



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2017**

02/14/2017  
 Page No. 1

ACCOUNT HOUSE  
 1120 E. LONG LAKE ROAD  
 SUITE 207  
 TROY, MI 48085-

BROKER #: G999  
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OIL &amp; GAS</u></b>								
12/30/2016	MEREDITH, VAROUS	US ENERGY GENESIS VI	0.00	5.44	0.00	5.44	100.0	5.44
		* OIL & GAS SUBTOTAL *	\$0.00	\$5.44	\$0.00	\$5.44		\$5.44
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
02/01/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	4,950.00	0.00	0.00	0.00	100.0	0.00
02/01/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-5,000.00	0.00	0.00	0.00	100.0	0.00
02/02/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	35,640.00	0.00	0.00	0.00	100.0	0.00
02/02/2017	CONCORDE, VARIOUS	BLUEROCK TOTAL INC & REAL ESTATE FU	-36,000.00	0.00	0.00	0.00	100.0	0.00
12/31/2016	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND	0.00	18.22	0.00	18.22	100.0	18.22
		* INTERVAL FUNDS SUBTOTAL *	\$-410.00	\$18.22	\$0.00	\$18.22		\$18.22
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
01/23/2017	LARocca II, JOHN	AM FUNDS 2045 TARGET DATE-A	300.00	15.00	0.00	15.00	100.0	15.00
01/30/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
02/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
02/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
02/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
02/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
02/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
02/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
02/01/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	100.0	3.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-150.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-100.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	95.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	-300.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	142.50	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN BALANCED A	285.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN HIGH-INCOME TRUST	-1,500.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	AM FUNDS AMERICAN HIGH-INCOME TRUST	1,455.00	0.00	0.00	0.00	100.0	0.00
01/26/2017	ANSON, MOLLY	AM FUNDS AMERICAN MUTUAL A	54.00	2.03	0.00	2.03	100.0	2.03
01/30/2017	HOOTON, KELLY	AM FUNDS AMERICAN MUTUAL A	12.50	0.25	0.00	0.25	100.0	0.25
02/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2017	TORNOW, MARGARET	AM FUNDS AMERICAN MUTUAL A	150.00	1.80	0.00	1.80	100.0	1.80
02/01/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	100.0	5.00
02/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
02/01/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	100.0	5.00
02/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
02/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
02/01/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
01/24/2017	DOYLE, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	57.43	1.58	0.00	1.58	100.0	1.58
01/24/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS CAPITAL WORLD GRTH & INC A	138.18	3.80	0.00	3.80	100.0	3.80
01/24/2017	MURRAY, KATHLEEN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
01/30/2017	COFFMAN, ANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.75	0.00	3.75	100.0	3.75
01/31/2017	TYRANSKI, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC A	16.50	0.83	0.00	0.83	100.0	0.83
02/01/2017	TORNOW, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	1.80	0.00	1.80	100.0	1.80
02/02/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
01/30/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
01/24/2017	DOYLE, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND A	57.42	1.58	0.00	1.58	100.0	1.58
01/24/2017	ANSON, MOLLY	AM FUNDS EUROPACIFIC GROWTH FUND A	44.14	1.66	0.00	1.66	100.0	1.66
01/24/2017	MURRAY, KATHLEEN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
01/24/2017	HARMSWORTH, KRISTA	AM FUNDS EUROPACIFIC GROWTH FUND A	36.30	1.36	0.00	1.36	100.0	1.36
01/24/2017	LAPRATT-DOYLE, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	12.50	0.34	0.00	0.34	100.0	0.34
01/30/2017	HOOTON, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND A	12.50	0.25	0.00	0.25	100.0	0.25
01/30/2017	COFFMAN, ANITA	AM FUNDS EUROPACIFIC GROWTH FUND A	75.00	3.75	0.00	3.75	100.0	3.75
02/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
02/02/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
01/24/2017	ANSON, MOLLY	AM FUNDS FUNDAMENTAL INVESTORS A	44.14	1.66	0.00	1.66	100.0	1.66
01/30/2017	HOOTON, KELLY	AM FUNDS FUNDAMENTAL INVESTORS A	12.50	0.25	0.00	0.25	100.0	0.25
01/23/2017	ENGEL, KATHERINE	AM FUNDS GROWTH FUND OF AMERICA A	114.58	5.73	0.00	5.73	100.0	5.73

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/24/2017	DOYLE, DENNIS	AM FUNDS GROWTH FUND OF AMERICA A	57.42	1.58	0.00	1.58	100.0	1.58
01/24/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS GROWTH FUND OF AMERICA A	134.11	3.69	0.00	3.69	100.0	3.69
01/24/2017	ANSON, MOLLY	AM FUNDS GROWTH FUND OF AMERICA A	45.48	1.71	0.00	1.71	100.0	1.71
01/24/2017	HARMSWORTH, KRISTA	AM FUNDS GROWTH FUND OF AMERICA A	37.40	1.40	0.00	1.40	100.0	1.40
01/30/2017	JOHNSTON, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	100.0	4.25
01/30/2017	THOMPSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	114.58	5.73	0.00	5.73	100.0	5.73
01/30/2017	COFFMAN, ANITA	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.75	0.00	3.75	100.0	3.75
01/31/2017	TYRANSKI, KELLY	AM FUNDS GROWTH FUND OF AMERICA A	17.00	0.85	0.00	0.85	100.0	0.85
02/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
02/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2017	TORNOW, MARGARET	AM FUNDS GROWTH FUND OF AMERICA A	150.00	1.80	0.00	1.80	100.0	1.80
02/01/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
01/24/2017	DOYLE, DENNIS	AM FUNDS ICA A	57.42	1.58	0.00	1.58	100.0	1.58
02/01/2017	TORNOW, MARGARET	AM FUNDS ICA A	150.00	1.80	0.00	1.80	100.0	1.80
01/30/2017	CONCORDE, VARIOUS	AM FUNDS INCOME FUND OF AMERICA A	23,340.00	0.00	0.00	0.00	100.0	0.00
01/30/2017	CONCORDE, VARIOUS	AM FUNDS INCOME FUND OF AMERICA A	-24,000.00	0.00	0.00	0.00	100.0	0.00
01/24/2017	MURRAY, KATHLEEN	AM FUNDS INTL GROWTH AND INCOME A	50.00	1.38	0.00	1.38	100.0	1.38
02/01/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
01/24/2017	LAPRATT-DOYLE, MARY	AM FUNDS NEW ECONOMY A	12.50	0.34	0.00	0.34	100.0	0.34
01/24/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	11.25	0.00	11.25	100.0	11.25
01/24/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	11.25	0.00	11.25	100.0	11.25
01/24/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	11.25	0.00	11.25	100.0	11.25
01/24/2017	BARRY, ANNE M CHAPUSEA	AM FUNDS NEW PERSPECTIVE A	134.11	3.69	0.00	3.69	100.0	3.69
01/24/2017	MURRAY, KATHLEEN	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	100.0	1.38
01/24/2017	HARMSWORTH, KRISTA	AM FUNDS NEW PERSPECTIVE A	36.30	1.36	0.00	1.36	100.0	1.36
01/24/2017	LAPRATT-DOYLE, MARY	AM FUNDS NEW PERSPECTIVE A	12.50	0.34	0.00	0.34	100.0	0.34
01/26/2017	ANSON, MOLLY	AM FUNDS NEW PERSPECTIVE A	54.00	2.03	0.00	2.03	100.0	2.03
01/30/2017	HOOTON, KELLY	AM FUNDS NEW PERSPECTIVE A	12.50	0.25	0.00	0.25	100.0	0.25
01/31/2017	TYRANSKI, KELLY	AM FUNDS NEW PERSPECTIVE A	16.50	0.83	0.00	0.83	100.0	0.83
02/03/2017	LITTRELL, CASEY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	100.0	2.50
01/30/2017	COFFMAN, ANITA	AM FUNDS NEW WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
02/01/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28
01/23/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/01/2017	WHITE, KRISTIN	AM FUNDS SMALLCAP WORLD C	200.00	2.00	0.00	2.00	100.0	2.00
01/24/2017	LAPRATT-DOYLE, MARY	AM FUNDS WASHINGTON MUTUAL INVESTOR	12.50	0.34	0.00	0.34	100.0	0.34
02/01/2017	TORNOW, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	150.00	1.80	0.00	1.80	100.0	1.80
02/01/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
02/01/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	100.00	3.50	0.00	3.50	100.0	3.50
01/31/2017	SIDLOROBEG	DELAWARE VALUE A	0.00	31.53	0.00	31.53	100.0	31.53
01/31/2017	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.25	0.00	7.25	100.0	7.25
/ /	SOCHA, KRISTEN	FIDELITY ADV GROWTH OPP A	100.00	2.50	0.00	2.50	100.0	2.50
/ /	SOCHA, KRISTEN	FIDELITY ADV GROWTH OPP A	0.00	1.17	0.00	1.17	100.0	1.17
/ /	SOCHA, KRISTEN	FIDELITY EQUITY INCOME A	0.00	1.04	0.00	1.04	100.0	1.04
/ /	SOCHA, KRISTEN	FIDELITY TREASURY FUND	0.00	0.28	0.00	0.28	100.0	0.28
01/17/2017	CONCORDE, VARIOUS	FIRST EAGLE GOLD FUND CL A	0.00	2.04	0.00	2.04	100.0	2.04
01/31/2017	PETERS, RUTH	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	2.51	0.00	2.51	100.0	2.51
01/31/2017	HERMAN, TERI	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	15.40	0.00	15.40	100.0	15.40
01/31/2017	PEARSON, PAMELA	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	2.37	0.00	2.37	100.0	2.37
01/31/2017	CHEEK, PAULA	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.80	0.00	0.80	100.0	0.80
01/31/2017	PETERS, JOSEPH	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	5.45	0.00	5.45	100.0	5.45
01/31/2017	STRATZ, SARAH	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.17	0.00	0.17	100.0	0.17
01/31/2017	PETERS, RUTH	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.63	0.00	0.63	100.0	0.63
01/31/2017	ULIN, DAWN	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	2.84	0.00	2.84	100.0	2.84
01/31/2017	REDERSTORF, CASSAUNDRA	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	1.53	0.00	1.53	100.0	1.53
01/31/2017	HOPPER, ALYSSA	FRANKLIN BIOTECHNOLOGY DISCOVERY A	0.00	0.92	0.00	0.92	100.0	0.92
01/31/2017	STRATZ, SARAH	FRANKLIN DYNATECH A	0.00	0.83	0.00	0.83	100.0	0.83
01/31/2017	KALANQUIN, THOMAS	FRANKLIN DYNATECH A	0.00	1.90	0.00	1.90	100.0	1.90
01/31/2017	PETERS, JOSEPH	FRANKLIN DYNATECH A	0.00	0.92	0.00	0.92	100.0	0.92
01/31/2017	PETERS, RUTH	FRANKLIN DYNATECH A	0.00	0.91	0.00	0.91	100.0	0.91
01/31/2017	HOPPER, ALYSSA	FRANKLIN DYNATECH A	0.00	0.31	0.00	0.31	100.0	0.31
01/31/2017	REDERSTORF, CASSAUNDRA	FRANKLIN DYNATECH A	0.00	0.31	0.00	0.31	100.0	0.31
01/31/2017	HERMAN, TERI	FRANKLIN FED TAX FREE INCOME A	0.00	7.44	0.00	7.44	100.0	7.44
01/31/2017	PETERS, JOSEPH	FRANKLIN FOCUSED CORE EQUITY A	0.00	1.20	0.00	1.20	100.0	1.20
01/31/2017	REDERSTORF, CASSAUNDRA	FRANKLIN FOCUSED CORE EQUITY A	0.00	0.55	0.00	0.55	100.0	0.55
01/30/2017	KALANQUIN, THOMAS	FRANKLIN GROWTH A	89.64	3.36	0.00	3.36	100.0	3.36
01/31/2017	KALANQUIN, THOMAS	FRANKLIN GROWTH A	0.00	5.53	0.00	5.53	100.0	5.53

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2017	PETERS, JOSEPH	FRANKLIN GROWTH A	0.00	0.91	0.00	0.91	100.0	0.91
01/31/2017	FLOWERS, AMY	FRANKLIN GROWTH A	0.00	0.86	0.00	0.86	100.0	0.86
01/31/2017	ZAKRZEWSKI, ANIA	FRANKLIN GROWTH A	0.00	0.87	0.00	0.87	100.0	0.87
01/31/2017	HOPPER, ALYSSA	FRANKLIN GROWTH A	0.00	0.78	0.00	0.78	100.0	0.78
01/31/2017	REDERSTORF, CASSAUNDRA	FRANKLIN GROWTH A	0.00	1.56	0.00	1.56	100.0	1.56
01/31/2017	STRATZ, SARAH	FRANKLIN GROWTH A	0.00	0.55	0.00	0.55	100.0	0.55
01/31/2017	ULIN, DAWN	FRANKLIN GROWTH A	0.00	2.86	0.00	2.86	100.0	2.86
02/01/2017	FLOWERS, AMY	FRANKLIN GROWTH A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2017	STRATZ, SARAH	FRANKLIN GROWTH A	100.00	5.00	0.00	5.00	100.0	5.00
01/31/2017	MARZILLI, DORIS	FRANKLIN GROWTH ALLOCATION FUND CL	0.00	36.85	0.00	36.85	100.0	36.85
01/31/2017	HERMAN, TERI	FRANKLIN GROWTH OPP A	0.00	23.69	0.00	23.69	100.0	23.69
01/31/2017	PETERS, JOSEPH	FRANKLIN GROWTH OPP A	0.00	1.29	0.00	1.29	100.0	1.29
01/30/2017	KALANQUIN, THOMAS	FRANKLIN HIGH INCOME A	89.64	3.59	0.00	3.59	100.0	3.59
01/31/2017	KALANQUIN, THOMAS	FRANKLIN HIGH INCOME A	0.00	3.97	0.00	3.97	100.0	3.97
01/30/2017	KALANQUIN, THOMAS	FRANKLIN INCOME A	89.64	3.59	0.00	3.59	100.0	3.59
01/31/2017	CHEEK, PAULA	FRANKLIN INCOME A	0.00	0.39	0.00	0.39	100.0	0.39
01/31/2017	KALANQUIN, THOMAS	FRANKLIN INCOME A	0.00	4.05	0.00	4.05	100.0	4.05
01/31/2017	HERMAN, TERI	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	21.73	0.00	21.73	100.0	21.73
01/31/2017	CHEEK, PAULA	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	1.00	0.00	1.00	100.0	1.00
01/30/2017	KALANQUIN, THOMAS	FRANKLIN NATURAL RESOURCES A	91.80	3.44	0.00	3.44	100.0	3.44
01/31/2017	PETERS, RUTH	FRANKLIN NATURAL RESOURCES A	0.00	0.92	0.00	0.92	100.0	0.92
01/31/2017	FLOWERS, AMY	FRANKLIN NATURAL RESOURCES A	0.00	0.87	0.00	0.87	100.0	0.87
01/31/2017	STRATZ, SARAH	FRANKLIN NATURAL RESOURCES A	0.00	0.11	0.00	0.11	100.0	0.11
01/31/2017	KALANQUIN, THOMAS	FRANKLIN NATURAL RESOURCES A	0.00	3.58	0.00	3.58	100.0	3.58
01/31/2017	ZAKRZEWSKI, ANIA	FRANKLIN NATURAL RESOURCES A	0.00	0.87	0.00	0.87	100.0	0.87
01/31/2017	HOPPER, ALYSSA	FRANKLIN NATURAL RESOURCES A	0.00	0.29	0.00	0.29	100.0	0.29
01/31/2017	REDERSTORF, CASSAUNDRA	FRANKLIN NATURAL RESOURCES A	0.00	0.25	0.00	0.25	100.0	0.25
01/31/2017	HOPPER, ALYSSA	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	0.31	0.00	0.31	100.0	0.31
01/31/2017	FLOWERS, AMY	FRANKLIN RISING DIVIDENDS A	0.00	0.88	0.00	0.88	100.0	0.88
01/31/2017	PETERS, JOSEPH	FRANKLIN SMALL CAP VALUE A	0.00	1.38	0.00	1.38	100.0	1.38
01/31/2017	HOPPER, ALYSSA	FRANKLIN SMALL CAP VALUE A	0.00	0.33	0.00	0.33	100.0	0.33
01/31/2017	REDERSTORF, CASSAUNDRA	FRANKLIN SMALL CAP VALUE A	0.00	0.33	0.00	0.33	100.0	0.33
01/31/2017	ULIN, DAWN	FRANKLIN TEMPLETON GLOBAL BALANCED	0.00	3.88	0.00	3.88	100.0	3.88

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2017	ULIN, DAWN	FRANKLIN TOTAL RETURN A	0.00	6.40	0.00	6.40	100.0	6.40
01/31/2017	MARZILLI, DORIS	FRK BIOTECHNOLOGY DISCOVERY FUND	0.00	14.05	0.00	14.05	100.0	14.05
01/30/2017	KALANQUIN, THOMAS	FT MUTUAL EUROPEAN FUND A	89.64	3.36	0.00	3.36	100.0	3.36
01/31/2017	KALANQUIN, THOMAS	FT MUTUAL EUROPEAN FUND A	0.00	4.94	0.00	4.94	100.0	4.94
01/31/2017	MARZILLI, DORIS	FT TEMPLETON CHINA WORLD C	0.00	4.48	0.00	4.48	100.0	4.48
02/08/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-450.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-1,500.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	1,470.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	441.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-900.00	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	882.00	0.00	0.00	0.00	100.0	0.00
/ /	WHITE, DYLAN	JH 529 PORT 2021-2024 A	0.00	0.28	0.00	0.28	100.0	0.28
/ /	WHITE, DYLAN	JH 529 PORT 2021-2024 A	0.00	0.27	0.00	0.27	100.0	0.27
02/02/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-30,000.00	0.00	0.00	0.00	100.0	0.00
02/02/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	28,575.00	0.00	0.00	0.00	100.0	0.00
01/17/2017	YODZIO, JESSICA	OPPENHEIMER CAPITAL APPRECIATION A	54.45	2.59	0.00	2.59	100.0	2.59
01/30/2017	LAMBERT, JOANNE	OPPENHEIMER CAPITAL APPRECIATION A	56.00	2.66	0.00	2.66	100.0	2.66
01/30/2017	RAMIN, DONNA	OPPENHEIMER CAPITAL APPRECIATION A	30.00	1.20	0.00	1.20	100.0	1.20
01/30/2017	MATOUSEK, LAURA	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	100.0	4.75
01/17/2017	YODZIO, JESSICA	OPPENHEIMER DEVELOPING MARKETS A	56.10	2.66	0.00	2.66	100.0	2.66
01/30/2017	RAMIN, DONNA	OPPENHEIMER DEVELOPING MARKETS A	60.00	2.40	0.00	2.40	100.0	2.40
01/30/2017	LAMBERT, JOANNE	OPPENHEIMER DISCOVERY A	14.00	0.67	0.00	0.67	100.0	0.67
01/30/2017	RAMIN, DONNA	OPPENHEIMER DISCOVERY A	30.00	1.20	0.00	1.20	100.0	1.20
01/17/2017	YODZIO, JESSICA	OPPENHEIMER EQUITY A	54.45	2.59	0.00	2.59	100.0	2.59
01/30/2017	LAMBERT, JOANNE	OPPENHEIMER GLOBAL OPPORTUNITIES A	28.00	1.33	0.00	1.33	100.0	1.33
01/30/2017	RAMIN, DONNA	OPPENHEIMER GLOBAL OPPORTUNITIES A	30.00	1.20	0.00	1.20	100.0	1.20
01/27/2017	RAMIN, DONNA	OPPENHEIMER RISING DIVIDENDS A	75.00	3.00	0.00	3.00	100.0	3.00
02/02/2017	CONCORDE, VARIOUS	OPPENHEIMER ROCHESTER MUNICIPALS A	48,000.00	0.00	0.00	0.00	100.0	0.00
02/02/2017	CONCORDE, VARIOUS	OPPENHEIMER ROCHESTER MUNICIPALS A	-50,000.00	0.00	0.00	0.00	100.0	0.00
01/30/2017	LAMBERT, JOANNE	OPPENHEIMER SMALL & MIDCAP GROWTH A	28.00	1.33	0.00	1.33	100.0	1.33
01/30/2017	LAMBERT, JOANNE	OPPENHEIMER VALUE A	14.00	0.67	0.00	0.67	100.0	0.67
02/06/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
02/06/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/06/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
02/06/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
01/31/2017	PETERS, RUTH	TEMPLETON DEVELOPING MKTS A	0.00	1.03	0.00	1.03	100.0	1.03
01/31/2017	KALANQUIN, THOMAS	TEMPLETON DEVELOPING MKTS A	0.00	0.96	0.00	0.96	100.0	0.96
01/31/2017	ULIN, DAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	2.70	0.00	2.70	100.0	2.70
01/31/2017	REDERSTORF, CASSAUNDRA	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	0.60	0.00	0.60	100.0	0.60
01/31/2017	MARZILLI, DORIS	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	9.54	0.00	9.54	100.0	9.54
01/31/2017	HERMAN, TERI	TEMPLETON GLOBAL BOND A	0.00	15.99	0.00	15.99	100.0	15.99
01/31/2017	CHEEK, PAULA	TEMPLETON GLOBAL BOND A	0.00	0.81	0.00	0.81	100.0	0.81
01/31/2017	ULIN, DAWN	TEMPLETON GLOBAL BOND A	0.00	3.87	0.00	3.87	100.0	3.87
01/31/2017	ULIN, DAWN	TEMPLETON GLOBAL TOTAL RETURN A	0.00	2.88	0.00	2.88	100.0	2.88
01/30/2017	KALANQUIN, THOMAS	TEMPLETON GROWTH A	89.64	3.36	0.00	3.36	100.0	3.36
01/31/2017	HERMAN, TERI	TEMPLETON GROWTH A	0.00	17.08	0.00	17.08	100.0	17.08
01/31/2017	KALANQUIN, THOMAS	TEMPLETON GROWTH A	0.00	5.03	0.00	5.03	100.0	5.03
01/31/2017	ENGLISH, RANDY	TEMPLETON GROWTH A	0.00	0.47	0.00	0.47	100.0	0.47
01/31/2017	PETERS, RUTH	TEMPLETON GROWTH A	0.00	1.65	0.00	1.65	100.0	1.65
01/31/2017	PEARSON, PAMELA	TEMPLETON GROWTH A	0.00	2.36	0.00	2.36	100.0	2.36
01/31/2017	CHEEK, PAULA	TEMPLETON GROWTH A	0.00	0.90	0.00	0.90	100.0	0.90
01/31/2017	FLOWERS, AMY	TEMPLETON GROWTH A	0.00	0.83	0.00	0.83	100.0	0.83
01/31/2017	ULIN, DAWN	TEMPLETON GROWTH A	0.00	5.15	0.00	5.15	100.0	5.15
01/31/2017	HOPPER, ALYSSA	TEMPLETON GROWTH A	0.00	0.45	0.00	0.45	100.0	0.45
02/01/2017	ENGLISH, RANDY	TEMPLETON GROWTH A	25.00	1.25	0.00	1.25	100.0	1.25
02/01/2017	PEARSON, PAMELA	TEMPLETON GROWTH A	85.00	4.25	0.00	4.25	100.0	4.25
02/01/2017	FLOWERS, AMY	TEMPLETON GROWTH A	50.00	2.50	0.00	2.50	100.0	2.50
01/31/2017	ENGLISH, RANDY	TEMPLETON WORLD A	0.00	0.49	0.00	0.49	100.0	0.49
01/31/2017	PETERS, JOSEPH	TEMPLETON WORLD A	0.00	27.30	0.00	27.30	100.0	27.30
01/31/2017	PETERS, RUTH	TEMPLETON WORLD A	0.00	26.21	0.00	26.21	100.0	26.21
02/01/2017	ENGLISH, RANDY	TEMPLETON WORLD A	25.00	1.25	0.00	1.25	100.0	1.25
02/06/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
02/06/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
02/09/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	1,980.00	0.00	0.00	0.00	100.0	0.00
02/09/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-2,000.00	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-450.00	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	445.50	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$4,435.46	\$682.47	\$0.00	\$682.47		\$682.47
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/31/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	-16,887.70	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	3,379.00	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	10,130.70	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	3,378.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	-7,420.02	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	-11,134.20	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	ACADIA PHARMACEUTICALS INC COM	18,554.20	0.00	0.00	0.00	100.0	0.00
02/02/2017	CONCORDE, VARIOUS	ALCOA UPSTREAM CORP COM	-3,779.00	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ALCOA UPSTREAM CORP COM	-3,778.00	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ALCOA UPSTREAM CORP COM	-5,023.01	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ALCOA UPSTREAM CORP COM	12,580.01	0.00	0.00	0.00	100.0	0.00
02/02/2017	CONCORDE, VARIOUS	ARCONIC INC COM	-2,542.00	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ARCONIC INC COM	-2,542.00	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ARCONIC INC COM	-2,542.00	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ARCONIC INC COM	-17,788.40	0.00	1.00	-1.00	100.0	-1.00
02/02/2017	CONCORDE, VARIOUS	ARCONIC INC COM	25,414.40	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-14,431.00	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-14,431.00	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,443.01	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,886.02	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,164.52	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	8,037.96	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	8,355.43	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	7,172.11	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	10,303.59	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	1,486.37	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	24,641.04	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-15,399.03	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-5,134.00	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-4,108.00	0.00	1.00	-1.00	100.0	-1.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
01/31/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DIREXION DAILY	-17,686.74	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DIREXION DAILY	8,842.99	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	DIREXION SHS ETF TR DIREXION DAILY	8,843.74	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	33,895.11	0.00	0.00	0.00	100.0	0.00
02/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	-4,182.00	0.00	1.00	-1.00	100.0	-1.00
02/10/2017	CONCORDE, VARIOUS	FS INVT CORP COM	-29,713.11	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	1,824.02	0.00	0.00	0.00	100.0	0.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-2,436.00	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-194.88	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,216.01	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-608.01	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,220.24	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-841.97	0.00	1.00	-1.00	100.0	-1.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	2,630.88	0.00	0.00	0.00	100.0	0.00
02/03/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	2,062.21	0.00	0.00	0.00	100.0	0.00
01/09/2017	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	5,757.24	0.00	0.00	0.00	100.0	0.00
01/09/2017	CONCORDE IS	POWERSHARES EXCHANGE-TRADED FD TR I	5,757.24	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,504.01	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,504.01	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-676.08	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,504.01	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	8,188.11	0.00	0.00	0.00	100.0	0.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,860.50	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,859.00	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-185.90	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-3,959.67	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,859.00	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,856.00	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-686.72	0.00	1.00	-1.00	100.0	-1.00
02/09/2017	CONCORDE, VARIOUS	TIER REIT INC COM NEW	12,266.76	0.00	0.00	0.00	100.0	0.00
02/08/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD TOTAL S	-132,652.69	0.00	1.00	-1.00	100.0	-1.00
02/08/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD TOTAL S	-59,060.75	0.00	1.00	-1.00	100.0	-1.00
02/08/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD TOTAL S	191,713.47	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/08/2017	CONCORDE, VARIOUS	VANGUARD STAR FD VANGUARD TOTAL INT	-4,797.09	0.00	1.00	-1.00	100.0	-1.00
02/08/2017	CONCORDE, VARIOUS	VANGUARD STAR FD VANGUARD TOTAL INT	-21,779.29	0.00	1.00	-1.00	100.0	-1.00
02/08/2017	CONCORDE, VARIOUS	VANGUARD STAR FD VANGUARD TOTAL INT	26,576.38	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	VERTEX PHARMACEUTICALS INC COM	-16,905.90	0.00	0.00	0.00	100.0	0.00
01/31/2017	CONCORDE, VARIOUS	VERTEX PHARMACEUTICALS INC COM	8,453.90	0.00	1.00	-1.00	100.0	-1.00
01/31/2017	CONCORDE, VARIOUS	VERTEX PHARMACEUTICALS INC COM	8,452.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-31,660.00	0.00	0.00	0.00	100.0	0.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,165.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	6,330.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,165.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,165.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,165.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,165.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,170.00	0.00	1.00	-1.00	100.0	-1.00
02/06/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	3,170.00	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$11,514.37	\$0.00	\$56.00	\$-56.00		\$-56.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
02/10/2017	CONCORDE, VARIOUS	ILLINOIS ST SALES TAX REV FOR ISSUE	17,914.60	0.00	15.00	-15.00	100.0	-15.00
02/10/2017	CONCORDE, VARIOUS	ILLINOIS ST SALES TAX REV FOR ISSUE	-18,114.60	0.00	18.00	-18.00	100.0	-18.00
* BONDS SUBTOTAL *			\$-200.00	\$0.00	\$33.00	\$-33.00		\$-33.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/31/2017	SENIORS WELLNESS GRP	JOHN HANCOCK LIFE INS CO	0.00	758.20	0.00	758.20	100.0	758.20
01/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	13,918.56	2.90	0.00	2.90	100.0	2.90
01/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	17,647.81	14.71	0.00	14.71	100.0	14.71
01/30/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	759.16	0.15	0.00	0.15	100.0	0.15
01/30/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	9,720.71	2.02	0.00	2.02	100.0	2.02
01/30/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,828.26	1.01	0.00	1.01	100.0	1.01
* VARIABLE ANNUITIES SUBTOTAL *			\$46,874.50	\$778.99	\$0.00	\$778.99		\$778.99

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6379 MLP CLOSED END ENERGY	-24,498.83	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6379 MLP CLOSED END ENERGY	23,849.72	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6379 MLP CLOSED-END FD & EN	24,135.03	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6379 MLP CLOSED-END FD & EN	-24,791.91	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED END	-24,498.92	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED END	23,849.66	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED-END	-24,799.08	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED-END	24,141.86	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6445 SABRIENT BAKERS DOZEN	-24,491.93	0.00	17.50	-17.50	100.0	-17.50
02/10/2017	CONCORDE, VARIOUS	FT UNIT 6445 SABRIENT BAKERS DOZEN	24,063.32	0.00	17.50	-17.50	100.0	-17.50
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$-3,041.08</b>	<b>\$0.00</b>	<b>\$175.00</b>	<b>\$-175.00</b>		<b>\$-175.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/10/2016	SCHNEIDER, VARIOUS	ASPIRE AM FUND TRAILS	0.00	410.74	0.00	410.74	100.0	410.74
12/31/2016	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.65	0.00	2.65	100.0	2.65
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$413.39</b>	<b>\$0.00</b>	<b>\$413.39</b>		<b>\$413.39</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/31/2017	LUND, VARIOUS	ING RELIASTAR	0.00	610.09	0.00	610.09	100.0	610.09
01/31/2017	LUND, VARIOUS	ING RELIASTAR	0.00	60.50	0.00	60.50	100.0	60.50
01/26/2017	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	100.0	2.25
01/30/2017	JONES, GREGORY	ING LANDMARK	0.00	150.47	0.00	150.47	100.0	150.47
01/30/2017	BROPHY, CHARLES	ING LANDMARK	0.00	616.11	0.00	616.11	100.0	616.11
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,439.42</b>	<b>\$0.00</b>	<b>\$1,439.42</b>		<b>\$1,439.42</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$59,173.25</b>	<b>\$3,337.93</b>	<b>\$264.00</b>	<b>\$3,073.93</b>		<b>\$3,073.93</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ACCOUNT HOUSE #1 </u></b>								
02/01/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
01/30/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
02/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
02/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
02/02/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
02/02/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
02/01/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
02/01/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
02/01/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	100.0	3.00
02/01/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	100.0	5.00
02/01/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
02/01/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	100.0	5.00
02/01/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
02/01/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
01/30/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
02/01/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
02/01/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
01/24/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	11.25	0.00	11.25	100.0	11.25
01/24/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	11.25	0.00	11.25	100.0	11.25
01/24/2017	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	11.25	0.00	11.25	100.0	11.25
02/03/2017	LITTRELL, CASEY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	100.0	2.50
02/01/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	100.00	3.50	0.00	3.50	100.0	3.50
* ACCOUNT HOUSE SUBTOTAL *			\$3,410.00	\$137.39	\$0.00	\$137.39		\$137.39
<b><u> BROKER: MATT BRANSTETTER #10 </u></b>								
02/01/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
02/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
* MATT BRANSTETTER SUBTOTAL *			\$350.00	\$17.50	\$0.00	\$17.50		\$17.50
<b><u> BROKER: ROBERT CHESTER #21 </u></b>								
12/31/2016	BECHTEL, MICHAEL	METLIFE TRAILS	0.00	37.82	0.00	37.82	0.0	37.82
12/31/2016	MYERS, DAVID	METLIFE TRAILS	0.00	36.03	0.00	36.03	0.0	36.03

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ROBERT CHESTER #21 </u></b>								
12/31/2016	CHESTER, VARIOUS	METLIFE TRAILS	0.00	306.80	0.00	306.80	0.0	306.80
		* ROBERT CHESTER SUBTOTAL *	\$0.00	\$380.65	\$0.00	\$380.65		\$380.65
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
01/30/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
01/30/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
02/02/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
02/02/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	0.0	10.31
02/02/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
02/02/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	0.0	4.50
01/30/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
02/02/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	0.0	6.26
02/02/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
01/11/2017	SHEREDA, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	9.39	0.00	9.39	0.0	9.39
01/11/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	24.35	0.00	24.35	0.0	24.35
01/11/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	0.0	0.51
01/11/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.46	0.00	0.46	0.0	0.46
01/11/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.37	0.00	0.37	0.0	0.37
01/11/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.03	0.00	4.03	0.0	4.03
01/11/2017	RUTT, MARY LOU	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	0.00	0.97	0.0	0.97
01/11/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.59	0.00	0.59	0.0	0.59
01/11/2017	SHEREDA, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.86	0.00	13.86	0.0	13.86
01/11/2017	RUTT, MARY LOU	AM FUNDS ICA A	0.00	2.16	0.00	2.16	0.0	2.16
01/11/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.70	0.00	12.70	0.0	12.70
01/11/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.24	0.00	1.24	0.0	1.24
01/11/2017	JOHNS, JOHN	INVESCO HIGH YIELD MUNICIPAL A	0.00	6.27	0.00	6.27	0.0	6.27
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.52	0.00	0.52	0.0	0.52
01/11/2017	DEVILLING, LINDA	JP MORGAN INVESTOR BALANCED A	0.00	2.81	0.00	2.81	0.0	2.81
01/11/2017	JOHNS, JOHN	PIMCO TOTAL RETURN C	0.00	24.90	0.00	24.90	0.0	24.90
01/31/2017	CROSSFIRE GRP 401K	TEMPLETON GLOBAL BOND A	0.00	1.39	0.00	1.39	0.0	1.39
01/11/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	25.85	0.00	25.85	0.0	25.85
02/02/2017	DEVILLING, CHRISTOPHER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.54	0.00	8.54	0.0	8.54
01/11/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	9.04	0.00	9.04	0.0	9.04
01/11/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.55	0.00	21.55	0.0	21.55

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
02/02/2017	DEVILLING, CHRISTOPHER	TRANSAMERICA TACTICAL INCOME C	0.00	8.98	0.00	8.98	0.0	8.98
* ERIC HORNYAK SUBTOTAL *			\$3,346.00	\$305.97	\$0.00	\$305.97		\$305.97
<b><u>BROKER: THOMAS SCHNEIDER #30</u></b>								
01/20/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	0.0	3.00
02/01/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	0.0	3.19
02/03/2017	SHIELDS, GERALD	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.94	0.00	3.94	0.0	3.94
02/03/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	5.70	0.00	5.70	0.0	5.70
01/31/2017	WALKER, SUE	FRANKLIN INCOME A	0.00	41.91	0.00	41.91	0.0	41.91
01/31/2017	SCHNEIDER, REBECCA	FRANKLIN MN TAX FREE INCOME A	0.00	0.14	0.00	0.14	0.0	0.14
01/31/2017	CLARK, EDWARD	FRANKLIN OH TAX FR INCOME FUND	0.00	3.84	0.00	3.84	0.0	3.84
01/31/2017	SCHRITZ, BRYAN	FRANKLIN OH TAX FR INCOME FUND	0.00	13.03	0.00	13.03	0.0	13.03
01/31/2017	WALKER TRUST, SUE	FRANKLIN OH TAX FR INCOME FUND	0.00	9.57	0.00	9.57	0.0	9.57
01/31/2017	FOX UBER, ROBERT	FRANKLIN OH TAX FR INCOME FUND	0.00	2.59	0.00	2.59	0.0	2.59
01/31/2017	WARNER, KRISTA	FRANKLIN OH TAX FR INCOME FUND	0.00	1.81	0.00	1.81	0.0	1.81
01/31/2017	GOTT, RICHARD	FRANKLIN US GOVT SEC FUND A	0.00	5.00	0.00	5.00	0.0	5.00
01/30/2017	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME B	50.00	2.00	0.00	2.00	0.0	2.00
01/30/2017	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	50.00	2.00	0.00	2.00	0.0	2.00
01/30/2017	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	12,753.24	2.66	0.00	2.66	0.0	2.66
01/30/2017	KELLER, MICHELLE	SBL ADVISOR PROGRAM	25,798.94	5.37	0.00	5.37	0.0	5.37
01/30/2017	YOUNG, MARCIA	SBL ADVISOR PROGRAM	22,614.72	4.71	0.00	4.71	0.0	4.71
01/30/2017	MELLERT, ELLEN	SBL ADVISORDESIGNS VA	3,720.68	3.10	0.00	3.10	0.0	3.10
01/30/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,606.31	1.38	0.00	1.38	0.0	1.38
01/30/2017	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,871.39	1.22	0.00	1.22	0.0	1.22
01/30/2017	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	128,730.10	26.81	0.00	26.81	0.0	26.81
01/30/2017	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,121.53	1.70	0.00	1.70	0.0	1.70
01/30/2017	CASALE, LILLIAN	SBL ADVISORDESIGNS VA	64,782.81	13.50	0.00	13.50	0.0	13.50
01/30/2017	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	5,875.47	1.22	0.00	1.22	0.0	1.22
01/30/2017	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,823.11	1.42	0.00	1.42	0.0	1.42
01/30/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,316.60	0.70	0.00	0.70	0.0	0.70
01/30/2017	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,085.18	1.27	0.00	1.27	0.0	1.27
01/30/2017	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,675.54	0.76	0.00	0.76	0.0	0.76
01/30/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,895.27	1.64	0.00	1.64	0.0	1.64
01/30/2017	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,724.78	4.52	0.00	4.52	0.0	4.52

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS SCHNEIDER #30</u></b>								
01/30/2017	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	7,462.65	1.57	0.00	1.57	0.0	1.57
01/30/2017	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,677.01	1.59	0.00	1.59	0.0	1.59
01/30/2017	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,133.09	1.69	0.00	1.69	0.0	1.69
01/30/2017	CASALE, LILLIAN	SBL ADVISORDESIGNS VA	5,544.47	1.16	0.00	1.16	0.0	1.16
01/30/2017	GREGORY, CAROL	SBL ADVISORDESIGNS VA	41,561.84	8.66	0.00	8.66	0.0	8.66
01/30/2017	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	7,790.30	1.63	0.00	1.63	0.0	1.63
01/30/2017	HARTONG, DEAN	SBL ADVISORDESIGNS VA	12,260.94	2.55	0.00	2.55	0.0	2.55
01/31/2017	BICKEL, PATRICIA	TEMPLETON FOREIGN FUND A	0.00	7.47	0.00	7.47	0.0	7.47
01/31/2017	BONHAM, JAMES	TEMPLETON FOREIGN FUND A	0.00	8.46	0.00	8.46	0.0	8.46
01/31/2017	ARONICA, JAMES	TEMPLETON FOREIGN FUND A	0.00	11.21	0.00	11.21	0.0	11.21
01/31/2017	TAYLOR, GARY	TEMPLETON FOREIGN FUND A	0.00	409.36	0.00	409.36	0.0	409.36
01/31/2017	KROZAL, CHRISTIAN	TEMPLETON FOREIGN FUND A	0.00	3.72	0.00	3.72	0.0	3.72
01/31/2017	KELLEY, DAVID	TEMPLETON FOREIGN FUND A	0.00	0.86	0.00	0.86	0.0	0.86
01/31/2017	TRUSCELLO, DAVID	TEMPLETON FOREIGN FUND A	0.00	6.37	0.00	6.37	0.0	6.37
01/31/2017	HANSEL, NANCY	TEMPLETON GLOBAL OPP A	0.00	0.59	0.00	0.59	0.0	0.59
01/31/2017	BERRY, CHARLOTTE	TEMPLETON GLOBAL SMALL CO A	0.00	2.46	0.00	2.46	0.0	2.46
01/31/2017	RIGGENBACH, ANNE	TEMPLETON WORLD A	0.00	72.29	0.00	72.29	0.0	72.29
01/31/2017	RIGGENBACH, DARWYN	TEMPLETON WORLD A	0.00	60.73	0.00	60.73	0.0	60.73
01/31/2017	RICHARD, GLENN	TEMPLETON WORLD A	0.00	49.18	0.00	49.18	0.0	49.18
01/31/2017	ROBSON, ANNETTE	TEMPLETON WORLD A	0.00	199.41	0.00	199.41	0.0	199.41
01/31/2017	O'LEARY, JUDITH	TEMPLETON WORLD A	0.00	85.30	0.00	85.30	0.0	85.30
01/31/2017	MURRAY, ANN	TEMPLETON WORLD A	0.00	26.68	0.00	26.68	0.0	26.68
01/31/2017	KAMINSKI, MARGUERITE	TEMPLETON WORLD A	0.00	1.58	0.00	1.58	0.0	1.58
01/31/2017	FLORIE, TERRENCE	TEMPLETON WORLD A	0.00	1.05	0.00	1.05	0.0	1.05
01/31/2017	FLORIE, CHRISTINE	TEMPLETON WORLD A	0.00	16.29	0.00	16.29	0.0	16.29
01/31/2017	BACHTELL, JAMES	TEMPLETON WORLD A	0.00	28.42	0.00	28.42	0.0	28.42
01/31/2017	GREENE, KARLI	TEMPLETON WORLD A	0.00	7.75	0.00	7.75	0.0	7.75
01/31/2017	GREENE, CHRISTOPHER	TEMPLETON WORLD A	0.00	0.35	0.00	0.35	0.0	0.35
01/31/2017	RICHARD, DANIEL	TEMPLETON WORLD A	0.00	3.21	0.00	3.21	0.0	3.21
01/31/2017	HANSEL, NANCY	TEMPLETON WORLD A	0.00	1.75	0.00	1.75	0.0	1.75
<b>* THOMAS SCHNEIDER SUBTOTAL *</b>			<b>\$425,060.97</b>	<b>\$1,193.04</b>	<b>\$0.00</b>	<b>\$1,193.04</b>		<b>\$1,193.04</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS BOAKS #33</u></b>								
01/30/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
01/31/2017	FRANKOVICH TRUST, BRIAN &	FRANKLIN MI TAX FR INCOME A	0.00	8.72	0.00	8.72	0.0	8.72
01/31/2017	FROST, VERA	FRANKLIN US GOVT SEC FUND A	0.00	1.22	0.00	1.22	0.0	1.22
* THOMAS BOAKS SUBTOTAL *			\$30.00	\$10.24	\$0.00	\$10.24		\$10.24
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
01/31/2017	BAXTER, VARIOUS	ING PREMIUM PLUS	0.00	113.22	0.00	113.22	0.0	113.22
02/01/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
01/30/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,910.02	5.97	0.00	5.97	0.0	5.97
* BRUCE BAXTER SUBTOTAL *			\$16,010.02	\$124.19	\$0.00	\$124.19		\$124.19
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.02	0.00	1.02	0.0	1.02
01/23/2017	PETERSON, MARY	FRANKLIN INCOME A	49.95	2.00	0.00	2.00	0.0	2.00
01/31/2017	PETERSON, MARY	FRANKLIN INCOME A	0.00	6.42	0.00	6.42	0.0	6.42
01/31/2017	NIERGARTH, JUDY	FRANKLIN UTILITIES C	0.00	1.27	0.00	1.27	0.0	1.27
01/25/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
01/30/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	15,076.86	3.15	0.00	3.15	0.0	3.15
01/23/2017	PETERSON, MARY	TEMPLETON GROWTH A	49.95	2.50	0.00	2.50	0.0	2.50
01/31/2017	PETERSON, MARY	TEMPLETON GROWTH A	0.00	7.53	0.00	7.53	0.0	7.53
* MELANIE DICENSO SUBTOTAL *			\$15,226.76	\$25.89	\$0.00	\$25.89		\$25.89
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
02/03/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.16	0.00	2.16	0.0	2.16
02/03/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.85	0.00	0.85	0.0	0.85
02/03/2017	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	5.81	0.00	5.81	0.0	5.81
02/03/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	4.67	0.00	4.67	0.0	4.67
01/31/2017	ZAX TRUST	JNL PERSPECTIVE	366.90	0.08	0.00	0.08	0.0	0.08
01/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,094.10	3.37	0.00	3.37	0.0	3.37
01/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	56,158.08	60.84	0.00	60.84	0.0	60.84
01/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	69,651.08	58.04	0.00	58.04	0.0	58.04
01/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	33,359.85	27.80	0.00	27.80	0.0	27.80
01/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	16,185.06	13.49	0.00	13.49	0.0	13.49
01/31/2017	PARKER, LESLIE	JNL PERSPECTIVE L	50,738.96	42.28	0.00	42.28	0.0	42.28
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$234,554.03	\$219.39	\$0.00	\$219.39		\$219.39

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JIM LUND #60 </u></b>								
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	6.25	0.00	6.25	0.0	6.25
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.62	0.00	2.62	0.0	2.62
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.41	0.00	1.41	0.0	1.41
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.85	0.00	0.85	0.0	0.85
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.85	0.00	0.85	0.0	0.85
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.85	0.00	0.85	0.0	0.85
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.16	0.00	1.16	0.0	1.16
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.16	0.00	1.16	0.0	1.16
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	1.00	0.00	1.00	0.0	1.00
02/01/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.02	0.00	3.02	0.0	3.02
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.12	0.00	12.12	0.0	12.12
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
01/31/2017	KENNEY, CLIFF	FRANKLIN BALANCED A	0.00	3.41	0.00	3.41	0.0	3.41
01/31/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.24	0.00	31.24	0.0	31.24
01/31/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.44	0.00	41.44	0.0	41.44
01/31/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	60.19	0.00	60.19	0.0	60.19
01/31/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	66.11	0.00	66.11	0.0	66.11
01/31/2017	LUND, JIM	FRANKLIN INCOME A	0.00	3.44	0.00	3.44	0.0	3.44
01/31/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.80	0.00	0.80	0.0	0.80
01/31/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	29.92	0.00	29.92	0.0	29.92
01/31/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	189.84	0.00	189.84	0.0	189.84
01/31/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	1.94	0.00	1.94	0.0	1.94
01/31/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.25	0.00	0.25	0.0	0.25
01/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.39	0.00	0.39	0.0	0.39
01/31/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	47.85	0.00	47.85	0.0	47.85
01/31/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	42.03	0.00	42.03	0.0	42.03
01/31/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	0.00	0.80	0.00	0.80	0.0	0.80
01/31/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	21.52	0.00	21.52	0.0	21.52
01/31/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	64.90	0.00	64.90	0.0	64.90
01/31/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	2.95	0.00	2.95	0.0	2.95
01/31/2017	STARK, TONI	FRANKLIN INCOME C	0.00	25.28	0.00	25.28	0.0	25.28
01/31/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.73	0.00	3.73	0.0	3.73
01/31/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.37	0.00	1.37	0.0	1.37

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JIM LUND #60 </u></b>								
01/31/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.27	0.00	2.27	0.0	2.27
01/31/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.32	0.00	4.32	0.0	4.32
01/31/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	20.65	0.00	20.65	0.0	20.65
01/31/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	24.41	0.00	24.41	0.0	24.41
01/31/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	75.59	0.00	75.59	0.0	75.59
01/31/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.94	0.00	0.94	0.0	0.94
01/31/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	89.79	0.00	89.79	0.0	89.79
01/31/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	60.69	0.00	60.69	0.0	60.69
02/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
01/31/2017	KENNEY, CLIFF	FT FOUNDING FUNDS ALLOC A	0.00	38.92	0.00	38.92	0.0	38.92
01/31/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	18.60	0.00	18.60	0.0	18.60
01/31/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.03	0.00	5.03	0.0	5.03
01/31/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	0.00	92.17	0.00	92.17	0.0	92.17
01/31/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	3.73	0.00	3.73	0.0	3.73
01/31/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	18.20	0.00	18.20	0.0	18.20
01/31/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	25.82	0.00	25.82	0.0	25.82
01/31/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.12	0.00	1.12	0.0	1.12
<b> * JIM LUND SUBTOTAL * </b>			<b>\$200.00</b>	<b>\$1,157.44</b>	<b>\$0.00</b>	<b>\$1,157.44</b>		<b>\$1,157.44</b>

 **BROKER: JAMES PANOS #61** 

/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.45	0.00	27.45	0.0	27.45
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.42	0.00	23.42	0.0	23.42
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	2.52	0.00	2.52	0.0	2.52
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.43	0.00	3.43	0.0	3.43
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	6.90	0.00	6.90	0.0	6.90
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	9.21	0.00	9.21	0.0	9.21
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.19	0.00	4.19	0.0	4.19
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.67	0.00	5.67	0.0	5.67
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.39	0.00	11.39	0.0	11.39
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	3.97	0.00	3.97	0.0	3.97
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	5.37	0.00	5.37	0.0	5.37
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	10.91	0.00	10.91	0.0	10.91
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	23.03	0.00	23.03	0.0	23.03
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.23	0.00	4.23	0.0	4.23

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #61 </u></b>								
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	5.72	0.00	5.72	0.0	5.72
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	10.85	0.00	10.85	0.0	10.85
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	3.78	0.00	3.78	0.0	3.78
02/01/2017	ALEXANDER, DOUGLAS	FA 529 PORT 2016 C	0.00	14.71	0.00	14.71	0.0	14.71
/ /	ALEXANDER, DOUGLAS	FA 529 PORT 2019 A	0.00	11.63	0.00	11.63	0.0	11.63
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	58.66	0.00	58.66	0.0	58.66
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.11	0.00	5.11	0.0	5.11
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.78	0.00	2.78	0.0	2.78
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.56	0.00	9.56	0.0	9.56
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	59.30	0.00	59.30	0.0	59.30
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	39.85	0.00	39.85	0.0	39.85
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	23.46	0.00	23.46	0.0	23.46
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	10.13	0.00	10.13	0.0	10.13
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.34	0.00	15.34	0.0	15.34
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	23.72	0.00	23.72	0.0	23.72
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.45	0.00	6.45	0.0	6.45
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.64	0.00	10.64	0.0	10.64
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.78	0.00	10.78	0.0	10.78
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	26.54	0.00	26.54	0.0	26.54
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.43	0.00	7.43	0.0	7.43
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.67	0.00	12.67	0.0	12.67
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.27	0.00	10.27	0.0	10.27
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	30.76	0.00	30.76	0.0	30.76
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.17	0.00	3.17	0.0	3.17
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	19.20	0.00	19.20	0.0	19.20
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.88	0.00	2.88	0.0	2.88
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	37.41	0.00	37.41	0.0	37.41
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	13.81	0.00	13.81	0.0	13.81
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	30.39	0.00	30.39	0.0	30.39
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	20.11	0.00	20.11	0.0	20.11
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	16.45	0.00	16.45	0.0	16.45
02/01/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES PANOS #61</u></b>								
02/01/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	50.00	2.50	0.00	2.50	0.0	2.50
02/01/2017	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	4.57	0.00	4.57	0.0	4.57
01/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	87,331.19	72.78	0.00	72.78	0.0	72.78
01/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	215,334.21	89.72	0.00	89.72	0.0	89.72
* JAMES PANOS SUBTOTAL *			\$303,415.40	\$871.82	\$0.00	\$871.82		\$871.82
<b><u>BROKER: RENE CASTRO #92</u></b>								
01/31/2017	BUSTOS, ARMANDO	FRANKLIN INCOME A	0.00	32.02	0.00	32.02	0.0	32.02
* RENE CASTRO SUBTOTAL *			\$0.00	\$32.02	\$0.00	\$32.02		\$32.02
<b><u>BROKER: JAMES AULENTI #101</u></b>								
01/09/2017	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	76.82	0.00	76.82	0.0	76.82
01/31/2017	CARLSON IRR TRUST, CARL	FRANKLIN MA TAX FR INCOME C	0.00	87.73	0.00	87.73	0.0	87.73
01/31/2017	MILES FAMILY TRUST	FRANKLIN NY TF INCOME FUND CL C	0.00	323.69	0.00	323.69	0.0	323.69
* JAMES AULENTI SUBTOTAL *			\$0.00	\$488.24	\$0.00	\$488.24		\$488.24
<b><u>BROKER: ERIK BLOOMFELDT #105</u></b>								
01/31/2017	DEACON, GALE	JNL PERSPECTIVE L	7,358.51	6.13	0.00	6.13	0.0	6.13
* ERIK BLOOMFELDT SUBTOTAL *			\$7,358.51	\$6.13	\$0.00	\$6.13		\$6.13
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
01/31/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	0.00	2.30	0.00	2.30	0.0	2.30
01/31/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	0.00	1.88	0.00	1.88	0.0	1.88
01/31/2017	TINGLE, AARON	FRANKLIN GROWTH OPP A	0.00	0.56	0.00	0.56	0.0	0.56
01/31/2017	DONNELL, PATRICK	FRANKLIN REAL RETURN A	0.00	10.29	0.00	10.29	0.0	10.29
01/31/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DANIEL COLLINS #106 </u></b>								
01/31/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	0.00	2.46	0.00	2.46	0.0	2.46
01/31/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	0.00	2.01	0.00	2.01	0.0	2.01
01/31/2017	TINGLE, AARON	FRANKLIN RISING DIVIDENDS A	0.00	0.59	0.00	0.59	0.0	0.59
01/31/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	TINGLE, AARON	FRANKLIN SMALL CAP VALUE A	0.00	0.60	0.00	0.60	0.0	0.60
01/31/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	0.00	2.54	0.00	2.54	0.0	2.54
01/31/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	0.00	2.07	0.00	2.07	0.0	2.07
01/31/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	30.00	1.50	0.00	1.50	0.0	1.50
01/31/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	25.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	0.00	2.19	0.00	2.19	0.0	2.19
01/31/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	0.00	1.83	0.00	1.83	0.0	1.83
01/31/2017	TINGLE, AARON	FT GROWTH ALLOC A	0.00	0.51	0.00	0.51	0.0	0.51
<b>* DANIEL COLLINS SUBTOTAL *</b>			<b>\$440.00</b>	<b>\$52.27</b>	<b>\$0.00</b>	<b>\$52.27</b>		<b>\$52.27</b>
<b><u> BROKER: G118 GRECO #110 </u></b>								
01/11/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.06	0.00	7.06	0.0	7.06
01/11/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.44	0.00	4.44	0.0	4.44
01/11/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.23	0.00	4.23	0.0	4.23
01/11/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.23	0.00	6.23	0.0	6.23
01/11/2017	PULLEN, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	4.23	0.00	4.23	0.0	4.23
01/11/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.23	0.00	6.23	0.0	6.23
01/11/2017	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.95	0.00	0.95	0.0	0.95
01/11/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	0.0	0.15
01/11/2017	PULLEN, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	0.0	0.15
01/11/2017	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.98	0.00	0.98	0.0	0.98
01/11/2017	KENNEDY, WENDY	AM FUNDS ICA A	0.00	0.94	0.00	0.94	0.0	0.94
01/11/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	4.76	0.00	4.76	0.0	4.76
01/11/2017	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.81	0.00	0.81	0.0	0.81

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: G118 GRECO #110 </u></b>								
01/11/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	2.46	0.00	2.46	0.0	2.46
01/11/2017	PULLEN, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	3.49	0.00	3.49	0.0	3.49
01/11/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	14.70	0.00	14.70	0.0	14.70
01/20/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	4.80	0.00	4.80	0.0	4.80
01/11/2017	MAENTANIS, JOHN	RUSSELL LP BALANCED C	0.00	35.74	0.00	35.74	0.0	35.74
01/11/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	11.96	0.00	11.96	0.0	11.96
* G118 GRECO SUBTOTAL *			\$0.00	\$114.31	\$0.00	\$114.31		\$114.31
<b><u> BROKER: JAMES KOESTER #117 </u></b>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
/ /	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	0.00	2.13	0.00	2.13	0.0	2.13
/ /	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	0.00	1.10	0.00	1.10	0.0	1.10
02/01/2017	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	50.00	2.13	0.00	2.13	0.0	2.13
/ /	MCDEVITT, JILL	JH 529 PORT 2021-2024 A	0.00	1.50	0.00	1.50	0.0	1.50
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2021-2024 A	0.00	0.80	0.00	0.80	0.0	0.80
02/02/2017	MCDEVITT, JILL	JH 529 PORT 2021-2024 A	50.00	2.13	0.00	2.13	0.0	2.13
/ /	MCDEVITT, JILL	JH 529 PORT 2025-2028 A	0.00	1.58	0.00	1.58	0.0	1.58
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2025-2028 A	0.00	0.79	0.00	0.79	0.0	0.79
02/02/2017	MCDEVITT, JILL	JH 529 PORT 2025-2028 A	50.00	2.13	0.00	2.13	0.0	2.13
* JAMES KOESTER SUBTOTAL *			\$150.00	\$14.40	\$0.00	\$14.40		\$14.40
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
01/17/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	0.50	0.00	0.50	90.0	0.50
01/17/2017	FOUGHT, PAUL	PIONEER FUND A	25.00	1.25	0.00	1.25	90.0	1.25
* JAY MCCLELLAN SUBTOTAL *			\$75.00	\$1.75	\$0.00	\$1.75		\$1.75
<b><u> BROKER: CHARLIE ARRINGTON #174 </u></b>								
01/31/2017	SHURTZ, TERRI	JNL PERSPECTIVE L	40,661.43	33.88	0.00	33.88	0.0	33.88
01/25/2017	LORANG, DAVID	MAINSTAY CUSHING RENAISSANCE ADV CL	239.17	239.17	0.00	239.17	0.0	239.17
* CHARLIE ARRINGTON SUBTOTAL *			\$40,900.60	\$273.05	\$0.00	\$273.05		\$273.05
<b><u> BROKER: BRUCE CARNICK #175 </u></b>								
01/31/2017	WANG, LIN LIN	TEMPLETON DEVELOPING MKTS A	0.00	5.48	0.00	5.48	0.0	5.48
01/31/2017	HOENLE, PATRICK	TEMPLETON FOREIGN FUND A	0.00	15.96	0.00	15.96	0.0	15.96
01/31/2017	BOYDA, TERRY	TEMPLETON FOREIGN FUND A	0.00	9.00	0.00	9.00	0.0	9.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: BRUCE CARNICK #175</u></b>								
01/31/2017	ARMIL, DEBORAH	TEMPLETON GROWTH A	0.00	6.79	0.00	6.79	0.0	6.79
01/31/2017	JACKSON, JEFFREY	TEMPLETON GROWTH A	0.00	3.28	0.00	3.28	0.0	3.28
01/31/2017	WANG, TAO LIN	TEMPLETON GROWTH A	0.00	81.01	0.00	81.01	0.0	81.01
01/31/2017	WHIPPLE, BEATRICE	TEMPLETON WORLD A	0.00	36.48	0.00	36.48	0.0	36.48
01/31/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.45	0.00	1.45	0.0	1.45
01/31/2017	RANDAZZO & ASSOC INC, TONY	TEMPLETON WORLD A	0.00	1.25	0.00	1.25	0.0	1.25
01/31/2017	ADAMS, JANET	TEMPLETON WORLD A	0.00	25.85	0.00	25.85	0.0	25.85
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$186.55	\$0.00	\$186.55		\$186.55
<b><u>BROKER: MICHELE BOYER #189</u></b>								
01/31/2017	ROFFEY, TASHA	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.67	0.00	2.67	0.0	2.67
01/31/2017	ROFFEY, TASHA	FRANKLIN NATURAL RESOURCES A	0.00	4.35	0.00	4.35	0.0	4.35
01/31/2017	ROFFEY, TASHA	FRANKLIN RISING DIVIDENDS A	0.00	11.87	0.00	11.87	0.0	11.87
01/31/2017	SOJKA, MICHAEL	FT HARD CURRENCY A	0.00	2.38	0.00	2.38	0.0	2.38
02/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	1.00	0.00	1.00	0.0	1.00
02/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.96	0.00	1.96	0.0	1.96
02/02/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.91	0.00	0.91	0.0	0.91
* MICHELE BOYER SUBTOTAL *			\$0.00	\$25.14	\$0.00	\$25.14		\$25.14
<b><u>BROKER: MICHAEL PALMISANO #196</u></b>								
01/11/2017	KLEIST, ARTHUR	AM FUNDS NEW WORLD C	0.00	12.62	0.00	12.62	0.0	12.62
01/24/2017	KLEIST, ARTHUR	AM FUNDS NEW WORLD C	15,697.26	30.00	0.00	30.00	0.0	30.00
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.79	0.00	0.79	0.0	0.79
01/11/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.22	0.00	2.22	0.0	2.22
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.87	0.00	0.87	0.0	0.87
01/11/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.30	0.00	7.30	0.0	7.30
01/31/2017	WEGMAN, MARK	JNL PERSPECTIVE L	104,297.75	86.91	0.00	86.91	0.0	86.91
01/11/2017	JOHNSON, HERBERT	MFS UTILITIES C	0.00	4.07	0.00	4.07	0.0	4.07
* MICHAEL PALMISANO SUBTOTAL *			\$119,995.01	\$144.78	\$0.00	\$144.78		\$144.78
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
02/03/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	7.57	0.00	7.57	0.0	7.57
02/03/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.07	0.00	7.07	0.0	7.07
01/17/2017	HAMMONS, LEO	PIONEER FUND A	50.00	2.50	0.00	2.50	0.0	2.50
* MEREDITH MCCUTCHEN SUBTOTAL *			\$50.00	\$17.14	\$0.00	\$17.14		\$17.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES CRAWFORD #225</u></b>								
01/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,400.16	1.96	0.00	1.96	0.0	1.96
01/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,489.60	1.56	0.00	1.56	0.0	1.56
		<b>* JAMES CRAWFORD SUBTOTAL *</b>	<b>\$16,889.76</b>	<b>\$3.52</b>	<b>\$0.00</b>	<b>\$3.52</b>		<b>\$3.52</b>
<b><u>BROKER: CARL WORDEN III #232</u></b>								
01/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	9,635.84	2.01	0.00	2.01	0.0	2.01
		<b>* CARL WORDEN III SUBTOTAL *</b>	<b>\$9,635.84</b>	<b>\$2.01</b>	<b>\$0.00</b>	<b>\$2.01</b>		<b>\$2.01</b>
<b><u>BROKER: MICHAEL O'CALLAGHAN #305</u></b>								
01/31/2017	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	77,558.92	64.63	0.00	64.63	0.0	64.63
		<b>* MICHAEL O'CALLAGHAN SUBTOTAL *</b>	<b>\$77,558.92</b>	<b>\$64.63</b>	<b>\$0.00</b>	<b>\$64.63</b>		<b>\$64.63</b>
		<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>	<b>\$1,274,656.82</b>	<b>\$5,869.46</b>	<b>\$0.00</b>	<b>\$5,869.46</b>		<b>\$5,869.46</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,073.93
Split Transactions	\$0.00
Override Transactions	\$5,869.46
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$8,943.39</b>
<b>Year-to-date Gross</b>	<b>\$13,980.16</b>
<b>Year-to-date Earnings</b>	<b>\$34,136.92</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed