

COMMISSION STATEMENT

August 31, 2017

R150-RICHARD RODRIGUEZ, JR.

BROKER #: R150

BRANCH: TADA WEALTH ADVISORS

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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|------------|---------------------------|--|----------------------|---------------------|-----------------------|-------------------|-------|----------------------|--|--|
| | | DDOWED MD1NG | 1 CELLONG | | | | | | | |
| | BROKER TRANSACTIONS | | | | | | | | | |
| PRODUCT CA | TEGORY: PRIVATE PLACEMENT | | | | | | | | | |
| 07/07/2017 | KLING, JANICE | HPI FUND V | 50,000.00 | 3,000.00 | 0.00 | 3,000.00 | 0.0 | 0.00 | | |
| | | * PRIVATE PLACEMENT SUBTOTAL * | \$50,000.00 | \$3,000.00 | \$0.00 | \$3,000.00 | | \$0.00 | | |
| | | *** BROKER TRANSACTIONS TOTAL *** | \$50,000.00 | \$3,000.00 | \$0.00 | \$3,000.00 | | \$0.00 | | |
| | | | | | <u> </u> | BROKER COMMI | ssion | TOTALS | | |
| | | | | | Broke | r Transactions | | \$0.00 | | |
| | | | | | Spli | t Transactions | | \$0.00 | | |
| | | | | | Override Transactions | | | \$0.00 | | |
| | | | | | Adjustments | | | \$0.00 | | |
| | | | | | Payroll Draw | | | \$0.00 | | |
| | | | | | Base Salary | | | \$0.00 | | |
| | | | | | Prior Period Balance | | | \$0.00 | | |
| | | | | | FI | NRA Assessment | | \$0.00 | | |
| | | | | | S | IPC Assessment | | \$0.00 | | |
| | | Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD | | | 1 | Balance Forward | 1 | \$0.00 | | |
| | | | | | Year-to-date Gross | | | \$10,550.00 | | |
| | | | | | Year-to | o-date Earnings | 5 | \$0.00 | | |