



# COMMISSION STATEMENT

June 04, 2018

DAVID GRIFFITH  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	EQUITY INCOME FUND, INC. A SELL 561 Units @31.6900	17,786.90	24.99	18.00	6.99	88.0	6.15
05/29/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT ALPHA STRATEGY CL C BUY 633 Units @23.6900	15,000.01	19.99	6.00	13.99	88.0	12.31
05/29/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT GROWTH OPPORTUNITIES CL BUY 951 Units @15.7800	14,999.99	19.99	6.00	13.99	88.0	12.31
05/29/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT SHORT DURATION INCOME C SELL 3546 Units @4.2300	15,000.00	0.00	6.00	-6.00	100.0	-6.00
05/29/2018	***-**-762I - STORSBERG, ACCOUNT #: LSR011688	LORD ABBETT SHORT DURATION INCOME C SELL 3546 Units @4.2300	15,000.00	0.00	6.00	-6.00	100.0	-6.00
05/29/2018	***-**-510I - PEREZ, STACEY ACCOUNT #: LSR011827	OPPENHEIMER IMERSTEELPATRH ALPHA Y- SELL 671 Units @7.5700	5,081.36	19.99	18.00	1.99	88.0	1.75
05/22/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: 00551360517	PUTNAM CAPITAL SPECTRUM FUND CL C BUY 1 Units @31.0800	18.65	0.19	0.00	0.19	88.0	0.17
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	PUTNAM DIVERSIFIED INCOME-B SELL 4078 Units @6.9700	28,426.09	18.00	18.00	0.00	88.0	0.00
05/22/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: 00551360517	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @35.6900	18.63	0.19	0.00	0.19	88.0	0.17
05/22/2018	***-**-522I - RIEBEN, HENRY ACCOUNT #: 00551360517	PUTNAM GLOBAL UTILITIES CL C BUY 1 Units @13.1600	18.65	0.19	0.00	0.19	88.0	0.17
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	TRANSAMERICA MLP & ENERGY INCOME CL SELL 2768 Units @7.3800	20,425.49	24.99	18.00	6.99	88.0	6.15
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$131,775.77</b>	<b>\$128.52</b>	<b>\$96.00</b>	<b>\$32.52</b>		<b>\$27.18</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	COVANTA HLDG CORP COM SELL 300 Units @16.4000	4,920.00	97.49	21.00	76.49	88.0	67.31
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	CREDIT SUISSE GROUP SPON ADR-REP 1 SELL 300 Units @15.4200	4,626.00	87.49	21.00	66.49	88.0	58.51
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	GENERAL ELECTRIC CO UNSOLICITED ORD SELL 143 Units @14.1250	2,019.88	49.99	17.86	32.13	88.0	28.27
05/30/2018	***-**-8410 - HULL, ELMINA ACCOUNT #: LSR012377	GLAXOSMITHKLINE ADR EACH CNV INTO 2 SELL 204 Units @40.3400	8,229.36	162.49	19.08	143.41	88.0	126.20



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
05/30/2018	***-**-8410 - HULL, ELMINA	ISHARES SILVER TR ISHARES UNSOLICIT	1,703.90	49.99	17.20	32.79	88.0	28.86
	ACCOUNT #: LSR012377	SELL 110 Units @15.4900						
05/30/2018	***-**-8410 - HULL, ELMINA	NORDIC AMERICAN OFFSHORE LTD(BM) CO	31.92	26.91	15.56	11.35	88.0	9.99
	ACCOUNT #: LSR012377	SELL 28 Units @1.1400						
05/30/2018	***-**-8410 - HULL, ELMINA	NORDIC AMERICAN TANKERS LIMITE COM	1,575.00	49.99	29.00	20.99	88.0	18.47
	ACCOUNT #: LSR012377	SELL 700 Units @2.2500						
05/29/2018	***-**-22IN - GRACIE, JULIA	VALE S.A. SPONS ADR REPR 1 COM NPV	2,976.60	57.49	19.40	38.09	88.0	33.52
	ACCOUNT #: LSR011019	SELL 220 Units @13.5300						
05/30/2018	***-**-8410 - HULL, ELMINA	VERIZON COMMUNICATIONS UNSOLICITED	5,136.76	97.49	17.12	80.37	88.0	70.73
	ACCOUNT #: LSR012377	SELL 106 Units @48.4600						
05/30/2018	***-**-8410 - HULL, ELMINA	WALMART STORES INC UNSOLICITED ORDE	8,288.00	157.49	17.00	140.49	88.0	123.63
	ACCOUNT #: LSR012377	SELL 100 Units @82.8800						
* STOCKS SUBTOTAL *			<b>\$39,507.42</b>	<b>\$836.82</b>	<b>\$194.22</b>	<b>\$642.60</b>		<b>\$565.49</b>
<b>PRODUCT CATEGORY: 529 PLAN</b>								
05/31/2018	***-**-804I - RIEBEN, ANNE	NYA JPM 529 AGGR AGE-BASE C	50.00	0.50	0.00	0.50	88.0	0.44
		BUY 0 Units @0.0000						
05/31/2018	***-**-93JT - WADSWORTH,	NYA JPM 529 ALL FIXED INCOME PO A	100.00	4.05	0.00	4.05	88.0	3.56
	ACCOUNT #: 77296639001	BUY 0 Units @0.0000						
05/31/2018	***-**-29IO - LORUSSO, VINCENT	NYA JPM 529 EQUITY INCOME PORTF C	50.00	0.50	0.00	0.50	88.0	0.44
	ACCOUNT #: 77089153301	BUY 0 Units @0.0000						
05/31/2018	***-**-0862 - REDMOND, EILEEN	NYA JPM 529 EQUITY INCOME PORTF C	50.00	0.52	0.00	0.52	88.0	0.46
	ACCOUNT #: 77172702502	BUY 0 Units @0.0000						
05/31/2018	***-**-225P - DAMSKY, CYNTHIA	NYA JPM 529 EQUITY INCOME PORTF C	50.00	0.50	0.00	0.50	88.0	0.44
		BUY 0 Units @0.0000						
06/04/2018	***-**-225P - DAMSKY, CYNTHIA	NYA JPM 529 EQUITY INCOME PORTF C	30.00	0.30	0.00	0.30	88.0	0.26
		BUY 0 Units @0.0000						
06/04/2018	***-**-0862 - REDMOND, EILEEN	NYA JPM 529 MOD GROWTH POR C	75.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: 77172702501	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<b>\$405.00</b>	<b>\$7.12</b>	<b>\$0.00</b>	<b>\$7.12</b>		<b>\$6.26</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/31/2018	***-**-32IN - CROYLE, MICAH	FIDELITY CASH MM	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR010894	BUY 1 Units @0.0000						
05/31/2018	***-**-580I - GRIFFITH, DAVID	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSF000292	BUY 1 Units @0.0000						
05/31/2018	***-**-0040 - GRIFFITH,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSF000293	BUY 1 Units @0.0000						
05/31/2018	***-**-580I - GRIFFITH, DAVID	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSF000295	BUY 1 Units @0.0000						
05/31/2018	***-**-54IN - THIBAUT, MS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011080	BUY 1 Units @0.0000						
05/31/2018	***-**-29IN - THIBAUT,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011094	BUY 1 Units @0.0000						
05/31/2018	***-**-698J - BAILEY, MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011097	BUY 1 Units @0.0000						
05/31/2018	***-**-77IR - MARRONE, FBO MR	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	88.0	0.00
	ACCOUNT #: LSR011158	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT FNDAMNTL EQUITY FD CL C	0.00	4.02	0.00	4.02	88.0	3.54
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT GROWTH OPPORTUNITIES CL	0.00	4.26	0.00	4.26	88.0	3.75
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT INTL DIVIDEND INC CL C	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT MULTI ASSET BALANCED OP	0.00	0.96	0.00	0.96	88.0	0.84
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT MULTI ASSET GLOBAL OPP	0.00	1.34	0.00	1.34	88.0	1.18
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT SHORT DURATION INCOME C	0.00	7.72	0.00	7.72	88.0	6.79
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-61IN - FILEY, JOHN	LORD ABBETT SHORT DURATION INCOME C	0.00	3.62	0.00	3.62	88.0	3.19
	ACCOUNT #: LSR011723	BUY 1 Units @0.0000						
05/31/2018	***-**-03TR - BOSHART, PEGGY	LORD ABBETT SHORT DURATION INCOME C	0.00	3.30	0.00	3.30	88.0	2.90
	ACCOUNT #: LSR011743	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/31/2018	***-**-03IN - BOSHART, PEGGY	LORD ABBETT SHORT DURATION INCOME C	0.00	1.65	0.00	1.65	88.0	1.45
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
05/31/2018	***-**-03IR - BOSHART, PEGGY	LORD ABBETT SHORT DURATION INCOME C	0.00	1.10	0.00	1.10	88.0	0.97
	ACCOUNT #: LSR011749	BUY 1 Units @0.0000						
05/31/2018	***-**-762I - STORSBERG,	LORD ABBETT VALUE OPPORTUNITY FD CL	0.00	6.58	0.00	6.58	88.0	5.79
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
05/31/2018	***-**-656I - SMOLA, STANLEY	MUTUAL SHRS FND - CL C	0.00	2.06	0.00	2.06	88.0	1.81
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
05/22/2018	***-**-522I - RIEBEN, HENRY	PUTNAM MF TRAILS 37252M306	18.65	0.19	0.00	0.19	88.0	0.17
	ACCOUNT #: 00551360517	BUY 1 Units @19.9600						
05/31/2018	***-**-423I - SAVICKI, RICHARD	TEMPLETON EMERGING MARKETS BALANCED	0.00	8.13	0.00	8.13	88.0	7.15
	ACCOUNT #: LSR010889	BUY 1 Units @0.0000						
05/31/2018	***-**-425I - PERRITANO,	TEMPLETON EMERGING MARKETS BALANCED	0.00	12.54	0.00	12.54	88.0	11.04
	ACCOUNT #: LSR010922	BUY 1 Units @0.0000						
05/31/2018	***-**-319I - HILLEBRAND, JOAN	TEMPLETON EMERGING MARKETS BALANCED	0.00	8.92	0.00	8.92	88.0	7.85
	ACCOUNT #: LSR011002	BUY 1 Units @0.0000						
05/31/2018	***-**-973I - CHAMBERS, ROGER	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	5.87	0.00	5.87	88.0	5.17
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
05/31/2018	***-**-05IN - HULL, JEFFERY	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	4.30	0.00	4.30	88.0	3.78
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
05/31/2018	***-**-656I - SMOLA, STANLEY	VOYA GLOBAL REAL ESTATE CLASS C	0.00	5.13	0.00	5.13	88.0	4.51
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$18.65</b>	<b>\$82.52</b>	<b>\$0.00</b>	<b>\$82.52</b>		<b>\$72.61</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$171,706.84</b>	<b>\$1,054.98</b>	<b>\$290.22</b>	<b>\$764.76</b>		<b>\$671.54</b>



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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: KURT GRUENEWALD</u></b>								
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	DEUTSCHE ENHANCED COMMODITY STRAT- SELL 91 Units @12.3400	1,118.90	1.99	0.00	1.99	88.0	1.75
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	DEUTSCHE GLOBAL REAL ESTATESECURITI SELL 177 Units @9.1900	1,623.51	1.99	0.00	1.99	88.0	1.75
05/25/2018	***-**-31IO - JOSEPH, ANN ACCOUNT #: LSR012337	EXXON MOBIL CORP UNSOLICITED ORDER BUY 100 Units @78.4000	7,840.00	79.40	0.00	79.40	88.0	69.87
05/31/2018	***-**-32IO - WITT, DEBORAH ACCOUNT #: LSR012285	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	88.0	0.44
05/31/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	FRANKLIN BIOTECH DISCOVERY FUND CL BUY 1 Units @0.0000	0.00	7.23	0.00	7.23	88.0	6.36
05/31/2018	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	FRANKLIN CONVERTIBLE SECURITIES CLA BUY 1 Units @0.0000	0.00	9.09	0.00	9.09	88.0	8.00
05/31/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	FRANKLIN GROWTH FUND CLASS A BUY 1 Units @0.0000	0.00	2.06	0.00	2.06	88.0	1.81
05/31/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	FRANKLIN INCOME FUND CLASS A BUY 1 Units @0.0000	0.00	1.17	0.00	1.17	88.0	1.03
05/31/2018	***-**-61IN - EVANS, DOLORES ACCOUNT #: LSR011023	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	88.0	0.59
05/31/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	5.51	0.00	5.51	88.0	4.85
05/31/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	7.05	0.00	7.05	88.0	6.20
05/31/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	9.39	0.00	9.39	88.0	8.26
05/31/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	8.61	0.00	8.61	88.0	7.58
05/31/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	7.09	0.00	7.09	88.0	6.24
05/31/2018	***-**-531J - WILLIAMSON, ACCOUNT #: LSR011716	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	1.67	0.00	1.67	88.0	1.47
05/31/2018	***-**-00IN - FRANZ, JEAN ACCOUNT #: LSR011796	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	38.02	0.00	38.02	88.0	33.46



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
05/31/2018	***-**-3660 - GRUENEWALD, ACCOUNT #: LSR010987	FRANKLIN NEW YORK TAX-FREE INCOME F BUY 1 Units @0.0000	0.00	0.16	0.00	0.16	88.0	0.14
05/31/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	FRK GROWTH OPPORTUNITIES CL C BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	88.0	1.83
05/31/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	FRK GROWTH OPPORTUNITIES CL C BUY 1 Units @0.0000	0.00	3.19	0.00	3.19	88.0	2.81
05/31/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	FRNKLN BALANCE SHEET INVTMNT FND - BUY 1 Units @0.0000	0.00	7.88	0.00	7.88	88.0	6.93
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JANUS FLEXIBLE BOND CLASS I SELL 353 Units @10.0600	3,552.98	1.99	0.00	1.99	88.0	1.75
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JOHN HANCOCK DISCIPL INED VALUE MID SELL 119 Units @23.4800	2,796.44	1.99	0.00	1.99	88.0	1.75
05/30/2018	***-**-805X - GATES, ROGER ACCOUNT #: LSR012690	JPMORGAN HIGH YIELD BOND FUND CLASS SELL 289 Units @7.2000	2,077.75	1.99	0.00	1.99	88.0	1.75
05/29/2018	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	LORD ABBETT ALPHA STRATEGY CL C BUY 338 Units @23.6900	7,999.99	13.99	0.00	13.99	88.0	12.31
05/31/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	18.43	0.00	18.43	88.0	16.22
05/31/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	9.38	0.00	9.38	88.0	8.25
05/31/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	12.42	0.00	12.42	88.0	10.93
05/31/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	23.57	0.00	23.57	88.0	20.74
05/31/2018	***-**-439J - MCCARTHY, JAMES ACCOUNT #: LSR011205	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	88.0	1.62
05/31/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	12.37	0.00	12.37	88.0	10.89
05/31/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	9.21	0.00	9.21	88.0	8.10
05/31/2018	***-**-531J - WILLIAMSON, ACCOUNT #: LSR011716	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	4.57	0.00	4.57	88.0	4.02



# COMMISSION STATEMENT

June 04, 2018

DAVID GRIFFITH  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A76  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: KURT GRUENEWALD (continued)</u></b>								
05/31/2018	***-**-20IO - DEMETRI, AMELIA	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	1.50	0.00	1.50	88.0	1.32
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
05/29/2018	***-**-930I - SANGER, KEVIN	NORDIC AMERICAN TANKERS LIMITE COM	3,270.00	12.49	0.00	12.49	88.0	10.99
	ACCOUNT #: LSR010941	BUY 1500 Units @2.1800						
05/30/2018	***-**-805X - GATES, ROGER	NUVEEN REAL ESTATE CLASS I	1,026.70	1.99	0.00	1.99	88.0	1.75
	ACCOUNT #: LSR012690	SELL 52 Units @19.8600						
05/31/2018	***-**-0767 - DOVI,	NYA JPM 529 AGGR PORTI C	25.00	0.25	0.00	0.25	88.0	0.22
	ACCOUNT #: 77579193101	BUY 0 Units @0.0000						
05/31/2018	***-**-944O - MAYO, DEBORAH	NYA JPM 529 MOD GROWTH AGE A	50.00	2.38	0.00	2.38	88.0	2.09
	ACCOUNT #: 77002217601	BUY 0 Units @0.0000						
05/31/2018	***-**-473J - HUSTON,	NYA JPM 529 MOD GROWTH POR C	400.00	4.00	0.00	4.00	88.0	3.52
	ACCOUNT #: 77852732401	BUY 0 Units @0.0000						
05/30/2018	***-**-805X - GATES, ROGER	PIMCO EMERGING MARKETS BOND INSTL-N	1,538.16	1.99	0.00	1.99	88.0	1.75
	ACCOUNT #: LSR012690	SELL 152 Units @10.1300						
05/23/2018	***-**-31IO - JOSEPH, ANN	REALTY INCOME CORP COMMON STOCK	5,300.00	54.00	0.00	54.00	88.0	47.52
	ACCOUNT #: LSR012337	BUY 100 Units @53.0000						
05/23/2018	***-**-513I - RHYMESTINE,	REALTY INCOME CORP COMMON STOCK	5,300.00	54.00	0.00	54.00	88.0	47.52
	ACCOUNT #: LSR012457	BUY 100 Units @53.0000						
05/31/2018	***-**-86IN - LEE, KEVIN	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	3.84	0.00	3.84	88.0	3.38
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
05/21/2018	***-**-755P - SHEPPARD,	THE GROWTH FUND OF AMERICA-529C	50.00	0.50	0.00	0.50	88.0	0.44
	ACCOUNT #: 00084018452	BUY 1 Units @49.6900						
05/29/2018	***-**-579J - RHYMESTINE,	THE GROWTH FUND OF AMERICA-C	7,999.99	80.00	0.00	80.00	88.0	70.40
	ACCOUNT #: LSR011081	BUY 164 Units @48.8200						
05/30/2018	***-**-805X - GATES, ROGER	VIRRTUS VONTOBEL EMERG MKTS OPPS I-	1,590.83	1.99	0.00	1.99	88.0	1.75
	ACCOUNT #: LSR012690	SELL 139 Units @11.4600						
05/31/2018	***-**-680I - HARRELL, DARYL	VOYA GLOBAL EQUITY DIVIDEND CLASS A	0.00	1.79	0.00	1.79	88.0	1.58
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
05/31/2018	***-**-702I - KOCHER, MICHAEL	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
05/31/2018	***-**-959I - FRANZ, PAUL	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.09	0.00	0.09	88.0	0.08
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

June 04, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> BROKER: KURT GRUENEWALD (continued) </b>								
05/31/2018	***--30TR - AND SANDRA	VOYA GLOBAL REAL ESTATE CLASS A	0.00	0.76	0.00	0.76	88.0	0.67
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
05/31/2018	***--13IN - RHYMESTINE,	VOYA REAL ESTATE CLASS C	0.00	4.36	0.00	4.36	88.0	3.84
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
* KURT GRUENEWALD SUBTOTAL *			\$53,560.25	\$533.32	\$0.00	\$533.32		\$469.30
*** OVERRIDE TRANSACTIONS TOTAL ***			\$53,560.25	\$533.32	\$0.00	\$533.32		\$469.30

## BROKER COMMISSION TOTALS

Broker Transactions	\$671.54
Split Transactions	\$0.00
Override Transactions	\$469.30
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,140.84
Year-to-date Earnings	\$117,116.90