

**COMMISSION STATEMENT****January 31, 2017**

ALAN GEIER  
56 Dutchess Ave.  
Apt. 1  
Poughkeepsie, NY 12601

BROKER #: G122  
BRANCH: ALAN GEIER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/23/2017	KLEIN, NINA	SAWTOOTH	0.00	541.33	0.00	541.33	80.0	433.06
01/23/2017	KLEIN, NINA	SAWTOOTH	0.00	121.88	0.00	121.88	80.0	97.50
01/23/2017	KLEIN, NINA	SAWTOOTH	0.00	1,428.52	0.00	1,428.52	80.0	1,142.82
* RIA SUBTOTAL *			\$0.00	\$2,091.73	\$0.00	\$2,091.73		\$1,673.38
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$2,091.73	\$0.00	\$2,091.73		\$1,673.38
<b><u>ADJUSTMENTS</u></b>								
01/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-14.39
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-14.39

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,673.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-14.39
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,658.99
Year-to-date Gross	\$2,091.73
Year-to-date Earnings	\$1,673.38