BROKER

All Companies

R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

August 31, 2018

JENNIFER HIEBER

171 EAST 84TH STREET APT 33C

NEW YORK, NY 10028-

### BROKER #: R43

GROSS

BRANCH: FULCRUM GROUP

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### COMMISSION STATEMENT for JENNIFER HIEBER

INVESTMENT

| TRADE DATE   | CLIENT             |           | INVESTMENT                       | B/S           | AMOUNT      | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
|--------------|--------------------|-----------|----------------------------------|---------------|-------------|------------|--------|------------|------|------------|
|              |                    |           |                                  |               |             |            |        |            |      |            |
|              |                    |           | BROKER 1                         | rans <i>i</i> | CTIONS      |            |        |            |      |            |
| PRODUCT CA   | TEGORY: STOCKS     |           |                                  |               |             |            |        |            |      |            |
| 08/08/2018 Ç | QGW037236 JACOBSO  | N, HARVEY | AT&T INC                         | S             | -6,488.62   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/15/2018 Ç | QGW037236 JACOBSOI | N, HARVEY | EDWARDS LIFESCIENCES CRP         | S             | -5,480.44   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/20/2018 Ç | QGW037236 JACOBSOI | N, HARVEY | CVS HEALTH CORPORATION           | S             | -13,385.45  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 Ç | QGW037244 HEIBER,  | JEAN      | DIEBOLD INCORPORATED             | В             | 4,491.20    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 Ç | QGW037244 HEIBER,  | JEAN      | SQUARE INC CLASS A COM           | S             | -76,250.00  | 1,000.00   | 0.00   | 1,000.00   | 60.0 | 600.00     |
| 08/22/2018 Q | QGW037244 HEIBER,  | JEAN      | WORLD WRESTLING ENTERTAINMENT IN | CCS           | -80,000.00  | 1,000.00   | 0.00   | 1,000.00   | 60.0 | 600.00     |
| 08/24/2018 Q | QGW037244 HEIBER,  | JEAN      | CRONOS GROUP INC COM ISIN#CA2271 | 7L1 B         | 8,989.20    | 150.00     | 0.00   | 150.00     | 60.0 | 90.00      |
| 08/23/2018 Q | QGW037269 EINHORN  | , GERALD  | CRONOS GROUP INC COM ISIN#CA2271 | 7L1 B         | 4,187.50    | 125.00     | 0.00   | 125.00     | 60.0 | 75.00      |
| 08/23/2018 Q | QGW037269 EINHORN  | , GERALD  | GRUBHUB INC COM                  | S             | -6,956.00   | 250.00     | 0.00   | 250.00     | 60.0 | 150.00     |
| 08/07/2018 Q | QGW037277 HEIBER,  | JEAN      | QUALCOMM INC                     | S             | -131,300.20 | 500.00     | 0.00   | 500.00     | 60.0 | 300.00     |
| 08/21/2018 Q | QGW037277 HEIBER,  | JEAN      | MICROSOFT CORP                   | S             | -106,850.00 | 1,000.00   | 0.00   | 1,000.00   | 60.0 | 600.00     |
| 08/21/2018 Q | QGW037277 HEIBER,  | JEAN      | PAYPAL HOLDINGS INC COM          | S             | -86,850.00  | 1,000.00   | 0.00   | 1,000.00   | 60.0 | 600.00     |
| 08/21/2018 Q | QGW037277 HEIBER,  | JEAN      | PRUDENTIAL FINANCIAL INC         | S             | -49,858.00  | 500.00     | 0.00   | 500.00     | 60.0 | 300.00     |
| 08/21/2018 Q | QGW037277 HEIBER,  | JEAN      | SQUARE INC CLASS A COM           | S             | -76,250.00  | 1,000.00   | 0.00   | 1,000.00   | 60.0 | 600.00     |
| 08/27/2018 Q | QGW037277 HEIBER,  | JEAN      | CANOPY GROWTH CORP COM ISIN# CA1 | 380 B         | 231,000.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/28/2018 Q | QGW037277 HEIBER,  | JEAN      | NUTANIX INC CL A                 | S             | -60,544.00  | 600.00     | 0.00   | 600.00     | 60.0 | 360.00     |
| 08/21/2018 Q | QGW037285 HEIBER,  | JEAN      | UNDER ARMOUR INC CLASS C COMMON  | STO S         | -19,844.10  | 500.00     | 0.00   | 500.00     | 60.0 | 300.00     |
| 07/30/2018 Q | QGW037301 JACOBSO  | N, HARVEY | GILEAD SCIENCES INC              | S             | -14,574.33  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 07/30/2018 Q | QGW037301 JACOBSO  | N, HARVEY | PHILIP MORRIS INTERNATIONAL INC  | В             | 6,909.38    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 07/31/2018 Q | QGW037301 JACOBSO  | N, HARVEY | MERCK & CO INC                   | S             | -16,150.84  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/01/2018 Q | QGW037301 JACOBSO  | N, HARVEY | DIEBOLD INCORPORATED             | В             | 6,013.52    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/01/2018 Q | QGW037301 JACOBSO  | N, HARVEY | PFIZER INCORPORATED              | S             | -22,800.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/01/2018 Q | QGW037301 JACOBSO  | N, HARVEY | TWITTER INC                      | В             | 25,000.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/01/2018 Q | QGW037301 JACOBSO  | N, HARVEY | WESTERN UN CO COM                | В             | 7,019.25    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/03/2018 Q | QGW037301 JACOBSO  | N, HARVEY | DIEBOLD INCORPORATED             | В             | 5,387.12    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/06/2018 Q | QGW037301 JACOBSO  | N, HARVEY | QUALCOMM INC                     | S             | -13,136.72  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/10/2018 Q | QGW037301 JACOBSO  | N, HARVEY | NEWELL BRANDS INC SHS            | В             | 7,268.31    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/13/2018 Q | QGW037301 JACOBSO  | N, HARVEY | DIEBOLD INCORPORATED             | В             | 4,275.00    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/15/2018 Q | QGW037301 JACOBSO  | N, HARVEY | PAYPAL HOLDINGS INC COM          | В             | 6,837.58    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/21/2018 Q | QGW037301 JACOBSO  | N, HARVEY | CRONOS GROUP INC COM ISIN#CA2271 | 7L1 B         | 5,788.93    | 50.00      | 0.00   | 50.00      | 60.0 | 30.00      |
| 08/29/2018 Q | QGW037301 JACOBSO  | N, HARVEY | TILRAY INC COM                   | В             | 6,106.98    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |

# COMMISSION STATEMENT for JENNIFER HIEBER

INVESTMENT

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| TRADE DATE    | CLIENT           |              | INVESTMENT                         | B/S   | AMOUNT      | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
|---------------|------------------|--------------|------------------------------------|-------|-------------|------------|--------|------------|------|------------|
|               |                  |              |                                    |       |             |            |        |            |      |            |
|               |                  |              | BROKER 1                           | 'RANS | ACTIONS     |            |        |            |      |            |
| PRODUCT CAT   | TEGORY: STOCKS   |              |                                    |       |             |            |        |            |      |            |
| 08/23/2018 QC | GW037376 EINHORN | , EMILY      | CRONOS GROUP INC COM ISIN#CA2271   | 7L1 B | 4,187.50    | 125.00     | 0.00   | 125.00     | 60.0 | 75.00      |
| 08/23/2018 QC | GW037376 EINHORN | , EMILY      | GRUBHUB INC COM                    | S     | -6,956.00   | 250.00     | 0.00   | 250.00     | 60.0 | 150.00     |
| 08/24/2018 QC | GW037400 BITTNER | , ARTHUR     | CRONOS GROUP INC COM ISIN#CA2271   | 7L1 B | 4,494.60    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 07/31/2018 QC | GW037418 PARTNER | S, JACOBSON  | PFIZER INCORPORATED                | S     | -11,932.02  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/03/2018 QC | GW037418 PARTNER | S, JACOBSON  | GENERAL ELECTRIC CO                | В     | 6,169.41    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/06/2018 QC | GW037418 PARTNER | S, JACOBSON  | ALIBABA GROUP HOLDING LTD SPONSO   | RED S | -22,360.45  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/20/2018 QC | GW037418 PARTNER | S, JACOBSON  | AT&T INC                           | S     | -15,920.69  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/20/2018 QC | GW037418 PARTNER | S, JACOBSON  | LEGG MASON INC                     | В     | 6,294.56    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/24/2018 QC | GW037418 PARTNER | S, JACOBSON  | CANOPY GROWTH CORP COM ISIN# CA1   | 380 B | 6,764.25    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | CANOPY GROWTH CORP COM ISIN# CA1   | 380 S | -39,230.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | CANOPY GROWTH CORP COM ISIN# CA1   | 380 B | 38,000.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/23/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | GRUBHUB INC COM                    | S     | -6,956.00   | 250.00     | 0.00   | 250.00     | 60.0 | 150.00     |
| 08/27/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | TILRAY INC COM                     | S     | -54,020.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/27/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | TILRAY INC COM                     | В     | 52,788.60   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/28/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | CANOPY GROWTH CORP COM ISIN# CA1   | 380 S | -108,599.75 | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/28/2018 QC | GW037426 BIERLEY | , KIMBERLEY  | CANOPY GROWTH CORP COM ISIN# CA1   | 380 B | 107,202.50  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/23/2018 QC | GW037467 GIGANTE | , SANDRA     | GRUBHUB INC COM                    | S     | -13,912.00  | 500.00     | 0.00   | 500.00     | 60.0 | 300.00     |
| 07/30/2018 QC | GW037483 HOLBROO | K, WILLIAM   | ZTO EXPRESSCAYMAN INC SPONS ADR    | В     | 19,973.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 07/31/2018 QC | GW037483 HOLBROO | K, WILLIAM   | ZTO EXPRESSCAYMAN INC SPONS ADR    | S     | -19,153.30  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/06/2018 QC | GW037483 HOLBROO | K, WILLIAM   | NEWMONT MINING CORP (NEW)          | В     | 36,210.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/10/2018 QC | GW037483 HOLBROO | K, WILLIAM   | NEWMONT MINING CORP (NEW)          | S     | -35,560.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 QC | GW037509 GASTON, | DAVID        | TWILIO INC CL A                    | В     | 7,633.21    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/21/2018 QC | GW037517 JABLOW  | FAMILY TRUST | , DIEBOLD INCORPORATED             | В     | 2,292.40    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 QC | GW037517 JABLOW  | FAMILY TRUST | , SQUARE INC CLASS A COM           | S     | -38,125.00  | 500.00     | 0.00   | 500.00     | 60.0 | 300.00     |
| 08/23/2018 QC | GW037517 JABLOW  | FAMILY TRUST | , GRUBHUB INC COM                  | S     | -20,868.00  | 750.00     | 0.00   | 750.00     | 60.0 | 450.00     |
| 08/24/2018 QC | GW037517 JABLOW  | FAMILY TRUST | , CRONOS GROUP INC COM ISIN#CA2271 | 7L1 B | 8,989.20    | 150.00     | 0.00   | 150.00     | 60.0 | 90.00      |
| 07/30/2018 QC | GW037525 HOLBROO | K, WILLIAM   | PROCTER & GAMBLE CO                | В     | 80,080.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 07/31/2018 QC | GW037525 HOLBROO | K, WILLIAM   | PROCTER & GAMBLE CO                | S     | -79,939.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/03/2018 QC | GW037525 HOLBROO | K, WILLIAM   | DOWDUPONT INC COMMON STOCK         | В     | 66,770.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/10/2018 QC | GW037525 HOLBROO | K, WILLIAM   | DOWDUPONT INC COMMON STOCK         | S     | -67,781.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/13/2018 QC | GW037525 HOLBROO | K, WILLIAM   | DOWDUPONT INC COMMON STOCK         | В     | 67,580.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/13/2018 QC | GW037525 HOLBROO | K, WILLIAM   | ZTO EXPRESSCAYMAN INC SPONS ADR    | В     | 21,185.00   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/20/2018 QC | GW037525 HOLBROO | K, WILLIAM   | COCA COLA COMPANY (THE)            | В     | 46,736.10   | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/20/2018 QC | GW037525 HOLBROO | K, WILLIAM   | ZTO EXPRESSCAYMAN INC SPONS ADR    | S     | -19,669.20  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/22/2018 QC | GW037525 HOLBROO | K, WILLIAM   | DOWDUPONT INC COMMON STOCK         | S     | -68,770.10  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/13/2018 QC | GW037541 2011 TR | UST,         | GRUBHUB INC COM                    | S     | -13,100.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/13/2018 QC | GW037541 2011 TR | UST,         | SQUARE INC CLASS A COM             | S     | -14,701.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/14/2018 QC | GW037541 2011 TR | UST,         | MICROSOFT CORP                     | S     | -10,950.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/29/2018 QC | GW037541 2011 TR | UST,         | WORLD WRESTLING ENTERTAINMENT IN   | C C S | -17,229.00  | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |
| 08/21/2018 QC | GW037566 WAINE 1 | 992 IRREV TR | , DIEBOLD INCORPORATED             | В     | 2,299.75    | 100.00     | 0.00   | 100.00     | 60.0 | 60.00      |

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# COMMISSION STATEMENT for JENNIFER HIEBER

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| ### PRODUCT CATEGORY: STOCKS  08/22/2018 QGW037566 WAINE 1992 IRREV TR, SQUARE INC CLASS A COM S 08/23/2018 QGW037566 WAINE 1992 IRREV TR, GRUBHUB INC COM S 08/24/2018 QGW037566 WAINE 1992 IRREV TR, CRONOS GROUP INC COM ISIN#CA22717L1 B 08/22/2018 QGW037616 PAYFER, KELLY CANOPY GROWTH CORP COM ISIN# CA1380 S  | -38,125.00<br>-20,868.00<br>8,989.20<br>-39,230.00 | 500.00<br>750.00 | 0.00 | 500.00 |      |        |
|--|--|------------------|------|--------|------|--------|
| 08/22/2018 QGW037566 WAINE 1992 IRREV TR, SQUARE INC CLASS A COM S 08/23/2018 QGW037566 WAINE 1992 IRREV TR, GRUBHUB INC COM S 08/24/2018 QGW037566 WAINE 1992 IRREV TR, CRONOS GROUP INC COM ISIN#CA22717L1 B   | -20,868.00<br>8,989.20                             |                  |      | 500.00 |      |        |
| 08/23/2018 QGW037566 WAINE 1992 IRREV TR, GRUBHUB INC COM S<br>08/24/2018 QGW037566 WAINE 1992 IRREV TR, CRONOS GROUP INC COM ISIN#CA22717L1 B   | -20,868.00<br>8,989.20                             |                  |      | 500.00 |      |        |
| 08/24/2018 QGW037566 WAINE 1992 IRREV TR, CRONOS GROUP INC COM ISIN#CA22717L1 B  | 8,989.20   | 750.00           |      |        | 60.0 | 300.00 |
| -  |  |                  | 0.00 | 750.00 | 60.0 | 450.00 |
| 08/22/2018 OGW037616 PAYFER. KELLY CANOPY GROWTH CORP COM ISIN# CA1380 S   | _20 220 00   | 150.00           | 0.00 | 150.00 | 60.0 | 90.00  |
| or all and a second a second and a second and a second and a second and a second an | -39,230.00   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/22/2018 QGW037616 PAYFER, KELLY CANOPY GROWTH CORP COM ISIN# CA1380 B   | 38,000.00  | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037616 PAYFER, KELLY CRONOS GROUP INC COM ISIN#CA22717L1 B   | 4,187.50   | 125.00           | 0.00 | 125.00 | 60.0 | 75.00  |
| 08/23/2018 QGW037616 PAYFER, KELLY GRUBHUB INC COM S   | -13,912.00   | 500.00           | 0.00 | 500.00 | 60.0 | 300.00 |
| 08/23/2018 QGW037665 BAKAY, TRACEY CRONOS GROUP INC COM ISIN#CA22717L1 B   | 4,187.50   | 125.00           | 0.00 | 125.00 | 60.0 | 75.00  |
| 08/23/2018 QGW037665 BAKAY, TRACEY GRUBHUB INC COM S   | -6,956.00  | 250.00           | 0.00 | 250.00 | 60.0 | 150.00 |
| 08/21/2018 QGW037707 SWEZEY, SALLY CANOPY GROWTH CORP COM ISIN# CA1380 S   | -192,300.00  | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/21/2018 QGW037707 SWEZEY, SALLY CANOPY GROWTH CORP COM ISIN# CA1380 B   | 191,045.00   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/21/2018 QGW037707 SWEZEY, SALLY DIEBOLD INCORPORATED B  | 2,292.15   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/22/2018 QGW037707 SWEZEY, SALLY CANOPY GROWTH CORP COM ISIN# CA1380 B   | 38,000.00  | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/22/2018 QGW037707 SWEZEY, SALLY CANOPY GROWTH CORP COM ISIN# CA1380 S   | -39,230.00   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/27/2018 QGW037707 SWEZEY, SALLY TILRAY INC COM S  | -54,020.00   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/27/2018 QGW037707 SWEZEY, SALLY TILRAY INC COM B  | 52,788.60  | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037772 HENICK-RIGBY, JULIA DIEBOLD INCORPORATED B  | 2,218.25   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037772 HENICK-RIGBY, JULIA ETSY INC COM S  | -4,909.08  | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037772 HENICK-RIGBY, JULIA GLAXOSMITHKLINE PLC SPONSORED ADR(F B   | 4,117.64   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037780 JARET, FREDERICA CRONOS GROUP INC COM ISIN#CA22717L1 B  | 4,187.50   | 125.00           | 0.00 | 125.00 | 60.0 | 75.00  |
| 08/23/2018 QGW037780 JARET, FREDERICA GRUBHUB INC COM S  | -6,956.00  | 250.00           | 0.00 | 250.00 | 60.0 | 150.00 |
| 08/22/2018 QGW037814 WEHSE, RITA CANOPY GROWTH CORP COM ISIN# CA1380 B   | 38,000.00  | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/22/2018 QGW037814 WEHSE, RITA CANOPY GROWTH CORP COM ISIN# CA1380 S   | -39,230.00   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037830 EATON, MAURICE CRONOS GROUP INC COM ISIN#CA22717L1 B  | 4,187.50   | 125.00           | 0.00 | 125.00 | 60.0 | 75.00  |
| 08/23/2018 QGW037830 EATON, MAURICE GRUBHUB INC COM S  | -6,956.00  | 250.00           | 0.00 | 250.00 | 60.0 | 150.00 |
| 08/23/2018 QGW037848 LYSTAD, WYNN CRONOS GROUP INC COM ISIN#CA22717L1 B  | 4,187.50   | 125.00           | 0.00 | 125.00 | 60.0 | 75.00  |
| 08/23/2018 QGW037848 LYSTAD, WYNN GRUBHUB INC COM S  | -6,956.00  | 250.00           | 0.00 | 250.00 | 60.0 | 150.00 |
| 08/23/2018 QGW037855 EATON, GEORGANNE GRUBHUB INC COM S  | -20,868.00   | 750.00           | 0.00 | 750.00 | 60.0 | 450.00 |
| 08/23/2018 QGW037905 HAYDEN-FORTUNA, MARY CRONOS GROUP INC COM ISIN#CA22717L1 B  | 4,187.50   | 125.00           | 0.00 | 125.00 | 60.0 | 75.00  |
| 08/23/2018 QGW037905 HAYDEN-FORTUNA, MARY DIEBOLD INCORPORATED B   | 1,774.72   | 100.00           | 0.00 | 100.00 | 60.0 | 60.00  |
| 08/23/2018 QGW037905 HAYDEN-FORTUNA, MARY GRUBHUB INC COM S  | -6,956.00  | 250.00           | 0.00 | 250.00 | 60.0 | 150.00 |
| 08/23/2018 QGW037921 HIEBER TRUST, JEAN GRUBHUB INC COM S  | -13,912.00   | 500.00           | 0.00 | 500.00 | 60.0 | 300.00 |
| 08/23/2018 QGW037939 HIEBER TRUST, JEAN GRUBHUB INC COM S  | -13,912.00   | 500.00           | 0.00 | 500.00 | 60.0 | 300.00 |
| 08/08/2018 QGW037947 PEREZ, JENNY CENTURYTEL INC S   | -74.05   | 15.00            | 0.00 | 15.00  | 60.0 | 0.00   |
| 08/08/2018 QGW037947 PEREZ, JENNY CISCO SYS INC S  | -2,703.92  | 130.00           | 0.00 | 130.00 | 60.0 | 78.00  |
| 08/08/2018 QGW037947 PEREZ, JENNY CITIGROUP INC COM S  | -291.89  | 50.00            | 0.00 | 50.00  | 60.0 | 30.00  |
| 08/08/2018 QGW037947 PEREZ, JENNY JUNIPER NETWORKS S   | -531.82  | 50.00            | 0.00 | 50.00  | 60.0 | 30.00  |
| 08/08/2018 QGW037947 PEREZ, JENNY NOKIA 654902204 S  | -37.73   | 10.00            | 0.00 | 10.00  | 60.0 | 0.00   |
| 08/08/2018 QGW037947 PEREZ, JENNY ORACLE CORPORATION S   | -2,710.68  | 130.00           | 0.00 | 130.00 | 60.0 | 78.00  |
| 08/08/2018 QGW037947 PEREZ, JENNY QUALCOMM INC S   | -2,352.60  | 110.00           | 0.00 | 110.00 | 60.0 | 66.00  |

COMMISSION STATEMENT for JENNIFER HIEBER

| TRADE DATE CLIENT      |                  | INVESTMENT                           | B/S   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------------------|------------------|--------------------------------------|-------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|                        |                  | BROKER TE                            | DANG  | A CTTONG             |                     |                    |                   |      |                      |
|                        |                  | BROKER II                            | KANDA | ACTIONS              |                     |                    |                   |      |                      |
| PRODUCT CATEGORY: S    |                  |                                      |       |                      |                     |                    |                   |      |                      |
| 08/08/2018 QGW037947 E |                  | SPRINT CORPORATION COM SER 1         | S     | -386.20              | 50.00               | 0.00               | 50.00             | 60.0 | 30.00                |
| 08/08/2018 QGW037947 I |                  | THE TRAVELERS COMPANIES INC          | S     | -129.53              | 25.00               | 0.00               | 25.00             | 60.0 | 0.00                 |
| 08/23/2018 QGW037954 E |                  | DIEBOLD INCORPORATED                 | В     | 1,772.24             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/23/2018 QGW037962 F |                  | GRUBHUB INC COM                      | S     | -6,956.00            | 250.00              | 0.00               | 250.00            | 60.0 | 150.00               |
| 08/24/2018 QGW037962 F | •                | CRONOS GROUP INC COM ISIN#CA22717L   |       | 4,494.60             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/23/2018 QGW037970 N |                  | GRUBHUB INC COM                      | S     | -13,912.00           | 500.00              | 0.00               | 500.00            | 60.0 | 300.00               |
| 08/28/2018 QGW037970 N |                  | CANOPY GROWTH CORP COM ISIN# CA138   |       | -108,599.75          | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/28/2018 QGW037970 N |                  | CANOPY GROWTH CORP COM ISIN# CA138   |       | 107,202.50           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/27/2018 QGW037988 N |                  | TILRAY INC COM                       | S     | -54,020.00           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/27/2018 QGW037988 N |                  | TILRAY INC COM                       | В     | 52,788.60            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/23/2018 QGW037996 ( | ,                | CRONOS GROUP INC COM ISIN#CA22717L   |       | 4,187.50             | 125.00              | 0.00               | 125.00            | 60.0 | 75.00                |
| 08/23/2018 QGW037996 ( |                  | GRUBHUB INC COM                      | S     | -6,956.00            | 250.00              | 0.00               | 250.00            | 60.0 | 150.00               |
| 08/23/2018 QGW037996 ( | ,                | GRUBHUB INC COM                      | S     | 6,956.00             | -250.00             | 0.00               | -250.00           | 60.0 | -150.00 C            |
| 08/23/2018 QGW037996 ( | ,                | GRUBHUB INC COM                      | S     | -6,956.00            | 250.00              | 0.00               | 250.00            | 60.0 | 150.00               |
| 08/27/2018 QGW038028 S | •                | TILRAY INC COM                       | S     | -54,020.00           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/27/2018 QGW038028 S |                  | TILRAY INC COM                       | В     | 52,788.60            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/16/2018 QGW038168 I | ,                |                                      | S     | -99,000.00           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/21/2018 QGW038168 E | ,                |                                      | В     | 4,385.70             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/22/2018 QGW038168 E |                  |                                      |       | 38,000.00            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/22/2018 QGW038168 E |                  |                                      |       | -39,230.00           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/27/2018 QGW038168 E |                  |                                      | S     | -54,020.00           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/27/2018 QGW038168 E |                  |                                      | В     | 52,788.60            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 07/31/2018 QGW038325 F |                  | GERON CORP                           | S     | -34,838.00           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 07/31/2018 QGW038325 F |                  | GERON CORP                           | В     | 33,500.00            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/01/2018 QGW038390 I |                  | TORONTO-DOMINION BANK                | S     | -59,650.10           | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/23/2018 QGW039547 ( |                  | CRONOS GROUP INC COM ISIN#CA22717L   |       | 4,187.50             | 125.00              | 0.00               | 125.00            | 60.0 | 75.00                |
| ~                      | •                | A CRONOS GROUP INC COM ISIN#CA22717L |       | 4,494.60             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/24/2018 QGW039992 N | VACCARO, JILLIAN | CRONOS GROUP INC COM ISIN#CA22717L   | ⊿1 B  | 4,494.60             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
|                        |                  | * STOCKS SUBTOTAL                    | *     | \$-855,807.91        | \$26,170.00         | \$0.00             | \$26,170.00       |      | \$15,672.00          |
| PRODUCT CATEGORY: C    | OPTIONS          |                                      |       |                      |                     |                    |                   |      |                      |
| 07/31/2018 QGW037236 J | JACOBSON, HARVEY | PUT 100 APPLE INC COM EXP 09-21-18   | 8@ S  | -1,180.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/15/2018 QGW037236 J | JACOBSON, HARVEY | PUT 100 EDWARDS LIFESCIENCES CORP    |       | 668.00               | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/15/2018 QGW037236 3 |                  | PUT 100 EDWARDS LIFESCIENCES CORP    | C S   | -2,796.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/20/2018 QGW037236 3 | JACOBSON, HARVEY | CALL 100 CVS HEALTH CORP COM EXP 1   | .1 В  | 2,604.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/20/2018 QGW037236 3 |                  | PUT 100 CVS HEALTH CORP COM EXP 01   |       | -2,000.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 07/31/2018 QGW037301 3 |                  | PUT 100 MERCK & CO INC NEW COM EXP   |       | -1,975.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/01/2018 QGW037301 3 | JACOBSON, HARVEY | PUT 100 WESTERN UN CO COM EXP 08-1   |       | 1,400.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/01/2018 QGW037301 3 |                  | PUT 100 WESTERN UN CO COM EXP 02-1   |       | -1,323.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/03/2018 QGW037301 G |                  | PUT 100 DIEBOLD NIXDORF INC COM EX   |       | 7,980.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |

# COMMISSION STATEMENT for JENNIFER HIEBER

| ### BOOKER TRANSACTIONS    PRODUCT CATSOORY: OPTIONS   | TRADE DATE CLIEN    | ΙΤ                   | INVESTMENT B/S                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|---------------------|----------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| PRODUCT CATEGORY: OPTIONS  |                     |                      |                                       |                      |                     |                    |                   |      |                      |
| 1.00    |                     |                      | BROKER TRANS                          | ACTIONS              |                     |                    |                   |      |                      |
| 08/11/2018 GOM37101 JACOSBON, HARVEY   PUT 100 NEWELL BRANDS INC COM EXP 0   4.488.00   70.00   0.00   70.00   60.0   42.00   08/10/2018 GOM37101 JACOSBON, HARVEY   PUT 100 NEWELL BRANDS INC COM EXP 0   5   -2,240.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 GOM37101 JACOSBON, HARVEY   PUT 100 NEWELL BRANDS INC COM EXP 0   5   -2,240.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 GOM37101 JACOSBON, HARVEY   PUT 100 GUIZAD SCIENDS INC EXD 11-8   -2,768.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 GOM37101 JACOSBON, HARVEY   PUT 100 GUIZAD SCIENDS INC EXD 11-8   -2,768.00   70.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 GOM37101 JACOSBON, HARVEY   PUT 100 FAYEAL HLDGS INC COM EXP 0   5   -1,590.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 GOM37101 JACOSBON, HARVEY   PUT 100 FAYEAL HLDGS INC COM EXP 0   8   681.00   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   PUT 100 CHALCOM INSTERS   -396.96   70.00   0.00   70.00   60.0   42.00   08/21/2018 GOM37101 JACOSBON, HARVEY   PUT 100 CHALCOM INSTERS   PUT 100 CHALCOM INSTERS   PUT   | PRODUCT CATEGORY:   | : OPTIONS            |                                       |                      |                     |                    |                   |      |                      |
| 08/10/2018 QCM037301 JACOSSON, HARVEY   PUT 100 NEWELL BRANDS INC COM EXP 0 B   4,488,00   70,00   70,00   70,00   60,0   42,00   08/15/2018 QCM037301 JACOSSON, HARVEY   PUT 100 SILEAD SCIENCES INC EXP 0 B   1,100,00   70,00   0,00   70,00   60,0   42,00   08/15/2018 QCM037301 JACOSSON, HARVEY   PUT 100 GILEAD SCIENCES INC EXP 1 B   2,788,00   70,00   0,00   70,00   60,0   42,00   08/15/2018 QCM037301 JACOSSON, HARVEY   PUT 100 PAPER HINGS INC COM EXP 0 B   -1,590,00   70,00   0,00   70,00   60,0   42,00   08/15/2018 QCM037301 JACOSSON, HARVEY   PUT 100 PAPER HINGS INC COM EXP 0 B   681,00   70,00   0,00   70,00   60,0   42,00   08/21/2018 QCM037301 JACOSSON, HARVEY   PUT 100 PAPER HINGS INC COM EXP 0 B   681,00   70,00   0,00   70,00   60,0   42,00   08/21/2018 QCM037301 JACOSSON, HARVEY   PUT 100 CRONS GROUP INC COM ISINE'S   -2,544,00   70,00   0,00   70,00   60,0   42,00   08/22/2018 QCM037301 JACOSSON, HARVEY   PUT 100 CRANDS GROUP INC COM ISINE'S   -2,544,00   70,00   0,00   70,00   60,0   42,00   08/22/2018 QCM037301 JACOSSON, HARVEY   PUT 100 CRANDS GROUP INC COM ISINE'S   -2,544,00   70,00   0,00   70,00   60,0   42,00   08/22/2018 QCM037301 JACOSSON, HARVEY   PUT 100 ROYAL DUTCH SHELD FLOS FROM SHEL   | 08/06/2018 QGW03730 | 1 JACOBSON, HARVEY   | CALL 100 QUALCOMM INC EXP 01-18-19@ B | 1,736.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/10/2018 CQM037301 JACOSSON, HARVEY   PUT 100 GILEAD SCIENCES INC EXP 08   1.100.00   70.00   70.00   70.00   60.0   42.00   08/15/2018 CQM037301 JACOSSON, HARVEY   PUT 100 GILEAD SCIENCES INC EXP 1-5   -2,768.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 CQM037301 JACOSSON, HARVEY   PUT 100 GILEAD SCIENCES INC EXP 1-5   -2,768.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 CQM037301 JACOSSON, HARVEY   PUT 100 FAVERAL HILDS INC COM EXP 01 8   66.00   70.00   0.00   70.00   60.0   42.00   08/15/2018 CQM037301 JACOSSON, HARVEY   PUT 100 FAVERAL HILDS INC COM EXP 08 8   66.00   70.00   0.00   70.00   60.0   42.00   08/21/2018 CQM037301 JACOSSON, HARVEY   PUT 100 COMES OR SCRIPT INC COM EXP 08   70.00   70.00   0.00   70.00   60.0   42.00   08/22/2018 CQM037301 JACOSSON, HARVEY   PUT 100 CURSON GROUP INC COM ISINES   -916.96   70.00   70.00   70.00   60.0   42.00   08/22/2018 CQM037301 JACOSSON, HARVEY   PUT 100 CURSON GROUP INC COM ISINES   -254.00   70.00   70.00   70.00   60.0   42.00   08/22/2018 CQM037301 JACOSSON, HARVEY   PUT 100 CURSON GROUP INC COM ISINES   -254.00   70.00   70.00   70.00   60.0   42.00   08/22/2018 CQM037301 JACOSSON, HARVEY   PUT 100 CURSON GROUP INC COM ISINES   -254.00   70.00   70.00   70.00   60.0   42.00   08/22/2018 CQM037301 JACOSSON, HARVEY   PUT 100 TEVA PHARMACENTICAL INDUSTRE B   84.00   25.00   70.00   70.00   70.00   60.0   42.00   08/16/2018 CQM037343 T TRUST, HARVEY   PUT 100 RACQUARLE INFRASTRUCTURE C S   -2,250.00   70.00   70.00   70.00   70.00   60.0   42.00   08/16/2018 CQM0373418 PARTHERS, JACOSSON   PUT 100 GARBER C SCROENTICAL INDUSTRE B   JACOSSON   PUT 100 GARBER C SCROENTICAL SC   | 08/10/2018 QGW03730 | 1 JACOBSON, HARVEY   | CALL 100 NEWELL BRANDS INC COM EXP S  | -580.00              | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 8/15/2018 GCM037301 JACCOBSON, HARVEY PUT 100 GILEAD SCIENCES INC EXP 11- 5  | 08/10/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 NEWELL BRANDS INC COM EXP 0 B | 4,488.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/15/2018 QGW037301 JACOBSON, HARVEY PUT 100 GILEAD SCIENCES INC EXP 11 - S   | 08/10/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 NEWELL BRANDS INC COM EXP 0 S | -2,240.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/15/2018 QGM037301 JACOBSON, HARVEY   PUT 100 PAYFAL HLDGS INC COM EXP 01 S   -1,890.00   70.00   70.00   60.0   42.00   08/21/2018 QGM037301 JACOBSON, HARVEY   PUT 100 PAYFAL HLDGS INC COM EXP 08 B   681.00   70.00   70.00   70.00   60.0   42.00   08/21/2018 QGM037301 JACOBSON, HARVEY   PUT 100 CRONDS GEOUD INC COM ISINM'S   -2,544.00   70.00   70.00   70.00   60.0   42.00   08/21/2018 QGM037301 JACOBSON, HARVEY   PUT 100 CRONDS GEOUD INC COM ISINM'S   -2,544.00   70.00   70.00   70.00   60.0   42.00   08/22/2018 QGM037341 JT TENST, HARVEY   PUT 100 CHANGE GEOUD INC COM ISINM'S   -2,544.00   70.00   70.00   70.00   60.0   42.00   08/22/2018 QGM037341 JT TENST, HARVEY   PUT 100 TEVA PHARMACEUTICAL INDUSTR B   84.00   25.00   70.00   70.00   60.0   42.00   08/24/2018 QGM037343 JT TENST, HARVEY   PUT 100 REVAL DUTCH SHELL PILC SPONS S   -1,652.00   70.00   70.00   70.00   60.0   42.00   08/24/2018 QGM037341 PARTNERS, JACOBSON   PUT 100 GENERAL ELECTRIC CO COM EXP 10.00   70.00   70.00   70.00   60.0   42.00   08/05/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/10/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/20/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/20/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/20/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/20/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/20/2018 QGM037418 PARTNERS, JACOBSON   PUT 100 ALIBABA GROUP HLDG LITD SPONS B   -1,496.00   70.00   70.00   70.00   60.0   42.00   08/20/2018 QGM037418 PARTNERS, JACOBSON   CALL 100 AFTL INC COME EXP 08-10-1   | 08/15/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 GILEAD SCIENCES INC EXP 08-B  | 1,100.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/12/2018 G2M037301 JACOSSON, HARVEY CALL 100 CROMOS GROUP INC COM EXP 08 B 691.00 70.00 0.00 70.00 60.0 42.00 08/21/2018 G2M037301 JACOSSON, HARVEY CALL 100 CROMOS GROUP INC COM ISINES -936.96 70.00 0.00 70.00 60.0 42.00 08/21/2018 G2M037301 JACOSSON, HARVEY PUT 100 CROMOS GROUP INC COM ISINES 5 70.00 70.00 0.00 70.00 60.0 42.00 08/22/2018 G2M037301 JACOSSON, HARVEY PUT 100 CROMOS GROUP INC COM ISINES 5 70.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037301 JACOSSON, HARVEY PUT 100 CROMOS GROUP INC COM ISINES 5 70.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037310 JACOSSON, HARVEY PUT 100 CROMOS GROUP INC COM ISINES 5 70.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037313 JAT TRUST, HARVEY PUT 100 CROMOS GROUP STAN ISINES 5 70.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037313 JAT TRUST, HARVEY PUT 100 MACQUARIE INFRASTRUCTURE COS 5 70.250.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037318 PARTHESS, JACOSSON CALL 100 PPIZER INC COM EXP B 3.465.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037318 PARTHESS, JACOSSON PUT 100 GENERAL ELECTRIC CO COM EXP B 3.465.00 70.00 0.00 70.00 60.0 42.00 08/62/2018 G2M037318 PARTHESS, JACOSSON PUT 100 APPLE INC COM EXP B 1.195.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 G2M037418 PARTHESS, JACOSSON PUT 100 APPLE INC COM EXP 10-19-18% S -1.496.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 G2M037418 PARTHESS, JACOSSON PUT 100 APPLE INC COM EXP 10-19-18% S -1.496.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 G2M037418 PARTHESS, JACOSSON PUT 100 BANK OF AMERICA CORPORATION S -1.704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 G2M037418 PARTHESS, JACOSSON PUT 100 BANK OF AMERICA CORPORATION S -1.704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 G2M037418 PARTHESS, JACOSSON PUT 100 BANK OF AMERICA CORPORATION S -1.704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 G2M037418 PARTHESS, JACOSSON PUT 100 BANK OF AMERICA CORPORATION S -1.704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 G2M037418 PARTHESS, JACOSSON PUT 100 BANK OF AMERICA CORPORATION S -1.704.00 0.00 0.00 70.00 60.0 42.00 08/20/2018 G2M037418  | 08/15/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 GILEAD SCIENCES INC EXP 11-S  | -2,768.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/21/2018 QGW037310 JACCBSON, HARVEY PUT 100 CRONDS GROUP INC COM ISINHS 5 -2,544.00 70.00 0.00 70.00 60.0 42.00 08/21/2018 QGW037301 JACCBSON, HARVEY PUT 100 CUALCOMM INC EXP 10-19-19-1865 B 7-2,544.00 70.00 70.00 0.00 70.00 60.0 42.00 08/22/2018 QGW037301 JACCBSON, HARVEY PUT 100 TEVA PHARMACEUTICAL INDUSTR B 84.00 25.00 0.00 25.00 60.0 42.00 08/22/2018 QGW037340 JT TRUST, HARVEY PUT 100 FEVA PHARMACEUTICAL INDUSTR B 84.00 25.00 0.00 70.00 70.00 60.0 42.00 08/24/2018 QGW037343 JT TRUST, HARVEY PUT 100 ORVAL DUTCH SHELL PLC PSONS 5 -1,652.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW0373418 PARTHERS, JACCBSON PUT 100 MACQUARIE INFERSTRUCTURE COS 7 -2,250.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGW0373418 PARTHERS, JACCBSON PUT 100 GENERAL ELECTRIC CO COME REP B 1,550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGW037418 PARTHERS, JACCBSON PUT 100 ALPIARA GROUP HILDS LTD SPON B 4,614.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 BANK OF AMERICA CORFORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 ATE TINC COME EXP 01-18-198 S -1.7704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTHERS, JACCBSON PUT 100 ATE TINC COME EXP 01-18-198 S -1.7704.00 70.00 0   | 08/15/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 PAYPAL HLDGS INC COM EXP 01 S | -1,590.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/21/2018 QGN037301 JACOBSON, HARVEY PUT 100 CRONOS GROUP INC COM ISINECS -2,544.00 70.00 0.00 70.00 60.0 42.00 08/22/2018 QGN037301 JACOBSON, HARVEY PUT 100 TOVA PERMACEUTICAL INDISTE B 84.00 25.00 0.00 70.00 60.0 60.0 0.00 68/06/2018 QGN037343 JT TRUST, HARVEY PUT 100 ROYAL DUTCH SHELL PLC SPONS -1,652.00 70.00 0.00 70.00 60.0 42.00 08/24/2018 QGN037343 JT TRUST, HARVEY PUT 100 ROYAL DUTCH SHELL PLC SPONS -1,652.00 70.00 0.00 70.00 60.0 42.00 08/24/2018 QGN037343 JT TRUST, HARVEY PUT 100 ROYAL DUTCH SHELL PLC SPONS -1,552.00 70.00 0.00 70.00 60.0 42.00 08/03/2018 QGN037418 PARTNERS, JACOBSON PUT 100 GENERAL ELECTRIC CO COM EXP B 1,559.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGN037418 PARTNERS, JACOBSON PUT 100 GENERAL ELECTRIC CO COM EXP B 3,465.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGN037418 PARTNERS, JACOBSON PUT 100 APPLE INC COM EXP 10-19-19% S -1,495.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 BANE OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGN037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 70.00 60.0 40.00 6   | 08/15/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 PAYPAL HLDGS INC COM EXP 08 B | 681.00               | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/22/2018 QCM037301 JACOBSON, HARVEY DUT 100 QUALCOMM INC EXP 10-19-1806 B 700.00 70.00 0.00 70.00 60.0 42.00 08/22/2018 QCM037343 JT TRUST, HARVEY DUT 100 TAVA PHARMACEUTICAL INDUSTR B 84.00 25.00 0.00 70.00 70.00 60.0 42.00 08/06/2018 QCM037343 JT TRUST, HARVEY DUT 100 ROYAL DUTCH SIELL PLC SONS S -1.652.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QCM037341 PARTNERS, JACOBSON CALL 100 PFIZER INC COM EXP 12-21-1 B 1.550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QCM037341 PARTNERS, JACOBSON DUT 100 GENERAL ELECTRIC CO COM EXP B 1.550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COM EXP B 1.550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COMEXP B 1.550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COMEXP B 1.550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COMEXP B 1.550.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COMEXP B 1.500.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COMEXP B 1.500.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ADDITION OF COMEXP B 1.500.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ATAT INC COMEXP B 1.800.00 70.00 0.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ATAT INC COMEXP B 1.800.00 70.00 0.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ATAT INC COMEXP B 1.800.00 70.00 0.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ATAT INC COMEXP B 1.800.00 70.00 0.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ATAT INC COMEXP B 6.21-19 S -1.475.00 70.00 0.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNERS, JACOBSON DUT 100 ATAT INC COMEXP B 6.21-19 S -1.475.00 70.00 0.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QCM037341 PARTNE   | 08/21/2018 QGW03730 | 1 JACOBSON, HARVEY   | CALL 100 CRONOS GROUP INC COM ISIN# S | -936.96              | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/22/2018 QM037301 JACOBSON, HARVEY 0T 100 TEVA PHARMACEUTICAL INDUSTR B 84.00 25.00 0.00 25.00 60.0 0.00 08/06/2018 QM037343 TITRUST, HARVEY DUT 100 ROYAL DUTCH SHEEL PLC SPONS S -1,652.00 70.00 0.00 70.00 60.0 42.00 08/24/2018 QM0373418 PARTINERS, JACOBSON CALL 100 PFIZER INC COM EXP 12-21-1 B 1,550.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QM0373418 PARTINERS, JACOBSON PUT 100 AGQUARDITO SPON B 4.614.00 70.00 0.00 70.00 70.00 60.0 42.00 08/06/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ALBEADA GROUP HIDGIT SPON B 4.614.00 70.00 0.00 70.00 70.00 60.0 42.00 08/06/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ALBEADA GROUP HIDGIT SPON B 5.1,496.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ALBEADA GROUP HIDGIT SPON B 5.1,496.00 70.00 0.00 70.00 60.0 42.00 08/210/2018 QM0373418 PARTINERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/210/2018 QM0373418 PARTINERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ACT INC COM EXP 01-18-1998 -235.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ACT INC COM EXP 01-18-1998 -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ACT INC COM EXP 01-18-1998 -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ACT INC COM EXP 01-18-1998 -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QM0373418 PARTINERS, JACOBSON PUT 100 ACT INC COM EXP 01-18-1998 -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QM0373418 PARTINERS, JACOBSON PUT 100 EAGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 10.00 10.00 60.0 42.00 08/20/2018 QM033390 LEE, DAVID CALL 100 DAYE MORE DE-17-189 B 1,340.00 100.00 0.00 100.00 60.0 60.0 60.00 68/20/2018 QM033390 LEE, DAVID CALL 100 EAGG MASON INC EXP 02-11-18-19 B 1,340.00 100.00 0.00 100.00 60.0 60.0 60.00 68/20/2018 QM033390 LEE, DAVID CALL 100 EAGG MASON INC EXP 02-11-18-19 B 1,340.00 100.00 0.00 100.00 60.0 60.0 60.0   | 08/21/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 CRONOS GROUP INC COM ISIN#C S | -2,544.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/06/2018 QGW037343 JT TRUST, HARVEY 011 100 ROYAL DUTCH SHELL PLC SPONS S -1,652.00 70.00 0.00 70.00 60.0 42.00 08/24/2018 QGW037343 JT TRUST, HARVEY 011 100 MACQUARIE INFRASTRUCTURE CO S -2,250.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW037418 PARTINERS, JACOBSON 101 00 GENERAL ELECTRIC CO COM EXP B 1,555.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGW037418 PARTINERS, JACOBSON 101 100 GENERAL ELECTRIC CO COM EXP B 3,465.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGW037418 PARTINERS, JACOBSON 101 100 ADEL INC COM EXP B 1,655.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTINERS, JACOBSON 101 100 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTINERS, JACOBSON 101 100 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTINERS, JACOBSON 101 100 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTINERS, JACOBSON 101 100 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTINERS, JACOBSON 101 100 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTINERS, JACOBSON 101 00 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTINERS, JACOBSON 101 00 APPLE INC COM EXP B 1,155.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTINERS, JACOBSON 101 00 CANOPY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW033418 PARTINERS, JACOBSON 101 00 CANOPY GROWTH CORP COM EXP B 1,735.00 100.00 0.00 100.00 60.0 60.0 42.00 08/20/2018 QGW033418 PARTINERS, JACOBSON 101 00 CANOPY GROWTH CORP COM EXP B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/20/2018 QGW033890 LEE, DAVID CALL 100 ETSY INC COM EXP B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/20/2018 QGW033890 LEE, DAVID CALL 100 TSY INC COM EXP B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/20/2018 QGW033890 LEE, DAVID CALL 100 TSY INC COM EXP B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/20/2018 QGW033890 LEE, DAVID CALL 100 TSY INC COM EXP B 1,735.00 100.00   | 08/22/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 QUALCOMM INC EXP 10-19-18@6 B | 700.00               | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/24/2018 QGW037343 JT TRUST, HARVEY OF DUT 100 MACQUARIE INFRASTRUCTURE COS 07/31/2018 QGW037418 PARTNERS, JACOBSON CALL 100 FTIZER INC COM EXP 12-21-1 B 1,550.00 70.00 70.00 70.00 70.00 60.0 42.00 08/03/2018 QGW037418 PARTNERS, JACOBSON PUT 100 GENERAL ELECTRIC CO COM EXP B 3,465.00 70.00 70.00 70.00 60.0 42.00 08/05/2018 QGW037418 PARTNERS, JACOBSON PUT 100 ALTEABAR GROUP HLDS LITD SPON B 4,614.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 APPLE INC COM EXP B 7.496.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,475.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,475.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDAY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDAY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 10.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18e S 1,745.00 70.00 0.00 70.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 08-17-18e S -3,100.00 100.00 0.00 100.00 60.0 60.0 60.0   | 08/22/2018 QGW03730 | 1 JACOBSON, HARVEY   | PUT 100 TEVA PHARMACEUTICAL INDUSTR B | 84.00                | 25.00               | 0.00               | 25.00             | 60.0 | 0.00                 |
| 07/31/2018 QGW037418 PARTNERS, JACOBSON PUT 100 GENERAL ELECTRIC CO COM EXP B 3,465.00 70.00 0.00 70.00 60.0 42.00 8/06/2018 QGW037418 PARTNERS, JACOBSON PUT 100 ALIBABA GROUP HLDG LTD SPON B 4,614.00 70.00 0.00 70.00 60.0 42.00 8/06/2018 QGW037418 PARTNERS, JACOBSON PUT 100 APPLE INC COM EXP B 3,465.00 70.00 0.00 70.00 60.0 42.00 8/06/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 8/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -325.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT\$\text{TINC COM EXP 01-18-19\text{9} S -325.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT\$\text{TINC COM EXP 01-18-19\text{9} S -325.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT\$\text{TINC COM EXP 01-18-19\text{9} S -325.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT\$\text{TINC COM EXP 01-18-19\text{9} S -325.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDPY GROWTH COME POR COM ISINS -2,712.00 70.00 0.00 70.00 60.0 42.00 8/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDPY GROWTH COME POR COM ISINS -2,712.00 70.00 0.00 70.00 60.0 60.0 60.00 8/20/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\text{8} 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 8/20/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\text{8} 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 8/14/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\text{8} -3,100.00 100.00 0.00 70.00 60.0 60.0 60.00 8/14/2018 QGW038390 LEE, DAVID CALL 100 EXP 08-17-18\text{8} -3,100.00 100.00 0.00 70.00 60.0 60.0 60.0 60.00 8/14/2018 QGW038390 LEE, DAVID CALL 100 EXP MITTOR COME EXP  | 08/06/2018 QGW03734 | 3 JT TRUST, HARVEY   | PUT 100 ROYAL DUTCH SHELL PLC SPONS S | -1,652.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/03/2018 GW037418 PARTNERS, JACOBSON PUT 100 GENERAL ELECTRIC CO COM EXP B 3,465.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 GW037418 PARTNERS, JACOBSON PUT 100 ALTBABA GROUP HLDS LTD SFON B 4.614.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 GW037418 PARTNERS, JACOBSON PUT 100 APPLE INC COM EXP 10-19-18es -1.496.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 GW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 GW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1.704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 GW037418 PARTNERS, JACOBSON CALL 100 ATAT INC COM EXP 01-18-19es -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 GW037418 PARTNERS, JACOBSON PUT 100 ATAT INC COM EXP 01-18-19es -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 GW037418 PARTNERS, JACOBSON PUT 100 ATAT INC COM EXP 01-18-19es -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 GW037418 PARTNERS, JACOBSON PUT 100 ATAT INC COM EXP 01-18-19es -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 GW037418 PARTNERS, JACOBSON PUT 100 CREP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 60.0 08/20/2018 GW0337418 PARTNERS, JACOBSON PUT 100 CREP 02-15-19 S -320.00 14.00 0.00 70.00 60.0 60.0 60.00 08/20/2018 GW033390 LEE, DAVID CALL 100 ATAT INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 70.00 60.0 60.0 60.00 08/06/2018 GW033390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18e B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/10/2018 GW033390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18e S -3,100.00 100.00 0.00 100.00 60.0 60.0 60.0  | 08/24/2018 QGW03734 | 3 JT TRUST, HARVEY   | PUT 100 MACQUARIE INFRASTRUCTURE CO S | -2,250.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/06/2018 QGW037418 PARTNERS, JACOBSON PUT 100 ALIBABA GROUP HLDG LTD SPON B 4,614.00 70.00 0.00 70.00 60.0 42.00 08/06/2018 QGW037418 PARTNERS, JACOBSON PUT 100 APPLE INC COM EXP 10-19-18e S -1,496.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19@S -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19@S -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19@S -1,475.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 14.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDAY GROWTH CORP COM ISINS -2,712.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.0 60.0   | 07/31/2018 QGW03741 | 8 PARTNERS, JACOBSON | CALL 100 PFIZER INC COM EXP 12-21-1 B | 1,550.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/06/2018 QGW037418 PARTNERS, JACOBSON PUT 100 APPLE INC COM EXP 10-19-18@S -1,496.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON CALL 100 AT&T INC COM EXP 01-18-19@S -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19@S -320.00 14.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 60.0 60.0 08/06/2018 QGW038490 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ISINSY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM EXP 08-17-18@S -3,100.00 100.00 0.00 70.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM EXP 08-17-18@S -3,100.00 100.00 0.00 70.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM EXP 08-17-18@S -1,120.00 70.00 0.00 70.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 70.00 60.0 60.0 60.00 6   | 08/03/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 GENERAL ELECTRIC CO COM EXP B | 3,465.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION B 516.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATION S -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON CALL 100 AT&T INC COM EXP 01-18-19\sigma S -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19\sigma S -1,475.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 0.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 60.0 0.00 08/24/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANOPY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\sigma B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\sigma S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\sigma S -3,100.00 100.00 0.00 100.00 60.0 60.0 60.0  | 08/06/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 ALIBABA GROUP HLDG LTD SPON B | 4,614.00             | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/10/2018 QGW037418 PARTNERS, JACOBSON PUT 100 BANK OF AMERICA CORPORATIONS -1,704.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19\( \) 5 -325.00 70.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19\( \) 5 -325.00 70.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19\( \) 5 -320.00 14.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDEY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDEY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19\( \) B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\( \) B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18\( \) S -3,100.00 100.00 0.00 100.00 60.0 60.0 60.0  | 08/06/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 APPLE INC COM EXP 10-19-18@ S | -1,496.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/20/2018 QGW037418 PARTNERS, JACOBSON CALL 100 AT&T INC COM EXP 01-18-19@S -325.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19@S -1,475.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 0.00 08/24/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANDPY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 08/26/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/66/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CA EXP 08-15 -1,120.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 \$0.00 \$2,849.00 \$1,686.00 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS   8 FEE COUNT - 7 0.00 45.78 0.00 \$45.78 0.00 \$45.78 60.0 \$27.46 \$27.46 \$27.46 \$20.00 \$20.00 \$27.46 \$20.00 \$27.46 \$20.00 \$20.00 \$27.46 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$20.00 \$2  | 08/10/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 BANK OF AMERICA CORPORATION B | 516.00               | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 AT&T INC COM EXP 01-18-19@3 S -1,475.00 70.00 0.00 70.00 60.0 42.00 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 0.00 08/24/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANOPY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM EXP 08-15 -1,120.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 100.00 60.0 60.00 42.00 08/10/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 100.00 60.0 60.00 \$1,686.00 \$1,68   | 08/10/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 BANK OF AMERICA CORPORATION S | -1,704.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/20/2018 QGW037418 PARTNERS, JACOBSON PUT 100 LEGG MASON INC EXP 02-15-19 S -320.00 14.00 0.00 14.00 60.0 0.00 08/24/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANOPY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CLA EXP 08-15 -1,120.00 70.00 0.00 70.00 60.0 42.00 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 70.00 60.0 60.00 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 \$2,849.00 \$1,686.00 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS   **MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$45.78 0.00 \$45.78 60.0 27.46  | 08/20/2018 QGW03741 | 8 PARTNERS, JACOBSON | CALL 100 AT&T INC COM EXP 01-18-19@ S | -325.00              | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/24/2018 QGW037418 PARTNERS, JACOBSON PUT 100 CANOPY GROWTH CORP COM ISIN S -2,712.00 70.00 0.00 70.00 60.0 42.00 07/31/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CL A EXP 08-1 S -1,120.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 100.00 60.0 60.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 \$2,849.00 \$1,686.00 08/14/2018 QGW038424 LEE, DAVID 12B1 TRAILS  PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS   8   | 08/20/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 AT&T INC COM EXP 01-18-19@3 S | -1,475.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 07/31/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 B 1,735.00 100.00 0.00 100.00 60.0 60.00 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CL A EXP 08-1 S -1,120.00 70.00 0.00 70.00 60.0 42.00 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 \$2,849.00 \$1,686.00 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  08/31/2018 FEE COUNT - 7 0.00 45.78 0.00 \$45.78 60.0 \$45.78 \$0.00 \$45.78 \$0.00 \$45.78 \$27.46   | 08/20/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 LEGG MASON INC EXP 02-15-19 S | -320.00              | 14.00               | 0.00               | 14.00             | 60.0 | 0.00                 |
| 08/06/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ B 1,940.00 100.00 0.00 100.00 60.0 60.00 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CL A EXP 08-1 S -1,120.00 70.00 0.00 70.00 60.0 42.00 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 100.00 60.0 60.00 \$1,686.00 \$1,6   | 08/24/2018 QGW03741 | 8 PARTNERS, JACOBSON | PUT 100 CANOPY GROWTH CORP COM ISIN S | -2,712.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| 08/08/2018 QGW038390 LEE, DAVID CALL 100 DISNEY WALT CO DISNEY COM B 4,800.00 100.00 0.00 100.00 60.0 60.00 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@S -3,100.00 100.00 0.00 100.00 60.0 60.00 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CLA EXP 08-1S -1,120.00 70.00 0.00 70.00 60.0 42.00 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 100.00 60.0 \$1,686.00 \$\$\$ OPTIONS SUBTOTAL * \$-5,730.96 \$2,849.00 \$0.00 \$2,849.00 \$1,686.00 \$\$\$\$ MUTUAL FUND 12B1 TRAILS \$\$\$\$ FEE COUNT - 7 0.00 45.78 0.00 \$45.78 60.0 \$27.46 \$   | 07/31/2018 QGW03839 | O LEE, DAVID         | CALL 100 APPLE INC COM EXP 06-21-19 B | 1,735.00             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/10/2018 QGW038390 LEE, DAVID CALL 100 ETSY INC COM EXP 08-17-18@ S -3,100.00 100.00 0.00 100.00 60.0 60.0 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CL A EXP 08-1 S -1,120.00 70.00 0.00 70.00 60.0 42.00 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC C S -6,230.00 100.00 0.00 100.00 60.0 60.00 \$1,686.00 \$                                 | 08/06/2018 QGW03839 | O LEE, DAVID         | CALL 100 ETSY INC COM EXP 08-17-18@ B | 1,940.00             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/14/2018 QGW038390 LEE, DAVID CALL 100 VISA INC COM CL A EXP 08-1 S -1,120.00 70.00 0.00 70.00 60.0 42.00 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 100.00 60.0 \$1,686.00 \$PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  08/31/2018 FEE COUNT - 7 0.00 45.78 0.00 \$45.78 60.0 27.46 \$27.46   | 08/08/2018 QGW03839 | O LEE, DAVID         | CALL 100 DISNEY WALT CO DISNEY COM B  | 4,800.00             | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/17/2018 QGW038390 LEE, DAVID CALL 100 APPLE INC COM EXP 06-21-19 S -3,475.00 70.00 0.00 70.00 60.0 42.00 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 100.00 60.0 \$1,686.00 \$1,68           | 08/10/2018 QGW03839 | O LEE, DAVID         | CALL 100 ETSY INC COM EXP 08-17-18@ S | -3,100.00            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/14/2018 QGW038424 LEE, DAVID CALL 100 DAVE & BUSTERS ENTMT INC CS -6,230.00 100.00 0.00 100.00 60.0 60.00 *OPTIONS SUBTOTAL * \$-5,730.96 \$2,849.00 \$0.00 \$2,849.00 \$1,686.00 \$1,686.00 \$0.00 \$2,849.00 \$0.00 \$2,849.00 \$0.00 \$2,849.00 \$1,686.00 \$0.00 \$1,686 | 08/14/2018 QGW03839 | O LEE, DAVID         | CALL 100 VISA INC COM CL A EXP 08-1 S | -1,120.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| * OPTIONS SUBTOTAL * \$-5,730.96 \$2,849.00 \$0.00 \$2,849.00 \$1,686.00  PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  08/31/2018 FEE COUNT - 7 0.00 45.78 0.00 45.78 60.0 27.46  * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$45.78 \$0.00 \$45.78 \$27.46   | 08/17/2018 QGW03839 | O LEE, DAVID         | CALL 100 APPLE INC COM EXP 06-21-19 S | -3,475.00            | 70.00               | 0.00               | 70.00             | 60.0 | 42.00                |
| PRODUCT CATEGORY:         MUTUAL FUND 12B1 TRAILS           08/31/2018         FEE COUNT - 7         0.00         45.78         0.00         45.78         60.0         27.46           * MUTUAL FUND 12B1 TRAILS SUBTOTAL *         \$0.00         \$45.78         \$0.00         \$45.78         \$27.46   | 08/14/2018 QGW03842 | 4 LEE, DAVID         | CALL 100 DAVE & BUSTERS ENTMT INC C S | -6,230.00            | 100.00              | 0.00               | 100.00            | 60.0 | 60.00                |
| 08/31/2018       FEE COUNT - 7       0.00       45.78       0.00       45.78       60.0       27.46         * MUTUAL FUND 12B1 TRAILS SUBTOTAL *       \$0.00       \$45.78       \$0.00       \$45.78       \$27.46   |                     |                      | * OPTIONS SUBTOTAL *                  | \$-5,730.96          | \$2,849.00          | \$0.00             | \$2,849.00        |      | \$1,686.00           |
| 08/31/2018       FEE COUNT - 7       0.00       45.78       0.00       45.78       60.0       27.46         * MUTUAL FUND 12B1 TRAILS SUBTOTAL *       \$0.00       \$45.78       \$0.00       \$45.78       \$27.46   | PRODUCT CATEGORY:   | : MUTUAL FUND 12B1   | TRAILS                                |                      |                     |                    |                   |      |                      |
|  |                     |                      |                                       | 0.00                 | 45.78               | 0.00               | 45.78             | 60.0 | 27.46                |
| *** BROKER TRANSACTIONS TOTAL *** \$-861,538.87 \$29,064.78 \$0.00 \$29,064.78 \$17,385.46   |                     |                      | * MUTUAL FUND 12B1 TRAILS SUBTOTAL *  | \$0.00               | \$45.78             | \$0.00             | \$45.78           |      | \$27.46              |
|  |                     |                      | *** BROKER TRANSACTIONS TOTAL ***     | \$-861,538.87        | \$29,064.78         | \$0.00             | \$29,064.78       |      | \$17,385.46          |

| 09/10/2018 |        | COMMISSIO                | N STATEMENT for | JENNIFER HIEBE       | ≅R                  |                    |                   |         | Page No. 6           |
|------------|--------|--------------------------|-----------------|----------------------|---------------------|--------------------|-------------------|---------|----------------------|
| TRADE DATE | CLIENT | INVESTMENT               | B/S             | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE    | BROKER<br>COMMISSION |
|            |        |                          | ADJUSTME        | NTS                  |                     |                    |                   |         |                      |
| 08/31/2018 |        | OTHER: OTHER-THOMAS ROSS |                 | 0.00                 | 0.00                | 0.00               | 0.                | 00      | -2,500.00            |
|            |        | *** ADJUSTMENT           | 'S TOTAL ***    | \$0.00               | \$0.00              | \$0.00             | \$0.              | 00      | \$-2,500.00          |
|            |        |                          |                 |                      |                     | <u> </u>           | ROKER COM         | MISSION | I TOTALS             |
|            |        |                          |                 |                      |                     | Broke              | r Transactio      | ns      | \$17,385.46          |
|            |        |                          |                 |                      |                     | Spli               | Transactio        | ns      | \$0.00               |
|            |        |                          |                 |                      |                     | Overrid            | e Transactio      | ns      | \$0.00               |
|            |        |                          |                 |                      |                     |                    | Adjustmen         | ts      | \$-2,500.00          |
|            |        |                          |                 |                      |                     |                    | Payroll Dr        | aw      | \$0.00               |
|            |        |                          |                 |                      |                     |                    | Base Sala         | ry      | \$0.00               |
|            |        |                          |                 |                      |                     | Prior              | Period Balan      | се      | \$0.00               |
|            |        |                          |                 |                      |                     | FI                 | NRA Assessme      | nt      | \$-36.33             |
|            |        |                          |                 |                      |                     | S                  | IPC Assessme      | nt<br>  | \$-72.66             |
|            |        |                          |                 |                      |                     |                    | Check Amou        | nt      | \$14,776.47          |

\$74,354.05

Year-to-date Earnings