

COMMISSION STATEMENT January 15, 2018

JOYCE BRISKIN-D009

BROKER #: D009

BRANCH: DMO

, TX

COMMISSION STATEMENT for JOYCE BRISKIN-D009

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/31/2017 (None)	VARIOUS, BRISKIN	MFT OPPENHEIMER	B	0.00	23.66	0.00	23.66	90.0	21.29
01/01/2018 00002998870	REMICK, NORMA	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.69	0.00	0.69	90.0	0.62
01/01/2018 03810399026	IKERD, AARON	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	7.49	0.00	7.49	90.0	6.74
01/01/2018 03810613137	SULTEMEIER,	MFT AIM MID-CAP EQUITY FUND CLASS A	B	0.00	14.85	0.00	14.85	90.0	13.37
01/01/2018 03810613137	SULTEMEIER,	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	13.61	0.00	13.61	90.0	12.25
01/01/2018 04028967521	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	8.41	0.00	8.41	90.0	7.57
01/01/2018 04028967521	MARTIN, CHERYL	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	1.79	0.00	1.79	90.0	1.61
01/01/2018 04028968248	MARTIN, CHERYL	MFT AIM CHARTER FUND - CLASS A	B	0.00	7.98	0.00	7.98	90.0	7.18
01/01/2018 04028968248	MARTIN, CHERYL	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.28	0.00	7.28	90.0	6.55
01/01/2018 05054640809	PAULSON, NANCY	MFT AIM CHARTER FUND - CLASS A	B	0.00	34.58	0.00	34.58	90.0	31.12
01/01/2018 09898420957	SARSEP,	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.61	0.00	0.61	90.0	0.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$120.95	\$0.00	\$120.95		\$108.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
12/29/2017 (None)	KIMBRELL, NANCY	VAT ALLIANZ LIFE	B	0.00	112.30	0.00	112.30	90.0	101.07
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$112.30	\$0.00	\$112.30		\$101.07
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$233.25	\$0.00	\$233.25		\$209.92

BROKER COMMISSION TOTALS

Broker Transactions	\$209.92
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$209.92
Year-to-date Commissions	\$9,187.81