



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2018

05/11/2018
Page No. 1

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
05/02/2018	MARKS SAMUELS TRUST, SONDR	PASSCO MERRITT AT SUGARLOAF DST	279,863.00	16,791.78	0.00	16,791.78	100.0	16,791.78
		* 1031 EXCHANGES SUBTOTAL *	\$279,863.00	\$16,791.78	\$0.00	\$16,791.78		\$16,791.78
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/02/2018	K BELL ASSOC PENSION	GWL GRP ANNUITY 401K	717,065.87	589.37	0.00	589.37	100.0	589.37
		* VARIABLE ANNUITIES SUBTOTAL *	\$717,065.87	\$589.37	\$0.00	\$589.37		\$589.37
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/11/2018	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.71	0.00	2.71	100.0	2.71
04/11/2018	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.38	0.00	4.38	100.0	4.38
04/11/2018	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.22	0.00	2.22	100.0	2.22
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$9.31	\$0.00	\$9.31		\$9.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	TRAINOR, EDWARD	JNL PERSPECTIVE L	0.00	54.47	0.00	54.47	100.0	54.47
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$54.47	\$0.00	\$54.47		\$54.47
		*** DIRECT TRANSACTIONS TOTAL ***	\$996,928.87	\$17,444.93	\$0.00	\$17,444.93		\$17,444.93

SPLIT TRANSACTIONS

<u>BROKER: DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR #251</u>								
04/05/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.68	0.00	2.68	100.0	2.68
		* DAN/JON P/JEFF FT- 1AF NY RAUPP/CIR SUBTOTAL *	\$0.00	\$2.68	\$0.00	\$2.68		\$2.68
<u>BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252</u>								
04/05/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.30	0.00	1.30	100.0	1.30
04/05/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.09	0.00	3.09	100.0	3.09
04/05/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.30	0.00	1.30	100.0	1.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JEFFREY FT-1JR NY RAUPP/KIES #252</u>								
04/24/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.81	0.00	3.81	100.0	3.81
04/18/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	2.02	0.00	2.02	100.0	2.02
04/18/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.08	0.00	3.08	100.0	3.08
04/11/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.32	0.00	2.32	100.0	2.32
04/11/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.24	0.00	0.24	100.0	0.24
04/11/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	100.0	0.22
04/11/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	100.0	0.32
04/11/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	100.0	0.16
04/20/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.11	0.00	0.11	100.0	0.11
* DAN/JEFFREY FT-1JR NY RAUPP/KIES SUBTOTAL *			\$0.00	\$17.97	\$0.00	\$17.97		\$17.97
<u>BROKER: JEFFREY/DAN FT- BUM NY KIESNOSKI #253</u>								
04/05/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.94	0.00	0.94	100.0	0.94
04/05/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.41	0.00	0.41	100.0	0.41
04/05/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.00	0.00	1.00	100.0	1.00
04/24/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.53	0.00	0.53	100.0	0.53
04/24/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.12	0.00	2.12	100.0	2.12
04/12/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.34	0.00	1.34	100.0	1.34
04/17/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.55	0.00	0.55	100.0	0.55
04/17/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.91	0.00	0.91	100.0	0.91
04/16/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.13	0.00	0.13	100.0	0.13
04/11/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.33	0.00	0.33	100.0	0.33
04/11/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.40	0.00	0.40	100.0	0.40
04/11/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.75	0.00	0.75	100.0	0.75
04/11/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.10	0.00	0.10	100.0	0.10
04/11/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.58	0.00	0.58	100.0	0.58
04/11/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	100.0	0.37
04/11/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.20	0.00	0.20	100.0	0.20
04/11/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.45	0.00	0.45	100.0	0.45
04/23/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.30	0.00	5.30	100.0	5.30
* JEFFREY/DAN FT- BUM NY KIESNOSKI SUBTOTAL *			\$0.00	\$16.41	\$0.00	\$16.41		\$16.41

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
04/30/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	229,767.80	33.42	0.00	33.42	100.0	33.42
04/20/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
04/18/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	100.0	0.22
03/31/2018	SHEA III, JOHN	GRIFFIN-AMERICAN HC REIT IV T	3,000.00	2.59	0.00	2.59	100.0	2.59
04/16/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	100.0	0.62
04/16/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.53	0.00	0.53	100.0	0.53
04/16/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.02	0.00	3.02	100.0	3.02
04/16/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.67	0.00	2.67	100.0	2.67
04/16/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.22	0.00	0.22	100.0	0.22
04/11/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	100.0	0.14
04/12/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	100.0	0.36
04/12/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.62	0.00	1.62	100.0	1.62
04/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.68	0.00	0.68	100.0	0.68
* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *			\$232,767.80	\$46.17	\$0.00	\$46.17		\$46.17
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
04/19/2018	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.22	0.00	2.22	100.0	2.22
04/27/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.83	0.00	6.83	100.0	6.83
04/27/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.75	0.00	5.75	100.0	5.75
04/27/2018	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.26	0.00	17.26	100.0	17.26
04/27/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.38	0.00	5.38	100.0	5.38
04/27/2018	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.14	0.00	16.14	100.0	16.14
04/18/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.37	0.00	5.37	100.0	5.37
04/18/2018	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.11	0.00	16.11	100.0	16.11
04/18/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.43	0.00	3.43	100.0	3.43
04/18/2018	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.31	0.00	10.31	100.0	10.31
04/18/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.26	0.00	5.26	100.0	5.26
04/18/2018	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.79	0.00	15.79	100.0	15.79
04/18/2018	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.23	0.00	2.23	100.0	2.23
04/16/2018	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	8.91	0.00	8.91	100.0	8.91
04/16/2018	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	10.32	0.00	10.32	100.0	10.32
04/16/2018	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	7.02	0.00	7.02	100.0	7.02
04/11/2018	HLUDZINSKI, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.83	0.00	1.83	100.0	1.83
04/11/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	100.0	0.39

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
04/11/2018	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.42	0.00	8.42	100.0	8.42
04/11/2018	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.35	0.00	0.35	100.0	0.35
04/12/2018	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	70.88	0.00	70.88	100.0	70.88
04/17/2018	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	2.29	0.00	2.29	100.0	2.29
* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *			\$0.00	\$222.49	\$0.00	\$222.49		\$222.49
<u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u>								
04/20/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	9.09	0.00	9.09	100.0	9.09
04/18/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.01	0.00	1.01	100.0	1.01
04/11/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.90	0.00	5.90	100.0	5.90
04/11/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.42	0.00	2.42	100.0	2.42
04/11/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.86	0.00	3.86	100.0	3.86
04/11/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.08	0.00	1.08	100.0	1.08
04/11/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.69	0.00	8.69	100.0	8.69
04/11/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.88	0.00	4.88	100.0	4.88
04/11/2018	JOHNSON, JAMES	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.15	0.00	2.15	100.0	2.15
04/11/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.40	0.00	1.40	100.0	1.40
04/11/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.77	0.00	7.77	100.0	7.77
04/11/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.54	0.00	4.54	100.0	4.54
04/11/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.97	0.00	0.97	100.0	0.97
04/16/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.03	0.00	5.03	100.0	5.03
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$58.79	\$0.00	\$58.79		\$58.79
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
04/19/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.08	0.00	0.08	100.0	0.08
04/05/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.23	0.00	2.23	100.0	2.23
04/05/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.36	0.00	0.36	100.0	0.36
04/05/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.19	0.00	1.19	100.0	1.19
04/05/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.65	0.00	1.65	100.0	1.65
04/19/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.78	0.00	0.78	100.0	0.78
04/11/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.96	0.00	0.96	100.0	0.96
04/11/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.14	0.00	2.14	100.0	2.14
04/11/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.33	0.00	0.33	100.0	0.33
04/11/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.12	0.00	0.12	100.0	0.12

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<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
04/11/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.38	0.00	1.38	100.0	1.38
04/11/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.79	0.00	0.79	100.0	0.79
04/12/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.49	0.00	2.49	100.0	2.49
04/11/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.74	0.00	0.74	100.0	0.74
04/11/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.80	0.00	0.80	100.0	0.80
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$0.00	\$16.04	\$0.00	\$16.04		\$16.04
<u>BROKER: DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE #259</u>								
04/05/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.63	0.00	3.63	100.0	3.63
04/11/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.72	0.00	0.72	100.0	0.72
* DAN/JON P/JEFF FT-G0E NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.35	\$0.00	\$4.35		\$4.35
<u>BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272</u>								
04/16/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.55	0.00	0.55	100.0	0.55
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.55	\$0.00	\$0.55		\$0.55
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
04/19/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	100.0	0.05
04/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.84	0.00	1.84	100.0	1.84
04/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.12	0.00	4.12	100.0	4.12
04/12/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.72	0.00	2.72	100.0	2.72
04/12/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.97	0.00	1.97	100.0	1.97
04/12/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.30	0.00	0.30	100.0	0.30
04/12/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.30	0.00	0.30	100.0	0.30
04/27/2018	WASSERMAN TRUST, NINA	APPLE INC COM	5,524.94	0.00	6.08	-6.08	100.0	-6.08
04/27/2018	WASSERMAN TRUST, NINA	APPLE INC COM	16,255.70	0.00	6.08	-6.08	100.0	-6.08
04/27/2018	WASSERMAN, DEANA	APPLE INC COM	13,000.72	0.00	6.08	-6.08	100.0	-6.08
04/27/2018	WASSERMAN, TAYLOR	APPLE INC COM	16,249.66	0.00	6.08	-6.08	100.0	-6.08
04/27/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.29	0.00	0.29	100.0	0.29
04/27/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.73	0.00	0.73	100.0	0.73
04/27/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.64	0.00	2.64	100.0	2.64
04/27/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.92	0.00	7.92	100.0	7.92
04/18/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.73	0.00	0.73	100.0	0.73
04/18/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.18	0.00	2.18	100.0	2.18
04/18/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.91	0.00	0.91	100.0	0.91

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
04/18/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.72	0.00	2.72	100.0	2.72
04/18/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.60	0.00	0.60	100.0	0.60
04/18/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.81	0.00	1.81	100.0	1.81
04/18/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.73	0.00	0.73	100.0	0.73
04/18/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.18	0.00	2.18	100.0	2.18
04/18/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.53	0.00	2.53	100.0	2.53
04/18/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.59	0.00	7.59	100.0	7.59
04/18/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	100.0	0.46
04/18/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.72	0.00	0.72	100.0	0.72
04/18/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	100.0	1.24
04/18/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	100.0	0.99
04/18/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	100.0	0.83
04/18/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.18	0.00	1.18	100.0	1.18
04/18/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.51	0.00	3.51	100.0	3.51
05/02/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	-8,163.41	5.94	5.94	0.00	100.0	0.00
04/18/2018	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.58	0.00	0.58	100.0	0.58
04/18/2018	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.51	0.00	0.51	100.0	0.51
04/23/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.18	0.00	0.18	100.0	0.18
04/23/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.18	0.00	0.18	100.0	0.18
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$42,867.61	\$61.18	\$30.26	\$30.92		\$30.92
<u>BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350</u>								
04/30/2018	TENEBRUSO REV TRUST, CONCETTA MOODY DFW DST		220,551.56	9,924.82	0.00	9,924.82	100.0	9,924.82
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$220,551.56	\$9,924.82	\$0.00	\$9,924.82		\$9,924.82
<u>BROKER: ROB/DAN/JON PAU FT-P128 PECHA/RAUPP #379</u>								
04/19/2018	SZIMONOWITZ FAM TR	INLAND ZERO COUPON CHICAGOLAND DST	60,195.28	601.95	0.00	601.95	100.0	601.95
* ROB/DAN/JON PAU FT-P128 PECHA/RAUPP SUBTOTAL *			\$60,195.28	\$601.95	\$0.00	\$601.95		\$601.95
<u>BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381</u>								
04/11/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.63	0.00	1.63	100.0	1.63
04/12/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.86	0.00	4.86	100.0	4.86
* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *			\$0.00	\$6.49	\$0.00	\$6.49		\$6.49

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: TOM/MARC/JP/DA FT-T125 THO/LINS/CIR #384</u>								
04/27/2018	SIMPSON TRUST, GERARD & CYNTHIS	PDR GOLD TR GOLD SHS	75,162.16	0.00	1.15	-1.15	100.0	-1.15
* TOM/MARC/JP/DA FT-T125 THO/LINS/CIR SUBTOTAL *			\$75,162.16	\$0.00	\$1.15	\$-1.15		\$-1.15
<u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u>								
04/18/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.47	0.00	0.47	100.0	0.47
04/18/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.33	0.00	0.33	100.0	0.33
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$0.80	\$0.00	\$0.80		\$0.80
*** SPLIT TRANSACTIONS TOTAL ***			\$631,544.41	\$10,980.69	\$31.41	\$10,949.28		\$10,949.28

VERRIDE TRANSACTIONS

<u>BROKER: MARC, L134 LINSKY #10</u>								
05/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
05/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
05/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	10.00	0.00	10.00	90.0	0.17
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.50	0.00	7.50	90.0	0.13
04/30/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$1,950.00	\$61.25	\$0.00	\$61.25		\$1.05
<u>BROKER: JEFFREY, K141 KIESNOSKI #243</u>								
04/05/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.00	0.00	3.00	94.0	0.18
04/19/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.29	0.00	0.29	94.0	0.02
04/16/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.16	0.00	2.16	94.0	0.13
04/16/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.30	0.00	0.30	94.0	0.02
04/11/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	94.0	0.02
04/17/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.38	0.00	2.38	94.0	0.14
04/11/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.23	0.00	1.23	94.0	0.07
04/23/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.09	0.00	1.09	94.0	0.07
04/23/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	94.0	0.01
* JEFFREY, K141 KIESNOSKI SUBTOTAL *			\$0.00	\$10.94	\$0.00	\$10.94		\$0.66

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROY, P123 PFLEGER II #244 </u>								
04/18/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	75.0	0.18
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.40	\$0.00	\$1.40		\$0.18
<u> BROKER: JON PAUL, C141 CIRELLI #246 </u>								
04/30/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	547,883.77	227.76	0.00	227.76	95.0	11.39
04/27/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	11.18	0.00	11.18	95.0	0.56
04/27/2018	JARDIN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	33.53	0.00	33.53	95.0	1.68
04/20/2018	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.66	0.00	21.66	95.0	1.08
04/18/2018	WAGNER, DENNIS	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.71	0.00	5.71	95.0	0.29
04/18/2018	JARDIN, DEAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.48	0.00	10.48	95.0	0.52
04/11/2018	DODGE, CHERYL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.38	0.00	5.38	95.0	0.27
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$547,883.77	\$315.70	\$0.00	\$315.70		\$15.79
<u> BROKER: ALAN, L133 LICHTENSTEIN #249 </u>								
04/19/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.25	0.00	3.25	75.0	0.41
03/31/2018	RUSSO REV TR, EUGENIE	GRIFFIN-AMERICAN HC REIT IV T	10,000.00	24.66	0.00	24.66	75.0	3.08
04/11/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.51	0.00	1.51	75.0	0.19
04/11/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.85	0.00	2.85	75.0	0.36
04/11/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.57	0.00	3.57	75.0	0.45
04/11/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.45	0.00	1.45	75.0	0.18
04/11/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	75.0	0.06
04/11/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.75	0.00	0.75	75.0	0.09
04/11/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.85	0.00	2.85	75.0	0.36
04/11/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.02	0.00	3.02	75.0	0.38
04/11/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.14	0.00	2.14	75.0	0.27
04/17/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.17	0.00	2.17	75.0	0.27
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$10,000.00	\$48.68	\$0.00	\$48.68		\$6.10
<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/17/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.93	0.00	0.93	90.0	0.02
04/17/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	14.61	0.00	14.61	90.0	0.25
04/12/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.62	0.00	4.62	90.0	0.08
04/19/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.30	0.00	4.30	90.0	0.07
04/19/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.14	0.00	7.14	90.0	0.12
04/19/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.47	0.00	0.47	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/19/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.54	0.00	1.54	90.0	0.03
04/19/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
04/19/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
04/19/2018	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.02
04/19/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.00	0.00	1.00	90.0	0.02
04/19/2018	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	90.0	0.01
04/19/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.11	0.00	4.11	90.0	0.07
04/19/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.27	0.00	3.27	90.0	0.06
04/19/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.37	0.00	1.37	90.0	0.02
04/19/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.01
04/19/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.99	0.00	2.99	90.0	0.05
04/19/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.01	0.00	14.01	90.0	0.24
04/19/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.66	0.00	1.66	90.0	0.03
05/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
04/19/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.02
04/19/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.11	0.00	5.11	90.0	0.09
04/19/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.69	0.00	1.69	90.0	0.03
04/19/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.99	0.00	6.99	90.0	0.12
04/19/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.35	0.00	5.35	90.0	0.09
04/19/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.00	0.00	2.00	90.0	0.03
04/19/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.01
04/19/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.02
04/19/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.77	0.00	1.77	90.0	0.03
04/19/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.01
04/19/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.18	0.00	1.18	90.0	0.02
04/19/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.72	0.00	0.72	90.0	0.01
04/19/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.01	0.00	3.01	90.0	0.05
04/19/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.96	0.00	2.96	90.0	0.05
04/19/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.07	0.00	6.07	90.0	0.10
04/19/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.16	0.00	6.16	90.0	0.10
04/19/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.61	0.00	1.61	90.0	0.03
04/19/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.63	0.00	9.63	90.0	0.16
04/19/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.72	0.00	0.72	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/19/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	1.17	0.00	1.17	90.0	0.02
04/19/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
04/19/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	1.00	0.00	1.00	90.0	0.02
04/19/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
04/19/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.62	0.00	0.62	90.0	0.01
04/19/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.62	0.00	0.62	90.0	0.01
04/19/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.08	0.00	1.08	90.0	0.02
04/19/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.77	0.00	5.77	90.0	0.10
04/19/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.52	0.00	13.52	90.0	0.23
04/19/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.24	0.00	5.24	90.0	0.09
04/19/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	5.02	0.00	5.02	90.0	0.09
04/19/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.09	0.00	5.09	90.0	0.09
04/19/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.46	0.00	4.46	90.0	0.08
04/19/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.80	0.00	20.80	90.0	0.35
04/19/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.19	0.00	3.19	90.0	0.05
04/19/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.83	0.00	0.83	90.0	0.01
04/19/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.83	0.00	0.83	90.0	0.01
04/19/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.18	0.00	1.18	90.0	0.02
04/19/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.68	0.00	1.68	90.0	0.03
04/19/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.13	0.00	6.13	90.0	0.10
04/19/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.72	0.00	0.72	90.0	0.01
04/19/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.54	0.00	1.54	90.0	0.03
04/19/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.16	0.00	1.16	90.0	0.02
04/19/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
04/19/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.99	0.00	0.99	90.0	0.02
04/19/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
04/12/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.84	0.00	2.84	90.0	0.05
04/19/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	18.91	0.00	18.91	90.0	0.32
04/20/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.62	0.00	1.62	90.0	0.03
04/20/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	5.07	0.00	5.07	90.0	0.09
05/10/2018	KRAMER, LARRY	CISCO SYSTEMS INC	-8,138.84	30.00	22.50	7.50	90.0	0.13
04/24/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.19	0.00	1.19	90.0	0.02
04/24/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	16.13	0.00	16.13	90.0	0.27

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/24/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.16	0.00	8.16	90.0	0.14
04/24/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	3.04	0.00	3.04	90.0	0.05
04/24/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.14	0.00	1.14	90.0	0.02
04/24/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.31	0.00	0.31	90.0	0.01
04/24/2018	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.36	0.00	0.36	90.0	0.01
04/24/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.03	0.00	1.03	90.0	0.02
04/24/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.51	0.00	2.51	90.0	0.04
04/24/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.18	0.00	1.18	90.0	0.02
04/24/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.34	0.00	12.34	90.0	0.21
04/24/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.44	0.00	2.44	90.0	0.04
04/23/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.01
04/23/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.59	0.00	0.59	90.0	0.01
04/13/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.86	0.00	0.86	90.0	0.01
04/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.56	0.00	3.56	90.0	0.06
04/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	63.21	0.00	63.21	90.0	1.07
04/12/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.77	0.00	0.77	90.0	0.01
04/18/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.10	0.00	1.10	90.0	0.02
04/18/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.41	0.00	0.41	90.0	0.01
04/20/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	8.00	0.00	8.00	90.0	0.14
04/20/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.01	0.00	9.01	90.0	0.15
04/20/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.54	0.00	1.54	90.0	0.03
04/20/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.15	0.00	6.15	90.0	0.10
04/20/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.27	0.00	2.27	90.0	0.04
04/19/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.72	0.00	14.72	90.0	0.25
04/19/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.91	0.00	2.91	90.0	0.05
04/19/2018	HASTINGS TRUST, OSCAR	FRANKLIN INCOME A	0.00	1.14	0.00	1.14	90.0	0.02
04/19/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.31	0.00	5.31	90.0	0.09
04/19/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.78	0.00	0.78	90.0	0.01
04/19/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.58	0.00	0.58	90.0	0.01
04/19/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.87	0.00	2.87	90.0	0.05
04/19/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.91	0.00	17.91	90.0	0.30
04/19/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.69	0.00	0.69	90.0	0.01
04/18/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.33	0.00	1.33	90.0	0.02

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/18/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.99	0.00	3.99	90.0	0.07
04/18/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.17	0.00	3.17	90.0	0.05
04/18/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.51	0.00	9.51	90.0	0.16
04/18/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	0.08
04/18/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.73	0.00	14.73	90.0	0.25
04/18/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.80	0.00	7.80	90.0	0.13
04/18/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	23.40	0.00	23.40	90.0	0.40
04/18/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.59	0.00	10.59	90.0	0.18
04/18/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.78	0.00	31.78	90.0	0.54
04/18/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.35	0.00	2.35	90.0	0.04
04/18/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.06	0.00	7.06	90.0	0.12
04/18/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.04	0.00	4.04	90.0	0.07
04/18/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.13	0.00	12.13	90.0	0.21
04/18/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.01	0.00	15.01	90.0	0.26
04/18/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.02	0.00	45.02	90.0	0.77
04/18/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.36	0.00	6.36	90.0	0.11
04/18/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.07	0.00	19.07	90.0	0.32
04/18/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.48	0.00	45.48	90.0	0.77
04/18/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.44	0.00	136.44	90.0	2.32
04/18/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.25	0.00	2.25	90.0	0.04
04/18/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.74	0.00	6.74	90.0	0.11
04/18/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.19	0.00	21.19	90.0	0.36
04/18/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	63.57	0.00	63.57	90.0	1.08
04/18/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.48	0.00	7.48	90.0	0.13
04/18/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.45	0.00	22.45	90.0	0.38
04/18/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.29	0.00	8.29	90.0	0.14
04/18/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.86	0.00	24.86	90.0	0.42
04/18/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.68	0.00	0.68	90.0	0.01
04/18/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.05	0.00	2.05	90.0	0.03
04/18/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	10.53	0.00	10.53	90.0	0.18
04/18/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	31.58	0.00	31.58	90.0	0.54
04/18/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.43	0.00	4.43	90.0	0.08
04/18/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.26	0.00	6.26	90.0	0.11

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04/18/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.22	0.00	4.22	90.0	0.07
04/18/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.26	0.00	5.26	90.0	0.09
04/24/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	13.02	0.00	13.02	90.0	0.22
04/24/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.42	0.00	4.42	90.0	0.08
04/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.08	0.00	4.08	90.0	0.07
04/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	92.76	0.00	92.76	90.0	1.58
04/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	44.62	0.00	44.62	90.0	0.76
04/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	40.45	0.00	40.45	90.0	0.69
04/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	487.53	0.00	487.53	90.0	8.29
04/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	12.26	0.00	12.26	90.0	0.21
04/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.99	0.00	7.99	90.0	0.14
04/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.52	0.00	8.52	90.0	0.14
04/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	36.08	0.00	36.08	90.0	0.61
04/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	33.67	0.00	33.67	90.0	0.57
04/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	12.27	0.00	12.27	90.0	0.21
04/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	25.80	0.00	25.80	90.0	0.44
04/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.55	0.00	11.55	90.0	0.20
04/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.91	0.00	2.91	90.0	0.05
04/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.91	0.00	2.91	90.0	0.05
04/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.37	0.00	4.37	90.0	0.07
04/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	78.59	0.00	78.59	90.0	1.34
04/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	49.01	0.00	49.01	90.0	0.83
04/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.55	0.00	22.55	90.0	0.38
04/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.49	0.00	15.49	90.0	0.26
04/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.56	0.00	22.56	90.0	0.38
04/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	5.11	0.00	5.11	90.0	0.09
04/17/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.48	0.00	0.48	90.0	0.01
04/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	46.49	0.00	46.49	90.0	0.79
04/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.81	0.00	20.81	90.0	0.35
04/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.11	0.00	11.11	90.0	0.19
04/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.25	0.00	4.25	90.0	0.07
04/21/2018	MCFADDEN, JOAN	ING LANDMARK	82,582.08	206.46	0.00	206.46	90.0	3.51
04/18/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	137.46	0.00	137.46	90.0	2.34

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04/18/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.40	0.00	4.40	90.0	0.07
04/18/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	289.45	0.00	289.45	90.0	4.92
04/18/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	10.47	0.00	10.47	90.0	0.18
04/18/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.55	0.00	0.55	90.0	0.01
04/18/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	60.22	0.00	60.22	90.0	1.02
04/18/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.65	0.00	1.65	90.0	0.03
04/18/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.07	0.00	13.07	90.0	0.22
04/18/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	13.31	0.00	13.31	90.0	0.23
04/18/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	41.79	0.00	41.79	90.0	0.71
04/18/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.54	0.00	0.54	90.0	0.01
04/18/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.08	0.00	1.08	90.0	0.02
04/18/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.28	0.00	9.28	90.0	0.16
04/18/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.40	0.00	0.40	90.0	0.01
04/18/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	16.74	0.00	16.74	90.0	0.28
04/18/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.45	0.00	0.45	90.0	0.01
04/18/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.88	0.00	0.88	90.0	0.01
04/17/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.14	0.00	1.14	90.0	0.02
05/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
04/30/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	0.00	226.27	0.00	226.27	90.0	3.85
04/30/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	0.00	238.95	0.00	238.95	90.0	4.06
04/30/2018	NANI, JOSEPH	JNL PERSPECTIVE L	0.00	342.29	0.00	342.29	90.0	5.82
04/30/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	0.00	405.78	0.00	405.78	90.0	6.90
04/30/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	0.00	91.38	0.00	91.38	90.0	1.55
04/30/2018	OTT, CAROL	JOHN HANCOCK VENTURE	13,965.97	2.91	0.00	2.91	90.0	0.05
04/30/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	197,696.15	164.75	0.00	164.75	90.0	2.80
04/16/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.01
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.10	0.00	3.10	90.0	0.05
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.16	0.00	2.16	90.0	0.04
04/23/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.88	0.00	2.88	90.0	0.05
04/23/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.07	0.00	8.07	90.0	0.14
04/23/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.61	0.00	2.61	90.0	0.04
04/23/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	120.09	0.00	120.09	90.0	2.04
04/23/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	123.03	0.00	123.03	90.0	2.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/23/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.56	0.00	29.56	90.0	0.50
04/23/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.17	0.00	20.17	90.0	0.34
04/23/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.95	0.00	0.95	90.0	0.02
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.12	0.00	7.12	90.0	0.12
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.19	0.00	1.19	90.0	0.02
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.53	0.00	8.53	90.0	0.15
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.87	0.00	1.87	90.0	0.03
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.33	0.00	1.33	90.0	0.02
04/23/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.56	0.00	3.56	90.0	0.06
04/23/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.97	0.00	10.97	90.0	0.19
04/23/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.96	0.00	5.96	90.0	0.10
04/23/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.22	0.00	6.22	90.0	0.11
04/23/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.60	0.00	14.60	90.0	0.25
04/23/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.94	0.00	2.94	90.0	0.05
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.64	0.00	3.64	90.0	0.06
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.71	0.00	0.71	90.0	0.01
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.14	0.00	4.14	90.0	0.07
04/23/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.81	0.00	0.81	90.0	0.01
04/23/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.11	0.00	2.11	90.0	0.04
04/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	0.03
04/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.50	0.00	20.50	90.0	0.35
04/23/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	0.03
04/23/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.05
04/23/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.04
04/23/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.16	0.00	11.16	90.0	0.19
04/23/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.47	0.00	99.47	90.0	1.69
04/23/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.28	0.00	11.28	90.0	0.19
04/23/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	4.42	0.00	4.42	90.0	0.08
04/23/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	0.11
04/23/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	0.16
04/23/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	0.08
04/23/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	0.22
04/11/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.84	0.00	9.84	90.0	0.17

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
04/11/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.87	0.00	2.87	90.0	0.05
04/11/2018	FARBMAN TRUST, DANIEL & SANDRAMAINSTAY CUSHING ROYALTY ENERGY INC		0.00	1.97	0.00	1.97	90.0	0.03
04/11/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
04/11/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	0.02
04/11/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.80	0.00	0.80	90.0	0.01
04/17/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	7.07	0.00	7.07	90.0	0.12
04/17/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	17.62	0.00	17.62	90.0	0.30
04/17/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.97	0.00	7.97	90.0	0.14
04/17/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	17.68	0.00	17.68	90.0	0.30
04/17/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.25	0.00	16.25	90.0	0.28
04/17/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.71	0.00	0.71	90.0	0.01
04/17/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.82	0.00	9.82	90.0	0.17
04/17/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	23.52	0.00	23.52	90.0	0.40
04/17/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	9.15	0.00	9.15	90.0	0.16
04/17/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	21.59	0.00	21.59	90.0	0.37
04/17/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	6.26	0.00	6.26	90.0	0.11
04/17/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.40	0.00	6.40	90.0	0.11
04/17/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	28.63	0.00	28.63	90.0	0.49
04/17/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	13.43	0.00	13.43	90.0	0.23
04/17/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.79	0.00	9.79	90.0	0.17
04/17/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	15.24	0.00	15.24	90.0	0.26
04/17/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	3.16	0.00	3.16	90.0	0.05
04/16/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.38	0.00	1.38	90.0	0.02
04/16/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.33	0.00	1.33	90.0	0.02
04/16/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.01
04/16/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.65	0.00	0.65	90.0	0.01
04/16/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.50	0.00	2.50	90.0	0.04
04/16/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.22	0.00	3.22	90.0	0.05
04/16/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	7.98	0.00	7.98	90.0	0.14
04/16/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.68	0.00	2.68	90.0	0.05
04/16/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	0.03
04/25/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	262.36	0.00	262.36	90.0	4.46
04/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	152.40	0.00	152.40	90.0	2.59

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
04/23/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	15.03	0.00	15.03	90.0	0.26
04/23/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.36	0.00	3.36	90.0	0.06
04/18/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.11	0.00	13.11	90.0	0.22
04/18/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.91	0.00	17.91	90.0	0.30
04/18/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.89	0.00	5.89	90.0	0.10
04/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	44.66	0.00	44.66	90.0	0.76
04/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	133.98	0.00	133.98	90.0	2.28
04/19/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.28	0.00	11.28	90.0	0.19
04/19/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.68	0.00	1.68	90.0	0.03
04/19/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.01
04/19/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.08	0.00	11.08	90.0	0.19
04/25/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	0.32	0.00	0.32	90.0	0.01
04/23/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.51	0.00	0.51	90.0	0.01
04/10/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.82	0.00	6.82	90.0	0.12
04/11/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.67	0.00	10.67	90.0	0.18
04/11/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.98	0.00	22.98	90.0	0.39
04/11/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.96	0.00	10.96	90.0	0.19
04/11/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	19.06	0.00	19.06	90.0	0.32
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	7.85	0.00	7.85	90.0	0.13
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.95	0.00	0.95	90.0	0.02
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.67	0.00	0.67	90.0	0.01
* MARC, L134 LINSKY SUBTOTAL *			\$286,630.36	\$6,106.62	\$22.50	\$6,084.12		\$103.49
<u>BROKER: DANIEL, C142 CHARLEY #338</u>								
04/30/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	9,796.11	44.70	0.00	44.70	90.0	0.76
* DANIEL, C142 CHARLEY SUBTOTAL *			\$9,796.11	\$44.70	\$0.00	\$44.70		\$0.76
*** OVERRIDE TRANSACTIONS TOTAL ***			\$856,260.24	\$6,589.29	\$22.50	\$6,566.79		\$128.03

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<u>ADJUSTMENTS</u>								
05/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
05/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
05/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
05/15/2018		*EO INS: CURRENT MONTH	0.00					-150.00
05/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
05/15/2018		*EO INS: CURRENT MONTH INS	0.00					-81.00
05/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
05/15/2018		*EO INS: E&O WEATHERBIE	0.00					-75.00
05/15/2018		*FEDERAL EXPRESS: 6-162-57416 4/25/18	0.00					-55.81
05/15/2018		*FEDERAL EXPRESS: 6-169-31526 5/2/18	0.00					-26.00
05/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
05/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
05/15/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
05/15/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
05/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00
05/15/2018		*MISC: OVERNIGHT FEE 4/30/18	0.00					-13.34
05/15/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
05/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-2.86
05/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-2.70
05/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.84
05/15/2018		*PERSHING: PERSHING MARKUP	0.00					8.10
05/15/2018		*PERSHING: PERSHING MARKUP	0.00					1.50
05/15/2018		*PERSHING: STOP CK FEE - JOE IAQUINTO	0.00					-2.50
05/15/2018		*PERSHING: STOP CK FEE - LYNDA BRUNSWICK	0.00					-5.00
05/15/2018		*PERSHING: STREAMING QUOTES	0.00					-68.00
05/15/2018		*PERSHING: VOLUNTARY REORG - PNW083723 NEW YORK REIT	0.00					-12.50
05/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH	0.00					42.66

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<u>ADJUSTMENTS</u>								
		OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLI						
05/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVERRIDES PAYABLE TO RAUPP FROM KIESNOKSI FROM SP	0.00					7.98
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CHARLEY	0.00					0.76
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CHARLEY FROM SPLITS	0.00					5.80
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM CIRELLI FROM SPLITS	0.00					212.70
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM KIESNOSKI FROM SPLITS	0.00					47.39
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY	0.00					100.08
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM LINSKY FROM SPLITS	0.00					6.93
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM PECHA FROM SPLITS	0.00					80.30
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM PFLEGER FROM SPLITS	0.00					6.07
05/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES PAYABLE TO RAUPP FROM THOMPSON FROM SPLITS	0.00					-0.57
05/15/2018		*TECH: ADMIN FULMER	0.00					-50.00
05/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-34.00
05/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
05/15/2018		*TECH: CURRENT MONTH TECH	0.00					-74.25
05/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
05/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00

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<u>ADJUSTMENTS</u>								
05/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-137.50
05/15/2018		*TECHNOLOGY: CURRENT MONTH RAUPP	0.00					-275.00
05/15/2018		*TECHNOLOGY: MONTHLY - WEATHERBIE	0.00					-68.75
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$2,323.90

BROKER COMMISSION TOTALS

Broker Transactions	\$17,444.93
Split Transactions	\$10,949.28
Override Transactions	\$128.03
Adjustments	\$2,323.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$30,846.14
Year-to-date Gross	\$995,941.94
Year-to-date Earnings	\$1,001,721.55

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