



All Companies

01/30/2018  
Page No. 1

# COMMISSION STATEMENT

## February 01, 2018

JERRY SINGLETON  
700 THROCKMORTON STREET

BROKER #: 8373  
BRANCH: HOME OFFICE

FORT WORTH, TX 76102

### COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

12/31/2017	TRAILS	-ALLIANCE BERNSTEIN	B	10.98	10.98	0.00	10.98	90.0	9.88
12/31/2017	TRAILS	-ALLIANCE BERNSTEIN	B	118.92	118.92	0.00	118.92	90.0	107.03
12/31/2017	TRAILS	-FIDELITY ADVISOR FUNDS	B	277.74	277.74	0.00	277.74	90.0	249.97
12/29/2017	TRAILS	-PRUDENTIAL MUTUAL FUND	B	40.35	40.35	0.00	40.35	90.0	36.32
12/31/2017	TRAILS	-PUTNAM	B	25.84	25.84	0.00	25.84	90.0	23.26
12/31/2017	TRAILS	-PUTNAM	B	1,005.83	1,005.83	0.00	1,005.83	90.0	905.25
01/16/2018	ALTIERI, KEVIN/TIERNI	-PUTNAM	B	50.00	2.50	0.00	2.50	90.0	2.25
12/29/2017	TRAILS	-VIRTUS FUNDS	B	97.74	97.74	0.00	97.74	90.0	87.97
12/31/2017	TRAILS	COLLEGEBOUND 529	B	187.22	187.22	0.00	187.22	90.0	168.50
12/31/2017	TRAILS	COLLEGEBOUND 529	B	12.98	12.98	0.00	12.98	90.0	11.68
12/26/2017	SIMMONS, GREG/COLLEEN	DELAWARE - GENERAL	B	25.00	1.25	0.00	1.25	90.0	1.13
12/31/2017	TRAILS	DELAWARE - GENERAL	B	99.89	99.89	0.00	99.89	90.0	89.90
12/31/2017	TRAILS	DELAWARE - GENERAL	B	12.94	12.94	0.00	12.94	90.0	11.65
12/31/2017	TRAILS	DREYFUS - GENERAL	B	2.67	2.67	0.00	2.67	90.0	2.40
12/29/2017	TRAILS	EATON VANCE - GENERAL	B	5.25	5.25	0.00	5.25	90.0	4.73
12/29/2017	TRAILS	EATON VANCE - GENERAL	B	1.69	1.69	0.00	1.69	90.0	1.52
12/31/2017	TRAILS	NUVEEN INVESTMENTS	B	8.00	8.00	0.00	8.00	90.0	7.20
01/16/2018	00001728334 SANDAGO, ALLYSON	JOHN HANCOCK GROWTH & INCOME FD CL B	B	100.00	4.25	0.00	4.25	90.0	3.83
01/16/2018	000060160708608 HENRY, ERIC	FRANKLIN GROWTH FD CL I	B	200.00	10.00	0.00	10.00	90.0	9.00
01/16/2018	00042508591 UTMA/TX	PUTNAM EQUITY INCOME FD CL A	B	200.00	10.00	0.00	10.00	90.0	9.00
01/10/2018	00059157984 AHLENIUS TEN COM, JEANETTE	AMERICAN FUNDS INVESTMENT COMP OF A B	B	700.00	19.25	0.00	19.25	90.0	17.33
01/11/2018	00059964494 SHOEMAKER, THOMAS	AMERICAN FUNDS INVESTMENT COMP OF A B	B	200.00	5.50	0.00	5.50	90.0	4.95
01/10/2018	00060932562 LIEBERMAN, NORMAN/JENNIFER	AMERICAN FUNDS EURO PACIFIC GROWT B	B	50.00	2.13	0.00	2.13	90.0	1.92
01/10/2018	00063853534 ALTIERI, TIERNI	AMERICAN FUNDS WA MUTUAL INVES B	B	50.00	1.88	0.00	1.88	90.0	1.69
01/16/2018	00073026805 KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529A	B	125.00	3.44	0.00	3.44	90.0	3.10
01/16/2018	00074688559 HARRIS, CHAD	AMERICAN FUNDS AMERICAN MUTUAL FD	B	458.00	12.60	0.00	12.60	90.0	11.34
01/16/2018	00074688631 HARRIS, ANGELA L.	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	100.00	2.75	0.00	2.75	90.0	2.48
01/16/2018	00081283633 HARRIS, ANGELA	AMERICAN FUNDS INCOME FD OF AMERI B	B	100.00	2.75	0.00	2.75	90.0	2.48
01/16/2018	00081284351 HARRIS, CHAD	CAPITAL WORLD GROWTH AND INCOME-529 B	B	150.00	1.50	0.00	1.50	90.0	1.35

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
01/12/2018	00081489987	STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	1.00	0.00	1.00	90.0	0.90
01/12/2018	00081490017	STAUFFER, TRAVIS	CAPITAL WORLD GROWTH AND INCOME-529	B	100.00	1.00	0.00	1.00	90.0	0.90
01/16/2018	00083335257	KOTARA, KELLEN	THE GROWTH FUND OF AMERICA-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
01/08/2018	00085259047	PLUMBLEY, COLE	THE GROWTH FUND OF AMERICA-529C	B	500.00	5.00	0.00	5.00	90.0	4.50
01/08/2018	00085259061	PLUMBLEY, COLE	THE GROWTH FUND OF AMERICA-529C	B	500.00	5.00	0.00	5.00	90.0	4.50
01/10/2018	00085279516	ALTIERI, NICOLE	THE GROWTH FUND OF AMERICA-529A	B	75.00	2.81	0.00	2.81	90.0	2.53
01/16/2018	00086483945	SINGLETON, ELIZABETH	THE NEW ECONOMY FUND-C	B	350.00	3.50	0.00	3.50	90.0	3.15
01/16/2018	00086502248	RUEDEMAN, KOBIE	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/16/2018	00087833281	GARRISON, JAMES/KATE	GLOBAL GROWTH PORTFOLIO-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
01/10/2018	00089307555	JOBE, LISA GLENN	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/16/2018	00089307555	JOBE, LISA GLENN	THE GROWTH FUND OF AMERICA-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/16/2018	00089704151	DELK, KYLIE/BAYLIE	THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
01/02/2018	00431134561	MINGUS, TIM N	PUTNAM EQUITY INCOME FD CL A	B	100.00	2.75	0.00	2.75	90.0	2.48
01/16/2018	00431134561	MINGUS, TIM N	PUTNAM GROWTH OPPORTUNITIES FD CL A	B	100.00	2.75	0.00	2.75	90.0	2.48
01/02/2018	00511514163	ORENDER, KARI	PUTNAM NEW OPPORTUNITIES FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/03/2018	00551021580	UNIF TRANSFER TO M/A, U/TX	PUTNAM GROWTH OPPORTUNITIES FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/03/2018	00551021580	UNIF TRANSFER TO M/A, U/TX	PUTNAM INVESTORS FD CL A	B	25.00	1.25	0.00	1.25	90.0	1.13
01/16/2018	00551326780	ALTIERI, KEVIN/VINCENT	-PUTNAM	B	25.00	1.25	0.00	1.25	90.0	1.13
01/10/2018	00671173702	ORENDER, KARI	INVESCO VAN KAMPEN G & I FD	B	25.00	1.25	0.00	1.25	90.0	1.13
01/10/2018	00671173702	ORENDER, KARI	IVK AMERICAN FRANCHISE FUND - CLASS	B	25.00	1.25	0.00	1.25	90.0	1.13
01/16/2018	00671187305	FISCHER JT TEN, WILLIAM	IVK AMERICAN FRANCHISE FUND - CLASS	B	100.00	4.00	0.00	4.00	90.0	3.60
01/02/2018	01560374108	DESBIEN, ROBERT K.	MFS MUNICIPAL INCOME - A1	B	60.00	2.40	0.00	2.40	90.0	2.16
01/02/2018	02000062628	TERRY, STACEY/GRIFFEN	-SCHOLARS EDGE 529 PLAN	B	25.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	02000062628	TERRY, STACEY/GRIFFEN	ULTRA CONSERVATIVE PORTFOLIO A	B	25.00	1.00	0.00	1.00	90.0	0.90
01/02/2018	03310426468	JACKSON, ANNIE	ALLIANCE GROWTH FD CL A	B	50.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	08182673206	F HAWKINS, RANDY	MFS TOTAL RETURN FD CL A	B	200.00	8.00	0.00	8.00	90.0	7.20
01/02/2018	08184436668	LEPERT, THOMAS B.	MFS MUNICIPAL INCOME - A1	B	50.00	2.00	0.00	2.00	90.0	1.80
01/02/2018	08184749125	HUGHES, HENRY A	MFS MUNICIPAL INCOME - A1	B	100.00	4.00	0.00	4.00	90.0	3.60
01/16/2018	08184753175	CARROLL, MELINDA	MFS EMERGING GROWTH FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/16/2018	08184753824	BROWN, DAVID P.	MFS TOTAL RETURN FD CL A	B	50.00	2.50	0.00	2.50	90.0	2.25
01/10/2018	08697809525	TEDDER, THOMAS V	COLUMBIA SELIGMAN COMM & INFO FD A	B	50.00	1.88	0.00	1.88	90.0	1.69
01/02/2018	09896322015	BELCHER, DAVID	MFS GOVT SECURITIES FD CL B	B	200.00	8.00	0.00	8.00	90.0	7.20

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
EDWARD									
01/05/2018	3301699247 LESSOR, RANDY	OPPENHEIMER GLOBAL FD CL A	B	50.00	2.38	0.00	2.38	90.0	2.14
01/05/2018	7004732002 ORENDER, KARI A	OPPENHEIMER MAIN STREET INCOME & GR B		25.00	1.19	0.00	1.19	90.0	1.07
* MUTUAL FUNDS SUBTOTAL *				<u>\$7,951.04</u>	<u>\$2,071.25</u>	<u>\$0.00</u>	<u>\$2,071.25</u>		<u>\$1,864.22</u>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
01/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	6,174.22	6,174.22	0.00	6,174.22	90.0	5,556.80
* STOCKS SUBTOTAL *				<u>\$6,174.22</u>	<u>\$6,174.22</u>	<u>\$0.00</u>	<u>\$6,174.22</u>		<u>\$5,556.80</u>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
01/05/2018	TRAILS	-JOHN HANCOCK	B	33.74	33.74	0.00	33.74	90.0	30.37
01/02/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	425.81	425.81	0.00	425.81	90.0	383.23
12/31/2017	ROBINSON, EDDIE L	PHOENIX HOME LIFE	B	219.55	2.19	0.00	2.19	90.0	1.97
01/05/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	48.13	48.13	0.00	48.13	90.0	43.32
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$727.23</u>	<u>\$509.87</u>	<u>\$0.00</u>	<u>\$509.87</u>		<u>\$458.89</u>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>									
01/10/2018	SHEPPARD, JOE L	NATIONWIDE LIFE	B	125.00	1.25	0.00	1.25	90.0	1.13
* LIFE INSURANCE SUBTOTAL *				<u>\$125.00</u>	<u>\$1.25</u>	<u>\$0.00</u>	<u>\$1.25</u>		<u>\$1.13</u>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
01/12/2018	35117029 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	76.67	0.00	76.67	90.0	69.00
01/12/2018	50875792 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	214.00	0.00	214.00	90.0	192.60
01/12/2018	58959215 PIERSON, PEPPER ANN	SIGNAL SECURITIES RIA	B	0.00	52.00	0.00	52.00	90.0	46.80
01/12/2018	61321609 WALSH, THOMAS B.	SIGNAL SECURITIES RIA	B	0.00	343.67	0.00	343.67	90.0	309.30
01/12/2018	69982324 PELIZZONI FAM REV	SIGNAL SECURITIES RIA	B	0.00	528.00	0.00	528.00	90.0	475.20
TRST, EUGENE & BECKY									
01/12/2018	71160962 MOORE, PHILIP WAYNE	SIGNAL SECURITIES RIA	B	0.00	185.67	0.00	185.67	90.0	167.10
01/12/2018	90838267 KENNEDY TRUST, MARY	SIGNAL SECURITIES RIA	B	0.00	491.33	0.00	491.33	90.0	442.20
RUTH									
* RIA SUBTOTAL *				<u>\$0.00</u>	<u>\$1,891.34</u>	<u>\$0.00</u>	<u>\$1,891.34</u>		<u>\$1,702.20</u>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	83.24	0.00	83.24	90.0	74.93
02/01/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	2,784.98	0.00	2,784.98	90.0	2,506.61
02/01/2018	TRAIL COMMISSIONS	INVESCO FAMILY OF FUNDS FEES		0.00	62.29	0.00	62.29	90.0	56.07
02/01/2018	TRAIL COMMISSIONS	AIG FUNDS FEES		0.00	4.02	0.00	4.02	90.0	3.62
02/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	1,503.96	0.00	1,503.96	90.0	1,353.63
02/01/2018	TRAIL COMMISSIONS	DWS INVESTMENTS SERVICE COMPANY FEE		0.00	347.48	0.00	347.48	90.0	312.76
02/01/2018	TRAIL COMMISSIONS	TRANSAMERICA FEES		0.00	18.55	0.00	18.55	90.0	16.69
02/01/2018	TRAIL COMMISSIONS	IDEX INVESTOR SERVICES, INC FEES		0.00	11.70	0.00	11.70	90.0	10.53

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/01/2018	TRAIL COMMISSIONS	DEUTSCHE ASSET & WEALTH MANAGEMENT		0.00	23.95	0.00	23.95	90.0	21.56
02/01/2018	TRAIL COMMISSIONS	PIONEER SERVICES CORPORATION FEES		0.00	47.03	0.00	47.03	90.0	42.32
02/01/2018	TRAIL COMMISSIONS	WELLS FARGO FUNDS FEES		0.00	22.88	0.00	22.88	90.0	20.59
02/01/2018	TRAIL COMMISSIONS	DAVIS FUNDS FEES		0.00	23.73	0.00	23.73	90.0	21.36
02/01/2018	TRAIL COMMISSIONS	IVY FUNDS FEES		0.00	27.87	0.00	27.87	90.0	25.08
02/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	12.72	0.00	12.72	90.0	11.45
02/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	194.45	0.00	194.45	90.0	175.01
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$5,168.85</b>	<b>\$0.00</b>	<b>\$5,168.85</b>		<b>\$4,652.21</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>									
02/01/2018	TRAIL COMMISSIONS	JACKSON NATIONAL LIFE INSURANCE COM		0.00	146.81	0.00	146.81	90.0	132.13
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$146.81</b>	<b>\$0.00</b>	<b>\$146.81</b>		<b>\$132.13</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$14,977.49</b>	<b>\$15,963.59</b>	<b>\$0.00</b>	<b>\$15,963.59</b>		<b>\$14,367.58</b>

**SPLIT TRANSACTIONS****BROKER: SINGLETON/SNOW #9575**

01/12/2018	57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	B	0.00	115.83	0.00	115.83	90.0	104.25
01/12/2018	97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	B	0.00	167.16	0.00	167.16	90.0	150.44
		<b>* SINGLETON/SNOW SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$282.99</b>	<b>\$0.00</b>	<b>\$282.99</b>		<b>\$254.69</b>

**BROKER: SINGLETON/MOYNIHAN #9595**

01/12/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.84	0.00	62.84	90.0	56.56
01/12/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
01/12/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	49.84	0.00	49.84	90.0	44.86
01/12/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.84	0.00	79.84	90.0	71.86
01/12/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	130.67	0.00	130.67	90.0	117.60
01/12/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.84	0.00	93.84	90.0	84.46
01/12/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	242.84	0.00	242.84	90.0	218.56
01/12/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.34	0.00	88.34	90.0	79.51
01/12/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.84	0.00	0.84	90.0	0.76
01/12/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.84	0.00	63.84	90.0	57.46
01/12/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
01/12/2018	82910782 LAVENDER, JANICE	SIGNAL SECURITIES RIA	B	0.00	59.67	0.00	59.67	90.0	53.70

COMMISSION STATEMENT for JERRY SINGLETON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

SPLIT TRANSACTIONSBROKER: SINGLETON/MOYNIHAN #9595

ARLENE

01/12/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	62.50	0.00	62.50	90.0	56.25
* SINGLETON/MOYNIHAN SUBTOTAL *				\$0.00	\$1,197.40	\$0.00	\$1,197.40		\$1,077.68

BROKER: SINGLETON/POOL #9626

02/01/2018 TRAIL COMMISSIONS

	INVESCO LTD FEES			0.00	4.04	0.00	4.04	90.0	3.64
* SINGLETON/POOL SUBTOTAL *				\$0.00	\$4.04	\$0.00	\$4.04		\$3.64
*** SPLIT TRANSACTIONS TOTAL ***				\$0.00	\$1,484.43	\$0.00	\$1,484.43		\$1,336.01

ADJUSTMENTS

02/01/2018	HILLTOP: CLEARING CHARGES			0.00	0.00	0.00	0.00		-574.50
02/01/2018	HILLTOP: CUSTOMER WRITE OFF			0.00	0.00	0.00	0.00		-12.00
02/01/2018	HILLTOP: MISC TICKET FEES			0.00	0.00	0.00	0.00		56.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-530.00

BROKER COMMISSION TOTALS

Broker Transactions	\$14,371.16
Split Transactions	\$1,332.43
Override Transactions	\$0.00
Adjustments	\$-530.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$15,173.59
Year-to-date Earnings	\$27,482.34