

**COMMISSION STATEMENT****April 15, 2018**

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.61	0.00	1.61	0.0	0.00
04/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
04/05/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.87	0.00	3.87	0.0	0.00
04/05/2018	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	13.91	0.00	13.91	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.71	0.00	0.71	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	0.00
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	3.34	0.00	3.34	0.0	0.00
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.84	0.00	1.84	0.0	0.00
04/02/2018	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	125.00	6.25	0.00	6.25	0.0	0.00
* 529 PLANS SUBTOTAL *			\$445.00	\$51.64	\$0.00	\$51.64		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/20/2018	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$120.00	\$1.20	\$0.00	\$1.20		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/29/2018	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	108,040.51	67.53	0.00	67.53	0.0	0.00
03/29/2018	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	95,117.07	59.45	0.00	59.45	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$203,157.58	\$126.98	\$0.00	\$126.98		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.86	0.00	14.86	0.0	0.00
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	30.89	0.00	30.89	0.0	0.00
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	10.06	0.00	10.06	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.16	0.00	16.16	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	2.14	0.00	2.14	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	8.55	0.00	8.55	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.73	0.00	0.73	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$83.39	\$0.00	\$83.39		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$203,722.58	\$263.21	\$0.00	\$263.21		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$1,757.75
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed