

## COMMISSION STATEMENT

April 30, 2017

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #:  
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/03/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA S	214.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$214.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>									
04/25/2017	75501745	CIAVARELLA, LOUIS	CHEMOURS COMPANY (THE) COM	B	2,009.88	50.10	0.00	50.10	25.05
03/30/2017	75501757	BERGEN, MIMI	APPLE INC	B	2,877.00	0.00	0.00	0.00	0.00
04/24/2017	75501757	BERGEN, MIMI	PROSPECT CAPITAL CORPORATION	B	1,881.32	0.00	0.00	0.00	0.00
03/30/2017	75501758	BERGEN, MIMI	AT&T INC	B	4,920.59	62.75	0.00	62.75	31.38
03/30/2017	75501758	BERGEN, MIMI	CHINA MOBILE LIMITED SPONSORED ADR	B	1,930.51	50.39	0.00	50.39	25.20
03/30/2017	75501758	BERGEN, MIMI	DUKE ENERGY CORPORATION HOLDING COM B	B	3,930.24	60.55	0.00	60.55	30.28
03/30/2017	75501758	BERGEN, MIMI	JOHNSON & JOHNSON	B	2,986.98	50.57	0.00	50.57	25.29
03/30/2017	75501758	BERGEN, MIMI	KRAFT HEINZ COMPANY (THE) COMMON ST B	B	2,004.42	51.87	0.00	51.87	25.94
03/30/2017	75501758	BERGEN, MIMI	MAGELLAN HEALTH INC	S	25,229.20	50.26	0.00	50.26	25.13
03/30/2017	75501758	BERGEN, MIMI	PEPSICO INC	B	2,917.46	51.91	0.00	51.91	25.96
03/29/2017	75501768	HALL, JAMES	NETFLIX COM INC	B	7,330.50	105.00	0.00	105.00	52.50
* STOCKS SUBTOTAL *				\$58,018.10	\$533.40	\$0.00	\$533.40		\$266.73
<u>PRODUCT CATEGORY: RIA</u>									
04/18/2017	75501737	HENDRIX, GREG	FAS FEES	B	-333.49	333.49	0.00	333.49	166.75
04/18/2017	75501740	GIOMMI MCDOWELL,	FAS FEES	B	-1,643.11	1,643.11	0.00	1,643.11	821.56
04/18/2017	75501741	GIOMMI MCDOWELL,	FAS FEES	B	-708.92	708.92	0.00	708.92	354.46
04/18/2017	75501742	CIAVARELLA, LOUIS	FAS FEES	B	-78.47	78.47	0.00	78.47	39.24
04/18/2017	75501749	CIAVARELLA, MELISSA	FAS FEES	B	-237.31	237.31	0.00	237.31	118.66
04/18/2017	75501752	CIAVARELLA, JAMES	FAS FEES	B	-43.99	43.99	0.00	43.99	0.00
04/18/2017	75501754	CIAVARELLA, JAMES	FAS FEES	B	-108.09	108.09	0.00	108.09	54.05
04/18/2017	75501755	MANFREDI, MICHAEL	FAS FEES	B	-745.62	745.62	0.00	745.62	372.81
04/18/2017	75501757	BERGEN, MIMI	FAS FEES	B	-141.43	141.43	0.00	141.43	70.72
* RIA SUBTOTAL *				\$-4,040.43	\$4,040.43	\$0.00	\$4,040.43		\$1,998.25

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.34	0.00	1.34	50.0	0.67
04/11/2017	75501549	ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.45	0.00	1.45	50.0	0.73
04/11/2017	75501549	ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B		0.00	1.80	0.00	1.80	50.0	0.90
04/11/2017	75501549	ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	2.99	0.00	2.99	50.0	1.50
04/11/2017	75501665	PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B		0.00	9.05	0.00	9.05	50.0	4.53
04/11/2017	75501665	PILKO, THOMAS	SENTINEL GROUP FDS INC SHORT DURATI B		0.00	15.10	0.00	15.10	50.0	7.55
04/11/2017	75501666	CARR, CHARLES	FIDELITY ADVISOR MID CAP II FUND CL B		0.00	0.56	0.00	0.56	50.0	0.00
04/11/2017	75501666	CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B		0.00	0.71	0.00	0.71	50.0	0.00
04/11/2017	75501670	CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B		0.00	0.79	0.00	0.79	50.0	0.00
04/11/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B		0.00	1.31	0.00	1.31	50.0	0.66
04/11/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A	B	0.00	2.29	0.00	2.29	50.0	1.15
04/11/2017	75501671	CARR, CHARLES	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	3.32	0.00	3.32	50.0	1.66
04/11/2017	75501671	CARR, CHARLES	FIDELITY FINL TR ADVISOR CONV SECS	B	0.00	2.08	0.00	2.08	50.0	1.04
04/11/2017	75501671	CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.24	0.00	1.24	50.0	0.62
04/11/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.73	0.00	0.73	50.0	0.00
04/11/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B		0.00	0.40	0.00	0.40	50.0	0.00
04/11/2017	75501673	CARR, MARGARET	FIDELITY ADVISOR GOLD FUND CL A	B	0.00	0.45	0.00	0.45	50.0	0.00
04/11/2017	75501674	CARR, MARGARET	**FIDELITYADVISOR SER VII	B	0.00	3.32	0.00	3.32	50.0	1.66
04/11/2017	75501674	CARR, MARGARET	BLACKROCK BALANCED CAP FD CL A	B	0.00	1.36	0.00	1.36	50.0	0.68
04/11/2017	75501674	CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B		0.00	6.38	0.00	6.38	50.0	3.19
04/11/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR LARGE CAP FUND-CL	B	0.00	5.21	0.00	5.21	50.0	2.61
04/11/2017	75501674	CARR, MARGARET	FIDELITY ADV MID CAP II - CL T	B	0.00	4.84	0.00	4.84	50.0	2.42
04/11/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON B		0.00	1.84	0.00	1.84	50.0	0.92
04/11/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T	B	0.00	0.71	0.00	0.71	50.0	0.00
04/11/2017	75501674	CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T	B	0.00	4.21	0.00	4.21	50.0	2.11
04/11/2017	75501674	CARR, MARGARET	FIDELITY ADVISOR INDUSTRIALS CL T	B	0.00	1.10	0.00	1.10	50.0	0.55
04/11/2017	75501674	CARR, MARGARET	FIDELITY FINL TR STRATEGIC DIVID &	B	0.00	13.53	0.00	13.53	50.0	6.77
04/11/2017	75501675	BONNES, CHELSEA	**VIRTUS EQUITY TREND FUND	B	0.00	0.43	0.00	0.43	50.0	0.00
04/11/2017	75501675	BONNES, CHELSEA	BLACKROCK MULTI ASSET INCOME PORTFO B		0.00	1.66	0.00	1.66	50.0	0.83
04/11/2017	75501675	BONNES, CHELSEA	FIDELITY ADVISOR GROWTH & INCOME FU B		0.00	2.21	0.00	2.21	50.0	1.11
04/11/2017	75501675	BONNES, CHELSEA	FIDELITY ADVISOR FUNDS 316071505	B	0.00	2.70	0.00	2.70	50.0	1.35
04/11/2017	75501675	BONNES, CHELSEA	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	0.64	0.00	0.64	50.0	0.00
04/11/2017	75501675	BONNES, CHELSEA	FRANKLIN INCOME FUND CLASS C	B	0.00	0.74	0.00	0.74	50.0	0.00
04/11/2017	75501676	REBER, WINCHELL	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	1.11	0.00	1.11	50.0	0.56
04/11/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	1.00	0.00	1.00	50.0	0.50
04/11/2017	75501676	REBER, WINCHELL	FIDELITY ADVISOR CONSUMER STAPLES F B		0.00	0.91	0.00	0.91	50.0	0.00
04/11/2017	75501676	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME B		0.00	1.07	0.00	1.07	50.0	0.54
04/11/2017	75501676	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C	B	0.00	1.37	0.00	1.37	50.0	0.69
04/11/2017	75501676	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.95	0.00	0.95	50.0	0.00
04/11/2017	75501677	BONNES, MATTHEW	FIDELITY ADVISOR FUNDS 315918367	B	0.00	1.31	0.00	1.31	50.0	0.66

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501677	BONNES, MATTHEW	FIDELITY ADVISOR FUNDS 316071505	B	0.00	4.74	0.00	4.74	50.0	2.37
04/11/2017	75501677	BONNES, MATTHEW	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	2.38	0.00	2.38	50.0	1.19
04/11/2017	75501679	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND	B	0.00	0.92	0.00	0.92	50.0	0.00
04/11/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MUNICIPAL INCOME F	B	0.00	6.28	0.00	6.28	50.0	3.14
04/11/2017	75501679	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	7.87	0.00	7.87	50.0	3.94
04/11/2017	75501679	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C	B	0.00	2.07	0.00	2.07	50.0	1.04
04/11/2017	75501679	SOIGNOLI, ANTHONY	WESTERN ASSET SHORT DURATION MUNICI	B	0.00	1.32	0.00	1.32	50.0	0.66
04/12/2017	75501679	SOIGNOLI, ANTHONY	DELAWARE GROUP TAX FREE USA FUND-CL	B	0.00	13.15	0.00	13.15	50.0	6.58
04/11/2017	75501680	REBER, WINCHELL	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.23	0.00	1.23	50.0	0.62
04/11/2017	75501680	REBER, WINCHELL	FRANKLIN INCOME FUND CLASS C	B	0.00	0.82	0.00	0.82	50.0	0.00
04/11/2017	75501680	REBER, WINCHELL	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.32	0.00	0.32	50.0	0.00
04/11/2017	75501681	SOIGNOLI, ANTHONY	CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	1.36	0.00	1.36	50.0	0.68
04/11/2017	75501681	SOIGNOLI, ANTHONY	COLUMBIA GLOBAL STRATEGIC EQUITY FU	B	0.00	1.59	0.00	1.59	50.0	0.80
04/11/2017	75501681	SOIGNOLI, ANTHONY	FEDERATED STRATEGIC INCOME FUND	B	0.00	3.68	0.00	3.68	50.0	1.84
04/11/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	13.69	0.00	13.69	50.0	6.85
04/11/2017	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	4.59	0.00	4.59	50.0	2.30
04/11/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN INCOME FUND CLASS C	B	0.00	3.44	0.00	3.44	50.0	1.72
04/11/2017	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE	B	0.00	3.49	0.00	3.49	50.0	1.75
04/11/2017	75501681	SOIGNOLI, ANTHONY	PIMCO EQUITY SER DIVIDEND AND INCOM	B	0.00	6.40	0.00	6.40	50.0	3.20
04/11/2017	75501681	SOIGNOLI, ANTHONY	PIMCO INCOME FUND CLASS C	B	0.00	6.08	0.00	6.08	50.0	3.04
04/11/2017	75501681	SOIGNOLI, ANTHONY	SENTINEL GROUP FDS INC SHORT DURATI	B	0.00	7.53	0.00	7.53	50.0	3.77
04/11/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE APPRECIATION	B	0.00	5.05	0.00	5.05	50.0	2.53
04/11/2017	75501682	REBER, WINCHELL	**CLEARBRIDGE LARGE CAP GROWTH	B	0.00	4.43	0.00	4.43	50.0	2.22
04/11/2017	75501682	REBER, WINCHELL	FIDELITY SECS FD REAL ESTATE INCOME	B	0.00	7.07	0.00	7.07	50.0	3.54
04/11/2017	75501682	REBER, WINCHELL	INVESCO AMERICAN VALUE FUND CLASS A	B	0.00	5.89	0.00	5.89	50.0	2.95
04/11/2017	75501682	REBER, WINCHELL	PUTNAM 746792100	B	0.00	0.74	0.00	0.74	50.0	0.00
04/11/2017	75501682	REBER, WINCHELL	WESTERN ASSET SHORT DURATION MUNICI	B	0.00	4.69	0.00	4.69	50.0	2.35
04/11/2017	75501683	GROSSO, MARK	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.60	0.00	1.60	50.0	0.80
04/11/2017	75501684	SOIGNOLI, LINDA	COLUMBIA GLOBAL STRATEGIC EQUITY FU	B	0.00	2.67	0.00	2.67	50.0	1.34
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR LIMITED TERM BOND	B	0.00	1.26	0.00	1.26	50.0	0.63
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR ELECTRONICS FUND C	B	0.00	2.43	0.00	2.43	50.0	1.22
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A	B	0.00	2.36	0.00	2.36	50.0	1.18
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	1.07	0.00	1.07	50.0	0.54
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADV NEW INSIGHTS-CL A	B	0.00	0.90	0.00	0.90	50.0	0.00
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR FUNDS 316145408	B	0.00	2.02	0.00	2.02	50.0	1.01
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	1.07	0.00	1.07	50.0	0.54
04/11/2017	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F	B	0.00	2.51	0.00	2.51	50.0	1.26
04/11/2017	75501685	REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	0.92	0.00	0.92	50.0	0.00
04/11/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	1.00	0.00	1.00	50.0	0.50
04/11/2017	75501685	REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I	B	0.00	0.94	0.00	0.94	50.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/11/2017	75501685 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	1.20	0.00	1.20	50.0	0.60
04/11/2017	75501685 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.37	0.00	1.37	50.0	0.69
04/11/2017	75501685 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.59	0.00	0.59	50.0	0.00
04/11/2017	75501686 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	1.68	0.00	1.68	50.0	0.84
04/11/2017	75501686 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	0.96	0.00	0.96	50.0	0.00
04/11/2017	75501686 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.44	0.00	0.44	50.0	0.00
04/11/2017	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367	B	0.00	0.92	0.00	0.92	50.0	0.00
04/11/2017	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474	B	0.00	0.59	0.00	0.59	50.0	0.00
04/11/2017	75501687 DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH B		0.00	0.68	0.00	0.68	50.0	0.00
04/11/2017	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505	B	0.00	0.06	0.00	0.06	50.0	0.00
04/11/2017	75501687 DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	0.01	0.00	0.01	50.0	0.00
04/11/2017	75501687 DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C	B	0.00	0.71	0.00	0.71	50.0	0.00
04/11/2017	75501688 BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS B		0.00	0.95	0.00	0.95	50.0	0.00
04/11/2017	75501689 BERRY, THOMAS	**GAMCO GROWTH FD INC	B	0.00	1.70	0.00	1.70	50.0	0.85
04/11/2017	75501689 BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A	B	0.00	1.04	0.00	1.04	50.0	0.52
04/11/2017	75501690 EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD B		0.00	0.76	0.00	0.76	50.0	0.00
04/11/2017	75501691 BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND	B	0.00	2.61	0.00	2.61	50.0	1.31
04/11/2017	75501691 BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR	B	0.00	2.80	0.00	2.80	50.0	1.40
04/11/2017	75501691 BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	9.44	0.00	9.44	50.0	4.72
04/11/2017	75501691 BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC	B	0.00	2.71	0.00	2.71	50.0	1.36
04/11/2017	75501691 BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I B		0.00	4.29	0.00	4.29	50.0	2.15
04/11/2017	75501691 BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	2.48	0.00	2.48	50.0	1.24
04/11/2017	75501691 BARGER, WILLIAM	THE NEW ECONOMY FUND-C	B	0.00	8.48	0.00	8.48	50.0	4.24
04/11/2017	75501691 BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL B		0.00	1.69	0.00	1.69	50.0	0.85
04/11/2017	75501692 WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA B		0.00	2.03	0.00	2.03	50.0	1.02
04/11/2017	75501693 KRAUS, MICHELLE	**GABELLI SMALL CAP GROWTH	B	0.00	0.02	0.00	0.02	50.0	0.00
04/11/2017	75501693 KRAUS, MICHELLE	**GABELLI UTILITIES FUND	B	0.00	0.39	0.00	0.39	50.0	0.00
04/11/2017	75501693 KRAUS, MICHELLE	GABELLI VALUE 25 FD INC	B	0.00	0.02	0.00	0.02	50.0	0.00
04/11/2017	75501693 KRAUS, MICHELLE	GAMCO GOLD FD	B	0.00	0.01	0.00	0.01	50.0	0.00
04/11/2017	75501694 WARD, MARY	TAX-FREE BOND A	B	0.00	12.62	0.00	12.62	50.0	6.31
04/19/2017	75501695 WARD, EDMOND	TOCQUEVILLE GOLD FUND	B	0.00	1.24	0.00	1.24	50.0	0.62
04/11/2017	75501697 WARD, EDMOND	AMERICAN MUTUAL FUND-A	B	0.00	22.82	0.00	22.82	50.0	11.41
04/11/2017	75501698 GROSSO, BETH ANN	EATON VANCE INCOME FUND OF BOSTON C B		0.00	0.31	0.00	0.31	50.0	0.00
04/11/2017	75501698 GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL B		0.00	0.36	0.00	0.36	50.0	0.00
04/11/2017	75501698 GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.09	0.00	1.09	50.0	0.55
04/11/2017	75501698 GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A	B	0.00	1.18	0.00	1.18	50.0	0.59
04/11/2017	75501698 GROSSO, BETH ANN	THE NEW ECONOMY FUND-A	B	0.00	1.23	0.00	1.23	50.0	0.62
04/11/2017	75501699 WARD, EDMOND	AMERICAN MUTUAL FUND-C	B	0.00	12.35	0.00	12.35	50.0	6.18
04/11/2017	75501699 WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL	B	0.00	49.88	0.00	49.88	50.0	24.94
04/11/2017	75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850	B	0.00	1.21	0.00	1.21	50.0	0.61

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501699	WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.66	0.00	1.66	50.0	0.83
04/11/2017	75501701	RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	2.05	0.00	2.05	50.0	1.03
04/11/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	B	0.00	2.26	0.00	2.26	50.0	1.13
04/11/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T	B	0.00	0.15	0.00	0.15	50.0	0.00
04/11/2017	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	2.68	0.00	2.68	50.0	1.34
04/11/2017	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD	B	0.00	1.62	0.00	1.62	50.0	0.81
04/11/2017	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND	B	0.00	0.93	0.00	0.93	50.0	0.00
04/11/2017	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR	B	0.00	0.47	0.00	0.47	50.0	0.00
04/11/2017	75501741	GIOMMI MCDOWELL,	NEW PERSPECTIVE FUND INC CL F1	B	0.00	0.82	0.00	0.82	50.0	0.00
04/11/2017	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR FOCUS FUNDS FINANC	B	0.00	0.24	0.00	0.24	50.0	0.00
04/11/2017	75501745	CIAVARELLA, LOUIS	FIDELITY ADV LEVERAGED CO STOCK CL	B	0.00	0.24	0.00	0.24	50.0	0.00
04/11/2017	75501745	CIAVARELLA, LOUIS	FIDELITY ADVISOR MATERIALS FUND CL	B	0.00	0.24	0.00	0.24	50.0	0.00
04/11/2017	75501745	CIAVARELLA, LOUIS	FRANKLIN DYNATECH FUND CLASS A	B	0.00	0.25	0.00	0.25	50.0	0.00
04/11/2017	75501746	CIAVARELLA, LOUIS	**VIRTUS ENHANCED CORE EQUITY	B	0.00	0.23	0.00	0.23	50.0	0.00
04/11/2017	75501746	CIAVARELLA, LOUIS	AIM SMALL CAP GROWTH FUND - CLASS A	B	0.00	6.49	0.00	6.49	50.0	3.25
04/11/2017	75501746	CIAVARELLA, LOUIS	BLACKROCK HEALTH SCIENCES FUND CLAS	B	0.00	5.55	0.00	5.55	50.0	2.78
04/11/2017	75501746	CIAVARELLA, LOUIS	COLUMBIA CONTRARIAN CORE FD CL A	B	0.00	4.23	0.00	4.23	50.0	2.12
04/11/2017	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU	B	0.00	2.14	0.00	2.14	50.0	1.07
04/11/2017	75501746	CIAVARELLA, LOUIS	EATON VANCE LARGE CAP VALUE FUND CL	B	0.00	2.04	0.00	2.04	50.0	1.02
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR LARGE CAP STOCK CL	B	0.00	2.21	0.00	2.21	50.0	1.11
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A	B	0.00	2.24	0.00	2.24	50.0	1.12
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834	B	0.00	0.72	0.00	0.72	50.0	0.00
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.59	0.00	0.59	50.0	0.00
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FOCUS FUNDS FINANC	B	0.00	2.18	0.00	2.18	50.0	1.09
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR INDUSTRIALS CL A	B	0.00	2.16	0.00	2.16	50.0	1.08
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP VALUE FD	B	0.00	2.10	0.00	2.10	50.0	1.05
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY CAP TR ADV STOCK SELECTOR	B	0.00	2.15	0.00	2.15	50.0	1.08
04/11/2017	75501746	CIAVARELLA, LOUIS	FIDELITY DEVONSHIRE TR ADVISOR MID	B	0.00	2.18	0.00	2.18	50.0	1.09
04/11/2017	75501746	CIAVARELLA, LOUIS	FRK SM CAP GRWTH FND II - CL A	B	0.00	0.82	0.00	0.82	50.0	0.00
04/11/2017	75501746	CIAVARELLA, LOUIS	THORNBURG GLOBAL OPPORTUNITIES FUND	B	0.00	0.67	0.00	0.67	50.0	0.00
04/11/2017	75501746	CIAVARELLA, LOUIS	VIRTUS QUALITY SMALL CAP	B	0.00	0.24	0.00	0.24	50.0	0.00
04/11/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.58	0.00	0.58	50.0	0.00
04/11/2017	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.16	0.00	1.16	50.0	0.58
04/11/2017	75501757	BERGEN, MIMI	**GABELLI UTILS FD	B	0.00	0.28	0.00	0.28	50.0	0.00
04/11/2017	75501757	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA	B	0.00	0.68	0.00	0.68	50.0	0.00
04/11/2017	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A	B	0.00	0.46	0.00	0.46	50.0	0.00
04/11/2017	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	0.78	0.00	0.78	50.0	0.00
04/11/2017	75501758	BERGEN, MIMI	BLK 091928846	B	0.00	0.38	0.00	0.38	50.0	0.00
04/11/2017	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA	B	0.00	3.01	0.00	3.01	50.0	1.51
04/11/2017	75501764	HALL, JOAN	**GABELLI UTILITIES FUND	B	0.00	1.93	0.00	1.93	50.0	0.97

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/11/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	5.16	0.00	5.16	50.0	2.58
04/11/2017	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	8.25	0.00	8.25	50.0	4.13
04/11/2017	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME B		0.00	5.16	0.00	5.16	50.0	2.58
04/11/2017	75501976	WARD, BRANDON	**HENDERSON INTERNATIONAL	B	0.00	8.03	0.00	8.03	50.0	4.02
04/11/2017	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R B		0.00	12.93	0.00	12.93	50.0	6.47
04/11/2017	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601	B	0.00	4.19	0.00	4.19	50.0	2.10
04/11/2017	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS B		0.00	19.34	0.00	19.34	50.0	9.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$525.57	\$0.00	\$525.57		\$247.49
*** BROKER TRANSACTIONS TOTAL ***					\$54,191.67	\$5,099.40	\$0.00	\$5,099.40		\$2,512.47

**ADJUSTMENTS**

04/30/2017		OTHER: OTHER-NATIONAL FINANCIAL		0.00	0.00	0.00	0.00		795.15
04/30/2017		OTHER: OTHER-GENWORTH		0.00	0.00	0.00	0.00		30.33
04/30/2017		OTHER: OTHER-NATIONWIDE		0.00	0.00	0.00	0.00		89.24
04/30/2017		OTHER: OTHER-RBC CHARGES ON ADVISOR		0.00	0.00	0.00	0.00		-131.67
<b>*** ADJUSTMENTS TOTAL ***</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$783.05</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,512.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$783.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.37
SIPC Assessment	\$-95.61

<b>Check Amount</b>	<b>\$3,193.54</b>
<b>Year-to-date Earnings</b>	<b>\$4,483.75</b>