



All Companies

05/14/2018

Page No. 1

# COMMISSION STATEMENT

May 15, 2018

KAREN CARTER BROOKMAN  
1840 Hurstview Drive

BROKER #: 9102  
BRANCH: HOME OFFICE

Hurst, TX 76054

## COMMISSION STATEMENT for KAREN CARTER BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

05/01/2018	00067657556	GEIKEN, DARRYL	FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
05/01/2018	00067657556	GEIKEN, DARRYL	NEW PERSPECTIVE FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/24/2018	00082408463	WHITTET, SCOTT A	AMERICAN FUNDS GROWTH FUND OF AMERI	B	6,500.00	104.00	0.00	104.00	90.0	93.60
04/25/2018	00082976741	SMITH, NATILLIE J	AMERICAN BALANCED FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
04/25/2018	00082976741	SMITH, NATILLIE J	FUNDAMENTAL INVESTORS-C	B	60.00	0.60	0.00	0.60	90.0	0.54
04/25/2018	00082976741	SMITH, NATILLIE J	NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
04/25/2018	00082976741	SMITH, NATILLIE J	THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
04/25/2018	00082976741	SMITH, NATILLIE J	WASHINGTON MUTUAL INVESTORS FUND-C	B	60.00	0.60	0.00	0.60	90.0	0.54
04/25/2018	00083688576	SMITH, JEFFREY L	AMERICAN BALANCED FUND-C	B	104.00	1.04	0.00	1.04	90.0	0.94
04/25/2018	00083688576	SMITH, JEFFREY L	FUNDAMENTAL INVESTORS-C	B	96.00	0.96	0.00	0.96	90.0	0.86
04/25/2018	00083688576	SMITH, JEFFREY L	NEW PERSPECTIVE FUND-C	B	73.00	0.73	0.00	0.73	90.0	0.66
04/25/2018	00083688576	SMITH, JEFFREY L	THE NEW ECONOMY FUND-C	B	89.00	0.89	0.00	0.89	90.0	0.80
04/25/2018	00083688576	SMITH, JEFFREY L	WASHINGTON MUTUAL INVESTORS FUND-C	B	96.33	0.96	0.00	0.96	90.0	0.86
04/24/2018	00087282608	WHITTET, BEVERLEY	THE GROWTH FUND OF AMERICA-C	B	6,500.00	65.00	0.00	65.00	90.0	58.50
* MUTUAL FUNDS SUBTOTAL *					<u>\$13,858.33</u>	<u>\$177.58</u>	<u>\$0.00</u>	<u>\$177.58</u>		<u>\$159.82</u>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

05/01/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	510.75	510.75	0.00	510.75	90.0	459.68
04/27/2018	TRAILS	DELAWARE LIFE	B	288.40	288.40	0.00	288.40	90.0	259.56
04/30/2018	TRAILS	GENWORTH LIFE ANNUITY	B	397.23	397.23	0.00	397.23	90.0	357.51
03/31/2018	TRAILS	METROPOLITAN LIFE AND AFFILIATED CO B		172.49	172.49	0.00	172.49	90.0	155.24
* VARIABLE ANNUITIES SUBTOTAL *				\$1,368.87	\$1,368.87	\$0.00	\$1,368.87		\$1,231.99

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	1,962.04	0.00	1,962.04	90.0	1,765.94
05/15/2018 TRAIL COMMISSIONS	GOLDMAN SACHS FEES	0.00	51.76	0.00	51.76	90.0	46.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2,013.80	\$0.00	\$2,013.80		\$1,812.53
*** BROKER TRANSACTIONS TOTAL ***		\$15,227.20	\$3,560.25	\$0.00	\$3,560.25		\$3,204.34

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ADJUSTMENTS

05/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$3,204.34
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$3,076.84
Year-to-date Earnings	\$66,310.15