



Concorde Investment Services, LLC

12/28/2017

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COMMISSION STATEMENT

December 31, 2017

NATHANIEL KUHN
612 S. Bristol Lane

BROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/30/2017	HARTMAN, CHERRIE	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
12/19/2017	1016-AET REALTY LLC	HPI FUND V	50,000.00	3,000.00	0.00	3,000.00	90.0	2,700.00
* PRIVATE PLACEMENT SUBTOTAL *			\$75,000.00	\$4,500.00	\$0.00	\$4,500.00		\$4,050.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
12/19/2017	1016-AET REALTY LLC	WAVELAND RESOURCE PARTNERS IV	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* OIL & GAS SUBTOTAL *			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/01/2017	WILSON, JOE	FT GROWTH ALLOC A	50.00	2.50	0.00	2.50	90.0	2.25
* 529 PLANS SUBTOTAL *			\$50.00	\$2.50	\$0.00	\$2.50		\$2.25
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/01/2017	KOVACH, ERIC	AM FUNDS AMCAP A	25.00	1.06	0.00	1.06	90.0	0.95
12/01/2017	RAPATA, DANIEL	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
12/11/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24
12/20/2017	STETTER, MARIA	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	90.0	1.69
12/20/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
12/01/2017	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
12/11/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90
11/27/2017	GOODMAN, MICHAEL	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45
12/11/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
12/01/2017	RAPATA, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
12/11/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24
12/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	90.0	1.92
12/01/2017	RAPATA, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/01/2017	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
12/11/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
12/01/2017	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
12/01/2017	KOVACH, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	25.00	1.06	0.00	1.06	90.0	0.95
12/01/2017	MANSKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/01/2017	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
12/11/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
12/15/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/15/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
12/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
12/15/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/11/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13
12/01/2017	KOVACH, ERIC	AM FUNDS ICA A	25.00	1.06	0.00	1.06	90.0	0.95
12/01/2017	RAPATA, DANIEL	AM FUNDS ICA A	50.00	1.38	0.00	1.38	90.0	1.24
12/01/2017	MANSKE, DONNA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	50.00	2.13	0.00	2.13	90.0	1.92
12/11/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
12/11/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	KOVACH, ERIC	AM FUNDS SMALLCAP WORLD A	25.00	1.06	0.00	1.06	90.0	0.95
12/01/2017	RAPATA, DANIEL	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
12/11/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
12/15/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
12/01/2017	LADUCA, BRIAN	FT CONSERVATIVE ALLOCATION C	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	CHASE, LINDA	FT MODERATE ALLOCATION FUND C	100.00	1.00	0.00	1.00	90.0	0.90
12/15/2017	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	100.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$2,450.00	\$70.59	\$0.00	\$70.59		\$63.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/18/2017	TOBIAS, GERMAINE	JNL PERSPECTIVE II 04/14	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
* VARIABLE ANNUITIES SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,300.00
*** DIRECT TRANSACTIONS TOTAL ***			\$202,500.00	\$13,323.09	\$0.00	\$13,323.09		\$11,990.77

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
12/31/2017		*CONCIERGE SVC: 205172 GUO & ZHANG	0.00					-50.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-50.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,990.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-50.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,940.77
Year-to-date Gross	\$515,610.09
Year-to-date Earnings	\$464,050.98

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