

# STATEMENT May 20, 2018

MALVIN JOHNSON

117 C Street SUITE 620 Vallejo, CA 94950 BROKER #: 036

BRANCH:

## COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT IN	VESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		BROKER TRANS	ACTIONS						
PRODUCT	CATEGORY: MUTUAL FUNDS								
04/05/2018	8 #00082111667 EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	0.00	6.44
04/05/201	8 #00082111667 EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	0.00	5.45
04/05/2018	8 #00082111667 EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	0.00	5.45
04/06/2018	8 # ROMAIN, PATRICK	IAFEES	-22.89	0.00	90.0	0.00	0.00	0.00	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$677.11	\$19.25	_	\$0.00	\$17.34	\$0.00	\$17.34
PRODUCT	CATEGORY: VARIABLE ANNUITI	ES							
03/01/001	8 #954195138 DWYER, TIMOTHY	LINCOLN AL30L7	0.00	149.91	90.0	0.00	134.92	0.00	134.92
03/01/001	8 #951026706 LAMBERT, INEZ	LINCOLN ALSNOH	0.00	96.05	90.0	0.00	86.45	0.00	86.45
03/01/001	8 #954184343 PALMER, FRANCIS	LINCOLN ALSOH7	0.00	55.91	90.0	0.00	50.32	0.00	50.32
		* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$301.87		\$0.00	\$271.69	\$0.00	\$271.69
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS							
04/10/201	8 # C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.12	90.0	0.00	0.11	0.00	0.11
04/10/201	/10/2018 # TAYLOR, PHILLIS DAIN TRAILER		0.00	8.69	90.0	0.00	7.82	0.00	7.82
04/10/2018	2/10/2018 # TAYLOR, PHILLIS DAIN TRAILER		0.00	11.93	90.0	0.00	10.74	0.00	10.74
04/10/201	8 # VENOSDEL, HELEN	DAIN TRAILER	0.00	0.96	90.0	0.00	0.86	0.00	0.86
04/10/201	/10/2018 # VENOSDEL, HELEN DAIN TRAILER		0.00	0.29	90.0	0.00	0.26	0.00	0.26
04/10/201	/10/2018 # VENOSDEL, HELEN DAIN TRAILER		0.00	0.34	90.0	0.00	0.31	0.00	0.31
04/10/2018	/10/2018 # VENOSDEL, HELEN DAIN TRAILER		0.00	0.96	90.0	0.00	0.86	0.00	0.86
04/10/2018	10/2018 # VENOSDEL, HELEN DAIN TRAILER		0.00	0.29	90.0	0.00	0.26	0.00	0.26
04/10/2018	0/2018 # VENOSDEL, HELEN DAIN TRAILER		0.00	0.34	90.0	0.00	0.31	0.00	0.31
04/10/2018	0/2018 # WARREN, JANICE DAIN TRAILER		0.00	5.75	90.0	0.00	5.18	0.00	5.18
04/10/201	0/2018 # WARREN, JANICE DAIN TRAILER		0.00	5.37	90.0	0.00	4.83	0.00	4.83
04/10/2018	10/2018 # WARREN, JANICE DAIN TRAILER		0.00	8.08	90.0	0.00	7.27	0.00	7.27
04/10/201	0/2018 # WARREN, JANICE DAIN TRAILER		0.00	2.37	90.0	0.00	2.13	0.00	2.13
04/10/201	0/2018 # WARREN, JANICE DAIN TRAILER		0.00	4.79	90.0	0.00	4.31	0.00	4.31
04/10/201	10/2018 # WARREN, JANICE DAIN TRAILER		0.00	10.04	90.0	0.00	9.04	0.00	9.04
04/10/2018	8 # WARREN, JANICE	DAIN TRAILER	0.00	4.65	90.0	0.00	4.19	0.00	4.19

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DATE	CLIENT		INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
				BROKER TRANSACTIONS						
PRODUCT C	ATEGORY:	MUTUAL FUND	12B1 TRAILS							
04/10/2018	# WARREN,	JANICE	DAIN TRAILER	0.00	6.47	90.0	0.00	5.82	0.00	5.82
04/10/2018	# WARREN,	JANICE	DAIN TRAILER	0.00	0.55	90.0	0.00	0.50	0.00	0.50
04/10/2018	# WARREN,	JANICE	DAIN TRAILER	0.00	4.93	90.0	0.00	4.44	0.00	4.44
04/10/2018	# WARREN,	JANICE	DAIN TRAILER	0.00	12.32	90.0	0.00	11.09	0.00	11.09
04/10/2018	# DWYER,	TIMOTHY	DAIN TRAILER	0.00	13.79	90.0	0.00	12.41	0.00	12.41
04/10/2018	# KEENE,	JAMES	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
04/10/2018	# MONTGOM	IERY, KEVIN	DAIN TRAILER	0.00	1.68	90.0	0.00	1.51	0.00	1.51
04/10/2018	# BRIGGS,	MYRA	DAIN TRAILER	0.00	3.85	90.0	0.00	3.47	0.00	3.47
04/10/2018	# BRIGGS,	MYRA	DAIN TRAILER	0.00	2.93	90.0	0.00	2.64	0.00	2.64
04/10/2018	# BRIGGS,	MYRA	DAIN TRAILER	0.00	7.04	90.0	0.00	6.34	0.00	6.34
04/10/2018	# BRIGGS,	MYRA	DAIN TRAILER	0.00	10.08	90.0	0.00	9.07	0.00	9.07
04/10/2018	# BRIGGS,	MYRA	DAIN TRAILER	0.00	1.52	90.0	0.00	1.37	0.00	1.37
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	10.89	90.0	0.00	9.80	0.00	9.80
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	3.68	90.0	0.00	3.31	0.00	3.31
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	5.80	90.0	0.00	5.22	0.00	5.22
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	9.13	90.0	0.00	8.22	0.00	8.22
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	0.69	90.0	0.00	0.62	0.00	0.62
04/10/2018	# THE, FR	RANK BOLDEN AS	DAIN TRAILER	0.00	3.68	90.0	0.00	3.31	0.00	3.31
04/10/2018	# THE, FR	RANK BOLDEN AS	DAIN TRAILER	0.00	8.56	90.0	0.00	7.70	0.00	7.70
04/10/2018	# THE, FR	RANK BOLDEN AS	DAIN TRAILER	0.00	28.62	90.0	0.00	25.76	0.00	25.76
04/10/2018	# THE, FR	RANK BOLDEN AS	DAIN TRAILER	0.00	29.01	90.0	0.00	26.11	0.00	26.11
04/10/2018	# THE, FR	RANK BOLDEN AS	DAIN TRAILER	0.00	4.16	90.0	0.00	3.74	0.00	3.74
04/10/2018	# THE, FR	RANK BOLDEN AS	DAIN TRAILER	0.00	7.56	90.0	0.00	6.80	0.00	6.80
04/10/2018	# YARDE,	GRAHAM	DAIN TRAILER	0.00	4.22	90.0	0.00	3.80	0.00	3.80
04/10/2018	# YARDE,	GRAHAM	DAIN TRAILER	0.00	7.15	90.0	0.00	6.44	0.00	6.44
04/10/2018	# PALMER,	FRANCIS	DAIN TRAILER	0.00	4.84	90.0	0.00	4.36	0.00	4.36
04/10/2018	# PALMER,	FRANCIS	DAIN TRAILER	0.00	4.77	90.0	0.00	4.29	0.00	4.29
04/10/2018	# PALMER,	FRANCIS	DAIN TRAILER	0.00	2.53	90.0	0.00	2.28	0.00	2.28
04/10/2018	# BARROW,	RITA	DAIN TRAILER	0.00	2.98	90.0	0.00	2.68	0.00	2.68
04/10/2018	# BARROW,	RITA	DAIN TRAILER	0.00	1.26	90.0	0.00	1.13	0.00	1.13
04/10/2018	# BARROW,	JOHN	DAIN TRAILER	0.00	3.46	90.0	0.00	3.11	0.00	3.11
04/10/2018	# BARROW,	JOHN	DAIN TRAILER	0.00	1.36	90.0	0.00	1.22	0.00	1.22
04/10/2018	# BARROW,	JOHN	DAIN TRAILER	0.00	1.50	90.0	0.00	1.35	0.00	1.35
04/10/2018	# BARROW,	JOHN	DAIN TRAILER	0.00	1.92	90.0	0.00	1.73	0.00	1.73
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	6.65	90.0	0.00	5.99	0.00	5.99
04/10/2018	# GIBSON,	VALITA	DAIN TRAILER	0.00	2.80	90.0	0.00	2.52	0.00	2.52

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			ВБ	OKER TRANSA	CTIONS						
PRODUCT	CATEGORY: MUT	UAL FUND 12B	1 TRAILS								
04/10/201	.8 # BRIGGS, MYR	A	DAIN TRAILER		0.00	18.36	90.0	0.00	16.52	0.00	16.52
04/10/201	8 # GIBSON, VAL	ITA	DAIN TRAILER		0.00	6.65	90.0	0.00	5.99	0.00	5.99
04/10/201	8 # GIBSON, VAL	ITA	DAIN TRAILER		0.00	1.40	90.0	0.00	1.26	0.00	1.26
04/10/201	8 # GIBSON, VAL	ITA	DAIN TRAILER		0.00	2.24	90.0	0.00	2.02	0.00	2.02
04/10/201	8 # GIBSON, VAL	ITA	DAIN TRAILER		0.00	2.43	90.0	0.00	2.19	0.00	2.19
04/10/201	8 # BRIGGS, KEN	NETH	DAIN TRAILER		0.00	0.67	90.0	0.00	0.60	0.00	0.60
04/10/201	8 # CLARK, FRAN	IK.	DAIN TRAILER		0.00	1.73	90.0	0.00	1.56	0.00	1.56
04/10/201	8 # CLARK, FRAN	IK.	DAIN TRAILER		0.00	2.65	90.0	0.00	2.39	0.00	2.39
04/10/201	8 # CLARK, FRAN	IK.	DAIN TRAILER		0.00	2.21	90.0	0.00	1.99	0.00	1.99
04/10/201	.8 # DWYER, TIMO	THY	DAIN TRAILER		0.00	3.69	90.0	0.00	3.32	0.00	3.32
04/10/201	.8 # DWYER, TIMO	THY	DAIN TRAILER		0.00	3.39	90.0	0.00	3.05	0.00	3.05
04/10/201	.8 # DWYER-PALME	R, GENELLE	DAIN TRAILER		0.00	7.29	90.0	0.00	6.56	0.00	6.56
04/10/201	8 # DWYER-PALME	R, GENELLE	DAIN TRAILER		0.00	18.66	90.0	0.00	16.79	0.00	16.79
04/10/201	8 # DWYER-PALME	R, GENELLE	DAIN TRAILER		0.00	1.58	90.0	0.00	1.42	0.00	1.42
04/10/201	8 # DWYER-PALME	R, GENELLE	DAIN TRAILER		0.00	6.27	90.0	0.00	5.64	0.00	5.64
04/10/201	8 # GIBSON, VAL	ITA	DAIN TRAILER		0.00	4.81	90.0	0.00	4.33	0.00	4.33
04/10/201	8 # MONTGOMERY,	JUSTIN	DAIN TRAILER		0.00	1.19	90.0	0.00	1.07	0.00	1.07
04/10/201	8 # BARROW, DIA	NA	DAIN TRAILER		0.00	0.25	90.0	0.00	0.23	0.00	0.23
04/24/201	8 # MONTGOMERY,	KEVIN	DAIN TRAILER		0.00	0.34	90.0	0.00	0.31	0.00	0.31
			* MUTUAL FUND 12B1 TRAILS	SUBTOTAL *	\$0.00	\$373.82		\$0.00	\$336.46	\$0.00	\$336.46
			*** BROKER TRANSACTION	IS TOTAL ***	\$677.11	\$694.94		\$0.00	\$625.49	\$0.00	\$625.49

**ADJUSTMENTS** 

05/20/2018 DOCUSIGN: DOCUSIGN -51.00

\*\*\* ADJUSTMENTS TOTAL \*\*\* \$-51.00

#### BROKER COMMISSION TOTALS \$625.49 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-51.00 Payroll Draw \$0.00 Base Salary \$0.00 \$0.00 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment

Check	Amount	\$574.49