

**COMMISSION STATEMENT****January 31, 2018**

STAN WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/10/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
01/10/2018	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
* 529 PLANS SUBTOTAL *			\$100.00	\$4.26	\$0.00	\$4.26		\$3.92
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/16/2018	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
01/16/2018	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
01/16/2018	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
01/10/2018	MILLER, WARREN	AM FUNDS AMCAP A	100.00	3.75	0.00	3.75	92.0	3.45
01/10/2018	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	92.0	1.96
01/18/2018	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	5.63	0.00	5.63	92.0	5.18
01/08/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
01/08/2018	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	0.94	0.00	0.94	92.0	0.86
01/16/2018	JONES, RITA	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	92.0	2.30
01/18/2018	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	150.00	5.63	0.00	5.63	92.0	5.18
01/10/2018	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	92.0	1.73
01/16/2018	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
01/16/2018	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
01/16/2018	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	92.0	4.60
01/16/2018	HAYES, BEN	FIDELITY EQUITY GROWTH T	150.00	3.75	0.00	3.75	92.0	3.45
01/16/2018	BELL, BRAYDEN	FRANKLIN GROWTH A	50.00	1.88	0.00	1.88	92.0	1.73
01/18/2018	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
01/18/2018	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
01/18/2018	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
01/18/2018	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
01/18/2018	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
01/18/2018	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/18/2018	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
01/16/2018	BELL, JASON	INVESCO STRUCTURED CORE A	50.00	2.50	0.00	2.50	92.0	2.30
01/02/2018	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
01/05/2018	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	300.00	8.25	0.00	8.25	92.0	7.59
* MUTUAL FUNDS SUBTOTAL *			\$2,825.00	\$102.78	\$0.00	\$102.78		\$94.55
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/08/2018	SCHMITT, SUSAN	ING RELIASTAR	200.00	8.00	0.00	8.00	92.0	7.36
01/08/2018	SCHMIDT, SYLVIA	ADV CENTURY	300.00	12.00	0.00	12.00	92.0	11.04
01/22/2018	ERKER, HELEN	AM GEN POLARIS CHOICE	67,781.45	169.45	0.00	169.45	92.0	155.89
01/18/2018	KENNEDY, ROBERT	AM GEN POLIRIS II PRINCIPAL REWARDS	99,806.22	99.81	0.00	99.81	92.0	91.83
01/08/2018	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
01/08/2018	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$168,277.67	\$298.76	\$0.00	\$298.76		\$274.86
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	BROWNFIELD, DEAN	AGGRESSIVE PORTFOLIO A	0.00	1.07	0.00	1.07	92.0	0.98
/ /	WYSSMAN, AMBER	AGGRESSIVE PORTFOLIO A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.86	0.00	4.86	92.0	4.47
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.86	0.00	4.86	92.0	4.47
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.86	0.00	4.86	92.0	4.47
/ /	INGALLS, MONICA	AGGRESSIVE PORTFOLIO A	0.00	0.28	0.00	0.28	92.0	0.26
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	5.36	0.00	5.36	92.0	4.93
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	6.05	0.00	6.05	92.0	5.57
01/24/2018	WEBB, VARIOUS	AMERICAN FUNDS PERSHING	0.00	686.86	0.00	686.86	92.0	631.91
/ /	BROWNFIELD, DEAN	ASCENSUS LQA AGGR TRACK 529 80 PRCT	0.00	0.85	0.00	0.85	92.0	0.78
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	5.99	0.00	5.99	92.0	5.51
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	4.13	0.00	4.13	92.0	3.80
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.58	0.00	0.58	92.0	0.53
01/01/2018	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	8.64	0.00	8.64	92.0	7.95
01/01/2018	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	8.64	0.00	8.64	92.0	7.95
01/01/2018	JENDEL, JEFFREY	INVESCO AMERICAN FRANCHISE A	0.00	0.77	0.00	0.77	92.0	0.71
01/01/2018	JAKOVAC, PATRICIA	INVESCO AMERICAN FRANCHISE A	0.00	3.56	0.00	3.56	92.0	3.28
01/01/2018	MOSHER UTMA/KS, JUSTIN	INVESCO AMERICAN FRANCHISE A	0.00	2.40	0.00	2.40	92.0	2.21

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	WEBB, STAN	INVESCO AMERICAN FRANCHISE A	0.00	11.60	0.00	11.60	92.0	10.67
01/01/2018	HIEGER, KIM	INVESCO AMERICAN FRANCHISE A	0.00	1.14	0.00	1.14	92.0	1.05
01/01/2018	PRACHT, JULIE	INVESCO AMERICAN FRANCHISE A	0.00	1.32	0.00	1.32	92.0	1.21
01/01/2018	SMITH, TROY	INVESCO AMERICAN FRANCHISE A	0.00	3.25	0.00	3.25	92.0	2.99
01/01/2018	ROGERS, DEBRA	INVESCO AMERICAN FRANCHISE A	0.00	12.87	0.00	12.87	92.0	11.84
01/01/2018	MOSHER UGMA/KS, CHEYENNE	INVESCO AMERICAN FRANCHISE A	0.00	1.40	0.00	1.40	92.0	1.29
01/01/2018	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	0.00	6.10	0.00	6.10	92.0	5.61
01/01/2018	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	11.64	0.00	11.64	92.0	10.71
01/01/2018	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	5.84	0.00	5.84	92.0	5.37
01/01/2018	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	1.86	0.00	1.86	92.0	1.71
01/01/2018	COOK, NOAH	INVESCO AMERICAN FRANCHISE A	0.00	0.13	0.00	0.13	92.0	0.12
01/01/2018	COOK, CALEB	INVESCO AMERICAN FRANCHISE A	0.00	0.17	0.00	0.17	92.0	0.16
01/01/2018	BISHOP, DENNIS	INVESCO AMERICAN FRANCHISE C	0.00	1.88	0.00	1.88	92.0	1.73
01/01/2018	TOLLETT, CHARLES	INVESCO ASIA PACIFIC GROWTH A	0.00	5.27	0.00	5.27	92.0	4.85
01/01/2018	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	6.24	0.00	6.24	92.0	5.74
01/01/2018	MOSHER, AMY	INVESCO BALANCED RISK ALLOCATION A	0.00	1.39	0.00	1.39	92.0	1.28
01/01/2018	BISHOP, LARRY	INVESCO CASH RESERVE SHARES	0.00	1.39	0.00	1.39	92.0	1.28
01/01/2018	JAKOVAC, PATRICIA	INVESCO CASH RESERVE SHARES	0.00	1.58	0.00	1.58	92.0	1.45
01/01/2018	WEBB, STAN	INVESCO CASH RESERVE SHARES	0.00	1.84	0.00	1.84	92.0	1.69
01/01/2018	CROSS, BRENDA	INVESCO CASH RESERVE SHARES	0.00	8.51	0.00	8.51	92.0	7.83
01/01/2018	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	1.26	0.00	1.26	92.0	1.16
01/01/2018	BISHOP, DENNIS	INVESCO CHARTER FUND A	0.00	0.10	0.00	0.10	92.0	0.09
01/01/2018	PRACHT, MARK	INVESCO CHARTER FUND A	0.00	21.45	0.00	21.45	92.0	19.73
01/01/2018	MOSHER, AMY	INVESCO CHARTER FUND A	0.00	4.56	0.00	4.56	92.0	4.20
01/01/2018	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	6.56	0.00	6.56	92.0	6.04
01/01/2018	BISHOP, LARRY	INVESCO CHARTER FUND A	0.00	10.78	0.00	10.78	92.0	9.92
01/01/2018	ANDREWS, EMMALEE	INVESCO CHARTER FUND A	0.00	0.68	0.00	0.68	92.0	0.63
01/01/2018	JENDEL, JEFFREY	INVESCO CHARTER FUND A	0.00	6.67	0.00	6.67	92.0	6.14
01/01/2018	JAKOVAC, PATRICIA	INVESCO CHARTER FUND A	0.00	0.90	0.00	0.90	92.0	0.83
01/01/2018	NELSON, DENE	INVESCO CHARTER FUND A	0.00	21.43	0.00	21.43	92.0	19.72
01/01/2018	CROSS, MAX	INVESCO CHARTER FUND A	0.00	12.50	0.00	12.50	92.0	11.50
01/01/2018	WEBB, STAN	INVESCO CHARTER FUND A	0.00	13.80	0.00	13.80	92.0	12.70
01/01/2018	SMITH, TROY	INVESCO CHARTER FUND A	0.00	18.07	0.00	18.07	92.0	16.62

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	NELSON, JIM	INVESCO CHARTER FUND A	0.00	17.85	0.00	17.85	92.0	16.42
01/01/2018	CROSS, BRENDA	INVESCO CHARTER FUND A	0.00	15.28	0.00	15.28	92.0	14.06
01/01/2018	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	11.97	0.00	11.97	92.0	11.01
01/01/2018	BRIMER JR, ALBERT	INVESCO COMSTOCK A	0.00	3.48	0.00	3.48	92.0	3.20
01/01/2018	WEBB, STAN	INVESCO DEVELOPING MARKETS A	0.00	0.42	0.00	0.42	92.0	0.39
01/01/2018	NELSON, JIM	INVESCO DEVELOPING MARKETS A	0.00	5.67	0.00	5.67	92.0	5.22
01/01/2018	CROSS, BRENDA	INVESCO DEVELOPING MARKETS A	0.00	9.92	0.00	9.92	92.0	9.13
01/01/2018	PRACHT, MARK	INVESCO DIVERSIFIED DIVIDEND A	0.00	17.97	0.00	17.97	92.0	16.53
01/01/2018	JENDEL, JEFFREY	INVESCO DIVERSIFIED DIVIDEND A	0.00	1.83	0.00	1.83	92.0	1.68
01/01/2018	SMITH, TROY	INVESCO DIVERSIFIED DIVIDEND A	0.00	5.23	0.00	5.23	92.0	4.81
01/01/2018	MOSHER, AMY	INVESCO ENDEAVOR FUND A	0.00	1.11	0.00	1.11	92.0	1.02
01/01/2018	SMITH, TROY	INVESCO ENDEAVOR FUND A	0.00	6.43	0.00	6.43	92.0	5.92
01/01/2018	BISHOP, LARRY	INVESCO ENERGY FUND A	0.00	1.10	0.00	1.10	92.0	1.01
01/01/2018	CROSS, MAX	INVESCO ENERGY FUND A	0.00	3.16	0.00	3.16	92.0	2.91
01/01/2018	BISHOP, LARRY	INVESCO EQUITY AND INCOME A	0.00	12.22	0.00	12.22	92.0	11.24
01/01/2018	PRACHT, JULIE	INVESCO EQUITY AND INCOME A	0.00	0.56	0.00	0.56	92.0	0.52
01/01/2018	CROSS, BRENDA	INVESCO EQUITY AND INCOME A	0.00	6.10	0.00	6.10	92.0	5.61
01/01/2018	PRACHT, MARK	INVESCO EUROPEAN GROWTH FUND A	0.00	0.88	0.00	0.88	92.0	0.81
01/01/2018	WEBB, STAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.92	0.00	1.92	92.0	1.77
01/01/2018	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.32	0.00	1.32	92.0	1.21
01/01/2018	PRACHT, MARK	INVESCO GLOBAL CORE EQUITY A	0.00	3.72	0.00	3.72	92.0	3.42
01/01/2018	JENDEL, JEFFREY	INVESCO GLOBAL EQUITY A	0.00	2.97	0.00	2.97	92.0	2.73
01/01/2018	PRACHT, MARK	INVESCO GLOBAL EQUITY A	0.00	1.01	0.00	1.01	92.0	0.93
01/01/2018	CROSS, BRENDA	INVESCO GLOBAL GROWTH A	0.00	16.04	0.00	16.04	92.0	14.76
01/01/2018	BOHANNON, RONALD	INVESCO GROWTH ALLOCATION A	0.00	9.47	0.00	9.47	92.0	8.71
01/01/2018	NELSON, DENE	INVESCO GROWTH ALLOCATION A	0.00	13.17	0.00	13.17	92.0	12.12
01/01/2018	NELSON, JIM	INVESCO GROWTH ALLOCATION A	0.00	10.14	0.00	10.14	92.0	9.33
01/01/2018	BRIMER JR, ALBERT	INVESCO HIGH YIELD FUND A	0.00	3.21	0.00	3.21	92.0	2.95
01/01/2018	TOLLETT, CHARLES	INVESCO INTERNATIONAL GROWTH A	0.00	13.85	0.00	13.85	92.0	12.74
01/01/2018	CROSS, MAX	INVESCO MIDCAP CORE EQUITY A	0.00	5.33	0.00	5.33	92.0	4.90
01/01/2018	BRIMER JR, ALBERT	INVESCO MIDCAP CORE EQUITY A	0.00	5.04	0.00	5.04	92.0	4.64
01/01/2018	PRACHT, MARK	INVESCO MIDCAP GROWTH A	0.00	2.40	0.00	2.40	92.0	2.21
01/01/2018	SMITH, TROY	INVESCO MIDCAP GROWTH A	0.00	6.45	0.00	6.45	92.0	5.93

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	CROSS, BRENDA	INVESCO MIDCAP GROWTH A	0.00	16.87	0.00	16.87	92.0	15.52
01/01/2018	PRACHT, MARK	INVESCO MODERATE ALLOCATION A	0.00	1.67	0.00	1.67	92.0	1.54
01/01/2018	NELSON, DENE	INVESCO MODERATE ALLOCATION A	0.00	14.70	0.00	14.70	92.0	13.52
01/01/2018	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	6.36	0.00	6.36	92.0	5.85
01/01/2018	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	5.05	0.00	5.05	92.0	4.65
01/01/2018	BELL, JASON	INVESCO STRUCTURED CORE A	0.00	3.16	0.00	3.16	92.0	2.91
01/01/2018	SMITH, TROY	INVESCO STRUCTURED CORE A	0.00	14.76	0.00	14.76	92.0	13.58
01/01/2018	MOSHER UGMA/KS, CHEYENNE	INVESCO STRUCTURED CORE A	0.00	1.05	0.00	1.05	92.0	0.97
01/01/2018	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	2.61	0.00	2.61	92.0	2.40
01/01/2018	BELL, JASON	INVESCO TECHNOLOGY C	0.00	2.59	0.00	2.59	92.0	2.38
01/01/2018	BISHOP, LARRY	INVESCO VALUE OPP A	0.00	2.64	0.00	2.64	92.0	2.43
01/01/2018	JENDEL, JEFFREY	INVESCO VALUE OPP A	0.00	2.71	0.00	2.71	92.0	2.49
01/01/2018	PRACHT, MARK	INVESCO VALUE OPP A	0.00	1.89	0.00	1.89	92.0	1.74
01/01/2018	BELL, JASON	INVESCO VALUE OPP A	0.00	9.34	0.00	9.34	92.0	8.59
01/01/2018	POYNTER, REGAN	INVESCO VALUE OPP A	0.00	4.76	0.00	4.76	92.0	4.38
01/01/2018	MOSHER UTMA/KS, JUSTIN	INVESCO VALUE OPP A	0.00	0.46	0.00	0.46	92.0	0.42
01/01/2018	SMITH, TROY	INVESCO VALUE OPP A	0.00	6.72	0.00	6.72	92.0	6.18
01/01/2018	NELSON, ARIKA	INVESCO VALUE OPP A	0.00	13.29	0.00	13.29	92.0	12.23
01/01/2018	CROSS, BRENDA	INVESCO VALUE OPP A	0.00	8.36	0.00	8.36	92.0	7.69
01/01/2018	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	5.37	0.00	5.37	92.0	4.94
01/01/2018	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	2.48	0.00	2.48	92.0	2.28
/ /	SCHMITT, SUSAN	LQ ADVISOR DISCIPLINED GROWTH	0.00	1.08	0.00	1.08	92.0	0.99
/ /	SCHMITT, SUSAN	LQ ADVISOR INTERNATIONAL GROWTH	0.00	0.81	0.00	0.81	92.0	0.75
/ /	BROWNFIELD, DEAN	LQA AGGR TRACK: AGGRESS PORT A	0.00	0.16	0.00	0.16	92.0	0.15
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	2.37	0.00	2.37	92.0	2.18
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	1.38	0.00	1.38	92.0	1.27
/ /	SCHMITT, SUSAN	LQA MODERATE PORTFOLIO A	0.00	0.63	0.00	0.63	92.0	0.58
01/05/2018	TOPHAM, AARON	MAINSTAY MOD GRTH ALLOC INVESTOR CL	0.00	3.55	0.00	3.55	92.0	3.27
01/05/2018	WOODWARD, ANDREA	MAINSTAY TAX FREE BD INVESTOR CL	0.00	3.61	0.00	3.61	92.0	3.32
01/05/2018	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND B	0.00	1.57	0.00	1.57	92.0	1.44
01/05/2018	TROUSDALE, DANIEL	MAINSTAY TAX FREE BOND FUND B	0.00	2.97	0.00	2.97	92.0	2.73
01/05/2018	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND C	0.00	3.43	0.00	3.43	92.0	3.16
01/05/2018	TROUSDALE, DANIEL	MAINSTAY TAX FREE BOND FUND C	0.00	11.13	0.00	11.13	92.0	10.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/05/2018	NYAGAH, NICHOLAS	MAINSTAY TAX FREE BOND FUND C	0.00	1.91	0.00	1.91	92.0	1.76
01/04/2018	PRACHT, CAROLE	PIMCO ALL ASSET A	0.00	4.97	0.00	4.97	92.0	4.57
01/04/2018	PRACHT, CAROLE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	20.23	0.00	20.23	92.0	18.61
01/04/2018	PRACHT, CAROLE	PIMCO GLOBAL MULTI ASSET A	0.00	3.09	0.00	3.09	92.0	2.84
01/04/2018	PRACHT, CAROLE	PIMCO TOTAL RETURN A	0.00	11.55	0.00	11.55	92.0	10.63
01/02/2018	HOOTEN, JENNIFER	PUTNAM CAPITAL SPECTRUM A	0.00	2.33	0.00	2.33	92.0	2.14
01/02/2018	HOOTEN, JENNIFER	PUTNAM DIVERSIFIED INCOME A	0.00	1.21	0.00	1.21	92.0	1.11
01/02/2018	HOOTEN, JENNIFER	PUTNAM EQUITY INCOME A	0.00	5.10	0.00	5.10	92.0	4.69
01/02/2018	STEKOLL, BERNARD	PUTNAM EQUITY INCOME A	0.00	34.32	0.00	34.32	92.0	31.57
01/02/2018	STEKOLL, JOAN	PUTNAM EQUITY INCOME A	0.00	16.13	0.00	16.13	92.0	14.84
01/02/2018	STEKOLL, BERNARD	PUTNAM INTERNATIONAL EQUITY-A	0.00	8.76	0.00	8.76	92.0	8.06
01/02/2018	STEKOLL, BERNARD	PUTNAM MULTI CAP GROWTH A	0.00	5.70	0.00	5.70	92.0	5.24
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.22	0.00	0.22	92.0	0.20
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.67	0.00	5.67	92.0	5.22
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.30	0.00	1.30	92.0	1.20
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	3.15	0.00	3.15	92.0	2.90
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	9.68	0.00	9.68	92.0	8.91
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	25.59	0.00	25.59	92.0	23.54
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.45	0.00	0.45	92.0	0.41
01/08/2018	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE CL	0.00	41.91	0.00	41.91	92.0	38.56
01/03/2018	ATKINSON, MARSHA	TRANSAMERICA AA MOD GROWTH A	0.00	54.84	0.00	54.84	92.0	50.45
01/03/2018	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	0.00	92.98	0.00	92.98	92.0	85.54
01/03/2018	SCHMITT, SUSAN	TRANSAMERICA AA MOD GROWTH A	0.00	9.02	0.00	9.02	92.0	8.30
01/03/2018	SMITH, SHARRILYN	TRANSAMERICA AA MOD GROWTH A	0.00	5.19	0.00	5.19	92.0	4.77
01/03/2018	SMITH, PHILLIP	TRANSAMERICA AA MOD GROWTH A	0.00	12.04	0.00	12.04	92.0	11.08
01/03/2018	ATKINSON, MARSHA	TRANSAMERICA AA MODERATE A	0.00	68.13	0.00	68.13	92.0	62.68
01/03/2018	DANDURAND, BEVERLY	TRANSAMERICA AA MODERATE A	0.00	16.97	0.00	16.97	92.0	15.61
01/03/2018	SMITH, SHARRILYN	TRANSAMERICA AA MODERATE A	0.00	2.52	0.00	2.52	92.0	2.32
01/03/2018	DANDURAND, BEVERLY	TRANSAMERICA CAPITAL GROWTH A	0.00	15.54	0.00	15.54	92.0	14.30
01/03/2018	SMITH, SHARRILYN	TRANSAMERICA CAPITAL GROWTH A	0.00	8.81	0.00	8.81	92.0	8.11
01/03/2018	ATKINSON, MARSHA	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	20.24	0.00	20.24	92.0	18.62

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/03/2018	WELCH, FRANK	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.67	0.00	1.67	92.0	1.54
01/03/2018	RHEA, JONNIE	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	54.64	0.00	54.64	92.0	50.27
01/03/2018	DANDURAND, BEVERLY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	6.70	0.00	6.70	92.0	6.16
01/03/2018	DANDURAND, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.12	0.00	3.12	92.0	2.87
01/03/2018	SMITH, SHARRILYN	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	3.53	0.00	3.53	92.0	3.25
01/03/2018	DRESCHER-UPTON, MARY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.89	0.00	0.89	92.0	0.82
01/03/2018	DRESCHER-UPTON, MARY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.14	0.00	0.14	92.0	0.13
01/03/2018	SMITH, PHILLIP	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.83	0.00	4.83	92.0	4.44
01/03/2018	FLATTERY, VICKI	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.13	0.00	4.13	92.0	3.80
01/03/2018	OTTAWAY, DANNY	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	4.13	0.00	4.13	92.0	3.80
01/03/2018	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	5.50	0.00	5.50	92.0	5.06
01/03/2018	CHRISTIAN, ROBERT	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.31	0.00	13.31	92.0	12.25
01/03/2018	SCHMITT, ILA	TRANSAMERICA FLEXIBLE INCOME A	0.00	2.91	0.00	2.91	92.0	2.68
01/03/2018	FLATTERY, VICKI	TRANSAMERICA HIGH YIELD BOND A	0.00	2.62	0.00	2.62	92.0	2.41
01/03/2018	OTTAWAY, DANNY	TRANSAMERICA HIGH YIELD BOND A	0.00	2.62	0.00	2.62	92.0	2.41
01/03/2018	SCHMITT, ILA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	7.08	0.00	7.08	92.0	6.51
01/03/2018	MILLER, JANET	TRANSAMERICA MULTI-MANAGED BAL A	0.00	4.12	0.00	4.12	92.0	3.79
01/03/2018	SMITH, PHILLIP	TRANSAMERICA MULTI-MANAGED BAL A	0.00	8.04	0.00	8.04	92.0	7.40
01/03/2018	SMITH TRUST, PHILLIP	TRANSAMERICA SHORT-TERM BOND A	0.00	3.23	0.00	3.23	92.0	2.97
01/03/2018	SMITH, SHARRILYN	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	9.30	0.00	9.30	92.0	8.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,983.95	\$0.00	\$1,983.95		\$1,825.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/01/2018	WOODBURN, JOSEPH	MFS CORE EQUITY A	0.00	1.16	0.00	1.16	92.0	1.07
01/01/2018	WOODBURN, JEAN	MFS CORE EQUITY A	0.00	0.93	0.00	0.93	92.0	0.86
01/01/2018	WOODBURN, JOSEPH	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.19	0.00	1.19	92.0	1.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.28	\$0.00	\$3.28		\$3.02
*** DIRECT TRANSACTIONS TOTAL ***			\$171,202.67	\$2,393.03	\$0.00	\$2,393.03		\$2,201.61

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,201.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,201.61
Year-to-date Gross	\$8,248.61
Year-to-date Earnings	\$7,588.82