

**COMMISSION STATEMENT****July 15, 2017****L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU**11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845**BROKER #: L131**

BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
^07/06/2017	HAMM, GAIL	PERSHING	244,596.38	924.78	0.00	924.78	90.0	0.00
^07/06/2017	HAMM, GAIL	PERSHING	98,499.15	372.41	0.00	372.41	90.0	0.00
^07/06/2017	HAMM, GAIL	PERSHING	116,840.36	441.75	0.00	441.75	90.0	0.00
^07/06/2017	EISERLE, DAVID	PERSHING	18,497.18	69.93	0.00	69.93	90.0	0.00
^07/06/2017	NOVELL, DEANA	PERSHING	102,387.16	387.11	0.00	387.11	90.0	0.00
^07/06/2017	GROTE, DONALD	PERSHING	108,659.97	410.82	0.00	410.82	90.0	0.00
^07/06/2017	GROTE, DONALD	PERSHING	29,310.21	110.82	0.00	110.82	90.0	0.00
^07/06/2017	CHIDDISTER, LISA	PERSHING	152.37	0.58	0.00	0.58	90.0	0.00
^07/06/2017	KAISER, ROBIN	PERSHING	46,003.60	173.93	0.00	173.93	90.0	0.00
^07/06/2017	KAISER, ROBERT	PERSHING	15,329.95	57.96	0.00	57.96	90.0	0.00
^07/06/2017	GAY, RICHARD	PERSHING	22,726.59	85.93	0.00	85.93	90.0	0.00
^07/06/2017	SORG, RICHARD	PERSHING	77,956.38	196.49	0.00	196.49	90.0	0.00
^07/06/2017	BURTNETTE, PATRICIA	PERSHING	149,674.01	565.89	0.00	565.89	90.0	0.00
^07/06/2017	BAILEY, LAURIE	PERSHING	44,979.00	170.06	0.00	170.06	90.0	0.00
* RIA SUBTOTAL *			\$1,075,612.31	\$3,968.46	\$0.00	\$3,968.46		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,075,612.31	\$3,968.46	\$0.00	\$3,968.46		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/06/2017	HAMM, GAIL	PERSHING	244,596.38	277.43	0.00	277.43	90.0	249.69
07/06/2017	HAMM, GAIL	PERSHING	98,499.15	111.72	0.00	111.72	90.0	100.55
07/06/2017	HAMM, GAIL	PERSHING	116,840.36	132.53	0.00	132.53	90.0	119.28
07/06/2017	EISERLE, DAVID	PERSHING	18,497.18	20.98	0.00	20.98	90.0	18.88
07/06/2017	NOVELL, DEANA	PERSHING	102,387.16	116.13	0.00	116.13	90.0	104.52
07/06/2017	GROTE, DONALD	PERSHING	108,659.97	123.25	0.00	123.25	90.0	110.93
07/06/2017	GROTE, DONALD	PERSHING	29,310.21	33.25	0.00	33.25	90.0	29.93
07/06/2017	CHIDDISTER, LISA	PERSHING	152.37	0.17	0.00	0.17	90.0	0.15
07/06/2017	KAISER, ROBIN	PERSHING	46,003.60	52.18	0.00	52.18	90.0	46.96
07/06/2017	KAISER, ROBERT	PERSHING	15,329.95	17.39	0.00	17.39	90.0	15.65
07/06/2017	GAY, RICHARD	PERSHING	22,726.59	25.78	0.00	25.78	90.0	23.20
07/06/2017	SORG, RICHARD	PERSHING	77,956.38	58.95	0.00	58.95	90.0	53.06
07/06/2017	BURTNETTE, PATRICIA	PERSHING	149,674.01	169.77	0.00	169.77	90.0	152.79
07/06/2017	BAILEY, LAURIE	PERSHING	44,979.00	51.02	0.00	51.02	90.0	45.92
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,075,612.31	\$1,190.55	\$0.00	\$1,190.55		\$1,071.51
*** SPLIT TRANSACTIONS TOTAL ***			\$1,075,612.31	\$1,190.55	\$0.00	\$1,190.55		\$1,071.51

ADJUSTMENTS

07/15/2017	*PERSHING: PROSPECTUS	0.00						0.00
07/15/2017	*PERSHING: PROSPECTUS	0.00						-0.47
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-0.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$1,071.51
Override Transactions	\$0.00
Adjustments	\$-0.47
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$10.22
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,081.26
Year-to-date Gross	\$8,312.95
Year-to-date Earnings	\$7,481.67