



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
 Page No. 1

DAVID MIKE, B132 BREEDLOVE
 1024 Pruitt Place

Tyler, TX 75703

BROKER #: B132
 BRANCH: DAVID MICHAEL BREEDL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/07/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	7,902.00	256.82	90.0	231.14	22.00	209.14
08/07/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	7,890.00	256.43	90.0	230.79	22.00	208.79
08/07/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	-7,902.00	-256.82	90.0	-231.14	-4.00	-227.14
* MUTUAL FUNDS SUBTOTAL *			\$7,890.00	\$256.43		\$230.79	\$40.00	\$190.79
*** CLEARING TRANSACTIONS TOTAL ***			\$7,890.00	\$256.43		\$230.79	\$40.00	\$190.79

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/19/2018	LADNER TRUST	BR TOTAL INC & REAL ESTATE FUND A	875.00	43.75	90.0	39.38	0.00	39.38
07/30/2018	LADNER TRUST	BR TOTAL INC & REAL ESTATE FUND A	277.45	13.87	90.0	12.48	0.00	12.48
06/30/2018	MKB INVESTMENTS LTD	RESOURCE R E DIVERSIFIED INC FUND W	25,336.06	5.21	90.0	4.69	0.00	4.69
* INTERVAL FUNDS SUBTOTAL *			\$26,488.51	\$62.83		\$56.55	\$0.00	\$56.55

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.54	90.0	2.29	0.00	2.29
07/02/2018	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.96	90.0	0.86	0.00	0.86
07/02/2018	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.85	90.0	0.77	0.00	0.77
07/16/2018	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.48	90.0	2.23	0.00	2.23
07/16/2018	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.94	90.0	0.85	0.00	0.85
07/16/2018	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE	0.00	0.83	90.0	0.75	0.00	0.75

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
		FU						
07/16/2018	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.06	90.0	1.85	0.00	1.85
/ /	KENNEDY, EVELYN	FIDELITY ADV ENERGY CL M	0.00	2.20	90.0	1.98	0.00	1.98
/ /	KENNEDY, EVELYN	FIDELITY ADV EQUITY GROWTH CL M	0.00	9.23	90.0	8.31	0.00	8.31
07/20/2018	TOMPKINS, HARLOD	PIMCO INCOME FUND CLASS A	0.00	3.93	90.0	3.54	0.00	3.54
07/20/2018	MICHIELS, RICHARD	PIMCO INCOME FUND CLASS A	0.00	2.95	90.0	2.66	0.00	2.66
07/20/2018	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.39	90.0	3.95	0.00	3.95
07/20/2018	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.27	90.0	11.04	0.00	11.04
07/20/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.75	90.0	1.58	0.00	1.58
07/20/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	22.21	90.0	19.99	0.00	19.99
07/20/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	71.82	90.0	64.64	0.00	64.64
07/20/2018	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	20.40	90.0	18.36	0.00	18.36
07/20/2018	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	15.75	90.0	14.18	0.00	14.18
07/20/2018	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	65.41	90.0	58.87	0.00	58.87
07/20/2018	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	1.99	90.0	1.79	0.00	1.79
07/20/2018	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.63	90.0	5.07	0.00	5.07
07/20/2018	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	42.15	90.0	37.94	0.00	37.94
07/20/2018	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	1.87	90.0	1.68	0.00	1.68
07/20/2018	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.42	90.0	4.88	0.00	4.88
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$300.03		\$270.06	\$0.00	\$270.06
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/31/2018	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	0.00	3.58	90.0	3.22	0.00	3.22
07/31/2018	SPEED, LINDA	JNL PERSPECTIVE II 05/05	0.00	24.67	90.0	22.20	0.00	22.20
07/31/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	0.00	0.32	90.0	0.29	0.00	0.29
07/31/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	0.00	0.32	90.0	0.29	0.00	0.29
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	60.99	90.0	54.89	0.00	54.89
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
07/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	0.00	32.23	90.0	29.01	0.00	29.01
07/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	0.00	35.07	90.0	31.56	0.00	31.56
07/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	0.00	35.09	90.0	31.58	0.00	31.58
07/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	0.00	41.77	90.0	37.59	0.00	37.59
07/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	0.00	35.07	90.0	31.56	0.00	31.56
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	4.67	90.0	4.20	0.00	4.20
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	4.67	90.0	4.20	0.00	4.20
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	26.54	90.0	23.89	0.00	23.89
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	26.54	90.0	23.89	0.00	23.89
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	5.36	90.0	4.82	0.00	4.82
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	5.36	90.0	4.82	0.00	4.82
07/31/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	0.00	4.99	90.0	4.49	0.00	4.49
07/31/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	0.00	4.99	90.0	4.49	0.00	4.49
07/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	0.00	5.25	90.0	4.73	0.00	4.73
07/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	0.00	5.25	90.0	4.73	0.00	4.73
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	9.70	90.0	8.73	0.00	8.73
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	9.70	90.0	8.73	0.00	8.73
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	7.19	90.0	6.47	0.00	6.47
07/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	0.00	7.19	90.0	6.47	0.00	6.47
07/31/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	0.00	2.95	90.0	2.66	0.00	2.66
07/31/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	0.00	2.95	90.0	2.66	0.00	2.66
07/31/2018	BURNS, HENRY	PRU PREMIER RETIREMENT C	0.00	10.44	90.0	9.40	0.00	9.40
07/31/2018	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	0.00	10.46	90.0	9.41	0.00	9.41
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$648.92		\$584.05	\$0.00	\$584.05
*** DIRECT TRANSACTIONS TOTAL ***			\$26,488.51	\$1,011.78		\$910.66	\$0.00	\$910.66

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<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
08/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
08/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
08/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
08/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
08/15/2018		*TECHNOLOGY: ADMIN - KAY BREEDLOVE	0.00					-130.00
08/15/2018		*TECHNOLOGY: ADMIN - R WILLIAMS	0.00					-130.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
08/15/2018		*TECHNOLOGY: MONTHLY - ADMIN M. COTTON	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,003.01	\$0.00	\$-1,003.01

BROKER COMMISSION TOTALS

Broker Transactions	\$1,101.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,003.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$98.44
Year-to-date Gross	\$46,854.07
Year-to-date Earnings	\$42,063.03

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