11/29/2017

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## R.F. Lafferty & Co., Inc. Est. 1946

## **COMMISSION STATEMENT**

October 31, 2017

ROCCO DIMILTA

21-46 80TH ST

JACKSON HEIGHTS, NY 11370

BROKER #: 59

BRANCH: HOME OFFICE

| TRADE DATE | CLIENT | INVESTMENT   | B/S      | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE                   | NE:      |         | RATE      | BROKER<br>COMMISSION |
|------------|--------|--|----------|----------------------|---------------------|--------------------------------------|----------|---------|-----------|----------------------|
|            |        |  | ADJUSTME | NTS                  |                     |                                      |          |         |           |                      |
| 10/31/2017 |        | OTHER: COMPLIANCE AND TECHNO   | DLOGY    | 0.00                 | 0.00                | 0.00                                 |          | 0.00    |           | -20.00               |
|            |        | *** ADJUSTMENTS T  | OTAL *** | \$0.00               | \$0.00              | \$0.00                               |          | \$0.00  | •         | \$-20.00             |
|            |        |  |          |                      |                     | <u> 1</u>                            | BROKER   | COMMI   | ssion     | TOTALS               |
|            |        | Broker Transactions  |          |                      |                     |                                      |          |         | \$0.00    |                      |
|            |        |  |          |                      |                     | Split Transactions                   |          |         |           | \$0.00               |
|            |        |  |          |                      |                     | Override Transactions<br>Adjustments |          |         |           | \$0.00               |
|            |        |  |          |                      |                     |                                      |          |         |           | \$-20.00             |
|            |        |  |          |                      | Payroll Draw        |                                      |          |         |           | \$0.00               |
|            |        | Base Salary  |          |                      |                     |                                      |          |         | \$0.00    |                      |
|            |        |  |          |                      |                     | Prior                                | Period E | Balance |           | \$-120.00            |
|            |        |  |          |                      |                     | FI                                   | NRA Asse | essment |           | \$0.00               |
|            |        |  |          |                      |                     | S                                    | IPC Asse | essment |           | \$0.00               |
|            |        | Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD  Balance Forward |          |                      |                     |                                      |          |         | \$-140.00 |                      |
|            |        |  |          |                      |                     | Year-to                              | -date Ea | rnings  |           | \$-140.00            |