



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**July 15, 2018**

07/16/2018  
Page No. 1

JOSEPH, W128 WIEGAND  
1220 N. 200 West  
Suite B  
Angola, IN 46703

BROKER #: W128  
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
05/31/2018	BAALS JT TEN, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,683.61	44.75	0.00	44.75	90.0	40.28
		* INTERVAL FUNDS SUBTOTAL *	\$52,683.61	\$44.75	\$0.00	\$44.75		\$40.28
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/05/2018	LEY, JEREMY	AM FUNDS BALANCED PORT A	25.00	1.25	0.00	1.25	90.0	1.13
		* MUTUAL FUNDS SUBTOTAL *	\$25.00	\$1.25	\$0.00	\$1.25		\$1.13
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/18/2018	SARRAZIN, MARY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	34.87	0.00	34.87	90.0	31.38
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$34.87	\$0.00	\$34.87		\$31.38
		*** DIRECT TRANSACTIONS TOTAL ***	\$52,708.61	\$80.87	\$0.00	\$80.87		\$72.79

**SPLIT TRANSACTIONS**

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

06/29/2018	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	10.00	1.66	0.00	1.66	90.0	1.49
06/29/2018	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.11	0.00	0.11	90.0	0.10
06/29/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
06/29/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
06/29/2018	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.42	0.00	0.42	90.0	0.38
06/29/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.83	0.00	0.83	90.0	0.75
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	0.25	0.00	0.25	90.0	0.23
		* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$235.00	\$3.63	\$0.00	\$3.63		\$3.27

**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

06/29/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.63	0.00	2.63	90.0	2.37
06/29/2018	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
06/29/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.55	1.88	0.00	1.88	90.0	1.69

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
06/29/2018	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.55	1.88	0.00	1.88	90.0	1.69
06/29/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.82	0.00	1.82	90.0	1.64
06/29/2018	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.63	0.00	3.63	90.0	3.27
06/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	0.37	0.00	0.37	90.0	0.33
06/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.32	0.00	0.32	90.0	0.29
06/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.21	0.00	0.21	90.0	0.19
06/15/2018	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.14	0.00	0.14	90.0	0.13
06/15/2018	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
06/15/2018	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	90.0	0.40
06/15/2018	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
06/29/2018	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.19	0.00	2.19	90.0	1.97
06/15/2018	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	0.00	0.55	0.00	0.55	90.0	0.50
06/15/2018	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.33	0.00	0.33	90.0	0.30
06/15/2018	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.25	0.00	0.25	90.0	0.23
06/15/2018	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.23	0.00	0.23	90.0	0.21
06/15/2018	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	0.00	0.79	0.00	0.79	90.0	0.71
06/15/2018	CLINE, DAVIS	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.02	0.00	0.02	90.0	0.02
06/15/2018	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	90.0	0.14
06/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.21	0.00	0.21	90.0	0.19
07/02/2018	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.88	0.00	0.88	90.0	0.79
06/15/2018	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.20	0.00	0.20	90.0	0.18
06/15/2018	CLINE, DAVIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/28/2018	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
06/29/2018	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
06/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	90.0	0.17
06/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.26	0.00	0.26	90.0	0.23
06/15/2018	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.20	0.00	0.20	90.0	0.18
06/15/2018	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	0.00	0.30	0.00	0.30	90.0	0.27
06/15/2018	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	0.00	0.61	0.00	0.61	90.0	0.55
05/31/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,107.82	15.79	0.00	15.79	90.0	14.21
06/15/2018	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.32	0.00	1.32	90.0	1.19
06/21/2018	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	5.11	0.00	5.11	90.0	4.60
06/13/2018	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.19	0.00	0.19	90.0	0.17

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
06/22/2018	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.71	0.00	0.71	90.0	0.64
/ /	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	0.57	0.00	0.57	90.0	0.51
06/29/2018	LINN, JASON	INA INTER EQTY INDEX PORTF A	8.25	0.14	0.00	0.14	90.0	0.13
06/29/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	90.0	0.58
06/29/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
06/29/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
06/29/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.09	0.00	0.09	90.0	0.08
/ /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	0.25	0.00	0.25	90.0	0.23
06/29/2018	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	2.50	0.11	0.00	0.11	90.0	0.10
06/29/2018	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	25.00	0.42	0.00	0.42	90.0	0.38
06/15/2018	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.33	0.00	0.33	90.0	0.30
06/14/2018	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.28	0.00	1.28	90.0	1.15
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			<b>\$54,856.26</b>	<b>\$57.73</b>	<b>\$0.00</b>	<b>\$57.73</b>		<b>\$52.01</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$55,091.26</b>	<b>\$61.36</b>	<b>\$0.00</b>	<b>\$61.36</b>		<b>\$55.28</b>

**ADJUSTMENTS**

07/15/2018	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
07/15/2018	*LASER APP: CURRENT MONTH	0.00						-18.00
07/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						-1.09
07/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-499.09</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$72.79
Split Transactions	\$55.28
Override Transactions	\$0.00
Adjustments	\$-499.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-154.75
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$-525.77</b>
<b>Year-to-date Gross</b>	<b>\$2,620.56</b>
<b>Year-to-date Earnings</b>	<b>\$2,331.40</b>