



COMMISSION STATEMENT

August 20, 2018

HOME OFFICE PASCALE

BROKER #: 981A

BRANCH #01001 - LifeMark Home Office

, NY

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|--------------------------------|---------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: GARRETT MORETZ</u> | | | | | | | | |
| 08/20/2018 | ***-**-36CL - MORETZ, CLIENTS | EQIS ADVISOR FEES | 0.00 | 1,348.26 | 0.00 | 1,348.26 | 0.5 | 6.74 |
| | | BUY 1 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-29FB - ANTHONY, APRIL | EQIS ADVISOR FEES | 82.07 | 82.07 | 0.00 | 82.07 | 0.5 | 0.41 |
| | ACCOUNT #: RB5070200L | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-86FB - ARRINGTON, ROGER | EQIS ADVISOR FEES | 84.29 | 84.29 | 0.00 | 84.29 | 0.5 | 0.42 |
| | ACCOUNT #: RH0813100D | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-86FB - ARRINGTON, ROGER | EQIS ADVISOR FEES | 68.71 | 68.71 | 0.00 | 68.71 | 0.5 | 0.34 |
| | ACCOUNT #: RH24513009 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-71FB - BEDDOW, DONALD | EQIS ADVISOR FEES | 105.51 | 105.51 | 0.00 | 105.51 | 0.5 | 0.53 |
| | ACCOUNT #: RE1889900Z | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-71FB - BEDDOW, DONALD | EQIS ADVISOR FEES | 39.60 | 39.60 | 0.00 | 39.60 | 0.5 | 0.20 |
| | ACCOUNT #: RE1910700J | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-40FB - BOHNAKER, ANGELA | EQIS ADVISOR FEES | 40.91 | 40.91 | 0.00 | 40.91 | 0.5 | 0.20 |
| | ACCOUNT #: RC6490700F | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-95FB - BROWN, SUSAN | EQIS ADVISOR FEES | 81.99 | 81.99 | 0.00 | 81.99 | 0.5 | 0.41 |
| | ACCOUNT #: RD9347700L | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-57FB - CAMERY, DALE | EQIS ADVISOR FEES | 81.60 | 81.60 | 0.00 | 81.60 | 0.5 | 0.41 |
| | ACCOUNT #: RD90457005 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-57FB - CAMERY, DALE | EQIS ADVISOR FEES | 174.86 | 174.86 | 0.00 | 174.86 | 0.5 | 0.87 |
| | ACCOUNT #: RD9898400H | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-71FB - CARPENTIER, | EQIS ADVISOR FEES | 26.85 | 26.85 | 0.00 | 26.85 | 0.5 | 0.13 |
| | ACCOUNT #: RC9591500B | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-77FB - CLAYTON, HORACE | EQIS ADVISOR FEES | 178.25 | 178.25 | 0.00 | 178.25 | 0.5 | 0.89 |
| | ACCOUNT #: RD9998300X | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-68FB - CLAYTON, LINDA | EQIS ADVISOR FEES | 40.77 | 40.77 | 0.00 | 40.77 | 0.5 | 0.20 |
| | ACCOUNT #: RE0183300B | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-10FB - CLINGON, BILLY | EQIS ADVISOR FEES | 27.57 | 27.57 | 0.00 | 27.57 | 0.5 | 0.14 |
| | ACCOUNT #: RE18633003 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-10FB - CLINGON, BILLY | EQIS ADVISOR FEES | 37.76 | 37.76 | 0.00 | 37.76 | 0.5 | 0.19 |
| | ACCOUNT #: RE3069500F | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-10FB - CLINGON, BILLY | EQIS ADVISOR FEES | 42.26 | 42.26 | 0.00 | 42.26 | 0.5 | 0.21 |
| | ACCOUNT #: RH0640000T | BUY 0 Units @0.0000 | | | | | | |



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| <u>VERRIDE TRANSACTIONS (continued)</u> | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 08/21/2018 | ***-**-36FB - CLINGON, KAREN | EQIS ADVISOR FEES | 36.29 | 36.29 | 0.00 | 36.29 | 0.5 | 0.18 |
| | ACCOUNT #: RE3070500V | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-58FB - CLYMER, DEFOREST | EQIS ADVISOR FEES | 9.29 | 9.29 | 0.00 | 9.29 | 0.5 | 0.05 |
| | ACCOUNT #: RB48104005 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-41FB - COOPER, JOHN | EQIS ADVISOR FEES | 33.13 | 33.13 | 0.00 | 33.13 | 0.5 | 0.17 |
| | ACCOUNT #: RD5958000D | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-01FB - DAGENHART, JAMES | EQIS ADVISOR FEES | 53.16 | 53.16 | 0.00 | 53.16 | 0.5 | 0.27 |
| | ACCOUNT #: RD06254009 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-01FB - DAGENHART, JAMES | EQIS ADVISOR FEES | 45.32 | 45.32 | 0.00 | 45.32 | 0.5 | 0.23 |
| | ACCOUNT #: RD0777800L | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-21FB - DAVIS, SIMONE | EQIS ADVISOR FEES | 238.43 | 238.43 | 0.00 | 238.43 | 0.5 | 1.19 |
| | ACCOUNT #: RD9797800H | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-26FB - FARZALO, STEVE | EQIS ADVISOR FEES | 14.92 | 14.92 | 0.00 | 14.92 | 0.5 | 0.07 |
| | ACCOUNT #: RG9748700J | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-34FB - FOWLER, MILTON | EQIS ADVISOR FEES | 137.68 | 137.68 | 0.00 | 137.68 | 0.5 | 0.69 |
| | ACCOUNT #: RH1017400L | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-32FB - FRAZIER, WILLIAM | EQIS ADVISOR FEES | 6.31 | 6.31 | 0.00 | 6.31 | 0.5 | 0.03 |
| | ACCOUNT #: RB33734009 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-32FB - FRAZIER, WILLIAM | EQIS ADVISOR FEES | 30.65 | 30.65 | 0.00 | 30.65 | 0.5 | 0.15 |
| | ACCOUNT #: RB3372800H | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-68FB - GLAUDIN, EDDY | EQIS ADVISOR FEES | 31.55 | 31.55 | 0.00 | 31.55 | 0.5 | 0.16 |
| | ACCOUNT #: RB8331200P | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-39FB - GRINDLE, ROBERT | EQIS ADVISOR FEES | 123.75 | 123.75 | 0.00 | 123.75 | 0.5 | 0.62 |
| | ACCOUNT #: RE0934000F | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-77FB - HARRIS, | EQIS ADVISOR FEES | 47.29 | 47.29 | 0.00 | 47.29 | 0.5 | 0.24 |
| | ACCOUNT #: RE25241003 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-77FB - HARRIS, | EQIS ADVISOR FEES | 130.88 | 130.88 | 0.00 | 130.88 | 0.5 | 0.65 |
| | ACCOUNT #: RE3069400N | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-48FB - HEFNER, EULA | EQIS ADVISOR FEES | 23.98 | 23.98 | 0.00 | 23.98 | 0.5 | 0.12 |
| | ACCOUNT #: RH0641100H | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-03FB - HINES, WALTER | EQIS ADVISOR FEES | 21.63 | 21.63 | 0.00 | 21.63 | 0.5 | 0.11 |
| | ACCOUNT #: RD57517005 | BUY 0 Units @0.0000 | | | | | | |



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| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 08/21/2018 | ***-**-84FB - HOLDER, DERRICK | EQIS ADVISOR FEES | 116.23 | 116.23 | 0.00 | 116.23 | 0.5 | 0.58 |
| | ACCOUNT #: RA4189700Z | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-84FB - HOLDER, DERRICK | EQIS ADVISOR FEES | 56.38 | 56.38 | 0.00 | 56.38 | 0.5 | 0.28 |
| | ACCOUNT #: RA41927007 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-45FB - HULL, DEBORAH | EQIS ADVISOR FEES | 14.93 | 14.93 | 0.00 | 14.93 | 0.5 | 0.07 |
| | ACCOUNT #: RG98804003 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-13FB - HULL, GARY | EQIS ADVISOR FEES | 67.96 | 67.96 | 0.00 | 67.96 | 0.5 | 0.34 |
| | ACCOUNT #: RE2367800J | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-98FB - HUNTER, JEFFREY | EQIS ADVISOR FEES | 17.90 | 17.90 | 0.00 | 17.90 | 0.5 | 0.09 |
| | ACCOUNT #: RH0076800D | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-26FB - KOMINEK, DAVID | EQIS ADVISOR FEES | 115.81 | 115.81 | 0.00 | 115.81 | 0.5 | 0.58 |
| | ACCOUNT #: RD9045800X | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-27FB - KULIKOWSKI, SARA | EQIS ADVISOR FEES | 11.52 | 11.52 | 0.00 | 11.52 | 0.5 | 0.06 |
| | ACCOUNT #: RC4594100B | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-88FB - LITTLETON, | EQIS ADVISOR FEES | 41.76 | 41.76 | 0.00 | 41.76 | 0.5 | 0.21 |
| | ACCOUNT #: RB0358400H | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-94FB - MARQUIS, ALAN | EQIS ADVISOR FEES | 41.41 | 41.41 | 0.00 | 41.41 | 0.5 | 0.21 |
| | ACCOUNT #: RE3062300N | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-65FB - MCINTYRE, SHARON | EQIS ADVISOR FEES | 35.65 | 35.65 | 0.00 | 35.65 | 0.5 | 0.18 |
| | ACCOUNT #: RD90434001 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-65FB - MCINTYRE, SHARON | EQIS ADVISOR FEES | 89.43 | 89.43 | 0.00 | 89.43 | 0.5 | 0.45 |
| | ACCOUNT #: RD9897700X | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-77FB - MCKAIG, MARK | EQIS ADVISOR FEES | 20.84 | 20.84 | 0.00 | 20.84 | 0.5 | 0.10 |
| | ACCOUNT #: RD5247000H | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-77FB - MCKAIG, MARK | EQIS ADVISOR FEES | 19.80 | 19.80 | 0.00 | 19.80 | 0.5 | 0.10 |
| | ACCOUNT #: RD52495005 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-59FB - MCKAIG, PATRICIA | EQIS ADVISOR FEES | 62.40 | 62.40 | 0.00 | 62.40 | 0.5 | 0.31 |
| | ACCOUNT #: RD5092500P | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-59FB - MCKAIG, PATRICIA | EQIS ADVISOR FEES | 14.33 | 14.33 | 0.00 | 14.33 | 0.5 | 0.07 |
| | ACCOUNT #: RD5249700P | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-64FB - MERLO, RALPH | EQIS ADVISOR FEES | 76.85 | 76.85 | 0.00 | 76.85 | 0.5 | 0.38 |
| | ACCOUNT #: RB5869400H | BUY 0 Units @0.0000 | | | | | | |



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| OVERRIDE TRANSACTIONS (continued) | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 08/21/2018 | ***-**-64FB - MERLO, RALPH | EQIS ADVISOR FEES | 6.31 | 6.31 | 0.00 | 6.31 | 0.5 | 0.03 |
| | ACCOUNT #: RB5866400T | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-70FB - FERRELL, | EQIS ADVISOR FEES | 52.36 | 52.36 | 0.00 | 52.36 | 0.5 | 0.26 |
| | ACCOUNT #: RB50708009 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-759I - MERRILL, SARA | EQIS ADVISOR FEES | 24.58 | 24.58 | 0.00 | 24.58 | 0.5 | 0.12 |
| | ACCOUNT #: RB5875400D | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-58FB - NELSON-GAINES, | EQIS ADVISOR FEES | 13.33 | 13.33 | 0.00 | 13.33 | 0.5 | 0.07 |
| | ACCOUNT #: RH0166600L | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-06FB - PARSONS, CLARE | EQIS ADVISOR FEES | 68.19 | 68.19 | 0.00 | 68.19 | 0.5 | 0.34 |
| | ACCOUNT #: RG9534800V | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-06FB - PARSONS, CLARE | EQIS ADVISOR FEES | 23.49 | 23.49 | 0.00 | 23.49 | 0.5 | 0.12 |
| | ACCOUNT #: RG9885700V | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-77FB - PECK, GORDON | EQIS ADVISOR FEES | 33.90 | 33.90 | 0.00 | 33.90 | 0.5 | 0.17 |
| | ACCOUNT #: RE14731003 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-98FB - PFYFFER, ALBERT | EQIS ADVISOR FEES | 39.84 | 39.84 | 0.00 | 39.84 | 0.5 | 0.20 |
| | ACCOUNT #: RE1500600F | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-43FB - RODES, KENNETH | EQIS ADVISOR FEES | 88.53 | 88.53 | 0.00 | 88.53 | 0.5 | 0.44 |
| | ACCOUNT #: RE1686200F | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-13FB - RUSSELL, MARK | EQIS ADVISOR FEES | 32.93 | 32.93 | 0.00 | 32.93 | 0.5 | 0.16 |
| | ACCOUNT #: RG9722700B | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-51FB - SCHMITT, CAROL | EQIS ADVISOR FEES | 77.80 | 77.80 | 0.00 | 77.80 | 0.5 | 0.39 |
| | ACCOUNT #: RD9797400D | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-67FB - SCHMITT, EDWARD | EQIS ADVISOR FEES | 15.63 | 15.63 | 0.00 | 15.63 | 0.5 | 0.08 |
| | ACCOUNT #: RE0237700Z | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-52FB - SCHNABEL, | EQIS ADVISOR FEES | 71.53 | 71.53 | 0.00 | 71.53 | 0.5 | 0.36 |
| | ACCOUNT #: RH00769005 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-94FB - SWAN, ANDREW | EQIS ADVISOR FEES | 35.87 | 35.87 | 0.00 | 35.87 | 0.5 | 0.18 |
| | ACCOUNT #: RE0718400R | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-97FB - SWAN, MELANIE | EQIS ADVISOR FEES | 24.91 | 24.91 | 0.00 | 24.91 | 0.5 | 0.12 |
| | ACCOUNT #: RE0718300Z | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-38FB - TOLLIVER, GLORIA | EQIS ADVISOR FEES | 6.29 | 6.29 | 0.00 | 6.29 | 0.5 | 0.03 |
| | ACCOUNT #: RD5984200P | BUY 0 Units @0.0000 | | | | | | |



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| <u> OVERRIDE TRANSACTIONS (continued) </u> | | | | | | | | |
| <u>BROKER: GARRETT MORETZ (continued)</u> | | | | | | | | |
| 08/21/2018 | ***-**-29FB - TOLLIVER, SHANE | EQIS ADVISOR FEES | 8.99 | 8.99 | 0.00 | 8.99 | 0.5 | 0.04 |
| | ACCOUNT #: RD95555005 | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-66FB - WHITE, BARBARA | EQIS ADVISOR FEES | 5.73 | 5.73 | 0.00 | 5.73 | 0.5 | 0.03 |
| | ACCOUNT #: RE0718500J | BUY 0 Units @0.0000 | | | | | | |
| 08/21/2018 | ***-**-72FB - YOUNG, TAMMY | EQIS ADVISOR FEES | 180.55 | 180.55 | 0.00 | 180.55 | 0.5 | 0.90 |
| | ACCOUNT #: RH03043009 | BUY 0 Units @0.0000 | | | | | | |
| * GARRETT MORETZ SUBTOTAL * | | | \$3,711.22 | \$5,059.48 | \$0.00 | \$5,059.48 | | \$25.27 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$3,711.22 | \$5,059.48 | \$0.00 | \$5,059.48 | | \$25.27 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$25.27 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$12.39 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$37.66 |
| Year-to-date Earnings | \$8,989.39 |