



COMMISSION STATEMENT

January 22, 2018

JOHN TRUMAN
1932 W Chesterfield Blvd #110
Springfield, MO 65807

BROKER #: B02
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/12/2018	***-**-206X - ROLLINGS, KEITH	AMCAP FUND-A	389.99	19.50	18.00	1.50	75.0	1.13
	ACCOUNT #: LSR012149	BUY 11 Units @35.0900						
01/12/2018	***-**-206X - ROLLINGS, KEITH	AMERICAN BALANCED FUND-A	260.01	13.00	18.00	-5.00	100.0	-5.00
	ACCOUNT #: LSR012149	BUY 9 Units @29.4300						
01/12/2018	***-**-206X - ROLLINGS, KEITH	FRANKLIN RISING DIVIDENDS FD CLASS	260.03	13.00	18.00	-5.00	100.0	-5.00
	ACCOUNT #: LSR012149	BUY 4 Units @67.5400						
01/12/2018	***-**-206X - ROLLINGS, KEITH	GROWTH AND INCOME FUND - A	390.00	18.52	18.00	0.52	75.0	0.39
	ACCOUNT #: LSR012149	BUY 7 Units @55.2100						
01/12/2018	***-**-206X - ROLLINGS, KEITH	PIMCO INCOME A	680.00	22.10	18.00	4.10	75.0	3.08
	ACCOUNT #: LSR012149	BUY 53 Units @12.8700						
01/12/2018	***-**-206X - ROLLINGS, KEITH	PIMCO INV GRADE CORP	260.01	8.45	18.00	-9.55	100.0	-9.55
	ACCOUNT #: LSR012149	BUY 24 Units @10.9200						
01/12/2018	***-**-206X - ROLLINGS, KEITH	TEMP FRGN FND - CL A	200.00	10.00	18.00	-8.00	100.0	-8.00
	ACCOUNT #: LSR012149	BUY 22 Units @8.9100						
* MUTUAL FUNDS SUBTOTAL *			\$2,440.04	\$104.57	\$126.00	\$-21.43		\$-22.95
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/22/2018	***-**-212X - MOCTEZUMA, ANA	AMCAP FUND-A	0.00	1.05	0.00	1.05	75.0	0.79
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/22/2018	***-**-600I - HARTER, BRADLEY	AMCAP FUND-A	0.00	0.91	0.00	0.91	75.0	0.68
	ACCOUNT #: LSR011811	BUY 1 Units @0.0000						
01/22/2018	***-**-9175 - GARDNER, JAMES	AMCAP FUND-A	0.00	4.30	0.00	4.30	75.0	3.23
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/22/2018	***-**-729X - FAIR, LISA	AMERICAN BALANCED FUND-A	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: LSR012147	BUY 1 Units @0.0000						
01/22/2018	***-**-523X - FROST, JULIE	AMERICAN BALANCED FUND-A	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR012151	BUY 1 Units @0.0000						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	AMERICAN HIGH-INCOME TRUST-A	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	AMERICAN MUTUAL FUND-A	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.35	0.00	0.35	75.0	0.26
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-19IR - MATTOX, JENNIFER	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.50	0.00	0.50	75.0	0.38
	ACCOUNT #: LSR012339	BUY 1 Units @0.0000						
01/22/2018	***-**-171I - BALLARD, SCOTT	FUNDAMENTAL INVESTORS-A	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: LSR012282	BUY 1 Units @0.0000						
01/22/2018	***-**-9175 - GARDNER, JAMES	FUNDAMENTAL INVESTORS-A	0.00	6.60	0.00	6.60	75.0	4.95
	ACCOUNT #: LSR012304	BUY 1 Units @0.0000						
01/16/2018	***-**-758I - EBERT, KIMBERLY	IVY ASSET STRATEGY CL C	0.00	4.45	0.00	4.45	75.0	3.34
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						
01/16/2018	***-**-758I - EBERT, KIMBERLY	IVY INTERNATIONAL CORE EQUITY FD CL	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						
01/16/2018	***-**-758I - EBERT, KIMBERLY	IVY SCIENCE & TECHNOLOGY CL C	0.00	2.92	0.00	2.92	75.0	2.19
	ACCOUNT #: LSR012072	BUY 1 Units @0.0000						
01/22/2018	***-**-619O - GRAFFT, JODELL	MASS INVESTORS GROWTH STOCK FUND-A	0.00	0.53	0.00	0.53	75.0	0.40
	ACCOUNT #: LSR012280	BUY 1 Units @0.0000						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	THE BOND FUND OF AMERICA-A	0.00	0.22	0.00	0.22	75.0	0.17
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/22/2018	***-**-283X - GOODALL, TERRI	THE GROWTH FUND OF AMERICA-A	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR012130	BUY 1 Units @0.0000						
01/22/2018	***-**-523X - FROST, JULIE	THE GROWTH FUND OF AMERICA-A	0.00	0.01	0.00	0.01	75.0	0.01
	ACCOUNT #: LSR012151	BUY 1 Units @0.0000						
01/22/2018	***-**-171I - BALLARD, SCOTT	THE INCOME FUND OF AMERICA-A	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: LSR012282	BUY 1 Units @0.0000						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	THE INVESTMENT COMPANY OF AMERICA-A	0.00	0.99	0.00	0.99	75.0	0.74
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/22/2018	***-**-619I - GRAFFT, JODELL	VIRTUS RAMPART EQ TREND CLASS C	0.00	1.11	0.00	1.11	75.0	0.83
	ACCOUNT #: LSR012279	BUY 1 Units @0.0000						
01/22/2018	***-**-212X - MOCTEZUMA, ANA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.52	0.00	0.52	75.0	0.39
	ACCOUNT #: LSR012361	BUY 1 Units @0.0000						
01/16/2018	***-**-619I - GRAFFT, JODELL	WELLS FARGO ABSOLUTE RETURN FUND CL	0.00	1.02	0.00	1.02	75.0	0.77
	ACCOUNT #: LSR012279	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$27.81	\$0.00	\$27.81		\$20.90
*** BROKER TRANSACTIONS TOTAL ***			\$2,440.04	\$132.38	\$126.00	\$6.38		\$-2.05



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<u>ADJUSTMENTS</u>								
01/22/2018		MISCELLANEOUS: MISC - OVERDUE EIA C	0.00	0.00	0.00	0.00		-4,205.52
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-4,205.52</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$-2.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,205.52
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-4,207.57
Year-to-date Earnings	\$-1,979.33