

**COMMISSION STATEMENT****October 15, 2017**

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/16/2017	EYNARD, STEPHEN	BOURNE SENIORS HOUSING III	28,000.00	1,960.00	0.00	1,960.00	90.0	1,764.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$28,000.00	\$1,960.00	\$0.00	\$1,960.00		\$1,764.00
<u>PRODUCT CATEGORY: REIT</u>								
09/29/2017	ZUPEK, VARIOUS	MOODY NAT'L REIT II INC	0.00	9,546.29	0.00	9,546.29	90.0	8,591.66
		* REIT SUBTOTAL *	\$0.00	\$9,546.29	\$0.00	\$9,546.29		\$8,591.66
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
10/03/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
10/02/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
10/02/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
10/02/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
		* MUTUAL FUNDS SUBTOTAL *	\$225.00	\$5.51	\$0.00	\$5.51		\$4.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	DEHAHN, RAYMOND	ALLIANZ VISION	20,720.49	51.80	0.00	51.80	90.0	46.62
09/29/2017	DEHAHN, RAYMOND	ALLIANZ VISION	21,136.32	52.84	0.00	52.84	90.0	47.56
09/29/2017	RUSCH, JOSHUA	ALLIANZ VISION	35,141.57	87.85	0.00	87.85	90.0	79.07
09/29/2017	SUS, SANDRA	ALLIANZ VISION	86,120.76	215.30	0.00	215.30	90.0	193.77
09/29/2017	HORNACEK, JOSEPH	ALLIANZ VISION	137,732.61	344.33	0.00	344.33	90.0	309.90
09/29/2017	DENNE, THOMAS	ALLIANZ VISION	74,559.21	186.40	0.00	186.40	90.0	167.76
09/29/2017	SCOTT, DEBRA	AXA ACCUMULATOR PLUS (NQ)	72,741.71	136.39	0.00	136.39	90.0	122.75
10/03/2017	MAASS EXCAVAT	GWL NET ASSET VALUE 401K	1,460,960.52	600.39	0.00	600.39	90.0	540.35
09/30/2017	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	15,349.35	15.99	0.00	15.99	90.0	14.39
09/30/2017	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	49,423.64	41.19	0.00	41.19	90.0	37.07
09/30/2017	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	60,709.64	50.59	0.00	50.59	90.0	45.53
09/30/2017	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	115,097.80	23.98	0.00	23.98	90.0	21.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	ROST, DAVID	JNL PERSPECTIVE II 04/14	786,046.11	655.04	0.00	655.04	90.0	589.54
09/30/2017	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	15,324.63	6.39	0.00	6.39	90.0	5.75
09/30/2017	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	18,269.61	7.61	0.00	7.61	90.0	6.85
09/30/2017	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,825.79	4.93	0.00	4.93	90.0	4.44
09/30/2017	HOSS, GAIL	JNL PERSPECTIVE L	74,836.61	81.07	0.00	81.07	90.0	72.96
09/30/2017	JEANQUART, ROBERT	JNL PERSPECTIVE L	5,455.14	5.91	0.00	5.91	90.0	5.32
09/30/2017	PECKMAN, MARCIA	JNL PERSPECTIVE L	84,618.30	91.67	0.00	91.67	90.0	82.50
09/30/2017	HOOTEN, ROBERT	JNL PERSPECTIVE L	34,026.85	36.86	0.00	36.86	90.0	33.17
09/30/2017	KAEDING, HAROLD	JNL PERSPECTIVE L	65,901.36	54.92	0.00	54.92	90.0	49.43
09/30/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	54,874.22	64.02	0.00	64.02	90.0	57.62
09/30/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	171,034.16	199.54	0.00	199.54	90.0	179.59
09/30/2017	TASKONIS, RICHARD	JNL PERSPECTIVE L	128,389.75	149.79	0.00	149.79	90.0	134.81
09/30/2017	BABICKY, SHERRY	JNL PERSPECTIVE L	41,896.21	48.88	0.00	48.88	90.0	43.99
09/30/2017	EDQUIST, BETTY	JNL PERSPECTIVE L	43,656.21	36.38	0.00	36.38	90.0	32.74
09/30/2017	FLETCHER, JEWEL	JNL PERSPECTIVE L	26,495.94	22.08	0.00	22.08	90.0	19.87
09/30/2017	SMITH, BETTY	JNL PERSPECTIVE L	37,307.54	43.53	0.00	43.53	90.0	39.18
09/30/2017	NONDORF, DENNIS	JNL PERSPECTIVE L	9,004.10	7.50	0.00	7.50	90.0	6.75
09/30/2017	WILLIAMS, WARNER	JNL PERSPECTIVE L	33,930.61	28.28	0.00	28.28	90.0	25.45
09/30/2017	ZUPFER, CHARLES	JNL PERSPECTIVE L	31,125.91	25.94	0.00	25.94	90.0	23.35
09/30/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	32,982.24	27.49	0.00	27.49	90.0	24.74
09/30/2017	SPERER, MARION	JNL PERSPECTIVE L	24,267.56	20.22	0.00	20.22	90.0	18.20
09/30/2017	VERBETEN, KAREN	JNL PERSPECTIVE L	9,516.65	11.10	0.00	11.10	90.0	9.99
09/30/2017	BOLAND, TERRENCE	JNL PERSPECTIVE L	24,003.22	28.00	0.00	28.00	90.0	25.20
09/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,352.88	30.72	0.00	30.72	90.0	27.65
09/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	26,329.96	28.52	0.00	28.52	90.0	25.67
09/30/2017	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,553.21	23.79	0.00	23.79	90.0	21.41
09/30/2017	BODVEN, BRUCE	JNL PERSPECTIVE L	56,554.31	65.98	0.00	65.98	90.0	59.38
09/30/2017	PAULICK, BERNARD	JNL PERSPECTIVE L	144,408.97	120.34	0.00	120.34	90.0	108.31
09/30/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	141,904.46	118.25	0.00	118.25	90.0	106.43
09/30/2017	RACK, JOAN	JNL PERSPECTIVE L	145,746.54	157.89	0.00	157.89	90.0	142.10
09/30/2017	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	107,286.93	89.41	0.00	89.41	90.0	80.47
09/30/2017	INGHAM, LYNNE	JNL PERSPECTIVE L	70,705.68	58.92	0.00	58.92	90.0	53.03
09/30/2017	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	173,066.60	144.22	0.00	144.22	90.0	129.80

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	210,050.03	175.04	0.00	175.04	90.0	157.54
09/30/2017	WELLMAN, JAMES	JNL PERSPECTIVE L	58,365.98	68.09	0.00	68.09	90.0	61.28
09/30/2017	CRAWFORD, JEANNE	JNL PERSPECTIVE L	102,581.89	119.68	0.00	119.68	90.0	107.71
09/30/2017	THOMPSON, CAROL	JNL PERSPECTIVE L	79,238.04	66.03	0.00	66.03	90.0	59.43
09/30/2017	SHELDON, SUSAN	JNL PERSPECTIVE L	49,572.46	41.31	0.00	41.31	90.0	37.18
09/30/2017	SHELDON, MARK	JNL PERSPECTIVE L	96,398.90	112.47	0.00	112.47	90.0	101.22
09/30/2017	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	379,890.08	316.58	0.00	316.58	90.0	284.92
09/30/2017	PERONE, PASQUALE	JNL PERSPECTIVE L	641,633.20	748.57	0.00	748.57	90.0	673.71
09/30/2017	PECKMAN, ROGER	JNL PERSPECTIVE L	139,546.34	162.80	0.00	162.80	90.0	146.52
09/30/2017	RUFFOLO, BENNY	JNL PERSPECTIVE L	106,287.74	124.00	0.00	124.00	90.0	111.60
09/30/2017	ZUPEK, DAVID	JNL PERSPECTIVE L	229,245.61	267.45	0.00	267.45	90.0	240.71
09/30/2017	FALBO, DIANE	JNL PERSPECTIVE L	679,977.67	793.31	0.00	793.31	90.0	713.98
09/30/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	196,821.78	229.63	0.00	229.63	90.0	206.67
09/30/2017	GAFKA, PHILIP	JNL PERSPECTIVE L	368,354.65	429.75	0.00	429.75	90.0	386.78
09/30/2017	HARRIS, BILLY	JNL PERSPECTIVE L	285,588.06	333.19	0.00	333.19	90.0	299.87
09/30/2017	HEBERLING, DONALD	JNL PERSPECTIVE L	20,708.94	17.26	0.00	17.26	90.0	15.53
09/30/2017	WAYO, DARLENE	JNL PERSPECTIVE L	69,490.74	57.91	0.00	57.91	90.0	52.12
09/30/2017	HOSS, GAIL	JNL PERSPECTIVE L	76,564.11	89.32	0.00	89.32	90.0	80.39
09/30/2017	MUELLER, ROMAYNE	JNL PERSPECTIVE L	56,915.61	47.43	0.00	47.43	90.0	42.69
09/30/2017	PETERSEN, THOMAS	JNL PERSPECTIVE L	104,375.28	86.98	0.00	86.98	90.0	78.28
09/30/2017	STARK, GLORIA	JNL PERSPECTIVE L	61,413.67	51.18	0.00	51.18	90.0	46.06
09/30/2017	SMITHANA, MARGARET	JNL PERSPECTIVE L	247,315.62	206.10	0.00	206.10	90.0	185.49
09/30/2017	GIBSON, ROBERT	JNL PERSPECTIVE L	316,222.71	263.52	0.00	263.52	90.0	237.17
09/30/2017	MCFADDEN, JAMES	JNL PERSPECTIVE L	31,216.55	26.01	0.00	26.01	90.0	23.41
09/30/2017	KELLOGG, MICHAEL	JNL PERSPECTIVE L	225,315.00	187.76	0.00	187.76	90.0	168.98
09/30/2017	BIRKHOLZ, GAY	JNL PERSPECTIVE L	112,875.00	94.06	0.00	94.06	90.0	84.65
09/30/2017	HORNACEK, JOSEPH	JNL PERSPECTIVE L	400,222.93	466.93	0.00	466.93	90.0	420.24
09/30/2017	HALL, MICHAEL	JNL PERSPECTIVE L	132,400.68	110.33	0.00	110.33	90.0	99.30
09/30/2017	BARRETT, PERRY	JNL PERSPECTIVE L	151,836.13	126.53	0.00	126.53	90.0	113.88
09/30/2017	ZUPFER, JO	JNL PERSPECTIVE L	91,847.28	76.54	0.00	76.54	90.0	68.89
09/30/2017	BECKER, JOHN	JNL PERSPECTIVE L	123,758.42	103.13	0.00	103.13	90.0	92.82
09/30/2017	BECKER, JOHN	JNL PERSPECTIVE L	153,231.24	127.69	0.00	127.69	90.0	114.92
09/30/2017	HALL, MICHAEL	JNL PERSPECTIVE L	133,648.83	111.37	0.00	111.37	90.0	100.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	BAHR, JEFFREY	JNL PERSPECTIVE L	123,663.15	103.05	0.00	103.05	90.0	92.75
09/30/2017	STEPHENS, CAROL	JNL PERSPECTIVE L	364,832.00	304.03	0.00	304.03	90.0	273.63
09/30/2017	GLENNAN, DONALD	JNL PERSPECTIVE L	348,785.20	290.65	0.00	290.65	90.0	261.59
09/30/2017	FALBO, LEWIS	PRU AS APEX II	170,909.79	106.82	0.00	106.82	90.0	96.14
09/30/2017	FALBO, LEWIS	PRU AS APEX II	170,909.79	427.27	0.00	427.27	90.0	384.54
09/30/2017	FALBO, LEWIS	PRU AS APEX II	178,647.93	111.65	0.00	111.65	90.0	100.49
09/30/2017	FALBO, LEWIS	PRU AS APEX II	178,647.93	446.62	0.00	446.62	90.0	401.96
09/30/2017	HOOTEN, AUDREY	PRU AS APEX II	66,409.63	41.51	0.00	41.51	90.0	37.36
09/30/2017	HOOTEN, AUDREY	PRU AS APEX II	66,409.63	124.52	0.00	124.52	90.0	112.07
09/30/2017	DENNE, FRANCES	PRU AS APEX II	60,906.11	38.07	0.00	38.07	90.0	34.26
09/30/2017	DENNE, FRANCES	PRU AS APEX II	60,906.11	114.20	0.00	114.20	90.0	102.78
09/30/2017	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	154,235.94	96.40	0.00	96.40	90.0	86.76
09/30/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,451.47	8.63	0.00	8.63	90.0	7.77
09/25/2017	KAEDING, JEAN	RELIASTAR LIFE PROTECTOR ELITE	5,174.82	103.50	0.00	103.50	90.0	93.15
10/05/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
09/05/2017	RACK, JOAN	TRANSAMERICA LIBERTY	164,350.98	136.96	0.00	136.96	90.0	123.26
09/13/2017	SOENS, JAMES	TRANSAMERICA LIBERTY	108,835.63	90.70	0.00	90.70	90.0	81.63
09/13/2017	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	320,818.04	267.35	0.00	267.35	90.0	240.62
09/15/2017	FRAID, JEROME	TRANSAMERICA LIBERTY	80,189.24	66.82	0.00	66.82	90.0	60.14
09/17/2017	MANDLI, JODI	TRANSAMERICA LIBERTY	34,690.98	28.91	0.00	28.91	90.0	26.02
09/21/2017	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	33,117.16	27.60	0.00	27.60	90.0	24.84
09/23/2017	STARK, GLORIA	TRANSAMERICA LIBERTY	38,968.13	32.47	0.00	32.47	90.0	29.22
09/23/2017	DENNE, THOMAS	TRANSAMERICA LIBERTY	38,566.01	32.14	0.00	32.14	90.0	28.93
09/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,025.15	0.85	0.00	0.85	90.0	0.77
09/23/2017	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,184.16	0.99	0.00	0.99	90.0	0.89
09/24/2017	HAMILTON, RONALD	TRANSAMERICA LIBERTY	180,934.50	150.78	0.00	150.78	90.0	135.70
09/24/2017	KASDORF, LINDA	TRANSAMERICA LIBERTY	64,243.09	53.54	0.00	53.54	90.0	48.19
09/26/2017	WAYO, DARLENE	TRANSAMERICA LIBERTY	153,236.45	127.70	0.00	127.70	90.0	114.93
09/27/2017	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	182,504.73	152.09	0.00	152.09	90.0	136.88
* VARIABLE ANNUITIES SUBTOTAL *			\$14,327,744.80	\$14,048.21	\$0.00	\$14,048.21		\$12,643.46

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/15/2017	AULER, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	28.18	0.00	28.18	90.0	25.36
09/14/2017	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	5.74	0.00	5.74	90.0	5.17
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.92	\$0.00	\$33.92		\$30.53
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2017	ZUPEK, VARIOUS	LFG TRAILS	0.00	2,059.41	0.00	2,059.41	90.0	1,853.47
10/01/2017	HOOTEN, ROBERT	ING ACCESS	0.00	341.33	0.00	341.33	90.0	307.20
09/14/2017	HUDEC, JOANNE	ING LANDMARK	0.00	562.43	0.00	562.43	90.0	506.19
09/17/2017	KONARSKE, GARY	ING LANDMARK	0.00	1,011.88	0.00	1,011.88	90.0	910.69
09/19/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	122.09	0.00	122.09	90.0	109.88
10/02/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	124.63	0.00	124.63	90.0	112.17
10/04/2017	HARTGERINK, EDWARD	ING LANDMARK	0.00	78.59	0.00	78.59	90.0	70.73
10/01/2017	ZUPEK, VARIOUS	ING PREMIUM PLUS	0.00	44.08	0.00	44.08	90.0	39.67
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,344.44	\$0.00	\$4,344.44		\$3,910.00
*** BROKER TRANSACTIONS TOTAL ***			\$14,355,969.80	\$29,938.37	\$0.00	\$29,938.37		\$26,944.60

SPLIT TRANSACTIONS**BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172**

/ /	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	1.38	0.00	1.38	90.0	1.24
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$0.00	\$1.38	\$0.00	\$1.38		\$1.24
*** SPLIT TRANSACTIONS TOTAL ***			\$0.00	\$1.38	\$0.00	\$1.38		\$1.24

OVERRIDE TRANSACTIONS**BROKER: R121-RICHARD RODRIGUEZ #88**

09/30/2017	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	77,983.13	64.98	0.00	64.98	0.0	58.48
09/30/2017	CAPSTRAW, RONALD	JNL PERSPECTIVE L	388,122.33	323.44	0.00	323.44	0.0	291.10
09/30/2017	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	227,179.89	189.32	0.00	189.32	0.0	170.39
09/30/2017	ROSE, PETER	JNL PERSPECTIVE L	33,444.42	27.88	0.00	27.88	0.0	25.09
* R121-RICHARD RODRIGUEZ SUBTOTAL *			\$726,729.77	\$605.62	\$0.00	\$605.62		\$545.06

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK HUBER #89</u>								
09/29/2017	HUBER, VARIOUS-H122	MOODY NAT'L REIT II INC	0.00	7,593.09	0.00	7,593.09	0.0	6,833.78
		* MARK HUBER SUBTOTAL *	\$0.00	\$7,593.09	\$0.00	\$7,593.09		\$6,833.78
<u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u>								
09/29/2017	HUBER/RODRIGUEZ, VARIOUS	MOODY NAT'L REIT II INC	0.00	25,266.06	0.00	25,266.06	0.0	22,739.45
		* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *	\$0.00	\$25,266.06	\$0.00	\$25,266.06		\$22,739.45
<u>BROKER: MICHAEL VENTURINI #127</u>								
09/30/2017	CAMPOLO, JON	JNL PERSPECTIVE L	20,696.73	17.24	0.00	17.24	0.0	15.52
09/30/2017	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,687.35	13.91	0.00	13.91	0.0	12.52
		* MICHAEL VENTURINI SUBTOTAL *	\$37,384.08	\$31.15	\$0.00	\$31.15		\$28.04
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
10/02/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
10/02/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
08/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.44	0.00	1.44	0.0	1.30
08/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	0.79	0.00	0.79	0.0	0.71
08/31/2017	SWAFFORD, WILLIAM	BLK MOD 17+ AGE BASED A	0.00	0.84	0.00	0.84	0.0	0.76
09/14/2017	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	42.80	0.00	42.80	0.0	38.52
09/14/2017	ALLEN, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	0.0	19.26
09/14/2017	DURBANO, LAURA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.12	0.00	17.12	0.0	15.41
09/14/2017	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	59.45	0.00	59.45	0.0	53.51
		* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *	\$75.00	\$145.34	\$0.00	\$145.34		\$130.82
<u>BROKER: MARK HUBER #395</u>								
10/02/2017	BERNHARDT, ROBERT	JNL PERSPECTIVE II 04/14	161,857.28	3,237.15	0.00	3,237.15	0.0	2,913.44
		* MARK HUBER SUBTOTAL *	\$161,857.28	\$3,237.15	\$0.00	\$3,237.15		\$2,913.44
<u>BROKER: R130-RICHARD RODRIGUEZ, JR. #397</u>								
09/27/2017	CARIDAL TRUST	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	0.0	6,300.00
		* R130-RICHARD RODRIGUEZ, JR. SUBTOTAL *	\$100,000.00	\$7,000.00	\$0.00	\$7,000.00		\$6,300.00
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$1,026,046.13	\$43,878.41	\$0.00	\$43,878.41		\$39,490.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*AI INSIGHT: AI INSIGHT	0.00					-7.00
10/15/2017		*ALBRIDGE: LIGHT USER - DAVID	0.00					-38.00
10/15/2017		*ALBRIDGE: LIGHT USER - MARK	0.00					-38.00
10/15/2017		*AUDIT FEE: BRANCH EXAM FL OFFICE	0.00					-1,444.50
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*GLOBAL RELAY: FACEBOOK - DAVID	0.00					-2.50
10/15/2017		*GLOBAL RELAY: FACEBOOK - MARK	0.00					-2.50
10/15/2017		*GLOBAL RELAY: WEBSITE - DAVID	0.00					-7.50
10/15/2017		*GLOBAL RELAY: WEBSITE - MARK	0.00					-7.50
10/15/2017		*LASER APP: LASERAPP - DAVID	0.00					-18.00
10/15/2017		*MARKETING PRO: MARKETING PRO - DAV	0.00					-10.00
10/15/2017		*MARKETING PRO: STANDARD - MARK	0.00					-10.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
10/15/2017		*TECH: ADMIN - L JOCIUS	0.00					-60.00
10/15/2017		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
10/15/2017		*TECH: ADMIN: JOHN ZUPEK	0.00					-60.00
10/15/2017		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
10/15/2017		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
10/15/2017		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
10/15/2017		*TECHNOLOGY: BASIC-RICH	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,337.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$26,944.60
Split Transactions	\$1.24
Override Transactions	\$39,490.59
Adjustments	\$-3,337.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$63,099.43
Year-to-date Gross	\$197,271.21
Year-to-date Earnings	\$333,094.83