



# COMMISSION STATEMENT

## January 01, 2018

KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2017	***-**-326I - GRANDE, FBO MR	AMERICAN FUNDS BLNCD PORTFOLIO CL A	1,000.01	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011091	SELL 68 Units @14.8000						
12/27/2017	***-**-45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C	2,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 93 Units @32.3400						
12/27/2017	***-**-45IO - JOHNSON, GREGORY	INCOME FUND OF AMERICA CLASS C	4,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 174 Units @23.0500						
12/27/2017	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	4,999.99	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 327 Units @15.2700						
12/18/2017	***-**-53RI - FALCHI, VALERIE	MFS GLOBAL TOTAL RETURN FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191172002	BUY 3 Units @18.7600						
12/27/2017	***-**-52IR - ROBERTS, LILLIAN	MFS GLOBAL TOTAL RETURN FUND-B	6,250.01	268.00	18.00	250.00	0.0	0.00
	ACCOUNT #: LSR012351	BUY 338 Units @18.4900						
12/27/2017	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	3,500.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 192 Units @18.2300						
12/15/2017	***-**-213I - GRUENEWALD,	MFS NEW DISCOVERY FUND-B	100.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: 08190131132	BUY 5 Units @21.9500						
12/15/2017	***-**-094I - CIRILLO, CARL	MFS UTILITIES FUND-B	35.00	1.40	0.00	1.40	0.0	0.00
	ACCOUNT #: 08190127967	BUY 2 Units @20.2500						
12/15/2017	***-**-606I - LEWIS, TAMARA	MFS UTILITIES FUND-B	50.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 08191352846	BUY 2 Units @20.2500						
12/27/2017	***-**-45IO - JOHNSON, GREGORY	OPPENHEIMER ACTIVE ALLOCATION FUND	4,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011421	SELL 280 Units @14.2800						
12/15/2017	***-**-63IN - LASCALA, DEBORAH	PUTNAM GLOBAL UTILITIES CL C	100.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: 00426855622	BUY 7 Units @13.8000						
12/27/2017	***-**-326I - GRANDE, FBO MR	THE INCOME FUND OF AMERICA-A	2,000.00	18.00	18.00	0.00	0.0	0.00
	ACCOUNT #: LSR011091	SELL 86 Units @23.3400						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$29,085.00</b>	<b>\$404.40</b>	<b>\$144.00</b>	<b>\$260.40</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/26/2017	***-**-87IN - HINCKLEY,	SEADRILL LTD USD2 UNSOLICITED ORDER	94.71	29.99	23.06	6.93	0.0	0.00
	ACCOUNT #: LSR010834	SELL 403 Units @0.2350						
12/26/2017	***-**-366S - GRUENEWALD,	SEADRILL LTD USD2 UNSOLICITED ORDER	47.00	29.99	19.00	10.99	0.0	0.00
	ACCOUNT #: LSR010990	SELL 200 Units @0.2350						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
12/26/2017	***-**-420T - DEMETRI, AMELIA	SEADRILL LTD USD2 UNSOLICITED ORDER	12.30	7.29	16.06	-8.77	100.0	-8.77
	ACCOUNT #: LSR010994	SELL 53 Units @0.2320						
12/26/2017	***-**-80IN - COBB, JAMES	SEADRILL LTD USD2 UNSOLICITED ORDER	118.00	29.99	25.00	4.99	0.0	0.00
	ACCOUNT #: LSR011042	SELL 500 Units @0.2360						
12/26/2017	***-**-89IN - DOVI, PAMELA	SEADRILL LTD USD2 UNSOLICITED ORDER	24.00	18.94	17.06	1.88	0.0	0.00
	ACCOUNT #: LSR011644	SELL 103 Units @0.2330						
* STOCKS SUBTOTAL *			\$296.01	\$116.20	\$100.18	\$16.02		\$-8.77
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/26/2017	***-**-702I - KOHEM, MICHAEL	37252M108	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
12/26/2017	***-**-680I - HARRELL, DARYL	37252M108	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
12/26/2017	***-**-22IO - CLINTON, STEPHEN	37252M108	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	BLACKROCK US OPPORT CL A	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-71IO - FAMOLARO, JAMES	CLEARBRIDGE AGGRESSIVE GROWTH A	0.00	0.46	0.00	0.46	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	DEVELOPING MARKETS FUND B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	DEVELOPING MARKETS FUND B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - ANACKER, FBO MRS	DEVELOPING MARKETS FUND B	0.00	1.04	0.00	1.04	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	DEVELOPING MARKETS FUND B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	DEVELOPING MARKETS FUND B	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOHEM, CLINTON	DEVELOPING MARKETS FUND B	0.00	2.34	0.00	2.34	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	EQUITY INCOME FUND, INC. A	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	0.0	0.00
12/26/2017	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	0.0	0.00
12/26/2017	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.97	0.00	1.97	0.0	0.00
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.18	0.00	2.18	0.0	0.00
12/26/2017	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.31	0.00	2.31	0.0	0.00
12/26/2017	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	0.0	0.00
12/26/2017	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	4.41	0.00	4.41	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.07	0.00	1.07	0.0	0.00
12/26/2017	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
12/26/2017	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	0.83	0.00	0.83	0.0	0.00
12/26/2017	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	EQUITY INCOME FUND, INC. B BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	0.0	0.00
12/26/2017	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	EQUITY INCOME FUND, INC. B BUY 1 Units @0.0000	0.00	2.44	0.00	2.44	0.0	0.00
12/26/2017	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	EQUITY INCOME FUND, INC. B BUY 1 Units @0.0000	0.00	5.78	0.00	5.78	0.0	0.00
12/26/2017	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	EQUITY INCOME FUND, INC. B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
12/26/2017	***-**-306X - COBB, CHERRYL ACCOUNT #: LSR011037	EQUITY INCOME FUND, INC. B BUY 1 Units @0.0000	0.00	1.90	0.00	1.90	0.0	0.00
12/26/2017	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	EQUITY INCOME FUND, INC. B BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-506J - CURRIERE JR, MR	EQUITY INCOME FUND, INC. B	0.00	1.08	0.00	1.08	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - ANACKER, FBO MRS	EQUITY INCOME FUND, INC. B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	EQUITY INCOME FUND, INC. B	0.00	2.04	0.00	2.04	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	EQUITY INCOME FUND, INC. B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	EQUITY INCOME FUND, INC. B	0.00	3.02	0.00	3.02	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-980I - ACEE, ELIZABETH	EQUITY INCOME FUND, INC. C	0.00	13.37	0.00	13.37	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
12/26/2017	***-**-03IO - MCVOY, ROBERT	EQUITY INCOME FUND, INC. C	0.00	6.03	0.00	6.03	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
12/26/2017	***-**-00IO - LEE, GARY	EQUITY INCOME FUND, INC. C	0.00	13.30	0.00	13.30	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
12/26/2017	***-**-28IN - BYWATER, KIM	EQUITY INCOME FUND, INC. C	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
12/26/2017	***-**-128I - BASHANT, THOMAS	GLOBAL FUND A	0.00	2.07	0.00	2.07	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
12/26/2017	***-**-094I - KATRENA L	GLOBAL OPPORTUNITIES FUND C	0.00	14.42	0.00	14.42	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	GOLD & SPECIAL MINERALS A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - EBERLEY, SUSAN	GOLD & SPECIAL MINERALS A	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
12/26/2017	***-**-930I - SANGER, KEVIN	GOLD & SPECIAL MINERALS A	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	GOLD & SPECIAL MINERALS A	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-702J - KOCHER, MICHAEL	GOLD & SPECIAL MINERALS A	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: LSR010955	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	0.0	0.00
12/26/2017	***-**-61IN - EVANS, DOLORES ACCOUNT #: LSR011023	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.18	0.00	0.18	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.53	0.00	0.53	0.0	0.00
12/26/2017	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.29	0.00	0.29	0.0	0.00
12/26/2017	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	0.0	0.00
12/26/2017	***-**-76IO - MCALLISTER, FBO ACCOUNT #: LSR011107	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
12/26/2017	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	1.37	0.00	1.37	0.0	0.00
12/26/2017	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	0.0	0.00
12/26/2017	***-**-62IN - EATON, DAVID ACCOUNT #: LSR011315	GOLD & SPECIAL MINERALS A BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
12/26/2017	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	GOLD & SPECIAL MINERALS FUND B BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	0.0	0.00
12/26/2017	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	GOLD & SPECIAL MINERALS FUND B BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	0.0	0.00
12/26/2017	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	GOLD & SPECIAL MINERALS FUND B BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	0.0	0.00
12/26/2017	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	GOLD & SPECIAL MINERALS FUND B BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	0.0	0.00
12/26/2017	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	GOLD & SPECIAL MINERALS FUND B BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	0.0	0.00
12/26/2017	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	0.0	0.00
12/26/2017	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.23	0.00	1.23	0.0	0.00
12/26/2017	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	0.0	0.00
12/26/2017	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	HARTFORD INFLATION PLUS FUND CLASS BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	0.0	0.00
12/26/2017	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	HARTFORD INFLATION PLUS FUND CLASS BUY 1 Units @0.0000	0.00	1.71	0.00	1.71	0.0	0.00
12/26/2017	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	0.0	0.00
12/26/2017	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	INTERNATIONAL BOND A BUY 1 Units @0.0000	0.00	3.33	0.00	3.33	0.0	0.00
12/26/2017	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	INTERNATIONAL SMALL COMPANY A BUY 1 Units @0.0000	0.00	1.36	0.00	1.36	0.0	0.00
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	INTERNATIONAL SMALL COMPANY A BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	0.0	0.00
12/26/2017	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	INTERNATIONAL SMALL COMPANY FUND B BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	0.0	0.00
12/26/2017	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	INTERNATIONAL SMALL COMPANY FUND B BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	0.0	0.00
12/26/2017	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	12.43	0.00	12.43	0.0	0.00
12/26/2017	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	9.40	0.00	9.40	0.0	0.00
12/26/2017	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	12.45	0.00	12.45	0.0	0.00
12/26/2017	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	23.95	0.00	23.95	0.0	0.00
12/26/2017	***-**-439J - MCCARTHY, JAMES ACCOUNT #: LSR011205	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	0.0	0.00
12/26/2017	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	12.40	0.00	12.40	0.0	0.00



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KURT GRUENEWALD  
2108 Genesee St.  
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BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-14IO - BUSHEY, MATTHEW	LORD ABBETT SHORT DURATION INCOME C	0.00	9.23	0.00	9.23	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	MAIN STREET FUND C	0.00	7.64	0.00	7.64	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	MFS ABSOLUTE RETURN FUND CLASS C	0.00	3.24	0.00	3.24	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS AGGRESS GROWTH ALLOCATION FD-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.32	0.00	9.32	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
12/26/2017	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.24	0.00	9.24	0.0	0.00
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
12/26/2017	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.19	0.00	4.19	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.70	0.00	17.70	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
12/26/2017	***-**-031O - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.34	0.00	4.34	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
12/26/2017	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.04	0.00	18.04	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	16.54	0.00	16.54	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	10.86	0.00	10.86	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.03	0.00	6.03	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
12/26/2017	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.34	0.00	9.34	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-837O - BASEMENT ACCOUNT #: LSR011046	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.74	0.00	4.74	0.0	0.00
12/26/2017	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	14.36	0.00	14.36	0.0	0.00
12/26/2017	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	17.86	0.00	17.86	0.0	0.00
12/26/2017	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	4.17	0.00	4.17	0.0	0.00
12/26/2017	***-**-06IR - CAMPBELL, PAMELA ACCOUNT #: LSR011222	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	6.25	0.00	6.25	0.0	0.00
12/26/2017	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	13.64	0.00	13.64	0.0	0.00
12/26/2017	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.33	0.00	9.33	0.0	0.00
12/26/2017	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	13.62	0.00	13.62	0.0	0.00
12/26/2017	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	30.34	0.00	30.34	0.0	0.00
12/26/2017	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	9.04	0.00	9.04	0.0	0.00
12/26/2017	***-**-37IO - MCCLUSKY, MARK ACCOUNT #: LSR011579	MFS CONSERVATIVE ALLOCATION CL C BUY 1 Units @0.0000	0.00	7.50	0.00	7.50	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS CONSERVATIVE ALLOCATION FUND-A BUY 1 Units @0.0000	0.00	0.41	0.00	0.41	0.0	0.00
12/26/2017	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00
12/26/2017	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	0.0	0.00
12/26/2017	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	5.47	0.00	5.47	0.0	0.00
12/26/2017	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	0.0	0.00





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.06	0.00	2.06	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
12/26/2017	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
12/26/2017	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	3.94	0.00	3.94	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.13	0.00	1.13	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-382K - SATTERLY, NEIL	MFS DIVERSIFIED INCOME FD CL C	0.00	4.53	0.00	4.53	0.0	0.00
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
12/26/2017	***-**-980I - ACEE, ELIZABETH	MFS DIVERSIFIED INCOME FD CL C	0.00	9.11	0.00	9.11	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
12/26/2017	***-**-72IN - GAVALO, JULIE	MFS DIVERSIFIED INCOME FD CL C	0.00	16.54	0.00	16.54	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
12/26/2017	***-**-73TR - WESTCOTT IRRVOC	MFS DIVERSIFIED INCOME FD CL C	0.00	12.87	0.00	12.87	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
12/26/2017	***-**-132O - EVANS, THOMAS	MFS DIVERSIFIED INCOME FD CL C	0.00	8.28	0.00	8.28	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	0.00	12.95	0.00	12.95	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-579J - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C	0.00	23.55	0.00	23.55	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	MFS DIVERSIFIED INCOME FD CL C	0.00	14.11	0.00	14.11	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-89IN - LEE, ROGER	MFS DIVERSIFIED INCOME FD CL C	0.00	5.93	0.00	5.93	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS DIVERSIFIED INCOME FD CL C	0.00	5.84	0.00	5.84	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-439J - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	3.10	0.00	3.10	0.0	0.00
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
12/26/2017	***-**-52IO - EUSEPI, SUSAN	MFS DIVERSIFIED INCOME FD CL C	0.00	6.14	0.00	6.14	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
12/26/2017	***-**-81IR - CAMPBELL,	MFS DIVERSIFIED INCOME FD CL C	0.00	5.93	0.00	5.93	0.0	0.00
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
12/26/2017	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	4.26	0.00	4.26	0.0	0.00
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
12/26/2017	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	9.09	0.00	9.09	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	13.76	0.00	13.76	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
12/26/2017	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	13.55	0.00	13.55	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
12/26/2017	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	4.99	0.00	4.99	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
12/26/2017	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.75	0.00	0.75	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.73	0.00	0.73	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
12/26/2017	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.21	0.00	1.21	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS EMERGING MKT DEBT CL B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS EMERGING MKT DEBT CL B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-580X - COBB, JAMES	MFS EMERGING MKT DEBT CL B	0.00	1.16	0.00	1.16	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	MFS EMERGING MKT DEBT CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-367J - WAGNER, MRS LANT	MFS EMERGING MKT DEBT CL B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS EMERGING MKT DEBT CL B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS EMERGING MKT DEBT CL B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	MFS EMERGING MKT DEBT CL B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	MFS EMERGING MKTS DEBT CLASS C	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS EQUITY OPPORTUNITIES CL B	0.00	1.73	0.00	1.73	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS GLOBAL ALTERNATIVE STRAT A	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-9440 - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	0.39	0.00	0.39	0.0	0.00
12/26/2017	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL ALTERNATIVE STRAT B BUY 1 Units @0.0000	0.00	0.43	0.00	0.43	0.0	0.00
12/26/2017	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	6.35	0.00	6.35	0.0	0.00
12/26/2017	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	14.29	0.00	14.29	0.0	0.00
12/26/2017	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	13.76	0.00	13.76	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	7.36	0.00	7.36	0.0	0.00
12/26/2017	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	12.53	0.00	12.53	0.0	0.00
12/26/2017	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	17.04	0.00	17.04	0.0	0.00
12/26/2017	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	10.48	0.00	10.48	0.0	0.00
12/26/2017	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS GLOBAL ALTERNATIVE STRAT C BUY 1 Units @0.0000	0.00	12.79	0.00	12.79	0.0	0.00
12/26/2017	***-**-094I - KATRENA L ACCOUNT #: LSR011188	MFS GLOBAL BOND FUND CLASS C BUY 1 Units @0.0000	0.00	3.38	0.00	3.38	0.0	0.00
12/26/2017	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	0.0	0.00
12/26/2017	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	0.0	0.00
12/26/2017	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL EQUITY FUND-B BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
12/26/2017	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	0.0	0.00
12/26/2017	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	0.0	0.00



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KURT GRUENEWALD  
2108 Genesee St.  
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BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.16	0.00	0.16	0.0	0.00
12/26/2017	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS GLOBAL REAL ESTATE FUND CL B BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	0.0	0.00
12/26/2017	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	0.0	0.00
12/26/2017	***-**-653J - KOCHER, CLINTON ACCOUNT #: LSR011298	MFS GLOBAL TOTAL RETURN FUND-A BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	0.0	0.00
12/26/2017	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	0.0	0.00
12/26/2017	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	0.0	0.00
12/26/2017	***-**-949I - ACEE, JOSEPH ACCOUNT #: LSR010908	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	0.0	0.00
12/26/2017	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.78	0.00	0.78	0.0	0.00
12/26/2017	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	0.0	0.00
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.79	0.00	2.79	0.0	0.00
12/26/2017	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	2.09	0.00	2.09	0.0	0.00
12/26/2017	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	1.60	0.00	1.60	0.0	0.00
12/26/2017	***-**-60UT - FALCHI, ADALYNN ACCOUNT #: LSR011010	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	0.0	0.00
12/26/2017	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	5.64	0.00	5.64	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS GLOBAL TOTAL RETURN FUND-B BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.26	0.00	3.26	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
12/26/2017	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.52	0.00	1.52	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
12/26/2017	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.89	0.00	2.89	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.99	0.00	1.99	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.02	0.00	1.02	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
12/26/2017	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.46	0.00	4.46	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.80	0.00	2.80	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
12/26/2017	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
12/26/2017	***-**-653J - KOCHEM, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.58	0.00	0.58	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHEM, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.61	0.00	2.61	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
12/26/2017	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.70	0.00	1.70	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
12/26/2017	***-**-24II - DINITTO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
12/26/2017	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	18.02	0.00	18.02	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	13.88	0.00	13.88	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	12.56	0.00	12.56	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	21.57	0.00	21.57	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.65	0.00	1.65	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-11IJ - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
12/26/2017	***-**-88II - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.10	0.00	9.10	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
12/26/2017	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.08	0.00	9.08	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
12/26/2017	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-366I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	MFS INFLATION ADJUSTED BOND CL B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-63TR - EVANS, AUDREY J	MFS INFLATION ADJUSTED BOND CL B	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
12/26/2017	***-**-580X - COBB, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
12/26/2017	***-**-111J - NESSIA, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
12/26/2017	***-**-326J - GRANDE, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.58	0.00	9.58	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
12/26/2017	***-**-03IO - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.71	0.00	2.71	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.47	0.00	5.47	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.26	0.00	1.26	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	5.09	0.00	5.09	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	3.61	0.00	3.61	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-03IO - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
12/26/2017	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-959J - FRANZ, PAUL	MFS MODERATE ALLOCATION CL C	0.00	13.67	0.00	13.67	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
12/26/2017	***-**-86IN - LEE, KEVIN	MFS MODERATE ALLOCATION CL C	0.00	23.52	0.00	23.52	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS NEW DISCOVERY FUND-B	0.00	2.37	0.00	2.37	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS NEW DISCOVERY FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-978I - GUNN, KATHLEEN	MFS NEW DISCOVERY FUND-B	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
12/26/2017	***-**-197I - GAMBINO, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
12/26/2017	***-**-53IN - EVANS, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
12/26/2017	***-**-24IN - BLISS, RYAN	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
12/26/2017	***-**-38IO - BROWN, TYLER	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
12/26/2017	***-**-382I - SATTERLY, NEIL	MFS NEW DISCOVERY VALUE FUND CL C	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## January 01, 2018

KURT GRUENEWALD  
2108 Genesee St.  
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BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-511O - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND-B	0.00	0.37	0.00	0.37	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-761O - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
12/26/2017	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
12/26/2017	***-**-311O - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-021N - BUSHEY, MELISSA	MFS RESEARCH BOND FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
12/26/2017	***-**-621N - EATON, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
12/26/2017	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
12/26/2017	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
12/26/2017	***-**-481O - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	6.92	0.00	6.92	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
12/26/2017	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	4.50	0.00	4.50	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	8.50	0.00	8.50	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	5.52	0.00	5.52	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	10.99	0.00	10.99	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	10.84	0.00	10.84	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
12/26/2017	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	6.12	0.00	6.12	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
12/26/2017	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	6.10	0.00	6.10	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
12/26/2017	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	7.61	0.00	7.61	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	6.29	0.00	6.29	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	8.56	0.00	8.56	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
12/26/2017	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.40	0.00	2.40	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
12/26/2017	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	9.53	0.00	9.53	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.18	0.00	2.18	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
12/26/2017	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
12/26/2017	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.40	0.00	0.40	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	2.27	0.00	2.27	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.76	0.00	1.76	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
12/26/2017	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
12/26/2017	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	3.07	0.00	3.07	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
12/26/2017	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
12/26/2017	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
12/26/2017	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
12/26/2017	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
12/26/2017	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.96	0.00	0.96	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	3.57	0.00	3.57	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-61IN - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
12/26/2017	***-**-9440 - MAYO, DEBORAH	MFS UTILITIES FUND-B	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.76	0.00	1.76	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
12/26/2017	***-**-306X - COBB, CHERRYL	MFS UTILITIES FUND-B	0.00	1.93	0.00	1.93	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
12/26/2017	***-**-96IN - NESSIA, BONNIE	MFS UTILITIES FUND-B	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
12/26/2017	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
12/26/2017	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
12/26/2017	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.64	0.00	1.64	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-901J - GURDO, ANTHONY	MFS UTILITIES FUND-B	0.00	0.30	0.00	0.30	0.0	0.00
	ACCOUNT #: LSR011261	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
12/26/2017	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
12/26/2017	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
12/26/2017	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
12/26/2017	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
12/26/2017	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
12/26/2017	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.48	0.00	1.48	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
12/26/2017	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.57	0.00	0.57	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
12/26/2017	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	8.85	0.00	8.85	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
12/26/2017	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	10.55	0.00	10.55	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	8.92	0.00	8.92	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
12/26/2017	***-**-031O - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.50	0.00	4.50	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	17.28	0.00	17.28	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	10.47	0.00	10.47	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	11.00	0.00	11.00	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
12/26/2017	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	6.66	0.00	6.66	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	6.84	0.00	6.84	0.0	0.00
12/26/2017	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.50	0.00	9.50	0.0	0.00
12/26/2017	***-**-37IR - HARTZ, RENEE ACCOUNT #: LSR011259	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	0.0	0.00
12/26/2017	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	7.30	0.00	7.30	0.0	0.00
12/26/2017	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.50	0.00	9.50	0.0	0.00
12/26/2017	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	8.84	0.00	8.84	0.0	0.00
12/26/2017	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	0.0	0.00
12/26/2017	***-**-13IN - ROTH, KAREN ACCOUNT #: LSR011954	MFS VALUE FUND-B BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	0.0	0.00
12/26/2017	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	OPP PORT SERIES: ACTIVE ALLOC A BUY 1 Units @0.0000	0.00	1.52	0.00	1.52	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	OPPENHEIMER ACTIVE ALLOCATION FUND BUY 1 Units @0.0000	0.00	7.21	0.00	7.21	0.0	0.00
12/26/2017	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	OPPENHEIMER ACTIVE ALLOCATION FUND BUY 1 Units @0.0000	0.00	14.21	0.00	14.21	0.0	0.00
12/26/2017	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	OPPENHEIMER ACTIVE ALLOCATION FUND BUY 1 Units @0.0000	0.00	15.90	0.00	15.90	0.0	0.00
12/26/2017	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	OPPENHEIMER ACTIVE ALLOCATION FUND BUY 1 Units @0.0000	0.00	18.23	0.00	18.23	0.0	0.00
12/26/2017	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	OPPENHEIMER ACTIVE ALLOCATION FUND BUY 1 Units @0.0000	0.00	20.58	0.00	20.58	0.0	0.00
12/26/2017	***-**-28IN - BYWATER, KIM ACCOUNT #: LSR011995	OPPENHEIMER DEV MARKETS FD CLASS C BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.0	0.00
12/26/2017	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	0.0	0.00
12/26/2017	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	2.27	0.00	2.27	0.0	0.00
12/26/2017	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	0.0	0.00
12/26/2017	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	0.0	0.00
12/26/2017	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	0.0	0.00
12/26/2017	***-**-094I - KATRENA L ACCOUNT #: LSR011188	OPPENHEIMER GLBL REAL ESTATE FUND C BUY 1 Units @0.0000	0.00	5.16	0.00	5.16	0.0	0.00
12/26/2017	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	OPPENHEIMER GLOBAL VALUE FUND CL C BUY 1 Units @0.0000	0.00	4.77	0.00	4.77	0.0	0.00
12/26/2017	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	3.90	0.00	3.90	0.0	0.00
12/26/2017	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	5.11	0.00	5.11	0.0	0.00
12/26/2017	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	2.25	0.00	2.25	0.0	0.00
12/26/2017	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	5.44	0.00	5.44	0.0	0.00
12/26/2017	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	2.34	0.00	2.34	0.0	0.00
12/26/2017	***-**-00IO - LEE, GARY ACCOUNT #: LSR011059	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	7.21	0.00	7.21	0.0	0.00
12/26/2017	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	0.0	0.00
12/26/2017	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	6.76	0.00	6.76	0.0	0.00
12/26/2017	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	5.51	0.00	5.51	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-51IN - GERACE, JOANNE ACCOUNT #: LSR011538	OPPENHEIMER GOLD & SPECIAL MINERALS BUY 1 Units @0.0000	0.00	1.49	0.00	1.49	0.0	0.00
12/26/2017	***-**-28IN - BYWATER, KIM ACCOUNT #: LSR011995	OPPENHEIMER INTERNATIONAL SMALL COM BUY 1 Units @0.0000	0.00	2.23	0.00	2.23	0.0	0.00
12/26/2017	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	OPPENHEIMER INTL BOND CLASS B BUY 1 Units @0.0000	0.00	2.47	0.00	2.47	0.0	0.00
12/26/2017	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	OPPENHEIMER INTL BOND CLASS B BUY 1 Units @0.0000	0.00	3.11	0.00	3.11	0.0	0.00
12/26/2017	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	OPPENHEIMER MAIN STREET SMALL CAP F BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	0.0	0.00
12/26/2017	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	25.83	0.00	25.83	0.0	0.00
12/26/2017	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	5.41	0.00	5.41	0.0	0.00
12/26/2017	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	13.10	0.00	13.10	0.0	0.00
12/26/2017	***-**-51IN - GERACE, JOANNE ACCOUNT #: LSR011538	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	7.29	0.00	7.29	0.0	0.00
12/26/2017	***-**-37IO - MCCLUSKY, MARK ACCOUNT #: LSR011579	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	4.80	0.00	4.80	0.0	0.00
12/26/2017	***-**-714O - LINES, JUDITH ACCOUNT #: LSR011763	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	7.18	0.00	7.18	0.0	0.00
12/26/2017	***-**-28IN - BYWATER, KIM ACCOUNT #: LSR011995	OPPENHEIMER RISING DIVIDENDS FUND C BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	0.0	0.00
12/26/2017	***-**-287J - HINCKLEY, ACCOUNT #: LSR010841	OPPENHEIMER ROCHEST AMT-FREE NY MUN BUY 1 Units @0.0000	0.00	6.87	0.00	6.87	0.0	0.00
12/26/2017	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	1.44	0.00	1.44	0.0	0.00
12/26/2017	***-**-930I - SANGER, KEVIN ACCOUNT #: LSR010941	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
12/26/2017	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	0.0	0.00
12/26/2017	***-**-111J - NESSIA, JAMES ACCOUNT #: LSR011054	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	0.0	0.00
12/26/2017	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	0.85	0.00	0.85	0.0	0.00
12/26/2017	***-**-62IN - EATON, DAVID ACCOUNT #: LSR011315	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	OPPENHEIMER STEELPATH MLP ALPHA PLU BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.0	0.00
12/26/2017	***-**-837O - BASEMENT ACCOUNT #: LSR011046	OPPENHEIMERSTEELPATH MLP INCOME CL BUY 1 Units @0.0000	0.00	2.77	0.00	2.77	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	OPPENHEIMERSTEELPATH MLP SELECT 40 BUY 1 Units @0.0000	0.00	0.59	0.00	0.59	0.0	0.00
12/26/2017	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	16.90	0.00	16.90	0.0	0.00
12/26/2017	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	23.62	0.00	23.62	0.0	0.00
12/26/2017	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	5.83	0.00	5.83	0.0	0.00
12/26/2017	***-**-73UT - COBB, CHRISTIAN ACCOUNT #: LSR011104	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	4.46	0.00	4.46	0.0	0.00
12/26/2017	***-**-67UT - COBB, ACCOUNT #: LSR011105	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	4.46	0.00	4.46	0.0	0.00
12/26/2017	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	9.76	0.00	9.76	0.0	0.00
12/26/2017	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	12.04	0.00	12.04	0.0	0.00
12/26/2017	***-**-439I - MCCARTHY, JAMES ACCOUNT #: LSR011244	PORT SERIES: CONS INV C BUY 1 Units @0.0000	0.00	6.88	0.00	6.88	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-80IO - EVANS, RICHARD	PORT SERIES: CONS INV C	0.00	12.86	0.00	12.86	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	PORT SERIES: EQUITY INV FD B	0.00	1.03	0.00	1.03	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	PORTFOLIO SERIES: CONS INV A	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	PORTFOLIO SERIES: CONS INV A	0.00	4.51	0.00	4.51	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	PORTFOLIO SERIES: CONS INV A	0.00	2.02	0.00	2.02	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-51IO - CAIN, TIMOTHY	PORTFOLIO SERIES: CONS INV A	0.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	PORTFOLIO SERIES: CONS INV A	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	PORTFOLIO SERIES: CONS INV A	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	PORTFOLIO SERIES: CONS INV A	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - RHYMESTINE,	PORTFOLIO SERIES: CONS INV A	0.00	0.79	0.00	0.79	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
12/26/2017	***-**-02IN - BUSHEY, MELISSA	PORTFOLIO SERIES: CONS INV A	0.00	0.61	0.00	0.61	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
12/26/2017	***-**-62IN - EATON, DAVID	PORTFOLIO SERIES: CONS INV A	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
12/26/2017	***-**-567I - FRANZ, ALICE	PORTFOLIO SERIES: CONSERVATIVE INVE	0.00	3.11	0.00	3.11	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	PORTFOLIO SERIES: CONSERVATIVE INVE	0.00	4.24	0.00	4.24	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	PUTNAM UTILITIES GROWTH AND INCOME	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-22IO - CLINTON, STEPHEN	PUTNAM 746792100	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

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KURT GRUENEWALD  
2108 Genesee St.  
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BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-628I - SANGER, SANDRA ACCOUNT #: LSR010942	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	0.0	0.00
12/26/2017	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	2.35	0.00	2.35	0.0	0.00
12/26/2017	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.63	0.00	0.63	0.0	0.00
12/26/2017	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.34	0.00	1.34	0.0	0.00
12/26/2017	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	0.0	0.00
12/26/2017	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	0.0	0.00
12/26/2017	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.38	0.00	0.38	0.0	0.00
12/26/2017	***-**-901J - GURDO, ANTHONY ACCOUNT #: LSR011261	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.35	0.00	0.35	0.0	0.00
12/26/2017	***-**-653J - KOCHER, CLINTON ACCOUNT #: LSR011298	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	0.0	0.00
12/26/2017	***-**-62IN - EATON, DAVID ACCOUNT #: LSR011315	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	0.0	0.00
12/26/2017	***-**-51IN - GERACE, JOANNE ACCOUNT #: LSR011538	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	0.0	0.00
12/26/2017	***-**-235I - AUGUSTINE III, ACCOUNT #: LSR010845	PUTNAM ABSOLUTE RETURN 700 CL C BUY 1 Units @0.0000	0.00	4.33	0.00	4.33	0.0	0.00
12/26/2017	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	PUTNAM ABSOLUTE RETURN 700 CL C BUY 1 Units @0.0000	0.00	14.60	0.00	14.60	0.0	0.00
12/26/2017	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	PUTNAM ABSOLUTE RETURN 700 CL C BUY 1 Units @0.0000	0.00	9.34	0.00	9.34	0.0	0.00
12/26/2017	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	PUTNAM ABSOLUTE RETURN 700 CL C BUY 1 Units @0.0000	0.00	9.27	0.00	9.27	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-065I - LAZIO, THOMAS	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	7.24	0.00	7.24	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.08	0.00	9.08	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	13.75	0.00	13.75	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-80IO - EVANS, RICHARD	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	7.70	0.00	7.70	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.20	0.00	9.20	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
12/26/2017	***-**-628I - SANGER, SANDRA	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	PUTNAM ABSOLUTE RETURN 700-A	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-63TR - EVANS, AUDREY J	PUTNAM ABSOLUTE RETURN 700-A	0.00	2.31	0.00	2.31	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-653J - KOCHEM, CLINTON	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
12/26/2017	***-**-22IO - CLINTON, STEPHEN	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
12/26/2017	***-**-22IO - CLINTON, STEPHEN	PUTNAM AMERICAN GOVERNMENT INCOME F	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	PUTNAM ASSET ALLOC BALANCED-C	0.00	4.81	0.00	4.81	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-930I - SANGER, KEVIN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
12/26/2017	***-**-366S - GRUENEWALD,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-51IO - CAIN, TIMOTHY	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.42	0.00	1.42	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-73UT - COBB, CHRSTIAN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011104	BUY 1 Units @0.0000						
12/26/2017	***-**-67UT - COBB,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011105	BUY 1 Units @0.0000						
12/26/2017	***-**-992J - CORNACCHIA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
12/26/2017	***-**-66IO - RUDD, MICHAEL	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR011268	BUY 1 Units @0.0000						
12/26/2017	***-**-21IO - RADELL, SANDRA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.56	0.00	1.56	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
12/26/2017	***-**-22IO - CLINTON, STEPHEN	PUTNAM CAPITAL SPECTRUM FUND CL A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
12/26/2017	***-**-382I - SATTERLY, NEIL	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	7.54	0.00	7.54	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
12/26/2017	***-**-980I - ACEE, ELIZABETH	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	11.99	0.00	11.99	0.0	0.00
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	8.04	0.00	8.04	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	17.17	0.00	17.17	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
12/26/2017	***-**-094I - KATRENA L	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	6.73	0.00	6.73	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	16.33	0.00	16.33	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	12.41	0.00	12.41	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
12/26/2017	***-**-235I - AUGUSTINE III,	PUTNAM COMP	0.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						





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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-881I - EBERLEY, SUSAN	PUTNAM COMP	0.00	4.71	0.00	4.71	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - CLARK, PATRICK	PUTNAM COMP	0.00	7.56	0.00	7.56	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
12/26/2017	***-**-72IN - GAVALO, JULIE	PUTNAM COMP	0.00	6.51	0.00	6.51	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
12/26/2017	***-**-959J - FRANZ, PAUL	PUTNAM COMP	0.00	9.81	0.00	9.81	0.0	0.00
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	PUTNAM COMP	0.00	5.59	0.00	5.59	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-420T - DEMETRI, AMELIA	PUTNAM COMP	0.00	4.99	0.00	4.99	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
12/26/2017	***-**-169I - EVANS, PAUL	PUTNAM COMP	0.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
12/26/2017	***-**-80IN - COBB, JAMES	PUTNAM COMP	0.00	7.23	0.00	7.23	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
12/26/2017	***-**-00IO - LEE, GARY	PUTNAM COMP	0.00	8.87	0.00	8.87	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
12/26/2017	***-**-09IO - BATES, FBO MRS	PUTNAM COMP	0.00	8.87	0.00	8.87	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
12/26/2017	***-**-89IN - LEE, ROGER	PUTNAM COMP	0.00	6.08	0.00	6.08	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
12/26/2017	***-**-094I - KATRENA L	PUTNAM COMP	0.00	6.95	0.00	6.95	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	PUTNAM COMP	0.00	9.18	0.00	9.18	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-80IO - EVANS, RICHARD	PUTNAM COMP	0.00	4.54	0.00	4.54	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
12/26/2017	***-**-376I - BERBEN, GAIL	PUTNAM COMP	0.00	8.96	0.00	8.96	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	PUTNAM COMP	0.00	13.97	0.00	13.97	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-14IO - BUSHEY, MATTHEW	PUTNAM COMP	0.00	9.04	0.00	9.04	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
12/26/2017	***-**-37IO - MCCLUSKY, MARK	PUTNAM COMP	0.00	6.66	0.00	6.66	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
12/26/2017	***-**-86IN - LEE, KEVIN	PUTNAM COMP	0.00	7.54	0.00	7.54	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	PUTNAM CONV INCOME-GROWTH-B	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	PUTNAM CONV INCOME-GROWTH-B	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-567I - FRANZ, ALICE	PUTNAM CONV INCOME-GROWTH-B	0.00	2.82	0.00	2.82	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
12/26/2017	***-**-366S - GRUENEWALD,	PUTNAM CONV INCOME-GROWTH-B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
12/26/2017	***-**-580X - COBB, JAMES	PUTNAM CONV INCOME-GROWTH-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	PUTNAM CONV INCOME-GROWTH-B	0.00	1.07	0.00	1.07	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	PUTNAM CONV INCOME-GROWTH-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - ANACKER, FBO MRS	PUTNAM CONV INCOME-GROWTH-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	PUTNAM CONV INCOME-GROWTH-B	0.00	1.33	0.00	1.33	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	PUTNAM CONV INCOME-GROWTH-B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	PUTNAM CONV INCOME-GROWTH-B	0.00	2.40	0.00	2.40	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-00IO - LEE, GARY	PUTNAM CONVERTIBLE SECURITIES CL C	0.00	13.37	0.00	13.37	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	PUTNAM CONVERTIBLE SECURITIES CL C	0.00	18.43	0.00	18.43	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-1320 - EVANS, THOMAS	PUTNAM DIVERSIFIED INCOME CLASS C	0.00	7.24	0.00	7.24	0.0	0.00
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
12/26/2017	***-**-1110 - LYNN G.	PUTNAM DIVERSIFIED INCOME CLASS C	0.00	13.95	0.00	13.95	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-881J - EBERLEY, SUSAN	PUTNAM DIVERSIFIED INCOME-B	0.00	2.16	0.00	2.16	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	PUTNAM DIVERSIFIED INCOME-B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-3110 - HARTZ, CLAUDIA	PUTNAM DIVERSIFIED INCOME-B	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-702I - KOHEM, MICHAEL	PUTNAM DIVERSIFIED INCOME-B	0.00	1.81	0.00	1.81	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	PUTNAM DIVERSIFIED INCOME-B	0.00	1.97	0.00	1.97	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-5110 - CAIN, TIMOTHY	PUTNAM DIVERSIFIED INCOME-B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	PUTNAM DIVERSIFIED INCOME-B	0.00	10.53	0.00	10.53	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-63TR - EVANS, AUDREY J	PUTNAM DIVERSIFIED INCOME-B	0.00	2.44	0.00	2.44	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	PUTNAM DIVERSIFIED INCOME-B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	PUTNAM DIVERSIFIED INCOME-B	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-3110 - WILLIAMSON, FBO	PUTNAM DIVERSIFIED INCOME-B	0.00	6.26	0.00	6.26	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-680I - HARRELL, DARYL	PUTNAM DIVERSIFIED INCOME-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	PUTNAM EQUITY INCOME FUND-B	0.00	2.39	0.00	2.39	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	PUTNAM EQUITY INCOME FUND-B	0.00	2.38	0.00	2.38	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

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2108 Genesee St.  
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BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	PUTNAM EQUITY SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	0.98	0.00	0.98	0.0	0.00
12/26/2017	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	11.41	0.00	11.41	0.0	0.00
12/26/2017	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	24.34	0.00	24.34	0.0	0.00
12/26/2017	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.52	0.00	8.52	0.0	0.00
12/26/2017	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	16.07	0.00	16.07	0.0	0.00
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	9.91	0.00	9.91	0.0	0.00
12/26/2017	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	6.54	0.00	6.54	0.0	0.00
12/26/2017	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	6.92	0.00	6.92	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.03	0.00	8.03	0.0	0.00
12/26/2017	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.19	0.00	8.19	0.0	0.00
12/26/2017	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.54	0.00	8.54	0.0	0.00
12/26/2017	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	12.10	0.00	12.10	0.0	0.00
12/26/2017	***-**-473J - HUSTON, ACCOUNT #: LSR011228	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	9.20	0.00	9.20	0.0	0.00
12/26/2017	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	10.42	0.00	10.42	0.0	0.00
12/26/2017	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.93	0.00	8.93	0.0	0.00
12/26/2017	***-**-07IO - FAMOLARO, JAMES ACCOUNT #: LSR011280	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	5.53	0.00	5.53	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-094I - KATRENA L ACCOUNT #: LSR011188	PUTNAM EUROPE EQUITY CLASS C BUY 1 Units @0.0000	0.00	4.31	0.00	4.31	0.0	0.00
12/26/2017	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	PUTNAM GLOBAL CONSUMER FD A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	0.0	0.00
12/26/2017	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	0.0	0.00
12/26/2017	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	0.0	0.00
12/26/2017	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	0.0	0.00
12/26/2017	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.38	0.00	1.38	0.0	0.00
12/26/2017	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	0.0	0.00
12/26/2017	***-**-761I - EVANS, DOLORES ACCOUNT #: LSR011024	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.86	0.00	1.86	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.09	0.00	1.09	0.0	0.00
12/26/2017	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	0.0	0.00
12/26/2017	***-**-306X - COBB, CHERRY L ACCOUNT #: LSR011037	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	0.0	0.00
12/26/2017	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	0.0	0.00
12/26/2017	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	0.0	0.00
12/26/2017	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	0.0	0.00
12/26/2017	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	0.0	0.00
12/26/2017	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	PUTNAM GLOBAL CONSUMER FUND CL B BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-64IO - PFAFF, GEORGE	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-66IO - RUDD, MICHAEL	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.06	0.00	0.06	0.0	0.00
	ACCOUNT #: LSR011268	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	3.19	0.00	3.19	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-680I - HARRELL, DARYL	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
12/26/2017	***-**-21IO - RADELL, SANDRA	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
12/26/2017	***-**-12IR - GRUENEWALD,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011614	BUY 1 Units @0.0000						
12/26/2017	***-**-03IO - MASTRANGELO,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
12/26/2017	***-**-45IN - WILLIAMSON,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	5.44	0.00	5.44	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-44IO - MAYO, DEBORAH	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	11.49	0.00	11.49	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
12/26/2017	***-**-89IN - LEE, ROGER	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	7.23	0.00	7.23	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	12.01	0.00	12.01	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-37IO - MCCLUSKY, MARK	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	6.83	0.00	6.83	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
12/26/2017	***-**-702I - KOCHER, MICHAEL	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.36	0.00	1.36	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
12/26/2017	***-**-22IO - CLINTON, STEPHEN	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	0.95	0.00	0.95	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	PUTNAM GLOBAL NAT RESOURCES-A BUY 1 Units @0.0000	0.00	1.14	0.00	1.14	0.0	0.00
12/26/2017	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	PUTNAM GLOBAL NAT RESOURCES-A BUY 1 Units @0.0000	0.00	0.11	0.00	0.11	0.0	0.00
12/26/2017	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	0.0	0.00
12/26/2017	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	0.0	0.00
12/26/2017	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	PUTNAM GLOBAL NAT RESOURCES-B BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	0.0	0.00
12/26/2017	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	PUTNAM GLOBAL SECTOR FUND CL A BUY 1 Units @0.0000	0.00	2.52	0.00	2.52	0.0	0.00
12/26/2017	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	PUTNAM GLOBAL TECHNOLOGY CL B BUY 1 Units @0.0000	0.00	2.05	0.00	2.05	0.0	0.00
12/26/2017	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	PUTNAM GLOBAL TECHNOLOGY CL B BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	0.0	0.00
12/26/2017	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	PUTNAM GLOBAL TELECOMMUNICATIONS B BUY 1 Units @0.0000	0.00	2.35	0.00	2.35	0.0	0.00
12/26/2017	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	PUTNAM GLOBAL TELECOMMUNICATIONS B BUY 1 Units @0.0000	0.00	0.58	0.00	0.58	0.0	0.00
12/26/2017	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	PUTNAM GLOBAL UTILITIES CL C BUY 1 Units @0.0000	0.00	5.52	0.00	5.52	0.0	0.00
12/26/2017	***-**-881I - EBERLEY, SUSAN ACCOUNT #: LSR010858	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	8.64	0.00	8.64	0.0	0.00
12/26/2017	***-**-382I - SATTERLY, NEIL ACCOUNT #: LSR010867	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	9.14	0.00	9.14	0.0	0.00
12/26/2017	***-**-420T - DEMETRI, AMELIA ACCOUNT #: LSR010994	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	8.99	0.00	8.99	0.0	0.00
12/26/2017	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	7.98	0.00	7.98	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	7.81	0.00	7.81	0.0	0.00



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-14IO - BUSHEY, MATTHEW	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	11.47	0.00	11.47	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
12/26/2017	***-**-51IO - CAIN, TIMOTHY	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	2.29	0.00	2.29	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	2.74	0.00	2.74	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-13IO - ROTH, KAREN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011484	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	PUTNAM HEALTH SCIENCES TR-B	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-930I - SANGER, KEVIN	PUTNAM HEALTH SCIENCES TR-B	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
12/26/2017	***-**-567I - FRANZ, ALICE	PUTNAM HEALTH SCIENCES TR-B	0.00	2.41	0.00	2.41	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	PUTNAM HEALTH SCIENCES TR-B	0.00	1.23	0.00	1.23	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	PUTNAM HEALTH SCIENCES TR-B	0.00	1.99	0.00	1.99	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	PUTNAM HEALTH SCIENCES TR-B	0.00	1.66	0.00	1.66	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-579I - RHYMESTINE,	PUTNAM HEALTH SCIENCES TR-B	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
12/26/2017	***-**-326I - GRANDE, FBO MR	PUTNAM HEALTH SCIENCES TR-B	0.00	1.32	0.00	1.32	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - ANACKER, FBO MRS	PUTNAM HEALTH SCIENCES TR-B	0.00	2.06	0.00	2.06	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	PUTNAM HEALTH SCIENCES TR-B	0.00	2.03	0.00	2.03	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	PUTNAM HEALTH SCIENCES TR-B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	PUTNAM HEALTH SCIENCES TR-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						





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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.06	0.00	1.06	0.0	0.00
12/26/2017	***-**-480J - EVANS, RICHARD ACCOUNT #: LSR011274	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	0.0	0.00
12/26/2017	***-**-653I - KOCHER, CLINTON ACCOUNT #: LSR011300	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	0.0	0.00
12/26/2017	***-**-21IO - RADELL, SANDRA ACCOUNT #: LSR011392	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.72	0.00	1.72	0.0	0.00
12/26/2017	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.19	0.00	0.19	0.0	0.00
12/26/2017	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	0.0	0.00
12/26/2017	***-**-13IO - ROTH, KAREN ACCOUNT #: LSR011484	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	0.0	0.00
12/26/2017	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.78	0.00	0.78	0.0	0.00
12/26/2017	***-**-12IR - GRUENEWALD, ACCOUNT #: LSR011614	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	0.0	0.00
12/26/2017	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	0.0	0.00
12/26/2017	***-**-45IN - WILLIAMSON, ACCOUNT #: LSR011751	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	0.0	0.00
12/26/2017	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	PUTNAM INTL GROWTH & INC-A BUY 1 Units @0.0000	0.00	1.08	0.00	1.08	0.0	0.00
12/26/2017	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	PUTNAM INVESTORS CLASS C BUY 1 Units @0.0000	0.00	4.16	0.00	4.16	0.0	0.00
12/26/2017	***-**-653J - KOCHER, CLINTON ACCOUNT #: LSR011298	PUTNAM INVESTORS CLASS C BUY 1 Units @0.0000	0.00	4.15	0.00	4.15	0.0	0.00
12/26/2017	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	PUTNAM SMALL CAP VALUE FUND-A BUY 1 Units @0.0000	0.00	1.31	0.00	1.31	0.0	0.00
12/26/2017	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	PUTNAM U.S. GOVT INCOME TR-B BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	0.0	0.00



# COMMISSION STATEMENT

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KURT GRUENEWALD  
2108 Genesee St.  
Utica, NY 13502

BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-254I - AUGUSTINE, LINDA	PUTNAM UTILITIES GR & INC-B	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-881J - EBERLEY, SUSAN	PUTNAM UTILITIES GR & INC-B	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	PUTNAM UTILITIES GR & INC-B	0.00	0.71	0.00	0.71	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - HARTZ, CLAUDIA	PUTNAM UTILITIES GR & INC-B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
12/26/2017	***-**-930I - SANGER, KEVIN	PUTNAM UTILITIES GR & INC-B	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	PUTNAM UTILITIES GR & INC-B	0.00	2.19	0.00	2.19	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	PUTNAM UTILITIES GR & INC-B	0.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-960J - MASTRANGELO,	PUTNAM UTILITIES GR & INC-B	0.00	2.14	0.00	2.14	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
12/26/2017	***-**-51IO - CAIN, TIMOTHY	PUTNAM UTILITIES GR & INC-B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	PUTNAM UTILITIES GR & INC-B	0.00	1.37	0.00	1.37	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-96IN - NESSIA, BONNIE	PUTNAM UTILITIES GR & INC-B	0.00	1.61	0.00	1.61	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	PUTNAM UTILITIES GR & INC-B	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	PUTNAM UTILITIES GR & INC-B	0.00	2.64	0.00	2.64	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-02IN - BUSHEY, MELISSA	PUTNAM UTILITIES GR & INC-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
12/26/2017	***-**-680I - HARRELL, DARYL	QUEST INTERNATIONAL VALUE FUND, INC	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	QUEST OPPORTUNITY VALUE A	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-254I - AUGUSTINE, LINDA	REAL ESTATE FUND A	0.00	1.10	0.00	1.10	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-881J - EBERLEY, SUSAN	REAL ESTATE FUND A	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
12/26/2017	***-**-15IN - PENDRAK, JOHN	REAL ESTATE FUND A	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
12/26/2017	***-**-702I - KOCHER, MICHAEL	REAL ESTATE FUND A	0.00	0.97	0.00	0.97	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
12/26/2017	***-**-51IO - CAIN, TIMOTHY	REAL ESTATE FUND A	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	REAL ESTATE FUND A	0.00	2.86	0.00	2.86	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	REAL ESTATE FUND A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-111J - NESSIA, JAMES	REAL ESTATE FUND A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
12/26/2017	***-**-326I - GRANDE, FBO MR	REAL ESTATE FUND A	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	REAL ESTATE FUND A	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-31IO - WILLIAMSON, FBO	REAL ESTATE FUND A	0.00	1.67	0.00	1.67	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
12/26/2017	***-**-62IN - EATON, DAVID	REAL ESTATE FUND A	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
12/26/2017	***-**-949I - ACEE, JOSPEH	REAL ESTATE FUND B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
12/26/2017	***-**-567I - FRANZ, ALICE	REAL ESTATE FUND B	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
12/26/2017	***-**-506J - CURRIERE JR, MR	REAL ESTATE FUND B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
12/26/2017	***-**-367J - WAGNER, MRS LANT	REAL ESTATE FUND B	0.00	1.26	0.00	1.26	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-48IO - ANACKER, FBO MRS	REAL ESTATE FUND B	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	REAL ESTATE FUND B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
12/26/2017	***-**-64IO - PFAFF, GEORGE	REAL ESTATE FUND B	0.00	1.53	0.00	1.53	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
12/26/2017	***-**-62IR - ROBBIANO, FBO	REAL ESTATE FUND B	0.00	0.44	0.00	0.44	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
12/26/2017	***-**-653I - KOCHER, CLINTON	REAL ESTATE FUND B	0.00	1.45	0.00	1.45	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
12/26/2017	***-**-382I - SATTERLY, NEIL	REAL ESTATE FUND C	0.00	10.13	0.00	10.13	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
12/26/2017	***-**-48IO - CLARK, PATRICK	REAL ESTATE FUND C	0.00	7.54	0.00	7.54	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
12/26/2017	***-**-72IN - GAVALO, JULIE	REAL ESTATE FUND C	0.00	8.16	0.00	8.16	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
12/26/2017	***-**-065I - LAZIO, THOMAS	REAL ESTATE FUND C	0.00	6.03	0.00	6.03	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
12/26/2017	***-**-73TR - WESTCOTT IRRVOC	REAL ESTATE FUND C	0.00	7.98	0.00	7.98	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
12/26/2017	***-**-73TR - WESTCOTT IRRVOC	REAL ESTATE FUND C	0.00	2.15	0.00	2.15	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
12/26/2017	***-**-00IO - LEE, GARY	REAL ESTATE FUND C	0.00	9.73	0.00	9.73	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
12/26/2017	***-**-52IO - EUSEPI, SUSAN	REAL ESTATE FUND C	0.00	4.63	0.00	4.63	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
12/26/2017	***-**-11IO - LYNN G.	REAL ESTATE FUND C	0.00	12.66	0.00	12.66	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
12/26/2017	***-**-80IO - EVANS, RICHARD	REAL ESTATE FUND C	0.00	4.00	0.00	4.00	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
12/26/2017	***-**-45IO - JOHNSON, GREGORY	REAL ESTATE FUND C	0.00	6.86	0.00	6.86	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-633J -	REAL ESTATE FUND C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011732	BUY 1 Units @0.0000						
12/26/2017	***-**-254I - AUGUSTINE, LINDA	RISING DIVIDENDS FUND A	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
12/26/2017	***-**-881I - EBERLEY, SUSAN	RISING DIVIDENDS FUND A	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
12/26/2017	***-**-702I - KOCHER, MICHAEL	RISING DIVIDENDS FUND A	0.00	2.21	0.00	2.21	0.0	0.00
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
12/26/2017	***-**-959I - FRANZ, PAUL	RISING DIVIDENDS FUND A	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
12/26/2017	***-**-761I - EVANS, DOLORES	RISING DIVIDENDS FUND A	0.00	8.30	0.00	8.30	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
12/26/2017	***-**-944O - MAYO, DEBORAH	RISING DIVIDENDS FUND A	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
12/26/2017	***-**-96IN - NESSIA, BONNIE	RISING DIVIDENDS FUND A	0.00	2.22	0.00	2.22	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
12/26/2017	***-**-326I - GRANDE, FBO MR	RISING DIVIDENDS FUND A	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
12/26/2017	***-**-861I - SANGER, LAWRENCE	RISING DIVIDENDS FUND A	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
12/26/2017	***-**-13IN - RHYMESTINE,	RISING DIVIDENDS FUND A	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
12/26/2017	***-**-664J - PFAFF, GEORGE	RISING DIVIDENDS FUND A	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
12/26/2017	***-**-02IN - BUSHEY, MELISSA	RISING DIVIDENDS FUND A	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
12/26/2017	***-**-62IN - EATON, DAVID	RISING DIVIDENDS FUND A	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
12/26/2017	***-**-680I - HARRELL, DARYL	RISING DIVIDENDS FUND A	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
12/26/2017	***-**-287J - HINCKLEY,	RISING DIVIDENDS FUND, INC. B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	RISING DIVIDENDS FUND, INC. B BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.0	0.00
12/26/2017	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	RISING DIVIDENDS FUND, INC. B BUY 1 Units @0.0000	0.00	1.99	0.00	1.99	0.0	0.00
12/26/2017	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	RISING DIVIDENDS FUND, INC. B BUY 1 Units @0.0000	0.00	2.50	0.00	2.50	0.0	0.00
12/26/2017	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	ROCH. LIMITED TERM NEW YORK MUNICI BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	0.0	0.00
12/26/2017	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	ROCH. LIMITED TERM NEW YORK MUNICI BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	0.0	0.00
12/26/2017	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	ROCH. LIMITED TERM NEW YORK MUNICI BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	0.0	0.00
12/26/2017	***-**-901J - GURDO, ANTHONY ACCOUNT #: LSR011261	ROCH. LIMITED TERM NEW YORK MUNICI BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
12/26/2017	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	ROCHESTER NATIONAL MUNICIPALS A BUY 1 Units @0.0000	0.00	2.47	0.00	2.47	0.0	0.00
12/26/2017	***-**-567I - FRANZ, ALICE ACCOUNT #: LSR010960	SMALL- & MID- CAP VALUE B BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	0.0	0.00
12/26/2017	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	SMALL- & MID- CAP VALUE B BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	0.0	0.00
12/26/2017	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	SMALL- & MID- CAP VALUE B BUY 1 Units @0.0000	0.00	2.14	0.00	2.14	0.0	0.00
12/26/2017	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	SMALL- & MID- CAP VALUE B BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	0.0	0.00
12/26/2017	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	SMALL- & MID- CAP VALUE FUND C BUY 1 Units @0.0000	0.00	4.88	0.00	4.88	0.0	0.00
12/26/2017	***-**-28IN - BYWATER, KIM ACCOUNT #: LSR011995	SMALL- & MID- CAP VALUE FUND C BUY 1 Units @0.0000	0.00	1.75	0.00	1.75	0.0	0.00
12/26/2017	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	VOYA GLOBAL EQUITY DIVIDEND CLASS A BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	0.0	0.00
12/26/2017	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	VOYA GLOBAL REAL ESTATE CLASS A BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	0.0	0.00



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BROKER #: A77  
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	VOYA GLOBAL REAL ESTATE CLASS A BUY 1 Units @0.0000	0.00	0.10	0.00	0.10	0.0	0.00
12/26/2017	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	VOYA GLOBAL REAL ESTATE CLASS A BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	0.0	0.00
12/26/2017	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	VOYA REAL ESTATE CLASS C BUY 1 Units @0.0000	0.00	5.40	0.00	5.40	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,675.57</b>	<b>\$0.00</b>	<b>\$2,675.57</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$29,381.01</b>	<b>\$3,196.17</b>	<b>\$244.18</b>	<b>\$2,951.99</b>		<b>\$-8.77</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$-8.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-82.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$-90.82</b>
<b>Year-to-date Earnings</b>	<b>\$-90.82</b>