

**COMMISSION STATEMENT****February 28, 2018**

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0B
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^02/16/2016	BENEFORTI, JULIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	100.0	0.00
^03/15/2016	BENEFORTI, JULIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	100.0	0.00
^04/20/2016	BENEFORTI, JULIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.27	0.00	0.27	100.0	0.00
^05/16/2016	BENEFORTI, JULIAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	100.0	0.00
^02/16/2016	BENEFORTI, JULIAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.50	0.00	0.50	100.0	0.00
^03/15/2016	BENEFORTI, JULIAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.46	0.00	0.46	100.0	0.00
^04/20/2016	BENEFORTI, JULIAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.52	0.00	0.52	100.0	0.00
^05/16/2016	BENEFORTI, JULIAN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.33	0.00	0.33	100.0	0.00
^02/16/2016	BENEFORTI, JULIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	100.0	0.00
^03/15/2016	BENEFORTI, JULIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	100.0	0.00
^04/20/2016	BENEFORTI, JULIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	100.0	0.00
^05/16/2016	BENEFORTI, JULIAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	100.0	0.00
^02/16/2016	BENEFORTI, JULIAN	AM FUNDS ICA A	0.00	0.14	0.00	0.14	100.0	0.00
^03/15/2016	BENEFORTI, JULIAN	AM FUNDS ICA A	0.00	0.14	0.00	0.14	100.0	0.00
^04/20/2016	BENEFORTI, JULIAN	AM FUNDS ICA A	0.00	0.15	0.00	0.15	100.0	0.00
^05/16/2016	BENEFORTI, JULIAN	AM FUNDS ICA A	0.00	0.10	0.00	0.10	100.0	0.00
^04/20/2016	PAVIS, NANCY	FIRST EAGLE GLOBAL C	0.00	47.48	0.00	47.48	100.0	0.00
^07/22/2016	PAVIS, NANCY	FIRST EAGLE GLOBAL C	0.00	23.38	0.00	23.38	100.0	0.00
^07/29/2016	PAVIS, NANCY	FIRST EAGLE GLOBAL C	0.00	27.42	0.00	27.42	100.0	0.00
^08/10/2016	PAVIS, NANCY	FIRST EAGLE GLOBAL C	0.00	17.81	0.00	17.81	100.0	0.00
^09/16/2016	PAVIS, NANCY	FIRST EAGLE GLOBAL C	0.00	18.24	0.00	18.24	100.0	0.00
^10/21/2016	PAVIS, NANCY	FIRST EAGLE GLOBAL C	0.00	10.50	0.00	10.50	100.0	0.00
^02/16/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	12.70	0.00	12.70	100.0	0.00
^03/16/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	11.75	0.00	11.75	100.0	0.00
^04/15/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	12.90	0.00	12.90	100.0	0.00
^05/13/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	12.67	0.00	12.67	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^06/14/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	13.20	0.00	13.20	100.0	0.00
^07/14/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	12.87	0.00	12.87	100.0	0.00
^08/15/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	13.55	0.00	13.55	100.0	0.00
^09/14/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	13.67	0.00	13.67	100.0	0.00
^10/18/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	13.28	0.00	13.28	100.0	0.00
^11/15/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	13.82	0.00	13.82	100.0	0.00
^12/16/2016	PAVIS FAMILY TRUST	FRANKLIN STRATEGIC INCOME C	0.00	13.22	0.00	13.22	100.0	0.00
^10/17/2016	LAROCHE, LAURIE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	15.47	0.00	15.47	100.0	0.00
^02/25/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.94	0.00	9.94	100.0	0.00
^03/08/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.39	0.00	8.39	100.0	0.00
^04/22/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.07	0.00	10.07	100.0	0.00
^05/17/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.60	0.00	10.60	100.0	0.00
^06/15/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.10	0.00	12.10	100.0	0.00
^07/14/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.31	0.00	12.31	100.0	0.00
^08/18/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	13.02	0.00	13.02	100.0	0.00
^09/15/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	13.11	0.00	13.11	100.0	0.00
^09/15/2016	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.38	0.00	3.38	100.0	0.00
^10/21/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.67	0.00	12.67	100.0	0.00
^10/21/2016	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.78	0.00	7.78	100.0	0.00
^11/09/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	13.16	0.00	13.16	100.0	0.00
^11/09/2016	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.44	0.00	0.44	100.0	0.00
^11/09/2016	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.00
^11/09/2016	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.26	0.00	0.26	100.0	0.00
^11/09/2016	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.09	0.00	8.09	100.0	0.00
^12/16/2016	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	12.46	0.00	12.46	100.0	0.00
^12/16/2016	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.66	0.00	2.66	100.0	0.00
^12/16/2016	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	6.23	0.00	6.23	100.0	0.00
^12/16/2016	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.36	0.00	3.36	100.0	0.00
^12/16/2016	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.94	0.00	1.94	100.0	0.00
^12/16/2016	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.67	0.00	7.67	100.0	0.00
^02/25/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.92	0.00	5.92	100.0	0.00
^03/08/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.27	0.00	5.27	100.0	0.00
^04/22/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	6.26	0.00	6.26	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^05/17/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	6.58	0.00	6.58	100.0	0.00
^06/15/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	7.33	0.00	7.33	100.0	0.00
^07/14/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	7.37	0.00	7.37	100.0	0.00
^08/18/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	7.84	0.00	7.84	100.0	0.00
^09/15/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	7.96	0.00	7.96	100.0	0.00
^10/21/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	7.74	0.00	7.74	100.0	0.00
^11/09/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.30	0.00	8.30	100.0	0.00
^12/16/2016	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	8.07	0.00	8.07	100.0	0.00
^02/25/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.25	0.00	1.25	100.0	0.00
^03/08/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.14	0.00	1.14	100.0	0.00
^04/22/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.30	0.00	1.30	100.0	0.00
^05/17/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.28	0.00	1.28	100.0	0.00
^06/15/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.32	0.00	1.32	100.0	0.00
^07/14/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.29	0.00	1.29	100.0	0.00
^08/18/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.36	0.00	1.36	100.0	0.00
^09/15/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.40	0.00	1.40	100.0	0.00
^10/21/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.34	0.00	1.34	100.0	0.00
^11/09/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.38	0.00	1.38	100.0	0.00
^12/16/2016	STARK, LINDA	MAINSTAY MAP A	0.00	1.35	0.00	1.35	100.0	0.00
^02/11/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.60	0.00	1.60	100.0	0.00
^03/10/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.43	0.00	1.43	100.0	0.00
^04/15/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.63	0.00	1.63	100.0	0.00
^05/11/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.63	0.00	1.63	100.0	0.00
^06/10/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.67	0.00	1.67	100.0	0.00
^07/12/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.62	0.00	1.62	100.0	0.00
^08/10/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.72	0.00	1.72	100.0	0.00
^09/12/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	1.76	0.00	1.76	100.0	0.00
^10/11/2016	BATTAGLIA, HEATHER	PRU FUNDS JENNISON CONSERVATIVE GRW	0.00	0.85	0.00	0.85	100.0	0.00
^02/11/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.44	0.00	0.44	100.0	0.00
^03/10/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.40	0.00	0.40	100.0	0.00
^04/15/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.47	0.00	0.47	100.0	0.00
^05/11/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.47	0.00	0.47	100.0	0.00
^06/10/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.48	0.00	0.48	100.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^07/12/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.47	0.00	0.47	100.0	0.00
^08/10/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.50	0.00	0.50	100.0	0.00
^09/12/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.51	0.00	0.51	100.0	0.00
^10/11/2016	BATTAGLIA, HEATHER	PRU MID CAP VALUE	0.00	0.24	0.00	0.24	100.0	0.00
^02/11/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.45	0.00	1.45	100.0	0.00
^03/10/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.34	0.00	1.34	100.0	0.00
^04/15/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.58	0.00	1.58	100.0	0.00
^05/11/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.58	0.00	1.58	100.0	0.00
^06/10/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.65	0.00	1.65	100.0	0.00
^07/12/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.62	0.00	1.62	100.0	0.00
^08/10/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.72	0.00	1.72	100.0	0.00
^09/12/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	1.77	0.00	1.77	100.0	0.00
^10/11/2016	BATTAGLIA, HEATHER	PRUDENTIAL TARGET SMALL CAP VALUE P	0.00	0.86	0.00	0.86	100.0	0.00
^02/16/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.80	0.00	9.80	100.0	0.00
^03/16/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	8.98	0.00	8.98	100.0	0.00
^04/15/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.95	0.00	9.95	100.0	0.00
^05/13/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.68	0.00	9.68	100.0	0.00
^06/14/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.92	0.00	9.92	100.0	0.00
^07/14/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.48	0.00	9.48	100.0	0.00
^08/15/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	10.00	0.00	10.00	100.0	0.00
^09/14/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.96	0.00	9.96	100.0	0.00
^10/18/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.57	0.00	9.57	100.0	0.00
^11/15/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	10.15	0.00	10.15	100.0	0.00
^12/16/2016	PAVIS FAMILY TRUST	TEMPLETON GLOBAL BOND C	0.00	9.78	0.00	9.78	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$709.66	\$0.00	\$709.66		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$709.66	\$0.00	\$709.66		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.11
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.11
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00