



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
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DAVID MIKE BREEDLOVE
1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/19/2017	LADNER TRUST	BLUEROCK TOTAL INC & REAL ESTATE	583.33	29.17	90.0	26.25	0.00	26.25
* INTERVAL FUNDS SUBTOTAL *			\$583.33	\$29.17		\$26.25	\$0.00	\$26.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	3,910.54	3.26	90.0	2.93	0.00	2.93
06/30/2017	SPEED, LINDA	JNL PERSPECTIVE II 05/05	38,050.60	31.71	90.0	28.54	0.00	28.54
06/30/2017	CAPEL, JIMMY	JNL PERSPECTIVE II 05/05	41,969.22	34.97	90.0	31.47	0.00	31.47
06/30/2017	KELLY, GENE	JNL PERSPECTIVE INVESTOR VUL	198,726.44	74.52	90.0	67.07	0.00	67.07
06/30/2017	KELLY, RACHELL	JNL PERSPECTIVE INVESTOR VUL	96,678.73	36.25	90.0	32.63	0.00	32.63
06/30/2017	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,502.64	0.94	90.0	0.85	0.00	0.85
06/30/2017	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,502.64	0.94	90.0	0.85	0.00	0.85
06/30/2017	MARTIN, KATHRYN	PRU AS LIFEVEST II	163,122.40	305.85	90.0	275.27	0.00	275.27
06/30/2017	MARTIN, KATHRYN	PRU AS LIFEVEST II	163,122.40	101.95	90.0	91.76	0.00	91.76
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	283,426.58	177.14	90.0	159.43	0.00	159.43
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	149,788.51	93.62	90.0	84.26	0.00	84.26
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	164,851.73	103.03	90.0	92.73	0.00	92.73
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	164,851.73	103.03	90.0	92.73	0.00	92.73
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	196,348.66	122.72	90.0	110.45	0.00	110.45
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	164,851.73	103.03	90.0	92.73	0.00	92.73
06/30/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	25,727.25	16.08	90.0	14.47	0.00	14.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	25,727.25	16.08	90.0	14.47	0.00	14.47
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,036.80	15.02	90.0	13.52	0.00	13.52
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	24,036.80	15.02	90.0	13.52	0.00	13.52
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	136,288.26	85.18	90.0	76.66	0.00	76.66
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	136,288.26	85.18	90.0	76.66	0.00	76.66
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,541.44	17.21	90.0	15.49	0.00	15.49
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	27,541.44	17.21	90.0	15.49	0.00	15.49
06/30/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	13,861.89	8.66	90.0	7.79	0.00	7.79
06/30/2017	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	13,861.89	8.66	90.0	7.79	0.00	7.79
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	49,913.96	31.20	90.0	28.08	0.00	28.08
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	49,913.96	31.20	90.0	28.08	0.00	28.08
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,014.19	23.13	90.0	20.82	0.00	20.82
06/30/2017	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	37,014.19	23.13	90.0	20.82	0.00	20.82
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,469.16	15.29	90.0	13.76	0.00	13.76
06/30/2017	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,469.16	15.29	90.0	13.76	0.00	13.76
06/30/2017	BURNS, HENRY	PRU PREMIER RETIREMENT C	12,061.12	30.15	90.0	27.14	0.00	27.14
06/30/2017	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	12,126.92	30.32	90.0	27.29	0.00	27.29
* VARIABLE ANNUITIES SUBTOTAL *			\$3,583,118.06	\$2,432.31		\$2,189.13	\$0.00	\$2,189.13
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/30/2017	MILLER, FOSTER	PHOENIX LIFE VUL	0.00	126.22	90.0	113.60	0.00	113.60
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$126.22		\$113.60	\$0.00	\$113.60
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/19/2017	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	2.36	90.0	2.12	0.00	2.12
06/19/2017	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.89	90.0	0.80	0.00	0.80
06/19/2017	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.79	90.0	0.71	0.00	0.71
06/19/2017	CUSSEN, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE	0.00	24.16	90.0	21.74	0.00	21.74
06/19/2017	CUSSEN, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.79	90.0	0.71	0.00	0.71
06/13/2017	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.52	90.0	2.27	0.00	2.27
06/28/2017	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.60	90.0	4.14	0.00	4.14
06/28/2017	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.65	90.0	11.39	0.00	11.39
06/28/2017	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.76	90.0	1.58	0.00	1.58
06/28/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	15.01	90.0	13.51	0.00	13.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/28/2017	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	63.88	90.0	57.49	0.00	57.49
06/28/2017	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	15.34	90.0	13.81	0.00	13.81
06/28/2017	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	10.65	90.0	9.59	0.00	9.59
06/28/2017	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	65.71	90.0	59.14	0.00	59.14
06/28/2017	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.23	90.0	2.01	0.00	2.01
06/28/2017	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	6.13	90.0	5.52	0.00	5.52
06/28/2017	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	43.38	90.0	39.04	0.00	39.04
06/28/2017	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	2.16	90.0	1.94	0.00	1.94
06/28/2017	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.93	90.0	5.34	0.00	5.34
06/15/2017	CMPG-2 INVESTMENTS	POWER INCOME FUND CLASS A	0.00	2.76	90.0	2.48	0.00	2.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$283.70		\$255.33	\$0.00	\$255.33
*** BROKER TRANSACTIONS TOTAL ***			\$3,583,701.39	\$2,871.40		\$2,584.31	\$0.00	\$2,584.31

ADJUSTMENTS

07/15/2017	*CONCIERGE SVC: 193971 GONZALES	0.00						-50.00
07/15/2017	*E & O INSURANCE: CURRENT MONTH	0.00						-300.00
07/15/2017	*MARKETING LIBRARY: MARKETING	0.00						-20.00
07/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-5.97
07/15/2017	*TECH: ALBRIDGE FULL	0.00						-100.00
07/15/2017	*TECHNOLOGY: ADMIN - KAY BREEDLOVE	0.00						-100.00
07/15/2017	*TECHNOLOGY: ADMIN - LESLIE DALY	0.00						-100.00
07/15/2017	*TECHNOLOGY: ADMIN - RHODA	0.00						-100.00
07/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,050.97	\$0.00	\$-1,050.97

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,584.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,050.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-402.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,131.24
Year-to-date Gross	\$28,332.20
Year-to-date Earnings	\$25,455.06