



COMMISSION STATEMENT

July 16, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209	FIDELITY ADV MF 040813 BUY 8 Units @20.9000	175.00	5.25	0.00	5.25	50.0	2.63
07/02/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344	FIDELITY ADV MF 040813 BUY 8 Units @20.9000	175.00	5.25	0.00	5.25	50.0	2.63
07/02/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868	FIDELITY ADV MF 040813 BUY 8 Units @20.9000	175.00	5.25	0.00	5.25	50.0	2.63
* MUTUAL FUNDS SUBTOTAL *			\$525.00	\$15.75	\$0.00	\$15.75		\$7.89
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/16/2018	***-**-160K - DIGITAL INC, GM ACCOUNT #: 837763	MASS MUTUAL VA BUY 1 Units @0.0000	0.00	22.39	0.00	22.39	50.0	11.20
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$22.39	\$0.00	\$22.39		\$11.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/09/2018	***-**-0250 - RUBINO, ACCOUNT #: 00701378648	FA 529 COLLEGE PORT-CL A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	50.0	0.09
07/09/2018	***-**-615P - AMBRUSCO, JOHN ACCOUNT #: 00625334479	FA 529 PORT 2025-CL A BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	50.0	0.61
07/09/2018	***-**-615P - AMBRUSCO, JOHN ACCOUNT #: 01453260323	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	50.0	0.42
07/09/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01468375868	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	3.76	0.00	3.76	50.0	1.88
07/09/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01469206344	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	3.66	0.00	3.66	50.0	1.83
07/09/2018	***-**-185P - SOKOLOWSKA, ACCOUNT #: 01487146209	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	50.0	0.46
06/30/2018	***-**-33IN - PIROLI, DONNA ACCOUNT #: 03308118319	GLOBAL FUND A BUY 0 Units @0.0000	0.00	9.26	0.00	9.26	50.0	4.63
06/30/2018	***-**-33IN - PIROLI, DONNA ACCOUNT #: 05003614820	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	7.34	0.00	7.34	50.0	3.67
07/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	50.0	0.19



COMMISSION STATEMENT

July 16, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	50.0	0.19
07/16/2018	***-**-8866 - PJURA, ANNE T	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	50.0	0.63
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	4.33	0.00	4.33	50.0	2.17
07/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	4.32	0.00	4.32	50.0	2.16
07/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	3.54	0.00	3.54	50.0	1.77
07/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	20.26	0.00	20.26	50.0	10.13
07/16/2018	***-**-5545 - AMBRUSCO, DENISE ACCOUNT #: 32279280	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	193.24	0.00	193.24	50.0	96.62
07/16/2018	***-**-388H - CARSON, RICHARD	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	43.99	0.00	43.99	50.0	22.00
07/16/2018	***-**-388H - CARSON, RICHARD	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	16.73	0.00	16.73	50.0	8.37
07/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	25.17	0.00	25.17	50.0	12.59
07/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	25.29	0.00	25.29	50.0	12.65
07/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	50.0	0.22
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.90	0.00	10.90	50.0	5.45
07/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	9.37	0.00	9.37	50.0	4.69
07/16/2018	***-**-1058 - LIPTON, DAVID	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	307.90	0.00	307.90	50.0	153.95
07/16/2018	***-**-1058 - LIPTON, DAVID	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	72.08	0.00	72.08	50.0	36.04



COMMISSION STATEMENT

July 16, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	16.25	0.00	16.25	50.0	8.13
07/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	16.34	0.00	16.34	50.0	8.17
07/16/2018	***-**-2319 - MARTIN, DIANE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	11.08	0.00	11.08	50.0	5.54
07/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	50.0	0.20
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.25	0.00	10.25	50.0	5.13
07/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	16.47	0.00	16.47	50.0	8.24
07/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	16.57	0.00	16.57	50.0	8.29
07/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	50.0	0.12
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	9.44	0.00	9.44	50.0	4.72
07/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	50.0	0.13
07/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	50.0	0.13
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	8.61	0.00	8.61	50.0	4.31
07/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	4.98	0.00	4.98	50.0	2.49
07/16/2018	***-**-977I - RUSSELL, TIMOTHY	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	14.30	0.00	14.30	50.0	7.15
07/16/2018	***-**-952I - SMART, BARBARA	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	14.41	0.00	14.41	50.0	7.21
07/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	6.18	0.00	6.18	50.0	3.09



COMMISSION STATEMENT

July 16, 2018

JOHN AMBRUSCO
317 Pequot Avenue
PO Box 528
Southport, CT 06890

BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	10.48	0.00	10.48	50.0	5.24
07/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	1.26	0.00	1.26	50.0	0.63
07/16/2018	***-**-507R - COMPANY,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	44.35	0.00	44.35	50.0	22.18
07/16/2018	***-**-001I -	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	15.62	0.00	15.62	50.0	7.81
07/16/2018	***-**-837I - RUSSELL, GEORGE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	42.21	0.00	42.21	50.0	21.11
07/16/2018	***-**-837I - RUSSELL, GEORGE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	7.60	0.00	7.60	50.0	3.80
07/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.71	0.00	0.71	50.0	0.36
07/16/2018	***-**-615P - AMBRUSCO, JOHN	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	12.27	0.00	12.27	50.0	6.14
07/16/2018	***-**-765I - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	50.0	0.23
07/16/2018	***-**-765H - CHERUBINI,	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	50.0	0.24
07/16/2018	***-**-866I - PJURA, ANNE	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	26.55	0.00	26.55	50.0	13.28
07/16/2018	***-**-1704 - CAPPETTA ACCOUNT #: IRK121845	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	49.96	0.00	49.96	50.0	24.98
06/30/2018	***-**-88IN - CARSON, RICHARD ACCOUNT #: 02322977898	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	24.68	0.00	24.68	50.0	12.34
06/30/2018	***-**-88IN - CARSON, RICHARD ACCOUNT #: 02220639980	U.S. GOVERNMENT TRUST C BUY 0 Units @0.0000	0.00	10.98	0.00	10.98	50.0	5.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,160.33	\$0.00	\$1,160.33		\$580.29
*** BROKER TRANSACTIONS TOTAL ***			\$525.00	\$1,198.47	\$0.00	\$1,198.47		\$599.38



BROKER #: 468
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$599.38
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$98.78
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$698.16
						Year-to-date Earnings		\$3,006.58