



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 28, 2017**

03/06/2017  
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R133-RICH/MARK/DAVE RODRIGUEZ/HUBER/ZUPE  
 1020 S. Arlington Hts. Rd

BROKER #: R133  
 BRANCH: TADA WEALTH ADVISORS

Arlington Heights, IL 60005

| DATE  | CLIENT         | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                 |                |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b> |                |                                     |                      |                     |                    |                   |      |                      |
| 01/18/2017  | ROVERUD, JOAN  | GPB AUTOMOTIVE PORTFOLIO LP         | 50,000.00            | 3,500.00            | 0.00               | 3,500.00          | 0.0  | 0.00                 |
| 02/16/2017  | BOHN, LISA     | GPB AUTOMOTIVE PORTFOLIO LP         | 60,327.86            | 4,222.95            | 0.00               | 4,222.95          | 0.0  | 0.00                 |
| 01/18/2017  | HOEY, BENJAMIN | SEALEY STRATEGIC EQUITY PARTNERS LP | 50,000.00            | 3,500.00            | 0.00               | 3,500.00          | 0.0  | 0.00                 |
| 01/31/2017  | O'HARA, THOMAS | TRILINC GLOBAL IMPACT FUND C        | 0.00                 | 24.16               | 0.00               | 24.16             | 0.0  | 0.00                 |
| * PRIVATE PLACEMENT SUBTOTAL *                    |                |                                     | \$160,327.86         | \$11,247.11         | \$0.00             | \$11,247.11       |      | \$0.00               |
| <b><u>PRODUCT CATEGORY: REIT</u></b>              |                |                                     |                      |                     |                    |                   |      |                      |
| 01/31/2017  | ROSE, PETER    | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 42.80               | 0.00               | 42.80             | 0.0  | 0.00                 |
| 01/31/2017  | ALLEN, SUSAN   | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 21.40               | 0.00               | 21.40             | 0.0  | 0.00                 |
| 01/31/2017  | DURBANO, LAURA | CARTER VALIDUS MC REIT II CLASS T   | 0.00                 | 17.12               | 0.00               | 17.12             | 0.0  | 0.00                 |
| * REIT SUBTOTAL *                                 |                |                                     | \$0.00               | \$81.32             | \$0.00             | \$81.32           |      | \$0.00               |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>      |                |                                     |                      |                     |                    |                   |      |                      |
| 02/06/2017  | WEBER, SANDRA  | AM FUNDS 2020 TARGET DATE-A         | 25.00                | 0.50                | 0.00               | 0.50              | 0.0  | 0.00                 |
| 02/06/2017  | HEFT, SAMANTHA | AM FUNDS 2035 TARGET DATE-A         | 50.00                | 1.00                | 0.00               | 1.00              | 0.0  | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                         |                |                                     | \$75.00              | \$1.50              | \$0.00             | \$1.50            |      | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***                 |                |                                     | \$160,402.86         | \$11,329.93         | \$0.00             | \$11,329.93       |      | \$0.00               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>      |
| <b>Year-to-date Gross</b>    | <b>\$22,638.38</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>      |