

## COMMISSION STATEMENT

August 31, 2017

WILLIAM DIPENICE  
110 SOUTH BUCKHOUT STREET

BROKER #: 74  
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/08/2017 75502048	FERRUZZI, KENNETH	GILEAD SCIENCES INC	S	1,244.81	0.00	0.00	0.00	80.0	0.00
07/27/2017 75502050	DIPENICE, WILLIAM	BRISTOL MYERS SQUIBB CO	B	2,459.21	0.00	0.00	0.00	80.0	0.00
08/16/2017 75502050	DIPENICE, WILLIAM	CHARTER COMMUNICATIONS INC NEW CL A S	S	1,993.96	0.00	0.00	0.00	80.0	0.00
08/17/2017 75502050	DIPENICE, WILLIAM	AIR PRODUCTS & CHEMICALS INC	B	3,664.19	0.00	0.00	0.00	80.0	0.00
08/08/2017 75502051	DIPENICE, NINA	GILEAD SCIENCES INC	S	2,489.62	0.00	0.00	0.00	80.0	0.00
08/08/2017 75502083	MAISELL, ADRIANE	GILEAD SCIENCES INC	S	1,098.36	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$12,950.15	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: MISCELLANEOUS

08/15/2017	VARIOUS, DIPENICE	ADVISORY FEES	B	0.00	581.33	0.00	581.33	80.0	465.06
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$581.33	\$0.00	\$581.33		\$465.06
*** BROKER TRANSACTIONS TOTAL ***				\$12,950.15	\$581.33	\$0.00	\$581.33		\$465.06

ADJUSTMENTS

08/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-303.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$465.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-303.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$162.06</b>
<b>Year-to-date Earnings</b>	<b>\$201.25</b>