



Concorde Investment Services, LLC

01/12/2018

Page No. 1

# COMMISSION STATEMENT

January 15, 2018

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
12/31/2017	KUHN, KENNETH	JNL PERSPECTIVE L	262,954.89	219.13	0.00	219.13	90.0	197.22
12/31/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	141,704.85	118.09	0.00	118.09	90.0	106.28
12/31/2017	FREIH, DIANE	JNL PERSPECTIVE L	110,523.07	92.10	0.00	92.10	90.0	82.89
12/31/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	219,656.21	183.05	0.00	183.05	90.0	164.75
12/31/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	39,099.23	32.58	0.00	32.58	90.0	29.32
12/31/2017	GRANT, GREGORY	JNL PERSPECTIVE L	97,739.86	81.45	0.00	81.45	90.0	73.31
12/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	62,252.71	51.88	0.00	51.88	90.0	46.69
12/31/2017	LIESENER, MARTY	JNL PERSPECTIVE L	44,896.96	37.41	0.00	37.41	90.0	33.67
12/31/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	64,557.29	53.80	0.00	53.80	90.0	48.42
12/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	212,259.90	176.88	0.00	176.88	90.0	159.19
12/31/2017	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	36,543.86	45.68	0.00	45.68	90.0	41.11
12/31/2017	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	128,540.75	160.68	0.00	160.68	90.0	144.61
* VARIABLE ANNUITIES SUBTOTAL *			\$1,420,729.58	\$1,252.73	\$0.00	\$1,252.73		\$1,127.46
*** DIRECT TRANSACTIONS TOTAL ***			\$1,420,729.58	\$1,252.73	\$0.00	\$1,252.73		\$1,127.46

## SPLIT TRANSACTIONS

**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #10**

01/02/2018	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
01/02/2018	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	3.01	0.00	3.01	90.0	2.71
01/02/2018	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	5.18	0.00	5.18	90.0	4.66
01/02/2018	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	3.43	0.00	3.43	90.0	3.09
01/02/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
01/02/2018	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
01/02/2018	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.66	0.00	2.66	90.0	2.39
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,585.00	\$24.17	\$0.00	\$24.17		\$21.76

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u></b>								
12/20/2017	FEE, ERIC	COTTONWOOD MULTIFAMILY REIT II	75,000.00	2,250.00	0.00	2,250.00	90.0	2,025.00
12/22/2017	MILLER, CHARLES	COTTONWOOD MULTIFAMILY REIT II	72,750.00	2,182.50	0.00	2,182.50	90.0	1,964.25
12/28/2017	KASMER, SARA	COTTONWOOD MULTIFAMILY REIT II	35,000.00	-831.25	0.00	-831.25	90.0	-748.13
12/28/2017	KASMER, SARA	COTTONWOOD MULTIFAMILY REIT II	35,000.00	1,050.00	0.00	1,050.00	90.0	945.00
01/02/2018	RETZLAFF, SANDRA	COTTONWOOD MULTIFAMILY REIT II, INC	25,000.00	0.00	0.00	0.00	90.0	0.00
<b>* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *</b>			<b>\$242,750.00</b>	<b>\$4,651.25</b>	<b>\$0.00</b>	<b>\$4,651.25</b>		<b>\$4,186.12</b>
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
12/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.18	0.00	0.18	90.0	0.16
12/22/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
12/15/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.09	0.00	0.09	90.0	0.08
12/04/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.23	0.00	0.23	90.0	0.21
12/27/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	0.00	0.20	0.00	0.20	90.0	0.18
01/09/2018	SULLICAN, TAMARA	ISHARES TR S&P 500 INDEX FD	276.62	0.00	2.47	-2.47	90.0	-2.22
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02
01/09/2018	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	-969.56	0.00	0.00	0.00	90.0	0.00
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
12/18/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.55	0.00	0.55	90.0	0.50
01/09/2018	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	-500.00	0.00	0.00	0.00	90.0	0.00
<b>* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *</b>			<b>\$-1,192.94</b>	<b>\$1.43</b>	<b>\$2.47</b>	<b>\$-1.04</b>		<b>\$-0.92</b>
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
01/02/2018	RUFFIE, DAVID	CONTINENTAL RES INC COM ISIN#US2120	-41,630.42	8.80	9.27	-0.47	90.0	-0.42
12/29/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II	35,000.00	-1,085.00	0.00	-1,085.00	90.0	-976.50
12/29/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II	35,000.00	840.00	0.00	840.00	90.0	756.00
12/27/2017	RUFFIE, DAVID	COTTONWOOD MULTIFAMILY REIT II, INC	35,000.00	0.00	0.00	0.00	90.0	0.00
01/02/2018	HAUGEN, STACI	HPI REAL ESTATE FUND V LLC	85,925.00	0.00	0.00	0.00	90.0	0.00
<b>* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *</b>			<b>\$149,294.58</b>	<b>\$-236.20</b>	<b>\$9.27</b>	<b>\$-245.47</b>		<b>\$-220.92</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$392,436.64</b>	<b>\$4,440.65</b>	<b>\$11.74</b>	<b>\$4,428.91</b>		<b>\$3,986.04</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
01/15/2018		*CONCIERGE SVC: 205491 MILLER	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 205546 KASMER	0.00					-20.00
01/15/2018		*CONCIERGE SVC: 205584 HAUGEN	0.00					-20.00
01/15/2018		*CONCIERGE SVC: 205587 HAUGEN	0.00					-20.00
01/15/2018		*CONCIERGE SVC: 205607 RETZLAFF	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 206270 RETZLAFF	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 206458 ERNST	0.00					-5.50
01/15/2018		*CONCIERGE SVC: 206809 AAFEDT	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 206814 HAUGEN	0.00					-20.00
01/15/2018		*CONCIERGE SVC: 206821 AAFEDT	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 206872 EBEL	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 206894 HAUGEN	0.00					-20.00
01/15/2018		*CONCIERGE SVC: 206905 RUFFIE	0.00					-20.00
01/15/2018		*CONCIERGE SVC: 206915 EBEL	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 206920 EBEL	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 207145 EBEL	0.00					-25.00
01/15/2018		*CONCIERGE SVC: 207149 EBEL	0.00					-25.00
01/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-0.17
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-0.26
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.20
01/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-953.63

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,127.46
Split Transactions	\$3,986.04
Override Transactions	\$0.00
Adjustments	\$-953.63
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-18.50
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,141.37
Year-to-date Gross	\$5,693.38
Year-to-date Earnings	\$5,113.50