



COMMISSION STATEMENT

February 12, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/01/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	62.40	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 04000003339	BUY 2 Units @29.9300						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.4200						
02/01/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	1,035.88	10.36	0.00	10.36	90.0	9.32
	ACCOUNT #: 00089949168	BUY 68 Units @15.3400						
02/01/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	520.00	5.20	0.00	5.20	90.0	4.68
	ACCOUNT #: 04000001565	BUY 34 Units @15.3400						
02/02/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.69	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00089949168	BUY 3 Units @15.0700						
02/02/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @15.0700						
02/01/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	1,250.05	12.50	0.00	12.50	90.0	11.25
	ACCOUNT #: 00089949172	BUY 79 Units @15.7500						
02/01/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	260.00	2.60	0.00	2.60	90.0	2.34
	ACCOUNT #: 00089973868	BUY 18 Units @14.7800						
02/02/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.5500						
02/01/2018	***-**-1289 - MOORE, MICAH	AMERICAN FUNDS 2050 TARGET DATE-C	617.70	6.18	0.00	6.18	90.0	5.56
	ACCOUNT #: 00089973895	BUY 39 Units @15.6600						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	78.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 04000003339	BUY 2 Units @41.9700						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @41.2300						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	156.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: 04000003339	BUY 3 Units @58.3200						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @56.8300						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	78.00	0.78	0.00	0.78	90.0	0.70
	ACCOUNT #: 04000003339	BUY 2 Units @42.3600						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @41.5500						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/01/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	54.60	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: 04000003339	BUY 1 Units @68.3400						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @66.7300						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	117.00	1.17	0.00	1.17	90.0	1.05
	ACCOUNT #: 04000003339	BUY 2 Units @51.6500						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.6400						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	39.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 04000003339	BUY 3 Units @12.6900						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.6600						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	140.40	1.40	0.00	1.40	90.0	1.26
	ACCOUNT #: 04000003339	BUY 3 Units @49.5200						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @48.6300						
02/01/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	54.60	0.55	0.00	0.55	90.0	0.50
	ACCOUNT #: 04000003339	BUY 1 Units @43.4700						
02/02/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @42.6200						
* MUTUAL FUNDS SUBTOTAL *			\$4,563.32	\$45.64	\$0.00	\$45.64		\$41.09
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/06/2018	***-**-125P - CANNADY, JAKE	PACIFIC LIFE 694474693-NFS	800.00	15.84	0.00	15.84	90.0	14.26
	ACCOUNT #: VP61059840	BUY 0 Units @0.0000						
02/07/2018	***-**-8270 - KELLY, PAMELA	PACIFIC LIFE DTCC RENEWAL	416.67	8.33	0.00	8.33	90.0	7.50
	ACCOUNT #: VP60088270	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$1,216.67	\$24.17	\$0.00	\$24.17		\$21.76



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/12/2018	***-**-0726 - DAHL, KEVIN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
02/12/2018	***-**-7034 - DAHL, ROXANNE	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.53	0.00	1.53	90.0	1.38
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
02/12/2018	***-**-1330 - SKIN SPECTRUM,	QUALIFIED PLAN SUMMARY	0.00	1.69	0.00	1.69	90.0	1.52
	ACCOUNT #: 000000000018580	BUY 0 Units @0.0000						
02/12/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	210.59	0.00	210.59	90.0	189.53
	ACCOUNT #: 00017851506	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$214.47	\$0.00	\$214.47		\$193.02
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
02/12/2018	***-**-58CL - KELLY, CLIENTS	ING AETNA GVA TRAILS	0.00	0.57	0.00	0.57	90.0	0.51
	ACCOUNT #: PHD277	BUY 1 Units @0.0000						
02/12/2018	***-**-58CL - KELLY, CLIENTS	ING AETNA GVA TRAILS	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: PHE434	BUY 1 Units @0.0000						
02/12/2018	***-**-5031 - VICI PROPERTIES	JOHN HANCOCK VA TRAILS	0.00	1,492.96	0.00	1,492.96	90.0	1,343.66
	ACCOUNT #: 131264	BUY 1 Units @0.0000						
02/12/2018	***-**-0664 - FOCUS	JOHN HANCOCK VA TRAILS	0.00	848.08	0.00	848.08	90.0	763.27
	ACCOUNT #: 114317	BUY 1 Units @0.0000						
02/05/2018	***-**-8230 - GARCIA, JOSEPH	PACIFIC LIFE 694474693-NFS	0.00	16.33	0.00	16.33	90.0	14.70
	ACCOUNT #: VP61245420	BUY 0 Units @0.0000						
02/12/2018	***-**-2436 - RT MANAGEMENT,	QUALIFIED PLAN SUMMARY	0.00	3.83	0.00	3.83	90.0	3.45
	ACCOUNT #: PHJ287	BUY 1 Units @0.0000						
02/12/2018	***-**-5760 - KR SALINE, &	QUALIFIED PLAN SUMMARY	0.00	3,312.39	0.00	3,312.39	90.0	2,981.15
	ACCOUNT #: GH2068	BUY 1 Units @0.0000						
02/12/2018	***-**-7053 - VISHWANATH MD	QUALIFIED PLAN SUMMARY	0.00	453.62	0.00	453.62	90.0	408.26
	ACCOUNT #: 87501	BUY 1 Units @0.0000						
02/12/2018	***-**-7528 - ELLIOTT	QUALIFIED PLAN SUMMARY	0.00	99.45	0.00	99.45	90.0	89.51
	ACCOUNT #: 23170	BUY 1 Units @0.0000						
02/12/2018	***-**-8042 - JCM AMERICAN	QUALIFIED PLAN SUMMARY	0.00	1,450.83	0.00	1,450.83	90.0	1,305.75
	ACCOUNT #: 130807	BUY 1 Units @0.0000						
02/12/2018	***-**-74JK - RSI ENTERPRISES,	QUALIFIED PLAN SUMMARY	0.00	541.35	0.00	541.35	90.0	487.22
	ACCOUNT #: 129968	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
02/12/2018	***-**-2744 - SHREE GANESH LLC	QUALIFIED PLAN SUMMARY	0.00	39.12	0.00	39.12	90.0	35.21
	ACCOUNT #: 127802	BUY 1 Units @0.0000						
02/12/2018	***-**-2070 - TCH LAW PLC 401K	QUALIFIED PLAN SUMMARY	0.00	433.00	0.00	433.00	90.0	389.70
	ACCOUNT #: 123577	BUY 1 Units @0.0000						
02/12/2018	***-**-54QP - STAR NURSERY INC	QUALIFIED PLAN SUMMARY	0.00	1,331.53	0.00	1,331.53	90.0	1,198.38
	ACCOUNT #: 118948	BUY 1 Units @0.0000						
02/12/2018	***-**-7584 - MED-SMART,	QUALIFIED PLAN SUMMARY	0.00	1,529.07	0.00	1,529.07	90.0	1,376.16
	ACCOUNT #: 116011	BUY 1 Units @0.0000						
02/12/2018	***-**-4580 - ROSS AERIAL,	QUALIFIED PLAN SUMMARY	0.00	1,177.10	0.00	1,177.10	90.0	1,059.39
	ACCOUNT #: 114840	BUY 1 Units @0.0000						
02/12/2018	***-**-888K - MKS GRAPHICS,	QUALIFIED PLAN SUMMARY	0.00	1,032.01	0.00	1,032.01	90.0	928.81
	ACCOUNT #: 114333	BUY 1 Units @0.0000						
02/12/2018	***-**-728Q - HORSEMEN INC	QUALIFIED PLAN SUMMARY	0.00	760.96	0.00	760.96	90.0	684.86
	ACCOUNT #: 109030	BUY 1 Units @0.0000						
02/12/2018	***-**-66QP - MVT SERVICES LLC	QUALIFIED PLAN SUMMARY	0.00	1,873.37	0.00	1,873.37	90.0	1,686.03
	ACCOUNT #: 107713	BUY 1 Units @0.0000						
02/12/2018	***-**-0215 - PRO-STEEL	QUALIFIED PLAN SUMMARY	0.00	825.23	0.00	825.23	90.0	742.71
	ACCOUNT #: 107166	BUY 1 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$17,220.90	\$0.00	\$17,220.90		\$15,498.82
*** BROKER TRANSACTIONS TOTAL ***			\$5,779.99	\$17,505.18	\$0.00	\$17,505.18		\$15,754.69



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>									
							Broker Transactions		\$15,754.69
							Split Transactions		\$0.00
							Override Transactions		\$0.00
							Adjustments		\$0.00
							Payroll Draw		\$0.00
							Base Salary		\$0.00
							Prior Period Balance		\$63.21
							FINRA Assessment		\$0.00
							SIPC Assessment		\$0.00
							Net Commission Earned		\$15,817.90
							Year-to-date Earnings		\$15,754.69