



# COMMISSION STATEMENT

## May 21, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/15/2018	***-**-62UT - MAINVILLE UTMA ACCOUNT #: LSR011645	AMERICAN FUNDS INCOME PORT CL A BUY 14 Units @13.2400	180.00	9.00	0.00	9.00	70.0	6.30
05/15/2018	***-**-3281 - DEWITT JR, LEE ACCOUNT #: 02400113961	BALANCED STRATEGY FUND CLASS A BUY 8 Units @12.0200	100.00	2.00	0.00	2.00	70.0	1.40
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT INTRNTL RESPBL INDEX A-NFS BUY 1083 Units @24.1600	26,164.99	327.06	18.00	309.06	70.0	216.34
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT LONG-TERM INCOME FUND BUY 1068 Units @16.3400	17,443.00	218.03	18.00	200.03	70.0	140.02
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SMALL CAP VALUE FUND BUY 691 Units @25.2500	17,443.00	218.03	18.00	200.03	70.0	140.02
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INDEX A BUY 753 Units @23.1700	17,443.00	218.03	18.00	200.03	70.0	140.02
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 956 Units @45.6200	43,608.02	545.10	18.00	527.10	70.0	368.97
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT SOCIAL INVESTMENT FUND BOND BUY 2734 Units @15.9500	43,608.00	545.10	18.00	527.10	70.0	368.97
05/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 08351856019	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	100.00	4.75	0.00	4.75	70.0	3.33
05/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY CONSERVATIV ALLOCATION CL BUY 11 Units @12.3800	138.12	2.76	0.00	2.76	70.0	1.93
05/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY CONSERVATIV ALLOCATION CL BUY 70 Units @12.3800	865.58	17.31	0.00	17.31	70.0	12.12
05/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY MODERATE ALLOCATION CL A BUY 5 Units @13.9300	74.38	1.49	0.00	1.49	70.0	1.04
05/01/2018	***-**-8034 - DEBONIS, ALBERT ACCOUNT #: 00011265210	MAINSTAY MODERATE ALLOCATION CL A BUY 33 Units @13.9300	466.08	9.32	0.00	9.32	70.0	6.52
05/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 00100031714	OPPENHEIMER CORP. BOND FUND A BUY 0 Units @0.0000	50.00	2.00	0.00	2.00	70.0	1.40
05/15/2018	***-**-3987 - LINGE, MARY ACCOUNT #: 01952249841	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	50.00	2.38	0.00	2.38	70.0	1.67
05/09/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: 01293376129	WASHINGTON MUTUAL INVESTORS FUND-A BUY 6 Units @46.7400	260.92	4.17	0.00	4.17	70.0	2.92



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
* MUTUAL FUNDS SUBTOTAL *			\$167,995.09	\$2,126.53	\$108.00	\$2,018.53		\$1,412.97
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
05/21/2018	***-**-2471 - COLUCCI, ATHENA	AF MODERATE GROWTH AND INCOME-A	0.00	0.72	0.00	0.72	70.0	0.50
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
05/21/2018	***-**-53IO - GIL, OMER	AMCAP FUND-A	0.00	1.26	0.00	1.26	70.0	0.88
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
05/21/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	0.00	11.95	0.00	11.95	70.0	8.37
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
05/21/2018	***-**-53IO - MOSHER, JEANINE	AMCAP FUND-A	0.00	4.77	0.00	4.77	70.0	3.34
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
05/21/2018	***-**-091I - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	2.21	0.00	2.21	70.0	1.55
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
05/21/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	0.00	14.28	0.00	14.28	70.0	10.00
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
05/21/2018	***-**-53IO - MOSHER, JEANINE	AMERICAN BALANCED FUND-A	0.00	5.12	0.00	5.12	70.0	3.58
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
05/21/2018	***-**-91IR - GLASSFORD,	AMERICAN BALANCED FUND-A	0.00	0.97	0.00	0.97	70.0	0.68
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
05/21/2018	***-**-461I - FISCHER,	AMERICAN CORPORATE BOND FUND A	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: LSR012487	BUY 1 Units @0.0000						
05/21/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN CORPORATE BOND FUND A	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
05/21/2018	***-**-569K - ANDERSON,	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.62	0.00	0.62	70.0	0.43
	ACCOUNT #: LSR011745	BUY 1 Units @0.0000						
05/21/2018	***-**-24UT - FARKAS, ALLISYN	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	1.38	0.00	1.38	70.0	0.97
	ACCOUNT #: LSR011790	BUY 1 Units @0.0000						
05/21/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
05/21/2018	***-**-09IO - FRANCIS, TERESA	AMERICAN FUNDS GROWTH PORTFOLIO A	0.00	0.32	0.00	0.32	70.0	0.22
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
05/21/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	1.21	0.00	1.21	70.0	0.85
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-02IO - FISCHER, ALLYSE	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: LSR012367	BUY 1 Units @0.0000						
05/21/2018	***-**-09IO - FRANCIS, TERESA	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	0.60	0.00	0.60	70.0	0.42
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
05/21/2018	***-**-62UT - MAINVILLE UTMA	AMERICAN FUNDS INCOME PORT CL A	0.00	0.20	0.00	0.20	70.0	0.14
	ACCOUNT #: LSR011645	BUY 1 Units @0.0000						
05/21/2018	***-**-569K - ANDERSON,	AMERICAN FUNDS INCOME PORT CL A	0.00	0.54	0.00	0.54	70.0	0.38
	ACCOUNT #: LSR011745	BUY 1 Units @0.0000						
05/21/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
05/21/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	0.00	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
05/21/2018	***-**-24UT - FARKAS, ALLISYN	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.81	0.00	0.81	70.0	0.57
	ACCOUNT #: LSR011790	BUY 1 Units @0.0000						
05/21/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	0.00	0.96	0.00	0.96	70.0	0.67
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
05/21/2018	***-**-976J - KILLIAN, JAMES	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	0.85	0.00	0.85	70.0	0.60
	ACCOUNT #: LSR011547	BUY 1 Units @0.0000						
05/21/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	0.88	0.00	0.88	70.0	0.62
	ACCOUNT #: LSR011610	BUY 1 Units @0.0000						
05/21/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	1.20	0.00	1.20	70.0	0.84
	ACCOUNT #: LSR011898	BUY 1 Units @0.0000						
05/21/2018	***-**-953J - MOHR, AMELIA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	3.49	0.00	3.49	70.0	2.44
	ACCOUNT #: LSR011855	BUY 1 Units @0.0000						
05/21/2018	***-**-2447 - FISCHER, MAX	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	2.98	0.00	2.98	70.0	2.09
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
05/21/2018	***-**-091I - GLASSFORD,	AMERICAN HIGH-INCOME TRUST-A	0.00	1.84	0.00	1.84	70.0	1.29
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
05/21/2018	***-**-55IO - KOMAR, EDWARD	AMERICAN HIGH-INCOME TRUST-A	0.00	51.34	0.00	51.34	70.0	35.94
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
05/21/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	0.00	3.37	0.00	3.37	70.0	2.36
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	70.0	0.68
05/21/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	70.0	0.15
05/21/2018	***-**-247I - COLUCCI, ATHENA ACCOUNT #: LSR012592	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	70.0	0.03
05/21/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	0.97	0.00	0.97	70.0	0.68
05/21/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	48.86	0.00	48.86	70.0	34.20
05/21/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	14.59	0.00	14.59	70.0	10.21
05/21/2018	***-**-953J - MOHR, AMELIA ACCOUNT #: LSR011855	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	5.40	0.00	5.40	70.0	3.78
05/21/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	70.0	0.67
05/21/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	6.56	0.00	6.56	70.0	4.59
05/21/2018	***-**-2447 - FISCHER, MAX ACCOUNT #: LSR012557	AMERICAN TAX EXEMPT OF NEW YORK CL BUY 1 Units @0.0000	0.00	6.93	0.00	6.93	70.0	4.85
05/14/2018	***-**-328I - DEWITT JR, LEE ACCOUNT #: 02400113961	BALANCED STRATEGY FUND CLASS A BUY 0 Units @0.0000	0.00	3.67	0.00	3.67	70.0	2.57
05/16/2018	***-**-162K - LANGTON, ACCOUNT #: LSR012318	CALVERT 131582454 BUY 320 Units @27.2400	8,722.00	109.02	0.00	109.02	70.0	76.31
05/21/2018	***-**-032I - JOHNSON, DARLENE ACCOUNT #: LSR011358	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.41	0.00	2.41	70.0	1.69
05/21/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	46.35	0.00	46.35	70.0	32.45
05/21/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	52.68	0.00	52.68	70.0	36.88
05/21/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.31	0.00	3.31	70.0	2.32



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-792J - FITZGERALD, MARY	COLUMBIA MF TRAILS BUY 0 Units @0.0000	0.00	0.33	0.00	0.33	70.0	0.23
05/21/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	2.58	0.00	2.58	70.0	1.81
05/21/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.79	0.00	0.79	70.0	0.55
05/21/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	5.78	0.00	5.78	70.0	4.05
05/21/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.46	0.00	2.46	70.0	1.72
05/21/2018	***-**-39UT - CHAUVIN UTMA NY, ACCOUNT #: LSR011657	GLOBAL GROWTH PORTFOLIO-A BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	70.0	0.49
05/21/2018	***-**-02IO - FISCHER, ALLYSE ACCOUNT #: LSR012367	GLOBAL GROWTH PORTFOLIO-A BUY 1 Units @0.0000	0.00	1.03	0.00	1.03	70.0	0.72
05/21/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	INTERNATIONAL GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	70.0	0.15
05/21/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	0.76	0.00	0.76	70.0	0.53
05/21/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	OPP PORT SERIES: MOD INV FD A BUY 1 Units @0.0000	0.00	1.85	0.00	1.85	70.0	1.30
05/21/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER GLOBAL HIGH YIELD CL A BUY 1 Units @0.0000	0.00	2.03	0.00	2.03	70.0	1.42
05/21/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER SENIOR FLOATING RATE PL BUY 1 Units @0.0000	0.00	2.11	0.00	2.11	70.0	1.48
05/21/2018	***-**-637I - BRUSIE, DOROTHY ACCOUNT #: LSR011447	OPPENHEIMER STEELPATH MLP INC.FND C BUY 1 Units @0.0000	0.00	0.32	0.00	0.32	70.0	0.22
05/21/2018	***-**-09IO - FRANCIS, TERESA ACCOUNT #: LSR012472	PRESERVATION PORTFOLIO-A BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	70.0	0.12
05/21/2018	***-**-445J - NORTH, CHARLES ACCOUNT #: LSR011778	ROCHESTER FUND MUNICIPALS A BUY 1 Units @0.0000	0.00	17.91	0.00	17.91	70.0	12.54
05/21/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	SMALLCAP WORLD FUND-A BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	70.0	0.34



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-439I - DAILY, RONI	SMALLCAP WORLD FUND-A	0.00	3.32	0.00	3.32	70.0	2.32
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
05/21/2018	***-**-953J - MOHR, AMELIA	TAX-EXEMPT BOND FUND OF AMERICA	0.00	17.11	0.00	17.11	70.0	11.98
	ACCOUNT #: LSR011855	BUY 1 Units @0.0000						
05/21/2018	***-**-2447 - FISCHER, MAX	TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.92	0.00	9.92	70.0	6.94
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
05/21/2018	***-**-55IO - KOMAR, EDWARD	THE BOND FUND OF AMERICA-A	0.00	19.06	0.00	19.06	70.0	13.34
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
05/21/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	0.00	9.07	0.00	9.07	70.0	6.35
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
05/21/2018	***-**-53IO - MOSHER, JEANINE	THE BOND FUND OF AMERICA-A	0.00	4.00	0.00	4.00	70.0	2.80
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
05/21/2018	***-**-91IR - GLASSFORD,	THE BOND FUND OF AMERICA-A	0.00	2.86	0.00	2.86	70.0	2.00
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
05/21/2018	***-**-439I - DAILY, RONI	THE BOND FUND OF AMERICA-A	0.00	10.07	0.00	10.07	70.0	7.05
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
05/21/2018	***-**-60IN - TALBOT, JENNIFER	THE GROWTH FUND OF AMERICA-A	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: LSR011386	BUY 1 Units @0.0000						
05/21/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	0.00	8.24	0.00	8.24	70.0	5.77
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
05/21/2018	***-**-439I - DAILY, RONI	THE GROWTH FUND OF AMERICA-A	0.00	6.55	0.00	6.55	70.0	4.59
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
05/21/2018	***-**-2471 - COLUCCI, ATHENA	THE GROWTH FUND OF AMERICA-A	0.00	0.21	0.00	0.21	70.0	0.15
	ACCOUNT #: LSR012592	BUY 1 Units @0.0000						
05/21/2018	***-**-032I - JOHNSON, DARLENE	THE INCOME FUND OF AMERICA-A	0.00	2.70	0.00	2.70	70.0	1.89
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						
05/21/2018	***-**-53IO - GIL, OMER	THE INCOME FUND OF AMERICA-A	0.00	0.46	0.00	0.46	70.0	0.32
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
05/21/2018	***-**-55IO - KOMAR, EDWARD	THE INCOME FUND OF AMERICA-A	0.00	26.68	0.00	26.68	70.0	18.68
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
05/21/2018	***-**-953J - MOHR, AMELIA	THE INCOME FUND OF AMERICA-A	0.00	8.84	0.00	8.84	70.0	6.19
	ACCOUNT #: LSR011855	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

## May 21, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/21/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	70.0	1.02
05/21/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	70.0	0.38
05/21/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR012592	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	70.0	0.08
05/21/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	2.57	0.00	2.57	70.0	1.80
05/21/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	1.28	0.00	1.28	70.0	0.90
05/21/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	3.26	0.00	3.26	70.0	2.28
05/21/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	11.61	0.00	11.61	70.0	8.13
05/21/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	70.0	0.60
05/21/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR012592	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	70.0	0.18
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$8,722.00</b>	<b>\$612.49</b>	<b>\$0.00</b>	<b>\$612.49</b>		<b>\$428.81</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$176,717.09</b>	<b>\$2,739.02</b>	<b>\$108.00</b>	<b>\$2,631.02</b>		<b>\$1,841.78</b>



BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						<b>BROKER COMMISSION TOTALS</b>		
						Broker Transactions		\$1,841.78
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$26.17
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,867.95
						Year-to-date Earnings		\$25,138.65