

**COMMISSION STATEMENT****November 11, 2014****REP #1 SAMPLE**2221 E. LONG LAKE ROAD
SUITE 250
Troy, MI 48085-0001**BROKER #: 101**

BRANCH: FOXTROT SAMPLE

COMMISSION STATEMENT for REP #1 SAMPLE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS**PRODUCT CATEGORY: MUTUAL FUNDS**

11/01/2014	AB12345	ADELNEST, FRANCIS		*MERIDIAN VALUE FUND	B	21,341,234.00	-121.00	0.00	-121.00	80.0	-96.80
11/02/2014	AB12345	ADELNEST, FRANCIS		*MERIDIAN VALUE FUND	B	4.00	0.00	0.00	0.00	80.0	0.00
11/08/2014	AB12345	ADELNEST, FRANCIS		*MERIDIAN VALUE FUND	B	4.00	0.00	0.00	0.00	80.0	0.00
11/09/2014	AB12345	ADELNEST, FRANCIS		*MERIDIAN VALUE FUND	B	21,341,234.00	121.00	0.00	121.00	80.0	96.80
11/02/2014	AB12345	ADELNEST, FRANCIS		AIM 001413301	B	100.00	-0.02	0.00	-0.02	80.0	-0.02
11/03/2014	AB12345	ADELNEST, FRANCIS		AIM 001413301	B	100.00	0.01	0.00	0.01	80.0	0.01
11/10/2014	AB12345	BLACK, RALPH W		AIM 001413301	B	20,000.00	875.00	25.00	850.00	80.0	680.00
11/10/2014	AB12345	BLACK, RALPH W		AIM CHARTER FUND CLASS B	B	10,000.00	125.00	35.00	90.00	80.0	72.00
				* MUTUAL FUNDS SUBTOTAL *		\$42,712,676.00	\$999.99	\$60.00	\$939.99		\$751.99

PRODUCT CATEGORY: STOCKS

11/10/2014	AB12345	BLACK, RALPH W		VANGUARD INFO TECH VIPERS	B	5,000.00	145.00	12.00	133.00	50.0	66.50
				* STOCKS SUBTOTAL *		\$5,000.00	\$145.00	\$12.00	\$133.00		\$66.50
				*** BROKER TRANSACTIONS TOTAL ***		\$42,717,676.00	\$1,144.99	\$72.00	\$1,072.99		\$818.49

SPLIT TRANSACTIONS**BROKER: REP #2 SAMPLE #2**

11/10/2014	AB12345	BLACK, RALPH W		OPPENHEIMER 68380L100	B	25,000.00	508.56	4.00	504.56		403.65
				* REP #2 SAMPLE SUBTOTAL *		\$25,000.00	\$508.56	\$4.00	\$504.56		\$403.65
				*** SPLIT TRANSACTIONS TOTAL ***		\$25,000.00	\$508.56	\$4.00	\$504.56		\$403.65

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ADJUSTMENTS

11/11/2014		ADJUSTMENT COUNT - 2		0.00	0.00	0.00	0.00		-275.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-275.00

BROKER COMMISSION TOTALS

Broker Transactions	\$818.49
Split Transactions	\$403.65
Override Transactions	\$0.00
Adjustments	\$-275.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.07
SIPC Assessment	\$-31.00

Check Amount	\$914.07
Year-to-date Earnings	\$914.07