

# STATEMENT

March 20, 2018

JOHN DAVENPORT  
800 Connecticut Ave  
Suite E401  
Norwalk, CT 06854

BROKER #: 030  
BRANCH: LA BRANCH

## COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS</b>										
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>										
01/31/2018 #1200275344	CASELS,	PERSP REWARDS	B	588,545.29	122.61	0.00	0.00	122.61	89.0	109.12
01/31/2018 #1200434590	GOLDSMITH, PETER	PERSP REWARDS	B	246,620.84	51.38	0.00	0.00	51.38	89.0	45.73
01/31/2018 #1200286079	SIEGEL, NURIT	PERSP REWARDS	B	337,612.19	70.34	0.00	0.00	70.34	89.0	62.60
01/31/2018 #029017881A	FRAIR, JULE	PRPS II (0505)	B	144,024.71	60.01	0.00	0.00	60.01	89.0	53.41
01/31/2018 #029017936A	FRAIR, JULE	PRPS II 0505 RP	B	18,180.39	7.58	0.00	0.00	7.58	89.0	6.75
02/02/2018 #1201677221	ZIMMERMAN, ALICE	PII NY 01/16	B	1,034.04	72.38	0.00	0.00	72.38	89.0	64.42
02/05/2018 #922342623	SEDLAK, MARIA	LINCOLN CPP	B	0.00	-171.37	0.00	0.00	-171.37	89.0	-152.52
02/20/2018 #1020965580		PERSP II 01/16	B	1,509,696.30	105,678.70	0.00	0.00	105,678.70	89.0	94,054.04
02/22/2018 #1020965580		PERSP II 01/16	B	31,921.66	2,234.52	0.00	0.00	2,234.52	89.0	1,988.72
02/26/2018 #1019473321		PERSP II 01/16	B	8,000.00	560.00	0.00	0.00	560.00	89.0	498.40
02/26/2018 #1020965629	JENSEN, LAURA	PERSP II 01/16	B	46,839.28	3,278.75	0.00	0.00	3,278.75	89.0	2,918.09
02/26/2018 #1020965629	JENSEN, LAURA	PERSP II 01/16	B	120,693.18	8,448.52	0.00	0.00	8,448.52	89.0	7,519.18
02/26/2018 #1020965629	JENSEN, LAURA	PERSP II 01/16	B	6,975.46	488.28	0.00	0.00	488.28	89.0	434.57
02/26/2018 #1020965629	JENSEN, LAURA	PERSP II 01/16	B	16,946.35	1,186.24	0.00	0.00	1,186.24	89.0	1,055.75
02/26/2018 #1020964169	PATTERSON,	PERSP II 01/16	B	300,000.00	1,500.00	0.00	0.00	1,500.00	89.0	1,335.00
02/28/2018 #1010814975	ARTMAN HODGE,	PERSP REWARDS	B	541,785.10	158.02	0.00	0.00	158.02	89.0	140.64
02/28/2018 #1011996341	CARDUCCI,	PERSP REWARDS	B	459,253.55	133.95	0.00	0.00	133.95	89.0	119.22
02/28/2018 #1009751552	HARMAN, JAMES	PERSP REWARDS	B	1,205,319.40	351.55	0.00	0.00	351.55	89.0	312.88
02/28/2018 #1010820258	HODGE, JAMES	PERSP REWARDS	B	335,477.36	97.85	0.00	0.00	97.85	89.0	87.09
02/28/2018 #1010209702	SANDBERG, STUART	PERSP REWARDS	B	219,647.67	64.06	0.00	0.00	64.06	89.0	57.01
02/28/2018 #1011235413	STARR, MICHELE	PERSP REWARDS	B	150,281.82	43.83	0.00	0.00	43.83	89.0	39.01
02/28/2018 #1011501482	YOUNG, ROGER	PERSP REWARDS	B	211,207.15	61.60	0.00	0.00	61.60	89.0	54.82
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$6,500,061.74</b>	<b>\$124,498.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$124,498.80</b>		<b>\$110,803.93</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>										
02/09/2018 # DAVENPORT, ANDEW		DAIN TRAILER	B	0.00	2.77	0.00	0.00	2.77	89.0	2.47
02/09/2018 # ANTON, KLEANTHES		DAIN TRAILER	B	0.00	0.65	0.00	0.00	0.65	89.0	0.58
02/15/2018 # CAROLE R ARTMAN		DAIN TRAILER	B	0.00	6.90	0.00	0.00	6.90	89.0	6.14
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$10.32</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10.32</b>		<b>\$9.19</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$6,500,061.74</b>	<b>\$124,509.12</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$124,509.12</b>		<b>\$110,813.12</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
03/20/2018		ADMIN FEE: ADMIN FEE								-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
03/20/2018		ADVANCE: ADVANCE								-14,577.65
03/20/2018		ADVANCE: ADVANCE								-94,054.04
03/20/2018		ADVANCE: ADVANCE								-1,988.44
03/20/2018		MWOD:MISCELLANEOUS: WRITE-OFF								-49.66
		DEBIT BALANCE								
*** ADJUSTMENTS TOTAL ***										\$-111,343.79

BROKER COMMISSION TOTALS

Broker Transactions	\$110,813.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-111,343.79
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-530.67
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