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# COMMISSION STATEMENT

**April 02, 2018** 

BROKER #: 9558

BRANCH: HOME OFFICE

BUDDY JOSEPH BUTLER 1013 PALO DURO TRAIL

Keller, TX 76248-

#### COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER T	TRANS	ACTIONS					
PRODUCT CAT	regory: Mutual funds								
03/20/2018 0	0085514634 ANDERSON,	THE GROWTH FUND OF AMERICA-529C	В	50.00	0.50	0.00	0.50	90.0	0.45
KI	RISTIN/MADELYN								
03/20/2018 0	0087016452 BOZARTH, BREWER	NEW WORLD FUND-529C	В	125.00	1.25	0.00	1.25	90.0	1.13
03/20/2018 0	0087016452 BOZARTH, BREWER	SMALLCAP WORLD FUND-529C	В	125.00	1.25	0.00	1.25	90.0	1.13
03/19/2018 0	0087830198 ANDERSON,	THE GROWTH FUND OF AMERICA-529C	В	50.00	0.50	0.00	0.50	90.0	0.45
KI	RISTIN/ZACHARY								
03/15/2018 0	0089961777 CHURCHILL, TODD	COLLEGE 2033 FUND-529C	В	50.00	0.50	0.00	0.50	90.0	0.45
		* MUTUAL FUNDS SUBTOTA	AL *	\$400.00	\$4.00	\$0.00	\$4.00		\$3.61
PRODUCT CAT	regory: Variable annuities	5							
03/06/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	В	319.63	1.59	0.00	1.59	90.0	1.43
03/15/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	В	5,435.59	27.17	0.00	27.17	90.0	24.45
03/20/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	В	287.84	1.43	0.00	1.43	90.0	1.29
03/16/2018	TRAILS	-PACIFIC LIFE INSURANCE	В	303.50	303.50	0.00	303.50	90.0	273.15
03/09/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	В	342.12	342.12	0.00	342.12	90.0	307.91
		* VARIABLE ANNUITIES SUBTOTA	AL *	\$6,688.68	\$675.81	\$0.00	\$675.81		\$608.23
PRODUCT CAT	regory: RIA								
03/15/2018	EARP, ROBERT/SANDRA	SIGNAL SECURITIES RIA	В	0.00	60.00	0.00	60.00	90.0	54.00
03/15/2018	NICHOLSON, LEONARD A	SIGNAL SECURITIES RIA	В	500,000.00	40.00	0.00	40.00	90.0	36.00
03/15/2018	FLEMING PSP, THEODORE C	SIGNAL SECURITIES RIA	В	0.00	519.49	0.00	519.49	90.0	467.54
03/15/2018	BEHELER, PRISCILLA J	SIGNAL SECURITIES RIA	В	100,000.00	20.68	0.00	20.68	90.0	18.61
03/15/2018	COST, LORENE	SIGNAL SECURITIES RIA	В	100,000.00	8.00	0.00	8.00	90.0	7.20
03/16/2018	HENDERSON, CHARLES A	SIGNAL SECURITIES RIA	В	500,000.00	304.63	0.00	304.63	90.0	274.17
01/12/2018 1	0903303 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	В	0.00	188.66	0.00	188.66	90.0	169.79
01/12/2018 1	0985026 SNELL, TERESA P	SIGNAL SECURITIES RIA	В	0.00	9.00	0.00	9.00	90.0	8.10
01/12/2018 1	1168594 ALWIN, JUDITH LOUISE	SIGNAL SECURITIES RIA	В	0.00	10.34	0.00	10.34	90.0	9.31
01/12/2018 1	1240553 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	В	0.00	311.66	0.00	311.66	90.0	280.49
01/12/2018 1	1575002 STRACK, NANCY	SIGNAL SECURITIES RIA	В	0.00	4.66	0.00	4.66	90.0	4.19
01/12/2018 1	2568962 SABLACK,	SIGNAL SECURITIES RIA	В	0.00	168.34	0.00	168.34	90.0	151.51
G	EORGE/ROSEMARY								
01/12/2018 1	2646736 CHURCHILL, TODD E &	SIGNAL SECURITIES RIA	В	0.00	111.66	0.00	111.66	90.0	100.49

#### COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

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TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: R	IA								
JULIE	_								
01/12/2018 15938838 GO	RDON. YANA DUKE	SIGNAL SECURITIES RIA	В	0.00	136.34	0.00	136.34	90.0	122.71
01/12/2018 17691322 CH		SIGNAL SECURITIES RIA	В	0.00	19.66	0.00		90.0	17.69
01/12/2018 19311838 BU	CKNER,	SIGNAL SECURITIES RIA	В	0.00	497.00	0.00	497.00	90.0	447.30
ALFRED/SHAR									
01/12/2018 21282922 KO	ZAK, RUTH S	SIGNAL SECURITIES RIA	В	0.00	1,001.66	0.00	1,001.66	90.0	901.49
01/12/2018 21697737 RO	GERS REV. LVG. TRS,	SIGNAL SECURITIES RIA	В	0.00	1,299.00	0.00	1,299.00	90.0	1,169.10
BILL C									
01/12/2018 21839817 MC	GINN FAM LIV TRUST,	SIGNAL SECURITIES RIA	В	0.00	12.34	0.00	12.34	90.0	11.11
WILLIAM/KAT									
01/12/2018 21849623 HE	SSLING, ALAN J.	SIGNAL SECURITIES RIA	В	0.00	51.66	0.00	51.66	90.0	46.49
01/12/2018 21998355 PR	AUL, KAREN S	SIGNAL SECURITIES RIA	В	0.00	442.66	0.00	442.66	90.0	398.39
01/12/2018 22402020 CH	ASE, ROBERT JAMES	SIGNAL SECURITIES RIA	В	0.00	292.00	0.00	292.00	90.0	262.80
01/12/2018 22431988 CH	ASE, SHEILA M	SIGNAL SECURITIES RIA	В	0.00	4.66	0.00	4.66	90.0	4.19
01/12/2018 24875646 HE	INEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	В	0.00	213.66	0.00	213.66	90.0	192.29
01/12/2018 25320334 MC	GINN, TIMOTHY D	SIGNAL SECURITIES RIA	В	0.00	21.66	0.00	21.66	90.0	19.49
01/12/2018 25377313 MI	LLSAP, JO ANN	SIGNAL SECURITIES RIA	В	0.00	2.34	0.00	2.34	90.0	2.11
01/12/2018 25726497 BE	RGLUND, JAMES E.	SIGNAL SECURITIES RIA	В	0.00	50.00	0.00	50.00	90.0	45.00
01/12/2018 25780232 ME	RRELL, DENNIS/	SIGNAL SECURITIES RIA	В	0.00	779.00	0.00	779.00	90.0	701.10
DIANE									
01/12/2018 25953225 FO	SLIN, MARK V	SIGNAL SECURITIES RIA	В	0.00	57.66	0.00	57.66	90.0	51.89
01/12/2018 26508300 ME	CASKEY, CHARLES &	SIGNAL SECURITIES RIA	В	0.00	186.66	0.00	186.66	90.0	167.99
IMOGE									
01/12/2018 29000999 HE	INEMAN, BRYON	SIGNAL SECURITIES RIA	В	0.00	311.66	0.00	311.66	90.0	280.49
DONALD									
01/12/2018 30442895 RO	GERS MARITAL TRUST,	SIGNAL SECURITIES RIA	В	0.00	7.66	0.00	7.66	90.0	6.89
BILL C.									
01/12/2018 31191575 HE	SSLING, CONNIE M	SIGNAL SECURITIES RIA	В	0.00	20.66	0.00	20.66	90.0	18.59
01/12/2018 32068400 ME	RRELL, DENNIS/	SIGNAL SECURITIES RIA	В	0.00	440.34	0.00	440.34	90.0	396.31
DIANE									
01/12/2018 32522388 DA	HN, TRAVIS & DESIRA	A SIGNAL SECURITIES RIA	В	0.00	64.00	0.00	64.00	90.0	57.60
01/12/2018 33247422 TA	YLOR, LARRY MARCUS	SIGNAL SECURITIES RIA	В	0.00	121.34	0.00	121.34	90.0	109.21
01/12/2018 33352821 FL	EMING, MARGARET J	SIGNAL SECURITIES RIA	В	0.00	169.34	0.00	169.34	90.0	152.41
01/12/2018 34554024 TH	OMAS, LISA CEA	SIGNAL SECURITIES RIA	В	0.00	14.34	0.00	14.34	90.0	12.91
01/12/2018 35202907 HO	RN, ALISA J	SIGNAL SECURITIES RIA	В	0.00	41.00	0.00	41.00	90.0	36.90
01/12/2018 36377350 KL	INE, DIANE K.	SIGNAL SECURITIES RIA	В	0.00	101.66	0.00	101.66	90.0	91.49
01/12/2018 36445988 HE	NDERSON, CARSON P	SIGNAL SECURITIES RIA	В	0.00	2.66	0.00	2.66	90.0	2.39
01/12/2018 40233916 FA	BIAN, DEBRA A	SIGNAL SECURITIES RIA	В	0.00	42.34	0.00	42.34	90.0	38.11
01/12/2018 41000315 CH	ASE, SHEILA M	SIGNAL SECURITIES RIA	В	0.00	13.66	0.00	13.66	90.0	12.29
01/12/2018 41085541 HI	GGINS, JOAN B	SIGNAL SECURITIES RIA	В	0.00	11.66	0.00	11.66	90.0	10.49

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#### COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BRO	KER TRANS	ACTIONS					
PRODUCT CA	TEGORY: RIA								
01/12/2018 4	1110684 STRACK, ADRIAN	SIGNAL SECURITIES RIA	В	0.00	13.00	0.00	13.00	90.0	11.70
01/12/2018 4	1140555 SNELL, TERESA P	SIGNAL SECURITIES RIA	В	0.00	4.00	0.00	4.00	90.0	3.60
01/12/2018 4	1395061 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	В	0.00	280.66	0.00	280.66	90.0	252.59
01/12/2018 4	1445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	В	0.00	47.66	0.00	47.66	90.0	42.89
	1515337 BUCKNER, LFRED/SHARON	SIGNAL SECURITIES RIA	В	0.00	8.00	0.00	8.00	90.0	7.20
	1598212 BARTUSH-SCHNITZIUS,	SIGNAL SECURITIES RIA	В	0.00	657.00	0.00	657.00	90.0	591.30
01/12/2018 4	3144139 BARRAGAN, GUILLERMO	SIGNAL SECURITIES RIA	В	0.00	6.34	0.00	6.34	90.0	5.71
01/12/2018 4	3216280 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	В	0.00	549.34	0.00	549.34	90.0	494.41
	3408918 HIGGINS TRUST, VILLIAM/ JOAN	SIGNAL SECURITIES RIA	В	0.00	203.00	0.00	203.00	90.0	182.70
01/12/2018 4	5551096 KEENEY, DANNY B	SIGNAL SECURITIES RIA	В	0.00	45.00	0.00	45.00	90.0	40.50
01/12/2018 4	6467197 FOSTER, KAREN S	SIGNAL SECURITIES RIA	В	0.00	581.34	0.00	581.34	90.0	523.21
	6801250 JACOBSON, JAMES	SIGNAL SECURITIES RIA	В	0.00	38.33	0.00	38.33	90.0	34.50
	6801252 JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	В	0.00	351.34	0.00	351.34	90.0	316.21
01/12/2018 4	7058294 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	В	0.00	188.66	0.00	188.66	90.0	169.79
01/12/2018 4	7639185 RONCK, PHILIP S	SIGNAL SECURITIES RIA	В	0.00	101.00	0.00	101.00	90.0	90.90
	8834901 HARTMANN, GERALDINE	SIGNAL SECURITIES RIA	В	0.00	391.00	0.00	391.00	90.0	351.90
01/12/2018 4	9134591 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	В	0.00	24.66	0.00	24.66	90.0	22.19
01/12/2018 4	9767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	В	0.00	224.34	0.00	224.34	90.0	201.91
03/15/2018 4	9767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	В	0.00	262.58	0.00	262.58	90.0	236.32
01/12/2018 4	9858081 KLINE, DIANE K.	SIGNAL SECURITIES RIA	В	0.00	76.34	0.00	76.34	90.0	68.71
01/12/2018 5	1436088 BUCKNER, SHARON R	SIGNAL SECURITIES RIA	В	0.00	87.66	0.00	87.66	90.0	78.89
01/12/2018 5	2224402 STRACK, NANCY	SIGNAL SECURITIES RIA	В	0.00	10.66	0.00	10.66	90.0	9.59
01/12/2018 5	2891646 STONE, FRED STEPHEN	SIGNAL SECURITIES RIA	В	0.00	20.66	0.00	20.66	90.0	18.59
01/12/2018 5	3407653 STUCK, III, EDWARD O	SIGNAL SECURITIES RIA	В	0.00	739.00	0.00	739.00	90.0	665.10
01/12/2018 5	6380456 SOLDNER, ROBERT J	SIGNAL SECURITIES RIA	В	0.00	105.34	0.00	105.34	90.0	94.81
01/12/2018 6	0298537 LAIRD, DUSTYN K	SIGNAL SECURITIES RIA	В	0.00	0.66	0.00	0.66	90.0	0.59
	1029197 ARMSTRONG REV. TRUST, ELBA	SIGNAL SECURITIES RIA	В	0.00	8.34	0.00	8.34	90.0	7.51
01/12/2018 6	1346794 SNELL, JR, RAYMOND F	SIGNAL SECURITIES RIA	В	0.00	112.66	0.00	112.66	90.0	101.39
01/12/2018 6	1517600 MCCOY, BRYAN/MARIA	SIGNAL SECURITIES RIA	В	0.00	31.34	0.00	31.34	90.0	28.21
01/12/2018 6	2583030 FOSLIN, MARK V	SIGNAL SECURITIES RIA	В	0.00	151.34	0.00	151.34	90.0	136.21
01/12/2018 6	2630420 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	В	0.00	20.00	0.00	20.00	90.0	18.00
01/12/2018 6	3369933 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	В	0.00	75.34	0.00	75.34	90.0	67.81
01/12/2018 6	7256991 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	В	0.00	60.34	0.00	60.34	90.0	54.31

#### COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BRO	OKER TRANS	ACTIONS					
PRODUCT CATEGORY: RIA								
01/12/2018 67256992 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	В	0.00	112.34	0.00	112.34	90.0	101.11
01/12/2018 67527515 MCGINN, KATHY J	SIGNAL SECURITIES RIA	В	0.00	631.34	0.00	631.34		568.21
01/12/2018 67528927 MERRELL, DENNIS/	SIGNAL SECURITIES RIA	В	0.00	123.66	0.00	123.66	90.0	111.29
DIANE								
01/12/2018 69485810 CHURCHILL, TODD E &	SIGNAL SECURITIES RIA	В	0.00	7.66	0.00	7.66	90.0	6.89
JULIE								
01/12/2018 71472539 MCGINN, WILLIAM	SIGNAL SECURITIES RIA	В	0.00	597.66	0.00	597.66	90.0	537.89
01/12/2018 71533523 MCGINN, NICOLE D	SIGNAL SECURITIES RIA	В	0.00	72.00	0.00	72.00	90.0	64.80
01/12/2018 73284089 HUGHES, DENNIS W.	SIGNAL SECURITIES RIA	В	0.00	138.66	0.00	138.66	90.0	124.79
01/12/2018 73298505 PRAUL VA IRREVOCABLE	SIGNAL SECURITIES RIA	В	0.00	472.34	0.00	472.34	90.0	425.11
TRST								
01/12/2018 73402532 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	В	0.00	6.66	0.00	6.66	90.0	5.99
03/16/2018 73428063 SILVA, JR, JESSE	SIGNAL SECURITIES RIA	В	0.00	36.00	0.00	36.00	90.0	32.40
01/12/2018 77272659 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	В	0.00	78.34	0.00	78.34	90.0	70.51
01/12/2018 77877392 PRILL, KAREN A	SIGNAL SECURITIES RIA	В	0.00	236.00	0.00	236.00	90.0	212.40
01/12/2018 77929375 STRACK, ADRIAN	SIGNAL SECURITIES RIA	В	0.00	8.66	0.00	8.66	90.0	7.79
01/12/2018 78866913 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	В	0.00	102.00	0.00	102.00	90.0	91.80
01/12/2018 79117403 MOORE, DAVID W	SIGNAL SECURITIES RIA	В	0.00	11.00	0.00	11.00	90.0	9.90
01/12/2018 79226928 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	В	0.00	14.66	0.00	14.66	90.0	13.19
01/12/2018 80658877 ROGERS FAMILY TRST,	SIGNAL SECURITIES RIA	В	0.00	66.34	0.00	66.34	90.0	59.71
BILL C.								
01/12/2018 80748872 ALWIN, STEPHEN L	SIGNAL SECURITIES RIA	В	0.00	7.34	0.00	7.34	90.0	6.61
01/12/2018 81352244 SNELL, TERESA P	SIGNAL SECURITIES RIA	В	0.00	0.66	0.00	0.66	90.0	0.59
01/12/2018 $81532821$ RODAK, STEVEN ANDREW	SIGNAL SECURITIES RIA	В	0.00	80.66	0.00	80.66	90.0	72.59
01/12/2018 83089595 SNELL, ALENA PAGE	SIGNAL SECURITIES RIA	В	0.00	4.00	0.00	4.00	90.0	3.60
01/12/2018 84733773 FOSTER, KAREN S	SIGNAL SECURITIES RIA	В	0.00	100.34	0.00	100.34	90.0	90.31
01/12/2018 85428341 STRACK, ADRIAN	SIGNAL SECURITIES RIA	В	0.00	5.66	0.00	5.66	90.0	5.09
01/12/2018 85539161 STRACK, NANCY	SIGNAL SECURITIES RIA	В	0.00	67.00	0.00	67.00	90.0	60.30
01/12/2018 85657154 STRACK, NANCY	SIGNAL SECURITIES RIA	В	0.00	1.66	0.00	1.66	90.0	1.49
01/12/2018 88087946 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	В	0.00	228.66	0.00	228.66	90.0	205.79
01/12/2018 90821543 KOZAK, RUTH S	SIGNAL SECURITIES RIA	В	0.00	1,542.66	0.00	1,542.66		1,388.39
01/12/2018 92229524 DAHN, SHARON A.	SIGNAL SECURITIES RIA	В	0.00	32.66	0.00	32.66	90.0	29.39
01/12/2018 94351664 OSBORN, WILLIAM A	SIGNAL SECURITIES RIA	В	0.00	1,990.00	0.00	1,990.00	90.0	1,791.00
01/12/2018 95245970 FABIAN, RANDALL/DEBRA	A SIGNAL SECURITIES RIA	В	0.00	421.34	0.00	421.34	90.0	379.21
01/12/2018 96553430 YAPELLI, FRANK A.	SIGNAL SECURITIES RIA	В	0.00	661.66	0.00	661.66	90.0	595.49
01/12/2018 96553451 YAPELLI, KAREN Y	SIGNAL SECURITIES RIA	В	0.00	354.66	0.00	354.66	90.0	319.19
	* RIA	SUBTOTAL *	\$1,200,000.00	\$21,878.61	\$0.00	\$21,878.61		\$19,690.69
	*** BROKER TRANSACTIONS	S TOTAL ***	\$1,207,088.68	\$22,558.42	\$0.00	\$22,558.42		\$20,302.53

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		COMMISSION STATEMEN	NT for 1	BUDDY JOSEPH BUT	<u> </u>				
TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJ	USTME	NTS					
04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		E & O INSURANCE: ZACH 1/2 MONTH	ĽΥ	0.00	0.00	0.00	0.00		-100.00
		*** ADJUSTMENTS TOTAL	. ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-200.00
						Ē	BROKER COMM	SSION	TOTALS
						Broke	r Transactions		\$20,302.53
						Spli	t Transactions		\$0.00
						Overrid	e Transactions		\$0.00
							Adjustments		\$-200.00
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior	Period Balance		\$0.00
							Check Amount	<u> </u>	\$20,102.53
						Year-to	-date Earnings		\$94,307.37