



COMMISSION STATEMENT

August 20, 2018

RICHARD BIRNS
6800 Jericho Turnpike
Suite # 200AW
Syosset, NY 11791

BROKER #: 31
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/06/2018	***-**-192I - BIRNS, DAVID	HARTFORD CAPITAL APPRECIATION FUND	43.20	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 00000647924	BUY 2 Units @19.6900						
08/06/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD CAPITAL APPRECIATION F	43.20	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 00000647924	BUY 1 Units @41.5700						
08/06/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD GROWTH OPPORTUNITIES F	43.20	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 00000647924	BUY 1 Units @50.8900						
08/06/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD INTL CAPITAL APP. FUND	43.20	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 00000647924	BUY 3 Units @15.8700						
08/06/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD SMALL CAP GROWTH FD-A	43.20	0.86	0.00	0.86	75.0	0.65
	ACCOUNT #: 00000647924	BUY 1 Units @64.1600						
* MUTUAL FUNDS SUBTOTAL *			\$216.00	\$4.30	\$0.00	\$4.30		\$3.25
*** BROKER TRANSACTIONS TOTAL ***			\$216.00	\$4.30	\$0.00	\$4.30		\$3.25

BROKER COMMISSION TOTALS

Broker Transactions	\$3.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$76.80
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$80.05
Year-to-date Earnings	\$18,259.74