

COMMISSION STATEMENT

July 31, 2018

 KRIS LLOYD-D039
 4265 San Felipe Road
 Suite 1100
 Houston, TX 77027

 BROKER #: D039
 BRANCH: DMJ

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/24/2018 184116694	COMBS, ELIZABETH	S ANNALY CAPITAL MANAGEMENT	S	-2,006.37	81.35	15.00	66.35	90.0	59.72
07/25/2018 216051151	DAVID HOLLRAH IND	S CORNING INC	S	-32,934.99	357.13	15.00	342.13	90.0	307.92
07/25/2018 216051151	DAVID HOLLRAH IND	S FORD MOTOR CO NEW	B	10,294.01	131.56	15.00	116.56	90.0	104.90
07/25/2018 216051151	DAVID HOLLRAH IND	S HCP INC	S	-25,797.26	286.85	15.00	271.85	90.0	244.67
07/27/2018 216051151	DAVID HOLLRAH IND	S FACEBOOK INC-CLASS A	B	35,208.46	372.13	15.00	357.13	90.0	321.42
07/25/2018 338107996	HOLLRAH, DAVID	S CORNING INC	S	-26,293.47	291.73	15.00	276.73	90.0	249.06
07/25/2018 338107996	HOLLRAH, DAVID	S FORD MOTOR CO NEW	B	5,164.48	82.03	15.00	67.03	90.0	60.33
07/25/2018 338107996	HOLLRAH, DAVID	S HCP INC	S	-12,879.72	159.66	15.00	144.66	90.0	130.19
07/27/2018 338107996	HOLLRAH, DAVID	S FACEBOOK INC-CLASS A	B	35,204.48	372.09	15.00	357.09	90.0	321.38
07/25/2018 368259575	ROY, JOHN	S CORNING INC	S	-13,060.88	249.70	15.00	234.70	90.0	211.23
07/25/2018 368259575	ROY, JOHN	S HCP INC	S	-12,853.10	246.53	15.00	231.53	90.0	208.38
07/25/2018 368350989	TOWNES, BETTY	S HCP INC	S	-12,853.34	246.54	15.00	231.54	90.0	208.39
07/25/2018 368431919	COLEMAN, DONALD	S FORD MOTOR CO NEW	B	5,380.69	128.74	15.00	113.74	90.0	102.37
07/25/2018 368431919	COLEMAN, DONALD	S HCP INC	S	-25,748.30	442.91	15.00	427.91	90.0	385.12
07/26/2018 645219153	ROYER TTEE, MARY	S CORNING INC	S	-16,239.72	298.11	15.00	283.11	90.0	254.80
07/26/2018 645219153	ROYER TTEE, MARY	S HCP INC	S	-12,823.60	246.08	15.00	231.08	90.0	207.97
07/26/2018 645219578	ROYER TTEE, MARY	S CORNING INC	S	-29,113.91	494.16	15.00	479.16	90.0	431.24
07/26/2018 645219578	ROYER TTEE, MARY	S HCP INC	S	-12,833.25	246.23	15.00	231.23	90.0	208.11
07/26/2018 645219949	ROYER, MARY	S CORNING INC	S	-19,471.09	347.32	15.00	332.32	90.0	299.09
07/26/2018 645219949	ROYER, MARY	S HCP INC	S	-12,817.49	245.99	15.00	230.99	90.0	207.89
07/26/2018 645225418	ROYER TTEE, MARY	S CORNING INC	S	-15,439.16	285.92	15.00	270.92	90.0	243.83
07/26/2018 645225418	ROYER TTEE, MARY	S HCP INC	S	-12,827.24	246.14	15.00	231.14	90.0	208.03
06/28/2018 645300697	ROYER, KENNETH	S CORNING INC	S	-10,581.47	211.94	15.00	196.94	90.0	177.25
06/28/2018 645300697	ROYER, KENNETH	S NORDIC AMERICAN OFFSHORE LTD	S	3.49	0.00	15.00	-15.00	90.0	-13.50
06/28/2018 645300697	ROYER, KENNETH	S SEADRILL LIMITED ISIN	S	-129.69	60.00	15.00	45.00	90.0	40.50
06/28/2018 645300697	ROYER, KENNETH	S VICTORY PORTFOLIOS II CEMP US	S	-2,251.53	50.00	15.00	35.00	90.0	31.50
07/25/2018 677360654	SCHEPS-DERR, KIM	S FORD MOTOR CO NEW	B	10,383.93	202.68	15.00	187.68	90.0	168.91
07/25/2018 677360654	SCHEPS-DERR, KIM	S HCP INC	S	-12,862.70	246.68	15.00	231.68	90.0	208.51
* STOCKS SUBTOTAL *				\$-220,178.74	\$6,630.20	\$420.00	\$6,210.20		\$5,589.21

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/23/2018	mfc991470	LLOYD 991470		MFT AMCAP FUND-A	B	0.00	5.56	0.00	5.56 90.0 5.00
07/23/2018	mfc991470	LLOYD 991470		MFT AMCAP FUND-A	B	0.00	8.30	0.00	8.30 90.0 7.47
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.10	0.00	0.10 90.0 0.09
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.17	0.00	0.17 90.0 0.15
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.18	0.00	0.18 90.0 0.16
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.26	0.00	0.26 90.0 0.23
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.36	0.00	0.36 90.0 0.32
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.39	0.00	0.39 90.0 0.35
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.50	0.00	0.50 90.0 0.45
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	3.18	0.00	3.18 90.0 2.86
07/23/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	13.11	0.00	13.11 90.0 11.80
07/23/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	0.57	0.00	0.57 90.0 0.51
07/23/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	1.14	0.00	1.14 90.0 1.03
07/23/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	2.99	0.00	2.99 90.0 2.69
07/23/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	5.88	0.00	5.88 90.0 5.29
07/23/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	14.93	0.00	14.93 90.0 13.44
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.08	0.00	0.08 90.0 0.07
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.10	0.00	0.10 90.0 0.09
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.13	0.00	0.13 90.0 0.12
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.13	0.00	0.13 90.0 0.12
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.13	0.00	0.13 90.0 0.12
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.13	0.00	0.13 90.0 0.12
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.34	0.00	0.34 90.0 0.31
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.40	0.00	0.40 90.0 0.36
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.53	0.00	0.53 90.0 0.48
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.77	0.00	0.77 90.0 0.69
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.91	0.00	0.91 90.0 0.82
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.31	0.00	1.31 90.0 1.18
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.33	0.00	1.33 90.0 1.20
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.67	0.00	1.67 90.0 1.50
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.82	0.00	1.82 90.0 1.64
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	2.50	0.00	2.50 90.0 2.25
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	4.51	0.00	4.51 90.0 4.06
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.02	0.00	5.02 90.0 4.52
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.06	0.00	5.06 90.0 4.55
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.27	0.00	5.27 90.0 4.74
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.30	0.00	5.30 90.0 4.77
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	7.73	0.00	7.73 90.0 6.96
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	7.84	0.00	7.84 90.0 7.06
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	11.16	0.00	11.16 90.0 10.04
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	11.41	0.00	11.41 90.0 10.27
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.90	0.00	0.90 90.0 0.81
07/23/2018	mfc991470	LLOYD 991470		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.38	0.00	4.38 90.0 3.94

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07/23/2018	mfc991470 LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	7.90	0.00	7.90	90.0	7.11
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.38	0.00	3.38	90.0	3.04
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.05	0.00	4.05	90.0	3.65
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.33	0.00	4.33	90.0	3.90
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.50	0.00	5.50	90.0	4.95
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.45	0.00	6.45	90.0	5.81
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	24.05	0.00	24.05	90.0	21.65
07/23/2018	mfc991470 LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.62	0.00	4.62	90.0	4.16
07/23/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	1.64	0.00	1.64	90.0	1.48
07/23/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	3.86	0.00	3.86	90.0	3.47
07/23/2018	mfc991470 LLOYD 991470	MFT INTERNATIONAL GROWTH AND	B	0.00	4.63	0.00	4.63	90.0	4.17
07/23/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.85	0.00	0.85	90.0	0.77
07/23/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.28	0.00	1.28	90.0	1.15
07/23/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.81	0.00	4.81	90.0	4.33
07/23/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.33	0.00	6.33	90.0	5.70
07/23/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
07/23/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	7.85	0.00	7.85	90.0	7.07
07/23/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
07/23/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.94	0.00	7.94	90.0	7.15
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.11	0.00	0.11	90.0	0.10
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.57	0.00	0.57	90.0	0.51
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.61	0.00	0.61	90.0	0.55
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.80	0.00	0.80	90.0	0.72
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.91	0.00	0.91	90.0	0.82
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.51	0.00	1.51	90.0	1.36
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.90	0.00	1.90	90.0	1.71
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.47	0.00	3.47	90.0	3.12
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.22	0.00	4.22	90.0	3.80
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.63	0.00	4.63	90.0	4.17
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.00	0.00	5.00	90.0	4.50
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.68	0.00	5.68	90.0	5.11
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.78	0.00	5.78	90.0	5.20
07/23/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.08	0.00	8.08	90.0	7.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$281.27	\$0.00	\$281.27		\$253.18
*** CLEARING TRANSACTIONS TOTAL ***				\$-220,178.74	\$6,911.47	\$420.00	\$6,491.47		\$5,842.39

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018 (None) CITTI	MFT COLLEGE BOUND	B	0.00	6.18	0.00	6.18	90.0	5.56
06/30/2018 (None) GRAHAM	MFT NEST ADVISOR	B	0.00	0.92	0.00	0.92	90.0	0.83
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$7.10</u>	<u>\$0.00</u>	<u>\$7.10</u>	<u>\$6.39</u>
*** DIRECT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$7.10</u>	<u>\$0.00</u>	<u>\$7.10</u>	<u>\$6.39</u>

ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	: E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-185.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,848.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-185.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,663.78
Year-to-date Commissions	\$37,519.01