

STATEMENT

February 20, 2018

MALVIN JOHNSON - LPCM

BROKER #: 3036

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	---------	-------------------	--------------------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/17/2018 # ROMAIN, PATRICK	IAFEES		-344.43	233.72	90.0	0.00	210.35	0.00	210.35
* MUTUAL FUNDS SUBTOTAL *			\$-344.43	\$233.72		\$0.00	\$210.35	\$0.00	\$210.35

PRODUCT CATEGORY: RIA

02/01/0018 #529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20		32,135.16	-16.07	100.0	0.00	-16.07	0.00	-16.07
02/01/0018 #529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20		78,699.28	-39.35	100.0	0.00	-39.35	0.00	-39.35
02/01/0018 #514311 CARTER, IAN	LPCM AGGR 40/40/20		234,552.35	-113.37	100.0	0.00	-113.37	0.00	-113.37
02/01/0018 #514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20		72,724.24	-36.36	100.0	0.00	-36.36	0.00	-36.36
02/01/0018 #514160 CARTER, JOANNE	LPCM AGGR 40/40/20		189,774.96	-91.72	100.0	0.00	-91.72	0.00	-91.72
02/01/0018 #531251 CARTER, JOANNE	LPCM AGGR 40/40/20		262,026.61	-126.65	100.0	0.00	-126.65	0.00	-126.65
02/01/0018 #514122 WESTON, RAYMOND	LPCM AGGR 40/40/20		10.80	-0.01	100.0	0.00	-0.01	0.00	-0.01
02/01/0018 #528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20		102,850.56	-51.43	100.0	0.00	-51.43	0.00	-51.43
02/01/0018 #514151 GEORGE, CHERYL	LPCM CONS 20/20/60		67,852.40	-33.93	100.0	0.00	-33.93	0.00	-33.93
02/01/0018 #514331 ABLE, ERIC H WESTON	LPCM CONS 20/20/60		114,365.78	-57.18	100.0	0.00	-57.18	0.00	-57.18
02/01/0018 #514285 PALMER TRUST,	LPCM CONS 20/20/60		66,149.85	-33.07	100.0	0.00	-33.07	0.00	-33.07
02/01/0018 #514108 PALMER TRUST,	LPCM CONS 20/20/60		37,898.49	-18.95	100.0	0.00	-18.95	0.00	-18.95
02/01/0018 #514093 VENOSDEL, HELEN	LPCM CONS 20/20/60		137,897.92	-68.95	100.0	0.00	-68.95	0.00	-68.95
02/01/0018 #529295 BJORNSEN, LONA	LPCM CONS 20/20/60		238,810.27	-119.41	100.0	0.00	-119.41	0.00	-119.41
02/01/0018 #514295 POUNCEY, HARRY	LPCM CONS 20/20/60		21,977.83	-10.99	100.0	0.00	-10.99	0.00	-10.99
02/01/0018 #514122 WESTON, RAYMOND	LPCM CONS 20/20/60		29,118.93	-14.56	100.0	0.00	-14.56	0.00	-14.56
02/01/0018 #514200 WESTON, RAYMOND	LPCM CONS 20/20/60		63,875.80	-31.94	100.0	0.00	-31.94	0.00	-31.94
02/01/0018 #514203 WESTON, RAYMOND	LPCM CONS 20/20/60		61,586.00	-30.79	100.0	0.00	-30.79	0.00	-30.79
02/01/0018 #514072 SIMMONS, SHARON	LPCM CONS 20/20/60		112,961.06	-56.48	100.0	0.00	-56.48	0.00	-56.48
02/01/0018 #514148 GEORGE, DAVID	LPCM MOD 30/30/40		120,107.67	-60.05	100.0	0.00	-60.05	0.00	-60.05
02/01/0018 #514222 GEORGE, DAVID	LPCM MOD 30/30/40		40,584.45	-20.29	100.0	0.00	-20.29	0.00	-20.29
02/01/0018 #514258 EDWARDS, ELLEN	LPCM MOD 30/30/40		45,335.74	-22.67	100.0	0.00	-22.67	0.00	-22.67
02/01/0018 #514316 EDWARDS, ELLEN	LPCM MOD 30/30/40		75,142.21	-37.57	100.0	0.00	-37.57	0.00	-37.57
02/01/0018 #514082 PORTER, FANNIE	LPCM MOD 30/30/40		138,884.13	-69.44	100.0	0.00	-69.44	0.00	-69.44

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/0018	#514069 HORNE, FRANCES	LPCM MOD 30/30/40	403,023.34	-164.57	100.0	0.00	-164.57	0.00	-164.57
02/01/0018	#514318 HORNE, FRANCES	LPCM MOD 30/30/40	490,530.73	-200.30	100.0	0.00	-200.30	0.00	-200.30
02/01/0018	#514155 LAMBERT, INEZ	LPCM MOD 30/30/40	32,510.28	-15.71	100.0	0.00	-15.71	0.00	-15.71
02/01/0018	#514198 LAMBERT, INEZ	LPCM MOD 30/30/40	168,796.67	-81.59	100.0	0.00	-81.59	0.00	-81.59
02/01/0018	#514087 WALKER, JERRY	LPCM MOD 30/30/40	231,956.84	-112.11	100.0	0.00	-112.11	0.00	-112.11
02/01/0018	#514260 WALKER, JERRY	LPCM MOD 30/30/40	143,887.92	-69.55	100.0	0.00	-69.55	0.00	-69.55
02/01/0018	#513049 HASTINGS, JOANNE	LPCM MOD 30/30/40	158,979.34	-76.84	100.0	0.00	-76.84	0.00	-76.84
02/01/0018	#513051 HASTINGS, JOHN	LPCM MOD 30/30/40	121,707.78	-58.83	100.0	0.00	-58.83	0.00	-58.83
02/01/0018	#514175 MONTGOMERY, PATRICE	LPCM MOD 30/30/40	150,741.82	-75.37	100.0	0.00	-75.37	0.00	-75.37
02/01/0018	#514132 RAMSEUR, PATRICIA	LPCM MOD 30/30/40	112,104.28	-56.05	100.0	0.00	-56.05	0.00	-56.05
02/01/0018	#552860 POUNCEY, SONJA	LPCM MOD 30/30/40	104,896.06	-52.45	100.0	0.00	-52.45	0.00	-52.45
02/01/0018	#514250 POUNCEY, SONJA	LPCM MOD 30/30/40	99,876.47	-49.94	100.0	0.00	-49.94	0.00	-49.94
02/01/0018	#514169 WALKER, SUSAN	LPCM MOD 30/30/40	13,152.26	-6.36	100.0	0.00	-6.36	0.00	-6.36
02/01/0018	#514274 WALKER, SUSAN	LPCM MOD 30/30/40	68,343.71	-33.03	100.0	0.00	-33.03	0.00	-33.03
02/01/0018	#568135 TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	49,761.63	-24.88	100.0	0.00	-24.88	0.00	-24.88
02/01/2018	#514311 CARTER, IAN	DO NOT SELL SECURITIES	158,394.20	-46.20	100.0	0.00	-46.20	0.00	-46.20
02/01/2018	#514160 CARTER, JOANNE	DO NOT SELL SECURITIES	118,092.27	-34.44	100.0	0.00	-34.44	0.00	-34.44
02/01/2018	#514258 EDWARDS, ELLEN	DO NOT SELL SECURITIES	12,715.87	-3.71	100.0	0.00	-3.71	0.00	-3.71
02/01/2018	#514316 EDWARDS, ELLEN	DO NOT SELL SECURITIES	12,228.62	-3.57	100.0	0.00	-3.57	0.00	-3.57
02/01/2018	#514151 GEORGE, CHERYL	DO NOT SELL SECURITIES	42,262.59	-12.33	100.0	0.00	-12.33	0.00	-12.33
02/01/2018	#514148 GEORGE, DAVID	DO NOT SELL SECURITIES	14,495.08	-4.23	100.0	0.00	-4.23	0.00	-4.23
02/01/2018	#514069 HORNE, FRANCES	DO NOT SELL SECURITIES	78,858.00	-23.00	100.0	0.00	-23.00	0.00	-23.00
02/01/2018	#514318 HORNE, FRANCES	DO NOT SELL SECURITIES	42,467.17	-12.39	100.0	0.00	-12.39	0.00	-12.39
02/01/2018	#514175 MONTGOMERY, PATRICE	DO NOT SELL SECURITIES	3,747.01	-1.09	100.0	0.00	-1.09	0.00	-1.09
02/01/2018	#514285 PALMER TRUST,	DO NOT SELL SECURITIES	38,829.36	-11.33	100.0	0.00	-11.33	0.00	-11.33
02/01/2018	#514132 RAMSEUR, PATRICIA	DO NOT SELL SECURITIES	36,753.35	-10.72	100.0	0.00	-10.72	0.00	-10.72
02/01/2018	#514087 WALKER, JERRY	DO NOT SELL SECURITIES	103,577.65	-30.21	100.0	0.00	-30.21	0.00	-30.21
02/01/2018	#514203 WESTON, RAYMOND	DO NOT SELL SECURITIES	5,356.69	-1.56	100.0	0.00	-1.56	0.00	-1.56
02/01/2018	#514122 WESTON, RAYMOND	LPCM AGGR 40/40/20	10.80	0.01	90.0	0.00	0.01	0.00	0.01
02/01/2018	#514160 CARTER, JOANNE	LPCM AGGR 40/40/20	189,774.96	189.77	90.0	0.00	170.79	0.00	170.79
02/01/2018	#514199 KEENE, JEANIVIC	LPCM AGGR 40/40/20	72,724.24	96.97	90.0	0.00	87.27	0.00	87.27
02/01/2018	#514311 CARTER, IAN	LPCM AGGR 40/40/20	234,552.35	234.55	90.0	0.00	211.10	0.00	211.10
02/01/2018	#528098 GAY-ROBBINS, SEILA	LPCM AGGR 40/40/20	102,850.56	137.14	90.0	0.00	123.43	0.00	123.43
02/01/2018	#529749 EDWARDS, ELLEN	LPCM AGGR 40/40/20	78,699.28	91.81	90.0	0.00	82.63	0.00	82.63
02/01/2018	#529755 EDWARDS & ASSOCIATES	LPCM AGGR 40/40/20	32,135.16	37.49	90.0	0.00	33.74	0.00	33.74
02/01/2018	#531251 CARTER, JOANNE	LPCM AGGR 40/40/20	262,026.61	240.19	90.0	0.00	216.17	0.00	216.17

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514072	SIMMONS, SHARON	LPCM CONS 20/20/60	112,961.06	164.73	90.0	0.00	148.26	148.26
02/01/2018	#514093	VENOSDEL, HELEN	LPCM CONS 20/20/60	137,897.92	160.88	90.0	0.00	144.79	144.79
02/01/2018	#514108	PALMER TRUST,	LPCM CONS 20/20/60	37,898.49	50.53	90.0	0.00	45.48	45.48
02/01/2018	#514122	WESTON, RAYMOND	LPCM CONS 20/20/60	29,118.93	38.83	90.0	0.00	34.95	34.95
02/01/2018	#514151	GEORGE, CHERYL	LPCM CONS 20/20/60	67,852.40	79.16	90.0	0.00	71.24	71.24
02/01/2018	#514200	WESTON, RAYMOND	LPCM CONS 20/20/60	63,875.80	93.15	90.0	0.00	83.84	83.84
02/01/2018	#514203	WESTON, RAYMOND	LPCM CONS 20/20/60	61,586.00	89.81	90.0	0.00	80.83	80.83
02/01/2018	#514285	PALMER TRUST,	LPCM CONS 20/20/60	66,149.85	88.20	90.0	0.00	79.38	79.38
02/01/2018	#514295	POUNCEY, HARRY	LPCM CONS 20/20/60	21,977.83	32.96	90.0	0.00	29.66	29.66
02/01/2018	#514331	ABLE, ERIC H WESTON	LPCM CONS 20/20/60	114,365.78	152.49	90.0	0.00	137.24	137.24
02/01/2018	#529295	BJORNSSEN, LONA	LPCM CONS 20/20/60	238,810.27	218.91	90.0	0.00	197.02	197.02
02/01/2018	#513049	HASTINGS, JOANNE	LPCM MOD 30/30/40	158,979.34	158.98	90.0	0.00	143.08	143.08
02/01/2018	#513051	HASTINGS, JOHN	LPCM MOD 30/30/40	121,707.78	121.71	90.0	0.00	109.54	109.54
02/01/2018	#514069	HORNE, FRANCES	LPCM MOD 30/30/40	403,023.34	369.44	90.0	0.00	332.50	332.50
02/01/2018	#514082	PORTER, FANNIE	LPCM MOD 30/30/40	138,884.13	127.31	90.0	0.00	114.58	114.58
02/01/2018	#514087	WALKER, JERRY	LPCM MOD 30/30/40	231,956.84	231.96	90.0	0.00	208.76	208.76
02/01/2018	#514132	RAMSEUR, PATRICIA	LPCM MOD 30/30/40	112,104.28	168.16	90.0	0.00	151.34	151.34
02/01/2018	#514148	GEORGE, DAVID	LPCM MOD 30/30/40	120,107.67	140.12	90.0	0.00	126.11	126.11
02/01/2018	#514155	LAMBERT, INEZ	LPCM MOD 30/30/40	32,510.28	37.93	90.0	0.00	34.14	34.14
02/01/2018	#514169	WALKER, SUSAN	LPCM MOD 30/30/40	13,152.26	13.15	90.0	0.00	11.84	11.84
02/01/2018	#514175	MONTGOMERY, PATRICE	LPCM MOD 30/30/40	150,741.82	175.87	90.0	0.00	158.28	158.28
02/01/2018	#514198	LAMBERT, INEZ	LPCM MOD 30/30/40	168,796.67	196.93	90.0	0.00	177.24	177.24
02/01/2018	#514222	GEORGE, DAVID	LPCM MOD 30/30/40	40,584.45	47.35	90.0	0.00	42.62	42.62
02/01/2018	#514250	POUNCEY, SONJA	LPCM MOD 30/30/40	99,876.47	91.55	90.0	0.00	82.40	82.40
02/01/2018	#514258	EDWARDS, ELLEN	LPCM MOD 30/30/40	45,335.74	52.89	90.0	0.00	47.60	47.60
02/01/2018	#514260	WALKER, JERRY	LPCM MOD 30/30/40	143,887.92	143.89	90.0	0.00	129.50	129.50
02/01/2018	#514274	WALKER, SUSAN	LPCM MOD 30/30/40	68,343.71	68.34	90.0	0.00	61.51	61.51
02/01/2018	#514316	EDWARDS, ELLEN	LPCM MOD 30/30/40	75,142.21	87.67	90.0	0.00	78.90	78.90
02/01/2018	#514318	HORNE, FRANCES	LPCM MOD 30/30/40	490,530.73	449.65	90.0	0.00	404.69	404.69
02/01/2018	#552860	POUNCEY, SONJA	LPCM MOD 30/30/40	104,896.06	122.38	90.0	0.00	110.14	110.14
02/01/2018	#568135	TCA JOHNSON VAR	LPCM ULTRA CONS 10/10/80	49,761.63	37.32	90.0	0.00	33.59	33.59
02/01/2018	#514072	SIMMONS, SHARON	TCA LPCM FEES	146,475.17	213.61	100.0	0.00	213.61	213.61
02/01/2018	#514093	VENOSDEL, HELEN	TCA LPCM FEES	176,547.88	205.97	100.0	0.00	205.97	205.97
02/01/2018	#514295	POUNCEY, HARRY	TCA LPCM FEES	27,938.19	41.91	100.0	0.00	41.91	41.91
02/01/2018	#514331	ABLE, ERIC H WESTON	TCA LPCM FEES	399.11	0.53	100.0	0.00	0.53	0.53
02/01/2018	#514108	PALMER TRUST,	TCA LPCM FEES	42,455.79	56.61	100.0	0.00	56.61	56.61

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514122 WESTON, RAYMOND	TCA LPCM FEES	36,837.55	49.12	100.0	0.00	49.12	0.00	49.12
02/01/2018	#514151 GEORGE, CHERYL	TCA LPCM FEES	75,910.06	88.56	100.0	0.00	88.56	0.00	88.56
02/01/2018	#514200 WESTON, RAYMOND	TCA LPCM FEES	71,471.29	104.23	100.0	0.00	104.23	0.00	104.23
02/01/2018	#514203 WESTON, RAYMOND	TCA LPCM FEES	77,871.72	113.57	100.0	0.00	113.57	0.00	113.57
02/01/2018	#514285 PALMER TRUST,	TCA LPCM FEES	73,959.18	98.61	100.0	0.00	98.61	0.00	98.61
02/01/2018	#514331 ABLE, ERIC H WESTON	TCA LPCM FEES	127,641.00	170.19	100.0	0.00	170.19	0.00	170.19
02/01/2018	#529295 BJORNSEN, LONA	TCA LPCM FEES	266,579.34	244.37	100.0	0.00	244.37	0.00	244.37
02/01/2018	#513049 HASTINGS, JOANNE	TCA LPCM FEES	240,342.65	240.34	100.0	0.00	240.34	0.00	240.34
02/01/2018	#513051 HASTINGS, JOHN	TCA LPCM FEES	177,061.21	177.06	100.0	0.00	177.06	0.00	177.06
02/01/2018	#514069 HORNE, FRANCES	TCA LPCM FEES	574,752.40	526.85	100.0	0.00	526.85	0.00	526.85
02/01/2018	#514082 PORTER, FANNIE	TCA LPCM FEES	198,841.20	182.27	100.0	0.00	182.27	0.00	182.27
02/01/2018	#514087 WALKER, JERRY	TCA LPCM FEES	329,106.10	329.10	100.0	0.00	329.10	0.00	329.10
02/01/2018	#514132 RAMSEUR, PATRICIA	TCA LPCM FEES	160,465.90	240.70	100.0	0.00	240.70	0.00	240.70
02/01/2018	#514148 GEORGE, DAVID	TCA LPCM FEES	163,403.52	190.64	100.0	0.00	190.64	0.00	190.64
02/01/2018	#514155 LAMBERT, INEZ	TCA LPCM FEES	46,416.45	54.15	100.0	0.00	54.15	0.00	54.15
02/01/2018	#514169 WALKER, SUSAN	TCA LPCM FEES	18,691.75	18.69	100.0	0.00	18.69	0.00	18.69
02/01/2018	#514175 MONTGOMERY, PATRICE	TCA LPCM FEES	217,186.53	253.38	100.0	0.00	253.38	0.00	253.38
02/01/2018	#514198 LAMBERT, INEZ	TCA LPCM FEES	238,230.66	277.94	100.0	0.00	277.94	0.00	277.94
02/01/2018	#514222 GEORGE, DAVID	TCA LPCM FEES	56,978.45	66.47	100.0	0.00	66.47	0.00	66.47
02/01/2018	#514250 POUNCEY, SONJA	TCA LPCM FEES	140,442.26	128.74	100.0	0.00	128.74	0.00	128.74
02/01/2018	#514258 EDWARDS, ELLEN	TCA LPCM FEES	63,888.60	74.54	100.0	0.00	74.54	0.00	74.54
02/01/2018	#514260 WALKER, JERRY	TCA LPCM FEES	180,024.56	180.02	100.0	0.00	180.02	0.00	180.02
02/01/2018	#514274 WALKER, SUSAN	TCA LPCM FEES	95,998.64	96.00	100.0	0.00	96.00	0.00	96.00
02/01/2018	#514316 EDWARDS, ELLEN	TCA LPCM FEES	106,285.16	124.00	100.0	0.00	124.00	0.00	124.00
02/01/2018	#514318 HORNE, FRANCES	TCA LPCM FEES	683,427.95	626.48	100.0	0.00	626.48	0.00	626.48
02/01/2018	#552860 POUNCEY, SONJA	TCA LPCM FEES	157,923.09	184.24	100.0	0.00	184.24	0.00	184.24
02/01/2018	#514108 PALMER TRUST,	TCA LPCM FEES	361.26	0.48	100.0	0.00	0.48	0.00	0.48
02/01/2018	#514122 WESTON, RAYMOND	TCA LPCM FEES	179.31	0.24	100.0	0.00	0.24	0.00	0.24
02/01/2018	#514160 CARTER, JOANNE	TCA LPCM FEES	221,858.60	221.86	100.0	0.00	221.86	0.00	221.86
02/01/2018	#514199 KEENE, JEANIVIC	TCA LPCM FEES	85,555.49	114.07	100.0	0.00	114.07	0.00	114.07
02/01/2018	#514311 CARTER, IAN	TCA LPCM FEES	275,048.57	275.05	100.0	0.00	275.05	0.00	275.05
02/01/2018	#528098 GAY-ROBBINS, SEILA	TCA LPCM FEES	120,534.86	160.71	100.0	0.00	160.71	0.00	160.71
02/01/2018	#529295 BJORNSEN, LONA	TCA LPCM FEES	1,432.21	1.31	100.0	0.00	1.31	0.00	1.31
02/01/2018	#529749 EDWARDS, ELLEN	TCA LPCM FEES	92,252.79	107.63	100.0	0.00	107.63	0.00	107.63
02/01/2018	#529755 EDWARDS & ASSOCIATES	TCA LPCM FEES	37,684.27	43.97	100.0	0.00	43.97	0.00	43.97
02/01/2018	#531251 CARTER, JOANNE	TCA LPCM FEES	306,935.17	281.36	100.0	0.00	281.36	0.00	281.36

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
02/01/2018	#514311 CARTER, IAN	TCA PLATFORM FEE	103,029.43	-30.05	100.0	0.00	-30.05	0.00	-30.05
02/01/2018	#514093 VENOSDEL, HELEN	TCA PLATFORM FEE	451.54	-0.13	100.0	0.00	-0.13	0.00	-0.13
02/01/2018	#630932 TCA JOHNSON VAR	TCA PLATFORM FEE	14,989.77	-4.37	100.0	0.00	-4.37	0.00	-4.37
02/01/2018	#514108 PALMER TRUST,	VL AGGRESSIVE	361.26	-0.11	100.0	0.00	-0.11	0.00	-0.11
02/01/2018	#514122 WESTON, RAYMOND	VL AGGRESSIVE	179.31	-0.05	100.0	0.00	-0.05	0.00	-0.05
02/01/2018	#514160 CARTER, JOANNE	VL AGGRESSIVE	221,858.60	-64.71	100.0	0.00	-64.71	0.00	-64.71
02/01/2018	#514199 KEENE, JEANIVIC	VL AGGRESSIVE	85,555.49	-24.95	100.0	0.00	-24.95	0.00	-24.95
02/01/2018	#514311 CARTER, IAN	VL AGGRESSIVE	275,048.57	-80.22	100.0	0.00	-80.22	0.00	-80.22
02/01/2018	#528098 GAY-ROBBINS, SEILA	VL AGGRESSIVE	120,534.86	-35.16	100.0	0.00	-35.16	0.00	-35.16
02/01/2018	#529295 BJORNSEN, LONA	VL AGGRESSIVE	1,432.21	-0.42	100.0	0.00	-0.42	0.00	-0.42
02/01/2018	#529749 EDWARDS, ELLEN	VL AGGRESSIVE	92,252.79	-26.91	100.0	0.00	-26.91	0.00	-26.91
02/01/2018	#529755 EDWARDS & ASSOCIATES	VL AGGRESSIVE	37,684.27	-10.99	100.0	0.00	-10.99	0.00	-10.99
02/01/2018	#531251 CARTER, JOANNE	VL AGGRESSIVE	306,935.17	-89.52	100.0	0.00	-89.52	0.00	-89.52
02/01/2018	#514108 PALMER TRUST,	VL CONSERV	42,455.79	-12.38	100.0	0.00	-12.38	0.00	-12.38
02/01/2018	#514122 WESTON, RAYMOND	VL CONSERV	36,837.55	-10.74	100.0	0.00	-10.74	0.00	-10.74
02/01/2018	#514151 GEORGE, CHERYL	VL CONSERV	75,910.06	-22.14	100.0	0.00	-22.14	0.00	-22.14
02/01/2018	#514200 WESTON, RAYMOND	VL CONSERV	71,471.29	-20.71	100.0	0.00	-20.71	0.00	-20.71
02/01/2018	#514203 WESTON, RAYMOND	VL CONSERV	77,871.72	-22.71	100.0	0.00	-22.71	0.00	-22.71
02/01/2018	#514285 PALMER TRUST,	VL CONSERV	73,959.18	-21.57	100.0	0.00	-21.57	0.00	-21.57
02/01/2018	#514331 ABLE, ERIC H WESTON	VL CONSERV	127,641.00	-37.23	100.0	0.00	-37.23	0.00	-37.23
02/01/2018	#529295 BJORNSEN, LONA	VL CONSERV	266,579.34	-77.75	100.0	0.00	-77.75	0.00	-77.75
02/01/2018	#514072 SIMMONS, SHARON	VL INCOME	146,475.17	-42.72	100.0	0.00	-42.72	0.00	-42.72
02/01/2018	#514093 VENOSDEL, HELEN	VL INCOME	176,547.88	-51.49	100.0	0.00	-51.49	0.00	-51.49
02/01/2018	#514295 POUNCEY, HARRY	VL INCOME	27,938.19	-8.15	100.0	0.00	-8.15	0.00	-8.15
02/01/2018	#514331 ABLE, ERIC H WESTON	VL INCOME	399.11	-0.12	100.0	0.00	-0.12	0.00	-0.12
02/01/2018	#513049 HASTINGS, JOANNE	VL MODERATE	240,342.65	-70.10	100.0	0.00	-70.10	0.00	-70.10
02/01/2018	#513051 HASTINGS, JOHN	VL MODERATE	177,061.21	-51.64	100.0	0.00	-51.64	0.00	-51.64
02/01/2018	#514069 HORNE, FRANCES	VL MODERATE	574,752.40	-167.64	100.0	0.00	-167.64	0.00	-167.64
02/01/2018	#514082 PORTER, FANNIE	VL MODERATE	198,841.20	-58.00	100.0	0.00	-58.00	0.00	-58.00
02/01/2018	#514087 WALKER, JERRY	VL MODERATE	329,106.10	-95.99	100.0	0.00	-95.99	0.00	-95.99
02/01/2018	#514132 RAMSEUR, PATRICIA	VL MODERATE	160,465.90	-46.80	100.0	0.00	-46.80	0.00	-46.80
02/01/2018	#514148 GEORGE, DAVID	VL MODERATE	163,403.52	-47.66	100.0	0.00	-47.66	0.00	-47.66
02/01/2018	#514155 LAMBERT, INEZ	VL MODERATE	46,416.45	-13.54	100.0	0.00	-13.54	0.00	-13.54
02/01/2018	#514169 WALKER, SUSAN	VL MODERATE	18,691.75	-5.45	100.0	0.00	-5.45	0.00	-5.45
02/01/2018	#514175 MONTGOMERY, PATRICE	VL MODERATE	217,186.53	-63.35	100.0	0.00	-63.35	0.00	-63.35
02/01/2018	#514198 LAMBERT, INEZ	VL MODERATE	238,230.66	-69.48	100.0	0.00	-69.48	0.00	-69.48

COMMISSION STATEMENT for MALVIN JOHNSON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: RIA									
02/01/2018	#514222	GEORGE, DAVID	VL MODERATE	56,978.45	-16.62	100.0	0.00	-16.62	-16.62
02/01/2018	#514250	POUNCEY, SONJA	VL MODERATE	140,442.26	-40.96	100.0	0.00	-40.96	-40.96
02/01/2018	#514258	EDWARDS, ELLEN	VL MODERATE	63,888.60	-18.63	100.0	0.00	-18.63	-18.63
02/01/2018	#514260	WALKER, JERRY	VL MODERATE	180,024.56	-52.51	100.0	0.00	-52.51	-52.51
02/01/2018	#514274	WALKER, SUSAN	VL MODERATE	95,998.64	-28.00	100.0	0.00	-28.00	-28.00
02/01/2018	#514316	EDWARDS, ELLEN	VL MODERATE	106,285.16	-31.00	100.0	0.00	-31.00	-31.00
02/01/2018	#514318	HORNE, FRANCES	VL MODERATE	683,427.95	-199.33	100.0	0.00	-199.33	-199.33
02/01/2018	#552860	POUNCEY, SONJA	VL MODERATE	157,923.09	-46.06	100.0	0.00	-46.06	-46.06
* RIA SUBTOTAL *				\$22,408,223.62	\$7,354.10	\$0.00	\$6,850.11	\$0.00	\$6,850.11
*** BROKER TRANSACTIONS TOTAL ***				\$22,407,879.19	\$7,587.82	\$0.00	\$7,060.46	\$0.00	\$7,060.46

ADJUSTMENTS

02/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
02/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,060.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,561.46
--------------	------------