

**COMMISSION STATEMENT****January 15, 2018**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: J1D  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^12/22/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	4.36	0.00	4.36	100.0	0.00
^12/21/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.95	0.00	12.95	100.0	0.00
^12/21/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.93	0.00	10.93	100.0	0.00
^12/21/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	32.79	0.00	32.79	100.0	0.00
^12/21/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.36	0.00	10.36	100.0	0.00
^12/21/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	31.08	0.00	31.08	100.0	0.00
^12/18/2017	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.37	0.00	10.37	100.0	0.00
^12/18/2017	KLANSKY, ARTHUR	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.10	0.00	31.10	100.0	0.00
^12/18/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.63	0.00	6.63	100.0	0.00
^12/18/2017	BENAVENTE, CAROLYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.90	0.00	19.90	100.0	0.00
^12/18/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.33	0.00	10.33	100.0	0.00
^12/18/2017	MALONEY, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.00	0.00	31.00	100.0	0.00
^12/18/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.30	0.00	4.30	100.0	0.00
^12/14/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	3.83	0.00	3.83	100.0	0.00
^12/14/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	4.63	0.00	4.63	100.0	0.00
^12/14/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	3.23	0.00	3.23	100.0	0.00
^12/19/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.78	0.00	0.78	100.0	0.00
^12/19/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	16.67	0.00	16.67	100.0	0.00
^12/19/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.67	0.00	0.67	100.0	0.00
^12/15/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	135.74	0.00	135.74	100.0	0.00
^12/14/2017	REISS, RICHARD	NUVEEN NEW YORK MUNICIPAL BOND CLAS	0.00	4.37	0.00	4.37	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$386.02</b>	<b>\$0.00</b>	<b>\$386.02</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$386.02</b>	<b>\$0.00</b>	<b>\$386.02</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/15/2018		*PERSHING: MF PROSPECTUS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed