

# COMMISSION STATEMENT

June 15, 2018

KATHERINE SMITH-DM31

BROKER #: DM31

BRANCH: DMW

, TX

## COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/01/2018	00084791628	MASSENGALE, MF NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00084791669	MASSENGALE, MF NEW WORLD FUND-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00085359820	LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00085359860	LOGSDON, TRACI MF CAPITAL INCOME BUILDER-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
05/29/2018	00088311353	MASSENGALE, LARA MF NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
05/29/2018	00088311353	MASSENGALE, LARA MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
05/29/2018	00088338383	MASSENGALE, JASON MF NEW PERSPECTIVE FUND-A	B	50.00	1.88	0.00	1.88	90.0	1.69
05/29/2018	00088338383	MASSENGALE, JASON MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00088996224	LOGSDON, AVARI MF THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/07/2018	00089064328	LOGSDON, TRACI MF THE GROWTH FUND OF AMERICA-A	B	50.00	1.88	0.00	1.88	90.0	1.69
06/01/2018	00089500058	PERTLL, JAMES MF AMCAP FUND-A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/01/2018	00089500058	PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	2.50	0.00	2.50	90.0	2.25
06/06/2018	04000143383	ZAVALA, GILBERT MF AMERICAN BALANCED FUND-A	B	25.00	1.25	0.00	1.25	90.0	1.13
06/06/2018	04000143383	ZAVALA, GILBERT MF THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	90.0	1.13
06/01/2018	04000452396	PERTLL, JAMES MF AMCAP FUND-A	B	50.00	1.00	0.00	1.00	90.0	0.90
06/01/2018	04000452396	PERTLL, JAMES MF CAPITAL WORLD GROWTH AND INCOME	B	50.00	1.00	0.00	1.00	90.0	0.90
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$750.00</b>	<b>\$28.30</b>	<b>\$0.00</b>	<b>\$28.30</b>		<b>\$25.46</b>

## **PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

04/30/2018	(None)	SMITH, CLAY	MFT VOYA	B	14,910.00	3.06	0.00	3.06	90.0	2.75
06/01/2018	00062359412	HOWARD, GLENDA	MFT AMERICAN BALANCED FUND-A	B	0.00	20.16	0.00	20.16	90.0	18.14
06/01/2018	00062359412	HOWARD, GLENDA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	24.32	0.00	24.32	90.0	21.89
06/01/2018	00062359412	HOWARD, GLENDA	MFT SMALLCAP WORLD FUND-A	B	0.00	20.34	0.00	20.34	90.0	18.31
06/01/2018	00062359412	HOWARD, GLENDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	24.13	0.00	24.13	90.0	21.72
06/01/2018	00062359412	HOWARD, GLENDA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.25	0.00	19.25	90.0	17.33
06/01/2018	00062514466	HOWARD, GLENDA	MFT AMERICAN BALANCED FUND-A	B	0.00	47.98	0.00	47.98	90.0	43.18
06/01/2018	00062514466	HOWARD, GLENDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	86.03	0.00	86.03	90.0	77.43
06/01/2018	00063060110	COOK, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	14.07	0.00	14.07	90.0	12.66
06/01/2018	00063060110	COOK, JOHN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	13.01	0.00	13.01	90.0	11.71
06/01/2018	00063060110	COOK, JOHN	MFT GLOBAL BALANCED FUND-A	B	0.00	4.76	0.00	4.76	90.0	4.28
06/01/2018	00063060110	COOK, JOHN	MFT NEW WORLD FUND-A	B	0.00	6.48	0.00	6.48	90.0	5.83

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00063060110	COOK, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	20.58	0.00	20.58	90.0	18.52
06/01/2018 00063060110	COOK, JOHN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.26	0.00	8.26	90.0	7.43
06/01/2018 00063060110	COOK, JOHN	MFT THE NEW ECONOMY FUND-A	B	0.00	10.08	0.00	10.08	90.0	9.07
06/01/2018 00063060112	COOK, JAN	MFT AMERICAN BALANCED FUND-A	B	0.00	14.07	0.00	14.07	90.0	12.66
06/01/2018 00063060112	COOK, JAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	23.09	0.00	23.09	90.0	20.78
06/01/2018 00063060112	COOK, JAN	MFT GLOBAL BALANCED FUND-A	B	0.00	19.23	0.00	19.23	90.0	17.31
06/01/2018 00063060112	COOK, JAN	MFT NEW WORLD FUND-A	B	0.00	4.31	0.00	4.31	90.0	3.88
06/01/2018 00063060112	COOK, JAN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	52.71	0.00	52.71	90.0	47.44
06/01/2018 00063060112	COOK, JAN	MFT THE NEW ECONOMY FUND-A	B	0.00	25.02	0.00	25.02	90.0	22.52
06/01/2018 00063861203	SWEENEY, KYLE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	4.03	0.00	4.03	90.0	3.63
06/01/2018 00064089176	RUTH I EDMONDS,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2018 00064159451	HORST ERICH	MFT AMERICAN BALANCED FUND-A	B	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2018 00064159451	HORST ERICH	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018 00064159451	HORST ERICH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.46	0.00	0.46	90.0	0.41
06/01/2018 00064159451	HORST ERICH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2018 00064159648	GRACEY, CATHERINE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	27.57	0.00	27.57	90.0	24.81
06/01/2018 00064159648	GRACEY, CATHERINE	MFT THE INVESTMENT COMPANY OF	B	0.00	20.83	0.00	20.83	90.0	18.75
06/01/2018 00064545457	SWEENEY, CHARLES	MFT NEW WORLD FUND-A	B	0.00	3.39	0.00	3.39	90.0	3.05
06/01/2018 00064545457	SWEENEY, CHARLES	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	27.16	0.00	27.16	90.0	24.44
06/01/2018 00064559185	GOSS, HERBERT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.58	0.00	2.58	90.0	2.32
06/01/2018 00064649478	PEOPLES, BILLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.34	0.00	3.34	90.0	3.01
06/01/2018 00064649478	PEOPLES, BILLY	MFT NEW WORLD FUND-A	B	0.00	1.01	0.00	1.01	90.0	0.91
06/01/2018 00064649478	PEOPLES, BILLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.50	0.00	7.50	90.0	6.75
06/01/2018 00064649478	PEOPLES, BILLY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2018 00064695507	HOWARD, GLENDA	MFT NEW WORLD FUND-A	B	0.00	7.31	0.00	7.31	90.0	6.58
06/01/2018 00067243589	PEOPLES, MAUREEN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	25.53	0.00	25.53	90.0	22.98
06/01/2018 00067243589	PEOPLES, MAUREEN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	24.82	0.00	24.82	90.0	22.34
06/01/2018 00067243589	PEOPLES, MAUREEN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	46.27	0.00	46.27	90.0	41.64
06/01/2018 00067255590	REYNOLDS, MOLLIE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.95	0.00	5.95	90.0	5.36
06/01/2018 00067283584	KRAUSE, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	17.02	0.00	17.02	90.0	15.32
06/01/2018 00067283584	KRAUSE, NANCY	MFT GLOBAL BALANCED FUND-A	B	0.00	3.01	0.00	3.01	90.0	2.71
06/01/2018 00067283584	KRAUSE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.63	0.00	11.63	90.0	10.47
06/01/2018 00067606409	ARENA, ELLEN	MFT AMERICAN BALANCED FUND-A	B	0.00	41.58	0.00	41.58	90.0	37.42
06/01/2018 00067606409	ARENA, ELLEN	MFT INTERMEDIATE BOND FUND OF	B	0.00	19.75	0.00	19.75	90.0	17.78
06/01/2018 00067606409	ARENA, ELLEN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	58.40	0.00	58.40	90.0	52.56
06/01/2018 00067606409	ARENA, ELLEN	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	44.90	0.00	44.90	90.0	40.41
06/01/2018 00068172313	THOMASON, DWIGHT	MFT AMERICAN BALANCED FUND-A	B	0.00	1.82	0.00	1.82	90.0	1.64
06/01/2018 00068172313	THOMASON, DWIGHT	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2018 00068606241	PHILLIPS, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	35.95	0.00	35.95	90.0	32.36
06/01/2018 00068606242	PHILLIPS, KAREN	MFT AMERICAN BALANCED FUND-A	B	0.00	118.97	0.00	118.97	90.0	107.07
06/01/2018 00068606242	PHILLIPS, KAREN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	182.94	0.00	182.94	90.0	164.65
06/01/2018 00068606242	PHILLIPS, KAREN	MFT THE INVESTMENT COMPANY OF	B	0.00	61.11	0.00	61.11	90.0	55.00
06/01/2018 00073790810	PAYNE, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.85	0.00	2.85	90.0	2.57
06/01/2018 00073790810	PAYNE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.39	0.00	3.39	90.0	3.05

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06/01/2018 00074804471	ARENA, ELLEN	MFT AMERICAN BALANCED FUND-A	B	0.00	7.42	0.00	7.42	90.0	6.68
06/01/2018 00075571543	GOSS, LOIS	MFT AMERICAN BALANCED FUND-A	B	0.00	20.22	0.00	20.22	90.0	18.20
06/01/2018 00082014038	SHARI BAURLE	MFT AMERICAN BALANCED FUND-A	B	0.00	32.34	0.00	32.34	90.0	29.11
06/01/2018 00082014038	SHARI BAURLE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.71	0.00	14.71	90.0	13.24
06/01/2018 00082014038	SHARI BAURLE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	42.39	0.00	42.39	90.0	38.15
06/01/2018 00082129908	MASSENDALE/BENE,	MFT INTERMEDIATE BOND FUND OF	B	0.00	6.52	0.00	6.52	90.0	5.87
06/01/2018 00082138807	MASSENDALE	MFT AMERICAN BALANCED FUND-A	B	0.00	4.28	0.00	4.28	90.0	3.85
06/01/2018 00082138807	MASSENDALE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.22	0.00	5.22	90.0	4.70
06/01/2018 00082166515	KRAUSE, NANCY	MFT AMERICAN BALANCED FUND-A	B	0.00	49.13	0.00	49.13	90.0	44.22
06/01/2018 00082166515	KRAUSE, NANCY	MFT GLOBAL BALANCED FUND-A	B	0.00	5.45	0.00	5.45	90.0	4.91
06/01/2018 00082166515	KRAUSE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	63.31	0.00	63.31	90.0	56.98
06/01/2018 00082326189	WASSON, SHERYL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.90	0.00	2.90	90.0	2.61
06/01/2018 00082326230	MASSENDALE, NANCY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	5.60	0.00	5.60	90.0	5.04
06/01/2018 00082326230	MASSENDALE, NANCY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.93	0.00	7.93	90.0	7.14
06/01/2018 00082326447	REYNOLDS, MATTHEW	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2018 00082519528	PACK, JEFFREY	MFT CAPITAL WORLD GROWTH AND	B	0.00	5.32	0.00	5.32	90.0	4.79
06/01/2018 00083151601	LOGSDON, TRACI	MFT AMERICAN BALANCED FUND-A	B	0.00	4.97	0.00	4.97	90.0	4.47
06/01/2018 00083151601	LOGSDON, TRACI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.64	0.00	6.64	90.0	5.98
06/01/2018 00083443944	MYERS, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	20.68	0.00	20.68	90.0	18.61
06/01/2018 00083443944	MYERS, RICHARD	MFT AMERICAN BALANCED FUND-C	B	0.00	6.37	0.00	6.37	90.0	5.73
06/01/2018 00083443944	MYERS, RICHARD	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.90	0.00	9.90	90.0	8.91
06/01/2018 00083443944	MYERS, RICHARD	MFT GLOBAL BALANCED FUND-A	B	0.00	8.94	0.00	8.94	90.0	8.05
06/01/2018 00083443944	MYERS, RICHARD	MFT NEW PERSPECTIVE FUND-A	B	0.00	8.70	0.00	8.70	90.0	7.83
06/01/2018 00083443944	MYERS, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	26.64	0.00	26.64	90.0	23.98
06/01/2018 00083689171	DE BULLET, EUGENE	MFT AMERICAN BALANCED FUND-A	B	0.00	15.51	0.00	15.51	90.0	13.96
06/01/2018 00083689171	DE BULLET, EUGENE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	49.73	0.00	49.73	90.0	44.76
06/01/2018 00083689171	DE BULLET, EUGENE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	27.01	0.00	27.01	90.0	24.31
06/01/2018 00083696105	BLAKE, JULIE	MFT AMERICAN BALANCED FUND-A	B	0.00	13.16	0.00	13.16	90.0	11.84
06/01/2018 00083696105	BLAKE, JULIE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	14.57	0.00	14.57	90.0	13.11
06/01/2018 00083696105	BLAKE, JULIE	MFT GLOBAL BALANCED FUND-A	B	0.00	7.90	0.00	7.90	90.0	7.11
06/01/2018 00083696105	BLAKE, JULIE	MFT THE NEW ECONOMY FUND-A	B	0.00	7.94	0.00	7.94	90.0	7.15
06/01/2018 00083696210	EVANS, MACKYE	MFT AMERICAN BALANCED FUND-A	B	0.00	4.35	0.00	4.35	90.0	3.92
06/01/2018 00083696210	EVANS, MACKYE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.36	0.00	4.36	90.0	3.92
06/01/2018 00083961559	PAYNE, KELLY	MFT CAPITAL INCOME BUILDER-529A	B	0.00	1.56	0.00	1.56	90.0	1.40
06/01/2018 00083961559	PAYNE, KELLY	MFT CAPITAL WORLD GROWTH AND	B	0.00	2.82	0.00	2.82	90.0	2.54
06/01/2018 00083961559	PAYNE, KELLY	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.85	0.00	0.85	90.0	0.77
06/01/2018 00083961588	PAYNE, KELLY	MFT CAPITAL WORLD GROWTH AND	B	0.00	3.40	0.00	3.40	90.0	3.06
06/01/2018 00083961588	PAYNE, KELLY	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	4.13	0.00	4.13	90.0	3.72
06/01/2018 00084073290	SMITH, GRACE	MFT AMERICAN BALANCED FUND-529A	B	0.00	2.72	0.00	2.72	90.0	2.45
06/01/2018 00084073290	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2018 00084073290	SMITH, GRACE	MFT NEW WORLD FUND-529A	B	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2018 00084073290	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.35	0.00	3.35	90.0	3.02
06/01/2018 00084379332	SONNENBURG, NOLAN	MFT AMERICAN BALANCED FUND-A	B	0.00	21.50	0.00	21.50	90.0	19.35
06/01/2018 00084379332	SONNENBURG, NOLAN	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.45	0.00	3.45	90.0	3.11

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06/01/2018 00084379332	SONNENBURG, NOLAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	17.73	0.00	17.73	90.0	15.96
06/01/2018 00084379332	SONNENBURG, NOLAN	MFT GLOBAL BALANCED FUND-A	B	0.00	20.33	0.00	20.33	90.0	18.30
06/01/2018 00084379332	SONNENBURG, NOLAN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.96	0.00	3.96	90.0	3.56
06/01/2018 00084379332	SONNENBURG, NOLAN	MFT THE NEW ECONOMY FUND-A	B	0.00	25.10	0.00	25.10	90.0	22.59
06/01/2018 00084493768	CHRISTIAN, LINDA	MFT AMERICAN BALANCED FUND-A	B	0.00	11.72	0.00	11.72	90.0	10.55
06/01/2018 00084493768	CHRISTIAN, LINDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.86	0.00	15.86	90.0	14.27
06/01/2018 00084533064	COOPER, JUNANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	8.23	0.00	8.23	90.0	7.41
06/01/2018 00084533064	COOPER, JUNANNE	MFT GLOBAL BALANCED FUND-A	B	0.00	4.40	0.00	4.40	90.0	3.96
06/01/2018 00084533064	COOPER, JUNANNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.14	0.00	8.14	90.0	7.33
06/01/2018 00084587142	JONES, JOANNE	MFT AMERICAN BALANCED FUND-A	B	0.00	93.55	0.00	93.55	90.0	84.20
06/01/2018 00084587142	JONES, JOANNE	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.48	0.00	2.48	90.0	2.23
06/01/2018 00084587142	JONES, JOANNE	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	24.47	0.00	24.47	90.0	22.02
06/01/2018 00084587142	JONES, JOANNE	MFT GLOBAL BALANCED FUND-A	B	0.00	60.77	0.00	60.77	90.0	54.69
06/01/2018 00084587142	JONES, JOANNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	79.12	0.00	79.12	90.0	71.21
06/01/2018 00084710178	MCCOY/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	3.61	0.00	3.61	90.0	3.25
06/01/2018 00084710178	MCCOY/BENE,	MFT NEW WORLD FUND-A	B	0.00	2.77	0.00	2.77	90.0	2.49
06/01/2018 00084710178	MCCOY/BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	29.06	0.00	29.06	90.0	26.15
06/01/2018 00084768987	DEADY, MYRNA	MFT NEW WORLD FUND-A	B	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2018 00084791628	MASSENGALE,	MFT NEW WORLD FUND-529A	B	0.00	5.21	0.00	5.21	90.0	4.69
06/01/2018 00084791669	MASSENGALE,	MFT NEW WORLD FUND-529A	B	0.00	6.84	0.00	6.84	90.0	6.16
06/01/2018 00084944692	MASSENGALE,	MFT GLOBAL BALANCED FUND-A	B	0.00	2.31	0.00	2.31	90.0	2.08
06/01/2018 00084944692	MASSENGALE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.45	0.00	2.45	90.0	2.21
06/01/2018 00084975545	GRACEY/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	21.17	0.00	21.17	90.0	19.05
06/01/2018 00084975545	GRACEY/BENE,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	19.19	0.00	19.19	90.0	17.27
06/01/2018 00084975545	GRACEY/BENE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	26.72	0.00	26.72	90.0	24.05
06/01/2018 00085106527	GRACEY, WILLIAM	MFT AMCAP FUND-A	B	0.00	49.56	0.00	49.56	90.0	44.60
06/01/2018 00085106527	GRACEY, WILLIAM	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	147.95	0.00	147.95	90.0	133.16
06/01/2018 00085106527	GRACEY, WILLIAM	MFT INTERMEDIATE BOND FUND OF	B	0.00	43.01	0.00	43.01	90.0	38.71
06/01/2018 00085106527	GRACEY, WILLIAM	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	146.68	0.00	146.68	90.0	132.01
06/01/2018 00085127010	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018 00085127010	SMITH, GRACE	MFT NEW WORLD FUND-529A	B	0.00	1.39	0.00	1.39	90.0	1.25
06/01/2018 00085127010	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	2.32	0.00	2.32	90.0	2.09
06/01/2018 00085359820	LOGSDON, TRACI	MFT CAPITAL INCOME BUILDER-529A	B	0.00	4.40	0.00	4.40	90.0	3.96
06/01/2018 00085359820	LOGSDON, TRACI	MFT NEW WORLD FUND-529A	B	0.00	1.02	0.00	1.02	90.0	0.92
06/01/2018 00085359860	LOGSDON, TRACI	MFT CAPITAL INCOME BUILDER-529A	B	0.00	3.57	0.00	3.57	90.0	3.21
06/01/2018 00085359860	LOGSDON, TRACI	MFT NEW WORLD FUND-529A	B	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018 00085507227	COOPER, JUNANNE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.90	0.00	5.90	90.0	5.31
06/01/2018 00085507227	COOPER, JUNANNE	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.71	0.00	3.71	90.0	3.34
06/01/2018 00085507227	COOPER, JUNANNE	MFT THE NEW ECONOMY FUND-A	B	0.00	7.63	0.00	7.63	90.0	6.87
06/01/2018 00085579612	SONNENBURG,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.08	0.00	1.08	90.0	0.97
06/01/2018 00085579612	SONNENBURG,	MFT THE NEW ECONOMY FUND-A	B	0.00	4.97	0.00	4.97	90.0	4.47
06/01/2018 00085834407	SONNENBURG,	MFT AMERICAN BALANCED FUND-A	B	0.00	7.96	0.00	7.96	90.0	7.16
06/01/2018 00085834407	SONNENBURG,	MFT GLOBAL BALANCED FUND-A	B	0.00	3.08	0.00	3.08	90.0	2.77
06/01/2018 00085834407	SONNENBURG,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.04	0.00	7.04	90.0	6.34

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00085928770	PERTLL, JAMES	MFT AMERICAN BALANCED FUND-A	B	0.00	52.21	0.00	52.21	90.0	46.99
06/01/2018 00085928770	PERTLL, JAMES	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	96.82	0.00	96.82	90.0	87.14
06/01/2018 00085928770	PERTLL, JAMES	MFT THE INCOME FUND OF AMERICA-A	B	0.00	48.20	0.00	48.20	90.0	43.38
06/01/2018 00086591238	MOODY, DEBORAH	MFT AMERICAN BALANCED FUND-A	B	0.00	2.33	0.00	2.33	90.0	2.10
06/01/2018 00086591238	MOODY, DEBORAH	MFT GLOBAL BALANCED FUND-A	B	0.00	7.09	0.00	7.09	90.0	6.38
06/01/2018 00086591238	MOODY, DEBORAH	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.64	0.00	10.64	90.0	9.58
06/01/2018 00086716832	MYERS, RICHARD	MFT AMERICAN BALANCED FUND-A	B	0.00	7.18	0.00	7.18	90.0	6.46
06/01/2018 00086716832	MYERS, RICHARD	MFT GLOBAL BALANCED FUND-A	B	0.00	5.69	0.00	5.69	90.0	5.12
06/01/2018 00086716832	MYERS, RICHARD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.34	0.00	9.34	90.0	8.41
06/01/2018 00086801547	PAYNE, KELLY	MFT AMERICAN BALANCED FUND-A	B	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2018 00086801547	PAYNE, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2018 00086801547	PAYNE, KELLY	MFT NEW WORLD FUND-A	B	0.00	0.42	0.00	0.42	90.0	0.38
06/01/2018 00086801547	PAYNE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.48	0.00	0.48	90.0	0.43
06/01/2018 00086801547	PAYNE, KELLY	MFT THE INVESTMENT COMPANY OF	B	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2018 00086812707	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2018 00086812707	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2018 00086812717	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2018 00086812717	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2018 00086827472	HIGGINS, STEVE	MFT AMCAP FUND-A	B	0.00	3.95	0.00	3.95	90.0	3.56
06/01/2018 00086827472	HIGGINS, STEVE	MFT GLOBAL BALANCED FUND-A	B	0.00	4.38	0.00	4.38	90.0	3.94
06/01/2018 00086827472	HIGGINS, STEVE	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2018 00086827472	HIGGINS, STEVE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.35	0.00	6.35	90.0	5.72
06/01/2018 00086827481	HIGGINS, SHERRY	MFT AMCAP FUND-A	B	0.00	4.41	0.00	4.41	90.0	3.97
06/01/2018 00086827481	HIGGINS, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	4.86	0.00	4.86	90.0	4.37
06/01/2018 00086827481	HIGGINS, SHERRY	MFT GLOBAL BALANCED FUND-A	B	0.00	4.38	0.00	4.38	90.0	3.94
06/01/2018 00086827481	HIGGINS, SHERRY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.32	0.00	7.32	90.0	6.59
06/01/2018 00086852866	HIGGINS, SHERRY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2018 00086886831	HIGGINS, STEVE	MFT AMERICAN BALANCED FUND-A	B	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2018 00087575029	SMITH, GRACE	MFT GLOBAL BALANCED FUND-529A	B	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2018 00087575029	SMITH, GRACE	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2018 00088279511	SMITH, JAMES	MFT AMERICAN BALANCED FUND-A	B	0.00	105.09	0.00	105.09	90.0	94.58
06/01/2018 00088279511	SMITH, JAMES	MFT CAPITAL INCOME BUILDER-A	B	0.00	94.27	0.00	94.27	90.0	84.84
06/01/2018 00088279511	SMITH, JAMES	MFT NEW PERSPECTIVE FUND-A	B	0.00	74.08	0.00	74.08	90.0	66.67
06/01/2018 00088279511	SMITH, JAMES	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	105.98	0.00	105.98	90.0	95.38
06/01/2018 00088281021	BACON, TREFOR	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	2.52	0.00	2.52	90.0	2.27
06/01/2018 00088311353	MASSENGALE, LARA	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.56	0.00	4.56	90.0	4.10
06/01/2018 00088311353	MASSENGALE, LARA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.90	0.00	5.90	90.0	5.31
06/01/2018 00088333826	BACON, FRANCES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	43.23	0.00	43.23	90.0	38.91
06/01/2018 00088333826	BACON, FRANCES	MFT FUNDAMENTAL INVESTORS-A	B	0.00	43.69	0.00	43.69	90.0	39.32
06/01/2018 00088338383	MASSENGALE, JASON	MFT NEW PERSPECTIVE FUND-A	B	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2018 00088338383	MASSENGALE, JASON	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018 00088353859	BACON/BENE,	MFT FUNDAMENTAL INVESTORS-A	B	0.00	23.92	0.00	23.92	90.0	21.53
06/01/2018 00088738266	SCHULTZ/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	71.66	0.00	71.66	90.0	64.49
06/01/2018 00088738266	SCHULTZ/BENE,	MFT CAPITAL INCOME BUILDER-A	B	0.00	52.43	0.00	52.43	90.0	47.19

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 00088738266	SCHULTZ/BENE,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	63.03	0.00	63.03	90.0	56.73
06/01/2018 00088810660	PHILLIPS, LISA	MFT AMERICAN BALANCED FUND-A	B	0.00	2.11	0.00	2.11	90.0	1.90
06/01/2018 00088810660	PHILLIPS, LISA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2018 00088810748	FELTON, MARK	MFT AMERICAN BALANCED FUND-A	B	0.00	4.79	0.00	4.79	90.0	4.31
06/01/2018 00088810748	FELTON, MARK	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2018 00088810774	FELTON, WANDA	MFT AMERICAN BALANCED FUND-A	B	0.00	4.79	0.00	4.79	90.0	4.31
06/01/2018 00088810774	FELTON, WANDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2018 00088996224	LOGSDON, AVARI	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	1.73	0.00	1.73	90.0	1.56
06/01/2018 00089064328	LOGSDON, TRACI	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2018 00089226007	FOSTER, MARSHA	MFT AMERICAN BALANCED FUND-A	B	0.00	85.57	0.00	85.57	90.0	77.01
06/01/2018 00089226007	FOSTER, MARSHA	MFT CAPITAL INCOME BUILDER-A	B	0.00	77.38	0.00	77.38	90.0	69.64
06/01/2018 00089226007	FOSTER, MARSHA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	91.98	0.00	91.98	90.0	82.78
06/01/2018 00089500058	PERTLL, JAMES	MFT AMCAP FUND-A	B	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2018 00089500058	PERTLL, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.97	0.00	0.97	90.0	0.87
06/01/2018 00089716158	WHITSON, ROBERT	MFT NEW PERSPECTIVE FUND-A	B	0.00	2.66	0.00	2.66	90.0	2.39
06/01/2018 00089716158	WHITSON, ROBERT	MFT NEW WORLD FUND-A	B	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2018 00089716879	PROPERTY,	MFT NEW PERSPECTIVE FUND-A	B	0.00	10.00	0.00	10.00	90.0	9.00
06/01/2018 00089716879	PROPERTY,	MFT NEW WORLD FUND-A	B	0.00	3.55	0.00	3.55	90.0	3.20
06/01/2018 00089716879	PROPERTY,	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.94	0.00	0.94	90.0	0.85
06/01/2018 00089716879	PROPERTY,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.96	0.00	0.96	90.0	0.86
06/01/2018 00089716891	JONATHAN D	MFT NEW PERSPECTIVE FUND-A	B	0.00	6.85	0.00	6.85	90.0	6.17
06/01/2018 00089797053	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018 00089797093	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018 00089797103	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018 00089797115	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2018 00089797124	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018 00089797152	MCCOY/BENE,	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.22	0.00	0.22	90.0	0.20
06/01/2018 00355125919	GRACEY, WILLIAM	MFT AMERICAN BALANCED FUND-A	B	0.00	18.42	0.00	18.42	90.0	16.58
06/01/2018 00355125919	GRACEY, WILLIAM	MFT SMALLCAP WORLD FUND-A	B	0.00	48.86	0.00	48.86	90.0	43.97
06/01/2018 00355125919	GRACEY, WILLIAM	MFT THE INVESTMENT COMPANY OF	B	0.00	19.96	0.00	19.96	90.0	17.96
06/01/2018 04000143383	ZAVALA, GILBERT	MFT AMERICAN BALANCED FUND-A	B	0.00	0.29	0.00	0.29	90.0	0.26
06/01/2018 04000143383	ZAVALA, GILBERT	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2018 04000206633	SMITH, KAREN	MFT AMERICAN BALANCED FUND-A	B	0.00	1.52	0.00	1.52	90.0	1.37
06/01/2018 04000206633	SMITH, KAREN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.54	0.00	1.54	90.0	1.39
06/01/2018 04000276196	PHILLIPS, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2018 04000276196	PHILLIPS, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.53	0.00	1.53	90.0	1.38
06/01/2018 04000452396	PERTLL, JAMES	MFT AMCAP FUND-A	B	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2018 04000452396	PERTLL, JAMES	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2018 04000618419	BACON/BENE,	MFT CAPITAL WORLD GROWTH AND	B	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2018 04000687794	KAREN B	MFT AMERICAN BALANCED FUND-A	B	0.00	28.27	0.00	28.27	90.0	25.44
06/01/2018 04000687794	KAREN B	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	46.72	0.00	46.72	90.0	42.05
06/01/2018 04000850515	WHITSON, MELONYE	MFT AMERICAN BALANCED FUND-A	B	0.00	2.37	0.00	2.37	90.0	2.13
06/01/2018 04000850515	WHITSON, MELONYE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.37	0.00	2.37	90.0	2.13
06/01/2018 04000869435	FBO SHELBY R	MFT AMERICAN BALANCED FUND-A	B	0.00	1.17	0.00	1.17	90.0	1.05

COMMISSION STATEMENT for KATHERINE SMITH-DM31

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/01/2018 04000869435	FBO SHELBY R	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.16	0.00	1.16	90.0	1.04
06/01/2018 04000884234	KRAUSE, SHEILA	MFT AMERICAN BALANCED FUND-A	B	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2018 04000884234	KRAUSE, SHEILA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2018 04000887248	KRAUSE, JEFFREY	MFT AMERICAN BALANCED FUND-A	B	0.00	2.09	0.00	2.09	90.0	1.88
06/01/2018 04000887248	KRAUSE, JEFFREY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.07	0.00	2.07	90.0	1.86
06/01/2018 04000888377	WHITSON, BLAKE	MFT AMERICAN BALANCED FUND-A	B	0.00	1.41	0.00	1.41	90.0	1.27
06/01/2018 04000888377	WHITSON, BLAKE	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.40	0.00	1.40	90.0	1.26
06/01/2018 04000907325	SPICHER, BEVERLY	MFT AMERICAN BALANCED FUND-A	B	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2018 04000907325	SPICHER, BEVERLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.81	0.00	0.81	90.0	0.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$14,910.00	\$4,258.53	\$0.00	\$4,258.53		\$3,832.77
*** DIRECT TRANSACTIONS TOTAL ***				\$15,660.00	\$4,286.83	\$0.00	\$4,286.83		\$3,858.23

BROKER COMMISSION TOTALS

Broker Transactions	\$3,858.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,858.23
Year-to-date Commissions	\$42,256.91