



Concorde Investment Services, LLC

06/13/2017  
Page No. 1

# **COMMISSION STATEMENT** **June 15, 2017**

**ACCOUNT HOUSE**  
1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**  
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	198,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-198,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	200,000.00	0.00	0.00	0.00	100.0	0.00
06/24/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	200,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-100,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-100,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	100,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	99,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	100,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-99,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	100,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-100,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	39,105.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-39,500.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	39,500.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-39,500.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	69,300.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-70,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	70,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	39,500.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-39,105.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-69,300.00	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	39,500.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	70,000.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-39,500.00	0.00	0.00	0.00	100.0	0.00
09/30/2016	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-70,000.00	0.00	0.00	0.00	100.0	0.00
05/22/2017	HERNDON, TIMOTHY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.03	0.00	1.03	100.0	1.03
05/22/2017	MCGINNIS TRUST	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.67	0.00	6.67	100.0	6.67
05/22/2017	CICONE, SISENANDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.32	0.00	1.32	100.0	1.32
06/09/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-25,000.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	24,750.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-33,300.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	32,967.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-25,000.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	32,967.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-33,300.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	24,750.00	0.00	0.00	0.00	100.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-1,166.00	\$9.02	\$0.00	\$9.02		\$9.02
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
06/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	0.00	10.33	0.00	10.33	100.0	10.33
06/01/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	0.00	0.74	0.00	0.74	100.0	0.74
06/01/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	0.00	0.74	0.00	0.74	100.0	0.74
05/24/2017	LAROCCA II, JOHN	AM FUNDS 2045 TARGET DATE-A	400.00	20.00	0.00	20.00	100.0	20.00
06/01/2017	LAROCCA II, JOHN	AM FUNDS 2045 TARGET DATE-A	0.00	8.20	0.00	8.20	100.0	8.20
06/01/2017	MORRISON, LINDSAY	AM FUNDS AMCAP 529A	0.00	1.91	0.00	1.91	100.0	1.91
06/01/2017	MORRISON, KYLE	AM FUNDS AMCAP 529A	0.00	0.28	0.00	0.28	100.0	0.28
05/25/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	124.47	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-130.00	0.00	0.00	0.00	100.0	0.00
06/01/2017	CLOSED-LADUKE-ROBSON, HEATHER	AM FUNDS AMCAP A	0.00	8.25	0.00	8.25	100.0	8.25
06/01/2017	HOOTON, RAYMOND	AM FUNDS AMCAP A	0.00	5.08	0.00	5.08	100.0	5.08
06/05/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
05/30/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
06/01/2017	SCHIRO, FRANK	AM FUNDS AMERICAN BALANCED 529A	0.00	2.73	0.00	2.73	100.0	2.73
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	0.00	13.31	0.00	13.31	100.0	13.31
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	0.00	11.84	0.00	11.84	100.0	11.84
06/01/2017	FORSBERG, CHERYL	AM FUNDS AMERICAN BALANCED 529A	0.00	6.68	0.00	6.68	100.0	6.68
06/01/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	0.00	23.15	0.00	23.15	100.0	23.15
06/01/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	0.00	6.49	0.00	6.49	100.0	6.49
06/01/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	0.00	6.49	0.00	6.49	100.0	6.49
06/01/2017	DIRMEYER, DAVID	AM FUNDS AMERICAN BALANCED 529A	0.00	3.04	0.00	3.04	100.0	3.04
06/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
06/01/2017	LENCZOWSKI, JANICE	AM FUNDS AMERICAN BALANCED A	0.00	4.37	0.00	4.37	100.0	4.37
06/01/2017	TOONDER, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	1.92	0.00	1.92	100.0	1.92
06/01/2017	JOHNSTON, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	3.71	0.00	3.71	100.0	3.71
06/01/2017	SEMRAU, SHELLY	AM FUNDS AMERICAN BALANCED A	0.00	2.95	0.00	2.95	100.0	2.95
06/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	48.42	0.00	48.42	100.0	48.42
06/01/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	0.00	0.87	0.00	0.87	100.0	0.87
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	0.00	23.75	0.00	23.75	100.0	23.75
06/01/2017	GENZON, SERGIO	AM FUNDS AMERICAN BALANCED A	0.00	8.78	0.00	8.78	100.0	8.78
06/01/2017	SALAS FAMILY TRUST	AM FUNDS AMERICAN BALANCED A	0.00	106.34	0.00	106.34	100.0	106.34
06/01/2017	LAWMASTER, LESLIE	AM FUNDS AMERICAN BALANCED A	0.00	9.03	0.00	9.03	100.0	9.03
06/01/2017	ERICKSON/BENE, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	5.08	0.00	5.08	100.0	5.08
06/01/2017	ERICKSON, JEFFREY	AM FUNDS AMERICAN BALANCED A	0.00	15.70	0.00	15.70	100.0	15.70
06/01/2017	ERICKSON, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	10.95	0.00	10.95	100.0	10.95
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED B	0.00	0.19	0.00	0.19	100.0	0.19
06/01/2017	STONE, COLEEN	AM FUNDS AMERICAN BALANCED C	0.00	2.32	0.00	2.32	100.0	2.32
06/01/2017	ANDREWS, ASHLEY	AM FUNDS AMERICAN BALANCED C	0.00	23.73	0.00	23.73	100.0	23.73
06/01/2017	WAXHAM, HEATHER	AM FUNDS AMERICAN BALANCED C	0.00	37.24	0.00	37.24	100.0	37.24
06/01/2017	HAIDER, MARIAM	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	1.56	0.00	1.56	100.0	1.56
06/01/2017	HAIDER, HANNA	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	0.42	0.00	0.42	100.0	0.42
06/01/2017	HERINGSHAUSEN, ERNESTING	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.38	0.00	2.38	100.0	2.38

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
06/01/2017	HERINGSHAUSEN, DENNIS	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	2.40	0.00	2.40	100.0	2.40
06/01/2017	HERINGSHAUSEN, DENNIS	AM FUNDS AMERICAN HIGH-INC MUNI BON	0.00	5.79	0.00	5.79	100.0	5.79
06/01/2017	RADO LIV TRUST, JUNE	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	24.57	0.00	24.57	100.0	24.57
06/01/2017	JOY ORDIZ VANDEKERKHOVE,	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.66	0.00	0.66	100.0	0.66
06/01/2017	COHEN, LORI PIERCE-	AM FUNDS AMERICAN MUTUAL 529C	0.00	14.44	0.00	14.44	100.0	14.44
05/30/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
06/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	150.00	1.80	0.00	1.80	100.0	1.80
06/01/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	0.00	24.22	0.00	24.22	100.0	24.22
06/01/2017	SEMRAU, SHELLY	AM FUNDS AMERICAN MUTUAL A	0.00	0.63	0.00	0.63	100.0	0.63
06/01/2017	LINDERMAN, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	119.71	0.00	119.71	100.0	119.71
06/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	0.00	12.96	0.00	12.96	100.0	12.96
06/01/2017	RUBLY, WILLIAM	AM FUNDS AMERICAN MUTUAL A	0.00	53.86	0.00	53.86	100.0	53.86
06/01/2017	RUBLY, DELPHINE	AM FUNDS AMERICAN MUTUAL A	0.00	67.31	0.00	67.31	100.0	67.31
06/01/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	0.00	8.09	0.00	8.09	100.0	8.09
06/01/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	0.00	1.28	0.00	1.28	100.0	1.28
06/01/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	0.00	3.46	0.00	3.46	100.0	3.46
06/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL B	0.00	0.06	0.00	0.06	100.0	0.06
06/01/2017	LOPER, MARY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	JOHNSTON, BARBARA	AM FUNDS BOND FUND OF AMERICA A	0.00	2.33	0.00	2.33	100.0	2.33
06/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
06/01/2017	CASSADY, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.02	0.00	3.02	100.0	3.02
06/01/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	8.30	0.00	8.30	100.0	8.30
06/01/2017	WILLIAMS, KATHLEEN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	12.49	0.00	12.49	100.0	12.49
06/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	19.19	0.00	19.19	100.0	19.19
06/01/2017	NEVILLE, KAREN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.65	0.00	2.65	100.0	2.65
06/01/2017	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	5.49	0.00	5.49	100.0	5.49
06/01/2017	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	8.28	0.00	8.28	100.0	8.28
06/01/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	4.80	0.00	4.80	100.0	4.80
06/01/2017	MARTINEZ, RICHARD	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.66	0.00	3.66	100.0	3.66
06/01/2017	RAINONE, TRISTINA DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.62	0.00	2.62	100.0	2.62
06/01/2017	RAINONE, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.62	0.00	2.62	100.0	2.62
06/01/2017	LOPER, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.06	0.00	0.06	100.0	0.06

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
06/01/2017	LOPER, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.06	0.00	0.06	100.0	0.06
06/01/2017	LOPER, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.10	0.00	0.10	100.0	0.10
06/01/2017	LOPER, MARY	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.11	0.00	0.11	100.0	0.11
06/01/2017	UPTON, TODD	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.75	0.00	1.75	100.0	1.75
06/01/2017	UPTON, TODD	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.21	0.00	2.21	100.0	2.21
06/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
06/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
06/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
06/01/2017	CHIMENTI, VINCENT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.41	0.00	2.41	100.0	2.41
06/01/2017	CLOSED-LADUKE-ROBSON, HEATHER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.18	0.00	6.18	100.0	6.18
06/01/2017	CLOSED-MCSPARIN-GARD, CINDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	9.15	0.00	9.15	100.0	9.15
06/01/2017	HOOTON, RAYMOND	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.51	0.00	3.51	100.0	3.51
06/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.26	0.00	0.26	100.0	0.26
06/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.27	0.00	3.27	100.0	3.27
06/01/2017	LAMOUNTAIN, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.60	0.00	1.60	100.0	1.60
06/01/2017	LUND, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.41	0.00	8.41	100.0	8.41
06/01/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.54	0.00	5.54	100.0	5.54
06/01/2017	DURR, GEORGE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.29	0.00	7.29	100.0	7.29
06/01/2017	MENDOZA, ANA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	100.0	0.01
06/01/2017	CARTWRIGHT, MICHAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.27	0.00	2.27	100.0	2.27
06/01/2017	BELLMARINE, ANGIE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.01	0.00	0.01	100.0	0.01
06/01/2017	PHILLIPS, SUNSHINE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	36.89	0.00	36.89	100.0	36.89
06/01/2017	VITIELLO, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.35	0.00	24.35	100.0	24.35
06/01/2017	LUND, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.57	0.00	17.57	100.0	17.57
06/01/2017	SUI-FILO, KRISTA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.12	0.00	1.12	100.0	1.12
06/01/2017	ERFLE, VICTORIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.60	0.00	20.60	100.0	20.60
06/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	100.0	0.80
06/01/2017	SLINKARD, LAURA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.40	0.00	3.40	100.0	3.40
06/01/2017	DONADIO, JASON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.02	0.00	0.02	100.0	0.02
06/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	30.04	0.00	30.04	100.0	30.04
06/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.98	0.00	8.98	100.0	8.98
06/01/2017	NUTT, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.98	0.00	4.98	100.0	4.98
06/01/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.59	0.00	2.59	100.0	2.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
06/01/2017	DONADIO, MICHELLE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	100.0	0.44
06/01/2017	ERICKSON/BENE, THOMAS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.14	0.00	2.14	100.0	2.14
06/01/2017	ERICKSON, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.12	0.00	2.12	100.0	2.12
06/01/2017	MASTERSON, ALANNA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.48	0.00	8.48	100.0	8.48
06/01/2017	JOHNSTON, BARBARA	AM FUNDS CAPITAL WORLD BOND A	0.00	2.21	0.00	2.21	100.0	2.21
05/23/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS CAPITAL WORLD GRTH & INC A	138.18	3.80	0.00	3.80	100.0	3.80
05/25/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	16.50	0.83	0.00	0.83	100.0	0.83
05/30/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
06/01/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	1.80	0.00	1.80	100.0	1.80
06/01/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	26.74	0.00	26.74	100.0	26.74
06/01/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.26	0.00	10.26	100.0	10.26
06/01/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.84	0.00	2.84	100.0	2.84
06/01/2017	JOHNSTON, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.26	0.00	1.26	100.0	1.26
06/01/2017	SEMPRAU, SHELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	100.0	0.24
06/01/2017	LAMOUNTAIN, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.31	0.00	2.31	100.0	2.31
06/01/2017	LUND, STEVEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	7.99	0.00	7.99	100.0	7.99
06/01/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.97	0.00	5.97	100.0	5.97
06/01/2017	LUND, STEVEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	18.85	0.00	18.85	100.0	18.85
06/01/2017	LUND, STEVEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.43	0.00	4.43	100.0	4.43
06/01/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.92	0.00	6.92	100.0	6.92
06/01/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.23	0.00	1.23	100.0	1.23
06/01/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.14	0.00	3.14	100.0	3.14
06/01/2017	BEAUDETT, TIMOTHY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.28	0.00	0.28	100.0	0.28
06/02/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
06/01/2017	DOUGHTERY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.43	0.00	3.43	100.0	3.43
05/30/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
06/01/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.80	0.00	0.80	100.0	0.80
06/01/2017	BRADY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.80	0.00	1.80	100.0	1.80
06/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.75	0.00	4.75	100.0	4.75
06/01/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	8.52	0.00	8.52	100.0	8.52
06/01/2017	FOSTER, KIMBERLY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.66	0.00	1.66	100.0	1.66
06/02/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
06/01/2017	GLOVER, FRANK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	50.83	0.00	50.83	100.0	50.83
06/01/2017	PLANSCAPE, INC 401(K) P/S	AM FUNDS EUROPACIFIC GROWTH FUND-R3	0.00	102.13	0.00	102.13	100.0	102.13
06/01/2017	SCHIRO, FRANK	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.93	0.00	2.93	100.0	2.93
06/01/2017	DIRMEYER, DAVID	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.23	0.00	3.23	100.0	3.23
06/01/2017	BLOOM, JANET	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.71	0.00	5.71	100.0	5.71
06/01/2017	JOHNSTON, BARBARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.09	0.00	4.09	100.0	4.09
06/01/2017	HOOTON, RAYMOND	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.88	0.00	4.88	100.0	4.88
06/01/2017	SEMRAU, SHELLY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.54	0.00	0.54	100.0	0.54
06/01/2017	GALVAN, ALEC	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.91	0.00	4.91	100.0	4.91
05/22/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2017	BEST, PAUL	AM FUNDS GROWTH AND INCOME A	0.00	130.04	0.00	130.04	100.0	130.04
06/01/2017	BEST, ANN	AM FUNDS GROWTH AND INCOME A	0.00	48.58	0.00	48.58	100.0	48.58
06/01/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	0.00	8.92	0.00	8.92	100.0	8.92
06/01/2017	RUBLY, WILLIAM	AM FUNDS GROWTH AND INCOME A	0.00	7.68	0.00	7.68	100.0	7.68
06/01/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	0.00	0.70	0.00	0.70	100.0	0.70
06/01/2017	RUBLY, ROBERT	AM FUNDS GROWTH AND INCOME A	0.00	0.88	0.00	0.88	100.0	0.88
06/01/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	0.00	12.89	0.00	12.89	100.0	12.89
06/01/2017	SCHIRO, FRANK	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.83	0.00	2.83	100.0	2.83
06/01/2017	BRADY, JOHN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.60	0.00	12.60	100.0	12.60
06/01/2017	LOPER, MARY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	LOPER, MARY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	LOPER, MARY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.02	0.00	0.02	100.0	0.02
06/01/2017	LOPER, MARY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.03	0.00	0.03	100.0	0.03
06/01/2017	LOPER, MARY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.03	0.00	0.03	100.0	0.03
06/01/2017	DIRMEYER, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.17	0.00	3.17	100.0	3.17
06/01/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	29.82	0.00	29.82	100.0	29.82
06/02/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
05/22/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
05/23/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS GROWTH FUND OF AMERICA A	134.11	3.69	0.00	3.69	100.0	3.69
05/25/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-130.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	124.47	0.00	0.00	0.00	100.0	0.00
05/25/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	17.00	0.85	0.00	0.85	100.0	0.85
05/30/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
06/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	150.00	1.80	0.00	1.80	100.0	1.80
06/01/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
06/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.63	0.00	6.63	100.0	6.63
06/01/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.45	0.00	4.45	100.0	4.45
06/01/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	33.22	0.00	33.22	100.0	33.22
06/01/2017	SINGER, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.40	0.00	1.40	100.0	1.40
06/01/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.49	0.00	3.49	100.0	3.49
06/01/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.09	0.00	4.09	100.0	4.09
06/01/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.69	0.00	3.69	100.0	3.69
06/01/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.33	0.00	9.33	100.0	9.33
06/01/2017	LAMOUNTAIN, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.91	0.00	2.91	100.0	2.91
06/01/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.99	0.00	14.99	100.0	14.99
06/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	0.00	36.48	0.00	36.48	100.0	36.48
06/01/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.50	0.00	2.50	100.0	2.50
06/01/2017	DIRMEYER, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.78	0.00	3.78	100.0	3.78
06/01/2017	TSOUNIS, NICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.23	0.00	2.23	100.0	2.23
06/01/2017	DIRMEYER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.80	0.00	3.80	100.0	3.80
06/01/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.80	0.00	8.80	100.0	8.80
06/01/2017	DIRMEYER, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.25	0.00	2.25	100.0	2.25
06/01/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.36	0.00	1.36	100.0	1.36
06/01/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.63	0.00	3.63	100.0	3.63
06/01/2017	NARESKI, RUSSELL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.61	0.00	8.61	100.0	8.61
06/05/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
06/01/2017	KEMP, JEREMY	AM FUNDS GROWTH FUND OF AMERICA B	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA B	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	TSOUNIS, NICK	AM FUNDS GROWTH FUND OF AMERICA B	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	LARA, PHILIP	AM FUNDS GROWTH PORT A	0.00	1.09	0.00	1.09	100.0	1.09
06/01/2017	BRADY, JOHN	AM FUNDS HIGH INCOME TRUST 529A	0.00	3.30	0.00	3.30	100.0	3.30
06/01/2017	SCHIRO, FRANK	AM FUNDS ICA 529A	0.00	2.87	0.00	2.87	100.0	2.87
06/01/2017	MORRISON, LINDSAY	AM FUNDS ICA 529A	0.00	3.21	0.00	3.21	100.0	3.21

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	MORRISON, KYLE	AM FUNDS ICA 529A	0.00	0.31	0.00	0.31	100.0	0.31
06/01/2017	BRADY, JOHN	AM FUNDS ICA 529A	0.00	36.21	0.00	36.21	100.0	36.21
06/01/2017	LOPER, MARY	AM FUNDS ICA 529A	0.00	0.06	0.00	0.06	100.0	0.06
06/01/2017	LOPER, MARY	AM FUNDS ICA 529A	0.00	0.06	0.00	0.06	100.0	0.06
06/01/2017	TORNOW, MARGARET	AM FUNDS ICA A	150.00	1.80	0.00	1.80	100.0	1.80
06/01/2017	CHIMENTI, VINCENT	AM FUNDS ICA A	0.00	2.12	0.00	2.12	100.0	2.12
06/01/2017	VANDERKHOVE, SKYLAR	AM FUNDS ICA A	0.00	0.53	0.00	0.53	100.0	0.53
06/01/2017	VANDERKHOVE, GAVIN	AM FUNDS ICA A	0.00	1.31	0.00	1.31	100.0	1.31
06/01/2017	CLOSED-MCSPARIN-GARD, CINDY	AM FUNDS ICA A	0.00	1.78	0.00	1.78	100.0	1.78
06/01/2017	HOOTON, RAYMOND	AM FUNDS ICA A	0.00	7.22	0.00	7.22	100.0	7.22
06/01/2017	TORNOW, MARGARET	AM FUNDS ICA A	0.00	12.84	0.00	12.84	100.0	12.84
06/01/2017	CAMUGLIA, GREG	AM FUNDS ICA A	0.00	0.93	0.00	0.93	100.0	0.93
06/01/2017	HOOTON, RAYMOND	AM FUNDS ICA A	0.00	4.48	0.00	4.48	100.0	4.48
06/01/2017	SEMRAU, SHELLY	AM FUNDS ICA A	0.00	0.32	0.00	0.32	100.0	0.32
06/01/2017	GLOVER, FRANK	AM FUNDS ICA A	0.00	27.93	0.00	27.93	100.0	27.93
06/01/2017	HORNER, KEVIN	AM FUNDS ICA A	0.00	7.86	0.00	7.86	100.0	7.86
06/01/2017	ERICKSON/BENE, THOMAS	AM FUNDS ICA A	0.00	2.54	0.00	2.54	100.0	2.54
06/01/2017	ERICKSON, JEFFREY	AM FUNDS ICA A	0.00	12.66	0.00	12.66	100.0	12.66
06/01/2017	ERICKSON, THOMAS	AM FUNDS ICA A	0.00	10.33	0.00	10.33	100.0	10.33
06/01/2017	BURCHMAN TR, MAE	AM FUNDS ICA A	0.00	23.98	0.00	23.98	100.0	23.98
06/01/2017	SCHURRER, JOHANNA	AM FUNDS ICA A	0.00	9.46	0.00	9.46	100.0	9.46
06/01/2017	SCHURRER, JOHANNA	AM FUNDS ICA A	0.00	10.84	0.00	10.84	100.0	10.84
06/01/2017	KRYCIAN, JENNIFER	AM FUNDS ICA A	0.00	0.44	0.00	0.44	100.0	0.44
06/01/2017	DALESSANDRO, COLLIN	AM FUNDS ICA A	0.00	12.94	0.00	12.94	100.0	12.94
06/01/2017	CLOSED-MCSPARIN-GARD, CINDY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.11	0.00	3.11	100.0	3.11
06/01/2017	GATNY, RENETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	78.66	0.00	78.66	100.0	78.66
06/01/2017	GATNY, RENETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.62	0.00	8.62	100.0	8.62
06/01/2017	GATNY TRUST, RENETTE	AM FUNDS INCOME FUND OF AMERICA A	0.00	55.50	0.00	55.50	100.0	55.50
06/01/2017	MI/TOD	AM FUNDS INCOME FUND OF AMERICA A	0.00	45.15	0.00	45.15	100.0	45.15
06/01/2017	ERICKSON/BENE, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.31	0.00	2.31	100.0	2.31
06/01/2017	ERICKSON, JEFFREY	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.69	0.00	12.69	100.0	12.69
06/01/2017	ERICKSON, THOMAS	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.58	0.00	10.58	100.0	10.58
06/01/2017	GLOVER, FRANK	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	5.33	0.00	5.33	100.0	5.33

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	SCHIRO, FRANK	AM FUNDS INCOME FUND OF AMERICA-529	0.00	2.74	0.00	2.74	100.0	2.74
06/01/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	0.00	12.64	0.00	12.64	100.0	12.64
06/01/2017	BLOOM, JANET	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	2.10	0.00	2.10	100.0	2.10
06/01/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
06/01/2017	JOHNSTON, BARBARA	AM FUNDS INTL GROWTH AND INCOME A	0.00	2.95	0.00	2.95	100.0	2.95
06/01/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.39	0.00	3.39	100.0	3.39
06/01/2017	CASSADY, JEFFREY	AM FUNDS NEW ECONOMY 529A	0.00	19.77	0.00	19.77	100.0	19.77
06/01/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	0.00	0.65	0.00	0.65	100.0	0.65
06/01/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.41	0.00	1.41	100.0	1.41
06/01/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.41	0.00	1.41	100.0	1.41
06/01/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.44	0.00	1.44	100.0	1.44
06/01/2017	DIRMEYER, DAVID	AM FUNDS NEW PERSPECTIVE 529A	0.00	3.04	0.00	3.04	100.0	3.04
06/01/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	0.00	25.73	0.00	25.73	100.0	25.73
06/02/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
05/23/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS NEW PERSPECTIVE A	134.11	3.69	0.00	3.69	100.0	3.69
05/25/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	16.50	0.83	0.00	0.83	100.0	0.83
05/30/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
06/01/2017	CAMUGLIA, GREG	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	0.00	1.09	100.0	1.09
06/01/2017	SINGER, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	1.25	0.00	1.25	100.0	1.25
06/01/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	0.00	3.01	0.00	3.01	100.0	3.01
06/01/2017	HOOTON, RAYMOND	AM FUNDS NEW PERSPECTIVE A	0.00	4.41	0.00	4.41	100.0	4.41
06/01/2017	LAMOUNTAIN, JENNIFER	AM FUNDS NEW PERSPECTIVE A	0.00	3.16	0.00	3.16	100.0	3.16
06/01/2017	ERICKSON/BENE, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	2.80	0.00	2.80	100.0	2.80
06/01/2017	ERICKSON, JEFFREY	AM FUNDS NEW PERSPECTIVE A	0.00	2.75	0.00	2.75	100.0	2.75
06/01/2017	TSOUNIS, NICK	AM FUNDS NEW PERSPECTIVE A	0.00	2.07	0.00	2.07	100.0	2.07
06/01/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	0.00	7.65	0.00	7.65	100.0	7.65
06/01/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	4.53	0.00	4.53	100.0	4.53
06/01/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	0.00	3.27	0.00	3.27	100.0	3.27
06/01/2017	TSOUNIS, NICK	AM FUNDS NEW PERSPECTIVE B	0.00	0.05	0.00	0.05	100.0	0.05
06/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
06/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	0.00	0.21	0.00	0.21	100.0	0.21
06/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	0.00	3.54	0.00	3.54	100.0	3.54
06/01/2017	HAIDER, MARIAM	AM FUNDS NEW WORLD A	0.00	0.63	0.00	0.63	100.0	0.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	LUND, STEVEN	AM FUNDS NEW WORLD A	0.00	5.27	0.00	5.27	100.0	5.27
06/01/2017	HOOTON, RAYMOND	AM FUNDS SMALLCAP WORLD A	0.00	4.02	0.00	4.02	100.0	4.02
06/01/2017	SEMRAU, SHELLY	AM FUNDS SMALLCAP WORLD A	0.00	0.53	0.00	0.53	100.0	0.53
06/01/2017	HORNER, KEVIN	AM FUNDS SMALLCAP WORLD A	0.00	5.48	0.00	5.48	100.0	5.48
06/01/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	0.00	1.91	0.00	1.91	100.0	1.91
06/01/2017	CARTWRIGHT, MICHAELA	AM FUNDS SMALLCAP WORLD A	0.00	2.08	0.00	2.08	100.0	2.08
06/01/2017	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	24.12	0.00	24.12	100.0	24.12
06/01/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	AM FUNDS SMALLCAP WORLD A	0.00	2.33	0.00	2.33	100.0	2.33
06/01/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	0.00	2.84	0.00	2.84	100.0	2.84
06/01/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00
06/01/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	0.00	102.37	0.00	102.37	100.0	102.37
06/01/2017	CASSADY, JEFFREY	AM FUNDS SMALLCAP WORLD-529A	0.00	17.39	0.00	17.39	100.0	17.39
06/01/2017	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD-529A	0.00	4.42	0.00	4.42	100.0	4.42
06/01/2017	VITIELLO, MICHAEL	AM FUNDS SMALLCAP WORLD-529A	0.00	6.62	0.00	6.62	100.0	6.62
06/01/2017	MARTINEZ, RICHARD	AM FUNDS SMALLCAP WORLD-529A	0.00	3.27	0.00	3.27	100.0	3.27
06/01/2017	BRADY, JOHN	AM FUNDS SMALLCAP WORLD-529A	0.00	5.76	0.00	5.76	100.0	5.76
06/01/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	1.80	0.00	1.80	100.0	1.80
06/01/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
06/01/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	39.12	0.00	39.12	100.0	39.12
06/01/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.55	0.00	3.55	100.0	3.55
06/01/2017	MORRISON, LINDSAY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.75	0.00	2.75	100.0	2.75
06/01/2017	MORRISON, KYLE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.38	0.00	0.38	100.0	0.38
06/01/2017	CONKLIN, JOEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.64	0.00	0.64	100.0	0.64
06/01/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	13.33	0.00	13.33	100.0	13.33
06/01/2017	LAMOUNTAIN, JENNIFER	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.82	0.00	2.82	100.0	2.82
06/01/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.06	0.00	0.06	100.0	0.06
06/01/2017	ERICKSON/BENE, THOMAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.48	0.00	2.48	100.0	2.48
06/01/2017	ERICKSON, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.45	0.00	2.45	100.0	2.45
06/01/2017	GALVAN, ALEC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.68	0.00	2.68	100.0	2.68
06/01/2017	DALESSANDRO/AUTH PER, ERIC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.42	0.00	0.42	100.0	0.42
06/01/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.17	0.00	3.17	100.0	3.17
06/01/2017	WELLS, GREG	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	1.13	0.00	1.13	100.0	1.13
06/01/2017	WELLS, GREG	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	1.13	0.00	1.13	100.0	1.13

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	WELLS, GREG	AMERICAN FUND BALANCED PORTFOLIO 52	0.00	1.07	0.00	1.07	100.0	1.07
06/01/2017	WELLS, GREG	AMERICAN FUND BALANCED PORTFOLIO 52	0.00	1.07	0.00	1.07	100.0	1.07
06/01/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	0.93	0.00	0.93	100.0	0.93
06/01/2017	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	1.39	0.00	1.39	100.0	1.39
06/01/2017	PLANSCAPE, INC 401(K) P/S	AMERICAN FUNDS FUNDAMENTAL INVESTOR	0.00	768.66	0.00	768.66	100.0	768.66
06/01/2017	PLANSCAPE, INC 401(K) P/S	AMERICAN FUNDS NEW WORLD R3	0.00	164.33	0.00	164.33	100.0	164.33
05/25/2017	CONCORDE, VARIOUS	AMERICAN FUNDS RETIREMENT INCOME PO	-25,000.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	AMERICAN FUNDS RETIREMENT INCOME PO	23,937.50	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	CATALYST/MAP GLOBAL TOTAL RETURN IN	-25,000.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	CATALYST/MAP GLOBAL TOTAL RETURN IN	23,750.00	0.00	0.00	0.00	100.0	0.00
05/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	31.93	0.00	31.93	100.0	31.93
05/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.34	0.00	7.34	100.0	7.34
05/25/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	28,800.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	FRANKLIN INCOME A	-30,000.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	ICON UTILITIES FUND CLASS A	28,725.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	ICON UTILITIES FUND CLASS A	-30,000.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	INVESCO GROWTH AND INCOME FUND CLAS	-4,000.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	INVESCO GROWTH AND INCOME FUND CLAS	3,970.00	0.00	0.00	0.00	100.0	0.00
05/31/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	74,250.00	0.00	0.00	0.00	100.0	0.00
05/31/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-75,000.00	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	24,750.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	32,967.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-33,300.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-25,000.00	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
06/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	445.50	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-450.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-1,213.59</b>	<b>\$3,706.57</b>	<b>\$0.00</b>	<b>\$3,706.57</b>		<b>\$3,706.57</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
06/07/2017	CONCORDE, VARIOUS	ANNALY CAP MGMT INC COM	24,100.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ANNALY CAP MGMT INC COM	12,050.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ANNALY CAP MGMT INC COM	9,639.36	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ANNALY CAP MGMT INC COM	-45,789.24	0.00	0.00	0.00	100.0	0.00
06/07/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	-42,894.28	0.00	0.00	0.00	100.0	0.00
06/07/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	4,947.00	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	20,622.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	1,649.98	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	4,124.95	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	ARBOR REALTY TRUST INC	11,549.86	0.00	1.00	-1.00	100.0	-1.00
05/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	-4,063.00	0.00	0.00	0.00	100.0	0.00
05/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	470.00	0.00	1.00	-1.00	100.0	-1.00
05/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	470.00	0.00	1.00	-1.00	100.0	-1.00
05/26/2017	CONCORDE, VARIOUS	BITCOIN SVCS INC COM	3,120.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	3,890.00	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-790.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-1,395.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-775.50	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-724.94	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-205.53	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,416.10	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,833.00	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,534.82	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,586.03	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	8,369.98	0.00	0.00	0.00	100.0	0.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	922.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	FS INVT CORP COM	-14,760.00	0.00	0.00	0.00	100.0	0.00
05/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	433.20	0.00	1.00	-1.00	100.0	-1.00
05/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	2,630.97	0.00	1.00	-1.00	100.0	-1.00
05/26/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-3,075.00	0.00	0.00	0.00	100.0	0.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,099.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,098.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,098.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,098.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-3,098.00	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-53,880.31	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	87,965.28	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	19,769.94	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-12,652.40	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-7,117.54	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	MANULIFE FINL CORP COM ISIN#CA56501	-2,784.16	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	MANULIFE FINL CORP COM ISIN#CA56501	-1,773.10	0.00	1.00	-1.00	100.0	-1.00
06/09/2017	CONCORDE, VARIOUS	MANULIFE FINL CORP COM ISIN#CA56501	4,557.25	0.00	0.00	0.00	100.0	0.00
06/07/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	25,923.90	0.00	0.00	0.00	100.0	0.00
06/07/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-864.50	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
06/07/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-864.50	0.00	1.00	-1.00	100.0	-1.00
06/07/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-24,194.80	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-88.83	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-571.32	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	660.15	0.00	0.00	0.00	100.0	0.00
06/12/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,276.02	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-727.32	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-280.72	0.00	1.00	-1.00	100.0	-1.00
06/12/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	2,284.06	0.00	0.00	0.00	100.0	0.00
06/02/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	29,600.00	0.00	0.00	0.00	100.0	0.00
06/02/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-7,400.00	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-7,400.00	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-2,072.00	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-8,214.00	0.00	1.00	-1.00	100.0	-1.00
06/02/2017	CONCORDE, VARIOUS	WELLTOWER INC COM STK	-4,514.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-38,824.95	0.00	0.00	0.00	100.0	0.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,582.50	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,585.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,585.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,590.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	7,770.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	5,180.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	7,762.50	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,590.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,590.00	0.00	1.00	-1.00	100.0	-1.00
05/25/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,590.00	0.00	1.00	-1.00	100.0	-1.00
06/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-2,895.00	0.00	1.00	-1.00	100.0	-1.00
06/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-5,790.00	0.00	1.00	-1.00	100.0	-1.00
06/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-5,790.00	0.00	1.00	-1.00	100.0	-1.00
06/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-26,055.00	0.00	1.00	-1.00	100.0	-1.00
06/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-2,895.00	0.00	1.00	-1.00	100.0	-1.00
06/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	43,425.00	0.00	0.00	0.00	100.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$-14.53</b>	<b>\$0.00</b>	<b>\$82.00</b>	<b>\$-82.00</b>		<b>\$-82.00</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2017	MEAD, ELIZABETH	DELAWARE LIFE TRAILS	0.00	2.74	0.00	2.74	100.0	2.74
06/06/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	344,539.72	287.12	0.00	287.12	100.0	287.12
06/06/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,438.70	18.70	0.00	18.70	100.0	18.70
06/06/2017	CICHEWICZ, RICHARD	GENWORTH CHOICE VA	39,697.13	33.08	0.00	33.08	100.0	33.08
06/06/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	147,853.49	123.21	0.00	123.21	100.0	123.21
06/06/2017	GARBOWSKI, CHRISTOPHER	GENWORTH CHOICE VA	31,266.03	26.05	0.00	26.05	100.0	26.05
06/06/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	482.68	0.08	0.00	0.08	100.0	0.08
06/06/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	26,132.86	4.36	0.00	4.36	100.0	4.36
05/16/2017	FORMELLA, ANNETTE	GENWORTH INS	10,175.90	2.12	0.00	2.12	100.0	2.12
05/16/2017	HORIBA, MASATAKE	GENWORTH INS	171,557.91	35.74	0.00	35.74	100.0	35.74
05/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	14,599.89	3.04	0.00	3.04	100.0	3.04
05/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	18,446.61	15.37	0.00	15.37	100.0	15.37
05/25/2017	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	10.50	0.00	10.50	100.0	10.50
05/30/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	754.82	0.15	0.00	0.15	100.0	0.15
05/30/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	9,821.00	2.06	0.00	2.06	100.0	2.06
05/30/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,882.39	1.02	0.00	1.02	100.0	1.02
05/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	97,126.86	80.94	0.00	80.94	100.0	80.94
05/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	110,747.23	92.29	0.00	92.29	100.0	92.29
05/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,873.07	40.73	0.00	40.73	100.0	40.73
05/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	68,696.60	57.25	0.00	57.25	100.0	57.25
05/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,671.48	8.06	0.00	8.06	100.0	8.06
05/28/2017	NIEMI TRUST, HARRY	TRANSAMERICA LIBERTY	23,670.43	19.73	0.00	19.73	100.0	19.73
05/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	77,385.13	64.49	0.00	64.49	100.0	64.49
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,278,969.93</b>	<b>\$928.83</b>	<b>\$0.00</b>	<b>\$928.83</b>		<b>\$928.83</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
05/25/2017	CONCORDE, VARIOUS	ADVISORS DISCIPLINED TR UNIT 1783 B	6,111.56	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	ADVISORS DISCIPLINED TR UNIT 1783 B	-6,307.07	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6540 TECHNOLOGY SELECT PORT	13,927.72	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6540 TECHNOLOGY SELECT PORT	-14,306.80	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6551 US INFRASTRUCTURE PORT	6,962.22	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6551 US INFRASTRUCTURE PORT	-7,151.77	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6586 EUROPEAN TARGET HIGH Q	-21,450.17	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6586 EUROPEAN TARGET HIGH Q	21,074.86	0.00	17.50	-17.50	100.0	-17.50

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6606 ENERGY SELECT PORT SER	6,968.60	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6606 ENERGY SELECT PORT SER	-7,158.30	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6646 FINLS SELECT PORT SER	-14,300.98	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6646 FINLS SELECT PORT SER	13,922.05	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6647 HEALTH CARE SELECT POR	13,928.39	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6647 HEALTH CARE SELECT POR	-14,307.60	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6654 EQUITY INCOME SELECT P	-14,300.00	0.00	17.50	-17.50	100.0	-17.50
06/12/2017	CONCORDE, VARIOUS	FT UNIT 6654 EQUITY INCOME SELECT P	14,014.00	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	-64,001.66	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	49,885.95	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	32,133.14	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	62,305.79	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	-51,008.40	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	-33,007.75	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR PORTFOLIO CLOSED-END FD OP	-33,004.48	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR PORTFOLIO CLOSED-END FD OP	49,878.30	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR PORTFOLIO CLOSED-END FD OP	32,130.02	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR PORTFOLIO CLOSED-END FD OP	62,313.96	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR PORTFOLIO CLOSED-END FD OP	-51,000.09	0.00	17.50	-17.50	100.0	-17.50
05/25/2017	CONCORDE, VARIOUS	SMART TR PORTFOLIO CLOSED-END FD OP	-64,009.91	0.00	17.50	-17.50	100.0	-17.50
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$-9,758.42</b>	<b>\$0.00</b>	<b>\$490.00</b>	<b>\$-490.00</b>		<b>\$-490.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
05/17/2017	ADAMS, VARIOUS	LBL TRAILS	0.00	1.25	0.00	1.25	100.0	1.25
05/25/2017	ADAMS, VARIOUS	LBL TRAILS	0.00	2.92	0.00	2.92	100.0	2.92
05/26/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	14.34	0.00	14.34	100.0	14.34
06/02/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
06/02/2017	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
06/02/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
06/02/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$448.83</b>	<b>\$30.25</b>	<b>\$0.00</b>	<b>\$30.25</b>		<b>\$30.25</b>

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.76	0.00	0.76	100.0	0.76
05/15/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	100.0	0.26
05/15/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	1.74	0.00	1.74	100.0	1.74
05/11/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01
05/11/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.50	0.00	1.50	100.0	1.50
05/30/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.35	0.00	0.35	100.0	0.35
05/30/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.48	0.00	2.48	100.0	2.48
04/30/2017	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.82	0.00	2.82	100.0	2.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$9.92	\$0.00	\$9.92		\$9.92
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/26/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
05/17/2017	LUND, VARIOUS	ADV CENTURY	0.00	36.75	0.00	36.75	100.0	36.75
06/02/2017	LUND, VARIOUS	ADV CENTURY	0.00	498.57	0.00	498.57	100.0	498.57
06/09/2017	LUND, VARIOUS	ADV CENTURY	0.00	569.27	0.00	569.27	100.0	569.27
05/29/2017	CHIDSEY, CYNTHIA	ING PREMIUM PLUS	0.00	4.85	0.00	4.85	100.0	4.85
05/31/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	13.38	0.00	13.38	100.0	13.38
05/15/2017	WARREN, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	391.11	0.00	391.11	100.0	391.11
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,516.18	\$0.00	\$1,516.18		\$1,516.18
*** BROKER TRANSACTIONS TOTAL ***			\$1,267,266.22	\$6,200.77	\$572.00	\$5,628.77		\$5,628.77
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u></b>								
05/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	22.48	0.00	22.48	100.0	22.48
05/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	9.64	0.00	9.64	100.0	9.64
05/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	9.64	0.00	9.64	100.0	9.64
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$41.76	\$0.00	\$41.76		\$41.76
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$41.76	\$0.00	\$41.76		\$41.76

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ACCOUNT HOUSE #1 </u></b>								
06/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
05/30/2017	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
06/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
06/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
06/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
05/30/2017	ESSARY, MICHELLE	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
06/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
06/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
06/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
06/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
05/30/2017	ESSARY, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
05/30/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
06/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
05/22/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
06/02/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
05/30/2017	ESSARY, MICHELLE	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
06/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
06/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
06/02/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
05/30/2017	ESSARY, MICHELLE	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
* ACCOUNT HOUSE SUBTOTAL *			\$2,810.00	\$106.38	\$0.00	\$106.38		\$106.38
<b><u> BROKER: ANTHONY GUGLIELME #8 </u></b>								
06/01/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.04	0.00	7.04	0.0	7.04
06/01/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.72	0.00	9.72	0.0	9.72
* ANTHONY GUGLIELME SUBTOTAL *			\$0.00	\$16.76	\$0.00	\$16.76		\$16.76
<b><u> BROKER: MATT BRANSTETTER #10 </u></b>								
06/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
* MATT BRANSTETTER SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: DANIEL WOLF #11</u></b>								
05/24/2017	CARIGNAN, NANCY	MML CM LIFE VUL	51,102.10	6.39	0.00	6.39	0.0	6.39
		<b>* DANIEL WOLF SUBTOTAL *</b>	<b>\$51,102.10</b>	<b>\$6.39</b>	<b>\$0.00</b>	<b>\$6.39</b>		<b>\$6.39</b>
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
06/01/2017	HUDSON, SHELBY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.78	0.00	5.78	0.0	5.78
		<b>* EDWARD MCMANUS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$5.78</b>	<b>\$0.00</b>	<b>\$5.78</b>		<b>\$5.78</b>
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
05/22/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
05/22/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
06/01/2017	VIGILANT, KELLIE	AM FUNDS 2025 TARGET DATE-A	0.00	0.24	0.00	0.24	0.0	0.24
06/01/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	0.00	10.92	0.00	10.92	0.0	10.92
06/01/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	0.00	9.90	0.00	9.90	0.0	9.90
06/02/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
06/02/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	0.0	10.31
06/02/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
06/02/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	0.0	4.50
05/22/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	300.00	11.25	0.00	11.25	0.0	11.25
06/01/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	0.00	2.86	0.00	2.86	0.0	2.86
06/02/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
06/02/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	0.0	6.26
06/01/2017	MAYVILLE, DANIEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.64	0.00	3.64	0.0	3.64
06/01/2017	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	3.02	0.00	3.02	0.0	3.02
06/01/2017	CESUL, DAWN	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	0.46	0.00	0.46	0.0	0.46
05/09/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.94	0.00	24.94	0.0	24.94
06/01/2017	CAUL, KATHERINE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.30	0.00	3.30	0.0	3.30
06/01/2017	REETZ, JANET	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.51	0.00	0.51	0.0	0.51
05/09/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.54	0.00	0.54	0.0	0.54
05/09/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.48	0.00	0.48	0.0	0.48
05/09/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.38	0.00	0.38	0.0	0.38
06/01/2017	BLINKE II, RONALD	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.87	0.00	11.87	0.0	11.87
06/01/2017	BLINKE II TRUST, RONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.84	0.00	4.84	0.0	4.84
06/01/2017	BLINKE II, RONALD	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.45	0.00	1.45	0.0	1.45
05/09/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.15	0.00	4.15	0.0	4.15

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
06/01/2017	REETZ, JANET	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.61	0.00	0.61	0.0	0.61
06/01/2017	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.75	0.00	2.75	0.0	2.75
06/01/2017	CESUL, DAWN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.56	0.00	0.56	0.0	0.56
05/09/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.62	0.00	0.62	0.0	0.62
06/01/2017	BLINKE II TRUST, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	34.74	0.00	34.74	0.0	34.74
06/01/2017	BLINKE II, RONALD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.72	0.00	3.72	0.0	3.72
06/01/2017	CAUL, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.84	0.00	4.84	0.0	4.84
06/01/2017	CESUL, DAWN	AM FUNDS ICA 529A	0.00	2.49	0.00	2.49	0.0	2.49
06/01/2017	CESUL, DAWN	AM FUNDS ICA 529A	0.00	0.54	0.00	0.54	0.0	0.54
06/01/2017	MAYVILLE, DANIEL	AM FUNDS ICA A	0.00	6.99	0.00	6.99	0.0	6.99
06/01/2017	CAUL, KATHERINE	AM FUNDS ICA C	0.00	4.26	0.00	4.26	0.0	4.26
05/09/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.82	0.00	12.82	0.0	12.82
06/01/2017	MAYVILLE, DANIEL	AM FUNDS NEW PERSPECTIVE A	0.00	6.27	0.00	6.27	0.0	6.27
06/01/2017	BLINKE II TRUST, RONALD	AM FUNDS NEW WORLD A	0.00	4.47	0.00	4.47	0.0	4.47
06/01/2017	BLINKE II, RONALD	AM FUNDS NEW WORLD A	0.00	10.18	0.00	10.18	0.0	10.18
06/01/2017	REETZ, NORMAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	39.94	0.00	39.94	0.0	39.94
06/01/2017	BLINKE, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	44.28	0.00	44.28	0.0	44.28
06/01/2017	BLINKE II TRUST, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	30.90	0.00	30.90	0.0	30.90
06/01/2017	BLINKE II, RONALD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.84	0.00	1.84	0.0	1.84
06/01/2017	TANDESKI, JOHNATHAN	AMERICAN FUNDS 2060 TARGET DATE A	0.00	2.95	0.00	2.95	0.0	2.95
06/01/2017	TANDESKI, HANNAH	AMERICAN FUNDS 2060 TARGET DATE A	0.00	2.93	0.00	2.93	0.0	2.93
06/01/2017	TANDESKI, DREW	AMERICAN FUNDS 2060 TARGET DATE A	0.00	2.13	0.00	2.13	0.0	2.13
05/09/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.25	0.00	1.25	0.0	1.25
04/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.99	0.00	0.99	0.0	0.99
04/30/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.97	0.00	0.97	0.0	0.97
05/09/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	23.79	0.00	23.79	0.0	23.79
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.55	0.00	0.55	0.0	0.55
05/09/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	26.49	0.00	26.49	0.0	26.49
05/09/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.86	0.00	8.86	0.0	8.86
05/09/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.10	0.00	21.10	0.0	21.10
<b>* ERIC HORNYAK SUBTOTAL *</b>			<b>\$3,546.00</b>	<b>\$521.32</b>	<b>\$0.00</b>	<b>\$521.32</b>		<b>\$521.32</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
05/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
		* THOMAS BOAKS SUBTOTAL *	\$30.00	\$0.30	\$0.00	\$0.30		\$0.30
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
06/07/2017	KRUPKE, LEE	TRANSAMERICA	0.00	31.50	0.00	31.50	0.0	31.50
06/07/2017	KRUPKE, JAMES	TRANSAMERICA	0.00	32.28	0.00	32.28	0.0	32.28
06/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
05/30/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,797.89	5.92	0.00	5.92	0.0	5.92
		* BRUCE BAXTER SUBTOTAL *	\$15,897.89	\$74.70	\$0.00	\$74.70		\$74.70
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.05	0.00	1.05	0.0	1.05
05/30/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	16,219.64	3.38	0.00	3.38	0.0	3.38
05/30/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
		* MELANIE DICENSO SUBTOTAL *	\$16,269.64	\$6.43	\$0.00	\$6.43		\$6.43
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
06/05/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.47	0.00	2.47	0.0	2.47
06/05/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.87	0.00	0.87	0.0	0.87
06/05/2017	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	6.47	0.00	6.47	0.0	6.47
06/05/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.47	0.00	5.47	0.0	5.47
05/31/2017	ZAX TRUST	JNL PERSPECTIVE	396.68	0.08	0.00	0.08	0.0	0.08
05/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,420.08	3.51	0.00	3.51	0.0	3.51
05/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	59,421.89	64.37	0.00	64.37	0.0	64.37
05/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	73,887.10	61.57	0.00	61.57	0.0	61.57
05/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	34,129.09	28.44	0.00	28.44	0.0	28.44
05/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,790.84	13.16	0.00	13.16	0.0	13.16
		* ROBERT (RUSTY) TWEED SUBTOTAL *	\$192,045.68	\$186.41	\$0.00	\$186.41		\$186.41
<b><u>BROKER: JIM LUND #60</u></b>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.86	0.00	2.86	0.0	2.86
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.55	0.00	1.55	0.0	1.55
06/01/2017	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.31	0.00	1.31	0.0	1.31
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.31	0.00	1.31	0.0	1.31

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JIM LUND #60 </u></b>								
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.32	0.00	3.32	0.0	3.32
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.86	0.00	12.86	0.0	12.86
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.55	0.00	0.55	0.0	0.55
06/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
<b> * JIM LUND SUBTOTAL * </b>			<b>\$325.00</b>	<b>\$34.51</b>	<b>\$0.00</b>	<b>\$34.51</b>		<b>\$34.51</b>
<b><u> BROKER: JAMES PANOS #61 </u></b>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	28.14	0.00	28.14	0.0	28.14
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	24.01	0.00	24.01	0.0	24.01
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	2.84	0.00	2.84	0.0	2.84
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.85	0.00	3.85	0.0	3.85
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	7.76	0.00	7.76	0.0	7.76
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	10.63	0.00	10.63	0.0	10.63
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.41	0.00	4.41	0.0	4.41
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.97	0.00	5.97	0.0	5.97
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.99	0.00	11.99	0.0	11.99
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	4.51	0.00	4.51	0.0	4.51
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	6.10	0.00	6.10	0.0	6.10
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	12.39	0.00	12.39	0.0	12.39
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	26.75	0.00	26.75	0.0	26.75
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.60	0.00	4.60	0.0	4.60
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	6.23	0.00	6.23	0.0	6.23
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	11.82	0.00	11.82	0.0	11.82
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	4.12	0.00	4.12	0.0	4.12
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	60.64	0.00	60.64	0.0	60.64
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.47	0.00	5.47	0.0	5.47
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.88	0.00	2.88	0.0	2.88
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	10.07	0.00	10.07	0.0	10.07
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	61.92	0.00	61.92	0.0	61.92
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	42.18	0.00	42.18	0.0	42.18
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	25.06	0.00	25.06	0.0	25.06
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.58	0.00	10.58	0.0	10.58
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	16.02	0.00	16.02	0.0	16.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES PANOS #61</u></b>								
/ /	REMIA, ALEX	FA 529 PORT 2022 C	0.00	24.77	0.00	24.77	0.0	24.77
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.93	0.00	6.93	0.0	6.93
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.30	0.00	11.30	0.0	11.30
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	11.44	0.00	11.44	0.0	11.44
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	27.71	0.00	27.71	0.0	27.71
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.94	0.00	7.94	0.0	7.94
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	13.23	0.00	13.23	0.0	13.23
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.72	0.00	10.72	0.0	10.72
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	32.11	0.00	32.11	0.0	32.11
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.30	0.00	3.30	0.0	3.30
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	20.61	0.00	20.61	0.0	20.61
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	3.00	0.00	3.00	0.0	3.00
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	39.06	0.00	39.06	0.0	39.06
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	18.68	0.00	18.68	0.0	18.68
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	14.53	0.00	14.53	0.0	14.53
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	33.00	0.00	33.00	0.0	33.00
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	21.98	0.00	21.98	0.0	21.98
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	18.32	0.00	18.32	0.0	18.32
06/01/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
06/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	13.94	0.00	13.94	0.0	13.94
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	5.06	0.00	5.06	0.0	5.06
06/01/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	FARMER, NEAL	FIDELITY ADVISORS 529 MONEY MARKET	0.00	2.11	0.00	2.11	0.0	2.11
05/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	86,302.73	71.92	0.00	71.92	0.0	71.92
05/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,642.64	89.43	0.00	89.43	0.0	89.43
<b>* JAMES PANOS SUBTOTAL *</b>			<b>\$301,895.37</b>	<b>\$921.53</b>	<b>\$0.00</b>	<b>\$921.53</b>		<b>\$921.53</b>
<b><u>BROKER: RAYMOND LAWTON #79</u></b>								
05/31/2017	KRAUS, CLAUDIA	GUARDIAN LIFE INS TRAILS	0.00	38.65	0.00	38.65	0.0	38.65
<b>* RAYMOND LAWTON SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$38.65</b>	<b>\$0.00</b>	<b>\$38.65</b>		<b>\$38.65</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES AULENTI #101</u></b>								
06/01/2017	BAYLE, JOHN	AM FUNDS AMCAP A	0.00	7.04	0.00	7.04	0.0	7.04
06/01/2017	BAYLE, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	29.72	0.00	29.72	0.0	29.72
06/01/2017	BAYLE, JOHN	AM FUNDS BOND FUND OF AMERICA A	0.00	33.93	0.00	33.93	0.0	33.93
06/01/2017	MCCANDLESS, DOROTHY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	10.05	0.00	10.05	0.0	10.05
06/01/2017	MCCANDLESS, JAMES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	14.09	0.00	14.09	0.0	14.09
06/01/2017	SCHULTZ, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	0.00	17.33	0.00	17.33	0.0	17.33
06/01/2017	BAYLE, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	29.17	0.00	29.17	0.0	29.17
06/01/2017	MCCANDLESS, DOROTHY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.93	0.00	10.93	0.0	10.93
06/01/2017	MCCANDLESS, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	15.19	0.00	15.19	0.0	15.19
06/01/2017	SPENCE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	20.56	0.00	20.56	0.0	20.56
06/01/2017	BAYLE, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	29.66	0.00	29.66	0.0	29.66
06/01/2017	RODRIGUES, GEORGE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	8.84	0.00	8.84	0.0	8.84
06/01/2017	SPENCE, NANCY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	23.10	0.00	23.10	0.0	23.10
06/01/2017	CALABRO, TREVER	AM FUNDS GROWTH AND INCOME 529A	0.00	55.10	0.00	55.10	0.0	55.10
06/01/2017	CALABRO, TREVER	AM FUNDS GROWTH PORT 529A	0.00	72.49	0.00	72.49	0.0	72.49
06/01/2017	BAYLE, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	14.99	0.00	14.99	0.0	14.99
06/01/2017	CLISHAM, JOHN	AM FUNDS TAX-EXEMPT BOND FUND OF AM	0.00	158.10	0.00	158.10	0.0	158.10
06/01/2017	MCCANDLESS, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	33.62	0.00	33.62	0.0	33.62
<b>* JAMES AULENTI SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$583.91</b>	<b>\$0.00</b>	<b>\$583.91</b>		<b>\$583.91</b>
<b><u>BROKER: ERIK BLOOMFELDT #105</u></b>								
06/01/2017	TOMLINSON, ELIJAH	AM FUNDS COLLEGE 2030 FUND 529A	0.00	4.51	0.00	4.51	0.0	4.51
05/31/2017	DEACON, GALE	JNL PERSPECTIVE L	7,493.98	6.24	0.00	6.24	0.0	6.24
<b>* ERIK BLOOMFELDT SUBTOTAL *</b>			<b>\$7,493.98</b>	<b>\$10.75</b>	<b>\$0.00</b>	<b>\$10.75</b>		<b>\$10.75</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
05/30/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
05/30/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
05/30/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
05/30/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
06/06/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	4,858.67	0.81	0.00	0.81	0.0	0.81
06/06/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	69,834.48	11.64	0.00	11.64	0.0	11.64
<b>* DANIEL COLLINS SUBTOTAL *</b>			<b>\$75,133.15</b>	<b>\$34.89</b>	<b>\$0.00</b>	<b>\$34.89</b>		<b>\$34.89</b>
<b><u> BROKER: G118 GRECO #110 </u></b>								
06/01/2017	KING, KYLIE	AM FUNDS AMERICAN BALANCED 529A	0.00	0.35	0.00	0.35	0.0	0.35
05/09/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.15	0.00	7.15	0.0	7.15
05/09/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.50	0.00	4.50	0.0	4.50
05/09/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.28	0.00	4.28	0.0	4.28
05/09/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.31	0.00	6.31	0.0	6.31
05/09/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.31	0.00	6.31	0.0	6.31
06/01/2017	GUZMAN, JUAN	AM FUNDS AMERICAN BALANCED A	0.00	2.00	0.00	2.00	0.0	2.00
06/01/2017	DOBRICKY, ALAN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.90	0.00	0.90	0.0	0.90
05/09/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.99	0.00	0.99	0.0	0.99
05/09/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	0.0	0.15
06/01/2017	GUZMAN, JUAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.72	0.00	1.72	0.0	1.72
06/01/2017	GUZMAN, JUAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.48	0.00	1.48	0.0	1.48
05/22/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.37	0.00	0.37	0.0	0.37
06/01/2017	PAOLI, ANGELA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.57	0.00	0.57	0.0	0.57
06/01/2017	BALDASSANO, VINCENT	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.35	0.00	0.35	0.0	0.35
05/09/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.02	0.00	1.02	0.0	1.02
06/01/2017	BLASSICK, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.37	0.00	3.37	0.0	3.37
06/01/2017	GUZMAN, JUAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.02	0.00	2.02	0.0	2.02

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: G118 GRECO #110 </u></b>								
06/01/2017	GUZMAN, JUAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.79	0.00	4.79	0.0	4.79
06/01/2017	PAOLI, ANGELA	AM FUNDS ICA 529A	0.00	0.52	0.00	0.52	0.0	0.52
06/01/2017	BALDASSANO, VINCENT	AM FUNDS ICA 529A	0.00	0.35	0.00	0.35	0.0	0.35
05/09/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	0.96	0.00	0.96	0.0	0.96
05/09/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	4.86	0.00	4.86	0.0	4.86
06/01/2017	GUZMAN, JUAN	AM FUNDS ICA A	0.00	1.65	0.00	1.65	0.0	1.65
06/01/2017	DOBRICKY, ALAN	AM FUNDS ICA A	0.00	1.90	0.00	1.90	0.0	1.90
06/01/2017	GUZMAN, JUAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.59	0.00	1.59	0.0	1.59
06/01/2017	DOBRICKY, ALAN	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.32	0.00	2.32	0.0	2.32
05/22/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.37	0.00	0.37	0.0	0.37
05/09/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.87	0.00	0.87	0.0	0.87
05/09/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.62	0.00	2.62	0.0	2.62
06/01/2017	BLASSICK, RICHARD	AM FUNDS NEW PERSPECTIVE A	0.00	1.31	0.00	1.31	0.0	1.31
06/01/2017	GUZMAN, JUAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.00	0.00	2.00	0.0	2.00
06/01/2017	GUZMAN, JUAN	AM FUNDS NEW PERSPECTIVE A	0.00	0.88	0.00	0.88	0.0	0.88
06/01/2017	DOBRICKY, ALAN	AM FUNDS NEW PERSPECTIVE A	0.00	1.62	0.00	1.62	0.0	1.62
06/01/2017	BLASSICK, RICHARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.77	0.00	2.77	0.0	2.77
06/01/2017	KING, KYLIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.24	0.00	0.24	0.0	0.24
05/09/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	14.98	0.00	14.98	0.0	14.98
05/12/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	5.46	0.00	5.46	0.0	5.46
05/09/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	12.33	0.00	12.33	0.0	12.33
* G118 GRECO SUBTOTAL *			\$100.00	\$113.23	\$0.00	\$113.23		\$113.23
<b><u> BROKER: JAMES KOESTER #117 </u></b>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
* JAMES KOESTER SUBTOTAL *			\$0.00	\$0.11	\$0.00	\$0.11		\$0.11
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
06/01/2017	SHEPARD, EARL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	9.75	0.00	9.75	0.0	9.75
05/15/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
06/05/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.90	0.00	2.90	0.0	2.90
05/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	18,961.49	6.32	0.00	6.32	0.0	6.32
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.55	0.00	1.55	0.0	1.55

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
		* JAY MCCLELLAN SUBTOTAL *	\$19,011.49	\$23.02	\$0.00	\$23.02		\$23.02
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
05/30/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
		* TIMOTHY KULHANEK SUBTOTAL *	\$130.00	\$7.80	\$0.00	\$7.80		\$7.80
<b><u>BROKER: CHARLIE ARRINGTON #174</u></b>								
05/31/2017	SHURTZ, TERRI	JNL PERSPECTIVE L	36,082.39	30.07	0.00	30.07	0.0	30.07
		* CHARLIE ARRINGTON SUBTOTAL *	\$36,082.39	\$30.07	\$0.00	\$30.07		\$30.07
<b><u>BROKER: MICHELE BOYER #189</u></b>								
06/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	1.04	0.00	1.04	0.0	1.04
06/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	2.00	0.00	2.00	0.0	2.00
06/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.91	0.00	0.91	0.0	0.91
		* MICHELE BOYER SUBTOTAL *	\$0.00	\$3.95	\$0.00	\$3.95		\$3.95
<b><u>BROKER: MICHAEL PALMISANO #196</u></b>								
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.86	0.00	0.86	0.0	0.86
05/09/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.27	0.00	2.27	0.0	2.27
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.91	0.00	0.91	0.0	0.91
05/09/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.76	0.00	7.76	0.0	7.76
		* MICHAEL PALMISANO SUBTOTAL *	\$0.00	\$11.80	\$0.00	\$11.80		\$11.80
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
06/01/2017	CUSUMANO, DARLENE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.38	0.00	0.38	0.0	0.38
06/01/2017	PITT, PATRICIA	AM FUNDS AMERICAN MUTUAL 529A	0.00	6.00	0.00	6.00	0.0	6.00
06/01/2017	PITT, PATRICIA	AM FUNDS AMERICAN MUTUAL 529A	0.00	3.50	0.00	3.50	0.0	3.50
06/01/2017	ROBINSON, SARAH	AM FUNDS AMERICAN MUTUAL A	0.00	3.68	0.00	3.68	0.0	3.68
06/01/2017	CUSUMANO, DARLENE	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.36	0.00	0.36	0.0	0.36
06/01/2017	PITT, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	5.04	0.00	5.04	0.0	5.04
06/01/2017	PITT, PATRICIA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.66	0.00	2.66	0.0	2.66
06/01/2017	ROBINSON, SARAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.22	0.00	3.22	0.0	3.22
06/01/2017	CUSUMANO, DARLENE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.47	0.00	0.47	0.0	0.47
06/01/2017	PITT, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.83	0.00	6.83	0.0	6.83
06/01/2017	PITT, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.32	0.00	4.32	0.0	4.32
06/01/2017	ROBINSON, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.10	0.00	4.10	0.0	4.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
06/01/2017	CUSUMANO, DARLENE	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.42	0.00	0.42	0.0	0.42
06/01/2017	PITT, PATRICIA	AM FUNDS NEW PERSPECTIVE 529A	0.00	5.90	0.00	5.90	0.0	5.90
06/01/2017	PITT, PATRICIA	AM FUNDS NEW PERSPECTIVE 529A	0.00	3.50	0.00	3.50	0.0	3.50
06/01/2017	ROBINSON, SARAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.48	0.00	3.48	0.0	3.48
06/05/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.41	0.00	8.41	0.0	8.41
06/05/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.56	0.00	7.56	0.0	7.56
<b>* MEREDITH MCCUTCHEN SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$69.83</b>	<b>\$0.00</b>	<b>\$69.83</b>		<b>\$69.83</b>
<b><u>BROKER: JAMES CRAWFORD #225</u></b>								
05/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,258.62	1.93	0.00	1.93	0.0	1.93
05/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,367.74	1.53	0.00	1.53	0.0	1.53
<b>* JAMES CRAWFORD SUBTOTAL *</b>			<b>\$16,626.36</b>	<b>\$3.46</b>	<b>\$0.00</b>	<b>\$3.46</b>		<b>\$3.46</b>
<b><u>BROKER: CARL WORDEN III #232</u></b>								
05/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,107.62	2.11	0.00	2.11	0.0	2.11
<b>* CARL WORDEN III SUBTOTAL *</b>			<b>\$10,107.62</b>	<b>\$2.11</b>	<b>\$0.00</b>	<b>\$2.11</b>		<b>\$2.11</b>
<b><u>BROKER: MARIA BONGIOVANNI #237</u></b>								
06/01/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.28	0.00	2.28	0.0	2.28
05/25/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	0.0	1.50
06/01/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.91	0.00	15.91	0.0	15.91
06/01/2017	PRATUS, LINDSEY	AM FUNDS ICA A	0.00	1.18	0.00	1.18	0.0	1.18
05/25/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	0.0	1.50
06/01/2017	PRATUS, LINDSEY	AM FUNDS ICA C	0.00	15.62	0.00	15.62	0.0	15.62
<b>* MARIA BONGIOVANNI SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$37.99</b>	<b>\$0.00</b>	<b>\$37.99</b>		<b>\$37.99</b>
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
05/25/2017	ISBELL, LINDA	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	ISBELL, LINDA	AM FUNDS AMCAP A	0.00	2.93	0.00	2.93	0.0	2.93
06/01/2017	MARVAR, PATRICIA	AM FUNDS AMCAP A	0.00	4.48	0.00	4.48	0.0	4.48
06/01/2017	MARSHALL, ANDREW	AM FUNDS AMCAP C	0.00	27.69	0.00	27.69	0.0	27.69
05/25/2017	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	MCPHALL, MARGARET	AM FUNDS AMERICAN MUTUAL A	0.00	9.69	0.00	9.69	0.0	9.69
06/01/2017	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	0.00	3.16	0.00	3.16	0.0	3.16
06/01/2017	MARVAR, PATRICIA	AM FUNDS AMERICAN MUTUAL A	0.00	6.46	0.00	6.46	0.0	6.46

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
06/01/2017	NELSON, JOANNE	AM FUNDS AMERICAN MUTUAL A	0.00	8.05	0.00	8.05	0.0	8.05
06/01/2017	MARSHALL, ANDREW	AM FUNDS AMERICAN MUTUAL C	0.00	37.88	0.00	37.88	0.0	37.88
06/01/2017	MCLAUGHLIN, JOHN	AM FUNDS AMERICAN MUTUAL C	0.00	42.16	0.00	42.16	0.0	42.16
06/01/2017	VITOUS, ALBERT	AM FUNDS AMERICAN MUTUAL C	0.00	37.50	0.00	37.50	0.0	37.50
06/01/2017	MARVAR, PATRICIA	AM FUNDS BALANCED PORT A	0.00	11.44	0.00	11.44	0.0	11.44
06/01/2017	NELSON, JOANNE	AM FUNDS BALANCED PORT C	0.00	25.98	0.00	25.98	0.0	25.98
06/01/2017	MCPHALL, MARGARET	AM FUNDS BOND FUND OF AMERICA A	0.00	9.36	0.00	9.36	0.0	9.36
06/01/2017	VITOUS, ALBERT	AM FUNDS BOND FUND OF AMERICA C	0.00	12.92	0.00	12.92	0.0	12.92
06/01/2017	THOMAS, NICOLE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.00	0.00	2.00	0.0	2.00
06/01/2017	MARVAR, PATRICIA	AM FUNDS GROWTH AND INCOME C	0.00	59.31	0.00	59.31	0.0	59.31
06/01/2017	MARVAR, PATRICIA	AM FUNDS GROWTH AND INCOME C	0.00	21.63	0.00	21.63	0.0	21.63
06/01/2017	OLDS, DUANE	AM FUNDS GROWTH AND INCOME C	0.00	92.54	0.00	92.54	0.0	92.54
06/01/2017	OLDS, CHERYL	AM FUNDS GROWTH AND INCOME C	0.00	54.26	0.00	54.26	0.0	54.26
05/25/2017	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	0.0	4.25
05/30/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.50
06/01/2017	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.64	0.00	8.64	0.0	8.64
05/25/2017	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.51	0.00	3.51	0.0	3.51
06/01/2017	MARVAR, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.54	0.00	10.54	0.0	10.54
06/01/2017	MCLAUGHLIN, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.78	0.00	0.78	0.0	0.78
06/01/2017	MICHAEL, JUDE	AM FUNDS GROWTH PORT 529A	0.00	11.22	0.00	11.22	0.0	11.22
06/01/2017	KEUTEN, THOMAS	AM FUNDS GROWTH PORT 529A	0.00	2.64	0.00	2.64	0.0	2.64
06/01/2017	MICHAEL, JUDE	AM FUNDS GROWTH PORT 529A	0.00	10.69	0.00	10.69	0.0	10.69
06/01/2017	KEUTEN, THOMAS	AM FUNDS GROWTH PORT 529A	0.00	2.56	0.00	2.56	0.0	2.56
06/01/2017	KEUTEN, THOMAS	AM FUNDS GROWTH PORT 529A	0.00	2.56	0.00	2.56	0.0	2.56
06/01/2017	KEUTEN, MADELINE	AM FUNDS GROWTH PORT A	0.00	25.57	0.00	25.57	0.0	25.57
06/01/2017	KEUTEN, NICHOLAS	AM FUNDS GROWTH PORT A	0.00	18.77	0.00	18.77	0.0	18.77
06/01/2017	KEUTEN, SAMUEL	AM FUNDS GROWTH PORT A	0.00	8.82	0.00	8.82	0.0	8.82
06/01/2017	MARSHALL, ANDREW	AM FUNDS GROWTH PORT C	0.00	35.68	0.00	35.68	0.0	35.68
06/01/2017	OLDS, ANDREW	AM FUNDS GROWTH PORT C	0.00	7.47	0.00	7.47	0.0	7.47
06/01/2017	OLDS, ALEXANDRA	AM FUNDS GROWTH PORT C	0.00	7.44	0.00	7.44	0.0	7.44
06/01/2017	OLDS, DUANE	AM FUNDS GROWTH PORT C	0.00	45.88	0.00	45.88	0.0	45.88
06/01/2017	OLDS, CHERYL	AM FUNDS GROWTH PORT C	0.00	22.91	0.00	22.91	0.0	22.91

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
05/25/2017	ISBELL, LINDA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	0.0	2.50
06/01/2017	ISBELL, LINDA	AM FUNDS ICA A	0.00	1.34	0.00	1.34	0.0	1.34
06/01/2017	VITOUS, ALBERT	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.43	0.00	14.43	0.0	14.43
06/01/2017	MCLAUGHLIN, JOHN	AM FUNDS INCOME PORTFOLIO C	0.00	34.43	0.00	34.43	0.0	34.43
06/01/2017	LUKE, JAMES	AM FUNDS INCOME PORTFOLIO C	0.00	49.68	0.00	49.68	0.0	49.68
06/01/2017	VITOUS, ALBERT	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	8.67	0.00	8.67	0.0	8.67
06/01/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	0.00	16.06	0.00	16.06	0.0	16.06
06/01/2017	MCGRATH, PATRICIA	AM FUNDS PRESERVATION PORT C	0.00	50.52	0.00	50.52	0.0	50.52
06/01/2017	THOMAS, NICOLE	AM FUNDS SMALLCAP WORLD-529A	0.00	0.89	0.00	0.89	0.0	0.89
06/01/2017	MCPHALL, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.76	0.00	9.76	0.0	9.76
06/01/2017	NELSON, JOANNE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	8.09	0.00	8.09	0.0	8.09
06/01/2017	MARSHALL, ANDREW	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	26.46	0.00	26.46	0.0	26.46
06/01/2017	MCLAUGHLIN, JOHN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	19.45	0.00	19.45	0.0	19.45
06/01/2017	VITOUS, ALBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	37.73	0.00	37.73	0.0	37.73
06/01/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	3.87	0.00	3.87	0.0	3.87
06/01/2017	MICHAEL, JUDE	AMERICAN FDS GROWTH PORTFOLIO 529C	0.00	9.26	0.00	9.26	0.0	9.26
06/01/2017	MYZIENSKI, APRIL	AMERICAN FUNDS COLLEGE 2033 FUND 52	0.00	6.53	0.00	6.53	0.0	6.53
06/01/2017	OLDS, CHERYL	AMERICAN FUNDS GROWTH & INCOME PORT	0.00	0.01	0.00	0.01	0.0	0.01
06/01/2017	KEUTEN, MADELINE	AMERICAN FUNDS GROWTH PORTFOLIO-B	0.00	1.79	0.00	1.79	0.0	1.79
06/01/2017	KEUTEN, NICHOLAS	AMERICAN FUNDS GROWTH PORTFOLIO-B	0.00	1.75	0.00	1.75	0.0	1.75
06/01/2017	VITOUS, ALBERT	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	13.60	0.00	13.60	0.0	13.60
06/01/2017	VITOUS, ALBERT	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	10.88	0.00	10.88	0.0	10.88
06/01/2017	YOUNG, JAKE	COLLEGE 2021 FUND-529C	0.00	31.55	0.00	31.55	0.0	31.55
06/01/2017	MYZIENSKI, APRIL	COLLEGE 2030 FUND-529C	0.00	8.61	0.00	8.61	0.0	8.61
05/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
05/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
<b>* WILLIAM THOMAS SUBTOTAL *</b>			<b>\$850.00</b>	<b>\$1,085.23</b>	<b>\$0.00</b>	<b>\$1,085.23</b>		<b>\$1,085.23</b>
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
06/01/2017	EDWARDS, MICHAEL	AM FUNDS AMCAP C	0.00	32.40	0.00	32.40	0.0	32.40
06/01/2017	EDWARDS, MARILYN	AM FUNDS AMCAP C	0.00	31.30	0.00	31.30	0.0	31.30
06/01/2017	PER, JENNIFER	AM FUNDS AMCAP C	0.00	8.78	0.00	8.78	0.0	8.78
06/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	1.00
06/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	1.00	0.00	1.00	0.0	1.00

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
06/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	0.00	5.84	0.00	5.84	0.0	5.84
06/01/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	0.00	5.84	0.00	5.84	0.0	5.84
06/01/2017	COOPER, FRANK	AM FUNDS AMERICAN BALANCED A	0.00	44.10	0.00	44.10	0.0	44.10
05/30/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
06/01/2017	GAGNON, JOHN	AM FUNDS AMERICAN BALANCED C	0.00	14.68	0.00	14.68	0.0	14.68
06/01/2017	EDWARDS, MICHAEL	AM FUNDS AMERICAN BALANCED C	0.00	31.27	0.00	31.27	0.0	31.27
06/01/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	0.00	5.02	0.00	5.02	0.0	5.02
06/01/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	0.00	3.15	0.00	3.15	0.0	3.15
06/01/2017	BRINICH, EVA	AM FUNDS AMERICAN BALANCED C	0.00	4.80	0.00	4.80	0.0	4.80
06/01/2017	COOPER, FRANK	AM FUNDS AMERICAN MUTUAL A	0.00	30.93	0.00	30.93	0.0	30.93
06/01/2017	ROMA, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	21.83	0.00	21.83	0.0	21.83
06/01/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	16.20	0.00	16.20	0.0	16.20
06/01/2017	SLACK, DONITA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	40.76	0.00	40.76	0.0	40.76
06/01/2017	WESTRICK, HELEN	AM FUNDS COLLEGE 2030 FUND 529A	0.00	3.08	0.00	3.08	0.0	3.08
06/01/2017	NOWICKI, DAVID	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.52	0.00	1.52	0.0	1.52
06/01/2017	EDWARDS, MARILYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.78	0.00	5.78	0.0	5.78
06/01/2017	SLACK, DONITA	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	47.19	0.00	47.19	0.0	47.19
05/24/2017	COCHRILL, KINSEY	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	0.0	10.00
06/01/2017	COCHRILL, KINSEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	0.0	0.89
06/01/2017	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.00	0.00	15.00	0.0	15.00
06/01/2017	GAGNON, JOHN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.30	0.00	17.30	0.0	17.30
06/01/2017	COOPER, FRANK	AM FUNDS ICA A	0.00	31.25	0.00	31.25	0.0	31.25
06/01/2017	COOPER, FRANK	AM FUNDS INCOME FUND OF AMERICA A	0.00	49.43	0.00	49.43	0.0	49.43
06/01/2017	GAGNON, JOHN	AM FUNDS INCOME FUND OF AMERICA C	0.00	12.31	0.00	12.31	0.0	12.31
06/01/2017	EDWARDS, MARILYN	AM FUNDS INCOME FUND OF AMERICA C	0.00	28.92	0.00	28.92	0.0	28.92
06/01/2017	CROCKETT, SUZANNE	AM FUNDS INCOME FUND OF AMERICA C	0.00	26.77	0.00	26.77	0.0	26.77
06/01/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	0.00	12.33	0.00	12.33	0.0	12.33
06/01/2017	WESTRICK, HELEN	AMERICAN FDS COLLEGE 2021 FUND 529A	0.00	5.51	0.00	5.51	0.0	5.51
06/01/2017	NOWICKI, DAVID	AMERICAN FDS COLLEGE 2021 FUND 529A	0.00	2.69	0.00	2.69	0.0	2.69
04/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.01
04/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.02	0.00	0.02	0.0	0.02
04/30/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.77	0.00	0.77	0.0	0.77
04/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.14	0.00	8.14	0.0	8.14

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
04/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	23.98	0.00	23.98	0.0	23.98
04/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.99	0.00	7.99	0.0	7.99
04/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.71	0.00	2.71	0.0	2.71
04/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	9.49	0.00	9.49	0.0	9.49
04/30/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	28.46	0.00	28.46	0.0	28.46
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	2.90	0.00	2.90	0.0	2.90
06/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
05/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	4.32
06/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
06/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
05/23/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
05/23/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
05/25/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	1,000.00	47.50	0.00	47.50	0.0	47.50
06/02/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	4.08	0.00	4.08	0.0	4.08
05/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,565.26	15.47	0.00	15.47	0.0	15.47
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$20,834.26	\$730.21	\$0.00	\$730.21		\$730.21
<b><u> BROKER: MICHAEL O'CALLAGHAN #305 </u></b>								
05/31/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	81,732.73	68.11	0.00	68.11	0.0	68.11
* MICHAEL O'CALLAGHAN SUBTOTAL *			\$81,732.73	\$68.11	\$0.00	\$68.11		\$68.11
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
05/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	121,783.59	101.49	0.00	101.49	0.0	10.15
05/17/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	3.87	0.00	3.87	0.0	0.39
05/17/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.02	0.00	3.02	0.0	0.30
05/18/2017	PANZZIE TRUST, FRANK	EATON VANCE TAX MANAGED GROWTH A	0.00	20.88	0.00	20.88	0.0	2.09
05/11/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.62	0.00	2.62	0.0	0.26
05/31/2017	PANZZIE, FRANK	JOHN HANCOCK LIFE INS	338,213.34	70.46	0.00	70.46	0.0	7.05
05/22/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.85	0.00	5.85	0.0	0.59
05/22/2017	PANZZIE TRUST, FRANK	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.39	0.00	2.39	0.0	0.24
05/15/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.02	0.00	1.02	0.0	0.10
05/15/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.28	0.00	1.28	0.0	0.13
05/15/2017	LEONARD JR, JOHN	PAC LIFE FLOATING RATE INCOME FUND	0.00	5.06	0.00	5.06	0.0	0.51
05/15/2017	PANZZIE TRUST, FRANK	PAC LIFE FLOATING RATE INCOME FUND	0.00	2.08	0.00	2.08	0.0	0.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: L149-ROBERT LUCHSINGER #388</b>								
05/15/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.61	0.00	0.61	0.0	0.06
05/15/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.93	0.00	4.93	0.0	0.49
05/15/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.64	0.00	4.64	0.0	0.46
05/15/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.02	0.00	8.02	0.0	0.80
05/18/2017	CAPASSO, CRISTINA	PAC LIFE PORT OPTIMIZATION AGG	1,000.00	40.00	0.00	40.00	0.0	4.00
05/15/2017	PANZZIE TRUST, FRANK	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.09	0.00	1.09	0.0	0.11
05/15/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.74	0.00	1.74	0.0	0.17
05/22/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.07	0.00	5.07	0.0	0.51
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.69	0.00	4.69	0.0	0.47
05/12/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.12	0.00	0.12	0.0	0.01
05/12/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.46	0.00	0.46	0.0	0.05
05/12/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.07	0.00	4.07	0.0	0.41
05/12/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.70	0.00	1.70	0.0	0.17
06/09/2017	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.30	0.00	1.30	0.0	0.13
06/09/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.43	0.00	11.43	0.0	1.14
06/09/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.69	0.00	3.69	0.0	0.37
05/12/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.07
05/12/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.09	0.00	1.09	0.0	0.11
06/09/2017	WESTRENN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.35	0.00	1.35	0.0	0.14
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$460,996.93</b>	<b>\$316.73</b>	<b>\$0.00</b>	<b>\$316.73</b>		<b>\$31.69</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$1,313,420.59</b>	<b>\$5,057.36</b>	<b>\$0.00</b>	<b>\$5,057.36</b>		<b>\$4,772.32</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,628.77
Split Transactions	\$41.76
Override Transactions	\$4,772.32
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,442.85
Year-to-date Gross	\$44,361.11
Year-to-date Earnings	\$112,013.32