

STATEMENT

January 20, 2018

ERIK NEW DODDS - LPCM

BROKER #: 3067

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/20/2017 #	GAY, LEO	VIRTUS ASSET TR SEIX U S GVT SECS U S		10,194.77	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	VIRTUS ASSET TR SEIX U S GVT SECS U S		27,306.50	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	VIRTUS ASSET TR SEIX U S GVT SECS U S		17,374.66	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	VIRTUS ASSET TR SEIX U S GVT SECS U S		750.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	WESTERN ASSET CORE PLUS BOND FUND C S		2,236.22	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**BLACKSTONE ALTERNATIVE INVT	S	1,330.76	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**BOSTON PARTNERS INV FDS	S	2,371.58	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**FIDELITY US BOND INDEX FUND	S	1,648.03	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**GOTHAM ABSOLUTE RETURN FUND	S	4,279.27	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**GUGGENHEIM FDS TR	S	1,797.98	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**HANCOCK JOHN CAPITAL SER	S	5,019.83	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	**INVESTMENT MANAGERS SER TR	S	3,708.54	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	FIDELITY CONCORD STR SPARTAN U S EQ S		16,508.14	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, BRIAN	OAKMARK INTERNATIONAL FUND	S	15,377.24	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	VIRTUS ASSET TR SEIX U S GVT SECS U S		35,017.94	0.00	0.00	0.00	0.00	89.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$144,921.99	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

12/20/2017 #	PLOEN, ALLISON	***ALLEGION PUBLIC LIMITED	S	1,056.41	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	***ARGO GROUP INTERNATIONAL	S	550.80	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	***CENOVUS ENERGY INC	S	1,338.65	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	***FABRINET	S	1,101.86	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	***FAIRFAX FINANCIAL HOLDINGS	B	3,640.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	***GENPACT LIMITED	S	1,729.52	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	***MICHAEL KORS HLDGS LTD	S	1,584.31	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	***STERIS PLC	S	1,077.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	3M COMPANY	S	712.47	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	A SCHULMAN INC	S	1,286.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ABBVIE INC	S	394.97	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ACUITY BRANDS INC	S	867.61	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	ADVANCE AUTO PARTS INC	B	4,044.65	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/20/2017 #	PLOEN, ALLISON	AECOM	S	369.43	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ALIGN TECHNOLOGY INC	S	466.32	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ALLIANT ENERGY CORP	S	342.34	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ALMOST FAMILY INC	S	711.75	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ALPHABET INC CLASS C CAPITAL STOCK	S	1,063.49	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ALTRIA GROUP INC	S	868.92	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	AMAZON.COM INC	S	1,180.82	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	AMBARELLA INC SHS	S	695.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	AMGEN INC	S	536.27	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ANALOGIC CORP NEW	S	1,116.70	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ANDEAVOR COMMON STOCK	S	1,858.44	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ANIXTER INTERNATIONAL INC	S	1,174.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	APPLIED MATERIALS INC	S	1,493.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	AT&T INC	S	464.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	AVERY DENNISON CORP	S	3,046.52	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BALCHEM CORP	S	1,067.30	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BANK OF AMERICA CORP	S	1,123.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BARNES GROUP INC	S	1,404.26	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BAXTER INTERNATIONAL INC	S	1,577.34	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	BERKSHIRE HATHAWAY INC DEL CL B NEW B		4,561.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BERKSHIRE HATHAWAY INC DEL CL B NEW S		792.57	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	BLACKROCK INC	S	514.17	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	BLACKROCK INC	S	4,627.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	BLACKSTONE GROUP L P	S	668.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	BLACKSTONE GROUP L P	S	4,836.66	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BOEING CO	S	2,091.39	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BOJANGLES INC	S	569.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BRISTOL MYERS SQUIBB CO	S	545.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	BROADCOM LIMITED SHS	S	1,584.38	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CACI INTERNATIONAL INC-CL A	S	1,357.75	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CALAMP CORP	S	874.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CAMBREX CORP	S	1,546.50	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CAMDEN PROPERTY TRUST-SBI	S	1,374.30	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CARPENTER TECHNOLOGY CORP	S	836.80	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CARTER'S INC	S	1,491.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CATERPILLAR INC	S	1,213.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CELGENE CORP	S	323.92	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	CENTURYLINK INC	B	4,434.46	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CHARLES RIVER LABORATORIES	S	1,207.91	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CHARTER COMMUNICATIONS INC NEW CL A S		314.59	0.00	0.00	0.00	0.00	89.0	0.00

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/20/2017 #	PLOEN, ALLISON	CHEMED CORPORATION	S	483.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CHEVRON CORPORATION	S	839.58	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CHUBB LTD COM	S	292.04	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CHURCH & DWIGHT CO INC	S	1,279.79	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CINTAS CORP	S	1,752.55	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CISCO SYSTEMS INC	S	1,073.03	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CITIGROUP INC	S	751.30	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COGNEX CORP	S	1,237.65	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COLUMBIA BANKING SYSTEMS INC	S	621.50	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COMCAST CORP NEW CL A	S	1,022.39	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COMERICA INC	S	2,240.49	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COMMUNITY BANK SYSTEMS INC	S	701.35	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CONCHO RESOURCES INC	S	1,291.43	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CONOCOPHILLIPS	S	476.57	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COOPER COMPANIES INC NEW (THE)	S	895.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	COSTCO WHOLESALE CORP-NEW	S	745.08	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CSX CORP	S	166.90	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	CVS CAREMARK CORPORATION	S	660.89	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	DORMAN PRODUCTS INC	S	2,128.49	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	DOWDUPONT INC COMMON STOCK	S	502.18	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EASTERLY GOVERNMENT PROPERTIES	S	1,330.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ECOLAB INC	S	270.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EDISON INTERNATIONAL	S	1,034.44	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EDUCATION REALTY TRUST INC COM NEW	S	883.31	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EOG RES INC	S	307.08	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ESTEE LAUDER COMPANIES INC CL A	S	1,533.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EXELON CORPORATION	S	394.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EXLSERVICE HOLDINGS INC	S	1,426.52	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	EXPRESS SCRIPTS HOLDING	B	4,078.79	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	EXXON MOBIL CORP	S	1,074.45	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FACEBOOK INC	S	2,310.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FACTSET RESEARCH SYSTEMS INC	S	1,359.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FIRST HORIZON NATL CORP	S	284.52	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FIRST REPUBLIC BANK SAN	S	964.59	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FIRSTENERGY CORP	S	1,760.17	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FIVE BELOW INC	S	1,264.83	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FORWARD AIR CORPORATION	S	1,667.79	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	FQF TR	S	701.55	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	FQF TR	S	5,902.70	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	FQF TR	S	152.80	0.00	0.00	0.00	0.00	89.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/20/2017 #	HOKAMA, WAYSON	FQF TR	S	1,337.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FRANKLIN ELECTRIC CO INC	S	1,184.30	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	FREEPORT MCMORAN COPPER & GOLD	S	1,604.79	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	GENERAL MOTORS COMPANY	B	2,973.43	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	GENERAL MOTORS COMPANY	S	1,573.33	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	GENOMIC HEALTH INC	S	832.37	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	GILEAD SCIENCES INC	S	446.24	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	GOLDMAN SACHS ETF TRUST	B	15,602.34	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	GOLDMAN SACHS GROUP INC	S	514.08	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	GRANITE CONSTRUCTION INC	S	1,089.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	GREAT WESTERN BANCORP INC	S	889.24	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	GUGGENHEIM S&P GLOBAL WATER	S	143.04	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	GUGGENHEIM S&P GLOBAL WATER	S	71.52	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	GUGGENHEIM S&P GLOBAL WATER	S	786.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	H B FULLER & CO	S	431.36	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	HASBRO INC	S	1,105.23	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	HD SUPPLY HOLDINGS INC	S	352.89	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	HEALTHCARE SERVICES GROUP INC	S	537.13	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	HENRY JACK & ASSOCIATES INC	S	1,768.84	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	HENRY SCHEIN INC	S	1,138.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	HOME DEPOT INC	S	2,431.81	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	IDACORP INC	S	1,372.05	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ILG INC	S	638.48	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	INDEXIQ ETF TR	S	612.44	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	INDEXIQ ETF TR	S	340.45	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	INDEXIQ ETF TR	S	306.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	INDEXIQ ETF TR	S	2,923.01	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	INDEXIQ ETF TR	S	185.70	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	INDEXIQ ETF TR	S	1,671.31	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	INTEGRA LIFESCIENCES HOLDINGS	S	1,050.42	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	INTEL CORP	S	704.44	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	INTERNATIONAL BUSINESS	S	612.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	INTERNATIONAL PAPER CO	S	348.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	INTL FLAVORS & FRAGRANCES INC	S	1,383.90	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	INTUITIVE SURGICAL INC NEW	S	1,104.21	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	IPG PHOTONICS CORP	S	1,269.62	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES GOLD TRUST	S	133.54	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES GOLD TRUST	S	72.84	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES GOLD TRUST	S	619.15	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES INC	S	1,429.77	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
PRODUCT CATEGORY: STOCKS										
12/20/2017 #	NICHOLSON, JAMES	ISHARES INC	S	714.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	ISHARES INC	S	714.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES INC	S	6,493.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	640.34	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES TR	S	282.06	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES TR	S	141.03	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES TR	S	1,269.27	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES TR BARCLAYS TREAS INFLATION	S	226.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES TR BARCLAYS TREAS INFLATION	S	113.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES TR BARCLAYS TREAS INFLATION	S	1,245.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES TR FTSE NAREIT RESIDENTIAL	S	186.15	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES TR FTSE NAREIT RESIDENTIAL	S	1,737.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,591.26	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES TRUST ISHARES EDGE MSCI MIN	S	636.64	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES TRUST ISHARES EDGE MSCI MIN	S	795.63	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	ISHARES TRUST ISHARES EDGE MSCI MIN	S	2,676.21	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES TRUST ISHARES EDGE MSCI MIN	S	7,377.67	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES TRUST ISHARES EDGE MSCI MIN	S	318.32	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,591.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES TRUST ISHARES EDGE MSCI MIN	S	3,024.04	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	ISHARES TRUST S&P GLOBAL	S	212.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	ISHARES TRUST S&P GLOBAL	S	919.62	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	ISHARES TRUST S&P GLOBAL	S	70.74	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	J JILL INC	S	369.95	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	JB HUNT TRANSPORT SERVICES INC	S	1,818.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	JOHNSON & JOHNSON	B	3,953.04	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	JOHNSON CONTROLS INTERNATIONAL PLC	S	339.32	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	JPMORGAN CHASE & CO	B	2,555.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	KELLOGG CO	S	1,307.45	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	KFORCE INC	S	1,378.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	LENNOX INTL INC	S	2,286.82	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	LIBERTY GLOBAL PLC CLASS C	B	3,339.95	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	LOWES COMPANIES INC	S	357.61	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	LTC PROPERTIES INC	S	1,074.96	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MARCUS & MILLICHAP INC	S	982.39	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MASCO CORP	S	1,562.85	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MASIMO CORP	S	865.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MASTERCARD INC	S	758.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MATTHEWS INTERNATIONAL CORP	S	378.70	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MB FINANCIAL INC	S	1,475.10	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
PRODUCT CATEGORY: STOCKS										
12/20/2017 #	PLOEN, ALLISON	MCCORMICK & CO INC NON-VOTING	S	1,710.75	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MCDONALDS CORP	S	1,549.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MEDTRONIC PLC COM	S	656.82	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MERCANTILE BANK CORP-MICH	S	765.45	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MERCK & CO INC	S	617.90	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	METTLER-TOLEDO INTERNATIONAL	S	1,902.63	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MGM RESORTS INTERNATIONAL	S	231.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MICROSEMI CORP	S	901.55	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MICROSOFT CORP	S	1,783.85	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MOODYS CORP	S	1,485.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MOOG INC-CL A	S	529.02	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MORGAN STANLEY	S	2,116.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MOTOROLA SOLUTIONS INC	S	1,300.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MSG NETWORKS INC	S	749.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MTS SYSTEMS CORP	S	1,043.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	MULTI COLOR CORP	S	1,423.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	NAVIGANT CONSULTING INC	S	1,201.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	NETAPP INC	S	1,548.46	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	NEXSTAR BROADCASTING GROUP INC CL A S	S	1,494.85	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	NEXTERA ENERGY INC	S	462.96	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	NIKE INC-CL B	S	255.33	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	NORFOLK SOUTHERN CORP	S	1,452.03	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	OCCIDENTAL PETE CORP	S	500.50	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	OLD NATIONAL BANCORP	S	1,065.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	OMNICELL INC	S	863.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ORACLE CORP	S	575.31	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PC CONNECTION INC	S	665.50	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PDC ENERGY INC	S	563.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PFIZER INC	S	1,106.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PNC FINANCIAL SVCS GROUP INC	S	1,455.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	POOL CORPORATION	S	1,567.32	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	POWERSHARES EXCHANGE TRADED	S	762.03	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	POWERSHARES EXCHANGE TRADED	S	381.02	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	POWERSHARES EXCHANGE TRADED	S	1,481.73	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	POWERSHARES EXCHANGE TRADED	S	3,556.14	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	POWERSHARES EXCHANGE-TRADED	S	406.57	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	POWERSHARES EXCHANGE-TRADED	S	216.84	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	POWERSHARES EXCHANGE-TRADED	S	677.62	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	POWERSHARES EXCHANGE-TRADED	S	1,924.43	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	POWERSHARES GLOBAL LISTED	S	171.82	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/20/2017 #	HOKAMA, WAYSON	POWERSHARES GLOBAL LISTED	S	1,472.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PRAXAIR INC	S	308.46	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PROASSURANCE CORP	S	619.85	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PROCTER & GAMBLE CO	S	916.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PROLOGIS INC	S	254.73	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	PROSHARES S&P 500 DIVIDEND	S	6,413.01	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	PVH CORP	S	1,492.95	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	RAYMOND JAMES FINANCIAL INC	S	1,144.78	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	RESMED INC	S	1,630.01	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	RESOLUTE FOREST PRODUCTS INC	B	1,116.90	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SCHLUMBERGER LTD	S	387.54	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	SCHWAB STRATEGIC TR	S	259.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	SCHWAB STRATEGIC TR	S	155.55	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	SCHWAB STRATEGIC TR	S	2,333.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	SCHWAB STRATEGIC TR	S	1,244.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	SELECT SECTOR SPDR TRUST SHS BEN IN	S	557.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	SELECT SECTOR SPDR TRUST SHS BEN IN	S	2,300.76	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SENSIENT TECHNOLOGIES CORP	S	1,043.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SIMON PROPERTY GROUP INC	S	166.53	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SNAP-ON INC	S	1,398.12	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SOUTHERN CO	S	398.64	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SOUTHSIDE BANCSHARES INC	S	894.14	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	SPDR INDEX SHARES FUNDS	S	136.24	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	SPDR INDEX SHARES FUNDS	S	68.12	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	SPDR INDEX SHARES FUNDS	S	681.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	SPDR INDEX SHS FDS	S	483.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	SPDR INDEX SHS FDS	S	4,255.69	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	SPDR SERIES TRUST	S	268.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	SPDR SERIES TRUST	S	149.20	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	SPDR SERIES TRUST	S	387.92	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	SPDR SERIES TRUST	S	1,223.44	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	SPX FLOW INC	B	3,717.59	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SS&C TECHNOLOGIES HOLDINGS INC	S	1,087.90	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	STANLEY BLACK & DECKER INC	S	508.03	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	STARBUCKS CORP	S	290.25	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	STARWOOD PROPERTY TRUST INC	S	478.12	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	STATE STREET CORP	S	1,554.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	STIFEL FINANCIAL CORP	S	1,630.26	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	STURM RUGER & CO INC	S	671.40	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SYKES ENTERPRISES INC	S	857.79	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/20/2017 #	PLOEN, ALLISON	SYNOPSYS INC	S	1,132.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	SYSCO CORP	S	1,829.48	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TETRA TECH INC NEW	S	957.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TEXAS ROADHOUSE INC	S	1,501.15	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TIME WARNER INC NEW	S	360.32	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TJX COMPANIES INC NEW	S	229.05	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TOTAL SYSTEM SERVICES INC	S	799.10	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TRANSDIGM GROUP INCORPORATED	S	272.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TRIBUNE MEDIA COMPANY	S	298.06	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TRINITY INDUSTRIES INC	S	335.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	TWENTY FIRST CENTURY FOX INC	S	659.49	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	ULTA BEAUTY INC COMMON STOCK	S	1,128.88	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	UMB FINANCIAL CORP	S	1,321.02	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	UNION BANKSHARES CORPORATION	S	847.55	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	UNION PACIFIC CORP	S	404.94	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	UNITED BANKSHARES INC-W VA	S	1,380.60	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	UNITED STS COMMODITY INDEX FD COMMO	S	533.52	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	UNITED STS COMMODITY INDEX FD COMMO	S	2,421.37	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	UNITED STS COMMODITY INDEX FD COMMO	S	287.28	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	UNITEDHEALTH GROUP INC	S	1,344.06	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	US BANCORP DEL COM NEW	S	812.85	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	USG CORP NEW	S	225.50	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	VANGUARD INDEX FUNDS	S	296.22	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	VANGUARD INDEX FUNDS	S	148.11	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	FRALEY, JACQUELINE	VANGUARD INDEX FUNDS	S	888.66	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	VANGUARD INDEX FUNDS	S	1,629.21	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	VANGUARD INDEX FUNDS VANGUARD HIGH	S	1,293.19	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	VANGUARD INDEX FUNDS VANGUARD HIGH	S	344.85	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	VANGUARD INDEX FUNDS VANGUARD HIGH	S	3,103.65	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	VANGUARD INDEX FUNDS VANGUARD TOTAL	S	11,453.38	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	GAY, LEO	VANGUARD SECTOR INDEX FDS VANGUARD	S	117.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	NICHOLSON, JAMES	VANGUARD SECTOR INDEX FDS VANGUARD	S	117.72	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	HOKAMA, WAYSON	VANGUARD SECTOR INDEX FDS VANGUARD	S	706.32	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	VEREIT INC COM	S	274.14	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	VERIZON COMMUNICATIONS	S	319.74	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	VISA INC	S	900.56	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	CHU, WILLIAM	VODAFONE GROUP PLC SPONSORED ADR NO B	S	4,057.90	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	VORNADO REALTY TRUST	S	155.51	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	WABCO HOLDINGS INC	S	1,293.03	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	WAL-MART STORES INC	S	296.31	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/20/2017 #	PLOEN, ALLISON	WALT DISNEY CO	S	666.08	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	WELLS FARGO & CO	S	1,031.39	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	WENDYS COMPANY (THE)	S	297.00	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	WEYERHAEUSER CO	S	285.44	0.00	0.00	0.00	0.00	89.0	0.00
12/20/2017 #	PLOEN, ALLISON	WYNN RESORTS LTD	S	2,186.21	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***ALKERMES PLC	B	782.36	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***AXALTA COATING SYSTEMS LTD	B	893.97	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***CHINA LODGING GROUP LTD	B	1,377.90	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***ICON PLC	B	1,130.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***LIONS GATE ENTMT CORP	B	471.66	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***LIVANOVA PLC	B	1,107.96	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***ORBOTECH LTD-ORD	B	1,628.16	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	***WRIGHT MEDICAL GROUP N V	B	916.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ACI WORLDWIDE INC	B	516.35	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ADVANCED ENERGY INDUSTRIES INC	B	1,413.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	AMERICAN WOODMARK CORP	B	1,419.55	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ANIKA THERAPEUTICS INC	B	684.06	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	APPLE INC	B	1,054.98	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ARISTA NETWORKS INC COM	B	1,658.79	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ASPEN TECHNOLOGY INC	B	1,258.94	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	AUTOLIV INC	B	771.54	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BANK OF THE OZARKS INC	B	538.42	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BARCLAYS PLC ADR	B	2,909.39	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BERRY GLOBAL GROUP INC	B	943.20	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BIG LOTS INC COM	B	1,267.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BIOTELEMETRY INC COM	B	1,208.31	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	BLACKROCK INC	S	516.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	BLACKSTONE GROUP L P	S	821.75	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BORG WARNER AUTOMOTIVE INC	B	1,048.20	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BOTTOMLINE TECHNOLOGIES (DE) INC	B	1,224.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BRANDYWINE REALTY TRUST-SBI NEW	B	1,212.69	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BROADRIDGE FINANCIAL SOLUTIONS	B	1,291.36	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	BRYN MAWR BANK CORP	B	1,279.60	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CABLE ONE INC	B	707.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CANTEL MEDICAL CORPORATION	B	1,402.24	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CBRE GROUP INC	B	1,300.50	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CHATHAM LODGING TR	B	1,080.06	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CHENIERE ENERGY INC	B	658.71	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CHILDRENS PLACE INC	B	1,241.55	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	COMFORT SYSTEMS USA INC	B	1,737.45	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
PRODUCT CATEGORY: STOCKS										
12/21/2017 #	PLOEN, ALLISON	CONTROL4 CORPORATION	B	1,119.65	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	COOPER STANDARD HOLDINGS INC	B	1,341.56	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	COPART INC	B	1,224.65	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CRANE CO	B	984.83	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	CSRA INC COM	B	909.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DAVE & BUSTERS ENTERTAINMENT	B	559.88	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DCT INDUSTRIAL TRUST INC	B	919.52	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DELEK US HOLDINGS INC COMMON STOCK	B	721.98	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DIAMONDBACK ENERGY INC COM	B	842.29	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DOLBY LABORATORIES INC CL A	B	998.84	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DOMINOS PIZZA INC	B	744.56	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	DUNKIN BRANDS GROUP INC	B	978.41	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EAGLE BANCORP INC MD	B	1,342.05	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EAGLE MATERIALS INC	B	881.92	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EMCOR GROUP INC	B	1,092.65	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ENTEGRIS INC	B	2,750.10	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EQUINIX INC COM PAR \$0.001 REIT	B	2,246.55	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ESTERLINE TECHNOLOGIES CORP	B	762.48	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EURONET WORLDWIDE INC	B	1,209.04	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EVERCORE INC	B	650.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	EXTREME NETWORKS INC	B	970.01	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	FCB FINANCIAL HOLDINGS INC	B	1,820.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	FLOTEK INDUSTRIES INC	B	295.67	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	FORTINET INC	B	976.09	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	FQF TR	S	965.60	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	FQF TR	S	227.16	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	G-III APPAREL GROUP LTD	B	1,359.29	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	GRACO INC	B	673.10	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	GRUBHUB INC	B	1,145.72	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	GUGGENHEIM S&P GLOBAL WATER	S	143.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	GUIDEWIRE SOFTWARE INC	B	734.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	HAWAIIAN HOLDINGS INC	B	574.35	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	HEALTH EQUITY INC	B	926.25	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	HEARTLAND FINANCIAL USA INC	B	1,413.10	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	HILLENBRAND INC	B	1,634.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	HILLTOP HOLDINGS INC	B	1,011.10	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	HILTON GRAND VACATIONS INC	B	790.97	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	IAC / INTERACTIVECORP	B	1,265.08	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	IBERIABANK CORP	B	951.57	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ICU MEDICAL INC	B	2,391.40	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/21/2017 #	PLOEN, ALLISON	IMPAX LABORATORIES INC	B	1,180.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	INDEXIQ ETF TR	S	477.70	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	INDEXIQ ETF TR	S	279.81	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	INNERWORKINGS INC	B	647.52	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	INSTALLED BUILDING PRODUCTS	B	1,647.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	INTEGRATED DEVICE TECHNOLOGY	B	1,454.53	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	INTER PARFUMS INC	B	1,661.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	IONIS PHARMACEUTICALS INC COM	B	712.29	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	GAY, LEO	ISHARES CORE S&P 500 ETF	B	2,969.67	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES GOLD TRUST	S	97.28	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	UDVARDY, IMRE	ISHARES IBOXX \$ HIGH YIELD	B	21,294.67	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ISHARES IBOXX \$ HIGH YIELD	B	20,425.50	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	FRALEY, JACQUELINE	ISHARES IBOXX \$ HIGH YIELD	B	14,688.97	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	HOKAMA, WAYSON	ISHARES IBOXX \$ HIGH YIELD	B	36,418.22	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES INC	S	1,076.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	ISHARES TR	B	1,447.10	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES TR	S	187.88	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES TR BARCLAYS TREAS INFLATION	S	225.90	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES TR FTSE NAREIT RESIDENTIAL	S	306.50	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	GAY, LEO	ISHARES TRUST BARCLAYS U S AGGR BON	B	2,611.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ISHARES TRUST DOW JONES US AEROSPAC	B	2,258.04	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,231.31	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES TRUST ISHARES EDGE MSCI MIN	S	474.86	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ISHARES TRUST MSCI EMERGING	B	3,511.19	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	ISHARES TRUST S&P GLOBAL	S	141.28	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	ISHARES U S FINANCIAL SERVICES	B	3,418.22	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	J2 GLOBAL INC	B	975.49	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	JOHNSON & JOHNSON	B	1,130.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	JPMORGAN CHASE & CO	B	1,183.60	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LAKELAND FINANCIAL CORP	B	1,251.75	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LCI INDUSTRIES	B	517.20	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LIBERTY MEDIA CORPORATION	B	722.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LIGAND PHARMACEUTICALS INC	B	1,225.69	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LITHIA MOTORS INC-CL A	B	587.60	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LIVE NATION ENTERTAINMENT INC	B	912.82	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	LYDALL INC	B	1,291.25	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	MEDIDATA SOLUTIONS INC	B	1,909.94	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	MERCADOLIBRE INC	B	1,284.31	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	META FINL GROUP INC	B	943.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	MIDDLEBY CORP	B	920.36	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/21/2017 #	PLOEN, ALLISON	MODINE MANUFACTURING CO	B	1,565.84	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	MOMENTA PHARMACEUTICALS INC	B	359.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	MONOLITHIC POWER SYS INC	B	573.84	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NATUS MEDICAL INC DEL	B	747.65	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NAUTILUS INC	B	863.99	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NAVIENT CORPORATION	B	562.87	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NEENAH PAPER INC	B	1,187.55	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NORDSON CORP	B	1,160.62	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NUTANIX INC CL A	B	1,129.60	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	NUVASIVE INC COMMON STOCK	B	1,293.77	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	OLD DOMINION FREIGHT LINES INC	B	1,279.48	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ON ASSIGNMENT INC	B	1,679.08	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	OTTER TAIL CORP	B	980.10	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	OWENS CORNING	B	642.02	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	PATRICK INDUSTRIES INC	B	2,038.50	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	POLYONE CORP	B	1,146.08	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	POST HOLDINGS INC	B	854.15	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	POWER INTEGRATIONS INC	B	678.13	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	POWERSHARES EXCHANGE TRADED	S	591.92	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	POWERSHARES EXCHANGE-TRADED	S	324.84	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	POWERSHARES GLOBAL LISTED	S	248.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	PRESTIGE BRANDS HLDGS INC	B	356.14	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	PROOFPOINT INC	B	1,371.75	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	PULTEGROUP INC	B	1,588.01	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	REINSURANCE GROUP OF AMERICA	B	1,395.09	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	RPC INC	B	559.44	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	RSP PERMIAN INC	B	505.54	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SAIA INC	B	1,738.75	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SCHNITZER STEEL INDUSTRIES INC CL A B	B	1,230.16	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	SCHWAB STRATEGIC TR	S	207.48	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SECTOR SPDR TRUST	B	6,933.81	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	SECTOR SPDR TRUST SBI CONSUMER	B	2,048.31	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	5,511.83	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SECTOR SPDR TRUST SHS BEN INT TECHN B	B	11,618.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	SELECT SECTOR SPDR FUND	B	4,364.69	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SELECT SECTOR SPDR FUND	B	7,035.21	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	SELECT SECTOR SPDR FUND SHS BEN INT B	B	6,003.18	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SELECT SECTOR SPDR FUND SHS BEN INT B	B	8,089.56	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	SELECT SECTOR SPDR TRUST SHS BEN IN S	S	1,074.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	9,446.71	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
PRODUCT CATEGORY: STOCKS										
12/21/2017 #	PLOEN, ALLISON	SILICON MOTION TECHNOLOGY CORPORATI B		477.99	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SOUTH JERSEY INDUSTRIES INC	B	986.16	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	SPDR INDEX SHARES FUNDS	S	104.25	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	SPDR INDEX SHS FDS	S	672.84	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SPDR SER TR S&P BIOTECH ETF	B	4,647.99	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SPDR SER TR S&P REGL BKG ETF	B	8,229.21	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	SPDR SERIES TRUST	S	208.81	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	UDVARDY, IMRE	SPDR SERIES TRUST	B	3,903.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SPDR SERIES TRUST	B	3,765.95	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	FRALEY, JACQUELINE	SPDR SERIES TRUST	B	2,721.38	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	HOKAMA, WAYSON	SPDR SERIES TRUST	B	6,679.75	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	UDVARDY, IMRE	SPDR SERIES TRUST BARCLAYS HIGH YIE B		17,441.27	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SPDR SERIES TRUST BARCLAYS HIGH YIE B		16,746.54	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	FRALEY, JACQUELINE	SPDR SERIES TRUST BARCLAYS HIGH YIE B		12,029.72	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	HOKAMA, WAYSON	SPDR SERIES TRUST BARCLAYS HIGH YIE B		29,800.07	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SPLUNK INC COM	B	1,007.04	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	STAMPS.COM INC	B	554.25	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	STEVEN MADDEN LTD	B	1,056.85	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SUPERIOR ENERGY SERVICES INC	B	234.94	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	SUPERNUS PHARMACEUTICALS INC COM	B	734.30	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TELIGENT INC	B	234.35	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TERADATA CORP	B	623.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TESARO INC	B	234.69	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TIMKEN CO	B	1,423.18	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TOOTSIE ROLL INDUSTRIES INC	B	999.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TRIMBLE INC	B	1,259.69	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	TTM TECHNOLOGIES INC	B	1,179.84	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	U S CONCRETE INC	B	1,110.90	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	ULTIMATE SOFTWARE GROUP INC	B	657.29	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	UNITED COMMUNITY BANKS INC	B	1,460.12	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	UNITED STS COMMODITY INDEX FD COMMO S		412.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VAIL RESORTS INC	B	651.78	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	VANGUARD INDEX FUNDS	S	295.92	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VANGUARD INDEX FUNDS	B	5,800.07	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VANGUARD INDEX FUNDS SMALL-CAP VALU B		2,263.17	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	VANGUARD INDEX FUNDS VANGUARD HIGH S		514.82	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	VANGUARD INTERNATIONAL EQUITY INDEX B		721.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	NICHOLSON, JAMES	VANGUARD INTL EQUITY IND FD FTSE AL B		6,623.99	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, LYNN	VANGUARD RUSSELL 1000	S	8,053.31	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	MORRELL, LYNN	VANGUARD RUSSELL 1000	S	6,960.01	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
12/21/2017 #	MORRELL, LYNN	VANGUARD RUSSELL 2000	S	1,233.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VANGUARD SECTOR INDEX FDS	B	11,723.43	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VANGUARD SECTOR INDEX FDS VANGUARD	B	5,844.40	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	IDEKER, CHRIS	VANGUARD SECTOR INDEX FDS VANGUARD	S	116.01	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VANGUARD SECTOR INDEX FDS VANGUARD	B	9,371.46	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VANGUARD TAX-MANAGED FD MSCI EAFE E	B	5,793.45	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	VEEVA SYSTEMS INC	B	843.45	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	WABTEC CORP	B	723.31	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	WAGEWORKS INC	B	308.25	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	WERNER ENTERPRISES INC	B	778.00	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	WESTERN ALLIANCE	B	991.91	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	WINTRUST FINANCIAL CORP	B	839.80	0.00	0.00	0.00	0.00	89.0	0.00
12/21/2017 #	PLOEN, ALLISON	WYNDHAM WORLDWIDE CORPORATION	B	1,022.65	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	HOKAMA, WAYSON	ISHARES CORE S&P 500 ETF	B	5,116.13	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	IDEKER, CHRIS	ISHARES IBOX \$ HIGH YIELD	B	24,959.41	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	HOKAMA, WAYSON	ISHARES TRUST BARCLAYS U S AGGR BON	B	1,632.83	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	IDEKER, CHRIS	SPDR SERIES TRUST	B	4,593.62	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	IDEKER, CHRIS	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	20,385.64	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	MORRELL, LYNN	VANGUARD INDEX FUNDS VANGUARD EXTEN	B	2,796.75	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	MORRELL, LYNN	VANGUARD S&P 500 ETF	B	12,588.33	0.00	0.00	0.00	0.00	89.0	0.00
12/22/2017 #	MORRELL, LYNN	VANGUARD TOTAL INTERNATIONAL BOND E	B	550.00	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	APPLE INC	B	2,567.55	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	BARCLAYS PLC ADR	B	2,672.60	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	EQUINIX INC COM PAR \$0.001 REIT	B	2,224.20	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	ISHARES TRUST DOW JONES US AEROSPACE	B	2,071.74	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	ISHARES TRUST MSCI EMERGING	B	3,200.90	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	JOHNSON & JOHNSON	B	2,099.85	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	JPMORGAN CHASE & CO	B	2,136.00	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SECTOR SPDR TRUST	B	6,424.94	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SECTOR SPDR TRUST SHS BEN INT TECHN	B	10,648.07	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SELECT SECTOR SPDR FUND	B	6,440.04	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SELECT SECTOR SPDR FUND SHS BEN INT	B	7,551.86	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SELECT SECTOR SPDR TRUST SHS BEN IN	B	8,631.67	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SPDR SER TR S&P BIOTECH ETF	B	4,328.87	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	SPDR SER TR S&P REGL BKG ETF	B	7,424.93	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	PLOEN, ALLISON	TRACTOR SUPPLY CO	B	828.71	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	VANGUARD INDEX FUNDS	B	5,371.68	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	VANGUARD INDEX FUNDS SMALL-CAP VALU	B	2,130.36	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	VANGUARD SECTOR INDEX FDS	B	10,658.24	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 #	MORRELL, BRIAN	VANGUARD SECTOR INDEX FDS VANGUARD	B	5,423.46	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK NEW DODDS - LPCM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

12/26/2017 # MORRELL, BRIAN	VANGUARD SECTOR INDEX FDS VANGUARD B	8,589.75	0.00	0.00	0.00	0.00	89.0	0.00
12/26/2017 # MORRELL, BRIAN	VANGUARD TAX-MANAGED FD MSCI EAFE E B	5,353.80	0.00	0.00	0.00	0.00	89.0	0.00
* STOCKS SUBTOTAL *		\$1,055,163.21	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: RIA

12/26/2017 #BURKHOLDER BURKHOLDER,	CREDIT CARD FEES B	0.00	49.00	0.00	0.00	49.00	89.0	43.61
* RIA SUBTOTAL *		\$0.00	\$49.00	\$0.00	\$0.00	\$49.00		\$43.61
*** BROKER TRANSACTIONS TOTAL ***		\$1,200,085.20	\$49.00	\$0.00	\$0.00	\$49.00		\$43.61

BROKER COMMISSION TOTALS

Broker Transactions	\$43.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$43.61
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