



All Companies

02/27/2018
Page No. 1

COMMISSION STATEMENT March 01, 2018

JAMES ROBERT PALMERSHEIM
601 S Main St. 202

BROKER #: 9530
BRANCH: HOME OFFICE

Grapevine, TX 76051

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
01/12/2018 10848249	FANDLER, DEBORAH	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40
01/12/2018 10918728	RELFFORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	197.33	0.00	197.33	90.0	177.60
01/12/2018 52045658	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	484.00	0.00	484.00	90.0	435.60
RICHARD									
01/12/2018 52089632	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	183.00	0.00	183.00	90.0	164.70
01/12/2018 52130632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	90.0	10.50
01/12/2018 52147632	PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
01/12/2018 61056175	RELFFORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
01/12/2018 61400902	PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
01/12/2018 80512276	PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	230.33	0.00	230.33	90.0	207.30
RICHARD									
01/12/2018 81083146	FANDLER, FRED	SIGNAL SECURITIES RIA	B	0.00	586.00	0.00	586.00	90.0	527.40
* RIA SUBTOTAL *				\$0.00	\$1,771.99	\$0.00	\$1,771.99		\$1,594.80
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$1,771.99	\$0.00	\$1,771.99		\$1,594.80

SPLIT TRANSACTIONS

BROKER: KELLERMAN PALMERSHEIM/ #9534

02/16/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	92.30	0.00	92.30	90.0	83.07
01/12/2018 10556019	GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	30.96	0.00	30.96	90.0	27.86
DEANN									
01/12/2018 10882572	BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	95.75	0.00	95.75	90.0	86.18
01/12/2018 20872135	SLAUGHTER, JUSTINE	SIGNAL SECURITIES RIA	B	0.00	77.26	0.00	77.26	90.0	69.53
MARIE									
01/12/2018 22163519	ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	15.19	0.00	15.19	90.0	13.67
01/12/2018 30561785	THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	107.21	0.00	107.21	90.0	96.49
01/12/2018 31671856	THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	13.47	0.00	13.47	90.0	12.12
01/12/2018 41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	9.60	0.00	9.60	90.0	8.64
01/12/2018 51260395	SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	302.86	0.00	302.86	90.0	272.57
01/12/2018 51489395	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	147.78	0.00	147.78	90.0	133.00

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SPLIT TRANSACTIONS										
BROKER: KELLERMAN PALMERSHEIM/ #9534										
01/12/2018	52007712	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	2.87	0.00	2.87	90.0	2.58
01/12/2018	71014745	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	17.77	0.00	17.77	90.0	15.99
01/12/2018	79512456	BRUCE, GRANVILLE	SIGNAL SECURITIES RIA	B	0.00	60.34	0.00	60.34	90.0	54.31
		WAYNE								
01/12/2018	82007581	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	160.53	0.00	160.53	90.0	144.48
01/12/2018	89721188	HARRIS, SHARON K	SIGNAL SECURITIES RIA	B	0.00	0.57	0.00	0.57	90.0	0.51
01/12/2018	90768067	ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	115.24	0.00	115.24	90.0	103.72
01/12/2018	91789542	FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	32.68	0.00	32.68	90.0	29.41
01/12/2018	91886872	ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	289.96	0.00	289.96	90.0	260.96
01/12/2018	95761721	KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	4.30	0.00	4.30	90.0	3.87
01/12/2018	99442454	CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	B	0.00	18.49	0.00	18.49	90.0	16.64
		* KELLERMAN PALMERSHEIM/	SUBTOTAL *		\$0.00	\$1,595.13	\$0.00	\$1,595.13		\$1,435.60
BROKER: PALMERSHEIM/SNOW #9613										
01/12/2018	15447982	PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	168.00	0.00	168.00	90.0	151.20
01/12/2018	16695746	QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	45.00	0.00	45.00	90.0	40.50
01/12/2018	18091956	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	35.60	0.00	35.60	90.0	32.04
		JEAN								
01/12/2018	20770029	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	281.00	0.00	281.00	90.0	252.90
		JEAN								
01/12/2018	27933838	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
01/12/2018	29192217	JOHNSON, TONYA	SIGNAL SECURITIES RIA	B	0.00	93.00	0.00	93.00	90.0	83.70
		WAYNETTE								
01/12/2018	29329286	QUANBECK, GORDON	SIGNAL SECURITIES RIA	B	0.00	360.20	0.00	360.20	90.0	324.18
		(HAL)								
01/12/2018	31207916	JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	115.00	0.00	115.00	90.0	103.50
01/12/2018	31528580	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	326.80	0.00	326.80	90.0	294.12
01/12/2018	33117987	STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.40	0.00	19.40	90.0	17.46
01/12/2018	35080832	SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	32.80	0.00	32.80	90.0	29.52
01/12/2018	35770153	RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.40	0.00	7.40	90.0	6.66
01/12/2018	39222483	REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	157.20	0.00	157.20	90.0	141.48
01/12/2018	39816703	WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	109.60	0.00	109.60	90.0	98.64
02/15/2018	401K	OPTIMIZER PUKIS, BARBARA	SIGNAL SECURITIES RIA	B	0.00	257.60	0.00	257.60	90.0	231.84
		ANN								
01/12/2018	41540562	LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	223.00	0.00	223.00	90.0	200.70
01/12/2018	42458316	WARREN, WILLIAM W	SIGNAL SECURITIES RIA	B	0.00	63.20	0.00	63.20	90.0	56.88
01/12/2018	49635286	BERNARD, DONNA GAY	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
		PANCA								
01/12/2018	56512595	BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	10.60	0.00	10.60	90.0	9.54
		JEAN								

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
01/12/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.60	0.00	1.60	90.0	1.44
01/12/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	135.60	0.00	135.60	90.0	122.04
01/12/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	154.80	0.00	154.80	90.0	139.32
01/12/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00	90.0	35.10
01/12/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	189.40	0.00	189.40	90.0	170.46
01/12/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	352.60	0.00	352.60	90.0	317.34
01/12/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	271.20	0.00	271.20	90.0	244.08
01/12/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	48.00	0.00	48.00	90.0	43.20
01/12/2018	72490138 PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	128.80	0.00	128.80	90.0	115.92
01/12/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	238.00	0.00	238.00	90.0	214.20
01/12/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	32.20	0.00	32.20	90.0	28.98
01/12/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
01/12/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.40	0.00	31.40	90.0	28.26
01/12/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	293.00	0.00	293.00	90.0	263.70
01/12/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	46.40	0.00	46.40	90.0	41.76
01/12/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	234.20	0.00	234.20	90.0	210.78
01/12/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	90.0	27.00
01/12/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	120.20	0.00	120.20	90.0	108.18
01/12/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.40	0.00	11.40	90.0	10.26
01/12/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	13.40	0.00	13.40	90.0	12.06
01/12/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	109.80	0.00	109.80	90.0	98.82
* PALMERSHEIM/SNOW SUBTOTAL *				\$0.00	\$4,882.80	\$0.00	\$4,882.80		\$4,394.52
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	378.24	0.00	378.24	90.0	340.42
01/12/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.92	0.00	25.92	90.0	23.33
01/12/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.68	0.00	1.68	90.0	1.51
01/12/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	97.68	0.00	97.68	90.0	87.91
01/12/2018	27613913 RAINES, GEORGE JEFFREY	SIGNAL SECURITIES RIA	B	0.00	109.68	0.00	109.68	90.0	98.71
01/12/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	24.84	0.00	24.84	90.0	22.36
01/12/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	29.16	0.00	29.16	90.0	26.24
01/12/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	314.88	0.00	314.88	90.0	283.39

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
01/12/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	280.56	0.00	280.56	90.0	252.50
01/12/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	35.52	0.00	35.52	90.0	31.97
01/12/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	40.20	0.00	40.20	90.0	36.18
01/12/2018	58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	20.88	0.00	20.88	90.0	18.79
01/12/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	181.92	0.00	181.92	90.0	163.73
01/12/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	84.72	0.00	84.72	90.0	76.25
01/12/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.20	0.00	25.20	90.0	22.68
01/12/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	1.44	0.00	1.44	90.0	1.30
01/12/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	142.32	0.00	142.32	90.0	128.09
01/12/2018	92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	B	0.00	12.60	0.00	12.60	90.0	11.34
01/12/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	8.76	0.00	8.76	90.0	7.88
01/12/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	3.24	0.00	3.24	90.0	2.92
01/12/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	328.92	0.00	328.92	90.0	296.03
* ERIC CHARLES GUTH SUBTOTAL *				<u>\$0.00</u>	<u>\$2,148.36</u>	<u>\$0.00</u>	<u>\$2,148.36</u>		<u>\$1,933.53</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$8,626.29</u>	<u>\$0.00</u>	<u>\$8,626.29</u>		<u>\$7,763.65</u>

ADJUSTMENTS

03/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
03/01/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>-\$127.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,594.80
Split Transactions	\$7,763.65
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$9,230.95
Year-to-date Earnings	\$35,182.70