



Concorde Investment Services, LLC

01/13/2017  
Page No. 1

# COMMISSION STATEMENT

January 17, 2017

ROBERT THOMPSON  
7261 W. Central Ave.

BROKER #: T118  
BRANCH: INTEGRATED FINANCIAL

Toledo, OH 43617

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

## BROKER TRANSACTIONS

### PRODUCT CATEGORY: FIXED INDEX ANNUITIES

12/31/2016	FLECK, GERALD	NATIONWIDE NEW HEIGHTS FIA	0.00	61.75	0.00	61.75	90.0	55.58
12/31/2016	FLECK, GERALD	NATIONWIDE NEW HEIGHTS FIA	0.00	92.62	0.00	92.62	90.0	83.36
* FIXED INDEX ANNUITIES SUBTOTAL *			\$0.00	\$154.37	\$0.00	\$154.37		\$138.94

### PRODUCT CATEGORY: MUTUAL FUNDS

01/05/2017	WALTER, JEFFREY	AM FUNDS BOND FUND OF AMERICA A	74,971.34	2,061.71	0.00	2,061.71	90.0	1,855.54
/ /	FLECK, KATHRYN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.70	0.00	1.70	90.0	1.53
/ /	FLECK, KATHRYN	OPPENHEIMER MAIN STREET A	0.00	2.26	0.00	2.26	90.0	2.03
/ /	FLECK, KATHRYN	OPPENHEIMER QUEST OPP VALUE A	0.00	1.60	0.00	1.60	90.0	1.44
/ /	FLECK, KATHRYN	OPPENHEIMER SMALL MID CAP VALUE A	0.00	3.96	0.00	3.96	90.0	3.56
* MUTUAL FUNDS SUBTOTAL *			\$74,971.34	\$2,071.23	\$0.00	\$2,071.23		\$1,864.10

### PRODUCT CATEGORY: VARIABLE ANNUITIES

12/30/2016	FLECK, MARTHA	ALLIANZ VALUEMARK II	40,568.52	25.36	0.00	25.36	90.0	22.82
12/31/2016	RICHARDS, MILDRED	JNL PERSPECTIVE	38,011.27	7.92	0.00	7.92	90.0	7.13
12/31/2016	FLECK, GERALD	JNL PERSPECTIVE II 05/05	2,094.13	1.31	0.00	1.31	90.0	1.18
12/31/2016	WENZ, PATRICIA	JOHN HANCOCK VENTURE III	42,276.00	35.23	0.00	35.23	90.0	31.71
12/31/2016	SMITH, FRANK	JOHN HANCOCK VENTURE III	99,056.87	82.55	0.00	82.55	90.0	74.30
12/31/2016	MURANYI, MARILYN	JOHN HANCOCK VENTURE III	27,117.81	22.60	0.00	22.60	90.0	20.34
12/31/2016	SCHUMACHER, EDWARD	JOHN HANCOCK VENTURE III	38,979.67	32.48	0.00	32.48	90.0	29.23
12/31/2016	MAXFIELD, DARALEE	JOHN HANCOCK VENTURE III	126,258.67	105.22	0.00	105.22	90.0	94.70
12/31/2016	GRUBB, BEVERLY	JOHN HANCOCK VENTURE III	137,956.43	114.96	0.00	114.96	90.0	103.46
12/31/2016	GRAHAM, JUDY	JOHN HANCOCK VENTURE III	168,705.21	140.59	0.00	140.59	90.0	126.53
12/31/2016	WODA, RAYMOND	JOHN HANCOCK VENTURE III	26,207.39	21.84	0.00	21.84	90.0	19.66
12/31/2016	HUBAY, BERNICE	JOHN HANCOCK VENTURE III	23,237.50	19.36	0.00	19.36	90.0	17.42
12/31/2016	LABERDEE, PAUL	JOHN HANCOCK VENTURE III	47,219.49	39.35	0.00	39.35	90.0	35.42
12/31/2016	SZAFAROWICZ, JOSEPH	JOHN HANCOCK VENTURE III	16,104.91	13.42	0.00	13.42	90.0	12.08

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2016	MUIR TRUST, JOHN & JOANN	JOHN HANCOCK VENTURE III	24,312.50	20.26	0.00	20.26	90.0	18.23
12/31/2016	HILEMAN, RONALD	JOHN HANCOCK VENTURE III	166,045.16	138.37	0.00	138.37	90.0	124.53
12/31/2016	ELWING, LOUISE	JOHN HANCOCK VENTURE III	156,348.90	130.29	0.00	130.29	90.0	117.26
12/31/2016	DOUGHERTY, ELNORA	JOHN HANCOCK VENTURE III	155,661.08	129.72	0.00	129.72	90.0	116.75
12/31/2016	MAXFIELD, RICHARD	JOHN HANCOCK VENTURE III	212,123.85	176.77	0.00	176.77	90.0	159.09
12/31/2016	GAJEWSKI, WILLADEANE	JOHN HANCOCK VENTURE III	43,058.12	35.88	0.00	35.88	90.0	32.29
12/31/2016	BUEHLER, ARNOLD	JOHN HANCOCK VENTURE III	115,834.62	96.53	0.00	96.53	90.0	86.88
12/31/2016	RICE, PAMELA	JOHN HANCOCK VENTURE III	108,038.21	90.03	0.00	90.03	90.0	81.03
12/31/2016	RINGGER, LINDA	JOHN HANCOCK VENTURE III	117,629.24	98.02	0.00	98.02	90.0	88.22
12/31/2016	SEITZINGER, MARK	JOHN HANCOCK VENTURE III	23,372.57	19.48	0.00	19.48	90.0	17.53
12/31/2016	BLADEL, JACQUELYN	NATIONWIDE DESTINATION L2 01	74,740.38	186.85	0.00	186.85	90.0	168.17
12/31/2016	SMITH, HELENE	NATIONWIDE DESTINATION LS 96	33,336.63	83.34	0.00	83.34	90.0	75.01
12/31/2016	RICE, SUSAN	NATIONWIDE DESTINATION LS 96	124,683.39	311.71	0.00	311.71	90.0	280.54
12/31/2016	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	90,538.33	226.35	0.00	226.35	90.0	203.72
12/31/2016	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	97,619.65	244.05	0.00	244.05	90.0	219.65
12/31/2016	MOORE, EILEEN	NATIONWIDE DESTINATION LS 96	95,164.01	237.91	0.00	237.91	90.0	214.12
12/31/2016	ROSSWURM, ELAINE	NATIONWIDE DESTINATION LS 96	208,313.48	520.78	0.00	520.78	90.0	468.70
12/31/2016	SHAFFER, EMILY	NATIONWIDE DESTINATION LS 96	90,664.36	226.66	0.00	226.66	90.0	203.99
12/31/2016	COOPER, MARK	NATIONWIDE DESTINATION LS 96	107,019.82	267.55	0.00	267.55	90.0	240.80
12/31/2016	JACOB, MARILYN	NATIONWIDE DESTINATION LS 96	91,728.90	229.32	0.00	229.32	90.0	206.39
12/31/2016	GRIM, SUSAN	NW DESTINATION B2 05	7,758.00	14.55	0.00	14.55	90.0	13.10
12/31/2016	GRUBB, BEVERLY	NWL DESTINATION EV2 01	76,326.43	47.70	0.00	47.70	90.0	42.93
12/28/2016	FLECK, GERALD	TRANSAMERICA FREEDOM 2008	53,876.58	56.12	0.00	56.12	90.0	50.51
12/02/2016	RINGGER, MARK	TRANSAMERICA LIBERTY	127,653.07	106.38	0.00	106.38	90.0	95.74
12/04/2016	SCHMIDBAUER, JOSEPH	TRANSAMERICA LIBERTY	4,365.58	3.64	0.00	3.64	90.0	3.28
12/05/2016	MOORE, EILEEN	TRANSAMERICA LIBERTY	241,900.50	201.58	0.00	201.58	90.0	181.42
12/05/2016	MERZ, JOYCE	TRANSAMERICA LIBERTY	23,256.18	19.38	0.00	19.38	90.0	17.44
12/07/2016	RECKNER, COURTNEY	TRANSAMERICA LIBERTY	6,147.19	5.12	0.00	5.12	90.0	4.61
12/08/2016	ROSSWURM, ELAINE	TRANSAMERICA LIBERTY	94,781.25	78.98	0.00	78.98	90.0	71.08
12/09/2016	MUIR TRUST, JOHN & JOANN	TRANSAMERICA LIBERTY	48,634.27	40.53	0.00	40.53	90.0	36.48
12/12/2016	LABERDEE, LARRY	TRANSAMERICA LIBERTY	29,514.95	24.60	0.00	24.60	90.0	22.14
12/13/2016	WODA, HELENE	TRANSAMERICA LIBERTY	38,874.89	32.40	0.00	32.40	90.0	29.16
12/13/2016	FURNEY, DANNY	TRANSAMERICA LIBERTY	251,653.94	209.71	0.00	209.71	90.0	188.74

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/13/2016	MERZ, EDWIN	TRANSAMERICA LIBERTY	155,352.05	129.46	0.00	129.46	90.0	116.51
12/13/2016	BLADEL, JACQUELYN	TRANSAMERICA LIBERTY	74,329.91	61.94	0.00	61.94	90.0	55.75
12/15/2016	THOMPSON, ROBERT	TRANSAMERICA LIBERTY	477.47	0.40	0.00	0.40	90.0	0.36
12/15/2016	RICE, CHERYL	TRANSAMERICA LIBERTY	2,468.32	2.06	0.00	2.06	90.0	1.85
12/15/2016	JACOB, MARILYN	TRANSAMERICA LIBERTY	218,372.74	181.98	0.00	181.98	90.0	163.78
12/16/2016	LABERDEE, JOYCE	TRANSAMERICA LIBERTY	114,584.93	95.49	0.00	95.49	90.0	85.94
12/16/2016	RICE SR, RUSSELL	TRANSAMERICA LIBERTY	2,504.84	2.09	0.00	2.09	90.0	1.88
12/16/2016	RICE, SUSAN	TRANSAMERICA LIBERTY	120,277.18	100.23	0.00	100.23	90.0	90.21
12/17/2016	OSTER, JENNIFER	TRANSAMERICA LIBERTY	26,174.99	21.81	0.00	21.81	90.0	19.63
12/21/2016	MERZ, JOYCE	TRANSAMERICA LIBERTY	96,332.91	80.28	0.00	80.28	90.0	72.25
12/22/2016	SEXTON TRUST, MARY LOU	TRANSAMERICA LIBERTY	82,610.11	68.84	0.00	68.84	90.0	61.96
12/22/2016	SCHWEINHAGEN, RITA	TRANSAMERICA LIBERTY	38,847.20	32.37	0.00	32.37	90.0	29.13
12/22/2016	JEFFERS, DAVID	TRANSAMERICA LIBERTY	106,660.52	88.88	0.00	88.88	90.0	79.99
12/23/2016	SEITZINGER, MARK	TRANSAMERICA LIBERTY	60,308.92	50.26	0.00	50.26	90.0	45.23
12/23/2016	MERZ, JOYCE	TRANSAMERICA LIBERTY	95,693.22	79.74	0.00	79.74	90.0	71.77
12/24/2016	HALL, WAYNE	TRANSAMERICA LIBERTY	171,777.39	143.15	0.00	143.15	90.0	128.84
12/24/2016	RUTLEDGE, JOANNE	TRANSAMERICA LIBERTY	216,513.58	180.43	0.00	180.43	90.0	162.39
12/26/2016	WENZ, JAMES	TRANSAMERICA LIBERTY	26,671.71	22.23	0.00	22.23	90.0	20.01
12/26/2016	FISH, SANDRA	TRANSAMERICA LIBERTY	77,111.89	64.26	0.00	64.26	90.0	57.83
12/26/2016	ZAWODNI, CAROL	TRANSAMERICA LIBERTY	149,179.85	124.32	0.00	124.32	90.0	111.89
12/27/2016	DAVIS, HOWARD	TRANSAMERICA LIBERTY	32,324.97	26.94	0.00	26.94	90.0	24.25
12/28/2016	SHAFFER, EMILY	TRANSAMERICA LIBERTY	165,227.18	137.69	0.00	137.69	90.0	123.92
12/28/2016	COOPER, MARK	TRANSAMERICA LIBERTY	106,619.80	88.85	0.00	88.85	90.0	79.97
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$6,115,191.58</b>	<b>\$6,756.45</b>	<b>\$0.00</b>	<b>\$6,756.45</b>		<b>\$6,080.85</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$6,190,162.92</b>	<b>\$8,982.05</b>	<b>\$0.00</b>	<b>\$8,982.05</b>		<b>\$8,083.89</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
01/17/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$8,083.89
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-778.08
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,730.81
Year-to-date Gross	\$8,827.68
Year-to-date Earnings	\$8,083.89

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed