



COMMISSION STATEMENT

January 01, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/26/2017	***-**-19IN - LIPPA, MARISSA	AMERICAN BALANCED FUND-C	150.01	1.50	3.00	-1.50	100.0	-1.50
	ACCOUNT #: LSR011011	BUY 6 Units @26.9600						
		* MUTUAL FUNDS SUBTOTAL *	\$150.01	\$1.50	\$3.00	\$-1.50		\$-1.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/26/2017	***-**-63IR - DIAMOND, ANN	FEDERATED STRATEGIC INCOME FUND CLA	0.00	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
12/26/2017	***-**-92IR - CHEN, CYNTHIA	GLOBAL OPPORTUNITIES A	0.00	1.57	0.00	1.57	80.0	1.26
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
12/26/2017	***-**-63IR - DIAMOND, ANN	LORD ABBETT SHORT DURATION INCOME C	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: LSR011725	BUY 1 Units @0.0000						
12/26/2017	***-**-20IN - GIANGRECO, TERRY	ROCH. LIMITED TERM NEW YORK MUNICIP	0.00	109.55	0.00	109.55	80.0	87.64
	ACCOUNT #: LSR010603	BUY 1 Units @0.0000						
12/26/2017	***-**-92IR - CHEN, CYNTHIA	SELECT VALUE FUND A	0.00	1.08	0.00	1.08	80.0	0.86
	ACCOUNT #: LSR011412	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$113.09	\$0.00	\$113.09		\$90.47
		*** BROKER TRANSACTIONS TOTAL ***	\$150.01	\$114.59	\$3.00	\$111.59		\$88.97



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		INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	COMMISSION
<div>BROKER COMMISSION TOTALS</div>						
				Broker Transactions		\$88.97
				Split Transactions		\$0.00
				Override Transactions		\$0.00
				Adjustments		\$0.00
				Payroll Draw		\$0.00
				Base Salary		\$0.00
				Prior Period Balance		\$0.00
				FINRA Assessment		\$0.00
				SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD				Balance Forward		\$88.97
				Year-to-date Earnings		\$88.97