All Companies

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COMMISSION STATEMENT March 01, 2018

BROKER #: 5276

BRANCH: HOME OFFICE

DANIEL WILLIAM ASHLEY 10809 Pebblecreek Court

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

### PRODUCT CATEGORY: MUTUAL FUNDS 97/12/2018 00089239225 LITT, ROBERT R FUNDAMENTAL INVESTORS-C B 600.00 6.00 0.00 6.00 70.0 02/12/2018 00089239225 LITT, ROBERT R FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239228 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.05 0.06 0.00 0.05 0.00 0.00 0.00	TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATRGORY: MUTUAL FUNDS 02/12/2018 00089239225 LUTT, ROBERT R FUNDAMENTAL INVESTORS-C B 600.00 6.00 0.00 6.00 70.0 02/12/2018 00089239225 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 60.00 0.66 0.00 0.66 0.00 0.67 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALICAP WORLD FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALICAP WORLD FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALICAP WORLD FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. HAN ENCOMMY FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. HAN ENCOMMY FUND-C B 7.50 0.08 0.00 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. HAN ENCOMMY FUND-C B 7.50 0.08 0.00 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. HAN ENCOMMY FUND-C B 7.50 0.08 0.00 0.00 0.00 0.00 0.00 0.00 0											
02/12/2018 00089239225 LUTT, ROBERT R FUNDAMENTAL INVESTORS-C B 600.00 6.00 0.00 6.00 70.0 0 0.75 70.0 0 0.71 0.0 0 0.0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0 0.0 0				BROKER 1	'RANS	ACTIONS					
02/12/2018 0008923925 LUTT, ROBERT R FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 0 02/12/2018 0008923928 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 480.00 4.80 0.00 4.80 70.0 0.75 70.0 0 02/12/2018 0008923928 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 0 02/12/2018 0008923928 LUTT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 60.00 0.60 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORD FUND-C B 7.50 0.08 0.00 0.60 70.0 0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TRAGET DATE-C B 7.50 0.08 0.00 0.00 0.00 70.0 0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TRAGET DATE-C B 7.50 0.08 0.00 0.00 0.00 0.00 70.0 0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TRAGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0 02/12/2018 0008930029 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TRAGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0 02/12/2018 0008930029 VESTA III, DON AMERICAN FUNDS 2045 TRAGET DATE-C B 10.00 0.10 0.00 0.00 0.00 0.00 0.00 0	PRODUCT CA	TEGORY: MUTUAL	FUNDS								
02/12/2018 00089239238 LUTT, ANDREN T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089239238 LUTT, ANDREN T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 60.00 0.60 0.00 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 7.50 0.08 0.00 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW SCONOMY FUND-C B 60.00 0.60 0.00 0.00 0.00 70.0 02/12/2018 00089306272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 0.00 0.30 70.0 02/12/2018 00089300292 DAYIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300292 DAYIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.00 0.00 0.00 0.00 0.00 0.00 0	02/12/2018 0	0089239225 LUTT,	ROBERT R	FUNDAMENTAL INVESTORS-C	В	600.00	6.00	0.00	6.00	70.0	4.20
02/12/2018 00089239238 LUIT, ANDREW T FUNDAMENTAL INVESTORS-C B 75.00 0.75 0.00 0.75 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 7.50 0.08 0.00 0.68 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.68 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALICAP WORLD FUND-C B 7.50 0.08 0.00 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALICAP WORLD FUND-C B 7.50 0.08 0.00 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECKNOWY FUND-C B 7.50 0.08 0.00 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECKNOWY FUND-C B 7.50 0.08 0.00 0.00 0.60 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.10 0.00 0.10 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.10 0.00 0.10 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 0008930039 VESTA 111, DON AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.33 0.00 0.33 70.0 02/12/2018 0008930039 VESTA 111, DON AMERICAN FUNDS 2045 TARGET DATE-C B 31.00 0.33 0.00 0.33 70.0 02/12/2018 0008933039 DELIVALLE, RICC AMERICAN FUNDS 2045 TARGET DATE-C B 31.00 0.33 0.00 0.33 70.0 0.35 70.0 02/12/2018 00089330393 DELIVALE, RICC AMERICAN FUNDS 204	02/12/2018 0	0089239225 LUTT,	ROBERT R	FUNDAMENTAL INVESTORS-C	В	75.00	0.75	0.00	0.75	70.0	0.53
02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 7.50 0.08 0.00 0.68 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 70.0 0.00 0.70 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.60 70.0 0.00 0.00 0.00 0.00 0.00	02/12/2018 0	0089239238 LUTT,	ANDREW T	FUNDAMENTAL INVESTORS-C	В	480.00	4.80	0.00	4.80	70.0	3.36
02/12/2018 00089262606 PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 60.00 0.60 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.68 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SEMALLCAP NORLD FUND-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SEMALLCAP NORLD FUND-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089360272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300399 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300399 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.33 0.00 0.33 70.0 02/12/2018 00089300390 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2045 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2045 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2045 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330333 DELVALLE, ERIC AMERICAN FUNDS 2045 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 000893303339 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 34.74 0.35 0.00	02/12/2018 0	0089239238 LUTT,	ANDREW T	FUNDAMENTAL INVESTORS-C	В	75.00	0.75	0.00	0.75	70.0	0.53
02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. CAPITAL INCOME BUILDER-C	В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606 PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C B 7.50 0.08 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 02/12/2018 00089330309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.33 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2045 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 02/12/2018 0008933033 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 02/12/2018 0008933033 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 02/12/2018 0008933033 BANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. CAPITAL INCOME BUILDER-C	В	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.00 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 7.50 0.08 0.00 0.08 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.00 0.60 70.0 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 000892062606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.00 0.00 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 81.62 0.82 0.00 0.33 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2030 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330330 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2050 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DAT	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. INTERNATIONAL GROWTH AND INCOME-C	С В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606 PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C B 7.50 0.08 0.00 0.68 70.0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. INTERNATIONAL GROWTH AND INCOME-C	с в	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 7.50 0.08 0.00 0.60 70.0 0.02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.60 70.0 0.02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.60 70.0 0.02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.60 70.0 0.02/12/2018 000892062606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.60 70.0 0.02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.00 0.20 70.0 0.02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.02/12/2018 00089300399 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 0.02/12/2018 00089300399 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 0.02/12/2018 000893300399 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.49 70.0 0.02/12/2018 00089330039 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.49 70.0 0.02/12/2018 00089330039 VESTA III, DON AMERICAN FUNDS 2030 TARGET DATE-C B 81.62 0.82 0.00 0.60 0.60 70.0 0.02/12/2018 00089330039 VESTA III, DON AMERICAN FUNDS 2050 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 0.02/12/2018 00089330039 VESTA III, DON AMERICAN FUNDS 2050 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 0.02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.25 70.0 0.02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/2018 00089330339 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/2018 000	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. NEW PERSPECTIVE FUND-C	В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089262606 PETERSON, SCOTT S. SMALLCAP WORLD FUND-C B 7.50 0.08 0.00 0.60 70.0 0.02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 60.00 0.60 0.00 0.60 70.0 0.02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C B 7.50 0.08 0.00 0.08 70.0 0.02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.00/12/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.02/12/2018 00089300399 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 0.02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 0.02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 0.02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2030 TARGET DATE-C B 81.62 0.82 0.00 0.60 70.0 0.02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2030 TARGET DATE-C B 48.97 0.49 0.00 0.49 70.0 0.02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 0.02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2030 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 0.02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 0.02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2050 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 0.02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.02/12/201	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. NEW PERSPECTIVE FUND-C	В	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C 02/12/2018 00089262606 PETERSON, SCOTT S. THE NEW ECONOMY FUND-C 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C 02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C 03/2/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C 03/2/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C 03/2/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C 03/2/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C 03/2/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C 03/2/12/2018 000893300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C 05/2/12/2018 000893300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C 05/2/12/2018 000893300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C 05/2/12/2018 000893300309 VESTA III, DON AMERICAN FUNDS 2030 TARGET DATE-C 05/2/12/2018 000893300309 VESTA III, DON AMERICAN FUNDS 2030 TARGET DATE-C 05/2/12/2018 00089330030 DELVALLE, ERIC AMERICAN FUNDS 2030 TARGET DATE-C 05/2/12/2018 00089330030 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C 05/2/12/2018 00089330030 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C 05/2/12/2018 00089330030 HANSFORD, JAMES L. AMERICAN FUNDS 2050 TARGET DATE-C 05/2/12/2018 00089330030 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DATE-C 05/2/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DATE-C 05/2/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2055 TARGET DATE-C 05/2/12/2018 000893305358 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C 05/2/12/2018 000893305358 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C 05/2/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C 05/2/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C 05/2/12/2018 00089333558 SMITH, SANDRA AMER	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. SMALLCAP WORLD FUND-C	В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.01/2/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.01/2/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.00 0.01/2/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.00 0.00 0.0	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. SMALLCAP WORLD FUND-C	В	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.00 0.20 70.0 0.00 0.20 70.0 0.00 0.20 70.0 0.00 0.20 70.0 0.00 0.20 0.2	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. THE NEW ECONOMY FUND-C	В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089300272 HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C B 30.00 0.30 0.00 0.30 70.0 0.00 0.20 70.0 0.00 0.0	02/12/2018 0	0089262606 PETER	SON, SCOTT S	. THE NEW ECONOMY FUND-C	В	7.50	0.08	0.00	0.08	70.0	0.06
02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.10 70.0 0.00 0.10 70.0 0.10	02/12/2018 0	0089300272 HORTO	N, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-0	с в	30.00	0.30	0.00	0.30	70.0	0.21
02/12/2018 00089300292 DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C B 10.00 0.10 0.00 0.10 70.0 0.20 0.21 0.22 0.00 0.02 0.00	02/12/2018 0	0089300272 HORTO	N, COREY A.	AMERICAN FUNDS 2045 TARGET DATE-0	с в	30.00	0.30	0.00	0.30	70.0	0.21
02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 81.62 0.82 0.00 0.82 70.0 02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 48.97 0.49 0.00 0.49 70.0 02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.20 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2025 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2025 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAIN	02/12/2018 0	0089300292 DAVIS	, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-0	с в	10.00	0.10	0.00	0.10	70.0	0.07
02/12/2018 00089300309 VESTA III, DON AMERICAN FUNDS 2045 TARGET DATE-C B 48.97 0.49 0.00 0.49 70.0 0.00 0.212/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 0.00 0.212/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 0.00 0.212/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 0.00 0.212/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.20 0.25 0.00 0.25 70.0 0.00 0.25 70.0 0.00 0.212/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.00 0.25 70.0 0.00 0.212/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 0.00 0.25 70.0 0.00 0.00 0.00 0.00 0.00 0.00 0.0	02/12/2018 0	0089300292 DAVIS	, JUSTIN S.	AMERICAN FUNDS 2045 TARGET DATE-0	с в	10.00	0.10	0.00	0.10	70.0	0.07
02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.20 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOE	02/12/2018 0	0089300309 VESTA	III, DON	AMERICAN FUNDS 2045 TARGET DATE-0	с в	81.62	0.82	0.00	0.82	70.0	0.57
02/12/2018 00089330264 GONZALES, ADRIAN AMERICAN FUNDS 2030 TARGET DATE-C B 33.00 0.33 0.00 0.33 70.0 0.2/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 0.2/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.20 0.25 0.00 0.25 70.0 0.2/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.2/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 0.2/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 0.2/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 0400005	02/12/2018 0	0089300309 VESTA	III, DON	AMERICAN FUNDS 2045 TARGET DATE-0	с в	48.97	0.49	0.00	0.49	70.0	0.34
02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 34.74 0.35 0.00 0.35 70.0 02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.20 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0	02/12/2018 0	0089330264 GONZA	LES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-0	С В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 00089330303 DELVALLE, ERIC AMERICAN FUNDS 2050 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0	02/12/2018 0	0089330264 GONZA	LES, ADRIAN	AMERICAN FUNDS 2030 TARGET DATE-0	С В	33.00	0.33	0.00	0.33	70.0	0.23
02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0	02/12/2018 0	0089330303 DELVA	LLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-0	с в	34.74	0.35	0.00	0.35	70.0	0.25
02/12/2018 00089330539 HANSFORD, JAMES L. AMERICAN FUNDS 2045 TARGET DATE-C B 24.95 0.25 0.00 0.25 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0	02/12/2018 0	0089330303 DELVA	LLE, ERIC	AMERICAN FUNDS 2050 TARGET DATE-0	С В	25.20	0.25	0.00	0.25	70.0	0.18
02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 60.00 0.60 0.00 0.60 70.0 0.2/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0	02/12/2018 0	0089330539 HANSF	ORD, JAMES L	. AMERICAN FUNDS 2045 TARGET DATE-0	С В	25.00	0.25	0.00	0.25	70.0	0.18
02/12/2018 00089333558 SMITH, SANDRA AMERICAN FUNDS 2025 TARGET DATE-C B 19.95 0.20 0.00 0.20 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 0.2/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.25 70.0	02/12/2018 0	0089330539 HANSF	ORD, JAMES L	. AMERICAN FUNDS 2045 TARGET DATE-0	С В	24.95	0.25	0.00	0.25	70.0	0.18
02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 25.00 0.25 0.00 0.25 70.0 02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0 0.25 70.0	02/12/2018 0	0089333558 SMITH	, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-0	С В	60.00	0.60	0.00	0.60	70.0	0.42
02/12/2018 04000050235 RAINBOLT, JOEY AMERICAN FUNDS 2040 TARGET DATE-C B 24.60 0.25 0.00 0.25 70.0	02/12/2018 0	0089333558 SMITH	, SANDRA	AMERICAN FUNDS 2025 TARGET DATE-0	С В	19.95	0.20	0.00	0.20	70.0	0.14
	02/12/2018 0	4000050235 RAINE	OLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-0	С В	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL * \$2,110.53 \$21.14 \$0.00 \$21.14	02/12/2018 0	4000050235 RAINE	OLT, JOEY	AMERICAN FUNDS 2040 TARGET DATE-O	С В	24.60	0.25	0.00	0.25	70.0	0.18
				* MUTUAL FUNDS SUBTOTA	AL *	\$2,110.53	\$21.14	\$0.00	\$21.14		\$14.85

02/27/2018 COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY							1	Page No. 2
TRADE DATE CLIENT	INVESTMENT	В/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	ISACTIONS					
PRODUCT CATEGORY: STOCKS 02/27/2018 SECURITIES, HILLTOP -HILLTOP SECURITIES B 506.24 506.24 0.00 506.24 70.0 354.37								
52, 2., 2013 BEGRIII	, interior office	* STOCKS SUBTOTAL *	\$506.24	-		• ———	, 3 . 0	\$354.37

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

JOHN HANCOCK FEES

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *

*** BROKER TRANSACTIONS TOTAL ***

03/01/2018 TRAIL COMMISSIONS

0.00

\$0.00

\$2,616.77

24.69

\$24.69

\$552.07

0.00

\$0.00

\$0.00

	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-138.25
03/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
03/01/2018	HILLTOP: CLEARING CHARGES	0.00	0.00	0.00	0.00	-10.75
03/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00

BROKER COMMISSION TOTALS

24.69 70.0

\$24.69

\$552.07

17.28

\$17.28

\$386.50

Broker Transactions	\$386.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-138.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$248.25
Year-to-date Earnings	\$3,165.59