

**COMMISSION STATEMENT****December 31, 2017**

B151-JASON/MARIA BAIRD/BONGIOVANNI

BROKER #: B151 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

, MI

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------------------|------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: 529 PLANS</u> | | | | | | | | |
| 12/15/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 300.00 | 3.00 | 0.00 | 3.00 | 0.0 | 0.00 |
| 12/15/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 300.00 | 3.00 | 0.00 | 3.00 | 0.0 | 0.00 |
| * 529 PLANS SUBTOTAL * | | | \$600.00 | \$6.00 | \$0.00 | \$6.00 | | \$0.00 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 12/15/2017 | COCHRILL, MELANIE | NWL NEXT GEN II FP VUL | 30.00 | 0.90 | 0.00 | 0.90 | 0.0 | 0.00 |
| 12/16/2017 | BAIRD, KATHRYN | NWL NEXT GEN II FP VUL | 142.00 | 2.84 | 0.00 | 2.84 | 0.0 | 0.00 |
| 12/22/2017 | BAIRD, JASON | NWL NEXT GEN II FP VUL | 144.00 | 2.88 | 0.00 | 2.88 | 0.0 | 0.00 |
| 12/15/2017 | HINCHLIFFE, ERIC | NWL YOURLIFE ACCUM VUL | 210.13 | 2.10 | 0.00 | 2.10 | 0.0 | 0.00 |
| 12/15/2017 | GREENBLATT JR, ROLAND | NWL YOURLIFE ACCUM VUL | 110.58 | 1.11 | 0.00 | 1.11 | 0.0 | 0.00 |
| 12/15/2017 | RICCI, SARAH | NWL YOURLIFE ACCUM VUL | 492.24 | 4.92 | 0.00 | 4.92 | 0.0 | 0.00 |
| 12/16/2017 | RICCI, SARAH | NWL YOURLIFE ACCUM VUL | 88.83 | 0.89 | 0.00 | 0.89 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$1,217.78 | \$15.64 | \$0.00 | \$15.64 | | \$0.00 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 11/30/2017 | WAX, LUBENA | BLACKROCK 19452P735 | 0.00 | 0.03 | 0.00 | 0.03 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLACKROCK 19452P735 | 0.00 | 0.04 | 0.00 | 0.04 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLACKROCK 19452P735 | 0.00 | 2.00 | 0.00 | 2.00 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 0.00 | 2.40 | 0.00 | 2.40 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 0.00 | 7.20 | 0.00 | 7.20 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 0.00 | 31.08 | 0.00 | 31.08 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 0.00 | 10.36 | 0.00 | 10.36 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 0.00 | 24.50 | 0.00 | 24.50 | 0.0 | 0.00 |
| 11/30/2017 | WAX, LUBENA | BLK EQUITY DIVIDEND C | 0.00 | 8.17 | 0.00 | 8.17 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$85.78 | \$0.00 | \$85.78 | | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$1,817.78 | \$107.42 | \$0.00 | \$107.42 | | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$7,594.11 |
| Year-to-date Earnings | \$0.00 |