

STATEMENT

July 20, 2018

DENNIS KREUSCHER

BROKER #: 230

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for DENNIS KREUSCHER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|------------------|------------------|-------------------------------------|---------------------|-------|---------------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 05/01/0018 | #3100006950 | MOE-CLARM | DELAWARE FUNDS | 8,654.04 | 1.84 | 90.0 | 0.00 | 1.66 | 1.66 |
| 05/01/0018 | #6000308588 | JOHNSDORIJ | DELAWARE FUNDS | 53,827.12 | 11.43 | 90.0 | 0.00 | 10.29 | 10.29 |
| 05/01/0018 | #6000828375 | PERTERDAVIE | DELAWARE FUNDS | 15,833.80 | 3.36 | 90.0 | 0.00 | 3.02 | 3.02 |
| 05/01/0018 | #6000829020 | MOE-CLARM | DELAWARE FUNDS | 32,150.02 | 6.83 | 90.0 | 0.00 | 6.15 | 6.15 |
| 05/01/0018 | #6000831510 | LEVOR, JUDY | DELAWARE FUNDS | 5,906.57 | 1.25 | 90.0 | 0.00 | 1.13 | 1.13 |
| 05/01/0018 | #6000831225 | HANSOCRAIA | DELAWARE FUNDS | 10,570.37 | 8.98 | 90.0 | 0.00 | 8.08 | 8.08 |
| 05/07/2018 | #7200000231 | LEASE, PHYLLIS | SAMMONS LWMF | 0.00 | 14.64 | 90.0 | 0.00 | 13.18 | 13.18 |
| 05/07/2018 | #7200000237 | LEASE, CRAIG | SAMMONS LWMF | 0.00 | 14.64 | 90.0 | 0.00 | 13.18 | 13.18 |
| 06/05/2018 | #7200000231 | LEASE, PHYLLIS | SAMMONS LWMF | 0.00 | 14.95 | 90.0 | 0.00 | 13.46 | 13.46 |
| 06/05/2018 | #7200000237 | LEASE, CRAIG | SAMMONS LWMF | 0.00 | 14.95 | 90.0 | 0.00 | 13.46 | 13.46 |
| 06/15/2018 | #00088369117 | STUMOTERES | AMCAP FUND INC CLASS A | 300.00 | 15.00 | 90.0 | 0.00 | 13.50 | 13.50 |
| 06/15/2018 | #000000003230378 | RUSTAROGED | FRANKLIN BIOTECHNOLOGY DISCOVERY FU | 500.00 | 25.00 | 90.0 | 0.00 | 22.50 | 22.50 |
| 06/15/2018 | #000060177537996 | YEOMAHEATM | FRANKLIN GROWTH FUND - CLASS A | 100.00 | 5.00 | 90.0 | 0.00 | 4.50 | 4.50 |
| 06/15/2018 | #000060177538036 | OLSONRACHA | FRANKLIN GROWTH FUND - CLASS A | 100.00 | 5.00 | 90.0 | 0.00 | 4.50 | 4.50 |
| 06/15/2018 | #00083340687 | SCHIPULLKR | SMALLCAP WORLD FUND INC CLASS A | 458.33 | 9.17 | 90.0 | 0.00 | 8.25 | 8.25 |
| 06/22/2018 | #00083610743 | SEVERSON, KA | BOND FUND OF AMERICA INC CL A | 80.00 | 2.40 | 90.0 | 0.00 | 2.16 | 2.16 |
| 06/22/2018 | #00083610743 | SEVERSON, KA | CAPITAL INCOME BUILDER FD CL A | 80.00 | 3.40 | 90.0 | 0.00 | 3.06 | 3.06 |
| 06/22/2018 | # | SCHIPULL, DENNIS | FIDELITY INVT TR ADVISOR EMRGING MK | 8,158.95 | 0.00 | 90.0 | 0.00 | 0.00 | -20.00 |
| 06/22/2018 | #00083610743 | SEVERSON, KA | INCOME FUND OF AMERICA INC CLASS A | 80.00 | 3.40 | 90.0 | 0.00 | 3.06 | 3.06 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$136,799.20 | \$161.24 | | \$0.00 | \$145.14 | \$20.00 | \$125.14 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 05/02/2018 | #7100000029 | RODBERG, BONNIE | MIDLAND NAT | 0.00 | 81.62 | 90.0 | 0.00 | 73.46 | 73.46 |
| 06/04/2018 | #7100000029 | RODBERG, BONNIE | MIDLAND NAT | 0.00 | 85.30 | 90.0 | 0.00 | 76.77 | 76.77 |
| 06/30/2018 | #1013011210 | COLBY, JANE | ELITE ACCESS | 66,357.51 | 22.12 | 90.0 | 0.00 | 19.91 | 19.91 |
| 06/30/2018 | #1012238173 | TENOLD, GAYLIS | ELITE ACCESS | 152,312.63 | 50.77 | 90.0 | 0.00 | 45.69 | 45.69 |
| 06/30/2018 | #1013317565 | TENOLD, JOHN | ELITE ACCESS | 35,237.58 | 11.75 | 90.0 | 0.00 | 10.58 | 10.58 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$253,907.72 | \$251.56 | | \$0.00 | \$226.41 | \$0.00 | \$226.41 |

COMMISSION STATEMENT for DENNIS KREUSCHER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------|--------------|-------------------------------------|---------------------|-------|---------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 06/01/2018 | #00088369117 | STUMOTERES | AMCAP FUND INC CLASS A | 0.00 | 11.93 | 90.0 | 0.00 | 10.74 | 10.74 |
| 06/01/2018 | #00088421899 | SCHIPULLKR | AMCAP FUND INC CLASS A | 0.00 | 99.48 | 90.0 | 0.00 | 89.53 | 89.53 |
| 06/01/2018 | #00088674109 | | AMCAP FUND INC CLASS A | 0.00 | 11.45 | 90.0 | 0.00 | 10.31 | 10.31 |
| 06/01/2018 | #00088826362 | | AMCAP FUND INC CLASS A | 0.00 | 2.52 | 90.0 | 0.00 | 2.27 | 2.27 |
| 06/01/2018 | #00089566907 | | AMCAP FUND INC CLASS A | 0.00 | 10.19 | 90.0 | 0.00 | 9.17 | 9.17 |
| 06/01/2018 | #04000783785 | HOLT, JOSEPH | AMCAP FUND INC CLASS A | 0.00 | 0.61 | 90.0 | 0.00 | 0.55 | 0.55 |
| 06/01/2018 | #04000893819 | SLATTUMALY | AMCAP FUND INC CLASS A | 0.00 | 2.16 | 90.0 | 0.00 | 1.94 | 1.94 |
| 06/01/2018 | #00068460635 | | AMERICAN BALANCED FUND INC CLASS A | 0.00 | 9.81 | 90.0 | 0.00 | 8.83 | 8.83 |
| 06/01/2018 | #00087562316 | | AMERICAN FDS PORTFOLIO SER GROWTH F | 0.00 | 79.70 | 90.0 | 0.00 | 71.73 | 71.73 |
| 06/01/2018 | #00083580766 | | AMERICAN FUNDS 2015 TARGET DATE-A | 0.00 | 7.74 | 90.0 | 0.00 | 6.97 | 6.97 |
| 06/01/2018 | #00082340579 | | AMERICAN FUNDS 2020 TARGET DATE-A | 0.00 | 26.87 | 90.0 | 0.00 | 24.18 | 24.18 |
| 06/01/2018 | #00068276979 | | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 14.22 | 90.0 | 0.00 | 12.80 | 12.80 |
| 06/01/2018 | #00082309026 | | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 38.79 | 90.0 | 0.00 | 34.91 | 34.91 |
| 06/01/2018 | #00082309088 | | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 33.44 | 90.0 | 0.00 | 30.10 | 30.10 |
| 06/01/2018 | #00083487610 | | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 32.20 | 90.0 | 0.00 | 28.98 | 28.98 |
| 06/01/2018 | #00083487625 | | AMERICAN FUNDS 2025 TARGET DATE-A | 0.00 | 6.44 | 90.0 | 0.00 | 5.80 | 5.80 |
| 06/01/2018 | #00084615262 | | AMERICAN FUNDS 2035 TARGET DATE-A | 0.00 | 11.36 | 90.0 | 0.00 | 10.22 | 10.22 |
| 06/01/2018 | #00084590248 | | AMERICAN FUNDS 2040 TARGET DATE-A | 0.00 | 21.73 | 90.0 | 0.00 | 19.56 | 19.56 |
| 06/01/2018 | #00086606027 | | AMERICAN HIGH INCOME TR SH BEN INT | 0.00 | 58.14 | 90.0 | 0.00 | 52.33 | 52.33 |
| 06/01/2018 | #00089532076 | | AMERICAN HIGH INCOME TR SH BEN INT | 0.00 | 30.62 | 90.0 | 0.00 | 27.56 | 27.56 |
| 06/01/2018 | #00089709517 | IMMANUEL | AMERICAN HIGH INCOME TR SH BEN INT | 0.00 | 18.41 | 90.0 | 0.00 | 16.57 | 16.57 |
| 06/01/2018 | #00089709626 | IMMANUEL | AMERICAN HIGH INCOME TR SH BEN INT | 0.00 | 45.07 | 90.0 | 0.00 | 40.56 | 40.56 |
| 06/01/2018 | #00088421899 | SCHIPULLKR | AMERICAN MUTUAL FUND INC CLASS A | 0.00 | 71.16 | 90.0 | 0.00 | 64.04 | 64.04 |
| 06/01/2018 | #00089466545 | | AMERICAN MUTUAL FUND INC CLASS A | 0.00 | 22.95 | 90.0 | 0.00 | 20.66 | 20.66 |
| 06/01/2018 | #00089532076 | | AMERICAN MUTUAL FUND INC CLASS A | 0.00 | 31.03 | 90.0 | 0.00 | 27.93 | 27.93 |
| 06/01/2018 | #00089709517 | IMMANUEL | AMERICAN MUTUAL FUND INC CLASS A | 0.00 | 42.11 | 90.0 | 0.00 | 37.90 | 37.90 |
| 06/01/2018 | #00089709626 | IMMANUEL | AMERICAN MUTUAL FUND INC CLASS A | 0.00 | 51.91 | 90.0 | 0.00 | 46.72 | 46.72 |
| 06/01/2018 | #00075975165 | | BOND FUND OF AMERICA INC CL A | 0.00 | 0.87 | 90.0 | 0.00 | 0.78 | 0.78 |
| 06/01/2018 | #00082823901 | BODE, ROXANN | BOND FUND OF AMERICA INC CL A | 0.00 | 1.00 | 90.0 | 0.00 | 0.90 | 0.90 |
| 06/01/2018 | #00083610743 | SEVERSON, KA | BOND FUND OF AMERICA INC CL A | 0.00 | 6.96 | 90.0 | 0.00 | 6.26 | 6.26 |
| 06/01/2018 | #00082823901 | BODE, ROXANN | CAPITAL INCOME BUILDER FD CL A | 0.00 | 7.68 | 90.0 | 0.00 | 6.91 | 6.91 |
| 06/01/2018 | #00083610743 | SEVERSON, KA | CAPITAL INCOME BUILDER FD CL A | 0.00 | 8.71 | 90.0 | 0.00 | 7.84 | 7.84 |
| 06/01/2018 | #00059429439 | | CAPITAL WORLD GROWTH & INCOME FUND | 0.00 | 11.70 | 90.0 | 0.00 | 10.53 | 10.53 |
| 06/01/2018 | #00082395792 | | CAPITAL WORLD GROWTH & INCOME FUND | 0.00 | 0.55 | 90.0 | 0.00 | 0.50 | 0.50 |
| 06/01/2018 | #00083083592 | | CAPITAL WORLD GROWTH & INCOME FUND | 0.00 | 21.48 | 90.0 | 0.00 | 19.33 | 19.33 |
| 06/01/2018 | #00083340687 | SCHIPULLKR | CAPITAL WORLD GROWTH & INCOME FUND | 0.00 | 11.10 | 90.0 | 0.00 | 9.99 | 9.99 |

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| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 06/01/2018 | #00075975165 | EURO PACIFIC GROWTH FUND CLASS A | 0.00 | 1.16 | 90.0 | 0.00 | 1.04 | 0.00 | 1.04 |
| 06/01/2018 | #00082395792 | FUNDAMENTAL INVESTORS INC CLASS A | 0.00 | 0.54 | 90.0 | 0.00 | 0.49 | 0.00 | 0.49 |
| 06/01/2018 | #00068460635 | GROWTH FUND AMERICA INC CLASS A | 0.00 | 20.89 | 90.0 | 0.00 | 18.80 | 0.00 | 18.80 |
| 06/01/2018 | #00082419619 | GROWTH FUND AMERICA INC CLASS A | 0.00 | 6.08 | 90.0 | 0.00 | 5.47 | 0.00 | 5.47 |
| 06/01/2018 | #00083680022 | GROWTH FUND AMERICA INC CLASS A | 0.00 | 1.58 | 90.0 | 0.00 | 1.42 | 0.00 | 1.42 |
| 06/01/2018 | #00075975165 | INCOME FUND OF AMERICA INC CLASS A | 0.00 | 1.29 | 90.0 | 0.00 | 1.16 | 0.00 | 1.16 |
| 06/01/2018 | #00082823901 | BODE, ROXANN INCOME FUND OF AMERICA INC CLASS A | 0.00 | 17.43 | 90.0 | 0.00 | 15.69 | 0.00 | 15.69 |
| 06/01/2018 | #00083610743 | SEVERSON, KA INCOME FUND OF AMERICA INC CLASS A | 0.00 | 9.74 | 90.0 | 0.00 | 8.77 | 0.00 | 8.77 |
| 06/01/2018 | #04000415680 | THOMAS, GARY INCOME FUND OF AMERICA INC CLASS A | 0.00 | 6.41 | 90.0 | 0.00 | 5.77 | 0.00 | 5.77 |
| 06/01/2018 | #00089709517 | IMMANUEL INFLATION LINKED BOND FUND-A | 0.00 | 18.65 | 90.0 | 0.00 | 16.79 | 0.00 | 16.79 |
| 06/01/2018 | #04000415680 | THOMAS, GARY INFLATION LINKED BOND FUND-A | 0.00 | 18.20 | 90.0 | 0.00 | 16.38 | 0.00 | 16.38 |
| 06/01/2018 | #00068460635 | INVESTMENT CO AMER CLASS A | 0.00 | 11.19 | 90.0 | 0.00 | 10.07 | 0.00 | 10.07 |
| 06/01/2018 | #00087183070 | NEW ECONOMY FUND SBI CLASS A | 0.00 | 24.88 | 90.0 | 0.00 | 22.39 | 0.00 | 22.39 |
| 06/01/2018 | #00083340687 | SCHIPULLKR NEW PERSPECTIVE FUND INC CL A | 0.00 | 22.71 | 90.0 | 0.00 | 20.44 | 0.00 | 20.44 |
| 06/01/2018 | #00083680022 | NEW PERSPECTIVE FUND INC CL A | 0.00 | 1.36 | 90.0 | 0.00 | 1.22 | 0.00 | 1.22 |
| 06/01/2018 | #04000415680 | THOMAS, GARY NEW PERSPECTIVE FUND INC CL A | 0.00 | 19.25 | 90.0 | 0.00 | 17.33 | 0.00 | 17.33 |
| 06/01/2018 | #00083340687 | SCHIPULLKR NEW WORLD FUND INC NEW | 0.00 | 28.00 | 90.0 | 0.00 | 25.20 | 0.00 | 25.20 |
| 06/01/2018 | #00089466545 | SHORT-TERM BOND FUND OF AMERICA-A | 0.00 | 12.39 | 90.0 | 0.00 | 11.15 | 0.00 | 11.15 |
| 06/01/2018 | #00089709517 | IMMANUEL SHORT-TERM BOND FUND OF AMERICA-A | 0.00 | 10.22 | 90.0 | 0.00 | 9.20 | 0.00 | 9.20 |
| 06/01/2018 | #00083340687 | SCHIPULLKR SMALLCAP WORLD FUND INC CLASS A | 0.00 | 7.52 | 90.0 | 0.00 | 6.77 | 0.00 | 6.77 |
| 06/01/2018 | #00075975165 | WASHINGTON MUTUAL INVS FD CLASS A | 0.00 | 1.35 | 90.0 | 0.00 | 1.22 | 0.00 | 1.22 |
| 06/01/2018 | #00087562316 | WASHINGTON MUTUAL INVS FD CLASS A | 0.00 | 84.31 | 90.0 | 0.00 | 75.88 | 0.00 | 75.88 |
| 06/07/2018 | #01425654058 | VARIOUS FA DIVERSIFIED INTERNATIONAL FUND | 0.00 | 2.25 | 90.0 | 0.00 | 2.03 | 0.00 | 2.03 |
| 06/07/2018 | #01484237159 | VARIOUS FA DIVERSIFIED INTERNATIONAL FUND | 0.00 | 1.27 | 90.0 | 0.00 | 1.14 | 0.00 | 1.14 |
| 06/07/2018 | #01484237159 | VARIOUS FA SMALL GROWTH | 0.00 | 3.25 | 90.0 | 0.00 | 2.93 | 0.00 | 2.93 |
| 06/07/2018 | #01474463500 | VARIOUS FIDELITY ADV BIOTECHNOLOGY-CL A | 0.00 | 8.29 | 90.0 | 0.00 | 7.46 | 0.00 | 7.46 |
| 06/07/2018 | #01486660911 | VARIOUS FIDELITY ADV BIOTECHNOLOGY-CL A | 0.00 | 1.03 | 90.0 | 0.00 | 0.93 | 0.00 | 0.93 |
| 06/07/2018 | #01485968596 | VARIOUS FIDELITY ADV CONSUMER STAPLES-CL A | 0.00 | 1.87 | 90.0 | 0.00 | 1.68 | 0.00 | 1.68 |
| 06/07/2018 | #01486540923 | VARIOUS FIDELITY ADV CONSUMER STAPLES-CL A | 0.00 | 0.81 | 90.0 | 0.00 | 0.73 | 0.00 | 0.73 |
| 06/07/2018 | #01448945798 | VARIOUS FIDELITY ADV FLOATING RATE HIGH INC | 0.00 | 2.08 | 90.0 | 0.00 | 1.87 | 0.00 | 1.87 |
| 06/07/2018 | #00701570335 | VARIOUS FIDELITY ADV GROWTH OPPORT-CL A | 0.00 | 0.38 | 90.0 | 0.00 | 0.34 | 0.00 | 0.34 |
| 06/07/2018 | #00704959766 | VARIOUS FIDELITY ADV GROWTH OPPORT-CL A | 0.00 | 7.20 | 90.0 | 0.00 | 6.48 | 0.00 | 6.48 |
| 06/07/2018 | #01425654058 | VARIOUS FIDELITY ADV GROWTH OPPORT-CL A | 0.00 | 3.64 | 90.0 | 0.00 | 3.28 | 0.00 | 3.28 |
| 06/07/2018 | #01450822992 | VARIOUS FIDELITY ADV GROWTH OPPORT-CL A | 0.00 | 1.68 | 90.0 | 0.00 | 1.51 | 0.00 | 1.51 |
| 06/07/2018 | #01460635897 | VARIOUS FIDELITY ADV GROWTH OPPORT-CL A | 0.00 | 2.45 | 90.0 | 0.00 | 2.21 | 0.00 | 2.21 |
| 06/07/2018 | #01484237159 | VARIOUS FIDELITY ADV GROWTH OPPORT-CL A | 0.00 | 3.93 | 90.0 | 0.00 | 3.54 | 0.00 | 3.54 |

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|--|--------------------|------------|-------------------------------------|---------------------|-------|---------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 06/07/2018 | #00704910751 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 3.40 | 90.0 | 0.00 | 3.06 | 3.06 |
| 06/07/2018 | #01450822992 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 3.03 | 90.0 | 0.00 | 2.73 | 2.73 |
| 06/07/2018 | #01469115503 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 4.78 | 90.0 | 0.00 | 4.30 | 4.30 |
| 06/07/2018 | #01481991832 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 3.16 | 90.0 | 0.00 | 2.84 | 2.84 |
| 06/07/2018 | #01484237159 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 6.16 | 90.0 | 0.00 | 5.54 | 5.54 |
| 06/07/2018 | #01485968596 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 1.89 | 90.0 | 0.00 | 1.70 | 1.70 |
| 06/07/2018 | #01486540923 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 0.67 | 90.0 | 0.00 | 0.60 | 0.60 |
| 06/07/2018 | #01486660911 | VARIOUS | FIDELITY ADV HEALTH CARE-CL A | 0.00 | 0.85 | 90.0 | 0.00 | 0.77 | 0.77 |
| 06/07/2018 | #00704910751 | VARIOUS | FIDELITY ADV INDUSTRIALS-CL A | 0.00 | 3.01 | 90.0 | 0.00 | 2.71 | 2.71 |
| 06/07/2018 | #01450822992 | VARIOUS | FIDELITY ADV INDUSTRIALS-CL A | 0.00 | 3.19 | 90.0 | 0.00 | 2.87 | 2.87 |
| 06/07/2018 | #01469115503 | VARIOUS | FIDELITY ADV INDUSTRIALS-CL A | 0.00 | 3.35 | 90.0 | 0.00 | 3.02 | 3.02 |
| 06/07/2018 | #01486660911 | VARIOUS | FIDELITY ADV INDUSTRIALS-CL A | 0.00 | 1.05 | 90.0 | 0.00 | 0.95 | 0.95 |
| 06/07/2018 | #00704910751 | VARIOUS | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 4.53 | 90.0 | 0.00 | 4.08 | 4.08 |
| 06/07/2018 | #00704959766 | VARIOUS | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 6.76 | 90.0 | 0.00 | 6.08 | 6.08 |
| 06/07/2018 | #01425654058 | VARIOUS | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 2.63 | 90.0 | 0.00 | 2.37 | 2.37 |
| 06/07/2018 | #01483525505 | VARIOUS | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 7.10 | 90.0 | 0.00 | 6.39 | 6.39 |
| 06/07/2018 | #01469115503 | VARIOUS | FIDELITY ADV STRATEGIC DIV & INCOME | 0.00 | 4.41 | 90.0 | 0.00 | 3.97 | 3.97 |
| 06/07/2018 | #01481998613 | VARIOUS | FIDELITY ADV UTILITIES-CL A | 0.00 | 1.28 | 90.0 | 0.00 | 1.15 | 1.15 |
| 06/07/2018 | #01486660911 | VARIOUS | FIDELITY ADV UTILITIES-CL A | 0.00 | 0.99 | 90.0 | 0.00 | 0.89 | 0.89 |
| 06/07/2018 | #01484237159 | VARIOUS | FIDELITY ADVISOR ENERGY CL A | 0.00 | 2.30 | 90.0 | 0.00 | 2.07 | 2.07 |
| 06/07/2018 | #01484534381 | VARIOUS | FIDELITY ADVISOR ENERGY CL A | 0.00 | 1.03 | 90.0 | 0.00 | 0.93 | 0.93 |
| 06/07/2018 | #01486660911 | VARIOUS | FIDELITY ADVISOR HIGH INCOME ADVANT | 0.00 | 1.00 | 90.0 | 0.00 | 0.90 | 0.90 |
| 06/07/2018 | #01485968596 | VARIOUS | FIDELITY ADVISOR MATERIALS FUND CL | 0.00 | 1.91 | 90.0 | 0.00 | 1.72 | 1.72 |
| 06/07/2018 | #01486540923 | VARIOUS | FIDELITY ADVISOR MATERIALS FUND CL | 0.00 | 0.67 | 90.0 | 0.00 | 0.60 | 0.60 |
| 06/07/2018 | #00704910751 | VARIOUS | FIDELITY ADVISORS BALANCED | 0.00 | 2.08 | 90.0 | 0.00 | 1.87 | 1.87 |
| 06/07/2018 | #00701570335 | VARIOUS | FIDELITY HEREFORD STR TR GOVT MONEY | 0.00 | 4.51 | 90.0 | 0.00 | 4.06 | 4.06 |
| 06/07/2018 | #01469115503 | VARIOUS | FIDELITY HEREFORD STR TR GOVT MONEY | 0.00 | 3.22 | 90.0 | 0.00 | 2.90 | 2.90 |
| 06/07/2018 | #01490208566 | VARIOUS | FIDELITY INVT TR ADVISOR EMRGING MK | 0.00 | 9.80 | 90.0 | 0.00 | 8.82 | 8.82 |
| 06/11/2018 | # SCHIPULL, DENNIS | | DAIN TRAILER | 0.00 | 24.70 | 90.0 | 0.00 | 22.23 | 22.23 |
| 06/11/2018 | # SCHIPULL, DENNIS | | DAIN TRAILER | 0.00 | 8.00 | 90.0 | 0.00 | 7.20 | 7.20 |
| 06/11/2018 | # SCHIPULL, DENNIS | | DAIN TRAILER | 0.00 | 3.13 | 90.0 | 0.00 | 2.82 | 2.82 |
| 06/11/2018 | # SCHIPULL, RAMONA | | DAIN TRAILER | 0.00 | 3.94 | 90.0 | 0.00 | 3.55 | 3.55 |
| 06/11/2018 | # SCHIPULL, RAMONA | | DAIN TRAILER | 0.00 | 2.41 | 90.0 | 0.00 | 2.17 | 2.17 |
| 06/11/2018 | # SCHIPULL, RAMONA | | DAIN TRAILER | 0.00 | 6.96 | 90.0 | 0.00 | 6.26 | 6.26 |
| 06/11/2018 | # SCHIPULL, RAMONA | | DAIN TRAILER | 0.00 | 3.79 | 90.0 | 0.00 | 3.41 | 3.41 |
| 06/11/2018 | # SCHIPULL, RAMONA | | DAIN TRAILER | 0.00 | 2.17 | 90.0 | 0.00 | 1.95 | 1.95 |

COMMISSION STATEMENT for DENNIS KREUSCHER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|------------------|--------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 1.78 | 90.0 | 0.00 | 1.60 | 0.00 | 1.60 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 6.36 | 90.0 | 0.00 | 5.72 | 0.00 | 5.72 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 9.43 | 90.0 | 0.00 | 8.49 | 0.00 | 8.49 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 4.52 | 90.0 | 0.00 | 4.07 | 0.00 | 4.07 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 3.29 | 90.0 | 0.00 | 2.96 | 0.00 | 2.96 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 2.90 | 90.0 | 0.00 | 2.61 | 0.00 | 2.61 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 2.47 | 90.0 | 0.00 | 2.22 | 0.00 | 2.22 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 10.94 | 90.0 | 0.00 | 9.85 | 0.00 | 9.85 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 14.77 | 90.0 | 0.00 | 13.29 | 0.00 | 13.29 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 5.41 | 90.0 | 0.00 | 4.87 | 0.00 | 4.87 |
| 06/11/2018 # | SCHIPULL, DENNIS | DAIN TRAILER | 0.00 | 5.51 | 90.0 | 0.00 | 4.96 | 0.00 | 4.96 |
| 06/11/2018 # | PERKINS, DORTHY | DAIN TRAILER | 0.00 | 3.58 | 90.0 | 0.00 | 3.22 | 0.00 | 3.22 |
| 06/11/2018 # | PERKINS, DORTHY | DAIN TRAILER | 0.00 | 3.58 | 90.0 | 0.00 | 3.22 | 0.00 | 3.22 |
| 06/11/2018 # | PERKINS, DORTHY | DAIN TRAILER | 0.00 | 2.99 | 90.0 | 0.00 | 2.69 | 0.00 | 2.69 |
| 06/11/2018 # | TUFTE, CHARLES | DAIN TRAILER | 0.00 | 6.18 | 90.0 | 0.00 | 5.56 | 0.00 | 5.56 |
| 06/11/2018 # | TUFTE, CHARLES | DAIN TRAILER | 0.00 | 4.39 | 90.0 | 0.00 | 3.95 | 0.00 | 3.95 |
| 06/11/2018 # | TUFTE, CHARLES | DAIN TRAILER | 0.00 | 2.11 | 90.0 | 0.00 | 1.90 | 0.00 | 1.90 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 2.89 | 90.0 | 0.00 | 2.60 | 0.00 | 2.60 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 10.41 | 90.0 | 0.00 | 9.37 | 0.00 | 9.37 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 2.34 | 90.0 | 0.00 | 2.11 | 0.00 | 2.11 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 6.00 | 90.0 | 0.00 | 5.40 | 0.00 | 5.40 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 7.68 | 90.0 | 0.00 | 6.91 | 0.00 | 6.91 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 1.57 | 90.0 | 0.00 | 1.41 | 0.00 | 1.41 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 3.54 | 90.0 | 0.00 | 3.19 | 0.00 | 3.19 |
| 06/11/2018 # | SCHIPULL, RAMONA | DAIN TRAILER | 0.00 | 6.78 | 90.0 | 0.00 | 6.10 | 0.00 | 6.10 |
| 06/11/2018 # | | DAIN TRAILER | 0.00 | 48.37 | 90.0 | 0.00 | 43.53 | 0.00 | 43.53 |
| 06/11/2018 # | | DAIN TRAILER | 0.00 | 0.78 | 90.0 | 0.00 | 0.70 | 0.00 | 0.70 |
| 06/11/2018 # | | DAIN TRAILER | 0.00 | 2.94 | 90.0 | 0.00 | 2.65 | 0.00 | 2.65 |
| 06/11/2018 # | | DAIN TRAILER | 0.00 | 2.47 | 90.0 | 0.00 | 2.22 | 0.00 | 2.22 |
| 06/11/2018 # | | DAIN TRAILER | 0.00 | 8.17 | 90.0 | 0.00 | 7.35 | 0.00 | 7.35 |
| 06/11/2018 # | | DAIN TRAILER | 0.00 | 2.91 | 90.0 | 0.00 | 2.62 | 0.00 | 2.62 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$1,568.29 | | \$0.00 | \$1,411.50 | \$0.00 | \$1,411.50 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$390,706.92 | \$1,981.09 | | \$0.00 | \$1,783.05 | \$20.00 | \$1,763.05 |

COMMISSION STATEMENT for DENNIS KREUSCHER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | POSTAGE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|
|------|--------|------------|----------------------|---------------------|------|---------|-------------------|--------------------|----------------------|

ADJUSTMENTS

| | | | | | | | | | |
|---------------------------|--|------------------------------------|--|--|--|--|--|--|-----------|
| 07/20/2018 | | ADMIN FEE: ADMIN FEE | | | | | | | -320.00 |
| 07/20/2018 | | E & O INSURANCE: E & O INSURANCE | | | | | | | -179.00 |
| 07/20/2018 | | CRD FEES: CRD FEES - IL INDIVIDUAL | | | | | | | -150.00 |
| | | REG FEE | | | | | | | |
| *** ADJUSTMENTS TOTAL *** | | | | | | | | | \$-649.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,763.05 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-649.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------|------------|
| Check Amount | \$1,114.05 |
|--------------|------------|