



Concorde Investment Services, LLC
COMMISSION STATEMENT
December 31, 2017

12/28/2017
 Page No. 1

R145-RICHARD RODRIGUEZ, JR.
 3854 E. County Road 466
 Suite A
 Oxford, FL 34484

BROKER #: R145
 BRANCH: RICHARD RODRIGUEZ JR

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: REIT</u> | | | | | | | | |
| 11/30/2017 | DURBANO, LAURA | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 16.77 | 0.00 | 16.77 | 90.0 | 15.09 |
| 11/30/2017 | MAY, ROBERT | CARTER VALIDUS MC REIT II CLASS T | 0.00 | 58.23 | 0.00 | 58.23 | 90.0 | 52.41 |
| * REIT SUBTOTAL * | | | \$0.00 | \$75.00 | \$0.00 | \$75.00 | | \$67.50 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 12/14/2017 | FRANK, NATALIE | METLIFE SHIELD LEVEL SELECT 6 YR | 70,000.00 | 4,200.00 | 0.00 | 4,200.00 | 90.0 | 3,780.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$70,000.00 | \$4,200.00 | \$0.00 | \$4,200.00 | | \$3,780.00 |
| <u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u> | | | | | | | | |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 6,389.25 | 5,430.86 | 0.00 | 5,430.86 | 90.0 | 4,887.77 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 597.31 | 507.71 | 0.00 | 507.71 | 90.0 | 456.94 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 381.75 | 324.49 | 0.00 | 324.49 | 90.0 | 292.04 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 35.69 | 30.34 | 0.00 | 30.34 | 90.0 | 27.31 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 2,020.85 | 1,717.72 | 0.00 | 1,717.72 | 90.0 | 1,545.95 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 243.41 | 206.90 | 0.00 | 206.90 | 90.0 | 186.21 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 121.15 | 102.98 | 0.00 | 102.98 | 90.0 | 92.68 |
| 12/19/2017 | HALL, MICHAEL | LINCOLN LIFE | 14.59 | 12.40 | 0.00 | 12.40 | 90.0 | 11.16 |
| * VARIABLE UNIVERSAL LIFE SUBTOTAL * | | | \$9,804.00 | \$8,333.40 | \$0.00 | \$8,333.40 | | \$7,500.06 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$79,804.00 | \$12,608.40 | \$0.00 | \$12,608.40 | | \$11,347.56 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: R144 - DAVE/RICH ZUPEK/RODRIGUEZ JR #405</u> | | | | | | | | |
| 12/27/2017 | DAUBENDIEK, JAMES | BOURNE SENIORS HOUSING III | 100,000.00 | 1,750.00 | 0.00 | 1,750.00 | 90.0 | 1,575.00 |
| 12/20/2017 | CARIDAL TRUST | GPB WASTE MANAGEMENT LP | 50,000.00 | 875.00 | 0.00 | 875.00 | 90.0 | 787.50 |
| 12/06/2017 | KRUPSKI, KENNETH | SEALEY STRATEGIC EQUITY PARTNERS LP | 75,000.00 | 1,312.50 | 0.00 | 1,312.50 | 90.0 | 1,181.25 |
| * R144 - DAVE/RICH ZUPEK/RODRIGUEZ JR SUBTOTAL * | | | \$225,000.00 | \$3,937.50 | \$0.00 | \$3,937.50 | | \$3,543.75 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$225,000.00 | \$3,937.50 | \$0.00 | \$3,937.50 | | \$3,543.75 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$11,347.56 |
| Split Transactions | \$3,543.75 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-825.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$14,066.31 |
| Year-to-date Gross | \$24,245.90 |
| Year-to-date Earnings | \$21,821.31 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed