

**COMMISSION STATEMENT****February 15, 2018**

**SYLVIA LUM**  
 3200 N. Central Ave. 200  
 Phoenix, AZ 85012

**BROKER #: 74013**  
**BRANCH: 04-#70A11 HOME OFFIC**

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

**Company: Securities America****ADJUSTMENTS**

|                                 |  |  |  |  |  |  |      |
|---------------------------------|--|--|--|--|--|--|------|
| PRIOR PERIOD BALANCE(SEE BELOW) |  |  |  |  |  |  | 0.00 |
|---------------------------------|--|--|--|--|--|--|------|

|                           |               |               |               |               |               |  |  |
|---------------------------|---------------|---------------|---------------|---------------|---------------|--|--|
| *** ADJUSTMENTS TOTAL *** | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> |  |  |
|---------------------------|---------------|---------------|---------------|---------------|---------------|--|--|

**BROKER COMMISSION TOTALS**

|                       |          |
|-----------------------|----------|
| Broker Transactions   | \$0.00   |
| Split Transactions    | \$0.00   |
| Override Transactions | \$0.00   |
| Adjustments           | \$0.00   |
| Prior Period Balance  | \$-16.04 |
| NASD Assessment       | \$0.00   |
| SIPC Assessment       | \$0.00   |

Please Retain for Your Records  
 THERE WILL BE NO CHECK THIS PERIOD

|                              |                   |
|------------------------------|-------------------|
| <b>Balance Forward</b>       | <b>\$-16.04</b>   |
| <b>Year-to-date Earnings</b> | <b>\$3,562.50</b> |