

**COMMISSION STATEMENT****January 15, 2018**

HERBERT ALSTON
 4200 Park Blvd
 Suite 154
 Oakland, CA 94602

BROKER #: A123
BRANCH: HERBERT ALSTON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: A132 - HERB/FRED ALSTON/CHASALOW #413</u>								
12/29/2017	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	101,286.69	168.90	0.00	168.90	75.0	126.68
12/29/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	228,282.93	-190.05	0.00	-190.05	75.0	-142.54
12/29/2017	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	271,846.71	453.31	0.00	453.31	75.0	339.98
12/29/2017	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	228,282.93	380.66	0.00	380.66	75.0	285.50
01/09/2018	CHASALOW, VARIOUS	ALLIANZ FUNDS	0.00	7,235.58	0.00	7,235.58	75.0	5,426.69
12/29/2017	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	100,347.63	83.66	0.00	83.66	75.0	62.75
12/11/2017	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	5.08	0.00	5.08	75.0	3.81
12/11/2017	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	0.75	0.00	0.75	75.0	0.56
12/11/2017	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	3.36	0.00	3.36	75.0	2.52
12/21/2017	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.22	0.00	9.22	75.0	6.92
12/21/2017	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.57	0.00	4.57	75.0	3.43
12/21/2017	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.57	0.00	4.57	75.0	3.43
12/21/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	-1.29	0.00	-1.29	75.0	-0.97
12/21/2017	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.68	0.00	3.68	75.0	2.76
12/21/2017	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.23	0.00	1.23	75.0	0.92
12/21/2017	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.85	0.00	1.85	75.0	1.39
12/21/2017	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.59	0.00	2.59	75.0	1.94
12/21/2017	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	0.79	0.00	0.79	75.0	0.59
12/15/2017	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	1.83	0.00	1.83	75.0	1.37
12/15/2017	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	7.20	0.00	7.20	75.0	5.40
12/21/2017	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	1.36	0.00	1.36	75.0	1.02
12/28/2017	PALMER, AUDREY	VEREIT INC COM	-3,066.00	14.67	15.07	-0.40	75.0	-0.30
* A132 - HERB/FRED ALSTON/CHASALOW SUBTOTAL *			\$926,980.89	\$8,193.52	\$15.07	\$8,178.45		\$6,133.85
*** SPLIT TRANSACTIONS TOTAL ***			\$926,980.89	\$8,193.52	\$15.07	\$8,178.45		\$6,133.85

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
01/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					660.00
01/15/2018		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*FINRA: MA INDIVIDUAL REG FEE	0.00					-75.00
01/15/2018		*FINRA: UT INDIVIDUAL REG FEE	0.00					-60.00
01/15/2018		*PERSHING: MANDITORY REORG - ALLEN	0.00					-10.00
01/15/2018		*PERSHING: MANDOTORY REORG - BRANDY	0.00					-10.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$6,133.85
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,133.85
Year-to-date Gross	\$8,193.52
Year-to-date Earnings	\$6,133.85

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