

STATEMENT

January 20, 2018

FLORINDA LEVERTON
80 Orville Dr
Suite 100
Bohemia, NY 11716

BROKER #: 195
BRANCH: LA BRANCH

COMMISSION STATEMENT for FLORINDA LEVERTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/15/2017	#000000001512502	LAUDATO, FRANKLIN GROWTH FUND CLASS C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
11/20/2017	#000011516998773	SFERRAZZA, FRANKLIN TEMPLETON FUNDS NEW YORK T	200.00	8.00	95.0	0.00	7.60	0.00	7.60
11/27/2017	#000000001564350	LANZEBARBC FRANKLIN GROWTH FUND CLASS C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#000000000286904	RODRIGUEZ, FRANKLIN NY TF INCOME FUND - CLASS	100.00	1.00	95.0	0.00	0.95	0.00	0.95
12/11/2017	#000060177830112	SFERRAZZA, FRANKLIN GROWTH FUND - CLASS A	200.00	10.00	95.0	0.00	9.50	0.00	9.50
12/14/2017	#0000000007311903	FRANKLIN 645768540	14,000.00	140.00	95.0	0.00	133.00	0.00	133.00
12/14/2017	#0000000007311904	FRANKLIN 645768722	14,000.00	140.00	95.0	0.00	133.00	0.00	133.00
12/14/2017	#0000000007311905	FRANKLIN 645769639	14,000.00	140.00	95.0	0.00	133.00	0.00	133.00
12/14/2017	#0000000007311906	FRANKLIN 645769639	14,000.00	140.00	95.0	0.00	133.00	0.00	133.00
12/15/2017	# ANNUNZIATA, NICHOLAS	JPMORGAN SHORT DURATION BOND FD SEL	3,210.46	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	JPMORGAN SHORT DURATION BOND FD SEL	7,422.75	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	JPMORGAN TR I INCOME FD CL I	3,210.46	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	JPMORGAN TR I INCOME FD CL I	7,422.75	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	LORD ABBETT SECS TR GROWTH LEADERS	4,330.57	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	LORD ABBETT SECS TR GROWTH LEADERS	20,976.27	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	LORD ABBETT SHORT DURATION INCOME F	4,330.57	0.00	95.0	0.00	0.00	20.00	-20.00
12/15/2017	# ANNUNZIATA, NICHOLAS	LORD ABBETT SHORT DURATION INCOME F	20,976.27	0.00	95.0	0.00	0.00	20.00	-20.00
12/20/2017	#000011516998773	SFERRAZZA, FRANKLIN TEMPLETON FUNDS NEW YORK T	200.00	8.00	95.0	0.00	7.60	0.00	7.60
12/26/2017	#000000001564350	LANZEBARBC FRANKLIN GROWTH FUND CLASS C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
* MUTUAL FUNDS SUBTOTAL *			\$128,780.10	\$589.00		\$0.00	\$559.56	\$160.00	\$399.56

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/30/2017	#0426258	BARRY, JOSEPHINE	BRIGHTOUSE FINANCIAL	0.00	9.10	95.0	0.00	8.65	0.00	8.65
11/30/2017	#0426682	BARRY, JOSEPHINE	BRIGHTOUSE FINANCIAL	0.00	22.27	95.0	0.00	21.16	0.00	21.16
11/30/2017	#0479772	BRAZEZICKE, DANIEL	BRIGHTOUSE FINANCIAL	0.00	8.09	95.0	0.00	7.69	0.00	7.69
12/01/2017	#48970	CHASE, JOAN	J H L TRAILS	0.00	20.81	95.0	0.00	19.77	0.00	19.77
12/01/2017	#49053	COLLINS, CHRISTINA	J H L TRAILS	0.00	29.13	95.0	0.00	27.67	0.00	27.67
12/01/2017	#63395	COLORE, DIANE	J H L TRAILS	0.00	32.44	95.0	0.00	30.82	0.00	30.82

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/01/2017	#70047148 RODRIGUEZ, SAMUEL	J H L TRAILS	0.00	2.40	95.0	0.00	2.28	0.00	2.28
12/01/2017	#56100871 LAUDATO, FRANK	J H L TRAILS	0.00	21.72	95.0	0.00	20.63	0.00	20.63
12/07/2017	#AV001049830 GALGAN,	ALLIANZ VA	0.00	1,750.00	95.0	0.00	1,662.50	0.00	1,662.50
12/19/2017	#AV000611326 Client Not	ALLIANZ NY VISION	0.00	1,750.00	95.0	0.00	1,662.50	0.00	1,662.50
12/19/2017	#AV000887502 Client Not	ALLIANZ NY VISION	0.00	1,750.00	95.0	0.00	1,662.50	0.00	1,662.50
12/21/2017	#AV000565296 GALGAN,	ALLIANZ NY VISION	0.00	1,278.24	95.0	0.00	1,214.33	0.00	1,214.33
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$6,674.20		\$0.00	\$6,340.50	\$0.00	\$6,340.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/08/2017	# GALGAN, THEODORE	DAIN TRAILER	50,000.00	500.00	95.0	0.00	475.00	0.00	475.00
12/11/2017	# MURPHY, LIAM	DAIN TRAILER	0.00	6.53	95.0	0.00	6.20	0.00	6.20
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	2.28	95.0	0.00	2.17	0.00	2.17
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	6.17	95.0	0.00	5.86	0.00	5.86
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	7.47	95.0	0.00	7.10	0.00	7.10
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	10.93	95.0	0.00	10.38	0.00	10.38
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	2.70	95.0	0.00	2.57	0.00	2.57
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	3.24	95.0	0.00	3.08	0.00	3.08
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	1.16	95.0	0.00	1.10	0.00	1.10
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	2.30	95.0	0.00	2.19	0.00	2.19
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	9.78	95.0	0.00	9.29	0.00	9.29
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	14.18	95.0	0.00	13.47	0.00	13.47
12/11/2017	# ANGST, DOUGLAS	DAIN TRAILER	0.00	0.45	95.0	0.00	0.43	0.00	0.43
12/11/2017	# MARCINOWSKI, JOHN	DAIN TRAILER	0.00	1.07	95.0	0.00	1.02	0.00	1.02
12/11/2017	# ATTANASIO, PETER	DAIN TRAILER	0.00	8.47	95.0	0.00	8.05	0.00	8.05
12/11/2017	# LAWSHE, ANNE	DAIN TRAILER	0.00	6.87	95.0	0.00	6.53	0.00	6.53
12/11/2017	# LAMPERT, SYBIL	DAIN TRAILER	0.00	11.61	95.0	0.00	11.03	0.00	11.03
12/11/2017	# LAMPERT, SYBIL	DAIN TRAILER	0.00	2.17	95.0	0.00	2.06	0.00	2.06
12/11/2017	# LAMPERT, SYBIL	DAIN TRAILER	0.00	4.35	95.0	0.00	4.13	0.00	4.13
12/11/2017	# LAMPERT, SYBIL	DAIN TRAILER	0.00	1.75	95.0	0.00	1.66	0.00	1.66
12/11/2017	# HOFFMAN, ROBERTA	DAIN TRAILER	0.00	2.40	95.0	0.00	2.28	0.00	2.28
12/11/2017	# ROSENBAUM, BARBARA	DAIN TRAILER	0.00	3.55	95.0	0.00	3.37	0.00	3.37
12/11/2017	# ACKERMAN, JANE	DAIN TRAILER	0.00	35.33	95.0	0.00	33.56	0.00	33.56
12/11/2017	# GUERRA, LOUIS	DAIN TRAILER	0.00	1.18	95.0	0.00	1.12	0.00	1.12
12/11/2017	# NELSON, BRENDA	DAIN TRAILER	0.00	1.09	95.0	0.00	1.04	0.00	1.04
12/11/2017	# NELSON, BRENDA	DAIN TRAILER	0.00	5.28	95.0	0.00	5.02	0.00	5.02
12/11/2017	# STANGA, INEZ	DAIN TRAILER	0.00	7.55	95.0	0.00	7.17	0.00	7.17

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017 #	HENNEY, EDGAR	DAIN TRAILER	0.00	6.43	95.0	0.00	6.11	0.00	6.11
12/11/2017 #	HENNEY, EDGAR	DAIN TRAILER	0.00	6.63	95.0	0.00	6.30	0.00	6.30
12/11/2017 #	PASCETTA, ANN	DAIN TRAILER	0.00	3.56	95.0	0.00	3.38	0.00	3.38
12/11/2017 #	PASCETTA, ANN	DAIN TRAILER	0.00	9.79	95.0	0.00	9.30	0.00	9.30
12/11/2017 #	PASCETTA, ANN	DAIN TRAILER	0.00	9.62	95.0	0.00	9.14	0.00	9.14
12/11/2017 #	HOFFMAN, ROBERTA	DAIN TRAILER	0.00	1.35	95.0	0.00	1.28	0.00	1.28
12/11/2017 #	HOFFMAN, ROBERTA	DAIN TRAILER	0.00	2.08	95.0	0.00	1.98	0.00	1.98
12/11/2017 #	HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.53	95.0	0.00	0.50	0.00	0.50
12/11/2017 #	HOFFMAN, ROBERTA	DAIN TRAILER	0.00	0.65	95.0	0.00	0.62	0.00	0.62
12/11/2017 #	MURPHY, BRIAN	DAIN TRAILER	0.00	10.71	95.0	0.00	10.17	0.00	10.17
12/11/2017 #	DELLAMONICA, MARIE	DAIN TRAILER	0.00	6.60	95.0	0.00	6.27	0.00	6.27
12/11/2017 #	DELLAMONICA, MARIE	DAIN TRAILER	0.00	1.40	95.0	0.00	1.33	0.00	1.33
12/11/2017 #	BARRY, JOSEPHINE	DAIN TRAILER	0.00	3.10	95.0	0.00	2.95	0.00	2.95
12/11/2017 #	BARRY, JOSEPHINE	DAIN TRAILER	0.00	1.90	95.0	0.00	1.81	0.00	1.81
12/11/2017 #	BARRY, JOSEPHINE	DAIN TRAILER	0.00	6.70	95.0	0.00	6.37	0.00	6.37
12/11/2017 #	MURPHY, CAITLIN	DAIN TRAILER	0.00	6.45	95.0	0.00	6.13	0.00	6.13
12/11/2017 #	LAUDATO, DANIEL	DAIN TRAILER	0.00	2.99	95.0	0.00	2.84	0.00	2.84
12/11/2017 #	LAUDATO, DANIEL	DAIN TRAILER	0.00	2.56	95.0	0.00	2.43	0.00	2.43
12/11/2017 #	CIOFFI, IRENE	DAIN TRAILER	0.00	6.42	95.0	0.00	6.10	0.00	6.10
12/11/2017 #	LEVERTON, FLORINDA	DAIN TRAILER	0.00	25.54	95.0	0.00	24.26	0.00	24.26
12/11/2017 #	MARCINOWSKI, JOHN	DAIN TRAILER	0.00	4.27	95.0	0.00	4.06	0.00	4.06
01/02/2018 #00260953047	ESA, COVERDELL	PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	4.17	95.0	0.00	3.96	0.00	3.96
01/02/2018 #00260953844	ESA, COVERDELL	PUTNAM GROWTH OPPORTUNITIES FUND-CL	0.00	4.17	95.0	0.00	3.96	0.00	3.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$50,000.00	\$787.48		\$0.00	\$748.13	\$0.00	\$748.13
*** BROKER TRANSACTIONS TOTAL ***			\$178,780.10	\$8,050.68		\$0.00	\$7,648.19	\$160.00	\$7,488.19

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ADJUSTMENTS

01/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
01/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
01/20/2018		MISCELLANEOUS: AXA TRAILS PAID							6,077.40
		AFTER CUT OFF.							
*** ADJUSTMENTS TOTAL ***									\$5,578.40

BROKER COMMISSION TOTALS

Broker Transactions	\$7,488.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$5,578.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,066.59
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