

# COMMISSION STATEMENT

July 27, 2018

STEVEN COOK  
3200 N. Central Ave.  
Suite 200  
Phoenix, AZ 85012

BROKER #: 73756  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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## Company: Securities America

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

07/25/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	493.53	0.00	493.53	250.71
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$493.53</u>	<u>\$0.00</u>	<u>\$493.53</u>	<u>\$250.71</u>

#### PRODUCT CATEGORY: RIA

07/25/2018	COOK (73756), STEVE	FEE BASED	0.00	82,197.51	0.00	82,197.51	41,756.34
07/25/2018	COOK (73756), STEVE	FEE BASED	0.00	-818.31	0.00	-818.31	-415.70
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$81,379.20</u>	<u>\$0.00</u>	<u>\$81,379.20</u>	<u>\$41,340.64</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$81,872.73</u>	<u>\$0.00</u>	<u>\$81,872.73</u>	<u>\$41,591.35</u>

### ADJUSTMENTS

15. SIPC SAI STMT 7/20/18							-0.87
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-0.87</u>

### BROKER COMMISSION TOTALS

Broker Transactions	\$41,591.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-0.87
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,590.48
Year-to-date Earnings	\$150,640.07