

COMMISSION STATEMENT July 31, 2018

JOY LAWRENCE-D098
 9000 Highway 2147 West
 Suite 201
 Horseshoe Bay, TX 78657

BROKER #: D098
 BRANCH: DMA

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/06/2018	184047863	CHHEN, KUONG	MF FIDELITY ADVISOR MID CAP STOCK	S	102.45	2.75	2.50	0.25	50.0	0.13
07/06/2018	184047863	CHHEN, KUONG	MF FIDELITY ADVISOR LARGE CAP	S	102.45	2.75	2.50	0.25	50.0	0.13
* MUTUAL FUNDS SUBTOTAL *					\$204.90	\$5.50	\$5.00	\$0.50		\$0.26
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT AB HIGH INCOME A	B	0.00	4.86	0.00	4.86	50.0	2.43
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCE BERNSTEIN SMALL/MID	B	0.00	2.62	0.00	2.62	50.0	1.31
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.96	0.00	0.96	50.0	0.48
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	12.53	0.00	12.53	50.0	6.27
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	4.16	0.00	4.16	50.0	2.08
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN GLOBAL	B	0.00	24.56	0.00	24.56	50.0	12.28
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN UTILITY	B	0.00	21.74	0.00	21.74	50.0	10.87
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	2.16	0.00	2.16	50.0	1.08
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT EATON VANCE GOVT OBLIGATIONS	B	0.00	6.20	0.00	6.20	50.0	3.10
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT EMPIRIC 2500 FD A	B	0.00	7.45	0.00	7.45	50.0	3.73
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR LARGE CAP	B	0.00	8.46	0.00	8.46	50.0	4.23
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	8.37	0.00	8.37	50.0	4.19
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	20.89	0.00	20.89	50.0	10.45
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT FIDELITY ADVISOR FUNDS	B	0.00	19.03	0.00	19.03	50.0	9.52
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT MFS MID CAP GROWTH FUND-C	B	0.00	17.79	0.00	17.79	50.0	8.90
07/23/2018	mfc946954	LAWRENCE JOY	946954 MFT PUTNAM 746802800	B	0.00	14.01	0.00	14.01	50.0	7.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$175.79	\$0.00	\$175.79		\$87.93
*** CLEARING TRANSACTIONS TOTAL ***					\$204.90	\$181.29	\$5.00	\$176.29		\$88.19

COMMISSION STATEMENT for JOY LAWRENCE-D098

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

07/16/2018	00551135830	CHHEN, KUONG	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
07/16/2018	00551137086	CHHEN, CHAN	MF	PUTNAM ABSOLUTE RETURN 700-A	B	540.00	10.80	0.00	10.80	50.0	5.40
* MUTUAL FUNDS SUBTOTAL *						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80
*** DIRECT TRANSACTIONS TOTAL ***						\$1,080.00	\$21.60	\$0.00	\$21.60		\$10.80

ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00			11.85
* SUBTOTAL *						\$0.00	\$0.00	\$0.00	\$0.00	\$11.85
*** ADJUSTMENTS TRANSACTIONS TOTAL ***						\$0.00	\$0.00	\$0.00	\$0.00	\$11.85

BROKER COMMISSION TOTALS

Broker Transactions	\$98.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$11.85
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$110.84
Year-to-date Commissions	\$10,295.92