

## COMMISSION STATEMENT

April 30, 2018

JANICE GINSBURG  
100 AQUEDUCT DRIVE

BROKER #: V03 A03  
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

## COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/10/2018	75501483	SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
04/06/2018	75501836	FARKAS, RONALD	LOOMIS SAYLES GLOBAL BOND FUND RETA	S	19,600.95	0.00	0.00	0.00	50.0	0.00
04/06/2018	75501837	FARKAS, MARILYN	FEDERATED FIXED INC SECS INC STRATE	S	23,806.42	0.00	0.00	0.00	50.0	0.00
04/02/2018	75502168	LERNER, JEFFREY	AB INCOME FUND ADVISOR CLASS	S	11,143.20	0.00	0.00	0.00	50.0	0.00
04/02/2018	75502168	LERNER, JEFFREY	AMERICAN HIGH-INCOME TRUST-A	S	9,292.94	0.00	0.00	0.00	50.0	0.00
04/02/2018	75502168	LERNER, JEFFREY	THE BOND FUND OF AMERICA-A	S	9,870.50	0.00	0.00	0.00	50.0	0.00
04/12/2018	75502168	LERNER, JEFFREY	CAPITAL WORLD BOND FUND-A	S	4,347.31	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502170	LERNER, LORI	AB INCOME FUND ADVISOR CLASS	S	11,128.93	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502170	LERNER, LORI	AMERICAN HIGH-INCOME TRUST-A	S	9,302.08	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502170	LERNER, LORI	CAPITAL WORLD BOND FUND-A	S	4,345.14	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502170	LERNER, LORI	THE BOND FUND OF AMERICA-A	S	9,847.07	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *					\$113,184.54	\$0.00	\$0.00	\$0.00		\$0.00

**PRODUCT CATEGORY: STOCKS**

04/03/2018	75501623	PELBERG, FREDERICK	PEPSICO INC	S	6,250.81	100.00	0.00	100.00	50.0	50.00
04/26/2018	75501647	GINSBURG, JANICE	OPKO HEALTH INC	B	3,069.00	50.00	0.00	50.00	50.0	25.00
04/09/2018	75501655	KINSLEY, GEORGE	VODAFONE GROUP PLC SPONSORED ADR NO B		1,312.65	50.00	0.00	50.00	50.0	25.00
03/29/2018	75501659	PELBERG, STEVEN	EDITAS MEDICINE INC COM	B	886.41	50.00	0.00	50.00	50.0	25.00
04/04/2018	75501739	STAUFFER, JUDITH	3M COMPANY	B	10,869.88	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	ANADARKO PETROLEUM CORP	B	10,340.30	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	BRISTOL MYERS SQUIBB CO	S	6,306.34	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	KELLOGG COMPANY	B	13,003.32	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	MEDTRONIC PLC COM	S	7,886.68	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	UNITED NATURAL FOODS INC	S	8,732.04	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	VISA INC CL A COMMON STOCK	S	5,986.75	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501739	STAUFFER, JUDITH	WALT DISNEY CO	B	10,078.50	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE	FIRST TRUST EPRA/NAREIT DEVEL MKTS	S	831.11	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE	ISHARES 1-3 YEAR CREDIT BOND ETF	S	9,342.90	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE	ISHARES GLOBAL ENERGY ETF	S	1,599.88	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE	ISHARES MSCI GERMANY ETF	S	3,050.31	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE	ISHARES TIPS BOND ETF	S	2,368.22	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
04/09/2018	75501824	ALANCRAIG, DIANE		ISHARES U S OIL EQUIPMENT & SERVICE S	1,080.42	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE		SECTOR SPDR TRUST SBI CONSUMER STAP S	3,593.97	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE		SELECT SECTOR SPDR TRUST THE REAL E S	1,087.10	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE		SPDR GOLD TR GOLD SHS S	1,136.97	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE		VANECK VECTORS ETF TR GOLD MINERS E S	880.00	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE		WISDOMTREE JAPAN HEDGED EQUITY FUND S	3,235.97	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501824	ALANCRAIG, DIANE		WISDOMTREE TR MANAGED FUTURES STRAT S	2,843.29	0.00	0.00	0.00	50.0	0.00
03/29/2018	75501825	SEPTIEN, ALFREDO		SACHEM CAPITAL CORP COMMON SHARES S	6,916.80	50.00	0.00	50.00	50.0	25.00
04/09/2018	75501829	SEPTIEN, ALFREDO		ADVISORSHARES TR RANGER EQUITY BEAR S	724.46	0.00	0.00	0.00	50.0	0.00
04/09/2018	75501829	SEPTIEN, ALFREDO		ISHARES MSCI SWITZERLAND CAPPED ETF S	3,609.95	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501836	FARKAS, RONALD		BAIDU INC SPONSORED ADR REPSTG ORD S	10,912.50	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501836	FARKAS, RONALD		FACEBOOK INC CL A S	7,712.00	0.00	0.00	0.00	50.0	0.00
04/06/2018	75501836	FARKAS, RONALD		ISHARES FLOATING RATE BOND ETF B	15,984.17	0.00	0.00	0.00	50.0	0.00
04/06/2018	75501836	FARKAS, RONALD		PIMCO ENHANCED SHORT MATURITY ACTIV B	15,930.01	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501837	FARKAS, MARILYN		BAIDU INC SPONSORED ADR REPSTG ORD S	21,838.06	105.00	0.00	105.00	50.0	52.50
04/03/2018	75501837	FARKAS, MARILYN		BAIDU INC SPONSORED ADR REPSTG ORD B	-21,838.10	-105.00	0.00	-105.00	50.0	-52.50 C
04/03/2018	75501837	FARKAS, MARILYN		BAIDU INC SPONSORED ADR REPSTG ORD S	21,838.06	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501837	FARKAS, MARILYN		FACEBOOK INC CL A S	15,415.89	105.00	0.00	105.00	50.0	52.50
04/03/2018	75501837	FARKAS, MARILYN		FACEBOOK INC CL A B	-15,415.90	-105.00	0.00	-105.00	50.0	-52.50 C
04/03/2018	75501837	FARKAS, MARILYN		FACEBOOK INC CL A S	15,415.89	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501837	FARKAS, MARILYN		GENERAL MILLS INC S	4,399.10	101.30	0.00	101.30	50.0	50.65
04/03/2018	75501837	FARKAS, MARILYN		GENERAL MILLS INC B	-4,399.10	-101.30	0.00	-101.30	50.0	-50.65 C
04/03/2018	75501837	FARKAS, MARILYN		GENERAL MILLS INC S	4,399.10	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501837	FARKAS, MARILYN		NETFLIX COM INC S	28,072.00	105.00	0.00	105.00	50.0	52.50
04/03/2018	75501837	FARKAS, MARILYN		NETFLIX COM INC B	-28,072.00	-105.00	0.00	-105.00	50.0	-52.50 C
04/03/2018	75501837	FARKAS, MARILYN		NETFLIX COM INC S	28,072.00	0.00	0.00	0.00	50.0	0.00
04/06/2018	75501837	FARKAS, MARILYN		ISHARES FLOATING RATE BOND ETF B	45,050.93	0.00	0.00	0.00	50.0	0.00
04/06/2018	75501837	FARKAS, MARILYN		PIMCO ENHANCED SHORT MATURITY ACTIV B	44,950.77	0.00	0.00	0.00	50.0	0.00
04/26/2018	75501879	LIBOVE, MICHAEL		INTEL CORP B	10,489.32	0.00	0.00	0.00	50.0	0.00
04/26/2018	75501879	LIBOVE, MICHAEL		MICROSOFT CORP B	9,408.50	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		ISHARES S&P SMALL CAP 600 VALUE ETF S	6,693.30	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		ISHARES U S FINANCIALS ETF S	9,756.60	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		ISHARES U S CONSUMER SERVICES ETF S	9,598.10	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		ISHARES U S INDUSTRIALS ETF S	9,841.47	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		ISHARES U S BASIC MATERIALS ETF S	6,059.53	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		SPDR S&P 500 ETF TRUST S	6,731.73	0.00	0.00	0.00	50.0	0.00
04/03/2018	75501993	ZEGEN, LOUIS		STANDARD & POORS MIDCAP 400 SPDR S& S	6,048.95	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501993	ZEGEN, LOUIS		ISHARES FLOATING RATE BOND ETF B	2,035.60	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501993	ZEGEN, LOUIS		ISHARES MSCI EMERGING MARKETS ETF B	6,387.24	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501993	ZEGEN, LOUIS		ISHARES S&P SMALL CAP 600 GROWTH ET B	6,372.51	0.00	0.00	0.00	50.0	0.00

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
04/04/2018	75501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD	B	6,373.08	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501993 ZEGEN, LOUIS	PIMCO ENHANCED SHORT MATURITY ACTIV B		25,156.87	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1	B	6,386.16	0.00	0.00	0.00	50.0	0.00
04/04/2018	75501993 ZEGEN, LOUIS	SPDR SER TR BLOOMBERG BARCLAYS INTL B		2,861.49	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	ISHARES FLOATING RATE BOND ETF	S	12,731.25	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF	B	6,613.65	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 GROWTH ET B		6,495.35	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD	B	6,525.12	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	ISHARES TR S&P MIDCAP 400 GROWTH	B	5,451.00	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1	B	6,432.80	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR	B	5,570.37	0.00	0.00	0.00	50.0	0.00
04/11/2018	75501993 ZEGEN, LOUIS	SPDR SER TR BLOOMBERG BARCLAYS INTL S		26,021.70	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502165 SEPTIEN, JULIA	CISCO SYS INC	S	2,562.77	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502165 SEPTIEN, JULIA	GENERAL MOTORS COMPANY	S	1,734.30	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502165 SEPTIEN, JULIA	HSBC HOLDINGS PLC SPONSORED ADR	S	1,939.81	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502165 SEPTIEN, JULIA	OCCIDENTAL PETE CORP	S	3,257.64	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502165 SEPTIEN, JULIA	PACCAR INC	S	1,762.09	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502165 SEPTIEN, JULIA	PROCTER & GAMBLE CO	S	3,465.11	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	3M COMPANY	S	4,070.61	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	DOWDUPONT INC COMMON STOCK	S	2,993.43	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	EXXON MOBIL CORPORATION	S	1,940.45	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	OCCIDENTAL PETE CORP	S	2,260.07	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	PROCTER & GAMBLE CO	S	2,592.23	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	SIMON PROPERTY GROUP INC	S	2,164.30	50.00	0.00	50.00	50.0	25.00
04/03/2018	75502166 SEPTIEN, JORGE	WAL-MART STORES INC	S	3,112.65	50.00	0.00	50.00	50.0	25.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF S		-28,341.60	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF B		28,341.59	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	S	-9,159.35	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	B	9,159.35	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF	S	-11,116.50	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF	B	11,116.55	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF	S	-20,502.30	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF	S	-20,505.20	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF	B	20,505.23	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF	B	20,502.29	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF	S	-47,374.60	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF	B	47,374.64	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	S	-11,187.30	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	B	11,187.27	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	S	-4,285.94	0.00	0.00	0.00	50.0	0.00 C

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/14/2018	75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	B	4,285.94	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	S	-28,233.10	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	B	28,233.13	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	S	-28,350.70	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	B	28,350.65	0.00	0.00	0.00	50.0	0.00
03/14/2018	75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& S	S	-26,746.50	0.00	0.00	0.00	50.0	0.00 C
03/14/2018	75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& B	B	26,746.49	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF S	S	14,466.59	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF	S	21,138.21	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF	S	21,150.68	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF	S	20,827.24	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF	S	13,251.59	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF	S	20,291.68	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	S	3,329.34	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST	S	17,102.75	0.00	0.00	0.00	50.0	0.00
04/03/2018	75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& S	S	13,465.30	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF	B	16,996.93	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF	B	14,405.98	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 GROWTH ET B	B	14,579.20	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	B	14,506.55	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	PIMCO ENHANCED SHORT MATURITY ACTIV B	B	57,615.08	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	B	14,250.80	0.00	0.00	0.00	50.0	0.00
04/04/2018	75502182 GIRGENTI, V JAMES	SPDR SER TR BLOOMBERG BARCLAYS INTL B	B	19,069.02	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF	S	28,876.29	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF	B	15,403.19	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 GROWTH ET B	B	15,136.00	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD	B	15,118.41	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH	B	13,777.46	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1	B	15,336.80	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR	B	13,618.08	0.00	0.00	0.00	50.0	0.00
04/11/2018	75502182 GIRGENTI, V JAMES	SPDR SER TR BLOOMBERG BARCLAYS INTL S	S	59,418.98	0.00	0.00	0.00	50.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$1,050,318.75</b>	<b>\$950.00</b>	<b>\$0.00</b>	<b>\$950.00</b>		<b>\$475.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/12/2018	(None) VARIOUS, GINSBURG	AMERICAN LEGACY ANNUNITY	B	0.00	25.54	0.00	25.54	50.0	12.77
04/17/2018	(None) VARIOUS, GINSBURG	JACKSON	B	0.00	118.30	0.00	118.30	50.0	59.15
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$143.84</b>	<b>\$0.00</b>	<b>\$143.84</b>		<b>\$71.92</b>

[illegible]

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-58.81
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-38.06
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-30.73
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-63.21
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-58.88
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-60.30
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB:UNBUNDLED QTRTRY FEE (LPCM):		0.00	0.00	0.00	0.00		-30.87
04/30/2018		DEUNC:UNBUNDLED NEW ACCT FEE (LPCM)		0.00	0.00	0.00	0.00		62.64
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-595.10

BROKER COMMISSION TOTALS

Broker Transactions	\$3,251.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-595.10
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.14
SIPC Assessment	\$-16.29

Check Amount	\$2,631.96
Year-to-date Earnings	\$9,655.61