

COMMISSION STATEMENT

October 31, 2017

DAVID REILLY
8 ACRON TERRACEBROKER #: 21
BRANCH: HOME OFFICE

NEW CITY, NY 10956

COMMISSION STATEMENT for DAVID REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/20/2017	QGW023848 BYRNE, GERARD	ADVANCED MICRO DEVICES INC	S	-6,500.00	68.62	0.00	68.62	45.0	30.88
* STOCKS SUBTOTAL *				\$-6,500.00	\$68.62	\$0.00	\$68.62		\$30.88
<u>PRODUCT CATEGORY: OPTIONS</u>									
09/29/2017	QGW023848 BYRNE, GERARD	CALL 100 CELGENE CORP EXP 09-29-17@ B		349.00	25.00	0.00	25.00	45.0	11.25
10/02/2017	QGW023848 BYRNE, GERARD	CALL 100 CELGENE CORP EXP 10-20-17@ S		-400.00	25.00	0.00	25.00	45.0	11.25
10/11/2017	QGW023848 BYRNE, GERARD	CALL 100 CELGENE CORP EXP 10-20-17@ B		20.00	7.50	0.00	7.50	45.0	3.38
* OPTIONS SUBTOTAL *				\$-31.00	\$57.50	\$0.00	\$57.50		\$25.88
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
10/19/2017	QGW008005 SCHULZ, CARL	ROCH. LIMITED TERM NEW YORK MUNICIP B		0.00	6.53	0.00	6.53	45.0	2.94
10/19/2017	QGW008005 SCHULZ, CARL	ROCHESTER FUND MUNICIPALS A	B	0.00	34.86	0.00	34.86	45.0	15.69
10/19/2017	QGW008039 STEFANO, MARGARET	ROCHESTER FUND MUNICIPALS A	B	0.00	1.62	0.00	1.62	45.0	0.73
10/13/2017	QGW008047 DOUGHERTY, JANE	HIGH YIELD A	B	0.00	0.54	0.00	0.54	45.0	0.24
10/19/2017	QGW008047 DOUGHERTY, JANE	ROCHESTER NATIONAL MUNICIPALS A	B	0.00	3.29	0.00	3.29	45.0	1.48
10/19/2017	QGW023798 OLSSON, RITA	CAPITAL APPRECIATION A	B	0.00	0.25	0.00	0.25	45.0	0.11
10/19/2017	QGW023814 STEFANO, MARGARET	EUROPACIFIC GROWTH FUND-A	B	0.00	0.26	0.00	0.26	45.0	0.12
10/19/2017	QGW023814 STEFANO, MARGARET	THE GROWTH FUND OF AMERICA-A	B	0.00	1.15	0.00	1.15	45.0	0.52
10/16/2017	QGW023848 BYRNE, GERARD	MFS INTERNTL DIVERSIFICATION FUND-A B		0.00	1.29	0.00	1.29	45.0	0.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$49.79	\$0.00	\$49.79		\$22.41
*** BROKER TRANSACTIONS TOTAL ***				\$-6,531.00	\$175.91	\$0.00	\$175.91		\$79.17

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ADJUSTMENTS

10/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-70.00
10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-90.00

BROKER COMMISSION TOTALS

Broker Transactions	\$79.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-90.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-31.53
FINRA Assessment	\$-0.22
SIPC Assessment	\$-0.44

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-43.02
Year-to-date Earnings	\$439.85