



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2018

04/03/2018
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DELMAR (BO)-M143 MCKINNEY
5 Red Bud Trail

BROKER #: M143
BRANCH: EAGLE WEALTH MANAGEM

Round Rock, TX 78655-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
03/23/2018	HOHMAN, JEROME	FT UNIT 7224 CLOUD COMPUTING PORTFO	30,005.01	600.03	0.00	600.03	90.0	540.03
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$30,005.01	\$600.03	\$0.00	\$600.03		\$540.03
*** CLEARING TRANSACTIONS TOTAL ***			\$30,005.01	\$600.03	\$0.00	\$600.03		\$540.03
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
03/05/2018	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
03/05/2018	OHE, EUGENIA	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
03/20/2018	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.20	0.00	1.20	90.0	1.08
03/20/2018	BRUECKNER, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	1.20	0.00	1.20	90.0	1.08
* 529 PLANS SUBTOTAL *			\$400.00	\$7.90	\$0.00	\$7.90		\$7.12
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/15/2018	BRUECKNER, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	200.00	2.40	0.00	2.40	90.0	2.16
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$2.40	\$0.00	\$2.40		\$2.16
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/14/2018	WILSON, MARY	AM GEN POLARIS FLEX PYMT DEF ANNUIT	162,276.90	162.28	0.00	162.28	90.0	146.05
* VARIABLE ANNUITIES SUBTOTAL *			\$162,276.90	\$162.28	\$0.00	\$162.28		\$146.05
*** DIRECT TRANSACTIONS TOTAL ***			\$162,876.90	\$172.58	\$0.00	\$172.58		\$155.33

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BO/MO-M145 MCKINNEY #314</u>								
03/27/2018	HOLVERSON, JEFFREY	FT UNIT 6730 EQUITY CLOSED END PORT	-35,694.74	0.00	22.00	-22.00	90.0	-19.80
03/27/2018	HOLVERSON, JEFFREY	FT UNIT 6770 MLP CLOSED END PORT SE	-30,884.92	0.00	22.00	-22.00	90.0	-19.80
03/27/2018	HOLVERSON, JEFFREY	FT UNIT 6786 GLOBAL EQUITY INCOME C	-34,771.55	0.00	22.00	-22.00	90.0	-19.80
03/27/2018	HOLVERSON, JEFFREY	FT UNIT 6881 DIVID & INCOME SELECT	-35,636.79	0.00	22.00	-22.00	90.0	-19.80
03/26/2018	HOLVERSON, JEFFREY	SMART TR CEFA SELECT BDC TR SER 9 U	-43,080.17	0.00	22.00	-22.00	90.0	-19.80
03/26/2018	HOLVERSON, JEFFREY	SMART TR PORTFOLIO CLOSED-END FD OP	-45,516.49	0.00	22.00	-22.00	90.0	-19.80
03/26/2018	HOLVERSON, JEFFREY	SMART TR STRATEGIC GROWT & INCOME T	-33,676.89	0.00	22.00	-22.00	90.0	-19.80
* BO/MO-M145 MCKINNEY SUBTOTAL *			\$-259,261.55	\$0.00	\$154.00	\$-154.00		\$-138.60
*** SPLIT TRANSACTIONS TOTAL ***			\$-259,261.55	\$0.00	\$154.00	\$-154.00		\$-138.60

BROKER COMMISSION TOTALS

Broker Transactions	\$695.36
Split Transactions	\$-138.60
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$556.76
Year-to-date Gross	\$115,193.23
Year-to-date Earnings	\$103,373.30

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