



COMMISSION STATEMENT

April 23, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/17/2018	***-**-176J - MANDEL, MICHAEL	VANGUARD FTSE DEVELOPED MARKET ETF	28,953.97	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000225	BUY 641 Units @45.1700						
		* STOCKS SUBTOTAL *	\$28,953.97	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: 529 PLAN</u>								
04/18/2018	***-**-20CL - BARBER, CLIENTS	CHET 529	500.00	5.04	0.00	5.04	75.0	3.78
	ACCOUNT #: 68971123701	BUY 0 Units @0.0000						
04/18/2018	***-**-7606 - DUFFY, RYAN	CHET 529	750.00	7.52	0.00	7.52	75.0	5.64
		BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$1,250.00	\$12.56	\$0.00	\$12.56		\$9.42
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/23/2018	***-**-71IO - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	30.13	0.00	30.13	75.0	22.60
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/23/2018	***-**-67IO - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.20	0.00	2.20	75.0	1.65
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
04/23/2018	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	27.39	0.00	27.39	75.0	20.54
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.61	0.00	0.61	75.0	0.46
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-67IO - FERNANDEZ, ANA	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	FUNDAMENTAL INVESTORS-C	0.00	6.66	0.00	6.66	75.0	5.00
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/23/2018	***-**-71IO - ALBERTO, MICHAEL	INTERNATIONAL EMERGING MARKETS (A)	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	25.66	0.00	25.66	75.0	19.25
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-2440 - RICHTER, DIANA	PIMCO H/Y-NFS	0.00	24.69	0.00	24.69	75.0	18.52
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-2440 - RICHTER, DIANA	PIMCO H/Y-NFS	0.00	9.50	0.00	9.50	75.0	7.13
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	PIMCO INCOME FUND CL C	0.00	0.10	0.00	0.10	75.0	0.08
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	PIMCO INCOME FUND CL C	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	PIMCO LOW DURATION INCOME FUND CL C	0.00	8.45	0.00	8.45	75.0	6.34
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	PIMCO LOW DURATION INCOME FUND CL C	0.00	8.45	0.00	8.45	75.0	6.34
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	PIMCO TOTAL RETURN C	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	0.00	10.99	0.00	10.99	75.0	8.24
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	PIMCO TOTAL RETURN C	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	0.00	4.23	0.00	4.23	75.0	3.17
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL EQUITY INC CL C	0.00	25.14	0.00	25.14	75.0	18.86
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-63IO - BARBER,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	0.54	0.00	0.54	75.0	0.41
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	8.33	0.00	8.33	75.0	6.25
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
04/23/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	47.17	0.00	47.17	75.0	35.38
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
04/23/2018	***-**-17IR - REAGAN, MATTHEW	PRINCIPAL GLOBAL MULTI-STRATEGY CL	0.00	0.51	0.00	0.51	75.0	0.38
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
04/23/2018	***-**-71IO - ALBERTO, MICHAEL	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	19.17	0.00	19.17	75.0	14.38
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-17IR - REAGAN, MATTHEW	PRINCIPAL INVESTORS SAM STRATEGIC G	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: LSR011947	BUY 1 Units @0.0000						
04/23/2018	***-**-7340 - RODESCHINI,	THE GROWTH FUND OF AMERICA-C	0.00	19.62	0.00	19.62	75.0	14.72
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$282.95	\$0.00	\$282.95		\$212.26
*** BROKER TRANSACTIONS TOTAL ***			\$30,203.97	\$295.51	\$0.00	\$295.51		\$221.68

BROKER COMMISSION TOTALS

Broker Transactions	\$221.68
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$221.68
Year-to-date Earnings	\$20,996.99