

Bill Payment Stub

Check Date:	2/23/2018
Check No.:	ACH
Check Amount:	314.55

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICHARD A. DURAND
12919 KINGS FOREST
SAN ANTONIO, TX 78230

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/15/2018	Bill	COMMISSIONS	314.55	314.55		314.55

COMMISSION STATEMENT

February 15, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/29/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMIERFUNDS 683810204	B	0.00	11.40	0.00	11.40	85.0	9.69
02/05/2018 00064992220	VELA, PATRICIA	MF THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
02/01/2018 00067562789	PEREZ, GRACIELA	MF AMERICAN BALANCED FUND-A	B	143.37	5.38	0.00	5.38	85.0	4.57
02/01/2018 00068501235	GUTIERREZ, OTTO	MF THE BOND FUND OF AMERICA-A	B	193.47	5.32	0.00	5.32	85.0	4.52
02/08/2018 00073352550	SALINAS, MARICELA	MF THE INCOME FUND OF AMERICA-A	B	198.81	8.45	0.00	8.45	85.0	7.18
02/01/2018 00085713380	BENAVIDES, JOHN	MF CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
02/01/2018 00085713380	BENAVIDES, JOHN	MF THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
02/05/2018 00086533271	VILLA, KELLIE	MF THE INCOME FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	85.0	4.68
* MUTUAL FUNDS SUBTOTAL *				\$1,070.65	\$49.24	\$0.00	\$49.24		\$41.85
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/08/2018 (None)	RT BLUESHOES	VA TRANSAMERICA LIFE	B	0.00	1.20	0.00	1.20	85.0	1.02
01/31/2018 009066455A	STEPHENS, JUNE	VA PERSPECTIVE-RPL	B	41,351.49	8.61	0.00	8.61	85.0	7.32
01/31/2018 009066456A	STEPHENS, SHERMAN	VA PERSPECTIVE-RPL	B	30,803.17	6.42	0.00	6.42	85.0	5.46
01/31/2018 009076002A	RIDDLE, MELVIN	VA PERSPECTIVE	B	85,087.80	17.73	0.00	17.73	85.0	15.07
01/31/2018 009076003A	RIDDLE, MELVIN	VA PERSPECTIVE-RPL	B	1,876.81	0.39	0.00	0.39	85.0	0.33
01/31/2018 1016582030	BRANNIES,	VA PERSP II 04/14	B	340,440.94	141.85	0.00	141.85	85.0	120.57
* VARIABLE ANNUITIES SUBTOTAL *				\$499,560.21	\$176.20	\$0.00	\$176.20		\$149.77
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/31/2018 (None)	VARIOUS, DURAND	MFT HARTFORD MUTUAL FUIND	B	0.00	2.10	0.00	2.10	85.0	1.79
01/31/2018 000013015528446	LAMANA K	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	23.44	0.00	23.44	85.0	19.92
01/31/2018 000070182172644	MARGARET B	MFT FRK UTIL FND - CL A	B	0.00	40.27	0.00	40.27	85.0	34.23
01/31/2018 000090210605781	JTTEN	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	2.96	0.00	2.96	85.0	2.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$68.77	\$0.00	\$68.77		\$58.46
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
01/29/2018 (None)	KONRAD, WILFRED	VAT LINCOLN BENEFIT LIFE	B	0.00	75.85	0.00	75.85	85.0	64.47
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$75.85	\$0.00	\$75.85		\$64.47
*** DIRECT TRANSACTIONS TOTAL ***				\$500,630.86	\$370.06	\$0.00	\$370.06		\$314.55

BROKER COMMISSION TOTALS

Broker Transactions	\$314.55
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$314.55
Year-to-date Commissions	\$28,061.32