Page No.



COMMISSION STATEMENT July 15, 2017

KEITH LAYMAN

4321 Flagstaff

Fort Wayne, IN 46815

BROKER #: L128

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
07/03/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
06/26/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
06/28/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	1,000.00	50.00	22.00	28.00	90.0	25.20
06/28/2017	JOHNSON, JAMES	AM FUNDS AMERICAN BALANCED A	4,000.00	200.00	22.00	178.00	90.0	160.20
06/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
06/28/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
06/29/2017	MCFEETERS, RYAN	AM FUNDS AMERICAN BALANCED A	75.00	3.19	0.00	3.19	90.0	2.87
06/30/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
06/30/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
07/03/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
06/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
06/30/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
07/03/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
07/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
07/03/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
07/06/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
07/06/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
07/03/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
06/26/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
06/26/2017	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	250.00	12.50	0.00	12.50	90.0	11.25
06/29/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
06/29/2017	MCFEETERS, VALERIE	AM FUNDS GROWTH FUND OF AMERICA A	75.00	3.19	0.00	3.19	90.0	2.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

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07/03/2017 KINDSCHY, CYNTHIA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.88 0.00 1.07/06/2017 SIEBER, AARON AM FUNDS GROWTH FUND OF AMERICA A 200.34 3.21 0.00 3.07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 200.34 3.21 0.00 3.07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 2.07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 2.07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 1.07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.04 1.30 0.00 1.07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.05 1.30 0.00 1.07/06/2017 PATERSON, JANITA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.38 0.00 1.06/30/2017 PATERSON, JANITA AM FUNDS INCOME FUND OF AMERICA A 50.00 1.38 0.00 1.06/30/2017 PATERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	RATE	NET MMISSION RATE (BROKER COMMISSION
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07/06/2017 SIEBER, AARON AM FUNDS GROWTH FUND OF AMERICA A 200.34 3.21 0.00 3.00 0.00 0.00 0.00 0.00 0.00 0.0	88 90.0	1.88 90.0	1.69
07/06/2017 SIEBER, AARON AM FUNDS GROWTH FUND OF AMERICA A 200.34 3.21 0.00 20 107/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 20 107/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 181.04 1.30 0.00 21 107/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.04 1.30 0.00 1.00 107/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.05 1.30 0.00 1.07/07/2017 HERBER, TRINA AM FUNDS GROWTH FUND OF AMERICA A 81.05 1.30 0.00 1.07/07/2017 HERBER, TRINA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.38 0.00 1.07/07/2017 PATTERSON, JANITA AM FUNDS INCOME FUND OF AMERICA A 50.00 1.38 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	88 90.0	1.88 90.0	1.69
07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 2.70 07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 2.70 07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.04 1.30 0.00 1.00 0.00 0.00 0.00 0.00 0.00	21 90.0	3.21 90.0	2.89
07/06/2017 TRACEY, JAMES AM FUNDS GROWTH FUND OF AMERICA A 174.09 2.79 0.00 2.00 07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.04 1.30 0.00 1.00 07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.05 1.30 0.00 1.00 07/07/2017 HERBER, TRINA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.38 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS INCOME FUND OF AMERICA A 50.00 1.38 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS INCOME FUND OF AMERICA A 50.00 1.00 0.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	21 90.0	3.21 90.0	2.89
07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.04 1.30 0.00 1.00 07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.05 1.30 0.00 1.00 07/07/2017 HERBER, TRINA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.38 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 06/30/2017 KINDSCHY, CYNTHIA AM FUNDS NEW ECONOMY A 75.00 1.00 0.00 1.00 06/30/2017 HEIDENREICH, PATRICK AM FUNDS NEW ECONOMY A 75.00 3.19 0.00 1.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 1.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 50.00 1.88 0.00 1.00 06/30/2017 HUNTER, JENNIFER AM FUNDS SHORT TERM BND FUND OF AM 50.00 1.37 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHALICAP	79 90.0	2.79 90.0	2.51
07/06/2017 RAMEY, TRAVIS AM FUNDS GROWTH FUND OF AMERICA A 81.05 1.30 0.00 1.00 07/07/2017 HERBER, TRINA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.38 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 06/30/2017 HEIDENEICH, PATRICK AM FUNDS NEW ECONOMY A 75.00 3.19 0.00 3.3 07/03/2017 DAGRR, DONNA AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 5.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 50.00 1.88 0.00 1.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 50.00 13.75 0.00 1.3 06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 50.00 1.00 0.00 1.0 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS WASHINGTON M	79 90.0	2.79 90.0	2.51
07/07/2017 HERBER, TRINA AM FUNDS GROWTH FUND OF AMERICA A 50.00 1.38 0.00 1.0 06/30/2017 PATTERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.0 06/30/2017 PATTERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.0 06/30/2017 KINDSCHY, CYNTHIA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.0 06/30/2017 HEIDENREICH, PATRICK AM FUNDS NEW ECONOMY A 75.00 3.19 0.00 3.0 07/03/2017 DAGER, DONNA AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 5.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 500.00 13.75 0.00 1.3 06/30/2017 FATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 500.00 1.00 0.00 1.3 06/30/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.3 07/03/2017 KINDSCHY, CYNTHIA AM	30 90.0	1.30 90.0	1.17
06/30/2017 PATTERSON, JANITA AM FUNDS INCOME FUND OF AMERICA A 50.00 1.38 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	30 90.0	1.30 90.0	1.17
06/30/2017 PATTERSON, JANITA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 06/30/2017 HEIDENREICH, PATRICK AM FUNDS NEW ECONOMY A 75.00 3.19 0.00 3.00 07/03/2017 DAGER, DONNA AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 5.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 500.00 13.75 0.00 1.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 500.00 13.75 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 500.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.30 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 1.20 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHI	38 90.0	1.38 90.0	1.24
07/03/2017 KINDSCHY, CYNTHIA AM FUNDS INTERMEDIATE BOND FUND OF 50.00 1.00 0.00 1.00 06/30/2017 HEIDENREICH, PATRICK AM FUNDS NEW ECONOMY A 75.00 3.19 0.00 3.00 07/03/2017 DAGER, DONNA AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 5.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 500.00 1.88 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS SHORT TERM BND FUND OF AM 500.00 1.00 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 500.00 1.20 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS SHALLCAP WORLD A 500.00 13.75 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 1.20 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHI	38 90.0	1.38 90.0	1.24
06/30/2017 HEIDENREICH, PATRICK AM FUNDS NEW ECONOMY A 75.00 3.19 0.00 3.00 07/03/2017 DAGER, DONNA AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 5.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 500.00 13.75 0.00 13.75 06/30/2017 GOODMAN, ROBERT AM FUNDS SHORT TERM BND FUND OF AM 500.00 1.00 0.00 13.75 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 1.3 07/03/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 1.2 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4.2 06/30/2017 REIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.3 07/06/2017 RAMEY, TRAVIS AM FUNDS W	00 90.0	1.00 90.0	0.90
07/03/2017 DAGER, DONNA AM FUNDS NEW ECONOMY A 100.00 5.00 0.00 5.00 07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 50.00 1.88 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS NEW WORLD A 500.00 13.75 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 500.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 1.3 07/03/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 1.2 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4.2 06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.3 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGT	00 90.0	1.00 90.0	0.90
07/03/2017 HUNTER, JENNIFER AM FUNDS NEW WORLD A 50.00 1.88 0.00 1.00 07/03/2017 GOODMAN, ROBERT AM FUNDS NEW WORLD A 500.00 13.75 0.00 13.00 06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 50.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.3 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 1.2 07/03/2017 GALENTINE, ASHLEY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 1.2 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 1.3 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.3 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1.3 06/30/2017 FREEZE, CORTNEY ASCENSUS	19 90.0	3.19 90.0	2.87
07/03/2017 GOODMAN, ROBERT AM FUNDS NEW WORLD A 500.00 13.75 0.00 13.75 0.00 1.00 06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 50.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	00 90.0	5.00 90.0	4.50
06/30/2017 PATTERSON, JANITA AM FUNDS SHORT TERM BND FUND OF AM 50.00 1.00 0.00 1.00 07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.20 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 13 07/03/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 12 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4 06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 3 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1 06/30/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1 06/30/2017 SAYA, CHRISTINE	88 90.0	1.88 90.0	1.69
07/03/2017 KINDSCHY, CYNTHIA AM FUNDS SHORT TERM BND FUND OF AM 60.00 1.20 0.00 1.20 07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 13.75 07/03/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 12.75 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4.00 06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 3.00 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.00 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1.00 06/30/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1.00 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0.00 06/27/2017 MARKS, GAREN </td <td>75 90.0</td> <td>13.75 90.0</td> <td>12.38</td>	75 90.0	13.75 90.0	12.38
07/03/2017 GOODMAN, ROBERT AM FUNDS SMALLCAP WORLD A 500.00 13.75 0.00 13.75 07/03/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 12.75 06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4.25 06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 3.19 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.00 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1.00 06/30/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 18.00 0.86 0.00 0.00 / SIEGER, NORMAN ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 0.00 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0.00 06/27/2017 MARKS, GAREN	00 90.0	1.00 90.0	0.90
07/03/2017 GALENTINE, ASHLEY AM FUNDS SMALLCAP WORLD A 300.00 12.75 0.00 12.06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4.06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 3.07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.04 1.30 0.00 1.06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1.06/30/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 18.00 0.86 0.00 0.86 0.00 0.00 1.06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1.06/30/2017 MARKS, GAREN ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0.00 0.00 0.00 0.00 0.00 0.0	20 90.0	1.20 90.0	1.08
06/29/2017 SAMUDIO, SHERRY AM FUNDS WASHINGTON MUTUAL INVESTOR 100.00 4.25 0.00 4 06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 3 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1 06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.04 1.30 0.00 1 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1 06/30/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	75 90.0	13.75 90.0	12.38
06/30/2017 HEIDENREICH, PATRICK AM FUNDS WASHINGTON MUTUAL INVESTOR 75.00 3.19 0.00 3.00 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1.00 06/27/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.04 1.30 0.00 1.00 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1.00 06/30/2017 FREEZE, CORTNEY ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1.00 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0.00 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1.00	75 90.0	12.75 90.0	11.48
07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.05 1.30 0.00 1 07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.04 1.30 0.00 1 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1 06/30/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 18.00 0.86 0.00 0 / / SIEGER, NORMAN ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	25 90.0	4.25 90.0	3.83
07/06/2017 RAMEY, TRAVIS AM FUNDS WASHINGTON MUTUAL INVESTOR 81.04 1.30 0.00 1 06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1 06/30/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 18.00 0.86 0.00 0 / / SIEGER, NORMAN ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	19 90.0	3.19 90.0	2.87
06/27/2017 MARKS, GAREN ASCENSUS COLLEGE SAV 194524518 22.50 1.07 0.00 1.00 06/30/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 18.00 0.86 0.00 0.00 / / SIEGER, NORMAN ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1.00 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0.00 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1.00	30 90.0	1.30 90.0	1.17
06/30/2017 FREEZE, CORTNEY ASCENSUS COLLEGE SAV 194524518 18.00 0.86 0.00 0.00 / / SIEGER, NORMAN ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	30 90.0	1.30 90.0	1.17
/ / SIEGER, NORMAN ASCENSUS INA AMERICAN FUNDS EUROPAC 0.00 1.90 0.00 1 06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	07 90.0	1.07 90.0	0.96
06/30/2017 SAYA, CHRISTINE ASCENSUS INA AMERICAN FUNDS EUROPAC 2.50 0.12 0.00 0 06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	90.0	0.86 90.0	0.77
06/27/2017 MARKS, GAREN ASCENSUS INA INTERNATIONAL EQ INDEX 22.50 1.07 0.00 1	90.0	1.90 90.0	1.71
	12 90.0	0.12 90.0	0.11
06/30/2017 FREEZE CORTNEY ASCENSIS INA INTERNATIONAL EO INDEX 25.00 1.19 0.00 1	07 90.0	1.07 90.0	0.96
The state of the s	19 90.0	1.19 90.0	1.07
06/27/2017 MARKS, GAREN ASCENSUS INA PIMCO TOTAL RETURN POR 45.00 1.46 0.00 1	46 90.0	1.46 90.0	1.31
06/30/2017 SAYA, CHRISTINE ASCENSUS INA PIMCO TOTAL RETURN POR 5.00 0.16 0.00	16 90.0	0.16 90.0	0.14
07/07/2017 GOODMAN, LAUREN ASCENSUS INA PIMCO TOTAL RETURN POR 50.00 1.63 0.00 1	63 90.0	1.63 90.0	1.47

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

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PRODUCT CATEGORY: MUTUAL FUNDS 07/07/2017 GOODMAN, LAUREN ASCENSUS INA PIMCO TOTAL RETURN POR 50.00 1.63 0.00 1.63 07/07/2017 SIEGER, NORMAN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.20 06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 15.00 0.49 0.00 0.49 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA TROME PRICE LG CAP GR 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS INA TROME PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 GALENTHER, ASSILEY ASCENSUS INA TROME PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 SIEGER, NORMAN ASCENSUS INA TROME PRICE LG CAP GR 160.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TROME PRICE LG CAP GR 160.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TROME PRICE LG CAP GR 160.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TROME PRICE LG CAP GR 160.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 07/07/2017 MARKS, GAREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 FREEZE, CORTINY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 0.00 2.30 0.00 2.30 0.00 2.30 07/03/2017 FREEZE, CORTINY ASCENSUS-INA BLACKROCK	BROKER ATE COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	GROSS COMMISSION	INVESTMENT AMOUNT	INVESTMENT	CLIENT	DATE
### PRODUCT CATEGORY: MUTUAL FUNDS 07/07/2017 GOODMAN, LAUREN ASCENSUS INA PIMCO TOTAL RETURN POR 50.00 1.63 0.00 1.63 07/07/2017 SIEGER, NORMAN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 15.00 0.49 0.00 0.49 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/30/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE FRICE LIG CAP GR 22.50 1.07 0.00 0.02 07/03/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE FRICE LIG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 SIEGER, NORMAN ASCENSUS INA T ROWE FRICE LIG CAP GR 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS INA T ROWE FRICE LIG CAP GR 160.00 7.60 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTPOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA TIPS PORTPOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 22.50 1.07 0.00 0.00 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LIG CAP VALUE 50.00 2.38 0.00 2.30 0.00 2.30									
OT/07/2017 GOODMAN, LAUREN ASCENSUS INA PIMCO TOTAL RETURN POR 50.00 1.63 0.00 1.63 OT/07/2017 SIEGER, NORMAN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 OE/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 15.00 0.49 0.00 0.49 OF/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 OF/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 OF/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 OF/07/2017 MARKS, GAREN ASCENSUS INA TROWE PRICE LG CAP GR 22.50 1.07 0.00 1.07 OF/03/2017 SAYA, CHRISTINE ASCENSUS INA TROWE PRICE LG CAP GR 8.75 0.42 0.00 0.42 OF/07/2017 GALENTINE, ASHLEY ASCENSUS INA TROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 OF/07/2017 SIEGER, NORMAN ASCENSUS INA TROWE PRICE LG CAP GR 50.00 1.63 0.00 1.63 OF/07/2017 GOODMAN, LAUREN ASCENSUS INA TROWE PRICE LG CAP GR 50.00 1.63 0.00 1.63 OF/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL 50.00 1.63 0.00 1.63 OF/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL 50.00 1.63 0.00 1.63 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 0.00 OF/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 OF/07/						CTIONS	BROKER TRANS		
07/07/2017 SIEGER, NORMAN ASCENSUS INA PIMCO TOTAL RETURN POR 40.00 1.30 0.00 1.30 06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 15.00 0.49 0.00 0.49 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA TROWE PRICE LG CAP GR 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS INA TROWE PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS INA TROWE PRICE LG CAP GR 8.75 0.42 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TROWE PRICE LG CAP GR 160.00 7.60 0.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/22/2017 MARKS, GAREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/30/2017 GOODMAN, LAUREN<								regory: Mutual funds	PRODUCT CA
06/27/2017 MARKS, GAREN ASCENSUS INA SHORT TERM TREASURY IN 15.00 0.49 0.00 0.49 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/30/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS INA T ROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 07/07/2017 GILGER, NORMAN ASCENSUS INA T ROWE PRICE LG CAP GR 160.00 7.60 0.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 1.63 07/07/2017 GALE	0.0 1.4	90.0	1.63	0.00	1.63	50.00	ASCENSUS INA PIMCO TOTAL RETURN POR	GOODMAN, LAUREN	07/07/2017
07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARRS, GAREN ASCENSUS INA T ROWE PRICE LG CAP GR 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE PRICE LG CAP GR 8.75 0.42 0.00 2.38 07/07/2017 GALENTINE, ASHLEY ASCENSUS INA T ROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARRS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.63 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 2.38 07/07/2017 GOODMAN,	0.0 1.1	90.0	1.30	0.00	1.30	40.00	ASCENSUS INA PIMCO TOTAL RETURN POR	SIEGER, NORMAN	07/07/2017
07/07/2017 GOODMAN, LAUREN ASCENSUS INA SHORT TERM TREASURY IN 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA T ROWE PRICE LG CAP GR 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 SIEGER, NORMAN ASCENSUS INA T ROWE PRICE LG CAP GR 160.00 7.60 0.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/30/2017 SAYA, CHRISTINE ASCENSUS INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN,	0.0 0.4	90.0	0.49	0.00	0.49	15.00	ASCENSUS INA SHORT TERM TREASURY IN	MARKS, GAREN	06/27/2017
06/27/2017 MARKS, GAREN ASCENSUS INA T ROWE PRICE LG CAP GR 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS INA T ROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 07/07/2017 GEGER, NORMAN ASCENSUS INA T ROWE PRICE LG CAP GR 160.00 7.60 0.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODM	0.0 1.4	90.0	1.63	0.00	1.63	50.00	ASCENSUS INA SHORT TERM TREASURY IN	GOODMAN, LAUREN	07/07/2017
06/30/2017 SAYA, CHRISTINE ASCENSUS INA T ROWE PRICE LG CAP GR 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS INA T ROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS INA T ROWE PRICE LG CAP GR 160.00 7.60 0.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BILACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/07/32017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 S	0.0 1.4	90.0	1.63	0.00	1.63	50.00	ASCENSUS INA SHORT TERM TREASURY IN	GOODMAN, LAUREN	07/07/2017
07/03/2017 GALENTINE, ASHLEY ASCENSUS INA T ROWE PRICE LG CAP GR 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 FREEZE	0.0	90.0	1.07	0.00	1.07	22.50	ASCENSUS INA T ROWE PRICE LG CAP GR	MARKS, GAREN	06/27/2017
07/07/2017 SIEGER, NORMAN ASCENSUS INA T ROWE PRICE LG CAP GR 160.00 7.60 0.00 7.60 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 7.60 06/30/2017 FREEZE	0.0 0.3	90.0	0.42	0.00	0.42	8.75	ASCENSUS INA T ROWE PRICE LG CAP GR	SAYA, CHRISTINE	06/30/2017
07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP VALUE 160.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNE	0.0 2.1	90.0	2.38	0.00	2.38	50.00	ASCENSUS INA T ROWE PRICE LG CAP GR	GALENTINE, ASHLEY	07/03/2017
07/07/2017 GOODMAN, LAUREN ASCENSUS INA TIPS PORTFOLIO CL A 50.00 1.63 0.00 1.63 06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA EGRESUS PF CL 10.00 0.33 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JOHN	0.0 6.8	90.0	7.60	0.00	7.60	160.00	ASCENSUS INA T ROWE PRICE LG CAP GR	SIEGER, NORMAN	07/07/2017
06/27/2017 MARKS, GAREN ASCENSUS-INA BLACKROCK LG CAP VALUE 22.50 1.07 0.00 1.07 06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 0.33 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL A 47.00 2.23 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 2.20 -22.00 06/28/2017 KAUFFMAN,	0.0 1.4	90.0	1.63	0.00	1.63	50.00	ASCENSUS INA TIPS PORTFOLIO CL A	GOODMAN, LAUREN	07/07/2017
06/30/2017 SAYA, CHRISTINE ASCENSUS-INA BLACKROCK LG CAP VALUE 8.75 0.42 0.00 0.42 07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 2.38 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL 10.00 0.33 0.00 2.33 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL 10.00 0.33 0.00 2.33 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 1,000.00 20.00 22.00 -2.00 06/28/2017 KAUFFMAN, JOHN <td>0.0 1.4</td> <td>90.0</td> <td>1.63</td> <td>0.00</td> <td>1.63</td> <td>50.00</td> <td>ASCENSUS INA TIPS PORTFOLIO CL A</td> <td>GOODMAN, LAUREN</td> <td>07/07/2017</td>	0.0 1.4	90.0	1.63	0.00	1.63	50.00	ASCENSUS INA TIPS PORTFOLIO CL A	GOODMAN, LAUREN	07/07/2017
07/03/2017 GALENTINE, ASHLEY ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 160.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 0.33 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL A 47.00 2.23 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 1,000.00 20.00 22.00 -2.00 06/28/2017 KAUFFMAN, JOHN	0.0	90.0	1.07	0.00	1.07	22.50	ASCENSUS-INA BLACKROCK LG CAP VALUE	MARKS, GAREN	06/27/2017
07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 160.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 0.33 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL A 47.00 2.23 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 1,000.00 20.00 22.00 -2.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES <t< td=""><td>0.0 0.3</td><td>90.0</td><td>0.42</td><td>0.00</td><td>0.42</td><td>8.75</td><td>ASCENSUS-INA BLACKROCK LG CAP VALUE</td><td>SAYA, CHRISTINE</td><td>06/30/2017</td></t<>	0.0 0.3	90.0	0.42	0.00	0.42	8.75	ASCENSUS-INA BLACKROCK LG CAP VALUE	SAYA, CHRISTINE	06/30/2017
07/07/2017 GOODMAN, LAUREN ASCENSUS-INA BLACKROCK LG CAP VALUE 50.00 2.38 0.00 2.38 07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 160.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 0.33 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL A 47.00 2.23 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 1,000.00 20.00 22.00 -10.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 / / JACOT, ROBERT OPPENHE	0.0 2.1	90.0	2.38	0.00	2.38	50.00	ASCENSUS-INA BLACKROCK LG CAP VALUE	GALENTINE, ASHLEY	07/03/2017
07/07/2017 SIEGER, NORMAN ASCENSUS-INA BLACKROCK LG CAP VALUE 160.00 7.60 0.00 7.60 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.33 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.0 2.1	90.0	2.38	0.00	2.38	50.00	ASCENSUS-INA BLACKROCK LG CAP VALUE	GOODMAN, LAUREN	07/07/2017
06/30/2017 FREEZE, CORTNEY ASCENSUS-INA CORE BOND INDEX PF CL 10.00 0.33 0.00 0.33 06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL A 47.00 2.23 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 600.00 12.00 22.00 -10.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 / / JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 2.1	90.0	2.38	0.00	2.38	50.00	ASCENSUS-INA BLACKROCK LG CAP VALUE	GOODMAN, LAUREN	07/07/2017
06/30/2017 FREEZE, CORTNEY ASCENSUS-INA LG CAP INDEX PF CL A 47.00 2.23 0.00 2.23 / / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 600.00 12.00 22.00 -10.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 KAUFFMAN, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 / / JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 6.8	90.0	7.60	0.00	7.60	160.00	ASCENSUS-INA BLACKROCK LG CAP VALUE	SIEGER, NORMAN	07/07/2017
/ / MCFEETERS, VALERIE BLK CAPITAL APPRECIATION A 0.00 5.00 0.00 5.00 06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 600.00 12.00 22.00 -10.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 / / JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 0.3	90.0	0.33	0.00	0.33	10.00	ASCENSUS-INA CORE BOND INDEX PF CL	FREEZE, CORTNEY	06/30/2017
06/28/2017 KAUFFMAN, JAN DODGE & COX INCOME FUND 950.00 0.00 22.00 -22.00 06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 600.00 12.00 22.00 -10.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 / / JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 2.0	90.0	2.23	0.00	2.23	47.00	ASCENSUS-INA LG CAP INDEX PF CL A	FREEZE, CORTNEY	06/30/2017
06/28/2017 CRAWFORD, JOHN INFLATION LINKED BOND FUND A 600.00 12.00 22.00 -10.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 1,000.00 20.00 22.00 -2.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 / / JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 4.5	90.0	5.00	0.00	5.00	0.00	BLK CAPITAL APPRECIATION A	MCFEETERS, VALERIE	/ /
06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 1,000.00 20.00 22.00 -2.00 06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 // JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 -19.8	90.0	-22.00	22.00	0.00	950.00	DODGE & COX INCOME FUND	KAUFFMAN, JAN	06/28/2017
06/28/2017 KAUFFMAN, JOHN INFLATION LINKED BOND FUND A 900.00 18.00 22.00 -4.00 06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 // JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 -9.0	90.0	-10.00	22.00	12.00	600.00	INFLATION LINKED BOND FUND A	CRAWFORD, JOHN	06/28/2017
06/28/2017 JOHNSON, JAMES INFLATION LINKED BOND FUND A 4,800.00 96.00 22.00 74.00 // JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 -1.8	90.0	-2.00	22.00	20.00	1,000.00	INFLATION LINKED BOND FUND A	KAUFFMAN, JOHN	06/28/2017
// JACOT, ROBERT OPPENHEIMER CAPITAL APPRECIATION A 0.00 25.45 0.00 25.45	0.0 -3.6	90.0	-4.00	22.00	18.00	900.00	INFLATION LINKED BOND FUND A	KAUFFMAN, JOHN	06/28/2017
	0.0 66.6	90.0	74.00	22.00	96.00	4,800.00	INFLATION LINKED BOND FUND A	JOHNSON, JAMES	06/28/2017
	0.0 22.9	90.0	25.45	0.00	25.45	0.00	OPPENHEIMER CAPITAL APPRECIATION A	JACOT, ROBERT	/ /
// SCHUCH, AUTUMN OPPENHEIMER CAPITAL APPRECIATION A 0.00 10.62 0.00 10.62	0.0 9.5	90.0	10.62	0.00	10.62	0.00	OPPENHEIMER CAPITAL APPRECIATION A	SCHUCH, AUTUMN	/ /
/ / GOODLANDER, GERALD OPPENHEIMER CAPITAL APPRECIATION A 0.00 1.35 0.00 1.35	0.0 1.2	90.0	1.35	0.00	1.35	0.00	OPPENHEIMER CAPITAL APPRECIATION A	GOODLANDER, GERALD	/ /
/ / MCDONALD, TINA OPPENHEIMER CAPITAL APPRECIATION C 0.00 2.93 0.00 2.93	0.0 2.6	90.0	2.93	0.00	2.93	0.00	OPPENHEIMER CAPITAL APPRECIATION C	MCDONALD, TINA	/ /
/ / SEELY, CHARLES OPPENHEIMER CAPITAL INCOME FUND C 0.00 38.36 0.00 38.36	0.0 34.5	90.0	38.36	0.00	38.36	0.00	OPPENHEIMER CAPITAL INCOME FUND C	SEELY, CHARLES	/ /
/ / SEELY, CHARLES OPPENHEIMER CAPITAL INCOME FUND C 0.00 22.98 0.00 22.98	0.0 20.6	90.0	22.98	0.00	22.98	0.00	OPPENHEIMER CAPITAL INCOME FUND C	SEELY, CHARLES	/ /
/ / SEELY, CHARLES OPPENHEIMER CORPORATE BOND FUND C 0.00 17.22 0.00 17.22	0.0 15.5	90.0	17.22	0.00	17.22	0.00	OPPENHEIMER CORPORATE BOND FUND C	SEELY, CHARLES	/ /
/ / SEELY, CHARLES OPPENHEIMER CORPORATE BOND FUND C 0.00 11.40 0.00 11.40	0.0 10.2	90.0	11.40	0.00	11.40	0.00	OPPENHEIMER CORPORATE BOND FUND C	SEELY, CHARLES	/ /

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT C	ATEGORY: MUTUAL FUNDS							
/ /	ZINN, DIANE	OPPENHEIMER DISCOVERY A	0.00	1.03	0.00	1.03	90.0	0.93
/ /	ZINN, JEFFREY	OPPENHEIMER DISCOVERY A	0.00	1.03	0.00	1.03	90.0	0.93
/ /	JACOT, ROBERT	OPPENHEIMER DISCOVERY A	0.00	26.63	0.00	26.63	90.0	23.97
/ /	STEURY, MICHAEL	OPPENHEIMER EMERG MKTS INNOV	0.00	0.23	0.00	0.23	90.0	0.21
/ /	HODAPP, PETER	OPPENHEIMER EQUITY INCOME A	0.00	3.86	0.00	3.86	90.0	3.47
/ /	ZINN, DIANE	OPPENHEIMER GLOBAL A	0.00	1.14	0.00	1.14	90.0	1.03
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	1.14	0.00	1.14	90.0	1.03
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	2.13	0.00	2.13	90.0	1.92
/ /	MCDONALD, TINA	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	1.26	0.00	1.26	90.0	1.13
/ /	JACOT, CAROL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	9.48	0.00	9.48	90.0	8.53
/ /	JACOT, ROBERT	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	9.48	0.00	9.48	90.0	8.53
/ /	STEURY, MICHAEL	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.03	0.00	4.03	90.0	3.63
/ /	OAR, WARREN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.36	0.00	4.36	90.0	3.92
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	11.25	0.00	11.25	90.0	10.13
/ /	BERNDT, GARY	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	8.12	0.00	8.12	90.0	7.31
/ /	MCDONALD, TINA	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	1.24	0.00	1.24	90.0	1.12
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	16.52	0.00	16.52	90.0	14.87
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.71	0.00	0.71	90.0	0.64
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.21	0.00	4.21	90.0	3.79
/ /	STEURY, MICHAEL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.08	0.00	1.08	90.0	0.97
/ /	OAR, WARREN	OPPENHEIMER INTL BOND A	0.00	3.67	0.00	3.67	90.0	3.30
/ /	JACOT, ROBERT	OPPENHEIMER INTL GROWTH A	0.00	24.72	0.00	24.72	90.0	22.25
/ /	ZINN, DIANE	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.59	0.00	0.59	90.0	0.53
/ /	ZINN, JEFFREY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.59	0.00	0.59	90.0	0.53
/ /	BERNDT, GARY	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.92	0.00	4.92	90.0	4.43
/ /	JACOT, ROBERT	OPPENHEIMER MAIN STREET A	0.00	52.00	0.00	52.00	90.0	46.80
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET OPP A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET OPP A	0.00	1.50	0.00	1.50	90.0	1.35
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.65	0.00	1.65	90.0	1.49
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.56	0.00	2.56	90.0	2.30
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.56	0.00	2.56	90.0	2.30
/ /	STEURY, MICHAEL	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	9.34	0.00	9.34	90.0	8.41
/ /	SCHUELKE, THOMAS	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	3.26	0.00	3.26	90.0	2.93

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSA	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
/ /	HARTOG, MAX	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	7.39	0.00	7.39	90.0	6.65
/ /	SHOCK, JACK	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	2.83	0.00	2.83	90.0	2.55
/ /	GOODLANDER, GERALD	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	3.48	0.00	3.48	90.0	3.13
/ /	STEURY, MICHAEL	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	2.46	0.00	2.46	90.0	2.21
/ /	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	8.85	0.00	8.85	90.0	7.97
/ /	ZINN, DIANE	OPPENHEIMER RISING DIVIDENDS A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	ZINN, JEFFREY	OPPENHEIMER RISING DIVIDENDS A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	OAR, WARREN	OPPENHEIMER SENIOR FLOATING RATE A	0.00	3.29	0.00	3.29	90.0	2.96
/ /	ZINN, DIANE	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.89	0.00	1.89	90.0	1.70
/ /	ZINN, JEFFREY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.89	0.00	1.89	90.0	1.70
/ /	STEURY, MICHAEL	OPPENHEIMER SENIOR FLOATING RATE A	0.00	2.84	0.00	2.84	90.0	2.56
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	12.11	0.00	12.11	90.0	10.90
/ /	MESEKE, GILBERT	OPPENHEIMER SENIOR FLOATING RATE C	0.00	18.67	0.00	18.67	90.0	16.80
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.85	0.00	5.85	90.0	5.27
/ /	BERNDT, GARY	OPPENHEIMER SENIOR FLOATING RATE C	0.00	8.79	0.00	8.79	90.0	7.91
/ /	MCDONALD, TINA	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.65	0.00	5.65	90.0	5.09
/ /	MCDONALD, TINA	OPPENHEIMER SMALL MID CAP VALUE C	0.00	3.02	0.00	3.02	90.0	2.72
/ /	BERNDT, GARY	OPPENHEIMER US GOVT TRUST C	0.00	5.07	0.00	5.07	90.0	4.56
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	11.34	0.00	11.34	90.0	10.21
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	5.45	0.00	5.45	90.0	4.91
07/05/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	0.00	16.12	0.00	16.12	90.0	14.51
07/05/2017	RUSSELL, TAYLOR	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.35	0.00	0.35	90.0	0.32
07/05/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	1.92	0.00	1.92	90.0	1.73
07/05/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.05	0.00	13.05	90.0	11.75
07/05/2017	URICK, DANIEL	TRANSAMERICA MULTI-MANAGED BAL A	0.00	2.47	0.00	2.47	90.0	2.22
07/05/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.42	0.00	2.42	90.0	2.18
07/05/2017	URICK, DANIEL	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	2.21	0.00	2.21	90.0	1.99
		* MUTUAL FUNDS SUBTOTAL *	\$20,118.20	\$1,137.16	\$154.00	\$983.16		\$884.93
PRODUCT CA	TEGORY: VARIABLE ANNUITIES							
06/30/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,070.60	8.36	0.00	8.36	90.0	7.52
06/30/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,626.16	13.59	0.00	13.59	90.0	12.23
06/30/2017	SIEBER, PAUL	JNL PERSPECTIVE II	66,547.93	27.73	0.00	27.73	90.0	24.96
07/03/2017	CSUHTA, JULIE	NWL BOA NG FP VUL	402.60	8.05	0.00	8.05	90.0	7.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS.	ス <i>ᢗ</i> ᡃᠮ᠋ᡳᡳᡆ					
		BROKER TRANS.	ACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNUITIE	<u>es</u>						
07/03/2017	CSUHTA, JULIE	NWL BOA NG FP VUL	434.00	8.68	0.00	8.68	90.0	7.81
06/30/2017	SENGER, LYNDA	PRU AS LIFEVEST II	104,665.61	65.42	0.00	65.42	90.0	58.88
06/30/2017	SENGER, LYNDA	PRU AS LIFEVEST II	104,665.61	196.25	0.00	196.25	90.0	176.63
		* VARIABLE ANNUITIES SUBTOTAL *	\$329,412.51	\$328.08	\$0.00	\$328.08		\$295.28
PRODUCT CA	TEGORY: MUTUAL FUND 12B1	TRAILS						
06/20/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.69	0.00	0.69	90.0	0.62
06/20/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.80	0.00	0.80	90.0	0.72
06/20/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.11	0.00	1.11	90.0	1.00
06/20/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.43	0.00	0.43	90.0	0.39
06/20/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.40	0.00	11.40	90.0	10.26
06/20/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.49	0.00	0.49	90.0	0.44
06/20/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.93	0.00	0.93	90.0	0.84
06/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.56	0.00	0.56	90.0	0.50
06/20/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	90.0	0.41
06/20/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.76	0.00	0.76	90.0	0.68
06/20/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.49	0.00	0.49	90.0	0.44
06/20/2017	VAN AUKEN, KAREN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.70	0.00	0.70	90.0	0.63
06/20/2017	VAN AUKEN, KAREN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.17	0.00	1.17	90.0	1.05
06/20/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	90.0	0.40
06/20/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.76	0.00	8.76	90.0	7.88
06/20/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.57	0.00	0.57	90.0	0.51
06/20/2017	REMENSCHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.41	0.00	0.41	90.0	0.37
06/20/2017	VAN AUKEN, KAREN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.13	0.00	2.13	90.0	1.92
06/20/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.68
06/20/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.07	0.00	6.07	90.0	5.46
06/20/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	90.0	0.21
06/20/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.88	0.00	4.88	90.0	4.39
06/20/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.56	0.00	0.56	90.0	0.50
06/20/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.22	0.00	6.22	90.0	5.60
06/20/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.27	0.00	0.27	90.0	0.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUND 12E	31 TRAILS						
06/20/2017	VAN AUKEN, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.02	0.00	0.02	90.0	0.02
06/20/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.44	0.00	4.44	90.0	4.00
06/20/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.46
06/20/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.69	0.00	5.69	90.0	5.12
06/20/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	90.0	0.48
06/20/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.42	0.00	0.42	90.0	0.38
06/20/2017	VAN AUKEN, KAREN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.05	0.00	0.05	90.0	0.05
06/20/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.14	0.00	2.14	90.0	1.93
06/20/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	5.85	0.00	5.85	90.0	5.27
06/20/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.10	0.00	5.10	90.0	4.59
06/20/2017	VAN AUKEN, KAREN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.03	0.00	0.03	90.0	0.03
06/20/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.22	0.00	4.22	90.0	3.80
06/20/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.33	0.00	4.33	90.0	3.90
06/30/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.11	0.00	8.11	90.0	7.30
06/13/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
06/13/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.25	0.00	2.25	90.0	2.03
06/20/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.13	0.00	0.13	90.0	0.12
06/20/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18
06/20/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.32	0.00	0.32	90.0	0.29
06/20/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18
06/20/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.31	0.00	0.31	90.0	0.28
06/21/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.81	0.00	0.81	90.0	0.73
06/21/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.22	0.00	5.22	90.0	4.70
06/21/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.93	0.00	1.93	90.0	1.74
06/21/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.36	0.00	20.36	90.0	18.32
06/14/2017	ROGERS, BRADLEY	MFS GROWTH B	0.00	0.08	0.00	0.08	90.0	0.07
06/13/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.91	0.00	10.91	90.0	9.82
06/16/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.58	0.00	7.58	90.0	6.82
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$145.53	\$0.00	\$145.53		\$131.02

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07/12/2017		COMMISSION STATEMENT	TOT KEITH LAYM	MIN				-
DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
בפטטנוטיי ט	ATEGORY: VARIABLE AN	MIIITTY 12D1 TDATIC						
06/30/2017	LAYMAN, VARIOUS	LFG TRAILS	0.00	118.15	0.00	118.15	90.0	106.34
07/01/2017	SIEBER, PAUL	ING ESII	0.00	73.28	0.00	73.28		65.95
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		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$191.43	\$0.00	\$191.43		\$172.2
		*** BROKER TRANSACTIONS TOTAL ***	\$349,530.71	\$1,802.20	\$154.00	\$1,648.20		\$1,483.5
		SPLIT TRANSA	ACTIONS					
BROKER: L	131-KEITH/JOE/FCCU L	AYMAN/WIEGAND/ #209						
06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
06/30/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.97	0.00	2.97	90.0	2.6
06/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	2.01	0.00	2.01	90.0	1.8
6/30/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	135.53	2.01	0.00	2.01	90.0	1.8
6/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.8
6/30/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.7
6/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	69.23	1.03	0.00	1.03	90.0	0.9
06/30/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	115.38	1.71	0.00	1.71	90.0	1.5
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.22	0.00	0.22	90.0	0.2
06/20/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.09	0.00	0.09	90.0	0.0
06/20/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.0
06/20/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.40	0.00	0.40	90.0	0.3
06/20/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.0
06/28/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	1,000.00	17.50	7.70	9.80	90.0	8.8
06/28/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	75.00	1.31	7.70	-6.39	90.0	-5.7
06/28/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	170.00	2.97	7.70	-4.73	90.0	-4.2
06/28/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	200.00	3.50	7.70	-4.20	90.0	-3.7
06/30/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.5
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.22	0.00	0.22	90.0	0.2
6/20/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.1
06/20/2017	BRAUTZSCH, CHRISTINE	E AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.18	0.00	0.18	90.0	0.1
07/03/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
06/20/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.19
06/28/2017	GATER-BITTING, DEVI	N AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78

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DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	NET COMMISSION	RATE	COMMISSION
		SPLIT TRANSA	ACTIONS					
BROKER: L1	.31-KEITH/JOE/FCCU LAYMAN	N/WIEGAND/ #209						
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
06/28/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.75	7.70	-5.95	90.0	-5.36
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
06/20/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
06/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
06/30/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/30/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
06/30/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
06/30/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
06/30/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
06/30/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
06/30/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/30/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
06/15/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.01	0.00	1.01	90.0	0.91
06/16/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.25	0.00	4.25	90.0	3.83
06/19/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
06/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.64	0.00	0.64	90.0	0.58
06/20/2017	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,000.00	175.00	0.00	175.00	90.0	157.50
06/30/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
06/14/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.12	0.00	0.12	90.0	0.11
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.48	0.00	0.48	90.0	0.43
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.78	0.00	0.78	90.0	0.70
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.97	0.00	0.97	90.0	0.87
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.42	0.00	1.42	90.0	1.28
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.44	0.00	1.44	90.0	1.30
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.11	0.00	0.11	90.0	0.10

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANSA	ACTIONS					
BROKER: L	131-KEITH/JOE/FCCU LAYMA	N/WIEGAND/ #209						
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.12	0.00	0.12	90.0	0.11
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.95	0.00	0.95	90.0	0.86
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.08	0.00	2.08	90.0	1.87
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.66	0.00	2.66	90.0	2.39
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.22	0.00	0.22	90.0	0.20
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.79	0.00	1.79	90.0	1.61
06/28/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.31	0.00	1.31	90.0	1.18
	* L131-K	EITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$53,884.51	\$258.92	\$38.50	\$220.42		\$198.39
		*** SPLIT TRANSACTIONS TOTAL ***	\$53,884.51	\$258.92	\$38.50	\$220.42		\$198.39
		ADJUSTME	NTS					
07/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*EO INSURANCE: CURRENT MONTH INS-BI	0.00					-300.00
07/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-3.00
07/15/2017		*PERSHING: PROSPECTUS	0.00					-7.75
07/15/2017		*PERSHING: PROSPECTUS	0.00					-5.41
07/15/2017		*TECH: ADMIN J WRIGHT	0.00					-100.00
07/15/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
07/15/2017		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH-BIL	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,466.16

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS \$1,483.52 Broker Transactions \$198.39 Split Transactions \$0.00 Override Transactions Adjustments \$-1,466.16 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$215.75 Check Amount \$32,774.31 Year-to-date Gross \$28,561.53 Year-to-date Earnings