

COMMISSION STATEMENT

January 31, 2018

ROBERT BULL
40 Wall StreetBROKER #: 81
BRANCH: HOME OFFICE

New York, NY 10005

COMMISSION STATEMENT for ROBERT BULL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/08/2018	QGW031502	ASKINAS, MITCHEL	BARON EMERGING MARKETS FUND RETAIL B	3,900.00	0.00	12.00	-12.00	85.0	-10.20
01/08/2018	QGW031502	ASKINAS, MITCHEL	BARON INTERNATIONAL FUND RETAIL CLA B	3,900.00	0.00	12.00	-12.00	85.0	-10.20
01/26/2018	QGW031502	ASKINAS, MITCHEL	FIDELITY ADVISOR CHINA REGION CLASS B	4,000.00	200.00	12.00	188.00	85.0	159.80
* MUTUAL FUNDS SUBTOTAL *				\$11,800.00	\$200.00	\$36.00	\$164.00		\$139.40
PRODUCT CATEGORY: STOCKS									
01/05/2018	QGW031502	ASKINAS, MITCHEL	VANGUARD INDEX FUNDS SMALL-CAP VALU S	-4,030.32	50.00	12.00	38.00	85.0	32.30
01/05/2018	QGW031502	ASKINAS, MITCHEL	WISDOMTREE TR SMALLCAP DIVID FD S	-4,265.22	50.00	12.00	38.00	85.0	32.30
01/16/2018	QGW031502	ASKINAS, MITCHEL	BARCLAYS BK PLC IPATH ETNS LKD MSCI B	1,998.92	50.00	12.00	38.00	85.0	32.30
01/16/2018	QGW031502	ASKINAS, MITCHEL	BARCLAYS BK PLC IPATH ETNS LKD MSCI B	-1,998.92	-50.00	-12.00	-38.00	85.0	-32.30 C
01/16/2018	QGW031502	ASKINAS, MITCHEL	BARCLAYS BK PLC IPATH ETNS LKD MSCI B	1,998.92	50.00	12.00	38.00	85.0	32.30
01/16/2018	QGW031502	ASKINAS, MITCHEL	ISHARES CHINA LARGE CAP ETF B	2,989.79	50.00	12.00	38.00	85.0	32.30
01/16/2018	QGW031502	ASKINAS, MITCHEL	ISHARES CHINA LARGE CAP ETF B	-2,989.79	-50.00	-12.00	-38.00	85.0	-32.30 C
01/16/2018	QGW031502	ASKINAS, MITCHEL	ISHARES CHINA LARGE CAP ETF B	2,989.79	50.00	12.00	38.00	85.0	32.30
01/16/2018	QGW031502	ASKINAS, MITCHEL	ISHARES S&P SMALL CAP 600 VALUE ETF S	-8,512.88	50.00	12.00	38.00	85.0	32.30
01/16/2018	QGW031502	ASKINAS, MITCHEL	SELECT SECTOR SPDR TRUST THE FINANC S	-11,640.00	50.00	12.00	38.00	85.0	32.30
01/26/2018	QGW031502	ASKINAS, MITCHEL	FIRST TR EXCHANGE TRADED FD VI DORS B	3,886.99	50.00	12.00	38.00	85.0	32.30
01/26/2018	QGW031502	ASKINAS, MITCHEL	FIRST TR EXCHANGE TRADED FD III RIV B	2,908.24	50.00	12.00	38.00	85.0	32.30
01/26/2018	QGW031502	ASKINAS, MITCHEL	SPDR INDEX SHS FDS S&P EMERGING MAR B	3,894.32	50.00	12.00	38.00	85.0	32.30
* STOCKS SUBTOTAL *				\$-12,770.16	\$450.00	\$108.00	\$342.00		\$290.70
PRODUCT CATEGORY: MISCELLANEOUS									
01/31/2018	VARIOUS, BULL	PERSHING	B	0.00	51.00	0.00	51.00	85.0	43.35
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$51.00	\$0.00	\$51.00		\$43.35
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/22/2018	QGW031866	STRAZZA, RICHARD	JP MORGAN U.S. EQUITY FUND CLASS C B	0.00	84.10	0.00	84.10	85.0	71.49
01/22/2018	QGW031866	STRAZZA, RICHARD	OPPENHEIMERFUNDS 68380L308 B	0.00	19.34	0.00	19.34	85.0	16.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$103.44	\$0.00	\$103.44		\$87.93
*** BROKER TRANSACTIONS TOTAL ***				\$-970.16	\$804.44	\$144.00	\$660.44		\$561.38

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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$561.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-237.19
FINRA Assessment	\$-0.94
SIPC Assessment	\$-1.88

Check Amount	\$293.37
Year-to-date Earnings	\$293.37