

**COMMISSION STATEMENT****January 31, 2018**

MARK BAKER
3280 Beltline Court NE
Suite 300
Grand Rapids, MI 49525

BROKER #: B136
BRANCH: MARK BAKER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
01/15/2018	BAKER TRUST, HELEN	GPB WASTE MANAGEMENT LP	60,000.00	4,200.00	0.00	4,200.00	70.0	2,940.00
01/15/2018	BAKER TRUST, HELEN	SEALEY STRATEGIC EQUITY PARTNERS LP	60,000.00	4,200.00	0.00	4,200.00	70.0	2,940.00
* PRIVATE PLACEMENT SUBTOTAL *			\$120,000.00	\$8,400.00	\$0.00	\$8,400.00		\$5,880.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
01/15/2018	BAKER TRUST, HELEN	RESOURCE ROYALTY INC & GRWTH FD V	60,000.00	4,200.00	0.00	4,200.00	70.0	2,940.00
01/16/2018	PHILLIPS, DAVID	US ENERGY 2017 DRILLING PROGRAM	25,000.00	1,750.00	0.00	1,750.00	70.0	1,225.00
* OIL & GAS SUBTOTAL *			\$85,000.00	\$5,950.00	\$0.00	\$5,950.00		\$4,165.00
*** DIRECT TRANSACTIONS TOTAL ***			\$205,000.00	\$14,350.00	\$0.00	\$14,350.00		\$10,045.00

BROKER COMMISSION TOTALS

Broker Transactions	\$10,045.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,045.00
Year-to-date Gross	\$14,350.00
Year-to-date Earnings	\$10,045.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed