BROKER

NET

All Companies

LIBERTY PARTNERS

STATEMENT March 20, 2018

JEFF JONES1601 Mier St

Laredo, TX 78043-

BROKER #: 016

GROSS

BRANCH: LA BRANCH

CLEARING

COMMISSION STATEMENT for JEFF JONES

INVESTMENT

				INVESIMENT	GROSS	CLEARING		NET		BRUKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION	RATE	COMMISSION
		BROKER TE	ANSA	ACTIONS						
PRODUCT CAT	regory: Mutual funds									
1/05/0018 #2	2000347795 PEREZ, SELINA	AGGRESSIVEC	В	50.00	0.50	0.00	0.00	0.5	0 85.0	0.43
01/05/0018 #2	2000347795 PEREZ, SELINA	CONSERVATIVE C	В	50.00	0.50	0.00	0.00	0.5	0 85.0	0.43
)2/15/2018 #0	00074389206 HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	В	49.30	0.49	0.00	0.00	0.4	9 85.0	0.42
2/15/2018 #0	00074389206 HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	В	49.67	0.50	0.00	0.00	0.5	0 85.0	0.43
2/15/2018 #0	00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	В	24.65	0.25	0.00	0.00	0.2	5 85.0	0.21
2/15/2018 #0	00074389206 HUMB, LAUREL	EURO PAC GROWTH FUND CL C	В	24.84	0.25	0.00	0.00	0.2	5 85.0	0.21
2/15/2018 #0	00074389206 HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	В	49.30	0.49	0.00	0.00	0.4	9 85.0	0.42
2/15/2018 #0	00074389206 HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	В	49.67	0.50	0.00	0.00	0.5	0 85.0	0.43
2/15/2018 #0	00074389206 HUMB, LAUREL	GROWTH FUND AMER INC CL C	В	49.30	0.49	0.00	0.00	0.4	9 85.0	0.42
2/15/2018 #0	00074389206 HUMB, LAUREL	GROWTH FUND AMER INC CL C	В	49.67	0.50	0.00	0.00	0.5	0 85.0	0.43
2/15/2018 #0	00088848033 DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-	AВ	75.00	1.50	0.00	0.00	1.5	0 85.0	1.28
2/15/2018 #0	00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	В	132.77	1.33	0.00	0.00	1.3	3 85.0	1.13
2/15/2018 #0	00088859005 REGALADO, JOSE	INVESTMENT CO AMER CL C	В	103.88	1.04	0.00	0.00	1.0	4 85.0	0.88
2/15/2018 #0	00089051015 VELACESARE	INVESTMENT CO AMER CL C	В	117.21	1.17	0.00	0.00	1.1	7 85.0	0.99
2/15/2018 #0	00089051015 VELACESARE	INVESTMENT CO AMER CL C	В	118.10	1.18	0.00	0.00	1.1	8 85.0	1.00
2/15/2018 #0	00089128773 VELACESARE	INVESTMENT CO AMER CL C	В	50.00	0.50	0.00	0.00	0.5	0 85.0	0.43
2/15/2018 #0	00089125404 KELLETJULI	INVESTMENT CO AMER CLASS A	В	87.74	4.39	0.00	0.00	4.3	9 85.0	3.73
2/15/2018 #0	00089125404 KELLETJULI	NEW PERSPECTIVE FUND INC CL A	В	35.10	1.76	0.00	0.00	1.7	6 85.0	1.50
2/15/2018 #0	00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	В	53.11	0.53	0.00	0.00	0.5	3 85.0	0.45
2/15/2018 #0	00088859005 REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	В	41.55	0.42	0.00	0.00	0.4	2 85.0	0.36
2/15/2018 #0	00089051015 VELACESARE	NEW PERSPECTIVE FUND INC CL C	В	46.88	0.47	0.00	0.00	0.4	7 85.0	0.40
2/15/2018 #0	00089051015 VELACESARE	NEW PERSPECTIVE FUND INC CL C	В	47.24	0.47	0.00	0.00	0.4	7 85.0	0.40
2/15/2018 #0	00089128773 VELACESARE	NEW PERSPECTIVE FUND INC CL C	В	20.00	0.20	0.00	0.00	0.2	0 85.0	0.17
2/15/2018 #0	00089778964 MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	В	250.00	2.50	0.00	0.00	2.5	0 85.0	2.13
2/15/2018 #0	00089125404 KELLETJULI	NEW WORLD FUND INC NEW	В	35.10	1.76	0.00	0.00	1.7	6 85.0	1.50
2/15/2018 #0	00074389206 HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	В	24.65	0.25	0.00	0.00	0.2	5 85.0	0.21
2/15/2018 #0	00074389206 HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	В	24.84	0.25	0.00	0.00	0.2	5 85.0	0.21
2/15/2018 #0	00088848033 DELTORRER	NEW WORLD FUND INC NEW CL C	В	30.00	0.30	0.00	0.00	0.3	0 85.0	0.26
)2/15/2018 #0	00088859005 REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	В	26.56	0.27	0.00	0.00	0.2	7 85.0	0.23
2/15/2018 #0	00088859005 REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	В	20.77	0.21	0.00	0.00	0.2	1 85.0	0.18
)2/15/2018 #0	00089051015 VELACESARE	NEW WORLD FUND INC NEW CL C	В	46.89	0.47	0.00	0.00	0.4	7 85.0	0.40

3/15/2018

10.7147_2018 #0.0089123973 VILLENBARSHANN MAN MARKE PURD INC NAM CL C 4 2.0.00 0.00	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
20/15/2018 #00089305105 VPLACESAME			BROKER TI	RANS	ACTIONS					
20/15/2018 BOORSE19873 VILLENBARDER New MONED PRIND INC LIC B 24.65 2.00 0.00 0.00 0.00 0.28 8.0 0.22 0.20 0.21 0.21 0.21 0.22 0.21 0.22 0.21 0.22 0.22 0.21 0.22	PRODUCT CATEG	GORY: MUTUAL FUNDS								
02/15/2018 #00074389206 HUMB, LAUREL	02/15/2018 #000	089051015 VELACESARE	NEW WORLD FUND INC NEW CL C	В	47.24	0.47	0.00	0.00	0.47 85.0	0.40
22/15/2018 #00074382905 HZMRILE SMALLCAF WORLD FURE INC CL C B 15.00 0.05 0.00 0.00 0.15 85.0 0.21	02/15/2018 #000	89128773 VELACESARE	NEW WORLD FUND INC NEW CL C	В	20.00	0.20	0.00	0.00	0.20 85.0	0.17
	02/15/2018 #000	74389206 HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	В	24.65	0.25	0.00	0.00	0.25 85.0	0.21
20/15/2018 #00088859005 REGALADO, JOSE SMALLCAP WORLD FUND INC CL C 8 41.55 0.42 0.00 0.00 0.02 85.0 0.42 0.00 0.10 0.00 0.02 85.0 0.20 0.01 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.00 0.01 0.00	02/15/2018 #000	74389206 HUMB, LAUREL	SMALLCAP WORLD FUND INC CL C	В	24.84	0.25	0.00	0.00	0.25 85.0	0.21
02/15/2018 #00088959005 REMALADO, JUSE SMALLCAP WORLD FUND INC CL C B 41.55 0.42 0.00 0.00 0.00 0.42 85.0 0.32 02/15/2018 #00089959015 VELACESARE SMALLCAP WORLD FUND INC CL C B 23.44 0.23 0.00 0.00 0.24 85.0 0.25 02/15/2018 #00089151015 VELACESARE SMALLCAP WORLD FUND INC CL C B 23.62 0.24 0.00 0.00 0.00 0.24 85.0 0.25 02/15/2018 #00089151015 VELACESARE SMALLCAP WORLD FUND INC CL C B 10.00 0.10 0.10 0.00 0.00 0.24 85.0 0.25 02/15/2018 #00089132404 KELENTULI SMALLCAP WORLD FUND INC CL C B 10.00 0.10 0.10 0.00 0.00 0.25 85.0 0.00 02/15/2018 #00089132404 KELENTULI THE NEW ECONOMY FUND-C B 24.65 0.25 0.00 0.00 0.25 85.0 0.22 02/15/2018 #0008894303 DELTORER THE NEW ECONOMY FUND-C B 24.65 0.25 0.00 0.00 0.00 0.25 85.0 0.22 02/15/2018 #0008894393 DELTORER THE NEW ECONOMY FUND-C B 25.00 2.50 0.00 0.00 0.00 0.00 0.30 85.0 0.22 02/15/2018 #00088419230 MELENDEL, JA INTERNATIONAL GROWTH AND INCOME A B 25.00 2.50 0.00	02/15/2018 #000	88848033 DELTORRER	SMALLCAP WORLD FUND INC CL C	В	15.00	0.15	0.00	0.00	0.15 85.0	0.13
	02/15/2018 #000	88859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	В	53.11	0.53	0.00	0.00	0.53 85.0	0.45
02/15/2018 #000890128773 VELACESSARE	02/15/2018 #000	88859005 REGALADO, JOSE	SMALLCAP WORLD FUND INC CL C	В	41.55	0.42	0.00	0.00	0.42 85.0	0.36
02/15/2018 #00089128773 VELACESARE	02/15/2018 #000	89051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	В	23.44	0.23	0.00	0.00	0.23 85.0	0.20
02/15/2018 # 00080125404 KELLETJULT SMALLCAF WORLD FUND INC CLASS A B 17.54 0.88 0.00 0.00 0.00 0.88 85.0 0.75 02/15/2018 # 000074389206 HUMB, LAUREL THE NEW ECCOMMY FUND C B 24.64 0.25 0.00 0.00 0.00 0.25 85.0 0.25 02/15/2018 # 000074389206 HUMB, LAUREL THE NEW ECCOMMY FUND C B 24.64 0.25 0.00 0.00 0.00 0.25 85.0 0.22 02/15/2018 # 00008787864 # THE NEW ECCOMMY FUND C B 25.00 0.00 0.00 0.00 0.25 85.0 0.25 02/15/2018 # 00088787864 # HULER, THONG X ECCOMMY FUND C B 25.00 0.00 0.00 0.00 0.00 0.00 85.0 0.25 0.00 0.00 0.00 0.00 0.00 0.00 0	02/15/2018 #000	089051015 VELACESARE	SMALLCAP WORLD FUND INC CL C	В	23.62	0.24	0.00	0.00	0.24 85.0	0.20
02/15/2018 #00074389206 HUMB, LAUREL THE NEW ECONOMY FUND—C B 24.65 0.25 0.00 0.00 0.00 0.25 85.0 0.21 02/15/2018 #00088948033 EULTORERS THE NEW ECONOMY FUND—C B 24.64 0.25 0.00 0.00 0.00 0.25 85.0 0.21 02/15/2018 #00088978964 HUMB, LAUREL THE NEW ECONOMY FUND—C B 30.00 0.00 0.00 0.00 0.00 0.03 85.0 0.22 02/15/2018 #00088978964 HILLER, THOMAS THE NEW ECONOMY FUND—C B 25.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	02/15/2018 #000	89128773 VELACESARE	SMALLCAP WORLD FUND INC CL C	В	10.00	0.10	0.00	0.00	0.10 85.0	0.09
02/15/2018 #00074389206 HUMB, LAUREL THE NEW ECONOMY FUND—C B 30.00 0.05 0.00 0.00 0.00 0.00 0.00 0.0	02/15/2018 #000	89125404 KELLETJULI	SMALLCAP WORLD FUND INC CLASS A	В	17.54	0.88	0.00	0.00	0.88 85.0	0.75
02/15/2018 H00088848033 DELTORRER THE NEW ECONOMY FUND-C B 250.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	02/15/2018 #000	74389206 HUMB, LAUREL	THE NEW ECONOMY FUND-C	В	24.65	0.25	0.00	0.00	0.25 85.0	0.21
02/15/2018 #00089778964 MILLER, THOMAS THE NEW ECONOMY FUND—C B 100/20/2018 #00089419230 MELENDEZ, JA INTERNATIONAL GROWTH AND INCOME—A B 100.0 5.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0	02/15/2018 #000	74389206 HUMB, LAUREL	THE NEW ECONOMY FUND-C	В	24.84	0.25	0.00	0.00	0.25 85.0	0.21
NUMBER N	02/15/2018 #000	088848033 DELTORRER	THE NEW ECONOMY FUND-C	В	30.00	0.30	0.00	0.00	0.30 85.0	0.26
### MUTUAL FUNDS SUBTOTAL * \$2,564.27 \$37.46 \$0.00 \$0.00 \$37.46 \$31.90 ### PRODUCT CATEGORY: STOCKS C2/01/2018 # S TRUST CO R/O IRA, BNYM INTERNATIONAL BANCSHARES CORP TEX S 137,235.95 1,379.80 19.95 0.00 1,359.85 85.0 1,155.87 1,200.01 1,2	02/15/2018 #000	89778964 MILLER, THOMAS	THE NEW ECONOMY FUND-C	В	250.00	2.50	0.00	0.00	2.50 85.0	2.13
PRODUCT CATEGORY: STOCKS 02/01/2018 # S TRUST CO R/O IRA, BNYM I INTERNATIONAL BANCSHARES CORP TEX S 137,235.95 1,379.80 19.95 0.00 1.359.85 85.0 1,155.87 02/01/2018 # PENA, DIANA QORVO INC COM S 8,213.10 105.00 19.95 0.00 85.05 85.0 1,155.87 02/01/2018 # PENA, DIANA QORVO INC COM S 1,416.78 50.07 19.95 0.00 85.05 85.0 1,155.87 02/01/2018 # PENA, DIANA QORVO INC COM S 1,416.78 50.07 19.95 0.00 30.12 85.0 25.60 * STOCKS SUBTOTAL * \$284,101.78 \$2,914.67 \$79.80 \$0.00 \$2,834.87 \$2,409.60 \$2,409	02/20/2018 #000	084419230 MELENDEZ, JA	INTERNATIONAL GROWTH AND INCOME-A	В	100.00	5.00	0.00	0.00	5.00 85.0	4.25
02/01/2018 # S TRUST CO R/O IRA, BNYM I INTERNATIONAL BANCSHARES CORP TEX S 137,235.95 1,379.80 19.95 0.00 1,359.85 85.0 1,155.87 02/01/2018 # INTERNATIONAL BANCSHARES CORP TEX S 137,235.95 1,379.80 19.95 0.00 1,359.85 85.0 1,155.87 02/01/2018 # PENA, DIANA QORVO INC COM S 8,213.10 105.00 19.95 0.00 85.05 85.0 72.25 02/01/2018 # PENA, DIANA QORVO INC COM S 1,416.78 50.07 19.95 0.00 30.12 85.0 25.60 * STOCKS SUBTOTAL * \$284,101.78 \$2,914.67 \$79.80 \$0.00 \$2,834.87 \$2,409.60 \$1.00 \$1.			* MUTUAL FUNDS SUBTOTAL	. *	\$2,564.27	\$37.46	\$0.00	\$0.00	\$37.46	\$31.90
02/01/2018 # PENA, DIANA QORVO INC COM S 8,213.10 105.00 19.95 0.00 1,359.85 85.0 1,155.87 02/01/2018 # PENA, DIANA QORVO INC COM S 8,213.10 105.00 19.95 0.00 85.05 85.0 72.25 02/01/2018 # PENA, DIANA QORVO INC COM S 1,416.78 50.07 19.95 0.00 30.12 85.0 25.0 02/01/2018 # PENA, DIANA QORVO INC COM *STOCKS SUBTOTAL * \$284,101.78 \$2,914.67 \$79.80 \$0.00 \$2,834.87 \$2,409.65 \$2,4	PRODUCT CATE	GORY: STOCKS								
02/01/2018 # PENA, DIANA QORVO INC COM S 1,416.78 50.07 19.95 0.00 85.05 85.0 72.23 02/01/2018 # PENA, DIANA QORVO INC COM S 1,416.78 50.07 19.95 0.00 30.12 85.0 25.60 25.60 10.00	02/01/2018 # S	TRUST CO R/O IRA, BNYM I	INTERNATIONAL BANCSHARES CORP TEX	S	137,235.95	1,379.80	19.95	0.00	1,359.85 85.0	1,155.87
S	02/01/2018 #		INTERNATIONAL BANCSHARES CORP TEX	S	137,235.95	1,379.80	19.95	0.00	1,359.85 85.0	1,155.87
** STOCKS SUBTOTAL * \$284,101.78 \$2,914.67 \$79.80 \$0.00 \$2,834.87 \$2,409.67 \$1.00 \$1	02/01/2018 # PE	CNA, DIANA	QORVO INC COM	S	8,213.10	105.00	19.95	0.00	85.05 85.0	72.29
PRODUCT CATEGORY: VARIABLE ANNUITIES 01/01/0018 #1098000000 DEL NORTE, JOHN HANCOCK LIFE TRAILS B 0.00 189.05 0.00 0.00 189.05 85.0 160.65 02/02/2018 #702155 BARRERA, ADRIANA JOHN HANCOCK LIFE TRAILS B 0.00 57.84 0.00 0.00 57.84 85.0 49.16 1.00 1.00 1.00 1.00 1.00 1.00 1.00 1	02/01/2018 # PE	CNA, DIANA	QORVO INC COM	S	1,416.78	50.07	19.95	0.00	30.12 85.0	25.60
01/01/0018 #1098000000 DEL NORTE, JOHN HANCOCK LIFE TRAILS B 0.00 189.05 0.00 0.00 189.05 85.0 160.69 02/02/2018 #702155 BARRERA, ADRIANA JOHN HANCOCK LIFE TRAILS B 0.00 57.84 0.00 0.00 57.84 85.0 49.16 *VARIABLE ANNUITIES SUBTOTAL * \$0.00 \$246.89 \$0.00 \$0.00 \$246.89 \$0.00 \$0.00 \$246.89 \$0.00 \$0.00 \$246.89 \$0.00 \$0.0			* STOCKS SUBTOTAL	. *	\$284,101.78	\$2,914.67	\$79.80	\$0.00	\$2,834.87	\$2,409.63
Author Company Compa	PRODUCT CATE	GORY: VARIABLE ANNUITIES	5							
* VARIABLE ANNUITIES SUBTOTAL * \$0.00 \$246.89 \$0.00 \$0.00 \$246.89 \$209.89 PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 02/06/2018 # BENAVIDES, ENRIQUE DAIN TRAILER B 0.00 0.72 0.00 0.00 0.00 0.72 85.0 0.61 0.20 0.20 0.20 0.20 0.00 0.00 0.00 0.0	01/01/0018 #109	98000000 DEL NORTE,	JOHN HANCOCK LIFE TRAILS	В	0.00	189.05	0.00	0.00	189.05 85.0	160.69
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 02/06/2018 # BENAVIDES, ENRIQUE DAIN TRAILER B 0.00 0.72 0.00 0.00 0.72 85.0 0.61 0.72 0.00 0.00 0.00 0.73 85.0 0.61 0.72 0.00 0.00 0.00 0.73 85.0 0.61 0.72 0.00 0.00 0.00 0.73 85.0 0.61 0.72 0.00 0.00 0.00 0.00 0.73 85.0 0	02/02/2018 #702	2155 BARRERA, ADRIANA	JOHN HANCOCK LIFE TRAILS	В	0.00	57.84	0.00	0.00	57.84 85.0	49.16
02/06/2018 # BENAVIDES, ENRIQUE DAIN TRAILER B 0.00 0.72 0.00 0.00 0.72 85.0 0.61 02/06/2018 # ROSILLO, MARIA DAIN TRAILER B 0.00 4.56 0.00 0.00 4.56 85.0 3.88 02/06/2018 # CANTU, VIDAL DAIN TRAILER B 0.00 7.35 0.00 0.00 7.35 85.0 6.25 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.15 0.00 0.00 1.15 85.0 0.98 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.08 0.00 0.00 8.08 85.0 6.87 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 0.81 0.00 0.00 0.81 85.0 0.69 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 2.73 0.00 0.00 2.73 85.0 0.94 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 <td></td> <td></td> <td>* VARIABLE ANNUITIES SUBTOTAL</td> <td>. *</td> <td>\$0.00</td> <td>\$246.89</td> <td>\$0.00</td> <td>\$0.00</td> <td>\$246.89</td> <td>\$209.85</td>			* VARIABLE ANNUITIES SUBTOTAL	. *	\$0.00	\$246.89	\$0.00	\$0.00	\$246.89	\$209.85
02/06/2018 # ROSILLO, MARIA DAIN TRAILER B 0.00 4.56 0.00 0.00 4.56 85.0 3.88 02/06/2018 # CANTU, VIDAL DAIN TRAILER B 0.00 7.35 0.00 0.00 7.35 85.0 6.25 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.08 0.00 8.08 0.00 0.00 0.00 0.	PRODUCT CATEG	ORY: MUTUAL FUND 12B1	TRAILS							
02/06/2018 # ROSILLO, MARIA DAIN TRAILER B 0.00 4.56 0.00 0.00 4.56 85.0 3.88 02/06/2018 # CANTU, VIDAL DAIN TRAILER B 0.00 7.35 0.00 0.00 7.35 85.0 6.25 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.08 0.00 8.08 0.00 0.00 0.00 0.	02/06/2018 # BE	NAVIDES, ENRIQUE	DAIN TRAILER	В	0.00	0.72	0.00	0.00	0.72 85.0	0.61
02/06/2018 # CANTU, VIDAL DAIN TRAILER B 0.00 7.35 0.00 0.00 7.35 85.0 6.25 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.15 0.00 0.00 1.15 85.0 0.98 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.08 0.00 0.00 0.00 0.81 85.0 6.25 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 0.81 0.00 0.00 0.00 0.81 85.0 0.69 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 2.73 0.00 0.00 1.11 85.0 0.94 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59										3.88
02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.15 0.00 0.00 1.15 85.0 0.98 0.2/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.08 0.00 0.00 8.08 85.0 6.87 0.2/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 0.81 0.00 0.00 0.81 85.0 0.69 0.2/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 2.73 0.00 0.00 2.73 85.0 2.32 0.2/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.11 0.00 0.00 1.11 85.0 0.94 0.2/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59 0.2/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59 0.00										6.25
02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.08 0.00 0.00 8.08 85.0 6.87 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 0.81 0.00 0.00 0.00 0.81 85.0 0.69 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 2.73 0.00 0.00 2.73 85.0 2.32 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.11 0.00 0.00 1.11 85.0 0.94 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59										0.98
02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 0.81 0.00 0.00 0.81 85.0 0.69 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 2.73 0.00 0.00 2.73 85.0 2.32 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.11 0.00 0.00 1.11 85.0 0.94 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59										6.87
02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 2.73 0.00 0.00 2.73 85.0 2.32 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.11 0.00 0.00 1.11 85.0 0.94 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59										0.69
02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 1.11 0.00 0.00 1.11 85.0 0.94 02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59										2.32
02/09/2018 # PENA, DIANA DAIN TRAILER B 0.00 8.93 0.00 0.00 8.93 85.0 7.59										0.94
										7.59
										3.26

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSA	ACTIONS						
PRODUCT CATEGORY: MUTUAL	FUND 12B1 TRAILS								
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	6.83	0.00	0.00	6.83	85.0	5.81
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	17.04	0.00	0.00	17.04	85.0	14.48
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	15.35	0.00	0.00	15.35	85.0	13.05
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	8.85	0.00	0.00	8.85	85.0	7.52
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	4.90	0.00	0.00	4.90	85.0	4.17
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	0.07	0.00	0.00	0.07	85.0	0.06
02/09/2018 # PENA, DIANA	DAIN TRAILER	В	0.00	1.74	0.00	0.00	1.74	85.0	1.48
02/09/2018 # GARCIA, YOLANDA	A DAIN TRAILER	В	0.00	7.66	0.00	0.00	7.66	85.0	6.51
02/09/2018 # BARRERA, ADRIA	JA DAIN TRAILER	В	0.00	7.46	0.00	0.00	7.46	85.0	6.34
02/09/2018 # RAMIREZ, J EDM	JNDO DAIN TRAILER	В	0.00	2.95	0.00	0.00	2.95	85.0	2.51
02/09/2018 # MARTINEZ, MARI	SSA DAIN TRAILER	В	0.00	0.25	0.00	0.00	0.25	85.0	0.21
02/09/2018 # LAMKIN, DYANNE	DAIN TRAILER	В	0.00	0.96	0.00	0.00	0.96	85.0	0.82
	* MUTUAL FUND 12E	31 TRAILS SUBTOTAL *	\$0.00	\$113.33	\$0.00	\$0.00	\$113.33		\$96.35
	*** BROKER TRA	ANSACTIONS TOTAL ***	\$286,666.05	\$3,312.35	\$79.80	\$0.00	\$3,232.55	•	\$2,747.73

ADJUSTMENTS

03/20/2018	ADMIN FEE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-49.89
	DEBIT BALANCE	

*** ADJUSTMENTS TOTAL ***

BROKER	COMMISSION	TOTALS	

\$-548.89

BROKER COMMISSION	TOTALS
Broker Transactions	\$2,747.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-548.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
Check Amount	\$2,198.84