

**COMMISSION STATEMENT****November 30, 2017**

J1D-DAN/JON PAUL FT-NY RAUPP/CIRELLI
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: J1D
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^11/10/2017	MALONEY, JOHN	TEI DIVERSIFIED INC & OPP FD 3	100,000.00	7,000.00	0.00	7,000.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.03
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.03
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed