

**COMMISSION STATEMENT****January 15, 2018**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/10/2018	FREISTROFFER, HENRY	TD AMERITRADE	542,142.36	868.91	0.00	868.91	90.0	782.02
01/10/2018	FREISTROFFER, HENRY	TD AMERITRADE	12,464.06	19.98	0.00	19.98	90.0	17.98
* RIA SUBTOTAL *			\$554,606.42	\$888.89	\$0.00	\$888.89		\$800.00
*** DIRECT TRANSACTIONS TOTAL ***			\$554,606.42	\$888.89	\$0.00	\$888.89		\$800.00

BROKER COMMISSION TOTALS

Broker Transactions	\$800.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$800.00
Year-to-date Gross	\$888.89
Year-to-date Earnings	\$800.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed