



Concorde Investment Services, LLC

06/15/2018

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**COMMISSION STATEMENT****June 15, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

| DATE   | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>            |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: 529 PLANS</u></b>    |                      |                                     |                      |                     |                    |                   |      |                      |
| ^06/01/2018                                  | LINN, JASON          | ASCENSUS INA PIMCO TOTAL RETURN POR | 8.25                 | 0.27                | 0.00               | 0.27              | 90.0 | 0.00                 |
| ^05/31/2018                                  | PETERSON, BRYAN      | ASCENSUS INA T ROWE PRICE LG CAP GR | 25.00                | 1.19                | 0.00               | 1.19              | 90.0 | 0.00                 |
| ^05/24/2018                                  | JOHNSON, ERIC        | INA 2022 ENROLLMENT PORT CLASS A    | 40.00                | 4.75                | 0.00               | 4.75              | 90.0 | 0.00                 |
| ^ / /  | HABESHY, BARBARA     | INA BOSTON COMPANY DYNAMIC LARGE CA | 0.00                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.00                 |
| ^05/31/2018                                  | PETERSON, BRYAN      | INA BOSTON COMPANY DYNAMIC LARGE CA | 25.00                | 1.19                | 0.00               | 1.19              | 90.0 | 0.00                 |
| ^06/01/2018                                  | EGLY, KEITH          | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00                | 0.50                | 0.00               | 0.50              | 90.0 | 0.00                 |
| ^06/01/2018                                  | EGLY, KEITH          | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00                | 0.50                | 0.00               | 0.50              | 90.0 | 0.00                 |
| ^06/01/2018                                  | EGLY, KEITH          | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00                | 2.38                | 0.00               | 2.38              | 90.0 | 0.00                 |
| ^ / /  | HABESHY, BARBARA     | INA TIPS INDEX PORT CLASS C         | 0.00                 | 0.70                | 0.00               | 0.70              | 90.0 | 0.00                 |
| <b>* 529 PLANS SUBTOTAL *</b>                |                      |                                     | <b>\$248.25</b>      | <b>\$11.78</b>      | <b>\$0.00</b>      | <b>\$11.78</b>    |      | <b>\$0.00</b>        |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| ^05/31/2018                                  | ROEBEL, DAVID        | AM FUNDS 2020 TARGET DATE-A         | 200.00               | 7.50                | 0.00               | 7.50              | 90.0 | 0.00                 |
| ^05/31/2018                                  | ROEBEL, DAVID        | AM FUNDS 2020 TARGET DATE-A         | 138.46               | 5.19                | 0.00               | 5.19              | 90.0 | 0.00                 |
| ^05/31/2018                                  | RICE, SCOTT          | AM FUNDS 2025 TARGET DATE-A         | 147.87               | 5.55                | 0.00               | 5.55              | 90.0 | 0.00                 |
| ^05/31/2018                                  | RICE, SCOTT          | AM FUNDS 2025 TARGET DATE-A         | 147.87               | 5.55                | 0.00               | 5.55              | 90.0 | 0.00                 |
| ^05/31/2018                                  | SCOTT, JAMES         | AM FUNDS 2040 TARGET DATE-A         | 384.92               | 14.43               | 0.00               | 14.43             | 90.0 | 0.00                 |
| ^05/31/2018                                  | SCOTT, JAMES         | AM FUNDS 2040 TARGET DATE-A         | 192.46               | 7.22                | 0.00               | 7.22              | 90.0 | 0.00                 |
| ^06/01/2018                                  | SITES, AMY           | AM FUNDS AMERICAN BALANCED A        | 150.00               | 7.50                | 0.00               | 7.50              | 90.0 | 0.00                 |
| ^06/01/2018                                  | SHERWOOD, JULIE      | AM FUNDS FUNDAMENTAL INVESTORS A    | 50.00                | 2.50                | 0.00               | 2.50              | 90.0 | 0.00                 |
| ^05/29/2018                                  | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A   | 50.00                | 2.50                | 0.00               | 2.50              | 90.0 | 0.00                 |
| ^05/30/2018                                  | RABER, DANIEL        | AM FUNDS GROWTH FUND OF AMERICA A   | 350.00               | 17.50               | 0.00               | 17.50             | 90.0 | 0.00                 |
| ^06/01/2018                                  | LINN, JASON          | INA INTER EQTY INDEX PORTF A        | 8.25                 | 0.39                | 0.00               | 0.39              | 90.0 | 0.00                 |
| ^06/01/2018                                  | LINN, JASON          | INA LG CAP INDEX PORT CLASS A       | 38.50                | 1.83                | 0.00               | 1.83              | 90.0 | 0.00                 |
| ^06/01/2018                                  | HINTON, SCOTT        | INA LG CAP INDEX PORT CLASS A       | 25.00                | 1.19                | 0.00               | 1.19              | 90.0 | 0.00                 |
| ^06/01/2018                                  | HINTON, SCOTT        | INA LG CAP INDEX PORT CLASS A       | 25.00                | 1.19                | 0.00               | 1.19              | 90.0 | 0.00                 |
| ^ / /  | HABESHY, BARBARA     | INA PIMCO TOT RET PORT CLA C        | 0.00                 | 0.70                | 0.00               | 0.70              | 90.0 | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE  | CLIENT             | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|--------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                    |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                    |                                     |                      |                     |                    |                   |      |                      |
| ^05/31/2018   | HABESHY, BARBARA   | INA T ROWE PRICE LARGE CAP GR CLASS | 2.50                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.00                 |
| * MUTUAL FUNDS SUBTOTAL *                               |                    |                                     | \$1,910.83           | \$81.04             | \$0.00             | \$81.04           |      | \$0.00               |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                    |                                     |                      |                     |                    |                   |      |                      |
| ^06/01/2018   | ROEBEL, DAVID      | AM FUNDS 2020 TARGET DATE-A         | 0.00                 | 7.89                | 0.00               | 7.89              | 90.0 | 0.00                 |
| ^06/01/2018   | RICE, SCOTT        | AM FUNDS 2025 TARGET DATE-A         | 0.00                 | 5.80                | 0.00               | 5.80              | 90.0 | 0.00                 |
| ^06/01/2018   | SCOTT, JAMES       | AM FUNDS 2040 TARGET DATE-A         | 0.00                 | 10.56               | 0.00               | 10.56             | 90.0 | 0.00                 |
| ^06/01/2018   | DREW, KEVIN        | AM FUNDS 2050 TARGET DATE-A         | 0.00                 | 4.49                | 0.00               | 4.49              | 90.0 | 0.00                 |
| ^06/01/2018   | ROOS, DEBORAH      | AM FUNDS AMCAP A                    | 0.00                 | 17.42               | 0.00               | 17.42             | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRIS   | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.87                | 0.00               | 0.87              | 90.0 | 0.00                 |
| ^05/15/2018   | CRAWFORD, JOHN     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.58                | 0.00               | 0.58              | 90.0 | 0.00                 |
| ^05/15/2018   | COOK, JEANNIE      | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.33                | 0.00               | 0.33              | 90.0 | 0.00                 |
| ^05/15/2018   | SORG, DAWN         | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.38                | 0.00               | 0.38              | 90.0 | 0.00                 |
| ^05/15/2018   | SORG, MAURICE      | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.20                | 0.00               | 1.20              | 90.0 | 0.00                 |
| ^05/15/2018   | CRAWFORD, GERALD   | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.46                | 0.00               | 0.46              | 90.0 | 0.00                 |
| ^06/01/2018   | VICKREY, JOHN      | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.01                | 0.00               | 1.01              | 90.0 | 0.00                 |
| ^06/01/2018   | FITCH, JAMES       | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 5.44                | 0.00               | 5.44              | 90.0 | 0.00                 |
| ^06/01/2018   | SITES, AMY         | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 4.01                | 0.00               | 4.01              | 90.0 | 0.00                 |
| ^06/01/2018   | DORSETT, LARA      | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.39                | 0.00               | 0.39              | 90.0 | 0.00                 |
| ^06/01/2018   | LITWINKO, TERI     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.64                | 0.00               | 1.64              | 90.0 | 0.00                 |
| ^06/01/2018   | ROBERTSON, RACHEL  | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.05                | 0.00               | 0.05              | 90.0 | 0.00                 |
| ^06/01/2018   | STRACK, AMANDA     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.07                | 0.00               | 1.07              | 90.0 | 0.00                 |
| ^06/01/2018   | SCHENKEL, HUNTER   | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.37                | 0.00               | 0.37              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, LAURA     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 4.17                | 0.00               | 4.17              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, JOHN      | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 3.03                | 0.00               | 3.03              | 90.0 | 0.00                 |
| ^06/01/2018   | OTENEY, ASHLEY     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.73                | 0.00               | 0.73              | 90.0 | 0.00                 |
| ^06/01/2018   | SILKWORTH, REBECCA | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 6.69                | 0.00               | 6.69              | 90.0 | 0.00                 |
| ^06/01/2018   | HABESHY, MAGDY     | AM FUNDS AMERICAN BALANCED C        | 0.00                 | 15.33               | 0.00               | 15.33             | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRIS   | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00                 | 0.92                | 0.00               | 0.92              | 90.0 | 0.00                 |
| ^05/15/2018   | CRAWFORD, JOHN     | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00                 | 0.70                | 0.00               | 0.70              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, JOHN      | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 1.56                | 0.00               | 1.56              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, LAURA     | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 0.70                | 0.00               | 0.70              | 90.0 | 0.00                 |
| ^05/15/2018   | CLINE, DAVIS       | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.07                | 0.00               | 0.07              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, JOHN      | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 1.75                | 0.00               | 1.75              | 90.0 | 0.00                 |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE  | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| ^06/01/2018   | STANLEY, LAURA       | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.77                | 0.00               | 0.77              | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRIS     | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.40                | 0.00               | 0.40              | 90.0 | 0.00                 |
| ^06/01/2018   | ROOS, DEBORAH        | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 3.82                | 0.00               | 3.82              | 90.0 | 0.00                 |
| ^06/01/2018   | KLINE, NANCY         | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.45                | 0.00               | 1.45              | 90.0 | 0.00                 |
| ^06/01/2018   | VICKREY, JOHN        | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 2.12                | 0.00               | 2.12              | 90.0 | 0.00                 |
| ^06/01/2018   | FITCH, JAMES         | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.01                | 0.00               | 1.01              | 90.0 | 0.00                 |
| ^06/01/2018   | ERVIN, DERRICK       | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.04                | 0.00               | 0.04              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, JOHN        | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.85                | 0.00               | 0.85              | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS EUROPACIFIC GROWTH FUND A  | 0.00                 | 0.58                | 0.00               | 0.58              | 90.0 | 0.00                 |
| ^06/01/2018   | SHERWOOD, JULIE      | AM FUNDS FUNDAMENTAL INVESTORS A    | 0.00                 | 1.60                | 0.00               | 1.60              | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRIS     | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.53                | 0.00               | 0.53              | 90.0 | 0.00                 |
| ^05/15/2018   | CLINE, DAVIS         | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.13                | 0.00               | 0.13              | 90.0 | 0.00                 |
| ^06/01/2018   | KLINE, NANCY         | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 1.20                | 0.00               | 1.20              | 90.0 | 0.00                 |
| ^06/01/2018   | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 7.05                | 0.00               | 7.05              | 90.0 | 0.00                 |
| ^06/01/2018   | VICKREY, JOHN        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 2.66                | 0.00               | 2.66              | 90.0 | 0.00                 |
| ^06/01/2018   | ROBERTSON, DOUGLAS   | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 8.95                | 0.00               | 8.95              | 90.0 | 0.00                 |
| ^06/01/2018   | FITCH, JAMES         | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 1.31                | 0.00               | 1.31              | 90.0 | 0.00                 |
| ^06/01/2018   | ERVIN, DERRICK       | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.02                | 0.00               | 0.02              | 90.0 | 0.00                 |
| ^06/01/2018   | STRACK, ARTHUR       | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.45                | 0.00               | 0.45              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, JOHN        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 1.82                | 0.00               | 1.82              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, JOHN        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 1.81                | 0.00               | 1.81              | 90.0 | 0.00                 |
| ^06/01/2018   | RABER, DANIEL        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 2.05                | 0.00               | 2.05              | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.52                | 0.00               | 0.52              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, LAURA       | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.78                | 0.00               | 0.78              | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS INTERMEDIATE BOND FUND OF  | 0.00                 | 0.72                | 0.00               | 0.72              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, LAURA       | AM FUNDS INTERMEDIATE BOND FUND OF  | 0.00                 | 0.71                | 0.00               | 0.71              | 90.0 | 0.00                 |
| ^06/01/2018   | STANLEY, LAURA       | AM FUNDS SHORT TERM BND FUND OF AM  | 0.00                 | 0.96                | 0.00               | 0.96              | 90.0 | 0.00                 |
| ^05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00                 | 0.54                | 0.00               | 0.54              | 90.0 | 0.00                 |
| ^05/21/2018   | FLECK, KATHIE        | FEDERATED KAUFMANN FUND A           | 0.00                 | 3.50                | 0.00               | 3.50              | 90.0 | 0.00                 |
| ^05/18/2018   | FLECK, KATHIE        | FIDELITY ADV NEW INSIGHTS C         | 0.00                 | 13.71               | 0.00               | 13.71             | 90.0 | 0.00                 |
| ^05/21/2018   | FLECK, KATHIE        | FIRST EAGLE GLOBAL FUND CL A        | 0.00                 | 0.53                | 0.00               | 0.53              | 90.0 | 0.00                 |
| ^05/22/2018   | FLECK, KATHIE        | GOLDMAN SACHS SMALL CAP VALUE FUND- | 0.00                 | 1.92                | 0.00               | 1.92              | 90.0 | 0.00                 |
| ^05/15/2018   | CRAWFORD, JOHN       | INFLATION LINKED BOND FUND A        | 0.00                 | 0.91                | 0.00               | 0.91              | 90.0 | 0.00                 |

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE   | CLIENT             | INVESTMENT                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                |                    |                              |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                    |                              |                      |                     |                    |                   |      |                      |
| ^06/01/2018                                      | STANLEY, JOHN      | INFLATION LINKED BOND FUND A | 0.00                 | 1.01                | 0.00               | 1.01              | 90.0 | 0.00                 |
| ^06/01/2018                                      | STANLEY, LAURA     | INFLATION LINKED BOND FUND A | 0.00                 | 0.96                | 0.00               | 0.96              | 90.0 | 0.00                 |
| ^05/23/2018                                      | BROCKMYER, PHYLLIS | PIMCO INCOME FUND C          | 0.00                 | 3.58                | 0.00               | 3.58              | 90.0 | 0.00                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>      |                    |                              | <b>\$0.00</b>        | <b>\$170.58</b>     | <b>\$0.00</b>      | <b>\$170.58</b>   |      | <b>\$0.00</b>        |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>         |                    |                              | <b>\$2,159.08</b>    | <b>\$263.40</b>     | <b>\$0.00</b>      | <b>\$263.40</b>   |      | <b>\$0.00</b>        |

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

|   |                  |                                     |                 |               |               |               |      |               |
|---|------------------|-------------------------------------|-----------------|---------------|---------------|---------------|------|---------------|
| 05/24/2018  | JOHNSON, ERIC    | INA 2022 ENROLLMENT PORT CLASS A    | 40.00           | 1.43          | 0.00          | 1.43          | 90.0 | 1.29          |
| / /   | HABESHY, BARBARA | INA BOSTON COMPANY DYNAMIC LARGE CA | 0.00            | 0.09          | 0.00          | 0.09          | 90.0 | 0.08          |
| 05/31/2018  | PETERSON, BRYAN  | INA BOSTON COMPANY DYNAMIC LARGE CA | 25.00           | 0.36          | 0.00          | 0.36          | 90.0 | 0.32          |
| 06/01/2018  | EGLY, KEITH      | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00           | 0.15          | 0.00          | 0.15          | 90.0 | 0.14          |
| 06/01/2018  | EGLY, KEITH      | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00           | 0.15          | 0.00          | 0.15          | 90.0 | 0.14          |
| 06/01/2018  | EGLY, KEITH      | INA BOSTON COMPANY DYNAMIC LARGE CA | 50.00           | 0.71          | 0.00          | 0.71          | 90.0 | 0.64          |
| / /   | HABESHY, BARBARA | INA TIPS INDEX PORT CLASS C         | 0.00            | 0.21          | 0.00          | 0.21          | 90.0 | 0.19          |
| <b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b> |                  |                                     | <b>\$215.00</b> | <b>\$3.10</b> | <b>\$0.00</b> | <b>\$3.10</b> |      | <b>\$2.80</b> |

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

|            |                  |                              |        |      |      |      |      |      |
|------------|------------------|------------------------------|--------|------|------|------|------|------|
| 05/31/2018 | ROEBEL, DAVID    | AM FUNDS 2020 TARGET DATE-A  | 200.00 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 05/31/2018 | ROEBEL, DAVID    | AM FUNDS 2020 TARGET DATE-A  | 138.46 | 1.56 | 0.00 | 1.56 | 90.0 | 1.40 |
| 06/01/2018 | ROEBEL, DAVID    | AM FUNDS 2020 TARGET DATE-A  | 0.00   | 2.37 | 0.00 | 2.37 | 90.0 | 2.13 |
| 05/31/2018 | RICE, SCOTT      | AM FUNDS 2025 TARGET DATE-A  | 147.87 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 05/31/2018 | RICE, SCOTT      | AM FUNDS 2025 TARGET DATE-A  | 147.87 | 1.67 | 0.00 | 1.67 | 90.0 | 1.50 |
| 06/01/2018 | RICE, SCOTT      | AM FUNDS 2025 TARGET DATE-A  | 0.00   | 1.74 | 0.00 | 1.74 | 90.0 | 1.57 |
| 05/31/2018 | SCOTT, JAMES     | AM FUNDS 2040 TARGET DATE-A  | 384.92 | 4.33 | 0.00 | 4.33 | 90.0 | 3.90 |
| 05/31/2018 | SCOTT, JAMES     | AM FUNDS 2040 TARGET DATE-A  | 192.46 | 2.17 | 0.00 | 2.17 | 90.0 | 1.95 |
| 06/01/2018 | SCOTT, JAMES     | AM FUNDS 2040 TARGET DATE-A  | 0.00   | 3.17 | 0.00 | 3.17 | 90.0 | 2.85 |
| 06/01/2018 | DREW, KEVIN      | AM FUNDS 2050 TARGET DATE-A  | 0.00   | 1.35 | 0.00 | 1.35 | 90.0 | 1.22 |
| 06/01/2018 | ROOS, DEBORAH    | AM FUNDS AMCAP A             | 0.00   | 5.23 | 0.00 | 5.23 | 90.0 | 4.71 |
| 05/15/2018 | BRAUTZSCH, CHRIS | AM FUNDS AMERICAN BALANCED A | 0.00   | 0.26 | 0.00 | 0.26 | 90.0 | 0.23 |
| 05/15/2018 | CRAWFORD, JOHN   | AM FUNDS AMERICAN BALANCED A | 0.00   | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 05/15/2018 | COOK, JEANNIE    | AM FUNDS AMERICAN BALANCED A | 0.00   | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 05/15/2018 | SORG, DAWN       | AM FUNDS AMERICAN BALANCED A | 0.00   | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE   | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| 05/15/2018   | SORG, MAURICE        | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.36                | 0.00               | 0.36              | 90.0 | 0.32                 |
| 05/15/2018   | CRAWFORD, GERALD     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.14                | 0.00               | 0.14              | 90.0 | 0.13                 |
| 06/01/2018   | SITES, AMY           | AM FUNDS AMERICAN BALANCED A        | 150.00               | 2.25                | 0.00               | 2.25              | 90.0 | 2.03                 |
| 06/01/2018   | VICKREY, JOHN        | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.27                 |
| 06/01/2018   | FITCH, JAMES         | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.63                | 0.00               | 1.63              | 90.0 | 1.47                 |
| 06/01/2018   | SITES, AMY           | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.20                | 0.00               | 1.20              | 90.0 | 1.08                 |
| 06/01/2018   | DORSETT, LARA        | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.12                | 0.00               | 0.12              | 90.0 | 0.11                 |
| 06/01/2018   | LITWINKO, TERI       | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.49                | 0.00               | 0.49              | 90.0 | 0.44                 |
| 06/01/2018   | ROBERTSON, RACHEL    | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.02                | 0.00               | 0.02              | 90.0 | 0.02                 |
| 06/01/2018   | STRACK, AMANDA       | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.32                | 0.00               | 0.32              | 90.0 | 0.29                 |
| 06/01/2018   | SCHENKEL, HUNTER     | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.11                | 0.00               | 0.11              | 90.0 | 0.10                 |
| 06/01/2018   | STANLEY, LAURA       | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 1.25                | 0.00               | 1.25              | 90.0 | 1.13                 |
| 06/01/2018   | STANLEY, JOHN        | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.91                | 0.00               | 0.91              | 90.0 | 0.82                 |
| 06/01/2018   | OTENEY, ASHLEY       | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 0.22                | 0.00               | 0.22              | 90.0 | 0.20                 |
| 06/01/2018   | SILKWORTH, REBECCA   | AM FUNDS AMERICAN BALANCED A        | 0.00                 | 2.01                | 0.00               | 2.01              | 90.0 | 1.81                 |
| 06/01/2018   | HABESHY, MAGDY       | AM FUNDS AMERICAN BALANCED C        | 0.00                 | 4.60                | 0.00               | 4.60              | 90.0 | 4.14                 |
| 05/15/2018   | BRAUTZSCH, CHRIS     | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00                 | 0.28                | 0.00               | 0.28              | 90.0 | 0.25                 |
| 05/15/2018   | CRAWFORD, JOHN       | AM FUNDS AMERICAN HIGH-INCOME TRUST | 0.00                 | 0.21                | 0.00               | 0.21              | 90.0 | 0.19                 |
| 06/01/2018   | STANLEY, JOHN        | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 0.47                | 0.00               | 0.47              | 90.0 | 0.42                 |
| 06/01/2018   | STANLEY, LAURA       | AM FUNDS BOND FUND OF AMERICA A     | 0.00                 | 0.21                | 0.00               | 0.21              | 90.0 | 0.19                 |
| 05/15/2018   | CLINE, DAVIS         | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.02                | 0.00               | 0.02              | 90.0 | 0.02                 |
| 06/01/2018   | STANLEY, JOHN        | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.53                | 0.00               | 0.53              | 90.0 | 0.48                 |
| 06/01/2018   | STANLEY, LAURA       | AM FUNDS CAPITAL INCOME BUILDER A   | 0.00                 | 0.23                | 0.00               | 0.23              | 90.0 | 0.21                 |
| 05/15/2018   | BRAUTZSCH, CHRIS     | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.12                | 0.00               | 0.12              | 90.0 | 0.11                 |
| 06/01/2018   | ROOS, DEBORAH        | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 1.15                | 0.00               | 1.15              | 90.0 | 1.04                 |
| 06/01/2018   | KLINE, NANCY         | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.44                | 0.00               | 0.44              | 90.0 | 0.40                 |
| 06/01/2018   | VICKREY, JOHN        | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.64                | 0.00               | 0.64              | 90.0 | 0.58                 |
| 06/01/2018   | FITCH, JAMES         | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.27                 |
| 06/01/2018   | ERVIN, DERRICK       | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.01                | 0.00               | 0.01              | 90.0 | 0.01                 |
| 06/01/2018   | STANLEY, JOHN        | AM FUNDS CAPITAL WORLD GRTH & INC A | 0.00                 | 0.26                | 0.00               | 0.26              | 90.0 | 0.23                 |
| 05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS EUROPACIFIC GROWTH FUND A  | 0.00                 | 0.17                | 0.00               | 0.17              | 90.0 | 0.15                 |
| 06/01/2018   | SHERWOOD, JULIE      | AM FUNDS FUNDAMENTAL INVESTORS A    | 50.00                | 0.75                | 0.00               | 0.75              | 90.0 | 0.68                 |
| 06/01/2018   | SHERWOOD, JULIE      | AM FUNDS FUNDAMENTAL INVESTORS A    | 0.00                 | 0.48                | 0.00               | 0.48              | 90.0 | 0.43                 |

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| DATE   | CLIENT               | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|----------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                      |                                     |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b> |                      |                                     |                      |                     |                    |                   |      |                      |
| 05/15/2018   | BRAUTZSCH, CHRIS     | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.16                | 0.00               | 0.16              | 90.0 | 0.14                 |
| 05/15/2018   | CLINE, DAVIS         | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.04                | 0.00               | 0.04              | 90.0 | 0.04                 |
| 05/29/2018   | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A   | 50.00                | 0.75                | 0.00               | 0.75              | 90.0 | 0.68                 |
| 05/30/2018   | RABER, DANIEL        | AM FUNDS GROWTH FUND OF AMERICA A   | 350.00               | 5.25                | 0.00               | 5.25              | 90.0 | 4.73                 |
| 06/01/2018   | KLINE, NANCY         | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.36                | 0.00               | 0.36              | 90.0 | 0.32                 |
| 06/01/2018   | GATER-BITTING, DEVIN | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 2.12                | 0.00               | 2.12              | 90.0 | 1.91                 |
| 06/01/2018   | VICKREY, JOHN        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.80                | 0.00               | 0.80              | 90.0 | 0.72                 |
| 06/01/2018   | ROBERTSON, DOUGLAS   | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 2.69                | 0.00               | 2.69              | 90.0 | 2.42                 |
| 06/01/2018   | FITCH, JAMES         | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.39                | 0.00               | 0.39              | 90.0 | 0.35                 |
| 06/01/2018   | ERVIN, DERRICK       | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.01                | 0.00               | 0.01              | 90.0 | 0.01                 |
| 06/01/2018   | STRACK, ARTHUR       | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.14                | 0.00               | 0.14              | 90.0 | 0.13                 |
| 06/01/2018   | STANLEY, JOHN        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.55                | 0.00               | 0.55              | 90.0 | 0.50                 |
| 06/01/2018   | STANLEY, JOHN        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.54                | 0.00               | 0.54              | 90.0 | 0.49                 |
| 06/01/2018   | RABER, DANIEL        | AM FUNDS GROWTH FUND OF AMERICA A   | 0.00                 | 0.62                | 0.00               | 0.62              | 90.0 | 0.56                 |
| 05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.16                | 0.00               | 0.16              | 90.0 | 0.14                 |
| 06/01/2018   | STANLEY, LAURA       | AM FUNDS INCOME FUND OF AMERICA A   | 0.00                 | 0.23                | 0.00               | 0.23              | 90.0 | 0.21                 |
| 05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS INTERMEDIATE BOND FUND OF  | 0.00                 | 0.22                | 0.00               | 0.22              | 90.0 | 0.20                 |
| 06/01/2018   | STANLEY, LAURA       | AM FUNDS INTERMEDIATE BOND FUND OF  | 0.00                 | 0.21                | 0.00               | 0.21              | 90.0 | 0.19                 |
| 06/01/2018   | STANLEY, LAURA       | AM FUNDS SHORT TERM BND FUND OF AM  | 0.00                 | 0.29                | 0.00               | 0.29              | 90.0 | 0.26                 |
| 05/15/2018   | BRAUTZSCH, CHRISTINE | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00                 | 0.16                | 0.00               | 0.16              | 90.0 | 0.14                 |
| 06/01/2018   | LINN, JASON          | ASCENSUS INA PIMCO TOTAL RETURN POR | 8.25                 | 0.08                | 0.00               | 0.08              | 90.0 | 0.07                 |
| 05/31/2018   | PETERSON, BRYAN      | ASCENSUS INA T ROWE PRICE LG CAP GR | 25.00                | 0.36                | 0.00               | 0.36              | 90.0 | 0.32                 |
| 05/21/2018   | FLECK, KATHIE        | FEDERATED KAUFMANN FUND A           | 0.00                 | 1.05                | 0.00               | 1.05              | 90.0 | 0.95                 |
| 05/18/2018   | FLECK, KATHIE        | FIDELITY ADV NEW INSIGHTS C         | 0.00                 | 4.11                | 0.00               | 4.11              | 90.0 | 3.70                 |
| 05/21/2018   | FLECK, KATHIE        | FIRST EAGLE GLOBAL FUND CL A        | 0.00                 | 0.16                | 0.00               | 0.16              | 90.0 | 0.14                 |
| 05/22/2018   | FLECK, KATHIE        | GOLDMAN SACHS SMALL CAP VALUE FUND- | 0.00                 | 0.58                | 0.00               | 0.58              | 90.0 | 0.52                 |
| 06/01/2018   | LINN, JASON          | INA INTER EQTY INDEX PORTF A        | 8.25                 | 0.12                | 0.00               | 0.12              | 90.0 | 0.11                 |
| 06/01/2018   | LINN, JASON          | INA LG CAP INDEX PORT CLASS A       | 38.50                | 0.55                | 0.00               | 0.55              | 90.0 | 0.50                 |
| 06/01/2018   | HINTON, SCOTT        | INA LG CAP INDEX PORT CLASS A       | 25.00                | 0.36                | 0.00               | 0.36              | 90.0 | 0.32                 |
| 06/01/2018   | HINTON, SCOTT        | INA LG CAP INDEX PORT CLASS A       | 25.00                | 0.36                | 0.00               | 0.36              | 90.0 | 0.32                 |
| / /  | HABESHY, BARBARA     | INA PIMCO TOT RET PORT CLA C        | 0.00                 | 0.21                | 0.00               | 0.21              | 90.0 | 0.19                 |
| 05/31/2018   | HABESHY, BARBARA     | INA T ROWE PRICE LARGE CAP GR CLASS | 2.50                 | 0.09                | 0.00               | 0.09              | 90.0 | 0.08                 |
| 05/15/2018   | CRAWFORD, JOHN       | INFLATION LINKED BOND FUND A        | 0.00                 | 0.27                | 0.00               | 0.27              | 90.0 | 0.24                 |

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| DATE   | CLIENT             | INVESTMENT                   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>SPLIT TRANSACTIONS</u></b>                               |                    |                              |                      |                     |                    |                   |      |                      |
| <b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b> |                    |                              |                      |                     |                    |                   |      |                      |
| 06/01/2018   | STANLEY, JOHN      | INFLATION LINKED BOND FUND A | 0.00                 | 0.30                | 0.00               | 0.30              | 90.0 | 0.27                 |
| 06/01/2018   | STANLEY, LAURA     | INFLATION LINKED BOND FUND A | 0.00                 | 0.29                | 0.00               | 0.29              | 90.0 | 0.26                 |
| 05/23/2018   | BROCKMYER, PHYLLIS | PIMCO INCOME FUND C          | 0.00                 | 1.07                | 0.00               | 1.07              | 90.0 | 0.96                 |
| * L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *               |                    |                              | <b>\$1,944.08</b>    | <b>\$76.00</b>      | <b>\$0.00</b>      | <b>\$76.00</b>    |      | <b>\$68.44</b>       |
| *** SPLIT TRANSACTIONS TOTAL ***                               |                    |                              | <b>\$2,159.08</b>    | <b>\$79.10</b>      | <b>\$0.00</b>      | <b>\$79.10</b>    |      | <b>\$71.24</b>       |

**BROKER COMMISSION TOTALS**

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$0.00  |
| Split Transactions    | \$71.24 |
| Override Transactions | \$0.00  |
| Adjustments           | \$0.00  |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$97.78 |
| FINRA Assessment      | \$0.00  |
| SIPC Assessment       | \$0.00  |

|                       |          |
|-----------------------|----------|
| Check Amount          | \$169.02 |
| Year-to-date Gross    | \$764.17 |
| Year-to-date Earnings | \$664.45 |

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