



All Companies

01/30/2018
Page No. 1

COMMISSION STATEMENT February 01, 2018

WILLIAM PRICE SCHWENNSEN
501 GREEN RIVER TRAIL

BROKER #: 9544
BRANCH: HOME OFFICE

Fort Worth, TX 76103-

COMMISSION STATEMENT for WILLIAM PRICE SCHWENNSEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	5,578.58	5,578.58	0.00	5,578.58	85.0	4,741.79
		* STOCKS SUBTOTAL *		\$5,578.58	\$5,578.58	\$0.00	\$5,578.58		\$4,741.79
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	2,513.17	2,513.17	0.00	2,513.17	85.0	2,136.19
		* VARIABLE ANNUITIES SUBTOTAL *		\$2,513.17	\$2,513.17	\$0.00	\$2,513.17		\$2,136.19
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	12422258 BROWN, FRANCES ELISE	SIGNAL SECURITIES RIA	B	0.00	83.33	0.00	83.33	85.0	70.83
01/12/2018	13105054 WHITE LAKE HILLS COM	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	85.0	96.05
01/12/2018	64481780 BROWN, FRANCES ELISE	SIGNAL SECURITIES RIA	B	0.00	133.67	0.00	133.67	85.0	113.62
01/12/2018	68177451 FERGUSON, CHARLES & ERNES	SIGNAL SECURITIES RIA	B	0.00	257.33	0.00	257.33	85.0	218.73
01/12/2018	79635223 RYON, RODY & BEVERLY	SIGNAL SECURITIES RIA	B	0.00	107.00	0.00	107.00	85.0	90.95
01/12/2018	84275067 FERGUSON, CHARLES & ERNES	SIGNAL SECURITIES RIA	B	0.00	324.67	0.00	324.67	85.0	275.97
01/12/2018	97084977 FERGUSON, ERNESTINE	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	85.0	25.50
		* RIA SUBTOTAL *		\$0.00	\$1,049.00	\$0.00	\$1,049.00		\$891.65
		*** BROKER TRANSACTIONS TOTAL ***		\$8,091.75	\$9,140.75	\$0.00	\$9,140.75		\$7,769.63
<u>ADJUSTMENTS</u>									
02/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
02/01/2018		HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-183.50
02/01/2018		HILLTOP: FIXED INCOME TICKET CHARGE		0.00	0.00	0.00	0.00		-8.75
02/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-319.75

BROKER COMMISSION TOTALS

Broker Transactions	\$7,769.63
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-319.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$7,449.88
Year-to-date Earnings	\$12,811.91