



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2017

04/12/2017
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Troy, MI 48085

BROKER #: F118
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/10/2017	ROBINSON, HARRY	AM FUNDS INCOME FUND OF AMERICA A	0.00	10.38	0.00	10.38	80.0	8.30
03/10/2017	HECHT, ROBERT	DAVIS NEW YORK VENTURE A	0.00	27.54	0.00	27.54	80.0	22.03
03/10/2017	ROBINSON, HARRY	DAVIS NEW YORK VENTURE A	0.00	4.21	0.00	4.21	80.0	3.37
/ /	SWEET, ANNE MARIE	FIDELITY ADV GROWTH OPP	0.00	11.54	0.00	11.54	80.0	9.23
/ /	HECHT, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	4.00	0.00	4.00	80.0	3.20
03/10/2017	BLOSSER, EDNA	FIDELITY ADV HEALTH CARE T	0.00	7.90	0.00	7.90	80.0	6.32
/ /	MAJEROWSKI, MICHAEL	FIRST INVESTORS MULTI STATE INSD TA	0.00	49.54	0.00	49.54	80.0	39.63
03/10/2017	DZIUBA, ELIZABETH	FRANKLIN INCOME A	0.00	4.89	0.00	4.89	80.0	3.91
04/01/2017	WEBER, DAVID	INVESCO INTERNATIONAL GROWTH A	0.00	1.94	0.00	1.94	80.0	1.55
/ /	WEBER, KEVIN	OPPENHEIMER MAIN STREET A	0.00	2.90	0.00	2.90	80.0	2.32
03/10/2017	HECHT, ROBERT	PIONEER GROWTH OPPORTUNITIES A	0.00	4.38	0.00	4.38	80.0	3.50
04/03/2017	O'NEIL, ELSIE	PUTNAM DIVERSIFIED INCOME A	0.00	23.29	0.00	23.29	80.0	18.63
04/03/2017	MOSS, MICHAEL	PUTNAM EQUITY INCOME A	0.00	4.54	0.00	4.54	80.0	3.63
04/03/2017	PLAN	PUTNAM EQUITY INCOME A	0.00	0.97	0.00	0.97	80.0	0.78
04/03/2017	DZIUBA, ELIZABETH	PUTNAM GROWTH OPP A	0.00	3.22	0.00	3.22	80.0	2.58
04/03/2017	POWELL, MARCIA	PUTNAM HIGH YIELD ADVANTAGE A	0.00	0.20	0.00	0.20	80.0	0.16
04/03/2017	GABBARD, MICHAEL	PUTNAM HIGH YIELD TRUST A	0.00	25.10	0.00	25.10	80.0	20.08
04/03/2017	MAJEROWSKI, MICHAEL	PUTNAM MI TAX EXEMPT INCOME A	0.00	80.73	0.00	80.73	80.0	64.58
04/03/2017	TRIBBLE, GERALD	PUTNAM MI TAX EXEMPT INCOME A	0.00	17.15	0.00	17.15	80.0	13.72
04/03/2017	MOSS, MICHAEL	PUTNAM MULTI CAP GROWTH A	0.00	5.43	0.00	5.43	80.0	4.34
04/03/2017	TRIBBLE, GERALD	PUTNAM TAX-FREE HIGH YIELD-A	0.00	29.83	0.00	29.83	80.0	23.86
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$319.68	\$0.00	\$319.68		\$255.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	CORNISH, JUDITH	ALLIANZ ALTERITY OPTIONS	90,037.69	112.55	0.00	112.55	80.0	90.04
03/31/2017	FENBERT, JOHN	ALLIANZ HIGH FIVE L	83,196.91	207.99	0.00	207.99	80.0	166.39
03/31/2017	HECHT, ROBERT	ALLIANZ HIGH FIVE L	221,023.15	552.56	0.00	552.56	80.0	442.05

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2017	HECHT, KATHLEEN	ALLIANZ HIGH FIVE L	51,633.90	129.08	0.00	129.08	80.0	103.26
03/31/2017	LOUDON, DIANE	ALLIANZ VISION	31,952.10	79.88	0.00	79.88	80.0	63.90
03/31/2017	COX, BERNADETTE	HARTFORD PHCM V (HL)	1,822.31	2.73	0.00	2.73	80.0	2.18
03/31/2017	BESSETTE MD, PHYLLIS TORRES	PRU PREMIER RETIREMENT L	496,962.97	1,242.41	0.00	1,242.41	80.0	993.93
* VARIABLE ANNUITIES SUBTOTAL *			\$976,629.03	\$2,327.20	\$0.00	\$2,327.20		\$1,861.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	BOGNETTI, JOSEPHINE	LFG TRAILS	0.00	148.30	0.00	148.30	80.0	118.64
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$148.30	\$0.00	\$148.30		\$118.64
*** BROKER TRANSACTIONS TOTAL ***			\$976,629.03	\$2,795.18	\$0.00	\$2,795.18		\$2,236.11
<u>ADJUSTMENTS</u>								
04/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
04/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,236.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-99.81
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,561.30
Year-to-date Gross	\$11,014.74
Year-to-date Earnings	\$8,811.75