R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT July 31, 2018

BROKER #: P80

BRANCH: HOME OFFICE

PHYLLIS FATTORUSO

89-40 151ST AVENUE APT 5G HOWARD BEACH, NY 11414

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DD01	, mp 11/4	a CITTONIC					
		BROI	KER TRANS	ACTIONS					
PRODUCT CAT	TEGORY: STOCKS								
07/20/2018 QC	GW007700 SARNA, MARK	BANK OF AMERICA CORP	В	108,000.00	200.00	0.00	200.00	52.0	104.00
)7/20/2018 QC	GW007700 SARNA, MARK	GENERAL ELECTRIC CO	В	26,000.00	100.00	0.00	100.00	52.0	52.00
7/26/2018 QC	GW007700 SARNA, MARK	BANK OF AMERICA CORP	S	-15,556.70	25.00	0.00	25.00	52.0	13.00
07/02/2018 QC	GW007825 SARNA FAMILY LTD	APPLE INC	S	-373,886.00	100.00	0.00	100.00	52.0	52.00
7/05/2018 QC	GW007825 SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD S	PONSORED S	-186,843.40	50.00	0.00	50.00	52.0	26.00
7/06/2018 QC	GW007825 SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD S	PONSORED S	-191,026.80	50.00	0.00	50.00	52.0	26.00
7/20/2018 QC	GW007825 SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD S	PONSORED B	175,000.00	50.00	0.00	50.00	52.0	26.00
7/20/2018 QC	GW007825 SARNA FAMILY LTD	ALIBABA GROUP HOLDING LTD S	PONSORED B	170,000.00	50.00	0.00	50.00	52.0	26.00
7/20/2018 QC	GW007825 SARNA FAMILY LTD	APPLE INC	В	350,000.00	100.00	0.00	100.00	52.0	52.00
7/20/2018 QC	GW007825 SARNA FAMILY LTD	BANK OF AMERICA CORP	В	135,000.00	250.00	0.00	250.00	52.0	130.00
7/20/2018 QC	GW007825 SARNA FAMILY LTD	GOLDMAN SACHS GROUP INC	В	430,000.00	100.00	0.00	100.00	52.0	52.00
7/25/2018 QC	GW007825 SARNA FAMILY LTD	FACEBOOK INC CL A	В	202,330.00	50.00	0.00	50.00	52.0	26.00
7/26/2018 QC	GW007825 SARNA FAMILY LTD	FACEBOOK INC CL A	В	171,566.00	50.00	0.00	50.00	52.0	26.00
		* STOCKS S	UBTOTAL *	\$1,000,583.10	\$1,175.00	\$0.00	\$1,175.00		\$611.00
PRODUCT CAT	TEGORY: OPTIONS								
7/11/2018 QC	GW007700 SARNA, MARK	CALL 100 CATERPILLAR INC CO	M EXP 08 B	9,690.00	40.00	0.00	40.00	52.0	20.80
7/13/2018 QC	GW007700 SARNA, MARK	CALL 100 CATERPILLAR INC CO	M EXP 08 S	-11,730.00	40.00	0.00	40.00	52.0	20.80
7/17/2018 QC	GW007700 SARNA, MARK	CALL 100 ARCONIC INC COM EX	P 07-20-S	-6,400.00	80.00	0.00	80.00	52.0	41.60
7/17/2018 QC	GW007700 SARNA, MARK	CALL 100 FREEPORT-MCMORAN I	NC CL B S	-2,900.00	30.00	0.00	30.00	52.0	15.60
7/17/2010 0/	211000000000000000000000000000000000000	100							
1/ I 1/ ZOTO O	GW007700 SARNA, MARK	CALL 100 GENERAL ELECTRIC C	O COM EX B	5,670.00	90.00	0.00	90.00	52.0	46.80
	GW007700 SARNA, MARK GW007700 SARNA, MARK	CALL 100 GENERAL ELECTRIC C		5,670.00 10,880.00	90.00 40.00	0.00	90.00 40.00	52.0 52.0	46.80 20.80
7/17/2018 QC			P INC COB	·					
7/17/2018 QC 7/17/2018 QC	GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROU	P INC COB US91912E S	10,880.00	40.00	0.00	40.00	52.0	20.80
7/17/2018 QC 7/17/2018 QC 7/18/2018 QC	GW007700 SARNA, MARK GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROUCE CALL 100 VALE S A ADR ISIN#	P INC COB US91912E S O COM EX S	10,880.00	40.00	0.00	40.00	52.0 52.0	20.80 15.60
7/17/2018 QC 7/17/2018 QC 7/18/2018 QC 7/18/2018 QC	GW007700 SARNA, MARK GW007700 SARNA, MARK GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROU CALL 100 VALE S A ADR ISIN# CALL 100 GENERAL ELECTRIC C	P INC CO B US91912E S O COM EX S O COM EX S	10,880.00 -2,250.00 -800.00	40.00 30.00 20.00	0.00 0.00 0.00	40.00 30.00 20.00	52.0 52.0 52.0	20.80 15.60 10.40
7/17/2018 QC 7/17/2018 QC 7/18/2018 QC 7/18/2018 QC 7/18/2018 QC	GW007700 SARNA, MARK GW007700 SARNA, MARK GW007700 SARNA, MARK GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROU CALL 100 VALE S A ADR ISIN# CALL 100 GENERAL ELECTRIC C	P INC CO B US91912E S O COM EX S O COM EX S O COM EX S	10,880.00 -2,250.00 -800.00 800.00	40.00 30.00 20.00 -20.00	0.00 0.00 0.00 0.00	40.00 30.00 20.00 -20.00	52.0 52.0 52.0 52.0	20.80 15.60 10.40 -10.40
7/17/2018 QC 7/17/2018 QC 7/18/2018 QC 7/18/2018 QC 7/18/2018 QC 7/19/2018 QC	GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROU CALL 100 VALE S A ADR ISIN# CALL 100 GENERAL ELECTRIC C CALL 100 GENERAL ELECTRIC C	P INC CO B US91912E S O COM EX S O COM EX S O COM EX S RPORATIO B	10,880.00 -2,250.00 -800.00 800.00 -800.00	40.00 30.00 20.00 -20.00 20.00	0.00 0.00 0.00 0.00 0.00	40.00 30.00 20.00 -20.00 20.00	52.0 52.0 52.0 52.0 52.0	20.80 15.60 10.40 -10.40 10.40
7/17/2018 QC 7/17/2018 QC 7/18/2018 QC 7/18/2018 QC 7/18/2018 QC 7/19/2018 QC 7/20/2018 QC	GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROUD CALL 100 VALE S A ADR ISIN# CALL 100 GENERAL ELECTRIC C CALL 100 GENERAL ELECTRIC C CALL 100 GENERAL ELECTRIC C CALL 100 BANK OF AMERICA CO	P INC CO B US91912E S O COM EX S O COM EX S O COM EX S RPORATIO B P 07-20- S	10,880.00 -2,250.00 -800.00 800.00 -800.00 22,330.00	40.00 30.00 20.00 -20.00 20.00 330.00	0.00 0.00 0.00 0.00 0.00	40.00 30.00 20.00 -20.00 20.00 330.00	52.0 52.0 52.0 52.0 52.0 52.0	20.80 15.60 10.40 -10.40 10.40
7/17/2018 QC 7/17/2018 QC 7/18/2018 QC 7/18/2018 QC 7/18/2018 QC 7/19/2018 QC 7/20/2018 QC 7/20/2018 QC	GW007700 SARNA, MARK	CALL 100 GOLDMAN SACHS GROUD CALL 100 VALE S A ADR ISIN# CALL 100 GENERAL ELECTRIC CONTROL CON	P INC CO B US91912E S O COM EX S O COM EX S O COM EX S RPORATIO B P 07-20- S 08-17-1 B	10,880.00 -2,250.00 -800.00 800.00 -800.00 22,330.00 -3,110.00	40.00 30.00 20.00 -20.00 20.00 330.00 40.00	0.00 0.00 0.00 0.00 0.00 0.00	40.00 30.00 20.00 -20.00 20.00 330.00 40.00	52.0 52.0 52.0 52.0 52.0 52.0 52.0	20.80 15.60 10.40 -10.40 10.40 171.60 20.80

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSA	A CITTONIC					
		DRUKER IRANSA	ACTIONS					
PRODUCT CATEGORY: OPTIONS								
07/20/2018 QGW007700 SARNA, MARK	CALL 100 GOLDMAN	SACHS GROUP INC COS	-16,600.00	40.00	0.00	40.00	52.0	20.80
07/20/2018 QGW007700 SARNA, MARK	CALL 100 GOLDMAN	SACHS GROUP INC COS	-11,800.00	40.00	0.00	40.00	52.0	20.80
07/23/2018 QGW007700 SARNA, MARK	CALL 100 BANK OF	AMERICA CORPORATIO S	-460.00	40.00	0.00	40.00	52.0	20.80
07/24/2018 QGW007700 SARNA, MARK	CALL 100 ALCOA CO	RP COM EXP 08-17-1 S	-14,299.80	120.00	0.00	120.00	52.0	62.40
07/24/2018 QGW007700 SARNA, MARK	CALL 100 FREEPORT	-MCMORAN INC CL B B	2,820.00	60.00	0.00	60.00	52.0	31.20
07/24/2018 QGW007700 SARNA, MARK	CALL 100 GOLDMAN	SACHS GROUP INC COS	-18,060.00	40.00	0.00	40.00	52.0	20.80
07/25/2018 QGW007700 SARNA, MARK	CALL 100 GENERAL	MTRS CO COM EXP 08 B	4,100.00	60.00	0.00	60.00	52.0	31.20
07/30/2018 QGW007700 SARNA, MARK	CALL 100 FACEBOOK	INC CL A EXP 08-1 S	-12,600.00	40.00	0.00	40.00	52.0	20.80
07/30/2018 QGW007700 SARNA, MARK	CALL 100 FACEBOOK	INC CL A EXP 08-1 B	9,770.00	40.00	0.00	40.00	52.0	20.80
07/30/2018 QGW007700 SARNA, MARK	CALL 100 GENERAL	MTRS CO COM EXP 08 S	-6,240.00	80.00	0.00	80.00	52.0	41.60
07/11/2018 QGW007825 SARNA FAMILY LTD	CALL 100 ALIBABA	GROUP HLDG LTD SPO B	16,740.00	40.00	0.00	40.00	52.0	20.80
07/11/2018 QGW007825 SARNA FAMILY LTD	CALL 100 CATERPIL	LAR INC COM EXP 08 B	9,690.00	40.00	0.00	40.00	52.0	20.80
07/11/2018 QGW007825 SARNA FAMILY LTD	CALL 100 MARATHON	PETE CORP COM EXP B	6,120.00	40.00	0.00	40.00	52.0	20.80
07/13/2018 QGW007825 SARNA FAMILY LTD	CALL 100 MARATHON	PETE CORP COM EXP S	-7,340.00	40.00	0.00	40.00	52.0	20.80
07/17/2018 QGW007825 SARNA FAMILY LTD	CALL 100 ARCONIC	INC COM EXP 07-20-S	-3,200.00	40.00	0.00	40.00	52.0	20.80
07/17/2018 QGW007825 SARNA FAMILY LTD	CALL 100 FREEPORT	-MCMORAN INC CL B S	-2,900.00	30.00	0.00	30.00	52.0	15.60
07/17/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GENERAL	ELECTRIC CO COM EX B	1,890.00	30.00	0.00	30.00	52.0	15.60
07/17/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GOLDMAN	SACHS GROUP INC COB	21,742.00	80.00	0.00	80.00	52.0	41.60
07/17/2018 QGW007825 SARNA FAMILY LTD	CALL 100 VALE S A	ADR ISIN#US91912E S	-2,250.00	30.00	0.00	30.00	52.0	15.60
07/18/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GENERAL	ELECTRIC CO COM EX S	-800.00	20.00	0.00	20.00	52.0	10.40
07/18/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GENERAL	ELECTRIC CO COM EX S	800.00	-20.00	0.00	-20.00	52.0	-10.40 C
07/18/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GENERAL	ELECTRIC CO COM EX S	-800.00	20.00	0.00	20.00	52.0	10.40
07/19/2018 QGW007825 SARNA FAMILY LTD	CALL 100 BANK OF	AMERICA CORPORATIO B	24,360.00	360.00	0.00	360.00	52.0	187.20
07/20/2018 QGW007825 SARNA FAMILY LTD	CALL 100 ARCONIC	INC COM EXP 07-20-S	-6,220.00	80.00	0.00	80.00	52.0	41.60
07/20/2018 QGW007825 SARNA FAMILY LTD	CALL 100 BANK OF .	AMERICA CORPORATIO S	-15,500.00	200.00	0.00	200.00	52.0	104.00
07/20/2018 QGW007825 SARNA FAMILY LTD	CALL 100 BANK OF .	AMERICA CORPORATIO S	-4,140.00	60.00	0.00	60.00	52.0	31.20
07/20/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GOLDMAN	SACHS GROUP INC CO S	-16,600.00	40.00	0.00	40.00	52.0	20.80
07/20/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GOLDMAN	SACHS GROUP INC CO S	-23,600.00	80.00	0.00	80.00	52.0	41.60
07/23/2018 QGW007825 SARNA FAMILY LTD	CALL 100 BANK OF .	AMERICA CORPORATIO S	-460.00	40.00	0.00	40.00	52.0	20.80
07/24/2018 QGW007825 SARNA FAMILY LTD	CALL 100 FREEPORT	-MCMORAN INC CL B B	2,820.00	60.00	0.00	60.00	52.0	31.20
07/25/2018 QGW007825 SARNA FAMILY LTD	CALL 100 GENERAL	MTRS CO COM EXP 08 B	4,100.00	60.00	0.00	60.00	52.0	31.20
07/26/2018 QGW007825 SARNA FAMILY LTD	CALL 100 CATERPIL	LAR INC COM EXP 08 S	-13,600.00	40.00	0.00	40.00	52.0	20.80
07/30/2018 QGW007825 SARNA FAMILY LTD	CALL 100 FACEBOOK	INC CL A EXP 08-1 B	9,770.00	40.00	0.00	40.00	52.0	20.80
	*	OPTIONS SUBTOTAL *	\$-51,887.80	\$3,130.00	\$0.00	\$3,130.00		\$1,627.60
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
07/31/2018	FEE COUNT - 2		0.00	24.68	0.00	24.68	52.0	12.83
	* MUTUAL FUND 12B	l TRAILS SUBTOTAL *	\$0.00	\$24.68	\$0.00	\$24.68		\$12.83
	*** BROKER TRAI	NSACTIONS TOTAL ***	\$948,695.30	\$4,329.68	\$0.00	\$4,329.68		\$2,251.43

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TRADE DATE	CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJUSTM	ENTS					
07/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00
					Ī	BROKER COMMI	ssion	TOTALS

BROKER COMMISSION	TOTALS
Broker Transactions	\$2,251.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.41
SIPC Assessment	\$-10.82

\$23,157.64

Year-to-date Earnings