

STATEMENT

April 20, 2018

STEVE GULA

17 Galahad Dr
Suite 100
Manalapan, NJ 07726-2613

BROKER #: 182

BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/27/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
02/27/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
02/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
02/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
02/27/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
02/27/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
02/27/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
02/27/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	0.00	4.19
02/27/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
02/27/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
02/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
02/27/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
02/27/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
02/27/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
02/28/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
02/28/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
02/28/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
02/28/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	0.00	1.79
02/28/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
02/28/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
02/28/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
02/28/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
02/28/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
02/28/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
02/28/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	12.65	0.20	93.0	0.00	0.19	0.00	0.19
02/28/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
02/28/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
02/28/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	5.12	0.08	93.0	0.00	0.07	0.00	0.07

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/28/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
02/28/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	5.12	0.08	93.0	0.00	0.07	0.07
02/28/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	12.65	0.20	93.0	0.00	0.19	0.19
02/28/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
02/28/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
02/28/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/28/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.56	0.04	93.0	0.00	0.04	0.04
02/28/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	12.66	0.20	93.0	0.00	0.19	0.19
02/28/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
02/28/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/28/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	7.68	0.12	93.0	0.00	0.11	0.11
02/28/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	5.12	0.08	93.0	0.00	0.07	0.07
02/28/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/28/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/28/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
02/28/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60
02/28/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
02/28/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/28/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
02/28/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
02/28/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
02/28/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.60
02/28/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
02/28/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
02/28/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	12.65	0.20	93.0	0.00	0.19	0.19
02/28/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
03/05/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	251.41	5.03	93.0	0.00	4.68	4.68
03/05/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	6.14
03/05/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	3.41
03/05/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	4.02
03/05/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
03/05/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
03/06/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
03/06/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
03/06/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
03/06/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/06/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
03/06/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
03/06/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
03/06/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
03/06/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
03/07/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/07/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
03/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
03/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/07/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/07/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/07/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/07/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
03/07/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
03/07/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
03/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
03/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/07/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
03/07/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
03/13/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
03/13/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
03/13/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
03/13/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
03/13/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	67.42	1.85	93.0	0.00	1.72	1.72
03/13/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
03/13/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/13/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/13/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
03/13/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/13/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/13/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
03/13/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
03/13/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
03/13/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/13/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/13/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/13/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
03/13/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
03/13/2018	#00084744740	ULLAURI, DIEGO INTERMEDIATE BOND FUND OF AMERICA-A	65.43	1.31	93.0	0.00	1.22	0.00	1.22
03/13/2018	#00084744733	SMITH, ADAM INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
03/13/2018	#00084744733	SMITH, ADAM SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
03/13/2018	#00084744740	ULLAURI, DIEGO SHORT-TERM BOND FUND OF AMERICA-A	65.43	1.31	93.0	0.00	1.22	0.00	1.22
03/19/2018	#00086957257	GRADY, TINEZ AMCAP FUND INC CLASS A	4.96	0.08	93.0	0.00	0.07	0.00	0.07
03/19/2018	#00086957327	ENDO-POTTER, AMCAP FUND INC CLASS A	5.88	0.09	93.0	0.00	0.08	0.00	0.08
03/19/2018	#00086957342	O'MARA, MARY AMERICAN BALANCED FUND INC CLASS A	499.98	8.00	93.0	0.00	7.44	0.00	7.44
03/19/2018	#00086957342	O'MARA, MARY AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	0.00	2.98
03/19/2018	#00086957363	WITTER, AMERICAN BALANCED FUND INC CLASS A	143.82	2.30	93.0	0.00	2.14	0.00	2.14
03/19/2018	#00086957363	WITTER, AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
03/19/2018	#00086957286	DAUS, ROXANNE AMERICAN FUNDS 2025 TARGET DATE-A	233.76	3.74	93.0	0.00	3.48	0.00	3.48
03/19/2018	#00086957286	DAUS, ROXANNE AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	0.00	1.79
03/19/2018	#00086957349	SAENZ, THALIA AMERICAN FUNDS 2030 TARGET DATE-A	175.60	2.81	93.0	0.00	2.61	0.00	2.61
03/19/2018	#00086957349	SAENZ, THALIA AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.00	0.74
03/19/2018	#00086960344	D'AVANZO, AMERICAN FUNDS 2035 TARGET DATE-A	196.15	3.14	93.0	0.00	2.92	0.00	2.92
03/19/2018	#00086957317	BASILICATO, AMERICAN FUNDS 2040 TARGET DATE-A	625.04	10.00	93.0	0.00	9.30	0.00	9.30
03/19/2018	#00086957317	BASILICATO, AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
03/19/2018	#00086957257	GRADY, TINEZ AMERICAN HIGH INCOME TR SH BEN INT	3.31	0.05	93.0	0.00	0.05	0.00	0.05
03/19/2018	#00086957305	CHIN, STACEY AMERICAN MUTUAL FUND INC CLASS A	107.29	1.72	93.0	0.00	1.60	0.00	1.60
03/19/2018	#00086957305	CHIN, STACEY AMERICAN MUTUAL FUND INC CLASS A	11.42	0.18	93.0	0.00	0.17	0.00	0.17
03/19/2018	#00086957312	CHIN, HENRY AMERICAN MUTUAL FUND INC CLASS A	249.99	4.00	93.0	0.00	3.72	0.00	3.72
03/19/2018	#00086957312	CHIN, HENRY AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
03/19/2018	#00086957327	ENDO-POTTER, AMERICAN MUTUAL FUND INC CLASS A	5.88	0.09	93.0	0.00	0.08	0.00	0.08
03/19/2018	#00086957363	WITTER, AMERICAN MUTUAL FUND INC CLASS A	143.82	2.30	93.0	0.00	2.14	0.00	2.14
03/19/2018	#00086957363	WITTER, AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
03/19/2018	#00086957327	ENDO-POTTER, BOND FUND OF AMERICA INC CL A	8.82	0.14	93.0	0.00	0.13	0.00	0.13
03/19/2018	#00086971611	COTTER, SEAN BOND FUND OF AMERICA INC CL A	72.00	1.15	93.0	0.00	1.07	0.00	1.07
03/19/2018	#00087729341	ALFONZONEL BOND FUND OF AMERICA INC CL A	29.48	0.47	93.0	0.00	0.44	0.00	0.44
03/19/2018	#00087729341	ALFONZONEL BOND FUND OF AMERICA INC CL A	5.12	0.08	93.0	0.00	0.07	0.00	0.07
03/19/2018	#00086957257	GRADY, TINEZ CAPITAL INCOME BUILDER FD CL A	4.96	0.08	93.0	0.00	0.07	0.00	0.07
03/19/2018	#00086971602	DAVIS, CLARE CAPITAL INCOME BUILDER FD CL A	130.00	2.08	93.0	0.00	1.93	0.00	1.93
03/19/2018	#00086971602	DAVIS, CLARE CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
03/19/2018	#00087729341	ALFONZONEL CAPITAL INCOME BUILDER FD CL A	29.48	0.47	93.0	0.00	0.44	0.00	0.44
03/19/2018	#00087729341	ALFONZONEL CAPITAL INCOME BUILDER FD CL A	5.12	0.08	93.0	0.00	0.07	0.00	0.07

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/19/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	107.29	1.72	93.0	0.00	1.60	1.60
03/19/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.42	0.18	93.0	0.00	0.17	0.17
03/19/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	249.99	4.00	93.0	0.00	3.72	3.72
03/19/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
03/19/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	126.90	2.03	93.0	0.00	1.89	1.89
03/19/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
03/19/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	130.00	2.08	93.0	0.00	1.93	1.93
03/19/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
03/19/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	14.74	0.24	93.0	0.00	0.22	0.22
03/19/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.56	0.04	93.0	0.00	0.04	0.04
03/19/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/19/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
03/19/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
03/19/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/19/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/19/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/19/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
03/19/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
03/19/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
03/19/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
03/19/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
03/19/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
03/19/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
03/19/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
03/19/2018	#00086957257	GRADY, TINEZ	FUNDAMENTAL INVESTORS INC CLASS A	6.63	0.11	93.0	0.00	0.10	0.10
03/19/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	107.29	1.72	93.0	0.00	1.60	1.60
03/19/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.40	0.18	93.0	0.00	0.17	0.17
03/19/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	249.99	4.00	93.0	0.00	3.72	3.72
03/19/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
03/19/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	143.82	2.30	93.0	0.00	2.14	2.14
03/19/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
03/19/2018	#00086960344	D'AVANZO,	FUNDAMENTAL INVESTORS INC CLASS A	98.07	1.57	93.0	0.00	1.46	1.46
03/19/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	44.22	0.71	93.0	0.00	0.66	0.66
03/19/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	7.68	0.12	93.0	0.00	0.11	0.11
03/19/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	29.48	0.47	93.0	0.00	0.44	0.44
03/19/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	5.12	0.08	93.0	0.00	0.07	0.07

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/19/2018	#00086960344 D'AVANZO,	GROWTH FUND AMERICA INC CLASS A	65.38	1.05	93.0	0.00	0.98	0.00	0.98
03/19/2018	#00086971602 DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	130.00	2.08	93.0	0.00	1.93	0.00	1.93
03/19/2018	#00086971602 DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
03/19/2018	#00086971611 COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	90.00	1.44	93.0	0.00	1.34	0.00	1.34
03/19/2018	#00086957327 ENDO-POTTER,	INCOME FUND OF AMERICA INC CLASS A	8.82	0.14	93.0	0.00	0.13	0.00	0.13
03/19/2018	#00086957363 WITTER,	INCOME FUND OF AMERICA INC CLASS A	143.82	2.30	93.0	0.00	2.14	0.00	2.14
03/19/2018	#00086957363 WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
03/19/2018	#00086957257 GRADY, TINEZ	INVESTMENT CO AMER CLASS A	4.96	0.08	93.0	0.00	0.07	0.00	0.07
03/19/2018	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	77.92	1.25	93.0	0.00	1.16	0.00	1.16
03/19/2018	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
03/19/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	625.04	10.00	93.0	0.00	9.30	0.00	9.30
03/19/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
03/19/2018	#00086960344 D'AVANZO,	INVESTMENT CO AMER CLASS A	228.84	3.66	93.0	0.00	3.40	0.00	3.40
03/19/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	130.00	2.08	93.0	0.00	1.93	0.00	1.93
03/19/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
03/19/2018	#00086971611 COTTER, SEAN	INVESTMENT CO AMER CLASS A	144.00	2.30	93.0	0.00	2.14	0.00	2.14
03/19/2018	#00086957257 GRADY, TINEZ	NEW PERSPECTIVE FUND INC CL A	4.96	0.08	93.0	0.00	0.07	0.00	0.07
03/19/2018	#00086960344 D'AVANZO,	NEW PERSPECTIVE FUND INC CL A	65.38	1.05	93.0	0.00	0.98	0.00	0.98
03/19/2018	#00086971611 COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	54.00	0.86	93.0	0.00	0.80	0.00	0.80
03/19/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	143.83	2.30	93.0	0.00	2.14	0.00	2.14
03/19/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
03/19/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	130.00	2.08	93.0	0.00	1.93	0.00	1.93
03/19/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
03/19/2018	#00086957257 GRADY, TINEZ	SHORT-TERM BOND FUND OF AMERICA-A	3.31	0.05	93.0	0.00	0.05	0.00	0.05
03/19/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	77.92	1.25	93.0	0.00	1.16	0.00	1.16
03/19/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.00	0.60
03/19/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	249.99	4.00	93.0	0.00	3.72	0.00	3.72
03/19/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
03/19/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	107.28	1.72	93.0	0.00	1.60	0.00	1.60
03/19/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.42	0.18	93.0	0.00	0.17	0.00	0.17
03/22/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	267.38	7.35	93.0	0.00	6.84	0.00	6.84
03/22/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
03/22/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	520.00	14.30	93.0	0.00	13.30	0.00	13.30
03/22/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
03/22/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	520.00	14.30	93.0	0.00	13.30	0.00	13.30
03/22/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/22/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	995.62	27.38	93.0	0.00	25.46	0.00	25.46
03/22/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
03/22/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	894.39	24.60	93.0	0.00	22.88	0.00	22.88
03/22/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
03/22/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	868.08	17.36	93.0	0.00	16.14	0.00	16.14
03/22/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
03/22/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	1,991.25	54.76	93.0	0.00	50.93	0.00	50.93
03/22/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
03/22/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	995.62	19.91	93.0	0.00	18.52	0.00	18.52
03/22/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
03/22/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	868.09	17.36	93.0	0.00	16.14	0.00	16.14
03/22/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
03/26/2018	# LUBERTO, CHRISTINE	FIDELITY ADV EQUITY VALUE-CL A	10,000.00	375.00	93.0	0.00	348.75	20.00	328.75
03/26/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
03/26/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
03/26/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
03/26/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
03/26/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
03/26/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
03/26/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
03/26/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	0.00	4.19
03/26/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
03/26/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
03/26/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
03/26/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
03/26/2018	# LUBERTO, CHRISTINE	FIDELITY ADV GROWTH OPPORT-CL A	20,000.00	750.00	93.0	0.00	697.50	20.00	677.50
03/26/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
03/26/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
03/27/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
03/27/2018	#00086832260 MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
03/27/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
03/27/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
03/27/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
03/27/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
03/27/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
03/27/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/27/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
* MUTUAL FUNDS SUBTOTAL *				\$54,242.44	\$1,669.78		\$0.00	\$1,552.88	\$40.00	\$1,512.88
PRODUCT CATEGORY: STOCKS										
02/28/2018	#	ROGERS, BARBARA	POWERSHARES EXCHANGE TRADED FUND TR	16,125.00	306.96	85.0	0.00	260.92	19.95	240.97
03/14/2018	#	EDITH RELLI REV TRUS	VANGUARD SECTOR INDEX FDS VANGUARD	33,198.15	315.00	85.0	0.00	267.75	19.95	247.80
03/27/2018	#	GULA, STEVEN	PAYCHEX INC	18,284.40	315.00	85.0	0.00	267.75	19.95	247.80
* STOCKS SUBTOTAL *				\$67,607.55	\$936.96		\$0.00	\$796.42	\$59.85	\$736.57
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
03/01/2018	#00075715422	O'SHEA, KEVIN	AMCAP FUND INC CLASS A	0.00	9.25	93.0	0.00	8.60	0.00	8.60
03/01/2018	#00075837867	RELLI, LINDA	AMCAP FUND INC CLASS A	0.00	11.42	93.0	0.00	10.62	0.00	10.62
03/01/2018	#00086957327	ENDO-POTTER,	AMCAP FUND INC CLASS A	0.00	1.13	93.0	0.00	1.05	0.00	1.05
03/01/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	0.00	1.41	93.0	0.00	1.31	0.00	1.31
03/01/2018	#00075566801	O'NEILL, KEVIN	AMERICAN BALANCED FUND INC CLASS A	0.00	10.84	93.0	0.00	10.08	0.00	10.08
03/01/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	0.00	23.13	93.0	0.00	21.51	0.00	21.51
03/01/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.83	93.0	0.00	2.63	0.00	2.63
03/01/2018	#00087510609	CHRISTOGEN	AMERICAN BALANCED FUND INC CLASS A	0.00	0.08	93.0	0.00	0.07	0.00	0.07
03/01/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.35	93.0	0.00	4.05	0.00	4.05
03/01/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	2.57	93.0	0.00	2.39	0.00	2.39
03/01/2018	#00086957294	SANTIAGO, OLGA	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.21	93.0	0.00	0.20	0.00	0.20
03/01/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.47	93.0	0.00	3.23	0.00	3.23
03/01/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.45	93.0	0.00	3.21	0.00	3.21
03/01/2018	#00086957301	FARAH, ANDRE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	7.18	93.0	0.00	6.68	0.00	6.68
03/01/2018	#00086957332	ALVARADO, ROCIO	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	8.51	93.0	0.00	7.91	0.00	7.91
03/01/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	6.97	93.0	0.00	6.48	0.00	6.48
03/01/2018	#00086957353	SHIMOMOTO,	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	11.33	93.0	0.00	10.54	0.00	10.54
03/01/2018	#00086960344	D'AVANZO,	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	8.69	93.0	0.00	8.08	0.00	8.08
03/01/2018	#00086832258	ORANVIL,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
03/01/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	16.02	93.0	0.00	14.90	0.00	14.90
03/01/2018	#00086957339	KELLOGG, TARA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	9.19	93.0	0.00	8.55	0.00	8.55
03/01/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	0.00	15.12	93.0	0.00	14.06	0.00	14.06
03/01/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	0.00	1.71	93.0	0.00	1.59	0.00	1.59
03/01/2018	#00086832258	ORANVIL,	AMERICAN FUNDS MORTGAGE FUND-A	0.00	0.01	93.0	0.00	0.01	0.00	0.01
03/01/2018	#00086957257	GRADY, TINEZ	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.02	93.0	0.00	0.02	0.00	0.02
03/01/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.07	93.0	0.00	1.93	0.00	1.93

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.11	93.0	0.00	3.82	3.82
03/01/2018	#00086957327	ENDO-POTTER,	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.13	93.0	0.00	1.05	1.05
03/01/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.92	93.0	0.00	2.72	2.72
03/01/2018	#00086957327	ENDO-POTTER,	BOND FUND OF AMERICA INC CL A	0.00	1.26	93.0	0.00	1.17	1.17
03/01/2018	#00086971611	COTTER, SEAN	BOND FUND OF AMERICA INC CL A	0.00	12.21	93.0	0.00	11.36	11.36
03/01/2018	#00087510595	HANSENCYNT	BOND FUND OF AMERICA INC CL A	0.00	0.05	93.0	0.00	0.05	0.05
03/01/2018	#00087510609	CHRISTOGEN	BOND FUND OF AMERICA INC CL A	0.00	0.15	93.0	0.00	0.14	0.14
03/01/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	0.00	0.55	93.0	0.00	0.51	0.51
03/01/2018	#00086971578	POWERS,	CAPITAL INCOME BUILDER FD CL A	0.00	1.22	93.0	0.00	1.13	1.13
03/01/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	0.00	7.20	93.0	0.00	6.70	6.70
03/01/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	0.00	0.65	93.0	0.00	0.60	0.60
03/01/2018	#00087747853		CAPITAL INCOME BUILDER FD CL A	0.00	0.16	93.0	0.00	0.15	0.15
03/01/2018	#00088258370		CAPITAL INCOME BUILDER FD CL A	0.00	8.40	93.0	0.00	7.81	7.81
03/01/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.02	93.0	0.00	1.88	1.88
03/01/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.05	93.0	0.00	3.77	3.77
03/01/2018	#00087510606	OLARTENEID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.78	93.0	0.00	0.73	0.73
03/01/2018	#00075715422	O'SHEA, KEVIN	EURO PACIFIC GROWTH FUND CLASS A	0.00	4.32	93.0	0.00	4.02	4.02
03/01/2018	#00083636074		EURO PACIFIC GROWTH FUND CLASS A	0.00	0.88	93.0	0.00	0.82	0.82
03/01/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	0.00	2.50	93.0	0.00	2.33	2.33
03/01/2018	#00086971578	POWERS,	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.33	93.0	0.00	1.24	1.24
03/01/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	0.00	7.78	93.0	0.00	7.24	7.24
03/01/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.35	93.0	0.00	0.33	0.33
03/01/2018	#00075715422	O'SHEA, KEVIN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.82	93.0	0.00	12.85	12.85
03/01/2018	#00083636074		FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.68	93.0	0.00	2.49	2.49
03/01/2018	#00083693171	CIRILLO,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.33	93.0	0.00	1.24	1.24
03/01/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.26	93.0	0.00	2.10	2.10
03/01/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.49	93.0	0.00	4.18	4.18
03/01/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.21	93.0	0.00	2.99	2.99
03/01/2018	#00086960344	D'AVANZO,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.83	93.0	0.00	4.49	4.49
03/01/2018	#00087510595	HANSENCYNT	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.17	93.0	0.00	0.16	0.16
03/01/2018	#00087510606	OLARTENEID	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.73	93.0	0.00	1.61	1.61
03/01/2018	#00087510609	CHRISTOGEN	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.10	93.0	0.00	0.09	0.09
03/01/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.16	93.0	0.00	1.08	1.08
03/01/2018	#00087747853		FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.17	93.0	0.00	0.16	0.16
03/01/2018	#00088258370		FUNDAMENTAL INVESTORS INC CLASS A	0.00	10.62	93.0	0.00	9.88	9.88
03/01/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	0.00	0.64	93.0	0.00	0.60	0.60

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00075566801	O'NEILL, KEVIN	GROWTH FUND AMERICA INC CLASS A	0.00	12.40	93.0	0.00	11.53	11.53
03/01/2018	#00086960344	D'AVANZO,	GROWTH FUND AMERICA INC CLASS A	0.00	3.27	93.0	0.00	3.04	3.04
03/01/2018	#00086971578	POWERS,	GROWTH FUND AMERICA INC CLASS A	0.00	1.57	93.0	0.00	1.46	1.46
03/01/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	0.00	9.13	93.0	0.00	8.49	8.49
03/01/2018	#00086971611	COTTER, SEAN	GROWTH FUND AMERICA INC CLASS A	0.00	21.39	93.0	0.00	19.89	19.89
03/01/2018	#00086957327	ENDO-POTTER,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.54	93.0	0.00	1.43	1.43
03/01/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	0.00	2.74	93.0	0.00	2.55	2.55
03/01/2018	#00087510595	HANSENCYNT	INCOME FUND OF AMERICA INC CLASS A	0.00	0.10	93.0	0.00	0.09	0.09
03/01/2018	#00087510606	OLARTENEID	INCOME FUND OF AMERICA INC CLASS A	0.00	1.47	93.0	0.00	1.37	1.37
03/01/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	6.59	93.0	0.00	6.13	6.13
03/01/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	1.51	93.0	0.00	1.40	1.40
03/01/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-C	0.00	96.45	93.0	0.00	89.70	89.70
03/01/2018	#00084721881	BILLINGS, MARY	INVESTMENT CO AMER CL C	0.00	82.45	93.0	0.00	76.68	76.68
03/01/2018	#00075566801	O'NEILL, KEVIN	INVESTMENT CO AMER CLASS A	0.00	14.52	93.0	0.00	13.50	13.50
03/01/2018	#00075715422	O'SHEA, KEVIN	INVESTMENT CO AMER CLASS A	0.00	17.71	93.0	0.00	16.47	16.47
03/01/2018	#00083636074		INVESTMENT CO AMER CLASS A	0.00	2.51	93.0	0.00	2.33	2.33
03/01/2018	#00083693171	CIRILLO,	INVESTMENT CO AMER CLASS A	0.00	2.53	93.0	0.00	2.35	2.35
03/01/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	0.00	49.30	93.0	0.00	45.85	45.85
03/01/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	0.00	0.89	93.0	0.00	0.83	0.83
03/01/2018	#00086957294	SANTIAGO, OLGA	INVESTMENT CO AMER CLASS A	0.00	0.02	93.0	0.00	0.02	0.02
03/01/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	0.00	16.75	93.0	0.00	15.58	15.58
03/01/2018	#00086960344	D'AVANZO,	INVESTMENT CO AMER CLASS A	0.00	10.75	93.0	0.00	10.00	10.00
03/01/2018	#00086971578	POWERS,	INVESTMENT CO AMER CLASS A	0.00	1.41	93.0	0.00	1.31	1.31
03/01/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	0.00	8.58	93.0	0.00	7.98	7.98
03/01/2018	#00086971611	COTTER, SEAN	INVESTMENT CO AMER CLASS A	0.00	32.62	93.0	0.00	30.34	30.34
03/01/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	0.00	0.75	93.0	0.00	0.70	0.70
03/01/2018	#00087510606	OLARTENEID	INVESTMENT CO AMER CLASS A	0.00	3.30	93.0	0.00	3.07	3.07
03/01/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	0.00	0.73	93.0	0.00	0.68	0.68
03/01/2018	#00087747853		INVESTMENT CO AMER CLASS A	0.00	0.17	93.0	0.00	0.16	0.16
03/01/2018	#00088258370		INVESTMENT CO AMER CLASS A	0.00	19.59	93.0	0.00	18.22	18.22
03/01/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	0.00	0.07	93.0	0.00	0.07	0.07
03/01/2018	#00075566801	O'NEILL, KEVIN	NEW PERSPECTIVE FUND INC CL A	0.00	8.96	93.0	0.00	8.33	8.33
03/01/2018	#00083693171	CIRILLO,	NEW PERSPECTIVE FUND INC CL A	0.00	1.20	93.0	0.00	1.12	1.12
03/01/2018	#00086960344	D'AVANZO,	NEW PERSPECTIVE FUND INC CL A	0.00	3.05	93.0	0.00	2.84	2.84
03/01/2018	#00086971611	COTTER, SEAN	NEW PERSPECTIVE FUND INC CL A	0.00	12.11	93.0	0.00	11.26	11.26
03/01/2018	#00087510595	HANSENCYNT	NEW PERSPECTIVE FUND INC CL A	0.00	0.05	93.0	0.00	0.05	0.05

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00087510606	OLARTENEID NEW PERSPECTIVE FUND INC CL A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
03/01/2018	#00087510609	CHRISTOGEN NEW PERSPECTIVE FUND INC CL A	0.00	0.16	93.0	0.00	0.15	0.00	0.15
03/01/2018	#00087747853	NEW PERSPECTIVE FUND INC CL A	0.00	0.17	93.0	0.00	0.16	0.00	0.16
03/01/2018	#00086957363	WITTER, NEW WORLD FUND INC NEW	0.00	2.79	93.0	0.00	2.59	0.00	2.59
03/01/2018	#00086971578	POWERS, NEW WORLD FUND INC NEW	0.00	1.29	93.0	0.00	1.20	0.00	1.20
03/01/2018	#00086971602	DAVIS, CLARE NEW WORLD FUND INC NEW	0.00	7.62	93.0	0.00	7.09	0.00	7.09
03/01/2018	#00084744733	SMITH, ADAM SHORT-TERM BOND FUND OF AMERICA-A	0.00	14.21	93.0	0.00	13.22	0.00	13.22
03/01/2018	#00084744740	ULLAURI, DIEGO SHORT-TERM BOND FUND OF AMERICA-A	0.00	1.36	93.0	0.00	1.26	0.00	1.26
03/01/2018	#00086957286	DAUS, ROXANNE SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.75	93.0	0.00	0.70	0.00	0.70
03/01/2018	#00086957294	SANTIAGO, OLGA SHORT-TERM BOND FUND OF AMERICA-A	0.00	0.05	93.0	0.00	0.05	0.00	0.05
03/01/2018	#00084721874	BOSTICK, ELENA U.S. GOVERNMENT SECURITIES FUND-C	0.00	376.63	93.0	0.00	350.27	0.00	350.27
03/01/2018	#00086957305	CHIN, STACEY WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.11	93.0	0.00	1.96	0.00	1.96
03/01/2018	#00086957312	CHIN, HENRY WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.27	93.0	0.00	3.97	0.00	3.97
03/07/2018	#01456912318	VARIOUS GULA FIDELITY ADV HIGH INCOME-CL A	0.00	6.34	93.0	0.00	5.90	0.00	5.90
03/07/2018	#01456912318	VARIOUS GULA FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	9.73	93.0	0.00	9.05	0.00	9.05
03/07/2018	#01447415207	VARIOUS GULA FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.43	93.0	0.00	0.40	0.00	0.40
03/07/2018	#01456912318	VARIOUS GULA FIDELITY ADV EQUITY INCOME-CL A	0.00	7.07	93.0	0.00	6.58	0.00	6.58
03/07/2018	#01464755659	SWOPE, STEVEN FIDELITY ADV FREEDOM 2025-CL A	0.00	3.57	93.0	0.00	3.32	0.00	3.32
03/07/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV FREEDOM 2030-CL A	0.00	4.36	93.0	0.00	4.05	0.00	4.05
03/07/2018	#01464755600	VARIOUS GULA FIDELITY ADV FREEDOM 2030-CL A	0.00	0.40	93.0	0.00	0.37	0.00	0.37
03/07/2018	#01464755626	VARIOUS GULA FIDELITY ADV FREEDOM 2030-CL A	0.00	1.35	93.0	0.00	1.26	0.00	1.26
03/07/2018	#01464755675	TREVINO, SERGIO FIDELITY ADV FREEDOM 2035-CL A	0.00	0.56	93.0	0.00	0.52	0.00	0.52
03/07/2018	#01464755691	VARIOUS GULA FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.00	0.04
03/07/2018	#01464755717	SIMMONS, MARK FIDELITY ADV FREEDOM 2040-CL A	0.00	0.10	93.0	0.00	0.09	0.00	0.09
03/07/2018	#01471830404	JARREGARTA FIDELITY ADV FREEDOM 2040-CL A	0.00	0.25	93.0	0.00	0.23	0.00	0.23
03/07/2018	#01447415207	VARIOUS GULA FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.44	93.0	0.00	1.34	0.00	1.34
03/07/2018	#00618339899	VARIOUS GULA FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.79	93.0	0.00	0.73	0.00	0.73
03/07/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV GROWTH OPPORT-CL A	0.00	5.14	93.0	0.00	4.78	0.00	4.78
03/07/2018	#01464755600	VARIOUS GULA FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.50	93.0	0.00	0.47	0.00	0.47
03/07/2018	#00656045705	VARIOUS GULA FIDELITY ADV HEALTH CARE-CL A	0.00	1.66	93.0	0.00	1.54	0.00	1.54
03/07/2018	#01447415207	VARIOUS GULA FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.52	93.0	0.00	0.48	0.00	0.48
03/07/2018	#00656045705	VARIOUS GULA FIDELITY ADV LARGE CAP-CL A	0.00	0.84	93.0	0.00	0.78	0.00	0.78
03/07/2018	#00689730083	VARIOUS GULA FIDELITY ADV LARGE CAP-CL A	0.00	2.08	93.0	0.00	1.93	0.00	1.93
03/07/2018	#00656045705	VARIOUS GULA FIDELITY ADV TECHNOLOGY-CL A	0.00	0.85	93.0	0.00	0.79	0.00	0.79
03/07/2018	#01447415207	VARIOUS GULA FIDELITY ADV UTILITIES-CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
03/07/2018	#00618339899	VARIOUS GULA FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.52	93.0	0.00	0.48	0.00	0.48

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.07	93.0	0.00	1.00	1.00
03/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.88	93.0	0.00	1.75	1.75
03/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.19	93.0	0.00	0.18	0.18
03/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.49	93.0	0.00	1.39	1.39
03/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.48	93.0	0.00	0.45	0.45
03/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	6.50	93.0	0.00	6.05	6.05
03/07/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.13	93.0	0.00	0.12	0.12
03/07/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.39	93.0	0.00	1.29	1.29
03/09/2018	# DURDEN, LEEANNE		DAIN TRAILER	0.00	1.01	93.0	0.00	0.94	0.94
03/09/2018	# ROGERS, SAMANTHA		DAIN TRAILER	0.00	0.64	93.0	0.00	0.60	0.60
03/09/2018	# ROGERS, SAMANTHA		DAIN TRAILER	0.00	1.61	93.0	0.00	1.50	1.50
03/09/2018	# VALVO, JOHN		DAIN TRAILER	0.00	4.10	93.0	0.00	3.81	3.81
03/09/2018	# VALVO, JOHN		DAIN TRAILER	0.00	1.63	93.0	0.00	1.52	1.52
03/09/2018	# RELI, LINDA		DAIN TRAILER	0.00	0.68	93.0	0.00	0.63	0.63
03/09/2018	# BOYD, KENNETH		DAIN TRAILER	0.00	8.38	93.0	0.00	7.79	7.79
03/09/2018	# BOYD, KENNETH		DAIN TRAILER	0.00	14.90	93.0	0.00	13.86	13.86
03/09/2018	# MULLALLY, JOHN		DAIN TRAILER	0.00	2.45	93.0	0.00	2.28	2.28
03/09/2018	# MULLALLY, JOHN		DAIN TRAILER	0.00	5.00	93.0	0.00	4.65	4.65
03/09/2018	# MULLALLY, JOHN		DAIN TRAILER	0.00	5.04	93.0	0.00	4.69	4.69
03/09/2018	# MULLALLY, JOHN		DAIN TRAILER	0.00	7.31	93.0	0.00	6.80	6.80
03/09/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	2.67	93.0	0.00	2.48	2.48
03/09/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	8.57	93.0	0.00	7.97	7.97
03/09/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	0.36	93.0	0.00	0.33	0.33
03/09/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	2.33	93.0	0.00	2.17	2.17
03/09/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	2.98	93.0	0.00	2.77	2.77
03/09/2018	# EDITH RELI REV TRUS		DAIN TRAILER	0.00	11.07	93.0	0.00	10.30	10.30
03/09/2018	# ROGERS, BARBARA		DAIN TRAILER	0.00	4.61	93.0	0.00	4.29	4.29
03/09/2018	# ROGERS, BARBARA		DAIN TRAILER	0.00	10.82	93.0	0.00	10.06	10.06
03/09/2018	# ROGERS, BARBARA		DAIN TRAILER	0.00	14.32	93.0	0.00	13.32	13.32
03/09/2018	# ROGERS, BARBARA		DAIN TRAILER	0.00	4.71	93.0	0.00	4.38	4.38
03/09/2018	# ROGERS, BARBARA		DAIN TRAILER	0.00	6.92	93.0	0.00	6.44	6.44
03/09/2018	# VARIES, CUSTOMER		DAIN TRAILER	0.00	0.10	93.0	0.00	0.09	0.09
03/09/2018	# VARIES, CUSTOMER		DAIN TRAILER	0.00	1.18	93.0	0.00	1.10	1.10
03/09/2018	# VARIES, CUSTOMER		DAIN TRAILER	0.00	5.93	93.0	0.00	5.51	5.51
03/09/2018	# VARIES, CUSTOMER		DAIN TRAILER	0.00	1.18	93.0	0.00	1.10	1.10
03/09/2018	# VARIES, CUSTOMER		DAIN TRAILER	0.00	2.37	93.0	0.00	2.20	2.20

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/27/2018 # MULLALLY, JOHN	DAIN TRAILER	0.00	2.15	93.0	0.00	2.00	0.00	2.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$1,329.32		\$0.00	\$1,236.36	\$0.00	\$1,236.36
*** BROKER TRANSACTIONS TOTAL ***		\$121,849.99	\$3,936.06		\$0.00	\$3,585.66	\$99.85	\$3,485.81

ADJUSTMENTS

04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	ADMIN FEE: ADMIN FEE	-390.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-784.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,485.81
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-784.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,701.81
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