

COMMISSION STATEMENT

April 03, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
03/06/2017	QGN005159	HEALTHCARE, F/A/O		TG THERAPEUTICS INC COM	S	-1,002,270.00	2,000.00	0.00	2,000.00	55.0	1,100.00
03/01/2017	QGV006075	PARTNERS, F/A/O:		AMERICAN RAILCAR INDS INC COM	B	89,994.80	80.00	0.00	80.00	55.0	44.00
03/09/2017	QGV006075	PARTNERS, F/A/O:		VALVOLINE INC COM	S	-55,700.00	100.00	0.00	100.00	55.0	55.00
03/21/2017	QGV006075	PARTNERS, F/A/O:		CITIGROUP INC COM	S	-88,663.05	60.00	0.00	60.00	55.0	33.00
03/23/2017	QGV006075	PARTNERS, F/A/O:		LANNETT INC COM	B	37,362.50	70.00	0.00	70.00	55.0	38.50
03/28/2017	QGV006075	PARTNERS, F/A/O:		WISDOMTREE INVTS INC COM	B	13,305.00	60.00	0.00	60.00	55.0	33.00
03/02/2017	QGV006117	UBS SECURITIES LLC		TROPICANA ENTMT INC COM	S	-105,650.16	132.00	0.00	132.00	55.0	72.60
02/28/2017	QGW031072	KRAFF REVOCABLE		ZIOPHARM ONCOLOGY INC	B	64,550.00	1,000.00	0.00	1,000.00	55.0	550.00
03/01/2017	QGW031106	TIMYAN, PHILIP		BLUE VY BAN CORP OC-COM	B	1,059.00	2.75	0.00	2.75	55.0	0.00
03/01/2017	QGW031106	TIMYAN, PHILIP		CENTRAL FED CORP COM NEW	B	9,249.50	55.00	0.00	55.00	55.0	30.25
03/02/2017	QGW031106	TIMYAN, PHILIP		BLUE VY BAN CORP OC-COM	B	12,995.60	33.71	0.00	33.71	55.0	0.00
03/02/2017	QGW031106	TIMYAN, PHILIP		COUNTY BK CORP COM NEW	B	17,821.02	25.79	0.00	25.79	55.0	0.00
03/03/2017	QGW031106	TIMYAN, PHILIP		BLUE VY BAN CORP OC-COM	B	7,144.40	18.53	0.00	18.53	55.0	0.00
02/27/2017	QGW034852	HOLMES, MEGAN		ULTRA PETROLEUM CORP	B	4,801.49	21.09	0.00	21.09	55.0	0.00
02/28/2017	QGW034852	HOLMES, MEGAN		ULTRA PETROLEUM CORP	B	17,125.00	75.00	0.00	75.00	55.0	41.25
03/07/2017	QGW034852	HOLMES, MEGAN		ULTRA PETROLEUM CORP	B	12,129.75	75.00	0.00	75.00	55.0	41.25
03/13/2017	QGW034852	HOLMES, MEGAN		ULTRA PETROLEUM CORP	B	32,143.00	150.00	0.00	150.00	55.0	82.50
				* STOCKS SUBTOTAL *		\$-932,602.15	\$3,958.87	\$0.00	\$3,958.87		\$2,121.35
PRODUCT CATEGORY: OPTIONS											
03/06/2017	QGW034852	HOLMES, MEGAN		PUT 100 NAVISTAR INTL CORP NEW COM	S	-1,250.00	100.00	0.00	100.00	55.0	55.00
03/17/2017	QGW034852	HOLMES, MEGAN		PUT 100 PBF ENERGY INC CL A EXP 03-	B	820.00	75.00	0.00	75.00	55.0	41.25
				* OPTIONS SUBTOTAL *		\$-430.00	\$175.00	\$0.00	\$175.00		\$96.25
				*** BROKER TRANSACTIONS TOTAL ***		\$-933,032.15	\$4,133.87	\$0.00	\$4,133.87		\$2,217.60

BROKER COMMISSION TOTALS

Broker Transactions	\$2,217.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.17
SIPC Assessment	\$-77.51

Check Amount	\$2,134.92
Year-to-date Earnings	\$7,275.69