



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2018

02/19/2018
Page No. 1

ACCOUNT HOUSE
1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
01/31/2018	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-99,975.01	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	98,975.26	0.00	0.00	0.00	100.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-999.75	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	AM FUNDS EUROPACIFIC GROWTH FUND A	9,800.00	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	AM FUNDS EUROPACIFIC GROWTH FUND A	-10,000.00	0.00	0.00	0.00	100.0	0.00
01/29/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,031.94	0.00	0.00	0.00	100.0	0.00
01/29/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-1,031.94	0.00	0.00	0.00	100.0	0.00
01/29/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	980.34	0.00	0.00	0.00	100.0	0.00
01/29/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	980.34	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-317.13	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
01/30/2018	CONCORDE, VARIOUS	APPLE INC COM	12,525.00	0.00	0.00	0.00	100.0	0.00
01/30/2018	CONCORDE, VARIOUS	APPLE INC COM	-7,682.00	0.00	1.00	-1.00	100.0	-1.00
01/30/2018	CONCORDE, VARIOUS	APPLE INC COM	-4,843.00	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/01/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	828.00	0.00	1.00	-1.00	100.0	-1.00
02/01/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	1,654.00	0.00	1.00	-1.00	100.0	-1.00
02/01/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	828.00	0.00	1.00	-1.00	100.0	-1.00
02/01/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	828.00	0.00	1.00	-1.00	100.0	-1.00
02/01/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	-4,140.00	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	COHEN & STEERS INFRASTRUCTURE FD IN	-12,346.24	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	COHEN & STEERS INFRASTRUCTURE FD IN	617.49	0.00	1.00	-1.00	100.0	-1.00
01/31/2018	CONCORDE, VARIOUS	COHEN & STEERS INFRASTRUCTURE FD IN	11,728.72	0.00	1.00	-1.00	100.0	-1.00
01/31/2018	CONCORDE, VARIOUS	COHEN & STEERS REIT & PFD INCOME FD	-12,089.56	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	COHEN & STEERS REIT & PFD INCOME FD	11,489.74	0.00	1.00	-1.00	100.0	-1.00
01/31/2018	CONCORDE, VARIOUS	COHEN & STEERS REIT & PFD INCOME FD	599.85	0.00	1.00	-1.00	100.0	-1.00
02/07/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	1,912.87	0.00	1.00	-1.00	100.0	-1.00
02/07/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	726.90	0.00	1.00	-1.00	100.0	-1.00
02/07/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	3,825.74	0.00	1.00	-1.00	100.0	-1.00
02/07/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-6,465.50	0.00	0.00	0.00	100.0	0.00
01/31/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-10,412.96	0.00	1.00	-1.00	100.0	-1.00
01/31/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-1,028.17	0.00	1.00	-1.00	100.0	-1.00
01/31/2018	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	11,441.15	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 1000 GROWTH INDE	7,386.70	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 1000 GROWTH INDE	-1,115.05	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 1000 GROWTH INDE	-6,271.65	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-3,562.65	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-68,237.70	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	71,800.34	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	6,371.98	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	3,186.00	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	3,185.99	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	3,186.50	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	38,244.00	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	1,625.37	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	SPDR SERIES TRUST	-55,799.82	0.00	0.00	0.00	100.0	0.00
01/30/2018	CONCORDE, VARIOUS	SPIRIT RLTY CAP INC NEW COM	5,721.17	0.00	0.00	0.00	100.0	0.00
01/30/2018	CONCORDE, VARIOUS	SPIRIT RLTY CAP INC NEW COM	-4,871.41	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/30/2018	CONCORDE, VARIOUS	SPIRIT RLTY CAP INC NEW COM	-849.77	0.00	1.00	-1.00	100.0	-1.00
01/30/2018	CONCORDE, VARIOUS	SPIRIT RLTY CAP INC NEW COM	-5,559.34	0.00	1.00	-1.00	100.0	-1.00
01/30/2018	CONCORDE, VARIOUS	SPIRIT RLTY CAP INC NEW COM	-971.16	0.00	1.00	-1.00	100.0	-1.00
01/30/2018	CONCORDE, VARIOUS	SPIRIT RLTY CAP INC NEW COM	6,530.48	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FDS MSCI	-9,611.00	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FDS MSCI	-192.22	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FDS MSCI	-4,805.50	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FDS MSCI	14,608.72	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD TAX-MANAGED INTL FD FTSE D	37,166.73	0.00	0.00	0.00	100.0	0.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD TAX-MANAGED INTL FD FTSE D	-4,521.50	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD TAX-MANAGED INTL FD FTSE D	-4,521.50	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD TAX-MANAGED INTL FD FTSE D	-4,521.50	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD TAX-MANAGED INTL FD FTSE D	-4,521.50	0.00	1.00	-1.00	100.0	-1.00
02/05/2018	CONCORDE, VARIOUS	VANGUARD TAX-MANAGED INTL FD FTSE D	-19,080.73	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$-1.99	\$0.00	\$37.00	\$-37.00		\$-37.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-1,318.87	\$0.00	\$37.00	\$-37.00		\$-37.00

DIRECT TRANSACTIONS**PRODUCT CATEGORY: 529 PLANS**

01/29/2018	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
02/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
02/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
02/02/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
02/02/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
02/01/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
01/29/2018	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
* 529 PLANS SUBTOTAL *			\$725.00	\$29.44	\$0.00	\$29.44		\$29.44

PRODUCT CATEGORY: MUTUAL FUNDS

02/01/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
02/01/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
02/01/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
02/01/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	100.0	2.13

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
02/01/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
02/01/2018	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.13	0.00	1.13	100.0	1.13
02/02/2018	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
02/01/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	100.0	2.13
02/02/2018	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
01/22/2018	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
02/01/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
02/01/2018	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.13	0.00	1.13	100.0	1.13
01/25/2018	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
01/25/2018	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
02/01/2018	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.13	0.00	1.13	100.0	1.13
02/01/2018	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.13	0.00	1.13	100.0	1.13
02/01/2018	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.13	0.00	1.13	100.0	1.13
* MUTUAL FUNDS SUBTOTAL *			\$1,975.00	\$68.00	\$0.00	\$68.00		\$68.00
<u>PRODUCT CATEGORY: OPTIONS</u>								
01/29/2018	KOTZ, DAVID	CALL LVS 02/16/18 80	201.00	22.50	10.00	12.50	100.0	12.50
* OPTIONS SUBTOTAL *			\$201.00	\$22.50	\$10.00	\$12.50		\$12.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	LUND, VARIOUS	ADV CENTURY	0.00	525.68	0.00	525.68	100.0	525.68
01/31/2018	LUND, VARIOUS	ADV CENTURY	0.00	105.00	0.00	105.00	100.0	105.00
01/31/2018	PEEK, EUNICE	JOHN HANCOCK VANTAGE	16,349.12	3.41	0.00	3.41	100.0	3.41
01/31/2018	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	19,910.76	16.59	0.00	16.59	100.0	16.59
01/30/2018	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	878.94	0.20	0.00	0.20	100.0	0.20
01/30/2018	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,970.39	2.29	0.00	2.29	100.0	2.29
01/30/2018	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,395.48	1.12	0.00	1.12	100.0	1.12
* VARIABLE ANNUITIES SUBTOTAL *			\$53,504.69	\$654.29	\$0.00	\$654.29		\$654.29
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
12/27/2017	ADAMS, JANET	LBL VUL	0.00	0.01	0.00	0.01	100.0	0.01
01/25/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.85	0.00	5.85	100.0	5.85
02/01/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.01	0.00	5.01	100.0	5.01

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
02/02/2018	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
02/02/2018	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
02/02/2018	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$448.83	\$19.85	\$0.00	\$19.85		\$19.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/18/2018	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.92	0.00	0.92	100.0	0.92
01/18/2018	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	100.0	0.46
01/18/2018	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	2.12	0.00	2.12	100.0	2.12
01/31/2018	SIDLOROBEG	DELAWARE VALUE A	0.00	37.04	0.00	37.04	100.0	37.04
01/31/2018	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.54	0.00	8.54	100.0	8.54
01/22/2018	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
01/22/2018	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.64	0.00	1.64	100.0	1.64
01/26/2018	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	12,120.23	2.57	0.00	2.57	100.0	2.57
01/26/2018	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	1,692.05	0.36	0.00	0.36	100.0	0.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$13,812.28	\$53.66	\$0.00	\$53.66		\$53.66
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/28/2017	MONROE, JOHN	METLIFE TRAILS	38,444.03	9.61	0.00	9.61	100.0	9.61
01/18/2018	VETERE, VICTORIA	ING PREMIUM PLUS	503.94	0.94	0.00	0.94	100.0	0.94
01/18/2018	BUCKEYE, JOAN	ING PREMIUM PLUS	39,976.12	24.99	0.00	24.99	100.0	24.99
01/16/2018	DUFOUR, TERENCE	PAC LIFE INNOVATIVE SELECT	0.00	61.70	0.00	61.70	100.0	61.70
01/16/2018	DUFOUR, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	68.80	0.00	68.80	100.0	68.80
01/19/2018	CALA, MARGHERITA	PAC LIFE PACIFIC CHOICE	0.00	14.27	0.00	14.27	100.0	14.27
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$78,924.09	\$180.31	\$0.00	\$180.31		\$180.31
*** DIRECT TRANSACTIONS TOTAL ***			\$149,590.89	\$1,028.05	\$10.00	\$1,018.05		\$1,018.05

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: BARTHOLOMEW MACKLER #10								
02/02/2018	CHIECHA, MOLLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	0.0	2.50
01/22/2018	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
01/22/2018	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	MACUCH, PAUL	FA 529 COLLEGE PORT C	0.00	0.03	0.00	0.03	0.0	0.03
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.25	0.00	0.25	0.0	0.25
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.21	0.00	2.21	0.0	2.21
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.24	0.00	2.24	0.0	2.24
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GRWTH PORT CL A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.47	0.00	3.47	0.0	3.47
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	9.17	0.00	9.17	0.0	9.17
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT CL A	0.00	0.01	0.00	0.01	0.0	0.01
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	0.00	3.71	0.00	3.71	0.0	3.71
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	0.00	6.04	0.00	6.04	0.0	6.04
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT A	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.53	0.00	3.53	0.0	3.53
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	1.23	0.00	1.23	0.0	1.23
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	0.00	15.94	0.00	15.94	0.0	15.94
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	0.00	0.28	0.00	0.28	0.0	0.28
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	0.00	0.75	0.00	0.75	0.0	0.75
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	0.00	2.15	0.00	2.15	0.0	2.15
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	2.37	0.00	2.37	0.0	2.37
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	2.37	0.00	2.37	0.0	2.37
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	7.46	0.00	7.46	0.0	7.46
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	7.46	0.00	7.46	0.0	7.46
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	2.49	0.00	2.49	0.0	2.49
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.49	0.00	2.49	0.0	2.49
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.49	0.00	2.49	0.0	2.49
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	2.49	0.00	2.49	0.0	2.49
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	7.46	0.00	7.46	0.0	7.46
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	16.62	0.00	16.62	0.0	16.62
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	0.00	9.74	0.00	9.74	0.0	9.74
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	0.00	5.15	0.00	5.15	0.0	5.15
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	0.00	2.84	0.00	2.84	0.0	2.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JAMES PANOS #10</u>								
/ /	REMIA, ALEX	FA 529 PORT 2022 CL A	0.00	4.30	0.00	4.30	0.0	4.30
/ /	REMIA, ALEX	FA 529 PORT 2022 CL A	0.00	6.47	0.00	6.47	0.0	6.47
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	0.00	1.33	0.00	1.33	0.0	1.33
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.63	0.00	1.63	0.0	1.63
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	2.54	0.00	2.54	0.0	2.54
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	0.00	7.44	0.00	7.44	0.0	7.44
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	0.00	1.61	0.00	1.61	0.0	1.61
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	0.00	0.88	0.00	0.88	0.0	0.88
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	0.00	3.95	0.00	3.95	0.0	3.95
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	0.00	0.80	0.00	0.80	0.0	0.80
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	0.00	10.48	0.00	10.48	0.0	10.48
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	1.61
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	1.61
/ /	AHERN, KEVIN	FA 529 PORT 2025 A	0.00	0.65	0.00	0.65	0.0	0.65
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	4.95	0.00	4.95	0.0	4.95
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	3.85	0.00	3.85	0.0	3.85
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.31	0.00	2.31	0.0	2.31
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	18.29	0.00	18.29	0.0	18.29
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	10.21	0.00	10.21	0.0	10.21
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	12.76	0.00	12.76	0.0	12.76
02/01/2018	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
02/01/2018	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
02/01/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.92	0.00	3.92	0.0	3.92
/ /	MACK, NEIL	FA 529 PORT 2028 A	0.00	1.08	0.00	1.08	0.0	1.08
/ /	MACUCH, PAUL	FA 529 PORT 2028 A	0.00	0.84	0.00	0.84	0.0	0.84
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	14.32	0.00	14.32	0.0	14.32
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.26	0.00	13.26	0.0	13.26
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	2.69	0.00	2.69	0.0	2.69
02/01/2018	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.71	0.00	0.71	0.0	0.71
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.30	0.00	3.30	0.0	3.30

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #10 </u>								
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.82	0.00	1.82	0.0	1.82
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.30	0.00	7.30	0.0	7.30
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.23	0.00	6.23	0.0	6.23
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.12	0.00	0.12	0.0	0.12
02/01/2018	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	125.00	6.25	0.00	6.25	0.0	6.25
02/01/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	500.00	5.00	0.00	5.00	0.0	5.00
02/01/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	500.00	5.00	0.00	5.00	0.0	5.00
02/01/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	500.00	5.00	0.00	5.00	0.0	5.00
02/01/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	500.00	5.00	0.00	5.00	0.0	5.00
01/29/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
01/29/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
* DANIEL COLLINS SUBTOTAL *			\$3,335.00	\$326.99	\$0.00	\$326.99		\$326.99
<u> BROKER: ROBERT CHESTER #21 </u>								
12/28/2017	BECHTEL, MICHAEL	METLIFE TRAILS	70,112.15	43.82	0.00	43.82	0.0	43.82
12/28/2017	MYERS, DAVID	METLIFE TRAILS	55,636.69	13.91	0.00	13.91	0.0	13.91
12/28/2017	FRAZIER, BETTY	METLIFE TRAILS	33,586.68	8.40	0.00	8.40	0.0	8.40
12/28/2017	BARBARITA, JOSEPH	METLIFE TRAILS	24,703.79	6.18	0.00	6.18	0.0	6.18
12/28/2017	BARBARITA, KATHLEEN	METLIFE TRAILS	15,572.24	3.89	0.00	3.89	0.0	3.89
12/28/2017	MONROE, CAROL	METLIFE TRAILS	49,146.92	12.29	0.00	12.29	0.0	12.29
12/28/2017	KELLEY, TERESE	METLIFE TRAILS	529,818.31	165.57	0.00	165.57	0.0	165.57
12/28/2017	KELLEY, DENNIS	METLIFE TRAILS	303,328.36	94.79	0.00	94.79	0.0	94.79
12/28/2017	EVERSON, ROBERT	METLIFE TRAILS	6,625.45	2.07	0.00	2.07	0.0	2.07
01/24/2018	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.44	0.00	0.44	0.0	0.44
01/24/2018	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.30	0.00	1.30	0.0	1.30
01/10/2018	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.23	0.00	0.23	0.0	0.23
* ROBERT CHESTER SUBTOTAL *			\$1,088,530.59	\$352.89	\$0.00	\$352.89		\$352.89
<u> BROKER: EDWARD MCMANUS #25 </u>								
01/22/2018	REESE, BARBARA	FRANKLIN HIGH INCOME C	0.00	7.07	0.00	7.07	0.0	7.07
01/22/2018	REESE, BARBARA	FRANKLIN INCOME C	0.00	7.45	0.00	7.45	0.0	7.45
01/17/2018	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.34	0.00	1.34	0.0	1.34

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: EDWARD MCMANUS #25</u>								
01/17/2018	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.31	0.00	2.31	0.0	2.31
01/18/2018	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.13	0.00	1.13	0.0	1.13
01/22/2018	REESE, BARBARA	THE MULTI-STRATEGY GROWTH & INCOME	0.00	22.59	0.00	22.59	0.0	22.59
* EDWARD MCMANUS SUBTOTAL *			\$0.00	\$41.89	\$0.00	\$41.89		\$41.89
<u>BROKER: ERIC HORNYAK #29</u>								
01/10/2018	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.90	0.00	27.90	0.0	27.90
01/10/2018	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.45	0.00	0.45	0.0	0.45
01/10/2018	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.64	0.00	0.64	0.0	0.64
01/10/2018	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.57	0.00	0.57	0.0	0.57
01/10/2018	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.92	0.00	4.92	0.0	4.92
01/10/2018	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.74	0.00	0.74	0.0	0.74
01/10/2018	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.39	0.00	14.39	0.0	14.39
01/10/2018	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.40	0.00	1.40	0.0	1.40
12/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.21	0.00	0.21	0.0	0.21
12/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.10	0.00	1.10	0.0	1.10
01/09/2018	CORCORAN, GAIL	DISNEY WALT CO DISNEY COM	2,647.20	22.00	14.00	8.00	0.0	8.00
01/10/2018	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	27.51	0.00	27.51	0.0	27.51
01/10/2018	JOHNS, JOHN	INVESCO HIGH YIELD MUNICIPAL A	0.00	6.35	0.00	6.35	0.0	6.35
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.60	0.00	0.60	0.0	0.60
01/10/2018	BROUSE, SARAH	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	0.79	0.00	0.79	0.0	0.79
01/10/2018	JOHNS, JOHN	PIMCO TOTAL RETURN C	0.00	23.20	0.00	23.20	0.0	23.20
01/10/2018	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	29.39	0.00	29.39	0.0	29.39
01/10/2018	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.22	0.00	9.22	0.0	9.22
01/10/2018	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.96	0.00	21.96	0.0	21.96
* ERIC HORNYAK SUBTOTAL *			\$2,647.20	\$193.34	\$14.00	\$179.34		\$179.34
<u>BROKER: THOMAS BOAKS #33</u>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.65	0.00	6.65	0.0	6.65
12/31/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.34	0.00	0.34	0.0	0.34
12/31/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.26	0.00	2.26	0.0	2.26
01/31/2018	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.94	0.00	8.94	0.0	8.94
01/31/2018	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.19	0.00	1.19	0.0	1.19
* THOMAS BOAKS SUBTOTAL *			\$0.00	\$19.38	\$0.00	\$19.38		\$19.38

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
01/25/2018	SILVER, STEVEN	***AURYN RESOURCES INC	1,036.69	50.00	14.00	36.00	0.0	36.00
01/25/2018	SILVER, STEVEN	***AURYN RESOURCES INC	1,986.00	50.00	14.00	36.00	0.0	36.00
01/10/2018	DIX, DAVID	ALLIANZ INCOME & GROWTH FND	0.00	35.91	0.00	35.91	0.0	35.91
01/03/2018	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.60	0.00	2.60	0.0	2.60
01/03/2018	MARY A ROGERS, J	ALLZ SHORT DURATION HIGH INCOME C	0.00	2.60	0.00	2.60	0.0	2.60
01/10/2018	LINGEMANN, HELEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.82	0.00	13.82	0.0	13.82
01/10/2018	KALOHN TRUST, RUTHANN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.23	0.00	20.23	0.0	20.23
01/10/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.33	0.00	11.33	0.0	11.33
01/10/2018	BAUGHMAN, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.55	0.00	6.55	0.0	6.55
01/10/2018	ODOM, EDDIE	AM FUNDS ICA A	0.00	5.81	0.00	5.81	0.0	5.81
01/10/2018	LINGEMANN, HELEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.79	0.00	5.79	0.0	5.79
01/10/2018	ODOM, EDDIE	AM FUNDS INCOME FUND OF AMERICA A	0.00	47.40	0.00	47.40	0.0	47.40
01/10/2018	MILLER, ROBERT	BLK GLOBAL ALLOCATION A	0.00	3.55	0.00	3.55	0.0	3.55
01/10/2018	MARSH, GARY	COLUMBIA DIVIDEND OPP A	0.00	4.76	0.00	4.76	0.0	4.76
01/25/2018	SILVER, STEVEN	CYTOSORBENTS CORPORATION	1,151.25	50.00	14.00	36.00	0.0	36.00
01/25/2018	SILVER, STEVEN	CYTOSORBENTS CORPORATION	2,070.09	50.00	14.00	36.00	0.0	36.00
01/29/2018	GARBO, PAULETTE	CYTOSORBENTS CORPORATION	4,085.10	22.00	14.00	8.00	0.0	8.00
01/10/2018	PALFFY, AMANDA	DAVIS NEW YORK VENTURE B	0.00	2.47	0.00	2.47	0.0	2.47
01/10/2018	PALFFY, JESSICA	DAVIS NEW YORK VENTURE B	0.00	2.00	0.00	2.00	0.0	2.00
01/10/2018	PALFFY, VICTORIA	DAVIS NEW YORK VENTURE B	0.00	1.49	0.00	1.49	0.0	1.49
01/10/2018	WATERMAN, SHARON	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	7.88	0.00	7.88	0.0	7.88
01/10/2018	PALFFY, AMANDA	FEDERATED CAPITAL INCOME B	0.00	0.12	0.00	0.12	0.0	0.12
01/10/2018	PALFFY, JESSICA	FEDERATED CAPITAL INCOME B	0.00	0.09	0.00	0.09	0.0	0.09
01/10/2018	PALFFY, VICTORIA	FEDERATED CAPITAL INCOME B	0.00	0.07	0.00	0.07	0.0	0.07
01/10/2018	QUENNEVILLE, JEROME	FEDERATED CAPITAL INCOME B	0.00	5.04	0.00	5.04	0.0	5.04
01/10/2018	ALLOR, PATRICK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.24	0.00	4.24	0.0	4.24
01/12/2018	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	2.05	0.00	2.05	0.0	2.05
01/31/2018	BERGIN, MARGARET	FRANKLIN DYNATECH A	0.00	45.75	0.00	45.75	0.0	45.75
01/31/2018	SULEK, GLENN	FRANKLIN EQUITY INCOME A	0.00	4.99	0.00	4.99	0.0	4.99
01/31/2018	PARMELEE, LORI	FRANKLIN HIGH INCOME A	0.00	14.67	0.00	14.67	0.0	14.67
01/31/2018	SULEK, GLENN	FRANKLIN HIGH INCOME A	0.00	6.12	0.00	6.12	0.0	6.12
01/31/2018	FIORELLO, SUSAN	FRANKLIN HIGH INCOME C	0.00	80.31	0.00	80.31	0.0	80.31
01/31/2018	DANGELO, ALEXCYIA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	2.52	0.00	2.52	0.0	2.52

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
01/31/2018	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.68	0.00	13.68	0.0	13.68
01/31/2018	HNATCZUK, OKSANA	FRANKLIN INCOME 529 PORTFOLIO - CLA	0.00	13.75	0.00	13.75	0.0	13.75
01/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	14.57	0.00	14.57	0.0	14.57
01/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	17.25	0.00	17.25	0.0	17.25
01/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	17.39	0.00	17.39	0.0	17.39
01/31/2018	HIGGINS, KATHERINE	FRANKLIN INCOME 529 PORTFOLIO C	0.00	14.57	0.00	14.57	0.0	14.57
01/31/2018	LA FOREST, MARGARET	FRANKLIN INCOME A	0.00	1.08	0.00	1.08	0.0	1.08
01/31/2018	ISBEQUE, RON	FRANKLIN INCOME C	0.00	517.33	0.00	517.33	0.0	517.33
01/31/2018	ISBEQUE, BRENDA	FRANKLIN INCOME C	0.00	45.48	0.00	45.48	0.0	45.48
01/31/2018	JERGOVICH, JOHN	FRANKLIN INCOME C	0.00	402.21	0.00	402.21	0.0	402.21
01/31/2018	BROWN, SYLVESTER	FRANKLIN INCOME C	0.00	14.17	0.00	14.17	0.0	14.17
01/31/2018	JAWORSKI, MITCHILL	FRANKLIN INCOME C	0.00	2.74	0.00	2.74	0.0	2.74
01/31/2018	PRICE, BONNIE	FRANKLIN INCOME C	0.00	90.08	0.00	90.08	0.0	90.08
01/31/2018	BERGIN, MARGARET	FRANKLIN LOW DURATION TOTAL RETURN	0.00	9.11	0.00	9.11	0.0	9.11
01/10/2018	LINGEMANN TRUST, THOMAS	FRANKLIN MI TAX FR INCOME A	0.00	0.83	0.00	0.83	0.0	0.83
01/31/2018	DRAKE, AILEEN	FRANKLIN MI TAX FR INCOME A	0.00	1.92	0.00	1.92	0.0	1.92
01/31/2018	SILVER LAKE RD, 7141	FRANKLIN MI TAX FR INCOME A	0.00	5.67	0.00	5.67	0.0	5.67
01/31/2018	ELIZABETH A GEDIGIAN, AND	FRANKLIN MI TAX FR INCOME A	0.00	3.42	0.00	3.42	0.0	3.42
01/31/2018	PARMELEE, LORI	FRANKLIN MUTUAL SHARES A	0.00	22.20	0.00	22.20	0.0	22.20
01/31/2018	KARGULA, ANGELA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.44	0.00	1.44	0.0	1.44
01/31/2018	KARGULA, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.44	0.00	1.44	0.0	1.44
01/31/2018	MELDRUM, JOSEPH	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	1.52	0.00	1.52	0.0	1.52
01/31/2018	BERGIN, MARGARET	FRANKLIN TOTAL RETURN A	0.00	9.05	0.00	9.05	0.0	9.05
01/31/2018	BERGIN, MARGARET	FRANKLIN US GOVT SEC FUND A	0.00	2.22	0.00	2.22	0.0	2.22
01/31/2018	BERGIN, MARGARET	FRANKLIN UTILITIES A	0.00	40.11	0.00	40.11	0.0	40.11
01/31/2018	HERRADA, ROBERT	FRANKLIN UTILITIES C	0.00	43.24	0.00	43.24	0.0	43.24
01/31/2018	PRIGEL, PAUL	FRK FED LMT-TRM TAX-FREE INC FND -	0.00	2.35	0.00	2.35	0.0	2.35
01/31/2018	HERRADA, ROBERT	FT MUTUAL SHARES FUND C	0.00	64.29	0.00	64.29	0.0	64.29
01/05/2018	BECK III, FRANKLIN	GENERAL ELECTRIC CO COM	7,435.60	50.00	14.00	36.00	0.0	36.00
12/31/2017	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	38,267.74	8.13	0.00	8.13	0.0	8.13
12/31/2017	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	13,162.86	2.79	0.00	2.79	0.0	2.79
12/31/2017	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	24,123.72	5.12	0.00	5.12	0.0	5.12
12/31/2017	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND	18,406.48	15.63	0.00	15.63	0.0	15.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: BARTHOLOMEW MACKLER #35</u>								
12/31/2017	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	38,478.73	32.68	0.00	32.68	0.0	32.68
12/31/2017	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	53,473.52	45.41	0.00	45.41	0.0	45.41
12/31/2017	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	43,020.43	36.53	0.00	36.53	0.0	36.53
12/31/2017	PRICE, BONNIE	GRIFFIN INST'L ACCESS R E FUND C	40,231.89	34.17	0.00	34.17	0.0	34.17
01/10/2018	WATERMAN, SHARON	INVESCO CHARTER FUND A	0.00	7.69	0.00	7.69	0.0	7.69
01/10/2018	MILLER, ROBERT	IVY ASSET STRATEGY FUND CLASS A	0.00	2.97	0.00	2.97	0.0	2.97
01/31/2018	DAMRON, ROBERT	JNL PERSPECTIVE	309,598.73	64.50	0.00	64.50	0.0	64.50
01/31/2018	JOHNSON, WILLIAM	JNL PERSPECTIVE	1,749.71	0.36	0.00	0.36	0.0	0.36
01/31/2018	MITCHELL, DONALD	JNL PERSPECTIVE	9,174.81	1.91	0.00	1.91	0.0	1.91
01/31/2018	ROHLOFF, CARL	JNL PERSPECTIVE	16,757.34	3.49	0.00	3.49	0.0	3.49
01/31/2018	SCHNELL, JAMES	JNL PERSPECTIVE	5,085.13	2.12	0.00	2.12	0.0	2.12
01/31/2018	ANTON, CAROLE	JNL PERSPECTIVE II 04/14	24,131.94	10.05	0.00	10.05	0.0	10.05
01/31/2018	RYAN, NANCY	JNL PERSPECTIVE L	63,797.04	53.16	0.00	53.16	0.0	53.16
01/31/2018	MAZURKIEWICZ, JAMES	JNL PERSPECTIVE L	52,382.59	43.65	0.00	43.65	0.0	43.65
01/31/2018	SNYDER, MICHAEL C	JNL PERSPECTIVE L	40,695.58	33.91	0.00	33.91	0.0	33.91
01/10/2018	HORTON, RICHARD	LEGG MASON CLEARBRIDGE TACTICAL DIV	0.00	3.75	0.00	3.75	0.0	3.75
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 0-4	0.00	21.85	0.00	21.85	0.0	21.85
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 0-4	0.00	7.28	0.00	7.28	0.0	7.28
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	3.35	0.00	3.35	0.0	3.35
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	1.12	0.00	1.12	0.0	1.12
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	25.08	0.00	25.08	0.0	25.08
/ /	CASGRAIN, GAYL	MI 529 AGE-BASED INVESTMENT PF 5-8	0.00	8.36	0.00	8.36	0.0	8.36
01/10/2018	CURL, BRIAN K	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.99	0.00	0.99	0.0	0.99
01/10/2018	LINGEMANN TRUST, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.95	0.00	0.95	0.0	0.95
01/10/2018	LAMARRA, BEN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.78	0.00	0.78	0.0	0.78
01/10/2018	MAPLEY, CRISSELLA	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.32	0.00	1.32	0.0	1.32
01/10/2018	BECK III, FRANKLIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.09	0.00	0.09	0.0	0.09
01/10/2018	NIPPA, BETTY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.73	0.00	0.73	0.0	0.73
01/10/2018	QUENNEVILLE, JEROME	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.46	0.00	0.46	0.0	0.46
01/10/2018	LINGEMANN, HELEN	OPPENHEIMER INTL DIVERSIFIED A	0.00	7.51	0.00	7.51	0.0	7.51
01/10/2018	LINGEMANN, HELEN	OPPENHEIMER LIMITED TERM MUNI A	0.00	5.51	0.00	5.51	0.0	5.51
01/08/2018	REID, DAVID	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	6.41	0.00	6.41	0.0	6.41
01/08/2018	ANTON, CAROLE	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	8.72	0.00	8.72	0.0	8.72

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
01/26/2018	MACKLER, DANIEL	PAC LIFE PORTFOLIOS	0.00	77.02	0.00	77.02	0.0	77.02
01/10/2018	TUMINO TRUST, RAPHAEL	PUTNAM EQUITY INCOME A	0.00	7.09	0.00	7.09	0.0	7.09
01/10/2018	TUMINO TRUST, RAPHAEL	PUTNAM TAX EXEMPT INCOME-A	0.00	1.73	0.00	1.73	0.0	1.73
01/31/2018	ODOM, EDDIE	TEMPLETON GLOBAL BOND C	0.00	60.88	0.00	60.88	0.0	60.88
01/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	4.05	0.00	4.05	0.0	4.05
01/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.68	0.00	5.68	0.0	5.68
01/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	5.68	0.00	5.68	0.0	5.68
01/31/2018	HIGGINS, KATHERINE	TEMPLETON GROWTH 529 A	0.00	4.05	0.00	4.05	0.0	4.05
01/31/2018	WELSCH JR, ROBERT	TEMPLETON GROWTH A	0.00	1.14	0.00	1.14	0.0	1.14
01/31/2018	HIGGINS, FRAN	TEMPLETON GROWTH C	0.00	39.34	0.00	39.34	0.0	39.34
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	2.67	0.00	2.67	0.0	2.67
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	8.02	0.00	8.02	0.0	8.02
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	2.67	0.00	2.67	0.0	2.67
/ /	CASGRAIN, GAYL	TIAA-NUVEEN CONSERVATIVE ALLOC PF C	0.00	8.02	0.00	8.02	0.0	8.02
02/02/2018	TERRELL, KATHLEEN	TRANSAMERICA AA MODERATE C	0.00	9.83	0.00	9.83	0.0	9.83
02/02/2018	KRYSTOFF, LAURA	TRANSAMERICA AA MODERATE C	0.00	31.99	0.00	31.99	0.0	31.99
* BARTHOLOMEW MACKLER SUBTOTAL *			\$810,302.97	\$2,763.66	\$84.00	\$2,679.66		\$2,679.66
<u> BROKER: BRUCE BAXTER #38 </u>								
02/01/2018	RIEGEL, DAVID	ING ACCESS	10.85	0.03	0.00	0.03	0.0	0.03
01/31/2018	LAMBERT, DONNA	ING PREMIUM PLUS	90,178.14	56.36	0.00	56.36	0.0	56.36
01/31/2018	LAMBERT, JOHN	ING PREMIUM PLUS	90,178.14	56.36	0.00	56.36	0.0	56.36
02/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
01/30/2018	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,539.34	5.83	0.00	5.83	0.0	5.83
* BRUCE BAXTER SUBTOTAL *			\$196,006.47	\$123.58	\$0.00	\$123.58		\$123.58
<u> BROKER: MELANIE DICENSO #43 </u>								
01/18/2018	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	6.20	0.00	6.20	0.0	6.20
01/18/2018	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.85	0.00	3.85	0.0	3.85
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.22	0.00	1.22	0.0	1.22
01/30/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	18,895.35	3.94	0.00	3.94	0.0	3.94
01/30/2018	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
* MELANIE DICENSO SUBTOTAL *			\$18,945.35	\$17.21	\$0.00	\$17.21		\$17.21

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JOHN BEAN #45 </u>								
01/18/2018	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.36	0.00	0.36	0.0	0.36
01/18/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
01/18/2018	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
01/18/2018	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
01/18/2018	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.28	0.00	0.28	0.0	0.28
01/18/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
01/18/2018	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
01/18/2018	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.06
01/18/2018	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.88	0.00	0.88	0.0	0.88
01/18/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
01/18/2018	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
01/18/2018	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	0.0	0.18
01/23/2018	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.24	0.00	0.24	0.0	0.24
01/23/2018	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.13	0.00	0.13	0.0	0.13
01/23/2018	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.18	0.00	0.18	0.0	0.18
01/16/2018	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.74	0.00	0.74	0.0	0.74
01/16/2018	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.62	0.00	0.62	0.0	0.62
01/16/2018	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.48	0.00	0.48	0.0	0.48
01/16/2018	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.44	0.00	0.44	0.0	0.44
01/16/2018	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.09	0.00	0.09	0.0	0.09
01/16/2018	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.34	0.00	0.34	0.0	0.34
01/16/2018	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.17	0.00	0.17	0.0	0.17
01/22/2018	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
01/22/2018	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.08	0.00	1.08	0.0	1.08
01/22/2018	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	5.36	0.00	5.36	0.0	5.36
 * JOHN BEAN SUBTOTAL * 			\$0.00	\$12.40	\$0.00	\$12.40		\$12.40

 BROKER: ROBERT (RUSTY) TWEED #58

01/18/2018	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	9.46	0.00	9.46	0.0	9.46
01/22/2018	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.38	0.00	2.38	0.0	2.38
01/22/2018	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.30	0.00	2.30	0.0	2.30
01/29/2018	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.06	0.00	1.06	0.0	1.06
01/19/2018	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	8.51	0.00	8.51	0.0	8.51
01/31/2018	ZAX TRUST	JNL PERSPECTIVE	417.67	0.09	0.00	0.09	0.0	0.09

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
01/31/2018	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	9,240.05	3.85	0.00	3.85	0.0	3.85
01/31/2018	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	66,729.18	72.29	0.00	72.29	0.0	72.29
01/31/2018	WHITE, LINDA	JNL PERSPECTIVE L	84,240.55	70.20	0.00	70.20	0.0	70.20
01/31/2018	ENGSTROM, WAYNE	JNL PERSPECTIVE L	35,707.32	29.76	0.00	29.76	0.0	29.76
01/31/2018	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,963.87	13.30	0.00	13.30	0.0	13.30
01/22/2018	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	11.30	0.00	11.30	0.0	11.30
01/25/2018	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.79	0.00	5.79	0.0	5.79
02/09/2018	FURUKAWA, CLARA	XENIA HOTELS & RESORTS INC COM	-2,822.43	22.00	22.50	-0.50	0.0	-0.50
 * ROBERT (RUSTY) TWEED SUBTOTAL * 			\$209,476.21	\$252.29	\$22.50	\$229.79		\$229.79
<u> BROKER: JIM LUND #60 </u>								
01/31/2018	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	32.52	0.00	32.52	0.0	32.52
01/31/2018	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	42.10	0.00	42.10	0.0	42.10
01/31/2018	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	66.95	0.00	66.95	0.0	66.95
01/31/2018	LUND, JIM	FRANKLIN INCOME A	0.00	4.55	0.00	4.55	0.0	4.55
01/31/2018	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.88	0.00	0.88	0.0	0.88
01/31/2018	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	32.47	0.00	32.47	0.0	32.47
01/31/2018	LUND, ANDREA	FRANKLIN INCOME A	0.00	198.23	0.00	198.23	0.0	198.23
01/31/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.58	0.00	2.58	0.0	2.58
01/31/2018	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.28	0.00	0.28	0.0	0.28
01/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.42	0.00	0.42	0.0	0.42
01/31/2018	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	52.58	0.00	52.58	0.0	52.58
01/31/2018	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	23.54	0.00	23.54	0.0	23.54
01/31/2018	HO, PAULINA	FRANKLIN INCOME C	0.00	70.98	0.00	70.98	0.0	70.98
01/31/2018	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	6.95	0.00	6.95	0.0	6.95
01/31/2018	STARK, TONI	FRANKLIN INCOME C	0.00	27.70	0.00	27.70	0.0	27.70
01/31/2018	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.76	0.00	3.76	0.0	3.76
01/31/2018	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.47	0.00	1.47	0.0	1.47
01/31/2018	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.55	0.00	2.55	0.0	2.55
01/31/2018	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.98	0.00	4.98	0.0	4.98
01/31/2018	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	22.94	0.00	22.94	0.0	22.94
01/31/2018	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	27.14	0.00	27.14	0.0	27.14
01/31/2018	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	84.24	0.00	84.24	0.0	84.24
01/31/2018	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.95	0.00	0.95	0.0	0.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JIM LUND #60</u>								
01/31/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	107.47	0.00	107.47	0.0	107.47
01/31/2018	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	67.62	0.00	67.62	0.0	67.62
02/01/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
01/31/2018	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	20.88	0.00	20.88	0.0	20.88
01/31/2018	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.63	0.00	5.63	0.0	5.63
01/31/2018	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	4.17	0.00	4.17	0.0	4.17
01/31/2018	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	20.77	0.00	20.77	0.0	20.77
01/31/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	33.11	0.00	33.11	0.0	33.11
01/31/2018	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.33	0.00	1.33	0.0	1.33
* JIM LUND SUBTOTAL *			\$200.00	\$973.74	\$0.00	\$973.74		\$973.74
<u>BROKER: JAMES PANOS #61</u>								
01/31/2018	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	84,053.78	70.04	0.00	70.04	0.0	70.04
01/31/2018	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,681.55	89.45	0.00	89.45	0.0	89.45
* JAMES PANOS SUBTOTAL *			\$298,735.33	\$159.49	\$0.00	\$159.49		\$159.49
<u>BROKER: RENE CASTRO #92</u>								
01/31/2018	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	33.64	0.00	33.64	0.0	33.64
* RENE CASTRO SUBTOTAL *			\$0.00	\$33.64	\$0.00	\$33.64		\$33.64
<u>BROKER: STEVEN FRUEH #99</u>								
01/31/2018	FOWLER, ROBERT	FT FOUNDING FDS ALLOC C	0.00	44.04	0.00	44.04	0.0	44.04
* STEVEN FRUEH SUBTOTAL *			\$0.00	\$44.04	\$0.00	\$44.04		\$44.04
<u>BROKER: JAMES AULENTI #101</u>								
01/31/2018	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	90.61	0.00	90.61	0.0	90.61
01/31/2018	MILES FAMILY TRUST	FRANKLIN NY TF INCOME FUND CL C	0.00	268.11	0.00	268.11	0.0	268.11
* JAMES AULENTI SUBTOTAL *			\$0.00	\$358.72	\$0.00	\$358.72		\$358.72
<u>BROKER: DANIEL COLLINS #106</u>								
01/18/2018	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.82	0.00	4.82	0.0	4.82
01/18/2018	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.77	0.00	11.77	0.0	11.77
01/18/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.89	0.00	5.89	0.0	5.89
01/18/2018	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.34	0.00	2.34	0.0	2.34
01/18/2018	JESTER, CATHERINE	AM FUNDS ICA A	0.00	6.25	0.00	6.25	0.0	6.25
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL COLLINS #106 </u>								
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
01/16/2018	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.76	0.00	3.76	0.0	3.76
01/12/2018	BEBEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.26	0.00	4.26	0.0	4.26
01/29/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
01/29/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	2.01	0.00	2.01	0.0	2.01
01/31/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	2.85	0.00	2.85	0.0	2.85
01/31/2018	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.72	0.00	0.72	0.0	0.72
01/31/2018	DONNELL, PATRICK	FRANKLIN REAL RETURN A	0.00	0.11	0.00	0.11	0.0	0.11
01/29/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
01/29/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	2.07	0.00	2.07	0.0	2.07
01/31/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	2.85	0.00	2.85	0.0	2.85
01/31/2018	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.72	0.00	0.72	0.0	0.72
01/29/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
01/29/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
01/29/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2018	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.67	0.00	0.67	0.0	0.67
01/31/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	2.04	0.00	2.04	0.0	2.04
01/31/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	2.75	0.00	2.75	0.0	2.75
01/31/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	1.75	0.00	1.75	0.0	1.75
01/31/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	2.61	0.00	2.61	0.0	2.61
01/31/2018	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.61	0.00	0.61	0.0	0.61
01/31/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	72,406.45	12.07	0.00	12.07	0.0	12.07
01/31/2018	PLUTSCHAK, ERNEST	GENWORTH TRAILS	5,561.77	0.93	0.00	0.93	0.0	0.93
01/22/2018	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.72	0.00	2.72	0.0	2.72
01/18/2018	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	2.02	0.00	2.02	0.0	2.02
01/22/2018	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.97	0.00	0.97	0.0	0.97

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 OVERRIDE TRANSACTIONS BROKER: DANIEL COLLINS #106

01/12/2018	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.77	0.00	2.77	0.0	2.77
* DANIEL COLLINS SUBTOTAL *			\$78,298.22	\$99.29	\$0.00	\$99.29		\$99.29

 BROKER: G118 GRECO #110

01/10/2018	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.86	0.00	4.86	0.0	4.86
01/10/2018	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	7.17	0.00	7.17	0.0	7.17
01/10/2018	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	7.17	0.00	7.17	0.0	7.17
01/10/2018	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	8.12	0.00	8.12	0.0	8.12
01/10/2018	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.11	0.00	5.11	0.0	5.11
01/10/2018	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	0.0	0.18
01/10/2018	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.65	0.00	5.65	0.0	5.65
01/10/2018	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	3.15	0.00	3.15	0.0	3.15
01/10/2018	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	17.42	0.00	17.42	0.0	17.42
01/08/2018	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	7.06	0.00	7.06	0.0	7.06
01/10/2018	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	39.55	0.00	39.55	0.0	39.55
01/10/2018	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	13.49	0.00	13.49	0.0	13.49
* G118 GRECO SUBTOTAL *			\$0.00	\$118.93	\$0.00	\$118.93		\$118.93

 BROKER: ERNEST DALE, A122 ANDERSON #119

/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.79	0.00	0.79	0.0	0.79
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.15	0.00	0.15	0.0	0.15
/ /	VAN BAAL, RUDOLF	ARIEL PROTFOLIO-A	0.00	0.17	0.00	0.17	0.0	0.17
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.78	0.00	0.78	0.0	0.78
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.14	0.00	0.14	0.0	0.14
/ /	VAN BAAL, RUDOLF	HARBOR CAPITAL APPRECIATION PORT-A	0.00	0.17	0.00	0.17	0.0	0.17
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	2.30	0.00	2.30	0.0	2.30
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.46	0.00	0.46	0.0	0.46
/ /	VAN BAAL, RUDOLF	MI 529 TIAA US SMALL CAP PF A	0.00	0.57	0.00	0.57	0.0	0.57
/ /	VAN BAAL, RUDOLF	NUVEEN STRATEGIC INC PORT-A	0.00	0.19	0.00	0.19	0.0	0.19
/ /	VAN BAAL, RUDOLF	NUVEEN STRATEGIC INC PORT-A	0.00	0.03	0.00	0.03	0.0	0.03
/ /	VAN BAAL, RUDOLF	NUVEEN STRATEGIC INC PORT-A	0.00	0.04	0.00	0.04	0.0	0.04
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	2.14	0.00	2.14	0.0	2.14
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.38	0.00	0.38	0.0	0.38
/ /	VAN BAAL, RUDOLF	OAKMARK INTERNATIONAL PT A	0.00	0.50	0.00	0.50	0.0	0.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERNEST DALE, A122 ANDERSON #119 </u>								
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	2.25	0.00	2.25	0.0	2.25
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.50	0.00	0.50	0.0	0.50
/ /	VAN BAAL, RUDOLF	SANTA BARBARA DIVIDEND GRWTH PT A	0.00	0.64	0.00	0.64	0.0	0.64
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	2.30	0.00	2.30	0.0	2.30
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.52	0.00	0.52	0.0	0.52
/ /	VAN BAAL, RUDOLF	TIAA LARGE CAP US EQTY IDX PT A	0.00	0.65	0.00	0.65	0.0	0.65
 * ERNEST DALE, A122 ANDERSON SUBTOTAL * 			\$0.00	\$15.67	\$0.00	\$15.67		\$15.67
<u> BROKER: JAY MCCLELLAN #120 </u>								
01/16/2018	CRABTREE, ASHTYN	COLUMBIA FUNDS	50.00	2.50	0.00	2.50	0.0	2.50
01/10/2018	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.72	0.00	0.72	0.0	0.72
01/19/2018	MCCLELLAN, VARIOUS	COMMONWEALTH ANNUITY	5,000.00	100.00	0.00	100.00	0.0	100.00
01/16/2018	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.69	0.00	0.69	0.0	0.69
01/31/2018	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.96	0.00	8.96	0.0	8.96
01/31/2018	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	9.32	0.00	9.32	0.0	9.32
01/31/2018	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	7.28	0.00	7.28	0.0	7.28
01/31/2018	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.68	0.00	2.68	0.0	2.68
01/31/2018	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.36	0.00	7.36	0.0	7.36
01/31/2018	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	9.01	0.00	9.01	0.0	9.01
01/31/2018	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	3.08	0.00	3.08	0.0	3.08
01/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.34	0.00	7.34	0.0	7.34
01/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	11.19	0.00	11.19	0.0	11.19
01/31/2018	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.89	0.00	1.89	0.0	1.89
01/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.44	0.00	7.44	0.0	7.44
01/31/2018	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	7.41	0.00	7.41	0.0	7.41
12/31/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	19,844.09	4.21	0.00	4.21	0.0	4.21
12/31/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND C	11,217.63	2.38	0.00	2.38	0.0	2.38
01/19/2018	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.14	0.00	3.14	0.0	3.14
01/19/2018	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.12	0.00	3.12	0.0	3.12
01/19/2018	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.33	0.00	2.33	0.0	2.33
01/19/2018	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.57	0.00	3.57	0.0	3.57
01/19/2018	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.89	0.00	9.89	0.0	9.89
01/31/2018	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	21,047.08	7.02	0.00	7.02	0.0	7.02
01/19/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.68	0.00	0.68	0.0	0.68

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
01/19/2018	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.28	0.00	0.28	0.0	0.28
01/19/2018	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.65	0.00	0.65	0.0	0.65
01/18/2018	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.29	0.00	2.29	0.0	2.29
01/18/2018	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.02	0.00	3.02	0.0	3.02
01/18/2018	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.43	0.00	3.43	0.0	3.43
01/31/2018	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.93	0.00	1.93	0.0	1.93
01/31/2018	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	5.08	0.00	5.08	0.0	5.08
01/31/2018	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	4.31	0.00	4.31	0.0	4.31
01/31/2018	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	6.06	0.00	6.06	0.0	6.06
01/31/2018	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.45	0.00	4.45	0.0	4.45
01/31/2018	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.39	0.00	5.39	0.0	5.39
01/31/2018	KAISER, CHARLES	TEMPLETON WORLD A	0.00	8.23	0.00	8.23	0.0	8.23
01/31/2018	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	8.27	0.00	8.27	0.0	8.27
* JAY MCCLELLAN SUBTOTAL *			\$57,158.80	\$276.60	\$0.00	\$276.60		\$276.60
<u>BROKER: TIMOTHY KULHANEK #145</u>								
01/17/2018	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.92	0.00	0.92	0.0	0.92
01/17/2018	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.32	0.00	0.32	0.0	0.32
01/12/2018	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.39	0.00	0.39	0.0	0.39
01/12/2018	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.37	0.00	0.37	0.0	0.37
01/31/2018	JOHNSON, BLAKE	TEMPLETON FOREIGN FUND A	0.00	0.40	0.00	0.40	0.0	0.40
01/22/2018	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.36	0.00	0.36	0.0	0.36
01/31/2018	JOHNSON, BLAKE	TEMPLETON WORLD A	0.00	0.50	0.00	0.50	0.0	0.50
* TIMOTHY KULHANEK SUBTOTAL *			\$0.00	\$3.26	\$0.00	\$3.26		\$3.26
<u>BROKER: BRUCE CARNICK #175</u>								
01/31/2018	WANG, LIN LIN	TEMPLETON DEVELOPING MKTS A	0.00	7.65	0.00	7.65	0.0	7.65
01/31/2018	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	18.70	0.00	18.70	0.0	18.70
01/31/2018	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	10.55	0.00	10.55	0.0	10.55
01/31/2018	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	8.05	0.00	8.05	0.0	8.05
01/31/2018	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.88	0.00	3.88	0.0	3.88
01/31/2018	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	96.15	0.00	96.15	0.0	96.15
01/31/2018	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	42.00	0.00	42.00	0.0	42.00
01/31/2018	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.66	0.00	1.66	0.0	1.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE CARNICK #175 </u>								
01/31/2018	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.43	0.00	1.43	0.0	1.43
01/31/2018	ADAMS, JANET	TEMPLETON WORLD A	0.00	27.84	0.00	27.84	0.0	27.84
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$217.91	\$0.00	\$217.91		\$217.91
<u> BROKER: DAVID KOTZ #179 </u>								
01/10/2018	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.56	0.00	4.56	0.0	4.56
01/10/2018	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.01	0.00	1.01	0.0	1.01
01/10/2018	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.45	0.00	10.45	0.0	10.45
01/10/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.05	0.00	28.05	0.0	28.05
01/10/2018	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.14	0.00	3.14	0.0	3.14
01/10/2018	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.95	0.00	0.95	0.0	0.95
01/10/2018	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.87	0.00	2.87	0.0	2.87
01/10/2018	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.80	0.00	1.80	0.0	1.80
01/10/2018	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.47	0.00	2.47	0.0	2.47
01/10/2018	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.11	0.00	2.11	0.0	2.11
* DAVID KOTZ SUBTOTAL *			\$0.00	\$57.41	\$0.00	\$57.41		\$57.41
<u> BROKER: WILLIAM WALSH #187 </u>								
01/10/2018	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	28.23	0.00	28.23	0.0	28.23
01/10/2018	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.22	0.00	3.22	0.0	3.22
01/10/2018	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	7.05	0.00	7.05	0.0	7.05
12/31/2017	CHICAGO WICKER	ADP TRAILS	0.00	1,085.71	0.00	1,085.71	0.0	1,085.71
01/10/2018	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.90	0.00	4.90	0.0	4.90
01/10/2018	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.73	0.00	2.73	0.0	2.73
01/10/2018	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.07	0.00	0.07	0.0	0.07
01/10/2018	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.34	0.00	0.34	0.0	0.34
01/10/2018	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.12
01/10/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.21	0.00	17.21	0.0	17.21
01/10/2018	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.02	0.00	38.02	0.0	38.02
01/10/2018	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.72	0.00	4.72	0.0	4.72
01/10/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.42	0.00	0.42	0.0	0.42
01/10/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	11.24	0.00	11.24	0.0	11.24
01/10/2018	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.01
01/10/2018	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.36	0.00	9.36	0.0	9.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM WALSH #187</u>								
01/10/2018	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.17	0.00	1.17	0.0	1.17
01/10/2018	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	11.21	0.00	11.21	0.0	11.21
01/10/2018	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.42	0.00	1.42	0.0	1.42
01/10/2018	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	22.84	0.00	22.84	0.0	22.84
01/10/2018	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.99	0.00	1.99	0.0	1.99
01/10/2018	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.65	0.00	2.65	0.0	2.65
01/10/2018	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	8.22	0.00	8.22	0.0	8.22
01/10/2018	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.13	0.00	1.13	0.0	1.13
01/10/2018	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	0.66	0.00	0.66	0.0	0.66
01/10/2018	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	89.61	0.00	89.61	0.0	89.61
01/10/2018	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	0.40	0.00	0.40	0.0	0.40
01/10/2018	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	3.89	0.00	3.89	0.0	3.89
01/10/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.05	0.00	5.05	0.0	5.05
01/10/2018	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	6.06	0.00	6.06	0.0	6.06
/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	0.0	0.01
01/10/2018	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.94	0.00	8.94	0.0	8.94
01/10/2018	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	29.33	0.00	29.33	0.0	29.33
01/10/2018	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.21	0.00	1.21	0.0	1.21
01/10/2018	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	16.15	0.00	16.15	0.0	16.15
01/10/2018	YOUNG PROFIT SHARING, RICK	PIMCO ALL ASSET A	0.00	10.99	0.00	10.99	0.0	10.99
01/10/2018	YOUNG-CASH BALANCE, RICK	PIMCO ALL ASSET A	0.00	45.78	0.00	45.78	0.0	45.78
01/10/2018	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.90	0.00	14.90	0.0	14.90
01/10/2018	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.54	0.00	0.54	0.0	0.54
01/26/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.26	0.00	0.26	0.0	0.26
01/26/2018	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.56	0.00	0.56	0.0	0.56
01/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.34	0.00	1.34	0.0	1.34
01/26/2018	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.26	0.00	0.26	0.0	0.26
01/31/2018	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	617.81	0.00	617.81	0.0	617.81
01/31/2018	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	76.18	0.00	76.18	0.0	76.18
* WILLIAM WALSH SUBTOTAL *			\$0.00	\$2,193.91	\$0.00	\$2,193.91		\$2,193.91

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
01/18/2018	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	1.01	0.00	1.01	0.0	1.01
01/18/2018	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.65	0.00	0.65	0.0	0.65
01/18/2018	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.87	0.00	0.87	0.0	0.87
01/18/2018	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.15	0.00	1.15	0.0	1.15
01/18/2018	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.72	0.00	0.72	0.0	0.72
01/31/2018	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.47	0.00	2.47	0.0	2.47
01/31/2018	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	4.30	0.00	4.30	0.0	4.30
01/31/2018	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	14.29	0.00	14.29	0.0	14.29
02/02/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	26.51	0.27	0.00	0.27	0.0	0.27
02/02/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	36.45	0.36	0.00	0.36	0.0	0.36
02/02/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.20	0.22	0.00	0.22	0.0	0.22
02/02/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.20	0.22	0.00	0.22	0.0	0.22
02/02/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.44	0.31	0.00	0.31	0.0	0.31
02/02/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.44	0.31	0.00	0.31	0.0	0.31
02/02/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	22.20	0.22	0.00	0.22	0.0	0.22
02/02/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	22.20	0.22	0.00	0.22	0.0	0.22
02/02/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	31.44	0.31	0.00	0.31	0.0	0.31
02/02/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	31.44	0.31	0.00	0.31	0.0	0.31
02/02/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	43.20	0.43	0.00	0.43	0.0	0.43
02/02/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	21.60	0.22	0.00	0.22	0.0	0.22
02/02/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	65.96	0.66	0.00	0.66	0.0	0.66
02/02/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	32.98	0.33	0.00	0.33	0.0	0.33
02/02/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	13.26	0.13	0.00	0.13	0.0	0.13
02/02/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	18.22	0.18	0.00	0.18	0.0	0.18
02/02/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	32.40	0.32	0.00	0.32	0.0	0.32
02/02/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	16.20	0.16	0.00	0.16	0.0	0.16
02/02/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	49.47	0.49	0.00	0.49	0.0	0.49
02/02/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	24.74	0.25	0.00	0.25	0.0	0.25
02/02/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	43.21	0.43	0.00	0.43	0.0	0.43
02/02/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	21.60	0.22	0.00	0.22	0.0	0.22
02/02/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	65.94	0.66	0.00	0.66	0.0	0.66
02/02/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	32.96	0.33	0.00	0.33	0.0	0.33
02/02/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	32.40	0.32	0.00	0.32	0.0	0.32

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<u> OVERRIDE TRANSACTIONS </u>								
BROKER: MICHELE BOYER #189								
02/02/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	16.20	0.16	0.00	0.16	0.0	0.16
02/02/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	49.47	0.49	0.00	0.49	0.0	0.49
02/02/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	24.74	0.25	0.00	0.25	0.0	0.25
02/02/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	26.51	0.27	0.00	0.27	0.0	0.27
02/02/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	36.45	0.36	0.00	0.36	0.0	0.36
02/02/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	39.77	0.40	0.00	0.40	0.0	0.40
02/02/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	54.67	0.55	0.00	0.55	0.0	0.55
02/02/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	32.40	0.32	0.00	0.32	0.0	0.32
02/02/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	16.20	0.16	0.00	0.16	0.0	0.16
02/02/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	49.47	0.49	0.00	0.49	0.0	0.49
02/02/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	24.74	0.25	0.00	0.25	0.0	0.25
02/02/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	26.51	0.27	0.00	0.27	0.0	0.27
02/02/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	36.45	0.36	0.00	0.36	0.0	0.36
02/02/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	32.40	0.32	0.00	0.32	0.0	0.32
02/02/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	16.20	0.16	0.00	0.16	0.0	0.16
02/02/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	49.47	0.49	0.00	0.49	0.0	0.49
02/02/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	24.74	0.25	0.00	0.25	0.0	0.25
01/19/2018	LILLIGRIDGE, PAMELA	MFS BOND A	0.00	0.16	0.00	0.16	0.0	0.16
01/19/2018	LILLIGRIDGE, PAMELA	MFS GOVERNMENT SECURITIES A	0.00	0.11	0.00	0.11	0.0	0.11
01/19/2018	LILLIGRIDGE, PAMELA	MFS INTERNATIONAL DISCOVERY A	0.00	0.07	0.00	0.07	0.0	0.07
01/19/2018	LILLIGRIDGE, PAMELA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.16	0.00	0.16	0.0	0.16
01/19/2018	LILLIGRIDGE, PAMELA	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.17	0.00	0.17	0.0	0.17
01/19/2018	LILLIGRIDGE, PAMELA	MFS MASS INVESTORS TRUST A	0.00	0.08	0.00	0.08	0.0	0.08
01/19/2018	LILLIGRIDGE, PAMELA	MFS MID-CAP VALUE FUND CLASS A	0.00	0.08	0.00	0.08	0.0	0.08
01/19/2018	LILLIGRIDGE, PAMELA	MFS TOTAL RETURN BOND FUND CLASS A	0.00	0.17	0.00	0.17	0.0	0.17
01/19/2018	LILLIGRIDGE, PAMELA	MFS VALUE A	0.00	0.74	0.00	0.74	0.0	0.74
01/18/2018	BOETTGER, JENNIFER	PIMCO INFLATION RESPONSE MULTI- ASS	0.00	10.29	0.00	10.29	0.0	10.29
01/30/2018	HENDERSON, JUSTINE	STADION TACTICAL DEFENSIVE FUND 852	0.00	2.20	0.00	2.20	0.0	2.20
01/09/2018	BARNES, BRIAN	WILLISTON BASIN/MID- NORTH AMERICA	0.00	3.49	0.00	3.49	0.0	3.49
* MICHELE BOYER SUBTOTAL *			\$1,348.05	\$56.61	\$0.00	\$56.61		\$56.61

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS JAHNCKE #193 </u>								
01/18/2018	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	0.18	0.00	0.18	0.0	0.18
01/18/2018	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	0.14	0.00	0.14	0.0	0.14
01/18/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.28	0.00	1.28	0.0	1.28
01/18/2018	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	2.03	0.00	2.03	0.0	2.03
01/18/2018	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.40	0.00	1.40	0.0	1.40
01/23/2018	BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	0.54	0.00	0.54	0.0	0.54
01/25/2018	RUMBLE TRUST, JUDITH	INVESCO ENERGY FUND CLASS C	0.00	17.34	0.00	17.34	0.0	17.34
01/12/2018	BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	0.05	0.00	0.05	0.0	0.05
01/12/2018	BRINSER, LISA	IVY ASSET STRATEGY FUND CLASS C	0.00	9.33	0.00	9.33	0.0	9.33
01/22/2018	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	0.33	0.00	0.33	0.0	0.33
01/11/2018	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	0.22	0.00	0.22	0.0	0.22
01/11/2018	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	0.48	0.00	0.48	0.0	0.48
01/11/2018	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	0.25	0.00	0.25	0.0	0.25
01/11/2018	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	0.79	0.00	0.79	0.0	0.79
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$34.36	\$0.00	\$34.36		\$34.36
<u> BROKER: MICHAEL PALMISANO #196 </u>								
01/10/2018	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.22	0.00	2.22	0.0	2.22
01/10/2018	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.61	0.00	7.61	0.0	7.61
01/31/2018	SUTTER, DANI-ANN	JNL PERSPECTIVE L	267,621.81	223.02	0.00	223.02	0.0	223.02
* MICHAEL PALMISANO SUBTOTAL *			\$267,621.81	\$232.85	\$0.00	\$232.85		\$232.85
<u> BROKER: RICHARD CODY #197 </u>								
01/22/2018	SYVERSEN, AMY	MARSICO FLEXIBLE CAPITAL FUND	0.00	0.61	0.00	0.61	0.0	0.61
* RICHARD CODY SUBTOTAL *			\$0.00	\$0.61	\$0.00	\$0.61		\$0.61
<u> BROKER: MEREDITH MCCUTCHEN #221 </u>								
01/18/2018	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	5.16	0.00	5.16	0.0	5.16
01/18/2018	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	5.27	0.00	5.27	0.0	5.27
01/18/2018	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.26	0.00	3.26	0.0	3.26
01/18/2018	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.27	0.00	3.27	0.0	3.27
01/18/2018	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.61	0.00	5.61	0.0	5.61
01/18/2018	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.75	0.00	5.75	0.0	5.75
01/18/2018	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.75	0.00	3.75	0.0	3.75
01/18/2018	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.76	0.00	3.76	0.0	3.76

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
01/16/2018	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.69	0.00	4.69	0.0	4.69
01/16/2018	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.09	0.00	0.09	0.0	0.09
01/22/2018	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.14	0.00	0.14	0.0	0.14
01/22/2018	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.22	0.00	0.22	0.0	0.22
01/22/2018	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.22	0.00	0.22	0.0	0.22
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$41.19	\$0.00	\$41.19		\$41.19
<u>BROKER: GREGORY FRIEL #223</u>								
01/10/2018	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.58	0.00	0.58	0.0	0.58
01/10/2018	SAURMAN, PAMELA	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.23	0.00	1.23	0.0	1.23
01/16/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	4.02	0.00	4.02	0.0	4.02
01/16/2018	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.94	0.00	1.94	0.0	1.94
01/17/2018	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	5.06	0.00	5.06	0.0	5.06
01/17/2018	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	3.04	0.00	3.04	0.0	3.04
01/17/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.25	0.00	1.25	0.0	1.25
01/17/2018	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.48	0.00	0.48	0.0	0.48
01/19/2018	FRIEL, JEREMY	MFS BOND A	0.00	1.23	0.00	1.23	0.0	1.23
01/19/2018	FRIEL, SHELLEY	MFS BOND A	0.00	0.23	0.00	0.23	0.0	0.23
01/19/2018	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.84	0.00	0.84	0.0	0.84
01/19/2018	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.95	0.00	0.95	0.0	0.95
01/19/2018	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.92	0.00	0.92	0.0	0.92
01/19/2018	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.26	0.00	0.26	0.0	0.26
01/19/2018	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.27	0.00	0.27	0.0	0.27
01/19/2018	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.55	0.00	1.55	0.0	1.55
01/19/2018	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.39	0.00	5.39	0.0	5.39
01/19/2018	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	9.13	0.00	9.13	0.0	9.13
01/19/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.25	0.00	0.25	0.0	0.25
01/19/2018	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	11.59	0.00	11.59	0.0	11.59
01/19/2018	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.47	0.00	3.47	0.0	3.47
01/19/2018	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.50	0.00	2.50	0.0	2.50
01/19/2018	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.25	0.00	1.25	0.0	1.25
* GREGORY FRIEL SUBTOTAL *			\$0.00	\$57.43	\$0.00	\$57.43		\$57.43

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAMES CRAWFORD #225 </u>								
01/22/2018	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.21	0.00	3.21	0.0	3.21
01/31/2018	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,232.84	1.92	0.00	1.92	0.0	1.92
01/31/2018	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,347.23	1.53	0.00	1.53	0.0	1.53
* JAMES CRAWFORD SUBTOTAL *			\$16,580.07	\$6.66	\$0.00	\$6.66		\$6.66
<u> BROKER: CARL WORDEN III #232 </u>								
01/31/2018	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	11,133.69	9.28	0.00	9.28	0.0	9.28
* CARL WORDEN III SUBTOTAL *			\$11,133.69	\$9.28	\$0.00	\$9.28		\$9.28
<u> BROKER: MARIA BONGIOVANNI #237 </u>								
01/31/2018	NOUNOU, TRACIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.16	0.00	3.16	0.0	3.16
01/31/2018	NOUNOU, ZACHARY	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.16	0.00	3.16	0.0	3.16
01/31/2018	NOUNOU, JAMIE	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.16	0.00	3.16	0.0	3.16
* MARIA BONGIOVANNI SUBTOTAL *			\$0.00	\$9.48	\$0.00	\$9.48		\$9.48
<u> BROKER: WILLIAM THOMAS #239 </u>								
01/21/2018	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
01/28/2018	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
* WILLIAM THOMAS SUBTOTAL *			\$500.00	\$10.00	\$0.00	\$10.00		\$10.00
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
12/28/2017	MILLER, MARTIN	METLIFE TRAILS	109,749.67	27.44	0.00	27.44	0.0	27.44
12/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.03
12/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.05	0.00	0.05	0.0	0.05
12/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	2.23	0.00	2.23	0.0	2.23
12/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	26.25	0.00	26.25	0.0	26.25
12/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.75	0.00	8.75	0.0	8.75
12/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.87	0.00	7.87	0.0	7.87
12/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.62	0.00	2.62	0.0	2.62
12/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	33.01	0.00	33.01	0.0	33.01
12/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	11.00	0.00	11.00	0.0	11.00
01/31/2018	WAX, JESSICA	FRANKLIN SMALL-MIDCAP GROWTH A	0.00	3.16	0.00	3.16	0.0	3.16
01/22/2018	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	2.88
02/02/2018	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.40	0.00	4.40	0.0	4.40
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$109,893.67	\$129.69	\$0.00	\$129.69		\$129.69

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MARTIN PROSHEK #355								
01/25/2018	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	6.72	0.00	6.72	80.0	6.72
01/18/2018	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.42	0.00	1.42	80.0	1.42
01/18/2018	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	0.00	0.25	80.0	0.25
01/18/2018	MABEE, SUSAN	AM FUNDS NEW WORLD A	0.00	0.53	0.00	0.53	80.0	0.53
01/24/2018	FUQUA, CHRIS	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.36	0.00	0.36	80.0	0.36
01/24/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.38	0.00	0.38	80.0	0.38
01/24/2018	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.09	0.00	0.09	80.0	0.09
01/24/2018	HORR, DIANE	BLACKROCK ENERGY & RESOURCES PORTFO	0.00	0.43	0.00	0.43	80.0	0.43
01/10/2018	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	5.28	0.00	5.28	80.0	5.28
01/16/2018	MCPARTLAND, BARBARA	DAVIS APPRECIATION AND INCOME A	0.00	1.63	0.00	1.63	80.0	1.63
01/12/2018	HENNINGER, DAROLD	DELAWARE HEALTHCARE FUNDS CLASS A	0.00	8.12	0.00	8.12	80.0	8.12
01/17/2018	MCPARTLAND, BARBARA	FIDELITY ADVISOR BIOTECHNOLOGY FUND	0.00	3.47	0.00	3.47	80.0	3.47
01/17/2018	HENNINGER, DAROLD	FIDELITY ADVISOR TELECOMMUNICATIONS	0.00	6.07	0.00	6.07	80.0	6.07
01/12/2018	FUQUA, CHARLES (C.K.)	FIRST EAGLE GLOBAL FUND CL A	0.00	7.83	0.00	7.83	80.0	7.83
01/12/2018	HENNINGER, DAROLD	FIRST EAGLE GLOBAL FUND CL A	0.00	6.88	0.00	6.88	80.0	6.88
01/12/2018	MCPARTLAND, BARBARA	FIRST EAGLE GLOBAL FUND CL A	0.00	3.26	0.00	3.26	80.0	3.26
01/22/2018	NERO, PATRICK	FRANKLIN BALANCED C	0.00	12.07	0.00	12.07	80.0	12.07
01/22/2018	NERO, BARBARA	FRANKLIN BALANCED C	0.00	6.84	0.00	6.84	80.0	6.84
01/22/2018	FUQUA, CHARLES (C.K.)	FRANKLIN CONV SEC A	0.00	5.71	0.00	5.71	80.0	5.71
01/22/2018	MCPARTLAND, BARBARA	FRANKLIN INCOME A	0.00	1.08	0.00	1.08	80.0	1.08
01/12/2018	HENNINGER, DAROLD	IVY SCIENCE AND TECHNOLOGY FUND A	0.00	4.87	0.00	4.87	80.0	4.87
01/23/2018	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	1.34	0.00	1.34	80.0	1.34
01/31/2018	WILSON, LYNNE	JNL PERSPECTIVE II 04/14	604,724.53	125.98	0.00	125.98	80.0	125.98
01/19/2018	HORR, DIANE	MFS EMERGING MARKETS DEBT FUND A	0.00	2.93	0.00	2.93	80.0	2.93
01/19/2018	FUQUA, CHARLES (C.K.)	MFS GROWTH ALLOCATION A	0.00	6.05	0.00	6.05	80.0	6.05
01/19/2018	FUQUA, CHRIS	MFS GROWTH ALLOCATION A	0.00	1.09	0.00	1.09	80.0	1.09
01/09/2018	HORR, DIANE	NATIXIS LOOMIS STRATEGIC INCOME A	0.00	1.89	0.00	1.89	80.0	1.89
01/18/2018	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	8.95	0.00	8.95	80.0	8.95
01/12/2018	FUQUA, CHARLES (C.K.)	SALIENT SELECT INCOME FUND CLASS A	0.00	4.94	0.00	4.94	80.0	4.94
01/12/2018	MCPARTLAND, BARBARA	SALIENT SELECT INCOME FUND CLASS A	0.00	2.91	0.00	2.91	80.0	2.91
01/10/2018	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	8.70	0.00	8.70	80.0	8.70
01/10/2018	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.77	0.00	5.77	80.0	5.77
01/10/2018	FUQUA, CHARLES (C.K.)	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.54	0.00	7.54	80.0	7.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARTIN PROSHEK #355</u>								
01/10/2018	HENNINGER, DAROLD	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.97	0.00	7.97	80.0	7.97
01/22/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.84	0.00	3.84	80.0	3.84
01/22/2018	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.92	0.00	0.92	80.0	0.92
01/22/2018	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.98	0.00	12.98	80.0	12.98
01/22/2018	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	9.05	0.00	9.05	80.0	9.05
01/22/2018	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	7.97	0.00	7.97	80.0	7.97
01/22/2018	FUQUA, CHARLES (C.K.)	UNDISCOVERED MANAGERS BEHAVIORAL VA	0.00	10.91	0.00	10.91	80.0	10.91
01/12/2018	FUQUA, CHARLES (C.K.)	WELLS FARGO SPECIAL MID CAP VALUE F	0.00	7.86	0.00	7.86	80.0	7.86
* MARTIN PROSHEK SUBTOTAL *			\$604,724.53	\$322.88	\$0.00	\$322.88		\$322.88
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
01/31/2018	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	124,182.94	103.49	0.00	103.49	0.0	10.35
01/25/2018	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.53	0.00	4.53	0.0	0.45
01/25/2018	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.66	0.00	3.66	0.0	0.37
01/22/2018	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.84	0.00	2.84	0.0	0.28
01/31/2018	TOOLEY, PATRICIA	FRANKLIN MA TAX FR INCOME A	0.00	26.09	0.00	26.09	0.0	2.61
01/31/2018	GRIFFIN, DOUGLAS	FRANKLIN NY TF INCOME FUND CLASS A	0.00	8.82	0.00	8.82	0.0	0.88
01/31/2018	GRIFFIN, JEANETTE	FRANKLIN NY TF INCOME FUND CLASS A	0.00	12.55	0.00	12.55	0.0	1.26
01/31/2018	GRIFFIN, DOUGLAS	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	19.34	0.00	19.34	0.0	1.93
02/02/2018	GORMAN, LORAIN	ING EMPIRE INNOVATIONS STD OPT A	96,430.96	241.08	0.00	241.08	0.0	24.11
01/22/2018	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.99	0.00	5.99	0.0	0.60
01/18/2018	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.08	0.00	1.08	0.0	0.11
01/18/2018	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.36	0.00	1.36	0.0	0.14
01/18/2018	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.64	0.00	0.64	0.0	0.06
01/18/2018	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.36	0.00	5.36	0.0	0.54
01/18/2018	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.05	0.00	5.05	0.0	0.51
01/18/2018	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	9.17	0.00	9.17	0.0	0.92
01/18/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.87	0.00	1.87	0.0	0.19
01/22/2018	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.89	0.00	4.89	0.0	0.49
01/10/2018	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.15	0.00	0.15	0.0	0.02
01/10/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.59	0.00	0.59	0.0	0.06
01/10/2018	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	5.13	0.00	5.13	0.0	0.51
01/10/2018	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.13	0.00	2.13	0.0	0.21
01/10/2018	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.74	0.00	0.74	0.0	0.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
01/10/2018	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.14	0.00	1.14	0.0	0.11
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$220,613.90	\$467.69	\$0.00	\$467.69		\$46.78
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,996,051.86	\$10,034.97	\$120.50	\$9,914.47		\$9,493.56

BROKER COMMISSION TOTALS

Broker Transactions	\$981.05
Split Transactions	\$0.00
Override Transactions	\$9,493.56
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,474.61
Year-to-date Gross	\$8,351.58
Year-to-date Earnings	\$34,004.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed