

COMMISSION STATEMENT

January 31, 2018

EZRA GRAYMAN
7 EDGEWOOD AVEBROKER #: 27
BRANCH: HOME OFFICE

SPRINGFIELD, NJ 07081

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/02/2018	QGV006042 GS ARBITRAGE	INPIXON COMMON STOCK	S	-12,685.00	590.00	10.00	580.00	50.0	290.00
01/04/2018	QGV006216 LION	ALEXANDRIA REAL ESTATE EQUITIES INC	S	-25,050.00	20.00	10.00	10.00	50.0	5.00
01/04/2018	QGV006216 LION	ATARA BIOTHERAPEUTICS INC COM	S	-26,670.00	20.00	10.00	10.00	50.0	5.00
01/04/2018	QGV006216 LION	TAYLOR MORRISON HOME CORP CL A	S	-49,058.00	20.00	10.00	10.00	50.0	5.00
01/05/2018	QGV006216 LION	CRISPR THERAPEUTICS AG NAMEN-AKT IS	S	-138,781.89	57.00	10.00	47.00	50.0	23.50
01/05/2018	QGV006216 LION	SEMPRA ENERGY	S	-599,446.10	55.00	10.00	45.00	50.0	22.50
01/08/2018	QGV006216 LION	ARCIMOTO INC COM	S	-13,969.44	36.00	10.00	26.00	50.0	13.00
01/08/2018	QGV006216 LION	LEVEL BRANDS INC COM NEW	S	-32,173.05	65.00	10.00	55.00	50.0	27.50
01/09/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-470,804.00	100.00	10.00	90.00	50.0	45.00
01/09/2018	QGV006216 LION	DYNEGY INC	S	-7,083.00	20.00	10.00	10.00	50.0	5.00
01/09/2018	QGV006216 LION	LONG BLOCKCHAIN CORP COM	B	57,494.00	100.00	10.00	90.00	50.0	45.00
01/10/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	300,000.00	100.00	10.00	90.00	50.0	45.00
01/10/2018	QGV006216 LION	AMPLIPHI BIOSCIENCES CORP COM PAR \$	S	-21,650.00	150.00	10.00	140.00	50.0	70.00
01/10/2018	QGV006216 LION	LEVEL BRANDS INC COM NEW	S	-9,000.00	20.00	10.00	10.00	50.0	5.00
01/10/2018	QGV006216 LION	NEBULA ACQUISITION CORP UNIT 1 CL	S	-5,015.00	20.00	10.00	10.00	50.0	5.00
01/10/2018	QGV006216 LION	TANDEM DIABETES CARE INC COM NEW	S	-18,750.00	50.00	10.00	40.00	50.0	20.00
01/11/2018	QGV006216 LION	FORTRESS TRANSN & INFRASTRUCTURE IN	S	-180,905.00	97.00	10.00	87.00	50.0	43.50
01/12/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	297,000.00	100.00	10.00	90.00	50.0	45.00
01/12/2018	QGV006216 LION	INDUSTRIAL LOGISTICS PPTYS TR COM S	S	-45,030.00	20.00	10.00	10.00	50.0	5.00
01/12/2018	QGV006216 LION	LIBERTY OILFIELD SVCS INC CL A COM	S	-46,640.00	22.00	10.00	12.00	50.0	6.00
01/12/2018	QGV006216 LION	TAYLOR MORRISON HOME CORP CL A	S	-33,000.00	20.00	10.00	10.00	50.0	5.00
01/16/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	606,940.00	100.00	10.00	90.00	50.0	45.00
01/17/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	298,745.00	100.00	10.00	90.00	50.0	45.00
01/17/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	S	-301,852.50	100.00	10.00	90.00	50.0	45.00
01/17/2018	QGV006216 LION	CARRIZO OIL & GAS INC COM	S	-4,600.00	20.00	10.00	10.00	50.0	5.00
01/17/2018	QGV006216 LION	INTREXON CORP COM	S	-6,750.00	20.00	10.00	10.00	50.0	5.00
01/17/2018	QGV006216 LION	NEW RESIDENTIAL INVESTMENT CORP	B	172,791.00	100.00	10.00	90.00	50.0	45.00
01/17/2018	QGV006216 LION	NEW RESIDENTIAL INVESTMENT CORP	S	-34,600.00	20.00	10.00	10.00	50.0	5.00
01/17/2018	QGV006216 LION	NEW RESIDENTIAL INVESTMENT CORP	S	34,600.00	-20.00	-10.00	-10.00	50.0	-5.00 C
01/17/2018	QGV006216 LION	PDC ENERGY INC COM	S	-16,050.00	20.00	10.00	10.00	50.0	5.00
01/18/2018	QGV006216 LION	ADVANCED MICRO DEVICES INC	B	313,750.00	100.00	10.00	90.00	50.0	45.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/18/2018	QGV006216 LION	ARROWHEAD PHARMACEUTICALS INC COM	S	-55,571.00	100.00	10.00	90.00	50.0	45.00
01/18/2018	QGV006216 LION	BEIGENE LTD SPONSORED ADR ISIN#US07	S	-218,000.00	20.00	10.00	10.00	50.0	5.00
01/18/2018	QGV006216 LION	EAGLE PT CR CO LLC COM	S	-18,150.00	20.00	10.00	10.00	50.0	5.00
01/18/2018	QGV006216 LION	INNOVATIVE INDL PPTYS INC COM	S	-245,326.72	178.00	10.00	168.00	50.0	84.00
01/18/2018	QGV006216 LION	KEANE GROUP INC COM	S	-27,795.00	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006216 LION	ADT INC DEL COM	S	-12,507.30	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006216 LION	ADT INC DEL COM	S	-635,370.84	200.00	10.00	190.00	50.0	95.00
01/19/2018	QGV006216 LION	ADT INC DEL COM	B	124,000.00	100.00	10.00	90.00	50.0	45.00
01/19/2018	QGV006216 LION	AGIOS PHARMACEUTICALS INC	S	-171,500.00	25.00	10.00	15.00	50.0	7.50
01/19/2018	QGV006216 LION	AMERICOLD RLTY TR COM	S	-77,853.60	45.00	10.00	35.00	50.0	17.50
01/19/2018	QGV006216 LION	HTG MOLECULAR DIAGNOSTICS INC COM	S	-35,175.00	105.00	10.00	95.00	50.0	47.50
01/19/2018	QGV006216 LION	OBALON THERAPEUTICS INC COM	S	-50,438.35	95.00	10.00	85.00	50.0	42.50
01/19/2018	QGV006216 LION	UROGEN PHARMA LTD REGISTERED SHS IS	S	33,644.48	-20.00	-10.00	-10.00	50.0	-5.00 C
01/19/2018	QGV006216 LION	UROGEN PHARMA LTD REGISTERED SHS IS	S	-33,644.48	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006216 LION	UROGEN PHARMA LTD REGISTERED SHS IS	S	-33,644.48	20.00	10.00	10.00	50.0	5.00
01/22/2018	QGV006216 LION	BEIGENE LTD SPONSORED ADR ISIN#US07	S	-180,000.00	20.00	10.00	10.00	50.0	5.00
01/23/2018	QGV006216 LION	BEIGENE LTD SPONSORED ADR ISIN#US07	S	-183,000.00	20.00	10.00	10.00	50.0	5.00
01/23/2018	QGV006216 LION	OBALON THERAPEUTICS INC COM	B	45,084.15	95.00	10.00	85.00	50.0	42.50
01/24/2018	QGV006216 LION	AERIE PHARMACEUTICALS INC COM	S	-46,480.00	20.00	10.00	10.00	50.0	5.00
01/24/2018	QGV006216 LION	PAGSEGURO DIGITAL LTD REGISTERED SH	S	-14,100.00	20.00	10.00	10.00	50.0	5.00
01/24/2018	QGV006216 LION	ROCKET PHARMACEUTICALS INC COM	S	-102,863.78	66.38	10.00	56.38	50.0	28.19
01/24/2018	QGV006216 LION	STEMLINE THERAPEUTICS INC COM	S	-11,100.00	20.00	10.00	10.00	50.0	5.00
01/24/2018	QGV006216 LION	ULTRAGENYX PHARMACEUTICAL INC COM	S	-73,904.50	20.00	10.00	10.00	50.0	5.00
01/24/2018	QGV006216 LION	UNION BANKSHARES CORPORATION COM	S	-610,400.00	160.00	10.00	150.00	50.0	75.00
01/25/2018	QGV006216 LION	ATHENEX INC COM	S	-15,150.00	20.00	10.00	10.00	50.0	5.00
01/25/2018	QGV006216 LION	AUDENTES THERAPEUTICS INC COM	S	-43,488.00	20.00	10.00	10.00	50.0	5.00
01/25/2018	QGV006216 LION	CONFORMIS INC COM	S	-105,070.00	250.00	10.00	240.00	50.0	120.00
01/25/2018	QGV006216 LION	EMAGIN CORP COM NEW	S	-61,000.00	200.00	10.00	190.00	50.0	95.00
01/25/2018	QGV006216 LION	GATES INDUSTRIAL CORPORATION PLC RE	S	-41,910.00	22.00	10.00	12.00	50.0	6.00
01/25/2018	QGV006216 LION	GORDON POINTE ACQUISITION CORP UNIT	S	-615,000.00	200.00	10.00	190.00	50.0	95.00
01/25/2018	QGV006216 LION	MENLO THERAPEUTICS INC COM	S	-20,500.00	20.00	10.00	10.00	50.0	5.00
01/25/2018	QGV006216 LION	OCULAR THERAPEUTIX INC COM	S	-55,870.50	105.00	10.00	95.00	50.0	47.50
01/26/2018	QGV006216 LION	GDS HLDGS LTD SPONS ADR ISIN# US361	S	-67,625.00	25.00	10.00	15.00	50.0	7.50
01/26/2018	QGV006216 LION	PLAYAGS INC COM	S	-1,700.00	20.00	10.00	10.00	50.0	5.00
01/26/2018	QGV006216 LION	RAPID7 INC COM	S	-16,842.00	20.00	10.00	10.00	50.0	5.00
01/05/2018	QGV006224 DAVIS FAMILY OFFICE,	CRISPR THERAPEUTICS AG NAMEN-AKT IS	S	-17,043.39	20.00	10.00	10.00	50.0	5.00
01/05/2018	QGV006224 DAVIS FAMILY OFFICE,	SEMPRA ENERGY	S	-234,328.93	21.50	10.00	11.50	50.0	5.75
01/08/2018	QGV006224 DAVIS FAMILY OFFICE,	ADVANCED MICRO DEVICES INC	S	-488,000.00	100.00	10.00	90.00	50.0	45.00
01/09/2018	QGV006224 DAVIS FAMILY OFFICE,	LONG BLOCKCHAIN CORP COM	B	2,874.70	20.00	10.00	10.00	50.0	5.00
01/10/2018	QGV006224 DAVIS FAMILY OFFICE,	NEBULA ACQUISITION CORP UNIT 1 CL	S	-10,030.00	20.00	10.00	10.00	50.0	5.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/11/2018	QGV006224	DAVIS FAMILY OFFICE, FORTRESS TRANSN & INFRASTRUCTURE IN S		-186,500.00	100.00	10.00	90.00	50.0	45.00
01/12/2018	QGV006224	DAVIS FAMILY OFFICE, PLATINUM EAGLE ACQUISITION CORP UNI S		-20,120.00	20.00	10.00	10.00	50.0	5.00
01/17/2018	QGV006224	DAVIS FAMILY OFFICE, INTREXON CORP COM	S	-5,400.00	20.00	10.00	10.00	50.0	5.00
01/17/2018	QGV006224	DAVIS FAMILY OFFICE, NEW RESIDENTIAL INVESTMENT CORP	S	-86,500.00	50.00	10.00	40.00	50.0	20.00
01/17/2018	QGV006224	DAVIS FAMILY OFFICE, NEW RESIDENTIAL INVESTMENT CORP	S	-51,900.00	30.00	10.00	20.00	50.0	10.00
01/17/2018	QGV006224	DAVIS FAMILY OFFICE, NEW RESIDENTIAL INVESTMENT CORP	S	-34,600.00	20.00	10.00	10.00	50.0	5.00
01/18/2018	QGV006224	DAVIS FAMILY OFFICE, ARROWHEAD PHARMACEUTICALS INC COM	S	-2,778.55	20.00	10.00	10.00	50.0	5.00
01/18/2018	QGV006224	DAVIS FAMILY OFFICE, EAGLE PT CR CO LLC COM	S	-45,375.00	25.00	10.00	15.00	50.0	7.50
01/18/2018	QGV006224	DAVIS FAMILY OFFICE, INNOVATIVE INDL PPTYS INC COM	S	-137,824.00	100.00	10.00	90.00	50.0	45.00
01/18/2018	QGV006224	DAVIS FAMILY OFFICE, KEANE GROUP INC COM	S	-14,824.00	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, ADT INC DEL COM	S	-18,760.95	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, ADT INC DEL COM	S	-206,370.45	100.00	10.00	90.00	50.0	45.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, AMERICOLD RLTY TR COM	S	-25,951.20	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, AMERICOLD RLTY TR COM	S	-10,380.48	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, HTG MOLECULAR DIAGNOSTICS INC COM	S	-670.00	20.00	10.00	10.00	50.0	5.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, OBALON THERAPEUTICS INC COM	B	12,760.08	24.00	10.00	14.00	50.0	7.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, OBALON THERAPEUTICS INC COM	S	-23,360.92	44.00	10.00	34.00	50.0	17.00
01/19/2018	QGV006224	DAVIS FAMILY OFFICE, UROGEN PHARMA LTD REGISTERED SHS IS S		-4,205.56	20.00	10.00	10.00	50.0	5.00
01/23/2018	QGV006224	DAVIS FAMILY OFFICE, OBALON THERAPEUTICS INC COM	B	20,881.08	44.00	10.00	34.00	50.0	17.00
01/24/2018	QGV006224	DAVIS FAMILY OFFICE, ADAMAS PHARMACEUTICALS INC COM	S	-4,338.00	20.00	10.00	10.00	50.0	5.00
01/24/2018	QGV006224	DAVIS FAMILY OFFICE, PAGSEGURO DIGITAL LTD REGISTERED SH S		-14,100.00	20.00	10.00	10.00	50.0	5.00
01/24/2018	QGV006224	DAVIS FAMILY OFFICE, ROCKET PHARMACEUTICALS INC COM	S	-100,725.30	65.00	10.00	55.00	50.0	27.50
01/24/2018	QGV006224	DAVIS FAMILY OFFICE, UNION BANKSHARES CORPORATION COM	S	-305,200.00	80.00	10.00	70.00	50.0	35.00
01/25/2018	QGV006224	DAVIS FAMILY OFFICE, AUDENTES THERAPEUTICS INC COM	S	-7,248.00	20.00	10.00	10.00	50.0	5.00
01/25/2018	QGV006224	DAVIS FAMILY OFFICE, CONFORMIS INC COM	S	-30,020.00	150.00	10.00	140.00	50.0	70.00
01/25/2018	QGV006224	DAVIS FAMILY OFFICE, GORDON POINTE ACQUISITION CORP UNIT S		-35,000.00	35.00	10.00	25.00	50.0	12.50
01/25/2018	QGV006224	DAVIS FAMILY OFFICE, OCULAR THERAPEUTIX INC COM	S	-26,605.00	50.00	10.00	40.00	50.0	20.00
01/26/2018	QGV006224	DAVIS FAMILY OFFICE, ARMO BIOSCIENCES INC COM	S	-5,600.00	20.00	10.00	10.00	50.0	5.00
01/26/2018	QGV006224	DAVIS FAMILY OFFICE, BIOCEPT INC COM NEW	S	-18,025.00	150.00	10.00	140.00	50.0	70.00
01/26/2018	QGV006224	DAVIS FAMILY OFFICE, RAPID7 INC COM	S	-26,466.00	20.00	10.00	10.00	50.0	5.00
01/29/2018	QGV006224	DAVIS FAMILY OFFICE, LEVEL BRANDS INC COM NEW	S	-24,925.50	50.00	10.00	40.00	50.0	20.00
01/26/2018	QGV006414	MERRILL LYNCH PROF LADENBG THAL FIN 6.5% NTS FREQ QRTL S		-100,000.00	120.00	10.00	110.00	50.0	55.00
12/28/2017	QGW012205	AZRAK, RUBEN AMERICAN OUTDOOR BRANDS CORP COM	S	-63,232.00	300.00	10.00	290.00	50.0	145.00
12/28/2017	QGW012205	AZRAK, RUBEN AMERICAN OUTDOOR BRANDS CORP COM	S	63,232.00	-300.00	-10.00	-290.00	50.0	-145.00 C
12/28/2017	QGW012205	AZRAK, RUBEN AMERICAN OUTDOOR BRANDS CORP COM	S	-63,232.00	300.00	10.00	290.00	50.0	145.00
12/28/2017	QGW012205	AZRAK, RUBEN CANOPY GROWTH CORP COM ISIN# CA1380 B		35,790.00	100.00	10.00	90.00	50.0	45.00
01/02/2018	QGW014847	ALBANESE, JOHN BOARDWALK PIPELINE PARTNERS LP COM	B	519,416.00	2,400.00	10.00	2,390.00	50.0	1,195.00
01/08/2018	QGW014847	ALBANESE, JOHN CHIPOTLE MEXICAN GRILL INC COMMON S S		-632,057.80	120.00	10.00	110.00	50.0	55.00
01/11/2018	QGW014847	ALBANESE, JOHN REALTY INCOME CORP	B	798,750.00	900.00	10.00	890.00	50.0	445.00
01/16/2018	QGW014847	ALBANESE, JOHN PROSHARES TRUST II PROSHARES ULTRA B		70,334.25	450.00	10.00	440.00	50.0	220.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/24/2018	QGW014847	ALBANESE, JOHN	REALTY INCOME CORP	S	-375,487.20	413.76	10.00	403.76	50.0	201.88
01/25/2018	QGW014847	ALBANESE, JOHN	REALTY INCOME CORP	S	-439,642.00	486.24	10.00	476.24	50.0	238.12
01/17/2018	QGW015042	GINDI, RANDAL	TWITTER INC	B	122,000.00	300.00	10.00	290.00	50.0	145.00
01/02/2018	QGW015208	CAHILL, ANDREW	CENTURYTEL INC	S	-4,934.32	75.00	10.00	65.00	50.0	32.50
12/29/2017	QGW024606	GOLD, ALAN	GLOBALSTAR INC	B	6,468.50	125.00	10.00	115.00	50.0	57.50
12/29/2017	QGW024614	GOLD, SUSAN	GLOBALSTAR INC	B	6,468.50	125.00	10.00	115.00	50.0	57.50
01/17/2018	QGW024622	GOLD, ALAN	CELGENE CORP	B	10,221.80	100.00	10.00	90.00	50.0	45.00
01/17/2018	QGW024630	GOLD, SUSAN	CELGENE CORP	B	10,221.80	100.00	10.00	90.00	50.0	45.00
12/28/2017	QGW031338	BRAND, MATTHEW	ZVELO INC COM	B	5,000.00	100.00	10.00	90.00	50.0	45.00
01/17/2018	QGW031338	BRAND, MATTHEW	ZVELO INC COM	B	23,681.60	623.20	10.00	613.20	50.0	306.60
01/04/2018	QGW036352	LAWRENCE ADAMS LTD	J P MORGAN CHASE & CO	B	54,867.50	125.00	10.00	115.00	50.0	57.50
01/05/2018	QGW036352	LAWRENCE ADAMS LTD	J P MORGAN CHASE & CO	B	21,635.00	100.00	10.00	90.00	50.0	45.00
01/10/2018	QGW036352	LAWRENCE ADAMS LTD	UNITED TECHNOLOGIES CORP	B	13,476.58	75.00	10.00	65.00	50.0	32.50
01/11/2018	QGW036352	LAWRENCE ADAMS LTD	UNITED TECHNOLOGIES CORP	B	13,556.02	75.00	10.00	65.00	50.0	32.50
01/18/2018	QGW036352	LAWRENCE ADAMS LTD	J P MORGAN CHASE & CO	S	-79,242.17	150.00	10.00	140.00	50.0	70.00
01/18/2018	QGW036352	LAWRENCE ADAMS LTD	UNITED TECHNOLOGIES CORP	S	-26,929.42	125.00	10.00	115.00	50.0	57.50
01/19/2018	QGW036352	LAWRENCE ADAMS LTD	BANK OF AMERICA CORP	S	-63,183.60	120.00	10.00	110.00	50.0	55.00
01/19/2018	QGW036352	LAWRENCE ADAMS LTD	BANK OF AMERICA CORP	S	-126,529.60	240.00	10.00	230.00	50.0	115.00
01/19/2018	QGW036352	LAWRENCE ADAMS LTD	BANK OF AMERICA CORP	S	-31,643.10	75.00	10.00	65.00	50.0	32.50
01/17/2018	QGW038432	BRAND, MATTHEW	ZVELO INC COM	B	3,298.40	86.80	10.00	76.80	50.0	38.40
* STOCKS SUBTOTAL *					\$-6,110,850.53	\$14,121.88	\$1,250.00	\$12,871.88		\$6,435.94
PRODUCT CATEGORY: OPTIONS										
01/18/2018	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK OF AMERICA CORPORATIO	B	30,300.00	150.00	10.00	140.00	50.0	70.00
01/18/2018	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK OF AMERICA CORPORATIO	B	29,820.00	150.00	10.00	140.00	50.0	70.00
01/19/2018	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK OF AMERICA CORPORATIO	B	20,180.00	75.00	10.00	65.00	50.0	32.50
01/19/2018	QGW036352	LAWRENCE ADAMS LTD	CALL 100 BANK OF AMERICA CORPORATIO	B	115,825.00	400.00	10.00	390.00	50.0	195.00
* OPTIONS SUBTOTAL *					\$196,125.00	\$775.00	\$40.00	\$735.00		\$367.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/12/2018	QGW014870	GOLD, ALAN	NUVEEN LIMITED TERM MUNI BOND CLASS	B	0.00	5.42	0.00	5.42	50.0	2.71
01/23/2018	QGW014870	GOLD, ALAN	GOLDMAN SACHS SHORT DURATION TAX FR	B	0.00	4.89	0.00	4.89	50.0	2.45
01/18/2018	QGW024606	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	4.00	0.00	4.00	50.0	2.00
01/18/2018	QGW024614	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	2.30	0.00	2.30	50.0	1.15
01/10/2018	QGW024622	GOLD, ALAN	COLUMBIA SHORT TERM BOND FUND CLASS	B	0.00	29.15	0.00	29.15	50.0	14.58
01/18/2018	QGW024622	GOLD, ALAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	1.72	0.00	1.72	50.0	0.86
01/10/2018	QGW024630	GOLD, SUSAN	COLUMBIA SHORT TERM BOND FUND CLASS	B	0.00	14.40	0.00	14.40	50.0	7.20
01/18/2018	QGW024630	GOLD, SUSAN	PIMCO COMMODITY REAL RETURN STRATEG	B	0.00	1.72	0.00	1.72	50.0	0.86
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$63.60	\$0.00	\$63.60		\$31.81
*** BROKER TRANSACTIONS TOTAL ***					\$-5,914,725.53	\$14,960.48	\$1,290.00	\$13,670.48		\$6,835.25

COMMISSION STATEMENT for EZRA GRAYMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
01/31/2018		OTHER: OTHER-EXCHANGE FEES		0.00	0.00	0.00	0.00		-108.77
01/31/2018		OTHER: OTHER-CORE TECH		0.00	0.00	0.00	0.00		-119.80
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-256.57

BROKER COMMISSION TOTALS

Broker Transactions	\$6,835.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-256.57
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-18.70
SIPC Assessment	\$-37.40

Check Amount	\$6,522.58
Year-to-date Earnings	\$6,522.58