



COMMISSION STATEMENT

April 02, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87 Terminated 03/22/2018
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/02/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK 09251M108	0.00	5.64	0.00	5.64	75.0	4.23
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK 09251M108	0.00	2.28	0.00	2.28	75.0	1.71
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-3590 - HEALY, THOMAS	BLACKROCK 09251M108	0.00	0.63	0.00	0.63	75.0	0.47
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK FLOATING RATE INC PORT IN	0.00	1.12	0.00	1.12	75.0	0.84
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK GLBL SCI & TECH OPPORT CL	0.00	5.13	0.00	5.13	75.0	3.85
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
04/02/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK GLOBAL ALLOCATION FUND IN	0.00	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL DIVIDEND PORT CL A	0.00	1.93	0.00	1.93	75.0	1.45
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL RESOURCES FUND CLA	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GLOBAL SMALL CAP FD CL A	0.00	6.72	0.00	6.72	75.0	5.04
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK GNMA PORT CLASS A	0.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	10.75	0.00	10.75	75.0	8.06
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-033I - KATZENBERGER,	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	2.55	0.00	2.55	75.0	1.91
	ACCOUNT #: LSR011507	BUY 1 Units @0.0000						
04/02/2018	***-**-3590 - HEALY, THOMAS	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	1.97	0.00	1.97	75.0	1.48
	ACCOUNT #: LSR011524	BUY 1 Units @0.0000						
04/02/2018	***-**-70IN - CUTILLO, JAMES	BLACKROCK HEALTH SCIENCES FUND CLAS	0.00	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: LSR011647	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK HIGH YIELD BOND FUND CLAS	0.00	2.90	0.00	2.90	75.0	2.18
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK INVESTMENT GRADE BOND POR	0.00	1.49	0.00	1.49	75.0	1.12
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						



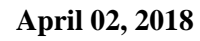
COMMISSION STATEMENT

April 02, 2018

DENNIS CONNOR
9 Woodland Rd.
Miller Place, NY 11764

BROKER #: A87 Terminated 03/22/2018
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK MULTI ASSET INCOME PORT A	0.00	2.84	0.00	2.84	75.0	2.13
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-101I - LEMOINE, STEVEN	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	1.08	0.00	1.08	75.0	0.81
	ACCOUNT #: LSR011390	BUY 1 Units @0.0000						
04/02/2018	***-**-1832 - WEISMAN, DORIS	BLACKROCK US OPPORT CL A	0.00	6.52	0.00	6.52	75.0	4.89
	ACCOUNT #: LSR011330	BUY 1 Units @0.0000						
03/28/2018	***-**-2635 - HALE, JONATHAN	SALIENT-FORWARD FUNDS TRAILS	0.00	1.20	0.00	1.20	75.0	0.90
		BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$60.64	\$0.00	\$60.64		\$45.49
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/28/2018	***-**-4303 - OSBORNE,	SUN LIFE CUSIP 493313506-NFS	0.00	4.95	0.00	4.95	75.0	3.71
	ACCOUNT #: 0200923820-01	BUY 0 Units @0.0000						
03/28/2018	***-**-7063 - JOHNSON, CARTEZ	SUN LIFE CUSIP 493313704-NFS	0.00	137.72	0.00	137.72	75.0	103.29
	ACCOUNT #: 0200793633-01	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$142.67	\$0.00	\$142.67		\$107.00
	*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$203.31	\$0.00	\$203.31		\$152.49



BROKER #: A87 Terminated 03/22/2018
BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$152.49
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$152.49
						Year-to-date Earnings	\$4,611.01