

**COMMISSION STATEMENT****October 31, 2017****STAN WEBB**300 N. Main Street
Suite 309
Wichita, KS 67202**BROKER #: W121**

BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	BROWNFIELD, DEAN	ASCENSUS LQA AGGR TRACK 529 80 PRCT	0.00	0.70	0.00	0.70	92.0	0.64
		* 529 PLANS SUBTOTAL *	\$0.00	\$0.70	\$0.00	\$0.70		\$0.64
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BROWNFIELD, DEAN	AGGRESSIVE PORTFOLIO A	0.00	1.03	0.00	1.03	92.0	0.95
/ /	WYSSMAN, AMBER	AGGRESSIVE PORTFOLIO A	0.00	0.52	0.00	0.52	92.0	0.48
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.50	0.00	4.50	92.0	4.14
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.50	0.00	4.50	92.0	4.14
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.50	0.00	4.50	92.0	4.14
/ /	INGALLS, MONICA	AGGRESSIVE PORTFOLIO A	0.00	0.27	0.00	0.27	92.0	0.25
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	5.07	0.00	5.07	92.0	4.66
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	5.74	0.00	5.74	92.0	5.28
10/16/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
10/16/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
10/16/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
10/03/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	1.73	0.00	1.73	92.0	1.59
10/03/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	4.55	0.00	4.55	92.0	4.19
10/03/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	5.27	0.00	5.27	92.0	4.85
10/03/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	14.94	0.00	14.94	92.0	13.74
10/03/2017	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	7.24	0.00	7.24	92.0	6.66
10/03/2017	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	19.46	0.00	19.46	92.0	17.90
10/10/2017	MILLER, WARREN	AM FUNDS AMCAP A	100.00	3.75	0.00	3.75	92.0	3.45
10/10/2017	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	92.0	1.96
10/18/2017	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	5.63	0.00	5.63	92.0	5.18
10/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
10/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
10/18/2017	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	150.00	5.63	0.00	5.63	92.0	5.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/10/2017	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	92.0	1.73
10/20/2017	HILGER, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	25.00	0.94	0.00	0.94	92.0	0.86
10/20/2017	HILGER, GERALD	AM FUNDS ICA A	50.00	1.88	0.00	1.88	92.0	1.73
10/16/2017	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
10/16/2017	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
10/20/2017	HILGER, GERALD	AM FUNDS INCOME FUND OF AMERICA A	25.00	0.94	0.00	0.94	92.0	0.86
10/16/2017	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	92.0	3.91
10/16/2017	HAYES, BEN	FIDELITY EQUITY GROWTH T	150.00	3.75	0.00	3.75	92.0	3.45
10/16/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	50.00	1.88	0.00	1.88	92.0	1.73
10/20/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
/ /	RANCILIO, KENNETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	4.71	0.00	4.71	92.0	4.33
/ /	FAIRLEY, MIKE	GUGGENHEIM TOTAL RETURN BOND - A	0.00	3.96	0.00	3.96	92.0	3.64
/ /	WALMANN, JEFFREY	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.52	0.00	0.52	92.0	0.48
10/02/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	8.36	0.00	8.36	92.0	7.69
10/02/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	8.36	0.00	8.36	92.0	7.69
10/02/2017	JENDEL, JEFFREY	INVESCO AMERICAN FRANCHISE A	0.00	0.74	0.00	0.74	92.0	0.68
10/02/2017	JAKOVAC, PATRICIA	INVESCO AMERICAN FRANCHISE A	0.00	3.44	0.00	3.44	92.0	3.16
10/02/2017	MOSHER UTMA/KS, JUSTIN	INVESCO AMERICAN FRANCHISE A	0.00	2.32	0.00	2.32	92.0	2.13
10/02/2017	WEBB, STAN	INVESCO AMERICAN FRANCHISE A	0.00	11.13	0.00	11.13	92.0	10.24
10/02/2017	HIEGER, KIM	INVESCO AMERICAN FRANCHISE A	0.00	1.10	0.00	1.10	92.0	1.01
10/02/2017	PRACHT, JULIE	INVESCO AMERICAN FRANCHISE A	0.00	1.28	0.00	1.28	92.0	1.18
10/02/2017	SMITH, TROY	INVESCO AMERICAN FRANCHISE A	0.00	3.14	0.00	3.14	92.0	2.89
10/02/2017	ROGERS, DEBRA	INVESCO AMERICAN FRANCHISE A	0.00	12.46	0.00	12.46	92.0	11.46
10/02/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO AMERICAN FRANCHISE A	0.00	1.36	0.00	1.36	92.0	1.25
10/02/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	0.00	5.72	0.00	5.72	92.0	5.26
10/02/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	11.26	0.00	11.26	92.0	10.36
10/02/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	5.65	0.00	5.65	92.0	5.20
10/02/2017	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	1.80	0.00	1.80	92.0	1.66
10/02/2017	COOK, NOAH	INVESCO AMERICAN FRANCHISE A	0.00	0.13	0.00	0.13	92.0	0.12
10/02/2017	COOK, CALEB	INVESCO AMERICAN FRANCHISE A	0.00	0.17	0.00	0.17	92.0	0.16
10/18/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
10/02/2017	BISHOP, DENNIS	INVESCO AMERICAN FRANCHISE C	0.00	1.82	0.00	1.82	92.0	1.67
10/02/2017	TOLLETT, CHARLES	INVESCO ASIA PACIFIC GROWTH A	0.00	5.04	0.00	5.04	92.0	4.64

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	6.03	0.00	6.03	92.0	5.55
10/02/2017	MOSHER, AMY	INVESCO BALANCED RISK ALLOCATION A	0.00	1.34	0.00	1.34	92.0	1.23
10/02/2017	BISHOP, LARRY	INVESCO CASH RESERVE SHARES	0.00	1.39	0.00	1.39	92.0	1.28
10/02/2017	JAKOVAC, PATRICIA	INVESCO CASH RESERVE SHARES	0.00	1.58	0.00	1.58	92.0	1.45
10/02/2017	WEBB, STAN	INVESCO CASH RESERVE SHARES	0.00	1.84	0.00	1.84	92.0	1.69
10/02/2017	CROSS, BRENDA	INVESCO CASH RESERVE SHARES	0.00	8.50	0.00	8.50	92.0	7.82
10/02/2017	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	1.26	0.00	1.26	92.0	1.16
10/02/2017	BISHOP, DENNIS	INVESCO CHARTER FUND A	0.00	0.10	0.00	0.10	92.0	0.09
10/02/2017	PRACHT, MARK	INVESCO CHARTER FUND A	0.00	20.99	0.00	20.99	92.0	19.31
10/02/2017	MOSHER, AMY	INVESCO CHARTER FUND A	0.00	4.46	0.00	4.46	92.0	4.10
10/02/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	6.42	0.00	6.42	92.0	5.91
10/02/2017	BISHOP, LARRY	INVESCO CHARTER FUND A	0.00	10.54	0.00	10.54	92.0	9.70
10/02/2017	ANDREWS, EMMALEE	INVESCO CHARTER FUND A	0.00	0.67	0.00	0.67	92.0	0.62
10/02/2017	JENDEL, JEFFREY	INVESCO CHARTER FUND A	0.00	6.52	0.00	6.52	92.0	6.00
10/02/2017	JAKOVAC, PATRICIA	INVESCO CHARTER FUND A	0.00	0.88	0.00	0.88	92.0	0.81
10/02/2017	NELSON, DENE	INVESCO CHARTER FUND A	0.00	20.61	0.00	20.61	92.0	18.96
10/02/2017	CROSS, MAX	INVESCO CHARTER FUND A	0.00	12.23	0.00	12.23	92.0	11.25
10/02/2017	WEBB, STAN	INVESCO CHARTER FUND A	0.00	13.41	0.00	13.41	92.0	12.34
10/02/2017	SMITH, TROY	INVESCO CHARTER FUND A	0.00	17.68	0.00	17.68	92.0	16.27
10/02/2017	NELSON, JIM	INVESCO CHARTER FUND A	0.00	17.02	0.00	17.02	92.0	15.66
10/02/2017	CROSS, BRENDA	INVESCO CHARTER FUND A	0.00	14.95	0.00	14.95	92.0	13.75
10/02/2017	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	11.72	0.00	11.72	92.0	10.78
10/18/2017	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
10/18/2017	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
10/02/2017	BRIMER JR, ALBERT	INVESCO COMSTOCK A	0.00	3.22	0.00	3.22	92.0	2.96
10/02/2017	WEBB, STAN	INVESCO DEVELOPING MARKETS A	0.00	0.41	0.00	0.41	92.0	0.38
10/02/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	0.00	5.34	0.00	5.34	92.0	4.91
10/02/2017	CROSS, BRENDA	INVESCO DEVELOPING MARKETS A	0.00	9.50	0.00	9.50	92.0	8.74
10/18/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
10/02/2017	PRACHT, MARK	INVESCO DIVERSIFIED DIVIDEND A	0.00	17.52	0.00	17.52	92.0	16.12
10/02/2017	JENDEL, JEFFREY	INVESCO DIVERSIFIED DIVIDEND A	0.00	1.78	0.00	1.78	92.0	1.64
10/02/2017	SMITH, TROY	INVESCO DIVERSIFIED DIVIDEND A	0.00	5.10	0.00	5.10	92.0	4.69
10/02/2017	MOSHER, AMY	INVESCO ENDEAVOR FUND A	0.00	1.04	0.00	1.04	92.0	0.96

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	SMITH, TROY	INVESCO ENDEAVOR FUND A	0.00	6.02	0.00	6.02	92.0	5.54
10/02/2017	BISHOP, LARRY	INVESCO ENERGY FUND A	0.00	1.02	0.00	1.02	92.0	0.94
10/02/2017	CROSS, MAX	INVESCO ENERGY FUND A	0.00	2.94	0.00	2.94	92.0	2.70
10/02/2017	BISHOP, LARRY	INVESCO EQUITY AND INCOME A	0.00	11.80	0.00	11.80	92.0	10.86
10/02/2017	PRACHT, JULIE	INVESCO EQUITY AND INCOME A	0.00	0.54	0.00	0.54	92.0	0.50
10/02/2017	CROSS, BRENDA	INVESCO EQUITY AND INCOME A	0.00	5.89	0.00	5.89	92.0	5.42
10/02/2017	PRACHT, MARK	INVESCO EUROPEAN GROWTH FUND A	0.00	0.84	0.00	0.84	92.0	0.77
10/02/2017	WEBB, STAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.85	0.00	1.85	92.0	1.70
10/02/2017	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.28	0.00	1.28	92.0	1.18
10/02/2017	PRACHT, MARK	INVESCO GLOBAL CORE EQUITY A	0.00	3.55	0.00	3.55	92.0	3.27
10/02/2017	JENDEL, JEFFREY	INVESCO GLOBAL EQUITY A	0.00	2.87	0.00	2.87	92.0	2.64
10/02/2017	PRACHT, MARK	INVESCO GLOBAL EQUITY A	0.00	0.98	0.00	0.98	92.0	0.90
10/02/2017	CROSS, BRENDA	INVESCO GLOBAL GROWTH A	0.00	15.69	0.00	15.69	92.0	14.43
10/02/2017	BOHANNON, RONALD	INVESCO GROWTH ALLOCATION A	0.00	9.09	0.00	9.09	92.0	8.36
10/02/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	0.00	12.47	0.00	12.47	92.0	11.47
10/02/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	0.00	9.56	0.00	9.56	92.0	8.80
10/18/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
10/18/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
10/02/2017	BRIMER JR, ALBERT	INVESCO HIGH YIELD FUND A	0.00	3.19	0.00	3.19	92.0	2.93
10/02/2017	TOLLETT, CHARLES	INVESCO INTERNATIONAL GROWTH A	0.00	13.37	0.00	13.37	92.0	12.30
10/02/2017	CROSS, MAX	INVESCO MIDCAP CORE EQUITY A	0.00	5.10	0.00	5.10	92.0	4.69
10/02/2017	BRIMER JR, ALBERT	INVESCO MIDCAP CORE EQUITY A	0.00	4.82	0.00	4.82	92.0	4.43
10/02/2017	PRACHT, MARK	INVESCO MIDCAP GROWTH A	0.00	2.29	0.00	2.29	92.0	2.11
10/02/2017	SMITH, TROY	INVESCO MIDCAP GROWTH A	0.00	6.17	0.00	6.17	92.0	5.68
10/02/2017	CROSS, BRENDA	INVESCO MIDCAP GROWTH A	0.00	16.14	0.00	16.14	92.0	14.85
10/02/2017	PRACHT, MARK	INVESCO MODERATE ALLOCATION A	0.00	1.62	0.00	1.62	92.0	1.49
10/02/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	0.00	13.90	0.00	13.90	92.0	12.79
10/18/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
10/02/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	6.20	0.00	6.20	92.0	5.70
10/02/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	4.92	0.00	4.92	92.0	4.53
10/02/2017	BELL, JASON	INVESCO STRUCTURED CORE A	0.00	2.98	0.00	2.98	92.0	2.74
10/02/2017	SMITH, TROY	INVESCO STRUCTURED CORE A	0.00	14.35	0.00	14.35	92.0	13.20
10/02/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO STRUCTURED CORE A	0.00	1.02	0.00	1.02	92.0	0.94

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	BELL, JASON	INVESCO STRUCTURED CORE A	50.00	2.50	0.00	2.50	92.0	2.30
10/02/2017	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	2.48	0.00	2.48	92.0	2.28
10/02/2017	BELL, JASON	INVESCO TECHNOLOGY C	0.00	2.47	0.00	2.47	92.0	2.27
10/02/2017	BISHOP, LARRY	INVESCO VALUE OPP A	0.00	2.47	0.00	2.47	92.0	2.27
10/02/2017	JENDEL, JEFFREY	INVESCO VALUE OPP A	0.00	2.54	0.00	2.54	92.0	2.34
10/02/2017	PRACHT, MARK	INVESCO VALUE OPP A	0.00	1.77	0.00	1.77	92.0	1.63
10/02/2017	BELL, JASON	INVESCO VALUE OPP A	0.00	8.75	0.00	8.75	92.0	8.05
10/02/2017	POYNTER, REGAN	INVESCO VALUE OPP A	0.00	4.46	0.00	4.46	92.0	4.10
10/02/2017	MOSHER UTMA/KS, JUSTIN	INVESCO VALUE OPP A	0.00	0.43	0.00	0.43	92.0	0.40
10/02/2017	SMITH, TROY	INVESCO VALUE OPP A	0.00	6.30	0.00	6.30	92.0	5.80
10/02/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO VALUE OPP A	0.00	0.23	0.00	0.23	92.0	0.21
10/02/2017	NELSON, ARIKA	INVESCO VALUE OPP A	0.00	12.09	0.00	12.09	92.0	11.12
10/02/2017	CROSS, BRENDA	INVESCO VALUE OPP A	0.00	7.83	0.00	7.83	92.0	7.20
10/02/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	5.03	0.00	5.03	92.0	4.63
10/02/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	2.32	0.00	2.32	92.0	2.13
/ /	SCHMITT, SUSAN	LQ ADVISOR DISCIPLINED GROWTH	0.00	1.01	0.00	1.01	92.0	0.93
/ /	SCHMITT, SUSAN	LQ ADVISOR INTERNATIONAL GROWTH	0.00	0.77	0.00	0.77	92.0	0.71
/ /	BROWNFIELD, DEAN	LQA AGGR TRACK: AGGRESS PORT A	0.00	0.15	0.00	0.15	92.0	0.14
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	2.30	0.00	2.30	92.0	2.12
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	1.34	0.00	1.34	92.0	1.23
/ /	SCHMITT, SUSAN	LQA MODERATE PORTFOLIO A	0.00	0.61	0.00	0.61	92.0	0.56
/ /	BROWNFIELD, DEAN	LQO AGGR TRACK: VERY AGGR PORT A	0.00	0.11	0.00	0.11	92.0	0.10
10/01/2017	WOODBURN, JOSEPH	MFS CORE EQUITY A	0.00	1.09	0.00	1.09	92.0	1.00
10/01/2017	WOODBURN, JEAN	MFS CORE EQUITY A	0.00	0.88	0.00	0.88	92.0	0.81
10/01/2017	WOODBURN, JOSEPH	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.12	0.00	1.12	92.0	1.03
10/04/2017	PRACHT, CAROLE	PIMCO ALL ASSET A	0.00	4.87	0.00	4.87	92.0	4.48
10/04/2017	PRACHT, CAROLE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	18.94	0.00	18.94	92.0	17.42
10/04/2017	PRACHT, CAROLE	PIMCO GLOBAL MULTI ASSET A	0.00	2.99	0.00	2.99	92.0	2.75
10/04/2017	PRACHT, CAROLE	PIMCO TOTAL RETURN A	0.00	11.52	0.00	11.52	92.0	10.60
10/02/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
/ /	FRANZ, PJ	RYDEX ALPHA OPPORTUNITY A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	THOMAS, MARY	RYDEX GUGG COMMODITIES STRATEGY A	0.00	0.20	0.00	0.20	92.0	0.18
/ /	LAWRENCE POLICE	RYDEX GUGG INVESTMENT GRADE BOND A	0.00	5.43	0.00	5.43	92.0	5.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	RANCILIO, KENNETH	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	1.22	0.00	1.22	92.0	1.12
/ /	THOMAS, MARY	RYDEX GUGG MANAGED FUTURES STRATEGY	0.00	0.12	0.00	0.12	92.0	0.11
/ /	RANCILIO, KENNETH	RYDEX GUGG S&P 500 PURE GROWTH A	0.00	2.87	0.00	2.87	92.0	2.64
/ /	LAWRENCE POLICE	RYDEX GUGG STYLEPLUS LARGE CORE A	0.00	8.70	0.00	8.70	92.0	8.00
/ /	ROGERS, D	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	23.15	0.00	23.15	92.0	21.30
/ /	WALMANN, JEFFREY	RYDEX MULTI HEDGE STRATEGIES A	0.00	0.42	0.00	0.42	92.0	0.39
10/10/2017	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE CL	0.00	40.07	0.00	40.07	92.0	36.86
10/05/2017	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	300.00	8.25	0.00	8.25	92.0	7.59
* MUTUAL FUNDS SUBTOTAL *			\$3,075.00	\$883.02	\$0.00	\$883.02		\$812.34
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/22/2017	ERKER, HELEN	AM GEN POLARIS CHOICE	69,596.60	173.99	0.00	173.99	92.0	160.07
10/18/2017	KENNEDY, ROBERT	AM GEN POLIRIS II PRINCIPAL REWARDS	93,759.60	93.76	0.00	93.76	92.0	86.26
10/09/2017	DENNY, MICHAEL	NATIONWIDE BOA FUTURE II 32	2.00	0.04	0.00	0.04	92.0	0.04
10/20/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
10/20/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$163,548.20	\$277.29	\$0.00	\$277.29		\$255.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	WOODWARD, ANDREA	MAINSTAY	0.00	4.04	0.00	4.04	92.0	3.72
09/30/2017	TROUSDALE, DANIEL	MAINSTAY	0.00	13.09	0.00	13.09	92.0	12.04
09/30/2017	TOPHAM, AARON	MAINSTAY	0.00	3.54	0.00	3.54	92.0	3.26
09/30/2017	NYAGAH, NICHOLAS	MAINSTAY	0.00	0.86	0.00	0.86	92.0	0.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.53	\$0.00	\$21.53		\$19.81
*** BROKER TRANSACTIONS TOTAL ***			\$166,623.20	\$1,182.54	\$0.00	\$1,182.54		\$1,087.90

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,087.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,087.90
Year-to-date Gross	\$74,911.89
Year-to-date Earnings	\$68,627.48