

**COMMISSION STATEMENT****December 15, 2017**

ROBIN EDGAR  
1161 WAYZATA BLVD E  
#400  
Wayzata, MN 55391

BROKER #: E119  
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/29/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	10,850.00	50.00	27.00	23.00	90.0	20.70
11/30/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	4,640.00	50.00	27.00	23.00	90.0	20.70
12/05/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	8,171.25	50.00	22.75	27.25	90.0	24.53
* STOCKS SUBTOTAL *			\$23,661.25	\$150.00	\$76.75	\$73.25		\$65.93
*** CLEARING TRANSACTIONS TOTAL ***			\$23,661.25	\$150.00	\$76.75	\$73.25		\$65.93
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
10/30/2017	EDGAR, ROBIN	BOURNE SENIORS HOUSING III	26,881.72	0.00	0.00	0.00	90.0	0.00
11/17/2017	GOCHE, ANN	BOURNE SENIORS HOUSING III	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
11/13/2017	TORNQUIST, PATRICIA	GPB AUTOMOTIVE	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
10/30/2017	EDGAR, ROBIN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	90.0	0.00
11/13/2017	MICHEL, JOHN	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
11/13/2017	TORNQUIST, PATRICIA	HPI FUND V	75,000.00	4,500.00	0.00	4,500.00	90.0	4,050.00
11/17/2017	GOCHE, ANN	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* PRIVATE PLACEMENT SUBTOTAL *			\$301,881.72	\$14,750.00	\$0.00	\$14,750.00		\$13,275.00
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
11/17/2017	GOCHE, ANN	US ENERGY STRATEGIC ENERGY INC FD V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
11/17/2017	GOCHE, ANN	WAVELAND RESOURCE PARTNERS IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* OIL & GAS SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/07/2017	POST, KENNETH	AM FUNDS AMCAP A	166.88	4.59	0.00	4.59	90.0	4.13
12/07/2017	POST, KENNETH	AM FUNDS AMCAP A	166.88	4.59	0.00	4.59	90.0	4.13
12/06/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/06/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
12/07/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	57.29	1.58	0.00	1.58	90.0	1.42
12/07/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	57.29	1.58	0.00	1.58	90.0	1.42
12/07/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	57.29	1.58	0.00	1.58	90.0	1.42
12/07/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	57.29	1.58	0.00	1.58	90.0	1.42
12/04/2017	BURNSIDE, KELLY	AM FUNDS BALANCED PORT A	41,049.48	820.99	0.00	820.99	90.0	738.89
12/07/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	152.47	4.19	0.00	4.19	90.0	3.77
12/07/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	152.47	4.19	0.00	4.19	90.0	3.77
12/04/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	500.00	5.00	0.00	5.00	90.0	4.50
12/05/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	200.00	3.20	0.00	3.20	90.0	2.88
12/04/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	5.00	0.00	5.00	90.0	4.50
12/06/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
12/07/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	52.65	1.45	0.00	1.45	90.0	1.31
12/07/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	105.30	2.90	0.00	2.90	90.0	2.61
11/20/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	35.02	0.96	0.00	0.96	90.0	0.86
11/20/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	35.02	0.96	0.00	0.96	90.0	0.86
12/04/2017	BURNSIDE, KELLY	AM FUNDS GROWTH AND INCOME A	54,736.42	1,094.73	0.00	1,094.73	90.0	985.26
12/04/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	250.00	2.50	0.00	2.50	90.0	2.25
12/04/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.00	0.69	0.00	0.69	90.0	0.62
12/04/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.00	0.69	0.00	0.69	90.0	0.62
12/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	150.00	7.50	0.00	7.50	90.0	6.75
12/07/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	50.99	1.40	0.00	1.40	90.0	1.26
12/07/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	67.98	1.87	0.00	1.87	90.0	1.68
12/07/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	65.53	1.80	0.00	1.80	90.0	1.62
12/07/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	87.37	2.40	0.00	2.40	90.0	2.16
12/07/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	198.72	5.46	0.00	5.46	90.0	4.91
12/07/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	198.72	5.46	0.00	5.46	90.0	4.91
12/07/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	127.33	3.50	0.00	3.50	90.0	3.15
12/07/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	424.43	11.67	0.00	11.67	90.0	10.50
12/08/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
12/08/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
12/08/2017	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	55.21	2.07	0.00	2.07	90.0	1.86
12/08/2017	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	33.12	1.24	0.00	1.24	90.0	1.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/08/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
12/08/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
12/07/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	52.65	1.45	0.00	1.45	90.0	1.31
12/07/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	105.30	2.90	0.00	2.90	90.0	2.61
12/07/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	59.03	1.62	0.00	1.62	90.0	1.46
12/07/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	59.03	1.62	0.00	1.62	90.0	1.46
11/20/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	67.21	1.85	0.00	1.85	90.0	1.67
11/20/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	40.33	1.11	0.00	1.11	90.0	1.00
12/04/2017	BURNSIDE, KELLY	AM FUNDS GROWTH PORT A	41,052.31	821.05	0.00	821.05	90.0	738.95
12/04/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	300.00	3.00	0.00	3.00	90.0	2.70
12/04/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.26	1.30	0.00	1.30	90.0	1.17
12/04/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.36	0.78	0.00	0.78	90.0	0.70
12/05/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	150.00	7.50	0.00	7.50	90.0	6.75
12/07/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	50.18	1.38	0.00	1.38	90.0	1.24
12/07/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	66.89	1.84	0.00	1.84	90.0	1.66
12/07/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	67.30	1.85	0.00	1.85	90.0	1.67
12/07/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	89.74	2.47	0.00	2.47	90.0	2.22
12/07/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	5.21	0.14	0.00	0.14	90.0	0.13
12/07/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	6.95	0.19	0.00	0.19	90.0	0.17
12/07/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	200.79	5.52	0.00	5.52	90.0	4.97
12/07/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	334.64	9.20	0.00	9.20	90.0	8.28
12/08/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
12/08/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
12/07/2017	FIELD, BARRY	AM FUNDS ICA A	52.65	1.45	0.00	1.45	90.0	1.31
12/07/2017	FIELD, BARRY	AM FUNDS ICA A	105.28	2.90	0.00	2.90	90.0	2.61
12/06/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
12/07/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	52.64	1.45	0.00	1.45	90.0	1.31
12/07/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	105.30	2.90	0.00	2.90	90.0	2.61
12/04/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	500.00	5.00	0.00	5.00	90.0	4.50
12/04/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	500.00	5.00	0.00	5.00	90.0	4.50
12/06/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
11/20/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.44	0.62	0.00	0.62	90.0	0.56
11/20/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	22.44	0.62	0.00	0.62	90.0	0.56

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/20/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	81.49	2.24	0.00	2.24	90.0	2.02
11/20/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	40.74	1.12	0.00	1.12	90.0	1.01
11/20/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	54.90	1.51	0.00	1.51	90.0	1.36
11/20/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	32.94	0.91	0.00	0.91	90.0	0.82
12/04/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	21.72	0.60	0.00	0.60	90.0	0.54
12/04/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	21.72	0.60	0.00	0.60	90.0	0.54
12/04/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	63.04	1.73	0.00	1.73	90.0	1.56
12/04/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	31.52	0.87	0.00	0.87	90.0	0.78
12/04/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	46.13	1.27	0.00	1.27	90.0	1.14
12/04/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	27.68	0.76	0.00	0.76	90.0	0.68
12/04/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	250.00	2.50	0.00	2.50	90.0	2.25
12/05/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	5.00	0.00	5.00	90.0	4.50
12/08/2017	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	55.21	2.07	0.00	2.07	90.0	1.86
12/08/2017	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	33.13	1.24	0.00	1.24	90.0	1.12
12/08/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
12/08/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
12/07/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	1,000.00	27.50	0.00	27.50	90.0	24.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$146,724.80</b>	<b>\$2,976.93</b>	<b>\$0.00</b>	<b>\$2,976.93</b>		<b>\$2,679.26</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	LANGFALD, RONALD	AM FUNDS AMCAP A	0.00	37.97	0.00	37.97	90.0	34.17
12/01/2017	LANGFALD, ARDIS	AM FUNDS AMCAP A	0.00	23.98	0.00	23.98	90.0	21.58
12/01/2017	MOKLESTAD, NANCY	AM FUNDS AMCAP A	0.00	2.10	0.00	2.10	90.0	1.89
12/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMCAP A	0.00	9.66	0.00	9.66	90.0	8.69
12/01/2017	MEINDERS, DAWN	AM FUNDS AMCAP A	0.00	8.24	0.00	8.24	90.0	7.42
12/01/2017	VANDER WILT, BRIAN	AM FUNDS AMCAP A	0.00	4.06	0.00	4.06	90.0	3.65
12/01/2017	GERZEMA, MARLENE	AM FUNDS AMCAP A	0.00	19.96	0.00	19.96	90.0	17.96
12/01/2017	HEMER, EILEEN	AM FUNDS AMCAP A	0.00	39.41	0.00	39.41	90.0	35.47
12/01/2017	POST, KENNETH	AM FUNDS AMCAP A	0.00	6.75	0.00	6.75	90.0	6.08
12/01/2017	LEONARD, GARY	AM FUNDS AMERICAN BALANCED 529A	0.00	14.80	0.00	14.80	90.0	13.32
11/20/2017	SWIFT, STEPHEN	AM FUNDS AMERICAN BALANCED A	0.00	32.52	0.00	32.52	90.0	29.27
11/20/2017	KONEFES, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	31.09	0.00	31.09	90.0	27.98
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS AMERICAN BALANCED A	0.00	17.22	0.00	17.22	90.0	15.50
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN BALANCED A	0.00	34.70	0.00	34.70	90.0	31.23

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	DOANE, ELDON	AM FUNDS AMERICAN BALANCED A	0.00	9.60	0.00	9.60	90.0	8.64
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS AMERICAN BALANCED A	0.00	26.99	0.00	26.99	90.0	24.29
12/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	8.07	0.00	8.07	90.0	7.26
12/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	5.76	0.00	5.76	90.0	5.18
12/01/2017	WEBER, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	5.35	0.00	5.35	90.0	4.82
12/01/2017	MATHISON, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	14.56	0.00	14.56	90.0	13.10
12/01/2017	DAHL, NANCY JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	3.53	0.00	3.53	90.0	3.18
12/01/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	0.00	13.08	0.00	13.08	90.0	11.77
12/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	3.26	0.00	3.26	90.0	2.93
12/01/2017	MAKI, LINDA	AM FUNDS AMERICAN BALANCED A	0.00	4.01	0.00	4.01	90.0	3.61
12/01/2017	GOCHE, STEVE	AM FUNDS AMERICAN BALANCED A	0.00	21.19	0.00	21.19	90.0	19.07
12/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.22
12/01/2017	JOHNSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	2.97	0.00	2.97	90.0	2.67
12/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	3.94	0.00	3.94	90.0	3.55
12/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	64.97	0.00	64.97	90.0	58.47
12/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	5.95	0.00	5.95	90.0	5.36
12/01/2017	HANSON, SCOTT	AM FUNDS AMERICAN BALANCED A	0.00	7.09	0.00	7.09	90.0	6.38
12/01/2017	HANSON, CARRIE	AM FUNDS AMERICAN BALANCED A	0.00	9.78	0.00	9.78	90.0	8.80
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS AMERICAN BALANCED A	0.00	0.68	0.00	0.68	90.0	0.61
12/01/2017	GRIFFITT, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	10.23	0.00	10.23	90.0	9.21
12/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN BALANCED A	0.00	133.61	0.00	133.61	90.0	120.25
12/01/2017	VENTEICHER, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	7.55	0.00	7.55	90.0	6.80
12/01/2017	KETTWICK, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	26.82	0.00	26.82	90.0	24.14
12/01/2017	PRICE, TERESA	AM FUNDS AMERICAN BALANCED A	0.00	9.68	0.00	9.68	90.0	8.71
12/01/2017	ENRIGHT, EDWIN	AM FUNDS AMERICAN BALANCED A	0.00	19.19	0.00	19.19	90.0	17.27
12/01/2017	MEINDERS, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	9.10	0.00	9.10	90.0	8.19
12/01/2017	NUTTING, STUART	AM FUNDS AMERICAN BALANCED A	0.00	1.59	0.00	1.59	90.0	1.43
12/01/2017	VANDER WILT, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	5.37	0.00	5.37	90.0	4.83
12/01/2017	DAHL, JORGEN	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	90.0	0.78
12/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	4.63	0.00	4.63	90.0	4.17
12/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN BALANCED A	0.00	34.02	0.00	34.02	90.0	30.62
12/01/2017	SCHLAKE, ELROY	AM FUNDS AMERICAN BALANCED A	0.00	8.56	0.00	8.56	90.0	7.70
12/01/2017	NUTTING, MARY	AM FUNDS AMERICAN BALANCED A	0.00	24.22	0.00	24.22	90.0	21.80

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	UNDERWOOD, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	14.78	0.00	14.78	90.0	13.30
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS AMERICAN BALANCED A	0.00	12.43	0.00	12.43	90.0	11.19
12/01/2017	CAMPBELL, DENISE	AM FUNDS AMERICAN BALANCED A	0.00	22.56	0.00	22.56	90.0	20.30
12/01/2017	HEMER, EILEEN	AM FUNDS AMERICAN BALANCED A	0.00	0.29	0.00	0.29	90.0	0.26
12/01/2017	MOKLESTAD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	2.25	0.00	2.25	90.0	2.03
12/01/2017	DAHL, ERIK	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	90.0	0.18
12/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN BALANCED A	0.00	2.49	0.00	2.49	90.0	2.24
12/01/2017	GROSS, JUDY	AM FUNDS AMERICAN BALANCED A	0.00	4.75	0.00	4.75	90.0	4.28
12/01/2017	GROSS, ALISON	AM FUNDS AMERICAN BALANCED A	0.00	17.04	0.00	17.04	90.0	15.34
12/01/2017	LOW, ANN	AM FUNDS AMERICAN BALANCED A	0.00	16.61	0.00	16.61	90.0	14.95
12/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	20.27	0.00	20.27	90.0	18.24
12/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	10.15	0.00	10.15	90.0	9.14
12/01/2017	ENRIGHT, JANET	AM FUNDS AMERICAN BALANCED A	0.00	1.34	0.00	1.34	90.0	1.21
12/01/2017	BRUNS, JOLENE	AM FUNDS AMERICAN BALANCED C	0.00	36.96	0.00	36.96	90.0	33.26
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	22.10	0.00	22.10	90.0	19.89
12/01/2017	KESSLER, ROBERT	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	30.10	0.00	30.10	90.0	27.09
12/01/2017	LEONARD TRUST, REA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	67.71	0.00	67.71	90.0	60.94
12/01/2017	LEONARD, GARY	AM FUNDS AMERICAN MUTUAL 529A	0.00	1.63	0.00	1.63	90.0	1.47
12/01/2017	WEBER, PAUL	AM FUNDS AMERICAN MUTUAL A	0.00	3.03	0.00	3.03	90.0	2.73
12/01/2017	JOHNSON, DONNA	AM FUNDS AMERICAN MUTUAL A	0.00	22.02	0.00	22.02	90.0	19.82
12/01/2017	GRIFFITT, JAYNELLE	AM FUNDS AMERICAN MUTUAL A	0.00	9.21	0.00	9.21	90.0	8.29
12/01/2017	HOLLEY, CASEY	AM FUNDS AMERICAN MUTUAL A	0.00	0.53	0.00	0.53	90.0	0.48
12/01/2017	GERZEMA, MARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	31.53	0.00	31.53	90.0	28.38
12/01/2017	UHLENHOPP, JASON	AM FUNDS AMERICAN MUTUAL A	0.00	2.56	0.00	2.56	90.0	2.30
12/01/2017	JASS, ARLENE	AM FUNDS AMERICAN MUTUAL A	0.00	4.10	0.00	4.10	90.0	3.69
12/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	21.20	0.00	21.20	90.0	19.08
12/01/2017	AUDINO, JAMES	AM FUNDS AMERICAN MUTUAL A	0.00	8.73	0.00	8.73	90.0	7.86
12/01/2017	AUDINO, JAMES	AM FUNDS BALANCED PORT A	0.00	6.16	0.00	6.16	90.0	5.54
12/01/2017	SCHMIT, MARILYN	AM FUNDS BALANCED PORT A	0.00	40.41	0.00	40.41	90.0	36.37
12/01/2017	JOHNSON, DONNA	AM FUNDS BALANCED PORT A	0.00	2.72	0.00	2.72	90.0	2.45
12/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS BALANCED PORT A	0.00	18.06	0.00	18.06	90.0	16.25
12/01/2017	SCHROEDER, PHILIP	AM FUNDS BALANCED PORT A	0.00	43.30	0.00	43.30	90.0	38.97
12/01/2017	TORNQUIST, SCOTT	AM FUNDS BALANCED PORT A	0.00	94.47	0.00	94.47	90.0	85.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	ENGBRETSON, ROGER	AM FUNDS BALANCED PORT A	0.00	24.33	0.00	24.33	90.0	21.90
12/01/2017	UNDERWOOD, DEBRA	AM FUNDS BALANCED PORT A	0.00	6.72	0.00	6.72	90.0	6.05
12/01/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	0.00	13.19	0.00	13.19	90.0	11.87
12/01/2017	CRAWFORD, JON	AM FUNDS BALANCED PORT A	0.00	0.46	0.00	0.46	90.0	0.41
12/01/2017	KESSLER, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	38.66	0.00	38.66	90.0	34.79
12/01/2017	LEONARD, GARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	10.95	0.00	10.95	90.0	9.86
12/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	11.52	0.00	11.52	90.0	10.37
11/20/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.26	0.00	2.26	90.0	2.03
11/20/2017	KONEFES, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.39	0.00	2.39	90.0	2.15
12/01/2017	HEMER, JOE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.84	0.00	14.84	90.0	13.36
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.81	0.00	14.81	90.0	13.33
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.14	0.00	24.14	90.0	21.73
12/01/2017	DOANE, ELDON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.11	0.00	28.11	90.0	25.30
12/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.89	0.00	3.89	90.0	3.50
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	22.00	0.00	22.00	90.0	19.80
12/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.23	0.00	7.23	90.0	6.51
12/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.00	0.00	5.00	90.0	4.50
12/01/2017	SCHMIT, MARILYAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	37.87	0.00	37.87	90.0	34.08
12/01/2017	WEBER, PAUL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.68	0.00	4.68	90.0	4.21
12/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.88	0.00	13.88	90.0	12.49
12/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.08	0.00	11.08	90.0	9.97
12/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.64	0.00	2.64	90.0	2.38
12/01/2017	SCHLICHTING, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.63	0.00	3.63	90.0	3.27
12/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.30	0.00	3.30	90.0	2.97
12/01/2017	MAKI, LINDA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.91	0.00	4.91	90.0	4.42
12/01/2017	GOCHE, STEVE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.33	0.00	21.33	90.0	19.20
12/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
12/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.21	0.00	20.21	90.0	18.19
12/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.52	0.00	3.52	90.0	3.17
12/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.53	0.00	17.53	90.0	15.78
12/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	60.71	0.00	60.71	90.0	54.64
12/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.92	0.00	8.92	90.0	8.03
12/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.15	0.00	1.15	90.0	1.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.54	0.00	5.54	90.0	4.99
12/01/2017	GRIFFITT, JAYNELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.82	0.00	7.82	90.0	7.04
12/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	194.41	0.00	194.41	90.0	174.97
12/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.23	0.00	0.23	90.0	0.21
12/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.50	0.00	8.50	90.0	7.65
12/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	28.15	0.00	28.15	90.0	25.34
12/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.83	0.00	2.83	90.0	2.55
12/01/2017	PRICE, TERESA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	19.50	0.00	19.50	90.0	17.55
12/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	35.41	0.00	35.41	90.0	31.87
12/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.53	0.00	5.53	90.0	4.98
12/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.45	0.00	10.45	90.0	9.41
12/01/2017	NUTTING, STUART	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.18	0.00	2.18	90.0	1.96
12/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.45	0.00	7.45	90.0	6.71
12/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	66.52	0.00	66.52	90.0	59.87
12/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.89	0.00	5.89	90.0	5.30
12/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.14	0.00	13.14	90.0	11.83
12/01/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.15	0.00	1.15	90.0	1.04
12/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.56	0.00	7.56	90.0	6.80
12/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.29	0.00	1.29	90.0	1.16
12/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.30	0.00	10.30	90.0	9.27
12/01/2017	NUTTING, MARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	27.76	0.00	27.76	90.0	24.98
12/01/2017	ROUSE, DALE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.04	0.00	4.04	90.0	3.64
12/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.91	0.00	4.91	90.0	4.42
12/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.23	0.00	7.23	90.0	6.51
12/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.70	0.00	3.70	90.0	3.33
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	11.35	0.00	11.35	90.0	10.22
12/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	13.75	0.00	13.75	90.0	12.38
12/01/2017	LINDBORG, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.14	0.00	5.14	90.0	4.63
12/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.59	0.00	2.59	90.0	2.33
12/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.63	0.00	18.63	90.0	16.77
12/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.20	0.00	6.20	90.0	5.58
12/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	90.0	1.79
12/01/2017	BUSS, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	18.63	0.00	18.63	90.0	16.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	GROSS, JUDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.31	0.00	4.31	90.0	3.88
12/01/2017	GROSS, ALISON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	15.35	0.00	15.35	90.0	13.82
12/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.26	0.00	14.26	90.0	12.83
12/01/2017	LOW, ANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.91	0.00	7.91	90.0	7.12
12/01/2017	BRUNS, BENNETT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.34	0.00	0.34	90.0	0.31
12/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.32	0.00	17.32	90.0	15.59
12/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.03	0.00	8.03	90.0	7.23
12/01/2017	ENRIGHT, JANET	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.35	0.00	1.35	90.0	1.22
12/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD BOND A	0.00	8.17	0.00	8.17	90.0	7.35
12/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD BOND A	0.00	32.00	0.00	32.00	90.0	28.80
12/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD BOND A	0.00	4.32	0.00	4.32	90.0	3.89
12/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD BOND A	0.00	3.97	0.00	3.97	90.0	3.57
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD BOND C	0.00	22.98	0.00	22.98	90.0	20.68
12/01/2017	LEONARD, GARY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	19.34	0.00	19.34	90.0	17.41
12/01/2017	O'CONNELL, BAILEY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	13.66	0.00	13.66	90.0	12.29
11/20/2017	SWIFT, STEPHEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.51	0.00	2.51	90.0	2.26
11/20/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.60	0.00	2.60	90.0	2.34
12/01/2017	HEMER, JOE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	32.99	0.00	32.99	90.0	29.69
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	107.13	0.00	107.13	90.0	96.42
12/01/2017	DOANE, ELDON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	35.23	0.00	35.23	90.0	31.71
12/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.63	0.00	20.63	90.0	18.57
12/01/2017	O'CONNELL, LANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.10	0.00	4.10	90.0	3.69
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	24.33	0.00	24.33	90.0	21.90
12/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.39	0.00	11.39	90.0	10.25
12/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	43.36	0.00	43.36	90.0	39.02
12/01/2017	WEBER, PAUL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.37	0.00	5.37	90.0	4.83
12/01/2017	MATHISON, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.21	0.00	18.21	90.0	16.39
12/01/2017	DAHL, NANCY JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.20	0.00	4.20	90.0	3.78
12/01/2017	SCHLICHTING, LAURA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	13.84	0.00	13.84	90.0	12.46
12/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	75.89	0.00	75.89	90.0	68.30
12/01/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.71	0.00	14.71	90.0	13.24
12/01/2017	MAKI, LINDA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.47	0.00	4.47	90.0	4.02
12/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.58	0.00	0.58	90.0	0.52

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.84	0.00	12.84	90.0	11.56
12/01/2017	JOHNSON, DONNA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.32	0.00	19.32	90.0	17.39
12/01/2017	JOHNSON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.32	0.00	3.32	90.0	2.99
12/01/2017	KESSLER, JUDITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	23.61	0.00	23.61	90.0	21.25
12/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	61.87	0.00	61.87	90.0	55.68
12/01/2017	KESSLER, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.60	0.00	8.60	90.0	7.74
12/01/2017	NUTTING, BRETT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.19	0.00	4.19	90.0	3.77
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.98	0.00	6.98	90.0	6.28
12/01/2017	LEONARD TRUST, REA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	160.64	0.00	160.64	90.0	144.58
12/01/2017	VENTEICHER, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.16	0.00	9.16	90.0	8.24
12/01/2017	KETTWICK, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	63.36	0.00	63.36	90.0	57.02
12/01/2017	MEINDERS, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.12	0.00	5.12	90.0	4.61
12/01/2017	PRICE, TERESA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	19.27	0.00	19.27	90.0	17.34
12/01/2017	ENRIGHT, EDWIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	41.92	0.00	41.92	90.0	37.73
12/01/2017	MEINDERS, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.35	0.00	11.35	90.0	10.22
12/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.76	0.00	11.76	90.0	10.58
12/01/2017	NUTTING, STUART	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.63	0.00	4.63	90.0	4.17
12/01/2017	VANDER WILT, BRIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	8.59	0.00	8.59	90.0	7.73
12/01/2017	LANGFALD, ARDIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	115.25	0.00	115.25	90.0	103.73
12/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.94	0.00	20.94	90.0	18.85
12/01/2017	GERZEMA, MARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.11	0.00	12.11	90.0	10.90
12/01/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.34	0.00	1.34	90.0	1.21
12/01/2017	SCHLAKE, ELROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.92	0.00	6.92	90.0	6.23
12/01/2017	MCCLEES, MARTIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.41	0.00	1.41	90.0	1.27
12/01/2017	BRUNS, JOLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.95	0.00	15.95	90.0	14.36
12/01/2017	NUTTING, MARY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	30.90	0.00	30.90	90.0	27.81
12/01/2017	BROWN, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.69	0.00	1.69	90.0	1.52
12/01/2017	FIELD, BARRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.95	0.00	10.95	90.0	9.86
12/01/2017	KETTWICK, TROY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.33	0.00	3.33	90.0	3.00
12/01/2017	KETTWICK, CAROLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.20	0.00	5.20	90.0	4.68
12/01/2017	NUTTING, KIMBERLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.66	0.00	0.66	90.0	0.59
12/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.12	0.00	10.12	90.0	9.11
12/01/2017	REIDEL, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.22	0.00	12.22	90.0	11.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	12.78	0.00	12.78	90.0	11.50
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	9.28	0.00	9.28	90.0	8.35
12/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.01	0.00	15.01	90.0	13.51
12/01/2017	VANDERWILT, TRISHA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.97	0.00	3.97	90.0	3.57
12/01/2017	CAMPBELL, DENISE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	40.16	0.00	40.16	90.0	36.14
12/01/2017	HEMER, EILEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	22.98	0.00	22.98	90.0	20.68
12/01/2017	BURNSIDE, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	90.0	0.28
12/01/2017	LINDEMANN, ROBIN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.72	0.00	7.72	90.0	6.95
12/01/2017	MOKLESTAD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.33	0.00	2.33	90.0	2.10
12/01/2017	BUSS, KAREN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.14	0.00	20.14	90.0	18.13
12/01/2017	GROSS, JUDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.57	0.00	4.57	90.0	4.11
12/01/2017	KONEFES, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.66	0.00	3.66	90.0	3.29
12/01/2017	GROSS, ALISON	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.27	0.00	16.27	90.0	14.64
12/01/2017	JASS, ARLENE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.88	0.00	6.88	90.0	6.19
12/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	14.42	0.00	14.42	90.0	12.98
12/01/2017	LOW, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.53	0.00	7.53	90.0	6.78
12/01/2017	AUDINO, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.76	0.00	15.76	90.0	14.18
12/01/2017	ENRIGHT, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.46	0.00	1.46	90.0	1.31
12/01/2017	HAGEN, ALAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	21.37	0.00	21.37	90.0	19.23
12/01/2017	HEMER, JOE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.16	0.00	14.16	90.0	12.74
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.77	0.00	4.77	90.0	4.29
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.37	0.00	14.37	90.0	12.93
12/01/2017	AUDINO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.83	0.00	15.83	90.0	14.25
12/01/2017	MATHISON, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.80	0.00	8.80	90.0	7.92
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.05	0.00	6.05	90.0	5.45
12/01/2017	SCHLICHTING, STEVE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.65	0.00	6.65	90.0	5.99
12/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.74	0.00	3.74	90.0	3.37
12/01/2017	JOHNSON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.24	0.00	3.24	90.0	2.92
12/01/2017	KESSLER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	19.98	0.00	19.98	90.0	17.98
12/01/2017	NUTTING, BRETT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.88	0.00	3.88	90.0	3.49
12/01/2017	GRIFFITT, JAYNELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.96	0.00	8.96	90.0	8.06
12/01/2017	LEONARD TRUST, REA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	34.80	0.00	34.80	90.0	31.32
12/01/2017	KETTWICK, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	16.61	0.00	16.61	90.0	14.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	PRICE, TERESA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.79	0.00	8.79	90.0	7.91
12/01/2017	NUTTING, STUART	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.61	0.00	1.61	90.0	1.45
12/01/2017	NUTTING, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	35.09	0.00	35.09	90.0	31.58
12/01/2017	REIDEL, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.91	0.00	8.91	90.0	8.02
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.87	0.00	8.87	90.0	7.98
12/01/2017	CAMPBELL, DENISE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	24.87	0.00	24.87	90.0	22.38
12/01/2017	HEMER, EILEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	24.89	0.00	24.89	90.0	22.40
12/01/2017	UNDERWOOD, DEBRA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.03	0.00	3.03	90.0	2.73
12/01/2017	JASS, ARLENE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.28	0.00	5.28	90.0	4.75
12/01/2017	LEONARD, GARY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.73	0.00	7.73	90.0	6.96
12/01/2017	LANGFALD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	216.54	0.00	216.54	90.0	194.89
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	14.90	0.00	14.90	90.0	13.41
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	15.95	0.00	15.95	90.0	14.36
12/01/2017	WEBER, PAUL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.82	0.00	8.82	90.0	7.94
12/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.32	0.00	0.32	90.0	0.29
12/01/2017	JOHNSON, DONNA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	19.72	0.00	19.72	90.0	17.75
12/01/2017	KESSLER, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	25.10	0.00	25.10	90.0	22.59
12/01/2017	NUTTING, BRETT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	90.0	1.35
12/01/2017	GRIFFITT, KENNETH	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	13.96	0.00	13.96	90.0	12.56
12/01/2017	LEONARD TRUST, REA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	113.91	0.00	113.91	90.0	102.52
12/01/2017	NUTTING, MARY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	25.63	0.00	25.63	90.0	23.07
12/01/2017	UNDERWOOD, RONALD	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	17.34	0.00	17.34	90.0	15.61
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	10.09	0.00	10.09	90.0	9.08
12/01/2017	AUDINO, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	16.13	0.00	16.13	90.0	14.52
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS GLOBAL BALANCED A	0.00	49.63	0.00	49.63	90.0	44.67
12/01/2017	KESSLER, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	20.27	0.00	20.27	90.0	18.24
12/01/2017	LEONARD TRUST, REA	AM FUNDS GLOBAL BALANCED A	0.00	51.35	0.00	51.35	90.0	46.22
12/01/2017	PRICE, TERESA	AM FUNDS GLOBAL BALANCED A	0.00	1.20	0.00	1.20	90.0	1.08
12/01/2017	ENRIGHT, EDWIN	AM FUNDS GLOBAL BALANCED A	0.00	21.66	0.00	21.66	90.0	19.49
12/01/2017	GERZEMA, MARLENE	AM FUNDS GLOBAL BALANCED A	0.00	30.44	0.00	30.44	90.0	27.40
12/01/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	0.00	3.25	0.00	3.25	90.0	2.93
12/01/2017	LINDBORG, LISA	AM FUNDS GLOBAL BALANCED A	0.00	4.45	0.00	4.45	90.0	4.01
12/01/2017	HEMER, EILEEN	AM FUNDS GLOBAL BALANCED A	0.00	23.10	0.00	23.10	90.0	20.79

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	LINDEMANN, ROBIN	AM FUNDS GLOBAL BALANCED A	0.00	6.12	0.00	6.12	90.0	5.51
12/01/2017	LOW, ANN	AM FUNDS GLOBAL BALANCED A	0.00	15.63	0.00	15.63	90.0	14.07
12/01/2017	ENRIGHT, JANET	AM FUNDS GLOBAL BALANCED A	0.00	1.33	0.00	1.33	90.0	1.20
12/01/2017	BRUNS, JOLENE	AM FUNDS GLOBAL BALANCED C	0.00	4.12	0.00	4.12	90.0	3.71
12/01/2017	AUDINO, JAMES	AM FUNDS GROWTH AND INCOME A	0.00	12.80	0.00	12.80	90.0	11.52
12/01/2017	SCHWICKERATH-SCHROEDER,	AM FUNDS GROWTH AND INCOME A	0.00	18.71	0.00	18.71	90.0	16.84
12/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	31.54	0.00	31.54	90.0	28.39
12/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH AND INCOME A	0.00	189.57	0.00	189.57	90.0	170.61
12/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	18.37	0.00	18.37	90.0	16.53
12/01/2017	ENGBRETSON, ROGER	AM FUNDS GROWTH AND INCOME A	0.00	47.98	0.00	47.98	90.0	43.18
12/01/2017	UNDERWOOD, RONALD	AM FUNDS GROWTH AND INCOME A	0.00	7.02	0.00	7.02	90.0	6.32
12/01/2017	GIESKING, RANDE	AM FUNDS GROWTH AND INCOME A	0.00	1.43	0.00	1.43	90.0	1.29
12/01/2017	CARLSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	7.26	0.00	7.26	90.0	6.53
12/01/2017	WISTEY, ASHLEY	AM FUNDS GROWTH AND INCOME A	0.00	2.60	0.00	2.60	90.0	2.34
12/01/2017	HARTWELL, PATRICIA	AM FUNDS GROWTH AND INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
12/01/2017	DAHL, ERIK	AM FUNDS GROWTH AND INCOME A	0.00	0.22	0.00	0.22	90.0	0.20
12/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH AND INCOME A	0.00	3.67	0.00	3.67	90.0	3.30
12/01/2017	GROTHE, CHRISTI	AM FUNDS GROWTH AND INCOME A	0.00	9.98	0.00	9.98	90.0	8.98
12/01/2017	THORSON, JILL	AM FUNDS GROWTH AND INCOME A	0.00	0.55	0.00	0.55	90.0	0.50
12/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH AND INCOME A	0.00	2.09	0.00	2.09	90.0	1.88
12/01/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	0.00	2.53	0.00	2.53	90.0	2.28
12/01/2017	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	0.00	1.17	0.00	1.17	90.0	1.05
12/01/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	0.00	1.13	0.00	1.13	90.0	1.02
12/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH AND INCOME A	0.00	0.71	0.00	0.71	90.0	0.64
12/01/2017	KEHN, LEVI	AM FUNDS GROWTH AND INCOME A	0.00	3.96	0.00	3.96	90.0	3.56
12/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH AND INCOME A	0.00	5.24	0.00	5.24	90.0	4.72
12/01/2017	FORTUNE, DON	AM FUNDS GROWTH AND INCOME A	0.00	0.32	0.00	0.32	90.0	0.29
12/01/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	0.00	0.39	0.00	0.39	90.0	0.35
12/01/2017	KRAMER, MERVIN	AM FUNDS GROWTH AND INCOME A	0.00	0.51	0.00	0.51	90.0	0.46
12/01/2017	HEMER, JOE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	145.89	0.00	145.89	90.0	131.30
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.74	0.00	3.74	90.0	3.37
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	32.42	0.00	32.42	90.0	29.18
12/01/2017	WEBER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.03	0.00	13.03	90.0	11.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	54.07	0.00	54.07	90.0	48.66
12/01/2017	BRUNS, MARCUS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.50	0.00	7.50	90.0	6.75
12/01/2017	MATHISON, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.17	0.00	5.17	90.0	4.65
12/01/2017	DAHL, NANCY JOHNSON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.01	0.00	0.01	90.0	0.01
12/01/2017	GOCHE, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.12	0.00	9.12	90.0	8.21
12/01/2017	GOCHE, STEVE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	16.15	0.00	16.15	90.0	14.54
12/01/2017	HANSON, SCOTT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.03	0.00	18.03	90.0	16.23
12/01/2017	JOHNSON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.54	0.00	23.54	90.0	21.19
12/01/2017	JOHNSON, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.58	0.00	4.58	90.0	4.12
12/01/2017	MOKLESTAD, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.25	0.00	5.25	90.0	4.73
12/01/2017	KESSLER, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	35.72	0.00	35.72	90.0	32.15
12/01/2017	NUTTING, BRETT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	90.0	0.52
12/01/2017	GRIFFITT, KENNETH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.85	0.00	12.85	90.0	11.57
12/01/2017	LEONARD TRUST, REA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	91.15	0.00	91.15	90.0	82.04
12/01/2017	VENTEICHER, DEBRA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.87	0.00	10.87	90.0	9.78
12/01/2017	KETTWICK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.79	0.00	9.79	90.0	8.81
12/01/2017	MEINDERS, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.75	0.00	0.75	90.0	0.68
12/01/2017	NUTTING, STUART	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.93	0.00	2.93	90.0	2.64
12/01/2017	GERZEMA, MARLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	27.19	0.00	27.19	90.0	24.47
12/01/2017	SCHLAKE, ELROY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.70	0.00	5.70	90.0	5.13
12/01/2017	MCCLEES, MARTIN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.54	0.00	1.54	90.0	1.39
12/01/2017	BRUNS, JOLENE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.63	0.00	18.63	90.0	16.77
12/01/2017	NUTTING, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	23.45	0.00	23.45	90.0	21.11
12/01/2017	FIELD, BARRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.51	0.00	13.51	90.0	12.16
12/01/2017	REIDEL, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.54	0.00	13.54	90.0	12.19
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.43	0.00	10.43	90.0	9.39
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.96	0.00	10.96	90.0	9.86
12/01/2017	CAMPBELL, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	24.33	0.00	24.33	90.0	21.90
12/01/2017	HEMER, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	39.96	0.00	39.96	90.0	35.96
12/01/2017	UHLENHOPP, JASON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.83	0.00	2.83	90.0	2.55
12/01/2017	GROSS, ALISON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.30	0.00	19.30	90.0	17.37
12/01/2017	LOW, ANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.88	0.00	10.88	90.0	9.79
12/01/2017	AUDINO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.65	0.00	15.65	90.0	14.09

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	AUDINO, JAMES	AM FUNDS GROWTH PORT A	0.00	6.19	0.00	6.19	90.0	5.57
12/01/2017	SCHROEDER, PHILIP	AM FUNDS GROWTH PORT A	0.00	52.92	0.00	52.92	90.0	47.63
12/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	29.63	0.00	29.63	90.0	26.67
12/01/2017	TORNQUIST, SCOTT	AM FUNDS GROWTH PORT A	0.00	104.12	0.00	104.12	90.0	93.71
12/01/2017	KOSS-WINN BANC ESOP	AM FUNDS GROWTH PORT A	0.00	9.54	0.00	9.54	90.0	8.59
12/01/2017	ENGEBRETSON, ROGER	AM FUNDS GROWTH PORT A	0.00	22.47	0.00	22.47	90.0	20.22
12/01/2017	UNDERWOOD, DEBRA	AM FUNDS GROWTH PORT A	0.00	10.21	0.00	10.21	90.0	9.19
12/01/2017	CARLSON, JILL	AM FUNDS GROWTH PORT A	0.00	11.89	0.00	11.89	90.0	10.70
12/01/2017	PAGE, SUSAN	AM FUNDS GROWTH PORT A	0.00	0.73	0.00	0.73	90.0	0.66
12/01/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.21	0.00	0.21	90.0	0.19
12/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	1.91	0.00	1.91	90.0	1.72
12/01/2017	GANSEN, EVELYN	AM FUNDS GROWTH PORT A	0.00	0.42	0.00	0.42	90.0	0.38
12/01/2017	WINKELMAN, JOANNE	AM FUNDS GROWTH PORT A	0.00	1.14	0.00	1.14	90.0	1.03
12/01/2017	HEMINGSEN, LAURA	AM FUNDS GROWTH PORT A	0.00	6.14	0.00	6.14	90.0	5.53
12/01/2017	HARDER, ANGELA	AM FUNDS GROWTH PORT A	0.00	4.36	0.00	4.36	90.0	3.92
12/01/2017	SHERMAN, LAURA	AM FUNDS GROWTH PORT A	0.00	0.83	0.00	0.83	90.0	0.75
12/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	3.18	0.00	3.18	90.0	2.86
12/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	5.65	0.00	5.65	90.0	5.09
12/01/2017	BERLING, MATTHEW	AM FUNDS GROWTH PORT A	0.00	1.25	0.00	1.25	90.0	1.13
12/01/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	0.00	2.67	0.00	2.67	90.0	2.40
12/01/2017	EDGAR, ERICA	AM FUNDS GROWTH PORT A	0.00	2.78	0.00	2.78	90.0	2.50
12/01/2017	VANDER WILT, CARA	AM FUNDS GROWTH PORT A	0.00	11.26	0.00	11.26	90.0	10.13
12/01/2017	TORNQUIST, NATHAN	AM FUNDS GROWTH PORT A	0.00	0.73	0.00	0.73	90.0	0.66
12/01/2017	SHERMAN, LAURA	AM FUNDS GROWTH PORT A	0.00	1.35	0.00	1.35	90.0	1.22
12/01/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	0.00	3.22	0.00	3.22	90.0	2.90
12/01/2017	KEHN, LEVI	AM FUNDS GROWTH PORT A	0.00	3.63	0.00	3.63	90.0	3.27
12/01/2017	OBERMEYER, HEIDI	AM FUNDS GROWTH PORT A	0.00	5.60	0.00	5.60	90.0	5.04
12/01/2017	HANSON, SCOTT	AM FUNDS GROWTH PORT A	0.00	4.94	0.00	4.94	90.0	4.45
12/01/2017	MEINDERS, DARWIN	AM FUNDS GROWTH PORT A	0.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	LEONARD, GARY	AM FUNDS ICA 529A	0.00	6.24	0.00	6.24	90.0	5.62
12/01/2017	HEMER, JOE	AM FUNDS ICA A	0.00	69.97	0.00	69.97	90.0	62.97
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS ICA A	0.00	11.16	0.00	11.16	90.0	10.04
12/01/2017	MATHISON, KAREN	AM FUNDS ICA A	0.00	9.42	0.00	9.42	90.0	8.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/01/2017	JOHNSON, MICHAEL	AM FUNDS ICA A	0.00	3.92	0.00	3.92	90.0	3.53
12/01/2017	GOCHE, STEVE	AM FUNDS ICA A	0.00	8.50	0.00	8.50	90.0	7.65
12/01/2017	JOHNSON, DONNA	AM FUNDS ICA A	0.00	4.80	0.00	4.80	90.0	4.32
12/01/2017	MOKLESTAD, NANCY	AM FUNDS ICA A	0.00	4.13	0.00	4.13	90.0	3.72
12/01/2017	KESSLER, JUDITH	AM FUNDS ICA A	0.00	1.74	0.00	1.74	90.0	1.57
12/01/2017	KESSLER, ROBERT	AM FUNDS ICA A	0.00	23.66	0.00	23.66	90.0	21.29
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA A	0.00	0.94	0.00	0.94	90.0	0.85
12/01/2017	VENTEICHER, DEBRA	AM FUNDS ICA A	0.00	3.04	0.00	3.04	90.0	2.74
12/01/2017	ALBERT, JOY	AM FUNDS ICA A	0.00	14.28	0.00	14.28	90.0	12.85
12/01/2017	PRICE, TERESA	AM FUNDS ICA A	0.00	3.99	0.00	3.99	90.0	3.59
12/01/2017	GERZEMA, MARLENE	AM FUNDS ICA A	0.00	27.52	0.00	27.52	90.0	24.77
12/01/2017	FIELD, BARRY	AM FUNDS ICA A	0.00	12.59	0.00	12.59	90.0	11.33
12/01/2017	ROUSE, DALE	AM FUNDS ICA A	0.00	5.42	0.00	5.42	90.0	4.88
12/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	19.54	0.00	19.54	90.0	17.59
12/01/2017	AUDINO, JAMES	AM FUNDS ICA A	0.00	8.57	0.00	8.57	90.0	7.71
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS ICA F1	0.00	1.53	0.00	1.53	90.0	1.38
11/20/2017	SWIFT, STEPHEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.37	0.00	13.37	90.0	12.03
11/20/2017	KONEFES, ALISON	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.16	0.00	9.16	90.0	8.24
12/01/2017	HEMER, JOE	AM FUNDS INCOME FUND OF AMERICA A	0.00	75.71	0.00	75.71	90.0	68.14
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	16.22	0.00	16.22	90.0	14.60
12/01/2017	DOANE, LAVONNE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.63	0.00	1.63	90.0	1.47
12/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	23.69	0.00	23.69	90.0	21.32
12/01/2017	DAHL, NANCY JOHNSON	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.25	0.00	3.25	90.0	2.93
12/01/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.32	0.00	12.32	90.0	11.09
12/01/2017	JOHNSON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.63	0.00	3.63	90.0	3.27
12/01/2017	JOHNSON, DONNA	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.22	0.00	21.22	90.0	19.10
12/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	65.45	0.00	65.45	90.0	58.91
12/01/2017	KESSLER, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.43	0.00	5.43	90.0	4.89
12/01/2017	LEONARD TRUST, REA	AM FUNDS INCOME FUND OF AMERICA A	0.00	85.38	0.00	85.38	90.0	76.84
12/01/2017	VENTEICHER, DEBRA	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.71	0.00	1.71	90.0	1.54
12/01/2017	ENRIGHT, EDWIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	34.71	0.00	34.71	90.0	31.24
12/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.95	0.00	5.95	90.0	5.36
12/01/2017	GERZEMA, MARLENE	AM FUNDS INCOME FUND OF AMERICA A	0.00	33.26	0.00	33.26	90.0	29.93

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	SCHLAKE, ELROY	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.17	0.00	4.17	90.0	3.75
12/01/2017	NUTTING, MARY	AM FUNDS INCOME FUND OF AMERICA A	0.00	37.50	0.00	37.50	90.0	33.75
12/01/2017	FIELD, BARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.85	0.00	10.85	90.0	9.77
12/01/2017	KETTWICK, CAROLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.74	0.00	5.74	90.0	5.17
12/01/2017	REIDEL, DENNIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.64	0.00	2.64	90.0	2.38
12/01/2017	LOW, ANN	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.57	0.00	9.57	90.0	8.61
12/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	19.62	0.00	19.62	90.0	17.66
12/01/2017	AUDINO, JAMES	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.69	0.00	8.69	90.0	7.82
12/01/2017	ENRIGHT, JANET	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.32	0.00	1.32	90.0	1.19
12/01/2017	LEONARD, GARY	AM FUNDS INCOME FUND OF AMERICA-529	0.00	11.66	0.00	11.66	90.0	10.49
12/01/2017	JOHNSON, DONNA	AM FUNDS INCOME PORTFOLIO A	0.00	2.60	0.00	2.60	90.0	2.34
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS INTL GROWTH AND INCOME A	0.00	65.59	0.00	65.59	90.0	59.03
12/01/2017	BRUNS, MARCUS	AM FUNDS INTL GROWTH AND INCOME A	0.00	5.48	0.00	5.48	90.0	4.93
12/01/2017	KESSLER, JUDITH	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.02	0.00	7.02	90.0	6.32
12/01/2017	KESSLER, ROBERT	AM FUNDS INTL GROWTH AND INCOME A	0.00	28.34	0.00	28.34	90.0	25.51
12/01/2017	LEONARD TRUST, REA	AM FUNDS INTL GROWTH AND INCOME A	0.00	85.74	0.00	85.74	90.0	77.17
12/01/2017	VENTEICHER, DEBRA	AM FUNDS INTL GROWTH AND INCOME A	0.00	1.61	0.00	1.61	90.0	1.45
12/01/2017	KETTWICK, MICHAEL	AM FUNDS INTL GROWTH AND INCOME A	0.00	25.54	0.00	25.54	90.0	22.99
12/01/2017	PRICE, TERESA	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.09	0.00	6.09	90.0	5.48
12/01/2017	ENRIGHT, EDWIN	AM FUNDS INTL GROWTH AND INCOME A	0.00	31.95	0.00	31.95	90.0	28.76
12/01/2017	GERZEMA, MARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	16.44	0.00	16.44	90.0	14.80
12/01/2017	BROWN, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.98	0.00	2.98	90.0	2.68
12/01/2017	VANDERWILT, TRISHA	AM FUNDS INTL GROWTH AND INCOME A	0.00	6.93	0.00	6.93	90.0	6.24
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS INTL GROWTH AND INCOME A	0.00	8.23	0.00	8.23	90.0	7.41
12/01/2017	TORNQUIST, SCOTT	AM FUNDS INTL GROWTH AND INCOME A	0.00	7.96	0.00	7.96	90.0	7.16
12/01/2017	BURNSIDE, KELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	12.58	0.00	12.58	90.0	11.32
12/01/2017	LINDBORG, LISA	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.63	0.00	4.63	90.0	4.17
12/01/2017	BUSS, KAREN	AM FUNDS INTL GROWTH AND INCOME A	0.00	18.10	0.00	18.10	90.0	16.29
12/01/2017	GROSS, JUDY	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.92	0.00	3.92	90.0	3.53
12/01/2017	JASS, ARLENE	AM FUNDS INTL GROWTH AND INCOME A	0.00	4.53	0.00	4.53	90.0	4.08
12/01/2017	LOW, ANN	AM FUNDS INTL GROWTH AND INCOME A	0.00	9.32	0.00	9.32	90.0	8.39
12/01/2017	DOANE, ELDON	AM FUNDS NEW ECONOMY A	0.00	24.93	0.00	24.93	90.0	22.44
12/01/2017	O'CONNELL, LANE	AM FUNDS NEW ECONOMY A	0.00	29.38	0.00	29.38	90.0	26.44

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW ECONOMY A	0.00	21.62	0.00	21.62	90.0	19.46
12/01/2017	AUDINO, JAMES	AM FUNDS NEW ECONOMY A	0.00	20.00	0.00	20.00	90.0	18.00
12/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW ECONOMY A	0.00	11.40	0.00	11.40	90.0	10.26
12/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	17.01	0.00	17.01	90.0	15.31
12/01/2017	KESSLER, JUDITH	AM FUNDS NEW ECONOMY A	0.00	23.55	0.00	23.55	90.0	21.20
12/01/2017	KESSLER, ROBERT	AM FUNDS NEW ECONOMY A	0.00	21.00	0.00	21.00	90.0	18.90
12/01/2017	LEONARD TRUST, REA	AM FUNDS NEW ECONOMY A	0.00	27.28	0.00	27.28	90.0	24.55
12/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW ECONOMY A	0.00	2.93	0.00	2.93	90.0	2.64
12/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW ECONOMY A	0.00	17.22	0.00	17.22	90.0	15.50
12/01/2017	JASS, ARLENE	AM FUNDS NEW ECONOMY A	0.00	8.58	0.00	8.58	90.0	7.72
12/01/2017	LEONARD, GARY	AM FUNDS NEW PERSPECTIVE 529A	0.00	18.30	0.00	18.30	90.0	16.47
11/20/2017	SWIFT, STEPHEN	AM FUNDS NEW PERSPECTIVE A	0.00	8.78	0.00	8.78	90.0	7.90
11/20/2017	KONEFES, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	7.44	0.00	7.44	90.0	6.70
12/01/2017	HEMER, JOE	AM FUNDS NEW PERSPECTIVE A	0.00	68.12	0.00	68.12	90.0	61.31
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW PERSPECTIVE A	0.00	91.86	0.00	91.86	90.0	82.67
12/01/2017	DOANE, ELDON	AM FUNDS NEW PERSPECTIVE A	0.00	24.89	0.00	24.89	90.0	22.40
12/01/2017	DOANE, LAVONNE	AM FUNDS NEW PERSPECTIVE A	0.00	31.09	0.00	31.09	90.0	27.98
12/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	11.95	0.00	11.95	90.0	10.76
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW PERSPECTIVE A	0.00	58.59	0.00	58.59	90.0	52.73
12/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	39.67	0.00	39.67	90.0	35.70
12/01/2017	WEBER, PAUL	AM FUNDS NEW PERSPECTIVE A	0.00	48.55	0.00	48.55	90.0	43.70
12/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	9.79	0.00	9.79	90.0	8.81
12/01/2017	MATHISON, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	14.47	0.00	14.47	90.0	13.02
12/01/2017	DAHL, NANCY JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	4.59	0.00	4.59	90.0	4.13
12/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW PERSPECTIVE A	0.00	14.96	0.00	14.96	90.0	13.46
12/01/2017	GOCHE, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	46.02	0.00	46.02	90.0	41.42
12/01/2017	O'CONNELL, LANE	AM FUNDS NEW PERSPECTIVE A	0.00	7.28	0.00	7.28	90.0	6.55
12/01/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	0.00	8.75	0.00	8.75	90.0	7.88
12/01/2017	HANSON, CARRIE	AM FUNDS NEW PERSPECTIVE A	0.00	2.72	0.00	2.72	90.0	2.45
12/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.69	0.00	3.69	90.0	3.32
12/01/2017	MAKI, LINDA	AM FUNDS NEW PERSPECTIVE A	0.00	4.75	0.00	4.75	90.0	4.28
12/01/2017	GOCHE, STEVE	AM FUNDS NEW PERSPECTIVE A	0.00	10.78	0.00	10.78	90.0	9.70
12/01/2017	JOHNSON, DONNA	AM FUNDS NEW PERSPECTIVE A	0.00	22.06	0.00	22.06	90.0	19.85

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	3.38	0.00	3.38	90.0	3.04
12/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	1.23	0.00	1.23	90.0	1.11
12/01/2017	KESSLER, JUDITH	AM FUNDS NEW PERSPECTIVE A	0.00	30.58	0.00	30.58	90.0	27.52
12/01/2017	KESSLER, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	41.83	0.00	41.83	90.0	37.65
12/01/2017	NUTTING, BRETT	AM FUNDS NEW PERSPECTIVE A	0.00	4.58	0.00	4.58	90.0	4.12
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW PERSPECTIVE A	0.00	7.37	0.00	7.37	90.0	6.63
12/01/2017	LEONARD TRUST, REA	AM FUNDS NEW PERSPECTIVE A	0.00	161.43	0.00	161.43	90.0	145.29
12/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	8.56	0.00	8.56	90.0	7.70
12/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	63.89	0.00	63.89	90.0	57.50
12/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	7.22	0.00	7.22	90.0	6.50
12/01/2017	PRICE, TERESA	AM FUNDS NEW PERSPECTIVE A	0.00	21.32	0.00	21.32	90.0	19.19
12/01/2017	ENRIGHT, EDWIN	AM FUNDS NEW PERSPECTIVE A	0.00	39.60	0.00	39.60	90.0	35.64
12/01/2017	MEINDERS, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	10.58	0.00	10.58	90.0	9.52
12/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	0.00	13.13	0.00	13.13	90.0	11.82
12/01/2017	NUTTING, STUART	AM FUNDS NEW PERSPECTIVE A	0.00	4.98	0.00	4.98	90.0	4.48
12/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	10.30	0.00	10.30	90.0	9.27
12/01/2017	HOLLEY, CASEY	AM FUNDS NEW PERSPECTIVE A	0.00	0.77	0.00	0.77	90.0	0.69
12/01/2017	GERZEMA, MARLENE	AM FUNDS NEW PERSPECTIVE A	0.00	21.64	0.00	21.64	90.0	19.48
12/01/2017	SCHLAKE, ELROY	AM FUNDS NEW PERSPECTIVE A	0.00	11.27	0.00	11.27	90.0	10.14
12/01/2017	MCCLEES, MARTIN	AM FUNDS NEW PERSPECTIVE A	0.00	1.65	0.00	1.65	90.0	1.49
12/01/2017	NUTTING, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	40.02	0.00	40.02	90.0	36.02
12/01/2017	BROWN, LISA	AM FUNDS NEW PERSPECTIVE A	0.00	1.93	0.00	1.93	90.0	1.74
12/01/2017	KETTWICK, TROY	AM FUNDS NEW PERSPECTIVE A	0.00	3.96	0.00	3.96	90.0	3.56
12/01/2017	KETTWICK, CAROLE	AM FUNDS NEW PERSPECTIVE A	0.00	6.29	0.00	6.29	90.0	5.66
12/01/2017	NUTTING, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	0.65	0.00	0.65	90.0	0.59
12/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	9.99	0.00	9.99	90.0	8.99
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW PERSPECTIVE A	0.00	10.29	0.00	10.29	90.0	9.26
12/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW PERSPECTIVE A	0.00	4.37	0.00	4.37	90.0	3.93
12/01/2017	CAMPBELL, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	31.16	0.00	31.16	90.0	28.04
12/01/2017	HEMER, EILEEN	AM FUNDS NEW PERSPECTIVE A	0.00	24.97	0.00	24.97	90.0	22.47
12/01/2017	UNDERWOOD, DEBRA	AM FUNDS NEW PERSPECTIVE A	0.00	3.52	0.00	3.52	90.0	3.17
12/01/2017	MOKLESTAD, NANCY	AM FUNDS NEW PERSPECTIVE A	0.00	2.99	0.00	2.99	90.0	2.69
12/01/2017	BUSS, KAREN	AM FUNDS NEW PERSPECTIVE A	0.00	21.46	0.00	21.46	90.0	19.31

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	GROSS, ALISON	AM FUNDS NEW PERSPECTIVE A	0.00	12.00	0.00	12.00	90.0	10.80
12/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	15.10	0.00	15.10	90.0	13.59
12/01/2017	LOW, ANN	AM FUNDS NEW PERSPECTIVE A	0.00	8.43	0.00	8.43	90.0	7.59
12/01/2017	AUDINO, JAMES	AM FUNDS NEW PERSPECTIVE A	0.00	20.69	0.00	20.69	90.0	18.62
12/01/2017	HEMER, JOE	AM FUNDS NEW WORLD A	0.00	21.64	0.00	21.64	90.0	19.48
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS NEW WORLD A	0.00	5.11	0.00	5.11	90.0	4.60
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS NEW WORLD A	0.00	79.52	0.00	79.52	90.0	71.57
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS NEW WORLD A	0.00	47.44	0.00	47.44	90.0	42.70
12/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	8.34	0.00	8.34	90.0	7.51
12/01/2017	AUDINO, JAMES	AM FUNDS NEW WORLD A	0.00	4.89	0.00	4.89	90.0	4.40
12/01/2017	WEBER, PAUL	AM FUNDS NEW WORLD A	0.00	5.52	0.00	5.52	90.0	4.97
12/01/2017	SCHLICHTING, LAURA	AM FUNDS NEW WORLD A	0.00	8.95	0.00	8.95	90.0	8.06
12/01/2017	GOCHE, ANN	AM FUNDS NEW WORLD A	0.00	7.43	0.00	7.43	90.0	6.69
12/01/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	0.00	12.52	0.00	12.52	90.0	11.27
12/01/2017	SCHLICHTING, STEVE	AM FUNDS NEW WORLD A	0.00	5.53	0.00	5.53	90.0	4.98
12/01/2017	GOCHE, STEVE	AM FUNDS NEW WORLD A	0.00	13.06	0.00	13.06	90.0	11.75
12/01/2017	JOHNSON, MICHAEL	AM FUNDS NEW WORLD A	0.00	22.28	0.00	22.28	90.0	20.05
12/01/2017	KESSLER, ROBERT	AM FUNDS NEW WORLD A	0.00	20.14	0.00	20.14	90.0	18.13
12/01/2017	DAHL, NANCY M JOHNSON	AM FUNDS NEW WORLD A	0.00	6.73	0.00	6.73	90.0	6.06
12/01/2017	GRIFFITT, KENNETH	AM FUNDS NEW WORLD A	0.00	12.91	0.00	12.91	90.0	11.62
12/01/2017	LEONARD TRUST, REA	AM FUNDS NEW WORLD A	0.00	103.80	0.00	103.80	90.0	93.42
12/01/2017	VENTEICHER, DEBRA	AM FUNDS NEW WORLD A	0.00	4.25	0.00	4.25	90.0	3.83
12/01/2017	KETTWICK, MICHAEL	AM FUNDS NEW WORLD A	0.00	39.31	0.00	39.31	90.0	35.38
12/01/2017	MEINDERS, MICHELLE	AM FUNDS NEW WORLD A	0.00	2.41	0.00	2.41	90.0	2.17
12/01/2017	PRICE, TERESA	AM FUNDS NEW WORLD A	0.00	20.50	0.00	20.50	90.0	18.45
12/01/2017	MEINDERS, DAWN	AM FUNDS NEW WORLD A	0.00	7.79	0.00	7.79	90.0	7.01
12/01/2017	VANDER WILT, BRIAN	AM FUNDS NEW WORLD A	0.00	13.35	0.00	13.35	90.0	12.02
12/01/2017	SCHLAKE, ELROY	AM FUNDS NEW WORLD A	0.00	8.13	0.00	8.13	90.0	7.32
12/01/2017	BRUNS, JOLENE	AM FUNDS NEW WORLD A	0.00	8.57	0.00	8.57	90.0	7.71
12/01/2017	NUTTING, MARY	AM FUNDS NEW WORLD A	0.00	19.22	0.00	19.22	90.0	17.30
12/01/2017	BROWN, LISA	AM FUNDS NEW WORLD A	0.00	9.15	0.00	9.15	90.0	8.24
12/01/2017	VANDERWILT, TRISHA	AM FUNDS NEW WORLD A	0.00	7.11	0.00	7.11	90.0	6.40
12/01/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	0.00	13.70	0.00	13.70	90.0	12.33

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/01/2017	TORNQUIST, PATRICIA	AM FUNDS NEW WORLD A	0.00	6.61	0.00	6.61	90.0	5.95
12/01/2017	TORNQUIST, SCOTT	AM FUNDS NEW WORLD A	0.00	8.24	0.00	8.24	90.0	7.42
12/01/2017	BURNSIDE, KELLY	AM FUNDS NEW WORLD A	0.00	12.84	0.00	12.84	90.0	11.56
12/01/2017	CAMPBELL, DENISE	AM FUNDS NEW WORLD A	0.00	13.53	0.00	13.53	90.0	12.18
12/01/2017	HEMER, EILEEN	AM FUNDS NEW WORLD A	0.00	18.12	0.00	18.12	90.0	16.31
12/01/2017	LINDEMANN, ROBIN	AM FUNDS NEW WORLD A	0.00	6.23	0.00	6.23	90.0	5.61
12/01/2017	UNDERWOOD, RONALD	AM FUNDS NEW WORLD A	0.00	2.68	0.00	2.68	90.0	2.41
12/01/2017	GROSS, JUDY	AM FUNDS NEW WORLD A	0.00	29.32	0.00	29.32	90.0	26.39
12/01/2017	LEONARD, ISSAC	AM FUNDS NEW WORLD A	0.00	10.14	0.00	10.14	90.0	9.13
12/01/2017	LEONARD, CARA	AM FUNDS NEW WORLD A	0.00	6.41	0.00	6.41	90.0	5.77
12/01/2017	KONEFES, ALISON	AM FUNDS NEW WORLD A	0.00	2.87	0.00	2.87	90.0	2.58
12/01/2017	GROSS, ALISON	AM FUNDS NEW WORLD A	0.00	10.19	0.00	10.19	90.0	9.17
12/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	10.40	0.00	10.40	90.0	9.36
12/01/2017	LOW, ANN	AM FUNDS NEW WORLD A	0.00	6.26	0.00	6.26	90.0	5.63
12/01/2017	HEMER, JOE	AM FUNDS SMALLCAP WORLD A	0.00	45.16	0.00	45.16	90.0	40.64
12/01/2017	DOANE TRUST, LAVONNE	AM FUNDS SMALLCAP WORLD A	0.00	3.61	0.00	3.61	90.0	3.25
12/01/2017	GRIFFITT TRUST, KENNETH AND	AM FUNDS SMALLCAP WORLD A	0.00	66.00	0.00	66.00	90.0	59.40
12/01/2017	DOANE, ELDON	AM FUNDS SMALLCAP WORLD A	0.00	24.33	0.00	24.33	90.0	21.90
12/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	36.04	0.00	36.04	90.0	32.44
12/01/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	0.00	11.54	0.00	11.54	90.0	10.39
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS SMALLCAP WORLD A	0.00	28.41	0.00	28.41	90.0	25.57
12/01/2017	AUDINO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	18.24	0.00	18.24	90.0	16.42
12/01/2017	SCHMIT, MARILYN	AM FUNDS SMALLCAP WORLD A	0.00	37.71	0.00	37.71	90.0	33.94
12/01/2017	WEBER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	6.10	0.00	6.10	90.0	5.49
12/01/2017	BRUNS, MARCUS	AM FUNDS SMALLCAP WORLD A	0.00	4.92	0.00	4.92	90.0	4.43
12/01/2017	MATHISON, KAREN	AM FUNDS SMALLCAP WORLD A	0.00	9.58	0.00	9.58	90.0	8.62
12/01/2017	SCHLICHTING, LAURA	AM FUNDS SMALLCAP WORLD A	0.00	13.24	0.00	13.24	90.0	11.92
12/01/2017	GOCHE, ANN	AM FUNDS SMALLCAP WORLD A	0.00	44.84	0.00	44.84	90.0	40.36
12/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.59	0.00	2.59	90.0	2.33
12/01/2017	GOCHE, STEVE	AM FUNDS SMALLCAP WORLD A	0.00	14.17	0.00	14.17	90.0	12.75
12/01/2017	JOHNSON, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	2.62	0.00	2.62	90.0	2.36
12/01/2017	KESSLER, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	24.83	0.00	24.83	90.0	22.35
12/01/2017	LEONARD TRUST, REA	AM FUNDS SMALLCAP WORLD A	0.00	71.09	0.00	71.09	90.0	63.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	VENTEICHER, DEBRA	AM FUNDS SMALLCAP WORLD A	0.00	6.03	0.00	6.03	90.0	5.43
12/01/2017	KETTWICK, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	31.99	0.00	31.99	90.0	28.79
12/01/2017	HOLLEY, CASEY	AM FUNDS SMALLCAP WORLD A	0.00	0.77	0.00	0.77	90.0	0.69
12/01/2017	SCHROEDER, PHILIP	AM FUNDS SMALLCAP WORLD A	0.00	14.45	0.00	14.45	90.0	13.01
12/01/2017	NUTTING, MARY	AM FUNDS SMALLCAP WORLD A	0.00	22.41	0.00	22.41	90.0	20.17
12/01/2017	ROUSE, DALE	AM FUNDS SMALLCAP WORLD A	0.00	5.08	0.00	5.08	90.0	4.57
12/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	14.32	0.00	14.32	90.0	12.89
12/01/2017	REIDEL, DENNIS	AM FUNDS SMALLCAP WORLD A	0.00	9.99	0.00	9.99	90.0	8.99
12/01/2017	BURNSIDE, KELLY	AM FUNDS SMALLCAP WORLD A	0.00	16.47	0.00	16.47	90.0	14.82
12/01/2017	CAMPBELL, DENISE	AM FUNDS SMALLCAP WORLD A	0.00	20.45	0.00	20.45	90.0	18.41
12/01/2017	HEMER, EILEEN	AM FUNDS SMALLCAP WORLD A	0.00	31.78	0.00	31.78	90.0	28.60
12/01/2017	UNDERWOOD, RONALD	AM FUNDS SMALLCAP WORLD A	0.00	3.42	0.00	3.42	90.0	3.08
12/01/2017	MOKLESTAD, NANCY	AM FUNDS SMALLCAP WORLD A	0.00	2.87	0.00	2.87	90.0	2.58
12/01/2017	GROSS, ALISON	AM FUNDS SMALLCAP WORLD A	0.00	11.83	0.00	11.83	90.0	10.65
12/01/2017	HAGEN, ALAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.58	0.00	30.58	90.0	27.52
12/01/2017	DOANE, ELDON	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.88	0.00	30.88	90.0	27.79
12/01/2017	O'CONNELL, RUSSELL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	23.31	0.00	23.31	90.0	20.98
12/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.32	0.00	30.32	90.0	27.29
12/01/2017	JOHNSON, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	16.35	0.00	16.35	90.0	14.72
12/01/2017	JOHNSON, DONNA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.23	0.00	4.23	90.0	3.81
12/01/2017	VENTEICHER, DEBRA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.77	0.00	3.77	90.0	3.39
12/01/2017	KETTWICK, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.00	0.00	8.00	90.0	7.20
12/01/2017	ALBERT, JOY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.58	0.00	14.58	90.0	13.12
12/01/2017	AUDINO, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	14.52	0.00	14.52	90.0	13.07
12/01/2017	AUDINO, JAMES	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.04	0.00	6.04	90.0	5.44
12/01/2017	HANSON, SCOTT	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	2.61	0.00	2.61	90.0	2.35
12/01/2017	NUTTING, MARY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	30.84	0.00	30.84	90.0	27.76
12/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.45	0.00	9.45	90.0	8.51
12/01/2017	ENGBRETSON, ROGER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	41.48	0.00	41.48	90.0	37.33
12/01/2017	ENGBRETSON, LINDA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.03	0.00	10.03	90.0	9.03
12/01/2017	UNDERWOOD, RONALD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	9.57	0.00	9.57	90.0	8.61
12/01/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.28	0.00	3.28	90.0	2.95
12/01/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	7.40	0.00	7.40	90.0	6.66

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	LOW, ANN	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	6.51	0.00	6.51	90.0	5.86
12/01/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	5.53	0.00	5.53	90.0	4.98
12/01/2017	MEYER, TRAVIS	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.39	0.00	1.39	90.0	1.25
12/01/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	11.14	0.00	11.14	90.0	10.03
12/01/2017	KOSS-WINN BANC ESOP	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.78	0.00	3.78	90.0	3.40
12/01/2017	GROTHE, CHRISTI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	10.36	0.00	10.36	90.0	9.32
12/01/2017	THORSON, JILL	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.56	0.00	0.56	90.0	0.50
12/01/2017	DAHL, ERIK	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.29	0.00	1.29	90.0	1.16
12/01/2017	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.25	0.00	1.25	90.0	1.13
12/01/2017	BERLING, MATTHEW	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	3.76	0.00	3.76	90.0	3.38
12/01/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.21	0.00	1.21	90.0	1.09
12/01/2017	KEHN, LEVI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	4.26	0.00	4.26	90.0	3.83
12/01/2017	OBERMEYER, HEIDI	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.47	0.00	1.47	90.0	1.32
12/01/2017	ENGLISH, WILLIAM	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	0.20	0.00	0.20	90.0	0.18
12/01/2017	WEBER, PAUL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	3.10	0.00	3.10	90.0	2.79
12/01/2017	KETTWICK, MICHAEL	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	51.21	0.00	51.21	90.0	46.09
12/01/2017	MEINDERS, DAWN	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.89	0.00	5.89	90.0	5.30
12/01/2017	SCHLAKE, ELROY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.89	0.00	5.89	90.0	5.30
12/01/2017	NUTTING, MARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	12.56	0.00	12.56	90.0	11.30
12/01/2017	GROSS, ROBERT	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	34.59	0.00	34.59	90.0	31.13
12/01/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	3.80	0.00	3.80	90.0	3.42
12/01/2017	LEONARD, ISSAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	8.80	0.00	8.80	90.0	7.92
12/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.63	0.00	5.63	90.0	5.07
12/01/2017	LEONARD, CARA	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	6.87	0.00	6.87	90.0	6.18
12/01/2017	LEONARD, ISAAC	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	5.49	0.00	5.49	90.0	4.94
12/01/2017	GROSS, ALISON	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	8.98	0.00	8.98	90.0	8.08
11/16/2017	CAMPBELL, DENISE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.90	0.00	3.90	90.0	3.51
11/20/2017	SWIFT, STEPHEN	HARTFORD MIDCAP C	0.00	52.24	0.00	52.24	90.0	47.02
11/20/2017	KONEFES, ALISON	HARTFORD MIDCAP C	0.00	56.48	0.00	56.48	90.0	50.83
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10,920.32</b>	<b>\$0.00</b>	<b>\$10,920.32</b>		<b>\$9,828.72</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$498,606.52</b>	<b>\$32,147.25</b>	<b>\$0.00</b>	<b>\$32,147.25</b>		<b>\$28,932.98</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: PERSHING: BOOKS & RECORDS	0.00					-6.00
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*DOCUSIGN: CURRENT MONTH	0.00					-18.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
12/15/2017		*TECHNOLOGY: ADMIN - E ROELIKE	0.00					-130.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-659.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$28,998.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-659.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$28,339.91
Year-to-date Gross	\$370,126.08
Year-to-date Earnings	\$330,390.29

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed