

COMMISSION STATEMENT

April 03, 2017

RICHARD BELLINFANTE
1570 PASEO GRANDE
APT. 3034
BULLHEAD, AZ 86442

BROKER #:
BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD BELLINFANTE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/08/2017	QGW035065 LIEBERT, JAMES	DELAWARE HEALTHCARE FUNDS CLASS A	B	35,013.68	1,750.68	10.00	1,740.68	75.0	1,305.51
03/08/2017	QGW035065 LIEBERT, JAMES	PUTNAM GLOBAL CUSTOMER FUND CLASS A	S	-24,766.71	0.00	10.00	-10.00	75.0	-7.50
* MUTUAL FUNDS SUBTOTAL *				\$10,246.97	\$1,750.68	\$20.00	\$1,730.68		\$1,298.01
<u>PRODUCT CATEGORY: STOCKS</u>									
03/08/2017	QGW035065 LIEBERT, JAMES	BP P L C SPONSORED ADR (FRM BP AMOC	B	3,369.63	50.00	10.00	40.00	75.0	30.00
03/08/2017	QGW035065 LIEBERT, JAMES	CORNING INC	B	5,569.26	100.00	10.00	90.00	75.0	67.50
03/08/2017	QGW035065 LIEBERT, JAMES	DAIMLER AG	B	7,420.80	75.00	10.00	65.00	75.0	48.75
03/17/2017	QGW035065 LIEBERT, JAMES	BOEING CO	S	-18,011.55	80.00	10.00	70.00	75.0	52.50
* STOCKS SUBTOTAL *				\$-1,651.86	\$305.00	\$40.00	\$265.00		\$198.75
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/13/2017	QGW035065 LIEBERT, JAMES	FRANKLIN/TEMPLETON FUNDS 88019R732	B	0.00	5.82	0.00	5.82	75.0	4.37
03/13/2017	QGW035065 LIEBERT, JAMES	RYDEX TELECOMMUNICATIONS FUND CLASS	B	0.00	9.32	0.00	9.32	75.0	6.99
03/15/2017	QGW035065 LIEBERT, JAMES	AMERICAN CENTURY GLOBAL GOLD FUND	C B	0.00	2.16	0.00	2.16	75.0	1.62
03/15/2017	QGW035065 LIEBERT, JAMES	VAN ECK INTERNATIONAL INVESTORS GOL	B	0.00	28.63	0.00	28.63	75.0	21.47
03/17/2017	QGW035065 LIEBERT, JAMES	PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	0.00	4.71	0.00	4.71	75.0	3.53
03/20/2017	QGW035065 LIEBERT, JAMES	COLUMBIA CONVERTIBLE SECURITIES FD	B	0.00	7.54	0.00	7.54	75.0	5.66
03/29/2017	QGW035065 LIEBERT, JAMES	BLACKROCK REAL ESTATE SECURITIES FU	B	0.00	9.11	0.00	9.11	75.0	6.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$67.29	\$0.00	\$67.29		\$50.47
*** BROKER TRANSACTIONS TOTAL ***				\$8,595.11	\$2,122.97	\$60.00	\$2,062.97		\$1,547.23

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ADJUSTMENTS

04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,547.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.65
SIPC Assessment	\$-39.81

Check Amount	\$1,484.77
Year-to-date Earnings	\$5,065.79