



COMMISSION STATEMENT

February 19, 2018

GARRETT MORETZ181 North Main Street, Ste 208
Mooresville, NC 28115**BROKER #: B09**

BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/13/2018	***-**-677I - LAIL, DWIGHT	PRUDENTIAL HIGH YIELD CLASS A	3,398.24	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR012166	SELL 625 Units @5.4400						
		* MUTUAL FUNDS SUBTOTAL *	\$3,398.24	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
02/16/2018	***-**-42FB - ANDREJACK, DAVID	EQIS ADVISOR FEES	0.00	0.10	0.00	0.10	82.0	0.08
		BUY 1 Units @0.0000						
02/16/2018	***-**-29FB - ANTHONY, APRIL	EQIS ADVISOR FEES	0.00	79.78	0.00	79.78	82.0	65.42
		BUY 1 Units @0.0000						
02/16/2018	***-**-86FB - ARRINGTON, ROGER	EQIS ADVISOR FEES	0.00	136.30	0.00	136.30	82.0	111.77
		BUY 1 Units @0.0000						
02/16/2018	***-**-71FB - BEDDOW, DONALD	EQIS ADVISOR FEES	0.00	171.12	0.00	171.12	82.0	140.32
		BUY 1 Units @0.0000						
02/16/2018	***-**-40FB - BOHNAKER, ANGELA	EQIS ADVISOR FEES	0.00	43.54	0.00	43.54	82.0	35.70
		BUY 1 Units @0.0000						
02/16/2018	***-**-95FB - BROWN, SUSAN	EQIS ADVISOR FEES	0.00	83.65	0.00	83.65	82.0	68.59
		BUY 1 Units @0.0000						
02/16/2018	***-**-771I - CARPENTIER,	EQIS ADVISOR FEES	0.00	27.11	0.00	27.11	82.0	22.23
		BUY 1 Units @0.0000						
02/16/2018	***-**-10FB - CLINGON, BILLY	EQIS ADVISOR FEES	0.00	113.39	0.00	113.39	82.0	92.98
		BUY 1 Units @0.0000						
02/16/2018	***-**-58FB - CLYMER, DEFOREST	EQIS ADVISOR FEES	0.00	9.39	0.00	9.39	82.0	7.70
		BUY 1 Units @0.0000						
02/16/2018	***-**-41FB - COOPER, JOHN	EQIS ADVISOR FEES	0.00	32.87	0.00	32.87	82.0	26.95
		BUY 1 Units @0.0000						
02/16/2018	***-**-01FB - DAGENHART, JAMES	EQIS ADVISOR FEES	0.00	100.58	0.00	100.58	82.0	82.48
		BUY 1 Units @0.0000						
02/16/2018	***-**-21FB - DAVIS, SIMONE	EQIS ADVISOR FEES	0.00	240.99	0.00	240.99	82.0	197.61
		BUY 1 Units @0.0000						
02/16/2018	***-**-26FB - FARZALO, STEVE	EQIS ADVISOR FEES	0.00	15.02	0.00	15.02	82.0	12.32
		BUY 1 Units @0.0000						
02/16/2018	***-**-85FB - FARZALO, TAMAR	EQIS ADVISOR FEES	0.00	15.11	0.00	15.11	82.0	12.39
		BUY 1 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
02/16/2018	***-**-34FB - FOWLER, MILTON	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	145.50	0.00	145.50	82.0	119.31
02/16/2018	***-**-39FB - GRINDLE, ROBERT	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	130.89	0.00	130.89	82.0	107.33
02/16/2018	***-**-77FB - HARRIS,	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	185.98	0.00	185.98	82.0	152.50
02/16/2018	***-**-48FB - HEFNER, EULA	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	24.19	0.00	24.19	82.0	19.84
02/16/2018	***-**-03FB - HINES, WALTER	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	21.65	0.00	21.65	82.0	17.75
02/16/2018	***-**-84FB - HOLDER, DERRICK	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	170.59	0.00	170.59	82.0	139.88
02/16/2018	***-**-98FB - HUNTER, JEFFREY	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	18.11	0.00	18.11	82.0	14.85
02/16/2018	***-**-27FB - KULIKOWSKI, SARA	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	11.57	0.00	11.57	82.0	9.49
02/16/2018	***-**-94FB - MARQUIS, ALAN	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	42.02	0.00	42.02	82.0	34.46
02/16/2018	***-**-65FB - MCINTYRE, SHARON	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	138.75	0.00	138.75	82.0	113.78
02/16/2018	***-**-8564 - MERLO, RALPH	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	48.12	0.00	48.12	82.0	39.46
02/16/2018	***-**-96FB - MERLO, JANE	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	52.51	0.00	52.51	82.0	43.06
02/16/2018	***-**-59FB - MERRILL, SARA	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	24.84	0.00	24.84	82.0	20.37
02/16/2018	***-**-58FB - NELSON-GAINES,	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	13.49	0.00	13.49	82.0	11.06
02/16/2018	***-**-06FB - PARSONS, CLARE	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	102.20	0.00	102.20	82.0	83.80
02/16/2018	***-**-77FB - PECK, GORDON	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	34.45	0.00	34.45	82.0	28.25



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
02/16/2018	***-**-98FB - PFYFFER, ALBERT	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	42.71	0.00	42.71	82.0	35.02
02/16/2018	***-**-60FB - PLANK, MICHAEL	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	123.23	0.00	123.23	82.0	101.05
02/16/2018	***-**-43FB - RODES, KENNETH	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	89.96	0.00	89.96	82.0	73.77
02/16/2018	***-**-13FB - RUSSELL, MARK	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	33.31	0.00	33.31	82.0	27.31
02/16/2018	***-**-52FB - SCHNABEL,	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	71.84	0.00	71.84	82.0	58.91
02/16/2018	***-**-66FB - WHITE, BARBARA	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	5.78	0.00	5.78	82.0	4.74
02/16/2018	***-**-72FB - YOUNG, TAMMY	EQIS ADVISOR FEES BUY 1 Units @0.0000	0.00	174.69	0.00	174.69	82.0	143.25
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$2,775.33</u>	<u>\$0.00</u>	<u>\$2,775.33</u>		<u>\$2,275.78</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$3,398.24</u>	<u>\$2,775.33</u>	<u>\$0.00</u>	<u>\$2,775.33</u>		<u>\$2,275.78</u>



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BROKER COMMISSION TOTALS									
Broker Transactions \$2,275.78									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$63.68									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$2,339.46									
Year-to-date Earnings \$0.00									