



All Companies

04/27/2018  
Page No. 1

# COMMISSION STATEMENT May 01, 2018

JAMES ROBERT PALMERSHEIM  
601 S Main St. 202

BROKER #: 9530  
BRANCH: HOME OFFICE

Grapevine, TX 76051

## COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>									
<b>PRODUCT CATEGORY: RIA</b>									
04/13/2018 10848249	FANDLER, DEBORAH	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
04/13/2018 10918728	RELDFORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	197.33	0.00	197.33	90.0	177.60
04/13/2018 52045658	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	474.33	0.00	474.33	90.0	426.90
RICHARD									
04/13/2018 52089632	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	180.00	0.00	180.00	90.0	162.00
04/13/2018 52130632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
04/13/2018 52147632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
04/13/2018 61056175	RELDFORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
04/13/2018 61400902	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
04/13/2018 80512276	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	230.67	0.00	230.67	90.0	207.60
RICHARD									
04/13/2018 81083146	FANDLER, FRED	SIGNAL SECURITIES RIA	B	0.00	554.33	0.00	554.33	90.0	498.90
<b>* RIA SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$1,726.65</b>	<b>\$0.00</b>	<b>\$1,726.65</b>		<b>\$1,554.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$0.00</b>	<b>\$1,726.65</b>	<b>\$0.00</b>	<b>\$1,726.65</b>		<b>\$1,554.00</b>

## SPLIT TRANSACTIONS

### BROKER: KELLERMAN PALMERSHEIM/ #9534

04/19/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	80.98	0.00	80.98	90.0	72.88
04/23/2018	COLE, JAMES JEFFRY	SIGNAL SECURITIES RIA	B	0.00	67.94	0.00	67.94	90.0	61.15
05/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	48.42	0.00	48.42	90.0	43.58
04/13/2018 10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0	27.60
DEANN									
04/13/2018 10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	95.03	0.00	95.03	90.0	85.53
04/13/2018 20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	62.78	0.00	62.78	90.0	56.50
MARIE									
04/13/2018 22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	14.91	0.00	14.91	90.0	13.42
04/13/2018 30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	90.87	0.00	90.87	90.0	81.78
04/13/2018 31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	2,040.78	0.00	2,040.78	90.0	1,836.70
04/13/2018 31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	13.19	0.00	13.19	90.0	11.87

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
SPLIT TRANSACTIONS										
BROKER: KELLERMAN PALMERSHEIM/ #9534										
04/13/2018	41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	9.17	0.00	9.17	90.0	8.25
04/13/2018	51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	296.84	0.00	296.84	90.0	267.16
04/13/2018	51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	145.63	0.00	145.63	90.0	131.07
04/13/2018	52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	2.87	0.00	2.87	90.0	2.58
04/13/2018	71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	17.06	0.00	17.06	90.0	15.35
04/13/2018	79512456	BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	58.77	0.00	58.77	90.0	52.89
		WAYNE								
04/13/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	158.67	0.00	158.67	90.0	142.80
04/13/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.57	0.00	0.57	90.0	0.51
04/13/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	114.38	0.00	114.38	90.0	102.94
04/13/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	32.25	0.00	32.25	90.0	29.03
04/13/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	287.96	0.00	287.96	90.0	259.16
04/13/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.30	0.00	4.30	90.0	3.87
04/13/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	18.20	0.00	18.20	90.0	16.38
		* KELLERMAN PALMERSHEIM/ SUBTOTAL *			\$0.00	\$3,692.24	\$0.00	\$3,692.24		\$3,323.00
BROKER: PALMERSHEIM/SNOW #9613										
04/13/2018	15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	166.20	0.00	166.20	90.0	149.58
04/13/2018	16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	43.20	0.00	43.20	90.0	38.88
04/13/2018	18091956	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	27.60	0.00	27.60	90.0	24.84
		JEAN								
04/13/2018	20770029	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	277.80	0.00	277.80	90.0	250.02
		JEAN								
04/13/2018	27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	28.80	0.00	28.80	90.0	25.92
04/13/2018	29192217	JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	85.60	0.00	85.60	90.0	77.04
		WAYNETTE								
04/13/2018	29329286	QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	355.40	0.00	355.40	90.0	319.86
		(HAL)								
04/13/2018	31207916	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	112.20	0.00	112.20	90.0	100.98
04/13/2018	31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	323.80	0.00	323.80	90.0	291.42
04/13/2018	33117987	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.40	0.00	19.40	90.0	17.46
04/13/2018	35080832	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.80	0.00	32.80	90.0	29.52
04/13/2018	35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.40	0.00	7.40	90.0	6.66
04/13/2018	39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	155.80	0.00	155.80	90.0	140.22
04/13/2018	39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	108.20	0.00	108.20	90.0	97.38
04/19/2018	401K	OPTIMIZER PUKIS, BARBARA	SIGNAL SECURITIES RIA	B	0.00	607.20	0.00	607.20	90.0	546.48
		ANN								
04/19/2018	401K	OPTIMIZER PUKIS, BARBARA	SIGNAL SECURITIES RIA	B	0.00	144.00	0.00	144.00	90.0	129.60
		ANN								
04/13/2018	41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	224.60	0.00	224.60	90.0	202.14

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: PALMERSHEIM/SNOW #9613</b>									
04/13/2018	42458316 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	63.20	0.00	63.20	90.0	56.88
04/13/2018	49635286 BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	25.80	0.00	25.80	90.0	23.22
04/13/2018	56512595 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	10.40	0.00	10.40	90.0	9.36
04/13/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.40	0.00	1.40	90.0	1.26
04/13/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	133.60	0.00	133.60	90.0	120.24
04/13/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	155.60	0.00	155.60	90.0	140.04
04/13/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	38.60	0.00	38.60	90.0	34.74
04/13/2018	64679619 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	114.80	0.00	114.80	90.0	103.32
04/13/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	183.00	0.00	183.00	90.0	164.70
04/13/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	350.60	0.00	350.60	90.0	315.54
04/13/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	265.80	0.00	265.80	90.0	239.22
04/13/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	47.60	0.00	47.60	90.0	42.84
04/13/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	234.20	0.00	234.20	90.0	210.78
04/13/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
04/13/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	13.20	0.00	13.20	90.0	11.88
04/13/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	26.40	0.00	26.40	90.0	23.76
04/13/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.00	0.00	31.00	90.0	27.90
04/13/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	286.80	0.00	286.80	90.0	258.12
04/13/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	46.60	0.00	46.60	90.0	41.94
04/13/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	224.40	0.00	224.40	90.0	201.96
04/13/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	29.40	0.00	29.40	90.0	26.46
04/13/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	447.00	0.00	447.00	90.0	402.30
04/13/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	113.80	0.00	113.80	90.0	102.42
04/13/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.20	0.00	11.20	90.0	10.08
04/13/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
04/13/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	109.00	0.00	109.00	90.0	98.10
04/26/2018	FIDELITY 401K KERN, NINA M	SIGNAL SECURITIES RIA	B	0.00	177.20	0.00	177.20	90.0	159.48
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$5,898.60	\$0.00	\$5,898.60		\$5,308.74

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: ERIC CHARLES GUTH #9617</u></b>									
04/13/2018 11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	367.92	0.00	367.92	90.0	331.13
04/13/2018 11999298	BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	374.04	0.00	374.04	90.0	336.64
04/13/2018 13081653	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	24.84	0.00	24.84	90.0	22.36
04/13/2018 17017159	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.68	0.00	1.68	90.0	1.51
04/13/2018 24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	512.28	0.00	512.28	90.0	461.05
04/13/2018 24551097	HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	96.84	0.00	96.84	90.0	87.16
04/13/2018 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	693.72	0.00	693.72	90.0	624.35
04/13/2018 27613913	RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	108.60	0.00	108.60	90.0	97.74
04/13/2018 29396124	COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	24.12	0.00	24.12	90.0	21.71
04/13/2018 32611628	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	27.36	0.00	27.36	90.0	24.62
04/13/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	529.20	0.00	529.20	90.0	476.28
04/13/2018 34872059	HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	312.96	0.00	312.96	90.0	281.66
04/13/2018 37351723	CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	312.24	0.00	312.24	90.0	281.02
04/13/2018 39099528	BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	35.40	0.00	35.40	90.0	31.86
04/19/2018 401K OPTIMIZER	BROWN, THEODORE A	SIGNAL SECURITIES RIA	B	0.00	241.20	0.00	241.20	90.0	217.08
04/13/2018 52065317	EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	437.40	0.00	437.40	90.0	393.66
04/13/2018 52065317	EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	39.72	0.00	39.72	90.0	35.75
04/13/2018 58050029	RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	20.76	0.00	20.76	90.0	18.68
04/13/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	396.00	0.00	396.00	90.0	356.40
04/13/2018 68493114	CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	180.72	0.00	180.72	90.0	162.65
04/13/2018 84865050	SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	353.16	0.00	353.16	90.0	317.84
04/13/2018 84865050	SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	84.12	0.00	84.12	90.0	75.71
04/13/2018 86608828	FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.08	0.00	25.08	90.0	22.57
04/13/2018 89069414	COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	452.16	0.00	452.16	90.0	406.94
04/13/2018 89069414	COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	1.20	0.00	1.20	90.0	1.08
04/13/2018 90329067	RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	141.36	0.00	141.36	90.0	127.22
04/13/2018 94409837	RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	8.76	0.00	8.76	90.0	7.88
04/13/2018 98008502	RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	15.48	0.00	15.48	90.0	13.93

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<b><u>SPLIT TRANSACTIONS</u></b>									
<b><u>BROKER: ERIC CHARLES GUTH #9617</u></b>									
04/13/2018	98257329 CONNERY, FRANK /E	SIGNAL SECURITIES RIA	B	0.00	327.24	0.00	327.24	90.0	294.52
	DIANNE								
04/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	22.56	0.00	22.56	90.0	20.30
		<b>* ERIC CHARLES GUTH SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$6,168.12</b>	<b>\$0.00</b>	<b>\$6,168.12</b>		<b>\$5,551.30</b>
		<b>*** SPLIT TRANSACTIONS TOTAL ***</b>		<b>\$0.00</b>	<b>\$15,758.96</b>	<b>\$0.00</b>	<b>\$15,758.96</b>		<b>\$14,183.04</b>

**ADJUSTMENTS**

05/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
05/01/2018	MISC: SNOW RENT - WESTWOOD CENTER			0.00	0.00	0.00	0.00		800.00
05/01/2018	STATE REG: VIRGINIA (RIA)			0.00	0.00	0.00	0.00		-40.00
05/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
	<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$632.50</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,590.91
Split Transactions	\$14,146.13
Override Transactions	\$0.00
Adjustments	\$632.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-79.20

<b>Check Amount</b>	<b>\$16,290.34</b>
<b>Year-to-date Earnings</b>	<b>\$60,479.07</b>