



Concorde Investment Services, LLC

07/05/2018

Page No. 1

COMMISSION STATEMENT

June 30, 2018

JEFFREY, K119 KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/13/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
06/13/2018	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	593.06	5.93	0.00	5.93	90.0	5.34
06/13/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	95.18	0.95	0.00	0.95	90.0	0.86
06/13/2018	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	158.63	1.59	0.00	1.59	90.0	1.43
06/13/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
06/13/2018	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	41.59	0.42	0.00	0.42	90.0	0.38
06/13/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.19	0.28	0.00	0.28	90.0	0.25
06/13/2018	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	28.19	0.28	0.00	0.28	90.0	0.25
06/13/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/13/2018	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/13/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.17	0.43	0.00	0.43	90.0	0.39
06/13/2018	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	43.17	0.43	0.00	0.43	90.0	0.39
06/13/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	135.82	1.36	0.00	1.36	90.0	1.22
06/13/2018	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	316.92	3.17	0.00	3.17	90.0	2.85
06/13/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	69.19	0.69	0.00	0.69	90.0	0.62
06/13/2018	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	69.19	0.69	0.00	0.69	90.0	0.62
06/20/2018	GRIFFIN, AMANDA	AM FUNDS AMERICAN BALANCED A	40,000.00	1,100.00	0.00	1,100.00	90.0	990.00
06/13/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	65.92	0.66	0.00	0.66	90.0	0.59
06/13/2018	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.72	0.25	0.00	0.25	90.0	0.23
06/13/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
06/13/2018	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	173.66	1.74	0.00	1.74	90.0	1.57
06/13/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	45.33	0.45	0.00	0.45	90.0	0.41
06/13/2018	GODAR, LOUISE	AM FUNDS BALANCED PORT A	45.33	0.45	0.00	0.45	90.0	0.41
06/13/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	21.31	0.21	0.00	0.21	90.0	0.19
06/13/2018	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	42.61	0.43	0.00	0.43	90.0	0.39
06/11/2018	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	188.46	3.02	0.00	3.02	90.0	2.72

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/13/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	49.44	0.49	0.00	0.49	90.0	0.44
06/13/2018	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.54	0.19	0.00	0.19	90.0	0.17
06/13/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	63.01	0.63	0.00	0.63	90.0	0.57
06/13/2018	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	63.01	0.63	0.00	0.63	90.0	0.57
06/13/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	60.15	0.60	0.00	0.60	90.0	0.54
06/13/2018	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	60.15	0.60	0.00	0.60	90.0	0.54
06/11/2018	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
06/11/2018	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
06/11/2018	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	376.92	6.03	0.00	6.03	90.0	5.43
06/12/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	63.08	2.37	0.00	2.37	90.0	2.13
06/12/2018	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	58.35	2.19	0.00	2.19	90.0	1.97
06/12/2018	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	148.91	5.58	0.00	5.58	90.0	5.02
06/12/2018	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	225.00	8.44	0.00	8.44	90.0	7.60
06/13/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	42.61	0.43	0.00	0.43	90.0	0.39
06/13/2018	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	85.23	0.85	0.00	0.85	90.0	0.77
06/13/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	15.22	0.15	0.00	0.15	90.0	0.14
06/13/2018	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	55.80	0.56	0.00	0.56	90.0	0.50
06/13/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	49.44	0.49	0.00	0.49	90.0	0.44
06/13/2018	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.54	0.19	0.00	0.19	90.0	0.17
06/13/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	50.12	0.50	0.00	0.50	90.0	0.45
06/13/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	50.12	0.50	0.00	0.50	90.0	0.45
06/13/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
06/13/2018	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.76	0.31	0.00	0.31	90.0	0.28
06/13/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	61.13	0.61	0.00	0.61	90.0	0.55
06/13/2018	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	61.13	0.61	0.00	0.61	90.0	0.55
06/13/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	41.12	0.41	0.00	0.41	90.0	0.37
06/13/2018	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	41.12	0.41	0.00	0.41	90.0	0.37
06/14/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
06/14/2018	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69
06/14/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	26.84	1.34	0.00	1.34	90.0	1.21
06/14/2018	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	35.79	1.79	0.00	1.79	90.0	1.61
06/12/2018	WINFREY, LARRY	AM FUNDS ICA A	150.00	5.63	0.00	5.63	90.0	5.07
06/12/2018	BAUER, MATTHEW	AM FUNDS ICA A	61.22	2.30	0.00	2.30	90.0	2.07

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/12/2018	SUMMERS, AARON	AM FUNDS ICA A	58.34	2.19	0.00	2.19	90.0	1.97
06/12/2018	EICHHOLZ, JARED	AM FUNDS ICA A	148.92	5.58	0.00	5.58	90.0	5.02
06/13/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	61.20	0.61	0.00	0.61	90.0	0.55
06/13/2018	OPPLAND, ZACHARY	AM FUNDS ICA A	244.80	2.45	0.00	2.45	90.0	2.21
06/13/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
06/13/2018	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	38.40	0.38	0.00	0.38	90.0	0.34
06/11/2018	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	188.46	3.02	0.00	3.02	90.0	2.72
06/12/2018	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	61.22	2.30	0.00	2.30	90.0	2.07
06/13/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.77	0.15	0.00	0.15	90.0	0.14
06/13/2018	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	54.16	0.54	0.00	0.54	90.0	0.49
06/13/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	41.54	0.42	0.00	0.42	90.0	0.38
06/13/2018	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	69.23	0.69	0.00	0.69	90.0	0.62
06/13/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	42.61	0.43	0.00	0.43	90.0	0.39
06/13/2018	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	85.23	0.85	0.00	0.85	90.0	0.77
06/13/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.77	0.15	0.00	0.15	90.0	0.14
06/13/2018	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	54.16	0.54	0.00	0.54	90.0	0.49
06/13/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
06/13/2018	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.86	0.30	0.00	0.30	90.0	0.27
06/11/2018	CROWLEY, MICHA	AM FUNDS NEW WORLD A	188.46	3.02	0.00	3.02	90.0	2.72
06/13/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
06/13/2018	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.86	0.30	0.00	0.30	90.0	0.27
06/13/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	31.58	0.32	0.00	0.32	90.0	0.29
06/13/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	31.58	0.32	0.00	0.32	90.0	0.29
06/13/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	35.51	0.36	0.00	0.36	90.0	0.32
06/13/2018	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	71.02	0.71	0.00	0.71	90.0	0.64
* MUTUAL FUNDS SUBTOTAL *			\$48,470.96	\$1,231.75	\$0.00	\$1,231.75		\$1,108.65
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/04/2018	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
06/04/2018	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/04/2018	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/04/2018	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
06/04/2018	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/04/2018	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/04/2018	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
06/04/2018	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/04/2018	MCNERNEY, DAVID	PAC LIFE VUL	1,000.00	20.00	0.00	20.00	90.0	18.00
06/04/2018	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
06/04/2018	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
06/04/2018	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
06/04/2018	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
06/04/2018	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
06/05/2018	BROCKSMITH, RACHEL	PAC LIFE VUL	19.84	0.40	0.00	0.40	90.0	0.36
06/05/2018	BROCKSMITH, RACHEL	PAC LIFE VUL	80.16	1.60	0.00	1.60	90.0	1.44
06/06/2018	ELLIOTT, PATRICIA	PAC LIFE VUL	4.39	0.09	0.00	0.09	90.0	0.08
06/06/2018	ELLIOTT, PATRICIA	PAC LIFE VUL	95.61	1.91	0.00	1.91	90.0	1.72
06/07/2018	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
06/07/2018	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
06/07/2018	GUIER, CLINT	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
06/07/2018	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
06/07/2018	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/12/2018	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
06/12/2018	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
06/12/2018	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/12/2018	MURRAY, JEFFREY	PAC LIFE VUL	150.00	0.00	0.00	0.00	90.0	0.00
06/13/2018	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
06/14/2018	MURRAY, JEFFREY	PAC LIFE VUL	464.89	9.30	0.00	9.30	90.0	8.37
06/14/2018	MURRAY, JEFFREY	PAC LIFE VUL	119.68	2.39	0.00	2.39	90.0	2.15
06/15/2018	ANDING, MARY DIANE	PAC LIFE VUL	179,345.14	358.69	0.00	358.69	90.0	322.82
06/15/2018	ANDING, MARY DIANE	PAC LIFE VUL	198,781.88	397.56	0.00	397.56	90.0	357.80
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$385,607.55	\$915.26	\$0.00	\$915.26		\$823.74
*** DIRECT TRANSACTIONS TOTAL ***			\$434,078.51	\$2,147.01	\$0.00	\$2,147.01		\$1,932.39

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/11/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	22.00	0.00	22.00	90.0	19.80
06/13/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	20.51	0.12	0.00	0.12	90.0	0.11
06/13/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	20.51	0.12	0.00	0.12	90.0	0.11
06/18/2018	BAILEY, JASON	AM FUNDS AMERICAN BALANCED A	250.00	3.78	0.00	3.78	90.0	3.40
06/13/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
06/13/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.15	0.00	0.15	90.0	0.14
06/13/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	40.37	0.22	0.00	0.22	90.0	0.20
06/13/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	40.37	0.22	0.00	0.22	90.0	0.20
06/11/2018	MORIARITY, COREY	AM FUNDS GROWTH FUND OF AMERICA A	897.54	5.92	0.00	5.92	90.0	5.33
06/11/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
06/13/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	51.36	0.28	0.00	0.28	90.0	0.25
06/13/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	51.36	0.28	0.00	0.28	90.0	0.25
06/13/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	20.25	0.11	0.00	0.11	90.0	0.10
06/13/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	27.00	0.15	0.00	0.15	90.0	0.14
06/13/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
06/13/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	46.69	0.26	0.00	0.26	90.0	0.23
06/13/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
06/13/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.07	0.00	0.07	90.0	0.06
06/13/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
06/13/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.16	0.00	0.16	90.0	0.14
06/13/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	51.56	0.29	0.00	0.29	90.0	0.26
06/13/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	51.56	0.29	0.00	0.29	90.0	0.26
06/13/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	44.06	0.24	0.00	0.24	90.0	0.22
06/13/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	44.06	0.24	0.00	0.24	90.0	0.22
06/13/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	2.69	0.02	0.00	0.02	90.0	0.02
06/13/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	2.69	0.02	0.00	0.02	90.0	0.02
06/13/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.96	0.22	0.00	0.22	90.0	0.20
06/13/2018	CATALANO, SHELBY	AM FUNDS GROWTH FUND OF AMERICA A	39.96	0.22	0.00	0.22	90.0	0.20
06/13/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	32.06	0.18	0.00	0.18	90.0	0.16
06/13/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	74.80	0.41	0.00	0.41	90.0	0.37
06/13/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.76	0.04	0.00	0.04	90.0	0.04
06/13/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	7.76	0.04	0.00	0.04	90.0	0.04
06/13/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	36.00	0.20	0.00	0.20	90.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/13/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	36.00	0.20	0.00	0.20	90.0	0.18
06/13/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	41.84	0.23	0.00	0.23	90.0	0.21
06/13/2018	ASBURY, CANAAN	AM FUNDS GROWTH FUND OF AMERICA A	41.84	0.23	0.00	0.23	90.0	0.21
06/13/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	46.88	0.26	0.00	0.26	90.0	0.23
06/13/2018	DOWNES, JANICE	AM FUNDS GROWTH FUND OF AMERICA A	46.88	0.26	0.00	0.26	90.0	0.23
06/13/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
06/13/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.17	0.00	0.17	90.0	0.15
06/13/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	42.57	0.24	0.00	0.24	90.0	0.22
06/13/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	42.57	0.24	0.00	0.24	90.0	0.22
06/14/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	26.98	0.74	0.00	0.74	90.0	0.67
06/14/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	26.98	0.74	0.00	0.74	90.0	0.67
06/15/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA A	300.00	1.98	0.00	1.98	90.0	1.78
06/15/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
06/15/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
06/18/2018	BAILEY, JASON	AM FUNDS GROWTH FUND OF AMERICA A	250.00	3.78	0.00	3.78	90.0	3.40
06/20/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	520.84	12.18	0.00	12.18	90.0	10.96
06/20/2018	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	89.91	2.10	0.00	2.10	90.0	1.89
06/20/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	520.84	12.18	0.00	12.18	90.0	10.96
06/20/2018	SUMNER, CASEY	AM FUNDS GROWTH FUND OF AMERICA A	19.36	0.45	0.00	0.45	90.0	0.41
06/20/2018	BAILEY, JUDITH	AM FUNDS GROWTH FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
06/20/2018	MCNERNEY, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
06/21/2018	GUIER, BETHANY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
06/13/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	48.61	0.27	0.00	0.27	90.0	0.24
06/13/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	162.03	0.89	0.00	0.89	90.0	0.80
06/13/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.40	0.10	0.00	0.10	90.0	0.09
06/13/2018	ZELLMER, RIANNON	AM FUNDS ICA A	19.40	0.10	0.00	0.10	90.0	0.09
06/13/2018	WAHLIG, KACEY	AM FUNDS ICA A	20.51	0.12	0.00	0.12	90.0	0.11
06/13/2018	WAHLIG, KACEY	AM FUNDS ICA A	20.51	0.12	0.00	0.12	90.0	0.11
06/13/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	24.01	0.13	0.00	0.13	90.0	0.12
06/13/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	24.01	0.13	0.00	0.13	90.0	0.12
06/21/2018	GUIER, BETHANY	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
06/13/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	42.97	0.24	0.00	0.24	90.0	0.22
06/13/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	42.97	0.24	0.00	0.24	90.0	0.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u>								
06/20/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	520.83	12.18	0.00	12.18	90.0	10.96
06/20/2018	SUMNER, CALEB	AM FUNDS NEW ECONOMY A	89.92	2.10	0.00	2.10	90.0	1.89
06/20/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	520.83	12.18	0.00	12.18	90.0	10.96
06/20/2018	SUMNER, CASEY	AM FUNDS NEW ECONOMY A	19.35	0.45	0.00	0.45	90.0	0.41
06/13/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
06/13/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	312.85	1.72	0.00	1.72	90.0	1.55
06/13/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	20.25	0.11	0.00	0.11	90.0	0.10
06/13/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	27.00	0.15	0.00	0.15	90.0	0.14
06/13/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	33.79	0.19	0.00	0.19	90.0	0.17
06/13/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	56.31	0.31	0.00	0.31	90.0	0.28
06/11/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
06/13/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	24.01	0.13	0.00	0.13	90.0	0.12
06/13/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	24.01	0.13	0.00	0.13	90.0	0.12
06/13/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
06/13/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.15	0.00	0.15	90.0	0.14
06/15/2018	THOMPSON, TERRY	AM FUNDS SMALLCAP WORLD A	300.00	1.98	0.00	1.98	90.0	1.78
06/15/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *			\$8,493.77	\$133.80	\$0.00	\$133.80		\$120.49
*** SPLIT TRANSACTIONS TOTAL ***			\$8,493.77	\$133.80	\$0.00	\$133.80		\$120.49

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: K146-JASON KEMBLE #348</u>								
06/11/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	1.70
06/11/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	0.85
06/11/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	0.85
06/11/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	4.25	0.00	4.25	90.0	1.70
* K146-JASON KEMBLE SUBTOTAL *			\$300.00	\$12.76	\$0.00	\$12.76		\$5.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$12.76	\$0.00	\$12.76		\$5.10

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BROKER COMMISSION TOTALS

Broker Transactions	\$1,932.39
Split Transactions	\$120.49
Override Transactions	\$5.10
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,057.98
Year-to-date Gross	\$99,068.98
Year-to-date Earnings	\$95,376.03