



Concorde Investment Services, LLC

09/28/2017
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COMMISSION STATEMENT **September 30, 2017**

ROBERT LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/01/2017	GRIFFIN, DOUGLAS	AM FUNDS AMCAP A	0.00	192.87	0.00	192.87	95.0	183.23
09/01/2017	SALANITRO, ISABEL	AM FUNDS BOND FUND OF AMERICA A	0.00	3.62	0.00	3.62	95.0	3.44
09/01/2017	KAMPMANN, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.17	0.00	8.17	95.0	7.76
09/01/2017	SALANITRO, ISABEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.48	0.00	31.48	95.0	29.91
09/01/2017	KAMPMANN, MURIEL	AM FUNDS ICA C	0.00	5.94	0.00	5.94	95.0	5.64
09/01/2017	CHIAPPERINO, LORRAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.40	0.00	0.40	95.0	0.38
09/01/2017	BECKWITH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.34	0.00	4.34	95.0	4.12
09/01/2017	YBAY, ERLINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	95.0	0.21
09/01/2017	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.02	0.00	0.02	95.0	0.02
09/01/2017	KAMPMANN, PATRICIA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	33.99	0.00	33.99	95.0	32.29
09/01/2017	KAMPMANN, MURIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.94	0.00	5.94	95.0	5.64
09/06/2017	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	4.61	0.00	4.61	95.0	4.38
09/06/2017	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
09/06/2017	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	3.99	0.00	3.99	95.0	3.79
09/06/2017	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.41	0.00	0.41	95.0	0.39
08/31/2017	HANAK, EMMA	EATON VANCE NATIONAL MUNICIPAL INCO	0.00	32.54	0.00	32.54	95.0	30.91
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
09/11/2017	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
09/05/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
09/14/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
09/08/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	147.29	1.47	0.00	1.47	95.0	1.40
09/11/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	134.23	1.34	0.00	1.34	95.0	1.27
09/08/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.51	0.00	2.51	95.0	2.38
09/08/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.27	0.00	1.27	95.0	1.21
09/08/2017	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.25	0.00	0.25	95.0	0.24
09/08/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.26	0.00	1.26	95.0	1.20
09/08/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.55	0.00	1.55	95.0	1.47
09/08/2017	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	6.62	0.00	6.62	95.0	6.29
09/08/2017	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.19	0.00	0.19	95.0	0.18
09/08/2017	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.79	0.00	0.79	95.0	0.75
09/08/2017	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.46	0.00	6.46	95.0	6.14
* MUTUAL FUNDS SUBTOTAL *			\$1,209.22	\$366.53	\$0.00	\$366.53		\$348.17
<u>PRODUCT CATEGORY: STOCKS</u>								
09/15/2017	CHAPMAN, ESTELLE	HEALTHCARE TR AMER INC CL A NEW	-10,410.46	30.00	22.50	7.50	95.0	7.13
* STOCKS SUBTOTAL *			\$-10,410.46	\$30.00	\$22.50	\$7.50		\$7.13
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/18/2017	LENTINI, ANNA	HARTFORD LEADERS PLUS 2	25,000.00	1,375.00	0.00	1,375.00	95.0	1,306.25
09/05/2017	MOLNAR, J S KIP	JNL 468511134	1,000.00	45.00	0.00	45.00	95.0	42.75
09/11/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,000.00	55.00	0.00	55.00	95.0	52.25
09/05/2017	GALLAS, MATTHEW	PAC LIFE INNOVATIVE SELECT	0.00	410.37	0.00	410.37	95.0	389.85
09/07/2017	GARDNER, MARILYN	PAC LIFE INNOVATIVE SELECT	0.00	323.98	0.00	323.98	95.0	307.78

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
* VARIABLE ANNUITIES SUBTOTAL *			\$27,000.00	\$2,209.35	\$0.00	\$2,209.35		\$2,098.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/11/2017	MINARDI, LOUIS	ING EMPIRE INNOVATIONS STD OPT A	0.00	173.98	0.00	173.98	95.0	165.28
09/18/2017	CONNOR, BURTON	PAC LIFE INNOVATIVE SELECT	0.00	83.25	0.00	83.25	95.0	79.09
09/11/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	68.11	0.00	68.11	95.0	64.70
09/11/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	68.09	0.00	68.09	95.0	64.69
09/11/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	85.23	0.00	85.23	95.0	80.97
09/11/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	85.21	0.00	85.21	95.0	80.95
09/12/2017	BREITFELLER, CYNTHIA	PAC LIFE INNOVATIVE SELECT NY	0.00	230.83	0.00	230.83	95.0	219.29
09/14/2017	MINARDI, DONNA	PAC LIFE INNOVATIVE SELECT NY	0.00	196.07	0.00	196.07	95.0	186.27
09/14/2017	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	8.42	0.00	8.42	95.0	8.00
09/14/2017	KNAACK, PATRICIA	PAC LIFE INNOVATIVE SELECT NY	0.00	839.80	0.00	839.80	95.0	797.81
09/15/2017	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	27.08	0.00	27.08	95.0	25.73
09/15/2017	KNAACK, PATRICIA	PAC LIFE INNOVATIVE SELECT NY	0.00	357.09	0.00	357.09	95.0	339.24
09/15/2017	SALANITRO, ISABEL	PAC LIFE INNOVATIVE SELECT NY	0.00	422.52	0.00	422.52	95.0	401.39
09/18/2017	NOONAN, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	112.40	0.00	112.40	95.0	106.78
09/18/2017	MINARDI, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	229.36	0.00	229.36	95.0	217.89
09/18/2017	HANSON, ALEXANDRA	PAC LIFE INNOVATIVE SELECT NY	0.00	149.27	0.00	149.27	95.0	141.81
09/20/2017	VIONE, LESLEY	PAC LIFE INNOVATIVE SELECT NY	0.00	240.52	0.00	240.52	95.0	228.49
09/21/2017	PEREIRA, LUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	200.09	0.00	200.09	95.0	190.09
09/21/2017	DECASTRO, NEUSA	PAC LIFE INNOVATIVE SELECT NY	0.00	123.46	0.00	123.46	95.0	117.29
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,700.78	\$0.00	\$3,700.78		\$3,515.76
*** BROKER TRANSACTIONS TOTAL ***			\$17,798.76	\$6,306.66	\$22.50	\$6,284.16		\$5,969.94

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: L149-ROBERT LUCHSINGER #388</u>								
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.75	0.00	4.75	0.0	1.90
09/08/2017	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.27	0.00	2.27	0.0	0.91
09/08/2017	TIERNAN, JOAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.02	0.00	2.02	0.0	0.81
09/08/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.41	0.00	1.41	0.0	0.56
09/08/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	11.67	0.00	11.67	0.0	4.67
09/08/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.76	0.00	3.76	0.0	1.50
09/08/2017	TIERNAN, JOAN	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.71	0.00	1.71	0.0	0.68
09/08/2017	TIERNAN, JOAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.32	0.00	1.32	0.0	0.53
09/08/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.38	0.00	1.38	0.0	0.55
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$0.00	\$30.29	\$0.00	\$30.29		\$12.11
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$30.29	\$0.00	\$30.29		\$12.11

BROKER COMMISSION TOTALS

Broker Transactions	\$5,969.94
Split Transactions	\$0.00
Override Transactions	\$12.11
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,982.05
Year-to-date Gross	\$170,587.57
Year-to-date Earnings	\$163,090.96

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