



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2018

08/22/2018
Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^08/21/2018	BROCKMYER, PHYLLIS	FIDELITY SELECT BIOTECH	-7,612.81	15.00	22.00	-7.00	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-7,612.81	\$15.00	\$22.00	\$-7.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^08/21/2018	BROCKMYER, PHYLLIS	INVESCO EXCHANGE- TRADED FD TR II K	3,919.27	50.00	22.50	27.50	90.0	0.00
^08/21/2018	BROCKMYER, PHYLLIS	INVESCO EXCHANGE- TRADED FD TR II S	-5,649.72	15.00	22.50	-7.50	90.0	0.00
^08/21/2018	BROCKMYER, PHYLLIS	ISHARES TR CORE HIGH DIVID ETF	4,952.70	0.00	22.50	-22.50	90.0	0.00
^08/21/2018	BROCKMYER, PHYLLIS	ISHARES TR S&P U S PFD STK INDEX FD	6,025.44	50.00	22.50	27.50	90.0	0.00
^08/21/2018	BROCKMYER, PHYLLIS	SPDR SER TR S&P PHARMACEUTICALS ETF	-5,703.84	15.00	22.50	-7.50	90.0	0.00
^08/21/2018	BROCKMYER, PHYLLIS	VANGUARD S&P 500 ETF	3,956.69	50.00	22.50	27.50	90.0	0.00
		* STOCKS SUBTOTAL *	\$7,500.54	\$180.00	\$135.00	\$45.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$-112.27	\$195.00	\$157.00	\$38.00		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^08/06/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	33.86	0.00	33.86	90.0	0.00
^08/06/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	11.29	0.00	11.29	90.0	0.00
^07/31/2018	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,294.03	42.72	0.00	42.72	90.0	0.00
^07/31/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,206.87	45.19	0.00	45.19	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$103,500.90	\$133.06	\$0.00	\$133.06		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^ / /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	2.38	0.00	2.38	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.50	0.00	0.50	90.0	0.00
^08/10/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^08/10/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	2.38	0.00	2.38	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
* 529 PLANS SUBTOTAL *			\$100.00	\$5.76	\$0.00	\$5.76		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^08/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^08/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^08/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^ / /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	1.63	0.00	1.63	90.0	0.00
^ / /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.39	0.00	0.39	90.0	0.00
^ / /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	1.83	0.00	1.83	90.0	0.00
^08/10/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^08/10/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	1.19	0.00	1.19	90.0	0.00
^08/10/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.27	0.00	0.27	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,258.25	\$66.50	\$0.00	\$66.50		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/31/2018	SITES, TEGAN	LORD ABBETT & CO.	1,049.04	0.40	0.00	0.40	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$1,049.04	\$0.40	\$0.00	\$0.40		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$105,908.19	\$205.72	\$0.00	\$205.72		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

/ /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.71	0.00	0.71	90.0	0.64
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.15	0.00	0.15	90.0	0.14
08/10/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
08/10/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.71	0.00	0.71	90.0	0.64
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$100.00	\$1.72	\$0.00	\$1.72		\$1.56

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

08/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
08/15/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
08/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
08/06/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	10.16	0.00	10.16	90.0	9.14
08/06/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	3.39	0.00	3.39	90.0	3.05
08/21/2018	BROCKMYER, PHYLLIS	FIDELITY SELECT BIOTECH	-7,612.81	4.50	6.60	-2.10	90.0	-1.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
07/31/2018	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	50,294.03	12.82	0.00	12.82	90.0	11.54
07/31/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,206.87	13.56	0.00	13.56	90.0	12.20
/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	0.55	0.00	0.55	90.0	0.50
08/10/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
08/10/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.36	0.00	0.36	90.0	0.32
08/10/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.08	0.00	0.08	90.0	0.07
08/21/2018	BROCKMYER, PHYLLIS	INVESCO EXCHANGE- TRADED FD TR II K	3,919.27	15.00	6.75	8.25	90.0	7.43
08/21/2018	BROCKMYER, PHYLLIS	INVESCO EXCHANGE- TRADED FD TR II S	-5,649.72	4.50	6.75	-2.25	90.0	-2.03
08/21/2018	BROCKMYER, PHYLLIS	ISHARES TR CORE HIGH DIVID ETF	4,952.70	0.00	6.75	-6.75	90.0	-6.08
08/21/2018	BROCKMYER, PHYLLIS	ISHARES TR S&P U S PFD STK INDEX FD	6,025.44	15.00	6.75	8.25	90.0	7.43
07/31/2018	SITES, TEGAN	LORD ABBETT & CO.	1,049.04	0.12	0.00	0.12	90.0	0.11
08/21/2018	BROCKMYER, PHYLLIS	SPDR SER TR S&P PHARMACEUTICALS ETF	-5,703.84	4.50	6.75	-2.25	90.0	-2.03
08/21/2018	BROCKMYER, PHYLLIS	VANGUARD S&P 500 ETF	3,956.69	15.00	6.75	8.25	90.0	7.43
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$105,695.92	\$118.51	\$47.10	\$71.41		\$64.26
*** SPLIT TRANSACTIONS TOTAL ***			\$105,795.92	\$120.23	\$47.10	\$73.13		\$65.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$65.82
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3.24
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$62.58
Year-to-date Gross	\$1,139.60
Year-to-date Earnings	\$905.56