

# COMMISSION STATEMENT

July 31, 2018

ELLIS DPM

BROKER #:

BRANCH: DPM

, TX

## COMMISSION STATEMENT for ELLIS DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/13/2018	676392908	SHAWNEE SPECIALTIES MF	FIDELITY CONTRA FUND	S	-14,646.20	0.00	5.00	-5.00	0.00
07/13/2018	676392908	SHAWNEE SPECIALTIES MF	FIDELITY LOW PRICED STOCK FUND	S	-14,838.35	0.00	5.00	-5.00	0.00
07/13/2018	676392908	SHAWNEE SPECIALTIES MF	PIMCO RAE FUNDAMENTAL PLUS FD I	S	-19,991.78	0.00	5.00	-5.00	0.00
07/13/2018	676392908	SHAWNEE SPECIALTIES MF	PIMCO STOCKSPLUS SMALL FD I	S	-11,790.64	0.00	5.00	-5.00	0.00
07/13/2018	676392908	SHAWNEE SPECIALTIES MF	VANGUARD 500 INDEX FUND	S	-13,071.15	0.00	5.00	-5.00	0.00
07/13/2018	676392908	SHAWNEE SPECIALTIES MF	VANGUARD SMALL CAP STOCK FD	S	-13,319.84	0.00	5.00	-5.00	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$-87,657.96</b>	<b>\$0.00</b>	<b>\$30.00</b>	<b>\$-30.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
07/16/2018	a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 676392908	S	0.00	10.00	0.00	10.00	0.00
07/16/2018	a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 676392908	S	0.00	10.00	0.00	10.00	0.00
07/16/2018	a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 676392908	S	0.00	10.00	0.00	10.00	0.00
07/16/2018	a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 676392908	S	0.00	10.00	0.00	10.00	0.00
07/16/2018	a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 676392908	S	0.00	10.00	0.00	10.00	0.00
07/16/2018	a03189886	VARIOUS,	MI MUTUAL FUND MISC FEE 676392908	S	0.00	10.00	0.00	10.00	0.00
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$60.00</b>	<b>\$0.00</b>	<b>\$60.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT AIM GLOBAL REAL ESTATE FUND -	B	0.00	0.66	0.00	0.66	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT MAINSTAY LARGE CAP GROWTH FUND	B	0.00	0.28	0.00	0.28	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT NATIXIS GATEWAY FD	B	0.00	1.42	0.00	1.42	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT PIMCO COMMODITY REAL RETURN	B	0.00	0.12	0.00	0.12	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT PIONEER CULLEN VALUE FUND CLASS	B	0.00	0.35	0.00	0.35	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT PUTNAM 746802859	B	0.00	0.20	0.00	0.20	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT PUTNAM CAPITAL SPECTRUM FD A	B	0.00	1.72	0.00	1.72	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT ROYCE SMALLER CO GROWTH FD INV	B	0.00	0.40	0.00	0.40	0.00
07/23/2018	mfc189886	ELLIS-D335, VARIOUS	MFT VAN ECK GLOBAL HARD ASSETS FD	B	0.00	0.16	0.00	0.16	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$5.31</b>	<b>\$0.00</b>	<b>\$5.31</b>		<b>\$0.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-87,657.96</b>	<b>\$65.31</b>	<b>\$30.00</b>	<b>\$35.31</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$0.00</b>
<b>Year-to-date Commissions</b>	<b>\$0.00</b>