

All Companies
STATEMENT
April 20, 2018

RONALD NALVEN
269 Century Way

BROKER #: 028
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
02/02/0018	#100818TAL BERBERIAN, JOSEPH	TRANS LIFE FTLB04	B	0.00	218.78	0.00	0.00	218.78	86.0	188.15
02/02/0018	#111219TAL BROPHY, SUSAN	TRANS LIFE FTLB06	B	0.00	68.98	0.00	0.00	68.98	86.0	59.32
02/06/0018	#130283LB8 WILLIAMSON	TRANS LIFEFLDP26	B	0.00	95.34	0.00	0.00	95.34	86.0	81.99
02/08/0018	#27045 COMPONENTS AND CONT	LINCOLN	B	0.00	321.25	0.00	0.00	321.25	86.0	276.28
02/08/0018	#110865LB8 PEREZ, HECTOR	TRANS LIFEFLDP26	B	0.00	78.57	0.00	0.00	78.57	86.0	67.57
02/08/0018	#PHR546	VOYA	B	0.00	929.63	0.00	0.00	929.63	86.0	799.48
02/08/0018	#ZH8660	VOYA	B	0.00	2,498.37	0.00	0.00	2,498.37	86.0	2,148.60
03/01/0018	#GAPCPJN90 FOOD EXCHANGE,	NATIONWIDE 020	B	0.00	348.59	0.00	0.00	348.59	86.0	299.79
03/01/0018	#GAPCPJZ6B PANZA AND SONS	NATIONWIDE 020	B	0.00	74.39	0.00	0.00	74.39	86.0	63.98
03/01/0018	#GAPCPJZ3K REAL ESTATE, MRH	NATIONWIDE 020	B	0.00	35.14	0.00	0.00	35.14	86.0	30.22
03/01/0018	#GAPC7DN4J MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	196.24	0.00	0.00	196.24	86.0	168.77
03/01/0018	#GAPC3JN9N FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	465.16	0.00	0.00	465.16	86.0	400.04
03/01/0018	#GAPC3JZ6A PANZA AND SONS	NATIONWIDE 620	B	0.00	308.59	0.00	0.00	308.59	86.0	265.39
03/01/0018	#GAPC3JZ3J REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	124.31	0.00	0.00	124.31	86.0	106.91
03/05/0018	#GAPCPJN90 FOOD EXCHANGE,	NATIONWIDE 020	B	0.00	326.26	0.00	0.00	326.26	86.0	280.58
03/05/0018	#GAPCPJZ6B PANZA AND SONS	NATIONWIDE 020	B	0.00	73.74	0.00	0.00	73.74	86.0	63.42
03/05/0018	#GAPCPJZ3K REAL ESTATE, MRH	NATIONWIDE 020	B	0.00	32.93	0.00	0.00	32.93	86.0	28.32
03/05/0018	#GAPC7DN4J MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	182.28	0.00	0.00	182.28	86.0	156.76
03/05/0018	#GAPC3JN9N FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	436.03	0.00	0.00	436.03	86.0	374.99
03/05/0018	#GAPC3JZ6A PANZA AND SONS	NATIONWIDE 620	B	0.00	297.58	0.00	0.00	297.58	86.0	255.92
03/05/0018	#GAPC3JZ3J REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	119.73	0.00	0.00	119.73	86.0	102.97
02/04/2018	#119229TAL BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	B	0.00	51.57	0.00	0.00	51.57	86.0	44.35
02/05/2018	#119794TAL HAHN, IRWIN	TRANS LIFE FTLB01	B	0.00	39.74	0.00	0.00	39.74	86.0	34.18
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$7,323.20	\$0.00	\$0.00	\$7,323.20		\$6,297.98

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/07/2018	#01446893602 CIAGLIA, RITA	FA GROWTH OPPORTUNITIES C	B	0.00	4.98	0.00	0.00	4.98	86.0	4.28
03/07/2018	#01446893602 CIAGLIA, RITA	TREASURY FUND ADVISOR-CL C	B	0.00	1.32	0.00	0.00	1.32	86.0	1.14
03/09/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.14	0.00	0.00	0.14	86.0	0.12
03/09/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.72	0.00	0.00	0.72	86.0	0.62
03/09/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.57	0.00	0.00	0.57	86.0	0.49

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/09/2018 # JUBINSKI, STACIE	DAIN TRAILER	B	0.00	7.20	0.00	0.00		7.20	86.0	6.19
03/09/2018 # JUBINSKI, STACIE	DAIN TRAILER	B	0.00	5.85	0.00	0.00		5.85	86.0	5.03
03/09/2018 # EVA MAGNES MEMORIAL FAMIL	DAIN TRAILER	B	0.00	11.23	0.00	0.00		11.23	86.0	9.66
03/09/2018 # BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	0.35	0.00	0.00		0.35	86.0	0.30
03/09/2018 # BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	1.10	0.00	0.00		1.10	86.0	0.95
03/09/2018 # BOGUCHWAL, SCOTT	DAIN TRAILER	B	0.00	0.40	0.00	0.00		0.40	86.0	0.34
03/09/2018 # AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.58	0.00	0.00		1.58	86.0	1.36
03/09/2018 # AMATO, JOHN	DAIN TRAILER	B	0.00	1.54	0.00	0.00		1.54	86.0	1.32
03/09/2018 # AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	0.95	0.00	0.00		0.95	86.0	0.82
03/09/2018 # MILLER, SUSAN	DAIN TRAILER	B	0.00	4.33	0.00	0.00		4.33	86.0	3.72
03/09/2018 # MILLER, SUSAN	DAIN TRAILER	B	0.00	1.57	0.00	0.00		1.57	86.0	1.35
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.91	0.00	0.00		0.91	86.0	0.78
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.47	0.00	0.00		0.47	86.0	0.40
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.10	0.00	0.00		1.10	86.0	0.95
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.59	0.00	0.00		0.59	86.0	0.51
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.47	0.00	0.00		0.47	86.0	0.40
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.91	0.00	0.00		0.91	86.0	0.78
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.06	0.00	0.00		1.06	86.0	0.91
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.29	0.00	0.00		0.29	86.0	0.25
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.15	0.00	0.00		0.15	86.0	0.13
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.35	0.00	0.00		0.35	86.0	0.30
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.19	0.00	0.00		0.19	86.0	0.16
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.15	0.00	0.00		0.15	86.0	0.13
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.29	0.00	0.00		0.29	86.0	0.25
03/09/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.33	0.00	0.00		0.33	86.0	0.28
03/09/2018 # CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	0.93	0.00	0.00		0.93	86.0	0.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$52.02</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$52.02</u>		<u>\$44.72</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$7,375.22</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$7,375.22</u>		<u>\$6,342.70</u>

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ADJUSTMENTS

04/20/2018		ADMIN FEE: ADMIN FEE								-390.00
04/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
04/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
04/20/2018		FINRA: FINRA ANNUAL ASSESMENT FEE								-215.00
*** ADJUSTMENTS TOTAL ***										<u>\$-959.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,342.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-959.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,383.70
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