

STATEMENT

June 20, 2018

STEVE GULA

17 Galahad Dr

Suite 100

Manalapan, NJ 07726-2613

BROKER #: 182

BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/03/0018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
04/03/0018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.45
04/03/0018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
04/03/0018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
04/03/0018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
04/03/0018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
04/03/0018	#00087510606	OLARTENEID	AMERICAN FUNDS 23375108	251.41	5.03	93.0	0.00	4.68	4.68
04/03/0018	#00087510606	OLARTENEID	AMERICAN FUNDS 23375108	251.41	5.03	93.0	0.00	4.68	4.68
04/03/0018	#00086957342	O'MARA, MARY	AMERICAN FUNDS 24071102	200.00	3.20	93.0	0.00	2.98	2.98
04/03/0018	#00086957363	WITTER,	AMERICAN FUNDS 24071102	29.42	0.47	93.0	0.00	0.44	0.44
04/03/0018	#00084744733	SMITH, ADAM	AMERICAN FUNDS 26300103	85.59	2.35	93.0	0.00	2.19	2.19
04/03/0018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS 26300103	26.62	0.73	93.0	0.00	0.68	0.68
04/03/0018	#00084721874	BOSTICK, ELENA	AMERICAN FUNDS 26300301	329.17	3.29	93.0	0.00	3.06	3.06
04/03/0018	#00084721874	BOSTICK, ELENA	AMERICAN FUNDS 26300301	329.17	3.29	93.0	0.00	3.06	3.06
04/03/0018	#00086957305	CHIN, STACEY	AMERICAN FUNDS 27681105	10.91	0.17	93.0	0.00	0.16	0.16
04/03/0018	#00086957312	CHIN, HENRY	AMERICAN FUNDS 27681105	28.85	0.46	93.0	0.00	0.43	0.43
04/03/0018	#00086957363	WITTER,	AMERICAN FUNDS 27681105	29.42	0.47	93.0	0.00	0.44	0.44
04/03/0018	#00087729341	ALFONZONEL	AMERICAN FUNDS 97873103	5.92	0.09	93.0	0.00	0.08	0.08
04/03/0018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
04/03/0018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	5.92	0.09	93.0	0.00	0.08	0.08
04/03/0018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	10.91	0.17	93.0	0.00	0.16	0.16
04/03/0018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
04/03/0018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
04/03/0018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
04/03/0018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.96	0.05	93.0	0.00	0.05	0.05
04/03/0018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	10.89	0.17	93.0	0.00	0.16	0.16
04/03/0018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
04/03/0018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
04/03/0018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	8.88	0.14	93.0	0.00	0.13	0.00	0.13
04/03/0018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	5.92	0.09	93.0	0.00	0.08	0.00	0.08
04/03/0018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/03/0018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
04/03/0018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	0.00	6.14
04/03/0018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	0.00	6.14
04/03/0018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	25.83	0.52	93.0	0.00	0.48	0.00	0.48
04/03/0018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	0.00	3.41
04/03/0018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	0.00	3.41
04/03/0018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	0.00	4.02
04/03/0018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	0.00	4.02
04/03/0018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	0.00	7.73
04/03/0018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	0.00	7.73
04/03/0018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
04/03/0018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
04/03/0018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
04/03/0018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/03/0018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
04/03/0018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
04/03/0018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
04/03/0018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	25.83	0.52	93.0	0.00	0.48	0.00	0.48
04/03/0018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
04/03/0018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	10.91	0.17	93.0	0.00	0.16	0.00	0.16
04/03/0018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
05/02/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
05/02/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
05/02/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
05/02/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
05/02/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
05/02/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
05/02/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
05/02/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
05/02/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
05/02/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
05/02/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
05/02/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/02/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/02/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/02/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/02/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/02/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/02/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/02/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/02/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
05/02/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
05/02/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/07/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	12.58	0.25	93.0	0.00	0.23	0.23
05/07/2018	#00087510609	CHRISTOGEN	AMERICAN BALANCED FUND INC CLASS A	43.23	0.86	93.0	0.00	0.80	0.80
05/07/2018	#00087510595	HANSENCYNT	BOND FUND OF AMERICA INC CL A	18.37	0.37	93.0	0.00	0.34	0.34
05/07/2018	#00087510609	CHRISTOGEN	BOND FUND OF AMERICA INC CL A	21.61	0.43	93.0	0.00	0.40	0.40
05/07/2018	#00087510606	OLARTENEID	CAPITAL WORLD GROWTH & INCOME FUND	25.14	0.50	93.0	0.00	0.47	0.47
05/07/2018	#00087510595	HANSENCYNT	FUNDAMENTAL INVESTORS INC CLASS A	55.11	1.10	93.0	0.00	1.02	1.02
05/07/2018	#00087510606	OLARTENEID	FUNDAMENTAL INVESTORS INC CLASS A	50.28	1.01	93.0	0.00	0.94	0.94
05/07/2018	#00087510609	CHRISTOGEN	FUNDAMENTAL INVESTORS INC CLASS A	43.23	0.86	93.0	0.00	0.80	0.80
05/07/2018	#00087510595	HANSENCYNT	INCOME FUND OF AMERICA INC CLASS A	18.37	0.37	93.0	0.00	0.34	0.34
05/07/2018	#00087510606	OLARTENEID	INCOME FUND OF AMERICA INC CLASS A	50.28	1.01	93.0	0.00	0.94	0.94
05/07/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	231.08	4.62	93.0	0.00	4.30	4.30
05/07/2018	#00084721881	BILLINGS, MARY	INVESTMENT CO AMER CL C	99.03	0.99	93.0	0.00	0.92	0.92
05/07/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	73.49	1.47	93.0	0.00	1.37	1.37
05/07/2018	#00087510606	OLARTENEID	INVESTMENT CO AMER CLASS A	100.56	2.01	93.0	0.00	1.87	1.87
05/07/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	86.46	1.73	93.0	0.00	1.61	1.61
05/07/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
05/07/2018	#00087510595	HANSENCYNT	NEW PERSPECTIVE FUND INC CL A	18.37	0.37	93.0	0.00	0.34	0.34
05/07/2018	#00087510606	OLARTENEID	NEW PERSPECTIVE FUND INC CL A	12.57	0.25	93.0	0.00	0.23	0.23
05/07/2018	#00087510609	CHRISTOGEN	NEW PERSPECTIVE FUND INC CL A	21.61	0.43	93.0	0.00	0.40	0.40
05/07/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
05/09/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
05/09/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
05/09/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
05/09/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
05/09/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/09/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/09/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
05/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/09/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/09/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/09/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/09/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
05/09/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/09/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/09/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/09/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/09/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/09/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/09/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
05/09/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
05/09/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/10/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
05/10/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
05/10/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.45
05/10/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
05/10/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
05/10/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.82	0.19	93.0	0.00	0.18	0.18
05/10/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
05/10/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
05/10/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	5.92	0.09	93.0	0.00	0.08	0.08
05/10/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
05/10/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	5.92	0.09	93.0	0.00	0.08	0.08
05/10/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.82	0.19	93.0	0.00	0.18	0.18
05/10/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
05/10/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
05/10/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
05/10/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	2.96	0.05	93.0	0.00	0.05	0.05
05/10/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.82	0.19	93.0	0.00	0.18	0.18
05/10/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/10/2018	#00086957363	WITTER, FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
05/10/2018	#00087729341	ALFONZONEL FUNDAMENTAL INVESTORS INC CLASS A	8.88	0.14	93.0	0.00	0.13	0.00	0.13
05/10/2018	#00087729341	ALFONZONEL GLOBAL BALANCED FUND-A	5.92	0.09	93.0	0.00	0.08	0.00	0.08
05/10/2018	#00086971602	DAVIS, CLARE GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/10/2018	#00086957363	WITTER, INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
05/10/2018	#00086957286	DAUS, ROXANNE INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
05/10/2018	#00086957317	BASILICATO, INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
05/10/2018	#00086971602	DAVIS, CLARE INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/10/2018	#00086957363	WITTER, NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
05/10/2018	#00086971602	DAVIS, CLARE NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/10/2018	#00086957286	DAUS, ROXANNE SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
05/10/2018	#00086957305	CHIN, STACEY WASHINGTON MUTUAL INVS FD CLASS A	11.82	0.19	93.0	0.00	0.18	0.00	0.18
05/10/2018	#00086957312	CHIN, HENRY WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
05/14/2018	#	CIRILLO, WILLIAM EATON VANCE DIVIDEND BUILDER	20,000.00	1,015.00	93.0	0.00	943.95	20.00	923.95
05/14/2018	#01464755659	SWOPE, STEVEN FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	0.00	3.31
05/14/2018	#01464755659	SWOPE, STEVEN FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
05/14/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
05/14/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
05/14/2018	#01464755675	TREVINO, SERGIO FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
05/14/2018	#01464755675	TREVINO, SERGIO FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
05/14/2018	#01464755717	SIMMONS, MARK FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	0.00	5.24
05/14/2018	#01464755717	SIMMONS, MARK FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	0.00	1.05
05/14/2018	#01471830404	JARREGARTA FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
05/14/2018	#01471830404	JARREGARTA FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.00	0.87
05/14/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	0.00	1.75
05/14/2018	#01464755584	ALFONSO, FRANK FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.00	0.52
05/14/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
05/14/2018	#01485158974	FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.00	0.87
05/16/2018	#00086832263	BASDEO, KANHAI AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
05/16/2018	#00086832260	MUZTAFI, AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
05/16/2018	#00086832265	MERINO, JOSE AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
05/16/2018	#00084744733	SMITH, ADAM AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
05/16/2018	#00084744740	ULLAURI, DIEGO AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
05/16/2018	#00084744740	ULLAURI, DIEGO INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
05/16/2018	#00084744733	SMITH, ADAM INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
05/16/2018	#00084744733	SMITH, ADAM SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/16/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/21/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/21/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	95.00	3.56	93.0	0.00	3.31	3.31
05/21/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/21/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/21/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/21/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/21/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
05/21/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	150.00	5.63	93.0	0.00	5.24	5.24
05/21/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/21/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
05/21/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
05/21/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
05/21/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/21/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
05/21/2018	#00083693171	CIRILLO,	INVESTMENT CO AMER CLASS A	6,500.00	276.25	93.0	0.00	256.91	256.91
05/22/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
05/22/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
05/22/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
05/22/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
05/22/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
05/22/2018	#	EDITH RELI REV TRUS	FIDELITY ADV EQUITY INCOME-CL A	20,000.00	1,015.00	93.0	0.00	943.95	923.95
05/22/2018	#	CIRILLO, WILLIAM	FIDELITY ADV UTILITIES-CL A	20,000.00	1,025.00	93.0	0.00	953.25	933.25
05/22/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/22/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
05/22/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
05/22/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
05/24/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
05/24/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
05/24/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	30.00	0.48	93.0	0.00	0.45	0.45
05/24/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
05/24/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
05/24/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	11.54	0.18	93.0	0.00	0.17	0.17
05/24/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
05/24/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
05/24/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	6.08	0.10	93.0	0.00	0.09	0.09

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/24/2018	#00086971602 DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/24/2018	#00087729341 ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	6.08	0.10	93.0	0.00	0.09	0.00	0.09
05/24/2018	#00086957305 CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	11.54	0.18	93.0	0.00	0.17	0.00	0.17
05/24/2018	#00086957312 CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.00	0.43
05/24/2018	#00086957363 WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.00	0.39
05/24/2018	#00086971602 DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/24/2018	#00087729341 ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	3.04	0.05	93.0	0.00	0.05	0.00	0.05
05/24/2018	#00086957305 CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	11.52	0.18	93.0	0.00	0.17	0.00	0.17
05/24/2018	#00086957312 CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.00	0.43
05/24/2018	#00086957363 WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
05/24/2018	#00087729341 ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	9.12	0.15	93.0	0.00	0.14	0.00	0.14
05/24/2018	#00087729341 ALFONZONEL	GLOBAL BALANCED FUND-A	6.08	0.10	93.0	0.00	0.09	0.00	0.09
05/24/2018	#00086971602 DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/24/2018	#00086957363 WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.00	0.44
05/24/2018	#00086957286 DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
05/24/2018	#00086957317 BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	0.00	2.86
05/24/2018	#00086971602 DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/24/2018	#00086957363 WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.00	0.44
05/24/2018	#00086971602 DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.00	0.89
05/24/2018	#00086957286 DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	10.00	0.16	93.0	0.00	0.15	0.00	0.15
05/24/2018	#00086957305 CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	11.54	0.18	93.0	0.00	0.17	0.00	0.17
05/24/2018	#00086957312 CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.00	0.43
05/30/2018	#00086832263 BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.00	0.38
05/30/2018	#00086832260 MUZTAFA,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
05/30/2018	#00086832265 MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.00	0.26
05/30/2018	#00084744733 SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	0.00	2.19
05/30/2018	#00084744740 ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.00	0.42
05/30/2018	# CIRILLO, WILLIAM	FIDELITY ADV EQUITY VALUE-CL A	7,000.00	370.00	93.0	0.00	344.10	20.00	324.10
05/30/2018	# GHANEY, ROBERT	FIDELITY ADV EQUITY VALUE-CL A	12,000.00	615.00	93.0	0.00	571.95	20.00	551.95
05/30/2018	# GHANEY, ROBERT	FIDELITY ADV EQUITY VALUE-CL A	5,500.00	290.00	93.0	0.00	269.70	20.00	249.70
05/30/2018	#00084744740 ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30
05/30/2018	#00084744733 SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	0.00	4.38
05/30/2018	# CIRILLO, WILLIAM	MASSACHUSETTS INVESTORS TRUST-CL A	12,000.00	620.00	93.0	0.00	576.60	20.00	556.60
05/30/2018	#00084744733 SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	0.00	1.59
05/30/2018	#00084744740 ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.00	0.30

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* MUTUAL FUNDS SUBTOTAL *			\$117,267.90	\$5,541.67		\$0.00	\$5,153.77	\$140.00	\$5,013.77
PRODUCT CATEGORY: STOCKS									
05/02/2018	# CIRILLO, WILLIAM	ISHARES TR HIGH DIVID EQUITY FD	25,029.03	315.00	85.0	0.00	267.75	19.95	247.80
05/22/2018	# MULLALLY, JOHN	FIDELITY MSCI ENERGY INDEX ETF	8,873.00	211.27	85.0	0.00	179.58	19.95	159.63
05/23/2018	# CIRILLO, WILLIAM	SPDR SER TR S&P REGL BKG ETF	19,354.02	315.00	85.0	0.00	267.75	19.95	247.80
* STOCKS SUBTOTAL *			\$53,256.05	\$841.27		\$0.00	\$715.08	\$59.85	\$655.23
PRODUCT CATEGORY: VARIABLE ANNUITIES									
05/09/0018	#016363247 LOUISO, MICHAEL	NATIONWIDE DESTINATION	103,863.46	7,530.10	93.0	0.00	7,002.99	0.00	7,002.99
* VARIABLE ANNUITIES SUBTOTAL *			\$103,863.46	\$7,530.10		\$0.00	\$7,002.99	\$0.00	\$7,002.99
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
04/30/2018	#000000001108543	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	50.16	93.0	0.00	46.65	0.00	46.65
04/30/2018	#000011516322287 SCARSELLA,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	2.80	93.0	0.00	2.60	0.00	2.60
04/30/2018	#000011517005844 CIRILLO,	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	15.23	93.0	0.00	14.16	0.00	14.16
04/30/2018	#000011517023498 EST O	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	44.81	93.0	0.00	41.67	0.00	41.67
05/07/2018	#01456912318 VARIOUS GULA	FIDELITY ADV HIGH INCOME-CL A	0.00	6.79	93.0	0.00	6.31	0.00	6.31
05/07/2018	#01456912318 VARIOUS GULA	FIDELITY ADV ASSET MANAGER 50 PERCE	0.00	10.39	93.0	0.00	9.66	0.00	9.66
05/07/2018	#01447415207 VARIOUS GULA	FIDELITY ADV DIVIDEND GROWTH FD-CL	0.00	0.45	93.0	0.00	0.42	0.00	0.42
05/07/2018	#01456912318 VARIOUS GULA	FIDELITY ADV EQUITY INCOME-CL A	0.00	7.35	93.0	0.00	6.84	0.00	6.84
05/07/2018	#01464755659 SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	0.00	3.97	93.0	0.00	3.69	0.00	3.69
05/07/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	0.00	4.72	93.0	0.00	4.39	0.00	4.39
05/07/2018	#01464755600 VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
05/07/2018	#01464755626 VARIOUS GULA	FIDELITY ADV FREEDOM 2030-CL A	0.00	1.43	93.0	0.00	1.33	0.00	1.33
05/07/2018	#01464755675 TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	0.00	0.67	93.0	0.00	0.62	0.00	0.62
05/07/2018	#01464755691 VARIOUS GULA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.04	93.0	0.00	0.04	0.00	0.04
05/07/2018	#01464755717 SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.36	93.0	0.00	0.33	0.00	0.33
05/07/2018	#01471830404 JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32
05/07/2018	#01447415207 VARIOUS GULA	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	1.54	93.0	0.00	1.43	0.00	1.43
05/07/2018	#00618339899 VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.85	93.0	0.00	0.79	0.00	0.79
05/07/2018	#01464755584 ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	0.00	5.60	93.0	0.00	5.21	0.00	5.21
05/07/2018	#01464755600 VARIOUS GULA	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.53	93.0	0.00	0.49	0.00	0.49
05/07/2018	#00656045705 VARIOUS GULA	FIDELITY ADV HEALTH CARE-CL A	0.00	1.30	93.0	0.00	1.21	0.00	1.21
05/07/2018	#01447415207 VARIOUS GULA	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	0.56	93.0	0.00	0.52	0.00	0.52
05/07/2018	#00656045705 VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	0.88	93.0	0.00	0.82	0.00	0.82
05/07/2018	#00689730083 VARIOUS GULA	FIDELITY ADV LARGE CAP-CL A	0.00	2.17	93.0	0.00	2.02	0.00	2.02
05/07/2018	#00656045705 VARIOUS GULA	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
05/07/2018	#01447415207 VARIOUS GULA	FIDELITY ADV UTILITIES-CL A	0.00	0.47	93.0	0.00	0.44	0.00	0.44
05/07/2018	#00618339899 VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.56	93.0	0.00	0.52	0.00	0.52
05/07/2018	#00656045705 VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.14	93.0	0.00	1.06	0.00	1.06

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	2.01	93.0	0.00	1.87	1.87
05/07/2018	#00618339899	VARIOUS GULA	FIDELITY ADVISOR HIGH INCOME ADVANT	0.00	0.20	93.0	0.00	0.19	0.19
05/07/2018	#00656045705	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.59	93.0	0.00	1.48	1.48
05/07/2018	#00689730083	VARIOUS GULA	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.51	93.0	0.00	0.47	0.47
05/07/2018	#01456912318	VARIOUS GULA	FIDELITY ADVISORS BALANCED	0.00	6.91	93.0	0.00	6.43	6.43
05/07/2018	#01485158974		FREEDOM 2050 FUND	0.00	0.10	93.0	0.00	0.09	0.09
05/07/2018	#00689730083	VARIOUS GULA	TREASURY FUND ADVISOR-CL C	0.00	1.55	93.0	0.00	1.44	1.44
05/09/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	2.60	93.0	0.00	2.42	2.42
05/09/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	5.32	93.0	0.00	4.95	4.95
05/09/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	5.38	93.0	0.00	5.00	5.00
05/09/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	7.62	93.0	0.00	7.09	7.09
05/09/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	11.66	93.0	0.00	10.84	10.84
05/09/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	4.95	93.0	0.00	4.60	4.60
05/09/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	11.36	93.0	0.00	10.56	10.56
05/09/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	14.92	93.0	0.00	13.88	13.88
05/09/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	4.96	93.0	0.00	4.61	4.61
05/09/2018	#	ROGERS, BARBARA	DAIN TRAILER	0.00	7.29	93.0	0.00	6.78	6.78
05/09/2018	#	VALVO, JOHN	DAIN TRAILER	0.00	4.49	93.0	0.00	4.18	4.18
05/09/2018	#	VALVO, JOHN	DAIN TRAILER	0.00	1.72	93.0	0.00	1.60	1.60
05/09/2018	#	RELI, LINDA	DAIN TRAILER	0.00	0.65	93.0	0.00	0.60	0.60
05/09/2018	#	BOYD, KENNETH	DAIN TRAILER	0.00	9.00	93.0	0.00	8.37	8.37
05/09/2018	#	BOYD, KENNETH	DAIN TRAILER	0.00	16.63	93.0	0.00	15.47	15.47
05/09/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.86	93.0	0.00	2.66	2.66
05/09/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	8.98	93.0	0.00	8.35	8.35
05/09/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	0.41	93.0	0.00	0.38	0.38
05/09/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.44	93.0	0.00	2.27	2.27
05/09/2018	#	EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.18	93.0	0.00	2.96	2.96
05/09/2018	#	DURDEN, LEEANNE	DAIN TRAILER	0.00	0.67	93.0	0.00	0.62	0.62
05/09/2018	#	LUBERTO, CHRISTINE	DAIN TRAILER	0.00	1.98	93.0	0.00	1.84	1.84
05/09/2018	#	LUBERTO, CHRISTINE	DAIN TRAILER	0.00	3.86	93.0	0.00	3.59	3.59
05/09/2018	#	ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.67	93.0	0.00	0.62	0.62
05/09/2018	#	ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.67	93.0	0.00	1.55	1.55
05/09/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	1.26	93.0	0.00	1.17	1.17
05/09/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	6.38	93.0	0.00	5.93	5.93
05/09/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	1.26	93.0	0.00	1.17	1.17
05/09/2018	#	VARIES, CUSTOMER	DAIN TRAILER	0.00	2.54	93.0	0.00	2.36	2.36

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/23/2018 # CIRILLO, WILLIAM	DAIN TRAILER	15,500.00	795.00	93.0	0.00	739.35	0.00	739.35
05/24/2018 # MULLALLY, JOHN	DAIN TRAILER	0.00	2.43	93.0	0.00	2.26	0.00	2.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$15,500.00	\$1,122.96		\$0.00	\$1,044.32	\$0.00	\$1,044.32
*** BROKER TRANSACTIONS TOTAL ***		\$289,887.41	\$15,036.00		\$0.00	\$13,916.16	\$199.85	\$13,716.31

ADJUSTMENTS

06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
06/20/2018	ADMIN FEE: ADMIN FEE	-390.00
*** ADJUSTMENTS TOTAL ***		\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$13,716.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,147.31
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