

STATEMENT

February 20, 2018

RICHARD KLEIN
24 Aster Court

BROKER #: 292
BRANCH: LA BRANCH

Commack, NY 11725-

COMMISSION STATEMENT for RICHARD KLEIN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
01/03/2018 #	LINNEHAN, RICHARD	CANOPY GROWTH CORPORATION COM	B	13,788.00	331.00	19.95	0.00	311.05	85.0	264.39
01/04/2018 #	KLEIN, DANIELLE	IANTHUS CAPITAL HOLDNGSINC COMMON	B	2,197.70	20.00	19.95	0.00	0.05	85.0	0.04
01/04/2018 #	KLEIN, DANIELLE	THC BIOMED INTL LTD COMMON	B	2,094.12	20.00	19.95	0.00	0.05	85.0	0.04
01/08/2018 #	KLEIN, DANIELLE	THC BIOMED INTL LTD COMMON	B	898.60	20.00	19.95	0.00	0.05	85.0	0.04
01/12/2018 #	LINNEHAN, RICHARD	AIRBORNE WIRELESS NETWORK	B	2,800.00	67.00	19.95	0.00	47.05	85.0	39.99
01/19/2018 #	KLEIN, DANIELLE	ENDURO ROYALTY TRUST	S	1,650.00	20.00	19.95	0.00	0.05	85.0	0.04
01/25/2018 #	LINNEHAN, RICHARD	***EMERALD HEALTH THERAPEUTICS	B	6,500.00	156.00	19.95	0.00	136.05	85.0	115.64
01/25/2018 #	LINNEHAN, RICHARD	CANNABIS SCIENCE INC	B	865.00	50.00	19.95	0.00	30.05	85.0	25.54
01/25/2018 #	BRACE, STEPHEN	CANNABIS SCIENCE INC	B	865.00	50.00	19.95	0.00	30.05	85.0	25.54
01/25/2018 #	BRACE, STEPHEN	CANNABIS SCIENCE INC	B	865.00	36.50	19.95	0.00	16.55	85.0	14.07
01/26/2018 #	SOLOUNIAS, MICHEL	***VICTORY SQUARE TECHNOLOGIES	B	2,366.75	118.34	19.95	0.00	98.39	85.0	83.63
01/26/2018 #	LINNEHAN, RICHARD	CANNABIS SCIENCE INC	B	795.00	50.00	19.95	0.00	30.05	85.0	25.54
01/26/2018 #	BRACE, STEPHEN	CANNABIS SCIENCE INC	B	791.40	50.00	19.95	0.00	30.05	85.0	25.54
01/26/2018 #	SOLOUNIAS, MICHEL	PROSPECT CAPITAL CORPORATION	S	1,688.35	84.42	19.95	0.00	64.47	85.0	54.80
01/26/2018 #	KLEIN, DANIELLE	SNAP INC CLASS A COMMON STOCK	S	1,346.24	20.00	19.95	0.00	0.05	85.0	0.04
* STOCKS SUBTOTAL *				\$39,511.16	\$1,093.26	\$299.25	\$0.00	\$794.01		\$674.88
*** BROKER TRANSACTIONS TOTAL ***				\$39,511.16	\$1,093.26	\$299.25	\$0.00	\$794.01		\$674.88

ADJUSTMENTS

02/20/2018	ADMIN FEE: ADMIN FEE	-289.00
02/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS):	-25.00
	MANUAL PROCESS FEE	
*** ADJUSTMENTS TOTAL ***		\$-314.00

BROKER COMMISSION TOTALS

Broker Transactions	\$674.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-314.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$360.88
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