

All Companies
STATEMENT
April 20, 2018

BRYAN DUCKETT
11761 Connecticut Dr

BROKER #: 009
BRANCH: LA BRANCH

Rancho Cucamonga, CA 91730-

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
03/03/0018 #81984090101	HOPSON, ERNEST	COLLEGE BOUND 76220Y679	B	50.00	0.20	0.00	0.00	0.20	88.0	0.18
03/03/0018 #81984090102	HOPSON, ERNEST	COLLEGE BOUND 76220Y877	B	50.00	0.20	0.00	0.00	0.20	88.0	0.18
03/19/2018 #00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	100.00	3.50	0.00	0.00	3.50	88.0	3.08
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$3.90	\$0.00	\$0.00	\$3.90		\$3.44

PRODUCT CATEGORY: STOCKS

02/27/2018 #		SPDR INDEX SHARES FUNDS	B	4,170.40	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR INDEX SHARES FUNDS	B	1,576.38	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR INDEX SHARES FUNDS	B	211.80	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR INDEX SHS FDS	B	1,001.66	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR INDEX SHS FDS	B	574.72	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST	B	2,968.00	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST	B	605.77	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST	B	407.04	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST	B	402.72	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST	B	606.87	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO LA B		5,590.00	0.00	19.95	0.00	-19.95	88.0	-17.56
02/27/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO SM B		1,794.78	0.00	19.95	0.00	-19.95	88.0	-17.56
03/01/2018 #	MARCOUX, GAIL	VANGUARD SCOTTSDALE FUNDS VANGUARD S		1,175.59	0.00	9.95	0.00	-9.95	88.0	-8.76
03/06/2018 #	LUTZ, THOMAS	INTERPUBLIC GROUP OF COS INC	B	11,814.20	158.22	19.95	0.00	138.27	88.0	121.68
03/06/2018 #	LUTZ, THOMAS	WESTPAC BANKING CORPORATION SPONSOR B		10,896.59	158.48	19.95	0.00	138.53	88.0	121.91
03/07/2018 #		SPDR INDEX SHARES FUNDS	B	1,444.83	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR INDEX SHARES FUNDS	B	553.14	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR INDEX SHARES FUNDS	B	52.18	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR INDEX SHS FDS	B	320.53	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR INDEX SHS FDS	B	176.75	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR SERIES TRUST	B	1,035.59	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR SERIES TRUST	B	221.16	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR SERIES TRUST	B	136.74	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR SERIES TRUST	B	133.92	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR SERIES TRUST	B	219.00	0.00	19.95	0.00	-19.95	88.0	-17.56

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
03/07/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO LA B		1,906.80	0.00	19.95	0.00	-19.95	88.0	-17.56
03/07/2018 #		SPDR SERIES TRUST SPDR PORTFOLIO SM B		611.36	0.00	19.95	0.00	-19.95	88.0	-17.56
03/13/2018 #	MUOLO, HELYN	GUGGENHEIM S&P MIDCAP 400 PURE GROW B		7,045.55	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	GUGGENHEIM S&P MIDCAP 400 PURE GROW B		1,307.20	50.00	19.95	0.00	30.05	88.0	26.44
03/13/2018 #	SCAFFIDE, SAM	GUGGENHEIM S&P MIDCAP 400 PURE GROW B		4,901.70	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	ISHARES MORNINGSTAR SMALL CAP ETF B		6,895.98	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	POWERSHARES QQQ TRUST B		4,660.67	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	SECTOR SPDR - FINANCIAL B		8,222.48	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	SECTOR SPDR TRUST B		6,130.20	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	SELECT SECTOR SPDR TRUST SHS BEN IN B		2,932.60	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	SELECT SECTOR SPDR TRUST THE REAL E S		312.10	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	SPDR SER TR S&P RETAIL ETF B		4,457.78	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD INDEX FUNDS VANGUARD EXTEN S		5,593.92	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD INDEX FUNDS VANGUARD TOTAL B		11,146.79	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	VANGUARD INDEX FUNDS VANGUARD TOTAL B		9,967.83	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	VANGUARD INDEX FUNDS VANGUARD TOTAL B		5,695.10	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD INTERNATIONAL EQUITY INDEX S		3,288.48	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	VANGUARD LONG TERM BOND ETF B		626.92	50.00	19.95	0.00	30.05	88.0	26.44
03/13/2018 #	SCAFFIDE, SAM	VANGUARD LONG TERM BOND ETF B		1,433.44	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	VANGUARD LONG TERM BOND ETF B		2,508.80	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD S&P 500 ETF S		19,149.75	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD SCOTTSDALE FUNDS VANGUARD B		4,473.98	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD SHORT TERM BOND ETF S		3,602.72	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD TAX-MANAGED FD MSCI EAFE E S		4,450.05	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	SCAFFIDE, SAM	VANGUARD TAX-MANAGED FD MSCI EAFE E B		5,836.35	0.00	9.95	0.00	-9.95	88.0	-8.76
03/13/2018 #	MUOLO, HELYN	VANGUARD TOTAL INTERNATIONAL BOND E S		4,180.33	0.00	9.95	0.00	-9.95	88.0	-8.76
03/16/2018 #	TREVIZO, JAN-MICHAEL	WAL-MART STORES INC B		1,796.75	50.00	19.95	0.00	30.05	88.0	26.44
03/22/2018 #	EILEFSON, KYLE	GUGGENHEIM S&P MIDCAP 400 PURE GROW B		959.52	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	ISHARES MORNINGSTAR SMALL CAP ETF B		856.75	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	SCAFFIDE, SAM	ISHARES TRUST IBOXX \$ INVESTOP INVE S		49,306.38	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	POWERSHARES QQQ TRUST B		1,471.48	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	SECTOR SPDR - FINANCIAL B		700.25	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	SECTOR SPDR TRUST B		897.33	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	SELECT SECTOR SPDR FUND B		616.25	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	SELECT SECTOR SPDR TRUST SHS BEN IN B		741.18	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	SCAFFIDE, SAM	SPDR SER TR S&P RETAIL ETF S		13,159.02	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	SPDR SER TR S&P RETAIL ETF B		652.91	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	VANGUARD INDEX FUNDS VANGUARD TOTAL B		1,508.18	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	SCAFFIDE, SAM	VANGUARD INTERMEDIATE TERM BOND ETF S		11,958.45	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	SCAFFIDE, SAM	VANGUARD LONG TERM BOND ETF S		9,838.17	0.00	9.95	0.00	-9.95	88.0	-8.76

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
03/22/2018 #	EILEFSON, KYLE	VANGUARD LONG TERM BOND ETF	B	721.20	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	VANGUARD SCOTTSDALE FUNDS VANGUARD	B	669.63	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	SCAFFIDE, SAM	VANGUARD SHORT TERM BOND ETF	S	24,586.51	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	VANGUARD SHORT TERM BOND ETF	B	862.18	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	EILEFSON, KYLE	VANGUARD TOTAL INTERNATIONAL BOND E B		764.26	0.00	9.95	0.00	-9.95	88.0	-8.76
03/22/2018 #	SCAFFIDE, SAM	VANGUARD TOTAL INTERNATIONAL BOND E S		26,462.86	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	ISHARES SILVER TR	S	47,316.00	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	ISHARES TRUST-DOW JONES US TRANSPOR S		59,673.41	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	MGM RESORTS INTERNATIONAL	S	33,883.00	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	POWERSHARES QQQ TRUST	S	96,217.80	630.00	9.95	0.00	620.05	88.0	545.64
03/26/2018 #	SCAFFIDE, SAM	PROSHARES TRUST II PROSHARES ULTRA S		5,054.74	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	SECTOR SPDR TRUST	S	29,405.36	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	SELECT SECTOR SPDR FUND	S	50,751.50	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	SELECT SECTOR SPDR FUND SHS BEN INT S		23,983.02	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	VANGUARD INDEX FUNDS VANGUARD MID-C S		77,540.40	630.00	9.95	0.00	620.05	88.0	545.64
03/26/2018 #	SCAFFIDE, SAM	VANGUARD INDEX FUNDS VANGUARD TOTAL S		265,566.50	2,100.00	9.95	0.00	2,090.05	88.0	1,839.24
03/26/2018 #	SCAFFIDE, SAM	VANGUARD INTERNATIONAL EQUITY INDEX S		39,490.61	0.00	9.95	0.00	-9.95	88.0	-8.76
03/26/2018 #	SCAFFIDE, SAM	VANGUARD SECTOR INDEX FDS VANGUARD S		51,090.18	0.00	9.95	0.00	-9.95	88.0	-8.76
* STOCKS SUBTOTAL *				\$1,107,931.02	\$3,826.70	\$1,115.85	\$0.00	\$2,710.85		\$2,385.23
<u>PRODUCT CATEGORY: BONDS</u>										
03/07/2018 #	MILLER, DAVID	CHANDLER ARIZ GO REF BDS 2014 GENER S		59,781.70	0.00	25.00	0.00	-25.00	88.0	-22.00
03/12/2018 #	MILLER, DAVID	MCALLISTER ACADEMIC VLG ARIZ	B	58,051.50	0.00	25.00	0.00	-25.00	88.0	-22.00
* BONDS SUBTOTAL *				\$117,833.20	\$0.00	\$50.00	\$0.00	\$-50.00		\$-44.00
<u>PRODUCT CATEGORY: OPTIONS</u>										
03/02/2018 #		CALL EA 06/15/18 125	B	876.00	50.00	22.95	0.00	27.05	88.0	23.80
03/06/2018 #		CALL IPGP 04/20/18 240	B	1,000.00	50.00	22.95	0.00	27.05	88.0	23.80
03/07/2018 #		CALL IPGP 04/20/18 240	S	1,409.00	40.00	22.95	0.00	17.05	88.0	15.00
03/09/2018 #		CALL NVDA 05/18/18 245	B	1,900.00	56.68	22.95	0.00	33.73	88.0	29.68
03/09/2018 #		CALL SQ 05/18/18 50	B	1,200.00	56.82	23.85	0.00	32.97	88.0	29.01
03/09/2018 #	LING, TOM	CALL WMT 01/18/19 105	B	1,900.00	76.00	39.45	0.00	36.55	88.0	32.16
03/14/2018 #		CALL BMY 06/15/18 65	B	1,395.00	55.80	25.80	0.00	30.00	88.0	26.40
03/14/2018 #		CALL WMT 06/15/18 87.50	B	2,100.00	84.00	29.70	0.00	54.30	88.0	47.78
03/16/2018 #		CALL WMT 06/15/18 87.50	S	2,650.00	50.00	29.70	0.00	20.30	88.0	17.86
03/20/2018 #		CALL SQ 05/18/18 50	S	1,850.00	74.22	23.85	0.00	50.37	88.0	44.33
03/22/2018 #		CALL BMY 06/15/18 65	B	900.00	40.00	25.80	0.00	14.20	88.0	12.50
03/22/2018 #		CALL FB 09/21/18 170	B	1,300.00	50.00	22.95	0.00	27.05	88.0	23.80
03/27/2018 #		CALL FB 09/21/18 170	B	815.00	40.00	22.95	0.00	17.05	88.0	15.00
* OPTIONS SUBTOTAL *				\$19,295.00	\$723.52	\$335.85	\$0.00	\$387.67		\$341.12

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
02/08/0018 #E0343217	HERRON, BERNIECE	PRUDETIAL TRAILS	B	0.00	4.43	0.00	0.00	4.43	88.0	3.90
02/08/0018 #E0343217	HERRON, BERNIECE	PRUDETIAL TRAILS	B	0.00	17.72	0.00	0.00	17.72	88.0	15.59
02/08/0018 #40004629	TAL LUTZ, TONI LYNN	TRANS LIFE FTLB06	B	0.00	67.20	0.00	0.00	67.20	88.0	59.14
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$89.35	\$0.00	\$0.00	\$89.35		\$78.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018 #00089506143	UHLSSCOTT	AMERICAN FUNDS 02629M58	B	0.00	1.47	0.00	0.00	1.47	88.0	1.29
03/01/2018 #00074200253	NAGANO, TYLER	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	1.88	0.00	0.00	1.88	88.0	1.65
03/01/2018 #00074352754	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	3.13	0.00	0.00	3.13	88.0	2.75
03/01/2018 #00074356353	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	2.30	0.00	0.00	2.30	88.0	2.02
03/01/2018 #00075037851	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	2.07	0.00	0.00	2.07	88.0	1.82
03/01/2018 #00081849075	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529A	B	0.00	1.07	0.00	0.00	1.07	88.0	0.94
03/01/2018 #00074200253	NAGANO, TYLER	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	2.38	0.00	0.00	2.38	88.0	2.09
03/01/2018 #00074352754	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	11.97	0.00	0.00	11.97	88.0	10.53
03/01/2018 #00074356353	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	9.63	0.00	0.00	9.63	88.0	8.47
03/01/2018 #00075037851	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	6.75	0.00	0.00	6.75	88.0	5.94
03/01/2018 #00081849075	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	6.61	0.00	0.00	6.61	88.0	5.82
03/01/2018 #00086957913	BENTON LING IV,	AMERICAN FUNDS MORTGAGE FUND-529C	B	0.00	3.94	0.00	0.00	3.94	88.0	3.47
03/01/2018 #00074200253	NAGANO, TYLER	AMERICAN MUTUAL FUND-529A	B	0.00	4.89	0.00	0.00	4.89	88.0	4.30
03/01/2018 #00074352754	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	12.44	0.00	0.00	12.44	88.0	10.95
03/01/2018 #00074356353	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	9.36	0.00	0.00	9.36	88.0	8.24
03/01/2018 #00075037851	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	4.98	0.00	0.00	4.98	88.0	4.38
03/01/2018 #00081849075	BENTON LING IV,	AMERICAN MUTUAL FUND-529A	B	0.00	2.47	0.00	0.00	2.47	88.0	2.17
03/01/2018 #00074200253	NAGANO, TYLER	AMERICAN MUTUAL FUND-529C	B	0.00	6.45	0.00	0.00	6.45	88.0	5.68
03/01/2018 #00074352754	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	16.25	0.00	0.00	16.25	88.0	14.30
03/01/2018 #00074356353	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	13.31	0.00	0.00	13.31	88.0	11.71
03/01/2018 #00075037851	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	20.69	0.00	0.00	20.69	88.0	18.21
03/01/2018 #00081849075	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	19.52	0.00	0.00	19.52	88.0	17.18
03/01/2018 #00086957913	BENTON LING IV,	AMERICAN MUTUAL FUND-529C	B	0.00	13.58	0.00	0.00	13.58	88.0	11.95
03/01/2018 #00074200253	NAGANO, TYLER	CAPITAL WORLD BOND FUND-529A	B	0.00	4.33	0.00	0.00	4.33	88.0	3.81
03/01/2018 #00074352754	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	8.91	0.00	0.00	8.91	88.0	7.84
03/01/2018 #00074356353	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	5.84	0.00	0.00	5.84	88.0	5.14
03/01/2018 #00075037851	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	6.47	0.00	0.00	6.47	88.0	5.69
03/01/2018 #00081849075	BENTON LING IV,	CAPITAL WORLD BOND FUND-529A	B	0.00	2.01	0.00	0.00	2.01	88.0	1.77
03/01/2018 #00074200253	NAGANO, TYLER	CAPITAL WORLD BOND FUND-529C	B	0.00	5.55	0.00	0.00	5.55	88.0	4.88
03/01/2018 #00074352754	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	20.85	0.00	0.00	20.85	88.0	18.35
03/01/2018 #00074356353	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	20.04	0.00	0.00	20.04	88.0	17.64
03/01/2018 #00075037851	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	8.82	0.00	0.00	8.82	88.0	7.76
03/01/2018 #00081849075	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	17.08	0.00	0.00	17.08	88.0	15.03
03/01/2018 #00086957913	BENTON LING IV,	CAPITAL WORLD BOND FUND-529C	B	0.00	9.45	0.00	0.00	9.45	88.0	8.32

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018	#00074200253	NAGANO, TYLER		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	5.02	0.00	0.00	5.02	88.0 4.42
03/01/2018	#00074352754	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	10.79	0.00	0.00	10.79	88.0 9.50
03/01/2018	#00074356353	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	6.71	0.00	0.00	6.71	88.0 5.90
03/01/2018	#00075037851	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	3.36	0.00	0.00	3.36	88.0 2.96
03/01/2018	#00081849075	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	3.14	0.00	0.00	3.14	88.0 2.76
03/01/2018	#00074200253	NAGANO, TYLER		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	6.66	0.00	0.00	6.66	88.0 5.86
03/01/2018	#00074352754	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	24.41	0.00	0.00	24.41	88.0 21.48
03/01/2018	#00074356353	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	25.12	0.00	0.00	25.12	88.0 22.11
03/01/2018	#00075037851	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	28.19	0.00	0.00	28.19	88.0 24.81
03/01/2018	#00081849075	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	17.59	0.00	0.00	17.59	88.0 15.48
03/01/2018	#00086957913	BENTON LING IV,		DEVELOPING WORLD GROWTH & INCOME-52 B	0.00	14.48	0.00	0.00	14.48	88.0 12.74
03/01/2018	#00074200253	NAGANO, TYLER		EUROPACIFIC GROWTH FUND-529A	B 0.00	2.51	0.00	0.00	2.51	88.0 2.21
03/01/2018	#00074352754	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529A	B 0.00	4.09	0.00	0.00	4.09	88.0 3.60
03/01/2018	#00074356353	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529A	B 0.00	2.69	0.00	0.00	2.69	88.0 2.37
03/01/2018	#00075037851	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529A	B 0.00	1.68	0.00	0.00	1.68	88.0 1.48
03/01/2018	#00081849075	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529A	B 0.00	0.73	0.00	0.00	0.73	88.0 0.64
03/01/2018	#00074200253	NAGANO, TYLER		EUROPACIFIC GROWTH FUND-529C	B 0.00	3.37	0.00	0.00	3.37	88.0 2.97
03/01/2018	#00074352754	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529C	B 0.00	17.41	0.00	0.00	17.41	88.0 15.32
03/01/2018	#00074356353	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529C	B 0.00	15.20	0.00	0.00	15.20	88.0 13.38
03/01/2018	#00075037851	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529C	B 0.00	14.12	0.00	0.00	14.12	88.0 12.43
03/01/2018	#00081849075	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529C	B 0.00	12.15	0.00	0.00	12.15	88.0 10.69
03/01/2018	#00086957913	BENTON LING IV,		EUROPACIFIC GROWTH FUND-529C	B 0.00	7.22	0.00	0.00	7.22	88.0 6.35
03/01/2018	#00074200253	NAGANO, TYLER		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	1.88	0.00	0.00	1.88	88.0 1.65
03/01/2018	#00074352754	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	3.13	0.00	0.00	3.13	88.0 2.75
03/01/2018	#00074356353	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	3.73	0.00	0.00	3.73	88.0 3.28
03/01/2018	#00075037851	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	1.62	0.00	0.00	1.62	88.0 1.43
03/01/2018	#00081849075	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	0.56	0.00	0.00	0.56	88.0 0.49
03/01/2018	#00074200253	NAGANO, TYLER		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	2.38	0.00	0.00	2.38	88.0 2.09
03/01/2018	#00074352754	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	11.96	0.00	0.00	11.96	88.0 10.52
03/01/2018	#00074356353	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	3.89	0.00	0.00	3.89	88.0 3.42
03/01/2018	#00075037851	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	8.58	0.00	0.00	8.58	88.0 7.55
03/01/2018	#00081849075	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	8.65	0.00	0.00	8.65	88.0 7.61
03/01/2018	#00086957913	BENTON LING IV,		INTERMEDIATE BOND FUND OF AMERICA-5 B	0.00	3.92	0.00	0.00	3.92	88.0 3.45
03/01/2018	#00074200253	NAGANO, TYLER		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	4.70	0.00	0.00	4.70	88.0 4.14
03/01/2018	#00074352754	BENTON LING IV,		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	10.53	0.00	0.00	10.53	88.0 9.27
03/01/2018	#00074356353	BENTON LING IV,		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	6.79	0.00	0.00	6.79	88.0 5.98
03/01/2018	#00075037851	BENTON LING IV,		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	4.00	0.00	0.00	4.00	88.0 3.52
03/01/2018	#00081849075	BENTON LING IV,		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	1.41	0.00	0.00	1.41	88.0 1.24
03/01/2018	#00074200253	NAGANO, TYLER		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	5.94	0.00	0.00	5.94	88.0 5.23
03/01/2018	#00074352754	BENTON LING IV,		SHORT-TERM BOND FUND OF AMERICA-529 B	0.00	19.21	0.00	0.00	19.21	88.0 16.90

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018	#00074356353	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	20.00	0.00	0.00	20.00	88.0	17.60
03/01/2018	#00075037851	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	21.70	0.00	0.00	21.70	88.0	19.10
03/01/2018	#00081849075	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	21.65	0.00	0.00	21.65	88.0	19.05
03/01/2018	#00086957913	BENTON LING IV, SHORT-TERM BOND FUND OF AMERICA-529 B		0.00	9.85	0.00	0.00	9.85	88.0	8.67
03/01/2018	#00074200253	NAGANO, TYLER SMALLCAP WORLD FUND-529A	B	0.00	2.60	0.00	0.00	2.60	88.0	2.29
03/01/2018	#00074352754	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	4.05	0.00	0.00	4.05	88.0	3.56
03/01/2018	#00074356353	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	4.73	0.00	0.00	4.73	88.0	4.16
03/01/2018	#00075037851	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	2.76	0.00	0.00	2.76	88.0	2.43
03/01/2018	#00081849075	BENTON LING IV, SMALLCAP WORLD FUND-529A	B	0.00	1.61	0.00	0.00	1.61	88.0	1.42
03/01/2018	#00074200253	NAGANO, TYLER SMALLCAP WORLD FUND-529C	B	0.00	3.31	0.00	0.00	3.31	88.0	2.91
03/01/2018	#00074352754	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	19.10	0.00	0.00	19.10	88.0	16.81
03/01/2018	#00074356353	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	8.14	0.00	0.00	8.14	88.0	7.16
03/01/2018	#00075037851	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	10.62	0.00	0.00	10.62	88.0	9.35
03/01/2018	#00081849075	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	9.24	0.00	0.00	9.24	88.0	8.13
03/01/2018	#00086957913	BENTON LING IV, SMALLCAP WORLD FUND-529C	B	0.00	7.41	0.00	0.00	7.41	88.0	6.52
03/01/2018	#00074200253	NAGANO, TYLER THE BOND FUND OF AMERICA-529A	B	0.00	1.41	0.00	0.00	1.41	88.0	1.24
03/01/2018	#00074352754	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	2.52	0.00	0.00	2.52	88.0	2.22
03/01/2018	#00074356353	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	1.66	0.00	0.00	1.66	88.0	1.46
03/01/2018	#00075037851	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	0.96	0.00	0.00	0.96	88.0	0.84
03/01/2018	#00081849075	BENTON LING IV, THE BOND FUND OF AMERICA-529A	B	0.00	0.42	0.00	0.00	0.42	88.0	0.37
03/01/2018	#00074200253	NAGANO, TYLER THE BOND FUND OF AMERICA-529C	B	0.00	1.80	0.00	0.00	1.80	88.0	1.58
03/01/2018	#00074352754	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	8.37	0.00	0.00	8.37	88.0	7.37
03/01/2018	#00074356353	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	7.56	0.00	0.00	7.56	88.0	6.65
03/01/2018	#00075037851	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	7.48	0.00	0.00	7.48	88.0	6.58
03/01/2018	#00081849075	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	6.52	0.00	0.00	6.52	88.0	5.74
03/01/2018	#00086957913	BENTON LING IV, THE BOND FUND OF AMERICA-529C	B	0.00	3.04	0.00	0.00	3.04	88.0	2.68
03/01/2018	#00074200253	NAGANO, TYLER THE GROWTH FUND OF AMERICA-529A	B	0.00	11.65	0.00	0.00	11.65	88.0	10.25
03/01/2018	#00074352754	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	23.42	0.00	0.00	23.42	88.0	20.61
03/01/2018	#00074356353	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	14.80	0.00	0.00	14.80	88.0	13.02
03/01/2018	#00075037851	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	8.58	0.00	0.00	8.58	88.0	7.55
03/01/2018	#00081849075	BENTON LING IV, THE GROWTH FUND OF AMERICA-529A	B	0.00	3.69	0.00	0.00	3.69	88.0	3.25
03/01/2018	#00074200253	NAGANO, TYLER THE GROWTH FUND OF AMERICA-529C	B	0.00	23.76	0.00	0.00	23.76	88.0	20.91
03/01/2018	#00074352754	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	87.38	0.00	0.00	87.38	88.0	76.89
03/01/2018	#00074356353	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	79.97	0.00	0.00	79.97	88.0	70.37
03/01/2018	#00075037851	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	77.05	0.00	0.00	77.05	88.0	67.80
03/01/2018	#00081849075	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	65.92	0.00	0.00	65.92	88.0	58.01
03/01/2018	#00086957913	BENTON LING IV, THE GROWTH FUND OF AMERICA-529C	B	0.00	37.59	0.00	0.00	37.59	88.0	33.08
03/01/2018	#00074200253	NAGANO, TYLER THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	4.94	0.00	0.00	4.94	88.0	4.35
03/01/2018	#00074352754	BENTON LING IV, THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	9.44	0.00	0.00	9.44	88.0	8.31
03/01/2018	#00074356353	BENTON LING IV, THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	5.35	0.00	0.00	5.35	88.0	4.71

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
03/01/2018	#00075037851 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	4.14	0.00	0.00	4.14	88.0	3.64
03/01/2018	#00081849075 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	2.24	0.00	0.00	2.24	88.0	1.97
03/01/2018	#00074200253 NAGANO, TYLER	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	6.53	0.00	0.00	6.53	88.0	5.75
03/01/2018	#00074352754 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	29.13	0.00	0.00	29.13	88.0	25.63
03/01/2018	#00074356353 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	30.00	0.00	0.00	30.00	88.0	26.40
03/01/2018	#00075037851 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	24.58	0.00	0.00	24.58	88.0	21.63
03/01/2018	#00081849075 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	20.86	0.00	0.00	20.86	88.0	18.36
03/01/2018	#00086957913 BENTON LING IV,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	14.14	0.00	0.00	14.14	88.0	12.44
03/01/2018	#00074200253 NAGANO, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	2.47	0.00	0.00	2.47	88.0	2.17
03/01/2018	#00074352754 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	6.65	0.00	0.00	6.65	88.0	5.85
03/01/2018	#00074356353 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	2.66	0.00	0.00	2.66	88.0	2.34
03/01/2018	#00075037851 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	2.19	0.00	0.00	2.19	88.0	1.93
03/01/2018	#00081849075 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	1.89	0.00	0.00	1.89	88.0	1.66
03/01/2018	#00074200253 NAGANO, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	3.27	0.00	0.00	3.27	88.0	2.88
03/01/2018	#00074352754 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	6.74	0.00	0.00	6.74	88.0	5.93
03/01/2018	#00074356353 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	15.01	0.00	0.00	15.01	88.0	13.21
03/01/2018	#00075037851 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	11.74	0.00	0.00	11.74	88.0	10.33
03/01/2018	#00081849075 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	7.31	0.00	0.00	7.31	88.0	6.43
03/01/2018	#00086957913 BENTON LING IV,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	7.04	0.00	0.00	7.04	88.0	6.20
03/09/2018	# SCAFFIDE, SAM	DAIN TRAILER	B	0.00	3.78	0.00	0.00	3.78	88.0	3.33
03/09/2018	# MILLER, DAVID	DAIN TRAILER	B	0.00	10.65	0.00	0.00	10.65	88.0	9.37
03/09/2018	# SCAFFIDE, SAM	DAIN TRAILER	B	0.00	1.37	0.00	0.00	1.37	88.0	1.21
03/09/2018	# MANASHOWITZ, STEVEN	DAIN TRAILER	B	0.00	0.73	0.00	0.00	0.73	88.0	0.64
03/09/2018	# MANASHOWITZ, STEVEN	DAIN TRAILER	B	0.00	2.12	0.00	0.00	2.12	88.0	1.87
03/09/2018	# MORGA, MARIA	DAIN TRAILER	B	0.00	52.39	0.00	0.00	52.39	88.0	46.10
03/09/2018	# NEIMAN, JOHN	DAIN TRAILER	B	0.00	2.89	0.00	0.00	2.89	88.0	2.54
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$1,530.58</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$1,530.58</u>		<u>\$1,346.88</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$1,245,259.22</u>	<u>\$6,174.05</u>	<u>\$1,501.70</u>	<u>\$0.00</u>	<u>\$4,672.35</u>		<u>\$4,111.30</u>

COMMISSION STATEMENT for BRYAN DUCKETT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

04/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
04/20/2018		ADMIN FEE: ADMIN FEE								-390.00
04/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-25.00
		MANUAL PROCESS FEE								
04/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-25.00
		MANUAL PROCESS FEE								
04/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-25.00
		MANUAL PROCESS FEE								
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE								-215.00
04/20/2018		DOCUSIGN: DOCUSIGN								-51.00
04/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										\$-1,085.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,111.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,085.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,026.30
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