

**COMMISSION STATEMENT****June 15, 2018**

**R145-RICHARD RODRIGUEZ, JR.**  
3854 E. County Road 466  
Suite A  
Oxford, FL 34484

**BROKER #: R145**  
**BRANCH:** RICHARD RODRIGUEZ JR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2018	HALL, MICHAEL	JNL PERSPECTIVE L	133,368.61	111.14	0.00	111.14	90.0	100.03
05/31/2018	HALL, MICHAEL	JNL PERSPECTIVE L	134,616.64	112.18	0.00	112.18	90.0	100.96
* VARIABLE ANNUITIES SUBTOTAL *			\$267,985.25	\$223.32	\$0.00	\$223.32		\$200.99
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
06/04/2018	RIXIE, CATHERINE	LINCOLN LIFE	120,000.00	8,400.00	0.00	8,400.00	90.0	7,560.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$120,000.00	\$8,400.00	\$0.00	\$8,400.00		\$7,560.00
*** DIRECT TRANSACTIONS TOTAL ***			\$387,985.25	\$8,623.32	\$0.00	\$8,623.32		\$7,760.99
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404</u></b>								
06/12/2018	WALLACH, PENNY	HPI REAL ESTATE FUND VI LLC	50,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *			\$50,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
*** SPLIT TRANSACTIONS TOTAL ***			\$50,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
06/15/2018		*ALBRIDGE: FULL USER	0.00					-176.00
06/15/2018		*DOCUSIGN: DOCUSIGN	0.00					-18.00
06/15/2018		*EO INS: CURRENT MONTH	0.00					-300.00
06/15/2018		*FINRA: FBI FINGERPRINT FEE P WALLACH	0.00					-10.00
06/15/2018		*FINRA: FINRA MEM ELEC FINGERPRINT - P WALLACH	0.00					-15.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*LASER APP: LASER APP	0.00					-18.00
06/15/2018		*MARKETING PRO: PRO USER	0.00					-45.00
06/15/2018		*PERSHING: COST BASIS TRACKING 3 ACCTS	0.00					-3.00
06/15/2018		*TECHNOLOGY: ADMIN - K NEWCOMB	0.00					-130.00
06/15/2018		*TECHNOLOGY: BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-902.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,760.99
Split Transactions	\$1,350.00
Override Transactions	\$0.00
Adjustments	\$-902.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$50.22
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$8,259.21</b>
<b>Year-to-date Gross</b>	<b>\$44,889.25</b>
<b>Year-to-date Earnings</b>	<b>\$40,400.32</b>

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