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COMMISSION STATEMENT

April 26, 2018

SYLVIA LUM

3200 N. Central Ave.

#200

Phoenix, AZ 85012

BROKER #: 915

BRANCH: #11- CENTRAL-JIM

| DATE CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|-----------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|----------------------|
| Company: CUE Financial | Group, Inc | | | | | |
| | REP LINK TRAN | SACTIONS | | | | |
| BROKER: SYLVIA LUM #428 | | | | | | |
| 01/26/2018 GEE, PHILIP | CALIFORNIA LONG TERM CARE | 365.04 | 0.00 | 0.00 | 0.00 | 5.84 |
| 01/26/2018 GEE, MARIA | CALIFORNIA LONG TERM CARE | 300.24 | 0.00 | 0.00 | 0.00 | 4.80 |
| 02/08/2018 CHING, JEFFREY | CALIFORNIA LONG TERM CARE | 1,063.98 | 0.00 | 0.00 | 0.00 | 25.54 |
| 04/04/2018 GEE, MYRA | CALIFORNIA LONG TERM CARE | 704.16 | 0.00 | 0.00 | 0.00 | 11.26 |
| 04/04/2018 GEE, WILLIAM | CALIFORNIA LONG TERM CARE | 933.12 | 0.00 | 0.00 | 0.00 | 14.93 |
| 04/18/2018 MURATA, AMY | CALIFORNIA LONG TERM CARE | 1,496.55 | 0.00 | 0.00 | 0.00 | 101.77 |
| | * SYLVIA LUM SUBTOTAL * | \$4,863.09 | \$0.00 | \$0.00 | \$0.00 | \$164.14 |
| BROKER: SYLVIA LUM #712 | | | | | | |
| 01/26/2018 RUSSELL, VIRGINIA JEAN | CALIFORNIA LONG TERM CARE | 282.24 | 0.00 | 0.00 | 0.00 | 4.51 |
| 01/26/2018 FLICK, SARAH | CALIFORNIA LONG TERM CARE | 1,485.00 | 0.00 | 0.00 | 0.00 | 23.76 |
| 03/07/2018 RUSSELL, VIRGINIA JEAN | CALIFORNIA LONG TERM CARE | 282.24 | 0.00 | 0.00 | 0.00 | 4.51 |
| 04/04/2018 RUSSELL, VIRGINIA JEAN | CALIFORNIA LONG TERM CARE | 282.24 | 0.00 | 0.00 | 0.00 | 4.51 |
| 04/18/2018 BUSK, EVELYN | CNA LONG TERM CARE | 118.59 | 0.00 | 0.00 | 0.00 | 2.85 |
| 04/18/2018 URAUCHI, ELEANOR | CNA LONG TERM CARE | 190.31 | 0.00 | 0.00 | 0.00 | 4.57 |
| | * SYLVIA LUM SUBTOTAL * | \$2,640.62 | \$0.00 | \$0.00 | \$0.00 | \$44.71 |
| | *** REP LINK TRANSACTIONS TOTAL *** | \$7,503.71 | \$0.00 | \$0.00 | \$0.00 | \$208.85 |

COMMISSION STATEMENT for SYLVIA LUM

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|-----------------------|-------------------|----------------------|
| | | | | | BROKI | 1 TOTALS | |
| | | | | | Broker Transactions | | \$208.85 |
| | | | | | Split Transactions | | \$0.00 |
| | | | | | Override Transactions | | \$0.00 |
| | | | | | Adjustments | | \$0.00 |
| | | | | | Prior Period Balance | | \$0.00 |
| | | | | | NASD A | ssessment | \$0.00 |
| | | | | | SIPC A | ssessment | \$0.00 |
| | | | | Г | | | |
| | | | | | Che | ck Amount | \$208.85 |
| | | | | | Year-to-date | Earnings | \$1,120.43 |