



Concorde Investment Services, LLC
COMMISSION STATEMENT
 June 15, 2017

06/13/2017
 Page No. 1

JOEL WOODS
 1412 Blue Quail Run

 Crowley, TX 76036-

BROKER #: W143
 BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
05/22/2017	TMICO TRUST CO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	90.0	0.14
05/22/2017	TMICO TRUST CO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.77	0.00	0.77	90.0	0.69
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$0.92	\$0.00	\$0.92		\$0.83
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	2.12	0.00	2.12	90.0	1.91
06/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	SHAW, DEBRA	AM FUNDS AMERICAN BALANCED 529A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	PERRY, JANINE	AM FUNDS AMERICAN BALANCED C	0.00	6.04	0.00	6.04	90.0	5.44
06/01/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	2.28	0.00	2.28	90.0	2.05
06/01/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	0.00	2.27	0.00	2.27	90.0	2.04
05/30/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.93	0.00	1.93	90.0	1.74
06/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.32	0.00	1.32	90.0	1.19
06/01/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.84	0.00	0.84	90.0	0.76
06/01/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.89	0.00	2.89	90.0	2.60
06/01/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.55	0.00	0.55	90.0	0.50
06/01/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.02	0.00	0.02	90.0	0.02
06/01/2017	PELLEY, MADISON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.93	0.00	2.93	90.0	2.64
06/01/2017	PELLEY, MADISON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.93	0.00	2.93	90.0	2.64
06/01/2017	CONTRERAS, COURTNEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.01	0.00	4.01	90.0	3.61
06/01/2017	PERRY, JANINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.21	0.00	0.21	90.0	0.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.13	0.00	2.13	90.0	1.92
06/01/2017	WILSON, TED	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	11.22	0.00	11.22	90.0	10.10
06/01/2017	PERRY, JANINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	ST JOHN, FINN	AM FUNDS GLOBAL BALANCED 529A	0.00	0.40	0.00	0.40	90.0	0.36
06/01/2017	ST JOHN, FINN	AM FUNDS GLOBAL BALANCED 529A	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.07	0.00	2.07	90.0	1.86
06/01/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.24	0.00	1.24	90.0	1.12
06/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.58	0.00	0.58	90.0	0.52
06/01/2017	PELLEY, MADISON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.00	0.00	3.00	90.0	2.70
06/01/2017	PELLEY, MADISON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.00	0.00	3.00	90.0	2.70
06/02/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
06/01/2017	PERRY, JANINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.76	0.00	7.76	90.0	6.98
06/01/2017	PERRY, JANINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	0.34	0.00	0.34	90.0	0.31
06/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	5.43	0.00	5.43	90.0	4.89
06/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	2.19	0.00	2.19	90.0	1.97
06/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	2.50	0.00	2.50	90.0	2.25
06/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	1.52	0.00	1.52	90.0	1.37
06/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	0.91	0.00	0.91	90.0	0.82
06/01/2017	ST JOHN, FINN	AM FUNDS ICA 529A	0.00	1.82	0.00	1.82	90.0	1.64
06/01/2017	ST JOHN, FINN	AM FUNDS ICA 529A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	PELLEY, MADISON	AM FUNDS ICA 529C	0.00	3.08	0.00	3.08	90.0	2.77
06/01/2017	PELLEY, MADISON	AM FUNDS ICA 529C	0.00	3.08	0.00	3.08	90.0	2.77
06/01/2017	CONTRERAS, COURTNEY	AM FUNDS ICA A	0.00	4.84	0.00	4.84	90.0	4.36
06/01/2017	PERRY, JANINE	AM FUNDS ICA C	0.00	7.43	0.00	7.43	90.0	6.69
06/01/2017	PERRY, JANINE	AM FUNDS ICA F1	0.00	2.42	0.00	2.42	90.0	2.18
06/01/2017	ST JOHN, FINN	AM FUNDS SMALLCAP WORLD-529A	0.00	0.48	0.00	0.48	90.0	0.43

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ST JOHN, FINN	AM FUNDS SMALLCAP WORLD-529A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	PELLEY, MADISON	AM FUNDS SMALLCAP WORLD-529C	0.00	2.95	0.00	2.95	90.0	2.66
06/01/2017	PELLEY, MADISON	AM FUNDS SMALLCAP WORLD-529C	0.00	2.95	0.00	2.95	90.0	2.66
06/01/2017	PERRY, JANINE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.21	0.00	2.21	90.0	1.99
05/25/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	40.00	1.40	0.00	1.40	90.0	1.26
06/01/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
05/10/2017	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.41	0.00	8.41	90.0	7.57
05/09/2017	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	6.90	0.00	6.90	90.0	6.21
05/09/2017	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	6.43	0.00	6.43	90.0	5.79
05/09/2017	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	12.19	0.00	12.19	90.0	10.97
05/04/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	39,750.17	0.00	0.00	0.00	90.0	0.00
05/04/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	-39,750.20	0.00	0.00	0.00	90.0	0.00
05/04/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	39,750.17	22.00	0.00	22.00	90.0	19.80
05/09/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	4.12	0.00	4.12	90.0	3.71
05/09/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	4.88	0.00	4.88	90.0	4.39
05/25/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
05/30/2017	PHIPPS TRAD 0016025, CHRISTEEN	INVESCO BALANCED-RISK ALLOCATION-C	12,500.00	125.00	0.00	125.00	90.0	112.50
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.41	0.00	1.41	90.0	1.27
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.41	0.00	1.41	90.0	1.27
05/09/2017	CROSS, BETTY	MFS UTILITIES A	0.00	3.52	0.00	3.52	90.0	3.17
05/09/2017	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	5.03	0.00	5.03	90.0	4.53
05/09/2017	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.74	0.00	5.74	90.0	5.17
06/02/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.85	0.00	4.85	90.0	4.37
06/02/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	17.97	0.00	17.97	90.0	16.17
06/02/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	13.74	0.00	13.74	90.0	12.37
* MUTUAL FUNDS SUBTOTAL *			\$52,615.14	\$383.81	\$0.00	\$383.81		\$345.50
<u>PRODUCT CATEGORY: BONDS</u>								
05/09/2017	DOUGAN, TOMMIE	WESTERN ASSET MANAGED MUNS A	0.00	1.00	0.00	1.00	90.0	0.90
* BONDS SUBTOTAL *			\$0.00	\$1.00	\$0.00	\$1.00		\$0.90
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	9,110.00	1.90	0.00	1.90	90.0	1.71
05/31/2017	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	97,522.26	40.63	0.00	40.63	90.0	36.57

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	CADY, KENDA	JNL PERSPECTIVE L	27,296.97	29.57	0.00	29.57	90.0	26.61
05/31/2017	HEIL, ROBERT	JNL PERSPECTIVE L	55,300.07	59.91	0.00	59.91	90.0	53.92
05/31/2017	TOMLINSON, HAROLD	JNL PERSPECTIVE L	112,348.98	121.71	0.00	121.71	90.0	109.54
05/31/2017	MARKS, FRANCES	JNL PERSPECTIVE L	46,671.49	50.56	0.00	50.56	90.0	45.50
05/31/2017	MARKS, RICHARD	JNL PERSPECTIVE L	46,763.33	50.66	0.00	50.66	90.0	45.59
05/31/2017	SENESE, CATHERINE	JNL PERSPECTIVE L	6,651.43	7.21	0.00	7.21	90.0	6.49
05/31/2017	HARVEY, HELEN	JNL PERSPECTIVE L	90,434.30	97.97	0.00	97.97	90.0	88.17
05/31/2017	SENESE, CARMEN	JNL PERSPECTIVE L	21,550.37	23.35	0.00	23.35	90.0	21.02
05/31/2017	HARVEY, JESSE	JNL PERSPECTIVE L	110,053.48	119.22	0.00	119.22	90.0	107.30
05/31/2017	PERRY, JANINE	JNL PERSPECTIVE L	17,948.13	19.44	0.00	19.44	90.0	17.50
05/31/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	193,850.28	161.54	0.00	161.54	90.0	145.39
05/31/2017	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	70,586.67	58.82	0.00	58.82	90.0	52.94
05/24/2017	GORDON, JEFFREY	PAC LIFE 69448B175	0.00	219.21	0.00	219.21	90.0	197.29
* VARIABLE ANNUITIES SUBTOTAL *			\$906,087.76	\$1,061.70	\$0.00	\$1,061.70		\$955.54
*** BROKER TRANSACTIONS TOTAL ***			\$958,702.90	\$1,447.43	\$0.00	\$1,447.43		\$1,302.77

VERRIDE TRANSACTIONS**BROKER: MARK-S141 REG SMITH #367**

06/01/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN BALANCED A	0.00	4.47	0.00	4.47	48.0	1.88
06/01/2017	BOWLAND, TERRI	AM FUNDS AMERICAN BALANCED A	0.00	6.88	0.00	6.88	48.0	2.89
06/01/2017	SCHONFELD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	4.34	0.00	4.34	48.0	1.82
05/09/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.73	0.00	3.73	48.0	1.57
05/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
06/01/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.20	0.00	1.20	48.0	0.50
06/01/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	3.89	0.00	3.89	48.0	1.63
05/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.97	0.00	5.97	48.0	2.51
06/01/2017	SCHONFELD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.56	0.00	2.56	48.0	1.08
05/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.23	0.00	3.23	48.0	1.36
06/01/2017	SCHONFELD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.96	0.00	2.96	48.0	1.24
05/09/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.42	0.00	1.42	48.0	0.60
06/01/2017	BOWLAND, BOBBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.40	0.00	3.40	48.0	1.43

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
06/01/2017	BOWLAND, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.22	0.00	5.22	48.0	2.19
06/01/2017	STEPHENS, BRAZOS	AM FUNDS GROWTH PORT 529A	0.00	7.83	0.00	7.83	48.0	3.29
05/09/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.61	0.00	3.61	48.0	1.52
05/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.06
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.14	0.00	0.14	48.0	0.06
05/09/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.38	0.00	2.38	48.0	1.00
05/09/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.16	0.00	3.16	48.0	1.33
05/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
05/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.08
05/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	48.0	0.08
06/01/2017	BOWLAND, BOBBY	AM FUNDS NEW PERSPECTIVE A	0.00	3.23	0.00	3.23	48.0	1.36
06/01/2017	BOWLAND, TERRI	AM FUNDS NEW PERSPECTIVE A	0.00	4.66	0.00	4.66	48.0	1.96
06/01/2017	WILLIAMS, JUANA	AMERICAN FUNDS US GOV'T SECURITIES	0.00	4.24	0.00	4.24	48.0	1.78
05/31/2017	TO DST TOD RULES, SUBJECT	JNL ELITE ACCESS	73,303.05	15.27	0.00	15.27	48.0	6.41
05/31/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,241.27	32.76	0.00	32.76	48.0	13.76
05/31/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	145,437.88	157.56	0.00	157.56	48.0	66.18
05/31/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,496.87	23.75	0.00	23.75	48.0	9.98
05/09/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.93	0.00	0.93	48.0	0.39
05/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.79	0.00	0.79	48.0	0.33
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
06/02/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.04	0.00	11.04	48.0	4.64
06/02/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.32	0.00	4.32	48.0	1.81
* MARK-S141 REG SMITH SUBTOTAL *			\$277,479.07	\$325.98	\$0.00	\$325.98		\$136.95
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
05/22/2017	ORR, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.58	0.00	1.58	49.0	0.68
05/22/2017	SPENCER, HAROLD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.39	0.00	4.39	49.0	1.89
05/22/2017	WILSON REV TRUST, WOODROW &	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.98	0.00	1.98	49.0	0.85
05/22/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.35	0.00	0.35	49.0	0.15
* MARK-S142 ALTS SMITH SUBTOTAL *			\$0.00	\$8.30	\$0.00	\$8.30		\$3.57

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$277,479.07	\$334.28	\$0.00	\$334.28		\$140.52

<u>ADJUSTMENTS</u>								
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$1,302.77
Split Transactions	\$0.00
Override Transactions	\$140.52
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$77.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$945.39
Year-to-date Gross	\$38,302.85
Year-to-date Earnings	\$72,026.56

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed