

STATEMENT

January 20, 2018

JOHN PINEMAN
9348 Newport Lane
SUITE 620
Highlands Ranch, CO 80130

BROKER #: 189
BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN PINEMAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
11/30/2017	#E0631193 DATZ, HELEN	PRUDETIAL TRAILS	0.00	5.09	95.0	0.00	4.84	0.00	4.84
11/30/2017	#E0631193 DATZ, HELEN	PRUDETIAL TRAILS	0.00	20.35	95.0	0.00	19.33	0.00	19.33
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$25.44		\$0.00	\$24.17	\$0.00	\$24.17
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086651382 STUBBS, CARRIE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	3.14	95.0	0.00	2.98	0.00	2.98
12/01/2017	#00086651395 STUBBS, CARRIE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	2.89	95.0	0.00	2.75	0.00	2.75
12/01/2017	#00086651382 STUBBS, CARRIE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	18.66	95.0	0.00	17.73	0.00	17.73
12/01/2017	#00086651395 STUBBS, CARRIE	CAPITAL WORLD GROWTH AND INCOME-529	0.00	17.65	95.0	0.00	16.77	0.00	16.77
12/01/2017	#00086651382 STUBBS, CARRIE	NEW PERSPECTIVE FUND-529A	0.00	3.22	95.0	0.00	3.06	0.00	3.06
12/01/2017	#00086651395 STUBBS, CARRIE	NEW PERSPECTIVE FUND-529A	0.00	2.97	95.0	0.00	2.82	0.00	2.82
12/01/2017	#00086651382 STUBBS, CARRIE	NEW PERSPECTIVE FUND-529C	0.00	19.80	95.0	0.00	18.81	0.00	18.81
12/01/2017	#00086651395 STUBBS, CARRIE	NEW PERSPECTIVE FUND-529C	0.00	19.80	95.0	0.00	18.81	0.00	18.81
12/01/2017	#00089360635	THE GROWTH FUND OF AMERICA-529C	0.00	0.86	95.0	0.00	0.82	0.00	0.82
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.50	95.0	0.00	0.48	0.00	0.48
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.27	95.0	0.00	0.26	0.00	0.26
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.61	95.0	0.00	0.58	0.00	0.58
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.48	95.0	0.00	1.41	0.00	1.41
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.44	95.0	0.00	1.37	0.00	1.37
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	2.79	95.0	0.00	2.65	0.00	2.65
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.28	95.0	0.00	0.27	0.00	0.27
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.72	95.0	0.00	0.68	0.00	0.68
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	1.16	95.0	0.00	1.10	0.00	1.10
12/11/2017	# PRILL, JOSEPH	DAIN TRAILER	0.00	0.51	95.0	0.00	0.48	0.00	0.48
12/11/2017	# PRILL, DANNA	DAIN TRAILER	0.00	1.06	95.0	0.00	1.01	0.00	1.01
12/11/2017	# MCCLOY, M JENNIFER	DAIN TRAILER	0.00	4.59	95.0	0.00	4.36	0.00	4.36
12/11/2017	# MCCLOY, M JENNIFER	DAIN TRAILER	0.00	3.82	95.0	0.00	3.63	0.00	3.63
12/11/2017	# ROSA, ANN MARIE	DAIN TRAILER	0.00	4.59	95.0	0.00	4.36	0.00	4.36

BROKER COMMISSION TOTALS

Broker Transactions	\$171.56
Split Transactions	\$0.00
Override Transactions	\$10.32
Adjustments	\$260.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-81.70
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$360.18
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