



# COMMISSION STATEMENT

## April 23, 2018

**WILLIAM FRANCIS**  
2 Bobrick Road  
Poughkeepsie, NY 12601

**BROKER #: A88**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	675.00	18.56	0.00	18.56	70.0	12.99
	ACCOUNT #: LSR011898	BUY 43 Units @15.5300						
04/09/2018	***-**-1878 - HOWANIEC, DESSA	AMERICAN FUNDS GROWTH PORTFOLIO A	1,575.44	78.77	0.00	78.77	70.0	55.14
	ACCOUNT #: 00088799768	BUY 82 Units @19.2500						
04/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	675.00	18.56	0.00	18.56	70.0	12.99
	ACCOUNT #: LSR011898	BUY 35 Units @19.4900						
04/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	1,125.00	30.93	0.00	30.93	70.0	21.65
	ACCOUNT #: LSR011898	BUY 71 Units @15.9100						
04/18/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	1,125.01	30.93	0.00	30.93	70.0	21.65
	ACCOUNT #: LSR011898	BUY 80 Units @14.0800						
04/09/2018	***-**-1878 - HOWANIEC, DESSA	AMERICAN HIGH-INCOME TRUST-A	1,417.90	17.01	0.00	17.01	70.0	11.91
	ACCOUNT #: 00088798653	BUY 137 Units @10.3700						
04/09/2018	***-**-1878 - HOWANIEC, DESSA	CAPITAL INCOME BUILDER-A	1,890.53	22.69	0.00	22.69	70.0	15.88
	ACCOUNT #: 00088798653	BUY 31 Units @61.5900						
04/18/2018	***-**-775P - RYAN, MARY	NYA JPM 529 COLLEGE AGE-BASED P A	250.00	10.13	0.00	10.13	70.0	7.09
	ACCOUNT #: 77321355401	BUY 0 Units @0.0000						
04/09/2018	***-**-1878 - HOWANIEC, DESSA	THE BOND FUND OF AMERICA-A	1,417.91	17.01	0.00	17.01	70.0	11.91
	ACCOUNT #: 00088798653	BUY 111 Units @12.8200						
04/11/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	252.36	4.04	0.00	4.04	70.0	2.83
	ACCOUNT #: 01293376129	BUY 5 Units @45.9400						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$10,404.15</b>	<b>\$248.63</b>	<b>\$0.00</b>	<b>\$248.63</b>		<b>\$174.04</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/13/2018	***-**-3023 - DEWEY, STEVEN	AIG STRATEGIC BOND FUND CLASS A	0.00	2.66	0.00	2.66	70.0	1.86
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
04/03/2018	***-**-2471 - COLUCCI, ATHENA	ALLIANZ OCC RENAISSANCE FUND CLASS	0.00	14.57	0.00	14.57	70.0	10.20
	ACCOUNT #: 01224249951	BUY 0 Units @0.0000						
04/23/2018	***-**-53IO - GIL, OMER	AMCAP FUND-A	0.00	1.32	0.00	1.32	70.0	0.92
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
04/23/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	0.00	12.58	0.00	12.58	70.0	8.81
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - MOSHER, JEANINE	AMCAP FUND-A	0.00	2.07	0.00	2.07	70.0	1.45
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.15	0.00	2.15	70.0	1.51
04/23/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	14.85	0.00	14.85	70.0	10.40
04/23/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	2.49	0.00	2.49	70.0	1.74
04/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	AMERICAN BALANCED FUND-A BUY 1 Units @0.0000	0.00	1.01	0.00	1.01	70.0	0.71
04/23/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	AMERICAN CORPORATE BOND FUND A BUY 1 Units @0.0000	0.00	0.37	0.00	0.37	70.0	0.26
04/23/2018	***-**-247I - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS BLNCD PORTFOLIO CL A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
04/23/2018	***-**-569K - ANDERSON, ACCOUNT #: LSR011745	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	70.0	0.46
04/23/2018	***-**-24UT - FARKAS, ALLISYN ACCOUNT #: LSR011790	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	70.0	1.02
04/23/2018	***-**-247I - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	0.28	0.00	0.28	70.0	0.20
04/23/2018	***-**-09IO - FRANCIS, TERESA ACCOUNT #: LSR012472	AMERICAN FUNDS GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	70.0	0.15
04/23/2018	***-**-247I - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	0.47	0.00	0.47	70.0	0.33
04/23/2018	***-**-02IO - FISCHER, ALLYSE ACCOUNT #: LSR012367	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	70.0	0.50
04/23/2018	***-**-09IO - FRANCIS, TERESA ACCOUNT #: LSR012472	AMERICAN FUNDS GRWTH & INCOME PORT BUY 1 Units @0.0000	0.00	0.40	0.00	0.40	70.0	0.28
04/23/2018	***-**-62UT - MAINVILLE UTMA ACCOUNT #: LSR011645	AMERICAN FUNDS INCOME PORT CL A BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	70.0	0.14
04/23/2018	***-**-569K - ANDERSON, ACCOUNT #: LSR011745	AMERICAN FUNDS INCOME PORT CL A BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	70.0	0.39
04/18/2018	***-**-247I - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS T/E PRESERVATION POR BUY 91 Units @9.9000	900.00	18.00	0.00	18.00	70.0	12.60



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-976J - KILLIAN, JAMES ACCOUNT #: LSR011547	AMERICAN FUNDS T/E PRESERVATION POR BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	70.0	0.01
04/23/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS T/E PRESERVATION POR BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	70.0	1.49
04/23/2018	***-**-24UT - FARKAS, ALLISYN ACCOUNT #: LSR011790	AMERICAN FUNDS T/E PRESERVATION POR BUY 1 Units @0.0000	0.00	0.84	0.00	0.84	70.0	0.59
04/23/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS T/E PRESERVATION POR BUY 1 Units @0.0000	0.00	0.37	0.00	0.37	70.0	0.26
04/23/2018	***-**-976J - KILLIAN, JAMES ACCOUNT #: LSR011547	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62
04/23/2018	***-**-943J - DAILY, MATTHEW ACCOUNT #: LSR011610	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	0.75	0.00	0.75	70.0	0.53
04/23/2018	***-**-2471 - COLUCCI, ATHENA ACCOUNT #: LSR011898	AMERICAN FUNDS TAX ADVANTGED INC PO BUY 1 Units @0.0000	0.00	0.46	0.00	0.46	70.0	0.32
04/23/2018	***-**-2447 - FISCHER, MAX ACCOUNT #: LSR012557	AMERICAN HIGH-INCOME MUNI BOND FUND BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	70.0	0.90
04/23/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	70.0	1.24
04/23/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	53.12	0.00	53.12	70.0	37.18
04/23/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	70.0	2.44
04/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	70.0	0.70
04/23/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	AMERICAN HIGH-INCOME TRUST-A BUY 1 Units @0.0000	0.00	0.15	0.00	0.15	70.0	0.11
04/23/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	70.0	0.71
04/23/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	51.14	0.00	51.14	70.0	35.80
04/23/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	15.28	0.00	15.28	70.0	10.70



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	70.0	0.70
04/23/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	AMERICAN MUTUAL FUND-A BUY 1 Units @0.0000	0.00	5.74	0.00	5.74	70.0	4.02
04/23/2018	***-**-2447 - FISCHER, MAX ACCOUNT #: LSR012557	AMERICAN TAX EXEMPT OF NEW YORK CL BUY 1 Units @0.0000	0.00	3.01	0.00	3.01	70.0	2.11
04/23/2018	***-**-2471 - COLUCCI, ATHENA	CALAMOS TRAILS BUY 0 Units @0.0000	0.00	3.07	0.00	3.07	70.0	2.15
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131582454 BUY 0 Units @0.0000	0.00	5.29	0.00	5.29	70.0	3.70
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131618704 BUY 0 Units @0.0000	0.00	12.38	0.00	12.38	70.0	8.67
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 13161P805 BUY 0 Units @0.0000	0.00	5.20	0.00	5.20	70.0	3.64
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 13161T104 BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	70.0	0.76
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131649402 BUY 0 Units @0.0000	0.00	5.65	0.00	5.65	70.0	3.96
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00007223602	CALVERT 131649865 BUY 0 Units @0.0000	0.00	3.94	0.00	3.94	70.0	2.76
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT 131649881 BUY 0 Units @0.0000	0.00	4.01	0.00	4.01	70.0	2.81
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT CAPITAL ACCUMULATION - A BUY 0 Units @0.0000	0.00	3.61	0.00	3.61	70.0	2.53
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT LONG-TERM INCOME FUND BUY 0 Units @0.0000	0.00	6.35	0.00	6.35	70.0	4.45
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SMALL CAP VALUE FUND BUY 0 Units @0.0000	0.00	6.65	0.00	6.65	70.0	4.66
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 0 Units @0.0000	0.00	4.66	0.00	4.66	70.0	3.26
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	CALVERT SOCIAL INVESTMENT FUND BOND BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	70.0	2.08



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-032I - JOHNSON, DARLENE	CAPITAL INCOME BUILDER-A	0.00	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: LSR011358	BUY 1 Units @0.0000						
04/23/2018	***-**-55IO - KOMAR, EDWARD	CAPITAL INCOME BUILDER-A	0.00	48.20	0.00	48.20	70.0	33.74
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
04/23/2018	***-**-55IO - KOMAR, EDWARD	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	54.89	0.00	54.89	70.0	38.42
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
04/23/2018	***-**-439I - DAILY, RONI	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.89	0.00	2.89	70.0	2.02
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
04/23/2018	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.69	0.00	2.69	70.0	1.88
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - GIL, OMER	FUNDAMENTAL INVESTORS-A	0.00	0.83	0.00	0.83	70.0	0.58
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - MOSHER, JEANINE	FUNDAMENTAL INVESTORS-A	0.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						
04/23/2018	***-**-91IR - GLASSFORD,	FUNDAMENTAL INVESTORS-A	0.00	2.58	0.00	2.58	70.0	1.81
	ACCOUNT #: LSR012316	BUY 1 Units @0.0000						
04/23/2018	***-**-39UT - CHAUVIN UTMA NY,	GLOBAL GROWTH PORTFOLIO-A	0.00	0.73	0.00	0.73	70.0	0.51
	ACCOUNT #: LSR011657	BUY 1 Units @0.0000						
04/23/2018	***-**-02IO - FISCHER, ALLYSE	GLOBAL GROWTH PORTFOLIO-A	0.00	1.07	0.00	1.07	70.0	0.75
	ACCOUNT #: LSR012367	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - GIL, OMER	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY EPOCH GLOBAL EQUITY YLD A	0.00	5.66	0.00	5.66	70.0	3.96
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY HIGH-YIELD CORPORATE BOND	0.00	13.36	0.00	13.36	70.0	9.35
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY SHORT DURATION HIGH YLD A	0.00	6.44	0.00	6.44	70.0	4.51
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
04/23/2018	***-**-17IO - DEBONIS, BRENDA	MAINSTAY TOTAL RETURN FUND A	0.00	5.81	0.00	5.81	70.0	4.07
	ACCOUNT #: LSR012240	BUY 1 Units @0.0000						
04/23/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY UNCONSTRND BOND OPPORTUNIT	0.00	7.35	0.00	7.35	70.0	5.15
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-548I - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	3.66	0.00	3.66	70.0	2.56
	ACCOUNT #: LSR011545	BUY 1 Units @0.0000						
04/23/2018	***-**-548J - MOLONEY, JAMES	MAINSTAY US EQUITY OPPORTUNITIES CL	0.00	0.53	0.00	0.53	70.0	0.37
	ACCOUNT #: LSR011813	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - GIL, OMER	NEW PERSPECTIVE FUND-A	0.00	0.80	0.00	0.80	70.0	0.56
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
04/23/2018	***-**-091I - GLASSFORD,	OPP PORT SERIES: MOD INV FD A	0.00	1.92	0.00	1.92	70.0	1.34
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
04/23/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER GLOBAL HIGH YIELD CL A	0.00	2.10	0.00	2.10	70.0	1.47
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
04/23/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER SENIOR FLOATING RATE PL	0.00	2.19	0.00	2.19	70.0	1.53
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
04/23/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER STEELPATH MLP INC.FND C	0.00	0.34	0.00	0.34	70.0	0.24
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
04/23/2018	***-**-09IO - FRANCIS, TERESA	PRESERVATION PORTFOLIO-A	0.00	0.12	0.00	0.12	70.0	0.08
	ACCOUNT #: LSR012472	BUY 1 Units @0.0000						
04/23/2018	***-**-445J - NORTH, CHARLES	ROCHESTER FUND MUNICIPALS A	0.00	18.15	0.00	18.15	70.0	12.71
	ACCOUNT #: LSR011778	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - GIL, OMER	SMALLCAP WORLD FUND-A	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: LSR011536	BUY 1 Units @0.0000						
04/23/2018	***-**-439I - DAILY, RONI	SMALLCAP WORLD FUND-A	0.00	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: LSR012499	BUY 1 Units @0.0000						
04/13/2018	***-**-3023 - DEWEY, STEVEN	SUNAMERICA FOCUSED ALPHA GROWTH CL	0.00	5.64	0.00	5.64	70.0	3.95
	ACCOUNT #: 00009247327	BUY 0 Units @0.0000						
04/23/2018	***-**-2447 - FISCHER, MAX	TAX-EXEMPT BOND FUND OF AMERICA	0.00	4.30	0.00	4.30	70.0	3.01
	ACCOUNT #: LSR012557	BUY 1 Units @0.0000						
04/23/2018	***-**-55IO - KOMAR, EDWARD	THE BOND FUND OF AMERICA-A	0.00	19.72	0.00	19.72	70.0	13.80
	ACCOUNT #: LSR011569	BUY 1 Units @0.0000						
04/23/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	0.00	9.40	0.00	9.40	70.0	6.58
	ACCOUNT #: LSR011621	BUY 1 Units @0.0000						
04/23/2018	***-**-53IO - MOSHER, JEANINE	THE BOND FUND OF AMERICA-A	0.00	1.74	0.00	1.74	70.0	1.22
	ACCOUNT #: LSR011815	BUY 1 Units @0.0000						



# COMMISSION STATEMENT

April 23, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
04/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.95	0.00	2.95	70.0	2.07
04/23/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	THE BOND FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.73	0.00	8.73	70.0	6.11
04/23/2018	***-**-60IN - TALBOT, JENNIFER ACCOUNT #: LSR011386	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.47	0.00	0.47	70.0	0.33
04/23/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	8.68	0.00	8.68	70.0	6.08
04/23/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	5.77	0.00	5.77	70.0	4.04
04/23/2018	***-**-032I - JOHNSON, DARLENE ACCOUNT #: LSR011358	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	2.80	0.00	2.80	70.0	1.96
04/23/2018	***-**-53IO - GIL, OMER ACCOUNT #: LSR011536	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	70.0	0.34
04/23/2018	***-**-55IO - KOMAR, EDWARD ACCOUNT #: LSR011569	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	27.65	0.00	27.65	70.0	19.36
04/23/2018	***-**-91IR - GLASSFORD, ACCOUNT #: LSR012316	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	1.50	0.00	1.50	70.0	1.05
04/23/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	THE INCOME FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	70.0	0.25
04/23/2018	***-**-091I - GLASSFORD, ACCOUNT #: LSR011526	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	2.56	0.00	2.56	70.0	1.79
04/23/2018	***-**-53IO - MOSHER, JEANINE ACCOUNT #: LSR011815	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	0.56	0.00	0.56	70.0	0.39
04/23/2018	***-**-439I - DAILY, RONI ACCOUNT #: LSR012499	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	2.90	0.00	2.90	70.0	2.03
04/23/2018	***-**-47FB - TORRES, SHARI ACCOUNT #: LSR011621	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	12.09	0.00	12.09	70.0	8.46
04/23/2018	***-**-461I - FISCHER, ACCOUNT #: LSR012487	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	70.0	0.40
04/03/2018	***-**-7748 - MCCANNA, GLORIA ACCOUNT #: 00000613527	WORLD VALUES INTERNATIONAL EQUITY - BUY 0 Units @0.0000	0.00	12.09	0.00	12.09	70.0	8.46



# COMMISSION STATEMENT

April 23, 2018

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BROKER #: A88  
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS (continued)</u>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$900.00	\$607.51	\$0.00	\$607.51		\$425.34
		*** BROKER TRANSACTIONS TOTAL ***	\$11,304.15	\$856.14	\$0.00	\$856.14		\$599.38
<u>BROKER COMMISSION TOTALS</u>								
								Broker Transactions \$599.38
								Split Transactions \$0.00
								Override Transactions \$0.00
								Adjustments \$0.00
								Payroll Draw \$0.00
								Base Salary \$0.00
								Prior Period Balance \$0.00
								FINRA Assessment \$0.00
								SIPC Assessment \$0.00
Net Commission Earned							\$599.38	
Year-to-date Earnings							\$22,963.96	