



All Companies
COMMISSION STATEMENT
July 02, 2018

06/28/2018
Page No. 1

CHRISTOPHER SNOW
6336 WARWICK HILLS DR

FORT WORTH, TX 76132-

BROKER #: 4854
BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER SNOW

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|------------|---|-------------------------------------|---|--------|-------|------|-------|------|-------|
| 05/31/2018 | TRAILS | BLACK ROCK | B | 3.21 | 3.21 | 0.00 | 3.21 | 70.0 | 2.25 |
| 05/31/2018 | TRAILS | TOMORROWS SCHOLAR 529 PLAN | B | 22.58 | 22.58 | 0.00 | 22.58 | 70.0 | 15.81 |
| 06/20/2018 | 000001970770529 GARDNER, JR, JOHN HAROLD | TEMPLETON GROWTH FD CL 1 | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 06/20/2018 | 00087127617 BRENNAN, JEAN S | CAPITAL INCOME BUILDER-529A | B | 50.00 | 2.50 | 0.00 | 2.50 | 70.0 | 1.75 |
| 06/15/2018 | 00089517055 MCKIMMEY, KENDALL W. | AMCAP FUND-529A | B | 115.00 | 5.75 | 0.00 | 5.75 | 70.0 | 4.03 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS AMCAP FD | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS BALANCED FD | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS BD FD OF AMER FD | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS CAPITAL WORLD GROWT | B | 15.14 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS EURO PACIFIC GROWT | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS GROWTH FUND OF AMERI | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS INCOME FD OF AMERI | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS INTERM BD FD OF AMER | B | 7.59 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS INVESTMENT COMP OF A | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS NEW PERSPECTIVE FD | B | 15.18 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02000171308 CENTURY ENGINEERING | AMERICAN FUNDS WA MUTUAL INVES | B | 7.59 | 0.08 | 0.00 | 0.08 | 70.0 | 0.06 |
| 06/11/2018 | 02000171317 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS BD FD OF AMER FD | B | 30.00 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

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|--|---|-----------------------------------|---------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 06/11/2018 | 02000171317 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS INCOME FD OF | AMERI B | 30.00 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |
| 06/11/2018 | 02000171317 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS INTERM BD FD OF | AMER B | 30.00 | 0.30 | 0.00 | 0.30 | 70.0 | 0.21 |
| 06/11/2018 | 02000171317 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS WA MUTUAL | INVES B | 15.00 | 0.15 | 0.00 | 0.15 | 70.0 | 0.11 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS AMCAP FD | B | 2.00 | 0.02 | 0.00 | 0.02 | 70.0 | 0.01 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS BD FD OF AMER | FD B | 3.00 | 0.03 | 0.00 | 0.03 | 70.0 | 0.02 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS EURO PACIFIC | GROWT B | 2.00 | 0.02 | 0.00 | 0.02 | 70.0 | 0.01 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS GROWTH FUND OF | AMERI B | 2.00 | 0.02 | 0.00 | 0.02 | 70.0 | 0.01 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS INTERM BD FD OF | AMER B | 3.00 | 0.03 | 0.00 | 0.03 | 70.0 | 0.02 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS INVESTMENT COMP OF | A B | 3.00 | 0.03 | 0.00 | 0.03 | 70.0 | 0.02 |
| 06/11/2018 | 02001077857 ALL CALLS TO X #8076, TRANSFER | AMERICAN FUNDS WA MUTUAL | INVES B | 3.00 | 0.03 | 0.00 | 0.03 | 70.0 | 0.02 |
| 06/15/2018 | 04000205238 MCKIMMEY, KENDALL W. | CAPITAL INCOME BUILDER-529A | B | 115.00 | 5.75 | 0.00 | 5.75 | 70.0 | 4.03 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$630.55 | \$45.03 | \$0.00 | \$45.03 | | \$31.58 |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 06/28/2018 | SECURITIES, HILLTOP | -HILLTOP SECURITIES | B | 740.17 | 740.17 | 0.00 | 740.17 | 70.0 | 518.12 |
| * STOCKS SUBTOTAL * | | | | \$740.17 | \$740.17 | \$0.00 | \$740.17 | | \$518.12 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 06/08/2018 | TRAILS | TRANSAMERICA LIFE INSURANCE | B | 366.48 | 366.48 | 0.00 | 366.48 | 70.0 | 256.54 |
| 06/15/2018 | TRAILS | TRANSAMERICA LIFE INSURANCE | B | 261.26 | 261.26 | 0.00 | 261.26 | 70.0 | 182.88 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$627.74 | \$627.74 | \$0.00 | \$627.74 | | \$439.42 |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | |
| 04/17/2018 | 11124600 GOODMAN, PAUL R | SIGNAL SECURITIES RIA | B | 0.00 | 281.00 | 0.00 | 281.00 | 70.0 | 196.70 |
| 04/17/2018 | 12390799 SINGLETON, MARION B & STEV | SIGNAL SECURITIES RIA | B | 0.00 | 39.34 | 0.00 | 39.34 | 70.0 | 27.54 |
| 04/17/2018 | 18300233 MOORE, RUSSELL ALLAN | SIGNAL SECURITIES RIA | B | 0.00 | 132.66 | 0.00 | 132.66 | 70.0 | 92.86 |
| 04/17/2018 | 18987768 TREADWELL, TONEY ELLEN | SIGNAL SECURITIES RIA | B | 0.00 | 30.66 | 0.00 | 30.66 | 70.0 | 21.46 |
| 04/17/2018 | 22527639 ZEIGLER, MICHAEL | SIGNAL SECURITIES RIA | B | 0.00 | 9.66 | 0.00 | 9.66 | 70.0 | 6.76 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

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|------------------------------|--------------------------------|-----------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | |
| PATRICK | | | | | | | | | |
| 04/17/2018 | 27525617 NYUL, PAUL RYAN | SIGNAL SECURITIES RIA | B | 0.00 | 344.34 | 0.00 | 344.34 | 70.0 | 241.04 |
| 04/17/2018 | 27562425 MCKINNEY, HAROLD | SIGNAL SECURITIES RIA | B | 0.00 | 14.66 | 0.00 | 14.66 | 70.0 | 10.26 |
| LOUIS | | | | | | | | | |
| 04/17/2018 | 28409072 RITTBY, MAGNUS | SIGNAL SECURITIES RIA | B | 0.00 | 691.00 | 0.00 | 691.00 | 70.0 | 483.70 |
| LENNART | | | | | | | | | |
| 04/17/2018 | 28442209 GUTH, ERIC C. | SIGNAL SECURITIES RIA | B | 0.00 | 489.00 | 0.00 | 489.00 | 70.0 | 342.30 |
| 04/17/2018 | 29294822 UHR, ERNEST S | SIGNAL SECURITIES RIA | B | 0.00 | 23.34 | 0.00 | 23.34 | 70.0 | 16.34 |
| 04/17/2018 | 30917351 SCHEIDEMAN, SUMMER | SIGNAL SECURITIES RIA | B | 0.00 | 2.00 | 0.00 | 2.00 | 70.0 | 1.40 |
| SHARIE | | | | | | | | | |
| 06/19/2018 | 31528384 SAAD LIVING TRUST, | SIGNAL SECURITIES RIA | B | 0.00 | 2,433.00 | 0.00 | 2,433.00 | 70.0 | 1,703.10 |
| SHOUKRY & AWATE | | | | | | | | | |
| 04/17/2018 | 32062105 TRIMARCO, JOHN R. | SIGNAL SECURITIES RIA | B | 0.00 | 212.66 | 0.00 | 212.66 | 70.0 | 148.86 |
| 04/17/2018 | 37406476 WALES, CHRIS & ANDREA | SIGNAL SECURITIES RIA | B | 0.00 | 19.66 | 0.00 | 19.66 | 70.0 | 13.76 |
| 04/17/2018 | 39194204 THORSON, CRAIG ADAM | SIGNAL SECURITIES RIA | B | 0.00 | 420.34 | 0.00 | 420.34 | 70.0 | 294.24 |
| 04/17/2018 | 39923272 MOORE, RUSSELL ALLAN | SIGNAL SECURITIES RIA | B | 0.00 | 7.34 | 0.00 | 7.34 | 70.0 | 5.14 |
| 06/18/2018 | 401K SRNKA, DAVID | SIGNAL SECURITIES RIA | B | 0.00 | 90.34 | 0.00 | 90.34 | 70.0 | 63.24 |
| 04/17/2018 | 40772483 MCKINNEY, JUDITH ANN | SIGNAL SECURITIES RIA | B | 0.00 | 8.66 | 0.00 | 8.66 | 70.0 | 6.06 |
| 04/17/2018 | 41231228 HAGAN, SHERYL | SIGNAL SECURITIES RIA | B | 0.00 | 1,299.66 | 0.00 | 1,299.66 | 70.0 | 909.76 |
| 04/17/2018 | 44998184 FENLEY, REBECCA K | SIGNAL SECURITIES RIA | B | 0.00 | 217.34 | 0.00 | 217.34 | 70.0 | 152.14 |
| 04/17/2018 | 45505681 D'ONOFRIO, JUN & | SIGNAL SECURITIES RIA | B | 0.00 | 164.34 | 0.00 | 164.34 | 70.0 | 115.04 |
| BROOKE | | | | | | | | | |
| 04/17/2018 | 45603849 HOWARD REV. TRUST, | SIGNAL SECURITIES RIA | B | 0.00 | 13.00 | 0.00 | 13.00 | 70.0 | 9.10 |
| CAROLYN COX | | | | | | | | | |
| 04/17/2018 | 50429988 SINGLETON, MARION B & | SIGNAL SECURITIES RIA | B | 0.00 | 1,875.34 | 0.00 | 1,875.34 | 70.0 | 1,312.74 |
| STEV | | | | | | | | | |
| 04/17/2018 | 50676897 MERLO, CHRIS J. | SIGNAL SECURITIES RIA | B | 0.00 | 2.00 | 0.00 | 2.00 | 70.0 | 1.40 |
| 04/17/2018 | 50869265 MERLO, CHRIS J. | SIGNAL SECURITIES RIA | B | 0.00 | 5.66 | 0.00 | 5.66 | 70.0 | 3.96 |
| 04/17/2018 | 52520997 ZEIGLER III, JOHN W | SIGNAL SECURITIES RIA | B | 0.00 | 10.34 | 0.00 | 10.34 | 70.0 | 7.24 |
| 04/17/2018 | 52610132 SIMONE, GARY & | SIGNAL SECURITIES RIA | B | 0.00 | 128.00 | 0.00 | 128.00 | 70.0 | 89.60 |
| PENELOPE | | | | | | | | | |
| 06/19/2018 | 55290712 PROVOST, MORGAN | SIGNAL SECURITIES RIA | B | 0.00 | 585.00 | 0.00 | 585.00 | 70.0 | 409.50 |
| 04/17/2018 | 56345160 MCKIMMEY, DREW & | SIGNAL SECURITIES RIA | B | 0.00 | 12.66 | 0.00 | 12.66 | 70.0 | 8.86 |
| KENDALL | | | | | | | | | |
| 04/17/2018 | 57264459 KELLERMAN, MICHAEL E | SIGNAL SECURITIES RIA | B | 0.00 | 11.66 | 0.00 | 11.66 | 70.0 | 8.16 |
| 04/17/2018 | 60684203 UHR, ERNEST S | SIGNAL SECURITIES RIA | B | 0.00 | 3.34 | 0.00 | 3.34 | 70.0 | 2.34 |
| 04/17/2018 | 61324514 MORGAN, HELGA I | SIGNAL SECURITIES RIA | B | 0.00 | 36.00 | 0.00 | 36.00 | 70.0 | 25.20 |
| 04/17/2018 | 62479593 MCKIMMEY, DREW & | SIGNAL SECURITIES RIA | B | 0.00 | 17.66 | 0.00 | 17.66 | 70.0 | 12.36 |
| KENDALL | | | | | | | | | |
| 04/17/2018 | 63085125 SIMONE, PENELOPE ANN | SIGNAL SECURITIES RIA | B | 0.00 | 295.00 | 0.00 | 295.00 | 70.0 | 206.50 |

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|------------------------------|--|-----------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | | |
| OW | | | | | | | | | |
| 04/17/2018 | 71324279 TREADWELL, TONEY ELLEN | SIGNAL SECURITIES RIA | B | 0.00 | 562.00 | 0.00 | 562.00 | 70.0 | 393.40 |
| 04/17/2018 | 72500561 GUTH, ERIC C. | SIGNAL SECURITIES RIA | B | 0.00 | 0.34 | 0.00 | 0.34 | 70.0 | 0.24 |
| 04/17/2018 | 73189180 WIMBERLY, MELISSA BROWNING | SIGNAL SECURITIES RIA | B | 0.00 | 87.34 | 0.00 | 87.34 | 70.0 | 61.14 |
| 04/17/2018 | 74389400 ZEIGLER, MICHAEL PATRICK | SIGNAL SECURITIES RIA | B | 0.00 | 9.34 | 0.00 | 9.34 | 70.0 | 6.54 |
| 04/17/2018 | 74395342 ZEIGLER III, JOHN W | SIGNAL SECURITIES RIA | B | 0.00 | 9.34 | 0.00 | 9.34 | 70.0 | 6.54 |
| 04/17/2018 | 76521383 HENDERSON, CLIFFORD & KATH | SIGNAL SECURITIES RIA | B | 0.00 | 112.66 | 0.00 | 112.66 | 70.0 | 78.86 |
| 04/17/2018 | 77103397 UHR, MARGARET K | SIGNAL SECURITIES RIA | B | 0.00 | 128.34 | 0.00 | 128.34 | 70.0 | 89.84 |
| 04/17/2018 | 77901571 MCKIMMEY, DREW & KENDALL | SIGNAL SECURITIES RIA | B | 0.00 | 48.66 | 0.00 | 48.66 | 70.0 | 34.06 |
| 04/17/2018 | 78113997 UHR, ERNEST S | SIGNAL SECURITIES RIA | B | 0.00 | 61.66 | 0.00 | 61.66 | 70.0 | 43.16 |
| 04/17/2018 | 78797347 WALES, CHRIS & ANDREA | SIGNAL SECURITIES RIA | B | 0.00 | 70.66 | 0.00 | 70.66 | 70.0 | 49.46 |
| 04/17/2018 | 78947702 SIMONE, GARY & PENELOPE | SIGNAL SECURITIES RIA | B | 0.00 | 253.66 | 0.00 | 253.66 | 70.0 | 177.56 |
| 04/17/2018 | 80337453 TREADWELL, TONEY ELLEN | SIGNAL SECURITIES RIA | B | 0.00 | 108.34 | 0.00 | 108.34 | 70.0 | 75.84 |
| 04/17/2018 | 82350222 ZEIGLER, MICHAEL PATRICK | SIGNAL SECURITIES RIA | B | 0.00 | 239.66 | 0.00 | 239.66 | 70.0 | 167.76 |
| 04/17/2018 | 82374695 KELLERMAN, MICHAEL E | SIGNAL SECURITIES RIA | B | 0.00 | 181.66 | 0.00 | 181.66 | 70.0 | 127.16 |
| 04/17/2018 | 83025761 SIMONE, PENELOPE ANN OW | SIGNAL SECURITIES RIA | B | 0.00 | 131.66 | 0.00 | 131.66 | 70.0 | 92.16 |
| 04/17/2018 | 83130926 ZEIGLER, KATHY JO | SIGNAL SECURITIES RIA | B | 0.00 | 75.34 | 0.00 | 75.34 | 70.0 | 52.74 |
| 04/17/2018 | 87845699 KELLERMAN, NANCY/MICHAEL | SIGNAL SECURITIES RIA | B | 0.00 | 617.00 | 0.00 | 617.00 | 70.0 | 431.90 |
| 04/17/2018 | 92989374 SCHEIDEMAN, JOHN PARKER | SIGNAL SECURITIES RIA | B | 0.00 | 0.66 | 0.00 | 0.66 | 70.0 | 0.46 |
| 04/17/2018 | 93302124 SCHEIDEMAN, JOHN PARKER | SIGNAL SECURITIES RIA | B | 0.00 | 62.34 | 0.00 | 62.34 | 70.0 | 43.64 |
| 04/17/2018 | 95235681 STARE INVESTMENTS LP | SIGNAL SECURITIES RIA | B | 0.00 | 480.66 | 0.00 | 480.66 | 70.0 | 336.46 |
| 04/17/2018 | 97573277 GARZA, RICARDO C | SIGNAL SECURITIES RIA | B | 0.00 | 436.66 | 0.00 | 436.66 | 70.0 | 305.66 |
| 04/17/2018 | 98761332 LOPEZ, VICTOR | SIGNAL SECURITIES RIA | B | 0.00 | 9.00 | 0.00 | 9.00 | 70.0 | 6.30 |
| 04/17/2018 | 99462551 ZEIGLER III, JOHN W | SIGNAL SECURITIES RIA | B | 0.00 | 219.34 | 0.00 | 219.34 | 70.0 | 153.54 |
| * RIA SUBTOTAL * | | | | \$0.00 | \$13,832.98 | \$0.00 | \$13,832.98 | | \$9,683.08 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

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|---|---|---|---------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 07/02/2018 | TRAIL COMMISSIONS | THORNBURG FUNDS FEES | | 0.00 | 42.49 | 0.00 | 42.49 | 70.0 | 29.75 |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | \$0.00 | \$42.49 | \$0.00 | \$42.49 | | \$29.75 |
| | | *** BROKER TRANSACTIONS TOTAL *** | | \$1,998.46 | \$15,288.41 | \$0.00 | \$15,288.41 | | \$10,701.95 |
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | | |
| <u>BROKER: SNOW/MOYNIHAN #9543</u> | | | | | | | | | |
| 04/13/2018 | 47895792 HICKERSON, JAMIE MARJORIE | SIGNAL SECURITIES RIA | B | 0.00 | 252.40 | 0.00 | 252.40 | 70.0 | 176.68 |
| 04/13/2018 | 75756775 HICKERSON, JAMIE MARJORIE | SIGNAL SECURITIES RIA | B | 0.00 | 157.00 | 0.00 | 157.00 | 70.0 | 109.90 |
| 04/13/2018 | 83385351 COX, HARVEY N | SIGNAL SECURITIES RIA | B | 0.00 | 22.00 | 0.00 | 22.00 | 70.0 | 15.40 |
| 04/13/2018 | 91616746 COX, HARVEY N | SIGNAL SECURITIES RIA | B | 0.00 | 10.20 | 0.00 | 10.20 | 70.0 | 7.14 |
| | | * SNOW/MOYNIHAN SUBTOTAL * | | \$0.00 | \$441.60 | \$0.00 | \$441.60 | | \$309.12 |
| <u>BROKER: SINGLETON/SNOW #9575</u> | | | | | | | | | |
| 04/13/2018 | 57689062 SINGLETON, STEVEN B | SIGNAL SECURITIES RIA | B | 0.00 | 114.17 | 0.00 | 114.17 | 70.0 | 79.92 |
| 04/13/2018 | 97231190 CHRISTOFORETTI, II, ERIN/JOHN | SIGNAL SECURITIES RIA | B | 0.00 | 165.67 | 0.00 | 165.67 | 70.0 | 115.97 |
| | | * SINGLETON/SNOW SUBTOTAL * | | \$0.00 | \$279.84 | \$0.00 | \$279.84 | | \$195.89 |
| <u>BROKER: PALMERSHEIM/SNOW #9613</u> | | | | | | | | | |
| 06/19/2018 | BUSH, ABE A. (JR.) | SIGNAL SECURITIES RIA | B | 0.00 | 75.34 | 0.00 | 75.34 | 70.0 | 52.74 |
| 06/15/2018 | 00083950080 CUNNINGHAM, MARY | AMERICAN FUNDS EURO PACIFIC | GROWT B | 175.00 | 3.50 | 0.00 | 3.50 | 70.0 | 2.45 |
| 04/13/2018 | 10556019 GILLILAND, JULIE DEANN | SIGNAL SECURITIES RIA | B | 0.00 | 28.54 | 0.00 | 28.54 | 70.0 | 19.98 |
| 04/13/2018 | 10882572 BRUCE, JUDITH IRENE | SIGNAL SECURITIES RIA | B | 0.00 | 88.40 | 0.00 | 88.40 | 70.0 | 61.88 |
| 04/13/2018 | 15447982 PEARSON, DIANNE H | SIGNAL SECURITIES RIA | B | 0.00 | 110.80 | 0.00 | 110.80 | 70.0 | 77.56 |
| 04/13/2018 | 16695746 QUANBECK, REA DENISE | SIGNAL SECURITIES RIA | B | 0.00 | 28.80 | 0.00 | 28.80 | 70.0 | 20.16 |
| 04/13/2018 | 18091956 BRENNAN, JONATHAN & JEAN | SIGNAL SECURITIES RIA | B | 0.00 | 18.40 | 0.00 | 18.40 | 70.0 | 12.88 |
| 04/13/2018 | 20770029 BRENNAN, JONATHAN & JEAN | SIGNAL SECURITIES RIA | B | 0.00 | 185.20 | 0.00 | 185.20 | 70.0 | 129.64 |
| 04/13/2018 | 20872135 SLAUGHTER, JUSTINE MARIE | SIGNAL SECURITIES RIA | B | 0.00 | 58.40 | 0.00 | 58.40 | 70.0 | 40.88 |
| 04/13/2018 | 22163519 ABBOTT, JAMES ADDISON | SIGNAL SECURITIES RIA | B | 0.00 | 13.86 | 0.00 | 13.86 | 70.0 | 9.70 |
| 04/13/2018 | 27933838 RUSCH, GIGI | SIGNAL SECURITIES RIA | B | 0.00 | 19.20 | 0.00 | 19.20 | 70.0 | 13.44 |
| 04/13/2018 | 29192217 JOHNSON, TONYA WAYNETTE | SIGNAL SECURITIES RIA | B | 0.00 | 57.06 | 0.00 | 57.06 | 70.0 | 39.94 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

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|---------------------------------------|-----------------------------|-----------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | | |
| BROKER: PALMERSHEIM/SNOW #9613 | | | | | | | | | |
| 04/13/2018 29329286 | QUANBECK, GORDON (HAL) | SIGNAL SECURITIES RIA | B | 0.00 | 236.94 | 0.00 | 236.94 | 70.0 | 165.86 |
| 04/13/2018 30561785 | THOMAS, LARRY D | SIGNAL SECURITIES RIA | B | 0.00 | 84.54 | 0.00 | 84.54 | 70.0 | 59.18 |
| 04/13/2018 31207916 | JACKSON, DIANE LYNN | SIGNAL SECURITIES RIA | B | 0.00 | 74.80 | 0.00 | 74.80 | 70.0 | 52.36 |
| 04/13/2018 31528580 | STELLY, PAUL | SIGNAL SECURITIES RIA | B | 0.00 | 215.86 | 0.00 | 215.86 | 70.0 | 151.10 |
| 04/13/2018 31671856 | THOMAS, POLLY S. | SIGNAL SECURITIES RIA | B | 0.00 | 12.26 | 0.00 | 12.26 | 70.0 | 8.58 |
| 04/13/2018 33117987 | STELLY, PAUL | SIGNAL SECURITIES RIA | B | 0.00 | 12.94 | 0.00 | 12.94 | 70.0 | 9.06 |
| 04/13/2018 35080832 | SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | B | 0.00 | 21.86 | 0.00 | 21.86 | 70.0 | 15.30 |
| 04/13/2018 35770153 | RUSCH, GIGI | SIGNAL SECURITIES RIA | B | 0.00 | 4.94 | 0.00 | 4.94 | 70.0 | 3.46 |
| 04/13/2018 39222483 | REESE, ANDREW /PEGGY | SIGNAL SECURITIES RIA | B | 0.00 | 103.86 | 0.00 | 103.86 | 70.0 | 72.70 |
| 04/13/2018 39816703 | WARREN, GLORIA CLARK | SIGNAL SECURITIES RIA | B | 0.00 | 72.14 | 0.00 | 72.14 | 70.0 | 50.50 |
| 06/18/2018 401K OPTIMIZER | PUKIS, BARBARA ANN | SIGNAL SECURITIES RIA | B | 0.00 | 96.00 | 0.00 | 96.00 | 70.0 | 67.20 |
| 04/13/2018 41540562 | LOCKER, PAMELA LAYTON | SIGNAL SECURITIES RIA | B | 0.00 | 8.54 | 0.00 | 8.54 | 70.0 | 5.98 |
| 04/13/2018 41540562 | LOCKER, PAMELA LAYTON | SIGNAL SECURITIES RIA | B | 0.00 | 149.74 | 0.00 | 149.74 | 70.0 | 104.82 |
| 04/13/2018 42458316 | WARREN, WILLIAM& GLORIA | SIGNAL SECURITIES RIA | B | 0.00 | 42.14 | 0.00 | 42.14 | 70.0 | 29.50 |
| 04/13/2018 49635286 | BERNARD, DONNA GAY PANCA | SIGNAL SECURITIES RIA | B | 0.00 | 17.20 | 0.00 | 17.20 | 70.0 | 12.04 |
| 04/13/2018 51260395 | SUTHERLAND, MIKE DEAN | SIGNAL SECURITIES RIA | B | 0.00 | 276.14 | 0.00 | 276.14 | 70.0 | 193.30 |
| 04/13/2018 51489395 | FRIEDMANN, LINDA L | SIGNAL SECURITIES RIA | B | 0.00 | 135.46 | 0.00 | 135.46 | 70.0 | 94.82 |
| 04/13/2018 52007712 | CHRISTY, MARYLOU B | SIGNAL SECURITIES RIA | B | 0.00 | 2.66 | 0.00 | 2.66 | 70.0 | 1.86 |
| 04/13/2018 56512595 | BRENNAN, JONATHAN & JEAN | SIGNAL SECURITIES RIA | B | 0.00 | 6.94 | 0.00 | 6.94 | 70.0 | 4.86 |
| 04/13/2018 58196619 | STELLY, TIMOTHY & HALEY | SIGNAL SECURITIES RIA | B | 0.00 | 0.94 | 0.00 | 0.94 | 70.0 | 0.66 |
| 04/13/2018 59968411 | KINUNEN, LEZLIE ANNE | SIGNAL SECURITIES RIA | B | 0.00 | 89.06 | 0.00 | 89.06 | 70.0 | 62.34 |
| 04/13/2018 62652031 | JACKSON, DIANE LYNN | SIGNAL SECURITIES RIA | B | 0.00 | 103.74 | 0.00 | 103.74 | 70.0 | 72.62 |
| 04/13/2018 64024640 | QUANBECK, GORDON (HAL) | SIGNAL SECURITIES RIA | B | 0.00 | 25.74 | 0.00 | 25.74 | 70.0 | 18.02 |
| 04/13/2018 64679619 | WARREN, WILLIAM& GLORIA | SIGNAL SECURITIES RIA | B | 0.00 | 76.54 | 0.00 | 76.54 | 70.0 | 53.58 |
| 04/13/2018 67104851 | JENSEN, ANN MARIE | SIGNAL SECURITIES RIA | B | 0.00 | 122.00 | 0.00 | 122.00 | 70.0 | 85.40 |
| 04/13/2018 68428952 | HORN, JEFFREY | SIGNAL SECURITIES RIA | B | 0.00 | 233.74 | 0.00 | 233.74 | 70.0 | 163.62 |
| 04/13/2018 68892175 | LAWRENCE, JERROLD B | SIGNAL SECURITIES RIA | B | 0.00 | 177.20 | 0.00 | 177.20 | 70.0 | 124.04 |
| 04/13/2018 70196330 | JACKSON, DIANE LYNN | SIGNAL SECURITIES RIA | B | 0.00 | 31.74 | 0.00 | 31.74 | 70.0 | 22.22 |
| 04/13/2018 71014745 | ELLIOTT, SUSAN HUDSON | SIGNAL SECURITIES RIA | B | 0.00 | 15.86 | 0.00 | 15.86 | 70.0 | 11.10 |
| 04/13/2018 72540730 | BLALOCK III, WILLIAM J | SIGNAL SECURITIES RIA | B | 0.00 | 156.14 | 0.00 | 156.14 | 70.0 | 109.30 |
| 04/13/2018 73959390 | VOIRIN FAMILY TRUST | SIGNAL SECURITIES RIA | B | 0.00 | 16.66 | 0.00 | 16.66 | 70.0 | 11.66 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|-----------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | | |
| <u>BROKER: PALMERSHEIM/SNOW #9613</u> | | | | | | | | | |
| 04/13/2018 | 74609933 REESE, PEGGY M | SIGNAL SECURITIES RIA | B | 0.00 | 8.80 | 0.00 | 8.80 | 70.0 | 6.16 |
| 04/13/2018 | 74970598 MCKEEL, GWEN F | SIGNAL SECURITIES RIA | B | 0.00 | 17.60 | 0.00 | 17.60 | 70.0 | 12.32 |
| 04/13/2018 | 75970772 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | B | 0.00 | 20.66 | 0.00 | 20.66 | 70.0 | 14.46 |
| 04/13/2018 | 79512456 BRUCE, GRANVILLE WAYNE | SIGNAL SECURITIES RIA | B | 0.00 | 54.66 | 0.00 | 54.66 | 70.0 | 38.26 |
| 04/13/2018 | 81184800 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | B | 0.00 | 191.20 | 0.00 | 191.20 | 70.0 | 133.84 |
| 04/13/2018 | 81184802 SHIRLEY, RALPH W. | SIGNAL SECURITIES RIA | B | 0.00 | 31.06 | 0.00 | 31.06 | 70.0 | 21.74 |
| 04/13/2018 | 81944763 RENDER, SYLVIA/RICHARD | SIGNAL SECURITIES RIA | B | 0.00 | 149.60 | 0.00 | 149.60 | 70.0 | 104.72 |
| 04/13/2018 | 82007581 KEOGH, FRANCIS JOSEPH | SIGNAL SECURITIES RIA | B | 0.00 | 147.60 | 0.00 | 147.60 | 70.0 | 103.32 |
| 04/13/2018 | 85616643 STELLY, TIMOTHY & HALEY | SIGNAL SECURITIES RIA | B | 0.00 | 19.60 | 0.00 | 19.60 | 70.0 | 13.72 |
| 04/13/2018 | 87940275 HART, CHARLOTT/ROBERT | SIGNAL SECURITIES RIA | B | 0.00 | 75.86 | 0.00 | 75.86 | 70.0 | 53.10 |
| 04/13/2018 | 89721188 HARRIS, SHARON K | SIGNAL SECURITIES RIA | B | 0.00 | 0.54 | 0.00 | 0.54 | 70.0 | 0.38 |
| 04/13/2018 | 90768067 ELLIOTT, SUSAN HUDSON | SIGNAL SECURITIES RIA | B | 0.00 | 106.40 | 0.00 | 106.40 | 70.0 | 74.48 |
| 04/13/2018 | 91118996 BRENNAN, JEAN S | SIGNAL SECURITIES RIA | B | 0.00 | 7.46 | 0.00 | 7.46 | 70.0 | 5.22 |
| 04/13/2018 | 91789542 FRIEDMANN, LINDA L | SIGNAL SECURITIES RIA | B | 0.00 | 30.00 | 0.00 | 30.00 | 70.0 | 21.00 |
| 04/13/2018 | 91886872 ABBOTT, NANCYE/JAMES | SIGNAL SECURITIES RIA | B | 0.00 | 267.86 | 0.00 | 267.86 | 70.0 | 187.50 |
| 04/13/2018 | 95761721 KEOGH, FRANCIS JOSEPH | SIGNAL SECURITIES RIA | B | 0.00 | 4.00 | 0.00 | 4.00 | 70.0 | 2.80 |
| 04/13/2018 | 96915588 JENSEN, ANN MARIE | SIGNAL SECURITIES RIA | B | 0.00 | 8.66 | 0.00 | 8.66 | 70.0 | 6.06 |
| 04/13/2018 | 97397744 REESE, ANDREW /PEGGY | SIGNAL SECURITIES RIA | B | 0.00 | 72.66 | 0.00 | 72.66 | 70.0 | 50.86 |
| 04/13/2018 | 99442454 CHRISTY, MARYLOU B | SIGNAL SECURITIES RIA | B | 0.00 | 16.94 | 0.00 | 16.94 | 70.0 | 11.86 |
| 06/18/2018 | FIDELITY 401K KERN, NINA M | SIGNAL SECURITIES RIA | B | 0.00 | 118.14 | 0.00 | 118.14 | 70.0 | 82.70 |
| * PALMERSHEIM/SNOW SUBTOTAL * | | | | \$175.00 | \$4,661.12 | \$0.00 | \$4,661.12 | | \$3,262.79 |
| <u>BROKER: ERIC CHARLES GUTH #9617</u> | | | | | | | | | |
| 04/13/2018 | 11999298 BELL, GARY WILLIAM | SIGNAL SECURITIES RIA | B | 0.00 | 249.36 | 0.00 | 249.36 | 70.0 | 174.55 |
| 04/13/2018 | 13081653 FLIERINGA, CHARLES | SIGNAL SECURITIES RIA | B | 0.00 | 16.56 | 0.00 | 16.56 | 70.0 | 11.59 |
| 04/13/2018 | 17017159 RAY, PATSY & CHARLES | SIGNAL SECURITIES RIA | B | 0.00 | 1.12 | 0.00 | 1.12 | 70.0 | 0.78 |
| 04/13/2018 | 24551097 HOBAN, THOMAS WILLIAM | SIGNAL SECURITIES RIA | B | 0.00 | 64.56 | 0.00 | 64.56 | 70.0 | 45.19 |
| 04/13/2018 | 27613913 RAINES, GEORGE JEFFREY | SIGNAL SECURITIES RIA | B | 0.00 | 72.40 | 0.00 | 72.40 | 70.0 | 50.68 |
| 04/13/2018 | 29396124 COSTAS, PETER J & TAMMY M. | SIGNAL SECURITIES RIA | B | 0.00 | 16.08 | 0.00 | 16.08 | 70.0 | 11.26 |
| 04/13/2018 | 32611628 BELL, BETTE WYNNE W | SIGNAL SECURITIES RIA | B | 0.00 | 18.24 | 0.00 | 18.24 | 70.0 | 12.77 |
| 04/13/2018 | 34872059 HENDERSON, BILLY R | SIGNAL SECURITIES RIA | B | 0.00 | 208.64 | 0.00 | 208.64 | 70.0 | 146.05 |
| 04/13/2018 | 37351723 CONNERY, FRANK /E DIANNE | SIGNAL SECURITIES RIA | B | 0.00 | 208.16 | 0.00 | 208.16 | 70.0 | 145.71 |
| 04/13/2018 | 39099528 BELL, BETTE WYNNE W | SIGNAL SECURITIES RIA | B | 0.00 | 23.60 | 0.00 | 23.60 | 70.0 | 16.52 |
| 04/13/2018 | 52065317 EWALD, JENNIFER | SIGNAL SECURITIES RIA | B | 0.00 | 26.48 | 0.00 | 26.48 | 70.0 | 18.54 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------|--------------------------------|-----------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| SPLIT TRANSACTIONS | | | | | | | | | |
| BROKER: ERIC CHARLES GUTH #9617 | | | | | | | | | |
| JUDITH | | | | | | | | | |
| 04/13/2018 | 58050029 RAINES, JOEY | SIGNAL SECURITIES RIA | B | 0.00 | 13.84 | 0.00 | 13.84 | 70.0 | 9.69 |
| SHANKLAND | | | | | | | | | |
| 04/13/2018 | 68493114 CRUMRINE, DOUGLAS L | SIGNAL SECURITIES RIA | B | 0.00 | 120.48 | 0.00 | 120.48 | 70.0 | 84.34 |
| 04/13/2018 | 84865050 SHANKLAND, SCOTT | SIGNAL SECURITIES RIA | B | 0.00 | 56.08 | 0.00 | 56.08 | 70.0 | 39.26 |
| GARBER | | | | | | | | | |
| 04/13/2018 | 86608828 FLIERINGA, CHARLES | SIGNAL SECURITIES RIA | B | 0.00 | 16.72 | 0.00 | 16.72 | 70.0 | 11.70 |
| 04/13/2018 | 89069414 COSTAS, PETER J & | SIGNAL SECURITIES RIA | B | 0.00 | 0.80 | 0.00 | 0.80 | 70.0 | 0.56 |
| TAMMY M. | | | | | | | | | |
| 04/13/2018 | 90329067 RAY, BRUCE EDWIN | SIGNAL SECURITIES RIA | B | 0.00 | 94.24 | 0.00 | 94.24 | 70.0 | 65.97 |
| 04/13/2018 | 94409837 RAY, JUDITH ANDIA | SIGNAL SECURITIES RIA | B | 0.00 | 5.84 | 0.00 | 5.84 | 70.0 | 4.09 |
| 04/13/2018 | 98008502 RAY, PATSY & CHARLES | SIGNAL SECURITIES RIA | B | 0.00 | 10.32 | 0.00 | 10.32 | 70.0 | 7.22 |
| 04/13/2018 | 98257329 CONNERY, FRANK /E | SIGNAL SECURITIES RIA | B | 0.00 | 218.16 | 0.00 | 218.16 | 70.0 | 152.71 |
| DIANNE | | | | | | | | | |
| 04/13/2018 | 98569607 HOBAN, THOMAS WILLIAM | SIGNAL SECURITIES RIA | B | 0.00 | 15.04 | 0.00 | 15.04 | 70.0 | 10.53 |
| * ERIC CHARLES GUTH SUBTOTAL * | | | | 0.00 | 1,456.72 | 0.00 | 1,456.72 | | 1,019.71 |
| BROKER: SNOW/POOL #9627 | | | | | | | | | |
| 06/27/2018 | TRAILS | MASS MUTUAL | B | 20.97 | 12.58 | 0.00 | 12.58 | 70.0 | 8.81 |
| * SNOW/POOL SUBTOTAL * | | | | 20.97 | 12.58 | 0.00 | 12.58 | | 8.81 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | | 195.97 | 6,851.86 | 0.00 | 6,851.86 | | 4,796.32 |

OVERRIDE TRANSACTIONS

| | | | | | | | | | |
|---|-------------------------------------|-----------------------|---|--------|------------|--------|------------|--|----------|
| <u>BROKER: THOMPSON/SIGNAL #9622</u> | | | | | | | | | |
| 04/13/2018 | 35296442 DAUM (SEPARATE PROP), | SIGNAL SECURITIES RIA | B | 0.00 | 5,145.66 | 0.00 | 5,145.66 | | 283.01 |
| | JERRY F | | | | | | | | |
| | * THOMPSON/SIGNAL SUBTOTAL * | | | \$0.00 | \$5,145.66 | \$0.00 | \$5,145.66 | | \$283.01 |
| | *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$0.00 | \$5,145.66 | \$0.00 | \$5,145.66 | | \$283.01 |

COMMISSION STATEMENT for CHRISTOPHER SNOW

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

| | | | | | | | | | |
|---------------------------|--|-------------------------|--|--------|--------|--------|--------|--|----------|
| 07/02/2018 | | MISC: 10% OVERRIDE 9533 | | 0.00 | 0.00 | 0.00 | 0.00 | | 140.10 |
| 07/02/2018 | | POSTAGE: FEDEX CHARGES | | 0.00 | 0.00 | 0.00 | 0.00 | | -18.04 |
| *** ADJUSTMENTS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$122.06 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$10,701.95 |
| Split Transactions | \$4,796.32 |
| Override Transactions | \$283.01 |
| Adjustments | \$122.06 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$15,903.34 |
| Year-to-date Earnings | \$136,618.48 |