



All Companies

04/12/2018

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COMMISSION STATEMENT

April 16, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|-------------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 03/31/2018 | TRAILS | -FIDELITY ADVISOR FUNDS | B | 172.11 | 172.11 | 0.00 | 172.11 | 70.0 | 120.48 |
| 03/31/2018 | TRAILS | -JOHN HANCOCK FREEDOM 529 | B | 4.86 | 4.86 | 0.00 | 4.86 | 70.0 | 3.40 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C | B | 7.92 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C | B | 7.92 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C | B | 7.92 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. SMALLCAP WORLD FUND-C | B | 7.92 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. SMALLCAP WORLD FUND-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. THE NEW ECONOMY FUND-C | B | 7.92 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 04/02/2018 | 00089262606 | PETERSON, SCOTT S. THE NEW ECONOMY FUND-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089300272 | HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C | B | 30.00 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |
| 04/02/2018 | 00089300272 | HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C | B | 30.00 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |
| 04/02/2018 | 00089300292 | DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C | B | 10.00 | 0.10 | 0.00 | 0.10 | 70.0 | 0.07 |
| 04/02/2018 | 00089300292 | DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C | B | 10.00 | 0.10 | 0.00 | 0.10 | 70.0 | 0.07 |
| 04/02/2018 | 00089300309 | VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C | B | 27.20 | 0.27 | 0.00 | 0.27 | 70.0 | 0.19 |
| 04/02/2018 | 00089300309 | VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C | B | 45.33 | 0.45 | 0.00 | 0.45 | 70.0 | 0.32 |
| 04/02/2018 | 00089330264 | GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089330264 | GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C | B | 36.00 | 0.36 | 0.00 | 0.36 | 70.0 | 0.25 |
| 04/02/2018 | 00089330303 | DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C | B | 34.84 | 0.35 | 0.00 | 0.35 | 70.0 | 0.25 |
| 04/02/2018 | 00089330303 | DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C | B | 25.20 | 0.25 | 0.00 | 0.25 | 70.0 | 0.18 |
| 04/02/2018 | 00089330539 | HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C | B | 20.40 | 0.20 | 0.00 | 0.20 | 70.0 | 0.14 |
| 04/02/2018 | 00089330539 | HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C | B | 25.00 | 0.25 | 0.00 | 0.25 | 70.0 | 0.18 |
| 04/02/2018 | 00089333558 | SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C | B | 60.00 | 0.60 | 0.00 | 0.60 | 70.0 | 0.42 |
| 04/02/2018 | 00089333558 | SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C | B | 17.39 | 0.17 | 0.00 | 0.17 | 70.0 | 0.12 |
| 04/02/2018 | 00089827548 | STONE, TINA M. AMERICAN FUNDS WA MUTUAL INVES | B | 5,452.28 | 231.72 | 0.00 | 231.72 | 70.0 | 162.20 |
| 04/02/2018 | 04000050235 | RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C | B | 20.64 | 0.21 | 0.00 | 0.21 | 70.0 | 0.15 |
| 04/02/2018 | 04000050235 | RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C | B | 25.00 | 0.25 | 0.00 | 0.25 | 70.0 | 0.18 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$6,445.85 | \$416.85 | \$0.00 | \$416.85 | | \$291.84 |

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

| | | | | | | | | | |
|-----------------------------------|----------------|---------------------------------------|---|-------------------|-------------------|---------------|-------------------|------|-------------------|
| 03/31/2018 | TRAILS | -JOHN HANCOCK | B | 487.06 | 487.06 | 0.00 | 487.06 | 70.0 | 340.94 |
| 03/02/2018 | SEXTON, THOMAS | -SECURITY BENEFIT | B | 250.00 | 12.50 | 0.00 | 12.50 | 70.0 | 8.75 |
| 03/28/2018 | TRAILS | -SECURITY BENEFIT | B | 125.78 | 125.78 | 0.00 | 125.78 | 70.0 | 88.05 |
| 03/31/2018 | TRAILS | LINCOLN NATIONAL LIFE INSURANCE COM B | | 3.32 | 3.32 | 0.00 | 3.32 | 70.0 | 2.32 |
| 03/31/2018 | TRAILS | PRUDENTIAL INSURANCE CO. | B | 464.74 | 464.74 | 0.00 | 464.74 | 70.0 | 325.32 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | <u>\$1,330.90</u> | <u>\$1,093.40</u> | <u>\$0.00</u> | <u>\$1,093.40</u> | | <u>\$765.38</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | | <u>\$7,776.75</u> | <u>\$1,510.25</u> | <u>\$0.00</u> | <u>\$1,510.25</u> | | <u>\$1,057.22</u> |

ADJUSTMENTS

| | | | | | | | | | |
|---------------------------|------------------------------|--|--|---------------|---------------|---------------|---------------|--|------------------|
| 04/16/2018 | E & O INSURANCE: 1/2 MONTHLY | | | 0.00 | 0.00 | 0.00 | 0.00 | | -100.00 |
| 04/16/2018 | TECH: MONTHLY TECH SERVICES | | | 0.00 | 0.00 | 0.00 | 0.00 | | -27.50 |
| *** ADJUSTMENTS TOTAL *** | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$-127.50</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,057.22 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-127.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-68.86 |

| | |
|-----------------------|------------|
| Check Amount | \$860.86 |
| Year-to-date Earnings | \$5,526.53 |