



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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ERIC CHARLES GUTH
3603 Labrador Bay

BROKER #: 9617
BRANCH: HOME OFFICE

Grapevine, TX 76051-

COMMISSION STATEMENT for ERIC CHARLES GUTH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

07/13/2018	11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	436.40	0.00	436.40	90.0	392.76
07/13/2018	11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	426.53	0.00	426.53	90.0	383.88
07/13/2018	13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
07/13/2018	17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.87	0.00	1.87	90.0	1.68
07/13/2018	24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	588.40	0.00	588.40	90.0	529.56
07/13/2018	24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
07/13/2018	27613913	RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	798.40	0.00	798.40	90.0	718.56
		JEFFREY								
07/13/2018	27613913	RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	122.27	0.00	122.27	90.0	110.04
		JEFFREY								
07/13/2018	29396124	COSTAS, PETER J &	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
		TAMMY M.								
07/13/2018	32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	32.40	0.00	32.40	90.0	29.16
07/13/2018	34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	621.60	0.00	621.60	90.0	559.44
07/13/2018	34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	352.67	0.00	352.67	90.0	317.40
07/13/2018	37351723	CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	318.67	0.00	318.67	90.0	286.80
		DIANNE								
07/13/2018	39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	40.67	0.00	40.67	90.0	36.60
07/13/2018	52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	508.00	0.00	508.00	90.0	457.20
		JUDITH								
07/13/2018	52065317	EWALD, JENNIFER	SIGNAL SECURITIES RIA	B	0.00	44.53	0.00	44.53	90.0	40.08
		JUDITH								
07/13/2018	58050029	RAINES, JOEY	SIGNAL SECURITIES RIA	B	0.00	23.47	0.00	23.47	90.0	21.12
		SHANKLAND								
07/13/2018	68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	476.00	0.00	476.00	90.0	428.40
07/13/2018	68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	203.60	0.00	203.60	90.0	183.24
07/13/2018	84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	413.20	0.00	413.20	90.0	371.88
		GARBER								
07/13/2018	84865050	SHANKLAND, SCOTT	SIGNAL SECURITIES RIA	B	0.00	94.67	0.00	94.67	90.0	85.20
		GARBER								
07/13/2018	86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	28.27	0.00	28.27	90.0	25.44

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
07/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	527.60	0.00	527.60	90.0	474.84
07/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	1.07	0.00	1.07	90.0	0.96
07/13/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	159.47	0.00	159.47	90.0	143.52
07/13/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	9.87	0.00	9.87	90.0	8.88
07/13/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	17.07	0.00	17.07	90.0	15.36
07/13/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	367.33	0.00	367.33	90.0	330.60
07/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	57.87	0.00	57.87	90.0	52.08
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$6,831.90</u>	<u>\$0.00</u>	<u>\$6,831.90</u>		<u>\$6,148.68</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$6,831.90</u>	<u>\$0.00</u>	<u>\$6,831.90</u>		<u>\$6,148.68</u>

ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
08/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-127.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,148.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$5,893.68
Year-to-date Earnings	\$27,774.44