



Concorde Investment Services, LLC

07/16/2018

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COMMISSION STATEMENT**July 15, 2018**NATHANIEL, K134 KUHN
612 S. Bristol LaneBROKER #: K134
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
06/11/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 0 - 02 - A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 06 - 08 - A	0.00	3.00	0.00	3.00	90.0	2.70
/ /	SAAM, ROBERT	AGGRESSIVE AGE BASED 09 - 10 - A	0.00	6.00	0.00	6.00	90.0	5.40
06/05/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	200.00	3.00	0.00	3.00	90.0	2.70
06/15/2018	BAXTER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	100.00	0.75	0.00	0.75	90.0	0.68
07/05/2018	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	150.00	6.38	0.00	6.38	90.0	5.74
/ /	REHMAN, AFSHAN	FUND 80 A	0.00	2.25	0.00	2.25	90.0	2.03
/ /	REHMAN, AFSHAN	FUND 80 A	0.00	2.25	0.00	2.25	90.0	2.03
06/11/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
06/11/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
06/25/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
06/25/2018	REHMAN, AFSHAN	FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
/ /	BRANNAN, JESSE	MODERATE AGE BASED 0 - 02 - A	0.00	7.50	0.00	7.50	90.0	6.75
/ /	MARIQUINA, JACQUELINE	MODERATE AGE BASED 0 - 02 - A	0.00	0.30	0.00	0.30	90.0	0.27
06/07/2018	LAMPI, KEITH	MODERATE AGE BASED 0 - 02 - A	250.00	4.98	0.00	4.98	90.0	4.48
06/15/2018	WASPI, GRANT	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/18/2018	MACK, ALEXANDER	MODERATE AGE BASED 0 - 02 - A	250.00	7.50	0.00	7.50	90.0	6.75
06/18/2018	NORRIS, VALERIE	MODERATE AGE BASED 0 - 02 - A	150.00	4.50	0.00	4.50	90.0	4.05
06/18/2018	ROLLINGER, LAUREN	MODERATE AGE BASED 0 - 02 - A	30.00	0.90	0.00	0.90	90.0	0.81
06/18/2018	TUCCINI, MELISSA	MODERATE AGE BASED 0 - 02 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/18/2018	DEUTSCH, SCOTT	MODERATE AGE BASED 0 - 02 - A	385.00	11.55	0.00	11.55	90.0	10.40
06/18/2018	GASPER, JAYSON	MODERATE AGE BASED 0 - 02 - A	25.00	0.75	0.00	0.75	90.0	0.68
/ /	KLABACHA, JASON	MODERATE AGE BASED 06 - 08 - A	0.00	9.00	0.00	9.00	90.0	8.10
/ /	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	0.00	15.00	0.00	15.00	90.0	13.50
/ /	LAMPI, KEITH	MODERATE AGE BASED 06 - 08 - A	0.00	20.01	0.00	20.01	90.0	18.01
/ /	MARTIN, JOELLYN	MODERATE AGE BASED 06 - 08 - A	0.00	7.50	0.00	7.50	90.0	6.75

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
06/01/2018	KLABACHA, JASON	MODERATE AGE BASED 06 - 08 - A	300.00	9.00	0.00	9.00	90.0	8.10
06/01/2018	SILKAITIS, MYIA	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/01/2018	LIM, SUSIE	MODERATE AGE BASED 06 - 08 - A	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2018	REIDY, TIFFANY	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	BATTAGLIA, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/01/2018	SAAM, ROBERT	MODERATE AGE BASED 06 - 08 - A	200.00	6.00	0.00	6.00	90.0	5.40
06/01/2018	BRANNAN, JESSE	MODERATE AGE BASED 06 - 08 - A	250.00	7.50	0.00	7.50	90.0	6.75
06/01/2018	NORRIS, VALERIE	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	CATANESE, JODY	MODERATE AGE BASED 06 - 08 - A	100.00	3.00	0.00	3.00	90.0	2.70
06/14/2018	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	500.00	15.00	0.00	15.00	90.0	13.50
06/15/2018	GREINER, PAUL	MODERATE AGE BASED 06 - 08 - A	150.00	4.50	0.00	4.50	90.0	4.05
06/18/2018	MEYER, ELIZABETH	MODERATE AGE BASED 06 - 08 - A	25.00	0.75	0.00	0.75	90.0	0.68
06/18/2018	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/18/2018	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/18/2018	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/18/2018	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	50.00	1.50	0.00	1.50	90.0	1.35
/ /	MUELLNER, MARK	MODERATE AGE BASED 09 - 10 - A	0.00	3.00	0.00	3.00	90.0	2.70
/ /	MEYER, ELIZABETH	MODERATE AGE BASED 09 - 10 - A	0.00	0.75	0.00	0.75	90.0	0.68
06/01/2018	WILKINS, AARON	MODERATE AGE BASED 09 - 10 - A	100.00	7.50	0.00	7.50	90.0	6.75
06/05/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 09 - 10 - A	250.00	24.99	0.00	24.99	90.0	22.49
06/15/2018	LAMPI, KEITH	MODERATE AGE BASED 09 - 10 - A	833.00	24.99	0.00	24.99	90.0	22.49
/ /	MUELLNER, MARK	MODERATE AGE BASED 11 - 12 - A	0.00	3.00	0.00	3.00	90.0	2.70
/ /	WILKINS, AARON	MODERATE AGE BASED 11 - 12 - A	0.00	7.50	0.00	7.50	90.0	6.75
06/01/2018	HINTCH, ELIZABETH	MODERATE AGE BASED 11 - 12 - A	917.00	27.51	0.00	27.51	90.0	24.76
06/01/2018	STETTER, MARIA	MODERATE AGE BASED 11 - 12 - A	50.00	1.50	0.00	1.50	90.0	1.35
06/05/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 11 - 12 - A	250.00	24.99	0.00	24.99	90.0	22.49
06/15/2018	HIRSTIUS, KERRIE	MODERATE AGE BASED 11 - 12 - A	833.00	7.65	0.00	7.65	90.0	6.89
/ /	ROSALES-HARRIGAN, ESTELA	MODERATE AGE BASED 17 - 18 - A	0.00	13.50	0.00	13.50	90.0	12.15
06/01/2018	LOPEZ, JOSE	MODERATE AGE BASED 17 - 18 - A	450.00	1.50	0.00	1.50	90.0	1.35
/ /	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03 - 05 -	0.00	3.33	0.00	3.33	90.0	3.00
06/07/2018	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03 - 05 -	111.00	3.33	0.00	3.33	90.0	3.00
/ /	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11 - 12 -	0.00	0.75	0.00	0.75	90.0	0.68
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 15 - 16 - A	0.00	1.50	0.00	1.50	90.0	1.35

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
06/05/2018	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15 - 16 - A	50.00	3.00	0.00	3.00	90.0	2.70
/ /	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+ - A	0.00	1.50	0.00	1.50	90.0	1.35
06/05/2018	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 19+ - A	50.00	3.00	0.00	3.00	90.0	2.70
/ /	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	0.00	0.90	0.00	0.90	90.0	0.81
/ /	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	0.00	9.00	0.00	9.00	90.0	8.10
/ /	MARTIN, JOELLYN	UNION B&T MODERATE AGE BASED 03-05	0.00	7.50	0.00	7.50	90.0	6.75
06/01/2018	WHITE, AMANDA	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
06/01/2018	REIDY, TIFFANY	UNION B&T MODERATE AGE BASED 03-05	150.00	4.50	0.00	4.50	90.0	4.05
06/01/2018	BRANNAN, JESSE	UNION B&T MODERATE AGE BASED 03-05	250.00	7.50	0.00	7.50	90.0	6.75
06/15/2018	GREINER, PAUL	UNION B&T MODERATE AGE BASED 03-05	150.00	4.50	0.00	4.50	90.0	4.05
06/18/2018	WILSON, JOE	UNION B&T MODERATE AGE BASED 03-05	30.00	0.90	0.00	0.90	90.0	0.81
06/18/2018	CATANESE, JODY	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
06/18/2018	DEUTSCH, SCOTT	UNION B&T MODERATE AGE BASED 03-05	385.00	11.55	0.00	11.55	90.0	10.40
06/18/2018	TUCKER, BRANDON	UNION B&T MODERATE AGE BASED 03-05	250.00	7.50	0.00	7.50	90.0	6.75
06/18/2018	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	30.00	0.90	0.00	0.90	90.0	0.81
06/18/2018	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	300.00	9.00	0.00	9.00	90.0	8.10
06/18/2018	SILKAITIS, MYIA	UNION B&T MODERATE AGE BASED 03-05	50.00	1.50	0.00	1.50	90.0	1.35
06/18/2018	MARIQUINA, JACQUELINE	UNION B&T MODERATE AGE BASED 03-05	25.00	0.75	0.00	0.75	90.0	0.68
06/18/2018	MEGER, AMANDA	UNION B&T MODERATE AGE BASED 03-05	100.00	3.00	0.00	3.00	90.0	2.70
06/18/2018	TUCCINI, MELISSA	UNION B&T MODERATE AGE BASED 03-05	50.00	1.50	0.00	1.50	90.0	1.35
* 529 PLANS SUBTOTAL *			\$9,879.00	\$428.68	\$0.00	\$428.68		\$385.86
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	150.00	5.63	0.00	5.63	90.0	5.07
06/25/2018	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
07/02/2018	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
/ /	VOLKOV, ARTEM	MODERATE AGE BASED 09-10 - C	0.00	0.25	0.00	0.25	90.0	0.23
/ /	BATTAGLIA, MICHAEL	MODERATE AGE BASED 13 - 14 - A	0.00	3.00	0.00	3.00	90.0	2.70
06/01/2018	MUELLNER, MARK	MODERATE AGE BASED 13 - 14 - A	100.00	3.00	0.00	3.00	90.0	2.70
/ /	VOLKOVER, SUSAN	UBT FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
06/05/2018	VOLKOVER, SUSAN	UBT FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$400.00	\$19.26	\$0.00	\$19.26		\$17.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/02/2018	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
		* VARIABLE ANNUITIES SUBTOTAL *	\$500.00	\$26.25	\$0.00	\$26.25		\$23.63
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 0 - 02 - A	0.00	0.94	0.00	0.94	90.0	0.85
/ /	SAAM, ROBERT	AGGRESSIVE AGE BASED 06 - 08 - A	0.00	0.40	0.00	0.40	90.0	0.36
06/15/2018	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 06 - 08 - A	0.00	6.24	0.00	6.24	90.0	5.62
/ /	BAXTER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	0.00	3.55	0.00	3.55	90.0	3.20
/ /	MOLBERGER, KIMBERLY	AGGRESSIVE AGE BASED 09 - 10 - A	0.00	9.91	0.00	9.91	90.0	8.92
06/20/2018	SAAM, ROBERT	AGGRESSIVE AGE BASED 09 - 10 - A	0.00	25.21	0.00	25.21	90.0	22.69
/ /	REHMAN, AFSHAN	FUND 80 A	0.00	6.90	0.00	6.90	90.0	6.21
/ /	REHMAN, AFSHAN	FUND 80 A	0.00	1.42	0.00	1.42	90.0	1.28
/ /	REHMAN, AFSHAN	FUND 80 A	0.00	1.42	0.00	1.42	90.0	1.28
/ /	GERALDS, PAMELA	FUND 80 A	0.00	1.87	0.00	1.87	90.0	1.68
/ /	LAMPI, KEITH	MODERATE AGE BASED 0 - 02 - A	0.00	5.43	0.00	5.43	90.0	4.89
/ /	MARIQUINA, JACQUELINE	MODERATE AGE BASED 0 - 02 - A	0.00	0.53	0.00	0.53	90.0	0.48
/ /	MACK, ALEXANDER	MODERATE AGE BASED 0 - 02 - A	0.00	3.78	0.00	3.78	90.0	3.40
/ /	NORRIS, VALERIE	MODERATE AGE BASED 0 - 02 - A	0.00	3.60	0.00	3.60	90.0	3.24
/ /	BUERSTATTE, BRANDI	MODERATE AGE BASED 0 - 02 - A	0.00	0.52	0.00	0.52	90.0	0.47
/ /	ROLLINGER, LAUREN	MODERATE AGE BASED 0 - 02 - A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	WASPI, GRANT	MODERATE AGE BASED 0 - 02 - A	0.00	0.40	0.00	0.40	90.0	0.36
/ /	TUCCINI, MELISSA	MODERATE AGE BASED 0 - 02 - A	0.00	0.18	0.00	0.18	90.0	0.16
/ /	TUCCINI, MELISSA	MODERATE AGE BASED 0 - 02 - A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	DEUTSCH, SCOTT	MODERATE AGE BASED 0 - 02 - A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	BRANNAN, JESSE	MODERATE AGE BASED 0 - 02 - A	0.00	5.75	0.00	5.75	90.0	5.18
/ /	GASPER, JAYSON	MODERATE AGE BASED 0 - 02 - A	0.00	0.63	0.00	0.63	90.0	0.57
/ /	KLABACHA, JASON	MODERATE AGE BASED 06 - 08 - A	0.00	11.26	0.00	11.26	90.0	10.13
/ /	MARTIN, JOELLYN	MODERATE AGE BASED 06 - 08 - A	0.00	11.04	0.00	11.04	90.0	9.94
/ /	KUHN, NATHANIEL	MODERATE AGE BASED 06 - 08 - A	0.00	20.33	0.00	20.33	90.0	18.30
/ /	SISON, MARYBETH	MODERATE AGE BASED 06 - 08 - A	0.00	3.16	0.00	3.16	90.0	2.84
/ /	BUERSTATTE, BRANDI	MODERATE AGE BASED 06 - 08 - A	0.00	8.26	0.00	8.26	90.0	7.43
/ /	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	0.00	25.37	0.00	25.37	90.0	22.83
/ /	SILKAITIS, MYIA	MODERATE AGE BASED 06 - 08 - A	0.00	6.18	0.00	6.18	90.0	5.56
/ /	LIM, SUSIE	MODERATE AGE BASED 06 - 08 - A	0.00	9.87	0.00	9.87	90.0	8.88

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	KLABACHA, JASON	MODERATE AGE BASED 06 - 08 - A	0.00	10.40	0.00	10.40	90.0	9.36
/ /	REIDY, TIFFANY	MODERATE AGE BASED 06 - 08 - A	0.00	13.35	0.00	13.35	90.0	12.02
/ /	BATTAGLIA, MICHAEL	MODERATE AGE BASED 06 - 08 - A	0.00	3.22	0.00	3.22	90.0	2.90
/ /	WIRTZ, GRETCHEN	MODERATE AGE BASED 06 - 08 - A	0.00	1.55	0.00	1.55	90.0	1.40
/ /	SAAM, ROBERT	MODERATE AGE BASED 06 - 08 - A	0.00	11.69	0.00	11.69	90.0	10.52
/ /	LAMPI, KEITH	MODERATE AGE BASED 06 - 08 - A	0.00	16.20	0.00	16.20	90.0	14.58
/ /	CATANESE, ERIN	MODERATE AGE BASED 06 - 08 - A	0.00	24.84	0.00	24.84	90.0	22.36
/ /	BRANNAN, JESSE	MODERATE AGE BASED 06 - 08 - A	0.00	23.69	0.00	23.69	90.0	21.32
/ /	SISON, MARYBETH	MODERATE AGE BASED 06 - 08 - A	0.00	2.53	0.00	2.53	90.0	2.28
/ /	NORRIS, VALERIE	MODERATE AGE BASED 06 - 08 - A	0.00	30.80	0.00	30.80	90.0	27.72
/ /	CATANESE, JODY	MODERATE AGE BASED 06 - 08 - A	0.00	6.57	0.00	6.57	90.0	5.91
/ /	COLAN, MICHAEL	MODERATE AGE BASED 06 - 08 - A	0.00	0.93	0.00	0.93	90.0	0.84
/ /	COLAN, MICHAEL	MODERATE AGE BASED 06 - 08 - A	0.00	2.38	0.00	2.38	90.0	2.14
/ /	MEYER, ELIZABETH	MODERATE AGE BASED 06 - 08 - A	0.00	0.97	0.00	0.97	90.0	0.87
/ /	GREINER, PAUL	MODERATE AGE BASED 06 - 08 - A	0.00	12.75	0.00	12.75	90.0	11.48
/ /	LAWLESS, JENNIFER	MODERATE AGE BASED 06 - 08 - A	0.00	2.63	0.00	2.63	90.0	2.37
/ /	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	0.00	0.90	0.00	0.90	90.0	0.81
/ /	CONTRERAS, ANDY	MODERATE AGE BASED 06 - 08 - A	0.00	0.84	0.00	0.84	90.0	0.76
/ /	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	0.00	3.63	0.00	3.63	90.0	3.27
/ /	SAYRE, MICHAEL	MODERATE AGE BASED 06 - 08 - A	0.00	3.63	0.00	3.63	90.0	3.27
/ /	HARRIS, KRISTOFER	MODERATE AGE BASED 06 - 08 - A	0.00	8.92	0.00	8.92	90.0	8.03
/ /	LAMPI, KEITH	MODERATE AGE BASED 06 - 08 - A	0.00	17.65	0.00	17.65	90.0	15.89
/ /	BUERSTATTE, BRANDI	MODERATE AGE BASED 06 - 08 - A	0.00	4.19	0.00	4.19	90.0	3.77
/ /	CROW, ELISSA	MODERATE AGE BASED 06-08 - C	0.00	30.52	0.00	30.52	90.0	27.47
/ /	LIEBERMAN, DAVID	MODERATE AGE BASED 09 - 10 - A	0.00	20.15	0.00	20.15	90.0	18.14
/ /	MUELLNER, MARK	MODERATE AGE BASED 09 - 10 - A	0.00	7.27	0.00	7.27	90.0	6.54
/ /	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	0.00	1.41	0.00	1.41	90.0	1.27
/ /	MEYER, ELIZABETH	MODERATE AGE BASED 09 - 10 - A	0.00	0.96	0.00	0.96	90.0	0.86
/ /	CONTRERAS, ANDY	MODERATE AGE BASED 09 - 10 - A	0.00	0.06	0.00	0.06	90.0	0.05
/ /	HARRIS, KRISTOFER	MODERATE AGE BASED 09 - 10 - A	0.00	4.88	0.00	4.88	90.0	4.39
/ /	WILKINS, AARON	MODERATE AGE BASED 09 - 10 - A	0.00	11.60	0.00	11.60	90.0	10.44
/ /	LAMPI, KEITH	MODERATE AGE BASED 09 - 10 - A	0.00	4.55	0.00	4.55	90.0	4.10
/ /	BUERSTATTE, BRANDI	MODERATE AGE BASED 09 - 10 - A	0.00	6.19	0.00	6.19	90.0	5.57

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	COLAN, MICHAEL	MODERATE AGE BASED 09 - 10 - A	0.00	3.91	0.00	3.91	90.0	3.52
/ /	VOLKOV, ARTEM	MODERATE AGE BASED 09-10 - C	0.00	5.90	0.00	5.90	90.0	5.31
06/01/2018	CROW, ELISSA	MODERATE AGE BASED 09-10 - C	0.00	29.87	0.00	29.87	90.0	26.88
/ /	MUELLNER, MARK	MODERATE AGE BASED 11 - 12 - A	0.00	7.11	0.00	7.11	90.0	6.40
/ /	HINTCH, ELIZABETH	MODERATE AGE BASED 11 - 12 - A	0.00	41.98	0.00	41.98	90.0	37.78
/ /	HIRSTIUS, KERRIE	MODERATE AGE BASED 11 - 12 - A	0.00	4.15	0.00	4.15	90.0	3.74
/ /	HIRSTIUS, KERRIE	MODERATE AGE BASED 11 - 12 - A	0.00	34.76	0.00	34.76	90.0	31.28
/ /	HARRIS, KRISTOFER	MODERATE AGE BASED 11 - 12 - A	0.00	6.60	0.00	6.60	90.0	5.94
/ /	WELLINGTON, ERICA	MODERATE AGE BASED 11 - 12 - A	0.00	10.63	0.00	10.63	90.0	9.57
/ /	WILKINS, AARON	MODERATE AGE BASED 11 - 12 - A	0.00	15.68	0.00	15.68	90.0	14.11
/ /	STETTER, MARIA	MODERATE AGE BASED 11 - 12 - A	0.00	5.44	0.00	5.44	90.0	4.90
06/18/2018	LIEBERMAN, DAVID	MODERATE AGE BASED 11 - 12 - A	0.00	16.94	0.00	16.94	90.0	15.25
/ /	CROW, ELISSA	MODERATE AGE BASED 11-12 - C	0.00	10.96	0.00	10.96	90.0	9.86
/ /	BATTAGLIA, MICHAEL	MODERATE AGE BASED 13 - 14 - A	0.00	8.04	0.00	8.04	90.0	7.24
/ /	MUELLNER, MARK	MODERATE AGE BASED 13 - 14 - A	0.00	6.76	0.00	6.76	90.0	6.08
/ /	WELLINGTON, ERICA	MODERATE AGE BASED 13 - 14 - A	0.00	10.61	0.00	10.61	90.0	9.55
/ /	CROW, ELISSA	MODERATE AGE BASED 13 - 14 - C	0.00	17.85	0.00	17.85	90.0	16.07
/ /	CONDE, CATHERINE	MODERATE AGE BASED 17 - 18 - A	0.00	0.44	0.00	0.44	90.0	0.40
/ /	ROSALES-HARRIGAN, ESTELA	MODERATE AGE BASED 17 - 18 - A	0.00	11.79	0.00	11.79	90.0	10.61
06/05/2018	LOPEZ, JOSE	MODERATE AGE BASED 17 - 18 - A	0.00	4.96	0.00	4.96	90.0	4.46
06/18/2018	ARATA, MATHEW	UBT AGGRESSIVE AGE BASED 03 - 05 -	0.00	8.16	0.00	8.16	90.0	7.34
/ /	WIRTZ, GRETCHEN	UBT AGGRESSIVE AGE BASED 11 - 12 -	0.00	3.91	0.00	3.91	90.0	3.52
06/20/2018	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11 - 12 -	0.00	4.49	0.00	4.49	90.0	4.04
06/20/2018	VOLKBER, SUSAN	UBT FUND 60 A	0.00	22.74	0.00	22.74	90.0	20.47
/ /	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15 - 16 - A	0.00	13.73	0.00	13.73	90.0	12.36
06/20/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 15 - 16 - A	0.00	5.32	0.00	5.32	90.0	4.79
/ /	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 19+ - A	0.00	6.03	0.00	6.03	90.0	5.43
06/20/2018	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+ - A	0.00	4.43	0.00	4.43	90.0	3.99
/ /	MARTIN, JOELLYN	UNION B&T MODERATE AGE BASED 03-05	0.00	8.61	0.00	8.61	90.0	7.75
/ /	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	0.00	1.50	0.00	1.50	90.0	1.35
/ /	KADLEC, SABA	UNION B&T MODERATE AGE BASED 03-05	0.00	0.14	0.00	0.14	90.0	0.13
/ /	WHITE, AMANDA	UNION B&T MODERATE AGE BASED 03-05	0.00	4.77	0.00	4.77	90.0	4.29
/ /	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	0.00	10.87	0.00	10.87	90.0	9.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	SAYRE, ROSS	UNION B&T MODERATE AGE BASED 03-05	0.00	3.02	0.00	3.02	90.0	2.72
/ /	WILSON, JOE	UNION B&T MODERATE AGE BASED 03-05	0.00	1.26	0.00	1.26	90.0	1.13
/ /	HARRIS, KRISTOFER	UNION B&T MODERATE AGE BASED 03-05	0.00	0.13	0.00	0.13	90.0	0.12
/ /	BATTAGLIA, JULIE	UNION B&T MODERATE AGE BASED 03-05	0.00	0.18	0.00	0.18	90.0	0.16
/ /	GREINER, PAUL	UNION B&T MODERATE AGE BASED 03-05	0.00	12.75	0.00	12.75	90.0	11.48
/ /	CATANESE, JODY	UNION B&T MODERATE AGE BASED 03-05	0.00	4.20	0.00	4.20	90.0	3.78
/ /	REIDY, TIFFANY	UNION B&T MODERATE AGE BASED 03-05	0.00	9.25	0.00	9.25	90.0	8.33
/ /	DEUTSCH, SCOTT	UNION B&T MODERATE AGE BASED 03-05	0.00	16.17	0.00	16.17	90.0	14.55
/ /	BRANNAN, JESSE	UNION B&T MODERATE AGE BASED 03-05	0.00	18.00	0.00	18.00	90.0	16.20
/ /	KUHN, NATHANIEL	UNION B&T MODERATE AGE BASED 03-05	0.00	12.31	0.00	12.31	90.0	11.08
/ /	TUCKER, BRANDON	UNION B&T MODERATE AGE BASED 03-05	0.00	7.99	0.00	7.99	90.0	7.19
/ /	ROLLINGER, LAUREN	UNION B&T MODERATE AGE BASED 03-05	0.00	0.84	0.00	0.84	90.0	0.76
/ /	BUERSTATTE, BRANDI	UNION B&T MODERATE AGE BASED 03-05	0.00	0.97	0.00	0.97	90.0	0.87
/ /	ARIAS, MELISSA	UNION B&T MODERATE AGE BASED 03-05	0.00	6.94	0.00	6.94	90.0	6.25
/ /	SILKAITIS, MYIA	UNION B&T MODERATE AGE BASED 03-05	0.00	1.19	0.00	1.19	90.0	1.07
/ /	MARIQUINA, JACQUELINE	UNION B&T MODERATE AGE BASED 03-05	0.00	0.40	0.00	0.40	90.0	0.36
/ /	MEGER, AMANDA	UNION B&T MODERATE AGE BASED 03-05	0.00	0.59	0.00	0.59	90.0	0.53
/ /	TUCCINI, MELISSA	UNION B&T MODERATE AGE BASED 03-05	0.00	0.08	0.00	0.08	90.0	0.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$913.39	\$0.00	\$913.39		\$822.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/29/2018	KUHN, LINDA	HARTFORD DIRECTOR VII (HL)	0.00	12.77	0.00	12.77	90.0	11.49
06/30/2018	JOHNSON, LISA	NATIONWIDE BOA FUTURE II 32	0.00	33.57	0.00	33.57	90.0	30.21
06/30/2018	KUHN, THOMAS	NATIONWIDE BOA FUTURE II 32	0.00	281.86	0.00	281.86	90.0	253.67
06/30/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	20.58	0.00	20.58	90.0	18.52
06/30/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	20.58	0.00	20.58	90.0	18.52
06/30/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	15.04	0.00	15.04	90.0	13.54
06/30/2018	RITCHIE, KARREN	PRU AS ADVISOR PLAN III	0.00	15.04	0.00	15.04	90.0	13.54
06/28/2018	LOIKA, GREGORY	SBL ADVISOR PROGRAM	0.00	7.16	0.00	7.16	90.0	6.44
06/28/2018	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	0.00	7.16	0.00	7.16	90.0	6.44
06/30/2018	EDUCATION, BOARD OF	VOYA RETIREMENT & ANNUITY	4,255.00	23.33	0.00	23.33	90.0	21.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$4,255.00	\$437.09	\$0.00	\$437.09		\$393.37
*** DIRECT TRANSACTIONS TOTAL ***			\$15,034.00	\$1,824.67	\$0.00	\$1,824.67		\$1,642.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
07/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
07/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
07/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,091.00
07/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
07/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
07/15/2018		*TECH: ADMIN-K JALOWIECKI	0.00					-130.00
07/15/2018		*TECH: ADMIN-W CLARK	0.00					-130.00
07/15/2018		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-135.00
07/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,642.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,642.32
Year-to-date Gross	\$214,076.29
Year-to-date Earnings	\$192,669.73

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