

**COMMISSION STATEMENT****October 15, 2017**KEITH LAYMAN
4321 FlagstaffBROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/31/2017	LAYMAN, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	47.35	0.00	47.35	90.0	42.62
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$47.35	\$0.00	\$47.35		\$42.62
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
09/25/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
09/25/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
09/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
09/28/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
09/29/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
10/02/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
10/09/2017	JOHNSON, JAMES	AM FUNDS AMERICAN BALANCED A	-3,902.81	22.00	22.00	0.00	90.0	0.00
09/25/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
09/29/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
10/02/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
09/25/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
09/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
09/29/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
10/02/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
10/02/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	75.13	1.20	0.00	1.20	90.0	1.08
10/02/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	75.13	1.20	0.00	1.20	90.0	1.08
10/02/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
10/02/2017	SIEBER, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	50.08	0.80	0.00	0.80	90.0	0.72
10/02/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.88	0.00	1.88	90.0	1.69
09/25/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
09/25/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
09/25/2017	WIKTOROWICZ, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	250.00	12.50	0.00	12.50	90.0	11.25
09/29/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83
10/02/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	300.50	4.81	0.00	4.81	90.0	4.33
10/02/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	300.50	4.81	0.00	4.81	90.0	4.33
10/02/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
10/02/2017	SIEBER, AARON	AM FUNDS GROWTH FUND OF AMERICA A	200.34	3.21	0.00	3.21	90.0	2.89
10/02/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	239.94	3.84	0.00	3.84	90.0	3.46
10/02/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	239.94	3.84	0.00	3.84	90.0	3.46
10/02/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	155.84	2.49	0.00	2.49	90.0	2.24
10/02/2017	TRACEY, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	155.84	2.49	0.00	2.49	90.0	2.24
10/02/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	110.97	1.78	0.00	1.78	90.0	1.60
10/02/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	110.97	1.78	0.00	1.78	90.0	1.60
10/02/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	67.42	1.08	0.00	1.08	90.0	0.97
10/02/2017	RAMEY, TRAVIS	AM FUNDS GROWTH FUND OF AMERICA A	67.42	1.08	0.00	1.08	90.0	0.97
09/25/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85
09/29/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
09/29/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
10/02/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
09/29/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
10/02/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
09/25/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
09/25/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
10/02/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	1.88	0.00	1.88	90.0	1.69
10/02/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
09/29/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
10/02/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
10/02/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
09/29/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
09/29/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	90.0	3.83
10/02/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	110.97	1.78	0.00	1.78	90.0	1.60
10/02/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	110.97	1.78	0.00	1.78	90.0	1.60
10/02/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	67.42	1.08	0.00	1.08	90.0	0.97
10/02/2017	RAMEY, TRAVIS	AM FUNDS WASHINGTON MUTUAL INVESTOR	67.42	1.08	0.00	1.08	90.0	0.97
09/27/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	22.50	1.07	0.00	1.07	90.0	0.96
09/29/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	90.0	0.77
/ /	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	0.00	1.90	0.00	1.90	90.0	1.71
09/29/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
09/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
09/29/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
09/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
09/29/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
10/06/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
10/06/2017	GOODMAN, LAUREN	ASCENSUS INA PIMCO TOTAL RETURN POR	50.00	1.63	0.00	1.63	90.0	1.47
10/06/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
09/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
10/06/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
10/06/2017	GOODMAN, LAUREN	ASCENSUS INA SHORT TERM TREASURY IN	50.00	1.63	0.00	1.63	90.0	1.47
09/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96
09/29/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38
10/04/2017	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
10/06/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	160.00	7.60	0.00	7.60	90.0	6.84
10/06/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
10/06/2017	GOODMAN, LAUREN	ASCENSUS INA TIPS PORTFOLIO CL A	50.00	1.63	0.00	1.63	90.0	1.47
09/27/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
09/29/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
10/04/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
10/06/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
10/06/2017	GOODMAN, LAUREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
10/06/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	160.00	7.60	0.00	7.60	90.0	6.84
09/29/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
09/29/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
08/31/2017	HODAPP, CYNTHIA	BLACKROCK 19452P784	0.00	0.10	0.00	0.10	90.0	0.09
08/31/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.31	0.00	0.31	90.0	0.28
08/31/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.54	0.00	0.54	90.0	0.49
10/09/2017	JOHNSON, JAMES	INFLATION LINKED BOND FUND A	-4,689.38	22.00	22.00	0.00	90.0	0.00
/ /	JACOT, ROBERT	OPPENHEIMER CAPITAL APPRECIATION A	0.00	26.58	0.00	26.58	90.0	23.92
/ /	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	11.28	0.00	11.28	90.0	10.15
/ /	GOODLANDER, GERALD	OPPENHEIMER CAPITAL APPRECIATION A	0.00	1.42	0.00	1.42	90.0	1.28
/ /	MCDONALD, TINA	OPPENHEIMER CAPITAL APPRECIATION C	0.00	7.30	0.00	7.30	90.0	6.57
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	38.45	0.00	38.45	90.0	34.61
/ /	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	0.00	23.43	0.00	23.43	90.0	21.09
/ /	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	0.00	17.18	0.00	17.18	90.0	15.46
/ /	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	0.00	11.69	0.00	11.69	90.0	10.52
/ /	ZINN, DIANE	OPPENHEIMER DISCOVERY A	0.00	1.07	0.00	1.07	90.0	0.96
/ /	ZINN, JEFFREY	OPPENHEIMER DISCOVERY A	0.00	1.07	0.00	1.07	90.0	0.96
/ /	JACOT, ROBERT	OPPENHEIMER DISCOVERY A	0.00	27.78	0.00	27.78	90.0	25.00
/ /	STEURY, MICHAEL	OPPENHEIMER EMERG MKTS INNOV	0.00	2.01	0.00	2.01	90.0	1.81
/ /	HODAPP, PETER	OPPENHEIMER EQUITY INCOME A	0.00	3.95	0.00	3.95	90.0	3.56
/ /	ZINN, DIANE	OPPENHEIMER GLOBAL A	0.00	1.22	0.00	1.22	90.0	1.10
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	1.22	0.00	1.22	90.0	1.10
/ /	ZINN, JEFFREY	OPPENHEIMER GLOBAL A	0.00	2.29	0.00	2.29	90.0	2.06
/ /	JACOT, CAROL	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	10.10	0.00	10.10	90.0	9.09
/ /	JACOT, ROBERT	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	10.10	0.00	10.10	90.0	9.09
/ /	STEURY, MICHAEL	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.14	0.00	4.14	90.0	3.73
/ /	OAR, WARREN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.47	0.00	4.47	90.0	4.02
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	11.52	0.00	11.52	90.0	10.37
/ /	BERNDT, GARY	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	8.32	0.00	8.32	90.0	7.49
/ /	SEELY, CHARLES	OPPENHEIMER GLOBAL STRATEGIC INC C	0.00	16.43	0.00	16.43	90.0	14.79
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.74	0.00	0.74	90.0	0.67
/ /	GALENTINE, JUSTIN	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	4.39	0.00	4.39	90.0	3.95
/ /	STEURY, MICHAEL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.70	0.00	1.70	90.0	1.53
/ /	OAR, WARREN	OPPENHEIMER INTL BOND A	0.00	3.84	0.00	3.84	90.0	3.46
/ /	JACOT, ROBERT	OPPENHEIMER INTL GROWTH A	0.00	26.09	0.00	26.09	90.0	23.48
/ /	ZINN, DIANE	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.60	0.00	0.60	90.0	0.54

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ZINN, JEFFREY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.60	0.00	0.60	90.0	0.54
/ /	BERNDT, GARY	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.98	0.00	4.98	90.0	4.48
/ /	JACOT, ROBERT	OPPENHEIMER MAIN STREET A	0.00	54.12	0.00	54.12	90.0	48.71
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET OPP A	0.00	1.56	0.00	1.56	90.0	1.40
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET OPP A	0.00	1.56	0.00	1.56	90.0	1.40
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	1.68	0.00	1.68	90.0	1.51
/ /	ZINN, DIANE	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.61	0.00	2.61	90.0	2.35
/ /	ZINN, JEFFREY	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	2.61	0.00	2.61	90.0	2.35
/ /	STEURY, MICHAEL	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	10.81	0.00	10.81	90.0	9.73
/ /	SCHUELKE, THOMAS	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	3.41	0.00	3.41	90.0	3.07
/ /	HARTOG, MAX	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	7.71	0.00	7.71	90.0	6.94
/ /	SHOCK, JACK	OPPENHEIMER PORTF SER: ACTV ALLOC F	0.00	2.96	0.00	2.96	90.0	2.66
/ /	GOODLANDER, GERALD	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	3.61	0.00	3.61	90.0	3.25
/ /	STEURY, MICHAEL	OPPENHEIMER PORTF SER: MOD INV FUND	0.00	0.97	0.00	0.97	90.0	0.87
/ /	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	9.70	0.00	9.70	90.0	8.73
/ /	ZINN, DIANE	OPPENHEIMER RISING DIVIDENDS A	0.00	1.54	0.00	1.54	90.0	1.39
/ /	ZINN, JEFFREY	OPPENHEIMER RISING DIVIDENDS A	0.00	1.54	0.00	1.54	90.0	1.39
/ /	OAR, WARREN	OPPENHEIMER SENIOR FLOATING RATE A	0.00	3.34	0.00	3.34	90.0	3.01
/ /	ZINN, DIANE	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.92	0.00	1.92	90.0	1.73
/ /	ZINN, JEFFREY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.92	0.00	1.92	90.0	1.73
/ /	STEURY, MICHAEL	OPPENHEIMER SENIOR FLOATING RATE A	0.00	1.21	0.00	1.21	90.0	1.09
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	12.30	0.00	12.30	90.0	11.07
/ /	MESEKE, GILBERT	OPPENHEIMER SENIOR FLOATING RATE C	0.00	18.95	0.00	18.95	90.0	17.06
/ /	SEELY, CHARLES	OPPENHEIMER SENIOR FLOATING RATE C	0.00	5.94	0.00	5.94	90.0	5.35
/ /	BERNDT, GARY	OPPENHEIMER SENIOR FLOATING RATE C	0.00	8.92	0.00	8.92	90.0	8.03
/ /	MCDONALD, TINA	OPPENHEIMER SMALL MID CAP VALUE C	0.00	7.38	0.00	7.38	90.0	6.64
/ /	BERNDT, GARY	OPPENHEIMER US GOVT TRUST C	0.00	5.15	0.00	5.15	90.0	4.64
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	11.51	0.00	11.51	90.0	10.36
/ /	SEELY, CHARLES	OPPENHEIMER US GOVT TRUST C	0.00	5.53	0.00	5.53	90.0	4.98
10/03/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	0.00	17.57	0.00	17.57	90.0	15.81
10/03/2017	RUSSELL, TAYLOR	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	0.37	0.00	0.37	90.0	0.33
10/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	2.02	0.00	2.02	90.0	1.82
10/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	13.87	0.00	13.87	90.0	12.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/03/2017	URICK, DANIEL	TRANSAMERICA MULTI-MANAGED BAL A	0.00	2.55	0.00	2.55	90.0	2.30
10/03/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.46	0.00	2.46	90.0	2.21
10/03/2017	URICK, DANIEL	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	2.26	0.00	2.26	90.0	2.03
* MUTUAL FUNDS SUBTOTAL *			\$-239.97	\$818.49	\$44.00	\$774.49		\$697.11
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/21/2017	FLEEGER, JANICE	TRANSAMERICA	0.00	65.37	0.00	65.37	90.0	58.83
09/30/2017	POSTMA, THELMA	JNL PERSPECTIVE II	20,159.24	8.40	0.00	8.40	90.0	7.56
09/30/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,870.14	13.70	0.00	13.70	90.0	12.33
09/30/2017	SIEBER, PAUL	JNL PERSPECTIVE II	67,045.59	27.94	0.00	27.94	90.0	25.15
09/30/2017	SENGER, LYNDA	PRU AS LIFEVEST II	106,759.90	200.17	0.00	200.17	90.0	180.15
09/30/2017	SENGER, LYNDA	PRU AS LIFEVEST II	106,759.90	66.72	0.00	66.72	90.0	60.05
* VARIABLE ANNUITIES SUBTOTAL *			\$333,594.77	\$382.30	\$0.00	\$382.30		\$344.07
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.71	0.00	0.71	90.0	0.64
09/18/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	90.0	0.74
09/18/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.14	0.00	1.14	90.0	1.03
09/18/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.44	0.00	0.44	90.0	0.40
09/18/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.69	0.00	11.69	90.0	10.52
09/18/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.45
09/18/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.28	0.00	0.28	90.0	0.25
09/18/2017	HOFFMAN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.68	0.00	0.68	90.0	0.61
09/18/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.57	0.00	0.57	90.0	0.51
09/18/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.46	0.00	0.46	90.0	0.41
09/18/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.77	0.00	0.77	90.0	0.69
09/18/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.15	0.00	0.15	90.0	0.14
09/18/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.71	0.00	0.71	90.0	0.64
09/18/2017	HOFFMAN, MARK	AM FUNDS BOND FUND OF AMERICA A	0.00	0.62	0.00	0.62	90.0	0.56
09/18/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.20	0.00	1.20	90.0	1.08
09/18/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.45	0.00	0.45	90.0	0.41
09/18/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.96	0.00	8.96	90.0	8.06
09/18/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.17	0.00	0.17	90.0	0.15
09/18/2017	REMECHNEIDER, MARY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.43	0.00	0.43	90.0	0.39

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	TYGER, CATHIE	AM FUNDS CAPITAL WORLD BOND C	0.00	2.19	0.00	2.19	90.0	1.97
09/18/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.70
09/18/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	6.28	0.00	6.28	90.0	5.65
09/18/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	90.0	0.22
09/18/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.02	0.00	5.02	90.0	4.52
09/18/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.58	0.00	0.58	90.0	0.52
09/18/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.40	0.00	6.40	90.0	5.76
09/18/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
09/18/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.52	0.00	4.52	90.0	4.07
09/18/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.52	0.00	0.52	90.0	0.47
09/18/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.79	0.00	5.79	90.0	5.21
09/18/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.53	0.00	0.53	90.0	0.48
09/18/2017	REMENSCHNEIDER, MARY	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.42	0.00	0.42	90.0	0.38
09/18/2017	HOFFMAN, MARK	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.62	0.00	0.62	90.0	0.56
09/18/2017	HOFFMAN, MARK	AM FUNDS MORTGAGE A	0.00	0.83	0.00	0.83	90.0	0.75
09/18/2017	TYGER, CATHIE	AM FUNDS MORTGAGE C	0.00	2.15	0.00	2.15	90.0	1.94
09/18/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	6.12	0.00	6.12	90.0	5.51
09/18/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	5.35	0.00	5.35	90.0	4.82
09/18/2017	TYGER, CATHIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.25	0.00	4.25	90.0	3.83
09/18/2017	TYGER, CATHIE	AMERICAN FUNDS INFLATION LINKED BON	0.00	4.34	0.00	4.34	90.0	3.91
09/30/2017	LAYMAN, VARIOUS	DREYFUS	0.00	8.26	0.00	8.26	90.0	7.43
09/14/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.61	0.00	0.61	90.0	0.55
09/14/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.60	0.00	0.60	90.0	0.54
09/14/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
09/14/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
09/14/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.29	0.00	2.29	90.0	2.06
09/20/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.34	0.00	5.34	90.0	4.81
09/20/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.01	0.00	16.01	90.0	14.41
09/20/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.47	0.00	5.47	90.0	4.92
09/20/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.41	0.00	16.41	90.0	14.77
09/20/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.67	0.00	8.67	90.0	7.80
09/20/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	26.02	0.00	26.02	90.0	23.42
09/20/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.58	0.00	8.58	90.0	7.72

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/20/2017	WILLING, LEONARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.73	0.00	25.73	90.0	23.16
09/20/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.21	0.00	3.21	90.0	2.89
09/20/2017	PEPPLE, GLEN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.62	0.00	9.62	90.0	8.66
09/20/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.70	0.00	9.70	90.0	8.73
09/20/2017	BYRUM JR, GERALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.09	0.00	29.09	90.0	26.18
09/20/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.72	0.00	10.72	90.0	9.65
09/20/2017	MURPHY, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.16	0.00	32.16	90.0	28.94
09/20/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.92	0.00	10.92	90.0	9.83
09/20/2017	DAILEY, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.75	0.00	32.75	90.0	29.48
09/20/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.18	0.00	8.18	90.0	7.36
09/20/2017	ZEEDYK, JANET	GRIFFIN INST'L ACCESS R E FUND C	0.00	24.54	0.00	24.54	90.0	22.09
09/20/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.61	0.00	8.61	90.0	7.75
09/20/2017	BUSH, SANDRA	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.83	0.00	25.83	90.0	23.25
09/20/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.62	0.00	9.62	90.0	8.66
09/20/2017	DIETSCH, DANIEL	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.85	0.00	28.85	90.0	25.97
09/18/2017	KAUFFMAN, JOHN	INFLATION LINKED BOND FUND A	0.00	0.42	0.00	0.42	90.0	0.38
09/18/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.54	0.00	0.54	90.0	0.49
09/18/2017	REMENSCHNEIDER, MARY	INFLATION LINKED BOND FUND A	0.00	0.53	0.00	0.53	90.0	0.48
09/18/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.10	0.00	0.10	90.0	0.09
09/18/2017	HOFFMAN, MARK	INFLATION LINKED BOND FUND A	0.00	0.83	0.00	0.83	90.0	0.75
09/18/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.65	0.00	0.65	90.0	0.59
09/21/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.83	0.00	0.83	90.0	0.75
09/21/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.31	0.00	5.31	90.0	4.78
09/21/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.97	0.00	1.97	90.0	1.77
09/21/2017	COLCORD, ALNETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	31.69	0.00	31.69	90.0	28.52
09/14/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.93	0.00	10.93	90.0	9.84
09/18/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.69	0.00	7.69	90.0	6.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$518.42	\$0.00	\$518.42		\$466.65
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2017	MORHART, JAMES	LFG TRAILS	0.00	122.31	0.00	122.31	90.0	110.08
10/01/2017	SIEBER, PAUL	ING ESII	0.00	75.11	0.00	75.11	90.0	67.60
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$197.42	\$0.00	\$197.42		\$177.68

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<u>SPLIT TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$333,354.80	\$1,963.98	\$44.00	\$1,919.98		\$1,728.13

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

09/29/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.62	0.00	2.62	90.0	2.36
09/29/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.81	0.00	1.81	90.0	1.63
09/29/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	153.57	2.01	0.00	2.01	90.0	1.81
09/29/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	153.57	2.01	0.00	2.01	90.0	1.81
09/29/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.64	0.00	3.64	90.0	3.28
09/29/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.81	0.00	1.81	90.0	1.63
09/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	90.0	0.22
09/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
09/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.10	0.00	0.10	90.0	0.09
09/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.38
09/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
09/29/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
09/29/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
09/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
09/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	90.0	0.13
09/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.19	0.00	0.19	90.0	0.17
10/02/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
09/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
09/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
09/29/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51
09/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.18	0.00	0.18	90.0	0.16
09/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
09/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.18	0.00	0.18	90.0	0.16
09/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
09/29/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
10/06/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
10/06/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
10/06/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
09/29/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
10/06/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
09/29/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
09/29/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
09/29/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
10/06/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
10/06/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
10/06/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
10/06/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
09/20/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	1.06	0.00	1.06	90.0	0.95
09/20/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.40	0.00	4.40	90.0	3.96
09/18/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
09/15/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.63	0.00	0.63	90.0	0.57
08/31/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.80	0.00	15.80	90.0	14.22
09/29/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.50	0.00	0.50	90.0	0.45
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.79	0.00	0.79	90.0	0.71
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.99	0.00	0.99	90.0	0.89
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.45	0.00	1.45	90.0	1.31
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.48	0.00	1.48	90.0	1.33
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.35	0.00	0.35	90.0	0.32
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.14	0.00	0.14	90.0	0.13
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.13	0.00	0.13	90.0	0.12
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.17	0.00	0.17	90.0	0.15
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.96	0.00	0.96	90.0	0.86
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.30	0.00	0.30	90.0	0.27
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.11	0.00	2.11	90.0	1.90
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.69	0.00	2.69	90.0	2.42
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.21	0.00	0.21	90.0	0.19
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.83	0.00	1.83	90.0	1.65
09/13/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.32	0.00	1.32	90.0	1.19
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,315.98	\$71.28	\$0.00	\$71.28		\$64.19
*** SPLIT TRANSACTIONS TOTAL ***			\$2,315.98	\$71.28	\$0.00	\$71.28		\$64.19

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: WILLIAM LAYMAN #207</u>								
09/26/2017	BENEFICIAR, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5,000.00	162.50	0.00	162.50	45.0	73.13
		* WILLIAM LAYMAN SUBTOTAL *	\$5,000.00	\$162.50	\$0.00	\$162.50		\$73.13
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$5,000.00	\$162.50	\$0.00	\$162.50		\$73.13

ADJUSTMENTS

10/15/2017		*ALBRIDGE: LIGH USER	0.00					-76.00
10/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*EO INSURANCE: CURRENT MONTH INS-BI	0.00					-300.00
10/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
10/15/2017		*LASER APP: CURRENT MONTH - KEITH	0.00					-18.00
10/15/2017		*LASER APP: LASER APP - BILL	0.00					-18.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-13.86
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-2.08
10/15/2017		*REGISTRATION FEE: NJ-INDIVIDUAL	0.00					-60.00
10/15/2017		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-130.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
10/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC -	0.00					-180.00
10/15/2017		*TECHNOLOGY: LIMITED ADMIN - S BONA	0.00					-60.00
10/15/2017		*TECHNOLOGY: LIMITED ADMIN J WRIGHT	0.00					-60.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,412.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1,728.13
Split Transactions	\$64.19
Override Transactions	\$73.13
Adjustments	\$-1,412.94
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$452.51
Year-to-date Gross	\$46,855.35
Year-to-date Earnings	\$41,014.95