

**COMMISSION STATEMENT****January 31, 2017**DAVID KERN
4321 Flagstaff CoveBROKER #: K123
BRANCH: DAVID KERN

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
01/25/2017	PICCIANO, DEAN	PACIFIC FINANCIAL	109,383.32	81.18	0.00	81.18	90.0	73.06
01/23/2017	RIEBE, ALAN	SAWTOOTH	0.00	469.69	0.00	469.69	90.0	422.72
* RIA SUBTOTAL *			\$109,383.32	\$550.87	\$0.00	\$550.87		\$495.78
*** BROKER TRANSACTIONS TOTAL ***			\$109,383.32	\$550.87	\$0.00	\$550.87		\$495.78

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$495.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$78.83
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$574.61
Year-to-date Gross	\$550.87
Year-to-date Earnings	\$495.78

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed