



All Companies
COMMISSION STATEMENT
April 16, 2018

04/12/2018
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JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/31/2018	TRAILS	-OPPENHEIMER FUNDS	B	8.65	8.67	0.00	8.67	70.0	6.07
03/31/2018	TRAILS	-PUTNAM	B	38.95	38.95	0.00	38.95	70.0	27.27
03/29/2018	TRAILS	PACIFIC LIFE FUNDS - GENERAL	B	159.62	159.62	0.00	159.62	70.0	111.73
04/02/2018	00075227122 GAYLORD, CYNTHIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	70.0	1.49
04/05/2018	00089965164 YETTER, CHRISTINA	NEW PERSPECTIVE FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
	B.								
04/05/2018	00089965211 TRIPPLE, JAMES M.	NEW PERSPECTIVE FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
03/26/2018	7500540727 HESS, MICHAEL &	OPPENHEIMER GLOBAL FD CL A	B	100.00	4.75	0.00	4.75	70.0	3.33
	LORA								
* MUTUAL FUNDS SUBTOTAL *				\$557.22	\$222.62	\$0.00	\$222.62		\$155.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
03/31/2018	TRAILS	-HARTFORD LIFE	B	413.42	413.42	0.00	413.42	70.0	289.39
03/31/2018	TRAILS	-NATIONWIDE LIFE	B	1,174.76	1,174.76	0.00	1,174.76	70.0	822.33
04/02/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	202.68	202.68	0.00	202.68	70.0	141.88
04/07/2018	TRAILS	AXA ACCUMULATOR	B	179.81	179.81	0.00	179.81	70.0	125.87
03/15/2018	GOETZ, CAROLYN S	CANADA LIFE VA	B	171.52	2.58	0.00	2.58	70.0	1.81
03/31/2018	TRAILS	LINCOLN NATIONAL LIFE INSURANCE COM B	B	154.81	154.81	0.00	154.81	70.0	108.37
03/31/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	765.21	765.21	0.00	765.21	70.0	535.65
* VARIABLE ANNUITIES SUBTOTAL *				\$3,062.21	\$2,893.27	\$0.00	\$2,893.27		\$2,025.30
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/16/2018	TRAIL COMMISSIONS	INVESCO FAMILY OF FUNDS FEES		0.00	9.69	0.00	9.69	70.0	6.78
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	57.63	0.00	57.63	70.0	40.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$67.32	\$0.00	\$67.32		\$47.12
*** BROKER TRANSACTIONS TOTAL ***				\$3,619.43	\$3,183.21	\$0.00	\$3,183.21		\$2,228.27

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ROTHSCHILD/POOL #9629</u>									
03/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	116.44	69.86	0.00	69.86	70.0	48.90
03/16/2001	CAMAGANACAN, SIXTO	RELIASTAR LIFE INSURANCE	B	768.00	13.82	0.00	13.82	70.0	9.67
03/28/2018	ANGELO, CONCORDIA/ORLAN	RELIASTAR LIFE INSURANCE	B	206.82	3.10	0.00	3.10	70.0	2.17
03/26/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.30	0.00	0.30	70.0	0.21
03/26/2018	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.60	0.00	0.60	70.0	0.42
03/26/2018	0000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.30	0.00	0.30	70.0	0.21
03/26/2018	0000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.30	0.00	0.30	70.0	0.21
04/02/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	1.28	0.00	1.28	70.0	0.90
03/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	100.00	3.00	0.00	3.00	70.0	2.10
03/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.00	0.00	3.00	70.0	2.10
03/29/2018	00064155711 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	3.00	0.00	3.00	70.0	2.10
04/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	576.84	0.00	576.84	70.0	403.83
04/02/2018	08191365977 ATCHISON, STEPHEN	MFS LIFETIME 2025 FUND-B	B	100.00	2.40	0.00	2.40	70.0	1.68
* ROTHSCHILD/POOL SUBTOTAL *				\$1,791.26	\$677.80	\$0.00	\$677.80		\$474.50
*** SPLIT TRANSACTIONS TOTAL ***				\$1,791.26	\$677.80	\$0.00	\$677.80		\$474.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,621.44
Split Transactions	\$81.33
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,702.77
Year-to-date Earnings	\$19,894.81