

COMMISSION STATEMENT

August 31, 2017

BILLY BEHRENS
183 GRIFFEN AVENUE

BROKER #: 72
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/17/2017	75501373 BANDLER, BABETTE	NRG ENERGY INC	S	51,240.00	55.00	0.00	55.00	50.0	27.50
08/04/2017	75501378 BANDLER, LIONEL	CF INDUSTRIES HOLDINGS INC	S	15,430.00	55.00	0.00	55.00	50.0	27.50
08/17/2017	75501378 BANDLER, LIONEL	EXELON CORPORATION	S	76,120.00	55.00	0.00	55.00	50.0	27.50
08/02/2017	75501468 HAUSHALTER, HARRY	CAR CHARGING GROUP INC COM	S	41.50	0.00	0.00	0.00	50.0	0.00
08/02/2017	75501468 HAUSHALTER, HARRY	CDEX INC CLASS A COM	S	0.03	0.00	0.00	0.00	50.0	0.00
08/02/2017	75501468 HAUSHALTER, HARRY	CENTRAL WIRELESS INC	S	0.00	0.00	0.00	0.00	50.0	0.00
08/02/2017	75501468 HAUSHALTER, HARRY	CEREPLAST INC	S	3.60	0.00	0.00	0.00	50.0	0.00
08/02/2017	75501468 HAUSHALTER, HARRY	CROWDGATHER INC	S	76.00	20.00	0.00	20.00	50.0	0.00
08/02/2017	75501468 HAUSHALTER, HARRY	ENABLE HOLDINGS INC	S	26.00	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$142,937.13	\$185.00	\$0.00	\$185.00		\$82.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/09/2017	75501457 CANIZARES, JORGE	MFS VALUE FUND-A	B	0.00	0.01	0.00	0.01	50.0	0.00
08/09/2017	75501472 SKROCKI, RICHARD	LORD ABBETT NEW JERSEY TAX FREE INC B		0.00	9.84	0.00	9.84	50.0	4.92
08/09/2017	75501489 CONLON, ITA	FRANKLIN NEW YORK TAX-FREE INCOME F B		0.00	16.34	0.00	16.34	50.0	8.17
08/09/2017	75501489 CONLON, ITA	ROCHESTER FUND MUNICIPALS A	B	0.00	25.57	0.00	25.57	50.0	12.79
08/09/2017	75501492 VECKER, LAWRENCE	**VOYA SER FD INC MIDCAP	B	0.00	3.09	0.00	3.09	50.0	1.55
08/09/2017	75501530 GREENE, HADLEY	LORD ABBETT SHORT DURATION INCOME F B		0.00	21.45	0.00	21.45	50.0	10.73
08/09/2017	75501530 GREENE, HADLEY	LORD ABBETT SECS TR VALUE OPPTYS FD B		0.00	5.99	0.00	5.99	50.0	3.00
08/09/2017	75501909 DERBY, ROSEMARIE	PUTNAM NEW OPPORTUNITIES FUND CLASS B		0.00	0.84	0.00	0.84	50.0	0.00
08/09/2017	75501931 MELANSON, MARK	BOND-DEBENTURE FUND CLASS A	B	0.00	3.45	0.00	3.45	50.0	1.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$86.58	\$0.00	\$86.58		\$42.89
*** BROKER TRANSACTIONS TOTAL ***				\$142,937.13	\$271.58	\$0.00	\$271.58		\$125.39

BROKER COMMISSION TOTALS

Broker Transactions	\$125.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.34
SIPC Assessment	\$-0.68

Check Amount	\$124.37
Year-to-date Earnings	\$1,280.22