

**COMMISSION STATEMENT****January 15, 2018**

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/22/2017	RUSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.19	0.00	3.19	75.0	2.39
12/18/2017	RUSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.13	0.00	2.13	75.0	1.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5.32	\$0.00	\$5.32		\$3.99
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$5.32	\$0.00	\$5.32		\$3.99
<u>ADJUSTMENTS</u>								
01/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
01/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
01/15/2018		*PERSHING: ETF PROSPECTUS	0.00					-6.45
01/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-494.95

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3.99
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-494.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-502.87
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-993.83
Year-to-date Gross	\$5.32
Year-to-date Earnings	\$3.99