

All Companies  
**STATEMENT**  
**August 20, 2018**

CYNTHIA HERRON - LPCM  
2321 Travis Lane  
#608  
Overland Park, KS 66209-

BROKER #: 3227  
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: RIA</b>									
08/01/2018	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,863.64	-0.54	92.0	0.00	-0.54	0.00	-0.54
08/01/2018	#566198 TCA HERRON VAR	ADDL CASH FOR ALLOC	1,863.64	4.66	92.0	0.00	4.29	0.00	4.29
08/01/2018	#513542 SANTOS, MONICA	DO NOT SELL SECURITIES	107,621.82	-313.89	92.0	0.00	-313.89	0.00	-313.89
08/01/2018	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,801.14	-13.90	92.0	0.00	-13.90	0.00	-13.90
08/01/2018	#634435 TCA HERRON VAR	HZI CONSERVATIVE	27,801.14	28.96	92.0	0.00	26.64	0.00	26.64
08/01/2018	#617781 TCA HERRON VAR	HZI FOCUSED	50,570.42	-25.28	92.0	0.00	-25.28	0.00	-25.28
08/01/2018	#654795 HOFFMANN, JASON	HZI FOCUSED	30,017.68	-15.00	92.0	0.00	-15.00	0.00	-15.00
08/01/2018	#656031	HZI FOCUSED	38,566.06	-19.28	92.0	0.00	-19.28	0.00	-19.28
08/01/2018	#617781 TCA HERRON VAR	HZI FOCUSED	50,570.42	52.68	92.0	0.00	48.47	0.00	48.47
08/01/2018	#654795 HOFFMANN, JASON	HZI FOCUSED	30,017.68	31.27	92.0	0.00	28.77	0.00	28.77
08/01/2018	#656031	HZI FOCUSED	38,566.06	40.17	92.0	0.00	36.96	0.00	36.96
08/01/2018	#512318 BAUM, HARRY	HZI FOCUSED W RA	155,800.75	-77.90	92.0	0.00	-77.90	0.00	-77.90
08/01/2018	#512491 BAUM, HARRY	HZI FOCUSED W RA	71,585.28	-35.79	92.0	0.00	-35.79	0.00	-35.79
08/01/2018	#512318 BAUM, HARRY	HZI FOCUSED W RA	155,800.75	162.29	92.0	0.00	149.31	0.00	149.31
08/01/2018	#512491 BAUM, HARRY	HZI FOCUSED W RA	71,585.28	74.57	92.0	0.00	68.60	0.00	68.60
08/01/2018	#512459 BAUM, CONNIE	HZI GROWTH	48,504.02	-24.25	92.0	0.00	-24.25	0.00	-24.25
08/01/2018	#513527 WARD-MARCUM,	HZI GROWTH	60,752.73	-30.37	92.0	0.00	-30.37	0.00	-30.37
08/01/2018	#513535 MARCUM, MICHAEL	HZI GROWTH	30,772.59	-15.38	92.0	0.00	-15.38	0.00	-15.38
08/01/2018	#516171 TAYLOR-WHEATON, R	HZI GROWTH	30,001.68	-15.00	92.0	0.00	-15.00	0.00	-15.00
08/01/2018	#516173 WHEATON, RICK	HZI GROWTH	30,032.57	-15.01	92.0	0.00	-15.01	0.00	-15.01
08/01/2018	#516178 CANTRELL, MARGIE	HZI GROWTH	88,016.66	-44.00	92.0	0.00	-44.00	0.00	-44.00
08/01/2018	#525752 TCA HERRON VAR	HZI GROWTH	65,112.95	-32.55	92.0	0.00	-32.55	0.00	-32.55
08/01/2018	#525989 TCA HERRON VAR	HZI GROWTH	29,710.84	-14.85	92.0	0.00	-14.85	0.00	-14.85
08/01/2018	#534867 KELLY, STEVEN	HZI GROWTH	28,787.26	-14.39	92.0	0.00	-14.39	0.00	-14.39
08/01/2018	#543979 RUSSELL, JOHN	HZI GROWTH	43,946.98	-21.97	92.0	0.00	-21.97	0.00	-21.97
08/01/2018	#544680 MARCUM, MICHAEL	HZI GROWTH	37,309.17	-18.65	92.0	0.00	-18.65	0.00	-18.65
08/01/2018	#552059 RARDIN, JOHN	HZI GROWTH	30,803.27	-15.40	92.0	0.00	-15.40	0.00	-15.40
08/01/2018	#552100 STRACHAN, GRACE	HZI GROWTH	115,676.47	-57.83	92.0	0.00	-57.83	0.00	-57.83

COMMISSION STATEMENT for CYNTHIA HERRON - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
08/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	43,783.71	-21.89	92.0	0.00	-21.89	-21.89
08/01/2018	#638144	TCA HERRON VAR	HZI GROWTH	29,105.11	-14.55	92.0	0.00	-14.55	-14.55
08/01/2018	#654603	STRACHAN, GRACE	HZI GROWTH	101,330.77	-50.66	92.0	0.00	-50.66	-50.66
08/01/2018	#512459	BAUM, CONNIE	HZI GROWTH	48,504.02	50.53	92.0	0.00	46.49	46.49
08/01/2018	#513527	WARD-MARCUM,	HZI GROWTH	60,752.73	63.28	92.0	0.00	58.22	58.22
08/01/2018	#513535	MARCUM, MICHAEL	HZI GROWTH	30,772.59	32.06	92.0	0.00	29.50	29.50
08/01/2018	#516171	TAYLOR-WHEATON, R	HZI GROWTH	30,001.68	31.25	92.0	0.00	28.75	28.75
08/01/2018	#516173	WHEATON, RICK	HZI GROWTH	30,032.57	31.28	92.0	0.00	28.78	28.78
08/01/2018	#516178	CANTRELL, MARGIE	HZI GROWTH	88,016.66	91.68	92.0	0.00	84.35	84.35
08/01/2018	#525752	TCA HERRON VAR	HZI GROWTH	65,112.95	67.83	92.0	0.00	62.40	62.40
08/01/2018	#525989	TCA HERRON VAR	HZI GROWTH	29,710.84	30.95	92.0	0.00	28.47	28.47
08/01/2018	#534867	KELLY, STEVEN	HZI GROWTH	28,787.26	29.99	92.0	0.00	27.59	27.59
08/01/2018	#543979	RUSSELL, JOHN	HZI GROWTH	43,946.98	45.78	92.0	0.00	42.12	42.12
08/01/2018	#544680	MARCUM, MICHAEL	HZI GROWTH	37,309.17	38.86	92.0	0.00	35.75	35.75
08/01/2018	#552059	RARDIN, JOHN	HZI GROWTH	30,803.27	32.09	92.0	0.00	29.52	29.52
08/01/2018	#552100	STRACHAN, GRACE	HZI GROWTH	115,676.47	120.50	92.0	0.00	110.86	110.86
08/01/2018	#566198	TCA HERRON VAR	HZI GROWTH	43,783.71	109.46	92.0	0.00	100.70	100.70
08/01/2018	#638144	TCA HERRON VAR	HZI GROWTH	29,105.11	30.32	92.0	0.00	27.89	27.89
08/01/2018	#654603	STRACHAN, GRACE	HZI GROWTH	101,330.77	105.55	92.0	0.00	97.11	97.11
08/01/2018	#513538	MOOT, PEGGY	HZI MODERATE	31,072.01	-15.53	92.0	0.00	-15.53	-15.53
08/01/2018	#516890	ALBIN, JANETTE	HZI MODERATE	28,787.20	-14.39	92.0	0.00	-14.39	-14.39
08/01/2018	#543962	RUSSELL, JOHN	HZI MODERATE	32,212.14	-16.10	92.0	0.00	-16.10	-16.10
08/01/2018	#556450	GALVAS, MARIE	HZI MODERATE	253,197.89	-126.59	92.0	0.00	-126.59	-126.59
08/01/2018	#611065	TCA HERRON VAR	HZI MODERATE	42,779.20	-21.38	92.0	0.00	-21.38	-21.38
08/01/2018	#654638	STRACHAN, GRACE	HZI MODERATE	399,926.58	-199.96	92.0	0.00	-199.96	-199.96
08/01/2018	#670947	PEKAREK, WESLEY	HZI MODERATE	24,964.31	-12.48	92.0	0.00	-12.48	-12.48
08/01/2018	#513538	MOOT, PEGGY	HZI MODERATE	31,072.01	25.89	92.0	0.00	23.82	23.82
08/01/2018	#516890	ALBIN, JANETTE	HZI MODERATE	28,787.20	29.99	92.0	0.00	27.59	27.59
08/01/2018	#543962	RUSSELL, JOHN	HZI MODERATE	32,212.14	33.55	92.0	0.00	30.87	30.87
08/01/2018	#556450	GALVAS, MARIE	HZI MODERATE	253,197.89	263.75	92.0	0.00	242.65	242.65
08/01/2018	#611065	TCA HERRON VAR	HZI MODERATE	42,779.20	44.56	92.0	0.00	41.00	41.00
08/01/2018	#654638	STRACHAN, GRACE	HZI MODERATE	399,926.58	416.59	92.0	0.00	383.26	383.26
08/01/2018	#670947	PEKAREK, WESLEY	HZI MODERATE	24,964.31	26.00	92.0	0.00	23.92	23.92
<b>* RIA SUBTOTAL *</b>			<b>\$4,113,203.98</b>	<b>\$831.58</b>		<b>\$0.00</b>	<b>\$659.89</b>	<b>\$0.00</b>	<b>\$659.89</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$4,113,203.98</b>	<b>\$831.58</b>		<b>\$0.00</b>	<b>\$659.89</b>	<b>\$0.00</b>	<b>\$659.89</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$659.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$659.89</b>
---------------------	-----------------