



All Companies

04/27/2018
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COMMISSION STATEMENT May 01, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/20/2018	000000000894129	BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50	60.0	0.30
04/10/2018	000000006329360	EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50	60.0	0.30
04/10/2018	000000006329360	EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50	60.0	0.30
04/10/2018	000000006330997	EVANGELISTA, AURELIO/BEATRIC	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75	60.0	0.45
04/10/2018	00059867817	AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50	60.0	1.50
04/10/2018	00060555032	JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88	60.0	1.13
04/10/2018	00060555033	JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	60.0	1.13
04/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
04/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
04/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
04/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
04/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
04/10/2018	00061689866	JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	8.50	0.00	8.50	60.0	5.10
04/16/2018	00062049074	CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88	60.0	1.13
04/16/2018	00062049074	CALUNGSUD, KELLY M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88	60.0	1.13
04/16/2018	00062049074	CALUNGSUD, KELLY M.	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	60.0	0.90
04/16/2018	00062049074	CALUNGSUD, KELLY M.	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88	60.0	1.13
04/16/2018	00066945809	BARCENAS, RODOLFO L.	AMERICAN HIGH-INCOME TRUST-C	B	100.00	1.00	0.00	1.00	60.0	0.60
04/16/2018	00066945809	BARCENAS, RODOLFO L.	AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
04/16/2018	00066945809	BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80	60.0	0.48

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	L.								
04/16/2018	00066945809 BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	60.0	0.60
	L.								
04/16/2018	00066945809 BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
04/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60	60.0	0.36
04/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
04/12/2018	00073447265 JUMAO-AS, LAUREN	CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60	60.0	0.36
04/12/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
04/12/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
04/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83	60.0	0.50
04/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
04/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
04/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
04/16/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
04/16/2018	00075871895 SEGUNDO, LORENCE/DANIEL	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50	60.0	1.50
04/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
04/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20	60.0	0.72
04/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
04/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50	60.0	0.90
04/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
04/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
04/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20	60.0	0.72
04/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
04/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50	60.0	0.90
04/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
04/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90	60.0	0.54
04/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13	60.0	0.68
04/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90	60.0	0.54
04/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13	60.0	0.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/16/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13	60.0	0.68
04/16/2018	00082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25	60.0	2.55
04/16/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00	60.0	1.80
04/16/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
04/16/2018	00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00	60.0	1.80
04/16/2018	00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
04/16/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75	60.0	2.25
04/10/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
04/10/2018	00087696759 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	60.0	3.00
04/10/2018	04038841757 JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50	60.0	1.50
04/02/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00	60.0	0.60
04/02/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88	60.0	1.13
* MUTUAL FUNDS SUBTOTAL *				\$3,275.00	\$102.77	\$0.00	\$102.77		\$61.69
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/13/2018	TRAILS	LINCOLN BENEFIT LIFE	B	8.85	8.85	0.00	8.85	60.0	5.31
* VARIABLE ANNUITIES SUBTOTAL *				\$8.85	\$8.85	\$0.00	\$8.85		\$5.31
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	5.75	0.00	5.75	60.0	3.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$5.75	\$0.00	\$5.75		\$3.45
*** BROKER TRANSACTIONS TOTAL ***				\$3,283.85	\$117.37	\$0.00	\$117.37		\$70.45

BROKER COMMISSION TOTALS

Broker Transactions	\$70.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$70.45
Year-to-date Earnings	\$6,049.03