



Concorde Investment Services, LLC
COMMISSION STATEMENT
November 15, 2017

11/13/2017
Page No. 1

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/09/2017	ALVES FAMILY TRUST	HPI FUND V	35,000.00	2,100.00	0.00	2,100.00	92.0	1,932.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$35,000.00	\$2,100.00	\$0.00	\$2,100.00		\$1,932.00
<u>PRODUCT CATEGORY: REIT</u>								
10/20/2017	BAUTISTA, FEDERICO	COTTONWOOD MULTIFAMILY REIT II	20,000.00	1,200.00	0.00	1,200.00	92.0	1,104.00
		* REIT SUBTOTAL *	\$20,000.00	\$1,200.00	\$0.00	\$1,200.00		\$1,104.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
10/24/2017	6712 CULVER PLACE LL	PASSCO PARKER DST	1,008,000.00	60,480.00	0.00	60,480.00	92.0	55,641.60
		* 1031 EXCHANGES SUBTOTAL *	\$1,008,000.00	\$60,480.00	\$0.00	\$60,480.00		\$55,641.60
<u>PRODUCT CATEGORY: 529 PLANS</u>								
10/31/2017	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	200.00	2.00	0.00	2.00	92.0	1.84
		* 529 PLANS SUBTOTAL *	\$200.00	\$2.00	\$0.00	\$2.00		\$1.84
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
10/25/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
10/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
10/25/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
10/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
10/25/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.31	0.00	2.31	92.0	2.13
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.12	0.00	1.12	92.0	1.03
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.07	0.00	1.07	92.0	0.98
10/31/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
10/31/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
		* MUTUAL FUNDS SUBTOTAL *	\$1,300.00	\$27.26	\$0.00	\$27.26		\$25.08

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/08/2017	GIBSON, CARLA	AM GEN SEASONS ELITE	522,539.67	1,306.35	0.00	1,306.35	92.0	1,201.84
11/01/2017	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	42,912.75	160.92	0.00	160.92	92.0	148.05
10/31/2017	PUGH, CECILIA	JNL PERSPECTIVE L	43,825.13	36.52	0.00	36.52	92.0	33.60
10/31/2017	LAXER, JACK	JNL PERSPECTIVE L	57,529.31	47.94	0.00	47.94	92.0	44.10
10/31/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	61,111.11	50.93	0.00	50.93	92.0	46.86
10/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	74,925.52	62.44	0.00	62.44	92.0	57.44
10/31/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	73,732.96	61.44	0.00	61.44	92.0	56.52
* VARIABLE ANNUITIES SUBTOTAL *			\$876,576.45	\$1,726.54	\$0.00	\$1,726.54		\$1,588.41
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	RELIAM INC	ADP TRAILS	0.00	296.24	0.00	296.24	92.0	272.54
09/30/2017	HILL & DALE LEARNING	ADP TRAILS	0.00	27.30	0.00	27.30	92.0	25.12
09/30/2017	CAL MEDICAL SVC	ADP TRAILS	0.00	99.09	0.00	99.09	92.0	91.16
09/30/2017	KVM-TEC INC 401K	ADP TRAILS	0.00	5.06	0.00	5.06	92.0	4.66
09/30/2017	CELESTIAL FREIGHT	ADP TRAILS	0.00	298.16	0.00	298.16	92.0	274.31
09/30/2017	MARTINEZ LAW OFFICE	ADP TRAILS	0.00	74.85	0.00	74.85	92.0	68.86
10/19/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.94	0.00	4.94	92.0	4.54
10/20/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.03	0.00	2.03	92.0	1.87
10/19/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.74	0.00	3.74	92.0	3.44
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$811.41	\$0.00	\$811.41		\$746.50
*** DIRECT TRANSACTIONS TOTAL ***			\$1,941,076.45	\$66,347.21	\$0.00	\$66,347.21		\$61,039.43

ADJUSTMENTS

11/15/2017	*AI INSIGHT: AI INSIGHT	0.00	-7.00
11/15/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00	699.01
11/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00	-300.00
11/15/2017	*GLOBAL RELAY: WEBSITE	0.00	-15.00
11/15/2017	*PERSHING: BOOKS AND RECORDS	0.00	-2.01
11/15/2017	*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00	-135.00
11/15/2017	*TECHNOLOGY: ADMIN - ELIZABETH	0.00	-60.00
11/15/2017	*TECHNOLOGY: CURRENT MONTH BASIC	0.00	-180.00

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DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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BROKER COMMISSION TOTALS

Broker Transactions	\$61,039.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$61,039.43
Year-to-date Gross	\$289,307.88
Year-to-date Earnings	\$265,275.35

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