

**COMMISSION STATEMENT****April 15, 2018**DANIEL, C142 CHARLEY
6 Lexington CourtBROKER #: C142
BRANCH: E STREET FINANCIAL L

Shamong, NJ 08088-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: DAN/MARC FT-C148 CHARLEY/LINS #315</u> | | | | | | | | |
| 03/15/2018 | ANDERSON, WILLIAM | AM FUNDS BOND FUND OF AMERICA A | 0.00 | 0.42 | 0.00 | 0.42 | 90.0 | 0.38 |
| 03/15/2018 | NEELD, JUDEANN | AM FUNDS CAPITAL INCOME BUILDER A | 0.00 | 1.23 | 0.00 | 1.23 | 90.0 | 1.11 |
| 03/15/2018 | NEELD, JUDEANN | AM FUNDS WASHINGTON MUTUAL INVESTOR | 0.00 | 3.16 | 0.00 | 3.16 | 90.0 | 2.84 |
| 03/16/2018 | ANDERSON, WILLIAM | AMERICAN CENTURY CORE PLUS FUND CLA | 0.00 | 2.56 | 0.00 | 2.56 | 90.0 | 2.30 |
| 03/28/2018 | ANDERSON, ELAINE | BLK GLOBAL ALLOCATION C | 0.00 | 2.34 | 0.00 | 2.34 | 90.0 | 2.11 |
| 03/22/2018 | ANDERSON, ELAINE | CALAMOS GROWTH & INCOME A | 0.00 | 0.87 | 0.00 | 0.87 | 90.0 | 0.78 |
| 03/20/2018 | ANDERSON, WILLIAM | CARILLON EAGLE GROWTH & INCOME FUND | 0.00 | 5.97 | 0.00 | 5.97 | 90.0 | 5.37 |
| 03/19/2018 | ANDERSON, WILLIAM | COLUMBIA BALANCED FUND CLASS C | 0.00 | 3.25 | 0.00 | 3.25 | 90.0 | 2.93 |
| 03/12/2018 | FIAMINGO, FRANK | DAVIS REAL ESTATE A | 0.00 | 1.88 | 0.00 | 1.88 | 90.0 | 1.69 |
| 03/21/2018 | ANDERSON, WILLIAM | FIRST EAGLE OVERSEAS FUND CLASS A | 0.00 | 0.90 | 0.00 | 0.90 | 90.0 | 0.81 |
| 03/19/2018 | FIAMINGO, NANCY | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 9.60 | 0.00 | 9.60 | 90.0 | 8.64 |
| 03/19/2018 | FIAMINGO, NANCY | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 28.80 | 0.00 | 28.80 | 90.0 | 25.92 |
| 03/19/2018 | FIAMINGO, FRANK | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 12.48 | 0.00 | 12.48 | 90.0 | 11.23 |
| 03/19/2018 | FIAMINGO, FRANK | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 37.43 | 0.00 | 37.43 | 90.0 | 33.69 |
| 03/19/2018 | CLARKE SR, JAMES | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 4.24 | 0.00 | 4.24 | 90.0 | 3.82 |
| 03/19/2018 | CLARKE SR, JAMES | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 12.73 | 0.00 | 12.73 | 90.0 | 11.46 |
| 03/19/2018 | BUTKUS, ROBERT | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 4.75 | 0.00 | 4.75 | 90.0 | 4.28 |
| 03/19/2018 | BUTKUS, ROBERT | GRIFFIN INST'L ACCESS R E FUND C | 0.00 | 14.24 | 0.00 | 14.24 | 90.0 | 12.82 |
| 03/20/2018 | ANDERSON, ELAINE | JANUS BALANCED FUND CLASS C | 0.00 | 3.04 | 0.00 | 3.04 | 90.0 | 2.74 |
| 03/16/2018 | ANDERSON, ELAINE | JH STRATEGIC INCOME A | 0.00 | 0.40 | 0.00 | 0.40 | 90.0 | 0.36 |
| 03/20/2018 | FIAMINGO, FRANK | OPPENHEIMER CAPITAL INCOME A | 0.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 03/21/2018 | ANDERSON, WILLIAM | PIMCO REAL RETURN C | 0.00 | 1.54 | 0.00 | 1.54 | 90.0 | 1.39 |
| 03/14/2018 | RYNEX, CAROL | WELLS FARGO DOW JONES TARGET 2035 F | 0.00 | 0.95 | 0.00 | 0.95 | 90.0 | 0.86 |
| 03/14/2018 | RYNEX, STEPHEN | WELLS FARGO PREMIER LG COMP GRWTH A | 0.00 | 0.56 | 0.00 | 0.56 | 90.0 | 0.50 |
| * DAN/MARC FT-C148 CHARLEY/LINS SUBTOTAL * | | | \$0.00 | \$153.34 | \$0.00 | \$153.34 | | \$138.03 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400 | | | | | | | | |
| 03/19/2018 | CARROLLTON, DONNA | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 1.54 | 0.00 | 1.54 | 90.0 | 1.39 |
| 03/19/2018 | CARROLLTON, STEVE | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 1.09 | 0.00 | 1.09 | 90.0 | 0.98 |
| * DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL * | | | \$0.00 | \$2.63 | \$0.00 | \$2.63 | | \$2.37 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$0.00 | \$155.97 | \$0.00 | \$155.97 | | \$140.40 |

| | | | | | | | | |
|----------------------------------|--|-----------------------------------|---------------|---------------|---------------|---------------|--|---------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 04/15/2018 | | *CREDIT CARD PYT: CREDIT CARD PYT | 0.00 | | | | | 580.00 |
| 04/15/2018 | | *EO INS: CURRENT MONTH INS | 0.00 | | | | | -300.00 |
| 04/15/2018 | | *PERSHING: C MGR SNYDERS-FIAMINGO | 0.00 | | | | | -5.00 |
| 04/15/2018 | | *TECH: CURRENT MONTH TECH | 0.00 | | | | | -275.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |

| | |
|--|----------|
| <u>BROKER COMMISSION TOTALS</u> | |
| Broker Transactions | \$0.00 |
| Split Transactions | \$140.40 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$140.40 |
| Year-to-date Gross | \$1,015.21 |
| Year-to-date Earnings | \$913.79 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed