



Concorde Investment Services, LLC

12/15/2017

Page No. 1

**COMMISSION STATEMENT****December 15, 2017**BRION NORBY  
4905 Prairie LaneBROKER #: N121  
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

| DATE   | CLIENT       | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                |              |                                     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |              |                                     |                      |                     |                    |                   |      |                      |
| 12/01/2017                                       | NORBY, JADIN | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.71                | 0.00               | 0.71              | 90.0 | 0.64                 |
| 12/01/2017                                       | NORBY, JADIN | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.72                | 0.00               | 0.72              | 90.0 | 0.65                 |
| 12/01/2017                                       | NORBY, JADIN | AM FUNDS NEW ECONOMY A              | 0.00                 | 0.87                | 0.00               | 0.87              | 90.0 | 0.78                 |
| 12/01/2017                                       | NORBY, JADIN | AM FUNDS SMALLCAP WORLD A           | 0.00                 | 0.95                | 0.00               | 0.95              | 90.0 | 0.86                 |
| 12/01/2017                                       | NORBY, JADIN | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00                 | 0.71                | 0.00               | 0.71              | 90.0 | 0.64                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      |              |                                     | <b>\$0.00</b>        | <b>\$3.96</b>       | <b>\$0.00</b>      | <b>\$3.96</b>     |      | <b>\$3.57</b>        |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>         |              |                                     | <b>\$0.00</b>        | <b>\$3.96</b>       | <b>\$0.00</b>      | <b>\$3.96</b>     |      | <b>\$3.57</b>        |

**SPLIT TRANSACTIONS****BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10**

|   |                               |                                     |                 |               |               |               |      |               |
|---|-------------------------------|-------------------------------------|-----------------|---------------|---------------|---------------|------|---------------|
| 12/04/2017  | KLINE, STEWART                | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 160.00          | 2.80          | 0.00          | 2.80          | 90.0 | 2.52          |
| 10/31/2017  | LEE-NORBY-DONAIS, VARIOUS-BBJ | VOYA 529 AGE 5-8 OPTION CL A        | 0.00            | 0.34          | 0.00          | 0.34          | 90.0 | 0.31          |
| 11/01/2017  | LEE-NORBY-DONAIS, VARIOUS-BBJ | VOYA 529 AGE 5-8 OPTION CL A        | 0.00            | 0.85          | 0.00          | 0.85          | 90.0 | 0.77          |
| <b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b> |                               |                                     | <b>\$160.00</b> | <b>\$3.99</b> | <b>\$0.00</b> | <b>\$3.99</b> |      | <b>\$3.60</b> |

**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

|            |                   |                                     |           |          |      |          |      |          |
|------------|-------------------|-------------------------------------|-----------|----------|------|----------|------|----------|
| 12/01/2017 | KLINE, STEWART    | AM FUNDS COLLEGE 2030 FUND 529A     | 0.00      | 4.50     | 0.00 | 4.50     | 90.0 | 4.05     |
| 12/01/2017 | MILLER, CHARLES   | AM FUNDS COLLEGE 2030 FUND 529A     | 0.00      | 0.04     | 0.00 | 0.04     | 90.0 | 0.04     |
| 12/01/2017 | DEVLIN, DON       | AM FUNDS FUNDAMENTAL INVESTORS A    | 0.00      | 2.86     | 0.00 | 2.86     | 90.0 | 2.57     |
| 12/01/2017 | DEVLIN, DON       | AM FUNDS SMALLCAP WORLD A           | 0.00      | 0.90     | 0.00 | 0.90     | 90.0 | 0.81     |
| 12/01/2017 | KLINE, STEWART    | AMERICAN FUND COLLEGE 2027 FUND 529 | 0.00      | 5.39     | 0.00 | 5.39     | 90.0 | 4.85     |
| 12/01/2017 | KLINE, STEWART    | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 0.00      | 3.64     | 0.00 | 3.64     | 90.0 | 3.28     |
| 12/01/2017 | KLINE, STEWART    | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 0.00      | 2.99     | 0.00 | 2.99     | 90.0 | 2.69     |
| 11/10/2017 | BARTINGALE, JAMES | COTTONWOOD MULTIFAMILY REIT II      | 35,000.00 | 1,050.00 | 0.00 | 1,050.00 | 90.0 | 945.00   |
| 12/06/2017 | KEMPER, JEROME    | COTTONWOOD MULTIFAMILY REIT II      | 71,250.00 | 2,137.50 | 0.00 | 2,137.50 | 90.0 | 1,923.75 |
| 12/01/2017 | KEMPER, JEROME    | COTTONWOOD MULTIFAMILY REIT II, INC | 71,250.00 | 0.00     | 0.00 | 0.00     | 90.0 | 0.00     |

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|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                   |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u></b>  |                   |                                     |                      |                     |                    |                   |      |                      |
| * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *                     |                   |                                     | \$177,500.00         | \$3,207.82          | \$0.00             | \$3,207.82        |      | \$2,887.04           |
| <b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u></b>  |                   |                                     |                      |                     |                    |                   |      |                      |
| 11/20/2017   | GALINDO, TAMORA   | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.18                | 0.00               | 0.18              | 90.0 | 0.16                 |
| 11/22/2017   | GALINDO, TAMORA   | BLK HIGH YIELD BOND A               | 0.00                 | 0.05                | 0.00               | 0.05              | 90.0 | 0.05                 |
| 11/20/2017   | GALINDO, TAMORA   | FIDELITY ADVISOR STRATEGIC DIVIDEND | 0.00                 | 0.09                | 0.00               | 0.09              | 90.0 | 0.08                 |
| 11/20/2017   | NEWBERRY, GLORIA  | PRINCIPAL GOVERNMENT & HIGH QUALITY | 0.00                 | 0.01                | 0.00               | 0.01              | 90.0 | 0.01                 |
| 11/20/2017   | NEWBERRY, GLORIA  | PRINCIPAL INVESTORS INCOME A        | 0.00                 | 0.11                | 0.00               | 0.11              | 90.0 | 0.10                 |
| 11/20/2017   | NEWBERRY, GLORIA  | PRINCIPAL S A M CONSERVATIVE BALANC | 0.00                 | 0.57                | 0.00               | 0.57              | 90.0 | 0.51                 |
| * JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *                |                   |                                     | \$0.00               | \$1.01              | \$0.00             | \$1.01            |      | \$0.91               |
| <b><u>BROKER: BJB JEN-BRENT-BRION TRU-DONAI-LEE- #334</u></b>  |                   |                                     |                      |                     |                    |                   |      |                      |
| 12/08/2017   | AGUIRRE TRUST     | ISHARES CORE MSCI EMERGING          | 1,995.48             | 8.00                | 3.60               | 4.40              | 90.0 | 3.96                 |
| 12/08/2017   | AGUIRRE TRUST     | ISHARES TR BARCLAYS INTER CR BD FD  | -2,951.67            | 12.00               | 3.60               | 8.40              | 90.0 | 7.56                 |
| 12/08/2017   | AGUIRRE TRUST     | ISHARES TR IBOX USD INVT GRADE COR  | -1,088.96            | 4.00                | 3.60               | 0.40              | 90.0 | 0.36                 |
| 12/08/2017   | AGUIRRE TRUST     | ISHARES TR S&P 500 INDEX FD         | 2,937.99             | 12.00               | 3.60               | 8.40              | 90.0 | 7.56                 |
| * BJB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *                |                   |                                     | \$892.84             | \$36.00             | \$14.40            | \$21.60           |      | \$19.44              |
| <b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b> |                   |                                     |                      |                     |                    |                   |      |                      |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.18                | 0.00               | 0.18              | 90.0 | 0.16                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.18                | 0.00               | 0.18              | 90.0 | 0.16                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.16                | 0.00               | 0.16              | 90.0 | 0.14                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.18                | 0.00               | 0.18              | 90.0 | 0.16                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.16                | 0.00               | 0.16              | 90.0 | 0.14                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.12                | 0.00               | 0.12              | 90.0 | 0.11                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.15                | 0.00               | 0.15              | 90.0 | 0.14                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529A  | 0.00                 | 0.15                | 0.00               | 0.15              | 90.0 | 0.14                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529C  | 0.00                 | 0.12                | 0.00               | 0.12              | 90.0 | 0.11                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529C  | 0.00                 | 0.46                | 0.00               | 0.46              | 90.0 | 0.41                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS BOND FUND OF AMERICA 529C  | 0.00                 | 0.40                | 0.00               | 0.40              | 90.0 | 0.36                 |
| 12/01/2017   | JORGENSEN, BLAINE | AM FUNDS CAPITAL WORLD GRTH & INC 5 | 0.00                 | 17.76               | 0.00               | 17.76             | 90.0 | 15.98                |
| 12/01/2017   | JORGENSEN, BLAINE | AM FUNDS FUNDAMENTAL INVESTORS 529C | 0.00                 | 23.67               | 0.00               | 23.67             | 90.0 | 21.30                |
| 12/01/2017   | JORGENSEN, BLAINE | AM FUNDS GROWTH FUND OF AMERICA 529 | 0.00                 | 19.81               | 0.00               | 19.81             | 90.0 | 17.83                |
| 12/01/2017   | LEE, DONALD       | AM FUNDS ICA 529A                   | 0.00                 | 0.29                | 0.00               | 0.29              | 90.0 | 0.26                 |
| 12/01/2017   | LEE, DONALD       | AM FUNDS ICA 529A                   | 0.00                 | 0.29                | 0.00               | 0.29              | 90.0 | 0.26                 |

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|---|-----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                        |                       |                                     |                      |                     |                    |                   |      |                      |
| <b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b> |                       |                                     |                      |                     |                    |                   |      |                      |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529A                   | 0.00                 | 0.28                | 0.00               | 0.28              | 90.0 | 0.25                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529A                   | 0.00                 | 0.29                | 0.00               | 0.29              | 90.0 | 0.26                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529A                   | 0.00                 | 0.28                | 0.00               | 0.28              | 90.0 | 0.25                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529A                   | 0.00                 | 0.22                | 0.00               | 0.22              | 90.0 | 0.20                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529A                   | 0.00                 | 0.21                | 0.00               | 0.21              | 90.0 | 0.19                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529A                   | 0.00                 | 0.21                | 0.00               | 0.21              | 90.0 | 0.19                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529C                   | 0.00                 | 0.14                | 0.00               | 0.14              | 90.0 | 0.13                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529C                   | 0.00                 | 0.71                | 0.00               | 0.71              | 90.0 | 0.64                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS ICA 529C                   | 0.00                 | 0.59                | 0.00               | 0.59              | 90.0 | 0.53                 |
| 12/01/2017  | UNTIL AGE 21, MN/UTMA | AM FUNDS ICA A                      | 0.00                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.27                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.31                | 0.00               | 0.31              | 90.0 | 0.28                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.31                | 0.00               | 0.31              | 90.0 | 0.28                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.27                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.31                | 0.00               | 0.31              | 90.0 | 0.28                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.33                | 0.00               | 0.33              | 90.0 | 0.30                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.25                | 0.00               | 0.25              | 90.0 | 0.23                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.24                | 0.00               | 0.24              | 90.0 | 0.22                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529A       | 0.00                 | 0.24                | 0.00               | 0.24              | 90.0 | 0.22                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529C       | 0.00                 | 0.14                | 0.00               | 0.14              | 90.0 | 0.13                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529C       | 0.00                 | 0.67                | 0.00               | 0.67              | 90.0 | 0.60                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS NEW PERSPECTIVE 529C       | 0.00                 | 0.59                | 0.00               | 0.59              | 90.0 | 0.53                 |
| 12/01/2017  | LEE, DONALD           | AM FUNDS SMALLCAP WORLD-529A        | 0.00                 | 0.34                | 0.00               | 0.34              | 90.0 | 0.31                 |
| 12/01/2017  | LEE, DONALD           | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 0.00                 | 0.18                | 0.00               | 0.18              | 90.0 | 0.16                 |
| 12/01/2017  | RASMUSSEN, CLINTON    | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 0.00                 | 0.29                | 0.00               | 0.29              | 90.0 | 0.26                 |
| 11/09/2017  | SKAARE, LARRY         | FS INVESTMENT CORPORATION IV (CLASS | 0.00                 | 17.27               | 0.00               | 17.27             | 90.0 | 15.54                |
| <b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b> |                       |                                     | <b>\$0.00</b>        | <b>\$89.08</b>      | <b>\$0.00</b>      | <b>\$89.08</b>    |      | <b>\$80.18</b>       |
| <b>*** SPLIT TRANSACTIONS TOTAL ***</b>                 |                       |                                     | <b>\$178,552.84</b>  | <b>\$3,337.90</b>   | <b>\$14.40</b>     | <b>\$3,323.50</b> |      | <b>\$2,991.17</b>    |

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|---------------------------|--------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>ADJUSTMENTS</u></b> |        |                                     |                      |                     |                    |                   |      |                      |
| 12/15/2017                |        | *: ETF PROSPECTUS MAILING           | 0.00                 |                     |                    |                   |      | -2.94                |
| 12/15/2017                |        | *: PERSHING REORG FEE K KASMER      | 0.00                 |                     |                    |                   |      | -5.00                |
| 12/15/2017                |        | *: PERSHING: ETF PROSPECTUS MAILING | 0.00                 |                     |                    |                   |      | -2.32                |
| 12/15/2017                |        | *: RBC WRITE OFF                    | 0.00                 |                     |                    |                   |      | -3.85                |
| 12/15/2017                |        | *EO INS: CURRENT MONTH INS          | 0.00                 |                     |                    |                   |      | -300.00              |
| 12/15/2017                |        | *TECH: CURRENT MONTH TECH           | 0.00                 |                     |                    |                   |      | -275.00              |
| *** ADJUSTMENTS TOTAL *** |        |                                     | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$-589.11            |

**BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$3.57     |
| Split Transactions    | \$2,991.17 |
| Override Transactions | \$0.00     |
| Adjustments           | \$-589.11  |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$0.00     |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                       |             |
|-----------------------|-------------|
| Check Amount          | \$2,405.63  |
| Year-to-date Gross    | \$72,887.06 |
| Year-to-date Earnings | \$65,507.73 |

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