



COMMISSION STATEMENT

April 02, 2018

STEPHEN CARVER

1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CALVERT GLOBAL WATER FUND CLASS A SELL 720 Units @19.8100	14,260.80	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	CALVERT GLOBAL WATER FUND CLASS A SELL 1018 Units @19.6500	20,000.01	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	CARILLON CLARIVEST CAPITAL APPREC C SELL 477 Units @41.8900	20,000.00	0.00	18.00	-18.00	100.0	-18.00
03/26/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	FIDELITY ADV CONSUMER DISCRETIONARY SELL 756 Units @26.4600	20,000.00	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	FIDELITY ADV CONSUMER DISCRETIONARY SELL 771 Units @25.9300	19,999.99	0.00	18.00	-18.00	100.0	-18.00
03/27/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	FIDELITY ADV CONSUMER DISCRETIONARY SELL 206 Units @25.9300	5,341.99	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$99,602.79	\$0.00	\$108.00	\$-108.00		\$-108.00
<u>PRODUCT CATEGORY: STOCKS</u>								
03/26/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	ALLIANZGI CONV & INCOME FD II COM BUY 3000 Units @5.9760	17,928.00	395.00	75.00	320.00	85.0	272.00
03/28/2018	***-**-32IN - ROPER, SANDRA ACCOUNT #: LSR012512	ALTRIA GROUP INC COM SELL 100 Units @62.1450	6,214.50	120.00	17.00	103.00	85.0	87.55
03/26/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	DISCOVERY COMMUNICATIONS INC NEW CO BUY 1500 Units @21.1000	31,650.00	695.00	45.00	650.00	85.0	552.50
03/27/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	DISCOVERY COMMUNICATIONS INC NEW CO BUY 750 Units @21.6200	16,215.00	350.00	30.00	320.00	85.0	272.00
03/27/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	DISCOVERY COMMUNICATIONS INC NEW CO BUY 750 Units @21.6300	16,222.50	350.00	30.00	320.00	85.0	272.00
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	DYNEGY INC NEW DEL COM BUY 300 Units @13.6640	4,099.20	100.00	21.00	79.00	85.0	67.15
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	FIRST TR LG CAPITAL VAL ALPHADDEX FD BUY 200 Units @52.1000	10,420.00	200.00	19.00	181.00	85.0	153.85
03/27/2018	***-**-3709 - TILLER, EDWIN ACCOUNT #: LSR012570	GENERAL MOTORS CO COM USD0.01 ISIN BUY 100 Units @35.2850	3,528.50	50.00	17.00	33.00	85.0	28.05
03/27/2018	***-**-3709 - TILLER, EDWIN ACCOUNT #: LSR012570	GENERAL MOTORS CO COM USD0.01 ISIN BUY 200 Units @35.2800	7,056.00	100.00	4.00	96.00	85.0	81.60



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 94 Units @4.3700	410.78	9.40	16.88	-7.48	100.0	-7.48
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 100 Units @4.3700	437.00	10.00	2.00	8.00	85.0	6.80
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 200 Units @4.3700	874.00	20.00	4.00	16.00	85.0	13.60
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 206 Units @4.3700	900.22	20.60	4.12	16.48	85.0	14.01
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 400 Units @4.3700	1,748.00	40.00	8.00	32.00	85.0	27.20
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 300 Units @4.3700	1,311.00	30.00	6.00	24.00	85.0	20.40
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 400 Units @4.3700	1,748.00	40.00	8.00	32.00	85.0	27.20
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 300 Units @4.3700	1,311.00	30.00	6.00	24.00	85.0	20.40
03/28/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	JUST ENERGY GROUP INC COM NPV ISIN BUY 3000 Units @4.3770	13,131.00	300.00	60.00	240.00	85.0	204.00
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	MADISON CVRED CALL &EQ STR FD COM SELL 1620 Units @7.1660	11,608.92	150.00	47.40	102.60	85.0	87.21
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	TRIANGLE CAP CORP SELL 100 Units @11.0410	1,104.10	15.00	17.00	-2.00	100.0	-2.00
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	TRIANGLE CAP CORP SELL 100 Units @11.0410	1,104.10	15.00	2.00	13.00	85.0	11.05
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	TRIANGLE CAP CORP SELL 41 Units @11.0410	452.68	6.15	0.82	5.33	85.0	4.53
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	TRIANGLE CAP CORP SELL 59 Units @11.0410	651.42	8.85	1.18	7.67	85.0	6.52
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	TRIANGLE CAP CORP SELL 100 Units @11.0410	1,104.10	15.00	2.00	13.00	85.0	11.05
03/28/2018	***-**-649I - BEYER, ROBERT ACCOUNT #: LSR012542	TRIANGLE CAP CORP SELL 100 Units @11.0410	1,104.10	15.00	2.00	13.00	85.0	11.05



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
* STOCKS SUBTOTAL *			\$152,334.12	\$3,085.00	\$445.40	\$2,639.60		\$2,242.24
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
03/27/2018	***-**-3709 - TILLER, EDWIN ACCOUNT #: LSR012570	COLONY NORTHSTAR INC CL A COM SOLIC SELL 1951 Units @5.6550	11,032.91	150.00	24.00	126.00	85.0	107.10
03/27/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	ELLINGTON RESIDENTIAL MORTGA UNSOLI SELL 1000 Units @11.2550	11,255.00	150.00	24.00	126.00	85.0	107.10
03/27/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	FERRELLGAS PARTNERS L P UNIT LTD PA SELL 2000 Units @3.0590	6,118.00	100.00	24.00	76.00	85.0	64.60
03/27/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	FERRELLGAS PARTNERS L P UNIT LTD PA SELL 1200 Units @3.0600	3,672.00	50.00	24.00	26.00	85.0	22.10
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$32,077.91	\$450.00	\$96.00	\$354.00		\$300.90
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/02/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	PACIFIC FUNDS HIGH INCOME A BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	85.0	1.34
04/02/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	PACIFIC FUNDS SMALL/ MID CAP A BUY 1 Units @0.0000	0.00	1.60	0.00	1.60	85.0	1.36
04/02/2018	***-**-2660 - COILE, TIM ACCOUNT #: LSR012461	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	2.12	0.00	2.12	85.0	1.80
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5.30	\$0.00	\$5.30		\$4.50
*** BROKER TRANSACTIONS TOTAL ***			\$284,014.82	\$3,540.30	\$649.40	\$2,890.90		\$2,439.64



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$2,439.64
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$2,439.64
Year-to-date Earnings								\$24,776.47