

## COMMISSION STATEMENT

April 30, 2017

MARTIN MCNEILL

25 Pineneck Avenue

Sag Harbor, NY 11963-

BROKER #:

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for MARTIN MCNEILL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/29/2017	QGV001100	AND EDWARD FRENCH	PC TEL INC COM	B	9,574.80	73.00	0.00	73.00	40.0	29.20
04/04/2017	QGV001100	AND EDWARD FRENCH	TESLA MOTORS INC	B	15,091.50	73.00	0.00	73.00	40.0	29.20
04/05/2017	QGV001100	AND EDWARD FRENCH	SHOPIFY INC CL A ISIN#CA82509L1076	S	-20,394.12	73.00	0.00	73.00	40.0	29.20
04/05/2017	QGV001100	AND EDWARD FRENCH	U S CONCRETE INC COM NEW	S	-18,525.00	73.00	0.00	73.00	40.0	29.20
04/07/2017	QGV001100	AND EDWARD FRENCH	AQUA METALS INC COM	B	8,754.95	73.00	0.00	73.00	40.0	29.20
04/10/2017	QGV001100	AND EDWARD FRENCH	IMPRIMIS PHARMACEUTICALS INC COM NE B	B	4,188.00	73.00	0.00	73.00	40.0	29.20
04/10/2017	QGV001100	AND EDWARD FRENCH	REPLICEL LIFE SCIENCES INC COM NEW B	B	1,543.20	73.00	0.00	73.00	40.0	29.20
04/12/2017	QGV001100	AND EDWARD FRENCH	NEVRO CORP COM	S	-17,818.00	73.00	0.00	73.00	40.0	29.20
04/13/2017	QGV001100	AND EDWARD FRENCH	ALIBABA GROUP HOLDING LTD SPONSORED B	B	11,110.30	73.00	0.00	73.00	40.0	29.20
04/21/2017	QGV001100	AND EDWARD FRENCH	PRIMO WTR CORP COM	S	-11,712.00	73.00	0.00	73.00	40.0	29.20
04/24/2017	QGV001100	AND EDWARD FRENCH	BIOTELEMETRY INC COM	B	15,897.40	73.00	0.00	73.00	40.0	29.20
04/24/2017	QGV001100	AND EDWARD FRENCH	REPLICEL LIFE SCIENCES INC COM NEW S	S	-1,282.00	73.00	0.00	73.00	40.0	29.20
04/25/2017	QGV001100	AND EDWARD FRENCH	CLEAN DIESEL TECHNOLOGIES INC COM P B	B	9,882.00	73.00	0.00	73.00	40.0	29.20
04/25/2017	QGV001100	AND EDWARD FRENCH	JD.COM INC SPONSORED ADR REPSTG COM B	B	6,999.30	73.00	0.00	73.00	40.0	29.20
04/11/2017	QGV002439	YALINEWITZ, BOAZ	VANECK VECTORS ETF TR JR GOLD MINER B	B	750,800.00	600.00	0.00	600.00	40.0	240.00
04/24/2017	QGW002180	FRENCH, JOHN	CRH MED CORP COM ISIN#CA12626F1053	B	2,962.50	73.00	0.00	73.00	40.0	29.20
04/24/2017	QGW002180	FRENCH, JOHN	MARRONE_BIO INNOVATIONS INC COM	S	-10,860.00	73.00	0.00	73.00	40.0	29.20
03/29/2017	QGW002404	MAHARISHI UNIVERSITY	CERNER CORPORATION	S	-1,306.40	75.00	0.00	75.00	40.0	30.00
03/29/2017	QGW002404	MAHARISHI UNIVERSITY	J P MORGAN CHASE & CO	S	-25,163.42	75.00	0.00	75.00	40.0	30.00
04/04/2017	QGW002404	MAHARISHI UNIVERSITY	CHARTER COMMUNICATIONS INC NEW CL A S	S	-6,637.40	75.00	0.00	75.00	40.0	30.00
04/04/2017	QGW002404	MAHARISHI UNIVERSITY	SMUCKER J M COMPANY	S	-13,060.30	75.00	0.00	75.00	40.0	30.00
04/21/2017	QGW002719	HINDMARCH, JAMES	SILVERCORP METALS INC COM ISIN#CA82 S	S	-10,053.00	90.00	0.00	90.00	40.0	36.00
03/30/2017	QGW002925	BATHRICK, CHARLES	SELECT SECTOR SPDR TRUST THE UTILIT B	B	10,217.30	75.00	0.00	75.00	40.0	30.00
03/31/2017	QGW002925	BATHRICK, CHARLES	CISCO SYS INC	B	10,150.95	75.00	0.00	75.00	40.0	30.00
04/03/2017	QGW002925	BATHRICK, CHARLES	HP INC COM	B	5,303.25	75.00	0.00	75.00	40.0	30.00
04/11/2017	QGW002925	BATHRICK, CHARLES	MICROSOFT CORP	B	13,027.30	75.00	0.00	75.00	40.0	30.00
04/13/2017	QGW002925	BATHRICK, CHARLES	COCA COLA COMPANY (THE)	B	8,559.26	75.00	0.00	75.00	40.0	30.00
04/21/2017	QGW002925	BATHRICK, CHARLES	ORACLE CORPORATION	B	8,943.58	75.00	0.00	75.00	40.0	30.00
04/21/2017	QGW002925	BATHRICK, CHARLES	POTASH CORP OF SASKATCHEWAN INC CAN S	S	-6,468.16	75.00	0.00	75.00	40.0	30.00
04/24/2017	QGW002925	BATHRICK, CHARLES	STRATASYS LTD	S	-4,832.06	75.00	0.00	75.00	40.0	30.00
03/29/2017	QGW002974	CAIN, ANTHONY	FRANCO-NEVADA CORPORATION	S	-195,051.90	350.00	0.00	350.00	40.0	140.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
03/31/2017	QGW002974	CAIN, ANTHONY	PAN AMERICAN SILVER CORP	S	-35,166.60	250.00	0.00	250.00	40.0	100.00
04/07/2017	QGW002974	CAIN, ANTHONY	AGNICO-EAGLE MINES LTD	S	-136,212.30	350.00	0.00	350.00	40.0	140.00
04/07/2017	QGW002974	CAIN, ANTHONY	NEWMONT MINING CORP (NEW)	S	-68,765.60	250.00	0.00	250.00	40.0	100.00
04/11/2017	QGW002974	CAIN, ANTHONY	BARRICK GOLD CORP	B	60,149.70	300.00	0.00	300.00	40.0	120.00
04/11/2017	QGW002974	CAIN, ANTHONY	VANECK VECTORS ETF TR GOLD MINERS E B		72,922.50	350.00	0.00	350.00	40.0	140.00
04/17/2017	QGW002974	CAIN, ANTHONY	ALAMOS GOLD INC COM CL A	B	11,169.20	150.00	0.00	150.00	40.0	60.00
04/21/2017	QGW002974	CAIN, ANTHONY	ROYAL GOLD INC	B	144,450.00	250.00	0.00	250.00	40.0	100.00
04/24/2017	QGW002974	CAIN, ANTHONY	ROYAL GOLD INC	B	71,386.80	175.00	0.00	175.00	40.0	70.00
04/25/2017	QGW002974	CAIN, ANTHONY	FRANCO-NEVADA CORPORATION	B	132,061.60	300.00	0.00	300.00	40.0	120.00
03/30/2017	QGW003345	COOKE, ROBERT	ROYAL GOLD INC	S	-55,306.24	75.00	0.00	75.00	40.0	30.00
04/11/2017	QGW004392	VALENTINE, OLIVIA	ALIBABA GROUP HOLDING LTD SPONSORED B		88,649.04	200.00	0.00	200.00	40.0	80.00
04/06/2017	QGW004665	JOSEPH FRY	SILVER STANDARD RESOURCES INC	S	-11,144.00	75.00	0.00	75.00	40.0	30.00
04/10/2017	QGW004665	JOSEPH FRY	IVANHOE MINES LTD COM CL A ISIN#CA4 S		-7,420.00	90.00	0.00	90.00	40.0	36.00
04/11/2017	QGW004665	JOSEPH FRY	IVANHOE MINES LTD COM CL A ISIN#CA4 S		-26,613.30	125.00	0.00	125.00	40.0	50.00
04/12/2017	QGW004665	JOSEPH FRY	IVANHOE MINES LTD COM CL A ISIN#CA4 S		-39,137.56	150.00	0.00	150.00	40.0	60.00
04/13/2017	QGW004665	JOSEPH FRY	IVANHOE MINES LTD COM CL A ISIN#CA4 S		-5,187.00	75.00	0.00	75.00	40.0	30.00
04/19/2017	QGW008468	DRUERY, MARIE	NEWELL BRANDS INC SHS	S	-11,635.75	300.00	0.00	300.00	40.0	120.00
04/20/2017	QGW021867	MERGOTT, JONATHAN	NEWMONT MINING CORP (NEW)	B	3,368.64	75.00	0.00	75.00	40.0	30.00
03/30/2017	QGW021990	STEINMETZ, GEORGE	BANK OF AMERICA CORP	B	14,217.36	60.00	0.00	60.00	40.0	24.00
03/31/2017	QGW021990	STEINMETZ, GEORGE	ALBEMARLE CORPORATION	B	21,281.20	60.00	0.00	60.00	40.0	24.00
03/31/2017	QGW021990	STEINMETZ, GEORGE	SPDR S&P 500 ETF TRUST	B	40,121.11	60.00	0.00	60.00	40.0	24.00
04/10/2017	QGW021990	STEINMETZ, GEORGE	WISDOMTREE TR EUROPE HEDGED EQUITY B		22,011.47	60.00	0.00	60.00	40.0	24.00
04/25/2017	QGW021990	STEINMETZ, GEORGE	WISDOMTREE TR EUROPE HEDGED EQUITY B		22,061.68	60.00	0.00	60.00	40.0	24.00
04/20/2017	QGW029290	BAUMHARDT, DANECA	NEWMONT MINING CORP (NEW)	B	3,368.64	75.00	0.00	75.00	40.0	30.00
* STOCKS SUBTOTAL *					\$860,472.42	\$7,023.00	\$0.00	\$7,023.00		\$2,809.20
PRODUCT CATEGORY: OPTIONS										
04/06/2017	QGW004665	JOSEPH FRY	PUT 100 TESLA INC EXP 01-19-18 @220 B		5,900.00	75.00	0.00	75.00	40.0	30.00
04/06/2017	QGW004665	JOSEPH FRY	PUT 100 TESLA INC EXP 01-19-18 @220 B		-5,900.00	-75.00	0.00	-75.00	40.0	-30.00 C
04/06/2017	QGW004665	JOSEPH FRY	PUT 100 TESLA INC EXP 01-19-18 @220 B		5,900.00	75.00	0.00	75.00	40.0	30.00
04/10/2017	QGW004665	JOSEPH FRY	CALL 100 STATOIL ASA SPONSORED ADR S		-2,300.00	75.00	0.00	75.00	40.0	30.00
* OPTIONS SUBTOTAL *					\$3,600.00	\$150.00	\$0.00	\$150.00		\$60.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/13/2017	QGW002511	SKELLY, HENRY	COLUMBIA NEW YORK TAX EXEMPT FUND C B		0.00	13.05	0.00	13.05	40.0	5.22
04/13/2017	QGW002776	ROBERTS, JAMES	FEDERATED PRUDENT BEAR FUND CLASS A B		0.00	1.03	0.00	1.03	40.0	0.41
04/20/2017	QGW002776	ROBERTS, JAMES	VOYA GLOBAL EQUITY FUND CLASS A B		0.00	0.43	0.00	0.43	40.0	0.17
04/13/2017	QGW008468	DRUERY, MARIE	LOOMIS SAYLES INVESTMENT GRADE BOND B		0.00	2.04	0.00	2.04	40.0	0.82
04/13/2017	QGW022113	ROBERTS, PAGE	FEDERATED PRUDENT BEAR FUND CLASS A B		0.00	0.47	0.00	0.47	40.0	0.19
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$17.02	\$0.00	\$17.02		\$6.81

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$864,072.42	\$7,190.02	\$0.00	\$7,190.02	\$2,876.01
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ADJUSTMENTS

04/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,876.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.99
SIPC Assessment	\$-134.81

Check Amount	\$2,712.21
Year-to-date Earnings	\$23,255.58