

**COMMISSION STATEMENT****October 15, 2017**

BJB JEN-BRENT-BRION TRU-DONALD-LEE-NORBY

BROKER #: BJB

BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^09/12/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	1.93	0.00	1.93	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$1.93	\$0.00	\$1.93		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	486.00	50.00	22.00	28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	106.06	50.00	22.00	28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	1,461.42	50.97	22.00	28.97	100.0	0.00
^08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	-63,258.90	-767.39	-22.00	-745.39	100.0	0.00
^08/22/2017	LARAMIE, JAMES	CIRRUS LOGIC INC	63,258.94	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	-6,194.77	-175.87	-22.00	-153.87	100.0	0.00
^08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE TRADED FD TR	6,194.77	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-43,548.60	-631.54	-22.00	-609.54	100.0	0.00
^08/22/2017	LARAMIE, JAMES	CLAYMORE EXCHANGE-TRADED FD TR GUGG	43,548.59	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	796.46	50.00	22.00	28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	908.03	50.00	22.00	28.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	-35,464.10	-598.55	-22.00	-576.55	100.0	0.00
^08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2017	35,464.11	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	-50,565.10	-745.31	-22.00	-723.31	100.0	0.00
^08/22/2017	LARAMIE, JAMES	GUGGENHEIM BULLETSHARES 2018	50,565.10	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	-24,105.90	-210.00	-22.00	-188.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	GUGGENHEIM S&P 500 EQUAL	24,105.88	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	1,090.89	50.00	22.00	28.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	-11,236.30	-204.16	-22.00	-182.16	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES CORE S&P SMALL CAP ETF	11,236.31	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	-3,316.81	-83.16	-22.00	-61.16	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	3,316.81	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES CORE S&P SMALL CAP ETF	15,102.60	259.19	22.00	237.19	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
^10/10/2017	KINCAID INDUSTRIES	ISHARES CORE S&P SMALL CAP ETF	8,918.65	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	-3,305.09	-83.00	-22.00	-61.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES GLOBAL TELECOM ETF	3,305.09	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	-11,206.00	-139.08	-22.00	-117.08	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES S&P 500 VALUE ETF	11,206.00	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	-3,247.25	-82.20	-22.00	-60.20	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	3,247.25	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES S&P 500 VALUE ETF	13,775.39	188.48	22.00	166.48	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES S&P 500 VALUE ETF	13,415.60	0.00	0.00	0.00	100.0	0.00
^09/21/2017	HUGGINS, JAMES	ISHARES S&P SMALL CAP 600	3,282.56	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR	32,181.68	525.19	22.00	503.19	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-14,978.60	-210.00	-22.00	-188.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	14,978.63	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-4,400.24	-98.22	-22.00	-76.22	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	4,400.24	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	20,076.03	290.63	22.00	268.63	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 1-3 YR TREAS BD	8,942.86	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-7,461.72	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	7,461.72	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-2,150.50	-64.80	-22.00	-42.80	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	2,150.50	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	9,954.55	105.00	22.00	83.00	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS 20+ YR TREAS BD	4,365.78	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-56,206.40	-568.75	-22.00	-546.75	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	56,206.44	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	-16,531.10	-210.00	-22.00	-188.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	16,531.08	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS INTER CR BD FD	75,462.28	735.00	22.00	713.00	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR BARCLAYS INTER CR BD FD	15,631.35	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-18,700.70	-160.76	-22.00	-138.76	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR CORE S&P MID-CAP ETF	18,700.73	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-5,440.56	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	5,440.56	27.00	22.00	5.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
^09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	25,095.81	210.00	22.00	188.00	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR CORE S&P MID-CAP ETF	11,065.38	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	-10,873.50	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR DOW JONES US HEALTH CARE	10,873.51	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-18,706.80	-210.00	-22.00	-188.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	18,706.75	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	-5,431.95	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	5,431.95	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR IBOXX USD INVT GRADE COR	25,065.69	243.98	22.00	221.98	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR IBOXX USD INVT GRADE COR	8,858.40	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-11,220.30	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	11,220.31	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	-3,294.00	-82.85	-22.00	-60.85	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	3,294.00	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL 1000 GROWTH INDE	14,987.94	178.22	22.00	156.22	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR RUSSELL 1000 GROWTH INDE	6,724.45	0.00	0.00	0.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	3,300.08	82.93	22.00	60.93	100.0	0.00
^09/21/2017	HUGGINS, JAMES	ISHARES TR RUSSELL MIDCAP GROWTH IN	112.29	0.00	22.00	-22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	-7,439.60	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 GROWTH ETF	7,439.60	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	-2,106.30	-63.91	-22.00	-41.91	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	2,106.30	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 GROWTH ETF	9,973.59	105.00	22.00	83.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	-116,166.00	-525.00	-22.00	-503.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	ISHARES TR S&P 500 INDEX FD	116,165.98	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	-34,043.80	-210.00	-22.00	-188.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	34,043.83	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	155,962.86	735.00	22.00	713.00	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	ISHARES TR S&P 500 INDEX FD	67,087.27	0.00	0.00	0.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TRUST	2,300.46	67.81	22.00	45.81	100.0	0.00
^09/07/2017	HUGGINS, JAMES	JETBLUE AIRWAYS CORP COM	3,010.16	106.77	22.00	84.77	100.0	0.00
^09/07/2017	HUGGINS, JAMES	LEAR CORPORATION	1,515.13	52.04	22.00	30.04	100.0	0.00
^09/07/2017	HUGGINS, JAMES	LOCKHEED MARTIN CORP COM	3,015.50	78.98	22.00	56.98	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
^09/07/2017	HUGGINS, JAMES	MAGELLAN MIDSTREAM PARTNERS LP UNIT	2,189.45	65.58	22.00	43.58	100.0	0.00
^09/07/2017	HUGGINS, JAMES	MARTIN MARIETTA MATERIALS INC	1,450.70	50.75	22.00	28.75	100.0	0.00
^09/07/2017	HUGGINS, JAMES	METLIFE INC COM	1,168.88	50.00	22.00	28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	MGM RESORTS INTL COM	2,148.46	64.76	22.00	42.76	100.0	0.00
^09/07/2017	HUGGINS, JAMES	MONSANTO CO NEW COM	2,349.25	68.79	22.00	46.79	100.0	0.00
^09/07/2017	HUGGINS, JAMES	OWENS ILLINOIS INC	1,000.55	50.00	22.00	28.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-15,000.60	-324.82	-22.00	-302.82	100.0	0.00
^08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	15,000.61	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-4,406.91	-131.98	-22.00	-109.98	100.0	0.00
^08/22/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	4,406.91	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	-5,885.25	-169.61	-22.00	-147.61	100.0	0.00
^08/22/2017	LARAMIE, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	5,885.25	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	POWERSHARES GLOBAL EXCHANGE TRADED	20,111.48	414.14	22.00	392.14	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	POWERSHARES GLOBAL EXCHANGE TRADED	8,950.72	0.00	0.00	0.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	1,985.89	61.50	22.00	39.50	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	-20,356.30	-387.79	-22.00	-365.79	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR	20,356.35	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	-7,225.16	-188.45	-22.00	-166.45	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR EMERGING MKTS E	7,225.16	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	-43,566.00	-603.63	-22.00	-581.63	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTERMEDIATE-TE	43,565.96	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	-22,227.30	-429.70	-22.00	-407.70	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SCHWAB STRATEGIC TR INTL EQUITY ETF	22,227.29	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SCHWAB STRATEGIC TR US LARGE-CAP GR	3,533.23	86.17	22.00	64.17	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR CONSUMER DISC	1,797.45	57.71	22.00	35.71	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR FINANCIAL	2,381.50	72.53	22.00	50.53	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TR MATLS	2,686.18	74.40	22.00	52.40	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SELECT SECTOR SPDR TRUST	1,832.83	58.42	22.00	36.42	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SPDR S&P 500 ETF TR TR UNIT	1,726.62	56.29	22.00	34.29	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-105.00	0.00	-105.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	27.00	0.00	27.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-105.00	0.00	-105.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	27.00	0.00	27.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^09/07/2017	HUGGINS, JAMES	STEEL DYNAMICS INC COM	2,788.18	75.82	22.00	53.82	100.0	0.00
^09/07/2017	HUGGINS, JAMES	U S CONCRETE INC COM NEW	2,323.50	68.28	22.00	46.28	100.0	0.00
^09/07/2017	HUGGINS, JAMES	UNITED PARCEL SVC INC CL B	1,599.26	53.73	22.00	31.73	100.0	0.00
^09/07/2017	HUGGINS, JAMES	UNITED RENTALS INC COM	1,827.38	58.31	22.00	36.31	100.0	0.00
^09/07/2017	HUGGINS, JAMES	USG CORP (NEW) COMMON STOCK	1,127.79	50.00	22.00	28.00	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	VANGUARD INDEX FDS VANGUARD GROWTH	11,159.19	0.00	0.00	0.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	VANGUARD INDEX FUNDS SMALLCAP GROWT	3,076.29	79.82	22.00	57.82	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-434.31	-22.00	-412.31	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-6,620.97	-167.80	-22.00	-145.80	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	6,620.97	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	30,186.59	499.63	22.00	477.63	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	VANGUARD INTL EQUITY INDEX FDS MSCI	13,411.06	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-290.41	-22.00	-268.41	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	-5,457.89	-105.00	-22.00	-83.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	5,457.89	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	25,088.93	360.61	22.00	338.61	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	VANGUARD SCOTTSDALE FUNDS	8,919.47	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	-10,667.80	-154.56	-22.00	-132.56	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SPECIALIZED PORTFOLIOS DIV	10,667.79	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-45,000.70	-632.33	-22.00	-610.33	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	45,000.73	27.00	22.00	5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	-13,246.10	-286.21	-22.00	-264.21	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	13,246.09	27.00	22.00	5.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	VANGUARD TAX-MANAGED INTL FD FTSE D	60,383.83	760.85	22.00	738.85	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	VANGUARD TAX-MANAGED INTL FD FTSE D	35,836.57	0.00	0.00	0.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	VULCAN MATLS CO COM	2,611.68	73.36	22.00	51.36	100.0	0.00
* STOCKS SUBTOTAL *			\$819,686.23	\$-2,506.51	\$1,056.00	\$-3,562.51		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
^10/10/2017	KINCAID INDUSTRIES	PERSHING	229,945.77	289.79	0.00	289.79	100.0	0.00
^10/10/2017	KINCAID INDUSTRIES	PERSHING	63,374.78	79.87	0.00	79.87	100.0	0.00
^10/10/2017	PATOROS, LORI	RBC	101,162.48	254.98	0.00	254.98	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^10/10/2017	PATOROS, JOHN	RBC	163,261.07	411.51	0.00	411.51	100.0	0.00
^10/10/2017	LARAMIE, JAMES	RBC	392,785.65	990.04	0.00	990.04	100.0	0.00
^10/10/2017	HUGGINS, JAMES	RBC	689,328.51	1,737.49	0.00	1,737.49	100.0	0.00
^10/10/2017	HUGGINS, JAMES	RBC	46,392.32	116.93	0.00	116.93	100.0	0.00
^10/10/2017	HUGGINS, JAMES	RBC	115,227.65	290.44	0.00	290.44	100.0	0.00
* RIA SUBTOTAL *			\$1,801,478.23	\$4,171.05	\$0.00	\$4,171.05		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,621,164.46	\$1,666.47	\$1,056.00	\$610.47		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed