

All Companies
STATEMENT
August 20, 2018

MALVIN JOHNSON
117 C Street
SUITE 620
Vallejo, CA 94950

BROKER #: 036
BRANCH:

COMMISSION STATEMENT for MALVIN JOHNSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/05/2018	#00082111667	EDWARDS, ELLEN	EURO PACIFIC GROWTH FUND CLASS A	260.00	7.15	90.0	0.00	6.44	6.44
07/05/2018	#00082111667	EDWARDS, ELLEN	FUNDAMENTAL INVESTORS INC CLASS A	220.00	6.05	90.0	0.00	5.45	5.45
07/05/2018	#00082111667	EDWARDS, ELLEN	NEW PERSPECTIVE FUND INC CL A	220.00	6.05	90.0	0.00	5.45	5.45
			* MUTUAL FUNDS SUBTOTAL *	\$700.00	\$19.25		\$0.00	\$17.34	\$17.34
<u>PRODUCT CATEGORY: STOCKS</u>									
07/09/2018	#	YARDE, GRAHAM	DUKE ENERGY CORPORATION	1,444.19	50.62	75.0	0.00	37.97	19.97
07/27/2018	#	CARR, PATRICIA	CARDINAL HEALTH INC	2,959.20	20.00	75.0	0.00	15.00	-3.00
			* STOCKS SUBTOTAL *	\$4,403.39	\$70.62		\$0.00	\$52.97	\$16.97
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	#	DWYER, TIMOTHY	DAIN TRAILER	0.00	13.68	90.0	0.00	12.31	12.31
07/11/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	3.74	90.0	0.00	3.37	3.37
07/11/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	2.83	90.0	0.00	2.55	2.55
07/11/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	6.82	90.0	0.00	6.14	6.14
07/11/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	10.12	90.0	0.00	9.11	9.11
07/11/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	1.51	90.0	0.00	1.36	1.36
07/11/2018	#		DAIN TRAILER	0.00	3.63	90.0	0.00	3.27	3.27
07/11/2018	#		DAIN TRAILER	0.00	3.23	90.0	0.00	2.91	2.91
07/11/2018	#	BARROW, DIANA	DAIN TRAILER	0.00	0.24	90.0	0.00	0.22	0.22
07/11/2018	#	BARROW, JOHN	DAIN TRAILER	0.00	1.49	90.0	0.00	1.34	1.34
07/11/2018	#	BARROW, JOHN	DAIN TRAILER	0.00	1.91	90.0	0.00	1.72	1.72
07/11/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	6.89	90.0	0.00	6.20	6.20
07/11/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	2.40
07/11/2018	#	BRIGGS, MYRA	DAIN TRAILER	0.00	18.02	90.0	0.00	16.22	16.22
07/11/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	6.89	90.0	0.00	6.20	6.20
07/11/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	1.33	90.0	0.00	1.20	1.20
07/11/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	2.20	90.0	0.00	1.98	1.98
07/11/2018	#	GIBSON, VALITA	DAIN TRAILER	0.00	2.44	90.0	0.00	2.20	2.20

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# BRIGGS, KENNETH	DAIN TRAILER	0.00	0.64	90.0	0.00	0.58	0.00	0.58
07/11/2018	# CLARK, FRANK	DAIN TRAILER	0.00	1.64	90.0	0.00	1.48	0.00	1.48
07/11/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.61	90.0	0.00	2.35	0.00	2.35
07/11/2018	# CLARK, FRANK	DAIN TRAILER	0.00	2.19	90.0	0.00	1.97	0.00	1.97
07/11/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	7.56	90.0	0.00	6.80	0.00	6.80
07/11/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	18.06	90.0	0.00	16.25	0.00	16.25
07/11/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	1.56	90.0	0.00	1.40	0.00	1.40
07/11/2018	# DWYER-PALMER, GENELLE	DAIN TRAILER	0.00	6.08	90.0	0.00	5.47	0.00	5.47
07/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	4.58	90.0	0.00	4.12	0.00	4.12
07/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	10.98	90.0	0.00	9.88	0.00	9.88
07/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	3.58	90.0	0.00	3.22	0.00	3.22
07/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	5.71	90.0	0.00	5.14	0.00	5.14
07/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	9.05	90.0	0.00	8.15	0.00	8.15
07/11/2018	# GIBSON, VALITA	DAIN TRAILER	0.00	0.66	90.0	0.00	0.59	0.00	0.59
07/11/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	3.69	90.0	0.00	3.32	0.00	3.32
07/11/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	8.28	90.0	0.00	7.45	0.00	7.45
07/11/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	28.84	90.0	0.00	25.96	0.00	25.96
07/11/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	28.63	90.0	0.00	25.77	0.00	25.77
07/11/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	4.22	90.0	0.00	3.80	0.00	3.80
07/11/2018	# THE, FRANK BOLDEN AS	DAIN TRAILER	0.00	7.11	90.0	0.00	6.40	0.00	6.40
07/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	1.00	90.0	0.00	0.90	0.00	0.90
07/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.30	90.0	0.00	0.27	0.00	0.27
07/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.32	90.0	0.00	0.29	0.00	0.29
07/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	1.00	90.0	0.00	0.90	0.00	0.90
07/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.30	90.0	0.00	0.27	0.00	0.27
07/11/2018	# VENOSDEL, HELEN	DAIN TRAILER	0.00	0.32	90.0	0.00	0.29	0.00	0.29
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.57	90.0	0.00	5.01	0.00	5.01
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.37	90.0	0.00	4.83	0.00	4.83
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	7.95	90.0	0.00	7.16	0.00	7.16
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	2.39	90.0	0.00	2.15	0.00	2.15
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.79	90.0	0.00	4.31	0.00	4.31
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	9.99	90.0	0.00	8.99	0.00	8.99
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	4.38	90.0	0.00	3.94	0.00	3.94
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.81	90.0	0.00	5.23	0.00	5.23
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	5.52	90.0	0.00	4.97	0.00	4.97
07/11/2018	# WARREN, JANICE	DAIN TRAILER	0.00	12.14	90.0	0.00	10.93	0.00	10.93

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	4.02	90.0	0.00	3.62	0.00	3.62
07/11/2018 #	YARDE, GRAHAM	DAIN TRAILER	0.00	7.21	90.0	0.00	6.49	0.00	6.49
07/11/2018 #	BARROW, RITA	DAIN TRAILER	0.00	2.95	90.0	0.00	2.66	0.00	2.66
07/11/2018 #	BARROW, RITA	DAIN TRAILER	0.00	1.25	90.0	0.00	1.13	0.00	1.13
07/11/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	3.45	90.0	0.00	3.11	0.00	3.11
07/11/2018 #	BARROW, JOHN	DAIN TRAILER	0.00	1.30	90.0	0.00	1.17	0.00	1.17
07/11/2018 #	KEENE, JAMES	DAIN TRAILER	0.00	0.62	90.0	0.00	0.56	0.00	0.56
07/11/2018 #	MONTGOMERY, KEVIN	DAIN TRAILER	0.00	1.74	90.0	0.00	1.57	0.00	1.57
07/11/2018 #	C PROP, NEW YORK CITY H	DAIN TRAILER	0.00	0.12	90.0	0.00	0.11	0.00	0.11
07/11/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	8.45	90.0	0.00	7.61	0.00	7.61
07/11/2018 #	TAYLOR, PHILLIS	DAIN TRAILER	0.00	11.83	90.0	0.00	10.65	0.00	10.65
07/11/2018 #	MONTGOMERY, JUSTIN	DAIN TRAILER	0.00	1.23	90.0	0.00	1.11	0.00	1.11
07/11/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.66	90.0	0.00	4.19	0.00	4.19
07/11/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	4.57	90.0	0.00	4.11	0.00	4.11
07/11/2018 #	PALMER, FRANCIS	DAIN TRAILER	0.00	2.42	90.0	0.00	2.18	0.00	2.18
07/24/2018 #	MONTGOMERY, KEVIN	DAIN TRAILER	0.00	0.33	90.0	0.00	0.30	0.00	0.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$368.61		\$0.00	\$331.81	\$0.00	\$331.81
*** BROKER TRANSACTIONS TOTAL ***			\$5,103.39	\$458.48		\$0.00	\$402.12	\$36.00	\$366.12

ADJUSTMENTS

08/20/2018

CIRCLEBLACK: CIRCLEBLACK

-175.00

***** ADJUSTMENTS TOTAL *******\$-175.00**

BROKER COMMISSION TOTALS

Broker Transactions	\$366.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$191.12
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