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COMMISSION STATEMENT

March 15, 2018

SYLVIA LUM
209 Broadway Avenue

209 Broadway Avenue Millbrae, CA 94030 BROKER #: 73881

BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | 11 | NVESTMENT AMOUNT | GROSS COMMISSION | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--------|---------------|------------|----|---------------------|---------------------|-------------------|--------------------|----------------------|
| Compan | y: Securities | America | | | | | | |

ADJUSTMENTS

| PRIOR PERI | COD BALANCE(SEE BELOW) | | | | | 0.00 | , |
|------------|---------------------------|-------------------------------------|---------|-----------------|------------|---------|---|
| | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 1 |
| | | | | BROKER | COMMISSION | TOTALS | |
| Broke | | | | | actions | \$0.00 | |
| | | | | Split Transa | actions | \$0.00 | |
| | | | (| Override Transa | actions | \$0.00 | |
| | | | | Adjus | stments | \$0.00 | |
| | | | | Prior Period I | Balance | \$-2.95 | |
| | | | | NASD Asse | essment | \$0.00 | |
| | | | | SIPC Asse | essment | \$0.00 | |
| | Dlagge Date | in for Your Reco | | | | | |
| | | in for Your Reco NO CHECK THIS P | | Balance I | Forward | \$-2.95 | |
| | | Year-to-date Ea | arnings | \$1,626.06 | | | |