

**COMMISSION STATEMENT****July 31, 2018**JEFFREY, K119 KAUFMAN
801 Gray Oak DriveBROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	154.14	1.54	0.00	1.54	90.0	1.39
07/11/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	92.49	0.92	0.00	0.92	90.0	0.83
07/11/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
07/11/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
07/11/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.83	0.28	0.00	0.28	90.0	0.25
07/11/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.83	0.28	0.00	0.28	90.0	0.25
07/11/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
07/11/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
07/11/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
07/11/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.99	0.41	0.00	0.41	90.0	0.37
07/02/2018	DEUSCHLE, RONNIE	AM FUNDS AMERICAN BALANCED A	20,000.00	750.00	0.00	750.00	90.0	675.00
07/11/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	351.92	3.52	0.00	3.52	90.0	3.17
07/11/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	150.82	1.51	0.00	1.51	90.0	1.36
07/11/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	68.14	0.68	0.00	0.68	90.0	0.61
07/11/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	68.14	0.68	0.00	0.68	90.0	0.61
07/17/2018	MIKUS, BARBARA	AM FUNDS AMERICAN BALANCED A	14,000.00	525.00	0.00	525.00	90.0	472.50
07/11/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
07/11/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
07/11/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
07/11/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
07/11/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	43.58	0.44	0.00	0.44	90.0	0.40
07/11/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	43.58	0.44	0.00	0.44	90.0	0.40
07/11/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	45.93	0.46	0.00	0.46	90.0	0.41
07/11/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	22.97	0.23	0.00	0.23	90.0	0.21
07/11/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
07/11/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/11/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	62.47	0.62	0.00	0.62	90.0	0.56
07/11/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	62.47	0.62	0.00	0.62	90.0	0.56
07/11/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	31.68	0.32	0.00	0.32	90.0	0.29
07/11/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	31.68	0.32	0.00	0.32	90.0	0.29
07/02/2018	DEUSCHLE, RONNIE	AM FUNDS GROWTH FUND OF AMERICA A	10,000.00	375.00	0.00	375.00	90.0	337.50
07/11/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	91.87	0.92	0.00	0.92	90.0	0.83
07/11/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	45.93	0.46	0.00	0.46	90.0	0.41
07/11/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
07/11/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
07/11/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
07/11/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
07/11/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
07/11/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
07/11/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	76.49	0.76	0.00	0.76	90.0	0.68
07/11/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	76.49	0.76	0.00	0.76	90.0	0.68
07/11/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	54.10	0.54	0.00	0.54	90.0	0.49
07/11/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	54.10	0.54	0.00	0.54	90.0	0.49
07/13/2018	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
07/13/2018	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
07/11/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
07/11/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
07/11/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
07/11/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
07/02/2018	DEUSCHLE, RONNIE	AM FUNDS NEW ECONOMY A	10,000.00	375.00	0.00	375.00	90.0	337.50
07/11/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
07/11/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
07/11/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
07/11/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
07/11/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	91.87	0.92	0.00	0.92	90.0	0.83
07/11/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	45.93	0.46	0.00	0.46	90.0	0.41
07/11/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
07/11/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
07/11/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/11/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
07/11/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
07/11/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
07/02/2018	DEUSCHLE, RONNIE	AM FUNDS SMALLCAP WORLD A	10,000.00	375.00	0.00	375.00	90.0	337.50
07/11/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	31.58	0.32	0.00	0.32	90.0	0.29
07/11/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	31.58	0.32	0.00	0.32	90.0	0.29
07/11/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	76.56	0.77	0.00	0.77	90.0	0.69
07/11/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	38.28	0.38	0.00	0.38	90.0	0.34
* MUTUAL FUNDS SUBTOTAL *			\$68,555.94	\$2,454.60	\$0.00	\$2,454.60		\$2,209.18

PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE

06/25/2018	ANDING, MARY DIANE	PAC LIFE VUL	25,000.00	500.00	0.00	500.00	90.0	450.00
06/25/2018	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
06/25/2018	KING, CALEB	PAC LIFE VUL	6,369.35	7.64	0.00	7.64	90.0	6.88
06/25/2018	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
06/25/2018	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
06/25/2018	LAFFERTY, JILL	PAC LIFE VUL	1,554.75	3.11	0.00	3.11	90.0	2.80
06/25/2018	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/25/2018	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
06/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	1.36	0.00	1.36	90.0	1.22
06/25/2018	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
06/25/2018	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
07/02/2018	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
07/02/2018	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/02/2018	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
07/02/2018	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
07/02/2018	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
07/02/2018	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
07/02/2018	MCNERNEY, ALYSSA	PAC LIFE VUL	134.72	4.04	0.00	4.04	90.0	3.64

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
07/02/2018	MCNERNEY, ALYSSA	PAC LIFE VUL	15.28	0.46	0.00	0.46	90.0	0.41
07/02/2018	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
07/02/2018	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
07/02/2018	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
07/03/2018	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
07/06/2018	LEE, ALICE	PAC LIFE VUL	1,100.00	22.00	0.00	22.00	90.0	19.80
07/06/2018	LEE, HOWARD	PAC LIFE VUL	1,030.00	30.90	0.00	30.90	90.0	27.81
07/06/2018	LEE, HOWARD	PAC LIFE VUL	903.00	27.09	0.00	27.09	90.0	24.38
07/06/2018	STEWART, CHAD	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
07/09/2018	LEE, ALICE	PAC LIFE VUL	25,807.03	51.61	0.00	51.61	90.0	46.45
07/09/2018	LEE, HOWARD	PAC LIFE VUL	11,563.99	23.13	0.00	23.13	90.0	20.82
07/09/2018	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
07/09/2018	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
07/09/2018	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
07/09/2018	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
07/09/2018	GUIER, CLINT	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
07/09/2018	CALLIS, ANNIS	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
07/09/2018	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
07/09/2018	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
07/13/2018	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
07/16/2018	CALLIS, ANNIS	PAC LIFE VUL	15,414.70	30.83	0.00	30.83	90.0	27.75
07/16/2018	MURRAY, JEFFREY	PAC LIFE VUL	584.57	11.69	0.00	11.69	90.0	10.52
07/18/2018	HALL, ELLEN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/18/2018	GRIFFITH, CHERYL	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
07/20/2018	KILEY, CHRISTINE	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
07/20/2018	GAMM, SKYLAR	PAC LIFE VUL	7.37	0.15	0.00	0.15	90.0	0.14
07/20/2018	GAMM, SKYLAR	PAC LIFE VUL	417.63	87.70	0.00	87.70	90.0	78.93
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$97,289.27	\$959.09	\$0.00	\$959.09		\$863.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	16.83	0.00	16.83	90.0	15.15
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	18.92	0.00	18.92	90.0	17.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$35.75	\$0.00	\$35.75		\$32.18

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
07/05/2018	MCMILLION, CORENA	PAC LIFE 69448B175	0.00	76.41	0.00	76.41	90.0	68.77
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$76.41	\$0.00	\$76.41		\$68.77
*** DIRECT TRANSACTIONS TOTAL ***			\$165,845.21	\$3,525.85	\$0.00	\$3,525.85		\$3,173.33

SPLIT TRANSACTIONS**BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

07/10/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	22.00	0.00	22.00	90.0	19.80
07/11/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.65	0.12	0.00	0.12	90.0	0.11
07/11/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.65	0.12	0.00	0.12	90.0	0.11
07/13/2018	BAILEY, JASON	AM FUNDS AMERICAN BALANCED A	73,850.00	1,116.98	0.00	1,116.98	90.0	1,005.28
07/11/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
07/11/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.15	0.00	0.15	90.0	0.14
07/11/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	34.88	0.19	0.00	0.19	90.0	0.17
07/11/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	34.88	0.19	0.00	0.19	90.0	0.17
07/13/2018	BAILEY, JASON	AM FUNDS EUROPACIFIC GROWTH FUND A	3,900.00	58.99	0.00	58.99	90.0	53.09
07/10/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
07/11/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	53.20	0.29	0.00	0.29	90.0	0.26
07/11/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	53.20	0.29	0.00	0.29	90.0	0.26
07/11/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	82.41	0.45	0.00	0.45	90.0	0.41
07/11/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	82.41	0.45	0.00	0.45	90.0	0.41
07/11/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	27.00	0.15	0.00	0.15	90.0	0.14
07/11/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	20.25	0.11	0.00	0.11	90.0	0.10
07/11/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
07/11/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
07/11/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
07/11/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
07/11/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
07/11/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
07/11/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.26	0.00	0.26	90.0	0.23
07/11/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.26	0.00	0.26	90.0	0.23
07/11/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24
07/11/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	48.96	0.27	0.00	0.27	90.0	0.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
07/11/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	18.26	0.10	0.00	0.10	90.0	0.09
07/11/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	18.26	0.10	0.00	0.10	90.0	0.09
07/11/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	75.99	0.42	0.00	0.42	90.0	0.38
07/11/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	32.57	0.18	0.00	0.18	90.0	0.16
07/11/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.98	0.04	0.00	0.04	90.0	0.04
07/11/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.98	0.04	0.00	0.04	90.0	0.04
07/11/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	48.01	0.26	0.00	0.26	90.0	0.23
07/11/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	48.01	0.26	0.00	0.26	90.0	0.23
07/11/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	35.75	0.20	0.00	0.20	90.0	0.18
07/11/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	35.75	0.20	0.00	0.20	90.0	0.18
07/11/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
07/11/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	43.20	0.24	0.00	0.24	90.0	0.22
07/11/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
07/11/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
07/11/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
07/11/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	40.32	0.22	0.00	0.22	90.0	0.20
07/13/2018	BAILEY, JASON	AM FUNDS GROWTH FUND OF AMERICA A	2,250.00	34.03	0.00	34.03	90.0	30.63
07/13/2018	BAILEY, JASON	AM FUNDS GROWTH FUND OF AMERICA A	3,900.00	58.99	0.00	58.99	90.0	53.09
07/16/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	300.00	1.98	0.00	1.98	90.0	1.78
07/16/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
07/16/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
07/19/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	10.74	0.00	10.74	90.0	9.67
07/19/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.40	0.00	0.40	90.0	0.36
07/19/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	10.74	0.00	10.74	90.0	9.67
07/19/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	89.91	1.85	0.00	1.85	90.0	1.67
07/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
07/20/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
07/11/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	157.71	0.87	0.00	0.87	90.0	0.78
07/11/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	47.31	0.26	0.00	0.26	90.0	0.23
07/11/2018	ZELLMER, RIANNON	AM FUNDS ICA A	21.19	0.12	0.00	0.12	90.0	0.11
07/11/2018	ZELLMER, RIANNON	AM FUNDS ICA A	21.19	0.12	0.00	0.12	90.0	0.11
07/11/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.66	0.12	0.00	0.12	90.0	0.11
07/11/2018	WAHLIG, KACEY	AM FUNDS ICA A	21.66	0.12	0.00	0.12	90.0	0.11

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
07/11/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
07/11/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.10	0.00	0.10	90.0	0.09
07/11/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	45.02	0.25	0.00	0.25	90.0	0.23
07/11/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	45.02	0.25	0.00	0.25	90.0	0.23
07/13/2018	BAILEY, JASON	AM FUNDS NEW ECONOMY A	3,900.00	58.99	0.00	58.99	90.0	53.09
07/19/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	10.74	0.00	10.74	90.0	9.67
07/19/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.40	0.00	0.40	90.0	0.36
07/19/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	10.74	0.00	10.74	90.0	9.67
07/19/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	89.92	1.85	0.00	1.85	90.0	1.67
07/11/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
07/11/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
07/13/2018	BAILEY, JASON	AM FUNDS NEW PERSPECTIVE A	3,900.00	58.99	0.00	58.99	90.0	53.09
07/11/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	27.00	0.15	0.00	0.15	90.0	0.14
07/11/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	20.25	0.11	0.00	0.11	90.0	0.10
07/11/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	60.90	0.34	0.00	0.34	90.0	0.31
07/11/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	36.54	0.20	0.00	0.20	90.0	0.18
07/13/2018	BAILEY, JASON	AM FUNDS NEW WORLD A	3,900.00	58.99	0.00	58.99	90.0	53.09
07/10/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
07/11/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
07/11/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.10	0.00	0.10	90.0	0.09
07/11/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.15	0.00	0.15	90.0	0.14
07/11/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
07/13/2018	BAILEY, JASON	AM FUNDS SMALLCAP WORLD A	3,900.00	58.99	0.00	58.99	90.0	53.09
07/16/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	300.00	1.98	0.00	1.98	90.0	1.78
07/16/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
06/28/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.30	0.00	0.30	90.0	0.27
06/28/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
06/28/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.23	0.00	8.23	90.0	7.41
06/28/2018	CARSON, SEDEL	PAC LIFE VUL	55.00	0.30	0.00	0.30	90.0	0.27
06/28/2018	CARSON, MATTHEW	PAC LIFE VUL	7.57	0.04	0.00	0.04	90.0	0.04
06/28/2018	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.23	0.00	8.23	90.0	7.41
07/02/2018	MCNERNEY, DASAN	PAC LIFE VUL	200.00	3.30	0.00	3.30	90.0	2.97
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$107,159.41	\$1,635.65	\$0.00	\$1,635.65		\$1,472.16

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL #433</u>								
04/27/2018	HOLMES, LINDA	AM FUNDS AMERICAN BALANCED A	250.00	4.78	0.00	4.78	90.0	4.30
* B167-KELS/JEFF/JASO BOSM/KAUF/KEMBL SUBTOTAL *			\$250.00	\$4.78	\$0.00	\$4.78		\$4.30
*** SPLIT TRANSACTIONS TOTAL ***			\$107,409.41	\$1,640.43	\$0.00	\$1,640.43		\$1,476.46

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: K146-JASON KEMBLE #348</u>								
07/10/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
07/10/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
07/10/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
07/10/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
07/05/2018	MCMILLION, CORENA	PAC LIFE 69448B175	0.00	76.41	0.00	76.41	90.0	30.56
* K146-JASON KEMBLE SUBTOTAL *			\$300.00	\$89.17	\$0.00	\$89.17		\$35.66
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$89.17	\$0.00	\$89.17		\$35.66

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$3,173.33
Split Transactions	\$1,476.46
Override Transactions	\$35.66
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,685.45
Year-to-date Gross	\$107,054.38
Year-to-date Earnings	\$102,624.44

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