Page No.



COMMISSION STATEMENT May 31, 2018

MARK-S141 REG SMITH

BROKER #: S141

BRANCH: WOODS FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
'								
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	48.0	1.20
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	48.0	1.20
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	48.0	1.20
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	48.0	1.20
05/15/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	48.0	1.20
05/15/2018	VOORHEES, CLIFFORD	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.90
05/10/2018	ORR POWER OF ATTORNEY,	FRANKLIN INCOME C	26,000.00	260.00	0.00	260.00	48.0	124.80
05/07/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.44
		* MUTUAL FUNDS SUBTOTAL *	\$26,600.00	\$277.38	\$0.00	\$277.38		\$133.14
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/23/2018	NORFLEET, SCOTT	AM GEN POLARIS PLATINUM III	245,526.87	17,186.88	0.00	17,186.88	48.0	8,249.70
05/14/2018	REEVES, KEN	JNL PERSPECTIVE II 04/14	75,000.00	5,250.00	0.00	5,250.00	48.0	2,520.00
		* VARIABLE ANNUITIES SUBTOTAL *	\$320,526.87	\$22,436.88	\$0.00	\$22,436.88		\$10,769.70
		*** DIRECT TRANSACTIONS TOTAL ***	\$347,126.87	\$22,714.26	\$0.00	\$22,714.26		\$10,902.84

BROKER COMMISSION TOTALS \$10,902.84 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 \$0.00 Base Salary \$-413.06 Prior Period Balance FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$10,489.78 Check Amount \$63,807.34 Year-to-date Gross \$30,596.15 Year-to-date Earnings