

All Companies
STATEMENT
 August 20, 2018

VASTU SHAH
 1725 Burgoyne Ct
 SUITE 620
 Chesterbrook, PA 19087

BROKER #: 216
 BRANCH: LA BRANCH

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
07/05/2018	#000000000356752	FRANKLIN STRATEGIC SER NAT RES FD C	322.83	3.23	93.0	0.00	3.00	0.00	3.00
07/05/2018	#000000000869786	ELANCHENNY, FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	0.00	4.65
07/05/2018	#000000000645010	MUTUAL FINANCIAL SERVICES FUND-CLAS	322.83	3.23	93.0	0.00	3.00	0.00	3.00
07/09/2018	#03900189779	SAINI, VIPUL FIDELITY ADV EUROPE FUND CLASS C	48.08	0.48	93.0	0.00	0.45	0.00	0.45
07/09/2018	#03900189779	SAINI, VIPUL FIDELITY ADV FREEDOM 2020-CL C	96.16	0.96	93.0	0.00	0.89	0.00	0.89
07/09/2018	#03900189779	SAINI, VIPUL FIDELITY ADV FREEDOM 2025 CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
07/09/2018	#01484642077	MENGWEWENDE FIDELITY ADV GLOBAL CAP APP-CL C	4.72	0.05	93.0	0.00	0.05	0.00	0.05
07/09/2018	#01484642127	FIDELITY ADV GLOBAL CAP APP-CL C	19.09	0.19	93.0	0.00	0.18	0.00	0.18
07/09/2018	#03900189779	SAINI, VIPUL FIDELITY ADV INT'L CAPITAL APP CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
07/09/2018	#01484641962	FILOPDIANL FIDELITY ADVISOR FOCUS FUNDS HEALTH	32.85	0.33	93.0	0.00	0.31	0.00	0.31
07/09/2018	#01484642051	HERSHLORR FIDELITY ADVISOR FOCUS FUNDS HEALTH	75.49	0.75	93.0	0.00	0.70	0.00	0.70
07/09/2018	#01484642077	MENGWEWENDE FIDELITY ADVISOR FOCUS FUNDS HEALTH	9.44	0.09	93.0	0.00	0.08	0.00	0.08
07/09/2018	#03900189779	SAINI, VIPUL FIDELITY ADVISOR FOCUS FUNDS HEALTH	96.15	0.96	93.0	0.00	0.89	0.00	0.89
07/09/2018	#01484641962	FILOPDIANL FIDELITY ADVISOR SER VII BIOTECHNOL	32.85	0.33	93.0	0.00	0.31	0.00	0.31
07/09/2018	#01484642051	HERSHLORR FIDELITY ADVISOR SER VII BIOTECHNOL	75.49	0.75	93.0	0.00	0.70	0.00	0.70
07/09/2018	#01484642077	MENGWEWENDE FIDELITY ADVISOR SER VII BIOTECHNOL	7.08	0.07	93.0	0.00	0.07	0.00	0.07
07/09/2018	#01484642051	HERSHLORR FIDELITY ADVISOR SER VIII GLOBAL EQ	75.49	0.75	93.0	0.00	0.70	0.00	0.70
07/09/2018	#01484642077	MENGWEWENDE FIDELITY ADVISOR SER VIII GLOBAL EQ	4.74	0.05	93.0	0.00	0.05	0.00	0.05
07/09/2018	#01484642077	MENGWEWENDE FIDELITY MID CAP VALUE	9.44	0.09	93.0	0.00	0.08	0.00	0.08
07/09/2018	#01484642127	FIDELITY MID CAP VALUE	11.46	0.11	93.0	0.00	0.10	0.00	0.10
07/09/2018	#01484642077	MENGWEWENDE FIDELITY SMALL CAP BLEND	7.08	0.07	93.0	0.00	0.07	0.00	0.07
07/09/2018	#01484642127	FIDELITY SMALL CAP BLEND	7.64	0.08	93.0	0.00	0.07	0.00	0.07
07/09/2018	#01484641962	FILOPDIANL FIDELITY VALUE FUND	32.85	0.33	93.0	0.00	0.31	0.00	0.31
07/09/2018	#01484642051	HERSHLORR FIDELITY VALUE FUND	75.49	0.75	93.0	0.00	0.70	0.00	0.70
07/09/2018	#01484642077	MENGWEWENDE FIDELITY VALUE FUND	4.72	0.05	93.0	0.00	0.05	0.00	0.05
07/09/2018	#01484642127	FIDELITY VALUE FUND	23.87	0.24	93.0	0.00	0.22	0.00	0.22
07/09/2018	#01484641962	FILOPDIANL FIDLEITY INTERMEDIATE	32.85	0.33	93.0	0.00	0.31	0.00	0.31
07/09/2018	#01484642051	HERSHLORR FIDLEITY INTERMEDIATE	75.49	0.75	93.0	0.00	0.70	0.00	0.70

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/09/2018	#01484642127	FIDLEITY INTERMEDIATE	33.41	0.33	93.0	0.00	0.31	0.00	0.31
07/09/2018	#03900189779 SAINI, VIPUL	FIDLEITY INTERMEDIATE	48.08	0.48	93.0	0.00	0.45	0.00	0.45
07/11/2018	# PATEL, PRAVIN	FIDELITY ADVISOR SER VII FINANCIAL	25,000.00	0.00	93.0	0.00	0.00	20.00	-20.00
07/11/2018	# PATEL, PRAVIN	FIDELITY ADVISOR SER VII TECHNOLOGY	25,000.00	0.00	93.0	0.00	0.00	20.00	-20.00
07/17/2018	#000000000356752	FRANKLIN STRATEGIC SER NAT RES FD C	322.83	3.23	93.0	0.00	3.00	0.00	3.00
07/17/2018	#000000000869786 ELANCHENNY,	FRKLN GOLD & PRECIOUS METALS FUND C	500.00	5.00	93.0	0.00	4.65	0.00	4.65
07/17/2018	#0000000000645010	MUTUAL FINANCIAL SERVICES FUND-CLAS	322.83	3.23	93.0	0.00	3.00	0.00	3.00
07/23/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV EUROPE FUND CLASS C	48.08	0.48	93.0	0.00	0.45	0.00	0.45
07/23/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV FREEDOM 2020-CL C	96.16	0.96	93.0	0.00	0.89	0.00	0.89
07/23/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV FREEDOM 2025 CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
07/23/2018	#01484642077 MENGEWENDE	FIDELITY ADV GLOBAL CAP APP-CL C	9.02	0.09	93.0	0.00	0.08	0.00	0.08
07/23/2018	#01484642127	FIDELITY ADV GLOBAL CAP APP-CL C	23.21	0.23	93.0	0.00	0.21	0.00	0.21
07/23/2018	#03900189779 SAINI, VIPUL	FIDELITY ADV INT'L CAPITAL APP CL C	96.15	0.96	93.0	0.00	0.89	0.00	0.89
07/23/2018	#01484641962 FILOPDIANL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	71.33	0.71	93.0	0.00	0.66	0.00	0.66
07/23/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR FOCUS FUNDS HEALTH	77.62	0.78	93.0	0.00	0.73	0.00	0.73
07/23/2018	#01484642077 MENGEWENDE	FIDELITY ADVISOR FOCUS FUNDS HEALTH	18.04	0.18	93.0	0.00	0.17	0.00	0.17
07/23/2018	#03900189779 SAINI, VIPUL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	96.15	0.96	93.0	0.00	0.89	0.00	0.89
07/23/2018	#01484641962 FILOPDIANL	FIDELITY ADVISOR SER VII BIOTECHNOL	71.33	0.71	93.0	0.00	0.66	0.00	0.66
07/23/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR SER VII BIOTECHNOL	77.62	0.78	93.0	0.00	0.73	0.00	0.73
07/23/2018	#01484642077 MENGEWENDE	FIDELITY ADVISOR SER VII BIOTECHNOL	13.53	0.14	93.0	0.00	0.13	0.00	0.13
07/23/2018	#01484642051 HERSHLORR	FIDELITY ADVISOR SER VIII GLOBAL EQ	77.63	0.78	93.0	0.00	0.73	0.00	0.73
07/23/2018	#01484642077 MENGEWENDE	FIDELITY ADVISOR SER VIII GLOBAL EQ	9.02	0.09	93.0	0.00	0.08	0.00	0.08
07/23/2018	#01484642077 MENGEWENDE	FIDELITY MID CAP VALUE	18.04	0.18	93.0	0.00	0.17	0.00	0.17
07/23/2018	#01484642127	FIDELITY MID CAP VALUE	13.93	0.14	93.0	0.00	0.13	0.00	0.13
07/23/2018	#01484642077 MENGEWENDE	FIDELITY SMALL CAP BLEND	13.53	0.14	93.0	0.00	0.13	0.00	0.13
07/23/2018	#01484642127	FIDELITY SMALL CAP BLEND	9.28	0.09	93.0	0.00	0.08	0.00	0.08
07/23/2018	#01484641962 FILOPDIANL	FIDELITY VALUE FUND	71.33	0.71	93.0	0.00	0.66	0.00	0.66
07/23/2018	#01484642051 HERSHLORR	FIDELITY VALUE FUND	77.62	0.78	93.0	0.00	0.73	0.00	0.73
07/23/2018	#01484642077 MENGEWENDE	FIDELITY VALUE FUND	9.02	0.09	93.0	0.00	0.08	0.00	0.08
07/23/2018	#01484642127	FIDELITY VALUE FUND	29.01	0.29	93.0	0.00	0.27	0.00	0.27
07/23/2018	#01484641962 FILOPDIANL	FIDLEITY INTERMEDIATE	71.31	0.71	93.0	0.00	0.66	0.00	0.66
07/23/2018	#01484642051 HERSHLORR	FIDLEITY INTERMEDIATE	77.62	0.78	93.0	0.00	0.73	0.00	0.73
07/23/2018	#01484642127	FIDLEITY INTERMEDIATE	40.62	0.41	93.0	0.00	0.38	0.00	0.38
07/23/2018	#03900189779 SAINI, VIPUL	FIDLEITY INTERMEDIATE	48.08	0.48	93.0	0.00	0.45	0.00	0.45
* MUTUAL FUNDS SUBTOTAL *			\$54,784.06	\$47.82		\$0.00	\$44.49	\$40.00	\$4.49

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/02/2018 #	PATEL, PRAVIN	PFIZER INC	47,092.50	20.00	85.0	0.00	17.00	19.95	-2.95
07/03/2018 #	PATEL, PRAVIN	WALGREEN BOOTS ALLIANCE INC COM	60,750.00	20.00	85.0	0.00	17.00	19.95	-2.95
07/11/2018 #	PATEL, PRAVIN	AMERICAN EXPRESS COMPANY	20,096.68	20.00	85.0	0.00	17.00	19.95	-2.95
07/13/2018 #	BHATT, BHARAT	CHARTER COMMUNICATIONS INC NEW CL A	916.29	10.00	85.0	0.00	8.50	19.95	-11.45
07/13/2018 #	BHARAT C SHAH TTEE	LOWES COMPANIES INC	20,007.32	84.00	85.0	0.00	71.40	19.95	51.45
07/13/2018 #	BHARAT C SHAH TTEE	MICRO FOCUS INTERNATIONAL PLC AMERI	2,297.82	25.00	85.0	0.00	21.25	19.95	1.30
07/13/2018 #	BHARAT C SHAH TTEE	MICROSOFT CORP	21,021.70	84.00	85.0	0.00	71.40	19.95	51.45
07/18/2018 #	PATEL, PRAVIN	L BRANDS INC	32,204.00	20.00	85.0	0.00	17.00	19.95	-2.95
07/18/2018 #	PATEL, PRAVIN	MICROSOFT CORP	52,503.48	20.00	85.0	0.00	17.00	19.95	-2.95
07/23/2018 #	PATEL, PRAVIN	ALPHABET INC CLASS C CAPITAL STOCK	60,183.00	15.00	85.0	0.00	12.75	19.95	-7.20
07/23/2018 #	PATEL, PRAVIN	MICROSOFT CORP	54,000.00	30.00	85.0	0.00	25.50	19.95	5.55
07/24/2018 #	PATEL, PRAVIN	ALPHABET INC CLASS C CAPITAL STOCK	62,200.00	25.00	85.0	0.00	21.25	19.95	1.30
07/24/2018 #	PATEL, PRAVIN	FACEBOOK INC	64,437.00	20.00	85.0	0.00	17.00	19.95	-2.95
* STOCKS SUBTOTAL *			\$497,709.79	\$393.00		\$0.00	\$334.05	\$259.35	\$74.70
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/30/0201 #1326880000	COMPREHENSIVE	JOHN HANCOCK ARA	1,559,965.00	648.50	93.0	0.00	603.11	0.00	603.11
* VARIABLE ANNUITIES SUBTOTAL *			\$1,559,965.00	\$648.50		\$0.00	\$603.11	\$0.00	\$603.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018 #07970869825	MOSKAITIS, JOHN	OPPENHEIMER ROCHESTER NATL MUNICIPA	0.00	3.06	93.0	0.00	2.85	0.00	2.85
07/01/2018 #05002646338	VARIOUS SHAH	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	14.38	93.0	0.00	13.37	0.00	13.37
07/01/2018 #05002646338	VARIOUS SHAH	INVESCO VAN KAMPEN MID CAP GROWTH F	0.00	38.43	93.0	0.00	35.74	0.00	35.74
07/09/2018 #03900189779	SAINI, VIPUL	FIDELITY ADV FREEDOM 2020-CL C	0.00	0.16	93.0	0.00	0.15	0.00	0.15
07/09/2018 #03900189786	SHAH-SAINI,	FIDELITY ADV FREEDOM 2020-CL C	0.00	0.14	93.0	0.00	0.13	0.00	0.13
07/09/2018 #03900189779	SAINI, VIPUL	FIDELITY ADV FREEDOM 2025 CL C	0.00	0.16	93.0	0.00	0.15	0.00	0.15
07/09/2018 #03900189786	SHAH-SAINI,	FIDELITY ADV FREEDOM 2025 CL C	0.00	0.13	93.0	0.00	0.12	0.00	0.12
07/09/2018 #01484642077	MENGWEWENDE	FIDELITY ADV GLOBAL CAP APP-CL C	0.00	0.46	93.0	0.00	0.43	0.00	0.43
07/09/2018 #01484642127		FIDELITY ADV GLOBAL CAP APP-CL C	0.00	1.16	93.0	0.00	1.08	0.00	1.08
07/09/2018 #01489645067	REDDY, PANNALA	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	6.73	93.0	0.00	6.26	0.00	6.26
07/09/2018 #01484641962	FILOPDIANL	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	1.60	93.0	0.00	1.49	0.00	1.49
07/09/2018 #01484642051	HERSHLORR	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	3.73	93.0	0.00	3.47	0.00	3.47
07/09/2018 #01484642077	MENGWEWENDE	FIDELITY ADVISOR FOCUS FUNDS HEALTH	0.00	0.88	93.0	0.00	0.82	0.00	0.82
07/09/2018 #01484641962	FILOPDIANL	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	1.54	93.0	0.00	1.43	0.00	1.43
07/09/2018 #01484642051	HERSHLORR	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	3.57	93.0	0.00	3.32	0.00	3.32
07/09/2018 #01484642077	MENGWEWENDE	FIDELITY ADVISOR SER VII BIOTECHNOL	0.00	0.57	93.0	0.00	0.53	0.00	0.53

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/09/2018	#01484642051	HERSHLORR	FIDELITY ADVISOR SER VIII GLOBAL EQ	0.00	3.37	93.0	0.00	3.13	0.00	3.13
07/09/2018	#01484642077	MENGEWENDE	FIDELITY ADVISOR SER VIII GLOBAL EQ	0.00	0.40	93.0	0.00	0.37	0.00	0.37
07/09/2018	#01484642077	MENGEWENDE	FIDELITY MID CAP VALUE	0.00	0.82	93.0	0.00	0.76	0.00	0.76
07/09/2018	#01484642127		FIDELITY MID CAP VALUE	0.00	0.62	93.0	0.00	0.58	0.00	0.58
07/09/2018	#01484642077	MENGEWENDE	FIDELITY SMALL CAP BLEND	0.00	0.64	93.0	0.00	0.60	0.00	0.60
07/09/2018	#01484642127		FIDELITY SMALL CAP BLEND	0.00	0.43	93.0	0.00	0.40	0.00	0.40
07/09/2018	#01484641962	FILOPDIANL	FIDELITY VALUE FUND	0.00	1.40	93.0	0.00	1.30	0.00	1.30
07/09/2018	#01484642051	HERSHLORR	FIDELITY VALUE FUND	0.00	3.35	93.0	0.00	3.12	0.00	3.12
07/09/2018	#01484642077	MENGEWENDE	FIDELITY VALUE FUND	0.00	0.40	93.0	0.00	0.37	0.00	0.37
07/09/2018	#01484642127		FIDELITY VALUE FUND	0.00	1.25	93.0	0.00	1.16	0.00	1.16
07/09/2018	#01484641962	FILOPDIANL	FIDLEITY INTERMEDIATE	0.00	1.20	93.0	0.00	1.12	0.00	1.12
07/09/2018	#01484642051	HERSHLORR	FIDLEITY INTERMEDIATE	0.00	2.82	93.0	0.00	2.62	0.00	2.62
07/09/2018	#01484642127		FIDLEITY INTERMEDIATE	0.00	1.46	93.0	0.00	1.36	0.00	1.36
07/09/2018	#01489645067	REDDY, PANNALA	FIDLEITY INTERMEDIATE	0.00	6.52	93.0	0.00	6.06	0.00	6.06
07/09/2018	#03900189779	SAINI, VIPUL	FIDLEITY INTERMEDIATE	0.00	0.01	93.0	0.00	0.01	0.00	0.01
07/09/2018	#03900189786	SHAH-SAINI,	FIDLEITY INTERMEDIATE	0.00	0.01	93.0	0.00	0.01	0.00	0.01
07/11/2018	#	VENKATESWARA CHODAPANEEDI	DAIN TRAILER	0.00	52.42	93.0	0.00	48.75	0.00	48.75
07/11/2018	#	SHAH, BHARAT	DAIN TRAILER	0.00	0.01	93.0	0.00	0.01	0.00	0.01
07/11/2018	#	SHAH, BHARAT	DAIN TRAILER	0.00	36.90	93.0	0.00	34.32	0.00	34.32
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	4.03	93.0	0.00	3.75	0.00	3.75
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	0.98	93.0	0.00	0.91	0.00	0.91
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	1.10	93.0	0.00	1.02	0.00	1.02
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	0.34	93.0	0.00	0.32	0.00	0.32
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	0.13	93.0	0.00	0.12	0.00	0.12
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	0.57	93.0	0.00	0.53	0.00	0.53
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	10.35	93.0	0.00	9.63	0.00	9.63
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	10.71	93.0	0.00	9.96	0.00	9.96
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	9.62	93.0	0.00	8.95	0.00	8.95
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	10.53	93.0	0.00	9.79	0.00	9.79
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	9.68	93.0	0.00	9.00	0.00	9.00
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	9.53	93.0	0.00	8.86	0.00	8.86
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	25.08	93.0	0.00	23.32	0.00	23.32
07/11/2018	#	VORA, VASANT	DAIN TRAILER	0.00	22.36	93.0	0.00	20.79	0.00	20.79
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	10.35	93.0	0.00	9.63	0.00	9.63
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	10.71	93.0	0.00	9.96	0.00	9.96
07/11/2018	#	VORA, SHAILA	DAIN TRAILER	0.00	9.62	93.0	0.00	8.95	0.00	8.95

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# VORA, SHAILA	DAIN TRAILER	0.00	10.53	93.0	0.00	9.79	0.00	9.79
07/11/2018	# VORA, SHAILA	DAIN TRAILER	0.00	9.53	93.0	0.00	8.86	0.00	8.86
07/11/2018	# VORA, SHAILA	DAIN TRAILER	0.00	14.28	93.0	0.00	13.28	0.00	13.28
07/11/2018	# VORA, SHAILA	DAIN TRAILER	0.00	15.05	93.0	0.00	14.00	0.00	14.00
07/11/2018	# WYNN, MARY	DAIN TRAILER	0.00	0.22	93.0	0.00	0.20	0.00	0.20
07/11/2018	# ROBERT PAPA TTEE	DAIN TRAILER	0.00	1.79	93.0	0.00	1.66	0.00	1.66
07/11/2018	# ROBERT PAPA TTEE	DAIN TRAILER	0.00	2.31	93.0	0.00	2.15	0.00	2.15
07/11/2018	# PATEL, GRISHMA	DAIN TRAILER	0.00	0.49	93.0	0.00	0.46	0.00	0.46
07/11/2018	# PATEL, GRISHMA	DAIN TRAILER	0.00	0.95	93.0	0.00	0.88	0.00	0.88
07/11/2018	# PATEL, GRISHMA	DAIN TRAILER	0.00	5.04	93.0	0.00	4.69	0.00	4.69
07/11/2018	# PATEL, GRISHMA	DAIN TRAILER	0.00	2.67	93.0	0.00	2.48	0.00	2.48
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	4.48	93.0	0.00	4.17	0.00	4.17
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	17.19	93.0	0.00	15.99	0.00	15.99
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	0.87	93.0	0.00	0.81	0.00	0.81
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	2.29	93.0	0.00	2.13	0.00	2.13
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	1.45	93.0	0.00	1.35	0.00	1.35
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	5.37	93.0	0.00	4.99	0.00	4.99
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	18.25	93.0	0.00	16.97	0.00	16.97
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	11.17	93.0	0.00	10.39	0.00	10.39
07/11/2018	# DESAI, KIRIT	DAIN TRAILER	0.00	37.24	93.0	0.00	34.63	0.00	34.63
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	50.10	93.0	0.00	46.59	0.00	46.59
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	15.95	93.0	0.00	14.83	0.00	14.83
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	14.45	93.0	0.00	13.44	0.00	13.44
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	7.77	93.0	0.00	7.23	0.00	7.23
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	8.34	93.0	0.00	7.76	0.00	7.76
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	8.88	93.0	0.00	8.26	0.00	8.26
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	26.04	93.0	0.00	24.22	0.00	24.22
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	14.21	93.0	0.00	13.22	0.00	13.22
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	26.52	93.0	0.00	24.66	0.00	24.66
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	13.01	93.0	0.00	12.10	0.00	12.10
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	26.20	93.0	0.00	24.37	0.00	24.37
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	299.34	93.0	0.00	278.39	0.00	278.39
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	67.34	93.0	0.00	62.63	0.00	62.63
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	9.36	93.0	0.00	8.70	0.00	8.70
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	15.66	93.0	0.00	14.56	0.00	14.56
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	7.14	93.0	0.00	6.64	0.00	6.64

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	6.53	93.0	0.00	6.07	0.00	6.07
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	20.40	93.0	0.00	18.97	0.00	18.97
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	9.07	93.0	0.00	8.44	0.00	8.44
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	3.56	93.0	0.00	3.31	0.00	3.31
07/11/2018	# AMBANI, NARENDRA	DAIN TRAILER	0.00	4.39	93.0	0.00	4.08	0.00	4.08
07/11/2018	# ELANCHENNY, VYTHILINGAM	DAIN TRAILER	0.00	7.51	93.0	0.00	6.98	0.00	6.98
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	7.11	93.0	0.00	6.61	0.00	6.61
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	3.82	93.0	0.00	3.55	0.00	3.55
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	12.28	93.0	0.00	11.42	0.00	11.42
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	57.35	93.0	0.00	53.34	0.00	53.34
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	3.14	93.0	0.00	2.92	0.00	2.92
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	17.67	93.0	0.00	16.43	0.00	16.43
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	32.36	93.0	0.00	30.09	0.00	30.09
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	45.99	93.0	0.00	42.77	0.00	42.77
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	50.14	93.0	0.00	46.63	0.00	46.63
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	9.28	93.0	0.00	8.63	0.00	8.63
07/11/2018	# GOSRANI, KANTILAL	DAIN TRAILER	0.00	8.41	93.0	0.00	7.82	0.00	7.82
07/11/2018	# GOSRANI, VENA	DAIN TRAILER	0.00	2.43	93.0	0.00	2.26	0.00	2.26
07/11/2018	# SHARMA, STEVEN	DAIN TRAILER	0.00	39.83	93.0	0.00	37.04	0.00	37.04
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	6.74	93.0	0.00	6.27	0.00	6.27
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	18.87	93.0	0.00	17.55	0.00	17.55
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	3.09	93.0	0.00	2.87	0.00	2.87
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	2.37	93.0	0.00	2.20	0.00	2.20
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	2.14	93.0	0.00	1.99	0.00	1.99
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	7.64	93.0	0.00	7.11	0.00	7.11
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	7.06	93.0	0.00	6.57	0.00	6.57
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	40.66	93.0	0.00	37.81	0.00	37.81
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	2.76	93.0	0.00	2.57	0.00	2.57
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	4.96	93.0	0.00	4.61	0.00	4.61
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	0.63	93.0	0.00	0.59	0.00	0.59
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	3.32	93.0	0.00	3.09	0.00	3.09
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	4.54	93.0	0.00	4.22	0.00	4.22
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	30.17	93.0	0.00	28.06	0.00	28.06
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	10.90	93.0	0.00	10.14	0.00	10.14
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	3.96	93.0	0.00	3.68	0.00	3.68
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	1.40	93.0	0.00	1.30	0.00	1.30

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.32	93.0	0.00	1.23	0.00	1.23
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.90	93.0	0.00	3.63	0.00	3.63
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	8.83	93.0	0.00	8.21	0.00	8.21
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	15.29	93.0	0.00	14.22	0.00	14.22
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.27	93.0	0.00	0.25	0.00	0.25
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.49	93.0	0.00	0.46	0.00	0.46
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	34.62	93.0	0.00	32.20	0.00	32.20
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	65.02	93.0	0.00	60.47	0.00	60.47
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	15.30	93.0	0.00	14.23	0.00	14.23
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	24.85	93.0	0.00	23.11	0.00	23.11
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	9.02	93.0	0.00	8.39	0.00	8.39
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	2.73	93.0	0.00	2.54	0.00	2.54
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	25.98	93.0	0.00	24.16	0.00	24.16
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	6.93	93.0	0.00	6.44	0.00	6.44
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	7.25	93.0	0.00	6.74	0.00	6.74
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	3.96	93.0	0.00	3.68	0.00	3.68
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	8.48	93.0	0.00	7.89	0.00	7.89
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	6.52	93.0	0.00	6.06	0.00	6.06
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	3.89	93.0	0.00	3.62	0.00	3.62
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	6.26	93.0	0.00	5.82	0.00	5.82
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	4.31	93.0	0.00	4.01	0.00	4.01
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	12.64	93.0	0.00	11.76	0.00	11.76
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	4.00	93.0	0.00	3.72	0.00	3.72
07/11/2018 #	SHAH, BHARAT	DAIN TRAILER	0.00	22.00	93.0	0.00	20.46	0.00	20.46
07/11/2018 #	PEER, MEETA	DAIN TRAILER	0.00	6.86	93.0	0.00	6.38	0.00	6.38
07/11/2018 #	PEER, MEETA	DAIN TRAILER	0.00	4.72	93.0	0.00	4.39	0.00	4.39
07/11/2018 #	PEER, MEETA	DAIN TRAILER	0.00	5.54	93.0	0.00	5.15	0.00	5.15
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	27.99	93.0	0.00	26.03	0.00	26.03
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	7.65	93.0	0.00	7.11	0.00	7.11
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	0.58	93.0	0.00	0.54	0.00	0.54
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	0.89	93.0	0.00	0.83	0.00	0.83
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	28.66	93.0	0.00	26.65	0.00	26.65
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	31.17	93.0	0.00	28.99	0.00	28.99
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	15.68	93.0	0.00	14.58	0.00	14.58
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	11.65	93.0	0.00	10.83	0.00	10.83
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	5.10	93.0	0.00	4.74	0.00	4.74

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# SHARMA, RAJ	DAIN TRAILER	0.00	9.85	93.0	0.00	9.16	0.00	9.16
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	14.93	93.0	0.00	13.88	0.00	13.88
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	6.89	93.0	0.00	6.41	0.00	6.41
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	35.74	93.0	0.00	33.24	0.00	33.24
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	3.48	93.0	0.00	3.24	0.00	3.24
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	6.73	93.0	0.00	6.26	0.00	6.26
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	14.17	93.0	0.00	13.18	0.00	13.18
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	3.42	93.0	0.00	3.18	0.00	3.18
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	2.63	93.0	0.00	2.45	0.00	2.45
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	2.13	93.0	0.00	1.98	0.00	1.98
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	1.96	93.0	0.00	1.82	0.00	1.82
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	17.29	93.0	0.00	16.08	0.00	16.08
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	46.41	93.0	0.00	43.16	0.00	43.16
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	9.57	93.0	0.00	8.90	0.00	8.90
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	1.92	93.0	0.00	1.79	0.00	1.79
07/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	1.45	93.0	0.00	1.35	0.00	1.35
07/11/2018	# SHARMA, STEVEN	DAIN TRAILER	0.00	1.80	93.0	0.00	1.67	0.00	1.67
07/11/2018	# SHARMA, STEVEN	DAIN TRAILER	0.00	1.27	93.0	0.00	1.18	0.00	1.18
07/11/2018	# SHARMA, STEVEN	DAIN TRAILER	0.00	1.50	93.0	0.00	1.40	0.00	1.40
07/11/2018	# SHARMA, STEVEN	DAIN TRAILER	0.00	0.60	93.0	0.00	0.56	0.00	0.56
07/11/2018	# PATEL, URMILA	DAIN TRAILER	0.00	53.08	93.0	0.00	49.36	0.00	49.36
07/11/2018	# BHARAT C SHAH TTEE	DAIN TRAILER	0.00	4.05	93.0	0.00	3.77	0.00	3.77
07/11/2018	# BHARAT C SHAH TTEE	DAIN TRAILER	0.00	36.25	93.0	0.00	33.71	0.00	33.71
07/11/2018	# PATEL, PRAVIN	DAIN TRAILER	0.00	39.02	93.0	0.00	36.29	0.00	36.29
07/11/2018	# PATEL, PRAVIN	DAIN TRAILER	0.00	47.95	93.0	0.00	44.59	0.00	44.59
07/11/2018	# PATEL, PRAVIN	DAIN TRAILER	0.00	98.41	93.0	0.00	91.52	0.00	91.52
07/11/2018	# PATEL, PRAVIN	DAIN TRAILER	0.00	80.46	93.0	0.00	74.83	0.00	74.83
07/11/2018	# PATEL, PRAVIN	DAIN TRAILER	0.00	66.94	93.0	0.00	62.25	0.00	62.25
07/11/2018	# BHATT, VARSHA	DAIN TRAILER	0.00	3.39	93.0	0.00	3.15	0.00	3.15
07/11/2018	# BHATT, VARSHA	DAIN TRAILER	0.00	3.16	93.0	0.00	2.94	0.00	2.94
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	8.26	93.0	0.00	7.68	0.00	7.68
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	22.87	93.0	0.00	21.27	0.00	21.27
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	23.10	93.0	0.00	21.48	0.00	21.48
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	13.67	93.0	0.00	12.71	0.00	12.71
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	1.17	93.0	0.00	1.09	0.00	1.09
07/11/2018	# VORA, VASANT	DAIN TRAILER	0.00	21.24	93.0	0.00	19.75	0.00	19.75

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	4.33	93.0	0.00	4.03	0.00	4.03
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	35.12	93.0	0.00	32.66	0.00	32.66
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.03	93.0	0.00	0.03	0.00	0.03
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.81	93.0	0.00	3.54	0.00	3.54
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	12.84	93.0	0.00	11.94	0.00	11.94
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.96	93.0	0.00	1.82	0.00	1.82
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.80	93.0	0.00	0.74	0.00	0.74
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.83	93.0	0.00	1.70	0.00	1.70
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	8.46	93.0	0.00	7.87	0.00	7.87
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	19.93	93.0	0.00	18.53	0.00	18.53
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	2.08	93.0	0.00	1.93	0.00	1.93
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.18	93.0	0.00	2.96	0.00	2.96
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	2.49	93.0	0.00	2.32	0.00	2.32
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	10.61	93.0	0.00	9.87	0.00	9.87
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	10.17	93.0	0.00	9.46	0.00	9.46
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.76	93.0	0.00	3.50	0.00	3.50
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.50	93.0	0.00	0.47	0.00	0.47
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	0.07	93.0	0.00	0.07	0.00	0.07
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	3.58	93.0	0.00	3.33	0.00	3.33
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	9.41	93.0	0.00	8.75	0.00	8.75
07/11/2018 #	VORA, VASANT	DAIN TRAILER	0.00	14.51	93.0	0.00	13.49	0.00	13.49
07/11/2018 #	ROBERT PAPA TTEE	DAIN TRAILER	0.00	4.05	93.0	0.00	3.77	0.00	3.77
07/11/2018 #	ROBERT PAPA TTEE	DAIN TRAILER	0.00	3.04	93.0	0.00	2.83	0.00	2.83
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	31.87	93.0	0.00	29.64	0.00	29.64
07/11/2018 #	SHARMA, RAJ	DAIN TRAILER	0.00	31.84	93.0	0.00	29.61	0.00	29.61
07/16/2018 #00777302175	VARIOUS SHAH	JOHN HANCOCK FDS III STRATEGIC GROW	0.00	3.13	93.0	0.00	2.91	0.00	2.91
07/16/2018 #00777302124	VARIOUS SHAH	JOHN HANCOCK HIGH YIELD FUND CL A	0.00	2.03	93.0	0.00	1.89	0.00	1.89
07/16/2018 #00777185294	VARIOUS SHAH	JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	18.25	93.0	0.00	16.97	0.00	16.97
07/19/2018 #	VORA, VASANT	DAIN TRAILER	0.00	1.76	93.0	0.00	1.64	0.00	1.64
07/24/2018 #	SHARMA, MADHU	DAIN TRAILER	0.00	2.69	93.0	0.00	2.50	0.00	2.50
07/24/2018 #	AMBANI, NARENDRA	DAIN TRAILER	0.00	5.78	93.0	0.00	5.38	0.00	5.38
07/24/2018 #	GOSRANI, VENA	DAIN TRAILER	0.00	1.36	93.0	0.00	1.26	0.00	1.26
07/24/2018 #	PEER, MEETA	DAIN TRAILER	0.00	7.18	93.0	0.00	6.68	0.00	6.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,009.94		\$0.00	\$2,799.24	\$0.00	\$2,799.24
*** BROKER TRANSACTIONS TOTAL ***			\$2,112,458.85	\$4,099.26		\$0.00	\$3,780.89	\$299.35	\$3,481.54

COMMISSION STATEMENT for VASTU SHAH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

08/20/2018		ADMIN FEE: ADMIN FEE							-390.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-35.00
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-604.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,481.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-604.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,877.54
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