



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 15, 2018

01/12/2018
Page No. 1

JAMES BREWER
6500 Busch Blvd
Suite 132
Columbus, OH 43229

BROKER #: B153
BRANCH: BREWER & ASSOCIATIES

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/29/2017	COMPTON, WILLIAM	AXA ACCUM 04 ELITE (RO IRA)	48,812.15	152.54	90.0	137.29	0.00	137.29
12/31/2017	LAWVER, JANET	JNL ELITE CHOICE	78,739.23	16.24	90.0	14.62	0.00	14.62
12/31/2017	KUSKOWSKI, MARK	JNL PERSPECTIVE ADV II 5/05	17,775.73	14.81	90.0	13.33	0.00	13.33
12/31/2017	MYERS MACHINERY MOVERS IN	JNL PERSPECTIVE L	885,782.04	959.60	90.0	863.64	0.00	863.64
* VARIABLE ANNUITIES SUBTOTAL *			\$1,031,109.15	\$1,143.19		\$1,028.88	\$0.00	\$1,028.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/15/2017	SELCER, RICHARD	AM FUNDS AMCAP A	0.00	6.74	90.0	6.07	0.00	6.07
12/15/2017	BALE, RICHARD	AM FUNDS AMCAP A	0.00	0.20	90.0	0.18	0.00	0.18
12/15/2017	VANDERGRIFF, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	5.59	90.0	5.03	0.00	5.03
12/15/2017	ROWE, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	2.72	90.0	2.45	0.00	2.45
12/15/2017	CROSBY, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	9.57	90.0	8.61	0.00	8.61
12/15/2017	DUNCAN, DONALD	AM FUNDS AMERICAN BALANCED A	0.00	2.25	90.0	2.03	0.00	2.03
12/15/2017	LAWVER, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	2.33	90.0	2.10	0.00	2.10
12/15/2017	COMPTON, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	3.61	90.0	3.25	0.00	3.25
12/15/2017	ALLEN, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	3.89	90.0	3.50	0.00	3.50
12/15/2017	COMPTON, SHARON	AM FUNDS CAPITAL INCOME BUILDER A	0.00	3.57	90.0	3.21	0.00	3.21
12/15/2017	MYERS, GARY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.25	90.0	0.23	0.00	0.23
12/15/2017	MYERS, GARY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	54.15	90.0	48.74	0.00	48.74
12/15/2017	VANDERGRIFF, CHARLES	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.77	90.0	3.39	0.00	3.39
12/15/2017	GOUDY, BEVERLY	AM FUNDS NEW ECONOMY A	0.00	11.41	90.0	10.27	0.00	10.27
12/15/2017	GOUDY, BEVERLY	AM FUNDS SMALLCAP WORLD A	0.00	9.28	90.0	8.35	0.00	8.35
12/14/2017	SCHOPPELREI, CLEMENT	AMERICAN CENTURY MID CAP VALUE	0.00	0.05	90.0	0.05	0.00	0.05
12/15/2017	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME	0.00	11.52	90.0	10.37	0.00	10.37
12/15/2017	WESS, DONALD	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.46	90.0	3.11	0.00	3.11
12/15/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.52	90.0	3.17	0.00	3.17
12/15/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	2.10	90.0	1.89	0.00	1.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/15/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.12	90.0	1.01	0.00	1.01
12/15/2017	SELCER, RICHARD	AMERICAN FUNDS RETIREMENT INCOME	0.00	11.94	90.0	10.75	0.00	10.75
12/15/2017	FALTER, THEODORE	AMERICAN FUNDS RETIREMENT INCOME	0.00	3.41	90.0	3.07	0.00	3.07
12/15/2017	GOUDY, BEVERLY	AMERICAN FUNDS RETIREMENT INCOME	0.00	2.04	90.0	1.84	0.00	1.84
12/15/2017	STEIN, JANICE	AMERICAN FUNDS RETIREMENT INCOME	0.00	1.09	90.0	0.98	0.00	0.98
12/22/2017	MOORE, CHARLES	BLACKROCK NATURAL RESOURCES TRUST	0.00	3.65	90.0	3.29	0.00	3.29
12/22/2017	SCHOPPELREI, CLEMENT	BLACKROCK STRATEGIC INCOME OPPT	0.00	0.06	90.0	0.05	0.00	0.05
12/06/2017	ROWE, ERNEST	CATALYST/MAP GLOBAL CAPITAL	0.00	2.50	90.0	2.25	0.00	2.25
12/06/2017	SELCER, RICHARD	CATALYST/MAP GLOBAL CAPITAL	0.00	5.20	90.0	4.68	0.00	4.68
12/06/2017	DUNCAN, DONALD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.00	90.0	1.80	0.00	1.80
12/06/2017	SELCER, RICHARD	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	9.27	90.0	8.34	0.00	8.34
12/06/2017	LAWVER, DAVID	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	2.38	90.0	2.14	0.00	2.14
12/06/2017	MCANINCH, JUDY	CATALYST/MAP GLOBAL TOTAL RETURN	0.00	4.80	90.0	4.32	0.00	4.32
12/13/2017	SELCER, RICHARD	COLUMBIA DIVIDEND INCOME A	0.00	2.79	90.0	2.51	0.00	2.51
12/13/2017	MOORE, CHARLES	COLUMBIA DIVIDEND INCOME A	0.00	5.90	90.0	5.31	0.00	5.31
12/13/2017	BARNETT, ALVA	COLUMBIA DIVIDEND INCOME A	0.00	2.95	90.0	2.66	0.00	2.66
12/13/2017	CROSBY, RONALD	COLUMBIA DIVIDEND INCOME A	0.00	6.00	90.0	5.40	0.00	5.40
12/13/2017	WESS, DONALD	COLUMBIA DIVIDEND INCOME A	0.00	3.65	90.0	3.29	0.00	3.29
12/13/2017	SCHOPPELREI, CLEMENT	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	90.0	0.05	0.00	0.05
12/15/2017	MOORE, CHARLES	DELAWARE REAL ESTATE INVESTMENT	0.00	4.01	90.0	3.61	0.00	3.61
12/15/2017	STEIN, JANICE	DELAWARE SELECT GROWTH FUND CLASS	0.00	0.32	90.0	0.29	0.00	0.29
12/21/2017	COMPTON, SHARON	FRANKLIN INCOME A	0.00	2.14	90.0	1.93	0.00	1.93
12/21/2017	MCANINCH, JUDY	FRANKLIN INCOME A	0.00	3.54	90.0	3.19	0.00	3.19
12/21/2017	FALTER, THEODORE	FRANKLIN RISING DIVIDENDS A	0.00	0.05	90.0	0.05	0.00	0.05
12/21/2017	FRESHLY, BEATRICE	FRANKLIN UTILITIES A	0.00	3.53	90.0	3.18	0.00	3.18
12/11/2017	DUNCAN, JAMES	GOOD HARBOR US TACTICAL CORE FUND	0.00	5.07	90.0	4.56	0.00	4.56
12/21/2017	MCANINCH, JUDY	GUGGENHEIM RISK MANAGED REAL	0.00	10.02	90.0	9.02	0.00	9.02
12/18/2017	SELCER, RICHARD	HARTFORD HEALTHCARE A	0.00	5.45	90.0	4.91	0.00	4.91
12/18/2017	GOUDY, BEVERLY	HARTFORD HEALTHCARE A	0.00	6.46	90.0	5.81	0.00	5.81
12/18/2017	FALTER, THEODORE	HARTFORD HEALTHCARE A	0.00	2.78	90.0	2.50	0.00	2.50
12/18/2017	MCANINCH, JUDY	HARTFORD HEALTHCARE A	0.00	9.94	90.0	8.95	0.00	8.95
12/21/2017	SELCER, RICHARD	ICON ENERGY FUND CLASS A	0.00	6.21	90.0	5.59	0.00	5.59
12/21/2017	LAWVER, DAVID	ICON LEISURE AND CONSUMER STAPLES	0.00	1.81	90.0	1.63	0.00	1.63

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/21/2017	SELCER, RICHARD	ICON UTILITIES FUND CLASS A	0.00	6.06	90.0	5.45	0.00	5.45
12/21/2017	MCANINCH, JUDY	ICON UTILITIES FUND CLASS A	0.00	6.02	90.0	5.42	0.00	5.42
12/14/2017	SCHOPPELREI, CLEMENT	JP MORGAN LARGE-CAP GROWTH A	0.00	0.05	90.0	0.05	0.00	0.05
12/20/2017	SCHOPPELREI, CLEMENT	LORD ABBETT INFLATION FOCUSED FUND	0.00	0.01	90.0	0.01	0.00	0.01
12/11/2017	GOUDY, BEVERLY	MFS INTERNATIONAL DISCOVERY A	0.00	7.68	90.0	6.91	0.00	6.91
12/11/2017	SCHOPPELREI, CLEMENT	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.03	90.0	0.03	0.00	0.03
12/11/2017	SCHOPPELREI, CLEMENT	MFS VALUE A	0.00	0.06	90.0	0.05	0.00	0.05
12/21/2017	COMPTON, SHARON	OPPENHEIMER GLOBAL A	0.00	3.79	90.0	3.41	0.00	3.41
12/21/2017	MYERS, GARY	OPPENHEIMER GLOBAL A	0.00	54.77	90.0	49.29	0.00	49.29
12/21/2017	SCHOPPELREI, CLEMENT	OPPENHEIMER INTL GROWTH A	0.00	0.03	90.0	0.03	0.00	0.03
12/21/2017	BALE, RICHARD	PAC LIFE FUNDS PORTFOLIO	0.00	0.09	90.0	0.08	0.00	0.08
12/11/2017	GOUDY, BEVERLY	PRUDENTIAL QMA MID CAP VALUE FUND	0.00	21.50	90.0	19.35	0.00	19.35
12/15/2017	SELCER, RICHARD	PUTNAM GLOBAL HEALTH CARE A	0.00	5.00	90.0	4.50	0.00	4.50
12/14/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	1.99	90.0	1.79	0.00	1.79
12/14/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	1.99	90.0	1.79	0.00	1.79
12/14/2017	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.32	90.0	2.99	0.00	2.99
12/14/2017	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND	0.00	3.32	90.0	2.99	0.00	2.99
12/14/2017	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.47	90.0	5.82	0.00	5.82
12/14/2017	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.47	90.0	5.82	0.00	5.82
12/14/2017	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND	0.00	4.97	90.0	4.47	0.00	4.47
12/14/2017	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND	0.00	4.97	90.0	4.47	0.00	4.47
12/14/2017	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.85	90.0	2.57	0.00	2.57
12/14/2017	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.85	90.0	2.57	0.00	2.57
12/14/2017	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.83	90.0	6.15	0.00	6.15
12/14/2017	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND	0.00	6.83	90.0	6.15	0.00	6.15
12/14/2017	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.20	90.0	1.98	0.00	1.98
12/14/2017	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND	0.00	2.20	90.0	1.98	0.00	1.98
12/14/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.61	90.0	5.05	0.00	5.05
12/14/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.61	90.0	5.05	0.00	5.05
12/14/2017	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND	0.00	7.58	90.0	6.82	0.00	6.82
12/14/2017	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND	0.00	7.58	90.0	6.82	0.00	6.82
12/14/2017	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.83	90.0	5.25	0.00	5.25
12/14/2017	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND	0.00	5.83	90.0	5.25	0.00	5.25

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/20/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	-1.99	90.0	-1.79	0.00	-1.79
12/20/2017	FALTER, THEODORE	RESOURCE R E DIVERSIFIED INC FUND	0.00	-3.32	90.0	-2.99	0.00	-2.99
12/20/2017	VANDERGRIFF, CHARLES	RESOURCE R E DIVERSIFIED INC FUND	0.00	-6.47	90.0	-5.82	0.00	-5.82
12/20/2017	WESS, DONALD	RESOURCE R E DIVERSIFIED INC FUND	0.00	-4.97	90.0	-4.47	0.00	-4.47
12/20/2017	CALABRETTA, SHIRLEY	RESOURCE R E DIVERSIFIED INC FUND	0.00	-2.85	90.0	-2.57	0.00	-2.57
12/20/2017	SELCER, RICHARD	RESOURCE R E DIVERSIFIED INC FUND	0.00	-6.83	90.0	-6.15	0.00	-6.15
12/20/2017	BEBOUT, ELMA	RESOURCE R E DIVERSIFIED INC FUND	0.00	-2.20	90.0	-1.98	0.00	-1.98
12/20/2017	BEBOUT, DARRELL	RESOURCE R E DIVERSIFIED INC FUND	0.00	-5.61	90.0	-5.05	0.00	-5.05
12/20/2017	CHAMBERLAIN, LARRY	RESOURCE R E DIVERSIFIED INC FUND	0.00	-7.58	90.0	-6.82	0.00	-6.82
12/20/2017	COMPTON, WILLIAM	RESOURCE R E DIVERSIFIED INC FUND	0.00	-5.83	90.0	-5.25	0.00	-5.25
12/21/2017	MYERS, GARY	TEMPLETON GROWTH A	0.00	30.09	90.0	27.08	0.00	27.08
12/21/2017	MYERS, GARY	TEMPLETON WORLD A	0.00	0.42	90.0	0.38	0.00	0.38
12/11/2017	NIXON, CHARLENE	TIMOTHY PLAN CONSERVATIVE GROWTH	0.00	4.22	90.0	3.80	0.00	3.80
12/11/2017	NIXON, CHARLENE	TIMOTHY PLAN DEFENSIVE STRATEGY	0.00	1.98	90.0	1.78	0.00	1.78
12/13/2017	SCHOPPELREI, CLEMENT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.03	90.0	0.03	0.00	0.03
12/13/2017	MOORE, CHARLES	WELLS FARGO INDEX ASSET ALLOCATION	0.00	5.13	90.0	4.62	0.00	4.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$466.72		\$420.12	\$0.00	\$420.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2017	CHAMBERLAIN, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	16.87	90.0	15.18	0.00	15.18
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.87		\$15.18	\$0.00	\$15.18
*** DIRECT TRANSACTIONS TOTAL ***			\$1,031,109.15	\$1,626.78		\$1,464.18	\$0.00	\$1,464.18

ADJUSTMENTS

01/15/2018	*: TECH ALBRIDGE - LIGHT USER	0.00						-76.00
01/15/2018	*: TECH DOCUSIGN	0.00						-18.00
01/15/2018	*: TECH LASER APP	0.00						-18.00
01/15/2018	*EO INS: CURRENT MONTH INS	0.00						-300.00
01/15/2018	*TECH: ADMIN - MICHELE BARR	0.00						-130.00
01/15/2018	*TECH: CURRENT MONTH TECH	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-722.00	\$0.00	\$-722.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,464.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-722.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$742.18
Year-to-date Gross	\$1,626.78
Year-to-date Earnings	\$1,464.18