

## COMMISSION STATEMENT

July 31, 2017

JOHN KILLEEN  
306 SCHUNNEMUNK STREETBROKER #: 43  
BRANCH: HOME OFFICE

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

06/23/2017	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
06/23/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.00
06/23/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.00
06/23/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.00
06/23/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.00
06/23/2017	00063329982	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	371.07	1.86	0.00	1.86	86.0	0.00
06/23/2017	00063329982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	494.76	2.47	0.00	2.47	86.0	0.00
06/23/2017	00063362305	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
06/23/2017	00063362305	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
06/23/2017	00063362311	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.00
06/23/2017	00063362311	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	00063362311	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.00
06/23/2017	00063795979	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
06/23/2017	00064217122	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.00
05/30/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
05/30/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
05/30/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/08/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/08/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
06/08/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/12/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/12/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
06/12/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/19/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/19/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	37.69	0.75	0.00	0.75	86.0	0.00
06/19/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	28.28	0.57	0.00	0.57	86.0	0.00
06/23/2017	00068080343	HEGARTY, EILEEN	AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	00068080343	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	0.00
06/23/2017	00068080343	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	00068086982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.00
06/23/2017	00070001812	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.00

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
06/23/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.00
06/23/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.00
06/23/2017	00070005362	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10	86.0	0.00
06/23/2017	00070005678	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.00
06/23/2017	00070005678	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	0.00
06/06/2017	00085194199	VURNO, MARLENE							
		AMERICAN BALANCED FUND-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/06/2017	00085194199	VURNO, MARLENE							
		AMERICAN FUND-45956T105	B	5,332.68	146.65	0.00	146.65	86.0	126.12
06/06/2017	00085194199	VURNO, MARLENE							
		AMERICAN MUTUAL FUND-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/06/2017	00085194199	VURNO, MARLENE							
		CAPITAL INCOME BUILDER-A	B	5,332.68	146.65	0.00	146.65	86.0	126.12
06/06/2017	00085194199	VURNO, MARLENE							
		CAPITAL WORLD GROWTH AND INCOME FUN	B	5,332.73	146.65	0.00	146.65	86.0	126.12
06/06/2017	00085194199	VURNO, MARLENE							
		EUROPACIFIC GROWTH FUND-A	B	5,332.68	146.65	0.00	146.65	86.0	126.12
06/06/2017	00085194199	VURNO, MARLENE							
		FUNDAMENTAL INVESTORS-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/06/2017	00085194199	VURNO, MARLENE							
		NEW PERSPECTIVE FUND-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/06/2017	00085194199	VURNO, MARLENE							
		NEW WORLD FUND-A	B	5,332.68	146.65	0.00	146.65	86.0	126.12
06/06/2017	00085194199	VURNO, MARLENE							
		SMALLCAP WORLD FUND-A	B	5,332.68	146.65	0.00	146.65	86.0	126.12
06/06/2017	00085194199	VURNO, MARLENE							
		THE GROWTH FUND OF AMERICA-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/06/2017	00085194199	VURNO, MARLENE							
		THE INCOME FUND OF AMERICA-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/06/2017	00085194199	VURNO, MARLENE							
		THE INVESTMENT COMPANY OF AMERICA-A	B	10,665.37	293.30	0.00	293.30	86.0	252.24
06/23/2017	00086545644	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.00
06/23/2017	00087384416	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	0.00
06/23/2017	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22	86.0	0.00
06/23/2017	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.00
06/23/2017	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.00
06/23/2017	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.00
06/23/2017	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	0.00
06/23/2017	00088877759	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	180.58	0.90	0.00	0.90	86.0	0.00
06/23/2017	00089378259	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.00
06/23/2017	00089378259	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
06/23/2017	00089378259	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.00
06/23/2017	00089378412	HEGARTY, EILEEN							
		AMCAP FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.00
06/15/2017	00089545290	RONGA, DANIEL							
		THE GROWTH FUND OF AMERICA-A	B	200.00	8.50	0.00	8.50	86.0	0.00
06/23/2017	00089817576	EVANYKE, JUDITH							
		THE GROWTH FUND OF AMERICA-A	B	3,000.00	112.50	0.00	112.50	86.0	96.75
06/23/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.00
06/23/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.00
06/23/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.00
06/23/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$116,906.97</b>	<b>\$3,094.95</b>	<b>\$0.00</b>	<b>\$3,094.95</b>		<b>\$2,619.15</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2017	00059853123	NAME*, *PART		NEW PERSPECTIVE FUND-A	B	0.00	1.65	0.00	1.65	86.0	1.42
06/01/2017	00059924728	NAME*, *PART		NEW PERSPECTIVE FUND-A	B	0.00	2.58	0.00	2.58	86.0	2.22
06/01/2017	00060463223	NAME*, *PART		NEW PERSPECTIVE FUND-A	B	0.00	0.48	0.00	0.48	86.0	0.00
06/01/2017	00060463223	NAME*, *PART		THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
06/01/2017	00061358562	NAME*, *PART		THE INCOME FUND OF AMERICA-A	B	0.00	0.33	0.00	0.33	86.0	0.00
06/01/2017	00061663272	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	171.47	0.00	171.47	86.0	147.46
06/01/2017	00061663272	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	167.53	0.00	167.53	86.0	144.08
06/01/2017	00061663272	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	142.52	0.00	142.52	86.0	122.57
06/01/2017	00061663272	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	46.61	0.00	46.61	86.0	40.08
06/01/2017	00061663272	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	57.40	0.00	57.40	86.0	49.36
06/01/2017	00061663273	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	228.25	0.00	228.25	86.0	196.30
06/01/2017	00061663273	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	216.94	0.00	216.94	86.0	186.57
06/01/2017	00061663273	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	164.01	0.00	164.01	86.0	141.05
06/01/2017	00061663273	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	67.66	0.00	67.66	86.0	58.19
06/01/2017	00061663273	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	89.41	0.00	89.41	86.0	76.89
06/01/2017	00061736606	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	118.02	0.00	118.02	86.0	101.50
06/01/2017	00061736606	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	59.08	0.00	59.08	86.0	50.81
06/01/2017	00061736607	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	78.20	0.00	78.20	86.0	67.25
06/01/2017	00061736607	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	39.10	0.00	39.10	86.0	33.63
06/01/2017	00061736608	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	133.42	0.00	133.42	86.0	114.74
06/01/2017	00061736608	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	132.39	0.00	132.39	86.0	113.86
06/01/2017	00061736609	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	89.57	0.00	89.57	86.0	77.03
06/01/2017	00061736609	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	89.54	0.00	89.54	86.0	77.00
06/01/2017	00061736612	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00061736612	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.03	0.00	0.03	86.0	0.00
06/01/2017	00061736612	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
06/01/2017	00061736614	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	69.79	0.00	69.79	86.0	60.02
06/01/2017	00061736614	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	15.83	0.00	15.83	86.0	13.61
06/01/2017	00061736614	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	169.88	0.00	169.88	86.0	146.10
06/01/2017	00061736614	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	33.02	0.00	33.02	86.0	28.40
06/01/2017	00061736614	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	78.24	0.00	78.24	86.0	67.29
06/01/2017	00061736614	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	56.05	0.00	56.05	86.0	48.20
06/01/2017	00061736614	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	66.85	0.00	66.85	86.0	57.49
06/01/2017	00061736615	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	111.89	0.00	111.89	86.0	96.23
06/01/2017	00061736615	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	20.69	0.00	20.69	86.0	17.79
06/01/2017	00061736615	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	173.80	0.00	173.80	86.0	149.47
06/01/2017	00061736615	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	46.13	0.00	46.13	86.0	39.67
06/01/2017	00061736615	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	119.21	0.00	119.21	86.0	102.52
06/01/2017	00061736615	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	92.41	0.00	92.41	86.0	79.47
06/01/2017	00061736615	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	105.75	0.00	105.75	86.0	90.95

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00061736616	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	14.38	0.00	14.38	86.0	12.37
06/01/2017	00061736616	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	80.86	0.00	80.86	86.0	69.54
06/01/2017	00061736616	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	12.17	0.00	12.17	86.0	10.47
06/01/2017	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	58.75	0.00	58.75	86.0	50.53
06/01/2017	00061736616	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	6.20	0.00	6.20	86.0	5.33
06/01/2017	00061736616	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	14.43	0.00	14.43	86.0	12.41
06/01/2017	00061736616	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	8.39	0.00	8.39	86.0	7.22
06/01/2017	00061736617	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	11.12	0.00	11.12	86.0	9.56
06/01/2017	00061736617	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	76.37	0.00	76.37	86.0	65.68
06/01/2017	00061736617	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	8.70	0.00	8.70	86.0	7.48
06/01/2017	00061736617	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	54.60	0.00	54.60	86.0	46.96
06/01/2017	00061736617	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	6.71	0.00	6.71	86.0	5.77
06/01/2017	00061736617	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	10.25	0.00	10.25	86.0	8.82
06/01/2017	00061736617	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	6.48	0.00	6.48	86.0	5.57
06/01/2017	00062406273	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	2.14	0.00	2.14	86.0	1.84
06/01/2017	00062406273	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.80	0.00	0.80	86.0	0.00
06/01/2017	00062406273	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.82	0.00	0.82	86.0	0.00
06/01/2017	00062406275	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	3.44	0.00	3.44	86.0	2.96
06/01/2017	00062406275	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	86.0	1.09
06/01/2017	00062406275	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.37	0.00	1.37	86.0	1.18
06/01/2017	00062406277	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.44	0.00	0.44	86.0	0.00
06/01/2017	00062406277	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
06/01/2017	00062734904	BATISTA, FRANCISCO	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	1.37	0.00	1.37	86.0	1.18
06/01/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	16.60	0.00	16.60	86.0	14.28
06/01/2017	00062829276	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	40.79	0.00	40.79	86.0	35.08
06/01/2017	00062829276	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.14	0.00	1.14	86.0	0.00
06/01/2017	00062829276	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	3.44	0.00	3.44	86.0	2.96
06/01/2017	00062829276	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.20	0.00	3.20	86.0	2.75
06/01/2017	00062829276	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	25.19	0.00	25.19	86.0	21.66
06/01/2017	00062829276	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	28.98	0.00	28.98	86.0	24.92
06/01/2017	00062829277	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	19.77	0.00	19.77	86.0	17.00
06/01/2017	00062829277	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	72.05	0.00	72.05	86.0	61.96
06/01/2017	00062829277	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	22.13	0.00	22.13	86.0	19.03
06/01/2017	00062829277	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.29	0.00	1.29	86.0	1.11
06/01/2017	00062829277	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	5.81	0.00	5.81	86.0	5.00
06/01/2017	00062829277	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	44.35	0.00	44.35	86.0	38.14
06/01/2017	00062829277	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	71.30	0.00	71.30	86.0	61.32
06/01/2017	00063329982	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	3.69	0.00	3.69	86.0	3.17
06/01/2017	00063329982	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	3.12	0.00	3.12	86.0	2.68
06/01/2017	00063329982	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	4.15	0.00	4.15	86.0	3.57

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	5.83	0.00	5.83 86.0 5.01
06/01/2017	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	71.82	0.00	71.82 86.0 61.77
06/01/2017	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	43.72	0.00	43.72 86.0 37.60
06/01/2017	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	56.16	0.00	56.16 86.0 48.30
06/01/2017	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	76.54	0.00	76.54 86.0 65.82
06/01/2017	00063362305	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.22	0.00	3.22 86.0 2.77
06/01/2017	00063362305	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	4.16	0.00	4.16 86.0 3.58
06/01/2017	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	73.31	0.00	73.31 86.0 63.05
06/01/2017	00063362306	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	3.63	0.00	3.63 86.0 3.12
06/01/2017	00063362306	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	12.32	0.00	12.32 86.0 10.60
06/01/2017	00063362306	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.57	0.00	0.57 86.0 0.00
06/01/2017	00063362306	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.54	0.00	3.54 86.0 3.04
06/01/2017	00063362306	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.95	0.00	0.95 86.0 0.00
06/01/2017	00063362306	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.39	0.00	5.39 86.0 4.64
06/01/2017	00063362310	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	64.64	0.00	64.64 86.0 55.59
06/01/2017	00063362310	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	30.11	0.00	30.11 86.0 25.89
06/01/2017	00063362310	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	1.08	0.00	1.08 86.0 0.00
06/01/2017	00063362311	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	128.70	0.00	128.70 86.0 110.68
06/01/2017	00063362311	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	113.33	0.00	113.33 86.0 97.46
06/01/2017	00063362311	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	93.23	0.00	93.23 86.0 80.18
06/01/2017	00063362311	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	42.58	0.00	42.58 86.0 36.62
06/01/2017	00063795979	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	142.41	0.00	142.41 86.0 122.47
06/01/2017	00063795979	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	10.35	0.00	10.35 86.0 8.90
06/01/2017	00063795979	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	121.35	0.00	121.35 86.0 104.36
06/01/2017	00063917150	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	43.22	0.00	43.22 86.0 37.17
06/01/2017	00063917150	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	24.97	0.00	24.97 86.0 21.47
06/01/2017	00063917150	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	37.10	0.00	37.10 86.0 31.91
06/01/2017	00063917150	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	46.30	0.00	46.30 86.0 39.82
06/01/2017	00063917150	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.74	0.00	0.74 86.0 0.00
06/01/2017	00063917150	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	5.58	0.00	5.58 86.0 4.80
06/01/2017	00063917150	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	46.58	0.00	46.58 86.0 40.06
06/01/2017	00063917151	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.09	0.00	2.09 86.0 1.80
06/01/2017	00063917151	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	4.19	0.00	4.19 86.0 3.60
06/01/2017	00063917151	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.09	0.00	0.09 86.0 0.00
06/01/2017	00063917151	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.08	0.00	2.08 86.0 1.79
06/01/2017	00063917151	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.14	0.00	0.14 86.0 0.00
06/01/2017	00063917151	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.01	0.00	3.01 86.0 2.59
06/01/2017	00063917153	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.36	0.00	2.36 86.0 2.03
06/01/2017	00063917155	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	49.06	0.00	49.06 86.0 42.19
06/01/2017	00063917155	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	22.37	0.00	22.37 86.0 19.24

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00063917156	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	121.74	0.00	121.74 86.0 104.70
06/01/2017	00063917156	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	100.35	0.00	100.35 86.0 86.30
06/01/2017	00063917156	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	14.89	0.00	14.89 86.0 12.81
06/01/2017	00063917156	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	82.86	0.00	82.86 86.0 71.26
06/01/2017	00063917156	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	66.24	0.00	66.24 86.0 56.97
06/01/2017	00064217122	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	26.00	0.00	26.00 86.0 22.36
06/01/2017	00064217122	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	11.77	0.00	11.77 86.0 10.12
06/01/2017	00064217122	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	17.17	0.00	17.17 86.0 14.77
06/01/2017	00064217123	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.49	0.00	2.49 86.0 2.14
06/01/2017	00064217123	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	8.41	0.00	8.41 86.0 7.23
06/01/2017	00064217123	HEGARTY, EILEEN		NEW WORLD FUND-A	B	0.00	0.93	0.00	0.93 86.0 0.00
06/01/2017	00064217123	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	7.63	0.00	7.63 86.0 6.56
06/01/2017	00064217123	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	3.07	0.00	3.07 86.0 2.64
06/01/2017	00064217123	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	15.86	0.00	15.86 86.0 13.64
06/01/2017	00064617395	BRAGHIROL, DANA		NEW PERSPECTIVE FUND-A	B	0.00	33.85	0.00	33.85 86.0 29.11
06/01/2017	00064617395	BRAGHIROL, DANA		THE GROWTH FUND OF AMERICA-A	B	0.00	47.50	0.00	47.50 86.0 40.85
06/01/2017	00064617395	BRAGHIROL, DANA		THE NEW ECONOMY FUND-A	B	0.00	36.80	0.00	36.80 86.0 31.65
06/01/2017	00064617396	GILLES, FREDERICK		THE GROWTH FUND OF AMERICA-A	B	0.00	0.33	0.00	0.33 86.0 0.00
06/01/2017	00064617396	GILLES, FREDERICK		THE NEW ECONOMY FUND-A	B	0.00	0.50	0.00	0.50 86.0 0.00
06/01/2017	00064910535	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	43.09	0.00	43.09 86.0 37.06
06/01/2017	00064910535	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	17.79	0.00	17.79 86.0 15.30
06/01/2017	00064910535	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	24.26	0.00	24.26 86.0 20.86
06/01/2017	00064910537	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	62.86	0.00	62.86 86.0 54.06
06/01/2017	00064910537	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	3.55	0.00	3.55 86.0 3.05
06/01/2017	00064910537	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	51.49	0.00	51.49 86.0 44.28
06/01/2017	00064910538	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.71	0.00	1.71 86.0 1.47
06/01/2017	00064910538	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.56	0.00	0.56 86.0 0.00
06/01/2017	00064910538	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.58	0.00	1.58 86.0 1.36
06/01/2017	00064910538	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.78	0.00	1.78 86.0 1.53
06/01/2017	00064910539	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.58	0.00	2.58 86.0 2.22
06/01/2017	00064910539	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	12.12	0.00	12.12 86.0 10.42
06/01/2017	00064910539	HEGARTY, EILEEN		NEW WORLD FUND-A	B	0.00	0.62	0.00	0.62 86.0 0.00
06/01/2017	00064910539	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	9.99	0.00	9.99 86.0 8.59
06/01/2017	00064910539	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	5.06	0.00	5.06 86.0 4.35
06/01/2017	00064910539	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	19.31	0.00	19.31 86.0 16.61
06/01/2017	00066762603	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.55	0.00	1.55 86.0 1.33
06/01/2017	00066762603	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	4.38	0.00	4.38 86.0 3.77
06/01/2017	00066762603	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.77	0.00	2.77 86.0 2.38
06/01/2017	00066762603	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.41	0.00	2.41 86.0 2.07
06/01/2017	00066762603	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	4.48	0.00	4.48 86.0 3.85

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00066762603	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.78	0.00	1.78 86.0 1.53
06/01/2017	00066762603	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.77	0.00	3.77 86.0 3.24
06/01/2017	00067254999	RAMSEY, FREDRIC		THE BOND FUND OF AMERICA-A	B	0.00	10.78	0.00	10.78 86.0 9.27
06/01/2017	00067254999	RAMSEY, FREDRIC		THE GROWTH FUND OF AMERICA-A	B	0.00	26.77	0.00	26.77 86.0 23.02
06/01/2017	00067254999	RAMSEY, FREDRIC		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	18.43	0.00	18.43 86.0 15.85
06/01/2017	00067321886	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.80	0.00	0.80 86.0 0.00
06/01/2017	00067321886	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.13	0.00	1.13 86.0 0.00
06/01/2017	00067321886	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.18	0.00	3.18 86.0 2.73
06/01/2017	00067321886	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.63	0.00	3.63 86.0 3.12
06/01/2017	00067326900	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	3.38	0.00	3.38 86.0 2.91
06/01/2017	00067326900	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.32	0.00	1.32 86.0 1.14
06/01/2017	00067326900	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	2.98	0.00	2.98 86.0 2.56
06/01/2017	00067823945	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.11	0.00	0.11 86.0 0.00
06/01/2017	00067823945	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.05	0.00	0.05 86.0 0.00
06/01/2017	00067823945	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	9.24	0.00	9.24 86.0 7.95
06/01/2017	00067823945	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	7.12	0.00	7.12 86.0 6.12
06/01/2017	00067823947	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	1.77	0.00	1.77 86.0 1.52
06/01/2017	00067823947	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.55	0.00	1.55 86.0 1.33
06/01/2017	00067823947	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	4.95	0.00	4.95 86.0 4.26
06/01/2017	00067823947	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.66	0.00	5.66 86.0 4.87
06/01/2017	00067963444	GALLAGHER, ROBIN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.80	0.00	4.80 86.0 4.13
06/01/2017	00068080341	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.39	0.00	0.39 86.0 0.00
06/01/2017	00068080341	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.62	0.00	2.62 86.0 2.25
06/01/2017	00068080341	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58 86.0 0.00
06/01/2017	00068080341	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.24	0.00	0.24 86.0 0.00
06/01/2017	00068080343	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	52.65	0.00	52.65 86.0 45.28
06/01/2017	00068080343	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.47	0.00	0.47 86.0 0.00
06/01/2017	00068080343	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	116.44	0.00	116.44 86.0 100.14
06/01/2017	00068080343	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	35.05	0.00	35.05 86.0 30.14
06/01/2017	00068080343	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	86.10	0.00	86.10 86.0 74.05
06/01/2017	00068086982	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.02	0.00	0.02 86.0 0.00
06/01/2017	00068086982	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.07	0.00	0.07 86.0 0.00
06/01/2017	00068086982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	11.61	0.00	11.61 86.0 9.98
06/01/2017	00068086982	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	9.05	0.00	9.05 86.0 7.78
06/01/2017	00068092335	UNTIL AGE 21,		AMERICAN BALANCED FUND-A	B	0.00	4.41	0.00	4.41 86.0 3.79
06/01/2017	00068092335	UNTIL AGE 21,		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.56	0.00	4.56 86.0 3.92
06/01/2017	00068653714	RHEAUME, KATE		AMERICAN BALANCED FUND-529A	B	0.00	0.55	0.00	0.55 86.0 0.00
06/01/2017	00068653714	RHEAUME, KATE		AMERICAN MUTUAL FUND-529A	B	0.00	0.60	0.00	0.60 86.0 0.00
06/01/2017	00068653714	RHEAUME, KATE		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.69	0.00	0.69 86.0 0.00
06/01/2017	00068653714	RHEAUME, KATE		THE GROWTH FUND OF AMERICA-529A	B	0.00	1.46	0.00	1.46 86.0 1.26

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00068653714	RHEAUME, KATE	THE INCOME FUND OF AMERICA-529A	B	0.00	0.55	0.00	0.55	86.0	0.00
06/01/2017	00068653714	RHEAUME, KATE	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.61	0.00	0.61	86.0	0.00
06/01/2017	00068653714	RHEAUME, KATE	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	0.44	0.00	0.44	86.0	0.00
06/01/2017	00068950143	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.53	0.00	0.53	86.0	0.00
06/01/2017	00068950143	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	7.11	0.00	7.11	86.0	6.11
06/01/2017	00068950143	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.38	0.00	1.38	86.0	1.19
06/01/2017	00068950144	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	2.80	0.00	2.80	86.0	2.41
06/01/2017	00068950144	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	1.10	0.00	1.10	86.0	0.00
06/01/2017	00068950144	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	2.46	0.00	2.46	86.0	2.12
06/01/2017	00068950146	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	10.69	0.00	10.69	86.0	9.19
06/01/2017	00068950146	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	23.49	0.00	23.49	86.0	20.20
06/01/2017	00068950146	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	7.09	0.00	7.09	86.0	6.10
06/01/2017	00068950146	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	17.47	0.00	17.47	86.0	15.02
06/01/2017	00069221842	SALAMA, CHRISTIAN	NEW PERSPECTIVE FUND-529A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00069221842	SALAMA, CHRISTIAN	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.10	0.00	0.10	86.0	0.00
06/01/2017	00069285960	WAY, NICOLE	NEW PERSPECTIVE FUND-529A	B	0.00	0.39	0.00	0.39	86.0	0.00
06/01/2017	00069285960	WAY, NICOLE	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.37	0.00	0.37	86.0	0.00
06/01/2017	00069285960	WAY, NICOLE	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.20	0.00	0.20	86.0	0.00
06/01/2017	00069285960	WAY, NICOLE	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	0.03	0.00	0.03	86.0	0.00
06/01/2017	00069305898	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.83	0.00	0.83	86.0	0.00
06/01/2017	00069305898	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	2.51	0.00	2.51	86.0	2.16
06/01/2017	00069305898	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	2.11	0.00	2.11	86.0	1.81
06/01/2017	00069305898	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.90	0.00	0.90	86.0	0.00
06/01/2017	00069305898	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	3.44	0.00	3.44	86.0	2.96
06/01/2017	00069305898	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	1.01	0.00	1.01	86.0	0.00
06/01/2017	00069305898	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.24	0.00	2.24	86.0	1.93
06/01/2017	00070001336	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.76	0.00	0.76	86.0	0.00
06/01/2017	00070001336	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.40	0.00	0.40	86.0	0.00
06/01/2017	00070001336	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	1.62	0.00	1.62	86.0	1.39
06/01/2017	00070001336	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	12.00	0.00	12.00	86.0	10.32
06/01/2017	00070001336	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	86.0	1.15
06/01/2017	00070001336	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.66	0.00	0.66	86.0	0.00
06/01/2017	00070001336	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	10.11	0.00	10.11	86.0	8.69
06/01/2017	00070001812	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.29	0.00	0.29	86.0	0.00
06/01/2017	00070001812	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.57	0.00	0.57	86.0	0.00
06/01/2017	00070001812	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	3.37	0.00	3.37	86.0	2.90
06/01/2017	00070001812	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.57	0.00	0.57	86.0	0.00
06/01/2017	00070001812	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.86	0.00	0.86	86.0	0.00
06/01/2017	00070001812	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.74	0.00	2.74	86.0	2.36
06/01/2017	00070004355	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	3.15	0.00	3.15	86.0	2.71



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00070004355	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.51	0.00	2.51 86.0 2.16
06/01/2017	00070004355	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	4.51	0.00	4.51 86.0 3.88
06/01/2017	00070004355	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.48	0.00	3.48 86.0 2.99
06/01/2017	00070004355	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.85	0.00	3.85 86.0 3.31
06/01/2017	00070004355	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.07	0.00	4.07 86.0 3.50
06/01/2017	00070004356	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	2.49	0.00	2.49 86.0 2.14
06/01/2017	00070004356	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.72	0.00	1.72 86.0 1.48
06/01/2017	00070004356	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	6.03	0.00	6.03 86.0 5.19
06/01/2017	00070004356	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.26	0.00	1.26 86.0 1.08
06/01/2017	00070004356	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	4.45	0.00	4.45 86.0 3.83
06/01/2017	00070004356	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.57	0.00	6.57 86.0 5.65
06/01/2017	00070004361	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	6.35	0.00	6.35 86.0 5.46
06/01/2017	00070004361	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	7.15	0.00	7.15 86.0 6.15
06/01/2017	00070004361	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	8.34	0.00	8.34 86.0 7.17
06/01/2017	00070004362	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	3.50	0.00	3.50 86.0 3.01
06/01/2017	00070004362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.26	0.00	3.26 86.0 2.80
06/01/2017	00070004362	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	14.39	0.00	14.39 86.0 12.38
06/01/2017	00070005362	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.24	0.00	2.24 86.0 1.93
06/01/2017	00070005362	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	6.50	0.00	6.50 86.0 5.59
06/01/2017	00070005362	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	9.03	0.00	9.03 86.0 7.77
06/01/2017	00070005362	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	6.73	0.00	6.73 86.0 5.79
06/01/2017	00070005362	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.45	0.00	3.45 86.0 2.97
06/01/2017	00070005363	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.80	0.00	1.80 86.0 1.55
06/01/2017	00070005363	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	2.00	0.00	2.00 86.0 1.72
06/01/2017	00070005363	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.73	0.00	1.73 86.0 1.49
06/01/2017	00070005363	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	3.40	0.00	3.40 86.0 2.92
06/01/2017	00070005363	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.89	0.00	2.89 86.0 2.49
06/01/2017	00070005364	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	12.79	0.00	12.79 86.0 11.00
06/01/2017	00070005364	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	16.63	0.00	16.63 86.0 14.30
06/01/2017	00070005364	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	19.20	0.00	19.20 86.0 16.51
06/01/2017	00070005364	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	18.80	0.00	18.80 86.0 16.17
06/01/2017	00070005364	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	18.55	0.00	18.55 86.0 15.95
06/01/2017	00070005370	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.70	0.00	1.70 86.0 1.46
06/01/2017	00070005370	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.57	0.00	1.57 86.0 1.35
06/01/2017	00070005370	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	1.70	0.00	1.70 86.0 1.46
06/01/2017	00070005370	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	15.07	0.00	15.07 86.0 12.96
06/01/2017	00070005370	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	4.89	0.00	4.89 86.0 4.21
06/01/2017	00070005370	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.47	0.00	3.47 86.0 2.98
06/01/2017	00070005370	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.69	0.00	1.69 86.0 1.45
06/01/2017	00070005370	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.73	0.00	1.73 86.0 1.49

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00070005371	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	9.60	0.00	9.60 86.0 8.26
06/01/2017	00070005371	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	8.86	0.00	8.86 86.0 7.62
06/01/2017	00070005371	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	9.63	0.00	9.63 86.0 8.28
06/01/2017	00070005371	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	85.11	0.00	85.11 86.0 73.19
06/01/2017	00070005371	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	27.59	0.00	27.59 86.0 23.73
06/01/2017	00070005371	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	19.64	0.00	19.64 86.0 16.89
06/01/2017	00070005371	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	9.58	0.00	9.58 86.0 8.24
06/01/2017	00070005371	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	9.80	0.00	9.80 86.0 8.43
06/01/2017	00070005678	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	5.77	0.00	5.77 86.0 4.96
06/01/2017	00070005678	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	11.97	0.00	11.97 86.0 10.29
06/01/2017	00070005678	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	27.55	0.00	27.55 86.0 23.69
06/01/2017	00070005678	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.51	0.00	1.51 86.0 1.30
06/01/2017	00070005679	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	3.90	0.00	3.90 86.0 3.35
06/01/2017	00070005679	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	8.68	0.00	8.68 86.0 7.46
06/01/2017	00070005679	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	20.15	0.00	20.15 86.0 17.33
06/01/2017	00070005679	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	1.20	0.00	1.20 86.0 1.03
06/01/2017	00070006913	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	16.29	0.00	16.29 86.0 14.01
06/01/2017	00070007661	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	9.85	0.00	9.85 86.0 8.47
06/01/2017	00070007661	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	20.33	0.00	20.33 86.0 17.48
06/01/2017	00070007661	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	13.76	0.00	13.76 86.0 11.83
06/01/2017	00070007665	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.59	0.00	1.59 86.0 1.37
06/01/2017	00070007665	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	2.10	0.00	2.10 86.0 1.81
06/01/2017	00070007665	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	3.66	0.00	3.66 86.0 3.15
06/01/2017	00070007665	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.59	0.00	3.59 86.0 3.09
06/01/2017	00070007666	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.57	0.00	2.57 86.0 2.21
06/01/2017	00070007666	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	3.33	0.00	3.33 86.0 2.86
06/01/2017	00070007666	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	5.93	0.00	5.93 86.0 5.10
06/01/2017	00070007666	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.82	0.00	5.82 86.0 5.01
06/01/2017	00070008090	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	2.53	0.00	2.53 86.0 2.18
06/01/2017	00070008090	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	1.56	0.00	1.56 86.0 1.34
06/01/2017	00070008090	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	2.35	0.00	2.35 86.0 2.02
06/01/2017	00070008090	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.75	0.00	2.75 86.0 2.37
06/01/2017	00070008848	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.19	0.00	0.19 86.0 0.00
06/01/2017	00070008848	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.19	0.00	0.19 86.0 0.00
06/01/2017	00070008848	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	0.20	0.00	0.20 86.0 0.00
06/01/2017	00070008848	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.19	0.00	0.19 86.0 0.00
06/01/2017	00070008848	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.20	0.00	0.20 86.0 0.00
06/01/2017	00070009898	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	17.32	0.00	17.32 86.0 14.90
06/01/2017	00070009899	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	2.44	0.00	2.44 86.0 2.10
06/01/2017	00070009899	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	3.08	0.00	3.08 86.0 2.65

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00070009899	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	3.61	0.00	3.61	86.0	3.10
06/01/2017	00070009899	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	2.91	0.00	2.91	86.0	2.50
06/01/2017	00070009899	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.53	0.00	3.53	86.0	3.04
06/01/2017	00073482110	MEHLING, BARBARA	NEW PERSPECTIVE FUND-A	B	0.00	0.44	0.00	0.44	86.0	0.00
06/01/2017	00073482110	MEHLING, BARBARA	NEW PERSPECTIVE FUND CLASS B	B	0.00	0.01	0.00	0.01	86.0	0.00
06/01/2017	00073482110	MEHLING, BARBARA	THE GROWTH FUND OF AMERICA-A	B	0.00	0.46	0.00	0.46	86.0	0.00
06/01/2017	00073482110	MEHLING, BARBARA	THE GROWTH FUND OF AMERICA-B	B	0.00	0.01	0.00	0.01	86.0	0.00
06/01/2017	00073482110	MEHLING, BARBARA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.39	0.00	0.39	86.0	0.00
06/01/2017	00073482110	MEHLING, BARBARA	WASHINGTON MUTUAL INVESTORS FUND-B	B	0.00	0.01	0.00	0.01	86.0	0.00
06/01/2017	00073573325	CORVEDDU, SAMANTHA	AMERICAN BALANCED FUND-529A	B	0.00	5.93	0.00	5.93	86.0	5.10
06/01/2017	00073573325	CORVEDDU, SAMANTHA	NEW PERSPECTIVE FUND-529A	B	0.00	6.79	0.00	6.79	86.0	5.84
06/01/2017	00073573325	CORVEDDU, SAMANTHA	THE GROWTH FUND OF AMERICA-529A	B	0.00	7.43	0.00	7.43	86.0	6.39
06/01/2017	00073573325	CORVEDDU, SAMANTHA	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	6.32	0.00	6.32	86.0	5.44
06/01/2017	00073573410	CORVEDDU, SAMANTHA	NEW PERSPECTIVE FUND-529A	B	0.00	8.16	0.00	8.16	86.0	7.02
06/01/2017	00073573410	CORVEDDU, SAMANTHA	THE GROWTH FUND OF AMERICA-529A	B	0.00	9.10	0.00	9.10	86.0	7.83
06/01/2017	00073573410	CORVEDDU, SAMANTHA	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.86	0.00	5.86	86.0	5.04
06/01/2017	00073573464	CORVEDDU, SAMANTHA	AMERICAN BALANCED FUND-529A	B	0.00	10.26	0.00	10.26	86.0	8.82
06/01/2017	00073573464	CORVEDDU, SAMANTHA	NEW PERSPECTIVE FUND-529A	B	0.00	12.28	0.00	12.28	86.0	10.56
06/01/2017	00073573464	CORVEDDU, SAMANTHA	THE GROWTH FUND OF AMERICA-529A	B	0.00	12.62	0.00	12.62	86.0	10.85
06/01/2017	00073573464	CORVEDDU, SAMANTHA	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	10.84	0.00	10.84	86.0	9.32
06/01/2017	00074300216	GURDA, CHRISTINE	NEW PERSPECTIVE FUND-A	B	0.00	8.29	0.00	8.29	86.0	7.13
06/01/2017	00074300216	GURDA, CHRISTINE	THE GROWTH FUND OF AMERICA-A	B	0.00	8.91	0.00	8.91	86.0	7.66
06/01/2017	00074300216	GURDA, CHRISTINE	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.48	0.00	7.48	86.0	6.43
06/01/2017	00074350388	CRANSTON, RYAN	NEW PERSPECTIVE FUND-A	B	0.00	0.30	0.00	0.30	86.0	0.00
06/01/2017	00074350388	CRANSTON, RYAN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.43	0.00	0.43	86.0	0.00
06/01/2017	00074350388	CRANSTON, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.27	0.00	0.27	86.0	0.00
06/01/2017	00074955800	ENSENAT, ANGELA	NEW PERSPECTIVE FUND-A	B	0.00	0.03	0.00	0.03	86.0	0.00
06/01/2017	00075147276	DONNELLAN, ROSELLA	THE INCOME FUND OF AMERICA-A	B	0.00	66.99	0.00	66.99	86.0	57.61
06/01/2017	00075906449	WILSON, KATHLEEN	NEW PERSPECTIVE FUND-A	B	0.00	16.16	0.00	16.16	86.0	13.90
06/01/2017	00075906449	WILSON, KATHLEEN	NEW PERSPECTIVE FUND CLASS B	B	0.00	2.87	0.00	2.87	86.0	2.47
06/01/2017	00075906449	WILSON, KATHLEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	18.15	0.00	18.15	86.0	15.61
06/01/2017	00075906449	WILSON, KATHLEEN	THE GROWTH FUND OF AMERICA-B	B	0.00	3.23	0.00	3.23	86.0	2.78
06/01/2017	00075940830	HODAS, KRISTIE	NEW PERSPECTIVE FUND-A	B	0.00	7.25	0.00	7.25	86.0	6.24
06/01/2017	00075940830	HODAS, KRISTIE	THE GROWTH FUND OF AMERICA-A	B	0.00	7.75	0.00	7.75	86.0	6.67
06/01/2017	00075952291	ZOTTOLA, MONICA	NEW PERSPECTIVE FUND-A	B	0.00	5.45	0.00	5.45	86.0	4.69
06/01/2017	00075952291	ZOTTOLA, MONICA	THE GROWTH FUND OF AMERICA-A	B	0.00	6.37	0.00	6.37	86.0	5.48
06/01/2017	00075952322	ZOTTOLA, FRANK	NEW PERSPECTIVE FUND-A	B	0.00	5.60	0.00	5.60	86.0	4.82
06/01/2017	00075952322	ZOTTOLA, FRANK	THE GROWTH FUND OF AMERICA-A	B	0.00	5.70	0.00	5.70	86.0	4.90
06/01/2017	00081138106	NOGER, DIANA	NEW PERSPECTIVE FUND-A	B	0.00	5.08	0.00	5.08	86.0	4.37
06/01/2017	00081138106	NOGER, DIANA	THE GROWTH FUND OF AMERICA-A	B	0.00	5.31	0.00	5.31	86.0	4.57

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00081396075	ANNICARO, KAREN	NEW PERSPECTIVE FUND-A	B	0.00	2.41	0.00	2.41	86.0	2.07
06/01/2017	00081396075	ANNICARO, KAREN	THE GROWTH FUND OF AMERICA-A	B	0.00	2.38	0.00	2.38	86.0	2.05
06/01/2017	00081536827	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-A	B	0.00	10.82	0.00	10.82	86.0	9.31
06/01/2017	00081536827	SZOBONYA, PATRICIA	THE GROWTH FUND OF AMERICA-A	B	0.00	11.72	0.00	11.72	86.0	10.08
06/01/2017	00081536827	SZOBONYA, PATRICIA	THE INCOME FUND OF AMERICA-A	B	0.00	6.76	0.00	6.76	86.0	5.81
06/01/2017	00081536827	SZOBONYA, PATRICIA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.63	0.00	7.63	86.0	6.56
06/01/2017	00081789143	PURTA, TYLER	NEW PERSPECTIVE FUND-529A	B	0.00	5.68	0.00	5.68	86.0	4.88
06/01/2017	00081789143	PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A	B	0.00	6.30	0.00	6.30	86.0	5.42
06/01/2017	00081789143	PURTA, TYLER	THE INCOME FUND OF AMERICA-529A	B	0.00	5.24	0.00	5.24	86.0	4.51
06/01/2017	00081789143	PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.80	0.00	5.80	86.0	4.99
06/01/2017	00081789195	PURTA, TYLER	NEW PERSPECTIVE FUND-529A	B	0.00	2.81	0.00	2.81	86.0	2.42
06/01/2017	00081789195	PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A	B	0.00	3.02	0.00	3.02	86.0	2.60
06/01/2017	00081789195	PURTA, TYLER	THE INCOME FUND OF AMERICA-529A	B	0.00	2.52	0.00	2.52	86.0	2.17
06/01/2017	00081789195	PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	2.69	0.00	2.69	86.0	2.31
06/01/2017	00082350603	HEALEY, WILLIAM	NEW PERSPECTIVE FUND-A	B	0.00	3.77	0.00	3.77	86.0	3.24
06/01/2017	00082350603	HEALEY, WILLIAM	THE GROWTH FUND OF AMERICA-A	B	0.00	4.14	0.00	4.14	86.0	3.56
06/01/2017	00082350603	HEALEY, WILLIAM	THE INCOME FUND OF AMERICA-A	B	0.00	3.54	0.00	3.54	86.0	3.04
06/01/2017	00082350603	HEALEY, WILLIAM	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.78	0.00	3.78	86.0	3.25
06/01/2017	00082617752	PURTA, HOLLY	AMERICAN BALANCED FUND-529A	B	0.00	4.77	0.00	4.77	86.0	4.10
06/01/2017	00082617752	PURTA, HOLLY	NEW PERSPECTIVE FUND-529A	B	0.00	4.58	0.00	4.58	86.0	3.94
06/01/2017	00082617752	PURTA, HOLLY	THE GROWTH FUND OF AMERICA-529A	B	0.00	5.18	0.00	5.18	86.0	4.45
06/01/2017	00082617752	PURTA, HOLLY	THE INCOME FUND OF AMERICA-529A	B	0.00	4.32	0.00	4.32	86.0	3.72
06/01/2017	00082617752	PURTA, HOLLY	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	4.85	0.00	4.85	86.0	4.17
06/01/2017	00082633593	PURTA, BETH	CAPITAL INCOME BUILDER-A	B	0.00	1.98	0.00	1.98	86.0	1.70
06/01/2017	00082633593	PURTA, BETH	EUROPACIFIC GROWTH FUND-A	B	0.00	1.74	0.00	1.74	86.0	1.50
06/01/2017	00082633593	PURTA, BETH	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.44	0.00	2.44	86.0	2.10
06/01/2017	00082961676	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.25	0.00	0.25	86.0	0.00
06/01/2017	00082961676	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.17	0.00	0.17	86.0	0.00
06/01/2017	00082961756	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.25	0.00	0.25	86.0	0.00
06/01/2017	00082961756	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.17	0.00	0.17	86.0	0.00
06/01/2017	00082965991	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.25	0.00	0.25	86.0	0.00
06/01/2017	00082965991	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.17	0.00	0.17	86.0	0.00
06/01/2017	00085092661	PURTA, HOLLY	AMERICAN BALANCED FUND-529A	B	0.00	4.00	0.00	4.00	86.0	3.44
06/01/2017	00085092661	PURTA, HOLLY	NEW PERSPECTIVE FUND-529A	B	0.00	3.96	0.00	3.96	86.0	3.41
06/01/2017	00085092661	PURTA, HOLLY	THE GROWTH FUND OF AMERICA-529A	B	0.00	4.58	0.00	4.58	86.0	3.94
06/01/2017	00085092661	PURTA, HOLLY	THE INCOME FUND OF AMERICA-529A	B	0.00	3.81	0.00	3.81	86.0	3.28
06/01/2017	00085194199	VURNO, MARLENE	AMERICAN BALANCED FUND-A	B	0.00	4.47	0.00	4.47	86.0	3.84
06/01/2017	00085194199	VURNO, MARLENE	AMERICAN FUND-45956T105	B	0.00	6.86	0.00	6.86	86.0	5.90
06/01/2017	00085194199	VURNO, MARLENE	AMERICAN MUTUAL FUND-A	B	0.00	3.80	0.00	3.80	86.0	3.27
06/01/2017	00085194199	VURNO, MARLENE	CAPITAL INCOME BUILDER-A	B	0.00	3.98	0.00	3.98	86.0	3.42

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
06/01/2017	00085194199	VURNO, MARLENE		CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	6.28	0.00	6.28	86.0	5.40	
06/01/2017	00085194199	VURNO, MARLENE		EUROPACIFIC GROWTH FUND-A	B	0.00	7.05	0.00	7.05	86.0	6.06
06/01/2017	00085194199	VURNO, MARLENE		FUNDAMENTAL INVESTORS-A	B	0.00	3.93	0.00	3.93	86.0	3.38
06/01/2017	00085194199	VURNO, MARLENE		NEW PERSPECTIVE FUND-A	B	0.00	6.61	0.00	6.61	86.0	5.68
06/01/2017	00085194199	VURNO, MARLENE		NEW WORLD FUND-A	B	0.00	6.47	0.00	6.47	86.0	5.56
06/01/2017	00085194199	VURNO, MARLENE		SMALLCAP WORLD FUND-A	B	0.00	4.54	0.00	4.54	86.0	3.90
06/01/2017	00085194199	VURNO, MARLENE		THE GROWTH FUND OF AMERICA-A	B	0.00	7.93	0.00	7.93	86.0	6.82
06/01/2017	00085194199	VURNO, MARLENE		THE INCOME FUND OF AMERICA-A	B	0.00	3.11	0.00	3.11	86.0	2.67
06/01/2017	00085194199	VURNO, MARLENE		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	7.69	0.00	7.69	86.0	6.61
06/01/2017	00085464933	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.84	0.00	0.84	86.0	0.00
06/01/2017	00085464933	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.52	0.00	0.52	86.0	0.00
06/01/2017	00085464933	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.79	0.00	0.79	86.0	0.00
06/01/2017	00085464933	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.01	0.00	1.01	86.0	0.00
06/01/2017	00085952045	PERRELLI,		NEW PERSPECTIVE FUND-A	B	0.00	2.55	0.00	2.55	86.0	2.19
06/01/2017	00085952045	PERRELLI,		THE GROWTH FUND OF AMERICA-A	B	0.00	3.02	0.00	3.02	86.0	2.60
06/01/2017	00086545518	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.23	0.00	0.23	86.0	0.00
06/01/2017	00086545518	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.51	0.00	0.51	86.0	0.00
06/01/2017	00086545518	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.45	0.00	0.45	86.0	0.00
06/01/2017	00086545644	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.02	0.00	0.02	86.0	0.00
06/01/2017	00086545644	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.08	0.00	0.08	86.0	0.00
06/01/2017	00086545644	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	0.02	0.00	0.02	86.0	0.00
06/01/2017	00086545644	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.20	0.00	0.20	86.0	0.00
06/01/2017	00086545644	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.12	0.00	0.12	86.0	0.00
06/01/2017	00086545644	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.03	0.00	0.03	86.0	0.00
06/01/2017	00086787723	CEFALU, PERRY		NEW PERSPECTIVE FUND-A	B	0.00	2.21	0.00	2.21	86.0	1.90
06/01/2017	00086787723	CEFALU, PERRY		THE GROWTH FUND OF AMERICA-A	B	0.00	1.78	0.00	1.78	86.0	1.53
06/01/2017	00086787723	CEFALU, PERRY		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	1.24	0.00	1.24	86.0	1.07
06/01/2017	00086787723	CEFALU, PERRY		THE NEW ECONOMY FUND-A	B	0.00	2.39	0.00	2.39	86.0	2.06
06/01/2017	00086787723	CEFALU, PERRY		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.29	0.00	1.29	86.0	1.11
06/01/2017	00086787783	SUTZ, MARIA		NEW PERSPECTIVE FUND-A	B	0.00	5.74	0.00	5.74	86.0	4.94
06/01/2017	00086787783	SUTZ, MARIA		THE GROWTH FUND OF AMERICA-A	B	0.00	5.73	0.00	5.73	86.0	4.93
06/01/2017	00086787783	SUTZ, MARIA		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	5.11	0.00	5.11	86.0	4.39
06/01/2017	00086787783	SUTZ, MARIA		THE NEW ECONOMY FUND-A	B	0.00	6.09	0.00	6.09	86.0	5.24
06/01/2017	00086787783	SUTZ, MARIA		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.06	0.00	5.06	86.0	4.35
06/01/2017	00087384406	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	44.78	0.00	44.78	86.0	38.51
06/01/2017	00087384416	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.61	0.00	1.61	86.0	1.38
06/01/2017	00087384416	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.29	0.00	1.29	86.0	1.11
06/01/2017	00087384416	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	32.19	0.00	32.19	86.0	27.68
06/01/2017	00087384416	HEGARTY, EILEEN		NEW WORLD FUND-A	B	0.00	1.22	0.00	1.22	86.0	1.05
06/01/2017	00087384416	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.66	0.00	1.66	86.0	1.43

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00087384416	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	1.43	0.00	1.43 86.0 1.23
06/01/2017	00087384416	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	1.62	0.00	1.62 86.0 1.39
06/01/2017	00087384416	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	3.89	0.00	3.89 86.0 3.35
06/01/2017	00087384433	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	1.07	0.00	1.07 86.0 0.00
06/01/2017	00087384433	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	1.06	0.00	1.06 86.0 0.00
06/01/2017	00087384433	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	41.11	0.00	41.11 86.0 35.35
06/01/2017	00087384433	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.60	0.00	0.60 86.0 0.00
06/01/2017	00087384433	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.68	0.00	0.68 86.0 0.00
06/01/2017	00087384446	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.87	0.00	2.87 86.0 2.47
06/01/2017	00087384446	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.57	0.00	2.57 86.0 2.21
06/01/2017	00087384446	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	2.45	0.00	2.45 86.0 2.11
06/01/2017	00087384464	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.94	0.00	0.94 86.0 0.00
06/01/2017	00087384478	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	17.38	0.00	17.38 86.0 14.95
06/01/2017	00087384478	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	17.60	0.00	17.60 86.0 15.14
06/01/2017	00087384507	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.60	0.00	0.60 86.0 0.00
06/01/2017	00087384507	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	2.80	0.00	2.80 86.0 2.41
06/01/2017	00087384507	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	2.48	0.00	2.48 86.0 2.13
06/01/2017	00087384530	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.48	0.00	0.48 86.0 0.00
06/01/2017	00087384530	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.92	0.00	0.92 86.0 0.00
06/01/2017	00087384530	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.48	0.00	0.48 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		AMCAP FUND-A	B	0.00	0.35	0.00	0.35 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	0.00	0.30	0.00	0.30 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	0.00	0.34	0.00	0.34 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	0.00	0.29	0.00	0.29 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.26	0.00	1.26 86.0 1.08
06/01/2017	00087384541	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	0.00	0.33	0.00	0.33 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.36	0.00	0.36 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	0.00	0.35	0.00	0.35 86.0 0.00
06/01/2017	00087384541	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.24	0.00	1.24 86.0 1.07
06/01/2017	00087384558	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	0.96	0.00	0.96 86.0 0.00
06/01/2017	00087384558	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.94	0.00	0.94 86.0 0.00
06/01/2017	00087384565	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	1.40	0.00	1.40 86.0 1.20
06/01/2017	00087384565	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.38	0.00	1.38 86.0 1.19
06/01/2017	00087842379	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	29.89	0.00	29.89 86.0 25.71
06/01/2017	00087842394	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	0.00	4.95	0.00	4.95 86.0 4.26
06/01/2017	00088196549	SZOBONYA, PATRICIA		NEW PERSPECTIVE FUND-A	B	0.00	1.21	0.00	1.21 86.0 1.04
06/01/2017	00088196556	SZOBONYA,		THE GROWTH FUND OF AMERICA-A	B	0.00	1.89	0.00	1.89 86.0 1.63
06/01/2017	00088196564	UNTIL AGE 21,		NEW PERSPECTIVE FUND-529A	B	0.00	3.35	0.00	3.35 86.0 2.88
06/01/2017	00088196564	UNTIL AGE 21,		THE GROWTH FUND OF AMERICA-529A	B	0.00	3.61	0.00	3.61 86.0 3.10
06/01/2017	00088196564	UNTIL AGE 21,		THE INCOME FUND OF AMERICA-529A	B	0.00	3.20	0.00	3.20 86.0 2.75

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2017	00088196564	UNTIL AGE 21, WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	3.49	0.00	3.49	86.0	3.00
06/01/2017	00088196567	SZOBONYA, PATRICIA NEW PERSPECTIVE FUND-529A	B	0.00	0.90	0.00	0.90	86.0	0.00
06/01/2017	00088196567	SZOBONYA, PATRICIA THE GROWTH FUND OF AMERICA-529A	B	0.00	0.97	0.00	0.97	86.0	0.00
06/01/2017	00088434572	LIFRIERI, ROBERT CAPITAL INCOME BUILDER-A	B	0.00	40.85	0.00	40.85	86.0	35.13
06/01/2017	00088434572	LIFRIERI, ROBERT THE INCOME FUND OF AMERICA-A	B	0.00	42.47	0.00	42.47	86.0	36.52
06/01/2017	00088473199	FONSECA, SALVATORE NEW PERSPECTIVE FUND-A	B	0.00	1.92	0.00	1.92	86.0	1.65
06/01/2017	00088473199	FONSECA, SALVATORE THE GROWTH FUND OF AMERICA-A	B	0.00	1.98	0.00	1.98	86.0	1.70
06/01/2017	00088828020	HEGARTY, EILEEN EUROPACIFIC GROWTH FUND-A	B	0.00	0.13	0.00	0.13	86.0	0.00
06/01/2017	00088828020	HEGARTY, EILEEN NEW PERSPECTIVE FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00088828020	HEGARTY, EILEEN THE BOND FUND OF AMERICA-A	B	0.00	0.25	0.00	0.25	86.0	0.00
06/01/2017	00088828020	HEGARTY, EILEEN THE GROWTH FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	86.0	0.00
06/01/2017	00088828020	HEGARTY, EILEEN THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00088828020	HEGARTY, EILEEN WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00088877682	HEGARTY, EILEEN EUROPACIFIC GROWTH FUND-A	B	0.00	3.17	0.00	3.17	86.0	2.73
06/01/2017	00088877682	HEGARTY, EILEEN NEW WORLD FUND-A	B	0.00	0.70	0.00	0.70	86.0	0.00
06/01/2017	00088877682	HEGARTY, EILEEN THE BOND FUND OF AMERICA-A	B	0.00	3.63	0.00	3.63	86.0	3.12
06/01/2017	00088877682	HEGARTY, EILEEN THE GROWTH FUND OF AMERICA-A	B	0.00	2.21	0.00	2.21	86.0	1.90
06/01/2017	00088877682	HEGARTY, EILEEN THE INCOME FUND OF AMERICA-A	B	0.00	1.93	0.00	1.93	86.0	1.66
06/01/2017	00088877682	HEGARTY, EILEEN THE INVESTMENT COMPANY OF AMERICA-A B		0.00	2.77	0.00	2.77	86.0	2.38
06/01/2017	00088877682	HEGARTY, EILEEN WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.22	0.00	2.22	86.0	1.91
06/01/2017	00088877759	HEGARTY, EILEEN EUROPACIFIC GROWTH FUND-A	B	0.00	1.12	0.00	1.12	86.0	0.00
06/01/2017	00088877759	HEGARTY, EILEEN WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.66	0.00	3.66	86.0	3.15
06/01/2017	00089069959	PAULSON, CHARLES AMCAP FUND-A	B	0.00	6.43	0.00	6.43	86.0	5.53
06/01/2017	00089069968	FERGUSON, JAMES AMCAP FUND-A	B	0.00	6.96	0.00	6.96	86.0	5.99
06/01/2017	00089131125	FERGUSON, KEVIN THE GROWTH FUND OF AMERICA-A	B	0.00	4.09	0.00	4.09	86.0	3.52
06/01/2017	00089131143	NY/TOD AMCAP FUND-A	B	0.00	2.51	0.00	2.51	86.0	2.16
06/01/2017	00089131143	NY/TOD CAPITAL INCOME BUILDER-A	B	0.00	2.39	0.00	2.39	86.0	2.06
06/01/2017	00089131143	NY/TOD NEW PERSPECTIVE FUND-A	B	0.00	2.13	0.00	2.13	86.0	1.83
06/01/2017	00089364755	HEGARTY, EILEEN NEW PERSPECTIVE FUND-A	B	0.00	3.77	0.00	3.77	86.0	3.24
06/01/2017	00089364772	HEGARTY, EILEEN NEW PERSPECTIVE FUND-A	B	0.00	3.65	0.00	3.65	86.0	3.14
06/01/2017	00089364818	HEGARTY, EILEEN AMCAP FUND-A	B	0.00	0.13	0.00	0.13	86.0	0.00
06/01/2017	00089364818	HEGARTY, EILEEN NEW PERSPECTIVE FUND-A	B	0.00	0.63	0.00	0.63	86.0	0.00
06/01/2017	00089364818	HEGARTY, EILEEN THE GROWTH FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53	86.0	0.00
06/01/2017	00089364843	HEGARTY, EILEEN THE GROWTH FUND OF AMERICA-A	B	0.00	0.79	0.00	0.79	86.0	0.00
06/01/2017	00089364843	HEGARTY, EILEEN THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.60	0.00	0.60	86.0	0.00
06/01/2017	00089364843	HEGARTY, EILEEN THE NEW ECONOMY FUND-A	B	0.00	0.55	0.00	0.55	86.0	0.00
06/01/2017	00089364861	HEGARTY, EILEEN NEW PERSPECTIVE FUND-A	B	0.00	6.34	0.00	6.34	86.0	5.45
06/01/2017	00089364874	HEGARTY, EILEEN NEW PERSPECTIVE FUND-A	B	0.00	3.17	0.00	3.17	86.0	2.73
06/01/2017	00089364874	HEGARTY, EILEEN THE NEW ECONOMY FUND-A	B	0.00	3.09	0.00	3.09	86.0	2.66
06/01/2017	00089364889	HEGARTY, EILEEN THE GROWTH FUND OF AMERICA-A	B	0.00	1.32	0.00	1.32	86.0	1.14

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2017	00089364889	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.33	0.00	1.33	86.0	1.14
06/01/2017	00089364925	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.00
06/01/2017	00089364925	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.20	0.00	0.20	86.0	0.00
06/01/2017	00089364925	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.11	0.00	0.11	86.0	0.00
06/01/2017	00089376062	BLISS, MASON	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.17	0.00	0.17	86.0	0.00
06/01/2017	00089378227	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.20	0.00	0.20	86.0	0.00
06/01/2017	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.21	0.00	0.21	86.0	0.00
06/01/2017	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.22	0.00	0.22	86.0	0.00
06/01/2017	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	0.07	0.00	0.07	86.0	0.00
06/01/2017	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.07	0.00	0.07	86.0	0.00
06/01/2017	00089378412	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.05	0.00	0.05	86.0	0.00
06/01/2017	00089378412	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00089378412	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.14	0.00	0.14	86.0	0.00
06/01/2017	00089378412	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.13	0.00	0.13	86.0	0.00
06/01/2017	00089378412	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.06	0.00	0.06	86.0	0.00
06/01/2017	00089545290	RONGA, DANIEL	NEW PERSPECTIVE FUND-A	B	0.00	1.62	0.00	1.62	86.0	1.39
06/01/2017	00089545290	RONGA, DANIEL	THE GROWTH FUND OF AMERICA-A	B	0.00	1.67	0.00	1.67	86.0	1.44
06/01/2017	00089900960	MCMAHON, LAURA	NEW PERSPECTIVE FUND-A	B	0.00	35.90	0.00	35.90	86.0	30.87
06/01/2017	00089900960	MCMAHON, LAURA	THE GROWTH FUND OF AMERICA-A	B	0.00	28.29	0.00	28.29	86.0	24.33
06/01/2017	00089900960	MCMAHON, LAURA	THE INCOME FUND OF AMERICA-A	B	0.00	20.39	0.00	20.39	86.0	17.54
06/01/2017	00089900960	MCMAHON, LAURA	THE NEW ECONOMY FUND-A	B	0.00	14.28	0.00	14.28	86.0	12.28
06/01/2017	00089900960	MCMAHON, LAURA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	41.37	0.00	41.37	86.0	35.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$8,569.96	\$0.00	\$8,569.96		\$7,319.65
*** BROKER TRANSACTIONS TOTAL ***					\$116,906.97	\$11,664.91	\$0.00	\$11,664.91		\$9,938.80
ADJUSTMENTS										
07/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00	
07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00	
07/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00	
07/31/2017		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00	
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-235.00



**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,938.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-235.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-235.00
FINRA Assessment	\$-14.58
SIPC Assessment	\$-29.16

<b>Check Amount</b>	<b>\$9,425.06</b>
<b>Year-to-date Earnings</b>	<b>\$10,341.06</b>