

**COMMISSION STATEMENT****March 15, 2018**

OVERRIDE REJECT

BROKER #: C999

BRANCH: CIS HOME OFFICE

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
02/27/2018	NARDINI, RONALD	BR TOTAL INC & REAL ESTATE FUND A	99,118.66	21.05	0.00	21.05	0.0	0.00
02/27/2018	NARDINI, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	92,798.94	19.70	0.00	19.70	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$191,917.60	\$40.75	\$0.00	\$40.75		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/20/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.11	0.00	0.11	0.0	0.00
02/20/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.11	0.00	0.11	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$21.78	\$0.22	\$0.00	\$0.22		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/21/2018	LIMCHOC, OSCAR	AM FUNDS AMERICAN BALANCED A	0.00	5.71	0.00	5.71	0.0	0.00
02/21/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.21	0.00	4.21	0.0	0.00
02/21/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.11	0.00	5.11	0.0	0.00
02/21/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.28	0.00	5.28	0.0	0.00
02/21/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.67	0.00	21.67	0.0	0.00
02/15/2018	LIMCHOC, OSCAR	COLUMBIA DIVIDEND INCOME A	0.00	5.70	0.00	5.70	0.0	0.00
02/22/2018	LIMCHOC, OSCAR	FRANKLIN INCOME A	0.00	3.43	0.00	3.43	0.0	0.00
02/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	0.0	0.00
02/16/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.15	0.00	2.15	0.0	0.00
02/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.52	0.00	4.52	0.0	0.00
02/16/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.55	0.00	13.55	0.0	0.00
02/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.38	0.00	14.38	0.0	0.00
02/16/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.13	0.00	43.13	0.0	0.00
02/16/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.26	0.00	5.26	0.0	0.00
02/20/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.13	0.00	4.13	0.0	0.00
02/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.92	0.00	29.92	0.0	0.00
02/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.99	0.00	0.99	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.30	0.00	15.30	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$185.16	\$0.00	\$185.16		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$191,939.38	\$226.13	\$0.00	\$226.13		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$459.74
Year-to-date Earnings	\$0.00

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