BROKER

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R.F. Lafferty & Co., Inc.

## **COMMISSION STATEMENT**

**December 31, 2017** 

WILLIAM DIPENICE

110 SOUTH BUCKHOUT STREET

IRVINGTON, NY 10533

#### BROKER #: 74

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

#### COMMISSION STATEMENT for WILLIAM DIPENICE

INVESTMENT

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			BROKER TR	ANSA	CTIONS					
PRODUCT CAT	TEGORY: STOCKS									
12/19/2017 7	5502048 FERRUZZI,	KENNETH	KLA-TENCOR CORP COM	В	1,119.45	0.00	0.00	0.00	80.0	0.00
12/19/2017 7	5502048 FERRUZZI,	KENNETH	NETFLIX COM INC	В	1,122.06	0.00	0.00	0.00	80.0	0.00
12/19/2017 7	5502048 FERRUZZI,	KENNETH	PAYPAL HOLDINGS INC COM	В	1,568.19	0.00	0.00	0.00	80.0	0.00
12/04/2017 7	5502049 MORRIS, M	IICHAEL	BROADCOM LIMITED SHS	В	2,409.94	0.00	0.00	0.00	80.0	0.00
12/01/2017 7	5502050 DIPENICE,	WILLIAM	VERISK ANALYTICS INC COM	S	860.59	0.00	0.00	0.00	80.0	0.00
12/04/2017 7	5502050 DIPENICE,	WILLIAM	ANALOG DEVICES INC	В	1,865.61	0.00	0.00	0.00	80.0	0.00
12/11/2017 7	5502050 DIPENICE,	WILLIAM	AUTODESK INC	В	1,921.15	0.00	0.00	0.00	80.0	0.00
12/13/2017 7	5502050 DIPENICE,	WILLIAM	BOSTON SCIENTIFIC CORP	В	3,051.47	0.00	0.00	0.00	80.0	0.00
12/14/2017 7	5502050 DIPENICE,	WILLIAM	WALT DISNEY CO	В	3,067.59	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	ALPHABET INC CLASS C CAPITAL STOCK	S	1,066.69	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	BERKSHIRE HATHAWAY INC DEL CL B	S	595.72	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	BLACKROCK INC	S	516.46	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	CHENIERE ENERGY INC COM	S	456.21	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	GOLDMAN SACHS GROUP INC	S	784.47	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	INTERCONTINENTAL EXCHANGE INC	S	419.82	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	MICROSOFT CORP	S	430.03	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502050 DIPENICE,	WILLIAM	WEX INC	S	417.97	0.00	0.00	0.00	80.0	0.00
12/19/2017 7	5502051 DIPENICE,	NINA	KLA-TENCOR CORP COM	В	2,238.91	0.00	0.00	0.00	80.0	0.00
12/19/2017 7	5502051 DIPENICE,	NINA	NETFLIX COM INC	В	2,244.12	0.00	0.00	0.00	80.0	0.00
12/19/2017 7	5502051 DIPENICE,	NINA	PAYPAL HOLDINGS INC COM	В	2,987.02	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	ACADIA HEALTHCARE CO INC COM	S	32.25	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	AETNA INC NEW	S	181.62	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	ALEXION PHARMACEUTICALS INC	S	118.66	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	ALPHABET INC CLASS C CAPITAL STOCK	S	1,064.52	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	AMAZON.COM INC	S	1,174.01	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	AMETEK INC NEW	S	72.51	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	ANSYS INC	S	147.12	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	APPLE INC	S	525.75	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	CHURCH & DWIGHT CO INC	S	99.37	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	COGNIZANT TECHNOLOGY SOLUTIONS COR	P S	217.89	0.00	0.00	0.00	80.0	0.00
12/21/2017 7	5502051 DIPENICE,	NINA	COMCAST CORP CL A	S	368.48	0.00	0.00	0.00	80.0	0.00

### COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKE	R TRANS	ACTIONS					
PRODUCT CATEGORY: STOCKS								
12/21/2017 75502051 DIPENICE, NINA	COSTCO WHOLESALE CORP-NEW	S	187.21	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	DANAHER CORPORATION	S	94.18	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	ECOLAB INC	S	134.00	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	EOG RES INC	S	106.26	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	EPAM SYSTEMS INC	S	108.00	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	FACEBOOK INC CL A	S	177.33	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	FIDELITY NATIONAL INFORMATION	SERVI S	95.05	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	GLOBUS MEDICAL INC	S	40.06	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	INCYTE GENOMICS INC	S	96.85	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	LIGAND PHARMACEUTICALS INC	S	136.05	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	LILLY ELI & CO	S	85.70	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	LKQ CORPORATION	S	40.93	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	MAXIMUS INC	S	71.24	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	MICROSOFT CORP	S	428.05	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	PEPSICO INC	S	118.63	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	SALESFORCE.COM INC	S	103.59	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	SNYDERS-LANCE INC COM	S	50.28	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	UNITEDHEALTH GROUP INC	S	221.53	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	VISA INC CL A COMMON STOCK	S	224.70	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	WASTE CONNECTIONS INC COM	S	283.37	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051 DIPENICE, NINA	YUM BRANDS INC	S	82.75	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502083 MAISELL, ADRIANE	KLA-TENCOR CORP COM	В	1,007.51	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502083 MAISELL, ADRIANE	NETFLIX COM INC	В	935.05	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502083 MAISELL, ADRIANE	PAYPAL HOLDINGS INC COM	В	1,344.16	0.00	0.00	0.00	80.0	0.00
	* STOCKS SUBT	OTAL *	\$39,318.13	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MISCELLANEOUS								
12/31/2017 VARIOUS, DIPENICE	RBC ADVISORY INCOME	В	0.00	590.66	0.00	590.66	80.0	472.53
	* MISCELLANEOUS SUBTOTAL *		\$0.00	\$590.66	\$0.00	\$590.66		\$472.53
	*** BROKER TRANSACTIONS TOTAL ***			\$590.66	\$0.00	\$590.66		\$472.53

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02/02/2016		COMMISSION STATEMENT for WILLIAM DIPENICE							
TRADE DATE	CLIENT	INVESTMENT	B/S	NVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUS	STMENT	<u>s</u>					
12/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-97.06
12/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
12/31/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
12/31/2017		OTHER: OTHER-STATE REGIST		0.00	0.00	0.00	0.00		-392.00
		*** ADJUSTMENTS TOTAL **	**	\$0.00	\$0.00	\$0.00	\$0.00		\$-792.06
						Ē	SSION	TOTALS	
						Broker Transactions			\$472.53
						Spli	t Transactions		\$0.00
						Overrid	e Transactions		\$0.00
							Adjustments		\$-792.06
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior 1	Period Balance		\$169.53
						FII	NRA Assessment		\$0.00
						S	IPC Assessment		\$0.00
				etain for You BE NO CHECK		Balance Forward			\$-150.00
		1123	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1.0 0111010		Year-to	-date Earnings		\$91.67