

STATEMENT
January 20, 2018

MANESH DAVE - LPCM
499 Jericho Turnpike
Suite 620
Mineola, NY 11501

BROKER #: 3158
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for MANESH DAVE - LPCM

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

| | | | | | | | | | |
|-----------------------------------|-------------------|---|--------------------|---------------|---------------|---------------|---------------|------|---------------|
| 01/01/2018 #565087 TCA DAVE VAR | LPCM MOD 30/30/40 | B | 28,641.16 | -14.32 | 0.00 | 0.00 | -14.32 | 90.0 | -12.89 |
| 01/01/2018 #565087 TCA DAVE VAR | LPCM MOD 30/30/40 | B | 28,641.16 | 19.09 | 0.00 | 0.00 | 19.09 | 90.0 | 17.18 |
| * RIA SUBTOTAL * | | | <u>\$57,282.32</u> | <u>\$4.77</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$4.77</u> | | <u>\$4.29</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$57,282.32</u> | <u>\$4.77</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$4.77</u> | | <u>\$4.29</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$4.29 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-121.36 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------|------------------|
| Balance Forward | \$-117.07 |
|------------------------|------------------|