



Concorde Investment Services, LLC

04/17/2018

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**COMMISSION STATEMENT****April 15, 2018****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
^03/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	100.00	4.75	0.00	4.75	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.00
^03/29/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.30	0.00	0.30	90.0	0.00
^03/29/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
^04/06/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^04/06/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00
^03/29/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	70.00	0.70	0.00	0.70	90.0	0.00
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$325.00</b>	<b>\$10.32</b>	<b>\$0.00</b>	<b>\$10.32</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^03/29/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	7.79	0.00	7.79	90.0	0.00
^03/29/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	11.25	0.00	11.25	90.0	0.00
^03/29/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	176.04	6.60	0.00	6.60	90.0	0.00
^03/29/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	176.04	6.60	0.00	6.60	90.0	0.00
^03/29/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	7.79	0.00	7.79	90.0	0.00
^03/29/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	15.58	0.00	15.58	90.0	0.00
^04/02/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^03/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^03/29/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^03/29/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^04/06/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^03/29/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^04/06/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.39	0.00	0.39	90.0	0.00
^04/06/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	1.83	0.00	1.83	90.0	0.00
^04/06/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^04/06/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^03/29/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
* MUTUAL FUNDS SUBTOTAL *			\$2,162.84	\$85.17	\$0.00	\$85.17		\$0.00
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	90.0	0.00
^03/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.55	0.00	0.55	90.0	0.00
^03/15/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.30	0.00	0.30	90.0	0.00
^03/15/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.35	0.00	0.35	90.0	0.00
^03/15/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	90.0	0.00
^03/15/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.78	0.00	0.78	90.0	0.00
^03/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.65	0.00	0.65	90.0	0.00
^03/15/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.38	0.00	0.38	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.54	0.00	0.54	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.00
^03/15/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.06	0.00	0.06	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.67	0.00	0.67	90.0	0.00
^03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.51	0.00	0.51	90.0	0.00
^03/19/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	3.16	0.00	3.16	90.0	0.00
^03/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	12.95	0.00	12.95	90.0	0.00
^03/21/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.50	0.00	0.50	90.0	0.00
^03/21/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.77	0.00	1.77	90.0	0.00
^03/15/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.80	0.00	0.80	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.59	0.00	1.59	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	2.20	0.00	2.20	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	2.75	0.00	2.75	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	4.01	0.00	4.01	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	4.11	0.00	4.11	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	1.02	0.00	1.02	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.34	0.00	0.34	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.30	0.00	0.30	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.32	0.00	0.32	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.52	0.00	0.52	90.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.76	0.00	2.76	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.76	0.00	0.76	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	6.05	0.00	6.05	90.0	0.00
^ / /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	7.70	0.00	7.70	90.0	0.00
^ / /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.36	0.00	0.36	90.0	0.00
^ / /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.55	0.00	0.55	90.0	0.00
^ / /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	5.08	0.00	5.08	90.0	0.00
^03/21/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.36	0.00	3.36	90.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$71.18</b>	<b>\$0.00</b>	<b>\$71.18</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,487.84</b>	<b>\$166.67</b>	<b>\$0.00</b>	<b>\$166.67</b>		<b>\$0.00</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

03/27/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	100.00	1.43	0.00	1.43	90.0	1.29
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.15	0.00	0.15	90.0	0.14
03/29/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	30.00	0.09	0.00	0.09	90.0	0.08
03/29/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
04/06/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
04/06/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
03/29/2018	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	70.00	0.21	0.00	0.21	90.0	0.19
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$325.00</b>	<b>\$3.10</b>	<b>\$0.00</b>	<b>\$3.10</b>		<b>\$2.80</b>

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

03/29/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	2.34	0.00	2.34	90.0	2.11
03/29/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	3.38	0.00	3.38	90.0	3.04
03/29/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	176.04	1.98	0.00	1.98	90.0	1.78
03/29/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	176.04	1.98	0.00	1.98	90.0	1.78
03/29/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	2.34	0.00	2.34	90.0	2.11
03/29/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	4.67	0.00	4.67	90.0	4.20
03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	90.0	0.23
03/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
03/15/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.08
03/15/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.11	0.00	0.11	90.0	0.10

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
03/15/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.31
03/15/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.13	0.00	0.13	90.0	0.12
03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.23	0.00	0.23	90.0	0.21
03/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.20	0.00	0.20	90.0	0.18
03/15/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.02	0.00	0.02	90.0	0.02
03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.10
03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.16	0.00	0.16	90.0	0.14
04/02/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
03/15/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/15/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
03/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
03/29/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.20	0.00	0.20	90.0	0.18
03/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.15	0.00	0.15	90.0	0.14
03/29/2018	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
04/06/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
03/29/2018	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
03/19/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.95	0.00	0.95	90.0	0.86
03/20/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.89	0.00	3.89	90.0	3.50
03/21/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.15	0.00	0.15	90.0	0.14
03/21/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.53	0.00	0.53	90.0	0.48
04/06/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.12	0.00	0.12	90.0	0.11
04/06/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.55	0.00	0.55	90.0	0.50
04/06/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
04/06/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
03/29/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
03/15/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.83	0.00	0.83	90.0	0.75
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.20	0.00	1.20	90.0	1.08
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.23	0.00	1.23	90.0	1.11

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.31	0.00	0.31	90.0	0.28
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.09	0.00	0.09	90.0	0.08
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.10	0.00	0.10	90.0	0.09
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.16	0.00	0.16	90.0	0.14
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.83	0.00	0.83	90.0	0.75
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.23	0.00	0.23	90.0	0.21
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	1.82	0.00	1.82	90.0	1.64
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.31	0.00	2.31	90.0	2.08
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.52	0.00	1.52	90.0	1.37
03/21/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.01	0.00	1.01	90.0	0.91
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			<b>\$2,162.84</b>	<b>\$46.97</b>	<b>\$0.00</b>	<b>\$46.97</b>		<b>\$42.33</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$2,487.84</b>	<b>\$50.07</b>	<b>\$0.00</b>	<b>\$50.07</b>		<b>\$45.13</b>

**ADJUSTMENTS**

04/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						0.00
04/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						-0.47
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-0.47</b>

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$45.13
Override Transactions	\$0.00
Adjustments	\$-0.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$44.66</b>
<b>Year-to-date Gross</b>	<b>\$498.87</b>
<b>Year-to-date Earnings</b>	<b>\$437.50</b>