



# COMMISSION STATEMENT

## July 16, 2018

RONALD DRAKE  
6968 Bolivar Road  
Chittenango, NY 13037

BROKER #: A36  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @35.3400						
07/02/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 2 Units @28.1200						
07/05/2018	***-**-0911 - SPAZIANI,	AMERICAN BALANCED FUND-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 5 Units @28.5300						
07/02/2018	***-**-9408 - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 5 Units @10.4800						
07/05/2018	***-**-0911 - SPAZIANI,	AMERICAN HIGH-INCOME TRUST-A	80.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: 00082570536	BUY 8 Units @10.5100						
07/02/2018	***-**-6469 - BENNETT, DAVID	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088370668	BUY 1 Units @43.1400						
07/02/2018	***-**-9408 - SNIZEK,	AMERICAN MUTUAL FUND-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 1 Units @42.1300						
07/02/2018	***-**-2119 - BENNETT, COLLEEN	AMERICAN MUTUAL FUND-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00088366890	BUY 1 Units @43.1400						
07/02/2018	***-**-3246 - SGROI, TERESA	CAPITAL INCOME BUILDER-A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 00089976714	BUY 1 Units @63.2900						
07/05/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 2 Units @65.8300						
07/02/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 00085099445	BUY 1 Units @56.0400						
07/05/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	55.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: 00082570536	BUY 1 Units @56.8100						
07/02/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	50.00	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 00086643595	BUY 2 Units @23.7100						
07/05/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	75.0	3.80
	ACCOUNT #: 00082570536	BUY 6 Units @23.8600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$990.00</b>	<b>\$37.04</b>	<b>\$0.00</b>	<b>\$37.04</b>		<b>\$27.84</b>



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/30/2018	***-**-5453 - O'HARA, DARLENE	CAPITAL APPRECIATION A	0.00	3.69	0.00	3.69	75.0	2.77
	ACCOUNT #: 03209265036	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	0.00	10.20	0.00	10.20	75.0	7.65
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
06/30/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	0.00	6.60	0.00	6.60	75.0	4.95
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
06/30/2018	***-**-453B - OHARA, DARLENE	CAPITAL INCOME A	0.00	2.29	0.00	2.29	75.0	1.72
	ACCOUNT #: 03008795809	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	0.00	1.50	0.00	1.50	75.0	1.13
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
06/30/2018	***-**-481J - MERRILL, JASON	CAPITAL INCOME A	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: 03009145730	BUY 0 Units @0.0000						
06/30/2018	***-**-481J - MERRILL, JASON	CASH RESERVES A	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: 07603703689	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	CASH RESERVES A	0.00	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: 07603703755	BUY 0 Units @0.0000						
06/30/2018	***-**-5453 - O'HARA, DARLENE	EQUITY INCOME FUND, INC. A	0.00	5.63	0.00	5.63	75.0	4.22
	ACCOUNT #: 02401404062	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	EQUITY INCOME FUND, INC. A	0.00	2.83	0.00	2.83	75.0	2.12
	ACCOUNT #: 08351548041	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	EQUITY INCOME FUND, INC. A	0.00	4.39	0.00	4.39	75.0	3.29
	ACCOUNT #: 08351548074	BUY 0 Units @0.0000						
06/30/2018	***-**-4932 - BAKER, STEVEN	EQUITY INCOME FUND, INC. A	0.00	6.94	0.00	6.94	75.0	5.21
	ACCOUNT #: 08352551208	BUY 0 Units @0.0000						
06/30/2018	***-**-453B - OHARA, DARLENE	EQUITY INCOME FUND, INC. A	0.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 08352560668	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	INTERNATIONAL DIVERSIFIED FUND N	0.00	1.26	0.00	1.26	75.0	0.95
	ACCOUNT #: 01980118393	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	INTERNATIONAL DIVERSIFIED FUND N	0.00	0.04	0.00	0.04	75.0	0.03
	ACCOUNT #: 01980118393	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	MAIN STREET FUND A	0.00	2.95	0.00	2.95	75.0	2.21
	ACCOUNT #: 04206247879	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-481J - MERRILL, JASON	OPP PORT SERIES: MOD INV FD A	0.00	40.87	0.00	40.87	75.0	30.65
	ACCOUNT #: 05450490435	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	OPP PORT SERIES: MOD INV FD A	0.00	20.48	0.00	20.48	75.0	15.36
	ACCOUNT #: 05450490490	BUY 0 Units @0.0000						
06/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER CORE BOND FUND A	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: 02851702085	BUY 0 Units @0.0000						
06/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER CORE BOND FUND A	0.00	1.39	0.00	1.39	75.0	1.04
	ACCOUNT #: 02851702096	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER CORE BOND FUND A	0.00	0.77	0.00	0.77	75.0	0.58
	ACCOUNT #: 02852063622	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	7.02	0.00	7.02	75.0	5.27
	ACCOUNT #: 07859653204	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	0.66	0.00	0.66	75.0	0.50
	ACCOUNT #: 07859845440	BUY 0 Units @0.0000						
06/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER DISCOVERY CLASS A	0.00	7.56	0.00	7.56	75.0	5.67
	ACCOUNT #: 07453729187	BUY 0 Units @0.0000						
06/30/2018	***-**-481J - MERRILL, JASON	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	3.83	0.00	3.83	75.0	2.87
	ACCOUNT #: 01951261073	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	1.41	0.00	1.41	75.0	1.06
	ACCOUNT #: 01951261139	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER INTERNATIONAL DIVERSIFI	0.00	2.91	0.00	2.91	75.0	2.18
	ACCOUNT #: 01951897060	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	2.66	0.00	2.66	75.0	2.00
	ACCOUNT #: 07315104495	BUY 0 Units @0.0000						
06/30/2018	***-**-5453 - O'HARA, DARLENE	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	8.68	0.00	8.68	75.0	6.51
	ACCOUNT #: 08475741681	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	8.11	0.00	8.11	75.0	6.08
	ACCOUNT #: 08476672325	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	5.72	0.00	5.72	75.0	4.29
	ACCOUNT #: 08476711969	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	7.40	0.00	7.40	75.0	5.55
	ACCOUNT #: 02514166107	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/30/2018	***-**-70IN - KING, SUSAN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	4.83	0.00	4.83	75.0	3.62
	ACCOUNT #: 02305070453	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.24	0.00	0.24	75.0	0.18
	ACCOUNT #: 02305070475	BUY 0 Units @0.0000						
06/30/2018	***-**-4932 - BAKER, STEVEN	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	6.48	0.00	6.48	75.0	4.86
	ACCOUNT #: 02306510122	BUY 0 Units @0.0000						
06/30/2018	***-**-453B - OHARA, DARLENE	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	2.24	0.00	2.24	75.0	1.68
	ACCOUNT #: 02306518823	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	10.39	0.00	10.39	75.0	7.79
	ACCOUNT #: 05480060203	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	PORTFOLIO SERIES: MODERATE INVESTOR	0.00	1.18	0.00	1.18	75.0	0.89
	ACCOUNT #: 05480060203	BUY 0 Units @0.0000						
07/16/2018	***-**-4427 - ZOGBY, MICHAEL	QUALIFIED PLAN SUMMARY	0.00	4.99	0.00	4.99	75.0	3.74
	ACCOUNT #: 00060558718	BUY 0 Units @0.0000						
06/30/2018	***-**-70IN - KING, SUSAN	RISING DIVIDENDS FUND A	0.00	5.95	0.00	5.95	75.0	4.46
	ACCOUNT #: 02253478497	BUY 0 Units @0.0000						
06/30/2018	***-**-4932 - BAKER, STEVEN	RISING DIVIDENDS FUND A	0.00	7.20	0.00	7.20	75.0	5.40
	ACCOUNT #: 02254109468	BUY 0 Units @0.0000						
06/30/2018	***-**-453B - OHARA, DARLENE	RISING DIVIDENDS FUND A	0.00	2.45	0.00	2.45	75.0	1.84
	ACCOUNT #: 02254115661	BUY 0 Units @0.0000						
06/30/2018	***-**-33IN - KING, JOHN	RISING DIVIDENDS FUND A	0.00	3.48	0.00	3.48	75.0	2.61
	ACCOUNT #: 02254507140	BUY 0 Units @0.0000						
06/30/2018	***-**-030J - MERRILL,	SELECT VALUE FUND A	0.00	1.58	0.00	1.58	75.0	1.19
	ACCOUNT #: 06000782386	BUY 0 Units @0.0000						
06/30/2018	***-**-481J - MERRILL, JASON	SELECT VALUE FUND A	0.00	1.51	0.00	1.51	75.0	1.13
	ACCOUNT #: 06000782397	BUY 0 Units @0.0000						
06/30/2018	***-**-5453 - O'HARA, DARLENE	VALUE FUND A	0.00	6.19	0.00	6.19	75.0	4.64
	ACCOUNT #: 03751903044	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$230.98</b>	<b>\$0.00</b>	<b>\$230.98</b>		<b>\$173.26</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$990.00</b>	<b>\$268.02</b>	<b>\$0.00</b>	<b>\$268.02</b>		<b>\$201.10</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$201.10
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$201.10
						Year-to-date Earnings		\$36,694.11