



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**April 15, 2017**

04/12/2017  
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
 11617 Coldwater Road  
 Suite 103  
 Fort Wayne, IN 46845

BROKER #: L131  
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^03/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	12.75	0.00	12.75	90.0	0.00
^03/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	8.83	0.00	8.83	90.0	0.00
^03/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.80	6.11	0.00	6.11	90.0	0.00
^03/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.80	6.11	0.00	6.11	90.0	0.00
^03/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	17.65	0.00	17.65	90.0	0.00
^03/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	8.83	0.00	8.83	90.0	0.00
^03/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	346.14	14.71	0.00	14.71	90.0	0.00
^03/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	207.69	8.83	0.00	8.83	90.0	0.00
04/03/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
^03/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^03/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^03/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^03/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	2.38	0.00	2.38	90.0	0.00
^03/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^04/07/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^04/07/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^04/07/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^03/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^04/07/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^03/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^03/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^03/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^04/07/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^04/07/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^04/07/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^04/07/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
^03/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^04/03/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	-2,400.00	0.00	0.00	0.00	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.29	0.00	1.29	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	2.15	0.00	2.15	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	2.71	0.00	2.71	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	3.96	0.00	3.96	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER CORE BOND FUND C	0.00	10.29	0.00	10.29	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	4.00	0.00	4.00	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER EQUITY A	0.00	0.37	0.00	0.37	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.94	0.00	0.94	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	10.81	0.00	10.81	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.69	0.00	0.69	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.60	0.00	0.60	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.68	0.00	0.68	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	12.58	0.00	12.58	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.08	0.00	0.08	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.66	0.00	2.66	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.54	0.00	1.54	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.87	0.00	5.87	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	7.46	0.00	7.46	90.0	0.00
^ / /	FIRKS, RICHARD	OPPENHEIMER SENIOR FLOATING RATE C	0.00	11.19	0.00	11.19	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.35	0.00	0.35	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	1.14	0.00	1.14	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	5.04	0.00	5.04	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$702.19	\$213.29	\$0.00	\$213.29		\$3.83
*** BROKER TRANSACTIONS TOTAL ***			\$702.19	\$213.29	\$0.00	\$213.29		\$3.83

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
03/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	3.83	0.00	3.83	90.0	3.45
03/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	2.65	0.00	2.65	90.0	2.39
03/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.80	1.83	0.00	1.83	90.0	1.65
03/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.80	1.83	0.00	1.83	90.0	1.65
03/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	5.30	0.00	5.30	90.0	4.77
03/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	2.65	0.00	2.65	90.0	2.39
03/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	346.14	4.41	0.00	4.41	90.0	3.97
03/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	207.69	2.65	0.00	2.65	90.0	2.39
03/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
03/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
03/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
03/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.71	0.00	0.71	90.0	0.64
03/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
04/07/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
04/07/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
04/07/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
03/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
04/07/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
03/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
03/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
03/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
04/07/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
04/07/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
04/07/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
04/07/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
03/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
04/03/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	-2,400.00	0.00	0.00	0.00	90.0	0.00
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.39	0.00	0.39	90.0	0.35
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.65	0.00	0.65	90.0	0.59
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.81	0.00	0.81	90.0	0.73
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.19	0.00	1.19	90.0	1.07
/ /	FIRKS, RICHARD	OPPENHEIMER CORE BOND FUND C	0.00	3.09	0.00	3.09	90.0	2.78
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.20	0.00	1.20	90.0	1.08

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
/ /	SALGUERO, ANNA	OPPENHEIMER EQUITY A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	FIRKS, RICHARD	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	3.24	0.00	3.24	90.0	2.92
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.21	0.00	0.21	90.0	0.19
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.18	0.00	0.18	90.0	0.16
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.20	0.00	0.20	90.0	0.18
/ /	FIRKS, RICHARD	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	3.77	0.00	3.77	90.0	3.39
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.02	0.00	0.02	90.0	0.02
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.80	0.00	0.80	90.0	0.72
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.46	0.00	0.46	90.0	0.41
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	1.76	0.00	1.76	90.0	1.58
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.24	0.00	2.24	90.0	2.02
/ /	FIRKS, RICHARD	OPPENHEIMER SENIOR FLOATING RATE C	0.00	3.36	0.00	3.36	90.0	3.02
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.34	0.00	0.34	90.0	0.31
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.51	0.00	1.51	90.0	1.36
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$602.19</b>	<b>\$62.73</b>	<b>\$0.00</b>	<b>\$62.73</b>		<b>\$56.49</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$602.19</b>	<b>\$62.73</b>	<b>\$0.00</b>	<b>\$62.73</b>		<b>\$56.49</b>

**ADJUSTMENTS**

04/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						0.01
04/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-0.45
04/15/2017	*PERSHING: MF PROSPECTUS	0.00						0.00
04/15/2017	*PERSHING: MF PROSPECTUS	0.00						-2.33
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-2.77</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3.83
Split Transactions	\$56.49
Override Transactions	\$0.00
Adjustments	\$-2.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$21.52
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$79.07</b>
<b>Year-to-date Gross</b>	<b>\$398.93</b>
<b>Year-to-date Earnings</b>	<b>\$329.53</b>