



Concorde Investment Services, LLC

04/03/2018

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COMMISSION STATEMENT**March 31, 2018**K146-JASON KEMBLE
4106 E. Doe Creek DriveBROKER #: K146
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/12/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
03/12/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
03/12/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	3.83
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$12.76	\$0.00	\$12.76		\$11.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/12/2018	GROFF, CHRISTINE	PAC LIFE PACIFIC CHOICE	0.00	85.78	0.00	85.78	90.0	77.20
03/16/2018	GROFF, CHRISTINE	PAC LIFE PACIFIC CHOICE	0.00	817.87	0.00	817.87	90.0	736.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$903.65	\$0.00	\$903.65		\$813.28
*** DIRECT TRANSACTIONS TOTAL ***			\$300.00	\$916.41	\$0.00	\$916.41		\$824.78

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

03/07/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.09	0.00	0.09	90.0	0.08
03/07/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	19.85	0.09	0.00	0.09	90.0	0.08
03/12/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	18.00	0.00	18.00	90.0	16.20
03/15/2018	GROFF, CHRISTINE	AM FUNDS AMERICAN BALANCED A	30,000.00	371.25	0.00	371.25	90.0	334.13
03/16/2018	MCMILLION, CORENA	AM FUNDS AMERICAN BALANCED A	1,000.00	19.13	0.00	19.13	90.0	17.22
03/19/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	20.24	0.09	0.00	0.09	90.0	0.08
03/19/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	20.24	0.09	0.00	0.09	90.0	0.08
03/07/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.13	0.00	0.13	90.0	0.12
03/07/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.13	0.00	0.13	90.0	0.12
03/07/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	33.83	0.15	0.00	0.15	90.0	0.14
03/07/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	33.83	0.15	0.00	0.15	90.0	0.14
03/13/2018	LARA, MONA	AM FUNDS EUROPACIFIC GROWTH FUND A	2,820.04	53.93	0.00	53.93	90.0	48.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/19/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.13	0.00	0.13	90.0	0.12
03/19/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.13	0.00	0.13	90.0	0.12
03/19/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	56.88	0.26	0.00	0.26	90.0	0.23
03/19/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	56.88	0.26	0.00	0.26	90.0	0.23
03/05/2018	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
03/07/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	57.59	0.26	0.00	0.26	90.0	0.23
03/07/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	57.59	0.26	0.00	0.26	90.0	0.23
03/07/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	48.66	0.22	0.00	0.22	90.0	0.20
03/07/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	48.66	0.22	0.00	0.22	90.0	0.20
03/07/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.08	0.00	0.08	90.0	0.07
03/07/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.11	0.00	0.11	90.0	0.10
03/07/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.21	0.00	0.21	90.0	0.19
03/07/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.21	0.00	0.21	90.0	0.19
03/07/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
03/07/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
03/07/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
03/07/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
03/07/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	13.66	0.06	0.00	0.06	90.0	0.05
03/07/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	13.66	0.06	0.00	0.06	90.0	0.05
03/07/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	47.75	0.22	0.00	0.22	90.0	0.20
03/07/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	47.75	0.22	0.00	0.22	90.0	0.20
03/07/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.18	0.00	0.18	90.0	0.16
03/07/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	38.93	0.18	0.00	0.18	90.0	0.16
03/07/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.22	0.00	0.22	90.0	0.20
03/07/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.22	0.00	0.22	90.0	0.20
03/07/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.15	0.00	0.15	90.0	0.14
03/07/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	33.68	0.15	0.00	0.15	90.0	0.14
03/07/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	38.89	0.18	0.00	0.18	90.0	0.16
03/07/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	38.89	0.18	0.00	0.18	90.0	0.16
03/07/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	24.59	0.11	0.00	0.11	90.0	0.10
03/07/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	57.37	0.26	0.00	0.26	90.0	0.23
03/07/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.36	0.05	0.00	0.05	90.0	0.05
03/07/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	10.36	0.05	0.00	0.05	90.0	0.05

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/07/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.50	0.15	0.00	0.15	90.0	0.14
03/07/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	33.50	0.15	0.00	0.15	90.0	0.14
03/07/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	215.35	0.97	0.00	0.97	90.0	0.87
03/07/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	215.35	0.97	0.00	0.97	90.0	0.87
03/07/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
03/07/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
03/07/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
03/07/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
03/07/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
03/07/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
03/07/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	34.58	0.16	0.00	0.16	90.0	0.14
03/07/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	34.58	0.16	0.00	0.16	90.0	0.14
03/07/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.16	0.05	0.00	0.05	90.0	0.05
03/07/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	11.16	0.05	0.00	0.05	90.0	0.05
03/12/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	5.15	0.00	5.15	90.0	4.64
03/13/2018	LARA, MONA	AM FUNDS GROWTH FUND OF AMERICA A	2,820.03	53.93	0.00	53.93	90.0	48.54
03/15/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
03/15/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
03/19/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.63	0.21	0.00	0.21	90.0	0.19
03/19/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	46.63	0.21	0.00	0.21	90.0	0.19
03/19/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	65.61	0.30	0.00	0.30	90.0	0.27
03/19/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	65.61	0.30	0.00	0.30	90.0	0.27
03/19/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	20.25	0.09	0.00	0.09	90.0	0.08
03/19/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	27.00	0.12	0.00	0.12	90.0	0.11
03/19/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.32	0.03	0.00	0.03	90.0	0.03
03/19/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
03/19/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.29	0.25	0.00	0.25	90.0	0.23
03/19/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	56.29	0.25	0.00	0.25	90.0	0.23
03/19/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
03/19/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
03/19/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
03/19/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
03/19/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	23.04	0.10	0.00	0.10	90.0	0.09

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/19/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	23.04	0.10	0.00	0.10	90.0	0.09
03/19/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.48	0.23	0.00	0.23	90.0	0.21
03/19/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	50.48	0.23	0.00	0.23	90.0	0.21
03/19/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	40.43	0.18	0.00	0.18	90.0	0.16
03/19/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	40.43	0.18	0.00	0.18	90.0	0.16
03/19/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	32.87	0.15	0.00	0.15	90.0	0.14
03/19/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	32.87	0.15	0.00	0.15	90.0	0.14
03/19/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.18	0.00	0.18	90.0	0.16
03/19/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.18	0.00	0.18	90.0	0.16
03/19/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	49.73	0.23	0.00	0.23	90.0	0.21
03/19/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	21.31	0.09	0.00	0.09	90.0	0.08
03/19/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	9.43	0.04	0.00	0.04	90.0	0.04
03/19/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	9.43	0.04	0.00	0.04	90.0	0.04
03/19/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.18	0.00	0.18	90.0	0.16
03/19/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	39.68	0.18	0.00	0.18	90.0	0.16
03/19/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	49.96	0.23	0.00	0.23	90.0	0.21
03/19/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	49.96	0.23	0.00	0.23	90.0	0.21
03/19/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
03/19/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.19	0.00	0.19	90.0	0.17
03/19/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	38.80	0.18	0.00	0.18	90.0	0.16
03/19/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	38.80	0.18	0.00	0.18	90.0	0.16
03/19/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	32.49	0.14	0.00	0.14	90.0	0.13
03/19/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	32.49	0.14	0.00	0.14	90.0	0.13
03/19/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
03/19/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	36.72	0.17	0.00	0.17	90.0	0.15
03/19/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.81	0.15	0.00	0.15	90.0	0.14
03/19/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	33.81	0.15	0.00	0.15	90.0	0.14
03/19/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.05	0.00	0.05	90.0	0.05
03/19/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	10.89	0.05	0.00	0.05	90.0	0.05
03/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.35	0.00	1.35	90.0	1.22
03/20/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
03/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.13	0.00	1.13	90.0	1.02
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	23.91	0.54	0.00	0.54	90.0	0.49

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	23.91	0.54	0.00	0.54	90.0	0.49
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	21.11	0.48	0.00	0.48	90.0	0.43
03/22/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	21.11	0.48	0.00	0.48	90.0	0.43
03/07/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.89	0.20	0.00	0.20	90.0	0.18
03/07/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	149.63	0.68	0.00	0.68	90.0	0.61
03/19/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	44.50	0.20	0.00	0.20	90.0	0.18
03/19/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	148.33	0.67	0.00	0.67	90.0	0.60
03/07/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.06	0.00	0.06	90.0	0.05
03/07/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.06	0.00	0.06	90.0	0.05
03/07/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.09	0.00	0.09	90.0	0.08
03/07/2018	WAHLIG, KACEY	AM FUNDS ICA A	19.86	0.09	0.00	0.09	90.0	0.08
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.09	0.00	0.09	90.0	0.08
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.09	0.00	0.09	90.0	0.08
03/19/2018	ZELLMER, RIANNON	AM FUNDS ICA A	13.14	0.06	0.00	0.06	90.0	0.05
03/19/2018	ZELLMER, RIANNON	AM FUNDS ICA A	13.14	0.06	0.00	0.06	90.0	0.05
03/19/2018	WAHLIG, KACEY	AM FUNDS ICA A	20.25	0.09	0.00	0.09	90.0	0.08
03/19/2018	WAHLIG, KACEY	AM FUNDS ICA A	20.25	0.09	0.00	0.09	90.0	0.08
03/21/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.13	0.00	1.13	90.0	1.02
03/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.35	0.00	1.35	90.0	1.22
03/07/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	46.40	0.21	0.00	0.21	90.0	0.19
03/07/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	46.40	0.21	0.00	0.21	90.0	0.19
03/19/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	50.31	0.23	0.00	0.23	90.0	0.21
03/19/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	50.31	0.23	0.00	0.23	90.0	0.21
03/07/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
03/07/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	3.82	0.00	3.82	90.0	3.44
03/07/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	21.73	0.10	0.00	0.10	90.0	0.09
03/07/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	21.73	0.10	0.00	0.10	90.0	0.09
03/08/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	7,459.95	33.57	0.00	33.57	90.0	30.21
03/13/2018	LARA, MONA	AM FUNDS NEW PERSPECTIVE A	2,820.03	53.93	0.00	53.93	90.0	48.54
03/19/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
03/19/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	848.58	3.82	0.00	3.82	90.0	3.44
03/19/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	48.29	0.22	0.00	0.22	90.0	0.20
03/19/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	48.29	0.22	0.00	0.22	90.0	0.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349								
03/07/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.08	0.00	0.08	90.0	0.07
03/07/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.11	0.00	0.11	90.0	0.10
03/07/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	32.90	0.15	0.00	0.15	90.0	0.14
03/07/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	54.83	0.25	0.00	0.25	90.0	0.23
03/19/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	20.25	0.09	0.00	0.09	90.0	0.08
03/19/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	27.00	0.12	0.00	0.12	90.0	0.11
03/19/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	35.93	0.16	0.00	0.16	90.0	0.14
03/19/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	59.88	0.27	0.00	0.27	90.0	0.24
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.09	0.00	0.09	90.0	0.08
03/07/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.09	0.00	0.09	90.0	0.08
03/07/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12
03/07/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
03/12/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	4.50	0.00	4.50	90.0	4.05
03/13/2018	LARA, MONA	AM FUNDS SMALLCAP WORLD A	2,820.03	53.93	0.00	53.93	90.0	48.54
03/15/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.13	0.00	1.13	90.0	1.02
03/19/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12
03/19/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$58,668.71	\$715.78	\$0.00	\$715.78		\$644.39
*** SPLIT TRANSACTIONS TOTAL ***			\$58,668.71	\$715.78	\$0.00	\$715.78		\$644.39

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$824.78
Split Transactions	\$644.39
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,469.17
Year-to-date Gross	\$18,850.82
Year-to-date Earnings	\$17,006.19