

**COMMISSION STATEMENT****August 15, 2018**

ROY, P123 PFLEGER II  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: P123  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/16/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.37	0.00	1.37	75.0	1.03
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1.37</b>	<b>\$0.00</b>	<b>\$1.37</b>		<b>\$1.03</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$1.37</b>	<b>\$0.00</b>	<b>\$1.37</b>		<b>\$1.03</b>

**SPLIT TRANSACTIONS****BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254**

07/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	259,079.78	37.70	0.00	37.70	75.0	28.28
07/16/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
07/16/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.21	0.00	0.21	75.0	0.16
07/19/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.61	0.00	0.61	75.0	0.46
07/19/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.55	0.00	0.55	75.0	0.41
07/19/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.95	0.00	2.95	75.0	2.21
07/19/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.61	0.00	2.61	75.0	1.96
07/19/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.21	0.00	0.21	75.0	0.16
07/27/2018	PFLEGER, CLIFFORD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.14	0.00	0.14	75.0	0.11
07/13/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	75.0	0.27
07/13/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.58	0.00	1.58	75.0	1.19
<b>* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *</b>			<b>\$259,079.78</b>	<b>\$47.00</b>	<b>\$0.00</b>	<b>\$47.00</b>		<b>\$35.27</b>

**BROKER: DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K #381**

08/09/2018	SLEEPER, KEVIN	INLAND HEALTHCARE HOSPITALITY DST	157,642.68	1,576.43	0.00	1,576.43	75.0	1,182.32
07/27/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.62	0.00	0.62	75.0	0.47
07/13/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.72	0.00	1.72	75.0	1.29
<b>* DAN/ROY/JEFF/JP FT-R141 RAU/PFLEG/K SUBTOTAL *</b>			<b>\$157,642.68</b>	<b>\$1,578.77</b>	<b>\$0.00</b>	<b>\$1,578.77</b>		<b>\$1,184.08</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$416,722.46</b>	<b>\$1,625.77</b>	<b>\$0.00</b>	<b>\$1,625.77</b>		<b>\$1,219.35</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*EO INS: CURRENT MONTH PFLEGER R137	0.00					-105.00
08/15/2018		*FINRA: FL INDIVIDUAL REG. FEE	0.00					-50.00
08/15/2018		*FINRA: VT INDIVIDUAL REG. FEE	0.00					-90.00
08/15/2018		*FINRA: WA INDIVIDUAL REG. FEE	0.00					-40.00
08/15/2018		*MISC: OVERNIGHT FEE 7-31/18	0.00					-10.00
08/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-0.54
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-0.69
08/15/2018		*TECHNOLOGY: CURRENT MONTH PFLEGER R137	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-392.48

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1.03
Split Transactions	\$1,219.35
Override Transactions	\$0.00
Adjustments	\$-392.48
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$827.90
Year-to-date Gross	\$95,804.03
Year-to-date Earnings	\$71,818.37

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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