

**COMMISSION STATEMENT****October 15, 2017**

ROY PFLEGER II  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

BROKER #: P123  
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
10/11/2017	ABRAHAM, BLESSY	SEI	12,755.12	31.89	0.00	31.89	75.0	23.92
10/11/2017	CIPRIANO, CARA	SEI	16,638.61	8.14	0.00	8.14	75.0	6.11
10/11/2017	DAVIS, BRIDGET	SEI	106,199.69	265.50	0.00	265.50	75.0	199.13
10/11/2017	DIDERIKSEN, CHRISTIAN	SEI	799.76	2.00	0.00	2.00	75.0	1.50
10/11/2017	O'HARA, LORRAINE	SEI	21,389.68	53.48	0.00	53.48	75.0	40.11
10/11/2017	KUSHNER, CHRISTOPHER	SEI	2,141.11	5.35	0.00	5.35	75.0	4.01
10/11/2017	LAURO, MICHAEL	SEI	2,974.07	7.44	0.00	7.44	75.0	5.58
10/11/2017	MASTROSERIO, MICHELLE	SEI	64,152.33	160.38	0.00	160.38	75.0	120.29
10/11/2017	O'HARA, LORRAINE	SEI	127,137.55	317.85	0.00	317.85	75.0	238.39
10/11/2017	O'HARA, LORRAINE	SEI	1,869.14	4.67	0.00	4.67	75.0	3.50
* RIA SUBTOTAL *			<b>\$356,057.06</b>	<b>\$856.70</b>	<b>\$0.00</b>	<b>\$856.70</b>		<b>\$642.54</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$356,057.06</b>	<b>\$856.70</b>	<b>\$0.00</b>	<b>\$856.70</b>		<b>\$642.54</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$642.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$31.85
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$674.39
Year-to-date Gross	\$2,987.94
Year-to-date Earnings	\$2,240.98