



COMMISSION STATEMENT

March 19, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @33.8700						
03/05/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @33.8700						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.8200						
03/09/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.8200						
03/05/2018	***-**-9501 - SHALLENBERGER,	AMCAP FUND-C	13.32	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 00086494801	BUY 0 Units @29.4800						
03/05/2018	***-**-511I - ROOD, SCOTT	AMCAP FUND-C	10.72	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00088607840	BUY 0 Units @29.4800						
03/05/2018	***-**-511I - ROOD, SCOTT	AMCAP FUND-C	62.97	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00088607840	BUY 2 Units @29.4800						
03/05/2018	***-**-5225 - BOWERMAN, DAWN	AMCAP FUND-C	12.02	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04000089664	BUY 0 Units @29.4800						
03/05/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @27.1300						
03/05/2018	***-**-4263 - HARTE, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @27.1300						
03/05/2018	***-**-965P - SHAFER, PAUL	AMERICAN BALANCED FUND-A	17.00	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00073549401	BUY 1 Units @27.8300						
03/05/2018	***-**-625P - BORRELLI, LOUIS	AMERICAN BALANCED FUND-A	25.38	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00073550085	BUY 1 Units @27.8300						
03/05/2018	***-**-625P - BORRELLI, LOUIS	AMERICAN BALANCED FUND-A	64.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 00073550085	BUY 2 Units @27.8300						
03/05/2018	***-**-395P - DIVASTA, ANTHONY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 2 Units @28.4100						
03/05/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @26.9200						
03/05/2018	***-**-6000 - SMITH, KENNETH	AMERICAN BALANCED FUND-C	17.60	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00083081099	BUY 1 Units @26.9200						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/05/2018	***-**-2612 - UCAR, AHMET	AMERICAN BALANCED FUND-C	18.80	0.19	0.00	0.19	80.0	0.15
	ACCOUNT #: 00084700980	BUY 1 Units @26.9200						
03/05/2018	***-**-2612 - UCAR, AHMET	AMERICAN BALANCED FUND-C	45.11	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00084700980	BUY 2 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	76.50	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 00086979418	BUY 3 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00086979418	BUY 1 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	24.62	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00089215183	BUY 1 Units @26.9200						
03/05/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	212.64	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00089215183	BUY 8 Units @26.9200						
03/05/2018	***-**-0962 - TRETYAK,	AMERICAN BALANCED FUND-C	39.40	0.39	0.00	0.39	80.0	0.31
	ACCOUNT #: 00089399972	BUY 1 Units @26.9200						
03/05/2018	***-**-0962 - TRETYAK,	AMERICAN BALANCED FUND-C	184.06	1.84	0.00	1.84	80.0	1.47
	ACCOUNT #: 00089399972	BUY 7 Units @26.9200						
03/08/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @27.0300						
03/08/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @27.0300						
03/08/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00089051066	BUY 0 Units @27.0300						
03/08/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @27.0300						
03/08/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.80	0.41	0.00	0.41	80.0	0.33
	ACCOUNT #: 00089051066	BUY 2 Units @27.0300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 0 Units @27.0300	4.00	0.04	0.00	0.04	80.0	0.03
03/08/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.0300	120.00	1.20	0.00	1.20	80.0	0.96
03/05/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 0 Units @32.6200	9.20	0.09	0.00	0.09	80.0	0.07
03/05/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN GLOBAL BALANCED CL C BUY 2 Units @32.6200	53.98	0.54	0.00	0.54	80.0	0.43
03/12/2018	***-**-83IR - RICCI, GABRIELLA ACCOUNT #: LSR012543	AMERICAN GLOBAL BALANCED CL C BUY 67 Units @33.0100	2,197.01	21.97	18.00	3.97	80.0	3.18
03/05/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	AMERICAN MUTUAL FUND-A BUY 1 Units @41.0100	30.00	0.30	0.00	0.30	80.0	0.24
03/05/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN MUTUAL FUND-C BUY 0 Units @40.3800	10.73	0.11	0.00	0.11	80.0	0.09
03/05/2018	***-**-511I - ROOD, SCOTT ACCOUNT #: 00088607840	AMERICAN MUTUAL FUND-C BUY 2 Units @40.3800	62.97	0.63	0.00	0.63	80.0	0.50
03/05/2018	***-**-717I - GIUDICE, JUSTIN ACCOUNT #: 00086494794	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @61.8600	34.17	0.34	0.00	0.34	80.0	0.27
03/05/2018	***-**-717I - GIUDICE, JUSTIN ACCOUNT #: 00086494794	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @61.8600	92.40	0.92	0.00	0.92	80.0	0.74
03/05/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @61.8600	39.40	0.39	0.00	0.39	80.0	0.31
03/05/2018	***-**-0962 - TRETYAK, ACCOUNT #: 00089399972	CAPITAL INCOME BUILDER CLASS C BUY 3 Units @61.8600	184.07	1.84	0.00	1.84	80.0	1.47
03/05/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 0 Units @63.4400	25.38	0.51	0.00	0.51	80.0	0.41
03/05/2018	***-**-625P - BORRELLI, LOUIS ACCOUNT #: 00073550085	CAPITAL INCOME BUILDER-A BUY 1 Units @63.4400	64.00	1.28	0.00	1.28	80.0	1.02
03/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5500	22.25	0.27	0.00	0.27	80.0	0.22
03/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	CAPITAL WORLD BOND FUND-A BUY 1 Units @20.5500	22.25	0.27	0.00	0.27	80.0	0.22



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/09/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @20.5300						
03/09/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @20.5300						
03/05/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	25.38	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: 00073550085	BUY 0 Units @53.5000						
03/05/2018	***-**-625P - BORRELLI, LOUIS	CAPITAL WORLD GROWTH AND INCOME FUN	64.00	1.28	0.00	1.28	80.0	1.02
	ACCOUNT #: 00073550085	BUY 1 Units @53.5000						
03/05/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @55.3400						
03/05/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	43.20	0.43	0.00	0.43	80.0	0.34
	ACCOUNT #: 00083414092	BUY 1 Units @51.5300						
03/05/2018	***-**-4036 - AMES, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	64.00	0.64	0.00	0.64	80.0	0.51
	ACCOUNT #: 00083414092	BUY 1 Units @51.5300						
03/05/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @51.5300						
03/05/2018	***-**-5225 - BOWERMAN, DAWN	CAPITAL WORLD GROWTH AND INCOME FUN	12.02	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04000089664	BUY 0 Units @51.5300						
03/05/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	16.92	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000492822	BUY 0 Units @51.5300						
03/05/2018	***-**-4926 - HAUN, GARY	CAPITAL WORLD GROWTH AND INCOME FUN	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @51.5300						
03/08/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @51.9100						
03/08/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @51.9100						
03/05/2018	***-**-445P - BURNS, DANIEL	EUROPACIFIC GROWTH FUND-A	19.80	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758346	BUY 0 Units @56.9000						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @57.7700						
03/05/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @57.7700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/09/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @59.0200						
03/09/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @59.0200						
03/05/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @63.3600						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @64.3200						
03/05/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @64.3200						
03/05/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	34.53	0.69	0.00	0.69	80.0	0.55
	ACCOUNT #: 00073550035	BUY 1 Units @64.9800						
03/05/2018	***-**-925P - BURNS, JASON	FUNDAMENTAL INVESTORS-A	213.84	4.28	0.00	4.28	80.0	3.42
	ACCOUNT #: 00073550035	BUY 3 Units @64.9800						
03/09/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @65.9100						
03/09/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @65.9100						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	34.17	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00086494794	BUY 1 Units @62.9400						
03/05/2018	***-**-7171 - GIUDICE, JUSTIN	FUNDAMENTAL INVESTORS-C	92.40	0.92	0.00	0.92	80.0	0.74
	ACCOUNT #: 00086494794	BUY 1 Units @62.9400						
03/05/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @62.9400						
03/05/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @62.9400						
03/08/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00089051066	BUY 0 Units @63.4100						
03/08/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.4100						
03/08/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.4100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @63.4100	2.00	0.02	0.00	0.02	80.0	0.02
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00088577991	INCOME FUND OF AMERICA CLASS C BUY 9 Units @22.7800	200.00	2.00	0.00	2.00	80.0	1.60
03/05/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	NEW PERSPECTIVE FUND-A BUY 0 Units @44.9600	19.80	0.20	0.00	0.20	80.0	0.16
03/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	NEW PERSPECTIVE FUND-A BUY 0 Units @45.6400	22.25	0.27	0.00	0.27	80.0	0.22
03/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	NEW PERSPECTIVE FUND-A BUY 0 Units @45.6400	22.25	0.27	0.00	0.27	80.0	0.22
03/05/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	NEW PERSPECTIVE FUND-A BUY 0 Units @46.1100	20.86	0.42	0.00	0.42	80.0	0.34
03/05/2018	***-**-955P - ROSE, JUSTIN ACCOUNT #: 00073550044	NEW PERSPECTIVE FUND-A BUY 7 Units @46.1100	300.00	6.00	0.00	6.00	80.0	4.80
03/09/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	NEW PERSPECTIVE FUND-A BUY 0 Units @46.8800	22.25	0.27	0.00	0.27	80.0	0.22
03/09/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	NEW PERSPECTIVE FUND-A BUY 0 Units @46.8800	22.25	0.27	0.00	0.27	80.0	0.22
03/05/2018	***-**-6000 - SMITH, KENNETH ACCOUNT #: 00083081099	NEW PERSPECTIVE FUND-C BUY 0 Units @43.1400	8.80	0.09	0.00	0.09	80.0	0.07
03/05/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	NEW PERSPECTIVE FUND-C BUY 1 Units @43.1400	32.40	0.32	0.00	0.32	80.0	0.26
03/05/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	NEW PERSPECTIVE FUND-C BUY 1 Units @43.1400	48.00	0.48	0.00	0.48	80.0	0.38
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.1400	20.40	0.20	0.00	0.20	80.0	0.16
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.1400	2.00	0.02	0.00	0.02	80.0	0.02
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.6100	2.00	0.02	0.00	0.02	80.0	0.02
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.6100	20.40	0.20	0.00	0.20	80.0	0.16



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.6100	20.40	0.20	0.00	0.20	80.0	0.16
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @43.6100	2.00	0.02	0.00	0.02	80.0	0.02
03/12/2018	***-**-83IR - RICCI, GABRIELLA ACCOUNT #: LSR012543	NEW PERSPECTIVE FUND-C BUY 74 Units @44.3700	3,297.00	32.97	18.00	14.97	80.0	11.98
03/13/2018	***-**-825P - CRISPINO, DIANA ACCOUNT #: LSR011759	NEW PERSPECTIVE FUND-C BUY 125 Units @44.0600	5,497.01	54.97	18.00	36.97	80.0	29.58
03/13/2018	***-**-825P - CRISPINO, DIANA ACCOUNT #: LSR011759	NEW PERSPECTIVE FUND-C BUY 125 Units @44.0600	5,497.01	54.97	18.00	36.97	80.0	29.58
03/05/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	NEW WORLD FUND-C BUY 0 Units @65.8600	32.40	0.32	0.00	0.32	80.0	0.26
03/05/2018	***-**-4036 - AMES, JOHN ACCOUNT #: 00083414092	NEW WORLD FUND-C BUY 1 Units @65.8600	48.00	0.48	0.00	0.48	80.0	0.38
03/13/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	ROCH. LIMITED TERM NEW YORK MUNICI SELL 22302 Units @2.7800	62,000.00	0.00	18.00	-18.00	100.0	-18.00
03/05/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	SMALLCAP WORLD FUND-A BUY 1 Units @56.9700	28.60	0.29	0.00	0.29	80.0	0.23
03/05/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	SMALLCAP WORLD FUND-A BUY 1 Units @58.4300	34.53	0.69	0.00	0.69	80.0	0.55
03/05/2018	***-**-925P - BURNS, JASON ACCOUNT #: 00073550035	SMALLCAP WORLD FUND-A BUY 4 Units @58.4300	213.84	4.28	0.00	4.28	80.0	3.42
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.0400	20.40	0.20	0.00	0.20	80.0	0.16
03/05/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.0400	2.00	0.02	0.00	0.02	80.0	0.02
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.7000	2.00	0.02	0.00	0.02	80.0	0.02
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.7000	20.40	0.20	0.00	0.20	80.0	0.16
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.7000	20.40	0.20	0.00	0.20	80.0	0.16



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/08/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @50.7000	2.00	0.02	0.00	0.02	80.0	0.02
03/05/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE BOND FUND OF AMERICA-A BUY 2 Units @12.5800	19.80	0.20	0.00	0.20	80.0	0.16
03/05/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/05/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/09/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/09/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7700	44.50	0.53	0.00	0.53	80.0	0.42
03/05/2018	***-**-2612 - UCAR, AHMET ACCOUNT #: 00084700980	THE BOND FUND OF AMERICA-C BUY 3 Units @12.5800	43.85	0.44	0.00	0.44	80.0	0.35
03/05/2018	***-**-2612 - UCAR, AHMET ACCOUNT #: 00084700980	THE BOND FUND OF AMERICA-C BUY 8 Units @12.5800	105.25	1.05	0.00	1.05	80.0	0.84
03/05/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 3 Units @12.5800	35.20	0.35	0.00	0.35	80.0	0.28
03/05/2018	***-**-7171 - GIUDICE, JUSTIN ACCOUNT #: 00086494794	THE BOND FUND OF AMERICA-C BUY 8 Units @12.5800	95.20	0.95	0.00	0.95	80.0	0.76
03/05/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 5 Units @52.4500	250.00	2.50	0.00	2.50	80.0	2.00
03/05/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.4500	30.00	0.30	0.00	0.30	80.0	0.24
03/05/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.4500	72.60	0.73	0.00	0.73	80.0	0.58
03/05/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.4500	30.00	0.30	0.00	0.30	80.0	0.24
03/05/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.4500	50.00	0.50	0.00	0.50	80.0	0.40
03/05/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 2 Units @52.4500	130.00	1.30	0.00	1.30	80.0	1.04



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/05/2018	***-**-4750 - OSCHMANN, MARK	THE GROWTH FUND OF AMERICA-A	74.35	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 00083414110	BUY 1 Units @52.4500						
03/05/2018	***-**-9447 - ZAJONCZKOSKI,	THE GROWTH FUND OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00087318332	BUY 0 Units @52.4500						
03/05/2018	***-**-4263 - HARTER, IAN	THE GROWTH FUND OF AMERICA-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @52.4500						
03/05/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @53.2500						
03/05/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @53.2500						
03/05/2018	***-**-965P - SHAFER, PAUL	THE GROWTH FUND OF AMERICA-A	17.00	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: 00073549401	BUY 0 Units @53.7900						
03/05/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	35.57	0.71	0.00	0.71	80.0	0.57
	ACCOUNT #: 00073550035	BUY 1 Units @53.7900						
03/05/2018	***-**-925P - BURNS, JASON	THE GROWTH FUND OF AMERICA-A	220.32	4.41	0.00	4.41	80.0	3.53
	ACCOUNT #: 00073550035	BUY 4 Units @53.7900						
03/05/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	20.86	0.42	0.00	0.42	80.0	0.34
	ACCOUNT #: 00073550044	BUY 0 Units @53.7900						
03/05/2018	***-**-955P - ROSE, JUSTIN	THE GROWTH FUND OF AMERICA-A	300.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 00073550044	BUY 6 Units @53.7900						
03/05/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	50.76	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 00073550085	BUY 1 Units @53.7900						
03/05/2018	***-**-625P - BORRELLI, LOUIS	THE GROWTH FUND OF AMERICA-A	128.00	2.56	0.00	2.56	80.0	2.05
	ACCOUNT #: 00073550085	BUY 2 Units @53.7900						
03/05/2018	***-**-425P - MEEKS, PERDITA	THE GROWTH FUND OF AMERICA-A	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @55.6500						
03/07/2018	***-**-975P - COTTONE, MARIA	THE GROWTH FUND OF AMERICA-A	51.84	1.94	0.00	1.94	80.0	1.55
	ACCOUNT #: 00086606929	BUY 1 Units @55.3400						
03/07/2018	***-**-975P - COTTONE, MARIA	THE GROWTH FUND OF AMERICA-A	200.00	7.50	0.00	7.50	80.0	6.00
	ACCOUNT #: 00086606929	BUY 4 Units @55.3400						
03/08/2018	***-**-825P - CRISPINO, DIANA	THE GROWTH FUND OF AMERICA-A	5,000.00	50.00	0.00	50.00	80.0	40.00
	ACCOUNT #: 00061380563	BUY 94 Units @53.0100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/09/2018	***-**-425P - DELGAIZO, LOUISA	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @54.7600						
03/09/2018	***-**-535P - DELGAIZO,	THE GROWTH FUND OF AMERICA-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @54.7600						
03/05/2018	***-**-6000 - SMITH, KENNETH	THE GROWTH FUND OF AMERICA-C	8.80	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00083081099	BUY 0 Units @48.5800						
03/05/2018	***-**-9501 - SHALLENBERGER,	THE GROWTH FUND OF AMERICA-C	13.32	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 00086494801	BUY 0 Units @48.5800						
03/05/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @48.5800						
03/05/2018	***-**-5225 - BOWERMAN, DAWN	THE GROWTH FUND OF AMERICA-C	24.04	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 04000089664	BUY 0 Units @48.5800						
03/05/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	22.56	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000492822	BUY 0 Units @48.5800						
03/05/2018	***-**-4926 - HAUN, GARY	THE GROWTH FUND OF AMERICA-C	28.20	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 04000492822	BUY 1 Units @48.5800						
03/08/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @49.0900						
03/05/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @41.3100						
03/05/2018	***-**-445P - BURNS, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	28.60	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 1 Units @41.3100						
03/05/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @41.3100						
03/05/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 0 Units @41.3100						
03/05/2018	***-**-395P - DIVASTA, ANTHONY	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00059597416	BUY 1 Units @43.2600						
03/07/2018	***-**-63IN - DIAMOND, ANN	U.S. GOVERNMENT SECURITIES FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00085468057	BUY 4 Units @13.3500						
03/05/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 11 Units @46.1300						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/05/2018	***-**-445P - BURNS, DANIEL	WASHINGTON MUTUAL INVESTORS FUND-A	30.80	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00066758346	BUY 1 Units @46.1300						
03/08/2018	***-**-825P - CRISPINO, DIANA	WASHINGTON MUTUAL INVESTORS FUND-A	5,000.00	50.00	0.00	50.00	80.0	40.00
	ACCOUNT #: 00061380563	BUY 108 Units @46.2800						
03/05/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	16.92	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 04000492822	BUY 0 Units @45.4900						
03/05/2018	***-**-4926 - HAUN, GARY	WASHINGTON MUTUAL INVESTORS FUND-C	21.15	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 04000492822	BUY 0 Units @45.4900						
03/08/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @45.6300						
* MUTUAL FUNDS SUBTOTAL *			\$97,318.10	\$386.18	\$90.00	\$296.18		\$233.37
PRODUCT CATEGORY: LIFE INSURANCE								
03/15/2018	***-**-77IN - TASCIONE,	NATIONWIDE YOURLIFE ACCUMULATION VU	833.00	8.33	0.00	8.33	80.0	6.66
	ACCOUNT #: N550288500	BUY 1 Units @833.0000						
* LIFE INSURANCE SUBTOTAL *			\$833.00	\$8.33	\$0.00	\$8.33		\$6.66
PRODUCT CATEGORY: 529 PLAN								
03/19/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
03/19/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	45.71	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
03/19/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	47.10	0.47	0.00	0.47	80.0	0.38
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
03/19/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
03/19/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 EQUITY INCOME PORTF C	25.00	0.76	0.00	0.76	80.0	0.61
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
03/19/2018	***-**-503J - OBI, JEAN	NYA JPM 529 MOD AGE-BASED A	50.00	2.38	0.00	2.38	80.0	1.90
		BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$238.52	\$5.29	\$0.00	\$5.29		\$4.24



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/19/2018	***-**-14IN - BENEDETTO, ACCOUNT #: LSR010421	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	24.56	0.00	24.56	80.0	19.65
03/19/2018	***-**-19IN - LIPPA, MARISSA ACCOUNT #: LSR011011	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	80.0	0.86
03/19/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	5.28	0.00	5.28	80.0	4.22
03/19/2018	***-**-497J - WARNEY, KENNETH ACCOUNT #: LSR011248	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	80.0	2.66
03/19/2018	***-**-58IR - HINDERLAND, GARY ACCOUNT #: LSR011249	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	80.0	1.02
03/19/2018	***-**-283I - RICCI, GABRIELLE ACCOUNT #: LSR011473	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	2.02	0.00	2.02	80.0	1.62
03/19/2018	***-**-95IO - KELLY, COURTNEY ACCOUNT #: LSR011685	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	2.76	0.00	2.76	80.0	2.21
03/19/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	21.07	0.00	21.07	80.0	16.86
03/19/2018	***-**-80IN - MOYER, COLLEEN ACCOUNT #: LSR011724	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	11.78	0.00	11.78	80.0	9.42
03/19/2018	***-**-80IR - MOYER, COLLEEN ACCOUNT #: LSR011726	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	13.53	0.00	13.53	80.0	10.82
03/19/2018	***-**-80IR - ROBERTS, ACCOUNT #: LSR011795	AMERICAN BALANCED FUND-C BUY 1 Units @0.0000	0.00	0.08	0.00	0.08	80.0	0.06
03/19/2018	***-**-11IN - RUSSELL, ALAN ACCOUNT #: LSR011417	AMERICAN FUND TAX - EXEMPT FUND OF BUY 1 Units @0.0000	0.00	0.10	0.00	0.10	80.0	0.08
03/19/2018	***-**-61IN - OLIVERI, WILLIAM ACCOUNT #: LSR011543	AMERICAN HIGH INCOME MUNI BOND CLAS BUY 1 Units @0.0000	0.00	94.55	0.00	94.55	80.0	75.64
03/19/2018	***-**-306I - SINCLAIR, ACCOUNT #: LSR010992	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	4.75	0.00	4.75	80.0	3.80
03/19/2018	***-**-05IO - KIMBLE, LONNY ACCOUNT #: LSR011472	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	53.17	0.00	53.17	80.0	42.54
03/19/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	AMERICAN HIGH-INCOME TRUST-C BUY 1 Units @0.0000	0.00	19.56	0.00	19.56	80.0	15.65



COMMISSION STATEMENT

March 19, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-80IN - MOYER, COLLEEN	AMERICAN HIGH-INCOME TRUST-C	0.00	10.91	0.00	10.91	80.0	8.73
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
03/19/2018	***-**-14IN - BENEDETTO,	AMERICAN MUTUAL FUND-C	0.00	25.86	0.00	25.86	80.0	20.69
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
03/19/2018	***-**-44IR - ROBERTSON,	AMERICAN MUTUAL FUND-C	0.00	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: LSR010558	BUY 1 Units @0.0000						
03/19/2018	***-**-61IO - BONACCI, FBO	AMERICAN MUTUAL FUND-C	0.00	6.83	0.00	6.83	80.0	5.46
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
03/19/2018	***-**-497J - WARNEY, KENNETH	AMERICAN MUTUAL FUND-C	0.00	1.30	0.00	1.30	80.0	1.04
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						
03/19/2018	***-**-14IN - BENEDETTO,	CAPITAL INCOME BUILDER CLASS C	0.00	86.60	0.00	86.60	80.0	69.28
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
03/19/2018	***-**-306I - SINCLAIR,	CAPITAL INCOME BUILDER CLASS C	0.00	4.91	0.00	4.91	80.0	3.93
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
03/19/2018	***-**-18IO - TAYLOR, GREGORY	CAPITAL INCOME BUILDER-A	0.00	6.13	0.00	6.13	80.0	4.90
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
03/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA MF TRAILS	0.00	2.03	0.00	2.03	80.0	1.62
		BUY 0 Units @0.0000						
03/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA MF TRAILS	0.00	2.03	0.00	2.03	80.0	1.62
		BUY 0 Units @0.0000						
03/16/2018	***-**-07IN - SCARPULLA,	COLUMBIA MF TRAILS	0.00	14.28	0.00	14.28	80.0	11.42
		BUY 0 Units @0.0000						
03/16/2018	***-**-540J - ARBORE, MARIA	COLUMBIA MF TRAILS	0.00	-2.03	0.00	-2.03	80.0	-1.62
		BUY 0 Units @0.0000						
03/08/2018	***-**-732J - ARBORE, MARIA	CORE BOND FUND - A	0.00	3.83	0.00	3.83	80.0	3.06
	ACCOUNT #: 00000108231	BUY 0 Units @0.0000						
03/16/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	9.52	0.00	9.52	80.0	7.62
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
03/19/2018	***-**-264I - SIDOTI, LAURA	EATON VANCE MF TRAILS	0.00	3.17	0.00	3.17	80.0	2.54
	ACCOUNT #: 0104134960	BUY 0 Units @0.0000						
03/19/2018	***-**-96CL - SCARPULLA,	EATON VANCE MF TRAILS	0.00	1.67	0.00	1.67	80.0	1.34
	ACCOUNT #: 5054692727	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 5054692727	EATON VANCE MF TRAILS BUY 0 Units @0.0000	0.00	3.69	0.00	3.69	80.0	2.95
03/19/2018	***-**-18IO - TAYLOR, GREGORY ACCOUNT #: LSR011690	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	22.98	0.00	22.98	80.0	18.38
03/19/2018	***-**-80IN - MOYER, COLLEEN ACCOUNT #: LSR011724	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	12.83	0.00	12.83	80.0	10.26
03/19/2018	***-**-80IR - MOYER, COLLEEN ACCOUNT #: LSR011726	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	7.30	0.00	7.30	80.0	5.84
03/08/2018	***-**-39IN - ARBORE, MARIA ACCOUNT #: 00000176375	GROWTH AND INCOME FUND - A BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	80.0	2.14
03/19/2018	***-**-14IN - BENEDETTO, ACCOUNT #: LSR010421	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	91.19	0.00	91.19	80.0	72.95
03/19/2018	***-**-306I - SINCLAIR, ACCOUNT #: LSR010992	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	5.03	0.00	5.03	80.0	4.02
03/19/2018	***-**-05IO - KIMBLE, LONNY ACCOUNT #: LSR011472	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	55.03	0.00	55.03	80.0	44.02
03/19/2018	***-**-70UT - GALLETTS CUST, ACCOUNT #: LSR011191	INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @0.0000	0.00	6.44	0.00	6.44	80.0	5.15
03/19/2018	***-**-92IR - CRISPINO, FBO ACCOUNT #: LSR011073	NEW PERSPECTIVE FUND-C BUY 1 Units @0.0000	0.00	11.26	0.00	11.26	80.0	9.01
03/19/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	NEW PERSPECTIVE FUND-C BUY 1 Units @0.0000	0.00	5.02	0.00	5.02	80.0	4.02
03/19/2018	***-**-825P - CRISPINO, DIANA ACCOUNT #: LSR011759	NEW PERSPECTIVE FUND-C BUY 1 Units @0.0000	0.00	5.39	0.00	5.39	80.0	4.31
03/08/2018	***-**-33UG - DELGAIZO, ALESHA ACCOUNT #: 00000413901	SMALL CAP EQUITY FUND - A BUY 0 Units @0.0000	0.00	1.49	0.00	1.49	80.0	1.19
03/19/2018	***-**-63IR - DIAMOND, ANN ACCOUNT #: LSR011725	SUNAMERICA FOCUSED DIVIDEND STRAT C BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	80.0	0.63
03/19/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	THE BOND FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	5.45	0.00	5.45	80.0	4.36
03/19/2018	***-**-497J - WARNEY, KENNETH ACCOUNT #: LSR011248	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	80.0	1.03



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/19/2018	***-**-283I - RICCI, GABRIELLE THE GROWTH FUND OF AMERICA-C	BUY 1 Units @0.0000	0.00	3.56	0.00	3.56	80.0	2.85
	ACCOUNT #: LSR011473							
03/19/2018	***-**-20IN - GIANGRECO, TERRY THE TAX-EXEMPT BOND FUND OF AMERICA	BUY 1 Units @0.0000	0.00	333.42	0.00	333.42	80.0	266.74
	ACCOUNT #: LSR010603							
03/19/2018	***-**-61IN - OLIVERI, WILLIAM THE TAX-EXEMPT BOND FUND OF AMERICA	BUY 1 Units @0.0000	0.00	138.17	0.00	138.17	80.0	110.54
	ACCOUNT #: LSR011543							
03/19/2018	***-**-80IR - MOYER, COLLEEN WASHINGTON MUTUAL INVESTORS FUND-C	BUY 1 Units @0.0000	0.00	7.13	0.00	7.13	80.0	5.70
	ACCOUNT #: LSR011726							
03/05/2018	***-**-27IN - TINKLEPAUGH, WELLS FGO AVTG DIVERSFD CAPITAL BLD	BUY 0 Units @0.0000	0.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 01005412974							
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,158.45	\$0.00	\$1,158.45		\$926.74
*** BROKER TRANSACTIONS TOTAL ***			\$98,389.62	\$1,558.25	\$90.00	\$1,468.25		\$1,171.01

BROKER COMMISSION TOTALS

Broker Transactions	\$1,171.01
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$3,070.24