



Concorde Investment Services, LLC

08/14/2018

Page No. 1

**COMMISSION STATEMENT****August 15, 2018**

**JAMES, M127 MAHER**  
30101 Northwestern Hwy.  
#120  
Farmington Hills, MI 48334

**BROKER #: M127**  
**BRANCH: JAMES MAHER**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/18/2018	MAHER, HENRY	AM CENT CORPORATE BOND	0.00	26.87	0.00	26.87	90.0	24.18
07/18/2018	MAHER, HENRY	AM CENT EQUITY INCOME	0.00	34.50	0.00	34.50	90.0	31.05
07/18/2018	NUNEZ TRUST, LYNDA	AM CENT MUTUAL GROWTH	0.00	18.89	0.00	18.89	90.0	17.00
07/18/2018	NUNEZ TRUST, LYNDA	AM CENT MUTUAL GROWTH	0.00	56.66	0.00	56.66	90.0	50.99
07/18/2018	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	1.70	0.00	1.70	90.0	1.53
07/18/2018	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	5.10	0.00	5.10	90.0	4.59
07/18/2018	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	22.10	0.00	22.10	90.0	19.89
07/18/2018	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	7.37	0.00	7.37	90.0	6.63
07/18/2018	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	53.03	0.00	53.03	90.0	47.73
07/18/2018	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	17.68	0.00	17.68	90.0	15.91
07/18/2018	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	18.96	0.00	18.96	90.0	17.06
07/18/2018	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	56.89	0.00	56.89	90.0	51.20
07/18/2018	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	2.69	0.00	2.69	90.0	2.42
07/18/2018	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	8.07	0.00	8.07	90.0	7.26
07/18/2018	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	13.42	0.00	13.42	90.0	12.08
07/18/2018	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	40.26	0.00	40.26	90.0	36.23
07/18/2018	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	4.24	0.00	4.24	90.0	3.82
07/18/2018	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	1.41	0.00	1.41	90.0	1.27
07/18/2018	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	10.80	0.00	10.80	90.0	9.72
07/18/2018	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	32.40	0.00	32.40	90.0	29.16
07/18/2018	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	14.29	0.00	14.29	90.0	12.86
07/18/2018	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	42.86	0.00	42.86	90.0	38.57
07/18/2018	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	39.01	0.00	39.01	90.0	35.11
07/18/2018	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	13.00	0.00	13.00	90.0	11.70
07/11/2018	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	2.14	0.00	2.14	90.0	1.93
07/11/2018	PALMER, TODD	AM FUNDS AMCAP C	0.00	26.27	0.00	26.27	90.0	23.64

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.74	0.00	1.74	90.0	1.57
07/11/2018	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	10.45	0.00	10.45	90.0	9.41
07/11/2018	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.81	0.00	9.81	90.0	8.83
07/11/2018	NUNEZ TRUST, LYNDA	AM FUNDS AMERICAN BALANCED C	0.00	44.42	0.00	44.42	90.0	39.98
07/11/2018	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.39	0.00	0.39	90.0	0.35
07/11/2018	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.29	0.00	4.29	90.0	3.86
07/11/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.15	0.00	2.15	90.0	1.94
07/11/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.79	0.00	2.79	90.0	2.51
07/11/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.92	0.00	1.92	90.0	1.73
07/11/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.99	0.00	1.99	90.0	1.79
07/11/2018	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.68	0.00	9.68	90.0	8.71
07/11/2018	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.87	0.00	11.87	90.0	10.68
07/11/2018	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.30	0.00	6.30	90.0	5.67
07/11/2018	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.70	0.00	8.70	90.0	7.83
07/11/2018	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.07	0.00	7.07	90.0	6.36
07/11/2018	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.16	0.00	4.16	90.0	3.74
07/11/2018	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.21	0.00	12.21	90.0	10.99
07/11/2018	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.31	0.00	9.31	90.0	8.38
07/11/2018	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.36	0.00	9.36	90.0	8.42
07/11/2018	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.14	0.00	22.14	90.0	19.93
07/11/2018	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	136.93	0.00	136.93	90.0	123.24
07/11/2018	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.65	0.00	5.65	90.0	5.09
07/11/2018	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.09	0.00	6.09	90.0	5.48
07/11/2018	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	36.10	0.00	36.10	90.0	32.49
07/11/2018	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.18	0.00	12.18	90.0	10.96
07/11/2018	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.97	0.00	10.97	90.0	9.87
07/11/2018	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.83	0.00	1.83	90.0	1.65
07/11/2018	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.12	0.00	24.12	90.0	21.71
07/11/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.60	0.00	6.60	90.0	5.94
07/11/2018	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.58	0.00	1.58	90.0	1.42
07/11/2018	FLOWERS, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.80	0.00	7.80	90.0	7.02
07/11/2018	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.40	0.00	2.40	90.0	2.16
07/11/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.47	0.00	3.47	90.0	3.12

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/11/2018	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.39	0.00	3.39	90.0	3.05
07/11/2018	FLEURY, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.14	0.00	21.14	90.0	19.03
07/11/2018	ZETTEL, ANTHONY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.08	0.00	21.08	90.0	18.97
07/11/2018	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.46	0.00	1.46	90.0	1.31
07/11/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.85	0.00	8.85	90.0	7.97
07/11/2018	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.56	0.00	0.56	90.0	0.50
07/11/2018	KORESKEY, JOHN	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.26	0.00	1.26	90.0	1.13
07/11/2018	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.29	0.00	2.29	90.0	2.06
07/11/2018	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.62	0.00	2.62	90.0	2.36
07/11/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.93	0.00	2.93	90.0	2.64
07/11/2018	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.87	0.00	1.87	90.0	1.68
07/11/2018	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.40	0.00	1.40	90.0	1.26
07/11/2018	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.27	0.00	1.27	90.0	1.14
07/11/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.16	0.00	1.16	90.0	1.04
07/11/2018	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.68
07/11/2018	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.18	0.00	0.18	90.0	0.16
07/11/2018	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.92	0.00	1.92	90.0	1.73
07/11/2018	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.25	0.00	2.25	90.0	2.03
07/11/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	23.01	0.00	23.01	90.0	20.71
07/11/2018	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.03	0.00	8.03	90.0	7.23
07/11/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.36	0.00	4.36	90.0	3.92
07/11/2018	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.69	0.00	5.69	90.0	5.12
07/11/2018	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	22.61	0.00	22.61	90.0	20.35
07/11/2018	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.67	0.00	8.67	90.0	7.80
07/11/2018	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	60.64	0.00	60.64	90.0	54.58
07/11/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.26	0.00	1.26	90.0	1.13
07/11/2018	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.84	0.00	5.84	90.0	5.26
07/11/2018	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.47	0.00	2.47	90.0	2.22
07/11/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.13	0.00	5.13	90.0	4.62
07/11/2018	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.66	0.00	0.66	90.0	0.59
07/11/2018	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.45	0.00	1.45	90.0	1.31
07/19/2018	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	0.00	0.00	0.00	90.0	0.00
07/11/2018	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.11	0.00	22.11	90.0	19.90

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/11/2018	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.70	0.00	8.70	90.0	7.83
07/11/2018	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.35	0.00	4.35	90.0	3.92
07/11/2018	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.91	0.00	11.91	90.0	10.72
07/11/2018	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	29.21	0.00	29.21	90.0	26.29
07/11/2018	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.48	0.00	10.48	90.0	9.43
07/11/2018	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.01	0.00	10.01	90.0	9.01
07/11/2018	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.38	0.00	2.38	90.0	2.14
07/11/2018	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.99	0.00	9.99	90.0	8.99
07/11/2018	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.90	0.00	2.90	90.0	2.61
07/11/2018	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	35.32	0.00	35.32	90.0	31.79
07/11/2018	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.35	0.00	1.35	90.0	1.22
07/11/2018	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.23	0.00	1.23	90.0	1.11
07/11/2018	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.92	0.00	5.92	90.0	5.33
07/11/2018	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.71	0.00	11.71	90.0	10.54
07/11/2018	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.36	0.00	23.36	90.0	21.02
07/11/2018	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	53.81	0.00	53.81	90.0	48.43
07/11/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.34	0.00	4.34	90.0	3.91
07/11/2018	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.09	0.00	1.09	90.0	0.98
07/11/2018	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.11	0.00	1.11	90.0	1.00
07/11/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.52	0.00	4.52	90.0	4.07
07/11/2018	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.68	0.00	3.68	90.0	3.31
07/11/2018	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.44	0.00	7.44	90.0	6.70
07/11/2018	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	5.21	0.00	5.21	90.0	4.69
07/11/2018	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.44	0.00	0.44	90.0	0.40
07/11/2018	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.11	0.00	1.11	90.0	1.00
07/11/2018	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.19	0.00	2.19	90.0	1.97
07/11/2018	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.80	0.00	1.80	90.0	1.62
07/11/2018	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.15	0.00	2.15	90.0	1.94
07/11/2018	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.68	0.00	1.68	90.0	1.51
07/11/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.01	0.00	2.01	90.0	1.81
07/11/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.88	0.00	1.88	90.0	1.69
07/11/2018	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	22.92	0.00	22.92	90.0	20.63
07/11/2018	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	211.17	0.00	211.17	90.0	190.05

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.20	0.00	5.20	90.0	4.68
07/11/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.09	0.00	1.09	90.0	0.98
07/11/2018	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.82	0.00	4.82	90.0	4.34
07/11/2018	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.28	0.00	2.28	90.0	2.05
07/11/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.34	0.00	12.34	90.0	11.11
07/11/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.96	0.00	14.96	90.0	13.46
07/11/2018	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.14	0.00	7.14	90.0	6.43
07/11/2018	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.92	0.00	5.92	90.0	5.33
07/11/2018	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	35.21	0.00	35.21	90.0	31.69
07/11/2018	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	88.33	0.00	88.33	90.0	79.50
07/11/2018	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.65	0.00	20.65	90.0	18.59
07/11/2018	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.69	0.00	33.69	90.0	30.32
07/11/2018	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.76	0.00	16.76	90.0	15.08
07/11/2018	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.94	0.00	30.94	90.0	27.85
07/11/2018	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	40.96	0.00	40.96	90.0	36.86
07/11/2018	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.13	0.00	19.13	90.0	17.22
07/11/2018	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	28.81	0.00	28.81	90.0	25.93
07/11/2018	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	19.38	0.00	19.38	90.0	17.44
07/11/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.82	0.00	4.82	90.0	4.34
07/11/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	54.57	0.00	54.57	90.0	49.11
07/11/2018	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.30	0.00	15.30	90.0	13.77
07/11/2018	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.86	0.00	10.86	90.0	9.77
07/11/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.83	0.00	12.83	90.0	11.55
07/11/2018	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.69	0.00	15.69	90.0	14.12
07/11/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.08	0.00	5.08	90.0	4.57
07/11/2018	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.39	0.00	15.39	90.0	13.85
07/11/2018	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.13	0.00	12.13	90.0	10.92
07/11/2018	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	20.95	0.00	20.95	90.0	18.86
07/11/2018	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	120.67	0.00	120.67	90.0	108.60
07/11/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.53	0.00	5.53	90.0	4.98
07/11/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.82	0.00	10.82	90.0	9.74
07/11/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.90	0.00	12.90	90.0	11.61
07/11/2018	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.67	0.00	4.67	90.0	4.20

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.63	0.00	7.63	90.0	6.87
07/11/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.01	0.00	18.01	90.0	16.21
07/11/2018	ZETTEL, ANTHONY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.41	0.00	25.41	90.0	22.87
07/11/2018	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.39	0.00	1.39	90.0	1.25
07/11/2018	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.49	0.00	1.49	90.0	1.34
07/11/2018	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	28.95	0.00	28.95	90.0	26.06
07/11/2018	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.43	0.00	3.43	90.0	3.09
07/11/2018	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.68	0.00	3.68	90.0	3.31
07/11/2018	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.81	0.00	5.81	90.0	5.23
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.79	0.00	2.79	90.0	2.51
07/11/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.17	0.00	2.17	90.0	1.95
07/11/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.06	0.00	5.06	90.0	4.55
07/11/2018	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.09	0.00	1.09	90.0	0.98
07/11/2018	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.20	0.00	0.20	90.0	0.18
07/11/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.11	0.00	2.11	90.0	1.90
07/11/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.68	0.00	2.68	90.0	2.41
07/11/2018	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.68	0.00	1.68	90.0	1.51
07/11/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.63	0.00	5.63	90.0	5.07
07/11/2018	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.71	0.00	3.71	90.0	3.34
07/11/2018	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.64	0.00	3.64	90.0	3.28
07/11/2018	CARROLL, EILEEN	AM FUNDS ICA A	0.00	11.95	0.00	11.95	90.0	10.76
07/11/2018	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.90	0.00	0.90	90.0	0.81
07/11/2018	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.47	0.00	1.47	90.0	1.32
07/11/2018	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.09	0.00	7.09	90.0	6.38
07/11/2018	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.19	0.00	9.19	90.0	8.27
07/11/2018	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	1.35
07/11/2018	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.65	0.00	16.65	90.0	14.99
07/11/2018	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.74	0.00	19.74	90.0	17.77
07/11/2018	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.47	0.00	39.47	90.0	35.52
07/11/2018	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.34	0.00	14.34	90.0	12.91
07/11/2018	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	118.37	0.00	118.37	90.0	106.53
07/11/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.91	0.00	39.91	90.0	35.92
07/11/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	22.27	0.00	22.27	90.0	20.04

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.47	0.00	10.47	90.0	9.42
07/11/2018	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	90.37	0.00	90.37	90.0	81.33
07/11/2018	WOLLACK TRUST, ROBERT	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.01	0.00	21.01	90.0	18.91
07/11/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.65	0.00	1.65	90.0	1.49
07/11/2018	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	7.07	0.00	7.07	90.0	6.36
07/11/2018	KNOPE, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.09	0.00	0.09	90.0	0.08
07/11/2018	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	2.06	0.00	2.06	90.0	1.85
07/11/2018	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.59	0.00	3.59	90.0	3.23
07/11/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.73	0.00	0.73	90.0	0.66
07/11/2018	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.99	0.00	0.99	90.0	0.89
07/11/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.24	0.00	0.24	90.0	0.22
07/11/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.22	0.00	1.22	90.0	1.10
07/11/2018	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	35.94	0.00	35.94	90.0	32.35
07/11/2018	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	6.53	0.00	6.53	90.0	5.88
07/11/2018	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.41	0.00	5.41	90.0	4.87
07/11/2018	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	18.69	0.00	18.69	90.0	16.82
07/11/2018	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.74	0.00	1.74	90.0	1.57
07/11/2018	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.68	0.00	1.68	90.0	1.51
07/11/2018	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.85	0.00	3.85	90.0	3.47
07/11/2018	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	39.85	0.00	39.85	90.0	35.87
07/11/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.71	0.00	2.71	90.0	2.44
07/11/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.23	0.00	1.23	90.0	1.11
07/24/2018	OLIVER, GINA	AMERICAN BEACON BALANCED FUND C	0.00	19.46	0.00	19.46	90.0	17.51
07/24/2018	OLIVER, WILLIAM	AMERICAN BEACON BALANCED FUND C	0.00	13.70	0.00	13.70	90.0	12.33
07/24/2018	MCCRACKEN, ROBERT	AMERICAN BEACON BALANCED FUND C	0.00	4.93	0.00	4.93	90.0	4.44
07/24/2018	HICKSON, MARK	AMERICAN BEACON BALANCED FUND C	0.00	4.11	0.00	4.11	90.0	3.70
07/24/2018	MARIN REV TRUST, NICHOLAS	AMERICAN BEACON BALANCED FUND C	0.00	40.93	0.00	40.93	90.0	36.84
07/24/2018	MARKHAM, JASON	AMERICAN BEACON BALANCED FUND C	0.00	58.17	0.00	58.17	90.0	52.35
07/24/2018	MAHER TRUST, MARY	AMERICAN BEACON SOUND PT FLOAT'G RA	0.00	65.45	0.00	65.45	90.0	58.91
07/11/2018	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.66	0.00	2.66	90.0	2.39
07/11/2018	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.84	0.00	0.84	90.0	0.76
07/11/2018	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.94	0.00	0.94	90.0	0.85
07/11/2018	MAHER, HENRY	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	0.01	0.00	0.01	90.0	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	MAHER, KYLE	BLK BASIC VALUE	0.00	1.75	0.00	1.75	90.0	1.58
07/11/2018	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.98	0.00	0.98	90.0	0.88
07/11/2018	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	12.06	0.00	12.06	90.0	10.85
07/11/2018	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	42.02	0.00	42.02	90.0	37.82
07/11/2018	HICKSON, ANDREW	COLUMBIA BALANCED FUND CLASS C	0.00	15.53	0.00	15.53	90.0	13.98
07/11/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	8.49	0.00	8.49	90.0	7.64
07/11/2018	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	11.30	0.00	11.30	90.0	10.17
07/11/2018	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	45.86	0.00	45.86	90.0	41.27
07/11/2018	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	5.42	0.00	5.42	90.0	4.88
07/11/2018	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	8.15	0.00	8.15	90.0	7.34
07/11/2018	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	8.51	0.00	8.51	90.0	7.66
07/11/2018	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.59	0.00	9.59	90.0	8.63
07/11/2018	NUNEZ TRUST, LYNDA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	20.16	0.00	20.16	90.0	18.14
07/11/2018	NUNEZ TRUST, LYNDA	COLUMBIA SER TR II MASS	0.00	24.14	0.00	24.14	90.0	21.73
07/11/2018	MAHER, HENRY	DAVIS FINANCIAL A	0.00	8.23	0.00	8.23	90.0	7.41
07/13/2018	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.83	0.00	10.83	90.0	9.75
07/11/2018	EBERHARD, KARIN	DEUTSCHE CORE EQUITY FUND CLASS C	0.00	3.30	0.00	3.30	90.0	2.97
07/11/2018	OLIVER, WILLIAM	DEUTSCHE WORLD DIVIDEND FUND-C	0.00	0.07	0.00	0.07	90.0	0.06
07/11/2018	MAHER, HENRY	DEUTSCHE WORLD DIVIDEND FUND-C	0.00	133.61	0.00	133.61	90.0	120.25
07/18/2018	VITALE, MICHELE	DEUTSCHE WORLD DIVIDEND FUND-C	40,000.00	420.00	0.00	420.00	90.0	378.00
07/11/2018	FRASER, SHARON	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	37.62	0.00	37.62	90.0	33.86
07/11/2018	PROKOP, STANLEY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	0.18	0.00	0.18	90.0	0.16
07/11/2018	MAHER, HENRY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	79.94	0.00	79.94	90.0	71.95
07/11/2018	MARIN CUST ACCT, NICHOLAS	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	41.03	0.00	41.03	90.0	36.93
07/11/2018	LUJAN-LABADIE, JENNIFER	DWSE HIGH INCOME FUND C	0.00	53.42	0.00	53.42	90.0	48.08
07/11/2018	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	87.26	0.00	87.26	90.0	78.53
07/11/2018	JACK, STANLEY	FRANKLIN CORFOLIO	0.00	0.31	0.00	0.31	90.0	0.28
07/11/2018	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.37	0.00	1.37	90.0	1.23
07/11/2018	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.90	0.00	1.90	90.0	1.71
07/11/2018	CANDELLA, TODD	FRANKLIN GROWTH A	0.00	0.59	0.00	0.59	90.0	0.53
07/11/2018	EBERHARD, KARIN	FRANKLIN GROWTH C	0.00	0.57	0.00	0.57	90.0	0.51
07/11/2018	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.62	0.00	16.62	90.0	14.96
07/11/2018	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.66	0.00	0.66	90.0	0.59

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.83	0.00	0.83	90.0	0.75
07/11/2018	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.29	0.00	7.29	90.0	6.56
07/11/2018	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.45	0.00	6.45	90.0	5.81
07/11/2018	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.73	0.00	12.73	90.0	11.46
07/11/2018	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	6.46	0.00	6.46	90.0	5.81
07/11/2018	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.92	0.00	6.92	90.0	6.23
07/11/2018	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	6.00	0.00	6.00	90.0	5.40
07/11/2018	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.44	0.00	7.44	90.0	6.70
07/11/2018	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.30	0.00	3.30	90.0	2.97
07/11/2018	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.70	0.00	5.70	90.0	5.13
07/11/2018	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	1.73	0.00	1.73	90.0	1.56
07/11/2018	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.58	0.00	9.58	90.0	8.62
07/11/2018	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	5.52	0.00	5.52	90.0	4.97
07/11/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.86	0.00	7.86	90.0	7.07
07/11/2018	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.79	0.00	6.79	90.0	6.11
07/11/2018	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.59	0.00	4.59	90.0	4.13
07/11/2018	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.15	0.00	6.15	90.0	5.54
07/11/2018	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.61	0.00	7.61	90.0	6.85
07/11/2018	PALMER, TODD	FRANKLIN INCOME C	0.00	16.16	0.00	16.16	90.0	14.54
07/11/2018	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.24	0.00	6.24	90.0	5.62
07/11/2018	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.10	0.00	7.10	90.0	6.39
07/11/2018	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.68	0.00	10.68	90.0	9.61
07/11/2018	MAHER, HENRY	FRANKLIN INCOME C	0.00	13.40	0.00	13.40	90.0	12.06
07/11/2018	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	5.04	0.00	5.04	90.0	4.54
07/11/2018	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.91	0.00	6.91	90.0	6.22
07/11/2018	HOPPE, KYLE	FRANKLIN INCOME C	0.00	8.05	0.00	8.05	90.0	7.25
07/11/2018	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.09	0.00	6.09	90.0	5.48
07/11/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.58	0.00	10.58	90.0	9.52
07/11/2018	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.79	0.00	9.79	90.0	8.81
07/11/2018	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	73.90	0.00	73.90	90.0	66.51
07/11/2018	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.83	0.00	4.83	90.0	4.35
07/11/2018	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.97	0.00	8.97	90.0	8.07
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.40	0.00	8.40	90.0	7.56

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.04	0.00	3.04	90.0	2.74
07/11/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.96	0.00	0.96	90.0	0.86
07/11/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.31	0.00	12.31	90.0	11.08
07/11/2018	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.91	0.00	2.91	90.0	2.62
07/11/2018	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.49	0.00	4.49	90.0	4.04
07/11/2018	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.17	0.00	2.17	90.0	1.95
07/12/2018	PIASCIK, SHAWN	FRANKLIN INCOME C	10,379.63	20.00	0.00	20.00	90.0	18.00
07/11/2018	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	32.24	0.00	32.24	90.0	29.02
07/11/2018	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	29.32	0.00	29.32	90.0	26.39
07/11/2018	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.56	0.00	10.56	90.0	9.50
07/11/2018	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.86	0.00	5.86	90.0	5.27
07/11/2018	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.29	0.00	1.29	90.0	1.16
07/11/2018	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.91	0.00	5.91	90.0	5.32
07/11/2018	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	4.42	0.00	4.42	90.0	3.98
07/11/2018	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.29	0.00	0.29	90.0	0.26
07/11/2018	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.46	0.00	1.46	90.0	1.31
07/11/2018	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.53	0.00	1.53	90.0	1.38
07/11/2018	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.54	0.00	2.54	90.0	2.29
07/11/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	25.40	0.00	25.40	90.0	22.86
07/11/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	6.79	0.00	6.79	90.0	6.11
07/11/2018	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	16.05	0.00	16.05	90.0	14.45
07/11/2018	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	17.54	0.00	17.54	90.0	15.79
07/11/2018	JACK, STANLEY	FRANKLIN RISING DIVIDENDS C	0.00	0.43	0.00	0.43	90.0	0.39
07/11/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	11.75	0.00	11.75	90.0	10.58
07/11/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	1.08	0.00	1.08	90.0	0.97
07/11/2018	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	23.69	0.00	23.69	90.0	21.32
07/11/2018	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.98	0.00	6.98	90.0	6.28
07/11/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	13.48	0.00	13.48	90.0	12.13
07/11/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.73	0.00	6.73	90.0	6.06
07/11/2018	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.68	0.00	7.68	90.0	6.91
07/11/2018	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	15.34	0.00	15.34	90.0	13.81
07/11/2018	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	9.08	0.00	9.08	90.0	8.17
07/11/2018	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	25.43	0.00	25.43	90.0	22.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	22.10	0.00	22.10	90.0	19.89
07/11/2018	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	26.04	0.00	26.04	90.0	23.44
07/11/2018	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	15.47	0.00	15.47	90.0	13.92
07/11/2018	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	24.34	0.00	24.34	90.0	21.91
07/11/2018	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.84	0.00	8.84	90.0	7.96
07/11/2018	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.72	0.00	5.72	90.0	5.15
07/11/2018	OLIVER, WILLIAM	FRANKLIN RISING DIVIDENDS C	0.00	0.39	0.00	0.39	90.0	0.35
07/11/2018	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	11.85	0.00	11.85	90.0	10.67
07/11/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	7.47	0.00	7.47	90.0	6.72
07/11/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	12.45	0.00	12.45	90.0	11.21
07/11/2018	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.12	0.00	10.12	90.0	9.11
07/11/2018	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	16.35	0.00	16.35	90.0	14.72
07/11/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	48.36	0.00	48.36	90.0	43.52
07/11/2018	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	14.85	0.00	14.85	90.0	13.37
07/11/2018	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	37.77	0.00	37.77	90.0	33.99
07/11/2018	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.20	0.00	13.20	90.0	11.88
07/11/2018	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	12.09	0.00	12.09	90.0	10.88
07/11/2018	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	25.10	0.00	25.10	90.0	22.59
07/11/2018	PROKOP, STANLEY	FRANKLIN RISING DIVIDENDS C	0.00	118.67	0.00	118.67	90.0	106.80
07/11/2018	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.59	0.00	1.59	90.0	1.43
07/11/2018	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.48	0.00	2.48	90.0	2.23
07/11/2018	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	10.66	0.00	10.66	90.0	9.59
07/11/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.89	0.00	8.89	90.0	8.00
07/11/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	62.36	0.00	62.36	90.0	56.12
07/11/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	46.46	0.00	46.46	90.0	41.81
07/11/2018	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	14.95	0.00	14.95	90.0	13.46
07/11/2018	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.95	0.00	1.95	90.0	1.76
07/11/2018	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.54	0.00	4.54	90.0	4.09
07/11/2018	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.58	0.00	4.58	90.0	4.12
07/11/2018	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	30.74	0.00	30.74	90.0	27.67
07/11/2018	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	12.09	0.00	12.09	90.0	10.88
07/11/2018	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	11.57	0.00	11.57	90.0	10.41
07/11/2018	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	16.18	0.00	16.18	90.0	14.56

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	12.02	0.00	12.02	90.0	10.82
07/11/2018	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	7.10	0.00	7.10	90.0	6.39
07/11/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	3.18	0.00	3.18	90.0	2.86
07/11/2018	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	15.50	0.00	15.50	90.0	13.95
07/11/2018	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	10.83	0.00	10.83	90.0	9.75
07/11/2018	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	13.45	0.00	13.45	90.0	12.11
07/11/2018	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.85	0.00	5.85	90.0	5.27
07/11/2018	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.49	0.00	8.49	90.0	7.64
07/11/2018	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	34.72	0.00	34.72	90.0	31.25
07/11/2018	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	22.46	0.00	22.46	90.0	20.21
07/11/2018	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	11.20	0.00	11.20	90.0	10.08
07/11/2018	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.91	0.00	4.91	90.0	4.42
07/11/2018	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	98.44	0.00	98.44	90.0	88.60
07/11/2018	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	7.15	0.00	7.15	90.0	6.44
07/11/2018	WOLLACK TRUST, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	23.16	0.00	23.16	90.0	20.84
07/11/2018	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.31	0.00	11.31	90.0	10.18
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN RISING DIVIDENDS C	0.00	3.88	0.00	3.88	90.0	3.49
07/11/2018	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	16.68	0.00	16.68	90.0	15.01
07/11/2018	POUPORE, MARY	FRANKLIN RISING DIVIDENDS C	0.00	20.02	0.00	20.02	90.0	18.02
07/11/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	16.39	0.00	16.39	90.0	14.75
07/11/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	60.79	0.00	60.79	90.0	54.71
07/11/2018	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.92	0.00	2.92	90.0	2.63
07/11/2018	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.89	0.00	7.89	90.0	7.10
07/11/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	5.41	0.00	5.41	90.0	4.87
07/11/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.58	0.00	2.58	90.0	2.32
07/11/2018	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	8.36	0.00	8.36	90.0	7.52
07/11/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	7.85	0.00	7.85	90.0	7.07
07/11/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	30.45	0.00	30.45	90.0	27.41
07/11/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.70	0.00	9.70	90.0	8.73
07/11/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	14.86	0.00	14.86	90.0	13.37
07/11/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	0.10	0.00	0.10	90.0	0.09
07/11/2018	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.58	0.00	4.58	90.0	4.12
07/11/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	18.07	0.00	18.07	90.0	16.26

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	27.43	0.00	27.43	90.0	24.69
07/11/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	14.39	0.00	14.39	90.0	12.95
07/11/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	23.26	0.00	23.26	90.0	20.93
07/11/2018	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	13.26	0.00	13.26	90.0	11.93
07/11/2018	DE FIORE, LAWRENCE	FRANKLIN RISING DIVIDENDS C	0.00	0.71	0.00	0.71	90.0	0.64
07/11/2018	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	23.41	0.00	23.41	90.0	21.07
07/11/2018	EBERHARD, KARIN	FRANKLIN RISING DIVIDENDS C	0.00	0.53	0.00	0.53	90.0	0.48
07/19/2018	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	50.00	0.00	0.00	0.00	90.0	0.00
07/11/2018	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	5.49	0.00	5.49	90.0	4.94
07/11/2018	PALMER, TODD	FRANKLIN SMALL CAP VALUE C	0.00	19.21	0.00	19.21	90.0	17.29
07/11/2018	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.61	0.00	3.61	90.0	3.25
07/11/2018	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	20.87	0.00	20.87	90.0	18.78
07/11/2018	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.71	0.00	3.71	90.0	3.34
07/11/2018	DE FIORE, LAWRENCE	FT EQUITY INC CL C	0.00	0.64	0.00	0.64	90.0	0.58
07/11/2018	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.53	0.00	5.53	90.0	4.98
07/11/2018	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.89	0.00	2.89	90.0	2.60
07/11/2018	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.26	0.00	8.26	90.0	7.43
07/11/2018	CANDELLA, WENDY	INVESCO AMERICAN VALUE A	0.00	2.55	0.00	2.55	90.0	2.30
07/11/2018	CANDELLA, TODD	INVESCO AMERICAN VALUE A	0.00	1.56	0.00	1.56	90.0	1.40
07/11/2018	MAHER, KYLE	INVESCO MIDCAP GROWTH A	0.00	1.44	0.00	1.44	90.0	1.30
07/11/2018	MAHER, HENRY	IVY ASSET STRATEGY FUND CLASS A	0.00	7.15	0.00	7.15	90.0	6.44
07/11/2018	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.31	0.00	0.31	90.0	0.28
07/11/2018	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.36	0.00	0.36	90.0	0.32
07/11/2018	MAHER, MAIGHDLIN	IVY ASSET STRATEGY FUND CLASS A	0.00	0.30	0.00	0.30	90.0	0.27
07/11/2018	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.45	0.00	1.45	90.0	1.31
07/11/2018	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	28.09	0.00	28.09	90.0	25.28
07/11/2018	CANDELLA, TODD	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.73	0.00	0.73	90.0	0.66
07/11/2018	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.38	0.00	1.38	90.0	1.24
07/11/2018	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	21.68	0.00	21.68	90.0	19.51
07/11/2018	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.74	0.00	6.74	90.0	6.07
07/11/2018	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	23.63	0.00	23.63	90.0	21.27
07/11/2018	MAHER, HENRY	MAINSTAY MAP A	0.00	14.03	0.00	14.03	90.0	12.63
07/11/2018	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.58	0.00	0.58	90.0	0.52

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.44	0.00	0.44	90.0	0.40
07/11/2018	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.90	0.00	1.90	90.0	1.71
07/11/2018	PROKOP, STANLEY	PIMCO FUNDS ALL ASSET C	0.00	338.67	0.00	338.67	90.0	304.80
07/11/2018	MARIN REV TRUST, NICHOLAS	PIMCO GNMA C	0.00	46.12	0.00	46.12	90.0	41.51
07/11/2018	MAHER, HENRY	PIMCO INCOME FUND C	0.00	104.51	0.00	104.51	90.0	94.06
07/11/2018	MAHER, HENRY	PIMCO LOW DURATION C	0.00	65.14	0.00	65.14	90.0	58.63
07/11/2018	LOUGHLIN, DENNIS	PIMCO REAL RETURN C	0.00	10.11	0.00	10.11	90.0	9.10
07/11/2018	PALMERI, DANIEL	PIMCO REAL RETURN C	0.00	3.54	0.00	3.54	90.0	3.19
07/11/2018	COLASUONNO, RALPH	PIMCO REAL RETURN C	0.00	18.76	0.00	18.76	90.0	16.88
07/11/2018	QUSSAR, KELLY	PIMCO REAL RETURN C	0.00	9.46	0.00	9.46	90.0	8.51
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	PIMCO REAL RETURN C	0.00	22.20	0.00	22.20	90.0	19.98
07/11/2018	MAHER, HENRY	PIMCO TOTAL RETURN A	0.00	29.16	0.00	29.16	90.0	26.24
07/11/2018	HOPPE, KYLE	PIMCO TOTAL RETURN C	0.00	13.84	0.00	13.84	90.0	12.46
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	PIMCO TOTAL RETURN C	0.00	47.47	0.00	47.47	90.0	42.72
07/11/2018	MARIN REV TRUST, NICHOLAS	PIMCO TOTAL RETURN C	0.00	35.52	0.00	35.52	90.0	31.97
07/11/2018	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	8.22	0.00	8.22	90.0	7.40
07/11/2018	CANDELLA, TODD	PUTNAM MULTI CAP GROWTH A	0.00	1.44	0.00	1.44	90.0	1.30
07/11/2018	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	17.40	0.00	17.40	90.0	15.66
07/11/2018	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
07/11/2018	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	28.84	0.00	28.84	90.0	25.96
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	24.13	0.00	24.13	90.0	21.72
07/11/2018	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.86	0.00	1.86	90.0	1.67
07/11/2018	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.04	0.00	3.04	90.0	2.74
07/11/2018	NUNEZ TRUST, LYNDIA	TEMPLETON GLOBAL BOND C	0.00	5.95	0.00	5.95	90.0	5.36
07/11/2018	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.76	0.00	6.76	90.0	6.08
07/11/2018	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.42	0.00	5.42	90.0	4.88
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	12.65	0.00	12.65	90.0	11.39
07/11/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.41	0.00	1.41	90.0	1.27
07/11/2018	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.25	0.00	11.25	90.0	10.13
07/11/2018	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.77	0.00	5.77	90.0	5.19
07/11/2018	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	5.07	0.00	5.07	90.0	4.56
07/11/2018	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	12.07	0.00	12.07	90.0	10.86
07/11/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.37	0.00	2.37	90.0	2.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/11/2018	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.27	0.00	5.27	90.0	4.74
07/11/2018	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.95	0.00	9.95	90.0	8.96
07/11/2018	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.78	0.00	1.78	90.0	1.60
07/11/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	12.63	0.00	12.63	90.0	11.37
07/11/2018	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	13.16	0.00	13.16	90.0	11.84
07/11/2018	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	37.39	0.00	37.39	90.0	33.65
07/11/2018	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.44	0.00	16.44	90.0	14.80
07/11/2018	ZETTEL, ANTHONY	TRANSAMERICA AA MOD GROWTH C	0.00	20.39	0.00	20.39	90.0	18.35
07/11/2018	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.19	0.00	11.19	90.0	10.07
07/11/2018	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	6.54	0.00	6.54	90.0	5.89
07/11/2018	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.03	0.00	8.03	90.0	7.23
07/11/2018	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
07/11/2018	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.25	0.00	8.25	90.0	7.43
07/11/2018	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.68	0.00	7.68	90.0	6.91
07/11/2018	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.61	0.00	2.61	90.0	2.35
07/11/2018	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.62	0.00	10.62	90.0	9.56
* MUTUAL FUNDS SUBTOTAL *			\$50,479.63	\$7,647.94	\$0.00	\$7,647.94		\$6,883.33
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
07/18/2018	VITALE, MICHELE	VEREIT INC	24,086.38	50.00	22.00	28.00	90.0	25.20
* STOCKS SUBTOTAL *			\$24,086.38	\$50.00	\$22.00	\$28.00		\$25.20
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
07/18/2018	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	10.63	0.00	10.63	90.0	9.57
07/18/2018	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	31.88	0.00	31.88	90.0	28.69
* OPTIONS SUBTOTAL *			\$0.00	\$42.51	\$0.00	\$42.51		\$38.26
*** CLEARING TRANSACTIONS TOTAL ***			\$74,566.01	\$7,740.45	\$22.00	\$7,718.45		\$6,946.79

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: NON-TRADED BDC</u></b>								
07/13/2018	VITALE, PETER	SIERRA INCOME CORPORATION A	0.00	31.41	0.00	31.41	90.0	28.27
* NON-TRADED BDC SUBTOTAL *			<b>\$0.00</b>	<b>\$31.41</b>	<b>\$0.00</b>	<b>\$31.41</b>		<b>\$28.27</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
08/02/2018	QUSSAR, JAMES	AF CONSERVATIVE GROWTH AND INCOME-5	75.00	0.75	0.00	0.75	90.0	0.68
07/30/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
07/30/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	TEREBELO, MYAH	AM FUNDS CAPITAL INCOME BUILDER 529	62.50	0.63	0.00	0.63	90.0	0.57
08/03/2018	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
08/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
08/01/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	TEREBELO, MYAH	AM FUNDS GROWTH FUND OF AMERICA 529	62.50	0.63	0.00	0.63	90.0	0.57
08/02/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
08/03/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
08/01/2018	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
08/02/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
08/01/2018	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
08/01/2018	TEREBELO, MYAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	62.50	0.63	0.00	0.63	90.0	0.57
08/01/2018	O'CONNOR, AARON	AMCAP FUND-529C	18.75	0.19	0.00	0.19	90.0	0.17
08/01/2018	O'CONNOR, AARON	AMCAP FUND-529C	18.75	0.19	0.00	0.19	90.0	0.17

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
08/01/2018	TEREBELO, MYAH	AMCAP FUND-529C	62.50	0.63	0.00	0.63	90.0	0.57
08/02/2018	QUSSAR, JAMES	GROWTH AND INCOME PORTFOLIO-529C	75.00	0.75	0.00	0.75	90.0	0.68
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$1,275.00</b>	<b>\$12.80</b>	<b>\$0.00</b>	<b>\$12.80</b>		<b>\$11.58</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/20/2018	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
07/30/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	78.26	2.19	0.00	2.19	90.0	1.97
07/30/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	12.46	0.35	0.00	0.35	90.0	0.32
07/30/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	365.20	10.23	0.00	10.23	90.0	9.21
07/30/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	58.16	1.63	0.00	1.63	90.0	1.47
07/30/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	78.26	2.19	0.00	2.19	90.0	1.97
07/30/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	12.46	0.35	0.00	0.35	90.0	0.32
07/20/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	94.58	0.95	0.00	0.95	90.0	0.86
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$714.38</b>	<b>\$18.04</b>	<b>\$0.00</b>	<b>\$18.04</b>		<b>\$16.26</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
08/02/2018	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	267,666.17	170.50	0.00	170.50	90.0	153.45
08/02/2018	RACHWAL, BARTON	GWL GRP ANNUITY 401K	439,200.26	186.51	0.00	186.51	90.0	167.86
08/02/2018	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	8,581,688.55	1,822.14	0.00	1,822.14	90.0	1,639.93
08/02/2018	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	702,027.88	298.12	0.00	298.12	90.0	268.31
08/02/2018	RACHWAL PC, BARTON	GWL NET ASSET VALUE 401K	203,279.40	43.16	0.00	43.16	90.0	38.84
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$10,193,862.26</b>	<b>\$2,520.43</b>	<b>\$0.00</b>	<b>\$2,520.43</b>		<b>\$2,268.39</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/31/2018	LOCKWOOD, SUSAN	F/T GROWTH PORT 529 CL C	0.00	53.10	0.00	53.10	90.0	47.79
07/31/2018	LOCKWOOD, SUSAN	F/T MUTUAL SHARES PORT 529 CL C	0.00	38.58	0.00	38.58	90.0	34.72
07/31/2018	LOCKWOOD, SUSAN	F/T S&P 500 INDEX PORT CL C	0.00	63.50	0.00	63.50	90.0	57.15
07/31/2018	LOCKWOOD, SUSAN	F/T SAMLl MID CAP GROWTH PORT 529 C	0.00	18.47	0.00	18.47	90.0	16.62
07/31/2018	LOCKWOOD, SUSAN	FRANKLIN INCOME 529 PORTFOLIO C	0.00	32.31	0.00	32.31	90.0	29.08
07/31/2018	MOTOR CITY COMPUTER	FRANKLIN LOW DURATION TOTAL RETURN	0.00	32.52	0.00	32.52	90.0	29.27
07/31/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	0.00	25.25	0.00	25.25	90.0	22.73
07/31/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.73	0.00	3.73	90.0	3.36
07/31/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	22.43	0.00	22.43	90.0	20.19
07/31/2018	LEPZINSKI, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	12.83	0.00	12.83	90.0	11.55
07/31/2018	FLEURY, BETH	FRANKLIN RISING DIVIDENDS C	0.00	19.42	0.00	19.42	90.0	17.48

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/31/2018	LORIA, KENNETH	FT FOUNDING FDS ALLOC C	0.00	14.18	0.00	14.18	90.0	12.76
07/31/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	0.00	44.38	0.00	44.38	90.0	39.94
07/31/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	0.00	3.98	0.00	3.98	90.0	3.58
07/31/2018	HEILMANN, VICTORIA	TEMPLETON GROWTH A	0.00	5.74	0.00	5.74	90.0	5.17
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$390.42</b>	<b>\$0.00</b>	<b>\$390.42</b>		<b>\$351.39</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	0.00	31.24	0.00	31.24	90.0	28.12
07/31/2018	NUNEZ, LYNDA	JNL ELITE ACCESS W/ LIQUIDITY	0.00	107.12	0.00	107.12	90.0	96.41
07/31/2018	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	0.00	14.64	0.00	14.64	90.0	13.18
07/31/2018	TREVINO, PATRICIA	JNL PERSPECTIVE II 04/14	0.00	10.32	0.00	10.32	90.0	9.29
07/31/2018	HARRIS, JANE	JNL PERSPECTIVE II 04/14	0.00	21.45	0.00	21.45	90.0	19.31
07/31/2018	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	0.00	40.32	0.00	40.32	90.0	36.29
07/31/2018	MAHER, HENRY	JNL PERSPECTIVE II 05/05	0.00	46.00	0.00	46.00	90.0	41.40
07/31/2018	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	0.00	21.64	0.00	21.64	90.0	19.48
07/31/2018	VEIT, BEVERLY	JNL PERSPECTIVE L	0.00	16.83	0.00	16.83	90.0	15.15
07/31/2018	PALMERI, BEVERLY	JNL PERSPECTIVE L	0.00	83.53	0.00	83.53	90.0	75.18
07/31/2018	FRASER, SHARON	JNL PERSPECTIVE L	0.00	37.02	0.00	37.02	90.0	33.32
07/31/2018	FLOWERS, EDWARD	JNL PERSPECTIVE L	0.00	130.79	0.00	130.79	90.0	117.71
07/31/2018	STOKES, RICHARD	JNL PERSPECTIVE L	0.00	73.17	0.00	73.17	90.0	65.85
07/31/2018	STONE, EDWARD	JNL PERSPECTIVE L	0.00	76.65	0.00	76.65	90.0	68.99
07/31/2018	PALMERI, SAMUEL	JNL PERSPECTIVE L	0.00	91.73	0.00	91.73	90.0	82.56
07/31/2018	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	0.00	38.68	0.00	38.68	90.0	34.81
07/31/2018	VITALE, PETER	JNL PERSPECTIVE L	0.00	121.59	0.00	121.59	90.0	109.43
07/31/2018	BROEREN, ANTHONY	JNL PERSPECTIVE L	0.00	168.44	0.00	168.44	90.0	151.60
07/31/2018	KRALIK, GEORGEANNA	JNL PERSPECTIVE L	0.00	100.16	0.00	100.16	90.0	90.14
07/31/2018	CORNWELL, VICTORIA	JNL PERSPECTIVE L	0.00	182.94	0.00	182.94	90.0	164.65
07/31/2018	HARRIS, JANE	PRU 74429E487	0.00	282.78	0.00	282.78	90.0	254.50
07/31/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	0.00	8.43	0.00	8.43	90.0	7.59
07/31/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	0.00	8.43	0.00	8.43	90.0	7.59
07/31/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	0.00	60.79	0.00	60.79	90.0	54.71
07/31/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	0.00	15.20	0.00	15.20	90.0	13.68
07/31/2018	VIAR, DAVID	PRU AS APEX II	0.00	4.47	0.00	4.47	90.0	4.02
07/31/2018	VIAR, DAVID	PRU AS APEX II	0.00	17.88	0.00	17.88	90.0	16.09

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	BERARD, LISA	PRU AS APEX II	0.00	64.06	0.00	64.06	90.0	57.65
07/31/2018	BERARD, LISA	PRU AS APEX II	0.00	16.01	0.00	16.01	90.0	14.41
07/31/2018	BANNICK, ROBERT	PRU AS APEX II	0.00	29.22	0.00	29.22	90.0	26.30
07/31/2018	BANNICK, ROBERT	PRU AS APEX II	0.00	116.88	0.00	116.88	90.0	105.19
07/31/2018	MARSHALL, SANDRA	PRU AS APEX II	0.00	38.10	0.00	38.10	90.0	34.29
07/31/2018	MARSHALL, SANDRA	PRU AS APEX II	0.00	152.39	0.00	152.39	90.0	137.15
07/31/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	0.00	18.16	0.00	18.16	90.0	16.34
07/31/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	0.00	72.62	0.00	72.62	90.0	65.36
07/31/2018	STONE, ELAINE	PRU AS APEX II	0.00	42.89	0.00	42.89	90.0	38.60
07/31/2018	STONE, ELAINE	PRU AS APEX II	0.00	171.55	0.00	171.55	90.0	154.40
07/31/2018	TACCONELLI, JOHN	PRU AS APEX II	0.00	23.60	0.00	23.60	90.0	21.24
07/31/2018	TACCONELLI, JOHN	PRU AS APEX II	0.00	94.40	0.00	94.40	90.0	84.96
07/31/2018	TACCONELLI, JOHN	PRU AS APEX II	0.00	11.54	0.00	11.54	90.0	10.39
07/31/2018	TACCONELLI, JOHN	PRU AS APEX II	0.00	46.16	0.00	46.16	90.0	41.54
07/31/2018	FISCHER, SHIRLEY	PRU AS APEX II	0.00	10.90	0.00	10.90	90.0	9.81
07/31/2018	FISCHER, SHIRLEY	PRU AS APEX II	0.00	43.61	0.00	43.61	90.0	39.25
07/31/2018	MARSACK, KAREN	PRU AS APEX II	0.00	104.03	0.00	104.03	90.0	93.63
07/31/2018	MARSACK, KAREN	PRU AS APEX II	0.00	416.12	0.00	416.12	90.0	374.51
07/31/2018	YURIK, DEBRA	PRU AS APEX II	0.00	19.01	0.00	19.01	90.0	17.11
07/31/2018	YURIK, DEBRA	PRU AS APEX II	0.00	76.06	0.00	76.06	90.0	68.45
07/31/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	0.00	2.44	0.00	2.44	90.0	2.20
07/31/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	0.00	9.76	0.00	9.76	90.0	8.78
07/31/2018	FRASER, SHARON	PRU AS APEX II	0.00	8.54	0.00	8.54	90.0	7.69
07/31/2018	FRASER, SHARON	PRU AS APEX II	0.00	34.16	0.00	34.16	90.0	30.74
07/31/2018	QUEDNAU, KELLY	PRU AS APEX II	0.00	3.01	0.00	3.01	90.0	2.71
07/31/2018	QUEDNAU, KELLY	PRU AS APEX II	0.00	12.06	0.00	12.06	90.0	10.85
07/31/2018	FINLEY, RENEATHIA	PRU AS APEX II	0.00	1.27	0.00	1.27	90.0	1.14
07/31/2018	FINLEY, RENEATHIA	PRU AS APEX II	0.00	5.08	0.00	5.08	90.0	4.57
07/31/2018	ELSE, KATHERINE	PRU AS APEX II	0.00	20.87	0.00	20.87	90.0	18.78
07/31/2018	ELSE, KATHERINE	PRU AS APEX II	0.00	83.49	0.00	83.49	90.0	75.14
07/31/2018	PIASCIK, SHAWN	PRU AS APEX II	0.00	21.90	0.00	21.90	90.0	19.71
07/31/2018	PIASCIK, SHAWN	PRU AS APEX II	0.00	87.62	0.00	87.62	90.0	78.86
07/31/2018	GILMORE, JOHNSON	PRU AS APEX II	0.00	0.36	0.00	0.36	90.0	0.32

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	GILMORE, JOHNSON	PRU AS APEX II	0.00	1.42	0.00	1.42	90.0	1.28
07/31/2018	O'DONNELL, JUDITH	PRU AS APEX II	0.00	39.44	0.00	39.44	90.0	35.50
07/31/2018	O'DONNELL, JUDITH	PRU AS APEX II	0.00	157.78	0.00	157.78	90.0	142.00
07/31/2018	GILLESPIE, HEATHER	PRU AS APEX II	0.00	28.27	0.00	28.27	90.0	25.44
07/31/2018	GILLESPIE, HEATHER	PRU AS APEX II	0.00	113.09	0.00	113.09	90.0	101.78
07/31/2018	BARNES, TAI	PRU AS APEX II	0.00	1.72	0.00	1.72	90.0	1.55
07/31/2018	BARNES, TAI	PRU AS APEX II	0.00	6.88	0.00	6.88	90.0	6.19
07/31/2018	JACK, LINDA	PRU AS APEX II	0.00	13.14	0.00	13.14	90.0	11.83
07/31/2018	JACK, LINDA	PRU AS APEX II	0.00	52.57	0.00	52.57	90.0	47.31
07/31/2018	NUNEZ, LYNDIA	PRU AS APEX II	0.00	145.04	0.00	145.04	90.0	130.54
07/31/2018	NUNEZ, LYNDIA	PRU AS APEX II	0.00	435.12	0.00	435.12	90.0	391.61
07/31/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	0.00	171.42	0.00	171.42	90.0	154.28
07/31/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	0.00	685.66	0.00	685.66	90.0	617.09
07/31/2018	FLEURY, DAVID	PRU AS APEX II	0.00	24.59	0.00	24.59	90.0	22.13
07/31/2018	FLEURY, DAVID	PRU AS APEX II	0.00	98.35	0.00	98.35	90.0	88.52
07/31/2018	HARRISON, SHARI	PRU AS APEX II	0.00	5.95	0.00	5.95	90.0	5.36
07/31/2018	HARRISON, SHARI	PRU AS APEX II	0.00	23.80	0.00	23.80	90.0	21.42
07/31/2018	PALMERI, BEVERLY	PRU AS APEX II	0.00	67.37	0.00	67.37	90.0	60.63
07/31/2018	PALMERI, BEVERLY	PRU AS APEX II	0.00	269.50	0.00	269.50	90.0	242.55
07/31/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	0.00	29.65	0.00	29.65	90.0	26.69
07/31/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	0.00	118.58	0.00	118.58	90.0	106.72
07/31/2018	MAHER TRUST, MARY	PRU AS APEX II	0.00	36.30	0.00	36.30	90.0	32.67
07/31/2018	MAHER TRUST, MARY	PRU AS APEX II	0.00	145.18	0.00	145.18	90.0	130.66
07/31/2018	TROKIC, MEVLIDA	PRU AS APEX II	0.00	44.03	0.00	44.03	90.0	39.63
07/31/2018	TROKIC, MEVLIDA	PRU AS APEX II	0.00	176.12	0.00	176.12	90.0	158.51
07/31/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	0.00	6.73	0.00	6.73	90.0	6.06
07/31/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	0.00	26.90	0.00	26.90	90.0	24.21
07/31/2018	LUJAN, DEBORAH	PRU AS APEX II	0.00	27.82	0.00	27.82	90.0	25.04
07/31/2018	LUJAN, DEBORAH	PRU AS APEX II	0.00	111.28	0.00	111.28	90.0	100.15
07/31/2018	MARSACK, KAREN	PRU DEFINED INCOME	0.00	116.52	0.00	116.52	90.0	104.87
07/31/2018	ELSE, KATHERINE	PRU DEFINED INCOME	0.00	16.28	0.00	16.28	90.0	14.65
07/31/2018	WALSH, LORRAINE	PRU DEFINED INCOME	0.00	60.61	0.00	60.61	90.0	54.55
07/31/2018	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	0.00	86.81	0.00	86.81	90.0	78.13

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
07/31/2018	LINDSEY, BARBARA	PRU DEFINED INCOME	0.00	53.79	0.00	53.79	90.0	48.41
07/31/2018	LEFKA, KAYE	PRU DEFINED INCOME	0.00	42.44	0.00	42.44	90.0	38.20
07/31/2018	MARKHAM, PATRICK	PRU DEFINED INCOME	0.00	96.64	0.00	96.64	90.0	86.98
07/31/2018	DURST, BARBARA	PRU DEFINED INCOME	0.00	191.52	0.00	191.52	90.0	172.37
07/31/2018	HICKS, CURTIS	PRU DEFINED INCOME	0.00	42.32	0.00	42.32	90.0	38.09
07/31/2018	WHEELER, WILLARD	PRU DEFINED INCOME	0.00	440.34	0.00	440.34	90.0	396.31
07/31/2018	ALLEN, MARY	PRU DEFINED INCOME	0.00	125.74	0.00	125.74	90.0	113.17
07/31/2018	ZETTEL, ANTHONY	PRU PREMIER INVT A	0.00	29.56	0.00	29.56	90.0	26.60
07/31/2018	FLEURY, DAVID	PRU PREMIER RETIREMENT B	0.00	66.20	0.00	66.20	90.0	59.58
07/31/2018	FLEURY, DAVID	PRU PREMIER RETIREMENT B	0.00	11.64	0.00	11.64	90.0	10.48
07/31/2018	BROEREN, LISA	PRU PREMIER RETIREMENT B	0.00	65.39	0.00	65.39	90.0	58.85
07/31/2018	HARRIS, JANE	PRU PREMIER RETIREMENT B	0.00	29.55	0.00	29.55	90.0	26.60
07/31/2018	DINON, MARY	PRU PREMIER RETIREMENT C	0.00	64.96	0.00	64.96	90.0	58.46
07/31/2018	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	0.00	63.12	0.00	63.12	90.0	56.81
07/31/2018	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	0.00	129.34	0.00	129.34	90.0	116.41
07/31/2018	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	0.00	124.84	0.00	124.84	90.0	112.36
07/31/2018	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	0.00	203.03	0.00	203.03	90.0	182.73
07/31/2018	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	0.00	16.14	0.00	16.14	90.0	14.53
07/31/2018	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	0.00	47.72	0.00	47.72	90.0	42.95
07/31/2018	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	0.00	255.06	0.00	255.06	90.0	229.55
07/31/2018	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	0.00	88.91	0.00	88.91	90.0	80.02
07/31/2018	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	0.00	86.18	0.00	86.18	90.0	77.56
07/31/2018	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	0.00	115.26	0.00	115.26	90.0	103.73
07/31/2018	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	0.00	47.15	0.00	47.15	90.0	42.44
07/31/2018	PALMERI, PAUL	PRU PREMIER RETIREMENT L	0.00	34.40	0.00	34.40	90.0	30.96
07/31/2018	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	0.00	59.19	0.00	59.19	90.0	53.27
07/31/2018	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	0.00	164.23	0.00	164.23	90.0	147.81
07/31/2018	NUNEZ, LYNDIA	PRU PREMIER RETIREMENT L	0.00	68.98	0.00	68.98	90.0	62.08
07/31/2018	MAHER, HENRY	PRU PREMIER RETIREMENT L	0.00	401.41	0.00	401.41	90.0	361.27
07/31/2018	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	0.00	41.81	0.00	41.81	90.0	37.63
07/31/2018	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	0.00	95.51	0.00	95.51	90.0	85.96
07/31/2018	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	0.00	87.21	0.00	87.21	90.0	78.49
07/31/2018	IBARRA, JAIME	PRU PREMIER RETIREMENT L	0.00	112.87	0.00	112.87	90.0	101.58

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
07/31/2018	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	0.00	98.03	0.00	98.03	90.0	88.23
07/31/2018	STONE, EDWARD	PRU PREMIER RETIREMENT L	0.00	176.19	0.00	176.19	90.0	158.57
07/31/2018	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	0.00	193.18	0.00	193.18	90.0	173.86
07/31/2018	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	0.00	20.77	0.00	20.77	90.0	18.69
07/31/2018	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	0.00	154.79	0.00	154.79	90.0	139.31
07/31/2018	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	0.00	29.06	0.00	29.06	90.0	26.15
07/31/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	0.00	159.40	0.00	159.40	90.0	143.46
07/31/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	0.00	81.08	0.00	81.08	90.0	72.97
07/31/2018	DILAY, DENISE	PRU PREMIER RETIREMENT L	0.00	236.30	0.00	236.30	90.0	212.67
07/31/2018	KOTT, DIANE	PRU PREMIER RETIREMENT L	0.00	132.89	0.00	132.89	90.0	119.60
07/31/2018	DILAY, DANIEL	PRU PREMIER RETIREMENT L	0.00	10.74	0.00	10.74	90.0	9.67
07/31/2018	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	0.00	69.29	0.00	69.29	90.0	62.36
07/31/2018	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	0.00	71.26	0.00	71.26	90.0	64.13
07/31/2018	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	0.00	459.10	0.00	459.10	90.0	413.19
07/31/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	0.00	214.80	0.00	214.80	90.0	193.32
07/31/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	0.00	71.60	0.00	71.60	90.0	64.44
07/31/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	0.00	56.70	0.00	56.70	90.0	51.03
07/31/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	0.00	113.39	0.00	113.39	90.0	102.05
07/31/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	0.00	12.29	0.00	12.29	90.0	11.06
07/31/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	0.00	4.10	0.00	4.10	90.0	3.69
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$12,881.44</b>	<b>\$0.00</b>	<b>\$12,881.44</b>		<b>\$11,593.36</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$10,195,851.64</b>	<b>\$15,854.54</b>	<b>\$0.00</b>	<b>\$15,854.54</b>		<b>\$14,269.25</b>

**ADJUSTMENTS**

08/15/2018	*AI INSIGHT: CURRENT MONTH	0.00	-7.00
08/15/2018	*ALBRIDGE: LIGHT USER	0.00	-76.00
08/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
08/15/2018	*GLOBAL RELAY: WEBSITE	0.00	-15.00
08/15/2018	*RBC: ORDER ENTRY	0.00	-65.00
08/15/2018	*RBC: W/OFF DB 7A502503	0.00	-2.00
08/15/2018	*RBC: W/OFF DB 7A502670	0.00	-35.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
08/15/2018		*RBC: WEALTHSTATION - ADMIN	0.00					-25.00
08/15/2018		*RBC: WEALTHSTATION - MAHER	0.00					-25.00
08/15/2018		*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00					-130.00
08/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-860.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$21,216.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-860.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,356.04
Year-to-date Gross	\$313,302.73
Year-to-date Earnings	\$281,106.37

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed