

**COMMISSION STATEMENT****May 15, 2018**TIMOTHY, S118 STAUFFER
333 Fiddlers CoveBROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/04/2018	ALLES, DOROTHY	COMPASS DIVERSIFIED HLDGS SH BEN IN	-1,545.00	50.00	22.50	27.50	90.0	24.75
05/04/2018	ALLES, DOROTHY	FORD MOTOR CO DEL COM PAR	-2,226.00	50.00	22.50	27.50	90.0	24.75
04/30/2018	HINDALL, JOHN	HEALTHCARE TR AMER INC CL A NEW	-2,316.54	48.00	22.50	25.50	90.0	22.95
04/27/2018	SHERRILL, TIMOTHY	MULESOFT INC CL A	-11,219.04	35.00	22.50	12.50	90.0	11.25
05/07/2018	SHERRILL, TIMOTHY	PRUDENTIAL FINL INC COM	7,490.81	38.00	22.50	15.50	90.0	13.95
05/07/2018	SHERRILL, TIMOTHY	WELLS FARGO & CO NEW COM	7,474.33	38.00	22.50	15.50	90.0	13.95
* STOCKS SUBTOTAL *			\$-2,341.44	\$259.00	\$135.00	\$124.00		\$111.60
*** CLEARING TRANSACTIONS TOTAL ***			\$-2,341.44	\$259.00	\$135.00	\$124.00		\$111.60
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
* MUTUAL FUNDS SUBTOTAL *			\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	69,142.79	57.62	0.00	57.62	90.0	51.86
04/30/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	107,967.28	89.97	0.00	89.97	90.0	80.97
04/30/2018	SHERRILL, TIMOTHY	JOHN HANCOCK VENTURE III	214,390.62	178.66	0.00	178.66	90.0	160.79
04/30/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	199,703.71	166.42	0.00	166.42	90.0	149.78
04/27/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,111.85	15.93	0.00	15.93	90.0	14.34
* VARIABLE ANNUITIES SUBTOTAL *			\$610,316.25	\$508.60	\$0.00	\$508.60		\$457.74
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.31	0.00	2.31	90.0	2.08
04/18/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.31	0.00	2.31	90.0	2.08
04/18/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.17	0.00	9.17	90.0	8.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/18/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.01	0.00	9.01	90.0	8.11
04/18/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.80	0.00	1.80	90.0	1.62
04/18/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	90.0	1.26
04/18/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.30	0.00	12.30	90.0	11.07
04/18/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.04	0.00	2.04	90.0	1.84
04/18/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.32	0.00	1.32	90.0	1.19
04/18/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.32	0.00	1.32	90.0	1.19
04/18/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.51	0.00	7.51	90.0	6.76
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.33	0.00	0.33	90.0	0.30
04/18/2018	MOHR, BROGAN	INVESCO AMERICAN FRANCHISE A	0.00	0.43	0.00	0.43	90.0	0.39
04/18/2018	MOHR, AMY	INVESCO AMERICAN FRANCHISE A	0.00	2.67	0.00	2.67	90.0	2.40
04/18/2018	MOHR, AMY	INVESCO UTILITIES A	0.00	1.97	0.00	1.97	90.0	1.77
04/16/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.61	0.00	5.61	90.0	5.05
04/16/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	5.26	0.00	5.26	90.0	4.73
04/16/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.58	0.00	5.58	90.0	5.02
04/24/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.09	0.00	0.09	90.0	0.08
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.50	0.00	0.50	90.0	0.45
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.21	0.00	1.21	90.0	1.09
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.91	0.00	0.91	90.0	0.82
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.06	0.00	0.06	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.25	0.00	0.25	90.0	0.23
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.57	0.00	0.57	90.0	0.51
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.78	0.00	0.78	90.0	0.70
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	0.00	0.06	90.0	0.05
04/23/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.30	0.00	1.30	90.0	1.17
04/23/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.37	0.00	2.37	90.0	2.13
04/23/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.22	0.00	8.22	90.0	7.40
04/23/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	8.83	0.00	8.83	90.0	7.95
04/23/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	8.73	0.00	8.73	90.0	7.86
04/23/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.46	0.00	10.46	90.0	9.41
04/23/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	6.90	0.00	6.90	90.0	6.21
04/23/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.73	0.00	6.73	90.0	6.06

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$130.38	\$0.00	\$130.38		\$117.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/19/2018	NUTTLE, JANNETTA	ING PREMIUM PLUS	15,228.59	9.52	0.00	9.52	90.0	8.57
04/30/2018	WILD, WILLIAM	JNL ELITE ACCESS	0.00	41.92	0.00	41.92	90.0	37.73
04/30/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	0.00	5.84	0.00	5.84	90.0	5.26
04/30/2018	MOHR, AMY	JNL ELITE ACCESS	0.00	9.66	0.00	9.66	90.0	8.69
04/30/2018	LARKINS, TROY	JNL ELITE ACCESS	0.00	10.19	0.00	10.19	90.0	9.17
04/30/2018	ZEHR, PAUL	JNL ELITE ACCESS	0.00	17.39	0.00	17.39	90.0	15.65
04/30/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	0.00	6.33	0.00	6.33	90.0	5.70
04/30/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	0.00	7.72	0.00	7.72	90.0	6.95
04/30/2018	FLETCHER, MONA	JNL PERSPECTIVE II	0.00	11.55	0.00	11.55	90.0	10.40
04/30/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	0.00	125.61	0.00	125.61	90.0	113.05
04/30/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	0.00	6.04	0.00	6.04	90.0	5.44
04/30/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	0.00	34.10	0.00	34.10	90.0	30.69
04/30/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	0.00	33.04	0.00	33.04	90.0	29.74
04/30/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	0.00	61.73	0.00	61.73	90.0	55.56
04/30/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	0.00	32.68	0.00	32.68	90.0	29.41
04/30/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	0.00	261.02	0.00	261.02	90.0	234.92
04/30/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	0.00	92.99	0.00	92.99	90.0	83.69
04/30/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	0.00	131.72	0.00	131.72	90.0	118.55
04/30/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	0.00	90.81	0.00	90.81	90.0	81.73
04/30/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	0.00	59.12	0.00	59.12	90.0	53.21
04/30/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	0.00	58.47	0.00	58.47	90.0	52.62
04/30/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	0.00	36.82	0.00	36.82	90.0	33.14
04/30/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	0.00	41.00	0.00	41.00	90.0	36.90
04/30/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	0.00	19.91	0.00	19.91	90.0	17.92
04/30/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	0.00	19.29	0.00	19.29	90.0	17.36
04/16/2018	BLANCHARD, SUZETTE	PAC LIFE INNOVATIVE SELECT	0.00	628.64	0.00	628.64	90.0	565.78
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$15,228.59	\$1,853.11	\$0.00	\$1,853.11		\$1,667.83
*** DIRECT TRANSACTIONS TOTAL ***			\$625,759.84	\$2,494.24	\$0.00	\$2,494.24		\$2,244.85

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<u>ADJUSTMENTS</u>								
05/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
05/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
05/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
05/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-5.97
05/15/2018		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
05/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-713.97

BROKER COMMISSION TOTALS

Broker Transactions	\$2,356.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-713.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,642.48
Year-to-date Gross	\$57,853.64
Year-to-date Earnings	\$51,500.08

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