



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2017**

01/27/2017  
Page No. 1

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
12/31/2016	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	20.38	0.00	20.38	92.0	18.75
		* PRIVATE PLACEMENT SUBTOTAL *	\$0.00	\$20.38	\$0.00	\$20.38		\$18.75
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/10/2017	SECRIST HOLDINGS LLC	CARTER VALIDUS MC REIT II CLASS A	50,000.00	3,500.00	0.00	3,500.00	92.0	3,220.00
12/31/2016	ENCINA, OPHELIA	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	62.84	0.00	62.84	92.0	57.81
		* REIT SUBTOTAL *	\$50,000.00	\$3,562.84	\$0.00	\$3,562.84		\$3,277.81
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/06/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
01/09/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		* MUTUAL FUNDS SUBTOTAL *	\$1,500.00	\$52.50	\$0.00	\$52.50		\$48.30
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2016	RELIAM INC	ADP TRAILS	0.00	176.95	0.00	176.95	92.0	162.79
12/31/2016	HILL & DALE LEARNING	ADP TRAILS	0.00	8.04	0.00	8.04	92.0	7.40
12/31/2016	CAL MEDICAL SVC	ADP TRAILS	0.00	71.60	0.00	71.60	92.0	65.87
12/31/2016	KVM-TEC INC 401K	ADP TRAILS	0.00	0.41	0.00	0.41	92.0	0.38
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$257.00	\$0.00	\$257.00		\$236.44
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	FITZSIMONS, DOROTHY	LFG TRAILS	0.00	318.99	0.00	318.99	92.0	293.47
12/31/2016	BEELEER, FRANCES	LFG TRAILS	0.00	492.96	0.00	492.96	92.0	453.52
12/31/2016	SCANE, DONALD	LFG TRAILS	0.00	506.03	0.00	506.03	92.0	465.55
12/31/2016	PON, JAMES	LFG TRAILS	0.00	171.49	0.00	171.49	92.0	157.77
12/31/2016	LAXER, JACK	LFG TRAILS	0.00	430.87	0.00	430.87	92.0	396.40
12/31/2016	ENCINA, ANTONIO	LFG TRAILS	0.00	396.28	0.00	396.28	92.0	364.58
12/31/2016	AVONDO, ROSE	LFG TRAILS	0.00	587.17	0.00	587.17	92.0	540.20

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	SUTZ, JACK	LFG TRAILS	0.00	201.76	0.00	201.76	92.0	185.62
12/31/2016	ENTREKIN, GARY	LFG TRAILS	0.00	751.13	0.00	751.13	92.0	691.04
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,856.68	\$0.00	\$3,856.68		\$3,548.15
*** BROKER TRANSACTIONS TOTAL ***			\$51,500.00	\$7,749.40	\$0.00	\$7,749.40		\$7,129.45

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,129.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,129.45
Year-to-date Gross	\$17,103.05
Year-to-date Earnings	\$15,734.80

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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