

COMMISSION STATEMENT

May 31, 2018

JAY SHARTSIS

54 BIRCHWOOD LANE

HARTSDALE, NY, NY 10530

BROKER #: P82 P83 P84

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
05/01/2018	QGW009151	KOBOLD, MICHAEL		VIRNETX HOLDING CORPORATION	S	-110.00	7.15	6.00	1.15 50.0 0.58
05/01/2018	QGW009151	KOBOLD, MICHAEL		VIRNETX HOLDING CORPORATION	S	-662.25	42.85	6.00	36.85 50.0 18.43
05/03/2018	QGW009151	KOBOLD, MICHAEL		VIRNETX HOLDING CORPORATION	B	1,439.94	73.39	6.00	67.39 50.0 33.70
05/11/2018	QGW009177	-, MICHAEL KRUEGER		COTY INC COM CL A	B	8,000.00	50.00	6.00	44.00 50.0 22.00
05/16/2018	QGW009177	-, MICHAEL KRUEGER		INTREPID POTASH INC	B	2,450.00	50.00	6.00	44.00 50.0 22.00
05/18/2018	QGW009177	-, MICHAEL KRUEGER		COTY INC COM CL A	S	-6,921.50	50.00	6.00	44.00 50.0 22.00
04/27/2018	QGW009292	OSTOW, MARK		AMAZON.COM INC	S	-154,000.00	80.73	6.00	74.73 50.0 37.37
05/04/2018	QGW009292	OSTOW, MARK		TWILIO INC CL A	B	44,052.40	469.74	6.00	463.74 50.0 231.87
05/04/2018	QGW009292	OSTOW, MARK		TWILIO INC CL A	B	-44,052.40	-469.74	-6.00	-463.74 50.0 -231.87 C
05/04/2018	QGW009292	OSTOW, MARK		TWILIO INC CL A	B	44,052.40	125.00	6.00	119.00 50.0 59.50
05/09/2018	QGW009292	OSTOW, MARK		TWILIO INC CL A	S	-47,522.00	125.00	6.00	119.00 50.0 59.50
05/14/2018	QGW009292	OSTOW, MARK		AGIOS PHARMACEUTICALS INC	B	44,153.00	74.00	6.00	68.00 50.0 34.00
05/15/2018	QGW009292	OSTOW, MARK		AMAZON.COM INC	B	157,785.80	80.73	6.00	74.73 50.0 37.37
05/15/2018	QGW009292	OSTOW, MARK		GASLOG PARTNERS LP UNIT LTD PARTNER S		-24,350.00	125.00	6.00	119.00 50.0 59.50
05/23/2018	QGW009292	OSTOW, MARK		GASLOG PARTNERS LP UNIT LTD PARTNER S		-12,050.00	10.00	6.00	4.00 50.0 2.00
05/24/2018	QGW009292	OSTOW, MARK		GASLOG PARTNERS LP UNIT LTD PARTNER S		-12,050.00	199.28	6.00	193.28 50.0 96.64
05/24/2018	QGW009292	OSTOW, MARK		GASLOG PARTNERS LP UNIT LTD PARTNER S		12,050.00	-199.28	-6.00	-193.28 50.0 -96.64 C
05/24/2018	QGW009292	OSTOW, MARK		GASLOG PARTNERS LP UNIT LTD PARTNER S		-12,050.00	10.00	6.00	4.00 50.0 2.00
05/25/2018	QGW009292	OSTOW, MARK		AMAZON.COM INC	B	161,060.94	80.73	6.00	74.73 50.0 37.37
05/25/2018	QGW009292	OSTOW, MARK		AMAZON.COM INC	S	-158,250.00	10.00	6.00	4.00 50.0 2.00
05/02/2018	QGW009540	CINQUEMANI, FRANK		BITCOIN INVT TR SHS	B	4,461.00	74.00	6.00	68.00 50.0 34.00
05/04/2018	QGW029514	HUNT, GREGORY		TRINITY INDUSTRIES INC	B	15,000.00	74.00	6.00	68.00 50.0 34.00
05/07/2018	QGW029514	HUNT, GREGORY		COTY INC COM CL A	B	8,092.50	155.21	6.00	149.21 50.0 74.61
05/07/2018	QGW029514	HUNT, GREGORY		COTY INC COM CL A	B	-8,092.50	-155.21	-6.00	-149.21 50.0 -74.61 C
05/07/2018	QGW029514	HUNT, GREGORY		COTY INC COM CL A	B	8,092.50	72.50	6.00	66.50 50.0 33.25
05/09/2018	QGW029514	HUNT, GREGORY		COTY INC COM CL A	S	-8,115.00	73.50	6.00	67.50 50.0 33.75
05/10/2018	QGW029514	HUNT, GREGORY		TRINITY INDUSTRIES INC	S	-16,755.00	86.00	6.00	80.00 50.0 40.00
05/18/2018	QGW029514	HUNT, GREGORY		ALIGN TECHNOLOGY INC	B	81,000.00	62.50	6.00	56.50 50.0 28.25
05/18/2018	QGW029514	HUNT, GREGORY		ALIGN TECHNOLOGY INC	S	-78,000.00	62.50	6.00	56.50 50.0 28.25
* STOCKS SUBTOTAL *					\$8,709.83	\$1,499.58	\$138.00	\$1,361.58	\$680.82

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: OPTIONS									
05/02/2018	QGW009094 KANTO, WILLIAM	PUT 100 ISHARES TR S&P 500 VALUE ET S		-4,500.00	134.75	7.00	127.75	50.0	63.88
05/17/2018	QGW009094 KANTO, WILLIAM	PUT 100 KROGER CO COM EXP 01-17-20@ S		-7,990.00	98.50	7.00	91.50	50.0	45.75
05/25/2018	QGW009094 KANTO, WILLIAM	PUT 100 SELECT SECTOR SPDR TR ENERGB		18,150.00	329.56	7.00	322.56	50.0	161.28
05/25/2018	QGW009094 KANTO, WILLIAM	PUT 100 SELECT SECTOR SPDR TR ENERGB		-18,150.00	-329.56	-7.00	-322.56	50.0	-161.28 C
05/25/2018	QGW009094 KANTO, WILLIAM	PUT 100 SELECT SECTOR SPDR TR ENERGB		18,150.00	125.00	7.00	118.00	50.0	59.00
05/29/2018	QGW009094 KANTO, WILLIAM	CALL 100 ISHARES TR BARCLAYS 20+ YR B		1,505.00	80.92	7.00	73.92	50.0	36.96
05/30/2018	QGW009094 KANTO, WILLIAM	PUT 100 SELECT SECTOR SPDR TR ENERGS		-15,900.00	124.00	7.00	117.00	50.0	58.50
05/02/2018	QGW009177 -, MICHAEL KRUEGER	PUT 100 KIRKLAND LAKE GOLD LTD COM S		-250.00	50.00	7.00	43.00	50.0	21.50
05/07/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 COTY INC COM CL A EXP 08-1 B		678.00	50.00	7.00	43.00	50.0	21.50
05/07/2018	QGW009177 -, MICHAEL KRUEGER	PUT 100 COTY INC COM CL A EXP 05-11 S		-325.00	50.00	7.00	43.00	50.0	21.50
05/09/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 COTY INC COM CL A EXP 08-1 S		-530.00	50.00	7.00	43.00	50.0	21.50
05/11/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 TRINITY INDS INC COM EXP 0 S		-375.00	50.00	7.00	43.00	50.0	21.50
05/17/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 KRONOS WORLDWIDE INC COM E B		75.00	50.00	7.00	43.00	50.0	21.50
05/22/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 MCDERMOTT INTERNATIONAL IN S		-360.00	50.00	7.00	43.00	50.0	21.50
05/29/2018	QGW009177 -, MICHAEL KRUEGER	CALL 100 DSW INC CL A EXP 07-20-18@ B		620.00	50.00	7.00	43.00	50.0	21.50
05/15/2018	QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 05-18-1 S		-1,242.00	50.00	7.00	43.00	50.0	21.50
05/18/2018	QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 05-18-1 B		510.00	50.00	7.00	43.00	50.0	21.50
05/18/2018	QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 05-25-1 S		-1,562.00	50.00	7.00	43.00	50.0	21.50
05/25/2018	QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-01-1 S		-2,030.00	50.00	7.00	43.00	50.0	21.50
05/30/2018	QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-01-1 B		2,528.00	50.00	7.00	43.00	50.0	21.50
05/30/2018	QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-01-1 S		-924.00	50.00	7.00	43.00	50.0	21.50
04/30/2018	QGW029514 HUNT, GREGORY	CALL 100 AMAZON COM INC EXP 05-04-1 S		-2,952.99	73.23	7.00	66.23	50.0	33.12
04/30/2018	QGW029514 HUNT, GREGORY	CALL 100 AMAZON COM INC EXP 05-04-1 B		1,620.00	56.17	7.00	49.17	50.0	24.59
04/30/2018	QGW029514 HUNT, GREGORY	CALL 100 KIRKLAND LAKE GOLD LTD COM B		2,750.00	117.43	7.00	110.43	50.0	55.22
05/03/2018	QGW029514 HUNT, GREGORY	PUT 100 TESLA INC EXP 05-18-18 @250 B		1,168.00	56.30	7.00	49.30	50.0	24.65
05/03/2018	QGW029514 HUNT, GREGORY	PUT 100 TESLA INC EXP 05-18-18 @270 S		-2,688.00	77.21	7.00	70.21	50.0	35.11
05/10/2018	QGW029514 HUNT, GREGORY	CALL 100 ROKU INC COM CL A EXP 06-1 S		-1,595.00	69.01	7.00	62.01	50.0	31.01
05/10/2018	QGW029514 HUNT, GREGORY	CALL 100 ROKU INC COM CL A EXP 06-1 B		815.00	57.85	7.00	50.85	50.0	25.43
05/10/2018	QGW029514 HUNT, GREGORY	CALL 100 ROKU INC COM CL A EXP 06-1 S		1,595.00	-69.01	-7.00	-62.01	50.0	-31.01 C
05/10/2018	QGW029514 HUNT, GREGORY	CALL 100 ROKU INC COM CL A EXP 06-1 S		-1,595.00	69.01	7.00	62.01	50.0	31.01
05/10/2018	QGW029514 HUNT, GREGORY	CALL 100 ROKU INC COM CL A EXP 06-1 B		-815.00	-57.85	-7.00	-50.85	50.0	-25.43 C
05/10/2018	QGW029514 HUNT, GREGORY	CALL 100 ROKU INC COM CL A EXP 06-1 B		815.00	57.85	7.00	50.85	50.0	25.43
05/18/2018	QGW029514 HUNT, GREGORY	PUT 100 TESLA INC EXP 05-18-18 @270 B		100.00	50.00	7.00	43.00	50.0	21.50
05/24/2018	QGW029514 HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B		1,860.00	98.00	7.00	91.00	50.0	45.50
05/24/2018	QGW029514 HUNT, GREGORY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 S		-4,020.00	124.00	7.00	117.00	50.0	58.50
05/29/2018	QGW029514 HUNT, GREGORY	CALL 100 PETROLEO BRASILEIRO SA PET B		2,490.00	98.00	7.00	91.00	50.0	45.50
05/30/2018	QGW029514 HUNT, GREGORY	CALL 100 PETROLEO BRASILEIRO SA PET S		-780.00	65.52	7.00	58.52	50.0	29.26
* OPTIONS SUBTOTAL *				\$-13,154.99	\$2,205.89	\$217.00	\$1,988.89		\$994.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/31/2018		FEE COUNT - 2		0.00	44.09	0.00	44.09	50.0	22.05
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$44.09	\$0.00	\$44.09		\$22.05
		*** BROKER TRANSACTIONS TOTAL ***		\$-4,445.16	\$3,749.56	\$355.00	\$3,394.56		\$1,697.35

ADJUSTMENTS

05/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
05/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
05/31/2018		OTHER: OTHER--SALARY		0.00	0.00	0.00	0.00		500.00
05/31/2018		OTHER: CBOE		0.00	0.00	0.00	0.00		-250.00
05/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-96.36
05/31/2018		OTHER: QUODD		0.00	0.00	0.00	0.00		-65.88
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$59.76

BROKER COMMISSION TOTALS

Broker Transactions	\$1,697.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$59.76
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-463.73
FINRA Assessment	\$-4.69
SIPC Assessment	\$-9.37

Check Amount	\$1,279.32
Year-to-date Earnings	\$6,196.81