTINE	TRANSAMERICA CAPITAL GROWTH A	0.00	0.66	0.00	0.66	0.0	0.61
11/16/2017	STEFFL, JOSEPH	TRANSAMERICA CAPITAL GROWTH A	0.00	0.62	0.00	0.62	0.0	0.58
11/16/2017	STEFFL, CHRISTINE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.44	0.00	0.44	0.0	0.41
11/16/2017	STEFFL, JOSEPH	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.39	0.00	0.39	0.0	0.36
11/16/2017	SCHULLER, CORY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.46	0.00	1.46	0.0	1.36
11/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	18,142.43	15.12	0.00	15.12	0.0	14.06
11/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	18,646.83	15.54	0.00	15.54	0.0	14.45
11/27/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
11/27/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	50.00	2.50	0.00	2.50	0.0	2.33
11/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	86,634.17	72.20	0.00	72.20	0.0	67.15
11/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	88,856.46	74.05	0.00	74.05	0.0	68.87
* JACK MICHALETZ SUBTOTAL *			\$2,743,268.76	\$2,861.54	\$81.00	\$2,780.54		\$2,585.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JANE MICHALETZ #347</u>								
11/30/2017	BURNHAM, MOLLY	JNL PERSPECTIVE II 05/05	76,690.39	31.95	0.00	31.95	0.0	29.71
		* JANE MICHALETZ SUBTOTAL *	\$76,690.39	\$31.95	\$0.00	\$31.95		\$29.71
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$2,819,959.15	\$2,893.49	\$81.00	\$2,812.49		\$2,615.59

ADJUSTMENTS

12/15/2017	*: FINRA:KY INDIVIDUAL REG. FEE	0.00	-100.00
12/15/2017	*: FINRA:MI INDIVIDUAL REG. FEE	0.00	-130.00
12/15/2017	*: FINRA:OH INDIVIDUAL REG. FEE	0.00	-120.00
12/15/2017	*: FINRA:OK INDIVIDUAL REG. FEE	0.00	-100.00
12/15/2017	*: FINRA:OR INDIVIDUAL REG. FEE	0.00	-120.00
12/15/2017	*: FINRA:WA INDIVIDUAL REG. FEE	0.00	-80.00
12/15/2017	*: PERSHING: REORG FEE R DIETRICH	0.00	-10.00
12/15/2017	*: PERSHING:REORG FEE E DORN	0.00	-10.00
12/15/2017	*: PERSHING:REORG FEE W BOUREAU	0.00	-10.00
12/15/2017	*: PERSHING:BOOKS & RECORDS	0.00	-3.00
12/15/2017	*AI INSIGHT: AI INSIGHT - JACK	0.00	-7.00
12/15/2017	*AI INSIGHT: CURRENT MONTH - JANE	0.00	-7.00
12/15/2017	*AI INSIGHT: CURRENT MONTH - JOE	0.00	-7.00
12/15/2017	*ALBRIDGE: LIGHT USER - JACK	0.00	-76.00
12/15/2017	*ALBRIDGE: LIGHT USER - JANE	0.00	-76.00
12/15/2017	*ALBRIDGE: LIGHT USER - JOE	0.00	-76.00
12/15/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00	1,522.50
12/15/2017	*EO INS: CURRENT MONTH INS	0.00	-300.00
12/15/2017	*EO INS: CURRENT MONTH INS-JACK	0.00	-300.00
12/15/2017	*EO INS: CURRENT MONTH INS-JANE	0.00	-300.00
12/15/2017	*FINRA: CE TRACKED WEB FEE ROUBIK	0.00	-55.00
12/15/2017	*GLOBAL RELAY: WEBSITE - JOE	0.00	-15.00
12/15/2017	*LASER APP: CURRENT MONTH - JANE	0.00	-18.00
12/15/2017	*LASER APP: LASER APP - JACK	0.00	-18.00
12/15/2017	*LASER APP: LASER APP - JOE	0.00	-18.00
12/15/2017	*MISC: REFUND/LICENSE RENEWAL JANE	0.00	1,565.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
12/15/2017		*PERSHING: PERSHING:BOOKS & RECORDS	0.00					-1.50
12/15/2017		*TECHNOLOGY: ADMIN - BRIDGET	0.00					-130.00
12/15/2017		*TECHNOLOGY: ADMIN - CHRISTINE	0.00					-135.00
12/15/2017		*TECHNOLOGY: ADMIN - KIMBERLY	0.00					-130.00
12/15/2017		*TECHNOLOGY: ADMIN - NICHOLE	0.00					-135.00
12/15/2017		*TECHNOLOGY: BASIC - JANE	0.00					-180.00
12/15/2017		*TECHNOLOGY: BASIC PACKAGE - JACK	0.00					-180.00
12/15/2017		*TECHNOLOGY: BASIC PACKAGE - JOE	0.00					-180.00
12/15/2017		*TECHNOLOGY: LIMITED ADMIN - KENNET	0.00					-60.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$143,516.24
Split Transactions	\$31.44
Override Transactions	\$2,615.59
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$146,163.27
Year-to-date Gross	\$6,003,989.28
Year-to-date Earnings	\$5,776,973.51

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