



All Companies

03/14/2018
Page No. 1

COMMISSION STATEMENT March 15, 2018

JOHN J. O'REILLY
25042 SUMMIT CREEK

BROKER #: 9585
BRANCH: HOME OFFICE

San Antonio, TX 78258-

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	BLACK ROCK	B	0.48	0.48	0.00	0.48	70.0	0.34
01/31/2018	TRAILS	BLACK ROCK	B	0.48	0.48	0.00	0.48	70.0	0.34
02/28/2018	GONZALES, JACOB L.	FORESTERS FINANCIAL	B	30.00	1.50	0.00	1.50	70.0	1.05
02/20/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
02/20/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
02/20/2018	000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
03/01/2018	000000001292996 SCOTT, TAMMY	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
02/20/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
02/20/2018	000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
02/20/2018	000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
02/20/2018	00084387418 LERMA, ALEJANDRO	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.50	0.00	2.50	70.0	1.75
02/28/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
02/22/2018	00084750749 ARTAIL, KIMBERLY	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	0.50	0.00	0.50	70.0	0.35
02/20/2018	00084753253 UNTIL AGE 21, TX/UTMA	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	70.0	0.35
03/02/2018	00084988489 KINZIE, CAROLINE	INTERNATIONAL GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50	70.0	1.75
02/22/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	3.84	0.03	0.00	0.03	70.0	0.02
02/28/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	5.18	0.04	0.00	0.04	70.0	0.03
02/21/2018	1952247729 KINZIE, MELANIE	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.38	0.00	2.38	70.0	1.67
02/20/2018	1970871068 MUSQUIZ, CYNTHIA	INTERNATIONAL DIVERSIFIED FUND C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/20/2018	2270927927 MUSQUIZ, CYNTHIA	OPPENHEIMER QUEST VALUE FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32
02/20/2018	2322742234 MUSQUIZ, CYNTHIA	OPPENHEIMER STRATEGIC INCOME FD CL B	B	15.00	0.15	0.00	0.15	70.0	0.11
02/22/2018	2513644465 WONG, FRANCESCA	OPPENHEIMER QUEST SMALL CAP VALUE B	B	100.00	4.00	0.00	4.00	70.0	2.80
02/20/2018	2931797433 MUSQUIZ, CYNTHIA	SENIOR FLOATING RATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
02/22/2018	3305138454 DYKES, LORI LEE	OPPENHEIMER GLOBAL FD CL A	B	56.25	2.67	0.00	2.67	70.0	1.87
02/20/2018	4120809215 MUSQUIZ, CYNTHIA	OPPENHEIMER GOLD & SPECIAL MINER B	B	15.00	0.15	0.00	0.15	70.0	0.11
02/20/2018	5020458436 MUSQUIZ, CYNTHIA	OPPENHEIMER DISCOVERY FD CL C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/20/2018	5920295900 MUSQUIZ, CYNTHIA	REAL ESTATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/20/2018	7872043426	MUSQUIZ, CYNTHIA	OPPENHEIMER DEVELOPING MARKET FD CL B	30.00	0.30	0.00	0.30	70.0	0.21
02/20/2018	8270776754	MUSQUIZ, CYNTHIA	OPPENHEIMER INTL GROWTH FD CL C B	45.00	0.45	0.00	0.45	70.0	0.32
02/21/2018	8351853291	KINZIE, MELANIE	OPPENHEIMER QUEST CAPITAL VALUE B	25.00	1.19	0.00	1.19	70.0	0.83
02/20/2018	8370475684	MUSQUIZ, CYNTHIA	EQUITY INCOME FUND, INC. C B	60.00	0.60	0.00	0.60	70.0	0.42
02/22/2018	8806365271	DYKES, LORI LEE	OPPENHEIMER INTL BD FD CL A B	18.75	0.75	0.00	0.75	70.0	0.53
02/21/2018	8809188872	KINZIE, MELANIE	OPPENHEIMER INTL BD FD CL A B	25.00	1.00	0.00	1.00	70.0	0.70
* MUTUAL FUNDS SUBTOTAL *				\$1,389.98	\$38.23	\$0.00	\$38.23		\$26.81

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES	0.00	432.46	0.00	432.46	70.0	302.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$432.46	\$0.00	\$432.46	\$302.74
*** BROKER TRANSACTIONS TOTAL ***				\$1,389.98	\$470.69	\$0.00	\$470.69	\$329.55

ADJUSTMENTS

03/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$329.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$302.05
Year-to-date Earnings	\$12,702.26