



Concorde Investment Services, LLC

04/18/2017  
Page No. 1

# COMMISSION STATEMENT March 31, 2017

101 CFGROUP/KOSANKE  
1120 E. Long Lake Road  
Suite 250  
Troy, MI 48085

BROKER #: 101  
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
03/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
03/17/2017	GARDNER, THERESA	AM FUNDS 2015 TARGET DATE A	37.50	1.88	0.00	1.88	95.0	1.79
03/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
03/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
03/17/2017	GARDNER, THERESA	AM FUNDS 2035 TARGET DATE-A	37.50	1.88	0.00	1.88	95.0	1.79
03/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
03/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
03/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN BALANCED A	22.50	1.13	0.00	1.13	95.0	1.07
03/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
03/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
03/17/2017	GARDNER, THERESA	AM FUNDS AMERICAN MUTUAL A	22.50	1.13	0.00	1.13	95.0	1.07
03/06/2017	KAWALA, CHRISTINA	AM FUNDS AMERICAN MUTUAL C	35,913.59	359.14	0.00	359.14	95.0	341.18
03/07/2017	SUTTON, LYNETTE	AM FUNDS AMERICAN MUTUAL C	19,802.61	198.03	0.00	198.03	95.0	188.13
03/06/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	4.25	0.00	4.25	95.0	4.04
03/23/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
^03/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	0.00
03/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
03/20/2017	MICHON, ERIK	AM FUNDS EUROPACIFIC GROWTH FUND 52	67.00	3.35	0.00	3.35	95.0	3.18
03/06/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	95.0	4.04
03/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
03/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
03/17/2017	STRUNCK, TED	AM FUNDS GROWTH FUND OF AMERICA A	33.00	0.66	0.00	0.66	95.0	0.63
03/13/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
03/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
03/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/17/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	25.00	0.25	0.00	0.25	95.0	0.24
03/20/2017	GOUGEON, MEGAN	AM FUNDS GROWTH FUND OF AMERICA C	1,926.00	19.26	0.00	19.26	95.0	18.30
03/24/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
03/15/2017	WONCH, ELIZABETH	AM FUNDS ICA A	200.00	10.00	0.00	10.00	95.0	9.50
03/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
03/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
03/17/2017	GARDNER, THERESA	AM FUNDS MORTGAGE A	15.00	0.45	0.00	0.45	95.0	0.43
03/20/2017	MICHON, ERIK	AM FUNDS NEW ECONOMY 529A	67.00	3.35	0.00	3.35	95.0	3.18
03/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
03/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
03/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
03/17/2017	STRUNCK, TED	AM FUNDS NEW PERSPECTIVE A	33.00	0.66	0.00	0.66	95.0	0.63
03/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
03/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
03/17/2017	GARDNER, THERESA	AM FUNDS SMALLCAP WORLD A	15.00	0.75	0.00	0.75	95.0	0.71
03/10/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
03/13/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
03/15/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
03/15/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
03/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
03/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
03/17/2017	STRUNCK, TED	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.00	0.68	0.00	0.68	95.0	0.65
03/24/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
02/28/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	4.06	0.00	4.06	95.0	3.86
02/28/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	1.98	0.00	1.98	95.0	1.88
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	6.82	0.00	6.82	95.0	6.48
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.27	0.00	2.27	95.0	2.16
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	1.85	0.00	1.85	95.0	1.76
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	5.68	0.00	5.68	95.0	5.40
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	1.89	0.00	1.89	95.0	1.80
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	1.88	0.00	1.88	95.0	1.79
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	5.64	0.00	5.64	95.0	5.36
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	5.74	0.00	5.74	95.0	5.45

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/28/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	5.42	0.00	5.42	95.0	5.15
02/28/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.53	0.00	1.53	95.0	1.45
02/28/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.67	0.00	0.67	95.0	0.64
02/28/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	3.85	0.00	3.85	95.0	3.66
02/28/2017	MODRYCKI, DONALD	BLK AGG 10-12 AGE BASED A	0.00	0.44	0.00	0.44	95.0	0.42
02/28/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.52	0.00	0.52	95.0	0.49
02/28/2017	YASCH, SANDY	BLK AGG 6-9 AGE BASED A	0.00	0.53	0.00	0.53	95.0	0.50
02/28/2017	WILLIT	BLK AGG GROWTH A	0.00	3.89	0.00	3.89	95.0	3.70
02/28/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.08	0.00	0.08	95.0	0.08
02/28/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.03	0.00	0.03	95.0	0.03
02/28/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.14	0.00	0.14	95.0	0.13
02/28/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.43	0.00	0.43	95.0	0.41
02/28/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.05	0.00	0.05	95.0	0.05
02/28/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	2.84	0.00	2.84	95.0	2.70
02/28/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.95	0.00	0.95	95.0	0.90
02/28/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.32	0.00	0.32	95.0	0.30
02/28/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.96	0.00	0.96	95.0	0.91
02/28/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.10	0.00	0.10	95.0	0.10
02/28/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.31	0.00	0.31	95.0	0.29
02/28/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.15	0.00	0.15	95.0	0.14
02/28/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	1.92	0.00	1.92	95.0	1.82
02/28/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.64	0.00	0.64	95.0	0.61
02/28/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.71	0.00	0.71	95.0	0.67
02/28/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.12	0.00	2.12	95.0	2.01
02/28/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	2.82	0.00	2.82	95.0	2.68
02/28/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	0.94	0.00	0.94	95.0	0.89
02/28/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.60	0.00	1.60	95.0	1.52
02/28/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.53	0.00	0.53	95.0	0.50
02/28/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED A	0.00	2.86	0.00	2.86	95.0	2.72
02/28/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED A	0.00	0.86	0.00	0.86	95.0	0.82
02/28/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	2.81	0.00	2.81	95.0	2.67
02/28/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	2.81	0.00	2.81	95.0	2.67
02/28/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	2.15	0.00	2.15	95.0	2.04

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/28/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	1.72	0.00	1.72	95.0	1.63
02/28/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.26	0.00	0.26	95.0	0.25
02/28/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.09	0.00	0.09	95.0	0.09
02/28/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	0.96	0.00	0.96	95.0	0.91
02/28/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	0.57	0.00	0.57	95.0	0.54
02/28/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	0.72	0.00	0.72	95.0	0.68
02/28/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.13	0.00	2.13	95.0	2.02
02/28/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	6.39	0.00	6.39	95.0	6.07
02/28/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	6.39	0.00	6.39	95.0	6.07
02/28/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.13	0.00	2.13	95.0	2.02
02/28/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	2.89	0.00	2.89	95.0	2.75
03/02/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
03/02/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
02/28/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	1.79	0.00	1.79	95.0	1.70
02/28/2017	MODRYCKI, DONALD	BLK MOD 13-16 AGE BASED A	0.00	0.98	0.00	0.98	95.0	0.93
02/28/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	3.74	0.00	3.74	95.0	3.55
02/28/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	4.37	0.00	4.37	95.0	4.15
02/28/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.09	0.00	2.09	95.0	1.99
02/28/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED A	0.00	1.25	0.00	1.25	95.0	1.19
02/28/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.49	0.00	0.49	95.0	0.47
02/28/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.60	0.00	2.60	95.0	2.47
02/28/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.58	0.00	1.58	95.0	1.50
02/28/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.53	0.00	0.53	95.0	0.50
02/28/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	0.58	0.00	0.58	95.0	0.55
02/28/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	1.75	0.00	1.75	95.0	1.66
02/28/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	2.48	0.00	2.48	95.0	2.36
02/28/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.83	0.00	0.83	95.0	0.79
02/28/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.43	0.00	0.43	95.0	0.41
02/28/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.29	0.00	1.29	95.0	1.23
02/28/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.78	0.00	0.78	95.0	0.74
02/28/2017	MODRYCKI, DONALD	BLK MOD 17+ AGE BASED A	0.00	0.50	0.00	0.50	95.0	0.48
02/28/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.12	0.00	2.12	95.0	2.01
02/28/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.65	0.00	0.65	95.0	0.62

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/28/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.46	0.00	3.46	95.0	3.29
02/28/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	1.96	0.00	1.96	95.0	1.86
02/28/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	3.42	0.00	3.42	95.0	3.25
02/28/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED A	0.00	0.33	0.00	0.33	95.0	0.31
02/28/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.13	0.00	2.13	95.0	2.02
02/28/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.71	0.00	0.71	95.0	0.67
02/28/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	5.80	0.00	5.80	95.0	5.51
02/28/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	1.93	0.00	1.93	95.0	1.83
02/28/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.02	0.00	0.02	95.0	0.02
02/28/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.07	0.00	0.07	95.0	0.07
02/28/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.13	0.00	0.13	95.0	0.12
02/28/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.04	0.00	0.04	95.0	0.04
02/28/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.15	0.00	2.15	95.0	2.04
02/28/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.72	0.00	0.72	95.0	0.68
02/28/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	0.98	0.00	0.98	95.0	0.93
02/28/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	2.95	0.00	2.95	95.0	2.80
02/28/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	2.83	0.00	2.83	95.0	2.69
02/28/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.94	0.00	0.94	95.0	0.89
03/06/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
03/01/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	100.00	4.00	0.00	4.00	95.0	3.80
03/15/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
03/15/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
03/15/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
03/06/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	1,500.00	15.00	0.00	15.00	95.0	14.25
03/10/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
03/06/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
03/14/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.07	0.00	1.07	95.0	1.02
03/14/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	6.71	0.00	6.71	95.0	6.37
03/13/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	3,000.00	127.50	0.00	127.50	95.0	121.13
03/14/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	4.45	0.00	4.45	95.0	4.23
03/14/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	4.90	0.00	4.90	95.0	4.66
03/14/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.35	0.00	4.35	95.0	4.13
03/14/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.68	0.00	1.68	95.0	1.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/14/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.73	0.00	1.73	95.0	1.64
03/14/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.08	0.00	4.08	95.0	3.88
03/14/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.08	0.00	6.08	95.0	5.78
03/14/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.36	0.00	0.36	95.0	0.34
03/14/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.41	0.00	0.41	95.0	0.39
03/14/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.58	0.00	0.58	95.0	0.55
03/14/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.58	0.00	0.58	95.0	0.55
03/14/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.34	0.00	1.34	95.0	1.27
03/14/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.34	0.00	1.34	95.0	1.27
03/14/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	95.0	2.26
03/14/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	27.02	1.28	0.00	1.28	95.0	1.22
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.00	0.00	2.00	95.0	1.90
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	43.74	1.75	0.00	1.75	95.0	1.66
03/14/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
03/14/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
03/14/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	50.00	2.38	0.00	2.38	95.0	2.26
03/14/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	27.02	1.28	0.00	1.28	95.0	1.22
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	50.00	2.00	0.00	2.00	95.0	1.90
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	43.74	1.75	0.00	1.75	95.0	1.66
03/14/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
03/14/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
03/14/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
03/14/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
03/14/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	50.00	2.38	0.00	2.38	95.0	2.26
03/14/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	27.00	1.28	0.00	1.28	95.0	1.22
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	50.00	2.00	0.00	2.00	95.0	1.90
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	43.75	1.75	0.00	1.75	95.0	1.66
03/14/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
03/14/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
03/15/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	50.00	2.00	0.00	2.00	95.0	1.90
03/14/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	43.74	1.75	0.00	1.75	95.0	1.66
03/14/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/14/2017	RENTROP, GARRETT	OPPENHEIMIER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
03/06/2017	KOSANKE, JOHN	PUTNAM GROWTH OPPORTUNITIES B	100.00	4.00	0.00	4.00	95.0	3.80
03/15/2017	OBIE, MARCIA	THORNBURG INCOME BUILDER CL A	0.00	7.96	0.00	7.96	95.0	7.56
03/15/2017	OBIE, BRADFORD	THORNBURG INCOME BUILDER CL A	0.00	7.54	0.00	7.54	95.0	7.16
03/15/2017	OBIE, MARCIA	THORNBURG VALUE CL A	0.00	10.32	0.00	10.32	95.0	9.80
03/15/2017	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	13.21	0.00	13.21	95.0	12.55
03/15/2017	OBIE, BRADFORD	THORNBURG VALUE CL A	0.00	5.58	0.00	5.58	95.0	5.30
03/08/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	115.96	1.16	0.00	1.16	95.0	1.10
03/15/2017	KUCHAREK, MARK	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
03/15/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
03/15/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
03/15/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
03/16/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
^03/08/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	3,000.00	30.00	0.00	30.00	95.0	0.00
03/08/2017	GRAMMATICO, SHARON	TRANSAMERICA SHORT-TERM BOND C	3,000.00	30.00	0.00	30.00	95.0	28.50
03/03/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	6.67	0.00	6.67	95.0	6.34
03/03/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	52.92	0.00	52.92	95.0	50.27
03/03/2017	WERNER, DOROTHY	WELLS FARGO INCOME PLUS A	0.00	9.26	0.00	9.26	95.0	8.80
03/03/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.08	0.00	3.08	95.0	2.93
03/03/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.42	0.00	2.42	95.0	2.30
03/03/2017	TOTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	2.99	0.00	2.99	95.0	2.84
03/03/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.80	0.00	0.80	95.0	0.76
03/03/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.80	0.00	0.80	95.0	0.76
03/03/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	15.45	0.00	15.45	95.0	14.68
03/03/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	1.83	0.00	1.83	95.0	1.74
03/03/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	1.83	0.00	1.83	95.0	1.74
03/03/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	24.33	0.00	24.33	95.0	23.11
03/03/2017	BUSSELL REV LIV TRST, GEROGE	&WELLS FARGO MONEY MKT A	0.00	27.10	0.00	27.10	95.0	25.75
03/03/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.54	0.00	0.54	95.0	0.51
03/03/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.80	0.00	0.80	95.0	0.76
03/03/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	0.99	0.00	0.99	95.0	0.94
03/03/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.69	0.00	0.69	95.0	0.66
03/03/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.87	0.00	0.87	95.0	0.83

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/03/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.41	0.00	2.41	95.0	2.29
03/03/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	22.32	0.00	22.32	95.0	21.20
03/03/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.54	0.00	0.54	95.0	0.51
03/03/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	4.67	0.00	4.67	95.0	4.44
03/03/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.12	0.00	5.12	95.0	4.86
03/03/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	8.28	0.00	8.28	95.0	7.87
03/03/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	10.83	0.00	10.83	95.0	10.29
03/03/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.48	0.00	1.48	95.0	1.41
03/03/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	25.04	0.00	25.04	95.0	23.79
03/03/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	33.21	0.00	33.21	95.0	31.55
03/03/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	48.75	0.00	48.75	95.0	46.31
03/03/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.03	0.00	1.03	95.0	0.98
03/03/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	0.97	0.00	0.97	95.0	0.92
03/03/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.53	0.00	0.53	95.0	0.50
03/03/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	9.43	0.00	9.43	95.0	8.96
* MUTUAL FUNDS SUBTOTAL *			\$73,076.21	\$1,511.93	\$0.00	\$1,511.93		\$1,403.11
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/04/2017	SCHROETER, RICHARD	TRANSAMERICA EXTRA	34,294.40	28.58	0.00	28.58	95.0	27.15
02/14/2017	GRUSH, ERNEST	TRANSAMERICA LANDMARK	136,779.02	113.98	0.00	113.98	95.0	108.28
02/28/2017	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,485.21	3.74	0.00	3.74	95.0	3.55
* VARIABLE ANNUITIES SUBTOTAL *			\$175,558.63	\$146.30	\$0.00	\$146.30		\$138.98
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
03/06/2017	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
03/06/2017	DINKA, STEPHEN	PAC LIFE VUL	5,255.00	105.10	0.00	105.10	95.0	99.85
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$7,755.00	\$155.10	\$0.00	\$155.10		\$147.35
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/08/2017	ZOBOSKI, JAYNE	FIDELITY ADVISOR TRAILS	0.00	3.12	0.00	3.12	95.0	2.96
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3.12	\$0.00	\$3.12		\$2.96
*** BROKER TRANSACTIONS TOTAL ***			\$256,389.84	\$1,816.45	\$0.00	\$1,816.45		\$1,692.40

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: MARK KOSANKE #3</u></b>								
03/07/2017	MCCLAIN, DOROTHY	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	89,429.20	74.52	0.00	74.52	95.0	70.79
03/07/2017	EMBACH, KEVIN	GENWORTH CHOICE VA	70,744.82	58.95	0.00	58.95	95.0	56.00
03/07/2017	EMBACH, JAMES	GENWORTH CHOICE VA	70,744.83	58.95	0.00	58.95	95.0	56.00
03/07/2017	ZACK, MAUREEN	GENWORTH CHOICE VA	70,744.83	58.95	0.00	58.95	95.0	56.00
03/07/2017	HARTOUNIAN, HAGOB	GENWORTH CHOICE VA	10,759.59	8.97	0.00	8.97	95.0	8.52
03/07/2017	SCHMIDT, MARION	GENWORTH CHOICE VA	17,621.73	14.68	0.00	14.68	95.0	13.95
03/07/2017	BYERS, SHARRON	GENWORTH COMMONWEALTH VA 1994 (NON-	95,609.86	15.94	0.00	15.94	95.0	15.14
03/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
03/06/2017	MOSIER, JOHN	NATIONWIDE MARKETFLEX	200.00	4.00	0.00	4.00	95.0	3.80
03/03/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	3.50	0.00	3.50	95.0	3.33
03/03/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.80	0.00	0.80	95.0	0.76
03/03/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.16	0.00	0.16	95.0	0.15
03/03/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	2.68	0.00	2.68	95.0	2.55
03/03/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.21	0.00	0.21	95.0	0.20
* MARK KOSANKE SUBTOTAL *			\$425,887.47	\$302.47	\$0.00	\$302.47		\$287.34
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
03/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
03/08/2017	GRAMMATICO, DENNIS	TRANSAMERICA SHORT-TERM BOND C	3,000.00	30.00	0.00	30.00	95.0	28.50
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$3,100.00	\$35.00	\$0.00	\$35.00		\$33.25
*** SPLIT TRANSACTIONS TOTAL ***			\$428,987.47	\$337.47	\$0.00	\$337.47		\$320.59
<b><u>OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: 101 CFGROUP/KOSANKE #9</u></b>								
03/20/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<b><u>BROKER: THOMAS SCHNEIDER #30</u></b>								
03/08/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	0.0	3.00
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: THOMAS SCHNEIDER #30</u></b>								
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.15
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.15	0.00	0.15	0.0	0.15
03/15/2017	DELLON, JOHN	THORNBURG LTD TERM MUNI CL A	0.00	60.37	0.00	60.37	0.0	60.37
* THOMAS SCHNEIDER SUBTOTAL *			\$60.00	\$63.93	\$0.00	\$63.93		\$63.93
*** OVERRIDE TRANSACTIONS TOTAL ***			\$160.00	\$68.93	\$0.00	\$68.93		\$68.93

**ADJUSTMENTS**

03/31/2017	*MISC: SCHNEIDER COMM ADJ	0.00						16,237.87
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$16,237.87

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,692.40
Split Transactions	\$320.59
Override Transactions	\$68.93
Adjustments	\$16,237.87
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,319.79
Year-to-date Gross	\$67,719.78
Year-to-date Earnings	\$66,932.63

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed