

COMMISSION STATEMENT

November 30, 2017

NATHANIEL KUHN

612 S. Bristol Lane

Arlington Heights, IL 60005-

BROKER #: K134

BRANCH: KUHN FINANCIAL, INC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
DIRECT TRANSACTIONS									
PRODUCT CATEGORY: PRIVATE PLACEMENT									
11/13/2017	BIR, AMIT	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00	
11/13/2017	BIR, AMIT	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00	
		* PRIVATE PLACEMENT SUBTOTAL *	\$75,000.00	\$5,000.00	\$0.00	\$5,000.00		\$4,500.00	
PRODUCT CA	TEGORY: 1031 EXCHANGES								
11/13/2017	LUPEI, JACK	INLAND HEALTHCARE PF III DST	200,000.00	10,000.00	0.00	10,000.00	90.0	9,000.00	
		* 1031 EXCHANGES SUBTOTAL *	\$200,000.00	\$10,000.00	\$0.00	\$10,000.00		\$9,000.00	
PRODUCT CA	TEGORY: 529 PLANS								
11/06/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	150.00	6.38	0.00	6.38	90.0	5.74	
		* 529 PLANS SUBTOTAL *	\$150.00	\$6.38	\$0.00	\$6.38		\$5.74	
PRODUCT CA	TEGORY: MUTUAL FUNDS								
11/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	50.00	1.38	0.00	1.38	90.0	1.24	
11/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	100.00	1.00	0.00	1.00	90.0	0.90	
11/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	50.00	0.50	0.00	0.50	90.0	0.45	
11/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	50.00	0.50	0.00	0.50	90.0	0.45	
11/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	90.0	1.24	
11/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	90.0	1.92	
11/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24	
11/10/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92	
11/10/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92	
11/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24	
11/15/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25	
11/15/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92	
11/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92	
11/15/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25	
11/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	125.00	1.25	0.00	1.25	90.0	1.13	

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
11/15/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	50.00	2.13	0.00	2.13	90.0	1.92
11/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	125.00	1.25	0.00	1.25	90.0	1.13
11/10/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	50.00	0.50	0.00	0.50	90.0	0.45
11/10/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	50.00	1.38	0.00	1.38	90.0	1.24
11/15/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
11/15/2017	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	100.00	1.00	0.00	1.00	90.0	0.90
		* MUTUAL FUNDS SUBTOTAL *	\$1,300.00	\$33.18	\$0.00	\$33.18		\$29.88
		*** DIRECT TRANSACTIONS TOTAL ***	\$276,450.00	\$15,039.56	\$0.00	\$15,039.56		\$13,535.62

BROKER COMMISSION	TOTALS
Broker Transactions	\$13,535.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$13,535.62
Year-to-date Gross	\$494,682.96
Year-to-date Earnings	\$445,216.41

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed