



Concorde Investment Services, LLC

07/12/2017  
Page No. 1

# COMMISSION STATEMENT July 15, 2017

ACCOUNT HOUSE  
1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

BROKER #: G999  
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	100.0	10.31
07/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	100.0	4.50
07/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/03/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
07/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	100.0	6.26
07/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
07/05/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
07/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
07/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
07/03/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
07/03/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-4,000.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	950.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	950.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-75.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-170.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	3,800.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-1,000.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-1,000.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	71.25	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	190.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-200.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	161.50	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/03/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
07/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
07/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
06/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
07/03/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
07/03/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
07/03/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
07/03/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
07/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
07/05/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
06/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
07/03/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
07/05/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	16.50	0.83	0.00	0.83	100.0	0.83
06/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
07/03/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
07/03/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
07/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
06/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
07/03/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
07/03/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
07/03/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
07/05/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
07/05/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	17.00	0.85	0.00	0.85	100.0	0.85
07/05/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
06/28/2017	CONCORDE, VARIOUS	AM FUNDS INCOME FUND OF AMERICA A	95.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	AM FUNDS INCOME FUND OF AMERICA A	-100.00	0.00	0.00	0.00	100.0	0.00
07/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
07/05/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
07/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
06/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
07/05/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	16.50	0.83	0.00	0.83	100.0	0.83
07/05/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
07/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
06/29/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00
07/03/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00
07/05/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
06/27/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	67,000.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	135,000.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-135,000.00	0.00	0.00	0.00	100.0	0.00
06/27/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-67,000.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	588.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-4,800.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	882.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	980.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-1,000.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	4,704.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-600.00	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-900.00	0.00	0.00	0.00	100.0	0.00
07/01/2017	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	27.40	0.00	27.40	100.0	27.40
07/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-200.00	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	198.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,574.82</b>	<b>\$239.38</b>	<b>\$0.00</b>	<b>\$239.38</b>		<b>\$239.38</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/06/2017	CONCORDE, VARIOUS	AMPCO PITTSBURGH	-71.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	AMPCO PITTSBURGH	-14.20	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	AMPCO PITTSBURGH	-3,751.15	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	AMPCO PITTSBURGH	3,836.35	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	-8,129.00	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/10/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	292.64	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	7,836.36	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	-44,870.48	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	16,825.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	BRISTOL MYERS SQUIBB CO COM	28,044.95	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	-18,150.00	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	2,722.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	4,537.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	1,815.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	535.43	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	8,539.58	0.00	1.00	-1.00	100.0	-1.00
07/05/2017	CONCORDE, VARIOUS	FUELCELL ENERGY INC COM NEW	-81.91	0.00	1.00	-1.00	100.0	-1.00
07/05/2017	CONCORDE, VARIOUS	FUELCELL ENERGY INC COM NEW	-124.50	0.00	1.00	-1.00	100.0	-1.00
07/05/2017	CONCORDE, VARIOUS	FUELCELL ENERGY INC COM NEW	206.40	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	-34,409.55	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	2,294.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	2,293.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	2,294.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	9,176.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	2,294.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	2,294.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	2,294.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	HANESBRANDS INC COM	11,470.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	2,287.91	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-980.53	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-108.95	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-653.69	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-326.84	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-108.95	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-108.95	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,534.06	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	418.38	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	697.30	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	418.38	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-970.28	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-3,638.55	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	242.57	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	1,455.42	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	242.57	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	970.28	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	1,697.99	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	L BRANDS INC COM	-44,186.70	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	L BRANDS INC COM	24,966.22	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	L BRANDS INC COM	19,220.48	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	5,981.50	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-854.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-854.50	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-393.07	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,879.43	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-72.05	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-129.69	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-432.30	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	374.40	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-57.64	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-446.71	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-187.20	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-187.20	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	1,325.72	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-72.05	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-115.28	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-69.35	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-300.53	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-254.30	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	855.37	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-46.24	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-92.47	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-46.24	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-46.24	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	SABRA HEALTH CARE REIT INC COM	2,302.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	SABRA HEALTH CARE REIT INC COM	2,303.00	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	SABRA HEALTH CARE REIT INC COM	5,756.25	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	SABRA HEALTH CARE REIT INC COM	-10,361.25	0.00	0.00	0.00	100.0	0.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	24,006.30	0.00	0.00	0.00	100.0	0.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,401.00	0.00	1.00	-1.00	100.0	-1.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-1,896.79	0.00	1.00	-1.00	100.0	-1.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,401.00	0.00	1.00	-1.00	100.0	-1.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,401.00	0.00	1.00	-1.00	100.0	-1.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-7,201.17	0.00	1.00	-1.00	100.0	-1.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-7,201.17	0.00	1.00	-1.00	100.0	-1.00
06/30/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-504.21	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	315.94	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,843.46	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	947.82	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,579.70	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-36.89	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	147.55	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-110.66	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	TITAN INTL INC ILL COM	15,421.03	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	TITAN INTL INC ILL COM	-1,200.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	TITAN INTL INC ILL COM	-13,020.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	TITAN INTL INC ILL COM	-1,201.00	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-108.18	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	378.62	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-54.09	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-108.18	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-108.18	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	817.90	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-1,962.96	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-81.80	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-163.60	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	163.58	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	245.40	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	981.48	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-1,194.84	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	13,242.81	0.00	1.00	-1.00	100.0	-1.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-99.57	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-6,272.91	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-398.28	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-199.14	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-149.36	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-1,045.49	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-3,883.23	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	WISDOMTREE TR SMALLCAP DIVID FD	7,994.56	0.00	0.00	0.00	100.0	0.00
07/10/2017	CONCORDE, VARIOUS	WISDOMTREE TR SMALLCAP DIVID FD	-79.95	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	WISDOMTREE TR SMALLCAP DIVID FD	-79.94	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	WISDOMTREE TR SMALLCAP DIVID FD	-79.95	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	WISDOMTREE TR SMALLCAP DIVID FD	-79.95	0.00	1.00	-1.00	100.0	-1.00
07/10/2017	CONCORDE, VARIOUS	WISDOMTREE TR SMALLCAP DIVID FD	-7,674.77	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$-0.11	\$0.00	\$60.00	\$-60.00		\$-60.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
06/23/2017	CONCORDE, VARIOUS	THE COUNTY OF COOK ILLINOIS GENERAL	17,070.00	0.00	0.00	0.00	100.0	0.00
06/23/2017	CONCORDE, VARIOUS	THE COUNTY OF COOK ILLINOIS GENERAL	17,070.00	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *			\$34,140.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	LUND, VARIOUS	ING RELIASTAR	0.00	168.01	0.00	168.01	100.0	168.01
06/30/2017	CONCORDE, VARIOUS	LFG TRAILS	0.00	158.51	0.00	158.51	100.0	158.51
06/30/2017	LI, YUAN	HARTFORD LEADERS ILA	42,708.05	26.69	0.00	26.69	100.0	26.69
06/30/2017	PIROZZO, KATHLEEN	HARTFORD LEADERS OUTLOOK	32,873.96	82.18	0.00	82.18	100.0	82.18
06/30/2017	PIROZZO, PETER	HARTFORD LEADERS PLUS	145,178.15	90.74	0.00	90.74	100.0	90.74
06/30/2017	WHITE, CATHLEEN	HARTFORD LEADERS PLUS	22,010.22	13.76	0.00	13.76	100.0	13.76
06/30/2017	GULA, LAVON	JNL ELITE ANNUAL RESET INDEX	412,085.36	257.55	0.00	257.55	100.0	257.55

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	14,770.33	3.08	0.00	3.08	100.0	3.08
06/30/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	18,415.39	15.35	0.00	15.35	100.0	15.35
06/22/2017	MOXON, HAROLD	OHIO NATL ONCORE PREMIER	21,877.64	54.69	0.00	54.69	100.0	54.69
06/20/2017	LIGON, JIM	PAC LIFE	0.00	10.21	0.00	10.21	100.0	10.21
06/28/2017	HALES, WILLIAM	PAC LIFE INNOVATIVE SELECT	0.00	69.23	0.00	69.23	100.0	69.23
06/26/2017	WEST, JERRY	PAC LIFE ONE	0.00	15.34	0.00	15.34	100.0	15.34
06/19/2017	BATTALINI, ANTHONY	PAC LIFE PACIFIC CHOICE	0.00	34.19	0.00	34.19	100.0	34.19
06/30/2017	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	55,206.40	34.50	0.00	34.50	100.0	34.50
06/30/2017	LANE, JOAN	PRU AS APEX II	29,327.26	73.32	0.00	73.32	100.0	73.32
06/30/2017	LANE, JOAN	PRU AS APEX II	29,327.26	18.33	0.00	18.33	100.0	18.33
06/30/2017	DAILEY, SUZANNE	PRU AS APEX II	17,868.63	11.17	0.00	11.17	100.0	11.17
06/30/2017	DAILEY, SUZANNE	PRU AS APEX II	17,868.63	44.67	0.00	44.67	100.0	44.67
06/30/2017	BOOTS, ROBERTA	PRU AS APEX II	20,606.61	12.88	0.00	12.88	100.0	12.88
06/30/2017	BOOTS, ROBERTA	PRU AS APEX II	20,606.61	38.64	0.00	38.64	100.0	38.64
06/30/2017	DUSSEAU, KEITH	PRU AS APEX II	8,522.22	5.33	0.00	5.33	100.0	5.33
06/30/2017	DUSSEAU, KEITH	PRU AS APEX II	8,522.22	21.31	0.00	21.31	100.0	21.31
06/30/2017	GUINN, MARGARET	PRU AS LIFEVEST II	48,798.53	30.50	0.00	30.50	100.0	30.50
06/30/2017	GUINN, MARGARET	PRU AS LIFEVEST II	48,798.53	91.50	0.00	91.50	100.0	91.50
06/30/2017	HARTONG CR TRUST	PRU AS XTRA CREDIT	70,391.99	43.99	0.00	43.99	100.0	43.99
06/30/2017	GREENE, DAVID	PRU AS XTRA CREDIT	25,936.65	16.21	0.00	16.21	100.0	16.21
06/30/2017	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	15,138.06	9.46	0.00	9.46	100.0	9.46
06/30/2017	MORSE, MARK	PRU AS XTRA CREDIT SIX	23,449.87	14.66	0.00	14.66	100.0	14.66
06/30/2017	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	37,414.04	23.38	0.00	23.38	100.0	23.38
06/30/2017	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	17,482.65	10.93	0.00	10.93	100.0	10.93
06/30/2017	TAMER, FIDAA	PRU PREMIER INVT A	13,023.17	9.77	0.00	9.77	100.0	9.77
06/30/2017	YOUNG, MICHAEL	PRU PREMIER INVT A	49,260.75	36.95	0.00	36.95	100.0	36.95
06/30/2017	WHALEN, KIMBERLY	PRU PREMIER INVT A	104,406.55	78.30	0.00	78.30	100.0	78.30
06/30/2017	KMIOTEK, DIANE	PRU PREMIER INVT A	51,165.90	38.37	0.00	38.37	100.0	38.37
06/30/2017	LORENCZ, MARY	PRU PREMIER INVT A	22,011.06	16.51	0.00	16.51	100.0	16.51
06/30/2017	DINSMOORE, JERRY	PRU PREMIER INVT A	210,944.21	158.21	0.00	158.21	100.0	158.21
06/30/2017	MITCHELL, JAMES	PRU PREMIER INVT A	59,246.94	44.44	0.00	44.44	100.0	44.44
06/30/2017	RICCI, SARAH	PRU PREMIER INVT VA C	37,377.51	93.44	0.00	93.44	100.0	93.44
06/26/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	100.0	10.50

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	COOPER, FRANK	PRU PREMIER RETIREMENT B	69,775.12	43.61	0.00	43.61	100.0	43.61
06/30/2017	ANNONI, LINDA	PRU PREMIER RETIREMENT B	44,773.30	27.98	0.00	27.98	100.0	27.98
06/30/2017	THURM, ANITA	PRU PREMIER RETIREMENT B	103,953.03	64.97	0.00	64.97	100.0	64.97
06/30/2017	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,568.11	3.48	0.00	3.48	100.0	3.48
06/30/2017	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	166,385.28	103.99	0.00	103.99	100.0	103.99
06/30/2017	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	28,763.66	17.98	0.00	17.98	100.0	17.98
06/30/2017	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	80,005.02	50.00	0.00	50.00	100.0	50.00
06/30/2017	KMIOTEK, DIANE	PRU PREMIER RETIREMENT L	15,970.48	39.93	0.00	39.93	100.0	39.93
06/30/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,405.62	8.51	0.00	8.51	100.0	8.51
06/30/2017	THOMPSON, MARGARET	PRU PREMIER RETIREMENT L	163,406.15	408.52	0.00	408.52	100.0	408.52
06/30/2017	GAVIN, LINDA	PRU PREMIER RETIREMENT L	125,608.26	314.02	0.00	314.02	100.0	314.02
06/30/2017	GAVIN, GARY	PRU PREMIER RETIREMENT L	126,844.70	317.11	0.00	317.11	100.0	317.11
06/30/2017	REA, DIANA	PRU PREMIER RETIREMENT L	102,626.92	256.57	0.00	256.57	100.0	256.57
06/30/2017	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	119,847.10	299.62	0.00	299.62	100.0	299.62
06/30/2017	SUSSDORFF, VICKI	PRU PREMIER RETIREMENT L	36,850.35	92.13	0.00	92.13	100.0	92.13
06/30/2017	CARTER TRUST, ROXINE	PRU PREMIER RETIREMENT L	165,780.97	414.45	0.00	414.45	100.0	414.45
06/30/2017	DOLPH, GERALD	PRU PREMIER RETIREMENT X	55,449.17	34.66	0.00	34.66	100.0	34.66
06/30/2017	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	33,269.09	20.79	0.00	20.79	100.0	20.79
06/29/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	765.47	0.15	0.00	0.15	100.0	0.15
06/29/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	9,942.28	2.08	0.00	2.08	100.0	2.08
06/29/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,945.42	1.02	0.00	1.02	100.0	1.02
06/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	98,092.91	81.74	0.00	81.74	100.0	81.74
06/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	111,381.17	92.82	0.00	92.82	100.0	92.82
06/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,870.11	40.73	0.00	40.73	100.0	40.73
06/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	67,781.80	56.48	0.00	56.48	100.0	56.48
06/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,824.74	8.19	0.00	8.19	100.0	8.19
06/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	78,228.16	65.19	0.00	65.19	100.0	65.19
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$3,630,885.74</b>	<b>\$4,853.52</b>	<b>\$0.00</b>	<b>\$4,853.52</b>		<b>\$4,853.52</b>

**PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS**

07/06/2017	CONCORDE, VARIOUS	ADVISORS DISCIPLINED TR UNIT 1802 C	9,701.54	0.00	0.00	0.00	100.0	0.00
07/06/2017	CONCORDE, VARIOUS	ADVISORS DISCIPLINED TR UNIT 1802 C	-9,899.54	0.00	0.00	0.00	100.0	0.00
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6386 INNOVATIVE TECHNOLOGY	22,887.43	0.00	17.50	-17.50	100.0	-17.50
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6386 INNOVATIVE TECHNOLOGY	-23,510.37	0.00	17.50	-17.50	100.0	-17.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6539 HOMEBUILDERS RECOVERY	22,882.63	0.00	17.50	-17.50	100.0	-17.50
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6539 HOMEBUILDERS RECOVERY	-23,505.57	0.00	17.50	-17.50	100.0	-17.50
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6552 U S REV PORTFOLIO SER	-23,503.33	0.00	17.50	-17.50	100.0	-17.50
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6552 U S REV PORTFOLIO SER	22,880.48	0.00	17.50	-17.50	100.0	-17.50
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQTY CLOSD END	-23,504.38	0.00	17.50	-17.50	100.0	-17.50
06/28/2017	CONCORDE, VARIOUS	FT UNIT 6691 US EQTY CLOSD END	22,881.57	0.00	17.50	-17.50	100.0	-17.50
07/05/2017	CONCORDE, VARIOUS	FT UNIT 6711 CLOUD COMPUTING PORT S	48,996.73	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	FT UNIT 6711 CLOUD COMPUTING PORT S	-49,996.42	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	FT UNIT 6712 CYBERSECURITY PORT SER	-49,993.12	0.00	0.00	0.00	100.0	0.00
07/05/2017	CONCORDE, VARIOUS	FT UNIT 6712 CYBERSECURITY PORT SER	48,993.45	0.00	0.00	0.00	100.0	0.00
* UNIT INVESTMENT TRUSTS SUBTOTAL *			<b>\$-4,688.90</b>	<b>\$0.00</b>	<b>\$140.00</b>	<b>\$-140.00</b>		<b>\$-140.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
06/27/2017	ADAMS, VARIOUS	LBL TRAILS	0.00	0.12	0.00	0.12	100.0	0.12
06/23/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	13.73	0.00	13.73	100.0	13.73
06/30/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.96	0.00	3.96	100.0	3.96
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			<b>\$0.00</b>	<b>\$17.81</b>	<b>\$0.00</b>	<b>\$17.81</b>		<b>\$17.81</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/20/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.81	0.00	0.81	100.0	0.81
06/20/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.41	0.00	0.41	100.0	0.41
06/20/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.89	0.00	1.89	100.0	1.89
06/13/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
06/13/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.58	0.00	1.58	100.0	1.58
05/31/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	3.00	0.00	3.00	100.0	3.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$7.70</b>	<b>\$0.00</b>	<b>\$7.70</b>		<b>\$7.70</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2017	LUND, VARIOUS	ING RELIASTAR	0.00	491.49	0.00	491.49	100.0	491.49
06/26/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
06/17/2017	WALSH, JAMES	ING PREMIUM PLUS	0.00	77.44	0.00	77.44	100.0	77.44
06/28/2017	LIGON, NANCY	LANDMARK 2002	0.00	129.23	0.00	129.23	100.0	129.23
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$700.41</b>	<b>\$0.00</b>	<b>\$700.41</b>		<b>\$700.41</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$3,665,911.55</b>	<b>\$5,818.82</b>	<b>\$200.00</b>	<b>\$5,618.82</b>		<b>\$5,618.82</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ACCOUNT HOUSE #1 </u></b>								
07/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/07/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	100.0	10.31
07/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	100.0	4.50
07/07/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	100.0	24.23
07/03/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
07/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	100.0	6.26
07/07/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	100.0	3.75
06/28/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
07/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
07/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
07/03/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
07/03/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
07/03/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
07/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
07/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
06/28/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	3.16	0.00	3.16	100.0	3.16
07/03/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
07/03/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
07/03/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
07/03/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
07/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
06/28/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	3.16	0.00	3.16	100.0	3.16
06/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
07/03/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
07/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
06/28/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	3.16	0.00	3.16	100.0	3.16
07/03/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
07/03/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
07/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
07/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
06/28/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	3.16	0.00	3.16	100.0	3.16
07/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
07/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MATT BRANSTETTER #10</u></b>								
		* ACCOUNT HOUSE SUBTOTAL *	\$5,264.00	\$192.06	\$0.00	\$192.06		\$192.06
<b><u>BROKER: MATT BRANSTETTER #10</u></b>								
07/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
07/03/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
		* MATT BRANSTETTER SUBTOTAL *	\$350.00	\$17.50	\$0.00	\$17.50		\$17.50
<b><u>BROKER: DANIEL WOLF #11</u></b>								
07/01/2017	MARTIN, RONALD	NW DESTINATION B2 05	17,548.74	32.90	0.00	32.90	0.0	32.90
07/01/2017	CARIGNAN, JAMES	NW DESTINATION B2 05	183,859.42	344.74	0.00	344.74	0.0	344.74
		* DANIEL WOLF SUBTOTAL *	\$201,408.16	\$377.64	\$0.00	\$377.64		\$377.64
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
06/30/2017	GRIBBLE, MARGARET	ALLIANZ VISION BASIC	26,603.32	66.51	0.00	66.51	0.0	66.51
07/01/2017	KINCAID, LINDA	NATIONWIDE MARKETFLEX BB	40,783.38	25.49	0.00	25.49	0.0	25.49
07/03/2017	COLVARD, DAVID	PUTNAM EUROPE EQUITY A	0.00	0.25	0.00	0.25	0.0	0.25
07/03/2017	COLVARD, DAVID	PUTNAM GLOBAL EQUITY A	0.00	0.04	0.00	0.04	0.0	0.04
07/03/2017	COLVARD, DAVID	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.16	0.00	0.16	0.0	0.16
07/03/2017	COLVARD, DAVID	PUTNAM MULTI CAP GROWTH A	0.00	0.43	0.00	0.43	0.0	0.43
		* EDWARD MCMANUS SUBTOTAL *	\$67,386.70	\$92.88	\$0.00	\$92.88		\$92.88
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
06/09/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.28	0.00	26.28	0.0	26.28
06/09/2017	GELow, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.58	0.00	0.58	0.0	0.58
06/09/2017	GELow, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.52	0.00	0.52	0.0	0.52
06/09/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.41	0.00	0.41	0.0	0.41
06/09/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.39	0.00	4.39	0.0	4.39
06/09/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.66	0.00	0.66	0.0	0.66
06/09/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.40	0.00	13.40	0.0	13.40
06/09/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.32	0.00	1.32	0.0	1.32
06/09/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	25.39	0.00	25.39	0.0	25.39
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.54	0.00	0.54	0.0	0.54
07/01/2017	CROSSFIRE GROUP Q3AM	MFS UTILITIES A	0.00	0.21	0.00	0.21	0.0	0.21
06/09/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	27.67	0.00	27.67	0.0	27.67
06/09/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.17	0.00	9.17	0.0	9.17

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
06/09/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.85	0.00	21.85	0.0	21.85
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$132.39	\$0.00	\$132.39		\$132.39
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
06/27/2017	GOLSCH, INVESTMENT LTD	ING ACCESS	0.00	53.93	0.00	53.93	0.0	53.93
07/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	14.36	0.00	14.36	0.0	14.36
07/03/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
07/01/2017	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	40.94	0.00	40.94	0.0	40.94
07/01/2017	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	6.44	0.00	6.44	0.0	6.44
07/01/2017	WARTHMAN, JODY	MFS GROWTH A	0.00	0.81	0.00	0.81	0.0	0.81
07/01/2017	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.13	0.00	5.13	0.0	5.13
07/01/2017	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	5.41	0.00	5.41	0.0	5.41
06/30/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,765.59	16.73	0.00	16.73	0.0	16.73
06/30/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,765.59	16.73	0.00	16.73	0.0	16.73
06/30/2017	ZUPP, ELEANOR	PRU AS LIFEVEST II	63,192.28	39.50	0.00	39.50	0.0	39.50
06/30/2017	ZUPP, ELEANOR	PRU AS LIFEVEST II	63,192.28	118.49	0.00	118.49	0.0	118.49
06/30/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	71,942.00	44.96	0.00	44.96	0.0	44.96
06/30/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	71,942.00	44.96	0.00	44.96	0.0	44.96
06/30/2017	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	219,787.38	137.37	0.00	137.37	0.0	137.37
06/30/2017	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	61,738.38	38.59	0.00	38.59	0.0	38.59
06/30/2017	GORDON, DAVID	PRU AS XTRA CREDIT SIX	452,672.33	282.92	0.00	282.92	0.0	282.92
06/29/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,770.38	5.91	0.00	5.91	0.0	5.91
* BRUCE BAXTER SUBTOTAL *			\$1,073,868.21	\$878.18	\$0.00	\$878.18		\$878.18
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
07/05/2017	DAYTON, ROBERT	PIONEER FUND A	0.00	0.81	0.00	0.81	0.0	0.81
07/03/2017	JOSEPH, CATHERINE	PUTNAM EUROPE EQUITY A	0.00	0.09	0.00	0.09	0.0	0.09
07/03/2017	JOSEPH, CATHERINE	PUTNAM GROWTH OPP A	0.00	0.33	0.00	0.33	0.0	0.33
07/03/2017	JOSEPH, CATHERINE	PUTNAM INTERNATIONAL EQUITY-A	0.00	0.15	0.00	0.15	0.0	0.15
07/03/2017	WRIGHT, ANGELA	PUTNAM MULTI CAP GROWTH A	0.00	19.45	0.00	19.45	0.0	19.45
07/03/2017	JOSEPH, CATHERINE	PUTNAM MULTI CAP GROWTH A	0.00	0.05	0.00	0.05	0.0	0.05
07/03/2017	WRIGHT, ANGELA	PUTNAM MULTI-CAP VALUE A	0.00	3.89	0.00	3.89	0.0	3.89
06/27/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
06/29/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	16,294.56	3.40	0.00	3.40	0.0	3.40

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JOHN BEAN #45 </u></b>								
		* MELANIE DICENSO SUBTOTAL *	\$16,344.56	\$30.17	\$0.00	\$30.17		\$30.17
<b><u> BROKER: JOHN BEAN #45 </u></b>								
06/30/2017	ENRIGHT, MYRA	MINNESOTA LIFE MULTIOPTION ADV B	451,568.30	1,128.92	0.00	1,128.92	0.0	1,128.92
07/01/2017	MAST, DEBORAH	NATIONWIDE DESTINATION L2 01	94,857.96	237.14	0.00	237.14	0.0	237.14
		* JOHN BEAN SUBTOTAL *	\$546,426.26	\$1,366.06	\$0.00	\$1,366.06		\$1,366.06
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
06/30/2017	PATEL / MANDAKINI P PATEL,	AXA ACCUM 04 ELITE (RO IRA)	300,037.46	937.62	0.00	937.62	0.0	937.62
06/30/2017	BURTON, MIKE	AXA ACCUM 04 ELITE (RO IRA)	53,664.62	167.70	0.00	167.70	0.0	167.70
06/30/2017	BURTON, CATHERINE	AXA ACCUM 04 ELITE (RO IRA)	44,503.91	139.07	0.00	139.07	0.0	139.07
06/30/2017	BRANIK, ROBERT	AXA ACCUM 04 ELITE (RO IRA)	17,599.40	55.00	0.00	55.00	0.0	55.00
06/30/2017	CRAIG, WILMA	AXA ACCUM 04 ELITE (RO IRA)	111,492.48	348.41	0.00	348.41	0.0	348.41
06/30/2017	CLARK, JEAN MARIE	AXA ACCUM 07 ELITE (RO IRA)	11,448.68	28.62	0.00	28.62	0.0	28.62
06/30/2017	MACLAUGHLIN, SUSAN	AXA RETIREMENT CORNERSTONE 13 B	310,045.92	387.56	0.00	387.56	0.0	387.56
06/30/2017	ZAX TRUST	JNL PERSPECTIVE	396.45	0.08	0.00	0.08	0.0	0.08
06/30/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,473.01	3.53	0.00	3.53	0.0	3.53
06/30/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	59,617.86	64.59	0.00	64.59	0.0	64.59
06/30/2017	WHITE, LINDA	JNL PERSPECTIVE L	74,479.22	62.07	0.00	62.07	0.0	62.07
06/30/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	33,905.85	28.25	0.00	28.25	0.0	28.25
06/30/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,788.18	13.16	0.00	13.16	0.0	13.16
07/05/2017	MYERS, BARBARA	PIONEER EQUITY INCOME A	0.00	3.58	0.00	3.58	0.0	3.58
07/05/2017	MYERS, BARBARA	PIONEER MID CAP VALUE A	0.00	0.84	0.00	0.84	0.0	0.84
07/05/2017	ENGSTROM, NOAH	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	4.37	0.00	4.37	0.0	4.37
		* ROBERT (RUSTY) TWEED SUBTOTAL *	\$1,041,453.04	\$2,244.45	\$0.00	\$2,244.45		\$2,244.45
<b><u> BROKER: JIM LUND #60 </u></b>								
06/30/2017	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	108,002.73	67.50	0.00	67.50	0.0	67.50
06/30/2017	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	91,786.46	57.37	0.00	57.37	0.0	57.37
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.92	0.00	14.92	0.0	14.92
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	28.59	0.00	28.59	0.0	28.59
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	8.13	0.00	8.13	0.0	8.13
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.21	0.00	16.21	0.0	16.21
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	1.14	0.00	1.14	0.0	1.14
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	7.91	0.00	7.91	0.0	7.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.37	0.00	0.37	0.0	0.37
		<b>* JIM LUND SUBTOTAL *</b>	<b>\$199,789.19</b>	<b>\$202.14</b>	<b>\$0.00</b>	<b>\$202.14</b>		<b>\$202.14</b>
<b><u>BROKER: JAMES PANOS #61</u></b>								
06/30/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	86,133.02	71.78	0.00	71.78	0.0	71.78
06/30/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,818.27	89.51	0.00	89.51	0.0	89.51
06/30/2017	YFANTOPULOS, GEORGE	METLIFE SERIES L	241,258.13	603.15	0.00	603.15	0.0	603.15
06/30/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	67,197.31	167.99	0.00	167.99	0.0	167.99
06/30/2017	CHIARELLA, LAWRENCE	METLIFE SERIES L	305,673.64	764.18	0.00	764.18	0.0	764.18
06/30/2017	BROPHY, VIRGINIA	METLIFE SERIES L	169,070.78	422.68	0.00	422.68	0.0	422.68
06/30/2017	MONAGHAN, THOMAS	METLIFE SERIES L	124,490.39	311.23	0.00	311.23	0.0	311.23
06/30/2017	VITUKEVICH, MICHAEL	METLIFE SERIES L	162,090.66	405.23	0.00	405.23	0.0	405.23
06/30/2017	BROPHY, CHARLES	METLIFE SERIES L	371,450.59	928.63	0.00	928.63	0.0	928.63
06/30/2017	KAVANAUGH, MARJORIE	METLIFE SERIES L	64,523.12	161.31	0.00	161.31	0.0	161.31
		<b>* JAMES PANOS SUBTOTAL *</b>	<b>\$1,806,705.91</b>	<b>\$3,925.69</b>	<b>\$0.00</b>	<b>\$3,925.69</b>		<b>\$3,925.69</b>
<b><u>BROKER: JEFFREY BUTLER #93</u></b>								
06/30/2017	HOLLIDAY SHOPPING CT, 401K	HARTFORD REITREMENT PLANS	442,864.98	553.58	0.00	553.58	0.0	553.58
06/30/2017	CRAWFORD, ROBERT	LFG LNCVV516N	60,033.40	37.52	0.00	37.52	0.0	37.52
		<b>* JEFFREY BUTLER SUBTOTAL *</b>	<b>\$502,898.38</b>	<b>\$591.10</b>	<b>\$0.00</b>	<b>\$591.10</b>		<b>\$591.10</b>
<b><u>BROKER: JAMES AULENTI #101</u></b>								
07/03/2017	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	75.94	0.00	75.94	0.0	75.94
06/30/2017	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	37,995.58	23.75	0.00	23.75	0.0	23.75
06/30/2017	EVANS, SHARON	PRU AS XTRA CREDIT SIX	51,213.37	32.01	0.00	32.01	0.0	32.01
06/30/2017	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	396,000.25	247.50	0.00	247.50	0.0	247.50
06/30/2017	WILLS, KAREN	PRU PREMIER RETIREMENT X	501,954.73	313.72	0.00	313.72	0.0	313.72
06/30/2017	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	151,193.61	94.50	0.00	94.50	0.0	94.50
06/30/2017	LUCIER, LYNN	PRU PREMIER RETIREMENT X	56,975.60	35.61	0.00	35.61	0.0	35.61
		<b>* JAMES AULENTI SUBTOTAL *</b>	<b>\$1,195,333.14</b>	<b>\$823.03</b>	<b>\$0.00</b>	<b>\$823.03</b>		<b>\$823.03</b>
<b><u>BROKER: FRED CHASALOW #103</u></b>								
06/30/2017	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	100,712.45	251.78	0.00	251.78	0.0	251.78
06/30/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	210,908.16	527.27	0.00	527.27	0.0	527.27
06/30/2017	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	250,706.98	626.77	0.00	626.77	0.0	626.77

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: FRED CHASALOW #103</u></b>								
06/30/2017	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	100,087.38	125.11	0.00	125.11	0.0	125.11
		<b>* FRED CHASALOW SUBTOTAL *</b>	<b>\$662,414.97</b>	<b>\$1,530.93</b>	<b>\$0.00</b>	<b>\$1,530.93</b>		<b>\$1,530.93</b>
<b><u>BROKER: ERIK BLOOMFELDT #105</u></b>								
06/30/2017	DEACON, GALE	JNL PERSPECTIVE L	7,491.00	6.24	0.00	6.24	0.0	6.24
/ /	FREER, JADA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.02	0.00	4.02	0.0	4.02
		<b>* ERIK BLOOMFELDT SUBTOTAL *</b>	<b>\$7,491.00</b>	<b>\$10.26</b>	<b>\$0.00</b>	<b>\$10.26</b>		<b>\$10.26</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
06/30/2017	PETTIT, WILLIAM	METLIFE SERIES L	33,180.13	82.95	0.00	82.95	0.0	82.95
06/30/2017	COOPER, CHARLES	METLIFE SERIES L	45,192.42	112.98	0.00	112.98	0.0	112.98
06/30/2017	WILLIAMS, JESSIE	METLIFE SERIES L	211,670.62	529.18	0.00	529.18	0.0	529.18
06/30/2017	DAUBERT, D	METLIFE SERIES L	19,566.29	48.92	0.00	48.92	0.0	48.92
		<b>* DANIEL COLLINS SUBTOTAL *</b>	<b>\$309,609.46</b>	<b>\$774.47</b>	<b>\$0.00</b>	<b>\$774.47</b>		<b>\$774.47</b>
<b><u>BROKER: G118 GRECO #110</u></b>								
06/09/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.49	0.00	7.49	0.0	7.49
06/09/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.71	0.00	4.71	0.0	4.71
06/09/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.48	0.00	4.48	0.0	4.48
06/09/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.61	0.00	6.61	0.0	6.61
06/09/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.61	0.00	6.61	0.0	6.61
06/09/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.06	0.00	1.06	0.0	1.06
06/09/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	0.0	0.16
06/09/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.09	0.00	1.09	0.0	1.09
06/09/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	1.00	0.00	1.00	0.0	1.00
06/09/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.09	0.00	5.09	0.0	5.09
06/09/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.94	0.00	0.94	0.0	0.94
06/09/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.83	0.00	2.83	0.0	2.83
06/09/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	15.71	0.00	15.71	0.0	15.71
06/15/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	5.98	0.00	5.98	0.0	5.98
06/09/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.92	0.00	12.92	0.0	12.92
		<b>* G118 GRECO SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$76.68</b>	<b>\$0.00</b>	<b>\$76.68</b>		<b>\$76.68</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
06/30/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,193.91	6.40	0.00	6.40	0.0	6.40
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.31	0.00	1.31	0.0	1.31
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	5.67	0.00	5.67	0.0	5.67
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.72	0.00	1.72	0.0	1.72
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	2.97	0.00	2.97	0.0	2.97
07/05/2017	PEACOCK, RICHARD	PIIONEER BOND FUND A	0.00	4.86	0.00	4.86	0.0	4.86
07/05/2017	DEXTER, ROCHELLE	PIIONEER BOND FUND A	0.00	2.66	0.00	2.66	0.0	2.66
07/05/2017	CAMP, LINDA	PIIONEER BOND FUND A	0.00	2.29	0.00	2.29	0.0	2.29
07/05/2017	WOOD, MARLENE	PIIONEER BOND FUND A	0.00	2.97	0.00	2.97	0.0	2.97
07/05/2017	ZEHRINGER, JAMES	PIIONEER BOND FUND A	0.00	1.37	0.00	1.37	0.0	1.37
07/05/2017	WEHRKAMP, REBECCA	PIIONEER CLASSIC BALANCED FUND A	0.00	4.90	0.00	4.90	0.0	4.90
07/05/2017	MATHENEY, DONNA	PIIONEER CLASSIC BALANCED FUND A	0.00	4.75	0.00	4.75	0.0	4.75
07/05/2017	WEHRKAMP, KENNETH	PIIONEER CLASSIC BALANCED FUND A	0.00	5.02	0.00	5.02	0.0	5.02
07/05/2017	KEY, LARRY	PIIONEER CLASSIC BALANCED FUND A	0.00	1.90	0.00	1.90	0.0	1.90
07/05/2017	PRICE, JOYCE	PIIONEER CLASSIC BALANCED FUND A	0.00	3.85	0.00	3.85	0.0	3.85
07/05/2017	WEHRKAMP, REBECCA	PIIONEER CULLEN VALUE FUND A	0.00	4.33	0.00	4.33	0.0	4.33
07/05/2017	MINIX, DEBORAH	PIIONEER CULLEN VALUE FUND A	0.00	11.25	0.00	11.25	0.0	11.25
07/05/2017	RATLIFF, DONNA	PIIONEER CULLEN VALUE FUND A	0.00	5.26	0.00	5.26	0.0	5.26
07/05/2017	ZEHRINGER, JAMES	PIIONEER CULLEN VALUE FUND A	0.00	9.12	0.00	9.12	0.0	9.12
07/05/2017	MATHENEY, DONNA	PIIONEER EMERGING MARKETS A	0.00	0.65	0.00	0.65	0.0	0.65
07/05/2017	MCCABE TRUST, DANNY	PIIONEER EMERGING MARKETS A	0.00	0.01	0.00	0.01	0.0	0.01
07/05/2017	RATLIFF, DONNA	PIIONEER EMERGING MARKETS A	0.00	0.69	0.00	0.69	0.0	0.69
07/05/2017	KEY, LARRY	PIIONEER EMERGING MARKETS A	0.00	3.15	0.00	3.15	0.0	3.15
07/05/2017	MINIX, DEBORAH	PIIONEER EMERGING MARKETS A	0.00	1.39	0.00	1.39	0.0	1.39
07/05/2017	THOMPSON REYNOLDS JT TEN,	PIIONEER EMERGING MARKETS A	0.00	1.86	0.00	1.86	0.0	1.86
07/05/2017	MCCLELLAN, JAY	PIIONEER EMERGING MARKETS A	0.00	4.34	0.00	4.34	0.0	4.34
07/05/2017	STARNES, EDDIE	PIIONEER EQUITY INCOME A	0.00	0.37	0.00	0.37	0.0	0.37
07/05/2017	ZEHRINGER, SHARON	PIIONEER EQUITY INCOME A	0.00	6.39	0.00	6.39	0.0	6.39
07/05/2017	ZEHRINGER, JAMES	PIIONEER EQUITY INCOME A	0.00	5.40	0.00	5.40	0.0	5.40
07/05/2017	JONES, JACQUELINE	PIIONEER EQUITY INCOME A	0.00	2.39	0.00	2.39	0.0	2.39
07/05/2017	MILLER, MYRNA	PIIONEER FLOATING RATE A	0.00	11.29	0.00	11.29	0.0	11.29
07/05/2017	MCCABE VA/UTMA, ELIZABETH	PIIONEER FUND A	0.00	1.52	0.00	1.52	0.0	1.52
07/05/2017	WATKINS OH/UTMA, ALEXI	PIIONEER FUND A	0.00	1.56	0.00	1.56	0.0	1.56

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
07/05/2017	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	6.49	0.00	6.49	0.0	6.49
07/05/2017	MINIX, DEBORAH	PIONEER FUND A	0.00	1.66	0.00	1.66	0.0	1.66
07/05/2017	CURTIS, RAY	PIONEER FUND A	0.00	3.06	0.00	3.06	0.0	3.06
07/05/2017	JONES, JACQUELINE	PIONEER FUND A	0.00	1.70	0.00	1.70	0.0	1.70
07/05/2017	RUSH, KAREN	PIONEER FUND A	0.00	12.38	0.00	12.38	0.0	12.38
07/05/2017	CRABTREE, GARY	PIONEER FUND C	0.00	9.50	0.00	9.50	0.0	9.50
07/05/2017	GREGONCZA, RONALD	PIONEER FUND C	0.00	28.14	0.00	28.14	0.0	28.14
07/05/2017	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	7.61	0.00	7.61	0.0	7.61
07/05/2017	CAMP, LINDA	PIONEER FUNDAMENTAL GROWTH A	0.00	2.22	0.00	2.22	0.0	2.22
07/05/2017	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.28	0.00	1.28	0.0	1.28
07/05/2017	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	1.41	0.00	1.41	0.0	1.41
07/05/2017	WOOD, MARLENE	PIONEER GLOBAL EQUITY A	0.00	1.13	0.00	1.13	0.0	1.13
07/05/2017	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	4.09	0.00	4.09	0.0	4.09
07/05/2017	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	2.00	0.00	2.00	0.0	2.00
07/05/2017	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.26	0.00	1.26	0.0	1.26
07/05/2017	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.10	0.00	2.10	0.0	2.10
07/05/2017	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.00	0.00	1.00	0.0	1.00
07/05/2017	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.24	0.00	2.24	0.0	2.24
07/05/2017	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.05	0.00	1.05	0.0	1.05
07/05/2017	PRICE, JOYCE	PIONEER GROWTH OPPORTUNITIES A	0.00	2.93	0.00	2.93	0.0	2.93
07/05/2017	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.05	0.00	1.05	0.0	1.05
07/05/2017	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	4.20	0.00	4.20	0.0	4.20
07/05/2017	WEHRKAMP, KENNETH	PIONEER HIGH INCOME MUNICIPAL A	0.00	1.65	0.00	1.65	0.0	1.65
07/05/2017	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	6.33	0.00	6.33	0.0	6.33
07/05/2017	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.41	0.00	1.41	0.0	1.41
07/05/2017	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	1.02	0.00	1.02	0.0	1.02
07/05/2017	CAMP, LINDA	PIONEER HIGH YIELD A	0.00	0.54	0.00	0.54	0.0	0.54
07/05/2017	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.79	0.00	1.79	0.0	1.79
07/05/2017	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.13	0.00	3.13	0.0	3.13
07/05/2017	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.57	0.00	0.57	0.0	0.57
07/05/2017	PRICE, JOYCE	PIONEER INDEPENDENCE A	0.00	4.44	0.00	4.44	0.0	4.44
07/05/2017	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	1.82	0.00	1.82	0.0	1.82
07/05/2017	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	4.38	0.00	4.38	0.0	4.38

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<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
07/05/2017	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	5.89	0.00	5.89	0.0	5.89
07/05/2017	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	3.88	0.00	3.88	0.0	3.88
07/05/2017	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.26	0.00	3.26	0.0	3.26
07/05/2017	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.53	0.00	2.53	0.0	2.53
07/05/2017	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.57	0.00	1.57	0.0	1.57
07/05/2017	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.16	0.00	5.16	0.0	5.16
07/05/2017	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	13.89	0.00	13.89	0.0	13.89
07/05/2017	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.75	0.00	0.75	0.0	0.75
07/05/2017	WEHRKAMP, KENNETH	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.62	0.00	4.62	0.0	4.62
07/05/2017	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.38	0.00	5.38	0.0	5.38
07/05/2017	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.31	0.00	3.31	0.0	3.31
07/05/2017	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.88	0.00	3.88	0.0	3.88
07/05/2017	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.32	0.00	1.32	0.0	1.32
07/05/2017	WOOD, MARLENE	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.19	0.00	6.19	0.0	6.19
07/05/2017	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.77	0.00	0.77	0.0	0.77
07/05/2017	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	3.62	0.00	3.62	0.0	3.62
07/05/2017	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	1.85	0.00	1.85	0.0	1.85
07/05/2017	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.48	0.00	1.48	0.0	1.48
07/05/2017	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.60	0.00	0.60	0.0	0.60
07/05/2017	BOWDEN, GERALD	PIONEER STRATEGIC INCOME A	0.00	0.27	0.00	0.27	0.0	0.27
06/30/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	140,830.77	88.02	0.00	88.02	0.0	88.02
06/30/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	140,830.77	264.06	0.00	264.06	0.0	264.06
06/30/2017	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	39,281.14	24.55	0.00	24.55	0.0	24.55
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.55	0.00	1.55	0.0	1.55
07/05/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	11.47	0.00	11.47	0.0	11.47
07/05/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	7.00	0.00	7.00	0.0	7.00
* JAY MCCLELLAN SUBTOTAL *			\$340,136.59	\$716.06	\$0.00	\$716.06		\$716.06
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
06/26/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
07/03/2017	CARLSON, JEANNE	OHIO NATL ONCORE LITE	16,403.98	41.01	0.00	41.01	0.0	41.01
07/05/2017	DAVIS, AUSTIN	PIONEER FUND A	0.00	1.63	0.00	1.63	0.0	1.63
* TIMOTHY KULHANEK SUBTOTAL *			\$16,533.98	\$50.44	\$0.00	\$50.44		\$50.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVID KOTZ #179 </u></b>								
06/09/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.25	0.00	4.25	0.0	4.25
06/09/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.94	0.00	0.94	0.0	0.94
06/09/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.60	0.00	9.60	0.0	9.60
06/09/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.85	0.00	8.85	0.0	8.85
06/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.10	0.00	25.10	0.0	25.10
06/09/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.87	0.00	2.87	0.0	2.87
06/09/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.86	0.00	0.86	0.0	0.86
06/09/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.56	0.00	2.56	0.0	2.56
06/09/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.70	0.00	1.70	0.0	1.70
06/09/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.34	0.00	2.34	0.0	2.34
06/09/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	1.88	0.00	1.88	0.0	1.88
* DAVID KOTZ SUBTOTAL *			\$0.00	\$60.95	\$0.00	\$60.95		\$60.95
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
07/05/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	1.01	0.00	1.01	0.0	1.01
07/05/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.95	0.00	1.95	0.0	1.95
07/05/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.89	0.00	0.89	0.0	0.89
* MICHELE BOYER SUBTOTAL *			\$0.00	\$3.85	\$0.00	\$3.85		\$3.85
<b><u> BROKER: MICHAEL PALMISANO #196 </u></b>								
06/09/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.38	0.00	2.38	0.0	2.38
06/09/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.93	0.00	7.93	0.0	7.93
* MICHAEL PALMISANO SUBTOTAL *			\$0.00	\$10.31	\$0.00	\$10.31		\$10.31
<b><u> BROKER: RICHARD CODY #197 </u></b>								
07/10/2017	BERNARDSVILLE FIRE	AT&T INC FIXED RT GLOBAL NT 3.000%	-15,073.05	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	BANK AMER CORP FXD RT SUB NT SER L	-20,230.40	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	BED BATH & BEYOND INC FXD RT SR NT	-28,018.50	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	CITIGROUP INC NT 4.3% 30/360 4.300%	-15,385.65	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	GENERAL ELEC CAP CORP INTERNOTES FI	-10,100.00	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	GENERAL ELEC CAP CORP INTERNOTES FI	-15,525.00	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	HSBC USA INC MEDIUM TERM NTS BUFFER	-38,272.50	28.00	27.00	1.00	0.0	1.00
07/10/2017	BERNARDSVILLE FIRE	HSBC USA INC MEDIUM TERM NTS BUFFER	-16,404.00	28.00	27.00	1.00	0.0	1.00
07/10/2017	BERNARDSVILLE FIRE	JPMORGAN CHASE & CO FIXED RATE SUB	-15,472.80	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MED TERM SR NTS; LEV	-27,000.00	0.00	27.00	-27.00	0.0	-27.00

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: RICHARD CODY #197 </u></b>								
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MED TERM SR NTS; LEV	-18,000.00	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS A	-15,000.00	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-18,537.50	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-18,537.50	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	MORGAN STANLEY MEDIUM TERM SR NTS F	-14,000.00	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	TEVA PHARMACEUTICAL FIN CO SR NT IS	-14,944.80	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	VERIZON MD INC DEB SER B 5.125% 06/	-22,681.78	0.00	27.00	-27.00	0.0	-27.00
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR INTL LARGE CAP DIVID F	-4,710.15	0.00	22.50	-22.50	0.0	-22.50
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR MIDCAP DIVID FD	-9,735.04	0.00	22.50	-22.50	0.0	-22.50
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR MIDCAP DIVID FD	-9,732.50	0.00	22.50	-22.50	0.0	-22.50
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR SMALLCAP DIVID FD	-7,994.56	0.00	22.50	-22.50	0.0	-22.50
07/10/2017	BERNARDSVILLE FIRE	WISDOMTREE TR SMALLCAP DIVID FD	-7,998.06	0.00	22.50	-22.50	0.0	-22.50
* RICHARD CODY SUBTOTAL *			<b>\$-363,353.79</b>	<b>\$56.00</b>	<b>\$571.50</b>	<b>\$-515.50</b>		<b>\$-515.50</b>
<b><u> BROKER: MEREDITH MCCUTCHEN #221 </u></b>								
06/30/2017	HUGGINS, STEVE	HARTFORD LIFE DIRECTOR 8	24,941.76	15.59	0.00	15.59	0.0	15.59
07/05/2017	KINSEY, MARY	PIONEER FUND A	0.00	1.77	0.00	1.77	0.0	1.77
07/05/2017	HAMMONS, LEO	PIONEER FUND A	0.00	4.11	0.00	4.11	0.0	4.11
07/05/2017	KINSEY, MARY	PIONEER HIGH YIELD A	0.00	1.77	0.00	1.77	0.0	1.77
* MEREDITH MCCUTCHEN SUBTOTAL *			<b>\$24,941.76</b>	<b>\$23.24</b>	<b>\$0.00</b>	<b>\$23.24</b>		<b>\$23.24</b>
<b><u> BROKER: JAMES CRAWFORD #225 </u></b>								
06/30/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,253.86	1.93	0.00	1.93	0.0	1.93
06/30/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,363.96	1.53	0.00	1.53	0.0	1.53
* JAMES CRAWFORD SUBTOTAL *			<b>\$16,617.82</b>	<b>\$3.46</b>	<b>\$0.00</b>	<b>\$3.46</b>		<b>\$3.46</b>
<b><u> BROKER: CARL WORDEN III #232 </u></b>								
06/30/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,156.32	2.12	0.00	2.12	0.0	2.12
* CARL WORDEN III SUBTOTAL *			<b>\$10,156.32</b>	<b>\$2.12</b>	<b>\$0.00</b>	<b>\$2.12</b>		<b>\$2.12</b>
<b><u> BROKER: MARIA BONGIOVANNI #237 </u></b>								
06/26/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	0.0	1.50
06/26/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	0.0	1.50
06/30/2017	NORCROSS, NANCY	METLIFE SERIES L	39,098.48	97.75	0.00	97.75	0.0	97.75
06/30/2017	NORCROSS, NANCY	METLIFE SERIES L	35,349.98	88.37	0.00	88.37	0.0	88.37

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARIA BONGIOVANNI #237 </u></b>								
06/30/2017	NORCROSS, NANCY	METLIFE SERIES L	34,291.34	85.73	0.00	85.73	0.0	85.73
		<b>* MARIA BONGIOVANNI SUBTOTAL *</b>	<b>\$109,039.80</b>	<b>\$274.85</b>	<b>\$0.00</b>	<b>\$274.85</b>		<b>\$274.85</b>
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
06/30/2017	LUKE, CECILIA	LFG TRAILS	0.00	260.14	0.00	260.14	0.0	260.14
06/26/2017	ISBELL, LINDA	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	0.0	2.50
06/26/2017	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	0.0	2.50
06/26/2017	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	0.0	4.25
06/26/2017	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	2.50
06/26/2017	ISBELL, LINDA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	0.0	2.50
06/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
07/05/2017	GILSON, ANN	PIONEER VALUE A	0.00	28.15	0.00	28.15	0.0	28.15
		<b>* WILLIAM THOMAS SUBTOTAL *</b>	<b>\$600.00</b>	<b>\$308.54</b>	<b>\$0.00</b>	<b>\$308.54</b>		<b>\$308.54</b>
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
06/30/2017	POLL, KELLY	ALLIANZ VISION BASIC	76,888.50	96.11	0.00	96.11	0.0	96.11
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.85	0.00	2.85	0.0	2.85
07/01/2017	REYNOLDS, BARBARA	NW DESTINATION B2 05	50,795.42	63.49	0.00	63.49	0.0	63.49
07/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
07/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
07/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
/ /	CROCKETT, DAVID	OPPENHEIMER DEVELOPING MARKETS C	0.00	7.86	0.00	7.86	0.0	7.86
/ /	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	0.00	75.45	0.00	75.45	0.0	75.45
06/19/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
/ /	CROCKETT, DAVID	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	16.74	0.00	16.74	0.0	16.74
/ /	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	69.66	0.00	69.66	0.0	69.66
06/19/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
06/19/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	0.00	1.52	0.00	1.52	0.0	1.52
07/05/2017	BAIRD, ALEX	TRANSAMERICA AA GROWTH A	0.00	13.00	0.00	13.00	0.0	13.00
07/05/2017	COCHRILL, NATHAN	TRANSAMERICA AA GROWTH A	0.00	3.32	0.00	3.32	0.0	3.32
07/05/2017	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	2.29	0.00	2.29	0.0	2.29
07/05/2017	BAIRD, NATHAN	TRANSAMERICA AA MOD GROWTH A	0.00	17.94	0.00	17.94	0.0	17.94
07/05/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	9.11	0.00	9.11	0.0	9.11
07/05/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	0.00	8.90	0.00	8.90	0.0	8.90

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
07/05/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	2.89	0.00	2.89	0.0	2.89
07/05/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	3.99	0.00	3.99	0.0	3.99
06/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,803.70	15.67	0.00	15.67	0.0	15.67
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			<b>\$147,162.62</b>	<b>\$415.79</b>	<b>\$0.00</b>	<b>\$415.79</b>		<b>\$415.79</b>
<b><u>BROKER: MICHAEL O'CALLAGHAN #305</u></b>								
06/30/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	81,916.77	68.26	0.00	68.26	0.0	68.26
* MICHAEL O'CALLAGHAN SUBTOTAL *			<b>\$81,916.77</b>	<b>\$68.26</b>	<b>\$0.00</b>	<b>\$68.26</b>		<b>\$68.26</b>
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
06/30/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	120,625.21	100.52	0.00	100.52	0.0	10.05
06/24/2017	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	0.00	363.48	0.00	363.48	0.0	36.35
06/29/2017	BELLOMO, DIANE	ING EMPIRE INNOVATIONS STD OPT A	0.00	200.07	0.00	200.07	0.0	20.01
06/30/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	224,931.51	187.44	0.00	187.44	0.0	18.74
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.59	0.00	4.59	0.0	0.46
* L149-ROBERT LUCHSINGER SUBTOTAL *			<b>\$345,556.72</b>	<b>\$856.10</b>	<b>\$0.00</b>	<b>\$856.10</b>		<b>\$85.61</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$8,366,051.57</b>	<b>\$16,115.60</b>	<b>\$571.50</b>	<b>\$15,544.10</b>		<b>\$14,773.61</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,618.82
Split Transactions	\$0.00
Override Transactions	\$14,773.61
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,392.43
Year-to-date Gross	\$50,811.46
Year-to-date Earnings	\$133,626.18