



All Companies

01/30/2018
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COMMISSION STATEMENT

February 01, 2018

SIGNAL SECURITIES HOUSE ACCOUNT

BROKER #: 7496
BRANCH: RETAIL REPS.

COMMISSION STATEMENT for SIGNAL SECURITIES HOUSE ACCOUNT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/29/2017	TRAILS	-PRUDENTIAL MUTUAL FUND	B	0.42	0.42	0.00	0.42	100.0	0.42
12/31/2017	TRAILS	COLLEGEBOUND 529	B	71.45	71.45	0.00	71.45	100.0	71.45
12/31/2017	TRAILS	DELAWARE - GENERAL	B	0.49	0.49	0.00	0.49	100.0	0.49
12/31/2017	TRAILS	DREYFUS - GENERAL	B	0.38	0.38	0.00	0.38	100.0	0.38
12/29/2017	TRAILS	EATON VANCE - GENERAL	B	10.63	10.63	0.00	10.63	100.0	10.63
12/31/2017	TRAILS	EATON VANCE - GENERAL	B	2.66	2.66	0.00	2.66	100.0	2.66
01/16/2018	00942761631 SPARKS, ROLAND H.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	2.13	0.00	2.13	100.0	2.13
01/16/2018	00942761631 SPARKS, ROLAND H.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	100.0	2.13
01/16/2018	00942761631 SPARKS, ROLAND H.	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	100.0	1.50
01/16/2018	00942761631 SPARKS, ROLAND H.	AMERICAN FUNDS INCOME FD OF AMERI	B	50.00	2.13	0.00	2.13	100.0	2.13
01/16/2018	00942761631 SPARKS, ROLAND H.	AMERICAN FUNDS INCOME SER US GOVT	B	50.00	1.50	0.00	1.50	100.0	1.50
01/02/2018	08191365977 ATCHISON, STEPHEN	MFS LIFETIME 2025 FUND-B	B	100.00	4.00	0.00	4.00	100.0	4.00
* MUTUAL FUNDS SUBTOTAL *				\$436.03	\$99.42	\$0.00	\$99.42		\$99.42
<u>PRODUCT CATEGORY: STOCKS</u>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	68.88	68.88	0.00	68.88	100.0	68.88
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	0.41	0.41	0.00	0.41	100.0	0.41
* STOCKS SUBTOTAL *				\$69.29	\$69.29	\$0.00	\$69.29		\$69.29
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/05/2018	TRAILS	-JOHN HANCOCK	B	375.03	375.03	0.00	375.03	100.0	375.03
01/04/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	307.59	307.59	0.00	307.59	100.0	307.59
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	86.18	86.18	0.00	86.18	100.0	86.18
01/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	136.79	136.79	0.00	136.79	100.0	136.79
01/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	151.73	151.73	0.00	151.73	100.0	151.73
01/07/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	33.23	33.23	0.00	33.23	100.0	33.23
* VARIABLE ANNUITIES SUBTOTAL *				\$1,090.55	\$1,090.55	\$0.00	\$1,090.55		\$1,090.55
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
01/08/2018	SANTIAGO, CECILE A	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	100.0	1.25
* LIFE INSURANCE SUBTOTAL *				\$125.00	\$1.25	\$0.00	\$1.25		\$1.25

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/16/2018	00089290987	BETTS, MAE SIMS	DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	31.83	0.00	31.83	100.0	31.83
01/16/2018	00089461458	MORELLO, LUIGI	DEUTSCHE CORE EQUITY FUND-A	B	0.00	12.40	0.00	12.40	100.0	12.40
01/16/2018	00089461458	MORELLO, LUIGI	DEUTSCHE SCIENCE AND TECHNOLOGY FUN	B	0.00	11.68	0.00	11.68	100.0	11.68
01/16/2018	00089461459	MORELLO, LIDIA	DEUTSCHE CORE EQUITY FUND-A	B	0.00	10.00	0.00	10.00	100.0	10.00
01/16/2018	00089461459	MORELLO, LIDIA	DEUTSCHE CROCI U.S. FUND-A	B	0.00	2.39	0.00	2.39	100.0	2.39
01/16/2018	00089461459	MORELLO, LIDIA	DEUTSCHE GLOBAL INCOME BUILDER FUND	B	0.00	2.19	0.00	2.19	100.0	2.19
01/16/2018	00133289742	HANFF, SHIRLEY M	DEUTSCHE CORE EQUITY FUND-A	B	0.00	2.67	0.00	2.67	100.0	2.67
01/16/2018	00133289742	HANFF, SHIRLEY M	DEUTSCHE HIGH INCOME FUND-A	B	0.00	3.12	0.00	3.12	100.0	3.12
01/03/2018	06205450406	MALOFF, PERRY	PIONEER INDEPENDENCE FUND A	B	0.00	2.74	0.00	2.74	100.0	2.74
01/03/2018	07000069472	HIGDON, DANIEL	PRINCIPAL INVESTORS SAM CONSERV GRO	B	0.00	16.78	0.00	16.78	100.0	16.78
01/04/2018	07226430004	SEGERSON, SHEILA	COLUMBIA TAX EXEMPT	B	0.00	18.06	0.00	18.06	100.0	18.06
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* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$113.86	\$0.00	\$113.86		\$113.86
*** BROKER TRANSACTIONS TOTAL ***					\$1,720.87	\$1,374.37	\$0.00	\$1,374.37		\$1,374.37

ADJUSTMENTS

02/01/2018	:	CUSTOMER WRITE OFF	0.00	0.00	0.00	0.00	-465.97
02/01/2018		HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-54.00
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-519.97</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,374.37
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-519.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.25

Check Amount	\$854.65
Year-to-date Earnings	\$970.40