

**COMMISSION STATEMENT****April 30, 2018**

ROBERT, L117 LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/09/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	131.73	1.32	0.00	1.32	95.0	1.25
04/09/2018	HAZEN, JAMES	PACIFIC LIFE FUNDS	147.30	1.47	0.00	1.47	95.0	1.40
04/17/2018	YBAY, ERLINDA	AM FUNDS ICA A	2,000.00	85.00	0.00	85.00	95.0	80.75
04/17/2018	FRANCIONI, ANNA	AM FUNDS ICA A	153.10	7.66	0.00	7.66	95.0	7.28
04/17/2018	FRANCIONI, ANNA	AM FUNDS ICA A	4,000.00	200.00	0.00	200.00	95.0	190.00
04/17/2018	YBAY, ERLINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,000.00	85.00	0.00	85.00	95.0	80.75
04/20/2018	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	297.65	12.65	0.00	12.65	95.0	12.02
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
04/10/2018	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
04/02/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
04/09/2018	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
* MUTUAL FUNDS SUBTOTAL *			\$9,657.48	\$407.38	\$0.00	\$407.38		\$386.98
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/12/2018	MCKENNA, STEPHANIE	JNL NY PERSPECTIVE L	9,000.00	472.50	0.00	472.50	95.0	448.88
04/10/2018	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,500.00	82.50	0.00	82.50	95.0	78.38
* VARIABLE ANNUITIES SUBTOTAL *			\$10,500.00	\$555.00	\$0.00	\$555.00		\$527.26
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/04/2018	GREVE, DONNA	COLUMBIA ACORN A	0.00	2.20	0.00	2.20	95.0	2.09
04/04/2018	GREVE, DONNA	COLUMBIA DISCIPLINED GROWTH FUND-A	0.00	2.18	0.00	2.18	95.0	2.07
04/04/2018	GREVE, DONNA	COLUMBIA EQUITY VALUE A	0.00	4.82	0.00	4.82	95.0	4.58
04/04/2018	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	5.72	0.00	5.72	95.0	5.43
04/04/2018	GREVE, DONNA	COLUMBIA LARGECAP GROWTH A	0.00	2.17	0.00	2.17	95.0	2.06
04/04/2018	GREVE UTMA NY, PATRICK	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
04/04/2018	GREVE UTMA NY, GEORGE	COLUMBIA LARGECAP GROWTH FUND-V	0.00	0.00	0.00	0.00	95.0	0.00
04/04/2018	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
04/04/2018	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	4.34	0.00	4.34	95.0	4.12
04/04/2018	GREVE, DONNA	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.15	0.00	2.15	95.0	2.04
04/04/2018	GREVE, DONNA	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	2.15	0.00	2.15	95.0	2.04
04/04/2018	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.42	0.00	0.42	95.0	0.40
03/31/2018	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	42.89	0.00	42.89	95.0	40.75
04/13/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.66	0.00	2.66	95.0	2.53
04/13/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.95	0.00	2.95	95.0	2.80
04/13/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.49	0.00	1.49	95.0	1.42
04/13/2018	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	2.27	0.00	2.27	95.0	2.16
04/13/2018	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.28	0.00	0.28	95.0	0.27
04/13/2018	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.42	0.00	1.42	95.0	1.35
04/13/2018	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	1.74	0.00	1.74	95.0	1.65
04/13/2018	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	6.66	0.00	6.66	95.0	6.33
04/13/2018	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGECAP A	0.00	0.22	0.00	0.22	95.0	0.21
04/13/2018	CASKIN, KENNETH	SUNAMERICA FOCUSED MULTI ASSET A	0.00	7.74	0.00	7.74	95.0	7.35
04/13/2018	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.81	0.00	0.81	95.0	0.77

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/13/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.70	0.00	1.70	95.0	1.62
04/13/2018	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.44	0.00	1.44	95.0	1.37
04/13/2018	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.35	0.00	6.35	95.0	6.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$106.77	\$0.00	\$106.77		\$101.44
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/16/2018	LENTINI, ANNA	ING LANDMARK	195,805.55	611.89	0.00	611.89	95.0	581.30
04/02/2018	FRANCIONI, ANNA	PAC LIFE INNOVATIVE SELECT NY	0.00	636.64	0.00	636.64	95.0	604.81
04/02/2018	GRANT, JOHN	PAC LIFE INNOVATIVE SELECT NY	0.00	123.25	0.00	123.25	95.0	117.09
04/02/2018	LUCHSINGER, ANDREW	PAC LIFE INNOVATIVE SELECT NY	0.00	150.00	0.00	150.00	95.0	142.50
04/03/2018	YBAY, ERLINDA	PAC LIFE INNOVATIVE SELECT NY	0.00	503.51	0.00	503.51	95.0	478.33
04/09/2018	LOITSCH, DIANE	PAC LIFE INNOVATIVE SELECT NY	0.00	253.44	0.00	253.44	95.0	240.77
04/09/2018	ALBANESE, MICAH	PAC LIFE INNOVATIVE SELECT NY	0.00	622.72	0.00	622.72	95.0	591.58
04/09/2018	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	311.83	0.00	311.83	95.0	296.24
04/09/2018	BECKWITH, DOROTHY	PAC LIFE INNOVATIVE SELECT NY	0.00	78.69	0.00	78.69	95.0	74.76
04/10/2018	GRANT, JOHN	PAC LIFE INNOVATIVE SELECT NY	0.00	600.00	0.00	600.00	95.0	570.00
04/12/2018	FORMANEK, CAROL	PACIFIC LIFE CHOICE	0.00	216.09	0.00	216.09	95.0	205.29
04/12/2018	CACASE, KERRI	PACIFIC LIFE CHOICE	0.00	88.06	0.00	88.06	95.0	83.66
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$195,805.55	\$4,196.12	\$0.00	\$4,196.12		\$3,986.33
*** DIRECT TRANSACTIONS TOTAL ***			\$215,963.03	\$5,265.27	\$0.00	\$5,265.27		\$5,002.01
<u>OVERRIDE TRANSACTIONS</u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
04/12/2018	FOELL, DIANA	ING EMPIRE INNOVATIONS STD OPT A	14,663.72	36.66	0.00	36.66	0.0	14.66
04/14/2018	PANDOLFO, CATHERINE	ING EMPIRE INNOVATIONS STD OPT A	197,923.03	494.81	0.00	494.81	0.0	197.92
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	5.17	0.00	5.17	0.0	2.07
04/13/2018	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.58	0.00	1.58	0.0	0.63
04/13/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.50	0.00	12.50	0.0	5.00
04/13/2018	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	4.01	0.00	4.01	0.0	1.60
04/13/2018	WESTRENN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.50	0.00	1.50	0.0	0.60
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$212,586.75	\$556.23	\$0.00	\$556.23		\$222.48
*** OVERRIDE TRANSACTIONS TOTAL ***			\$212,586.75	\$556.23	\$0.00	\$556.23		\$222.48

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BROKER COMMISSION TOTALS

Broker Transactions	\$5,002.01
Split Transactions	\$0.00
Override Transactions	\$222.48
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,224.49
Year-to-date Gross	\$89,501.24
Year-to-date Earnings	\$88,581.24