

## COMMISSION STATEMENT

February 28, 2018

LOUIS CIAVARELLA  
21 MILITIA HILL DRIVE

BROKER #: 47  
BRANCH: HOME OFFICE

CHESTERBROOK, PA 19087

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/13/2018	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	S	3,036.60	0.00	0.00	0.00	50.0	0.00
02/13/2018	75501549	ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	S	3,046.48	0.00	0.00	0.00	50.0	0.00
02/13/2018	75501549	ALEXANDER, RUTH	VIRTUS DUFF & PHELPS INTRNL REAL ES B		3,046.48	0.00	0.00	0.00	50.0	0.00
02/13/2018	75501549	ALEXANDER, RUTH	VIRTUS MID-CAP GROWTH FUND CLASS A B		3,036.60	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR FUNDS 315918524	B	5,996.18	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501681	SOIGNOLI, ANTHONY	FIDELITY ADVISOR MATERIALS FUND CL S	S	5,996.18	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUNDS CUSTODIAN B	B	3,835.23	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501681	SOIGNOLI, ANTHONY	FRANKLIN TEMPLETON FUND REAL ESTATE S	S	3,835.23	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR MATERIALS FUND CL S	S	5,622.86	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER STAPLES F S	S	11,500.13	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADVISOR CONSUMER DISCRETIO B		11,500.13	0.00	0.00	0.00	50.0	0.00
02/09/2018	75501684	SOIGNOLI, LINDA	FIDELITY ADV TECHNOLOGY CL A	B	5,622.86	0.00	0.00	0.00	50.0	0.00
02/28/2018	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	3,194.24	0.00	0.00	0.00	50.0	0.00
02/28/2018	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	3,196.54	0.00	0.00	0.00	50.0	0.00
02/28/2018	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME S	S	3,196.54	0.00	0.00	0.00	50.0	0.00
02/28/2018	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME S	S	3,194.24	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$78,856.52	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

02/09/2018	75501549	ALEXANDER, RUTH	GENERAL ELECTRIC CO	S	3,854.32	50.22	0.00	50.22	50.0	25.11
02/07/2018	75501743	CIAVARELLA, LOUIS	EATON VANCE TAX ADVANTAGED DIVIDEND B	B	2,287.30	50.10	0.00	50.10	50.0	25.05
02/12/2018	75501743	CIAVARELLA, LOUIS	GAMCO GLOBAL GOLD NATURAL RESOURCES B		201.60	50.10	0.00	50.10	50.0	25.05
02/22/2018	75501743	CIAVARELLA, LOUIS	NUVEEN AMT FREE QUALITY MUNICIPAL I B	B	1,950.00	50.10	0.00	50.10	50.0	25.05
02/26/2018	75501933	ESTATE OF BESSIE	HERSHEY COMPANY (THE)	S	73,924.53	815.50	0.00	815.50	50.0	407.75
02/26/2018	75501933	ESTATE OF BESSIE	PPL CORPORATION	S	40,256.83	625.14	0.00	625.14	50.0	312.57
* STOCKS SUBTOTAL *					\$122,474.58	\$1,641.16	\$0.00	\$1,641.16		\$820.58

PRODUCT CATEGORY: BONDS

02/08/2018	75501679	SOIGNOLI, ANTHONY	BANK AMER CORP MEDIUM TERM SR NTS S B		5,083.75	140.00	0.00	140.00	50.0	70.00
02/08/2018	75501681	SOIGNOLI, ANTHONY	BANK AMER CORP MEDIUM TERM SR NTS S B		9,441.25	260.00	0.00	260.00	50.0	130.00
* BONDS SUBTOTAL *					\$14,525.00	\$400.00	\$0.00	\$400.00		\$200.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MISCELLANEOUS</u></b>									
02/28/2018	VARIOUS, CIAVARELLA	GENWORTH	B	0.00	66.44	0.00	66.44	50.0	33.22
		<b>* MISCELLANEOUS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$66.44</b>	<b>\$0.00</b>	<b>\$66.44</b>		<b>\$33.22</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/17/2018	75501737 HENDRIX, GREG	FAS FEES PT. II/III	B	-120.75	120.75	0.00	120.75	50.0	60.38
01/17/2018	75501740 GIOMMI MCDOWELL,	FAS FEES PT. II/III	B	-583.57	583.57	0.00	583.57	50.0	291.79
01/17/2018	75501741 GIOMMI MCDOWELL,	FAS FEES PT. II/III	B	-249.05	249.05	0.00	249.05	50.0	124.53
01/17/2018	75501742 CIAVARELLA, LOUIS	FAS FEES PT. II/III	B	-34.39	34.39	0.00	34.39	50.0	17.20
01/17/2018	75501749 CIAVARELLA, MELISSA	FAS FEES PT. II/III	B	-83.55	83.55	0.00	83.55	50.0	41.78
01/17/2018	75501752 CIAVARELLA, JAMES	FAS FEES PT. II/III	B	-17.52	17.52	0.00	17.52	50.0	0.00
01/17/2018	75501754 CIAVARELLA, JAMES	FAS FEES PT. II/III	B	-41.13	41.13	0.00	41.13	50.0	20.57
01/17/2018	75501755 MANFREDI, MICHAEL	FAS FEES PT. II/III	B	-295.40	295.40	0.00	295.40	50.0	147.70
01/17/2018	75501757 BERGEN, MIMI	FAS FEES PT. II/III	B	-55.10	55.10	0.00	55.10	50.0	27.55
		<b>* RIA SUBTOTAL *</b>		<b>\$-1,480.46</b>	<b>\$1,480.46</b>	<b>\$0.00</b>	<b>\$1,480.46</b>		<b>\$731.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/09/2018	75501549 ALEXANDER, RUTH	**VIRTUS REAL ESTATE SEC FDS	B	0.00	1.37	0.00	1.37	50.0	0.69
02/09/2018	75501549 ALEXANDER, RUTH	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.91	0.00	1.91	50.0	0.96
02/09/2018	75501549 ALEXANDER, RUTH	OPPENHEIMER ROCHEST PENNSYLVANIA MU B	B	0.00	2.93	0.00	2.93	50.0	1.47
02/09/2018	75501549 ALEXANDER, RUTH	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	5.12	0.00	5.12	50.0	2.56
02/09/2018	75501665 PILKO, THOMAS	FEDERATED EQUITY FDS PRUDENT BEAR F B	B	0.00	7.76	0.00	7.76	50.0	3.88
02/09/2018	75501666 CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.64	0.00	0.64	50.0	0.00
02/09/2018	75501666 CARR, CHARLES	FIDELITY INVT TR ADVISOR LATIN AMER B	B	0.00	0.88	0.00	0.88	50.0	0.00
02/09/2018	75501670 CARR, MARGARET	MFS PENNSYLVANIA MUNICIPAL BOND FUN B	B	0.00	0.82	0.00	0.82	50.0	0.00
02/09/2018	75501671 CARR, CHARLES	FIDELITY ADVISOR MUNICIPAL INCOME F B	B	0.00	1.37	0.00	1.37	50.0	0.69
02/09/2018	75501671 CARR, CHARLES	FIDELITY ADVISOR ENERGY CL A	B	0.00	2.52	0.00	2.52	50.0	1.26
02/09/2018	75501671 CARR, CHARLES	FIDELITY ADVISOR SER VII BIOTECHNOL B	B	0.00	2.22	0.00	2.22	50.0	1.11
02/09/2018	75501671 CARR, CHARLES	FIDELITY ADV TECHNOLOGY CL A	B	0.00	4.29	0.00	4.29	50.0	2.15
02/09/2018	75501671 CARR, CHARLES	FIDELITY SECS FD REAL ESTATE INCOME B	B	0.00	1.29	0.00	1.29	50.0	0.65
02/09/2018	75501673 CARR, MARGARET	FIDELITY ADVISOR LARGE CAP STOCK CL B	B	0.00	0.86	0.00	0.86	50.0	0.00
02/09/2018	75501673 CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.52	0.00	0.52	50.0	0.00
02/09/2018	75501673 CARR, MARGARET	FIDELITY ADVISOR SMALL CAP GROWTH F B	B	0.00	0.50	0.00	0.50	50.0	0.00
02/09/2018	75501674 CARR, MARGARET	BLACKROCK SCIENCE & TECH OPPORTUNIT B	B	0.00	1.72	0.00	1.72	50.0	0.86
02/09/2018	75501674 CARR, MARGARET	EATON VANCE ATLANTA CAPITAL SMID CA B	B	0.00	1.37	0.00	1.37	50.0	0.69
02/09/2018	75501674 CARR, MARGARET	EATON VANCE INCOME FUND OF BOSTON C B	B	0.00	5.47	0.00	5.47	50.0	2.74
02/09/2018	75501674 CARR, MARGARET	FIDELITY ADV EQUITY GROWTH CL T	B	0.00	6.08	0.00	6.08	50.0	3.04
02/09/2018	75501674 CARR, MARGARET	FIDELITY ADVISOR INTL DISCOVERY FD B	B	0.00	3.67	0.00	3.67	50.0	1.84
02/09/2018	75501674 CARR, MARGARET	FIDELITY ADVISOR SER VII FA SEMICON B	B	0.00	2.26	0.00	2.26	50.0	1.13
02/09/2018	75501674 CARR, MARGARET	FIDELITY ADVISOR UTILITIES CL T	B	0.00	0.77	0.00	0.77	50.0	0.00
02/09/2018	75501674 CARR, MARGARET	FIDELITY ADV TECHNOLOGY CL T	B	0.00	8.05	0.00	8.05	50.0	4.03

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75501674	CARR, MARGARET		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	3.33	0.00	3.33	50.0	1.67
02/09/2018	75501674	CARR, MARGARET		FIDELITY ADVISOR SMALL CAP GROWTH F B	0.00	5.63	0.00	5.63	50.0	2.82
02/09/2018	75501674	CARR, MARGARET		FIDELITY FINL TR STRATEGIC DIVID & B	0.00	6.21	0.00	6.21	50.0	3.11
02/09/2018	75501674	CARR, MARGARET		FIDELITY INVT TR ADVISOR EMRGING MK B	0.00	1.86	0.00	1.86	50.0	0.93
02/09/2018	75501676	REBER, WINCHELL		FIDELITY ADV STRATEGIC INCOME CL C B	0.00	1.17	0.00	1.17	50.0	0.59
02/09/2018	75501676	REBER, WINCHELL		FIDELITY ADVISOR FOCUS FUNDS HEALTH B	0.00	1.16	0.00	1.16	50.0	0.58
02/09/2018	75501676	REBER, WINCHELL		FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	0.94	0.00	0.94	50.0	0.00
02/09/2018	75501676	REBER, WINCHELL		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	1.10	0.00	1.10	50.0	0.55
02/09/2018	75501676	REBER, WINCHELL		FRANKLIN INCOME FUND CLASS C B	0.00	1.47	0.00	1.47	50.0	0.74
02/09/2018	75501676	REBER, WINCHELL		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.95	0.00	0.95	50.0	0.00
02/09/2018	75501679	SOIGNOLI, ANTHONY		DELAWARE GROUP TAX FREE USA FUND-CL B	0.00	13.76	0.00	13.76	50.0	6.88
02/09/2018	75501679	SOIGNOLI, ANTHONY		FEDERATED STRATEGIC INCOME FUND B	0.00	0.95	0.00	0.95	50.0	0.00
02/09/2018	75501679	SOIGNOLI, ANTHONY		FIDELITY ADVISOR MUNICIPAL INCOME F B	0.00	6.55	0.00	6.55	50.0	3.28
02/09/2018	75501679	SOIGNOLI, ANTHONY		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	8.62	0.00	8.62	50.0	4.31
02/09/2018	75501679	SOIGNOLI, ANTHONY		FRANKLIN INCOME FUND CLASS C B	0.00	2.21	0.00	2.21	50.0	1.11
02/09/2018	75501680	REBER, WINCHELL		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	1.35	0.00	1.35	50.0	0.68
02/09/2018	75501680	REBER, WINCHELL		FRANKLIN INCOME FUND CLASS C B	0.00	0.88	0.00	0.88	50.0	0.00
02/09/2018	75501680	REBER, WINCHELL		TEMPLETON GLOBAL BOND FUND CL C B	0.00	0.23	0.00	0.23	50.0	0.00
02/09/2018	75501681	SOIGNOLI, ANTHONY		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	1.65	0.00	1.65	50.0	0.83
02/09/2018	75501681	SOIGNOLI, ANTHONY		COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	1.99	0.00	1.99	50.0	1.00
02/09/2018	75501681	SOIGNOLI, ANTHONY		FEDERATED STRATEGIC INCOME FUND B	0.00	3.82	0.00	3.82	50.0	1.91
02/09/2018	75501681	SOIGNOLI, ANTHONY		FIDELITY ADV STRATEGIC DIV & INCOME B	0.00	15.00	0.00	15.00	50.0	7.50
02/09/2018	75501681	SOIGNOLI, ANTHONY		FIDELITY ADVISOR MATERIALS FUND CL B	0.00	5.60	0.00	5.60	50.0	2.80
02/09/2018	75501681	SOIGNOLI, ANTHONY		FRANKLIN INCOME FUND CLASS C B	0.00	3.68	0.00	3.68	50.0	1.84
02/09/2018	75501681	SOIGNOLI, ANTHONY		FRANKLIN TEMPLETON FUND REAL ESTATE B	0.00	3.50	0.00	3.50	50.0	1.75
02/09/2018	75501682	REBER, WINCHELL		**CLEARBRIDGE APPRECIATION B	0.00	5.95	0.00	5.95	50.0	2.98
02/09/2018	75501682	REBER, WINCHELL		**CLEARBRIDGE LARGE CAP GROWTH B	0.00	5.51	0.00	5.51	50.0	2.76
02/09/2018	75501682	REBER, WINCHELL		FIDELITY SECS FD REAL ESTATE INCOME B	0.00	7.28	0.00	7.28	50.0	3.64
02/09/2018	75501682	REBER, WINCHELL		PUTNAM 746792100 B	0.00	0.77	0.00	0.77	50.0	0.00
02/09/2018	75501682	REBER, WINCHELL		WESTERN ASSET SHORT DURATION MUNICI B	0.00	4.71	0.00	4.71	50.0	2.36
02/09/2018	75501683	GROSSO, MARK		IVY MID CAP GROWTH FUND CLASS A B	0.00	1.99	0.00	1.99	50.0	1.00
02/09/2018	75501684	SOIGNOLI, LINDA		COLUMBIA GLOBAL STRATEGIC EQUITY FU B	0.00	3.30	0.00	3.30	50.0	1.65
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR LIMITED TERM BOND B	0.00	1.28	0.00	1.28	50.0	0.64
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR ELECTRONICS FUND C B	0.00	3.17	0.00	3.17	50.0	1.59
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADV TECHNOLOGY CL A B	0.00	3.26	0.00	3.26	50.0	1.63
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR INDUSTRIALS CL A B	0.00	1.28	0.00	1.28	50.0	0.64
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADV NEW INSIGHTS-CL A B	0.00	1.12	0.00	1.12	50.0	0.56
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR FUNDS 316145408 B	0.00	2.23	0.00	2.23	50.0	1.12
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR MATERIALS FUND CL B	0.00	1.31	0.00	1.31	50.0	0.66
02/09/2018	75501684	SOIGNOLI, LINDA		FIDELITY ADVISOR CONSUMER STAPLES F B	0.00	2.62	0.00	2.62	50.0	1.31

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	75501685 REBER, CAROLYN	FIDELITY ADV STRATEGIC INCOME CL C	B	0.00	0.97	0.00	0.97	50.0	0.00
02/09/2018	75501685 REBER, CAROLYN	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	1.16	0.00	1.16	50.0	0.58
02/09/2018	75501685 REBER, CAROLYN	FIDELITY ADVISOR EMERGING MARKETS I	B	0.00	0.99	0.00	0.99	50.0	0.00
02/09/2018	75501685 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.31	0.00	1.31	50.0	0.66
02/09/2018	75501685 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.47	0.00	1.47	50.0	0.74
02/09/2018	75501685 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.59	0.00	0.59	50.0	0.00
02/09/2018	75501686 REBER, CAROLYN	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	1.84	0.00	1.84	50.0	0.92
02/09/2018	75501686 REBER, CAROLYN	FRANKLIN INCOME FUND CLASS C	B	0.00	1.03	0.00	1.03	50.0	0.52
02/09/2018	75501686 REBER, CAROLYN	TEMPLETON GLOBAL BOND FUND CL C	B	0.00	0.36	0.00	0.36	50.0	0.00
02/09/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918367	B	0.00	1.20	0.00	1.20	50.0	0.60
02/09/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 315918474	B	0.00	0.67	0.00	0.67	50.0	0.00
02/09/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FOCUS FUNDS HEALTH	B	0.00	0.79	0.00	0.79	50.0	0.00
02/09/2018	75501687 DALEY, BARBARA	FIDELITY ADVISOR FUNDS 316071505	B	0.00	0.07	0.00	0.07	50.0	0.00
02/09/2018	75501687 DALEY, BARBARA	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	0.01	0.00	0.01	50.0	0.00
02/09/2018	75501687 DALEY, BARBARA	FRANKLIN INCOME FUND CLASS C	B	0.00	0.76	0.00	0.76	50.0	0.00
02/09/2018	75501688 BERRY, THOMAS	FIDELITY ADVISOR TELECOMMUNICATIONS	B	0.00	0.97	0.00	0.97	50.0	0.00
02/09/2018	75501689 BERRY, THOMAS	**GAMCO GROWTH FD INC	B	0.00	2.14	0.00	2.14	50.0	1.07
02/09/2018	75501689 BERRY, THOMAS	IVY ASSET STRATEGY FUND CLASS A	B	0.00	1.22	0.00	1.22	50.0	0.61
02/09/2018	75501690 EBERSOLE, DWAYNE	EATON VANCE MUT FDS TR FLTG RATE AD	B	0.00	0.76	0.00	0.76	50.0	0.00
02/09/2018	75501691 BARGER, WILLIAM	**PRUDENTIAL HIGH YIELD FUND	B	0.00	2.76	0.00	2.76	50.0	1.38
02/09/2018	75501691 BARGER, WILLIAM	**VIRTUS OPPORTUNITIES TR	B	0.00	3.52	0.00	3.52	50.0	1.76
02/09/2018	75501691 BARGER, WILLIAM	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	10.51	0.00	10.51	50.0	5.26
02/09/2018	75501691 BARGER, WILLIAM	EATON VANCE INCOME FUND BOSTON INC	B	0.00	2.84	0.00	2.84	50.0	1.42
02/09/2018	75501691 BARGER, WILLIAM	FIDELITY ADVISOR EMERGING MARKETS I	B	0.00	4.53	0.00	4.53	50.0	2.27
02/09/2018	75501691 BARGER, WILLIAM	LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	2.53	0.00	2.53	50.0	1.27
02/09/2018	75501691 BARGER, WILLIAM	THE NEW ECONOMY FUND-C	B	0.00	10.87	0.00	10.87	50.0	5.44
02/09/2018	75501691 BARGER, WILLIAM	WELLS FARGO FDS HIGH YIELD BD FD CL	B	0.00	1.77	0.00	1.77	50.0	0.89
02/09/2018	75501692 WARD, MARY	JOHN HANCOCK CLASSIC VALUE FUND CLA	B	0.00	2.31	0.00	2.31	50.0	1.16
02/09/2018	75501694 WARD, MARY	TAX-FREE BOND A	B	0.00	12.78	0.00	12.78	50.0	6.39
02/20/2018	75501695 WARD, EDMOND	TOCQUEVILLE GOLD FUND	B	0.00	1.27	0.00	1.27	50.0	0.64
02/09/2018	75501697 WARD, EDMOND	AMERICAN MUTUAL FUND-A	B	0.00	25.94	0.00	25.94	50.0	12.97
02/09/2018	75501698 GROSSO, BETH ANN	FIDELITY ADVISOR LARGE CAP STOCK CL	B	0.00	0.42	0.00	0.42	50.0	0.00
02/09/2018	75501698 GROSSO, BETH ANN	IVY MID CAP GROWTH FUND CLASS A	B	0.00	1.36	0.00	1.36	50.0	0.68
02/09/2018	75501698 GROSSO, BETH ANN	NEW PERSPECTIVE FUND-A	B	0.00	1.48	0.00	1.48	50.0	0.74
02/09/2018	75501698 GROSSO, BETH ANN	THE NEW ECONOMY FUND-A	B	0.00	1.59	0.00	1.59	50.0	0.80
02/09/2018	75501699 WARD, EDMOND	AMERICAN MUTUAL FUND-C	B	0.00	14.21	0.00	14.21	50.0	7.11
02/09/2018	75501699 WARD, EDMOND	EATON VANCE PENNSYLVANIA MUNICIPAL	B	0.00	51.12	0.00	51.12	50.0	25.56
02/09/2018	75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 315920850	B	0.00	1.29	0.00	1.29	50.0	0.65
02/09/2018	75501699 WARD, EDMOND	FIDELITY ADVISOR FUNDS 316145408	B	0.00	1.83	0.00	1.83	50.0	0.92
02/09/2018	75501701 RICKERT, RUSSELL	BLACKROCK GLOBAL ALLOCATION FD INC	B	0.00	2.28	0.00	2.28	50.0	1.14

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
02/09/2018	75501701	RICKERT, RUSSELL	FIDELITY ADV SMALL CAP CL T	B	0.00	2.61	0.00	2.61	50.0	1.31
02/09/2018	75501701	RICKERT, RUSSELL	FIDELITY ADV GROWTH & INCOME CL T	B	0.00	0.17	0.00	0.17	50.0	0.00
02/09/2018	75501701	RICKERT, RUSSELL	FIDELITY ADV NEW INSIGHTS-CL T	B	0.00	3.35	0.00	3.35	50.0	1.68
02/09/2018	75501701	RICKERT, RUSSELL	FIDELITY INVT TR ADVISOR CANADA FD	B	0.00	1.86	0.00	1.86	50.0	0.93
02/09/2018	75501738	MCDOWELL, CARL	**GABELLI UTILITIES FUND	B	0.00	0.96	0.00	0.96	50.0	0.00
02/09/2018	75501738	MCDOWELL, CARL	FIDELITY ADVISOR (FOCUS) HEALTH CAR	B	0.00	0.55	0.00	0.55	50.0	0.00
02/09/2018	75501743	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	0.29	0.00	0.29	50.0	0.00
02/09/2018	75501746	CIAVARELLA, LOUIS	**VIRTUS STRATEGIC GROWTH FUND	B	0.00	1.18	0.00	1.18	50.0	0.59
02/09/2018	75501746	CIAVARELLA, LOUIS	BLACKROCK SCIENCE & TECH OPPORTUNIT	B	0.00	4.15	0.00	4.15	50.0	2.08
02/09/2018	75501746	CIAVARELLA, LOUIS	DREYFUS PREMIER LARGE CAP GROWTH FU	B	0.00	2.68	0.00	2.68	50.0	1.34
02/09/2018	75501746	CIAVARELLA, LOUIS	EATON VANCE GROWTH TR RICHRD BERNST	B	0.00	2.27	0.00	2.27	50.0	1.14
02/09/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR MID CAP STOCK CL A	B	0.00	2.61	0.00	2.61	50.0	1.31
02/09/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR FUNDS 315807834	B	0.00	0.92	0.00	0.92	50.0	0.00
02/09/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADV TECHNOLOGY CL A	B	0.00	4.73	0.00	4.73	50.0	2.37
02/09/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SER VIII EMERGING	B	0.00	2.64	0.00	2.64	50.0	1.32
02/09/2018	75501746	CIAVARELLA, LOUIS	FIDELITY ADVISOR SMALL CAP GROWTH F	B	0.00	2.56	0.00	2.56	50.0	1.28
02/09/2018	75501746	CIAVARELLA, LOUIS	FIDELITY INVT TR FIDELITY ADV EUROP	B	0.00	2.39	0.00	2.39	50.0	1.20
02/09/2018	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE AGGRESSIVE	B	0.00	0.65	0.00	0.65	50.0	0.00
02/09/2018	75501756	MANFREDI, MICHAEL	**CLEARBRIDGE DIVIDEND	B	0.00	1.37	0.00	1.37	50.0	0.69
02/09/2018	75501758	BERGEN, MIMI	BLACKROCK SCIENCE & TECH OPPORTUNIT	B	0.00	0.50	0.00	0.50	50.0	0.00
02/09/2018	75501758	BERGEN, MIMI	BLACKROCK EQUITY DIVIDEND FUND CL A	B	0.00	0.54	0.00	0.54	50.0	0.00
02/09/2018	75501758	BERGEN, MIMI	BLACKROCK STRATEGIC INCOME OPPORTUN	B	0.00	0.81	0.00	0.81	50.0	0.00
02/09/2018	75501760	BERGEN, MIMI	FEDERATED STRATEGIC INCOME FUND CLA	B	0.00	2.99	0.00	2.99	50.0	1.50
02/09/2018	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918367	B	0.00	6.68	0.00	6.68	50.0	3.34
02/09/2018	75501764	HALL, JOAN	FIDELITY ADVISOR FUNDS 315918524	B	0.00	11.31	0.00	11.31	50.0	5.66
02/09/2018	75501764	HALL, JOAN	FIDELITY ADV STRATEGIC DIV & INCOME	B	0.00	5.66	0.00	5.66	50.0	2.83
02/09/2018	75501976	WARD, BRANDON	EATON VANCE GLOBAL MACRO ABSOLUTE R	B	0.00	12.74	0.00	12.74	50.0	6.37
02/09/2018	75501976	WARD, BRANDON	JANUS INVT FD HENDERSON INTL OPPTN	B	0.00	9.53	0.00	9.53	50.0	4.77
02/09/2018	75501976	WARD, BRANDON	OPPENHEIMERFUNDS 68380E601	B	0.00	4.70	0.00	4.70	50.0	2.35
02/09/2018	75501976	WARD, BRANDON	OPPENHEIMER GOLD & SPECIAL MINERALS	B	0.00	20.46	0.00	20.46	50.0	10.23
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$493.16	\$0.00	\$493.16	\$235.61	
*** BROKER TRANSACTIONS TOTAL ***					\$214,375.64	\$4,081.22	\$0.00	\$4,081.22	\$2,020.91	

COMMISSION STATEMENT for LOUIS CIAVARELLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

02/28/2018		OTHER: OTHER-ADVISORY FEES		0.00	0.00	0.00	0.00		-137.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-137.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,020.91
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-137.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.02
SIPC Assessment	\$-10.04

Check Amount	\$1,868.85
Year-to-date Earnings	\$5,263.83