

COMMISSION STATEMENT

September 29, 2017

CHRISTOPHER HAMILTON

21 WEST STREET

APT 6J

NEW YORK, NY 10006

BROKER #: 14

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

09/26/2017	75501159	EISBERG, JAMES		COHEN & STEERS GLOBAL REALTY SHARES S	23,637.54	25.00	0.00	25.00	80.0	20.00
* MUTUAL FUNDS SUBTOTAL *					\$23,637.54	\$25.00	\$0.00	\$25.00		\$20.00

PRODUCT CATEGORY: STOCKS

08/29/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	130,344.00	0.00	10.00	-10.00	80.0	-8.00
08/30/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	130,179.12	0.00	10.00	-10.00	80.0	-8.00
08/30/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	130,224.00	0.00	10.00	-10.00	80.0	-8.00
08/31/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR	PROSHARES ULTRASHORT T	B	23,804.90	0.00	10.00	-10.00	80.0	-8.00
09/01/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	130,838.64	0.00	10.00	-10.00	80.0	-8.00
09/01/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	130,790.64	0.00	10.00	-10.00	80.0	-8.00
09/01/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TR	PROSHARES ULTRASHORT T	S	24,187.10	0.00	10.00	-10.00	80.0	-8.00
09/05/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	65,100.00	0.00	10.00	-10.00	80.0	-8.00
09/05/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	130,200.00	0.00	10.00	-10.00	80.0	-8.00
09/06/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	65,376.00	0.00	10.00	-10.00	80.0	-8.00
09/06/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	65,376.00	0.00	10.00	-10.00	80.0	-8.00
09/06/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	65,376.00	0.00	10.00	-10.00	80.0	-8.00
09/07/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	65,760.00	0.00	10.00	-10.00	80.0	-8.00
09/07/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS	ETF TR GOLD MINERS E	S	38,235.00	0.00	10.00	-10.00	80.0	-8.00
09/08/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	65,964.00	0.00	10.00	-10.00	80.0	-8.00
09/08/2017	75500514	HAMILTON, CHRISTOPHER	VANECK VECTORS	ETF TR GOLD MINERS E	B	37,860.00	0.00	10.00	-10.00	80.0	-8.00
09/13/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES	20 PLUS YEAR TREASURY BOND	B	88,403.00	0.00	10.00	-10.00	80.0	-8.00
09/14/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES	20 PLUS YEAR TREASURY BOND	S	88,767.00	0.00	10.00	-10.00	80.0	-8.00
09/14/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	65,904.00	0.00	10.00	-10.00	80.0	-8.00
09/14/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	66,192.00	0.00	10.00	-10.00	80.0	-8.00
09/14/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TRUST	PROSHARES ULTRASHOR	B	95,036.00	0.00	10.00	-10.00	80.0	-8.00
09/14/2017	75500514	HAMILTON, CHRISTOPHER	PROSHARES TRUST	PROSHARES ULTRASHOR	S	94,960.00	0.00	10.00	-10.00	80.0	-8.00
09/15/2017	75500514	HAMILTON, CHRISTOPHER	SPDR GOLD	TR GOLD SHS	B	87,932.88	0.00	10.00	-10.00	80.0	-8.00
09/15/2017	75500514	HAMILTON, CHRISTOPHER	SPDR GOLD	TR GOLD SHS	S	87,871.00	0.00	10.00	-10.00	80.0	-8.00
09/18/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	B	132,600.00	0.00	10.00	-10.00	80.0	-8.00
09/19/2017	75500514	HAMILTON, CHRISTOPHER	ISHARES INC	ISHARES MSCI JAPAN ETF	S	133,368.00	0.00	10.00	-10.00	80.0	-8.00
09/19/2017	75500514	HAMILTON, CHRISTOPHER	SECTOR SPDR	TRUST SBI CONSUMER STAP	B	55,130.00	0.00	10.00	-10.00	80.0	-8.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
09/19/2017	75500514	HAMILTON, CHRISTOPHER SPDR GOLD TR GOLD SHS	B	124,478.10	0.00	10.00	-10.00	80.0	-8.00
09/19/2017	75500514	HAMILTON, CHRISTOPHER SPDR GOLD TR GOLD SHS	S	124,280.00	0.00	10.00	-10.00	80.0	-8.00
09/20/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	133,416.00	0.00	10.00	-10.00	80.0	-8.00
09/20/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	B	66,708.00	0.00	10.00	-10.00	80.0	-8.00
09/20/2017	75500514	HAMILTON, CHRISTOPHER SPDR GOLD TR GOLD SHS	B	124,708.30	0.00	10.00	-10.00	80.0	-8.00
09/20/2017	75500514	HAMILTON, CHRISTOPHER SPDR GOLD TR GOLD SHS	S	124,611.50	0.00	10.00	-10.00	80.0	-8.00
09/22/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	66,516.00	0.00	10.00	-10.00	80.0	-8.00
09/22/2017	75500514	HAMILTON, CHRISTOPHER ISHARES INC ISHARES MSCI JAPAN ETF	S	133,032.00	0.00	10.00	-10.00	80.0	-8.00
09/25/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	S	54,310.00	0.00	10.00	-10.00	80.0	-8.00
09/25/2017	75500514	HAMILTON, CHRISTOPHER SELECT SECTOR SPDR TRUST THE UTILIT	B	53,329.00	0.00	10.00	-10.00	80.0	-8.00
09/25/2017	75500514	HAMILTON, CHRISTOPHER SELECT SECTOR SPDR TRUST THE UTILIT	S	53,720.00	0.00	10.00	-10.00	80.0	-8.00
09/27/2017	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	B	21,304.20	0.00	10.00	-10.00	80.0	-8.00
09/27/2017	75500514	HAMILTON, CHRISTOPHER PROSHARES TR PROSHARES ULTRASHORT T	S	21,307.80	0.00	10.00	-10.00	80.0	-8.00
09/27/2017	75500514	HAMILTON, CHRISTOPHER SECTOR SPDR TRUST SBI CONSUMER STAP	B	54,007.00	0.00	10.00	-10.00	80.0	-8.00
09/27/2017	75500514	HAMILTON, CHRISTOPHER VANECK VECTORS ETF TR OIL SVCS ETF	S	49,602.70	0.00	10.00	-10.00	80.0	-8.00
09/07/2017	75500528	KALOS, LINDA OCCIDENTAL PETE CORP	S	55,000.00	50.00	10.00	40.00	80.0	32.00
09/08/2017	75500528	KALOS, LINDA OCCIDENTAL PETE CORP	B	60,780.00	50.00	10.00	40.00	80.0	32.00
09/08/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	121,000.00	525.00	10.00	515.00	80.0	412.00
09/08/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	B	-121,000.00	-525.00	-10.00	-515.00	80.0	-412.00 C
09/08/2017	75500529	KALOS, PAUL SPDR S&P 500 ETF TRUST	S	121,000.00	50.00	10.00	40.00	80.0	32.00
08/30/2017	75500814	KARLIN, RONALD PUMA BIOTECHNOLOGY INC	S	92,007.50	75.00	10.00	65.00	80.0	52.00
08/30/2017	75500814	KARLIN, RONALD UNIVERSAL DISPLAY CORPORATION	S	62,500.00	75.00	10.00	65.00	80.0	52.00
09/05/2017	75500814	KARLIN, RONALD UNIVERSAL INSURANCE HOLDINGS INC	B	8,850.00	75.00	10.00	65.00	80.0	52.00
09/07/2017	75500814	KARLIN, RONALD UNIVERSAL INSURANCE HOLDINGS INC	B	8,250.00	75.00	10.00	65.00	80.0	52.00
09/15/2017	75500814	KARLIN, RONALD UNIVERSAL INSURANCE HOLDINGS INC	S	21,300.00	75.00	10.00	65.00	80.0	52.00
09/19/2017	75500814	KARLIN, RONALD UNIVERSAL DISPLAY CORPORATION	B	71,000.00	75.00	10.00	65.00	80.0	52.00
09/22/2017	75500814	KARLIN, RONALD UNIVERSAL DISPLAY CORPORATION	B	66,250.00	75.00	10.00	65.00	80.0	52.00
09/21/2017	75500845	GERSHMAN, WENDIE COMCAST CORP CL A	S	18,012.34	75.00	10.00	65.00	80.0	52.00
09/21/2017	75500845	GERSHMAN, WENDIE INTEL CORP	S	14,796.00	75.00	10.00	65.00	80.0	52.00
09/21/2017	75500845	GERSHMAN, WENDIE UNITED RESOURCE HLDGS GROUP	S	105.00	15.00	10.00	5.00	80.0	4.00
09/20/2017	75500854	LERNER, PETER BIOLIFE SOLUTIONS INC COM	S	50,210.00	150.00	10.00	140.00	80.0	112.00
09/20/2017	75500854	LERNER, PETER WORKHORSE GROUP INC COM NEW	B	155,948.00	200.00	10.00	190.00	80.0	152.00
09/20/2017	75500854	LERNER, PETER WORKHORSE GROUP INC COM NEW	S	-155,948.00	-200.00	-10.00	-190.00	80.0	-152.00 C
09/20/2017	75500854	LERNER, PETER WORKHORSE GROUP INC COM NEW	B	55,948.00	200.00	10.00	190.00	80.0	152.00
08/31/2017	75500913	KARP, RONALD AMTECH SYSTEMS INC COM PAR \$0.01	S	22,172.00	25.00	10.00	15.00	80.0	12.00
08/31/2017	75500913	KARP, RONALD AMTECH SYSTEMS INC COM PAR \$0.01	B	-22,172.00	-25.00	-10.00	-15.00	80.0	-12.00 C
08/31/2017	75500913	KARP, RONALD AMTECH SYSTEMS INC COM PAR \$0.01	S	22,160.00	25.00	10.00	15.00	80.0	12.00
08/31/2017	75500913	KARP, RONALD AMTECH SYSTEMS INC COM PAR \$0.01	B	-22,160.00	-25.00	-10.00	-15.00	80.0	-12.00 C
09/19/2017	75500913	KARP, RONALD ALDEYRA THERAPEUTICS INC COM	B	38,500.00	50.00	10.00	40.00	80.0	32.00
08/30/2017	75500926	CONGREGATION DARCHEI IDEXX LABORATORIES CORP	S	6,831.00	50.00	10.00	40.00	80.0	32.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/10/2017	75500931 RON KARP AND/OR	TECHNICAL COMMUNICATIONS CORP	B	-39,227.50	-55.00	-10.00	-45.00	80.0	-36.00 C
08/10/2017	75500931 RON KARP AND/OR	TECHNICAL COMMUNICATIONS CORP	S	38,999.32	55.00	10.00	45.00	80.0	36.00
08/31/2017	75500931 RON KARP AND/OR	AMTECH SYSTEMS INC COM PAR \$0.01	S	22,172.00	25.00	10.00	15.00	80.0	12.00
08/31/2017	75500931 RON KARP AND/OR	AMTECH SYSTEMS INC COM PAR \$0.01	S	22,160.00	25.00	10.00	15.00	80.0	12.00
09/19/2017	75500931 RON KARP AND/OR	AMTECH SYSTEMS INC COM PAR \$0.01	S	36,300.00	50.00	10.00	40.00	80.0	32.00
09/26/2017	75500948 BIEL, LYNN	LOCKHEED MARTIN CORP	S	30,997.70	889.00	10.00	879.00	80.0	703.20
09/26/2017	75501009 L BIEL & S	GENERAL ELECTRIC CO	B	30,190.00	332.00	10.00	322.00	80.0	257.60
09/18/2017	75501159 EISBERG, JAMES	PUTNAM MASTER INTRMD INCOME TR	S	14,694.31	50.00	10.00	40.00	80.0	32.00
09/07/2017	QGW019903 KARP, SELWYN	ISHARES INC MSCI MALAYSIA ETF NEW	B	32,849.80	50.00	10.00	40.00	80.0	32.00
08/31/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-34,964.80	80.00	10.00	70.00	80.0	56.00
08/31/2017	QGW019929 KARP, JEFFREY	TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	31,777.00	50.00	10.00	40.00	80.0	32.00
09/01/2017	QGW019929 KARP, JEFFREY	COEUR MINING INC	S	-35,241.20	120.00	10.00	110.00	80.0	88.00
09/01/2017	QGW019929 KARP, JEFFREY	PETROLEO BRASILEIRO SA PETROBRAS SP S	S	-18,681.80	50.00	10.00	40.00	80.0	32.00
09/01/2017	QGW019929 KARP, JEFFREY	TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	31,877.80	50.00	10.00	40.00	80.0	32.00
09/01/2017	QGW019929 KARP, JEFFREY	VALE S A SPONSORED ADR	S	-22,682.60	50.00	10.00	40.00	80.0	32.00
09/05/2017	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	S	-114,015.00	60.00	10.00	50.00	80.0	40.00
09/05/2017	QGW019929 KARP, JEFFREY	TAHOE RES INC COM ISIN#CA8738681037 B	B	29,331.00	60.00	10.00	50.00	80.0	40.00
09/05/2017	QGW019929 KARP, JEFFREY	VALE S A SPONSORED ADR	S	-22,743.40	60.00	10.00	50.00	80.0	40.00
09/06/2017	QGW019929 KARP, JEFFREY	GERDAU S A SPONSORED ADR	S	-12,368.40	60.00	10.00	50.00	80.0	40.00
09/13/2017	QGW019929 KARP, JEFFREY	FIRST MAJESTIC SILVER CORP	B	28,238.00	100.00	10.00	90.00	80.0	72.00
09/13/2017	QGW019929 KARP, JEFFREY	OASIS PETROLEUM INC	S	-16,660.20	60.00	10.00	50.00	80.0	40.00
09/19/2017	QGW019929 KARP, JEFFREY	PAN AMERICAN SILVER CORP	B	70,230.00	50.00	10.00	40.00	80.0	32.00
09/19/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	19,392.00	50.00	10.00	40.00	80.0	32.00
09/19/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	19,512.00	50.00	10.00	40.00	80.0	32.00
09/26/2017	QGW019929 KARP, JEFFREY	RITE AID CORPORATION	B	11,575.00	100.00	10.00	90.00	80.0	72.00
09/26/2017	QGW019929 KARP, JEFFREY	SIBANYE GOLD LTD SPONSORED ADR ISIN B	B	35,903.20	80.00	10.00	70.00	80.0	56.00
09/07/2017	QGW019937 KARP, BARBARA	ISHARES INC MSCI MALAYSIA ETF NEW	B	32,848.40	50.00	10.00	40.00	80.0	32.00
* STOCKS SUBTOTAL *				\$4,474,912.35	\$3,891.00	\$840.00	\$3,051.00		\$2,440.80
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/08/2017	75500528 KALOS, LINDA	CALL OXY 01/19/18 55	S	6,450.00	50.00	10.00	40.00	80.0	32.00
08/31/2017	75501159 EISBERG, JAMES	CALL EROS 12/15/17 7.50	S	3,100.00	50.00	10.00	40.00	80.0	32.00
* OPTIONS SUBTOTAL *				\$9,550.00	\$100.00	\$20.00	\$80.00		\$64.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
09/12/2017	75500765 DIMAGGIO, PHILLIP	PIM 018919704	B	0.00	1.75	0.00	1.75	80.0	1.40
09/26/2017	75500765 DIMAGGIO, PHILLIP	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.78	0.00	0.78	80.0	0.62
09/12/2017	75500766 HAMILTON, DOUGLAS	MFS EMERGING GROWTH FUND CLASS A	B	0.00	23.52	0.00	23.52	80.0	18.82
09/12/2017	75500779 DIPRIMA, ANTHONY	ROCH. LIMITED TERM NEW YORK MUNICIP B	B	0.00	2.04	0.00	2.04	80.0	1.63
09/12/2017	75500779 DIPRIMA, ANTHONY	ROCHESTER FUND MUNICIPALS A	B	0.00	2.45	0.00	2.45	80.0	1.96

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
09/26/2017	75500779	DIPRIMA, ANTHONY	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.76	0.00	0.76	80.0	0.61
09/12/2017	75500780	DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	2.26	0.00	2.26	80.0	1.81
09/12/2017	75500781	DIPRIMA, ANTHONY	THE GROWTH FUND OF AMERICA-A	B	0.00	3.48	0.00	3.48	80.0	2.78
09/26/2017	75500796	KARP, BETH	**HEARTLAND VALUE FUND INC	B	0.00	124.31	0.00	124.31	80.0	99.45
09/26/2017	75500801	LYONS, MARIA	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.12	0.00	0.12	80.0	0.10
09/12/2017	75500826	HORN, LAWRENCE	**VIRTUS ENHANCED CORE EQUITY	B	0.00	5.58	0.00	5.58	80.0	4.46
09/12/2017	75500826	HORN, LAWRENCE	**VOYA EQUITY TR	B	0.00	4.65	0.00	4.65	80.0	3.72
09/12/2017	75500885	DEFRANCESCO, STEPHEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B		0.00	0.92	0.00	0.92	80.0	0.74
09/12/2017	75500885	DEFRANCESCO, STEPHEN	COLUMBIA SELIGMAN COMMUNICATIONS AN B		0.00	2.00	0.00	2.00	80.0	1.60
09/26/2017	75500885	DEFRANCESCO, STEPHEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	0.54	0.00	0.54	80.0	0.43
09/28/2017	75500885	DEFRANCESCO, STEPHEN	**SARATOGA HEALTH AND	B	0.00	0.24	0.00	0.24	80.0	0.19
09/26/2017	75500886	STRAUSS, HELENE	**HEARTLAND VALUE FUND INC	B	0.00	12.27	0.00	12.27	80.0	9.82
09/12/2017	75500942	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B		0.00	3.30	0.00	3.30	80.0	2.64
09/12/2017	75500942	KATEN, DOREEN	CAPITAL APPRECIATION A	B	0.00	3.69	0.00	3.69	80.0	2.95
09/12/2017	75500942	KATEN, DOREEN	FEDERATED INTERNATIONAL SMALL-COMPA B		0.00	4.25	0.00	4.25	80.0	3.40
09/12/2017	75500942	KATEN, DOREEN	JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	6.05	0.00	6.05	80.0	4.84
09/12/2017	75500942	KATEN, DOREEN	JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	3.52	0.00	3.52	80.0	2.82
09/26/2017	75500942	KATEN, DOREEN	**VICTORY PORTFOLIOS MUNDER	B	0.00	5.20	0.00	5.20	80.0	4.16
09/28/2017	75500942	KATEN, DOREEN	**SARATOGA HEALTH AND	B	0.00	7.71	0.00	7.71	80.0	6.17
09/12/2017	75500943	KATEN, DOREEN	ALLIANZ RCM TECHNOLOGY FUND CLASS A B		0.00	0.43	0.00	0.43	80.0	0.34
09/12/2017	75500947	BIEL, LYNN	EHV 27826L322	B	0.00	21.45	0.00	21.45	80.0	17.16
09/12/2017	75500947	BIEL, LYNN	FRANKLIN NEW YORK TAX-FREE INCOME F B		0.00	19.72	0.00	19.72	80.0	15.78
09/12/2017	75500947	BIEL, LYNN	ROCHESTER FUND MUNICIPALS A	B	0.00	35.44	0.00	35.44	80.0	28.35
09/12/2017	75500947	BIEL, LYNN	WESTERN ASSET NEW YORK MUNICIPALS F B		0.00	16.02	0.00	16.02	80.0	12.82
09/12/2017	75500965	BLASIUS, MARK	EATON VANCE TAX MANAGED GLOBAL DIVI B		0.00	15.76	0.00	15.76	80.0	12.61
09/12/2017	75500965	BLASIUS, MARK	STRATEGIC INCOME A	B	0.00	37.76	0.00	37.76	80.0	30.21
09/12/2017	75500965	BLASIUS, MARK	US GLOBAL LEADERS GROWTH A	B	0.00	17.47	0.00	17.47	80.0	13.98
09/12/2017	75501070	TAYLOR, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B		0.00	2.05	0.00	2.05	80.0	1.64
09/12/2017	75501087	MARK & CHARLES	EHV 277902813	B	0.00	17.36	0.00	17.36	80.0	13.89
09/12/2017	75501087	MARK & CHARLES	GOLDMAN SACHS TOLLKEEPER FUND CLASS B		0.00	66.63	0.00	66.63	80.0	53.30
09/26/2017	75501087	MARK & CHARLES	**VICTORY PORTFOLIOS	B	0.00	29.30	0.00	29.30	80.0	23.44
09/12/2017	75501097	CARMAN, JOSEPH	FEDERATED MID CAP GROWTH STRATEGIES B		0.00	3.33	0.00	3.33	80.0	2.66
09/12/2017	75501097	CARMAN, JOSEPH	FEDERATED INTERNATIONAL SMALL-COMPA B		0.00	2.80	0.00	2.80	80.0	2.24
09/12/2017	75501118	BYRNE, MARY	PIONEER CULLEN VALUE FUND CLASS A	B	0.00	3.22	0.00	3.22	80.0	2.58
09/12/2017	75501118	BYRNE, MARY	PIONEER HIGH YIELD FUND A	B	0.00	5.25	0.00	5.25	80.0	4.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$515.38	\$0.00	\$515.38		\$412.32
*** BROKER TRANSACTIONS TOTAL ***					\$4,508,099.89	\$4,531.38	\$860.00	\$3,671.38		\$2,937.12

COMMISSION STATEMENT for CHRISTOPHER HAMILTON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

09/29/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
09/29/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
09/29/2017		OTHER: OTHER-DISABLITY INSURANCE		0.00	0.00	0.00	0.00		-2.04
09/29/2017		OTHER: OTHER-MISC TAX		0.00	0.00	0.00	0.00		-11.14
09/29/2017		OTHER: THOMSON		0.00	0.00	0.00	0.00		-112.86
09/29/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-55.66
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-213.70

BROKER COMMISSION TOTALS

Broker Transactions	\$2,937.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-213.70
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.66
SIPC Assessment	\$-11.33

Check Amount	\$2,706.43
Year-to-date Earnings	\$10,411.95