



COMMISSION STATEMENT

May 14, 2018

KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/08/2018	***-**-15IN - PENDRAK, JOHN	LORD ABBETT RESEARCH SMALL CAP CLAS	5,000.00	19.99	6.00	13.99	0.0	0.00
	ACCOUNT #: LSR010948	BUY 423 Units @11.8200						
05/08/2018	***-**-15IN - PENDRAK, JOHN	LORD ABBETT SHORT DURATION INCOME C	5,000.00	0.00	6.00	-6.00	100.0	-6.00
	ACCOUNT #: LSR010948	SELL 1188 Units @4.2100						
05/04/2018	***-**-188I - BRADLEY, WAYNE	RESOURCE CREDIT INCOME FUND C	10,000.00	118.00	18.00	100.00	0.0	0.00
	ACCOUNT #: LSR012484	BUY 894 Units @11.1800						
05/08/2018	***-**-579J - RHYMESTINE,	RESOURCE CREDIT INCOME FUND C	25,000.00	268.00	18.00	250.00	0.0	0.00
	ACCOUNT #: LSR011081	BUY 2228 Units @11.2200						
05/10/2018	***-**-287I - HINCKLEY,	RESOURCE CREDIT INCOME FUND C	15,000.00	168.00	18.00	150.00	0.0	0.00
	ACCOUNT #: LSR010843	BUY 1336 Units @11.2300						
05/10/2018	***-**-567I - FRANZ, ALICE	RESOURCE CREDIT INCOME FUND C	18,000.01	198.00	18.00	180.00	0.0	0.00
	ACCOUNT #: LSR010960	BUY 1603 Units @11.2300						
* MUTUAL FUNDS SUBTOTAL *			\$78,000.01	\$771.99	\$84.00	\$687.99		\$-6.00
<u>PRODUCT CATEGORY: STOCKS</u>								
05/07/2018	***-**-80IN - COBB, JAMES	CAMECO CORP COM NPV ISIN #CA13321L1	2,270.00	49.99	19.00	30.99	0.0	0.00
	ACCOUNT #: LSR011042	BUY 200 Units @11.3500						
05/10/2018	***-**-30TR - AND SANDRA	NATIONAL GRID SPON ADR EACH REP 5 O	5,731.00	67.49	17.00	50.49	0.0	0.00
	ACCOUNT #: LSR011939	BUY 100 Units @57.3100						
05/07/2018	***-**-80IN - COBB, JAMES	NORDIC AMERICAN TANKERS LIMITE COM	3,090.00	49.99	45.00	4.99	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1500 Units @2.0600						
05/10/2018	***-**-579J - RHYMESTINE,	REALTY INCOME CORP COMMON STOCK	5,332.00	59.99	17.00	42.99	0.0	0.00
	ACCOUNT #: LSR011081	BUY 100 Units @53.3200						
05/10/2018	***-**-579J - RHYMESTINE,	STEIN MART INC COM	2,540.00	49.99	18.00	31.99	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1000 Units @2.5400						
05/08/2018	***-**-579J - RHYMESTINE,	VERIZON COMMUNICATIONS UNSOLICITED	4,687.00	48.75	17.00	31.75	0.0	0.00
	ACCOUNT #: LSR011081	BUY 100 Units @46.8700						
05/08/2018	***-**-579J - RHYMESTINE,	VERIZON COMMUNICATIONS UNSOLICITED	4,687.00	48.75	2.00	46.75	0.0	0.00
	ACCOUNT #: LSR011081	BUY 100 Units @46.8700						
05/10/2018	***-**-579J - RHYMESTINE,	WALMART STORES INC UNSOLICITED ORDE	8,299.00	87.49	17.00	70.49	0.0	0.00
	ACCOUNT #: LSR011081	BUY 100 Units @82.9900						
* STOCKS SUBTOTAL *			\$36,636.00	\$462.44	\$152.00	\$310.44		\$0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/14/2018	***-**-614J - BUSHEY, MATTHEW	NYA JPM 529 MOD AGE-BASED A BUY 0 Units @0.0000	100.00	4.75	0.00	4.75	0.0	0.00
		* 529 PLAN SUBTOTAL *	\$100.00	\$4.75	\$0.00	\$4.75		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/14/2018	***-**-711O - FAMOLARO, JAMES	AIM GLOBAL VALUE FUND - CLASS A ACCOUNT #: LSR011279 BUY 1 Units @0.0000	0.00	0.33	0.00	0.33	0.0	0.00
05/14/2018	***-**-306X - COBB, CHERRYL	AIM GOLD & PRECIOUS METALS FD - A ACCOUNT #: LSR011037 BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	0.0	0.00
05/14/2018	***-**-580X - COBB, JAMES	AIM GOLD & PRECIOUS METALS FD - A ACCOUNT #: LSR011040 BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	0.0	0.00
05/14/2018	***-**-837O - BASEMENT	AIM GOLD & PRECIOUS METALS FD - A ACCOUNT #: LSR011046 BUY 1 Units @0.0000	0.00	1.95	0.00	1.95	0.0	0.00
05/14/2018	***-**-944O - MAYO, DEBORAH	EATON VANCE MF TRAILS ACCOUNT #: 5034930049 BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	0.0	0.00
05/14/2018	***-**-711O - FAMOLARO, JAMES	INVESCO VAN KAMPEN COMSTOCK CLASS A ACCOUNT #: LSR011279 BUY 1 Units @0.0000	0.00	0.44	0.00	0.44	0.0	0.00
05/14/2018	***-**-711O - FAMOLARO, JAMES	INVESCO VAN KAMPEN EQUITY AND INCOM ACCOUNT #: LSR011279 BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	0.0	0.00
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD ACCOUNT #: LSR011035 BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	0.0	0.00
05/14/2018	***-**-131N - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD ACCOUNT #: LSR011954 BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	0.0	0.00
05/14/2018	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR010858 BUY 1 Units @0.0000	0.00	9.38	0.00	9.38	0.0	0.00
05/14/2018	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR010874 BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	0.0	0.00
05/14/2018	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR010875 BUY 1 Units @0.0000	0.00	4.22	0.00	4.22	0.0	0.00
05/14/2018	***-**-481O - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR010911 BUY 1 Units @0.0000	0.00	17.82	0.00	17.82	0.0	0.00
05/14/2018	***-**-031O - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C ACCOUNT #: LSR010916 BUY 1 Units @0.0000	0.00	4.37	0.00	4.37	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	16.94	0.00	16.94	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
05/14/2018	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	16.65	0.00	16.65	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
05/14/2018	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.68	0.00	7.68	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
05/14/2018	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.07	0.00	6.07	0.0	0.00
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
05/14/2018	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.20	0.00	8.20	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
05/14/2018	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.77	0.00	4.77	0.0	0.00
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
05/14/2018	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.46	0.00	14.46	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
05/14/2018	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.37	0.00	17.37	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
05/14/2018	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.20	0.00	4.20	0.0	0.00
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						
05/14/2018	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.29	0.00	6.29	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
05/14/2018	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	13.74	0.00	13.74	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
05/14/2018	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.39	0.00	9.39	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
05/14/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	13.72	0.00	13.72	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
05/14/2018	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.10	0.00	18.10	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/14/2018	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.11	0.00	9.11	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
05/14/2018	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.55	0.00	7.55	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
05/14/2018	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.13	0.00	0.13	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
05/14/2018	***-**-35IN - RUGGIERO, LAURIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - JOSEPH, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
05/14/2018	***-**-188I - BRADLEY, WAYNE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.12	0.00	1.12	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
05/14/2018	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.11	0.00	2.11	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.41	0.00	5.41	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.55	0.00	2.55	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
05/14/2018	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.04	0.00	2.04	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
05/14/2018	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.11	0.00	1.11	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
05/14/2018	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	3.83	0.00	3.83	0.0	0.00
05/14/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	0.0	0.00
05/14/2018	***-**-448X - ANACKER, SUSAN ACCOUNT #: LSR011578	MFS CONSERVATIVE ALLOCATION FUND-B BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	0.0	0.00
05/14/2018	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	4.44	0.00	4.44	0.0	0.00
05/14/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	8.92	0.00	8.92	0.0	0.00
05/14/2018	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	16.20	0.00	16.20	0.0	0.00
05/14/2018	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011008	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	12.60	0.00	12.60	0.0	0.00
05/14/2018	***-**-132O - EVANS, THOMAS ACCOUNT #: LSR011074	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	7.98	0.00	7.98	0.0	0.00
05/14/2018	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	20.66	0.00	20.66	0.0	0.00
05/14/2018	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	18.15	0.00	18.15	0.0	0.00
05/14/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	13.81	0.00	13.81	0.0	0.00
05/14/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.71	0.00	5.71	0.0	0.00
05/14/2018	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.72	0.00	5.72	0.0	0.00
05/14/2018	***-**-439J - MCCARTHY, JAMES ACCOUNT #: LSR011205	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	3.03	0.00	3.03	0.0	0.00
05/14/2018	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	6.01	0.00	6.01	0.0	0.00
05/14/2018	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS DIVERSIFIED INCOME FD CL C BUY 1 Units @0.0000	0.00	5.80	0.00	5.80	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	2.17	0.00	2.17	0.0	0.00
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
05/14/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	8.90	0.00	8.90	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
05/14/2018	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	13.48	0.00	13.48	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/14/2018	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	13.27	0.00	13.27	0.0	0.00
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
05/14/2018	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	4.88	0.00	4.88	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
05/14/2018	***-**-35IN - RUGGIERO, LAURIE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.03	0.00	0.03	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
05/14/2018	***-**-52IN - ROBERTS, LILLIAN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
05/14/2018	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
05/14/2018	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.41	0.00	1.41	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
05/14/2018	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
05/14/2018	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.39	0.00	1.39	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
05/14/2018	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
05/14/2018	***-**-45IR - WILLIAMSON,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.06	0.00	1.06	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	MFS EMERGING MKT DEBT CL B	0.00	1.05	0.00	1.05	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						



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KURT GRUENEWALD
2108 Genesee St.
Utica, NY 13502

BROKER #: A77
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-881J - EBERLEY, SUSAN	MFS EMERGING MKT DEBT CL B	0.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
05/14/2018	***-**-949I - ACEE, JOSPEH	MFS EMERGING MKT DEBT CL B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - HARTZ, CLAUDIA	MFS EMERGING MKT DEBT CL B	0.00	1.19	0.00	1.19	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS EMERGING MKT DEBT CL B	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS EMERGING MKT DEBT CL B	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-580X - COBB, JAMES	MFS EMERGING MKT DEBT CL B	0.00	1.14	0.00	1.14	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
05/14/2018	***-**-506J - CURRIERE JR, MR	MFS EMERGING MKT DEBT CL B	0.00	0.36	0.00	0.36	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
05/14/2018	***-**-367J - WAGNER, MRS LANT	MFS EMERGING MKT DEBT CL B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS EMERGING MKT DEBT CL B	0.00	1.27	0.00	1.27	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-64IO - PFAFF, GEORGE	MFS EMERGING MKT DEBT CL B	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
05/14/2018	***-**-62IR - ROBBIANO, FBO	MFS EMERGING MKT DEBT CL B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
05/14/2018	***-**-29IO - MASSOUD,	MFS EMERGING MKT DEBT CL B	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011217	BUY 1 Units @0.0000						
05/14/2018	***-**-44IO - MAYO, DEBORAH	MFS EMERGING MKTS DEBT CLASS C	0.00	10.15	0.00	10.15	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS EQUITY OPPORTUNITIES CL B	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS GLOBAL ALTERNATIVE STRAT A	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-382I - SATTERLY, NEIL	MFS GLOBAL ALTERNATIVE STRAT C	0.00	6.28	0.00	6.28	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
05/14/2018	***-**-48IO - CLARK, PATRICK	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.14	0.00	14.14	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
05/14/2018	***-**-72IN - GAVALO, JULIE	MFS GLOBAL ALTERNATIVE STRAT C	0.00	13.62	0.00	13.62	0.0	0.00
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
05/14/2018	***-**-80IN - COBB, JAMES	MFS GLOBAL ALTERNATIVE STRAT C	0.00	7.28	0.00	7.28	0.0	0.00
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
05/14/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL ALTERNATIVE STRAT C	0.00	12.39	0.00	12.39	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
05/14/2018	***-**-11IO - LYNN G.	MFS GLOBAL ALTERNATIVE STRAT C	0.00	16.86	0.00	16.86	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
05/14/2018	***-**-80IO - EVANS, RICHARD	MFS GLOBAL ALTERNATIVE STRAT C	0.00	10.37	0.00	10.37	0.0	0.00
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
05/14/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL ALTERNATIVE STRAT C	0.00	12.66	0.00	12.66	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/14/2018	***-**-094I - KATRENA L	MFS GLOBAL BOND FUND CLASS C	0.00	3.43	0.00	3.43	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
05/14/2018	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL EQUITY FUND-B	0.00	0.68	0.00	0.68	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
05/14/2018	***-**-53IN - EVANS, ANDREW	MFS GLOBAL EQUITY FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
05/14/2018	***-**-24IN - BLISS, RYAN	MFS GLOBAL EQUITY FUND-B	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
05/14/2018	***-**-45IR - WILLIAMSON,	MFS GLOBAL EQUITY FUND-B	0.00	1.15	0.00	1.15	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
05/14/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.55	0.00	1.55	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
05/14/2018	***-**-53IN - EVANS, ANDREW	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
05/14/2018	***-**-24IN - BLISS, RYAN	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-38IO - BROWN, TYLER	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.76	0.00	0.76	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
05/14/2018	***-**-45IN - WILLIAMSON,	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						
05/14/2018	***-**-628I - SANGER, SANDRA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.92	0.00	0.92	0.0	0.00
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.86	0.00	0.86	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.17	0.00	1.17	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
05/14/2018	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.84	0.00	1.84	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
05/14/2018	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.67	0.00	0.67	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
05/14/2018	***-**-653J - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.93	0.00	0.93	0.0	0.00
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
05/14/2018	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.18	0.00	0.18	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
05/14/2018	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
05/14/2018	***-**-949I - ACEE, JOSPEH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.47	0.00	1.47	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - HARTZ, CLAUDIA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.78	0.00	0.78	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
05/14/2018	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.79	0.00	2.79	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.05	0.00	2.05	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.22	0.00	0.22	0.0	0.00
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
05/14/2018	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	5.64	0.00	5.64	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
05/14/2018	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.97	0.00	2.97	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
05/14/2018	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.52	0.00	1.52	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
05/14/2018	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.89	0.00	2.89	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.72	0.00	0.72	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
05/14/2018	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.63	0.00	0.63	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
05/14/2018	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.91	0.00	0.91	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
05/14/2018	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.63	0.00	1.63	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.66	0.00	2.66	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.22	0.00	1.22	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
05/14/2018	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.90	0.00	0.90	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
05/14/2018	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.80	0.00	2.80	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
05/14/2018	***-**-653I - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.23	0.00	2.23	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
05/14/2018	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
05/14/2018	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-241I - DINIITO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.24	0.00	1.24	0.0	0.00
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
05/14/2018	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
05/14/2018	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	18.17	0.00	18.17	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
05/14/2018	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.00	0.00	14.00	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
05/14/2018	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	12.67	0.00	12.67	0.0	0.00
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
05/14/2018	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.52	0.00	14.52	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
05/14/2018	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.42	0.00	0.42	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
05/14/2018	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	16.93	0.00	16.93	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-A	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
05/14/2018	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.26	0.00	0.26	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.62	0.00	0.62	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
05/14/2018	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	7.80	0.00	7.80	0.0	0.00
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
05/14/2018	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	8.98	0.00	8.98	0.0	0.00
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.16	0.00	0.16	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
05/14/2018	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
05/14/2018	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
05/14/2018	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.82	0.00	0.82	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.28	0.00	0.28	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-366I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	0.0	0.00
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
05/14/2018	***-**-761I - EVANS, DOLORES	MFS INFLATION ADJUSTED BOND CL B	0.00	1.18	0.00	1.18	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
05/14/2018	***-**-63TR - EVANS, AUDREY J	MFS INFLATION ADJUSTED BOND CL B	0.00	0.31	0.00	0.31	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
05/14/2018	***-**-580X - COBB, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.59	0.00	0.59	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
05/14/2018	***-**-111J - NESSIA, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
05/14/2018	***-**-326J - GRANDE, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
05/14/2018	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.34	0.00	0.34	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
05/14/2018	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.17	0.00	0.17	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.60	0.00	0.60	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
05/14/2018	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.24	0.00	0.24	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
05/14/2018	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.50	0.00	9.50	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
05/14/2018	***-**-031O - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.68	0.00	2.68	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
05/14/2018	***-**-44IO - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	20.69	0.00	20.69	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/14/2018	***-**-531J - WILLIAMSON,	MFS INFLATION ADJUSTED BOND CL C	0.00	4.06	0.00	4.06	0.0	0.00
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
05/14/2018	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.65	0.00	0.65	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
05/14/2018	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.79	0.00	5.79	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.41	0.00	1.41	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
05/14/2018	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
05/14/2018	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	4.81	0.00	4.81	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	0.0	0.00
05/14/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	2.16	0.00	2.16	0.0	0.00
05/14/2018	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	2.24	0.00	2.24	0.0	0.00
05/14/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS INTERNATIONAL NEW DISCOVERY FUN BUY 1 Units @0.0000	0.00	0.82	0.00	0.82	0.0	0.00
05/14/2018	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	MFS INTERNATIONAL VALUE FUND-B BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	0.0	0.00
05/14/2018	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	MFS LIFETIME INCOME CLASS A BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	0.0	0.00
05/14/2018	***-**-959J - FRANZ, PAUL ACCOUNT #: LSR010958	MFS MODERATE ALLOCATION CL C BUY 1 Units @0.0000	0.00	13.89	0.00	13.89	0.0	0.00
05/14/2018	***-**-86IN - LEE, KEVIN ACCOUNT #: LSR011613	MFS MODERATE ALLOCATION CL C BUY 1 Units @0.0000	0.00	23.88	0.00	23.88	0.0	0.00
05/14/2018	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	2.33	0.00	2.33	0.0	0.00
05/14/2018	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	0.0	0.00
05/14/2018	***-**-978I - GUNN, KATHLEEN ACCOUNT #: LSR011345	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	0.0	0.00
05/14/2018	***-**-45IO - WILLIAMSON, ACCOUNT #: LSR011756	MFS NEW DISCOVERY FUND-B BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	0.0	0.00
05/14/2018	***-**-197I - GAMBINO, ANDREW ACCOUNT #: LSR011465	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.26	0.00	0.26	0.0	0.00
05/14/2018	***-**-53IN - EVANS, ANDREW ACCOUNT #: LSR011483	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	0.0	0.00
05/14/2018	***-**-24IN - BLISS, RYAN ACCOUNT #: LSR011502	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	0.0	0.00
05/14/2018	***-**-38IO - BROWN, TYLER ACCOUNT #: LSR011602	MFS NEW DISCOVERY VALUE FUND CL B BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	0.0	0.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-36IR - SANGER, BETH	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: LSR011757	BUY 1 Units @0.0000						
05/14/2018	***-**-382I - SATTERLY, NEIL	MFS NEW DISCOVERY VALUE FUND CL C	0.00	10.69	0.00	10.69	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
05/14/2018	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	13.14	0.00	13.14	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/14/2018	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.58	0.00	1.58	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
05/14/2018	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.49	0.00	0.49	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
05/14/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND CLASS A	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
05/14/2018	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND CLASS A	0.00	0.38	0.00	0.38	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
05/14/2018	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND CLASS A	0.00	0.21	0.00	0.21	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
05/14/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND CLASS A	0.00	0.15	0.00	0.15	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
05/14/2018	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.81	0.00	0.81	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
05/14/2018	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
05/14/2018	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.29	0.00	1.29	0.0	0.00
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
05/14/2018	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND-B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
05/14/2018	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
05/14/2018	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.64	0.00	0.64	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND-B	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
05/14/2018	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.09	0.00	1.09	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
05/14/2018	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	10.27	0.00	10.27	0.0	0.00
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
05/14/2018	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	7.43	0.00	7.43	0.0	0.00
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
05/14/2018	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	4.83	0.00	4.83	0.0	0.00
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
05/14/2018	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	9.13	0.00	9.13	0.0	0.00
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
05/14/2018	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	5.92	0.00	5.92	0.0	0.00
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
05/14/2018	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	11.80	0.00	11.80	0.0	0.00
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
05/14/2018	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	13.24	0.00	13.24	0.0	0.00
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
05/14/2018	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	11.64	0.00	11.64	0.0	0.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
05/14/2018	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	6.30	0.00	6.30	0.0	0.00
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
05/14/2018	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	6.55	0.00	6.55	0.0	0.00
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
05/14/2018	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	8.17	0.00	8.17	0.0	0.00
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
05/14/2018	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	6.75	0.00	6.75	0.0	0.00
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
05/14/2018	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	7.58	0.00	7.58	0.0	0.00
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.57	0.00	2.57	0.0	0.00
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
05/14/2018	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	10.24	0.00	10.24	0.0	0.00
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
05/14/2018	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.10	0.00	0.10	0.0	0.00
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
05/14/2018	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.19	0.00	0.19	0.0	0.00
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.25	0.00	1.25	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.23	0.00	0.23	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
05/14/2018	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND CLASS A	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	0.89	0.00	0.89	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
05/14/2018	***-**-15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.31	0.00	2.31	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
05/14/2018	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.92	0.00	1.92	0.0	0.00
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
05/14/2018	***-**-169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.31	0.00	1.31	0.0	0.00
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
05/14/2018	***-**-580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
05/14/2018	***-**-579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	0.99	0.00	0.99	0.0	0.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
05/14/2018	***-**-579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.35	0.00	1.35	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
05/14/2018	***-**-326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.69	0.00	1.69	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
05/14/2018	***-**-992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.43	0.00	0.43	0.0	0.00
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	0.88	0.00	0.88	0.0	0.00
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.54	0.00	1.54	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.86	0.00	1.86	0.0	0.00
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
05/14/2018	***-**-64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.01	0.00	1.01	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
05/14/2018	***-**-52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.34	0.00	1.34	0.0	0.00
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
05/14/2018	***-**-37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.50	0.00	1.50	0.0	0.00
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
05/14/2018	***-**-75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.43	0.00	1.43	0.0	0.00
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
05/14/2018	***-**-653I - KOHEM, CLINTON	MFS TECHNOLOGY FUND-B	0.00	2.32	0.00	2.32	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
05/14/2018	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
05/14/2018	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	0.87	0.00	0.87	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
05/14/2018	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
05/14/2018	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
05/14/2018	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	1.00	0.00	1.00	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
05/14/2018	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	1.85	0.00	1.85	0.0	0.00
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
05/14/2018	***-**-45IR - WILLIAMSON,	MFS TECHNOLOGY FUND-B	0.00	2.04	0.00	2.04	0.0	0.00
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
05/14/2018	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.51	0.00	1.51	0.0	0.00
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						



COMMISSION STATEMENT

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BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.79	0.00	1.79	0.0	0.00
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
05/14/2018	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.82	0.00	1.82	0.0	0.00
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
05/14/2018	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	2.12	0.00	2.12	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.85	0.00	0.85	0.0	0.00
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.84	0.00	0.84	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-96IN - NESSIA, BONNIE	MFS UTILITIES FUND-A	0.00	0.53	0.00	0.53	0.0	0.00
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
05/14/2018	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.55	0.00	0.55	0.0	0.00
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.30	0.00	1.30	0.0	0.00
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
05/14/2018	***-**-15IN - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.80	0.00	1.80	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
05/14/2018	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.70	0.00	0.70	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
05/14/2018	***-**-61IN - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.27	0.00	0.27	0.0	0.00
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
05/14/2018	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
05/14/2018	***-**-306X - COBB, CHERRYL	MFS UTILITIES FUND-B	0.00	1.91	0.00	1.91	0.0	0.00
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
05/14/2018	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	1.98	0.00	1.98	0.0	0.00
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
05/14/2018	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.66	0.00	0.66	0.0	0.00
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	0.94	0.00	0.94	0.0	0.00
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.83	0.00	0.83	0.0	0.00
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
05/14/2018	***-**-76IO - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.77	0.00	0.77	0.0	0.00
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
05/14/2018	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.59	0.00	1.59	0.0	0.00
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
05/14/2018	***-**-31IO - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
05/14/2018	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.62	0.00	1.62	0.0	0.00
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
05/14/2018	***-**-653I - KOCHER, CLINTON	MFS UTILITIES FUND-B	0.00	1.37	0.00	1.37	0.0	0.00
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
05/14/2018	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.28	0.00	1.28	0.0	0.00
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
05/14/2018	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.39	0.00	0.39	0.0	0.00
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
05/14/2018	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.57	0.00	1.57	0.0	0.00
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
05/14/2018	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.45	0.00	0.45	0.0	0.00
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
05/14/2018	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	0.0	0.00
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
05/14/2018	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.50	0.00	0.50	0.0	0.00
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
05/14/2018	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.11	0.00	0.11	0.0	0.00
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
05/14/2018	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.46	0.00	1.46	0.0	0.00
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
05/14/2018	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.56	0.00	0.56	0.0	0.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-45IO - WILLIAMSON, ACCOUNT #: LSR011756	MFS UTILITIES FUND-B BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	0.0	0.00
05/14/2018	***-**-235I - AUGUSTINE III, ACCOUNT #: LSR010845	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	8.67	0.00	8.67	0.0	0.00
05/14/2018	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.34	0.00	10.34	0.0	0.00
05/14/2018	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	8.74	0.00	8.74	0.0	0.00
05/14/2018	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	4.41	0.00	4.41	0.0	0.00
05/14/2018	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.28	0.00	10.28	0.0	0.00
05/14/2018	***-**-00IO - LEE, GARY ACCOUNT #: LSR011059	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.26	0.00	10.26	0.0	0.00
05/14/2018	***-**-09IO - BATES, FBO MRS ACCOUNT #: LSR011117	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	10.78	0.00	10.78	0.0	0.00
05/14/2018	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	0.0	0.00
05/14/2018	***-**-81IR - CAMPBELL, ACCOUNT #: LSR011223	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	6.70	0.00	6.70	0.0	0.00
05/14/2018	***-**-11IO - LYNN G. ACCOUNT #: LSR011239	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	0.0	0.00
05/14/2018	***-**-37IR - HARTZ, RENEE ACCOUNT #: LSR011259	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	0.05	0.00	0.05	0.0	0.00
05/14/2018	***-**-80IO - EVANS, RICHARD ACCOUNT #: LSR011276	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	7.15	0.00	7.15	0.0	0.00
05/14/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.31	0.00	9.31	0.0	0.00
05/14/2018	***-**-45IO - JOHNSON, GREGORY ACCOUNT #: LSR011421	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	8.30	0.00	8.30	0.0	0.00
05/14/2018	***-**-14IO - BUSHEY, MATTHEW ACCOUNT #: LSR011498	MFS UTILITIES FUND-C BUY 1 Units @0.0000	0.00	9.12	0.00	9.12	0.0	0.00



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-22IO - CLINTON, STEPHEN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
05/14/2018	***-**-714O - LINES, JUDITH	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
05/14/2018	***-**-35IN - RUGGIERO, LAURIE	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	0.0	0.00
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
05/14/2018	***-**-452I - ROBERTS, LILLIAN	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012350	BUY 1 Units @0.0000						
05/14/2018	***-**-851J - BLAKE, JAMES	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012393	BUY 1 Units @0.0000						
05/14/2018	***-**-188I - BRADLEY, WAYNE	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
05/14/2018	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	0.98	0.00	0.98	0.0	0.00
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
05/14/2018	***-**-07IO - FAMOLARO, JAMES	PRUDENTIAL JENNISON 20/20 FOCUS CLA	0.00	3.11	0.00	3.11	0.0	0.00
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.47	0.00	0.47	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
05/14/2018	***-**-15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	2.43	0.00	2.43	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
05/14/2018	***-**-366S - GRUENEWALD,	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.32	0.00	0.32	0.0	0.00
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
05/14/2018	***-**-944O - MAYO, DEBORAH	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.41	0.00	0.41	0.0	0.00
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
05/14/2018	***-**-861I - SANGER, LAWRENCE	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.54	0.00	0.54	0.0	0.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
05/14/2018	***-**-128I - BASHANT, THOMAS	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.33	0.00	0.33	0.0	0.00
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
05/14/2018	***-**-30TR - AND SANDRA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.52	0.00	0.52	0.0	0.00
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
05/14/2018	***-**-254I - AUGUSTINE, LINDA	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.01	0.00	0.01	0.0	0.00
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***--15IN - PENDRAK, JOHN	PRUDENTIAL JENNISON NATURAL RESOURC	0.00	0.06	0.00	0.06	0.0	0.00
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
05/14/2018	***--71IO - FAMOLARO, JAMES	VAN KAMPEN9	0.00	0.25	0.00	0.25	0.0	0.00
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,178.27	\$0.00	\$1,178.27		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$114,736.01	\$2,417.45	\$236.00	\$2,181.45		\$-6.00

BROKER COMMISSION TOTALS

Broker Transactions	\$-6.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-262.19
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-268.19
Year-to-date Earnings	\$0.00