

COMMISSION STATEMENT July 15, 2018

RIX SMITH-D063

BROKER #: D063

BRANCH: DMA

, TX

COMMISSION STATEMENT for RIX SMITH-D063

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018 (None)	VARIOUS, SMITH RIX	MFT OPPENHEIMER	B	0.00	8.88	0.00	8.88	48.0	4.26
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$8.88	\$0.00	\$8.88	\$4.26
				*** DIRECT TRANSACTIONS TOTAL ***	\$0.00	\$8.88	\$0.00	\$8.88	\$4.26

SPLIT TRANSACTIONS

BROKER: RIX/RANDY SMITH/SMITH-D081 #80

07/06/2018	00073969963	SMITH, RONALD	MF NEW PERSPECTIVE FUND-A	B	4,823.81	48.24	0.00	48.24	23.16
				* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *	\$4,823.81	\$48.24	\$0.00	\$48.24	\$23.16
				*** SPLIT TRANSACTIONS TOTAL ***	\$4,823.81	\$48.24	\$0.00	\$48.24	\$23.16

BROKER COMMISSION TOTALS

Broker Transactions	\$4.26
Split Transactions	\$23.16
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$27.42
Year-to-date Commissions	\$11,477.62