



All Companies  
**COMMISSION STATEMENT**  
**June 01, 2018**

05/30/2018  
 Page No. 1

JOSEPH LORMAND  
 406 N. LOCKSLEY

BROKER #: 4802  
 BRANCH: HOME OFFICE

LAFAYETTE, LA 70508-

COMMISSION STATEMENT for JOSEPH LORMAND

| TRADE DATE                                       | CLIENT                    | INVESTMENT                           | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---------------------------|--------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                |                           |                                      |     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS</b>            |                           |                                      |     |                      |                     |                    |                   |      |                      |
| 05/15/2018                                       | 00063536114 JEFFERS, IRMA | AMERICAN FUNDS NEW PERSPECTIVE FD    | B   | 83.34                | 0.83                | 0.00               | 0.83              | 75.0 | 0.62                 |
|  |                           | * MUTUAL FUNDS SUBTOTAL *            |     | <u>\$83.34</u>       | <u>\$0.83</u>       | <u>\$0.00</u>      | <u>\$0.83</u>     |      | <u>\$0.62</u>        |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                           |                                      |     |                      |                     |                    |                   |      |                      |
| 06/01/2018                                       | TRAIL COMMISSIONS         | JOHN HANCOCK FEES                    |     | 0.00                 | 1.15                | 0.00               | 1.15              | 75.0 | 0.86                 |
| 06/01/2018                                       | TRAIL COMMISSIONS         | COLUMBIA THREADNEEDLE FEES           |     | 0.00                 | 18.23               | 0.00               | 18.23             | 75.0 | 13.67                |
|  |                           | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * |     | <u>\$0.00</u>        | <u>\$19.38</u>      | <u>\$0.00</u>      | <u>\$19.38</u>    |      | <u>\$14.53</u>       |
|  |                           | *** BROKER TRANSACTIONS TOTAL ***    |     | <u>\$83.34</u>       | <u>\$20.21</u>      | <u>\$0.00</u>      | <u>\$20.21</u>    |      | <u>\$15.15</u>       |

**SPLIT TRANSACTIONS**

**BROKER: BENJAMIN GILES LORMAND #9126**

|            |   |                                       |  |                 |                |               |                |      |                |
|------------|---|---------------------------------------|--|-----------------|----------------|---------------|----------------|------|----------------|
| 06/01/2018 | TRAIL COMMISSIONS                         | JOHN HANCOCK FEES                     |  | 0.00            | 21.15          | 0.00          | 21.15          | 75.0 | 15.86          |
| 05/10/2018 | 00073511912 GUIDRY, BEVERLY               | AMERICAN FUNDS INVESTMENT COMP OF A B |  | 108.00          | 1.49           | 0.00          | 1.49           | 75.0 | 1.12           |
| 05/10/2018 | 00073511912 GUIDRY, BEVERLY               | AMERICAN FUNDS INVESTMENT COMP OF A B |  | 105.69          | 1.46           | 0.00          | 1.46           | 75.0 | 1.10           |
| 05/15/2018 | 00086685383 MELANCON, NEIL                | AMERICAN FUNDS GROWTH FUND OF AMERI B |  | 50.00           | 1.25           | 0.00          | 1.25           | 75.0 | 0.94           |
| 05/15/2018 | 00086685445 MELANCON, NEIL                | AMERICAN FUNDS CAPITAL WORLD GROWT B  |  | 50.00           | 1.25           | 0.00          | 1.25           | 75.0 | 0.94           |
| 05/10/2018 | 2360050413 SHOLAR/DAIGLE,<br>ROBERT/KATIE | OPPENHEIMER QUEST OPPORTUNITY VALUE B |  | 25.00           | 0.60           | 0.00          | 0.60           | 75.0 | 0.45           |
|            |   | * BENJAMIN GILES LORMAND SUBTOTAL *   |  | <u>\$338.69</u> | <u>\$27.20</u> | <u>\$0.00</u> | <u>\$27.20</u> |      | <u>\$20.41</u> |
|            |   | *** SPLIT TRANSACTIONS TOTAL ***      |  | <u>\$338.69</u> | <u>\$27.20</u> | <u>\$0.00</u> | <u>\$27.20</u> |      | <u>\$20.41</u> |

COMMISSION STATEMENT for JOSEPH LORMAND

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

|            |  |                             |  |        |        |        |        |  |         |
|------------|--|-----------------------------|--|--------|--------|--------|--------|--|---------|
| 06/01/2018 |  | TECH: MONTHLY TECH SERVICES |  | 0.00   | 0.00   | 0.00   | 0.00   |  | -7.50   |
|            |  | *** ADJUSTMENTS TOTAL ***   |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |  | \$-7.50 |

BROKER COMMISSION TOTALS

|                       |         |
|-----------------------|---------|
| Broker Transactions   | \$28.23 |
| Split Transactions    | \$7.33  |
| Override Transactions | \$0.00  |
| Adjustments           | \$-7.50 |
| Payroll Draw          | \$0.00  |
| Base Salary           | \$0.00  |
| Prior Period Balance  | \$0.00  |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |            |
|-----------------------|------------|
| Balance Forward       | \$28.06    |
| Year-to-date Earnings | \$4,616.08 |