

COMMISSION STATEMENT

April 30, 2018

BILLY BEHRENS
28 Dell Street

BROKER #: W02
BRANCH: FULCRUM GROUP

Sleepy Hollow, NY 10591-

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/16/2018	75502189	JAMES J GREENE EXEC	CALAMOS INVT TR NEW CONV FD CL C	S	32,905.78	55.00	0.00	55.00	50.0	27.50
04/16/2018	75502189	JAMES J GREENE EXEC	LORD ABBETT SHORT DURATION INCOME F S	S	34,809.00	55.00	0.00	55.00	50.0	27.50
04/16/2018	75502189	JAMES J GREENE EXEC	LORD ABBETT SECS TR VALUE OPPTY S FD S	S	7,776.70	55.00	0.00	55.00	50.0	27.50
04/16/2018	75502189	JAMES J GREENE EXEC	PIM 72200Q273	S	5,789.50	55.00	0.00	55.00	50.0	27.50
04/16/2018	75502189	JAMES J GREENE EXEC	PIMCO LOW DURATION FUND-CL C	S	17,075.78	55.00	0.00	55.00	50.0	27.50
* MUTUAL FUNDS SUBTOTAL *					\$98,356.76	\$275.00	\$0.00	\$275.00		\$137.50

PRODUCT CATEGORY: STOCKS

04/18/2018	75501372	BANDLER, BABETTE	AGNICO-EAGLE MINES LTD	S	22,875.00	55.00	0.00	55.00	50.0	27.50
04/03/2018	75501373	BANDLER, BABETTE	CITIGROUP INC COM	B	68,010.00	55.00	0.00	55.00	50.0	27.50
04/18/2018	75501377	STEINBERG, SHOSHANA	ILLUMINA INC	B	24,938.00	55.00	0.00	55.00	50.0	27.50
04/19/2018	75501438	NOVEMBER, WILLIAM	PIMCO DYNAMIC CREDIT AND MORTGAGE I B	B	68,850.00	300.00	0.00	300.00	50.0	150.00
04/25/2018	75501438	NOVEMBER, WILLIAM	PIMCO INCOME OPPORTUNITY FD COM	B	32,710.40	300.00	0.00	300.00	50.0	150.00
04/26/2018	75501438	NOVEMBER, WILLIAM	PIMCO INCOME STRATEGY FUND II	B	39,444.00	300.00	0.00	300.00	50.0	150.00
04/03/2018	75501469	SKROCKI, RICHARD	ARLINGTON ASSET INVESTMENT CORP CL- B	B	3,852.31	135.00	0.00	135.00	50.0	67.50
04/03/2018	75501470	SKROCKI, RICHARD	ARLINGTON ASSET INVESTMENT CORP CL- B	B	937.34	55.00	0.00	55.00	50.0	27.50
04/03/2018	75501472	SKROCKI, RICHARD	ARLINGTON ASSET INVESTMENT CORP CL- B	B	1,324.39	60.00	0.00	60.00	50.0	30.00
04/03/2018	75501472	SKROCKI, RICHARD	MFS INTERMEDIATE INCOME TRUST SH BE B	B	1,287.65	65.00	0.00	65.00	50.0	32.50
04/16/2018	75502189	JAMES J GREENE EXEC	APPLE INC	S	7,356.72	250.00	0.00	250.00	50.0	125.00
04/16/2018	75502189	JAMES J GREENE EXEC	INTEL CORP	S	10,450.68	250.00	0.00	250.00	50.0	125.00
04/16/2018	75502189	JAMES J GREENE EXEC	PEPSICO INC	S	8,263.50	250.00	0.00	250.00	50.0	125.00
* STOCKS SUBTOTAL *					\$290,299.99	\$2,130.00	\$0.00	\$2,130.00		\$1,065.00

PRODUCT CATEGORY: OPTIONS

04/11/2018	75501378	BANDLER, LIONEL	CALL AEM	05/18/18	46	S	1,180.00	55.00	0.00	55.00	50.0	27.50
* OPTIONS SUBTOTAL *							\$1,180.00	\$55.00	\$0.00	\$55.00		\$27.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/30/2018			FEE COUNT - 11				0.00	192.98	0.00	192.98	50.0	96.03
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *							\$0.00	\$192.98	\$0.00	\$192.98		\$96.03
*** BROKER TRANSACTIONS TOTAL ***							\$389,836.75	\$2,652.98	\$0.00	\$2,652.98		\$1,326.03

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ADJUSTMENTS

04/30/2018		LICENSING FEE: NC INDIVIDUAL REG FE		0.00	0.00	0.00	0.00		-125.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-125.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,326.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-125.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.32
SIPC Assessment	\$-6.63

Check Amount	\$1,191.08
Year-to-date Earnings	\$2,686.32