



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2017

04/12/2017
 Page No. 1

JOEL WOODS
 1412 Blue Quail Run

BROKER #: W143
 BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
03/27/2017	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	11,500.00	345.00	0.00	345.00	92.0	317.40
		* REIT SUBTOTAL *	\$11,500.00	\$345.00	\$0.00	\$345.00		\$317.40
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/27/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
03/31/2017	SHERRIEB, HAROLD	AM FUNDS GROWTH AND INCOME C	13,500.00	135.00	0.00	135.00	90.0	121.50
04/03/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
03/03/2017	HAVLAK, MARY	COLUMBIA PPTY TR INC COM NEW	13,642.76	135.00	22.00	113.00	90.0	101.70
03/10/2017	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	7.80	0.00	7.80	90.0	7.02
03/10/2017	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	11.37	0.00	11.37	90.0	10.23
03/10/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	5.83	0.00	5.83	90.0	5.25
03/10/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	3.08	0.00	3.08	90.0	2.77
03/13/2017	THREADGILL TRUST	INVESCO BALANCED-RISK ALLOCATION-C	21,120.77	0.00	0.00	0.00	90.0	0.00
03/21/2017	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	4,000.00	0.00	0.00	0.00	90.0	0.00
03/30/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
03/30/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
03/30/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/30/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/30/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
03/30/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
04/01/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	0.00	26.01	0.00	26.01	90.0	23.41
04/01/2017	SNOW MECHANICAL SVCS	INVESCO BALANCED-RISK ALLOCATION-C	0.00	0.17	0.00	0.17	90.0	0.15
04/01/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	0.24	0.00	0.24	90.0	0.22
04/01/2017	KMAK, SHANNON	INVESCO BALANCED-RISK ALLOCATION-C	0.00	29.16	0.00	29.16	90.0	26.24
04/01/2017	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	14.91	0.00	14.91	90.0	13.42
04/01/2017	WILSON, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	10.65	0.00	10.65	90.0	9.59
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.39	0.00	1.39	90.0	1.25

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.39	0.00	1.39	90.0	1.25
04/01/2017	THREADGILL, VICKY	MFS CORE EQUITY A	0.00	2.54	0.00	2.54	90.0	2.29
04/01/2017	THREADGILL, VICKY	MFS TOTAL RETURN A	0.00	5.10	0.00	5.10	90.0	4.59
03/10/2017	CROSS, BETTY	MFS UTILITIES A	0.00	3.20	0.00	3.20	90.0	2.88
03/10/2017	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	4.95	0.00	4.95	90.0	4.46
03/10/2017	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.46	0.00	5.46	90.0	4.91
04/04/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	14.03	0.00	14.03	90.0	12.63
* MUTUAL FUNDS SUBTOTAL *			\$52,813.53	\$427.28	\$22.00	\$405.28		\$364.77
<u>PRODUCT CATEGORY: STOCKS</u>								
03/03/2017	HAVLAK, MARY	TIER REIT INC COM	5,228.66	52.00	22.00	30.00	90.0	27.00
03/08/2017	CANDLER TRUST, JEAN	TIER REIT INC COM	3,642.89	50.00	22.00	28.00	90.0	25.20
* STOCKS SUBTOTAL *			\$8,871.55	\$102.00	\$44.00	\$58.00		\$52.20
<u>PRODUCT CATEGORY: BONDS</u>								
03/10/2017	DOUGAN, TOMMIE	WESTERN ASSET MANAGED MUNS A	0.00	1.46	0.00	1.46	90.0	1.31
* BONDS SUBTOTAL *			\$0.00	\$1.46	\$0.00	\$1.46		\$1.31
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	THREADGILL, VICKY	AXA ACCUM 07 ELITE (RO IRA)	30,478.89	76.20	0.00	76.20	90.0	68.58
03/31/2017	PROPST, MARGARETT	HARTFORD LEADERS OUTLOOK	14,367.51	35.92	0.00	35.92	90.0	32.33
03/31/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	8,807.18	1.83	0.00	1.83	90.0	1.65
03/31/2017	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	96,969.55	40.40	0.00	40.40	90.0	36.36
03/31/2017	TOMLINSON, HAROLD	JNL PERSPECTIVE L	113,539.36	123.00	0.00	123.00	90.0	110.70
03/31/2017	MARKS, FRANCES	JNL PERSPECTIVE L	47,556.47	51.52	0.00	51.52	90.0	46.37
03/31/2017	MARKS, RICHARD	JNL PERSPECTIVE L	47,636.89	51.61	0.00	51.61	90.0	46.45
03/31/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	190,899.43	159.08	0.00	159.08	90.0	143.17
03/31/2017	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	70,362.22	58.64	0.00	58.64	90.0	52.78
* VARIABLE ANNUITIES SUBTOTAL *			\$620,617.50	\$598.20	\$0.00	\$598.20		\$538.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	TERRY, CHARLES	LFG TRAILS	0.00	260.52	0.00	260.52	90.0	234.47
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$260.52	\$0.00	\$260.52		\$234.47
*** BROKER TRANSACTIONS TOTAL ***			\$693,802.58	\$1,734.46	\$66.00	\$1,668.46		\$1,508.54

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
03/31/2017	WISE, LUCILE	LFG TRAILS	0.00	229.06	0.00	229.06	48.0	96.21
03/10/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.33	0.00	3.33	48.0	1.40
03/10/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.07	0.00	0.07	48.0	0.03
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.07	0.00	0.07	48.0	0.03
03/10/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	5.25	0.00	5.25	48.0	2.21
03/10/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.84	0.00	2.84	48.0	1.19
03/10/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.26	0.00	1.26	48.0	0.53
03/10/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.22	0.00	3.22	48.0	1.35
03/10/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	48.0	0.05
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.13	0.00	0.13	48.0	0.05
03/10/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.13	0.00	2.13	48.0	0.89
03/10/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.82	0.00	2.82	48.0	1.18
03/10/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.06	0.00	0.06	48.0	0.03
03/10/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	48.0	0.07
03/10/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	48.0	0.07
03/10/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.86	0.00	0.86	48.0	0.36
03/10/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.73	0.00	0.73	48.0	0.31
04/04/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.27	0.00	4.27	48.0	1.79
* MARK-S141 REG SMITH SUBTOTAL *			\$0.00	\$256.61	\$0.00	\$256.61		\$107.78
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
03/23/2017	WU, PEILI	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	49.0	1,505.00
* MARK-S142 ALTS SMITH SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$1,505.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$50,000.00	\$3,756.61	\$0.00	\$3,756.61		\$1,612.78

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<u>ADJUSTMENTS</u>								
04/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*FINRA: REG FEE- IL	0.00					-150.00
04/15/2017		*RBC: 7A502995 DEBIT BAL	0.00					-50.00
04/15/2017		*RBC: 7A503003 DEBIT BAL	0.00					-20.00
04/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-795.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,508.54
Split Transactions	\$0.00
Override Transactions	\$1,612.78
Adjustments	\$-795.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,326.32
Year-to-date Gross	\$21,863.33
Year-to-date Earnings	\$52,988.63

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