

**COMMISSION STATEMENT****January 15, 2018****G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: G3G**

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^12/28/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	-6,246.85	0.00	0.00	0.00	100.0	0.00
^12/28/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	-3,444.72	0.00	0.00	0.00	100.0	0.00
^12/28/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	-6,124.75	0.00	0.00	0.00	100.0	0.00
^12/28/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	-4,216.92	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$-20,033.24</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$-20,033.24</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

^12/15/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.23	0.00	0.23	100.0	0.00
^12/18/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.60	0.00	0.60	100.0	0.00
^12/18/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.01	0.00	1.01	100.0	0.00
^12/14/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.66	0.00	1.66	100.0	0.00
^12/14/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.09	0.00	1.09	100.0	0.00
^12/14/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.72	0.00	0.72	100.0	0.00
^12/14/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.42	0.00	1.42	100.0	0.00
^12/14/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	8.77	0.00	8.77	100.0	0.00
^12/14/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.78	0.00	7.78	100.0	0.00
^12/14/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	1.15	0.00	1.15	100.0	0.00
^12/14/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.84	0.00	0.84	100.0	0.00
^12/14/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.59	0.00	0.59	100.0	0.00
^12/19/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.07	0.00	0.07	100.0	0.00
^12/15/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.01	0.00	1.01	100.0	0.00
^12/15/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.57	0.00	4.57	100.0	0.00
^12/20/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.11	0.00	2.11	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$33.62	\$0.00	\$33.62	\$0.00
*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$33.62	\$0.00	\$33.62	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.04
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed