



All Companies  
**COMMISSION STATEMENT**  
**April 16, 2018**

04/12/2018  
Page No. 1

**RICHARD E. FENGLER**  
513 HARBOR LANE

**BROKER #: 2990**  
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	1,579.83	1,579.83	0.00	1,579.83	85.0	1,342.86
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	38.99	38.99	0.00	38.99	85.0	33.14
02/28/2018	TRAILS	ING FUNDS	B	674.95	674.95	0.00	674.95	85.0	573.71
03/26/2018	00002196237	PARKS, EILEEN/EMMA US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
03/26/2018	00002196244	PARKS, EILEEN/KATHERIN US GLOBAL LEADERS GROWTH A	B	25.00	1.06	0.00	1.06	85.0	0.90
03/26/2018	00063561898	WALKER, ROBERT FIDELITY ADV CONSUMER STAPLES-CL A	B	94,920.17	2,610.30	0.00	2,610.30	85.0	2,218.76
03/20/2018	01489907152	BARGAS, JEFFERY/MADISON FA 529 100% EQUITY PORT-CL C	B	200.00	2.00	0.00	2.00	85.0	1.70
03/26/2018	03900222320	BEGNOCHE, RACHEL FIDELITY ADV NEW INSIGHTS-CL A	B	5,500.00	275.00	0.00	275.00	85.0	233.75
03/26/2018	03900222321	BEGNOCHE, KELLEN FIDELITY ADVISORS TECHNOLOGY FD CL B	B	5,500.00	275.00	0.00	275.00	85.0	233.75
03/26/2018	5450900284	BALLAS, MELISSA L MODERATE INVESTOR FUND A	B	100.00	4.75	0.00	4.75	85.0	4.04
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$108,563.94</b>	<b>\$5,462.94</b>	<b>\$0.00</b>	<b>\$5,462.94</b>		<b>\$4,643.51</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/31/2018	TRAILS	-HARTFORD LIFE	B	51.22	51.22	0.00	51.22	85.0	43.54
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$51.22</b>	<b>\$51.22</b>	<b>\$0.00</b>	<b>\$51.22</b>		<b>\$43.54</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/16/2018	TRAIL COMMISSIONS	HARTFORD MUTUAL FUNDS FEES		0.00	24.43	0.00	24.43	85.0	20.77
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	231.80	0.00	231.80	85.0	197.04
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$256.23</b>	<b>\$0.00</b>	<b>\$256.23</b>		<b>\$217.81</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$108,615.16</b>	<b>\$5,770.39</b>	<b>\$0.00</b>	<b>\$5,770.39</b>		<b>\$4,904.86</b>

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

04/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$4,904.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$4,777.36
Year-to-date Earnings	\$21,847.28