



COMMISSION STATEMENT

July 16, 2018

RONALD CIOTOLI
3401 E Main St.
Endwell, NY 13760

BROKER #: A89
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/06/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @23.4800	57.00	1.14	0.00	1.14	60.0	0.68
07/06/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @23.4800	190.00	3.80	0.00	3.80	60.0	2.28
* MUTUAL FUNDS SUBTOTAL *			\$247.00	\$4.94	\$0.00	\$4.94		\$2.96
<u>PRODUCT CATEGORY: 529 PLAN</u>								
07/16/2018	***-**-295P - MILLER, PAUL ACCOUNT #: 77043335701	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	5.71	0.00	5.71	60.0	3.43
07/16/2018	***-**-354I - MASTRONARDI, ACCOUNT #: 77407712301	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.14	0.00	3.14	60.0	1.88
* 529 PLAN SUBTOTAL *			\$0.00	\$8.85	\$0.00	\$8.85		\$5.31
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/30/2018	***-**-2131 - CASTELLUCCI, ACCOUNT #: 02704872989	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	22.33	0.00	22.33	60.0	13.40
06/30/2018	***-**-2131 - CASTELLUCCI, ACCOUNT #: 03203076865	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	60.0	0.04
06/30/2018	***-**-338O - DENTON, KELLI ACCOUNT #: 03210124708	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	7.86	0.00	7.86	60.0	4.72
06/30/2018	***-**-850I - BERG, SCOTT ACCOUNT #: 03210694585	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	11.39	0.00	11.39	60.0	6.83
06/30/2018	***-**-258I - JOHNSON, ACCOUNT #: 03009150416	CAPITAL INCOME A BUY 0 Units @0.0000	0.00	28.06	0.00	28.06	60.0	16.84
06/30/2018	***-**-793I - CIOTOLI, LOUIS ACCOUNT #: 07470601535	DISCOVERY FUND C BUY 0 Units @0.0000	0.00	82.05	0.00	82.05	60.0	49.23
06/30/2018	***-**-832I - FLETCHER, JAMES ACCOUNT #: 08352369477	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	17.93	0.00	17.93	60.0	10.76
06/30/2018	***-**-753I - BRYAN, DEBRA ACCOUNT #: 08353019005	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	0.00	4.93	0.00	4.93	60.0	2.96
06/30/2018	***-**-793I - CIOTOLI, LOUIS ACCOUNT #: 08370088704	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	224.87	0.00	224.87	60.0	134.92



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-832I - FLETCHER, JAMES	GLOBAL FUND A	0.00	10.94	0.00	10.94	60.0	6.56
	ACCOUNT #: 03307051794	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	GLOBAL FUND A	0.00	2.77	0.00	2.77	60.0	1.66
	ACCOUNT #: 03307545892	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	5.47	0.00	5.47	60.0	3.28
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	GLOBAL FUND N	0.00	0.64	0.00	0.64	60.0	0.38
	ACCOUNT #: 03330205810	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	INTERNATIONAL BOND A	0.00	4.90	0.00	4.90	60.0	2.94
	ACCOUNT #: 08809946992	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	12.24	0.00	12.24	60.0	7.34
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	INTERNATIONAL BOND FUND N	0.00	3.59	0.00	3.59	60.0	2.15
	ACCOUNT #: 08790164604	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	INTERNATIONAL GROWTH FUND A	0.00	9.75	0.00	9.75	60.0	5.85
	ACCOUNT #: 08254805537	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	MAIN STREET FUND A	0.00	29.91	0.00	29.91	60.0	17.95
	ACCOUNT #: 07006148794	BUY 0 Units @0.0000						
06/30/2018	***-**-7713 - KROH, CRYSTAL	MAIN STREET FUND C	0.00	0.00	0.00	0.00	60.0	0.00
	ACCOUNT #: 07024468992	BUY 0 Units @0.0000						
06/30/2018	***-**-354I - MASTRONARDI,	OPP PORT SERIES: ACTIVE ALLOC A	0.00	17.79	0.00	17.79	60.0	10.67
	ACCOUNT #: 05502014892	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	OPPENHEIMER CORE BOND FUND A	0.00	26.68	0.00	26.68	60.0	16.01
	ACCOUNT #: 02852454661	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	OPPENHEIMER DISCOVERY CLASS A	0.00	2.68	0.00	2.68	60.0	1.61
	ACCOUNT #: 05001906223	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	12.47	0.00	12.47	60.0	7.48
	ACCOUNT #: 08476862416	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	2.59	0.00	2.59	60.0	1.55
	ACCOUNT #: 08477449156	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	5.71	0.00	5.71	60.0	3.43
	ACCOUNT #: 02912380983	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-717I - EWING, JOSEPH	OPPENHEIMER SENIOR FLOATING RATE FU	0.00	21.16	0.00	21.16	60.0	12.70
	ACCOUNT #: 00100013223	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	OPPENHEIMER SENIOR FLOATING RATE FU	0.00	18.17	0.00	18.17	60.0	10.90
	ACCOUNT #: 00100013223	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	39.94	0.00	39.94	60.0	23.96
	ACCOUNT #: 02306352283	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	9.33	0.00	9.33	60.0	5.60
	ACCOUNT #: 02306960198	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	0.00	23.30	0.00	23.30	60.0	13.98
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD B	0.00	0.25	0.00	0.25	60.0	0.15
	ACCOUNT #: 05560094699	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	QUEST CAPITAL VALUE FUND, INC. N	0.00	9.46	0.00	9.46	60.0	5.68
	ACCOUNT #: 08380094006	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	QUEST CAPITAL VALUE FUND, INC. N	0.00	4.52	0.00	4.52	60.0	2.71
	ACCOUNT #: 08380094006	BUY 0 Units @0.0000						
06/30/2018	***-**-850I - BERG, SCOTT	RISING DIVIDENDS FUND A	0.00	11.27	0.00	11.27	60.0	6.76
	ACCOUNT #: 02253463944	BUY 0 Units @0.0000						
06/30/2018	***-**-832I - FLETCHER, JAMES	RISING DIVIDENDS FUND A	0.00	19.44	0.00	19.44	60.0	11.66
	ACCOUNT #: 02253984684	BUY 0 Units @0.0000						
06/30/2018	***-**-354I - MASTRONARDI,	RISING DIVIDENDS FUND A	0.00	7.76	0.00	7.76	60.0	4.66
	ACCOUNT #: 02254007586	BUY 0 Units @0.0000						
06/30/2018	***-**-753I - BRYAN, DEBRA	RISING DIVIDENDS FUND A	0.00	5.25	0.00	5.25	60.0	3.15
	ACCOUNT #: 02254472149	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	8.43	0.00	8.43	60.0	5.06
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	RISING DIVIDENDS FUND, INC. N	0.00	6.42	0.00	6.42	60.0	3.85
	ACCOUNT #: 02350125422	BUY 0 Units @0.0000						
06/30/2018	***-**-354I - MASTRONARDI,	ROCHESTER FUND MUNICIPALS A	0.00	12.11	0.00	12.11	60.0	7.27
	ACCOUNT #: 03652709938	BUY 0 Units @0.0000						
06/30/2018	***-**-258I - JOHNSON,	SELECT VALUE FUND A	0.00	30.30	0.00	30.30	60.0	18.18
	ACCOUNT #: 06000784399	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/30/2018	***-**-793I - CIOTOLI, LOUIS	SMALL- & MID- CAP VALUE FUND C	0.00	98.36	0.00	98.36	60.0	59.02
	ACCOUNT #: 02530273031	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	27.96	0.00	27.96	60.0	16.78
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
06/30/2018	***-**-717I - EWING, JOSEPH	STRATEGIC INCOME FUND N	0.00	9.22	0.00	9.22	60.0	5.53
	ACCOUNT #: 02330203984	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$910.27	\$0.00	\$910.27		\$546.16
*** BROKER TRANSACTIONS TOTAL ***			\$247.00	\$924.06	\$0.00	\$924.06		\$554.43

BROKER COMMISSION TOTALS

Broker Transactions	\$554.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$46.89
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$601.32
Year-to-date Earnings	\$5,755.46