



COMMISSION STATEMENT

June 11, 2018

RICHARD PASCALE
321 Grover Avenue North
Massapequa Park, NY 11762

BROKER #: 981
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	9.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
06/08/2018	***-**-0205 - RESK, 10	PACIFIC LIFE ABRB DTCC	91.00	0.91	0.00	0.91	90.0	0.82
	ACCOUNT #: VP71054550	BUY 0 Units @0.0000						
06/06/2018	***-**-0286 - STRAUDEL,	PACIFIC LIFE SE5-NYB DTCC	250.00	3.75	0.00	3.75	90.0	3.38
	ACCOUNT #: VP71067620	BUY 0 Units @0.0000						
06/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
06/01/2018	***-**-3981 - HALL, WAYNE	PACIFIC LIFE VA DTCC RENEWALS	75.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050690	BUY 0 Units @0.0000						
06/04/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
06/04/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
06/04/2018	***-**-7948 - GEOGHEGAN, JOHN	PACIFIC LIFE VA DTCC RENEWALS	200.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: VP71049160	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$1,100.00	\$8.52	\$0.00	\$8.52		\$7.67
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/11/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.85	0.00	1.85	90.0	1.67
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
06/11/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN LARGE-CAP GROWTH	0.00	2.08	0.00	2.08	90.0	1.87
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
06/11/2018	***-**-78IN - KOLLQAKU, BUJARE	ALLIANCEBERNSTEIN MID-CAP GRO A	0.00	1.29	0.00	1.29	90.0	1.16
	ACCOUNT #: LSR010074	BUY 1 Units @0.0000						
06/11/2018	***-**-5723 - PONTURO,	NEW YORK 529 TRAILS	0.00	3.84	0.00	3.84	90.0	3.46
	ACCOUNT #: 77161355101	BUY 0 Units @0.0000						
06/11/2018	***-**-545P - FELLIN, DIANE	NEW YORK 529 TRAILS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: 77169971001	BUY 0 Units @0.0000						
06/11/2018	***-**-465P - KALANTZOPOULOS,	NEW YORK 529 TRAILS	0.00	1.07	0.00	1.07	90.0	0.96
	ACCOUNT #: 77280070001	BUY 0 Units @0.0000						
06/11/2018	***-**-623I - LEIBOWITZ, IRWIN	NEW YORK 529 TRAILS	0.00	0.41	0.00	0.41	90.0	0.37
	ACCOUNT #: 77287712601	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/11/2018	***-**-895P - POWER, KATHLEEN	NEW YORK 529 TRAILS	0.00	0.27	0.00	0.27	90.0	0.24
	ACCOUNT #: 77321173601	BUY 0 Units @0.0000						
06/11/2018	***-**-775P - RHODES, EDWARD	NEW YORK 529 TRAILS	0.00	0.30	0.00	0.30	90.0	0.27
	ACCOUNT #: 77426669701	BUY 0 Units @0.0000						
06/11/2018	***-**-515P - PASSARO, MARY	NEW YORK 529 TRAILS	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: 77507742202	BUY 0 Units @0.0000						
06/11/2018	***-**-815P - WILSON, JOHN	NEW YORK 529 TRAILS	0.00	1.09	0.00	1.09	90.0	0.98
		BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.82	\$0.00	\$12.82		\$11.54
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/31/2018	***-**-01IN - PASCALE, ROBERT	JACKSON 468511480	0.00	117.50	0.00	117.50	90.0	105.75
	ACCOUNT #: 1200005320	BUY 0 Units @0.0000						
05/31/2018	***-**-67IN - SOLA, MARIA	JACKSON 468511480	0.00	110.15	0.00	110.15	90.0	99.14
	ACCOUNT #: 1200291765	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$227.65	\$0.00	\$227.65		\$204.89
*** BROKER TRANSACTIONS TOTAL ***			\$1,100.00	\$248.99	\$0.00	\$248.99		\$224.10

SPLIT TRANSACTIONS

BROKER: JEFFREY MORRISON

06/11/2018	***-**-4673 - PEPE, JOANN	ABERDEEN US EQUITY I FUND CLASS A	0.00	3.56	0.00	3.56	90.0	3.20
	ACCOUNT #: LSR105562	BUY 1 Units @0.0000						
06/11/2018	***-**-9385 - JOSEPH, BRIAN	NEW YORK 529 TRAILS	0.00	2.83	0.00	2.83	90.0	2.55
	ACCOUNT #: 77094508001	BUY 0 Units @0.0000						
06/11/2018	***-**-7673 - MORRISON,	NEW YORK 529 TRAILS	0.00	8.34	0.00	8.34	90.0	7.51
	ACCOUNT #: 77152277401	BUY 0 Units @0.0000						
06/11/2018	***-**-446J - RUBIN	NEW YORK 529 TRAILS	0.00	3.83	0.00	3.83	90.0	3.45
	ACCOUNT #: 77334416801	BUY 0 Units @0.0000						
06/11/2018	***-**-7724 - NANDAA, NEIL	NEW YORK 529 TRAILS	0.00	2.40	0.00	2.40	90.0	2.16
	ACCOUNT #: 77348040901	BUY 0 Units @0.0000						



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<u>SPLIT TRANSACTIONS (continued)</u>								
<u>BROKER: JEFFREY MORRISON (continued)</u>								
06/11/2018	***-**-18IN - WATSON	NEW YORK 529 TRAILS	0.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: 77398834701	BUY 0 Units @0.0000						
06/11/2018	***-**-165P - BRILL, AMY	NEW YORK 529 TRAILS	0.00	13.84	0.00	13.84	90.0	12.46
		BUY 0 Units @0.0000						
06/11/2018	***-**-405P - KITOGRAJ, JAY	NEW YORK 529 TRAILS	0.00	1.66	0.00	1.66	90.0	1.49
	ACCOUNT #: 77739941101	BUY 0 Units @0.0000						
06/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
06/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
06/04/2018	***-**-5634 - BLOCH, RAMNIT	PACIFIC LIFE SE5-NYB DTCC	50.00	0.75	0.00	0.75	90.0	0.68
	ACCOUNT #: VP71073350	BUY 0 Units @0.0000						
06/06/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	135.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
06/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.50	0.00	0.50	90.0	0.45
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
06/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.38	0.00	0.38	90.0	0.34
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
06/01/2018	***-**-0944 - GEOGHEGAN, TRACY	PACIFIC LIFE VA DTCC RENEWALS	100.00	0.13	0.00	0.13	90.0	0.12
	ACCOUNT #: VP71050220	BUY 0 Units @0.0000						
06/04/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
06/04/2018	***-**-8062 - MANEL, GIOVANNA	PACIFIC LIFE VA DTCC RENEWALS	350.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: VP71049720	BUY 0 Units @0.0000						
06/01/2018	***-**-485I - YANKILEVICH,	PACIFIC LIFE VA RENEWAL DTCC	250.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: VP65871480	BUY 0 Units @0.0000						
* JEFFREY MORRISON SUBTOTAL *			\$2,135.00	\$48.07	\$0.00	\$48.07		\$43.28
*** SPLIT TRANSACTIONS TOTAL ***			\$2,135.00	\$48.07	\$0.00	\$48.07		\$43.28



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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ALAN FISHMAN</u>								
06/11/2018	***-**-5103 - VICARI, KEVIN	VOYA INS TRAILS BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	7.5	0.11
		* ALAN FISHMAN SUBTOTAL *	\$0.00	\$1.45	\$0.00	\$1.45		\$0.11
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$0.00	\$1.45	\$0.00	\$1.45		\$0.11

BROKER COMMISSION TOTALS

Broker Transactions	\$224.10
Split Transactions	\$43.28
Override Transactions	\$0.11
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$267.49
Year-to-date Earnings	\$29,401.04