

COMMISSION STATEMENT

February 28, 2018

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: 13
BRANCH: HOME OFFICE

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/14/2018	75502013	DEC, JOHN		INVESCO GROWTH AND INCOME FUND CL Y S	5,000.00	0.00	0.00	0.00	60.0	0.00
02/08/2018	75502014	DEC, JOHN		INVESCO GROWTH AND INCOME FUND CL Y S	5,000.00	0.00	0.00	0.00	60.0	0.00
02/27/2018	75502070	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT S	15,348.67	0.00	0.00	0.00	60.0	0.00
02/27/2018	75502071	HAND, STEPHEN		ALPS RED ROCKS LISTED PRIVATE EQUIT S	3,830.94	0.00	0.00	0.00	60.0	0.00
02/27/2018	75502073	HAND FAMILY LP		ALPS RED ROCKS LISTED PRIVATE EQUIT S	56,971.82	0.00	0.00	0.00	60.0	0.00
				* MUTUAL FUNDS SUBTOTAL *	\$86,151.43	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

01/30/2018	75501981	LAWRENCE, VICTORIA		TEREX CORP	B	14,280.00	0.00	0.00	0.00	60.0	0.00
02/02/2018	75501981	LAWRENCE, VICTORIA		UNIVERSAL DISPLAY CORPORATION	B	15,750.00	0.00	0.00	0.00	60.0	0.00
02/05/2018	75501981	LAWRENCE, VICTORIA		REGENERON PHARMACEUTICALS INC	B	8,437.25	0.00	0.00	0.00	60.0	0.00
02/07/2018	75501981	LAWRENCE, VICTORIA		EXTREME NETWORKS INC COM	B	9,992.80	0.00	0.00	0.00	60.0	0.00
02/07/2018	75501981	LAWRENCE, VICTORIA		PIONEER NATURAL RESOURCES COMPANY	S	8,898.00	0.00	0.00	0.00	60.0	0.00
02/12/2018	75501981	LAWRENCE, VICTORIA		CONTINENTAL RESOURCES INC	S	12,979.80	0.00	0.00	0.00	60.0	0.00
02/06/2018	75501985	LAWRENCE, CHRISTOPHER		REGENERON PHARMACEUTICALS INC	S	10,140.00	0.00	0.00	0.00	60.0	0.00
02/07/2018	75501985	LAWRENCE, CHRISTOPHER		EXTREME NETWORKS INC COM	B	6,318.00	0.00	0.00	0.00	60.0	0.00
01/30/2018	75501986	LAWRENCE, CHRISTOPHER		TEREX CORP	B	8,238.56	0.00	0.00	0.00	60.0	0.00
02/02/2018	75501986	LAWRENCE, CHRISTOPHER		UNIVERSAL DISPLAY CORPORATION	B	7,875.00	0.00	0.00	0.00	60.0	0.00
02/08/2018	75501986	LAWRENCE, CHRISTOPHER		EXTREME NETWORKS INC COM	B	5,533.50	0.00	0.00	0.00	60.0	0.00
02/12/2018	75501986	LAWRENCE, CHRISTOPHER		CONTINENTAL RESOURCES INC	S	3,899.26	0.00	0.00	0.00	60.0	0.00
02/26/2018	75501986	LAWRENCE, CHRISTOPHER		PROSHARES TRUST ULTRAPRO NASDAQ BIO S		4,526.73	0.00	0.00	0.00	60.0	0.00
02/08/2018	75501987	SCHWARTZ, MARILYN		MAZOR ROBOTICS LTD SPONSORED ADS	B	22,725.00	55.00	0.00	55.00	60.0	33.00
02/21/2018	75501987	SCHWARTZ, MARILYN		APPLE INC	S	8,674.00	55.00	0.00	55.00	60.0	33.00
02/21/2018	75501987	SCHWARTZ, MARILYN		WYNN RESORTS LTD	S	12,600.00	55.00	0.00	55.00	60.0	33.00
01/31/2018	75501988	LAWRENCE, CHRISTOPHER		MARATHON OIL CORP	S	9,046.50	0.00	0.00	0.00	60.0	0.00
02/01/2018	75501988	LAWRENCE, CHRISTOPHER		LENNAR CORP CL A	B	9,318.00	0.00	0.00	0.00	60.0	0.00
01/30/2018	75501989	BINES, BURTON		NUANCE COMMUNICATIONS INC	B	26,430.00	0.00	0.00	0.00	60.0	0.00
02/05/2018	75501989	BINES, BURTON		MAZOR ROBOTICS LTD SPONSORED ADS	B	31,198.50	0.00	0.00	0.00	60.0	0.00
02/21/2018	75501989	BINES, BURTON		WYNN RESORTS LTD	S	25,247.19	0.00	0.00	0.00	60.0	0.00
02/26/2018	75501989	BINES, BURTON		CELGENE CORP	S	12,069.18	0.00	0.00	0.00	60.0	0.00
01/31/2018	75501990	LAWRENCE, JEFFREY		MARATHON OIL CORP	S	3,616.68	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/01/2018	75501990	LAWRENCE, JEFFREY	LENNAR CORP CL A	B	6,230.00	0.00	0.00	60.0	0.00
02/05/2018	75501991	NSH 81 LLC	UNIVERSAL DISPLAY CORPORATION	B	15,345.00	0.00	0.00	60.0	0.00
02/05/2018	75501991	NSH 81 LLC	ZOETIS INC CL A	B	15,232.60	0.00	0.00	60.0	0.00
02/16/2018	75501991	NSH 81 LLC	CELGENE CORP	S	19,094.76	0.00	0.00	60.0	0.00
02/21/2018	75501991	NSH 81 LLC	BAIDU INC SPONSORED ADR REPSTG ORD	S	37,838.46	0.00	0.00	60.0	0.00
02/21/2018	75501992	THE BARBARA & NEAL	CELGENE CORP	S	7,159.69	0.00	0.00	60.0	0.00
02/02/2018	75501998	LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM	B	5,652.41	55.00	55.00	60.0	33.00
01/31/2018	75501999	LAWRENCE, CHARLES	PAYPAL HOLDINGS INC COM	B	13,605.10	125.00	125.00	60.0	75.00
02/01/2018	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	12,576.00	60.00	60.00	60.0	36.00
02/02/2018	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	15,078.75	100.00	100.00	60.0	60.00
02/06/2018	75501999	LAWRENCE, CHARLES	TEREX CORP	B	11,802.62	100.00	100.00	60.0	60.00
02/14/2018	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	15,570.00	75.00	75.00	60.0	45.00
02/15/2018	75501999	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	B	13,224.00	125.00	125.00	60.0	75.00
02/15/2018	75501999	LAWRENCE, CHARLES	TEREX CORP	S	11,842.44	51.00	51.00	60.0	30.60
02/20/2018	75501999	LAWRENCE, CHARLES	SQUARE INC CLASS A COM	S	9,734.21	55.00	55.00	60.0	33.00
02/21/2018	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	12,768.00	55.00	55.00	60.0	33.00
02/21/2018	75501999	LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM	S	14,048.00	55.00	55.00	60.0	33.00
02/21/2018	75501999	LAWRENCE, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	11,287.92	100.00	100.00	60.0	60.00
02/22/2018	75501999	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	B	11,796.00	100.00	100.00	60.0	60.00
01/30/2018	75502000	LAWRENCE, WILLIAM	TEREX CORP	B	5,918.75	55.00	55.00	60.0	33.00
01/31/2018	75502000	LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM	S	17,150.56	75.00	75.00	60.0	45.00
02/01/2018	75502000	LAWRENCE, WILLIAM	LENNAR CORP CL A	B	9,952.00	100.00	100.00	60.0	60.00
02/05/2018	75502000	LAWRENCE, WILLIAM	DIREXION SHS ETF TR DAILY FINL BULL	B	7,165.00	75.00	75.00	60.0	45.00
02/07/2018	75502000	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	S	12,208.50	55.00	55.00	60.0	33.00
02/07/2018	75502000	LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM	B	6,572.72	55.00	55.00	60.0	33.00
02/08/2018	75502000	LAWRENCE, WILLIAM	LOXO ONCOLOGY INC COM	B	5,550.00	55.00	55.00	60.0	33.00
02/09/2018	75502000	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	B	10,884.00	55.00	55.00	60.0	33.00
02/09/2018	75502000	LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	S	11,617.95	55.00	55.00	60.0	33.00
02/12/2018	75502000	LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	S	10,598.07	55.00	55.00	60.0	33.00
02/14/2018	75502000	LAWRENCE, WILLIAM	LOXO ONCOLOGY INC COM	S	6,228.00	55.00	55.00	60.0	33.00
02/20/2018	75502000	LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	S	10,648.80	55.00	55.00	60.0	33.00
02/22/2018	75502000	LAWRENCE, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	11,248.72	100.00	100.00	60.0	60.00
02/26/2018	75502000	LAWRENCE, WILLIAM	DIREXION SHS ETF TR DAILY FINL BULL	S	7,315.00	55.00	55.00	60.0	33.00
02/26/2018	75502000	LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM	S	1,204.00	9.82	9.82	60.0	0.00
01/30/2018	75502001	LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	B	16,621.50	100.00	100.00	60.0	60.00
01/30/2018	75502001	LAWRENCE, CHARLES	WESTPORT FUEL SYSTEMS INC	B	4,913.60	55.00	55.00	60.0	33.00
01/31/2018	75502001	LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM	B	16,276.93	150.00	150.00	60.0	90.00
01/31/2018	75502001	LAWRENCE, CHARLES	PAYPAL HOLDINGS INC COM	B	14,893.38	125.00	125.00	60.0	75.00
02/01/2018	75502001	LAWRENCE, CHARLES	BLUEBIRD BIO INC COM	S	10,430.00	55.00	55.00	60.0	33.00
02/01/2018	75502001	LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM	B	16,234.29	125.00	125.00	60.0	75.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/05/2018	75502001	LAWRENCE, CHARLES							
		EXTREME NETWORKS INC COM	B	14,372.54	150.00	0.00	150.00	60.0	90.00
02/05/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	S	17,850.40	55.00	0.00	55.00	60.0	33.00
02/05/2018	75502001	LAWRENCE, CHARLES							
		NINTENDO CO LTD-ADR NEW	B	13,467.20	125.00	0.00	125.00	60.0	75.00
02/06/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	B	16,978.44	150.00	0.00	150.00	60.0	90.00
02/06/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	B	16,684.40	150.00	0.00	150.00	60.0	90.00
02/07/2018	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	S	21,452.00	100.00	0.00	100.00	60.0	60.00
02/08/2018	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	B	20,974.22	200.00	0.00	200.00	60.0	120.00
02/09/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	S	18,422.80	75.00	0.00	75.00	60.0	45.00
02/09/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	S	17,638.40	75.00	0.00	75.00	60.0	45.00
02/09/2018	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	B	10,257.52	100.00	0.00	100.00	60.0	60.00
02/12/2018	75502001	LAWRENCE, CHARLES							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	20,571.42	200.00	0.00	200.00	60.0	120.00
02/13/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	B	9,480.80	75.00	0.00	75.00	60.0	45.00
02/13/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	B	9,200.74	75.00	0.00	75.00	60.0	45.00
02/14/2018	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	S	14,301.00	55.00	0.00	55.00	60.0	33.00
02/14/2018	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	S	10,994.48	55.00	0.00	55.00	60.0	33.00
02/15/2018	75502001	LAWRENCE, CHARLES							
		NEKTAR THERAPEUTICS COM	B	13,224.00	125.00	0.00	125.00	60.0	75.00
02/16/2018	75502001	LAWRENCE, CHARLES							
		NINTENDO CO LTD-ADR NEW	S	14,079.00	55.00	0.00	55.00	60.0	33.00
02/20/2018	75502001	LAWRENCE, CHARLES							
		LOXO ONCOLOGY INC COM	S	34,752.00	150.00	0.00	150.00	60.0	90.00
02/20/2018	75502001	LAWRENCE, CHARLES							
		NEKTAR THERAPEUTICS COM	B	13,348.74	125.00	0.00	125.00	60.0	75.00
02/20/2018	75502001	LAWRENCE, CHARLES							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	B	11,377.52	100.00	0.00	100.00	60.0	60.00
02/21/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	B	9,536.74	100.00	0.00	100.00	60.0	60.00
02/21/2018	75502001	LAWRENCE, CHARLES							
		NEKTAR THERAPEUTICS COM	S	14,018.30	55.00	0.00	55.00	60.0	33.00
02/22/2018	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	B	9,731.12	100.00	0.00	100.00	60.0	60.00
02/23/2018	75502001	LAWRENCE, CHARLES							
		AVIANCA HOLDINGS SA SPONSORED ADR	S	4,919.67	51.00	0.00	51.00	60.0	30.60
02/23/2018	75502001	LAWRENCE, CHARLES							
		AVIANCA HOLDINGS SA SPONSORED ADR	S	4,918.40	51.00	0.00	51.00	60.0	30.60
02/23/2018	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	S	22,671.00	100.00	0.00	100.00	60.0	60.00
02/23/2018	75502001	LAWRENCE, CHARLES							
		NEKTAR THERAPEUTICS COM	S	14,271.42	75.00	0.00	75.00	60.0	45.00
02/26/2018	75502001	LAWRENCE, CHARLES							
		BLUEBIRD BIO INC COM	B	12,075.30	100.00	0.00	100.00	60.0	60.00
02/26/2018	75502001	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	B	3,593.38	55.00	0.00	55.00	60.0	33.00
02/26/2018	75502001	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	B	3,608.39	55.00	0.00	55.00	60.0	33.00
02/26/2018	75502001	LAWRENCE, CHARLES							
		MATTEL INCORPORATED	B	8,579.14	75.00	0.00	75.00	60.0	45.00
02/01/2018	75502002	LAWRENCE, WILLIAM							
		MARATHON OIL CORP	S	12,546.00	60.00	0.00	60.00	60.0	36.00
02/05/2018	75502002	LAWRENCE, WILLIAM							
		DIREXION SHS ETF TR DAILY FINL BULL	B	10,780.50	100.00	0.00	100.00	60.0	60.00
02/07/2018	75502002	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	S	15,226.88	75.00	0.00	75.00	60.0	45.00
02/07/2018	75502002	LAWRENCE, WILLIAM							
		EXTREME NETWORKS INC COM	B	6,572.72	55.00	0.00	55.00	60.0	33.00
02/08/2018	75502002	LAWRENCE, WILLIAM							
		EXTREME NETWORKS INC COM	B	6,404.72	55.00	0.00	55.00	60.0	33.00
02/14/2018	75502002	LAWRENCE, WILLIAM							
		EXTREME NETWORKS INC COM	S	13,577.76	55.00	0.00	55.00	60.0	33.00
02/15/2018	75502002	LAWRENCE, WILLIAM							
		NUANCE COMMUNICATIONS INC	S	12,616.00	51.00	0.00	51.00	60.0	30.60
02/20/2018	75502002	LAWRENCE, WILLIAM							
		BLUEBIRD BIO INC COM	B	15,622.50	100.00	0.00	100.00	60.0	60.00
02/23/2018	75502002	LAWRENCE, WILLIAM							
		MOMO INC ADR	S	13,087.50	75.00	0.00	75.00	60.0	45.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
02/26/2018	75502002	LAWRENCE, WILLIAM		DIREXION SHS ETF TR DAILY FINL BULL S	11,077.50	55.00	0.00	55.00	60.0	33.00	
02/02/2018	75502003	LAWRENCE, SARAH		IQVIA HOLDINGS INC COMMON STOCK	B	10,163.24	55.00	0.00	55.00	60.0	33.00
02/05/2018	75502003	LAWRENCE, SARAH		LOXO ONCOLOGY INC COM	B	7,808.00	55.00	0.00	55.00	60.0	33.00
02/16/2018	75502003	LAWRENCE, SARAH		LOXO ONCOLOGY INC COM	S	8,416.00	55.00	0.00	55.00	60.0	33.00
02/20/2018	75502003	LAWRENCE, SARAH		MATTEL INCORPORATED	B	9,149.60	55.00	0.00	55.00	60.0	33.00
02/01/2018	75502005	SHEMIN, VICKI		PALO ALTO NETWORKS INC COM	S	15,869.46	55.00	0.00	55.00	60.0	33.00
02/07/2018	75502005	SHEMIN, VICKI		PALO ALTO NETWORKS INC COM	S	15,655.78	55.00	0.00	55.00	60.0	33.00
02/13/2018	75502005	SHEMIN, VICKI		HOWARD HUGHES CORP	S	14,975.00	55.00	0.00	55.00	60.0	33.00
02/14/2018	75502005	SHEMIN, VICKI		BANK OF AMERICA CORP	B	19,179.60	55.00	0.00	55.00	60.0	33.00
02/14/2018	75502005	SHEMIN, VICKI		NIKE INC CL B	S	20,320.50	55.00	0.00	55.00	60.0	33.00
02/20/2018	75502005	SHEMIN, VICKI		WAL-MART STORES INC	B	18,946.64	55.00	0.00	55.00	60.0	33.00
02/26/2018	75502005	SHEMIN, VICKI		SQUARE INC CLASS A COM	S	9,173.36	55.00	0.00	55.00	60.0	33.00
01/30/2018	75502008	DEC, JOHN		XPO LOGISTICS INC COM	B	18,913.96	0.00	0.00	0.00	60.0	0.00
02/01/2018	75502008	DEC, JOHN		CONTINENTAL RESOURCES INC	S	5,637.81	0.00	0.00	0.00	60.0	0.00
02/02/2018	75502008	DEC, JOHN		APPLE INC	B	16,290.00	0.00	0.00	0.00	60.0	0.00
02/23/2018	75502008	DEC, JOHN		SQUARE INC CLASS A COM	S	8,970.00	0.00	0.00	0.00	60.0	0.00
02/26/2018	75502008	DEC, JOHN		APPLE INC	S	17,915.00	0.00	0.00	0.00	60.0	0.00
01/30/2018	75502010	KEETER, LANCE		XPO LOGISTICS INC COM	B	9,456.94	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502010	KEETER, LANCE		TEREX CORP	B	8,397.28	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502010	KEETER, LANCE		CONTINENTAL RESOURCES INC	S	2,629.00	0.00	0.00	0.00	60.0	0.00
02/20/2018	75502010	KEETER, LANCE		BROADCOM LIMITED SHS	S	6,325.31	0.00	0.00	0.00	60.0	0.00
01/30/2018	75502011	DEC, JANICE		XPO LOGISTICS INC COM	B	9,456.28	0.00	0.00	0.00	60.0	0.00
02/01/2018	75502011	DEC, JANICE		CONTINENTAL RESOURCES INC	S	2,815.01	0.00	0.00	0.00	60.0	0.00
02/02/2018	75502011	DEC, JANICE		APPLE INC	B	8,143.50	0.00	0.00	0.00	60.0	0.00
02/23/2018	75502011	DEC, JANICE		SQUARE INC CLASS A COM	S	4,485.00	0.00	0.00	0.00	60.0	0.00
02/26/2018	75502011	DEC, JANICE		APPLE INC	S	8,957.50	0.00	0.00	0.00	60.0	0.00
01/30/2018	75502012	DEC, JOHN		XPO LOGISTICS INC COM	B	33,106.50	0.00	0.00	0.00	60.0	0.00
02/08/2018	75502012	DEC, JOHN		XPO LOGISTICS INC COM	B	25,753.44	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502012	DEC, JOHN		CELGENE CORP	S	18,714.08	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502012	DEC, JOHN		CONTINENTAL RESOURCES INC	S	26,386.35	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502012	DEC, JOHN		REGENERON PHARMACEUTICALS INC	B	15,761.00	0.00	0.00	0.00	60.0	0.00
02/26/2018	75502012	DEC, JOHN		CELGENE CORP	S	9,648.70	0.00	0.00	0.00	60.0	0.00
02/26/2018	75502012	DEC, JOHN		INTERNATIONAL BUSINESS MACHINES COR S	S	23,549.25	0.00	0.00	0.00	60.0	0.00
02/08/2018	75502013	DEC, JOHN		XPO LOGISTICS INC COM	B	4,308.50	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502013	DEC, JOHN		CELGENE CORP	S	4,723.13	0.00	0.00	0.00	60.0	0.00
02/08/2018	75502014	DEC, JOHN		XPO LOGISTICS INC COM	B	4,313.00	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502014	DEC, JOHN		CELGENE CORP	S	4,722.63	0.00	0.00	0.00	60.0	0.00
01/30/2018	75502021	ARCARO, DANIEL		XPO LOGISTICS INC COM	B	4,729.88	0.00	0.00	0.00	60.0	0.00
02/08/2018	75502021	ARCARO, DANIEL		XPO LOGISTICS INC COM	B	4,324.50	0.00	0.00	0.00	60.0	0.00
02/13/2018	75502021	ARCARO, DANIEL		CELGENE CORP	S	2,304.31	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
02/14/2018	75502021	ARCARO, DANIEL		REGENERON PHARMACEUTICALS INC	B	4,764.30	0.00	0.00	0.00	60.0	0.00
02/20/2018	75502021	ARCARO, DANIEL		BROADCOM LIMITED SHS	S	3,802.54	0.00	0.00	0.00	60.0	0.00
02/26/2018	75502021	ARCARO, DANIEL		CELGENE CORP	S	2,403.06	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502022	APPEL, CHARLES		BLUEBIRD BIO INC COM	B	5,550.00	55.00	0.00	55.00	60.0	33.00
02/08/2018	75502022	APPEL, CHARLES		PALO ALTO NETWORKS INC COM	S	7,822.00	55.00	0.00	55.00	60.0	33.00
02/20/2018	75502022	APPEL, CHARLES		INFINERA CORP	S	2,980.02	55.00	0.00	55.00	60.0	33.00
01/30/2018	75502023	APPEL, CHARLES		XPO LOGISTICS INC COM	B	11,816.23	55.00	0.00	55.00	60.0	33.00
02/07/2018	75502023	APPEL, CHARLES		PALO ALTO NETWORKS INC COM	S	7,811.63	55.00	0.00	55.00	60.0	33.00
02/14/2018	75502023	APPEL, CHARLES		CONTINENTAL RESOURCES INC	S	5,276.01	55.00	0.00	55.00	60.0	33.00
01/30/2018	75502034	ROZECKI, KAYE		MATTEL INCORPORATED	B	8,727.68	85.00	0.00	85.00	60.0	51.00
01/30/2018	75502035	ROMANO, LISA		XPO LOGISTICS INC COM	B	3,783.50	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502035	ROMANO, LISA		CONTINENTAL RESOURCES INC	S	1,317.25	0.00	0.00	0.00	60.0	0.00
01/30/2018	75502036	ROMANO, MARK		XPO LOGISTICS INC COM	B	5,675.25	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502036	ROMANO, MARK		CONTINENTAL RESOURCES INC	S	1,316.75	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502041	MCCORD, ELIZABETH		AMGEN INC	B	8,497.38	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502041	MCCORD, ELIZABETH		SPDR S&P DIVIDEND ETF	B	4,430.88	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502041	MCCORD, ELIZABETH		ZOETIS INC CL A	B	3,534.88	0.00	0.00	0.00	60.0	0.00
01/31/2018	75502043	MCCORD, ELIZABETH		ZOETIS INC CL A	B	26,408.15	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502043	MCCORD, ELIZABETH		DOLLAR GENERAL CORPORATION	B	13,096.94	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502043	MCCORD, ELIZABETH		PRICELINE GROUP INC (THE)	S	20,308.48	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502044	MCCORD, ELIZABETH		AMGEN INC	B	16,952.18	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502044	MCCORD, ELIZABETH		ISHARES SELECT DIVIDEND ETF	B	9,238.98	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502044	MCCORD, ELIZABETH		SPDR S&P DIVIDEND ETF	B	17,739.92	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502044	MCCORD, ELIZABETH		ZOETIS INC CL A	B	10,638.93	0.00	0.00	0.00	60.0	0.00
02/02/2018	75502104	LAWRENCE, CHARLES		LENNAR CORP CL A	B	6,064.98	55.00	0.00	55.00	60.0	33.00
01/30/2018	75502113	BEHAR, RAYMOND		XPO LOGISTICS INC COM	B	9,460.00	0.00	0.00	0.00	60.0	0.00
02/05/2018	75502113	BEHAR, RAYMOND		UNIVERSAL DISPLAY CORPORATION	B	4,551.00	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502113	BEHAR, RAYMOND		PIONEER NATURAL RESOURCES COMPANY	S	4,350.00	0.00	0.00	0.00	60.0	0.00
01/30/2018	75502114	BEHAR, RAYMOND		XPO LOGISTICS INC COM	B	7,095.00	0.00	0.00	0.00	60.0	0.00
02/01/2018	75502114	BEHAR, RAYMOND		TEXAS INSTRUMENTS INCORPORATED	S	3,969.45	0.00	0.00	0.00	60.0	0.00
02/02/2018	75502114	BEHAR, RAYMOND		TEREX CORP	B	4,572.98	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502114	BEHAR, RAYMOND		PIONEER NATURAL RESOURCES COMPANY	S	8,700.00	0.00	0.00	0.00	60.0	0.00
02/07/2018	75502114	BEHAR, RAYMOND		PEPSICO INC	S	6,415.64	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502114	BEHAR, RAYMOND		PHILIP MORRIS INTERNATIONAL INC	S	2,011.60	0.00	0.00	0.00	60.0	0.00
02/09/2018	75502114	BEHAR, RAYMOND		XPO LOGISTICS INC COM	B	6,444.74	0.00	0.00	0.00	60.0	0.00
02/14/2018	75502114	BEHAR, RAYMOND		HASBRO INC	S	9,807.10	0.00	0.00	0.00	60.0	0.00
01/31/2018	75502115	BEHAR, RAYMOND		ZOETIS INC CL A	B	4,234.54	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502115	BEHAR, RAYMOND		DOLLAR GENERAL CORPORATION	B	1,911.96	0.00	0.00	0.00	60.0	0.00
02/06/2018	75502115	BEHAR, RAYMOND		PRICELINE GROUP INC (THE)	S	3,692.45	0.00	0.00	0.00	60.0	0.00
* STOCKS SUBTOTAL *				\$2,043,743.66	\$7,508.82	\$0.00	\$7,508.82	\$4,499.40			

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
01/17/2018	75501981	LAWRENCE, VICTORIA	FAS FEES PT. II/III	B	-501.91	436.66	0.00	436.66	60.0	262.00
01/09/2018	75501985	LAWRENCE, CHRISTOPHER	FAS FEES PT. II/III	B	9.10	-5.91	0.00	-5.91	60.0	0.00
01/17/2018	75501985	LAWRENCE, CHRISTOPHER	FAS FEES PT. II/III	B	-29.29	19.04	0.00	19.04	60.0	0.00
01/17/2018	75501986	LAWRENCE, CHRISTOPHER	FAS FEES PT. II/III	B	-185.56	154.63	0.00	154.63	60.0	92.78
01/17/2018	75501988	LAWRENCE, CHRISTOPHER	FAS FEES PT. II/III	B	-126.22	94.66	0.00	94.66	60.0	56.80
01/17/2018	75501989	BINES, BURTON	FAS FEES PT. II/III	B	-1,459.83	1,299.25	0.00	1,299.25	60.0	779.55
01/17/2018	75501990	LAWRENCE, JEFFREY	FAS FEES PT. II/III	B	-64.62	42.01	0.00	42.01	60.0	0.00
01/17/2018	75501991	NSH 81 LLC	FAS FEES PT. II/III	B	-1,351.92	1,203.21	0.00	1,203.21	60.0	721.93
01/17/2018	75501992	THE BARBARA & NEAL	FAS FEES PT. II/III	B	-465.79	405.23	0.00	405.23	60.0	243.14
01/17/2018	75502008	DEC, JOHN	FAS FEES PT. II/III	B	-283.03	232.09	0.00	232.09	60.0	139.25
01/17/2018	75502010	KEETER, LANCE	FAS FEES PT. II/III	B	-260.76	225.53	0.00	225.53	60.0	135.32
01/17/2018	75502011	DEC, JANICE	FAS FEES PT. II/III	B	-136.18	102.13	0.00	102.13	60.0	61.28
01/17/2018	75502012	DEC, JOHN	FAS FEES PT. II/III	B	-1,106.11	984.44	0.00	984.44	60.0	590.66
01/17/2018	75502013	DEC, JOHN	FAS FEES PT. II/III	B	-158.28	118.71	0.00	118.71	60.0	71.23
01/17/2018	75502014	DEC, JOHN	FAS FEES PT. II/III	B	-138.66	103.99	0.00	103.99	60.0	62.39
01/17/2018	75502021	ARCARO, DANIEL	FAS FEES PT. II/III	B	-125.64	101.87	0.00	101.87	60.0	61.12
01/17/2018	75502035	ROMANO, LISA	FAS FEES PT. II/III	B	-90.16	69.13	0.00	69.13	60.0	41.48
01/17/2018	75502036	ROMANO, MARK	FAS FEES PT. II/III	B	-147.19	122.66	0.00	122.66	60.0	73.60
01/17/2018	75502041	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-364.44	298.84	0.00	298.84	60.0	179.30
01/10/2018	75502043	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	213.42	-110.08	0.00	-110.08	60.0	0.00
01/17/2018	75502043	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-991.28	511.29	0.00	511.29	60.0	306.77
01/10/2018	75502044	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-224.66	195.45	0.00	195.45	60.0	117.27
01/17/2018	75502044	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-418.25	363.88	0.00	363.88	60.0	218.33
01/17/2018	75502060	MASSEY, GEORGE	FAS FEES PT. II/III	B	-113.49	90.79	0.00	90.79	60.0	54.47
01/17/2018	75502061	MASSEY, GEORGE	FAS FEES PT. II/III	B	-67.89	48.88	0.00	48.88	60.0	0.00
01/17/2018	75502113	BEHAR, RAYMOND	FAS FEES PT. II/III	B	-112.71	84.53	0.00	84.53	60.0	50.72
01/17/2018	75502114	BEHAR, RAYMOND	FAS FEES PT. II/III	B	-220.63	180.92	0.00	180.92	60.0	108.55
01/17/2018	75502115	BEHAR, RAYMOND	FAS FEES PT. II/III	B	-128.16	51.27	0.00	51.27	60.0	30.76
* RIA SUBTOTAL *					\$-9,050.14	\$7,425.10	\$0.00	\$7,425.10		\$4,458.70
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75502005	SHEMIN, VICKI	THE INCOME FUND OF AMERICA-A	B	0.00	2.44	0.00	2.44	60.0	1.46
02/09/2018	75502022	APPEL, CHARLES	MFS INTERNTL DIVERSIFICATION FUND-A	B	0.00	5.77	0.00	5.77	60.0	3.46
02/09/2018	75502023	APPEL, CHARLES	**CLEARBRIDGE AGGRESSIVE	B	0.00	10.70	0.00	10.70	60.0	6.42
02/09/2018	75502023	APPEL, CHARLES	JANUS INVT FD GLOBAL LIFE SCIENCES	B	0.00	11.32	0.00	11.32	60.0	6.79
02/09/2018	75502023	APPEL, CHARLES	JANUS INVT FD TRITON FD CL C	B	0.00	17.90	0.00	17.90	60.0	10.74
02/09/2018	75502023	APPEL, CHARLES	JOHN HANCOCK FDS III DISCIPLINED VA	B	0.00	11.89	0.00	11.89	60.0	7.13
02/09/2018	75502070	HAND, STEPHEN	AMERICAN HIGH-INCOME TRUST-C	B	0.00	18.48	0.00	18.48	60.0	11.09
02/09/2018	75502070	HAND, STEPHEN	LORD ABBETT SECS TR INTL DIVIDEND I	B	0.00	11.20	0.00	11.20	60.0	6.72
02/09/2018	75502070	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	10.34	0.00	10.34	60.0	6.20

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75502070	HAND, STEPHEN	LORD ABBETT HIGH YIELD FUND CL-C	B	0.00	9.04	0.00	9.04	60.0	5.42
02/09/2018	75502070	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	11.19	0.00	11.19	60.0	6.71
02/09/2018	75502071	HAND, STEPHEN	AMERICAN HIGH-INCOME TRUST-C	B	0.00	2.31	0.00	2.31	60.0	1.39
02/09/2018	75502071	HAND, STEPHEN	LORD ABBETT MULTI ASSET INCOME FUND	B	0.00	2.59	0.00	2.59	60.0	1.55
02/09/2018	75502071	HAND, STEPHEN	NUVEEN SYMPHONY CREDIT OPPORTUNITIE	B	0.00	2.80	0.00	2.80	60.0	1.68
02/09/2018	75502073	HAND FAMILY LP	**AB HIGH INCOME MUNICIPAL	B	0.00	183.20	0.00	183.20	60.0	109.92
02/09/2018	75502073	HAND FAMILY LP	LORD ABBETT TAX-FREE INCOME TR HIGH	B	0.00	129.65	0.00	129.65	60.0	77.79
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$440.82	\$0.00	\$440.82		\$264.47
*** BROKER TRANSACTIONS TOTAL ***					\$2,120,844.95	\$15,374.74	\$0.00	\$15,374.74		\$9,222.57

BROKER COMMISSION TOTALS

Broker Transactions	\$9,222.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-19.22
SIPC Assessment	\$-38.44

Check Amount	\$9,164.91
Year-to-date Earnings	\$20,802.02