



COMMISSION STATEMENT

March 19, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/05/2018	***-**-98CL - ACOSTA, CLIENTS	AMERICAN BALANCED FUND-A	110.00	5.50	0.00	5.50	80.0	4.40
	ACCOUNT #: 00088153955	BUY 4 Units @28.7900						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 2 Units @28.4400						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	AMERICAN MUTUAL FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @42.9700						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	FUNDAMENTAL INVESTORS-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @66.5800						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	NEW PERSPECTIVE FUND-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @47.3200						
03/06/2018	***-**-2608 - GIAMAS, GREGORY	THE GROWTH FUND OF AMERICA-A	50.00	1.88	0.00	1.88	80.0	1.50
	ACCOUNT #: 00081315892	BUY 1 Units @55.2400						
03/05/2018	***-**-3828 - DUSABLON, DANIEL	U.S. GOVERNMENT SECURITIES FUND CLA	150.00	4.50	0.00	4.50	80.0	3.60
	ACCOUNT #: 00084530390	BUY 11 Units @13.9000						
* MUTUAL FUNDS SUBTOTAL *			\$510.00	\$19.40	\$0.00	\$19.40		\$15.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/19/2018	***-**-166I - BONN, FBO	AMCAP FUND-C	0.00	2.59	0.00	2.59	80.0	2.07
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	AMERICAN MUTUAL FUND-C	0.00	3.38	0.00	3.38	80.0	2.70
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	EUROPACIFIC GROWTH FUND CLASS C	0.00	2.26	0.00	2.26	80.0	1.81
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	FUNDAMENTAL INVESTORS-C	0.00	3.67	0.00	3.67	80.0	2.94
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/02/2018	***-**-0683 - DAVIS, SHARON	HARTFORD INFLATION PLUS FUND CLASS	0.00	17.52	0.00	17.52	80.0	14.02
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	INCOME FUND OF AMERICA CLASS C	0.00	1.14	0.00	1.14	80.0	0.91
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/19/2018	***-**-166I - BONN, FBO	SMALLCAP WORLD FUND-C	0.00	1.93	0.00	1.93	80.0	1.54
	ACCOUNT #: LSR011032	BUY 1 Units @0.0000						
03/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD ADVISERS FUND-C	0.00	15.19	0.00	15.19	80.0	12.15
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/02/2018	***-**-0683 - DAVIS, SHARON	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	19.45	0.00	19.45	80.0	15.56
	ACCOUNT #: 00000598451	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$67.13	\$0.00	\$67.13		\$53.70
		*** BROKER TRANSACTIONS TOTAL ***	\$510.00	\$86.53	\$0.00	\$86.53		\$69.20

BROKER COMMISSION TOTALS

Broker Transactions	\$69.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$0.00
Year-to-date Earnings	\$3,070.24