

## COMMISSION STATEMENT

July 31, 2017

JOHN HEIDENREICH  
7 EAST 86TH ST  
APT 15B  
NEW YORK, NY 10028

BROKER #: R90 / /42  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/01/2017 (None)	VARIOUS, HEIDENREICH	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	595.36	10.00	585.36	65.0	376.98
07/26/2017 75501312	HEIDENREICH, CHARLYN	SHORT-TERM BOND FUND OF AMERICA - A S		14,125.45	0.00	10.00	-10.00	65.0	-10.00
07/26/2017 75501312	HEIDENREICH, CHARLYN	THE INVESTMENT COMPANY OF AMERICA-A B		14,125.45	0.00	10.00	-10.00	65.0	-10.00
07/26/2017 75501313	HEIDENREICH, ROBERT	AIM INTERMEDIATE GOVERNMENT FD - CL S		75,267.23	0.00	10.00	-10.00	65.0	-10.00
07/26/2017 75501313	HEIDENREICH, ROBERT	AIM UTILITIES FUND - CLASS A B		75,267.23	0.00	10.00	-10.00	65.0	-10.00
07/13/2017 75501325	ANN S TOTTEN	FRANKLIN INCOME FUND CLASS A S		1,000.00	0.00	10.00	-10.00	65.0	-10.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$179,785.36</b>	<b>\$595.36</b>	<b>\$60.00</b>	<b>\$535.36</b>		<b>\$326.98</b>

**PRODUCT CATEGORY: STOCKS**

06/29/2017 75501290	PRESTON, MARGARITA	CONDUEENT INC COM	S	3,150.00	104.03	10.00	94.03	65.0	57.62
07/14/2017 75501291	PRESTON, MARGARITA	MYND ANALYTICS INC COMMON STOCK	B	9,982.20	0.00	10.00	-10.00	65.0	-10.00
07/14/2017 75501291	PRESTON, MARGARITA	WTS MYND ANALYTICS INC WARRANT	B	19.05	0.00	10.00	-10.00	65.0	-10.00
07/05/2017 75501292	JOE, HENRY	CONDUEENT INC COM	S	1,927.48	78.31	10.00	68.31	65.0	40.90
07/14/2017 75501292	JOE, HENRY	APPLE INC	S	26,108.75	210.00	10.00	200.00	65.0	126.50
07/14/2017 75501292	JOE, HENRY	MYND ANALYTICS INC COMMON STOCK	B	14,970.68	0.00	10.00	-10.00	65.0	-10.00
07/14/2017 75501292	JOE, HENRY	WTS MYND ANALYTICS INC WARRANT	B	28.57	0.00	10.00	-10.00	65.0	-10.00
07/26/2017 75501292	JOE, HENRY	APPLE INC	S	11,500.51	105.00	10.00	95.00	65.0	58.25
07/26/2017 75501292	JOE, HENRY	MICROSOFT CORP	B	7,390.99	105.00	10.00	95.00	65.0	58.25
07/26/2017 75501292	JOE, HENRY	TWILIO INC CL A	B	9,439.98	209.82	10.00	199.82	65.0	126.38
07/05/2017 75501293	JOE, HENRY	KROGER CO (THE)	B	9,286.64	217.28	10.00	207.28	65.0	131.23
07/06/2017 75501293	JOE, HENRY	AKERS BIOSCIENCES INC COM	B	2,350.00	100.00	10.00	90.00	65.0	55.00
07/26/2017 75501293	JOE, HENRY	ISHARES GOLD TRUST	S	9,672.00	261.64	10.00	251.64	65.0	160.07
07/26/2017 75501293	JOE, HENRY	WORKDAY INC CL A	B	10,510.00	105.00	10.00	95.00	65.0	58.25
06/29/2017 75501307	TOTTEN, ANN	CONDUEENT INC COM	S	7,860.75	206.26	10.00	196.26	65.0	124.07
07/05/2017 75501307	TOTTEN, ANN	KROGER CO (THE)	B	9,286.64	217.28	10.00	207.28	65.0	131.23
07/14/2017 75501307	TOTTEN, ANN	MYND ANALYTICS INC COMMON STOCK	B	24,952.88	0.00	10.00	-10.00	65.0	-10.00
07/14/2017 75501307	TOTTEN, ANN	WTS MYND ANALYTICS INC WARRANT	B	47.62	0.00	10.00	-10.00	65.0	-10.00
07/20/2017 75501307	TOTTEN, ANN	VIRTUS GLOBAL DIVIDEND & INCOME FUN S		2,891.89	116.93	10.00	106.93	65.0	66.00
06/29/2017 75501313	HEIDENREICH, ROBERT	APPLE INC	S	14,356.00	105.00	10.00	95.00	65.0	58.25
07/06/2017 75501313	HEIDENREICH, ROBERT	KROGER CO (THE)	B	9,370.64	218.51	10.00	208.51	65.0	132.03
07/06/2017 75501313	HEIDENREICH, ROBERT	MERIDIAN WASTE SOLUTIONS INC COMMON B		2,871.75	126.00	10.00	116.00	65.0	71.90

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
07/26/2017	75501313 HEIDENREICH, ROBERT	DINEEQUITY INC	B	12,672.00	256.78	10.00	246.78	65.0	156.91
07/26/2017	75501313 HEIDENREICH, ROBERT	PROSHARES TR II PROSHARES ULTRA GOL S		38,480.00	574.75	10.00	564.75	65.0	363.59
07/14/2017	75501344 BUNIN, ARLENE	MYND ANALYTICS INC COMMON STOCK	B	4,988.48	0.00	10.00	-10.00	65.0	-10.00
07/14/2017	75501344 BUNIN, ARLENE	WTS MYND ANALYTICS INC WARRANT	B	9.52	0.00	10.00	-10.00	65.0	-10.00
07/14/2017	QGW030355 GOULD, PETER	MYND ANALYTICS INC COMMON STOCK	B	24,952.88	0.00	10.00	-10.00	65.0	-10.00
07/14/2017	QGW030355 GOULD, PETER	WTS MYND ANALYTICS INC WARRANT	B	47.62	0.00	10.00	-10.00	65.0	-10.00
07/14/2017	QGW033367 NAUMOV, GEORGE	MYND ANALYTICS INC COMMON STOCK	B	14,970.68	0.00	10.00	-10.00	65.0	-10.00
07/14/2017	QGW033367 NAUMOV, GEORGE	WTS MYND ANALYTICS INC WARRANT	B	28.57	0.00	10.00	-10.00	65.0	-10.00
07/13/2017	QGW036766 STOIC LLC	MATINAS BIOPHARMA HLDGS INC COM	S	-1,422.00	68.36	10.00	58.36	65.0	34.43
07/13/2017	QGW036766 STOIC LLC	MATINAS BIOPHARMA HLDGS INC COM	S	-4,452.00	212.65	10.00	202.65	65.0	128.22
07/13/2017	QGW036766 STOIC LLC	MATINAS BIOPHARMA HLDGS INC COM	S	-318.00	15.19	10.00	5.19	65.0	5.19
07/13/2017	QGW036766 STOIC LLC	MATINAS BIOPHARMA HLDGS INC COM	S	-3,476.22	167.08	10.00	157.08	65.0	98.60
07/20/2017	QGW036766 STOIC LLC	MATINAS BIOPHARMA HLDGS INC COM	S	-150.00	50.00	10.00	40.00	65.0	22.50
<b>* STOCKS SUBTOTAL *</b>				<b>\$274,306.55</b>	<b>\$3,830.87</b>	<b>\$350.00</b>	<b>\$3,480.87</b>		<b>\$2,145.37</b>
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
07/05/2017	75501291 PRESTON, MARGARITA	CALL PFE 07/14/17 32	S	4,920.00	200.00	10.00	190.00	65.0	120.00
07/13/2017	75501291 PRESTON, MARGARITA	CALL PFE 07/14/17 32	B	3,900.00	150.00	10.00	140.00	65.0	87.50
07/14/2017	75501291 PRESTON, MARGARITA	CALL PFE 07/21/17 32	S	4,350.00	150.00	10.00	140.00	65.0	87.50
07/21/2017	75501291 PRESTON, MARGARITA	CALL PFE 07/21/17 31	B	7,350.00	100.00	10.00	90.00	65.0	55.00
07/21/2017	75501291 PRESTON, MARGARITA	CALL PFE 07/21/17 32	B	4,320.00	75.00	10.00	65.00	65.0	38.75
07/24/2017	75501291 PRESTON, MARGARITA	CALL PFE 08/18/17 32	S	4,350.00	217.50	10.00	207.50	65.0	131.38
07/07/2017	QGW030355 GOULD, PETER	PUT 100 TESLA INC EXP 09-15-17 @295 B		3,200.00	69.08	10.00	59.08	65.0	34.90
07/26/2017	QGW030355 GOULD, PETER	CALL 100 COTY INC COM CL A EXP 08-1 S		-600.00	50.40	10.00	40.40	65.0	22.76
<b>* OPTIONS SUBTOTAL *</b>				<b>\$31,790.00</b>	<b>\$1,011.98</b>	<b>\$80.00</b>	<b>\$931.98</b>		<b>\$577.79</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/12/2017	75501290 PRESTON, MARGARITA	FRANKLIN INCOME FUND CLASS A	B	0.00	2.01	0.00	2.01	65.0	1.31
07/12/2017	75501290 PRESTON, MARGARITA	GOLDMAN SACHS HIGH YIELD FUND CL A B		0.00	6.71	0.00	6.71	65.0	4.36
07/12/2017	75501290 PRESTON, MARGARITA	THE INCOME FUND OF AMERICA-A	B	0.00	7.76	0.00	7.76	65.0	5.04
07/12/2017	75501290 PRESTON, MARGARITA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	8.56	0.00	8.56	65.0	5.56
07/12/2017	75501291 PRESTON, MARGARITA	AMERICAN HIGH-INCOME TRUST-A	B	0.00	8.46	0.00	8.46	65.0	5.50
07/12/2017	75501291 PRESTON, MARGARITA	FRANKLIN HIGH-YIELD TAX-FREE INCOME B		0.00	11.92	0.00	11.92	65.0	7.75
07/12/2017	75501291 PRESTON, MARGARITA	FRK AGE HIGH INC FND - CL A	B	0.00	3.80	0.00	3.80	65.0	2.47
07/12/2017	75501291 PRESTON, MARGARITA	INVESCO HIGH YIELD MUNICIPAL FUND C B		0.00	28.29	0.00	28.29	65.0	18.39
07/12/2017	75501291 PRESTON, MARGARITA	NUVEEN FLAGSHIP MUN TR HIGH YIELD M B		0.00	7.71	0.00	7.71	65.0	5.01
07/12/2017	75501291 PRESTON, MARGARITA	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	19.75	0.00	19.75	65.0	12.84
07/12/2017	75501293 JOE, HENRY	FRK NATURAL RES FND - CL A	B	0.00	0.75	0.00	0.75	65.0	0.49
07/12/2017	75501293 JOE, HENRY	INTERMEDIATE BOND FUND OF AMERICA-A B		0.00	3.84	0.00	3.84	65.0	2.50
07/12/2017	75501305 BUNIN, ARLENE	FRANKLIN INCOME FUND CLASS A	B	0.00	5.50	0.00	5.50	65.0	3.58

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/12/2017	75501305	BUNIN, ARLENE	FRK AGE HIGH INC FND - CL A	B	0.00	11.32	0.00	11.32	65.0 7.36
07/12/2017	75501305	BUNIN, ARLENE	GOLDMAN SACHS HIGH YIELD FUND CL A B		0.00	33.74	0.00	33.74	65.0 21.93
07/12/2017	75501307	TOTTEN, ANN	FRANKLIN INCOME FUND CLASS A	B	0.00	16.95	0.00	16.95	65.0 11.02
07/12/2017	75501307	TOTTEN, ANN	THE BOND FUND OF AMERICA-A	B	0.00	9.66	0.00	9.66	65.0 6.28
07/12/2017	75501307	TOTTEN, ANN	THE INCOME FUND OF AMERICA-A	B	0.00	13.31	0.00	13.31	65.0 8.65
07/12/2017	75501308	ANN S TOTTEN	FRANKLIN INCOME FUND CLASS A	B	0.00	4.73	0.00	4.73	65.0 3.07
07/12/2017	75501308	ANN S TOTTEN	MUTUAL DISC FND - CL A	B	0.00	3.76	0.00	3.76	65.0 2.44
07/12/2017	75501309	TOTTEN, ANN	FRANKLIN INCOME FUND CLASS A	B	0.00	6.33	0.00	6.33	65.0 4.11
07/12/2017	75501309	TOTTEN, ANN	THE GROWTH FUND OF AMERICA-A	B	0.00	8.00	0.00	8.00	65.0 5.20
07/12/2017	75501309	TOTTEN, ANN	THE NEW ECONOMY FUND-A	B	0.00	7.46	0.00	7.46	65.0 4.85
07/12/2017	75501310	MARGARITA F PRESTON	FRANKLIN INCOME FUND CLASS A	B	0.00	3.20	0.00	3.20	65.0 2.08
07/12/2017	75501310	MARGARITA F PRESTON	MAINSTAY HIGH-YIELD CORPORATE BOND B		0.00	22.37	0.00	22.37	65.0 14.54
07/12/2017	75501310	MARGARITA F PRESTON	MAINSTAY UNCONSTRAINED BOND FUND CL B		0.00	24.24	0.00	24.24	65.0 15.76
07/12/2017	75501310	MARGARITA F PRESTON	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	13.43	0.00	13.43	65.0 8.73
07/12/2017	75501310	MARGARITA F PRESTON	THE INCOME FUND OF AMERICA-A	B	0.00	7.06	0.00	7.06	65.0 4.59
07/12/2017	75501311	HEIDENREICH, CHARLYN	DELAWARE SELECT GROWTH FUND A CLASS B		0.00	1.96	0.00	1.96	65.0 1.27
07/12/2017	75501312	HEIDENREICH, CHARLYN	DELAWARE INVESTMENTS DIVERSIFIED IN B		0.00	1.43	0.00	1.43	65.0 0.93
07/12/2017	75501312	HEIDENREICH, CHARLYN	FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.75	0.00	0.75	65.0 0.49
07/12/2017	75501312	HEIDENREICH, CHARLYN	INTERMEDIATE BOND FUND OF AMERICA-A B		0.00	2.81	0.00	2.81	65.0 1.83
07/12/2017	75501312	HEIDENREICH, CHARLYN	MFS EMERGING GROWTH FUND CLASS A	B	0.00	0.39	0.00	0.39	65.0 0.25
07/12/2017	75501312	HEIDENREICH, CHARLYN	SHORT-TERM BOND FUND OF AMERICA - A B		0.00	2.90	0.00	2.90	65.0 1.89
07/12/2017	75501313	HEIDENREICH, ROBERT	AIM INTERMEDIATE GOVERNMENT FD - CL B		0.00	46.86	0.00	46.86	65.0 30.46
07/12/2017	75501313	HEIDENREICH, ROBERT	AIM SHORT TERM BOND FUND CLASS A	B	0.00	46.53	0.00	46.53	65.0 30.24
07/12/2017	75501313	HEIDENREICH, ROBERT	FRANKLIN INCOME FUND CLASS A	B	0.00	10.31	0.00	10.31	65.0 6.70
07/12/2017	75501313	HEIDENREICH, ROBERT	FRANKLIN/TEMPLETON FUNDS 353612690 B		0.00	13.33	0.00	13.33	65.0 8.66
07/12/2017	75501313	HEIDENREICH, ROBERT	GOLDMAN SACHS TR SHORT DURATION INC B		0.00	13.07	0.00	13.07	65.0 8.50
07/12/2017	75501313	HEIDENREICH, ROBERT	INVESCO CONVERTIBLE SECURITIES FUND B		0.00	46.03	0.00	46.03	65.0 29.92
07/12/2017	75501313	HEIDENREICH, ROBERT	INVESCO SHORT DURATION INFLATION PR B		0.00	19.09	0.00	19.09	65.0 12.41
07/12/2017	75501313	HEIDENREICH, ROBERT	THE BOND FUND OF AMERICA-A	B	0.00	9.33	0.00	9.33	65.0 6.06
07/12/2017	75501314	COHEN, GLADYS	EATON VANCE NATIONAL MUNICIPAL FUND B		0.00	92.84	0.00	92.84	65.0 60.35
07/12/2017	75501314	COHEN, GLADYS	FRANKLIN NEW YORK TAX-FREE INCOME F B		0.00	38.04	0.00	38.04	65.0 24.73
07/12/2017	75501314	COHEN, GLADYS	GOLDMAN SACHS DYNAMIC MUNICIPAL INC B		0.00	87.99	0.00	87.99	65.0 57.19
07/12/2017	75501314	COHEN, GLADYS	GOLDMAN SACHS HIGH YIELD MUNICIPAL B		0.00	52.61	0.00	52.61	65.0 34.20
07/12/2017	75501314	COHEN, GLADYS	ROCH. LIMITED TERM NEW YORK MUNICIP B		0.00	36.02	0.00	36.02	65.0 23.41
07/12/2017	75501314	COHEN, GLADYS	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	44.54	0.00	44.54	65.0 28.95
07/12/2017	75501315	COHEN, GLADYS	ALLIANCEBERNSTEIN MUNI INCOME-NY A B		0.00	38.79	0.00	38.79	65.0 25.21
07/12/2017	75501315	COHEN, GLADYS	EATON VANCE NATIONAL MUNICIPAL FUND B		0.00	15.81	0.00	15.81	65.0 10.28
07/12/2017	75501315	COHEN, GLADYS	EHV 27826L322	B	0.00	23.99	0.00	23.99	65.0 15.59
07/12/2017	75501315	COHEN, GLADYS	GOLDMAN SACHS HIGH YIELD MUNICIPAL B		0.00	31.33	0.00	31.33	65.0 20.36
07/12/2017	75501315	COHEN, GLADYS	INVESCO NEW YORK TAX FREE INCOME FU B		0.00	62.75	0.00	62.75	65.0 40.79

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/12/2017	75501315	COHEN, GLADYS		NUVEEN NEW YORK MUNI BOND A	B	0.00	57.33	0.00	57.33 65.0 37.26	
07/12/2017	75501315	COHEN, GLADYS		ROCHESTER NATIONAL MUNICIPALS A	B	0.00	11.47	0.00	11.47 65.0 7.46	
07/12/2017	75501315	COHEN, GLADYS		ROCHESTER FUND MUNICIPALS A	B	0.00	30.30	0.00	30.30 65.0 19.70	
07/12/2017	75501315	COHEN, GLADYS		THE BOND FUND OF AMERICA-A	B	0.00	15.52	0.00	15.52 65.0 10.09	
07/12/2017	75501316	PIPER, CAROL		AMERICAN HIGH-INCOME TRUST-A	B	0.00	6.12	0.00	6.12 65.0 3.98	
07/12/2017	75501316	PIPER, CAROL		FEDERATED HIGH INCOME BOND FUND	B	0.00	6.53	0.00	6.53 65.0 4.24	
07/12/2017	75501316	PIPER, CAROL		FRANKLIN INCOME FUND CLASS A	B	0.00	7.63	0.00	7.63 65.0 4.96	
07/12/2017	75501316	PIPER, CAROL		FRK AGE HIGH INC FND - CL A	B	0.00	2.12	0.00	2.12 65.0 1.38	
07/12/2017	75501316	PIPER, CAROL		GOLDMAN SACHS HIGH YIELD FUND CL A B		0.00	6.57	0.00	6.57 65.0 4.27	
07/12/2017	75501317	PIPER, CAROL		FRANKLIN INCOME FUND CLASS A	B	0.00	1.15	0.00	1.15 65.0 0.75	
07/12/2017	75501317	PIPER, CAROL		INVESCO ENDEAVOR FUND CL A	B	0.00	5.21	0.00	5.21 65.0 3.39	
07/12/2017	75501317	PIPER, CAROL		NEW PERSPECTIVE FUND-A	B	0.00	3.51	0.00	3.51 65.0 2.28	
07/12/2017	75501324	HEIDENREICH, CHARLYN		AIM SMALL CAP EQUITY FUND - CLASS A B		0.00	24.73	0.00	24.73 65.0 16.07	
07/12/2017	75501324	HEIDENREICH, CHARLYN		FRANKLIN BIOTECHNOLOGY DISCOVERY FU B		0.00	3.29	0.00	3.29 65.0 2.14	
07/12/2017	75501324	HEIDENREICH, CHARLYN		INVESCO AMERICAN FRANCHISE FUND CLA B		0.00	4.58	0.00	4.58 65.0 2.98	
07/12/2017	75501325	ANN S TOTTEN		FRANKLIN INCOME FUND CLASS A	B	0.00	2.54	0.00	2.54 65.0 1.65	
07/12/2017	75501867	PAGAN, VIONA LYNN		**JPMORGAN TR I	B	0.00	5.96	0.00	5.96 65.0 3.87	
07/12/2017	75501867	PAGAN, VIONA LYNN		**JPMORGAN TR I	B	0.00	5.54	0.00	5.54 65.0 3.60	
07/12/2017	75501867	PAGAN, VIONA LYNN		**JPMORGAN TR II	B	0.00	22.39	0.00	22.39 65.0 14.55	
07/12/2017	75501867	PAGAN, VIONA LYNN		DYNAMIC SMALL CAP FUND - A	B	0.00	18.12	0.00	18.12 65.0 11.78	
07/12/2017	75501867	PAGAN, VIONA LYNN		FIDELITY ADV TECHNOLOGY CL A	B	0.00	11.03	0.00	11.03 65.0 7.17	
07/12/2017	75501867	PAGAN, VIONA LYNN		GROWTH AND INCOME FUND - A	B	0.00	16.88	0.00	16.88 65.0 10.97	
07/12/2017	75501867	PAGAN, VIONA LYNN		SMALL CAP EQUITY FUND - A	B	0.00	3.93	0.00	3.93 65.0 2.55	
07/12/2017	75501867	PAGAN, VIONA LYNN		U.S. EQUITY FUND - A	B	0.00	22.41	0.00	22.41 65.0 14.57	
07/12/2017	75501920	PAGAN, VIONA LYNN		AIM GLOBAL AGGRESSIVE GROWTH FUND C B		0.00	2.41	0.00	2.41 65.0 1.57	
07/12/2017	75501920	PAGAN, VIONA LYNN		INVESCO AMERICAN FRANCHISE FUND CLA B		0.00	15.10	0.00	15.10 65.0 9.82	
07/13/2017	QGW030595	COHEN, MICHAEL		FRANKLIN FEDERAL TAX FREE INCOME FU B		0.00	4.01	0.00	4.01 65.0 2.61	
07/13/2017	QGW030595	COHEN, MICHAEL		FRANKLIN INCOME FUND CLASS A	B	0.00	10.78	0.00	10.78 65.0 7.01	
07/21/2017	QGW030595	COHEN, MICHAEL		GOLDMAN SACHS HIGH YIELD MUNICIPAL B		0.00	17.25	0.00	17.25 65.0 11.21	
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,384.53	\$0.00	\$1,384.53	\$899.96
				*** BROKER TRANSACTIONS TOTAL ***		\$485,881.91	\$6,822.74	\$490.00	\$6,332.74	\$3,950.10

COMMISSION STATEMENT for JOHN HEIDENREICH

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
07/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
07/31/2017		OTHER: OTHER-MERIDIAN WASTE		0.00	0.00	0.00	0.00		525.00
07/31/2017		OTHER: OTHER- TICKET CHARGES		0.00	0.00	0.00	0.00		-15.00
07/31/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.44
07/31/2017		OTHER: QUODD		0.00	0.00	0.00	0.00		-170.35
07/31/2017		OTHER: WEALTH MANAGEMENT STATION		0.00	0.00	0.00	0.00		-25.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$228.21

BROKER COMMISSION TOTALS

Broker Transactions	\$3,950.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$228.21
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.53
SIPC Assessment	\$-17.06

Check Amount	\$4,152.72
Year-to-date Earnings	\$14,779.41