

**COMMISSION STATEMENT****December 15, 2017**BRUCE BAXTER
10385 Wellington Blvd.

BROKER #: B121 Terminated 05/30/2015

BRANCH: BRUCE BAXTER

Powell, OH 43065

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 12/01/2017 | BROWN, IAN | MFS AGGRESSIVE GROWTH ALLOCATION A | 100.00 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$100.00 | \$5.00 | \$0.00 | \$5.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 11/29/2017 | STAMBAUGH, GARY | SBL ADVISORDESIGNS VA | 15,627.47 | 5.86 | 0.00 | 5.86 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$15,627.47 | \$5.86 | \$0.00 | \$5.86 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 11/01/2017 | BAXTER, VARIOUS | TRANSAMERICA LIFE | 0.00 | 54.94 | 0.00 | 54.94 | 0.0 | 0.00 |
| 11/23/2017 | BAXTER, VARIOUS | TRANSAMERICA LIFE | 0.00 | 219.38 | 0.00 | 219.38 | 0.0 | 0.00 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$0.00 | \$274.32 | \$0.00 | \$274.32 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$15,727.47 | \$285.18 | \$0.00 | \$285.18 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$7,449.09 |
| Year-to-date Earnings | \$0.00 |