

**COMMISSION STATEMENT****November 30, 2017**ROGER BOWLIN
507 SE Andrews StreetBROKER #: B156
BRANCH: ROGER BOWLIN

Issaquah, WA 98027-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
11/14/2017	SHIELDS, WILLIAM	COLONY NORTHSTAR INC CL A COM	-5,810.18	100.00	90.0	90.00	22.70	67.30
11/14/2017	SHIELDS, WILLIAM	NORTHSTAR RLTY EUROPE CORP COM	-2,023.36	50.00	90.0	45.00	22.50	22.50
* STOCKS SUBTOTAL *			\$-7,833.54	\$150.00		\$135.00	\$45.20	\$89.80
*** CLEARING TRANSACTIONS TOTAL ***			\$-7,833.54	\$150.00		\$135.00	\$45.20	\$89.80
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
11/10/2017	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	50.00	2.50	90.0	2.25	0.00	2.25
* 529 PLANS SUBTOTAL *			\$50.00	\$2.50		\$2.25	\$0.00	\$2.25
*** DIRECT TRANSACTIONS TOTAL ***			\$50.00	\$2.50		\$2.25	\$0.00	\$2.25
<u>ADJUSTMENTS</u>								
11/30/2017		*FINRA: S63 EXAM BOWLIN, AUSTIN	0.00					-125.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-125.00	\$0.00	\$-125.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$92.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-125.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-32.95
Year-to-date Gross	\$276,646.09
Year-to-date Earnings	\$253,307.06