



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/16/2018	***-**-36IR - LEONE-WHITTLE, ACCOUNT #: 00068471550	AMERICAN BALANCED FUND-C BUY 2 Units @27.5300	50.00	0.50	0.00	0.50	95.0	0.48
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$0.50	\$0.00	\$0.50		\$0.48
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	***-**-47IN - CUTTITA, DIMITRI ACCOUNT #: 03809665049	AIM CASH RESERVE SHARES BUY 0 Units @0.0000	0.00	5.64	0.00	5.64	95.0	5.36
01/01/2018	***-**-647I - WOLFE, MARY ACCOUNT #: 05010859469	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	5.77	0.00	5.77	95.0	5.48
01/01/2018	***-**-304I - COLE, REBECCA ACCOUNT #: 05037871018	AIM CHARTER FUND - CLASS A BUY 0 Units @0.0000	0.00	0.72	0.00	0.72	95.0	0.68
01/01/2018	***-**-41UG - GALIANO, ACCOUNT #: 03909166716	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	95.0	0.11
01/01/2018	***-**-38IR - MALONE, KELLEY ACCOUNT #: 05033603886	AIM GROWTH ALLOCATION FUND - CLASS BUY 0 Units @0.0000	0.00	28.51	0.00	28.51	95.0	27.08
01/01/2018	***-**-47IR - MALONE, MICHAEL ACCOUNT #: 03910978265	AIM INTERNATIONAL GROWTH FUND - CL BUY 0 Units @0.0000	0.00	0.25	0.00	0.25	95.0	0.24
01/08/2018	***-**-25IN - HUGHES, SHIRLEY ACCOUNT #: 09500003132	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 0 Units @0.0000	0.00	8.19	0.00	8.19	95.0	7.78
01/08/2018	***-**-25IN - HUGHES, SHIRLEY ACCOUNT #: 05200085306	ALLIANCEBERNSTEIN INTERMEDIATE BOND BUY 0 Units @0.0000	0.00	8.52	0.00	8.52	95.0	8.09
01/10/2018	***-**-926I - MEDLEY, MARY ACCOUNT #: 00006835005	CALVERT SMALL CAP VALUE FUND BUY 0 Units @0.0000	0.00	9.67	0.00	9.67	95.0	9.19
01/22/2018	***-**-43IN - AGLE, PATRICIA ACCOUNT #: 08694665062	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	28.32	0.00	28.32	95.0	26.90
01/22/2018	***-**-43IN - AGLE, PATRICIA ACCOUNT #: 08694754607	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	38.96	0.00	38.96	95.0	37.01
01/22/2018	***-**-613I - ALESSI, JOSEPH ACCOUNT #: 08694774435	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	2.93	0.00	2.93	95.0	2.78
01/22/2018	***-**-613I - ALESSI, JOSEPH ACCOUNT #: 08694774435	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	11.20	0.00	11.20	95.0	10.64
01/22/2018	***-**-919I - KITSON, THOMAS ACCOUNT #: 08695012229	COLUMBIA FUNDS MF TRAILS BUY 0 Units @0.0000	0.00	6.37	0.00	6.37	95.0	6.05



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/08/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	0.55	0.00	0.55	95.0	0.52
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
01/08/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.19	0.00	1.19	95.0	1.13
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
01/08/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADVISOR GROWTH AND INCOME	0.00	1.67	0.00	1.67	95.0	1.59
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
01/01/2018	***-**-47IR - MALONE, MICHAEL	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	0.03	0.00	0.03	95.0	0.03
	ACCOUNT #: 03910978265	BUY 0 Units @0.0000						
01/01/2018	***-**-20IN - CASTNER, JANICE	MFS CORE EQUITY FUND-A	0.00	0.44	0.00	0.44	95.0	0.42
	ACCOUNT #: 08400038643	BUY 0 Units @0.0000						
01/22/2018	***-**-00IN - LAMENDOLA,	NUVEEN MF TRAILS	0.00	11.86	0.00	11.86	95.0	11.27
		BUY 0 Units @0.0000						
01/02/2018	***-**-59IN - JOHNSON, WILLIAM	PUTNAM NEW OPPORTUNITIES FUND CLASS	0.00	3.82	0.00	3.82	95.0	3.63
	ACCOUNT #: 00020405739	BUY 0 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$174.73	\$0.00	\$174.73		\$165.98
	*** BROKER TRANSACTIONS TOTAL ***		\$50.00	\$175.23	\$0.00	\$175.23		\$166.46

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

01/22/2018	***-**-108X - FOREST, NSPS	AMCAP FUND-A	0.00	1.11	0.00	1.11	20.0	0.22
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
01/08/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @29.2200						
01/08/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 3 Units @28.5400						
01/08/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @28.9900						
01/08/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @29.2200						
01/10/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @28.4900						



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/10/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	400.00	11.00	0.00	11.00	20.0	2.20
	ACCOUNT #: 00087316793	BUY 14 Units @28.4900						
01/12/2018	***-**-2146 - JONES, KELLIE	AMERICAN BALANCED FUND-A	100.00	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: 00087644930	BUY 3 Units @29.4300						
01/16/2018	***-**-641X - BULLARO, FBO	AMERICAN BALANCED FUND-A	324.99	16.25	0.00	16.25	20.0	3.25
	ACCOUNT #: LSR010641	BUY 11 Units @29.4000						
01/16/2018	***-**-435X - KEITH, FBO	AMERICAN BALANCED FUND-A	324.99	16.25	0.00	16.25	20.0	3.25
	ACCOUNT #: LSR010643	BUY 11 Units @29.4000						
01/16/2018	***-**-721X - VAILLANCOURT,	AMERICAN BALANCED FUND-A	99.99	5.00	0.00	5.00	20.0	1.00
	ACCOUNT #: LSR010644	BUY 3 Units @29.4000						
01/16/2018	***-**-008X - HICKS, FBO	AMERICAN BALANCED FUND-A	474.99	23.75	0.00	23.75	20.0	4.75
	ACCOUNT #: LSR010645	BUY 16 Units @29.4000						
01/16/2018	***-**-8906 - ISBELL,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00073594886	BUY 2 Units @28.7200						
01/16/2018	***-**-3143 - HUMPF, JOHN	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00082277557	BUY 2 Units @28.7200						
01/16/2018	***-**-7598 - HUMPF, MINDI	AMERICAN BALANCED FUND-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00082278912	BUY 3 Units @28.7200						
01/17/2018	***-**-4906 - VAN ALSTINE,	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00086106101	BUY 2 Units @29.5900						
01/22/2018	***-**-78IO - DONOVAN, FBO	AMERICAN BALANCED FUND-A	0.00	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: LSR010557	BUY 1 Units @0.0000						
01/22/2018	***-**-190X - HULL, JENNIFER	AMERICAN BALANCED FUND-A	0.00	0.24	0.00	0.24	20.0	0.05
	ACCOUNT #: LSR010646	BUY 1 Units @0.0000						
01/22/2018	***-**-09IO - CAREY, FBO	AMERICAN BALANCED FUND-A	0.00	5.39	0.00	5.39	20.0	1.08
	ACCOUNT #: LSR011076	BUY 1 Units @0.0000						
01/22/2018	***-**-108X - FOREST, NSPS	AMERICAN BALANCED FUND-A	0.00	4.25	0.00	4.25	20.0	0.85
	ACCOUNT #: LSR010522	BUY 1 Units @0.0000						
01/22/2018	***-**-653X - WERNER, FBO EVAN	AMERICAN BALANCED FUND-A	0.00	1.17	0.00	1.17	20.0	0.23
	ACCOUNT #: LSR010638	BUY 1 Units @0.0000						
01/22/2018	***-**-641X - BULLARO, FBO	AMERICAN BALANCED FUND-A	0.00	0.55	0.00	0.55	20.0	0.11
	ACCOUNT #: LSR010641	BUY 1 Units @0.0000						



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/22/2018	***-**-435X - KEITH, FBO ACCOUNT #: LSR010643	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	20.0	0.11
01/22/2018	***-**-721X - VAILLANCOURT, ACCOUNT #: LSR010644	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.17	0.00	0.17	20.0	0.03
01/22/2018	***-**-008X - HICKS, FBO ACCOUNT #: LSR010645	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	20.0	0.16
01/22/2018	***-**-653I - WERNER, EVAN ACCOUNT #: LSR010661	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.52	0.00	2.52	20.0	0.50
01/22/2018	***-**-051R - DAVIS, STEPHEN ACCOUNT #: LSR010693	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	20.0	0.37
01/22/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	6.47	0.00	6.47	20.0	1.29
01/22/2018	***-**-091O - CAREY, FBO ACCOUNT #: LSR011076	AMERICAN FUNDS 2035 TARGET DATE-A BUY 1 Units @0.0000	0.00	5.13	0.00	5.13	20.0	1.03
01/22/2018	***-**-091R - CAREY, FBO ACCOUNT #: LSR011102	AMERICAN FUNDS 2035 TARGET DATE-A BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	20.0	0.03
01/08/2018	***-**-9037 - NOURSE, STACIA ACCOUNT #: 04000247689	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.8500	50.00	1.50	0.00	1.50	20.0	0.30
01/08/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.8200	25.00	0.69	0.00	0.69	20.0	0.14
01/08/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 2 Units @10.8200	25.50	0.70	0.00	0.70	20.0	0.14
01/08/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.8500	52.50	1.58	0.00	1.58	20.0	0.32
01/10/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.8000	100.00	2.75	0.00	2.75	20.0	0.55
01/10/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	AMERICAN HIGH-INCOME TRUST-A BUY 15 Units @10.8300	165.00	4.95	0.00	4.95	20.0	0.99
01/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.8200	50.00	1.38	0.00	1.38	20.0	0.28
01/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.8200	50.00	1.38	0.00	1.38	20.0	0.28



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/22/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.67	0.00	0.67	20.0	0.13
01/22/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	2.63	0.00	2.63	20.0	0.53
01/22/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	4.80	0.00	4.80	20.0	0.96
01/22/2018	***-**-336J - GETCHELL, DIANNE ACCOUNT #: LSR011100	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.21	0.00	0.21	20.0	0.04
01/22/2018	***-**-336I - GETCHELL, FBO ACCOUNT #: LSR011101	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.30	0.00	0.30	20.0	0.06
01/22/2018	***-**-336J - GETCHELL, DIANNE ACCOUNT #: LSR011100	AMERICAN TAX EXEMPT OF NEW YORK CL BUY 1 Units @0.0000	0.00	0.23	0.00	0.23	20.0	0.05
01/10/2018	***-**-5152 - DUNN, MICHELE ACCOUNT #: 00087316793	CAPITAL INCOME BUILDER-A BUY 6 Units @65.6100	400.00	11.00	0.00	11.00	20.0	2.20
01/22/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.69	0.00	2.69	20.0	0.54
01/22/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	CAPITAL WORLD BOND FUND-A BUY 1 Units @0.0000	0.00	1.74	0.00	1.74	20.0	0.35
01/08/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @54.3600	25.00	0.69	0.00	0.69	20.0	0.14
01/08/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @54.3600	31.50	0.87	0.00	0.87	20.0	0.17
01/08/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.2200	37.50	1.59	0.00	1.59	20.0	0.32
01/10/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.2900	100.00	2.75	0.00	2.75	20.0	0.55
01/10/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @54.8600	165.00	6.19	0.00	6.19	20.0	1.24
01/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.0300	50.00	1.38	0.00	1.38	20.0	0.28
01/18/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.2500	50.00	1.38	0.00	1.38	20.0	0.28



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/22/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.49	0.00	3.49	20.0	0.70
01/22/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	20.0	0.25
01/22/2018	***-**-653I - WERNER, EVAN ACCOUNT #: LSR010661	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	20.0	0.37
01/22/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.64	0.00	3.64	20.0	0.73
01/08/2018	***-**-3655 - MENNIG, LISA	EUROPACIFIC GROWTH FUND-A BUY 0 Units @0.0000	2,500.00	106.25	0.00	106.25	20.0	21.25
01/08/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	EUROPACIFIC GROWTH FUND-A BUY 1 Units @59.9200	31.50	0.87	0.00	0.87	20.0	0.17
01/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	EUROPACIFIC GROWTH FUND-A BUY 1 Units @60.6700	50.00	1.38	0.00	1.38	20.0	0.28
01/18/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	EUROPACIFIC GROWTH FUND-A BUY 1 Units @60.9600	50.00	1.38	0.00	1.38	20.0	0.28
01/08/2018	***-**-3655 - MENNIG, LISA	FUNDAMENTAL INVESTORS-A BUY 0 Units @0.0000	1,800.00	76.50	0.00	76.50	20.0	15.30
01/18/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	FUNDAMENTAL INVESTORS-A BUY 1 Units @67.7700	50.00	1.38	0.00	1.38	20.0	0.28
01/22/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	1.16	0.00	1.16	20.0	0.23
01/22/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	3.25	0.00	3.25	20.0	0.65
01/16/2018	***-**-46IR - SWANCOTT, KELLIE ACCOUNT #: LSR010508	INVESCO VAN KAMPEN EQUITY AND INCOM BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	20.0	0.07
01/16/2018	***-**-46IR - SWANCOTT, KELLIE ACCOUNT #: LSR010508	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	0.55	0.00	0.55	20.0	0.11
01/01/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00060558718	MASSACHUSETTS INVESTORS TRUST-A BUY 0 Units @0.0000	0.00	4.85	0.00	4.85	20.0	0.97
01/08/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	SMALLCAP WORLD FUND-A BUY 0 Units @60.1400	22.50	0.96	0.00	0.96	20.0	0.19



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
01/08/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 7 Units @13.3200	90.75	2.50	0.00	2.50	20.0	0.50
01/22/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	20.0	0.40
01/22/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.24	0.00	2.24	20.0	0.45
01/08/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.0900	93.50	2.57	0.00	2.57	20.0	0.51
01/08/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.0900	25.00	0.69	0.00	0.69	20.0	0.14
01/08/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.0900	36.00	0.99	0.00	0.99	20.0	0.20
01/08/2018	***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757	THE GROWTH FUND OF AMERICA-A BUY 22 Units @53.9300	1,200.00	51.00	0.00	51.00	20.0	10.20
01/08/2018	***-**-3655 - MENNIG, LISA ACCOUNT #: 00087778757	THE GROWTH FUND OF AMERICA-A BUY 74 Units @53.9300	4,000.00	170.00	0.00	170.00	20.0	34.00
01/10/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.0800	175.00	4.81	0.00	4.81	20.0	0.96
01/10/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.6300	170.00	6.38	0.00	6.38	20.0	1.28
01/16/2018	***-**-3143 - HUMPF, JOHN ACCOUNT #: 00082277557	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8200	70.00	1.93	0.00	1.93	20.0	0.39
01/16/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00082278912	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.8200	133.00	3.66	0.00	3.66	20.0	0.73
01/16/2018	***-**-9089 - REEDY, LISA ACCOUNT #: 00083100942	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.8200	50.00	1.38	0.00	1.38	20.0	0.28
01/18/2018	***-**-989I - WOODCOCK, ACCOUNT #: 00083279090	THE GROWTH FUND OF AMERICA-A BUY 1 Units @54.3200	50.00	1.38	0.00	1.38	20.0	0.28
01/22/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.97	0.00	5.97	20.0	1.19
01/22/2018	***-**-653X - WERNER, FBO EVAN ACCOUNT #: LSR010638	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	20.0	0.23



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
01/22/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.21	0.00	3.21	20.0	0.64
01/22/2018	***-**-722I - SCOONES, FBO ACCOUNT #: LSR010722	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.35	0.00	5.35	20.0	1.07
01/22/2018	***-**-149X - PTAK, MATTHEW ACCOUNT #: LSR011220	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.13	0.00	0.13	20.0	0.03
01/08/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.5300	25.00	0.69	0.00	0.69	20.0	0.14
01/08/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.5300	25.50	0.70	0.00	0.70	20.0	0.14
01/08/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	THE INCOME FUND OF AMERICA-A BUY 2 Units @25.1100	60.00	3.00	0.00	3.00	20.0	0.60
01/12/2018	***-**-2146 - JONES, KELLIE ACCOUNT #: 00087644930	THE INCOME FUND OF AMERICA-A BUY 4 Units @25.3100	100.00	5.00	0.00	5.00	20.0	1.00
01/22/2018	***-**-09IO - CAREY, FBO ACCOUNT #: LSR011076	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.34	0.00	5.34	20.0	1.07
01/22/2018	***-**-108X - FOREST, NSPS ACCOUNT #: LSR010522	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	4.73	0.00	4.73	20.0	0.95
01/22/2018	***-**-595X - WERNER, FBO ACCOUNT #: LSR010640	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	20.0	0.24
01/22/2018	***-**-595I - WERNER, GWENN ACCOUNT #: LSR010658	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.82	0.00	2.82	20.0	0.56
01/22/2018	***-**-336I - GETCHELL, FBO ACCOUNT #: LSR011101	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	20.0	0.07
01/08/2018	***-**-3655 - MENNIG, LISA	THE NEW ECONOMY FUND-A BUY 0 Units @0.0000	2,500.00	106.25	0.00	106.25	20.0	21.25
* RONALD DRAKE SUBTOTAL *			\$17,022.96	\$789.90	\$0.00	\$789.90		\$158.04
<u> BROKER: RONALD CIOTOLI </u>								
01/08/2018	***-**-923I - MILLER, DENISE ACCOUNT #: 00068752100	AMERICAN BALANCED FUND-A BUY 3 Units @28.8400	100.00	3.75	0.00	3.75	35.0	1.31
01/08/2018	***-**-918I - GORDON, DIANE ACCOUNT #: 00084350764	AMERICAN MUTUAL FUND-A BUY 2 Units @43.7900	75.00	3.19	0.00	3.19	35.0	1.12



COMMISSION STATEMENT

January 22, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD CIOTOLI (continued)</u>								
01/19/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 8 Units @24.5900	190.00	3.80	0.00	3.80	35.0	1.33
01/19/2018	***-**-5000 - CRAWFORD, ACCOUNT #: 00067346436	THE INCOME FUND OF AMERICA-A BUY 2 Units @24.5900	57.00	1.14	0.00	1.14	35.0	0.40
* RONALD CIOTOLI SUBTOTAL *			<u>\$422.00</u>	<u>\$11.88</u>	<u>\$0.00</u>	<u>\$11.88</u>		<u>\$4.16</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$17,444.96</u>	<u>\$801.78</u>	<u>\$0.00</u>	<u>\$801.78</u>		<u>\$162.20</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$166.46
Split Transactions	\$0.00
Override Transactions	\$162.20
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$328.66
Year-to-date Earnings	\$1,278.18