



Concorde Investment Services, LLC

02/19/2018

Page No. 1

COMMISSION STATEMENT

February 15, 2018

MARK-S141 REG SMITH

BROKER #: S141

BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
02/01/2018	BONGIORNO, VALERIE	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
01/10/2018	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	4.33	0.00	4.33	48.0	2.08
02/01/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.20
01/10/2018	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
01/10/2018	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.04
01/10/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.67	0.00	6.67	48.0	3.20
02/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	48.0	1.20
01/10/2018	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.71	0.00	3.71	48.0	1.78
01/10/2018	WITKOWSKI, CHET	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.58	0.00	0.58	48.0	0.28
02/01/2018	BONGIORNO, VALERIE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	48.0	1.20
02/01/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.20
01/10/2018	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.68	0.00	1.68	48.0	0.81
02/01/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.20
01/10/2018	WITKOWSKI, CHET	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.57	0.00	0.57	48.0	0.27
02/01/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
02/01/2018	BONGIORNO, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
01/10/2018	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	4.20	0.00	4.20	48.0	2.02
01/10/2018	WITKOWSKI, CHET	AM FUNDS ICA A	0.00	0.57	0.00	0.57	48.0	0.27
01/10/2018	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.18	0.00	2.18	48.0	1.05
01/10/2018	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.54	0.00	3.54	48.0	1.70
01/10/2018	WITKOWSKI, CHET	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.56	0.00	0.56	48.0	0.27
01/10/2018	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	48.0	0.08
01/10/2018	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.16	0.00	0.16	48.0	0.08
01/10/2018	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
01/10/2018	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/10/2018	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.21	0.00	0.21	48.0	0.10
01/10/2018	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.21	0.00	0.21	48.0	0.10
01/10/2018	BOWLAND, BOBBY	INVESCO MIDCAP CORE EQUITY A	0.00	8.14	0.00	8.14	48.0	3.91
01/10/2018	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.68	0.00	0.68	48.0	0.33
01/10/2018	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.94	0.00	0.94	48.0	0.45
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$61.89	\$0.00	\$61.89		\$29.72
<u>PRODUCT CATEGORY: STOCKS</u>								
01/08/2018	SCOTT, RONALD	PREFERRED APT CMNTYS INC COM	19,010.00	100.00	22.00	78.00	48.0	37.44
* STOCKS SUBTOTAL *			\$19,010.00	\$100.00	\$22.00	\$78.00		\$37.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/31/2018	BRIDGES, JANIS	JNL ELITE ACCESS	110,072.69	91.73	0.00	91.73	48.0	44.03
01/31/2018	RHODES, VIRGINIA	JNL ELITE ACCESS	79,867.46	16.64	0.00	16.64	48.0	7.99
01/31/2018	CROWTHER, JOHN	JNL ELITE ACCESS	61,716.27	12.86	0.00	12.86	48.0	6.17
01/31/2018	NOBLES, VERLIE	JNL PERSPECTIVE L	32,602.57	35.32	0.00	35.32	48.0	16.95
01/31/2018	REEDER, WESLEY	JNL PERSPECTIVE L	18,987.50	20.57	0.00	20.57	48.0	9.87
01/31/2018	SPURGIN, BRENT	JNL PERSPECTIVE L	38,965.02	42.21	0.00	42.21	48.0	20.26
01/31/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	53,976.59	44.98	0.00	44.98	48.0	21.59
01/31/2018	WHISTLER, ROBERT M & LIND	JNL PERSPECTIVE L	38,393.67	41.59	0.00	41.59	48.0	19.96
01/31/2018	WALLACE, DEBORREH	JNL PERSPECTIVE L	88,567.59	73.81	0.00	73.81	48.0	35.43
01/31/2018	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	32,015.22	26.68	0.00	26.68	48.0	12.81
01/25/2018	GILMOR, JULIE	NATIONWIDE DESTINATION LS 96	0.00	-262.24	0.00	-262.24	48.0	-125.88
01/30/2018	BURNS, ANNE	SEC BEN 814121158	39,400.41	32.82	0.00	32.82	48.0	15.75
* VARIABLE ANNUITIES SUBTOTAL *			\$594,564.99	\$176.97	\$0.00	\$176.97		\$84.93
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	TMICO	FRANKLIN INCOME C	0.00	7.97	0.00	7.97	48.0	3.83
01/31/2018	SPURGIN, LISBETH	FRANKLIN TOTAL RETURN FUNDS -CL C	0.00	5.39	0.00	5.39	48.0	2.59
01/31/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	0.00	0.04	0.00	0.04	48.0	0.02
01/31/2018	MCCORMICK, DEBORAH	FT FOUNDING FUNDS ALLOC A	0.00	28.33	0.00	28.33	48.0	13.60
02/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	11.12	0.00	11.12	48.0	5.34
02/02/2018	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	3.63	0.00	3.63	48.0	1.74
02/02/2018	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.45	0.00	4.45	48.0	2.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$60.93	\$0.00	\$60.93		\$29.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** DIRECT TRANSACTIONS TOTAL ***			\$614,024.99	\$399.79	\$22.00	\$377.79		\$181.35

ADJUSTMENTS								
02/15/2018		*AI INSIGHT: AI INSIGHT - CURRENT M	0.00					-7.00
02/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
02/15/2018		*LASER APP: LASER APP - CURRENT MON	0.00					-18.00
02/15/2018		*RBC: RBC CURRENT MONTH	0.00					-25.00
02/15/2018		*TECHNOLOGY: ADMIN - D INMAN	0.00					-130.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH - BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-660.00

BROKER COMMISSION TOTALS	
Broker Transactions	\$181.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-660.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$-478.65
Year-to-date Gross	\$15,459.06
Year-to-date Earnings	\$7,390.11

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