



COMMISSION STATEMENT

July 09, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/25/2018	***-**-71IN - O'NEILL, RAYMOND	FRK STRAT INC FND - CL A	75.00	3.00	0.00	3.00	95.0	2.85
	ACCOUNT #: 000019400013468	BUY 7 Units @10.0200						
06/28/2018	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00086583849	BUY 4 Units @13.4700						
* MUTUAL FUNDS SUBTOTAL *			\$125.00	\$4.00	\$0.00	\$4.00		\$3.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/09/2018	***-**-786F - SEELEY, NANCY	SCHOLAR'S EDGE TRAILS	0.00	6.35	0.00	6.35	95.0	6.03
	ACCOUNT #: 2000093784	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6.35	\$0.00	\$6.35		\$6.03
*** BROKER TRANSACTIONS TOTAL ***			\$125.00	\$10.35	\$0.00	\$10.35		\$9.83

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

06/25/2018	***-**-4427 - ZOGBY, MICHAEL	AMERICAN BALANCED FUND-A	116.00	3.19	0.00	3.19	20.0	0.64
	ACCOUNT #: 00084492487	BUY 4 Units @28.0100						
06/25/2018	***-**-7449 - JONES, D	AMERICAN BALANCED FUND-A	115.00	4.31	0.00	4.31	20.0	0.86
	ACCOUNT #: 00087990221	BUY 4 Units @28.3000						
06/26/2018	***-**-9037 - NOURSE, STACIA	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000247689	BUY 2 Units @28.7200						
06/26/2018	***-**-4639 - SCOONES,	AMERICAN BALANCED FUND-A	90.75	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00084481157	BUY 3 Units @28.0500						
06/26/2018	***-**-408B - SNIZEK,	AMERICAN BALANCED FUND-A	125.00	3.44	0.00	3.44	20.0	0.69
	ACCOUNT #: 00085154620	BUY 4 Units @28.0500						
06/26/2018	***-**-5152 - DUNN, MICHELE	AMERICAN BALANCED FUND-A	2,400.00	66.00	0.00	66.00	20.0	13.20
	ACCOUNT #: 00087316793	BUY 86 Units @28.0500						
06/26/2018	***-**-5556 - BURNS, ROBERT	AMERICAN BALANCED FUND-A	37.50	1.59	0.00	1.59	20.0	0.32
	ACCOUNT #: 00085993216	BUY 1 Units @28.4900						
06/26/2018	***-**-0939 - LAWRENCE, JASON	AMERICAN BALANCED FUND-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @28.7200						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
06/28/2018	***-**-24IR - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 2 Units @28.7100						
06/26/2018	***-**-9037 - NOURSE, STACIA	AMERICAN HIGH-INCOME TRUST-A	50.00	1.50	0.00	1.50	20.0	0.30
	ACCOUNT #: 04000247689	BUY 5 Units @10.5700						
06/26/2018	***-**-4848 - DOGETT, AMY	AMERICAN HIGH-INCOME TRUST-A	990.00	27.23	0.00	27.23	20.0	5.45
	ACCOUNT #: 00081620466	BUY 94 Units @10.5400						
06/26/2018	***-**-408B - SNIZEK,	AMERICAN HIGH-INCOME TRUST-A	100.00	2.75	0.00	2.75	20.0	0.55
	ACCOUNT #: 00085154620	BUY 9 Units @10.5400						
06/26/2018	***-**-7598 - HUMPF, MINDI	AMERICAN HIGH-INCOME TRUST-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 2 Units @10.5400						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	AMERICAN HIGH-INCOME TRUST-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 2 Units @10.5400						
06/26/2018	***-**-5556 - BURNS, ROBERT	AMERICAN HIGH-INCOME TRUST-A	52.50	1.58	0.00	1.58	20.0	0.32
	ACCOUNT #: 00085993216	BUY 5 Units @10.5700						
06/29/2018	***-**-9500 - KELLER, BILLIE	AXA EQUI VA 00248C360	0.00	27.10	0.00	27.10	20.0	5.42
	ACCOUNT #: 316022320	BUY 0 Units @0.0000						
06/29/2018	***-**-9002 - ANDERSON, BRYAN	AXA EQUI VA 00248C360	0.00	61.99	0.00	61.99	20.0	12.40
	ACCOUNT #: 316102600	BUY 0 Units @0.0000						
06/29/2018	***-**-2456 - VOELKER, ROBERT	AXA EQUI VA 00248C360	0.00	167.25	0.00	167.25	20.0	33.45
	ACCOUNT #: 316126418	BUY 0 Units @0.0000						
06/29/2018	***-**-143R - DRAKE, RONALD	AXA EQUI VA 00248C360	0.00	30.10	0.00	30.10	20.0	6.02
	ACCOUNT #: 317006112	BUY 0 Units @0.0000						
06/26/2018	***-**-70IN - KING, SUSAN	CAPITAL APPRECIATION A	60.00	1.80	0.00	1.80	20.0	0.36
	ACCOUNT #: 03210336612	BUY 0 Units @0.0000						
06/19/2018	***-**-4932 - BAKER, STEVEN	CAPITAL INCOME A	262.50	12.47	0.00	12.47	20.0	2.49
	ACCOUNT #: 03008792487	BUY 0 Units @0.0000						
06/19/2018	***-**-030J - MERRILL,	CAPITAL INCOME A	62.50	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 03009145719	BUY 0 Units @0.0000						
06/25/2018	***-**-7449 - JONES, D	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00087990221	BUY 1 Units @62.5200						
06/26/2018	***-**-5152 - DUNN, MICHELE	CAPITAL INCOME BUILDER-A	2,400.00	66.00	0.00	66.00	20.0	13.20
	ACCOUNT #: 00087316793	BUY 39 Units @61.8400						



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<u>VERRIDE TRANSACTIONS (continued)</u>								
<u>BROKER: RONALD DRAKE (continued)</u>								
06/26/2018	***-**-4848 - DOGETT, AMY ACCOUNT #: 00081620466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 19 Units @52.4500	990.00	27.23	0.00	27.23	20.0	5.45
06/26/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.4500	100.00	2.75	0.00	2.75	20.0	0.55
06/26/2018	***-**-7598 - HUMPF, MINDI ACCOUNT #: 00085514851	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @52.4500	25.00	0.69	0.00	0.69	20.0	0.14
06/26/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.4500	31.50	0.87	0.00	0.87	20.0	0.17
06/26/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.2700	37.50	1.59	0.00	1.59	20.0	0.32
06/30/2018	***-**-59VA - SEYMOUR, DEBORAH ACCOUNT #: 921058830	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	16.39	0.00	16.39	20.0	3.28
06/30/2018	***-**-57VA - NELSON, IRENE ACCOUNT #: 921059039	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	26.75	0.00	26.75	20.0	5.35
06/30/2018	***-**-9692 - KELLER, KENNETH ACCOUNT #: 921075402	CHOICEPLUS ASSURANCE BONUS BUY 0 Units @0.0000	0.00	90.72	0.00	90.72	20.0	18.14
06/19/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 08352551208	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49
06/26/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08351548074	EQUITY INCOME FUND, INC. A BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
06/25/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	EUROPACIFIC GROWTH FUND-A BUY 2 Units @55.3400	85.00	2.34	0.00	2.34	20.0	0.47
06/26/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	EUROPACIFIC GROWTH FUND-A BUY 1 Units @55.2600	31.50	0.87	0.00	0.87	20.0	0.17
06/30/2018	***-**-7431 - PATANE, JOANNE ACCOUNT #: 951069509	LINCOLN FIN VA 533787727 BUY 0 Units @0.0000	0.00	68.99	0.00	68.99	20.0	13.80
06/30/2018	***-**-61VA - BUDINE, JESSE ACCOUNT #: 924689841	LINCOLN FIN VA 53404B676 BUY 0 Units @0.0000	0.00	108.45	0.00	108.45	20.0	21.69
06/19/2018	***-**-030J - MERRILL, ACCOUNT #: 05450490490	OPP PORT SERIES: MOD INV FD A BUY 0 Units @0.0000	112.50	3.38	0.00	3.38	20.0	0.68
06/26/2018	***-**-33IN - KING, JOHN ACCOUNT #: 07859653204	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
06/19/2018	***-**-030J - MERRILL, ACCOUNT #: 01951261139	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.50	0.38	0.00	0.38	20.0	0.08
06/26/2018	***-**-33IN - KING, JOHN ACCOUNT #: 08476672325	OPPENHEIMER MAIN STREET SMALL CAP F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
06/26/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02514166107	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	76.00	2.28	0.00	2.28	20.0	0.46
06/19/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02306510122	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	262.50	10.50	0.00	10.50	20.0	2.10
06/26/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02305070453	OPPENHEIMER STRATEGIC INCOME FD CL BUY 0 Units @0.0000	60.00	1.65	0.00	1.65	20.0	0.33
06/19/2018	***-**-4932 - BAKER, STEVEN ACCOUNT #: 02254109468	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	262.50	12.47	0.00	12.47	20.0	2.49
06/26/2018	***-**-70IN - KING, SUSAN ACCOUNT #: 02253478497	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	80.00	2.40	0.00	2.40	20.0	0.48
06/26/2018	***-**-33IN - KING, JOHN ACCOUNT #: 02254507140	RISING DIVIDENDS FUND A BUY 0 Units @0.0000	96.00	2.88	0.00	2.88	20.0	0.58
06/19/2018	***-**-030J - MERRILL, ACCOUNT #: 06000782386	SELECT VALUE FUND A BUY 0 Units @0.0000	62.50	1.88	0.00	1.88	20.0	0.38
06/26/2018	***-**-5556 - BURNS, ROBERT ACCOUNT #: 00085993216	SMALLCAP WORLD FUND-A BUY 0 Units @61.8800	22.50	0.96	0.00	0.96	20.0	0.19
06/26/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE BOND FUND OF AMERICA-A BUY 7 Units @12.9800	90.75	2.50	0.00	2.50	20.0	0.50
06/25/2018	***-**-4427 - ZOGBY, MICHAEL ACCOUNT #: 00084492487	THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.6600	150.00	4.13	0.00	4.13	20.0	0.83
06/25/2018	***-**-7449 - JONES, D ACCOUNT #: 00087990221	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.2400	50.00	1.88	0.00	1.88	20.0	0.38
06/26/2018	***-**-4848 - DOGGETT, AMY ACCOUNT #: 00081620466	THE GROWTH FUND OF AMERICA-A BUY 18 Units @55.9100	1,020.00	28.05	0.00	28.05	20.0	5.61
06/26/2018	***-**-4639 - SCOONES, ACCOUNT #: 00084481157	THE GROWTH FUND OF AMERICA-A BUY 2 Units @55.9100	93.50	2.57	0.00	2.57	20.0	0.51
06/26/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	THE GROWTH FUND OF AMERICA-A BUY 3 Units @55.9100	175.00	4.81	0.00	4.81	20.0	0.96



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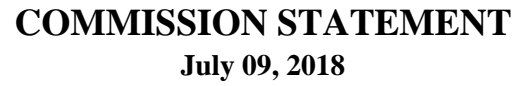
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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
06/26/2018	***-**-7598 - HUMPF, MINDI	THE GROWTH FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 0 Units @55.9100						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	36.00	0.99	0.00	0.99	20.0	0.20
	ACCOUNT #: 00085839015	BUY 1 Units @55.9100						
06/28/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089897908	BUY 1 Units @57.0900						
06/28/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 1 Units @57.0900						
06/25/2018	***-**-7449 - JONES, D	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	20.0	0.94
	ACCOUNT #: 00087990221	BUY 5 Units @23.6900						
06/26/2018	***-**-7598 - HUMPF, MINDI	THE INCOME FUND OF AMERICA-A	25.00	0.69	0.00	0.69	20.0	0.14
	ACCOUNT #: 00085514851	BUY 1 Units @23.4600						
06/26/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	25.50	0.70	0.00	0.70	20.0	0.14
	ACCOUNT #: 00085839015	BUY 1 Units @23.4600						
06/26/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @24.0200						
06/25/2018	***-**-7449 - JONES, D	WASHINGTON MUTUAL INVESTORS FUND-A	118.33	4.44	0.00	4.44	20.0	0.89
	ACCOUNT #: 00087990221	BUY 3 Units @46.4500						
* RONALD DRAKE SUBTOTAL *			\$12,054.33	\$963.82	\$0.00	\$963.82		\$192.82
<u> BROKER: RONALD CIOTOLI </u>								
06/26/2018	***-**-923I - MILLER, DENISE	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	35.0	1.31
	ACCOUNT #: 00068752100	BUY 4 Units @28.3500						
06/26/2018	***-**-338O - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	35.0	0.83
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
06/30/2018	***-**-630I - ROMA, JAMES	JACKSON 468511530	0.00	44.30	0.00	44.30	35.0	15.51
	ACCOUNT #: 1200802832	BUY 0 Units @0.0000						
06/30/2018	***-**-8626 - CIOTOLI, CLIENTS	LINCOLN FIN VA TRAILS 533785101	0.00	27.71	0.00	27.71	35.0	9.70
	ACCOUNT #: 951057679	BUY 0 Units @0.0000						
* RONALD CIOTOLI SUBTOTAL *			\$150.00	\$78.14	\$0.00	\$78.14		\$27.35
*** OVERRIDE TRANSACTIONS TOTAL ***			\$12,204.33	\$1,041.96	\$0.00	\$1,041.96		\$220.17



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$9.83
						Split Transactions		\$0.00
						Override Transactions		\$220.17
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$230.00
						Year-to-date Earnings		\$16,042.24