

## COMMISSION STATEMENT

March 31, 2018

WILLIAM BEHRENS  
350 Grovers Avenue

BROKER #: 73  
BRANCH: FULCRUM GROUP

Bridgeport, CT 06605-

## COMMISSION STATEMENT for WILLIAM BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

03/21/2018	75501362	BEHRENS, DEIRDRE	VANGUARD LIFESTRATEGY FUND GROWTH P B	1,750.00	0.00	0.00	0.00	70.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$1,750.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

03/06/2018	75501355	BEHRENS, WILLIAM	TRANSOCEAN LTD US LISTED	S	4,755.80	25.00	0.00	25.00	70.0	0.00
03/16/2018	75501355	BEHRENS, WILLIAM	HALLADOR ENERGY COMPANY COM	B	6,600.00	60.00	0.00	60.00	70.0	42.00
03/06/2018	75501360	BEHRENS, MICHAEL	NOKIA 654902204	B	14,548.00	150.00	0.00	150.00	70.0	105.00
03/09/2018	75501360	BEHRENS, MICHAEL	GLADSTONE INVESTMENT CORP	S	20,887.20	150.00	0.00	150.00	70.0	105.00
03/15/2018	75501360	BEHRENS, MICHAEL	LAM RESEARCH CORP	S	112,937.00	250.00	0.00	250.00	70.0	175.00
03/20/2018	75501360	BEHRENS, MICHAEL	HALLADOR ENERGY COMPANY COM	B	13,378.25	150.00	0.00	150.00	70.0	105.00
03/21/2018	75501360	BEHRENS, MICHAEL	FIRST DATA CORP NEW COM CL A	B	25,735.50	75.00	0.00	75.00	70.0	52.50
03/23/2018	75501360	BEHRENS, MICHAEL	ADVANCED MICRO DEVICES INC	S	21,681.90	60.00	0.00	60.00	70.0	42.00
03/12/2018	75501367	MICHAEL TEAHAN JR TR	FEDERAL NATL MTG ASSN PFD SER S DIV S	S	7,000.00	125.00	0.00	125.00	70.0	87.50
03/12/2018	75501367	MICHAEL TEAHAN JR TR	OPKO HEALTH INC	S	1,761.50	60.00	0.00	60.00	70.0	42.00
03/06/2018	75501394	ALBERT E HAVERKAMP	LRAD CORPORATION	S	1,134.80	60.00	0.00	60.00	70.0	42.00
03/06/2018	75501394	ALBERT E HAVERKAMP	NOKIA 654902204	B	5,870.00	125.00	0.00	125.00	70.0	87.50
03/23/2018	75502126	DOLORES E ZIHAL EXEC	AT&T INC	S	14,165.56	100.00	0.00	100.00	70.0	70.00
03/23/2018	75502126	DOLORES E ZIHAL EXEC	CENTERPOINT ENERGY INC	S	9,415.04	75.00	0.00	75.00	70.0	52.50
03/23/2018	75502126	DOLORES E ZIHAL EXEC	COMCAST CORP CL A	S	33,623.00	125.00	0.00	125.00	70.0	87.50
03/23/2018	75502126	DOLORES E ZIHAL EXEC	EXPRESS SCRIPTS HOLDING COMPANY	S	28,411.16	120.00	0.00	120.00	70.0	84.00
03/23/2018	75502126	DOLORES E ZIHAL EXEC	FRONTIER COMMUNICATIONS CORPORATION S	S	231.99	15.00	0.00	15.00	70.0	0.00
03/23/2018	75502126	DOLORES E ZIHAL EXEC	GENERAL ELECTRIC CO	S	21,286.24	120.00	0.00	120.00	70.0	84.00
03/23/2018	75502126	DOLORES E ZIHAL EXEC	INTERNATIONAL BUSINESS MACHINES COR S	S	18,223.80	75.00	0.00	75.00	70.0	52.50
03/23/2018	75502126	DOLORES E ZIHAL EXEC	VERIZON COMMUNICATIONS	S	14,182.02	75.00	0.00	75.00	70.0	52.50
* STOCKS SUBTOTAL *					\$375,828.76	\$1,995.00	\$0.00	\$1,995.00		\$1,368.50

PRODUCT CATEGORY: BONDS

02/26/2018	75501433	PINSKY, IRWIN	UNITED STATES TREASURY BILL	B	180,316.20	25.00	0.00	25.00	70.0	0.00
03/26/2018	75501435	PINSKY, SHIRLEY	UNITED STATES TREASURY BILL	B	161,438.41	20.00	0.00	20.00	70.0	0.00
03/26/2018	75501436	PINSKY, IRWIN	UNITED STATES TREASURY BILL	B	157,476.74	20.00	0.00	20.00	70.0	0.00
03/26/2018	75501437	PINSKY, IRWIN	UNITED STATES TREASURY BILL	B	79,233.58	20.00	0.00	20.00	70.0	0.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

							* BONDS SUBTOTAL *	\$578,464.93	\$85.00	\$0.00	\$85.00	\$0.00
PRODUCT CATEGORY: OPTIONS												
03/05/2018	75501355	BEHRENS, WILLIAM	CALL OCN	03/16/18	3.50	S	1,125.00	25.00	0.00	25.00	70.0	0.00
03/07/2018	75501355	BEHRENS, WILLIAM	CALL NOK	05/18/18	6	B	750.00	55.00	0.00	55.00	70.0	38.50
03/20/2018	75501360	BEHRENS, MICHAEL	CALL FCX	06/15/18	22	S	310.00	75.00	0.00	75.00	70.0	52.50
							* OPTIONS SUBTOTAL *	\$2,185.00	\$155.00	\$0.00	\$155.00	\$91.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	FEE COUNT - 5	0.00	144.88	0.00	144.88	70.0	101.11
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$144.88	\$0.00	\$144.88		\$101.11
	*** BROKER TRANSACTIONS TOTAL ***	\$958,228.69	\$2,379.88	\$0.00	\$2,379.88		\$1,560.61

BROKER COMMISSION TOTALS

Broker Transactions	\$1,560.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.97
SIPC Assessment	\$-5.95

Check Amount	\$1,551.69
Year-to-date Earnings	\$4,373.83