



# COMMISSION STATEMENT

## February 05, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/22/2018	***-**-351C - SOWERS, CLIENTS	CAPITAL WORLD GROWTH AND INCOME FUN	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @56.8900						
01/22/2018	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @55.9400						
01/25/2018	***-**-105P - RICHCREEK,	THE GROWTH FUND OF AMERICA-A	200.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: 00075150658	BUY 4 Units @56.8500						
02/05/2018	***-**-351C - SOWERS, CLIENTS	WELLS FARGO MF TRIALS	0.00	6.80	0.00	6.80	90.0	6.12
		BUY 0 Units @0.0000						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$600.00</b>	<b>\$33.80</b>	<b>\$0.00</b>	<b>\$33.80</b>		<b>\$30.42</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/29/2018	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$100.00</b>	<b>\$1.50</b>	<b>\$0.00</b>	<b>\$1.50</b>		<b>\$1.35</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
02/05/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	81.30	81.30	0.00	81.30	90.0	73.17
	ACCOUNT #: 925330602	BUY 0 Units @0.0000						
02/05/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	33.26	33.26	0.00	33.26	90.0	29.93
	ACCOUNT #: 925330644	BUY 0 Units @0.0000						
02/05/2018	***-**-99FB - MAY, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	136.67	136.67	0.00	136.67	90.0	123.00
	ACCOUNT #: 925396322	BUY 0 Units @0.0000						
		<b>* RIA SUBTOTAL *</b>	<b>\$251.23</b>	<b>\$251.23</b>	<b>\$0.00</b>	<b>\$251.23</b>		<b>\$226.10</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/05/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	11.19	0.00	11.19	90.0	10.07
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
02/05/2018	***-**-93IN - WARD, WILLIAM	SAMMONS MF TRAILS	0.00	21.74	0.00	21.74	90.0	19.57
	ACCOUNT #: 7200013199	BUY 0 Units @0.0000						
02/05/2018	***-**-47IO - ZINGARO, EILEEN	SAMMONS MF TRAILS	0.00	72.21	0.00	72.21	90.0	64.99
	ACCOUNT #: 7200015372	BUY 0 Units @0.0000						
02/05/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	103.19	0.00	103.19	90.0	92.87
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

February 05, 2018

RICHARD SOWERS  
2451 McMullen Booth Road  
Suite 263  
Clearwater, FL 33759

BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$208.33	\$0.00	\$208.33		\$187.50
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
01/31/2018	***-**-9350 - LOWE, JAMES ACCOUNT #: T06438138	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	206.79	0.00	206.79	90.0	186.11
01/31/2018	***-**-395I - PIERSON, GEORGE ACCOUNT #: T06441035	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	59.15	0.00	59.15	90.0	53.24
01/31/2018	***-**-43FB - TORONTALI, ACCOUNT #: T06441263	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	90.0	2.48
01/31/2018	***-**-9350 - LOWE, JAMES ACCOUNT #: T06441278	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	88.09	0.00	88.09	90.0	79.28
01/31/2018	***-**-32RI - MITCHEN, DORIS ACCOUNT #: 1015309900	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	20.58	0.00	20.58	90.0	18.52
01/31/2018	***-**-94NQ - REGULSKI, SUSAN ACCOUNT #: 1015441751	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	6.60	0.00	6.60	90.0	5.94
01/31/2018	***-**-36IN - CLAWSON, ACCOUNT #: 1016486066	JACKSON ELITE ACCESS BUY 0 Units @0.0000	0.00	5.51	0.00	5.51	90.0	4.96
01/31/2018	***-**-559I - HUBBARD, JAMES ACCOUNT #: 1013454350	JACKSON NATIONAL PERSPECTIVE II BUY 0 Units @0.0000	0.00	32.81	0.00	32.81	90.0	29.53
01/31/2018	***-**-21IN - KELLER, TERESA ACCOUNT #: 2110977	JOHN HANCOCK 41014C168 BUY 0 Units @0.0000	0.00	7.17	0.00	7.17	90.0	6.45
01/31/2018	***-**-3199 - MAY, DAVID ACCOUNT #: 1019236311	PERSPECTIVE II DTCC BUY 0 Units @0.0000	0.00	41.64	0.00	41.64	90.0	37.48
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$471.09	\$0.00	\$471.09		\$423.99
		*** BROKER TRANSACTIONS TOTAL ***	\$951.23	\$965.95	\$0.00	\$965.95		\$869.36



BROKER #: 71  
BRANCH #01002 - 02 - SOWERS

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions\$869.36								
Split Transactions\$0.00								
Override Transactions\$0.00								
Adjustments\$0.00								
Payroll Draw\$0.00								
Base Salary\$0.00								
Prior Period Balance\$0.00								
FINRA Assessment\$0.00								
SIPC Assessment\$0.00								
Net Commission Earned\$869.36								
Year-to-date Earnings\$862.91								