



Concorde Investment Services, LLC

07/27/2018

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COMMISSION STATEMENT**July 31, 2018****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-5,850.00	0.00	0.00	0.00	100.0	0.00
07/11/2018	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	5,557.50	0.00	0.00	0.00	100.0	0.00
07/23/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	49,250.00	0.00	0.00	0.00	100.0	0.00
07/23/2018	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-50,000.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
07/16/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	1,881.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	RESOURCE REAL ESTATE DIVERSIFIED IN	-1,900.00	0.00	0.00	0.00	100.0	0.00
07/23/2018	PETRIE, MIKE	VERTICAL CAPITAL INCOME FUND A	-1,722.80	22.00	13.00	9.00	100.0	9.00
07/10/2018	CONCORDE, VARIOUS	WESTERN ASSET MACRO OPPORTUNITIES F	-3,900.00	0.00	0.00	0.00	100.0	0.00
07/10/2018	CONCORDE, VARIOUS	WESTERN ASSET MACRO OPPORTUNITIES F	3,861.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-2,826.80	\$22.00	\$13.00	\$9.00		\$9.00
<u>PRODUCT CATEGORY: STOCKS</u>								
07/19/2018	CONCORDE, VARIOUS	BIOSIG TECHNOLOGIES INC COM	3,480.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	BIOSIG TECHNOLOGIES INC COM	231.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	BIOSIG TECHNOLOGIES INC COM	231.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	BIOSIG TECHNOLOGIES INC COM	7,623.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	BIOSIG TECHNOLOGIES INC COM	-11,565.00	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	BP PLC SPONS ADR	-951.72	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	BP PLC SPONS ADR	-4,033.48	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	BP PLC SPONS ADR	4,985.20	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	6,023.75	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-1,501.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-4,522.75	0.00	1.00	-1.00	100.0	-1.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-480.00	0.00	1.00	-1.00	100.0	-1.00
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-2,720.00	0.00	1.00	-1.00	100.0	-1.00
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	3,200.00	0.00	0.00	0.00	100.0	0.00
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-21.00	0.00	1.00	-1.00	100.0	-1.00
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,750.00	0.00	1.00	-1.00	100.0	-1.00
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,729.00	0.00	1.00	-1.00	100.0	-1.00
07/11/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	3,500.00	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,200.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,800.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-11.20	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-496.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-320.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-640.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-800.00	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-932.80	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	3,000.00	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-243.20	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-245.34	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-30.72	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-2,680.74	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	3,200.00	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	3,200.00	0.00	0.00	0.00	100.0	0.00
07/13/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	3,000.00	0.00	0.00	0.00	100.0	0.00
07/13/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,200.00	0.00	1.00	-1.00	100.0	-1.00
07/13/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,800.00	0.00	1.00	-1.00	100.0	-1.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,810.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-98.00	0.00	1.00	-1.00	100.0	-1.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-448.00	0.00	1.00	-1.00	100.0	-1.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,204.00	0.00	1.00	-1.00	100.0	-1.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,750.00	0.00	0.00	0.00	100.0	0.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-290.00	0.00	1.00	-1.00	100.0	-1.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-196.00	0.00	1.00	-1.00	100.0	-1.00
07/16/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-2,324.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-810.00	0.00	1.00	-1.00	100.0	-1.00
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-18.90	0.00	1.00	-1.00	100.0	-1.00
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	828.90	0.00	0.00	0.00	100.0	0.00
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	864.00	0.00	0.00	0.00	100.0	0.00
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-144.00	0.00	1.00	-1.00	100.0	-1.00
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-600.00	0.00	1.00	-1.00	100.0	-1.00
07/17/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-120.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-205.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-205.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-410.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-820.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-410.00	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,721.94	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-262.50	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-29.40	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-86.16	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,050.00	0.00	0.00	0.00	100.0	0.00
07/18/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,100.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,550.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,730.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-768.80	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-811.49	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,580.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-19.80	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-205.99	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,800.00	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-19.20	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-420.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-120.00	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-976.80	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-318.50	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-573.13	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-833.85	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-890.90	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-0.02	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-177.10	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-479.98	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,603.80	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-196.20	0.00	1.00	-1.00	100.0	-1.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	225.79	0.00	0.00	0.00	100.0	0.00
07/19/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,536.00	0.00	0.00	0.00	100.0	0.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-3,840.00	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-980.00	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	4,820.00	0.00	0.00	0.00	100.0	0.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,000.00	0.00	0.00	0.00	100.0	0.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-200.00	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-799.50	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,000.50	0.00	1.00	-1.00	100.0	-1.00
07/23/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-537.78	0.00	1.00	-1.00	100.0	-1.00
07/23/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-462.22	0.00	1.00	-1.00	100.0	-1.00
07/23/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,000.00	0.00	0.00	0.00	100.0	0.00
07/23/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,000.00	0.00	1.00	-1.00	100.0	-1.00
07/23/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,000.00	0.00	1.00	-1.00	100.0	-1.00
07/23/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,000.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,800.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-220.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-220.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-220.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-638.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-440.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-462.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,000.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,850.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-500.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-500.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,000.00	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-185.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,665.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-189.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-186.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-185.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-370.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,073.50	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-760.00	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-66.50	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,850.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,526.25	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-323.75	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,900.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-995.40	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-804.60	0.00	1.00	-1.00	100.0	-1.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	930.00	0.00	0.00	0.00	100.0	0.00
07/25/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	2,200.00	0.00	0.00	0.00	100.0	0.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-544.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,156.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-180.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-90.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-180.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-499.12	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	-1,100.88	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	450.00	0.00	0.00	0.00	100.0	0.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,600.00	0.00	0.00	0.00	100.0	0.00
07/26/2018	CONCORDE, VARIOUS	INTERCLOUD SYS INC COM PAR \$0.0001	1,700.00	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	-10,087.20	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	2,816.01	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	1,386.99	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	ISHARES TR DOW JONES U S ENERGY SEC	5,884.20	0.00	1.00	-1.00	100.0	-1.00
07/11/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	-12,734.05	0.00	0.00	0.00	100.0	0.00
07/11/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	101.88	0.00	1.00	-1.00	100.0	-1.00

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<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
07/11/2018	CONCORDE, VARIOUS	ISHARES TR FLTG RATE NT ETF	12,632.18	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	ISHARES U S ETF TR INT RATE HEDGED	-10,105.35	0.00	0.00	0.00	100.0	0.00
07/12/2018	CONCORDE, VARIOUS	ISHARES U S ETF TR INT RATE HEDGED	9,624.14	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	ISHARES U S ETF TR INT RATE HEDGED	481.21	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-1,354.43	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-404.57	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	-386.98	0.00	1.00	-1.00	100.0	-1.00
07/18/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM NEW	2,145.98	0.00	0.00	0.00	100.0	0.00
07/20/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	17.80	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	142.40	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	534.00	0.00	1.00	-1.00	100.0	-1.00
07/20/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-694.20	0.00	0.00	0.00	100.0	0.00
07/26/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,789.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	1,789.00	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	107.46	0.00	1.00	-1.00	100.0	-1.00
07/26/2018	CONCORDE, VARIOUS	PIMCO CORPORATE & INCOME STRATEGY F	-3,685.46	0.00	0.00	0.00	100.0	0.00
07/12/2018	KULBACK TRUST, SONDR	PREFERRED APT CMNTYS INC COM	-1,232.07	60.00	13.50	46.50	100.0	46.50
07/12/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	1,533.90	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	2,300.85	0.00	1.00	-1.00	100.0	-1.00
07/12/2018	CONCORDE, VARIOUS	VANGUARD S&P 500 ETF	-3,834.75	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$-1,225.83	\$60.00	\$133.50	\$-73.50		\$-73.50
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
07/12/2018	CONCORDE, VARIOUS	FT UNIT 7414 CAP STRENGTH PORT SER	27,445.35	0.00	17.50	-17.50	100.0	-17.50
07/12/2018	CONCORDE, VARIOUS	FT UNIT 7414 CAP STRENGTH PORT SER	-28,005.47	0.00	17.50	-17.50	100.0	-17.50
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-560.12	\$0.00	\$35.00	\$-35.00		\$-35.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-4,612.75	\$82.00	\$181.50	\$-99.50		\$-99.50

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
06/30/2018	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND A	35,542.41	7.30	0.00	7.30	100.0	7.30
06/30/2018	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	7,053.03	1.45	0.00	1.45	100.0	1.45
06/30/2018	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	5,463.69	1.12	0.00	1.12	100.0	1.12
* INTERVAL FUNDS SUBTOTAL *			\$48,059.13	\$9.87	\$0.00	\$9.87		\$9.87
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/13/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	25,000.00	937.50	0.00	937.50	100.0	937.50
* 529 PLANS SUBTOTAL *			\$25,000.00	\$937.50	\$0.00	\$937.50		\$937.50
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/16/2018	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
07/16/2018	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
07/09/2018	DEEB, DANUTA	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	5.00	0.00	5.00	100.0	5.00
07/16/2018	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
07/16/2018	MARSH, KEITH	AM FUNDS NEW ECONOMY A	200.00	5.50	0.00	5.50	100.0	5.50
07/09/2018	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
* MUTUAL FUNDS SUBTOTAL *			\$550.00	\$23.00	\$0.00	\$23.00		\$23.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/06/2018	LUND, VARIOUS	ADV CENTURY	0.00	11.24	0.00	11.24	100.0	11.24
07/12/2018	LUND, VARIOUS	ADV CENTURY	0.00	638.19	0.00	638.19	100.0	638.19
07/16/2018	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
07/16/2018	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
* VARIABLE ANNUITIES SUBTOTAL *			\$60.00	\$650.03	\$0.00	\$650.03		\$650.03
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/25/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	3.96	0.00	3.96	100.0	3.96
07/02/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
07/02/2018	KENNARD, DEAN	PAC LIFE VUL	61.84	1.24	0.00	1.24	100.0	1.24
07/02/2018	KENNARD, DEAN	PAC LIFE VUL	161.99	3.24	0.00	3.24	100.0	3.24
07/02/2018	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
07/02/2018	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
07/09/2018	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
07/10/2018	BENNETT, CATHERINE	PAC LIFE VUL	66.00	1.32	0.00	1.32	100.0	1.32
07/13/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	5.55	0.00	5.55	100.0	5.55

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/20/2018	GOVE, VARIOUS	PAC LIFE VUL	0.00	7.66	0.00	7.66	100.0	7.66
07/09/2018	MICHALETZ, VARIOUS-JOE	TRANSAMERICA LIFE	3,944,804.30	2,252.46	0.00	2,252.46	100.0	2,252.46
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$3,946,019.13	\$2,296.69	\$0.00	\$2,296.69		\$2,296.69
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	TSEREGOUNIS, SPYROS	DAVIS GOVERNMENT BOND A	0.00	18.88	0.00	18.88	100.0	18.88
07/02/2018	KATEHI, PISTI	DAVIS GOVERNMENT BOND A	0.00	8.06	0.00	8.06	100.0	8.06
07/02/2018	WERNER, DOROTHY	DAVIS GOVERNMENT BOND A	0.00	31.58	0.00	31.58	100.0	31.58
07/02/2018	LEWIS, JOAN	DAVIS GOVERNMENT BOND A	0.00	1.42	0.00	1.42	100.0	1.42
07/02/2018	KNOCH JR, WALTER	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
07/02/2018	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
07/02/2018	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	183.37	0.00	183.37	100.0	183.37
07/02/2018	COCKRILL, JUDITH	DAVIS NEW YORK VENTURE A	0.00	33.93	0.00	33.93	100.0	33.93
07/02/2018	POLANT, LOUIS	DAVIS NEW YORK VENTURE A	0.00	22.94	0.00	22.94	100.0	22.94
07/02/2018	GARCIA, ADELA	DAVIS NEW YORK VENTURE A	0.00	7.68	0.00	7.68	100.0	7.68
07/02/2018	TSEREGOUNIS, SPYROS	DAVIS OPPORTUNITY A	0.00	26.44	0.00	26.44	100.0	26.44
06/30/2018	SIDLOROBEG	DELAWARE VALUE A	0.00	35.48	0.00	35.48	100.0	35.48
06/30/2018	KLEMP, DANIAL	DELAWARE VALUE A	0.00	8.18	0.00	8.18	100.0	8.18
07/16/2018	PILLAI, VADIVEL	JH FINANCIAL INDUSTRIES A	0.00	1.35	0.00	1.35	100.0	1.35
07/16/2018	KASSA, GETACHEW FOLY	JH FINANCIAL INDUSTRIES A	0.00	0.81	0.00	0.81	100.0	0.81
07/16/2018	HABTE, BISRAT	JH FINANCIAL INDUSTRIES A	0.00	3.81	0.00	3.81	100.0	3.81
07/16/2018	SCHMIDT, MICHAEL	JH GOVERNMENT INCOME A	0.00	6.56	0.00	6.56	100.0	6.56
07/16/2018	KABTYIMER, BETELEHEM	JH REGIONAL BANK A	0.00	3.60	0.00	3.60	100.0	3.60
07/01/2018	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	2.60	0.00	2.60	100.0	2.60
07/01/2018	RADDEN, JACOB	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	20.73	0.00	20.73	100.0	20.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$417.42	\$0.00	\$417.42		\$417.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/18/2018	FORMELLA, ANNETTE	GENWORTH INS	0.00	2.67	0.00	2.67	100.0	2.67
06/18/2018	FORMELLA, DAVID	GENWORTH INS	0.00	2.63	0.00	2.63	100.0	2.63
07/17/0208	MCGINNIS, JAMES	ING LANDMARK	83,762.26	209.41	0.00	209.41	100.0	209.41
07/18/0208	BUCKEYE, JOAN	ING PREMIUM PLUS	38,826.73	24.27	0.00	24.27	100.0	24.27
07/12/2018	DURZO, ROSINA	PAC LIFE INNOVATIVE SELECT	0.00	67.48	0.00	67.48	100.0	67.48
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$122,588.99	\$306.46	\$0.00	\$306.46		\$306.46

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<u> OVERRIDE TRANSACTIONS </u>								
*** DIRECT TRANSACTIONS TOTAL ***			\$4,142,277.25	\$4,640.97	\$0.00	\$4,640.97		\$4,640.97
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: G118 GRECO #10 </u>								
07/20/2018	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
07/20/2018	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	3.00	0.00	3.00	0.0	3.00
07/16/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
07/16/2018	DORAN, PATRICK	FA 529 PORT 2019 C	200.00	0.50	0.00	0.50	0.0	0.50
07/16/2018	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
07/16/2018	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.00	0.00	6.00	0.0	6.00
07/03/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
07/03/2018	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
* DANIEL COLLINS SUBTOTAL *			\$837.50	\$25.38	\$0.00	\$25.38		\$25.38
<u> BROKER: DANIEL WOLF #11 </u>								
07/01/2018	SAVELA, RONALD	INVESCO ENERGY FUND A	0.00	0.95	0.00	0.95	0.0	0.95
07/24/2018	CARIGNAN, NANCY	MML CM LIFE VUL	0.00	6.90	0.00	6.90	0.0	6.90
02/18/2018	WOLF, VARIOUS	TRANSAMERICA LIFE	22,394.75	44.79	0.00	44.79	0.0	44.79
* DANIEL WOLF SUBTOTAL *			\$22,394.75	\$52.64	\$0.00	\$52.64		\$52.64
<u> BROKER: ERIC HORNYAK #29 </u>								
/ /	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.21	0.00	0.21	0.0	0.21
06/30/2018	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.10	0.00	1.10	0.0	1.10
* ERIC HORNYAK SUBTOTAL *			\$0.00	\$1.31	\$0.00	\$1.31		\$1.31
<u> BROKER: THOMAS BOAKS #33 </u>								
/ /	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	3.87	0.00	3.87	0.0	3.87
06/30/2018	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.18	0.00	2.18	0.0	2.18
06/30/2018	WITT, AMANDA	BLK GLOBAL ALLOCATION A	0.00	0.33	0.00	0.33	0.0	0.33
06/29/2018	PUDIL, HSIU CHIN	COMMONWEALTH PLUS	365.73	0.06	0.00	0.06	0.0	0.06
07/02/2018	BOAKS, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.48	0.00	0.48	0.0	0.48

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: THOMAS BOAKS #33 </u>								
07/02/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	30.00	0.30	0.00	0.30	0.0	0.30
07/02/2018	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	0.00	30.55	0.00	30.55	0.0	30.55
07/02/2018	KANDT, TRACY	DAVIS NEW YORK VENTURE C	0.00	97.82	0.00	97.82	0.0	97.82
07/02/2018	BURESS, KELLY	DAVIS NEW YORK VENTURE C	0.00	6.67	0.00	6.67	0.0	6.67
07/02/2018	HEAD, JOHN	DAVIS NEW YORK VENTURE C	0.00	8.34	0.00	8.34	0.0	8.34
07/09/2018	BORDERS, BARBARA	DWSCUDDER DEUTSCHE GNMA FD A	0.00	2.14	0.00	2.14	0.0	2.14
07/01/2018	ROSS JT TEN, LAWRENCE	INVESCO US GOVT A	0.00	5.29	0.00	5.29	0.0	5.29
06/29/2018	LASALLE, BARBARA	RETIRE READY CHOICE	348,729.57	290.61	0.00	290.61	0.0	290.61
06/29/2018	GEORGE, CAROLE	RETIRE READY CHOICE	156,232.39	130.19	0.00	130.19	0.0	130.19
* THOMAS BOAKS SUBTOTAL *			\$505,357.69	\$578.83	\$0.00	\$578.83		\$578.83
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
07/03/2018	MARY A ROGERS, J	ALLIANZ GI SHORT DURATION HIGH INC	0.00	2.03	0.00	2.03	0.0	2.03
07/03/2018	MARY A ROGERS, J	ALLIANZ GI SHORT DURATION HIGH INC	0.00	2.03	0.00	2.03	0.0	2.03
07/05/2018	MUNACO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	20.74	0.00	20.74	0.0	20.74
07/05/2018	MARSH, JANICE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	9.14	0.00	9.14	0.0	9.14
07/05/2018	MARSH/SEP, GARY	COLUMBIA SELIGMAN COMM AND INFO A	0.00	9.07	0.00	9.07	0.0	9.07
07/02/2018	MORALES, KARALEE	DAVIS APPRECIATION AND INCOME A	0.00	4.51	0.00	4.51	0.0	4.51
07/02/2018	DRAPER, MARK	DAVIS APPRECIATION AND INCOME A	0.00	13.75	0.00	13.75	0.0	13.75
07/02/2018	MUNACO, FRANK	DAVIS FINANCIAL A	0.00	11.45	0.00	11.45	0.0	11.45
07/02/2018	NICHOLS, THOMAS	DAVIS GOVERNMENT BOND A	0.00	3.64	0.00	3.64	0.0	3.64
07/02/2018	FARMERS MKT INC	DAVIS NEW YORK VENTURE A	0.00	2.30	0.00	2.30	0.0	2.30
07/02/2018	MUNACO, FRANK	DAVIS NEW YORK VENTURE A	0.00	22.03	0.00	22.03	0.0	22.03
07/02/2018	ELIAS, JOHN	DAVIS NEW YORK VENTURE A	0.00	1.94	0.00	1.94	0.0	1.94
07/02/2018	RADATZ, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	7.78	0.00	7.78	0.0	7.78
07/02/2018	KULHANEK, MICHAEL	DAVIS NEW YORK VENTURE A	0.00	0.97	0.00	0.97	0.0	0.97
07/02/2018	MORALES, KARALEE	DAVIS NEW YORK VENTURE A	0.00	4.74	0.00	4.74	0.0	4.74
07/02/2018	DRAPER, MARK	DAVIS NEW YORK VENTURE A	0.00	16.73	0.00	16.73	0.0	16.73
07/02/2018	NICHOLS, THOMAS	DAVIS NEW YORK VENTURE B	0.00	9.52	0.00	9.52	0.0	9.52
07/02/2018	MUNACO, FRANK	DAVIS OPPORTUNITY A	0.00	16.09	0.00	16.09	0.0	16.09
07/02/2018	NICHOLS, THOMAS	DAVIS OPPORTUNITY A	0.00	10.04	0.00	10.04	0.0	10.04
07/09/2018	TUMINO TRUST, RAPHAEL	DWS DEUTSCHE CAPITAL GROWTH FUND-A	0.00	15.54	0.00	15.54	0.0	15.54
07/09/2018	HOCKADAY, DAVID	DWS DEUTSCHE HIGH INCOME FUND A	0.00	21.79	0.00	21.79	0.0	21.79
07/09/2018	MORRISON TRUST, PHYLLIS	DWS DEUTSCHE HIGH INCOME FUND A	0.00	5.75	0.00	5.75	0.0	5.75

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BARTHOLOMEW MACKLER #35 </u>								
07/09/2018	CHATTULANI, DIANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	15.66	0.00	15.66	0.0	15.66
07/09/2018	SCHERER, DANA	DWS DEUTSCHE HIGH INCOME FUND A	0.00	6.31	0.00	6.31	0.0	6.31
07/09/2018	SZOCINSKI, CASIMER	DWS DEUTSCHE HIGH INCOME FUND A	0.00	2.57	0.00	2.57	0.0	2.57
07/09/2018	HOCKADAY, DAVID	DWS DEUTSCHE INTERNATIONAL FUND-A	0.00	11.95	0.00	11.95	0.0	11.95
06/30/2018	HORTON, RICHARD	GRIFFIN INST'L ACCESS R E FUND	38,315.97	7.87	0.00	7.87	0.0	7.87
06/30/2018	JOHNSON, WILLIAM	GRIFFIN INST'L ACCESS R E FUND	13,526.55	2.78	0.00	2.78	0.0	2.78
06/30/2018	CASGRAIN, GAYL	GRIFFIN INST'L ACCESS R E FUND	24,154.12	4.96	0.00	4.96	0.0	4.96
06/30/2018	BORR, RUDOLPH	GRIFFIN INST'L ACCESS R E FUND	18,534.99	15.24	0.00	15.24	0.0	15.24
06/30/2018	QUENNEVILLE, JEROME	GRIFFIN INST'L ACCESS R E FUND C	39,397.44	32.39	0.00	32.39	0.0	32.39
06/30/2018	LOWELL, THOMAS	GRIFFIN INST'L ACCESS R E FUND C	54,750.23	45.00	0.00	45.00	0.0	45.00
06/30/2018	LICHOROBIEC, RICHARD	GRIFFIN INST'L ACCESS R E FUND C	42,918.73	35.28	0.00	35.28	0.0	35.28
06/30/2018	PRICE, BONNIE	GRIFFIN INST'L ACCESS R E FUND C	40,136.78	32.99	0.00	32.99	0.0	32.99
07/01/2018	MARKEL, DENNIS	MFS GROWTH A	0.00	25.57	0.00	25.57	0.0	25.57
/ /	BORR, DIANE	OPPENHEIMER EQUITY INCOME A	0.00	20.47	0.00	20.47	0.0	20.47
/ /	LOWELL, CATHY	OPPENHEIMER GLOBAL A	0.00	10.11	0.00	10.11	0.0	10.11
/ /	GAFFNEY, PAUL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.27	0.00	0.27	0.0	0.27
/ /	MAPLEY, CRISSELLA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.10	0.00	5.10	0.0	5.10
/ /	WAGNER, CHARLES	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	7.06	0.00	7.06	0.0	7.06
/ /	BORR, RUDOLPH	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.31	0.00	4.31	0.0	4.31
/ /	BORR, DIANE	OPPENHEIMER INTL DIVERSIFIED A	0.00	12.72	0.00	12.72	0.0	12.72
/ /	GAFFNEY, PAUL	OPPENHEIMER MAIN STREET A	0.00	3.32	0.00	3.32	0.0	3.32
/ /	CHATTULANI, DIANA	OPPENHEIMER MAIN STREET A	0.00	13.25	0.00	13.25	0.0	13.25
/ /	WAGNER, CHARLES	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.49	0.00	0.49	0.0	0.49
07/20/2018	SNYDER, MICHAEL C	PAC LIFE VUL	65.00	0.65	0.00	0.65	0.0	0.65
* BARTHOLOMEW MACKLER SUBTOTAL *			\$271,799.81	\$527.90	\$0.00	\$527.90		\$527.90
<u> BROKER: TIMOTHY BROWN #36 </u>								
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.42	0.00	0.42	0.0	0.42
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	0.62	0.00	0.62	0.0	0.62
/ /	MAIMER, MELISSA	PROFUNDS MONEY MARKET-SVC	0.00	0.76	0.00	0.76	0.0	0.76
/ /	SARAN, AKHTIAR	PROFUNDS MONEY MARKET-SVC	0.00	1.12	0.00	1.12	0.0	1.12
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	3.66	0.00	3.66	0.0	3.66
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	10.97	0.00	10.97	0.0	10.97
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$17.55	\$0.00	\$17.55		\$17.55

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: DANIEL HOLMAN #37 </u>								
/ /	HOLMAN, VARIOUS	BLACKROCK 19452P743	0.00	0.68	0.00	0.68	0.0	0.68
06/30/2018	HOLMAN, VARIOUS	BLACKROCK 19452P743	0.00	0.68	0.00	0.68	0.0	0.68
07/02/2018	WONG TRUST, JANE	DAVIS NEW YORK VENTURE A	500.00	10.00	0.00	10.00	0.0	10.00
07/02/2018	WONG TRUST, JANE	DAVIS NEW YORK VENTURE A	0.00	262.77	0.00	262.77	0.0	262.77
07/09/2018	FORD, CALVIN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	14.67	0.00	14.67	0.0	14.67
07/09/2018	FORD, CALVIN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	14.57	0.00	14.57	0.0	14.57
07/09/2018	FORD, CALVIN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	14.57	0.00	14.57	0.0	14.57
07/09/2018	FORD, CALVIN	DWS DEUTSCHE HIGH INCOME FUND A	0.00	14.57	0.00	14.57	0.0	14.57
07/05/2018	DAVIS, MURIEL	WELLS FARGO STRATEGIC MUNI A	0.00	1.48	0.00	1.48	0.0	1.48
* DANIEL HOLMAN SUBTOTAL *			\$500.00	\$333.99	\$0.00	\$333.99		\$333.99
<u> BROKER: BRUCE BAXTER #38 </u>								
07/01/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	17.19	0.00	17.19	0.0	17.19
07/01/2018	BAXTER-SAVAGE, MEGAN	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	9.55	0.00	9.55	0.0	9.55
07/01/2018	BRENNEMAN, W JOHN	MFS GROWTH A	0.00	8.02	0.00	8.02	0.0	8.02
07/01/2018	WARTHMAN, JODY	MFS GROWTH A	0.00	1.00	0.00	1.00	0.0	1.00
07/01/2018	BAXTER, RYAN	MFS GROWTH ALLOCATION A	0.00	5.31	0.00	5.31	0.0	5.31
07/01/2018	BAXTER, SARAH	MFS GROWTH ALLOCATION A	0.00	6.03	0.00	6.03	0.0	6.03
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.15	0.00	0.15	0.0	0.15
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.27	0.00	0.27	0.0	0.27
/ /	DENNIS, MARK	PROFUNDS MONEY MARKET-SVC	0.00	0.27	0.00	0.27	0.0	0.27
/ /	MCMULLEN, PATRICK	PROFUNDS MONEY MARKET-SVC	0.00	0.11	0.00	0.11	0.0	0.11
/ /	BAXTER, MEGAN	PROFUNDS MONEY MARKET-SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	DIXON, ALLEN	PROFUNDS MONEY MARKET-SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	HUBER, JAMES	PROFUNDS MONEY MARKET-SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS MONEY MARKET-SVC	0.00	0.49	0.00	0.49	0.0	0.49
/ /	GOLSCH, LORI	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE BAXTER #38 </u>								
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.10	0.00	0.10	0.0	0.10
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	9.94	0.00	9.94	0.0	9.94
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	29.82	0.00	29.82	0.0	29.82
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GOLSCH, LORI	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$88.65	\$0.00	\$88.65		\$88.65
<u> BROKER: MELANIE DICENSO #43 </u>								
07/09/2018	VANDERKHOVE, HANNAH	DWS DEUTSCHE EQUITY DIVIDEND FUND-A	0.00	0.21	0.00	0.21	0.0	0.21
07/09/2018	NASH, SUZANNE	DWS GLOBAL INCOME BUILDER A	0.00	0.04	0.00	0.04	0.0	0.04
* MELANIE DICENSO SUBTOTAL *			\$0.00	\$0.25	\$0.00	\$0.25		\$0.25
<u> BROKER: ROBERT (RUSTY) TWEED #58 </u>								
07/05/2018	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.76	0.00	2.76	0.0	2.76
07/05/2018	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.86	0.00	0.86	0.0	0.86
07/05/2018	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	7.62	0.00	7.62	0.0	7.62
07/05/2018	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	6.38	0.00	6.38	0.0	6.38
07/18/2018	MENDOZA, ESPERANZA	HEALTHCARE TR AMER INC CL A NEW	-37,006.62	1,480.26	24.10	1,456.16	0.0	1,456.16
07/01/2018	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	6.86	0.00	6.86	0.0	6.86
07/01/2018	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	6.15	0.00	6.15	0.0	6.15
07/01/2018	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	5.95	0.00	5.95	0.0	5.95
07/16/2018	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.29	0.00	2.29	0.0	2.29
07/16/2018	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.27	0.00	2.27	0.0	2.27
07/16/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.05	0.00	1.05	0.0	1.05
07/16/2018	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.73	0.00	1.73	0.0	1.73
07/16/2018	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.37	0.00	2.37	0.0	2.37
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$-37,006.62	\$1,526.55	\$24.10	\$1,502.45		\$1,502.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #60 </u>								
07/16/2018	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
07/02/2018	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.75	0.00	14.75	0.0	14.75
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	30.63	0.00	30.63	0.0	30.63
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	10.45	0.00	10.45	0.0	10.45
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.13	0.00	16.13	0.0	16.13
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	2.58	0.00	2.58	0.0	2.58
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	11.76	0.00	11.76	0.0	11.76
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	8.55	0.00	8.55	0.0	8.55
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.87	0.00	0.87	0.0	0.87
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	3.92	0.00	3.92	0.0	3.92
 * JIM LUND SUBTOTAL * 			\$300.00	\$105.64	\$0.00	\$105.64		\$105.64
<u> BROKER: JAMES PANOS #61 </u>								
07/02/2018	BROPHY, VIRGINIA	BRIGHTHOUSE	0.00	401.73	0.00	401.73	0.0	401.73
07/02/2018	CHIARELLA, LAWRENCE	BRIGHTHOUSE	0.00	723.99	0.00	723.99	0.0	723.99
07/02/2018	YFANTOPULOS, GEORGE	BRIGHTHOUSE	0.00	644.95	0.00	644.95	0.0	644.95
07/02/2018	KAVANAUGH, MARJORIE	BRIGHTHOUSE	0.00	128.79	0.00	128.79	0.0	128.79
07/02/2018	VITUKEVICH, MICHAEL	BRIGHTHOUSE	0.00	434.99	0.00	434.99	0.0	434.99
07/02/2018	BROPHY, CHARLES	BRIGHTHOUSE	0.00	993.12	0.00	993.12	0.0	993.12
07/02/2018	KAVANAUGH, MARJORIE	BRIGHTHOUSE	0.00	149.94	0.00	149.94	0.0	149.94
07/02/2018	MONAGHAN, THOMAS	BRIGHTHOUSE	0.00	262.90	0.00	262.90	0.0	262.90
 * JAMES PANOS SUBTOTAL * 			\$0.00	\$3,740.41	\$0.00	\$3,740.41		\$3,740.41
<u> BROKER: RENE CASTRO #92 </u>								
07/06/2018	PIRIO, PAUL	ING PREMIUM PLUS	154,112.37	96.32	0.00	96.32	0.0	96.32
07/16/2018	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.25	0.00	2.25	0.0	2.25
07/16/2018	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	8.69	0.00	8.69	0.0	8.69
 * RENE CASTRO SUBTOTAL * 			\$154,112.37	\$107.26	\$0.00	\$107.26		\$107.26
<u> BROKER: JAMES AULENTI #101 </u>								
07/09/2018	TEODORESCU, ANTON	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	84.28	0.00	84.28	0.0	84.28
 * JAMES AULENTI SUBTOTAL * 			\$0.00	\$84.28	\$0.00	\$84.28		\$84.28

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ERIK BLOOMFELDT #105</u>								
/ /	FREER, JADA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.04	0.00	4.04	0.0	4.04
		* ERIK BLOOMFELDT SUBTOTAL *	\$0.00	\$4.04	\$0.00	\$4.04		\$4.04
<u>BROKER: DANIEL COLLINS #106</u>								
07/02/2018	WILLIAMS, JESSIE	BRIGHTHOUSE	0.00	475.13	0.00	475.13	0.0	475.13
07/02/2018	PETITT, WILLIAM	BRIGHTHOUSE	0.00	82.19	0.00	82.19	0.0	82.19
07/02/2018	COOPER, CHARLES	BRIGHTHOUSE	0.00	119.09	0.00	119.09	0.0	119.09
07/02/2018	DAUBERT, D	BRIGHTHOUSE	0.00	51.54	0.00	51.54	0.0	51.54
07/03/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
07/03/2018	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
07/03/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
07/03/2018	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
07/03/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
07/03/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
07/03/2018	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
07/16/2018	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.91	0.00	1.91	0.0	1.91
07/16/2018	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	3.00	0.00	3.00	0.0	3.00
07/16/2018	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	2.21	0.00	2.21	0.0	2.21
07/16/2018	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.39	0.00	2.39	0.0	2.39
		* DANIEL COLLINS SUBTOTAL *	\$412.50	\$758.10	\$0.00	\$758.10		\$758.10
<u>BROKER: JAY MCCLELLAN #120</u>								
07/05/2018	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	3.24	0.00	3.24	0.0	3.24
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.88	0.00	2.88	0.0	2.88
06/30/2018	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	11,527.56	2.37	0.00	2.37	0.0	2.37
06/30/2018	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	20,392.38	4.19	0.00	4.19	0.0	4.19
07/01/2018	DICKEY, CYNTHIA	INVESCO CHARTER FUND A	0.00	5.80	0.00	5.80	0.0	5.80
07/01/2018	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	22.40	0.00	22.40	0.0	22.40
07/01/2018	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	20.07	0.00	20.07	0.0	20.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JAY MCCLELLAN #120 </u>								
07/01/2018	ZEHRINGER, SHARON	INVESCO DEVELOPING MARKETS A	0.00	18.10	0.00	18.10	0.0	18.10
07/01/2018	ZEHRINGER, CJAMES	INVESCO DEVELOPING MARKETS A	0.00	18.18	0.00	18.18	0.0	18.18
07/01/2018	ZEHRINGER, JAMES	INVESCO DEVELOPING MARKETS A	0.00	1.19	0.00	1.19	0.0	1.19
07/01/2018	ZEHRINGER TRUST	INVESCO TECHNOLOGY A	0.00	0.76	0.00	0.76	0.0	0.76
07/19/2018	FRICKER, WILLIAM	ISHARES TR GLOBAL TECH ETF	2,563.01	51.26	22.50	28.76	0.0	28.76
07/09/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.74	0.00	1.74	0.0	1.74
07/09/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	2.33	0.00	2.33	0.0	2.33
07/09/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	7.60	0.00	7.60	0.0	7.60
07/09/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.78	0.00	1.78	0.0	1.78
07/09/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.35	0.00	1.35	0.0	1.35
07/09/2018	CRABTREE, GARY	MET LIFE 10922P124	0.00	1.47	0.00	1.47	0.0	1.47
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.53	0.00	1.53	0.0	1.53
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	6.79	0.00	6.79	0.0	6.79
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.78	0.00	1.78	0.0	1.78
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	3.18	0.00	3.18	0.0	3.18
* JAY MCCLELLAN SUBTOTAL *			\$34,482.95	\$179.99	\$22.50	\$157.49		\$157.49
<u> BROKER: JEFFREY GOVE #143 </u>								
07/06/2018	FULLERTON, BEVERLY	OHIO NATL ONCORE LITE	0.00	17.69	0.00	17.69	0.0	17.69
* JEFFREY GOVE SUBTOTAL *			\$0.00	\$17.69	\$0.00	\$17.69		\$17.69
<u> BROKER: TIMOTHY KULHANEK #145 </u>								
07/10/2018	GOVE, VARIOUS	AMERITAS MEDLEY	0.00	15.95	0.00	15.95	0.0	15.95
07/05/2018	BEBENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	150.00	7.13	0.00	7.13	0.0	7.13
* TIMOTHY KULHANEK SUBTOTAL *			\$150.00	\$23.08	\$0.00	\$23.08		\$23.08
<u> BROKER: CHARLIE ARRINGTON #174 </u>								
07/01/2018	MURASZENSKI, LAWRENCE	INVESCO AMERICAN VALUE A	0.00	10.05	0.00	10.05	0.0	10.05
07/01/2018	MATHEIS LIV TRUST, BERNICE	INVESCO ENERGY FUND A	0.00	2.68	0.00	2.68	0.0	2.68
07/01/2018	MURASZENSKI, LAWRENCE	INVESCO ENERGY FUND A	0.00	5.52	0.00	5.52	0.0	5.52
07/01/2018	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL ALLOCATION A	0.00	3.74	0.00	3.74	0.0	3.74
07/01/2018	MURASZENSKI, LAWRENCE	INVESCO INTERNATIONAL TOTAL RETURN	0.00	2.88	0.00	2.88	0.0	2.88
07/01/2018	MURASZENSKI, LAWRENCE	INVESCO US GOVT A	0.00	8.29	0.00	8.29	0.0	8.29
* CHARLIE ARRINGTON SUBTOTAL *			\$0.00	\$33.16	\$0.00	\$33.16		\$33.16

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: BRUCE CARNICK #175 </u>								
07/02/2018	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	0.00	102.15	0.00	102.15	0.0	102.15
07/02/2018	WOLAK, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	55.10	0.00	55.10	0.0	55.10
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$157.25	\$0.00	\$157.25		\$157.25
<u> BROKER: MICHELE BOYER #189 </u>								
06/30/2018	MEDIGER, KYLE	GRIFFIN CAPITAL ESSENTIAL ASSET II	11,508.40	28.69	0.00	28.69	0.0	28.69
07/01/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	0.00	7.80	0.00	7.80	0.0	7.80
07/09/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	24.79	0.25	0.00	0.25	0.0	0.25
07/09/2018	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	29.96	0.30	0.00	0.30	0.0	0.30
07/01/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	0.00	35.47	0.00	35.47	0.0	35.47
07/09/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.38	0.22	0.00	0.22	0.0	0.22
07/09/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.38	0.22	0.00	0.22	0.0	0.22
07/09/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	30.42	0.30	0.00	0.30	0.0	0.30
07/09/2018	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	30.42	0.30	0.00	0.30	0.0	0.30
07/01/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	0.00	27.65	0.00	27.65	0.0	27.65
07/09/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	22.38	0.22	0.00	0.22	0.0	0.22
07/09/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	22.38	0.22	0.00	0.22	0.0	0.22
07/09/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	30.42	0.30	0.00	0.30	0.0	0.30
07/09/2018	HALLER, CRISSY	INVESCO CHARTER FUND C	30.42	0.30	0.00	0.30	0.0	0.30
07/01/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	0.00	45.25	0.00	45.25	0.0	45.25
07/09/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	37.32	0.37	0.00	0.37	0.0	0.37
07/09/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	18.66	0.19	0.00	0.19	0.0	0.19
07/09/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	58.81	0.59	0.00	0.59	0.0	0.59
07/09/2018	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	29.41	0.29	0.00	0.29	0.0	0.29
07/01/2018	EVERY, CHARLES	INVESCO EQUITY AND INCOME C	0.00	161.33	0.00	161.33	0.0	161.33
07/01/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	0.00	4.20	0.00	4.20	0.0	4.20
07/09/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	12.39	0.12	0.00	0.12	0.0	0.12
07/09/2018	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	14.97	0.15	0.00	0.15	0.0	0.15
07/01/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	0.00	37.04	0.00	37.04	0.0	37.04
07/09/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	27.99	0.28	0.00	0.28	0.0	0.28
07/09/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	14.00	0.14	0.00	0.14	0.0	0.14
07/09/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	44.11	0.44	0.00	0.44	0.0	0.44
07/09/2018	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	22.05	0.22	0.00	0.22	0.0	0.22
07/01/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	0.00	57.67	0.00	57.67	0.0	57.67

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<u>VERRIDE TRANSACTIONS</u>								
BROKER: MICHELE BOYER #189								
07/09/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	37.31	0.37	0.00	0.37	0.0	0.37
07/09/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	18.64	0.19	0.00	0.19	0.0	0.19
07/09/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	58.79	0.59	0.00	0.59	0.0	0.59
07/09/2018	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	29.42	0.29	0.00	0.29	0.0	0.29
07/01/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	0.00	35.09	0.00	35.09	0.0	35.09
07/09/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	27.99	0.28	0.00	0.28	0.0	0.28
07/09/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	14.00	0.14	0.00	0.14	0.0	0.14
07/09/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	44.11	0.44	0.00	0.44	0.0	0.44
07/09/2018	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	22.05	0.22	0.00	0.22	0.0	0.22
07/01/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	0.00	10.18	0.00	10.18	0.0	10.18
07/09/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	24.79	0.25	0.00	0.25	0.0	0.25
07/09/2018	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	29.96	0.30	0.00	0.30	0.0	0.30
07/01/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	0.00	5.18	0.00	5.18	0.0	5.18
07/09/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	37.19	0.37	0.00	0.37	0.0	0.37
07/09/2018	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	44.94	0.45	0.00	0.45	0.0	0.45
07/01/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	0.00	37.96	0.00	37.96	0.0	37.96
07/09/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	27.99	0.28	0.00	0.28	0.0	0.28
07/09/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	14.00	0.14	0.00	0.14	0.0	0.14
07/09/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	44.11	0.44	0.00	0.44	0.0	0.44
07/09/2018	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	22.05	0.22	0.00	0.22	0.0	0.22
07/01/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	0.00	6.60	0.00	6.60	0.0	6.60
07/09/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	24.79	0.25	0.00	0.25	0.0	0.25
07/09/2018	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	29.96	0.30	0.00	0.30	0.0	0.30
07/01/2018	BARTELS, CHRIS	INVESCO MONEY MARKET C	0.00	6.37	0.00	6.37	0.0	6.37
07/01/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	0.00	39.32	0.00	39.32	0.0	39.32
07/09/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	27.99	0.28	0.00	0.28	0.0	0.28
07/09/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	14.00	0.14	0.00	0.14	0.0	0.14
07/09/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	44.11	0.44	0.00	0.44	0.0	0.44
07/09/2018	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	22.05	0.22	0.00	0.22	0.0	0.22
07/05/2018	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.99	0.00	3.99	0.0	3.99
07/05/2018	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.40	0.00	8.40	0.0	8.40
* MICHELE BOYER SUBTOTAL *			\$12,714.30	\$570.21	\$0.00	\$570.21		\$570.21

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ROBERT LORENTE #194 </u>								
06/30/2018	PATTON REV TRUST, JAMES	GRIFFIN-AMERICAN HC REIT IV T	50,222.53	125.21	0.00	125.21	0.0	125.21
		* ROBERT LORENTE SUBTOTAL *	\$50,222.53	\$125.21	\$0.00	\$125.21		\$125.21
<u> BROKER: MICHAEL PALMISANO #196 </u>								
07/01/2018	STEGER, GENE	INVESCO AMER VAL 00143M828	0.00	9.27	0.00	9.27	0.0	9.27
07/01/2018	STEGER, GENE	INVESCO DIVERSIFIED DIVIDEND FD-CL	0.00	9.51	0.00	9.51	0.0	9.51
07/01/2018	STEGER, GENE	INVESCO EQUITY AND INCOME C	0.00	9.81	0.00	9.81	0.0	9.81
07/01/2018	STEGER, GENE	INVESCO REAL ESTATE FUND CL C	0.00	2.02	0.00	2.02	0.0	2.02
07/01/2018	STEGER, GENE	INVESCO TECHNOLOGY C	0.00	8.96	0.00	8.96	0.0	8.96
07/01/2018	STEGER, GENE	INVESCO VALUE OPPORTUNITIES FUND CL	0.00	9.79	0.00	9.79	0.0	9.79
		* MICHAEL PALMISANO SUBTOTAL *	\$0.00	\$49.36	\$0.00	\$49.36		\$49.36
<u> BROKER: MEREDITH MCCUTCHEN #221 </u>								
07/05/2018	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	8.88	0.00	8.88	0.0	8.88
07/05/2018	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.77	0.00	7.77	0.0	7.77
		* MEREDITH MCCUTCHEN SUBTOTAL *	\$0.00	\$16.65	\$0.00	\$16.65		\$16.65
<u> BROKER: MARIA BONGIOVANNI #237 </u>								
07/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	0.00	106.29	0.00	106.29	0.0	106.29
07/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	0.00	89.48	0.00	89.48	0.0	89.48
07/02/2018	NORCROSS, NANCY	BRIGHTHOUSE	0.00	101.87	0.00	101.87	0.0	101.87
		* MARIA BONGIOVANNI SUBTOTAL *	\$0.00	\$297.64	\$0.00	\$297.64		\$297.64
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
/ /	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.06	0.00	0.06	0.0	0.06
06/30/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	3.08	0.00	3.08	0.0	3.08
06/30/2018	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
06/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	32.08	0.00	32.08	0.0	32.08
06/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.69	0.00	10.69	0.0	10.69
06/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.96	0.00	2.96	0.0	2.96
06/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.91	0.00	8.91	0.0	8.91
06/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	26.74	0.00	26.74	0.0	26.74
06/30/2018	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.89	0.00	8.89	0.0	8.89
07/01/2018	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	4.76	0.00	4.76	0.0	4.76
07/01/2018	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	2.59	0.00	2.59	0.0	2.59

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u>								
07/07/2018	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
07/15/2018	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.60	0.00	0.60	0.0	0.60
07/17/2018	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$282.00	\$103.92	\$0.00	\$103.92		\$103.92
<u> BROKER: JOSEPH, M138 MICHALETZ #286 </u>								
/ /	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	0.88	0.00	0.88	0.0	0.88
06/30/2018	SMITH-GROVER, SUSAN	BLACKROCK 67776G477	0.00	2.65	0.00	2.65	0.0	2.65
07/02/2018	HANSGEN, DIANE	BRIGHTHOUSE	0.00	904.68	0.00	904.68	0.0	904.68
07/02/2018	WERKMEISTER, DAVID	BRIGHTHOUSE	0.00	1,826.33	0.00	1,826.33	0.0	1,826.33
07/02/2018	OLINGER, THOMAS	BRIGHTHOUSE	0.00	159.17	0.00	159.17	0.0	159.17
07/02/2018	HINTON, TIMOTHY	BRIGHTHOUSE	0.00	58.56	0.00	58.56	0.0	58.56
07/02/2018	OTTO, CHRISTOPHER	BRIGHTHOUSE	0.00	243.17	0.00	243.17	0.0	243.17
07/02/2018	SWANSON, DARLA	BRIGHTHOUSE	0.00	610.04	0.00	610.04	0.0	610.04
07/02/2018	AYERS, KATHRYN	BRIGHTHOUSE	0.00	1,024.17	0.00	1,024.17	0.0	1,024.17
07/02/2018	MILLER, KAREN	BRIGHTHOUSE	0.00	914.00	0.00	914.00	0.0	914.00
07/02/2018	ROSSING, PATRICIA	BRIGHTHOUSE	0.00	1,045.96	0.00	1,045.96	0.0	1,045.96
07/02/2018	KELLOGG, J EDWARD	BRIGHTHOUSE	0.00	1,369.49	0.00	1,369.49	0.0	1,369.49
07/02/2018	HEIDTMAN, JOYCE	BRIGHTHOUSE	0.00	163.57	0.00	163.57	0.0	163.57
07/02/2018	HEIDTMAN, JOYCE	BRIGHTHOUSE	0.00	233.41	0.00	233.41	0.0	233.41
07/02/2018	OTTO, JOLENE	BRIGHTHOUSE	0.00	370.83	0.00	370.83	0.0	370.83
07/02/2018	JONES, LINDA	BRIGHTHOUSE	0.00	107.16	0.00	107.16	0.0	107.16
07/02/2018	MILLER, KAREN	BRIGHTHOUSE	0.00	177.93	0.00	177.93	0.0	177.93
07/02/2018	JONES, THOMAS	BRIGHTHOUSE	0.00	434.64	0.00	434.64	0.0	434.64
07/02/2018	ROY, JAMES	BRIGHTHOUSE	0.00	561.16	0.00	561.16	0.0	561.16
07/02/2018	BERGLING, PAUL	BRIGHTHOUSE	0.00	2,151.78	0.00	2,151.78	0.0	2,151.78
07/02/2018	BERGLING, SHARON	BRIGHTHOUSE	0.00	798.61	0.00	798.61	0.0	798.61
07/02/2018	HUSBYN, ROGER	BRIGHTHOUSE	0.00	422.73	0.00	422.73	0.0	422.73
07/02/2018	TOMM, JODI	BRIGHTHOUSE	0.00	357.04	0.00	357.04	0.0	357.04
06/30/2018	SMITH-GROVER, SUSAN	GLOBAL ALLOCATION FUND	0.00	0.27	0.00	0.27	0.0	0.27
/ /	WHITNEY, STANLEY	HARTFORD GROWTH OPP A	0.00	41.29	0.00	41.29	0.0	41.29
06/30/2018	THOMPSON, JO ANN	JNL PERSPECTIVE L	-197,826.00	-164.85	0.00	-164.85	0.0	-164.85
07/02/2018	MICHALETZ, VARIOUS-JOE	JOHN HANCOCK LIFE TRAILS	150.00	3.00	0.00	3.00	0.0	3.00
07/02/2018	BOUDREAU, DIANE	MET LIFE 10922M105	0.00	924.26	0.00	924.26	0.0	924.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
BROKER: JOSEPH, M138 MICHALETZ #286								
07/01/2018	IRLBECK, CALEB	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	0.82	0.00	0.82	0.0	0.82
07/01/2018	IRLBECK, AVA	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	1.43	0.00	1.43	0.0	1.43
07/01/2018	IRLBECK, KERSTEN	MFS AGGRESS GROWTH ALLOCATION 529C	0.00	1.49	0.00	1.49	0.0	1.49
07/01/2018	IRLBECK, CALEB	MFS GROWTH ALLOCATION 529A	0.00	0.40	0.00	0.40	0.0	0.40
07/01/2018	IRLBECK, AVA	MFS GROWTH ALLOCATION 529A	0.00	0.84	0.00	0.84	0.0	0.84
07/01/2018	BOESCH, DEBRA	MFS RESEARCH A	0.00	79.99	0.00	79.99	0.0	79.99
07/01/2018	BURRUS, ALYSSA	MFS RESEARCH A	0.00	1.04	0.00	1.04	0.0	1.04
07/01/2018	BOESCH, DEBRA	MFS RESEARCH B	0.00	21.53	0.00	21.53	0.0	21.53
07/01/2018	BOESCH, DEBRA	MFS RESEARCH FUND-C	0.00	65.54	0.00	65.54	0.0	65.54
07/16/2018	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	0.0	1.00
07/16/2018	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.50
07/20/2018	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	0.0	14.00
07/16/2018	FOELS, TAMMY	TRANSAMERICA LIFE	40.09	1.00	0.00	1.00	0.0	1.00
* JOSEPH, M138 MICHALETZ SUBTOTAL *			\$-197,205.91	\$14,931.51	\$0.00	\$14,931.51		\$14,931.51

BROKER: JACK, M137 MICHALETZ #287

07/09/2018	ROSE, DUANE	AB MUNICIPAL INCOME MN A	0.00	36.93	0.00	36.93	0.0	36.93
07/16/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.29	0.00	1.29	0.0	1.29
07/16/2018	BLOCK, EUGENE	JH INCOME B	0.00	1.05	0.00	1.05	0.0	1.05
07/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.78	0.00	1.78	0.0	1.78
07/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.06	0.00	1.06	0.0	1.06
07/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.90	0.00	1.90	0.0	1.90
07/16/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.87	0.00	5.87	0.0	5.87
07/16/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.37	0.00	1.37	0.0	1.37
07/16/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.17	0.00	3.17	0.0	3.17
07/16/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.96	0.00	4.96	0.0	4.96
07/16/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.71	0.00	0.71	0.0	0.71
07/16/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.51	0.00	1.51	0.0	1.51
07/16/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
07/16/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	2.00	0.00	2.00	0.0	2.00
07/16/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.49	0.00	0.49	0.0	0.49
07/16/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.26	0.00	1.26	0.0	1.26
07/16/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	8.63	0.00	8.63	0.0	8.63
07/16/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.58	0.00	0.58	0.0	0.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JACK, M137 MICHALETZ #287 </u>								
07/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	9.31	0.00	9.31	0.0	9.31
07/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	7.72	0.00	7.72	0.0	7.72
07/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	7.47	0.00	7.47	0.0	7.47
07/02/2018	WOLFE JR, THEODORE	MET LIFE 10922M360	0.00	2,199.09	0.00	2,199.09	0.0	2,199.09
07/03/2018	LORENTZ, ANDREW	METLIFE LIFE INS	200.00	1.00	0.00	1.00	0.0	1.00
07/01/2018	URBAN, CURTIS	MFS RESEARCH A	0.00	25.48	0.00	25.48	0.0	25.48
07/01/2018	URBAN, ANDREW	MFS RESEARCH A	0.00	2.40	0.00	2.40	0.0	2.40
07/01/2018	URBAN, CURTIS	MFS RESEARCH B	0.00	14.24	0.00	14.24	0.0	14.24
07/16/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-9.50
07/16/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.01	1.00	12.00	-11.00	0.0	-11.00
07/19/2018	GILBERTO, SARAH	TRANSAMERICA AA GROWTH A	-1,400.00	60.00	22.00	38.00	0.0	38.00
07/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.50
07/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	0.0	2.50
07/13/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	64.65	0.65	0.00	0.65	0.0	0.65
07/13/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	64.65	0.65	0.00	0.65	0.0	0.65
07/16/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.50
07/16/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.50
07/19/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	-5,000.00	250.00	22.00	228.00	0.0	228.00
07/19/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	5,000.00	-250.00	-4.00	-246.00	0.0	-246.00
07/19/2018	BRAAM, JOHN	TRANSAMERICA AA MOD GROWTH C	-5,000.00	200.00	22.00	178.00	0.0	178.00
 * JACK, M137 MICHALETZ SUBTOTAL * 			\$-5,120.69	\$2,614.30	\$86.00	\$2,528.30		\$2,528.30
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
06/30/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	3,093.62	1.93	0.00	1.93	0.0	0.19
06/30/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS	43,653.88	27.21	0.00	27.21	0.0	2.72
06/30/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	3,096.16	1.93	0.00	1.93	0.0	0.19
06/30/2018	ROARKE, MARY ELIZABETH	PACIFIC LIFE FUNDS	138,929.14	86.59	0.00	86.59	0.0	8.66
06/30/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	3,094.30	1.93	0.00	1.93	0.0	0.19
06/30/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	3,094.30	1.93	0.00	1.93	0.0	0.19
06/30/2018	COSTELLO, KEVIN	PACIFIC LIFE FUNDS	15,911.58	9.92	0.00	9.92	0.0	0.99
06/30/2018	CIOS, ANNA	PACIFIC LIFE FUNDS	92.65	0.06	0.00	0.06	0.0	0.01
06/30/2018	TERMINE, MASON	PACIFIC LIFE FUNDS	92.65	0.06	0.00	0.06	0.0	0.01
06/30/2018	CIOS, ROCCO	PACIFIC LIFE FUNDS	92.65	0.06	0.00	0.06	0.0	0.01
06/30/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	1,887.97	1.18	0.00	1.18	0.0	0.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: L149-ROBERT LUCHSINGER #388 </u>								
06/30/2018	TERMINE, MILO	PACIFIC LIFE FUNDS	92.65	0.06	0.00	0.06	0.0	0.01
06/30/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	8,771.15	5.47	0.00	5.47	0.0	0.55
06/30/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	30,430.30	18.97	0.00	18.97	0.0	1.90
06/30/2018	KNAACK, PATRICIA	PACIFIC LIFE FUNDS	1,863.16	1.16	0.00	1.16	0.0	0.12
06/30/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	11,535.66	7.19	0.00	7.19	0.0	0.72
06/30/2018	LOITSCH, DIANE	PACIFIC LIFE FUNDS	16,597.84	10.35	0.00	10.35	0.0	1.04
06/30/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	27,924.47	17.40	0.00	17.40	0.0	1.74
06/30/2018	ROARKE, MARY ELIZABETH	PACIFIC LIFE FUNDS	130,878.68	81.58	0.00	81.58	0.0	8.16
06/30/2018	MALIA, EDWARD	PACIFIC LIFE FUNDS	15,522.89	9.68	0.00	9.68	0.0	0.97
06/30/2018	CAPASSO, DEBRA	PACIFIC LIFE FUNDS	30,424.61	18.96	0.00	18.96	0.0	1.90
06/30/2018	CASKIN, KENNETH	PACIFIC LIFE FUNDS	7,234.69	4.51	0.00	4.51	0.0	0.45
06/30/2018	CAPASSO, MICHAEL	PACIFIC LIFE FUNDS	27,900.98	17.39	0.00	17.39	0.0	1.74
06/30/2018	COSTELLO, KEVIN	PACIFIC LIFE FUNDS	146.27	0.09	0.00	0.09	0.0	0.01
06/30/2018	CIO, ANNA	PACIFIC LIFE FUNDS	2,166.17	1.35	0.00	1.35	0.0	0.14
06/30/2018	TERMINE, MASON	PACIFIC LIFE FUNDS	2,166.17	1.35	0.00	1.35	0.0	0.14
06/30/2018	CIO, ROCCO	PACIFIC LIFE FUNDS	2,616.05	1.63	0.00	1.63	0.0	0.16
06/30/2018	CAPASSO, CRISTINA	PACIFIC LIFE FUNDS	17,213.35	10.73	0.00	10.73	0.0	1.07
06/30/2018	CAPASSO, LAURA	PACIFIC LIFE FUNDS	12,491.73	7.79	0.00	7.79	0.0	0.78
06/30/2018	CASKIN, MEGAN	PACIFIC LIFE FUNDS	2,426.79	1.51	0.00	1.51	0.0	0.15
06/30/2018	TERMINE, MILO	PACIFIC LIFE FUNDS	1,808.88	1.13	0.00	1.13	0.0	0.11
06/30/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	985.67	2.46	0.00	2.46	0.0	0.25
06/30/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	1,119.17	2.79	0.00	2.79	0.0	0.28
06/30/2018	TERMINE, ALLISON	PACIFIC LIFE FUNDS	3,131.91	7.81	0.00	7.81	0.0	0.78
06/30/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	2,165.20	5.40	0.00	5.40	0.0	0.54
06/30/2018	TERMINE, ALLISON	PACIFIC LIFE FUNDS	2,483.86	6.19	0.00	6.19	0.0	0.62
06/30/2018	CHIAPPERINO, LORRAINE	PACIFIC LIFE FUNDS	4,603.30	10.66	0.00	10.66	0.0	1.07
06/30/2018	SEAMAN, SUSAN	PACIFIC LIFE FUNDS	1,104.86	2.75	0.00	2.75	0.0	0.28
07/05/2018	TOOLEY, PATRICIA	FRANKLIN MA TAX FR INCOME A	20,000.00	560.00	0.00	560.00	0.0	56.00
07/12/2018	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	14,817.18	37.04	0.00	37.04	0.0	3.70
07/14/2018	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	196,446.77	491.12	0.00	491.12	0.0	49.11
07/03/2018	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.59	0.00	1.59	0.0	0.16
07/03/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	14.28	0.00	14.28	0.0	1.43
07/03/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	1.88	0.00	1.88	0.0	0.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
07/03/2018	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.45	0.00	1.45	0.0	0.15
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$810,109.31	\$1,496.52	\$0.00	\$1,496.52		\$149.70
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,624,342.49	\$28,569.27	\$132.60	\$28,436.67		\$27,089.85

BROKER COMMISSION TOTALS

Broker Transactions	\$4,541.47
Split Transactions	\$0.00
Override Transactions	\$27,089.85
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$31,631.32
Year-to-date Gross	\$90,131.56
Year-to-date Earnings	\$344,628.96

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