

COMMISSION STATEMENT
January 05, 2018

SYLVIA LUM
209 Broadway Avenue
Millbrae, CA 94030

BROKER #: 73881
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>Company: Securities America</u>							
<u>BROKER TRANSACTIONS</u>							
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>							
01/05/2018	LUM (73881), SYLVIA	SAI SECURITES INCOME	0.00	20.85	16.68	0.00	16.68
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$20.85	\$16.68	\$0.00	\$16.68
<u>PRODUCT CATEGORY: TRAILERS</u>							
01/05/2018	LUM (73881), SYLVIA	SAI.TRAILS	0.00	129.39	103.51	0.00	103.51
* TRAILERS SUBTOTAL *			\$0.00	\$129.39	\$103.51	\$0.00	\$103.51
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$150.24	\$120.19	\$0.00	\$120.19

BROKER COMMISSION TOTALS

Broker Transactions	\$120.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-26.06
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$94.13
Year-to-date Earnings	\$94.13