

**COMMISSION STATEMENT****April 30, 2018**DAVID, K123 KERN  
4321 Flagstaff CoveBROKER #: K123  
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
04/24/2018	PICCIANO, DEAN	PACIFIC FINANCIAL	126,250.86	80.42	0.00	80.42	90.0	72.38
04/13/2018	FREISTROFFER, HENRY	TD AMERITRADE	552,951.21	896.08	0.00	896.08	90.0	806.47
04/13/2018	FREISTROFFER, HENRY	TD AMERITRADE	12,307.59	19.95	0.00	19.95	90.0	17.96
04/13/2018	HURLEY, JOHN	TD AMERITRADE	82,362.09	133.47	0.00	133.47	90.0	120.12
04/13/2018	HURLEY, JOHN	TD AMERITRADE	83,459.90	135.25	0.00	135.25	90.0	121.73
04/13/2018	WEIS, KIMBERLY	TD AMERITRADE	83,284.93	134.97	0.00	134.97	90.0	121.47
04/13/2018	WEIS, KIMBERLY	TD AMERITRADE	82,413.11	133.55	0.00	133.55	90.0	120.20
04/13/2018	FREISTROFFER, MERIDITH	TD AMERITRADE	25,734.02	41.70	0.00	41.70	90.0	37.53
04/16/2018	RIEBE, ALAN	TD AMERITRADE	0.00	527.43	0.00	527.43	90.0	474.69
* RIA SUBTOTAL *			<b>\$1,048,763.71</b>	<b>\$2,102.82</b>	<b>\$0.00</b>	<b>\$2,102.82</b>		<b>\$1,892.55</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$1,048,763.71</b>	<b>\$2,102.82</b>	<b>\$0.00</b>	<b>\$2,102.82</b>		<b>\$1,892.55</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,892.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,892.55
Year-to-date Gross	\$4,027.11
Year-to-date Earnings	\$3,624.41