

All Companies  
**STATEMENT**  
April 20, 2018

KENNETH STOKES  
29 Central Ave  
Unit 2  
Montclair, NJ 07042-

BROKER #: 034  
BRANCH: LA BRANCH

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
02/08/0018 #0051666527	JONATHAN P	BLCKRCK	B	0.00	0.31	0.00	0.00	0.31	75.0	0.23
02/08/0018 #0051922870	PAI TRUST	BLCKRCK	B	0.00	11.80	0.00	0.00	11.80	75.0	8.85
02/08/0018 #0051922870	PAI TRUST	BLCKRCK	B	0.00	11.80	0.00	0.00	11.80	75.0	8.85
03/01/2018 #070344107084	MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	21.63	0.00	0.00	21.63	75.0	16.22
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$45.54</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$45.54</b>		<b>\$34.15</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

03/01/2018 #01246004455	COMPUANAL	AMERICAN FUNDS 298706847	B	0.00	381.42	0.00	0.00	381.42	75.0	286.07
03/01/2018 #01324043253	COMPUANAL	AMERICAN FUNDS 82524A854	B	0.00	65.11	0.00	0.00	65.11	75.0	48.83
03/01/2018 #01247801798	COMPUANAL	AMERICAN FUNDS 831681846	B	0.00	155.84	0.00	0.00	155.84	75.0	116.88
03/01/2018 #01243289126	COMPUANAL	THE GROWTH FUND OF AMERICA-R4	B	0.00	829.83	0.00	0.00	829.83	75.0	622.37
03/07/2018 #01468689839	COMPUANAL	FIDELITY ADVISOR ENERGY CL A	B	0.00	32.79	0.00	0.00	32.79	75.0	24.59
03/07/2018 #01468689839	COMPUANAL	FIDELITY ADVISOR SER VII ADVISOR RE B		0.00	52.48	0.00	0.00	52.48	75.0	39.36
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR1205	B	0.00	0.46	0.00	0.00	0.46	75.0	0.35
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR1291	B	0.00	0.01	0.00	0.00	0.01	75.0	0.01
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR1321	B	0.00	0.46	0.00	0.00	0.46	75.0	0.35
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR186	B	0.00	0.67	0.00	0.00	0.67	75.0	0.50
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR2443	B	0.00	0.67	0.00	0.00	0.67	75.0	0.50
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR251	B	0.00	0.67	0.00	0.00	0.67	75.0	0.50
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR258	B	0.00	4.31	0.00	0.00	4.31	75.0	3.23
03/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR2709	B	0.00	0.44	0.00	0.00	0.44	75.0	0.33
03/07/2018 #00485888168	PARK-B-SMI	FMR1128	B	0.00	2.22	0.00	0.00	2.22	75.0	1.67
03/07/2018 #00485888168	PARK-B-SMI	FMR1184	B	0.00	25.51	0.00	0.00	25.51	75.0	19.13
03/07/2018 #00485888168	PARK-B-SMI	FMR1189	B	0.00	77.35	0.00	0.00	77.35	75.0	58.01
03/07/2018 #00485888168	PARK-B-SMI	FMR1194	B	0.00	19.66	0.00	0.00	19.66	75.0	14.75
03/07/2018 #00485888168	PARK-B-SMI	FMR1199	B	0.00	1.48	0.00	0.00	1.48	75.0	1.11
03/07/2018 #00485888168	PARK-B-SMI	FMR1296	B	0.00	7.91	0.00	0.00	7.91	75.0	5.93
03/07/2018 #00485888168	PARK-B-SMI	FMR1302	B	0.00	65.56	0.00	0.00	65.56	75.0	49.17
03/07/2018 #00485888168	PARK-B-SMI	FMR1307	B	0.00	85.22	0.00	0.00	85.22	75.0	63.92
03/07/2018 #00485888168	PARK-B-SMI	FMR1397	B	0.00	1.98	0.00	0.00	1.98	75.0	1.49
03/07/2018 #00485888168	PARK-B-SMI	FMR1599	B	0.00	14.44	0.00	0.00	14.44	75.0	10.83

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/07/2018	#00485888168	PARK-B-SMI	FMR1605	B	0.00	2.16	0.00	0.00	2.16	75.0	1.62
03/07/2018	#00485888168	PARK-B-SMI	FMR1816	B	0.00	2.89	0.00	0.00	2.89	75.0	2.17
03/07/2018	#00485888168	PARK-B-SMI	FMR246	B	0.00	2.25	0.00	0.00	2.25	75.0	1.69
03/07/2018	#00485888168	PARK-B-SMI	FMR260	B	0.00	5.38	0.00	0.00	5.38	75.0	4.04
03/07/2018	#00485888168	PARK-B-SMI	FMR272	B	0.00	2.67	0.00	0.00	2.67	75.0	2.00
03/07/2018	#00485888168	PARK-B-SMI	FMR2740	B	0.00	50.13	0.00	0.00	50.13	75.0	37.60
03/07/2018	#00485888168	PARK-B-SMI	FMR294	B	0.00	2.52	0.00	0.00	2.52	75.0	1.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,894.49	\$0.00	\$0.00	\$1,894.49		\$1,420.89
*** BROKER TRANSACTIONS TOTAL ***					\$0.00	\$1,940.03	\$0.00	\$0.00	\$1,940.03		\$1,455.04

ADJUSTMENTS

04/20/2018	ADMIN FEE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		\$-714.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,455.04
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-714.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$741.04